OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0011D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT							
04JUL17	S	2	PD04OCT17	5892.00	6467.48	USD	-28,774.00	
04JUL17	S	3	PD04OCT17	5893.00	6467.48	USD	-43,086.00	
04JUL17	B	2	PD04OCT17	5901.00	6467.48	USD	28,324.00	
04JUL17	S	2	PD04OCT17	5897.00	6467.48	USD	-28,524.00	
04JUL17	B	3	PD04OCT17	5895.00	6467.48	USD	42,936.00	
04JUL17	B	2	PD04OCT17	5897.00	6467.48	USD	28,524.00	
	NET	**0**	**AVG BGHT 5897.29**	**SOLD 5893.86**			**-600.00**	**0.00**
05JUL17	S	5	PD05OCT17	5840.00	6468.75	USD	-78,593.75	
20JUL17	B	5	PD05OCT17	5955.22	6468.75	USD	64,191.25	
	NET	**0**	**AVG BGHT 5955.22**	**SOLD 5840.00**			**-14,402.50**	**0.00**
06JUL17	S	3	PD06OCT17	5839.50	6470.02	USD	-47,289.00	
06JUL17	S	2	PD06OCT17	5837.50	6470.02	USD	-31,626.00	
06JUL17	S	5	PD06OCT17	5838.50	6470.02	USD	-78,940.00	
06JUL17	B	5	PD06OCT17	5860.00	6470.02	USD	76,252.50	
06JUL17	B	3	PD06OCT17	5856.00	6470.02	USD	46,051.50	
06JUL17	B	2	PD06OCT17	5857.00	6470.02	USD	30,651.00	
06JUL17	B	2	PD06OCT17	5844.50	6470.02	USD	31,276.00	
06JUL17	S	2	PD06OCT17	5845.00	6470.02	USD	-31,251.00	
06JUL17	S	3	PD06OCT17	5846.00	6470.02	USD	-46,801.50	
06JUL17	S	2	PD06OCT17	5850.00	6470.02	USD	-31,001.00	
07JUL17	B	3	PD06OCT17	5826.00	6470.02	USD	48,301.50	
07JUL17	B	2	PD06OCT17	5828.50	6470.02	USD	32,076.00	
07JUL17	S	1	PD06OCT17	5828.00	6470.02	USD	-16,050.50	
07JUL17	S	1	PD06OCT17	5830.50	6470.02	USD	-15,988.00	
07JUL17	S	2	PD06OCT17	5832.50	6470.02	USD	-31,876.00	
07JUL17	S	1	PD06OCT17	5820.00	6470.02	USD	-16,250.50	
07JUL17	B	2	PD06OCT17	5825.00	6470.02	USD	32,251.00	
07JUL17	S	2	PD06OCT17	5825.50	6470.02	USD	-32,226.00	
07JUL17	B	2	PD06OCT17	5835.00	6470.02	USD	31,751.00	
07JUL17	B	3	PD06OCT17	5833.00	6470.02	USD	47,776.50	
	NET	**0**	**AVG BGHT 5842.71**	**SOLD 5837.85**			**-2,912.50**	**0.00**
10JUL17	S	2	PD10OCT17	5800.50	6475.10	USD	-33,730.00	
10JUL17	S	3	PD10OCT17	5801.50	6475.10	USD	-50,520.00	
20JUL17	B	5	PD10OCT17	5957.37	6475.10	USD	64,716.25	
	NET	**0**	**AVG BGHT 5957.37**	**SOLD 5801.10**			**-19,533.75**	**0.00**
11JUL17	S	3	PD11OCT17	5826.00	6476.37	USD	-48,777.75	
11JUL17	S	2	PD11OCT17	5825.00	6476.37	USD	-32,568.50	
11JUL17	B	3	PD11OCT17	5885.00	6476.37	USD	44,352.75	
11JUL17	B	2	PD11OCT17	5889.00	6476.37	USD	29,368.50	
11JUL17	B	5	PD11OCT17	5886.00	6476.37	USD	73,796.25	
20JUL17	S	5	PD11OCT17	5957.58	6476.37	USD	-64,848.75	
	NET	**0**	**AVG BGHT 5886.30**	**SOLD 5891.59**			**1,322.50**	**0.00**
12JUL17	B	2	PD12OCT17	5899.50	6477.63	USD	28,906.50	
12JUL17	S	2	PD12OCT17	5903.50	6477.63	USD	-28,706.50	
12JUL17	S	2	PD12OCT17	5895.00	6477.63	USD	-29,131.50	
12JUL17	S	3	PD12OCT17	5898.00	6477.63	USD	-43,472.25	
12JUL17	B	2	PD12OCT17	5905.00	6477.63	USD	28,631.50	
12JUL17	B	3	PD12OCT17	5910.00	6477.63	USD	42,572.25	
12JUL17	B	2	PD12OCT17	5913.00	6477.63	USD	28,231.50	
12JUL17	B	3	PD12OCT17	5923.50	6477.63	USD	41,559.75	
12JUL17	B	5	PD12OCT17	5919.00	6477.63	USD	69,828.75	
12JUL17	B	2	PD12OCT17	5919.50	6477.63	USD	27,906.50	
12JUL17	B	3	PD12OCT17	5920.50	6477.63	USD	41,784.75	
20JUL17	S	10	PD12OCT17	5958.00	6477.63	USD	-129,907.50	
09AUG17	S	5	PD12OCT17	6446.87	6477.63	USD	-3,845.00	
	NET	**0**	**AVG BGHT 5915.05**	**SOLD 6050.24**			**74,358.75**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0011D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT							
13JUL17	S	2	PD13OCT17	5877.00	6478.90	USD	-30,095.00	
13JUL17	S	2	PD13OCT17	5886.00	6478.90	USD	-29,645.00	
13JUL17	S	1	PD13OCT17	5887.00	6478.90	USD	-14,797.50	
13JUL17	S	7	PD13OCT17	5878.50	6478.90	USD	-105,070.00	
13JUL17	S	3	PD13OCT17	5878.00	6478.90	USD	-45,067.50	
14JUL17	S	2	PD13OCT17	5872.00	6478.90	USD	-30,345.00	
14JUL17	S	3	PD13OCT17	5876.00	6478.90	USD	-45,217.50	
14JUL17	S	2	PD13OCT17	5879.50	6478.90	USD	-29,970.00	
14JUL17	S	8	PD13OCT17	5880.00	6478.90	USD	-119,780.00	
14JUL17	S	2	PD13OCT17	5881.00	6478.90	USD	-29,895.00	
14JUL17	S	10	PD13OCT17	5882.50	6478.90	USD	-149,100.00	
14JUL17	B	3	PD13OCT17	5879.00	6478.90	USD	44,992.50	
14JUL17	B	2	PD13OCT17	5881.50	6478.90	USD	29,870.00	
14JUL17	B	3	PD13OCT17	5882.00	6478.90	USD	44,767.50	
14JUL17	B	2	PD13OCT17	5884.00	6478.90	USD	29,745.00	
14JUL17	B	2	PD13OCT17	5881.00	6478.90	USD	29,895.00	
14JUL17	B	5	PD13OCT17	5900.00	6478.90	USD	72,362.50	
14JUL17	S	2	PD13OCT17	5884.50	6478.90	USD	-29,720.00	
14JUL17	S	3	PD13OCT17	5886.00	6478.90	USD	-44,467.50	
14JUL17	B	2	PD13OCT17	5915.50	6478.90	USD	28,170.00	
14JUL17	B	3	PD13OCT17	5914.50	6478.90	USD	42,330.00	
20JUL17	B	25	PD13OCT17	5958.62	6478.90	USD	325,175.00	
	NET	**0**	**AVG BGHT 5928.00**	**SOLD 5880.46**			**-55,862.50**	**0.00**
17JUL17	B	2	PD17OCT17	5960.00	6483.98	USD	26,199.00	
17JUL17	S	2	PD17OCT17	5984.00	6483.98	USD	-24,999.00	
17JUL17	B	5	PD17OCT17	5994.50	6483.98	USD	61,185.00	
17JUL17	B	5	PD17OCT17	5997.00	6483.98	USD	60,872.50	
09AUG17	S	10	PD17OCT17	6448.75	6483.98	USD	-8,807.50	
	NET	**0**	**AVG BGHT 5989.79**	**SOLD 6371.29**			**114,450.00**	**0.00**
18JUL17	S	3	PD18OCT17	5987.00	6485.25	USD	-37,368.75	
18JUL17	S	2	PD18OCT17	5993.00	6485.25	USD	-24,612.50	
18JUL17	B	2	PD18OCT17	6002.00	6485.25	USD	24,162.50	
18JUL17	B	1	PD18OCT17	6007.00	6485.25	USD	11,956.25	
18JUL17	B	2	PD18OCT17	6004.00	6485.25	USD	24,062.50	
18JUL17	B	7	PD18OCT17	6004.50	6485.25	USD	84,131.25	
18JUL17	B	3	PD18OCT17	6005.00	6485.25	USD	36,018.75	
18JUL17	B	5	PD18OCT17	6007.50	6485.25	USD	59,718.75	
09AUG17	S	15	PD18OCT17	6449.00	6485.25	USD	-13,593.75	
	NET	**0**	**AVG BGHT 6005.15**	**SOLD 6334.10**			**164,475.00**	**0.00**
20JUL17	S	2	PD20OCT17	5976.00	6486.75	USD	-25,537.50	
20JUL17	S	3	PD20OCT17	5976.50	6486.75	USD	-38,268.75	
20JUL17	B	1	PD20OCT17	5979.00	6486.75	USD	12,693.75	
20JUL17	B	1	PD20OCT17	5980.00	6486.75	USD	12,668.75	
20JUL17	B	1	PD20OCT17	5981.00	6486.75	USD	12,643.75	
20JUL17	B	2	PD20OCT17	5989.50	6486.75	USD	24,862.50	
20JUL17	B	3	PD20OCT17	5975.00	6486.75	USD	38,381.25	
20JUL17	B	2	PD20OCT17	5977.00	6486.75	USD	25,487.50	
09AUG17	S	5	PD20OCT17	6447.00	6486.75	USD	-4,968.75	
	NET	**0**	**AVG BGHT 5979.80**	**SOLD 6211.65**			**57,962.50**	**0.00**
25JUL17	S	1	PD25OCT17	6092.00	6490.29	USD	-9,957.25	
25JUL17	S	1	PD25OCT17	6093.00	6490.29	USD	-9,932.25	
25JUL17	S	2	PD25OCT17	6182.00	6490.29	USD	-15,414.50	
25JUL17	B	2	PD25OCT17	6124.50	6490.29	USD	18,289.50	
25JUL17	B	2	PD25OCT17	6181.50	6490.29	USD	15,439.50	
25JUL17	B	2	PD25OCT17	6258.50	6490.29	USD	11,589.50	
25JUL17	B	1	PD25OCT17	6254.00	6490.29	USD	5,907.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0011D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT							
25JUL17	B	1	PD25OCT17	6260.00	6490.29	USD	5,757.25	
25JUL17	B	1	PD25OCT17	6272.00	6490.29	USD	5,457.25	
09AUG17	S	5	PD25OCT17	6449.14	6490.29	USD	-5,143.75	
	NET	**0**	**AVG BGHT 6212.78 SOLD 6310.52**				**21,992.50**	**0.00**
26JUL17	S	3	PD26OCT17	6328.00	6490.82	USD	-12,211.50	
26JUL17	S	2	PD26OCT17	6332.00	6490.82	USD	-7,941.00	
26JUL17	S	3	PD26OCT17	6354.00	6490.82	USD	-10,261.50	
26JUL17	S	2	PD26OCT17	6379.50	6490.82	USD	-5,566.00	
26JUL17	S	3	PD26OCT17	6381.50	6490.82	USD	-8,199.00	
26JUL17	S	2	PD26OCT17	6356.00	6490.82	USD	-6,741.00	
26JUL17	B	2	PD26OCT17	6277.50	6490.82	USD	10,666.00	
26JUL17	B	3	PD26OCT17	6265.50	6490.82	USD	16,899.00	
26JUL17	B	2	PD26OCT17	6275.00	6490.82	USD	10,791.00	
26JUL17	B	1	PD26OCT17	6277.00	6490.82	USD	5,345.50	
26JUL17	B	6	PD26OCT17	6276.00	6490.82	USD	32,223.00	
26JUL17	B	1	PD26OCT17	6276.50	6490.82	USD	5,358.00	
26JUL17	B	3	PD26OCT17	6272.00	6490.82	USD	16,411.50	
26JUL17	B	2	PD26OCT17	6274.00	6490.82	USD	10,841.00	
26JUL17	S	2	PD26OCT17	6321.50	6490.82	USD	-8,466.00	
26JUL17	S	3	PD26OCT17	6327.00	6490.82	USD	-12,286.50	
	NET	**0**	**AVG BGHT 6273.75 SOLD 6347.48**				**36,862.50**	**0.00**
27JUL17	B	2	PD27OCT17	6351.00	6491.36	USD	7,018.00	
27JUL17	B	1	PD27OCT17	6352.00	6491.36	USD	3,484.00	
27JUL17	B	1	PD27OCT17	6350.00	6491.36	USD	3,534.00	
27JUL17	B	1	PD27OCT17	6356.00	6491.36	USD	3,384.00	
27JUL17	S	3	PD27OCT17	6335.00	6491.36	USD	-11,727.00	
27JUL17	B	3	PD27OCT17	6337.00	6491.36	USD	11,577.00	
28JUL17	S	2	PD27OCT17	6284.00	6491.36	USD	-10,368.00	
28JUL17	S	1	PD27OCT17	6285.50	6491.36	USD	-5,146.50	
28JUL17	S	1	PD27OCT17	6286.50	6491.36	USD	-5,121.50	
28JUL17	S	1	PD27OCT17	6291.00	6491.36	USD	-5,009.00	
28JUL17	S	2	PD27OCT17	6319.00	6491.36	USD	-8,618.00	
28JUL17	S	1	PD27OCT17	6319.50	6491.36	USD	-4,296.50	
28JUL17	S	1	PD27OCT17	6321.50	6491.36	USD	-4,246.50	
28JUL17	S	1	PD27OCT17	6324.50	6491.36	USD	-4,171.50	
09AUG17	B	5	PD27OCT17	6450.20	6491.36	USD	5,145.00	
	NET	**0**	**AVG BGHT 6386.31 SOLD 6310.73**				**-24,562.50**	**0.00**
31JUL17	S	3	PD31OCT17	6380.00	6493.50	USD	-8,512.50	
31JUL17	S	2	PD31OCT17	6376.00	6493.50	USD	-5,875.00	
31JUL17	S	3	PD31OCT17	6377.00	6493.50	USD	-8,737.50	
31JUL17	S	4	PD31OCT17	6374.00	6493.50	USD	-11,950.00	
31JUL17	S	2	PD31OCT17	6364.00	6493.50	USD	-6,475.00	
31JUL17	S	3	PD31OCT17	6366.00	6493.50	USD	-9,562.50	
31JUL17	S	3	PD31OCT17	6370.00	6493.50	USD	-9,262.50	
31JUL17	S	3	PD31OCT17	6369.00	6493.50	USD	-9,337.50	
31JUL17	S	2	PD31OCT17	6371.00	6493.50	USD	-6,125.00	
31JUL17	S	2	PD31OCT17	6373.50	6493.50	USD	-6,000.00	
31JUL17	S	2	PD31OCT17	6373.00	6493.50	USD	-6,025.00	
31JUL17	S	1	PD31OCT17	6376.50	6493.50	USD	-2,925.00	
09AUG17	B	30	PD31OCT17	6451.74	6493.50	USD	31,320.00	
	NET	**0**	**AVG BGHT 6451.74 SOLD 6372.45**				**-59,467.50**	**0.00**
01AUG17	B	2	PD01NOV17	6378.00	6494.09	USD	5,804.50	
01AUG17	B	3	PD01NOV17	6380.00	6494.09	USD	8,556.75	
01AUG17	S	2	PD01NOV17	6354.00	6494.09	USD	-7,004.50	
01AUG17	S	3	PD01NOV17	6356.00	6494.09	USD	-10,356.75	
01AUG17	B	2	PD01NOV17	6348.00	6494.09	USD	7,304.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0011D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
01AUG17	B 1 PD01NOV17	6346.00	6494.09	USD	3,702.25	
01AUG17	B 2 PD01NOV17	6336.00	6494.09	USD	7,904.50	
01AUG17	S 2 PD01NOV17	6335.00	6494.09	USD	-7,954.50	
01AUG17	S 3 PD01NOV17	6335.50	6494.09	USD	-11,894.25	
01AUG17	S 3 PD01NOV17	6341.00	6494.09	USD	-11,481.75	
01AUG17	S 2 PD01NOV17	6343.00	6494.09	USD	-7,554.50	
09AUG17	B 5 PD01NOV17	6452.12	6494.09	USD	5,246.25	
	NET 0 AVG BGHT 6391.37 SOLD 6344.10				**-17,727.50**	**0.00**
02AUG17	S 1 PD02NOV17	6326.00	6494.69	USD	-4,217.25	
02AUG17	S 3 PD02NOV17	6326.50	6494.69	USD	-12,614.25	
02AUG17	S 1 PD02NOV17	6327.00	6494.69	USD	-4,192.25	
02AUG17	B 3 PD02NOV17	6330.50	6494.69	USD	12,314.25	
02AUG17	B 2 PD02NOV17	6331.50	6494.69	USD	8,159.50	
02AUG17	B 2 PD02NOV17	6336.00	6494.69	USD	7,934.50	
02AUG17	B 1 PD02NOV17	6337.00	6494.69	USD	3,942.25	
02AUG17	B 2 PD02NOV17	6338.00	6494.69	USD	7,834.50	
02AUG17	B 3 PD02NOV17	6335.00	6494.69	USD	11,976.75	
02AUG17	B 2 PD02NOV17	6336.50	6494.69	USD	7,909.50	
09AUG17	S 10 PD02NOV17	6452.31	6494.69	USD	-10,595.00	
	NET 0 AVG BGHT 6334.50 SOLD 6410.37				**28,452.50**	**0.00**
03AUG17	S 2 PD03NOV17	6306.00	6495.28	USD	-9,464.00	
03AUG17	S 1 PD03NOV17	6308.00	6495.28	USD	-4,682.00	
03AUG17	S 1 PD03NOV17	6314.50	6495.28	USD	-4,519.50	
03AUG17	S 2 PD03NOV17	6315.00	6495.28	USD	-9,014.00	
03AUG17	S 2 PD03NOV17	6317.50	6495.28	USD	-8,889.00	
03AUG17	S 2 PD03NOV17	6318.50	6495.28	USD	-8,839.00	
03AUG17	S 2 PD03NOV17	6352.50	6495.28	USD	-7,139.00	
03AUG17	S 1 PD03NOV17	6353.00	6495.28	USD	-3,557.00	
03AUG17	S 1 PD03NOV17	6355.50	6495.28	USD	-3,494.50	
03AUG17	S 1 PD03NOV17	6349.00	6495.28	USD	-3,657.00	
04AUG17	B 1 PD03NOV17	6342.50	6495.28	USD	3,819.50	
04AUG17	B 1 PD03NOV17	6344.50	6495.28	USD	3,769.50	
04AUG17	B 2 PD03NOV17	6349.00	6495.28	USD	7,314.00	
04AUG17	B 2 PD03NOV17	6360.00	6495.28	USD	6,764.00	
04AUG17	B 1 PD03NOV17	6365.00	6495.28	USD	3,257.00	
04AUG17	B 3 PD03NOV17	6371.00	6495.28	USD	9,321.00	
04AUG17	B 1 PD03NOV17	6373.00	6495.28	USD	3,057.00	
04AUG17	B 2 PD03NOV17	6380.00	6495.28	USD	5,764.00	
04AUG17	B 1 PD03NOV17	6368.00	6495.28	USD	3,182.00	
04AUG17	B 1 PD03NOV17	6353.00	6495.28	USD	3,557.00	
04AUG17	S 2 PD03NOV17	6326.00	6495.28	USD	-8,464.00	
04AUG17	S 3 PD03NOV17	6326.50	6495.28	USD	-12,658.50	
09AUG17	B 5 PD03NOV17	6452.89	6495.28	USD	5,298.75	
	NET 0 AVG BGHT 6385.07 SOLD 6326.53				**-29,273.75**	**0.00**
07AUG17	S 2 PD07NOV17	6349.50	6497.66	USD	-7,408.00	
07AUG17	S 3 PD07NOV17	6352.00	6497.66	USD	-10,924.50	
07AUG17	B 1 PD07NOV17	6379.00	6497.66	USD	2,966.50	
07AUG17	B 1 PD07NOV17	6382.50	6497.66	USD	2,879.00	
07AUG17	B 1 PD07NOV17	6383.50	6497.66	USD	2,854.00	
07AUG17	B 2 PD07NOV17	6385.50	6497.66	USD	5,608.00	
07AUG17	B 5 PD07NOV17	6386.00	6497.66	USD	13,957.50	
07AUG17	B 1 PD07NOV17	6428.00	6497.66	USD	1,741.50	
07AUG17	B 1 PD07NOV17	6435.00	6497.66	USD	1,566.50	
07AUG17	B 3 PD07NOV17	6438.00	6497.66	USD	4,474.50	
07AUG17	S 3 PD07NOV17	6410.00	6497.66	USD	-6,574.50	
07AUG17	S 1 PD07NOV17	6411.50	6497.66	USD	-2,154.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0011D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
07AUG17	S 1 PD07NOV17	6412.00	6497.66	USD	-2,141.50	
07AUG17	S 1 PD07NOV17	6412.50	6497.66	USD	-2,129.00	
07AUG17	S 2 PD07NOV17	6413.00	6497.66	USD	-4,233.00	
07AUG17	S 1 PD07NOV17	6414.00	6497.66	USD	-2,091.50	
07AUG17	S 1 PD07NOV17	6414.50	6497.66	USD	-2,079.00	
07AUG17	S 2 PD07NOV17	6415.00	6497.66	USD	-4,133.00	
07AUG17	S 1 PD07NOV17	6415.50	6497.66	USD	-2,054.00	
07AUG17	S 2 PD07NOV17	6416.00	6497.66	USD	-4,083.00	
09AUG17	B 5 PD07NOV17	6454.43	6497.66	USD	5,403.75	
	NET 0 AVG BGHT 6414.76 SOLD 6397.65				**-8,553.75**	**0.00**
08AUG17	B 1 PD08NOV17	6398.00	6498.25	USD	2,506.25	
08AUG17	B 1 PD08NOV17	6405.00	6498.25	USD	2,331.25	
08AUG17	B 2 PD08NOV17	6406.50	6498.25	USD	4,587.50	
08AUG17	B 1 PD08NOV17	6402.00	6498.25	USD	2,406.25	
08AUG17	S 2 PD08NOV17	6398.00	6498.25	USD	-5,012.50	
08AUG17	S 1 PD08NOV17	6407.00	6498.25	USD	-2,281.25	
08AUG17	S 1 PD08NOV17	6406.50	6498.25	USD	-2,293.75	
08AUG17	S 1 PD08NOV17	6411.00	6498.25	USD	-2,181.25	
08AUG17	B 1 PD08NOV17	6436.00	6498.25	USD	1,556.25	
08AUG17	B 1 PD08NOV17	6438.50	6498.25	USD	1,493.75	
08AUG17	B 2 PD08NOV17	6440.50	6498.25	USD	2,887.50	
08AUG17	B 5 PD08NOV17	6443.00	6498.25	USD	6,906.25	
08AUG17	B 1 PD08NOV17	6467.50	6498.25	USD	768.75	
08AUG17	B 1 PD08NOV17	6479.50	6498.25	USD	468.75	
08AUG17	B 2 PD08NOV17	6483.50	6498.25	USD	737.50	
08AUG17	B 1 PD08NOV17	6481.00	6498.25	USD	431.25	
08AUG17	B 2 PD08NOV17	6485.00	6498.25	USD	662.50	
08AUG17	B 2 PD08NOV17	6480.00	6498.25	USD	912.50	
08AUG17	B 1 PD08NOV17	6482.00	6498.25	USD	406.25	
08AUG17	B 1 PD08NOV17	6479.00	6498.25	USD	481.25	
	NET +20 AVG BGHT 6450.98 SOLD 6404.10				**17,775.00**	**0.00**
09AUG17	B 2 PD09NOV17	6502.00	6498.71	USD	-164.50	
09AUG17	B 1 PD09NOV17	6502.50	6498.71	USD	-94.75	
09AUG17	B 1 PD09NOV17	6503.50	6498.71	USD	-119.75	
09AUG17	B 1 PD09NOV17	6491.00	6498.71	USD	192.75	
	NET +5 AVG BGHT 6500.20				**-186.25**	**0.00**
11AUG17	S 4 PD10NOV17	6363.00	6499.18	USD	-13,618.00	
11AUG17	S 1 PD10NOV17	6366.00	6499.18	USD	-3,329.50	
11AUG17	B 1 PD10NOV17	6395.50	6499.18	USD	2,592.00	
11AUG17	S 1 PD10NOV17	6396.00	6499.18	USD	-2,579.50	
11AUG17	S 2 PD10NOV17	6423.00	6499.18	USD	-3,809.00	
11AUG17	S 1 PD10NOV17	6418.00	6499.18	USD	-2,029.50	
11AUG17	S 2 PD10NOV17	6415.50	6499.18	USD	-4,184.00	
11AUG17	S 2 PD10NOV17	6415.00	6499.18	USD	-4,209.00	
11AUG17	S 3 PD10NOV17	6416.00	6499.18	USD	-6,238.50	
	NET -15 AVG BGHT 6395.50 SOLD 6399.19				**-37,405.00**	**0.00**
14AUG17	S 2 PD14NOV17	6423.50	6501.04	USD	-3,877.00	
14AUG17	S 1 PD14NOV17	6427.00	6501.04	USD	-1,851.00	
14AUG17	S 2 PD14NOV17	6428.00	6501.04	USD	-3,652.00	
14AUG17	B 5 PD14NOV17	6406.00	6501.04	USD	11,880.00	
14AUG17	B 2 PD14NOV17	6402.00	6501.04	USD	4,952.00	
14AUG17	B 1 PD14NOV17	6404.00	6501.04	USD	2,426.00	
14AUG17	S 1 PD14NOV17	6408.00	6501.04	USD	-2,326.00	
14AUG17	B 2 PD14NOV17	6407.00	6501.04	USD	4,702.00	
14AUG17	B 1 PD14NOV17	6407.50	6501.04	USD	2,338.50	
	NET +5 AVG BGHT 6405.41 SOLD 6423.00				**14,592.50**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0011D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
16AUG17	S 1 PD16NOV17	6377.00	6501.00	USD	-3,100.00	
16AUG17	S 4 PD16NOV17	6378.00	6501.00	USD	-12,300.00	
16AUG17	S 2 PD16NOV17	6378.50	6501.00	USD	-6,125.00	
16AUG17	S 3 PD16NOV17	6380.00	6501.00	USD	-9,075.00	
16AUG17	B 1 PD16NOV17	6467.50	6501.00	USD	837.50	
16AUG17	B 2 PD16NOV17	6469.00	6501.00	USD	1,600.00	
16AUG17	B 1 PD16NOV17	6468.00	6501.00	USD	825.00	
16AUG17	B 1 PD16NOV17	6467.00	6501.00	USD	850.00	
16AUG17	B 3 PD16NOV17	6491.00	6501.00	USD	750.00	
16AUG17	B 2 PD16NOV17	6494.00	6501.00	USD	350.00	
16AUG17	B 2 PD16NOV17	6484.00	6501.00	USD	850.00	
16AUG17	B 1 PD16NOV17	6486.00	6501.00	USD	375.00	
16AUG17	B 1 PD16NOV17	6487.00	6501.00	USD	350.00	
16AUG17	B 5 PD16NOV17	6490.00	6501.00	USD	1,375.00	
16AUG17	B 2 PD16NOV17	6489.00	6501.00	USD	600.00	
16AUG17	S 1 PD16NOV17	6489.00	6501.00	USD	-300.00	
16AUG17	B 2 PD16NOV17	6526.00	6501.00	USD	-1,250.00	
16AUG17	B 3 PD16NOV17	6527.00	6501.00	USD	-1,950.00	
	NET +15 AVG BGHT 6492.44 SOLD 6388.64				**-25,337.50**	**0.00**
17AUG17	S 2 PD17NOV17	6490.50	6501.60	USD	-555.00	
17AUG17	S 1 PD17NOV17	6490.00	6501.60	USD	-290.00	
17AUG17	S 1 PD17NOV17	6491.50	6501.60	USD	-252.50	
17AUG17	S 1 PD17NOV17	6492.00	6501.60	USD	-240.00	
18AUG17	B 2 PD17NOV17	6469.00	6501.60	USD	1,630.00	
18AUG17	B 1 PD17NOV17	6470.50	6501.60	USD	777.50	
18AUG17	S 2 PD17NOV17	6490.00	6501.60	USD	-580.00	
18AUG17	S 2 PD17NOV17	6492.00	6501.60	USD	-480.00	
18AUG17	S 2 PD17NOV17	6466.00	6501.60	USD	-1,780.00	
18AUG17	S 1 PD17NOV17	6470.00	6501.60	USD	-790.00	
18AUG17	S 6 PD17NOV17	6491.00	6501.60	USD	-1,590.00	
18AUG17	S 3 PD17NOV17	6500.00	6501.60	USD	-120.00	
18AUG17	S 2 PD17NOV17	6503.00	6501.60	USD	70.00	
18AUG17	S 2 PD17NOV17	6507.00	6501.60	USD	270.00	
18AUG17	S 3 PD17NOV17	6511.00	6501.60	USD	705.00	
18AUG17	B 2 PD17NOV17	6489.50	6501.60	USD	605.00	
18AUG17	B 1 PD17NOV17	6501.00	6501.60	USD	15.00	
18AUG17	B 1 PD17NOV17	6505.00	6501.60	USD	-85.00	
18AUG17	B 1 PD17NOV17	6507.50	6501.60	USD	-147.50	
18AUG17	B 2 PD17NOV17	6512.00	6501.60	USD	-520.00	
18AUG17	B 2 PD17NOV17	6528.00	6501.60	USD	-1,320.00	
18AUG17	B 1 PD17NOV17	6511.50	6501.60	USD	-247.50	
18AUG17	B 3 PD17NOV17	6460.00	6501.60	USD	3,120.00	
18AUG17	B 2 PD17NOV17	6461.00	6501.60	USD	2,030.00	
	NET -10 AVG BGHT 6488.58 SOLD 6493.55				**225.00**	**0.00**
21AUG17	B 3 PD21NOV17	6540.00	6504.00	USD	-2,700.00	
21AUG17	B 2 PD21NOV17	6565.00	6504.00	USD	-3,050.00	
21AUG17	B 1 PD21NOV17	6613.00	6504.00	USD	-2,725.00	
21AUG17	B 2 PD21NOV17	6615.00	6504.00	USD	-5,550.00	
21AUG17	B 1 PD21NOV17	6592.00	6504.00	USD	-2,200.00	
21AUG17	B 1 PD21NOV17	6621.00	6504.00	USD	-2,925.00	
	NET +10 AVG BGHT 6580.60				**-19,150.00**	**0.00**
22AUG17	B 2 PD22NOV17	6635.00	6504.60	USD	-6,520.00	
22AUG17	B 1 PD22NOV17	6592.00	6504.60	USD	-2,185.00	
22AUG17	B 1 PD22NOV17	6618.00	6504.60	USD	-2,835.00	
22AUG17	B 1 PD22NOV17	6604.00	6504.60	USD	-2,485.00	
22AUG17	B 5 PD22NOV17	6574.00	6504.60	USD	-8,675.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0011D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT							
	NET	**+10 AVG BGHT 6595.40**					**-22,700.00**	**0.00**
23AUG17	B	2 PD24NOV17		6542.00	6505.80	USD	-1,810.00	
23AUG17	S	2 PD24NOV17		6557.50	6505.80	USD	2,585.00	
25AUG17	S	2 PD24NOV17		6721.50	6505.80	USD	10,785.00	
25AUG17	S	2 PD24NOV17		6722.00	6505.80	USD	10,810.00	
25AUG17	S	1 PD24NOV17		6724.00	6505.80	USD	5,455.00	
	NET	**-5 AVG BGHT 6542.00**	**SOLD 6675.14**				**27,825.00**	**0.00**
29AUG17	S	1 PD29NOV17		6769.00	6508.80	USD	6,505.00	
29AUG17	S	2 PD29NOV17		6766.50	6508.80	USD	12,885.00	
29AUG17	S	2 PD29NOV17		6770.50	6508.80	USD	13,085.00	
29AUG17	S	1 PD29NOV17		6771.00	6508.80	USD	6,555.00	
29AUG17	S	1 PD29NOV17		6771.50	6508.80	USD	6,567.50	
29AUG17	S	1 PD29NOV17		6775.00	6508.80	USD	6,655.00	
29AUG17	S	7 PD29NOV17		6785.00	6508.80	USD	48,335.00	
	NET	**-15 AVG SOLD 6777.03**					**100,587.50**	**0.00**
01SEP17	S	1 PD01DEC17		6835.00	6510.00	USD	8,125.00	
01SEP17	S	1 PD01DEC17		6835.50	6510.00	USD	8,137.50	
01SEP17	S	1 PD01DEC17		6837.00	6510.00	USD	8,175.00	
01SEP17	S	1 PD01DEC17		6837.50	6510.00	USD	8,187.50	
01SEP17	S	1 PD01DEC17		6838.00	6510.00	USD	8,200.00	
	NET	**-5 AVG SOLD 6836.60**					**40,825.00**	**0.00**
04SEP17	S	3 PD04DEC17		6882.50	6511.29	USD	27,840.75	
04SEP17	S	2 PD04DEC17		6888.00	6511.29	USD	18,835.50	
04SEP17	S	2 PD04DEC17		6906.00	6511.29	USD	19,735.50	
04SEP17	S	3 PD04DEC17		6908.50	6511.29	USD	29,790.75	
	NET	**-10 AVG SOLD 6896.10**					**96,202.50**	**0.00**
05SEP17	B	1 PD05DEC17		6953.00	6511.71	USD	-11,032.25	
05SEP17	B	1 PD05DEC17		6956.00	6511.71	USD	-11,107.25	
05SEP17	B	1 PD05DEC17		6957.00	6511.71	USD	-11,132.25	
05SEP17	B	5 PD05DEC17		6958.00	6511.71	USD	-55,786.25	
05SEP17	B	1 PD05DEC17		6960.00	6511.71	USD	-11,207.25	
05SEP17	B	1 PD05DEC17		6952.00	6511.71	USD	-11,007.25	
05SEP17	S	3 PD05DEC17		6847.00	6511.71	USD	25,146.75	
05SEP17	S	2 PD05DEC17		6860.50	6511.71	USD	17,439.50	
	NET	**+5 AVG BGHT 6956.80**	**SOLD 6852.40**				**-68,686.25**	**0.00**
06SEP17	S	5 PD06DEC17		6884.50	6512.14	USD	46,545.00	
06SEP17	S	5 PD06DEC17		6886.50	6512.14	USD	46,795.00	
	NET	**-10 AVG SOLD 6885.50**					**93,340.00**	**0.00**
08SEP17	B	3 PD08DEC17		6827.00	6513.00	USD	-23,550.00	
08SEP17	B	2 PD08DEC17		6828.00	6513.00	USD	-15,750.00	
08SEP17	B	2 PD08DEC17		6789.00	6513.00	USD	-13,800.00	
08SEP17	S	2 PD08DEC17		6794.50	6513.00	USD	14,075.00	
08SEP17	B	2 PD08DEC17		6760.00	6513.00	USD	-12,350.00	
08SEP17	B	2 PD08DEC17		6765.00	6513.00	USD	-12,600.00	
08SEP17	B	1 PD08DEC17		6768.00	6513.00	USD	-6,375.00	
	NET	**+10 AVG BGHT 6794.42**	**SOLD 6794.50**				**-70,350.00**	**0.00**
11SEP17	B	2 PD11DEC17		6700.00	6514.19	USD	-9,290.50	
11SEP17	B	1 PD11DEC17		6707.00	6514.19	USD	-4,820.25	
11SEP17	B	2 PD11DEC17		6719.50	6514.19	USD	-10,265.50	
11SEP17	B	1 PD11DEC17		6721.00	6514.19	USD	-5,170.25	
11SEP17	B	1 PD11DEC17		6734.00	6514.19	USD	-5,495.25	
11SEP17	B	2 PD11DEC17		6714.00	6514.19	USD	-9,990.50	
11SEP17	B	1 PD11DEC17		6735.00	6514.19	USD	-5,520.25	
	NET	**+10 AVG BGHT 6716.40**					**-50,552.50**	**0.00**
12SEP17	B	1 PD12DEC17		6710.00	6514.58	USD	-4,885.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0011D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
12SEP17	B 1 PD12DEC17	6712.00	6514.58	USD	-4,935.50	
12SEP17	B 1 PD12DEC17	6733.50	6514.58	USD	-5,473.00	
12SEP17	B 2 PD12DEC17	6735.00	6514.58	USD	-11,021.00	
12SEP17	B 1 PD12DEC17	6738.00	6514.58	USD	-5,585.50	
12SEP17	B 1 PD12DEC17	6740.00	6514.58	USD	-5,635.50	
12SEP17	B 3 PD12DEC17	6652.00	6514.58	USD	-10,306.50	
12SEP17	B 2 PD12DEC17	6663.50	6514.58	USD	-7,446.00	
12SEP17	B 3 PD12DEC17	6715.50	6514.58	USD	-15,069.00	
12SEP17	B 2 PD12DEC17	6645.00	6514.58	USD	-6,521.00	
12SEP17	B 1 PD12DEC17	6649.00	6514.58	USD	-3,360.50	
12SEP17	B 2 PD12DEC17	6648.50	6514.58	USD	-6,696.00	
	NET +20 AVG BGHT 6688.45				**-86,935.00**	**0.00**
13SEP17	B 2 PD13DEC17	6567.00	6514.98	USD	-2,601.00	
13SEP17	B 3 PD13DEC17	6568.00	6514.98	USD	-3,976.50	
	NET +5 AVG BGHT 6567.60				**-6,577.50**	**0.00**
18SEP17	S 5 PD18DEC17	6550.00	6516.96	USD	4,130.00	
18SEP17	S 2 PD18DEC17	6552.00	6516.96	USD	1,752.00	
18SEP17	S 1 PD18DEC17	6553.00	6516.96	USD	901.00	
18SEP17	S 1 PD18DEC17	6554.00	6516.96	USD	926.00	
18SEP17	S 1 PD18DEC17	6554.50	6516.96	USD	938.50	
18SEP17	S 2 PD18DEC17	6537.00	6516.96	USD	1,002.00	
18SEP17	S 3 PD18DEC17	6538.00	6516.96	USD	1,578.00	
18SEP17	S 2 PD18DEC17	6510.50	6516.96	USD	-323.00	
18SEP17	S 1 PD18DEC17	6514.50	6516.96	USD	-61.50	
18SEP17	S 1 PD18DEC17	6516.00	6516.96	USD	-24.00	
18SEP17	S 1 PD18DEC17	6515.00	6516.96	USD	-49.00	
	NET -20 AVG SOLD 6538.50				**10,770.00**	**0.00**
19SEP17	S 5 PD19DEC17	6540.00	6517.35	USD	2,831.25	
19SEP17	S 3 PD19DEC17	6528.00	6517.35	USD	798.75	
19SEP17	S 1 PD19DEC17	6534.00	6517.35	USD	416.25	
19SEP17	S 1 PD19DEC17	6536.00	6517.35	USD	466.25	
19SEP17	B 5 PD19DEC17	6513.00	6517.35	USD	543.75	
19SEP17	B 2 PD19DEC17	6540.50	6517.35	USD	-1,157.50	
19SEP17	B 1 PD19DEC17	6536.50	6517.35	USD	-478.75	
19SEP17	B 1 PD19DEC17	6534.00	6517.35	USD	-416.25	
19SEP17	B 1 PD19DEC17	6533.00	6517.35	USD	-391.25	
19SEP17	B 2 PD19DEC17	6529.00	6517.35	USD	-582.50	
19SEP17	B 1 PD19DEC17	6529.50	6517.35	USD	-303.75	
19SEP17	B 2 PD19DEC17	6530.00	6517.35	USD	-632.50	
	NET +5 AVG BGHT 6526.47 SOLD 6535.40				**1,093.75**	**0.00**
21SEP17	S 1 PD21DEC17	6454.00	6516.75	USD	-1,568.75	
21SEP17	S 1 PD21DEC17	6456.00	6516.75	USD	-1,518.75	
21SEP17	S 2 PD21DEC17	6457.00	6516.75	USD	-2,987.50	
21SEP17	S 1 PD21DEC17	6458.50	6516.75	USD	-1,456.25	
21SEP17	S 1 PD21DEC17	6462.50	6516.75	USD	-1,356.25	
21SEP17	S 1 PD21DEC17	6463.00	6516.75	USD	-1,343.75	
21SEP17	S 1 PD21DEC17	6463.50	6516.75	USD	-1,331.25	
21SEP17	S 1 PD21DEC17	6464.00	6516.75	USD	-1,318.75	
21SEP17	S 1 PD21DEC17	6464.50	6516.75	USD	-1,306.25	
21SEP17	B 2 PD21DEC17	6472.00	6516.75	USD	2,237.50	
21SEP17	B 1 PD21DEC17	6478.00	6516.75	USD	968.75	
21SEP17	B 2 PD21DEC17	6473.00	6516.75	USD	2,187.50	
	NET -5 AVG BGHT 6473.60 SOLD 6460.00				**-8,793.75**	**0.00**
22SEP17	B 2 PD22DEC17	6415.50	6515.75	USD	5,012.50	
22SEP17	S 2 PD22DEC17	6420.00	6515.75	USD	-4,787.50	
22SEP17	B 5 PD22DEC17	6448.00	6515.75	USD	8,468.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0011D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT							
	NET	**+5**	**AVG BGHT 6438.71 SOLD 6420.00**				**8,693.75**	**0.00**
25SEP17	S	2	PD27DEC17	6453.00	6517.52	USD	-3,226.00	
25SEP17	S	1	PD27DEC17	6450.00	6517.52	USD	-1,688.00	
25SEP17	S	1	PD27DEC17	6451.00	6517.52	USD	-1,663.00	
25SEP17	S	1	PD27DEC17	6452.00	6517.52	USD	-1,638.00	
26SEP17	S	2	PD27DEC17	6519.50	6517.52	USD	99.00	
26SEP17	S	2	PD27DEC17	6521.00	6517.52	USD	174.00	
26SEP17	S	1	PD27DEC17	6523.50	6517.52	USD	149.50	
26SEP17	S	1	PD27DEC17	6410.50	6517.52	USD	-2,675.50	
26SEP17	S	1	PD27DEC17	6440.50	6517.52	USD	-1,925.50	
26SEP17	S	1	PD27DEC17	6442.00	6517.52	USD	-1,888.00	
26SEP17	S	2	PD27DEC17	6443.00	6517.52	USD	-3,726.00	
27SEP17	S	1	PD27DEC17	6440.00	6517.52	USD	-1,938.00	
27SEP17	S	3	PD27DEC17	6441.00	6517.52	USD	-5,739.00	
27SEP17	S	1	PD27DEC17	6443.50	6517.52	USD	-1,850.50	
27SEP17	B	5	PD27DEC17	6465.00	6517.52	USD	6,565.00	
27SEP17	B	5	PD27DEC17	6471.50	6517.52	USD	5,752.50	
	NET	**-10**	**AVG BGHT 6468.25 SOLD 6462.45**				**-15,217.50**	**0.00**
28SEP17	B	2	PD28DEC17	6513.00	6517.88	USD	244.00	
28SEP17	S	2	PD28DEC17	6511.00	6517.88	USD	-344.00	
28SEP17	B	2	PD28DEC17	6533.00	6517.88	USD	-756.00	
28SEP17	B	1	PD28DEC17	6525.00	6517.88	USD	-178.00	
28SEP17	B	1	PD28DEC17	6530.00	6517.88	USD	-303.00	
28SEP17	B	1	PD28DEC17	6531.00	6517.88	USD	-328.00	
28SEP17	B	1	PD28DEC17	6565.00	6517.88	USD	-1,178.00	
28SEP17	B	1	PD28DEC17	6567.00	6517.88	USD	-1,228.00	
28SEP17	B	2	PD28DEC17	6567.50	6517.88	USD	-2,481.00	
28SEP17	B	1	PD28DEC17	6559.00	6517.88	USD	-1,028.00	
	NET	**+10**	**AVG BGHT 6542.00 SOLD 6511.00**				**-7,580.00**	**0.00**
29SEP17	B	2	PD29DEC17	6528.00	6518.23	USD	-488.50	
29SEP17	B	1	PD29DEC17	6530.00	6518.23	USD	-294.25	
29SEP17	B	2	PD29DEC17	6532.00	6518.23	USD	-688.50	
29SEP17	S	1	PD29DEC17	6532.00	6518.23	USD	344.25	
29SEP17	S	2	PD29DEC17	6532.50	6518.23	USD	713.50	
29SEP17	S	2	PD29DEC17	6534.00	6518.23	USD	788.50	
	NET	**0**	**AVG BGHT 6530.00 SOLD 6533.00**				**375.00**	**0.00**
02OCT17	B	1	PD02JAN18	6529.50	6519.65	USD	-246.25	
02OCT17	B	2	PD02JAN18	6531.00	6519.65	USD	-567.50	
02OCT17	B	1	PD02JAN18	6510.00	6519.65	USD	241.25	
02OCT17	B	1	PD02JAN18	6519.00	6519.65	USD	16.25	
02OCT17	B	1	PD02JAN18	6519.50	6519.65	USD	3.75	
02OCT17	S	1	PD02JAN18	6529.00	6519.65	USD	233.75	
	NET	**+5**	**AVG BGHT 6523.33 SOLD 6529.00**				**-318.75**	**0.00**
03OCT17	S	5	PD03JAN18	6456.00	6520.00	USD	-8,000.00	
03OCT17	S	1	PD03JAN18	6466.00	6520.00	USD	-1,350.00	
03OCT17	S	2	PD03JAN18	6467.00	6520.00	USD	-2,650.00	
03OCT17	S	2	PD03JAN18	6467.50	6520.00	USD	-2,625.00	
	NET	**-10**	**AVG SOLD 6461.50**				**-14,625.00**	**0.00**
	CMX COPPER 25,000 CMX							
28SEP17	S	5	DEC17	297.000	296.350	USD	812.50	
28SEP17	S	2	DEC17	296.600	296.350	USD	125.00	
28SEP17	S	2	DEC17	296.900	296.350	USD	275.00	
28SEP17	S	2	DEC17	296.950	296.350	USD	300.00	
28SEP17	S	7	DEC17	298.650	296.350	USD	4,025.00	
28SEP17	S	2	DEC17	298.550	296.350	USD	1,100.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0011D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	CMX COPPER 25,000 CMX					
28SEP17	S 2 DEC17	298.150	296.350	USD	900.00	
02OCT17	S 3 DEC17	297.000	296.350	USD	487.50	
02OCT17	S 2 DEC17	296.900	296.350	USD	275.00	
02OCT17	S 2 DEC17	296.250	296.350	USD	-50.00	
02OCT17	S 2 DEC17	295.900	296.350	USD	-225.00	
02OCT17	S 2 DEC17	296.350	296.350	USD	0.00	
	NET -33 AVG SOLD 297.323				**8,025.00**	**0.00**
08SEP17	S 5 MAR18	309.600	298.250	USD	14,187.50	
08SEP17	S 2 MAR18	309.900	298.250	USD	5,825.00	
08SEP17	S 2 MAR18	309.800	298.250	USD	5,775.00	
08SEP17	S 1 MAR18	309.750	298.250	USD	2,875.00	
08SEP17	S 1 MAR18	308.900	298.250	USD	2,662.50	
12SEP17	S 2 MAR18	307.300	298.250	USD	4,525.00	
12SEP17	S 2 MAR18	307.250	298.250	USD	4,500.00	
12SEP17	S 7 MAR18	307.500	298.250	USD	16,187.50	
12SEP17	S 10 MAR18	305.000	298.250	USD	16,875.00	
	NET -32 AVG SOLD 307.427				**73,412.50**	**0.00**
12SEP17	B 10 MAY18	306.250	299.550	USD	-16,750.00	
	NET +10 AVG BGHT 306.250				**-16,750.00**	**0.00**

OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	-3,816,557.50
CMX Copper	FUTURES	4,146,700.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options		Amount	Profit / Loss	**Total Amount**	**Total P&L**
COPR - Futures	USD	64,687.50	64,687.50	**64,687.50**	**64,687.50**
Unrealised Profits & Losses - Forwards		**Amount**	**Profit / Loss**	**Total Amount**	**Total P&L**
COPL - Futures	USD	244,870.00	244,870.00	**244,870.00**	**244,870.00**

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-272,280.00	-272,280.00
Variation Margins	- Futures	64,687.50	64,687.50
	- Options	0.00	0.00
TOTAL EQUITY		**-207,592.50**	**-207,592.50**
Initial Margins		-267,203.00	-267,203.00
Excess/(Shortage)		**-474,795.50**	**-474,795.50**
FOR INFORMATION ONLY			
Forward Unrealised P&L		244,870.00	244,870.00
Net Liquidating Value		**37,277.50**	**37,277.50**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0011E

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
04JUL17	B 2 PD04OCT17	5882.00	6467.48	USD	29,274.00	
04JUL17	B 1 PD04OCT17	5881.00	6467.48	USD	14,662.00	
04JUL17	B 2 PD04OCT17	5876.50	6467.48	USD	29,549.00	
04JUL17	B 1 PD04OCT17	5876.00	6467.48	USD	14,787.00	
04JUL17	B 2 PD04OCT17	5879.50	6467.48	USD	29,399.00	
04JUL17	B 5 PD04OCT17	5880.00	6467.48	USD	73,435.00	
04JUL17	B 1 PD04OCT17	5883.00	6467.48	USD	14,612.00	
04JUL17	B 1 PD04OCT17	5882.50	6467.48	USD	14,624.50	
04JUL17	B 1 PD04OCT17	5878.50	6467.48	USD	14,724.50	
04JUL17	B 1 PD04OCT17	5877.00	6467.48	USD	14,762.00	
04JUL17	B 1 PD04OCT17	5875.00	6467.48	USD	14,812.00	
04JUL17	B 1 PD04OCT17	5872.50	6467.48	USD	14,874.50	
04JUL17	B 1 PD04OCT17	5871.50	6467.48	USD	14,899.50	
04JUL17	B 1 PD04OCT17	5892.00	6467.48	USD	14,387.00	
09AUG17	S 3 PD04OCT17	6441.80	6467.48	USD	-1,926.00	
02OCT17	S 18 PD04OCT17	6446.25	6467.48	USD	-9,553.50	
	NET 0 AVG BGHT 5879.29 SOLD 6445.61				**297,322.50**	**0.00**
14JUL17	S 1 PD13OCT17	5875.50	6478.90	USD	-15,085.00	
14JUL17	S 1 PD13OCT17	5882.50	6478.90	USD	-14,910.00	
20JUL17	B 2 PD13OCT17	5958.62	6478.90	USD	26,014.00	
	NET 0 AVG BGHT 5958.62 SOLD 5879.00				**-3,981.00**	**0.00**
21JUL17	B 1 PD20OCT17	6050.00	6486.75	USD	10,918.75	
21JUL17	B 2 PD20OCT17	6034.50	6486.75	USD	22,612.50	
02OCT17	S 3 PD20OCT17	6462.25	6486.75	USD	-1,837.50	
	NET 0 AVG BGHT 6039.67 SOLD 6462.25				**31,693.75**	**0.00**
25JUL17	B 1 PD25OCT17	6267.50	6490.29	USD	5,569.75	
25JUL17	B 2 PD25OCT17	6266.50	6490.29	USD	11,189.50	
25JUL17	B 1 PD25OCT17	6265.50	6490.29	USD	5,619.75	
25JUL17	B 1 PD25OCT17	6266.50	6490.29	USD	5,594.75	
02OCT17	S 5 PD25OCT17	6465.79	6490.29	USD	-3,062.50	
	NET 0 AVG BGHT 6266.50 SOLD 6465.79				**24,911.25**	**0.00**
26JUL17	S 1 PD26OCT17	6340.50	6490.82	USD	-3,758.00	
26JUL17	S 1 PD26OCT17	6344.00	6490.82	USD	-3,670.50	
26JUL17	S 1 PD26OCT17	6378.00	6490.82	USD	-2,820.50	
26JUL17	S 1 PD26OCT17	6381.00	6490.82	USD	-2,745.50	
26JUL17	S 1 PD26OCT17	6396.50	6490.82	USD	-2,358.00	
26JUL17	B 3 PD26OCT17	6275.00	6490.82	USD	16,186.50	
26JUL17	B 1 PD26OCT17	6274.00	6490.82	USD	5,420.50	
26JUL17	B 1 PD26OCT17	6273.00	6490.82	USD	5,445.50	
26JUL17	S 1 PD26OCT17	6331.00	6490.82	USD	-3,995.50	
26JUL17	S 1 PD26OCT17	6341.00	6490.82	USD	-3,745.50	
26JUL17	S 1 PD26OCT17	6342.00	6490.82	USD	-3,720.50	
26JUL17	S 1 PD26OCT17	6324.50	6490.82	USD	-4,158.00	
26JUL17	S 1 PD26OCT17	6323.50	6490.82	USD	-4,183.00	
09AUG17	B 5 PD26OCT17	6449.77	6490.82	USD	5,131.25	
	NET 0 AVG BGHT 6362.09 SOLD 6350.20				**-2,971.25**	**0.00**
27JUL17	S 1 PD27OCT17	6355.00	6491.36	USD	-3,409.00	
27JUL17	B 1 PD27OCT17	6317.00	6491.36	USD	4,359.00	
	NET 0 AVG BGHT 6317.00 SOLD 6355.00				**950.00**	**0.00**
31JUL17	S 2 PD31OCT17	6343.00	6493.50	USD	-7,525.00	
31JUL17	S 3 PD31OCT17	6344.00	6493.50	USD	-11,212.50	
09AUG17	B 5 PD31OCT17	6451.74	6493.50	USD	5,220.00	
	NET 0 AVG BGHT 6451.74 SOLD 6343.60				**-13,517.50**	**0.00**
02AUG17	S 1 PD02NOV17	6331.00	6494.69	USD	-4,092.25	
02AUG17	S 1 PD02NOV17	6327.50	6494.69	USD	-4,179.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0011E

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT							
02AUG17	S	1	PD02NOV17	6313.00	6494.69	USD	-4,542.25	
02AUG17	S	2	PD02NOV17	6314.50	6494.69	USD	-9,009.50	
02AUG17	S	1	PD02NOV17	6335.50	6494.69	USD	-3,979.75	
02AUG17	S	1	PD02NOV17	6340.50	6494.69	USD	-3,854.75	
02AUG17	S	1	PD02NOV17	6341.00	6494.69	USD	-3,842.25	
02AUG17	S	2	PD02NOV17	6341.50	6494.69	USD	-7,659.50	
02AUG17	B	5	PD02NOV17	6331.50	6494.69	USD	20,398.75	
02AUG17	B	1	PD02NOV17	6364.50	6494.69	USD	3,254.75	
09AUG17	B	4	PD02NOV17	6452.51	6494.69	USD	4,218.00	
	NET	**0**	**AVG BGHT 6383.20**	**SOLD 6330.05**			**-13,288.50**	**0.00**
03AUG17	S	1	PD03NOV17	6314.00	6495.28	USD	-4,532.00	
03AUG17	S	1	PD03NOV17	6316.50	6495.28	USD	-4,469.50	
03AUG17	S	2	PD03NOV17	6318.50	6495.28	USD	-8,839.00	
03AUG17	S	1	PD03NOV17	6319.50	6495.28	USD	-4,394.50	
04AUG17	S	1	PD03NOV17	6321.50	6495.28	USD	-4,344.50	
04AUG17	S	1	PD03NOV17	6327.00	6495.28	USD	-4,207.00	
04AUG17	S	2	PD03NOV17	6339.50	6495.28	USD	-7,789.00	
04AUG17	S	2	PD03NOV17	6338.00	6495.28	USD	-7,864.00	
09AUG17	B	11	PD03NOV17	6452.89	6495.28	USD	11,657.25	
	NET	**0**	**AVG BGHT 6452.89**	**SOLD 6326.41**			**-34,782.25**	**0.00**
07AUG17	S	2	PD07NOV17	6347.50	6497.66	USD	-7,508.00	
07AUG17	S	2	PD07NOV17	6348.50	6497.66	USD	-7,458.00	
07AUG17	S	1	PD07NOV17	6355.50	6497.66	USD	-3,554.00	
07AUG17	B	1	PD07NOV17	6367.00	6497.66	USD	3,266.50	
07AUG17	B	1	PD07NOV17	6364.50	6497.66	USD	3,329.00	
07AUG17	B	1	PD07NOV17	6363.50	6497.66	USD	3,354.00	
07AUG17	B	1	PD07NOV17	6365.50	6497.66	USD	3,304.00	
07AUG17	B	1	PD07NOV17	6356.00	6497.66	USD	3,541.50	
	NET	**0**	**AVG BGHT 6363.30**	**SOLD 6349.50**			**-1,725.00**	**0.00**
08AUG17	S	1	PD08NOV17	6408.00	6498.25	USD	-2,256.25	
09AUG17	B	1	PD08NOV17	6454.82	6498.25	USD	1,085.75	
	NET	**0**	**AVG BGHT 6454.82**	**SOLD 6408.00**			**-1,170.50**	**0.00**
10AUG17	S	1	PD10NOV17	6387.00	6499.18	USD	-2,804.50	
11AUG17	S	1	PD10NOV17	6368.00	6499.18	USD	-3,279.50	
11AUG17	S	1	PD10NOV17	6370.50	6499.18	USD	-3,217.00	
11AUG17	S	1	PD10NOV17	6369.50	6499.18	USD	-3,242.00	
11AUG17	S	1	PD10NOV17	6365.50	6499.18	USD	-3,342.00	
11AUG17	S	2	PD10NOV17	6363.50	6499.18	USD	-6,784.00	
11AUG17	S	2	PD10NOV17	6364.50	6499.18	USD	-6,734.00	
11AUG17	S	1	PD10NOV17	6378.00	6499.18	USD	-3,029.50	
11AUG17	S	1	PD10NOV17	6410.00	6499.18	USD	-2,229.50	
11AUG17	S	1	PD10NOV17	6431.00	6499.18	USD	-1,704.50	
02OCT17	B	12	PD10NOV17	6473.91	6499.18	USD	7,581.00	
	NET	**0**	**AVG BGHT 6473.91**	**SOLD 6377.96**			**-28,785.50**	**0.00**
14AUG17	B	2	PD14NOV17	6397.50	6501.04	USD	5,177.00	
14AUG17	B	1	PD14NOV17	6409.50	6501.04	USD	2,288.50	
14AUG17	B	1	PD14NOV17	6415.00	6501.04	USD	2,151.00	
14AUG17	B	1	PD14NOV17	6400.00	6501.04	USD	2,526.00	
14AUG17	B	2	PD14NOV17	6399.00	6501.04	USD	5,102.00	
14AUG17	B	3	PD14NOV17	6402.00	6501.04	USD	7,428.00	
02OCT17	S	10	PD14NOV17	6475.14	6501.04	USD	-6,475.00	
	NET	**0**	**AVG BGHT 6402.35**	**SOLD 6475.14**			**18,197.50**	**0.00**
16AUG17	S	1	PD16NOV17	6364.50	6501.00	USD	-3,412.50	
16AUG17	S	2	PD16NOV17	6369.00	6501.00	USD	-6,600.00	
16AUG17	S	2	PD16NOV17	6367.00	6501.00	USD	-6,700.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0011E

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT							
02OCT17	B	5	PD16NOV17	6475.20	6501.00	USD	3,225.00	
	NET	**0**	**AVG BGHT 6475.20 SOLD 6367.30**				**-13,487.50**	**0.00**
21AUG17	S	1	PD21NOV17	6521.00	6504.00	USD	425.00	
21AUG17	S	1	PD21NOV17	6508.50	6504.00	USD	112.50	
21AUG17	B	1	PD21NOV17	6608.50	6504.00	USD	-2,612.50	
21AUG17	B	8	PD21NOV17	6619.00	6504.00	USD	-23,000.00	
21AUG17	B	1	PD21NOV17	6620.00	6504.00	USD	-2,900.00	
21AUG17	B	3	PD21NOV17	6591.00	6504.00	USD	-6,525.00	
21AUG17	B	2	PD21NOV17	6590.00	6504.00	USD	-4,300.00	
21AUG17	B	1	PD21NOV17	6593.00	6504.00	USD	-2,225.00	
21AUG17	B	1	PD21NOV17	6592.00	6504.00	USD	-2,200.00	
21AUG17	B	1	PD21NOV17	6595.50	6504.00	USD	-2,287.50	
21AUG17	B	1	PD21NOV17	6596.50	6504.00	USD	-2,312.50	
21AUG17	B	1	PD21NOV17	6597.50	6504.00	USD	-2,337.50	
02OCT17	S	18	PD21NOV17	6477.75	6504.00	USD	-11,812.50	
	NET	**0**	**AVG BGHT 6605.40 SOLD 6481.45**				**-61,975.00**	**0.00**
23AUG17	B	5	PD24NOV17	6550.00	6505.80	USD	-5,525.00	
23AUG17	B	2	PD24NOV17	6551.00	6505.80	USD	-2,260.00	
23AUG17	B	3	PD24NOV17	6553.50	6505.80	USD	-3,577.50	
23AUG17	B	1	PD24NOV17	6546.50	6505.80	USD	-1,017.50	
23AUG17	S	1	PD24NOV17	6549.00	6505.80	USD	1,080.00	
02OCT17	S	10	PD24NOV17	6479.40	6505.80	USD	-6,600.00	
	NET	**0**	**AVG BGHT 6550.82 SOLD 6485.73**				**-17,900.00**	**0.00**
29AUG17	S	1	PD29NOV17	6808.50	6508.80	USD	7,492.50	
29AUG17	S	1	PD29NOV17	6806.50	6508.80	USD	7,442.50	
29AUG17	S	3	PD29NOV17	6812.00	6508.80	USD	22,740.00	
02OCT17	B	5	PD29NOV17	6482.35	6508.80	USD	3,306.25	
	NET	**0**	**AVG BGHT 6482.35 SOLD 6810.20**				**40,981.25**	**0.00**
30AUG17	B	1	PD30NOV17	6784.00	6509.40	USD	-6,865.00	
30AUG17	B	1	PD30NOV17	6792.50	6509.40	USD	-7,077.50	
30AUG17	B	2	PD30NOV17	6786.00	6509.40	USD	-13,830.00	
30AUG17	B	1	PD30NOV17	6783.50	6509.40	USD	-6,852.50	
31AUG17	S	2	PD30NOV17	6801.00	6509.40	USD	14,580.00	
31AUG17	S	2	PD30NOV17	6800.00	6509.40	USD	14,530.00	
31AUG17	S	1	PD30NOV17	6864.50	6509.40	USD	8,877.50	
31AUG17	B	2	PD30NOV17	6841.50	6509.40	USD	-16,605.00	
31AUG17	S	1	PD30NOV17	6810.00	6509.40	USD	7,515.00	
31AUG17	S	2	PD30NOV17	6791.50	6509.40	USD	14,105.00	
31AUG17	S	2	PD30NOV17	6793.50	6509.40	USD	14,205.00	
31AUG17	S	1	PD30NOV17	6786.50	6509.40	USD	6,927.50	
31AUG17	S	1	PD30NOV17	6783.50	6509.40	USD	6,852.50	
02OCT17	B	5	PD30NOV17	6482.90	6509.40	USD	3,312.50	
	NET	**0**	**AVG BGHT 6669.13 SOLD 6801.38**				**39,675.00**	**0.00**
01SEP17	S	1	PD01DEC17	6805.50	6510.00	USD	7,387.50	
01SEP17	S	1	PD01DEC17	6806.50	6510.00	USD	7,412.50	
01SEP17	S	1	PD01DEC17	6817.50	6510.00	USD	7,687.50	
01SEP17	S	1	PD01DEC17	6816.50	6510.00	USD	7,662.50	
01SEP17	S	1	PD01DEC17	6817.00	6510.00	USD	7,675.00	
01SEP17	S	1	PD01DEC17	6831.00	6510.00	USD	8,025.00	
02OCT17	B	6	PD01DEC17	6483.45	6510.00	USD	3,982.50	
	NET	**0**	**AVG BGHT 6483.45 SOLD 6815.67**				**49,832.50**	**0.00**
06SEP17	S	5	PD06DEC17	6911.00	6512.14	USD	49,857.50	
06SEP17	S	5	PD06DEC17	6908.50	6512.14	USD	49,545.00	
02OCT17	B	10	PD06DEC17	6484.62	6512.14	USD	6,880.00	
	NET	**0**	**AVG BGHT 6484.62 SOLD 6909.75**				**106,282.50**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0011E

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
08SEP17	S 5 PD08DEC17	6820.50	6513.00	USD	38,437.50	
08SEP17	S 5 PD08DEC17	6720.00	6513.00	USD	25,875.00	
02OCT17	B 10 PD08DEC17	6485.45	6513.00	USD	6,887.50	
	NET 0 AVG BGHT 6485.45 SOLD 6770.25				**71,200.00**	**0.00**
15SEP17	S 1 PD15DEC17	6531.00	6515.77	USD	380.75	
15SEP17	S 1 PD15DEC17	6534.00	6515.77	USD	455.75	
15SEP17	S 1 PD15DEC17	6536.50	6515.77	USD	518.25	
15SEP17	S 1 PD15DEC17	6538.50	6515.77	USD	568.25	
15SEP17	S 1 PD15DEC17	6539.50	6515.77	USD	593.25	
02OCT17	B 5 PD15DEC17	6488.37	6515.77	USD	3,425.00	
	NET 0 AVG BGHT 6488.37 SOLD 6535.90				**5,941.25**	**0.00**
18SEP17	S 1 PD18DEC17	6554.50	6516.96	USD	938.50	
18SEP17	S 1 PD18DEC17	6555.50	6516.96	USD	963.50	
18SEP17	S 2 PD18DEC17	6551.00	6516.96	USD	1,702.00	
18SEP17	S 1 PD18DEC17	6556.50	6516.96	USD	988.50	
18SEP17	S 1 PD18DEC17	6564.50	6516.96	USD	1,188.50	
18SEP17	S 2 PD18DEC17	6574.00	6516.96	USD	2,852.00	
18SEP17	S 2 PD18DEC17	6567.00	6516.96	USD	2,502.00	
18SEP17	S 4 PD18DEC17	6572.50	6516.96	USD	5,554.00	
18SEP17	S 2 PD18DEC17	6570.50	6516.96	USD	2,677.00	
02OCT17	B 6 PD18DEC17	6489.62	6516.96	USD	4,101.00	
	NET -10 AVG BGHT 6489.62 SOLD 6565.38				**23,467.00**	**0.00**
	CMX COPPER 25,000 CMX					
18SEP17	B 4 DEC17	298.600	296.350	USD	-2,250.00	
18SEP17	B 10 DEC17	298.850	296.350	USD	-6,250.00	
18SEP17	B 6 DEC17	298.800	296.350	USD	-3,675.00	
18SEP17	B 2 DEC17	298.750	296.350	USD	-1,200.00	
	NET +22 AVG BGHT 298.782				**-13,375.00**	**0.00**

OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	2,146,110.50
CMX Copper	FUTURES	-1,643,300.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options			Amount	Profit / Loss	**Total Amount**	**Total P&L**
COPR	- Futures	USD	-13,375.00	-13,375.00	**-13,375.00**	**-13,375.00**
Unrealised Profits & Losses - Forwards			**Amount**	**Profit / Loss**	**Total Amount**	**Total P&L**
COPL	- Futures	USD	516,870.50	516,870.50	**516,870.50**	**516,870.50**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0011E

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-488,757.25	-488,757.25
Variation Margins	- Futures	-13,375.00	-13,375.00
	- Options	0.00	0.00
TOTAL EQUITY		**-502,132.25**	**-502,132.25**
Initial Margins		-69,300.00	-69,300.00
Excess/(Shortage)		**-571,432.25**	**-571,432.25**
FOR INFORMATION ONLY			
Forward Unrealised P&L		516,870.50	516,870.50
Net Liquidating Value		**14,738.25**	**14,738.25**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0011F

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT							
04JUL17	S	1	PD04OCT17	5886.00	6467.48	USD	-14,537.00	
04JUL17	S	1	PD04OCT17	5886.50	6467.48	USD	-14,524.50	
04JUL17	S	3	PD04OCT17	5888.00	6467.48	USD	-43,461.00	
04JUL17	S	3	PD04OCT17	5877.00	6467.48	USD	-44,286.00	
04JUL17	S	2	PD04OCT17	5878.00	6467.48	USD	-29,474.00	
04JUL17	B	2	PD04OCT17	5901.00	6467.48	USD	28,324.00	
04JUL17	B	3	PD04OCT17	5896.00	6467.48	USD	42,861.00	
20JUL17	B	5	PD04OCT17	5954.74	6467.48	USD	64,092.50	
	NET	**0**	**AVG BGHT 5926.37**	**SOLD 5882.35**			**-11,005.00**	**0.00**
05JUL17	S	5	PD05OCT17	5865.00	6468.75	USD	-75,468.75	
05JUL17	S	2	PD05OCT17	5840.00	6468.75	USD	-31,437.50	
05JUL17	S	3	PD05OCT17	5841.50	6468.75	USD	-47,043.75	
20JUL17	B	10	PD05OCT17	5955.22	6468.75	USD	128,382.50	
	NET	**0**	**AVG BGHT 5955.22**	**SOLD 5852.95**			**-25,567.50**	**0.00**
06JUL17	B	2	PD06OCT17	5856.00	6470.02	USD	30,701.00	
06JUL17	B	1	PD06OCT17	5866.00	6470.02	USD	15,100.50	
06JUL17	B	1	PD06OCT17	5861.50	6470.02	USD	15,213.00	
06JUL17	B	1	PD06OCT17	5857.50	6470.02	USD	15,313.00	
06JUL17	B	3	PD06OCT17	5849.00	6470.02	USD	46,576.50	
06JUL17	S	3	PD06OCT17	5845.00	6470.02	USD	-46,876.50	
06JUL17	S	5	PD06OCT17	5853.00	6470.02	USD	-77,127.50	
07JUL17	B	2	PD06OCT17	5859.00	6470.02	USD	30,551.00	
07JUL17	B	3	PD06OCT17	5851.00	6470.02	USD	46,426.50	
07JUL17	S	5	PD06OCT17	5836.50	6470.02	USD	-79,190.00	
07JUL17	B	3	PD06OCT17	5838.00	6470.02	USD	47,401.50	
07JUL17	B	2	PD06OCT17	5837.00	6470.02	USD	31,651.00	
07JUL17	B	2	PD06OCT17	5834.00	6470.02	USD	31,801.00	
07JUL17	B	1	PD06OCT17	5828.00	6470.02	USD	16,050.50	
07JUL17	B	2	PD06OCT17	5829.00	6470.02	USD	32,051.00	
07JUL17	B	1	PD06OCT17	5829.50	6470.02	USD	16,013.00	
07JUL17	B	2	PD06OCT17	5830.50	6470.02	USD	31,976.00	
07JUL17	S	8	PD06OCT17	5830.00	6470.02	USD	-128,004.00	
07JUL17	S	2	PD06OCT17	5832.00	6470.02	USD	-31,901.00	
07JUL17	S	3	PD06OCT17	5825.00	6470.02	USD	-48,376.50	
	NET	**0**	**AVG BGHT 5844.13**	**SOLD 5836.98**			**-4,650.00**	**0.00**
10JUL17	S	5	PD10OCT17	5803.50	6475.10	USD	-83,950.00	
20JUL17	B	5	PD10OCT17	5957.37	6475.10	USD	64,716.25	
	NET	**0**	**AVG BGHT 5957.37**	**SOLD 5803.50**			**-19,233.75**	**0.00**
11JUL17	B	4	PD11OCT17	5844.50	6476.37	USD	63,187.00	
11JUL17	B	1	PD11OCT17	5847.00	6476.37	USD	15,734.25	
11JUL17	B	2	PD11OCT17	5888.00	6476.37	USD	29,418.50	
11JUL17	B	3	PD11OCT17	5885.00	6476.37	USD	44,352.75	
20JUL17	S	10	PD11OCT17	5957.58	6476.37	USD	-129,697.50	
	NET	**0**	**AVG BGHT 5865.60**	**SOLD 5957.58**			**22,995.00**	**0.00**
12JUL17	B	1	PD12OCT17	5910.50	6477.63	USD	14,178.25	
12JUL17	B	2	PD12OCT17	5913.00	6477.63	USD	28,231.50	
12JUL17	B	2	PD12OCT17	5911.00	6477.63	USD	28,331.50	
09AUG17	S	5	PD12OCT17	6446.87	6477.63	USD	-3,845.00	
	NET	**0**	**AVG BGHT 5911.70**	**SOLD 6446.87**			**66,896.25**	**0.00**
13JUL17	S	3	PD13OCT17	5875.00	6478.90	USD	-45,292.50	
13JUL17	S	2	PD13OCT17	5882.50	6478.90	USD	-29,820.00	
13JUL17	S	5	PD13OCT17	5881.00	6478.90	USD	-74,737.50	
14JUL17	S	2	PD13OCT17	5880.00	6478.90	USD	-29,945.00	
14JUL17	S	3	PD13OCT17	5880.50	6478.90	USD	-44,880.00	
14JUL17	S	2	PD13OCT17	5883.00	6478.90	USD	-29,795.00	
14JUL17	S	3	PD13OCT17	5884.00	6478.90	USD	-44,617.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0011F

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT							
14JUL17	B	2	PD13OCT17	5882.00	6478.90	USD	29,845.00	
14JUL17	B	2	PD13OCT17	5892.50	6478.90	USD	29,320.00	
14JUL17	B	3	PD13OCT17	5899.00	6478.90	USD	43,492.50	
14JUL17	S	1	PD13OCT17	5896.50	6478.90	USD	-14,560.00	
14JUL17	S	1	PD13OCT17	5888.00	6478.90	USD	-14,772.50	
14JUL17	B	5	PD13OCT17	5916.00	6478.90	USD	70,362.50	
20JUL17	B	10	PD13OCT17	5958.62	6478.90	USD	130,070.00	
	NET	**0**	**AVG BGHT 5927.83**	**SOLD 5881.77**			**-25,330.00**	**0.00**
17JUL17	B	3	PD17OCT17	6002.50	6483.98	USD	36,111.00	
17JUL17	B	2	PD17OCT17	6003.00	6483.98	USD	24,049.00	
09AUG17	S	5	PD17OCT17	6448.75	6483.98	USD	-4,403.75	
	NET	**0**	**AVG BGHT 6002.70**	**SOLD 6448.75**			**55,756.25**	**0.00**
18JUL17	S	2	PD18OCT17	5991.00	6485.25	USD	-24,712.50	
18JUL17	B	2	PD18OCT17	5980.00	6485.25	USD	25,262.50	
18JUL17	B	1	PD18OCT17	6006.00	6485.25	USD	11,981.25	
18JUL17	B	1	PD18OCT17	6007.00	6485.25	USD	11,956.25	
18JUL17	B	2	PD18OCT17	6008.00	6485.25	USD	23,862.50	
18JUL17	B	1	PD18OCT17	6004.00	6485.25	USD	12,031.25	
09AUG17	S	5	PD18OCT17	6449.00	6485.25	USD	-4,531.25	
	NET	**0**	**AVG BGHT 5999.00**	**SOLD 6318.14**			**55,850.00**	**0.00**
19JUL17	B	3	PD19OCT17	5989.50	6486.00	USD	37,237.50	
19JUL17	B	2	PD19OCT17	5989.00	6486.00	USD	24,850.00	
19JUL17	B	2	PD19OCT17	6001.00	6486.00	USD	24,250.00	
19JUL17	B	3	PD19OCT17	5988.00	6486.00	USD	37,350.00	
19JUL17	S	1	PD19OCT17	5970.50	6486.00	USD	-12,887.50	
19JUL17	S	2	PD19OCT17	5983.00	6486.00	USD	-25,150.00	
19JUL17	S	1	PD19OCT17	5973.50	6486.00	USD	-12,812.50	
19JUL17	S	1	PD19OCT17	5968.00	6486.00	USD	-12,950.00	
19JUL17	S	2	PD19OCT17	5969.00	6486.00	USD	-25,850.00	
19JUL17	S	1	PD19OCT17	5969.50	6486.00	USD	-12,912.50	
19JUL17	S	1	PD19OCT17	5970.00	6486.00	USD	-12,900.00	
19JUL17	S	1	PD19OCT17	5965.00	6486.00	USD	-13,025.00	
	NET	**0**	**AVG BGHT 5991.25**	**SOLD 5972.05**			**-4,800.00**	**0.00**
21JUL17	B	2	PD20OCT17	6016.50	6486.75	USD	23,512.50	
21JUL17	B	1	PD20OCT17	6036.50	6486.75	USD	11,256.25	
21JUL17	B	2	PD20OCT17	6038.00	6486.75	USD	22,437.50	
21JUL17	B	2	PD20OCT17	6032.50	6486.75	USD	22,712.50	
21JUL17	B	1	PD20OCT17	6028.00	6486.75	USD	11,468.75	
21JUL17	B	2	PD20OCT17	6030.50	6486.75	USD	22,812.50	
21JUL17	S	2	PD20OCT17	6002.00	6486.75	USD	-24,237.50	
21JUL17	S	3	PD20OCT17	6002.50	6486.75	USD	-36,318.75	
09AUG17	S	5	PD20OCT17	6447.00	6486.75	USD	-4,968.75	
	NET	**0**	**AVG BGHT 6029.95**	**SOLD 6224.65**			**48,675.00**	**0.00**
26JUL17	S	5	PD26OCT17	6350.00	6490.82	USD	-17,602.50	
26JUL17	B	3	PD26OCT17	6291.00	6490.82	USD	14,986.50	
26JUL17	B	2	PD26OCT17	6295.00	6490.82	USD	9,791.00	
26JUL17	B	2	PD26OCT17	6284.00	6490.82	USD	10,341.00	
26JUL17	B	3	PD26OCT17	6286.00	6490.82	USD	15,361.50	
26JUL17	B	2	PD26OCT17	6274.50	6490.82	USD	10,816.00	
26JUL17	B	2	PD26OCT17	6279.50	6490.82	USD	10,566.00	
26JUL17	B	1	PD26OCT17	6280.00	6490.82	USD	5,270.50	
26JUL17	S	2	PD26OCT17	6326.50	6490.82	USD	-8,216.00	
26JUL17	S	3	PD26OCT17	6329.00	6490.82	USD	-12,136.50	
26JUL17	S	2	PD26OCT17	6334.00	6490.82	USD	-7,841.00	
26JUL17	S	3	PD26OCT17	6341.00	6490.82	USD	-11,236.50	
	NET	**0**	**AVG BGHT 6285.13**	**SOLD 6338.73**			**20,100.00**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0011F

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
27JUL17	B 1 PD27OCT17	6334.00	6491.36	USD	3,934.00	
27JUL17	B 2 PD27OCT17	6335.00	6491.36	USD	7,818.00	
27JUL17	B 2 PD27OCT17	6337.50	6491.36	USD	7,693.00	
27JUL17	B 2 PD27OCT17	6354.50	6491.36	USD	6,843.00	
27JUL17	B 5 PD27OCT17	6356.50	6491.36	USD	16,857.50	
27JUL17	B 3 PD27OCT17	6350.00	6491.36	USD	10,602.00	
27JUL17	S 3 PD27OCT17	6346.00	6491.36	USD	-10,902.00	
27JUL17	S 2 PD27OCT17	6352.00	6491.36	USD	-6,968.00	
28JUL17	S 2 PD27OCT17	6269.00	6491.36	USD	-11,118.00	
28JUL17	S 3 PD27OCT17	6270.50	6491.36	USD	-16,564.50	
09AUG17	S 5 PD27OCT17	6450.00	6491.36	USD	-5,170.00	
	NET 0 AVG BGHT 6348.03 SOLD 6356.10				**3,025.00**	**0.00**
31JUL17	B 1 PD31OCT17	6413.50	6493.50	USD	2,000.00	
31JUL17	B 1 PD31OCT17	6414.00	6493.50	USD	1,987.50	
31JUL17	S 5 PD31OCT17	6386.50	6493.50	USD	-13,375.00	
31JUL17	B 1 PD31OCT17	6411.00	6493.50	USD	2,062.50	
31JUL17	B 2 PD31OCT17	6397.00	6493.50	USD	4,825.00	
31JUL17	S 1 PD31OCT17	6395.00	6493.50	USD	-2,462.50	
31JUL17	S 3 PD31OCT17	6371.50	6493.50	USD	-9,150.00	
31JUL17	S 1 PD31OCT17	6375.00	6493.50	USD	-2,962.50	
31JUL17	S 5 PD31OCT17	6377.00	6493.50	USD	-14,562.50	
31JUL17	S 2 PD31OCT17	6364.50	6493.50	USD	-6,450.00	
31JUL17	S 3 PD31OCT17	6362.50	6493.50	USD	-9,825.00	
31JUL17	S 2 PD31OCT17	6374.00	6493.50	USD	-5,975.00	
31JUL17	S 3 PD31OCT17	6374.50	6493.50	USD	-8,925.00	
09AUG17	B 20 PD31OCT17	6451.74	6493.50	USD	20,880.00	
	NET 0 AVG BGHT 6442.69 SOLD 6375.60				**-41,932.50**	**0.00**
01AUG17	B 3 PD01NOV17	6379.00	6494.09	USD	8,631.75	
01AUG17	B 2 PD01NOV17	6380.00	6494.09	USD	5,704.50	
01AUG17	S 2 PD01NOV17	6352.00	6494.09	USD	-7,104.50	
01AUG17	S 3 PD01NOV17	6357.00	6494.09	USD	-10,281.75	
01AUG17	S 3 PD01NOV17	6339.50	6494.09	USD	-11,594.25	
01AUG17	S 2 PD01NOV17	6340.50	6494.09	USD	-7,679.50	
09AUG17	B 5 PD01NOV17	6452.12	6494.09	USD	5,246.25	
	NET 0 AVG BGHT 6415.76 SOLD 6347.45				**-17,077.50**	**0.00**
03AUG17	S 2 PD03NOV17	6318.00	6495.28	USD	-8,864.00	
03AUG17	S 3 PD03NOV17	6319.00	6495.28	USD	-13,221.00	
03AUG17	B 1 PD03NOV17	6313.50	6495.28	USD	4,544.50	
03AUG17	B 2 PD03NOV17	6314.50	6495.28	USD	9,039.00	
03AUG17	B 2 PD03NOV17	6316.50	6495.28	USD	8,939.00	
03AUG17	B 3 PD03NOV17	6355.00	6495.28	USD	10,521.00	
03AUG17	S 5 PD03NOV17	6353.50	6495.28	USD	-17,722.50	
03AUG17	S 2 PD03NOV17	6355.50	6495.28	USD	-6,989.00	
03AUG17	B 2 PD03NOV17	6352.00	6495.28	USD	7,164.00	
03AUG17	S 1 PD03NOV17	6340.50	6495.28	USD	-3,869.50	
03AUG17	S 3 PD03NOV17	6341.50	6495.28	USD	-11,533.50	
03AUG17	S 1 PD03NOV17	6343.50	6495.28	USD	-3,794.50	
03AUG17	B 2 PD03NOV17	6353.00	6495.28	USD	7,114.00	
04AUG17	B 2 PD03NOV17	6348.00	6495.28	USD	7,364.00	
04AUG17	B 1 PD03NOV17	6354.00	6495.28	USD	3,532.00	
04AUG17	B 3 PD03NOV17	6374.00	6495.28	USD	9,096.00	
04AUG17	B 2 PD03NOV17	6378.00	6495.28	USD	5,864.00	
04AUG17	S 3 PD03NOV17	6356.50	6495.28	USD	-10,408.50	
04AUG17	S 2 PD03NOV17	6365.50	6495.28	USD	-6,489.00	
04AUG17	S 5 PD03NOV17	6362.50	6495.28	USD	-16,597.50	
04AUG17	B 2 PD03NOV17	6354.50	6495.28	USD	7,039.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0011F

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
04AUG17	B 3 PD03NOV17	6366.50	6495.28	USD	9,658.50	
04AUG17	B 1 PD03NOV17	6369.00	6495.28	USD	3,157.00	
04AUG17	B 1 PD03NOV17	6358.00	6495.28	USD	3,432.00	
04AUG17	S 5 PD03NOV17	6324.00	6495.28	USD	-21,410.00	
04AUG17	B 4 PD03NOV17	6383.00	6495.28	USD	11,228.00	
04AUG17	B 1 PD03NOV17	6383.50	6495.28	USD	2,794.50	
	NET 0 AVG BGHT 6357.17 SOLD 6344.16				**-10,412.50**	**0.00**
09AUG17	B 2 PD09NOV17	6490.50	6498.71	USD	410.50	
09AUG17	B 3 PD09NOV17	6492.00	6498.71	USD	503.25	
09AUG17	B 3 PD09NOV17	6486.50	6498.71	USD	915.75	
09AUG17	B 1 PD09NOV17	6487.00	6498.71	USD	292.75	
09AUG17	B 3 PD09NOV17	6484.00	6498.71	USD	1,103.25	
09AUG17	B 3 PD09NOV17	6487.50	6498.71	USD	840.75	
	NET +15 AVG BGHT 6487.87				**4,066.25**	**0.00**
11AUG17	B 2 PD10NOV17	6372.00	6499.18	USD	6,359.00	
11AUG17	B 1 PD10NOV17	6373.00	6499.18	USD	3,154.50	
11AUG17	S 3 PD10NOV17	6373.00	6499.18	USD	-9,463.50	
	NET 0 AVG BGHT 6372.33 SOLD 6373.00				**50.00**	**0.00**
14AUG17	S 2 PD14NOV17	6408.00	6501.04	USD	-4,652.00	
14AUG17	S 2 PD14NOV17	6417.50	6501.04	USD	-4,177.00	
14AUG17	S 1 PD14NOV17	6406.00	6501.04	USD	-2,376.00	
14AUG17	S 3 PD14NOV17	6415.00	6501.04	USD	-6,453.00	
14AUG17	S 2 PD14NOV17	6418.00	6501.04	USD	-4,152.00	
14AUG17	S 2 PD14NOV17	6418.50	6501.04	USD	-4,127.00	
14AUG17	S 3 PD14NOV17	6419.50	6501.04	USD	-6,115.50	
14AUG17	B 2 PD14NOV17	6409.00	6501.04	USD	4,602.00	
14AUG17	B 1 PD14NOV17	6409.50	6501.04	USD	2,288.50	
14AUG17	B 2 PD14NOV17	6411.00	6501.04	USD	4,502.00	
14AUG17	B 5 PD14NOV17	6403.00	6501.04	USD	12,255.00	
	NET -5 AVG BGHT 6406.45 SOLD 6415.57				**-8,405.00**	**0.00**
15AUG17	S 2 PD15NOV17	6370.00	6501.50	USD	-6,575.00	
15AUG17	B 2 PD15NOV17	6364.50	6501.50	USD	6,850.00	
	NET 0 AVG BGHT 6364.50 SOLD 6370.00				**275.00**	**0.00**
16AUG17	S 7 PD16NOV17	6382.00	6501.00	USD	-20,825.00	
16AUG17	S 3 PD16NOV17	6383.00	6501.00	USD	-8,850.00	
16AUG17	B 2 PD16NOV17	6454.00	6501.00	USD	2,350.00	
16AUG17	B 1 PD16NOV17	6459.50	6501.00	USD	1,037.50	
16AUG17	B 2 PD16NOV17	6458.00	6501.00	USD	2,150.00	
16AUG17	B 2 PD16NOV17	6485.50	6501.00	USD	775.00	
16AUG17	B 1 PD16NOV17	6487.50	6501.00	USD	337.50	
16AUG17	B 3 PD16NOV17	6491.50	6501.00	USD	712.50	
16AUG17	B 3 PD16NOV17	6491.00	6501.00	USD	750.00	
16AUG17	B 1 PD16NOV17	6494.00	6501.00	USD	175.00	
16AUG17	S 1 PD16NOV17	6491.00	6501.00	USD	-250.00	
16AUG17	B 3 PD16NOV17	6487.00	6501.00	USD	1,050.00	
16AUG17	B 3 PD16NOV17	6481.00	6501.00	USD	1,500.00	
16AUG17	B 3 PD16NOV17	6483.00	6501.00	USD	1,350.00	
16AUG17	B 2 PD16NOV17	6490.50	6501.00	USD	525.00	
	NET +15 AVG BGHT 6481.44 SOLD 6392.18				**-17,212.50**	**0.00**
17AUG17	B 2 PD17NOV17	6523.50	6501.60	USD	-1,095.00	
17AUG17	B 1 PD17NOV17	6525.00	6501.60	USD	-585.00	
17AUG17	B 2 PD17NOV17	6526.00	6501.60	USD	-1,220.00	
17AUG17	S 2 PD17NOV17	6473.00	6501.60	USD	-1,430.00	
17AUG17	S 3 PD17NOV17	6475.00	6501.60	USD	-1,995.00	
18AUG17	S 1 PD17NOV17	6489.50	6501.60	USD	-302.50	
18AUG17	S 1 PD17NOV17	6490.50	6501.60	USD	-277.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0011F

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT							
18AUG17	S	6	PD17NOV17	6491.50	6501.60	USD	-1,515.00	
18AUG17	S	2	PD17NOV17	6492.00	6501.60	USD	-480.00	
18AUG17	S	3	PD17NOV17	6493.00	6501.60	USD	-645.00	
18AUG17	S	2	PD17NOV17	6494.50	6501.60	USD	-355.00	
18AUG17	S	2	PD17NOV17	6499.00	6501.60	USD	-130.00	
18AUG17	S	3	PD17NOV17	6500.50	6501.60	USD	-82.50	
18AUG17	S	2	PD17NOV17	6502.00	6501.60	USD	20.00	
18AUG17	S	3	PD17NOV17	6503.00	6501.60	USD	105.00	
18AUG17	B	2	PD17NOV17	6501.00	6501.60	USD	30.00	
18AUG17	B	3	PD17NOV17	6502.00	6501.60	USD	-30.00	
18AUG17	B	1	PD17NOV17	6486.50	6501.60	USD	377.50	
18AUG17	B	2	PD17NOV17	6487.00	6501.60	USD	730.00	
18AUG17	B	1	PD17NOV17	6487.50	6501.60	USD	352.50	
18AUG17	B	1	PD17NOV17	6488.00	6501.60	USD	340.00	
	NET	**-15**	**AVG BGHT 6504.53** **SOLD 6492.15**				**-8,187.50**	**0.00**
21AUG17	B	2	PD21NOV17	6574.00	6504.00	USD	-3,500.00	
21AUG17	B	1	PD21NOV17	6585.50	6504.00	USD	-2,037.50	
21AUG17	B	1	PD21NOV17	6591.00	6504.00	USD	-2,175.00	
21AUG17	B	1	PD21NOV17	6591.50	6504.00	USD	-2,187.50	
	NET	**+5**	**AVG BGHT 6583.20**				**-9,900.00**	**0.00**
22AUG17	B	1	PD22NOV17	6628.00	6504.60	USD	-3,085.00	
22AUG17	B	2	PD22NOV17	6631.00	6504.60	USD	-6,320.00	
22AUG17	B	3	PD22NOV17	6632.00	6504.60	USD	-9,555.00	
22AUG17	B	1	PD22NOV17	6627.50	6504.60	USD	-3,072.50	
22AUG17	B	1	PD22NOV17	6626.50	6504.60	USD	-3,047.50	
22AUG17	B	1	PD22NOV17	6615.00	6504.60	USD	-2,760.00	
22AUG17	B	1	PD22NOV17	6616.00	6504.60	USD	-2,785.00	
22AUG17	B	4	PD22NOV17	6620.00	6504.60	USD	-11,540.00	
22AUG17	B	1	PD22NOV17	6621.00	6504.60	USD	-2,910.00	
22AUG17	B	2	PD22NOV17	6638.00	6504.60	USD	-6,670.00	
22AUG17	B	3	PD22NOV17	6639.00	6504.60	USD	-10,080.00	
	NET	**+20**	**AVG BGHT 6628.25**				**-61,825.00**	**0.00**
25AUG17	S	3	PD24NOV17	6723.00	6505.80	USD	16,290.00	
25AUG17	S	2	PD24NOV17	6724.00	6505.80	USD	10,910.00	
25AUG17	S	2	PD24NOV17	6729.50	6505.80	USD	11,185.00	
25AUG17	S	1	PD24NOV17	6730.50	6505.80	USD	5,617.50	
25AUG17	S	2	PD24NOV17	6731.50	6505.80	USD	11,285.00	
25AUG17	B	1	PD24NOV17	6719.00	6505.80	USD	-5,330.00	
25AUG17	B	1	PD24NOV17	6721.00	6505.80	USD	-5,380.00	
25AUG17	B	3	PD24NOV17	6718.00	6505.80	USD	-15,915.00	
	NET	**-5**	**AVG BGHT 6718.80** **SOLD 6726.95**				**28,662.50**	**0.00**
29AUG17	S	3	PD29NOV17	6780.00	6508.80	USD	20,340.00	
29AUG17	S	2	PD29NOV17	6787.00	6508.80	USD	13,910.00	
29AUG17	S	3	PD29NOV17	6790.50	6508.80	USD	21,127.50	
29AUG17	S	2	PD29NOV17	6791.50	6508.80	USD	14,135.00	
29AUG17	S	2	PD29NOV17	6775.00	6508.80	USD	13,310.00	
29AUG17	S	3	PD29NOV17	6773.00	6508.80	USD	19,815.00	
29AUG17	S	2	PD29NOV17	6792.50	6508.80	USD	14,185.00	
29AUG17	S	3	PD29NOV17	6794.50	6508.80	USD	21,427.50	
29AUG17	S	1	PD29NOV17	6803.50	6508.80	USD	7,367.50	
29AUG17	S	3	PD29NOV17	6805.00	6508.80	USD	22,215.00	
29AUG17	S	1	PD29NOV17	6805.50	6508.80	USD	7,417.50	
29AUG17	B	2	PD29NOV17	6810.00	6508.80	USD	-15,060.00	
29AUG17	B	3	PD29NOV17	6811.00	6508.80	USD	-22,665.00	
29AUG17	B	1	PD29NOV17	6822.00	6508.80	USD	-7,830.00	
29AUG17	B	1	PD29NOV17	6824.50	6508.80	USD	-7,892.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0011F

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT							
29AUG17	B	2	PD29NOV17	6827.00	6508.80	USD	-15,910.00	
29AUG17	B	1	PD29NOV17	6791.00	6508.80	USD	-7,055.00	
29AUG17	B	2	PD29NOV17	6794.00	6508.80	USD	-14,260.00	
29AUG17	B	3	PD29NOV17	6794.50	6508.80	USD	-21,427.50	
	NET	**-10**	**AVG BGHT 6807.73 SOLD 6789.20**				**63,150.00**	**0.00**
30AUG17	S	1	PD30NOV17	6785.00	6509.40	USD	6,890.00	
30AUG17	S	1	PD30NOV17	6786.50	6509.40	USD	6,927.50	
30AUG17	S	1	PD30NOV17	6787.00	6509.40	USD	6,940.00	
30AUG17	S	2	PD30NOV17	6788.00	6509.40	USD	13,930.00	
30AUG17	S	1	PD30NOV17	6790.00	6509.40	USD	7,015.00	
30AUG17	S	2	PD30NOV17	6790.50	6509.40	USD	14,055.00	
30AUG17	S	1	PD30NOV17	6796.00	6509.40	USD	7,165.00	
30AUG17	S	1	PD30NOV17	6777.00	6509.40	USD	6,690.00	
31AUG17	S	3	PD30NOV17	6806.00	6509.40	USD	22,245.00	
31AUG17	S	2	PD30NOV17	6807.50	6509.40	USD	14,905.00	
31AUG17	B	4	PD30NOV17	6854.00	6509.40	USD	-34,460.00	
31AUG17	B	1	PD30NOV17	6838.00	6509.40	USD	-8,215.00	
31AUG17	S	2	PD30NOV17	6792.00	6509.40	USD	14,130.00	
31AUG17	S	3	PD30NOV17	6794.00	6509.40	USD	21,345.00	
	NET	**-15**	**AVG BGHT 6850.80 SOLD 6793.88**				**99,562.50**	**0.00**
01SEP17	B	3	PD01DEC17	6802.00	6510.00	USD	-21,900.00	
01SEP17	B	2	PD01DEC17	6802.50	6510.00	USD	-14,625.00	
01SEP17	S	2	PD01DEC17	6818.00	6510.00	USD	15,400.00	
01SEP17	S	3	PD01DEC17	6824.00	6510.00	USD	23,550.00	
01SEP17	B	1	PD01DEC17	6825.50	6510.00	USD	-7,887.50	
01SEP17	B	2	PD01DEC17	6826.00	6510.00	USD	-15,800.00	
01SEP17	S	3	PD01DEC17	6840.50	6510.00	USD	24,787.50	
	NET	**0**	**AVG BGHT 6811.06 SOLD 6828.69**				**3,525.00**	**0.00**
05SEP17	B	2	PD05DEC17	6955.00	6511.71	USD	-22,164.50	
05SEP17	B	2	PD05DEC17	6956.00	6511.71	USD	-22,214.50	
05SEP17	B	1	PD05DEC17	6956.50	6511.71	USD	-11,119.75	
05SEP17	B	2	PD05DEC17	6958.00	6511.71	USD	-22,314.50	
05SEP17	B	3	PD05DEC17	6951.00	6511.71	USD	-32,946.75	
05SEP17	S	2	PD05DEC17	6919.50	6511.71	USD	20,389.50	
05SEP17	S	1	PD05DEC17	6926.50	6511.71	USD	10,369.75	
05SEP17	S	1	PD05DEC17	6927.00	6511.71	USD	10,382.25	
05SEP17	S	1	PD05DEC17	6926.00	6511.71	USD	10,357.25	
	NET	**+5**	**AVG BGHT 6954.75 SOLD 6923.70**				**-59,261.25**	**0.00**
06SEP17	S	2	PD06DEC17	6897.00	6512.14	USD	19,243.00	
06SEP17	S	3	PD06DEC17	6903.00	6512.14	USD	29,314.50	
06SEP17	S	2	PD06DEC17	6908.00	6512.14	USD	19,793.00	
06SEP17	S	3	PD06DEC17	6910.00	6512.14	USD	29,839.50	
	NET	**-10**	**AVG SOLD 6904.90**				**98,190.00**	**0.00**
11SEP17	B	2	PD11DEC17	6708.50	6514.19	USD	-9,715.50	
11SEP17	B	2	PD11DEC17	6710.00	6514.19	USD	-9,790.50	
11SEP17	B	1	PD11DEC17	6710.50	6514.19	USD	-4,907.75	
11SEP17	B	3	PD11DEC17	6725.00	6514.19	USD	-15,810.75	
11SEP17	B	4	PD11DEC17	6727.00	6514.19	USD	-21,281.00	
11SEP17	B	3	PD11DEC17	6728.00	6514.19	USD	-16,035.75	
11SEP17	B	1	PD11DEC17	6733.00	6514.19	USD	-5,470.25	
11SEP17	B	2	PD11DEC17	6733.50	6514.19	USD	-10,965.50	
11SEP17	B	2	PD11DEC17	6734.00	6514.19	USD	-10,990.50	
11SEP17	B	2	PD11DEC17	6754.00	6514.19	USD	-11,990.50	
11SEP17	B	3	PD11DEC17	6753.00	6514.19	USD	-17,910.75	
	NET	**+25**	**AVG BGHT 6729.98**				**-134,868.75**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0011F

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
12SEP17	B 1 PD12DEC17	6723.00	6514.58	USD	-5,210.50	
12SEP17	B 2 PD12DEC17	6724.00	6514.58	USD	-10,471.00	
12SEP17	B 2 PD12DEC17	6721.00	6514.58	USD	-10,321.00	
12SEP17	B 2 PD12DEC17	6687.00	6514.58	USD	-8,621.00	
12SEP17	B 3 PD12DEC17	6691.00	6514.58	USD	-13,231.50	
12SEP17	B 5 PD12DEC17	6729.00	6514.58	USD	-26,802.50	
12SEP17	S 5 PD12DEC17	6652.00	6514.58	USD	17,177.50	
	NET +10 AVG BGHT 6713.67 SOLD 6652.00				**-57,480.00**	**0.00**
13SEP17	B 1 PD13DEC17	6604.00	6514.98	USD	-2,225.50	
13SEP17	B 2 PD13DEC17	6607.00	6514.98	USD	-4,601.00	
13SEP17	B 2 PD13DEC17	6593.00	6514.98	USD	-3,901.00	
	NET +5 AVG BGHT 6600.80				**-10,727.50**	**0.00**
18SEP17	S 2 PD18DEC17	6530.00	6516.96	USD	652.00	
18SEP17	S 3 PD18DEC17	6531.50	6516.96	USD	1,090.50	
18SEP17	S 5 PD18DEC17	6527.00	6516.96	USD	1,255.00	
	NET -10 AVG SOLD 6528.95				**2,997.50**	**0.00**
19SEP17	S 2 PD19DEC17	6528.00	6517.35	USD	532.50	
19SEP17	S 3 PD19DEC17	6526.00	6517.35	USD	648.75	
19SEP17	B 2 PD19DEC17	6531.00	6517.35	USD	-682.50	
19SEP17	B 3 PD19DEC17	6527.50	6517.35	USD	-761.25	
	NET 0 AVG BGHT 6528.90 SOLD 6526.80				**-262.50**	**0.00**
21SEP17	S 3 PD21DEC17	6439.50	6516.75	USD	-5,793.75	
21SEP17	S 3 PD21DEC17	6440.50	6516.75	USD	-5,718.75	
21SEP17	S 4 PD21DEC17	6442.00	6516.75	USD	-7,475.00	
	NET -10 AVG SOLD 6440.80				**-18,987.50**	**0.00**
26SEP17	S 2 PD27DEC17	6504.50	6517.52	USD	-651.00	
26SEP17	S 3 PD27DEC17	6507.50	6517.52	USD	-751.50	
27SEP17	S 2 PD27DEC17	6461.50	6517.52	USD	-2,801.00	
27SEP17	S 3 PD27DEC17	6458.00	6517.52	USD	-4,464.00	
	NET -10 AVG SOLD 6482.85				**-8,667.50**	**0.00**
28SEP17	B 1 PD28DEC17	6446.00	6517.88	USD	1,797.00	
28SEP17	B 2 PD28DEC17	6447.00	6517.88	USD	3,544.00	
28SEP17	B 5 PD28DEC17	6454.00	6517.88	USD	7,985.00	
28SEP17	B 2 PD28DEC17	6458.00	6517.88	USD	2,994.00	
28SEP17	B 2 PD28DEC17	6470.00	6517.88	USD	2,394.00	
28SEP17	B 3 PD28DEC17	6474.00	6517.88	USD	3,291.00	
28SEP17	B 3 PD28DEC17	6562.00	6517.88	USD	-3,309.00	
28SEP17	B 2 PD28DEC17	6562.50	6517.88	USD	-2,231.00	
	NET +20 AVG BGHT 6484.95				**16,465.00**	**0.00**
29SEP17	S 1 PD29DEC17	6531.50	6518.23	USD	331.75	
29SEP17	S 3 PD29DEC17	6530.00	6518.23	USD	882.75	
29SEP17	S 1 PD29DEC17	6531.00	6518.23	USD	319.25	
29SEP17	B 2 PD29DEC17	6521.00	6518.23	USD	-138.50	
29SEP17	B 3 PD29DEC17	6516.50	6518.23	USD	129.75	
	NET 0 AVG BGHT 6518.30 SOLD 6530.50				**1,525.00**	**0.00**
03OCT17	S 5 PD03JAN18	6456.00	6520.00	USD	-8,000.00	
	NET -5 AVG SOLD 6456.00				**-8,000.00**	**0.00**
	CMX COPPER 25,000 CMX					
28SEP17	S 5 DEC17	294.100	296.350	USD	-2,812.50	
28SEP17	S 6 DEC17	294.250	296.350	USD	-3,150.00	
28SEP17	S 11 DEC17	298.450	296.350	USD	5,775.00	
	NET -22 AVG SOLD 296.316				**-187.50**	**0.00**
11SEP17	S 4 MAR18	308.000	298.250	USD	9,750.00	
11SEP17	S 1 MAR18	308.050	298.250	USD	2,450.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0011F

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	CMX COPPER 25,000 CMX					
11SEP17	S 5 MAR18	308.400	298.250	USD	12,687.50	
11SEP17	S 4 MAR18	308.200	298.250	USD	9,950.00	
11SEP17	S 2 MAR18	308.150	298.250	USD	4,950.00	
12SEP17	S 7 MAR18	307.950	298.250	USD	16,975.00	
12SEP17	S 4 MAR18	307.850	298.250	USD	9,600.00	
28SEP17	S 6 MAR18	295.250	298.250	USD	-4,500.00	
	NET -33 AVG SOLD 305.748				**61,862.50**	**0.00**

OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	-4,036,486.25
CMX Copper	FUTURES	4,152,162.50

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options			Amount	Profit / Loss	**Total Amount**	**Total P&L**
COPR	- Futures	USD	61,675.00	61,675.00	**61,675.00**	**61,675.00**
Unrealised Profits & Losses - Forwards			**Amount**	**Profit / Loss**	**Total Amount**	**Total P&L**
COPL	- Futures	USD	27,972.50	27,972.50	**27,972.50**	**27,972.50**

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-67,575.95	-67,575.95
Variation Margins	- Futures	61,675.00	61,675.00
	- Options	0.00	0.00
TOTAL EQUITY		**-5,900.95**	**-5,900.95**
Initial Margins		-477,619.50	-477,619.50
Excess/(Shortage)		**-483,520.45**	**-483,520.45**
FOR INFORMATION ONLY			
Forward Unrealised P&L		27,972.50	27,972.50
Net Liquidating Value		**22,071.55**	**22,071.55**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0011G

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT							
04JUL17	S	3	PD04OCT17	5885.00	6467.48	USD	-43,686.00	
04JUL17	S	2	PD04OCT17	5888.00	6467.48	USD	-28,974.00	
20JUL17	B	5	PD04OCT17	5954.74	6467.48	USD	64,092.50	
	NET	**0**	**AVG BGHT 5954.74**	**SOLD 5886.20**			**-8,567.50**	**0.00**
05JUL17	B	1	PD05OCT17	5882.50	6468.75	USD	14,656.25	
05JUL17	B	1	PD05OCT17	5883.50	6468.75	USD	14,631.25	
05JUL17	B	3	PD05OCT17	5889.00	6468.75	USD	43,481.25	
05JUL17	S	2	PD05OCT17	5837.00	6468.75	USD	-31,587.50	
05JUL17	S	3	PD05OCT17	5837.50	6468.75	USD	-47,343.75	
05JUL17	S	2	PD05OCT17	5839.50	6468.75	USD	-31,462.50	
05JUL17	S	2	PD05OCT17	5840.50	6468.75	USD	-31,412.50	
05JUL17	S	1	PD05OCT17	5841.00	6468.75	USD	-15,693.75	
20JUL17	B	5	PD05OCT17	5955.02	6468.75	USD	64,216.25	
	NET	**0**	**AVG BGHT 5920.81**	**SOLD 5838.75**			**-20,515.00**	**0.00**
06JUL17	B	5	PD06OCT17	5868.00	6470.02	USD	75,252.50	
06JUL17	B	3	PD06OCT17	5861.50	6470.02	USD	45,639.00	
06JUL17	B	1	PD06OCT17	5855.00	6470.02	USD	15,375.50	
06JUL17	B	1	PD06OCT17	5851.00	6470.02	USD	15,475.50	
07JUL17	B	1	PD06OCT17	5846.50	6470.02	USD	15,588.00	
07JUL17	B	1	PD06OCT17	5854.50	6470.02	USD	15,388.00	
07JUL17	B	1	PD06OCT17	5856.00	6470.02	USD	15,350.50	
07JUL17	B	1	PD06OCT17	5844.00	6470.02	USD	15,650.50	
07JUL17	B	1	PD06OCT17	5838.50	6470.02	USD	15,788.00	
07JUL17	B	5	PD06OCT17	5825.50	6470.02	USD	80,565.00	
07JUL17	S	5	PD06OCT17	5830.00	6470.02	USD	-80,002.50	
20JUL17	S	15	PD06OCT17	5955.50	6470.02	USD	-192,945.00	
	NET	**0**	**AVG BGHT 5849.88**	**SOLD 5924.13**			**37,125.00**	**0.00**
10JUL17	S	5	PD10OCT17	5830.00	6475.10	USD	-80,637.50	
10JUL17	S	2	PD10OCT17	5796.50	6475.10	USD	-33,930.00	
10JUL17	S	1	PD10OCT17	5797.00	6475.10	USD	-16,952.50	
10JUL17	S	1	PD10OCT17	5802.50	6475.10	USD	-16,815.00	
10JUL17	S	1	PD10OCT17	5803.50	6475.10	USD	-16,790.00	
20JUL17	B	10	PD10OCT17	5957.37	6475.10	USD	129,432.50	
	NET	**0**	**AVG BGHT 5957.37**	**SOLD 5814.60**			**-35,692.50**	**0.00**
11JUL17	B	2	PD11OCT17	5887.00	6476.37	USD	29,468.50	
11JUL17	B	3	PD11OCT17	5889.50	6476.37	USD	44,015.25	
20JUL17	S	5	PD11OCT17	5957.38	6476.37	USD	-64,873.75	
	NET	**0**	**AVG BGHT 5888.50**	**SOLD 5957.38**			**8,610.00**	**0.00**
12JUL17	B	5	PD12OCT17	5921.00	6477.63	USD	69,578.75	
12JUL17	B	1	PD12OCT17	5923.00	6477.63	USD	13,865.75	
12JUL17	B	4	PD12OCT17	5922.50	6477.63	USD	55,513.00	
12JUL17	B	2	PD12OCT17	5916.50	6477.63	USD	28,056.50	
12JUL17	B	3	PD12OCT17	5912.00	6477.63	USD	42,422.25	
20JUL17	S	10	PD12OCT17	5958.00	6477.63	USD	-129,907.50	
10AUG17	S	5	PD12OCT17	6413.02	6477.63	USD	-8,076.25	
	NET	**0**	**AVG BGHT 5919.13**	**SOLD 6109.67**			**71,452.50**	**0.00**
13JUL17	S	5	PD13OCT17	5882.00	6478.90	USD	-74,612.50	
14JUL17	S	9	PD13OCT17	5880.00	6478.90	USD	-134,752.50	
14JUL17	S	4	PD13OCT17	5881.00	6478.90	USD	-59,790.00	
14JUL17	S	1	PD13OCT17	5884.00	6478.90	USD	-14,872.50	
14JUL17	S	1	PD13OCT17	5887.00	6478.90	USD	-14,797.50	
14JUL17	S	1	PD13OCT17	5872.50	6478.90	USD	-15,160.00	
14JUL17	S	5	PD13OCT17	5876.50	6478.90	USD	-75,300.00	
14JUL17	S	2	PD13OCT17	5880.50	6478.90	USD	-29,920.00	
14JUL17	S	1	PD13OCT17	5882.00	6478.90	USD	-14,922.50	
14JUL17	S	1	PD13OCT17	5882.50	6478.90	USD	-14,910.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0011G

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
14JUL17	B 3 PD13OCT17	5892.00	6478.90	USD	44,017.50	
14JUL17	B 2 PD13OCT17	5881.50	6478.90	USD	29,870.00	
20JUL17	B 25 PD13OCT17	5958.62	6478.90	USD	325,175.00	
	NET 0 AVG BGHT 5946.82 SOLD 5880.18				**-49,975.00**	**0.00**
17JUL17	B 4 PD17OCT17	5998.00	6483.98	USD	48,598.00	
17JUL17	B 1 PD17OCT17	5999.50	6483.98	USD	12,112.00	
10AUG17	S 5 PD17OCT17	6416.00	6483.98	USD	-8,497.50	
	NET 0 AVG BGHT 5998.30 SOLD 6416.00				**52,212.50**	**0.00**
18JUL17	S 2 PD18OCT17	5991.00	6485.25	USD	-24,712.50	
18JUL17	S 2 PD18OCT17	5991.50	6485.25	USD	-24,687.50	
18JUL17	B 1 PD18OCT17	5993.00	6485.25	USD	12,306.25	
18JUL17	B 1 PD18OCT17	5995.00	6485.25	USD	12,256.25	
18JUL17	B 2 PD18OCT17	5996.00	6485.25	USD	24,462.50	
18JUL17	B 1 PD18OCT17	6011.00	6485.25	USD	11,856.25	
18JUL17	B 3 PD18OCT17	6007.50	6485.25	USD	35,831.25	
18JUL17	B 6 PD18OCT17	6005.00	6485.25	USD	72,037.50	
18JUL17	B 1 PD18OCT17	6005.50	6485.25	USD	11,993.75	
18JUL17	B 2 PD18OCT17	6007.00	6485.25	USD	23,912.50	
18JUL17	B 2 PD18OCT17	6004.50	6485.25	USD	24,037.50	
10AUG17	S 15 PD18OCT17	6416.25	6485.25	USD	-25,875.00	
	NET 0 AVG BGHT 6003.79 SOLD 6326.78				**153,418.75**	**0.00**
21JUL17	B 2 PD20OCT17	6031.50	6486.75	USD	22,762.50	
21JUL17	B 3 PD20OCT17	6032.00	6486.75	USD	34,106.25	
10AUG17	S 5 PD20OCT17	6414.25	6486.75	USD	-9,062.50	
	NET 0 AVG BGHT 6031.80 SOLD 6414.25				**47,806.25**	**0.00**
24JUL17	S 2 PD24OCT17	6014.00	6489.75	USD	-23,787.50	
24JUL17	S 1 PD24OCT17	6010.00	6489.75	USD	-11,993.75	
24JUL17	S 2 PD24OCT17	6021.00	6489.75	USD	-23,437.50	
10AUG17	B 5 PD24OCT17	6416.16	6489.75	USD	9,198.75	
	NET 0 AVG BGHT 6416.16 SOLD 6016.00				**-50,020.00**	**0.00**
25JUL17	S 3 PD25OCT17	6065.00	6490.29	USD	-31,896.75	
25JUL17	S 5 PD25OCT17	6066.00	6490.29	USD	-53,036.25	
25JUL17	S 3 PD25OCT17	6126.00	6490.29	USD	-27,321.75	
25JUL17	S 2 PD25OCT17	6064.00	6490.29	USD	-21,314.50	
25JUL17	S 2 PD25OCT17	6124.50	6490.29	USD	-18,289.50	
25JUL17	B 1 PD25OCT17	6199.50	6490.29	USD	7,269.75	
25JUL17	B 3 PD25OCT17	6201.00	6490.29	USD	21,696.75	
25JUL17	B 2 PD25OCT17	6232.50	6490.29	USD	12,889.50	
25JUL17	B 1 PD25OCT17	6218.00	6490.29	USD	6,807.25	
25JUL17	B 5 PD25OCT17	6224.00	6490.29	USD	33,286.25	
25JUL17	B 4 PD25OCT17	6212.00	6490.29	USD	27,829.00	
25JUL17	B 2 PD25OCT17	6212.50	6490.29	USD	13,889.50	
25JUL17	B 2 PD25OCT17	6213.00	6490.29	USD	13,864.50	
25JUL17	S 1 PD25OCT17	6207.00	6490.29	USD	-7,082.25	
25JUL17	B 1 PD25OCT17	6217.50	6490.29	USD	6,819.75	
25JUL17	B 2 PD25OCT17	6228.00	6490.29	USD	13,114.50	
25JUL17	B 1 PD25OCT17	6221.00	6490.29	USD	6,732.25	
25JUL17	B 1 PD25OCT17	6222.00	6490.29	USD	6,707.25	
25JUL17	B 1 PD25OCT17	6223.00	6490.29	USD	6,682.25	
10AUG17	S 10 PD25OCT17	6416.39	6490.29	USD	-18,475.00	
	NET 0 AVG BGHT 6217.08 SOLD 6217.34				**172.50**	**0.00**
26JUL17	S 1 PD26OCT17	6354.00	6490.82	USD	-3,420.50	
26JUL17	S 2 PD26OCT17	6376.50	6490.82	USD	-5,716.00	
26JUL17	S 2 PD26OCT17	6337.00	6490.82	USD	-7,691.00	
26JUL17	S 1 PD26OCT17	6338.00	6490.82	USD	-3,820.50	
26JUL17	S 4 PD26OCT17	6355.00	6490.82	USD	-13,582.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0011G

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT							
26JUL17	B	3	PD26OCT17	6275.50	6490.82	USD	16,149.00	
26JUL17	B	2	PD26OCT17	6276.00	6490.82	USD	10,741.00	
26JUL17	B	3	PD26OCT17	6273.00	6490.82	USD	16,336.50	
26JUL17	B	2	PD26OCT17	6274.00	6490.82	USD	10,841.00	
26JUL17	B	8	PD26OCT17	6277.00	6490.82	USD	42,764.00	
26JUL17	B	2	PD26OCT17	6276.50	6490.82	USD	10,716.00	
10AUG17	S	10	PD26OCT17	6416.82	6490.82	USD	-18,500.00	
	NET	**0**	**AVG BGHT 6275.73**	**SOLD 6385.36**			**54,817.50**	**0.00**
27JUL17	S	2	PD27OCT17	6339.50	6491.36	USD	-7,593.00	
27JUL17	S	1	PD27OCT17	6345.50	6491.36	USD	-3,646.50	
27JUL17	S	1	PD27OCT17	6346.00	6491.36	USD	-3,634.00	
27JUL17	S	1	PD27OCT17	6347.00	6491.36	USD	-3,609.00	
27JUL17	B	5	PD27OCT17	6353.50	6491.36	USD	17,232.50	
27JUL17	B	2	PD27OCT17	6356.50	6491.36	USD	6,743.00	
27JUL17	B	1	PD27OCT17	6359.00	6491.36	USD	3,309.00	
27JUL17	B	1	PD27OCT17	6361.00	6491.36	USD	3,259.00	
27JUL17	B	1	PD27OCT17	6362.00	6491.36	USD	3,234.00	
27JUL17	S	2	PD27OCT17	6348.00	6491.36	USD	-7,168.00	
27JUL17	S	2	PD27OCT17	6349.00	6491.36	USD	-7,118.00	
27JUL17	S	1	PD27OCT17	6355.50	6491.36	USD	-3,396.50	
28JUL17	S	3	PD27OCT17	6283.00	6491.36	USD	-15,627.00	
28JUL17	S	1	PD27OCT17	6285.00	6491.36	USD	-5,159.00	
28JUL17	S	1	PD27OCT17	6286.00	6491.36	USD	-5,134.00	
28JUL17	S	3	PD27OCT17	6288.00	6491.36	USD	-15,252.00	
28JUL17	S	2	PD27OCT17	6289.00	6491.36	USD	-10,118.00	
10AUG17	B	10	PD27OCT17	6417.45	6491.36	USD	18,477.50	
	NET	**0**	**AVG BGHT 6386.85**	**SOLD 6316.45**			**-35,200.00**	**0.00**
31JUL17	S	5	PD31OCT17	6382.50	6493.50	USD	-13,875.00	
31JUL17	S	2	PD31OCT17	6377.50	6493.50	USD	-5,800.00	
31JUL17	S	1	PD31OCT17	6374.00	6493.50	USD	-2,987.50	
31JUL17	S	2	PD31OCT17	6368.50	6493.50	USD	-6,250.00	
31JUL17	S	1	PD31OCT17	6362.50	6493.50	USD	-3,275.00	
31JUL17	S	2	PD31OCT17	6360.00	6493.50	USD	-6,675.00	
31JUL17	S	2	PD31OCT17	6362.00	6493.50	USD	-6,575.00	
31JUL17	S	1	PD31OCT17	6363.50	6493.50	USD	-3,250.00	
31JUL17	S	4	PD31OCT17	6364.00	6493.50	USD	-12,950.00	
10AUG17	B	20	PD31OCT17	6419.09	6493.50	USD	37,205.00	
	NET	**0**	**AVG BGHT 6419.09**	**SOLD 6370.23**			**-24,432.50**	**0.00**
02AUG17	S	2	PD02NOV17	6316.00	6494.69	USD	-8,934.50	
02AUG17	S	1	PD02NOV17	6317.00	6494.69	USD	-4,442.25	
02AUG17	S	2	PD02NOV17	6324.00	6494.69	USD	-8,534.50	
02AUG17	B	2	PD02NOV17	6334.00	6494.69	USD	8,034.50	
02AUG17	B	1	PD02NOV17	6335.00	6494.69	USD	3,992.25	
02AUG17	S	1	PD02NOV17	6339.50	6494.69	USD	-3,879.75	
02AUG17	S	1	PD02NOV17	6343.50	6494.69	USD	-3,779.75	
02AUG17	S	1	PD02NOV17	6344.50	6494.69	USD	-3,754.75	
10AUG17	B	5	PD02NOV17	6419.91	6494.69	USD	9,347.50	
	NET	**0**	**AVG BGHT 6387.82**	**SOLD 6328.06**			**-11,951.25**	**0.00**
03AUG17	S	5	PD03NOV17	6307.00	6495.28	USD	-23,535.00	
03AUG17	S	2	PD03NOV17	6316.00	6495.28	USD	-8,964.00	
03AUG17	S	3	PD03NOV17	6317.00	6495.28	USD	-13,371.00	
03AUG17	S	2	PD03NOV17	6348.00	6495.28	USD	-7,364.00	
03AUG17	S	2	PD03NOV17	6351.00	6495.28	USD	-7,214.00	
03AUG17	S	1	PD03NOV17	6347.00	6495.28	USD	-3,707.00	
04AUG17	B	3	PD03NOV17	6357.50	6495.28	USD	10,333.50	
04AUG17	B	2	PD03NOV17	6358.00	6495.28	USD	6,864.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0011G

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT								
04AUG17	B	2	PD03NOV17		6368.00	6495.28	USD	6,364.00	
04AUG17	B	1	PD03NOV17		6363.50	6495.28	USD	3,294.50	
04AUG17	B	1	PD03NOV17		6364.00	6495.28	USD	3,282.00	
04AUG17	B	1	PD03NOV17		6366.00	6495.28	USD	3,232.00	
04AUG17	S	3	PD03NOV17		6359.00	6495.28	USD	-10,221.00	
04AUG17	S	1	PD03NOV17		6355.50	6495.28	USD	-3,494.50	
04AUG17	S	1	PD03NOV17		6336.50	6495.28	USD	-3,969.50	
10AUG17	B	10	PD03NOV17		6420.33	6495.28	USD	18,737.50	
	NET	**0**	**AVG BGHT 6391.07**	**SOLD 6331.60**				**-29,732.50**	**0.00**
07AUG17	B	2	PD07NOV17		6425.00	6497.66	USD	3,633.00	
07AUG17	B	1	PD07NOV17		6428.00	6497.66	USD	1,741.50	
07AUG17	B	3	PD07NOV17		6421.50	6497.66	USD	5,712.00	
07AUG17	B	1	PD07NOV17		6422.00	6497.66	USD	1,891.50	
07AUG17	B	3	PD07NOV17		6423.50	6497.66	USD	5,562.00	
07AUG17	B	1	PD07NOV17		6418.00	6497.66	USD	1,991.50	
07AUG17	B	1	PD07NOV17		6424.00	6497.66	USD	1,841.50	
07AUG17	S	5	PD07NOV17		6412.50	6497.66	USD	-10,645.00	
07AUG17	S	1	PD07NOV17		6413.50	6497.66	USD	-2,104.00	
07AUG17	S	1	PD07NOV17		6414.50	6497.66	USD	-2,079.00	
07AUG17	S	5	PD07NOV17		6415.50	6497.66	USD	-10,270.00	
	NET	**0**	**AVG BGHT 6423.08**	**SOLD 6414.00**				**-2,725.00**	**0.00**
08AUG17	B	2	PD08NOV17		6436.00	6498.25	USD	3,112.50	
08AUG17	B	1	PD08NOV17		6440.00	6498.25	USD	1,456.25	
08AUG17	B	1	PD08NOV17		6441.00	6498.25	USD	1,431.25	
08AUG17	B	1	PD08NOV17		6444.50	6498.25	USD	1,343.75	
08AUG17	B	2	PD08NOV17		6437.50	6498.25	USD	3,037.50	
08AUG17	B	1	PD08NOV17		6447.00	6498.25	USD	1,281.25	
08AUG17	B	1	PD08NOV17		6450.00	6498.25	USD	1,206.25	
08AUG17	B	1	PD08NOV17		6455.50	6498.25	USD	1,068.75	
08AUG17	B	2	PD08NOV17		6460.00	6498.25	USD	1,912.50	
08AUG17	B	3	PD08NOV17		6462.00	6498.25	USD	2,718.75	
08AUG17	B	2	PD08NOV17		6481.00	6498.25	USD	862.50	
08AUG17	B	1	PD08NOV17		6473.00	6498.25	USD	631.25	
08AUG17	B	1	PD08NOV17		6474.50	6498.25	USD	593.75	
08AUG17	B	1	PD08NOV17		6477.00	6498.25	USD	531.25	
	NET	**+20**	**AVG BGHT 6455.88**					**21,187.50**	**0.00**
14AUG17	S	1	PD14NOV17		6420.50	6501.04	USD	-2,013.50	
14AUG17	S	1	PD14NOV17		6417.50	6501.04	USD	-2,088.50	
14AUG17	S	2	PD14NOV17		6422.00	6501.04	USD	-3,952.00	
14AUG17	S	2	PD14NOV17		6419.00	6501.04	USD	-4,102.00	
14AUG17	S	3	PD14NOV17		6415.00	6501.04	USD	-6,453.00	
14AUG17	S	3	PD14NOV17		6417.00	6501.04	USD	-6,303.00	
14AUG17	S	4	PD14NOV17		6419.50	6501.04	USD	-8,154.00	
14AUG17	S	1	PD14NOV17		6405.00	6501.04	USD	-2,401.00	
14AUG17	S	1	PD14NOV17		6406.00	6501.04	USD	-2,376.00	
14AUG17	S	2	PD14NOV17		6418.00	6501.04	USD	-4,152.00	
14AUG17	B	2	PD14NOV17		6405.50	6501.04	USD	4,777.00	
14AUG17	B	1	PD14NOV17		6406.50	6501.04	USD	2,363.50	
14AUG17	B	1	PD14NOV17		6407.50	6501.04	USD	2,338.50	
14AUG17	B	2	PD14NOV17		6404.00	6501.04	USD	4,852.00	
14AUG17	B	2	PD14NOV17		6405.00	6501.04	USD	4,802.00	
14AUG17	B	2	PD14NOV17		6403.00	6501.04	USD	4,902.00	
14AUG17	B	4	PD14NOV17		6412.00	6501.04	USD	8,904.00	
14AUG17	B	1	PD14NOV17		6413.00	6501.04	USD	2,201.00	
	NET	**-5**	**AVG BGHT 6407.33**	**SOLD 6417.05**				**-6,855.00**	**0.00**
16AUG17	S	1	PD16NOV17		6379.00	6501.00	USD	-3,050.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0011G

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
16AUG17	S 1 PD16NOV17	6380.00	6501.00	USD	-3,025.00	
16AUG17	S 2 PD16NOV17	6380.50	6501.00	USD	-6,025.00	
16AUG17	S 1 PD16NOV17	6381.00	6501.00	USD	-3,000.00	
16AUG17	S 2 PD16NOV17	6388.00	6501.00	USD	-5,650.00	
16AUG17	S 2 PD16NOV17	6383.50	6501.00	USD	-5,875.00	
16AUG17	S 3 PD16NOV17	6384.50	6501.00	USD	-8,737.50	
16AUG17	S 3 PD16NOV17	6385.50	6501.00	USD	-8,662.50	
16AUG17	B 1 PD16NOV17	6454.00	6501.00	USD	1,175.00	
16AUG17	B 1 PD16NOV17	6455.00	6501.00	USD	1,150.00	
16AUG17	B 1 PD16NOV17	6458.00	6501.00	USD	1,075.00	
16AUG17	B 1 PD16NOV17	6460.50	6501.00	USD	1,012.50	
16AUG17	B 1 PD16NOV17	6461.00	6501.00	USD	1,000.00	
16AUG17	B 1 PD16NOV17	6467.00	6501.00	USD	850.00	
16AUG17	B 1 PD16NOV17	6465.50	6501.00	USD	887.50	
16AUG17	B 1 PD16NOV17	6468.00	6501.00	USD	825.00	
16AUG17	B 1 PD16NOV17	6472.00	6501.00	USD	725.00	
16AUG17	B 1 PD16NOV17	6462.50	6501.00	USD	962.50	
16AUG17	B 1 PD16NOV17	6494.00	6501.00	USD	175.00	
16AUG17	B 1 PD16NOV17	6494.50	6501.00	USD	162.50	
16AUG17	B 5 PD16NOV17	6490.00	6501.00	USD	1,375.00	
16AUG17	B 3 PD16NOV17	6491.50	6501.00	USD	712.50	
16AUG17	B 4 PD16NOV17	6512.00	6501.00	USD	-1,100.00	
16AUG17	B 1 PD16NOV17	6515.00	6501.00	USD	-350.00	
16AUG17	B 1 PD16NOV17	6545.00	6501.00	USD	-1,100.00	
16AUG17	B 2 PD16NOV17	6549.00	6501.00	USD	-2,400.00	
16AUG17	B 1 PD16NOV17	6544.50	6501.00	USD	-1,087.50	
16AUG17	B 1 PD16NOV17	6546.00	6501.00	USD	-1,125.00	
	NET +15 AVG BGHT 6494.43 SOLD 6383.60				**-39,100.00**	**0.00**
18AUG17	S 3 PD17NOV17	6465.00	6501.60	USD	-2,745.00	
18AUG17	S 1 PD17NOV17	6466.00	6501.60	USD	-890.00	
18AUG17	S 5 PD17NOV17	6464.50	6501.60	USD	-4,637.50	
18AUG17	S 3 PD17NOV17	6492.00	6501.60	USD	-720.00	
18AUG17	S 1 PD17NOV17	6493.50	6501.60	USD	-202.50	
18AUG17	S 1 PD17NOV17	6496.50	6501.60	USD	-127.50	
18AUG17	S 1 PD17NOV17	6501.00	6501.60	USD	-15.00	
18AUG17	S 3 PD17NOV17	6507.00	6501.60	USD	405.00	
18AUG17	S 2 PD17NOV17	6509.50	6501.60	USD	395.00	
18AUG17	S 4 PD17NOV17	6493.00	6501.60	USD	-860.00	
18AUG17	B 2 PD17NOV17	6508.00	6501.60	USD	-320.00	
18AUG17	B 1 PD17NOV17	6500.00	6501.60	USD	40.00	
18AUG17	B 1 PD17NOV17	6498.50	6501.60	USD	77.50	
18AUG17	B 1 PD17NOV17	6503.00	6501.60	USD	-35.00	
18AUG17	B 1 PD17NOV17	6512.00	6501.60	USD	-260.00	
18AUG17	B 1 PD17NOV17	6519.00	6501.60	USD	-435.00	
18AUG17	S 2 PD17NOV17	6465.50	6501.60	USD	-1,805.00	
18AUG17	S 1 PD17NOV17	6470.50	6501.60	USD	-777.50	
18AUG17	B 1 PD17NOV17	6485.50	6501.60	USD	402.50	
18AUG17	B 2 PD17NOV17	6486.00	6501.60	USD	780.00	
18AUG17	B 1 PD17NOV17	6483.00	6501.60	USD	465.00	
18AUG17	B 1 PD17NOV17	6489.00	6501.60	USD	315.00	
	NET -15 AVG BGHT 6498.17 SOLD 6483.85				**-10,950.00**	**0.00**
21AUG17	B 2 PD21NOV17	6594.00	6504.00	USD	-4,500.00	
21AUG17	B 3 PD21NOV17	6595.50	6504.00	USD	-6,862.50	
21AUG17	B 3 PD21NOV17	6598.00	6504.00	USD	-7,050.00	
21AUG17	B 2 PD21NOV17	6600.00	6504.00	USD	-4,800.00	
21AUG17	B 1 PD21NOV17	6585.00	6504.00	USD	-2,025.00	
21AUG17	B 1 PD21NOV17	6588.00	6504.00	USD	-2,100.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0011G

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
21AUG17	B 3 PD21NOV17	6586.00	6504.00	USD	-6,150.00	
	NET +15 AVG BGHT 6593.30				**-33,487.50**	**0.00**
22AUG17	B 2 PD22NOV17	6615.00	6504.60	USD	-5,520.00	
22AUG17	B 2 PD22NOV17	6626.00	6504.60	USD	-6,070.00	
22AUG17	B 1 PD22NOV17	6592.00	6504.60	USD	-2,185.00	
22AUG17	B 1 PD22NOV17	6585.00	6504.60	USD	-2,010.00	
22AUG17	B 3 PD22NOV17	6587.00	6504.60	USD	-6,180.00	
22AUG17	B 1 PD22NOV17	6584.00	6504.60	USD	-1,985.00	
	NET +10 AVG BGHT 6600.40				**-23,950.00**	**0.00**
25AUG17	S 2 PD24NOV17	6722.00	6505.80	USD	10,810.00	
25AUG17	S 1 PD24NOV17	6725.50	6505.80	USD	5,492.50	
25AUG17	S 1 PD24NOV17	6724.00	6505.80	USD	5,455.00	
25AUG17	S 1 PD24NOV17	6724.50	6505.80	USD	5,467.50	
	NET -5 AVG SOLD 6723.60				**27,225.00**	**0.00**
29AUG17	S 5 PD29NOV17	6784.00	6508.80	USD	34,400.00	
29AUG17	S 1 PD29NOV17	6774.50	6508.80	USD	6,642.50	
29AUG17	S 3 PD29NOV17	6777.00	6508.80	USD	20,115.00	
29AUG17	S 1 PD29NOV17	6785.50	6508.80	USD	6,917.50	
29AUG17	S 1 PD29NOV17	6786.00	6508.80	USD	6,930.00	
29AUG17	S 1 PD29NOV17	6787.00	6508.80	USD	6,955.00	
29AUG17	S 1 PD29NOV17	6775.00	6508.80	USD	6,655.00	
29AUG17	S 1 PD29NOV17	6776.00	6508.80	USD	6,680.00	
29AUG17	S 2 PD29NOV17	6776.50	6508.80	USD	13,385.00	
29AUG17	S 2 PD29NOV17	6773.00	6508.80	USD	13,210.00	
29AUG17	S 1 PD29NOV17	6772.00	6508.80	USD	6,580.00	
29AUG17	S 3 PD29NOV17	6778.50	6508.80	USD	20,227.50	
29AUG17	S 3 PD29NOV17	6770.00	6508.80	USD	19,590.00	
29AUG17	S 3 PD29NOV17	6789.00	6508.80	USD	21,015.00	
29AUG17	S 2 PD29NOV17	6771.00	6508.80	USD	13,110.00	
	NET -30 AVG SOLD 6778.68				**202,412.50**	**0.00**
31AUG17	S 1 PD30NOV17	6795.50	6509.40	USD	7,152.50	
31AUG17	S 1 PD30NOV17	6781.50	6509.40	USD	6,802.50	
31AUG17	B 1 PD30NOV17	6797.50	6509.40	USD	-7,202.50	
31AUG17	B 1 PD30NOV17	6799.50	6509.40	USD	-7,252.50	
	NET 0 AVG BGHT 6798.50 SOLD 6788.50				**-500.00**	**0.00**
04SEP17	S 1 PD04DEC17	6889.00	6511.29	USD	9,442.75	
04SEP17	S 1 PD04DEC17	6890.00	6511.29	USD	9,467.75	
04SEP17	S 1 PD04DEC17	6894.50	6511.29	USD	9,580.25	
04SEP17	S 1 PD04DEC17	6895.50	6511.29	USD	9,605.25	
04SEP17	S 1 PD04DEC17	6898.00	6511.29	USD	9,667.75	
	NET -5 AVG SOLD 6893.40				**47,763.75**	**0.00**
05SEP17	B 4 PD05DEC17	6940.00	6511.71	USD	-42,829.00	
05SEP17	B 1 PD05DEC17	6941.00	6511.71	USD	-10,732.25	
05SEP17	B 1 PD05DEC17	6950.00	6511.71	USD	-10,957.25	
05SEP17	B 1 PD05DEC17	6952.00	6511.71	USD	-11,007.25	
05SEP17	B 1 PD05DEC17	6953.00	6511.71	USD	-11,032.25	
05SEP17	B 1 PD05DEC17	6957.00	6511.71	USD	-11,132.25	
05SEP17	B 1 PD05DEC17	6959.50	6511.71	USD	-11,194.75	
05SEP17	S 1 PD05DEC17	6847.00	6511.71	USD	8,382.25	
05SEP17	S 1 PD05DEC17	6853.50	6511.71	USD	8,544.75	
05SEP17	S 1 PD05DEC17	6860.00	6511.71	USD	8,707.25	
05SEP17	S 2 PD05DEC17	6853.00	6511.71	USD	17,064.50	
	NET +5 AVG BGHT 6947.25 SOLD 6853.30				**-66,186.25**	**0.00**
06SEP17	S 3 PD06DEC17	6888.00	6512.14	USD	28,189.50	
06SEP17	S 2 PD06DEC17	6889.00	6512.14	USD	18,843.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0011G

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
	NET -5 AVG SOLD 6888.40				**47,032.50**	**0.00**
08SEP17	B 1 PD08DEC17	6826.50	6513.00	USD	-7,837.50	
08SEP17	B 1 PD08DEC17	6821.00	6513.00	USD	-7,700.00	
08SEP17	B 1 PD08DEC17	6815.00	6513.00	USD	-7,550.00	
08SEP17	B 1 PD08DEC17	6819.00	6513.00	USD	-7,650.00	
08SEP17	B 2 PD08DEC17	6822.00	6513.00	USD	-15,450.00	
08SEP17	B 1 PD08DEC17	6822.50	6513.00	USD	-7,737.50	
08SEP17	B 1 PD08DEC17	6825.00	6513.00	USD	-7,800.00	
08SEP17	B 1 PD08DEC17	6828.00	6513.00	USD	-7,875.00	
08SEP17	B 1 PD08DEC17	6828.50	6513.00	USD	-7,887.50	
	NET +10 AVG BGHT 6822.95				**-77,487.50**	**0.00**
11SEP17	B 5 PD11DEC17	6708.00	6514.19	USD	-24,226.25	
11SEP17	B 4 PD11DEC17	6728.50	6514.19	USD	-21,431.00	
11SEP17	B 1 PD11DEC17	6740.00	6514.19	USD	-5,645.25	
	NET +10 AVG BGHT 6719.40				**-51,302.50**	**0.00**
12SEP17	B 1 PD12DEC17	6710.00	6514.58	USD	-4,885.50	
12SEP17	B 1 PD12DEC17	6720.00	6514.58	USD	-5,135.50	
12SEP17	B 1 PD12DEC17	6721.00	6514.58	USD	-5,160.50	
12SEP17	B 1 PD12DEC17	6716.00	6514.58	USD	-5,035.50	
12SEP17	B 2 PD12DEC17	6729.00	6514.58	USD	-10,721.00	
12SEP17	B 1 PD12DEC17	6733.00	6514.58	USD	-5,460.50	
12SEP17	B 1 PD12DEC17	6688.50	6514.58	USD	-4,348.00	
12SEP17	B 1 PD12DEC17	6689.50	6514.58	USD	-4,373.00	
12SEP17	B 1 PD12DEC17	6687.00	6514.58	USD	-4,310.50	
12SEP17	B 1 PD12DEC17	6687.50	6514.58	USD	-4,323.00	
12SEP17	B 4 PD12DEC17	6690.00	6514.58	USD	-17,542.00	
12SEP17	B 1 PD12DEC17	6692.50	6514.58	USD	-4,448.00	
12SEP17	B 2 PD12DEC17	6718.00	6514.58	USD	-10,171.00	
12SEP17	B 1 PD12DEC17	6725.00	6514.58	USD	-5,260.50	
12SEP17	B 1 PD12DEC17	6689.00	6514.58	USD	-4,360.50	
	NET +20 AVG BGHT 6705.65				**-95,535.00**	**0.00**
13SEP17	B 2 PD13DEC17	6568.50	6514.98	USD	-2,676.00	
13SEP17	B 2 PD13DEC17	6564.50	6514.98	USD	-2,476.00	
13SEP17	B 1 PD13DEC17	6565.50	6514.98	USD	-1,263.00	
	NET +5 AVG BGHT 6566.30				**-6,415.00**	**0.00**
18SEP17	S 10 PD18DEC17	6550.00	6516.96	USD	8,260.00	
18SEP17	S 1 PD18DEC17	6538.00	6516.96	USD	526.00	
18SEP17	S 2 PD18DEC17	6528.00	6516.96	USD	552.00	
18SEP17	S 2 PD18DEC17	6529.00	6516.96	USD	602.00	
18SEP17	S 4 PD18DEC17	6527.50	6516.96	USD	1,054.00	
18SEP17	S 1 PD18DEC17	6519.00	6516.96	USD	51.00	
	NET -20 AVG SOLD 6539.05				**11,045.00**	**0.00**
19SEP17	S 2 PD19DEC17	6536.00	6517.35	USD	932.50	
19SEP17	S 1 PD19DEC17	6534.50	6517.35	USD	428.75	
19SEP17	S 1 PD19DEC17	6537.00	6517.35	USD	491.25	
19SEP17	S 1 PD19DEC17	6538.00	6517.35	USD	516.25	
19SEP17	B 1 PD19DEC17	6507.00	6517.35	USD	258.75	
19SEP17	B 1 PD19DEC17	6508.00	6517.35	USD	233.75	
19SEP17	B 1 PD19DEC17	6509.00	6517.35	USD	208.75	
19SEP17	B 2 PD19DEC17	6510.00	6517.35	USD	367.50	
19SEP17	B 3 PD19DEC17	6536.50	6517.35	USD	-1,436.25	
19SEP17	B 1 PD19DEC17	6531.00	6517.35	USD	-341.25	
19SEP17	B 1 PD19DEC17	6532.50	6517.35	USD	-378.75	
	NET +5 AVG BGHT 6521.70 SOLD 6536.30				**1,281.25**	**0.00**
21SEP17	S 1 PD21DEC17	6453.00	6516.75	USD	-1,593.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0011G

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
21SEP17	S 1 PD21DEC17	6458.50	6516.75	USD	-1,456.25	
21SEP17	S 1 PD21DEC17	6436.00	6516.75	USD	-2,018.75	
21SEP17	S 1 PD21DEC17	6439.00	6516.75	USD	-1,943.75	
21SEP17	S 1 PD21DEC17	6440.50	6516.75	USD	-1,906.25	
21SEP17	S 1 PD21DEC17	6442.50	6516.75	USD	-1,856.25	
21SEP17	S 1 PD21DEC17	6446.50	6516.75	USD	-1,756.25	
21SEP17	S 3 PD21DEC17	6457.00	6516.75	USD	-4,481.25	
	NET -10 AVG SOLD 6448.70				**-17,012.50**	**0.00**
22SEP17	B 1 PD22DEC17	6390.00	6515.75	USD	3,143.75	
22SEP17	B 5 PD22DEC17	6391.00	6515.75	USD	15,593.75	
22SEP17	B 2 PD22DEC17	6392.00	6515.75	USD	6,187.50	
22SEP17	B 1 PD22DEC17	6395.00	6515.75	USD	3,018.75	
22SEP17	B 1 PD22DEC17	6388.50	6515.75	USD	3,181.25	
	NET +10 AVG BGHT 6391.25				**31,125.00**	**0.00**
25SEP17	S 1 PD27DEC17	6451.00	6517.52	USD	-1,663.00	
25SEP17	S 1 PD27DEC17	6452.00	6517.52	USD	-1,638.00	
25SEP17	S 1 PD27DEC17	6449.00	6517.52	USD	-1,713.00	
25SEP17	S 2 PD27DEC17	6454.00	6517.52	USD	-3,176.00	
26SEP17	S 1 PD27DEC17	6436.00	6517.52	USD	-2,038.00	
26SEP17	S 1 PD27DEC17	6438.50	6517.52	USD	-1,975.50	
26SEP17	S 1 PD27DEC17	6439.50	6517.52	USD	-1,950.50	
26SEP17	S 1 PD27DEC17	6440.50	6517.52	USD	-1,925.50	
26SEP17	S 2 PD27DEC17	6441.50	6517.52	USD	-3,801.00	
26SEP17	S 1 PD27DEC17	6429.50	6517.52	USD	-2,200.50	
26SEP17	S 1 PD27DEC17	6435.00	6517.52	USD	-2,063.00	
26SEP17	S 2 PD27DEC17	6442.50	6517.52	USD	-3,751.00	
27SEP17	B 2 PD27DEC17	6465.00	6517.52	USD	2,626.00	
27SEP17	B 2 PD27DEC17	6462.50	6517.52	USD	2,751.00	
27SEP17	B 1 PD27DEC17	6459.00	6517.52	USD	1,463.00	
	NET -10 AVG BGHT 6462.80 SOLD 6443.13				**-21,055.00**	**0.00**
28SEP17	B 2 PD28DEC17	6564.50	6517.88	USD	-2,331.00	
28SEP17	B 1 PD28DEC17	6568.00	6517.88	USD	-1,253.00	
28SEP17	B 2 PD28DEC17	6572.00	6517.88	USD	-2,706.00	
	NET +5 AVG BGHT 6568.20				**-6,290.00**	**0.00**
29SEP17	B 1 PD29DEC17	6561.00	6518.23	USD	-1,069.25	
29SEP17	B 2 PD29DEC17	6565.00	6518.23	USD	-2,338.50	
29SEP17	B 1 PD29DEC17	6569.00	6518.23	USD	-1,269.25	
29SEP17	B 1 PD29DEC17	6559.00	6518.23	USD	-1,019.25	
29SEP17	B 3 PD29DEC17	6546.00	6518.23	USD	-2,082.75	
29SEP17	B 3 PD29DEC17	6549.00	6518.23	USD	-2,307.75	
29SEP17	B 1 PD29DEC17	6543.00	6518.23	USD	-619.25	
29SEP17	B 3 PD29DEC17	6544.00	6518.23	USD	-1,932.75	
29SEP17	S 1 PD29DEC17	6532.00	6518.23	USD	344.25	
29SEP17	S 1 PD29DEC17	6536.50	6518.23	USD	456.75	
29SEP17	S 1 PD29DEC17	6530.00	6518.23	USD	294.25	
29SEP17	S 1 PD29DEC17	6530.50	6518.23	USD	306.75	
29SEP17	S 2 PD29DEC17	6531.00	6518.23	USD	638.50	
29SEP17	S 2 PD29DEC17	6533.00	6518.23	USD	738.50	
29SEP17	S 1 PD29DEC17	6525.00	6518.23	USD	169.25	
29SEP17	S 1 PD29DEC17	6529.00	6518.23	USD	269.25	
	NET +5 AVG BGHT 6551.93 SOLD 6531.10				**-9,421.25**	**0.00**
03OCT17	S 1 PD03JAN18	6463.50	6520.00	USD	-1,412.50	
03OCT17	S 1 PD03JAN18	6464.00	6520.00	USD	-1,400.00	
03OCT17	S 1 PD03JAN18	6465.00	6520.00	USD	-1,375.00	
03OCT17	S 1 PD03JAN18	6466.00	6520.00	USD	-1,350.00	
03OCT17	S 1 PD03JAN18	6467.00	6520.00	USD	-1,325.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0011G

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	NET -5 AVG SOLD 6465.10				**-6,862.50**	**0.00**
	CMX COPPER 25,000 CMX					
27SEP17	S 5 DEC17	293.850	296.350	USD	-3,125.00	
27SEP17	S 2 DEC17	293.750	296.350	USD	-1,300.00	
27SEP17	S 4 DEC17	293.650	296.350	USD	-2,700.00	
28SEP17	S 4 DEC17	298.500	296.350	USD	2,150.00	
28SEP17	S 5 DEC17	298.900	296.350	USD	3,187.50	
28SEP17	S 2 DEC17	298.700	296.350	USD	1,175.00	
29SEP17	S 4 DEC17	297.850	296.350	USD	1,500.00	
29SEP17	S 4 DEC17	297.600	296.350	USD	1,250.00	
29SEP17	S 1 DEC17	297.500	296.350	USD	287.50	
29SEP17	S 1 DEC17	297.550	296.350	USD	300.00	
29SEP17	S 1 DEC17	297.150	296.350	USD	200.00	
	NET -33 AVG SOLD 296.705				**2,925.00**	**0.00**
12SEP17	S 1 MAR18	307.450	298.250	USD	2,300.00	
12SEP17	S 1 MAR18	307.550	298.250	USD	2,325.00	
12SEP17	S 2 MAR18	307.300	298.250	USD	4,525.00	
12SEP17	S 3 MAR18	307.600	298.250	USD	7,012.50	
12SEP17	S 4 MAR18	307.700	298.250	USD	9,450.00	
12SEP17	S 4 MAR18	306.300	298.250	USD	8,050.00	
12SEP17	S 3 MAR18	306.400	298.250	USD	6,112.50	
12SEP17	S 3 MAR18	306.550	298.250	USD	6,225.00	
12SEP17	S 1 MAR18	306.450	298.250	USD	2,050.00	
	NET -22 AVG SOLD 306.986				**48,050.00**	**0.00**

OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	-3,988,696.25
CMX Copper	FUTURES	4,136,237.50

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options		Amount	Profit / Loss	**Total Amount**	**Total P&L**
COPR - Futures	USD	50,975.00	50,975.00	**50,975.00**	**50,975.00**
Unrealised Profits & Losses - Forwards		**Amount**	**Profit / Loss**	**Total Amount**	**Total P&L**
COPL - Futures	USD	73,466.25	73,466.25	**73,466.25**	**73,466.25**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0011G

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-89,472.60	-89,472.60
Variation Margins	- Futures	50,975.00	50,975.00
	- Options	0.00	0.00
TOTAL EQUITY		**-38,497.60**	**-38,497.60**
Initial Margins		-434,961.75	-434,961.75
Excess/(Shortage)		**-473,459.35**	**-473,459.35**
FOR INFORMATION ONLY			
Forward Unrealised P&L		73,466.25	73,466.25
Net Liquidating Value		**34,968.65**	**34,968.65**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0011H

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT							
04JUL17	S	3	PD04OCT17	5893.00	6467.48	USD	-43,086.00	
04JUL17	S	2	PD04OCT17	5894.00	6467.48	USD	-28,674.00	
20JUL17	B	5	PD04OCT17	5954.74	6467.48	USD	64,092.50	
	NET	**0**	**AVG BGHT 5954.74**	**SOLD 5893.40**			**-7,667.50**	**0.00**
05JUL17	B	1	PD05OCT17	5904.00	6468.75	USD	14,118.75	
05JUL17	B	2	PD05OCT17	5906.00	6468.75	USD	28,137.50	
05JUL17	B	2	PD05OCT17	5908.00	6468.75	USD	28,037.50	
05JUL17	S	2	PD05OCT17	5858.00	6468.75	USD	-30,537.50	
05JUL17	S	2	PD05OCT17	5832.50	6468.75	USD	-31,812.50	
05JUL17	S	1	PD05OCT17	5834.50	6468.75	USD	-15,856.25	
05JUL17	S	4	PD05OCT17	5821.00	6468.75	USD	-64,775.00	
05JUL17	S	1	PD05OCT17	5823.00	6468.75	USD	-16,143.75	
20JUL17	B	5	PD05OCT17	5955.22	6468.75	USD	64,191.25	
	NET	**0**	**AVG BGHT 5930.81**	**SOLD 5832.25**			**-24,640.00**	**0.00**
06JUL17	B	2	PD06OCT17	5851.50	6470.02	USD	30,926.00	
06JUL17	B	1	PD06OCT17	5852.00	6470.02	USD	15,450.50	
06JUL17	B	2	PD06OCT17	5852.50	6470.02	USD	30,876.00	
07JUL17	S	1	PD06OCT17	5840.00	6470.02	USD	-15,750.50	
07JUL17	S	2	PD06OCT17	5842.50	6470.02	USD	-31,376.00	
07JUL17	S	1	PD06OCT17	5844.50	6470.02	USD	-15,638.00	
07JUL17	S	1	PD06OCT17	5848.00	6470.02	USD	-15,550.50	
07JUL17	B	1	PD06OCT17	5835.50	6470.02	USD	15,863.00	
07JUL17	B	1	PD06OCT17	5836.50	6470.02	USD	15,838.00	
07JUL17	B	1	PD06OCT17	5834.00	6470.02	USD	15,900.50	
07JUL17	B	2	PD06OCT17	5830.00	6470.02	USD	32,001.00	
20JUL17	S	5	PD06OCT17	5955.50	6470.02	USD	-64,315.00	
	NET	**0**	**AVG BGHT 5842.60**	**SOLD 5899.50**			**14,225.00**	**0.00**
10JUL17	S	1	PD10OCT17	5845.00	6475.10	USD	-15,752.50	
10JUL17	S	4	PD10OCT17	5846.00	6475.10	USD	-62,910.00	
20JUL17	B	5	PD10OCT17	5957.37	6475.10	USD	64,716.25	
	NET	**0**	**AVG BGHT 5957.37**	**SOLD 5845.80**			**-13,946.25**	**0.00**
12JUL17	B	2	PD12OCT17	5897.00	6477.63	USD	29,031.50	
12JUL17	B	3	PD12OCT17	5898.00	6477.63	USD	43,472.25	
12JUL17	B	2	PD12OCT17	5922.50	6477.63	USD	27,756.50	
12JUL17	B	1	PD12OCT17	5923.00	6477.63	USD	13,865.75	
12JUL17	B	4	PD12OCT17	5925.00	6477.63	USD	55,263.00	
12JUL17	B	2	PD12OCT17	5921.00	6477.63	USD	27,831.50	
12JUL17	B	1	PD12OCT17	5929.00	6477.63	USD	13,715.75	
12JUL17	B	1	PD12OCT17	5919.50	6477.63	USD	13,953.25	
12JUL17	B	1	PD12OCT17	5920.00	6477.63	USD	13,940.75	
12JUL17	B	3	PD12OCT17	5919.00	6477.63	USD	41,897.25	
20JUL17	S	10	PD12OCT17	5958.00	6477.63	USD	-129,907.50	
10AUG17	S	10	PD12OCT17	6413.02	6477.63	USD	-16,152.50	
	NET	**0**	**AVG BGHT 5916.18**	**SOLD 6185.51**			**134,667.50**	**0.00**
13JUL17	S	2	PD13OCT17	5877.00	6478.90	USD	-30,095.00	
13JUL17	S	1	PD13OCT17	5881.00	6478.90	USD	-14,947.50	
13JUL17	S	2	PD13OCT17	5883.00	6478.90	USD	-29,795.00	
14JUL17	S	1	PD13OCT17	5869.00	6478.90	USD	-15,247.50	
14JUL17	S	2	PD13OCT17	5872.00	6478.90	USD	-30,345.00	
14JUL17	S	2	PD13OCT17	5872.50	6478.90	USD	-30,320.00	
14JUL17	S	3	PD13OCT17	5876.50	6478.90	USD	-45,180.00	
14JUL17	S	2	PD13OCT17	5877.50	6478.90	USD	-30,070.00	
14JUL17	S	1	PD13OCT17	5878.50	6478.90	USD	-15,010.00	
14JUL17	S	4	PD13OCT17	5880.00	6478.90	USD	-59,890.00	
14JUL17	S	4	PD13OCT17	5882.00	6478.90	USD	-59,690.00	
14JUL17	S	1	PD13OCT17	5882.50	6478.90	USD	-14,910.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0011H

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT								
14JUL17	B	2	PD13OCT17		5892.00	6478.90	USD	29,345.00	
14JUL17	B	1	PD13OCT17		5882.50	6478.90	USD	14,910.00	
14JUL17	B	1	PD13OCT17		5879.00	6478.90	USD	14,997.50	
14JUL17	B	1	PD13OCT17		5883.50	6478.90	USD	14,885.00	
20JUL17	B	20	PD13OCT17		5958.62	6478.90	USD	260,140.00	
	NET	**0**	**AVG BGHT 5944.06**	**SOLD 5878.10**				**-41,222.50**	**0.00**
19JUL17	B	2	PD19OCT17		5992.00	6486.00	USD	24,700.00	
19JUL17	B	5	PD19OCT17		5990.00	6486.00	USD	62,000.00	
19JUL17	B	1	PD19OCT17		5997.50	6486.00	USD	12,212.50	
19JUL17	B	1	PD19OCT17		5999.00	6486.00	USD	12,175.00	
19JUL17	B	1	PD19OCT17		5995.00	6486.00	USD	12,275.00	
19JUL17	S	1	PD19OCT17		6000.50	6486.00	USD	-12,137.50	
19JUL17	B	1	PD19OCT17		5993.50	6486.00	USD	12,312.50	
19JUL17	S	2	PD19OCT17		5972.50	6486.00	USD	-25,675.00	
19JUL17	S	2	PD19OCT17		5963.50	6486.00	USD	-26,125.00	
19JUL17	S	1	PD19OCT17		5964.00	6486.00	USD	-13,050.00	
10AUG17	S	5	PD19OCT17		6415.25	6486.00	USD	-8,843.75	
	NET	**0**	**AVG BGHT 5992.64**	**SOLD 6173.89**				**49,843.75**	**0.00**
20JUL17	S	2	PD20OCT17		5969.50	6486.75	USD	-25,862.50	
20JUL17	S	1	PD20OCT17		5971.50	6486.75	USD	-12,881.25	
20JUL17	S	1	PD20OCT17		5967.50	6486.75	USD	-12,981.25	
20JUL17	S	3	PD20OCT17		5970.50	6486.75	USD	-38,718.75	
20JUL17	S	3	PD20OCT17		5973.50	6486.75	USD	-38,493.75	
21JUL17	B	3	PD20OCT17		6031.00	6486.75	USD	34,181.25	
21JUL17	B	5	PD20OCT17		6032.00	6486.75	USD	56,843.75	
21JUL17	B	2	PD20OCT17		6033.00	6486.75	USD	22,687.50	
	NET	**0**	**AVG BGHT 6031.90**	**SOLD 5971.00**				**-15,225.00**	**0.00**
25JUL17	S	3	PD25OCT17		6045.50	6490.29	USD	-33,359.25	
25JUL17	S	4	PD25OCT17		6046.00	6490.29	USD	-44,429.00	
25JUL17	S	2	PD25OCT17		6046.50	6490.29	USD	-22,189.50	
25JUL17	S	1	PD25OCT17		6047.00	6490.29	USD	-11,082.25	
25JUL17	S	1	PD25OCT17		6062.50	6490.29	USD	-10,694.75	
25JUL17	S	3	PD25OCT17		6066.50	6490.29	USD	-31,784.25	
25JUL17	S	1	PD25OCT17		6067.00	6490.29	USD	-10,582.25	
25JUL17	B	5	PD25OCT17		6181.50	6490.29	USD	38,598.75	
25JUL17	B	1	PD25OCT17		6225.50	6490.29	USD	6,619.75	
25JUL17	B	2	PD25OCT17		6227.00	6490.29	USD	13,164.50	
25JUL17	B	2	PD25OCT17		6227.50	6490.29	USD	13,139.50	
25JUL17	B	1	PD25OCT17		6228.50	6490.29	USD	6,544.75	
25JUL17	B	4	PD25OCT17		6230.00	6490.29	USD	26,029.00	
25JUL17	B	1	PD25OCT17		6221.50	6490.29	USD	6,719.75	
25JUL17	B	4	PD25OCT17		6226.00	6490.29	USD	26,429.00	
25JUL17	B	2	PD25OCT17		6226.50	6490.29	USD	13,189.50	
25JUL17	B	1	PD25OCT17		6231.50	6490.29	USD	6,469.75	
25JUL17	B	2	PD25OCT17		6233.50	6490.29	USD	12,839.50	
25JUL17	B	1	PD25OCT17		6223.00	6490.29	USD	6,682.25	
25JUL17	B	4	PD25OCT17		6236.00	6490.29	USD	25,429.00	
25JUL17	B	1	PD25OCT17		6242.50	6490.29	USD	6,194.75	
25JUL17	B	9	PD25OCT17		6224.00	6490.29	USD	59,915.25	
10AUG17	S	25	PD25OCT17		6416.39	6490.29	USD	-46,187.50	
	NET	**0**	**AVG BGHT 6222.33**	**SOLD 6279.98**				**57,656.25**	**0.00**
26JUL17	S	2	PD26OCT17		6297.00	6490.82	USD	-9,691.00	
26JUL17	S	2	PD26OCT17		6300.00	6490.82	USD	-9,541.00	
26JUL17	S	1	PD26OCT17		6301.50	6490.82	USD	-4,733.00	
26JUL17	S	2	PD26OCT17		6302.00	6490.82	USD	-9,441.00	
26JUL17	S	2	PD26OCT17		6303.00	6490.82	USD	-9,391.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0011H

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
26JUL17	S 1 PD26OCT17	6345.00	6490.82	USD	-3,645.50	
26JUL17	S 1 PD26OCT17	6356.00	6490.82	USD	-3,370.50	
26JUL17	S 2 PD26OCT17	6371.50	6490.82	USD	-5,966.00	
26JUL17	S 2 PD26OCT17	6387.00	6490.82	USD	-5,191.00	
26JUL17	S 1 PD26OCT17	6390.00	6490.82	USD	-2,520.50	
26JUL17	S 2 PD26OCT17	6392.00	6490.82	USD	-4,941.00	
26JUL17	S 4 PD26OCT17	6351.00	6490.82	USD	-13,982.00	
26JUL17	S 2 PD26OCT17	6352.00	6490.82	USD	-6,941.00	
26JUL17	S 1 PD26OCT17	6354.00	6490.82	USD	-3,420.50	
26JUL17	B 4 PD26OCT17	6306.00	6490.82	USD	18,482.00	
26JUL17	B 1 PD26OCT17	6307.00	6490.82	USD	4,595.50	
26JUL17	B 2 PD26OCT17	6275.50	6490.82	USD	10,766.00	
26JUL17	B 1 PD26OCT17	6282.50	6490.82	USD	5,208.00	
26JUL17	B 1 PD26OCT17	6269.00	6490.82	USD	5,545.50	
26JUL17	B 2 PD26OCT17	6273.00	6490.82	USD	10,891.00	
26JUL17	B 2 PD26OCT17	6278.00	6490.82	USD	10,641.00	
26JUL17	B 2 PD26OCT17	6278.50	6490.82	USD	10,616.00	
26JUL17	B 3 PD26OCT17	6276.50	6490.82	USD	16,074.00	
26JUL17	B 2 PD26OCT17	6277.50	6490.82	USD	10,666.00	
26JUL17	B 2 PD26OCT17	6281.00	6490.82	USD	10,491.00	
26JUL17	B 6 PD26OCT17	6279.00	6490.82	USD	31,773.00	
26JUL17	B 2 PD26OCT17	6277.00	6490.82	USD	10,691.00	
26JUL17	S 2 PD26OCT17	6328.00	6490.82	USD	-8,141.00	
26JUL17	S 2 PD26OCT17	6332.50	6490.82	USD	-7,916.00	
26JUL17	S 1 PD26OCT17	6335.00	6490.82	USD	-3,895.50	
26JUL17	S 2 PD26OCT17	6340.50	6490.82	USD	-7,516.00	
26JUL17	S 2 PD26OCT17	6342.00	6490.82	USD	-7,441.00	
26JUL17	S 1 PD26OCT17	6337.00	6490.82	USD	-3,845.50	
10AUG17	B 5 PD26OCT17	6417.02	6490.82	USD	9,225.00	
	NET 0 AVG BGHT 6301.49 SOLD 6340.50				**34,135.00**	**0.00**
27JUL17	S 1 PD27OCT17	6339.00	6491.36	USD	-3,809.00	
27JUL17	S 1 PD27OCT17	6341.00	6491.36	USD	-3,759.00	
27JUL17	S 1 PD27OCT17	6348.50	6491.36	USD	-3,571.50	
27JUL17	S 1 PD27OCT17	6349.50	6491.36	USD	-3,546.50	
27JUL17	S 1 PD27OCT17	6350.50	6491.36	USD	-3,521.50	
27JUL17	B 2 PD27OCT17	6348.50	6491.36	USD	7,143.00	
27JUL17	B 5 PD27OCT17	6350.50	6491.36	USD	17,607.50	
27JUL17	B 3 PD27OCT17	6351.50	6491.36	USD	10,489.50	
27JUL17	B 4 PD27OCT17	6352.00	6491.36	USD	13,936.00	
27JUL17	B 1 PD27OCT17	6352.50	6491.36	USD	3,471.50	
28JUL17	B 5 PD27OCT17	6329.50	6491.36	USD	20,232.50	
28JUL17	S 1 PD27OCT17	6317.50	6491.36	USD	-4,346.50	
28JUL17	S 4 PD27OCT17	6324.50	6491.36	USD	-16,686.00	
28JUL17	S 1 PD27OCT17	6325.50	6491.36	USD	-4,146.50	
28JUL17	S 1 PD27OCT17	6326.50	6491.36	USD	-4,121.50	
28JUL17	S 1 PD27OCT17	6327.00	6491.36	USD	-4,109.00	
28JUL17	S 2 PD27OCT17	6327.50	6491.36	USD	-8,193.00	
28JUL17	S 5 PD27OCT17	6328.50	6491.36	USD	-20,357.50	
28JUL17	S 5 PD27OCT17	6329.00	6491.36	USD	-20,295.00	
28JUL17	S 5 PD27OCT17	6329.50	6491.36	USD	-20,232.50	
10AUG17	B 10 PD27OCT17	6417.45	6491.36	USD	18,477.50	
	NET 0 AVG BGHT 6369.55 SOLD 6330.43				**-29,337.50**	**0.00**
31JUL17	S 1 PD31OCT17	6362.50	6493.50	USD	-3,275.00	
31JUL17	S 3 PD31OCT17	6377.50	6493.50	USD	-8,700.00	
31JUL17	S 2 PD31OCT17	6364.50	6493.50	USD	-6,450.00	
31JUL17	S 2 PD31OCT17	6365.50	6493.50	USD	-6,400.00	
31JUL17	S 2 PD31OCT17	6362.00	6493.50	USD	-6,575.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0011H

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
31JUL17	S 1 PD31OCT17	6364.00	6493.50	USD	-3,237.50	
31JUL17	S 1 PD31OCT17	6367.50	6493.50	USD	-3,150.00	
31JUL17	S 1 PD31OCT17	6369.00	6493.50	USD	-3,112.50	
31JUL17	S 2 PD31OCT17	6370.00	6493.50	USD	-6,175.00	
31JUL17	S 2 PD31OCT17	6366.00	6493.50	USD	-6,375.00	
31JUL17	S 3 PD31OCT17	6367.00	6493.50	USD	-9,487.50	
10AUG17	B 20 PD31OCT17	6419.09	6493.50	USD	37,205.00	
	NET 0 AVG BGHT 6419.09 SOLD 6367.63				**-25,732.50**	**0.00**
02AUG17	S 1 PD02NOV17	6333.50	6494.69	USD	-4,029.75	
02AUG17	S 1 PD02NOV17	6334.00	6494.69	USD	-4,017.25	
02AUG17	S 1 PD02NOV17	6334.50	6494.69	USD	-4,004.75	
02AUG17	S 2 PD02NOV17	6335.50	6494.69	USD	-7,959.50	
02AUG17	B 2 PD02NOV17	6331.00	6494.69	USD	8,184.50	
02AUG17	B 1 PD02NOV17	6332.00	6494.69	USD	4,067.25	
02AUG17	B 1 PD02NOV17	6336.00	6494.69	USD	3,967.25	
02AUG17	B 1 PD02NOV17	6337.00	6494.69	USD	3,942.25	
	NET 0 AVG BGHT 6333.40 SOLD 6334.60				**150.00**	**0.00**
03AUG17	S 2 PD03NOV17	6312.50	6495.28	USD	-9,139.00	
03AUG17	S 2 PD03NOV17	6313.50	6495.28	USD	-9,089.00	
03AUG17	S 1 PD03NOV17	6314.50	6495.28	USD	-4,519.50	
03AUG17	S 1 PD03NOV17	6328.50	6495.28	USD	-4,169.50	
03AUG17	S 2 PD03NOV17	6330.00	6495.28	USD	-8,264.00	
03AUG17	S 2 PD03NOV17	6332.00	6495.28	USD	-8,164.00	
03AUG17	B 5 PD03NOV17	6311.00	6495.28	USD	23,035.00	
03AUG17	S 3 PD03NOV17	6348.50	6495.28	USD	-11,008.50	
03AUG17	S 1 PD03NOV17	6349.50	6495.28	USD	-3,644.50	
03AUG17	S 1 PD03NOV17	6348.00	6495.28	USD	-3,682.00	
04AUG17	B 1 PD03NOV17	6360.00	6495.28	USD	3,382.00	
04AUG17	B 1 PD03NOV17	6372.00	6495.28	USD	3,082.00	
04AUG17	B 1 PD03NOV17	6373.00	6495.28	USD	3,057.00	
04AUG17	B 1 PD03NOV17	6374.00	6495.28	USD	3,032.00	
04AUG17	B 1 PD03NOV17	6379.00	6495.28	USD	2,907.00	
04AUG17	B 1 PD03NOV17	6380.00	6495.28	USD	2,882.00	
04AUG17	B 2 PD03NOV17	6381.00	6495.28	USD	5,714.00	
04AUG17	S 1 PD03NOV17	6361.00	6495.28	USD	-3,357.00	
04AUG17	S 3 PD03NOV17	6359.00	6495.28	USD	-10,221.00	
04AUG17	B 1 PD03NOV17	6358.50	6495.28	USD	3,419.50	
04AUG17	S 2 PD03NOV17	6326.00	6495.28	USD	-8,464.00	
04AUG17	S 1 PD03NOV17	6329.00	6495.28	USD	-4,157.00	
04AUG17	S 2 PD03NOV17	6331.00	6495.28	USD	-8,214.00	
10AUG17	B 10 PD03NOV17	6420.13	6495.28	USD	18,787.50	
	NET 0 AVG BGHT 6379.78 SOLD 6335.13				**-26,795.00**	**0.00**
07AUG17	B 2 PD07NOV17	6348.00	6497.66	USD	7,483.00	
07AUG17	B 1 PD07NOV17	6349.00	6497.66	USD	3,716.50	
07AUG17	B 1 PD07NOV17	6346.00	6497.66	USD	3,791.50	
07AUG17	B 1 PD07NOV17	6346.50	6497.66	USD	3,779.00	
07AUG17	B 3 PD07NOV17	6418.00	6497.66	USD	5,974.50	
07AUG17	B 2 PD07NOV17	6419.00	6497.66	USD	3,933.00	
07AUG17	B 4 PD07NOV17	6420.00	6497.66	USD	7,766.00	
07AUG17	B 2 PD07NOV17	6425.00	6497.66	USD	3,633.00	
07AUG17	B 1 PD07NOV17	6428.00	6497.66	USD	1,741.50	
07AUG17	B 1 PD07NOV17	6436.00	6497.66	USD	1,541.50	
07AUG17	B 2 PD07NOV17	6441.00	6497.66	USD	2,833.00	
10AUG17	S 10 PD07NOV17	6421.57	6497.66	USD	-19,022.50	
	NET +10 AVG BGHT 6405.28 SOLD 6421.57				**27,170.00**	**0.00**
08AUG17	S 4 PD08NOV17	6395.00	6498.25	USD	-10,325.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0011H

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT							
08AUG17	S	1	PD08NOV17	6395.50	6498.25	USD	-2,568.75	
08AUG17	B	2	PD08NOV17	6472.00	6498.25	USD	1,312.50	
08AUG17	B	3	PD08NOV17	6474.50	6498.25	USD	1,781.25	
08AUG17	B	2	PD08NOV17	6476.00	6498.25	USD	1,112.50	
08AUG17	B	1	PD08NOV17	6475.00	6498.25	USD	581.25	
08AUG17	B	1	PD08NOV17	6473.00	6498.25	USD	631.25	
08AUG17	B	1	PD08NOV17	6474.00	6498.25	USD	606.25	
08AUG17	B	2	PD08NOV17	6483.00	6498.25	USD	762.50	
08AUG17	B	2	PD08NOV17	6480.50	6498.25	USD	887.50	
08AUG17	B	1	PD08NOV17	6480.00	6498.25	USD	456.25	
	NET	**+10**	**AVG BGHT 6476.57**	**SOLD 6395.10**			**-4,762.50**	**0.00**
09AUG17	S	4	PD09NOV17	6455.00	6498.71	USD	-4,371.00	
09AUG17	S	1	PD09NOV17	6456.00	6498.71	USD	-1,067.75	
10AUG17	B	5	PD09NOV17	6422.79	6498.71	USD	9,490.00	
	NET	**0**	**AVG BGHT 6422.79**	**SOLD 6455.20**			**4,051.25**	**0.00**
14AUG17	S	4	PD14NOV17	6418.00	6501.04	USD	-8,304.00	
14AUG17	S	3	PD14NOV17	6420.00	6501.04	USD	-6,078.00	
14AUG17	S	3	PD14NOV17	6419.00	6501.04	USD	-6,153.00	
14AUG17	S	1	PD14NOV17	6401.50	6501.04	USD	-2,488.50	
14AUG17	S	2	PD14NOV17	6402.00	6501.04	USD	-4,952.00	
14AUG17	S	2	PD14NOV17	6403.00	6501.04	USD	-4,902.00	
14AUG17	B	2	PD14NOV17	6402.00	6501.04	USD	4,952.00	
14AUG17	B	3	PD14NOV17	6403.00	6501.04	USD	7,353.00	
14AUG17	B	5	PD14NOV17	6410.00	6501.04	USD	11,380.00	
	NET	**-5**	**AVG BGHT 6406.30**	**SOLD 6413.37**			**-9,192.50**	**0.00**
16AUG17	S	2	PD16NOV17	6378.00	6501.00	USD	-6,150.00	
16AUG17	S	1	PD16NOV17	6379.50	6501.00	USD	-3,037.50	
16AUG17	S	5	PD16NOV17	6380.00	6501.00	USD	-15,125.00	
16AUG17	S	2	PD16NOV17	6380.50	6501.00	USD	-6,025.00	
16AUG17	S	2	PD16NOV17	6388.50	6501.00	USD	-5,625.00	
16AUG17	S	3	PD16NOV17	6391.00	6501.00	USD	-8,250.00	
16AUG17	B	5	PD16NOV17	6488.00	6501.00	USD	1,625.00	
16AUG17	B	2	PD16NOV17	6489.00	6501.00	USD	600.00	
16AUG17	B	3	PD16NOV17	6493.00	6501.00	USD	600.00	
16AUG17	B	3	PD16NOV17	6513.00	6501.00	USD	-900.00	
16AUG17	B	2	PD16NOV17	6515.00	6501.00	USD	-700.00	
16AUG17	B	3	PD16NOV17	6521.00	6501.00	USD	-1,500.00	
16AUG17	B	2	PD16NOV17	6527.00	6501.00	USD	-1,300.00	
16AUG17	B	3	PD16NOV17	6547.00	6501.00	USD	-3,450.00	
16AUG17	B	2	PD16NOV17	6548.50	6501.00	USD	-2,375.00	
	NET	**+10**	**AVG BGHT 6512.84**	**SOLD 6383.10**			**-51,612.50**	**0.00**
17AUG17	S	1	PD17NOV17	6489.50	6501.60	USD	-302.50	
17AUG17	S	3	PD17NOV17	6490.00	6501.60	USD	-870.00	
17AUG17	S	1	PD17NOV17	6491.00	6501.60	USD	-265.00	
18AUG17	S	3	PD17NOV17	6462.50	6501.60	USD	-2,932.50	
18AUG17	S	2	PD17NOV17	6466.00	6501.60	USD	-1,780.00	
18AUG17	S	5	PD17NOV17	6465.50	6501.60	USD	-4,512.50	
18AUG17	S	2	PD17NOV17	6490.00	6501.60	USD	-580.00	
18AUG17	S	3	PD17NOV17	6491.00	6501.60	USD	-795.00	
18AUG17	S	2	PD17NOV17	6493.00	6501.60	USD	-430.00	
18AUG17	S	3	PD17NOV17	6494.00	6501.60	USD	-570.00	
18AUG17	B	2	PD17NOV17	6510.00	6501.60	USD	-420.00	
18AUG17	B	2	PD17NOV17	6500.00	6501.60	USD	80.00	
18AUG17	B	1	PD17NOV17	6502.00	6501.60	USD	-10.00	
18AUG17	B	2	PD17NOV17	6517.00	6501.60	USD	-770.00	
18AUG17	B	1	PD17NOV17	6526.00	6501.60	USD	-610.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0011H

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
18AUG17	B 1 PD17NOV17	6528.00	6501.60	USD	-660.00	
18AUG17	B 1 PD17NOV17	6529.00	6501.60	USD	-685.00	
18AUG17	B 2 PD17NOV17	6487.00	6501.60	USD	730.00	
18AUG17	S 2 PD17NOV17	6487.00	6501.60	USD	-730.00	
	NET -15 AVG BGHT 6509.42 SOLD 6481.20				**-16,112.50**	**0.00**
21AUG17	S 5 PD21NOV17	6524.50	6504.00	USD	2,562.50	
21AUG17	B 1 PD21NOV17	6579.00	6504.00	USD	-1,875.00	
21AUG17	B 1 PD21NOV17	6583.00	6504.00	USD	-1,975.00	
21AUG17	B 2 PD21NOV17	6538.00	6504.00	USD	-1,700.00	
21AUG17	B 1 PD21NOV17	6577.00	6504.00	USD	-1,825.00	
21AUG17	S 2 PD21NOV17	6543.00	6504.00	USD	1,950.00	
21AUG17	B 1 PD21NOV17	6548.00	6504.00	USD	-1,100.00	
21AUG17	B 2 PD21NOV17	6550.50	6504.00	USD	-2,325.00	
21AUG17	B 2 PD21NOV17	6552.00	6504.00	USD	-2,400.00	
21AUG17	B 3 PD21NOV17	6590.00	6504.00	USD	-6,450.00	
21AUG17	B 2 PD21NOV17	6596.00	6504.00	USD	-4,600.00	
21AUG17	B 4 PD21NOV17	6585.00	6504.00	USD	-8,100.00	
21AUG17	B 3 PD21NOV17	6586.00	6504.00	USD	-6,150.00	
21AUG17	B 1 PD21NOV17	6570.00	6504.00	USD	-1,650.00	
21AUG17	B 1 PD21NOV17	6580.00	6504.00	USD	-1,900.00	
21AUG17	B 1 PD21NOV17	6570.50	6504.00	USD	-1,662.50	
21AUG17	B 1 PD21NOV17	6573.00	6504.00	USD	-1,725.00	
21AUG17	B 1 PD21NOV17	6574.00	6504.00	USD	-1,750.00	
	NET +20 AVG BGHT 6573.91 SOLD 6529.79				**-42,675.00**	**0.00**
22AUG17	B 3 PD22NOV17	6579.00	6504.60	USD	-5,580.00	
22AUG17	B 2 PD22NOV17	6580.00	6504.60	USD	-3,770.00	
	NET +5 AVG BGHT 6579.40				**-9,350.00**	**0.00**
23AUG17	B 3 PD24NOV17	6542.00	6505.80	USD	-2,715.00	
23AUG17	B 2 PD24NOV17	6544.00	6505.80	USD	-1,910.00	
25AUG17	S 1 PD24NOV17	6706.00	6505.80	USD	5,005.00	
25AUG17	S 4 PD24NOV17	6706.50	6505.80	USD	20,070.00	
25AUG17	S 1 PD24NOV17	6723.00	6505.80	USD	5,430.00	
25AUG17	S 3 PD24NOV17	6724.00	6505.80	USD	16,365.00	
25AUG17	S 1 PD24NOV17	6728.50	6505.80	USD	5,567.50	
	NET -5 AVG BGHT 6542.80 SOLD 6715.55				**47,812.50**	**0.00**
29AUG17	S 2 PD29NOV17	6766.00	6508.80	USD	12,860.00	
29AUG17	S 4 PD29NOV17	6769.00	6508.80	USD	26,020.00	
29AUG17	S 4 PD29NOV17	6770.00	6508.80	USD	26,120.00	
29AUG17	S 2 PD29NOV17	6785.00	6508.80	USD	13,810.00	
29AUG17	S 1 PD29NOV17	6786.00	6508.80	USD	6,930.00	
29AUG17	S 1 PD29NOV17	6788.00	6508.80	USD	6,980.00	
29AUG17	S 3 PD29NOV17	6775.50	6508.80	USD	20,002.50	
29AUG17	S 1 PD29NOV17	6771.50	6508.80	USD	6,567.50	
29AUG17	S 1 PD29NOV17	6772.00	6508.80	USD	6,580.00	
29AUG17	S 1 PD29NOV17	6776.50	6508.80	USD	6,692.50	
	NET -20 AVG SOLD 6773.93				**132,562.50**	**0.00**
31AUG17	S 2 PD30NOV17	6799.00	6509.40	USD	14,480.00	
31AUG17	S 3 PD30NOV17	6800.00	6509.40	USD	21,795.00	
	NET -5 AVG SOLD 6799.60				**36,275.00**	**0.00**
01SEP17	B 2 PD01DEC17	6806.00	6510.00	USD	-14,800.00	
01SEP17	B 1 PD01DEC17	6801.50	6510.00	USD	-7,287.50	
01SEP17	B 1 PD01DEC17	6802.50	6510.00	USD	-7,312.50	
01SEP17	B 1 PD01DEC17	6803.00	6510.00	USD	-7,325.00	
01SEP17	S 2 PD01DEC17	6836.50	6510.00	USD	16,325.00	
01SEP17	S 3 PD01DEC17	6837.50	6510.00	USD	24,562.50	
	NET 0 AVG BGHT 6803.80 SOLD 6837.10					**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0011H

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
					4,162.50	
04SEP17	S 2 PD04DEC17	6907.00	6511.29	USD	19,785.50	
04SEP17	S 2 PD04DEC17	6908.00	6511.29	USD	19,835.50	
04SEP17	S 1 PD04DEC17	6910.50	6511.29	USD	9,980.25	
	NET -5 AVG SOLD 6908.10				**49,601.25**	**0.00**
05SEP17	B 1 PD05DEC17	6940.00	6511.71	USD	-10,707.25	
05SEP17	B 4 PD05DEC17	6941.50	6511.71	USD	-42,979.00	
05SEP17	B 2 PD05DEC17	6934.00	6511.71	USD	-21,114.50	
05SEP17	B 1 PD05DEC17	6948.00	6511.71	USD	-10,907.25	
05SEP17	B 2 PD05DEC17	6950.00	6511.71	USD	-21,914.50	
05SEP17	B 2 PD05DEC17	6952.00	6511.71	USD	-22,014.50	
05SEP17	S 2 PD05DEC17	6938.50	6511.71	USD	21,339.50	
05SEP17	B 1 PD05DEC17	6953.00	6511.71	USD	-11,032.25	
05SEP17	B 4 PD05DEC17	6954.00	6511.71	USD	-44,229.00	
	NET +15 AVG BGHT 6946.76 SOLD 6938.50				**-163,558.75**	**0.00**
06SEP17	S 2 PD06DEC17	6883.50	6512.14	USD	18,568.00	
06SEP17	S 1 PD06DEC17	6885.50	6512.14	USD	9,334.00	
06SEP17	S 3 PD06DEC17	6886.00	6512.14	USD	28,039.50	
06SEP17	S 5 PD06DEC17	6886.50	6512.14	USD	46,795.00	
06SEP17	S 2 PD06DEC17	6887.00	6512.14	USD	18,743.00	
06SEP17	S 2 PD06DEC17	6888.00	6512.14	USD	18,793.00	
	NET -15 AVG SOLD 6886.20				**140,272.50**	**0.00**
08SEP17	B 3 PD08DEC17	6825.00	6513.00	USD	-23,400.00	
08SEP17	B 1 PD08DEC17	6828.00	6513.00	USD	-7,875.00	
08SEP17	B 1 PD08DEC17	6832.00	6513.00	USD	-7,975.00	
08SEP17	B 3 PD08DEC17	6685.00	6513.00	USD	-12,900.00	
08SEP17	B 1 PD08DEC17	6686.00	6513.00	USD	-4,325.00	
08SEP17	B 1 PD08DEC17	6683.00	6513.00	USD	-4,250.00	
	NET +10 AVG BGHT 6755.90				**-60,725.00**	**0.00**
11SEP17	B 2 PD11DEC17	6705.50	6514.19	USD	-9,565.50	
11SEP17	B 1 PD11DEC17	6709.00	6514.19	USD	-4,870.25	
11SEP17	B 1 PD11DEC17	6710.00	6514.19	USD	-4,895.25	
11SEP17	B 1 PD11DEC17	6713.00	6514.19	USD	-4,970.25	
11SEP17	B 5 PD11DEC17	6753.00	6514.19	USD	-29,851.25	
	NET +10 AVG BGHT 6730.80				**-54,152.50**	**0.00**
12SEP17	B 5 PD12DEC17	6713.50	6514.58	USD	-24,865.00	
12SEP17	B 1 PD12DEC17	6714.50	6514.58	USD	-4,998.00	
12SEP17	B 7 PD12DEC17	6715.00	6514.58	USD	-35,073.50	
12SEP17	B 1 PD12DEC17	6731.00	6514.58	USD	-5,410.50	
12SEP17	B 2 PD12DEC17	6734.00	6514.58	USD	-10,971.00	
12SEP17	B 2 PD12DEC17	6735.00	6514.58	USD	-11,021.00	
12SEP17	B 2 PD12DEC17	6709.50	6514.58	USD	-9,746.00	
	NET +20 AVG BGHT 6718.75				**-102,085.00**	**0.00**
13SEP17	B 2 PD13DEC17	6569.50	6514.98	USD	-2,726.00	
13SEP17	B 1 PD13DEC17	6569.00	6514.98	USD	-1,350.50	
13SEP17	B 1 PD13DEC17	6565.00	6514.98	USD	-1,250.50	
13SEP17	B 1 PD13DEC17	6567.00	6514.98	USD	-1,300.50	
	NET +5 AVG BGHT 6568.00				**-6,627.50**	**0.00**
18SEP17	S 1 PD18DEC17	6553.50	6516.96	USD	913.50	
18SEP17	S 1 PD18DEC17	6551.50	6516.96	USD	863.50	
18SEP17	S 1 PD18DEC17	6553.00	6516.96	USD	901.00	
18SEP17	S 2 PD18DEC17	6552.50	6516.96	USD	1,777.00	
	NET -5 AVG SOLD 6552.60				**4,455.00**	**0.00**
	CMX COPPER 25,000 CMX					
11SEP17	S 11 DEC17	307.350	296.350	USD	30,250.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0011H

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	CMX COPPER 25,000 CMX					
	NET -11 AVG SOLD 307.350				**30,250.00**	**0.00**
08SEP17	S 7 MAR18	312.500	298.250	USD	24,937.50	
08SEP17	S 2 MAR18	312.600	298.250	USD	7,175.00	
08SEP17	S 2 MAR18	312.400	298.250	USD	7,075.00	
08SEP17	S 7 MAR18	306.150	298.250	USD	13,825.00	
08SEP17	S 4 MAR18	306.050	298.250	USD	7,800.00	
12SEP17	S 3 MAR18	307.000	298.250	USD	6,562.50	
12SEP17	S 2 MAR18	307.100	298.250	USD	4,425.00	
12SEP17	S 4 MAR18	307.400	298.250	USD	9,150.00	
12SEP17	S 13 MAR18	307.350	298.250	USD	29,575.00	
12SEP17	S 11 MAR18	307.450	298.250	USD	25,300.00	
12SEP17	S 5 MAR18	308.450	298.250	USD	12,750.00	
12SEP17	S 4 MAR18	308.400	298.250	USD	10,150.00	
12SEP17	S 2 MAR18	308.250	298.250	USD	5,000.00	
13SEP17	S 5 MAR18	301.000	298.250	USD	3,437.50	
13SEP17	S 2 MAR18	300.900	298.250	USD	1,325.00	
13SEP17	S 2 MAR18	300.800	298.250	USD	1,275.00	
13SEP17	S 2 MAR18	300.950	298.250	USD	1,350.00	
	NET -77 AVG SOLD 307.139				**171,112.50**	**0.00**

OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	-6,475,420.00
CMX Copper	FUTURES	6,757,637.50

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options		Amount	Profit / Loss	**Total Amount**	**Total P&L**
COPR - Futures	USD	201,362.50	201,362.50	**201,362.50**	**201,362.50**
Unrealised Profits & Losses - Forwards		**Amount**	**Profit / Loss**	**Total Amount**	**Total P&L**
COPL - Futures	USD	31,620.00	31,620.00	**31,620.00**	**31,620.00**

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-195,765.36	-195,765.36
Variation Margins	- Futures	201,362.50	201,362.50
	- Options	0.00	0.00
TOTAL EQUITY		**5,597.14**	**5,597.14**
Initial Margins		-760,029.00	-760,029.00
Excess/(Shortage)		**-754,431.86**	**-754,431.86**
FOR INFORMATION ONLY			
Forward Unrealised P&L		31,620.00	31,620.00
Net Liquidating Value		**37,217.14**	**37,217.14**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0011K

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
28SEP17	B 5 PD28DEC17	6517.00	6517.88	USD	110.00	
28SEP17	B 5 PD28DEC17	6518.00	6517.88	USD	-15.00	
28SEP17	B 5 PD28DEC17	6571.00	6517.88	USD	-6,640.00	
	NET +15 AVG BGHT 6535.33				**-6,545.00**	**0.00**
	CMX COPPER 25,000 CMX					
28SEP17	S 11 DEC17	296.250	296.350	USD	-275.00	
28SEP17	S 11 DEC17	296.200	296.350	USD	-412.50	
28SEP17	S 11 DEC17	298.750	296.350	USD	6,600.00	
	NET -33 AVG SOLD 297.067				**5,912.50**	**0.00**

OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	-2,450,750.00
CMX Copper	FUTURES	2,450,800.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options			Amount	Profit / Loss	**Total Amount**	**Total P&L**
COPR	- Futures	USD	5,912.50	5,912.50	**5,912.50**	**5,912.50**
Unrealised Profits & Losses - Forwards			**Amount**	**Profit / Loss**	**Total Amount**	**Total P&L**
COPL	- Futures	USD	-6,545.00	-6,545.00	**-6,545.00**	**-6,545.00**

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-151.56	-151.56
Variation Margins	- Futures	5,912.50	5,912.50
	- Options	0.00	0.00
TOTAL EQUITY		**5,760.94**	**5,760.94**
Initial Margins		-297,838.00	-297,838.00
Excess/(Shortage)		**-292,077.06**	**-292,077.06**
FOR INFORMATION ONLY			
Forward Unrealised P&L		-6,545.00	-6,545.00
Net Liquidating Value		**-784.06**	**-784.06**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0011L

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
28SEP17	B 1 PD28DEC17	6553.00	6517.88	USD	-878.00	
28SEP17	B 1 PD28DEC17	6553.50	6517.88	USD	-890.50	
28SEP17	B 1 PD28DEC17	6555.50	6517.88	USD	-940.50	
28SEP17	B 1 PD28DEC17	6556.00	6517.88	USD	-953.00	
28SEP17	B 1 PD28DEC17	6556.50	6517.88	USD	-965.50	
	NET +5 AVG BGHT 6554.90				**-4,627.50**	**0.00**
29SEP17	B 2 PD29DEC17	6565.00	6518.23	USD	-2,338.50	
29SEP17	B 1 PD29DEC17	6567.00	6518.23	USD	-1,219.25	
29SEP17	B 1 PD29DEC17	6572.00	6518.23	USD	-1,344.25	
29SEP17	B 1 PD29DEC17	6572.50	6518.23	USD	-1,356.75	
29SEP17	B 1 PD29DEC17	6573.00	6518.23	USD	-1,369.25	
29SEP17	B 1 PD29DEC17	6574.00	6518.23	USD	-1,394.25	
29SEP17	B 1 PD29DEC17	6558.50	6518.23	USD	-1,006.75	
29SEP17	B 1 PD29DEC17	6563.00	6518.23	USD	-1,119.25	
29SEP17	B 1 PD29DEC17	6560.00	6518.23	USD	-1,044.25	
	NET +10 AVG BGHT 6567.00				**-12,192.50**	**0.00**
	CMX COPPER 25,000 CMX					
28SEP17	S 5 DEC17	297.950	296.350	USD	2,000.00	
28SEP17	S 2 DEC17	298.050	296.350	USD	850.00	
28SEP17	S 2 DEC17	298.100	296.350	USD	875.00	
28SEP17	S 2 DEC17	298.000	296.350	USD	825.00	
29SEP17	S 4 DEC17	298.500	296.350	USD	2,150.00	
29SEP17	S 2 DEC17	298.800	296.350	USD	1,225.00	
29SEP17	S 3 DEC17	298.950	296.350	USD	1,950.00	
29SEP17	S 2 DEC17	298.600	296.350	USD	1,125.00	
29SEP17	S 4 DEC17	298.900	296.350	USD	2,550.00	
29SEP17	S 2 DEC17	298.450	296.350	USD	1,050.00	
29SEP17	S 2 DEC17	298.250	296.350	USD	950.00	
29SEP17	S 2 DEC17	298.350	296.350	USD	1,000.00	
29SEP17	S 1 DEC17	297.750	296.350	USD	350.00	
	NET -33 AVG SOLD 298.398				**16,900.00**	**0.00**

OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	-2,461,112.50
CMX Copper	FUTURES	2,461,787.50

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options		Amount	Profit / Loss	**Total Amount**	**Total P&L**
COPR - Futures	USD	16,900.00	16,900.00	**16,900.00**	**16,900.00**
Unrealised Profits & Losses - Forwards		**Amount**	**Profit / Loss**	**Total Amount**	**Total P&L**
COPL - Futures	USD	-16,820.00	-16,820.00	**-16,820.00**	**-16,820.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0011L

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-151.56	-151.56
Variation Margins	- Futures	16,900.00	16,900.00
	- Options	0.00	0.00
TOTAL EQUITY		**16,748.44**	**16,748.44**
Initial Margins		-308,108.00	-308,108.00
Excess/(Shortage)		**-291,359.56**	**-291,359.56**
FOR INFORMATION ONLY			
Forward Unrealised P&L		-16,820.00	-16,820.00
Net Liquidating Value		**-71.56**	**-71.56**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0011M

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
20SEP17	B 2 PD20DEC17	6541.00	6517.75	USD	-1,162.50	
20SEP17	B 2 PD20DEC17	6542.00	6517.75	USD	-1,212.50	
20SEP17	B 1 PD20DEC17	6543.00	6517.75	USD	-631.25	
	NET +5 AVG BGHT 6541.80				**-3,006.25**	**0.00**
27SEP17	B 2 PD27DEC17	6468.00	6517.52	USD	2,476.00	
27SEP17	B 2 PD27DEC17	6469.00	6517.52	USD	2,426.00	
27SEP17	B 1 PD27DEC17	6463.00	6517.52	USD	1,363.00	
	NET +5 AVG BGHT 6467.40				**6,265.00**	**0.00**
28SEP17	B 2 PD28DEC17	6467.00	6517.88	USD	2,544.00	
28SEP17	B 3 PD28DEC17	6452.00	6517.88	USD	4,941.00	
28SEP17	B 3 PD28DEC17	6563.50	6517.88	USD	-3,421.50	
28SEP17	B 2 PD28DEC17	6564.00	6517.88	USD	-2,306.00	
	NET +10 AVG BGHT 6510.85				**1,757.50**	**0.00**
29SEP17	B 5 PD29DEC17	6547.00	6518.23	USD	-3,596.25	
	NET +5 AVG BGHT 6547.00				**-3,596.25**	**0.00**
	CMX COPPER 25,000 CMX					
20SEP17	S 4 DEC17	297.450	296.350	USD	1,100.00	
20SEP17	S 3 DEC17	297.550	296.350	USD	900.00	
20SEP17	S 4 DEC17	297.500	296.350	USD	1,150.00	
27SEP17	S 4 DEC17	293.950	296.350	USD	-2,400.00	
27SEP17	S 7 DEC17	293.800	296.350	USD	-4,462.50	
28SEP17	S 4 DEC17	293.900	296.350	USD	-2,450.00	
28SEP17	S 7 DEC17	293.250	296.350	USD	-5,425.00	
29SEP17	S 8 DEC17	297.750	296.350	USD	2,800.00	
29SEP17	S 3 DEC17	297.650	296.350	USD	975.00	
	NET -44 AVG SOLD 295.640				**-7,812.50**	**0.00**
28SEP17	S 11 MAR18	300.300	298.250	USD	5,637.50	
	NET -11 AVG SOLD 300.300				**5,637.50**	**0.00**

OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	-4,072,237.50
CMX Copper	FUTURES	4,077,862.50

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options			Amount	Profit / Loss	**Total Amount**	**Total P&L**
COPR	- Futures	USD	-2,175.00	-2,175.00	**-2,175.00**	**-2,175.00**
Unrealised Profits & Losses - Forwards			Amount	Profit / Loss	**Total Amount**	**Total P&L**
COPL	- Futures	USD	1,420.00	1,420.00	**1,420.00**	**1,420.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0011M

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-252.60	-252.60
Variation Margins	- Futures	-2,175.00	-2,175.00
	- Options	0.00	0.00
TOTAL EQUITY		**-2,427.60**	**-2,427.60**
Initial Margins		-484,085.00	-484,085.00
Excess/(Shortage)		**-486,512.60**	**-486,512.60**
FOR INFORMATION ONLY			
Forward Unrealised P&L		1,420.00	1,420.00
Net Liquidating Value		**-1,007.60**	**-1,007.60**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0011N

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
27SEP17	B 2 PD27DEC17	6483.00	6517.52	USD	1,726.00	
27SEP17	B 2 PD27DEC17	6485.00	6517.52	USD	1,626.00	
27SEP17	B 1 PD27DEC17	6486.50	6517.52	USD	775.50	
	NET +5 AVG BGHT 6484.50				**4,127.50**	**0.00**
28SEP17	B 2 PD28DEC17	6460.00	6517.88	USD	2,894.00	
28SEP17	B 2 PD28DEC17	6473.00	6517.88	USD	2,244.00	
28SEP17	B 1 PD28DEC17	6475.00	6517.88	USD	1,072.00	
28SEP17	B 2 PD28DEC17	6461.00	6517.88	USD	2,844.00	
28SEP17	S 2 PD28DEC17	6454.00	6517.88	USD	-3,194.00	
28SEP17	B 1 PD28DEC17	6565.00	6517.88	USD	-1,178.00	
28SEP17	B 2 PD28DEC17	6566.00	6517.88	USD	-2,406.00	
28SEP17	B 2 PD28DEC17	6568.00	6517.88	USD	-2,506.00	
	NET +10 AVG BGHT 6508.00 SOLD 6454.00				**-230.00**	**0.00**
29SEP17	B 2 PD29DEC17	6537.00	6518.23	USD	-938.50	
29SEP17	B 2 PD29DEC17	6536.00	6518.23	USD	-888.50	
29SEP17	B 1 PD29DEC17	6542.00	6518.23	USD	-594.25	
	NET +5 AVG BGHT 6537.60				**-2,421.25**	**0.00**
	CMX COPPER 25,000 CMX					
27SEP17	S 4 DEC17	294.550	296.350	USD	-1,800.00	
27SEP17	S 4 DEC17	294.700	296.350	USD	-1,650.00	
27SEP17	S 3 DEC17	294.750	296.350	USD	-1,200.00	
28SEP17	S 4 DEC17	294.300	296.350	USD	-2,050.00	
28SEP17	S 3 DEC17	294.050	296.350	USD	-1,725.00	
28SEP17	S 4 DEC17	293.650	296.350	USD	-2,700.00	
28SEP17	S 4 DEC17	298.650	296.350	USD	2,300.00	
28SEP17	S 7 DEC17	298.500	296.350	USD	3,762.50	
29SEP17	S 4 DEC17	297.250	296.350	USD	900.00	
29SEP17	S 4 DEC17	297.200	296.350	USD	850.00	
29SEP17	S 3 DEC17	297.400	296.350	USD	787.50	
	NET -44 AVG SOLD 296.120				**-2,525.00**	**0.00**

OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	-3,257,462.50
CMX Copper	FUTURES	3,257,325.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options		Amount	Profit / Loss	**Total Amount**	**Total P&L**
COPR - Futures	USD	-2,525.00	-2,525.00	**-2,525.00**	**-2,525.00**
Unrealised Profits & Losses - Forwards		**Amount**	**Profit / Loss**	**Total Amount**	**Total P&L**
COPL - Futures	USD	1,476.25	1,476.25	**1,476.25**	**1,476.25**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0011N

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		59.36	59.36
Variation Margins	- Futures	-2,525.00	-2,525.00
	- Options	0.00	0.00
TOTAL EQUITY		**-2,465.64**	**-2,465.64**
Initial Margins		-386,913.75	-386,913.75
Excess/(Shortage)		**-389,379.39**	**-389,379.39**
FOR INFORMATION ONLY			
Forward Unrealised P&L		1,476.25	1,476.25
Net Liquidating Value		**-989.39**	**-989.39**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0011O

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
03OCT17	B 1 PD03JAN18	6512.50	6520.00	USD	187.50	
03OCT17	B 1 PD03JAN18	6513.00	6520.00	USD	175.00	
03OCT17	B 1 PD03JAN18	6512.00	6520.00	USD	200.00	
03OCT17	B 1 PD03JAN18	6529.00	6520.00	USD	-225.00	
03OCT17	B 1 PD03JAN18	6522.50	6520.00	USD	-62.50	
	NET +5 AVG BGHT 6517.80				**275.00**	**0.00**
	CMX COPPER 25,000 CMX					
03OCT17	S 1 DEC17	296.000	296.350	USD	-87.50	
03OCT17	S 2 DEC17	296.800	296.350	USD	225.00	
03OCT17	S 5 DEC17	296.050	296.350	USD	-375.00	
03OCT17	S 3 DEC17	296.500	296.350	USD	112.50	
	NET -11 AVG SOLD 296.305				**-125.00**	**0.00**

OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	-814,725.00
CMX Copper	FUTURES	814,837.50

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options			Amount	Profit / Loss	**Total Amount**	**Total P&L**
COPR	- Futures	USD	-125.00	-125.00	**-125.00**	**-125.00**
Unrealised Profits & Losses - Forwards			**Amount**	**Profit / Loss**	**Total Amount**	**Total P&L**
COPL	- Futures	USD	275.00	275.00	**275.00**	**275.00**

ACCOUNT FINANCIAL SUMMARY

		USD (1.00000)	NETT USD (1.00000)
CASH BALANCE		-50.52	-50.52
Variation Margins	- Futures	-125.00	-125.00
	- Options	0.00	0.00
TOTAL EQUITY		**-175.52**	**-175.52**
Initial Margins		-96,809.00	-96,809.00
Excess/(Shortage)		**-96,984.52**	**-96,984.52**
FOR INFORMATION ONLY			
Forward Unrealised P&L		275.00	275.00
Net Liquidating Value		**99.48**	**99.48**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED
SAP0011 ARBS
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPAB011**

3rd Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

GROUP FINANCIAL SUMMARY

		USD (1.00000)	NET USD (1.00000)
CASH BALANCE		-1,114,398.04	-1,114,398.04
Variation Margins	- Futures	383,312.50	383,312.50
	- Options	0.00	0.00
TOTAL EQUITY		**-731,085.54**	**-731,085.54**
Initial Margins		-2,798,715.50	-2,798,715.50
Excess/(Shortage)		**-3,529,801.04**	**-3,529,801.04**
FOR INFORMATION ONLY			
Forward Unrealised P&L		874,605.50	874,605.50
Net Liquidating Value		**143,519.96**	**143,519.96**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

backoffice@sapiencap.com

SAP0025L

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT							
05JUL17	S	3	PD05OCT17	5824.00	6468.75	USD	-48,356.25	
05JUL17	S	2	PD05OCT17	5824.50	6468.75	USD	-32,212.50	
05JUL17	S	5	PD05OCT17	5834.00	6468.75	USD	-79,343.75	
19JUL17	B	10	PD05OCT17	5962.47	6468.75	USD	126,570.00	
	NET	**0**	**AVG BGHT 5962.47**	**SOLD 5829.10**			**-33,342.50**	**0.00**
12JUL17	B	2	PD12OCT17	5912.00	6477.63	USD	28,281.50	
12JUL17	B	3	PD12OCT17	5913.00	6477.63	USD	42,347.25	
10AUG17	S	5	PD12OCT17	6413.02	6477.63	USD	-8,076.25	
	NET	**0**	**AVG BGHT 5912.60**	**SOLD 6413.02**			**62,552.50**	**0.00**
13JUL17	S	5	PD13OCT17	5883.00	6478.90	USD	-74,487.50	
19JUL17	B	5	PD13OCT17	5965.87	6478.90	USD	64,128.75	
	NET	**0**	**AVG BGHT 5965.87**	**SOLD 5883.00**			**-10,358.75**	**0.00**
17JUL17	B	2	PD17OCT17	6003.00	6483.98	USD	24,049.00	
17JUL17	B	1	PD17OCT17	6006.50	6483.98	USD	11,937.00	
17JUL17	B	2	PD17OCT17	6013.00	6483.98	USD	23,549.00	
17JUL17	B	5	PD17OCT17	6002.00	6483.98	USD	60,247.50	
10AUG17	S	5	PD17OCT17	6416.00	6483.98	USD	-8,497.50	
23AUG17	S	5	PD17OCT17	6554.75	6483.98	USD	8,846.25	
	NET	**0**	**AVG BGHT 6004.85**	**SOLD 6485.38**			**120,131.25**	**0.00**
19JUL17	B	5	PD19OCT17	6001.00	6486.00	USD	60,625.00	
19JUL17	B	5	PD19OCT17	6003.00	6486.00	USD	60,375.00	
19JUL17	S	3	PD19OCT17	5967.00	6486.00	USD	-38,925.00	
19JUL17	S	2	PD19OCT17	5968.00	6486.00	USD	-25,900.00	
23AUG17	S	5	PD19OCT17	6554.00	6486.00	USD	8,500.00	
	NET	**0**	**AVG BGHT 6002.00**	**SOLD 6260.70**			**64,675.00**	**0.00**
20JUL17	S	5	PD20OCT17	5952.50	6486.75	USD	-66,781.25	
20JUL17	S	2	PD20OCT17	5961.50	6486.75	USD	-26,262.50	
20JUL17	S	3	PD20OCT17	5962.00	6486.75	USD	-39,356.25	
21JUL17	B	10	PD20OCT17	6035.00	6486.75	USD	112,937.50	
21JUL17	S	5	PD20OCT17	5995.50	6486.75	USD	-61,406.25	
10AUG17	B	5	PD20OCT17	6414.45	6486.75	USD	9,037.50	
	NET	**0**	**AVG BGHT 6161.48**	**SOLD 5969.93**			**-71,831.25**	**0.00**
24JUL17	S	5	PD24OCT17	5997.00	6489.75	USD	-61,593.75	
24JUL17	S	5	PD24OCT17	5998.50	6489.75	USD	-61,406.25	
24JUL17	B	5	PD24OCT17	6027.00	6489.75	USD	57,843.75	
24JUL17	B	5	PD24OCT17	6027.50	6489.75	USD	57,781.25	
	NET	**0**	**AVG BGHT 6027.25**	**SOLD 5997.75**			**-7,375.00**	**0.00**
25JUL17	B	5	PD25OCT17	6155.00	6490.29	USD	41,911.25	
25JUL17	B	5	PD25OCT17	6179.00	6490.29	USD	38,911.25	
25JUL17	B	4	PD25OCT17	6224.50	6490.29	USD	26,579.00	
25JUL17	B	6	PD25OCT17	6225.00	6490.29	USD	39,793.50	
25JUL17	B	3	PD25OCT17	6227.00	6490.29	USD	19,746.75	
25JUL17	B	2	PD25OCT17	6227.50	6490.29	USD	13,139.50	
25JUL17	B	5	PD25OCT17	6260.00	6490.29	USD	28,786.25	
23AUG17	S	10	PD25OCT17	6555.92	6490.29	USD	16,407.50	
	NET	**+20**	**AVG BGHT 6211.80**	**SOLD 6555.92**			**225,275.00**	**0.00**
26JUL17	S	5	PD26OCT17	6384.00	6490.82	USD	-13,352.50	
26JUL17	B	3	PD26OCT17	6273.00	6490.82	USD	16,336.50	
26JUL17	B	2	PD26OCT17	6275.00	6490.82	USD	10,791.00	
	NET	**0**	**AVG BGHT 6273.80**	**SOLD 6384.00**			**13,775.00**	**0.00**
02AUG17	S	5	PD02NOV17	6333.50	6494.69	USD	-20,148.75	
02AUG17	B	5	PD02NOV17	6350.00	6494.69	USD	18,086.25	
	NET	**0**	**AVG BGHT 6350.00**	**SOLD 6333.50**			**-2,062.50**	**0.00**
03AUG17	S	5	PD03NOV17	6354.00	6495.28	USD	-17,660.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

backoffice@sapiencap.com

SAP0025L

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
10AUG17	B 5 PD03NOV17	6420.33	6495.28	USD	9,368.75	
	NET 0 AVG BGHT 6420.33 SOLD 6354.00				**-8,291.25**	**0.00**
07AUG17	S 4 PD07NOV17	6364.00	6497.66	USD	-13,366.00	
07AUG17	S 1 PD07NOV17	6366.50	6497.66	USD	-3,279.00	
10AUG17	B 5 PD07NOV17	6421.97	6497.66	USD	9,461.25	
	NET 0 AVG BGHT 6421.97 SOLD 6364.50				**-7,183.75**	**0.00**
08AUG17	B 1 PD08NOV17	6439.00	6498.25	USD	1,481.25	
08AUG17	B 4 PD08NOV17	6439.50	6498.25	USD	5,875.00	
08AUG17	B 4 PD08NOV17	6484.00	6498.25	USD	1,425.00	
08AUG17	B 1 PD08NOV17	6487.50	6498.25	USD	268.75	
	NET +10 AVG BGHT 6462.05				**9,050.00**	**0.00**
14AUG17	S 4 PD14NOV17	6408.00	6501.04	USD	-9,304.00	
14AUG17	S 1 PD14NOV17	6407.00	6501.04	USD	-2,351.00	
23AUG17	B 5 PD14NOV17	6565.70	6501.04	USD	-8,082.50	
	NET 0 AVG BGHT 6565.70 SOLD 6407.80				**-19,737.50**	**0.00**
15AUG17	S 1 PD15NOV17	6362.50	6501.50	USD	-3,475.00	
15AUG17	S 3 PD15NOV17	6363.00	6501.50	USD	-10,387.50	
15AUG17	S 1 PD15NOV17	6363.50	6501.50	USD	-3,450.00	
15AUG17	S 5 PD15NOV17	6365.00	6501.50	USD	-17,062.50	
23AUG17	B 10 PD15NOV17	6565.70	6501.50	USD	-16,050.00	
	NET 0 AVG BGHT 6565.70 SOLD 6364.00				**-50,425.00**	**0.00**
16AUG17	B 5 PD16NOV17	6536.00	6501.00	USD	-4,375.00	
16AUG17	B 10 PD16NOV17	6537.50	6501.00	USD	-9,125.00	
16AUG17	B 5 PD16NOV17	6544.00	6501.00	USD	-5,375.00	
16AUG17	S 5 PD16NOV17	6541.00	6501.00	USD	5,000.00	
	NET +15 AVG BGHT 6538.75 SOLD 6541.00				**-13,875.00**	**0.00**
18AUG17	S 5 PD17NOV17	6500.00	6501.60	USD	-200.00	
23AUG17	B 5 PD17NOV17	6563.89	6501.60	USD	-7,786.25	
	NET 0 AVG BGHT 6563.89 SOLD 6500.00				**-7,986.25**	**0.00**
21AUG17	B 1 PD21NOV17	6588.50	6504.00	USD	-2,112.50	
21AUG17	B 4 PD21NOV17	6593.00	6504.00	USD	-8,900.00	
21AUG17	B 5 PD21NOV17	6595.00	6504.00	USD	-11,375.00	
	NET +10 AVG BGHT 6593.55				**-22,387.50**	**0.00**
22AUG17	B 5 PD22NOV17	6582.50	6504.60	USD	-9,737.50	
	NET +5 AVG BGHT 6582.50				**-9,737.50**	**0.00**
07SEP17	S 5 PD07DEC17	6871.00	6512.57	USD	44,803.75	
	NET -5 AVG SOLD 6871.00				**44,803.75**	**0.00**
08SEP17	S 8 PD08DEC17	6821.00	6513.00	USD	61,600.00	
08SEP17	S 2 PD08DEC17	6821.50	6513.00	USD	15,425.00	
08SEP17	S 5 PD08DEC17	6682.50	6513.00	USD	21,187.50	
08SEP17	S 5 PD08DEC17	6679.00	6513.00	USD	20,750.00	
08SEP17	S 14 PD08DEC17	6678.00	6513.00	USD	57,750.00	
08SEP17	S 1 PD08DEC17	6681.00	6513.00	USD	4,200.00	
	NET -35 AVG SOLD 6719.76				**180,912.50**	**0.00**
14SEP17	B 5 PD14DEC17	6482.00	6515.38	USD	4,172.50	
	NET +5 AVG BGHT 6482.00				**4,172.50**	**0.00**
15SEP17	S 5 PD15DEC17	6500.50	6515.77	USD	-1,908.75	
15SEP17	S 5 PD15DEC17	6503.50	6515.77	USD	-1,533.75	
15SEP17	S 5 PD15DEC17	6508.00	6515.77	USD	-971.25	
15SEP17	S 5 PD15DEC17	6509.50	6515.77	USD	-783.75	
15SEP17	S 5 PD15DEC17	6510.00	6515.77	USD	-721.25	
15SEP17	S 10 PD15DEC17	6501.00	6515.77	USD	-3,692.50	
15SEP17	S 5 PD15DEC17	6502.00	6515.77	USD	-1,721.25	
15SEP17	S 5 PD15DEC17	6505.00	6515.77	USD	-1,346.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

backoffice@sapiencap.com

SAP0025L

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
	NET -45 AVG SOLD 6504.50				**-12,678.75**	**0.00**
19SEP17	B 5 PD19DEC17	6537.50	6517.35	USD	-2,518.75	
19SEP17	B 1 PD19DEC17	6539.00	6517.35	USD	-541.25	
19SEP17	B 2 PD19DEC17	6539.50	6517.35	USD	-1,107.50	
19SEP17	B 2 PD19DEC17	6540.50	6517.35	USD	-1,157.50	
19SEP17	B 2 PD19DEC17	6527.50	6517.35	USD	-507.50	
19SEP17	B 2 PD19DEC17	6528.00	6517.35	USD	-532.50	
19SEP17	B 1 PD19DEC17	6530.00	6517.35	USD	-316.25	
	NET +15 AVG BGHT 6535.17				**-6,681.25**	**0.00**
28SEP17	B 5 PD28DEC17	6443.50	6517.88	USD	9,297.50	
28SEP17	B 5 PD28DEC17	6440.00	6517.88	USD	9,735.00	
28SEP17	B 5 PD28DEC17	6568.50	6517.88	USD	-6,327.50	
28SEP17	B 5 PD28DEC17	6572.00	6517.88	USD	-6,765.00	
28SEP17	B 15 PD28DEC17	6563.00	6517.88	USD	-16,920.00	
28SEP17	B 5 PD28DEC17	6565.50	6517.88	USD	-5,952.50	
	NET +40 AVG BGHT 6534.81				**-16,932.50**	**0.00**
	CMX COPPER 25,000 CMX					
28SEP17	S 11 DEC17	292.850	296.350	USD	-9,625.00	
28SEP17	S 6 DEC17	298.400	296.350	USD	3,075.00	
28SEP17	S 16 DEC17	298.450	296.350	USD	8,400.00	
28SEP17	S 11 DEC17	298.600	296.350	USD	6,187.50	
28SEP17	S 11 DEC17	298.900	296.350	USD	7,012.50	
28SEP17	S 11 DEC17	298.300	296.350	USD	5,362.50	
	NET -66 AVG SOLD 297.587				**20,412.50**	**0.00**
28SEP17	S 11 MAR18	300.200	298.250	USD	5,362.50	
	NET -11 AVG SOLD 300.200				**5,362.50**	**0.00**

OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	-5,255,317.50
CMX Copper	FUTURES	5,735,737.50

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options		Amount	Profit / Loss	**Total Amount**	**Total P&L**
COPR - Futures	USD	25,775.00	25,775.00	**25,775.00**	**25,775.00**
Unrealised Profits & Losses - Forwards		**Amount**	**Profit / Loss**	**Total Amount**	**Total P&L**
COPL - Futures	USD	424,461.25	424,461.25	**424,461.25**	**424,461.25**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

backoffice@sapiencap.com

SAP0025L

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-431,075.87	-431,075.87
Variation Margins	- Futures	25,775.00	25,775.00
	- Options	0.00	0.00
TOTAL EQUITY		**-405,300.87**	**-405,300.87**
Initial Margins		-273,285.75	-273,285.75
Excess/(Shortage)		**-678,586.62**	**-678,586.62**
FOR INFORMATION ONLY			
Forward Unrealised P&L		424,461.25	424,461.25
Net Liquidating Value		**19,160.38**	**19,160.38**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0025M

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT							
05JUL17	S	1	PD05OCT17	5833.50	6468.75	USD	-15,881.25	
05JUL17	S	1	PD05OCT17	5834.50	6468.75	USD	-15,856.25	
05JUL17	S	1	PD05OCT17	5835.00	6468.75	USD	-15,843.75	
05JUL17	S	1	PD05OCT17	5837.00	6468.75	USD	-15,793.75	
05JUL17	S	1	PD05OCT17	5839.00	6468.75	USD	-15,743.75	
20JUL17	B	5	PD05OCT17	5955.22	6468.75	USD	64,191.25	
	NET	**0**	**AVG BGHT 5955.22**	**SOLD 5835.80**			**-14,927.50**	**0.00**
10JUL17	S	5	PD10OCT17	5826.00	6475.10	USD	-81,137.50	
20JUL17	B	5	PD10OCT17	5957.37	6475.10	USD	64,716.25	
	NET	**0**	**AVG BGHT 5957.37**	**SOLD 5826.00**			**-16,421.25**	**0.00**
11JUL17	S	2	PD11OCT17	5842.50	6476.37	USD	-31,693.50	
11JUL17	S	1	PD11OCT17	5843.50	6476.37	USD	-15,821.75	
11JUL17	S	1	PD11OCT17	5843.00	6476.37	USD	-15,834.25	
11JUL17	S	1	PD11OCT17	5844.50	6476.37	USD	-15,796.75	
20JUL17	B	5	PD11OCT17	5957.58	6476.37	USD	64,848.75	
	NET	**0**	**AVG BGHT 5957.58**	**SOLD 5843.20**			**-14,297.50**	**0.00**
12JUL17	B	4	PD12OCT17	5916.00	6477.63	USD	56,163.00	
12JUL17	B	1	PD12OCT17	5919.50	6477.63	USD	13,953.25	
12JUL17	B	1	PD12OCT17	5924.50	6477.63	USD	13,828.25	
12JUL17	B	4	PD12OCT17	5925.00	6477.63	USD	55,263.00	
20JUL17	S	5	PD12OCT17	5957.80	6477.63	USD	-64,978.75	
10AUG17	S	5	PD12OCT17	6413.02	6477.63	USD	-8,076.25	
	NET	**0**	**AVG BGHT 5920.80**	**SOLD 6185.41**			**66,152.50**	**0.00**
13JUL17	S	2	PD13OCT17	5880.00	6478.90	USD	-29,945.00	
13JUL17	S	1	PD13OCT17	5882.00	6478.90	USD	-14,922.50	
13JUL17	S	1	PD13OCT17	5882.50	6478.90	USD	-14,910.00	
13JUL17	S	1	PD13OCT17	5884.00	6478.90	USD	-14,872.50	
13JUL17	S	4	PD13OCT17	5868.00	6478.90	USD	-61,090.00	
13JUL17	S	1	PD13OCT17	5868.50	6478.90	USD	-15,260.00	
20JUL17	B	10	PD13OCT17	5958.62	6478.90	USD	130,070.00	
	NET	**0**	**AVG BGHT 5958.62**	**SOLD 5874.90**			**-20,930.00**	**0.00**
17JUL17	B	5	PD17OCT17	5981.00	6483.98	USD	62,872.50	
17JUL17	B	2	PD17OCT17	6008.50	6483.98	USD	23,774.00	
17JUL17	B	3	PD17OCT17	6009.50	6483.98	USD	35,586.00	
17JUL17	B	2	PD17OCT17	6001.00	6483.98	USD	24,149.00	
17JUL17	B	3	PD17OCT17	6001.50	6483.98	USD	36,186.00	
10AUG17	S	5	PD17OCT17	6416.00	6483.98	USD	-8,497.50	
23AUG17	S	10	PD17OCT17	6554.75	6483.98	USD	17,692.50	
	NET	**0**	**AVG BGHT 5997.13**	**SOLD 6508.50**			**191,762.50**	**0.00**
21JUL17	B	2	PD20OCT17	6033.00	6486.75	USD	22,687.50	
21JUL17	B	1	PD20OCT17	6036.00	6486.75	USD	11,268.75	
21JUL17	B	1	PD20OCT17	6037.00	6486.75	USD	11,243.75	
21JUL17	B	1	PD20OCT17	6040.50	6486.75	USD	11,156.25	
21JUL17	S	1	PD20OCT17	5995.00	6486.75	USD	-12,293.75	
21JUL17	S	2	PD20OCT17	5996.50	6486.75	USD	-24,512.50	
21JUL17	S	2	PD20OCT17	5998.00	6486.75	USD	-24,437.50	
	NET	**0**	**AVG BGHT 6035.90**	**SOLD 5996.80**			**-4,887.50**	**0.00**
24JUL17	S	1	PD24OCT17	6000.00	6489.75	USD	-12,243.75	
24JUL17	S	1	PD24OCT17	6001.00	6489.75	USD	-12,218.75	
24JUL17	S	3	PD24OCT17	6004.50	6489.75	USD	-36,393.75	
24JUL17	B	2	PD24OCT17	6029.50	6489.75	USD	23,012.50	
24JUL17	B	3	PD24OCT17	6029.00	6489.75	USD	34,556.25	
	NET	**0**	**AVG BGHT 6029.20**	**SOLD 6002.90**			**-3,287.50**	**0.00**
25JUL17	B	5	PD25OCT17	6155.00	6490.29	USD	41,911.25	
25JUL17	B	2	PD25OCT17	6219.00	6490.29	USD	13,564.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0025M

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
25JUL17	B 3 PD25OCT17	6217.50	6490.29	USD	20,459.25	
25JUL17	B 1 PD25OCT17	6204.00	6490.29	USD	7,157.25	
25JUL17	B 1 PD25OCT17	6205.50	6490.29	USD	7,119.75	
25JUL17	B 3 PD25OCT17	6205.00	6490.29	USD	21,396.75	
25JUL17	B 5 PD25OCT17	6263.00	6490.29	USD	28,411.25	
23AUG17	S 10 PD25OCT17	6555.92	6490.29	USD	16,407.50	
	NET +10 AVG BGHT 6210.25 SOLD 6555.92				**156,427.50**	**0.00**
26JUL17	S 2 PD26OCT17	6383.00	6490.82	USD	-5,391.00	
26JUL17	S 2 PD26OCT17	6383.50	6490.82	USD	-5,366.00	
26JUL17	S 1 PD26OCT17	6387.00	6490.82	USD	-2,595.50	
26JUL17	B 2 PD26OCT17	6279.00	6490.82	USD	10,591.00	
26JUL17	B 1 PD26OCT17	6270.50	6490.82	USD	5,508.00	
26JUL17	B 2 PD26OCT17	6272.00	6490.82	USD	10,941.00	
26JUL17	B 2 PD26OCT17	6275.00	6490.82	USD	10,791.00	
26JUL17	B 3 PD26OCT17	6277.00	6490.82	USD	16,036.50	
	NET +5 AVG BGHT 6275.35 SOLD 6384.00				**40,515.00**	**0.00**
02AUG17	S 2 PD02NOV17	6334.50	6494.69	USD	-8,009.50	
02AUG17	S 3 PD02NOV17	6335.50	6494.69	USD	-11,939.25	
02AUG17	B 3 PD02NOV17	6332.00	6494.69	USD	12,201.75	
02AUG17	B 5 PD02NOV17	6336.00	6494.69	USD	19,836.25	
02AUG17	B 2 PD02NOV17	6337.00	6494.69	USD	7,884.50	
	NET +5 AVG BGHT 6335.00 SOLD 6335.10				**19,973.75**	**0.00**
03AUG17	S 2 PD03NOV17	6341.50	6495.28	USD	-7,689.00	
03AUG17	S 3 PD03NOV17	6342.00	6495.28	USD	-11,496.00	
10AUG17	B 5 PD03NOV17	6420.33	6495.28	USD	9,368.75	
	NET 0 AVG BGHT 6420.33 SOLD 6341.80				**-9,816.25**	**0.00**
07AUG17	S 5 PD07NOV17	6366.00	6497.66	USD	-16,457.50	
10AUG17	B 5 PD07NOV17	6421.97	6497.66	USD	9,461.25	
	NET 0 AVG BGHT 6421.97 SOLD 6366.00				**-6,996.25**	**0.00**
08AUG17	B 2 PD08NOV17	6478.00	6498.25	USD	1,012.50	
08AUG17	B 1 PD08NOV17	6479.00	6498.25	USD	481.25	
08AUG17	B 2 PD08NOV17	6479.50	6498.25	USD	937.50	
	NET +5 AVG BGHT 6478.80				**2,431.25**	**0.00**
14AUG17	B 1 PD14NOV17	6407.50	6501.04	USD	2,338.50	
14AUG17	B 1 PD14NOV17	6409.50	6501.04	USD	2,288.50	
14AUG17	B 3 PD14NOV17	6408.00	6501.04	USD	6,978.00	
	NET +5 AVG BGHT 6408.20				**11,605.00**	**0.00**
15AUG17	S 2 PD15NOV17	6418.00	6501.50	USD	-4,175.00	
15AUG17	B 1 PD15NOV17	6419.00	6501.50	USD	2,062.50	
15AUG17	S 2 PD15NOV17	6418.50	6501.50	USD	-4,150.00	
15AUG17	S 2 PD15NOV17	6419.00	6501.50	USD	-4,125.00	
15AUG17	S 5 PD15NOV17	6368.00	6501.50	USD	-16,687.50	
23AUG17	B 10 PD15NOV17	6565.70	6501.50	USD	-16,050.00	
	NET 0 AVG BGHT 6552.36 SOLD 6395.55				**-43,125.00**	**0.00**
16AUG17	B 3 PD16NOV17	6535.50	6501.00	USD	-2,587.50	
16AUG17	B 1 PD16NOV17	6536.50	6501.00	USD	-887.50	
16AUG17	B 1 PD16NOV17	6537.00	6501.00	USD	-900.00	
16AUG17	B 2 PD16NOV17	6537.50	6501.00	USD	-1,825.00	
16AUG17	B 1 PD16NOV17	6539.50	6501.00	USD	-962.50	
16AUG17	B 1 PD16NOV17	6540.50	6501.00	USD	-987.50	
16AUG17	B 1 PD16NOV17	6542.00	6501.00	USD	-1,025.00	
	NET +10 AVG BGHT 6537.70				**-9,175.00**	**0.00**
18AUG17	S 1 PD17NOV17	6482.00	6501.60	USD	-490.00	
18AUG17	S 1 PD17NOV17	6482.50	6501.60	USD	-477.50	
18AUG17	S 1 PD17NOV17	6484.00	6501.60	USD	-440.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0025M

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
18AUG17	S 2 PD17NOV17	6484.50	6501.60	USD	-855.00	
18AUG17	S 1 PD17NOV17	6500.00	6501.60	USD	-40.00	
18AUG17	S 2 PD17NOV17	6500.50	6501.60	USD	-55.00	
18AUG17	S 2 PD17NOV17	6501.50	6501.60	USD	-5.00	
23AUG17	B 10 PD17NOV17	6563.89	6501.60	USD	-15,572.50	
	NET 0 AVG BGHT 6563.89 SOLD 6492.15				**-17,935.00**	**0.00**
21AUG17	B 2 PD21NOV17	6589.00	6504.00	USD	-4,250.00	
21AUG17	B 3 PD21NOV17	6592.50	6504.00	USD	-6,637.50	
21AUG17	B 3 PD21NOV17	6590.00	6504.00	USD	-6,450.00	
21AUG17	B 2 PD21NOV17	6597.00	6504.00	USD	-4,650.00	
	NET +10 AVG BGHT 6591.95				**-21,987.50**	**0.00**
24AUG17	S 2 PD24NOV17	6703.00	6505.80	USD	9,860.00	
24AUG17	S 2 PD24NOV17	6706.00	6505.80	USD	10,010.00	
24AUG17	S 1 PD24NOV17	6706.50	6505.80	USD	5,017.50	
	NET -5 AVG SOLD 6704.90				**24,887.50**	**0.00**
29AUG17	S 1 PD29NOV17	6767.00	6508.80	USD	6,455.00	
29AUG17	S 1 PD29NOV17	6767.50	6508.80	USD	6,467.50	
29AUG17	S 2 PD29NOV17	6763.50	6508.80	USD	12,735.00	
29AUG17	S 1 PD29NOV17	6761.00	6508.80	USD	6,305.00	
	NET -5 AVG SOLD 6764.50				**31,962.50**	**0.00**
05SEP17	B 2 PD05DEC17	6938.50	6511.71	USD	-21,339.50	
05SEP17	B 1 PD05DEC17	6939.00	6511.71	USD	-10,682.25	
05SEP17	B 2 PD05DEC17	6940.00	6511.71	USD	-21,414.50	
	NET +5 AVG BGHT 6939.20				**-53,436.25**	**0.00**
06SEP17	S 1 PD06DEC17	6908.50	6512.14	USD	9,909.00	
06SEP17	S 1 PD06DEC17	6909.00	6512.14	USD	9,921.50	
06SEP17	S 1 PD06DEC17	6909.50	6512.14	USD	9,934.00	
06SEP17	S 2 PD06DEC17	6910.00	6512.14	USD	19,893.00	
	NET -5 AVG SOLD 6909.40				**49,657.50**	**0.00**
08SEP17	S 2 PD08DEC17	6822.00	6513.00	USD	15,450.00	
08SEP17	S 2 PD08DEC17	6823.00	6513.00	USD	15,500.00	
08SEP17	S 1 PD08DEC17	6823.50	6513.00	USD	7,762.50	
08SEP17	S 2 PD08DEC17	6827.50	6513.00	USD	15,725.00	
08SEP17	S 3 PD08DEC17	6828.00	6513.00	USD	23,625.00	
08SEP17	S 1 PD08DEC17	6682.00	6513.00	USD	4,225.00	
08SEP17	S 1 PD08DEC17	6682.50	6513.00	USD	4,237.50	
08SEP17	S 2 PD08DEC17	6678.50	6513.00	USD	8,275.00	
08SEP17	S 5 PD08DEC17	6683.00	6513.00	USD	21,250.00	
08SEP17	S 1 PD08DEC17	6679.00	6513.00	USD	4,150.00	
	NET -20 AVG SOLD 6753.40				**120,200.00**	**0.00**
14SEP17	S 2 PD14DEC17	6502.00	6515.38	USD	-669.00	
14SEP17	S 3 PD14DEC17	6496.00	6515.38	USD	-1,453.50	
	NET -5 AVG SOLD 6498.40				**-2,122.50**	**0.00**
15SEP17	S 2 PD15DEC17	6494.00	6515.77	USD	-1,088.50	
15SEP17	S 2 PD15DEC17	6495.50	6515.77	USD	-1,013.50	
15SEP17	S 1 PD15DEC17	6496.00	6515.77	USD	-494.25	
15SEP17	S 4 PD15DEC17	6501.00	6515.77	USD	-1,477.00	
15SEP17	S 1 PD15DEC17	6508.00	6515.77	USD	-194.25	
15SEP17	S 3 PD15DEC17	6510.00	6515.77	USD	-432.75	
15SEP17	S 2 PD15DEC17	6513.00	6515.77	USD	-138.50	
	NET -15 AVG SOLD 6502.87				**-4,838.75**	**0.00**
28SEP17	B 4 PD28DEC17	6459.00	6517.88	USD	5,888.00	
28SEP17	B 3 PD28DEC17	6460.00	6517.88	USD	4,341.00	
28SEP17	B 2 PD28DEC17	6461.50	6517.88	USD	2,819.00	
28SEP17	S 4 PD28DEC17	6460.00	6517.88	USD	-5,788.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0025M

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
28SEP17	B 2 PD28DEC17	6466.00	6517.88	USD	2,594.00	
28SEP17	B 3 PD28DEC17	6467.00	6517.88	USD	3,816.00	
28SEP17	B 2 PD28DEC17	6559.50	6517.88	USD	-2,081.00	
28SEP17	B 4 PD28DEC17	6560.50	6517.88	USD	-4,262.00	
28SEP17	B 1 PD28DEC17	6562.00	6517.88	USD	-1,103.00	
28SEP17	B 3 PD28DEC17	6564.00	6517.88	USD	-3,459.00	
	NET +20 AVG BGHT 6503.63 SOLD 6460.00				**2,765.00**	**0.00**
	CMX COPPER 25,000 CMX					
28SEP17	S 3 DEC17	293.600	296.350	USD	-2,062.50	
28SEP17	S 8 DEC17	293.550	296.350	USD	-5,600.00	
28SEP17	S 2 DEC17	293.850	296.350	USD	-1,250.00	
28SEP17	S 9 DEC17	293.900	296.350	USD	-5,512.50	
28SEP17	S 4 DEC17	298.250	296.350	USD	1,900.00	
28SEP17	S 5 DEC17	298.200	296.350	USD	2,312.50	
28SEP17	S 2 DEC17	298.450	296.350	USD	1,050.00	
	NET -33 AVG SOLD 295.239				**-9,162.50**	**0.00**
28SEP17	S 4 MAR18	300.100	298.250	USD	1,850.00	
28SEP17	S 7 MAR18	300.200	298.250	USD	3,412.50	
	NET -11 AVG SOLD 300.164				**5,262.50**	**0.00**

OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	-2,765,491.25
CMX Copper	FUTURES	3,261,175.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options		Amount	Profit / Loss	**Total Amount**	**Total P&L**
COPR - Futures	USD	-3,900.00	-3,900.00	**-3,900.00**	**-3,900.00**
Unrealised Profits & Losses - Forwards		**Amount**	**Profit / Loss**	**Total Amount**	**Total P&L**
COPL - Futures	USD	474,156.25	474,156.25	**474,156.25**	**474,156.25**

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-449,933.28	-449,933.28
Variation Margins	- Futures	-3,900.00	-3,900.00
	- Options	0.00	0.00
TOTAL EQUITY		**-453,833.28**	**-453,833.28**
Initial Margins		-138,600.00	-138,600.00
Excess/(Shortage)		**-592,433.28**	**-592,433.28**
FOR INFORMATION ONLY			
Forward Unrealised P&L		474,156.25	474,156.25
Net Liquidating Value		**20,322.97**	**20,322.97**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0025N

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
05JUL17	S 5 PD05OCT17	5825.00	6468.75	USD	-80,468.75	
20JUL17	B 5 PD05OCT17	5955.22	6468.75	USD	64,191.25	
	NET 0 AVG BGHT 5955.22 SOLD 5825.00				**-16,277.50**	**0.00**
06JUL17	S 5 PD06OCT17	5847.00	6470.02	USD	-77,877.50	
07JUL17	S 5 PD06OCT17	5820.00	6470.02	USD	-81,252.50	
20JUL17	B 10 PD06OCT17	5955.70	6470.02	USD	128,580.00	
	NET 0 AVG BGHT 5955.70 SOLD 5833.50				**-30,550.00**	**0.00**
10JUL17	S 5 PD10OCT17	5833.00	6475.10	USD	-80,262.50	
20JUL17	B 5 PD10OCT17	5957.37	6475.10	USD	64,716.25	
	NET 0 AVG BGHT 5957.37 SOLD 5833.00				**-15,546.25**	**0.00**
12JUL17	B 5 PD12OCT17	5920.00	6477.63	USD	69,703.75	
10AUG17	S 5 PD12OCT17	6413.02	6477.63	USD	-8,076.25	
	NET 0 AVG BGHT 5920.00 SOLD 6413.02				**61,627.50**	**0.00**
13JUL17	S 5 PD13OCT17	5882.50	6478.90	USD	-74,550.00	
20JUL17	B 5 PD13OCT17	5958.62	6478.90	USD	65,035.00	
	NET 0 AVG BGHT 5958.62 SOLD 5882.50				**-9,515.00**	**0.00**
17JUL17	B 5 PD17OCT17	6001.00	6483.98	USD	60,372.50	
17JUL17	B 5 PD17OCT17	5997.50	6483.98	USD	60,810.00	
10AUG17	S 10 PD17OCT17	6416.00	6483.98	USD	-16,995.00	
	NET 0 AVG BGHT 5999.25 SOLD 6416.00				**104,187.50**	**0.00**
18JUL17	B 5 PD18OCT17	6003.50	6485.25	USD	60,218.75	
18JUL17	B 5 PD18OCT17	6007.50	6485.25	USD	59,718.75	
10AUG17	S 10 PD18OCT17	6416.25	6485.25	USD	-17,250.00	
	NET 0 AVG BGHT 6005.50 SOLD 6416.25				**102,687.50**	**0.00**
19JUL17	S 5 PD19OCT17	5968.00	6486.00	USD	-64,750.00	
20JUL17	B 5 PD19OCT17	5959.70	6486.00	USD	65,787.50	
	NET 0 AVG BGHT 5959.70 SOLD 5968.00				**1,037.50**	**0.00**
20JUL17	S 5 PD20OCT17	5984.00	6486.75	USD	-62,843.75	
21JUL17	B 5 PD20OCT17	6040.00	6486.75	USD	55,843.75	
21JUL17	S 5 PD20OCT17	5996.00	6486.75	USD	-61,343.75	
10AUG17	B 5 PD20OCT17	6414.45	6486.75	USD	9,037.50	
	NET 0 AVG BGHT 6227.23 SOLD 5990.00				**-59,306.25**	**0.00**
24JUL17	B 5 PD24OCT17	6001.00	6489.75	USD	61,093.75	
24JUL17	S 5 PD24OCT17	5996.00	6489.75	USD	-61,718.75	
24JUL17	S 5 PD24OCT17	5998.00	6489.75	USD	-61,468.75	
24JUL17	B 10 PD24OCT17	6029.00	6489.75	USD	115,187.50	
23AUG17	S 5 PD24OCT17	6555.33	6489.75	USD	8,197.50	
	NET 0 AVG BGHT 6019.67 SOLD 6183.11				**61,291.25**	**0.00**
25JUL17	B 5 PD25OCT17	6148.00	6490.29	USD	42,786.25	
25JUL17	B 5 PD25OCT17	6174.00	6490.29	USD	39,536.25	
25JUL17	B 5 PD25OCT17	6222.00	6490.29	USD	33,536.25	
23AUG17	S 15 PD25OCT17	6555.92	6490.29	USD	24,611.25	
	NET 0 AVG BGHT 6181.33 SOLD 6555.92				**140,470.00**	**0.00**
31JUL17	S 5 PD31OCT17	6370.00	6493.50	USD	-15,437.50	
10AUG17	B 5 PD31OCT17	6419.09	6493.50	USD	9,301.25	
	NET 0 AVG BGHT 6419.09 SOLD 6370.00				**-6,136.25**	**0.00**
03AUG17	S 5 PD03NOV17	6348.00	6495.28	USD	-18,410.00	
10AUG17	B 5 PD03NOV17	6420.33	6495.28	USD	9,368.75	
	NET 0 AVG BGHT 6420.33 SOLD 6348.00				**-9,041.25**	**0.00**
07AUG17	S 1 PD07NOV17	6360.00	6497.66	USD	-3,441.50	
07AUG17	S 4 PD07NOV17	6362.00	6497.66	USD	-13,566.00	
07AUG17	S 5 PD07NOV17	6358.50	6497.66	USD	-17,395.00	
10AUG17	B 10 PD07NOV17	6421.97	6497.66	USD	18,922.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0025N

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
	NET 0 AVG BGHT 6421.97 SOLD 6360.05				**-15,480.00**	**0.00**
08AUG17	B 5 PD08NOV17	6474.50	6498.25	USD	2,968.75	
08AUG17	B 5 PD08NOV17	6483.50	6498.25	USD	1,843.75	
08AUG17	B 5 PD08NOV17	6481.00	6498.25	USD	2,156.25	
08AUG17	B 5 PD08NOV17	6475.00	6498.25	USD	2,906.25	
08AUG17	B 5 PD08NOV17	6480.00	6498.25	USD	2,281.25	
	NET +25 AVG BGHT 6478.80				**12,156.25**	**0.00**
15AUG17	S 5 PD15NOV17	6360.00	6501.50	USD	-17,687.50	
15AUG17	S 2 PD15NOV17	6365.00	6501.50	USD	-6,825.00	
15AUG17	S 3 PD15NOV17	6368.50	6501.50	USD	-9,975.00	
23AUG17	B 10 PD15NOV17	6565.70	6501.50	USD	-16,050.00	
	NET 0 AVG BGHT 6565.70 SOLD 6363.55				**-50,537.50**	**0.00**
16AUG17	B 1 PD16NOV17	6535.50	6501.00	USD	-862.50	
16AUG17	B 3 PD16NOV17	6541.50	6501.00	USD	-3,037.50	
16AUG17	B 2 PD16NOV17	6542.00	6501.00	USD	-2,050.00	
16AUG17	B 4 PD16NOV17	6540.00	6501.00	USD	-3,900.00	
	NET +10 AVG BGHT 6540.40				**-9,850.00**	**0.00**
18AUG17	S 7 PD17NOV17	6500.00	6501.60	USD	-280.00	
18AUG17	S 3 PD17NOV17	6501.00	6501.60	USD	-45.00	
23AUG17	B 10 PD17NOV17	6563.89	6501.60	USD	-15,572.50	
	NET 0 AVG BGHT 6563.89 SOLD 6500.30				**-15,897.50**	**0.00**
21AUG17	B 5 PD21NOV17	6587.00	6504.00	USD	-10,375.00	
21AUG17	B 5 PD21NOV17	6593.50	6504.00	USD	-11,187.50	
	NET +10 AVG BGHT 6590.25				**-21,562.50**	**0.00**
22AUG17	B 5 PD22NOV17	6613.00	6504.60	USD	-13,550.00	
	NET +5 AVG BGHT 6613.00				**-13,550.00**	**0.00**
07SEP17	S 5 PD07DEC17	6868.00	6512.57	USD	44,428.75	
	NET -5 AVG SOLD 6868.00				**44,428.75**	**0.00**
08SEP17	S 5 PD08DEC17	6822.50	6513.00	USD	38,687.50	
08SEP17	S 5 PD08DEC17	6683.00	6513.00	USD	21,250.00	
08SEP17	S 3 PD08DEC17	6680.50	6513.00	USD	12,562.50	
08SEP17	S 6 PD08DEC17	6679.00	6513.00	USD	24,900.00	
08SEP17	S 6 PD08DEC17	6678.00	6513.00	USD	24,750.00	
08SEP17	S 5 PD08DEC17	6680.00	6513.00	USD	20,875.00	
	NET -30 AVG SOLD 6703.70				**143,025.00**	**0.00**
15SEP17	S 5 PD15DEC17	6489.00	6515.77	USD	-3,346.25	
15SEP17	S 5 PD15DEC17	6496.50	6515.77	USD	-2,408.75	
15SEP17	S 5 PD15DEC17	6472.00	6515.77	USD	-5,471.25	
15SEP17	S 5 PD15DEC17	6475.50	6515.77	USD	-5,033.75	
	NET -20 AVG SOLD 6483.25				**-16,260.00**	**0.00**
28SEP17	B 3 PD28DEC17	6435.00	6517.88	USD	6,216.00	
28SEP17	B 2 PD28DEC17	6436.50	6517.88	USD	4,069.00	
28SEP17	B 5 PD28DEC17	6437.00	6517.88	USD	10,110.00	
28SEP17	B 5 PD28DEC17	6440.00	6517.88	USD	9,735.00	
28SEP17	B 5 PD28DEC17	6567.00	6517.88	USD	-6,140.00	
28SEP17	B 5 PD28DEC17	6563.00	6517.88	USD	-5,640.00	
	NET +25 AVG BGHT 6488.52				**18,350.00**	**0.00**
	CMX COPPER 25,000 CMX					
28SEP17	S 11 DEC17	292.550	296.350	USD	-10,450.00	
28SEP17	S 11 DEC17	292.750	296.350	USD	-9,900.00	
28SEP17	S 11 DEC17	298.550	296.350	USD	6,050.00	
	NET -33 AVG SOLD 294.617				**-14,300.00**	**0.00**
28SEP17	S 11 MAR18	300.100	298.250	USD	5,087.50	
	NET -11 AVG SOLD 300.100					**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0025N

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	CMX COPPER 25,000 CMX				**5,087.50**	

OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	-2,842,948.75
CMX Copper	FUTURES	3,255,862.50

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options		Amount	Profit / Loss	**Total Amount**	**Total P&L**
COPR - Futures	USD	-9,212.50	-9,212.50	**-9,212.50**	**-9,212.50**
Unrealised Profits & Losses - Forwards		**Amount**	**Profit / Loss**	**Total Amount**	**Total P&L**
COPL - Futures	USD	399,751.25	399,751.25	**399,751.25**	**399,751.25**

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-374,003.06	-374,003.06
Variation Margins	- Futures	-9,212.50	-9,212.50
	- Options	0.00	0.00
TOTAL EQUITY		**-383,215.56**	**-383,215.56**
Initial Margins		-138,600.00	-138,600.00
Excess/(Shortage)		**-521,815.56**	**-521,815.56**
FOR INFORMATION ONLY			
Forward Unrealised P&L		399,751.25	399,751.25
Net Liquidating Value		**16,535.69**	**16,535.69**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0025O

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
04JUL17	S 1 PD04OCT17	5879.50	6467.48	USD	-14,699.50	
04JUL17	B 1 PD04OCT17	5883.00	6467.48	USD	14,612.00	
	NET 0 AVG BGHT 5883.00 SOLD 5879.50				**-87.50**	**0.00**
12JUL17	B 5 PD12OCT17	5922.00	6477.63	USD	69,453.75	
23AUG17	S 5 PD12OCT17	6552.35	6477.63	USD	9,340.00	
	NET 0 AVG BGHT 5922.00 SOLD 6552.35				**78,793.75**	**0.00**
13JUL17	S 5 PD13OCT17	5882.50	6478.90	USD	-74,550.00	
20JUL17	B 5 PD13OCT17	5958.62	6478.90	USD	65,035.00	
	NET 0 AVG BGHT 5958.62 SOLD 5882.50				**-9,515.00**	**0.00**
17JUL17	B 1 PD17OCT17	6008.00	6483.98	USD	11,899.50	
17JUL17	B 1 PD17OCT17	6010.00	6483.98	USD	11,849.50	
17JUL17	B 1 PD17OCT17	6012.00	6483.98	USD	11,799.50	
17JUL17	B 1 PD17OCT17	6013.00	6483.98	USD	11,774.50	
17JUL17	B 1 PD17OCT17	6001.50	6483.98	USD	12,062.00	
17JUL17	B 2 PD17OCT17	6007.50	6483.98	USD	23,824.00	
17JUL17	B 1 PD17OCT17	5999.00	6483.98	USD	12,124.50	
17JUL17	B 2 PD17OCT17	6000.00	6483.98	USD	24,199.00	
	NET +10 AVG BGHT 6005.85				**119,532.50**	**0.00**
24JUL17	S 2 PD24OCT17	6000.00	6489.75	USD	-24,487.50	
24JUL17	S 1 PD24OCT17	6001.00	6489.75	USD	-12,218.75	
24JUL17	S 2 PD24OCT17	6004.00	6489.75	USD	-24,287.50	
10AUG17	B 5 PD24OCT17	6416.16	6489.75	USD	9,198.75	
	NET 0 AVG BGHT 6416.16 SOLD 6001.80				**-51,795.00**	**0.00**
25JUL17	B 1 PD25OCT17	6124.00	6490.29	USD	9,157.25	
25JUL17	B 2 PD25OCT17	6126.00	6490.29	USD	18,214.50	
25JUL17	B 2 PD25OCT17	6123.50	6490.29	USD	18,339.50	
25JUL17	B 1 PD25OCT17	6116.00	6490.29	USD	9,357.25	
25JUL17	B 1 PD25OCT17	6117.00	6490.29	USD	9,332.25	
25JUL17	B 2 PD25OCT17	6115.50	6490.29	USD	18,739.50	
25JUL17	B 1 PD25OCT17	6187.00	6490.29	USD	7,582.25	
25JUL17	B 1 PD25OCT17	6191.00	6490.29	USD	7,482.25	
25JUL17	B 1 PD25OCT17	6192.00	6490.29	USD	7,457.25	
25JUL17	B 1 PD25OCT17	6186.00	6490.29	USD	7,607.25	
25JUL17	B 1 PD25OCT17	6189.00	6490.29	USD	7,532.25	
	NET +14 AVG BGHT 6145.14				**120,801.50**	**0.00**
27JUL17	B 2 PD27OCT17	6358.00	6491.36	USD	6,668.00	
27JUL17	B 1 PD27OCT17	6347.00	6491.36	USD	3,609.00	
27JUL17	B 2 PD27OCT17	6357.50	6491.36	USD	6,693.00	
	NET +5 AVG BGHT 6355.60				**16,970.00**	**0.00**
07AUG17	S 1 PD07NOV17	6368.00	6497.66	USD	-3,241.50	
07AUG17	S 4 PD07NOV17	6371.00	6497.66	USD	-12,666.00	
10AUG17	B 5 PD07NOV17	6421.97	6497.66	USD	9,461.25	
	NET 0 AVG BGHT 6421.97 SOLD 6370.40				**-6,446.25**	**0.00**
08AUG17	B 4 PD08NOV17	6439.00	6498.25	USD	5,925.00	
08AUG17	B 1 PD08NOV17	6452.50	6498.25	USD	1,143.75	
08AUG17	B 1 PD08NOV17	6469.00	6498.25	USD	731.25	
08AUG17	B 1 PD08NOV17	6467.00	6498.25	USD	781.25	
08AUG17	B 1 PD08NOV17	6478.50	6498.25	USD	493.75	
08AUG17	B 2 PD08NOV17	6483.00	6498.25	USD	762.50	
	NET +10 AVG BGHT 6458.90				**9,837.50**	**0.00**
15AUG17	S 1 PD15NOV17	6365.00	6501.50	USD	-3,412.50	
15AUG17	S 1 PD15NOV17	6368.00	6501.50	USD	-3,337.50	
15AUG17	S 2 PD15NOV17	6368.50	6501.50	USD	-6,650.00	
15AUG17	S 1 PD15NOV17	6370.00	6501.50	USD	-3,287.50	
15AUG17	S 1 PD15NOV17	6370.50	6501.50	USD	-3,275.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0025O

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
15AUG17	S 2 PD15NOV17	6373.00	6501.50	USD	-6,425.00	
15AUG17	S 1 PD15NOV17	6373.50	6501.50	USD	-3,200.00	
15AUG17	S 1 PD15NOV17	6374.00	6501.50	USD	-3,187.50	
23AUG17	B 10 PD15NOV17	6565.70	6501.50	USD	-16,050.00	
	NET 0 AVG BGHT 6565.70 SOLD 6370.40				**-48,825.00**	**0.00**
16AUG17	B 2 PD16NOV17	6534.00	6501.00	USD	-1,650.00	
16AUG17	B 1 PD16NOV17	6536.50	6501.00	USD	-887.50	
16AUG17	B 2 PD16NOV17	6536.00	6501.00	USD	-1,750.00	
	NET +5 AVG BGHT 6535.30				**-4,287.50**	**0.00**
17AUG17	B 1 PD17NOV17	6526.00	6501.60	USD	-610.00	
17AUG17	B 1 PD17NOV17	6527.00	6501.60	USD	-635.00	
17AUG17	B 1 PD17NOV17	6528.00	6501.60	USD	-660.00	
17AUG17	B 1 PD17NOV17	6529.00	6501.60	USD	-685.00	
17AUG17	B 1 PD17NOV17	6531.50	6501.60	USD	-747.50	
18AUG17	S 1 PD17NOV17	6494.00	6501.60	USD	-190.00	
18AUG17	S 1 PD17NOV17	6494.50	6501.60	USD	-177.50	
18AUG17	S 1 PD17NOV17	6497.00	6501.60	USD	-115.00	
18AUG17	S 1 PD17NOV17	6499.50	6501.60	USD	-52.50	
18AUG17	S 1 PD17NOV17	6502.00	6501.60	USD	10.00	
	NET 0 AVG BGHT 6528.30 SOLD 6497.40				**-3,862.50**	**0.00**
21AUG17	B 1 PD21NOV17	6589.00	6504.00	USD	-2,125.00	
21AUG17	B 1 PD21NOV17	6590.00	6504.00	USD	-2,150.00	
21AUG17	B 1 PD21NOV17	6597.00	6504.00	USD	-2,325.00	
21AUG17	B 1 PD21NOV17	6598.50	6504.00	USD	-2,362.50	
21AUG17	B 1 PD21NOV17	6600.00	6504.00	USD	-2,400.00	
	NET +5 AVG BGHT 6594.90				**-11,362.50**	**0.00**
24AUG17	S 1 PD24NOV17	6711.00	6505.80	USD	5,130.00	
24AUG17	S 1 PD24NOV17	6719.50	6505.80	USD	5,342.50	
24AUG17	S 2 PD24NOV17	6721.00	6505.80	USD	10,760.00	
24AUG17	S 1 PD24NOV17	6723.00	6505.80	USD	5,430.00	
	NET -5 AVG SOLD 6719.10				**26,662.50**	**0.00**
29AUG17	B 1 PD29NOV17	6818.50	6508.80	USD	-7,742.50	
29AUG17	B 4 PD29NOV17	6815.00	6508.80	USD	-30,620.00	
29AUG17	B 1 PD29NOV17	6816.00	6508.80	USD	-7,680.00	
	NET +6 AVG BGHT 6815.75				**-46,042.50**	**0.00**
07SEP17	S 2 PD07DEC17	6868.00	6512.57	USD	17,771.50	
07SEP17	S 1 PD07DEC17	6868.50	6512.57	USD	8,898.25	
07SEP17	S 1 PD07DEC17	6869.50	6512.57	USD	8,923.25	
07SEP17	S 1 PD07DEC17	6872.00	6512.57	USD	8,985.75	
	NET -5 AVG SOLD 6869.20				**44,578.75**	**0.00**
08SEP17	S 5 PD08DEC17	6823.00	6513.00	USD	38,750.00	
08SEP17	S 1 PD08DEC17	6678.50	6513.00	USD	4,137.50	
08SEP17	S 5 PD08DEC17	6680.50	6513.00	USD	20,937.50	
08SEP17	S 9 PD08DEC17	6678.00	6513.00	USD	37,125.00	
08SEP17	S 10 PD08DEC17	6680.00	6513.00	USD	41,750.00	
	NET -30 AVG SOLD 6703.27				**142,700.00**	**0.00**
15SEP17	S 3 PD15DEC17	6505.00	6515.77	USD	-807.75	
15SEP17	S 2 PD15DEC17	6505.50	6515.77	USD	-513.50	
	NET -5 AVG SOLD 6505.20				**-1,321.25**	**0.00**
28SEP17	B 1 PD28DEC17	6562.50	6517.88	USD	-1,115.50	
28SEP17	B 3 PD28DEC17	6564.00	6517.88	USD	-3,459.00	
28SEP17	B 15 PD28DEC17	6565.00	6517.88	USD	-17,670.00	
28SEP17	B 1 PD28DEC17	6561.50	6517.88	USD	-1,090.50	
	NET +20 AVG BGHT 6564.55				**-23,335.00**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0025O

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
29SEP17	B 1 PD29DEC17	6526.00	6518.23	USD	-194.25	
29SEP17	B 1 PD29DEC17	6529.50	6518.23	USD	-281.75	
29SEP17	B 1 PD29DEC17	6523.00	6518.23	USD	-119.25	
29SEP17	B 1 PD29DEC17	6521.00	6518.23	USD	-69.25	
29SEP17	B 1 PD29DEC17	6522.00	6518.23	USD	-94.25	
	NET +5 AVG BGHT 6524.30				**-758.75**	**0.00**
	CMX COPPER 25,000 CMX					
24AUG17	S 9 DEC17	302.400	296.350	USD	13,612.50	
28AUG17	S 2 DEC17	309.150	296.350	USD	6,400.00	
29AUG17	S 11 DEC17	310.850	296.350	USD	39,875.00	
28SEP17	S 10 DEC17	298.200	296.350	USD	4,625.00	
28SEP17	S 11 DEC17	298.300	296.350	USD	5,362.50	
28SEP17	S 12 DEC17	298.450	296.350	USD	6,300.00	
	NET -55 AVG SOLD 301.890				**76,175.00**	**0.00**
28SEP17	S 11 MAR18	300.300	298.250	USD	5,637.50	
29SEP17	S 2 MAR18	298.450	298.250	USD	100.00	
29SEP17	S 2 MAR18	298.500	298.250	USD	125.00	
29SEP17	S 2 MAR18	298.750	298.250	USD	250.00	
29SEP17	S 2 MAR18	298.300	298.250	USD	25.00	
29SEP17	S 3 MAR18	298.350	298.250	USD	75.00	
	NET -22 AVG SOLD 299.380				**6,212.50**	**0.00**

OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	-5,325,487.50
CMX Copper	FUTURES	5,797,575.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options		Amount	Profit / Loss	**Total Amount**	**Total P&L**
COPR - Futures	USD	82,387.50	82,387.50	**82,387.50**	**82,387.50**
Unrealised Profits & Losses - Forwards		**Amount**	**Profit / Loss**	**Total Amount**	**Total P&L**
COPL - Futures	USD	352,237.75	352,237.75	**352,237.75**	**352,237.75**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0025O

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-436,573.20	-436,573.20
Variation Margins	- Futures	82,387.50	82,387.50
	- Options	0.00	0.00
TOTAL EQUITY		**-354,185.70**	**-354,185.70**
Initial Margins		-337,767.25	-337,767.25
Excess/(Shortage)		**-691,952.95**	**-691,952.95**
FOR INFORMATION ONLY			
Forward Unrealised P&L		352,237.75	352,237.75
Net Liquidating Value		**-1,947.95**	**-1,947.95**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0025T

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT								
12JUL17	B	3	PD12OCT17		5913.50	6477.63	USD	42,309.75	
12JUL17	B	1	PD12OCT17		5915.00	6477.63	USD	14,065.75	
12JUL17	B	1	PD12OCT17		5916.50	6477.63	USD	14,028.25	
20JUL17	S	5	PD12OCT17		5958.00	6477.63	USD	-64,953.75	
	NET	**0**	**AVG BGHT 5914.40**	**SOLD 5958.00**				**5,450.00**	**0.00**
13JUL17	S	2	PD13OCT17		5871.50	6478.90	USD	-30,370.00	
13JUL17	S	3	PD13OCT17		5873.00	6478.90	USD	-45,442.50	
14JUL17	B	5	PD13OCT17		5929.50	6478.90	USD	68,675.00	
	NET	**0**	**AVG BGHT 5929.50**	**SOLD 5872.40**				**-7,137.50**	**0.00**
17JUL17	S	5	PD17OCT17		5927.00	6483.98	USD	-69,622.50	
17JUL17	B	2	PD17OCT17		5980.50	6483.98	USD	25,174.00	
17JUL17	B	1	PD17OCT17		5981.00	6483.98	USD	12,574.50	
17JUL17	B	1	PD17OCT17		5981.50	6483.98	USD	12,562.00	
17JUL17	B	1	PD17OCT17		5982.00	6483.98	USD	12,549.50	
17JUL17	B	5	PD17OCT17		6000.00	6483.98	USD	60,497.50	
17JUL17	B	5	PD17OCT17		6019.00	6483.98	USD	58,122.50	
17JUL17	B	5	PD17OCT17		5995.00	6483.98	USD	61,122.50	
20JUL17	S	5	PD17OCT17		5960.08	6483.98	USD	-65,487.50	
10AUG17	S	10	PD17OCT17		6416.00	6483.98	USD	-16,995.00	
	NET	**0**	**AVG BGHT 5998.78**	**SOLD 6179.77**				**90,497.50**	**0.00**
18JUL17	S	5	PD18OCT17		5989.50	6485.25	USD	-61,968.75	
18JUL17	B	5	PD18OCT17		6004.50	6485.25	USD	60,093.75	
	NET	**0**	**AVG BGHT 6004.50**	**SOLD 5989.50**				**-1,875.00**	**0.00**
19JUL17	S	3	PD19OCT17		5996.50	6486.00	USD	-36,712.50	
19JUL17	S	1	PD19OCT17		5998.00	6486.00	USD	-12,200.00	
19JUL17	S	1	PD19OCT17		5998.50	6486.00	USD	-12,187.50	
19JUL17	B	3	PD19OCT17		6003.50	6486.00	USD	36,187.50	
19JUL17	B	2	PD19OCT17		6004.50	6486.00	USD	24,075.00	
19JUL17	S	5	PD19OCT17		5988.00	6486.00	USD	-62,250.00	
19JUL17	S	5	PD19OCT17		5972.50	6486.00	USD	-64,187.50	
20JUL17	B	10	PD19OCT17		5959.70	6486.00	USD	131,575.00	
	NET	**0**	**AVG BGHT 5974.43**	**SOLD 5985.90**				**4,300.00**	**0.00**
20JUL17	B	5	PD20OCT17		5979.00	6486.75	USD	63,468.75	
20JUL17	S	5	PD20OCT17		5970.00	6486.75	USD	-64,593.75	
21JUL17	B	5	PD20OCT17		6004.50	6486.75	USD	60,281.25	
21JUL17	B	5	PD20OCT17		6032.00	6486.75	USD	56,843.75	
21JUL17	S	5	PD20OCT17		5987.00	6486.75	USD	-62,468.75	
10AUG17	S	5	PD20OCT17		6414.25	6486.75	USD	-9,062.50	
	NET	**0**	**AVG BGHT 6005.17**	**SOLD 6123.75**				**44,468.75**	**0.00**
24JUL17	S	5	PD24OCT17		6001.50	6489.75	USD	-61,031.25	
10AUG17	B	5	PD24OCT17		6416.16	6489.75	USD	9,198.75	
	NET	**0**	**AVG BGHT 6416.16**	**SOLD 6001.50**				**-51,832.50**	**0.00**
25JUL17	B	1	PD25OCT17		6114.50	6490.29	USD	9,394.75	
25JUL17	B	1	PD25OCT17		6117.50	6490.29	USD	9,319.75	
25JUL17	B	1	PD25OCT17		6123.50	6490.29	USD	9,169.75	
25JUL17	B	3	PD25OCT17		6125.00	6490.29	USD	27,396.75	
25JUL17	B	4	PD25OCT17		6128.50	6490.29	USD	36,179.00	
25JUL17	B	3	PD25OCT17		6147.50	6490.29	USD	25,709.25	
25JUL17	B	1	PD25OCT17		6153.50	6490.29	USD	8,419.75	
25JUL17	B	1	PD25OCT17		6197.00	6490.29	USD	7,332.25	
25JUL17	B	5	PD25OCT17		6217.50	6490.29	USD	34,098.75	
10AUG17	S	20	PD25OCT17		6416.39	6490.29	USD	-36,950.00	
	NET	**0**	**AVG BGHT 6156.25**	**SOLD 6416.39**				**130,070.00**	**0.00**
26JUL17	S	5	PD26OCT17		6350.00	6490.82	USD	-17,602.50	
26JUL17	S	1	PD26OCT17		6329.50	6490.82	USD	-4,033.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0025T

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
26JUL17	S 2 PD26OCT17	6336.00	6490.82	USD	-7,741.00	
26JUL17	S 2 PD26OCT17	6336.50	6490.82	USD	-7,716.00	
26JUL17	S 2 PD26OCT17	6344.50	6490.82	USD	-7,316.00	
26JUL17	S 3 PD26OCT17	6350.50	6490.82	USD	-10,524.00	
26JUL17	B 5 PD26OCT17	6305.00	6490.82	USD	23,227.50	
26JUL17	B 2 PD26OCT17	6278.00	6490.82	USD	10,641.00	
26JUL17	B 1 PD26OCT17	6279.00	6490.82	USD	5,295.50	
26JUL17	B 2 PD26OCT17	6270.50	6490.82	USD	11,016.00	
26JUL17	B 1 PD26OCT17	6280.00	6490.82	USD	5,270.50	
26JUL17	B 4 PD26OCT17	6278.50	6490.82	USD	21,232.00	
26JUL17	B 4 PD26OCT17	6279.50	6490.82	USD	21,132.00	
26JUL17	B 1 PD26OCT17	6289.00	6490.82	USD	5,045.50	
26JUL17	S 3 PD26OCT17	6320.00	6490.82	USD	-12,811.50	
26JUL17	S 2 PD26OCT17	6330.00	6490.82	USD	-8,041.00	
26JUL17	S 3 PD26OCT17	6331.00	6490.82	USD	-11,986.50	
26JUL17	S 5 PD26OCT17	6334.00	6490.82	USD	-19,602.50	
26JUL17	S 1 PD26OCT17	6339.50	6490.82	USD	-3,783.00	
26JUL17	S 1 PD26OCT17	6333.00	6490.82	USD	-3,945.50	
26JUL17	S 1 PD26OCT17	6308.00	6490.82	USD	-4,570.50	
26JUL17	S 1 PD26OCT17	6308.50	6490.82	USD	-4,558.00	
26JUL17	S 1 PD26OCT17	6306.50	6490.82	USD	-4,608.00	
10AUG17	B 13 PD26OCT17	6416.82	6490.82	USD	24,050.00	
	NET 0 AVG BGHT 6336.99 SOLD 6334.65				**-1,929.00**	**0.00**
27JUL17	B 2 PD27OCT17	6317.00	6491.36	USD	8,718.00	
27JUL17	B 1 PD27OCT17	6319.00	6491.36	USD	4,309.00	
27JUL17	B 2 PD27OCT17	6357.00	6491.36	USD	6,718.00	
27JUL17	B 1 PD27OCT17	6354.00	6491.36	USD	3,434.00	
27JUL17	B 1 PD27OCT17	6358.00	6491.36	USD	3,334.00	
27JUL17	B 1 PD27OCT17	6359.00	6491.36	USD	3,309.00	
27JUL17	S 2 PD27OCT17	6336.50	6491.36	USD	-7,743.00	
27JUL17	S 1 PD27OCT17	6338.50	6491.36	USD	-3,821.50	
27JUL17	S 3 PD27OCT17	6331.00	6491.36	USD	-12,027.00	
27JUL17	S 4 PD27OCT17	6331.50	6491.36	USD	-15,986.00	
28JUL17	S 2 PD27OCT17	6283.00	6491.36	USD	-10,418.00	
28JUL17	S 1 PD27OCT17	6283.50	6491.36	USD	-5,196.50	
28JUL17	S 1 PD27OCT17	6289.50	6491.36	USD	-5,046.50	
28JUL17	S 1 PD27OCT17	6290.00	6491.36	USD	-5,034.00	
28JUL17	S 1 PD27OCT17	6311.00	6491.36	USD	-4,509.00	
28JUL17	S 3 PD27OCT17	6315.50	6491.36	USD	-13,189.50	
28JUL17	S 1 PD27OCT17	6313.50	6491.36	USD	-4,446.50	
28JUL17	B 1 PD27OCT17	6319.00	6491.36	USD	4,309.00	
28JUL17	B 1 PD27OCT17	6320.00	6491.36	USD	4,284.00	
28JUL17	B 1 PD27OCT17	6321.00	6491.36	USD	4,259.00	
28JUL17	B 7 PD27OCT17	6319.50	6491.36	USD	30,075.50	
28JUL17	B 2 PD27OCT17	6320.50	6491.36	USD	8,543.00	
28JUL17	B 2 PD27OCT17	6322.00	6491.36	USD	8,468.00	
28JUL17	B 1 PD27OCT17	6324.50	6491.36	USD	4,171.50	
10AUG17	S 3 PD27OCT17	6417.05	6491.36	USD	-5,573.25	
	NET 0 AVG BGHT 6328.00 SOLD 6329.64				**941.25**	**0.00**
31JUL17	S 1 PD31OCT17	6345.00	6493.50	USD	-3,712.50	
31JUL17	S 2 PD31OCT17	6380.00	6493.50	USD	-5,675.00	
31JUL17	S 1 PD31OCT17	6380.50	6493.50	USD	-2,825.00	
31JUL17	S 1 PD31OCT17	6383.00	6493.50	USD	-2,762.50	
31JUL17	S 1 PD31OCT17	6383.50	6493.50	USD	-2,750.00	
31JUL17	S 4 PD31OCT17	6344.00	6493.50	USD	-14,950.00	
10AUG17	B 10 PD31OCT17	6419.09	6493.50	USD	18,602.50	
	NET 0 AVG BGHT 6419.09 SOLD 6362.80				**-14,072.50**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0025T

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
01AUG17	B 4 PD01NOV17	6380.00	6494.09	USD	11,409.00	
01AUG17	B 1 PD01NOV17	6380.50	6494.09	USD	2,839.75	
01AUG17	B 2 PD01NOV17	6355.00	6494.09	USD	6,954.50	
01AUG17	B 2 PD01NOV17	6355.50	6494.09	USD	6,929.50	
01AUG17	B 1 PD01NOV17	6356.00	6494.09	USD	3,452.25	
10AUG17	S 10 PD01NOV17	6419.10	6494.09	USD	-18,747.50	
	NET 0 AVG BGHT 6367.75 SOLD 6419.10				**12,837.50**	**0.00**
02AUG17	S 1 PD02NOV17	6310.50	6494.69	USD	-4,604.75	
02AUG17	S 4 PD02NOV17	6311.00	6494.69	USD	-18,369.00	
02AUG17	S 2 PD02NOV17	6312.00	6494.69	USD	-9,134.50	
02AUG17	S 2 PD02NOV17	6314.50	6494.69	USD	-9,009.50	
02AUG17	S 1 PD02NOV17	6316.50	6494.69	USD	-4,454.75	
02AUG17	S 2 PD02NOV17	6317.00	6494.69	USD	-8,884.50	
02AUG17	S 1 PD02NOV17	6321.00	6494.69	USD	-4,342.25	
02AUG17	S 1 PD02NOV17	6321.50	6494.69	USD	-4,329.75	
02AUG17	S 1 PD02NOV17	6322.00	6494.69	USD	-4,317.25	
02AUG17	B 2 PD02NOV17	6330.00	6494.69	USD	8,234.50	
02AUG17	B 1 PD02NOV17	6330.50	6494.69	USD	4,104.75	
02AUG17	B 2 PD02NOV17	6331.00	6494.69	USD	8,184.50	
02AUG17	B 1 PD02NOV17	6332.50	6494.69	USD	4,054.75	
02AUG17	B 2 PD02NOV17	6334.00	6494.69	USD	8,034.50	
02AUG17	B 1 PD02NOV17	6333.50	6494.69	USD	4,029.75	
02AUG17	B 2 PD02NOV17	6336.00	6494.69	USD	7,934.50	
02AUG17	B 6 PD02NOV17	6337.00	6494.69	USD	23,653.50	
02AUG17	B 3 PD02NOV17	6339.00	6494.69	USD	11,676.75	
02AUG17	B 1 PD02NOV17	6362.50	6494.69	USD	3,304.75	
02AUG17	S 1 PD02NOV17	6360.00	6494.69	USD	-3,367.25	
02AUG17	B 2 PD02NOV17	6348.00	6494.69	USD	7,334.50	
02AUG17	B 2 PD02NOV17	6350.00	6494.69	USD	7,234.50	
02AUG17	B 2 PD02NOV17	6352.00	6494.69	USD	7,134.50	
02AUG17	S 1 PD02NOV17	6353.00	6494.69	USD	-3,542.25	
10AUG17	S 10 PD02NOV17	6419.71	6494.69	USD	-18,745.00	
	NET 0 AVG BGHT 6339.26 SOLD 6356.76				**11,815.00**	**0.00**
03AUG17	S 1 PD03NOV17	6306.50	6495.28	USD	-4,719.50	
03AUG17	S 1 PD03NOV17	6309.00	6495.28	USD	-4,657.00	
03AUG17	S 1 PD03NOV17	6314.50	6495.28	USD	-4,519.50	
03AUG17	S 1 PD03NOV17	6316.50	6495.28	USD	-4,469.50	
03AUG17	S 3 PD03NOV17	6326.00	6495.28	USD	-12,696.00	
03AUG17	S 2 PD03NOV17	6347.50	6495.28	USD	-7,389.00	
03AUG17	S 2 PD03NOV17	6348.50	6495.28	USD	-7,339.00	
03AUG17	S 1 PD03NOV17	6350.50	6495.28	USD	-3,619.50	
03AUG17	S 1 PD03NOV17	6318.00	6495.28	USD	-4,432.00	
03AUG17	S 4 PD03NOV17	6319.00	6495.28	USD	-17,628.00	
03AUG17	S 1 PD03NOV17	6321.00	6495.28	USD	-4,357.00	
03AUG17	S 1 PD03NOV17	6321.50	6495.28	USD	-4,344.50	
03AUG17	S 2 PD03NOV17	6322.00	6495.28	USD	-8,664.00	
03AUG17	S 1 PD03NOV17	6322.50	6495.28	USD	-4,319.50	
03AUG17	B 1 PD03NOV17	6311.50	6495.28	USD	4,594.50	
03AUG17	B 3 PD03NOV17	6314.50	6495.28	USD	13,558.50	
03AUG17	B 1 PD03NOV17	6315.50	6495.28	USD	4,494.50	
03AUG17	S 3 PD03NOV17	6315.50	6495.28	USD	-13,483.50	
04AUG17	B 1 PD03NOV17	6361.00	6495.28	USD	3,357.00	
04AUG17	B 1 PD03NOV17	6362.00	6495.28	USD	3,332.00	
04AUG17	B 1 PD03NOV17	6365.00	6495.28	USD	3,257.00	
04AUG17	B 2 PD03NOV17	6381.50	6495.28	USD	5,689.00	
04AUG17	S 3 PD03NOV17	6362.00	6495.28	USD	-9,996.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0025T

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT							
04AUG17	S	2	PD03NOV17	6363.00	6495.28	USD	-6,614.00	
04AUG17	S	3	PD03NOV17	6357.00	6495.28	USD	-10,371.00	
04AUG17	S	2	PD03NOV17	6358.00	6495.28	USD	-6,864.00	
04AUG17	B	1	PD03NOV17	6340.50	6495.28	USD	3,869.50	
04AUG17	S	2	PD03NOV17	6338.50	6495.28	USD	-7,839.00	
04AUG17	S	2	PD03NOV17	6339.50	6495.28	USD	-7,789.00	
04AUG17	B	1	PD03NOV17	6341.00	6495.28	USD	3,857.00	
04AUG17	B	1	PD03NOV17	6341.50	6495.28	USD	3,844.50	
04AUG17	B	3	PD03NOV17	6348.50	6495.28	USD	11,008.50	
04AUG17	B	1	PD03NOV17	6323.50	6495.28	USD	4,294.50	
04AUG17	B	2	PD03NOV17	6331.00	6495.28	USD	8,214.00	
04AUG17	B	1	PD03NOV17	6331.50	6495.28	USD	4,094.50	
04AUG17	S	1	PD03NOV17	6326.50	6495.28	USD	-4,219.50	
04AUG17	S	3	PD03NOV17	6330.00	6495.28	USD	-12,396.00	
04AUG17	S	1	PD03NOV17	6331.00	6495.28	USD	-4,107.00	
04AUG17	S	1	PD03NOV17	6328.00	6495.28	USD	-4,182.00	
04AUG17	B	2	PD03NOV17	6365.50	6495.28	USD	6,489.00	
04AUG17	B	2	PD03NOV17	6366.00	6495.28	USD	6,464.00	
04AUG17	S	2	PD03NOV17	6360.00	6495.28	USD	-6,764.00	
04AUG17	S	2	PD03NOV17	6362.50	6495.28	USD	-6,639.00	
10AUG17	B	20	PD03NOV17	6420.33	6495.28	USD	37,475.00	
10AUG17	B	5	PD03NOV17	6420.13	6495.28	USD	9,393.75	
	NET	**0**	**AVG BGHT 6383.21**	**SOLD 6336.57**			**-57,131.25**	**0.00**
07AUG17	S	5	PD07NOV17	6368.00	6497.66	USD	-16,207.50	
07AUG17	B	2	PD07NOV17	6355.50	6497.66	USD	7,108.00	
07AUG17	B	2	PD07NOV17	6364.00	6497.66	USD	6,683.00	
07AUG17	B	1	PD07NOV17	6366.00	6497.66	USD	3,291.50	
07AUG17	B	1	PD07NOV17	6367.50	6497.66	USD	3,254.00	
07AUG17	B	3	PD07NOV17	6365.50	6497.66	USD	9,912.00	
07AUG17	B	2	PD07NOV17	6366.50	6497.66	USD	6,558.00	
07AUG17	B	4	PD07NOV17	6367.00	6497.66	USD	13,066.00	
07AUG17	B	1	PD07NOV17	6383.00	6497.66	USD	2,866.50	
07AUG17	B	2	PD07NOV17	6386.00	6497.66	USD	5,583.00	
07AUG17	B	1	PD07NOV17	6386.50	6497.66	USD	2,779.00	
07AUG17	B	1	PD07NOV17	6425.50	6497.66	USD	1,804.00	
07AUG17	B	2	PD07NOV17	6426.00	6497.66	USD	3,583.00	
07AUG17	B	2	PD07NOV17	6428.00	6497.66	USD	3,483.00	
07AUG17	B	1	PD07NOV17	6384.50	6497.66	USD	2,829.00	
07AUG17	S	2	PD07NOV17	6409.50	6497.66	USD	-4,408.00	
07AUG17	S	3	PD07NOV17	6410.00	6497.66	USD	-6,574.50	
07AUG17	S	5	PD07NOV17	6415.50	6497.66	USD	-10,270.00	
10AUG17	S	5	PD07NOV17	6421.57	6497.66	USD	-9,511.25	
23AUG17	S	5	PD07NOV17	6562.50	6497.66	USD	8,105.00	
	NET	**0**	**AVG BGHT 6381.18**	**SOLD 6435.47**			**33,933.75**	**0.00**
08AUG17	B	4	PD08NOV17	6402.50	6498.25	USD	9,575.00	
08AUG17	B	4	PD08NOV17	6399.50	6498.25	USD	9,875.00	
08AUG17	S	3	PD08NOV17	6402.00	6498.25	USD	-7,218.75	
08AUG17	B	1	PD08NOV17	6444.50	6498.25	USD	1,343.75	
08AUG17	B	4	PD08NOV17	6445.00	6498.25	USD	5,325.00	
08AUG17	B	2	PD08NOV17	6450.50	6498.25	USD	2,387.50	
08AUG17	B	2	PD08NOV17	6454.00	6498.25	USD	2,212.50	
08AUG17	B	1	PD08NOV17	6456.50	6498.25	USD	1,043.75	
08AUG17	B	3	PD08NOV17	6469.00	6498.25	USD	2,193.75	
08AUG17	B	1	PD08NOV17	6478.50	6498.25	USD	493.75	
08AUG17	B	1	PD08NOV17	6473.00	6498.25	USD	631.25	
23AUG17	S	20	PD08NOV17	6563.00	6498.25	USD	32,375.00	
	NET	**0**	**AVG BGHT 6437.24**	**SOLD 6542.00**			**60,237.50**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0025T

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT							
09AUG17	B	3	PD09NOV17	6496.00	6498.71	USD	203.25	
09AUG17	B	2	PD09NOV17	6499.50	6498.71	USD	-39.50	
09AUG17	B	3	PD09NOV17	6470.50	6498.71	USD	2,115.75	
09AUG17	S	3	PD09NOV17	6473.50	6498.71	USD	-1,890.75	
09AUG17	S	1	PD09NOV17	6476.00	6498.71	USD	-567.75	
09AUG17	S	1	PD09NOV17	6477.50	6498.71	USD	-530.25	
09AUG17	S	1	PD09NOV17	6478.00	6498.71	USD	-517.75	
09AUG17	S	1	PD09NOV17	6482.50	6498.71	USD	-405.25	
09AUG17	S	6	PD09NOV17	6483.50	6498.71	USD	-2,281.50	
09AUG17	S	3	PD09NOV17	6487.50	6498.71	USD	-840.75	
09AUG17	S	2	PD09NOV17	6485.50	6498.71	USD	-660.50	
10AUG17	B	10	PD09NOV17	6422.79	6498.71	USD	18,980.00	
	NET	**0**	**AVG BGHT 6451.47**	**SOLD 6481.61**			**13,565.00**	**0.00**
10AUG17	B	1	PD10NOV17	6460.00	6499.18	USD	979.50	
10AUG17	B	1	PD10NOV17	6461.00	6499.18	USD	954.50	
10AUG17	B	8	PD10NOV17	6461.50	6499.18	USD	7,536.00	
10AUG17	B	1	PD10NOV17	6450.00	6499.18	USD	1,229.50	
10AUG17	S	1	PD10NOV17	6457.00	6499.18	USD	-1,054.50	
10AUG17	S	2	PD10NOV17	6459.50	6499.18	USD	-1,984.00	
10AUG17	S	1	PD10NOV17	6460.00	6499.18	USD	-979.50	
10AUG17	S	2	PD10NOV17	6452.00	6499.18	USD	-2,359.00	
11AUG17	B	7	PD10NOV17	6394.00	6499.18	USD	18,406.50	
11AUG17	S	3	PD10NOV17	6364.50	6499.18	USD	-10,101.00	
11AUG17	S	3	PD10NOV17	6367.00	6499.18	USD	-9,913.50	
11AUG17	S	1	PD10NOV17	6368.50	6499.18	USD	-3,267.00	
11AUG17	S	1	PD10NOV17	6371.50	6499.18	USD	-3,192.00	
11AUG17	S	2	PD10NOV17	6346.50	6499.18	USD	-7,634.00	
11AUG17	S	2	PD10NOV17	6350.50	6499.18	USD	-7,434.00	
11AUG17	S	1	PD10NOV17	6351.50	6499.18	USD	-3,692.00	
11AUG17	B	3	PD10NOV17	6396.50	6499.18	USD	7,701.00	
11AUG17	S	2	PD10NOV17	6367.50	6499.18	USD	-6,584.00	
	NET	**0**	**AVG BGHT 6429.07**	**SOLD 6388.33**			**-21,387.50**	**0.00**
14AUG17	S	1	PD14NOV17	6432.00	6501.04	USD	-1,726.00	
14AUG17	S	1	PD14NOV17	6426.00	6501.04	USD	-1,876.00	
14AUG17	S	1	PD14NOV17	6427.00	6501.04	USD	-1,851.00	
14AUG17	S	2	PD14NOV17	6427.50	6501.04	USD	-3,677.00	
14AUG17	S	1	PD14NOV17	6426.50	6501.04	USD	-1,863.50	
14AUG17	S	2	PD14NOV17	6438.50	6501.04	USD	-3,127.00	
14AUG17	S	2	PD14NOV17	6442.50	6501.04	USD	-2,927.00	
14AUG17	S	5	PD14NOV17	6414.50	6501.04	USD	-10,817.50	
14AUG17	B	1	PD14NOV17	6396.00	6501.04	USD	2,626.00	
14AUG17	B	3	PD14NOV17	6402.00	6501.04	USD	7,428.00	
14AUG17	B	1	PD14NOV17	6399.00	6501.04	USD	2,551.00	
14AUG17	B	3	PD14NOV17	6406.50	6501.04	USD	7,090.50	
14AUG17	B	1	PD14NOV17	6407.50	6501.04	USD	2,338.50	
14AUG17	B	1	PD14NOV17	6409.00	6501.04	USD	2,301.00	
23AUG17	B	5	PD14NOV17	6565.70	6501.04	USD	-8,082.50	
	NET	**0**	**AVG BGHT 6457.70**	**SOLD 6426.73**			**-11,612.50**	**0.00**
15AUG17	B	3	PD15NOV17	6400.00	6501.50	USD	7,612.50	
15AUG17	B	2	PD15NOV17	6401.50	6501.50	USD	5,000.00	
15AUG17	S	2	PD15NOV17	6371.50	6501.50	USD	-6,500.00	
15AUG17	S	3	PD15NOV17	6380.50	6501.50	USD	-9,075.00	
15AUG17	S	3	PD15NOV17	6363.50	6501.50	USD	-10,350.00	
15AUG17	S	2	PD15NOV17	6368.00	6501.50	USD	-6,675.00	
15AUG17	S	5	PD15NOV17	6381.00	6501.50	USD	-15,062.50	
23AUG17	B	10	PD15NOV17	6565.70	6501.50	USD	-16,050.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0025T

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT							
	NET	**0**	**AVG BGHT 6510.67 SOLD 6374.40**				**-51,100.00**	**0.00**
16AUG17	S	1	PD16NOV17	6363.00	6501.00	USD	-3,450.00	
16AUG17	S	1	PD16NOV17	6363.50	6501.00	USD	-3,437.50	
16AUG17	S	3	PD16NOV17	6365.50	6501.00	USD	-10,162.50	
16AUG17	S	2	PD16NOV17	6380.50	6501.00	USD	-6,025.00	
16AUG17	S	3	PD16NOV17	6379.50	6501.00	USD	-9,112.50	
16AUG17	B	5	PD16NOV17	6400.00	6501.00	USD	12,625.00	
16AUG17	B	5	PD16NOV17	6434.00	6501.00	USD	8,375.00	
16AUG17	B	5	PD16NOV17	6435.00	6501.00	USD	8,250.00	
16AUG17	B	1	PD16NOV17	6467.50	6501.00	USD	837.50	
16AUG17	B	1	PD16NOV17	6469.50	6501.00	USD	787.50	
16AUG17	B	1	PD16NOV17	6470.50	6501.00	USD	762.50	
16AUG17	B	2	PD16NOV17	6471.50	6501.00	USD	1,475.00	
16AUG17	B	3	PD16NOV17	6489.50	6501.00	USD	862.50	
16AUG17	B	2	PD16NOV17	6490.00	6501.00	USD	550.00	
16AUG17	B	5	PD16NOV17	6516.50	6501.00	USD	-1,937.50	
16AUG17	B	5	PD16NOV17	6540.00	6501.00	USD	-4,875.00	
16AUG17	B	5	PD16NOV17	6536.00	6501.00	USD	-4,375.00	
23AUG17	S	15	PD16NOV17	6563.50	6501.00	USD	23,437.50	
	NET	**+15**	**AVG BGHT 6477.66 SOLD 6487.00**				**14,587.50**	**0.00**
17AUG17	S	2	PD17NOV17	6469.50	6501.60	USD	-1,605.00	
17AUG17	S	3	PD17NOV17	6472.50	6501.60	USD	-2,182.50	
18AUG17	S	3	PD17NOV17	6453.50	6501.60	USD	-3,607.50	
18AUG17	S	1	PD17NOV17	6454.50	6501.60	USD	-1,177.50	
18AUG17	S	1	PD17NOV17	6456.00	6501.60	USD	-1,140.00	
18AUG17	S	1	PD17NOV17	6456.50	6501.60	USD	-1,127.50	
18AUG17	S	4	PD17NOV17	6457.50	6501.60	USD	-4,410.00	
18AUG17	S	2	PD17NOV17	6461.00	6501.60	USD	-2,030.00	
18AUG17	S	1	PD17NOV17	6462.50	6501.60	USD	-977.50	
18AUG17	S	1	PD17NOV17	6463.50	6501.60	USD	-952.50	
18AUG17	S	1	PD17NOV17	6467.00	6501.60	USD	-865.00	
18AUG17	S	3	PD17NOV17	6505.00	6501.60	USD	255.00	
18AUG17	S	4	PD17NOV17	6505.50	6501.60	USD	390.00	
18AUG17	S	1	PD17NOV17	6506.00	6501.60	USD	110.00	
18AUG17	S	1	PD17NOV17	6506.50	6501.60	USD	122.50	
18AUG17	S	1	PD17NOV17	6507.50	6501.60	USD	147.50	
18AUG17	S	3	PD17NOV17	6509.00	6501.60	USD	555.00	
18AUG17	S	2	PD17NOV17	6509.50	6501.60	USD	395.00	
18AUG17	B	5	PD17NOV17	6489.50	6501.60	USD	1,512.50	
18AUG17	B	1	PD17NOV17	6490.00	6501.60	USD	290.00	
18AUG17	B	1	PD17NOV17	6497.00	6501.60	USD	115.00	
18AUG17	B	1	PD17NOV17	6492.00	6501.60	USD	240.00	
18AUG17	B	2	PD17NOV17	6492.50	6501.60	USD	455.00	
18AUG17	B	1	PD17NOV17	6494.50	6501.60	USD	177.50	
18AUG17	B	1	PD17NOV17	6495.00	6501.60	USD	165.00	
18AUG17	B	3	PD17NOV17	6496.00	6501.60	USD	420.00	
18AUG17	S	1	PD17NOV17	6480.50	6501.60	USD	-527.50	
18AUG17	S	1	PD17NOV17	6481.50	6501.60	USD	-502.50	
18AUG17	S	1	PD17NOV17	6482.00	6501.60	USD	-490.00	
18AUG17	S	2	PD17NOV17	6483.00	6501.60	USD	-930.00	
23AUG17	B	25	PD17NOV17	6563.89	6501.60	USD	-38,931.25	
	NET	**0**	**AVG BGHT 6537.16 SOLD 6481.05**				**-56,106.25**	**0.00**
21AUG17	S	2	PD21NOV17	6476.00	6504.00	USD	-1,400.00	
21AUG17	S	2	PD21NOV17	6478.50	6504.00	USD	-1,275.00	
21AUG17	S	1	PD21NOV17	6479.00	6504.00	USD	-625.00	
21AUG17	B	1	PD21NOV17	6546.00	6504.00	USD	-1,050.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0025T

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
21AUG17	B 1 PD21NOV17	6545.00	6504.00	USD	-1,025.00	
21AUG17	B 2 PD21NOV17	6545.50	6504.00	USD	-2,075.00	
21AUG17	B 1 PD21NOV17	6542.50	6504.00	USD	-962.50	
21AUG17	B 2 PD21NOV17	6552.00	6504.00	USD	-2,400.00	
21AUG17	B 1 PD21NOV17	6554.50	6504.00	USD	-1,262.50	
21AUG17	B 1 PD21NOV17	6566.00	6504.00	USD	-1,550.00	
21AUG17	B 1 PD21NOV17	6568.00	6504.00	USD	-1,600.00	
21AUG17	S 1 PD21NOV17	6558.00	6504.00	USD	1,350.00	
21AUG17	B 1 PD21NOV17	6555.50	6504.00	USD	-1,287.50	
21AUG17	B 3 PD21NOV17	6623.00	6504.00	USD	-8,925.00	
21AUG17	B 2 PD21NOV17	6612.00	6504.00	USD	-5,400.00	
21AUG17	B 1 PD21NOV17	6572.00	6504.00	USD	-1,700.00	
21AUG17	B 1 PD21NOV17	6574.50	6504.00	USD	-1,762.50	
21AUG17	B 1 PD21NOV17	6575.50	6504.00	USD	-1,787.50	
21AUG17	B 2 PD21NOV17	6576.00	6504.00	USD	-3,600.00	
	NET +15 AVG BGHT 6573.31 SOLD 6491.00				**-38,337.50**	**0.00**
22AUG17	B 2 PD22NOV17	6548.50	6504.60	USD	-2,195.00	
22AUG17	B 3 PD22NOV17	6550.50	6504.60	USD	-3,442.50	
22AUG17	S 1 PD22NOV17	6572.00	6504.60	USD	1,685.00	
22AUG17	S 4 PD22NOV17	6574.50	6504.60	USD	6,990.00	
22AUG17	B 4 PD22NOV17	6590.00	6504.60	USD	-8,540.00	
22AUG17	B 1 PD22NOV17	6591.50	6504.60	USD	-2,172.50	
22AUG17	B 1 PD22NOV17	6614.50	6504.60	USD	-2,747.50	
22AUG17	B 1 PD22NOV17	6615.50	6504.60	USD	-2,772.50	
22AUG17	B 3 PD22NOV17	6616.50	6504.60	USD	-8,392.50	
22AUG17	B 1 PD22NOV17	6631.50	6504.60	USD	-3,172.50	
22AUG17	B 1 PD22NOV17	6632.00	6504.60	USD	-3,185.00	
22AUG17	B 2 PD22NOV17	6644.50	6504.60	USD	-6,995.00	
22AUG17	B 1 PD22NOV17	6635.50	6504.60	USD	-3,272.50	
22AUG17	B 1 PD22NOV17	6641.50	6504.60	USD	-3,422.50	
22AUG17	B 3 PD22NOV17	6634.50	6504.60	USD	-9,742.50	
22AUG17	B 1 PD22NOV17	6635.00	6504.60	USD	-3,260.00	
22AUG17	S 2 PD22NOV17	6610.00	6504.60	USD	5,270.00	
22AUG17	S 2 PD22NOV17	6612.00	6504.60	USD	5,370.00	
22AUG17	S 1 PD22NOV17	6616.00	6504.60	USD	2,785.00	
22AUG17	B 2 PD22NOV17	6579.00	6504.60	USD	-3,720.00	
22AUG17	B 3 PD22NOV17	6580.00	6504.60	USD	-5,655.00	
	NET +20 AVG BGHT 6601.52 SOLD 6593.00				**-50,587.50**	**0.00**
23AUG17	B 1 PD24NOV17	6592.00	6505.80	USD	-2,155.00	
23AUG17	S 1 PD24NOV17	6592.50	6505.80	USD	2,167.50	
23AUG17	B 5 PD24NOV17	6567.00	6505.80	USD	-7,650.00	
23AUG17	B 5 PD24NOV17	6559.50	6505.80	USD	-6,712.50	
23AUG17	B 1 PD24NOV17	6540.00	6505.80	USD	-855.00	
23AUG17	B 1 PD24NOV17	6552.50	6505.80	USD	-1,167.50	
23AUG17	B 2 PD24NOV17	6553.00	6505.80	USD	-2,360.00	
23AUG17	B 1 PD24NOV17	6553.50	6505.80	USD	-1,192.50	
23AUG17	B 1 PD24NOV17	6554.00	6505.80	USD	-1,205.00	
23AUG17	B 1 PD24NOV17	6558.00	6505.80	USD	-1,305.00	
23AUG17	B 1 PD24NOV17	6560.00	6505.80	USD	-1,355.00	
23AUG17	S 1 PD24NOV17	6568.50	6505.80	USD	1,567.50	
23AUG17	S 1 PD24NOV17	6571.00	6505.80	USD	1,630.00	
23AUG17	S 2 PD24NOV17	6572.50	6505.80	USD	3,335.00	
23AUG17	S 2 PD24NOV17	6579.50	6505.80	USD	3,685.00	
23AUG17	S 1 PD24NOV17	6576.00	6505.80	USD	1,755.00	
23AUG17	S 1 PD24NOV17	6578.00	6505.80	USD	1,805.00	
23AUG17	S 1 PD24NOV17	6586.00	6505.80	USD	2,005.00	
23AUG17	S 1 PD24NOV17	6587.50	6505.80	USD	2,042.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0025T

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
23AUG17	S 7 PD24NOV17	6588.00	6505.80	USD	14,385.00	
23AUG17	S 1 PD24NOV17	6589.00	6505.80	USD	2,080.00	
23AUG17	B 5 PD24NOV17	6579.00	6505.80	USD	-9,150.00	
23AUG17	B 1 PD24NOV17	6571.50	6505.80	USD	-1,642.50	
23AUG17	B 1 PD24NOV17	6582.00	6505.80	USD	-1,905.00	
23AUG17	B 1 PD24NOV17	6584.00	6505.80	USD	-1,955.00	
23AUG17	B 1 PD24NOV17	6560.50	6505.80	USD	-1,367.50	
23AUG17	B 1 PD24NOV17	6565.00	6505.80	USD	-1,480.00	
23AUG17	S 4 PD24NOV17	6570.50	6505.80	USD	6,470.00	
23AUG17	S 1 PD24NOV17	6571.50	6505.80	USD	1,642.50	
24AUG17	B 1 PD24NOV17	6601.50	6505.80	USD	-2,392.50	
24AUG17	B 1 PD24NOV17	6609.50	6505.80	USD	-2,592.50	
24AUG17	B 1 PD24NOV17	6610.50	6505.80	USD	-2,617.50	
24AUG17	B 1 PD24NOV17	6611.50	6505.80	USD	-2,642.50	
24AUG17	B 1 PD24NOV17	6627.50	6505.80	USD	-3,042.50	
24AUG17	S 1 PD24NOV17	6610.50	6505.80	USD	2,617.50	
24AUG17	S 1 PD24NOV17	6611.00	6505.80	USD	2,630.00	
24AUG17	S 3 PD24NOV17	6613.00	6505.80	USD	8,040.00	
24AUG17	S 1 PD24NOV17	6616.50	6505.80	USD	2,767.50	
24AUG17	B 1 PD24NOV17	6606.00	6505.80	USD	-2,505.00	
24AUG17	S 1 PD24NOV17	6620.50	6505.80	USD	2,867.50	
24AUG17	S 2 PD24NOV17	6631.50	6505.80	USD	6,285.00	
24AUG17	S 1 PD24NOV17	6629.50	6505.80	USD	3,092.50	
24AUG17	S 1 PD24NOV17	6634.00	6505.80	USD	3,205.00	
24AUG17	S 2 PD24NOV17	6634.50	6505.80	USD	6,435.00	
24AUG17	S 2 PD24NOV17	6639.00	6505.80	USD	6,660.00	
24AUG17	S 1 PD24NOV17	6658.50	6505.80	USD	3,817.50	
24AUG17	S 2 PD24NOV17	6670.00	6505.80	USD	8,210.00	
24AUG17	S 2 PD24NOV17	6681.50	6505.80	USD	8,785.00	
24AUG17	B 1 PD24NOV17	6668.50	6505.80	USD	-4,067.50	
24AUG17	B 2 PD24NOV17	6669.00	6505.80	USD	-8,160.00	
24AUG17	S 2 PD24NOV17	6689.50	6505.80	USD	9,185.00	
24AUG17	B 2 PD24NOV17	6693.50	6505.80	USD	-9,385.00	
24AUG17	S 2 PD24NOV17	6700.50	6505.80	USD	9,735.00	
24AUG17	S 2 PD24NOV17	6710.00	6505.80	USD	10,210.00	
24AUG17	S 2 PD24NOV17	6711.50	6505.80	USD	10,285.00	
24AUG17	S 2 PD24NOV17	6712.00	6505.80	USD	10,310.00	
24AUG17	S 2 PD24NOV17	6709.00	6505.80	USD	10,160.00	
24AUG17	S 2 PD24NOV17	6714.00	6505.80	USD	10,410.00	
24AUG17	S 2 PD24NOV17	6715.00	6505.80	USD	10,460.00	
24AUG17	S 3 PD24NOV17	6717.50	6505.80	USD	15,877.50	
24AUG17	S 2 PD24NOV17	6718.50	6505.80	USD	10,635.00	
24AUG17	S 2 PD24NOV17	6720.50	6505.80	USD	10,735.00	
24AUG17	S 2 PD24NOV17	6729.50	6505.80	USD	11,185.00	
24AUG17	S 1 PD24NOV17	6693.00	6505.80	USD	4,680.00	
25AUG17	B 1 PD24NOV17	6703.00	6505.80	USD	-4,930.00	
25AUG17	B 2 PD24NOV17	6704.00	6505.80	USD	-9,910.00	
25AUG17	B 2 PD24NOV17	6705.50	6505.80	USD	-9,985.00	
25AUG17	B 5 PD24NOV17	6724.50	6505.80	USD	-27,337.50	
25AUG17	B 3 PD24NOV17	6729.50	6505.80	USD	-16,777.50	
25AUG17	B 2 PD24NOV17	6730.50	6505.80	USD	-11,235.00	
25AUG17	S 4 PD24NOV17	6707.00	6505.80	USD	20,120.00	
25AUG17	S 1 PD24NOV17	6703.50	6505.80	USD	4,942.50	
25AUG17	B 1 PD24NOV17	6699.50	6505.80	USD	-4,842.50	
25AUG17	B 1 PD24NOV17	6700.00	6505.80	USD	-4,855.00	
25AUG17	S 2 PD24NOV17	6700.00	6505.80	USD	9,710.00	
	NET -20 AVG BGHT 6625.61 SOLD 6650.54				**107,887.50**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0025T

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
29AUG17	B 1 PD29NOV17	6757.00	6508.80	USD	-6,205.00	
29AUG17	S 5 PD29NOV17	6789.00	6508.80	USD	35,025.00	
29AUG17	B 1 PD29NOV17	6754.00	6508.80	USD	-6,130.00	
29AUG17	B 4 PD29NOV17	6755.50	6508.80	USD	-24,670.00	
29AUG17	S 4 PD29NOV17	6790.00	6508.80	USD	28,120.00	
29AUG17	B 1 PD29NOV17	6783.50	6508.80	USD	-6,867.50	
29AUG17	S 1 PD29NOV17	6799.00	6508.80	USD	7,255.00	
29AUG17	B 3 PD29NOV17	6765.50	6508.80	USD	-19,252.50	
29AUG17	B 1 PD29NOV17	6785.00	6508.80	USD	-6,905.00	
29AUG17	S 1 PD29NOV17	6773.00	6508.80	USD	6,605.00	
29AUG17	S 2 PD29NOV17	6785.50	6508.80	USD	13,835.00	
29AUG17	B 1 PD29NOV17	6752.00	6508.80	USD	-6,080.00	
29AUG17	B 1 PD29NOV17	6754.50	6508.80	USD	-6,142.50	
29AUG17	S 1 PD29NOV17	6762.50	6508.80	USD	6,342.50	
29AUG17	S 2 PD29NOV17	6783.50	6508.80	USD	13,735.00	
29AUG17	S 4 PD29NOV17	6791.00	6508.80	USD	28,220.00	
29AUG17	S 4 PD29NOV17	6798.50	6508.80	USD	28,970.00	
29AUG17	B 2 PD29NOV17	6780.50	6508.80	USD	-13,585.00	
29AUG17	S 2 PD29NOV17	6769.50	6508.80	USD	13,035.00	
29AUG17	S 3 PD29NOV17	6770.00	6508.80	USD	19,590.00	
29AUG17	S 2 PD29NOV17	6784.50	6508.80	USD	13,785.00	
29AUG17	S 3 PD29NOV17	6786.50	6508.80	USD	20,827.50	
29AUG17	S 1 PD29NOV17	6805.00	6508.80	USD	7,405.00	
29AUG17	B 3 PD29NOV17	6815.00	6508.80	USD	-22,965.00	
29AUG17	S 3 PD29NOV17	6813.50	6508.80	USD	22,852.50	
29AUG17	S 1 PD29NOV17	6817.50	6508.80	USD	7,717.50	
29AUG17	B 1 PD29NOV17	6812.00	6508.80	USD	-7,580.00	
29AUG17	B 1 PD29NOV17	6814.50	6508.80	USD	-7,642.50	
29AUG17	B 1 PD29NOV17	6779.00	6508.80	USD	-6,755.00	
29AUG17	B 2 PD29NOV17	6780.00	6508.80	USD	-13,560.00	
29AUG17	B 1 PD29NOV17	6781.00	6508.80	USD	-6,805.00	
29AUG17	B 5 PD29NOV17	6817.00	6508.80	USD	-38,525.00	
29AUG17	B 5 PD29NOV17	6819.00	6508.80	USD	-38,775.00	
29AUG17	B 3 PD29NOV17	6801.50	6508.80	USD	-21,952.50	
29AUG17	B 2 PD29NOV17	6802.50	6508.80	USD	-14,685.00	
29AUG17	B 10 PD29NOV17	6804.00	6508.80	USD	-73,800.00	
29AUG17	B 5 PD29NOV17	6799.50	6508.80	USD	-36,337.50	
29AUG17	B 5 PD29NOV17	6792.00	6508.80	USD	-35,400.00	
29AUG17	B 5 PD29NOV17	6790.50	6508.80	USD	-35,212.50	
29AUG17	B 4 PD29NOV17	6800.50	6508.80	USD	-29,170.00	
29AUG17	B 1 PD29NOV17	6802.00	6508.80	USD	-7,330.00	
	NET +30 AVG BGHT 6794.21 SOLD 6789.13				**-219,012.50**	**0.00**
30AUG17	B 2 PD30NOV17	6775.50	6509.40	USD	-13,305.00	
30AUG17	B 1 PD30NOV17	6782.00	6509.40	USD	-6,815.00	
30AUG17	B 1 PD30NOV17	6785.50	6509.40	USD	-6,902.50	
30AUG17	B 1 PD30NOV17	6794.50	6509.40	USD	-7,127.50	
30AUG17	S 4 PD30NOV17	6785.50	6509.40	USD	27,610.00	
30AUG17	S 4 PD30NOV17	6788.00	6509.40	USD	27,860.00	
30AUG17	S 2 PD30NOV17	6789.00	6509.40	USD	13,980.00	
30AUG17	S 2 PD30NOV17	6790.50	6509.40	USD	14,055.00	
30AUG17	S 2 PD30NOV17	6792.50	6509.40	USD	14,155.00	
30AUG17	S 2 PD30NOV17	6786.00	6509.40	USD	13,830.00	
30AUG17	S 1 PD30NOV17	6786.50	6509.40	USD	6,927.50	
30AUG17	S 2 PD30NOV17	6787.00	6509.40	USD	13,880.00	
30AUG17	S 1 PD30NOV17	6791.50	6509.40	USD	7,052.50	
31AUG17	S 1 PD30NOV17	6785.00	6509.40	USD	6,890.00	
31AUG17	S 2 PD30NOV17	6786.00	6509.40	USD	13,830.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0025T

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT							
31AUG17	S	1	PD30NOV17	6795.50	6509.40	USD	7,152.50	
31AUG17	S	1	PD30NOV17	6796.00	6509.40	USD	7,165.00	
31AUG17	S	2	PD30NOV17	6806.00	6509.40	USD	14,830.00	
31AUG17	S	3	PD30NOV17	6806.50	6509.40	USD	22,282.50	
31AUG17	B	5	PD30NOV17	6836.00	6509.40	USD	-40,825.00	
31AUG17	B	5	PD30NOV17	6834.00	6509.40	USD	-40,575.00	
31AUG17	B	6	PD30NOV17	6834.50	6509.40	USD	-48,765.00	
31AUG17	B	4	PD30NOV17	6835.00	6509.40	USD	-32,560.00	
31AUG17	B	5	PD30NOV17	6825.50	6509.40	USD	-39,512.50	
31AUG17	S	2	PD30NOV17	6788.50	6509.40	USD	13,955.00	
31AUG17	S	2	PD30NOV17	6789.50	6509.40	USD	14,005.00	
31AUG17	S	1	PD30NOV17	6797.50	6509.40	USD	7,202.50	
31AUG17	B	1	PD30NOV17	6781.00	6509.40	USD	-6,790.00	
31AUG17	B	1	PD30NOV17	6781.50	6509.40	USD	-6,802.50	
31AUG17	B	2	PD30NOV17	6790.50	6509.40	USD	-14,055.00	
31AUG17	B	1	PD30NOV17	6793.50	6509.40	USD	-7,102.50	
	NET	**0**	**AVG BGHT 6819.27**	**SOLD 6791.30**			**-24,475.00**	**0.00**
01SEP17	B	3	PD01DEC17	6803.50	6510.00	USD	-22,012.50	
01SEP17	B	1	PD01DEC17	6804.00	6510.00	USD	-7,350.00	
01SEP17	B	1	PD01DEC17	6805.00	6510.00	USD	-7,375.00	
01SEP17	S	5	PD01DEC17	6824.50	6510.00	USD	39,312.50	
	NET	**0**	**AVG BGHT 6803.90**	**SOLD 6824.50**			**2,575.00**	**0.00**
04SEP17	B	5	PD04DEC17	6860.00	6511.29	USD	-43,588.75	
04SEP17	S	1	PD04DEC17	6884.50	6511.29	USD	9,330.25	
04SEP17	B	1	PD04DEC17	6910.50	6511.29	USD	-9,980.25	
04SEP17	S	5	PD04DEC17	6882.50	6511.29	USD	46,401.25	
04SEP17	S	5	PD04DEC17	6890.00	6511.29	USD	47,338.75	
04SEP17	S	5	PD04DEC17	6890.50	6511.29	USD	47,401.25	
04SEP17	S	4	PD04DEC17	6891.00	6511.29	USD	37,971.00	
04SEP17	S	1	PD04DEC17	6891.50	6511.29	USD	9,505.25	
04SEP17	B	4	PD04DEC17	6880.00	6511.29	USD	-36,871.00	
04SEP17	B	1	PD04DEC17	6881.50	6511.29	USD	-9,255.25	
04SEP17	B	1	PD04DEC17	6885.50	6511.29	USD	-9,355.25	
04SEP17	B	9	PD04DEC17	6886.00	6511.29	USD	-84,309.75	
04SEP17	B	1	PD04DEC17	6887.00	6511.29	USD	-9,392.75	
04SEP17	S	5	PD04DEC17	6880.00	6511.29	USD	46,088.75	
04SEP17	S	1	PD04DEC17	6888.50	6511.29	USD	9,430.25	
04SEP17	S	5	PD04DEC17	6905.00	6511.29	USD	49,213.75	
04SEP17	B	3	PD04DEC17	6909.00	6511.29	USD	-29,828.25	
04SEP17	B	1	PD04DEC17	6910.00	6511.29	USD	-9,967.75	
04SEP17	B	1	PD04DEC17	6915.00	6511.29	USD	-10,092.75	
	NET	**-5**	**AVG BGHT 6885.57**	**SOLD 6889.64**			**50,038.75**	**0.00**
05SEP17	B	5	PD05DEC17	6927.50	6511.71	USD	-51,973.75	
05SEP17	B	1	PD05DEC17	6928.50	6511.71	USD	-10,419.75	
05SEP17	B	1	PD05DEC17	6929.00	6511.71	USD	-10,432.25	
05SEP17	B	1	PD05DEC17	6930.00	6511.71	USD	-10,457.25	
05SEP17	B	1	PD05DEC17	6929.50	6511.71	USD	-10,444.75	
05SEP17	B	6	PD05DEC17	6930.50	6511.71	USD	-62,818.50	
	NET	**+15**	**AVG BGHT 6929.17**				**-156,546.25**	**0.00**
06SEP17	S	1	PD06DEC17	6879.50	6512.14	USD	9,184.00	
06SEP17	S	7	PD06DEC17	6880.50	6512.14	USD	64,463.00	
06SEP17	S	1	PD06DEC17	6881.00	6512.14	USD	9,221.50	
06SEP17	S	1	PD06DEC17	6881.50	6512.14	USD	9,234.00	
06SEP17	S	1	PD06DEC17	6885.00	6512.14	USD	9,321.50	
06SEP17	B	2	PD06DEC17	6899.50	6512.14	USD	-19,368.00	
06SEP17	B	1	PD06DEC17	6900.00	6512.14	USD	-9,696.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0025T

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
06SEP17	B 1 PD06DEC17	6900.50	6512.14	USD	-9,709.00	
06SEP17	B 1 PD06DEC17	6901.00	6512.14	USD	-9,721.50	
06SEP17	S 5 PD06DEC17	6887.00	6512.14	USD	46,857.50	
06SEP17	S 2 PD06DEC17	6889.00	6512.14	USD	18,843.00	
06SEP17	S 1 PD06DEC17	6890.50	6512.14	USD	9,459.00	
06SEP17	S 5 PD06DEC17	6911.50	6512.14	USD	49,920.00	
06SEP17	B 1 PD06DEC17	6891.50	6512.14	USD	-9,484.00	
06SEP17	B 1 PD06DEC17	6892.50	6512.14	USD	-9,509.00	
06SEP17	B 2 PD06DEC17	6894.00	6512.14	USD	-19,093.00	
06SEP17	B 1 PD06DEC17	6894.50	6512.14	USD	-9,559.00	
06SEP17	S 5 PD06DEC17	6872.50	6512.14	USD	45,045.00	
06SEP17	S 2 PD06DEC17	6874.00	6512.14	USD	18,093.00	
06SEP17	S 2 PD06DEC17	6874.50	6512.14	USD	18,118.00	
06SEP17	S 1 PD06DEC17	6875.50	6512.14	USD	9,084.00	
06SEP17	S 3 PD06DEC17	6886.50	6512.14	USD	28,077.00	
06SEP17	S 2 PD06DEC17	6891.50	6512.14	USD	18,968.00	
06SEP17	S 1 PD06DEC17	6902.00	6512.14	USD	9,746.50	
06SEP17	S 4 PD06DEC17	6888.00	6512.14	USD	37,586.00	
06SEP17	S 5 PD06DEC17	6893.50	6512.14	USD	47,670.00	
06SEP17	S 6 PD06DEC17	6885.50	6512.14	USD	56,004.00	
06SEP17	S 2 PD06DEC17	6878.50	6512.14	USD	18,318.00	
06SEP17	S 1 PD06DEC17	6879.00	6512.14	USD	9,171.50	
06SEP17	S 7 PD06DEC17	6886.00	6512.14	USD	65,425.50	
	NET -55 AVG BGHT 6896.70 SOLD 6886.18				**511,670.00**	**0.00**
07SEP17	B 2 PD07DEC17	6926.50	6512.57	USD	-20,696.50	
07SEP17	B 5 PD07DEC17	6927.50	6512.57	USD	-51,866.25	
07SEP17	B 3 PD07DEC17	6928.00	6512.57	USD	-31,157.25	
07SEP17	B 2 PD07DEC17	6912.00	6512.57	USD	-19,971.50	
07SEP17	B 1 PD07DEC17	6922.00	6512.57	USD	-10,235.75	
07SEP17	B 4 PD07DEC17	6923.00	6512.57	USD	-41,043.00	
07SEP17	B 2 PD07DEC17	6925.50	6512.57	USD	-20,646.50	
07SEP17	B 6 PD07DEC17	6922.50	6512.57	USD	-61,489.50	
07SEP17	S 5 PD07DEC17	6858.00	6512.57	USD	43,178.75	
07SEP17	S 5 PD07DEC17	6858.50	6512.57	USD	43,241.25	
07SEP17	S 2 PD07DEC17	6859.00	6512.57	USD	17,321.50	
07SEP17	S 6 PD07DEC17	6860.00	6512.57	USD	52,114.50	
07SEP17	S 2 PD07DEC17	6862.00	6512.57	USD	17,471.50	
07SEP17	S 5 PD07DEC17	6863.00	6512.57	USD	43,803.75	
07SEP17	S 2 PD07DEC17	6864.00	6512.57	USD	17,571.50	
07SEP17	S 1 PD07DEC17	6866.50	6512.57	USD	8,848.25	
07SEP17	S 3 PD07DEC17	6870.50	6512.57	USD	26,844.75	
07SEP17	S 2 PD07DEC17	6875.50	6512.57	USD	18,146.50	
07SEP17	S 2 PD07DEC17	6878.50	6512.57	USD	18,296.50	
07SEP17	S 5 PD07DEC17	6864.50	6512.57	USD	43,991.25	
07SEP17	B 5 PD07DEC17	6864.50	6512.57	USD	-43,991.25	
07SEP17	B 5 PD07DEC17	6865.00	6512.57	USD	-44,053.75	
07SEP17	B 5 PD07DEC17	6860.50	6512.57	USD	-43,491.25	
07SEP17	S 5 PD07DEC17	6903.00	6512.57	USD	48,803.75	
07SEP17	S 5 PD07DEC17	6890.50	6512.57	USD	47,241.25	
07SEP17	S 5 PD07DEC17	6872.50	6512.57	USD	44,991.25	
	NET -15 AVG BGHT 6901.21 SOLD 6870.29				**103,223.75**	**0.00**
08SEP17	B 5 PD08DEC17	6924.50	6513.00	USD	-51,437.50	
08SEP17	B 4 PD08DEC17	6852.00	6513.00	USD	-33,900.00	
08SEP17	B 2 PD08DEC17	6860.00	6513.00	USD	-17,350.00	
08SEP17	B 3 PD08DEC17	6860.50	6513.00	USD	-26,062.50	
08SEP17	B 5 PD08DEC17	6843.50	6513.00	USD	-41,312.50	
08SEP17	S 5 PD08DEC17	6852.00	6513.00	USD	42,375.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0025T

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
08SEP17	S 5 PD08DEC17	6851.50	6513.00	USD	42,312.50	
08SEP17	S 2 PD08DEC17	6854.50	6513.00	USD	17,075.00	
08SEP17	S 2 PD08DEC17	6858.50	6513.00	USD	17,275.00	
08SEP17	S 4 PD08DEC17	6824.50	6513.00	USD	31,150.00	
08SEP17	S 5 PD08DEC17	6832.50	6513.00	USD	39,937.50	
08SEP17	B 5 PD08DEC17	6824.00	6513.00	USD	-38,875.00	
08SEP17	B 5 PD08DEC17	6826.00	6513.00	USD	-39,125.00	
08SEP17	S 5 PD08DEC17	6812.00	6513.00	USD	37,375.00	
08SEP17	S 5 PD08DEC17	6820.50	6513.00	USD	38,437.50	
08SEP17	S 1 PD08DEC17	6836.00	6513.00	USD	8,075.00	
08SEP17	S 6 PD08DEC17	6829.50	6513.00	USD	47,475.00	
08SEP17	B 1 PD08DEC17	6818.00	6513.00	USD	-7,625.00	
08SEP17	B 4 PD08DEC17	6818.50	6513.00	USD	-30,550.00	
08SEP17	B 5 PD08DEC17	6823.00	6513.00	USD	-38,750.00	
08SEP17	B 1 PD08DEC17	6851.50	6513.00	USD	-8,462.50	
08SEP17	B 1 PD08DEC17	6683.50	6513.00	USD	-4,262.50	
08SEP17	B 3 PD08DEC17	6683.00	6513.00	USD	-12,750.00	
08SEP17	B 1 PD08DEC17	6679.00	6513.00	USD	-4,150.00	
08SEP17	B 4 PD08DEC17	6678.00	6513.00	USD	-16,500.00	
08SEP17	B 1 PD08DEC17	6680.00	6513.00	USD	-4,175.00	
	NET +10 AVG BGHT 6813.23 SOLD 6834.49				**-53,800.00**	**0.00**
11SEP17	B 5 PD11DEC17	6702.00	6514.19	USD	-23,476.25	
11SEP17	B 2 PD11DEC17	6705.50	6514.19	USD	-9,565.50	
11SEP17	B 3 PD11DEC17	6706.50	6514.19	USD	-14,423.25	
11SEP17	S 4 PD11DEC17	6698.00	6514.19	USD	18,381.00	
11SEP17	S 1 PD11DEC17	6699.00	6514.19	USD	4,620.25	
11SEP17	S 2 PD11DEC17	6706.00	6514.19	USD	9,590.50	
11SEP17	S 3 PD11DEC17	6703.50	6514.19	USD	14,198.25	
11SEP17	B 1 PD11DEC17	6727.50	6514.19	USD	-5,332.75	
11SEP17	B 1 PD11DEC17	6728.00	6514.19	USD	-5,345.25	
11SEP17	B 1 PD11DEC17	6729.00	6514.19	USD	-5,370.25	
11SEP17	B 1 PD11DEC17	6730.00	6514.19	USD	-5,395.25	
11SEP17	B 1 PD11DEC17	6730.50	6514.19	USD	-5,407.75	
11SEP17	S 3 PD11DEC17	6747.50	6514.19	USD	17,498.25	
	NET +2 AVG BGHT 6712.37 SOLD 6712.00				**-10,028.00**	**0.00**
12SEP17	S 1 PD12DEC17	6715.00	6514.58	USD	5,010.50	
12SEP17	S 1 PD12DEC17	6718.00	6514.58	USD	5,085.50	
12SEP17	S 5 PD12DEC17	6700.00	6514.58	USD	23,177.50	
12SEP17	B 5 PD12DEC17	6707.50	6514.58	USD	-24,115.00	
12SEP17	B 2 PD12DEC17	6714.50	6514.58	USD	-9,996.00	
12SEP17	B 5 PD12DEC17	6733.50	6514.58	USD	-27,365.00	
12SEP17	S 2 PD12DEC17	6707.50	6514.58	USD	9,646.00	
12SEP17	B 3 PD12DEC17	6729.50	6514.58	USD	-16,119.00	
12SEP17	B 2 PD12DEC17	6730.00	6514.58	USD	-10,771.00	
12SEP17	B 2 PD12DEC17	6723.00	6514.58	USD	-10,421.00	
12SEP17	B 3 PD12DEC17	6724.50	6514.58	USD	-15,744.00	
12SEP17	B 2 PD12DEC17	6675.00	6514.58	USD	-8,021.00	
12SEP17	B 3 PD12DEC17	6676.00	6514.58	USD	-12,106.50	
12SEP17	B 5 PD12DEC17	6655.00	6514.58	USD	-17,552.50	
12SEP17	B 1 PD12DEC17	6650.50	6514.58	USD	-3,398.00	
12SEP17	B 2 PD12DEC17	6651.00	6514.58	USD	-6,821.00	
12SEP17	B 3 PD12DEC17	6651.50	6514.58	USD	-10,269.00	
12SEP17	B 4 PD12DEC17	6652.50	6514.58	USD	-13,792.00	
12SEP17	B 1 PD12DEC17	6647.50	6514.58	USD	-3,323.00	
12SEP17	S 3 PD12DEC17	6643.00	6514.58	USD	9,631.50	
12SEP17	S 2 PD12DEC17	6644.00	6514.58	USD	6,471.00	
12SEP17	S 6 PD12DEC17	6649.50	6514.58	USD	20,238.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0025T

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
12SEP17	S 2 PD12DEC17	6645.00	6514.58	USD	6,521.00	
12SEP17	S 1 PD12DEC17	6646.00	6514.58	USD	3,285.50	
12SEP17	S 1 PD12DEC17	6637.00	6514.58	USD	3,060.50	
12SEP17	S 3 PD12DEC17	6642.50	6514.58	USD	9,594.00	
12SEP17	S 3 PD12DEC17	6643.50	6514.58	USD	9,669.00	
12SEP17	S 6 PD12DEC17	6656.50	6514.58	USD	21,288.00	
12SEP17	S 3 PD12DEC17	6655.50	6514.58	USD	10,569.00	
12SEP17	B 1 PD12DEC17	6663.50	6514.58	USD	-3,723.00	
12SEP17	B 2 PD12DEC17	6673.50	6514.58	USD	-7,946.00	
12SEP17	S 3 PD12DEC17	6663.50	6514.58	USD	11,169.00	
12SEP17	S 1 PD12DEC17	6667.50	6514.58	USD	3,823.00	
12SEP17	S 2 PD12DEC17	6668.50	6514.58	USD	7,696.00	
12SEP17	S 2 PD12DEC17	6677.00	6514.58	USD	8,121.00	
12SEP17	S 3 PD12DEC17	6669.00	6514.58	USD	11,581.50	
12SEP17	S 7 PD12DEC17	6671.50	6514.58	USD	27,461.00	
12SEP17	S 2 PD12DEC17	6654.50	6514.58	USD	6,996.00	
12SEP17	S 1 PD12DEC17	6651.50	6514.58	USD	3,423.00	
12SEP17	S 1 PD12DEC17	6654.00	6514.58	USD	3,485.50	
12SEP17	S 2 PD12DEC17	6653.00	6514.58	USD	6,921.00	
12SEP17	B 5 PD12DEC17	6670.00	6514.58	USD	-19,427.50	
12SEP17	B 5 PD12DEC17	6671.00	6514.58	USD	-19,552.50	
12SEP17	B 3 PD12DEC17	6668.00	6514.58	USD	-11,506.50	
12SEP17	B 2 PD12DEC17	6668.50	6514.58	USD	-7,696.00	
	NET -2 AVG BGHT 6684.85 SOLD 6663.10				**-25,741.50**	**0.00**
13SEP17	B 5 PD13DEC17	6605.00	6514.98	USD	-11,252.50	
13SEP17	B 3 PD13DEC17	6595.50	6514.98	USD	-6,039.00	
13SEP17	B 2 PD13DEC17	6597.00	6514.98	USD	-4,101.00	
13SEP17	B 10 PD13DEC17	6590.00	6514.98	USD	-18,755.00	
13SEP17	B 5 PD13DEC17	6595.00	6514.98	USD	-10,002.50	
13SEP17	B 5 PD13DEC17	6590.50	6514.98	USD	-9,440.00	
13SEP17	B 5 PD13DEC17	6578.50	6514.98	USD	-7,940.00	
13SEP17	B 5 PD13DEC17	6587.00	6514.98	USD	-9,002.50	
13SEP17	B 5 PD13DEC17	6579.00	6514.98	USD	-8,002.50	
13SEP17	B 5 PD13DEC17	6570.00	6514.98	USD	-6,877.50	
13SEP17	B 5 PD13DEC17	6560.50	6514.98	USD	-5,690.00	
13SEP17	B 5 PD13DEC17	6561.00	6514.98	USD	-5,752.50	
13SEP17	S 5 PD13DEC17	6550.50	6514.98	USD	4,440.00	
13SEP17	S 5 PD13DEC17	6555.50	6514.98	USD	5,065.00	
13SEP17	S 5 PD13DEC17	6542.00	6514.98	USD	3,377.50	
	NET +45 AVG BGHT 6583.55 SOLD 6549.33				**-89,972.50**	**0.00**
14SEP17	B 5 PD14DEC17	6531.00	6515.38	USD	-1,952.50	
14SEP17	B 5 PD14DEC17	6516.00	6515.38	USD	-77.50	
14SEP17	B 5 PD14DEC17	6480.00	6515.38	USD	4,422.50	
14SEP17	S 1 PD14DEC17	6467.00	6515.38	USD	-1,209.50	
14SEP17	B 1 PD14DEC17	6457.00	6515.38	USD	1,459.50	
14SEP17	S 2 PD14DEC17	6459.00	6515.38	USD	-2,819.00	
14SEP17	S 3 PD14DEC17	6462.00	6515.38	USD	-4,003.50	
14SEP17	S 1 PD14DEC17	6495.50	6515.38	USD	-497.00	
14SEP17	S 2 PD14DEC17	6496.00	6515.38	USD	-969.00	
14SEP17	S 1 PD14DEC17	6496.50	6515.38	USD	-472.00	
14SEP17	S 1 PD14DEC17	6501.00	6515.38	USD	-359.50	
14SEP17	S 4 PD14DEC17	6506.00	6515.38	USD	-938.00	
14SEP17	S 1 PD14DEC17	6506.50	6515.38	USD	-222.00	
14SEP17	S 5 PD14DEC17	6514.50	6515.38	USD	-110.00	
14SEP17	S 5 PD14DEC17	6515.00	6515.38	USD	-47.50	
14SEP17	S 5 PD14DEC17	6524.50	6515.38	USD	1,140.00	
14SEP17	B 1 PD14DEC17	6517.50	6515.38	USD	-53.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0025T

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT							
14SEP17	B	5	PD14DEC17	6518.00	6515.38	USD	-327.50	
14SEP17	B	2	PD14DEC17	6519.50	6515.38	USD	-206.00	
14SEP17	S	10	PD14DEC17	6522.00	6515.38	USD	1,655.00	
14SEP17	S	4	PD14DEC17	6523.00	6515.38	USD	762.00	
14SEP17	S	1	PD14DEC17	6523.50	6515.38	USD	203.00	
14SEP17	S	3	PD14DEC17	6525.00	6515.38	USD	721.50	
14SEP17	S	5	PD14DEC17	6517.50	6515.38	USD	265.00	
14SEP17	S	5	PD14DEC17	6513.50	6515.38	USD	-235.00	
14SEP17	S	5	PD14DEC17	6510.50	6515.38	USD	-610.00	
14SEP17	S	5	PD14DEC17	6503.00	6515.38	USD	-1,547.50	
14SEP17	S	5	PD14DEC17	6502.00	6515.38	USD	-1,672.50	
14SEP17	S	5	PD14DEC17	6503.50	6515.38	USD	-1,485.00	
	NET	**-55**	**AVG BGHT 6509.94**	**SOLD 6509.08**			**-9,185.00**	**0.00**
15SEP17	B	5	PD15DEC17	6504.50	6515.77	USD	1,408.75	
15SEP17	B	5	PD15DEC17	6505.00	6515.77	USD	1,346.25	
15SEP17	S	2	PD15DEC17	6497.50	6515.77	USD	-913.50	
15SEP17	S	2	PD15DEC17	6493.50	6515.77	USD	-1,113.50	
15SEP17	S	1	PD15DEC17	6494.00	6515.77	USD	-544.25	
15SEP17	S	5	PD15DEC17	6483.00	6515.77	USD	-4,096.25	
	NET	**0**	**AVG BGHT 6504.75**	**SOLD 6489.10**			**-3,912.50**	**0.00**
19SEP17	B	2	PD19DEC17	6553.50	6517.35	USD	-1,807.50	
19SEP17	B	3	PD19DEC17	6550.50	6517.35	USD	-2,486.25	
19SEP17	B	3	PD19DEC17	6532.00	6517.35	USD	-1,098.75	
19SEP17	B	5	PD19DEC17	6515.00	6517.35	USD	293.75	
19SEP17	B	5	PD19DEC17	6518.00	6517.35	USD	-81.25	
19SEP17	B	2	PD19DEC17	6522.50	6517.35	USD	-257.50	
19SEP17	B	3	PD19DEC17	6524.50	6517.35	USD	-536.25	
19SEP17	S	5	PD19DEC17	6519.50	6517.35	USD	268.75	
19SEP17	B	5	PD19DEC17	6515.50	6517.35	USD	231.25	
19SEP17	B	5	PD19DEC17	6508.00	6517.35	USD	1,168.75	
19SEP17	B	5	PD19DEC17	6517.00	6517.35	USD	43.75	
19SEP17	B	1	PD19DEC17	6527.00	6517.35	USD	-241.25	
19SEP17	B	2	PD19DEC17	6528.50	6517.35	USD	-557.50	
19SEP17	B	2	PD19DEC17	6529.00	6517.35	USD	-582.50	
19SEP17	S	5	PD19DEC17	6540.00	6517.35	USD	2,831.25	
19SEP17	S	2	PD19DEC17	6542.50	6517.35	USD	1,257.50	
19SEP17	B	1	PD19DEC17	6534.50	6517.35	USD	-428.75	
19SEP17	B	3	PD19DEC17	6535.50	6517.35	USD	-1,361.25	
19SEP17	B	4	PD19DEC17	6538.00	6517.35	USD	-2,065.00	
19SEP17	B	2	PD19DEC17	6530.00	6517.35	USD	-632.50	
19SEP17	B	1	PD19DEC17	6536.00	6517.35	USD	-466.25	
19SEP17	B	1	PD19DEC17	6533.00	6517.35	USD	-391.25	
19SEP17	B	1	PD19DEC17	6531.50	6517.35	USD	-353.75	
19SEP17	B	1	PD19DEC17	6537.00	6517.35	USD	-491.25	
	NET	**+45**	**AVG BGHT 6525.84**	**SOLD 6531.88**			**-7,743.75**	**0.00**
20SEP17	S	1	PD20DEC17	6555.50	6517.75	USD	943.75	
20SEP17	S	2	PD20DEC17	6557.00	6517.75	USD	1,962.50	
20SEP17	S	2	PD20DEC17	6559.00	6517.75	USD	2,062.50	
20SEP17	B	1	PD20DEC17	6564.50	6517.75	USD	-1,168.75	
20SEP17	B	1	PD20DEC17	6567.00	6517.75	USD	-1,231.25	
20SEP17	B	2	PD20DEC17	6568.50	6517.75	USD	-2,537.50	
20SEP17	S	1	PD20DEC17	6564.50	6517.75	USD	1,168.75	
20SEP17	S	4	PD20DEC17	6567.00	6517.75	USD	4,925.00	
20SEP17	B	3	PD20DEC17	6542.50	6517.75	USD	-1,856.25	
20SEP17	B	1	PD20DEC17	6545.00	6517.75	USD	-681.25	
20SEP17	S	2	PD20DEC17	6551.50	6517.75	USD	1,687.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0025T

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
20SEP17	S 6 PD20DEC17	6556.50	6517.75	USD	5,812.50	
20SEP17	S 5 PD20DEC17	6526.50	6517.75	USD	1,093.75	
20SEP17	B 2 PD20DEC17	6513.50	6517.75	USD	212.50	
20SEP17	S 10 PD20DEC17	6512.50	6517.75	USD	-1,312.50	
20SEP17	S 1 PD20DEC17	6513.50	6517.75	USD	-106.25	
20SEP17	S 1 PD20DEC17	6520.50	6517.75	USD	68.75	
	NET -25 AVG BGHT 6546.80 SOLD 6538.67				**11,043.75**	**0.00**
21SEP17	S 5 PD21DEC17	6488.00	6516.75	USD	-3,593.75	
21SEP17	S 5 PD21DEC17	6462.50	6516.75	USD	-6,781.25	
21SEP17	B 3 PD21DEC17	6465.00	6516.75	USD	3,881.25	
21SEP17	B 1 PD21DEC17	6465.50	6516.75	USD	1,281.25	
21SEP17	B 1 PD21DEC17	6466.00	6516.75	USD	1,268.75	
21SEP17	B 2 PD21DEC17	6457.00	6516.75	USD	2,987.50	
21SEP17	B 2 PD21DEC17	6463.50	6516.75	USD	2,662.50	
21SEP17	S 1 PD21DEC17	6450.00	6516.75	USD	-1,668.75	
21SEP17	B 2 PD21DEC17	6448.50	6516.75	USD	3,412.50	
21SEP17	B 1 PD21DEC17	6447.00	6516.75	USD	1,743.75	
21SEP17	B 5 PD21DEC17	6435.50	6516.75	USD	10,156.25	
21SEP17	B 3 PD21DEC17	6438.50	6516.75	USD	5,868.75	
21SEP17	B 1 PD21DEC17	6441.50	6516.75	USD	1,881.25	
21SEP17	B 3 PD21DEC17	6446.00	6516.75	USD	5,306.25	
21SEP17	B 3 PD21DEC17	6444.50	6516.75	USD	5,418.75	
21SEP17	B 3 PD21DEC17	6449.00	6516.75	USD	5,081.25	
21SEP17	B 2 PD21DEC17	6475.50	6516.75	USD	2,062.50	
21SEP17	B 3 PD21DEC17	6482.00	6516.75	USD	2,606.25	
21SEP17	B 2 PD21DEC17	6468.00	6516.75	USD	2,437.50	
21SEP17	B 3 PD21DEC17	6469.00	6516.75	USD	3,581.25	
21SEP17	B 5 PD21DEC17	6432.00	6516.75	USD	10,593.75	
21SEP17	B 4 PD21DEC17	6450.00	6516.75	USD	6,675.00	
21SEP17	B 5 PD21DEC17	6453.00	6516.75	USD	7,968.75	
21SEP17	B 2 PD21DEC17	6454.00	6516.75	USD	3,137.50	
21SEP17	B 1 PD21DEC17	6455.50	6516.75	USD	1,531.25	
21SEP17	B 2 PD21DEC17	6451.00	6516.75	USD	3,287.50	
21SEP17	B 2 PD21DEC17	6456.00	6516.75	USD	3,037.50	
	NET +50 AVG BGHT 6452.57 SOLD 6472.95				**85,825.00**	**0.00**
22SEP17	S 5 PD22DEC17	6404.00	6515.75	USD	-13,968.75	
22SEP17	S 5 PD22DEC17	6404.50	6515.75	USD	-13,906.25	
	NET -10 AVG SOLD 6404.25				**-27,875.00**	**0.00**
25SEP17	S 1 PD27DEC17	6460.00	6517.52	USD	-1,438.00	
25SEP17	S 1 PD27DEC17	6460.50	6517.52	USD	-1,425.50	
25SEP17	S 1 PD27DEC17	6461.00	6517.52	USD	-1,413.00	
25SEP17	S 1 PD27DEC17	6461.50	6517.52	USD	-1,400.50	
25SEP17	S 1 PD27DEC17	6463.50	6517.52	USD	-1,350.50	
25SEP17	S 1 PD27DEC17	6440.00	6517.52	USD	-1,938.00	
25SEP17	S 4 PD27DEC17	6445.50	6517.52	USD	-7,202.00	
26SEP17	B 1 PD27DEC17	6479.50	6517.52	USD	950.50	
26SEP17	B 2 PD27DEC17	6477.50	6517.52	USD	2,001.00	
26SEP17	B 1 PD27DEC17	6493.00	6517.52	USD	613.00	
26SEP17	B 1 PD27DEC17	6479.00	6517.52	USD	963.00	
26SEP17	S 1 PD27DEC17	6407.50	6517.52	USD	-2,750.50	
26SEP17	S 1 PD27DEC17	6411.00	6517.52	USD	-2,663.00	
26SEP17	S 1 PD27DEC17	6411.50	6517.52	USD	-2,650.50	
26SEP17	S 1 PD27DEC17	6412.50	6517.52	USD	-2,625.50	
26SEP17	S 1 PD27DEC17	6409.50	6517.52	USD	-2,700.50	
26SEP17	S 5 PD27DEC17	6410.50	6517.52	USD	-13,377.50	
26SEP17	S 1 PD27DEC17	6410.00	6517.52	USD	-2,688.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0025T

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
26SEP17	S 1 PD27DEC17	6417.00	6517.52	USD	-2,513.00	
26SEP17	S 2 PD27DEC17	6417.50	6517.52	USD	-5,001.00	
26SEP17	S 1 PD27DEC17	6418.00	6517.52	USD	-2,488.00	
27SEP17	B 1 PD27DEC17	6438.00	6517.52	USD	1,988.00	
27SEP17	B 2 PD27DEC17	6437.50	6517.52	USD	4,001.00	
27SEP17	B 1 PD27DEC17	6439.00	6517.52	USD	1,963.00	
27SEP17	B 1 PD27DEC17	6439.50	6517.52	USD	1,950.50	
27SEP17	B 1 PD27DEC17	6440.00	6517.52	USD	1,938.00	
27SEP17	S 1 PD27DEC17	6440.00	6517.52	USD	-1,938.00	
27SEP17	B 3 PD27DEC17	6441.00	6517.52	USD	5,739.00	
27SEP17	B 2 PD27DEC17	6442.00	6517.52	USD	3,776.00	
	NET -10 AVG BGHT 6452.81 SOLD 6428.96				**-31,680.00**	**0.00**
28SEP17	B 1 PD28DEC17	6470.00	6517.88	USD	1,197.00	
28SEP17	B 1 PD28DEC17	6470.50	6517.88	USD	1,184.50	
28SEP17	B 3 PD28DEC17	6471.50	6517.88	USD	3,478.50	
28SEP17	B 1 PD28DEC17	6514.50	6517.88	USD	84.50	
28SEP17	S 1 PD28DEC17	6516.50	6517.88	USD	-34.50	
28SEP17	B 1 PD28DEC17	6527.00	6517.88	USD	-228.00	
28SEP17	B 1 PD28DEC17	6531.50	6517.88	USD	-340.50	
28SEP17	B 1 PD28DEC17	6525.00	6517.88	USD	-178.00	
28SEP17	B 1 PD28DEC17	6526.50	6517.88	USD	-215.50	
28SEP17	B 1 PD28DEC17	6527.50	6517.88	USD	-240.50	
28SEP17	B 2 PD28DEC17	6529.00	6517.88	USD	-556.00	
28SEP17	B 2 PD28DEC17	6529.50	6517.88	USD	-581.00	
28SEP17	B 1 PD28DEC17	6530.00	6517.88	USD	-303.00	
28SEP17	B 1 PD28DEC17	6532.00	6517.88	USD	-353.00	
28SEP17	B 1 PD28DEC17	6544.00	6517.88	USD	-653.00	
28SEP17	B 1 PD28DEC17	6554.50	6517.88	USD	-915.50	
28SEP17	B 1 PD28DEC17	6559.50	6517.88	USD	-1,040.50	
28SEP17	B 1 PD28DEC17	6562.00	6517.88	USD	-1,103.00	
	NET +20 AVG BGHT 6519.33 SOLD 6516.50				**-797.50**	**0.00**
	CMX COPPER 25,000 CMX					
21SEP17	S 2 DEC17	292.900	296.350	USD	-1,725.00	
21SEP17	S 2 DEC17	292.950	296.350	USD	-1,700.00	
21SEP17	S 4 DEC17	293.100	296.350	USD	-3,250.00	
21SEP17	S 1 DEC17	293.000	296.350	USD	-837.50	
21SEP17	S 2 DEC17	293.300	296.350	USD	-1,525.00	
21SEP17	S 6 DEC17	294.900	296.350	USD	-2,175.00	
21SEP17	S 2 DEC17	294.400	296.350	USD	-975.00	
21SEP17	S 2 DEC17	294.500	296.350	USD	-925.00	
21SEP17	S 1 DEC17	294.600	296.350	USD	-437.50	
21SEP17	S 6 DEC17	294.150	296.350	USD	-3,300.00	
21SEP17	S 1 DEC17	294.300	296.350	USD	-512.50	
21SEP17	S 3 DEC17	294.050	296.350	USD	-1,725.00	
21SEP17	S 1 DEC17	294.100	296.350	USD	-562.50	
21SEP17	S 5 DEC17	292.450	296.350	USD	-4,875.00	
21SEP17	S 3 DEC17	292.500	296.350	USD	-2,887.50	
21SEP17	S 3 DEC17	292.600	296.350	USD	-2,812.50	
21SEP17	S 7 DEC17	292.750	296.350	USD	-6,300.00	
21SEP17	S 15 DEC17	293.250	296.350	USD	-11,625.00	
21SEP17	S 11 DEC17	293.500	296.350	USD	-7,837.50	
21SEP17	S 11 DEC17	293.550	296.350	USD	-7,700.00	
27SEP17	S 4 DEC17	292.600	296.350	USD	-3,750.00	
27SEP17	S 3 DEC17	292.550	296.350	USD	-2,850.00	
27SEP17	S 4 DEC17	292.650	296.350	USD	-3,700.00	
27SEP17	S 5 DEC17	292.800	296.350	USD	-4,437.50	
27SEP17	S 6 DEC17	292.750	296.350	USD	-5,400.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0025T

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	CMX COPPER 25,000 CMX					
28SEP17	S 3 DEC17	294.100	296.350	USD	-1,687.50	
28SEP17	S 6 DEC17	294.150	296.350	USD	-3,300.00	
28SEP17	S 2 DEC17	296.100	296.350	USD	-125.00	
28SEP17	S 2 DEC17	296.600	296.350	USD	125.00	
28SEP17	S 2 DEC17	296.700	296.350	USD	175.00	
28SEP17	S 2 DEC17	296.650	296.350	USD	150.00	
28SEP17	S 5 DEC17	296.850	296.350	USD	625.00	
28SEP17	S 4 DEC17	296.750	296.350	USD	400.00	
28SEP17	S 7 DEC17	296.800	296.350	USD	787.50	
28SEP17	S 2 DEC17	296.950	296.350	USD	300.00	
28SEP17	S 2 DEC17	297.450	296.350	USD	550.00	
28SEP17	S 3 DEC17	297.950	296.350	USD	1,200.00	
28SEP17	S 2 DEC17	298.300	296.350	USD	975.00	
28SEP17	S 2 DEC17	298.400	296.350	USD	1,025.00	
	NET -154 AVG SOLD 294.204				**-82,625.00**	**0.00**

OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	-11,122,280.25
CMX Copper	FUTURES	11,326,850.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options		Amount	Profit / Loss	**Total Amount**	**Total P&L**
COPR - Futures	USD	-82,625.00	-82,625.00	**-82,625.00**	**-82,625.00**
Unrealised Profits & Losses - Forwards		**Amount**	**Profit / Loss**	**Total Amount**	**Total P&L**
COPL - Futures	USD	271,089.00	271,089.00	**271,089.00**	**271,089.00**

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-152,603.72	-152,603.72
Variation Margins	- Futures	-82,625.00	-82,625.00
	- Options	0.00	0.00
TOTAL EQUITY		**-235,228.72**	**-235,228.72**
Initial Margins		-1,123,759.00	-1,123,759.00
Excess/(Shortage)		**-1,358,987.72**	**-1,358,987.72**
FOR INFORMATION ONLY			
Forward Unrealised P&L		271,089.00	271,089.00
Net Liquidating Value		**35,860.28**	**35,860.28**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0025U

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
12JUL17	B 1 PD12OCT17	5914.00	6477.63	USD	14,090.75	
12JUL17	B 1 PD12OCT17	5912.00	6477.63	USD	14,140.75	
12JUL17	B 2 PD12OCT17	5913.50	6477.63	USD	28,206.50	
12JUL17	B 1 PD12OCT17	5916.50	6477.63	USD	14,028.25	
20JUL17	S 5 PD12OCT17	5958.00	6477.63	USD	-64,953.75	
	NET 0 AVG BGHT 5913.90 SOLD 5958.00				**5,512.50**	**0.00**
13JUL17	S 2 PD13OCT17	5871.00	6478.90	USD	-30,395.00	
13JUL17	S 3 PD13OCT17	5872.00	6478.90	USD	-45,517.50	
14JUL17	B 5 PD13OCT17	5918.00	6478.90	USD	70,112.50	
	NET 0 AVG BGHT 5918.00 SOLD 5871.60				**-5,800.00**	**0.00**
17JUL17	S 5 PD17OCT17	5929.50	6483.98	USD	-69,310.00	
17JUL17	B 1 PD17OCT17	5978.00	6483.98	USD	12,649.50	
17JUL17	B 1 PD17OCT17	5981.00	6483.98	USD	12,574.50	
17JUL17	B 1 PD17OCT17	5983.50	6483.98	USD	12,512.00	
17JUL17	B 1 PD17OCT17	5977.50	6483.98	USD	12,662.00	
17JUL17	B 1 PD17OCT17	5979.00	6483.98	USD	12,624.50	
17JUL17	B 5 PD17OCT17	5995.50	6483.98	USD	61,060.00	
17JUL17	B 5 PD17OCT17	6000.00	6483.98	USD	60,497.50	
17JUL17	B 10 PD17OCT17	6010.00	6483.98	USD	118,495.00	
20JUL17	S 10 PD17OCT17	5960.08	6483.98	USD	-130,975.00	
10AUG17	S 10 PD17OCT17	6416.00	6483.98	USD	-16,995.00	
	NET 0 AVG BGHT 5999.06 SOLD 6136.33				**85,795.00**	**0.00**
18JUL17	S 1 PD18OCT17	5985.50	6485.25	USD	-12,493.75	
18JUL17	S 1 PD18OCT17	5986.00	6485.25	USD	-12,481.25	
18JUL17	S 1 PD18OCT17	5987.00	6485.25	USD	-12,456.25	
18JUL17	S 1 PD18OCT17	5980.50	6485.25	USD	-12,618.75	
18JUL17	S 1 PD18OCT17	5982.00	6485.25	USD	-12,581.25	
18JUL17	S 4 PD18OCT17	5988.00	6485.25	USD	-49,725.00	
18JUL17	S 1 PD18OCT17	5988.50	6485.25	USD	-12,418.75	
18JUL17	B 4 PD18OCT17	5998.50	6485.25	USD	48,675.00	
18JUL17	B 1 PD18OCT17	5999.00	6485.25	USD	12,156.25	
20JUL17	B 5 PD18OCT17	5960.70	6485.25	USD	65,568.75	
	NET 0 AVG BGHT 5979.65 SOLD 5986.15				**1,625.00**	**0.00**
19JUL17	S 2 PD19OCT17	5994.00	6486.00	USD	-24,600.00	
19JUL17	S 1 PD19OCT17	5994.50	6486.00	USD	-12,287.50	
19JUL17	S 1 PD19OCT17	5995.00	6486.00	USD	-12,275.00	
19JUL17	S 1 PD19OCT17	5995.50	6486.00	USD	-12,262.50	
19JUL17	B 5 PD19OCT17	6003.50	6486.00	USD	60,312.50	
19JUL17	S 5 PD19OCT17	5987.00	6486.00	USD	-62,375.00	
19JUL17	S 5 PD19OCT17	5970.00	6486.00	USD	-64,500.00	
20JUL17	B 10 PD19OCT17	5959.70	6486.00	USD	131,575.00	
	NET 0 AVG BGHT 5974.30 SOLD 5983.87				**3,587.50**	**0.00**
20JUL17	B 1 PD20OCT17	5976.50	6486.75	USD	12,756.25	
20JUL17	B 1 PD20OCT17	5979.00	6486.75	USD	12,693.75	
20JUL17	B 1 PD20OCT17	5975.50	6486.75	USD	12,781.25	
20JUL17	B 1 PD20OCT17	5977.00	6486.75	USD	12,743.75	
20JUL17	B 1 PD20OCT17	5980.00	6486.75	USD	12,668.75	
20JUL17	S 5 PD20OCT17	5975.50	6486.75	USD	-63,906.25	
21JUL17	B 3 PD20OCT17	6002.00	6486.75	USD	36,356.25	
21JUL17	B 2 PD20OCT17	6003.00	6486.75	USD	24,187.50	
21JUL17	B 1 PD20OCT17	6030.00	6486.75	USD	11,418.75	
21JUL17	B 4 PD20OCT17	6036.00	6486.75	USD	45,075.00	
21JUL17	B 5 PD20OCT17	6031.50	6486.75	USD	56,906.25	
21JUL17	S 5 PD20OCT17	5988.00	6486.75	USD	-62,343.75	
10AUG17	S 10 PD20OCT17	6414.25	6486.75	USD	-18,125.00	
	NET 0 AVG BGHT 6011.58 SOLD 6198.00				**93,212.50**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0025U

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT							
24JUL17	S	10	PD24OCT17	6001.00	6489.75	USD	-122,187.50	
10AUG17	B	10	PD24OCT17	6416.16	6489.75	USD	18,397.50	
	NET	**0**	**AVG BGHT 6416.16**	**SOLD 6001.00**			**-103,790.00**	**0.00**
25JUL17	B	2	PD25OCT17	6085.50	6490.29	USD	20,239.50	
25JUL17	B	1	PD25OCT17	6088.00	6490.29	USD	10,057.25	
25JUL17	B	1	PD25OCT17	6103.00	6490.29	USD	9,682.25	
25JUL17	B	5	PD25OCT17	6114.00	6490.29	USD	47,036.25	
25JUL17	B	4	PD25OCT17	6116.50	6490.29	USD	37,379.00	
25JUL17	B	2	PD25OCT17	6116.00	6490.29	USD	18,714.50	
25JUL17	B	1	PD25OCT17	6228.50	6490.29	USD	6,544.75	
25JUL17	B	2	PD25OCT17	6229.00	6490.29	USD	13,064.50	
25JUL17	B	2	PD25OCT17	6229.50	6490.29	USD	13,039.50	
10AUG17	S	20	PD25OCT17	6416.39	6490.29	USD	-36,950.00	
	NET	**0**	**AVG BGHT 6138.78**	**SOLD 6416.39**			**138,807.50**	**0.00**
26JUL17	S	5	PD26OCT17	6378.50	6490.82	USD	-14,040.00	
26JUL17	S	1	PD26OCT17	6338.50	6490.82	USD	-3,808.00	
26JUL17	S	1	PD26OCT17	6346.00	6490.82	USD	-3,620.50	
26JUL17	S	1	PD26OCT17	6349.50	6490.82	USD	-3,533.00	
26JUL17	S	2	PD26OCT17	6350.00	6490.82	USD	-7,041.00	
26JUL17	B	5	PD26OCT17	6305.00	6490.82	USD	23,227.50	
26JUL17	B	1	PD26OCT17	6277.00	6490.82	USD	5,345.50	
26JUL17	B	1	PD26OCT17	6278.00	6490.82	USD	5,320.50	
26JUL17	B	1	PD26OCT17	6284.00	6490.82	USD	5,170.50	
26JUL17	B	1	PD26OCT17	6282.00	6490.82	USD	5,220.50	
26JUL17	B	2	PD26OCT17	6276.50	6490.82	USD	10,716.00	
26JUL17	B	1	PD26OCT17	6275.00	6490.82	USD	5,395.50	
26JUL17	B	1	PD26OCT17	6281.50	6490.82	USD	5,233.00	
26JUL17	B	2	PD26OCT17	6273.00	6490.82	USD	10,891.00	
26JUL17	S	1	PD26OCT17	6310.00	6490.82	USD	-4,520.50	
26JUL17	S	1	PD26OCT17	6311.00	6490.82	USD	-4,495.50	
26JUL17	S	1	PD26OCT17	6311.50	6490.82	USD	-4,483.00	
26JUL17	S	1	PD26OCT17	6313.50	6490.82	USD	-4,433.00	
26JUL17	S	1	PD26OCT17	6316.00	6490.82	USD	-4,370.50	
26JUL17	S	1	PD26OCT17	6330.00	6490.82	USD	-4,020.50	
26JUL17	S	1	PD26OCT17	6336.50	6490.82	USD	-3,858.00	
26JUL17	S	1	PD26OCT17	6340.00	6490.82	USD	-3,770.50	
26JUL17	S	1	PD26OCT17	6333.50	6490.82	USD	-3,933.00	
26JUL17	S	1	PD26OCT17	6334.00	6490.82	USD	-3,920.50	
26JUL17	S	3	PD26OCT17	6308.00	6490.82	USD	-13,711.50	
26JUL17	S	1	PD26OCT17	6306.50	6490.82	USD	-4,608.00	
26JUL17	S	1	PD26OCT17	6304.50	6490.82	USD	-4,658.00	
10AUG17	B	10	PD26OCT17	6417.02	6490.82	USD	18,450.00	
	NET	**0**	**AVG BGHT 6338.87**	**SOLD 6335.90**			**-1,855.00**	**0.00**
27JUL17	B	1	PD27OCT17	6333.00	6491.36	USD	3,959.00	
27JUL17	B	1	PD27OCT17	6333.50	6491.36	USD	3,946.50	
27JUL17	B	1	PD27OCT17	6336.00	6491.36	USD	3,884.00	
27JUL17	B	2	PD27OCT17	6334.00	6491.36	USD	7,868.00	
27JUL17	S	1	PD27OCT17	6330.50	6491.36	USD	-4,021.50	
27JUL17	S	1	PD27OCT17	6331.50	6491.36	USD	-3,996.50	
27JUL17	S	1	PD27OCT17	6332.00	6491.36	USD	-3,984.00	
27JUL17	S	1	PD27OCT17	6337.00	6491.36	USD	-3,859.00	
27JUL17	S	1	PD27OCT17	6337.50	6491.36	USD	-3,846.50	
27JUL17	S	2	PD27OCT17	6331.00	6491.36	USD	-8,018.00	
27JUL17	S	2	PD27OCT17	6339.00	6491.36	USD	-7,618.00	
27JUL17	S	1	PD27OCT17	6339.50	6491.36	USD	-3,796.50	
28JUL17	B	1	PD27OCT17	6323.50	6491.36	USD	4,196.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0025U

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
28JUL17	B 1 PD27OCT17	6316.00	6491.36	USD	4,384.00	
28JUL17	B 1 PD27OCT17	6318.00	6491.36	USD	4,334.00	
28JUL17	B 2 PD27OCT17	6320.00	6491.36	USD	8,568.00	
28JUL17	B 2 PD27OCT17	6324.00	6491.36	USD	8,368.00	
28JUL17	B 2 PD27OCT17	6324.50	6491.36	USD	8,343.00	
28JUL17	S 1 PD27OCT17	6325.50	6491.36	USD	-4,146.50	
28JUL17	S 3 PD27OCT17	6327.50	6491.36	USD	-12,289.50	
28JUL17	S 3 PD27OCT17	6329.00	6491.36	USD	-12,177.00	
28JUL17	S 2 PD27OCT17	6328.00	6491.36	USD	-8,168.00	
28JUL17	B 1 PD27OCT17	6339.50	6491.36	USD	3,796.50	
28JUL17	B 1 PD27OCT17	6340.00	6491.36	USD	3,784.00	
28JUL17	B 1 PD27OCT17	6344.00	6491.36	USD	3,684.00	
28JUL17	B 3 PD27OCT17	6334.50	6491.36	USD	11,764.50	
28JUL17	S 12 PD27OCT17	6334.00	6491.36	USD	-47,208.00	
28JUL17	B 2 PD27OCT17	6335.00	6491.36	USD	7,818.00	
28JUL17	B 4 PD27OCT17	6336.00	6491.36	USD	15,536.00	
10AUG17	B 5 PD27OCT17	6417.45	6491.36	USD	9,238.75	
	NET 0 AVG BGHT 6344.94 SOLD 6332.48				**-9,656.25**	**0.00**
31JUL17	S 1 PD31OCT17	6384.00	6493.50	USD	-2,737.50	
31JUL17	S 1 PD31OCT17	6385.50	6493.50	USD	-2,700.00	
31JUL17	S 1 PD31OCT17	6383.50	6493.50	USD	-2,750.00	
31JUL17	B 1 PD31OCT17	6383.00	6493.50	USD	2,762.50	
31JUL17	B 1 PD31OCT17	6383.50	6493.50	USD	2,750.00	
31JUL17	B 1 PD31OCT17	6385.00	6493.50	USD	2,712.50	
31JUL17	S 1 PD31OCT17	6370.00	6493.50	USD	-3,087.50	
31JUL17	S 2 PD31OCT17	6371.00	6493.50	USD	-6,125.00	
31JUL17	S 1 PD31OCT17	6374.50	6493.50	USD	-2,975.00	
31JUL17	S 1 PD31OCT17	6370.50	6493.50	USD	-3,075.00	
10AUG17	B 5 PD31OCT17	6418.89	6493.50	USD	9,326.25	
	NET 0 AVG BGHT 6405.74 SOLD 6376.25				**-5,898.75**	**0.00**
01AUG17	B 2 PD01NOV17	6379.00	6494.09	USD	5,754.50	
01AUG17	B 1 PD01NOV17	6380.00	6494.09	USD	2,852.25	
01AUG17	B 1 PD01NOV17	6380.50	6494.09	USD	2,839.75	
01AUG17	B 1 PD01NOV17	6381.00	6494.09	USD	2,827.25	
01AUG17	B 1 PD01NOV17	6354.50	6494.09	USD	3,489.75	
01AUG17	B 1 PD01NOV17	6355.00	6494.09	USD	3,477.25	
01AUG17	B 2 PD01NOV17	6356.00	6494.09	USD	6,904.50	
01AUG17	B 1 PD01NOV17	6356.50	6494.09	USD	3,439.75	
10AUG17	S 10 PD01NOV17	6419.30	6494.09	USD	-18,697.50	
	NET 0 AVG BGHT 6367.75 SOLD 6419.30				**12,887.50**	**0.00**
02AUG17	B 1 PD02NOV17	6323.50	6494.69	USD	4,279.75	
02AUG17	S 1 PD02NOV17	6310.50	6494.69	USD	-4,604.75	
02AUG17	S 1 PD02NOV17	6311.00	6494.69	USD	-4,592.25	
02AUG17	S 1 PD02NOV17	6314.50	6494.69	USD	-4,504.75	
02AUG17	S 3 PD02NOV17	6318.00	6494.69	USD	-13,251.75	
02AUG17	S 3 PD02NOV17	6318.50	6494.69	USD	-13,214.25	
02AUG17	S 1 PD02NOV17	6320.00	6494.69	USD	-4,367.25	
02AUG17	S 1 PD02NOV17	6321.00	6494.69	USD	-4,342.25	
02AUG17	B 4 PD02NOV17	6328.50	6494.69	USD	16,619.00	
02AUG17	B 1 PD02NOV17	6331.00	6494.69	USD	4,092.25	
02AUG17	B 1 PD02NOV17	6332.50	6494.69	USD	4,054.75	
02AUG17	B 1 PD02NOV17	6333.00	6494.69	USD	4,042.25	
02AUG17	B 3 PD02NOV17	6334.00	6494.69	USD	12,051.75	
02AUG17	B 1 PD02NOV17	6336.50	6494.69	USD	3,954.75	
02AUG17	B 2 PD02NOV17	6337.00	6494.69	USD	7,884.50	
02AUG17	B 1 PD02NOV17	6338.00	6494.69	USD	3,917.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0025U

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
02AUG17	B 1 PD02NOV17	6338.50	6494.69	USD	3,904.75	
02AUG17	B 1 PD02NOV17	6335.50	6494.69	USD	3,979.75	
02AUG17	S 1 PD02NOV17	6338.00	6494.69	USD	-3,917.25	
02AUG17	B 3 PD02NOV17	6361.00	6494.69	USD	10,026.75	
02AUG17	B 1 PD02NOV17	6363.50	6494.69	USD	3,279.75	
02AUG17	B 1 PD02NOV17	6354.50	6494.69	USD	3,504.75	
10AUG17	S 5 PD02NOV17	6419.51	6494.69	USD	-9,397.50	
23AUG17	S 5 PD02NOV17	6560.00	6494.69	USD	8,163.75	
	NET 0 AVG BGHT 6339.07 SOLD 6396.46				**31,563.75**	**0.00**
03AUG17	S 1 PD03NOV17	6303.50	6495.28	USD	-4,794.50	
03AUG17	S 1 PD03NOV17	6305.00	6495.28	USD	-4,757.00	
03AUG17	S 2 PD03NOV17	6307.50	6495.28	USD	-9,389.00	
03AUG17	S 5 PD03NOV17	6312.00	6495.28	USD	-22,910.00	
03AUG17	S 3 PD03NOV17	6318.00	6495.28	USD	-13,296.00	
03AUG17	S 2 PD03NOV17	6319.00	6495.28	USD	-8,814.00	
03AUG17	S 1 PD03NOV17	6344.00	6495.28	USD	-3,782.00	
03AUG17	S 3 PD03NOV17	6348.00	6495.28	USD	-11,046.00	
03AUG17	S 1 PD03NOV17	6350.00	6495.28	USD	-3,632.00	
03AUG17	S 1 PD03NOV17	6324.50	6495.28	USD	-4,269.50	
03AUG17	S 3 PD03NOV17	6322.00	6495.28	USD	-12,996.00	
03AUG17	S 1 PD03NOV17	6324.00	6495.28	USD	-4,282.00	
03AUG17	B 1 PD03NOV17	6310.00	6495.28	USD	4,632.00	
03AUG17	B 1 PD03NOV17	6311.00	6495.28	USD	4,607.00	
03AUG17	B 1 PD03NOV17	6317.50	6495.28	USD	4,444.50	
03AUG17	B 2 PD03NOV17	6318.00	6495.28	USD	8,864.00	
03AUG17	S 1 PD03NOV17	6304.00	6495.28	USD	-4,782.00	
04AUG17	B 3 PD03NOV17	6357.50	6495.28	USD	10,333.50	
04AUG17	B 1 PD03NOV17	6358.50	6495.28	USD	3,419.50	
04AUG17	B 1 PD03NOV17	6359.00	6495.28	USD	3,407.00	
04AUG17	S 2 PD03NOV17	6362.00	6495.28	USD	-6,664.00	
04AUG17	S 3 PD03NOV17	6363.00	6495.28	USD	-9,921.00	
04AUG17	S 4 PD03NOV17	6355.00	6495.28	USD	-14,028.00	
04AUG17	S 1 PD03NOV17	6355.50	6495.28	USD	-3,494.50	
04AUG17	B 2 PD03NOV17	6359.50	6495.28	USD	6,789.00	
04AUG17	B 1 PD03NOV17	6361.00	6495.28	USD	3,357.00	
04AUG17	S 2 PD03NOV17	6333.50	6495.28	USD	-8,089.00	
04AUG17	S 3 PD03NOV17	6334.50	6495.28	USD	-12,058.50	
04AUG17	B 2 PD03NOV17	6362.50	6495.28	USD	6,639.00	
04AUG17	B 1 PD03NOV17	6363.50	6495.28	USD	3,294.50	
04AUG17	B 2 PD03NOV17	6365.00	6495.28	USD	6,514.00	
04AUG17	B 1 PD03NOV17	6367.50	6495.28	USD	3,194.50	
04AUG17	B 2 PD03NOV17	6376.00	6495.28	USD	5,964.00	
04AUG17	B 1 PD03NOV17	6381.50	6495.28	USD	2,844.50	
04AUG17	B 1 PD03NOV17	6382.00	6495.28	USD	2,832.00	
04AUG17	B 2 PD03NOV17	6364.50	6495.28	USD	6,539.00	
10AUG17	B 15 PD03NOV17	6420.33	6495.28	USD	28,106.25	
	NET 0 AVG BGHT 6379.50 SOLD 6332.28				**-47,223.75**	**0.00**
07AUG17	S 1 PD07NOV17	6339.50	6497.66	USD	-3,954.00	
07AUG17	S 1 PD07NOV17	6336.50	6497.66	USD	-4,029.00	
07AUG17	S 1 PD07NOV17	6337.50	6497.66	USD	-4,004.00	
07AUG17	S 1 PD07NOV17	6347.00	6497.66	USD	-3,766.50	
07AUG17	S 1 PD07NOV17	6349.50	6497.66	USD	-3,704.00	
07AUG17	S 3 PD07NOV17	6341.00	6497.66	USD	-11,749.50	
07AUG17	S 3 PD07NOV17	6344.00	6497.66	USD	-11,524.50	
07AUG17	S 1 PD07NOV17	6344.50	6497.66	USD	-3,829.00	
07AUG17	S 1 PD07NOV17	6346.50	6497.66	USD	-3,779.00	
07AUG17	S 1 PD07NOV17	6347.50	6497.66	USD	-3,754.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0025U

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT								
07AUG17	B	1	PD07NOV17		6366.00	6497.66	USD	3,291.50	
07AUG17	S	1	PD07NOV17		6341.50	6497.66	USD	-3,904.00	
07AUG17	B	3	PD07NOV17		6365.00	6497.66	USD	9,949.50	
07AUG17	B	1	PD07NOV17		6365.50	6497.66	USD	3,304.00	
07AUG17	B	1	PD07NOV17		6366.50	6497.66	USD	3,279.00	
07AUG17	B	3	PD07NOV17		6367.00	6497.66	USD	9,799.50	
07AUG17	B	3	PD07NOV17		6367.50	6497.66	USD	9,762.00	
07AUG17	B	3	PD07NOV17		6368.00	6497.66	USD	9,724.50	
07AUG17	B	1	PD07NOV17		6418.50	6497.66	USD	1,979.00	
07AUG17	B	2	PD07NOV17		6419.00	6497.66	USD	3,933.00	
07AUG17	B	1	PD07NOV17		6419.50	6497.66	USD	1,954.00	
07AUG17	B	1	PD07NOV17		6424.50	6497.66	USD	1,829.00	
07AUG17	B	2	PD07NOV17		6420.50	6497.66	USD	3,858.00	
07AUG17	B	1	PD07NOV17		6421.50	6497.66	USD	1,904.00	
07AUG17	B	1	PD07NOV17		6422.50	6497.66	USD	1,879.00	
07AUG17	B	1	PD07NOV17		6421.00	6497.66	USD	1,916.50	
07AUG17	S	2	PD07NOV17		6411.50	6497.66	USD	-4,308.00	
07AUG17	S	1	PD07NOV17		6412.00	6497.66	USD	-2,141.50	
07AUG17	B	1	PD07NOV17		6408.50	6497.66	USD	2,229.00	
07AUG17	S	2	PD07NOV17		6412.50	6497.66	USD	-4,258.00	
07AUG17	S	6	PD07NOV17		6413.00	6497.66	USD	-12,699.00	
	NET	**0**	**AVG BGHT 6389.06**	**SOLD 6372.42**				**-10,812.50**	**0.00**
08AUG17	B	1	PD08NOV17		6415.50	6498.25	USD	2,068.75	
08AUG17	B	4	PD08NOV17		6418.50	6498.25	USD	7,975.00	
08AUG17	S	5	PD08NOV17		6395.00	6498.25	USD	-12,906.25	
08AUG17	B	1	PD08NOV17		6400.50	6498.25	USD	2,443.75	
08AUG17	B	1	PD08NOV17		6401.50	6498.25	USD	2,418.75	
08AUG17	B	2	PD08NOV17		6402.50	6498.25	USD	4,787.50	
08AUG17	B	1	PD08NOV17		6398.00	6498.25	USD	2,506.25	
08AUG17	B	1	PD08NOV17		6437.00	6498.25	USD	1,531.25	
08AUG17	B	5	PD08NOV17		6444.00	6498.25	USD	6,781.25	
08AUG17	B	1	PD08NOV17		6456.00	6498.25	USD	1,056.25	
08AUG17	B	2	PD08NOV17		6458.00	6498.25	USD	2,012.50	
08AUG17	B	1	PD08NOV17		6462.00	6498.25	USD	906.25	
08AUG17	B	1	PD08NOV17		6473.00	6498.25	USD	631.25	
08AUG17	B	4	PD08NOV17		6475.00	6498.25	USD	2,325.00	
23AUG17	S	20	PD08NOV17		6563.00	6498.25	USD	32,375.00	
	NET	**0**	**AVG BGHT 6438.34**	**SOLD 6529.40**				**56,912.50**	**0.00**
09AUG17	S	1	PD09NOV17		6477.00	6498.71	USD	-542.75	
09AUG17	S	3	PD09NOV17		6479.00	6498.71	USD	-1,478.25	
09AUG17	S	1	PD09NOV17		6482.50	6498.71	USD	-405.25	
09AUG17	B	1	PD09NOV17		6499.00	6498.71	USD	-7.25	
09AUG17	B	2	PD09NOV17		6494.00	6498.71	USD	235.50	
09AUG17	B	2	PD09NOV17		6494.50	6498.71	USD	210.50	
09AUG17	S	1	PD09NOV17		6483.50	6498.71	USD	-380.25	
09AUG17	S	3	PD09NOV17		6484.00	6498.71	USD	-1,103.25	
09AUG17	S	1	PD09NOV17		6481.50	6498.71	USD	-430.25	
09AUG17	S	4	PD09NOV17		6489.00	6498.71	USD	-971.00	
09AUG17	S	1	PD09NOV17		6490.00	6498.71	USD	-217.75	
10AUG17	B	10	PD09NOV17		6422.79	6498.71	USD	18,980.00	
	NET	**0**	**AVG BGHT 6446.93**	**SOLD 6483.97**				**13,890.00**	**0.00**
10AUG17	B	1	PD10NOV17		6457.50	6499.18	USD	1,042.00	
10AUG17	B	1	PD10NOV17		6459.50	6499.18	USD	992.00	
10AUG17	B	1	PD10NOV17		6461.00	6499.18	USD	954.50	
10AUG17	B	2	PD10NOV17		6463.50	6499.18	USD	1,784.00	
10AUG17	B	2	PD10NOV17		6471.00	6499.18	USD	1,409.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0025U

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
10AUG17	B 1 PD10NOV17	6471.50	6499.18	USD	692.00	
10AUG17	B 1 PD10NOV17	6476.50	6499.18	USD	567.00	
10AUG17	B 1 PD10NOV17	6478.00	6499.18	USD	529.50	
10AUG17	S 1 PD10NOV17	6447.00	6499.18	USD	-1,304.50	
10AUG17	B 1 PD10NOV17	6456.50	6499.18	USD	1,067.00	
10AUG17	S 1 PD10NOV17	6409.50	6499.18	USD	-2,242.00	
10AUG17	S 2 PD10NOV17	6410.00	6499.18	USD	-4,459.00	
10AUG17	S 2 PD10NOV17	6410.50	6499.18	USD	-4,434.00	
10AUG17	B 1 PD10NOV17	6420.50	6499.18	USD	1,967.00	
10AUG17	B 1 PD10NOV17	6421.00	6499.18	USD	1,954.50	
10AUG17	B 1 PD10NOV17	6418.00	6499.18	USD	2,029.50	
10AUG17	B 1 PD10NOV17	6423.50	6499.18	USD	1,892.00	
10AUG17	B 2 PD10NOV17	6424.50	6499.18	USD	3,734.00	
10AUG17	S 1 PD10NOV17	6422.00	6499.18	USD	-1,929.50	
11AUG17	S 1 PD10NOV17	6355.50	6499.18	USD	-3,592.00	
11AUG17	S 1 PD10NOV17	6363.00	6499.18	USD	-3,404.50	
11AUG17	S 2 PD10NOV17	6363.50	6499.18	USD	-6,784.00	
11AUG17	S 1 PD10NOV17	6364.00	6499.18	USD	-3,379.50	
11AUG17	S 1 PD10NOV17	6351.00	6499.18	USD	-3,704.50	
11AUG17	S 1 PD10NOV17	6357.50	6499.18	USD	-3,542.00	
11AUG17	S 1 PD10NOV17	6360.00	6499.18	USD	-3,479.50	
11AUG17	S 1 PD10NOV17	6366.50	6499.18	USD	-3,317.00	
11AUG17	S 1 PD10NOV17	6368.50	6499.18	USD	-3,267.00	
11AUG17	S 3 PD10NOV17	6420.00	6499.18	USD	-5,938.50	
11AUG17	S 1 PD10NOV17	6420.50	6499.18	USD	-1,967.00	
11AUG17	S 1 PD10NOV17	6421.50	6499.18	USD	-1,942.00	
23AUG17	B 5 PD10NOV17	6564.20	6499.18	USD	-8,127.50	
	NET 0 AVG BGHT 6476.48 SOLD 6392.48				**-46,200.00**	**0.00**
14AUG17	S 4 PD14NOV17	6415.00	6501.04	USD	-8,604.00	
14AUG17	S 2 PD14NOV17	6426.50	6501.04	USD	-3,727.00	
14AUG17	S 1 PD14NOV17	6429.00	6501.04	USD	-1,801.00	
14AUG17	S 1 PD14NOV17	6438.50	6501.04	USD	-1,563.50	
14AUG17	S 2 PD14NOV17	6442.00	6501.04	USD	-2,952.00	
14AUG17	S 1 PD14NOV17	6402.00	6501.04	USD	-2,476.00	
14AUG17	B 4 PD14NOV17	6382.00	6501.04	USD	11,904.00	
14AUG17	B 1 PD14NOV17	6380.00	6501.04	USD	3,026.00	
14AUG17	S 1 PD14NOV17	6440.50	6501.04	USD	-1,513.50	
14AUG17	S 3 PD14NOV17	6441.50	6501.04	USD	-4,465.50	
14AUG17	B 1 PD14NOV17	6396.50	6501.04	USD	2,613.50	
14AUG17	B 1 PD14NOV17	6398.50	6501.04	USD	2,563.50	
14AUG17	B 1 PD14NOV17	6401.50	6501.04	USD	2,488.50	
14AUG17	B 2 PD14NOV17	6402.00	6501.04	USD	4,952.00	
14AUG17	B 2 PD14NOV17	6406.00	6501.04	USD	4,752.00	
14AUG17	B 1 PD14NOV17	6406.50	6501.04	USD	2,363.50	
14AUG17	B 1 PD14NOV17	6409.00	6501.04	USD	2,301.00	
14AUG17	B 1 PD14NOV17	6408.50	6501.04	USD	2,313.50	
14AUG17	B 2 PD14NOV17	6408.00	6501.04	USD	4,652.00	
14AUG17	B 3 PD14NOV17	6407.50	6501.04	USD	7,015.50	
23AUG17	S 5 PD14NOV17	6565.50	6501.04	USD	8,057.50	
	NET 0 AVG BGHT 6399.15 SOLD 6462.95				**31,900.00**	**0.00**
15AUG17	S 1 PD15NOV17	6368.00	6501.50	USD	-3,337.50	
15AUG17	S 1 PD15NOV17	6369.00	6501.50	USD	-3,312.50	
15AUG17	S 1 PD15NOV17	6366.00	6501.50	USD	-3,387.50	
15AUG17	S 3 PD15NOV17	6367.50	6501.50	USD	-10,050.00	
15AUG17	S 1 PD15NOV17	6368.50	6501.50	USD	-3,325.00	
15AUG17	S 2 PD15NOV17	6371.00	6501.50	USD	-6,525.00	
15AUG17	S 3 PD15NOV17	6371.50	6501.50	USD	-9,750.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0025U

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
15AUG17	S 1 PD15NOV17	6379.50	6501.50	USD	-3,050.00	
15AUG17	B 1 PD15NOV17	6373.00	6501.50	USD	3,212.50	
15AUG17	B 1 PD15NOV17	6375.50	6501.50	USD	3,150.00	
15AUG17	S 3 PD15NOV17	6367.00	6501.50	USD	-10,087.50	
15AUG17	S 1 PD15NOV17	6364.50	6501.50	USD	-3,425.00	
23AUG17	B 15 PD15NOV17	6565.70	6501.50	USD	-24,075.00	
	NET 0 AVG BGHT 6543.18 SOLD 6369.15				**-73,962.50**	**0.00**
16AUG17	S 1 PD16NOV17	6362.00	6501.00	USD	-3,475.00	
16AUG17	S 1 PD16NOV17	6364.50	6501.00	USD	-3,412.50	
16AUG17	S 2 PD16NOV17	6365.00	6501.00	USD	-6,800.00	
16AUG17	S 1 PD16NOV17	6376.50	6501.00	USD	-3,112.50	
16AUG17	S 1 PD16NOV17	6378.00	6501.00	USD	-3,075.00	
16AUG17	S 1 PD16NOV17	6379.50	6501.00	USD	-3,037.50	
16AUG17	S 1 PD16NOV17	6385.00	6501.00	USD	-2,900.00	
16AUG17	B 2 PD16NOV17	6377.50	6501.00	USD	6,175.00	
16AUG17	B 1 PD16NOV17	6379.00	6501.00	USD	3,050.00	
16AUG17	B 1 PD16NOV17	6383.50	6501.00	USD	2,937.50	
16AUG17	S 1 PD16NOV17	6382.50	6501.00	USD	-2,962.50	
16AUG17	B 1 PD16NOV17	6390.50	6501.00	USD	2,762.50	
16AUG17	B 1 PD16NOV17	6432.50	6501.00	USD	1,712.50	
16AUG17	B 1 PD16NOV17	6433.00	6501.00	USD	1,700.00	
16AUG17	B 1 PD16NOV17	6434.00	6501.00	USD	1,675.00	
16AUG17	B 5 PD16NOV17	6434.50	6501.00	USD	8,312.50	
16AUG17	B 2 PD16NOV17	6435.00	6501.00	USD	3,300.00	
16AUG17	B 4 PD16NOV17	6436.00	6501.00	USD	6,500.00	
16AUG17	B 1 PD16NOV17	6436.50	6501.00	USD	1,612.50	
16AUG17	S 1 PD16NOV17	6363.50	6501.00	USD	-3,437.50	
16AUG17	B 2 PD16NOV17	6489.50	6501.00	USD	575.00	
16AUG17	B 2 PD16NOV17	6490.00	6501.00	USD	550.00	
16AUG17	B 1 PD16NOV17	6491.50	6501.00	USD	237.50	
16AUG17	B 1 PD16NOV17	6514.50	6501.00	USD	-337.50	
16AUG17	B 1 PD16NOV17	6515.00	6501.00	USD	-350.00	
16AUG17	B 1 PD16NOV17	6515.50	6501.00	USD	-362.50	
16AUG17	B 1 PD16NOV17	6516.00	6501.00	USD	-375.00	
16AUG17	B 1 PD16NOV17	6517.50	6501.00	USD	-412.50	
16AUG17	B 1 PD16NOV17	6539.00	6501.00	USD	-950.00	
16AUG17	B 1 PD16NOV17	6542.00	6501.00	USD	-1,025.00	
16AUG17	B 1 PD16NOV17	6543.50	6501.00	USD	-1,062.50	
16AUG17	B 1 PD16NOV17	6532.50	6501.00	USD	-787.50	
16AUG17	B 1 PD16NOV17	6537.50	6501.00	USD	-912.50	
16AUG17	B 3 PD16NOV17	6538.50	6501.00	USD	-2,812.50	
16AUG17	B 1 PD16NOV17	6517.00	6501.00	USD	-400.00	
16AUG17	B 1 PD16NOV17	6523.50	6501.00	USD	-562.50	
23AUG17	S 15 PD16NOV17	6563.50	6501.00	USD	23,437.50	
	NET +15 AVG BGHT 6470.25 SOLD 6486.96				**21,975.00**	**0.00**
17AUG17	S 1 PD17NOV17	6474.50	6501.60	USD	-677.50	
17AUG17	S 1 PD17NOV17	6483.00	6501.60	USD	-465.00	
17AUG17	S 1 PD17NOV17	6478.00	6501.60	USD	-590.00	
17AUG17	S 1 PD17NOV17	6480.50	6501.60	USD	-527.50	
17AUG17	S 1 PD17NOV17	6484.50	6501.60	USD	-427.50	
18AUG17	S 1 PD17NOV17	6446.50	6501.60	USD	-1,377.50	
18AUG17	S 2 PD17NOV17	6449.00	6501.60	USD	-2,630.00	
18AUG17	S 3 PD17NOV17	6450.00	6501.60	USD	-3,870.00	
18AUG17	S 2 PD17NOV17	6452.00	6501.60	USD	-2,480.00	
18AUG17	S 1 PD17NOV17	6454.50	6501.60	USD	-1,177.50	
18AUG17	S 1 PD17NOV17	6455.00	6501.60	USD	-1,165.00	
18AUG17	S 1 PD17NOV17	6456.50	6501.60	USD	-1,127.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0025U

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
18AUG17	S 1 PD17NOV17	6458.50	6501.60	USD	-1,077.50	
18AUG17	S 3 PD17NOV17	6459.00	6501.60	USD	-3,195.00	
18AUG17	S 2 PD17NOV17	6499.50	6501.60	USD	-105.00	
18AUG17	S 1 PD17NOV17	6500.50	6501.60	USD	-27.50	
18AUG17	S 2 PD17NOV17	6501.50	6501.60	USD	-5.00	
18AUG17	S 2 PD17NOV17	6505.00	6501.60	USD	170.00	
18AUG17	S 2 PD17NOV17	6505.50	6501.60	USD	195.00	
18AUG17	S 1 PD17NOV17	6506.00	6501.60	USD	110.00	
18AUG17	S 1 PD17NOV17	6506.50	6501.60	USD	122.50	
18AUG17	S 1 PD17NOV17	6509.00	6501.60	USD	185.00	
18AUG17	S 2 PD17NOV17	6509.50	6501.60	USD	395.00	
18AUG17	S 1 PD17NOV17	6510.00	6501.60	USD	210.00	
18AUG17	B 5 PD17NOV17	6489.50	6501.60	USD	1,512.50	
18AUG17	B 1 PD17NOV17	6499.00	6501.60	USD	65.00	
18AUG17	B 1 PD17NOV17	6500.00	6501.60	USD	40.00	
18AUG17	B 1 PD17NOV17	6500.50	6501.60	USD	27.50	
18AUG17	B 1 PD17NOV17	6502.50	6501.60	USD	-22.50	
18AUG17	B 1 PD17NOV17	6496.50	6501.60	USD	127.50	
18AUG17	B 1 PD17NOV17	6485.50	6501.60	USD	402.50	
18AUG17	B 1 PD17NOV17	6487.50	6501.60	USD	352.50	
18AUG17	S 1 PD17NOV17	6480.50	6501.60	USD	-527.50	
18AUG17	S 1 PD17NOV17	6481.00	6501.60	USD	-515.00	
23AUG17	B 25 PD17NOV17	6563.89	6501.60	USD	-38,931.25	
	NET 0 AVG BGHT 6540.98 SOLD 6479.35				**-57,006.25**	**0.00**
21AUG17	S 2 PD21NOV17	6476.00	6504.00	USD	-1,400.00	
21AUG17	S 2 PD21NOV17	6478.00	6504.00	USD	-1,300.00	
21AUG17	S 1 PD21NOV17	6480.00	6504.00	USD	-600.00	
21AUG17	B 1 PD21NOV17	6576.50	6504.00	USD	-1,812.50	
21AUG17	B 1 PD21NOV17	6583.50	6504.00	USD	-1,987.50	
21AUG17	B 1 PD21NOV17	6587.00	6504.00	USD	-2,075.00	
21AUG17	B 1 PD21NOV17	6547.50	6504.00	USD	-1,087.50	
21AUG17	B 1 PD21NOV17	6545.50	6504.00	USD	-1,037.50	
21AUG17	S 1 PD21NOV17	6546.00	6504.00	USD	1,050.00	
21AUG17	S 1 PD21NOV17	6549.50	6504.00	USD	1,137.50	
21AUG17	B 2 PD21NOV17	6558.00	6504.00	USD	-2,700.00	
21AUG17	B 2 PD21NOV17	6557.50	6504.00	USD	-2,675.00	
21AUG17	B 1 PD21NOV17	6558.50	6504.00	USD	-1,362.50	
21AUG17	B 1 PD21NOV17	6562.50	6504.00	USD	-1,462.50	
21AUG17	B 1 PD21NOV17	6621.00	6504.00	USD	-2,925.00	
21AUG17	B 4 PD21NOV17	6621.50	6504.00	USD	-11,750.00	
21AUG17	B 2 PD21NOV17	6586.00	6504.00	USD	-4,100.00	
21AUG17	B 1 PD21NOV17	6568.00	6504.00	USD	-1,600.00	
21AUG17	B 1 PD21NOV17	6576.00	6504.00	USD	-1,800.00	
21AUG17	B 2 PD21NOV17	6577.00	6504.00	USD	-3,650.00	
	NET +15 AVG BGHT 6580.41 SOLD 6497.64				**-43,137.50**	**0.00**
22AUG17	B 1 PD22NOV17	6552.00	6504.60	USD	-1,185.00	
22AUG17	S 1 PD22NOV17	6568.00	6504.60	USD	1,585.00	
22AUG17	B 2 PD22NOV17	6576.00	6504.60	USD	-3,570.00	
22AUG17	B 1 PD22NOV17	6578.00	6504.60	USD	-1,835.00	
22AUG17	B 1 PD22NOV17	6578.50	6504.60	USD	-1,847.50	
22AUG17	B 1 PD22NOV17	6587.00	6504.60	USD	-2,060.00	
22AUG17	B 1 PD22NOV17	6612.00	6504.60	USD	-2,685.00	
22AUG17	B 2 PD22NOV17	6619.00	6504.60	USD	-5,720.00	
22AUG17	B 1 PD22NOV17	6617.50	6504.60	USD	-2,822.50	
22AUG17	B 1 PD22NOV17	6620.00	6504.60	USD	-2,885.00	
22AUG17	B 1 PD22NOV17	6589.50	6504.60	USD	-2,122.50	
22AUG17	B 2 PD22NOV17	6580.00	6504.60	USD	-3,770.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0025U

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
22AUG17	B 1 PD22NOV17	6582.50	6504.60	USD	-1,947.50	
22AUG17	B 1 PD22NOV17	6584.50	6504.60	USD	-1,997.50	
22AUG17	B 1 PD22NOV17	6574.00	6504.60	USD	-1,735.00	
22AUG17	B 1 PD22NOV17	6577.00	6504.60	USD	-1,810.00	
22AUG17	B 1 PD22NOV17	6581.50	6504.60	USD	-1,922.50	
22AUG17	B 1 PD22NOV17	6582.00	6504.60	USD	-1,935.00	
22AUG17	B 1 PD22NOV17	6583.00	6504.60	USD	-1,960.00	
	NET +20 AVG BGHT 6588.05 SOLD 6568.00				**-42,225.00**	**0.00**
23AUG17	S 1 PD24NOV17	6575.00	6505.80	USD	1,730.00	
23AUG17	S 1 PD24NOV17	6573.00	6505.80	USD	1,680.00	
23AUG17	S 1 PD24NOV17	6569.00	6505.80	USD	1,580.00	
23AUG17	S 1 PD24NOV17	6570.50	6505.80	USD	1,617.50	
23AUG17	S 1 PD24NOV17	6573.50	6505.80	USD	1,692.50	
23AUG17	B 2 PD24NOV17	6568.00	6505.80	USD	-3,110.00	
23AUG17	B 1 PD24NOV17	6579.50	6505.80	USD	-1,842.50	
23AUG17	B 1 PD24NOV17	6570.50	6505.80	USD	-1,617.50	
23AUG17	B 1 PD24NOV17	6575.00	6505.80	USD	-1,730.00	
24AUG17	S 1 PD24NOV17	6611.50	6505.80	USD	2,642.50	
24AUG17	S 1 PD24NOV17	6612.50	6505.80	USD	2,667.50	
24AUG17	S 2 PD24NOV17	6613.50	6505.80	USD	5,385.00	
24AUG17	S 1 PD24NOV17	6614.00	6505.80	USD	2,705.00	
24AUG17	S 2 PD24NOV17	6616.50	6505.80	USD	5,535.00	
24AUG17	B 1 PD24NOV17	6606.50	6505.80	USD	-2,517.50	
24AUG17	B 1 PD24NOV17	6607.00	6505.80	USD	-2,530.00	
24AUG17	S 1 PD24NOV17	6625.50	6505.80	USD	2,992.50	
24AUG17	S 1 PD24NOV17	6626.50	6505.80	USD	3,017.50	
24AUG17	S 1 PD24NOV17	6627.50	6505.80	USD	3,042.50	
24AUG17	S 1 PD24NOV17	6628.00	6505.80	USD	3,055.00	
24AUG17	S 1 PD24NOV17	6629.00	6505.80	USD	3,080.00	
25AUG17	B 1 PD24NOV17	6714.00	6505.80	USD	-5,205.00	
25AUG17	B 2 PD24NOV17	6714.50	6505.80	USD	-10,435.00	
25AUG17	B 1 PD24NOV17	6716.00	6505.80	USD	-5,255.00	
25AUG17	B 1 PD24NOV17	6724.00	6505.80	USD	-5,455.00	
25AUG17	S 5 PD24NOV17	6712.00	6505.80	USD	25,775.00	
25AUG17	S 1 PD24NOV17	6671.00	6505.80	USD	4,130.00	
25AUG17	S 1 PD24NOV17	6671.50	6505.80	USD	4,142.50	
25AUG17	S 1 PD24NOV17	6679.00	6505.80	USD	4,330.00	
25AUG17	S 1 PD24NOV17	6680.50	6505.80	USD	4,367.50	
25AUG17	B 2 PD24NOV17	6682.00	6505.80	USD	-8,810.00	
25AUG17	B 2 PD24NOV17	6687.00	6505.80	USD	-9,060.00	
	NET -10 AVG BGHT 6649.72 SOLD 6636.83				**27,600.00**	**0.00**
29AUG17	S 2 PD29NOV17	6791.00	6508.80	USD	14,110.00	
29AUG17	S 2 PD29NOV17	6795.00	6508.80	USD	14,310.00	
29AUG17	S 1 PD29NOV17	6782.00	6508.80	USD	6,830.00	
29AUG17	S 1 PD29NOV17	6792.00	6508.80	USD	7,080.00	
29AUG17	S 1 PD29NOV17	6794.00	6508.80	USD	7,130.00	
29AUG17	S 1 PD29NOV17	6781.50	6508.80	USD	6,817.50	
29AUG17	S 3 PD29NOV17	6788.00	6508.80	USD	20,940.00	
29AUG17	S 1 PD29NOV17	6793.00	6508.80	USD	7,105.00	
29AUG17	S 3 PD29NOV17	6784.50	6508.80	USD	20,677.50	
29AUG17	S 4 PD29NOV17	6786.00	6508.80	USD	27,720.00	
29AUG17	S 2 PD29NOV17	6807.50	6508.80	USD	14,935.00	
29AUG17	S 2 PD29NOV17	6808.00	6508.80	USD	14,960.00	
29AUG17	S 1 PD29NOV17	6812.00	6508.80	USD	7,580.00	
29AUG17	S 1 PD29NOV17	6813.50	6508.80	USD	7,617.50	
29AUG17	B 1 PD29NOV17	6816.50	6508.80	USD	-7,692.50	
29AUG17	B 4 PD29NOV17	6817.00	6508.80	USD	-30,820.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0025U

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
29AUG17	B 2 PD29NOV17	6817.50	6508.80	USD	-15,435.00	
29AUG17	B 1 PD29NOV17	6822.00	6508.80	USD	-7,830.00	
29AUG17	B 1 PD29NOV17	6804.00	6508.80	USD	-7,380.00	
29AUG17	B 2 PD29NOV17	6804.50	6508.80	USD	-14,785.00	
29AUG17	B 1 PD29NOV17	6808.00	6508.80	USD	-7,480.00	
29AUG17	B 2 PD29NOV17	6802.00	6508.80	USD	-14,660.00	
29AUG17	B 1 PD29NOV17	6803.00	6508.80	USD	-7,355.00	
29AUG17	B 1 PD29NOV17	6811.50	6508.80	USD	-7,567.50	
29AUG17	B 1 PD29NOV17	6806.00	6508.80	USD	-7,430.00	
29AUG17	B 1 PD29NOV17	6807.50	6508.80	USD	-7,467.50	
29AUG17	B 1 PD29NOV17	6813.00	6508.80	USD	-7,605.00	
29AUG17	B 2 PD29NOV17	6825.00	6508.80	USD	-15,810.00	
29AUG17	B 1 PD29NOV17	6788.50	6508.80	USD	-6,992.50	
29AUG17	B 1 PD29NOV17	6794.00	6508.80	USD	-7,130.00	
29AUG17	S 1 PD29NOV17	6803.00	6508.80	USD	7,355.00	
29AUG17	S 2 PD29NOV17	6803.50	6508.80	USD	14,735.00	
29AUG17	S 1 PD29NOV17	6804.00	6508.80	USD	7,380.00	
29AUG17	B 3 PD29NOV17	6803.50	6508.80	USD	-22,102.50	
29AUG17	S 1 PD29NOV17	6806.00	6508.80	USD	7,430.00	
29AUG17	B 3 PD29NOV17	6793.00	6508.80	USD	-21,315.00	
29AUG17	S 4 PD29NOV17	6804.50	6508.80	USD	29,570.00	
	NET -5 AVG BGHT 6807.91 SOLD 6796.19				**27,425.00**	**0.00**
30AUG17	B 5 PD30NOV17	6790.00	6509.40	USD	-35,075.00	
30AUG17	B 1 PD30NOV17	6776.00	6509.40	USD	-6,665.00	
30AUG17	S 1 PD30NOV17	6787.50	6509.40	USD	6,952.50	
30AUG17	B 1 PD30NOV17	6813.50	6509.40	USD	-7,602.50	
30AUG17	B 2 PD30NOV17	6814.00	6509.40	USD	-15,230.00	
30AUG17	B 1 PD30NOV17	6814.50	6509.40	USD	-7,627.50	
30AUG17	B 1 PD30NOV17	6816.00	6509.40	USD	-7,665.00	
30AUG17	S 5 PD30NOV17	6786.00	6509.40	USD	34,575.00	
30AUG17	S 4 PD30NOV17	6787.00	6509.40	USD	27,760.00	
30AUG17	S 1 PD30NOV17	6789.50	6509.40	USD	7,002.50	
30AUG17	S 1 PD30NOV17	6790.00	6509.40	USD	7,015.00	
30AUG17	S 4 PD30NOV17	6790.50	6509.40	USD	28,110.00	
30AUG17	B 1 PD30NOV17	6773.00	6509.40	USD	-6,590.00	
30AUG17	B 1 PD30NOV17	6774.50	6509.40	USD	-6,627.50	
30AUG17	B 3 PD30NOV17	6775.50	6509.40	USD	-19,957.50	
31AUG17	B 1 PD30NOV17	6805.00	6509.40	USD	-7,390.00	
31AUG17	S 1 PD30NOV17	6784.00	6509.40	USD	6,865.00	
31AUG17	S 3 PD30NOV17	6785.00	6509.40	USD	20,670.00	
31AUG17	S 1 PD30NOV17	6785.50	6509.40	USD	6,902.50	
31AUG17	S 2 PD30NOV17	6805.50	6509.40	USD	14,805.00	
31AUG17	S 1 PD30NOV17	6806.50	6509.40	USD	7,427.50	
31AUG17	B 1 PD30NOV17	6803.50	6509.40	USD	-7,352.50	
31AUG17	S 4 PD30NOV17	6804.50	6509.40	USD	29,510.00	
31AUG17	B 1 PD30NOV17	6807.00	6509.40	USD	-7,440.00	
31AUG17	B 1 PD30NOV17	6808.00	6509.40	USD	-7,465.00	
31AUG17	B 3 PD30NOV17	6809.00	6509.40	USD	-22,470.00	
31AUG17	B 1 PD30NOV17	6836.00	6509.40	USD	-8,165.00	
31AUG17	B 1 PD30NOV17	6836.50	6509.40	USD	-8,177.50	
31AUG17	B 1 PD30NOV17	6825.50	6509.40	USD	-7,902.50	
31AUG17	B 1 PD30NOV17	6827.00	6509.40	USD	-7,940.00	
31AUG17	B 1 PD30NOV17	6827.50	6509.40	USD	-7,952.50	
31AUG17	B 1 PD30NOV17	6832.50	6509.40	USD	-8,077.50	
31AUG17	B 1 PD30NOV17	6833.50	6509.40	USD	-8,102.50	
31AUG17	B 2 PD30NOV17	6834.50	6509.40	USD	-16,255.00	
31AUG17	B 3 PD30NOV17	6835.00	6509.40	USD	-24,420.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0025U

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
31AUG17	B 3 PD30NOV17	6835.50	6509.40	USD	-24,457.50	
31AUG17	B 1 PD30NOV17	6826.50	6509.40	USD	-7,927.50	
31AUG17	B 1 PD30NOV17	6840.00	6509.40	USD	-8,265.00	
31AUG17	B 1 PD30NOV17	6842.00	6509.40	USD	-8,315.00	
31AUG17	S 2 PD30NOV17	6832.50	6509.40	USD	16,155.00	
31AUG17	S 1 PD30NOV17	6825.50	6509.40	USD	7,902.50	
31AUG17	B 1 PD30NOV17	6820.00	6509.40	USD	-7,765.00	
31AUG17	S 1 PD30NOV17	6824.00	6509.40	USD	7,865.00	
31AUG17	S 1 PD30NOV17	6783.00	6509.40	USD	6,840.00	
31AUG17	S 1 PD30NOV17	6786.00	6509.40	USD	6,915.00	
31AUG17	S 2 PD30NOV17	6787.50	6509.40	USD	13,905.00	
31AUG17	S 1 PD30NOV17	6792.00	6509.40	USD	7,065.00	
31AUG17	S 1 PD30NOV17	6795.50	6509.40	USD	7,152.50	
31AUG17	B 1 PD30NOV17	6800.00	6509.40	USD	-7,265.00	
31AUG17	B 5 PD30NOV17	6801.00	6509.40	USD	-36,450.00	
	NET +10 AVG BGHT 6811.56 SOLD 6795.08				**-91,200.00**	**0.00**
01SEP17	B 1 PD01DEC17	6812.50	6510.00	USD	-7,562.50	
01SEP17	B 2 PD01DEC17	6815.00	6510.00	USD	-15,250.00	
01SEP17	B 1 PD01DEC17	6815.50	6510.00	USD	-7,637.50	
01SEP17	B 1 PD01DEC17	6816.00	6510.00	USD	-7,650.00	
01SEP17	S 1 PD01DEC17	6813.00	6510.00	USD	7,575.00	
01SEP17	S 1 PD01DEC17	6815.50	6510.00	USD	7,637.50	
01SEP17	S 1 PD01DEC17	6817.00	6510.00	USD	7,675.00	
01SEP17	S 1 PD01DEC17	6818.50	6510.00	USD	7,712.50	
01SEP17	S 1 PD01DEC17	6819.00	6510.00	USD	7,725.00	
01SEP17	B 1 PD01DEC17	6828.50	6510.00	USD	-7,962.50	
01SEP17	S 1 PD01DEC17	6840.00	6510.00	USD	8,250.00	
01SEP17	S 1 PD01DEC17	6831.50	6510.00	USD	8,037.50	
01SEP17	S 1 PD01DEC17	6834.50	6510.00	USD	8,112.50	
01SEP17	S 1 PD01DEC17	6835.00	6510.00	USD	8,125.00	
01SEP17	S 3 PD01DEC17	6830.50	6510.00	USD	24,037.50	
01SEP17	B 2 PD01DEC17	6836.50	6510.00	USD	-16,325.00	
01SEP17	S 1 PD01DEC17	6833.00	6510.00	USD	8,075.00	
	NET -5 AVG BGHT 6821.94 SOLD 6826.81				**40,575.00**	**0.00**
04SEP17	S 4 PD04DEC17	6883.50	6511.29	USD	37,221.00	
04SEP17	S 1 PD04DEC17	6889.00	6511.29	USD	9,442.75	
04SEP17	B 1 PD04DEC17	6895.50	6511.29	USD	-9,605.25	
04SEP17	B 1 PD04DEC17	6897.50	6511.29	USD	-9,655.25	
04SEP17	B 2 PD04DEC17	6900.00	6511.29	USD	-19,435.50	
04SEP17	B 1 PD04DEC17	6908.00	6511.29	USD	-9,917.75	
04SEP17	B 1 PD04DEC17	6909.50	6511.29	USD	-9,955.25	
04SEP17	B 1 PD04DEC17	6911.50	6511.29	USD	-10,005.25	
04SEP17	S 1 PD04DEC17	6880.00	6511.29	USD	9,217.75	
04SEP17	S 1 PD04DEC17	6880.50	6511.29	USD	9,230.25	
04SEP17	S 2 PD04DEC17	6882.00	6511.29	USD	18,535.50	
04SEP17	S 1 PD04DEC17	6885.50	6511.29	USD	9,355.25	
04SEP17	S 1 PD04DEC17	6886.00	6511.29	USD	9,367.75	
04SEP17	S 1 PD04DEC17	6887.00	6511.29	USD	9,392.75	
04SEP17	S 1 PD04DEC17	6888.00	6511.29	USD	9,417.75	
04SEP17	S 1 PD04DEC17	6888.50	6511.29	USD	9,430.25	
04SEP17	S 1 PD04DEC17	6889.50	6511.29	USD	9,455.25	
04SEP17	S 1 PD04DEC17	6890.00	6511.29	USD	9,467.75	
04SEP17	S 3 PD04DEC17	6891.00	6511.29	USD	28,478.25	
04SEP17	S 2 PD04DEC17	6891.50	6511.29	USD	19,010.50	
04SEP17	B 3 PD04DEC17	6888.00	6511.29	USD	-28,253.25	
04SEP17	B 2 PD04DEC17	6888.50	6511.29	USD	-18,860.50	
04SEP17	S 2 PD04DEC17	6883.00	6511.29	USD	18,585.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0025U

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
04SEP17	B 1 PD04DEC17	6890.50	6511.29	USD	-9,480.25	
04SEP17	B 1 PD04DEC17	6894.00	6511.29	USD	-9,567.75	
04SEP17	B 1 PD04DEC17	6894.50	6511.29	USD	-9,580.25	
04SEP17	B 1 PD04DEC17	6905.00	6511.29	USD	-9,842.75	
04SEP17	B 1 PD04DEC17	6909.00	6511.29	USD	-9,942.75	
04SEP17	S 1 PD04DEC17	6905.00	6511.29	USD	9,842.75	
04SEP17	S 2 PD04DEC17	6905.50	6511.29	USD	19,710.50	
04SEP17	S 1 PD04DEC17	6907.50	6511.29	USD	9,905.25	
04SEP17	S 1 PD04DEC17	6908.00	6511.29	USD	9,917.75	
04SEP17	S 1 PD04DEC17	6908.50	6511.29	USD	9,930.25	
04SEP17	S 1 PD04DEC17	6911.00	6511.29	USD	9,992.75	
04SEP17	S 1 PD04DEC17	6914.00	6511.29	USD	10,067.75	
04SEP17	S 1 PD04DEC17	6917.50	6511.29	USD	10,155.25	
04SEP17	B 1 PD04DEC17	6893.00	6511.29	USD	-9,542.75	
04SEP17	S 1 PD04DEC17	6898.00	6511.29	USD	9,667.75	
04SEP17	B 1 PD04DEC17	6899.50	6511.29	USD	-9,705.25	
04SEP17	B 1 PD04DEC17	6901.50	6511.29	USD	-9,755.25	
04SEP17	S 1 PD04DEC17	6906.00	6511.29	USD	9,867.75	
04SEP17	S 2 PD04DEC17	6907.00	6511.29	USD	19,785.50	
04SEP17	B 2 PD04DEC17	6889.50	6511.29	USD	-18,910.50	
04SEP17	B 4 PD04DEC17	6890.00	6511.29	USD	-37,871.00	
04SEP17	B 1 PD04DEC17	6918.50	6511.29	USD	-10,180.25	
04SEP17	B 1 PD04DEC17	6919.50	6511.29	USD	-10,205.25	
04SEP17	B 1 PD04DEC17	6921.00	6511.29	USD	-10,242.75	
04SEP17	B 1 PD04DEC17	6915.00	6511.29	USD	-10,092.75	
04SEP17	B 1 PD04DEC17	6916.00	6511.29	USD	-10,117.75	
	NET -5 AVG BGHT 6899.32 SOLD 6894.01				**43,726.25**	**0.00**
05SEP17	B 3 PD05DEC17	6927.50	6511.71	USD	-31,184.25	
05SEP17	B 1 PD05DEC17	6928.00	6511.71	USD	-10,407.25	
05SEP17	B 3 PD05DEC17	6929.00	6511.71	USD	-31,296.75	
05SEP17	B 1 PD05DEC17	6929.50	6511.71	USD	-10,444.75	
05SEP17	B 2 PD05DEC17	6930.00	6511.71	USD	-20,914.50	
05SEP17	B 1 PD05DEC17	6930.50	6511.71	USD	-10,469.75	
05SEP17	B 1 PD05DEC17	6932.00	6511.71	USD	-10,507.25	
05SEP17	B 1 PD05DEC17	6932.50	6511.71	USD	-10,519.75	
05SEP17	B 3 PD05DEC17	6937.50	6511.71	USD	-31,934.25	
05SEP17	B 1 PD05DEC17	6938.00	6511.71	USD	-10,657.25	
05SEP17	B 1 PD05DEC17	6938.50	6511.71	USD	-10,669.75	
05SEP17	B 2 PD05DEC17	6927.00	6511.71	USD	-20,764.50	
05SEP17	S 3 PD05DEC17	6847.00	6511.71	USD	25,146.75	
05SEP17	S 1 PD05DEC17	6850.50	6511.71	USD	8,469.75	
05SEP17	S 1 PD05DEC17	6852.00	6511.71	USD	8,507.25	
	NET +15 AVG BGHT 6931.25 SOLD 6848.70				**-167,646.25**	**0.00**
06SEP17	S 4 PD06DEC17	6879.50	6512.14	USD	36,736.00	
06SEP17	S 10 PD06DEC17	6885.50	6512.14	USD	93,340.00	
06SEP17	B 1 PD06DEC17	6888.00	6512.14	USD	-9,396.50	
06SEP17	B 3 PD06DEC17	6899.00	6512.14	USD	-29,014.50	
06SEP17	B 1 PD06DEC17	6899.50	6512.14	USD	-9,684.00	
06SEP17	B 1 PD06DEC17	6901.00	6512.14	USD	-9,721.50	
06SEP17	S 1 PD06DEC17	6890.50	6512.14	USD	9,459.00	
06SEP17	S 1 PD06DEC17	6909.50	6512.14	USD	9,934.00	
06SEP17	S 2 PD06DEC17	6911.50	6512.14	USD	19,968.00	
06SEP17	B 1 PD06DEC17	6890.00	6512.14	USD	-9,446.50	
06SEP17	B 1 PD06DEC17	6888.50	6512.14	USD	-9,409.00	
06SEP17	B 1 PD06DEC17	6889.50	6512.14	USD	-9,434.00	
06SEP17	B 1 PD06DEC17	6891.00	6512.14	USD	-9,471.50	
06SEP17	B 1 PD06DEC17	6892.00	6512.14	USD	-9,496.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0025U

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
06SEP17	B 1 PD06DEC17	6892.50	6512.14	USD	-9,509.00	
06SEP17	B 4 PD06DEC17	6893.00	6512.14	USD	-38,086.00	
06SEP17	B 4 PD06DEC17	6894.00	6512.14	USD	-38,186.00	
06SEP17	B 1 PD06DEC17	6893.50	6512.14	USD	-9,534.00	
06SEP17	S 2 PD06DEC17	6912.50	6512.14	USD	20,018.00	
06SEP17	S 1 PD06DEC17	6880.00	6512.14	USD	9,196.50	
06SEP17	S 1 PD06DEC17	6882.50	6512.14	USD	9,259.00	
06SEP17	S 1 PD06DEC17	6884.00	6512.14	USD	9,296.50	
06SEP17	S 1 PD06DEC17	6886.50	6512.14	USD	9,359.00	
06SEP17	S 1 PD06DEC17	6869.00	6512.14	USD	8,921.50	
06SEP17	S 1 PD06DEC17	6870.00	6512.14	USD	8,946.50	
06SEP17	S 1 PD06DEC17	6870.50	6512.14	USD	8,959.00	
06SEP17	S 1 PD06DEC17	6873.00	6512.14	USD	9,021.50	
06SEP17	S 1 PD06DEC17	6874.00	6512.14	USD	9,046.50	
06SEP17	S 1 PD06DEC17	6875.50	6512.14	USD	9,084.00	
06SEP17	S 1 PD06DEC17	6876.00	6512.14	USD	9,096.50	
06SEP17	S 2 PD06DEC17	6883.00	6512.14	USD	18,543.00	
06SEP17	S 1 PD06DEC17	6887.50	6512.14	USD	9,384.00	
06SEP17	S 1 PD06DEC17	6892.00	6512.14	USD	9,496.50	
06SEP17	S 5 PD06DEC17	6888.00	6512.14	USD	46,982.50	
06SEP17	S 2 PD06DEC17	6889.00	6512.14	USD	18,843.00	
06SEP17	S 1 PD06DEC17	6889.50	6512.14	USD	9,434.00	
06SEP17	S 1 PD06DEC17	6893.50	6512.14	USD	9,534.00	
06SEP17	S 2 PD06DEC17	6890.00	6512.14	USD	18,893.00	
06SEP17	S 2 PD06DEC17	6885.00	6512.14	USD	18,643.00	
06SEP17	S 1 PD06DEC17	6883.50	6512.14	USD	9,284.00	
06SEP17	S 5 PD06DEC17	6886.00	6512.14	USD	46,732.50	
06SEP17	S 1 PD06DEC17	6887.00	6512.14	USD	9,371.50	
06SEP17	S 1 PD06DEC17	6896.00	6512.14	USD	9,596.50	
06SEP17	S 1 PD06DEC17	6896.50	6512.14	USD	9,609.00	
06SEP17	S 1 PD06DEC17	6898.00	6512.14	USD	9,646.50	
06SEP17	B 1 PD06DEC17	6907.50	6512.14	USD	-9,884.00	
06SEP17	S 2 PD06DEC17	6905.00	6512.14	USD	19,643.00	
06SEP17	S 1 PD06DEC17	6905.50	6512.14	USD	9,834.00	
06SEP17	S 1 PD06DEC17	6897.00	6512.14	USD	9,621.50	
	NET -40 AVG BGHT 6894.45 SOLD 6888.10				**372,460.00**	**0.00**
07SEP17	B 3 PD07DEC17	6925.00	6512.57	USD	-30,932.25	
07SEP17	B 4 PD07DEC17	6927.50	6512.57	USD	-41,493.00	
07SEP17	B 1 PD07DEC17	6928.00	6512.57	USD	-10,385.75	
07SEP17	B 2 PD07DEC17	6911.00	6512.57	USD	-19,921.50	
07SEP17	B 1 PD07DEC17	6912.50	6512.57	USD	-9,998.25	
07SEP17	B 1 PD07DEC17	6913.00	6512.57	USD	-10,010.75	
07SEP17	B 1 PD07DEC17	6920.50	6512.57	USD	-10,198.25	
07SEP17	B 3 PD07DEC17	6924.00	6512.57	USD	-30,857.25	
07SEP17	B 2 PD07DEC17	6925.50	6512.57	USD	-20,646.50	
07SEP17	B 1 PD07DEC17	6921.00	6512.57	USD	-10,210.75	
07SEP17	B 4 PD07DEC17	6922.50	6512.57	USD	-40,993.00	
07SEP17	B 2 PD07DEC17	6923.00	6512.57	USD	-20,521.50	
07SEP17	S 1 PD07DEC17	6898.50	6512.57	USD	9,648.25	
07SEP17	S 1 PD07DEC17	6899.50	6512.57	USD	9,673.25	
07SEP17	S 1 PD07DEC17	6900.00	6512.57	USD	9,685.75	
07SEP17	S 1 PD07DEC17	6901.00	6512.57	USD	9,710.75	
07SEP17	S 2 PD07DEC17	6901.50	6512.57	USD	19,446.50	
07SEP17	S 5 PD07DEC17	6902.00	6512.57	USD	48,678.75	
07SEP17	S 1 PD07DEC17	6857.50	6512.57	USD	8,623.25	
07SEP17	S 3 PD07DEC17	6859.00	6512.57	USD	25,982.25	
07SEP17	S 1 PD07DEC17	6859.50	6512.57	USD	8,673.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0025U

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
07SEP17	S 1 PD07DEC17	6866.50	6512.57	USD	8,848.25	
07SEP17	S 1 PD07DEC17	6867.50	6512.57	USD	8,873.25	
07SEP17	S 2 PD07DEC17	6870.50	6512.57	USD	17,896.50	
07SEP17	S 1 PD07DEC17	6871.00	6512.57	USD	8,960.75	
07SEP17	S 2 PD07DEC17	6871.50	6512.57	USD	17,946.50	
07SEP17	S 1 PD07DEC17	6874.00	6512.57	USD	9,035.75	
07SEP17	S 3 PD07DEC17	6876.50	6512.57	USD	27,294.75	
07SEP17	B 1 PD07DEC17	6858.00	6512.57	USD	-8,635.75	
07SEP17	B 1 PD07DEC17	6862.50	6512.57	USD	-8,748.25	
07SEP17	B 1 PD07DEC17	6863.00	6512.57	USD	-8,760.75	
07SEP17	B 3 PD07DEC17	6864.50	6512.57	USD	-26,394.75	
07SEP17	B 1 PD07DEC17	6864.00	6512.57	USD	-8,785.75	
07SEP17	B 1 PD07DEC17	6866.00	6512.57	USD	-8,835.75	
07SEP17	B 3 PD07DEC17	6873.00	6512.57	USD	-27,032.25	
07SEP17	B 3 PD07DEC17	6863.50	6512.57	USD	-26,319.75	
07SEP17	S 1 PD07DEC17	6884.50	6512.57	USD	9,298.25	
07SEP17	S 1 PD07DEC17	6887.00	6512.57	USD	9,360.75	
07SEP17	S 1 PD07DEC17	6889.00	6512.57	USD	9,410.75	
07SEP17	S 1 PD07DEC17	6883.00	6512.57	USD	9,260.75	
07SEP17	S 2 PD07DEC17	6872.00	6512.57	USD	17,971.50	
07SEP17	S 1 PD07DEC17	6889.50	6512.57	USD	9,423.25	
07SEP17	S 2 PD07DEC17	6890.50	6512.57	USD	18,896.50	
07SEP17	S 1 PD07DEC17	6865.00	6512.57	USD	8,810.75	
07SEP17	S 1 PD07DEC17	6875.50	6512.57	USD	9,073.25	
07SEP17	S 5 PD07DEC17	6878.00	6512.57	USD	45,678.75	
07SEP17	S 2 PD07DEC17	6878.50	6512.57	USD	18,296.50	
07SEP17	B 1 PD07DEC17	6894.50	6512.57	USD	-9,548.25	
07SEP17	B 2 PD07DEC17	6897.50	6512.57	USD	-19,246.50	
07SEP17	B 1 PD07DEC17	6896.50	6512.57	USD	-9,598.25	
07SEP17	S 4 PD07DEC17	6898.00	6512.57	USD	38,543.00	
07SEP17	S 2 PD07DEC17	6899.00	6512.57	USD	19,321.50	
07SEP17	S 2 PD07DEC17	6902.50	6512.57	USD	19,496.50	
	NET -10 AVG BGHT 6901.48 SOLD 6883.75				**73,745.00**	**0.00**
08SEP17	B 3 PD08DEC17	6925.50	6513.00	USD	-30,937.50	
08SEP17	B 2 PD08DEC17	6926.50	6513.00	USD	-20,675.00	
08SEP17	B 1 PD08DEC17	6928.00	6513.00	USD	-10,375.00	
08SEP17	S 1 PD08DEC17	6925.50	6513.00	USD	10,312.50	
08SEP17	S 1 PD08DEC17	6821.00	6513.00	USD	7,700.00	
08SEP17	S 1 PD08DEC17	6821.50	6513.00	USD	7,712.50	
08SEP17	S 2 PD08DEC17	6822.00	6513.00	USD	15,450.00	
08SEP17	S 1 PD08DEC17	6825.50	6513.00	USD	7,812.50	
08SEP17	S 2 PD08DEC17	6832.50	6513.00	USD	15,975.00	
08SEP17	S 1 PD08DEC17	6833.00	6513.00	USD	8,000.00	
08SEP17	S 2 PD08DEC17	6835.50	6513.00	USD	16,125.00	
08SEP17	S 2 PD08DEC17	6836.50	6513.00	USD	16,175.00	
08SEP17	S 1 PD08DEC17	6837.50	6513.00	USD	8,112.50	
08SEP17	S 1 PD08DEC17	6839.00	6513.00	USD	8,150.00	
08SEP17	B 1 PD08DEC17	6825.50	6513.00	USD	-7,812.50	
08SEP17	S 1 PD08DEC17	6823.50	6513.00	USD	7,762.50	
08SEP17	B 1 PD08DEC17	6783.50	6513.00	USD	-6,762.50	
08SEP17	B 3 PD08DEC17	6784.50	6513.00	USD	-20,362.50	
08SEP17	B 1 PD08DEC17	6787.00	6513.00	USD	-6,850.00	
08SEP17	B 1 PD08DEC17	6788.00	6513.00	USD	-6,875.00	
08SEP17	B 1 PD08DEC17	6802.00	6513.00	USD	-7,225.00	
08SEP17	B 1 PD08DEC17	6805.00	6513.00	USD	-7,300.00	
08SEP17	B 1 PD08DEC17	6805.50	6513.00	USD	-7,312.50	
08SEP17	B 1 PD08DEC17	6806.00	6513.00	USD	-7,325.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0025U

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
08SEP17	S 1 PD08DEC17	6837.00	6513.00	USD	8,100.00	
08SEP17	B 2 PD08DEC17	6793.00	6513.00	USD	-14,000.00	
08SEP17	B 1 PD08DEC17	6799.50	6513.00	USD	-7,162.50	
08SEP17	B 1 PD08DEC17	6804.00	6513.00	USD	-7,275.00	
08SEP17	B 1 PD08DEC17	6807.00	6513.00	USD	-7,350.00	
08SEP17	S 1 PD08DEC17	6738.00	6513.00	USD	5,625.00	
08SEP17	S 2 PD08DEC17	6739.00	6513.00	USD	11,300.00	
08SEP17	S 1 PD08DEC17	6740.00	6513.00	USD	5,675.00	
08SEP17	S 1 PD08DEC17	6742.50	6513.00	USD	5,737.50	
	NET 0 AVG BGHT 6832.27 SOLD 6814.32				**-9,875.00**	**0.00**
11SEP17	B 1 PD11DEC17	6679.00	6514.19	USD	-4,120.25	
11SEP17	B 1 PD11DEC17	6689.00	6514.19	USD	-4,370.25	
11SEP17	B 1 PD11DEC17	6693.50	6514.19	USD	-4,482.75	
11SEP17	B 1 PD11DEC17	6689.50	6514.19	USD	-4,382.75	
11SEP17	B 1 PD11DEC17	6691.50	6514.19	USD	-4,432.75	
11SEP17	B 1 PD11DEC17	6692.00	6514.19	USD	-4,445.25	
11SEP17	B 4 PD11DEC17	6675.00	6514.19	USD	-16,081.00	
11SEP17	B 5 PD11DEC17	6703.00	6514.19	USD	-23,601.25	
11SEP17	B 2 PD11DEC17	6757.50	6514.19	USD	-12,165.50	
11SEP17	B 3 PD11DEC17	6758.50	6514.19	USD	-18,323.25	
11SEP17	B 2 PD11DEC17	6730.50	6514.19	USD	-10,815.50	
11SEP17	B 3 PD11DEC17	6732.00	6514.19	USD	-16,335.75	
11SEP17	S 1 PD11DEC17	6746.00	6514.19	USD	5,795.25	
11SEP17	S 5 PD11DEC17	6745.00	6514.19	USD	28,851.25	
11SEP17	S 4 PD11DEC17	6747.00	6514.19	USD	23,281.00	
	NET +15 AVG BGHT 6711.88 SOLD 6745.90				**-65,628.75**	**0.00**
12SEP17	S 2 PD12DEC17	6695.00	6514.58	USD	9,021.00	
12SEP17	S 1 PD12DEC17	6696.00	6514.58	USD	4,535.50	
12SEP17	S 1 PD12DEC17	6698.00	6514.58	USD	4,585.50	
12SEP17	S 1 PD12DEC17	6700.00	6514.58	USD	4,635.50	
12SEP17	S 3 PD12DEC17	6700.50	6514.58	USD	13,944.00	
12SEP17	S 1 PD12DEC17	6701.00	6514.58	USD	4,660.50	
12SEP17	S 1 PD12DEC17	6701.50	6514.58	USD	4,673.00	
12SEP17	B 1 PD12DEC17	6721.50	6514.58	USD	-5,173.00	
12SEP17	B 1 PD12DEC17	6725.00	6514.58	USD	-5,260.50	
12SEP17	B 2 PD12DEC17	6728.50	6514.58	USD	-10,696.00	
12SEP17	B 4 PD12DEC17	6729.50	6514.58	USD	-21,492.00	
12SEP17	B 1 PD12DEC17	6730.00	6514.58	USD	-5,385.50	
12SEP17	B 1 PD12DEC17	6735.50	6514.58	USD	-5,523.00	
12SEP17	B 1 PD12DEC17	6736.00	6514.58	USD	-5,535.50	
12SEP17	B 1 PD12DEC17	6737.00	6514.58	USD	-5,560.50	
12SEP17	B 1 PD12DEC17	6737.50	6514.58	USD	-5,573.00	
12SEP17	B 1 PD12DEC17	6738.00	6514.58	USD	-5,585.50	
12SEP17	B 1 PD12DEC17	6739.00	6514.58	USD	-5,610.50	
12SEP17	B 1 PD12DEC17	6674.00	6514.58	USD	-3,985.50	
12SEP17	B 1 PD12DEC17	6676.50	6514.58	USD	-4,048.00	
12SEP17	B 1 PD12DEC17	6690.50	6514.58	USD	-4,398.00	
12SEP17	B 1 PD12DEC17	6692.00	6514.58	USD	-4,435.50	
12SEP17	B 1 PD12DEC17	6676.00	6514.58	USD	-4,035.50	
12SEP17	B 1 PD12DEC17	6646.00	6514.58	USD	-3,285.50	
12SEP17	B 1 PD12DEC17	6646.50	6514.58	USD	-3,298.00	
12SEP17	B 1 PD12DEC17	6645.50	6514.58	USD	-3,273.00	
12SEP17	B 1 PD12DEC17	6648.00	6514.58	USD	-3,335.50	
12SEP17	B 1 PD12DEC17	6651.50	6514.58	USD	-3,423.00	
12SEP17	B 1 PD12DEC17	6653.00	6514.58	USD	-3,460.50	
12SEP17	S 1 PD12DEC17	6642.00	6514.58	USD	3,185.50	
12SEP17	S 2 PD12DEC17	6643.00	6514.58	USD	6,421.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0025U

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
12SEP17	S 1 PD12DEC17	6644.00	6514.58	USD	3,235.50	
12SEP17	S 1 PD12DEC17	6648.00	6514.58	USD	3,335.50	
12SEP17	S 3 PD12DEC17	6645.00	6514.58	USD	9,781.50	
12SEP17	S 1 PD12DEC17	6646.00	6514.58	USD	3,285.50	
12SEP17	S 1 PD12DEC17	6646.50	6514.58	USD	3,298.00	
12SEP17	S 1 PD12DEC17	6647.00	6514.58	USD	3,310.50	
12SEP17	S 1 PD12DEC17	6650.00	6514.58	USD	3,385.50	
12SEP17	S 1 PD12DEC17	6651.00	6514.58	USD	3,410.50	
12SEP17	S 2 PD12DEC17	6652.00	6514.58	USD	6,871.00	
12SEP17	S 1 PD12DEC17	6680.00	6514.58	USD	4,135.50	
12SEP17	S 1 PD12DEC17	6682.50	6514.58	USD	4,198.00	
12SEP17	S 2 PD12DEC17	6661.50	6514.58	USD	7,346.00	
12SEP17	S 2 PD12DEC17	6668.00	6514.58	USD	7,671.00	
12SEP17	S 1 PD12DEC17	6668.50	6514.58	USD	3,848.00	
12SEP17	S 1 PD12DEC17	6670.50	6514.58	USD	3,898.00	
12SEP17	S 2 PD12DEC17	6659.50	6514.58	USD	7,246.00	
12SEP17	S 2 PD12DEC17	6655.00	6514.58	USD	7,021.00	
12SEP17	S 1 PD12DEC17	6656.00	6514.58	USD	3,535.50	
12SEP17	S 2 PD12DEC17	6656.50	6514.58	USD	7,096.00	
12SEP17	S 1 PD12DEC17	6657.00	6514.58	USD	3,560.50	
12SEP17	S 1 PD12DEC17	6659.00	6514.58	USD	3,610.50	
12SEP17	B 1 PD12DEC17	6667.50	6514.58	USD	-3,823.00	
12SEP17	B 1 PD12DEC17	6669.00	6514.58	USD	-3,860.50	
12SEP17	B 1 PD12DEC17	6672.00	6514.58	USD	-3,935.50	
12SEP17	B 4 PD12DEC17	6670.00	6514.58	USD	-15,542.00	
12SEP17	B 1 PD12DEC17	6670.50	6514.58	USD	-3,898.00	
12SEP17	B 1 PD12DEC17	6671.00	6514.58	USD	-3,910.50	
12SEP17	B 2 PD12DEC17	6673.00	6514.58	USD	-7,921.00	
	NET -5 AVG BGHT 6693.24 SOLD 6665.76				**-6,522.50**	**0.00**
13SEP17	B 2 PD13DEC17	6607.00	6514.98	USD	-4,601.00	
13SEP17	B 1 PD13DEC17	6611.00	6514.98	USD	-2,400.50	
13SEP17	B 1 PD13DEC17	6594.00	6514.98	USD	-1,975.50	
13SEP17	B 2 PD13DEC17	6591.50	6514.98	USD	-3,826.00	
13SEP17	B 5 PD13DEC17	6590.50	6514.98	USD	-9,440.00	
13SEP17	B 3 PD13DEC17	6592.00	6514.98	USD	-5,776.50	
13SEP17	B 1 PD13DEC17	6591.00	6514.98	USD	-1,900.50	
13SEP17	B 3 PD13DEC17	6590.00	6514.98	USD	-5,626.50	
13SEP17	B 3 PD13DEC17	6593.00	6514.98	USD	-5,851.50	
13SEP17	B 1 PD13DEC17	6595.50	6514.98	USD	-2,013.00	
13SEP17	B 3 PD13DEC17	6593.50	6514.98	USD	-5,889.00	
13SEP17	B 4 PD13DEC17	6596.00	6514.98	USD	-8,102.00	
13SEP17	B 1 PD13DEC17	6579.50	6514.98	USD	-1,613.00	
13SEP17	B 1 PD13DEC17	6580.00	6514.98	USD	-1,625.50	
13SEP17	B 1 PD13DEC17	6581.00	6514.98	USD	-1,650.50	
13SEP17	B 1 PD13DEC17	6562.50	6514.98	USD	-1,188.00	
13SEP17	B 3 PD13DEC17	6563.00	6514.98	USD	-3,601.50	
13SEP17	B 1 PD13DEC17	6561.00	6514.98	USD	-1,150.50	
13SEP17	B 1 PD13DEC17	6559.00	6514.98	USD	-1,100.50	
13SEP17	B 4 PD13DEC17	6560.00	6514.98	USD	-4,502.00	
13SEP17	B 1 PD13DEC17	6564.50	6514.98	USD	-1,238.00	
13SEP17	B 1 PD13DEC17	6572.00	6514.98	USD	-1,425.50	
13SEP17	B 1 PD13DEC17	6574.50	6514.98	USD	-1,488.00	
13SEP17	B 1 PD13DEC17	6565.50	6514.98	USD	-1,263.00	
13SEP17	B 3 PD13DEC17	6570.00	6514.98	USD	-4,126.50	
13SEP17	B 1 PD13DEC17	6602.00	6514.98	USD	-2,175.50	
13SEP17	S 1 PD13DEC17	6544.00	6514.98	USD	725.50	
13SEP17	B 1 PD13DEC17	6550.50	6514.98	USD	-888.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0025U

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
13SEP17	B 1 PD13DEC17	6552.50	6514.98	USD	-938.00	
13SEP17	S 1 PD13DEC17	6553.00	6514.98	USD	950.50	
13SEP17	S 1 PD13DEC17	6555.00	6514.98	USD	1,000.50	
13SEP17	S 1 PD13DEC17	6549.00	6514.98	USD	850.50	
13SEP17	B 1 PD13DEC17	6550.00	6514.98	USD	-875.50	
13SEP17	S 4 PD13DEC17	6554.00	6514.98	USD	3,902.00	
13SEP17	S 2 PD13DEC17	6554.50	6514.98	USD	1,976.00	
13SEP17	B 2 PD13DEC17	6557.00	6514.98	USD	-2,101.00	
	NET +45 AVG BGHT 6580.69 SOLD 6552.60				**-80,947.50**	**0.00**
14SEP17	B 1 PD14DEC17	6529.50	6515.38	USD	-353.00	
14SEP17	B 2 PD14DEC17	6530.00	6515.38	USD	-731.00	
14SEP17	B 1 PD14DEC17	6538.00	6515.38	USD	-565.50	
14SEP17	B 1 PD14DEC17	6543.00	6515.38	USD	-690.50	
14SEP17	S 1 PD14DEC17	6456.00	6515.38	USD	-1,484.50	
14SEP17	S 1 PD14DEC17	6456.50	6515.38	USD	-1,472.00	
14SEP17	S 2 PD14DEC17	6457.00	6515.38	USD	-2,919.00	
14SEP17	S 1 PD14DEC17	6457.50	6515.38	USD	-1,447.00	
14SEP17	S 1 PD14DEC17	6458.50	6515.38	USD	-1,422.00	
14SEP17	S 1 PD14DEC17	6459.00	6515.38	USD	-1,409.50	
14SEP17	S 3 PD14DEC17	6460.00	6515.38	USD	-4,153.50	
14SEP17	B 1 PD14DEC17	6465.00	6515.38	USD	1,259.50	
14SEP17	S 1 PD14DEC17	6471.50	6515.38	USD	-1,097.00	
14SEP17	S 1 PD14DEC17	6512.50	6515.38	USD	-72.00	
14SEP17	S 1 PD14DEC17	6514.00	6515.38	USD	-34.50	
14SEP17	S 1 PD14DEC17	6527.00	6515.38	USD	290.50	
14SEP17	S 1 PD14DEC17	6522.00	6515.38	USD	165.50	
14SEP17	S 1 PD14DEC17	6523.00	6515.38	USD	190.50	
14SEP17	S 1 PD14DEC17	6524.50	6515.38	USD	228.00	
14SEP17	S 1 PD14DEC17	6517.50	6515.38	USD	53.00	
14SEP17	S 1 PD14DEC17	6520.00	6515.38	USD	115.50	
14SEP17	S 4 PD14DEC17	6506.00	6515.38	USD	-938.00	
14SEP17	S 2 PD14DEC17	6500.00	6515.38	USD	-769.00	
14SEP17	S 3 PD14DEC17	6502.50	6515.38	USD	-966.00	
14SEP17	S 3 PD14DEC17	6508.50	6515.38	USD	-516.00	
14SEP17	S 1 PD14DEC17	6509.50	6515.38	USD	-147.00	
14SEP17	S 3 PD14DEC17	6497.00	6515.38	USD	-1,378.50	
14SEP17	S 1 PD14DEC17	6498.50	6515.38	USD	-422.00	
14SEP17	S 1 PD14DEC17	6499.00	6515.38	USD	-409.50	
14SEP17	S 3 PD14DEC17	6499.50	6515.38	USD	-1,191.00	
14SEP17	S 3 PD14DEC17	6500.50	6515.38	USD	-1,116.00	
14SEP17	S 2 PD14DEC17	6501.50	6515.38	USD	-694.00	
14SEP17	S 1 PD14DEC17	6503.00	6515.38	USD	-309.50	
14SEP17	S 7 PD14DEC17	6504.50	6515.38	USD	-1,904.00	
14SEP17	S 2 PD14DEC17	6502.00	6515.38	USD	-669.00	
14SEP17	S 4 PD14DEC17	6504.00	6515.38	USD	-1,138.00	
14SEP17	S 2 PD14DEC17	6505.50	6515.38	USD	-494.00	
	NET -55 AVG BGHT 6522.58 SOLD 6497.33				**-28,610.00**	**0.00**
15SEP17	B 1 PD15DEC17	6505.50	6515.77	USD	256.75	
15SEP17	B 1 PD15DEC17	6508.00	6515.77	USD	194.25	
15SEP17	B 1 PD15DEC17	6509.00	6515.77	USD	169.25	
15SEP17	B 2 PD15DEC17	6506.00	6515.77	USD	488.50	
15SEP17	B 2 PD15DEC17	6507.00	6515.77	USD	438.50	
15SEP17	B 1 PD15DEC17	6501.00	6515.77	USD	369.25	
15SEP17	S 3 PD15DEC17	6494.00	6515.77	USD	-1,632.75	
15SEP17	S 1 PD15DEC17	6483.50	6515.77	USD	-806.75	
15SEP17	S 1 PD15DEC17	6488.00	6515.77	USD	-694.25	
15SEP17	S 2 PD15DEC17	6488.50	6515.77	USD	-1,363.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0025U

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
15SEP17	S 3 PD15DEC17	6491.00	6515.77	USD	-1,857.75	
15SEP17	S 1 PD15DEC17	6492.00	6515.77	USD	-594.25	
15SEP17	S 1 PD15DEC17	6492.50	6515.77	USD	-581.75	
15SEP17	S 1 PD15DEC17	6493.00	6515.77	USD	-569.25	
	NET -5 AVG BGHT 6506.19 SOLD 6490.85				**-6,183.75**	**0.00**
18SEP17	S 1 PD18DEC17	6518.00	6516.96	USD	26.00	
18SEP17	S 1 PD18DEC17	6519.00	6516.96	USD	51.00	
18SEP17	S 2 PD18DEC17	6516.00	6516.96	USD	-48.00	
18SEP17	B 4 PD18DEC17	6515.00	6516.96	USD	196.00	
18SEP17	B 1 PD18DEC17	6548.00	6516.96	USD	-776.00	
18SEP17	B 1 PD18DEC17	6549.00	6516.96	USD	-801.00	
18SEP17	B 1 PD18DEC17	6550.50	6516.96	USD	-838.50	
18SEP17	B 1 PD18DEC17	6551.00	6516.96	USD	-851.00	
18SEP17	B 1 PD18DEC17	6552.50	6516.96	USD	-888.50	
18SEP17	B 3 PD18DEC17	6538.50	6516.96	USD	-1,615.50	
18SEP17	B 2 PD18DEC17	6544.00	6516.96	USD	-1,352.00	
18SEP17	B 1 PD18DEC17	6531.50	6516.96	USD	-363.50	
18SEP17	B 2 PD18DEC17	6536.00	6516.96	USD	-952.00	
18SEP17	B 1 PD18DEC17	6537.00	6516.96	USD	-501.00	
18SEP17	B 1 PD18DEC17	6537.50	6516.96	USD	-513.50	
18SEP17	S 2 PD18DEC17	6530.50	6516.96	USD	677.00	
18SEP17	S 1 PD18DEC17	6524.50	6516.96	USD	188.50	
18SEP17	S 2 PD18DEC17	6524.00	6516.96	USD	352.00	
18SEP17	S 1 PD18DEC17	6536.00	6516.96	USD	476.00	
18SEP17	S 1 PD18DEC17	6537.50	6516.96	USD	513.50	
18SEP17	S 1 PD18DEC17	6538.50	6516.96	USD	538.50	
18SEP17	S 1 PD18DEC17	6537.00	6516.96	USD	501.00	
18SEP17	S 1 PD18DEC17	6540.00	6516.96	USD	576.00	
	NET +5 AVG BGHT 6536.45 SOLD 6527.96				**-5,405.00**	**0.00**
19SEP17	B 5 PD19DEC17	6542.50	6517.35	USD	-3,143.75	
19SEP17	S 1 PD19DEC17	6525.00	6517.35	USD	191.25	
19SEP17	S 1 PD19DEC17	6528.00	6517.35	USD	266.25	
19SEP17	S 1 PD19DEC17	6528.50	6517.35	USD	278.75	
19SEP17	S 1 PD19DEC17	6530.50	6517.35	USD	328.75	
19SEP17	S 1 PD19DEC17	6532.50	6517.35	USD	378.75	
19SEP17	B 2 PD19DEC17	6541.00	6517.35	USD	-1,182.50	
19SEP17	B 1 PD19DEC17	6541.50	6517.35	USD	-603.75	
19SEP17	B 1 PD19DEC17	6543.00	6517.35	USD	-641.25	
19SEP17	B 1 PD19DEC17	6544.50	6517.35	USD	-678.75	
19SEP17	B 1 PD19DEC17	6522.50	6517.35	USD	-128.75	
19SEP17	B 1 PD19DEC17	6523.00	6517.35	USD	-141.25	
19SEP17	S 1 PD19DEC17	6525.50	6517.35	USD	203.75	
19SEP17	S 1 PD19DEC17	6527.00	6517.35	USD	241.25	
19SEP17	B 1 PD19DEC17	6524.50	6517.35	USD	-178.75	
19SEP17	B 2 PD19DEC17	6525.50	6517.35	USD	-407.50	
19SEP17	B 1 PD19DEC17	6538.00	6517.35	USD	-516.25	
19SEP17	B 1 PD19DEC17	6539.00	6517.35	USD	-541.25	
19SEP17	B 1 PD19DEC17	6540.00	6517.35	USD	-566.25	
19SEP17	B 3 PD19DEC17	6534.50	6517.35	USD	-1,286.25	
19SEP17	B 2 PD19DEC17	6535.00	6517.35	USD	-882.50	
19SEP17	B 1 PD19DEC17	6526.50	6517.35	USD	-228.75	
19SEP17	B 1 PD19DEC17	6527.50	6517.35	USD	-253.75	
19SEP17	B 1 PD19DEC17	6516.50	6517.35	USD	21.25	
19SEP17	B 1 PD19DEC17	6515.00	6517.35	USD	58.75	
19SEP17	B 2 PD19DEC17	6517.50	6517.35	USD	-7.50	
19SEP17	B 1 PD19DEC17	6518.50	6517.35	USD	-28.75	
19SEP17	B 5 PD19DEC17	6525.00	6517.35	USD	-956.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0025U

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
19SEP17	B 1 PD19DEC17	6526.00	6517.35	USD	-216.25	
19SEP17	B 1 PD19DEC17	6529.00	6517.35	USD	-291.25	
19SEP17	B 1 PD19DEC17	6530.50	6517.35	USD	-328.75	
19SEP17	B 2 PD19DEC17	6532.00	6517.35	USD	-732.50	
19SEP17	B 1 PD19DEC17	6532.50	6517.35	USD	-378.75	
19SEP17	B 3 PD19DEC17	6529.50	6517.35	USD	-911.25	
19SEP17	S 1 PD19DEC17	6538.00	6517.35	USD	516.25	
19SEP17	B 3 PD19DEC17	6528.50	6517.35	USD	-836.25	
19SEP17	B 2 PD19DEC17	6530.00	6517.35	USD	-632.50	
19SEP17	B 2 PD19DEC17	6531.00	6517.35	USD	-682.50	
19SEP17	B 2 PD19DEC17	6531.50	6517.35	USD	-707.50	
19SEP17	B 1 PD19DEC17	6533.50	6517.35	USD	-403.75	
	NET +46 AVG BGHT 6530.99 SOLD 6529.38				**-16,010.00**	**0.00**
20SEP17	S 1 PD20DEC17	6544.00	6517.75	USD	656.25	
20SEP17	S 1 PD20DEC17	6553.50	6517.75	USD	893.75	
20SEP17	S 1 PD20DEC17	6556.00	6517.75	USD	956.25	
20SEP17	S 2 PD20DEC17	6558.00	6517.75	USD	2,012.50	
20SEP17	S 1 PD20DEC17	6564.50	6517.75	USD	1,168.75	
20SEP17	S 1 PD20DEC17	6565.50	6517.75	USD	1,193.75	
20SEP17	S 3 PD20DEC17	6569.00	6517.75	USD	3,843.75	
20SEP17	S 2 PD20DEC17	6561.00	6517.75	USD	2,162.50	
20SEP17	S 1 PD20DEC17	6562.00	6517.75	USD	1,106.25	
20SEP17	S 1 PD20DEC17	6563.00	6517.75	USD	1,131.25	
20SEP17	S 1 PD20DEC17	6564.00	6517.75	USD	1,156.25	
20SEP17	S 1 PD20DEC17	6566.50	6517.75	USD	1,218.75	
20SEP17	S 1 PD20DEC17	6523.00	6517.75	USD	131.25	
20SEP17	S 1 PD20DEC17	6523.50	6517.75	USD	143.75	
20SEP17	S 2 PD20DEC17	6524.00	6517.75	USD	312.50	
20SEP17	S 1 PD20DEC17	6525.00	6517.75	USD	181.25	
	NET -21 AVG SOLD 6552.55				**18,268.75**	**0.00**
21SEP17	S 1 PD21DEC17	6466.50	6516.75	USD	-1,256.25	
21SEP17	S 3 PD21DEC17	6473.00	6516.75	USD	-3,281.25	
21SEP17	S 1 PD21DEC17	6474.00	6516.75	USD	-1,068.75	
21SEP17	S 1 PD21DEC17	6475.50	6516.75	USD	-1,031.25	
21SEP17	S 3 PD21DEC17	6476.00	6516.75	USD	-3,056.25	
21SEP17	S 1 PD21DEC17	6479.50	6516.75	USD	-931.25	
21SEP17	S 1 PD21DEC17	6483.50	6516.75	USD	-831.25	
21SEP17	S 1 PD21DEC17	6485.00	6516.75	USD	-793.75	
21SEP17	S 1 PD21DEC17	6457.50	6516.75	USD	-1,481.25	
21SEP17	S 1 PD21DEC17	6459.50	6516.75	USD	-1,431.25	
21SEP17	S 1 PD21DEC17	6462.50	6516.75	USD	-1,356.25	
21SEP17	S 1 PD21DEC17	6463.00	6516.75	USD	-1,343.75	
21SEP17	S 1 PD21DEC17	6463.50	6516.75	USD	-1,331.25	
21SEP17	S 1 PD21DEC17	6464.50	6516.75	USD	-1,306.25	
21SEP17	S 1 PD21DEC17	6467.50	6516.75	USD	-1,231.25	
21SEP17	S 1 PD21DEC17	6454.50	6516.75	USD	-1,556.25	
21SEP17	S 1 PD21DEC17	6451.00	6516.75	USD	-1,643.75	
21SEP17	S 1 PD21DEC17	6454.00	6516.75	USD	-1,568.75	
21SEP17	S 1 PD21DEC17	6455.00	6516.75	USD	-1,543.75	
21SEP17	B 1 PD21DEC17	6457.50	6516.75	USD	1,481.25	
21SEP17	B 1 PD21DEC17	6451.50	6516.75	USD	1,631.25	
21SEP17	B 1 PD21DEC17	6453.50	6516.75	USD	1,581.25	
21SEP17	B 1 PD21DEC17	6458.00	6516.75	USD	1,468.75	
21SEP17	B 3 PD21DEC17	6458.50	6516.75	USD	4,368.75	
21SEP17	B 2 PD21DEC17	6446.00	6516.75	USD	3,537.50	
21SEP17	B 1 PD21DEC17	6446.50	6516.75	USD	1,756.25	
21SEP17	B 1 PD21DEC17	6447.00	6516.75	USD	1,743.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0025U

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
21SEP17	B 1 PD21DEC17	6448.00	6516.75	USD	1,718.75	
21SEP17	B 2 PD21DEC17	6448.50	6516.75	USD	3,412.50	
21SEP17	B 2 PD21DEC17	6454.00	6516.75	USD	3,137.50	
21SEP17	B 2 PD21DEC17	6456.50	6516.75	USD	3,012.50	
21SEP17	B 2 PD21DEC17	6445.00	6516.75	USD	3,587.50	
21SEP17	S 1 PD21DEC17	6465.00	6516.75	USD	-1,293.75	
21SEP17	B 1 PD21DEC17	6487.00	6516.75	USD	743.75	
21SEP17	B 1 PD21DEC17	6483.00	6516.75	USD	843.75	
21SEP17	B 1 PD21DEC17	6464.50	6516.75	USD	1,306.25	
21SEP17	B 1 PD21DEC17	6465.00	6516.75	USD	1,293.75	
21SEP17	B 1 PD21DEC17	6472.00	6516.75	USD	1,118.75	
21SEP17	B 2 PD21DEC17	6474.00	6516.75	USD	2,137.50	
21SEP17	B 1 PD21DEC17	6477.00	6516.75	USD	993.75	
21SEP17	B 2 PD21DEC17	6482.00	6516.75	USD	1,737.50	
21SEP17	B 2 PD21DEC17	6471.00	6516.75	USD	2,287.50	
21SEP17	B 2 PD21DEC17	6475.00	6516.75	USD	2,087.50	
21SEP17	B 2 PD21DEC17	6480.00	6516.75	USD	1,837.50	
21SEP17	B 8 PD21DEC17	6469.50	6516.75	USD	9,450.00	
21SEP17	B 6 PD21DEC17	6470.00	6516.75	USD	7,012.50	
21SEP17	B 1 PD21DEC17	6459.00	6516.75	USD	1,443.75	
21SEP17	B 1 PD21DEC17	6455.50	6516.75	USD	1,531.25	
21SEP17	B 2 PD21DEC17	6453.00	6516.75	USD	3,187.50	
21SEP17	B 3 PD21DEC17	6480.50	6516.75	USD	2,718.75	
21SEP17	B 1 PD21DEC17	6427.00	6516.75	USD	2,243.75	
21SEP17	B 1 PD21DEC17	6451.00	6516.75	USD	1,643.75	
	NET +35 AVG BGHT 6463.83 SOLD 6467.85				**48,718.75**	**0.00**
22SEP17	S 1 PD22DEC17	6399.00	6515.75	USD	-2,918.75	
22SEP17	S 3 PD22DEC17	6400.00	6515.75	USD	-8,681.25	
22SEP17	S 1 PD22DEC17	6403.00	6515.75	USD	-2,818.75	
22SEP17	S 1 PD22DEC17	6385.00	6515.75	USD	-3,268.75	
22SEP17	S 1 PD22DEC17	6393.50	6515.75	USD	-3,056.25	
22SEP17	S 2 PD22DEC17	6395.50	6515.75	USD	-6,012.50	
22SEP17	S 1 PD22DEC17	6396.50	6515.75	USD	-2,981.25	
22SEP17	S 1 PD22DEC17	6446.00	6515.75	USD	-1,743.75	
22SEP17	B 1 PD22DEC17	6449.00	6515.75	USD	1,668.75	
22SEP17	S 5 PD22DEC17	6470.00	6515.75	USD	-5,718.75	
	NET -15 AVG BGHT 6449.00 SOLD 6422.75				**-35,531.25**	**0.00**
25SEP17	B 1 PD27DEC17	6475.50	6517.52	USD	1,050.50	
25SEP17	B 1 PD27DEC17	6479.50	6517.52	USD	950.50	
25SEP17	B 2 PD27DEC17	6476.50	6517.52	USD	2,051.00	
25SEP17	B 1 PD27DEC17	6473.50	6517.52	USD	1,100.50	
25SEP17	S 1 PD27DEC17	6458.00	6517.52	USD	-1,488.00	
25SEP17	S 1 PD27DEC17	6459.00	6517.52	USD	-1,463.00	
25SEP17	S 1 PD27DEC17	6459.50	6517.52	USD	-1,450.50	
25SEP17	S 1 PD27DEC17	6456.00	6517.52	USD	-1,538.00	
25SEP17	S 1 PD27DEC17	6460.00	6517.52	USD	-1,438.00	
25SEP17	S 2 PD27DEC17	6463.50	6517.52	USD	-2,701.00	
25SEP17	S 1 PD27DEC17	6464.50	6517.52	USD	-1,325.50	
25SEP17	S 1 PD27DEC17	6466.00	6517.52	USD	-1,288.00	
25SEP17	S 1 PD27DEC17	6451.00	6517.52	USD	-1,663.00	
25SEP17	S 4 PD27DEC17	6452.00	6517.52	USD	-6,552.00	
25SEP17	S 2 PD27DEC17	6453.00	6517.52	USD	-3,226.00	
25SEP17	B 1 PD27DEC17	6453.00	6517.52	USD	1,613.00	
25SEP17	B 1 PD27DEC17	6446.00	6517.52	USD	1,788.00	
25SEP17	S 1 PD27DEC17	6445.50	6517.52	USD	-1,800.50	
26SEP17	B 1 PD27DEC17	6479.00	6517.52	USD	963.00	
26SEP17	B 1 PD27DEC17	6479.50	6517.52	USD	950.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0025U

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
26SEP17	B 8 PD27DEC17	6480.00	6517.52	USD	7,504.00	
26SEP17	B 1 PD27DEC17	6497.50	6517.52	USD	500.50	
26SEP17	B 1 PD27DEC17	6501.50	6517.52	USD	400.50	
26SEP17	S 1 PD27DEC17	6496.00	6517.52	USD	-538.00	
26SEP17	S 2 PD27DEC17	6501.00	6517.52	USD	-826.00	
26SEP17	S 1 PD27DEC17	6503.50	6517.52	USD	-350.50	
26SEP17	S 3 PD27DEC17	6504.50	6517.52	USD	-976.50	
26SEP17	S 1 PD27DEC17	6516.50	6517.52	USD	-25.50	
26SEP17	S 2 PD27DEC17	6517.50	6517.52	USD	-1.00	
26SEP17	S 1 PD27DEC17	6522.00	6517.52	USD	112.00	
26SEP17	S 1 PD27DEC17	6525.00	6517.52	USD	187.00	
26SEP17	S 1 PD27DEC17	6510.00	6517.52	USD	-188.00	
26SEP17	S 1 PD27DEC17	6512.00	6517.52	USD	-138.00	
26SEP17	B 2 PD27DEC17	6500.00	6517.52	USD	876.00	
26SEP17	B 1 PD27DEC17	6505.00	6517.52	USD	313.00	
26SEP17	B 2 PD27DEC17	6508.50	6517.52	USD	451.00	
26SEP17	B 1 PD27DEC17	6512.00	6517.52	USD	138.00	
26SEP17	B 2 PD27DEC17	6513.50	6517.52	USD	201.00	
26SEP17	B 1 PD27DEC17	6498.50	6517.52	USD	475.50	
26SEP17	B 1 PD27DEC17	6499.00	6517.52	USD	463.00	
26SEP17	B 1 PD27DEC17	6501.00	6517.52	USD	413.00	
26SEP17	B 2 PD27DEC17	6503.00	6517.52	USD	726.00	
26SEP17	B 2 PD27DEC17	6507.00	6517.52	USD	526.00	
26SEP17	B 2 PD27DEC17	6508.00	6517.52	USD	476.00	
26SEP17	B 1 PD27DEC17	6509.00	6517.52	USD	213.00	
26SEP17	B 2 PD27DEC17	6502.00	6517.52	USD	776.00	
26SEP17	B 1 PD27DEC17	6502.50	6517.52	USD	375.50	
26SEP17	B 1 PD27DEC17	6503.50	6517.52	USD	350.50	
26SEP17	S 1 PD27DEC17	6415.00	6517.52	USD	-2,563.00	
26SEP17	S 2 PD27DEC17	6407.00	6517.52	USD	-5,526.00	
26SEP17	S 2 PD27DEC17	6407.50	6517.52	USD	-5,501.00	
26SEP17	S 1 PD27DEC17	6408.50	6517.52	USD	-2,725.50	
26SEP17	S 1 PD27DEC17	6409.00	6517.52	USD	-2,713.00	
26SEP17	S 1 PD27DEC17	6410.50	6517.52	USD	-2,675.50	
26SEP17	S 1 PD27DEC17	6416.00	6517.52	USD	-2,538.00	
26SEP17	S 1 PD27DEC17	6416.50	6517.52	USD	-2,525.50	
26SEP17	S 1 PD27DEC17	6409.50	6517.52	USD	-2,700.50	
26SEP17	S 1 PD27DEC17	6410.00	6517.52	USD	-2,688.00	
26SEP17	S 7 PD27DEC17	6411.00	6517.52	USD	-18,641.00	
26SEP17	S 1 PD27DEC17	6404.50	6517.52	USD	-2,825.50	
26SEP17	S 1 PD27DEC17	6417.00	6517.52	USD	-2,513.00	
26SEP17	S 1 PD27DEC17	6419.00	6517.52	USD	-2,463.00	
26SEP17	S 1 PD27DEC17	6420.00	6517.52	USD	-2,438.00	
26SEP17	B 1 PD27DEC17	6418.00	6517.52	USD	2,488.00	
26SEP17	B 2 PD27DEC17	6424.50	6517.52	USD	4,651.00	
27SEP17	S 1 PD27DEC17	6425.00	6517.52	USD	-2,313.00	
27SEP17	S 4 PD27DEC17	6426.00	6517.52	USD	-9,152.00	
27SEP17	B 3 PD27DEC17	6474.00	6517.52	USD	3,264.00	
27SEP17	B 4 PD27DEC17	6473.00	6517.52	USD	4,452.00	
27SEP17	B 1 PD27DEC17	6459.00	6517.52	USD	1,463.00	
27SEP17	B 1 PD27DEC17	6461.00	6517.52	USD	1,413.00	
27SEP17	B 1 PD27DEC17	6462.50	6517.52	USD	1,375.50	
27SEP17	B 2 PD27DEC17	6437.50	6517.52	USD	4,001.00	
27SEP17	B 1 PD27DEC17	6438.50	6517.52	USD	1,975.50	
27SEP17	B 1 PD27DEC17	6439.00	6517.52	USD	1,963.00	
27SEP17	B 1 PD27DEC17	6441.00	6517.52	USD	1,913.00	
27SEP17	B 3 PD27DEC17	6445.00	6517.52	USD	5,439.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0025U

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
27SEP17	B 1 PD27DEC17	6446.00	6517.52	USD	1,788.00	
27SEP17	B 1 PD27DEC17	6447.50	6517.52	USD	1,750.50	
	NET +5 AVG BGHT 6477.78 SOLD 6448.92				**-37,597.50**	**0.00**
28SEP17	S 4 PD28DEC17	6426.00	6517.88	USD	-9,188.00	
28SEP17	B 5 PD28DEC17	6453.50	6517.88	USD	8,047.50	
28SEP17	B 1 PD28DEC17	6463.50	6517.88	USD	1,359.50	
28SEP17	B 3 PD28DEC17	6454.50	6517.88	USD	4,753.50	
28SEP17	B 3 PD28DEC17	6522.50	6517.88	USD	-346.50	
28SEP17	B 1 PD28DEC17	6523.50	6517.88	USD	-140.50	
28SEP17	B 1 PD28DEC17	6526.00	6517.88	USD	-203.00	
	NET +10 AVG BGHT 6479.39 SOLD 6426.00				**4,282.50**	**0.00**
29SEP17	S 1 PD29DEC17	6527.00	6518.23	USD	219.25	
29SEP17	S 4 PD29DEC17	6528.00	6518.23	USD	977.00	
29SEP17	B 1 PD29DEC17	6562.00	6518.23	USD	-1,094.25	
29SEP17	B 4 PD29DEC17	6564.50	6518.23	USD	-4,627.00	
29SEP17	S 2 PD29DEC17	6530.00	6518.23	USD	588.50	
29SEP17	S 2 PD29DEC17	6531.00	6518.23	USD	638.50	
29SEP17	S 1 PD29DEC17	6532.00	6518.23	USD	344.25	
29SEP17	S 1 PD29DEC17	6540.00	6518.23	USD	544.25	
29SEP17	S 4 PD29DEC17	6541.00	6518.23	USD	2,277.00	
29SEP17	S 5 PD29DEC17	6503.00	6518.23	USD	-1,903.75	
	NET -15 AVG BGHT 6564.00 SOLD 6525.60				**-2,036.25**	**0.00**
02OCT17	B 4 PD02JAN18	6525.50	6519.65	USD	-585.00	
02OCT17	B 5 PD02JAN18	6526.00	6519.65	USD	-793.75	
02OCT17	B 1 PD02JAN18	6537.50	6519.65	USD	-446.25	
02OCT17	B 1 PD02JAN18	6538.00	6519.65	USD	-458.75	
02OCT17	B 1 PD02JAN18	6539.00	6519.65	USD	-483.75	
02OCT17	B 1 PD02JAN18	6540.50	6519.65	USD	-521.25	
02OCT17	B 1 PD02JAN18	6528.50	6519.65	USD	-221.25	
02OCT17	B 1 PD02JAN18	6539.50	6519.65	USD	-496.25	
	NET +15 AVG BGHT 6530.33				**-4,006.25**	**0.00**
	CMX COPPER 25,000 CMX					
21SEP17	S 4 DEC17	294.650	296.350	USD	-1,700.00	
21SEP17	S 3 DEC17	294.450	296.350	USD	-1,425.00	
21SEP17	S 4 DEC17	294.250	296.350	USD	-2,100.00	
21SEP17	S 18 DEC17	294.200	296.350	USD	-9,675.00	
21SEP17	S 1 DEC17	294.000	296.350	USD	-587.50	
21SEP17	S 1 DEC17	294.050	296.350	USD	-575.00	
21SEP17	S 2 DEC17	292.250	296.350	USD	-2,050.00	
21SEP17	S 2 DEC17	293.750	296.350	USD	-1,300.00	
21SEP17	S 2 DEC17	293.550	296.350	USD	-1,400.00	
21SEP17	S 5 DEC17	293.450	296.350	USD	-3,625.00	
21SEP17	S 2 DEC17	293.350	296.350	USD	-1,500.00	
27SEP17	S 5 DEC17	294.200	296.350	USD	-2,687.50	
27SEP17	S 2 DEC17	293.700	296.350	USD	-1,325.00	
27SEP17	S 4 DEC17	293.550	296.350	USD	-2,800.00	
27SEP17	S 4 DEC17	292.650	296.350	USD	-3,700.00	
27SEP17	S 4 DEC17	292.600	296.350	USD	-3,750.00	
27SEP17	S 1 DEC17	292.700	296.350	USD	-912.50	
27SEP17	S 2 DEC17	292.750	296.350	USD	-1,800.00	
27SEP17	S 5 DEC17	293.000	296.350	USD	-4,187.50	
27SEP17	S 6 DEC17	292.950	296.350	USD	-5,100.00	
28SEP17	S 2 DEC17	293.150	296.350	USD	-1,600.00	
28SEP17	S 3 DEC17	293.750	296.350	USD	-1,950.00	
28SEP17	S 6 DEC17	293.350	296.350	USD	-4,500.00	
28SEP17	S 5 DEC17	296.550	296.350	USD	250.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0025U

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	CMX COPPER 25,000 CMX					
28SEP17	S 4 DEC17	296.500	296.350	USD	150.00	
28SEP17	S 2 DEC17	296.700	296.350	USD	175.00	
02OCT17	S 4 DEC17	297.250	296.350	USD	900.00	
02OCT17	S 3 DEC17	297.300	296.350	USD	712.50	
02OCT17	S 4 DEC17	297.200	296.350	USD	850.00	
02OCT17	S 22 DEC17	296.650	296.350	USD	1,650.00	
	NET -132 AVG SOLD 294.666				**-55,562.50**	**0.00**

OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	-9,616,648.75
CMX Copper	FUTURES	9,723,987.50

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options			Amount	Profit / Loss	**Total Amount**	**Total P&L**
COPR	- Futures	USD	-55,562.50	-55,562.50	**-55,562.50**	**-55,562.50**
Unrealised Profits & Losses - Forwards			Amount	Profit / Loss	**Total Amount**	**Total P&L**
COPL	- Futures	USD	149,702.50	149,702.50	**149,702.50**	**149,702.50**

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-67,216.38	-67,216.38
Variation Margins	- Futures	-55,562.50	-55,562.50
	- Options	0.00	0.00
TOTAL EQUITY		**-122,778.88**	**-122,778.88**
Initial Margins		-1,049,784.50	-1,049,784.50
Excess/(Shortage)		**-1,172,563.38**	**-1,172,563.38**
FOR INFORMATION ONLY			
Forward Unrealised P&L		149,702.50	149,702.50
Net Liquidating Value		**26,923.62**	**26,923.62**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0025V

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT							
02AUG17	S	5	PD02NOV17	6319.50	6494.69	USD	-21,898.75	
02AUG17	B	2	PD02NOV17	6333.50	6494.69	USD	8,059.50	
02AUG17	B	2	PD02NOV17	6337.50	6494.69	USD	7,859.50	
02AUG17	B	1	PD02NOV17	6336.00	6494.69	USD	3,967.25	
02AUG17	B	1	PD02NOV17	6336.50	6494.69	USD	3,954.75	
02AUG17	B	4	PD02NOV17	6337.00	6494.69	USD	15,769.00	
02AUG17	B	2	PD02NOV17	6331.00	6494.69	USD	8,184.50	
02AUG17	B	3	PD02NOV17	6338.00	6494.69	USD	11,751.75	
02AUG17	B	3	PD02NOV17	6334.50	6494.69	USD	12,014.25	
02AUG17	B	2	PD02NOV17	6335.50	6494.69	USD	7,959.50	
10AUG17	S	15	PD02NOV17	6419.71	6494.69	USD	-28,117.50	
	NET	**0**	**AVG BGHT 6335.65**	**SOLD 6394.66**			**29,503.75**	**0.00**
03AUG17	S	2	PD03NOV17	6304.00	6495.28	USD	-9,564.00	
03AUG17	S	1	PD03NOV17	6307.00	6495.28	USD	-4,707.00	
03AUG17	S	1	PD03NOV17	6309.00	6495.28	USD	-4,657.00	
03AUG17	S	1	PD03NOV17	6312.50	6495.28	USD	-4,569.50	
03AUG17	S	1	PD03NOV17	6317.50	6495.28	USD	-4,444.50	
03AUG17	S	1	PD03NOV17	6318.50	6495.28	USD	-4,419.50	
03AUG17	S	2	PD03NOV17	6319.50	6495.28	USD	-8,789.00	
03AUG17	S	1	PD03NOV17	6320.00	6495.28	USD	-4,382.00	
03AUG17	B	1	PD03NOV17	6311.00	6495.28	USD	4,607.00	
03AUG17	B	1	PD03NOV17	6317.50	6495.28	USD	4,444.50	
03AUG17	B	1	PD03NOV17	6328.50	6495.28	USD	4,169.50	
03AUG17	B	1	PD03NOV17	6333.50	6495.28	USD	4,044.50	
03AUG17	B	1	PD03NOV17	6342.50	6495.28	USD	3,819.50	
04AUG17	S	1	PD03NOV17	6355.50	6495.28	USD	-3,494.50	
04AUG17	S	1	PD03NOV17	6357.50	6495.28	USD	-3,444.50	
04AUG17	S	1	PD03NOV17	6358.50	6495.28	USD	-3,419.50	
04AUG17	S	1	PD03NOV17	6358.00	6495.28	USD	-3,432.00	
04AUG17	B	5	PD03NOV17	6359.50	6495.28	USD	16,972.50	
04AUG17	S	1	PD03NOV17	6331.00	6495.28	USD	-4,107.00	
04AUG17	S	1	PD03NOV17	6334.50	6495.28	USD	-4,019.50	
04AUG17	S	1	PD03NOV17	6337.00	6495.28	USD	-3,957.00	
04AUG17	S	1	PD03NOV17	6339.50	6495.28	USD	-3,894.50	
04AUG17	S	1	PD03NOV17	6341.00	6495.28	USD	-3,857.00	
04AUG17	B	2	PD03NOV17	6364.00	6495.28	USD	6,564.00	
04AUG17	B	2	PD03NOV17	6364.50	6495.28	USD	6,539.00	
04AUG17	B	1	PD03NOV17	6366.00	6495.28	USD	3,232.00	
04AUG17	S	4	PD03NOV17	6361.00	6495.28	USD	-13,428.00	
04AUG17	S	2	PD03NOV17	6359.50	6495.28	USD	-6,789.00	
10AUG17	B	5	PD03NOV17	6420.33	6495.28	USD	9,368.75	
10AUG17	B	5	PD03NOV17	6420.13	6495.28	USD	9,393.75	
	NET	**0**	**AVG BGHT 6378.23**	**SOLD 6336.28**			**-26,220.00**	**0.00**
07AUG17	S	3	PD07NOV17	6346.50	6497.66	USD	-11,337.00	
07AUG17	S	1	PD07NOV17	6344.50	6497.66	USD	-3,829.00	
07AUG17	S	1	PD07NOV17	6345.00	6497.66	USD	-3,816.50	
07AUG17	B	1	PD07NOV17	6368.00	6497.66	USD	3,241.50	
07AUG17	B	2	PD07NOV17	6365.00	6497.66	USD	6,633.00	
07AUG17	B	1	PD07NOV17	6366.50	6497.66	USD	3,279.00	
07AUG17	B	1	PD07NOV17	6367.00	6497.66	USD	3,266.50	
07AUG17	B	2	PD07NOV17	6367.50	6497.66	USD	6,508.00	
07AUG17	B	2	PD07NOV17	6375.00	6497.66	USD	6,133.00	
07AUG17	B	1	PD07NOV17	6376.50	6497.66	USD	3,029.00	
07AUG17	B	1	PD07NOV17	6418.00	6497.66	USD	1,991.50	
07AUG17	B	1	PD07NOV17	6420.00	6497.66	USD	1,941.50	
07AUG17	B	1	PD07NOV17	6417.50	6497.66	USD	2,004.00	
07AUG17	B	1	PD07NOV17	6419.00	6497.66	USD	1,966.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0025V

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT								
07AUG17	B	1	PD07NOV17		6419.50	6497.66	USD	1,954.00	
07AUG17	S	1	PD07NOV17		6409.00	6497.66	USD	-2,216.50	
07AUG17	S	1	PD07NOV17		6412.50	6497.66	USD	-2,129.00	
07AUG17	S	1	PD07NOV17		6413.00	6497.66	USD	-2,116.50	
07AUG17	S	1	PD07NOV17		6413.50	6497.66	USD	-2,104.00	
07AUG17	S	1	PD07NOV17		6417.00	6497.66	USD	-2,016.50	
10AUG17	S	5	PD07NOV17		6421.57	6497.66	USD	-9,511.25	
	NET	**0**	**AVG BGHT 6385.80**	**SOLD 6393.46**				**2,871.25**	**0.00**
08AUG17	S	1	PD08NOV17		6401.50	6498.25	USD	-2,418.75	
08AUG17	B	1	PD08NOV17		6399.50	6498.25	USD	2,468.75	
08AUG17	B	1	PD08NOV17		6401.00	6498.25	USD	2,431.25	
08AUG17	B	3	PD08NOV17		6400.50	6498.25	USD	7,331.25	
08AUG17	B	1	PD08NOV17		6401.50	6498.25	USD	2,418.75	
08AUG17	B	1	PD08NOV17		6437.50	6498.25	USD	1,518.75	
08AUG17	B	1	PD08NOV17		6438.00	6498.25	USD	1,506.25	
08AUG17	B	3	PD08NOV17		6440.00	6498.25	USD	4,368.75	
08AUG17	B	1	PD08NOV17		6477.00	6498.25	USD	531.25	
08AUG17	B	1	PD08NOV17		6475.00	6498.25	USD	581.25	
08AUG17	B	1	PD08NOV17		6480.00	6498.25	USD	456.25	
08AUG17	B	2	PD08NOV17		6474.50	6498.25	USD	1,187.50	
23AUG17	S	15	PD08NOV17		6563.00	6498.25	USD	24,281.25	
	NET	**0**	**AVG BGHT 6436.25**	**SOLD 6552.91**				**46,662.50**	**0.00**
09AUG17	S	2	PD09NOV17		6477.00	6498.71	USD	-1,085.50	
09AUG17	S	1	PD09NOV17		6480.00	6498.71	USD	-467.75	
09AUG17	S	1	PD09NOV17		6483.50	6498.71	USD	-380.25	
09AUG17	S	1	PD09NOV17		6488.50	6498.71	USD	-255.25	
09AUG17	B	1	PD09NOV17		6487.00	6498.71	USD	292.75	
09AUG17	B	1	PD09NOV17		6490.00	6498.71	USD	217.75	
09AUG17	B	1	PD09NOV17		6492.00	6498.71	USD	167.75	
09AUG17	B	3	PD09NOV17		6482.00	6498.71	USD	1,253.25	
09AUG17	S	2	PD09NOV17		6484.50	6498.71	USD	-710.50	
09AUG17	S	1	PD09NOV17		6485.50	6498.71	USD	-330.25	
09AUG17	S	2	PD09NOV17		6482.50	6498.71	USD	-810.50	
09AUG17	S	2	PD09NOV17		6489.50	6498.71	USD	-460.50	
09AUG17	S	1	PD09NOV17		6490.00	6498.71	USD	-217.75	
09AUG17	B	1	PD09NOV17		6483.50	6498.71	USD	380.25	
09AUG17	S	2	PD09NOV17		6483.00	6498.71	USD	-785.50	
09AUG17	S	1	PD09NOV17		6477.50	6498.71	USD	-530.25	
09AUG17	S	1	PD09NOV17		6482.00	6498.71	USD	-417.75	
10AUG17	B	10	PD09NOV17		6422.79	6498.71	USD	18,980.00	
	NET	**0**	**AVG BGHT 6448.61**	**SOLD 6483.53**				**14,840.00**	**0.00**
10AUG17	B	2	PD10NOV17		6455.50	6499.18	USD	2,184.00	
10AUG17	B	2	PD10NOV17		6470.50	6499.18	USD	1,434.00	
10AUG17	B	1	PD10NOV17		6476.00	6499.18	USD	579.50	
10AUG17	B	1	PD10NOV17		6455.00	6499.18	USD	1,104.50	
10AUG17	S	1	PD10NOV17		6446.00	6499.18	USD	-1,329.50	
10AUG17	S	3	PD10NOV17		6413.50	6499.18	USD	-6,426.00	
10AUG17	S	6	PD10NOV17		6410.00	6499.18	USD	-13,377.00	
10AUG17	S	1	PD10NOV17		6411.50	6499.18	USD	-2,192.00	
10AUG17	B	3	PD10NOV17		6423.00	6499.18	USD	5,713.50	
10AUG17	B	4	PD10NOV17		6427.00	6499.18	USD	7,218.00	
10AUG17	S	2	PD10NOV17		6418.50	6499.18	USD	-4,034.00	
11AUG17	S	1	PD10NOV17		6371.00	6499.18	USD	-3,204.50	
11AUG17	S	1	PD10NOV17		6368.00	6499.18	USD	-3,279.50	
11AUG17	S	1	PD10NOV17		6369.50	6499.18	USD	-3,242.00	
11AUG17	S	1	PD10NOV17		6365.50	6499.18	USD	-3,342.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0025V

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
11AUG17	S 1 PD10NOV17	6360.50	6499.18	USD	-3,467.00	
23AUG17	B 5 PD10NOV17	6564.20	6499.18	USD	-8,127.50	
	NET 0 AVG BGHT 6476.72 SOLD 6401.64				**-33,787.50**	**0.00**
14AUG17	S 1 PD14NOV17	6413.00	6501.04	USD	-2,201.00	
14AUG17	S 1 PD14NOV17	6418.00	6501.04	USD	-2,076.00	
14AUG17	S 1 PD14NOV17	6412.50	6501.04	USD	-2,213.50	
14AUG17	S 1 PD14NOV17	6417.00	6501.04	USD	-2,101.00	
14AUG17	S 1 PD14NOV17	6416.00	6501.04	USD	-2,126.00	
14AUG17	B 1 PD14NOV17	6402.00	6501.04	USD	2,476.00	
14AUG17	B 1 PD14NOV17	6404.00	6501.04	USD	2,426.00	
14AUG17	B 1 PD14NOV17	6411.50	6501.04	USD	2,238.50	
14AUG17	B 2 PD14NOV17	6408.50	6501.04	USD	4,627.00	
14AUG17	B 2 PD14NOV17	6407.50	6501.04	USD	4,677.00	
14AUG17	B 1 PD14NOV17	6412.00	6501.04	USD	2,226.00	
14AUG17	B 1 PD14NOV17	6410.50	6501.04	USD	2,263.50	
14AUG17	B 1 PD14NOV17	6408.00	6501.04	USD	2,326.00	
	NET +5 AVG BGHT 6408.00 SOLD 6415.30				**12,542.50**	**0.00**
16AUG17	S 1 PD16NOV17	6364.50	6501.00	USD	-3,412.50	
16AUG17	S 1 PD16NOV17	6365.00	6501.00	USD	-3,400.00	
16AUG17	S 1 PD16NOV17	6366.50	6501.00	USD	-3,362.50	
16AUG17	S 1 PD16NOV17	6370.50	6501.00	USD	-3,262.50	
16AUG17	S 1 PD16NOV17	6371.50	6501.00	USD	-3,237.50	
16AUG17	B 1 PD16NOV17	6385.50	6501.00	USD	2,887.50	
16AUG17	S 1 PD16NOV17	6380.00	6501.00	USD	-3,025.00	
16AUG17	S 1 PD16NOV17	6381.00	6501.00	USD	-3,000.00	
16AUG17	S 1 PD16NOV17	6381.50	6501.00	USD	-2,987.50	
16AUG17	S 2 PD16NOV17	6382.00	6501.00	USD	-5,950.00	
16AUG17	S 1 PD16NOV17	6384.00	6501.00	USD	-2,925.00	
16AUG17	B 2 PD16NOV17	6436.00	6501.00	USD	3,250.00	
16AUG17	B 1 PD16NOV17	6438.50	6501.00	USD	1,562.50	
16AUG17	B 1 PD16NOV17	6434.50	6501.00	USD	1,662.50	
16AUG17	B 1 PD16NOV17	6435.00	6501.00	USD	1,650.00	
16AUG17	B 3 PD16NOV17	6457.00	6501.00	USD	3,300.00	
16AUG17	B 2 PD16NOV17	6453.00	6501.00	USD	2,400.00	
16AUG17	B 3 PD16NOV17	6512.50	6501.00	USD	-862.50	
16AUG17	B 2 PD16NOV17	6515.00	6501.00	USD	-700.00	
16AUG17	B 3 PD16NOV17	6550.00	6501.00	USD	-3,675.00	
16AUG17	B 2 PD16NOV17	6550.50	6501.00	USD	-2,475.00	
	NET +10 AVG BGHT 6483.86 SOLD 6375.32				**-25,562.50**	**0.00**
17AUG17	S 2 PD17NOV17	6476.50	6501.60	USD	-1,255.00	
17AUG17	B 1 PD17NOV17	6484.00	6501.60	USD	440.00	
17AUG17	B 1 PD17NOV17	6485.00	6501.60	USD	415.00	
17AUG17	S 2 PD17NOV17	6487.00	6501.60	USD	-730.00	
17AUG17	S 5 PD17NOV17	6490.00	6501.60	USD	-1,450.00	
17AUG17	S 1 PD17NOV17	6490.50	6501.60	USD	-277.50	
17AUG17	S 1 PD17NOV17	6489.00	6501.60	USD	-315.00	
17AUG17	S 1 PD17NOV17	6493.50	6501.60	USD	-202.50	
18AUG17	S 2 PD17NOV17	6495.00	6501.60	USD	-330.00	
18AUG17	S 2 PD17NOV17	6496.50	6501.60	USD	-255.00	
18AUG17	S 1 PD17NOV17	6498.00	6501.60	USD	-90.00	
18AUG17	B 1 PD17NOV17	6498.50	6501.60	USD	77.50	
18AUG17	B 1 PD17NOV17	6499.00	6501.60	USD	65.00	
18AUG17	B 3 PD17NOV17	6499.50	6501.60	USD	157.50	
18AUG17	S 3 PD17NOV17	6470.00	6501.60	USD	-2,370.00	
18AUG17	B 1 PD17NOV17	6470.00	6501.60	USD	790.00	
18AUG17	B 2 PD17NOV17	6470.50	6501.60	USD	1,555.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0025V

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
23AUG17	B 10 PD17NOV17	6563.89	6501.60	USD	-15,572.50	
	NET 0 AVG BGHT 6525.75 SOLD 6487.05				**-19,347.50**	**0.00**
21AUG17	S 1 PD21NOV17	6478.00	6504.00	USD	-650.00	
21AUG17	S 1 PD21NOV17	6478.50	6504.00	USD	-637.50	
21AUG17	B 1 PD21NOV17	6481.00	6504.00	USD	575.00	
21AUG17	S 4 PD21NOV17	6479.00	6504.00	USD	-2,500.00	
21AUG17	B 1 PD21NOV17	6557.50	6504.00	USD	-1,337.50	
21AUG17	B 1 PD21NOV17	6559.00	6504.00	USD	-1,375.00	
21AUG17	B 2 PD21NOV17	6552.00	6504.00	USD	-2,400.00	
21AUG17	B 1 PD21NOV17	6558.00	6504.00	USD	-1,350.00	
21AUG17	B 1 PD21NOV17	6571.00	6504.00	USD	-1,675.00	
21AUG17	B 1 PD21NOV17	6571.50	6504.00	USD	-1,687.50	
21AUG17	B 1 PD21NOV17	6572.50	6504.00	USD	-1,712.50	
21AUG17	B 2 PD21NOV17	6576.00	6504.00	USD	-3,600.00	
21AUG17	B 5 PD21NOV17	6591.50	6504.00	USD	-10,937.50	
	NET +10 AVG BGHT 6567.75 SOLD 6478.75				**-29,287.50**	**0.00**
22AUG17	S 2 PD22NOV17	6589.00	6504.60	USD	4,220.00	
22AUG17	B 2 PD22NOV17	6597.00	6504.60	USD	-4,620.00	
22AUG17	B 2 PD22NOV17	6623.00	6504.60	USD	-5,920.00	
22AUG17	B 1 PD22NOV17	6624.00	6504.60	USD	-2,985.00	
22AUG17	B 1 PD22NOV17	6625.50	6504.60	USD	-3,022.50	
22AUG17	B 1 PD22NOV17	6625.00	6504.60	USD	-3,010.00	
22AUG17	B 3 PD22NOV17	6642.00	6504.60	USD	-10,305.00	
22AUG17	B 2 PD22NOV17	6637.00	6504.60	USD	-6,620.00	
22AUG17	B 5 PD22NOV17	6579.50	6504.60	USD	-9,362.50	
22AUG17	B 1 PD22NOV17	6584.50	6504.60	USD	-1,997.50	
22AUG17	B 4 PD22NOV17	6585.00	6504.60	USD	-8,040.00	
22AUG17	B 5 PD22NOV17	6581.50	6504.60	USD	-9,612.50	
	NET +25 AVG BGHT 6601.63 SOLD 6589.00				**-61,275.00**	**0.00**
23AUG17	S 2 PD24NOV17	6582.00	6505.80	USD	3,810.00	
23AUG17	S 2 PD24NOV17	6583.00	6505.80	USD	3,860.00	
23AUG17	S 1 PD24NOV17	6586.00	6505.80	USD	2,005.00	
23AUG17	B 2 PD24NOV17	6551.00	6505.80	USD	-2,260.00	
23AUG17	B 3 PD24NOV17	6569.50	6505.80	USD	-4,777.50	
24AUG17	S 2 PD24NOV17	6711.50	6505.80	USD	10,285.00	
24AUG17	S 3 PD24NOV17	6705.00	6505.80	USD	14,940.00	
25AUG17	S 2 PD24NOV17	6714.00	6505.80	USD	10,410.00	
25AUG17	S 2 PD24NOV17	6714.50	6505.80	USD	10,435.00	
25AUG17	S 1 PD24NOV17	6702.50	6505.80	USD	4,917.50	
	NET -10 AVG BGHT 6562.10 SOLD 6667.57				**53,625.00**	**0.00**
29AUG17	S 2 PD29NOV17	6782.00	6508.80	USD	13,660.00	
29AUG17	S 1 PD29NOV17	6778.50	6508.80	USD	6,742.50	
29AUG17	S 2 PD29NOV17	6765.50	6508.80	USD	12,835.00	
29AUG17	S 2 PD29NOV17	6766.50	6508.80	USD	12,885.00	
29AUG17	S 1 PD29NOV17	6771.00	6508.80	USD	6,555.00	
29AUG17	S 1 PD29NOV17	6779.50	6508.80	USD	6,767.50	
29AUG17	S 1 PD29NOV17	6780.50	6508.80	USD	6,792.50	
29AUG17	S 3 PD29NOV17	6790.00	6508.80	USD	21,090.00	
29AUG17	S 2 PD29NOV17	6775.00	6508.80	USD	13,310.00	
29AUG17	S 1 PD29NOV17	6778.00	6508.80	USD	6,730.00	
29AUG17	S 3 PD29NOV17	6779.00	6508.80	USD	20,265.00	
29AUG17	S 1 PD29NOV17	6782.50	6508.80	USD	6,842.50	
29AUG17	S 1 PD29NOV17	6792.50	6508.80	USD	7,092.50	
29AUG17	B 3 PD29NOV17	6806.50	6508.80	USD	-22,327.50	
29AUG17	S 5 PD29NOV17	6806.00	6508.80	USD	37,150.00	
29AUG17	S 2 PD29NOV17	6808.00	6508.80	USD	14,960.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0025V

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT							
29AUG17	B	4	PD29NOV17	6809.00	6508.80	USD	-30,020.00	
29AUG17	B	2	PD29NOV17	6805.00	6508.80	USD	-14,810.00	
29AUG17	S	10	PD29NOV17	6805.00	6508.80	USD	74,050.00	
29AUG17	S	2	PD29NOV17	6801.50	6508.80	USD	14,635.00	
29AUG17	B	2	PD29NOV17	6776.50	6508.80	USD	-13,385.00	
29AUG17	B	1	PD29NOV17	6790.00	6508.80	USD	-7,030.00	
29AUG17	B	5	PD29NOV17	6815.00	6508.80	USD	-38,275.00	
29AUG17	S	7	PD29NOV17	6809.00	6508.80	USD	52,535.00	
29AUG17	B	1	PD29NOV17	6823.00	6508.80	USD	-7,855.00	
29AUG17	B	2	PD29NOV17	6810.50	6508.80	USD	-15,085.00	
29AUG17	B	2	PD29NOV17	6797.50	6508.80	USD	-14,435.00	
29AUG17	B	1	PD29NOV17	6800.50	6508.80	USD	-7,292.50	
29AUG17	B	9	PD29NOV17	6810.00	6508.80	USD	-67,770.00	
29AUG17	B	3	PD29NOV17	6785.50	6508.80	USD	-20,752.50	
29AUG17	B	2	PD29NOV17	6798.50	6508.80	USD	-14,485.00	
	NET	**-10**	**AVG BGHT 6804.50 SOLD 6793.82**				**61,375.00**	**0.00**
30AUG17	B	5	PD30NOV17	6815.00	6509.40	USD	-38,200.00	
30AUG17	B	2	PD30NOV17	6816.00	6509.40	USD	-15,330.00	
30AUG17	B	1	PD30NOV17	6819.50	6509.40	USD	-7,752.50	
30AUG17	B	2	PD30NOV17	6820.00	6509.40	USD	-15,530.00	
30AUG17	B	3	PD30NOV17	6822.00	6509.40	USD	-23,445.00	
30AUG17	B	2	PD30NOV17	6823.00	6509.40	USD	-15,680.00	
30AUG17	S	5	PD30NOV17	6786.00	6509.40	USD	34,575.00	
30AUG17	S	5	PD30NOV17	6780.50	6509.40	USD	33,887.50	
30AUG17	S	5	PD30NOV17	6786.50	6509.40	USD	34,637.50	
31AUG17	B	2	PD30NOV17	6804.50	6509.40	USD	-14,755.00	
31AUG17	B	2	PD30NOV17	6806.50	6509.40	USD	-14,855.00	
31AUG17	S	2	PD30NOV17	6801.00	6509.40	USD	14,580.00	
31AUG17	S	2	PD30NOV17	6802.50	6509.40	USD	14,655.00	
31AUG17	B	1	PD30NOV17	6835.50	6509.40	USD	-8,152.50	
31AUG17	B	1	PD30NOV17	6837.50	6509.40	USD	-8,202.50	
31AUG17	B	1	PD30NOV17	6826.00	6509.40	USD	-7,915.00	
31AUG17	B	1	PD30NOV17	6832.00	6509.40	USD	-8,065.00	
31AUG17	B	1	PD30NOV17	6833.00	6509.40	USD	-8,090.00	
31AUG17	B	1	PD30NOV17	6833.50	6509.40	USD	-8,102.50	
31AUG17	B	2	PD30NOV17	6834.00	6509.40	USD	-16,230.00	
31AUG17	B	1	PD30NOV17	6835.00	6509.40	USD	-8,140.00	
31AUG17	B	1	PD30NOV17	6840.50	6509.40	USD	-8,277.50	
31AUG17	S	1	PD30NOV17	6787.50	6509.40	USD	6,952.50	
31AUG17	S	4	PD30NOV17	6795.50	6509.40	USD	28,610.00	
31AUG17	B	5	PD30NOV17	6788.00	6509.40	USD	-34,825.00	
31AUG17	B	4	PD30NOV17	6788.50	6509.40	USD	-27,910.00	
31AUG17	S	1	PD30NOV17	6788.00	6509.40	USD	6,965.00	
31AUG17	B	2	PD30NOV17	6795.00	6509.40	USD	-14,280.00	
	NET	**+15**	**AVG BGHT 6813.14 SOLD 6789.18**				**-128,875.00**	**0.00**
04SEP17	S	1	PD04DEC17	6883.00	6511.29	USD	9,292.75	
04SEP17	B	1	PD04DEC17	6870.00	6511.29	USD	-8,967.75	
04SEP17	S	9	PD04DEC17	6882.00	6511.29	USD	83,409.75	
04SEP17	S	1	PD04DEC17	6882.50	6511.29	USD	9,280.25	
04SEP17	S	5	PD04DEC17	6886.00	6511.29	USD	46,838.75	
04SEP17	B	2	PD04DEC17	6888.00	6511.29	USD	-18,835.50	
04SEP17	S	2	PD04DEC17	6886.50	6511.29	USD	18,760.50	
04SEP17	S	3	PD04DEC17	6908.00	6511.29	USD	29,753.25	
04SEP17	S	2	PD04DEC17	6889.50	6511.29	USD	18,910.50	
04SEP17	B	2	PD04DEC17	6889.50	6511.29	USD	-18,910.50	
04SEP17	B	3	PD04DEC17	6890.00	6511.29	USD	-28,403.25	
04SEP17	B	2	PD04DEC17	6911.50	6511.29	USD	-20,010.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0025V

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
04SEP17	B 3 PD04DEC17	6914.00	6511.29	USD	-30,203.25	
04SEP17	B 5 PD04DEC17	6915.00	6511.29	USD	-50,463.75	
04SEP17	B 5 PD04DEC17	6916.00	6511.29	USD	-50,588.75	
	NET 0 AVG BGHT 6905.00 SOLD 6887.37				**-10,137.50**	**0.00**
05SEP17	B 1 PD05DEC17	6938.50	6511.71	USD	-10,669.75	
05SEP17	S 1 PD05DEC17	6940.50	6511.71	USD	10,719.75	
05SEP17	B 1 PD05DEC17	6964.50	6511.71	USD	-11,319.75	
05SEP17	B 4 PD05DEC17	6965.50	6511.71	USD	-45,379.00	
05SEP17	B 1 PD05DEC17	6937.00	6511.71	USD	-10,632.25	
05SEP17	S 1 PD05DEC17	6938.50	6511.71	USD	10,669.75	
	NET +5 AVG BGHT 6957.43 SOLD 6939.50				**-56,611.25**	**0.00**
06SEP17	S 6 PD06DEC17	6887.00	6512.14	USD	56,229.00	
06SEP17	S 4 PD06DEC17	6888.00	6512.14	USD	37,586.00	
06SEP17	S 2 PD06DEC17	6895.00	6512.14	USD	19,143.00	
06SEP17	B 1 PD06DEC17	6906.00	6512.14	USD	-9,846.50	
06SEP17	B 8 PD06DEC17	6893.00	6512.14	USD	-76,172.00	
06SEP17	B 4 PD06DEC17	6894.00	6512.14	USD	-38,186.00	
06SEP17	B 5 PD06DEC17	6892.00	6512.14	USD	-47,482.50	
06SEP17	B 4 PD06DEC17	6904.00	6512.14	USD	-39,186.00	
06SEP17	B 5 PD06DEC17	6886.50	6512.14	USD	-46,795.00	
06SEP17	S 10 PD06DEC17	6885.50	6512.14	USD	93,340.00	
06SEP17	S 10 PD06DEC17	6886.50	6512.14	USD	93,590.00	
06SEP17	S 2 PD06DEC17	6870.00	6512.14	USD	17,893.00	
06SEP17	S 3 PD06DEC17	6878.00	6512.14	USD	27,439.50	
06SEP17	S 7 PD06DEC17	6885.00	6512.14	USD	65,250.50	
06SEP17	S 2 PD06DEC17	6897.00	6512.14	USD	19,243.00	
06SEP17	S 1 PD06DEC17	6889.00	6512.14	USD	9,421.50	
06SEP17	S 1 PD06DEC17	6898.50	6512.14	USD	9,659.00	
06SEP17	S 4 PD06DEC17	6900.00	6512.14	USD	38,786.00	
06SEP17	B 2 PD06DEC17	6917.00	6512.14	USD	-20,243.00	
06SEP17	S 1 PD06DEC17	6916.00	6512.14	USD	10,096.50	
06SEP17	S 5 PD06DEC17	6923.00	6512.14	USD	51,357.50	
06SEP17	S 1 PD06DEC17	6914.00	6512.14	USD	10,046.50	
	NET -30 AVG BGHT 6895.47 SOLD 6891.18				**281,170.00**	**0.00**
07SEP17	B 2 PD07DEC17	6912.00	6512.57	USD	-19,971.50	
07SEP17	B 2 PD07DEC17	6919.00	6512.57	USD	-20,321.50	
07SEP17	B 3 PD07DEC17	6920.00	6512.57	USD	-30,557.25	
07SEP17	B 3 PD07DEC17	6922.00	6512.57	USD	-30,707.25	
07SEP17	B 5 PD07DEC17	6923.00	6512.57	USD	-51,303.75	
07SEP17	S 1 PD07DEC17	6882.50	6512.57	USD	9,248.25	
07SEP17	S 2 PD07DEC17	6890.00	6512.57	USD	18,871.50	
07SEP17	S 7 PD07DEC17	6898.00	6512.57	USD	67,450.25	
07SEP17	S 2 PD07DEC17	6901.00	6512.57	USD	19,421.50	
07SEP17	S 1 PD07DEC17	6902.00	6512.57	USD	9,735.75	
07SEP17	S 2 PD07DEC17	6904.00	6512.57	USD	19,571.50	
07SEP17	S 5 PD07DEC17	6859.00	6512.57	USD	43,303.75	
07SEP17	S 1 PD07DEC17	6865.00	6512.57	USD	8,810.75	
07SEP17	S 5 PD07DEC17	6869.00	6512.57	USD	44,553.75	
07SEP17	S 2 PD07DEC17	6872.00	6512.57	USD	17,971.50	
07SEP17	S 1 PD07DEC17	6875.00	6512.57	USD	9,060.75	
07SEP17	S 2 PD07DEC17	6879.00	6512.57	USD	18,321.50	
07SEP17	S 5 PD07DEC17	6860.00	6512.57	USD	43,428.75	
07SEP17	B 1 PD07DEC17	6864.00	6512.57	USD	-8,785.75	
07SEP17	B 2 PD07DEC17	6864.50	6512.57	USD	-17,596.50	
07SEP17	B 1 PD07DEC17	6865.00	6512.57	USD	-8,810.75	
07SEP17	B 1 PD07DEC17	6873.00	6512.57	USD	-9,010.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0025V

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
07SEP17	B 1 PD07DEC17	6862.50	6512.57	USD	-8,748.25	
07SEP17	S 1 PD07DEC17	6873.00	6512.57	USD	9,010.75	
07SEP17	S 1 PD07DEC17	6878.00	6512.57	USD	9,135.75	
07SEP17	S 2 PD07DEC17	6878.50	6512.57	USD	18,296.50	
07SEP17	S 1 PD07DEC17	6884.00	6512.57	USD	9,285.75	
	NET -20 AVG BGHT 6904.60 SOLD 6878.89				**169,665.00**	**0.00**
08SEP17	B 2 PD08DEC17	6928.00	6513.00	USD	-20,750.00	
08SEP17	B 3 PD08DEC17	6929.00	6513.00	USD	-31,200.00	
08SEP17	S 5 PD08DEC17	6843.00	6513.00	USD	41,250.00	
08SEP17	S 1 PD08DEC17	6828.00	6513.00	USD	7,875.00	
08SEP17	S 2 PD08DEC17	6832.00	6513.00	USD	15,950.00	
08SEP17	S 2 PD08DEC17	6837.50	6513.00	USD	16,225.00	
08SEP17	B 2 PD08DEC17	6794.00	6513.00	USD	-14,050.00	
08SEP17	B 1 PD08DEC17	6794.50	6513.00	USD	-7,037.50	
08SEP17	B 2 PD08DEC17	6795.50	6513.00	USD	-14,125.00	
08SEP17	B 5 PD08DEC17	6785.00	6513.00	USD	-34,000.00	
08SEP17	S 5 PD08DEC17	6785.00	6513.00	USD	34,000.00	
	NET 0 AVG BGHT 6836.10 SOLD 6820.47				**-5,862.50**	**0.00**
11SEP17	B 2 PD11DEC17	6722.00	6514.19	USD	-10,390.50	
11SEP17	B 2 PD11DEC17	6724.00	6514.19	USD	-10,490.50	
11SEP17	B 1 PD11DEC17	6724.50	6514.19	USD	-5,257.75	
11SEP17	B 2 PD11DEC17	6729.00	6514.19	USD	-10,740.50	
11SEP17	B 2 PD11DEC17	6733.50	6514.19	USD	-10,965.50	
11SEP17	B 3 PD11DEC17	6734.00	6514.19	USD	-16,485.75	
11SEP17	S 2 PD11DEC17	6724.50	6514.19	USD	10,515.50	
11SEP17	B 2 PD11DEC17	6759.00	6514.19	USD	-12,240.50	
11SEP17	S 2 PD11DEC17	6758.00	6514.19	USD	12,190.50	
11SEP17	B 2 PD11DEC17	6703.00	6514.19	USD	-9,440.50	
11SEP17	B 3 PD11DEC17	6703.50	6514.19	USD	-14,198.25	
11SEP17	B 5 PD11DEC17	6750.00	6514.19	USD	-29,476.25	
11SEP17	B 7 PD11DEC17	6747.00	6514.19	USD	-40,741.75	
11SEP17	S 2 PD11DEC17	6737.50	6514.19	USD	11,165.50	
11SEP17	S 2 PD11DEC17	6746.00	6514.19	USD	11,590.50	
11SEP17	B 2 PD11DEC17	6744.00	6514.19	USD	-11,490.50	
	NET +25 AVG BGHT 6734.70 SOLD 6741.50				**-136,456.25**	**0.00**
12SEP17	S 1 PD12DEC17	6708.00	6514.58	USD	4,835.50	
12SEP17	S 2 PD12DEC17	6710.00	6514.58	USD	9,771.00	
12SEP17	S 2 PD12DEC17	6712.00	6514.58	USD	9,871.00	
12SEP17	S 3 PD12DEC17	6700.00	6514.58	USD	13,906.50	
12SEP17	S 2 PD12DEC17	6701.00	6514.58	USD	9,321.00	
12SEP17	B 5 PD12DEC17	6715.00	6514.58	USD	-25,052.50	
12SEP17	B 1 PD12DEC17	6676.00	6514.58	USD	-4,035.50	
12SEP17	B 1 PD12DEC17	6675.50	6514.58	USD	-4,023.00	
12SEP17	B 3 PD12DEC17	6678.00	6514.58	USD	-12,256.50	
12SEP17	S 1 PD12DEC17	6646.00	6514.58	USD	3,285.50	
12SEP17	S 1 PD12DEC17	6647.00	6514.58	USD	3,310.50	
12SEP17	S 1 PD12DEC17	6648.50	6514.58	USD	3,348.00	
12SEP17	B 2 PD12DEC17	6651.00	6514.58	USD	-6,821.00	
12SEP17	B 5 PD12DEC17	6655.00	6514.58	USD	-17,552.50	
12SEP17	S 2 PD12DEC17	6642.00	6514.58	USD	6,371.00	
12SEP17	S 1 PD12DEC17	6663.00	6514.58	USD	3,710.50	
12SEP17	S 1 PD12DEC17	6659.00	6514.58	USD	3,610.50	
12SEP17	S 6 PD12DEC17	6661.00	6514.58	USD	21,963.00	
12SEP17	S 15 PD12DEC17	6650.00	6514.58	USD	50,782.50	
12SEP17	S 4 PD12DEC17	6655.00	6514.58	USD	14,042.00	
	NET -25 AVG BGHT 6678.68 SOLD 6665.18				**88,387.50**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0025V

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT							
13SEP17	B	4	PD13DEC17	6625.00	6514.98	USD	-11,002.00	
13SEP17	B	1	PD13DEC17	6625.50	6514.98	USD	-2,763.00	
13SEP17	B	2	PD13DEC17	6626.00	6514.98	USD	-5,551.00	
13SEP17	B	2	PD13DEC17	6615.00	6514.98	USD	-5,001.00	
13SEP17	B	2	PD13DEC17	6620.50	6514.98	USD	-5,276.00	
13SEP17	B	3	PD13DEC17	6623.00	6514.98	USD	-8,101.50	
13SEP17	B	3	PD13DEC17	6611.00	6514.98	USD	-7,201.50	
13SEP17	B	3	PD13DEC17	6614.00	6514.98	USD	-7,426.50	
13SEP17	B	2	PD13DEC17	6587.00	6514.98	USD	-3,601.00	
13SEP17	B	2	PD13DEC17	6591.00	6514.98	USD	-3,801.00	
13SEP17	B	1	PD13DEC17	6594.00	6514.98	USD	-1,975.50	
13SEP17	B	5	PD13DEC17	6595.50	6514.98	USD	-10,065.00	
13SEP17	B	1	PD13DEC17	6588.00	6514.98	USD	-1,825.50	
13SEP17	B	2	PD13DEC17	6589.00	6514.98	USD	-3,701.00	
13SEP17	B	7	PD13DEC17	6590.50	6514.98	USD	-13,216.00	
13SEP17	B	5	PD13DEC17	6570.00	6514.98	USD	-6,877.50	
	NET	**+45**	**AVG BGHT 6601.54**				**-97,385.00**	**0.00**
14SEP17	B	3	PD14DEC17	6550.00	6515.38	USD	-2,596.50	
14SEP17	S	3	PD14DEC17	6550.00	6515.38	USD	2,596.50	
14SEP17	B	2	PD14DEC17	6507.50	6515.38	USD	394.00	
14SEP17	B	1	PD14DEC17	6483.50	6515.38	USD	797.00	
14SEP17	B	1	PD14DEC17	6488.00	6515.38	USD	684.50	
14SEP17	B	1	PD14DEC17	6490.50	6515.38	USD	622.00	
14SEP17	B	2	PD14DEC17	6491.00	6515.38	USD	1,219.00	
14SEP17	B	2	PD14DEC17	6492.00	6515.38	USD	1,169.00	
14SEP17	B	1	PD14DEC17	6492.50	6515.38	USD	572.00	
14SEP17	S	1	PD14DEC17	6500.50	6515.38	USD	-372.00	
14SEP17	S	2	PD14DEC17	6498.00	6515.38	USD	-869.00	
14SEP17	S	2	PD14DEC17	6506.50	6515.38	USD	-444.00	
14SEP17	S	8	PD14DEC17	6505.00	6515.38	USD	-2,076.00	
14SEP17	S	7	PD14DEC17	6500.00	6515.38	USD	-2,691.50	
	NET	**-10**	**AVG BGHT 6506.58**	**SOLD 6508.67**			**-995.00**	**0.00**
15SEP17	B	1	PD15DEC17	6508.50	6515.77	USD	181.75	
15SEP17	S	3	PD15DEC17	6500.50	6515.77	USD	-1,145.25	
15SEP17	S	1	PD15DEC17	6501.00	6515.77	USD	-369.25	
15SEP17	S	3	PD15DEC17	6502.50	6515.77	USD	-995.25	
15SEP17	S	1	PD15DEC17	6511.00	6515.77	USD	-119.25	
15SEP17	S	1	PD15DEC17	6515.00	6515.77	USD	-19.25	
15SEP17	S	1	PD15DEC17	6530.00	6515.77	USD	355.75	
15SEP17	S	4	PD15DEC17	6532.00	6515.77	USD	1,623.00	
15SEP17	S	4	PD15DEC17	6533.00	6515.77	USD	1,723.00	
15SEP17	S	5	PD15DEC17	6534.00	6515.77	USD	2,278.75	
15SEP17	S	5	PD15DEC17	6519.00	6515.77	USD	403.75	
15SEP17	S	6	PD15DEC17	6507.50	6515.77	USD	-1,240.50	
15SEP17	S	1	PD15DEC17	6504.00	6515.77	USD	-294.25	
15SEP17	S	1	PD15DEC17	6505.00	6515.77	USD	-269.25	
	NET	**-35**	**AVG BGHT 6508.50**	**SOLD 6517.92**			**2,113.75**	**0.00**
18SEP17	B	1	PD18DEC17	6536.00	6516.96	USD	-476.00	
18SEP17	B	2	PD18DEC17	6537.00	6516.96	USD	-1,002.00	
18SEP17	B	2	PD18DEC17	6538.00	6516.96	USD	-1,052.00	
18SEP17	S	2	PD18DEC17	6539.00	6516.96	USD	1,102.00	
18SEP17	S	3	PD18DEC17	6541.00	6516.96	USD	1,803.00	
	NET	**0**	**AVG BGHT 6537.20**	**SOLD 6540.20**			**375.00**	**0.00**
19SEP17	B	1	PD19DEC17	6540.00	6517.35	USD	-566.25	
19SEP17	B	2	PD19DEC17	6543.00	6517.35	USD	-1,282.50	
19SEP17	B	2	PD19DEC17	6544.50	6517.35	USD	-1,357.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0025V

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
19SEP17	B 2 PD19DEC17	6540.50	6517.35	USD	-1,157.50	
19SEP17	B 1 PD19DEC17	6547.50	6517.35	USD	-753.75	
19SEP17	B 3 PD19DEC17	6545.00	6517.35	USD	-2,073.75	
19SEP17	B 1 PD19DEC17	6550.00	6517.35	USD	-816.25	
19SEP17	B 1 PD19DEC17	6539.50	6517.35	USD	-553.75	
19SEP17	B 1 PD19DEC17	6541.00	6517.35	USD	-591.25	
19SEP17	B 1 PD19DEC17	6542.00	6517.35	USD	-616.25	
19SEP17	B 1 PD19DEC17	6532.00	6517.35	USD	-366.25	
19SEP17	B 1 PD19DEC17	6531.00	6517.35	USD	-341.25	
19SEP17	B 1 PD19DEC17	6534.00	6517.35	USD	-416.25	
19SEP17	B 2 PD19DEC17	6535.00	6517.35	USD	-882.50	
19SEP17	B 3 PD19DEC17	6525.50	6517.35	USD	-611.25	
19SEP17	B 1 PD19DEC17	6526.50	6517.35	USD	-228.75	
19SEP17	B 2 PD19DEC17	6519.00	6517.35	USD	-82.50	
19SEP17	B 1 PD19DEC17	6515.50	6517.35	USD	46.25	
19SEP17	B 4 PD19DEC17	6515.00	6517.35	USD	235.00	
19SEP17	B 3 PD19DEC17	6516.00	6517.35	USD	101.25	
19SEP17	S 1 PD19DEC17	6507.50	6517.35	USD	-246.25	
19SEP17	B 2 PD19DEC17	6517.00	6517.35	USD	17.50	
19SEP17	B 5 PD19DEC17	6527.50	6517.35	USD	-1,268.75	
	NET +40 AVG BGHT 6530.59 SOLD 6507.50				**-13,812.50**	**0.00**
20SEP17	S 2 PD20DEC17	6560.00	6517.75	USD	2,112.50	
20SEP17	S 2 PD20DEC17	6561.00	6517.75	USD	2,162.50	
20SEP17	S 3 PD20DEC17	6562.00	6517.75	USD	3,318.75	
20SEP17	S 3 PD20DEC17	6562.50	6517.75	USD	3,356.25	
20SEP17	S 5 PD20DEC17	6515.00	6517.75	USD	-343.75	
20SEP17	S 5 PD20DEC17	6516.00	6517.75	USD	-218.75	
20SEP17	S 5 PD20DEC17	6517.50	6517.75	USD	-31.25	
	NET -25 AVG SOLD 6534.32				**10,356.25**	**0.00**
21SEP17	S 5 PD21DEC17	6480.00	6516.75	USD	-4,593.75	
21SEP17	S 5 PD21DEC17	6455.00	6516.75	USD	-7,718.75	
21SEP17	S 5 PD21DEC17	6470.00	6516.75	USD	-5,843.75	
21SEP17	B 3 PD21DEC17	6445.50	6516.75	USD	5,343.75	
21SEP17	B 2 PD21DEC17	6442.50	6516.75	USD	3,712.50	
21SEP17	B 5 PD21DEC17	6480.50	6516.75	USD	4,531.25	
21SEP17	B 5 PD21DEC17	6467.00	6516.75	USD	6,218.75	
21SEP17	B 1 PD21DEC17	6479.50	6516.75	USD	931.25	
21SEP17	B 4 PD21DEC17	6480.00	6516.75	USD	3,675.00	
21SEP17	B 5 PD21DEC17	6470.00	6516.75	USD	5,843.75	
21SEP17	B 5 PD21DEC17	6430.00	6516.75	USD	10,843.75	
21SEP17	B 5 PD21DEC17	6451.00	6516.75	USD	8,218.75	
21SEP17	B 5 PD21DEC17	6459.00	6516.75	USD	7,218.75	
21SEP17	B 5 PD21DEC17	6454.00	6516.75	USD	7,843.75	
21SEP17	B 5 PD21DEC17	6450.00	6516.75	USD	8,343.75	
21SEP17	B 5 PD21DEC17	6435.00	6516.75	USD	10,218.75	
	NET +40 AVG BGHT 6456.43 SOLD 6468.33				**64,787.50**	**0.00**
22SEP17	B 5 PD22DEC17	6422.50	6515.75	USD	11,656.25	
22SEP17	S 5 PD22DEC17	6400.50	6515.75	USD	-14,406.25	
	NET 0 AVG BGHT 6422.50 SOLD 6400.50				**-2,750.00**	**0.00**
25SEP17	S 2 PD27DEC17	6450.00	6517.52	USD	-3,376.00	
25SEP17	S 2 PD27DEC17	6452.00	6517.52	USD	-3,276.00	
25SEP17	S 1 PD27DEC17	6456.00	6517.52	USD	-1,538.00	
25SEP17	S 1 PD27DEC17	6455.50	6517.52	USD	-1,550.50	
25SEP17	S 1 PD27DEC17	6460.00	6517.52	USD	-1,438.00	
25SEP17	S 1 PD27DEC17	6460.50	6517.52	USD	-1,425.50	
25SEP17	S 1 PD27DEC17	6467.00	6517.52	USD	-1,263.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0025V

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT							
25SEP17	S	1	PD27DEC17	6462.50	6517.52	USD	-1,375.50	
26SEP17	B	1	PD27DEC17	6479.50	6517.52	USD	950.50	
26SEP17	B	1	PD27DEC17	6481.50	6517.52	USD	900.50	
26SEP17	B	2	PD27DEC17	6482.00	6517.52	USD	1,776.00	
26SEP17	S	1	PD27DEC17	6481.00	6517.52	USD	-913.00	
26SEP17	S	2	PD27DEC17	6502.50	6517.52	USD	-751.00	
26SEP17	S	2	PD27DEC17	6503.50	6517.52	USD	-701.00	
26SEP17	S	1	PD27DEC17	6504.50	6517.52	USD	-325.50	
26SEP17	S	5	PD27DEC17	6520.00	6517.52	USD	310.00	
26SEP17	B	2	PD27DEC17	6480.00	6517.52	USD	1,876.00	
26SEP17	S	5	PD27DEC17	6410.00	6517.52	USD	-13,440.00	
26SEP17	S	5	PD27DEC17	6419.50	6517.52	USD	-12,252.50	
26SEP17	B	3	PD27DEC17	6416.00	6517.52	USD	7,614.00	
26SEP17	B	2	PD27DEC17	6417.00	6517.52	USD	5,026.00	
26SEP17	S	5	PD27DEC17	6433.00	6517.52	USD	-10,565.00	
27SEP17	S	5	PD27DEC17	6427.50	6517.52	USD	-11,252.50	
27SEP17	B	5	PD27DEC17	6472.00	6517.52	USD	5,690.00	
27SEP17	B	10	PD27DEC17	6440.00	6517.52	USD	19,380.00	
27SEP17	B	2	PD27DEC17	6444.00	6517.52	USD	3,676.00	
27SEP17	B	3	PD27DEC17	6445.00	6517.52	USD	5,439.00	
	NET	**-10**	**AVG BGHT 6450.00**	**SOLD 6453.98**			**-12,805.00**	**0.00**
28SEP17	S	5	PD28DEC17	6400.00	6517.88	USD	-14,735.00	
28SEP17	B	3	PD28DEC17	6453.00	6517.88	USD	4,866.00	
28SEP17	B	5	PD28DEC17	6467.00	6517.88	USD	6,360.00	
28SEP17	B	5	PD28DEC17	6470.00	6517.88	USD	5,985.00	
28SEP17	B	2	PD28DEC17	6454.00	6517.88	USD	3,194.00	
28SEP17	B	2	PD28DEC17	6523.00	6517.88	USD	-256.00	
28SEP17	B	2	PD28DEC17	6528.00	6517.88	USD	-506.00	
28SEP17	B	1	PD28DEC17	6561.00	6517.88	USD	-1,078.00	
28SEP17	S	2	PD28DEC17	6564.50	6517.88	USD	2,331.00	
28SEP17	S	3	PD28DEC17	6563.50	6517.88	USD	3,421.50	
	NET	**+10**	**AVG BGHT 6480.75**	**SOLD 6481.95**			**9,582.50**	**0.00**
29SEP17	B	2	PD29DEC17	6537.50	6518.23	USD	-963.50	
29SEP17	B	2	PD29DEC17	6540.50	6518.23	USD	-1,113.50	
29SEP17	B	1	PD29DEC17	6551.50	6518.23	USD	-831.75	
29SEP17	S	5	PD29DEC17	6534.00	6518.23	USD	1,971.25	
29SEP17	S	5	PD29DEC17	6528.00	6518.23	USD	1,221.25	
29SEP17	S	5	PD29DEC17	6534.50	6518.23	USD	2,033.75	
29SEP17	B	5	PD29DEC17	6560.00	6518.23	USD	-5,221.25	
29SEP17	S	1	PD29DEC17	6503.00	6518.23	USD	-380.75	
29SEP17	B	1	PD29DEC17	6502.50	6518.23	USD	393.25	
	NET	**-5**	**AVG BGHT 6546.36**	**SOLD 6530.34**			**-2,891.25**	**0.00**
03OCT17	B	3	PD03JAN18	6510.00	6520.00	USD	750.00	
03OCT17	B	2	PD03JAN18	6522.00	6520.00	USD	-100.00	
03OCT17	S	2	PD03JAN18	6519.50	6520.00	USD	-25.00	
03OCT17	S	3	PD03JAN18	6506.50	6520.00	USD	-1,012.50	
	NET	**0**	**AVG BGHT 6514.80**	**SOLD 6511.70**			**-387.50**	**0.00**
	CMX COPPER 25,000 CMX							
21SEP17	S	11	DEC17	292.350	296.350	USD	-11,000.00	
21SEP17	S	11	DEC17	293.600	296.350	USD	-7,562.50	
21SEP17	S	21	DEC17	293.350	296.350	USD	-15,750.00	
21SEP17	S	1	DEC17	293.250	296.350	USD	-775.00	
21SEP17	S	11	DEC17	293.300	296.350	USD	-8,387.50	
27SEP17	S	11	DEC17	292.700	296.350	USD	-10,037.50	
27SEP17	S	11	DEC17	292.850	296.350	USD	-9,625.00	
27SEP17	S	7	DEC17	292.950	296.350	USD	-5,950.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0025V

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	CMX COPPER 25,000 CMX					
27SEP17	S 4 DEC17	292.900	296.350	USD	-3,450.00	
28SEP17	S 1 DEC17	294.100	296.350	USD	-562.50	
28SEP17	S 10 DEC17	294.150	296.350	USD	-5,500.00	
28SEP17	S 4 DEC17	296.600	296.350	USD	250.00	
28SEP17	S 4 DEC17	296.950	296.350	USD	600.00	
28SEP17	S 3 DEC17	298.300	296.350	USD	1,462.50	
	NET -110 AVG SOLD 293.576				**-76,287.50**	**0.00**

OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	-7,951,577.50
CMX Copper	FUTURES	8,073,337.50

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options			Amount	Profit / Loss	**Total Amount**	**Total P&L**
COPR	- Futures	USD	-76,287.50	-76,287.50	**-76,287.50**	**-76,287.50**
Unrealised Profits & Losses - Forwards			Amount	Profit / Loss	**Total Amount**	**Total P&L**
COPL	- Futures	USD	183,408.75	183,408.75	**183,408.75**	**183,408.75**

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-89,608.00	-89,608.00
Variation Margins	- Futures	-76,287.50	-76,287.50
	- Options	0.00	0.00
TOTAL EQUITY		**-165,895.50**	**-165,895.50**
Initial Margins		-820,042.25	-820,042.25
Excess/(Shortage)		**-985,937.75**	**-985,937.75**
FOR INFORMATION ONLY			
Forward Unrealised P&L		183,408.75	183,408.75
Net Liquidating Value		**17,513.25**	**17,513.25**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0025W

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
15SEP17	B 2 PD15DEC17	6499.00	6515.77	USD	838.50	
15SEP17	S 2 PD15DEC17	6494.00	6515.77	USD	-1,088.50	
	NET 0 AVG BGHT 6499.00 SOLD 6494.00				**-250.00**	**0.00**
18SEP17	B 1 PD18DEC17	6536.00	6516.96	USD	-476.00	
18SEP17	B 1 PD18DEC17	6536.50	6516.96	USD	-488.50	
18SEP17	B 1 PD18DEC17	6537.00	6516.96	USD	-501.00	
18SEP17	B 2 PD18DEC17	6538.00	6516.96	USD	-1,052.00	
18SEP17	S 1 PD18DEC17	6536.00	6516.96	USD	476.00	
18SEP17	S 1 PD18DEC17	6541.50	6516.96	USD	613.50	
18SEP17	S 1 PD18DEC17	6536.50	6516.96	USD	488.50	
18SEP17	S 1 PD18DEC17	6542.00	6516.96	USD	626.00	
18SEP17	S 1 PD18DEC17	6526.00	6516.96	USD	226.00	
	NET 0 AVG BGHT 6537.10 SOLD 6536.40				**-87.50**	**0.00**
19SEP17	B 1 PD19DEC17	6548.00	6517.35	USD	-766.25	
19SEP17	B 1 PD19DEC17	6550.00	6517.35	USD	-816.25	
19SEP17	B 1 PD19DEC17	6545.00	6517.35	USD	-691.25	
19SEP17	B 1 PD19DEC17	6539.00	6517.35	USD	-541.25	
19SEP17	B 1 PD19DEC17	6541.00	6517.35	USD	-591.25	
19SEP17	B 1 PD19DEC17	6521.00	6517.35	USD	-91.25	
19SEP17	B 1 PD19DEC17	6527.50	6517.35	USD	-253.75	
19SEP17	B 1 PD19DEC17	6529.00	6517.35	USD	-291.25	
19SEP17	B 1 PD19DEC17	6522.00	6517.35	USD	-116.25	
19SEP17	B 1 PD19DEC17	6514.00	6517.35	USD	83.75	
19SEP17	B 4 PD19DEC17	6517.50	6517.35	USD	-15.00	
19SEP17	B 2 PD19DEC17	6518.00	6517.35	USD	-32.50	
19SEP17	B 4 PD19DEC17	6526.50	6517.35	USD	-915.00	
	NET +20 AVG BGHT 6527.43				**-5,037.50**	**0.00**
20SEP17	S 4 PD20DEC17	6562.50	6517.75	USD	4,475.00	
20SEP17	S 1 PD20DEC17	6565.00	6517.75	USD	1,181.25	
	NET -5 AVG SOLD 6563.00				**5,656.25**	**0.00**
21SEP17	S 1 PD21DEC17	6471.50	6516.75	USD	-1,131.25	
21SEP17	S 1 PD21DEC17	6473.50	6516.75	USD	-1,081.25	
21SEP17	S 1 PD21DEC17	6466.50	6516.75	USD	-1,256.25	
21SEP17	S 2 PD21DEC17	6467.50	6516.75	USD	-2,462.50	
21SEP17	S 1 PD21DEC17	6469.50	6516.75	USD	-1,181.25	
21SEP17	B 1 PD21DEC17	6457.00	6516.75	USD	1,493.75	
21SEP17	B 1 PD21DEC17	6445.00	6516.75	USD	1,793.75	
21SEP17	B 2 PD21DEC17	6447.00	6516.75	USD	3,487.50	
21SEP17	B 1 PD21DEC17	6448.00	6516.75	USD	1,718.75	
21SEP17	B 1 PD21DEC17	6448.50	6516.75	USD	1,706.25	
21SEP17	B 2 PD21DEC17	6442.00	6516.75	USD	3,737.50	
21SEP17	B 1 PD21DEC17	6468.50	6516.75	USD	1,206.25	
21SEP17	B 1 PD21DEC17	6467.00	6516.75	USD	1,243.75	
21SEP17	S 2 PD21DEC17	6465.50	6516.75	USD	-2,562.50	
21SEP17	B 1 PD21DEC17	6468.00	6516.75	USD	1,218.75	
21SEP17	B 2 PD21DEC17	6474.00	6516.75	USD	2,137.50	
21SEP17	B 2 PD21DEC17	6456.50	6516.75	USD	3,012.50	
21SEP17	B 3 PD21DEC17	6459.00	6516.75	USD	4,331.25	
21SEP17	B 5 PD21DEC17	6454.00	6516.75	USD	7,843.75	
	NET +15 AVG BGHT 6456.00 SOLD 6468.38				**25,256.25**	**0.00**
22SEP17	S 1 PD22DEC17	6428.00	6515.75	USD	-2,193.75	
22SEP17	S 1 PD22DEC17	6433.50	6515.75	USD	-2,056.25	
22SEP17	S 1 PD22DEC17	6434.00	6515.75	USD	-2,043.75	
22SEP17	S 1 PD22DEC17	6438.00	6515.75	USD	-1,943.75	
22SEP17	S 1 PD22DEC17	6431.50	6515.75	USD	-2,106.25	
	NET -5 AVG SOLD 6433.00				**-10,343.75**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0025W

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
25SEP17	S 1 PD27DEC17	6462.50	6517.52	USD	-1,375.50	
25SEP17	S 1 PD27DEC17	6461.50	6517.52	USD	-1,400.50	
25SEP17	S 1 PD27DEC17	6463.00	6517.52	USD	-1,363.00	
25SEP17	S 2 PD27DEC17	6451.50	6517.52	USD	-3,301.00	
25SEP17	S 1 PD27DEC17	6452.00	6517.52	USD	-1,638.00	
25SEP17	S 3 PD27DEC17	6453.00	6517.52	USD	-4,839.00	
25SEP17	S 3 PD27DEC17	6455.00	6517.52	USD	-4,689.00	
25SEP17	S 1 PD27DEC17	6455.50	6517.52	USD	-1,550.50	
25SEP17	S 1 PD27DEC17	6456.50	6517.52	USD	-1,525.50	
25SEP17	S 1 PD27DEC17	6457.00	6517.52	USD	-1,513.00	
26SEP17	B 1 PD27DEC17	6478.00	6517.52	USD	988.00	
26SEP17	B 1 PD27DEC17	6479.50	6517.52	USD	950.50	
26SEP17	B 1 PD27DEC17	6480.00	6517.52	USD	938.00	
26SEP17	B 2 PD27DEC17	6483.00	6517.52	USD	1,726.00	
26SEP17	S 1 PD27DEC17	6502.00	6517.52	USD	-388.00	
26SEP17	S 1 PD27DEC17	6504.50	6517.52	USD	-325.50	
26SEP17	S 1 PD27DEC17	6516.50	6517.52	USD	-25.50	
26SEP17	S 1 PD27DEC17	6519.00	6517.52	USD	37.00	
26SEP17	S 1 PD27DEC17	6521.00	6517.52	USD	87.00	
26SEP17	B 2 PD27DEC17	6512.00	6517.52	USD	276.00	
26SEP17	B 2 PD27DEC17	6507.00	6517.52	USD	526.00	
26SEP17	B 1 PD27DEC17	6504.00	6517.52	USD	338.00	
26SEP17	B 2 PD27DEC17	6507.50	6517.52	USD	501.00	
26SEP17	B 2 PD27DEC17	6493.00	6517.52	USD	1,226.00	
26SEP17	B 1 PD27DEC17	6488.00	6517.52	USD	738.00	
26SEP17	B 2 PD27DEC17	6460.50	6517.52	USD	2,851.00	
26SEP17	B 1 PD27DEC17	6461.00	6517.52	USD	1,413.00	
26SEP17	B 1 PD27DEC17	6461.50	6517.52	USD	1,400.50	
26SEP17	B 1 PD27DEC17	6462.00	6517.52	USD	1,388.00	
26SEP17	S 1 PD27DEC17	6407.00	6517.52	USD	-2,763.00	
26SEP17	S 1 PD27DEC17	6409.00	6517.52	USD	-2,713.00	
26SEP17	S 1 PD27DEC17	6410.50	6517.52	USD	-2,675.50	
26SEP17	S 1 PD27DEC17	6413.00	6517.52	USD	-2,613.00	
26SEP17	S 3 PD27DEC17	6410.00	6517.52	USD	-8,064.00	
26SEP17	S 1 PD27DEC17	6408.50	6517.52	USD	-2,725.50	
26SEP17	S 2 PD27DEC17	6418.00	6517.52	USD	-4,976.00	
27SEP17	B 1 PD27DEC17	6453.50	6517.52	USD	1,600.50	
27SEP17	S 1 PD27DEC17	6451.00	6517.52	USD	-1,663.00	
27SEP17	B 1 PD27DEC17	6468.50	6517.52	USD	1,225.50	
27SEP17	B 1 PD27DEC17	6462.00	6517.52	USD	1,388.00	
27SEP17	B 2 PD27DEC17	6463.00	6517.52	USD	2,726.00	
27SEP17	B 1 PD27DEC17	6459.00	6517.52	USD	1,463.00	
27SEP17	S 1 PD27DEC17	6464.00	6517.52	USD	-1,338.00	
27SEP17	B 1 PD27DEC17	6444.50	6517.52	USD	1,825.50	
27SEP17	B 1 PD27DEC17	6433.00	6517.52	USD	2,113.00	
27SEP17	B 1 PD27DEC17	6434.00	6517.52	USD	2,088.00	
27SEP17	B 1 PD27DEC17	6436.00	6517.52	USD	2,038.00	
27SEP17	B 1 PD27DEC17	6438.50	6517.52	USD	1,975.50	
27SEP17	B 1 PD27DEC17	6439.00	6517.52	USD	1,963.00	
	NET 0 AVG BGHT 6472.94 SOLD 6450.84				**-17,675.00**	**0.00**
28SEP17	B 1 PD28DEC17	6454.00	6517.88	USD	1,597.00	
28SEP17	B 1 PD28DEC17	6452.00	6517.88	USD	1,647.00	
28SEP17	B 1 PD28DEC17	6467.50	6517.88	USD	1,259.50	
28SEP17	B 1 PD28DEC17	6468.50	6517.88	USD	1,234.50	
28SEP17	B 1 PD28DEC17	6469.50	6517.88	USD	1,209.50	
	NET +5 AVG BGHT 6462.30				**6,947.50**	**0.00**
	CMX COPPER 25,000 CMX					

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0025W

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	CMX COPPER 25,000 CMX					
21SEP17	S 7 DEC17	293.700	296.350	USD	-4,637.50	
21SEP17	S 2 DEC17	293.600	296.350	USD	-1,375.00	
21SEP17	S 13 DEC17	293.500	296.350	USD	-9,262.50	
26SEP17	S 2 DEC17	293.500	296.350	USD	-1,425.00	
26SEP17	S 1 DEC17	293.450	296.350	USD	-725.00	
26SEP17	S 1 DEC17	291.750	296.350	USD	-1,150.00	
26SEP17	S 2 DEC17	291.500	296.350	USD	-2,425.00	
26SEP17	S 2 DEC17	291.450	296.350	USD	-2,450.00	
26SEP17	S 2 DEC17	291.350	296.350	USD	-2,500.00	
26SEP17	S 1 DEC17	292.150	296.350	USD	-1,050.00	
27SEP17	S 4 DEC17	293.750	296.350	USD	-2,600.00	
27SEP17	S 2 DEC17	293.700	296.350	USD	-1,325.00	
27SEP17	S 2 DEC17	293.450	296.350	USD	-1,450.00	
27SEP17	S 1 DEC17	293.500	296.350	USD	-712.50	
27SEP17	S 2 DEC17	292.900	296.350	USD	-1,725.00	
27SEP17	S 5 DEC17	292.400	296.350	USD	-4,937.50	
27SEP17	S 2 DEC17	292.500	296.350	USD	-1,925.00	
27SEP17	S 4 DEC17	292.650	296.350	USD	-3,700.00	
28SEP17	S 2 DEC17	293.350	296.350	USD	-1,500.00	
28SEP17	S 2 DEC17	293.250	296.350	USD	-1,550.00	
28SEP17	S 1 DEC17	293.550	296.350	USD	-700.00	
28SEP17	S 2 DEC17	293.950	296.350	USD	-1,200.00	
28SEP17	S 2 DEC17	294.050	296.350	USD	-1,150.00	
28SEP17	S 2 DEC17	294.000	296.350	USD	-1,175.00	
	NET -66 AVG SOLD 293.159				**-52,650.00**	**0.00**

OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	-4,883,537.50
CMX Copper	FUTURES	4,837,125.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options		Amount	Profit / Loss	**Total Amount**	**Total P&L**
COPR - Futures	USD	-52,650.00	-52,650.00	**-52,650.00**	**-52,650.00**
Unrealised Profits & Losses - Forwards		**Amount**	**Profit / Loss**	**Total Amount**	**Total P&L**
COPL - Futures	USD	4,466.25	4,466.25	**4,466.25**	**4,466.25**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0025W

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		48,243.42	48,243.42
Variation Margins	- Futures	-52,650.00	-52,650.00
	- Options	0.00	0.00
TOTAL EQUITY		**-4,406.58**	**-4,406.58**
Initial Margins		-579,961.75	-579,961.75
Excess/(Shortage)		**-584,368.33**	**-584,368.33**
FOR INFORMATION ONLY			
Forward Unrealised P&L		4,466.25	4,466.25
Net Liquidating Value		**59.67**	**59.67**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED
SAP0025 ARB
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPAB025**

3rd Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

GROUP FINANCIAL SUMMARY

		USD (1.00000)	NET USD (1.00000)
CASH BALANCE		-1,952,770.09	-1,952,770.09
Variation Margins	- Futures	-172,075.00	-172,075.00
	- Options	0.00	0.00
TOTAL EQUITY		**-2,124,845.09**	**-2,124,845.09**
Initial Margins		-4,098,221.00	-4,098,221.00
Excess/(Shortage)		**-6,223,066.09**	**-6,223,066.09**
FOR INFORMATION ONLY			
Forward Unrealised P&L		2,259,273.00	2,259,273.00
Net Liquidating Value		**134,427.91**	**134,427.91**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0038A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
04JUL17	S 1 PD04OCT17	5887.00	6467.48	USD	-14,512.00	
04JUL17	S 1 PD04OCT17	5886.50	6467.48	USD	-14,524.50	
04JUL17	S 1 PD04OCT17	5887.50	6467.48	USD	-14,499.50	
04JUL17	S 1 PD04OCT17	5888.50	6467.48	USD	-14,474.50	
04JUL17	S 1 PD04OCT17	5880.50	6467.48	USD	-14,674.50	
04JUL17	S 1 PD04OCT17	5874.50	6467.48	USD	-14,824.50	
04JUL17	B 2 PD04OCT17	5878.00	6467.48	USD	29,474.00	
04JUL17	B 1 PD04OCT17	5910.00	6467.48	USD	13,937.00	
04JUL17	B 1 PD04OCT17	5896.50	6467.48	USD	14,274.50	
04JUL17	B 1 PD04OCT17	5892.50	6467.48	USD	14,374.50	
06JUL17	B 1 PD04OCT17	5850.77	6467.48	USD	15,417.75	
	NET 0 AVG BGHT 5884.30 SOLD 5884.08				**-31.75**	**0.00**
05JUL17	S 1 PD05OCT17	5871.00	6468.75	USD	-14,943.75	
05JUL17	S 1 PD05OCT17	5872.50	6468.75	USD	-14,906.25	
05JUL17	S 1 PD05OCT17	5873.50	6468.75	USD	-14,881.25	
05JUL17	B 2 PD05OCT17	5870.00	6468.75	USD	29,937.50	
05JUL17	B 1 PD05OCT17	5870.50	6468.75	USD	14,956.25	
05JUL17	B 1 PD05OCT17	5871.00	6468.75	USD	14,943.75	
05JUL17	S 5 PD05OCT17	5860.00	6468.75	USD	-76,093.75	
05JUL17	S 1 PD05OCT17	5860.50	6468.75	USD	-15,206.25	
05JUL17	S 1 PD05OCT17	5861.00	6468.75	USD	-15,193.75	
05JUL17	B 1 PD05OCT17	5868.00	6468.75	USD	15,018.75	
05JUL17	B 1 PD05OCT17	5851.00	6468.75	USD	15,443.75	
05JUL17	B 1 PD05OCT17	5846.00	6468.75	USD	15,568.75	
05JUL17	S 1 PD05OCT17	5835.50	6468.75	USD	-15,831.25	
05JUL17	S 1 PD05OCT17	5836.00	6468.75	USD	-15,818.75	
05JUL17	S 1 PD05OCT17	5816.50	6468.75	USD	-16,306.25	
06JUL17	B 6 PD05OCT17	5850.99	6468.75	USD	92,664.00	
	NET 0 AVG BGHT 5857.88 SOLD 5855.88				**-648.50**	**0.00**
06JUL17	B 1 PD06OCT17	5858.50	6470.02	USD	15,288.00	
06JUL17	B 1 PD06OCT17	5843.50	6470.02	USD	15,663.00	
06JUL17	S 1 PD06OCT17	5847.00	6470.02	USD	-15,575.50	
06JUL17	S 1 PD06OCT17	5851.00	6470.02	USD	-15,475.50	
07JUL17	B 1 PD06OCT17	5853.00	6470.02	USD	15,425.50	
07JUL17	S 1 PD06OCT17	5813.00	6470.02	USD	-16,425.50	
	NET 0 AVG BGHT 5851.67 SOLD 5837.00				**-1,100.00**	**0.00**
11JUL17	B 1 PD11OCT17	5878.00	6476.37	USD	14,959.25	
17JUL17	S 1 PD11OCT17	5993.86	6476.37	USD	-12,062.75	
	NET 0 AVG BGHT 5878.00 SOLD 5993.86				**2,896.50**	**0.00**
12JUL17	B 1 PD12OCT17	5918.00	6477.63	USD	13,990.75	
12JUL17	B 1 PD12OCT17	5912.00	6477.63	USD	14,140.75	
12JUL17	B 1 PD12OCT17	5908.00	6477.63	USD	14,240.75	
12JUL17	B 1 PD12OCT17	5929.00	6477.63	USD	13,715.75	
12JUL17	B 1 PD12OCT17	5925.00	6477.63	USD	13,815.75	
12JUL17	B 1 PD12OCT17	5924.50	6477.63	USD	13,828.25	
12JUL17	B 1 PD12OCT17	5924.00	6477.63	USD	13,840.75	
12JUL17	S 1 PD12OCT17	5900.00	6477.63	USD	-14,440.75	
17JUL17	S 2 PD12OCT17	5994.21	6477.63	USD	-24,171.00	
21JUL17	S 4 PD12OCT17	6003.35	6477.63	USD	-47,428.00	
	NET 0 AVG BGHT 5920.07 SOLD 5985.97				**11,533.00**	**0.00**
13JUL17	S 1 PD13OCT17	5926.00	6478.90	USD	-13,822.50	
13JUL17	S 1 PD13OCT17	5886.00	6478.90	USD	-14,822.50	
13JUL17	S 1 PD13OCT17	5881.00	6478.90	USD	-14,947.50	
13JUL17	S 2 PD13OCT17	5881.50	6478.90	USD	-29,870.00	
13JUL17	S 1 PD13OCT17	5879.00	6478.90	USD	-14,997.50	
13JUL17	S 1 PD13OCT17	5875.00	6478.90	USD	-15,097.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0038A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
13JUL17	B 1 PD13OCT17	5890.50	6478.90	USD	14,710.00	
13JUL17	B 1 PD13OCT17	5898.00	6478.90	USD	14,522.50	
13JUL17	B 1 PD13OCT17	5884.00	6478.90	USD	14,872.50	
13JUL17	B 1 PD13OCT17	5880.00	6478.90	USD	14,972.50	
13JUL17	S 1 PD13OCT17	5862.00	6478.90	USD	-15,422.50	
13JUL17	S 1 PD13OCT17	5864.50	6478.90	USD	-15,360.00	
14JUL17	S 1 PD13OCT17	5877.50	6478.90	USD	-15,035.00	
14JUL17	S 1 PD13OCT17	5880.50	6478.90	USD	-14,960.00	
14JUL17	B 1 PD13OCT17	5908.50	6478.90	USD	14,260.00	
14JUL17	B 1 PD13OCT17	5899.00	6478.90	USD	14,497.50	
14JUL17	S 1 PD13OCT17	5886.00	6478.90	USD	-14,822.50	
14JUL17	B 1 PD13OCT17	5918.50	6478.90	USD	14,010.00	
14JUL17	B 1 PD13OCT17	5917.00	6478.90	USD	14,047.50	
14JUL17	B 1 PD13OCT17	5928.50	6478.90	USD	13,760.00	
17JUL17	B 3 PD13OCT17	5994.77	6478.90	USD	36,309.75	
	NET 0 AVG BGHT 5925.69 SOLD 5881.71				**-13,195.25**	**0.00**
17JUL17	S 1 PD17OCT17	5980.50	6483.98	USD	-12,587.00	
17JUL17	B 1 PD17OCT17	5989.50	6483.98	USD	12,362.00	
17JUL17	S 1 PD17OCT17	5984.50	6483.98	USD	-12,487.00	
17JUL17	S 1 PD17OCT17	6008.00	6483.98	USD	-11,899.50	
17JUL17	B 1 PD17OCT17	6009.00	6483.98	USD	11,874.50	
17JUL17	B 1 PD17OCT17	6009.50	6483.98	USD	11,862.00	
17JUL17	B 1 PD17OCT17	6012.50	6483.98	USD	11,787.00	
17JUL17	B 1 PD17OCT17	5999.50	6483.98	USD	12,112.00	
17JUL17	B 1 PD17OCT17	5992.50	6483.98	USD	12,287.00	
21JUL17	S 2 PD17OCT17	6005.56	6483.98	USD	-23,921.00	
27JUL17	S 1 PD17OCT17	6329.68	6483.98	USD	-3,857.50	
	NET 0 AVG BGHT 6002.08 SOLD 6052.30				**7,532.50**	**0.00**
18JUL17	S 1 PD18OCT17	5997.50	6485.25	USD	-12,193.75	
18JUL17	S 1 PD18OCT17	5988.00	6485.25	USD	-12,431.25	
18JUL17	B 1 PD18OCT17	5994.00	6485.25	USD	12,281.25	
18JUL17	B 1 PD18OCT17	5992.50	6485.25	USD	12,318.75	
	NET 0 AVG BGHT 5993.25 SOLD 5992.75				**-25.00**	**0.00**
19JUL17	S 1 PD19OCT17	5999.50	6486.00	USD	-12,162.50	
19JUL17	S 1 PD19OCT17	6002.50	6486.00	USD	-12,087.50	
19JUL17	B 1 PD19OCT17	5985.50	6486.00	USD	12,512.50	
19JUL17	S 1 PD19OCT17	5985.00	6486.00	USD	-12,525.00	
19JUL17	B 1 PD19OCT17	5991.00	6486.00	USD	12,375.00	
19JUL17	S 1 PD19OCT17	5973.00	6486.00	USD	-12,825.00	
19JUL17	S 1 PD19OCT17	5973.50	6486.00	USD	-12,812.50	
19JUL17	S 1 PD19OCT17	5968.50	6486.00	USD	-12,937.50	
21JUL17	B 4 PD19OCT17	6005.20	6486.00	USD	48,080.00	
	NET 0 AVG BGHT 5999.55 SOLD 5983.67				**-2,382.50**	**0.00**
20JUL17	S 1 PD20OCT17	5954.00	6486.75	USD	-13,318.75	
20JUL17	S 1 PD20OCT17	5954.50	6486.75	USD	-13,306.25	
21JUL17	B 1 PD20OCT17	6009.00	6486.75	USD	11,943.75	
21JUL17	B 1 PD20OCT17	6005.00	6486.75	USD	12,043.75	
21JUL17	B 1 PD20OCT17	6024.50	6486.75	USD	11,556.25	
21JUL17	B 1 PD20OCT17	6025.00	6486.75	USD	11,543.75	
21JUL17	B 1 PD20OCT17	6026.00	6486.75	USD	11,518.75	
21JUL17	B 1 PD20OCT17	6037.50	6486.75	USD	11,231.25	
21JUL17	B 1 PD20OCT17	6037.00	6486.75	USD	11,243.75	
21JUL17	B 1 PD20OCT17	6037.00	6486.75	USD	11,243.75	
21JUL17	S 1 PD20OCT17	6045.50	6486.75	USD	-11,031.25	
21JUL17	S 1 PD20OCT17	6016.50	6486.75	USD	-11,756.25	
21JUL17	S 1 PD20OCT17	6014.00	6486.75	USD	-11,818.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0038A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
21JUL17	S 1 PD20OCT17	6010.00	6486.75	USD	-11,918.75	
21JUL17	S 1 PD20OCT17	6000.50	6486.75	USD	-12,156.25	
21JUL17	S 2 PD20OCT17	6001.00	6486.75	USD	-24,287.50	
21JUL17	B 2 PD20OCT17	6004.20	6486.75	USD	24,127.50	
27JUL17	S 1 PD20OCT17	6328.00	6486.75	USD	-3,968.75	
	NET 0 AVG BGHT 6020.94 SOLD 6032.50				**2,890.00**	**0.00**
24JUL17	S 1 PD24OCT17	5998.50	6489.75	USD	-12,281.25	
24JUL17	S 1 PD24OCT17	5995.00	6489.75	USD	-12,368.75	
27JUL17	B 2 PD24OCT17	6329.34	6489.75	USD	8,020.50	
	NET 0 AVG BGHT 6329.34 SOLD 5996.75				**-16,629.50**	**0.00**
25JUL17	B 1 PD25OCT17	6118.50	6490.29	USD	9,294.75	
25JUL17	B 1 PD25OCT17	6142.00	6490.29	USD	8,707.25	
25JUL17	B 1 PD25OCT17	6141.50	6490.29	USD	8,719.75	
25JUL17	B 1 PD25OCT17	6182.50	6490.29	USD	7,694.75	
25JUL17	B 1 PD25OCT17	6210.50	6490.29	USD	6,994.75	
25JUL17	B 1 PD25OCT17	6198.00	6490.29	USD	7,307.25	
25JUL17	B 1 PD25OCT17	6197.50	6490.29	USD	7,319.75	
25JUL17	B 1 PD25OCT17	6197.00	6490.29	USD	7,332.25	
25JUL17	B 1 PD25OCT17	6196.50	6490.29	USD	7,344.75	
25JUL17	B 2 PD25OCT17	6195.00	6490.29	USD	14,764.50	
25JUL17	B 1 PD25OCT17	6194.50	6490.29	USD	7,394.75	
25JUL17	S 1 PD25OCT17	6199.50	6490.29	USD	-7,269.75	
25JUL17	S 1 PD25OCT17	6203.00	6490.29	USD	-7,182.25	
25JUL17	S 1 PD25OCT17	6279.50	6490.29	USD	-5,269.75	
25JUL17	S 1 PD25OCT17	6279.00	6490.29	USD	-5,282.25	
25JUL17	B 1 PD25OCT17	6260.50	6490.29	USD	5,744.75	
27JUL17	S 4 PD25OCT17	6329.43	6490.29	USD	-16,086.00	
31JUL17	S 2 PD25OCT17	6366.75	6490.29	USD	-6,177.00	
11AUG17	S 3 PD25OCT17	6404.90	6490.29	USD	-6,404.25	
	NET 0 AVG BGHT 6186.85 SOLD 6325.15				**44,948.00**	**0.00**
26JUL17	S 1 PD26OCT17	6347.00	6490.82	USD	-3,595.50	
26JUL17	S 1 PD26OCT17	6348.50	6490.82	USD	-3,558.00	
26JUL17	S 1 PD26OCT17	6350.00	6490.82	USD	-3,520.50	
26JUL17	S 1 PD26OCT17	6351.50	6490.82	USD	-3,483.00	
26JUL17	S 1 PD26OCT17	6351.00	6490.82	USD	-3,495.50	
26JUL17	S 1 PD26OCT17	6353.00	6490.82	USD	-3,445.50	
26JUL17	S 1 PD26OCT17	6357.00	6490.82	USD	-3,345.50	
26JUL17	S 1 PD26OCT17	6395.50	6490.82	USD	-2,383.00	
26JUL17	S 1 PD26OCT17	6396.00	6490.82	USD	-2,370.50	
26JUL17	B 1 PD26OCT17	6310.50	6490.82	USD	4,508.00	
26JUL17	B 1 PD26OCT17	6311.50	6490.82	USD	4,483.00	
26JUL17	B 1 PD26OCT17	6313.50	6490.82	USD	4,433.00	
26JUL17	B 1 PD26OCT17	6301.00	6490.82	USD	4,745.50	
26JUL17	B 1 PD26OCT17	6301.50	6490.82	USD	4,733.00	
26JUL17	B 1 PD26OCT17	6298.00	6490.82	USD	4,820.50	
26JUL17	B 1 PD26OCT17	6271.00	6490.82	USD	5,495.50	
26JUL17	B 1 PD26OCT17	6272.50	6490.82	USD	5,458.00	
26JUL17	B 1 PD26OCT17	6275.00	6490.82	USD	5,395.50	
26JUL17	S 1 PD26OCT17	6302.50	6490.82	USD	-4,708.00	
26JUL17	S 1 PD26OCT17	6315.00	6490.82	USD	-4,395.50	
26JUL17	S 1 PD26OCT17	6316.00	6490.82	USD	-4,370.50	
26JUL17	S 1 PD26OCT17	6316.50	6490.82	USD	-4,358.00	
27JUL17	B 4 PD26OCT17	6329.91	6490.82	USD	16,091.00	
	NET 0 AVG BGHT 6305.70 SOLD 6346.12				**13,134.00**	**0.00**
27JUL17	S 1 PD27OCT17	6369.50	6491.36	USD	-3,046.50	
27JUL17	S 1 PD27OCT17	6372.00	6491.36	USD	-2,984.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0038A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT							
27JUL17	B	1	PD27OCT17	6353.50	6491.36	USD	3,446.50	
27JUL17	S	1	PD27OCT17	6377.50	6491.36	USD	-2,846.50	
27JUL17	B	1	PD27OCT17	6377.00	6491.36	USD	2,859.00	
27JUL17	B	1	PD27OCT17	6350.50	6491.36	USD	3,521.50	
27JUL17	B	1	PD27OCT17	6340.50	6491.36	USD	3,771.50	
27JUL17	S	1	PD27OCT17	6332.00	6491.36	USD	-3,984.00	
27JUL17	S	1	PD27OCT17	6342.50	6491.36	USD	-3,721.50	
28JUL17	S	1	PD27OCT17	6329.00	6491.36	USD	-4,059.00	
31JUL17	B	2	PD27OCT17	6367.95	6491.36	USD	6,170.50	
	NET	**0**	**AVG BGHT 6359.57**	**SOLD 6353.75**			**-872.50**	**0.00**
31JUL17	S	1	PD31OCT17	6388.00	6493.50	USD	-2,637.50	
31JUL17	B	1	PD31OCT17	6414.50	6493.50	USD	1,975.00	
31JUL17	S	1	PD31OCT17	6412.50	6493.50	USD	-2,025.00	
31JUL17	S	1	PD31OCT17	6397.50	6493.50	USD	-2,400.00	
31JUL17	S	1	PD31OCT17	6398.50	6493.50	USD	-2,375.00	
11AUG17	B	3	PD31OCT17	6407.39	6493.50	USD	6,458.25	
	NET	**0**	**AVG BGHT 6409.17**	**SOLD 6399.13**			**-1,004.25**	**0.00**
01AUG17	B	1	PD01NOV17	6340.50	6494.09	USD	3,839.75	
01AUG17	B	1	PD01NOV17	6337.50	6494.09	USD	3,914.75	
01AUG17	B	1	PD01NOV17	6337.00	6494.09	USD	3,927.25	
11AUG17	S	3	PD01NOV17	6407.57	6494.09	USD	-6,489.00	
	NET	**0**	**AVG BGHT 6338.33**	**SOLD 6407.57**			**5,192.75**	**0.00**
02AUG17	S	1	PD02NOV17	6331.00	6494.69	USD	-4,092.25	
02AUG17	S	1	PD02NOV17	6323.00	6494.69	USD	-4,292.25	
02AUG17	S	1	PD02NOV17	6330.50	6494.69	USD	-4,104.75	
02AUG17	B	1	PD02NOV17	6334.00	6494.69	USD	4,017.25	
02AUG17	B	1	PD02NOV17	6331.00	6494.69	USD	4,092.25	
02AUG17	B	1	PD02NOV17	6359.00	6494.69	USD	3,392.25	
	NET	**0**	**AVG BGHT 6341.33**	**SOLD 6328.17**			**-987.50**	**0.00**
03AUG17	S	1	PD03NOV17	6309.00	6495.28	USD	-4,657.00	
03AUG17	S	1	PD03NOV17	6313.00	6495.28	USD	-4,557.00	
03AUG17	S	1	PD03NOV17	6314.00	6495.28	USD	-4,532.00	
11AUG17	B	3	PD03NOV17	6408.53	6495.28	USD	6,506.25	
	NET	**0**	**AVG BGHT 6408.53**	**SOLD 6312.00**			**-7,239.75**	**0.00**
16AUG17	S	1	PD16NOV17	6412.50	6501.00	USD	-2,212.50	
16AUG17	B	1	PD16NOV17	6465.50	6501.00	USD	887.50	
16AUG17	B	1	PD16NOV17	6479.50	6501.00	USD	537.50	
16AUG17	B	1	PD16NOV17	6479.00	6501.00	USD	550.00	
16AUG17	B	1	PD16NOV17	6478.00	6501.00	USD	575.00	
16AUG17	B	1	PD16NOV17	6482.00	6501.00	USD	475.00	
16AUG17	B	1	PD16NOV17	6482.50	6501.00	USD	462.50	
16AUG17	B	1	PD16NOV17	6484.00	6501.00	USD	425.00	
16AUG17	B	1	PD16NOV17	6487.50	6501.00	USD	337.50	
16AUG17	B	1	PD16NOV17	6489.00	6501.00	USD	300.00	
16AUG17	B	1	PD16NOV17	6529.00	6501.00	USD	-700.00	
16AUG17	B	1	PD16NOV17	6536.00	6501.00	USD	-875.00	
16AUG17	B	1	PD16NOV17	6537.00	6501.00	USD	-900.00	
16AUG17	S	1	PD16NOV17	6574.50	6501.00	USD	1,837.50	
30AUG17	S	10	PD16NOV17	6765.50	6501.00	USD	66,125.00	
	NET	**0**	**AVG BGHT 6494.08**	**SOLD 6720.17**			**67,825.00**	**0.00**
17AUG17	B	1	PD17NOV17	6516.50	6501.60	USD	-372.50	
17AUG17	B	1	PD17NOV17	6515.50	6501.60	USD	-347.50	
17AUG17	S	1	PD17NOV17	6492.00	6501.60	USD	-240.00	
17AUG17	S	1	PD17NOV17	6475.00	6501.60	USD	-665.00	
18AUG17	S	2	PD17NOV17	6466.00	6501.60	USD	-1,780.00	
18AUG17	S	1	PD17NOV17	6476.00	6501.60	USD	-640.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0038A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
18AUG17	S 1 PD17NOV17	6487.00	6501.60	USD	-365.00	
18AUG17	S 2 PD17NOV17	6486.50	6501.60	USD	-755.00	
18AUG17	S 1 PD17NOV17	6490.00	6501.60	USD	-290.00	
18AUG17	S 2 PD17NOV17	6491.00	6501.60	USD	-530.00	
18AUG17	S 1 PD17NOV17	6492.00	6501.60	USD	-240.00	
18AUG17	B 1 PD17NOV17	6490.50	6501.60	USD	277.50	
18AUG17	S 1 PD17NOV17	6493.50	6501.60	USD	-202.50	
18AUG17	S 2 PD17NOV17	6493.00	6501.60	USD	-430.00	
18AUG17	S 1 PD17NOV17	6505.50	6501.60	USD	97.50	
18AUG17	B 2 PD17NOV17	6530.50	6501.60	USD	-1,445.00	
18AUG17	B 2 PD17NOV17	6517.00	6501.60	USD	-770.00	
18AUG17	B 1 PD17NOV17	6499.00	6501.60	USD	65.00	
18AUG17	B 1 PD17NOV17	6498.50	6501.60	USD	77.50	
18AUG17	B 3 PD17NOV17	6490.00	6501.60	USD	870.00	
18AUG17	B 2 PD17NOV17	6491.50	6501.60	USD	505.00	
18AUG17	B 1 PD17NOV17	6464.50	6501.60	USD	927.50	
18AUG17	S 1 PD17NOV17	6470.00	6501.60	USD	-790.00	
18AUG17	S 3 PD17NOV17	6470.50	6501.60	USD	-2,332.50	
18AUG17	B 1 PD17NOV17	6476.00	6501.60	USD	640.00	
18AUG17	B 2 PD17NOV17	6489.00	6501.60	USD	630.00	
18AUG17	B 1 PD17NOV17	6488.50	6501.60	USD	327.50	
30AUG17	B 1 PD17NOV17	6765.95	6501.60	USD	-6,608.75	
	NET 0 AVG BGHT 6512.05 SOLD 6483.28				**-14,386.25**	**0.00**
21AUG17	S 1 PD21NOV17	6537.50	6504.00	USD	837.50	
21AUG17	S 1 PD21NOV17	6537.00	6504.00	USD	825.00	
21AUG17	B 1 PD21NOV17	6573.50	6504.00	USD	-1,737.50	
21AUG17	B 1 PD21NOV17	6594.50	6504.00	USD	-2,262.50	
21AUG17	B 2 PD21NOV17	6594.00	6504.00	USD	-4,500.00	
21AUG17	B 1 PD21NOV17	6582.00	6504.00	USD	-1,950.00	
21AUG17	B 2 PD21NOV17	6581.50	6504.00	USD	-3,875.00	
21AUG17	S 1 PD21NOV17	6569.50	6504.00	USD	1,637.50	
21AUG17	S 1 PD21NOV17	6573.50	6504.00	USD	1,737.50	
21AUG17	S 2 PD21NOV17	6576.00	6504.00	USD	3,600.00	
30AUG17	S 1 PD21NOV17	6766.75	6504.00	USD	6,568.75	
	NET 0 AVG BGHT 6585.86 SOLD 6590.89				**881.25**	**0.00**
22AUG17	B 1 PD22NOV17	6639.50	6504.60	USD	-3,372.50	
22AUG17	S 2 PD22NOV17	6628.00	6504.60	USD	6,170.00	
22AUG17	B 1 PD22NOV17	6637.50	6504.60	USD	-3,322.50	
22AUG17	B 1 PD22NOV17	6643.00	6504.60	USD	-3,460.00	
22AUG17	B 1 PD22NOV17	6645.50	6504.60	USD	-3,522.50	
22AUG17	B 2 PD22NOV17	6615.50	6504.60	USD	-5,545.00	
22AUG17	S 1 PD22NOV17	6613.50	6504.60	USD	2,722.50	
22AUG17	B 2 PD22NOV17	6581.50	6504.60	USD	-3,845.00	
22AUG17	S 1 PD22NOV17	6613.50	6504.60	USD	2,722.50	
22AUG17	B 3 PD22NOV17	6585.00	6504.60	USD	-6,030.00	
05SEP17	S 7 PD22NOV17	6894.75	6504.60	USD	68,276.25	
	NET 0 AVG BGHT 6610.41 SOLD 6795.11				**50,793.75**	**0.00**
23AUG17	B 3 PD24NOV17	6542.00	6505.80	USD	-2,715.00	
23AUG17	B 1 PD24NOV17	6542.50	6505.80	USD	-917.50	
23AUG17	B 1 PD24NOV17	6543.50	6505.80	USD	-942.50	
23AUG17	S 2 PD24NOV17	6578.50	6505.80	USD	3,635.00	
23AUG17	S 1 PD24NOV17	6579.50	6505.80	USD	1,842.50	
24AUG17	S 2 PD24NOV17	6614.00	6505.80	USD	5,410.00	
24AUG17	S 1 PD24NOV17	6617.00	6505.80	USD	2,780.00	
24AUG17	S 2 PD24NOV17	6645.50	6505.80	USD	6,985.00	
24AUG17	S 1 PD24NOV17	6646.00	6505.80	USD	3,505.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0038A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
24AUG17	B 1 PD24NOV17	6673.00	6505.80	USD	-4,180.00	
24AUG17	S 1 PD24NOV17	6695.50	6505.80	USD	4,742.50	
24AUG17	S 2 PD24NOV17	6707.00	6505.80	USD	10,060.00	
24AUG17	S 1 PD24NOV17	6716.50	6505.80	USD	5,267.50	
25AUG17	B 1 PD24NOV17	6726.00	6505.80	USD	-5,505.00	
25AUG17	S 1 PD24NOV17	6711.50	6505.80	USD	5,142.50	
25AUG17	B 1 PD24NOV17	6671.50	6505.80	USD	-4,142.50	
30AUG17	B 6 PD24NOV17	6767.70	6505.80	USD	-39,285.00	
	NET 0 AVG BGHT 6670.62 SOLD 6646.86				**-8,317.50**	**0.00**
29AUG17	S 1 PD29NOV17	6806.50	6508.80	USD	7,442.50	
29AUG17	S 2 PD29NOV17	6783.00	6508.80	USD	13,710.00	
29AUG17	S 1 PD29NOV17	6786.00	6508.80	USD	6,930.00	
29AUG17	S 2 PD29NOV17	6788.00	6508.80	USD	13,960.00	
29AUG17	B 2 PD29NOV17	6792.00	6508.80	USD	-14,160.00	
30AUG17	B 4 PD29NOV17	6768.95	6508.80	USD	-26,015.00	
	NET 0 AVG BGHT 6776.63 SOLD 6789.08				**1,867.50**	**0.00**
30AUG17	S 2 PD30NOV17	6787.00	6509.40	USD	13,880.00	
31AUG17	S 1 PD30NOV17	6861.00	6509.40	USD	8,790.00	
31AUG17	S 2 PD30NOV17	6869.00	6509.40	USD	17,980.00	
31AUG17	B 3 PD30NOV17	6866.50	6509.40	USD	-26,782.50	
31AUG17	B 2 PD30NOV17	6826.00	6509.40	USD	-15,830.00	
31AUG17	S 2 PD30NOV17	6784.50	6509.40	USD	13,755.00	
31AUG17	S 1 PD30NOV17	6796.50	6509.40	USD	7,177.50	
31AUG17	S 2 PD30NOV17	6786.50	6509.40	USD	13,855.00	
31AUG17	B 1 PD30NOV17	6789.00	6509.40	USD	-6,990.00	
31AUG17	B 2 PD30NOV17	6787.50	6509.40	USD	-13,905.00	
31AUG17	B 2 PD30NOV17	6788.50	6509.40	USD	-13,955.00	
31AUG17	B 2 PD30NOV17	6776.00	6509.40	USD	-13,330.00	
05SEP17	S 2 PD30NOV17	6897.98	6509.40	USD	19,429.00	
	NET 0 AVG BGHT 6812.04 SOLD 6825.62				**4,074.00**	**0.00**
01SEP17	S 1 PD01DEC17	6807.00	6510.00	USD	7,425.00	
01SEP17	S 1 PD01DEC17	6807.50	6510.00	USD	7,437.50	
01SEP17	S 1 PD01DEC17	6818.00	6510.00	USD	7,700.00	
05SEP17	B 3 PD01DEC17	6898.58	6510.00	USD	-29,143.50	
	NET 0 AVG BGHT 6898.58 SOLD 6810.83				**-6,581.00**	**0.00**
04SEP17	S 1 PD04DEC17	6898.50	6511.29	USD	9,680.25	
04SEP17	S 1 PD04DEC17	6903.00	6511.29	USD	9,792.75	
04SEP17	S 1 PD04DEC17	6905.00	6511.29	USD	9,842.75	
04SEP17	S 1 PD04DEC17	6907.50	6511.29	USD	9,905.25	
04SEP17	S 1 PD04DEC17	6907.00	6511.29	USD	9,892.75	
04SEP17	S 1 PD04DEC17	6896.50	6511.29	USD	9,630.25	
04SEP17	B 1 PD04DEC17	6922.00	6511.29	USD	-10,267.75	
05SEP17	B 5 PD04DEC17	6899.80	6511.29	USD	-48,563.75	
	NET 0 AVG BGHT 6903.50 SOLD 6902.92				**-87.50**	**0.00**
05SEP17	S 1 PD05DEC17	6941.50	6511.71	USD	10,744.75	
05SEP17	B 1 PD05DEC17	6900.20	6511.71	USD	-9,712.25	
	NET 0 AVG BGHT 6900.20 SOLD 6941.50				**1,032.50**	**0.00**
07SEP17	B 1 PD07DEC17	6862.50	6512.57	USD	-8,748.25	
07SEP17	B 1 PD07DEC17	6864.00	6512.57	USD	-8,785.75	
07SEP17	B 1 PD07DEC17	6862.00	6512.57	USD	-8,735.75	
07SEP17	S 1 PD07DEC17	6886.00	6512.57	USD	9,335.75	
15SEP17	S 2 PD07DEC17	6503.69	6512.57	USD	-444.00	
	NET 0 AVG BGHT 6862.83 SOLD 6631.13				**-17,378.00**	**0.00**
08SEP17	S 1 PD08DEC17	6939.00	6513.00	USD	10,650.00	
08SEP17	S 1 PD08DEC17	6892.50	6513.00	USD	9,487.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0038A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT							
15SEP17	B	2	PD08DEC17	6504.30	6513.00	USD	435.00	
	NET	**0**	**AVG BGHT 6504.30 SOLD 6915.75**				**20,572.50**	**0.00**
13SEP17	B	1	PD13DEC17	6567.00	6514.98	USD	-1,300.50	
13SEP17	B	1	PD13DEC17	6571.50	6514.98	USD	-1,413.00	
13SEP17	B	1	PD13DEC17	6559.00	6514.98	USD	-1,100.50	
13SEP17	B	1	PD13DEC17	6548.50	6514.98	USD	-838.00	
15SEP17	S	1	PD13DEC17	6506.17	6514.98	USD	-220.25	
	NET	**+3**	**AVG BGHT 6561.50 SOLD 6506.17**				**-4,872.25**	**0.00**
14SEP17	B	1	PD14DEC17	6517.00	6515.38	USD	-40.50	
14SEP17	B	1	PD14DEC17	6519.50	6515.38	USD	-103.00	
14SEP17	B	1	PD14DEC17	6506.00	6515.38	USD	234.50	
14SEP17	B	1	PD14DEC17	6505.50	6515.38	USD	247.00	
14SEP17	B	1	PD14DEC17	6484.50	6515.38	USD	772.00	
14SEP17	B	1	PD14DEC17	6484.00	6515.38	USD	784.50	
14SEP17	B	2	PD14DEC17	6463.00	6515.38	USD	2,619.00	
14SEP17	S	1	PD14DEC17	6470.00	6515.38	USD	-1,134.50	
14SEP17	S	1	PD14DEC17	6456.50	6515.38	USD	-1,472.00	
14SEP17	S	1	PD14DEC17	6473.50	6515.38	USD	-1,047.00	
14SEP17	S	1	PD14DEC17	6495.50	6515.38	USD	-497.00	
14SEP17	S	1	PD14DEC17	6504.00	6515.38	USD	-284.50	
14SEP17	S	1	PD14DEC17	6504.50	6515.38	USD	-272.00	
14SEP17	S	2	PD14DEC17	6508.00	6515.38	USD	-369.00	
14SEP17	S	1	PD14DEC17	6524.00	6515.38	USD	215.50	
14SEP17	S	1	PD14DEC17	6525.00	6515.38	USD	240.50	
14SEP17	B	1	PD14DEC17	6522.50	6515.38	USD	-178.00	
15SEP17	B	1	PD14DEC17	6506.79	6515.38	USD	214.75	
	NET	**0**	**AVG BGHT 6497.18 SOLD 6496.90**				**-69.75**	**0.00**
15SEP17	S	1	PD15DEC17	6454.50	6515.77	USD	-1,531.75	
15SEP17	S	1	PD15DEC17	6455.00	6515.77	USD	-1,519.25	
	NET	**-2**	**AVG SOLD 6454.75**				**-3,051.00**	**0.00**
18SEP17	S	1	PD18DEC17	6554.00	6516.96	USD	926.00	
18SEP17	S	1	PD18DEC17	6535.00	6516.96	USD	451.00	
18SEP17	S	1	PD18DEC17	6535.50	6516.96	USD	463.50	
18SEP17	S	2	PD18DEC17	6549.50	6516.96	USD	1,627.00	
18SEP17	S	1	PD18DEC17	6548.00	6516.96	USD	776.00	
18SEP17	B	1	PD18DEC17	6530.50	6516.96	USD	-338.50	
18SEP17	B	1	PD18DEC17	6532.00	6516.96	USD	-376.00	
18SEP17	B	1	PD18DEC17	6529.00	6516.96	USD	-301.00	
18SEP17	B	1	PD18DEC17	6528.00	6516.96	USD	-276.00	
18SEP17	S	1	PD18DEC17	6537.00	6516.96	USD	501.00	
18SEP17	S	1	PD18DEC17	6528.00	6516.96	USD	276.00	
	NET	**-4**	**AVG BGHT 6529.88 SOLD 6542.06**				**3,729.00**	**0.00**
19SEP17	S	1	PD19DEC17	6529.00	6517.35	USD	291.25	
19SEP17	S	1	PD19DEC17	6526.00	6517.35	USD	216.25	
19SEP17	S	1	PD19DEC17	6532.50	6517.35	USD	378.75	
19SEP17	B	1	PD19DEC17	6520.00	6517.35	USD	-66.25	
19SEP17	B	1	PD19DEC17	6519.50	6517.35	USD	-53.75	
19SEP17	B	1	PD19DEC17	6509.00	6517.35	USD	208.75	
	NET	**0**	**AVG BGHT 6516.17 SOLD 6529.17**				**975.00**	**0.00**
20SEP17	S	1	PD20DEC17	6529.00	6517.75	USD	281.25	
20SEP17	S	1	PD20DEC17	6528.50	6517.75	USD	268.75	
20SEP17	S	1	PD20DEC17	6526.50	6517.75	USD	218.75	
20SEP17	S	1	PD20DEC17	6531.50	6517.75	USD	343.75	
	NET	**-4**	**AVG SOLD 6528.88**				**1,112.50**	**0.00**
21SEP17	S	1	PD21DEC17	6469.00	6516.75	USD	-1,193.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0038A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
21SEP17	S 2 PD21DEC17	6469.50	6516.75	USD	-2,362.50	
21SEP17	S 1 PD21DEC17	6443.00	6516.75	USD	-1,843.75	
	NET -4 AVG SOLD 6462.75				**-5,400.00**	**0.00**
22SEP17	S 1 PD22DEC17	6449.00	6515.75	USD	-1,668.75	
22SEP17	B 1 PD22DEC17	6478.00	6515.75	USD	943.75	
22SEP17	B 1 PD22DEC17	6477.50	6515.75	USD	956.25	
	NET +1 AVG BGHT 6477.75 SOLD 6449.00				**231.25**	**0.00**
25SEP17	S 1 PD27DEC17	6444.00	6517.52	USD	-1,838.00	
26SEP17	S 1 PD27DEC17	6513.00	6517.52	USD	-113.00	
26SEP17	S 1 PD27DEC17	6464.00	6517.52	USD	-1,338.00	
26SEP17	S 1 PD27DEC17	6408.50	6517.52	USD	-2,725.50	
	NET -4 AVG SOLD 6457.38				**-6,014.50**	**0.00**
28SEP17	S 1 PD28DEC17	6473.00	6517.88	USD	-1,122.00	
28SEP17	B 1 PD28DEC17	6466.50	6517.88	USD	1,284.50	
28SEP17	B 1 PD28DEC17	6502.00	6517.88	USD	397.00	
28SEP17	B 1 PD28DEC17	6502.50	6517.88	USD	384.50	
28SEP17	B 1 PD28DEC17	6524.50	6517.88	USD	-165.50	
28SEP17	B 1 PD28DEC17	6570.00	6517.88	USD	-1,303.00	
	NET +4 AVG BGHT 6513.10 SOLD 6473.00				**-524.50**	**0.00**
29SEP17	S 1 PD29DEC17	6519.50	6518.23	USD	31.75	
	NET -1 AVG SOLD 6519.50				**31.75**	**0.00**
02OCT17	B 1 PD02JAN18	6498.00	6519.65	USD	541.25	
02OCT17	S 1 PD02JAN18	6478.00	6519.65	USD	-1,041.25	
	NET 0 AVG BGHT 6498.00 SOLD 6478.00				**-500.00**	**0.00**
03OCT17	S 1 PD03JAN18	6458.50	6520.00	USD	-1,537.50	
03OCT17	S 1 PD03JAN18	6480.00	6520.00	USD	-1,000.00	
03OCT17	S 1 PD03JAN18	6480.50	6520.00	USD	-987.50	
03OCT17	S 1 PD03JAN18	6481.00	6520.00	USD	-975.00	
03OCT17	B 1 PD03JAN18	6482.50	6520.00	USD	937.50	
03OCT17	B 1 PD03JAN18	6502.00	6520.00	USD	450.00	
	NET -2 AVG BGHT 6492.25 SOLD 6475.00				**-3,112.50**	**0.00**
	CMX COPPER 25,000 CMX					
20SEP17	B 2 DEC17	296.750	296.350	USD	-200.00	
20SEP17	B 2 DEC17	297.000	296.350	USD	-325.00	
21SEP17	B 6 DEC17	294.050	296.350	USD	3,450.00	
21SEP17	B 2 DEC17	292.800	296.350	USD	1,775.00	
25SEP17	B 2 DEC17	292.850	296.350	USD	1,750.00	
26SEP17	B 2 DEC17	295.950	296.350	USD	200.00	
26SEP17	B 2 DEC17	293.700	296.350	USD	1,325.00	
26SEP17	B 2 DEC17	291.150	296.350	USD	2,600.00	
29SEP17	B 2 DEC17	296.250	296.350	USD	50.00	
03OCT17	B 4 DEC17	294.750	296.350	USD	1,600.00	
	NET +26 AVG BGHT 294.469				**12,225.00**	**0.00**

OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	2,245,178.50
CMX Copper	FUTURES	-1,914,050.00

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0038A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options		Amount	Profit / Loss	**Total Amount**	**Total P&L**
COPR - Futures	USD	12,225.00	12,225.00	**12,225.00**	**12,225.00**
Unrealised Profits & Losses - Forwards		**Amount**	**Profit / Loss**	**Total Amount**	**Total P&L**
COPL - Futures	USD	126,841.50	126,841.50	**126,841.50**	**126,841.50**

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-105,371.82	-105,371.82
Variation Margins	- Futures	12,225.00	12,225.00
	- Options	0.00	0.00
TOTAL EQUITY		**-93,146.82**	**-93,146.82**
Initial Margins		-118,847.50	-118,847.50
Excess/(Shortage)		**-211,994.32**	**-211,994.32**
FOR INFORMATION ONLY			
Forward Unrealised P&L		126,841.50	126,841.50
Net Liquidating Value		**33,694.68**	**33,694.68**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP038AA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT							
04JUL17	S	2	PD04OCT17	5887.00	6467.48	USD	-29,024.00	
04JUL17	S	1	PD04OCT17	5886.50	6467.48	USD	-14,524.50	
04JUL17	B	1	PD04OCT17	5911.00	6467.48	USD	13,912.00	
04JUL17	B	1	PD04OCT17	5896.50	6467.48	USD	14,274.50	
06JUL17	B	1	PD04OCT17	5850.77	6467.48	USD	15,417.75	
	NET	**0**	**AVG BGHT 5886.09**	**SOLD 5886.83**			**55.75**	**0.00**
05JUL17	S	1	PD05OCT17	5875.00	6468.75	USD	-14,843.75	
05JUL17	S	1	PD05OCT17	5873.50	6468.75	USD	-14,881.25	
05JUL17	B	1	PD05OCT17	5870.00	6468.75	USD	14,968.75	
05JUL17	B	1	PD05OCT17	5873.00	6468.75	USD	14,893.75	
05JUL17	B	1	PD05OCT17	5871.00	6468.75	USD	14,943.75	
05JUL17	S	3	PD05OCT17	5860.00	6468.75	USD	-45,656.25	
05JUL17	B	1	PD05OCT17	5839.50	6468.75	USD	15,731.25	
05JUL17	S	1	PD05OCT17	5835.50	6468.75	USD	-15,831.25	
05JUL17	S	1	PD05OCT17	5817.00	6468.75	USD	-16,293.75	
06JUL17	B	3	PD05OCT17	5850.99	6468.75	USD	46,332.00	
	NET	**0**	**AVG BGHT 5858.07**	**SOLD 5854.43**			**-636.75**	**0.00**
06JUL17	B	1	PD06OCT17	5858.50	6470.02	USD	15,288.00	
06JUL17	S	1	PD06OCT17	5851.00	6470.02	USD	-15,475.50	
07JUL17	B	1	PD06OCT17	5853.00	6470.02	USD	15,425.50	
07JUL17	S	1	PD06OCT17	5812.50	6470.02	USD	-16,438.00	
	NET	**0**	**AVG BGHT 5855.75**	**SOLD 5831.75**			**-1,200.00**	**0.00**
11JUL17	B	1	PD11OCT17	5878.00	6476.37	USD	14,959.25	
17JUL17	S	1	PD11OCT17	5993.86	6476.37	USD	-12,062.75	
	NET	**0**	**AVG BGHT 5878.00**	**SOLD 5993.86**			**2,896.50**	**0.00**
12JUL17	B	1	PD12OCT17	5918.00	6477.63	USD	13,990.75	
12JUL17	B	1	PD12OCT17	5912.00	6477.63	USD	14,140.75	
12JUL17	B	1	PD12OCT17	5908.00	6477.63	USD	14,240.75	
12JUL17	B	1	PD12OCT17	5929.00	6477.63	USD	13,715.75	
12JUL17	B	1	PD12OCT17	5925.00	6477.63	USD	13,815.75	
12JUL17	B	1	PD12OCT17	5924.50	6477.63	USD	13,828.25	
12JUL17	B	1	PD12OCT17	5924.00	6477.63	USD	13,840.75	
12JUL17	S	1	PD12OCT17	5900.00	6477.63	USD	-14,440.75	
17JUL17	S	2	PD12OCT17	5994.21	6477.63	USD	-24,171.00	
21JUL17	S	4	PD12OCT17	6003.35	6477.63	USD	-47,428.00	
	NET	**0**	**AVG BGHT 5920.07**	**SOLD 5985.97**			**11,533.00**	**0.00**
13JUL17	S	1	PD13OCT17	5926.00	6478.90	USD	-13,822.50	
13JUL17	S	1	PD13OCT17	5886.00	6478.90	USD	-14,822.50	
13JUL17	S	1	PD13OCT17	5881.00	6478.90	USD	-14,947.50	
13JUL17	S	2	PD13OCT17	5881.50	6478.90	USD	-29,870.00	
13JUL17	S	1	PD13OCT17	5874.50	6478.90	USD	-15,110.00	
13JUL17	S	1	PD13OCT17	5875.00	6478.90	USD	-15,097.50	
13JUL17	B	1	PD13OCT17	5889.50	6478.90	USD	14,735.00	
13JUL17	B	1	PD13OCT17	5898.00	6478.90	USD	14,522.50	
13JUL17	B	1	PD13OCT17	5884.00	6478.90	USD	14,872.50	
13JUL17	B	1	PD13OCT17	5880.00	6478.90	USD	14,972.50	
13JUL17	S	1	PD13OCT17	5862.00	6478.90	USD	-15,422.50	
13JUL17	S	1	PD13OCT17	5862.50	6478.90	USD	-15,410.00	
14JUL17	S	1	PD13OCT17	5877.50	6478.90	USD	-15,035.00	
14JUL17	S	1	PD13OCT17	5880.50	6478.90	USD	-14,960.00	
14JUL17	B	1	PD13OCT17	5908.50	6478.90	USD	14,260.00	
14JUL17	B	1	PD13OCT17	5899.00	6478.90	USD	14,497.50	
14JUL17	S	1	PD13OCT17	5886.00	6478.90	USD	-14,822.50	
14JUL17	B	1	PD13OCT17	5918.50	6478.90	USD	14,010.00	
14JUL17	B	1	PD13OCT17	5919.00	6478.90	USD	13,997.50	
14JUL17	B	1	PD13OCT17	5928.50	6478.90	USD	13,760.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP038AA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
17JUL17	B 3 PD13OCT17	5994.77	6478.90	USD	36,309.75	
	NET 0 AVG BGHT 5925.78 SOLD 5881.17				**-13,382.75**	**0.00**
17JUL17	S 1 PD17OCT17	5980.00	6483.98	USD	-12,599.50	
17JUL17	S 1 PD17OCT17	5980.50	6483.98	USD	-12,587.00	
17JUL17	S 1 PD17OCT17	5950.00	6483.98	USD	-13,349.50	
17JUL17	B 1 PD17OCT17	5990.00	6483.98	USD	12,349.50	
17JUL17	B 1 PD17OCT17	5989.50	6483.98	USD	12,362.00	
17JUL17	B 1 PD17OCT17	5987.50	6483.98	USD	12,412.00	
17JUL17	S 1 PD17OCT17	5984.00	6483.98	USD	-12,499.50	
17JUL17	S 1 PD17OCT17	5984.50	6483.98	USD	-12,487.00	
17JUL17	S 1 PD17OCT17	5988.50	6483.98	USD	-12,387.00	
17JUL17	B 1 PD17OCT17	5997.00	6483.98	USD	12,174.50	
17JUL17	S 1 PD17OCT17	6007.50	6483.98	USD	-11,912.00	
17JUL17	S 1 PD17OCT17	6008.00	6483.98	USD	-11,899.50	
17JUL17	B 1 PD17OCT17	6007.50	6483.98	USD	11,912.00	
17JUL17	B 1 PD17OCT17	6009.00	6483.98	USD	11,874.50	
17JUL17	B 1 PD17OCT17	6008.00	6483.98	USD	11,899.50	
17JUL17	B 1 PD17OCT17	6017.50	6483.98	USD	11,662.00	
17JUL17	B 1 PD17OCT17	6011.50	6483.98	USD	11,812.00	
17JUL17	B 1 PD17OCT17	5999.50	6483.98	USD	12,112.00	
17JUL17	B 1 PD17OCT17	5993.50	6483.98	USD	12,262.00	
21JUL17	S 2 PD17OCT17	6005.56	6483.98	USD	-23,921.00	
27JUL17	S 1 PD17OCT17	6329.68	6483.98	USD	-3,857.50	
	NET 0 AVG BGHT 6000.95 SOLD 6020.35				**5,332.50**	**0.00**
18JUL17	S 1 PD18OCT17	5997.50	6485.25	USD	-12,193.75	
18JUL17	S 1 PD18OCT17	5998.00	6485.25	USD	-12,181.25	
18JUL17	B 1 PD18OCT17	5998.50	6485.25	USD	12,168.75	
18JUL17	B 1 PD18OCT17	5992.50	6485.25	USD	12,318.75	
	NET 0 AVG BGHT 5995.50 SOLD 5997.75				**112.50**	**0.00**
19JUL17	S 1 PD19OCT17	6002.00	6486.00	USD	-12,100.00	
19JUL17	S 1 PD19OCT17	6002.50	6486.00	USD	-12,087.50	
19JUL17	B 1 PD19OCT17	5985.50	6486.00	USD	12,512.50	
19JUL17	S 1 PD19OCT17	5987.00	6486.00	USD	-12,475.00	
19JUL17	B 1 PD19OCT17	5991.00	6486.00	USD	12,375.00	
19JUL17	S 1 PD19OCT17	5978.50	6486.00	USD	-12,687.50	
19JUL17	S 1 PD19OCT17	5973.50	6486.00	USD	-12,812.50	
19JUL17	S 1 PD19OCT17	5968.50	6486.00	USD	-12,937.50	
19JUL17	S 1 PD19OCT17	5969.50	6486.00	USD	-12,912.50	
21JUL17	B 5 PD19OCT17	6005.20	6486.00	USD	60,100.00	
	NET 0 AVG BGHT 6000.36 SOLD 5983.07				**-3,025.00**	**0.00**
20JUL17	S 1 PD20OCT17	5954.50	6486.75	USD	-13,306.25	
21JUL17	B 1 PD20OCT17	6009.00	6486.75	USD	11,943.75	
21JUL17	B 1 PD20OCT17	6000.50	6486.75	USD	12,156.25	
21JUL17	B 1 PD20OCT17	6025.00	6486.75	USD	11,543.75	
21JUL17	B 1 PD20OCT17	6024.00	6486.75	USD	11,568.75	
21JUL17	B 1 PD20OCT17	6039.00	6486.75	USD	11,193.75	
21JUL17	B 1 PD20OCT17	6038.00	6486.75	USD	11,218.75	
21JUL17	B 1 PD20OCT17	6037.00	6486.75	USD	11,243.75	
21JUL17	B 1 PD20OCT17	6038.50	6486.75	USD	11,206.25	
21JUL17	S 1 PD20OCT17	6045.50	6486.75	USD	-11,031.25	
21JUL17	S 1 PD20OCT17	6016.50	6486.75	USD	-11,756.25	
21JUL17	S 1 PD20OCT17	6014.00	6486.75	USD	-11,818.75	
21JUL17	S 1 PD20OCT17	6010.00	6486.75	USD	-11,918.75	
21JUL17	S 1 PD20OCT17	5987.50	6486.75	USD	-12,481.25	
21JUL17	S 1 PD20OCT17	6000.50	6486.75	USD	-12,156.25	
21JUL17	S 1 PD20OCT17	6001.00	6486.75	USD	-12,143.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP038AA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
21JUL17	B 1 PD20OCT17	6004.20	6486.75	USD	12,063.75	
27JUL17	S 1 PD20OCT17	6328.00	6486.75	USD	-3,968.75	
	NET 0 AVG BGHT 6023.91 SOLD 6039.72				**3,557.50**	**0.00**
24JUL17	S 1 PD24OCT17	5998.50	6489.75	USD	-12,281.25	
24JUL17	S 1 PD24OCT17	5995.00	6489.75	USD	-12,368.75	
27JUL17	B 2 PD24OCT17	6329.34	6489.75	USD	8,020.50	
	NET 0 AVG BGHT 6329.34 SOLD 5996.75				**-16,629.50**	**0.00**
25JUL17	B 1 PD25OCT17	6118.50	6490.29	USD	9,294.75	
25JUL17	B 1 PD25OCT17	6142.00	6490.29	USD	8,707.25	
25JUL17	B 1 PD25OCT17	6141.50	6490.29	USD	8,719.75	
25JUL17	S 1 PD25OCT17	6196.00	6490.29	USD	-7,357.25	
25JUL17	B 1 PD25OCT17	6179.00	6490.29	USD	7,782.25	
25JUL17	B 1 PD25OCT17	6182.50	6490.29	USD	7,694.75	
25JUL17	B 1 PD25OCT17	6210.50	6490.29	USD	6,994.75	
25JUL17	B 1 PD25OCT17	6226.00	6490.29	USD	6,607.25	
25JUL17	B 1 PD25OCT17	6198.00	6490.29	USD	7,307.25	
25JUL17	B 1 PD25OCT17	6197.00	6490.29	USD	7,332.25	
25JUL17	B 1 PD25OCT17	6196.50	6490.29	USD	7,344.75	
25JUL17	B 1 PD25OCT17	6195.00	6490.29	USD	7,382.25	
25JUL17	B 2 PD25OCT17	6194.50	6490.29	USD	14,789.50	
25JUL17	S 1 PD25OCT17	6203.00	6490.29	USD	-7,182.25	
25JUL17	S 2 PD25OCT17	6279.00	6490.29	USD	-10,564.50	
27JUL17	S 5 PD25OCT17	6329.43	6490.29	USD	-20,107.50	
31JUL17	S 1 PD25OCT17	6366.75	6490.29	USD	-3,088.50	
11AUG17	S 3 PD25OCT17	6404.90	6490.29	USD	-6,404.25	
	NET 0 AVG BGHT 6182.73 SOLD 6321.97				**45,252.50**	**0.00**
26JUL17	S 1 PD26OCT17	6345.50	6490.82	USD	-3,633.00	
26JUL17	S 1 PD26OCT17	6347.50	6490.82	USD	-3,583.00	
26JUL17	S 1 PD26OCT17	6348.00	6490.82	USD	-3,570.50	
26JUL17	S 1 PD26OCT17	6351.00	6490.82	USD	-3,495.50	
26JUL17	S 1 PD26OCT17	6353.00	6490.82	USD	-3,445.50	
26JUL17	S 1 PD26OCT17	6357.00	6490.82	USD	-3,345.50	
26JUL17	S 1 PD26OCT17	6373.00	6490.82	USD	-2,945.50	
26JUL17	S 1 PD26OCT17	6396.00	6490.82	USD	-2,370.50	
26JUL17	B 1 PD26OCT17	6319.00	6490.82	USD	4,295.50	
26JUL17	B 1 PD26OCT17	6317.50	6490.82	USD	4,333.00	
26JUL17	B 1 PD26OCT17	6310.00	6490.82	USD	4,520.50	
26JUL17	B 1 PD26OCT17	6309.50	6490.82	USD	4,533.00	
26JUL17	B 1 PD26OCT17	6301.00	6490.82	USD	4,745.50	
26JUL17	B 1 PD26OCT17	6298.00	6490.82	USD	4,820.50	
26JUL17	B 1 PD26OCT17	6266.50	6490.82	USD	5,608.00	
26JUL17	B 1 PD26OCT17	6265.50	6490.82	USD	5,633.00	
26JUL17	B 1 PD26OCT17	6275.00	6490.82	USD	5,395.50	
26JUL17	S 1 PD26OCT17	6346.00	6490.82	USD	-3,620.50	
26JUL17	S 1 PD26OCT17	6302.50	6490.82	USD	-4,708.00	
26JUL17	S 1 PD26OCT17	6315.00	6490.82	USD	-4,395.50	
26JUL17	S 1 PD26OCT17	6316.00	6490.82	USD	-4,370.50	
26JUL17	S 1 PD26OCT17	6327.50	6490.82	USD	-4,083.00	
26JUL17	S 1 PD26OCT17	6331.50	6490.82	USD	-3,983.00	
27JUL17	B 5 PD26OCT17	6329.91	6490.82	USD	20,113.75	
	NET 0 AVG BGHT 6307.97 SOLD 6343.54				**12,448.75**	**0.00**
27JUL17	S 1 PD27OCT17	6367.50	6491.36	USD	-3,096.50	
27JUL17	S 1 PD27OCT17	6369.50	6491.36	USD	-3,046.50	
27JUL17	B 1 PD27OCT17	6375.00	6491.36	USD	2,909.00	
27JUL17	B 1 PD27OCT17	6353.50	6491.36	USD	3,446.50	
27JUL17	B 1 PD27OCT17	6350.50	6491.36	USD	3,521.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP038AA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
27JUL17	B 1 PD27OCT17	6341.00	6491.36	USD	3,759.00	
27JUL17	B 1 PD27OCT17	6340.00	6491.36	USD	3,784.00	
27JUL17	S 1 PD27OCT17	6345.50	6491.36	USD	-3,646.50	
27JUL17	S 1 PD27OCT17	6340.00	6491.36	USD	-3,784.00	
27JUL17	S 1 PD27OCT17	6342.50	6491.36	USD	-3,721.50	
28JUL17	S 1 PD27OCT17	6329.00	6491.36	USD	-4,059.00	
31JUL17	B 1 PD27OCT17	6367.95	6491.36	USD	3,085.25	
	NET 0 AVG BGHT 6354.66 SOLD 6349.00				**-848.75**	**0.00**
31JUL17	S 1 PD31OCT17	6388.00	6493.50	USD	-2,637.50	
31JUL17	B 1 PD31OCT17	6414.50	6493.50	USD	1,975.00	
31JUL17	B 1 PD31OCT17	6414.00	6493.50	USD	1,987.50	
31JUL17	S 1 PD31OCT17	6404.00	6493.50	USD	-2,237.50	
31JUL17	S 1 PD31OCT17	6412.50	6493.50	USD	-2,025.00	
31JUL17	S 1 PD31OCT17	6397.50	6493.50	USD	-2,400.00	
31JUL17	S 1 PD31OCT17	6398.50	6493.50	USD	-2,375.00	
11AUG17	B 3 PD31OCT17	6407.39	6493.50	USD	6,458.25	
	NET 0 AVG BGHT 6410.13 SOLD 6400.10				**-1,254.25**	**0.00**
01AUG17	B 1 PD01NOV17	6340.50	6494.09	USD	3,839.75	
01AUG17	B 1 PD01NOV17	6337.50	6494.09	USD	3,914.75	
01AUG17	B 1 PD01NOV17	6337.00	6494.09	USD	3,927.25	
01AUG17	S 1 PD01NOV17	6345.50	6494.09	USD	-3,714.75	
01AUG17	B 1 PD01NOV17	6352.00	6494.09	USD	3,552.25	
11AUG17	S 3 PD01NOV17	6407.57	6494.09	USD	-6,489.00	
	NET 0 AVG BGHT 6341.75 SOLD 6392.05				**5,030.25**	**0.00**
02AUG17	S 1 PD02NOV17	6331.00	6494.69	USD	-4,092.25	
02AUG17	S 1 PD02NOV17	6323.00	6494.69	USD	-4,292.25	
02AUG17	S 1 PD02NOV17	6330.50	6494.69	USD	-4,104.75	
02AUG17	B 1 PD02NOV17	6334.00	6494.69	USD	4,017.25	
02AUG17	B 1 PD02NOV17	6331.00	6494.69	USD	4,092.25	
02AUG17	B 1 PD02NOV17	6359.00	6494.69	USD	3,392.25	
	NET 0 AVG BGHT 6341.33 SOLD 6328.17				**-987.50**	**0.00**
03AUG17	S 1 PD03NOV17	6309.00	6495.28	USD	-4,657.00	
03AUG17	S 1 PD03NOV17	6313.00	6495.28	USD	-4,557.00	
03AUG17	S 1 PD03NOV17	6314.00	6495.28	USD	-4,532.00	
11AUG17	B 3 PD03NOV17	6408.53	6495.28	USD	6,506.25	
	NET 0 AVG BGHT 6408.53 SOLD 6312.00				**-7,239.75**	**0.00**

OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	41,015.00

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards		Amount	Profit / Loss	**Total Amount**	**Total P&L**
COPL - Futures	USD	41,015.00	41,015.00	**41,015.00**	**41,015.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP038AA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-33,519.42	-33,519.42
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**-33,519.42**	**-33,519.42**
Initial Margins		0.00	0.00
Excess/(Shortage)		**-33,519.42**	**-33,519.42**
FOR INFORMATION ONLY			
Forward Unrealised P&L		41,015.00	41,015.00
Net Liquidating Value		**7,495.58**	**7,495.58**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP038AB

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-85.00	-85.00
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**-85.00**	**-85.00**
Initial Margins		0.00	0.00
Excess/(Shortage)		**-85.00**	**-85.00**
FOR INFORMATION ONLY			
Net Liquidating Value		**-85.00**	**-85.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED
SAP0038 ARBS
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPAB038**

3rd Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

GROUP FINANCIAL SUMMARY

		USD (1.00000)	NET USD (1.00000)
CASH BALANCE		-138,976.24	-138,976.24
Variation Margins	- Futures	12,225.00	12,225.00
	- Options	0.00	0.00
TOTAL EQUITY		**-126,751.24**	**-126,751.24**
Initial Margins		-81,900.00	-81,900.00
Excess/(Shortage)		**-208,651.24**	**-208,651.24**
FOR INFORMATION ONLY			
Forward Unrealised P&L		167,856.50	167,856.50
Net Liquidating Value		**41,105.26**	**41,105.26**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0054M

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
24JUL17	S 1 PD24OCT17	6007.00	6489.75	USD	-12,068.75	
24JUL17	S 2 PD24OCT17	6006.00	6489.75	USD	-24,187.50	
24JUL17	S 2 PD24OCT17	6010.00	6489.75	USD	-23,987.50	
15AUG17	B 5 PD24OCT17	6370.53	6489.75	USD	14,902.50	
	NET 0 AVG BGHT 6370.53 SOLD 6007.80				**-45,341.25**	**0.00**
15AUG17	B 20 PD01NOV17	6374.30	6494.09	USD	59,895.00	
16AUG17	S 20 PD01NOV17	6527.73	6494.09	USD	16,820.00	
	NET 0 AVG BGHT 6374.30 SOLD 6527.73				**76,715.00**	**0.00**
10AUG17	S 1 PD10NOV17	6449.00	6499.18	USD	-1,254.50	
10AUG17	S 3 PD10NOV17	6447.50	6499.18	USD	-3,876.00	
10AUG17	S 1 PD10NOV17	6448.00	6499.18	USD	-1,279.50	
10AUG17	S 2 PD10NOV17	6452.50	6499.18	USD	-2,334.00	
10AUG17	S 1 PD10NOV17	6455.50	6499.18	USD	-1,092.00	
10AUG17	S 2 PD10NOV17	6456.00	6499.18	USD	-2,159.00	
10AUG17	S 1 PD10NOV17	6452.00	6499.18	USD	-1,179.50	
10AUG17	S 5 PD10NOV17	6450.50	6499.18	USD	-6,085.00	
10AUG17	S 2 PD10NOV17	6451.00	6499.18	USD	-2,409.00	
10AUG17	S 2 PD10NOV17	6450.00	6499.18	USD	-2,459.00	
10AUG17	S 1 PD10NOV17	6455.00	6499.18	USD	-1,104.50	
10AUG17	S 3 PD10NOV17	6453.00	6499.18	USD	-3,463.50	
10AUG17	S 3 PD10NOV17	6454.00	6499.18	USD	-3,388.50	
10AUG17	S 1 PD10NOV17	6451.00	6499.18	USD	-1,204.50	
10AUG17	S 1 PD10NOV17	6455.00	6499.18	USD	-1,104.50	
10AUG17	S 1 PD10NOV17	6452.00	6499.18	USD	-1,179.50	
11AUG17	B 4 PD10NOV17	6423.00	6499.18	USD	7,618.00	
11AUG17	B 2 PD10NOV17	6425.00	6499.18	USD	3,709.00	
11AUG17	B 1 PD10NOV17	6426.00	6499.18	USD	1,829.50	
11AUG17	B 2 PD10NOV17	6425.50	6499.18	USD	3,684.00	
11AUG17	B 1 PD10NOV17	6424.00	6499.18	USD	1,879.50	
16AUG17	B 20 PD10NOV17	6532.45	6499.18	USD	-16,635.00	
	NET 0 AVG BGHT 6496.40 SOLD 6451.75				**-33,487.50**	**0.00**
14AUG17	B 1 PD14NOV17	6399.00	6501.04	USD	2,551.00	
14AUG17	B 3 PD14NOV17	6396.50	6501.04	USD	7,840.50	
14AUG17	B 3 PD14NOV17	6397.50	6501.04	USD	7,765.50	
14AUG17	B 1 PD14NOV17	6399.50	6501.04	USD	2,538.50	
14AUG17	B 4 PD14NOV17	6403.00	6501.04	USD	9,804.00	
14AUG17	B 1 PD14NOV17	6402.50	6501.04	USD	2,463.50	
14AUG17	B 1 PD14NOV17	6400.00	6501.04	USD	2,526.00	
14AUG17	B 1 PD14NOV17	6401.00	6501.04	USD	2,501.00	
15SEP17	S 15 PD14NOV17	6495.25	6501.04	USD	-2,171.25	
	NET 0 AVG BGHT 6399.73 SOLD 6495.25				**35,818.75**	**0.00**
15AUG17	S 1 PD15NOV17	6387.50	6501.50	USD	-2,850.00	
15AUG17	S 2 PD15NOV17	6389.00	6501.50	USD	-5,625.00	
15AUG17	S 1 PD15NOV17	6387.00	6501.50	USD	-2,862.50	
15AUG17	S 1 PD15NOV17	6390.50	6501.50	USD	-2,775.00	
15AUG17	S 1 PD15NOV17	6392.00	6501.50	USD	-2,737.50	
15AUG17	S 2 PD15NOV17	6391.00	6501.50	USD	-5,525.00	
15AUG17	S 1 PD15NOV17	6388.50	6501.50	USD	-2,825.00	
15AUG17	S 1 PD15NOV17	6386.50	6501.50	USD	-2,875.00	
16AUG17	B 10 PD15NOV17	6533.70	6501.50	USD	-8,050.00	
	NET 0 AVG BGHT 6533.70 SOLD 6389.20				**-36,125.00**	**0.00**
16AUG17	S 2 PD16NOV17	6417.00	6501.00	USD	-4,200.00	
16AUG17	S 2 PD16NOV17	6418.50	6501.00	USD	-4,125.00	
16AUG17	S 1 PD16NOV17	6419.50	6501.00	USD	-2,037.50	
16AUG17	B 1 PD16NOV17	6469.50	6501.00	USD	787.50	
16AUG17	B 2 PD16NOV17	6469.00	6501.00	USD	1,600.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0054M

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT							
16AUG17	B	1	PD16NOV17	6468.50	6501.00	USD	812.50	
16AUG17	B	1	PD16NOV17	6468.00	6501.00	USD	825.00	
16AUG17	B	1	PD16NOV17	6487.50	6501.00	USD	337.50	
16AUG17	B	1	PD16NOV17	6487.00	6501.00	USD	350.00	
16AUG17	B	3	PD16NOV17	6488.00	6501.00	USD	975.00	
16AUG17	B	2	PD16NOV17	6489.50	6501.00	USD	575.00	
16AUG17	B	1	PD16NOV17	6488.50	6501.00	USD	312.50	
16AUG17	B	2	PD16NOV17	6490.00	6501.00	USD	550.00	
16AUG17	B	1	PD16NOV17	6491.50	6501.00	USD	237.50	
16AUG17	B	1	PD16NOV17	6490.50	6501.00	USD	262.50	
16AUG17	B	2	PD16NOV17	6492.00	6501.00	USD	450.00	
16AUG17	B	2	PD16NOV17	6495.00	6501.00	USD	300.00	
16AUG17	B	1	PD16NOV17	6495.50	6501.00	USD	137.50	
16AUG17	B	1	PD16NOV17	6493.50	6501.00	USD	187.50	
16AUG17	B	1	PD16NOV17	6493.00	6501.00	USD	200.00	
16AUG17	B	1	PD16NOV17	6492.00	6501.00	USD	225.00	
15SEP17	S	20	PD16NOV17	6495.00	6501.00	USD	-3,000.00	
	NET	**0**	**AVG BGHT 6486.40**	**SOLD 6479.62**			**-4,237.50**	**0.00**
06SEP17	S	1	PD06DEC17	6887.50	6512.14	USD	9,384.00	
06SEP17	S	1	PD06DEC17	6888.50	6512.14	USD	9,409.00	
06SEP17	S	1	PD06DEC17	6889.00	6512.14	USD	9,421.50	
06SEP17	S	1	PD06DEC17	6887.00	6512.14	USD	9,371.50	
06SEP17	S	1	PD06DEC17	6886.50	6512.14	USD	9,359.00	
06SEP17	S	1	PD06DEC17	6881.50	6512.14	USD	9,234.00	
06SEP17	S	1	PD06DEC17	6880.50	6512.14	USD	9,209.00	
06SEP17	S	3	PD06DEC17	6882.00	6512.14	USD	27,739.50	
06SEP17	S	1	PD06DEC17	6894.50	6512.14	USD	9,559.00	
06SEP17	S	1	PD06DEC17	6895.50	6512.14	USD	9,584.00	
06SEP17	S	1	PD06DEC17	6897.50	6512.14	USD	9,634.00	
06SEP17	S	1	PD06DEC17	6898.00	6512.14	USD	9,646.50	
06SEP17	S	1	PD06DEC17	6895.50	6512.14	USD	9,584.00	
15SEP17	B	15	PD06DEC17	6503.48	6512.14	USD	3,247.50	
	NET	**0**	**AVG BGHT 6503.48**	**SOLD 6888.50**			**144,382.50**	**0.00**
07SEP17	S	1	PD07DEC17	6862.00	6512.57	USD	8,735.75	
07SEP17	S	1	PD07DEC17	6862.50	6512.57	USD	8,748.25	
07SEP17	S	1	PD07DEC17	6861.50	6512.57	USD	8,723.25	
07SEP17	S	1	PD07DEC17	6859.50	6512.57	USD	8,673.25	
07SEP17	S	1	PD07DEC17	6861.00	6512.57	USD	8,710.75	
07SEP17	S	1	PD07DEC17	6892.00	6512.57	USD	9,485.75	
07SEP17	S	1	PD07DEC17	6890.00	6512.57	USD	9,435.75	
07SEP17	S	1	PD07DEC17	6892.50	6512.57	USD	9,498.25	
07SEP17	S	2	PD07DEC17	6891.00	6512.57	USD	18,921.50	
07SEP17	S	1	PD07DEC17	6886.50	6512.57	USD	9,348.25	
07SEP17	S	2	PD07DEC17	6887.00	6512.57	USD	18,721.50	
07SEP17	S	1	PD07DEC17	6882.00	6512.57	USD	9,235.75	
07SEP17	S	2	PD07DEC17	6902.00	6512.57	USD	19,471.50	
07SEP17	S	2	PD07DEC17	6900.50	6512.57	USD	19,396.50	
07SEP17	S	1	PD07DEC17	6901.00	6512.57	USD	9,710.75	
07SEP17	S	1	PD07DEC17	6883.00	6512.57	USD	9,260.75	
15SEP17	B	20	PD07DEC17	6503.89	6512.57	USD	4,340.00	
	NET	**0**	**AVG BGHT 6503.89**	**SOLD 6884.73**			**190,417.50**	**0.00**
08SEP17	S	1	PD08DEC17	6682.50	6513.00	USD	4,237.50	
08SEP17	S	2	PD08DEC17	6683.00	6513.00	USD	8,500.00	
08SEP17	S	1	PD08DEC17	6679.00	6513.00	USD	4,150.00	
08SEP17	B	1	PD08DEC17	6675.00	6513.00	USD	-4,050.00	
08SEP17	B	1	PD08DEC17	6679.50	6513.00	USD	-4,162.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0054M

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
08SEP17	S 1 PD08DEC17	6679.50	6513.00	USD	4,162.50	
08SEP17	S 1 PD08DEC17	6678.50	6513.00	USD	4,137.50	
15SEP17	B 4 PD08DEC17	6504.10	6513.00	USD	890.00	
	NET 0 AVG BGHT 6561.82 SOLD 6680.92				**17,865.00**	**0.00**
11SEP17	B 1 PD11DEC17	6736.00	6514.19	USD	-5,545.25	
11SEP17	B 1 PD11DEC17	6735.00	6514.19	USD	-5,520.25	
11SEP17	B 1 PD11DEC17	6735.50	6514.19	USD	-5,532.75	
11SEP17	B 1 PD11DEC17	6734.50	6514.19	USD	-5,507.75	
15SEP17	S 4 PD11DEC17	6505.14	6514.19	USD	-905.00	
	NET 0 AVG BGHT 6735.25 SOLD 6505.14				**-23,011.00**	**0.00**
12SEP17	S 1 PD12DEC17	6708.00	6514.58	USD	4,835.50	
12SEP17	S 1 PD12DEC17	6708.50	6514.58	USD	4,848.00	
12SEP17	S 1 PD12DEC17	6709.00	6514.58	USD	4,860.50	
12SEP17	S 1 PD12DEC17	6709.50	6514.58	USD	4,873.00	
12SEP17	S 1 PD12DEC17	6711.00	6514.58	USD	4,910.50	
12SEP17	S 1 PD12DEC17	6712.50	6514.58	USD	4,948.00	
12SEP17	S 2 PD12DEC17	6713.50	6514.58	USD	9,946.00	
12SEP17	S 1 PD12DEC17	6714.50	6514.58	USD	4,998.00	
12SEP17	B 1 PD12DEC17	6736.50	6514.58	USD	-5,548.00	
12SEP17	B 1 PD12DEC17	6735.00	6514.58	USD	-5,510.50	
12SEP17	B 1 PD12DEC17	6738.00	6514.58	USD	-5,585.50	
12SEP17	B 2 PD12DEC17	6737.00	6514.58	USD	-11,121.00	
12SEP17	B 4 PD12DEC17	6735.50	6514.58	USD	-22,092.00	
12SEP17	S 1 PD12DEC17	6708.00	6514.58	USD	4,835.50	
12SEP17	B 1 PD12DEC17	6737.00	6514.58	USD	-5,560.50	
12SEP17	S 1 PD12DEC17	6643.00	6514.58	USD	3,210.50	
12SEP17	S 1 PD12DEC17	6645.00	6514.58	USD	3,260.50	
12SEP17	S 1 PD12DEC17	6645.50	6514.58	USD	3,273.00	
12SEP17	S 2 PD12DEC17	6647.00	6514.58	USD	6,621.00	
12SEP17	S 1 PD12DEC17	6644.50	6514.58	USD	3,248.00	
12SEP17	S 1 PD12DEC17	6639.00	6514.58	USD	3,110.50	
12SEP17	S 2 PD12DEC17	6638.50	6514.58	USD	6,196.00	
12SEP17	S 1 PD12DEC17	6637.50	6514.58	USD	3,073.00	
12SEP17	B 1 PD12DEC17	6670.00	6514.58	USD	-3,885.50	
12SEP17	B 3 PD12DEC17	6670.50	6514.58	USD	-11,694.00	
12SEP17	B 1 PD12DEC17	6673.50	6514.58	USD	-3,973.00	
12SEP17	B 3 PD12DEC17	6673.00	6514.58	USD	-11,881.50	
12SEP17	B 1 PD12DEC17	6672.50	6514.58	USD	-3,948.00	
12SEP17	S 1 PD12DEC17	6671.00	6514.58	USD	3,910.50	
12SEP17	S 1 PD12DEC17	6674.00	6514.58	USD	3,985.50	
12SEP17	S 2 PD12DEC17	6675.50	6514.58	USD	8,046.00	
15SEP17	B 5 PD12DEC17	6505.76	6514.58	USD	1,102.50	
	NET 0 AVG BGHT 6664.08 SOLD 6676.23				**7,292.50**	**0.00**
13SEP17	B 2 PD13DEC17	6595.50	6514.98	USD	-4,026.00	
13SEP17	B 1 PD13DEC17	6595.00	6514.98	USD	-2,000.50	
13SEP17	B 2 PD13DEC17	6594.00	6514.98	USD	-3,951.00	
13SEP17	B 2 PD13DEC17	6586.00	6514.98	USD	-3,551.00	
13SEP17	B 1 PD13DEC17	6583.50	6514.98	USD	-1,713.00	
13SEP17	B 1 PD13DEC17	6582.00	6514.98	USD	-1,675.50	
13SEP17	B 1 PD13DEC17	6564.50	6514.98	USD	-1,238.00	
13SEP17	B 1 PD13DEC17	6566.50	6514.98	USD	-1,288.00	
13SEP17	B 3 PD13DEC17	6565.00	6514.98	USD	-3,751.50	
13SEP17	B 1 PD13DEC17	6585.50	6514.98	USD	-1,763.00	
13SEP17	S 2 PD13DEC17	6553.50	6514.98	USD	1,926.00	
13SEP17	S 4 PD13DEC17	6556.00	6514.98	USD	4,102.00	
13SEP17	S 2 PD13DEC17	6555.50	6514.98	USD	2,026.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0054M

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT								
13SEP17	S	1	PD13DEC17		6554.00	6514.98	USD	975.50	
13SEP17	S	1	PD13DEC17		6556.50	6514.98	USD	1,038.00	
15SEP17	S	5	PD13DEC17		6505.97	6514.98	USD	-1,126.25	
	NET	**0**	**AVG BGHT 6581.53**	**SOLD 6538.82**				**-16,016.25**	**0.00**
14SEP17	B	1	PD14DEC17		6516.00	6515.38	USD	-15.50	
14SEP17	B	2	PD14DEC17		6515.00	6515.38	USD	19.00	
14SEP17	B	2	PD14DEC17		6514.50	6515.38	USD	44.00	
14SEP17	B	1	PD14DEC17		6503.00	6515.38	USD	309.50	
14SEP17	B	1	PD14DEC17		6502.50	6515.38	USD	322.00	
14SEP17	B	1	PD14DEC17		6501.50	6515.38	USD	347.00	
14SEP17	B	3	PD14DEC17		6502.00	6515.38	USD	1,003.50	
14SEP17	S	1	PD14DEC17		6499.00	6515.38	USD	-409.50	
14SEP17	S	1	PD14DEC17		6496.50	6515.38	USD	-472.00	
14SEP17	S	5	PD14DEC17		6497.00	6515.38	USD	-2,297.50	
14SEP17	S	1	PD14DEC17		6497.50	6515.38	USD	-447.00	
14SEP17	S	1	PD14DEC17		6496.00	6515.38	USD	-484.50	
14SEP17	S	2	PD14DEC17		6493.50	6515.38	USD	-1,094.00	
14SEP17	S	1	PD14DEC17		6517.00	6515.38	USD	40.50	
14SEP17	S	1	PD14DEC17		6515.00	6515.38	USD	-9.50	
14SEP17	S	1	PD14DEC17		6513.00	6515.38	USD	-59.50	
14SEP17	S	2	PD14DEC17		6513.50	6515.38	USD	-94.00	
14SEP17	S	1	PD14DEC17		6509.00	6515.38	USD	-159.50	
14SEP17	S	1	PD14DEC17		6508.50	6515.38	USD	-172.00	
14SEP17	S	1	PD14DEC17		6510.00	6515.38	USD	-134.50	
14SEP17	S	2	PD14DEC17		6509.50	6515.38	USD	-294.00	
03OCT17	B	10	PD14DEC17		6515.38	6515.38	USD	0.00	
	NET	**0**	**AVG BGHT 6511.51**	**SOLD 6503.79**				**-4,057.50**	**0.00**
18SEP17	S	1	PD18DEC17		6526.00	6516.96	USD	226.00	
18SEP17	S	1	PD18DEC17		6527.00	6516.96	USD	251.00	
18SEP17	S	1	PD18DEC17		6524.50	6516.96	USD	188.50	
18SEP17	S	3	PD18DEC17		6525.00	6516.96	USD	603.00	
18SEP17	S	1	PD18DEC17		6521.50	6516.96	USD	113.50	
18SEP17	S	1	PD18DEC17		6523.00	6516.96	USD	151.00	
18SEP17	S	2	PD18DEC17		6524.00	6516.96	USD	352.00	
03OCT17	B	10	PD18DEC17		6516.96	6516.96	USD	0.00	
	NET	**0**	**AVG BGHT 6516.96**	**SOLD 6524.50**				**1,885.00**	**0.00**
28SEP17	B	1	PD28DEC17		6521.50	6517.88	USD	-90.50	
28SEP17	B	2	PD28DEC17		6522.00	6517.88	USD	-206.00	
28SEP17	B	1	PD28DEC17		6521.00	6517.88	USD	-78.00	
28SEP17	B	1	PD28DEC17		6524.00	6517.88	USD	-153.00	
28SEP17	B	2	PD28DEC17		6523.50	6517.88	USD	-281.00	
28SEP17	B	3	PD28DEC17		6524.50	6517.88	USD	-496.50	
28SEP17	B	4	PD28DEC17		6527.00	6517.88	USD	-912.00	
28SEP17	B	2	PD28DEC17		6528.50	6517.88	USD	-531.00	
28SEP17	B	2	PD28DEC17		6529.50	6517.88	USD	-581.00	
28SEP17	B	2	PD28DEC17		6533.50	6517.88	USD	-781.00	
03OCT17	S	20	PD28DEC17		6517.68	6517.88	USD	-100.00	
	NET	**0**	**AVG BGHT 6526.10**	**SOLD 6517.68**				**-4,210.00**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0054M

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	307,890.25

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards		Amount	Profit / Loss	**Total Amount**	**Total P&L**
COPL - Futures	USD	307,890.25	307,890.25	**307,890.25**	**307,890.25**

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-303,919.55	-303,919.55
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**-303,919.55**	**-303,919.55**
Initial Margins		0.00	0.00
Excess/(Shortage)		**-303,919.55**	**-303,919.55**
FOR INFORMATION ONLY			
Forward Unrealised P&L		307,890.25	307,890.25
Net Liquidating Value		**3,970.70**	**3,970.70**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED
SAP0054 ARBS
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPAB054**

3rd Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

GROUP FINANCIAL SUMMARY

		USD (1.00000)	NET USD (1.00000)
CASH BALANCE		-303,919.55	-303,919.55
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**-303,919.55**	**-303,919.55**
Initial Margins		0.00	0.00
Excess/(Shortage)		**-303,919.55**	**-303,919.55**
FOR INFORMATION ONLY			
Forward Unrealised P&L		307,890.25	307,890.25
Net Liquidating Value		**3,970.70**	**3,970.70**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0066A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		91,487.60	91,487.60
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**91,487.60**	**91,487.60**
Initial Margins		0.00	0.00
Excess/(Shortage)		**91,487.60**	**91,487.60**
FOR INFORMATION ONLY			
Net Liquidating Value		**91,487.60**	**91,487.60**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED
SAP0066 ARBS
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPAB066**

3rd Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

GROUP FINANCIAL SUMMARY

		USD (1.00000)	NET USD (1.00000)
CASH BALANCE		91,487.60	91,487.60
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**91,487.60**	**91,487.60**
Initial Margins		0.00	0.00
Excess/(Shortage)		**91,487.60**	**91,487.60**
FOR INFORMATION ONLY			
Net Liquidating Value		**91,487.60**	**91,487.60**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0072A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
07AUG17	B 3 PD07NOV17	6416.00	6497.66	USD	6,124.50	
07AUG17	B 2 PD07NOV17	6415.50	6497.66	USD	4,108.00	
08SEP17	S 5 PD07NOV17	6792.13	6497.66	USD	36,808.75	
	NET 0 AVG BGHT 6415.80 SOLD 6792.13				**47,041.25**	**0.00**
08SEP17	S 2 PD08DEC17	6806.00	6513.00	USD	14,650.00	
08SEP17	S 3 PD08DEC17	6805.00	6513.00	USD	21,900.00	
08SEP17	B 5 PD08DEC17	6804.66	6513.00	USD	-36,457.50	
	NET 0 AVG BGHT 6804.66 SOLD 6805.40				**92.50**	**0.00**

OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	47,133.75

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards		Amount	Profit / Loss	**Total Amount**	**Total P&L**
COPL - Futures	USD	47,133.75	47,133.75	**47,133.75**	**47,133.75**

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-46,424.75	-46,424.75
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**-46,424.75**	**-46,424.75**
Initial Margins		0.00	0.00
Excess/(Shortage)		**-46,424.75**	**-46,424.75**
FOR INFORMATION ONLY			
Forward Unrealised P&L		47,133.75	47,133.75
Net Liquidating Value		**709.00**	**709.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED
SAP0072 ARBS
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPAB072**

3rd Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

GROUP FINANCIAL SUMMARY

		USD (1.00000)	NET USD (1.00000)
CASH BALANCE		-46,424.75	-46,424.75
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**-46,424.75**	**-46,424.75**
Initial Margins		0.00	0.00
Excess/(Shortage)		**-46,424.75**	**-46,424.75**
FOR INFORMATION ONLY			
Forward Unrealised P&L		47,133.75	47,133.75
Net Liquidating Value		**709.00**	**709.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083GB

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-425.00	-425.00
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**-425.00**	**-425.00**
Initial Margins		0.00	0.00
Excess/(Shortage)		**-425.00**	**-425.00**
FOR INFORMATION ONLY			
Net Liquidating Value		**-425.00**	**-425.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083LB

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
10JUL17	S 5 PD10OCT17	5803.00	6475.10	USD	-84,012.50	
19SEP17	B 5 PD10OCT17	6504.95	6475.10	USD	-3,731.25	
	NET 0 AVG BGHT 6504.95 SOLD 5803.00				**-87,743.75**	**0.00**
14JUL17	S 3 PD13OCT17	5881.00	6478.90	USD	-44,842.50	
14JUL17	S 2 PD13OCT17	5881.50	6478.90	USD	-29,870.00	
19SEP17	B 5 PD13OCT17	6506.45	6478.90	USD	-3,443.75	
	NET 0 AVG BGHT 6506.45 SOLD 5881.20				**-78,156.25**	**0.00**
17JUL17	S 1 PD17OCT17	5982.00	6483.98	USD	-12,549.50	
17JUL17	S 1 PD17OCT17	5988.50	6483.98	USD	-12,387.00	
17JUL17	S 2 PD17OCT17	5989.00	6483.98	USD	-24,749.00	
17JUL17	S 1 PD17OCT17	5986.50	6483.98	USD	-12,437.00	
19SEP17	B 5 PD17OCT17	6508.45	6483.98	USD	-3,058.75	
	NET 0 AVG BGHT 6508.45 SOLD 5987.00				**-65,181.25**	**0.00**
25JUL17	B 2 PD25OCT17	6227.50	6490.29	USD	13,139.50	
25JUL17	B 1 PD25OCT17	6223.00	6490.29	USD	6,682.25	
25JUL17	B 1 PD25OCT17	6223.50	6490.29	USD	6,669.75	
25JUL17	B 1 PD25OCT17	6224.00	6490.29	USD	6,657.25	
25JUL17	B 5 PD25OCT17	6228.00	6490.29	USD	32,786.25	
19SEP17	S 10 PD25OCT17	6513.11	6490.29	USD	5,705.00	
	NET 0 AVG BGHT 6226.55 SOLD 6513.11				**71,640.00**	**0.00**
28JUL17	S 1 PD27OCT17	6325.50	6491.36	USD	-4,146.50	
28JUL17	S 2 PD27OCT17	6329.00	6491.36	USD	-8,118.00	
28JUL17	S 2 PD27OCT17	6329.50	6491.36	USD	-8,093.00	
19SEP17	B 5 PD27OCT17	6514.02	6491.36	USD	-2,832.50	
	NET 0 AVG BGHT 6514.02 SOLD 6328.50				**-23,190.00**	**0.00**
31JUL17	S 1 PD31OCT17	6385.00	6493.50	USD	-2,712.50	
31JUL17	S 1 PD31OCT17	6383.50	6493.50	USD	-2,750.00	
31JUL17	S 1 PD31OCT17	6384.00	6493.50	USD	-2,737.50	
31JUL17	S 2 PD31OCT17	6386.00	6493.50	USD	-5,375.00	
31JUL17	S 1 PD31OCT17	6387.00	6493.50	USD	-2,662.50	
31JUL17	S 1 PD31OCT17	6387.50	6493.50	USD	-2,650.00	
31JUL17	S 1 PD31OCT17	6388.00	6493.50	USD	-2,637.50	
31JUL17	S 1 PD31OCT17	6389.00	6493.50	USD	-2,612.50	
31JUL17	S 1 PD31OCT17	6390.00	6493.50	USD	-2,587.50	
19SEP17	B 10 PD31OCT17	6515.45	6493.50	USD	-5,487.50	
	NET 0 AVG BGHT 6515.45 SOLD 6386.60				**-32,212.50**	**0.00**
23AUG17	B 1 PD24NOV17	6582.00	6505.80	USD	-1,905.00	
23AUG17	B 1 PD24NOV17	6572.00	6505.80	USD	-1,655.00	
23AUG17	B 1 PD24NOV17	6575.00	6505.80	USD	-1,730.00	
23AUG17	B 1 PD24NOV17	6575.50	6505.80	USD	-1,742.50	
23AUG17	B 1 PD24NOV17	6567.00	6505.80	USD	-1,530.00	
23AUG17	B 1 PD24NOV17	6583.00	6505.80	USD	-1,930.00	
23AUG17	B 2 PD24NOV17	6583.50	6505.80	USD	-3,885.00	
23AUG17	S 1 PD24NOV17	6582.00	6505.80	USD	1,905.00	
19SEP17	S 7 PD24NOV17	6527.72	6505.80	USD	3,836.00	
	NET 0 AVG BGHT 6577.69 SOLD 6534.51				**-8,636.50**	**0.00**
04SEP17	B 2 PD04DEC17	6917.50	6511.29	USD	-20,310.50	
04SEP17	B 1 PD04DEC17	6918.50	6511.29	USD	-10,180.25	
19SEP17	S 3 PD04DEC17	6532.50	6511.29	USD	1,590.75	
	NET 0 AVG BGHT 6917.83 SOLD 6532.50				**-28,900.00**	**0.00**
08SEP17	S 1 PD08DEC17	6942.00	6513.00	USD	10,725.00	
08SEP17	S 3 PD08DEC17	6943.00	6513.00	USD	32,250.00	
08SEP17	S 1 PD08DEC17	6944.50	6513.00	USD	10,787.50	
08SEP17	S 1 PD08DEC17	6803.00	6513.00	USD	7,250.00	
08SEP17	S 4 PD08DEC17	6801.00	6513.00	USD	28,800.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083LB

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
19SEP17	B 10 PD08DEC17	6534.85	6513.00	USD	-5,462.50	
	NET 0 AVG BGHT 6534.85 SOLD 6872.25				**84,350.00**	**0.00**

OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	-168,030.25

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards		Amount	Profit / Loss	**Total Amount**	**Total P&L**
COPL - Futures	USD	-168,030.25	-168,030.25	**-168,030.25**	**-168,030.25**

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		257,213.32	257,213.32
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**257,213.32**	**257,213.32**
Initial Margins		-168,030.25	-168,030.25
Excess/(Shortage)		**89,183.07**	**89,183.07**
FOR INFORMATION ONLY			
Forward Unrealised P&L		-168,030.25	-168,030.25
Net Liquidating Value		**89,183.07**	**89,183.07**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED
SAP0083 ARBS
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPAB083**

3rd Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

GROUP FINANCIAL SUMMARY

		USD (1.00000)	NET USD (1.00000)
CASH BALANCE		256,788.32	256,788.32
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**256,788.32**	**256,788.32**
Initial Margins		-168,030.25	-168,030.25
Excess/(Shortage)		**88,758.07**	**88,758.07**
FOR INFORMATION ONLY			
Forward Unrealised P&L		-168,030.25	-168,030.25
Net Liquidating Value		**88,758.07**	**88,758.07**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT							
04JUL17	S	1	PD04OCT17	5889.00	6467.48	USD	-14,462.00	
04JUL17	S	2	PD04OCT17	5887.00	6467.48	USD	-29,024.00	
04JUL17	S	1	PD04OCT17	5880.50	6467.48	USD	-14,674.50	
04JUL17	S	7	PD04OCT17	5878.00	6467.48	USD	-103,159.00	
04JUL17	S	4	PD04OCT17	5879.50	6467.48	USD	-58,798.00	
04JUL17	B	1	PD04OCT17	5878.00	6467.48	USD	14,737.00	
04JUL17	B	1	PD04OCT17	5886.00	6467.48	USD	14,537.00	
04JUL17	B	2	PD04OCT17	5894.50	6467.48	USD	28,649.00	
04JUL17	B	2	PD04OCT17	5891.50	6467.48	USD	28,799.00	
04JUL17	B	2	PD04OCT17	5892.50	6467.48	USD	28,749.00	
04JUL17	B	7	PD04OCT17	5893.50	6467.48	USD	100,446.50	
	NET	**0**	**AVG BGHT 5891.70**	**SOLD 5880.50**			**-4,200.00**	**0.00**
05JUL17	S	1	PD05OCT17	5839.00	6468.75	USD	-15,743.75	
05JUL17	S	1	PD05OCT17	5832.50	6468.75	USD	-15,906.25	
05JUL17	S	2	PD05OCT17	5835.00	6468.75	USD	-31,687.50	
05JUL17	S	11	PD05OCT17	5840.50	6468.75	USD	-172,768.75	
05JUL17	S	1	PD05OCT17	5817.00	6468.75	USD	-16,293.75	
05JUL17	S	1	PD05OCT17	5826.00	6468.75	USD	-16,068.75	
05JUL17	S	2	PD05OCT17	5824.50	6468.75	USD	-32,212.50	
05JUL17	S	6	PD05OCT17	5823.50	6468.75	USD	-96,787.50	
05JUL17	B	1	PD05OCT17	5840.50	6468.75	USD	15,706.25	
05JUL17	B	3	PD05OCT17	5839.50	6468.75	USD	47,193.75	
05JUL17	B	1	PD05OCT17	5836.50	6468.75	USD	15,806.25	
05JUL17	B	1	PD05OCT17	5835.50	6468.75	USD	15,831.25	
05JUL17	B	2	PD05OCT17	5833.50	6468.75	USD	31,762.50	
05JUL17	B	1	PD05OCT17	5831.00	6468.75	USD	15,943.75	
05JUL17	B	1	PD05OCT17	5830.00	6468.75	USD	15,968.75	
10JUL17	B	15	PD05OCT17	5822.46	6468.75	USD	242,358.75	
	NET	**0**	**AVG BGHT 5827.84**	**SOLD 5832.80**			**3,102.50**	**0.00**
06JUL17	B	1	PD06OCT17	5858.50	6470.02	USD	15,288.00	
06JUL17	B	1	PD06OCT17	5847.50	6470.02	USD	15,563.00	
06JUL17	B	1	PD06OCT17	5854.00	6470.02	USD	15,400.50	
06JUL17	S	1	PD06OCT17	5848.00	6470.02	USD	-15,550.50	
06JUL17	S	2	PD06OCT17	5849.00	6470.02	USD	-31,051.00	
07JUL17	B	3	PD06OCT17	5828.00	6470.02	USD	48,151.50	
07JUL17	S	1	PD06OCT17	5811.50	6470.02	USD	-16,463.00	
07JUL17	S	2	PD06OCT17	5812.50	6470.02	USD	-32,876.00	
	NET	**0**	**AVG BGHT 5840.67**	**SOLD 5830.42**			**-1,537.50**	**0.00**
14JUL17	S	4	PD13OCT17	5873.50	6478.90	USD	-60,540.00	
14JUL17	S	2	PD13OCT17	5874.50	6478.90	USD	-30,220.00	
14JUL17	S	4	PD13OCT17	5872.50	6478.90	USD	-60,640.00	
14JUL17	S	1	PD13OCT17	5871.00	6478.90	USD	-15,197.50	
14JUL17	S	2	PD13OCT17	5875.50	6478.90	USD	-30,170.00	
14JUL17	S	2	PD13OCT17	5878.00	6478.90	USD	-30,045.00	
14JUL17	S	8	PD13OCT17	5876.50	6478.90	USD	-120,480.00	
14JUL17	S	1	PD13OCT17	5872.50	6478.90	USD	-15,160.00	
14JUL17	S	1	PD13OCT17	5874.50	6478.90	USD	-15,110.00	
14JUL17	B	3	PD13OCT17	5928.50	6478.90	USD	41,280.00	
14JUL17	B	16	PD13OCT17	5927.50	6478.90	USD	220,560.00	
14JUL17	B	1	PD13OCT17	5924.00	6478.90	USD	13,872.50	
14JUL17	B	1	PD13OCT17	5919.50	6478.90	USD	13,985.00	
14JUL17	B	2	PD13OCT17	5920.50	6478.90	USD	27,920.00	
14JUL17	B	3	PD13OCT17	5928.00	6478.90	USD	41,317.50	
14JUL17	B	1	PD13OCT17	5926.50	6478.90	USD	13,810.00	
14JUL17	B	1	PD13OCT17	5931.00	6478.90	USD	13,697.50	
14JUL17	B	6	PD13OCT17	5929.00	6478.90	USD	82,485.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT							
14JUL17	B	6	PD13OCT17	5929.50	6478.90	USD	82,410.00	
14JUL17	B	1	PD13OCT17	5927.00	6478.90	USD	13,797.50	
14JUL17	B	5	PD13OCT17	5925.00	6478.90	USD	69,237.50	
14JUL17	B	3	PD13OCT17	5926.00	6478.90	USD	41,467.50	
14JUL17	S	1	PD13OCT17	5923.00	6478.90	USD	-13,897.50	
14JUL17	S	7	PD13OCT17	5924.00	6478.90	USD	-97,107.50	
14JUL17	S	15	PD13OCT17	5925.00	6478.90	USD	-207,712.50	
14JUL17	B	1	PD13OCT17	5927.50	6478.90	USD	13,785.00	
14JUL17	S	2	PD13OCT17	5925.00	6478.90	USD	-27,695.00	
	NET	**0**	**AVG BGHT 5927.20**	**SOLD 5899.72**			**-34,350.00**	**0.00**
17JUL17	B	1	PD17OCT17	6010.00	6483.98	USD	11,849.50	
17JUL17	B	1	PD17OCT17	6013.50	6483.98	USD	11,762.00	
17JUL17	B	1	PD17OCT17	6006.50	6483.98	USD	11,937.00	
17JUL17	B	7	PD17OCT17	6008.00	6483.98	USD	83,296.50	
17JUL17	B	1	PD17OCT17	6005.50	6483.98	USD	11,962.00	
17JUL17	B	3	PD17OCT17	6003.50	6483.98	USD	36,036.00	
17JUL17	B	1	PD17OCT17	5999.00	6483.98	USD	12,124.50	
17JUL17	B	1	PD17OCT17	6001.00	6483.98	USD	12,074.50	
17JUL17	B	1	PD17OCT17	6002.50	6483.98	USD	12,037.00	
17JUL17	B	2	PD17OCT17	6000.00	6483.98	USD	24,199.00	
17JUL17	S	1	PD17OCT17	6004.00	6483.98	USD	-11,999.50	
17JUL17	B	4	PD17OCT17	6009.00	6483.98	USD	47,498.00	
17JUL17	B	1	PD17OCT17	6002.50	6483.98	USD	12,037.00	
17JUL17	B	1	PD17OCT17	6000.00	6483.98	USD	12,099.50	
17JUL17	B	1	PD17OCT17	6008.00	6483.98	USD	11,899.50	
03AUG17	S	3	PD17OCT17	6349.00	6483.98	USD	-10,123.50	
04AUG17	S	22	PD17OCT17	6369.25	6483.98	USD	-63,101.50	
	NET	**0**	**AVG BGHT 6005.81**	**SOLD 6352.87**			**225,587.50**	**0.00**
19JUL17	S	2	PD19OCT17	5968.50	6486.00	USD	-25,875.00	
19JUL17	S	1	PD19OCT17	5969.50	6486.00	USD	-12,912.50	
24JUL17	B	3	PD19OCT17	6026.70	6486.00	USD	34,447.50	
	NET	**0**	**AVG BGHT 6026.70**	**SOLD 5968.83**			**-4,340.00**	**0.00**
20JUL17	S	12	PD20OCT17	5979.00	6486.75	USD	-152,325.00	
20JUL17	S	2	PD20OCT17	5977.00	6486.75	USD	-25,487.50	
20JUL17	S	8	PD20OCT17	5975.50	6486.75	USD	-102,250.00	
20JUL17	B	4	PD20OCT17	5975.00	6486.75	USD	51,175.00	
20JUL17	B	1	PD20OCT17	5980.00	6486.75	USD	12,668.75	
20JUL17	B	2	PD20OCT17	5983.00	6486.75	USD	25,187.50	
20JUL17	B	5	PD20OCT17	5987.50	6486.75	USD	62,406.25	
20JUL17	B	1	PD20OCT17	5986.50	6486.75	USD	12,506.25	
20JUL17	B	3	PD20OCT17	5979.00	6486.75	USD	38,081.25	
20JUL17	B	1	PD20OCT17	5978.00	6486.75	USD	12,718.75	
20JUL17	B	1	PD20OCT17	5975.50	6486.75	USD	12,781.25	
20JUL17	B	1	PD20OCT17	5968.00	6486.75	USD	12,968.75	
20JUL17	S	1	PD20OCT17	5974.50	6486.75	USD	-12,806.25	
20JUL17	S	2	PD20OCT17	5971.00	6486.75	USD	-25,787.50	
20JUL17	S	3	PD20OCT17	5970.00	6486.75	USD	-38,756.25	
20JUL17	S	2	PD20OCT17	5969.00	6486.75	USD	-25,887.50	
20JUL17	S	1	PD20OCT17	5972.00	6486.75	USD	-12,868.75	
20JUL17	S	1	PD20OCT17	5977.50	6486.75	USD	-12,731.25	
21JUL17	B	2	PD20OCT17	6000.00	6486.75	USD	24,337.50	
21JUL17	B	1	PD20OCT17	6010.00	6486.75	USD	11,918.75	
21JUL17	B	1	PD20OCT17	6015.50	6486.75	USD	11,781.25	
21JUL17	B	1	PD20OCT17	6017.50	6486.75	USD	11,731.25	
21JUL17	B	1	PD20OCT17	6016.50	6486.75	USD	11,756.25	
21JUL17	B	1	PD20OCT17	6006.50	6486.75	USD	12,006.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
21JUL17	B 1 PD20OCT17	6001.00	6486.75	USD	12,143.75	
21JUL17	B 7 PD20OCT17	6004.50	6486.75	USD	84,393.75	
21JUL17	B 1 PD20OCT17	6003.00	6486.75	USD	12,093.75	
04AUG17	S 3 PD20OCT17	6367.25	6486.75	USD	-8,962.50	
	NET 0 AVG BGHT 5992.29 SOLD 6009.19				**14,793.75**	**0.00**
24JUL17	S 2 PD24OCT17	6004.50	6489.75	USD	-24,262.50	
24JUL17	S 2 PD24OCT17	6003.50	6489.75	USD	-24,312.50	
24JUL17	S 1 PD24OCT17	6001.00	6489.75	USD	-12,218.75	
24JUL17	S 3 PD24OCT17	5999.00	6489.75	USD	-36,806.25	
24JUL17	S 17 PD24OCT17	6000.00	6489.75	USD	-208,143.75	
27JUL17	B 25 PD24OCT17	6329.34	6489.75	USD	100,256.25	
	NET 0 AVG BGHT 6329.34 SOLD 6000.56				**-205,487.50**	**0.00**
25JUL17	B 1 PD25OCT17	6122.00	6490.29	USD	9,207.25	
25JUL17	B 1 PD25OCT17	6116.50	6490.29	USD	9,344.75	
25JUL17	B 4 PD25OCT17	6145.50	6490.29	USD	34,479.00	
25JUL17	B 1 PD25OCT17	6147.50	6490.29	USD	8,569.75	
25JUL17	B 1 PD25OCT17	6146.50	6490.29	USD	8,594.75	
25JUL17	B 1 PD25OCT17	6148.50	6490.29	USD	8,544.75	
25JUL17	B 1 PD25OCT17	6155.50	6490.29	USD	8,369.75	
25JUL17	B 1 PD25OCT17	6197.00	6490.29	USD	7,332.25	
25JUL17	B 1 PD25OCT17	6196.00	6490.29	USD	7,357.25	
25JUL17	B 3 PD25OCT17	6195.00	6490.29	USD	22,146.75	
25JUL17	B 1 PD25OCT17	6190.50	6490.29	USD	7,494.75	
25JUL17	B 1 PD25OCT17	6189.50	6490.29	USD	7,519.75	
25JUL17	B 2 PD25OCT17	6188.50	6490.29	USD	15,089.50	
25JUL17	B 2 PD25OCT17	6178.50	6490.29	USD	15,589.50	
25JUL17	B 2 PD25OCT17	6177.50	6490.29	USD	15,639.50	
25JUL17	B 1 PD25OCT17	6175.00	6490.29	USD	7,882.25	
25JUL17	B 1 PD25OCT17	6200.50	6490.29	USD	7,244.75	
25JUL17	B 1 PD25OCT17	6196.50	6490.29	USD	7,344.75	
25JUL17	B 3 PD25OCT17	6194.00	6490.29	USD	22,221.75	
25JUL17	B 1 PD25OCT17	6202.00	6490.29	USD	7,207.25	
25JUL17	B 1 PD25OCT17	6226.00	6490.29	USD	6,607.25	
25JUL17	B 2 PD25OCT17	6227.00	6490.29	USD	13,164.50	
25JUL17	B 1 PD25OCT17	6228.50	6490.29	USD	6,544.75	
25JUL17	B 1 PD25OCT17	6231.50	6490.29	USD	6,469.75	
25JUL17	B 1 PD25OCT17	6204.50	6490.29	USD	7,144.75	
25JUL17	B 3 PD25OCT17	6206.00	6490.29	USD	21,321.75	
25JUL17	B 6 PD25OCT17	6205.00	6490.29	USD	42,793.50	
25JUL17	B 1 PD25OCT17	6204.00	6490.29	USD	7,157.25	
25JUL17	B 2 PD25OCT17	6207.00	6490.29	USD	14,164.50	
25JUL17	B 4 PD25OCT17	6208.00	6490.29	USD	28,229.00	
25JUL17	B 2 PD25OCT17	6210.00	6490.29	USD	14,014.50	
25JUL17	B 16 PD25OCT17	6209.50	6490.29	USD	112,316.00	
25JUL17	B 5 PD25OCT17	6221.00	6490.29	USD	33,661.25	
25JUL17	B 1 PD25OCT17	6275.50	6490.29	USD	5,369.75	
25JUL17	B 1 PD25OCT17	6264.00	6490.29	USD	5,657.25	
25JUL17	B 3 PD25OCT17	6256.50	6490.29	USD	17,534.25	
04AUG17	S 80 PD25OCT17	6369.39	6490.29	USD	-241,800.00	
	NET 0 AVG BGHT 6200.63 SOLD 6369.39				**337,530.00**	**0.00**
26JUL17	S 3 PD26OCT17	6333.50	6490.82	USD	-11,799.00	
26JUL17	S 4 PD26OCT17	6335.50	6490.82	USD	-15,532.00	
26JUL17	S 6 PD26OCT17	6338.00	6490.82	USD	-22,923.00	
26JUL17	S 3 PD26OCT17	6339.00	6490.82	USD	-11,386.50	
26JUL17	B 9 PD26OCT17	6305.00	6490.82	USD	41,809.50	
26JUL17	B 1 PD26OCT17	6305.50	6490.82	USD	4,633.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
26JUL17	B 7 PD26OCT17	6306.50	6490.82	USD	32,256.00	
26JUL17	B 1 PD26OCT17	6303.50	6490.82	USD	4,683.00	
26JUL17	B 7 PD26OCT17	6304.50	6490.82	USD	32,606.00	
26JUL17	S 1 PD26OCT17	6326.50	6490.82	USD	-4,108.00	
26JUL17	S 3 PD26OCT17	6327.50	6490.82	USD	-12,249.00	
26JUL17	S 3 PD26OCT17	6329.00	6490.82	USD	-12,136.50	
26JUL17	S 1 PD26OCT17	6331.00	6490.82	USD	-3,995.50	
26JUL17	S 11 PD26OCT17	6332.00	6490.82	USD	-43,675.50	
26JUL17	S 4 PD26OCT17	6334.50	6490.82	USD	-15,632.00	
26JUL17	S 1 PD26OCT17	6337.50	6490.82	USD	-3,833.00	
26JUL17	S 6 PD26OCT17	6340.00	6490.82	USD	-22,623.00	
26JUL17	S 2 PD26OCT17	6336.50	6490.82	USD	-7,716.00	
26JUL17	S 1 PD26OCT17	6332.00	6490.82	USD	-3,970.50	
26JUL17	S 1 PD26OCT17	6334.50	6490.82	USD	-3,908.00	
26JUL17	B 1 PD26OCT17	6334.50	6490.82	USD	3,908.00	
26JUL17	S 1 PD26OCT17	6324.00	6490.82	USD	-4,170.50	
27JUL17	B 25 PD26OCT17	6329.91	6490.82	USD	100,568.75	
	NET 0 AVG BGHT 6317.91 SOLD 6334.23				**20,806.25**	**0.00**
27JUL17	B 1 PD27OCT17	6341.00	6491.36	USD	3,759.00	
27JUL17	B 1 PD27OCT17	6334.50	6491.36	USD	3,921.50	
27JUL17	B 1 PD27OCT17	6333.50	6491.36	USD	3,946.50	
27JUL17	B 1 PD27OCT17	6335.50	6491.36	USD	3,896.50	
27JUL17	S 1 PD27OCT17	6356.00	6491.36	USD	-3,384.00	
27JUL17	B 1 PD27OCT17	6376.50	6491.36	USD	2,871.50	
27JUL17	B 10 PD27OCT17	6356.50	6491.36	USD	33,715.00	
27JUL17	B 3 PD27OCT17	6355.50	6491.36	USD	10,189.50	
27JUL17	B 1 PD27OCT17	6354.50	6491.36	USD	3,421.50	
27JUL17	B 1 PD27OCT17	6352.00	6491.36	USD	3,484.00	
27JUL17	B 3 PD27OCT17	6353.50	6491.36	USD	10,339.50	
27JUL17	B 1 PD27OCT17	6353.50	6491.36	USD	3,446.50	
27JUL17	B 2 PD27OCT17	6352.00	6491.36	USD	6,968.00	
27JUL17	S 1 PD27OCT17	6344.00	6491.36	USD	-3,684.00	
27JUL17	S 1 PD27OCT17	6352.50	6491.36	USD	-3,471.50	
27JUL17	B 1 PD27OCT17	6340.00	6491.36	USD	3,784.00	
27JUL17	S 1 PD27OCT17	6333.00	6491.36	USD	-3,959.00	
27JUL17	S 2 PD27OCT17	6335.00	6491.36	USD	-7,818.00	
27JUL17	S 3 PD27OCT17	6331.50	6491.36	USD	-11,989.50	
27JUL17	S 1 PD27OCT17	6338.50	6491.36	USD	-3,821.50	
27JUL17	S 1 PD27OCT17	6339.50	6491.36	USD	-3,796.50	
27JUL17	S 1 PD27OCT17	6342.50	6491.36	USD	-3,721.50	
27JUL17	S 5 PD27OCT17	6340.50	6491.36	USD	-18,857.50	
28JUL17	S 4 PD27OCT17	6328.50	6491.36	USD	-16,286.00	
28JUL17	S 4 PD27OCT17	6327.50	6491.36	USD	-16,386.00	
28JUL17	S 2 PD27OCT17	6326.00	6491.36	USD	-8,268.00	
28JUL17	S 1 PD27OCT17	6336.50	6491.36	USD	-3,871.50	
28JUL17	S 2 PD27OCT17	6338.50	6491.36	USD	-7,643.00	
28JUL17	S 1 PD27OCT17	6339.50	6491.36	USD	-3,796.50	
28JUL17	S 2 PD27OCT17	6333.00	6491.36	USD	-7,918.00	
28JUL17	S 8 PD27OCT17	6334.00	6491.36	USD	-31,472.00	
28JUL17	S 9 PD27OCT17	6335.00	6491.36	USD	-35,181.00	
28JUL17	S 1 PD27OCT17	6338.00	6491.36	USD	-3,834.00	
28JUL17	S 1 PD27OCT17	6340.50	6491.36	USD	-3,771.50	
03AUG17	B 25 PD27OCT17	6350.20	6491.36	USD	88,225.00	
	NET 0 AVG BGHT 6351.38 SOLD 6335.26				**-20,962.50**	**0.00**
31JUL17	S 1 PD31OCT17	6383.50	6493.50	USD	-2,750.00	
31JUL17	S 3 PD31OCT17	6382.50	6493.50	USD	-8,325.00	
31JUL17	S 14 PD31OCT17	6381.50	6493.50	USD	-39,200.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
31JUL17	S 5 PD31OCT17	6380.50	6493.50	USD	-14,125.00	
31JUL17	S 2 PD31OCT17	6386.00	6493.50	USD	-5,375.00	
03AUG17	B 25 PD31OCT17	6351.34	6493.50	USD	88,850.00	
	NET 0 AVG BGHT 6351.34 SOLD 6381.86				**19,075.00**	**0.00**
01AUG17	B 1 PD01NOV17	6340.00	6494.09	USD	3,852.25	
01AUG17	B 4 PD01NOV17	6332.50	6494.09	USD	16,159.00	
01AUG17	B 2 PD01NOV17	6334.50	6494.09	USD	7,979.50	
01AUG17	B 12 PD01NOV17	6336.00	6494.09	USD	47,427.00	
01AUG17	B 2 PD01NOV17	6341.50	6494.09	USD	7,629.50	
01AUG17	B 1 PD01NOV17	6342.50	6494.09	USD	3,789.75	
01AUG17	B 3 PD01NOV17	6346.00	6494.09	USD	11,106.75	
04AUG17	S 25 PD01NOV17	6371.50	6494.09	USD	-76,618.75	
	NET 0 AVG BGHT 6337.38 SOLD 6371.50				**21,325.00**	**0.00**
02AUG17	S 1 PD02NOV17	6353.00	6494.69	USD	-3,542.25	
02AUG17	S 1 PD02NOV17	6354.00	6494.69	USD	-3,517.25	
02AUG17	S 4 PD02NOV17	6350.50	6494.69	USD	-14,419.00	
02AUG17	S 2 PD02NOV17	6349.50	6494.69	USD	-7,259.50	
02AUG17	S 2 PD02NOV17	6347.00	6494.69	USD	-7,384.50	
02AUG17	S 1 PD02NOV17	6348.00	6494.69	USD	-3,667.25	
02AUG17	S 1 PD02NOV17	6344.00	6494.69	USD	-3,767.25	
02AUG17	S 2 PD02NOV17	6339.50	6494.69	USD	-7,759.50	
02AUG17	S 2 PD02NOV17	6335.00	6494.69	USD	-7,984.50	
02AUG17	S 5 PD02NOV17	6336.00	6494.69	USD	-19,836.25	
02AUG17	S 3 PD02NOV17	6337.00	6494.69	USD	-11,826.75	
02AUG17	S 1 PD02NOV17	6339.00	6494.69	USD	-3,892.25	
02AUG17	B 1 PD02NOV17	6341.50	6494.69	USD	3,829.75	
02AUG17	B 7 PD02NOV17	6336.00	6494.69	USD	27,770.75	
02AUG17	B 4 PD02NOV17	6332.50	6494.69	USD	16,219.00	
02AUG17	B 10 PD02NOV17	6331.50	6494.69	USD	40,797.50	
02AUG17	B 3 PD02NOV17	6330.50	6494.69	USD	12,314.25	
02AUG17	B 1 PD02NOV17	6359.00	6494.69	USD	3,392.25	
02AUG17	S 1 PD02NOV17	6352.00	6494.69	USD	-3,567.25	
	NET 0 AVG BGHT 6334.19 SOLD 6343.27				**5,900.00**	**0.00**
03AUG17	S 2 PD03NOV17	6313.00	6495.28	USD	-9,114.00	
03AUG17	S 1 PD03NOV17	6319.00	6495.28	USD	-4,407.00	
03AUG17	S 6 PD03NOV17	6319.50	6495.28	USD	-26,367.00	
03AUG17	S 4 PD03NOV17	6317.50	6495.28	USD	-17,778.00	
03AUG17	S 11 PD03NOV17	6318.50	6495.28	USD	-48,614.50	
03AUG17	S 1 PD03NOV17	6316.50	6495.28	USD	-4,469.50	
03AUG17	S 1 PD03NOV17	6352.00	6495.28	USD	-3,582.00	
03AUG17	S 3 PD03NOV17	6340.50	6495.28	USD	-11,608.50	
03AUG17	S 1 PD03NOV17	6339.00	6495.28	USD	-3,907.00	
03AUG17	S 3 PD03NOV17	6341.00	6495.28	USD	-11,571.00	
03AUG17	S 1 PD03NOV17	6334.00	6495.28	USD	-4,032.00	
03AUG17	S 2 PD03NOV17	6333.00	6495.28	USD	-8,114.00	
03AUG17	S 1 PD03NOV17	6346.00	6495.28	USD	-3,732.00	
03AUG17	S 4 PD03NOV17	6345.00	6495.28	USD	-15,028.00	
03AUG17	S 2 PD03NOV17	6344.00	6495.28	USD	-7,564.00	
03AUG17	S 9 PD03NOV17	6342.50	6495.28	USD	-34,375.50	
03AUG17	S 7 PD03NOV17	6341.50	6495.28	USD	-26,911.50	
03AUG17	S 3 PD03NOV17	6339.50	6495.28	USD	-11,683.50	
03AUG17	S 50 PD03NOV17	6337.00	6495.28	USD	-197,850.00	
03AUG17	S 7 PD03NOV17	6336.00	6495.28	USD	-27,874.00	
03AUG17	S 1 PD03NOV17	6335.50	6495.28	USD	-3,994.50	
03AUG17	S 4 PD03NOV17	6335.00	6495.28	USD	-16,028.00	
03AUG17	S 6 PD03NOV17	6338.50	6495.28	USD	-23,517.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
04AUG17	B 130 PD03NOV17	6372.20	6495.28	USD	400,010.00	
	NET 0 AVG BGHT 6372.20 SOLD 6334.63				**-122,112.50**	**0.00**

OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	255,130.00

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards		Amount	Profit / Loss	**Total Amount**	**Total P&L**
COPL - Futures	USD	255,130.00	255,130.00	**255,130.00**	**255,130.00**

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-9,154.70	-9,154.70
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**-9,154.70**	**-9,154.70**
Initial Margins		0.00	0.00
Excess/(Shortage)		**-9,154.70**	**-9,154.70**
FOR INFORMATION ONLY			
Forward Unrealised P&L		255,130.00	255,130.00
Net Liquidating Value		**245,975.30**	**245,975.30**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086L

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT							
04JUL17	B	2	PD04OCT17	5880.00	6467.48	USD	29,374.00	
04JUL17	B	1	PD04OCT17	5882.00	6467.48	USD	14,637.00	
04JUL17	B	1	PD04OCT17	5885.50	6467.48	USD	14,549.50	
04JUL17	B	5	PD04OCT17	5888.50	6467.48	USD	72,372.50	
04JUL17	B	1	PD04OCT17	5887.50	6467.48	USD	14,499.50	
04JUL17	B	3	PD04OCT17	5895.50	6467.48	USD	42,898.50	
04JUL17	B	2	PD04OCT17	5891.00	6467.48	USD	28,824.00	
04JUL17	B	5	PD04OCT17	5894.50	6467.48	USD	71,622.50	
14AUG17	S	5	PD04OCT17	6382.75	6467.48	USD	-10,591.25	
30AUG17	S	15	PD04OCT17	6749.27	6467.48	USD	105,671.25	
	NET	**0**	**AVG BGHT 5889.93**	**SOLD 6657.64**			**383,857.50**	**0.00**
14JUL17	B	3	PD13OCT17	5927.50	6478.90	USD	41,355.00	
14JUL17	B	3	PD13OCT17	5920.00	6478.90	USD	41,917.50	
14JUL17	B	9	PD13OCT17	5924.50	6478.90	USD	124,740.00	
30AUG17	S	5	PD13OCT17	6753.60	6478.90	USD	34,337.50	
31AUG17	S	10	PD13OCT17	6772.00	6478.90	USD	73,275.00	
	NET	**0**	**AVG BGHT 5924.20**	**SOLD 6765.87**			**315,625.00**	**0.00**
25JUL17	B	4	PD25OCT17	6209.50	6490.29	USD	28,079.00	
25JUL17	B	4	PD25OCT17	6220.50	6490.29	USD	26,979.00	
25JUL17	B	8	PD25OCT17	6218.50	6490.29	USD	54,358.00	
25JUL17	B	4	PD25OCT17	6228.50	6490.29	USD	26,179.00	
31AUG17	S	20	PD25OCT17	6775.19	6490.29	USD	142,450.00	
	NET	**0**	**AVG BGHT 6219.10**	**SOLD 6775.19**			**278,045.00**	**0.00**
28JUL17	S	6	PD27OCT17	6327.50	6491.36	USD	-24,579.00	
28JUL17	S	8	PD27OCT17	6335.50	6491.36	USD	-31,172.00	
28JUL17	S	3	PD27OCT17	6337.50	6491.36	USD	-11,539.50	
28JUL17	S	3	PD27OCT17	6336.50	6491.36	USD	-11,614.50	
03AUG17	B	20	PD27OCT17	6350.20	6491.36	USD	70,580.00	
	NET	**0**	**AVG BGHT 6350.20**	**SOLD 6333.55**			**-8,325.00**	**0.00**
08AUG17	S	1	PD08NOV17	6408.00	6498.25	USD	-2,256.25	
14AUG17	B	1	PD08NOV17	6395.07	6498.25	USD	2,579.50	
	NET	**0**	**AVG BGHT 6395.07**	**SOLD 6408.00**			**323.25**	**0.00**
10AUG17	S	3	PD10NOV17	6410.00	6499.18	USD	-6,688.50	
10AUG17	S	1	PD10NOV17	6410.00	6499.18	USD	-2,229.50	
11AUG17	S	1	PD10NOV17	6370.50	6499.18	USD	-3,217.00	
11AUG17	S	1	PD10NOV17	6369.50	6499.18	USD	-3,242.00	
11AUG17	S	3	PD10NOV17	6368.00	6499.18	USD	-9,838.50	
14AUG17	B	9	PD10NOV17	6395.78	6499.18	USD	23,265.00	
	NET	**0**	**AVG BGHT 6395.78**	**SOLD 6387.11**			**-1,950.50**	**0.00**
14AUG17	S	2	PD14NOV17	6392.50	6501.04	USD	-5,427.00	
14AUG17	S	8	PD14NOV17	6393.50	6501.04	USD	-21,508.00	
14AUG17	B	5	PD14NOV17	6408.00	6501.04	USD	11,630.00	
14AUG17	B	5	PD14NOV17	6407.00	6501.04	USD	11,755.00	
	NET	**0**	**AVG BGHT 6407.50**	**SOLD 6393.30**			**-3,550.00**	**0.00**
16AUG17	S	1	PD16NOV17	6363.50	6501.00	USD	-3,437.50	
16AUG17	S	1	PD16NOV17	6364.50	6501.00	USD	-3,412.50	
16AUG17	S	4	PD16NOV17	6369.00	6501.00	USD	-13,200.00	
16AUG17	S	4	PD16NOV17	6367.00	6501.00	USD	-13,400.00	
16AUG17	B	4	PD16NOV17	6529.00	6501.00	USD	-2,800.00	
16AUG17	B	2	PD16NOV17	6531.00	6501.00	USD	-1,500.00	
16AUG17	B	1	PD16NOV17	6536.50	6501.00	USD	-887.50	
16AUG17	B	2	PD16NOV17	6540.00	6501.00	USD	-1,950.00	
16AUG17	B	1	PD16NOV17	6537.50	6501.00	USD	-912.50	
	NET	**0**	**AVG BGHT 6533.20**	**SOLD 6367.20**			**-41,500.00**	**0.00**
23AUG17	B	10	PD24NOV17	6541.00	6505.80	USD	-8,800.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086L

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
23AUG17	B 3 PD24NOV17	6542.50	6505.80	USD	-2,752.50	
23AUG17	B 7 PD24NOV17	6542.00	6505.80	USD	-6,335.00	
24AUG17	S 1 PD24NOV17	6617.00	6505.80	USD	2,780.00	
24AUG17	S 3 PD24NOV17	6614.00	6505.80	USD	8,115.00	
24AUG17	S 6 PD24NOV17	6609.50	6505.80	USD	15,555.00	
24AUG17	S 2 PD24NOV17	6608.50	6505.80	USD	5,135.00	
24AUG17	S 3 PD24NOV17	6610.50	6505.80	USD	7,852.50	
25AUG17	S 1 PD24NOV17	6744.50	6505.80	USD	5,967.50	
25AUG17	S 4 PD24NOV17	6742.50	6505.80	USD	23,670.00	
25AUG17	S 1 PD24NOV17	6710.50	6505.80	USD	5,117.50	
25AUG17	S 1 PD24NOV17	6712.00	6505.80	USD	5,155.00	
25AUG17	S 2 PD24NOV17	6711.50	6505.80	USD	10,285.00	
25AUG17	B 3 PD24NOV17	6679.00	6505.80	USD	-12,990.00	
25AUG17	B 3 PD24NOV17	6677.50	6505.80	USD	-12,877.50	
25AUG17	B 1 PD24NOV17	6676.00	6505.80	USD	-4,255.00	
25AUG17	B 2 PD24NOV17	6674.00	6505.80	USD	-8,410.00	
31AUG17	S 5 PD24NOV17	6786.50	6505.80	USD	35,087.50	
	NET 0 AVG BGHT 6583.62 SOLD 6677.83				**68,300.00**	**0.00**
29AUG17	S 4 PD29NOV17	6790.00	6508.80	USD	28,120.00	
29AUG17	S 4 PD29NOV17	6793.00	6508.80	USD	28,420.00	
29AUG17	S 4 PD29NOV17	6795.00	6508.80	USD	28,620.00	
29AUG17	S 4 PD29NOV17	6781.00	6508.80	USD	27,220.00	
29AUG17	S 4 PD29NOV17	6785.50	6508.80	USD	27,670.00	
30AUG17	B 20 PD29NOV17	6768.95	6508.80	USD	-130,075.00	
	NET 0 AVG BGHT 6768.95 SOLD 6788.90				**9,975.00**	**0.00**
30AUG17	S 4 PD30NOV17	6777.00	6509.40	USD	26,760.00	
30AUG17	S 7 PD30NOV17	6782.50	6509.40	USD	47,792.50	
30AUG17	S 3 PD30NOV17	6764.00	6509.40	USD	19,095.00	
30AUG17	S 1 PD30NOV17	6766.50	6509.40	USD	6,427.50	
30AUG17	S 17 PD30NOV17	6770.50	6509.40	USD	110,967.50	
30AUG17	S 2 PD30NOV17	6772.00	6509.40	USD	13,130.00	
30AUG17	S 1 PD30NOV17	6769.50	6509.40	USD	6,502.50	
31AUG17	B 35 PD30NOV17	6788.20	6509.40	USD	-243,950.00	
	NET 0 AVG BGHT 6788.20 SOLD 6773.03				**-13,275.00**	**0.00**

OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	987,525.25

MARKET REVALUATION BREAKDOWN

			Amount	Profit / Loss	**Total Amount**	**Total P&L**
Unrealised Profits & Losses - Forwards						
COPL	- Futures	USD	987,525.25	987,525.25	**987,525.25**	**987,525.25**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086L

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-681,447.44	-681,447.44
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**-681,447.44**	**-681,447.44**
Initial Margins		0.00	0.00
Excess/(Shortage)		**-681,447.44**	**-681,447.44**
FOR INFORMATION ONLY			
Forward Unrealised P&L		987,525.25	987,525.25
Net Liquidating Value		**306,077.81**	**306,077.81**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086N

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT							
04JUL17	B	1	PD04OCT17	5883.00	6467.48	USD	14,612.00	
04JUL17	B	1	PD04OCT17	5886.50	6467.48	USD	14,524.50	
04JUL17	B	3	PD04OCT17	5888.50	6467.48	USD	43,423.50	
04JUL17	B	1	PD04OCT17	5895.50	6467.48	USD	14,299.50	
04JUL17	B	3	PD04OCT17	5894.50	6467.48	USD	42,973.50	
04JUL17	B	1	PD04OCT17	5891.00	6467.48	USD	14,412.00	
30AUG17	S	10	PD04OCT17	6749.27	6467.48	USD	70,447.50	
	NET	**0**	**AVG BGHT 5890.50**	**SOLD 6749.27**			**214,692.50**	**0.00**
14JUL17	B	2	PD13OCT17	5927.50	6478.90	USD	27,570.00	
14JUL17	B	2	PD13OCT17	5920.00	6478.90	USD	27,945.00	
14JUL17	B	6	PD13OCT17	5924.50	6478.90	USD	83,160.00	
30AUG17	S	5	PD13OCT17	6753.60	6478.90	USD	34,337.50	
31AUG17	S	5	PD13OCT17	6772.00	6478.90	USD	36,637.50	
	NET	**0**	**AVG BGHT 5924.20**	**SOLD 6762.80**			**209,650.00**	**0.00**
25JUL17	B	1	PD25OCT17	6209.50	6490.29	USD	7,019.75	
25JUL17	B	2	PD25OCT17	6220.50	6490.29	USD	13,489.50	
25JUL17	B	4	PD25OCT17	6218.50	6490.29	USD	27,179.00	
25JUL17	B	3	PD25OCT17	6228.50	6490.29	USD	19,634.25	
31AUG17	S	10	PD25OCT17	6775.19	6490.29	USD	71,225.00	
	NET	**0**	**AVG BGHT 6221.00**	**SOLD 6775.19**			**138,547.50**	**0.00**
28JUL17	S	4	PD27OCT17	6327.50	6491.36	USD	-16,386.00	
28JUL17	S	4	PD27OCT17	6335.50	6491.36	USD	-15,586.00	
28JUL17	S	1	PD27OCT17	6336.50	6491.36	USD	-3,871.50	
28JUL17	S	1	PD27OCT17	6337.50	6491.36	USD	-3,846.50	
03AUG17	B	10	PD27OCT17	6350.20	6491.36	USD	35,290.00	
	NET	**0**	**AVG BGHT 6350.20**	**SOLD 6332.60**			**-4,400.00**	**0.00**
10AUG17	S	1	PD10NOV17	6410.00	6499.18	USD	-2,229.50	
11AUG17	S	2	PD10NOV17	6368.00	6499.18	USD	-6,559.00	
11AUG17	S	2	PD10NOV17	6370.50	6499.18	USD	-6,434.00	
14AUG17	B	5	PD10NOV17	6395.78	6499.18	USD	12,925.00	
	NET	**0**	**AVG BGHT 6395.78**	**SOLD 6377.40**			**-2,297.50**	**0.00**
14AUG17	S	1	PD14NOV17	6392.50	6501.04	USD	-2,713.50	
14AUG17	S	4	PD14NOV17	6393.50	6501.04	USD	-10,754.00	
14AUG17	B	4	PD14NOV17	6411.50	6501.04	USD	8,954.00	
14AUG17	B	1	PD14NOV17	6412.50	6501.04	USD	2,213.50	
	NET	**0**	**AVG BGHT 6411.70**	**SOLD 6393.30**			**-2,300.00**	**0.00**
16AUG17	S	1	PD16NOV17	6369.00	6501.00	USD	-3,300.00	
16AUG17	S	2	PD16NOV17	6367.00	6501.00	USD	-6,700.00	
16AUG17	S	2	PD16NOV17	6364.50	6501.00	USD	-6,825.00	
16AUG17	B	2	PD16NOV17	6529.00	6501.00	USD	-1,400.00	
16AUG17	B	1	PD16NOV17	6531.00	6501.00	USD	-750.00	
16AUG17	B	1	PD16NOV17	6536.50	6501.00	USD	-887.50	
16AUG17	B	1	PD16NOV17	6540.00	6501.00	USD	-975.00	
	NET	**0**	**AVG BGHT 6533.10**	**SOLD 6366.40**			**-20,837.50**	**0.00**
23AUG17	B	2	PD24NOV17	6542.50	6505.80	USD	-1,835.00	
23AUG17	B	3	PD24NOV17	6541.00	6505.80	USD	-2,640.00	
23AUG17	B	1	PD24NOV17	6540.50	6505.80	USD	-867.50	
23AUG17	B	1	PD24NOV17	6543.00	6505.80	USD	-930.00	
23AUG17	B	3	PD24NOV17	6542.00	6505.80	USD	-2,715.00	
24AUG17	S	3	PD24NOV17	6614.00	6505.80	USD	8,115.00	
24AUG17	S	3	PD24NOV17	6609.50	6505.80	USD	7,777.50	
24AUG17	S	2	PD24NOV17	6610.50	6505.80	USD	5,235.00	
24AUG17	S	2	PD24NOV17	6608.50	6505.80	USD	5,135.00	
25AUG17	S	3	PD24NOV17	6741.50	6505.80	USD	17,677.50	
25AUG17	S	3	PD24NOV17	6742.50	6505.80	USD	17,752.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086N

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
25AUG17	S 2 PD24NOV17	6710.50	6505.80	USD	10,235.00	
25AUG17	S 1 PD24NOV17	6711.00	6505.80	USD	5,130.00	
25AUG17	B 3 PD24NOV17	6691.50	6505.80	USD	-13,927.50	
25AUG17	B 3 PD24NOV17	6691.00	6505.80	USD	-13,890.00	
25AUG17	B 3 PD24NOV17	6690.50	6505.80	USD	-13,852.50	
	NET 0 AVG BGHT 6612.45 SOLD 6668.03				**26,400.00**	**0.00**
29AUG17	S 3 PD29NOV17	6790.00	6508.80	USD	21,090.00	
29AUG17	S 3 PD29NOV17	6793.00	6508.80	USD	21,315.00	
29AUG17	S 3 PD29NOV17	6781.00	6508.80	USD	20,415.00	
29AUG17	S 3 PD29NOV17	6795.00	6508.80	USD	21,465.00	
29AUG17	S 3 PD29NOV17	6785.50	6508.80	USD	20,752.50	
30AUG17	B 15 PD29NOV17	6768.95	6508.80	USD	-97,556.25	
	NET 0 AVG BGHT 6768.95 SOLD 6788.90				**7,481.25**	**0.00**
30AUG17	S 1 PD30NOV17	6777.00	6509.40	USD	6,690.00	
30AUG17	S 3 PD30NOV17	6782.50	6509.40	USD	20,482.50	
30AUG17	S 1 PD30NOV17	6770.50	6509.40	USD	6,527.50	
30AUG17	S 1 PD30NOV17	6773.00	6509.40	USD	6,590.00	
30AUG17	S 2 PD30NOV17	6774.00	6509.40	USD	13,230.00	
30AUG17	S 2 PD30NOV17	6777.50	6509.40	USD	13,405.00	
30AUG17	S 1 PD30NOV17	6778.50	6509.40	USD	6,727.50	
30AUG17	S 4 PD30NOV17	6776.00	6509.40	USD	26,660.00	
31AUG17	B 15 PD30NOV17	6788.20	6509.40	USD	-104,550.00	
	NET 0 AVG BGHT 6788.20 SOLD 6776.90				**-4,237.50**	**0.00**

OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	562,698.75

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards		Amount	Profit / Loss	**Total Amount**	**Total P&L**
COPL - Futures	USD	562,698.75	562,698.75	**562,698.75**	**562,698.75**

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-455,537.95	-455,537.95
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**-455,537.95**	**-455,537.95**
Initial Margins		0.00	0.00
Excess/(Shortage)		**-455,537.95**	**-455,537.95**
FOR INFORMATION ONLY			
Forward Unrealised P&L		562,698.75	562,698.75
Net Liquidating Value		**107,160.80**	**107,160.80**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED
SAP0086 ARBS
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPAB086**

3rd Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

GROUP FINANCIAL SUMMARY

		USD (1.00000)	NET USD (1.00000)
CASH BALANCE		-1,146,140.09	-1,146,140.09
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**-1,146,140.09**	**-1,146,140.09**
Initial Margins		0.00	0.00
Excess/(Shortage)		**-1,146,140.09**	**-1,146,140.09**
FOR INFORMATION ONLY			
Forward Unrealised P&L		1,805,354.00	1,805,354.00
Net Liquidating Value		**659,213.91**	**659,213.91**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0089

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		38,630.93	38,630.93
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**38,630.93**	**38,630.93**
Initial Margins		0.00	0.00
Excess/(Shortage)		**38,630.93**	**38,630.93**
FOR INFORMATION ONLY			
Net Liquidating Value		**38,630.93**	**38,630.93**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0089A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT							
04JUL17	S	5	PD04OCT17	5876.50	6467.48	USD	-73,872.50	
04JUL17	S	1	PD04OCT17	5878.00	6467.48	USD	-14,737.00	
04JUL17	S	2	PD04OCT17	5875.50	6467.48	USD	-29,599.00	
04JUL17	S	2	PD04OCT17	5874.50	6467.48	USD	-29,649.00	
29AUG17	B	10	PD04OCT17	6774.84	6467.48	USD	-76,840.00	
	NET	**0**	**AVG BGHT 6774.84**	**SOLD 5876.05**			**-224,697.50**	**0.00**
05JUL17	S	1	PD05OCT17	5845.00	6468.75	USD	-15,593.75	
05JUL17	S	3	PD05OCT17	5838.50	6468.75	USD	-47,268.75	
05JUL17	S	1	PD05OCT17	5839.50	6468.75	USD	-15,731.25	
05JUL17	S	1	PD05OCT17	5818.50	6468.75	USD	-16,256.25	
05JUL17	S	2	PD05OCT17	5819.50	6468.75	USD	-32,462.50	
05JUL17	S	2	PD05OCT17	5820.50	6468.75	USD	-32,412.50	
05JUL17	S	9	PD05OCT17	5826.00	6468.75	USD	-144,618.75	
05JUL17	S	4	PD05OCT17	5825.50	6468.75	USD	-64,325.00	
05JUL17	S	7	PD05OCT17	5825.00	6468.75	USD	-112,656.25	
29AUG17	B	30	PD05OCT17	6775.31	6468.75	USD	-229,920.00	
	NET	**0**	**AVG BGHT 6775.31**	**SOLD 5826.98**			**-711,245.00**	**0.00**
11JUL17	S	4	PD11OCT17	5835.50	6476.37	USD	-64,087.00	
11JUL17	S	2	PD11OCT17	5836.50	6476.37	USD	-31,993.50	
11JUL17	S	1	PD11OCT17	5838.50	6476.37	USD	-15,946.75	
29AUG17	B	7	PD11OCT17	6778.13	6476.37	USD	-52,808.00	
	NET	**0**	**AVG BGHT 6778.13**	**SOLD 5836.21**			**-164,835.25**	**0.00**
12JUL17	B	3	PD12OCT17	5922.00	6477.63	USD	41,672.25	
12JUL17	B	1	PD12OCT17	5920.50	6477.63	USD	13,928.25	
12JUL17	B	3	PD12OCT17	5921.50	6477.63	USD	41,709.75	
29SEP17	S	7	PD12OCT17	6442.63	6477.63	USD	-6,125.00	
	NET	**0**	**AVG BGHT 5921.57**	**SOLD 6442.63**			**91,185.25**	**0.00**
13JUL17	S	1	PD13OCT17	5869.00	6478.90	USD	-15,247.50	
13JUL17	S	4	PD13OCT17	5868.00	6478.90	USD	-61,090.00	
14JUL17	S	7	PD13OCT17	5881.50	6478.90	USD	-104,545.00	
14JUL17	S	4	PD13OCT17	5884.00	6478.90	USD	-59,490.00	
14JUL17	S	2	PD13OCT17	5886.00	6478.90	USD	-29,645.00	
14JUL17	S	3	PD13OCT17	5883.00	6478.90	USD	-44,692.50	
14JUL17	S	4	PD13OCT17	5880.50	6478.90	USD	-59,840.00	
14JUL17	S	3	PD13OCT17	5876.50	6478.90	USD	-45,180.00	
14JUL17	S	12	PD13OCT17	5876.00	6478.90	USD	-180,870.00	
14JUL17	B	10	PD13OCT17	5906.00	6478.90	USD	143,225.00	
14JUL17	B	1	PD13OCT17	5926.00	6478.90	USD	13,822.50	
14JUL17	B	4	PD13OCT17	5927.00	6478.90	USD	55,190.00	
29AUG17	B	25	PD13OCT17	6779.07	6478.90	USD	-187,606.25	
	NET	**0**	**AVG BGHT 6454.27**	**SOLD 5878.30**			**-575,968.75**	**0.00**
17JUL17	B	1	PD17OCT17	6011.50	6483.98	USD	11,812.00	
17JUL17	B	8	PD17OCT17	6010.50	6483.98	USD	94,696.00	
17JUL17	B	8	PD17OCT17	6009.50	6483.98	USD	94,896.00	
17JUL17	B	3	PD17OCT17	6008.50	6483.98	USD	35,661.00	
17JUL17	B	2	PD17OCT17	6017.00	6483.98	USD	23,349.00	
17JUL17	B	3	PD17OCT17	6018.50	6483.98	USD	34,911.00	
17JUL17	B	15	PD17OCT17	6004.00	6483.98	USD	179,992.50	
17JUL17	B	2	PD17OCT17	6003.00	6483.98	USD	24,049.00	
17JUL17	B	1	PD17OCT17	6004.50	6483.98	USD	11,987.00	
17JUL17	B	5	PD17OCT17	5996.50	6483.98	USD	60,935.00	
17JUL17	B	2	PD17OCT17	5997.50	6483.98	USD	24,324.00	
29SEP17	S	50	PD17OCT17	6447.25	6483.98	USD	-45,912.50	
	NET	**0**	**AVG BGHT 6006.69**	**SOLD 6447.25**			**550,700.00**	**0.00**
21JUL17	B	3	PD20OCT17	6035.00	6486.75	USD	33,881.25	
21JUL17	B	7	PD20OCT17	6034.00	6486.75	USD	79,231.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0089A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
21JUL17	S 1 PD20OCT17	6000.50	6486.75	USD	-12,156.25	
29SEP17	S 9 PD20OCT17	6448.92	6486.75	USD	-8,511.75	
	NET 0 AVG BGHT 6034.30 SOLD 6404.08				**92,444.50**	**0.00**
24JUL17	S 2 PD24OCT17	5993.50	6489.75	USD	-24,812.50	
24JUL17	S 8 PD24OCT17	5993.00	6489.75	USD	-99,350.00	
24JUL17	S 1 PD24OCT17	5998.50	6489.75	USD	-12,281.25	
24JUL17	S 1 PD24OCT17	5999.00	6489.75	USD	-12,268.75	
24JUL17	S 1 PD24OCT17	5999.50	6489.75	USD	-12,256.25	
24JUL17	S 1 PD24OCT17	6000.00	6489.75	USD	-12,243.75	
29AUG17	B 14 PD24OCT17	6781.12	6489.75	USD	-101,979.50	
	NET 0 AVG BGHT 6781.12 SOLD 5994.86				**-275,192.00**	**0.00**
25JUL17	B 3 PD25OCT17	6146.50	6490.29	USD	25,784.25	
25JUL17	B 3 PD25OCT17	6145.50	6490.29	USD	25,859.25	
25JUL17	B 1 PD25OCT17	6147.00	6490.29	USD	8,582.25	
25JUL17	B 2 PD25OCT17	6166.00	6490.29	USD	16,214.50	
25JUL17	B 1 PD25OCT17	6169.00	6490.29	USD	8,032.25	
25JUL17	B 1 PD25OCT17	6169.50	6490.29	USD	8,019.75	
25JUL17	B 4 PD25OCT17	6170.00	6490.29	USD	32,029.00	
25JUL17	B 1 PD25OCT17	6194.50	6490.29	USD	7,394.75	
25JUL17	B 4 PD25OCT17	6182.00	6490.29	USD	30,829.00	
29SEP17	S 20 PD25OCT17	6452.86	6490.29	USD	-18,715.00	
	NET 0 AVG BGHT 6164.80 SOLD 6452.86				**144,030.00**	**0.00**
26JUL17	S 4 PD26OCT17	6351.00	6490.82	USD	-13,982.00	
26JUL17	S 1 PD26OCT17	6348.00	6490.82	USD	-3,570.50	
26JUL17	B 1 PD26OCT17	6273.50	6490.82	USD	5,433.00	
26JUL17	B 4 PD26OCT17	6274.50	6490.82	USD	21,632.00	
26JUL17	S 1 PD26OCT17	6327.00	6490.82	USD	-4,095.50	
29AUG17	B 1 PD26OCT17	6782.08	6490.82	USD	-7,281.50	
	NET 0 AVG BGHT 6358.93 SOLD 6346.50				**-1,864.50**	**0.00**
28JUL17	S 1 PD27OCT17	6328.00	6491.36	USD	-4,084.00	
28JUL17	S 1 PD27OCT17	6337.00	6491.36	USD	-3,859.00	
28JUL17	S 1 PD27OCT17	6334.50	6491.36	USD	-3,921.50	
28JUL17	S 4 PD27OCT17	6333.50	6491.36	USD	-15,786.00	
28JUL17	S 3 PD27OCT17	6338.00	6491.36	USD	-11,502.00	
28JUL17	S 7 PD27OCT17	6336.00	6491.36	USD	-27,188.00	
28JUL17	S 2 PD27OCT17	6341.50	6491.36	USD	-7,493.00	
28JUL17	S 5 PD27OCT17	6342.50	6491.36	USD	-18,607.50	
28JUL17	S 5 PD27OCT17	6343.50	6491.36	USD	-18,482.50	
29AUG17	B 29 PD27OCT17	6782.56	6491.36	USD	-211,120.00	
	NET 0 AVG BGHT 6782.56 SOLD 6338.36				**-322,043.50**	**0.00**
02AUG17	S 5 PD02NOV17	6331.00	6494.69	USD	-20,461.25	
02AUG17	S 1 PD02NOV17	6340.00	6494.69	USD	-3,867.25	
02AUG17	S 3 PD02NOV17	6339.50	6494.69	USD	-11,639.25	
02AUG17	S 1 PD02NOV17	6339.00	6494.69	USD	-3,892.25	
02AUG17	B 2 PD02NOV17	6334.00	6494.69	USD	8,034.50	
02AUG17	B 2 PD02NOV17	6332.00	6494.69	USD	8,134.50	
02AUG17	B 4 PD02NOV17	6333.00	6494.69	USD	16,169.00	
02AUG17	B 1 PD02NOV17	6338.50	6494.69	USD	3,904.75	
02AUG17	B 2 PD02NOV17	6337.50	6494.69	USD	7,859.50	
02AUG17	B 8 PD02NOV17	6336.50	6494.69	USD	31,638.00	
02AUG17	B 3 PD02NOV17	6336.00	6494.69	USD	11,901.75	
02AUG17	B 1 PD02NOV17	6335.00	6494.69	USD	3,992.25	
02AUG17	B 2 PD02NOV17	6335.50	6494.69	USD	7,959.50	
	NET +15 AVG BGHT 6335.34 SOLD 6335.25				**59,733.75**	**0.00**
04AUG17	S 2 PD03NOV17	6333.00	6495.28	USD	-8,114.00	
04AUG17	S 2 PD03NOV17	6339.50	6495.28	USD	-7,789.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0089A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
04AUG17	S 4 PD03NOV17	6341.50	6495.28	USD	-15,378.00	
29AUG17	B 8 PD03NOV17	6785.92	6495.28	USD	-58,128.00	
	NET 0 AVG BGHT 6785.92 SOLD 6338.88				**-89,409.00**	**0.00**
07AUG17	B 1 PD07NOV17	6368.00	6497.66	USD	3,241.50	
07AUG17	B 1 PD07NOV17	6364.50	6497.66	USD	3,329.00	
07AUG17	B 2 PD07NOV17	6367.50	6497.66	USD	6,508.00	
07AUG17	B 4 PD07NOV17	6366.50	6497.66	USD	13,116.00	
07AUG17	B 2 PD07NOV17	6363.00	6497.66	USD	6,733.00	
07AUG17	B 2 PD07NOV17	6365.00	6497.66	USD	6,633.00	
07AUG17	B 1 PD07NOV17	6364.00	6497.66	USD	3,341.50	
07AUG17	B 2 PD07NOV17	6381.50	6497.66	USD	5,808.00	
07AUG17	B 2 PD07NOV17	6379.00	6497.66	USD	5,933.00	
07AUG17	B 1 PD07NOV17	6380.00	6497.66	USD	2,941.50	
07AUG17	B 1 PD07NOV17	6418.50	6497.66	USD	1,979.00	
07AUG17	B 1 PD07NOV17	6417.50	6497.66	USD	2,004.00	
07AUG17	B 1 PD07NOV17	6416.50	6497.66	USD	2,029.00	
07AUG17	B 1 PD07NOV17	6415.50	6497.66	USD	2,054.00	
07AUG17	B 1 PD07NOV17	6413.00	6497.66	USD	2,116.50	
	NET +23 AVG BGHT 6379.80				**67,767.00**	**0.00**
24AUG17	S 1 PD24NOV17	6615.00	6505.80	USD	2,730.00	
24AUG17	S 3 PD24NOV17	6616.50	6505.80	USD	8,302.50	
24AUG17	S 2 PD24NOV17	6615.50	6505.80	USD	5,485.00	
24AUG17	S 4 PD24NOV17	6614.50	6505.80	USD	10,870.00	
29AUG17	B 10 PD24NOV17	6790.74	6505.80	USD	-71,235.00	
	NET 0 AVG BGHT 6790.74 SOLD 6615.35				**-43,847.50**	**0.00**
30AUG17	S 3 PD30NOV17	6772.50	6509.40	USD	19,732.50	
30AUG17	S 4 PD30NOV17	6771.50	6509.40	USD	26,210.00	
30AUG17	S 2 PD30NOV17	6770.50	6509.40	USD	13,055.00	
30AUG17	S 7 PD30NOV17	6783.50	6509.40	USD	47,967.50	
30AUG17	S 6 PD30NOV17	6785.50	6509.40	USD	41,415.00	
30AUG17	S 6 PD30NOV17	6780.50	6509.40	USD	40,665.00	
30AUG17	S 2 PD30NOV17	6781.50	6509.40	USD	13,605.00	
30AUG17	S 4 PD30NOV17	6781.00	6509.40	USD	27,160.00	
30AUG17	S 5 PD30NOV17	6783.00	6509.40	USD	34,200.00	
30AUG17	B 1 PD30NOV17	6787.50	6509.40	USD	-6,952.50	
30AUG17	B 22 PD30NOV17	6791.50	6509.40	USD	-155,155.00	
30AUG17	B 1 PD30NOV17	6790.00	6509.40	USD	-7,015.00	
30AUG17	B 8 PD30NOV17	6790.50	6509.40	USD	-56,220.00	
30AUG17	B 7 PD30NOV17	6788.50	6509.40	USD	-48,842.50	
30AUG17	B 2 PD30NOV17	6789.00	6509.40	USD	-13,980.00	
30AUG17	B 6 PD30NOV17	6789.50	6509.40	USD	-42,015.00	
30AUG17	S 1 PD30NOV17	6772.00	6509.40	USD	6,565.00	
30AUG17	B 3 PD30NOV17	6790.50	6509.40	USD	-21,082.50	
	NET +10 AVG BGHT 6790.41 SOLD 6779.98				**-80,687.50**	**0.00**
07SEP17	S 5 PD07DEC17	6891.00	6512.57	USD	47,303.75	
07SEP17	S 2 PD07DEC17	6883.50	6512.57	USD	18,546.50	
07SEP17	S 1 PD07DEC17	6884.00	6512.57	USD	9,285.75	
07SEP17	S 1 PD07DEC17	6884.50	6512.57	USD	9,298.25	
07SEP17	S 1 PD07DEC17	6882.50	6512.57	USD	9,248.25	
07SEP17	S 5 PD07DEC17	6906.50	6512.57	USD	49,241.25	
07SEP17	S 1 PD07DEC17	6905.50	6512.57	USD	9,823.25	
07SEP17	S 2 PD07DEC17	6905.00	6512.57	USD	19,621.50	
07SEP17	S 1 PD07DEC17	6904.50	6512.57	USD	9,798.25	
07SEP17	S 1 PD07DEC17	6904.00	6512.57	USD	9,785.75	
25SEP17	B 20 PD07DEC17	6443.45	6512.57	USD	34,560.00	
	NET 0 AVG BGHT 6443.45 SOLD 6896.48				**226,512.50**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0089A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT							
08SEP17	S	2	PD08DEC17	6829.00	6513.00	USD	15,800.00	
08SEP17	S	3	PD08DEC17	6828.00	6513.00	USD	23,625.00	
08SEP17	S	5	PD08DEC17	6826.00	6513.00	USD	39,125.00	
08SEP17	S	2	PD08DEC17	6824.00	6513.00	USD	15,550.00	
08SEP17	S	8	PD08DEC17	6825.00	6513.00	USD	62,400.00	
08SEP17	S	4	PD08DEC17	6824.50	6513.00	USD	31,150.00	
08SEP17	S	5	PD08DEC17	6823.50	6513.00	USD	38,812.50	
08SEP17	S	3	PD08DEC17	6815.50	6513.00	USD	22,687.50	
08SEP17	S	3	PD08DEC17	6816.50	6513.00	USD	22,762.50	
08SEP17	S	1	PD08DEC17	6819.00	6513.00	USD	7,650.00	
08SEP17	S	1	PD08DEC17	6822.00	6513.00	USD	7,725.00	
08SEP17	S	1	PD08DEC17	6821.50	6513.00	USD	7,712.50	
08SEP17	S	2	PD08DEC17	6822.50	6513.00	USD	15,475.00	
25SEP17	B	40	PD08DEC17	6443.91	6513.00	USD	69,090.00	
	NET	**0**	**AVG BGHT 6443.91**	**SOLD 6823.48**			**379,565.00**	**0.00**
18SEP17	S	1	PD18DEC17	6553.00	6516.96	USD	901.00	
18SEP17	S	2	PD18DEC17	6547.00	6516.96	USD	1,502.00	
18SEP17	S	1	PD18DEC17	6548.00	6516.96	USD	776.00	
18SEP17	S	1	PD18DEC17	6559.50	6516.96	USD	1,063.50	
18SEP17	S	1	PD18DEC17	6556.50	6516.96	USD	988.50	
18SEP17	S	1	PD18DEC17	6554.00	6516.96	USD	926.00	
18SEP17	S	8	PD18DEC17	6558.50	6516.96	USD	8,308.00	
18SEP17	S	1	PD18DEC17	6551.50	6516.96	USD	863.50	
18SEP17	S	3	PD18DEC17	6552.00	6516.96	USD	2,628.00	
18SEP17	S	2	PD18DEC17	6550.50	6516.96	USD	1,677.00	
18SEP17	S	2	PD18DEC17	6550.00	6516.96	USD	1,652.00	
18SEP17	S	12	PD18DEC17	6552.50	6516.96	USD	10,662.00	
18SEP17	S	2	PD18DEC17	6553.50	6516.96	USD	1,827.00	
18SEP17	S	1	PD18DEC17	6545.00	6516.96	USD	701.00	
18SEP17	S	11	PD18DEC17	6554.50	6516.96	USD	10,323.50	
18SEP17	S	2	PD18DEC17	6555.50	6516.96	USD	1,927.00	
18SEP17	S	2	PD18DEC17	6551.00	6516.96	USD	1,702.00	
18SEP17	S	2	PD18DEC17	6556.00	6516.96	USD	1,952.00	
18SEP17	S	3	PD18DEC17	6555.00	6516.96	USD	2,853.00	
18SEP17	S	3	PD18DEC17	6570.50	6516.96	USD	4,015.50	
18SEP17	S	1	PD18DEC17	6569.00	6516.96	USD	1,301.00	
18SEP17	S	3	PD18DEC17	6572.50	6516.96	USD	4,165.50	
18SEP17	S	5	PD18DEC17	6573.50	6516.96	USD	7,067.50	
18SEP17	S	2	PD18DEC17	6557.00	6516.96	USD	2,002.00	
18SEP17	S	2	PD18DEC17	6546.00	6516.96	USD	1,452.00	
18SEP17	S	1	PD18DEC17	6532.50	6516.96	USD	388.50	
18SEP17	S	3	PD18DEC17	6523.50	6516.96	USD	490.50	
18SEP17	S	1	PD18DEC17	6522.00	6516.96	USD	126.00	
18SEP17	S	1	PD18DEC17	6533.50	6516.96	USD	413.50	
18SEP17	S	1	PD18DEC17	6534.50	6516.96	USD	438.50	
18SEP17	S	5	PD18DEC17	6535.50	6516.96	USD	2,317.50	
18SEP17	S	1	PD18DEC17	6537.00	6516.96	USD	501.00	
18SEP17	S	1	PD18DEC17	6540.00	6516.96	USD	576.00	
18SEP17	S	1	PD18DEC17	6535.00	6516.96	USD	451.00	
18SEP17	S	1	PD18DEC17	6529.50	6516.96	USD	313.50	
18SEP17	S	1	PD18DEC17	6530.50	6516.96	USD	338.50	
18SEP17	S	1	PD18DEC17	6527.00	6516.96	USD	251.00	
18SEP17	S	3	PD18DEC17	6524.50	6516.96	USD	565.50	
25SEP17	B	6	PD18DEC17	6448.49	6516.96	USD	10,270.50	
29SEP17	B	86	PD18DEC17	6478.52	6516.96	USD	82,646.00	
	NET	**-3**	**AVG BGHT 6476.56**	**SOLD 6550.82**			**173,324.00**	**0.00**
19SEP17	S	3	PD19DEC17	6537.00	6517.35	USD	1,473.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0089A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
19SEP17	S 1 PD19DEC17	6537.50	6517.35	USD	503.75	
19SEP17	S 1 PD19DEC17	6536.50	6517.35	USD	478.75	
19SEP17	S 6 PD19DEC17	6532.00	6517.35	USD	2,197.50	
19SEP17	S 4 PD19DEC17	6533.00	6517.35	USD	1,565.00	
19SEP17	S 2 PD19DEC17	6542.50	6517.35	USD	1,257.50	
19SEP17	S 2 PD19DEC17	6543.50	6517.35	USD	1,307.50	
19SEP17	S 1 PD19DEC17	6546.50	6517.35	USD	728.75	
19SEP17	S 1 PD19DEC17	6547.50	6517.35	USD	753.75	
19SEP17	B 1 PD19DEC17	6514.50	6517.35	USD	71.25	
19SEP17	B 2 PD19DEC17	6513.50	6517.35	USD	192.50	
19SEP17	B 2 PD19DEC17	6525.00	6517.35	USD	-382.50	
19SEP17	B 2 PD19DEC17	6521.50	6517.35	USD	-207.50	
19SEP17	B 9 PD19DEC17	6524.00	6517.35	USD	-1,496.25	
19SEP17	B 1 PD19DEC17	6523.00	6517.35	USD	-141.25	
19SEP17	B 1 PD19DEC17	6528.50	6517.35	USD	-278.75	
19SEP17	B 2 PD19DEC17	6520.50	6517.35	USD	-157.50	
19SEP17	B 2 PD19DEC17	6513.00	6517.35	USD	217.50	
19SEP17	B 1 PD19DEC17	6510.50	6517.35	USD	171.25	
19SEP17	B 11 PD19DEC17	6509.50	6517.35	USD	2,158.75	
19SEP17	B 2 PD19DEC17	6508.50	6517.35	USD	442.50	
19SEP17	B 1 PD19DEC17	6507.50	6517.35	USD	246.25	
19SEP17	B 2 PD19DEC17	6511.50	6517.35	USD	292.50	
19SEP17	B 7 PD19DEC17	6515.00	6517.35	USD	411.25	
19SEP17	B 5 PD19DEC17	6514.00	6517.35	USD	418.75	
19SEP17	B 1 PD19DEC17	6519.50	6517.35	USD	-53.75	
19SEP17	B 14 PD19DEC17	6518.50	6517.35	USD	-402.50	
19SEP17	B 7 PD19DEC17	6517.00	6517.35	USD	61.25	
19SEP17	B 8 PD19DEC17	6516.00	6517.35	USD	270.00	
	NET +60 AVG BGHT 6516.44 SOLD 6536.90				**12,100.00**	**0.00**
26SEP17	S 1 PD27DEC17	6461.00	6517.52	USD	-1,413.00	
26SEP17	S 1 PD27DEC17	6463.00	6517.52	USD	-1,363.00	
26SEP17	S 4 PD27DEC17	6442.00	6517.52	USD	-7,552.00	
26SEP17	S 1 PD27DEC17	6441.00	6517.52	USD	-1,913.00	
26SEP17	S 2 PD27DEC17	6437.00	6517.52	USD	-4,026.00	
26SEP17	S 3 PD27DEC17	6436.50	6517.52	USD	-6,076.50	
26SEP17	S 1 PD27DEC17	6435.50	6517.52	USD	-2,050.50	
26SEP17	S 2 PD27DEC17	6431.50	6517.52	USD	-4,301.00	
26SEP17	S 17 PD27DEC17	6431.00	6517.52	USD	-36,771.00	
26SEP17	S 1 PD27DEC17	6432.50	6517.52	USD	-2,125.50	
26SEP17	S 1 PD27DEC17	6434.00	6517.52	USD	-2,088.00	
26SEP17	S 3 PD27DEC17	6435.00	6517.52	USD	-6,189.00	
26SEP17	S 1 PD27DEC17	6436.00	6517.52	USD	-2,038.00	
26SEP17	S 7 PD27DEC17	6440.00	6517.52	USD	-13,566.00	
26SEP17	S 5 PD27DEC17	6441.50	6517.52	USD	-9,502.50	
26SEP17	S 4 PD27DEC17	6439.00	6517.52	USD	-7,852.00	
26SEP17	S 6 PD27DEC17	6410.00	6517.52	USD	-16,128.00	
26SEP17	S 2 PD27DEC17	6411.00	6517.52	USD	-5,326.00	
26SEP17	S 1 PD27DEC17	6412.50	6517.52	USD	-2,625.50	
26SEP17	S 1 PD27DEC17	6413.50	6517.52	USD	-2,600.50	
26SEP17	S 1 PD27DEC17	6441.50	6517.52	USD	-1,900.50	
27SEP17	B 2 PD27DEC17	6458.50	6517.52	USD	2,951.00	
27SEP17	B 1 PD27DEC17	6464.00	6517.52	USD	1,338.00	
27SEP17	B 4 PD27DEC17	6459.50	6517.52	USD	5,802.00	
27SEP17	B 3 PD27DEC17	6460.50	6517.52	USD	4,276.50	
27SEP17	B 3 PD27DEC17	6453.00	6517.52	USD	4,839.00	
27SEP17	B 1 PD27DEC17	6456.00	6517.52	USD	1,538.00	
27SEP17	B 1 PD27DEC17	6437.50	6517.52	USD	2,000.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0089A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
27SEP17	B 1 PD27DEC17	6433.00	6517.52	USD	2,113.00	
27SEP17	B 2 PD27DEC17	6441.00	6517.52	USD	3,826.00	
27SEP17	B 1 PD27DEC17	6442.00	6517.52	USD	1,888.00	
27SEP17	B 1 PD27DEC17	6446.00	6517.52	USD	1,788.00	
27SEP17	B 3 PD27DEC17	6443.00	6517.52	USD	5,589.00	
27SEP17	B 7 PD27DEC17	6444.00	6517.52	USD	12,866.00	
	NET -35 AVG BGHT 6449.77 SOLD 6432.96				**-86,592.50**	**0.00**
28SEP17	B 7 PD28DEC17	6429.50	6517.88	USD	15,466.50	
28SEP17	B 1 PD28DEC17	6428.00	6517.88	USD	2,247.00	
28SEP17	B 1 PD28DEC17	6426.00	6517.88	USD	2,297.00	
28SEP17	B 1 PD28DEC17	6425.00	6517.88	USD	2,322.00	
28SEP17	S 4 PD28DEC17	6400.50	6517.88	USD	-11,738.00	
28SEP17	S 1 PD28DEC17	6414.00	6517.88	USD	-2,597.00	
28SEP17	S 1 PD28DEC17	6428.00	6517.88	USD	-2,247.00	
28SEP17	B 1 PD28DEC17	6467.50	6517.88	USD	1,259.50	
28SEP17	B 1 PD28DEC17	6470.50	6517.88	USD	1,184.50	
28SEP17	B 4 PD28DEC17	6468.50	6517.88	USD	4,938.00	
28SEP17	B 1 PD28DEC17	6472.50	6517.88	USD	1,134.50	
28SEP17	B 1 PD28DEC17	6473.50	6517.88	USD	1,109.50	
28SEP17	B 3 PD28DEC17	6471.50	6517.88	USD	3,478.50	
28SEP17	B 5 PD28DEC17	6459.50	6517.88	USD	7,297.50	
28SEP17	B 1 PD28DEC17	6514.50	6517.88	USD	84.50	
28SEP17	B 1 PD28DEC17	6515.50	6517.88	USD	59.50	
28SEP17	B 6 PD28DEC17	6513.50	6517.88	USD	657.00	
28SEP17	B 5 PD28DEC17	6512.00	6517.88	USD	735.00	
28SEP17	B 1 PD28DEC17	6516.00	6517.88	USD	47.00	
28SEP17	B 4 PD28DEC17	6516.50	6517.88	USD	138.00	
28SEP17	B 1 PD28DEC17	6526.00	6517.88	USD	-203.00	
28SEP17	B 3 PD28DEC17	6527.00	6517.88	USD	-684.00	
28SEP17	B 1 PD28DEC17	6525.00	6517.88	USD	-178.00	
28SEP17	B 3 PD28DEC17	6524.00	6517.88	USD	-459.00	
28SEP17	B 2 PD28DEC17	6522.50	6517.88	USD	-231.00	
28SEP17	B 1 PD28DEC17	6521.50	6517.88	USD	-90.50	
28SEP17	B 2 PD28DEC17	6522.00	6517.88	USD	-206.00	
28SEP17	B 4 PD28DEC17	6521.00	6517.88	USD	-312.00	
28SEP17	B 2 PD28DEC17	6517.50	6517.88	USD	19.00	
28SEP17	B 3 PD28DEC17	6519.00	6517.88	USD	-84.00	
28SEP17	B 1 PD28DEC17	6527.50	6517.88	USD	-240.50	
28SEP17	B 3 PD28DEC17	6528.00	6517.88	USD	-759.00	
28SEP17	B 1 PD28DEC17	6529.00	6517.88	USD	-278.00	
28SEP17	B 5 PD28DEC17	6532.50	6517.88	USD	-1,827.50	
28SEP17	B 3 PD28DEC17	6534.00	6517.88	USD	-1,209.00	
28SEP17	B 1 PD28DEC17	6533.00	6517.88	USD	-378.00	
28SEP17	B 8 PD28DEC17	6524.50	6517.88	USD	-1,324.00	
28SEP17	B 4 PD28DEC17	6523.50	6517.88	USD	-562.00	
28SEP17	B 8 PD28DEC17	6531.50	6517.88	USD	-2,724.00	
28SEP17	B 1 PD28DEC17	6542.00	6517.88	USD	-603.00	
28SEP17	B 1 PD28DEC17	6541.00	6517.88	USD	-578.00	
28SEP17	B 1 PD28DEC17	6553.50	6517.88	USD	-890.50	
28SEP17	B 4 PD28DEC17	6553.00	6517.88	USD	-3,512.00	
28SEP17	B 5 PD28DEC17	6560.00	6517.88	USD	-5,265.00	
28SEP17	B 1 PD28DEC17	6522.50	6517.88	USD	-115.50	
28SEP17	B 2 PD28DEC17	6512.00	6517.88	USD	294.00	
28SEP17	B 1 PD28DEC17	6533.00	6517.88	USD	-378.00	
	NET +110 AVG BGHT 6510.41 SOLD 6407.33				**5,095.00**	**0.00**
29SEP17	B 7 PD29DEC17	6549.00	6518.23	USD	-5,384.75	
29SEP17	B 2 PD29DEC17	6547.00	6518.23	USD	-1,438.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0089A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
29SEP17	B 1 PD29DEC17	6546.00	6518.23	USD	-694.25	
29SEP17	B 2 PD29DEC17	6543.50	6518.23	USD	-1,263.50	
29SEP17	B 4 PD29DEC17	6542.50	6518.23	USD	-2,427.00	
29SEP17	S 1 PD29DEC17	6530.50	6518.23	USD	306.75	
29SEP17	S 2 PD29DEC17	6533.50	6518.23	USD	763.50	
29SEP17	S 1 PD29DEC17	6534.50	6518.23	USD	406.75	
29SEP17	S 2 PD29DEC17	6533.00	6518.23	USD	738.50	
29SEP17	S 6 PD29DEC17	6534.00	6518.23	USD	2,365.50	
29SEP17	S 1 PD29DEC17	6536.50	6518.23	USD	456.75	
29SEP17	B 4 PD29DEC17	6545.00	6518.23	USD	-2,677.00	
29SEP17	S 1 PD29DEC17	6525.00	6518.23	USD	169.25	
29SEP17	S 3 PD29DEC17	6524.00	6518.23	USD	432.75	
29SEP17	S 1 PD29DEC17	6528.50	6518.23	USD	256.75	
29SEP17	S 3 PD29DEC17	6535.00	6518.23	USD	1,257.75	
29SEP17	S 2 PD29DEC17	6537.00	6518.23	USD	938.50	
29SEP17	S 2 PD29DEC17	6532.00	6518.23	USD	688.50	
29SEP17	S 4 PD29DEC17	6500.50	6518.23	USD	-1,773.00	
	NET -9 AVG BGHT 6546.00 SOLD 6527.90				**-6,876.75**	**0.00**
02OCT17	B 1 PD02JAN18	6534.50	6519.65	USD	-371.25	
02OCT17	B 2 PD02JAN18	6536.50	6519.65	USD	-842.50	
02OCT17	B 2 PD02JAN18	6535.50	6519.65	USD	-792.50	
	NET +5 AVG BGHT 6535.70				**-2,006.25**	**0.00**
03OCT17	S 6 PD03JAN18	6463.50	6520.00	USD	-8,475.00	
	NET -6 AVG SOLD 6463.50				**-8,475.00**	**0.00**
	CMX COPPER 25,000 CMX					
19SEP17	S 6 DEC17	296.200	296.350	USD	-225.00	
19SEP17	S 4 DEC17	296.500	296.350	USD	150.00	
19SEP17	S 14 DEC17	296.400	296.350	USD	175.00	
19SEP17	S 10 DEC17	296.450	296.350	USD	250.00	
19SEP17	S 16 DEC17	296.350	296.350	USD	0.00	
19SEP17	S 8 DEC17	296.300	296.350	USD	-100.00	
27SEP17	S 5 DEC17	293.550	296.350	USD	-3,500.00	
27SEP17	S 2 DEC17	293.750	296.350	USD	-1,300.00	
27SEP17	S 4 DEC17	293.650	296.350	USD	-2,700.00	
27SEP17	S 4 DEC17	293.300	296.350	USD	-3,050.00	
27SEP17	S 2 DEC17	293.250	296.350	USD	-1,550.00	
27SEP17	S 2 DEC17	293.400	296.350	USD	-1,475.00	
27SEP17	S 12 DEC17	293.600	296.350	USD	-8,250.00	
27SEP17	S 2 DEC17	292.550	296.350	USD	-1,900.00	
27SEP17	S 2 DEC17	292.300	296.350	USD	-2,025.00	
27SEP17	S 4 DEC17	292.750	296.350	USD	-3,600.00	
27SEP17	S 5 DEC17	292.800	296.350	USD	-4,437.50	
27SEP17	S 2 DEC17	292.950	296.350	USD	-1,700.00	
27SEP17	S 6 DEC17	292.850	296.350	USD	-5,250.00	
27SEP17	S 14 DEC17	292.900	296.350	USD	-12,075.00	
28SEP17	S 2 DEC17	292.200	296.350	USD	-2,075.00	
28SEP17	S 2 DEC17	292.100	296.350	USD	-2,125.00	
28SEP17	S 4 DEC17	292.050	296.350	USD	-4,300.00	
28SEP17	S 2 DEC17	292.000	296.350	USD	-2,175.00	
28SEP17	S 2 DEC17	293.900	296.350	USD	-1,225.00	
28SEP17	S 8 DEC17	294.000	296.350	USD	-4,700.00	
28SEP17	S 1 DEC17	293.950	296.350	USD	-600.00	
28SEP17	S 2 DEC17	294.050	296.350	USD	-1,150.00	
28SEP17	S 2 DEC17	294.200	296.350	USD	-1,075.00	
28SEP17	S 2 DEC17	294.150	296.350	USD	-1,100.00	
28SEP17	S 7 DEC17	294.100	296.350	USD	-3,937.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0089A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	CMX COPPER 25,000 CMX					
28SEP17	S 10 DEC17	293.600	296.350	USD	-6,875.00	
28SEP17	S 1 DEC17	293.650	296.350	USD	-675.00	
28SEP17	S 12 DEC17	296.050	296.350	USD	-900.00	
28SEP17	S 5 DEC17	296.100	296.350	USD	-312.50	
28SEP17	S 9 DEC17	296.000	296.350	USD	-787.50	
28SEP17	S 6 DEC17	295.950	296.350	USD	-600.00	
28SEP17	S 1 DEC17	295.900	296.350	USD	-112.50	
28SEP17	S 11 DEC17	296.200	296.350	USD	-412.50	
28SEP17	S 4 DEC17	296.700	296.350	USD	350.00	
28SEP17	S 2 DEC17	296.650	296.350	USD	150.00	
28SEP17	S 14 DEC17	296.450	296.350	USD	350.00	
28SEP17	S 5 DEC17	296.400	296.350	USD	62.50	
28SEP17	S 3 DEC17	296.250	296.350	USD	-75.00	
28SEP17	S 4 DEC17	296.350	296.350	USD	0.00	
28SEP17	S 4 DEC17	296.300	296.350	USD	-50.00	
28SEP17	S 9 DEC17	296.750	296.350	USD	900.00	
28SEP17	S 3 DEC17	296.800	296.350	USD	337.50	
28SEP17	S 5 DEC17	297.050	296.350	USD	875.00	
28SEP17	S 19 DEC17	296.600	296.350	USD	1,187.50	
28SEP17	S 16 DEC17	296.550	296.350	USD	800.00	
28SEP17	S 5 DEC17	296.500	296.350	USD	187.50	
28SEP17	S 22 DEC17	296.950	296.350	USD	3,300.00	
28SEP17	S 4 DEC17	296.900	296.350	USD	550.00	
28SEP17	S 9 DEC17	297.000	296.350	USD	1,462.50	
28SEP17	S 2 DEC17	297.450	296.350	USD	550.00	
28SEP17	S 2 DEC17	297.400	296.350	USD	525.00	
28SEP17	S 2 DEC17	298.000	296.350	USD	825.00	
28SEP17	S 8 DEC17	297.950	296.350	USD	3,200.00	
28SEP17	S 1 DEC17	297.850	296.350	USD	375.00	
28SEP17	S 10 DEC17	298.300	296.350	USD	4,875.00	
28SEP17	S 1 DEC17	298.350	296.350	USD	500.00	
02OCT17	S 6 DEC17	297.200	296.350	USD	1,275.00	
02OCT17	S 2 DEC17	297.150	296.350	USD	400.00	
	NET -375 AVG SOLD 295.659				**-64,787.50**	**0.00**

OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	-28,469,244.75
CMX Copper	FUTURES	27,718,025.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options		Amount	Profit / Loss	**Total Amount**	**Total P&L**
COPR - Futures	USD	-64,787.50	-64,787.50	**-64,787.50**	**-64,787.50**
Unrealised Profits & Losses - Forwards		**Amount**	**Profit / Loss**	**Total Amount**	**Total P&L**
COPL - Futures	USD	-791,284.00	-791,284.00	**-791,284.00**	**-791,284.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0089A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		3,481,277.51	3,481,277.51
Variation Margins	- Futures	-64,787.50	-64,787.50
	- Options	0.00	0.00
TOTAL EQUITY		**3,416,490.01**	**3,416,490.01**
Initial Margins		-4,108,429.00	-4,108,429.00
Excess/(Shortage)		**-691,938.99**	**-691,938.99**
FOR INFORMATION ONLY			
Forward Unrealised P&L		-791,284.00	-791,284.00
Net Liquidating Value		**2,625,206.01**	**2,625,206.01**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0089D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT							
08AUG17	B	1	PD08NOV17	6397.50	6498.25	USD	2,518.75	
08AUG17	B	1	PD08NOV17	6403.00	6498.25	USD	2,381.25	
08AUG17	B	8	PD08NOV17	6404.00	6498.25	USD	18,850.00	
08AUG17	B	3	PD08NOV17	6399.50	6498.25	USD	7,406.25	
08AUG17	B	10	PD08NOV17	6398.50	6498.25	USD	24,937.50	
08AUG17	B	1	PD08NOV17	6402.00	6498.25	USD	2,406.25	
08AUG17	B	1	PD08NOV17	6408.00	6498.25	USD	2,256.25	
08AUG17	B	9	PD08NOV17	6409.00	6498.25	USD	20,081.25	
08AUG17	B	1	PD08NOV17	6407.00	6498.25	USD	2,281.25	
08AUG17	B	1	PD08NOV17	6410.50	6498.25	USD	2,193.75	
08AUG17	B	2	PD08NOV17	6416.00	6498.25	USD	4,112.50	
08AUG17	B	1	PD08NOV17	6420.00	6498.25	USD	1,956.25	
08AUG17	B	4	PD08NOV17	6419.00	6498.25	USD	7,925.00	
08AUG17	B	1	PD08NOV17	6419.50	6498.25	USD	1,968.75	
08AUG17	B	5	PD08NOV17	6429.00	6498.25	USD	8,656.25	
08AUG17	B	1	PD08NOV17	6404.00	6498.25	USD	2,356.25	
29AUG17	S	50	PD08NOV17	6788.12	6498.25	USD	362,337.50	
	NET	**0**	**AVG BGHT 6408.42**	**SOLD 6788.12**			**474,625.00**	**0.00**
24AUG17	S	1	PD24NOV17	6703.50	6505.80	USD	4,942.50	
24AUG17	S	1	PD24NOV17	6709.50	6505.80	USD	5,092.50	
24AUG17	S	3	PD24NOV17	6712.00	6505.80	USD	15,465.00	
24AUG17	S	1	PD24NOV17	6703.50	6505.80	USD	4,942.50	
29AUG17	B	6	PD24NOV17	6790.74	6505.80	USD	-42,741.00	
	NET	**0**	**AVG BGHT 6790.74**	**SOLD 6708.75**			**-12,298.50**	**0.00**
29AUG17	S	1	PD29NOV17	6762.50	6508.80	USD	6,342.50	
29AUG17	S	1	PD29NOV17	6780.00	6508.80	USD	6,780.00	
29AUG17	S	1	PD29NOV17	6785.50	6508.80	USD	6,917.50	
29AUG17	S	1	PD29NOV17	6781.50	6508.80	USD	6,817.50	
29AUG17	S	2	PD29NOV17	6783.00	6508.80	USD	13,710.00	
29AUG17	S	1	PD29NOV17	6776.00	6508.80	USD	6,680.00	
29AUG17	S	1	PD29NOV17	6774.50	6508.80	USD	6,642.50	
29AUG17	S	1	PD29NOV17	6780.50	6508.80	USD	6,792.50	
29AUG17	S	3	PD29NOV17	6787.50	6508.80	USD	20,902.50	
29AUG17	S	6	PD29NOV17	6786.50	6508.80	USD	41,655.00	
29AUG17	S	1	PD29NOV17	6789.00	6508.80	USD	7,005.00	
29AUG17	S	2	PD29NOV17	6790.50	6508.80	USD	14,085.00	
29AUG17	S	4	PD29NOV17	6792.50	6508.80	USD	28,370.00	
29AUG17	S	4	PD29NOV17	6796.00	6508.80	USD	28,720.00	
29AUG17	S	1	PD29NOV17	6797.00	6508.80	USD	7,205.00	
29AUG17	S	3	PD29NOV17	6798.00	6508.80	USD	21,690.00	
29AUG17	S	2	PD29NOV17	6807.00	6508.80	USD	14,910.00	
29AUG17	S	1	PD29NOV17	6805.50	6508.80	USD	7,417.50	
29AUG17	S	1	PD29NOV17	6809.00	6508.80	USD	7,505.00	
29AUG17	S	1	PD29NOV17	6802.50	6508.80	USD	7,342.50	
29AUG17	S	1	PD29NOV17	6802.00	6508.80	USD	7,330.00	
29AUG17	S	1	PD29NOV17	6814.50	6508.80	USD	7,642.50	
29AUG17	S	1	PD29NOV17	6816.50	6508.80	USD	7,692.50	
29AUG17	S	1	PD29NOV17	6821.00	6508.80	USD	7,805.00	
29AUG17	S	1	PD29NOV17	6814.00	6508.80	USD	7,630.00	
29AUG17	S	1	PD29NOV17	6798.00	6508.80	USD	7,230.00	
29AUG17	B	44	PD29NOV17	6791.70	6508.80	USD	-311,190.00	
	NET	**0**	**AVG BGHT 6791.70**	**SOLD 6793.18**			**1,630.00**	**0.00**
30AUG17	B	1	PD30NOV17	6828.50	6509.40	USD	-7,977.50	
30AUG17	B	2	PD30NOV17	6830.00	6509.40	USD	-16,030.00	
30AUG17	B	2	PD30NOV17	6829.50	6509.40	USD	-16,005.00	
30AUG17	B	1	PD30NOV17	6827.00	6509.40	USD	-7,940.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0089D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT							
30AUG17	B	4	PD30NOV17	6826.00	6509.40	USD	-31,660.00	
30AUG17	B	1	PD30NOV17	6832.50	6509.40	USD	-8,077.50	
30AUG17	B	1	PD30NOV17	6831.50	6509.40	USD	-8,052.50	
30AUG17	B	3	PD30NOV17	6830.50	6509.40	USD	-24,082.50	
30AUG17	B	1	PD30NOV17	6812.00	6509.40	USD	-7,565.00	
30AUG17	B	1	PD30NOV17	6814.50	6509.40	USD	-7,627.50	
30AUG17	B	4	PD30NOV17	6813.50	6509.40	USD	-30,410.00	
30AUG17	B	9	PD30NOV17	6811.00	6509.40	USD	-67,860.00	
30AUG17	B	3	PD30NOV17	6807.00	6509.40	USD	-22,320.00	
30AUG17	B	2	PD30NOV17	6808.00	6509.40	USD	-14,930.00	
30AUG17	B	1	PD30NOV17	6805.00	6509.40	USD	-7,390.00	
30AUG17	B	2	PD30NOV17	6799.50	6509.40	USD	-14,505.00	
30AUG17	B	2	PD30NOV17	6802.00	6509.40	USD	-14,630.00	
31AUG17	B	1	PD30NOV17	6805.00	6509.40	USD	-7,390.00	
31AUG17	B	3	PD30NOV17	6804.00	6509.40	USD	-22,095.00	
31AUG17	B	2	PD30NOV17	6806.00	6509.40	USD	-14,830.00	
31AUG17	B	1	PD30NOV17	6807.00	6509.40	USD	-7,440.00	
31AUG17	B	1	PD30NOV17	6820.00	6509.40	USD	-7,765.00	
31AUG17	B	2	PD30NOV17	6820.50	6509.40	USD	-15,555.00	
12SEP17	S	10	PD30NOV17	6668.30	6509.40	USD	39,725.00	
15SEP17	S	15	PD30NOV17	6498.02	6509.40	USD	-4,267.50	
21SEP17	S	25	PD30NOV17	6474.30	6509.40	USD	-21,937.50	
	NET	**0**	**AVG BGHT 6815.11**	**SOLD 6520.22**			**-368,617.50**	**0.00**
11SEP17	S	10	PD01DEC17	6723.97	6510.00	USD	53,492.50	
12SEP17	B	10	PD01DEC17	6669.16	6510.00	USD	-39,790.00	
	NET	**0**	**AVG BGHT 6669.16**	**SOLD 6723.97**			**13,702.50**	**0.00**
07SEP17	S	1	PD07DEC17	6875.50	6512.57	USD	9,073.25	
07SEP17	S	1	PD07DEC17	6872.50	6512.57	USD	8,998.25	
07SEP17	S	2	PD07DEC17	6860.00	6512.57	USD	17,371.50	
07SEP17	S	1	PD07DEC17	6858.00	6512.57	USD	8,635.75	
07SEP17	S	1	PD07DEC17	6862.50	6512.57	USD	8,748.25	
07SEP17	S	1	PD07DEC17	6861.50	6512.57	USD	8,723.25	
07SEP17	S	1	PD07DEC17	6864.00	6512.57	USD	8,785.75	
07SEP17	S	2	PD07DEC17	6866.00	6512.57	USD	17,671.50	
07SEP17	S	5	PD07DEC17	6868.00	6512.57	USD	44,428.75	
15SEP17	B	15	PD07DEC17	6501.20	6512.57	USD	4,263.75	
	NET	**0**	**AVG BGHT 6501.20**	**SOLD 6865.73**			**136,700.00**	**0.00**
08SEP17	S	2	PD08DEC17	6826.50	6513.00	USD	15,675.00	
08SEP17	S	8	PD08DEC17	6825.00	6513.00	USD	62,400.00	
11SEP17	B	10	PD08DEC17	6726.79	6513.00	USD	-53,447.50	
	NET	**0**	**AVG BGHT 6726.79**	**SOLD 6825.30**			**24,627.50**	**0.00**
11SEP17	B	2	PD11DEC17	6728.50	6514.19	USD	-10,715.50	
11SEP17	B	1	PD11DEC17	6726.00	6514.19	USD	-5,295.25	
11SEP17	B	2	PD11DEC17	6730.50	6514.19	USD	-10,815.50	
11SEP17	B	3	PD11DEC17	6732.50	6514.19	USD	-16,373.25	
11SEP17	B	2	PD11DEC17	6731.50	6514.19	USD	-10,865.50	
18SEP17	S	1	PD11DEC17	6542.89	6514.19	USD	717.50	
18SEP17	S	9	PD11DEC17	6541.64	6514.19	USD	6,176.25	
	NET	**0**	**AVG BGHT 6730.45**	**SOLD 6541.77**			**-47,171.25**	**0.00**
13SEP17	B	1	PD13DEC17	6595.50	6514.98	USD	-2,013.00	
13SEP17	B	1	PD13DEC17	6592.50	6514.98	USD	-1,938.00	
13SEP17	B	3	PD13DEC17	6584.50	6514.98	USD	-5,214.00	
15SEP17	S	5	PD13DEC17	6466.39	6514.98	USD	-6,073.75	
	NET	**0**	**AVG BGHT 6588.30**	**SOLD 6466.39**			**-15,238.75**	**0.00**
14SEP17	B	1	PD14DEC17	6502.00	6515.38	USD	334.50	
14SEP17	B	4	PD14DEC17	6503.00	6515.38	USD	1,238.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0089D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
14SEP17	B 5 PD14DEC17	6504.00	6515.38	USD	1,422.50	
14SEP17	S 10 PD14DEC17	6502.00	6515.38	USD	-3,345.00	
	NET 0 AVG BGHT 6503.40 SOLD 6502.00				**-350.00**	**0.00**
15SEP17	S 1 PD15DEC17	6524.00	6515.77	USD	205.75	
15SEP17	S 1 PD15DEC17	6523.00	6515.77	USD	180.75	
15SEP17	S 3 PD15DEC17	6522.00	6515.77	USD	467.25	
15SEP17	S 1 PD15DEC17	6459.00	6515.77	USD	-1,419.25	
15SEP17	B 5 PD15DEC17	6467.53	6515.77	USD	6,030.00	
18SEP17	B 1 PD15DEC17	6544.95	6515.77	USD	-729.50	
	NET 0 AVG BGHT 6480.43 SOLD 6512.00				**4,735.00**	**0.00**
18SEP17	S 3 PD18DEC17	6544.00	6516.96	USD	2,028.00	
18SEP17	S 1 PD18DEC17	6545.00	6516.96	USD	701.00	
18SEP17	S 1 PD18DEC17	6546.00	6516.96	USD	726.00	
18SEP17	S 4 PD18DEC17	6547.00	6516.96	USD	3,004.00	
18SEP17	B 2 PD18DEC17	6547.00	6516.96	USD	-1,502.00	
18SEP17	B 4 PD18DEC17	6545.00	6516.96	USD	-2,804.00	
18SEP17	B 2 PD18DEC17	6543.50	6516.96	USD	-1,327.00	
18SEP17	B 2 PD18DEC17	6541.50	6516.96	USD	-1,227.00	
18SEP17	B 2 PD18DEC17	6540.50	6516.96	USD	-1,177.00	
18SEP17	B 1 PD18DEC17	6539.50	6516.96	USD	-563.50	
18SEP17	B 1 PD18DEC17	6538.50	6516.96	USD	-538.50	
18SEP17	B 1 PD18DEC17	6536.00	6516.96	USD	-476.00	
18SEP17	B 2 PD18DEC17	6534.00	6516.96	USD	-852.00	
18SEP17	B 2 PD18DEC17	6535.00	6516.96	USD	-902.00	
18SEP17	B 1 PD18DEC17	6545.00	6516.96	USD	-701.00	
18SEP17	B 9 PD18DEC17	6544.90	6516.96	USD	-6,286.50	
19SEP17	S 17 PD18DEC17	6538.60	6516.96	USD	9,197.00	
25SEP17	S 3 PD18DEC17	6448.29	6516.96	USD	-5,150.25	
	NET 0 AVG BGHT 6542.28 SOLD 6531.45				**-7,850.75**	**0.00**
19SEP17	S 1 PD19DEC17	6535.50	6517.35	USD	453.75	
19SEP17	S 1 PD19DEC17	6523.50	6517.35	USD	153.75	
19SEP17	S 1 PD19DEC17	6524.50	6517.35	USD	178.75	
19SEP17	S 2 PD19DEC17	6512.50	6517.35	USD	-242.50	
19SEP17	S 3 PD19DEC17	6520.00	6517.35	USD	198.75	
19SEP17	S 1 PD19DEC17	6532.50	6517.35	USD	378.75	
19SEP17	S 2 PD19DEC17	6528.00	6517.35	USD	532.50	
19SEP17	S 1 PD19DEC17	6530.00	6517.35	USD	316.25	
19SEP17	S 1 PD19DEC17	6533.50	6517.35	USD	403.75	
19SEP17	S 3 PD19DEC17	6525.50	6517.35	USD	611.25	
19SEP17	S 1 PD19DEC17	6527.00	6517.35	USD	241.25	
19SEP17	B 1 PD19DEC17	6536.00	6517.35	USD	-466.25	
19SEP17	B 1 PD19DEC17	6541.50	6517.35	USD	-603.75	
19SEP17	B 3 PD19DEC17	6537.00	6517.35	USD	-1,473.75	
19SEP17	B 1 PD19DEC17	6532.50	6517.35	USD	-378.75	
19SEP17	B 1 PD19DEC17	6533.50	6517.35	USD	-403.75	
19SEP17	B 4 PD19DEC17	6531.50	6517.35	USD	-1,415.00	
19SEP17	B 1 PD19DEC17	6529.50	6517.35	USD	-303.75	
19SEP17	B 3 PD19DEC17	6530.50	6517.35	USD	-986.25	
19SEP17	B 7 PD19DEC17	6535.00	6517.35	USD	-3,088.75	
19SEP17	B 17 PD19DEC17	6539.20	6517.35	USD	-9,286.25	
26SEP17	S 22 PD19DEC17	6409.93	6517.35	USD	-59,081.00	
	NET 0 AVG BGHT 6536.23 SOLD 6460.06				**-74,261.00**	**0.00**
21SEP17	S 1 PD21DEC17	6455.50	6516.75	USD	-1,531.25	
21SEP17	S 7 PD21DEC17	6461.00	6516.75	USD	-9,756.25	
21SEP17	S 7 PD21DEC17	6460.00	6516.75	USD	-9,931.25	
21SEP17	S 1 PD21DEC17	6460.50	6516.75	USD	-1,406.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0089D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
21SEP17	S 5 PD21DEC17	6461.50	6516.75	USD	-6,906.25	
21SEP17	S 2 PD21DEC17	6462.50	6516.75	USD	-2,712.50	
21SEP17	S 2 PD21DEC17	6461.50	6516.75	USD	-2,762.50	
21SEP17	B 25 PD21DEC17	6480.20	6516.75	USD	22,843.75	
	NET 0 AVG BGHT 6480.20 SOLD 6460.74				**-12,162.50**	**0.00**
22SEP17	S 1 PD22DEC17	6469.50	6515.75	USD	-1,156.25	
26SEP17	B 1 PD22DEC17	6409.87	6515.75	USD	2,647.00	
	NET 0 AVG BGHT 6409.87 SOLD 6469.50				**1,490.75**	**0.00**
25SEP17	S 1 PD27DEC17	6443.50	6517.52	USD	-1,850.50	
25SEP17	S 1 PD27DEC17	6453.50	6517.52	USD	-1,600.50	
25SEP17	S 1 PD27DEC17	6453.00	6517.52	USD	-1,613.00	
25SEP17	B 3 PD27DEC17	6450.20	6517.52	USD	5,049.00	
26SEP17	B 1 PD27DEC17	6496.00	6517.52	USD	538.00	
26SEP17	B 1 PD27DEC17	6508.00	6517.52	USD	238.00	
26SEP17	B 1 PD27DEC17	6506.00	6517.52	USD	288.00	
26SEP17	B 1 PD27DEC17	6503.00	6517.52	USD	363.00	
26SEP17	B 5 PD27DEC17	6495.00	6517.52	USD	2,815.00	
26SEP17	B 1 PD27DEC17	6493.50	6517.52	USD	600.50	
26SEP17	S 1 PD27DEC17	6458.50	6517.52	USD	-1,475.50	
26SEP17	S 1 PD27DEC17	6459.50	6517.52	USD	-1,450.50	
26SEP17	S 1 PD27DEC17	6435.00	6517.52	USD	-2,063.00	
26SEP17	S 2 PD27DEC17	6432.00	6517.52	USD	-4,276.00	
26SEP17	S 1 PD27DEC17	6434.50	6517.52	USD	-2,075.50	
26SEP17	S 1 PD27DEC17	6435.50	6517.52	USD	-2,050.50	
26SEP17	S 1 PD27DEC17	6439.50	6517.52	USD	-1,950.50	
26SEP17	S 2 PD27DEC17	6438.50	6517.52	USD	-3,951.00	
26SEP17	S 2 PD27DEC17	6436.50	6517.52	USD	-4,051.00	
26SEP17	S 2 PD27DEC17	6440.50	6517.52	USD	-3,851.00	
26SEP17	S 2 PD27DEC17	6433.50	6517.52	USD	-4,201.00	
26SEP17	S 5 PD27DEC17	6432.50	6517.52	USD	-10,627.50	
26SEP17	S 1 PD27DEC17	6431.50	6517.52	USD	-2,150.50	
26SEP17	S 4 PD27DEC17	6430.50	6517.52	USD	-8,702.00	
26SEP17	S 2 PD27DEC17	6429.50	6517.52	USD	-4,401.00	
26SEP17	S 2 PD27DEC17	6428.50	6517.52	USD	-4,451.00	
26SEP17	S 1 PD27DEC17	6428.50	6517.52	USD	-2,225.50	
26SEP17	B 21 PD27DEC17	6413.20	6517.52	USD	54,768.00	
27SEP17	B 1 PD27DEC17	6450.50	6517.52	USD	1,675.50	
27SEP17	B 4 PD27DEC17	6451.50	6517.52	USD	6,602.00	
27SEP17	B 10 PD27DEC17	6454.00	6517.52	USD	15,880.00	
27SEP17	B 1 PD27DEC17	6456.00	6517.52	USD	1,538.00	
27SEP17	B 2 PD27DEC17	6448.50	6517.52	USD	3,451.00	
27SEP17	B 1 PD27DEC17	6459.00	6517.52	USD	1,463.00	
27SEP17	B 2 PD27DEC17	6458.00	6517.52	USD	2,976.00	
27SEP17	B 1 PD27DEC17	6449.50	6517.52	USD	1,700.50	
27SEP17	B 3 PD27DEC17	6455.00	6517.52	USD	4,689.00	
27SEP17	B 1 PD27DEC17	6483.00	6517.52	USD	863.00	
27SEP17	B 2 PD27DEC17	6474.00	6517.52	USD	2,176.00	
27SEP17	B 1 PD27DEC17	6472.50	6517.52	USD	1,125.50	
27SEP17	B 1 PD27DEC17	6475.00	6517.52	USD	1,063.00	
27SEP17	B 1 PD27DEC17	6471.50	6517.52	USD	1,150.50	
27SEP17	B 2 PD27DEC17	6471.00	6517.52	USD	2,326.00	
27SEP17	B 2 PD27DEC17	6470.00	6517.52	USD	2,376.00	
27SEP17	B 1 PD27DEC17	6469.50	6517.52	USD	1,200.50	
27SEP17	B 1 PD27DEC17	6463.00	6517.52	USD	1,363.00	
27SEP17	B 3 PD27DEC17	6458.50	6517.52	USD	4,426.50	
27SEP17	B 2 PD27DEC17	6431.00	6517.52	USD	4,326.00	
27SEP17	B 1 PD27DEC17	6444.00	6517.52	USD	1,838.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0089D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
27SEP17	B 2 PD27DEC17	6443.00	6517.52	USD	3,726.00	
27SEP17	B 1 PD27DEC17	6443.50	6517.52	USD	1,850.50	
27SEP17	B 4 PD27DEC17	6445.00	6517.52	USD	7,252.00	
28SEP17	S 10 PD27DEC17	6521.33	6517.52	USD	952.50	
	NET +40 AVG BGHT 6450.05 SOLD 6455.64				**73,632.50**	**0.00**
28SEP17	S 1 PD28DEC17	6425.00	6517.88	USD	-2,322.00	
28SEP17	S 1 PD28DEC17	6424.00	6517.88	USD	-2,347.00	
28SEP17	S 2 PD28DEC17	6426.50	6517.88	USD	-4,569.00	
28SEP17	S 1 PD28DEC17	6429.00	6517.88	USD	-2,222.00	
28SEP17	S 1 PD28DEC17	6439.00	6517.88	USD	-1,972.00	
28SEP17	S 1 PD28DEC17	6440.00	6517.88	USD	-1,947.00	
28SEP17	S 1 PD28DEC17	6436.50	6517.88	USD	-2,034.50	
28SEP17	B 1 PD28DEC17	6425.50	6517.88	USD	2,309.50	
28SEP17	S 1 PD28DEC17	6401.50	6517.88	USD	-2,909.50	
28SEP17	S 1 PD28DEC17	6400.00	6517.88	USD	-2,947.00	
28SEP17	S 1 PD28DEC17	6399.00	6517.88	USD	-2,972.00	
28SEP17	B 2 PD28DEC17	6467.50	6517.88	USD	2,519.00	
28SEP17	B 1 PD28DEC17	6461.50	6517.88	USD	1,409.50	
28SEP17	B 1 PD28DEC17	6460.50	6517.88	USD	1,434.50	
28SEP17	B 1 PD28DEC17	6559.50	6517.88	USD	-1,040.50	
28SEP17	B 1 PD28DEC17	6554.50	6517.88	USD	-915.50	
28SEP17	B 1 PD28DEC17	6553.50	6517.88	USD	-890.50	
28SEP17	B 1 PD28DEC17	6553.00	6517.88	USD	-878.00	
28SEP17	B 10 PD28DEC17	6522.20	6517.88	USD	-1,080.00	
28SEP17	B 2 PD28DEC17	6522.00	6517.88	USD	-206.00	
02OCT17	S 10 PD28DEC17	6490.84	6517.88	USD	-6,760.00	
	NET 0 AVG BGHT 6512.81 SOLD 6455.02				**-30,340.00**	**0.00**
29SEP17	S 1 PD29DEC17	6539.00	6518.23	USD	519.25	
29SEP17	S 1 PD29DEC17	6502.00	6518.23	USD	-405.75	
29SEP17	S 1 PD29DEC17	6503.00	6518.23	USD	-380.75	
29SEP17	S 7 PD29DEC17	6503.50	6518.23	USD	-2,577.75	
02OCT17	B 10 PD29DEC17	6491.47	6518.23	USD	6,690.00	
	NET 0 AVG BGHT 6491.47 SOLD 6506.85				**3,845.00**	**0.00**
02OCT17	B 1 PD02JAN18	6525.00	6519.65	USD	-133.75	
02OCT17	B 3 PD02JAN18	6525.50	6519.65	USD	-438.75	
02OCT17	B 6 PD02JAN18	6526.00	6519.65	USD	-952.50	
02OCT17	B 1 PD02JAN18	6531.00	6519.65	USD	-283.75	
02OCT17	B 2 PD02JAN18	6528.00	6519.65	USD	-417.50	
02OCT17	B 2 PD02JAN18	6527.50	6519.65	USD	-392.50	
02OCT17	B 2 PD02JAN18	6529.00	6519.65	USD	-467.50	
02OCT17	B 6 PD02JAN18	6529.50	6519.65	USD	-1,477.50	
02OCT17	B 1 PD02JAN18	6531.50	6519.65	USD	-296.25	
02OCT17	B 1 PD02JAN18	6533.50	6519.65	USD	-346.25	
02OCT17	B 2 PD02JAN18	6534.50	6519.65	USD	-742.50	
02OCT17	B 3 PD02JAN18	6537.00	6519.65	USD	-1,301.25	
02OCT17	B 2 PD02JAN18	6536.00	6519.65	USD	-817.50	
02OCT17	B 3 PD02JAN18	6535.00	6519.65	USD	-1,151.25	
02OCT17	B 5 PD02JAN18	6530.00	6519.65	USD	-1,293.75	
02OCT17	B 3 PD02JAN18	6527.00	6519.65	USD	-551.25	
02OCT17	B 3 PD02JAN18	6532.50	6519.65	USD	-963.75	
02OCT17	B 2 PD02JAN18	6532.00	6519.65	USD	-617.50	
02OCT17	B 2 PD02JAN18	6530.50	6519.65	USD	-542.50	
03OCT17	S 28 PD02JAN18	6519.65	6519.65	USD	0.00	
	NET +22 AVG BGHT 6530.20 SOLD 6519.65				**-13,187.50**	**0.00**
03OCT17	S 1 PD03JAN18	6463.50	6520.00	USD	-1,412.50	
03OCT17	S 1 PD03JAN18	6463.00	6520.00	USD	-1,425.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0089D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
03OCT17	S 1 PD03JAN18	6462.00	6520.00	USD	-1,450.00	
03OCT17	S 4 PD03JAN18	6458.00	6520.00	USD	-6,200.00	
03OCT17	S 3 PD03JAN18	6462.50	6520.00	USD	-4,312.50	
03OCT17	S 5 PD03JAN18	6464.00	6520.00	USD	-7,000.00	
03OCT17	S 2 PD03JAN18	6468.00	6520.00	USD	-2,600.00	
03OCT17	S 1 PD03JAN18	6461.50	6520.00	USD	-1,462.50	
03OCT17	S 2 PD03JAN18	6464.50	6520.00	USD	-2,775.00	
03OCT17	S 1 PD03JAN18	6450.00	6520.00	USD	-1,750.00	
03OCT17	S 1 PD03JAN18	6447.50	6520.00	USD	-1,812.50	
03OCT17	S 3 PD03JAN18	6445.00	6520.00	USD	-5,625.00	
03OCT17	S 1 PD03JAN18	6452.00	6520.00	USD	-1,700.00	
03OCT17	S 1 PD03JAN18	6457.50	6520.00	USD	-1,562.50	
03OCT17	S 1 PD03JAN18	6468.50	6520.00	USD	-1,287.50	
03OCT17	B 28 PD03JAN18	6520.20	6520.00	USD	-140.00	
	NET 0 AVG BGHT 6520.20 SOLD 6459.46				**-42,515.00**	**0.00**
	CMX COPPER 25,000 CMX					
27SEP17	S 4 DEC17	293.550	296.350	USD	-2,800.00	
27SEP17	S 2 DEC17	292.300	296.350	USD	-2,025.00	
27SEP17	S 2 DEC17	292.350	296.350	USD	-2,000.00	
27SEP17	S 3 DEC17	292.850	296.350	USD	-2,625.00	
27SEP17	S 6 DEC17	292.900	296.350	USD	-5,175.00	
27SEP17	S 6 DEC17	293.000	296.350	USD	-5,025.00	
27SEP17	S 3 DEC17	292.950	296.350	USD	-2,550.00	
02OCT17	S 15 DEC17	296.650	296.350	USD	1,125.00	
02OCT17	S 7 DEC17	296.600	296.350	USD	437.50	
02OCT17	S 2 DEC17	297.100	296.350	USD	375.00	
02OCT17	S 3 DEC17	297.050	296.350	USD	525.00	
02OCT17	S 2 DEC17	297.000	296.350	USD	325.00	
02OCT17	S 8 DEC17	296.750	296.350	USD	800.00	
02OCT17	S 1 DEC17	296.700	296.350	USD	87.50	
02OCT17	S 15 DEC17	296.900	296.350	USD	2,062.50	
02OCT17	S 14 DEC17	296.950	296.350	USD	2,100.00	
02OCT17	S 12 DEC17	296.800	296.350	USD	1,350.00	
02OCT17	S 13 DEC17	296.850	296.350	USD	1,625.00	
02OCT17	S 7 DEC17	297.150	296.350	USD	1,400.00	
02OCT17	S 9 DEC17	297.200	296.350	USD	1,912.50	
02OCT17	S 2 DEC17	297.250	296.350	USD	450.00	
	NET -136 AVG SOLD 296.126				**-7,625.00**	**0.00**

OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	-9,992,332.00
CMX Copper	FUTURES	10,068,275.00

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0089D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options		Amount	Profit / Loss	**Total Amount**	**Total P&L**
COPR - Futures	USD	-7,625.00	-7,625.00	**-7,625.00**	**-7,625.00**
Unrealised Profits & Losses - Forwards		**Amount**	**Profit / Loss**	**Total Amount**	**Total P&L**
COPL - Futures	USD	110,995.50	110,995.50	**110,995.50**	**110,995.50**

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-79,095.00	-79,095.00
Variation Margins	- Futures	-7,625.00	-7,625.00
	- Options	0.00	0.00
TOTAL EQUITY		**-86,720.00**	**-86,720.00**
Initial Margins		-1,091,724.50	-1,091,724.50
Excess/(Shortage)		**-1,178,444.50**	**-1,178,444.50**
FOR INFORMATION ONLY			
Forward Unrealised P&L		110,995.50	110,995.50
Net Liquidating Value		**24,275.50**	**24,275.50**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0089E

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
14JUL17	S 1 PD13OCT17	5882.00	6478.90	USD	-14,922.50	
14JUL17	S 5 PD13OCT17	5883.00	6478.90	USD	-74,487.50	
14JUL17	S 5 PD13OCT17	5881.50	6478.90	USD	-74,675.00	
14JUL17	S 3 PD13OCT17	5880.50	6478.90	USD	-44,880.00	
14JUL17	S 3 PD13OCT17	5880.00	6478.90	USD	-44,917.50	
14JUL17	S 1 PD13OCT17	5881.00	6478.90	USD	-14,947.50	
14JUL17	S 3 PD13OCT17	5885.00	6478.90	USD	-44,542.50	
14JUL17	S 1 PD13OCT17	5886.00	6478.90	USD	-14,822.50	
14JUL17	S 2 PD13OCT17	5878.00	6478.90	USD	-30,045.00	
14JUL17	S 2 PD13OCT17	5869.00	6478.90	USD	-30,495.00	
14JUL17	S 4 PD13OCT17	5870.00	6478.90	USD	-60,890.00	
14JUL17	S 1 PD13OCT17	5871.50	6478.90	USD	-15,185.00	
14JUL17	S 1 PD13OCT17	5872.50	6478.90	USD	-15,160.00	
14JUL17	S 2 PD13OCT17	5874.50	6478.90	USD	-30,220.00	
14JUL17	S 3 PD13OCT17	5876.50	6478.90	USD	-45,180.00	
14JUL17	S 5 PD13OCT17	5876.00	6478.90	USD	-75,362.50	
14JUL17	S 5 PD13OCT17	5877.00	6478.90	USD	-75,237.50	
14JUL17	S 1 PD13OCT17	5881.50	6478.90	USD	-14,935.00	
14JUL17	S 1 PD13OCT17	5881.00	6478.90	USD	-14,947.50	
14JUL17	S 1 PD13OCT17	5885.00	6478.90	USD	-14,847.50	
14JUL17	B 2 PD13OCT17	5917.00	6478.90	USD	28,095.00	
14JUL17	B 1 PD13OCT17	5917.50	6478.90	USD	14,035.00	
14JUL17	B 3 PD13OCT17	5916.50	6478.90	USD	42,180.00	
14JUL17	B 9 PD13OCT17	5916.00	6478.90	USD	126,652.50	
14JUL17	B 3 PD13OCT17	5908.00	6478.90	USD	42,817.50	
14JUL17	B 2 PD13OCT17	5927.50	6478.90	USD	27,570.00	
14JUL17	B 2 PD13OCT17	5929.00	6478.90	USD	27,495.00	
14JUL17	B 1 PD13OCT17	5926.00	6478.90	USD	13,822.50	
14JUL17	B 6 PD13OCT17	5928.00	6478.90	USD	82,635.00	
14JUL17	B 5 PD13OCT17	5931.50	6478.90	USD	68,425.00	
14JUL17	B 1 PD13OCT17	5922.50	6478.90	USD	13,910.00	
14JUL17	B 1 PD13OCT17	5929.00	6478.90	USD	13,747.50	
14AUG17	B 14 PD13OCT17	6387.62	6478.90	USD	31,948.00	
	NET 0 AVG BGHT 6052.23 SOLD 5878.34				**-217,367.00**	**0.00**
17JUL17	B 3 PD17OCT17	6007.50	6483.98	USD	35,736.00	
17JUL17	B 1 PD17OCT17	6008.50	6483.98	USD	11,887.00	
29SEP17	S 4 PD17OCT17	6447.25	6483.98	USD	-3,673.00	
	NET 0 AVG BGHT 6007.75 SOLD 6447.25				**43,950.00**	**0.00**
18JUL17	B 1 PD18OCT17	6001.00	6485.25	USD	12,106.25	
18JUL17	B 2 PD18OCT17	6004.00	6485.25	USD	24,062.50	
18JUL17	B 3 PD18OCT17	6006.50	6485.25	USD	35,906.25	
18JUL17	B 4 PD18OCT17	6007.00	6485.25	USD	47,825.00	
29SEP17	S 10 PD18OCT17	6447.25	6485.25	USD	-9,500.00	
	NET 0 AVG BGHT 6005.65 SOLD 6447.25				**110,400.00**	**0.00**
24JUL17	S 5 PD24OCT17	6001.50	6489.75	USD	-61,031.25	
24JUL17	S 2 PD24OCT17	5999.50	6489.75	USD	-24,512.50	
24JUL17	S 3 PD24OCT17	5998.50	6489.75	USD	-36,843.75	
14AUG17	B 10 PD24OCT17	6389.62	6489.75	USD	25,032.50	
	NET 0 AVG BGHT 6389.62 SOLD 6000.20				**-97,355.00**	**0.00**
25JUL17	B 10 PD25OCT17	6173.00	6490.29	USD	79,322.50	
29SEP17	S 10 PD25OCT17	6452.86	6490.29	USD	-9,357.50	
	NET 0 AVG BGHT 6173.00 SOLD 6452.86				**69,965.00**	**0.00**
28JUL17	S 1 PD27OCT17	6323.50	6491.36	USD	-4,196.50	
28JUL17	S 1 PD27OCT17	6327.50	6491.36	USD	-4,096.50	
28JUL17	S 1 PD27OCT17	6326.50	6491.36	USD	-4,121.50	
28JUL17	S 2 PD27OCT17	6325.50	6491.36	USD	-8,293.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0089E

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT							
28JUL17	S	4	PD27OCT17	6329.00	6491.36	USD	-16,236.00	
28JUL17	S	1	PD27OCT17	6328.00	6491.36	USD	-4,084.00	
28JUL17	S	1	PD27OCT17	6331.50	6491.36	USD	-3,996.50	
28JUL17	S	2	PD27OCT17	6332.50	6491.36	USD	-7,943.00	
28JUL17	S	1	PD27OCT17	6333.50	6491.36	USD	-3,946.50	
28JUL17	S	3	PD27OCT17	6336.00	6491.36	USD	-11,652.00	
28JUL17	S	2	PD27OCT17	6337.00	6491.36	USD	-7,718.00	
28JUL17	S	1	PD27OCT17	6329.00	6491.36	USD	-4,059.00	
14AUG17	B	20	PD27OCT17	6390.81	6491.36	USD	50,275.00	
	NET	**0**	**AVG BGHT 6390.81 SOLD 6330.68**				**-30,067.50**	**0.00**
01AUG17	B	4	PD01NOV17	6382.00	6494.09	USD	11,209.00	
01AUG17	B	1	PD01NOV17	6381.50	6494.09	USD	2,814.75	
	NET	**+5**	**AVG BGHT 6381.90**				**14,023.75**	**0.00**
02AUG17	S	1	PD02NOV17	6329.50	6494.69	USD	-4,129.75	
02AUG17	S	2	PD02NOV17	6330.50	6494.69	USD	-8,209.50	
02AUG17	S	2	PD02NOV17	6334.00	6494.69	USD	-8,034.50	
02AUG17	S	1	PD02NOV17	6332.50	6494.69	USD	-4,054.75	
02AUG17	S	4	PD02NOV17	6332.00	6494.69	USD	-16,269.00	
02AUG17	B	10	PD02NOV17	6333.50	6494.69	USD	40,297.50	
02AUG17	B	1	PD02NOV17	6334.00	6494.69	USD	4,017.25	
02AUG17	B	2	PD02NOV17	6335.00	6494.69	USD	7,984.50	
02AUG17	B	1	PD02NOV17	6334.50	6494.69	USD	4,004.75	
02AUG17	B	8	PD02NOV17	6335.50	6494.69	USD	31,838.00	
02AUG17	B	3	PD02NOV17	6336.50	6494.69	USD	11,864.25	
	NET	**+15**	**AVG BGHT 6334.68 SOLD 6331.90**				**59,308.75**	**0.00**
04AUG17	S	1	PD03NOV17	6365.00	6495.28	USD	-3,257.00	
04AUG17	S	1	PD03NOV17	6361.50	6495.28	USD	-3,344.50	
04AUG17	S	1	PD03NOV17	6360.00	6495.28	USD	-3,382.00	
04AUG17	S	1	PD03NOV17	6359.00	6495.28	USD	-3,407.00	
04AUG17	S	3	PD03NOV17	6362.00	6495.28	USD	-9,996.00	
04AUG17	S	4	PD03NOV17	6361.00	6495.28	USD	-13,428.00	
04AUG17	S	2	PD03NOV17	6362.50	6495.28	USD	-6,639.00	
04AUG17	S	2	PD03NOV17	6363.50	6495.28	USD	-6,589.00	
04AUG17	S	10	PD03NOV17	6364.50	6495.28	USD	-32,695.00	
14AUG17	B	25	PD03NOV17	6393.29	6495.28	USD	63,743.75	
	NET	**0**	**AVG BGHT 6393.29 SOLD 6362.90**				**-18,993.75**	**0.00**
07AUG17	B	1	PD07NOV17	6371.00	6497.66	USD	3,166.50	
07AUG17	B	2	PD07NOV17	6368.50	6497.66	USD	6,458.00	
07AUG17	B	3	PD07NOV17	6367.50	6497.66	USD	9,762.00	
07AUG17	B	4	PD07NOV17	6365.50	6497.66	USD	13,216.00	
07AUG17	B	2	PD07NOV17	6364.00	6497.66	USD	6,683.00	
07AUG17	B	3	PD07NOV17	6363.00	6497.66	USD	10,099.50	
07AUG17	B	2	PD07NOV17	6427.00	6497.66	USD	3,533.00	
07AUG17	B	2	PD07NOV17	6425.00	6497.66	USD	3,633.00	
07AUG17	B	6	PD07NOV17	6426.00	6497.66	USD	10,749.00	
	NET	**+25**	**AVG BGHT 6389.98**				**67,300.00**	**0.00**
14AUG17	B	37	PD14NOV17	6397.20	6501.04	USD	96,052.00	
	NET	**+37**	**AVG BGHT 6397.20**				**96,052.00**	**0.00**
25AUG17	B	4	PD24NOV17	6666.20	6505.80	USD	-16,040.00	
	NET	**+4**	**AVG BGHT 6666.20**				**-16,040.00**	**0.00**
29AUG17	S	1	PD29NOV17	6821.50	6508.80	USD	7,817.50	
29AUG17	S	2	PD29NOV17	6802.50	6508.80	USD	14,685.00	
29AUG17	S	4	PD29NOV17	6800.00	6508.80	USD	29,120.00	
29AUG17	S	3	PD29NOV17	6803.50	6508.80	USD	22,102.50	
29AUG17	S	1	PD29NOV17	6804.50	6508.80	USD	7,392.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0089E

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
29AUG17	S 1 PD29NOV17	6797.00	6508.80	USD	7,205.00	
29AUG17	S 2 PD29NOV17	6798.00	6508.80	USD	14,460.00	
29AUG17	S 1 PD29NOV17	6794.00	6508.80	USD	7,130.00	
29AUG17	S 1 PD29NOV17	6792.50	6508.80	USD	7,092.50	
29AUG17	S 3 PD29NOV17	6793.50	6508.80	USD	21,352.50	
29AUG17	S 2 PD29NOV17	6799.50	6508.80	USD	14,535.00	
29AUG17	S 29 PD29NOV17	6799.00	6508.80	USD	210,395.00	
29AUG17	S 3 PD29NOV17	6800.50	6508.80	USD	21,877.50	
29AUG17	S 2 PD29NOV17	6801.50	6508.80	USD	14,635.00	
20SEP17	B 20 PD29NOV17	6518.83	6508.80	USD	-5,015.00	
25SEP17	B 20 PD29NOV17	6439.02	6508.80	USD	34,890.00	
29SEP17	B 15 PD29NOV17	6470.53	6508.80	USD	14,351.25	
	NET 0 AVG BGHT 6476.64 SOLD 6799.56				**444,026.25**	**0.00**
30AUG17	S 2 PD30NOV17	6778.00	6509.40	USD	13,430.00	
30AUG17	S 6 PD30NOV17	6777.00	6509.40	USD	40,140.00	
30AUG17	S 3 PD30NOV17	6775.50	6509.40	USD	19,957.50	
30AUG17	S 1 PD30NOV17	6775.00	6509.40	USD	6,640.00	
30AUG17	S 2 PD30NOV17	6774.50	6509.40	USD	13,255.00	
30AUG17	S 2 PD30NOV17	6779.00	6509.40	USD	13,480.00	
30AUG17	S 4 PD30NOV17	6781.00	6509.40	USD	27,160.00	
30AUG17	S 1 PD30NOV17	6782.50	6509.40	USD	6,827.50	
30AUG17	S 1 PD30NOV17	6779.50	6509.40	USD	6,752.50	
30AUG17	S 3 PD30NOV17	6777.50	6509.40	USD	20,107.50	
30AUG17	B 7 PD30NOV17	6785.00	6509.40	USD	-48,230.00	
30AUG17	B 7 PD30NOV17	6786.00	6509.40	USD	-48,405.00	
30AUG17	B 6 PD30NOV17	6789.50	6509.40	USD	-42,015.00	
30AUG17	B 4 PD30NOV17	6787.00	6509.40	USD	-27,760.00	
30AUG17	B 4 PD30NOV17	6791.00	6509.40	USD	-28,160.00	
30AUG17	B 1 PD30NOV17	6790.00	6509.40	USD	-7,015.00	
30AUG17	B 2 PD30NOV17	6787.50	6509.40	USD	-13,905.00	
30AUG17	B 3 PD30NOV17	6786.50	6509.40	USD	-20,782.50	
30AUG17	B 5 PD30NOV17	6788.00	6509.40	USD	-34,825.00	
30AUG17	B 1 PD30NOV17	6789.00	6509.40	USD	-6,990.00	
30AUG17	B 2 PD30NOV17	6792.50	6509.40	USD	-14,155.00	
30AUG17	B 19 PD30NOV17	6791.50	6509.40	USD	-133,997.50	
30AUG17	B 14 PD30NOV17	6790.50	6509.40	USD	-98,385.00	
30AUG17	B 1 PD30NOV17	6788.00	6509.40	USD	-6,965.00	
30AUG17	B 1 PD30NOV17	6789.00	6509.40	USD	-6,990.00	
30AUG17	B 1 PD30NOV17	6790.50	6509.40	USD	-7,027.50	
30AUG17	B 2 PD30NOV17	6791.50	6509.40	USD	-14,105.00	
	NET +55 AVG BGHT 6789.26 SOLD 6777.80				**-391,962.50**	**0.00**
07SEP17	S 1 PD07DEC17	6865.00	6512.57	USD	8,810.75	
07SEP17	S 4 PD07DEC17	6866.00	6512.57	USD	35,343.00	
07SEP17	S 2 PD07DEC17	6867.00	6512.57	USD	17,721.50	
07SEP17	S 7 PD07DEC17	6868.00	6512.57	USD	62,200.25	
07SEP17	S 1 PD07DEC17	6868.00	6512.57	USD	8,885.75	
07SEP17	S 3 PD07DEC17	6880.00	6512.57	USD	27,557.25	
07SEP17	S 7 PD07DEC17	6881.00	6512.57	USD	64,475.25	
29SEP17	B 9 PD07DEC17	6473.38	6512.57	USD	8,817.75	
	NET -16 AVG BGHT 6473.38 SOLD 6872.56				**233,811.50**	**0.00**
08SEP17	S 1 PD08DEC17	6833.50	6513.00	USD	8,012.50	
08SEP17	S 1 PD08DEC17	6832.50	6513.00	USD	7,987.50	
08SEP17	S 4 PD08DEC17	6834.50	6513.00	USD	32,150.00	
08SEP17	S 7 PD08DEC17	6835.50	6513.00	USD	56,437.50	
08SEP17	S 1 PD08DEC17	6832.00	6513.00	USD	7,975.00	
08SEP17	S 3 PD08DEC17	6835.00	6513.00	USD	24,150.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0089E

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
08SEP17	S 2 PD08DEC17	6836.50	6513.00	USD	16,175.00	
08SEP17	S 1 PD08DEC17	6839.00	6513.00	USD	8,150.00	
	NET -20 AVG SOLD 6835.08				**161,037.50**	**0.00**
18SEP17	S 1 PD18DEC17	6553.00	6516.96	USD	901.00	
18SEP17	S 1 PD18DEC17	6550.00	6516.96	USD	826.00	
18SEP17	S 3 PD18DEC17	6554.00	6516.96	USD	2,778.00	
18SEP17	S 3 PD18DEC17	6556.50	6516.96	USD	2,965.50	
18SEP17	S 1 PD18DEC17	6553.50	6516.96	USD	913.50	
18SEP17	S 3 PD18DEC17	6552.00	6516.96	USD	2,628.00	
18SEP17	S 1 PD18DEC17	6551.50	6516.96	USD	863.50	
18SEP17	S 2 PD18DEC17	6548.50	6516.96	USD	1,577.00	
18SEP17	S 1 PD18DEC17	6548.00	6516.96	USD	776.00	
18SEP17	S 7 PD18DEC17	6546.50	6516.96	USD	5,169.50	
18SEP17	S 1 PD18DEC17	6546.00	6516.96	USD	726.00	
18SEP17	S 1 PD18DEC17	6538.00	6516.96	USD	526.00	
18SEP17	S 10 PD18DEC17	6539.00	6516.96	USD	5,510.00	
18SEP17	S 4 PD18DEC17	6536.00	6516.96	USD	1,904.00	
18SEP17	S 1 PD18DEC17	6537.50	6516.96	USD	513.50	
18SEP17	S 1 PD18DEC17	6540.50	6516.96	USD	588.50	
18SEP17	S 6 PD18DEC17	6541.50	6516.96	USD	3,681.00	
18SEP17	S 1 PD18DEC17	6543.50	6516.96	USD	663.50	
18SEP17	S 2 PD18DEC17	6545.50	6516.96	USD	1,427.00	
18SEP17	S 1 PD18DEC17	6545.00	6516.96	USD	701.00	
18SEP17	S 2 PD18DEC17	6538.50	6516.96	USD	1,077.00	
18SEP17	S 2 PD18DEC17	6543.00	6516.96	USD	1,302.00	
18SEP17	S 2 PD18DEC17	6539.50	6516.96	USD	1,127.00	
18SEP17	S 2 PD18DEC17	6537.00	6516.96	USD	1,002.00	
18SEP17	S 1 PD18DEC17	6541.00	6516.96	USD	601.00	
18SEP17	S 4 PD18DEC17	6542.50	6516.96	USD	2,554.00	
18SEP17	S 3 PD18DEC17	6544.50	6516.96	USD	2,065.50	
18SEP17	S 2 PD18DEC17	6555.50	6516.96	USD	1,927.00	
18SEP17	S 1 PD18DEC17	6557.50	6516.96	USD	1,013.50	
18SEP17	S 4 PD18DEC17	6566.50	6516.96	USD	4,954.00	
18SEP17	S 1 PD18DEC17	6562.00	6516.96	USD	1,126.00	
18SEP17	S 2 PD18DEC17	6563.00	6516.96	USD	2,302.00	
18SEP17	S 3 PD18DEC17	6564.50	6516.96	USD	3,565.50	
18SEP17	S 4 PD18DEC17	6565.50	6516.96	USD	4,854.00	
18SEP17	S 1 PD18DEC17	6565.00	6516.96	USD	1,201.00	
18SEP17	S 1 PD18DEC17	6566.00	6516.96	USD	1,226.00	
18SEP17	S 3 PD18DEC17	6563.50	6516.96	USD	3,490.50	
18SEP17	S 2 PD18DEC17	6567.00	6516.96	USD	2,502.00	
18SEP17	S 1 PD18DEC17	6569.50	6516.96	USD	1,313.50	
18SEP17	S 1 PD18DEC17	6575.00	6516.96	USD	1,451.00	
18SEP17	S 3 PD18DEC17	6579.00	6516.96	USD	4,653.00	
18SEP17	S 1 PD18DEC17	6570.50	6516.96	USD	1,338.50	
18SEP17	S 2 PD18DEC17	6572.50	6516.96	USD	2,777.00	
18SEP17	S 1 PD18DEC17	6573.50	6516.96	USD	1,413.50	
18SEP17	S 2 PD18DEC17	6571.50	6516.96	USD	2,727.00	
18SEP17	S 2 PD18DEC17	6568.00	6516.96	USD	2,552.00	
18SEP17	S 1 PD18DEC17	6538.00	6516.96	USD	526.00	
	NET -105 AVG SOLD 6552.11				**92,280.00**	**0.00**
19SEP17	B 1 PD19DEC17	6518.50	6517.35	USD	-28.75	
19SEP17	B 4 PD19DEC17	6519.50	6517.35	USD	-215.00	
19SEP17	B 9 PD19DEC17	6520.50	6517.35	USD	-708.75	
19SEP17	B 6 PD19DEC17	6521.50	6517.35	USD	-622.50	
19SEP17	B 8 PD19DEC17	6523.00	6517.35	USD	-1,130.00	
19SEP17	B 11 PD19DEC17	6524.00	6517.35	USD	-1,828.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0089E

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
19SEP17	B 4 PD19DEC17	6525.00	6517.35	USD	-765.00	
19SEP17	B 1 PD19DEC17	6526.00	6517.35	USD	-216.25	
19SEP17	B 1 PD19DEC17	6527.00	6517.35	USD	-241.25	
	NET +45 AVG BGHT 6522.47				**-5,756.25**	**0.00**
21SEP17	S 2 PD21DEC17	6452.00	6516.75	USD	-3,237.50	
21SEP17	S 2 PD21DEC17	6454.50	6516.75	USD	-3,112.50	
21SEP17	S 4 PD21DEC17	6456.50	6516.75	USD	-6,025.00	
21SEP17	S 1 PD21DEC17	6457.50	6516.75	USD	-1,481.25	
21SEP17	S 1 PD21DEC17	6459.00	6516.75	USD	-1,443.75	
21SEP17	S 1 PD21DEC17	6462.00	6516.75	USD	-1,368.75	
21SEP17	S 1 PD21DEC17	6465.50	6516.75	USD	-1,281.25	
21SEP17	S 1 PD21DEC17	6466.50	6516.75	USD	-1,256.25	
21SEP17	S 4 PD21DEC17	6467.50	6516.75	USD	-4,925.00	
21SEP17	S 2 PD21DEC17	6463.00	6516.75	USD	-2,687.50	
21SEP17	S 1 PD21DEC17	6470.00	6516.75	USD	-1,168.75	
21SEP17	B 1 PD21DEC17	6487.50	6516.75	USD	731.25	
21SEP17	B 3 PD21DEC17	6482.00	6516.75	USD	2,606.25	
21SEP17	B 1 PD21DEC17	6483.00	6516.75	USD	843.75	
21SEP17	B 14 PD21DEC17	6481.00	6516.75	USD	12,512.50	
21SEP17	B 2 PD21DEC17	6454.50	6516.75	USD	3,112.50	
21SEP17	B 5 PD21DEC17	6453.50	6516.75	USD	7,906.25	
21SEP17	B 1 PD21DEC17	6451.00	6516.75	USD	1,643.75	
21SEP17	B 1 PD21DEC17	6449.00	6516.75	USD	1,693.75	
21SEP17	B 8 PD21DEC17	6450.00	6516.75	USD	13,350.00	
21SEP17	B 1 PD21DEC17	6486.50	6516.75	USD	756.25	
21SEP17	B 1 PD21DEC17	6451.00	6516.75	USD	1,643.75	
21SEP17	B 1 PD21DEC17	6450.00	6516.75	USD	1,668.75	
21SEP17	B 1 PD21DEC17	6449.00	6516.75	USD	1,693.75	
	NET +20 AVG BGHT 6466.59 SOLD 6460.78				**22,175.00**	**0.00**
25SEP17	S 1 PD27DEC17	6462.50	6517.52	USD	-1,375.50	
25SEP17	S 1 PD27DEC17	6461.50	6517.52	USD	-1,400.50	
25SEP17	S 2 PD27DEC17	6463.50	6517.52	USD	-2,701.00	
25SEP17	S 1 PD27DEC17	6459.00	6517.52	USD	-1,463.00	
25SEP17	S 1 PD27DEC17	6458.00	6517.52	USD	-1,488.00	
25SEP17	S 1 PD27DEC17	6457.00	6517.52	USD	-1,513.00	
25SEP17	S 3 PD27DEC17	6454.50	6517.52	USD	-4,726.50	
25SEP17	S 1 PD27DEC17	6447.50	6517.52	USD	-1,750.50	
25SEP17	S 1 PD27DEC17	6442.00	6517.52	USD	-1,888.00	
25SEP17	S 2 PD27DEC17	6444.50	6517.52	USD	-3,651.00	
25SEP17	S 1 PD27DEC17	6441.00	6517.52	USD	-1,913.00	
25SEP17	S 1 PD27DEC17	6451.00	6517.52	USD	-1,663.00	
25SEP17	S 1 PD27DEC17	6452.00	6517.52	USD	-1,638.00	
26SEP17	S 1 PD27DEC17	6511.50	6517.52	USD	-150.50	
26SEP17	S 2 PD27DEC17	6512.50	6517.52	USD	-251.00	
26SEP17	S 3 PD27DEC17	6505.00	6517.52	USD	-939.00	
26SEP17	S 1 PD27DEC17	6491.50	6517.52	USD	-650.50	
26SEP17	S 1 PD27DEC17	6461.50	6517.52	USD	-1,400.50	
26SEP17	S 2 PD27DEC17	6462.50	6517.52	USD	-2,751.00	
26SEP17	S 1 PD27DEC17	6465.50	6517.52	USD	-1,300.50	
26SEP17	S 2 PD27DEC17	6461.00	6517.52	USD	-2,826.00	
26SEP17	S 1 PD27DEC17	6463.00	6517.52	USD	-1,363.00	
26SEP17	S 1 PD27DEC17	6464.50	6517.52	USD	-1,325.50	
26SEP17	S 8 PD27DEC17	6464.00	6517.52	USD	-10,704.00	
26SEP17	S 1 PD27DEC17	6452.00	6517.52	USD	-1,638.00	
26SEP17	S 2 PD27DEC17	6451.00	6517.52	USD	-3,326.00	
26SEP17	S 2 PD27DEC17	6447.50	6517.52	USD	-3,501.00	
26SEP17	S 3 PD27DEC17	6435.00	6517.52	USD	-6,189.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0089E

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
26SEP17	S 7 PD27DEC17	6436.00	6517.52	USD	-14,266.00	
26SEP17	S 1 PD27DEC17	6439.50	6517.52	USD	-1,950.50	
26SEP17	S 2 PD27DEC17	6438.50	6517.52	USD	-3,951.00	
26SEP17	S 1 PD27DEC17	6446.00	6517.52	USD	-1,788.00	
26SEP17	S 1 PD27DEC17	6442.50	6517.52	USD	-1,875.50	
26SEP17	S 2 PD27DEC17	6441.50	6517.52	USD	-3,801.00	
26SEP17	S 3 PD27DEC17	6440.50	6517.52	USD	-5,776.50	
27SEP17	B 1 PD27DEC17	6453.00	6517.52	USD	1,613.00	
27SEP17	B 1 PD27DEC17	6452.00	6517.52	USD	1,638.00	
27SEP17	B 1 PD27DEC17	6443.00	6517.52	USD	1,863.00	
27SEP17	B 1 PD27DEC17	6444.00	6517.52	USD	1,838.00	
27SEP17	B 1 PD27DEC17	6445.00	6517.52	USD	1,813.00	
27SEP17	B 1 PD27DEC17	6445.50	6517.52	USD	1,800.50	
	NET -59 AVG BGHT 6447.08 SOLD 6456.66				**-88,329.50**	**0.00**
28SEP17	B 10 PD28DEC17	6425.50	6517.88	USD	23,095.00	
28SEP17	B 8 PD28DEC17	6424.50	6517.88	USD	18,676.00	
28SEP17	B 2 PD28DEC17	6427.50	6517.88	USD	4,519.00	
28SEP17	S 2 PD28DEC17	6407.50	6517.88	USD	-5,519.00	
28SEP17	S 3 PD28DEC17	6408.50	6517.88	USD	-8,203.50	
28SEP17	S 1 PD28DEC17	6410.00	6517.88	USD	-2,697.00	
28SEP17	B 1 PD28DEC17	6470.50	6517.88	USD	1,184.50	
28SEP17	B 2 PD28DEC17	6468.50	6517.88	USD	2,469.00	
28SEP17	B 1 PD28DEC17	6464.00	6517.88	USD	1,347.00	
28SEP17	B 7 PD28DEC17	6469.50	6517.88	USD	8,466.50	
28SEP17	B 3 PD28DEC17	6472.00	6517.88	USD	3,441.00	
28SEP17	B 5 PD28DEC17	6471.00	6517.88	USD	5,860.00	
28SEP17	B 1 PD28DEC17	6465.50	6517.88	USD	1,309.50	
28SEP17	B 1 PD28DEC17	6514.50	6517.88	USD	84.50	
28SEP17	B 1 PD28DEC17	6512.50	6517.88	USD	134.50	
28SEP17	B 4 PD28DEC17	6513.50	6517.88	USD	438.00	
28SEP17	B 1 PD28DEC17	6517.00	6517.88	USD	22.00	
28SEP17	B 4 PD28DEC17	6516.00	6517.88	USD	188.00	
28SEP17	B 8 PD28DEC17	6525.00	6517.88	USD	-1,424.00	
28SEP17	B 1 PD28DEC17	6519.00	6517.88	USD	-28.00	
28SEP17	B 1 PD28DEC17	6526.00	6517.88	USD	-203.00	
28SEP17	B 2 PD28DEC17	6523.50	6517.88	USD	-281.00	
28SEP17	B 2 PD28DEC17	6522.50	6517.88	USD	-231.00	
28SEP17	B 2 PD28DEC17	6524.00	6517.88	USD	-306.00	
28SEP17	B 1 PD28DEC17	6523.00	6517.88	USD	-128.00	
28SEP17	B 3 PD28DEC17	6521.50	6517.88	USD	-271.50	
28SEP17	B 6 PD28DEC17	6520.50	6517.88	USD	-393.00	
28SEP17	B 3 PD28DEC17	6520.00	6517.88	USD	-159.00	
28SEP17	B 1 PD28DEC17	6518.50	6517.88	USD	-15.50	
28SEP17	B 6 PD28DEC17	6522.00	6517.88	USD	-618.00	
28SEP17	B 3 PD28DEC17	6521.00	6517.88	USD	-234.00	
28SEP17	B 2 PD28DEC17	6519.50	6517.88	USD	-81.00	
28SEP17	B 5 PD28DEC17	6560.00	6517.88	USD	-5,265.00	
28SEP17	B 1 PD28DEC17	6563.00	6517.88	USD	-1,128.00	
28SEP17	B 1 PD28DEC17	6561.00	6517.88	USD	-1,078.00	
28SEP17	B 7 PD28DEC17	6565.50	6517.88	USD	-8,333.50	
28SEP17	B 3 PD28DEC17	6564.50	6517.88	USD	-3,496.50	
28SEP17	B 6 PD28DEC17	6564.00	6517.88	USD	-6,918.00	
28SEP17	B 1 PD28DEC17	6566.50	6517.88	USD	-1,215.50	
28SEP17	B 1 PD28DEC17	6568.50	6517.88	USD	-1,265.50	
28SEP17	B 1 PD28DEC17	6572.00	6517.88	USD	-1,353.00	
28SEP17	B 2 PD28DEC17	6573.00	6517.88	USD	-2,756.00	
28SEP17	B 1 PD28DEC17	6574.00	6517.88	USD	-1,403.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0089E

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
28SEP17	B 5 PD28DEC17	6573.50	6517.88	USD	-6,952.50	
28SEP17	B 1 PD28DEC17	6520.50	6517.88	USD	-65.50	
28SEP17	B 1 PD28DEC17	6520.00	6517.88	USD	-53.00	
28SEP17	B 1 PD28DEC17	6521.50	6517.88	USD	-90.50	
28SEP17	B 1 PD28DEC17	6525.50	6517.88	USD	-190.50	
28SEP17	B 1 PD28DEC17	6525.00	6517.88	USD	-178.00	
28SEP17	B 3 PD28DEC17	6522.00	6517.88	USD	-309.00	
28SEP17	B 1 PD28DEC17	6512.50	6517.88	USD	134.50	
28SEP17	B 2 PD28DEC17	6521.00	6517.88	USD	-156.00	
28SEP17	B 1 PD28DEC17	6565.00	6517.88	USD	-1,178.00	
28SEP17	B 1 PD28DEC17	6573.00	6517.88	USD	-1,378.00	
28SEP17	B 1 PD28DEC17	6574.00	6517.88	USD	-1,403.00	
	NET +134 AVG BGHT 6511.93 SOLD 6408.42				**4,410.50**	**0.00**
29SEP17	S 2 PD29DEC17	6535.50	6518.23	USD	863.50	
29SEP17	S 2 PD29DEC17	6495.00	6518.23	USD	-1,161.50	
	NET -4 AVG SOLD 6515.25				**-298.00**	**0.00**
03OCT17	S 2 PD03JAN18	6468.50	6520.00	USD	-2,575.00	
03OCT17	S 1 PD03JAN18	6470.00	6520.00	USD	-1,250.00	
03OCT17	S 2 PD03JAN18	6464.50	6520.00	USD	-2,775.00	
03OCT17	S 1 PD03JAN18	6465.00	6520.00	USD	-1,375.00	
	NET -6 AVG SOLD 6466.83				**-7,975.00**	**0.00**
	CMX COPPER 25,000 CMX					
28SEP17	S 3 DEC17	292.100	296.350	USD	-3,187.50	
28SEP17	S 7 DEC17	292.050	296.350	USD	-7,525.00	
28SEP17	S 10 DEC17	292.000	296.350	USD	-10,875.00	
28SEP17	S 2 DEC17	292.150	296.350	USD	-2,100.00	
28SEP17	S 4 DEC17	294.150	296.350	USD	-2,200.00	
28SEP17	S 1 DEC17	293.850	296.350	USD	-625.00	
28SEP17	S 17 DEC17	294.050	296.350	USD	-9,775.00	
28SEP17	S 12 DEC17	294.100	296.350	USD	-6,750.00	
28SEP17	S 4 DEC17	294.000	296.350	USD	-2,350.00	
28SEP17	S 2 DEC17	293.950	296.350	USD	-1,200.00	
28SEP17	S 4 DEC17	293.800	296.350	USD	-2,550.00	
28SEP17	S 2 DEC17	296.000	296.350	USD	-175.00	
28SEP17	S 6 DEC17	296.100	296.350	USD	-375.00	
28SEP17	S 6 DEC17	296.050	296.350	USD	-450.00	
28SEP17	S 5 DEC17	296.150	296.350	USD	-250.00	
28SEP17	S 4 DEC17	296.700	296.350	USD	350.00	
28SEP17	S 18 DEC17	296.650	296.350	USD	1,350.00	
28SEP17	S 4 DEC17	296.550	296.350	USD	200.00	
28SEP17	S 40 DEC17	296.450	296.350	USD	1,000.00	
28SEP17	S 21 DEC17	296.400	296.350	USD	262.50	
28SEP17	S 4 DEC17	296.600	296.350	USD	250.00	
28SEP17	S 10 DEC17	296.500	296.350	USD	375.00	
28SEP17	S 11 DEC17	296.350	296.350	USD	0.00	
28SEP17	S 7 DEC17	296.200	296.350	USD	-262.50	
28SEP17	S 13 DEC17	298.300	296.350	USD	6,337.50	
28SEP17	S 1 DEC17	298.350	296.350	USD	500.00	
28SEP17	S 23 DEC17	298.500	296.350	USD	12,362.50	
28SEP17	S 4 DEC17	298.450	296.350	USD	2,100.00	
28SEP17	S 2 DEC17	298.600	296.350	USD	1,125.00	
28SEP17	S 2 DEC17	298.650	296.350	USD	1,150.00	
28SEP17	S 6 DEC17	298.950	296.350	USD	3,900.00	
28SEP17	S 1 DEC17	298.850	296.350	USD	625.00	
28SEP17	S 15 DEC17	298.900	296.350	USD	9,562.50	
28SEP17	S 14 DEC17	298.550	296.350	USD	7,700.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0089E

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	CMX COPPER 25,000 CMX					
	NET -285 AVG SOLD 296.329				**-1,500.00**	**0.00**

OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	-20,591,757.50
CMX Copper	FUTURES	21,113,437.50

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options		Amount	Profit / Loss	**Total Amount**	**Total P&L**
COPR - Futures	USD	-1,500.00	-1,500.00	**-1,500.00**	**-1,500.00**
Unrealised Profits & Losses - Forwards		**Amount**	**Profit / Loss**	**Total Amount**	**Total P&L**
COPL - Futures	USD	544,595.75	544,595.75	**544,595.75**	**544,595.75**

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		267,408.05	267,408.05
Variation Margins	- Futures	-1,500.00	-1,500.00
	- Options	0.00	0.00
TOTAL EQUITY		**265,908.05**	**265,908.05**
Initial Margins		-2,018,206.25	-2,018,206.25
Excess/(Shortage)		**-1,752,298.20**	**-1,752,298.20**
FOR INFORMATION ONLY			
Forward Unrealised P&L		544,595.75	544,595.75
Net Liquidating Value		**810,503.80**	**810,503.80**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0089T

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
08AUG17	B 2 PD08NOV17	6394.00	6498.25	USD	5,212.50	
08AUG17	B 4 PD08NOV17	6400.50	6498.25	USD	9,775.00	
08AUG17	B 2 PD08NOV17	6402.50	6498.25	USD	4,787.50	
08AUG17	B 1 PD08NOV17	6399.50	6498.25	USD	2,468.75	
08AUG17	B 1 PD08NOV17	6396.50	6498.25	USD	2,543.75	
08AUG17	B 9 PD08NOV17	6397.00	6498.25	USD	22,781.25	
08AUG17	B 6 PD08NOV17	6398.00	6498.25	USD	15,037.50	
08AUG17	B 4 PD08NOV17	6406.50	6498.25	USD	9,175.00	
08AUG17	B 1 PD08NOV17	6413.50	6498.25	USD	2,118.75	
08AUG17	B 5 PD08NOV17	6416.00	6498.25	USD	10,281.25	
08AUG17	B 1 PD08NOV17	6420.00	6498.25	USD	1,956.25	
08AUG17	B 2 PD08NOV17	6418.00	6498.25	USD	4,012.50	
08AUG17	B 2 PD08NOV17	6419.00	6498.25	USD	3,962.50	
08AUG17	B 1 PD08NOV17	6419.50	6498.25	USD	1,968.75	
08AUG17	B 1 PD08NOV17	6425.00	6498.25	USD	1,831.25	
08AUG17	B 1 PD08NOV17	6427.50	6498.25	USD	1,768.75	
08AUG17	B 2 PD08NOV17	6429.50	6498.25	USD	3,437.50	
08AUG17	B 1 PD08NOV17	6434.00	6498.25	USD	1,606.25	
08AUG17	B 1 PD08NOV17	6433.00	6498.25	USD	1,631.25	
08AUG17	B 3 PD08NOV17	6436.50	6498.25	USD	4,631.25	
19SEP17	S 10 PD08NOV17	6520.32	6498.25	USD	5,517.50	
20SEP17	S 1 PD08NOV17	6527.95	6498.25	USD	742.50	
21SEP17	S 10 PD08NOV17	6441.02	6498.25	USD	-14,307.50	
21SEP17	S 29 PD08NOV17	6440.52	6498.25	USD	-41,854.25	
	NET 0 AVG BGHT 6409.46 SOLD 6458.33				**61,085.75**	**0.00**
24AUG17	S 1 PD24NOV17	6717.00	6505.80	USD	5,280.00	
24AUG17	S 1 PD24NOV17	6717.50	6505.80	USD	5,292.50	
24AUG17	S 1 PD24NOV17	6723.00	6505.80	USD	5,430.00	
24AUG17	S 1 PD24NOV17	6725.00	6505.80	USD	5,480.00	
24AUG17	S 1 PD24NOV17	6726.00	6505.80	USD	5,505.00	
24AUG17	S 4 PD24NOV17	6716.00	6505.80	USD	21,020.00	
24AUG17	S 1 PD24NOV17	6715.00	6505.80	USD	5,230.00	
19SEP17	B 10 PD24NOV17	6527.92	6505.80	USD	-5,530.00	
	NET 0 AVG BGHT 6527.92 SOLD 6718.75				**47,707.50**	**0.00**
29AUG17	S 1 PD29NOV17	6786.50	6508.80	USD	6,942.50	
29AUG17	S 1 PD29NOV17	6787.50	6508.80	USD	6,967.50	
29AUG17	S 1 PD29NOV17	6789.00	6508.80	USD	7,005.00	
29AUG17	S 1 PD29NOV17	6790.50	6508.80	USD	7,042.50	
29AUG17	S 1 PD29NOV17	6789.50	6508.80	USD	7,017.50	
29AUG17	S 1 PD29NOV17	6791.00	6508.80	USD	7,055.00	
29AUG17	S 1 PD29NOV17	6793.00	6508.80	USD	7,105.00	
29AUG17	S 1 PD29NOV17	6796.50	6508.80	USD	7,192.50	
29AUG17	S 1 PD29NOV17	6794.50	6508.80	USD	7,142.50	
29AUG17	S 2 PD29NOV17	6796.00	6508.80	USD	14,360.00	
29AUG17	S 1 PD29NOV17	6798.00	6508.80	USD	7,230.00	
29AUG17	S 1 PD29NOV17	6797.00	6508.80	USD	7,205.00	
29AUG17	S 1 PD29NOV17	6803.50	6508.80	USD	7,367.50	
29AUG17	S 2 PD29NOV17	6807.00	6508.80	USD	14,910.00	
29AUG17	S 1 PD29NOV17	6792.50	6508.80	USD	7,092.50	
29AUG17	S 4 PD29NOV17	6805.00	6508.80	USD	29,620.00	
29AUG17	S 4 PD29NOV17	6804.00	6508.80	USD	29,520.00	
29AUG17	S 4 PD29NOV17	6804.50	6508.80	USD	29,570.00	
29AUG17	S 2 PD29NOV17	6803.00	6508.80	USD	14,710.00	
29AUG17	S 3 PD29NOV17	6800.50	6508.80	USD	21,877.50	
29AUG17	S 2 PD29NOV17	6802.50	6508.80	USD	14,685.00	
29AUG17	S 3 PD29NOV17	6805.50	6508.80	USD	22,252.50	
29AUG17	S 1 PD29NOV17	6808.00	6508.80	USD	7,480.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0089T

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT							
29AUG17	B	3	PD29NOV17	6807.00	6508.80	USD	-22,365.00	
29AUG17	B	5	PD29NOV17	6808.00	6508.80	USD	-37,400.00	
29AUG17	B	13	PD29NOV17	6807.50	6508.80	USD	-97,077.50	
29AUG17	B	2	PD29NOV17	6806.00	6508.80	USD	-14,860.00	
29AUG17	B	1	PD29NOV17	6802.50	6508.80	USD	-7,342.50	
29AUG17	B	1	PD29NOV17	6800.00	6508.80	USD	-7,280.00	
29AUG17	B	3	PD29NOV17	6801.00	6508.80	USD	-21,915.00	
29AUG17	B	1	PD29NOV17	6801.50	6508.80	USD	-7,317.50	
29AUG17	B	4	PD29NOV17	6804.00	6508.80	USD	-29,520.00	
29AUG17	B	2	PD29NOV17	6807.00	6508.80	USD	-14,910.00	
29AUG17	B	1	PD29NOV17	6816.00	6508.80	USD	-7,680.00	
29AUG17	B	1	PD29NOV17	6815.00	6508.80	USD	-7,655.00	
29AUG17	B	1	PD29NOV17	6809.50	6508.80	USD	-7,517.50	
29AUG17	B	1	PD29NOV17	6808.50	6508.80	USD	-7,492.50	
29AUG17	B	2	PD29NOV17	6810.00	6508.80	USD	-15,060.00	
29AUG17	B	3	PD29NOV17	6811.00	6508.80	USD	-22,665.00	
29AUG17	B	1	PD29NOV17	6811.50	6508.80	USD	-7,567.50	
29AUG17	B	2	PD29NOV17	6812.00	6508.80	USD	-15,160.00	
29AUG17	B	3	PD29NOV17	6813.00	6508.80	USD	-22,815.00	
07SEP17	S	10	PD29NOV17	6895.45	6508.80	USD	96,662.50	
20SEP17	B	1	PD29NOV17	6537.84	6508.80	USD	-726.00	
21SEP17	B	10	PD29NOV17	6451.03	6508.80	USD	14,442.50	
21SEP17	B	29	PD29NOV17	6450.53	6508.80	USD	42,245.75	
21SEP17	S	40	PD29NOV17	6473.85	6508.80	USD	-34,950.00	
	NET	**0**	**AVG BGHT 6649.97**	**SOLD 6665.72**			**35,424.75**	**0.00**
31AUG17	B	2	PD30NOV17	6819.00	6509.40	USD	-15,480.00	
31AUG17	B	4	PD30NOV17	6817.50	6509.40	USD	-30,810.00	
31AUG17	B	1	PD30NOV17	6806.00	6509.40	USD	-7,415.00	
31AUG17	B	1	PD30NOV17	6805.00	6509.40	USD	-7,390.00	
31AUG17	B	1	PD30NOV17	6802.50	6509.40	USD	-7,327.50	
31AUG17	B	2	PD30NOV17	6804.00	6509.40	USD	-14,730.00	
31AUG17	B	10	PD30NOV17	6794.50	6509.40	USD	-71,275.00	
31AUG17	B	1	PD30NOV17	6796.50	6509.40	USD	-7,177.50	
31AUG17	B	1	PD30NOV17	6794.00	6509.40	USD	-7,115.00	
31AUG17	B	1	PD30NOV17	6793.00	6509.40	USD	-7,090.00	
31AUG17	B	1	PD30NOV17	6791.00	6509.40	USD	-7,040.00	
31AUG17	B	4	PD30NOV17	6790.00	6509.40	USD	-28,060.00	
31AUG17	B	1	PD30NOV17	6780.50	6509.40	USD	-6,777.50	
31AUG17	B	1	PD30NOV17	6782.50	6509.40	USD	-6,827.50	
31AUG17	B	1	PD30NOV17	6783.50	6509.40	USD	-6,852.50	
31AUG17	B	2	PD30NOV17	6785.00	6509.40	USD	-13,780.00	
31AUG17	B	3	PD30NOV17	6795.50	6509.40	USD	-21,457.50	
31AUG17	B	5	PD30NOV17	6797.00	6509.40	USD	-35,950.00	
31AUG17	B	1	PD30NOV17	6799.00	6509.40	USD	-7,240.00	
31AUG17	B	3	PD30NOV17	6798.00	6509.40	USD	-21,645.00	
31AUG17	B	4	PD30NOV17	6820.00	6509.40	USD	-31,060.00	
15SEP17	B	1	PD30NOV17	6466.65	6509.40	USD	1,068.75	
26SEP17	S	40	PD30NOV17	6399.03	6509.40	USD	-110,370.00	
29SEP17	S	11	PD30NOV17	6470.92	6509.40	USD	-10,582.00	
	NET	**0**	**AVG BGHT 6792.88**	**SOLD 6414.54**			**-482,383.25**	**0.00**
07SEP17	S	1	PD07DEC17	6872.00	6512.57	USD	8,985.75	
07SEP17	S	2	PD07DEC17	6866.50	6512.57	USD	17,696.50	
07SEP17	S	2	PD07DEC17	6867.50	6512.57	USD	17,746.50	
07SEP17	S	1	PD07DEC17	6869.00	6512.57	USD	8,910.75	
07SEP17	S	1	PD07DEC17	6868.00	6512.57	USD	8,885.75	
07SEP17	S	1	PD07DEC17	6872.50	6512.57	USD	8,998.25	
07SEP17	S	1	PD07DEC17	6871.50	6512.57	USD	8,973.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0089T

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
07SEP17	S 1 PD07DEC17	6878.00	6512.57	USD	9,135.75	
07SEP17	B 10 PD07DEC17	6898.70	6512.57	USD	-96,532.50	
	NET 0 AVG BGHT 6898.70 SOLD 6869.90				**-7,200.00**	**0.00**
08SEP17	S 2 PD08DEC17	6832.00	6513.00	USD	15,950.00	
08SEP17	S 2 PD08DEC17	6831.00	6513.00	USD	15,900.00	
08SEP17	S 3 PD08DEC17	6827.50	6513.00	USD	23,587.50	
08SEP17	S 1 PD08DEC17	6829.00	6513.00	USD	7,900.00	
08SEP17	S 7 PD08DEC17	6825.50	6513.00	USD	54,687.50	
08SEP17	S 3 PD08DEC17	6826.50	6513.00	USD	23,512.50	
08SEP17	S 1 PD08DEC17	6820.50	6513.00	USD	7,687.50	
08SEP17	S 3 PD08DEC17	6821.50	6513.00	USD	23,137.50	
08SEP17	S 1 PD08DEC17	6823.50	6513.00	USD	7,762.50	
08SEP17	S 1 PD08DEC17	6829.00	6513.00	USD	7,900.00	
08SEP17	S 1 PD08DEC17	6827.50	6513.00	USD	7,862.50	
21SEP17	B 25 PD08DEC17	6478.53	6513.00	USD	21,543.75	
	NET 0 AVG BGHT 6478.53 SOLD 6826.42				**217,431.25**	**0.00**
11SEP17	B 4 PD11DEC17	6753.50	6514.19	USD	-23,931.00	
11SEP17	B 1 PD11DEC17	6758.00	6514.19	USD	-6,095.25	
15SEP17	S 5 PD11DEC17	6478.59	6514.19	USD	-4,450.00	
	NET 0 AVG BGHT 6754.40 SOLD 6478.59				**-34,476.25**	**0.00**
12SEP17	B 2 PD12DEC17	6673.00	6514.58	USD	-7,921.00	
12SEP17	B 3 PD12DEC17	6675.50	6514.58	USD	-12,069.00	
19SEP17	S 5 PD12DEC17	6536.23	6514.58	USD	2,706.25	
	NET 0 AVG BGHT 6674.50 SOLD 6536.23				**-17,283.75**	**0.00**
13SEP17	B 1 PD13DEC17	6564.50	6514.98	USD	-1,238.00	
13SEP17	B 3 PD13DEC17	6568.00	6514.98	USD	-3,976.50	
15SEP17	S 4 PD13DEC17	6502.66	6514.98	USD	-1,232.00	
	NET 0 AVG BGHT 6567.13 SOLD 6502.66				**-6,446.50**	**0.00**
14SEP17	B 2 PD14DEC17	6482.00	6515.38	USD	1,669.00	
14SEP17	B 1 PD14DEC17	6481.00	6515.38	USD	859.50	
14SEP17	B 2 PD14DEC17	6473.00	6515.38	USD	2,119.00	
14SEP17	B 6 PD14DEC17	6474.50	6515.38	USD	6,132.00	
14SEP17	B 1 PD14DEC17	6475.50	6515.38	USD	997.00	
14SEP17	B 3 PD14DEC17	6476.00	6515.38	USD	2,953.50	
14SEP17	B 4 PD14DEC17	6476.50	6515.38	USD	3,888.00	
14SEP17	B 2 PD14DEC17	6477.00	6515.38	USD	1,919.00	
14SEP17	S 1 PD14DEC17	6498.00	6515.38	USD	-434.50	
14SEP17	S 8 PD14DEC17	6504.50	6515.38	USD	-2,176.00	
14SEP17	S 1 PD14DEC17	6503.50	6515.38	USD	-297.00	
14SEP17	S 3 PD14DEC17	6506.00	6515.38	USD	-703.50	
14SEP17	S 4 PD14DEC17	6505.50	6515.38	USD	-988.00	
14SEP17	S 2 PD14DEC17	6504.00	6515.38	USD	-569.00	
14SEP17	S 2 PD14DEC17	6504.50	6515.38	USD	-544.00	
	NET 0 AVG BGHT 6476.26 SOLD 6504.50				**14,825.00**	**0.00**
15SEP17	B 1 PD15DEC17	6504.50	6515.77	USD	281.75	
15SEP17	B 1 PD15DEC17	6505.00	6515.77	USD	269.25	
15SEP17	S 3 PD15DEC17	6507.50	6515.77	USD	-620.25	
15SEP17	S 1 PD15DEC17	6504.00	6515.77	USD	-294.25	
15SEP17	S 2 PD15DEC17	6472.00	6515.77	USD	-2,188.50	
15SEP17	S 3 PD15DEC17	6471.50	6515.77	USD	-3,320.25	
15SEP17	B 5 PD15DEC17	6480.53	6515.77	USD	4,405.00	
15SEP17	S 1 PD15DEC17	6472.35	6515.77	USD	-1,085.50	
15SEP17	B 4 PD15DEC17	6503.78	6515.77	USD	1,199.00	
21SEP17	S 1 PD15DEC17	6480.67	6515.77	USD	-877.50	
	NET 0 AVG BGHT 6493.39 SOLD 6485.27				**-2,231.25**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0089T

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
18SEP17	B 4 PD18DEC17	6544.50	6516.96	USD	-2,754.00	
18SEP17	B 2 PD18DEC17	6545.50	6516.96	USD	-1,427.00	
18SEP17	B 4 PD18DEC17	6543.50	6516.96	USD	-2,654.00	
18SEP17	B 2 PD18DEC17	6538.50	6516.96	USD	-1,077.00	
18SEP17	B 13 PD18DEC17	6534.50	6516.96	USD	-5,700.50	
18SEP17	B 2 PD18DEC17	6535.50	6516.96	USD	-927.00	
18SEP17	B 1 PD18DEC17	6537.50	6516.96	USD	-513.50	
	NET +28 AVG BGHT 6538.46				**-15,053.00**	**0.00**
19SEP17	S 2 PD19DEC17	6539.00	6517.35	USD	1,082.50	
19SEP17	S 1 PD19DEC17	6548.00	6517.35	USD	766.25	
19SEP17	S 1 PD19DEC17	6546.50	6517.35	USD	728.75	
19SEP17	S 1 PD19DEC17	6543.50	6517.35	USD	653.75	
19SEP17	B 1 PD19DEC17	6535.00	6517.35	USD	-441.25	
19SEP17	B 2 PD19DEC17	6533.00	6517.35	USD	-782.50	
19SEP17	B 1 PD19DEC17	6531.50	6517.35	USD	-353.75	
19SEP17	B 1 PD19DEC17	6530.50	6517.35	USD	-328.75	
19SEP17	B 5 PD19DEC17	6539.20	6517.35	USD	-2,731.25	
	NET +5 AVG BGHT 6535.90 SOLD 6543.20				**-1,406.25**	**0.00**
20SEP17	S 1 PD20DEC17	6531.00	6517.75	USD	331.25	
20SEP17	S 1 PD20DEC17	6529.50	6517.75	USD	293.75	
20SEP17	S 1 PD20DEC17	6526.50	6517.75	USD	218.75	
20SEP17	S 7 PD20DEC17	6526.00	6517.75	USD	1,443.75	
20SEP17	S 4 PD20DEC17	6525.00	6517.75	USD	725.00	
20SEP17	S 1 PD20DEC17	6525.50	6517.75	USD	193.75	
21SEP17	B 15 PD20DEC17	6482.20	6517.75	USD	13,331.25	
	NET 0 AVG BGHT 6482.20 SOLD 6526.30				**16,537.50**	**0.00**
21SEP17	B 1 PD21DEC17	6458.00	6516.75	USD	1,468.75	
21SEP17	B 7 PD21DEC17	6457.00	6516.75	USD	10,456.25	
21SEP17	B 1 PD21DEC17	6456.00	6516.75	USD	1,518.75	
21SEP17	B 1 PD21DEC17	6456.00	6516.75	USD	1,518.75	
21SEP17	S 1 PD21DEC17	6447.00	6516.75	USD	-1,743.75	
21SEP17	B 1 PD21DEC17	6480.20	6516.75	USD	913.75	
	NET +10 AVG BGHT 6459.02 SOLD 6447.00				**14,132.50**	**0.00**
25SEP17	S 1 PD27DEC17	6452.00	6517.52	USD	-1,638.00	
25SEP17	S 1 PD27DEC17	6451.00	6517.52	USD	-1,663.00	
25SEP17	S 1 PD27DEC17	6449.50	6517.52	USD	-1,700.50	
25SEP17	S 1 PD27DEC17	6440.50	6517.52	USD	-1,925.50	
25SEP17	S 2 PD27DEC17	6443.00	6517.52	USD	-3,726.00	
25SEP17	S 6 PD27DEC17	6442.50	6517.52	USD	-11,253.00	
25SEP17	S 6 PD27DEC17	6441.50	6517.52	USD	-11,403.00	
25SEP17	S 2 PD27DEC17	6454.00	6517.52	USD	-3,176.00	
26SEP17	S 1 PD27DEC17	6465.50	6517.52	USD	-1,300.50	
26SEP17	S 1 PD27DEC17	6460.50	6517.52	USD	-1,425.50	
26SEP17	S 1 PD27DEC17	6461.00	6517.52	USD	-1,413.00	
26SEP17	S 1 PD27DEC17	6464.00	6517.52	USD	-1,338.00	
26SEP17	S 1 PD27DEC17	6463.50	6517.52	USD	-1,350.50	
26SEP17	S 7 PD27DEC17	6430.50	6517.52	USD	-15,228.50	
26SEP17	S 3 PD27DEC17	6431.50	6517.52	USD	-6,451.50	
26SEP17	S 1 PD27DEC17	6417.50	6517.52	USD	-2,500.50	
26SEP17	S 1 PD27DEC17	6418.00	6517.52	USD	-2,488.00	
26SEP17	B 1 PD27DEC17	6424.00	6517.52	USD	2,338.00	
26SEP17	B 2 PD27DEC17	6423.00	6517.52	USD	4,726.00	
26SEP17	B 3 PD27DEC17	6419.00	6517.52	USD	7,389.00	
26SEP17	B 4 PD27DEC17	6421.50	6517.52	USD	9,602.00	
26SEP17	B 1 PD27DEC17	6418.50	6517.52	USD	2,475.50	
26SEP17	B 3 PD27DEC17	6414.00	6517.52	USD	7,764.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0089T

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
26SEP17	B 1 PD27DEC17	6413.50	6517.52	USD	2,600.50	
26SEP17	B 2 PD27DEC17	6415.00	6517.52	USD	5,126.00	
26SEP17	B 3 PD27DEC17	6416.50	6517.52	USD	7,576.50	
26SEP17	B 40 PD27DEC17	6413.20	6517.52	USD	104,320.00	
26SEP17	S 3 PD27DEC17	6418.00	6517.52	USD	-7,464.00	
27SEP17	B 1 PD27DEC17	6477.50	6517.52	USD	1,000.50	
27SEP17	B 8 PD27DEC17	6478.00	6517.52	USD	7,904.00	
27SEP17	B 1 PD27DEC17	6473.50	6517.52	USD	1,100.50	
27SEP17	B 1 PD27DEC17	6474.50	6517.52	USD	1,075.50	
27SEP17	B 1 PD27DEC17	6472.50	6517.52	USD	1,125.50	
27SEP17	B 2 PD27DEC17	6464.00	6517.52	USD	2,676.00	
27SEP17	B 2 PD27DEC17	6474.50	6517.52	USD	2,151.00	
27SEP17	B 2 PD27DEC17	6445.50	6517.52	USD	3,601.00	
	NET +38 AVG BGHT 6428.01 SOLD 6440.08				**97,106.50**	**0.00**
28SEP17	B 1 PD28DEC17	6472.00	6517.88	USD	1,147.00	
28SEP17	B 2 PD28DEC17	6470.50	6517.88	USD	2,369.00	
28SEP17	B 3 PD28DEC17	6464.00	6517.88	USD	4,041.00	
28SEP17	S 2 PD28DEC17	6474.00	6517.88	USD	-2,194.00	
28SEP17	S 2 PD28DEC17	6475.00	6517.88	USD	-2,144.00	
28SEP17	S 1 PD28DEC17	6478.50	6517.88	USD	-984.50	
28SEP17	S 1 PD28DEC17	6477.50	6517.88	USD	-1,009.50	
28SEP17	B 1 PD28DEC17	6518.50	6517.88	USD	-15.50	
28SEP17	B 1 PD28DEC17	6520.00	6517.88	USD	-53.00	
28SEP17	B 1 PD28DEC17	6526.00	6517.88	USD	-203.00	
28SEP17	B 1 PD28DEC17	6523.50	6517.88	USD	-140.50	
28SEP17	B 2 PD28DEC17	6521.00	6517.88	USD	-156.00	
28SEP17	B 1 PD28DEC17	6520.00	6517.88	USD	-53.00	
28SEP17	B 1 PD28DEC17	6525.00	6517.88	USD	-178.00	
	NET +8 AVG BGHT 6498.57 SOLD 6475.67				**426.00**	**0.00**
29SEP17	S 1 PD29DEC17	6526.00	6518.23	USD	194.25	
29SEP17	S 1 PD29DEC17	6528.00	6518.23	USD	244.25	
29SEP17	S 1 PD29DEC17	6527.00	6518.23	USD	219.25	
29SEP17	S 2 PD29DEC17	6530.50	6518.23	USD	613.50	
29SEP17	S 1 PD29DEC17	6531.50	6518.23	USD	331.75	
29SEP17	S 2 PD29DEC17	6534.00	6518.23	USD	788.50	
29SEP17	S 1 PD29DEC17	6533.50	6518.23	USD	381.75	
29SEP17	S 1 PD29DEC17	6535.00	6518.23	USD	419.25	
29SEP17	S 1 PD29DEC17	6536.50	6518.23	USD	456.75	
29SEP17	B 11 PD29DEC17	6481.20	6518.23	USD	10,183.25	
29SEP17	S 1 PD29DEC17	6503.50	6518.23	USD	-368.25	
02OCT17	B 1 PD29DEC17	6484.95	6518.23	USD	832.00	
	NET 0 AVG BGHT 6481.51 SOLD 6529.17				**14,296.25**	**0.00**
02OCT17	B 2 PD02JAN18	6531.50	6519.65	USD	-592.50	
02OCT17	B 1 PD02JAN18	6531.00	6519.65	USD	-283.75	
02OCT17	B 2 PD02JAN18	6532.50	6519.65	USD	-642.50	
02OCT17	B 1 PD02JAN18	6529.00	6519.65	USD	-233.75	
02OCT17	B 4 PD02JAN18	6530.50	6519.65	USD	-1,085.00	
02OCT17	B 2 PD02JAN18	6532.00	6519.65	USD	-617.50	
02OCT17	S 1 PD02JAN18	6497.50	6519.65	USD	-553.75	
02OCT17	S 1 PD02JAN18	6497.00	6519.65	USD	-566.25	
02OCT17	S 1 PD02JAN18	6499.00	6519.65	USD	-516.25	
02OCT17	S 1 PD02JAN18	6500.50	6519.65	USD	-478.75	
02OCT17	S 3 PD02JAN18	6504.00	6519.65	USD	-1,173.75	
02OCT17	S 2 PD02JAN18	6505.00	6519.65	USD	-732.50	
02OCT17	S 1 PD02JAN18	6503.00	6519.65	USD	-416.25	
02OCT17	S 1 PD02JAN18	6486.47	6519.65	USD	-829.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0089T

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
02OCT17	S 1 PD02JAN18	6498.50	6519.65	USD	-528.75	
	NET 0 AVG BGHT 6531.17 SOLD 6500.33				**-9,250.75**	**0.00**
03OCT17	S 1 PD03JAN18	6478.50	6520.00	USD	-1,037.50	
03OCT17	S 1 PD03JAN18	6484.00	6520.00	USD	-900.00	
03OCT17	S 1 PD03JAN18	6508.00	6520.00	USD	-300.00	
03OCT17	B 1 PD03JAN18	6517.00	6520.00	USD	75.00	
03OCT17	B 2 PD03JAN18	6517.50	6520.00	USD	125.00	
03OCT17	B 3 PD03JAN18	6524.50	6520.00	USD	-337.50	
03OCT17	B 1 PD03JAN18	6521.00	6520.00	USD	-25.00	
03OCT17	B 1 PD03JAN18	6520.00	6520.00	USD	0.00	
03OCT17	S 1 PD03JAN18	6525.50	6520.00	USD	137.50	
03OCT17	S 1 PD03JAN18	6527.50	6520.00	USD	187.50	
	NET +3 AVG BGHT 6520.81 SOLD 6504.70				**-2,075.00**	**0.00**
	CMX COPPER 25,000 CMX					
31AUG17	S 2 DEC17	309.400	296.350	USD	6,525.00	
31AUG17	S 2 DEC17	309.750	296.350	USD	6,700.00	
31AUG17	S 5 DEC17	309.800	296.350	USD	16,812.50	
31AUG17	S 3 DEC17	309.900	296.350	USD	10,162.50	
31AUG17	S 12 DEC17	309.850	296.350	USD	40,500.00	
11SEP17	S 2 DEC17	307.600	296.350	USD	5,625.00	
11SEP17	S 4 DEC17	307.350	296.350	USD	11,000.00	
11SEP17	S 4 DEC17	307.400	296.350	USD	11,050.00	
11SEP17	S 1 DEC17	307.300	296.350	USD	2,737.50	
12SEP17	S 2 DEC17	303.550	296.350	USD	3,600.00	
12SEP17	S 2 DEC17	303.600	296.350	USD	3,625.00	
12SEP17	S 4 DEC17	303.700	296.350	USD	7,350.00	
12SEP17	S 3 DEC17	303.650	296.350	USD	5,475.00	
13SEP17	S 2 DEC17	298.900	296.350	USD	1,275.00	
13SEP17	S 2 DEC17	299.050	296.350	USD	1,350.00	
13SEP17	S 4 DEC17	299.000	296.350	USD	2,650.00	
13SEP17	S 1 DEC17	299.100	296.350	USD	687.50	
18SEP17	S 8 DEC17	297.450	296.350	USD	2,200.00	
18SEP17	S 4 DEC17	297.500	296.350	USD	1,150.00	
18SEP17	S 10 DEC17	297.400	296.350	USD	2,625.00	
18SEP17	S 4 DEC17	297.250	296.350	USD	900.00	
18SEP17	S 1 DEC17	297.350	296.350	USD	250.00	
18SEP17	S 26 DEC17	297.150	296.350	USD	5,200.00	
18SEP17	S 9 DEC17	297.200	296.350	USD	1,912.50	
21SEP17	S 12 DEC17	293.400	296.350	USD	-8,850.00	
21SEP17	S 6 DEC17	293.350	296.350	USD	-4,500.00	
21SEP17	S 2 DEC17	293.250	296.350	USD	-1,550.00	
27SEP17	S 18 DEC17	294.350	296.350	USD	-9,000.00	
27SEP17	S 2 DEC17	294.100	296.350	USD	-1,125.00	
27SEP17	S 2 DEC17	294.250	296.350	USD	-1,050.00	
27SEP17	S 4 DEC17	294.300	296.350	USD	-2,050.00	
27SEP17	S 2 DEC17	294.150	296.350	USD	-1,100.00	
27SEP17	S 3 DEC17	294.200	296.350	USD	-1,612.50	
27SEP17	S 4 DEC17	293.750	296.350	USD	-2,600.00	
27SEP17	S 4 DEC17	292.950	296.350	USD	-3,400.00	
28SEP17	S 2 DEC17	296.350	296.350	USD	0.00	
28SEP17	S 1 DEC17	296.850	296.350	USD	125.00	
28SEP17	S 2 DEC17	296.650	296.350	USD	150.00	
28SEP17	S 2 DEC17	296.500	296.350	USD	75.00	
28SEP17	S 8 DEC17	296.400	296.350	USD	100.00	
28SEP17	S 1 DEC17	296.450	296.350	USD	25.00	
28SEP17	S 2 DEC17	296.600	296.350	USD	125.00	
02OCT17	S 2 DEC17	296.900	296.350	USD	275.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0089T

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	CMX COPPER 25,000 CMX					
03OCT17	S 1 DEC17	296.550	296.350	USD	50.00	
03OCT17	S 2 DEC17	296.400	296.350	USD	25.00	
03OCT17	S 3 DEC17	296.350	296.350	USD	0.00	
	NET -202 AVG SOLD 298.637				**115,475.00**	**0.00**

OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	-15,048,781.25
CMX Copper	FUTURES	15,081,150.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options			Amount	Profit / Loss	**Total Amount**	**Total P&L**
COPR	- Futures	USD	115,475.00	115,475.00	**115,475.00**	**115,475.00**
Unrealised Profits & Losses - Forwards			Amount	Profit / Loss	**Total Amount**	**Total P&L**
COPL	- Futures	USD	-58,833.00	-58,833.00	**-58,833.00**	**-58,833.00**

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-34,010.02	-34,010.02
Variation Margins	- Futures	115,475.00	115,475.00
	- Options	0.00	0.00
TOTAL EQUITY		**81,464.98**	**81,464.98**
Initial Margins		-1,844,356.00	-1,844,356.00
Excess/(Shortage)		**-1,762,891.02**	**-1,762,891.02**
FOR INFORMATION ONLY			
Forward Unrealised P&L		-58,833.00	-58,833.00
Net Liquidating Value		**22,631.98**	**22,631.98**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED
SAP0089 ARBS
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPAB089**

3rd Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

GROUP FINANCIAL SUMMARY

		USD (1.00000)	NET USD (1.00000)
CASH BALANCE		3,674,211.47	3,674,211.47
Variation Margins	- Futures	41,562.50	41,562.50
	- Options	0.00	0.00
TOTAL EQUITY		**3,715,773.97**	**3,715,773.97**
Initial Margins		-9,041,918.75	-9,041,918.75
Excess/(Shortage)		**-5,326,144.78**	**-5,326,144.78**
FOR INFORMATION ONLY			
Forward Unrealised P&L		-194,525.75	-194,525.75
Net Liquidating Value		**3,521,248.22**	**3,521,248.22**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0090B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
14JUL17	S 2 PD13OCT17	5880.00	6478.90	USD	-29,945.00	
14JUL17	S 5 PD13OCT17	5880.50	6478.90	USD	-74,800.00	
14JUL17	S 3 PD13OCT17	5879.50	6478.90	USD	-44,955.00	
14JUL17	S 4 PD13OCT17	5877.00	6478.90	USD	-60,190.00	
14JUL17	S 1 PD13OCT17	5878.50	6478.90	USD	-15,010.00	
14JUL17	B 5 PD13OCT17	5917.00	6478.90	USD	70,237.50	
14JUL17	B 4 PD13OCT17	5916.00	6478.90	USD	56,290.00	
14JUL17	B 1 PD13OCT17	5919.00	6478.90	USD	13,997.50	
30AUG17	B 5 PD13OCT17	6753.60	6478.90	USD	-34,337.50	
	NET 0 AVG BGHT 6195.73 SOLD 5879.17				**-118,712.50**	**0.00**
17JUL17	B 3 PD17OCT17	6008.50	6483.98	USD	35,661.00	
17JUL17	B 2 PD17OCT17	6009.50	6483.98	USD	23,724.00	
30AUG17	S 5 PD17OCT17	6755.32	6483.98	USD	33,917.50	
	NET 0 AVG BGHT 6008.90 SOLD 6755.32				**93,302.50**	**0.00**
02AUG17	S 1 PD02NOV17	6333.00	6494.69	USD	-4,042.25	
02AUG17	S 2 PD02NOV17	6335.00	6494.69	USD	-7,984.50	
02AUG17	S 1 PD02NOV17	6334.00	6494.69	USD	-4,017.25	
02AUG17	S 1 PD02NOV17	6332.00	6494.69	USD	-4,067.25	
02AUG17	B 4 PD02NOV17	6337.50	6494.69	USD	15,719.00	
02AUG17	B 1 PD02NOV17	6339.00	6494.69	USD	3,892.25	
	NET 0 AVG BGHT 6337.80 SOLD 6333.80				**-500.00**	**0.00**
29AUG17	S 3 PD29NOV17	6804.50	6508.80	USD	22,177.50	
29AUG17	S 1 PD29NOV17	6793.00	6508.80	USD	7,105.00	
29AUG17	S 1 PD29NOV17	6792.00	6508.80	USD	7,080.00	
29AUG17	S 1 PD29NOV17	6801.00	6508.80	USD	7,305.00	
29AUG17	S 5 PD29NOV17	6791.50	6508.80	USD	35,337.50	
29AUG17	S 1 PD29NOV17	6799.00	6508.80	USD	7,255.00	
29AUG17	S 8 PD29NOV17	6800.00	6508.80	USD	58,240.00	
29AUG17	S 4 PD29NOV17	6787.00	6508.80	USD	27,820.00	
29AUG17	S 2 PD29NOV17	6804.00	6508.80	USD	14,760.00	
29AUG17	S 3 PD29NOV17	6803.50	6508.80	USD	22,102.50	
29AUG17	S 2 PD29NOV17	6802.50	6508.80	USD	14,685.00	
29AUG17	S 3 PD29NOV17	6788.00	6508.80	USD	20,940.00	
29AUG17	S 2 PD29NOV17	6794.00	6508.80	USD	14,260.00	
29AUG17	S 4 PD29NOV17	6786.00	6508.80	USD	27,720.00	
29AUG17	S 10 PD29NOV17	6806.00	6508.80	USD	74,300.00	
30AUG17	B 50 PD29NOV17	6768.95	6508.80	USD	-325,187.50	
	NET 0 AVG BGHT 6768.95 SOLD 6797.67				**35,900.00**	**0.00**

OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	9,990.00

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards		Amount	Profit / Loss	**Total Amount**	**Total P&L**
COPL - Futures	USD	9,990.00	9,990.00	**9,990.00**	**9,990.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0090B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		130,734.88	130,734.88
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**130,734.88**	**130,734.88**
Initial Margins		0.00	0.00
Excess/(Shortage)		**130,734.88**	**130,734.88**
FOR INFORMATION ONLY			
Forward Unrealised P&L		9,990.00	9,990.00
Net Liquidating Value		**140,724.88**	**140,724.88**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED
SAP0090 ARBS
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPAB090**

3rd Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

GROUP FINANCIAL SUMMARY

		USD (1.00000)	NET USD (1.00000)
CASH BALANCE		130,734.88	130,734.88
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**130,734.88**	**130,734.88**
Initial Margins		0.00	0.00
Excess/(Shortage)		**130,734.88**	**130,734.88**
FOR INFORMATION ONLY			
Forward Unrealised P&L		9,990.00	9,990.00
Net Liquidating Value		**140,724.88**	**140,724.88**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0096H

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
05JUL17	B 2 PD05OCT17	5848.50	6468.75	USD	31,012.50	
05JUL17	S 1 PD05OCT17	5848.50	6468.75	USD	-15,506.25	
05JUL17	S 3 PD05OCT17	5850.00	6468.75	USD	-46,406.25	
05JUL17	B 2 PD05OCT17	5819.00	6468.75	USD	32,487.50	
05JUL17	S 1 PD05OCT17	5816.00	6468.75	USD	-16,318.75	
05JUL17	S 3 PD05OCT17	5817.50	6468.75	USD	-48,843.75	
05JUL17	S 1 PD05OCT17	5819.00	6468.75	USD	-16,243.75	
18SEP17	B 5 PD05OCT17	6487.34	6468.75	USD	-2,323.75	
	NET 0 AVG BGHT 6196.86 SOLD 5831.78				**-82,142.50**	**0.00**
10JUL17	S 1 PD10OCT17	5808.00	6475.10	USD	-16,677.50	
10JUL17	S 1 PD10OCT17	5809.50	6475.10	USD	-16,640.00	
10JUL17	S 4 PD10OCT17	5810.00	6475.10	USD	-66,510.00	
10JUL17	S 4 PD10OCT17	5810.50	6475.10	USD	-66,460.00	
18SEP17	B 10 PD10OCT17	6491.47	6475.10	USD	-4,092.50	
	NET 0 AVG BGHT 6491.47 SOLD 5809.95				**-170,380.00**	**0.00**
12JUL17	B 2 PD12OCT17	5917.00	6477.63	USD	28,031.50	
12JUL17	B 2 PD12OCT17	5918.50	6477.63	USD	27,956.50	
12JUL17	B 1 PD12OCT17	5919.50	6477.63	USD	13,953.25	
18SEP17	S 5 PD12OCT17	6492.92	6477.63	USD	1,911.25	
	NET 0 AVG BGHT 5918.10 SOLD 6492.92				**71,852.50**	**0.00**
13JUL17	S 3 PD13OCT17	5877.00	6478.90	USD	-45,142.50	
13JUL17	S 2 PD13OCT17	5877.50	6478.90	USD	-30,070.00	
18SEP17	B 5 PD13OCT17	6493.95	6478.90	USD	-1,881.25	
	NET 0 AVG BGHT 6493.95 SOLD 5877.20				**-77,093.75**	**0.00**
17JUL17	B 1 PD17OCT17	6012.50	6483.98	USD	11,787.00	
17JUL17	B 2 PD17OCT17	6014.50	6483.98	USD	23,474.00	
17JUL17	B 2 PD17OCT17	6015.00	6483.98	USD	23,449.00	
17JUL17	B 2 PD17OCT17	6009.00	6483.98	USD	23,749.00	
17JUL17	B 3 PD17OCT17	6011.00	6483.98	USD	35,473.50	
	NET +10 AVG BGHT 6012.25				**117,932.50**	**0.00**
18JUL17	B 2 PD18OCT17	5978.50	6485.25	USD	25,337.50	
18JUL17	B 1 PD18OCT17	5981.00	6485.25	USD	12,606.25	
18JUL17	B 3 PD18OCT17	5982.00	6485.25	USD	37,743.75	
18JUL17	B 1 PD18OCT17	5983.00	6485.25	USD	12,556.25	
18JUL17	B 1 PD18OCT17	5986.00	6485.25	USD	12,481.25	
18JUL17	B 1 PD18OCT17	5988.00	6485.25	USD	12,431.25	
18JUL17	B 1 PD18OCT17	5987.00	6485.25	USD	12,456.25	
	NET +10 AVG BGHT 5982.80				**125,612.50**	**0.00**
25JUL17	B 3 PD25OCT17	6210.00	6490.29	USD	21,021.75	
25JUL17	B 2 PD25OCT17	6210.50	6490.29	USD	13,989.50	
	NET +5 AVG BGHT 6210.20				**35,011.25**	**0.00**
26JUL17	S 1 PD26OCT17	6330.50	6490.82	USD	-4,008.00	
26JUL17	S 2 PD26OCT17	6331.50	6490.82	USD	-7,966.00	
26JUL17	S 1 PD26OCT17	6332.00	6490.82	USD	-3,970.50	
26JUL17	B 2 PD26OCT17	6303.50	6490.82	USD	9,366.00	
26JUL17	B 3 PD26OCT17	6306.00	6490.82	USD	13,861.50	
26JUL17	S 1 PD26OCT17	6333.00	6490.82	USD	-3,945.50	
	NET 0 AVG BGHT 6305.00 SOLD 6331.70				**3,337.50**	**0.00**
31JUL17	S 2 PD31OCT17	6385.50	6493.50	USD	-5,400.00	
31JUL17	S 2 PD31OCT17	6386.50	6493.50	USD	-5,350.00	
31JUL17	S 1 PD31OCT17	6385.00	6493.50	USD	-2,712.50	
	NET -5 AVG SOLD 6385.80				**-13,462.50**	**0.00**
01AUG17	B 2 PD01NOV17	6351.50	6494.09	USD	7,129.50	
01AUG17	B 1 PD01NOV17	6352.00	6494.09	USD	3,552.25	
01AUG17	B 1 PD01NOV17	6350.50	6494.09	USD	3,589.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0096H

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
01AUG17	B 1 PD01NOV17	6351.00	6494.09	USD	3,577.25	
	NET +5 AVG BGHT 6351.30				**17,848.75**	**0.00**
02AUG17	S 1 PD02NOV17	6338.00	6494.69	USD	-3,917.25	
02AUG17	S 3 PD02NOV17	6338.50	6494.69	USD	-11,714.25	
02AUG17	S 1 PD02NOV17	6340.50	6494.69	USD	-3,854.75	
	NET -5 AVG SOLD 6338.80				**-19,486.25**	**0.00**
14AUG17	S 1 PD14NOV17	6401.00	6501.04	USD	-2,501.00	
14AUG17	S 2 PD14NOV17	6400.00	6501.04	USD	-5,052.00	
14AUG17	S 2 PD14NOV17	6402.00	6501.04	USD	-4,952.00	
	NET -5 AVG SOLD 6401.00				**-12,505.00**	**0.00**
15AUG17	S 2 PD15NOV17	6367.00	6501.50	USD	-6,725.00	
15AUG17	S 2 PD15NOV17	6367.50	6501.50	USD	-6,700.00	
15AUG17	S 1 PD15NOV17	6368.50	6501.50	USD	-3,325.00	
	NET -5 AVG SOLD 6367.50				**-16,750.00**	**0.00**
18AUG17	S 2 PD17NOV17	6501.50	6501.60	USD	-5.00	
18AUG17	S 3 PD17NOV17	6503.00	6501.60	USD	105.00	
18AUG17	S 1 PD17NOV17	6505.00	6501.60	USD	85.00	
18AUG17	S 3 PD17NOV17	6506.00	6501.60	USD	330.00	
18AUG17	S 1 PD17NOV17	6505.50	6501.60	USD	97.50	
18AUG17	S 2 PD17NOV17	6467.50	6501.60	USD	-1,705.00	
18AUG17	S 1 PD17NOV17	6468.50	6501.60	USD	-827.50	
18AUG17	S 1 PD17NOV17	6469.00	6501.60	USD	-815.00	
18AUG17	S 1 PD17NOV17	6470.50	6501.60	USD	-777.50	
18AUG17	S 1 PD17NOV17	6468.00	6501.60	USD	-840.00	
18AUG17	S 4 PD17NOV17	6469.50	6501.60	USD	-3,210.00	
18SEP17	B 20 PD17NOV17	6512.43	6501.60	USD	-5,415.00	
	NET 0 AVG BGHT 6512.43 SOLD 6486.48				**-12,977.50**	**0.00**
08SEP17	S 1 PD08DEC17	6823.00	6513.00	USD	7,750.00	
08SEP17	S 2 PD08DEC17	6823.50	6513.00	USD	15,525.00	
08SEP17	S 1 PD08DEC17	6824.00	6513.00	USD	7,775.00	
08SEP17	S 1 PD08DEC17	6827.50	6513.00	USD	7,862.50	
	NET -5 AVG SOLD 6824.30				**38,912.50**	**0.00**
12SEP17	S 3 PD12DEC17	6660.00	6514.58	USD	10,906.50	
12SEP17	S 1 PD12DEC17	6660.50	6514.58	USD	3,648.00	
12SEP17	S 1 PD12DEC17	6661.00	6514.58	USD	3,660.50	
	NET -5 AVG SOLD 6660.30				**18,215.00**	**0.00**

OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	35,858.75

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards		Amount	Profit / Loss	**Total Amount**	**Total P&L**
COPL - Futures	USD	23,925.00	23,925.00	**23,925.00**	**23,925.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0096H

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-24,548.75	-24,548.75
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**-24,548.75**	**-24,548.75**
Initial Margins		0.00	0.00
Excess/(Shortage)		**-24,548.75**	**-24,548.75**
FOR INFORMATION ONLY			
Forward Unrealised P&L		23,925.00	23,925.00
Net Liquidating Value		**-623.75**	**-623.75**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED
SAP0096 ARBS
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPAB096**

3rd Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

GROUP FINANCIAL SUMMARY

		USD (1.00000)	NET USD (1.00000)
CASH BALANCE		-24,548.75	-24,548.75
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**-24,548.75**	**-24,548.75**
Initial Margins		0.00	0.00
Excess/(Shortage)		**-24,548.75**	**-24,548.75**
FOR INFORMATION ONLY			
Forward Unrealised P&L		23,925.00	23,925.00
Net Liquidating Value		**-623.75**	**-623.75**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		3,972,517.70	3,972,517.70
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**3,972,517.70**	**3,972,517.70**
Initial Margins		0.00	0.00
Excess/(Shortage)		**3,972,517.70**	**3,972,517.70**
FOR INFORMATION ONLY			
Net Liquidating Value		**3,972,517.70**	**3,972,517.70**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-170.00	-170.00
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**-170.00**	**-170.00**
Initial Margins		0.00	0.00
Excess/(Shortage)		**-170.00**	**-170.00**
FOR INFORMATION ONLY			
Net Liquidating Value		**-170.00**	**-170.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT							
05JUL17	S	6	PD05OCT17	5840.50	6468.75	USD	-94,237.50	
05JUL17	S	1	PD05OCT17	5842.00	6468.75	USD	-15,668.75	
05JUL17	S	3	PD05OCT17	5841.50	6468.75	USD	-47,043.75	
26JUL17	B	10	PD05OCT17	6324.95	6468.75	USD	35,950.00	
	NET	**0**	**AVG BGHT 6324.95**	**SOLD 5840.95**			**-121,000.00**	**0.00**
06JUL17	S	2	PD06OCT17	5843.50	6470.02	USD	-31,326.00	
06JUL17	B	1	PD06OCT17	5864.50	6470.02	USD	15,138.00	
06JUL17	B	1	PD06OCT17	5867.50	6470.02	USD	15,063.00	
	NET	**0**	**AVG BGHT 5866.00**	**SOLD 5843.50**			**-1,125.00**	**0.00**
10JUL17	S	1	PD10OCT17	5837.50	6475.10	USD	-15,940.00	
10JUL17	S	1	PD10OCT17	5844.00	6475.10	USD	-15,777.50	
10JUL17	S	1	PD10OCT17	5831.50	6475.10	USD	-16,090.00	
10JUL17	S	1	PD10OCT17	5836.00	6475.10	USD	-15,977.50	
10JUL17	S	1	PD10OCT17	5826.50	6475.10	USD	-16,215.00	
10JUL17	S	1	PD10OCT17	5835.50	6475.10	USD	-15,990.00	
10JUL17	S	1	PD10OCT17	5837.00	6475.10	USD	-15,952.50	
10JUL17	S	1	PD10OCT17	5838.50	6475.10	USD	-15,915.00	
10JUL17	S	3	PD10OCT17	5845.00	6475.10	USD	-47,257.50	
10JUL17	S	1	PD10OCT17	5845.50	6475.10	USD	-15,740.00	
10JUL17	S	1	PD10OCT17	5801.00	6475.10	USD	-16,852.50	
10JUL17	S	2	PD10OCT17	5802.00	6475.10	USD	-33,655.00	
10JUL17	S	1	PD10OCT17	5801.50	6475.10	USD	-16,840.00	
10JUL17	S	1	PD10OCT17	5803.00	6475.10	USD	-16,802.50	
10JUL17	S	3	PD10OCT17	5804.00	6475.10	USD	-50,332.50	
10JUL17	S	1	PD10OCT17	5797.50	6475.10	USD	-16,940.00	
10JUL17	S	1	PD10OCT17	5800.00	6475.10	USD	-16,877.50	
10JUL17	S	4	PD10OCT17	5827.50	6475.10	USD	-64,760.00	
10JUL17	S	4	PD10OCT17	5826.00	6475.10	USD	-64,910.00	
10JUL17	S	5	PD10OCT17	5827.00	6475.10	USD	-81,012.50	
10JUL17	S	1	PD10OCT17	5822.00	6475.10	USD	-16,327.50	
10JUL17	S	2	PD10OCT17	5823.00	6475.10	USD	-32,605.00	
10JUL17	S	1	PD10OCT17	5823.50	6475.10	USD	-16,290.00	
26JUL17	B	39	PD10OCT17	6327.45	6475.10	USD	143,958.75	
	NET	**0**	**AVG BGHT 6327.45**	**SOLD 5823.76**			**-491,101.25**	**0.00**
11JUL17	S	1	PD11OCT17	5822.00	6476.37	USD	-16,359.25	
11JUL17	S	5	PD11OCT17	5822.50	6476.37	USD	-81,733.75	
11JUL17	S	1	PD11OCT17	5823.00	6476.37	USD	-16,334.25	
11JUL17	S	2	PD11OCT17	5823.50	6476.37	USD	-32,643.50	
11JUL17	S	2	PD11OCT17	5824.00	6476.37	USD	-32,618.50	
11JUL17	S	1	PD11OCT17	5825.50	6476.37	USD	-16,271.75	
11JUL17	S	3	PD11OCT17	5826.50	6476.37	USD	-48,740.25	
11JUL17	S	1	PD11OCT17	5827.00	6476.37	USD	-16,234.25	
11JUL17	S	1	PD11OCT17	5827.50	6476.37	USD	-16,221.75	
11JUL17	S	1	PD11OCT17	5828.50	6476.37	USD	-16,196.75	
11JUL17	S	1	PD11OCT17	5829.00	6476.37	USD	-16,184.25	
11JUL17	S	3	PD11OCT17	5830.00	6476.37	USD	-48,477.75	
11JUL17	S	5	PD11OCT17	5831.00	6476.37	USD	-80,671.25	
11JUL17	S	2	PD11OCT17	5831.50	6476.37	USD	-32,243.50	
11JUL17	S	2	PD11OCT17	5832.00	6476.37	USD	-32,218.50	
11JUL17	S	1	PD11OCT17	5833.00	6476.37	USD	-16,084.25	
11JUL17	S	5	PD11OCT17	5834.00	6476.37	USD	-80,296.25	
11JUL17	S	2	PD11OCT17	5835.00	6476.37	USD	-32,068.50	
11JUL17	S	1	PD11OCT17	5835.50	6476.37	USD	-16,021.75	
11JUL17	S	3	PD11OCT17	5836.50	6476.37	USD	-47,990.25	
11JUL17	S	2	PD11OCT17	5837.50	6476.37	USD	-31,943.50	
11JUL17	S	1	PD11OCT17	5840.00	6476.37	USD	-15,909.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT							
11JUL17	S	2	PD11OCT17	5841.50	6476.37	USD	-31,743.50	
11JUL17	S	1	PD11OCT17	5842.50	6476.37	USD	-15,846.75	
11JUL17	S	1	PD11OCT17	5844.50	6476.37	USD	-15,796.75	
11JUL17	S	1	PD11OCT17	5841.00	6476.37	USD	-15,884.25	
11JUL17	S	2	PD11OCT17	5842.00	6476.37	USD	-31,718.50	
11JUL17	S	1	PD11OCT17	5843.00	6476.37	USD	-15,834.25	
11JUL17	S	2	PD11OCT17	5843.50	6476.37	USD	-31,643.50	
11JUL17	S	4	PD11OCT17	5844.00	6476.37	USD	-63,237.00	
11JUL17	S	1	PD11OCT17	5845.00	6476.37	USD	-15,784.25	
11JUL17	S	1	PD11OCT17	5845.50	6476.37	USD	-15,771.75	
11JUL17	S	1	PD11OCT17	5846.00	6476.37	USD	-15,759.25	
11JUL17	S	1	PD11OCT17	5846.50	6476.37	USD	-15,746.75	
11JUL17	S	2	PD11OCT17	5847.00	6476.37	USD	-31,468.50	
26JUL17	B	55	PD11OCT17	6327.75	6476.37	USD	204,352.50	
26JUL17	B	10	PD11OCT17	6327.95	6476.37	USD	37,105.00	
27JUL17	B	1	PD11OCT17	6327.75	6476.37	USD	3,715.50	
	NET	**0**	**AVG BGHT 6327.78**	**SOLD 5834.13**			**-814,525.00**	**0.00**
13JUL17	S	1	PD13OCT17	5867.50	6478.90	USD	-15,285.00	
13JUL17	S	4	PD13OCT17	5868.00	6478.90	USD	-61,090.00	
14JUL17	S	2	PD13OCT17	5878.00	6478.90	USD	-30,045.00	
14JUL17	S	1	PD13OCT17	5879.00	6478.90	USD	-14,997.50	
14JUL17	S	1	PD13OCT17	5879.50	6478.90	USD	-14,985.00	
14JUL17	S	2	PD13OCT17	5880.00	6478.90	USD	-29,945.00	
14JUL17	S	1	PD13OCT17	5881.00	6478.90	USD	-14,947.50	
14JUL17	S	4	PD13OCT17	5881.50	6478.90	USD	-59,740.00	
14JUL17	S	4	PD13OCT17	5882.00	6478.90	USD	-59,690.00	
14JUL17	S	2	PD13OCT17	5882.50	6478.90	USD	-29,820.00	
14JUL17	S	2	PD13OCT17	5883.00	6478.90	USD	-29,795.00	
14JUL17	S	1	PD13OCT17	5883.50	6478.90	USD	-14,885.00	
26JUL17	B	25	PD13OCT17	6328.39	6478.90	USD	94,068.75	
	NET	**0**	**AVG BGHT 6328.39**	**SOLD 5878.54**			**-281,156.25**	**0.00**
17JUL17	B	1	PD17OCT17	6003.50	6483.98	USD	12,012.00	
17JUL17	B	1	PD17OCT17	6005.00	6483.98	USD	11,974.50	
17JUL17	B	1	PD17OCT17	6006.00	6483.98	USD	11,949.50	
17JUL17	B	2	PD17OCT17	6007.00	6483.98	USD	23,849.00	
17JUL17	B	1	PD17OCT17	6007.50	6483.98	USD	11,912.00	
17JUL17	B	1	PD17OCT17	6009.00	6483.98	USD	11,874.50	
17JUL17	B	1	PD17OCT17	5999.50	6483.98	USD	12,112.00	
17JUL17	B	2	PD17OCT17	6003.00	6483.98	USD	24,049.00	
17JUL17	B	5	PD17OCT17	6000.00	6483.98	USD	60,497.50	
17JUL17	B	1	PD17OCT17	6000.50	6483.98	USD	12,087.00	
17JUL17	B	2	PD17OCT17	6001.50	6483.98	USD	24,124.00	
17JUL17	B	2	PD17OCT17	6002.00	6483.98	USD	24,099.00	
17JUL17	B	4	PD17OCT17	6001.00	6483.98	USD	48,298.00	
17JUL17	B	1	PD17OCT17	6008.00	6483.98	USD	11,899.50	
26JUL17	S	25	PD17OCT17	6329.48	6483.98	USD	-96,562.50	
	NET	**0**	**AVG BGHT 6002.80**	**SOLD 6329.48**			**204,175.00**	**0.00**
18JUL17	B	1	PD18OCT17	6002.50	6485.25	USD	12,068.75	
18JUL17	B	1	PD18OCT17	6003.50	6485.25	USD	12,043.75	
18JUL17	B	2	PD18OCT17	6004.00	6485.25	USD	24,062.50	
18JUL17	B	1	PD18OCT17	6004.50	6485.25	USD	12,018.75	
18JUL17	B	1	PD18OCT17	6005.00	6485.25	USD	12,006.25	
18JUL17	B	1	PD18OCT17	6005.50	6485.25	USD	11,993.75	
18JUL17	B	1	PD18OCT17	6009.00	6485.25	USD	11,906.25	
18JUL17	B	4	PD18OCT17	6006.00	6485.25	USD	47,925.00	
18JUL17	B	1	PD18OCT17	6006.50	6485.25	USD	11,968.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT							
18JUL17	B	4	PD18OCT17	6007.00	6485.25	USD	47,825.00	
18JUL17	B	3	PD18OCT17	6008.00	6485.25	USD	35,793.75	
18JUL17	B	1	PD18OCT17	6011.50	6485.25	USD	11,843.75	
18JUL17	B	1	PD18OCT17	6012.00	6485.25	USD	11,831.25	
18JUL17	B	1	PD18OCT17	6013.00	6485.25	USD	11,806.25	
18JUL17	B	1	PD18OCT17	6013.50	6485.25	USD	11,793.75	
18JUL17	B	1	PD18OCT17	6014.00	6485.25	USD	11,781.25	
26JUL17	S	25	PD18OCT17	6329.80	6485.25	USD	-97,156.25	
	NET	**0**	**AVG BGHT 6007.38**	**SOLD 6329.80**			**201,512.50**	**0.00**
20JUL17	B	4	PD20OCT17	5973.00	6486.75	USD	51,375.00	
20JUL17	B	2	PD20OCT17	5974.50	6486.75	USD	25,612.50	
20JUL17	B	1	PD20OCT17	5966.00	6486.75	USD	13,018.75	
20JUL17	B	1	PD20OCT17	5968.00	6486.75	USD	12,968.75	
20JUL17	B	1	PD20OCT17	5969.00	6486.75	USD	12,943.75	
20JUL17	B	6	PD20OCT17	5969.50	6486.75	USD	77,587.50	
20JUL17	B	2	PD20OCT17	5970.00	6486.75	USD	25,837.50	
20JUL17	B	2	PD20OCT17	5971.00	6486.75	USD	25,787.50	
20JUL17	B	1	PD20OCT17	5971.50	6486.75	USD	12,881.25	
20JUL17	B	5	PD20OCT17	5972.00	6486.75	USD	64,343.75	
20JUL17	B	5	PD20OCT17	5972.50	6486.75	USD	64,281.25	
26JUL17	S	30	PD20OCT17	6327.80	6486.75	USD	-119,212.50	
	NET	**0**	**AVG BGHT 5971.23**	**SOLD 6327.80**			**267,425.00**	**0.00**
24JUL17	S	4	PD24OCT17	5991.00	6489.75	USD	-49,875.00	
24JUL17	S	1	PD24OCT17	5992.00	6489.75	USD	-12,443.75	
24JUL17	S	1	PD24OCT17	5993.00	6489.75	USD	-12,418.75	
24JUL17	S	1	PD24OCT17	5996.00	6489.75	USD	-12,343.75	
24JUL17	S	1	PD24OCT17	5998.50	6489.75	USD	-12,281.25	
24JUL17	S	1	PD24OCT17	6000.50	6489.75	USD	-12,231.25	
24JUL17	S	1	PD24OCT17	6002.00	6489.75	USD	-12,193.75	
24JUL17	S	1	PD24OCT17	6011.50	6489.75	USD	-11,956.25	
24JUL17	S	1	PD24OCT17	6012.50	6489.75	USD	-11,931.25	
24JUL17	S	1	PD24OCT17	6014.00	6489.75	USD	-11,893.75	
24JUL17	S	3	PD24OCT17	6011.00	6489.75	USD	-35,906.25	
24JUL17	S	2	PD24OCT17	6012.00	6489.75	USD	-23,887.50	
24JUL17	S	1	PD24OCT17	6013.00	6489.75	USD	-11,918.75	
24JUL17	S	1	PD24OCT17	6014.50	6489.75	USD	-11,881.25	
24JUL17	S	1	PD24OCT17	6020.50	6489.75	USD	-11,731.25	
24JUL17	S	4	PD24OCT17	6021.50	6489.75	USD	-46,825.00	
24JUL17	S	3	PD24OCT17	6022.00	6489.75	USD	-35,081.25	
24JUL17	S	1	PD24OCT17	6026.00	6489.75	USD	-11,593.75	
24JUL17	S	1	PD24OCT17	6023.00	6489.75	USD	-11,668.75	
27JUL17	B	30	PD24OCT17	6329.14	6489.75	USD	120,457.50	
	NET	**0**	**AVG BGHT 6329.14**	**SOLD 6009.67**			**-239,605.00**	**0.00**
25JUL17	B	1	PD25OCT17	6225.50	6490.29	USD	6,619.75	
25JUL17	B	1	PD25OCT17	6196.50	6490.29	USD	7,344.75	
25JUL17	B	1	PD25OCT17	6197.00	6490.29	USD	7,332.25	
25JUL17	B	1	PD25OCT17	6205.00	6490.29	USD	7,132.25	
25JUL17	B	2	PD25OCT17	6206.00	6490.29	USD	14,214.50	
25JUL17	B	1	PD25OCT17	6225.00	6490.29	USD	6,632.25	
25JUL17	B	1	PD25OCT17	6209.00	6490.29	USD	7,032.25	
25JUL17	B	1	PD25OCT17	6209.50	6490.29	USD	7,019.75	
25JUL17	B	3	PD25OCT17	6213.00	6490.29	USD	20,796.75	
25JUL17	B	1	PD25OCT17	6214.00	6490.29	USD	6,907.25	
25JUL17	B	2	PD25OCT17	6222.50	6490.29	USD	13,389.50	
25JUL17	B	1	PD25OCT17	6223.00	6490.29	USD	6,682.25	
25JUL17	B	1	PD25OCT17	6223.50	6490.29	USD	6,669.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT							
25JUL17	B	2	PD25OCT17	6226.00	6490.29	USD	13,214.50	
25JUL17	B	1	PD25OCT17	6226.50	6490.29	USD	6,594.75	
27JUL17	S	20	PD25OCT17	6329.23	6490.29	USD	-80,530.00	
	NET	**0**	**AVG BGHT 6215.13**	**SOLD 6329.23**			**57,052.50**	**0.00**
26JUL17	B	5	PD26OCT17	6351.50	6490.82	USD	17,415.00	
26JUL17	B	1	PD26OCT17	6355.50	6490.82	USD	3,383.00	
26JUL17	B	4	PD26OCT17	6356.00	6490.82	USD	13,482.00	
26JUL17	S	2	PD26OCT17	6349.00	6490.82	USD	-7,091.00	
26JUL17	S	2	PD26OCT17	6352.50	6490.82	USD	-6,916.00	
26JUL17	B	2	PD26OCT17	6305.50	6490.82	USD	9,266.00	
26JUL17	B	1	PD26OCT17	6306.00	6490.82	USD	4,620.50	
26JUL17	B	1	PD26OCT17	6307.50	6490.82	USD	4,583.00	
26JUL17	B	1	PD26OCT17	6308.50	6490.82	USD	4,558.00	
26JUL17	S	1	PD26OCT17	6352.00	6490.82	USD	-3,470.50	
26JUL17	B	5	PD26OCT17	6354.00	6490.82	USD	17,102.50	
26JUL17	B	1	PD26OCT17	6279.00	6490.82	USD	5,295.50	
26JUL17	B	1	PD26OCT17	6280.00	6490.82	USD	5,270.50	
26JUL17	B	1	PD26OCT17	6285.00	6490.82	USD	5,145.50	
26JUL17	B	1	PD26OCT17	6286.00	6490.82	USD	5,120.50	
27JUL17	S	11	PD26OCT17	6329.51	6490.82	USD	-44,360.25	
09AUG17	S	8	PD26OCT17	6449.57	6490.82	USD	-8,250.00	
	NET	**0**	**AVG BGHT 6332.08**	**SOLD 6374.01**			**25,154.25**	**0.00**
28JUL17	S	2	PD27OCT17	6319.00	6491.36	USD	-8,618.00	
28JUL17	S	1	PD27OCT17	6320.50	6491.36	USD	-4,271.50	
28JUL17	S	1	PD27OCT17	6321.00	6491.36	USD	-4,259.00	
28JUL17	S	3	PD27OCT17	6322.00	6491.36	USD	-12,702.00	
28JUL17	S	1	PD27OCT17	6323.50	6491.36	USD	-4,196.50	
28JUL17	S	1	PD27OCT17	6324.50	6491.36	USD	-4,171.50	
28JUL17	S	1	PD27OCT17	6328.00	6491.36	USD	-4,084.00	
28JUL17	S	1	PD27OCT17	6331.00	6491.36	USD	-4,009.00	
28JUL17	S	3	PD27OCT17	6326.50	6491.36	USD	-12,364.50	
28JUL17	S	3	PD27OCT17	6327.00	6491.36	USD	-12,327.00	
28JUL17	S	1	PD27OCT17	6329.00	6491.36	USD	-4,059.00	
28JUL17	S	2	PD27OCT17	6329.50	6491.36	USD	-8,093.00	
28JUL17	S	2	PD27OCT17	6330.00	6491.36	USD	-8,068.00	
28JUL17	S	2	PD27OCT17	6330.50	6491.36	USD	-8,043.00	
04AUG17	B	12	PD27OCT17	6370.25	6491.36	USD	36,333.00	
09AUG17	B	8	PD27OCT17	6450.20	6491.36	USD	8,232.00	
10AUG17	B	4	PD27OCT17	6417.45	6491.36	USD	7,391.00	
	NET	**0**	**AVG BGHT 6404.77**	**SOLD 6325.92**			**-47,310.00**	**0.00**
31JUL17	S	1	PD31OCT17	6363.00	6493.50	USD	-3,262.50	
31JUL17	S	1	PD31OCT17	6367.50	6493.50	USD	-3,150.00	
31JUL17	S	4	PD31OCT17	6368.50	6493.50	USD	-12,500.00	
31JUL17	S	2	PD31OCT17	6369.00	6493.50	USD	-6,225.00	
31JUL17	S	5	PD31OCT17	6369.50	6493.50	USD	-15,500.00	
31JUL17	S	1	PD31OCT17	6371.00	6493.50	USD	-3,062.50	
31JUL17	S	1	PD31OCT17	6372.00	6493.50	USD	-3,037.50	
31JUL17	S	1	PD31OCT17	6365.00	6493.50	USD	-3,212.50	
31JUL17	S	1	PD31OCT17	6366.00	6493.50	USD	-3,187.50	
31JUL17	S	1	PD31OCT17	6367.00	6493.50	USD	-3,162.50	
31JUL17	S	2	PD31OCT17	6366.50	6493.50	USD	-6,350.00	
10AUG17	B	20	PD31OCT17	6419.09	6493.50	USD	37,205.00	
	NET	**0**	**AVG BGHT 6419.09**	**SOLD 6368.20**			**-25,445.00**	**0.00**
01AUG17	B	1	PD01NOV17	6339.50	6494.09	USD	3,864.75	
01AUG17	B	1	PD01NOV17	6341.50	6494.09	USD	3,814.75	
01AUG17	B	3	PD01NOV17	6342.50	6494.09	USD	11,369.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
04AUG17	S 5 PD01NOV17	6371.30	6494.09	USD	-15,348.75	
	NET 0 AVG BGHT 6341.70 SOLD 6371.30				**3,700.00**	**0.00**
02AUG17	B 1 PD02NOV17	6357.50	6494.69	USD	3,429.75	
02AUG17	B 1 PD02NOV17	6357.00	6494.69	USD	3,442.25	
02AUG17	B 5 PD02NOV17	6359.50	6494.69	USD	16,898.75	
04AUG17	S 7 PD02NOV17	6371.55	6494.69	USD	-21,549.50	
	NET 0 AVG BGHT 6358.86 SOLD 6371.55				**2,221.25**	**0.00**
07AUG17	S 1 PD07NOV17	6412.00	6497.66	USD	-2,141.50	
07AUG17	S 1 PD07NOV17	6413.00	6497.66	USD	-2,116.50	
14AUG17	B 2 PD07NOV17	6394.71	6497.66	USD	5,147.50	
	NET 0 AVG BGHT 6394.71 SOLD 6412.50				**889.50**	**0.00**
08AUG17	S 1 PD08NOV17	6424.50	6498.25	USD	-1,843.75	
08AUG17	S 1 PD08NOV17	6426.50	6498.25	USD	-1,793.75	
08AUG17	S 1 PD08NOV17	6427.00	6498.25	USD	-1,781.25	
08AUG17	S 2 PD08NOV17	6428.00	6498.25	USD	-3,512.50	
14AUG17	B 5 PD08NOV17	6395.07	6498.25	USD	12,897.50	
	NET 0 AVG BGHT 6395.07 SOLD 6426.80				**3,966.25**	**0.00**
09AUG17	B 1 PD09NOV17	6484.00	6498.71	USD	367.75	
09AUG17	B 1 PD09NOV17	6484.50	6498.71	USD	355.25	
09AUG17	B 1 PD09NOV17	6485.50	6498.71	USD	330.25	
09AUG17	B 2 PD09NOV17	6486.00	6498.71	USD	635.50	
09AUG17	B 1 PD09NOV17	6487.50	6498.71	USD	280.25	
09AUG17	B 1 PD09NOV17	6488.00	6498.71	USD	267.75	
09AUG17	B 1 PD09NOV17	6488.50	6498.71	USD	255.25	
09AUG17	B 4 PD09NOV17	6489.50	6498.71	USD	921.00	
09AUG17	B 3 PD09NOV17	6490.00	6498.71	USD	653.25	
09AUG17	B 1 PD09NOV17	6490.50	6498.71	USD	205.25	
09AUG17	B 4 PD09NOV17	6491.00	6498.71	USD	771.00	
09AUG17	B 3 PD09NOV17	6491.50	6498.71	USD	540.75	
09AUG17	B 1 PD09NOV17	6492.00	6498.71	USD	167.75	
09AUG17	B 1 PD09NOV17	6492.50	6498.71	USD	155.25	
30AUG17	S 5 PD09NOV17	6764.80	6498.71	USD	33,261.25	
07SEP17	S 20 PD09NOV17	6889.40	6498.71	USD	195,345.00	
	NET 0 AVG BGHT 6489.26 SOLD 6864.48				**234,512.50**	**0.00**
11AUG17	B 2 PD10NOV17	6426.50	6499.18	USD	3,634.00	
11AUG17	B 3 PD10NOV17	6420.00	6499.18	USD	5,938.50	
11AUG17	B 5 PD10NOV17	6421.00	6499.18	USD	9,772.50	
11AUG17	B 1 PD10NOV17	6422.00	6499.18	USD	1,929.50	
11AUG17	B 3 PD10NOV17	6423.00	6499.18	USD	5,713.50	
11AUG17	B 1 PD10NOV17	6423.50	6499.18	USD	1,892.00	
11AUG17	B 1 PD10NOV17	6424.50	6499.18	USD	1,867.00	
11AUG17	B 7 PD10NOV17	6425.00	6499.18	USD	12,981.50	
11AUG17	B 2 PD10NOV17	6421.50	6499.18	USD	3,884.00	
18SEP17	S 15 PD10NOV17	6508.92	6499.18	USD	3,652.50	
26SEP17	S 5 PD10NOV17	6387.75	6499.18	USD	-13,928.75	
	NET +5 AVG BGHT 6423.00 SOLD 6478.63				**37,336.25**	**0.00**
14AUG17	S 2 PD14NOV17	6422.00	6501.04	USD	-3,952.00	
14AUG17	S 1 PD14NOV17	6425.00	6501.04	USD	-1,901.00	
14AUG17	S 2 PD14NOV17	6423.00	6501.04	USD	-3,902.00	
14AUG17	S 1 PD14NOV17	6420.50	6501.04	USD	-2,013.50	
14AUG17	S 2 PD14NOV17	6421.00	6501.04	USD	-4,002.00	
14AUG17	S 2 PD14NOV17	6425.50	6501.04	USD	-3,777.00	
14AUG17	S 1 PD14NOV17	6393.50	6501.04	USD	-2,688.50	
14AUG17	S 1 PD14NOV17	6391.00	6501.04	USD	-2,751.00	
14AUG17	S 2 PD14NOV17	6392.00	6501.04	USD	-5,452.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
14AUG17	S 1 PD14NOV17	6381.00	6501.04	USD	-3,001.00	
17AUG17	B 10 PD14NOV17	6491.95	6501.04	USD	2,272.50	
21AUG17	B 5 PD14NOV17	6586.70	6501.04	USD	-10,707.50	
	NET 0 AVG BGHT 6523.53 SOLD 6411.87				**-41,875.00**	**0.00**
16AUG17	S 1 PD16NOV17	6371.00	6501.00	USD	-3,250.00	
16AUG17	S 2 PD16NOV17	6382.50	6501.00	USD	-5,925.00	
16AUG17	S 2 PD16NOV17	6383.00	6501.00	USD	-5,900.00	
16AUG17	S 1 PD16NOV17	6384.00	6501.00	USD	-2,925.00	
16AUG17	S 2 PD16NOV17	6384.50	6501.00	USD	-5,825.00	
16AUG17	S 1 PD16NOV17	6385.50	6501.00	USD	-2,887.50	
16AUG17	S 1 PD16NOV17	6386.00	6501.00	USD	-2,875.00	
16AUG17	S 1 PD16NOV17	6386.50	6501.00	USD	-2,862.50	
16AUG17	S 2 PD16NOV17	6390.00	6501.00	USD	-5,550.00	
16AUG17	S 1 PD16NOV17	6410.00	6501.00	USD	-2,275.00	
16AUG17	S 1 PD16NOV17	6418.00	6501.00	USD	-2,075.00	
16AUG17	S 1 PD16NOV17	6420.50	6501.00	USD	-2,012.50	
16AUG17	S 1 PD16NOV17	6420.00	6501.00	USD	-2,025.00	
16AUG17	B 1 PD16NOV17	6546.50	6501.00	USD	-1,137.50	
16AUG17	B 2 PD16NOV17	6548.00	6501.00	USD	-2,350.00	
16AUG17	B 1 PD16NOV17	6558.00	6501.00	USD	-1,425.00	
16AUG17	B 1 PD16NOV17	6558.50	6501.00	USD	-1,437.50	
21AUG17	B 12 PD16NOV17	6584.70	6501.00	USD	-25,110.00	
	NET 0 AVG BGHT 6575.02 SOLD 6391.85				**-77,847.50**	**0.00**
17AUG17	B 1 PD17NOV17	6525.50	6501.60	USD	-597.50	
17AUG17	B 1 PD17NOV17	6526.00	6501.60	USD	-610.00	
17AUG17	B 2 PD17NOV17	6528.00	6501.60	USD	-1,320.00	
17AUG17	B 1 PD17NOV17	6529.00	6501.60	USD	-685.00	
17AUG17	B 1 PD17NOV17	6530.50	6501.60	USD	-722.50	
17AUG17	B 3 PD17NOV17	6531.00	6501.60	USD	-2,205.00	
17AUG17	B 1 PD17NOV17	6532.50	6501.60	USD	-772.50	
17AUG17	B 1 PD17NOV17	6533.00	6501.60	USD	-785.00	
17AUG17	B 1 PD17NOV17	6536.00	6501.60	USD	-860.00	
18AUG17	S 1 PD17NOV17	6448.00	6501.60	USD	-1,340.00	
18AUG17	S 1 PD17NOV17	6449.00	6501.60	USD	-1,315.00	
18AUG17	S 1 PD17NOV17	6452.50	6501.60	USD	-1,227.50	
18AUG17	S 3 PD17NOV17	6453.00	6501.60	USD	-3,645.00	
18AUG17	S 1 PD17NOV17	6453.50	6501.60	USD	-1,202.50	
18AUG17	S 1 PD17NOV17	6454.50	6501.60	USD	-1,177.50	
18AUG17	S 3 PD17NOV17	6455.50	6501.60	USD	-3,457.50	
18AUG17	S 2 PD17NOV17	6454.00	6501.60	USD	-2,380.00	
18AUG17	S 1 PD17NOV17	6457.50	6501.60	USD	-1,102.50	
18AUG17	S 1 PD17NOV17	6487.50	6501.60	USD	-352.50	
18AUG17	S 4 PD17NOV17	6491.50	6501.60	USD	-1,010.00	
18AUG17	S 1 PD17NOV17	6492.50	6501.60	USD	-227.50	
18AUG17	S 2 PD17NOV17	6493.00	6501.60	USD	-430.00	
18AUG17	S 3 PD17NOV17	6489.50	6501.60	USD	-907.50	
18AUG17	S 1 PD17NOV17	6490.50	6501.60	USD	-277.50	
18AUG17	S 3 PD17NOV17	6491.00	6501.60	USD	-795.00	
18AUG17	S 3 PD17NOV17	6492.00	6501.60	USD	-720.00	
18AUG17	S 1 PD17NOV17	6494.00	6501.60	USD	-190.00	
18AUG17	S 1 PD17NOV17	6494.50	6501.60	USD	-177.50	
18AUG17	S 1 PD17NOV17	6496.00	6501.60	USD	-140.00	
18AUG17	S 1 PD17NOV17	6496.50	6501.60	USD	-127.50	
18AUG17	S 2 PD17NOV17	6497.50	6501.60	USD	-205.00	
18AUG17	S 1 PD17NOV17	6499.50	6501.60	USD	-52.50	
18AUG17	S 3 PD17NOV17	6451.00	6501.60	USD	-3,795.00	
18AUG17	S 3 PD17NOV17	6451.50	6501.60	USD	-3,757.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT							
21AUG17	B	3	PD17NOV17	6585.00	6501.60	USD	-6,255.00	
23AUG17	B	20	PD17NOV17	6563.89	6501.60	USD	-31,145.00	
30AUG17	B	10	PD17NOV17	6765.75	6501.60	USD	-66,037.50	
	NET	**0**	**AVG BGHT 6601.15**	**SOLD 6474.92**			**-142,007.50**	**0.00**
21AUG17	S	2	PD21NOV17	6507.00	6504.00	USD	150.00	
21AUG17	S	2	PD21NOV17	6507.50	6504.00	USD	175.00	
21AUG17	S	2	PD21NOV17	6508.00	6504.00	USD	200.00	
21AUG17	S	1	PD21NOV17	6509.00	6504.00	USD	125.00	
21AUG17	S	1	PD21NOV17	6506.50	6504.00	USD	62.50	
21AUG17	S	2	PD21NOV17	6508.50	6504.00	USD	225.00	
21AUG17	B	1	PD21NOV17	6593.50	6504.00	USD	-2,237.50	
21AUG17	B	1	PD21NOV17	6598.00	6504.00	USD	-2,350.00	
21AUG17	B	1	PD21NOV17	6598.50	6504.00	USD	-2,362.50	
21AUG17	B	1	PD21NOV17	6595.50	6504.00	USD	-2,287.50	
21AUG17	B	1	PD21NOV17	6596.50	6504.00	USD	-2,312.50	
21AUG17	B	2	PD21NOV17	6597.00	6504.00	USD	-4,650.00	
21AUG17	B	2	PD21NOV17	6600.00	6504.00	USD	-4,800.00	
21AUG17	B	1	PD21NOV17	6617.00	6504.00	USD	-2,825.00	
	NET	**0**	**AVG BGHT 6599.30**	**SOLD 6507.75**			**-22,887.50**	**0.00**
22AUG17	B	2	PD22NOV17	6588.50	6504.60	USD	-4,195.00	
22AUG17	B	1	PD22NOV17	6589.50	6504.60	USD	-2,122.50	
22AUG17	B	1	PD22NOV17	6590.50	6504.60	USD	-2,147.50	
22AUG17	B	1	PD22NOV17	6605.00	6504.60	USD	-2,510.00	
22AUG17	B	1	PD22NOV17	6606.00	6504.60	USD	-2,535.00	
22AUG17	B	1	PD22NOV17	6607.00	6504.60	USD	-2,560.00	
22AUG17	B	1	PD22NOV17	6607.50	6504.60	USD	-2,572.50	
22AUG17	B	1	PD22NOV17	6621.50	6504.60	USD	-2,922.50	
22AUG17	B	1	PD22NOV17	6622.00	6504.60	USD	-2,935.00	
22AUG17	B	1	PD22NOV17	6624.00	6504.60	USD	-2,985.00	
22AUG17	B	1	PD22NOV17	6626.00	6504.60	USD	-3,035.00	
22AUG17	B	1	PD22NOV17	6626.50	6504.60	USD	-3,047.50	
22AUG17	B	1	PD22NOV17	6628.00	6504.60	USD	-3,085.00	
22AUG17	B	1	PD22NOV17	6628.50	6504.60	USD	-3,097.50	
22AUG17	B	2	PD22NOV17	6629.00	6504.60	USD	-6,220.00	
22AUG17	B	1	PD22NOV17	6629.50	6504.60	USD	-3,122.50	
22AUG17	B	1	PD22NOV17	6581.00	6504.60	USD	-1,910.00	
22AUG17	B	2	PD22NOV17	6585.50	6504.60	USD	-4,045.00	
22AUG17	B	2	PD22NOV17	6586.00	6504.60	USD	-4,070.00	
22AUG17	B	1	PD22NOV17	6586.50	6504.60	USD	-2,047.50	
22AUG17	B	2	PD22NOV17	6585.00	6504.60	USD	-4,020.00	
22AUG17	B	1	PD22NOV17	6587.00	6504.60	USD	-2,060.00	
22AUG17	B	1	PD22NOV17	6584.00	6504.60	USD	-1,985.00	
22AUG17	B	2	PD22NOV17	6584.50	6504.60	USD	-3,995.00	
30AUG17	S	10	PD22NOV17	6766.80	6504.60	USD	65,550.00	
26SEP17	S	9	PD22NOV17	6394.44	6504.60	USD	-24,786.00	
	NET	**+11**	**AVG BGHT 6602.23**	**SOLD 6590.42**			**-32,461.00**	**0.00**
25AUG17	S	1	PD24NOV17	6736.00	6505.80	USD	5,755.00	
25AUG17	S	1	PD24NOV17	6736.50	6505.80	USD	5,767.50	
25AUG17	S	1	PD24NOV17	6739.00	6505.80	USD	5,830.00	
25AUG17	S	1	PD24NOV17	6739.50	6505.80	USD	5,842.50	
25AUG17	S	1	PD24NOV17	6740.50	6505.80	USD	5,867.50	
30AUG17	B	5	PD24NOV17	6767.70	6505.80	USD	-32,737.50	
	NET	**0**	**AVG BGHT 6767.70**	**SOLD 6738.30**			**-3,675.00**	**0.00**
07SEP17	S	1	PD07DEC17	6905.00	6512.57	USD	9,810.75	
07SEP17	S	1	PD07DEC17	6906.00	6512.57	USD	9,835.75	
07SEP17	S	2	PD07DEC17	6906.50	6512.57	USD	19,696.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
07SEP17	S 2 PD07DEC17	6908.00	6512.57	USD	19,771.50	
07SEP17	S 2 PD07DEC17	6908.50	6512.57	USD	19,796.50	
07SEP17	S 3 PD07DEC17	6909.00	6512.57	USD	29,732.25	
07SEP17	S 3 PD07DEC17	6910.00	6512.57	USD	29,807.25	
07SEP17	S 2 PD07DEC17	6910.50	6512.57	USD	19,896.50	
07SEP17	S 2 PD07DEC17	6911.50	6512.57	USD	19,946.50	
07SEP17	S 1 PD07DEC17	6912.00	6512.57	USD	9,985.75	
07SEP17	S 2 PD07DEC17	6913.00	6512.57	USD	20,021.50	
07SEP17	S 4 PD07DEC17	6912.50	6512.57	USD	39,993.00	
07SEP17	S 2 PD07DEC17	6859.50	6512.57	USD	17,346.50	
07SEP17	S 2 PD07DEC17	6862.00	6512.57	USD	17,471.50	
07SEP17	S 1 PD07DEC17	6865.00	6512.57	USD	8,810.75	
07SEP17	S 2 PD07DEC17	6865.50	6512.57	USD	17,646.50	
07SEP17	S 1 PD07DEC17	6862.50	6512.57	USD	8,748.25	
07SEP17	S 1 PD07DEC17	6866.00	6512.57	USD	8,835.75	
07SEP17	S 2 PD07DEC17	6866.50	6512.57	USD	17,696.50	
07SEP17	S 3 PD07DEC17	6867.00	6512.57	USD	26,582.25	
07SEP17	S 2 PD07DEC17	6867.50	6512.57	USD	17,746.50	
07SEP17	S 4 PD07DEC17	6868.00	6512.57	USD	35,543.00	
07SEP17	S 1 PD07DEC17	6869.50	6512.57	USD	8,923.25	
07SEP17	S 2 PD07DEC17	6870.00	6512.57	USD	17,871.50	
07SEP17	S 3 PD07DEC17	6870.50	6512.57	USD	26,844.75	
07SEP17	S 2 PD07DEC17	6871.50	6512.57	USD	17,946.50	
07SEP17	S 1 PD07DEC17	6872.00	6512.57	USD	8,985.75	
07SEP17	S 1 PD07DEC17	6873.50	6512.57	USD	9,023.25	
07SEP17	S 1 PD07DEC17	6875.50	6512.57	USD	9,073.25	
07SEP17	S 1 PD07DEC17	6877.00	6512.57	USD	9,110.75	
07SEP17	B 20 PD07DEC17	6898.70	6512.57	USD	-193,065.00	
18SEP17	B 15 PD07DEC17	6521.96	6512.57	USD	-3,521.25	
26SEP17	B 11 PD07DEC17	6403.24	6512.57	USD	30,065.75	
	NET -11 AVG BGHT 6657.37 SOLD 6886.25				**365,979.75**	**0.00**
08SEP17	S 1 PD08DEC17	6743.50	6513.00	USD	5,762.50	
08SEP17	S 1 PD08DEC17	6744.00	6513.00	USD	5,775.00	
08SEP17	S 1 PD08DEC17	6745.50	6513.00	USD	5,812.50	
18SEP17	B 2 PD08DEC17	6522.23	6513.00	USD	-461.50	
26SEP17	B 1 PD08DEC17	6403.82	6513.00	USD	2,729.50	
	NET 0 AVG BGHT 6482.76 SOLD 6744.33				**19,618.00**	**0.00**
15SEP17	B 2 PD15DEC17	6496.50	6515.77	USD	963.50	
18SEP17	S 2 PD15DEC17	6525.37	6515.77	USD	480.00	
	NET 0 AVG BGHT 6496.50 SOLD 6525.37				**1,443.50**	**0.00**
18SEP17	S 1 PD18DEC17	6534.50	6516.96	USD	438.50	
18SEP17	S 2 PD18DEC17	6536.50	6516.96	USD	977.00	
18SEP17	S 2 PD18DEC17	6535.50	6516.96	USD	927.00	
26SEP17	B 2 PD18DEC17	6409.55	6516.96	USD	5,370.50	
	NET -3 AVG BGHT 6409.55 SOLD 6535.70				**7,713.00**	**0.00**
19SEP17	B 1 PD19DEC17	6527.00	6517.35	USD	-241.25	
19SEP17	B 2 PD19DEC17	6530.50	6517.35	USD	-657.50	
19SEP17	B 3 PD19DEC17	6532.00	6517.35	USD	-1,098.75	
19SEP17	B 1 PD19DEC17	6532.50	6517.35	USD	-378.75	
19SEP17	B 1 PD19DEC17	6534.00	6517.35	USD	-416.25	
19SEP17	B 1 PD19DEC17	6536.50	6517.35	USD	-478.75	
19SEP17	B 1 PD19DEC17	6537.50	6517.35	USD	-503.75	
19SEP17	B 1 PD19DEC17	6539.00	6517.35	USD	-541.25	
19SEP17	B 1 PD19DEC17	6540.00	6517.35	USD	-566.25	
19SEP17	B 3 PD19DEC17	6538.50	6517.35	USD	-1,586.25	
19SEP17	B 1 PD19DEC17	6533.50	6517.35	USD	-403.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
19SEP17	B 2 PD19DEC17	6525.50	6517.35	USD	-407.50	
19SEP17	B 3 PD19DEC17	6526.00	6517.35	USD	-648.75	
19SEP17	B 2 PD19DEC17	6526.50	6517.35	USD	-457.50	
19SEP17	B 1 PD19DEC17	6524.50	6517.35	USD	-178.75	
19SEP17	B 1 PD19DEC17	6525.00	6517.35	USD	-191.25	
	NET +25 AVG BGHT 6531.36				**-8,756.25**	**0.00**
27SEP17	S 1 PD27DEC17	6466.00	6517.52	USD	-1,288.00	
27SEP17	S 2 PD27DEC17	6467.00	6517.52	USD	-2,526.00	
27SEP17	S 3 PD27DEC17	6467.50	6517.52	USD	-3,751.50	
27SEP17	S 4 PD27DEC17	6468.00	6517.52	USD	-4,952.00	
27SEP17	S 4 PD27DEC17	6468.50	6517.52	USD	-4,902.00	
27SEP17	S 4 PD27DEC17	6469.00	6517.52	USD	-4,852.00	
27SEP17	S 2 PD27DEC17	6469.50	6517.52	USD	-2,401.00	
27SEP17	S 1 PD27DEC17	6470.50	6517.52	USD	-1,175.50	
27SEP17	S 2 PD27DEC17	6463.50	6517.52	USD	-2,701.00	
27SEP17	S 1 PD27DEC17	6464.00	6517.52	USD	-1,338.00	
27SEP17	S 3 PD27DEC17	6470.00	6517.52	USD	-3,564.00	
	NET -27 AVG SOLD 6467.96				**-33,451.00**	**0.00**

OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	-946,980.50

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards		Amount	Profit / Loss	**Total Amount**	**Total P&L**
COPL - Futures	USD	-951,529.00	-951,529.00	**-951,529.00**	**-951,529.00**

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		1,046,774.85	1,046,774.85
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**1,046,774.85**	**1,046,774.85**
Initial Margins		-960,521.00	-960,521.00
Excess/(Shortage)		**86,253.85**	**86,253.85**
FOR INFORMATION ONLY			
Forward Unrealised P&L		-951,529.00	-951,529.00
Net Liquidating Value		**95,245.85**	**95,245.85**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT								
13JUL17	S	1	PD13OCT17		5864.00	6478.90	USD	-15,372.50	
13JUL17	S	3	PD13OCT17		5864.50	6478.90	USD	-46,080.00	
13JUL17	S	1	PD13OCT17		5866.50	6478.90	USD	-15,310.00	
14JUL17	S	1	PD13OCT17		5871.00	6478.90	USD	-15,197.50	
14JUL17	S	2	PD13OCT17		5871.50	6478.90	USD	-30,370.00	
14JUL17	S	1	PD13OCT17		5872.50	6478.90	USD	-15,160.00	
14JUL17	S	1	PD13OCT17		5873.00	6478.90	USD	-15,147.50	
18SEP17	B	10	PD13OCT17		6493.95	6478.90	USD	-3,762.50	
	NET	**0**	**AVG BGHT 6493.95**	**SOLD 5868.35**				**-156,400.00**	**0.00**
24AUG17	S	4	PD24NOV17		6630.50	6505.80	USD	12,470.00	
24AUG17	S	1	PD24NOV17		6631.00	6505.80	USD	3,130.00	
24AUG17	S	2	PD24NOV17		6629.00	6505.80	USD	6,160.00	
24AUG17	S	1	PD24NOV17		6629.50	6505.80	USD	3,092.50	
24AUG17	S	2	PD24NOV17		6630.00	6505.80	USD	6,210.00	
24AUG17	S	2	PD24NOV17		6704.50	6505.80	USD	9,935.00	
24AUG17	S	1	PD24NOV17		6705.50	6505.80	USD	4,992.50	
24AUG17	S	2	PD24NOV17		6706.50	6505.80	USD	10,035.00	
18SEP17	B	5	PD24NOV17		6515.76	6505.80	USD	-1,245.00	
26SEP17	B	10	PD24NOV17		6395.79	6505.80	USD	27,502.50	
	NET	**0**	**AVG BGHT 6435.78**	**SOLD 6655.20**				**82,282.50**	**0.00**
29AUG17	S	1	PD29NOV17		6792.50	6508.80	USD	7,092.50	
29AUG17	S	1	PD29NOV17		6793.50	6508.80	USD	7,117.50	
29AUG17	S	1	PD29NOV17		6794.00	6508.80	USD	7,130.00	
29AUG17	S	1	PD29NOV17		6795.50	6508.80	USD	7,167.50	
29AUG17	S	1	PD29NOV17		6796.50	6508.80	USD	7,192.50	
26SEP17	B	5	PD29NOV17		6398.66	6508.80	USD	13,767.50	
	NET	**0**	**AVG BGHT 6398.66**	**SOLD 6794.40**				**49,467.50**	**0.00**
31AUG17	B	1	PD30NOV17		6799.50	6509.40	USD	-7,252.50	
31AUG17	S	1	PD30NOV17		6797.50	6509.40	USD	7,202.50	
31AUG17	S	1	PD30NOV17		6798.50	6509.40	USD	7,227.50	
31AUG17	S	1	PD30NOV17		6799.00	6509.40	USD	7,240.00	
31AUG17	S	3	PD30NOV17		6799.50	6509.40	USD	21,757.50	
26SEP17	B	5	PD30NOV17		6399.23	6509.40	USD	13,771.25	
	NET	**0**	**AVG BGHT 6465.94**	**SOLD 6798.92**				**49,946.25**	**0.00**

OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	25,296.25

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards		Amount	Profit / Loss	**Total Amount**	**Total P&L**
COPL - Futures	USD	25,296.25	25,296.25	**25,296.25**	**25,296.25**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-19,837.45	-19,837.45
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**-19,837.45**	**-19,837.45**
Initial Margins		0.00	0.00
Excess/(Shortage)		**-19,837.45**	**-19,837.45**
FOR INFORMATION ONLY			
Forward Unrealised P&L		25,296.25	25,296.25
Net Liquidating Value		**5,458.80**	**5,458.80**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	CMX GOLD 100 CMX					
27SEP17	B 2 DEC17	1297.2	1274.6	USD	-4,520.00	
27SEP17	B 3 DEC17	1297.1	1274.6	USD	-6,750.00	
27SEP17	B 5 DEC17	1297.6	1274.6	USD	-11,500.00	
27SEP17	B 5 DEC17	1296.2	1274.6	USD	-10,800.00	
29SEP17	B 3 DEC17	1288.5	1274.6	USD	-4,170.00	
29SEP17	B 2 DEC17	1288.6	1274.6	USD	-2,800.00	
29SEP17	B 2 DEC17	1288.4	1274.6	USD	-2,760.00	
29SEP17	B 3 DEC17	1288.3	1274.6	USD	-4,110.00	
29SEP17	B 10 DEC17	1288.2	1274.6	USD	-13,600.00	
	NET +35 AVG BGHT 1292.0				**-61,010.00**	**0.00**

OPEN POSITION VALUE

		USD
CMX Gold	FUTURES	-4,522,110.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options		Amount	Profit / Loss	**Total Amount**	**Total P&L**
GOLD - Futures	USD	-61,010.00	-61,010.00	**-61,010.00**	**-61,010.00**

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	-101,966.00	-101,966.00
Variation Margins - Futures	-61,010.00	-61,010.00
- Options	0.00	0.00
TOTAL EQUITY	**-162,976.00**	**-162,976.00**
Initial Margins	-171,500.00	-171,500.00
Excess/(Shortage)	**-334,476.00**	**-334,476.00**
FOR INFORMATION ONLY		
Net Liquidating Value	**-162,976.00**	**-162,976.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097E

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT							
14JUL17	B	2	PD13OCT17	5881.50	6478.90	USD	29,870.00	
14JUL17	S	2	PD13OCT17	5881.50	6478.90	USD	-29,870.00	
14JUL17	S	4	PD13OCT17	5882.00	6478.90	USD	-59,690.00	
14JUL17	S	1	PD13OCT17	5882.50	6478.90	USD	-14,910.00	
30AUG17	B	5	PD13OCT17	6753.80	6478.90	USD	-34,362.50	
	NET	**0**	**AVG BGHT 6504.57**	**SOLD 5881.93**			**-108,962.50**	**0.00**
17JUL17	S	2	PD17OCT17	5926.50	6483.98	USD	-27,874.00	
17JUL17	S	2	PD17OCT17	5927.50	6483.98	USD	-27,824.00	
30AUG17	B	4	PD17OCT17	6755.72	6483.98	USD	-27,174.00	
	NET	**0**	**AVG BGHT 6755.72**	**SOLD 5927.00**			**-82,872.00**	**0.00**
19JUL17	B	1	PD19OCT17	5980.50	6486.00	USD	12,637.50	
30AUG17	S	1	PD19OCT17	6755.00	6486.00	USD	6,725.00	
	NET	**0**	**AVG BGHT 5980.50**	**SOLD 6755.00**			**19,362.50**	**0.00**
20JUL17	B	1	PD20OCT17	5960.50	6486.75	USD	13,156.25	
30AUG17	S	1	PD20OCT17	6754.00	6486.75	USD	6,681.25	
	NET	**0**	**AVG BGHT 5960.50**	**SOLD 6754.00**			**19,837.50**	**0.00**
25JUL17	S	1	PD25OCT17	6090.50	6490.29	USD	-9,994.75	
25JUL17	S	1	PD25OCT17	6181.00	6490.29	USD	-7,732.25	
25JUL17	B	5	PD25OCT17	6200.00	6490.29	USD	36,286.25	
25JUL17	B	3	PD25OCT17	6229.50	6490.29	USD	19,559.25	
25JUL17	B	2	PD25OCT17	6230.00	6490.29	USD	13,014.50	
25JUL17	B	2	PD25OCT17	6232.50	6490.29	USD	12,889.50	
25JUL17	S	2	PD25OCT17	6228.00	6490.29	USD	-13,114.50	
30AUG17	S	8	PD25OCT17	6756.70	6490.29	USD	53,282.00	
	NET	**0**	**AVG BGHT 6217.79**	**SOLD 6565.09**			**104,190.00**	**0.00**
26JUL17	B	2	PD26OCT17	6301.50	6490.82	USD	9,466.00	
26JUL17	B	2	PD26OCT17	6317.00	6490.82	USD	8,691.00	
26JUL17	S	1	PD26OCT17	6335.00	6490.82	USD	-3,895.50	
30AUG17	S	3	PD26OCT17	6757.24	6490.82	USD	19,981.50	
	NET	**0**	**AVG BGHT 6309.25**	**SOLD 6651.68**			**34,243.00**	**0.00**
28JUL17	S	3	PD27OCT17	6326.50	6491.36	USD	-12,364.50	
28JUL17	S	5	PD27OCT17	6323.00	6491.36	USD	-21,045.00	
28JUL17	S	2	PD27OCT17	6329.00	6491.36	USD	-8,118.00	
28JUL17	S	5	PD27OCT17	6330.00	6491.36	USD	-20,170.00	
30AUG17	B	15	PD27OCT17	6757.98	6491.36	USD	-99,982.50	
	NET	**0**	**AVG BGHT 6757.98**	**SOLD 6326.83**			**-161,680.00**	**0.00**
11AUG17	B	1	PD10NOV17	6347.00	6499.18	USD	3,804.50	
30AUG17	S	1	PD10NOV17	6765.34	6499.18	USD	6,654.00	
	NET	**0**	**AVG BGHT 6347.00**	**SOLD 6765.34**			**10,458.50**	**0.00**
14AUG17	S	4	PD14NOV17	6416.00	6501.04	USD	-8,504.00	
14AUG17	S	3	PD14NOV17	6413.50	6501.04	USD	-6,565.50	
14AUG17	S	2	PD14NOV17	6414.00	6501.04	USD	-4,352.00	
14AUG17	S	1	PD14NOV17	6423.50	6501.04	USD	-1,938.50	
30AUG17	B	10	PD14NOV17	6767.70	6501.04	USD	-66,665.00	
	NET	**0**	**AVG BGHT 6767.70**	**SOLD 6415.60**			**-88,025.00**	**0.00**
16AUG17	B	1	PD16NOV17	6543.50	6501.00	USD	-1,062.50	
16AUG17	B	4	PD16NOV17	6544.00	6501.00	USD	-4,300.00	
16AUG17	B	5	PD16NOV17	6547.00	6501.00	USD	-5,750.00	
	NET	**+10**	**AVG BGHT 6545.45**				**-11,112.50**	**0.00**
08SEP17	S	2	PD08DEC17	6910.50	6513.00	USD	19,875.00	
08SEP17	S	3	PD08DEC17	6911.50	6513.00	USD	29,887.50	
	NET	**-5**	**AVG SOLD 6911.10**				**49,762.50**	**0.00**
12SEP17	S	2	PD12DEC17	6651.00	6514.58	USD	6,821.00	
12SEP17	S	2	PD12DEC17	6652.50	6514.58	USD	6,896.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097E

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
12SEP17	S 1 PD12DEC17	6650.00	6514.58	USD	3,385.50	
	NET -5 AVG SOLD 6651.40				**17,102.50**	**0.00**

OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	-194,498.00

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards		Amount	Profit / Loss	**Total Amount**	**Total P&L**
COPL - Futures	USD	-197,695.50	-197,695.50	**-197,695.50**	**-197,695.50**

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		176,820.40	176,820.40
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**176,820.40**	**176,820.40**
Initial Margins		-200,538.50	-200,538.50
Excess/(Shortage)		**-23,718.10**	**-23,718.10**
FOR INFORMATION ONLY			
Forward Unrealised P&L		-197,695.50	-197,695.50
Net Liquidating Value		**-20,875.10**	**-20,875.10**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097F

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
17JUL17	S 1 PD17OCT17	5969.00	6483.98	USD	-12,874.50	
17JUL17	S 1 PD17OCT17	5970.00	6483.98	USD	-12,849.50	
17JUL17	S 1 PD17OCT17	5974.00	6483.98	USD	-12,749.50	
17JUL17	S 2 PD17OCT17	5977.50	6483.98	USD	-25,324.00	
17JUL17	S 3 PD17OCT17	5979.50	6483.98	USD	-37,836.00	
17JUL17	S 2 PD17OCT17	5980.00	6483.98	USD	-25,199.00	
30AUG17	B 10 PD17OCT17	6755.72	6483.98	USD	-67,935.00	
	NET 0 AVG BGHT 6755.72 SOLD 5976.65				**-194,767.50**	**0.00**
25JUL17	B 1 PD25OCT17	6229.00	6490.29	USD	6,532.25	
25JUL17	B 1 PD25OCT17	6227.50	6490.29	USD	6,569.75	
25JUL17	B 1 PD25OCT17	6223.00	6490.29	USD	6,682.25	
25JUL17	B 1 PD25OCT17	6224.00	6490.29	USD	6,657.25	
25JUL17	B 3 PD25OCT17	6225.00	6490.29	USD	19,896.75	
25JUL17	B 3 PD25OCT17	6228.00	6490.29	USD	19,671.75	
30AUG17	S 10 PD25OCT17	6756.70	6490.29	USD	66,602.50	
	NET 0 AVG BGHT 6226.25 SOLD 6756.70				**132,612.50**	**0.00**
28JUL17	S 1 PD27OCT17	6323.00	6491.36	USD	-4,209.00	
28JUL17	S 2 PD27OCT17	6324.00	6491.36	USD	-8,368.00	
28JUL17	S 2 PD27OCT17	6325.00	6491.36	USD	-8,318.00	
28JUL17	S 1 PD27OCT17	6325.50	6491.36	USD	-4,146.50	
28JUL17	S 1 PD27OCT17	6326.00	6491.36	USD	-4,134.00	
28JUL17	S 3 PD27OCT17	6326.50	6491.36	USD	-12,364.50	
28JUL17	S 5 PD27OCT17	6327.00	6491.36	USD	-20,545.00	
28JUL17	S 3 PD27OCT17	6327.50	6491.36	USD	-12,289.50	
28JUL17	S 1 PD27OCT17	6329.50	6491.36	USD	-4,046.50	
28JUL17	S 1 PD27OCT17	6330.00	6491.36	USD	-4,034.00	
30AUG17	B 20 PD27OCT17	6757.98	6491.36	USD	-133,310.00	
	NET 0 AVG BGHT 6757.98 SOLD 6326.45				**-215,765.00**	**0.00**
04SEP17	B 2 PD04DEC17	6911.00	6511.29	USD	-19,985.50	
04SEP17	B 2 PD04DEC17	6911.50	6511.29	USD	-20,010.50	
04SEP17	B 5 PD04DEC17	6913.00	6511.29	USD	-50,213.75	
04SEP17	B 1 PD04DEC17	6917.00	6511.29	USD	-10,142.75	
	NET +10 AVG BGHT 6912.70				**-100,352.50**	**0.00**
06SEP17	S 5 PD06DEC17	6893.00	6512.14	USD	47,607.50	
	NET -5 AVG SOLD 6893.00				**47,607.50**	**0.00**
08SEP17	S 1 PD08DEC17	6740.00	6513.00	USD	5,675.00	
08SEP17	S 1 PD08DEC17	6744.50	6513.00	USD	5,787.50	
08SEP17	S 1 PD08DEC17	6748.00	6513.00	USD	5,875.00	
08SEP17	S 1 PD08DEC17	6737.00	6513.00	USD	5,600.00	
08SEP17	S 1 PD08DEC17	6738.50	6513.00	USD	5,637.50	
	NET -5 AVG SOLD 6741.60				**28,575.00**	**0.00**

OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	-301,770.00

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097F

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards		Amount	Profit / Loss	**Total Amount**	**Total P&L**
COPL - Futures	USD	-302,090.00	-302,090.00	**-302,090.00**	**-302,090.00**

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		313,194.90	313,194.90
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**313,194.90**	**313,194.90**
Initial Margins		-303,849.00	-303,849.00
Excess/(Shortage)		**9,345.90**	**9,345.90**
FOR INFORMATION ONLY			
Forward Unrealised P&L		-302,090.00	-302,090.00
Net Liquidating Value		**11,104.90**	**11,104.90**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097G

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
04JUL17	S 1 PD04OCT17	5903.00	6467.48	USD	-14,112.00	
04JUL17	S 1 PD04OCT17	5904.00	6467.48	USD	-14,087.00	
04JUL17	S 1 PD04OCT17	5888.00	6467.48	USD	-14,487.00	
04JUL17	S 1 PD04OCT17	5889.50	6467.48	USD	-14,449.50	
04JUL17	S 1 PD04OCT17	5904.50	6467.48	USD	-14,074.50	
04JUL17	B 1 PD04OCT17	5900.50	6467.48	USD	14,174.50	
04JUL17	B 3 PD04OCT17	5901.50	6467.48	USD	42,448.50	
04JUL17	B 1 PD04OCT17	5904.00	6467.48	USD	14,087.00	
	NET 0 AVG BGHT 5901.80 SOLD 5897.80				**-500.00**	**0.00**
05JUL17	S 1 PD05OCT17	5835.00	6468.75	USD	-15,843.75	
05JUL17	S 1 PD05OCT17	5837.00	6468.75	USD	-15,793.75	
05JUL17	S 1 PD05OCT17	5838.00	6468.75	USD	-15,768.75	
05JUL17	S 1 PD05OCT17	5839.50	6468.75	USD	-15,731.25	
05JUL17	S 1 PD05OCT17	5840.50	6468.75	USD	-15,706.25	
05JUL17	B 1 PD05OCT17	5844.50	6468.75	USD	15,606.25	
05JUL17	S 1 PD05OCT17	5844.50	6468.75	USD	-15,606.25	
29AUG17	B 5 PD05OCT17	6775.31	6468.75	USD	-38,320.00	
	NET 0 AVG BGHT 6620.18 SOLD 5839.08				**-117,163.75**	**0.00**
28JUL17	S 2 PD27OCT17	6336.00	6491.36	USD	-7,768.00	
28JUL17	S 1 PD27OCT17	6337.00	6491.36	USD	-3,859.00	
28JUL17	S 1 PD27OCT17	6339.50	6491.36	USD	-3,796.50	
28JUL17	S 1 PD27OCT17	6342.00	6491.36	USD	-3,734.00	
29AUG17	B 5 PD27OCT17	6782.56	6491.36	USD	-36,400.00	
	NET 0 AVG BGHT 6782.56 SOLD 6338.10				**-55,557.50**	**0.00**
31JUL17	S 1 PD31OCT17	6395.00	6493.50	USD	-2,462.50	
31JUL17	S 1 PD31OCT17	6395.50	6493.50	USD	-2,450.00	
31JUL17	S 1 PD31OCT17	6398.00	6493.50	USD	-2,387.50	
31JUL17	S 1 PD31OCT17	6399.00	6493.50	USD	-2,362.50	
31JUL17	S 2 PD31OCT17	6398.50	6493.50	USD	-4,750.00	
31JUL17	S 2 PD31OCT17	6399.50	6493.50	USD	-4,700.00	
31JUL17	S 3 PD31OCT17	6396.50	6493.50	USD	-7,275.00	
31JUL17	S 1 PD31OCT17	6397.50	6493.50	USD	-2,400.00	
31JUL17	S 1 PD31OCT17	6400.50	6493.50	USD	-2,325.00	
31JUL17	S 1 PD31OCT17	6401.00	6493.50	USD	-2,312.50	
31JUL17	S 1 PD31OCT17	6402.00	6493.50	USD	-2,287.50	
29AUG17	B 15 PD31OCT17	6784.48	6493.50	USD	-109,117.50	
	NET 0 AVG BGHT 6784.48 SOLD 6398.27				**-144,830.00**	**0.00**

OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	-318,051.25

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards		Amount	Profit / Loss	**Total Amount**	**Total P&L**
COPL - Futures	USD	-318,051.25	-318,051.25	**-318,051.25**	**-318,051.25**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097G

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		333,275.60	333,275.60
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**333,275.60**	**333,275.60**
Initial Margins		-318,051.25	-318,051.25
Excess/(Shortage)		**15,224.35**	**15,224.35**
FOR INFORMATION ONLY			
Forward Unrealised P&L		-318,051.25	-318,051.25
Net Liquidating Value		**15,224.35**	**15,224.35**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097H

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
03AUG17	S 1 PD03NOV17	6347.50	6495.28	USD	-3,694.50	
03AUG17	S 1 PD03NOV17	6348.50	6495.28	USD	-3,669.50	
	NET -2 AVG SOLD 6348.00				**-7,364.00**	**0.00**
08AUG17	B 1 PD08NOV17	6482.00	6498.25	USD	406.25	
08AUG17	B 1 PD08NOV17	6484.00	6498.25	USD	356.25	
	NET +2 AVG BGHT 6483.00				**762.50**	**0.00**
14AUG17	S 5 PD14NOV17	6390.50	6501.04	USD	-13,817.50	
24AUG17	B 5 PD14NOV17	6688.70	6501.04	USD	-23,457.50	
	NET 0 AVG BGHT 6688.70 SOLD 6390.50				**-37,275.00**	**0.00**
15AUG17	S 1 PD15NOV17	6371.50	6501.50	USD	-3,250.00	
15AUG17	S 1 PD15NOV17	6372.50	6501.50	USD	-3,225.00	
15AUG17	S 1 PD15NOV17	6373.00	6501.50	USD	-3,212.50	
15AUG17	S 1 PD15NOV17	6360.50	6501.50	USD	-3,525.00	
15AUG17	S 1 PD15NOV17	6370.00	6501.50	USD	-3,287.50	
24AUG17	B 5 PD15NOV17	6688.70	6501.50	USD	-23,400.00	
	NET 0 AVG BGHT 6688.70 SOLD 6369.50				**-39,900.00**	**0.00**

OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	-83,925.00

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards		Amount	Profit / Loss	**Total Amount**	**Total P&L**
COPL - Futures	USD	-83,776.50	-83,776.50	**-83,776.50**	**-83,776.50**

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		89,972.85	89,972.85
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**89,972.85**	**89,972.85**
Initial Margins		-84,180.50	-84,180.50
Excess/(Shortage)		**5,792.35**	**5,792.35**
FOR INFORMATION ONLY			
Forward Unrealised P&L		-83,776.50	-83,776.50
Net Liquidating Value		**6,196.35**	**6,196.35**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097I

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
17AUG17	S 1 PD17NOV17	6482.50	6501.60	USD	-477.50	
17AUG17	S 1 PD17NOV17	6481.00	6501.60	USD	-515.00	
17AUG17	S 1 PD17NOV17	6482.00	6501.60	USD	-490.00	
17AUG17	S 2 PD17NOV17	6483.00	6501.60	USD	-930.00	
17AUG17	S 4 PD17NOV17	6484.00	6501.60	USD	-1,760.00	
17AUG17	S 1 PD17NOV17	6485.00	6501.60	USD	-415.00	
18AUG17	S 2 PD17NOV17	6493.00	6501.60	USD	-430.00	
18AUG17	S 2 PD17NOV17	6492.00	6501.60	USD	-480.00	
18AUG17	B 1 PD17NOV17	6506.00	6501.60	USD	-110.00	
18AUG17	B 1 PD17NOV17	6503.00	6501.60	USD	-35.00	
18AUG17	B 1 PD17NOV17	6501.00	6501.60	USD	15.00	
18AUG17	B 1 PD17NOV17	6498.50	6501.60	USD	77.50	
18AUG17	B 1 PD17NOV17	6496.50	6501.60	USD	127.50	
18AUG17	S 1 PD17NOV17	6492.00	6501.60	USD	-240.00	
18AUG17	S 1 PD17NOV17	6478.50	6501.60	USD	-577.50	
18AUG17	S 1 PD17NOV17	6480.00	6501.60	USD	-540.00	
18AUG17	S 1 PD17NOV17	6462.00	6501.60	USD	-990.00	
18AUG17	S 1 PD17NOV17	6463.50	6501.60	USD	-952.50	
18AUG17	S 1 PD17NOV17	6465.50	6501.60	USD	-902.50	
18AUG17	S 2 PD17NOV17	6461.00	6501.60	USD	-2,030.00	
18AUG17	B 2 PD17NOV17	6481.50	6501.60	USD	1,005.00	
18AUG17	B 1 PD17NOV17	6480.00	6501.60	USD	540.00	
18AUG17	B 1 PD17NOV17	6480.50	6501.60	USD	527.50	
18AUG17	B 1 PD17NOV17	6483.50	6501.60	USD	452.50	
18AUG17	B 2 PD17NOV17	6467.50	6501.60	USD	1,705.00	
18AUG17	B 1 PD17NOV17	6468.50	6501.60	USD	827.50	
18AUG17	B 1 PD17NOV17	6462.00	6501.60	USD	990.00	
18AUG17	B 1 PD17NOV17	6461.00	6501.60	USD	1,015.00	
18AUG17	B 1 PD17NOV17	6463.50	6501.60	USD	952.50	
18AUG17	B 3 PD17NOV17	6469.50	6501.60	USD	2,407.50	
18AUG17	B 3 PD17NOV17	6474.50	6501.60	USD	2,032.50	
18AUG17	B 1 PD17NOV17	6475.50	6501.60	USD	652.50	
18AUG17	B 1 PD17NOV17	6477.50	6501.60	USD	602.50	
18AUG17	B 1 PD17NOV17	6476.50	6501.60	USD	627.50	
18AUG17	B 1 PD17NOV17	6486.50	6501.60	USD	377.50	
18AUG17	B 1 PD17NOV17	6487.50	6501.60	USD	352.50	
18AUG17	S 5 PD17NOV17	6481.00	6501.60	USD	-2,575.00	
	NET 0 AVG BGHT 6479.17 SOLD 6480.41				**837.50**	**0.00**
23AUG17	B 1 PD24NOV17	6568.50	6505.80	USD	-1,567.50	
23AUG17	S 1 PD24NOV17	6566.00	6505.80	USD	1,505.00	
23AUG17	S 1 PD24NOV17	6565.50	6505.80	USD	1,492.50	
23AUG17	B 1 PD24NOV17	6575.00	6505.80	USD	-1,730.00	
23AUG17	B 1 PD24NOV17	6555.00	6505.80	USD	-1,230.00	
23AUG17	B 4 PD24NOV17	6553.00	6505.80	USD	-4,720.00	
23AUG17	B 1 PD24NOV17	6588.00	6505.80	USD	-2,055.00	
23AUG17	B 1 PD24NOV17	6585.50	6505.80	USD	-1,992.50	
23AUG17	B 3 PD24NOV17	6586.00	6505.80	USD	-6,015.00	
23AUG17	B 5 PD24NOV17	6587.00	6505.80	USD	-10,150.00	
23AUG17	B 5 PD24NOV17	6586.50	6505.80	USD	-10,087.50	
23AUG17	B 2 PD24NOV17	6585.00	6505.80	USD	-3,960.00	
23AUG17	B 3 PD24NOV17	6584.00	6505.80	USD	-5,865.00	
23AUG17	B 3 PD24NOV17	6583.00	6505.80	USD	-5,790.00	
23AUG17	B 2 PD24NOV17	6582.00	6505.80	USD	-3,810.00	
23AUG17	B 3 PD24NOV17	6581.00	6505.80	USD	-5,640.00	
23AUG17	B 1 PD24NOV17	6580.50	6505.80	USD	-1,867.50	
23AUG17	B 1 PD24NOV17	6579.50	6505.80	USD	-1,842.50	
23AUG17	B 2 PD24NOV17	6578.50	6505.80	USD	-3,635.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097I

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
23AUG17	B 2 PD24NOV17	6577.50	6505.80	USD	-3,585.00	
23AUG17	B 1 PD24NOV17	6576.00	6505.80	USD	-1,755.00	
23AUG17	B 2 PD24NOV17	6551.50	6505.80	USD	-2,285.00	
23AUG17	S 1 PD24NOV17	6559.00	6505.80	USD	1,330.00	
23AUG17	S 1 PD24NOV17	6551.00	6505.80	USD	1,130.00	
24AUG17	S 1 PD24NOV17	6610.00	6505.80	USD	2,605.00	
24AUG17	S 1 PD24NOV17	6608.50	6505.80	USD	2,567.50	
24AUG17	S 1 PD24NOV17	6607.50	6505.80	USD	2,542.50	
24AUG17	S 1 PD24NOV17	6616.50	6505.80	USD	2,767.50	
24AUG17	B 1 PD24NOV17	6612.00	6505.80	USD	-2,655.00	
24AUG17	S 1 PD24NOV17	6612.00	6505.80	USD	2,655.00	
24AUG17	S 3 PD24NOV17	6610.50	6505.80	USD	7,852.50	
24AUG17	S 5 PD24NOV17	6611.00	6505.80	USD	13,150.00	
24AUG17	S 2 PD24NOV17	6611.50	6505.80	USD	5,285.00	
24AUG17	S 2 PD24NOV17	6603.00	6505.80	USD	4,860.00	
24AUG17	S 3 PD24NOV17	6602.00	6505.80	USD	7,215.00	
24AUG17	S 1 PD24NOV17	6604.00	6505.80	USD	2,455.00	
24AUG17	S 1 PD24NOV17	6601.50	6505.80	USD	2,392.50	
24AUG17	S 1 PD24NOV17	6605.00	6505.80	USD	2,480.00	
24AUG17	S 2 PD24NOV17	6606.00	6505.80	USD	5,010.00	
24AUG17	S 2 PD24NOV17	6609.50	6505.80	USD	5,185.00	
24AUG17	S 1 PD24NOV17	6617.50	6505.80	USD	2,792.50	
24AUG17	S 3 PD24NOV17	6615.00	6505.80	USD	8,190.00	
24AUG17	S 4 PD24NOV17	6614.00	6505.80	USD	10,820.00	
24AUG17	S 2 PD24NOV17	6618.50	6505.80	USD	5,635.00	
24AUG17	S 3 PD24NOV17	6619.50	6505.80	USD	8,527.50	
24AUG17	S 1 PD24NOV17	6620.50	6505.80	USD	2,867.50	
	NET 0 AVG BGHT 6578.90 SOLD 6606.52				**31,075.00**	**0.00**

OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	31,912.50

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards		Amount	Profit / Loss	**Total Amount**	**Total P&L**
COPL - Futures	USD	31,912.50	31,912.50	**31,912.50**	**31,912.50**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097I

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-27,464.10	-27,464.10
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**-27,464.10**	**-27,464.10**
Initial Margins		0.00	0.00
Excess/(Shortage)		**-27,464.10**	**-27,464.10**
FOR INFORMATION ONLY			
Forward Unrealised P&L		31,912.50	31,912.50
Net Liquidating Value		**4,448.40**	**4,448.40**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097K

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
21JUL17	B 1 PD20OCT17	6049.00	6486.75	USD	10,943.75	
21JUL17	B 2 PD20OCT17	6051.00	6486.75	USD	21,787.50	
21JUL17	B 2 PD20OCT17	6050.50	6486.75	USD	21,812.50	
21JUL17	B 7 PD20OCT17	6050.00	6486.75	USD	76,431.25	
21JUL17	B 1 PD20OCT17	6044.50	6486.75	USD	11,056.25	
21JUL17	B 1 PD20OCT17	6045.00	6486.75	USD	11,043.75	
21JUL17	B 4 PD20OCT17	6035.00	6486.75	USD	45,175.00	
21JUL17	B 13 PD20OCT17	6036.00	6486.75	USD	146,493.75	
21JUL17	B 6 PD20OCT17	6039.50	6486.75	USD	67,087.50	
21JUL17	B 5 PD20OCT17	6038.00	6486.75	USD	56,093.75	
21JUL17	B 5 PD20OCT17	6039.00	6486.75	USD	55,968.75	
21JUL17	B 2 PD20OCT17	6038.50	6486.75	USD	22,412.50	
21JUL17	B 1 PD20OCT17	6037.50	6486.75	USD	11,231.25	
21JUL17	B 2 PD20OCT17	6036.50	6486.75	USD	22,512.50	
21JUL17	S 2 PD20OCT17	6025.50	6486.75	USD	-23,062.50	
21JUL17	S 5 PD20OCT17	6028.00	6486.75	USD	-57,343.75	
21JUL17	S 5 PD20OCT17	6031.00	6486.75	USD	-56,968.75	
21JUL17	S 2 PD20OCT17	6026.50	6486.75	USD	-23,012.50	
21JUL17	S 3 PD20OCT17	6020.00	6486.75	USD	-35,006.25	
21JUL17	S 1 PD20OCT17	6010.50	6486.75	USD	-11,906.25	
21JUL17	S 1 PD20OCT17	6008.00	6486.75	USD	-11,968.75	
21JUL17	S 4 PD20OCT17	6009.00	6486.75	USD	-47,775.00	
21JUL17	S 3 PD20OCT17	6011.00	6486.75	USD	-35,681.25	
21JUL17	S 2 PD20OCT17	6012.00	6486.75	USD	-23,737.50	
21JUL17	S 2 PD20OCT17	6007.50	6486.75	USD	-23,962.50	
21JUL17	S 2 PD20OCT17	6003.00	6486.75	USD	-24,187.50	
21JUL17	S 12 PD20OCT17	5994.50	6486.75	USD	-147,675.00	
21JUL17	B 2 PD20OCT17	6038.00	6486.75	USD	22,437.50	
21JUL17	B 1 PD20OCT17	6039.50	6486.75	USD	11,181.25	
21JUL17	B 5 PD20OCT17	6039.00	6486.75	USD	55,968.75	
21JUL17	S 1 PD20OCT17	5997.50	6486.75	USD	-12,231.25	
21JUL17	S 4 PD20OCT17	5999.00	6486.75	USD	-48,775.00	
21JUL17	S 1 PD20OCT17	5999.50	6486.75	USD	-12,181.25	
21JUL17	S 4 PD20OCT17	6000.00	6486.75	USD	-48,675.00	
21JUL17	S 2 PD20OCT17	6001.00	6486.75	USD	-24,287.50	
21JUL17	S 3 PD20OCT17	6002.50	6486.75	USD	-36,318.75	
21JUL17	S 1 PD20OCT17	5999.00	6486.75	USD	-12,193.75	
	NET 0 AVG BGHT 6040.33 SOLD 6008.78				**-47,312.50**	**0.00**
24JUL17	S 1 PD24OCT17	6000.50	6489.75	USD	-12,231.25	
24JUL17	S 1 PD24OCT17	6008.00	6489.75	USD	-12,043.75	
24JUL17	B 4 PD24OCT17	6000.00	6489.75	USD	48,975.00	
24JUL17	B 1 PD24OCT17	6000.50	6489.75	USD	12,231.25	
24JUL17	S 8 PD24OCT17	6028.00	6489.75	USD	-92,350.00	
24JUL17	S 1 PD24OCT17	6029.00	6489.75	USD	-11,518.75	
24JUL17	S 1 PD24OCT17	6022.50	6489.75	USD	-11,681.25	
24JUL17	B 1 PD24OCT17	6027.50	6489.75	USD	11,556.25	
24JUL17	S 1 PD24OCT17	6020.00	6489.75	USD	-11,743.75	
24JUL17	S 3 PD24OCT17	6018.50	6489.75	USD	-35,343.75	
24JUL17	S 1 PD24OCT17	6018.00	6489.75	USD	-11,793.75	
24JUL17	S 2 PD24OCT17	6016.50	6489.75	USD	-23,662.50	
24JUL17	S 3 PD24OCT17	6015.50	6489.75	USD	-35,568.75	
24JUL17	S 3 PD24OCT17	6015.00	6489.75	USD	-35,606.25	
24JUL17	B 3 PD24OCT17	6016.00	6489.75	USD	35,531.25	
24JUL17	B 1 PD24OCT17	6018.50	6489.75	USD	11,781.25	
24JUL17	B 1 PD24OCT17	6019.50	6489.75	USD	11,756.25	
24JUL17	B 6 PD24OCT17	6020.50	6489.75	USD	70,387.50	
24JUL17	B 3 PD24OCT17	6022.00	6489.75	USD	35,081.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097K

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
24JUL17	B 6 PD24OCT17	6021.50	6489.75	USD	70,237.50	
24JUL17	B 9 PD24OCT17	6022.50	6489.75	USD	105,131.25	
24JUL17	B 4 PD24OCT17	6023.50	6489.75	USD	46,625.00	
24JUL17	S 1 PD24OCT17	6018.50	6489.75	USD	-11,781.25	
24JUL17	B 7 PD24OCT17	6021.50	6489.75	USD	81,943.75	
24JUL17	B 1 PD24OCT17	6022.50	6489.75	USD	11,681.25	
24JUL17	S 6 PD24OCT17	6025.50	6489.75	USD	-69,637.50	
24JUL17	S 1 PD24OCT17	6023.50	6489.75	USD	-11,656.25	
24JUL17	S 6 PD24OCT17	6021.00	6489.75	USD	-70,312.50	
24JUL17	S 3 PD24OCT17	6017.50	6489.75	USD	-35,418.75	
24JUL17	S 1 PD24OCT17	6019.00	6489.75	USD	-11,768.75	
24JUL17	B 1 PD24OCT17	6025.50	6489.75	USD	11,606.25	
24JUL17	B 6 PD24OCT17	6026.00	6489.75	USD	69,562.50	
24JUL17	S 1 PD24OCT17	6029.50	6489.75	USD	-11,506.25	
24JUL17	S 1 PD24OCT17	6026.00	6489.75	USD	-11,593.75	
24JUL17	S 8 PD24OCT17	6026.50	6489.75	USD	-92,650.00	
24JUL17	S 4 PD24OCT17	6027.00	6489.75	USD	-46,275.00	
24JUL17	S 1 PD24OCT17	6022.00	6489.75	USD	-11,693.75	
24JUL17	S 1 PD24OCT17	6017.50	6489.75	USD	-11,806.25	
27JUL17	B 5 PD24OCT17	6329.14	6489.75	USD	20,076.25	
	NET 0 AVG BGHT 6046.25 SOLD 6022.19				**-35,480.00**	**0.00**
25JUL17	S 1 PD25OCT17	6091.50	6490.29	USD	-9,969.75	
25JUL17	B 6 PD25OCT17	6087.50	6490.29	USD	60,418.50	
25JUL17	B 1 PD25OCT17	6085.00	6490.29	USD	10,132.25	
25JUL17	B 2 PD25OCT17	6085.50	6490.29	USD	20,239.50	
25JUL17	B 8 PD25OCT17	6086.50	6490.29	USD	80,758.00	
25JUL17	B 1 PD25OCT17	6113.00	6490.29	USD	9,432.25	
25JUL17	B 2 PD25OCT17	6114.00	6490.29	USD	18,814.50	
25JUL17	B 3 PD25OCT17	6118.00	6490.29	USD	27,921.75	
25JUL17	B 2 PD25OCT17	6121.50	6490.29	USD	18,439.50	
25JUL17	B 1 PD25OCT17	6122.50	6490.29	USD	9,194.75	
25JUL17	B 2 PD25OCT17	6114.50	6490.29	USD	18,789.50	
25JUL17	B 2 PD25OCT17	6115.50	6490.29	USD	18,739.50	
25JUL17	B 2 PD25OCT17	6119.50	6490.29	USD	18,539.50	
25JUL17	B 6 PD25OCT17	6119.00	6490.29	USD	55,693.50	
25JUL17	B 3 PD25OCT17	6121.00	6490.29	USD	27,696.75	
25JUL17	B 3 PD25OCT17	6118.50	6490.29	USD	27,884.25	
25JUL17	B 2 PD25OCT17	6116.50	6490.29	USD	18,689.50	
25JUL17	B 1 PD25OCT17	6115.00	6490.29	USD	9,382.25	
25JUL17	B 2 PD25OCT17	6117.50	6490.29	USD	18,639.50	
25JUL17	B 1 PD25OCT17	6120.50	6490.29	USD	9,244.75	
25JUL17	B 4 PD25OCT17	6120.00	6490.29	USD	37,029.00	
25JUL17	B 9 PD25OCT17	6117.00	6490.29	USD	83,990.25	
25JUL17	B 7 PD25OCT17	6116.00	6490.29	USD	65,500.75	
25JUL17	B 1 PD25OCT17	6145.50	6490.29	USD	8,619.75	
25JUL17	B 1 PD25OCT17	6146.00	6490.29	USD	8,607.25	
25JUL17	S 7 PD25OCT17	6165.00	6490.29	USD	-56,925.75	
25JUL17	S 1 PD25OCT17	6163.50	6490.29	USD	-8,169.75	
25JUL17	S 6 PD25OCT17	6166.00	6490.29	USD	-48,643.50	
25JUL17	S 3 PD25OCT17	6167.00	6490.29	USD	-24,246.75	
25JUL17	S 3 PD25OCT17	6168.00	6490.29	USD	-24,171.75	
25JUL17	S 2 PD25OCT17	6175.00	6490.29	USD	-15,764.50	
25JUL17	S 6 PD25OCT17	6176.00	6490.29	USD	-47,143.50	
25JUL17	S 1 PD25OCT17	6186.00	6490.29	USD	-7,607.25	
25JUL17	B 1 PD25OCT17	6181.50	6490.29	USD	7,719.75	
25JUL17	B 1 PD25OCT17	6182.50	6490.29	USD	7,694.75	
25JUL17	S 2 PD25OCT17	6187.00	6490.29	USD	-15,164.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097K

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
25JUL17	S 1 PD25OCT17	6193.00	6490.29	USD	-7,432.25	
25JUL17	B 1 PD25OCT17	6195.00	6490.29	USD	7,382.25	
25JUL17	B 1 PD25OCT17	6196.00	6490.29	USD	7,357.25	
25JUL17	B 4 PD25OCT17	6193.50	6490.29	USD	29,679.00	
25JUL17	B 2 PD25OCT17	6193.00	6490.29	USD	14,864.50	
25JUL17	B 5 PD25OCT17	6192.50	6490.29	USD	37,223.75	
25JUL17	S 5 PD25OCT17	6093.00	6490.29	USD	-49,661.25	
25JUL17	S 1 PD25OCT17	6091.50	6490.29	USD	-9,969.75	
25JUL17	B 1 PD25OCT17	6174.50	6490.29	USD	7,894.75	
25JUL17	B 5 PD25OCT17	6174.00	6490.29	USD	39,536.25	
25JUL17	B 2 PD25OCT17	6175.00	6490.29	USD	15,764.50	
25JUL17	B 2 PD25OCT17	6176.00	6490.29	USD	15,714.50	
25JUL17	B 3 PD25OCT17	6177.00	6490.29	USD	23,496.75	
25JUL17	B 1 PD25OCT17	6179.00	6490.29	USD	7,782.25	
25JUL17	B 1 PD25OCT17	6179.50	6490.29	USD	7,769.75	
25JUL17	B 1 PD25OCT17	6182.00	6490.29	USD	7,707.25	
25JUL17	B 8 PD25OCT17	6196.50	6490.29	USD	58,758.00	
25JUL17	B 15 PD25OCT17	6195.50	6490.29	USD	110,546.25	
25JUL17	B 1 PD25OCT17	6199.50	6490.29	USD	7,269.75	
25JUL17	B 1 PD25OCT17	6198.50	6490.29	USD	7,294.75	
25JUL17	B 1 PD25OCT17	6201.00	6490.29	USD	7,232.25	
25JUL17	S 2 PD25OCT17	6219.00	6490.29	USD	-13,564.50	
25JUL17	S 1 PD25OCT17	6218.00	6490.29	USD	-6,807.25	
25JUL17	B 3 PD25OCT17	6210.50	6490.29	USD	20,984.25	
25JUL17	B 3 PD25OCT17	6194.50	6490.29	USD	22,184.25	
25JUL17	B 1 PD25OCT17	6194.00	6490.29	USD	7,407.25	
25JUL17	S 1 PD25OCT17	6207.00	6490.29	USD	-7,082.25	
25JUL17	S 1 PD25OCT17	6202.50	6490.29	USD	-7,194.75	
25JUL17	B 2 PD25OCT17	6182.00	6490.29	USD	15,414.50	
25JUL17	B 1 PD25OCT17	6199.00	6490.29	USD	7,282.25	
25JUL17	B 2 PD25OCT17	6221.00	6490.29	USD	13,464.50	
25JUL17	B 1 PD25OCT17	6220.50	6490.29	USD	6,744.75	
25JUL17	B 3 PD25OCT17	6218.50	6490.29	USD	20,384.25	
25JUL17	S 2 PD25OCT17	6226.50	6490.29	USD	-13,189.50	
25JUL17	S 2 PD25OCT17	6226.00	6490.29	USD	-13,214.50	
25JUL17	B 1 PD25OCT17	6222.00	6490.29	USD	6,707.25	
25JUL17	B 2 PD25OCT17	6227.00	6490.29	USD	13,164.50	
25JUL17	B 2 PD25OCT17	6225.00	6490.29	USD	13,264.50	
25JUL17	B 1 PD25OCT17	6226.00	6490.29	USD	6,607.25	
25JUL17	B 4 PD25OCT17	6227.50	6490.29	USD	26,279.00	
25JUL17	B 4 PD25OCT17	6228.50	6490.29	USD	26,179.00	
25JUL17	B 1 PD25OCT17	6223.00	6490.29	USD	6,682.25	
25JUL17	B 2 PD25OCT17	6219.50	6490.29	USD	13,539.50	
25JUL17	B 1 PD25OCT17	6229.50	6490.29	USD	6,519.75	
25JUL17	B 1 PD25OCT17	6264.50	6490.29	USD	5,644.75	
25JUL17	B 1 PD25OCT17	6260.00	6490.29	USD	5,757.25	
25JUL17	B 1 PD25OCT17	6258.00	6490.29	USD	5,807.25	
25JUL17	B 2 PD25OCT17	6256.50	6490.29	USD	11,689.50	
25JUL17	B 1 PD25OCT17	6263.50	6490.29	USD	5,669.75	
25JUL17	B 3 PD25OCT17	6261.00	6490.29	USD	17,196.75	
25JUL17	S 1 PD25OCT17	6249.00	6490.29	USD	-6,032.25	
25JUL17	S 1 PD25OCT17	6250.00	6490.29	USD	-6,007.25	
25JUL17	S 2 PD25OCT17	6249.50	6490.29	USD	-12,039.50	
25JUL17	S 4 PD25OCT17	6251.00	6490.29	USD	-23,929.00	
25JUL17	S 1 PD25OCT17	6251.50	6490.29	USD	-5,969.75	
25JUL17	S 3 PD25OCT17	6250.50	6490.29	USD	-17,984.25	
25JUL17	S 3 PD25OCT17	6252.50	6490.29	USD	-17,834.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097K

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
25JUL17	S 2 PD25OCT17	6258.00	6490.29	USD	-11,614.50	
25JUL17	S 1 PD25OCT17	6257.50	6490.29	USD	-5,819.75	
25JUL17	S 2 PD25OCT17	6253.50	6490.29	USD	-11,839.50	
25JUL17	S 1 PD25OCT17	6256.50	6490.29	USD	-5,844.75	
25JUL17	S 1 PD25OCT17	6255.00	6490.29	USD	-5,882.25	
25JUL17	S 2 PD25OCT17	6258.50	6490.29	USD	-11,589.50	
25JUL17	S 5 PD25OCT17	6259.50	6490.29	USD	-28,848.75	
27JUL17	S 5 PD25OCT17	6329.23	6490.29	USD	-20,132.50	
27JUL17	S 45 PD25OCT17	6329.43	6490.29	USD	-180,967.50	
28JUL17	S 15 PD25OCT17	6324.29	6490.29	USD	-62,250.00	
01AUG17	S 30 PD25OCT17	6342.50	6490.29	USD	-110,842.50	
	NET 0 AVG BGHT 6165.72 SOLD 6273.70				**464,308.75**	**0.00**
26JUL17	S 2 PD26OCT17	6349.00	6490.82	USD	-7,091.00	
26JUL17	S 2 PD26OCT17	6344.00	6490.82	USD	-7,341.00	
26JUL17	S 1 PD26OCT17	6343.00	6490.82	USD	-3,695.50	
26JUL17	S 2 PD26OCT17	6348.00	6490.82	USD	-7,141.00	
26JUL17	S 3 PD26OCT17	6349.50	6490.82	USD	-10,599.00	
26JUL17	S 1 PD26OCT17	6346.00	6490.82	USD	-3,620.50	
26JUL17	S 3 PD26OCT17	6357.00	6490.82	USD	-10,036.50	
26JUL17	S 2 PD26OCT17	6357.50	6490.82	USD	-6,666.00	
26JUL17	S 1 PD26OCT17	6359.00	6490.82	USD	-3,295.50	
26JUL17	S 3 PD26OCT17	6356.50	6490.82	USD	-10,074.00	
26JUL17	S 1 PD26OCT17	6370.00	6490.82	USD	-3,020.50	
26JUL17	S 1 PD26OCT17	6369.50	6490.82	USD	-3,033.00	
26JUL17	S 3 PD26OCT17	6370.50	6490.82	USD	-9,024.00	
26JUL17	S 3 PD26OCT17	6377.50	6490.82	USD	-8,499.00	
26JUL17	S 1 PD26OCT17	6378.50	6490.82	USD	-2,808.00	
26JUL17	S 5 PD26OCT17	6380.50	6490.82	USD	-13,790.00	
26JUL17	S 2 PD26OCT17	6380.00	6490.82	USD	-5,541.00	
26JUL17	S 3 PD26OCT17	6382.00	6490.82	USD	-8,161.50	
26JUL17	S 5 PD26OCT17	6383.00	6490.82	USD	-13,477.50	
26JUL17	S 4 PD26OCT17	6387.00	6490.82	USD	-10,382.00	
26JUL17	S 2 PD26OCT17	6343.50	6490.82	USD	-7,366.00	
26JUL17	S 7 PD26OCT17	6347.50	6490.82	USD	-25,081.00	
26JUL17	S 6 PD26OCT17	6348.50	6490.82	USD	-21,348.00	
26JUL17	S 4 PD26OCT17	6350.00	6490.82	USD	-14,082.00	
26JUL17	S 4 PD26OCT17	6350.50	6490.82	USD	-14,032.00	
26JUL17	S 9 PD26OCT17	6346.50	6490.82	USD	-32,472.00	
26JUL17	S 1 PD26OCT17	6352.00	6490.82	USD	-3,470.50	
26JUL17	S 1 PD26OCT17	6341.50	6490.82	USD	-3,733.00	
26JUL17	S 1 PD26OCT17	6336.00	6490.82	USD	-3,870.50	
26JUL17	B 1 PD26OCT17	6336.00	6490.82	USD	3,870.50	
26JUL17	B 1 PD26OCT17	6335.00	6490.82	USD	3,895.50	
26JUL17	B 4 PD26OCT17	6306.00	6490.82	USD	18,482.00	
26JUL17	B 1 PD26OCT17	6314.50	6490.82	USD	4,408.00	
26JUL17	B 3 PD26OCT17	6316.00	6490.82	USD	13,111.50	
26JUL17	B 2 PD26OCT17	6316.50	6490.82	USD	8,716.00	
26JUL17	B 3 PD26OCT17	6317.50	6490.82	USD	12,999.00	
26JUL17	B 1 PD26OCT17	6309.50	6490.82	USD	4,533.00	
26JUL17	B 3 PD26OCT17	6310.00	6490.82	USD	13,561.50	
26JUL17	B 6 PD26OCT17	6309.00	6490.82	USD	27,273.00	
26JUL17	B 1 PD26OCT17	6302.50	6490.82	USD	4,708.00	
26JUL17	B 2 PD26OCT17	6302.00	6490.82	USD	9,441.00	
26JUL17	B 1 PD26OCT17	6296.50	6490.82	USD	4,858.00	
26JUL17	B 2 PD26OCT17	6301.00	6490.82	USD	9,491.00	
26JUL17	B 2 PD26OCT17	6301.50	6490.82	USD	9,466.00	
26JUL17	B 1 PD26OCT17	6300.00	6490.82	USD	4,770.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097K

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
26JUL17	B 1 PD26OCT17	6291.00	6490.82	USD	4,995.50	
26JUL17	B 7 PD26OCT17	6298.00	6490.82	USD	33,743.50	
26JUL17	B 9 PD26OCT17	6297.00	6490.82	USD	43,609.50	
26JUL17	B 7 PD26OCT17	6295.00	6490.82	USD	34,268.50	
26JUL17	B 2 PD26OCT17	6298.50	6490.82	USD	9,616.00	
26JUL17	B 1 PD26OCT17	6299.50	6490.82	USD	4,783.00	
26JUL17	B 1 PD26OCT17	6299.00	6490.82	USD	4,795.50	
26JUL17	B 2 PD26OCT17	6292.50	6490.82	USD	9,916.00	
26JUL17	B 5 PD26OCT17	6296.00	6490.82	USD	24,352.50	
26JUL17	B 3 PD26OCT17	6295.50	6490.82	USD	14,649.00	
26JUL17	B 1 PD26OCT17	6294.00	6490.82	USD	4,920.50	
26JUL17	B 8 PD26OCT17	6294.50	6490.82	USD	39,264.00	
26JUL17	B 1 PD26OCT17	6291.50	6490.82	USD	4,983.00	
26JUL17	B 1 PD26OCT17	6289.50	6490.82	USD	5,033.00	
26JUL17	B 5 PD26OCT17	6293.50	6490.82	USD	24,665.00	
26JUL17	B 1 PD26OCT17	6293.00	6490.82	USD	4,945.50	
26JUL17	B 1 PD26OCT17	6292.00	6490.82	USD	4,970.50	
26JUL17	B 1 PD26OCT17	6290.50	6490.82	USD	5,008.00	
26JUL17	B 2 PD26OCT17	6283.50	6490.82	USD	10,366.00	
26JUL17	B 3 PD26OCT17	6285.00	6490.82	USD	15,436.50	
26JUL17	B 4 PD26OCT17	6287.00	6490.82	USD	20,382.00	
26JUL17	B 2 PD26OCT17	6289.00	6490.82	USD	10,091.00	
26JUL17	B 3 PD26OCT17	6288.00	6490.82	USD	15,211.50	
26JUL17	B 2 PD26OCT17	6272.50	6490.82	USD	10,916.00	
26JUL17	B 1 PD26OCT17	6271.50	6490.82	USD	5,483.00	
26JUL17	B 1 PD26OCT17	6273.50	6490.82	USD	5,433.00	
26JUL17	B 1 PD26OCT17	6276.00	6490.82	USD	5,370.50	
26JUL17	B 1 PD26OCT17	6282.50	6490.82	USD	5,208.00	
26JUL17	B 2 PD26OCT17	6283.00	6490.82	USD	10,391.00	
26JUL17	B 6 PD26OCT17	6281.50	6490.82	USD	31,398.00	
26JUL17	B 8 PD26OCT17	6280.50	6490.82	USD	42,064.00	
26JUL17	B 6 PD26OCT17	6284.00	6490.82	USD	31,023.00	
26JUL17	S 1 PD26OCT17	6358.00	6490.82	USD	-3,320.50	
26JUL17	S 1 PD26OCT17	6383.00	6490.82	USD	-2,695.50	
26JUL17	S 1 PD26OCT17	6388.00	6490.82	USD	-2,570.50	
26JUL17	B 1 PD26OCT17	6316.50	6490.82	USD	4,358.00	
26JUL17	B 1 PD26OCT17	6310.00	6490.82	USD	4,520.50	
26JUL17	B 1 PD26OCT17	6282.00	6490.82	USD	5,220.50	
26JUL17	B 3 PD26OCT17	6279.00	6490.82	USD	15,886.50	
26JUL17	B 1 PD26OCT17	6296.00	6490.82	USD	4,870.50	
26JUL17	S 3 PD26OCT17	6295.50	6490.82	USD	-14,649.00	
26JUL17	S 6 PD26OCT17	6296.50	6490.82	USD	-29,148.00	
26JUL17	S 1 PD26OCT17	6297.00	6490.82	USD	-4,845.50	
26JUL17	S 1 PD26OCT17	6294.50	6490.82	USD	-4,908.00	
26JUL17	S 3 PD26OCT17	6295.00	6490.82	USD	-14,686.50	
26JUL17	S 7 PD26OCT17	6296.00	6490.82	USD	-34,093.50	
26JUL17	S 1 PD26OCT17	6298.50	6490.82	USD	-4,808.00	
26JUL17	S 10 PD26OCT17	6298.00	6490.82	USD	-48,205.00	
26JUL17	S 2 PD26OCT17	6299.00	6490.82	USD	-9,591.00	
26JUL17	S 2 PD26OCT17	6299.50	6490.82	USD	-9,566.00	
26JUL17	S 3 PD26OCT17	6300.00	6490.82	USD	-14,311.50	
26JUL17	S 3 PD26OCT17	6301.00	6490.82	USD	-14,236.50	
26JUL17	S 1 PD26OCT17	6304.50	6490.82	USD	-4,658.00	
26JUL17	S 2 PD26OCT17	6301.50	6490.82	USD	-9,466.00	
26JUL17	S 3 PD26OCT17	6302.50	6490.82	USD	-14,124.00	
26JUL17	S 5 PD26OCT17	6304.00	6490.82	USD	-23,352.50	
26JUL17	S 2 PD26OCT17	6303.50	6490.82	USD	-9,366.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097K

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT							
26JUL17	S	4	PD26OCT17	6315.00	6490.82	USD	-17,582.00	
26JUL17	S	4	PD26OCT17	6316.50	6490.82	USD	-17,432.00	
26JUL17	S	1	PD26OCT17	6317.00	6490.82	USD	-4,345.50	
26JUL17	S	1	PD26OCT17	6319.00	6490.82	USD	-4,295.50	
26JUL17	S	2	PD26OCT17	6318.00	6490.82	USD	-8,641.00	
26JUL17	S	1	PD26OCT17	6318.50	6490.82	USD	-4,308.00	
26JUL17	S	2	PD26OCT17	6317.50	6490.82	USD	-8,666.00	
26JUL17	S	2	PD26OCT17	6315.50	6490.82	USD	-8,766.00	
26JUL17	S	2	PD26OCT17	6313.00	6490.82	USD	-8,891.00	
26JUL17	S	2	PD26OCT17	6327.50	6490.82	USD	-8,166.00	
26JUL17	S	6	PD26OCT17	6329.00	6490.82	USD	-24,273.00	
26JUL17	S	1	PD26OCT17	6330.50	6490.82	USD	-4,008.00	
26JUL17	S	10	PD26OCT17	6330.00	6490.82	USD	-40,205.00	
26JUL17	S	1	PD26OCT17	6331.00	6490.82	USD	-3,995.50	
26JUL17	S	2	PD26OCT17	6333.50	6490.82	USD	-7,866.00	
26JUL17	S	1	PD26OCT17	6332.00	6490.82	USD	-3,970.50	
26JUL17	S	1	PD26OCT17	6333.00	6490.82	USD	-3,945.50	
26JUL17	S	1	PD26OCT17	6335.50	6490.82	USD	-3,883.00	
26JUL17	S	1	PD26OCT17	6334.50	6490.82	USD	-3,908.00	
26JUL17	S	1	PD26OCT17	6339.00	6490.82	USD	-3,795.50	
26JUL17	S	1	PD26OCT17	6340.00	6490.82	USD	-3,770.50	
26JUL17	B	2	PD26OCT17	6328.00	6490.82	USD	8,141.00	
26JUL17	B	2	PD26OCT17	6326.50	6490.82	USD	8,216.00	
26JUL17	S	2	PD26OCT17	6295.50	6490.82	USD	-9,766.00	
26JUL17	S	1	PD26OCT17	6296.00	6490.82	USD	-4,870.50	
26JUL17	S	1	PD26OCT17	6297.50	6490.82	USD	-4,833.00	
26JUL17	S	2	PD26OCT17	6296.50	6490.82	USD	-9,716.00	
26JUL17	S	1	PD26OCT17	6297.00	6490.82	USD	-4,845.50	
26JUL17	S	1	PD26OCT17	6298.00	6490.82	USD	-4,820.50	
26JUL17	B	6	PD26OCT17	6332.00	6490.82	USD	23,823.00	
26JUL17	B	1	PD26OCT17	6331.00	6490.82	USD	3,995.50	
26JUL17	B	2	PD26OCT17	6329.50	6490.82	USD	8,066.00	
26JUL17	B	1	PD26OCT17	6330.50	6490.82	USD	4,008.00	
26JUL17	B	1	PD26OCT17	6327.50	6490.82	USD	4,083.00	
26JUL17	B	1	PD26OCT17	6342.50	6490.82	USD	3,708.00	
26JUL17	B	2	PD26OCT17	6346.50	6490.82	USD	7,216.00	
26JUL17	B	1	PD26OCT17	6345.50	6490.82	USD	3,633.00	
26JUL17	S	1	PD26OCT17	6310.50	6490.82	USD	-4,508.00	
26JUL17	S	5	PD26OCT17	6311.00	6490.82	USD	-22,477.50	
26JUL17	S	3	PD26OCT17	6310.00	6490.82	USD	-13,561.50	
26JUL17	B	1	PD26OCT17	6304.50	6490.82	USD	4,658.00	
27JUL17	B	45	PD26OCT17	6329.91	6490.82	USD	181,023.75	
	NET	**0**	**AVG BGHT 6306.18**	**SOLD 6330.92**			**126,838.75**	**0.00**
27JUL17	B	1	PD27OCT17	6345.50	6491.36	USD	3,646.50	
27JUL17	B	2	PD27OCT17	6344.00	6491.36	USD	7,368.00	
27JUL17	B	1	PD27OCT17	6342.00	6491.36	USD	3,734.00	
27JUL17	B	4	PD27OCT17	6339.50	6491.36	USD	15,186.00	
27JUL17	B	2	PD27OCT17	6343.00	6491.36	USD	7,418.00	
27JUL17	B	5	PD27OCT17	6341.50	6491.36	USD	18,732.50	
27JUL17	S	1	PD27OCT17	6345.50	6491.36	USD	-3,646.50	
27JUL17	S	1	PD27OCT17	6347.00	6491.36	USD	-3,609.00	
27JUL17	S	2	PD27OCT17	6344.50	6491.36	USD	-7,343.00	
27JUL17	S	1	PD27OCT17	6347.50	6491.36	USD	-3,596.50	
27JUL17	S	1	PD27OCT17	6355.50	6491.36	USD	-3,396.50	
27JUL17	S	1	PD27OCT17	6367.00	6491.36	USD	-3,109.00	
27JUL17	B	4	PD27OCT17	6358.00	6491.36	USD	13,336.00	
27JUL17	B	1	PD27OCT17	6359.00	6491.36	USD	3,309.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097K

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
27JUL17	B 2 PD27OCT17	6356.50	6491.36	USD	6,743.00	
27JUL17	B 2 PD27OCT17	6357.00	6491.36	USD	6,718.00	
27JUL17	S 3 PD27OCT17	6354.00	6491.36	USD	-10,302.00	
27JUL17	S 4 PD27OCT17	6353.00	6491.36	USD	-13,836.00	
27JUL17	S 5 PD27OCT17	6352.50	6491.36	USD	-17,357.50	
27JUL17	S 1 PD27OCT17	6341.50	6491.36	USD	-3,746.50	
27JUL17	S 1 PD27OCT17	6342.00	6491.36	USD	-3,734.00	
27JUL17	S 5 PD27OCT17	6353.50	6491.36	USD	-17,232.50	
27JUL17	S 3 PD27OCT17	6355.00	6491.36	USD	-10,227.00	
27JUL17	S 3 PD27OCT17	6351.50	6491.36	USD	-10,489.50	
27JUL17	S 2 PD27OCT17	6350.50	6491.36	USD	-7,043.00	
27JUL17	S 5 PD27OCT17	6348.50	6491.36	USD	-17,857.50	
28JUL17	B 1 PD27OCT17	6316.50	6491.36	USD	4,371.50	
28JUL17	B 2 PD27OCT17	6314.00	6491.36	USD	8,868.00	
28JUL17	B 1 PD27OCT17	6310.50	6491.36	USD	4,521.50	
28JUL17	B 3 PD27OCT17	6311.50	6491.36	USD	13,489.50	
28JUL17	B 1 PD27OCT17	6309.50	6491.36	USD	4,546.50	
28JUL17	S 1 PD27OCT17	6306.50	6491.36	USD	-4,621.50	
28JUL17	S 2 PD27OCT17	6308.50	6491.36	USD	-9,143.00	
28JUL17	S 3 PD27OCT17	6306.00	6491.36	USD	-13,902.00	
28JUL17	S 1 PD27OCT17	6309.50	6491.36	USD	-4,546.50	
28JUL17	S 1 PD27OCT17	6308.00	6491.36	USD	-4,584.00	
28JUL17	S 1 PD27OCT17	6315.50	6491.36	USD	-4,396.50	
28JUL17	S 9 PD27OCT17	6315.00	6491.36	USD	-39,681.00	
28JUL17	B 12 PD27OCT17	6312.50	6491.36	USD	53,658.00	
28JUL17	B 4 PD27OCT17	6312.00	6491.36	USD	17,936.00	
28JUL17	B 5 PD27OCT17	6310.00	6491.36	USD	22,670.00	
28JUL17	B 4 PD27OCT17	6311.00	6491.36	USD	18,036.00	
28JUL17	B 1 PD27OCT17	6319.00	6491.36	USD	4,309.00	
28JUL17	B 1 PD27OCT17	6318.00	6491.36	USD	4,334.00	
28JUL17	B 3 PD27OCT17	6322.00	6491.36	USD	12,702.00	
28JUL17	S 1 PD27OCT17	6338.00	6491.36	USD	-3,834.00	
28JUL17	S 2 PD27OCT17	6334.00	6491.36	USD	-7,868.00	
28JUL17	S 2 PD27OCT17	6327.50	6491.36	USD	-8,193.00	
28JUL17	S 5 PD27OCT17	6329.50	6491.36	USD	-20,232.50	
28JUL17	S 2 PD27OCT17	6326.00	6491.36	USD	-8,268.00	
28JUL17	S 4 PD27OCT17	6328.50	6491.36	USD	-16,286.00	
28JUL17	B 1 PD27OCT17	6329.00	6491.36	USD	4,059.00	
28JUL17	S 1 PD27OCT17	6330.00	6491.36	USD	-4,034.00	
28JUL17	S 2 PD27OCT17	6329.00	6491.36	USD	-8,118.00	
28JUL17	B 1 PD27OCT17	6333.00	6491.36	USD	3,959.00	
28JUL17	B 1 PD27OCT17	6335.50	6491.36	USD	3,896.50	
28JUL17	B 2 PD27OCT17	6336.00	6491.36	USD	7,768.00	
28JUL17	B 2 PD27OCT17	6335.00	6491.36	USD	7,818.00	
28JUL17	B 2 PD27OCT17	6334.50	6491.36	USD	7,843.00	
28JUL17	B 4 PD27OCT17	6337.00	6491.36	USD	15,436.00	
28JUL17	B 1 PD27OCT17	6337.50	6491.36	USD	3,846.50	
28JUL17	B 1 PD27OCT17	6338.50	6491.36	USD	3,821.50	
28JUL17	S 2 PD27OCT17	6339.50	6491.36	USD	-7,593.00	
28JUL17	S 2 PD27OCT17	6341.50	6491.36	USD	-7,493.00	
28JUL17	B 1 PD27OCT17	6346.50	6491.36	USD	3,621.50	
28JUL17	S 1 PD27OCT17	6337.00	6491.36	USD	-3,859.00	
28JUL17	S 1 PD27OCT17	6331.00	6491.36	USD	-4,009.00	
28JUL17	S 4 PD27OCT17	6332.00	6491.36	USD	-15,936.00	
28JUL17	S 5 PD27OCT17	6325.50	6491.36	USD	-20,732.50	
28JUL17	S 1 PD27OCT17	6336.50	6491.36	USD	-3,871.50	
28JUL17	S 2 PD27OCT17	6333.00	6491.36	USD	-7,918.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097K

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
28JUL17	B 2 PD27OCT17	6325.50	6491.36	USD	8,293.00	
28JUL17	B 1 PD27OCT17	6331.50	6491.36	USD	3,996.50	
28JUL17	B 1 PD27OCT17	6330.50	6491.36	USD	4,021.50	
28JUL17	B 1 PD27OCT17	6334.00	6491.36	USD	3,934.00	
28JUL17	B 4 PD27OCT17	6338.00	6491.36	USD	15,336.00	
28JUL17	B 7 PD27OCT17	6339.50	6491.36	USD	26,575.50	
28JUL17	B 2 PD27OCT17	6341.00	6491.36	USD	7,518.00	
28JUL17	B 2 PD27OCT17	6340.00	6491.36	USD	7,568.00	
28JUL17	B 6 PD27OCT17	6340.50	6491.36	USD	22,629.00	
28JUL17	B 5 PD27OCT17	6344.00	6491.36	USD	18,420.00	
28JUL17	B 2 PD27OCT17	6343.00	6491.36	USD	7,418.00	
28JUL17	S 3 PD27OCT17	6335.50	6491.36	USD	-11,689.50	
28JUL17	S 3 PD27OCT17	6334.50	6491.36	USD	-11,764.50	
28JUL17	S 5 PD27OCT17	6336.00	6491.36	USD	-19,420.00	
28JUL17	S 18 PD27OCT17	6335.00	6491.36	USD	-70,362.00	
28JUL17	S 4 PD27OCT17	6332.50	6491.36	USD	-15,886.00	
28JUL17	S 1 PD27OCT17	6331.50	6491.36	USD	-3,996.50	
28JUL17	S 7 PD27OCT17	6326.50	6491.36	USD	-28,850.50	
28JUL17	S 1 PD27OCT17	6330.50	6491.36	USD	-4,021.50	
28JUL17	S 15 PD27OCT17	6328.00	6491.36	USD	-61,260.00	
28JUL17	S 2 PD27OCT17	6344.50	6491.36	USD	-7,343.00	
28JUL17	B 1 PD27OCT17	6341.50	6491.36	USD	3,746.50	
28JUL17	B 1 PD27OCT17	6343.50	6491.36	USD	3,696.50	
28JUL17	B 15 PD27OCT17	6325.20	6491.36	USD	62,310.00	
28JUL17	B 2 PD27OCT17	6344.50	6491.36	USD	7,343.00	
28JUL17	S 2 PD27OCT17	6336.00	6491.36	USD	-7,768.00	
01AUG17	B 25 PD27OCT17	6343.70	6491.36	USD	92,287.50	
	NET 0 AVG BGHT 6333.22 SOLD 6334.45				**4,787.50**	**0.00**
31JUL17	S 2 PD31OCT17	6377.00	6493.50	USD	-5,825.00	
31JUL17	S 1 PD31OCT17	6378.00	6493.50	USD	-2,887.50	
31JUL17	S 2 PD31OCT17	6375.50	6493.50	USD	-5,900.00	
31JUL17	B 3 PD31OCT17	6418.50	6493.50	USD	5,625.00	
31JUL17	B 6 PD31OCT17	6419.00	6493.50	USD	11,175.00	
31JUL17	B 6 PD31OCT17	6420.00	6493.50	USD	11,025.00	
31JUL17	B 1 PD31OCT17	6416.50	6493.50	USD	1,925.00	
31JUL17	B 1 PD31OCT17	6426.50	6493.50	USD	1,675.00	
31JUL17	B 4 PD31OCT17	6427.50	6493.50	USD	6,600.00	
31JUL17	S 2 PD31OCT17	6413.00	6493.50	USD	-4,025.00	
31JUL17	S 2 PD31OCT17	6411.50	6493.50	USD	-4,100.00	
31JUL17	S 4 PD31OCT17	6414.00	6493.50	USD	-7,950.00	
31JUL17	S 2 PD31OCT17	6415.00	6493.50	USD	-3,925.00	
31JUL17	S 1 PD31OCT17	6406.50	6493.50	USD	-2,175.00	
31JUL17	S 2 PD31OCT17	6407.50	6493.50	USD	-4,300.00	
31JUL17	S 2 PD31OCT17	6409.00	6493.50	USD	-4,225.00	
31JUL17	S 1 PD31OCT17	6404.50	6493.50	USD	-2,225.00	
31JUL17	B 1 PD31OCT17	6410.50	6493.50	USD	2,075.00	
31JUL17	S 1 PD31OCT17	6392.50	6493.50	USD	-2,525.00	
31JUL17	S 1 PD31OCT17	6396.00	6493.50	USD	-2,437.50	
31JUL17	S 1 PD31OCT17	6397.50	6493.50	USD	-2,400.00	
31JUL17	S 4 PD31OCT17	6399.00	6493.50	USD	-9,450.00	
31JUL17	S 3 PD31OCT17	6400.00	6493.50	USD	-7,012.50	
31JUL17	S 6 PD31OCT17	6394.50	6493.50	USD	-14,850.00	
31JUL17	S 1 PD31OCT17	6385.50	6493.50	USD	-2,700.00	
31JUL17	S 2 PD31OCT17	6384.50	6493.50	USD	-5,450.00	
31JUL17	S 4 PD31OCT17	6385.00	6493.50	USD	-10,850.00	
31JUL17	S 1 PD31OCT17	6384.00	6493.50	USD	-2,737.50	
31JUL17	S 2 PD31OCT17	6382.00	6493.50	USD	-5,575.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097K

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
31JUL17	S 3 PD31OCT17	6381.00	6493.50	USD	-8,437.50	
31JUL17	S 2 PD31OCT17	6382.50	6493.50	USD	-5,550.00	
31JUL17	S 2 PD31OCT17	6383.50	6493.50	USD	-5,500.00	
31JUL17	S 1 PD31OCT17	6380.00	6493.50	USD	-2,837.50	
31JUL17	S 1 PD31OCT17	6410.00	6493.50	USD	-2,087.50	
31JUL17	S 1 PD31OCT17	6384.50	6493.50	USD	-2,725.00	
31JUL17	B 1 PD31OCT17	6387.50	6493.50	USD	2,650.00	
31JUL17	B 1 PD31OCT17	6386.50	6493.50	USD	2,675.00	
31JUL17	B 1 PD31OCT17	6385.50	6493.50	USD	2,700.00	
31JUL17	B 2 PD31OCT17	6384.50	6493.50	USD	5,450.00	
31JUL17	S 2 PD31OCT17	6370.00	6493.50	USD	-6,175.00	
31JUL17	S 1 PD31OCT17	6371.50	6493.50	USD	-3,050.00	
31JUL17	S 1 PD31OCT17	6372.50	6493.50	USD	-3,025.00	
31JUL17	B 2 PD31OCT17	6364.50	6493.50	USD	6,450.00	
31JUL17	B 1 PD31OCT17	6363.50	6493.50	USD	3,250.00	
31JUL17	B 1 PD31OCT17	6363.00	6493.50	USD	3,262.50	
31JUL17	S 1 PD31OCT17	6354.00	6493.50	USD	-3,487.50	
31JUL17	S 1 PD31OCT17	6356.50	6493.50	USD	-3,425.00	
31JUL17	S 2 PD31OCT17	6360.50	6493.50	USD	-6,650.00	
31JUL17	B 1 PD31OCT17	6370.00	6493.50	USD	3,087.50	
31JUL17	B 1 PD31OCT17	6376.50	6493.50	USD	2,925.00	
31JUL17	B 2 PD31OCT17	6378.00	6493.50	USD	5,775.00	
31JUL17	B 4 PD31OCT17	6377.00	6493.50	USD	11,650.00	
31JUL17	B 1 PD31OCT17	6376.00	6493.50	USD	2,937.50	
31JUL17	B 5 PD31OCT17	6369.00	6493.50	USD	15,562.50	
31JUL17	B 5 PD31OCT17	6365.50	6493.50	USD	16,000.00	
31JUL17	S 1 PD31OCT17	6368.00	6493.50	USD	-3,137.50	
31JUL17	S 6 PD31OCT17	6366.50	6493.50	USD	-19,050.00	
31JUL17	S 9 PD31OCT17	6367.50	6493.50	USD	-28,350.00	
31JUL17	S 2 PD31OCT17	6367.00	6493.50	USD	-6,325.00	
31JUL17	S 7 PD31OCT17	6365.50	6493.50	USD	-22,400.00	
31JUL17	S 4 PD31OCT17	6366.00	6493.50	USD	-12,750.00	
31JUL17	B 1 PD31OCT17	6372.00	6493.50	USD	3,037.50	
31JUL17	B 1 PD31OCT17	6371.50	6493.50	USD	3,050.00	
31JUL17	B 3 PD31OCT17	6370.50	6493.50	USD	9,225.00	
31JUL17	S 3 PD31OCT17	6374.50	6493.50	USD	-8,925.00	
31JUL17	B 4 PD31OCT17	6373.50	6493.50	USD	12,000.00	
31JUL17	B 1 PD31OCT17	6368.50	6493.50	USD	3,125.00	
31JUL17	B 2 PD31OCT17	6372.00	6493.50	USD	6,075.00	
01AUG17	B 5 PD31OCT17	6344.90	6493.50	USD	18,575.00	
07AUG17	B 30 PD31OCT17	6411.14	6493.50	USD	61,770.00	
	NET 0 AVG BGHT 6393.98 SOLD 6383.23				**-26,080.00**	**0.00**
01AUG17	B 2 PD01NOV17	6379.50	6494.09	USD	5,729.50	
01AUG17	B 5 PD01NOV17	6380.50	6494.09	USD	14,198.75	
01AUG17	B 6 PD01NOV17	6380.00	6494.09	USD	17,113.50	
01AUG17	B 7 PD01NOV17	6381.00	6494.09	USD	19,790.75	
01AUG17	B 1 PD01NOV17	6379.00	6494.09	USD	2,877.25	
01AUG17	B 1 PD01NOV17	6367.50	6494.09	USD	3,164.75	
01AUG17	B 1 PD01NOV17	6368.50	6494.09	USD	3,139.75	
01AUG17	S 1 PD01NOV17	6361.00	6494.09	USD	-3,327.25	
01AUG17	S 1 PD01NOV17	6362.00	6494.09	USD	-3,302.25	
01AUG17	S 2 PD01NOV17	6353.50	6494.09	USD	-7,029.50	
01AUG17	S 3 PD01NOV17	6354.50	6494.09	USD	-10,469.25	
01AUG17	S 1 PD01NOV17	6352.00	6494.09	USD	-3,552.25	
01AUG17	S 1 PD01NOV17	6354.00	6494.09	USD	-3,502.25	
01AUG17	S 3 PD01NOV17	6353.00	6494.09	USD	-10,581.75	
01AUG17	S 5 PD01NOV17	6352.50	6494.09	USD	-17,698.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097K

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
01AUG17	B 3 PD01NOV17	6348.50	6494.09	USD	10,919.25	
01AUG17	B 1 PD01NOV17	6347.50	6494.09	USD	3,664.75	
01AUG17	B 1 PD01NOV17	6347.00	6494.09	USD	3,677.25	
01AUG17	B 1 PD01NOV17	6349.50	6494.09	USD	3,614.75	
01AUG17	B 1 PD01NOV17	6350.50	6494.09	USD	3,589.75	
01AUG17	B 1 PD01NOV17	6354.00	6494.09	USD	3,502.25	
01AUG17	B 2 PD01NOV17	6352.00	6494.09	USD	7,104.50	
01AUG17	B 2 PD01NOV17	6332.50	6494.09	USD	8,079.50	
01AUG17	B 1 PD01NOV17	6332.00	6494.09	USD	4,052.25	
01AUG17	B 1 PD01NOV17	6331.50	6494.09	USD	4,064.75	
01AUG17	B 1 PD01NOV17	6331.00	6494.09	USD	4,077.25	
01AUG17	B 2 PD01NOV17	6335.00	6494.09	USD	7,954.50	
01AUG17	B 2 PD01NOV17	6334.00	6494.09	USD	8,004.50	
01AUG17	B 2 PD01NOV17	6338.50	6494.09	USD	7,779.50	
01AUG17	B 5 PD01NOV17	6335.50	6494.09	USD	19,823.75	
01AUG17	B 4 PD01NOV17	6340.50	6494.09	USD	15,359.00	
01AUG17	B 3 PD01NOV17	6351.00	6494.09	USD	10,731.75	
01AUG17	B 1 PD01NOV17	6349.00	6494.09	USD	3,627.25	
01AUG17	B 2 PD01NOV17	6348.00	6494.09	USD	7,304.50	
01AUG17	B 1 PD01NOV17	6345.00	6494.09	USD	3,727.25	
01AUG17	B 1 PD01NOV17	6334.50	6494.09	USD	3,989.75	
01AUG17	B 2 PD01NOV17	6337.00	6494.09	USD	7,854.50	
01AUG17	B 2 PD01NOV17	6338.00	6494.09	USD	7,804.50	
01AUG17	B 1 PD01NOV17	6336.50	6494.09	USD	3,939.75	
01AUG17	B 20 PD01NOV17	6336.00	6494.09	USD	79,045.00	
01AUG17	B 1 PD01NOV17	6333.50	6494.09	USD	4,014.75	
01AUG17	B 1 PD01NOV17	6379.00	6494.09	USD	2,877.25	
01AUG17	S 2 PD01NOV17	6362.00	6494.09	USD	-6,604.50	
01AUG17	B 2 PD01NOV17	6340.50	6494.09	USD	7,679.50	
01AUG17	B 1 PD01NOV17	6333.50	6494.09	USD	4,014.75	
01AUG17	B 1 PD01NOV17	6336.00	6494.09	USD	3,952.25	
01AUG17	S 12 PD01NOV17	6356.50	6494.09	USD	-41,277.00	
01AUG17	S 2 PD01NOV17	6357.00	6494.09	USD	-6,854.50	
01AUG17	S 7 PD01NOV17	6355.50	6494.09	USD	-24,253.25	
01AUG17	S 1 PD01NOV17	6347.00	6494.09	USD	-3,677.25	
01AUG17	S 3 PD01NOV17	6343.00	6494.09	USD	-11,331.75	
01AUG17	S 1 PD01NOV17	6337.50	6494.09	USD	-3,914.75	
01AUG17	S 2 PD01NOV17	6336.50	6494.09	USD	-7,879.50	
01AUG17	S 1 PD01NOV17	6342.50	6494.09	USD	-3,789.75	
01AUG17	S 3 PD01NOV17	6335.50	6494.09	USD	-11,894.25	
01AUG17	S 1 PD01NOV17	6331.50	6494.09	USD	-4,064.75	
01AUG17	S 8 PD01NOV17	6330.00	6494.09	USD	-32,818.00	
01AUG17	S 7 PD01NOV17	6331.00	6494.09	USD	-28,540.75	
01AUG17	B 3 PD01NOV17	6325.00	6494.09	USD	12,681.75	
01AUG17	B 3 PD01NOV17	6330.50	6494.09	USD	12,269.25	
01AUG17	B 2 PD01NOV17	6324.50	6494.09	USD	8,479.50	
01AUG17	B 3 PD01NOV17	6326.50	6494.09	USD	12,569.25	
01AUG17	B 9 PD01NOV17	6325.50	6494.09	USD	37,932.75	
01AUG17	B 1 PD01NOV17	6327.50	6494.09	USD	4,164.75	
01AUG17	B 3 PD01NOV17	6328.00	6494.09	USD	12,456.75	
01AUG17	B 3 PD01NOV17	6328.50	6494.09	USD	12,419.25	
01AUG17	B 2 PD01NOV17	6329.00	6494.09	USD	8,254.50	
01AUG17	S 1 PD01NOV17	6338.50	6494.09	USD	-3,889.75	
01AUG17	S 5 PD01NOV17	6340.00	6494.09	USD	-19,261.25	
01AUG17	S 4 PD01NOV17	6337.00	6494.09	USD	-15,709.00	
01AUG17	S 2 PD01NOV17	6338.00	6494.09	USD	-7,804.50	
01AUG17	S 2 PD01NOV17	6339.00	6494.09	USD	-7,754.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097K

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
01AUG17	S 2 PD01NOV17	6340.50	6494.09	USD	-7,679.50	
01AUG17	S 3 PD01NOV17	6341.00	6494.09	USD	-11,481.75	
01AUG17	S 7 PD01NOV17	6339.50	6494.09	USD	-27,053.25	
01AUG17	S 9 PD01NOV17	6341.50	6494.09	USD	-34,332.75	
01AUG17	S 11 PD01NOV17	6345.00	6494.09	USD	-40,999.75	
01AUG17	B 2 PD01NOV17	6358.50	6494.09	USD	6,779.50	
01AUG17	B 3 PD01NOV17	6359.00	6494.09	USD	10,131.75	
01AUG17	B 3 PD01NOV17	6355.50	6494.09	USD	10,394.25	
01AUG17	B 2 PD01NOV17	6352.50	6494.09	USD	7,079.50	
01AUG17	B 3 PD01NOV17	6353.00	6494.09	USD	10,581.75	
01AUG17	B 22 PD01NOV17	6356.50	6494.09	USD	75,674.50	
01AUG17	B 12 PD01NOV17	6357.50	6494.09	USD	40,977.00	
01AUG17	B 9 PD01NOV17	6358.00	6494.09	USD	30,620.25	
01AUG17	B 11 PD01NOV17	6357.00	6494.09	USD	37,699.75	
01AUG17	B 3 PD01NOV17	6356.00	6494.09	USD	10,356.75	
01AUG17	B 2 PD01NOV17	6355.00	6494.09	USD	6,954.50	
01AUG17	S 6 PD01NOV17	6349.00	6494.09	USD	-21,763.50	
01AUG17	S 2 PD01NOV17	6350.00	6494.09	USD	-7,204.50	
01AUG17	S 2 PD01NOV17	6347.50	6494.09	USD	-7,329.50	
01AUG17	S 6 PD01NOV17	6348.00	6494.09	USD	-21,913.50	
01AUG17	S 3 PD01NOV17	6342.00	6494.09	USD	-11,406.75	
01AUG17	S 3 PD01NOV17	6345.50	6494.09	USD	-11,144.25	
01AUG17	S 2 PD01NOV17	6346.00	6494.09	USD	-7,404.50	
01AUG17	S 6 PD01NOV17	6346.50	6494.09	USD	-22,138.50	
01AUG17	B 2 PD01NOV17	6343.50	6494.09	USD	7,529.50	
01AUG17	B 5 PD01NOV17	6342.50	6494.09	USD	18,948.75	
01AUG17	B 5 PD01NOV17	6341.50	6494.09	USD	19,073.75	
01AUG17	B 3 PD01NOV17	6339.50	6494.09	USD	11,594.25	
01AUG17	B 6 PD01NOV17	6341.00	6494.09	USD	22,963.50	
01AUG17	B 11 PD01NOV17	6342.00	6494.09	USD	41,824.75	
01AUG17	B 1 PD01NOV17	6343.00	6494.09	USD	3,777.25	
01AUG17	B 2 PD01NOV17	6344.50	6494.09	USD	7,479.50	
01AUG17	S 1 PD01NOV17	6350.00	6494.09	USD	-3,602.25	
01AUG17	B 1 PD01NOV17	6356.50	6494.09	USD	3,439.75	
07AUG17	S 30 PD01NOV17	6411.35	6494.09	USD	-62,055.00	
07AUG17	S 55 PD01NOV17	6411.55	6494.09	USD	-113,492.50	
	NET 0 AVG BGHT 6347.90 SOLD 6369.76				**125,168.75**	**0.00**
02AUG17	S 2 PD02NOV17	6314.00	6494.69	USD	-9,034.50	
02AUG17	S 2 PD02NOV17	6313.50	6494.69	USD	-9,059.50	
02AUG17	S 5 PD02NOV17	6315.50	6494.69	USD	-22,398.75	
02AUG17	S 2 PD02NOV17	6315.00	6494.69	USD	-8,984.50	
02AUG17	S 5 PD02NOV17	6316.00	6494.69	USD	-22,336.25	
02AUG17	S 1 PD02NOV17	6319.50	6494.69	USD	-4,379.75	
02AUG17	S 1 PD02NOV17	6320.50	6494.69	USD	-4,354.75	
02AUG17	S 5 PD02NOV17	6325.00	6494.69	USD	-21,211.25	
02AUG17	S 1 PD02NOV17	6328.50	6494.69	USD	-4,154.75	
02AUG17	S 2 PD02NOV17	6323.00	6494.69	USD	-8,584.50	
02AUG17	S 2 PD02NOV17	6326.00	6494.69	USD	-8,434.50	
02AUG17	S 2 PD02NOV17	6325.50	6494.69	USD	-8,459.50	
02AUG17	S 2 PD02NOV17	6324.00	6494.69	USD	-8,534.50	
02AUG17	S 2 PD02NOV17	6324.50	6494.69	USD	-8,509.50	
02AUG17	S 6 PD02NOV17	6318.50	6494.69	USD	-26,428.50	
02AUG17	S 16 PD02NOV17	6319.00	6494.69	USD	-70,276.00	
02AUG17	S 10 PD02NOV17	6320.00	6494.69	USD	-43,672.50	
02AUG17	S 5 PD02NOV17	6318.00	6494.69	USD	-22,086.25	
02AUG17	S 8 PD02NOV17	6317.00	6494.69	USD	-35,538.00	
02AUG17	S 5 PD02NOV17	6316.50	6494.69	USD	-22,273.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097K

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
02AUG17	S 1 PD02NOV17	6330.50	6494.69	USD	-4,104.75	
02AUG17	S 1 PD02NOV17	6329.00	6494.69	USD	-4,142.25	
02AUG17	S 1 PD02NOV17	6334.50	6494.69	USD	-4,004.75	
02AUG17	S 7 PD02NOV17	6333.50	6494.69	USD	-28,208.25	
02AUG17	S 5 PD02NOV17	6332.00	6494.69	USD	-20,336.25	
02AUG17	S 1 PD02NOV17	6334.00	6494.69	USD	-4,017.25	
02AUG17	S 1 PD02NOV17	6335.00	6494.69	USD	-3,992.25	
02AUG17	S 1 PD02NOV17	6335.50	6494.69	USD	-3,979.75	
02AUG17	S 7 PD02NOV17	6336.00	6494.69	USD	-27,770.75	
02AUG17	S 1 PD02NOV17	6337.00	6494.69	USD	-3,942.25	
02AUG17	S 1 PD02NOV17	6337.50	6494.69	USD	-3,929.75	
02AUG17	S 1 PD02NOV17	6341.00	6494.69	USD	-3,842.25	
02AUG17	S 1 PD02NOV17	6342.00	6494.69	USD	-3,817.25	
02AUG17	B 2 PD02NOV17	6331.00	6494.69	USD	8,184.50	
02AUG17	B 4 PD02NOV17	6329.50	6494.69	USD	16,519.00	
02AUG17	B 2 PD02NOV17	6324.50	6494.69	USD	8,509.50	
02AUG17	B 1 PD02NOV17	6327.00	6494.69	USD	4,192.25	
02AUG17	B 5 PD02NOV17	6327.50	6494.69	USD	20,898.75	
02AUG17	B 6 PD02NOV17	6328.00	6494.69	USD	25,003.50	
02AUG17	B 5 PD02NOV17	6330.00	6494.69	USD	20,586.25	
02AUG17	B 3 PD02NOV17	6328.50	6494.69	USD	12,464.25	
02AUG17	B 4 PD02NOV17	6331.50	6494.69	USD	16,319.00	
02AUG17	B 11 PD02NOV17	6330.50	6494.69	USD	45,152.25	
02AUG17	B 3 PD02NOV17	6334.00	6494.69	USD	12,051.75	
02AUG17	B 4 PD02NOV17	6332.00	6494.69	USD	16,269.00	
02AUG17	B 2 PD02NOV17	6333.00	6494.69	USD	8,084.50	
02AUG17	B 7 PD02NOV17	6332.50	6494.69	USD	28,383.25	
02AUG17	B 9 PD02NOV17	6333.50	6494.69	USD	36,267.75	
02AUG17	B 4 PD02NOV17	6334.50	6494.69	USD	16,019.00	
02AUG17	B 1 PD02NOV17	6336.00	6494.69	USD	3,967.25	
02AUG17	S 1 PD02NOV17	6324.00	6494.69	USD	-4,267.25	
02AUG17	B 7 PD02NOV17	6336.50	6494.69	USD	27,683.25	
02AUG17	B 3 PD02NOV17	6341.00	6494.69	USD	11,526.75	
02AUG17	S 5 PD02NOV17	6339.00	6494.69	USD	-19,461.25	
02AUG17	S 1 PD02NOV17	6339.50	6494.69	USD	-3,879.75	
02AUG17	S 2 PD02NOV17	6343.00	6494.69	USD	-7,584.50	
02AUG17	S 1 PD02NOV17	6343.50	6494.69	USD	-3,779.75	
02AUG17	B 4 PD02NOV17	6339.00	6494.69	USD	15,569.00	
02AUG17	B 5 PD02NOV17	6338.00	6494.69	USD	19,586.25	
02AUG17	B 6 PD02NOV17	6340.50	6494.69	USD	23,128.50	
02AUG17	B 4 PD02NOV17	6339.50	6494.69	USD	15,519.00	
02AUG17	B 8 PD02NOV17	6338.50	6494.69	USD	31,238.00	
02AUG17	B 7 PD02NOV17	6337.50	6494.69	USD	27,508.25	
02AUG17	B 2 PD02NOV17	6340.00	6494.69	USD	7,734.50	
02AUG17	B 4 PD02NOV17	6342.00	6494.69	USD	15,269.00	
02AUG17	B 5 PD02NOV17	6343.00	6494.69	USD	18,961.25	
02AUG17	S 1 PD02NOV17	6354.50	6494.69	USD	-3,504.75	
02AUG17	B 1 PD02NOV17	6352.00	6494.69	USD	3,567.25	
02AUG17	B 1 PD02NOV17	6363.00	6494.69	USD	3,292.25	
02AUG17	B 1 PD02NOV17	6365.00	6494.69	USD	3,242.25	
02AUG17	B 1 PD02NOV17	6364.00	6494.69	USD	3,267.25	
02AUG17	S 2 PD02NOV17	6362.00	6494.69	USD	-6,634.50	
02AUG17	S 1 PD02NOV17	6362.50	6494.69	USD	-3,304.75	
02AUG17	B 1 PD02NOV17	6351.00	6494.69	USD	3,592.25	
02AUG17	S 5 PD02NOV17	6351.50	6494.69	USD	-17,898.75	
02AUG17	S 1 PD02NOV17	6350.50	6494.69	USD	-3,604.75	
	NET 0 AVG BGHT 6335.42 SOLD 6326.65				**-29,162.50**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097K

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
03AUG17	S 2 PD03NOV17	6338.50	6495.28	USD	-7,839.00	
03AUG17	B 1 PD03NOV17	6336.50	6495.28	USD	3,969.50	
03AUG17	B 1 PD03NOV17	6338.50	6495.28	USD	3,919.50	
03AUG17	B 1 PD03NOV17	6340.50	6495.28	USD	3,869.50	
03AUG17	S 1 PD03NOV17	6327.50	6495.28	USD	-4,194.50	
03AUG17	S 2 PD03NOV17	6326.00	6495.28	USD	-8,464.00	
03AUG17	S 4 PD03NOV17	6325.00	6495.28	USD	-17,028.00	
03AUG17	S 3 PD03NOV17	6323.00	6495.28	USD	-12,921.00	
03AUG17	S 9 PD03NOV17	6320.50	6495.28	USD	-39,325.50	
03AUG17	S 1 PD03NOV17	6319.00	6495.28	USD	-4,407.00	
03AUG17	S 1 PD03NOV17	6318.50	6495.28	USD	-4,419.50	
03AUG17	S 3 PD03NOV17	6319.50	6495.28	USD	-13,183.50	
03AUG17	S 1 PD03NOV17	6317.50	6495.28	USD	-4,444.50	
03AUG17	S 2 PD03NOV17	6316.50	6495.28	USD	-8,939.00	
03AUG17	S 5 PD03NOV17	6311.00	6495.28	USD	-23,035.00	
03AUG17	S 1 PD03NOV17	6310.00	6495.28	USD	-4,632.00	
03AUG17	S 2 PD03NOV17	6309.00	6495.28	USD	-9,314.00	
03AUG17	S 2 PD03NOV17	6309.50	6495.28	USD	-9,289.00	
03AUG17	S 8 PD03NOV17	6308.00	6495.28	USD	-37,456.00	
03AUG17	S 4 PD03NOV17	6307.00	6495.28	USD	-18,828.00	
03AUG17	S 5 PD03NOV17	6308.50	6495.28	USD	-23,347.50	
03AUG17	S 1 PD03NOV17	6307.50	6495.28	USD	-4,694.50	
03AUG17	S 1 PD03NOV17	6304.00	6495.28	USD	-4,782.00	
03AUG17	S 1 PD03NOV17	6305.00	6495.28	USD	-4,757.00	
03AUG17	S 1 PD03NOV17	6306.50	6495.28	USD	-4,719.50	
03AUG17	S 5 PD03NOV17	6312.00	6495.28	USD	-22,910.00	
03AUG17	S 1 PD03NOV17	6312.50	6495.28	USD	-4,569.50	
03AUG17	S 3 PD03NOV17	6313.00	6495.28	USD	-13,671.00	
03AUG17	S 2 PD03NOV17	6320.00	6495.28	USD	-8,764.00	
03AUG17	S 4 PD03NOV17	6314.00	6495.28	USD	-18,128.00	
03AUG17	S 3 PD03NOV17	6315.00	6495.28	USD	-13,521.00	
03AUG17	S 2 PD03NOV17	6313.50	6495.28	USD	-9,089.00	
03AUG17	S 5 PD03NOV17	6314.50	6495.28	USD	-22,597.50	
03AUG17	B 2 PD03NOV17	6314.00	6495.28	USD	9,064.00	
03AUG17	B 2 PD03NOV17	6315.50	6495.28	USD	8,989.00	
03AUG17	B 1 PD03NOV17	6311.00	6495.28	USD	4,607.00	
03AUG17	B 1 PD03NOV17	6318.50	6495.28	USD	4,419.50	
03AUG17	S 1 PD03NOV17	6323.00	6495.28	USD	-4,307.00	
03AUG17	S 1 PD03NOV17	6315.00	6495.28	USD	-4,507.00	
03AUG17	B 3 PD03NOV17	6330.50	6495.28	USD	12,358.50	
03AUG17	B 4 PD03NOV17	6331.50	6495.28	USD	16,378.00	
03AUG17	B 5 PD03NOV17	6330.00	6495.28	USD	20,660.00	
03AUG17	B 4 PD03NOV17	6329.50	6495.28	USD	16,578.00	
03AUG17	B 6 PD03NOV17	6328.50	6495.28	USD	25,017.00	
03AUG17	B 2 PD03NOV17	6333.00	6495.28	USD	8,114.00	
03AUG17	B 2 PD03NOV17	6337.50	6495.28	USD	7,889.00	
03AUG17	B 1 PD03NOV17	6334.00	6495.28	USD	4,032.00	
03AUG17	B 2 PD03NOV17	6335.00	6495.28	USD	8,014.00	
03AUG17	B 6 PD03NOV17	6336.00	6495.28	USD	23,892.00	
03AUG17	B 1 PD03NOV17	6327.00	6495.28	USD	4,207.00	
03AUG17	S 1 PD03NOV17	6343.50	6495.28	USD	-3,794.50	
03AUG17	S 2 PD03NOV17	6343.00	6495.28	USD	-7,614.00	
03AUG17	S 2 PD03NOV17	6342.50	6495.28	USD	-7,639.00	
03AUG17	S 1 PD03NOV17	6351.00	6495.28	USD	-3,607.00	
03AUG17	S 1 PD03NOV17	6352.00	6495.28	USD	-3,582.00	
03AUG17	S 1 PD03NOV17	6353.00	6495.28	USD	-3,557.00	
03AUG17	S 2 PD03NOV17	6350.00	6495.28	USD	-7,264.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097K

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
03AUG17	S 4 PD03NOV17	6339.50	6495.28	USD	-15,578.00	
03AUG17	S 1 PD03NOV17	6338.00	6495.28	USD	-3,932.00	
03AUG17	S 2 PD03NOV17	6340.00	6495.28	USD	-7,764.00	
03AUG17	S 6 PD03NOV17	6341.00	6495.28	USD	-23,142.00	
03AUG17	S 2 PD03NOV17	6342.00	6495.28	USD	-7,664.00	
03AUG17	S 1 PD03NOV17	6334.50	6495.28	USD	-4,019.50	
03AUG17	S 2 PD03NOV17	6333.50	6495.28	USD	-8,089.00	
03AUG17	S 4 PD03NOV17	6335.50	6495.28	USD	-15,978.00	
03AUG17	S 4 PD03NOV17	6336.50	6495.28	USD	-15,878.00	
03AUG17	S 4 PD03NOV17	6337.50	6495.28	USD	-15,778.00	
03AUG17	S 7 PD03NOV17	6339.00	6495.28	USD	-27,349.00	
03AUG17	B 1 PD03NOV17	6334.00	6495.28	USD	4,032.00	
03AUG17	B 1 PD03NOV17	6338.00	6495.28	USD	3,932.00	
03AUG17	B 1 PD03NOV17	6342.00	6495.28	USD	3,832.00	
03AUG17	B 1 PD03NOV17	6342.00	6495.28	USD	3,832.00	
04AUG17	B 1 PD03NOV17	6357.00	6495.28	USD	3,457.00	
04AUG17	B 3 PD03NOV17	6356.00	6495.28	USD	10,446.00	
04AUG17	B 1 PD03NOV17	6358.50	6495.28	USD	3,419.50	
04AUG17	B 1 PD03NOV17	6360.00	6495.28	USD	3,382.00	
04AUG17	B 1 PD03NOV17	6373.50	6495.28	USD	3,044.50	
04AUG17	B 5 PD03NOV17	6380.00	6495.28	USD	14,410.00	
04AUG17	B 1 PD03NOV17	6377.00	6495.28	USD	2,957.00	
04AUG17	B 1 PD03NOV17	6378.00	6495.28	USD	2,932.00	
04AUG17	B 3 PD03NOV17	6381.50	6495.28	USD	8,533.50	
04AUG17	B 4 PD03NOV17	6380.50	6495.28	USD	11,478.00	
04AUG17	S 2 PD03NOV17	6367.50	6495.28	USD	-6,389.00	
04AUG17	B 3 PD03NOV17	6368.00	6495.28	USD	9,546.00	
04AUG17	S 3 PD03NOV17	6373.50	6495.28	USD	-9,133.50	
04AUG17	S 1 PD03NOV17	6372.50	6495.28	USD	-3,069.50	
04AUG17	S 1 PD03NOV17	6363.50	6495.28	USD	-3,294.50	
04AUG17	S 5 PD03NOV17	6365.50	6495.28	USD	-16,222.50	
04AUG17	S 1 PD03NOV17	6362.50	6495.28	USD	-3,319.50	
04AUG17	S 1 PD03NOV17	6359.00	6495.28	USD	-3,407.00	
04AUG17	S 3 PD03NOV17	6361.00	6495.28	USD	-10,071.00	
04AUG17	S 8 PD03NOV17	6364.00	6495.28	USD	-26,256.00	
04AUG17	S 2 PD03NOV17	6366.00	6495.28	USD	-6,464.00	
04AUG17	S 3 PD03NOV17	6365.00	6495.28	USD	-9,771.00	
04AUG17	B 5 PD03NOV17	6358.00	6495.28	USD	17,160.00	
04AUG17	S 1 PD03NOV17	6336.50	6495.28	USD	-3,969.50	
04AUG17	S 2 PD03NOV17	6339.50	6495.28	USD	-7,789.00	
04AUG17	S 2 PD03NOV17	6338.50	6495.28	USD	-7,839.00	
04AUG17	B 2 PD03NOV17	6339.50	6495.28	USD	7,789.00	
04AUG17	B 1 PD03NOV17	6347.00	6495.28	USD	3,707.00	
04AUG17	B 1 PD03NOV17	6346.00	6495.28	USD	3,732.00	
04AUG17	B 1 PD03NOV17	6348.00	6495.28	USD	3,682.00	
04AUG17	S 3 PD03NOV17	6320.50	6495.28	USD	-13,108.50	
04AUG17	S 2 PD03NOV17	6321.00	6495.28	USD	-8,714.00	
04AUG17	S 3 PD03NOV17	6321.50	6495.28	USD	-13,033.50	
04AUG17	S 2 PD03NOV17	6322.00	6495.28	USD	-8,664.00	
04AUG17	S 6 PD03NOV17	6323.50	6495.28	USD	-25,767.00	
04AUG17	S 5 PD03NOV17	6324.50	6495.28	USD	-21,347.50	
04AUG17	S 7 PD03NOV17	6323.00	6495.28	USD	-30,149.00	
04AUG17	S 1 PD03NOV17	6323.50	6495.28	USD	-4,294.50	
04AUG17	S 1 PD03NOV17	6321.00	6495.28	USD	-4,357.00	
04AUG17	B 2 PD03NOV17	6364.50	6495.28	USD	6,539.00	
04AUG17	B 1 PD03NOV17	6363.00	6495.28	USD	3,307.00	
04AUG17	B 3 PD03NOV17	6363.50	6495.28	USD	9,883.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097K

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
04AUG17	B 2 PD03NOV17	6362.50	6495.28	USD	6,639.00	
04AUG17	S 1 PD03NOV17	6372.00	6495.28	USD	-3,082.00	
04AUG17	S 6 PD03NOV17	6375.00	6495.28	USD	-18,042.00	
04AUG17	B 12 PD03NOV17	6384.50	6495.28	USD	33,234.00	
04AUG17	B 11 PD03NOV17	6385.00	6495.28	USD	30,327.00	
04AUG17	B 4 PD03NOV17	6383.50	6495.28	USD	11,178.00	
04AUG17	B 2 PD03NOV17	6382.50	6495.28	USD	5,639.00	
04AUG17	B 2 PD03NOV17	6382.00	6495.28	USD	5,664.00	
04AUG17	S 1 PD03NOV17	6355.00	6495.28	USD	-3,507.00	
04AUG17	S 2 PD03NOV17	6354.00	6495.28	USD	-7,064.00	
04AUG17	S 2 PD03NOV17	6361.50	6495.28	USD	-6,689.00	
04AUG17	S 1 PD03NOV17	6359.50	6495.28	USD	-3,394.50	
04AUG17	S 5 PD03NOV17	6360.00	6495.28	USD	-16,910.00	
07AUG17	B 55 PD03NOV17	6412.56	6495.28	USD	113,740.00	
10AUG17	B 40 PD03NOV17	6420.13	6495.28	USD	75,150.00	
	NET 0 AVG BGHT 6382.36 SOLD 6333.17				**-266,850.00**	**0.00**
07AUG17	S 2 PD07NOV17	6344.50	6497.66	USD	-7,658.00	
07AUG17	S 10 PD07NOV17	6346.00	6497.66	USD	-37,915.00	
07AUG17	S 2 PD07NOV17	6347.00	6497.66	USD	-7,533.00	
07AUG17	S 5 PD07NOV17	6345.50	6497.66	USD	-19,020.00	
07AUG17	B 1 PD07NOV17	6358.50	6497.66	USD	3,479.00	
07AUG17	S 5 PD07NOV17	6372.00	6497.66	USD	-15,707.50	
07AUG17	S 5 PD07NOV17	6370.00	6497.66	USD	-15,957.50	
07AUG17	S 1 PD07NOV17	6370.50	6497.66	USD	-3,179.00	
07AUG17	S 1 PD07NOV17	6371.00	6497.66	USD	-3,166.50	
07AUG17	S 1 PD07NOV17	6371.50	6497.66	USD	-3,154.00	
07AUG17	S 4 PD07NOV17	6373.00	6497.66	USD	-12,466.00	
07AUG17	S 2 PD07NOV17	6368.00	6497.66	USD	-6,483.00	
07AUG17	S 3 PD07NOV17	6369.50	6497.66	USD	-9,612.00	
07AUG17	S 7 PD07NOV17	6369.00	6497.66	USD	-22,515.50	
07AUG17	S 2 PD07NOV17	6367.00	6497.66	USD	-6,533.00	
07AUG17	S 2 PD07NOV17	6366.50	6497.66	USD	-6,558.00	
07AUG17	B 1 PD07NOV17	6363.00	6497.66	USD	3,366.50	
07AUG17	B 2 PD07NOV17	6362.00	6497.66	USD	6,783.00	
07AUG17	B 4 PD07NOV17	6361.50	6497.66	USD	13,616.00	
07AUG17	B 5 PD07NOV17	6363.50	6497.66	USD	16,770.00	
07AUG17	B 15 PD07NOV17	6364.00	6497.66	USD	50,122.50	
07AUG17	B 3 PD07NOV17	6364.50	6497.66	USD	9,987.00	
07AUG17	S 1 PD07NOV17	6346.00	6497.66	USD	-3,791.50	
07AUG17	B 2 PD07NOV17	6358.50	6497.66	USD	6,958.00	
07AUG17	S 1 PD07NOV17	6398.00	6497.66	USD	-2,491.50	
07AUG17	B 1 PD07NOV17	6429.00	6497.66	USD	1,716.50	
07AUG17	B 3 PD07NOV17	6426.00	6497.66	USD	5,374.50	
07AUG17	B 6 PD07NOV17	6425.00	6497.66	USD	10,899.00	
07AUG17	B 1 PD07NOV17	6421.50	6497.66	USD	1,904.00	
07AUG17	S 1 PD07NOV17	6426.50	6497.66	USD	-1,779.00	
07AUG17	S 1 PD07NOV17	6427.50	6497.66	USD	-1,754.00	
07AUG17	S 1 PD07NOV17	6429.50	6497.66	USD	-1,704.00	
07AUG17	B 5 PD07NOV17	6420.50	6497.66	USD	9,645.00	
07AUG17	B 1 PD07NOV17	6428.00	6497.66	USD	1,741.50	
07AUG17	B 1 PD07NOV17	6427.00	6497.66	USD	1,766.50	
07AUG17	B 1 PD07NOV17	6418.00	6497.66	USD	1,991.50	
07AUG17	B 3 PD07NOV17	6419.00	6497.66	USD	5,899.50	
07AUG17	B 2 PD07NOV17	6420.00	6497.66	USD	3,883.00	
07AUG17	B 1 PD07NOV17	6423.50	6497.66	USD	1,854.00	
07AUG17	S 2 PD07NOV17	6428.50	6497.66	USD	-3,458.00	
07AUG17	S 1 PD07NOV17	6423.00	6497.66	USD	-1,866.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097K

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
07AUG17	S 1 PD07NOV17	6424.00	6497.66	USD	-1,841.50	
07AUG17	S 3 PD07NOV17	6425.00	6497.66	USD	-5,449.50	
07AUG17	S 2 PD07NOV17	6427.00	6497.66	USD	-3,533.00	
07AUG17	S 5 PD07NOV17	6426.00	6497.66	USD	-8,957.50	
07AUG17	S 4 PD07NOV17	6424.50	6497.66	USD	-7,316.00	
07AUG17	S 3 PD07NOV17	6425.50	6497.66	USD	-5,412.00	
07AUG17	S 2 PD07NOV17	6414.50	6497.66	USD	-4,158.00	
07AUG17	S 2 PD07NOV17	6415.50	6497.66	USD	-4,108.00	
07AUG17	S 1 PD07NOV17	6409.00	6497.66	USD	-2,216.50	
07AUG17	S 1 PD07NOV17	6405.00	6497.66	USD	-2,316.50	
07AUG17	S 2 PD07NOV17	6404.50	6497.66	USD	-4,658.00	
07AUG17	S 2 PD07NOV17	6403.50	6497.66	USD	-4,708.00	
07AUG17	S 3 PD07NOV17	6408.00	6497.66	USD	-6,724.50	
07AUG17	S 9 PD07NOV17	6405.50	6497.66	USD	-20,736.00	
07AUG17	S 2 PD07NOV17	6406.00	6497.66	USD	-4,583.00	
07AUG17	S 4 PD07NOV17	6407.00	6497.66	USD	-9,066.00	
07AUG17	S 2 PD07NOV17	6404.00	6497.66	USD	-4,683.00	
10AUG17	B 50 PD07NOV17	6421.77	6497.66	USD	94,862.50	
	NET 0 AVG BGHT 6404.10 SOLD 6388.49				**-42,150.00**	**0.00**
08AUG17	B 1 PD08NOV17	6401.00	6498.25	USD	2,431.25	
08AUG17	B 1 PD08NOV17	6400.50	6498.25	USD	2,443.75	
08AUG17	B 5 PD08NOV17	6400.00	6498.25	USD	12,281.25	
08AUG17	B 3 PD08NOV17	6399.50	6498.25	USD	7,406.25	
08AUG17	B 3 PD08NOV17	6396.00	6498.25	USD	7,668.75	
08AUG17	B 24 PD08NOV17	6397.00	6498.25	USD	60,750.00	
08AUG17	B 18 PD08NOV17	6396.50	6498.25	USD	45,787.50	
08AUG17	B 6 PD08NOV17	6398.50	6498.25	USD	14,962.50	
08AUG17	B 24 PD08NOV17	6397.50	6498.25	USD	60,450.00	
08AUG17	B 10 PD08NOV17	6395.50	6498.25	USD	25,687.50	
08AUG17	B 4 PD08NOV17	6399.00	6498.25	USD	9,925.00	
08AUG17	B 8 PD08NOV17	6398.00	6498.25	USD	20,050.00	
08AUG17	B 3 PD08NOV17	6402.00	6498.25	USD	7,218.75	
08AUG17	B 5 PD08NOV17	6401.50	6498.25	USD	12,093.75	
08AUG17	B 2 PD08NOV17	6404.00	6498.25	USD	4,712.50	
08AUG17	B 2 PD08NOV17	6408.50	6498.25	USD	4,487.50	
08AUG17	B 2 PD08NOV17	6407.00	6498.25	USD	4,562.50	
08AUG17	B 2 PD08NOV17	6402.50	6498.25	USD	4,787.50	
08AUG17	B 2 PD08NOV17	6410.00	6498.25	USD	4,412.50	
08AUG17	S 1 PD08NOV17	6407.00	6498.25	USD	-2,281.25	
08AUG17	S 1 PD08NOV17	6409.50	6498.25	USD	-2,218.75	
08AUG17	S 3 PD08NOV17	6413.00	6498.25	USD	-6,393.75	
08AUG17	S 2 PD08NOV17	6414.00	6498.25	USD	-4,212.50	
08AUG17	S 5 PD08NOV17	6407.50	6498.25	USD	-11,343.75	
08AUG17	S 2 PD08NOV17	6403.50	6498.25	USD	-4,737.50	
08AUG17	S 2 PD08NOV17	6404.50	6498.25	USD	-4,687.50	
08AUG17	S 1 PD08NOV17	6405.00	6498.25	USD	-2,331.25	
08AUG17	S 2 PD08NOV17	6406.00	6498.25	USD	-4,612.50	
08AUG17	B 3 PD08NOV17	6403.50	6498.25	USD	7,106.25	
08AUG17	B 8 PD08NOV17	6414.00	6498.25	USD	16,850.00	
08AUG17	B 1 PD08NOV17	6417.50	6498.25	USD	2,018.75	
08AUG17	B 8 PD08NOV17	6420.00	6498.25	USD	15,650.00	
08AUG17	B 1 PD08NOV17	6416.50	6498.25	USD	2,043.75	
08AUG17	B 2 PD08NOV17	6418.50	6498.25	USD	3,987.50	
08AUG17	B 6 PD08NOV17	6419.00	6498.25	USD	11,887.50	
08AUG17	B 9 PD08NOV17	6419.50	6498.25	USD	17,718.75	
08AUG17	B 1 PD08NOV17	6423.50	6498.25	USD	1,868.75	
08AUG17	B 6 PD08NOV17	6425.00	6498.25	USD	10,987.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097K

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
08AUG17	B 4 PD08NOV17	6426.00	6498.25	USD	7,225.00	
08AUG17	B 1 PD08NOV17	6427.00	6498.25	USD	1,781.25	
08AUG17	B 3 PD08NOV17	6432.00	6498.25	USD	4,968.75	
08AUG17	B 1 PD08NOV17	6431.00	6498.25	USD	1,681.25	
08AUG17	B 1 PD08NOV17	6433.00	6498.25	USD	1,631.25	
08AUG17	B 3 PD08NOV17	6434.00	6498.25	USD	4,818.75	
08AUG17	B 2 PD08NOV17	6434.50	6498.25	USD	3,187.50	
08AUG17	B 5 PD08NOV17	6450.00	6498.25	USD	6,031.25	
08AUG17	B 1 PD08NOV17	6449.50	6498.25	USD	1,218.75	
08AUG17	B 1 PD08NOV17	6449.00	6498.25	USD	1,231.25	
08AUG17	B 2 PD08NOV17	6448.00	6498.25	USD	2,512.50	
08AUG17	B 4 PD08NOV17	6450.50	6498.25	USD	4,775.00	
08AUG17	B 1 PD08NOV17	6453.50	6498.25	USD	1,118.75	
08AUG17	B 1 PD08NOV17	6459.50	6498.25	USD	968.75	
08AUG17	B 4 PD08NOV17	6460.00	6498.25	USD	3,825.00	
08AUG17	B 1 PD08NOV17	6464.50	6498.25	USD	843.75	
08AUG17	B 1 PD08NOV17	6462.50	6498.25	USD	893.75	
08AUG17	B 2 PD08NOV17	6462.00	6498.25	USD	1,812.50	
08AUG17	B 1 PD08NOV17	6461.00	6498.25	USD	931.25	
08AUG17	B 1 PD08NOV17	6467.00	6498.25	USD	781.25	
08AUG17	B 1 PD08NOV17	6468.00	6498.25	USD	756.25	
08AUG17	B 1 PD08NOV17	6473.50	6498.25	USD	618.75	
08AUG17	B 1 PD08NOV17	6474.50	6498.25	USD	593.75	
08AUG17	B 1 PD08NOV17	6479.50	6498.25	USD	468.75	
08AUG17	B 1 PD08NOV17	6481.00	6498.25	USD	431.25	
08AUG17	B 1 PD08NOV17	6483.00	6498.25	USD	381.25	
08AUG17	B 2 PD08NOV17	6479.00	6498.25	USD	962.50	
08AUG17	B 1 PD08NOV17	6482.50	6498.25	USD	393.75	
08AUG17	B 11 PD08NOV17	6483.50	6498.25	USD	4,056.25	
08AUG17	B 1 PD08NOV17	6482.00	6498.25	USD	406.25	
08AUG17	S 9 PD08NOV17	6479.00	6498.25	USD	-4,331.25	
08AUG17	S 6 PD08NOV17	6479.50	6498.25	USD	-2,812.50	
08AUG17	S 1 PD08NOV17	6482.00	6498.25	USD	-406.25	
08AUG17	S 1 PD08NOV17	6481.00	6498.25	USD	-431.25	
10AUG17	S 90 PD08NOV17	6421.98	6498.25	USD	-171,607.50	
10AUG17	S 40 PD08NOV17	6422.18	6498.25	USD	-76,070.00	
14AUG17	S 60 PD08NOV17	6394.87	6498.25	USD	-155,070.00	
21AUG17	S 5 PD08NOV17	6584.00	6498.25	USD	10,718.75	
	NET 0 AVG BGHT 6417.98 SOLD 6421.57				**20,715.00**	**0.00**
09AUG17	S 2 PD09NOV17	6498.00	6498.71	USD	-35.50	
09AUG17	S 1 PD09NOV17	6493.50	6498.71	USD	-130.25	
09AUG17	S 1 PD09NOV17	6490.50	6498.71	USD	-205.25	
09AUG17	B 1 PD09NOV17	6480.50	6498.71	USD	455.25	
09AUG17	B 3 PD09NOV17	6479.50	6498.71	USD	1,440.75	
09AUG17	B 2 PD09NOV17	6479.00	6498.71	USD	985.50	
09AUG17	B 3 PD09NOV17	6480.00	6498.71	USD	1,403.25	
09AUG17	B 3 PD09NOV17	6475.50	6498.71	USD	1,740.75	
09AUG17	B 1 PD09NOV17	6478.00	6498.71	USD	517.75	
09AUG17	B 2 PD09NOV17	6486.00	6498.71	USD	635.50	
09AUG17	S 1 PD09NOV17	6502.00	6498.71	USD	82.25	
09AUG17	S 2 PD09NOV17	6500.50	6498.71	USD	89.50	
09AUG17	S 1 PD09NOV17	6499.00	6498.71	USD	7.25	
09AUG17	S 4 PD09NOV17	6500.00	6498.71	USD	129.00	
09AUG17	S 2 PD09NOV17	6498.50	6498.71	USD	-10.50	
09AUG17	S 4 PD09NOV17	6497.50	6498.71	USD	-121.00	
09AUG17	B 1 PD09NOV17	6497.00	6498.71	USD	42.75	
09AUG17	B 2 PD09NOV17	6495.50	6498.71	USD	160.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097K

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
09AUG17	B 4 PD09NOV17	6497.50	6498.71	USD	121.00	
09AUG17	B 1 PD09NOV17	6495.00	6498.71	USD	92.75	
09AUG17	B 7 PD09NOV17	6496.50	6498.71	USD	386.75	
09AUG17	B 1 PD09NOV17	6498.50	6498.71	USD	5.25	
09AUG17	B 1 PD09NOV17	6498.00	6498.71	USD	17.75	
09AUG17	B 1 PD09NOV17	6494.50	6498.71	USD	105.25	
09AUG17	B 1 PD09NOV17	6496.00	6498.71	USD	67.75	
09AUG17	S 1 PD09NOV17	6493.50	6498.71	USD	-130.25	
09AUG17	S 1 PD09NOV17	6482.00	6498.71	USD	-417.75	
09AUG17	B 1 PD09NOV17	6491.50	6498.71	USD	180.25	
09AUG17	B 6 PD09NOV17	6494.00	6498.71	USD	706.50	
09AUG17	B 5 PD09NOV17	6492.50	6498.71	USD	776.25	
09AUG17	B 4 PD09NOV17	6489.50	6498.71	USD	921.00	
09AUG17	B 1 PD09NOV17	6493.00	6498.71	USD	142.75	
09AUG17	S 4 PD09NOV17	6488.00	6498.71	USD	-1,071.00	
09AUG17	S 3 PD09NOV17	6487.00	6498.71	USD	-878.25	
09AUG17	S 1 PD09NOV17	6491.00	6498.71	USD	-192.75	
09AUG17	S 6 PD09NOV17	6492.00	6498.71	USD	-1,006.50	
09AUG17	S 1 PD09NOV17	6497.00	6498.71	USD	-42.75	
09AUG17	S 2 PD09NOV17	6479.00	6498.71	USD	-985.50	
09AUG17	B 1 PD09NOV17	6477.50	6498.71	USD	530.25	
09AUG17	B 2 PD09NOV17	6476.50	6498.71	USD	1,110.50	
09AUG17	B 1 PD09NOV17	6481.50	6498.71	USD	430.25	
09AUG17	S 2 PD09NOV17	6486.50	6498.71	USD	-610.50	
09AUG17	S 1 PD09NOV17	6485.50	6498.71	USD	-330.25	
09AUG17	S 12 PD09NOV17	6484.00	6498.71	USD	-4,413.00	
09AUG17	S 5 PD09NOV17	6481.50	6498.71	USD	-2,151.25	
09AUG17	S 3 PD09NOV17	6486.00	6498.71	USD	-953.25	
09AUG17	S 6 PD09NOV17	6485.00	6498.71	USD	-2,056.50	
09AUG17	S 7 PD09NOV17	6484.50	6498.71	USD	-2,486.75	
09AUG17	S 7 PD09NOV17	6483.50	6498.71	USD	-2,661.75	
09AUG17	S 4 PD09NOV17	6480.50	6498.71	USD	-1,821.00	
09AUG17	S 5 PD09NOV17	6483.00	6498.71	USD	-1,963.75	
09AUG17	S 9 PD09NOV17	6482.50	6498.71	USD	-3,647.25	
09AUG17	S 5 PD09NOV17	6481.00	6498.71	USD	-2,213.75	
09AUG17	S 10 PD09NOV17	6482.00	6498.71	USD	-4,177.50	
09AUG17	S 1 PD09NOV17	6487.00	6498.71	USD	-292.75	
09AUG17	S 1 PD09NOV17	6481.00	6498.71	USD	-442.75	
09AUG17	B 2 PD09NOV17	6452.50	6498.71	USD	2,310.50	
09AUG17	B 2 PD09NOV17	6451.00	6498.71	USD	2,385.50	
09AUG17	B 6 PD09NOV17	6452.00	6498.71	USD	7,006.50	
09AUG17	B 3 PD09NOV17	6453.00	6498.71	USD	3,428.25	
09AUG17	B 1 PD09NOV17	6455.00	6498.71	USD	1,092.75	
09AUG17	B 7 PD09NOV17	6454.50	6498.71	USD	7,736.75	
09AUG17	S 1 PD09NOV17	6452.50	6498.71	USD	-1,155.25	
10AUG17	B 40 PD09NOV17	6422.79	6498.71	USD	75,920.00	
	NET 0 AVG BGHT 6459.79 SOLD 6486.19				**76,560.00**	**0.00**
10AUG17	S 1 PD10NOV17	6438.50	6499.18	USD	-1,517.00	
10AUG17	S 1 PD10NOV17	6439.50	6499.18	USD	-1,492.00	
10AUG17	S 1 PD10NOV17	6437.00	6499.18	USD	-1,554.50	
10AUG17	S 1 PD10NOV17	6440.50	6499.18	USD	-1,467.00	
10AUG17	S 1 PD10NOV17	6440.00	6499.18	USD	-1,479.50	
10AUG17	S 6 PD10NOV17	6451.00	6499.18	USD	-7,227.00	
10AUG17	S 4 PD10NOV17	6450.00	6499.18	USD	-4,918.00	
10AUG17	S 4 PD10NOV17	6455.50	6499.18	USD	-4,368.00	
10AUG17	S 1 PD10NOV17	6450.00	6499.18	USD	-1,229.50	
10AUG17	B 6 PD10NOV17	6423.00	6499.18	USD	11,427.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097K

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
10AUG17	B 2 PD10NOV17	6425.50	6499.18	USD	3,684.00	
10AUG17	B 2 PD10NOV17	6425.00	6499.18	USD	3,709.00	
10AUG17	B 2 PD10NOV17	6426.50	6499.18	USD	3,634.00	
10AUG17	B 2 PD10NOV17	6427.50	6499.18	USD	3,584.00	
10AUG17	S 2 PD10NOV17	6430.50	6499.18	USD	-3,434.00	
10AUG17	S 2 PD10NOV17	6432.00	6499.18	USD	-3,359.00	
10AUG17	S 1 PD10NOV17	6429.50	6499.18	USD	-1,742.00	
10AUG17	S 1 PD10NOV17	6422.00	6499.18	USD	-1,929.50	
10AUG17	S 1 PD10NOV17	6416.50	6499.18	USD	-2,067.00	
10AUG17	S 1 PD10NOV17	6417.50	6499.18	USD	-2,042.00	
10AUG17	S 1 PD10NOV17	6418.50	6499.18	USD	-2,017.00	
10AUG17	S 1 PD10NOV17	6419.50	6499.18	USD	-1,992.00	
10AUG17	S 1 PD10NOV17	6406.50	6499.18	USD	-2,317.00	
10AUG17	S 1 PD10NOV17	6399.00	6499.18	USD	-2,504.50	
10AUG17	S 1 PD10NOV17	6399.50	6499.18	USD	-2,492.00	
10AUG17	S 2 PD10NOV17	6400.00	6499.18	USD	-4,959.00	
10AUG17	S 2 PD10NOV17	6402.00	6499.18	USD	-4,859.00	
10AUG17	S 1 PD10NOV17	6401.50	6499.18	USD	-2,442.00	
10AUG17	S 4 PD10NOV17	6402.50	6499.18	USD	-9,668.00	
10AUG17	S 2 PD10NOV17	6396.50	6499.18	USD	-5,134.00	
10AUG17	S 3 PD10NOV17	6397.50	6499.18	USD	-7,626.00	
10AUG17	S 1 PD10NOV17	6395.00	6499.18	USD	-2,604.50	
10AUG17	S 2 PD10NOV17	6394.50	6499.18	USD	-5,234.00	
10AUG17	S 4 PD10NOV17	6393.50	6499.18	USD	-10,568.00	
10AUG17	S 2 PD10NOV17	6408.00	6499.18	USD	-4,559.00	
10AUG17	S 1 PD10NOV17	6412.50	6499.18	USD	-2,167.00	
10AUG17	S 5 PD10NOV17	6395.50	6499.18	USD	-12,960.00	
10AUG17	B 2 PD10NOV17	6404.50	6499.18	USD	4,734.00	
10AUG17	B 9 PD10NOV17	6409.00	6499.18	USD	20,290.50	
10AUG17	B 4 PD10NOV17	6408.00	6499.18	USD	9,118.00	
10AUG17	B 2 PD10NOV17	6406.00	6499.18	USD	4,659.00	
10AUG17	B 1 PD10NOV17	6401.00	6499.18	USD	2,454.50	
10AUG17	B 3 PD10NOV17	6400.00	6499.18	USD	7,438.50	
10AUG17	B 1 PD10NOV17	6402.50	6499.18	USD	2,417.00	
10AUG17	B 1 PD10NOV17	6405.50	6499.18	USD	2,342.00	
10AUG17	S 5 PD10NOV17	6404.00	6499.18	USD	-11,897.50	
10AUG17	B 6 PD10NOV17	6403.50	6499.18	USD	14,352.00	
10AUG17	S 8 PD10NOV17	6403.50	6499.18	USD	-19,136.00	
10AUG17	S 5 PD10NOV17	6403.00	6499.18	USD	-12,022.50	
10AUG17	B 5 PD10NOV17	6404.00	6499.18	USD	11,897.50	
10AUG17	B 9 PD10NOV17	6402.00	6499.18	USD	21,865.50	
10AUG17	B 1 PD10NOV17	6400.50	6499.18	USD	2,467.00	
10AUG17	S 3 PD10NOV17	6401.00	6499.18	USD	-7,363.50	
11AUG17	S 3 PD10NOV17	6354.00	6499.18	USD	-10,888.50	
11AUG17	S 2 PD10NOV17	6352.50	6499.18	USD	-7,334.00	
11AUG17	S 5 PD10NOV17	6350.50	6499.18	USD	-18,585.00	
11AUG17	S 3 PD10NOV17	6346.50	6499.18	USD	-11,451.00	
11AUG17	S 2 PD10NOV17	6345.50	6499.18	USD	-7,684.00	
11AUG17	S 3 PD10NOV17	6346.00	6499.18	USD	-11,488.50	
11AUG17	S 1 PD10NOV17	6345.00	6499.18	USD	-3,854.50	
11AUG17	S 1 PD10NOV17	6340.50	6499.18	USD	-3,967.00	
11AUG17	S 3 PD10NOV17	6341.00	6499.18	USD	-11,863.50	
11AUG17	S 1 PD10NOV17	6342.00	6499.18	USD	-3,929.50	
11AUG17	S 3 PD10NOV17	6364.00	6499.18	USD	-10,138.50	
11AUG17	B 1 PD10NOV17	6378.00	6499.18	USD	3,029.50	
11AUG17	B 2 PD10NOV17	6380.00	6499.18	USD	5,959.00	
11AUG17	B 3 PD10NOV17	6379.50	6499.18	USD	8,976.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097K

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
11AUG17	S 1 PD10NOV17	6372.50	6499.18	USD	-3,167.00	
11AUG17	S 1 PD10NOV17	6371.00	6499.18	USD	-3,204.50	
11AUG17	S 4 PD10NOV17	6370.00	6499.18	USD	-12,918.00	
11AUG17	S 2 PD10NOV17	6366.50	6499.18	USD	-6,634.00	
11AUG17	S 2 PD10NOV17	6366.00	6499.18	USD	-6,659.00	
11AUG17	S 3 PD10NOV17	6365.00	6499.18	USD	-10,063.50	
11AUG17	S 2 PD10NOV17	6362.50	6499.18	USD	-6,834.00	
11AUG17	B 5 PD10NOV17	6362.50	6499.18	USD	17,085.00	
11AUG17	B 1 PD10NOV17	6358.00	6499.18	USD	3,529.50	
11AUG17	B 4 PD10NOV17	6354.00	6499.18	USD	14,518.00	
11AUG17	B 3 PD10NOV17	6352.00	6499.18	USD	11,038.50	
11AUG17	B 1 PD10NOV17	6350.50	6499.18	USD	3,717.00	
11AUG17	S 1 PD10NOV17	6345.00	6499.18	USD	-3,854.50	
11AUG17	S 2 PD10NOV17	6370.00	6499.18	USD	-6,459.00	
11AUG17	S 2 PD10NOV17	6384.50	6499.18	USD	-5,734.00	
11AUG17	S 5 PD10NOV17	6385.00	6499.18	USD	-14,272.50	
11AUG17	S 3 PD10NOV17	6387.00	6499.18	USD	-8,413.50	
11AUG17	S 1 PD10NOV17	6388.00	6499.18	USD	-2,779.50	
11AUG17	S 2 PD10NOV17	6389.00	6499.18	USD	-5,509.00	
11AUG17	S 2 PD10NOV17	6392.50	6499.18	USD	-5,334.00	
11AUG17	B 7 PD10NOV17	6397.50	6499.18	USD	17,794.00	
11AUG17	B 2 PD10NOV17	6395.00	6499.18	USD	5,209.00	
11AUG17	B 3 PD10NOV17	6393.50	6499.18	USD	7,926.00	
11AUG17	B 5 PD10NOV17	6397.00	6499.18	USD	12,772.50	
11AUG17	B 5 PD10NOV17	6396.50	6499.18	USD	12,835.00	
11AUG17	B 1 PD10NOV17	6413.50	6499.18	USD	2,142.00	
11AUG17	B 1 PD10NOV17	6410.00	6499.18	USD	2,229.50	
11AUG17	B 1 PD10NOV17	6406.50	6499.18	USD	2,317.00	
11AUG17	B 3 PD10NOV17	6404.00	6499.18	USD	7,138.50	
11AUG17	B 6 PD10NOV17	6403.50	6499.18	USD	14,352.00	
11AUG17	B 1 PD10NOV17	6404.50	6499.18	USD	2,367.00	
11AUG17	B 2 PD10NOV17	6399.50	6499.18	USD	4,984.00	
11AUG17	B 2 PD10NOV17	6398.50	6499.18	USD	5,034.00	
11AUG17	S 4 PD10NOV17	6406.50	6499.18	USD	-9,268.00	
11AUG17	S 2 PD10NOV17	6420.00	6499.18	USD	-3,959.00	
11AUG17	S 4 PD10NOV17	6412.00	6499.18	USD	-8,718.00	
11AUG17	S 1 PD10NOV17	6427.50	6499.18	USD	-1,792.00	
11AUG17	S 2 PD10NOV17	6430.00	6499.18	USD	-3,459.00	
11AUG17	S 2 PD10NOV17	6430.50	6499.18	USD	-3,434.00	
11AUG17	S 8 PD10NOV17	6419.00	6499.18	USD	-16,036.00	
11AUG17	S 5 PD10NOV17	6414.50	6499.18	USD	-10,585.00	
11AUG17	S 12 PD10NOV17	6415.50	6499.18	USD	-25,104.00	
11AUG17	S 8 PD10NOV17	6416.50	6499.18	USD	-16,536.00	
11AUG17	S 3 PD10NOV17	6416.00	6499.18	USD	-6,238.50	
11AUG17	S 1 PD10NOV17	6413.00	6499.18	USD	-2,154.50	
11AUG17	S 6 PD10NOV17	6414.00	6499.18	USD	-12,777.00	
11AUG17	B 2 PD10NOV17	6394.50	6499.18	USD	5,234.00	
11AUG17	B 1 PD10NOV17	6396.50	6499.18	USD	2,567.00	
11AUG17	B 1 PD10NOV17	6413.00	6499.18	USD	2,154.50	
11AUG17	B 1 PD10NOV17	6414.00	6499.18	USD	2,129.50	
11AUG17	S 1 PD10NOV17	6412.00	6499.18	USD	-2,179.50	
11AUG17	B 5 PD10NOV17	6414.00	6499.18	USD	10,647.50	
11AUG17	B 9 PD10NOV17	6415.00	6499.18	USD	18,940.50	
11AUG17	B 1 PD10NOV17	6421.00	6499.18	USD	1,954.50	
11AUG17	B 2 PD10NOV17	6423.00	6499.18	USD	3,809.00	
11AUG17	B 3 PD10NOV17	6422.00	6499.18	USD	5,788.50	
14AUG17	B 60 PD10NOV17	6395.78	6499.18	USD	155,100.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097K

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT							
	NET	**0**	**AVG BGHT 6399.51 SOLD 6400.25**				**3,742.50**	**0.00**
14AUG17	S	1	PD14NOV17	6416.00	6501.04	USD	-2,126.00	
14AUG17	S	1	PD14NOV17	6415.00	6501.04	USD	-2,151.00	
14AUG17	S	1	PD14NOV17	6411.50	6501.04	USD	-2,238.50	
14AUG17	S	5	PD14NOV17	6414.50	6501.04	USD	-10,817.50	
14AUG17	S	6	PD14NOV17	6410.00	6501.04	USD	-13,656.00	
14AUG17	S	3	PD14NOV17	6415.50	6501.04	USD	-6,415.50	
14AUG17	S	2	PD14NOV17	6413.50	6501.04	USD	-4,377.00	
14AUG17	S	6	PD14NOV17	6412.50	6501.04	USD	-13,281.00	
14AUG17	S	5	PD14NOV17	6397.00	6501.04	USD	-13,005.00	
14AUG17	S	3	PD14NOV17	6405.00	6501.04	USD	-7,203.00	
14AUG17	S	3	PD14NOV17	6399.50	6501.04	USD	-7,615.50	
14AUG17	B	1	PD14NOV17	6383.50	6501.04	USD	2,938.50	
14AUG17	B	1	PD14NOV17	6409.50	6501.04	USD	2,288.50	
14AUG17	B	1	PD14NOV17	6415.00	6501.04	USD	2,151.00	
14AUG17	B	1	PD14NOV17	6416.50	6501.04	USD	2,113.50	
14AUG17	S	3	PD14NOV17	6400.00	6501.04	USD	-7,578.00	
14AUG17	S	11	PD14NOV17	6398.00	6501.04	USD	-28,336.00	
14AUG17	S	4	PD14NOV17	6399.00	6501.04	USD	-10,204.00	
14AUG17	S	2	PD14NOV17	6396.50	6501.04	USD	-5,227.00	
14AUG17	S	5	PD14NOV17	6395.00	6501.04	USD	-13,255.00	
14AUG17	B	3	PD14NOV17	6399.00	6501.04	USD	7,653.00	
14AUG17	B	4	PD14NOV17	6396.00	6501.04	USD	10,504.00	
14AUG17	B	5	PD14NOV17	6397.00	6501.04	USD	13,005.00	
14AUG17	B	2	PD14NOV17	6406.00	6501.04	USD	4,752.00	
14AUG17	B	8	PD14NOV17	6405.00	6501.04	USD	19,208.00	
14AUG17	B	7	PD14NOV17	6404.50	6501.04	USD	16,894.50	
14AUG17	B	1	PD14NOV17	6403.50	6501.04	USD	2,438.50	
14AUG17	B	4	PD14NOV17	6402.50	6501.04	USD	9,854.00	
14AUG17	B	2	PD14NOV17	6403.00	6501.04	USD	4,902.00	
14AUG17	B	1	PD14NOV17	6400.00	6501.04	USD	2,526.00	
14AUG17	B	5	PD14NOV17	6399.50	6501.04	USD	12,692.50	
14AUG17	B	3	PD14NOV17	6402.00	6501.04	USD	7,428.00	
14AUG17	B	6	PD14NOV17	6404.00	6501.04	USD	14,556.00	
14AUG17	B	1	PD14NOV17	6399.50	6501.04	USD	2,538.50	
21AUG17	B	5	PD14NOV17	6586.70	6501.04	USD	-10,707.50	
	NET	**0**	**AVG BGHT 6417.28 SOLD 6404.33**				**-19,750.00**	**0.00**
15AUG17	B	1	PD15NOV17	6419.50	6501.50	USD	2,050.00	
15AUG17	S	2	PD15NOV17	6419.00	6501.50	USD	-4,125.00	
15AUG17	S	3	PD15NOV17	6415.00	6501.50	USD	-6,487.50	
15AUG17	S	7	PD15NOV17	6416.00	6501.50	USD	-14,962.50	
15AUG17	S	2	PD15NOV17	6418.50	6501.50	USD	-4,150.00	
15AUG17	S	2	PD15NOV17	6419.50	6501.50	USD	-4,100.00	
15AUG17	S	2	PD15NOV17	6418.00	6501.50	USD	-4,175.00	
15AUG17	S	4	PD15NOV17	6416.50	6501.50	USD	-8,500.00	
15AUG17	S	9	PD15NOV17	6417.50	6501.50	USD	-18,900.00	
15AUG17	S	1	PD15NOV17	6420.50	6501.50	USD	-2,025.00	
15AUG17	B	1	PD15NOV17	6413.00	6501.50	USD	2,212.50	
15AUG17	S	1	PD15NOV17	6409.50	6501.50	USD	-2,300.00	
15AUG17	S	5	PD15NOV17	6422.00	6501.50	USD	-9,937.50	
15AUG17	S	6	PD15NOV17	6380.50	6501.50	USD	-18,150.00	
15AUG17	S	7	PD15NOV17	6379.00	6501.50	USD	-21,437.50	
15AUG17	S	1	PD15NOV17	6374.50	6501.50	USD	-3,175.00	
15AUG17	S	1	PD15NOV17	6377.50	6501.50	USD	-3,100.00	
15AUG17	S	1	PD15NOV17	6381.00	6501.50	USD	-3,012.50	
15AUG17	S	1	PD15NOV17	6380.00	6501.50	USD	-3,037.50	
15AUG17	S	1	PD15NOV17	6381.50	6501.50	USD	-3,000.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097K

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
15AUG17	S 2 PD15NOV17	6382.50	6501.50	USD	-5,950.00	
15AUG17	S 1 PD15NOV17	6378.50	6501.50	USD	-3,075.00	
15AUG17	S 1 PD15NOV17	6379.50	6501.50	USD	-3,050.00	
15AUG17	S 7 PD15NOV17	6378.00	6501.50	USD	-21,612.50	
15AUG17	B 1 PD15NOV17	6365.50	6501.50	USD	3,400.00	
15AUG17	B 7 PD15NOV17	6367.50	6501.50	USD	23,450.00	
15AUG17	B 2 PD15NOV17	6369.00	6501.50	USD	6,625.00	
15AUG17	B 1 PD15NOV17	6373.00	6501.50	USD	3,212.50	
15AUG17	B 2 PD15NOV17	6376.50	6501.50	USD	6,250.00	
15AUG17	B 2 PD15NOV17	6375.50	6501.50	USD	6,300.00	
15AUG17	S 1 PD15NOV17	6377.00	6501.50	USD	-3,112.50	
15AUG17	S 1 PD15NOV17	6376.00	6501.50	USD	-3,137.50	
15AUG17	S 5 PD15NOV17	6377.00	6501.50	USD	-15,562.50	
15AUG17	S 3 PD15NOV17	6376.50	6501.50	USD	-9,375.00	
15AUG17	S 4 PD15NOV17	6371.50	6501.50	USD	-13,000.00	
15AUG17	S 1 PD15NOV17	6370.50	6501.50	USD	-3,275.00	
15AUG17	S 1 PD15NOV17	6368.00	6501.50	USD	-3,337.50	
15AUG17	S 1 PD15NOV17	6365.00	6501.50	USD	-3,412.50	
15AUG17	S 1 PD15NOV17	6363.50	6501.50	USD	-3,450.00	
15AUG17	B 8 PD15NOV17	6366.50	6501.50	USD	27,000.00	
30AUG17	B 60 PD15NOV17	6767.50	6501.50	USD	-399,000.00	
	NET 0 AVG BGHT 6651.38 SOLD 6395.18				**-544,425.00**	**0.00**
16AUG17	S 1 PD16NOV17	6390.00	6501.00	USD	-2,775.00	
16AUG17	S 1 PD16NOV17	6391.50	6501.00	USD	-2,737.50	
16AUG17	S 3 PD16NOV17	6389.00	6501.00	USD	-8,400.00	
16AUG17	S 1 PD16NOV17	6388.50	6501.00	USD	-2,812.50	
16AUG17	S 3 PD16NOV17	6386.00	6501.00	USD	-8,625.00	
16AUG17	S 1 PD16NOV17	6386.50	6501.00	USD	-2,862.50	
16AUG17	S 2 PD16NOV17	6387.50	6501.00	USD	-5,675.00	
16AUG17	S 1 PD16NOV17	6382.50	6501.00	USD	-2,962.50	
16AUG17	S 1 PD16NOV17	6382.00	6501.00	USD	-2,975.00	
16AUG17	S 1 PD16NOV17	6376.50	6501.00	USD	-3,112.50	
16AUG17	S 4 PD16NOV17	6379.50	6501.00	USD	-12,150.00	
16AUG17	S 1 PD16NOV17	6378.50	6501.00	USD	-3,062.50	
16AUG17	B 2 PD16NOV17	6434.00	6501.00	USD	3,350.00	
16AUG17	B 2 PD16NOV17	6438.00	6501.00	USD	3,150.00	
16AUG17	B 9 PD16NOV17	6438.50	6501.00	USD	14,062.50	
16AUG17	B 2 PD16NOV17	6440.00	6501.00	USD	3,050.00	
16AUG17	B 3 PD16NOV17	6437.00	6501.00	USD	4,800.00	
16AUG17	B 1 PD16NOV17	6466.50	6501.00	USD	862.50	
16AUG17	B 1 PD16NOV17	6467.00	6501.00	USD	850.00	
16AUG17	B 3 PD16NOV17	6472.50	6501.00	USD	2,137.50	
16AUG17	B 1 PD16NOV17	6438.50	6501.00	USD	1,562.50	
16AUG17	B 1 PD16NOV17	6438.00	6501.00	USD	1,575.00	
16AUG17	B 1 PD16NOV17	6444.50	6501.00	USD	1,412.50	
16AUG17	B 1 PD16NOV17	6441.50	6501.00	USD	1,487.50	
16AUG17	B 1 PD16NOV17	6477.50	6501.00	USD	587.50	
16AUG17	B 2 PD16NOV17	6479.00	6501.00	USD	1,100.00	
16AUG17	B 1 PD16NOV17	6481.50	6501.00	USD	487.50	
16AUG17	B 2 PD16NOV17	6485.00	6501.00	USD	800.00	
16AUG17	B 6 PD16NOV17	6484.50	6501.00	USD	2,475.00	
16AUG17	B 6 PD16NOV17	6483.50	6501.00	USD	2,625.00	
16AUG17	B 5 PD16NOV17	6490.00	6501.00	USD	1,375.00	
16AUG17	B 1 PD16NOV17	6488.50	6501.00	USD	312.50	
16AUG17	B 2 PD16NOV17	6497.50	6501.00	USD	175.00	
16AUG17	B 2 PD16NOV17	6497.00	6501.00	USD	200.00	
16AUG17	B 1 PD16NOV17	6493.00	6501.00	USD	200.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097K

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
16AUG17	B 2 PD16NOV17	6492.00	6501.00	USD	450.00	
16AUG17	B 2 PD16NOV17	6491.00	6501.00	USD	500.00	
16AUG17	B 7 PD16NOV17	6494.00	6501.00	USD	1,225.00	
16AUG17	B 3 PD16NOV17	6490.50	6501.00	USD	787.50	
16AUG17	B 2 PD16NOV17	6496.00	6501.00	USD	250.00	
16AUG17	B 2 PD16NOV17	6492.50	6501.00	USD	425.00	
16AUG17	B 1 PD16NOV17	6486.00	6501.00	USD	375.00	
16AUG17	B 2 PD16NOV17	6485.50	6501.00	USD	775.00	
16AUG17	B 2 PD16NOV17	6486.50	6501.00	USD	725.00	
16AUG17	B 4 PD16NOV17	6487.00	6501.00	USD	1,400.00	
16AUG17	B 2 PD16NOV17	6487.50	6501.00	USD	675.00	
16AUG17	B 6 PD16NOV17	6489.00	6501.00	USD	1,800.00	
16AUG17	B 1 PD16NOV17	6488.00	6501.00	USD	325.00	
16AUG17	B 3 PD16NOV17	6493.50	6501.00	USD	562.50	
16AUG17	S 1 PD16NOV17	6486.00	6501.00	USD	-375.00	
16AUG17	S 3 PD16NOV17	6484.50	6501.00	USD	-1,237.50	
16AUG17	S 1 PD16NOV17	6488.00	6501.00	USD	-325.00	
16AUG17	S 1 PD16NOV17	6490.00	6501.00	USD	-275.00	
16AUG17	S 5 PD16NOV17	6487.50	6501.00	USD	-1,687.50	
16AUG17	S 4 PD16NOV17	6489.00	6501.00	USD	-1,200.00	
16AUG17	B 4 PD16NOV17	6513.00	6501.00	USD	-1,200.00	
16AUG17	B 2 PD16NOV17	6512.00	6501.00	USD	-550.00	
16AUG17	B 3 PD16NOV17	6514.00	6501.00	USD	-975.00	
16AUG17	B 1 PD16NOV17	6515.00	6501.00	USD	-350.00	
16AUG17	B 1 PD16NOV17	6513.50	6501.00	USD	-312.50	
16AUG17	B 2 PD16NOV17	6514.50	6501.00	USD	-675.00	
16AUG17	B 2 PD16NOV17	6515.50	6501.00	USD	-725.00	
16AUG17	B 6 PD16NOV17	6530.00	6501.00	USD	-4,350.00	
16AUG17	B 1 PD16NOV17	6521.00	6501.00	USD	-500.00	
16AUG17	B 5 PD16NOV17	6527.50	6501.00	USD	-3,312.50	
16AUG17	B 4 PD16NOV17	6526.50	6501.00	USD	-2,550.00	
16AUG17	B 2 PD16NOV17	6528.00	6501.00	USD	-1,350.00	
16AUG17	B 1 PD16NOV17	6530.50	6501.00	USD	-737.50	
16AUG17	B 1 PD16NOV17	6531.50	6501.00	USD	-762.50	
16AUG17	S 5 PD16NOV17	6575.00	6501.00	USD	9,250.00	
16AUG17	S 3 PD16NOV17	6574.00	6501.00	USD	5,475.00	
16AUG17	S 12 PD16NOV17	6573.00	6501.00	USD	21,600.00	
16AUG17	B 5 PD16NOV17	6556.00	6501.00	USD	-6,875.00	
16AUG17	B 1 PD16NOV17	6553.00	6501.00	USD	-1,300.00	
16AUG17	B 3 PD16NOV17	6551.00	6501.00	USD	-3,750.00	
16AUG17	B 2 PD16NOV17	6542.00	6501.00	USD	-2,050.00	
16AUG17	B 1 PD16NOV17	6537.00	6501.00	USD	-900.00	
16AUG17	B 2 PD16NOV17	6535.00	6501.00	USD	-1,700.00	
16AUG17	B 1 PD16NOV17	6536.50	6501.00	USD	-887.50	
16AUG17	B 4 PD16NOV17	6537.50	6501.00	USD	-3,650.00	
16AUG17	S 4 PD16NOV17	6535.50	6501.00	USD	3,450.00	
16AUG17	S 6 PD16NOV17	6536.50	6501.00	USD	5,325.00	
16AUG17	S 4 PD16NOV17	6530.00	6501.00	USD	2,900.00	
16AUG17	S 5 PD16NOV17	6531.00	6501.00	USD	3,750.00	
16AUG17	S 2 PD16NOV17	6534.00	6501.00	USD	1,650.00	
16AUG17	S 2 PD16NOV17	6533.00	6501.00	USD	1,600.00	
16AUG17	S 2 PD16NOV17	6527.50	6501.00	USD	1,325.00	
16AUG17	B 6 PD16NOV17	6526.00	6501.00	USD	-3,750.00	
16AUG17	B 2 PD16NOV17	6531.00	6501.00	USD	-1,500.00	
16AUG17	B 1 PD16NOV17	6529.50	6501.00	USD	-712.50	
16AUG17	B 3 PD16NOV17	6528.50	6501.00	USD	-2,062.50	
16AUG17	B 9 PD16NOV17	6529.00	6501.00	USD	-6,300.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097K

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
16AUG17	B 1 PD16NOV17	6531.50	6501.00	USD	-762.50	
16AUG17	S 1 PD16NOV17	6529.00	6501.00	USD	700.00	
21AUG17	S 80 PD16NOV17	6584.50	6501.00	USD	167,000.00	
31AUG17	S 10 PD16NOV17	6784.50	6501.00	USD	70,875.00	
	NET 0 AVG BGHT 6499.98 SOLD 6555.19				**236,012.50**	**0.00**
17AUG17	B 1 PD17NOV17	6558.50	6501.60	USD	-1,422.50	
17AUG17	B 3 PD17NOV17	6559.00	6501.60	USD	-4,305.00	
17AUG17	B 2 PD17NOV17	6562.00	6501.60	USD	-3,020.00	
17AUG17	B 4 PD17NOV17	6559.50	6501.60	USD	-5,790.00	
17AUG17	B 1 PD17NOV17	6532.50	6501.60	USD	-772.50	
17AUG17	B 2 PD17NOV17	6531.50	6501.60	USD	-1,495.00	
17AUG17	B 1 PD17NOV17	6529.50	6501.60	USD	-697.50	
17AUG17	B 2 PD17NOV17	6538.50	6501.60	USD	-1,845.00	
17AUG17	B 1 PD17NOV17	6535.50	6501.60	USD	-847.50	
17AUG17	B 2 PD17NOV17	6534.00	6501.60	USD	-1,620.00	
17AUG17	B 1 PD17NOV17	6533.00	6501.60	USD	-785.00	
17AUG17	B 1 PD17NOV17	6542.00	6501.60	USD	-1,010.00	
17AUG17	S 1 PD17NOV17	6544.00	6501.60	USD	1,060.00	
17AUG17	S 1 PD17NOV17	6546.00	6501.60	USD	1,110.00	
17AUG17	B 1 PD17NOV17	6523.00	6501.60	USD	-535.00	
17AUG17	B 1 PD17NOV17	6527.00	6501.60	USD	-635.00	
17AUG17	B 2 PD17NOV17	6526.50	6501.60	USD	-1,245.00	
17AUG17	B 2 PD17NOV17	6527.50	6501.60	USD	-1,295.00	
17AUG17	B 2 PD17NOV17	6507.50	6501.60	USD	-295.00	
17AUG17	B 2 PD17NOV17	6496.50	6501.60	USD	255.00	
17AUG17	S 1 PD17NOV17	6500.00	6501.60	USD	-40.00	
17AUG17	S 2 PD17NOV17	6504.50	6501.60	USD	145.00	
17AUG17	B 5 PD17NOV17	6494.00	6501.60	USD	950.00	
17AUG17	B 4 PD17NOV17	6494.50	6501.60	USD	710.00	
17AUG17	B 3 PD17NOV17	6493.50	6501.60	USD	607.50	
17AUG17	B 2 PD17NOV17	6496.00	6501.60	USD	280.00	
17AUG17	B 1 PD17NOV17	6492.50	6501.60	USD	227.50	
17AUG17	S 4 PD17NOV17	6500.00	6501.60	USD	-160.00	
17AUG17	S 1 PD17NOV17	6497.50	6501.60	USD	-102.50	
17AUG17	S 3 PD17NOV17	6504.00	6501.60	USD	180.00	
17AUG17	S 1 PD17NOV17	6511.50	6501.60	USD	247.50	
17AUG17	S 1 PD17NOV17	6514.00	6501.60	USD	310.00	
17AUG17	S 1 PD17NOV17	6512.50	6501.60	USD	272.50	
17AUG17	S 1 PD17NOV17	6507.00	6501.60	USD	135.00	
17AUG17	S 2 PD17NOV17	6509.00	6501.60	USD	370.00	
17AUG17	S 1 PD17NOV17	6506.00	6501.60	USD	110.00	
17AUG17	S 5 PD17NOV17	6502.50	6501.60	USD	112.50	
17AUG17	S 14 PD17NOV17	6503.00	6501.60	USD	490.00	
17AUG17	S 11 PD17NOV17	6502.00	6501.60	USD	110.00	
17AUG17	S 1 PD17NOV17	6498.50	6501.60	USD	-77.50	
17AUG17	S 3 PD17NOV17	6499.50	6501.60	USD	-157.50	
17AUG17	S 1 PD17NOV17	6500.50	6501.60	USD	-27.50	
17AUG17	S 15 PD17NOV17	6501.00	6501.60	USD	-225.00	
17AUG17	S 2 PD17NOV17	6515.00	6501.60	USD	670.00	
17AUG17	S 2 PD17NOV17	6513.00	6501.60	USD	570.00	
17AUG17	S 3 PD17NOV17	6516.00	6501.60	USD	1,080.00	
17AUG17	S 2 PD17NOV17	6514.50	6501.60	USD	645.00	
17AUG17	S 1 PD17NOV17	6510.50	6501.60	USD	222.50	
17AUG17	S 1 PD17NOV17	6487.50	6501.60	USD	-352.50	
17AUG17	S 1 PD17NOV17	6488.50	6501.60	USD	-327.50	
17AUG17	S 2 PD17NOV17	6492.00	6501.60	USD	-480.00	
17AUG17	S 5 PD17NOV17	6489.50	6501.60	USD	-1,512.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097K

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
17AUG17	S 5 PD17NOV17	6495.50	6501.60	USD	-762.50	
17AUG17	S 5 PD17NOV17	6493.00	6501.60	USD	-1,075.00	
17AUG17	S 1 PD17NOV17	6495.00	6501.60	USD	-165.00	
17AUG17	S 3 PD17NOV17	6493.50	6501.60	USD	-607.50	
17AUG17	S 5 PD17NOV17	6492.50	6501.60	USD	-1,137.50	
17AUG17	S 2 PD17NOV17	6490.00	6501.60	USD	-580.00	
17AUG17	S 2 PD17NOV17	6491.00	6501.60	USD	-530.00	
17AUG17	S 2 PD17NOV17	6491.50	6501.60	USD	-505.00	
17AUG17	S 1 PD17NOV17	6484.00	6501.60	USD	-440.00	
17AUG17	S 1 PD17NOV17	6483.00	6501.60	USD	-465.00	
17AUG17	S 1 PD17NOV17	6477.50	6501.60	USD	-602.50	
17AUG17	S 2 PD17NOV17	6475.00	6501.60	USD	-1,330.00	
17AUG17	S 1 PD17NOV17	6504.50	6501.60	USD	72.50	
17AUG17	S 1 PD17NOV17	6503.00	6501.60	USD	35.00	
18AUG17	S 1 PD17NOV17	6465.50	6501.60	USD	-902.50	
18AUG17	S 1 PD17NOV17	6467.50	6501.60	USD	-852.50	
18AUG17	S 1 PD17NOV17	6468.50	6501.60	USD	-827.50	
18AUG17	S 1 PD17NOV17	6469.50	6501.60	USD	-802.50	
18AUG17	S 1 PD17NOV17	6472.00	6501.60	USD	-740.00	
18AUG17	S 2 PD17NOV17	6470.00	6501.60	USD	-1,580.00	
18AUG17	S 3 PD17NOV17	6471.00	6501.60	USD	-2,295.00	
18AUG17	B 1 PD17NOV17	6487.50	6501.60	USD	352.50	
18AUG17	B 2 PD17NOV17	6487.00	6501.60	USD	730.00	
18AUG17	S 1 PD17NOV17	6466.50	6501.60	USD	-877.50	
18AUG17	S 2 PD17NOV17	6468.00	6501.60	USD	-1,680.00	
18AUG17	B 2 PD17NOV17	6470.50	6501.60	USD	1,555.00	
18AUG17	B 1 PD17NOV17	6469.50	6501.60	USD	802.50	
18AUG17	B 1 PD17NOV17	6470.00	6501.60	USD	790.00	
18AUG17	B 2 PD17NOV17	6468.50	6501.60	USD	1,655.00	
18AUG17	B 1 PD17NOV17	6469.00	6501.60	USD	815.00	
18AUG17	B 1 PD17NOV17	6471.00	6501.60	USD	765.00	
18AUG17	B 2 PD17NOV17	6462.00	6501.60	USD	1,980.00	
18AUG17	B 1 PD17NOV17	6461.50	6501.60	USD	1,002.50	
18AUG17	B 1 PD17NOV17	6459.00	6501.60	USD	1,065.00	
18AUG17	B 7 PD17NOV17	6461.00	6501.60	USD	7,105.00	
18AUG17	B 3 PD17NOV17	6473.00	6501.60	USD	2,145.00	
18AUG17	B 5 PD17NOV17	6474.00	6501.60	USD	3,450.00	
18AUG17	B 3 PD17NOV17	6477.50	6501.60	USD	1,807.50	
18AUG17	B 2 PD17NOV17	6491.00	6501.60	USD	530.00	
18AUG17	B 3 PD17NOV17	6493.00	6501.60	USD	645.00	
18AUG17	B 4 PD17NOV17	6492.50	6501.60	USD	910.00	
18AUG17	B 1 PD17NOV17	6491.50	6501.60	USD	252.50	
18AUG17	B 2 PD17NOV17	6486.50	6501.60	USD	755.00	
18AUG17	B 2 PD17NOV17	6485.50	6501.60	USD	805.00	
18AUG17	S 1 PD17NOV17	6487.00	6501.60	USD	-365.00	
18AUG17	S 7 PD17NOV17	6487.50	6501.60	USD	-2,467.50	
18AUG17	S 2 PD17NOV17	6491.00	6501.60	USD	-530.00	
18AUG17	S 3 PD17NOV17	6488.00	6501.60	USD	-1,020.00	
18AUG17	S 6 PD17NOV17	6486.50	6501.60	USD	-2,265.00	
18AUG17	S 1 PD17NOV17	6485.50	6501.60	USD	-402.50	
18AUG17	S 4 PD17NOV17	6480.50	6501.60	USD	-2,110.00	
18AUG17	S 5 PD17NOV17	6481.00	6501.60	USD	-2,575.00	
18AUG17	S 2 PD17NOV17	6483.00	6501.60	USD	-930.00	
18AUG17	S 5 PD17NOV17	6485.00	6501.60	USD	-2,075.00	
18AUG17	S 3 PD17NOV17	6486.00	6501.60	USD	-1,170.00	
21AUG17	B 80 PD17NOV17	6585.00	6501.60	USD	-166,800.00	
	NET 0 AVG BGHT 6538.93 SOLD 6494.62				**-191,650.00**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097K

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
21AUG17	S 2 PD21NOV17	6544.00	6504.00	USD	2,000.00	
21AUG17	B 1 PD21NOV17	6537.50	6504.00	USD	-837.50	
21AUG17	B 1 PD21NOV17	6554.00	6504.00	USD	-1,250.00	
21AUG17	B 1 PD21NOV17	6545.50	6504.00	USD	-1,037.50	
21AUG17	B 1 PD21NOV17	6543.00	6504.00	USD	-975.00	
21AUG17	B 1 PD21NOV17	6557.50	6504.00	USD	-1,337.50	
21AUG17	B 1 PD21NOV17	6554.50	6504.00	USD	-1,262.50	
21AUG17	B 1 PD21NOV17	6546.50	6504.00	USD	-1,062.50	
21AUG17	B 4 PD21NOV17	6557.00	6504.00	USD	-5,300.00	
21AUG17	B 1 PD21NOV17	6551.50	6504.00	USD	-1,187.50	
21AUG17	B 1 PD21NOV17	6550.00	6504.00	USD	-1,150.00	
21AUG17	B 2 PD21NOV17	6559.00	6504.00	USD	-2,750.00	
21AUG17	B 2 PD21NOV17	6552.50	6504.00	USD	-2,425.00	
21AUG17	B 3 PD21NOV17	6551.50	6504.00	USD	-3,562.50	
21AUG17	B 4 PD21NOV17	6555.50	6504.00	USD	-5,150.00	
21AUG17	B 2 PD21NOV17	6558.00	6504.00	USD	-2,700.00	
21AUG17	B 14 PD21NOV17	6550.00	6504.00	USD	-16,100.00	
21AUG17	B 1 PD21NOV17	6553.00	6504.00	USD	-1,225.00	
21AUG17	B 2 PD21NOV17	6552.00	6504.00	USD	-2,400.00	
21AUG17	B 3 PD21NOV17	6555.00	6504.00	USD	-3,825.00	
21AUG17	B 14 PD21NOV17	6551.00	6504.00	USD	-16,450.00	
21AUG17	B 5 PD21NOV17	6548.00	6504.00	USD	-5,500.00	
21AUG17	B 1 PD21NOV17	6548.50	6504.00	USD	-1,112.50	
21AUG17	B 2 PD21NOV17	6553.50	6504.00	USD	-2,475.00	
21AUG17	B 4 PD21NOV17	6550.50	6504.00	USD	-4,650.00	
21AUG17	B 5 PD21NOV17	6547.00	6504.00	USD	-5,375.00	
21AUG17	B 5 PD21NOV17	6549.00	6504.00	USD	-5,625.00	
21AUG17	B 4 PD21NOV17	6556.00	6504.00	USD	-5,200.00	
21AUG17	B 3 PD21NOV17	6560.00	6504.00	USD	-4,200.00	
21AUG17	B 2 PD21NOV17	6561.00	6504.00	USD	-2,850.00	
21AUG17	B 1 PD21NOV17	6568.00	6504.00	USD	-1,600.00	
21AUG17	B 3 PD21NOV17	6567.00	6504.00	USD	-4,725.00	
21AUG17	B 3 PD21NOV17	6573.00	6504.00	USD	-5,175.00	
21AUG17	B 6 PD21NOV17	6589.50	6504.00	USD	-12,825.00	
21AUG17	B 8 PD21NOV17	6588.50	6504.00	USD	-16,900.00	
21AUG17	B 1 PD21NOV17	6586.00	6504.00	USD	-2,050.00	
21AUG17	B 1 PD21NOV17	6585.50	6504.00	USD	-2,037.50	
21AUG17	B 6 PD21NOV17	6583.00	6504.00	USD	-11,850.00	
21AUG17	B 3 PD21NOV17	6582.00	6504.00	USD	-5,850.00	
21AUG17	B 1 PD21NOV17	6577.00	6504.00	USD	-1,825.00	
21AUG17	B 1 PD21NOV17	6575.00	6504.00	USD	-1,775.00	
21AUG17	B 3 PD21NOV17	6573.50	6504.00	USD	-5,212.50	
21AUG17	B 5 PD21NOV17	6571.00	6504.00	USD	-8,375.00	
21AUG17	B 8 PD21NOV17	6570.00	6504.00	USD	-13,200.00	
21AUG17	B 1 PD21NOV17	6562.00	6504.00	USD	-1,450.00	
21AUG17	B 2 PD21NOV17	6564.50	6504.00	USD	-3,025.00	
21AUG17	B 4 PD21NOV17	6565.50	6504.00	USD	-6,150.00	
21AUG17	B 2 PD21NOV17	6565.00	6504.00	USD	-3,050.00	
21AUG17	B 3 PD21NOV17	6566.50	6504.00	USD	-4,687.50	
21AUG17	B 1 PD21NOV17	6589.00	6504.00	USD	-2,125.00	
21AUG17	B 2 PD21NOV17	6593.50	6504.00	USD	-4,475.00	
21AUG17	B 7 PD21NOV17	6595.50	6504.00	USD	-16,012.50	
21AUG17	B 3 PD21NOV17	6596.00	6504.00	USD	-6,900.00	
21AUG17	B 2 PD21NOV17	6599.00	6504.00	USD	-4,750.00	
21AUG17	B 1 PD21NOV17	6598.00	6504.00	USD	-2,350.00	
21AUG17	B 4 PD21NOV17	6597.00	6504.00	USD	-9,300.00	
21AUG17	B 10 PD21NOV17	6594.50	6504.00	USD	-22,625.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097K

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT							
21AUG17	B	1	PD21NOV17	6554.50	6504.00	USD	-1,262.50	
21AUG17	B	2	PD21NOV17	6553.50	6504.00	USD	-2,475.00	
21AUG17	B	1	PD21NOV17	6557.00	6504.00	USD	-1,325.00	
30AUG17	S	60	PD21NOV17	6766.55	6504.00	USD	393,825.00	
30AUG17	S	75	PD21NOV17	6766.75	6504.00	USD	492,656.25	
31AUG17	S	50	PD21NOV17	6785.75	6504.00	USD	352,187.50	
	NET	**0**	**AVG BGHT 6566.96**	**SOLD 6769.38**			**946,331.25**	**0.00**
22AUG17	S	1	PD22NOV17	6589.00	6504.60	USD	2,110.00	
22AUG17	S	4	PD22NOV17	6588.50	6504.60	USD	8,390.00	
22AUG17	S	1	PD22NOV17	6592.50	6504.60	USD	2,197.50	
22AUG17	S	1	PD22NOV17	6595.00	6504.60	USD	2,260.00	
22AUG17	S	2	PD22NOV17	6596.00	6504.60	USD	4,570.00	
22AUG17	S	1	PD22NOV17	6593.50	6504.60	USD	2,222.50	
22AUG17	B	3	PD22NOV17	6606.00	6504.60	USD	-7,605.00	
22AUG17	B	1	PD22NOV17	6641.00	6504.60	USD	-3,410.00	
22AUG17	B	3	PD22NOV17	6640.50	6504.60	USD	-10,192.50	
22AUG17	B	2	PD22NOV17	6641.50	6504.60	USD	-6,845.00	
22AUG17	B	1	PD22NOV17	6636.50	6504.60	USD	-3,297.50	
22AUG17	B	2	PD22NOV17	6635.00	6504.60	USD	-6,520.00	
22AUG17	B	1	PD22NOV17	6634.00	6504.60	USD	-3,235.00	
22AUG17	B	2	PD22NOV17	6632.50	6504.60	USD	-6,395.00	
22AUG17	B	1	PD22NOV17	6629.00	6504.60	USD	-3,110.00	
22AUG17	B	3	PD22NOV17	6627.00	6504.60	USD	-9,180.00	
22AUG17	B	7	PD22NOV17	6607.00	6504.60	USD	-17,920.00	
22AUG17	S	1	PD22NOV17	6612.00	6504.60	USD	2,685.00	
22AUG17	S	1	PD22NOV17	6621.00	6504.60	USD	2,910.00	
22AUG17	S	1	PD22NOV17	6626.50	6504.60	USD	3,047.50	
22AUG17	B	1	PD22NOV17	6621.50	6504.60	USD	-2,922.50	
22AUG17	S	1	PD22NOV17	6623.50	6504.60	USD	2,972.50	
22AUG17	S	2	PD22NOV17	6624.50	6504.60	USD	5,995.00	
22AUG17	S	1	PD22NOV17	6625.50	6504.60	USD	3,022.50	
22AUG17	S	1	PD22NOV17	6627.50	6504.60	USD	3,072.50	
22AUG17	S	1	PD22NOV17	6628.50	6504.60	USD	3,097.50	
22AUG17	S	4	PD22NOV17	6627.00	6504.60	USD	12,240.00	
22AUG17	B	2	PD22NOV17	6632.00	6504.60	USD	-6,370.00	
22AUG17	S	1	PD22NOV17	6631.50	6504.60	USD	3,172.50	
22AUG17	S	1	PD22NOV17	6632.00	6504.60	USD	3,185.00	
22AUG17	B	4	PD22NOV17	6627.50	6504.60	USD	-12,290.00	
22AUG17	B	1	PD22NOV17	6620.50	6504.60	USD	-2,897.50	
22AUG17	B	1	PD22NOV17	6618.50	6504.60	USD	-2,847.50	
22AUG17	B	1	PD22NOV17	6612.00	6504.60	USD	-2,685.00	
22AUG17	B	3	PD22NOV17	6613.00	6504.60	USD	-8,130.00	
22AUG17	B	1	PD22NOV17	6631.50	6504.60	USD	-3,172.50	
30AUG17	S	15	PD22NOV17	6767.00	6504.60	USD	98,400.00	
	NET	**0**	**AVG BGHT 6623.63**	**SOLD 6670.15**			**46,525.00**	**0.00**
23AUG17	B	1	PD24NOV17	6563.50	6505.80	USD	-1,442.50	
23AUG17	B	1	PD24NOV17	6560.50	6505.80	USD	-1,367.50	
23AUG17	B	2	PD24NOV17	6564.50	6505.80	USD	-2,935.00	
23AUG17	B	6	PD24NOV17	6566.00	6505.80	USD	-9,030.00	
23AUG17	B	1	PD24NOV17	6569.00	6505.80	USD	-1,580.00	
23AUG17	B	1	PD24NOV17	6557.00	6505.80	USD	-1,280.00	
23AUG17	B	1	PD24NOV17	6555.50	6505.80	USD	-1,242.50	
23AUG17	B	2	PD24NOV17	6558.00	6505.80	USD	-2,610.00	
23AUG17	S	1	PD24NOV17	6587.00	6505.80	USD	2,030.00	
23AUG17	S	2	PD24NOV17	6586.50	6505.80	USD	4,035.00	
23AUG17	S	1	PD24NOV17	6585.00	6505.80	USD	1,980.00	
23AUG17	S	4	PD24NOV17	6585.50	6505.80	USD	7,970.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097K

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
23AUG17	S 1 PD24NOV17	6586.00	6505.80	USD	2,005.00	
23AUG17	B 1 PD24NOV17	6587.50	6505.80	USD	-2,042.50	
23AUG17	B 5 PD24NOV17	6588.00	6505.80	USD	-10,275.00	
23AUG17	B 1 PD24NOV17	6586.50	6505.80	USD	-2,017.50	
23AUG17	B 5 PD24NOV17	6586.00	6505.80	USD	-10,025.00	
23AUG17	B 1 PD24NOV17	6585.50	6505.80	USD	-1,992.50	
23AUG17	B 2 PD24NOV17	6587.00	6505.80	USD	-4,060.00	
23AUG17	B 2 PD24NOV17	6574.00	6505.80	USD	-3,410.00	
23AUG17	B 6 PD24NOV17	6573.00	6505.80	USD	-10,080.00	
23AUG17	B 2 PD24NOV17	6571.50	6505.80	USD	-3,285.00	
23AUG17	B 1 PD24NOV17	6551.00	6505.80	USD	-1,130.00	
23AUG17	B 1 PD24NOV17	6550.50	6505.80	USD	-1,117.50	
23AUG17	B 3 PD24NOV17	6550.00	6505.80	USD	-3,315.00	
23AUG17	S 1 PD24NOV17	6559.50	6505.80	USD	1,342.50	
23AUG17	S 4 PD24NOV17	6561.50	6505.80	USD	5,570.00	
23AUG17	S 2 PD24NOV17	6566.00	6505.80	USD	3,010.00	
23AUG17	S 1 PD24NOV17	6567.00	6505.80	USD	1,530.00	
23AUG17	S 1 PD24NOV17	6567.50	6505.80	USD	1,542.50	
23AUG17	S 1 PD24NOV17	6563.00	6505.80	USD	1,430.00	
23AUG17	S 3 PD24NOV17	6564.00	6505.80	USD	4,365.00	
23AUG17	S 1 PD24NOV17	6565.00	6505.80	USD	1,480.00	
23AUG17	S 1 PD24NOV17	6566.50	6505.80	USD	1,517.50	
23AUG17	S 1 PD24NOV17	6576.00	6505.80	USD	1,755.00	
23AUG17	S 1 PD24NOV17	6569.50	6505.80	USD	1,592.50	
23AUG17	S 4 PD24NOV17	6584.50	6505.80	USD	7,870.00	
23AUG17	S 1 PD24NOV17	6582.50	6505.80	USD	1,917.50	
23AUG17	S 1 PD24NOV17	6580.00	6505.80	USD	1,855.00	
23AUG17	S 8 PD24NOV17	6583.50	6505.80	USD	15,540.00	
23AUG17	S 3 PD24NOV17	6570.50	6505.80	USD	4,852.50	
23AUG17	S 1 PD24NOV17	6573.50	6505.80	USD	1,692.50	
23AUG17	S 4 PD24NOV17	6572.00	6505.80	USD	6,620.00	
23AUG17	S 5 PD24NOV17	6570.00	6505.80	USD	8,025.00	
23AUG17	S 3 PD24NOV17	6569.00	6505.80	USD	4,740.00	
23AUG17	S 3 PD24NOV17	6571.00	6505.80	USD	4,890.00	
23AUG17	S 1 PD24NOV17	6574.00	6505.80	USD	1,705.00	
24AUG17	S 1 PD24NOV17	6608.50	6505.80	USD	2,567.50	
24AUG17	S 9 PD24NOV17	6610.50	6505.80	USD	23,557.50	
24AUG17	S 2 PD24NOV17	6610.00	6505.80	USD	5,210.00	
24AUG17	S 1 PD24NOV17	6611.50	6505.80	USD	2,642.50	
24AUG17	S 1 PD24NOV17	6604.50	6505.80	USD	2,467.50	
24AUG17	S 1 PD24NOV17	6604.00	6505.80	USD	2,455.00	
24AUG17	S 8 PD24NOV17	6603.00	6505.80	USD	19,440.00	
24AUG17	S 5 PD24NOV17	6602.50	6505.80	USD	12,087.50	
24AUG17	S 4 PD24NOV17	6617.50	6505.80	USD	11,170.00	
24AUG17	S 3 PD24NOV17	6614.50	6505.80	USD	8,152.50	
24AUG17	S 6 PD24NOV17	6617.00	6505.80	USD	16,680.00	
24AUG17	S 1 PD24NOV17	6612.00	6505.80	USD	2,655.00	
24AUG17	S 2 PD24NOV17	6611.00	6505.80	USD	5,260.00	
24AUG17	S 4 PD24NOV17	6614.00	6505.80	USD	10,820.00	
24AUG17	S 1 PD24NOV17	6618.50	6505.80	USD	2,817.50	
24AUG17	S 2 PD24NOV17	6619.00	6505.80	USD	5,660.00	
24AUG17	S 2 PD24NOV17	6620.00	6505.80	USD	5,710.00	
24AUG17	S 2 PD24NOV17	6618.00	6505.80	USD	5,610.00	
24AUG17	S 2 PD24NOV17	6622.00	6505.80	USD	5,810.00	
24AUG17	S 1 PD24NOV17	6623.00	6505.80	USD	2,930.00	
24AUG17	S 2 PD24NOV17	6627.50	6505.80	USD	6,085.00	
30AUG17	B 75 PD24NOV17	6767.70	6505.80	USD	-491,062.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097K

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
	NET 0 AVG BGHT 6694.23 SOLD 6593.35				**-302,650.00**	**0.00**
29AUG17	S 5 PD29NOV17	6775.00	6508.80	USD	33,275.00	
29AUG17	S 4 PD29NOV17	6771.00	6508.80	USD	26,220.00	
29AUG17	S 1 PD29NOV17	6772.00	6508.80	USD	6,580.00	
29AUG17	S 1 PD29NOV17	6773.00	6508.80	USD	6,605.00	
29AUG17	S 2 PD29NOV17	6776.50	6508.80	USD	13,385.00	
29AUG17	S 2 PD29NOV17	6777.50	6508.80	USD	13,435.00	
30AUG17	B 15 PD29NOV17	6768.95	6508.80	USD	-97,556.25	
	NET 0 AVG BGHT 6768.95 SOLD 6774.13				**1,943.75**	**0.00**
30AUG17	S 5 PD30NOV17	6792.50	6509.40	USD	35,387.50	
30AUG17	S 3 PD30NOV17	6791.50	6509.40	USD	21,157.50	
30AUG17	S 4 PD30NOV17	6793.00	6509.40	USD	28,360.00	
30AUG17	S 1 PD30NOV17	6794.00	6509.40	USD	7,115.00	
30AUG17	S 1 PD30NOV17	6795.50	6509.40	USD	7,152.50	
30AUG17	S 3 PD30NOV17	6796.00	6509.40	USD	21,495.00	
30AUG17	S 3 PD30NOV17	6797.00	6509.40	USD	21,570.00	
30AUG17	S 6 PD30NOV17	6798.00	6509.40	USD	43,290.00	
30AUG17	S 3 PD30NOV17	6800.50	6509.40	USD	21,832.50	
30AUG17	S 11 PD30NOV17	6797.50	6509.40	USD	79,227.50	
30AUG17	S 5 PD30NOV17	6792.00	6509.40	USD	35,325.00	
30AUG17	S 4 PD30NOV17	6771.50	6509.40	USD	26,210.00	
30AUG17	S 1 PD30NOV17	6772.00	6509.40	USD	6,565.00	
31AUG17	S 5 PD30NOV17	6809.00	6509.40	USD	37,450.00	
31AUG17	S 5 PD30NOV17	6808.00	6509.40	USD	37,325.00	
31AUG17	B 60 PD30NOV17	6788.20	6509.40	USD	-418,200.00	
	NET 0 AVG BGHT 6788.20 SOLD 6795.71				**11,262.50**	**0.00**

OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	558,686.25

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards		Amount	Profit / Loss	**Total Amount**	**Total P&L**
COPL - Futures	USD	558,686.25	558,686.25	**558,686.25**	**558,686.25**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097K

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-533,633.50	-533,633.50
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**-533,633.50**	**-533,633.50**
Initial Margins		0.00	0.00
Excess/(Shortage)		**-533,633.50**	**-533,633.50**
FOR INFORMATION ONLY			
Forward Unrealised P&L		558,686.25	558,686.25
Net Liquidating Value		**25,052.75**	**25,052.75**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097L

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
21JUL17	B 1 PD20OCT17	6047.00	6486.75	USD	10,993.75	
21JUL17	B 1 PD20OCT17	6041.00	6486.75	USD	11,143.75	
21JUL17	B 4 PD20OCT17	6045.00	6486.75	USD	44,175.00	
21JUL17	B 2 PD20OCT17	6050.50	6486.75	USD	21,812.50	
21JUL17	B 5 PD20OCT17	6039.50	6486.75	USD	55,906.25	
21JUL17	B 1 PD20OCT17	6035.00	6486.75	USD	11,293.75	
21JUL17	B 4 PD20OCT17	6036.00	6486.75	USD	45,075.00	
21JUL17	B 2 PD20OCT17	6037.50	6486.75	USD	22,462.50	
21JUL17	B 2 PD20OCT17	6035.50	6486.75	USD	22,562.50	
21JUL17	B 1 PD20OCT17	6034.00	6486.75	USD	11,318.75	
21JUL17	B 1 PD20OCT17	6029.50	6486.75	USD	11,431.25	
21JUL17	B 3 PD20OCT17	6030.00	6486.75	USD	34,256.25	
21JUL17	B 3 PD20OCT17	6031.00	6486.75	USD	34,181.25	
21JUL17	B 1 PD20OCT17	6024.50	6486.75	USD	11,556.25	
21JUL17	S 4 PD20OCT17	6014.00	6486.75	USD	-47,275.00	
21JUL17	S 1 PD20OCT17	6009.00	6486.75	USD	-11,943.75	
21JUL17	S 2 PD20OCT17	6011.00	6486.75	USD	-23,787.50	
21JUL17	S 1 PD20OCT17	6009.50	6486.75	USD	-11,931.25	
21JUL17	S 2 PD20OCT17	6007.50	6486.75	USD	-23,962.50	
21JUL17	S 6 PD20OCT17	6008.50	6486.75	USD	-71,737.50	
21JUL17	S 2 PD20OCT17	6010.00	6486.75	USD	-23,837.50	
21JUL17	S 1 PD20OCT17	6012.00	6486.75	USD	-11,868.75	
21JUL17	S 1 PD20OCT17	6013.00	6486.75	USD	-11,843.75	
21JUL17	S 1 PD20OCT17	5988.50	6486.75	USD	-12,456.25	
21JUL17	S 2 PD20OCT17	5987.50	6486.75	USD	-24,962.50	
21JUL17	S 3 PD20OCT17	5990.00	6486.75	USD	-37,256.25	
21JUL17	S 4 PD20OCT17	5991.00	6486.75	USD	-49,575.00	
21JUL17	S 1 PD20OCT17	6010.00	6486.75	USD	-11,918.75	
	NET 0 AVG BGHT 6037.50 SOLD 6003.71				**-26,187.50**	**0.00**
24JUL17	S 2 PD24OCT17	6007.50	6489.75	USD	-24,112.50	
24JUL17	S 1 PD24OCT17	5990.50	6489.75	USD	-12,481.25	
24JUL17	S 1 PD24OCT17	6002.50	6489.75	USD	-12,181.25	
24JUL17	S 2 PD24OCT17	6009.00	6489.75	USD	-24,037.50	
24JUL17	S 1 PD24OCT17	6015.00	6489.75	USD	-11,868.75	
24JUL17	S 1 PD24OCT17	6024.00	6489.75	USD	-11,643.75	
24JUL17	S 1 PD24OCT17	6024.50	6489.75	USD	-11,631.25	
24JUL17	S 2 PD24OCT17	6028.00	6489.75	USD	-23,087.50	
24JUL17	S 2 PD24OCT17	6028.50	6489.75	USD	-23,062.50	
24JUL17	S 4 PD24OCT17	6029.00	6489.75	USD	-46,075.00	
24JUL17	S 1 PD24OCT17	6016.00	6489.75	USD	-11,843.75	
24JUL17	S 2 PD24OCT17	6014.50	6489.75	USD	-23,762.50	
24JUL17	S 4 PD24OCT17	6015.50	6489.75	USD	-47,425.00	
24JUL17	S 1 PD24OCT17	6016.50	6489.75	USD	-11,831.25	
24JUL17	B 2 PD24OCT17	6021.50	6489.75	USD	23,412.50	
24JUL17	B 1 PD24OCT17	6023.00	6489.75	USD	11,668.75	
24JUL17	B 2 PD24OCT17	6021.00	6489.75	USD	23,437.50	
24JUL17	B 4 PD24OCT17	6020.00	6489.75	USD	46,975.00	
24JUL17	B 1 PD24OCT17	6015.50	6489.75	USD	11,856.25	
24JUL17	B 2 PD24OCT17	6012.50	6489.75	USD	23,862.50	
24JUL17	B 2 PD24OCT17	6016.00	6489.75	USD	23,687.50	
24JUL17	B 6 PD24OCT17	6017.00	6489.75	USD	70,912.50	
24JUL17	B 2 PD24OCT17	6022.00	6489.75	USD	23,387.50	
24JUL17	S 3 PD24OCT17	6025.50	6489.75	USD	-34,818.75	
24JUL17	S 1 PD24OCT17	6019.00	6489.75	USD	-11,768.75	
24JUL17	B 4 PD24OCT17	6026.00	6489.75	USD	46,375.00	
24JUL17	B 2 PD24OCT17	6027.00	6489.75	USD	23,137.50	
24JUL17	B 1 PD24OCT17	6016.00	6489.75	USD	11,843.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097L

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
24JUL17	S 2 PD24OCT17	6026.50	6489.75	USD	-23,162.50	
24JUL17	B 1 PD24OCT17	6031.50	6489.75	USD	11,456.25	
24JUL17	B 1 PD24OCT17	6032.50	6489.75	USD	11,431.25	
	NET 0 AVG BGHT 6020.79 SOLD 6019.05				**-1,350.00**	**0.00**
25JUL17	S 1 PD25OCT17	6089.50	6490.29	USD	-10,019.75	
25JUL17	B 1 PD25OCT17	6086.00	6490.29	USD	10,107.25	
25JUL17	B 3 PD25OCT17	6086.50	6490.29	USD	30,284.25	
25JUL17	B 2 PD25OCT17	6087.50	6490.29	USD	20,139.50	
25JUL17	B 3 PD25OCT17	6085.00	6490.29	USD	30,396.75	
25JUL17	B 3 PD25OCT17	6083.50	6490.29	USD	30,509.25	
25JUL17	B 2 PD25OCT17	6085.50	6490.29	USD	20,239.50	
25JUL17	B 4 PD25OCT17	6107.50	6490.29	USD	38,279.00	
25JUL17	B 2 PD25OCT17	6105.00	6490.29	USD	19,264.50	
25JUL17	B 1 PD25OCT17	6104.00	6490.29	USD	9,657.25	
25JUL17	B 2 PD25OCT17	6103.00	6490.29	USD	19,364.50	
25JUL17	B 1 PD25OCT17	6102.00	6490.29	USD	9,707.25	
25JUL17	B 1 PD25OCT17	6113.50	6490.29	USD	9,419.75	
25JUL17	B 1 PD25OCT17	6118.00	6490.29	USD	9,307.25	
25JUL17	B 2 PD25OCT17	6119.00	6490.29	USD	18,564.50	
25JUL17	B 6 PD25OCT17	6125.00	6490.29	USD	54,793.50	
25JUL17	B 3 PD25OCT17	6129.50	6490.29	USD	27,059.25	
25JUL17	B 2 PD25OCT17	6128.50	6490.29	USD	18,089.50	
25JUL17	B 1 PD25OCT17	6117.50	6490.29	USD	9,319.75	
25JUL17	B 1 PD25OCT17	6115.50	6490.29	USD	9,369.75	
25JUL17	B 3 PD25OCT17	6114.00	6490.29	USD	28,221.75	
25JUL17	B 2 PD25OCT17	6114.50	6490.29	USD	18,789.50	
25JUL17	S 5 PD25OCT17	6120.00	6490.29	USD	-46,286.25	
25JUL17	B 5 PD25OCT17	6120.50	6490.29	USD	46,223.75	
25JUL17	B 1 PD25OCT17	6119.50	6490.29	USD	9,269.75	
25JUL17	B 8 PD25OCT17	6118.50	6490.29	USD	74,358.00	
25JUL17	B 4 PD25OCT17	6115.00	6490.29	USD	37,529.00	
25JUL17	B 8 PD25OCT17	6116.00	6490.29	USD	74,858.00	
25JUL17	S 1 PD25OCT17	6116.50	6490.29	USD	-9,344.75	
25JUL17	B 1 PD25OCT17	6144.50	6490.29	USD	8,644.75	
25JUL17	B 4 PD25OCT17	6145.00	6490.29	USD	34,529.00	
25JUL17	B 1 PD25OCT17	6143.50	6490.29	USD	8,669.75	
25JUL17	B 1 PD25OCT17	6146.50	6490.29	USD	8,594.75	
25JUL17	S 1 PD25OCT17	6155.50	6490.29	USD	-8,369.75	
25JUL17	S 3 PD25OCT17	6186.00	6490.29	USD	-22,821.75	
25JUL17	S 1 PD25OCT17	6185.00	6490.29	USD	-7,632.25	
25JUL17	S 1 PD25OCT17	6182.50	6490.29	USD	-7,694.75	
25JUL17	S 3 PD25OCT17	6183.00	6490.29	USD	-23,046.75	
25JUL17	S 1 PD25OCT17	6184.50	6490.29	USD	-7,644.75	
25JUL17	B 2 PD25OCT17	6182.50	6490.29	USD	15,389.50	
25JUL17	S 3 PD25OCT17	6182.00	6490.29	USD	-23,121.75	
25JUL17	S 6 PD25OCT17	6181.50	6490.29	USD	-46,318.50	
25JUL17	B 4 PD25OCT17	6188.50	6490.29	USD	30,179.00	
25JUL17	B 1 PD25OCT17	6188.00	6490.29	USD	7,557.25	
25JUL17	B 2 PD25OCT17	6195.50	6490.29	USD	14,739.50	
25JUL17	B 3 PD25OCT17	6194.50	6490.29	USD	22,184.25	
25JUL17	B 1 PD25OCT17	6193.50	6490.29	USD	7,419.75	
25JUL17	B 1 PD25OCT17	6193.00	6490.29	USD	7,432.25	
25JUL17	B 4 PD25OCT17	6192.50	6490.29	USD	29,779.00	
25JUL17	B 1 PD25OCT17	6191.50	6490.29	USD	7,469.75	
25JUL17	S 1 PD25OCT17	6155.00	6490.29	USD	-8,382.25	
25JUL17	B 4 PD25OCT17	6189.00	6490.29	USD	30,129.00	
25JUL17	B 1 PD25OCT17	6187.50	6490.29	USD	7,569.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097L

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
25JUL17	B 1 PD25OCT17	6186.50	6490.29	USD	7,594.75	
25JUL17	S 1 PD25OCT17	6185.50	6490.29	USD	-7,619.75	
25JUL17	B 1 PD25OCT17	6178.50	6490.29	USD	7,794.75	
25JUL17	B 1 PD25OCT17	6180.00	6490.29	USD	7,757.25	
25JUL17	B 3 PD25OCT17	6179.00	6490.29	USD	23,346.75	
25JUL17	B 7 PD25OCT17	6181.50	6490.29	USD	54,038.25	
25JUL17	B 1 PD25OCT17	6201.00	6490.29	USD	7,232.25	
25JUL17	B 1 PD25OCT17	6200.50	6490.29	USD	7,244.75	
25JUL17	B 2 PD25OCT17	6189.50	6490.29	USD	15,039.50	
25JUL17	B 1 PD25OCT17	6192.00	6490.29	USD	7,457.25	
25JUL17	B 2 PD25OCT17	6194.00	6490.29	USD	14,814.50	
25JUL17	B 3 PD25OCT17	6197.50	6490.29	USD	21,959.25	
25JUL17	B 1 PD25OCT17	6198.00	6490.29	USD	7,307.25	
25JUL17	B 2 PD25OCT17	6199.00	6490.29	USD	14,564.50	
25JUL17	B 4 PD25OCT17	6198.50	6490.29	USD	29,179.00	
25JUL17	B 9 PD25OCT17	6199.50	6490.29	USD	65,427.75	
25JUL17	B 3 PD25OCT17	6201.50	6490.29	USD	21,659.25	
25JUL17	B 1 PD25OCT17	6202.50	6490.29	USD	7,194.75	
25JUL17	B 1 PD25OCT17	6189.00	6490.29	USD	7,532.25	
25JUL17	B 1 PD25OCT17	6198.50	6490.29	USD	7,294.75	
25JUL17	B 1 PD25OCT17	6205.50	6490.29	USD	7,119.75	
25JUL17	B 4 PD25OCT17	6210.00	6490.29	USD	28,029.00	
25JUL17	B 4 PD25OCT17	6226.50	6490.29	USD	26,379.00	
25JUL17	B 1 PD25OCT17	6222.00	6490.29	USD	6,707.25	
25JUL17	B 1 PD25OCT17	6223.00	6490.29	USD	6,682.25	
25JUL17	B 1 PD25OCT17	6225.50	6490.29	USD	6,619.75	
25JUL17	B 3 PD25OCT17	6228.50	6490.29	USD	19,634.25	
25JUL17	B 3 PD25OCT17	6227.50	6490.29	USD	19,709.25	
25JUL17	B 1 PD25OCT17	6235.00	6490.29	USD	6,382.25	
25JUL17	B 1 PD25OCT17	6247.50	6490.29	USD	6,069.75	
25JUL17	B 1 PD25OCT17	6269.00	6490.29	USD	5,532.25	
25JUL17	B 2 PD25OCT17	6260.00	6490.29	USD	11,514.50	
25JUL17	B 2 PD25OCT17	6258.00	6490.29	USD	11,614.50	
25JUL17	B 1 PD25OCT17	6257.00	6490.29	USD	5,832.25	
25JUL17	B 1 PD25OCT17	6256.50	6490.29	USD	5,844.75	
25JUL17	B 2 PD25OCT17	6261.50	6490.29	USD	11,439.50	
25JUL17	B 1 PD25OCT17	6261.00	6490.29	USD	5,732.25	
25JUL17	S 1 PD25OCT17	6250.00	6490.29	USD	-6,007.25	
25JUL17	S 1 PD25OCT17	6248.00	6490.29	USD	-6,057.25	
25JUL17	S 3 PD25OCT17	6249.00	6490.29	USD	-18,096.75	
25JUL17	B 2 PD25OCT17	6249.00	6490.29	USD	12,064.50	
25JUL17	S 3 PD25OCT17	6250.50	6490.29	USD	-17,984.25	
25JUL17	S 2 PD25OCT17	6251.00	6490.29	USD	-11,964.50	
25JUL17	S 1 PD25OCT17	6251.50	6490.29	USD	-5,969.75	
25JUL17	S 1 PD25OCT17	6248.50	6490.29	USD	-6,044.75	
25JUL17	S 6 PD25OCT17	6249.50	6490.29	USD	-36,118.50	
25JUL17	S 2 PD25OCT17	6253.00	6490.29	USD	-11,864.50	
25JUL17	S 1 PD25OCT17	6255.00	6490.29	USD	-5,882.25	
25JUL17	S 2 PD25OCT17	6254.50	6490.29	USD	-11,789.50	
25JUL17	S 1 PD25OCT17	6255.50	6490.29	USD	-5,869.75	
25JUL17	S 1 PD25OCT17	6257.00	6490.29	USD	-5,832.25	
25JUL17	S 2 PD25OCT17	6256.50	6490.29	USD	-11,689.50	
25JUL17	S 2 PD25OCT17	6259.50	6490.29	USD	-11,539.50	
25JUL17	S 3 PD25OCT17	6258.50	6490.29	USD	-17,384.25	
27JUL17	S 80 PD25OCT17	6329.43	6490.29	USD	-321,720.00	
28JUL17	S 5 PD25OCT17	6324.29	6490.29	USD	-20,750.00	
01AUG17	S 35 PD25OCT17	6342.50	6490.29	USD	-129,316.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097L

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
	NET 0 AVG BGHT 6165.68 SOLD 6292.47				**570,571.25**	**0.00**
26JUL17	S 2 PD26OCT17	6344.00	6490.82	USD	-7,341.00	
26JUL17	S 2 PD26OCT17	6342.50	6490.82	USD	-7,416.00	
26JUL17	S 3 PD26OCT17	6343.00	6490.82	USD	-11,086.50	
26JUL17	S 12 PD26OCT17	6343.50	6490.82	USD	-44,196.00	
26JUL17	S 1 PD26OCT17	6354.00	6490.82	USD	-3,420.50	
26JUL17	S 1 PD26OCT17	6359.00	6490.82	USD	-3,295.50	
26JUL17	S 2 PD26OCT17	6357.00	6490.82	USD	-6,691.00	
26JUL17	S 1 PD26OCT17	6373.00	6490.82	USD	-2,945.50	
26JUL17	S 1 PD26OCT17	6372.00	6490.82	USD	-2,970.50	
26JUL17	S 1 PD26OCT17	6364.50	6490.82	USD	-3,158.00	
26JUL17	S 1 PD26OCT17	6365.00	6490.82	USD	-3,145.50	
26JUL17	S 1 PD26OCT17	6365.50	6490.82	USD	-3,133.00	
26JUL17	S 1 PD26OCT17	6366.50	6490.82	USD	-3,108.00	
26JUL17	S 3 PD26OCT17	6370.00	6490.82	USD	-9,061.50	
26JUL17	S 1 PD26OCT17	6376.50	6490.82	USD	-2,858.00	
26JUL17	S 5 PD26OCT17	6378.00	6490.82	USD	-14,102.50	
26JUL17	S 1 PD26OCT17	6381.00	6490.82	USD	-2,745.50	
26JUL17	S 5 PD26OCT17	6380.50	6490.82	USD	-13,790.00	
26JUL17	S 1 PD26OCT17	6396.00	6490.82	USD	-2,370.50	
26JUL17	S 2 PD26OCT17	6390.50	6490.82	USD	-5,016.00	
26JUL17	S 1 PD26OCT17	6387.50	6490.82	USD	-2,583.00	
26JUL17	S 2 PD26OCT17	6390.00	6490.82	USD	-5,041.00	
26JUL17	S 1 PD26OCT17	6391.00	6490.82	USD	-2,495.50	
26JUL17	S 1 PD26OCT17	6383.00	6490.82	USD	-2,695.50	
26JUL17	S 1 PD26OCT17	6382.00	6490.82	USD	-2,720.50	
26JUL17	S 1 PD26OCT17	6384.00	6490.82	USD	-2,670.50	
26JUL17	S 1 PD26OCT17	6371.50	6490.82	USD	-2,983.00	
26JUL17	S 1 PD26OCT17	6373.50	6490.82	USD	-2,933.00	
26JUL17	S 1 PD26OCT17	6358.00	6490.82	USD	-3,320.50	
26JUL17	S 1 PD26OCT17	6355.50	6490.82	USD	-3,383.00	
26JUL17	S 2 PD26OCT17	6354.50	6490.82	USD	-6,816.00	
26JUL17	S 4 PD26OCT17	6355.00	6490.82	USD	-13,582.00	
26JUL17	S 3 PD26OCT17	6356.00	6490.82	USD	-10,111.50	
26JUL17	S 1 PD26OCT17	6349.50	6490.82	USD	-3,533.00	
26JUL17	S 5 PD26OCT17	6344.50	6490.82	USD	-18,290.00	
26JUL17	S 3 PD26OCT17	6352.00	6490.82	USD	-10,411.50	
26JUL17	S 9 PD26OCT17	6350.50	6490.82	USD	-31,572.00	
26JUL17	S 1 PD26OCT17	6351.50	6490.82	USD	-3,483.00	
26JUL17	S 3 PD26OCT17	6353.00	6490.82	USD	-10,336.50	
26JUL17	S 1 PD26OCT17	6352.50	6490.82	USD	-3,458.00	
26JUL17	S 13 PD26OCT17	6350.00	6490.82	USD	-45,766.50	
26JUL17	S 1 PD26OCT17	6346.50	6490.82	USD	-3,608.00	
26JUL17	S 1 PD26OCT17	6333.00	6490.82	USD	-3,945.50	
26JUL17	B 1 PD26OCT17	6310.00	6490.82	USD	4,520.50	
26JUL17	B 1 PD26OCT17	6308.00	6490.82	USD	4,570.50	
26JUL17	B 3 PD26OCT17	6309.00	6490.82	USD	13,636.50	
26JUL17	B 1 PD26OCT17	6302.00	6490.82	USD	4,720.50	
26JUL17	B 3 PD26OCT17	6296.50	6490.82	USD	14,574.00	
26JUL17	B 1 PD26OCT17	6301.50	6490.82	USD	4,733.00	
26JUL17	B 2 PD26OCT17	6301.00	6490.82	USD	9,491.00	
26JUL17	B 1 PD26OCT17	6299.50	6490.82	USD	4,783.00	
26JUL17	B 1 PD26OCT17	6291.00	6490.82	USD	4,995.50	
26JUL17	B 1 PD26OCT17	6292.00	6490.82	USD	4,970.50	
26JUL17	B 1 PD26OCT17	6276.50	6490.82	USD	5,358.00	
26JUL17	B 2 PD26OCT17	6280.00	6490.82	USD	10,541.00	
26JUL17	B 1 PD26OCT17	6300.00	6490.82	USD	4,770.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097L

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
26JUL17	B 3 PD26OCT17	6297.00	6490.82	USD	14,536.50	
26JUL17	B 2 PD26OCT17	6295.50	6490.82	USD	9,766.00	
26JUL17	B 3 PD26OCT17	6294.50	6490.82	USD	14,724.00	
26JUL17	B 6 PD26OCT17	6290.00	6490.82	USD	30,123.00	
26JUL17	B 4 PD26OCT17	6293.50	6490.82	USD	19,732.00	
26JUL17	B 6 PD26OCT17	6292.50	6490.82	USD	29,748.00	
26JUL17	B 1 PD26OCT17	6287.00	6490.82	USD	5,095.50	
26JUL17	B 3 PD26OCT17	6289.00	6490.82	USD	15,136.50	
26JUL17	B 1 PD26OCT17	6288.50	6490.82	USD	5,058.00	
26JUL17	B 1 PD26OCT17	6287.50	6490.82	USD	5,083.00	
26JUL17	B 1 PD26OCT17	6286.00	6490.82	USD	5,120.50	
26JUL17	B 1 PD26OCT17	6285.50	6490.82	USD	5,133.00	
26JUL17	B 3 PD26OCT17	6284.50	6490.82	USD	15,474.00	
26JUL17	B 3 PD26OCT17	6283.50	6490.82	USD	15,549.00	
26JUL17	B 1 PD26OCT17	6280.50	6490.82	USD	5,258.00	
26JUL17	B 1 PD26OCT17	6278.50	6490.82	USD	5,308.00	
26JUL17	B 5 PD26OCT17	6279.00	6490.82	USD	26,477.50	
26JUL17	B 1 PD26OCT17	6276.00	6490.82	USD	5,370.50	
26JUL17	B 3 PD26OCT17	6275.00	6490.82	USD	16,186.50	
26JUL17	B 1 PD26OCT17	6274.00	6490.82	USD	5,420.50	
26JUL17	S 1 PD26OCT17	6343.50	6490.82	USD	-3,683.00	
26JUL17	S 1 PD26OCT17	6343.00	6490.82	USD	-3,695.50	
26JUL17	B 2 PD26OCT17	6302.00	6490.82	USD	9,441.00	
26JUL17	B 1 PD26OCT17	6288.00	6490.82	USD	5,070.50	
26JUL17	S 1 PD26OCT17	6299.50	6490.82	USD	-4,783.00	
26JUL17	S 1 PD26OCT17	6305.00	6490.82	USD	-4,645.50	
26JUL17	S 1 PD26OCT17	6300.00	6490.82	USD	-4,770.50	
26JUL17	S 2 PD26OCT17	6294.50	6490.82	USD	-9,816.00	
26JUL17	S 1 PD26OCT17	6299.00	6490.82	USD	-4,795.50	
26JUL17	B 4 PD26OCT17	6298.00	6490.82	USD	19,282.00	
26JUL17	S 5 PD26OCT17	6296.50	6490.82	USD	-24,290.00	
26JUL17	S 3 PD26OCT17	6298.50	6490.82	USD	-14,424.00	
26JUL17	S 3 PD26OCT17	6301.00	6490.82	USD	-14,236.50	
26JUL17	S 3 PD26OCT17	6302.00	6490.82	USD	-14,161.50	
26JUL17	S 1 PD26OCT17	6304.50	6490.82	USD	-4,658.00	
26JUL17	S 4 PD26OCT17	6300.50	6490.82	USD	-19,032.00	
26JUL17	S 4 PD26OCT17	6301.50	6490.82	USD	-18,932.00	
26JUL17	S 5 PD26OCT17	6302.50	6490.82	USD	-23,540.00	
26JUL17	S 2 PD26OCT17	6303.50	6490.82	USD	-9,366.00	
26JUL17	S 1 PD26OCT17	6308.00	6490.82	USD	-4,570.50	
26JUL17	S 2 PD26OCT17	6309.00	6490.82	USD	-9,091.00	
26JUL17	S 3 PD26OCT17	6310.00	6490.82	USD	-13,561.50	
26JUL17	S 3 PD26OCT17	6310.50	6490.82	USD	-13,524.00	
26JUL17	S 1 PD26OCT17	6326.50	6490.82	USD	-4,108.00	
26JUL17	S 2 PD26OCT17	6325.50	6490.82	USD	-8,266.00	
26JUL17	S 3 PD26OCT17	6327.50	6490.82	USD	-12,249.00	
26JUL17	S 3 PD26OCT17	6329.00	6490.82	USD	-12,136.50	
26JUL17	S 2 PD26OCT17	6330.00	6490.82	USD	-8,041.00	
26JUL17	B 2 PD26OCT17	6335.00	6490.82	USD	7,791.00	
26JUL17	B 1 PD26OCT17	6328.00	6490.82	USD	4,070.50	
26JUL17	B 1 PD26OCT17	6324.50	6490.82	USD	4,158.00	
26JUL17	B 1 PD26OCT17	6323.50	6490.82	USD	4,183.00	
26JUL17	B 1 PD26OCT17	6330.50	6490.82	USD	4,008.00	
26JUL17	B 1 PD26OCT17	6328.50	6490.82	USD	4,058.00	
26JUL17	B 1 PD26OCT17	6331.00	6490.82	USD	3,995.50	
26JUL17	B 1 PD26OCT17	6333.00	6490.82	USD	3,945.50	
26JUL17	B 3 PD26OCT17	6298.00	6490.82	USD	14,461.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097L

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
26JUL17	S 2 PD26OCT17	6298.00	6490.82	USD	-9,641.00	
26JUL17	S 1 PD26OCT17	6296.50	6490.82	USD	-4,858.00	
26JUL17	B 1 PD26OCT17	6337.50	6490.82	USD	3,833.00	
26JUL17	B 3 PD26OCT17	6331.50	6490.82	USD	11,949.00	
26JUL17	B 3 PD26OCT17	6330.00	6490.82	USD	12,061.50	
26JUL17	B 4 PD26OCT17	6329.00	6490.82	USD	16,182.00	
26JUL17	B 1 PD26OCT17	6333.50	6490.82	USD	3,933.00	
26JUL17	B 1 PD26OCT17	6335.50	6490.82	USD	3,883.00	
26JUL17	B 2 PD26OCT17	6329.50	6490.82	USD	8,066.00	
26JUL17	S 1 PD26OCT17	6334.00	6490.82	USD	-3,920.50	
26JUL17	S 4 PD26OCT17	6345.00	6490.82	USD	-14,582.00	
26JUL17	B 1 PD26OCT17	6339.00	6490.82	USD	3,795.50	
26JUL17	B 1 PD26OCT17	6338.00	6490.82	USD	3,820.50	
26JUL17	B 1 PD26OCT17	6337.00	6490.82	USD	3,845.50	
26JUL17	B 1 PD26OCT17	6327.00	6490.82	USD	4,095.50	
26JUL17	B 5 PD26OCT17	6326.50	6490.82	USD	20,540.00	
26JUL17	S 1 PD26OCT17	6324.00	6490.82	USD	-4,170.50	
26JUL17	B 2 PD26OCT17	6321.00	6490.82	USD	8,491.00	
26JUL17	B 1 PD26OCT17	6322.50	6490.82	USD	4,208.00	
26JUL17	S 4 PD26OCT17	6319.50	6490.82	USD	-17,132.00	
26JUL17	S 1 PD26OCT17	6319.00	6490.82	USD	-4,295.50	
26JUL17	S 3 PD26OCT17	6318.00	6490.82	USD	-12,961.50	
26JUL17	S 1 PD26OCT17	6318.50	6490.82	USD	-4,308.00	
26JUL17	S 4 PD26OCT17	6316.00	6490.82	USD	-17,482.00	
26JUL17	S 2 PD26OCT17	6317.00	6490.82	USD	-8,691.00	
26JUL17	S 1 PD26OCT17	6313.50	6490.82	USD	-4,433.00	
26JUL17	S 3 PD26OCT17	6315.00	6490.82	USD	-13,186.50	
26JUL17	S 4 PD26OCT17	6311.50	6490.82	USD	-17,932.00	
26JUL17	B 1 PD26OCT17	6320.00	6490.82	USD	4,270.50	
26JUL17	S 1 PD26OCT17	6314.00	6490.82	USD	-4,420.50	
27JUL17	B 80 PD26OCT17	6329.91	6490.82	USD	321,820.00	
	NET 0 AVG BGHT 6314.24 SOLD 6336.95				**111,242.50**	**0.00**
27JUL17	B 1 PD27OCT17	6331.00	6491.36	USD	4,009.00	
27JUL17	B 1 PD27OCT17	6336.50	6491.36	USD	3,871.50	
27JUL17	B 5 PD27OCT17	6334.50	6491.36	USD	19,607.50	
27JUL17	B 3 PD27OCT17	6344.00	6491.36	USD	11,052.00	
27JUL17	B 1 PD27OCT17	6342.50	6491.36	USD	3,721.50	
27JUL17	B 2 PD27OCT17	6343.00	6491.36	USD	7,418.00	
27JUL17	S 1 PD27OCT17	6328.50	6491.36	USD	-4,071.50	
27JUL17	S 3 PD27OCT17	6329.50	6491.36	USD	-12,139.50	
27JUL17	S 1 PD27OCT17	6330.00	6491.36	USD	-4,034.00	
27JUL17	B 2 PD27OCT17	6342.00	6491.36	USD	7,468.00	
27JUL17	B 1 PD27OCT17	6343.50	6491.36	USD	3,696.50	
27JUL17	B 1 PD27OCT17	6344.50	6491.36	USD	3,671.50	
27JUL17	S 1 PD27OCT17	6344.00	6491.36	USD	-3,684.00	
27JUL17	S 1 PD27OCT17	6347.50	6491.36	USD	-3,596.50	
27JUL17	S 1 PD27OCT17	6343.00	6491.36	USD	-3,709.00	
27JUL17	S 5 PD27OCT17	6342.50	6491.36	USD	-18,607.50	
27JUL17	S 1 PD27OCT17	6339.50	6491.36	USD	-3,796.50	
27JUL17	S 1 PD27OCT17	6342.00	6491.36	USD	-3,734.00	
27JUL17	S 1 PD27OCT17	6347.00	6491.36	USD	-3,609.00	
27JUL17	S 1 PD27OCT17	6343.50	6491.36	USD	-3,696.50	
27JUL17	S 1 PD27OCT17	6367.00	6491.36	USD	-3,109.00	
27JUL17	B 2 PD27OCT17	6370.50	6491.36	USD	6,043.00	
27JUL17	B 1 PD27OCT17	6370.00	6491.36	USD	3,034.00	
27JUL17	B 1 PD27OCT17	6364.50	6491.36	USD	3,171.50	
27JUL17	B 1 PD27OCT17	6355.00	6491.36	USD	3,409.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097L

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
27JUL17	B 1 PD27OCT17	6359.50	6491.36	USD	3,296.50	
27JUL17	B 4 PD27OCT17	6357.50	6491.36	USD	13,386.00	
27JUL17	B 5 PD27OCT17	6356.50	6491.36	USD	16,857.50	
27JUL17	B 4 PD27OCT17	6354.00	6491.36	USD	13,736.00	
27JUL17	B 1 PD27OCT17	6352.50	6491.36	USD	3,471.50	
27JUL17	S 1 PD27OCT17	6349.00	6491.36	USD	-3,559.00	
27JUL17	S 2 PD27OCT17	6350.00	6491.36	USD	-7,068.00	
27JUL17	B 2 PD27OCT17	6339.50	6491.36	USD	7,593.00	
27JUL17	B 1 PD27OCT17	6364.50	6491.36	USD	3,171.50	
27JUL17	B 1 PD27OCT17	6352.00	6491.36	USD	3,484.00	
27JUL17	B 7 PD27OCT17	6359.00	6491.36	USD	23,163.00	
27JUL17	B 1 PD27OCT17	6360.50	6491.36	USD	3,271.50	
27JUL17	B 1 PD27OCT17	6361.00	6491.36	USD	3,259.00	
27JUL17	S 1 PD27OCT17	6369.00	6491.36	USD	-3,059.00	
27JUL17	S 1 PD27OCT17	6372.50	6491.36	USD	-2,971.50	
27JUL17	S 1 PD27OCT17	6364.00	6491.36	USD	-3,184.00	
27JUL17	S 1 PD27OCT17	6360.50	6491.36	USD	-3,271.50	
27JUL17	S 3 PD27OCT17	6353.50	6491.36	USD	-10,339.50	
27JUL17	S 2 PD27OCT17	6352.50	6491.36	USD	-6,943.00	
27JUL17	S 5 PD27OCT17	6346.00	6491.36	USD	-18,170.00	
27JUL17	S 1 PD27OCT17	6350.50	6491.36	USD	-3,521.50	
27JUL17	S 1 PD27OCT17	6351.50	6491.36	USD	-3,496.50	
27JUL17	S 3 PD27OCT17	6349.50	6491.36	USD	-10,639.50	
27JUL17	S 6 PD27OCT17	6348.50	6491.36	USD	-21,429.00	
27JUL17	S 1 PD27OCT17	6355.50	6491.36	USD	-3,396.50	
27JUL17	B 1 PD27OCT17	6349.00	6491.36	USD	3,559.00	
27JUL17	B 2 PD27OCT17	6348.00	6491.36	USD	7,168.00	
27JUL17	B 1 PD27OCT17	6347.00	6491.36	USD	3,609.00	
27JUL17	B 1 PD27OCT17	6345.50	6491.36	USD	3,646.50	
27JUL17	B 2 PD27OCT17	6335.00	6491.36	USD	7,818.00	
27JUL17	B 1 PD27OCT17	6332.50	6491.36	USD	3,971.50	
27JUL17	B 3 PD27OCT17	6334.00	6491.36	USD	11,802.00	
27JUL17	S 1 PD27OCT17	6371.00	6491.36	USD	-3,009.00	
27JUL17	S 2 PD27OCT17	6363.00	6491.36	USD	-6,418.00	
27JUL17	B 2 PD27OCT17	6338.00	6491.36	USD	7,668.00	
27JUL17	B 2 PD27OCT17	6337.50	6491.36	USD	7,693.00	
27JUL17	S 1 PD27OCT17	6336.00	6491.36	USD	-3,884.00	
27JUL17	S 1 PD27OCT17	6334.00	6491.36	USD	-3,934.00	
27JUL17	S 5 PD27OCT17	6331.00	6491.36	USD	-20,045.00	
27JUL17	S 1 PD27OCT17	6332.50	6491.36	USD	-3,971.50	
27JUL17	S 6 PD27OCT17	6333.00	6491.36	USD	-23,754.00	
27JUL17	S 2 PD27OCT17	6328.00	6491.36	USD	-8,168.00	
27JUL17	S 5 PD27OCT17	6335.00	6491.36	USD	-19,545.00	
27JUL17	S 4 PD27OCT17	6341.50	6491.36	USD	-14,986.00	
27JUL17	S 4 PD27OCT17	6340.50	6491.36	USD	-15,086.00	
27JUL17	B 1 PD27OCT17	6335.50	6491.36	USD	3,896.50	
27JUL17	B 1 PD27OCT17	6338.50	6491.36	USD	3,821.50	
27JUL17	B 8 PD27OCT17	6339.50	6491.36	USD	30,372.00	
27JUL17	B 1 PD27OCT17	6337.00	6491.36	USD	3,859.00	
27JUL17	B 1 PD27OCT17	6336.00	6491.36	USD	3,884.00	
27JUL17	B 23 PD27OCT17	6339.00	6491.36	USD	87,607.00	
27JUL17	S 6 PD27OCT17	6330.50	6491.36	USD	-24,129.00	
27JUL17	S 4 PD27OCT17	6331.50	6491.36	USD	-15,986.00	
27JUL17	S 3 PD27OCT17	6332.00	6491.36	USD	-11,952.00	
27JUL17	S 4 PD27OCT17	6326.00	6491.36	USD	-16,536.00	
27JUL17	S 1 PD27OCT17	6327.50	6491.36	USD	-4,096.50	
27JUL17	S 5 PD27OCT17	6326.50	6491.36	USD	-20,607.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097L

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
27JUL17	S 2 PD27OCT17	6325.50	6491.36	USD	-8,293.00	
27JUL17	S 1 PD27OCT17	6316.50	6491.36	USD	-4,371.50	
27JUL17	S 1 PD27OCT17	6319.50	6491.36	USD	-4,296.50	
27JUL17	B 1 PD27OCT17	6317.50	6491.36	USD	4,346.50	
27JUL17	B 2 PD27OCT17	6318.50	6491.36	USD	8,643.00	
27JUL17	B 1 PD27OCT17	6318.00	6491.36	USD	4,334.00	
27JUL17	S 1 PD27OCT17	6320.50	6491.36	USD	-4,271.50	
27JUL17	S 1 PD27OCT17	6322.00	6491.36	USD	-4,234.00	
27JUL17	S 1 PD27OCT17	6331.00	6491.36	USD	-4,009.00	
27JUL17	B 1 PD27OCT17	6334.00	6491.36	USD	3,934.00	
27JUL17	S 1 PD27OCT17	6340.50	6491.36	USD	-3,771.50	
28JUL17	B 2 PD27OCT17	6320.00	6491.36	USD	8,568.00	
28JUL17	B 1 PD27OCT17	6316.50	6491.36	USD	4,371.50	
28JUL17	B 1 PD27OCT17	6294.00	6491.36	USD	4,934.00	
28JUL17	B 1 PD27OCT17	6300.50	6491.36	USD	4,771.50	
28JUL17	B 2 PD27OCT17	6299.50	6491.36	USD	9,593.00	
28JUL17	S 1 PD27OCT17	6314.50	6491.36	USD	-4,421.50	
28JUL17	B 4 PD27OCT17	6316.00	6491.36	USD	17,536.00	
28JUL17	B 1 PD27OCT17	6315.00	6491.36	USD	4,409.00	
28JUL17	B 2 PD27OCT17	6298.50	6491.36	USD	9,643.00	
28JUL17	S 1 PD27OCT17	6306.00	6491.36	USD	-4,634.00	
28JUL17	S 1 PD27OCT17	6312.50	6491.36	USD	-4,471.50	
28JUL17	S 1 PD27OCT17	6319.50	6491.36	USD	-4,296.50	
28JUL17	S 2 PD27OCT17	6314.00	6491.36	USD	-8,868.00	
28JUL17	S 1 PD27OCT17	6317.00	6491.36	USD	-4,359.00	
28JUL17	S 4 PD27OCT17	6313.50	6491.36	USD	-17,786.00	
28JUL17	S 2 PD27OCT17	6315.00	6491.36	USD	-8,818.00	
28JUL17	S 1 PD27OCT17	6308.50	6491.36	USD	-4,571.50	
28JUL17	S 1 PD27OCT17	6310.50	6491.36	USD	-4,521.50	
28JUL17	S 3 PD27OCT17	6309.50	6491.36	USD	-13,639.50	
28JUL17	S 2 PD27OCT17	6316.00	6491.36	USD	-8,768.00	
28JUL17	S 1 PD27OCT17	6316.50	6491.36	USD	-4,371.50	
28JUL17	S 1 PD27OCT17	6320.50	6491.36	USD	-4,271.50	
28JUL17	B 5 PD27OCT17	6318.00	6491.36	USD	21,670.00	
28JUL17	B 2 PD27OCT17	6311.50	6491.36	USD	8,993.00	
28JUL17	B 2 PD27OCT17	6311.00	6491.36	USD	9,018.00	
28JUL17	S 1 PD27OCT17	6319.50	6491.36	USD	-4,296.50	
28JUL17	B 1 PD27OCT17	6310.50	6491.36	USD	4,521.50	
28JUL17	B 6 PD27OCT17	6319.50	6491.36	USD	25,779.00	
28JUL17	B 4 PD27OCT17	6318.50	6491.36	USD	17,286.00	
28JUL17	B 2 PD27OCT17	6317.50	6491.36	USD	8,693.00	
28JUL17	B 3 PD27OCT17	6322.00	6491.36	USD	12,702.00	
28JUL17	B 1 PD27OCT17	6322.50	6491.36	USD	4,221.50	
28JUL17	B 3 PD27OCT17	6321.50	6491.36	USD	12,739.50	
28JUL17	B 3 PD27OCT17	6319.00	6491.36	USD	12,927.00	
28JUL17	B 2 PD27OCT17	6323.00	6491.36	USD	8,418.00	
28JUL17	B 3 PD27OCT17	6324.50	6491.36	USD	12,514.50	
28JUL17	B 2 PD27OCT17	6324.00	6491.36	USD	8,368.00	
28JUL17	B 2 PD27OCT17	6323.50	6491.36	USD	8,393.00	
28JUL17	S 1 PD27OCT17	6323.50	6491.36	USD	-4,196.50	
28JUL17	S 1 PD27OCT17	6324.50	6491.36	USD	-4,171.50	
28JUL17	S 3 PD27OCT17	6325.50	6491.36	USD	-12,439.50	
28JUL17	S 1 PD27OCT17	6329.00	6491.36	USD	-4,059.00	
28JUL17	S 5 PD27OCT17	6327.00	6491.36	USD	-20,545.00	
28JUL17	B 1 PD27OCT17	6335.00	6491.36	USD	3,909.00	
28JUL17	S 1 PD27OCT17	6342.00	6491.36	USD	-3,734.00	
28JUL17	B 1 PD27OCT17	6337.50	6491.36	USD	3,846.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097L

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
28JUL17	S 1 PD27OCT17	6333.00	6491.36	USD	-3,959.00	
28JUL17	S 3 PD27OCT17	6332.00	6491.36	USD	-11,952.00	
28JUL17	S 6 PD27OCT17	6325.00	6491.36	USD	-24,954.00	
28JUL17	S 7 PD27OCT17	6326.00	6491.36	USD	-28,938.00	
28JUL17	S 1 PD27OCT17	6331.00	6491.36	USD	-4,009.00	
28JUL17	S 1 PD27OCT17	6327.50	6491.36	USD	-4,096.50	
28JUL17	B 1 PD27OCT17	6328.50	6491.36	USD	4,071.50	
28JUL17	S 1 PD27OCT17	6336.50	6491.36	USD	-3,871.50	
28JUL17	B 1 PD27OCT17	6334.50	6491.36	USD	3,921.50	
28JUL17	B 1 PD27OCT17	6328.00	6491.36	USD	4,084.00	
28JUL17	B 3 PD27OCT17	6327.00	6491.36	USD	12,327.00	
28JUL17	B 1 PD27OCT17	6326.50	6491.36	USD	4,121.50	
28JUL17	B 2 PD27OCT17	6325.50	6491.36	USD	8,293.00	
28JUL17	B 1 PD27OCT17	6338.50	6491.36	USD	3,821.50	
28JUL17	B 1 PD27OCT17	6338.00	6491.36	USD	3,834.00	
28JUL17	B 2 PD27OCT17	6340.00	6491.36	USD	7,568.00	
28JUL17	B 4 PD27OCT17	6339.50	6491.36	USD	15,186.00	
28JUL17	B 1 PD27OCT17	6340.50	6491.36	USD	3,771.50	
28JUL17	B 2 PD27OCT17	6343.50	6491.36	USD	7,393.00	
28JUL17	S 1 PD27OCT17	6340.00	6491.36	USD	-3,784.00	
28JUL17	S 4 PD27OCT17	6337.00	6491.36	USD	-15,436.00	
28JUL17	S 1 PD27OCT17	6336.00	6491.36	USD	-3,884.00	
28JUL17	S 4 PD27OCT17	6335.50	6491.36	USD	-15,586.00	
28JUL17	S 13 PD27OCT17	6335.00	6491.36	USD	-50,817.00	
28JUL17	S 5 PD27OCT17	6326.50	6491.36	USD	-20,607.50	
28JUL17	S 8 PD27OCT17	6328.00	6491.36	USD	-32,672.00	
28JUL17	S 5 PD27OCT17	6328.50	6491.36	USD	-20,357.50	
28JUL17	S 6 PD27OCT17	6329.50	6491.36	USD	-24,279.00	
28JUL17	S 1 PD27OCT17	6330.50	6491.36	USD	-4,021.50	
28JUL17	B 1 PD27OCT17	6344.00	6491.36	USD	3,684.00	
28JUL17	B 5 PD27OCT17	6325.20	6491.36	USD	20,770.00	
28JUL17	B 4 PD27OCT17	6343.00	6491.36	USD	14,836.00	
28JUL17	B 2 PD27OCT17	6342.00	6491.36	USD	7,468.00	
28JUL17	B 1 PD27OCT17	6342.50	6491.36	USD	3,721.50	
28JUL17	B 1 PD27OCT17	6341.50	6491.36	USD	3,746.50	
28JUL17	B 1 PD27OCT17	6327.00	6491.36	USD	4,109.00	
28JUL17	S 3 PD27OCT17	6340.00	6491.36	USD	-11,352.00	
28JUL17	S 1 PD27OCT17	6335.00	6491.36	USD	-3,909.00	
01AUG17	B 20 PD27OCT17	6343.70	6491.36	USD	73,830.00	
	NET 0 AVG BGHT 6335.62 SOLD 6333.22				**-13,037.50**	**0.00**
31JUL17	S 2 PD31OCT17	6381.50	6493.50	USD	-5,600.00	
31JUL17	S 3 PD31OCT17	6377.00	6493.50	USD	-8,737.50	
31JUL17	B 2 PD31OCT17	6419.50	6493.50	USD	3,700.00	
31JUL17	B 6 PD31OCT17	6418.00	6493.50	USD	11,325.00	
31JUL17	B 1 PD31OCT17	6417.00	6493.50	USD	1,912.50	
31JUL17	B 3 PD31OCT17	6417.50	6493.50	USD	5,700.00	
31JUL17	B 1 PD31OCT17	6416.50	6493.50	USD	1,925.00	
31JUL17	B 2 PD31OCT17	6418.50	6493.50	USD	3,750.00	
31JUL17	B 3 PD31OCT17	6425.50	6493.50	USD	5,100.00	
31JUL17	B 1 PD31OCT17	6427.00	6493.50	USD	1,662.50	
31JUL17	B 1 PD31OCT17	6426.50	6493.50	USD	1,675.00	
31JUL17	B 3 PD31OCT17	6428.00	6493.50	USD	4,912.50	
31JUL17	B 4 PD31OCT17	6429.00	6493.50	USD	6,450.00	
31JUL17	S 1 PD31OCT17	6413.00	6493.50	USD	-2,012.50	
31JUL17	S 2 PD31OCT17	6411.50	6493.50	USD	-4,100.00	
31JUL17	S 2 PD31OCT17	6413.50	6493.50	USD	-4,000.00	
31JUL17	S 1 PD31OCT17	6412.00	6493.50	USD	-2,037.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097L

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
31JUL17	S 1 PD31OCT17	6409.00	6493.50	USD	-2,112.50	
31JUL17	B 1 PD31OCT17	6410.50	6493.50	USD	2,075.00	
31JUL17	B 1 PD31OCT17	6407.50	6493.50	USD	2,150.00	
31JUL17	B 1 PD31OCT17	6406.00	6493.50	USD	2,187.50	
31JUL17	S 1 PD31OCT17	6392.50	6493.50	USD	-2,525.00	
31JUL17	S 5 PD31OCT17	6394.50	6493.50	USD	-12,375.00	
31JUL17	S 10 PD31OCT17	6394.00	6493.50	USD	-24,875.00	
31JUL17	S 3 PD31OCT17	6393.50	6493.50	USD	-7,500.00	
31JUL17	S 2 PD31OCT17	6398.00	6493.50	USD	-4,775.00	
31JUL17	S 2 PD31OCT17	6396.50	6493.50	USD	-4,850.00	
31JUL17	S 1 PD31OCT17	6397.50	6493.50	USD	-2,400.00	
31JUL17	S 5 PD31OCT17	6399.50	6493.50	USD	-11,750.00	
31JUL17	S 1 PD31OCT17	6398.50	6493.50	USD	-2,375.00	
31JUL17	S 2 PD31OCT17	6400.00	6493.50	USD	-4,675.00	
31JUL17	S 1 PD31OCT17	6399.00	6493.50	USD	-2,362.50	
31JUL17	S 5 PD31OCT17	6384.50	6493.50	USD	-13,625.00	
31JUL17	S 5 PD31OCT17	6383.50	6493.50	USD	-13,750.00	
31JUL17	S 3 PD31OCT17	6384.00	6493.50	USD	-8,212.50	
31JUL17	S 1 PD31OCT17	6383.00	6493.50	USD	-2,762.50	
31JUL17	S 3 PD31OCT17	6382.00	6493.50	USD	-8,362.50	
31JUL17	B 2 PD31OCT17	6381.00	6493.50	USD	5,625.00	
31JUL17	B 2 PD31OCT17	6383.50	6493.50	USD	5,500.00	
31JUL17	B 2 PD31OCT17	6383.00	6493.50	USD	5,525.00	
31JUL17	B 3 PD31OCT17	6382.50	6493.50	USD	8,325.00	
31JUL17	B 10 PD31OCT17	6384.00	6493.50	USD	27,375.00	
31JUL17	S 1 PD31OCT17	6386.50	6493.50	USD	-2,675.00	
31JUL17	B 1 PD31OCT17	6389.00	6493.50	USD	2,612.50	
31JUL17	B 1 PD31OCT17	6388.50	6493.50	USD	2,625.00	
31JUL17	B 1 PD31OCT17	6386.00	6493.50	USD	2,687.50	
31JUL17	B 2 PD31OCT17	6385.00	6493.50	USD	5,425.00	
31JUL17	S 1 PD31OCT17	6391.00	6493.50	USD	-2,562.50	
31JUL17	S 1 PD31OCT17	6388.50	6493.50	USD	-2,625.00	
31JUL17	B 2 PD31OCT17	6386.50	6493.50	USD	5,350.00	
31JUL17	S 1 PD31OCT17	6379.00	6493.50	USD	-2,862.50	
31JUL17	S 2 PD31OCT17	6376.00	6493.50	USD	-5,875.00	
31JUL17	S 4 PD31OCT17	6377.50	6493.50	USD	-11,600.00	
31JUL17	S 6 PD31OCT17	6378.00	6493.50	USD	-17,325.00	
31JUL17	S 3 PD31OCT17	6371.00	6493.50	USD	-9,187.50	
31JUL17	S 1 PD31OCT17	6372.00	6493.50	USD	-3,037.50	
31JUL17	S 3 PD31OCT17	6371.50	6493.50	USD	-9,150.00	
31JUL17	S 9 PD31OCT17	6372.50	6493.50	USD	-27,225.00	
31JUL17	S 2 PD31OCT17	6373.50	6493.50	USD	-6,000.00	
31JUL17	B 3 PD31OCT17	6364.50	6493.50	USD	9,675.00	
31JUL17	B 1 PD31OCT17	6363.00	6493.50	USD	3,262.50	
31JUL17	S 1 PD31OCT17	6352.50	6493.50	USD	-3,525.00	
31JUL17	S 5 PD31OCT17	6355.00	6493.50	USD	-17,312.50	
31JUL17	S 2 PD31OCT17	6354.50	6493.50	USD	-6,950.00	
31JUL17	S 1 PD31OCT17	6358.00	6493.50	USD	-3,387.50	
31JUL17	S 1 PD31OCT17	6355.50	6493.50	USD	-3,450.00	
31JUL17	B 3 PD31OCT17	6372.50	6493.50	USD	9,075.00	
31JUL17	B 1 PD31OCT17	6369.50	6493.50	USD	3,100.00	
31JUL17	B 3 PD31OCT17	6370.50	6493.50	USD	9,225.00	
31JUL17	B 1 PD31OCT17	6370.00	6493.50	USD	3,087.50	
31JUL17	B 7 PD31OCT17	6373.50	6493.50	USD	21,000.00	
31JUL17	B 2 PD31OCT17	6378.50	6493.50	USD	5,750.00	
31JUL17	B 1 PD31OCT17	6377.50	6493.50	USD	2,900.00	
31JUL17	B 1 PD31OCT17	6377.00	6493.50	USD	2,912.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097L

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
31JUL17	B 1 PD31OCT17	6376.00	6493.50	USD	2,937.50	
31JUL17	B 1 PD31OCT17	6368.50	6493.50	USD	3,125.00	
31JUL17	B 6 PD31OCT17	6369.00	6493.50	USD	18,675.00	
31JUL17	B 2 PD31OCT17	6363.50	6493.50	USD	6,500.00	
31JUL17	S 2 PD31OCT17	6374.50	6493.50	USD	-5,950.00	
31JUL17	S 1 PD31OCT17	6368.00	6493.50	USD	-3,137.50	
31JUL17	S 7 PD31OCT17	6370.00	6493.50	USD	-21,612.50	
31JUL17	S 4 PD31OCT17	6367.50	6493.50	USD	-12,600.00	
31JUL17	S 9 PD31OCT17	6368.50	6493.50	USD	-28,125.00	
31JUL17	S 2 PD31OCT17	6369.50	6493.50	USD	-6,200.00	
31JUL17	S 1 PD31OCT17	6362.50	6493.50	USD	-3,275.00	
31JUL17	S 1 PD31OCT17	6364.50	6493.50	USD	-3,225.00	
31JUL17	S 1 PD31OCT17	6365.00	6493.50	USD	-3,212.50	
31JUL17	S 15 PD31OCT17	6367.00	6493.50	USD	-47,437.50	
31JUL17	S 4 PD31OCT17	6365.50	6493.50	USD	-12,800.00	
31JUL17	S 4 PD31OCT17	6366.00	6493.50	USD	-12,750.00	
31JUL17	S 1 PD31OCT17	6377.50	6493.50	USD	-2,900.00	
31JUL17	S 1 PD31OCT17	6375.50	6493.50	USD	-2,950.00	
31JUL17	B 2 PD31OCT17	6371.00	6493.50	USD	6,125.00	
31JUL17	B 2 PD31OCT17	6374.50	6493.50	USD	5,950.00	
31JUL17	B 3 PD31OCT17	6374.00	6493.50	USD	8,962.50	
31JUL17	S 3 PD31OCT17	6374.00	6493.50	USD	-8,962.50	
31JUL17	B 5 PD31OCT17	6375.00	6493.50	USD	14,812.50	
31JUL17	B 6 PD31OCT17	6376.50	6493.50	USD	17,550.00	
01AUG17	B 15 PD31OCT17	6344.90	6493.50	USD	55,725.00	
07AUG17	B 40 PD31OCT17	6411.14	6493.50	USD	82,360.00	
	NET 0 AVG BGHT 6390.22 SOLD 6378.52				**-47,377.50**	**0.00**
01AUG17	B 5 PD01NOV17	6381.00	6494.09	USD	14,136.25	
01AUG17	B 3 PD01NOV17	6383.00	6494.09	USD	8,331.75	
01AUG17	B 1 PD01NOV17	6381.50	6494.09	USD	2,814.75	
01AUG17	B 2 PD01NOV17	6380.50	6494.09	USD	5,679.50	
01AUG17	B 1 PD01NOV17	6379.50	6494.09	USD	2,864.75	
01AUG17	B 1 PD01NOV17	6379.00	6494.09	USD	2,877.25	
01AUG17	B 2 PD01NOV17	6378.00	6494.09	USD	5,804.50	
01AUG17	B 1 PD01NOV17	6376.50	6494.09	USD	2,939.75	
01AUG17	B 1 PD01NOV17	6376.00	6494.09	USD	2,952.25	
01AUG17	B 4 PD01NOV17	6374.00	6494.09	USD	12,009.00	
01AUG17	B 2 PD01NOV17	6372.00	6494.09	USD	6,104.50	
01AUG17	B 5 PD01NOV17	6373.00	6494.09	USD	15,136.25	
01AUG17	B 2 PD01NOV17	6366.50	6494.09	USD	6,379.50	
01AUG17	B 1 PD01NOV17	6364.00	6494.09	USD	3,252.25	
01AUG17	B 1 PD01NOV17	6363.00	6494.09	USD	3,277.25	
01AUG17	B 1 PD01NOV17	6365.00	6494.09	USD	3,227.25	
01AUG17	B 1 PD01NOV17	6365.50	6494.09	USD	3,214.75	
01AUG17	B 1 PD01NOV17	6367.50	6494.09	USD	3,164.75	
01AUG17	B 2 PD01NOV17	6368.50	6494.09	USD	6,279.50	
01AUG17	S 1 PD01NOV17	6364.00	6494.09	USD	-3,252.25	
01AUG17	S 1 PD01NOV17	6363.00	6494.09	USD	-3,277.25	
01AUG17	S 1 PD01NOV17	6360.00	6494.09	USD	-3,352.25	
01AUG17	S 1 PD01NOV17	6352.00	6494.09	USD	-3,552.25	
01AUG17	S 2 PD01NOV17	6353.50	6494.09	USD	-7,029.50	
01AUG17	S 1 PD01NOV17	6354.50	6494.09	USD	-3,489.75	
01AUG17	S 7 PD01NOV17	6354.00	6494.09	USD	-24,515.75	
01AUG17	B 1 PD01NOV17	6351.50	6494.09	USD	3,564.75	
01AUG17	B 1 PD01NOV17	6350.50	6494.09	USD	3,589.75	
01AUG17	B 2 PD01NOV17	6356.00	6494.09	USD	6,904.50	
01AUG17	B 1 PD01NOV17	6354.50	6494.09	USD	3,489.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097L

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
01AUG17	B 3 PD01NOV17	6353.00	6494.09	USD	10,581.75	
01AUG17	B 3 PD01NOV17	6359.50	6494.09	USD	10,094.25	
01AUG17	B 1 PD01NOV17	6360.50	6494.09	USD	3,339.75	
01AUG17	B 2 PD01NOV17	6361.00	6494.09	USD	6,654.50	
01AUG17	B 3 PD01NOV17	6359.00	6494.09	USD	10,131.75	
01AUG17	B 1 PD01NOV17	6357.50	6494.09	USD	3,414.75	
01AUG17	B 3 PD01NOV17	6352.00	6494.09	USD	10,656.75	
01AUG17	B 1 PD01NOV17	6358.50	6494.09	USD	3,389.75	
01AUG17	B 5 PD01NOV17	6355.50	6494.09	USD	17,323.75	
01AUG17	B 5 PD01NOV17	6348.50	6494.09	USD	18,198.75	
01AUG17	B 3 PD01NOV17	6350.00	6494.09	USD	10,806.75	
01AUG17	B 2 PD01NOV17	6342.00	6494.09	USD	7,604.50	
01AUG17	B 4 PD01NOV17	6345.00	6494.09	USD	14,909.00	
01AUG17	B 1 PD01NOV17	6346.50	6494.09	USD	3,689.75	
01AUG17	B 4 PD01NOV17	6338.50	6494.09	USD	15,559.00	
01AUG17	B 1 PD01NOV17	6338.00	6494.09	USD	3,902.25	
01AUG17	B 4 PD01NOV17	6334.50	6494.09	USD	15,959.00	
01AUG17	B 3 PD01NOV17	6333.50	6494.09	USD	12,044.25	
01AUG17	B 2 PD01NOV17	6331.50	6494.09	USD	8,129.50	
01AUG17	B 1 PD01NOV17	6336.50	6494.09	USD	3,939.75	
01AUG17	B 13 PD01NOV17	6336.00	6494.09	USD	51,379.25	
01AUG17	B 1 PD01NOV17	6376.50	6494.09	USD	2,939.75	
01AUG17	B 1 PD01NOV17	6348.50	6494.09	USD	3,639.75	
01AUG17	B 1 PD01NOV17	6359.50	6494.09	USD	3,364.75	
01AUG17	B 9 PD01NOV17	6333.00	6494.09	USD	36,245.25	
01AUG17	B 1 PD01NOV17	6335.00	6494.09	USD	3,977.25	
01AUG17	B 2 PD01NOV17	6334.00	6494.09	USD	8,004.50	
01AUG17	B 1 PD01NOV17	6337.50	6494.09	USD	3,914.75	
01AUG17	B 4 PD01NOV17	6341.00	6494.09	USD	15,309.00	
01AUG17	B 4 PD01NOV17	6340.50	6494.09	USD	15,359.00	
01AUG17	B 13 PD01NOV17	6354.00	6494.09	USD	45,529.25	
01AUG17	S 6 PD01NOV17	6357.00	6494.09	USD	-20,563.50	
01AUG17	S 1 PD01NOV17	6358.00	6494.09	USD	-3,402.25	
01AUG17	S 3 PD01NOV17	6357.50	6494.09	USD	-10,244.25	
01AUG17	S 4 PD01NOV17	6356.50	6494.09	USD	-13,759.00	
01AUG17	S 2 PD01NOV17	6355.00	6494.09	USD	-6,954.50	
01AUG17	S 9 PD01NOV17	6356.00	6494.09	USD	-31,070.25	
01AUG17	S 4 PD01NOV17	6355.50	6494.09	USD	-13,859.00	
01AUG17	S 7 PD01NOV17	6353.00	6494.09	USD	-24,690.75	
01AUG17	S 2 PD01NOV17	6349.00	6494.09	USD	-7,254.50	
01AUG17	S 1 PD01NOV17	6347.00	6494.09	USD	-3,677.25	
01AUG17	S 1 PD01NOV17	6347.50	6494.09	USD	-3,664.75	
01AUG17	S 5 PD01NOV17	6343.50	6494.09	USD	-18,823.75	
01AUG17	S 1 PD01NOV17	6336.00	6494.09	USD	-3,952.25	
01AUG17	S 2 PD01NOV17	6336.50	6494.09	USD	-7,879.50	
01AUG17	S 1 PD01NOV17	6337.50	6494.09	USD	-3,914.75	
01AUG17	S 9 PD01NOV17	6337.00	6494.09	USD	-35,345.25	
01AUG17	S 5 PD01NOV17	6334.00	6494.09	USD	-20,011.25	
01AUG17	B 1 PD01NOV17	6328.00	6494.09	USD	4,152.25	
01AUG17	B 1 PD01NOV17	6327.50	6494.09	USD	4,164.75	
01AUG17	B 2 PD01NOV17	6326.50	6494.09	USD	8,379.50	
01AUG17	B 1 PD01NOV17	6325.50	6494.09	USD	4,214.75	
01AUG17	S 1 PD01NOV17	6338.50	6494.09	USD	-3,889.75	
01AUG17	S 1 PD01NOV17	6335.00	6494.09	USD	-3,977.25	
01AUG17	S 2 PD01NOV17	6339.50	6494.09	USD	-7,729.50	
01AUG17	S 1 PD01NOV17	6341.00	6494.09	USD	-3,827.25	
01AUG17	S 2 PD01NOV17	6340.50	6494.09	USD	-7,679.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097L

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
01AUG17	S 1 PD01NOV17	6341.50	6494.09	USD	-3,814.75	
01AUG17	B 4 PD01NOV17	6352.50	6494.09	USD	14,159.00	
01AUG17	B 5 PD01NOV17	6358.00	6494.09	USD	17,011.25	
01AUG17	B 6 PD01NOV17	6357.00	6494.09	USD	20,563.50	
01AUG17	B 5 PD01NOV17	6356.50	6494.09	USD	17,198.75	
01AUG17	B 10 PD01NOV17	6355.00	6494.09	USD	34,772.50	
01AUG17	B 3 PD01NOV17	6353.50	6494.09	USD	10,544.25	
01AUG17	S 6 PD01NOV17	6348.00	6494.09	USD	-21,913.50	
01AUG17	S 2 PD01NOV17	6346.00	6494.09	USD	-7,404.50	
01AUG17	S 4 PD01NOV17	6348.50	6494.09	USD	-14,559.00	
01AUG17	B 2 PD01NOV17	6343.00	6494.09	USD	7,554.50	
01AUG17	B 1 PD01NOV17	6344.00	6494.09	USD	3,752.25	
01AUG17	B 4 PD01NOV17	6341.50	6494.09	USD	15,259.00	
01AUG17	B 3 PD01NOV17	6339.50	6494.09	USD	11,594.25	
07AUG17	S 40 PD01NOV17	6411.35	6494.09	USD	-82,740.00	
07AUG17	S 55 PD01NOV17	6411.55	6494.09	USD	-113,492.50	
	NET 0 AVG BGHT 6352.15 SOLD 6379.81				**132,743.75**	**0.00**
02AUG17	S 1 PD02NOV17	6316.00	6494.69	USD	-4,467.25	
02AUG17	S 1 PD02NOV17	6317.00	6494.69	USD	-4,442.25	
02AUG17	S 1 PD02NOV17	6313.50	6494.69	USD	-4,529.75	
02AUG17	S 1 PD02NOV17	6314.00	6494.69	USD	-4,517.25	
02AUG17	S 1 PD02NOV17	6321.00	6494.69	USD	-4,342.25	
02AUG17	S 1 PD02NOV17	6315.50	6494.69	USD	-4,479.75	
02AUG17	S 1 PD02NOV17	6313.00	6494.69	USD	-4,542.25	
02AUG17	S 2 PD02NOV17	6312.50	6494.69	USD	-9,109.50	
02AUG17	S 1 PD02NOV17	6314.50	6494.69	USD	-4,504.75	
02AUG17	S 6 PD02NOV17	6315.00	6494.69	USD	-26,953.50	
02AUG17	S 1 PD02NOV17	6317.50	6494.69	USD	-4,429.75	
02AUG17	S 1 PD02NOV17	6316.50	6494.69	USD	-4,454.75	
02AUG17	S 2 PD02NOV17	6319.50	6494.69	USD	-8,759.50	
02AUG17	S 3 PD02NOV17	6319.00	6494.69	USD	-13,176.75	
02AUG17	S 2 PD02NOV17	6318.00	6494.69	USD	-8,834.50	
02AUG17	S 1 PD02NOV17	6324.50	6494.69	USD	-4,254.75	
02AUG17	S 1 PD02NOV17	6325.00	6494.69	USD	-4,242.25	
02AUG17	S 1 PD02NOV17	6323.00	6494.69	USD	-4,292.25	
02AUG17	S 5 PD02NOV17	6325.50	6494.69	USD	-21,148.75	
02AUG17	S 1 PD02NOV17	6326.00	6494.69	USD	-4,217.25	
02AUG17	S 2 PD02NOV17	6330.00	6494.69	USD	-8,234.50	
02AUG17	S 13 PD02NOV17	6326.50	6494.69	USD	-54,661.75	
02AUG17	S 1 PD02NOV17	6331.50	6494.69	USD	-4,079.75	
02AUG17	S 2 PD02NOV17	6329.00	6494.69	USD	-8,284.50	
02AUG17	S 8 PD02NOV17	6327.50	6494.69	USD	-33,438.00	
02AUG17	S 5 PD02NOV17	6328.00	6494.69	USD	-20,836.25	
02AUG17	S 5 PD02NOV17	6328.50	6494.69	USD	-20,773.75	
02AUG17	S 3 PD02NOV17	6331.00	6494.69	USD	-12,276.75	
02AUG17	S 2 PD02NOV17	6329.50	6494.69	USD	-8,259.50	
02AUG17	S 3 PD02NOV17	6330.50	6494.69	USD	-12,314.25	
02AUG17	B 1 PD02NOV17	6330.50	6494.69	USD	4,104.75	
02AUG17	S 2 PD02NOV17	6339.50	6494.69	USD	-7,759.50	
02AUG17	B 1 PD02NOV17	6336.50	6494.69	USD	3,954.75	
02AUG17	B 2 PD02NOV17	6338.00	6494.69	USD	7,834.50	
02AUG17	B 2 PD02NOV17	6335.00	6494.69	USD	7,984.50	
02AUG17	B 2 PD02NOV17	6333.00	6494.69	USD	8,084.50	
02AUG17	B 1 PD02NOV17	6332.00	6494.69	USD	4,067.25	
02AUG17	B 1 PD02NOV17	6330.00	6494.69	USD	4,117.25	
02AUG17	B 3 PD02NOV17	6329.00	6494.69	USD	12,426.75	
02AUG17	B 3 PD02NOV17	6327.00	6494.69	USD	12,576.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097L

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
02AUG17	B 1 PD02NOV17	6326.00	6494.69	USD	4,217.25	
02AUG17	B 3 PD02NOV17	6325.50	6494.69	USD	12,689.25	
02AUG17	B 1 PD02NOV17	6324.50	6494.69	USD	4,254.75	
02AUG17	B 6 PD02NOV17	6325.00	6494.69	USD	25,453.50	
02AUG17	B 5 PD02NOV17	6327.50	6494.69	USD	20,898.75	
02AUG17	B 2 PD02NOV17	6326.50	6494.69	USD	8,409.50	
02AUG17	B 1 PD02NOV17	6329.50	6494.69	USD	4,129.75	
02AUG17	B 9 PD02NOV17	6328.50	6494.69	USD	37,392.75	
02AUG17	B 11 PD02NOV17	6328.00	6494.69	USD	45,839.75	
02AUG17	B 1 PD02NOV17	6331.50	6494.69	USD	4,079.75	
02AUG17	B 1 PD02NOV17	6330.00	6494.69	USD	4,117.25	
02AUG17	S 2 PD02NOV17	6317.00	6494.69	USD	-8,884.50	
02AUG17	S 2 PD02NOV17	6326.50	6494.69	USD	-8,409.50	
02AUG17	S 1 PD02NOV17	6326.00	6494.69	USD	-4,217.25	
02AUG17	S 2 PD02NOV17	6323.00	6494.69	USD	-8,584.50	
02AUG17	S 1 PD02NOV17	6342.00	6494.69	USD	-3,817.25	
02AUG17	B 6 PD02NOV17	6333.50	6494.69	USD	24,178.50	
02AUG17	B 2 PD02NOV17	6338.50	6494.69	USD	7,809.50	
02AUG17	B 1 PD02NOV17	6337.50	6494.69	USD	3,929.75	
02AUG17	B 1 PD02NOV17	6337.00	6494.69	USD	3,942.25	
02AUG17	B 1 PD02NOV17	6345.00	6494.69	USD	3,742.25	
02AUG17	B 1 PD02NOV17	6345.50	6494.69	USD	3,729.75	
02AUG17	B 5 PD02NOV17	6343.00	6494.69	USD	18,961.25	
02AUG17	B 4 PD02NOV17	6342.50	6494.69	USD	15,219.00	
02AUG17	B 1 PD02NOV17	6336.00	6494.69	USD	3,967.25	
02AUG17	B 4 PD02NOV17	6334.00	6494.69	USD	16,069.00	
02AUG17	B 1 PD02NOV17	6339.50	6494.69	USD	3,879.75	
02AUG17	B 4 PD02NOV17	6340.00	6494.69	USD	15,469.00	
02AUG17	B 5 PD02NOV17	6339.00	6494.69	USD	19,461.25	
02AUG17	S 1 PD02NOV17	6354.00	6494.69	USD	-3,517.25	
02AUG17	B 1 PD02NOV17	6356.50	6494.69	USD	3,454.75	
02AUG17	B 4 PD02NOV17	6357.50	6494.69	USD	13,719.00	
02AUG17	B 1 PD02NOV17	6359.00	6494.69	USD	3,392.25	
02AUG17	B 2 PD02NOV17	6360.50	6494.69	USD	6,709.50	
02AUG17	B 12 PD02NOV17	6361.00	6494.69	USD	40,107.00	
02AUG17	B 1 PD02NOV17	6365.00	6494.69	USD	3,242.25	
02AUG17	B 1 PD02NOV17	6364.00	6494.69	USD	3,267.25	
02AUG17	S 1 PD02NOV17	6363.00	6494.69	USD	-3,292.25	
02AUG17	S 1 PD02NOV17	6364.50	6494.69	USD	-3,254.75	
02AUG17	S 3 PD02NOV17	6362.00	6494.69	USD	-9,951.75	
02AUG17	S 2 PD02NOV17	6359.00	6494.69	USD	-6,784.50	
02AUG17	B 1 PD02NOV17	6357.00	6494.69	USD	3,442.25	
02AUG17	B 1 PD02NOV17	6356.00	6494.69	USD	3,467.25	
02AUG17	B 3 PD02NOV17	6348.50	6494.69	USD	10,964.25	
02AUG17	S 1 PD02NOV17	6352.00	6494.69	USD	-3,567.25	
02AUG17	S 11 PD02NOV17	6353.00	6494.69	USD	-38,964.75	
02AUG17	S 2 PD02NOV17	6352.50	6494.69	USD	-7,109.50	
07AUG17	S 10 PD02NOV17	6411.95	6494.69	USD	-20,685.00	
	NET 0 AVG BGHT 6338.44 SOLD 6337.47				**-2,900.00**	**0.00**
03AUG17	S 3 PD03NOV17	6336.00	6495.28	USD	-11,946.00	
03AUG17	S 4 PD03NOV17	6346.00	6495.28	USD	-14,928.00	
03AUG17	S 1 PD03NOV17	6347.00	6495.28	USD	-3,707.00	
03AUG17	S 1 PD03NOV17	6345.50	6495.28	USD	-3,744.50	
03AUG17	S 4 PD03NOV17	6345.00	6495.28	USD	-15,028.00	
03AUG17	S 4 PD03NOV17	6344.50	6495.28	USD	-15,078.00	
03AUG17	S 2 PD03NOV17	6347.50	6495.28	USD	-7,389.00	
03AUG17	S 1 PD03NOV17	6349.50	6495.28	USD	-3,644.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097L

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
03AUG17	S 2 PD03NOV17	6338.50	6495.28	USD	-7,839.00	
03AUG17	B 1 PD03NOV17	6337.00	6495.28	USD	3,957.00	
03AUG17	S 2 PD03NOV17	6321.00	6495.28	USD	-8,714.00	
03AUG17	S 2 PD03NOV17	6323.00	6495.28	USD	-8,614.00	
03AUG17	S 1 PD03NOV17	6326.50	6495.28	USD	-4,219.50	
03AUG17	S 1 PD03NOV17	6327.50	6495.28	USD	-4,194.50	
03AUG17	S 2 PD03NOV17	6308.50	6495.28	USD	-9,339.00	
03AUG17	S 1 PD03NOV17	6307.50	6495.28	USD	-4,694.50	
03AUG17	S 3 PD03NOV17	6309.50	6495.28	USD	-13,933.50	
03AUG17	S 5 PD03NOV17	6308.00	6495.28	USD	-23,410.00	
03AUG17	S 3 PD03NOV17	6307.00	6495.28	USD	-14,121.00	
03AUG17	S 1 PD03NOV17	6312.50	6495.28	USD	-4,569.50	
03AUG17	S 1 PD03NOV17	6315.50	6495.28	USD	-4,494.50	
03AUG17	S 1 PD03NOV17	6318.00	6495.28	USD	-4,432.00	
03AUG17	S 4 PD03NOV17	6320.00	6495.28	USD	-17,528.00	
03AUG17	S 1 PD03NOV17	6314.00	6495.28	USD	-4,532.00	
03AUG17	S 4 PD03NOV17	6317.00	6495.28	USD	-17,828.00	
03AUG17	S 7 PD03NOV17	6319.00	6495.28	USD	-30,849.00	
03AUG17	S 2 PD03NOV17	6318.50	6495.28	USD	-8,839.00	
03AUG17	S 3 PD03NOV17	6319.50	6495.28	USD	-13,183.50	
03AUG17	S 2 PD03NOV17	6323.50	6495.28	USD	-8,589.00	
03AUG17	S 1 PD03NOV17	6322.50	6495.28	USD	-4,319.50	
03AUG17	S 1 PD03NOV17	6321.50	6495.28	USD	-4,344.50	
03AUG17	S 8 PD03NOV17	6320.50	6495.28	USD	-34,956.00	
03AUG17	S 1 PD03NOV17	6317.50	6495.28	USD	-4,444.50	
03AUG17	B 4 PD03NOV17	6319.50	6495.28	USD	17,578.00	
03AUG17	B 1 PD03NOV17	6314.50	6495.28	USD	4,519.50	
03AUG17	B 2 PD03NOV17	6318.50	6495.28	USD	8,839.00	
03AUG17	B 2 PD03NOV17	6314.00	6495.28	USD	9,064.00	
03AUG17	B 2 PD03NOV17	6317.50	6495.28	USD	8,889.00	
03AUG17	B 3 PD03NOV17	6315.50	6495.28	USD	13,483.50	
03AUG17	B 5 PD03NOV17	6318.00	6495.28	USD	22,160.00	
03AUG17	B 2 PD03NOV17	6316.50	6495.28	USD	8,939.00	
03AUG17	B 2 PD03NOV17	6313.50	6495.28	USD	9,089.00	
03AUG17	B 1 PD03NOV17	6311.00	6495.28	USD	4,607.00	
03AUG17	B 1 PD03NOV17	6310.00	6495.28	USD	4,632.00	
03AUG17	B 1 PD03NOV17	6311.50	6495.28	USD	4,594.50	
03AUG17	B 2 PD03NOV17	6312.50	6495.28	USD	9,139.00	
03AUG17	B 5 PD03NOV17	6312.00	6495.28	USD	22,910.00	
03AUG17	B 2 PD03NOV17	6313.00	6495.28	USD	9,114.00	
03AUG17	B 11 PD03NOV17	6319.00	6495.28	USD	48,477.00	
03AUG17	B 2 PD03NOV17	6322.00	6495.28	USD	8,664.00	
03AUG17	S 4 PD03NOV17	6322.00	6495.28	USD	-17,328.00	
03AUG17	S 1 PD03NOV17	6346.00	6495.28	USD	-3,732.00	
03AUG17	S 1 PD03NOV17	6351.50	6495.28	USD	-3,594.50	
03AUG17	S 1 PD03NOV17	6309.50	6495.28	USD	-4,644.50	
03AUG17	B 1 PD03NOV17	6330.00	6495.28	USD	4,132.00	
03AUG17	B 3 PD03NOV17	6329.00	6495.28	USD	12,471.00	
03AUG17	B 2 PD03NOV17	6331.50	6495.28	USD	8,189.00	
03AUG17	B 3 PD03NOV17	6330.50	6495.28	USD	12,358.50	
03AUG17	S 3 PD03NOV17	6341.00	6495.28	USD	-11,571.00	
03AUG17	S 2 PD03NOV17	6336.50	6495.28	USD	-7,939.00	
03AUG17	S 3 PD03NOV17	6342.00	6495.28	USD	-11,496.00	
03AUG17	S 1 PD03NOV17	6340.50	6495.28	USD	-3,869.50	
03AUG17	S 1 PD03NOV17	6332.50	6495.28	USD	-4,069.50	
03AUG17	S 1 PD03NOV17	6333.50	6495.28	USD	-4,044.50	
03AUG17	S 2 PD03NOV17	6334.50	6495.28	USD	-8,039.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097L

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
03AUG17	S 3 PD03NOV17	6337.00	6495.28	USD	-11,871.00	
03AUG17	S 9 PD03NOV17	6338.00	6495.28	USD	-35,388.00	
03AUG17	S 7 PD03NOV17	6337.50	6495.28	USD	-27,611.50	
03AUG17	S 3 PD03NOV17	6346.50	6495.28	USD	-11,158.50	
03AUG17	S 3 PD03NOV17	6335.00	6495.28	USD	-12,021.00	
03AUG17	S 7 PD03NOV17	6335.50	6495.28	USD	-27,961.50	
03AUG17	S 5 PD03NOV17	6343.00	6495.28	USD	-19,035.00	
03AUG17	S 2 PD03NOV17	6348.50	6495.28	USD	-7,339.00	
03AUG17	S 1 PD03NOV17	6355.00	6495.28	USD	-3,507.00	
03AUG17	B 1 PD03NOV17	6350.00	6495.28	USD	3,632.00	
03AUG17	S 7 PD03NOV17	6344.00	6495.28	USD	-26,474.00	
03AUG17	S 2 PD03NOV17	6340.00	6495.28	USD	-7,764.00	
03AUG17	S 9 PD03NOV17	6339.50	6495.28	USD	-35,050.50	
03AUG17	S 7 PD03NOV17	6339.00	6495.28	USD	-27,349.00	
03AUG17	B 4 PD03NOV17	6344.00	6495.28	USD	15,128.00	
03AUG17	B 1 PD03NOV17	6345.00	6495.28	USD	3,757.00	
04AUG17	B 1 PD03NOV17	6352.50	6495.28	USD	3,569.50	
04AUG17	B 2 PD03NOV17	6353.50	6495.28	USD	7,089.00	
04AUG17	B 2 PD03NOV17	6354.50	6495.28	USD	7,039.00	
04AUG17	B 5 PD03NOV17	6357.00	6495.28	USD	17,285.00	
04AUG17	B 6 PD03NOV17	6357.50	6495.28	USD	20,667.00	
04AUG17	B 3 PD03NOV17	6356.00	6495.28	USD	10,446.00	
04AUG17	B 3 PD03NOV17	6355.00	6495.28	USD	10,521.00	
04AUG17	B 1 PD03NOV17	6361.00	6495.28	USD	3,357.00	
04AUG17	B 1 PD03NOV17	6366.50	6495.28	USD	3,219.50	
04AUG17	B 2 PD03NOV17	6363.00	6495.28	USD	6,614.00	
04AUG17	B 2 PD03NOV17	6374.00	6495.28	USD	6,064.00	
04AUG17	B 2 PD03NOV17	6370.50	6495.28	USD	6,239.00	
04AUG17	B 3 PD03NOV17	6373.50	6495.28	USD	9,133.50	
04AUG17	B 1 PD03NOV17	6375.00	6495.28	USD	3,007.00	
04AUG17	S 2 PD03NOV17	6365.00	6495.28	USD	-6,514.00	
04AUG17	S 1 PD03NOV17	6364.00	6495.28	USD	-3,282.00	
04AUG17	S 1 PD03NOV17	6367.00	6495.28	USD	-3,207.00	
04AUG17	S 1 PD03NOV17	6369.50	6495.28	USD	-3,144.50	
04AUG17	S 3 PD03NOV17	6368.00	6495.28	USD	-9,546.00	
04AUG17	S 3 PD03NOV17	6366.00	6495.28	USD	-9,696.00	
04AUG17	S 4 PD03NOV17	6362.50	6495.28	USD	-13,278.00	
04AUG17	S 3 PD03NOV17	6364.50	6495.28	USD	-9,808.50	
04AUG17	S 4 PD03NOV17	6363.50	6495.28	USD	-13,178.00	
04AUG17	S 6 PD03NOV17	6368.50	6495.28	USD	-19,017.00	
04AUG17	S 1 PD03NOV17	6369.00	6495.28	USD	-3,157.00	
04AUG17	S 4 PD03NOV17	6362.00	6495.28	USD	-13,328.00	
04AUG17	S 2 PD03NOV17	6366.50	6495.28	USD	-6,439.00	
04AUG17	S 15 PD03NOV17	6365.50	6495.28	USD	-48,667.50	
04AUG17	S 2 PD03NOV17	6358.50	6495.28	USD	-6,839.00	
04AUG17	S 3 PD03NOV17	6357.00	6495.28	USD	-10,371.00	
04AUG17	B 1 PD03NOV17	6362.00	6495.28	USD	3,332.00	
04AUG17	B 9 PD03NOV17	6358.00	6495.28	USD	30,888.00	
04AUG17	B 11 PD03NOV17	6358.50	6495.28	USD	37,614.50	
04AUG17	B 1 PD03NOV17	6356.00	6495.28	USD	3,482.00	
04AUG17	S 1 PD03NOV17	6362.00	6495.28	USD	-3,332.00	
04AUG17	S 1 PD03NOV17	6361.50	6495.28	USD	-3,344.50	
04AUG17	S 1 PD03NOV17	6357.00	6495.28	USD	-3,457.00	
04AUG17	B 7 PD03NOV17	6359.50	6495.28	USD	23,761.50	
04AUG17	S 7 PD03NOV17	6358.00	6495.28	USD	-24,024.00	
04AUG17	B 3 PD03NOV17	6360.50	6495.28	USD	10,108.50	
04AUG17	B 1 PD03NOV17	6361.50	6495.28	USD	3,344.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097L

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
04AUG17	B 2 PD03NOV17	6362.50	6495.28	USD	6,639.00	
04AUG17	B 3 PD03NOV17	6365.00	6495.28	USD	9,771.00	
04AUG17	B 2 PD03NOV17	6366.00	6495.28	USD	6,464.00	
04AUG17	B 3 PD03NOV17	6364.00	6495.28	USD	9,846.00	
04AUG17	B 1 PD03NOV17	6363.50	6495.28	USD	3,294.50	
04AUG17	B 11 PD03NOV17	6360.00	6495.28	USD	37,202.00	
04AUG17	B 9 PD03NOV17	6359.00	6495.28	USD	30,663.00	
04AUG17	S 1 PD03NOV17	6344.00	6495.28	USD	-3,782.00	
04AUG17	S 2 PD03NOV17	6345.00	6495.28	USD	-7,514.00	
04AUG17	S 1 PD03NOV17	6340.50	6495.28	USD	-3,869.50	
04AUG17	S 1 PD03NOV17	6333.50	6495.28	USD	-4,044.50	
04AUG17	S 1 PD03NOV17	6335.00	6495.28	USD	-4,007.00	
04AUG17	S 2 PD03NOV17	6334.50	6495.28	USD	-8,039.00	
04AUG17	S 6 PD03NOV17	6335.50	6495.28	USD	-23,967.00	
04AUG17	S 2 PD03NOV17	6339.50	6495.28	USD	-7,789.00	
04AUG17	S 4 PD03NOV17	6336.50	6495.28	USD	-15,878.00	
04AUG17	B 1 PD03NOV17	6341.50	6495.28	USD	3,844.50	
04AUG17	B 2 PD03NOV17	6348.50	6495.28	USD	7,339.00	
04AUG17	B 3 PD03NOV17	6349.00	6495.28	USD	10,971.00	
04AUG17	S 1 PD03NOV17	6319.50	6495.28	USD	-4,394.50	
04AUG17	S 1 PD03NOV17	6321.50	6495.28	USD	-4,344.50	
04AUG17	S 3 PD03NOV17	6320.50	6495.28	USD	-13,108.50	
04AUG17	S 1 PD03NOV17	6322.00	6495.28	USD	-4,332.00	
04AUG17	S 3 PD03NOV17	6323.00	6495.28	USD	-12,921.00	
04AUG17	S 6 PD03NOV17	6324.00	6495.28	USD	-25,692.00	
04AUG17	S 3 PD03NOV17	6323.50	6495.28	USD	-12,883.50	
04AUG17	S 2 PD03NOV17	6324.50	6495.28	USD	-8,539.00	
04AUG17	S 1 PD03NOV17	6325.50	6495.28	USD	-4,244.50	
04AUG17	S 3 PD03NOV17	6328.50	6495.28	USD	-12,508.50	
04AUG17	S 1 PD03NOV17	6332.00	6495.28	USD	-4,082.00	
04AUG17	B 1 PD03NOV17	6384.00	6495.28	USD	2,782.00	
04AUG17	B 7 PD03NOV17	6384.50	6495.28	USD	19,386.50	
04AUG17	B 1 PD03NOV17	6383.50	6495.28	USD	2,794.50	
04AUG17	B 3 PD03NOV17	6383.00	6495.28	USD	8,421.00	
04AUG17	B 8 PD03NOV17	6385.00	6495.28	USD	22,056.00	
04AUG17	B 6 PD03NOV17	6367.00	6495.28	USD	19,242.00	
04AUG17	B 5 PD03NOV17	6365.50	6495.28	USD	16,222.50	
04AUG17	S 11 PD03NOV17	6363.00	6495.28	USD	-36,377.00	
04AUG17	S 4 PD03NOV17	6357.50	6495.28	USD	-13,778.00	
04AUG17	S 1 PD03NOV17	6355.50	6495.28	USD	-3,494.50	
04AUG17	S 1 PD03NOV17	6355.00	6495.28	USD	-3,507.00	
04AUG17	S 1 PD03NOV17	6354.00	6495.28	USD	-3,532.00	
04AUG17	S 1 PD03NOV17	6360.50	6495.28	USD	-3,369.50	
04AUG17	S 8 PD03NOV17	6359.50	6495.28	USD	-27,156.00	
04AUG17	S 4 PD03NOV17	6361.00	6495.28	USD	-13,428.00	
04AUG17	S 4 PD03NOV17	6361.50	6495.28	USD	-13,378.00	
04AUG17	S 14 PD03NOV17	6360.00	6495.28	USD	-47,348.00	
04AUG17	S 6 PD03NOV17	6359.00	6495.28	USD	-20,442.00	
07AUG17	B 65 PD03NOV17	6412.56	6495.28	USD	134,420.00	
10AUG17	B 65 PD03NOV17	6420.13	6495.28	USD	122,118.75	
	NET 0 AVG BGHT 6376.30 SOLD 6342.61				**-277,108.75**	**0.00**
07AUG17	S 1 PD07NOV17	6360.50	6497.66	USD	-3,429.00	
07AUG17	S 1 PD07NOV17	6370.50	6497.66	USD	-3,179.00	
07AUG17	S 4 PD07NOV17	6371.50	6497.66	USD	-12,616.00	
07AUG17	S 8 PD07NOV17	6370.00	6497.66	USD	-25,532.00	
07AUG17	S 6 PD07NOV17	6366.50	6497.66	USD	-19,674.00	
07AUG17	S 5 PD07NOV17	6372.00	6497.66	USD	-15,707.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097L

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
07AUG17	B 4 PD07NOV17	6363.50	6497.66	USD	13,416.00	
07AUG17	B 10 PD07NOV17	6364.00	6497.66	USD	33,415.00	
07AUG17	B 6 PD07NOV17	6364.50	6497.66	USD	19,974.00	
07AUG17	B 2 PD07NOV17	6365.00	6497.66	USD	6,633.00	
07AUG17	B 3 PD07NOV17	6366.50	6497.66	USD	9,837.00	
07AUG17	B 5 PD07NOV17	6367.50	6497.66	USD	16,270.00	
07AUG17	S 1 PD07NOV17	6386.50	6497.66	USD	-2,779.00	
07AUG17	S 4 PD07NOV17	6385.50	6497.66	USD	-11,216.00	
07AUG17	S 1 PD07NOV17	6386.00	6497.66	USD	-2,791.50	
07AUG17	S 1 PD07NOV17	6397.50	6497.66	USD	-2,504.00	
07AUG17	B 1 PD07NOV17	6407.00	6497.66	USD	2,266.50	
07AUG17	B 3 PD07NOV17	6407.50	6497.66	USD	6,762.00	
07AUG17	B 2 PD07NOV17	6410.00	6497.66	USD	4,383.00	
07AUG17	B 1 PD07NOV17	6416.50	6497.66	USD	2,029.00	
07AUG17	B 2 PD07NOV17	6421.50	6497.66	USD	3,808.00	
07AUG17	B 1 PD07NOV17	6428.00	6497.66	USD	1,741.50	
07AUG17	B 1 PD07NOV17	6438.00	6497.66	USD	1,491.50	
07AUG17	B 1 PD07NOV17	6443.50	6497.66	USD	1,354.00	
07AUG17	B 1 PD07NOV17	6443.00	6497.66	USD	1,366.50	
07AUG17	B 1 PD07NOV17	6441.50	6497.66	USD	1,404.00	
07AUG17	B 4 PD07NOV17	6441.00	6497.66	USD	5,666.00	
07AUG17	B 1 PD07NOV17	6439.00	6497.66	USD	1,466.50	
07AUG17	B 2 PD07NOV17	6442.00	6497.66	USD	2,783.00	
07AUG17	B 3 PD07NOV17	6440.00	6497.66	USD	4,324.50	
07AUG17	B 3 PD07NOV17	6438.50	6497.66	USD	4,437.00	
07AUG17	B 2 PD07NOV17	6436.50	6497.66	USD	3,058.00	
07AUG17	B 2 PD07NOV17	6434.50	6497.66	USD	3,158.00	
07AUG17	B 2 PD07NOV17	6430.00	6497.66	USD	3,383.00	
07AUG17	B 3 PD07NOV17	6428.50	6497.66	USD	5,187.00	
07AUG17	B 2 PD07NOV17	6429.00	6497.66	USD	3,433.00	
07AUG17	B 1 PD07NOV17	6426.50	6497.66	USD	1,779.00	
07AUG17	B 3 PD07NOV17	6425.50	6497.66	USD	5,412.00	
07AUG17	B 6 PD07NOV17	6425.00	6497.66	USD	10,899.00	
07AUG17	B 1 PD07NOV17	6427.50	6497.66	USD	1,754.00	
07AUG17	S 2 PD07NOV17	6419.00	6497.66	USD	-3,933.00	
07AUG17	S 2 PD07NOV17	6418.50	6497.66	USD	-3,958.00	
07AUG17	S 2 PD07NOV17	6417.50	6497.66	USD	-4,008.00	
07AUG17	S 2 PD07NOV17	6416.50	6497.66	USD	-4,058.00	
07AUG17	S 3 PD07NOV17	6416.00	6497.66	USD	-6,124.50	
07AUG17	S 1 PD07NOV17	6415.50	6497.66	USD	-2,054.00	
07AUG17	S 4 PD07NOV17	6415.00	6497.66	USD	-8,266.00	
07AUG17	S 1 PD07NOV17	6414.50	6497.66	USD	-2,079.00	
07AUG17	S 1 PD07NOV17	6411.00	6497.66	USD	-2,166.50	
07AUG17	S 2 PD07NOV17	6409.00	6497.66	USD	-4,433.00	
07AUG17	S 1 PD07NOV17	6404.50	6497.66	USD	-2,329.00	
07AUG17	S 1 PD07NOV17	6403.50	6497.66	USD	-2,354.00	
07AUG17	S 1 PD07NOV17	6407.00	6497.66	USD	-2,266.50	
07AUG17	S 1 PD07NOV17	6406.50	6497.66	USD	-2,279.00	
07AUG17	S 5 PD07NOV17	6408.00	6497.66	USD	-11,207.50	
07AUG17	S 2 PD07NOV17	6404.00	6497.66	USD	-4,683.00	
07AUG17	S 1 PD07NOV17	6396.00	6497.66	USD	-2,541.50	
07AUG17	S 3 PD07NOV17	6403.00	6497.66	USD	-7,099.50	
07AUG17	S 2 PD07NOV17	6405.00	6497.66	USD	-4,633.00	
07AUG17	S 2 PD07NOV17	6421.00	6497.66	USD	-3,833.00	
07AUG17	S 1 PD07NOV17	6412.50	6497.66	USD	-2,129.00	
07AUG17	S 1 PD07NOV17	6410.00	6497.66	USD	-2,191.50	
07AUG17	S 1 PD07NOV17	6410.50	6497.66	USD	-2,179.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097L

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
07AUG17	S 2 PD07NOV17	6411.50	6497.66	USD	-4,308.00	
07AUG17	S 3 PD07NOV17	6406.00	6497.66	USD	-6,874.50	
	NET 0 AVG BGHT 6405.06 SOLD 6395.68				**-18,525.00**	**0.00**
08AUG17	S 2 PD08NOV17	6393.50	6498.25	USD	-5,237.50	
08AUG17	S 1 PD08NOV17	6392.50	6498.25	USD	-2,643.75	
08AUG17	S 1 PD08NOV17	6394.50	6498.25	USD	-2,593.75	
08AUG17	S 2 PD08NOV17	6394.00	6498.25	USD	-5,212.50	
08AUG17	S 3 PD08NOV17	6393.00	6498.25	USD	-7,893.75	
08AUG17	S 1 PD08NOV17	6391.00	6498.25	USD	-2,681.25	
08AUG17	B 1 PD08NOV17	6396.00	6498.25	USD	2,556.25	
08AUG17	B 4 PD08NOV17	6400.00	6498.25	USD	9,825.00	
08AUG17	B 12 PD08NOV17	6399.50	6498.25	USD	29,625.00	
08AUG17	B 14 PD08NOV17	6398.50	6498.25	USD	34,912.50	
08AUG17	B 1 PD08NOV17	6392.50	6498.25	USD	2,643.75	
08AUG17	B 2 PD08NOV17	6394.00	6498.25	USD	5,212.50	
08AUG17	B 2 PD08NOV17	6394.50	6498.25	USD	5,187.50	
08AUG17	B 3 PD08NOV17	6395.50	6498.25	USD	7,706.25	
08AUG17	B 10 PD08NOV17	6397.50	6498.25	USD	25,187.50	
08AUG17	B 11 PD08NOV17	6396.50	6498.25	USD	27,981.25	
08AUG17	B 21 PD08NOV17	6399.00	6498.25	USD	52,106.25	
08AUG17	B 17 PD08NOV17	6398.00	6498.25	USD	42,606.25	
08AUG17	B 5 PD08NOV17	6397.00	6498.25	USD	12,656.25	
08AUG17	B 1 PD08NOV17	6401.50	6498.25	USD	2,418.75	
08AUG17	S 1 PD08NOV17	6408.50	6498.25	USD	-2,243.75	
08AUG17	S 2 PD08NOV17	6407.50	6498.25	USD	-4,537.50	
08AUG17	B 3 PD08NOV17	6402.00	6498.25	USD	7,218.75	
08AUG17	B 1 PD08NOV17	6402.50	6498.25	USD	2,393.75	
08AUG17	B 3 PD08NOV17	6401.00	6498.25	USD	7,293.75	
08AUG17	S 3 PD08NOV17	6406.50	6498.25	USD	-6,881.25	
08AUG17	S 1 PD08NOV17	6414.00	6498.25	USD	-2,106.25	
08AUG17	S 2 PD08NOV17	6417.50	6498.25	USD	-4,037.50	
08AUG17	S 3 PD08NOV17	6409.00	6498.25	USD	-6,693.75	
08AUG17	S 4 PD08NOV17	6410.00	6498.25	USD	-8,825.00	
08AUG17	S 1 PD08NOV17	6411.50	6498.25	USD	-2,168.75	
08AUG17	S 1 PD08NOV17	6415.00	6498.25	USD	-2,081.25	
08AUG17	S 1 PD08NOV17	6416.00	6498.25	USD	-2,056.25	
08AUG17	S 2 PD08NOV17	6404.50	6498.25	USD	-4,687.50	
08AUG17	S 1 PD08NOV17	6402.00	6498.25	USD	-2,406.25	
08AUG17	S 3 PD08NOV17	6403.00	6498.25	USD	-7,143.75	
08AUG17	S 3 PD08NOV17	6405.00	6498.25	USD	-6,993.75	
08AUG17	S 3 PD08NOV17	6404.00	6498.25	USD	-7,068.75	
08AUG17	S 1 PD08NOV17	6407.00	6498.25	USD	-2,281.25	
08AUG17	B 5 PD08NOV17	6404.00	6498.25	USD	11,781.25	
08AUG17	B 5 PD08NOV17	6418.50	6498.25	USD	9,968.75	
08AUG17	B 10 PD08NOV17	6419.00	6498.25	USD	19,812.50	
08AUG17	B 12 PD08NOV17	6419.50	6498.25	USD	23,625.00	
08AUG17	B 8 PD08NOV17	6420.00	6498.25	USD	15,650.00	
08AUG17	B 1 PD08NOV17	6423.50	6498.25	USD	1,868.75	
08AUG17	B 2 PD08NOV17	6425.50	6498.25	USD	3,637.50	
08AUG17	B 1 PD08NOV17	6425.00	6498.25	USD	1,831.25	
08AUG17	B 1 PD08NOV17	6426.00	6498.25	USD	1,806.25	
08AUG17	B 5 PD08NOV17	6434.50	6498.25	USD	7,968.75	
08AUG17	B 7 PD08NOV17	6437.50	6498.25	USD	10,631.25	
08AUG17	B 1 PD08NOV17	6436.00	6498.25	USD	1,556.25	
08AUG17	B 8 PD08NOV17	6434.00	6498.25	USD	12,850.00	
08AUG17	B 4 PD08NOV17	6433.00	6498.25	USD	6,525.00	
08AUG17	B 5 PD08NOV17	6437.00	6498.25	USD	7,656.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097L

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
08AUG17	B 1 PD08NOV17	6447.00	6498.25	USD	1,281.25	
08AUG17	B 1 PD08NOV17	6444.50	6498.25	USD	1,343.75	
08AUG17	B 1 PD08NOV17	6444.00	6498.25	USD	1,356.25	
08AUG17	B 1 PD08NOV17	6450.00	6498.25	USD	1,206.25	
08AUG17	B 1 PD08NOV17	6449.50	6498.25	USD	1,218.75	
08AUG17	B 2 PD08NOV17	6464.50	6498.25	USD	1,687.50	
08AUG17	B 2 PD08NOV17	6462.50	6498.25	USD	1,787.50	
08AUG17	B 2 PD08NOV17	6464.00	6498.25	USD	1,712.50	
08AUG17	B 1 PD08NOV17	6463.00	6498.25	USD	881.25	
08AUG17	B 1 PD08NOV17	6466.00	6498.25	USD	806.25	
08AUG17	B 2 PD08NOV17	6469.50	6498.25	USD	1,437.50	
08AUG17	B 4 PD08NOV17	6468.00	6498.25	USD	3,025.00	
08AUG17	B 5 PD08NOV17	6467.00	6498.25	USD	3,906.25	
08AUG17	B 2 PD08NOV17	6473.50	6498.25	USD	1,237.50	
08AUG17	B 1 PD08NOV17	6472.50	6498.25	USD	643.75	
08AUG17	B 1 PD08NOV17	6471.50	6498.25	USD	668.75	
08AUG17	B 1 PD08NOV17	6474.50	6498.25	USD	593.75	
08AUG17	B 1 PD08NOV17	6479.00	6498.25	USD	481.25	
08AUG17	S 1 PD08NOV17	6476.00	6498.25	USD	-556.25	
08AUG17	B 1 PD08NOV17	6399.00	6498.25	USD	2,481.25	
08AUG17	B 3 PD08NOV17	6483.00	6498.25	USD	1,143.75	
08AUG17	B 1 PD08NOV17	6487.50	6498.25	USD	268.75	
08AUG17	B 1 PD08NOV17	6486.50	6498.25	USD	293.75	
08AUG17	B 1 PD08NOV17	6489.50	6498.25	USD	218.75	
08AUG17	B 3 PD08NOV17	6488.50	6498.25	USD	731.25	
08AUG17	S 3 PD08NOV17	6488.50	6498.25	USD	-731.25	
08AUG17	B 1 PD08NOV17	6484.00	6498.25	USD	356.25	
08AUG17	B 2 PD08NOV17	6481.00	6498.25	USD	862.50	
08AUG17	B 5 PD08NOV17	6485.50	6498.25	USD	1,593.75	
08AUG17	B 5 PD08NOV17	6482.00	6498.25	USD	2,031.25	
08AUG17	B 3 PD08NOV17	6482.50	6498.25	USD	1,181.25	
08AUG17	S 1 PD08NOV17	6484.00	6498.25	USD	-356.25	
10AUG17	S 65 PD08NOV17	6421.98	6498.25	USD	-123,938.75	
10AUG17	S 75 PD08NOV17	6422.18	6498.25	USD	-142,631.25	
14AUG17	S 45 PD08NOV17	6394.87	6498.25	USD	-116,302.50	
21AUG17	S 10 PD08NOV17	6584.00	6498.25	USD	21,437.50	
	NET 0 AVG BGHT 6423.68 SOLD 6421.96				**-10,416.25**	**0.00**
09AUG17	S 1 PD09NOV17	6505.50	6498.71	USD	169.75	
09AUG17	S 1 PD09NOV17	6506.50	6498.71	USD	194.75	
09AUG17	B 2 PD09NOV17	6505.50	6498.71	USD	-339.50	
09AUG17	S 1 PD09NOV17	6498.00	6498.71	USD	-17.75	
09AUG17	S 3 PD09NOV17	6500.00	6498.71	USD	96.75	
09AUG17	S 1 PD09NOV17	6497.00	6498.71	USD	-42.75	
09AUG17	S 1 PD09NOV17	6494.00	6498.71	USD	-117.75	
09AUG17	S 1 PD09NOV17	6480.00	6498.71	USD	-467.75	
09AUG17	S 2 PD09NOV17	6479.50	6498.71	USD	-960.50	
09AUG17	S 2 PD09NOV17	6477.50	6498.71	USD	-1,060.50	
09AUG17	B 5 PD09NOV17	6499.50	6498.71	USD	-98.75	
09AUG17	B 4 PD09NOV17	6494.00	6498.71	USD	471.00	
09AUG17	B 1 PD09NOV17	6492.50	6498.71	USD	155.25	
09AUG17	B 1 PD09NOV17	6491.50	6498.71	USD	180.25	
09AUG17	B 1 PD09NOV17	6493.50	6498.71	USD	130.25	
09AUG17	B 3 PD09NOV17	6492.50	6498.71	USD	465.75	
09AUG17	B 3 PD09NOV17	6489.50	6498.71	USD	690.75	
09AUG17	B 2 PD09NOV17	6493.00	6498.71	USD	285.50	
09AUG17	S 4 PD09NOV17	6481.00	6498.71	USD	-1,771.00	
09AUG17	S 3 PD09NOV17	6482.00	6498.71	USD	-1,253.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097L

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
09AUG17	S 1 PD09NOV17	6483.00	6498.71	USD	-392.75	
09AUG17	B 2 PD09NOV17	6472.50	6498.71	USD	1,310.50	
09AUG17	B 1 PD09NOV17	6476.00	6498.71	USD	567.75	
09AUG17	S 9 PD09NOV17	6484.00	6498.71	USD	-3,309.75	
09AUG17	S 4 PD09NOV17	6486.50	6498.71	USD	-1,221.00	
09AUG17	S 3 PD09NOV17	6487.00	6498.71	USD	-878.25	
09AUG17	S 11 PD09NOV17	6485.00	6498.71	USD	-3,770.25	
09AUG17	S 5 PD09NOV17	6486.00	6498.71	USD	-1,588.75	
09AUG17	S 2 PD09NOV17	6490.50	6498.71	USD	-410.50	
09AUG17	S 7 PD09NOV17	6492.00	6498.71	USD	-1,174.25	
09AUG17	S 3 PD09NOV17	6491.00	6498.71	USD	-578.25	
09AUG17	S 1 PD09NOV17	6490.00	6498.71	USD	-217.75	
09AUG17	S 3 PD09NOV17	6489.00	6498.71	USD	-728.25	
09AUG17	S 3 PD09NOV17	6489.50	6498.71	USD	-690.75	
09AUG17	S 7 PD09NOV17	6488.50	6498.71	USD	-1,786.75	
09AUG17	S 1 PD09NOV17	6487.50	6498.71	USD	-280.25	
09AUG17	S 1 PD09NOV17	6488.00	6498.71	USD	-267.75	
09AUG17	S 3 PD09NOV17	6484.50	6498.71	USD	-1,065.75	
09AUG17	S 1 PD09NOV17	6483.50	6498.71	USD	-380.25	
09AUG17	S 1 PD09NOV17	6485.50	6498.71	USD	-330.25	
09AUG17	S 3 PD09NOV17	6480.50	6498.71	USD	-1,365.75	
09AUG17	S 5 PD09NOV17	6482.50	6498.71	USD	-2,026.25	
09AUG17	S 1 PD09NOV17	6459.50	6498.71	USD	-980.25	
09AUG17	B 1 PD09NOV17	6463.00	6498.71	USD	892.75	
09AUG17	B 1 PD09NOV17	6467.50	6498.71	USD	780.25	
09AUG17	B 2 PD09NOV17	6468.50	6498.71	USD	1,510.50	
09AUG17	B 2 PD09NOV17	6468.00	6498.71	USD	1,535.50	
09AUG17	S 1 PD09NOV17	6485.00	6498.71	USD	-342.75	
09AUG17	S 4 PD09NOV17	6444.00	6498.71	USD	-5,471.00	
09AUG17	S 2 PD09NOV17	6437.00	6498.71	USD	-3,085.50	
09AUG17	S 1 PD09NOV17	6438.50	6498.71	USD	-1,505.25	
09AUG17	S 1 PD09NOV17	6440.50	6498.71	USD	-1,455.25	
09AUG17	S 5 PD09NOV17	6439.50	6498.71	USD	-7,401.25	
09AUG17	S 1 PD09NOV17	6440.00	6498.71	USD	-1,467.75	
09AUG17	S 1 PD09NOV17	6447.00	6498.71	USD	-1,292.75	
09AUG17	B 1 PD09NOV17	6437.50	6498.71	USD	1,530.25	
09AUG17	B 1 PD09NOV17	6438.00	6498.71	USD	1,517.75	
09AUG17	B 2 PD09NOV17	6440.50	6498.71	USD	2,910.50	
09AUG17	B 1 PD09NOV17	6435.00	6498.71	USD	1,592.75	
09AUG17	S 1 PD09NOV17	6448.00	6498.71	USD	-1,267.75	
09AUG17	S 3 PD09NOV17	6451.50	6498.71	USD	-3,540.75	
09AUG17	S 1 PD09NOV17	6455.50	6498.71	USD	-1,080.25	
09AUG17	S 1 PD09NOV17	6457.50	6498.71	USD	-1,030.25	
09AUG17	S 3 PD09NOV17	6457.00	6498.71	USD	-3,128.25	
09AUG17	S 1 PD09NOV17	6454.50	6498.71	USD	-1,105.25	
09AUG17	B 1 PD09NOV17	6447.00	6498.71	USD	1,292.75	
09AUG17	B 1 PD09NOV17	6452.00	6498.71	USD	1,167.75	
09AUG17	B 2 PD09NOV17	6451.00	6498.71	USD	2,385.50	
09AUG17	B 2 PD09NOV17	6454.00	6498.71	USD	2,235.50	
09AUG17	B 3 PD09NOV17	6453.00	6498.71	USD	3,428.25	
09AUG17	B 1 PD09NOV17	6451.50	6498.71	USD	1,180.25	
09AUG17	S 1 PD09NOV17	6452.50	6498.71	USD	-1,155.25	
09AUG17	B 1 PD09NOV17	6454.00	6498.71	USD	1,117.75	
10AUG17	B 75 PD09NOV17	6422.79	6498.71	USD	142,350.00	
	NET 0 AVG BGHT 6442.56 SOLD 6478.05				**108,243.75**	**0.00**
10AUG17	B 2 PD10NOV17	6459.50	6499.18	USD	1,984.00	
10AUG17	B 1 PD10NOV17	6458.50	6499.18	USD	1,017.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097L

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
10AUG17	S 3 PD10NOV17	6455.00	6499.18	USD	-3,313.50	
10AUG17	S 2 PD10NOV17	6442.50	6499.18	USD	-2,834.00	
10AUG17	S 1 PD10NOV17	6437.50	6499.18	USD	-1,542.00	
10AUG17	S 2 PD10NOV17	6438.50	6499.18	USD	-3,034.00	
10AUG17	S 2 PD10NOV17	6439.50	6499.18	USD	-2,984.00	
10AUG17	S 4 PD10NOV17	6440.50	6499.18	USD	-5,868.00	
10AUG17	S 2 PD10NOV17	6451.50	6499.18	USD	-2,384.00	
10AUG17	S 2 PD10NOV17	6452.50	6499.18	USD	-2,334.00	
10AUG17	S 1 PD10NOV17	6461.00	6499.18	USD	-954.50	
10AUG17	S 2 PD10NOV17	6458.00	6499.18	USD	-2,059.00	
10AUG17	S 5 PD10NOV17	6455.50	6499.18	USD	-5,460.00	
10AUG17	S 5 PD10NOV17	6457.00	6499.18	USD	-5,272.50	
10AUG17	S 2 PD10NOV17	6458.50	6499.18	USD	-2,034.00	
10AUG17	B 1 PD10NOV17	6446.50	6499.18	USD	1,317.00	
10AUG17	B 2 PD10NOV17	6449.00	6499.18	USD	2,509.00	
10AUG17	B 3 PD10NOV17	6448.00	6499.18	USD	3,838.50	
10AUG17	B 1 PD10NOV17	6447.50	6499.18	USD	1,292.00	
10AUG17	S 1 PD10NOV17	6452.00	6499.18	USD	-1,179.50	
10AUG17	S 1 PD10NOV17	6451.00	6499.18	USD	-1,204.50	
10AUG17	S 3 PD10NOV17	6428.50	6499.18	USD	-5,301.00	
10AUG17	S 1 PD10NOV17	6422.00	6499.18	USD	-1,929.50	
10AUG17	S 1 PD10NOV17	6416.50	6499.18	USD	-2,067.00	
10AUG17	S 1 PD10NOV17	6411.50	6499.18	USD	-2,192.00	
10AUG17	S 1 PD10NOV17	6414.50	6499.18	USD	-2,117.00	
10AUG17	S 1 PD10NOV17	6415.50	6499.18	USD	-2,092.00	
10AUG17	S 2 PD10NOV17	6405.50	6499.18	USD	-4,684.00	
10AUG17	S 2 PD10NOV17	6402.00	6499.18	USD	-4,859.00	
10AUG17	S 1 PD10NOV17	6401.50	6499.18	USD	-2,442.00	
10AUG17	S 1 PD10NOV17	6402.50	6499.18	USD	-2,417.00	
10AUG17	S 1 PD10NOV17	6393.00	6499.18	USD	-2,654.50	
10AUG17	S 1 PD10NOV17	6387.00	6499.18	USD	-2,804.50	
10AUG17	S 2 PD10NOV17	6407.00	6499.18	USD	-4,609.00	
10AUG17	S 1 PD10NOV17	6408.00	6499.18	USD	-2,279.50	
10AUG17	S 3 PD10NOV17	6406.00	6499.18	USD	-6,988.50	
10AUG17	S 2 PD10NOV17	6406.50	6499.18	USD	-4,634.00	
10AUG17	B 1 PD10NOV17	6414.00	6499.18	USD	2,129.50	
10AUG17	B 2 PD10NOV17	6413.00	6499.18	USD	4,309.00	
10AUG17	B 2 PD10NOV17	6416.00	6499.18	USD	4,159.00	
10AUG17	B 1 PD10NOV17	6409.50	6499.18	USD	2,242.00	
10AUG17	B 1 PD10NOV17	6404.00	6499.18	USD	2,379.50	
10AUG17	B 3 PD10NOV17	6404.50	6499.18	USD	7,101.00	
10AUG17	B 5 PD10NOV17	6403.50	6499.18	USD	11,960.00	
10AUG17	B 1 PD10NOV17	6402.50	6499.18	USD	2,417.00	
10AUG17	S 1 PD10NOV17	6405.00	6499.18	USD	-2,354.50	
10AUG17	S 6 PD10NOV17	6404.00	6499.18	USD	-14,277.00	
10AUG17	B 5 PD10NOV17	6402.00	6499.18	USD	12,147.50	
10AUG17	B 1 PD10NOV17	6401.00	6499.18	USD	2,454.50	
10AUG17	S 1 PD10NOV17	6401.00	6499.18	USD	-2,454.50	
11AUG17	S 1 PD10NOV17	6356.50	6499.18	USD	-3,567.00	
11AUG17	S 1 PD10NOV17	6359.00	6499.18	USD	-3,504.50	
11AUG17	S 1 PD10NOV17	6358.50	6499.18	USD	-3,517.00	
11AUG17	S 1 PD10NOV17	6343.50	6499.18	USD	-3,892.00	
11AUG17	S 1 PD10NOV17	6353.50	6499.18	USD	-3,642.00	
11AUG17	S 1 PD10NOV17	6352.00	6499.18	USD	-3,679.50	
11AUG17	S 1 PD10NOV17	6351.50	6499.18	USD	-3,692.00	
11AUG17	S 1 PD10NOV17	6352.50	6499.18	USD	-3,667.00	
11AUG17	S 4 PD10NOV17	6355.00	6499.18	USD	-14,418.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097L

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
11AUG17	S 1 PD10NOV17	6357.50	6499.18	USD	-3,542.00	
11AUG17	S 1 PD10NOV17	6359.50	6499.18	USD	-3,492.00	
11AUG17	S 2 PD10NOV17	6361.00	6499.18	USD	-6,909.00	
11AUG17	B 1 PD10NOV17	6379.50	6499.18	USD	2,992.00	
11AUG17	B 1 PD10NOV17	6373.50	6499.18	USD	3,142.00	
11AUG17	B 1 PD10NOV17	6375.50	6499.18	USD	3,092.00	
11AUG17	B 2 PD10NOV17	6379.00	6499.18	USD	6,009.00	
11AUG17	B 1 PD10NOV17	6380.00	6499.18	USD	2,979.50	
11AUG17	B 1 PD10NOV17	6376.50	6499.18	USD	3,067.00	
11AUG17	S 2 PD10NOV17	6371.50	6499.18	USD	-6,384.00	
11AUG17	B 1 PD10NOV17	6360.00	6499.18	USD	3,479.50	
11AUG17	B 2 PD10NOV17	6357.00	6499.18	USD	7,109.00	
11AUG17	B 2 PD10NOV17	6352.50	6499.18	USD	7,334.00	
11AUG17	B 1 PD10NOV17	6354.00	6499.18	USD	3,629.50	
11AUG17	B 3 PD10NOV17	6382.00	6499.18	USD	8,788.50	
11AUG17	S 1 PD10NOV17	6384.50	6499.18	USD	-2,867.00	
11AUG17	S 2 PD10NOV17	6356.50	6499.18	USD	-7,134.00	
11AUG17	S 2 PD10NOV17	6360.00	6499.18	USD	-6,959.00	
11AUG17	S 1 PD10NOV17	6368.00	6499.18	USD	-3,279.50	
11AUG17	S 1 PD10NOV17	6382.50	6499.18	USD	-2,917.00	
11AUG17	S 1 PD10NOV17	6383.50	6499.18	USD	-2,892.00	
11AUG17	S 3 PD10NOV17	6386.50	6499.18	USD	-8,451.00	
11AUG17	S 1 PD10NOV17	6392.50	6499.18	USD	-2,667.00	
11AUG17	B 1 PD10NOV17	6393.50	6499.18	USD	2,642.00	
11AUG17	B 4 PD10NOV17	6397.00	6499.18	USD	10,218.00	
11AUG17	B 1 PD10NOV17	6396.50	6499.18	USD	2,567.00	
11AUG17	B 1 PD10NOV17	6394.50	6499.18	USD	2,617.00	
11AUG17	B 3 PD10NOV17	6403.50	6499.18	USD	7,176.00	
11AUG17	B 2 PD10NOV17	6402.00	6499.18	USD	4,859.00	
11AUG17	B 1 PD10NOV17	6406.50	6499.18	USD	2,317.00	
11AUG17	B 1 PD10NOV17	6407.50	6499.18	USD	2,292.00	
11AUG17	B 1 PD10NOV17	6405.50	6499.18	USD	2,342.00	
11AUG17	B 3 PD10NOV17	6404.00	6499.18	USD	7,138.50	
11AUG17	B 4 PD10NOV17	6403.00	6499.18	USD	9,618.00	
11AUG17	B 1 PD10NOV17	6399.50	6499.18	USD	2,492.00	
11AUG17	B 7 PD10NOV17	6398.50	6499.18	USD	17,619.00	
11AUG17	B 4 PD10NOV17	6398.00	6499.18	USD	10,118.00	
11AUG17	B 5 PD10NOV17	6399.00	6499.18	USD	12,522.50	
11AUG17	B 3 PD10NOV17	6401.00	6499.18	USD	7,363.50	
11AUG17	B 2 PD10NOV17	6402.50	6499.18	USD	4,834.00	
11AUG17	B 3 PD10NOV17	6401.50	6499.18	USD	7,326.00	
11AUG17	S 1 PD10NOV17	6410.50	6499.18	USD	-2,217.00	
11AUG17	S 3 PD10NOV17	6410.00	6499.18	USD	-6,688.50	
11AUG17	S 3 PD10NOV17	6411.00	6499.18	USD	-6,613.50	
11AUG17	S 1 PD10NOV17	6414.00	6499.18	USD	-2,129.50	
11AUG17	S 4 PD10NOV17	6413.50	6499.18	USD	-8,568.00	
11AUG17	S 3 PD10NOV17	6414.50	6499.18	USD	-6,351.00	
11AUG17	S 2 PD10NOV17	6415.00	6499.18	USD	-4,209.00	
11AUG17	S 2 PD10NOV17	6415.50	6499.18	USD	-4,184.00	
11AUG17	S 10 PD10NOV17	6416.00	6499.18	USD	-20,795.00	
11AUG17	S 2 PD10NOV17	6417.00	6499.18	USD	-4,109.00	
11AUG17	S 1 PD10NOV17	6423.00	6499.18	USD	-1,904.50	
11AUG17	S 1 PD10NOV17	6427.50	6499.18	USD	-1,792.00	
11AUG17	S 2 PD10NOV17	6429.50	6499.18	USD	-3,484.00	
11AUG17	S 2 PD10NOV17	6430.00	6499.18	USD	-3,459.00	
11AUG17	S 2 PD10NOV17	6431.00	6499.18	USD	-3,409.00	
11AUG17	S 3 PD10NOV17	6430.50	6499.18	USD	-5,151.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097L

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
11AUG17	S 3 PD10NOV17	6419.00	6499.18	USD	-6,013.50	
11AUG17	S 1 PD10NOV17	6420.50	6499.18	USD	-1,967.00	
11AUG17	S 7 PD10NOV17	6420.00	6499.18	USD	-13,856.50	
11AUG17	S 7 PD10NOV17	6419.50	6499.18	USD	-13,944.00	
11AUG17	S 3 PD10NOV17	6418.00	6499.18	USD	-6,088.50	
11AUG17	B 10 PD10NOV17	6398.00	6499.18	USD	25,295.00	
11AUG17	B 1 PD10NOV17	6394.50	6499.18	USD	2,617.00	
11AUG17	B 1 PD10NOV17	6401.00	6499.18	USD	2,454.50	
11AUG17	S 3 PD10NOV17	6421.50	6499.18	USD	-5,826.00	
11AUG17	S 4 PD10NOV17	6422.00	6499.18	USD	-7,718.00	
11AUG17	S 5 PD10NOV17	6421.00	6499.18	USD	-9,772.50	
11AUG17	B 4 PD10NOV17	6417.00	6499.18	USD	8,218.00	
11AUG17	B 1 PD10NOV17	6416.00	6499.18	USD	2,079.50	
11AUG17	B 1 PD10NOV17	6423.00	6499.18	USD	1,904.50	
11AUG17	B 1 PD10NOV17	6422.00	6499.18	USD	1,929.50	
11AUG17	B 1 PD10NOV17	6424.50	6499.18	USD	1,867.00	
11AUG17	S 2 PD10NOV17	6423.50	6499.18	USD	-3,784.00	
11AUG17	B 6 PD10NOV17	6423.50	6499.18	USD	11,352.00	
11AUG17	B 8 PD10NOV17	6425.00	6499.18	USD	14,836.00	
14AUG17	B 45 PD10NOV17	6395.78	6499.18	USD	116,325.00	
	NET 0 AVG BGHT 6402.67 SOLD 6413.96				**49,097.50**	**0.00**
14AUG17	S 1 PD14NOV17	6410.00	6501.04	USD	-2,276.00	
14AUG17	S 2 PD14NOV17	6415.50	6501.04	USD	-4,277.00	
14AUG17	S 2 PD14NOV17	6412.50	6501.04	USD	-4,427.00	
14AUG17	S 2 PD14NOV17	6410.50	6501.04	USD	-4,527.00	
14AUG17	S 2 PD14NOV17	6415.00	6501.04	USD	-4,302.00	
14AUG17	S 1 PD14NOV17	6416.50	6501.04	USD	-2,113.50	
14AUG17	S 1 PD14NOV17	6419.00	6501.04	USD	-2,051.00	
14AUG17	S 2 PD14NOV17	6413.50	6501.04	USD	-4,377.00	
14AUG17	S 1 PD14NOV17	6412.00	6501.04	USD	-2,226.00	
14AUG17	S 2 PD14NOV17	6411.50	6501.04	USD	-4,477.00	
14AUG17	S 2 PD14NOV17	6418.00	6501.04	USD	-4,152.00	
14AUG17	S 6 PD14NOV17	6413.00	6501.04	USD	-13,206.00	
14AUG17	S 1 PD14NOV17	6403.00	6501.04	USD	-2,451.00	
14AUG17	S 1 PD14NOV17	6404.00	6501.04	USD	-2,426.00	
14AUG17	S 3 PD14NOV17	6400.00	6501.04	USD	-7,578.00	
14AUG17	S 2 PD14NOV17	6392.00	6501.04	USD	-5,452.00	
14AUG17	S 1 PD14NOV17	6390.00	6501.04	USD	-2,776.00	
14AUG17	B 1 PD14NOV17	6392.00	6501.04	USD	2,726.00	
14AUG17	B 1 PD14NOV17	6388.50	6501.04	USD	2,813.50	
14AUG17	S 7 PD14NOV17	6389.50	6501.04	USD	-19,519.50	
14AUG17	S 3 PD14NOV17	6391.00	6501.04	USD	-8,253.00	
14AUG17	S 3 PD14NOV17	6390.50	6501.04	USD	-8,290.50	
14AUG17	S 1 PD14NOV17	6389.00	6501.04	USD	-2,801.00	
14AUG17	S 7 PD14NOV17	6388.00	6501.04	USD	-19,782.00	
14AUG17	B 5 PD14NOV17	6393.00	6501.04	USD	13,505.00	
14AUG17	B 2 PD14NOV17	6397.00	6501.04	USD	5,202.00	
14AUG17	B 1 PD14NOV17	6399.00	6501.04	USD	2,551.00	
14AUG17	B 1 PD14NOV17	6408.00	6501.04	USD	2,326.00	
14AUG17	B 1 PD14NOV17	6405.50	6501.04	USD	2,388.50	
14AUG17	B 4 PD14NOV17	6407.00	6501.04	USD	9,404.00	
14AUG17	S 2 PD14NOV17	6414.50	6501.04	USD	-4,327.00	
14AUG17	B 1 PD14NOV17	6407.50	6501.04	USD	2,338.50	
14AUG17	B 2 PD14NOV17	6409.50	6501.04	USD	4,577.00	
14AUG17	B 2 PD14NOV17	6410.00	6501.04	USD	4,552.00	
14AUG17	B 1 PD14NOV17	6415.00	6501.04	USD	2,151.00	
14AUG17	B 1 PD14NOV17	6417.50	6501.04	USD	2,088.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097L

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
14AUG17	B 5 PD14NOV17	6418.00	6501.04	USD	10,380.00	
14AUG17	B 1 PD14NOV17	6411.00	6501.04	USD	2,251.00	
14AUG17	S 1 PD14NOV17	6407.50	6501.04	USD	-2,338.50	
14AUG17	S 1 PD14NOV17	6398.50	6501.04	USD	-2,563.50	
14AUG17	S 2 PD14NOV17	6399.00	6501.04	USD	-5,102.00	
14AUG17	S 3 PD14NOV17	6399.50	6501.04	USD	-7,615.50	
14AUG17	S 1 PD14NOV17	6395.00	6501.04	USD	-2,651.00	
14AUG17	S 2 PD14NOV17	6396.00	6501.04	USD	-5,252.00	
14AUG17	S 3 PD14NOV17	6395.50	6501.04	USD	-7,915.50	
14AUG17	S 2 PD14NOV17	6396.50	6501.04	USD	-5,227.00	
14AUG17	B 1 PD14NOV17	6389.50	6501.04	USD	2,788.50	
14AUG17	B 5 PD14NOV17	6396.00	6501.04	USD	13,130.00	
14AUG17	B 1 PD14NOV17	6404.50	6501.04	USD	2,413.50	
14AUG17	B 5 PD14NOV17	6405.00	6501.04	USD	12,005.00	
14AUG17	B 1 PD14NOV17	6400.00	6501.04	USD	2,526.00	
14AUG17	B 3 PD14NOV17	6400.50	6501.04	USD	7,540.50	
14AUG17	B 12 PD14NOV17	6402.00	6501.04	USD	29,712.00	
14AUG17	S 2 PD14NOV17	6408.50	6501.04	USD	-4,627.00	
14AUG17	S 1 PD14NOV17	6408.00	6501.04	USD	-2,326.00	
14AUG17	B 2 PD14NOV17	6410.00	6501.04	USD	4,552.00	
14AUG17	S 1 PD14NOV17	6408.00	6501.04	USD	-2,326.00	
21AUG17	B 10 PD14NOV17	6586.70	6501.04	USD	-21,415.00	
30AUG17	B 5 PD14NOV17	6767.50	6501.04	USD	-33,307.50	
	NET 0 AVG BGHT 6452.82 SOLD 6401.57				**-94,812.50**	**0.00**
15AUG17	S 1 PD15NOV17	6418.50	6501.50	USD	-2,075.00	
15AUG17	S 1 PD15NOV17	6416.50	6501.50	USD	-2,125.00	
15AUG17	S 1 PD15NOV17	6420.50	6501.50	USD	-2,025.00	
15AUG17	S 2 PD15NOV17	6409.50	6501.50	USD	-4,600.00	
15AUG17	S 15 PD15NOV17	6409.00	6501.50	USD	-34,687.50	
15AUG17	S 1 PD15NOV17	6411.50	6501.50	USD	-2,250.00	
15AUG17	S 1 PD15NOV17	6411.00	6501.50	USD	-2,262.50	
15AUG17	S 4 PD15NOV17	6412.00	6501.50	USD	-8,950.00	
15AUG17	S 2 PD15NOV17	6413.00	6501.50	USD	-4,425.00	
15AUG17	S 8 PD15NOV17	6410.50	6501.50	USD	-18,200.00	
15AUG17	S 4 PD15NOV17	6410.00	6501.50	USD	-9,150.00	
15AUG17	S 3 PD15NOV17	6379.00	6501.50	USD	-9,187.50	
15AUG17	S 2 PD15NOV17	6378.00	6501.50	USD	-6,175.00	
15AUG17	S 1 PD15NOV17	6361.00	6501.50	USD	-3,512.50	
15AUG17	S 5 PD15NOV17	6360.00	6501.50	USD	-17,687.50	
15AUG17	S 4 PD15NOV17	6359.50	6501.50	USD	-14,200.00	
15AUG17	S 1 PD15NOV17	6362.50	6501.50	USD	-3,475.00	
15AUG17	S 5 PD15NOV17	6361.50	6501.50	USD	-17,500.00	
15AUG17	S 6 PD15NOV17	6360.50	6501.50	USD	-21,150.00	
15AUG17	S 3 PD15NOV17	6357.00	6501.50	USD	-10,837.50	
15AUG17	B 1 PD15NOV17	6366.50	6501.50	USD	3,375.00	
15AUG17	B 5 PD15NOV17	6367.50	6501.50	USD	16,750.00	
15AUG17	B 1 PD15NOV17	6368.50	6501.50	USD	3,325.00	
15AUG17	B 4 PD15NOV17	6369.00	6501.50	USD	13,250.00	
15AUG17	B 5 PD15NOV17	6372.00	6501.50	USD	16,187.50	
15AUG17	B 2 PD15NOV17	6371.00	6501.50	USD	6,525.00	
15AUG17	B 1 PD15NOV17	6376.50	6501.50	USD	3,125.00	
15AUG17	B 1 PD15NOV17	6376.00	6501.50	USD	3,137.50	
15AUG17	B 3 PD15NOV17	6374.50	6501.50	USD	9,525.00	
15AUG17	B 2 PD15NOV17	6373.00	6501.50	USD	6,425.00	
15AUG17	B 1 PD15NOV17	6375.50	6501.50	USD	3,150.00	
15AUG17	B 1 PD15NOV17	6374.00	6501.50	USD	3,187.50	
15AUG17	B 3 PD15NOV17	6377.50	6501.50	USD	9,300.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097L

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
30AUG17	B 40 PD15NOV17	6767.50	6501.50	USD	-266,000.00	
	NET 0 AVG BGHT 6597.92 SOLD 6390.37				**-363,212.50**	**0.00**
16AUG17	S 1 PD16NOV17	6384.50	6501.00	USD	-2,912.50	
16AUG17	S 3 PD16NOV17	6382.50	6501.00	USD	-8,887.50	
16AUG17	S 6 PD16NOV17	6385.00	6501.00	USD	-17,400.00	
16AUG17	S 5 PD16NOV17	6385.50	6501.00	USD	-14,437.50	
16AUG17	S 1 PD16NOV17	6392.50	6501.00	USD	-2,712.50	
16AUG17	S 2 PD16NOV17	6392.00	6501.00	USD	-5,450.00	
16AUG17	S 2 PD16NOV17	6391.50	6501.00	USD	-5,475.00	
16AUG17	S 5 PD16NOV17	6389.50	6501.00	USD	-13,937.50	
16AUG17	S 5 PD16NOV17	6387.00	6501.00	USD	-14,250.00	
16AUG17	B 1 PD16NOV17	6438.00	6501.00	USD	1,575.00	
16AUG17	B 4 PD16NOV17	6439.50	6501.00	USD	6,150.00	
16AUG17	B 2 PD16NOV17	6440.50	6501.00	USD	3,025.00	
16AUG17	B 1 PD16NOV17	6439.00	6501.00	USD	1,550.00	
16AUG17	B 1 PD16NOV17	6437.00	6501.00	USD	1,600.00	
16AUG17	B 4 PD16NOV17	6435.50	6501.00	USD	6,550.00	
16AUG17	B 1 PD16NOV17	6434.50	6501.00	USD	1,662.50	
16AUG17	B 1 PD16NOV17	6436.00	6501.00	USD	1,625.00	
16AUG17	B 4 PD16NOV17	6437.50	6501.00	USD	6,350.00	
16AUG17	B 2 PD16NOV17	6450.00	6501.00	USD	2,550.00	
16AUG17	B 3 PD16NOV17	6454.00	6501.00	USD	3,525.00	
16AUG17	B 1 PD16NOV17	6453.00	6501.00	USD	1,200.00	
16AUG17	B 1 PD16NOV17	6456.00	6501.00	USD	1,125.00	
16AUG17	B 3 PD16NOV17	6456.50	6501.00	USD	3,337.50	
16AUG17	B 1 PD16NOV17	6438.00	6501.00	USD	1,575.00	
16AUG17	S 2 PD16NOV17	6465.50	6501.00	USD	-1,775.00	
16AUG17	S 2 PD16NOV17	6461.50	6501.00	USD	-1,975.00	
16AUG17	S 3 PD16NOV17	6464.50	6501.00	USD	-2,737.50	
16AUG17	S 1 PD16NOV17	6456.00	6501.00	USD	-1,125.00	
16AUG17	B 1 PD16NOV17	6451.00	6501.00	USD	1,250.00	
16AUG17	B 2 PD16NOV17	6451.50	6501.00	USD	2,475.00	
16AUG17	B 1 PD16NOV17	6444.50	6501.00	USD	1,412.50	
16AUG17	B 1 PD16NOV17	6442.00	6501.00	USD	1,475.00	
16AUG17	B 1 PD16NOV17	6481.00	6501.00	USD	500.00	
16AUG17	B 4 PD16NOV17	6482.00	6501.00	USD	1,900.00	
16AUG17	B 2 PD16NOV17	6483.00	6501.00	USD	900.00	
16AUG17	B 1 PD16NOV17	6481.50	6501.00	USD	487.50	
16AUG17	B 1 PD16NOV17	6480.50	6501.00	USD	512.50	
16AUG17	B 3 PD16NOV17	6478.50	6501.00	USD	1,687.50	
16AUG17	B 4 PD16NOV17	6485.00	6501.00	USD	1,600.00	
16AUG17	B 7 PD16NOV17	6484.00	6501.00	USD	2,975.00	
16AUG17	B 1 PD16NOV17	6490.50	6501.00	USD	262.50	
16AUG17	B 3 PD16NOV17	6490.00	6501.00	USD	825.00	
16AUG17	B 1 PD16NOV17	6486.50	6501.00	USD	362.50	
16AUG17	B 1 PD16NOV17	6496.50	6501.00	USD	112.50	
16AUG17	B 3 PD16NOV17	6499.00	6501.00	USD	150.00	
16AUG17	B 1 PD16NOV17	6493.00	6501.00	USD	200.00	
16AUG17	B 2 PD16NOV17	6491.50	6501.00	USD	475.00	
16AUG17	B 2 PD16NOV17	6493.50	6501.00	USD	375.00	
16AUG17	B 4 PD16NOV17	6492.50	6501.00	USD	850.00	
16AUG17	B 4 PD16NOV17	6487.00	6501.00	USD	1,400.00	
16AUG17	B 1 PD16NOV17	6486.00	6501.00	USD	375.00	
16AUG17	B 2 PD16NOV17	6485.50	6501.00	USD	775.00	
16AUG17	B 5 PD16NOV17	6489.00	6501.00	USD	1,500.00	
16AUG17	B 4 PD16NOV17	6488.00	6501.00	USD	1,300.00	
16AUG17	B 1 PD16NOV17	6495.00	6501.00	USD	150.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097L

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
16AUG17	B 1 PD16NOV17	6498.50	6501.00	USD	62.50	
16AUG17	B 3 PD16NOV17	6494.00	6501.00	USD	525.00	
16AUG17	B 4 PD16NOV17	6491.00	6501.00	USD	1,000.00	
16AUG17	B 2 PD16NOV17	6492.00	6501.00	USD	450.00	
16AUG17	S 5 PD16NOV17	6487.50	6501.00	USD	-1,687.50	
16AUG17	S 1 PD16NOV17	6487.00	6501.00	USD	-350.00	
16AUG17	S 2 PD16NOV17	6488.00	6501.00	USD	-650.00	
16AUG17	S 1 PD16NOV17	6489.00	6501.00	USD	-300.00	
16AUG17	S 1 PD16NOV17	6490.00	6501.00	USD	-275.00	
16AUG17	S 1 PD16NOV17	6502.50	6501.00	USD	37.50	
16AUG17	B 3 PD16NOV17	6513.00	6501.00	USD	-900.00	
16AUG17	B 1 PD16NOV17	6516.00	6501.00	USD	-375.00	
16AUG17	B 3 PD16NOV17	6514.00	6501.00	USD	-975.00	
16AUG17	B 3 PD16NOV17	6513.50	6501.00	USD	-937.50	
16AUG17	B 2 PD16NOV17	6512.50	6501.00	USD	-575.00	
16AUG17	B 8 PD16NOV17	6515.00	6501.00	USD	-2,800.00	
16AUG17	B 2 PD16NOV17	6521.00	6501.00	USD	-1,000.00	
16AUG17	B 1 PD16NOV17	6520.00	6501.00	USD	-475.00	
16AUG17	B 1 PD16NOV17	6519.00	6501.00	USD	-450.00	
16AUG17	B 1 PD16NOV17	6526.50	6501.00	USD	-637.50	
16AUG17	B 1 PD16NOV17	6518.00	6501.00	USD	-425.00	
16AUG17	B 1 PD16NOV17	6520.50	6501.00	USD	-487.50	
16AUG17	B 3 PD16NOV17	6518.50	6501.00	USD	-1,312.50	
16AUG17	B 3 PD16NOV17	6523.00	6501.00	USD	-1,650.00	
16AUG17	B 1 PD16NOV17	6523.50	6501.00	USD	-562.50	
16AUG17	B 1 PD16NOV17	6522.50	6501.00	USD	-537.50	
16AUG17	B 3 PD16NOV17	6521.50	6501.00	USD	-1,537.50	
16AUG17	B 1 PD16NOV17	6528.50	6501.00	USD	-687.50	
16AUG17	B 3 PD16NOV17	6528.00	6501.00	USD	-2,025.00	
16AUG17	B 1 PD16NOV17	6531.00	6501.00	USD	-750.00	
16AUG17	B 1 PD16NOV17	6538.00	6501.00	USD	-925.00	
16AUG17	B 1 PD16NOV17	6537.50	6501.00	USD	-912.50	
16AUG17	B 1 PD16NOV17	6549.50	6501.00	USD	-1,212.50	
16AUG17	B 2 PD16NOV17	6548.50	6501.00	USD	-2,375.00	
16AUG17	S 1 PD16NOV17	6576.50	6501.00	USD	1,887.50	
16AUG17	S 3 PD16NOV17	6575.00	6501.00	USD	5,550.00	
16AUG17	S 11 PD16NOV17	6573.00	6501.00	USD	19,800.00	
16AUG17	B 2 PD16NOV17	6554.50	6501.00	USD	-2,675.00	
16AUG17	B 3 PD16NOV17	6555.00	6501.00	USD	-4,050.00	
16AUG17	B 1 PD16NOV17	6551.50	6501.00	USD	-1,262.50	
16AUG17	B 1 PD16NOV17	6537.00	6501.00	USD	-900.00	
16AUG17	B 1 PD16NOV17	6534.50	6501.00	USD	-837.50	
16AUG17	B 1 PD16NOV17	6535.50	6501.00	USD	-862.50	
16AUG17	S 2 PD16NOV17	6535.50	6501.00	USD	1,725.00	
16AUG17	S 2 PD16NOV17	6536.50	6501.00	USD	1,775.00	
16AUG17	S 3 PD16NOV17	6534.00	6501.00	USD	2,475.00	
16AUG17	S 1 PD16NOV17	6533.00	6501.00	USD	800.00	
16AUG17	S 1 PD16NOV17	6531.00	6501.00	USD	750.00	
16AUG17	S 1 PD16NOV17	6530.00	6501.00	USD	725.00	
16AUG17	S 2 PD16NOV17	6528.00	6501.00	USD	1,350.00	
16AUG17	S 1 PD16NOV17	6522.00	6501.00	USD	525.00	
16AUG17	S 3 PD16NOV17	6524.50	6501.00	USD	1,762.50	
16AUG17	S 2 PD16NOV17	6523.00	6501.00	USD	1,100.00	
16AUG17	S 1 PD16NOV17	6516.50	6501.00	USD	387.50	
16AUG17	S 3 PD16NOV17	6531.50	6501.00	USD	2,287.50	
16AUG17	B 2 PD16NOV17	6525.50	6501.00	USD	-1,225.00	
16AUG17	B 4 PD16NOV17	6526.00	6501.00	USD	-2,500.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097L

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
16AUG17	B 5 PD16NOV17	6529.00	6501.00	USD	-3,500.00	
16AUG17	B 4 PD16NOV17	6530.00	6501.00	USD	-2,900.00	
16AUG17	B 1 PD16NOV17	6530.50	6501.00	USD	-737.50	
16AUG17	B 2 PD16NOV17	6532.00	6501.00	USD	-1,550.00	
16AUG17	B 1 PD16NOV17	6533.00	6501.00	USD	-800.00	
16AUG17	B 1 PD16NOV17	6531.50	6501.00	USD	-762.50	
16AUG17	B 1 PD16NOV17	6529.00	6501.00	USD	-700.00	
21AUG17	S 70 PD16NOV17	6584.50	6501.00	USD	146,125.00	
30AUG17	S 25 PD16NOV17	6765.30	6501.00	USD	165,187.50	
	NET 0 AVG BGHT 6496.15 SOLD 6558.00				**279,850.00**	**0.00**
17AUG17	B 1 PD17NOV17	6559.50	6501.60	USD	-1,447.50	
17AUG17	B 2 PD17NOV17	6559.00	6501.60	USD	-2,870.00	
17AUG17	B 1 PD17NOV17	6557.50	6501.60	USD	-1,397.50	
17AUG17	B 1 PD17NOV17	6529.50	6501.60	USD	-697.50	
17AUG17	B 1 PD17NOV17	6535.00	6501.60	USD	-835.00	
17AUG17	B 1 PD17NOV17	6536.00	6501.60	USD	-860.00	
17AUG17	B 2 PD17NOV17	6533.00	6501.60	USD	-1,570.00	
17AUG17	B 5 PD17NOV17	6534.00	6501.60	USD	-4,050.00	
17AUG17	B 2 PD17NOV17	6525.00	6501.60	USD	-1,170.00	
17AUG17	B 2 PD17NOV17	6532.00	6501.60	USD	-1,520.00	
17AUG17	B 1 PD17NOV17	6532.50	6501.60	USD	-772.50	
17AUG17	B 3 PD17NOV17	6537.50	6501.60	USD	-2,692.50	
17AUG17	B 2 PD17NOV17	6536.50	6501.60	USD	-1,745.00	
17AUG17	S 2 PD17NOV17	6539.50	6501.60	USD	1,895.00	
17AUG17	S 1 PD17NOV17	6540.50	6501.60	USD	972.50	
17AUG17	S 1 PD17NOV17	6541.50	6501.60	USD	997.50	
17AUG17	S 1 PD17NOV17	6546.00	6501.60	USD	1,110.00	
17AUG17	S 1 PD17NOV17	6547.00	6501.60	USD	1,135.00	
17AUG17	S 1 PD17NOV17	6551.00	6501.60	USD	1,235.00	
17AUG17	S 4 PD17NOV17	6548.00	6501.60	USD	4,640.00	
17AUG17	S 1 PD17NOV17	6548.50	6501.60	USD	1,172.50	
17AUG17	S 1 PD17NOV17	6549.00	6501.60	USD	1,185.00	
17AUG17	S 1 PD17NOV17	6550.00	6501.60	USD	1,210.00	
17AUG17	B 1 PD17NOV17	6530.50	6501.60	USD	-722.50	
17AUG17	B 2 PD17NOV17	6531.00	6501.60	USD	-1,470.00	
17AUG17	B 2 PD17NOV17	6531.50	6501.60	USD	-1,495.00	
17AUG17	B 1 PD17NOV17	6528.50	6501.60	USD	-672.50	
17AUG17	B 9 PD17NOV17	6529.00	6501.60	USD	-6,165.00	
17AUG17	B 2 PD17NOV17	6527.50	6501.60	USD	-1,295.00	
17AUG17	B 3 PD17NOV17	6524.00	6501.60	USD	-1,680.00	
17AUG17	B 4 PD17NOV17	6522.00	6501.60	USD	-2,040.00	
17AUG17	B 2 PD17NOV17	6526.00	6501.60	USD	-1,220.00	
17AUG17	B 2 PD17NOV17	6527.00	6501.60	USD	-1,270.00	
17AUG17	B 1 PD17NOV17	6528.00	6501.60	USD	-660.00	
17AUG17	B 2 PD17NOV17	6510.50	6501.60	USD	-445.00	
17AUG17	B 1 PD17NOV17	6505.00	6501.60	USD	-85.00	
17AUG17	B 4 PD17NOV17	6502.50	6501.60	USD	-90.00	
17AUG17	B 4 PD17NOV17	6503.00	6501.60	USD	-140.00	
17AUG17	B 2 PD17NOV17	6504.50	6501.60	USD	-145.00	
17AUG17	B 4 PD17NOV17	6506.00	6501.60	USD	-440.00	
17AUG17	B 2 PD17NOV17	6507.00	6501.60	USD	-270.00	
17AUG17	B 1 PD17NOV17	6509.00	6501.60	USD	-185.00	
17AUG17	B 3 PD17NOV17	6510.00	6501.60	USD	-630.00	
17AUG17	S 1 PD17NOV17	6510.50	6501.60	USD	222.50	
17AUG17	S 1 PD17NOV17	6499.50	6501.60	USD	-52.50	
17AUG17	S 2 PD17NOV17	6496.00	6501.60	USD	-280.00	
17AUG17	B 2 PD17NOV17	6498.00	6501.60	USD	180.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097L

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
17AUG17	B 1 PD17NOV17	6499.00	6501.60	USD	65.00	
17AUG17	S 1 PD17NOV17	6500.00	6501.60	USD	-40.00	
17AUG17	B 1 PD17NOV17	6556.50	6501.60	USD	-1,372.50	
17AUG17	S 1 PD17NOV17	6547.00	6501.60	USD	1,135.00	
17AUG17	B 1 PD17NOV17	6526.00	6501.60	USD	-610.00	
17AUG17	S 10 PD17NOV17	6506.50	6501.60	USD	1,225.00	
17AUG17	B 1 PD17NOV17	6492.00	6501.60	USD	240.00	
17AUG17	B 1 PD17NOV17	6495.00	6501.60	USD	165.00	
17AUG17	B 8 PD17NOV17	6494.00	6501.60	USD	1,520.00	
17AUG17	B 3 PD17NOV17	6497.00	6501.60	USD	345.00	
17AUG17	B 3 PD17NOV17	6496.50	6501.60	USD	382.50	
17AUG17	B 3 PD17NOV17	6496.00	6501.60	USD	420.00	
17AUG17	S 6 PD17NOV17	6501.00	6501.60	USD	-90.00	
17AUG17	S 16 PD17NOV17	6500.50	6501.60	USD	-440.00	
17AUG17	S 16 PD17NOV17	6500.00	6501.60	USD	-640.00	
17AUG17	S 2 PD17NOV17	6501.50	6501.60	USD	-5.00	
17AUG17	S 3 PD17NOV17	6504.50	6501.60	USD	217.50	
17AUG17	S 4 PD17NOV17	6503.50	6501.60	USD	190.00	
17AUG17	S 8 PD17NOV17	6502.50	6501.60	USD	180.00	
17AUG17	S 2 PD17NOV17	6505.50	6501.60	USD	195.00	
17AUG17	S 2 PD17NOV17	6507.00	6501.60	USD	270.00	
17AUG17	S 2 PD17NOV17	6508.00	6501.60	USD	320.00	
17AUG17	S 1 PD17NOV17	6511.50	6501.60	USD	247.50	
17AUG17	S 1 PD17NOV17	6514.00	6501.60	USD	310.00	
17AUG17	S 1 PD17NOV17	6515.00	6501.60	USD	335.00	
17AUG17	S 2 PD17NOV17	6506.00	6501.60	USD	220.00	
17AUG17	S 12 PD17NOV17	6502.00	6501.60	USD	120.00	
17AUG17	S 13 PD17NOV17	6503.00	6501.60	USD	455.00	
17AUG17	S 3 PD17NOV17	6504.00	6501.60	USD	180.00	
17AUG17	S 1 PD17NOV17	6513.00	6501.60	USD	285.00	
17AUG17	S 2 PD17NOV17	6511.00	6501.60	USD	470.00	
17AUG17	S 11 PD17NOV17	6505.00	6501.60	USD	935.00	
17AUG17	B 4 PD17NOV17	6486.50	6501.60	USD	1,510.00	
17AUG17	S 1 PD17NOV17	6492.00	6501.60	USD	-240.00	
17AUG17	S 4 PD17NOV17	6495.50	6501.60	USD	-610.00	
17AUG17	S 1 PD17NOV17	6496.50	6501.60	USD	-127.50	
17AUG17	S 1 PD17NOV17	6490.50	6501.60	USD	-277.50	
17AUG17	S 3 PD17NOV17	6491.50	6501.60	USD	-757.50	
17AUG17	S 1 PD17NOV17	6492.50	6501.60	USD	-227.50	
17AUG17	S 1 PD17NOV17	6475.00	6501.60	USD	-665.00	
17AUG17	S 2 PD17NOV17	6475.50	6501.60	USD	-1,305.00	
17AUG17	S 2 PD17NOV17	6470.50	6501.60	USD	-1,555.00	
17AUG17	S 1 PD17NOV17	6470.00	6501.60	USD	-790.00	
17AUG17	S 3 PD17NOV17	6471.00	6501.60	USD	-2,295.00	
17AUG17	S 2 PD17NOV17	6503.00	6501.60	USD	70.00	
17AUG17	S 3 PD17NOV17	6500.50	6501.60	USD	-82.50	
17AUG17	S 1 PD17NOV17	6504.00	6501.60	USD	60.00	
17AUG17	S 1 PD17NOV17	6471.00	6501.60	USD	-765.00	
17AUG17	S 1 PD17NOV17	6486.50	6501.60	USD	-377.50	
17AUG17	S 1 PD17NOV17	6487.50	6501.60	USD	-352.50	
17AUG17	S 2 PD17NOV17	6485.50	6501.60	USD	-805.00	
17AUG17	S 2 PD17NOV17	6488.50	6501.60	USD	-655.00	
17AUG17	S 7 PD17NOV17	6487.00	6501.60	USD	-2,555.00	
17AUG17	S 3 PD17NOV17	6490.00	6501.60	USD	-870.00	
17AUG17	S 1 PD17NOV17	6489.00	6501.60	USD	-315.00	
17AUG17	S 1 PD17NOV17	6493.00	6501.60	USD	-215.00	
17AUG17	S 1 PD17NOV17	6486.00	6501.60	USD	-390.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097L

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
17AUG17	S 1 PD17NOV17	6484.50	6501.60	USD	-427.50	
17AUG17	S 4 PD17NOV17	6488.00	6501.60	USD	-1,360.00	
18AUG17	S 2 PD17NOV17	6491.00	6501.60	USD	-530.00	
18AUG17	S 1 PD17NOV17	6489.00	6501.60	USD	-315.00	
18AUG17	S 1 PD17NOV17	6488.00	6501.60	USD	-340.00	
18AUG17	S 1 PD17NOV17	6490.00	6501.60	USD	-290.00	
18AUG17	B 1 PD17NOV17	6499.50	6501.60	USD	52.50	
18AUG17	B 1 PD17NOV17	6496.00	6501.60	USD	140.00	
18AUG17	B 1 PD17NOV17	6495.00	6501.60	USD	165.00	
18AUG17	B 1 PD17NOV17	6494.00	6501.60	USD	190.00	
18AUG17	B 1 PD17NOV17	6492.00	6501.60	USD	240.00	
18AUG17	B 1 PD17NOV17	6490.00	6501.60	USD	290.00	
18AUG17	B 1 PD17NOV17	6491.50	6501.60	USD	252.50	
18AUG17	B 1 PD17NOV17	6519.00	6501.60	USD	-435.00	
18AUG17	B 1 PD17NOV17	6526.50	6501.60	USD	-622.50	
18AUG17	B 2 PD17NOV17	6529.00	6501.60	USD	-1,370.00	
18AUG17	B 1 PD17NOV17	6529.50	6501.60	USD	-697.50	
18AUG17	B 5 PD17NOV17	6530.00	6501.60	USD	-3,550.00	
18AUG17	B 1 PD17NOV17	6531.50	6501.60	USD	-747.50	
18AUG17	B 4 PD17NOV17	6533.00	6501.60	USD	-3,140.00	
18AUG17	B 1 PD17NOV17	6528.50	6501.60	USD	-672.50	
18AUG17	B 1 PD17NOV17	6528.00	6501.60	USD	-660.00	
18AUG17	B 1 PD17NOV17	6527.00	6501.60	USD	-635.00	
18AUG17	S 3 PD17NOV17	6472.50	6501.60	USD	-2,182.50	
18AUG17	B 1 PD17NOV17	6467.50	6501.60	USD	852.50	
18AUG17	B 2 PD17NOV17	6468.50	6501.60	USD	1,655.00	
18AUG17	S 2 PD17NOV17	6471.00	6501.60	USD	-1,530.00	
18AUG17	S 2 PD17NOV17	6478.50	6501.60	USD	-1,155.00	
18AUG17	S 1 PD17NOV17	6465.50	6501.60	USD	-902.50	
18AUG17	S 1 PD17NOV17	6460.50	6501.60	USD	-1,027.50	
18AUG17	S 3 PD17NOV17	6462.50	6501.60	USD	-2,932.50	
18AUG17	S 1 PD17NOV17	6472.00	6501.60	USD	-740.00	
18AUG17	S 2 PD17NOV17	6470.00	6501.60	USD	-1,580.00	
18AUG17	S 1 PD17NOV17	6467.50	6501.60	USD	-852.50	
18AUG17	S 1 PD17NOV17	6464.00	6501.60	USD	-940.00	
18AUG17	S 1 PD17NOV17	6465.00	6501.60	USD	-915.00	
18AUG17	S 3 PD17NOV17	6458.00	6501.60	USD	-3,270.00	
18AUG17	S 2 PD17NOV17	6457.00	6501.60	USD	-2,230.00	
18AUG17	B 1 PD17NOV17	6478.00	6501.60	USD	590.00	
18AUG17	B 1 PD17NOV17	6480.00	6501.60	USD	540.00	
18AUG17	B 1 PD17NOV17	6485.00	6501.60	USD	415.00	
18AUG17	B 4 PD17NOV17	6486.50	6501.60	USD	1,510.00	
18AUG17	B 1 PD17NOV17	6491.00	6501.60	USD	265.00	
18AUG17	B 3 PD17NOV17	6485.50	6501.60	USD	1,207.50	
18AUG17	B 4 PD17NOV17	6489.50	6501.60	USD	1,210.00	
18AUG17	B 1 PD17NOV17	6476.50	6501.60	USD	627.50	
18AUG17	B 4 PD17NOV17	6470.50	6501.60	USD	3,110.00	
18AUG17	B 4 PD17NOV17	6469.50	6501.60	USD	3,210.00	
18AUG17	B 5 PD17NOV17	6468.00	6501.60	USD	4,200.00	
18AUG17	B 1 PD17NOV17	6462.00	6501.60	USD	990.00	
18AUG17	B 2 PD17NOV17	6461.00	6501.60	USD	2,030.00	
18AUG17	B 1 PD17NOV17	6472.50	6501.60	USD	727.50	
18AUG17	B 2 PD17NOV17	6470.00	6501.60	USD	1,580.00	
18AUG17	B 3 PD17NOV17	6469.00	6501.60	USD	2,445.00	
18AUG17	B 1 PD17NOV17	6474.50	6501.60	USD	677.50	
18AUG17	B 1 PD17NOV17	6473.50	6501.60	USD	702.50	
18AUG17	B 3 PD17NOV17	6473.00	6501.60	USD	2,145.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097L

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
18AUG17	B 2 PD17NOV17	6477.00	6501.60	USD	1,230.00	
18AUG17	B 1 PD17NOV17	6476.00	6501.60	USD	640.00	
18AUG17	B 4 PD17NOV17	6474.00	6501.60	USD	2,760.00	
18AUG17	B 3 PD17NOV17	6477.50	6501.60	USD	1,807.50	
18AUG17	S 2 PD17NOV17	6481.50	6501.60	USD	-1,005.00	
18AUG17	S 1 PD17NOV17	6494.50	6501.60	USD	-177.50	
18AUG17	S 1 PD17NOV17	6495.50	6501.60	USD	-152.50	
18AUG17	S 1 PD17NOV17	6497.00	6501.60	USD	-115.00	
18AUG17	S 1 PD17NOV17	6496.50	6501.60	USD	-127.50	
18AUG17	B 3 PD17NOV17	6488.50	6501.60	USD	982.50	
18AUG17	B 4 PD17NOV17	6487.50	6501.60	USD	1,410.00	
18AUG17	S 2 PD17NOV17	6483.50	6501.60	USD	-905.00	
18AUG17	S 9 PD17NOV17	6480.50	6501.60	USD	-4,747.50	
18AUG17	S 1 PD17NOV17	6481.00	6501.60	USD	-515.00	
18AUG17	S 2 PD17NOV17	6482.00	6501.60	USD	-980.00	
18AUG17	S 1 PD17NOV17	6485.00	6501.60	USD	-415.00	
18AUG17	S 2 PD17NOV17	6485.50	6501.60	USD	-805.00	
18AUG17	S 10 PD17NOV17	6486.00	6501.60	USD	-3,900.00	
18AUG17	S 1 PD17NOV17	6486.50	6501.60	USD	-377.50	
18AUG17	S 5 PD17NOV17	6484.00	6501.60	USD	-2,200.00	
18AUG17	S 5 PD17NOV17	6483.00	6501.60	USD	-2,325.00	
18AUG17	S 1 PD17NOV17	6481.50	6501.60	USD	-502.50	
21AUG17	B 70 PD17NOV17	6585.00	6501.60	USD	-145,950.00	
	NET 0 AVG BGHT 6525.65 SOLD 6495.90				**-194,887.50**	**0.00**
21AUG17	S 1 PD21NOV17	6526.00	6504.00	USD	550.00	
21AUG17	S 1 PD21NOV17	6523.00	6504.00	USD	475.00	
21AUG17	S 1 PD21NOV17	6525.00	6504.00	USD	525.00	
21AUG17	S 2 PD21NOV17	6512.00	6504.00	USD	400.00	
21AUG17	S 1 PD21NOV17	6526.50	6504.00	USD	562.50	
21AUG17	S 1 PD21NOV17	6531.00	6504.00	USD	675.00	
21AUG17	S 1 PD21NOV17	6527.00	6504.00	USD	575.00	
21AUG17	S 3 PD21NOV17	6511.50	6504.00	USD	562.50	
21AUG17	S 1 PD21NOV17	6533.50	6504.00	USD	737.50	
21AUG17	S 5 PD21NOV17	6524.50	6504.00	USD	2,562.50	
21AUG17	S 3 PD21NOV17	6525.50	6504.00	USD	1,612.50	
21AUG17	S 4 PD21NOV17	6510.50	6504.00	USD	650.00	
21AUG17	S 1 PD21NOV17	6511.00	6504.00	USD	175.00	
21AUG17	B 1 PD21NOV17	6585.00	6504.00	USD	-2,025.00	
21AUG17	B 1 PD21NOV17	6584.00	6504.00	USD	-2,000.00	
21AUG17	B 1 PD21NOV17	6565.00	6504.00	USD	-1,525.00	
21AUG17	S 1 PD21NOV17	6551.00	6504.00	USD	1,175.00	
21AUG17	S 1 PD21NOV17	6540.00	6504.00	USD	900.00	
21AUG17	B 1 PD21NOV17	6555.00	6504.00	USD	-1,275.00	
21AUG17	S 3 PD21NOV17	6548.00	6504.00	USD	3,300.00	
21AUG17	B 1 PD21NOV17	6564.00	6504.00	USD	-1,500.00	
21AUG17	B 1 PD21NOV17	6544.50	6504.00	USD	-1,012.50	
21AUG17	B 1 PD21NOV17	6575.00	6504.00	USD	-1,775.00	
21AUG17	B 1 PD21NOV17	6540.00	6504.00	USD	-900.00	
21AUG17	S 1 PD21NOV17	6547.50	6504.00	USD	1,087.50	
21AUG17	S 1 PD21NOV17	6542.50	6504.00	USD	962.50	
21AUG17	S 5 PD21NOV17	6542.00	6504.00	USD	4,750.00	
21AUG17	B 4 PD21NOV17	6542.50	6504.00	USD	-3,850.00	
21AUG17	B 3 PD21NOV17	6545.00	6504.00	USD	-3,075.00	
21AUG17	B 2 PD21NOV17	6577.00	6504.00	USD	-3,650.00	
21AUG17	B 1 PD21NOV17	6560.50	6504.00	USD	-1,412.50	
21AUG17	B 2 PD21NOV17	6546.00	6504.00	USD	-2,100.00	
21AUG17	S 1 PD21NOV17	6552.00	6504.00	USD	1,200.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097L

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
21AUG17	B 2 PD21NOV17	6543.50	6504.00	USD	-1,975.00	
21AUG17	S 2 PD21NOV17	6550.50	6504.00	USD	2,325.00	
21AUG17	B 1 PD21NOV17	6552.50	6504.00	USD	-1,212.50	
21AUG17	B 1 PD21NOV17	6551.50	6504.00	USD	-1,187.50	
21AUG17	B 1 PD21NOV17	6551.50	6504.00	USD	-1,187.50	
21AUG17	B 1 PD21NOV17	6551.00	6504.00	USD	-1,175.00	
21AUG17	B 1 PD21NOV17	6565.00	6504.00	USD	-1,525.00	
21AUG17	B 2 PD21NOV17	6544.00	6504.00	USD	-2,000.00	
21AUG17	B 1 PD21NOV17	6559.00	6504.00	USD	-1,375.00	
21AUG17	B 2 PD21NOV17	6557.50	6504.00	USD	-2,675.00	
21AUG17	B 4 PD21NOV17	6556.00	6504.00	USD	-5,200.00	
21AUG17	B 2 PD21NOV17	6560.00	6504.00	USD	-2,800.00	
21AUG17	B 4 PD21NOV17	6557.00	6504.00	USD	-5,300.00	
21AUG17	B 1 PD21NOV17	6556.50	6504.00	USD	-1,312.50	
21AUG17	B 8 PD21NOV17	6558.50	6504.00	USD	-10,900.00	
21AUG17	B 7 PD21NOV17	6554.50	6504.00	USD	-8,837.50	
21AUG17	B 3 PD21NOV17	6549.50	6504.00	USD	-3,412.50	
21AUG17	B 4 PD21NOV17	6552.00	6504.00	USD	-4,800.00	
21AUG17	B 1 PD21NOV17	6566.00	6504.00	USD	-1,550.00	
21AUG17	B 2 PD21NOV17	6553.50	6504.00	USD	-2,475.00	
21AUG17	S 2 PD21NOV17	6561.00	6504.00	USD	2,850.00	
21AUG17	B 5 PD21NOV17	6555.50	6504.00	USD	-6,437.50	
21AUG17	B 15 PD21NOV17	6551.00	6504.00	USD	-17,625.00	
21AUG17	B 8 PD21NOV17	6550.00	6504.00	USD	-9,200.00	
21AUG17	B 4 PD21NOV17	6549.00	6504.00	USD	-4,500.00	
21AUG17	B 2 PD21NOV17	6561.00	6504.00	USD	-2,850.00	
21AUG17	B 2 PD21NOV17	6561.50	6504.00	USD	-2,875.00	
21AUG17	B 1 PD21NOV17	6563.50	6504.00	USD	-1,487.50	
21AUG17	B 2 PD21NOV17	6569.00	6504.00	USD	-3,250.00	
21AUG17	B 1 PD21NOV17	6569.50	6504.00	USD	-1,637.50	
21AUG17	B 2 PD21NOV17	6574.00	6504.00	USD	-3,500.00	
21AUG17	B 2 PD21NOV17	6573.00	6504.00	USD	-3,450.00	
21AUG17	B 2 PD21NOV17	6589.50	6504.00	USD	-4,275.00	
21AUG17	B 3 PD21NOV17	6588.00	6504.00	USD	-6,300.00	
21AUG17	B 2 PD21NOV17	6581.50	6504.00	USD	-3,875.00	
21AUG17	B 3 PD21NOV17	6577.50	6504.00	USD	-5,512.50	
21AUG17	B 6 PD21NOV17	6578.00	6504.00	USD	-11,100.00	
21AUG17	B 2 PD21NOV17	6578.50	6504.00	USD	-3,725.00	
21AUG17	B 3 PD21NOV17	6567.00	6504.00	USD	-4,725.00	
21AUG17	B 1 PD21NOV17	6558.00	6504.00	USD	-1,350.00	
21AUG17	B 1 PD21NOV17	6562.50	6504.00	USD	-1,462.50	
21AUG17	B 5 PD21NOV17	6564.50	6504.00	USD	-7,562.50	
21AUG17	B 4 PD21NOV17	6566.50	6504.00	USD	-6,250.00	
21AUG17	B 2 PD21NOV17	6567.50	6504.00	USD	-3,175.00	
21AUG17	B 7 PD21NOV17	6565.50	6504.00	USD	-10,762.50	
21AUG17	B 3 PD21NOV17	6570.00	6504.00	USD	-4,950.00	
21AUG17	B 2 PD21NOV17	6568.50	6504.00	USD	-3,225.00	
21AUG17	B 2 PD21NOV17	6572.00	6504.00	USD	-3,400.00	
21AUG17	B 4 PD21NOV17	6571.00	6504.00	USD	-6,700.00	
21AUG17	B 2 PD21NOV17	6580.50	6504.00	USD	-3,825.00	
21AUG17	B 1 PD21NOV17	6582.00	6504.00	USD	-1,950.00	
21AUG17	B 1 PD21NOV17	6584.50	6504.00	USD	-2,012.50	
21AUG17	B 3 PD21NOV17	6583.50	6504.00	USD	-5,962.50	
21AUG17	B 1 PD21NOV17	6589.00	6504.00	USD	-2,125.00	
21AUG17	B 4 PD21NOV17	6588.50	6504.00	USD	-8,450.00	
21AUG17	B 1 PD21NOV17	6591.00	6504.00	USD	-2,175.00	
21AUG17	B 1 PD21NOV17	6593.50	6504.00	USD	-2,237.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097L

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT							
21AUG17	B	2	PD21NOV17	6593.00	6504.00	USD	-4,450.00	
21AUG17	B	2	PD21NOV17	6594.00	6504.00	USD	-4,500.00	
21AUG17	B	1	PD21NOV17	6594.50	6504.00	USD	-2,262.50	
21AUG17	B	3	PD21NOV17	6595.50	6504.00	USD	-6,862.50	
21AUG17	B	1	PD21NOV17	6599.00	6504.00	USD	-2,375.00	
21AUG17	B	1	PD21NOV17	6598.50	6504.00	USD	-2,362.50	
21AUG17	B	1	PD21NOV17	6598.00	6504.00	USD	-2,350.00	
21AUG17	B	1	PD21NOV17	6597.50	6504.00	USD	-2,337.50	
21AUG17	B	1	PD21NOV17	6597.00	6504.00	USD	-2,325.00	
21AUG17	B	2	PD21NOV17	6596.00	6504.00	USD	-4,600.00	
21AUG17	B	4	PD21NOV17	6595.00	6504.00	USD	-9,100.00	
21AUG17	S	5	PD21NOV17	6616.00	6504.00	USD	14,000.00	
21AUG17	S	1	PD21NOV17	6617.50	6504.00	USD	2,837.50	
21AUG17	B	1	PD21NOV17	6621.00	6504.00	USD	-2,925.00	
21AUG17	B	4	PD21NOV17	6622.00	6504.00	USD	-11,800.00	
21AUG17	B	1	PD21NOV17	6619.00	6504.00	USD	-2,875.00	
21AUG17	S	1	PD21NOV17	6583.50	6504.00	USD	1,987.50	
21AUG17	S	2	PD21NOV17	6587.50	6504.00	USD	4,175.00	
21AUG17	B	1	PD21NOV17	6586.00	6504.00	USD	-2,050.00	
21AUG17	S	1	PD21NOV17	6578.50	6504.00	USD	1,862.50	
21AUG17	S	1	PD21NOV17	6573.50	6504.00	USD	1,737.50	
21AUG17	S	1	PD21NOV17	6570.50	6504.00	USD	1,662.50	
21AUG17	S	1	PD21NOV17	6569.00	6504.00	USD	1,625.00	
21AUG17	S	1	PD21NOV17	6568.00	6504.00	USD	1,600.00	
21AUG17	B	3	PD21NOV17	6576.00	6504.00	USD	-5,400.00	
21AUG17	B	1	PD21NOV17	6575.50	6504.00	USD	-1,787.50	
21AUG17	B	1	PD21NOV17	6576.50	6504.00	USD	-1,812.50	
21AUG17	S	1	PD21NOV17	6577.00	6504.00	USD	1,825.00	
21AUG17	S	3	PD21NOV17	6575.00	6504.00	USD	5,325.00	
21AUG17	S	2	PD21NOV17	6575.50	6504.00	USD	3,575.00	
21AUG17	S	2	PD21NOV17	6576.00	6504.00	USD	3,600.00	
30AUG17	S	20	PD21NOV17	6766.55	6504.00	USD	131,275.00	
30AUG17	S	85	PD21NOV17	6766.75	6504.00	USD	558,343.75	
31AUG17	S	40	PD21NOV17	6785.75	6504.00	USD	281,750.00	
	NET	**0**	**AVG BGHT 6567.75 SOLD 6704.15**				**712,718.75**	**0.00**
22AUG17	B	3	PD22NOV17	6640.00	6504.60	USD	-10,155.00	
22AUG17	B	3	PD22NOV17	6639.00	6504.60	USD	-10,080.00	
22AUG17	B	2	PD22NOV17	6641.00	6504.60	USD	-6,820.00	
22AUG17	B	2	PD22NOV17	6642.50	6504.60	USD	-6,895.00	
22AUG17	B	1	PD22NOV17	6642.00	6504.60	USD	-3,435.00	
22AUG17	B	5	PD22NOV17	6640.50	6504.60	USD	-16,987.50	
22AUG17	B	2	PD22NOV17	6641.50	6504.60	USD	-6,845.00	
22AUG17	B	1	PD22NOV17	6603.50	6504.60	USD	-2,472.50	
22AUG17	B	2	PD22NOV17	6602.50	6504.60	USD	-4,895.00	
22AUG17	S	1	PD22NOV17	6610.50	6504.60	USD	2,647.50	
22AUG17	S	1	PD22NOV17	6608.50	6504.60	USD	2,597.50	
22AUG17	S	1	PD22NOV17	6607.00	6504.60	USD	2,560.00	
22AUG17	B	1	PD22NOV17	6619.00	6504.60	USD	-2,860.00	
22AUG17	B	1	PD22NOV17	6619.50	6504.60	USD	-2,872.50	
22AUG17	S	3	PD22NOV17	6626.50	6504.60	USD	9,142.50	
22AUG17	S	6	PD22NOV17	6626.00	6504.60	USD	18,210.00	
22AUG17	S	3	PD22NOV17	6627.50	6504.60	USD	9,217.50	
22AUG17	B	2	PD22NOV17	6622.50	6504.60	USD	-5,895.00	
22AUG17	B	1	PD22NOV17	6620.50	6504.60	USD	-2,897.50	
22AUG17	B	3	PD22NOV17	6628.50	6504.60	USD	-9,292.50	
22AUG17	B	1	PD22NOV17	6631.00	6504.60	USD	-3,160.00	
22AUG17	B	1	PD22NOV17	6632.00	6504.60	USD	-3,185.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097L

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
22AUG17	B 1 PD22NOV17	6631.50	6504.60	USD	-3,172.50	
22AUG17	B 1 PD22NOV17	6630.50	6504.60	USD	-3,147.50	
22AUG17	B 1 PD22NOV17	6632.50	6504.60	USD	-3,197.50	
22AUG17	B 1 PD22NOV17	6628.00	6504.60	USD	-3,085.00	
31AUG17	S 20 PD22NOV17	6786.00	6504.60	USD	140,700.00	
	NET 0 AVG BGHT 6631.86 SOLD 6716.11				**73,725.00**	**0.00**
23AUG17	S 1 PD24NOV17	6582.50	6505.80	USD	1,917.50	
23AUG17	S 2 PD24NOV17	6580.00	6505.80	USD	3,710.00	
23AUG17	S 1 PD24NOV17	6579.50	6505.80	USD	1,842.50	
23AUG17	S 1 PD24NOV17	6566.00	6505.80	USD	1,505.00	
23AUG17	S 6 PD24NOV17	6564.00	6505.80	USD	8,730.00	
23AUG17	S 1 PD24NOV17	6564.50	6505.80	USD	1,467.50	
23AUG17	S 3 PD24NOV17	6565.00	6505.80	USD	4,440.00	
23AUG17	S 1 PD24NOV17	6548.50	6505.80	USD	1,067.50	
23AUG17	S 3 PD24NOV17	6570.50	6505.80	USD	4,852.50	
23AUG17	S 1 PD24NOV17	6581.50	6505.80	USD	1,892.50	
23AUG17	S 3 PD24NOV17	6579.00	6505.80	USD	5,490.00	
23AUG17	S 1 PD24NOV17	6584.00	6505.80	USD	1,955.00	
23AUG17	S 2 PD24NOV17	6583.00	6505.80	USD	3,860.00	
23AUG17	S 1 PD24NOV17	6582.00	6505.80	USD	1,905.00	
23AUG17	S 1 PD24NOV17	6581.00	6505.80	USD	1,880.00	
23AUG17	S 4 PD24NOV17	6574.00	6505.80	USD	6,820.00	
23AUG17	S 1 PD24NOV17	6569.50	6505.80	USD	1,592.50	
23AUG17	S 1 PD24NOV17	6567.50	6505.80	USD	1,542.50	
23AUG17	S 2 PD24NOV17	6568.50	6505.80	USD	3,135.00	
23AUG17	S 1 PD24NOV17	6571.00	6505.80	USD	1,630.00	
23AUG17	S 1 PD24NOV17	6570.00	6505.80	USD	1,605.00	
23AUG17	S 6 PD24NOV17	6562.50	6505.80	USD	8,505.00	
23AUG17	S 1 PD24NOV17	6563.50	6505.80	USD	1,442.50	
23AUG17	S 8 PD24NOV17	6563.00	6505.80	USD	11,440.00	
23AUG17	S 7 PD24NOV17	6562.00	6505.80	USD	9,835.00	
24AUG17	S 3 PD24NOV17	6611.50	6505.80	USD	7,927.50	
24AUG17	S 1 PD24NOV17	6612.50	6505.80	USD	2,667.50	
24AUG17	S 1 PD24NOV17	6613.50	6505.80	USD	2,692.50	
24AUG17	S 1 PD24NOV17	6615.00	6505.80	USD	2,730.00	
24AUG17	S 1 PD24NOV17	6616.00	6505.80	USD	2,755.00	
24AUG17	S 1 PD24NOV17	6618.00	6505.80	USD	2,805.00	
24AUG17	S 1 PD24NOV17	6615.50	6505.80	USD	2,742.50	
24AUG17	S 1 PD24NOV17	6616.50	6505.80	USD	2,767.50	
30AUG17	B 70 PD24NOV17	6767.70	6505.80	USD	-458,325.00	
	NET 0 AVG BGHT 6767.70 SOLD 6575.03				**-337,175.00**	**0.00**
29AUG17	S 2 PD29NOV17	6785.50	6508.80	USD	13,835.00	
29AUG17	S 2 PD29NOV17	6788.00	6508.80	USD	13,960.00	
29AUG17	S 2 PD29NOV17	6787.00	6508.80	USD	13,910.00	
29AUG17	S 2 PD29NOV17	6790.00	6508.80	USD	14,060.00	
29AUG17	S 2 PD29NOV17	6803.50	6508.80	USD	14,735.00	
29AUG17	S 2 PD29NOV17	6804.50	6508.80	USD	14,785.00	
29AUG17	S 3 PD29NOV17	6805.50	6508.80	USD	22,252.50	
30AUG17	B 15 PD29NOV17	6768.95	6508.80	USD	-97,556.25	
	NET 0 AVG BGHT 6768.95 SOLD 6795.57				**9,981.25**	**0.00**
30AUG17	S 3 PD30NOV17	6782.00	6509.40	USD	20,445.00	
30AUG17	S 1 PD30NOV17	6781.00	6509.40	USD	6,790.00	
30AUG17	S 1 PD30NOV17	6782.50	6509.40	USD	6,827.50	
30AUG17	S 1 PD30NOV17	6784.50	6509.40	USD	6,877.50	
30AUG17	S 1 PD30NOV17	6782.00	6509.40	USD	6,815.00	
30AUG17	S 1 PD30NOV17	6785.00	6509.40	USD	6,890.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097L

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
30AUG17	S 2 PD30NOV17	6786.00	6509.40	USD	13,830.00	
30AUG17	S 1 PD30NOV17	6787.00	6509.40	USD	6,940.00	
30AUG17	S 4 PD30NOV17	6783.50	6509.40	USD	27,410.00	
31AUG17	S 4 PD30NOV17	6814.50	6509.40	USD	30,510.00	
31AUG17	S 3 PD30NOV17	6816.50	6509.40	USD	23,032.50	
31AUG17	S 1 PD30NOV17	6813.50	6509.40	USD	7,602.50	
31AUG17	S 1 PD30NOV17	6820.00	6509.40	USD	7,765.00	
31AUG17	S 1 PD30NOV17	6822.00	6509.40	USD	7,815.00	
31AUG17	S 1 PD30NOV17	6786.00	6509.40	USD	6,915.00	
31AUG17	S 3 PD30NOV17	6783.50	6509.40	USD	20,557.50	
31AUG17	S 2 PD30NOV17	6782.50	6509.40	USD	13,655.00	
31AUG17	S 1 PD30NOV17	6787.00	6509.40	USD	6,940.00	
31AUG17	S 1 PD30NOV17	6788.50	6509.40	USD	6,977.50	
31AUG17	S 1 PD30NOV17	6789.00	6509.40	USD	6,990.00	
31AUG17	S 1 PD30NOV17	6790.00	6509.40	USD	7,015.00	
31AUG17	S 1 PD30NOV17	6793.00	6509.40	USD	7,090.00	
31AUG17	S 3 PD30NOV17	6793.50	6509.40	USD	21,307.50	
31AUG17	S 1 PD30NOV17	6791.50	6509.40	USD	7,052.50	
31AUG17	S 3 PD30NOV17	6792.50	6509.40	USD	21,232.50	
31AUG17	S 5 PD30NOV17	6794.00	6509.40	USD	35,575.00	
31AUG17	S 5 PD30NOV17	6792.00	6509.40	USD	35,325.00	
31AUG17	S 2 PD30NOV17	6790.50	6509.40	USD	14,055.00	
31AUG17	S 5 PD30NOV17	6796.50	6509.40	USD	35,887.50	
31AUG17	B 60 PD30NOV17	6788.20	6509.40	USD	-418,200.00	
	NET 0 AVG BGHT 6788.20 SOLD 6793.48				**7,925.00**	**0.00**

OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	669,108.75

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards		Amount	Profit / Loss	**Total Amount**	**Total P&L**
COPL - Futures	USD	669,108.75	669,108.75	**669,108.75**	**669,108.75**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097L

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-647,095.40	-647,095.40
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**-647,095.40**	**-647,095.40**
Initial Margins		0.00	0.00
Excess/(Shortage)		**-647,095.40**	**-647,095.40**
FOR INFORMATION ONLY			
Forward Unrealised P&L		669,108.75	669,108.75
Net Liquidating Value		**22,013.35**	**22,013.35**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097M

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT							
05JUL17	B	2	PD05OCT17	5837.00	6468.75	USD	31,587.50	
05JUL17	B	1	PD05OCT17	5846.00	6468.75	USD	15,568.75	
05JUL17	B	4	PD05OCT17	5846.50	6468.75	USD	62,225.00	
05JUL17	B	1	PD05OCT17	5838.00	6468.75	USD	15,768.75	
05JUL17	B	1	PD05OCT17	5840.50	6468.75	USD	15,706.25	
05JUL17	B	1	PD05OCT17	5841.00	6468.75	USD	15,693.75	
18SEP17	S	10	PD05OCT17	6487.14	6468.75	USD	4,597.50	
	NET	**0**	**AVG BGHT 5842.55**	**SOLD 6487.14**			**161,147.50**	**0.00**
06JUL17	B	1	PD06OCT17	5849.50	6470.02	USD	15,513.00	
06JUL17	B	1	PD06OCT17	5850.00	6470.02	USD	15,500.50	
06JUL17	B	1	PD06OCT17	5852.00	6470.02	USD	15,450.50	
06JUL17	B	1	PD06OCT17	5852.50	6470.02	USD	15,438.00	
06JUL17	B	1	PD06OCT17	5853.00	6470.02	USD	15,425.50	
06JUL17	B	1	PD06OCT17	5839.50	6470.02	USD	15,763.00	
06JUL17	B	2	PD06OCT17	5840.00	6470.02	USD	31,501.00	
06JUL17	B	2	PD06OCT17	5839.00	6470.02	USD	31,551.00	
03OCT17	S	10	PD06OCT17	6470.02	6470.02	USD	0.00	
	NET	**0**	**AVG BGHT 5845.45**	**SOLD 6470.02**			**156,142.50**	**0.00**
11JUL17	B	1	PD11OCT17	5857.50	6476.37	USD	15,471.75	
11JUL17	B	2	PD11OCT17	5858.50	6476.37	USD	30,893.50	
11JUL17	B	1	PD11OCT17	5859.00	6476.37	USD	15,434.25	
11JUL17	B	1	PD11OCT17	5859.50	6476.37	USD	15,421.75	
18SEP17	S	5	PD11OCT17	6492.10	6476.37	USD	1,966.25	
	NET	**0**	**AVG BGHT 5858.60**	**SOLD 6492.10**			**79,187.50**	**0.00**
28JUL17	S	4	PD27OCT17	6322.00	6491.36	USD	-16,936.00	
28JUL17	S	1	PD27OCT17	6322.50	6491.36	USD	-4,221.50	
28JUL17	S	2	PD27OCT17	6329.00	6491.36	USD	-8,118.00	
28JUL17	S	1	PD27OCT17	6328.00	6491.36	USD	-4,084.00	
28JUL17	S	1	PD27OCT17	6328.50	6491.36	USD	-4,071.50	
28JUL17	S	1	PD27OCT17	6329.50	6491.36	USD	-4,046.50	
18SEP17	B	10	PD27OCT17	6500.02	6491.36	USD	-2,165.00	
	NET	**0**	**AVG BGHT 6500.02**	**SOLD 6325.45**			**-43,642.50**	**0.00**
04AUG17	S	2	PD03NOV17	6340.00	6495.28	USD	-7,764.00	
04AUG17	S	1	PD03NOV17	6340.50	6495.28	USD	-3,869.50	
04AUG17	S	2	PD03NOV17	6341.00	6495.28	USD	-7,714.00	
18SEP17	B	5	PD03NOV17	6503.75	6495.28	USD	-1,058.75	
	NET	**0**	**AVG BGHT 6503.75**	**SOLD 6340.50**			**-20,406.25**	**0.00**
22AUG17	B	4	PD22NOV17	6585.50	6504.60	USD	-8,090.00	
22AUG17	B	1	PD22NOV17	6585.00	6504.60	USD	-2,010.00	
18SEP17	S	5	PD22NOV17	6514.61	6504.60	USD	1,251.25	
	NET	**0**	**AVG BGHT 6585.40**	**SOLD 6514.61**			**-8,848.75**	**0.00**
23AUG17	B	1	PD24NOV17	6563.00	6505.80	USD	-1,430.00	
23AUG17	B	1	PD24NOV17	6563.50	6505.80	USD	-1,442.50	
23AUG17	B	2	PD24NOV17	6564.00	6505.80	USD	-2,910.00	
23AUG17	B	1	PD24NOV17	6564.50	6505.80	USD	-1,467.50	
23AUG17	B	1	PD24NOV17	6556.50	6505.80	USD	-1,267.50	
23AUG17	B	2	PD24NOV17	6557.00	6505.80	USD	-2,560.00	
23AUG17	B	1	PD24NOV17	6557.50	6505.80	USD	-1,292.50	
23AUG17	B	1	PD24NOV17	6558.00	6505.80	USD	-1,305.00	
23AUG17	S	2	PD24NOV17	6577.00	6505.80	USD	3,560.00	
23AUG17	S	1	PD24NOV17	6572.00	6505.80	USD	1,655.00	
23AUG17	S	1	PD24NOV17	6573.50	6505.80	USD	1,692.50	
23AUG17	S	1	PD24NOV17	6576.00	6505.80	USD	1,755.00	
23AUG17	S	3	PD24NOV17	6568.50	6505.80	USD	4,702.50	
23AUG17	S	2	PD24NOV17	6569.00	6505.80	USD	3,160.00	
24AUG17	S	1	PD24NOV17	6618.00	6505.80	USD	2,805.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097M

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
24AUG17	S 1 PD24NOV17	6618.50	6505.80	USD	2,817.50	
24AUG17	S 1 PD24NOV17	6619.00	6505.80	USD	2,830.00	
24AUG17	S 2 PD24NOV17	6619.50	6505.80	USD	5,685.00	
18SEP17	B 5 PD24NOV17	6515.76	6505.80	USD	-1,245.00	
	NET 0 AVG BGHT 6545.59 SOLD 6587.57				**15,742.50**	**0.00**
29AUG17	S 1 PD29NOV17	6790.00	6508.80	USD	7,030.00	
29AUG17	S 1 PD29NOV17	6790.50	6508.80	USD	7,042.50	
29AUG17	S 1 PD29NOV17	6792.00	6508.80	USD	7,080.00	
29AUG17	S 1 PD29NOV17	6793.00	6508.80	USD	7,105.00	
29AUG17	S 1 PD29NOV17	6796.00	6508.80	USD	7,180.00	
03OCT17	B 5 PD29NOV17	6509.00	6508.80	USD	-25.00	
	NET 0 AVG BGHT 6509.00 SOLD 6792.30				**35,412.50**	**0.00**
30AUG17	S 2 PD30NOV17	6784.00	6509.40	USD	13,730.00	
30AUG17	S 1 PD30NOV17	6784.50	6509.40	USD	6,877.50	
30AUG17	S 1 PD30NOV17	6786.00	6509.40	USD	6,915.00	
30AUG17	S 1 PD30NOV17	6786.50	6509.40	USD	6,927.50	
03OCT17	B 5 PD30NOV17	6509.60	6509.40	USD	-25.00	
	NET 0 AVG BGHT 6509.60 SOLD 6785.00				**34,425.00**	**0.00**

OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	409,160.00

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards		Amount	Profit / Loss	**Total Amount**	**Total P&L**
COPL - Futures	USD	409,160.00	409,160.00	**409,160.00**	**409,160.00**

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-416,696.30	-416,696.30
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**-416,696.30**	**-416,696.30**
Initial Margins		0.00	0.00
Excess/(Shortage)		**-416,696.30**	**-416,696.30**
FOR INFORMATION ONLY			
Forward Unrealised P&L		409,160.00	409,160.00
Net Liquidating Value		**-7,536.30**	**-7,536.30**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097N

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-85.00	-85.00
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**-85.00**	**-85.00**
Initial Margins		0.00	0.00
Excess/(Shortage)		**-85.00**	**-85.00**
FOR INFORMATION ONLY			
Net Liquidating Value		**-85.00**	**-85.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097Q

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
24AUG17	B 1 PD24NOV17	6610.00	6505.80	USD	-2,605.00	
24AUG17	B 3 PD24NOV17	6610.50	6505.80	USD	-7,852.50	
24AUG17	B 1 PD24NOV17	6605.00	6505.80	USD	-2,480.00	
24AUG17	B 3 PD24NOV17	6609.50	6505.80	USD	-7,777.50	
24AUG17	B 1 PD24NOV17	6609.00	6505.80	USD	-2,580.00	
24AUG17	B 5 PD24NOV17	6611.50	6505.80	USD	-13,212.50	
24AUG17	B 1 PD24NOV17	6606.00	6505.80	USD	-2,505.00	
24AUG17	S 1 PD24NOV17	6627.00	6505.80	USD	3,030.00	
24AUG17	B 1 PD24NOV17	6627.50	6505.80	USD	-3,042.50	
24AUG17	S 1 PD24NOV17	6626.50	6505.80	USD	3,017.50	
24AUG17	S 12 PD24NOV17	6628.00	6505.80	USD	36,660.00	
24AUG17	S 1 PD24NOV17	6631.00	6505.80	USD	3,130.00	
24AUG17	S 1 PD24NOV17	6634.50	6505.80	USD	3,217.50	
24AUG17	B 1 PD24NOV17	6670.00	6505.80	USD	-4,105.00	
24AUG17	B 1 PD24NOV17	6672.50	6505.80	USD	-4,167.50	
24AUG17	B 1 PD24NOV17	6675.00	6505.80	USD	-4,230.00	
24AUG17	B 5 PD24NOV17	6673.50	6505.80	USD	-20,962.50	
24AUG17	B 2 PD24NOV17	6673.00	6505.80	USD	-8,360.00	
24AUG17	B 1 PD24NOV17	6674.00	6505.80	USD	-4,205.00	
24AUG17	B 8 PD24NOV17	6674.50	6505.80	USD	-33,740.00	
24AUG17	B 1 PD24NOV17	6679.00	6505.80	USD	-4,330.00	
24AUG17	B 2 PD24NOV17	6679.50	6505.80	USD	-8,685.00	
24AUG17	B 1 PD24NOV17	6683.00	6505.80	USD	-4,430.00	
24AUG17	S 1 PD24NOV17	6679.50	6505.80	USD	4,342.50	
24AUG17	S 3 PD24NOV17	6678.50	6505.80	USD	12,952.50	
24AUG17	S 1 PD24NOV17	6693.00	6505.80	USD	4,680.00	
24AUG17	S 1 PD24NOV17	6690.00	6505.80	USD	4,605.00	
24AUG17	S 3 PD24NOV17	6700.50	6505.80	USD	14,602.50	
24AUG17	S 1 PD24NOV17	6695.00	6505.80	USD	4,730.00	
24AUG17	S 1 PD24NOV17	6696.00	6505.80	USD	4,755.00	
24AUG17	S 1 PD24NOV17	6699.50	6505.80	USD	4,842.50	
24AUG17	S 1 PD24NOV17	6698.00	6505.80	USD	4,805.00	
24AUG17	S 7 PD24NOV17	6698.50	6505.80	USD	33,722.50	
24AUG17	S 1 PD24NOV17	6701.00	6505.80	USD	4,880.00	
24AUG17	S 8 PD24NOV17	6697.50	6505.80	USD	38,340.00	
24AUG17	S 1 PD24NOV17	6709.00	6505.80	USD	5,080.00	
24AUG17	S 1 PD24NOV17	6708.50	6505.80	USD	5,067.50	
24AUG17	S 6 PD24NOV17	6707.00	6505.80	USD	30,180.00	
24AUG17	S 2 PD24NOV17	6707.50	6505.80	USD	10,085.00	
24AUG17	S 1 PD24NOV17	6710.00	6505.80	USD	5,105.00	
24AUG17	S 2 PD24NOV17	6704.50	6505.80	USD	9,935.00	
24AUG17	S 1 PD24NOV17	6705.50	6505.80	USD	4,992.50	
24AUG17	S 3 PD24NOV17	6708.00	6505.80	USD	15,165.00	
24AUG17	S 3 PD24NOV17	6711.00	6505.80	USD	15,390.00	
24AUG17	S 2 PD24NOV17	6716.50	6505.80	USD	10,535.00	
24AUG17	S 2 PD24NOV17	6712.00	6505.80	USD	10,310.00	
24AUG17	S 1 PD24NOV17	6713.00	6505.80	USD	5,180.00	
24AUG17	S 1 PD24NOV17	6713.50	6505.80	USD	5,192.50	
24AUG17	B 1 PD24NOV17	6699.50	6505.80	USD	-4,842.50	
24AUG17	B 1 PD24NOV17	6704.00	6505.80	USD	-4,955.00	
24AUG17	B 1 PD24NOV17	6704.50	6505.80	USD	-4,967.50	
24AUG17	S 1 PD24NOV17	6704.00	6505.80	USD	4,955.00	
24AUG17	B 5 PD24NOV17	6698.50	6505.80	USD	-24,087.50	
24AUG17	B 1 PD24NOV17	6699.00	6505.80	USD	-4,830.00	
24AUG17	B 2 PD24NOV17	6697.50	6505.80	USD	-9,585.00	
24AUG17	B 2 PD24NOV17	6696.50	6505.80	USD	-9,535.00	
24AUG17	B 1 PD24NOV17	6696.00	6505.80	USD	-4,755.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097Q

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
24AUG17	S 1 PD24NOV17	6697.00	6505.80	USD	4,780.00	
24AUG17	B 2 PD24NOV17	6674.00	6505.80	USD	-8,410.00	
24AUG17	B 1 PD24NOV17	6675.50	6505.80	USD	-4,242.50	
24AUG17	S 3 PD24NOV17	6702.50	6505.80	USD	14,752.50	
24AUG17	B 4 PD24NOV17	6701.00	6505.80	USD	-19,520.00	
24AUG17	B 1 PD24NOV17	6698.00	6505.80	USD	-4,805.00	
24AUG17	B 1 PD24NOV17	6704.00	6505.80	USD	-4,955.00	
24AUG17	B 2 PD24NOV17	6704.50	6505.80	USD	-9,935.00	
24AUG17	B 1 PD24NOV17	6706.00	6505.80	USD	-5,005.00	
24AUG17	B 1 PD24NOV17	6699.50	6505.80	USD	-4,842.50	
25AUG17	B 4 PD24NOV17	6714.50	6505.80	USD	-20,870.00	
25AUG17	B 2 PD24NOV17	6714.00	6505.80	USD	-10,410.00	
25AUG17	B 1 PD24NOV17	6716.00	6505.80	USD	-5,255.00	
25AUG17	B 2 PD24NOV17	6715.00	6505.80	USD	-10,460.00	
25AUG17	S 2 PD24NOV17	6720.50	6505.80	USD	10,735.00	
25AUG17	B 1 PD24NOV17	6738.50	6505.80	USD	-5,817.50	
25AUG17	B 1 PD24NOV17	6739.50	6505.80	USD	-5,842.50	
25AUG17	B 2 PD24NOV17	6740.00	6505.80	USD	-11,710.00	
25AUG17	B 1 PD24NOV17	6733.00	6505.80	USD	-5,680.00	
25AUG17	B 2 PD24NOV17	6735.00	6505.80	USD	-11,460.00	
25AUG17	B 1 PD24NOV17	6736.00	6505.80	USD	-5,755.00	
25AUG17	B 5 PD24NOV17	6735.50	6505.80	USD	-28,712.50	
25AUG17	B 2 PD24NOV17	6729.50	6505.80	USD	-11,185.00	
25AUG17	S 3 PD24NOV17	6736.50	6505.80	USD	17,302.50	
25AUG17	S 2 PD24NOV17	6745.00	6505.80	USD	11,960.00	
25AUG17	S 1 PD24NOV17	6746.50	6505.80	USD	6,017.50	
25AUG17	S 1 PD24NOV17	6747.00	6505.80	USD	6,030.00	
25AUG17	S 4 PD24NOV17	6742.00	6505.80	USD	23,620.00	
25AUG17	S 4 PD24NOV17	6740.50	6505.80	USD	23,470.00	
25AUG17	B 7 PD24NOV17	6722.00	6505.80	USD	-37,835.00	
25AUG17	B 1 PD24NOV17	6721.00	6505.80	USD	-5,380.00	
25AUG17	B 2 PD24NOV17	6718.00	6505.80	USD	-10,610.00	
25AUG17	B 8 PD24NOV17	6720.00	6505.80	USD	-42,840.00	
25AUG17	S 1 PD24NOV17	6724.00	6505.80	USD	5,455.00	
25AUG17	S 1 PD24NOV17	6723.50	6505.80	USD	5,442.50	
25AUG17	B 1 PD24NOV17	6732.50	6505.80	USD	-5,667.50	
25AUG17	B 1 PD24NOV17	6728.00	6505.80	USD	-5,555.00	
25AUG17	B 1 PD24NOV17	6715.50	6505.80	USD	-5,242.50	
25AUG17	S 1 PD24NOV17	6728.50	6505.80	USD	5,567.50	
25AUG17	S 4 PD24NOV17	6729.50	6505.80	USD	22,370.00	
25AUG17	S 5 PD24NOV17	6730.00	6505.80	USD	28,025.00	
25AUG17	S 1 PD24NOV17	6726.50	6505.80	USD	5,517.50	
25AUG17	S 3 PD24NOV17	6735.50	6505.80	USD	17,227.50	
25AUG17	S 11 PD24NOV17	6741.50	6505.80	USD	64,817.50	
25AUG17	S 4 PD24NOV17	6742.50	6505.80	USD	23,670.00	
25AUG17	S 2 PD24NOV17	6728.00	6505.80	USD	11,110.00	
25AUG17	S 4 PD24NOV17	6729.00	6505.80	USD	22,320.00	
25AUG17	S 1 PD24NOV17	6734.00	6505.80	USD	5,705.00	
25AUG17	B 4 PD24NOV17	6725.00	6505.80	USD	-21,920.00	
25AUG17	B 1 PD24NOV17	6727.50	6505.80	USD	-5,542.50	
25AUG17	B 6 PD24NOV17	6727.00	6505.80	USD	-33,180.00	
25AUG17	B 2 PD24NOV17	6726.00	6505.80	USD	-11,010.00	
25AUG17	B 6 PD24NOV17	6723.50	6505.80	USD	-32,655.00	
25AUG17	B 5 PD24NOV17	6722.50	6505.80	USD	-27,087.50	
25AUG17	B 1 PD24NOV17	6717.50	6505.80	USD	-5,292.50	
25AUG17	B 5 PD24NOV17	6719.00	6505.80	USD	-26,650.00	
25AUG17	B 8 PD24NOV17	6719.50	6505.80	USD	-42,740.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097Q

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
25AUG17	B 8 PD24NOV17	6718.50	6505.80	USD	-42,540.00	
25AUG17	B 1 PD24NOV17	6720.50	6505.80	USD	-5,367.50	
25AUG17	B 10 PD24NOV17	6721.50	6505.80	USD	-53,925.00	
25AUG17	B 3 PD24NOV17	6712.50	6505.80	USD	-15,502.50	
25AUG17	S 1 PD24NOV17	6688.00	6505.80	USD	4,555.00	
25AUG17	S 1 PD24NOV17	6691.50	6505.80	USD	4,642.50	
25AUG17	S 1 PD24NOV17	6693.50	6505.80	USD	4,692.50	
25AUG17	S 4 PD24NOV17	6688.50	6505.80	USD	18,270.00	
25AUG17	S 5 PD24NOV17	6690.00	6505.80	USD	23,025.00	
25AUG17	S 6 PD24NOV17	6689.50	6505.80	USD	27,555.00	
25AUG17	S 2 PD24NOV17	6691.00	6505.80	USD	9,260.00	
25AUG17	S 2 PD24NOV17	6682.00	6505.80	USD	8,810.00	
25AUG17	S 2 PD24NOV17	6684.50	6505.80	USD	8,935.00	
25AUG17	S 2 PD24NOV17	6685.50	6505.80	USD	8,985.00	
25AUG17	S 2 PD24NOV17	6686.50	6505.80	USD	9,035.00	
25AUG17	S 4 PD24NOV17	6687.50	6505.80	USD	18,170.00	
25AUG17	S 1 PD24NOV17	6701.50	6505.80	USD	4,892.50	
25AUG17	S 1 PD24NOV17	6704.50	6505.80	USD	4,967.50	
25AUG17	S 3 PD24NOV17	6705.50	6505.80	USD	14,977.50	
25AUG17	S 2 PD24NOV17	6713.00	6505.80	USD	10,360.00	
25AUG17	S 3 PD24NOV17	6714.00	6505.80	USD	15,615.00	
25AUG17	S 1 PD24NOV17	6707.50	6505.80	USD	5,042.50	
25AUG17	S 7 PD24NOV17	6706.50	6505.80	USD	35,122.50	
25AUG17	S 6 PD24NOV17	6714.50	6505.80	USD	31,305.00	
25AUG17	S 4 PD24NOV17	6715.00	6505.80	USD	20,920.00	
25AUG17	S 4 PD24NOV17	6709.50	6505.80	USD	20,370.00	
25AUG17	S 2 PD24NOV17	6707.00	6505.80	USD	10,060.00	
25AUG17	S 1 PD24NOV17	6695.50	6505.80	USD	4,742.50	
25AUG17	S 2 PD24NOV17	6700.50	6505.80	USD	9,735.00	
25AUG17	S 2 PD24NOV17	6699.00	6505.80	USD	9,660.00	
25AUG17	S 2 PD24NOV17	6698.00	6505.80	USD	9,610.00	
25AUG17	S 2 PD24NOV17	6697.00	6505.80	USD	9,560.00	
25AUG17	S 1 PD24NOV17	6694.50	6505.80	USD	4,717.50	
25AUG17	S 4 PD24NOV17	6706.50	6505.80	USD	20,070.00	
25AUG17	S 1 PD24NOV17	6673.00	6505.80	USD	4,180.00	
25AUG17	S 1 PD24NOV17	6675.50	6505.80	USD	4,242.50	
25AUG17	S 1 PD24NOV17	6669.00	6505.80	USD	4,080.00	
25AUG17	S 2 PD24NOV17	6692.00	6505.80	USD	9,310.00	
30AUG17	B 45 PD24NOV17	6767.50	6505.80	USD	-294,412.50	
	NET 0 AVG BGHT 6715.55 SOLD 6703.74				**-63,800.00**	**0.00**
29AUG17	S 1 PD29NOV17	6762.50	6508.80	USD	6,342.50	
29AUG17	S 1 PD29NOV17	6764.00	6508.80	USD	6,380.00	
29AUG17	S 1 PD29NOV17	6777.00	6508.80	USD	6,705.00	
29AUG17	S 1 PD29NOV17	6773.50	6508.80	USD	6,617.50	
29AUG17	S 2 PD29NOV17	6776.00	6508.80	USD	13,360.00	
29AUG17	S 1 PD29NOV17	6761.50	6508.80	USD	6,317.50	
29AUG17	S 2 PD29NOV17	6780.50	6508.80	USD	13,585.00	
29AUG17	S 1 PD29NOV17	6759.00	6508.80	USD	6,255.00	
29AUG17	S 1 PD29NOV17	6757.00	6508.80	USD	6,205.00	
29AUG17	S 2 PD29NOV17	6767.00	6508.80	USD	12,910.00	
29AUG17	S 3 PD29NOV17	6768.00	6508.80	USD	19,440.00	
29AUG17	S 2 PD29NOV17	6769.50	6508.80	USD	13,035.00	
29AUG17	S 8 PD29NOV17	6770.50	6508.80	USD	52,340.00	
29AUG17	S 5 PD29NOV17	6786.50	6508.80	USD	34,712.50	
29AUG17	S 4 PD29NOV17	6779.00	6508.80	USD	27,020.00	
29AUG17	S 5 PD29NOV17	6780.00	6508.80	USD	33,900.00	
29AUG17	S 1 PD29NOV17	6781.00	6508.80	USD	6,805.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097Q

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
29AUG17	S 1 PD29NOV17	6777.50	6508.80	USD	6,717.50	
29AUG17	S 3 PD29NOV17	6778.00	6508.80	USD	20,190.00	
29AUG17	B 1 PD29NOV17	6802.50	6508.80	USD	-7,342.50	
29AUG17	B 1 PD29NOV17	6803.50	6508.80	USD	-7,367.50	
29AUG17	B 2 PD29NOV17	6814.50	6508.80	USD	-15,285.00	
29AUG17	B 1 PD29NOV17	6813.50	6508.80	USD	-7,617.50	
29AUG17	B 2 PD29NOV17	6812.50	6508.80	USD	-15,185.00	
29AUG17	B 5 PD29NOV17	6816.00	6508.80	USD	-38,400.00	
29AUG17	B 4 PD29NOV17	6817.00	6508.80	USD	-30,820.00	
29AUG17	B 3 PD29NOV17	6815.00	6508.80	USD	-22,965.00	
29AUG17	B 7 PD29NOV17	6818.50	6508.80	USD	-54,197.50	
29AUG17	S 4 PD29NOV17	6819.50	6508.80	USD	31,070.00	
29AUG17	S 1 PD29NOV17	6820.50	6508.80	USD	7,792.50	
29AUG17	B 5 PD29NOV17	6819.00	6508.80	USD	-38,775.00	
29AUG17	B 4 PD29NOV17	6818.00	6508.80	USD	-30,920.00	
29AUG17	B 4 PD29NOV17	6817.50	6508.80	USD	-30,870.00	
29AUG17	B 5 PD29NOV17	6820.00	6508.80	USD	-38,900.00	
29AUG17	B 1 PD29NOV17	6821.50	6508.80	USD	-7,817.50	
29AUG17	B 1 PD29NOV17	6822.00	6508.80	USD	-7,830.00	
29AUG17	B 4 PD29NOV17	6821.00	6508.80	USD	-31,220.00	
29AUG17	B 1 PD29NOV17	6824.50	6508.80	USD	-7,892.50	
29AUG17	B 2 PD29NOV17	6824.00	6508.80	USD	-15,760.00	
29AUG17	B 7 PD29NOV17	6823.50	6508.80	USD	-55,072.50	
29AUG17	B 6 PD29NOV17	6822.50	6508.80	USD	-47,055.00	
29AUG17	B 1 PD29NOV17	6801.00	6508.80	USD	-7,305.00	
29AUG17	B 1 PD29NOV17	6802.00	6508.80	USD	-7,330.00	
29AUG17	B 1 PD29NOV17	6806.50	6508.80	USD	-7,442.50	
29AUG17	B 2 PD29NOV17	6803.00	6508.80	USD	-14,710.00	
29AUG17	B 2 PD29NOV17	6812.00	6508.80	USD	-15,160.00	
29AUG17	B 2 PD29NOV17	6813.00	6508.80	USD	-15,210.00	
29AUG17	B 1 PD29NOV17	6811.50	6508.80	USD	-7,567.50	
29AUG17	B 2 PD29NOV17	6809.50	6508.80	USD	-15,035.00	
29AUG17	B 1 PD29NOV17	6806.00	6508.80	USD	-7,430.00	
29AUG17	B 1 PD29NOV17	6805.00	6508.80	USD	-7,405.00	
29AUG17	B 1 PD29NOV17	6804.00	6508.80	USD	-7,380.00	
29AUG17	B 3 PD29NOV17	6825.00	6508.80	USD	-23,715.00	
29AUG17	B 1 PD29NOV17	6828.00	6508.80	USD	-7,980.00	
29AUG17	B 1 PD29NOV17	6827.00	6508.80	USD	-7,955.00	
29AUG17	B 1 PD29NOV17	6826.00	6508.80	USD	-7,930.00	
29AUG17	S 1 PD29NOV17	6821.50	6508.80	USD	7,817.50	
29AUG17	S 2 PD29NOV17	6820.00	6508.80	USD	15,560.00	
29AUG17	S 2 PD29NOV17	6821.00	6508.80	USD	15,610.00	
29AUG17	B 1 PD29NOV17	6818.50	6508.80	USD	-7,742.50	
29AUG17	B 1 PD29NOV17	6821.50	6508.80	USD	-7,817.50	
29AUG17	B 1 PD29NOV17	6821.00	6508.80	USD	-7,805.00	
29AUG17	B 5 PD29NOV17	6820.00	6508.80	USD	-38,900.00	
29AUG17	S 1 PD29NOV17	6800.00	6508.80	USD	7,280.00	
29AUG17	B 1 PD29NOV17	6789.50	6508.80	USD	-7,017.50	
29AUG17	B 5 PD29NOV17	6790.00	6508.80	USD	-35,150.00	
29AUG17	S 1 PD29NOV17	6799.50	6508.80	USD	7,267.50	
29AUG17	B 1 PD29NOV17	6799.50	6508.80	USD	-7,267.50	
30AUG17	S 45 PD29NOV17	6768.55	6508.80	USD	292,218.75	
	NET 0 AVG BGHT 6815.68 SOLD 6776.82				**-99,093.75**	**0.00**
30AUG17	B 1 PD30NOV17	6785.50	6509.40	USD	-6,902.50	
30AUG17	B 1 PD30NOV17	6791.50	6509.40	USD	-7,052.50	
30AUG17	B 1 PD30NOV17	6798.50	6509.40	USD	-7,227.50	
30AUG17	B 2 PD30NOV17	6799.00	6509.40	USD	-14,480.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097Q

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
30AUG17	B 2 PD30NOV17	6782.50	6509.40	USD	-13,655.00	
30AUG17	B 1 PD30NOV17	6782.00	6509.40	USD	-6,815.00	
30AUG17	B 2 PD30NOV17	6784.50	6509.40	USD	-13,755.00	
30AUG17	B 3 PD30NOV17	6783.50	6509.40	USD	-20,557.50	
30AUG17	B 1 PD30NOV17	6784.00	6509.40	USD	-6,865.00	
30AUG17	B 8 PD30NOV17	6787.00	6509.40	USD	-55,520.00	
30AUG17	B 2 PD30NOV17	6786.00	6509.40	USD	-13,830.00	
30AUG17	B 2 PD30NOV17	6786.50	6509.40	USD	-13,855.00	
30AUG17	S 1 PD30NOV17	6787.00	6509.40	USD	6,940.00	
30AUG17	B 3 PD30NOV17	6788.00	6509.40	USD	-20,895.00	
30AUG17	B 1 PD30NOV17	6790.00	6509.40	USD	-7,015.00	
30AUG17	B 1 PD30NOV17	6798.50	6509.40	USD	-7,227.50	
30AUG17	B 2 PD30NOV17	6779.00	6509.40	USD	-13,480.00	
30AUG17	B 2 PD30NOV17	6778.50	6509.40	USD	-13,455.00	
30AUG17	B 1 PD30NOV17	6777.50	6509.40	USD	-6,702.50	
30AUG17	B 1 PD30NOV17	6773.00	6509.40	USD	-6,590.00	
30AUG17	B 2 PD30NOV17	6771.50	6509.40	USD	-13,105.00	
30AUG17	B 1 PD30NOV17	6770.50	6509.40	USD	-6,527.50	
30AUG17	B 3 PD30NOV17	6767.00	6509.40	USD	-19,320.00	
30AUG17	B 4 PD30NOV17	6768.00	6509.40	USD	-25,860.00	
30AUG17	B 4 PD30NOV17	6769.50	6509.40	USD	-26,010.00	
30AUG17	B 16 PD30NOV17	6769.00	6509.40	USD	-103,840.00	
30AUG17	B 2 PD30NOV17	6768.50	6509.40	USD	-12,955.00	
30AUG17	B 1 PD30NOV17	6767.50	6509.40	USD	-6,452.50	
30AUG17	B 7 PD30NOV17	6766.50	6509.40	USD	-44,992.50	
30AUG17	B 3 PD30NOV17	6765.50	6509.40	USD	-19,207.50	
30AUG17	B 3 PD30NOV17	6770.00	6509.40	USD	-19,545.00	
30AUG17	B 3 PD30NOV17	6775.50	6509.40	USD	-19,957.50	
30AUG17	B 1 PD30NOV17	6774.00	6509.40	USD	-6,615.00	
30AUG17	B 4 PD30NOV17	6774.50	6509.40	USD	-26,510.00	
31AUG17	B 1 PD30NOV17	6803.00	6509.40	USD	-7,340.00	
31AUG17	B 1 PD30NOV17	6810.50	6509.40	USD	-7,527.50	
31AUG17	B 1 PD30NOV17	6810.00	6509.40	USD	-7,515.00	
31AUG17	B 4 PD30NOV17	6809.50	6509.40	USD	-30,010.00	
31AUG17	B 1 PD30NOV17	6809.00	6509.40	USD	-7,490.00	
31AUG17	B 1 PD30NOV17	6808.00	6509.40	USD	-7,465.00	
31AUG17	B 1 PD30NOV17	6807.00	6509.40	USD	-7,440.00	
31AUG17	B 1 PD30NOV17	6805.00	6509.40	USD	-7,390.00	
31AUG17	B 4 PD30NOV17	6804.50	6509.40	USD	-29,510.00	
31AUG17	B 1 PD30NOV17	6869.50	6509.40	USD	-9,002.50	
31AUG17	B 1 PD30NOV17	6858.50	6509.40	USD	-8,727.50	
31AUG17	B 1 PD30NOV17	6857.50	6509.40	USD	-8,702.50	
31AUG17	B 5 PD30NOV17	6858.00	6509.40	USD	-43,575.00	
31AUG17	B 1 PD30NOV17	6862.00	6509.40	USD	-8,815.00	
31AUG17	B 1 PD30NOV17	6861.00	6509.40	USD	-8,790.00	
31AUG17	B 1 PD30NOV17	6860.00	6509.40	USD	-8,765.00	
31AUG17	B 2 PD30NOV17	6859.00	6509.40	USD	-17,480.00	
31AUG17	B 3 PD30NOV17	6853.50	6509.40	USD	-25,807.50	
31AUG17	B 1 PD30NOV17	6852.50	6509.40	USD	-8,577.50	
31AUG17	B 2 PD30NOV17	6853.00	6509.40	USD	-17,180.00	
31AUG17	B 1 PD30NOV17	6851.50	6509.40	USD	-8,552.50	
31AUG17	B 1 PD30NOV17	6849.00	6509.40	USD	-8,490.00	
31AUG17	B 1 PD30NOV17	6846.50	6509.40	USD	-8,427.50	
31AUG17	B 3 PD30NOV17	6845.50	6509.40	USD	-25,207.50	
31AUG17	B 1 PD30NOV17	6844.00	6509.40	USD	-8,365.00	
31AUG17	B 1 PD30NOV17	6840.50	6509.40	USD	-8,277.50	
31AUG17	B 2 PD30NOV17	6839.50	6509.40	USD	-16,505.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097Q

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
31AUG17	B 1 PD30NOV17	6830.50	6509.40	USD	-8,027.50	
31AUG17	S 4 PD30NOV17	6789.50	6509.40	USD	28,010.00	
31AUG17	S 1 PD30NOV17	6795.00	6509.40	USD	7,140.00	
31AUG17	S 1 PD30NOV17	6786.00	6509.40	USD	6,915.00	
31AUG17	S 2 PD30NOV17	6788.50	6509.40	USD	13,955.00	
31AUG17	S 4 PD30NOV17	6787.00	6509.40	USD	27,760.00	
31AUG17	S 6 PD30NOV17	6789.00	6509.40	USD	41,940.00	
31AUG17	S 1 PD30NOV17	6784.00	6509.40	USD	6,865.00	
31AUG17	S 2 PD30NOV17	6791.00	6509.40	USD	14,080.00	
31AUG17	S 4 PD30NOV17	6788.00	6509.40	USD	27,860.00	
31AUG17	S 7 PD30NOV17	6798.00	6509.40	USD	50,505.00	
31AUG17	S 1 PD30NOV17	6797.00	6509.40	USD	7,190.00	
31AUG17	S 1 PD30NOV17	6799.00	6509.40	USD	7,240.00	
31AUG17	S 1 PD30NOV17	6801.50	6509.40	USD	7,302.50	
31AUG17	S 3 PD30NOV17	6787.50	6509.40	USD	20,857.50	
31AUG17	S 6 PD30NOV17	6786.50	6509.40	USD	41,565.00	
31AUG17	S 3 PD30NOV17	6783.00	6509.40	USD	20,520.00	
31AUG17	S 1 PD30NOV17	6787.00	6509.40	USD	6,940.00	
31AUG17	B 2 PD30NOV17	6799.50	6509.40	USD	-14,505.00	
31AUG17	B 1 PD30NOV17	6799.00	6509.40	USD	-7,240.00	
31AUG17	B 3 PD30NOV17	6797.50	6509.40	USD	-21,607.50	
31AUG17	B 1 PD30NOV17	6800.00	6509.40	USD	-7,265.00	
31AUG17	B 3 PD30NOV17	6801.00	6509.40	USD	-21,870.00	
31AUG17	B 5 PD30NOV17	6798.50	6509.40	USD	-36,137.50	
31AUG17	B 1 PD30NOV17	6798.00	6509.40	USD	-7,215.00	
31AUG17	B 1 PD30NOV17	6797.00	6509.40	USD	-7,190.00	
31AUG17	B 1 PD30NOV17	6794.50	6509.40	USD	-7,127.50	
31AUG17	B 1 PD30NOV17	6792.00	6509.40	USD	-7,065.00	
31AUG17	B 1 PD30NOV17	6790.50	6509.40	USD	-7,027.50	
31AUG17	B 1 PD30NOV17	6789.50	6509.40	USD	-7,002.50	
31AUG17	B 2 PD30NOV17	6791.00	6509.40	USD	-14,080.00	
31AUG17	B 4 PD30NOV17	6790.00	6509.40	USD	-28,060.00	
31AUG17	B 3 PD30NOV17	6789.00	6509.40	USD	-20,970.00	
31AUG17	B 1 PD30NOV17	6787.50	6509.40	USD	-6,952.50	
31AUG17	B 2 PD30NOV17	6791.00	6509.40	USD	-14,080.00	
04SEP17	S 45 PD30NOV17	6915.42	6509.40	USD	456,772.50	
05SEP17	S 20 PD30NOV17	6897.98	6509.40	USD	194,290.00	
06SEP17	S 5 PD30NOV17	6898.75	6509.40	USD	48,668.75	
20SEP17	S 30 PD30NOV17	6519.07	6509.40	USD	7,252.50	
28SEP17	S 20 PD30NOV17	6512.17	6509.40	USD	1,385.00	
	NET 0 AVG BGHT 6796.06 SOLD 6758.38				**-159,181.25**	**0.00**
01SEP17	S 2 PD01DEC17	6807.50	6510.00	USD	14,875.00	
01SEP17	S 1 PD01DEC17	6804.00	6510.00	USD	7,350.00	
01SEP17	B 1 PD01DEC17	6805.00	6510.00	USD	-7,375.00	
01SEP17	B 1 PD01DEC17	6809.50	6510.00	USD	-7,487.50	
01SEP17	B 2 PD01DEC17	6808.50	6510.00	USD	-14,925.00	
01SEP17	B 1 PD01DEC17	6808.00	6510.00	USD	-7,450.00	
01SEP17	S 1 PD01DEC17	6808.00	6510.00	USD	7,450.00	
01SEP17	B 1 PD01DEC17	6808.00	6510.00	USD	-7,450.00	
01SEP17	S 1 PD01DEC17	6798.00	6510.00	USD	7,200.00	
01SEP17	S 1 PD01DEC17	6784.50	6510.00	USD	6,862.50	
01SEP17	S 2 PD01DEC17	6787.00	6510.00	USD	13,850.00	
01SEP17	S 3 PD01DEC17	6780.50	6510.00	USD	20,287.50	
01SEP17	S 2 PD01DEC17	6788.00	6510.00	USD	13,900.00	
01SEP17	S 1 PD01DEC17	6816.00	6510.00	USD	7,650.00	
01SEP17	S 2 PD01DEC17	6818.00	6510.00	USD	15,400.00	
01SEP17	S 2 PD01DEC17	6817.50	6510.00	USD	15,375.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097Q

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
01SEP17	S 1 PD01DEC17	6814.00	6510.00	USD	7,600.00	
01SEP17	S 1 PD01DEC17	6812.50	6510.00	USD	7,562.50	
01SEP17	S 7 PD01DEC17	6808.00	6510.00	USD	52,150.00	
01SEP17	S 1 PD01DEC17	6808.50	6510.00	USD	7,462.50	
01SEP17	S 1 PD01DEC17	6809.00	6510.00	USD	7,475.00	
01SEP17	S 1 PD01DEC17	6819.00	6510.00	USD	7,725.00	
01SEP17	S 1 PD01DEC17	6823.50	6510.00	USD	7,837.50	
01SEP17	S 1 PD01DEC17	6822.50	6510.00	USD	7,812.50	
01SEP17	S 3 PD01DEC17	6820.00	6510.00	USD	23,250.00	
01SEP17	S 3 PD01DEC17	6822.00	6510.00	USD	23,400.00	
01SEP17	S 3 PD01DEC17	6821.00	6510.00	USD	23,325.00	
01SEP17	S 4 PD01DEC17	6835.50	6510.00	USD	32,550.00	
01SEP17	S 2 PD01DEC17	6835.00	6510.00	USD	16,250.00	
01SEP17	S 2 PD01DEC17	6836.50	6510.00	USD	16,325.00	
01SEP17	S 2 PD01DEC17	6837.50	6510.00	USD	16,375.00	
04SEP17	B 45 PD01DEC17	6916.01	6510.00	USD	-456,761.25	
	NET 0 AVG BGHT 6903.29 SOLD 6813.76				**-114,148.75**	**0.00**
04SEP17	B 1 PD04DEC17	6890.00	6511.29	USD	-9,467.75	
04SEP17	B 2 PD04DEC17	6889.00	6511.29	USD	-18,885.50	
04SEP17	B 1 PD04DEC17	6888.00	6511.29	USD	-9,417.75	
04SEP17	S 1 PD04DEC17	6899.00	6511.29	USD	9,692.75	
04SEP17	S 1 PD04DEC17	6900.00	6511.29	USD	9,717.75	
04SEP17	S 2 PD04DEC17	6902.00	6511.29	USD	19,535.50	
04SEP17	S 18 PD04DEC17	6917.00	6511.29	USD	182,569.50	
04SEP17	S 1 PD04DEC17	6919.50	6511.29	USD	10,205.25	
04SEP17	S 1 PD04DEC17	6917.00	6511.29	USD	10,142.75	
05SEP17	B 20 PD04DEC17	6899.80	6511.29	USD	-194,255.00	
	NET 0 AVG BGHT 6898.00 SOLD 6914.40				**9,837.50**	**0.00**
05SEP17	B 1 PD05DEC17	6958.00	6511.71	USD	-11,157.25	
05SEP17	B 10 PD05DEC17	6959.00	6511.71	USD	-111,822.50	
05SEP17	B 2 PD05DEC17	6967.50	6511.71	USD	-22,789.50	
05SEP17	B 1 PD05DEC17	6966.50	6511.71	USD	-11,369.75	
05SEP17	B 1 PD05DEC17	6964.00	6511.71	USD	-11,307.25	
05SEP17	B 4 PD05DEC17	6954.50	6511.71	USD	-44,279.00	
05SEP17	B 1 PD05DEC17	6953.50	6511.71	USD	-11,044.75	
05SEP17	S 1 PD05DEC17	6918.00	6511.71	USD	10,157.25	
05SEP17	S 1 PD05DEC17	6919.50	6511.71	USD	10,194.75	
05SEP17	S 1 PD05DEC17	6931.50	6511.71	USD	10,494.75	
05SEP17	S 1 PD05DEC17	6928.50	6511.71	USD	10,419.75	
05SEP17	S 4 PD05DEC17	6927.00	6511.71	USD	41,529.00	
05SEP17	B 1 PD05DEC17	6927.50	6511.71	USD	-10,394.75	
05SEP17	S 3 PD05DEC17	6926.00	6511.71	USD	31,071.75	
05SEP17	S 5 PD05DEC17	6928.00	6511.71	USD	52,036.25	
05SEP17	B 1 PD05DEC17	6927.00	6511.71	USD	-10,382.25	
05SEP17	S 4 PD05DEC17	6927.50	6511.71	USD	41,579.00	
05SEP17	B 2 PD05DEC17	6926.50	6511.71	USD	-20,739.50	
05SEP17	B 1 PD05DEC17	6925.50	6511.71	USD	-10,344.75	
05SEP17	S 2 PD05DEC17	6895.00	6511.71	USD	19,164.50	
05SEP17	S 1 PD05DEC17	6899.50	6511.71	USD	9,694.75	
05SEP17	S 1 PD05DEC17	6894.00	6511.71	USD	9,557.25	
05SEP17	B 1 PD05DEC17	6885.00	6511.71	USD	-9,332.25	
05SEP17	B 1 PD05DEC17	6886.00	6511.71	USD	-9,357.25	
05SEP17	B 2 PD05DEC17	6888.50	6511.71	USD	-18,839.50	
05SEP17	B 2 PD05DEC17	6888.00	6511.71	USD	-18,814.50	
05SEP17	S 1 PD05DEC17	6871.50	6511.71	USD	8,994.75	
05SEP17	S 2 PD05DEC17	6864.00	6511.71	USD	17,614.50	
05SEP17	S 1 PD05DEC17	6867.00	6511.71	USD	8,882.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097Q

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
05SEP17	B 1 PD05DEC17	6856.00	6511.71	USD	-8,607.25	
05SEP17	B 2 PD05DEC17	6855.50	6511.71	USD	-17,189.50	
05SEP17	S 1 PD05DEC17	6835.00	6511.71	USD	8,082.25	
05SEP17	S 1 PD05DEC17	6841.50	6511.71	USD	8,244.75	
05SEP17	S 1 PD05DEC17	6842.50	6511.71	USD	8,269.75	
05SEP17	S 1 PD05DEC17	6848.00	6511.71	USD	8,407.25	
05SEP17	S 1 PD05DEC17	6850.50	6511.71	USD	8,469.75	
05SEP17	S 1 PD05DEC17	6851.00	6511.71	USD	8,482.25	
05SEP17	S 2 PD05DEC17	6847.50	6511.71	USD	16,789.50	
05SEP17	S 1 PD05DEC17	6899.50	6511.71	USD	9,694.75	
05SEP17	S 1 PD05DEC17	6848.00	6511.71	USD	8,407.25	
05SEP17	S 1 PD05DEC17	6847.50	6511.71	USD	8,394.75	
06SEP17	B 5 PD05DEC17	6900.83	6511.71	USD	-48,640.00	
	NET 0 AVG BGHT 6928.54 SOLD 6895.95				**-31,778.75**	**0.00**
06SEP17	S 3 PD06DEC17	6901.50	6512.14	USD	29,202.00	
06SEP17	S 1 PD06DEC17	6896.00	6512.14	USD	9,596.50	
06SEP17	S 4 PD06DEC17	6898.00	6512.14	USD	38,586.00	
06SEP17	S 5 PD06DEC17	6898.50	6512.14	USD	48,295.00	
06SEP17	S 1 PD06DEC17	6899.50	6512.14	USD	9,684.00	
06SEP17	S 1 PD06DEC17	6900.00	6512.14	USD	9,696.50	
06SEP17	S 2 PD06DEC17	6908.00	6512.14	USD	19,793.00	
06SEP17	S 1 PD06DEC17	6907.00	6512.14	USD	9,871.50	
06SEP17	S 2 PD06DEC17	6910.50	6512.14	USD	19,918.00	
06SEP17	S 4 PD06DEC17	6911.00	6512.14	USD	39,886.00	
06SEP17	S 2 PD06DEC17	6910.00	6512.14	USD	19,893.00	
06SEP17	S 1 PD06DEC17	6908.50	6512.14	USD	9,909.00	
06SEP17	S 1 PD06DEC17	6906.50	6512.14	USD	9,859.00	
06SEP17	S 3 PD06DEC17	6909.50	6512.14	USD	29,802.00	
06SEP17	B 1 PD06DEC17	6913.00	6512.14	USD	-10,021.50	
06SEP17	B 2 PD06DEC17	6911.50	6512.14	USD	-19,968.00	
06SEP17	B 1 PD06DEC17	6912.50	6512.14	USD	-10,009.00	
06SEP17	B 7 PD06DEC17	6897.50	6512.14	USD	-67,438.00	
06SEP17	B 1 PD06DEC17	6898.50	6512.14	USD	-9,659.00	
06SEP17	B 4 PD06DEC17	6897.00	6512.14	USD	-38,486.00	
06SEP17	B 1 PD06DEC17	6891.50	6512.14	USD	-9,484.00	
06SEP17	B 1 PD06DEC17	6887.50	6512.14	USD	-9,384.00	
06SEP17	B 4 PD06DEC17	6888.50	6512.14	USD	-37,636.00	
06SEP17	B 1 PD06DEC17	6885.50	6512.14	USD	-9,334.00	
06SEP17	B 1 PD06DEC17	6886.50	6512.14	USD	-9,359.00	
06SEP17	B 5 PD06DEC17	6887.00	6512.14	USD	-46,857.50	
06SEP17	B 2 PD06DEC17	6896.00	6512.14	USD	-19,193.00	
06SEP17	B 1 PD06DEC17	6893.00	6512.14	USD	-9,521.50	
06SEP17	B 2 PD06DEC17	6895.00	6512.14	USD	-19,143.00	
06SEP17	B 1 PD06DEC17	6894.00	6512.14	USD	-9,546.50	
06SEP17	B 4 PD06DEC17	6896.50	6512.14	USD	-38,436.00	
06SEP17	B 3 PD06DEC17	6884.00	6512.14	USD	-27,889.50	
06SEP17	B 2 PD06DEC17	6885.00	6512.14	USD	-18,643.00	
06SEP17	B 1 PD06DEC17	6913.50	6512.14	USD	-10,034.00	
06SEP17	B 2 PD06DEC17	6880.50	6512.14	USD	-18,418.00	
06SEP17	S 2 PD06DEC17	6886.00	6512.14	USD	18,693.00	
06SEP17	S 1 PD06DEC17	6887.00	6512.14	USD	9,371.50	
06SEP17	S 1 PD06DEC17	6888.00	6512.14	USD	9,396.50	
06SEP17	S 1 PD06DEC17	6889.00	6512.14	USD	9,421.50	
06SEP17	S 2 PD06DEC17	6894.50	6512.14	USD	19,118.00	
06SEP17	S 1 PD06DEC17	6895.50	6512.14	USD	9,584.00	
06SEP17	S 1 PD06DEC17	6893.00	6512.14	USD	9,521.50	
06SEP17	S 1 PD06DEC17	6892.00	6512.14	USD	9,496.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097Q

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
06SEP17	S 1 PD06DEC17	6888.50	6512.14	USD	9,409.00	
06SEP17	S 3 PD06DEC17	6885.00	6512.14	USD	27,964.50	
06SEP17	S 2 PD06DEC17	6890.50	6512.14	USD	18,918.00	
06SEP17	S 3 PD06DEC17	6892.50	6512.14	USD	28,527.00	
06SEP17	S 1 PD06DEC17	6883.00	6512.14	USD	9,271.50	
06SEP17	S 1 PD06DEC17	6879.00	6512.14	USD	9,171.50	
06SEP17	B 3 PD06DEC17	6885.00	6512.14	USD	-27,964.50	
06SEP17	S 1 PD06DEC17	6887.00	6512.14	USD	9,371.50	
06SEP17	S 2 PD06DEC17	6885.00	6512.14	USD	18,643.00	
06SEP17	B 3 PD06DEC17	6917.00	6512.14	USD	-30,364.50	
06SEP17	B 2 PD06DEC17	6917.00	6512.14	USD	-20,243.00	
	NET 0 AVG BGHT 6895.44 SOLD 6897.50				**2,837.50**	**0.00**
07SEP17	S 5 PD07DEC17	6875.00	6512.57	USD	45,303.75	
07SEP17	B 1 PD07DEC17	6873.00	6512.57	USD	-9,010.75	
07SEP17	B 1 PD07DEC17	6866.50	6512.57	USD	-8,848.25	
07SEP17	S 1 PD07DEC17	6879.50	6512.57	USD	9,173.25	
07SEP17	S 1 PD07DEC17	6881.00	6512.57	USD	9,210.75	
07SEP17	B 1 PD07DEC17	6879.00	6512.57	USD	-9,160.75	
07SEP17	B 6 PD07DEC17	6878.50	6512.57	USD	-54,889.50	
07SEP17	B 1 PD07DEC17	6878.00	6512.57	USD	-9,135.75	
07SEP17	B 4 PD07DEC17	6877.50	6512.57	USD	-36,493.00	
07SEP17	S 1 PD07DEC17	6877.50	6512.57	USD	9,123.25	
07SEP17	B 1 PD07DEC17	6879.50	6512.57	USD	-9,173.25	
07SEP17	B 1 PD07DEC17	6881.00	6512.57	USD	-9,210.75	
07SEP17	B 2 PD07DEC17	6869.50	6512.57	USD	-17,846.50	
07SEP17	B 1 PD07DEC17	6861.00	6512.57	USD	-8,710.75	
07SEP17	B 1 PD07DEC17	6857.00	6512.57	USD	-8,610.75	
07SEP17	B 1 PD07DEC17	6862.50	6512.57	USD	-8,748.25	
07SEP17	B 1 PD07DEC17	6862.00	6512.57	USD	-8,735.75	
07SEP17	B 3 PD07DEC17	6863.00	6512.57	USD	-26,282.25	
07SEP17	B 3 PD07DEC17	6864.00	6512.57	USD	-26,357.25	
07SEP17	B 1 PD07DEC17	6865.50	6512.57	USD	-8,823.25	
07SEP17	B 1 PD07DEC17	6867.50	6512.57	USD	-8,873.25	
07SEP17	B 2 PD07DEC17	6868.00	6512.57	USD	-17,771.50	
07SEP17	B 1 PD07DEC17	6870.00	6512.57	USD	-8,935.75	
07SEP17	S 1 PD07DEC17	6877.00	6512.57	USD	9,110.75	
07SEP17	S 1 PD07DEC17	6878.50	6512.57	USD	9,148.25	
07SEP17	S 4 PD07DEC17	6900.00	6512.57	USD	38,743.00	
07SEP17	S 3 PD07DEC17	6901.00	6512.57	USD	29,132.25	
07SEP17	S 6 PD07DEC17	6900.50	6512.57	USD	58,189.50	
07SEP17	S 1 PD07DEC17	6898.00	6512.57	USD	9,635.75	
07SEP17	S 1 PD07DEC17	6897.00	6512.57	USD	9,610.75	
07SEP17	S 1 PD07DEC17	6896.50	6512.57	USD	9,598.25	
07SEP17	S 1 PD07DEC17	6895.50	6512.57	USD	9,573.25	
07SEP17	S 1 PD07DEC17	6896.00	6512.57	USD	9,585.75	
07SEP17	S 1 PD07DEC17	6902.00	6512.57	USD	9,735.75	
07SEP17	S 1 PD07DEC17	6893.50	6512.57	USD	9,523.25	
07SEP17	S 1 PD07DEC17	6881.50	6512.57	USD	9,223.25	
07SEP17	S 1 PD07DEC17	6880.00	6512.57	USD	9,185.75	
07SEP17	S 1 PD07DEC17	6882.50	6512.57	USD	9,248.25	
07SEP17	S 1 PD07DEC17	6898.00	6512.57	USD	9,635.75	
07SEP17	S 1 PD07DEC17	6896.50	6512.57	USD	9,598.25	
07SEP17	S 2 PD07DEC17	6897.00	6512.57	USD	19,221.50	
07SEP17	S 1 PD07DEC17	6886.50	6512.57	USD	9,348.25	
07SEP17	B 1 PD07DEC17	6893.00	6512.57	USD	-9,510.75	
07SEP17	B 1 PD07DEC17	6896.00	6512.57	USD	-9,585.75	
07SEP17	B 1 PD07DEC17	6897.00	6512.57	USD	-9,610.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097Q

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
07SEP17	B 1 PD07DEC17	6902.00	6512.57	USD	-9,735.75	
07SEP17	B 1 PD07DEC17	6901.00	6512.57	USD	-9,710.75	
07SEP17	S 1 PD07DEC17	6903.50	6512.57	USD	9,773.25	
07SEP17	S 1 PD07DEC17	6906.00	6512.57	USD	9,835.75	
07SEP17	B 1 PD07DEC17	6899.00	6512.57	USD	-9,660.75	
07SEP17	B 1 PD07DEC17	6898.50	6512.57	USD	-9,648.25	
	NET 0 AVG BGHT 6875.65 SOLD 6892.04				**16,387.50**	**0.00**
08SEP17	S 1 PD08DEC17	6911.50	6513.00	USD	9,962.50	
08SEP17	S 1 PD08DEC17	6900.00	6513.00	USD	9,675.00	
08SEP17	S 2 PD08DEC17	6899.00	6513.00	USD	19,300.00	
08SEP17	B 1 PD08DEC17	6850.50	6513.00	USD	-8,437.50	
08SEP17	B 2 PD08DEC17	6850.00	6513.00	USD	-16,850.00	
08SEP17	B 1 PD08DEC17	6849.50	6513.00	USD	-8,412.50	
08SEP17	B 1 PD08DEC17	6848.50	6513.00	USD	-8,387.50	
08SEP17	B 1 PD08DEC17	6846.00	6513.00	USD	-8,325.00	
08SEP17	B 1 PD08DEC17	6844.50	6513.00	USD	-8,287.50	
08SEP17	B 4 PD08DEC17	6845.50	6513.00	USD	-33,250.00	
08SEP17	B 1 PD08DEC17	6853.00	6513.00	USD	-8,500.00	
08SEP17	B 3 PD08DEC17	6851.00	6513.00	USD	-25,350.00	
08SEP17	S 2 PD08DEC17	6847.00	6513.00	USD	16,700.00	
08SEP17	S 2 PD08DEC17	6840.50	6513.00	USD	16,375.00	
08SEP17	S 1 PD08DEC17	6840.00	6513.00	USD	8,175.00	
08SEP17	S 1 PD08DEC17	6838.50	6513.00	USD	8,137.50	
08SEP17	S 5 PD08DEC17	6835.00	6513.00	USD	40,250.00	
08SEP17	S 1 PD08DEC17	6834.50	6513.00	USD	8,037.50	
08SEP17	S 3 PD08DEC17	6833.00	6513.00	USD	24,000.00	
08SEP17	S 2 PD08DEC17	6824.00	6513.00	USD	15,550.00	
08SEP17	B 1 PD08DEC17	6829.00	6513.00	USD	-7,900.00	
08SEP17	B 1 PD08DEC17	6822.00	6513.00	USD	-7,725.00	
08SEP17	B 1 PD08DEC17	6819.00	6513.00	USD	-7,650.00	
08SEP17	B 1 PD08DEC17	6825.50	6513.00	USD	-7,812.50	
08SEP17	B 1 PD08DEC17	6818.00	6513.00	USD	-7,625.00	
08SEP17	B 1 PD08DEC17	6815.50	6513.00	USD	-7,562.50	
08SEP17	B 1 PD08DEC17	6814.50	6513.00	USD	-7,537.50	
08SEP17	B 1 PD08DEC17	6821.50	6513.00	USD	-7,712.50	
08SEP17	B 3 PD08DEC17	6824.50	6513.00	USD	-23,362.50	
08SEP17	S 1 PD08DEC17	6824.50	6513.00	USD	7,787.50	
08SEP17	B 1 PD08DEC17	6825.00	6513.00	USD	-7,800.00	
08SEP17	B 1 PD08DEC17	6812.50	6513.00	USD	-7,487.50	
08SEP17	B 1 PD08DEC17	6807.00	6513.00	USD	-7,350.00	
08SEP17	B 1 PD08DEC17	6803.50	6513.00	USD	-7,262.50	
08SEP17	B 1 PD08DEC17	6801.50	6513.00	USD	-7,212.50	
08SEP17	S 3 PD08DEC17	6801.00	6513.00	USD	21,600.00	
08SEP17	B 1 PD08DEC17	6808.50	6513.00	USD	-7,387.50	
08SEP17	B 1 PD08DEC17	6811.00	6513.00	USD	-7,450.00	
08SEP17	B 1 PD08DEC17	6799.00	6513.00	USD	-7,150.00	
08SEP17	B 1 PD08DEC17	6796.50	6513.00	USD	-7,087.50	
08SEP17	B 1 PD08DEC17	6794.50	6513.00	USD	-7,037.50	
08SEP17	B 3 PD08DEC17	6794.00	6513.00	USD	-21,075.00	
08SEP17	B 1 PD08DEC17	6805.50	6513.00	USD	-7,312.50	
08SEP17	B 1 PD08DEC17	6805.00	6513.00	USD	-7,300.00	
08SEP17	B 1 PD08DEC17	6797.50	6513.00	USD	-7,112.50	
08SEP17	B 2 PD08DEC17	6800.00	6513.00	USD	-14,350.00	
08SEP17	B 1 PD08DEC17	6798.00	6513.00	USD	-7,125.00	
08SEP17	B 1 PD08DEC17	6797.00	6513.00	USD	-7,100.00	
08SEP17	B 4 PD08DEC17	6796.00	6513.00	USD	-28,300.00	
08SEP17	B 2 PD08DEC17	6799.50	6513.00	USD	-14,325.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097Q

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
08SEP17	S 1 PD08DEC17	6900.00	6513.00	USD	9,675.00	
08SEP17	S 1 PD08DEC17	6839.00	6513.00	USD	8,150.00	
08SEP17	S 1 PD08DEC17	6736.00	6513.00	USD	5,575.00	
08SEP17	S 2 PD08DEC17	6738.00	6513.00	USD	11,250.00	
08SEP17	S 1 PD08DEC17	6743.50	6513.00	USD	5,762.50	
08SEP17	S 4 PD08DEC17	6745.50	6513.00	USD	23,250.00	
08SEP17	S 1 PD08DEC17	6747.00	6513.00	USD	5,850.00	
08SEP17	S 1 PD08DEC17	6749.50	6513.00	USD	5,912.50	
08SEP17	S 1 PD08DEC17	6753.50	6513.00	USD	6,012.50	
08SEP17	S 1 PD08DEC17	6749.00	6513.00	USD	5,900.00	
08SEP17	S 3 PD08DEC17	6746.00	6513.00	USD	17,475.00	
08SEP17	B 1 PD08DEC17	6713.00	6513.00	USD	-5,000.00	
08SEP17	S 1 PD08DEC17	6719.50	6513.00	USD	5,162.50	
08SEP17	S 1 PD08DEC17	6706.00	6513.00	USD	4,825.00	
08SEP17	B 1 PD08DEC17	6703.50	6513.00	USD	-4,762.50	
08SEP17	B 2 PD08DEC17	6697.50	6513.00	USD	-9,225.00	
08SEP17	B 3 PD08DEC17	6698.00	6513.00	USD	-13,875.00	
08SEP17	B 2 PD08DEC17	6695.50	6513.00	USD	-9,125.00	
08SEP17	B 7 PD08DEC17	6695.00	6513.00	USD	-31,850.00	
08SEP17	S 4 PD08DEC17	6683.00	6513.00	USD	17,000.00	
08SEP17	S 1 PD08DEC17	6680.50	6513.00	USD	4,187.50	
08SEP17	S 1 PD08DEC17	6673.00	6513.00	USD	4,000.00	
08SEP17	S 1 PD08DEC17	6673.50	6513.00	USD	4,012.50	
08SEP17	S 3 PD08DEC17	6675.00	6513.00	USD	12,150.00	
08SEP17	S 1 PD08DEC17	6670.50	6513.00	USD	3,937.50	
08SEP17	S 2 PD08DEC17	6672.00	6513.00	USD	7,950.00	
08SEP17	S 2 PD08DEC17	6672.50	6513.00	USD	7,975.00	
08SEP17	S 1 PD08DEC17	6677.00	6513.00	USD	4,100.00	
08SEP17	S 2 PD08DEC17	6678.50	6513.00	USD	8,275.00	
08SEP17	S 3 PD08DEC17	6677.50	6513.00	USD	12,337.50	
08SEP17	S 1 PD08DEC17	6680.00	6513.00	USD	4,175.00	
08SEP17	S 1 PD08DEC17	6681.50	6513.00	USD	4,212.50	
08SEP17	S 1 PD08DEC17	6682.00	6513.00	USD	4,225.00	
08SEP17	S 2 PD08DEC17	6684.00	6513.00	USD	8,550.00	
08SEP17	S 1 PD08DEC17	6686.50	6513.00	USD	4,337.50	
08SEP17	S 4 PD08DEC17	6675.50	6513.00	USD	16,250.00	
08SEP17	B 1 PD08DEC17	6697.00	6513.00	USD	-4,600.00	
08SEP17	B 1 PD08DEC17	6673.00	6513.00	USD	-4,000.00	
13SEP17	B 5 PD08DEC17	6551.46	6513.00	USD	-4,807.50	
	NET 0 AVG BGHT 6771.75 SOLD 6751.95				**-37,132.50**	**0.00**
11SEP17	B 4 PD11DEC17	6708.50	6514.19	USD	-19,431.00	
11SEP17	B 1 PD11DEC17	6705.00	6514.19	USD	-4,770.25	
11SEP17	B 1 PD11DEC17	6731.50	6514.19	USD	-5,432.75	
11SEP17	B 2 PD11DEC17	6732.50	6514.19	USD	-10,915.50	
11SEP17	B 2 PD11DEC17	6733.50	6514.19	USD	-10,965.50	
11SEP17	B 5 PD11DEC17	6735.00	6514.19	USD	-27,601.25	
11SEP17	S 1 PD11DEC17	6758.00	6514.19	USD	6,095.25	
11SEP17	S 2 PD11DEC17	6769.00	6514.19	USD	12,740.50	
11SEP17	S 1 PD11DEC17	6766.50	6514.19	USD	6,307.75	
11SEP17	S 1 PD11DEC17	6765.50	6514.19	USD	6,282.75	
11SEP17	S 1 PD11DEC17	6766.00	6514.19	USD	6,295.25	
11SEP17	S 1 PD11DEC17	6761.00	6514.19	USD	6,170.25	
11SEP17	B 3 PD11DEC17	6766.00	6514.19	USD	-18,885.75	
11SEP17	B 2 PD11DEC17	6771.00	6514.19	USD	-12,840.50	
11SEP17	B 1 PD11DEC17	6771.50	6514.19	USD	-6,432.75	
11SEP17	B 3 PD11DEC17	6765.00	6514.19	USD	-18,810.75	
11SEP17	B 1 PD11DEC17	6764.50	6514.19	USD	-6,257.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097Q

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
11SEP17	B 2 PD11DEC17	6763.50	6514.19	USD	-12,465.50	
11SEP17	B 4 PD11DEC17	6761.50	6514.19	USD	-24,731.00	
11SEP17	B 1 PD11DEC17	6760.50	6514.19	USD	-6,157.75	
11SEP17	B 1 PD11DEC17	6760.00	6514.19	USD	-6,145.25	
11SEP17	B 3 PD11DEC17	6763.00	6514.19	USD	-18,660.75	
11SEP17	B 3 PD11DEC17	6762.50	6514.19	USD	-18,623.25	
11SEP17	B 2 PD11DEC17	6761.00	6514.19	USD	-12,340.50	
11SEP17	S 1 PD11DEC17	6770.00	6514.19	USD	6,395.25	
11SEP17	S 2 PD11DEC17	6769.00	6514.19	USD	12,740.50	
11SEP17	S 1 PD11DEC17	6766.50	6514.19	USD	6,307.75	
11SEP17	B 1 PD11DEC17	6747.00	6514.19	USD	-5,820.25	
11SEP17	B 1 PD11DEC17	6745.50	6514.19	USD	-5,782.75	
11SEP17	B 5 PD11DEC17	6749.50	6514.19	USD	-29,413.75	
11SEP17	B 2 PD11DEC17	6748.50	6514.19	USD	-11,715.50	
11SEP17	B 1 PD11DEC17	6751.00	6514.19	USD	-5,920.25	
11SEP17	S 3 PD11DEC17	6747.00	6514.19	USD	17,460.75	
11SEP17	S 1 PD11DEC17	6749.00	6514.19	USD	5,870.25	
11SEP17	S 1 PD11DEC17	6748.00	6514.19	USD	5,845.25	
11SEP17	S 1 PD11DEC17	6746.00	6514.19	USD	5,795.25	
11SEP17	S 2 PD11DEC17	6746.50	6514.19	USD	11,615.50	
11SEP17	S 2 PD11DEC17	6744.50	6514.19	USD	11,515.50	
13SEP17	S 5 PD11DEC17	6552.55	6514.19	USD	4,795.00	
13SEP17	S 10 PD11DEC17	6552.75	6514.19	USD	9,640.00	
18SEP17	S 15 PD11DEC17	6523.66	6514.19	USD	3,551.25	
	NET 0 AVG BGHT 6749.58 SOLD 6628.25				**-154,696.25**	**0.00**
12SEP17	S 3 PD12DEC17	6709.50	6514.58	USD	14,619.00	
12SEP17	S 2 PD12DEC17	6707.50	6514.58	USD	9,646.00	
12SEP17	S 2 PD12DEC17	6708.00	6514.58	USD	9,671.00	
12SEP17	S 3 PD12DEC17	6708.50	6514.58	USD	14,544.00	
12SEP17	B 1 PD12DEC17	6730.00	6514.58	USD	-5,385.50	
12SEP17	B 1 PD12DEC17	6729.50	6514.58	USD	-5,373.00	
12SEP17	B 2 PD12DEC17	6729.00	6514.58	USD	-10,721.00	
12SEP17	B 3 PD12DEC17	6728.50	6514.58	USD	-16,044.00	
12SEP17	B 3 PD12DEC17	6728.00	6514.58	USD	-16,006.50	
12SEP17	B 1 PD12DEC17	6698.00	6514.58	USD	-4,585.50	
12SEP17	B 1 PD12DEC17	6699.50	6514.58	USD	-4,623.00	
12SEP17	B 1 PD12DEC17	6697.00	6514.58	USD	-4,560.50	
12SEP17	B 1 PD12DEC17	6695.50	6514.58	USD	-4,523.00	
12SEP17	B 2 PD12DEC17	6689.50	6514.58	USD	-8,746.00	
12SEP17	B 2 PD12DEC17	6692.00	6514.58	USD	-8,871.00	
12SEP17	B 1 PD12DEC17	6688.00	6514.58	USD	-4,335.50	
12SEP17	B 4 PD12DEC17	6691.00	6514.58	USD	-17,642.00	
12SEP17	B 2 PD12DEC17	6691.00	6514.58	USD	-8,821.00	
12SEP17	S 1 PD12DEC17	6641.00	6514.58	USD	3,160.50	
12SEP17	S 1 PD12DEC17	6647.50	6514.58	USD	3,323.00	
12SEP17	S 1 PD12DEC17	6648.50	6514.58	USD	3,348.00	
12SEP17	S 1 PD12DEC17	6647.00	6514.58	USD	3,310.50	
12SEP17	S 2 PD12DEC17	6648.00	6514.58	USD	6,671.00	
12SEP17	S 1 PD12DEC17	6646.00	6514.58	USD	3,285.50	
12SEP17	S 4 PD12DEC17	6645.00	6514.58	USD	13,042.00	
12SEP17	S 2 PD12DEC17	6642.50	6514.58	USD	6,396.00	
12SEP17	S 1 PD12DEC17	6640.50	6514.58	USD	3,148.00	
12SEP17	S 2 PD12DEC17	6644.50	6514.58	USD	6,496.00	
12SEP17	S 7 PD12DEC17	6645.50	6514.58	USD	22,911.00	
12SEP17	B 1 PD12DEC17	6646.50	6514.58	USD	-3,298.00	
12SEP17	S 13 PD12DEC17	6646.50	6514.58	USD	42,874.00	
12SEP17	S 3 PD12DEC17	6656.00	6514.58	USD	10,606.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097Q

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
12SEP17	S 1 PD12DEC17	6654.00	6514.58	USD	3,485.50	
12SEP17	S 2 PD12DEC17	6652.50	6514.58	USD	6,896.00	
12SEP17	S 1 PD12DEC17	6665.50	6514.58	USD	3,773.00	
12SEP17	S 1 PD12DEC17	6665.00	6514.58	USD	3,760.50	
12SEP17	S 1 PD12DEC17	6666.50	6514.58	USD	3,798.00	
12SEP17	S 1 PD12DEC17	6676.50	6514.58	USD	4,048.00	
12SEP17	S 1 PD12DEC17	6675.50	6514.58	USD	4,023.00	
12SEP17	S 2 PD12DEC17	6672.50	6514.58	USD	7,896.00	
12SEP17	S 3 PD12DEC17	6673.00	6514.58	USD	11,881.50	
12SEP17	S 1 PD12DEC17	6675.00	6514.58	USD	4,010.50	
12SEP17	S 2 PD12DEC17	6673.50	6514.58	USD	7,946.00	
12SEP17	S 3 PD12DEC17	6655.50	6514.58	USD	10,569.00	
12SEP17	B 1 PD12DEC17	6657.50	6514.58	USD	-3,573.00	
12SEP17	S 3 PD12DEC17	6660.00	6514.58	USD	10,906.50	
12SEP17	S 1 PD12DEC17	6654.00	6514.58	USD	3,485.50	
12SEP17	B 7 PD12DEC17	6673.50	6514.58	USD	-27,811.00	
12SEP17	B 5 PD12DEC17	6669.00	6514.58	USD	-19,302.50	
12SEP17	B 5 PD12DEC17	6668.50	6514.58	USD	-19,240.00	
12SEP17	B 4 PD12DEC17	6674.00	6514.58	USD	-15,942.00	
12SEP17	B 3 PD12DEC17	6672.50	6514.58	USD	-11,844.00	
12SEP17	B 8 PD12DEC17	6670.00	6514.58	USD	-31,084.00	
12SEP17	S 1 PD12DEC17	6669.50	6514.58	USD	3,873.00	
12SEP17	B 3 PD12DEC17	6670.50	6514.58	USD	-11,694.00	
12SEP17	B 2 PD12DEC17	6671.00	6514.58	USD	-7,821.00	
12SEP17	S 3 PD12DEC17	6670.50	6514.58	USD	11,694.00	
12SEP17	B 2 PD12DEC17	6674.00	6514.58	USD	-7,971.00	
13SEP17	B 10 PD12DEC17	6553.08	6514.58	USD	-9,625.00	
	NET 0 AVG BGHT 6666.92 SOLD 6661.47				**-10,345.00**	**0.00**
13SEP17	S 1 PD13DEC17	6657.00	6514.98	USD	3,550.50	
13SEP17	S 2 PD13DEC17	6652.00	6514.98	USD	6,851.00	
13SEP17	S 1 PD13DEC17	6646.00	6514.98	USD	3,275.50	
13SEP17	S 1 PD13DEC17	6643.50	6514.98	USD	3,213.00	
13SEP17	B 1 PD13DEC17	6624.00	6514.98	USD	-2,725.50	
13SEP17	B 1 PD13DEC17	6620.50	6514.98	USD	-2,638.00	
13SEP17	B 1 PD13DEC17	6616.50	6514.98	USD	-2,538.00	
13SEP17	B 2 PD13DEC17	6623.00	6514.98	USD	-5,401.00	
13SEP17	B 1 PD13DEC17	6629.00	6514.98	USD	-2,850.50	
13SEP17	B 2 PD13DEC17	6619.00	6514.98	USD	-5,201.00	
13SEP17	B 1 PD13DEC17	6620.00	6514.98	USD	-2,625.50	
13SEP17	B 1 PD13DEC17	6621.00	6514.98	USD	-2,650.50	
13SEP17	B 2 PD13DEC17	6619.50	6514.98	USD	-5,226.00	
13SEP17	B 1 PD13DEC17	6618.50	6514.98	USD	-2,588.00	
13SEP17	B 1 PD13DEC17	6622.00	6514.98	USD	-2,675.50	
13SEP17	B 1 PD13DEC17	6580.00	6514.98	USD	-1,625.50	
13SEP17	B 1 PD13DEC17	6578.50	6514.98	USD	-1,588.00	
13SEP17	B 2 PD13DEC17	6577.50	6514.98	USD	-3,126.00	
13SEP17	B 1 PD13DEC17	6579.00	6514.98	USD	-1,600.50	
13SEP17	B 3 PD13DEC17	6569.00	6514.98	USD	-4,051.50	
13SEP17	B 2 PD13DEC17	6572.50	6514.98	USD	-2,876.00	
13SEP17	B 2 PD13DEC17	6572.00	6514.98	USD	-2,851.00	
13SEP17	B 7 PD13DEC17	6570.00	6514.98	USD	-9,628.50	
13SEP17	B 1 PD13DEC17	6569.50	6514.98	USD	-1,363.00	
13SEP17	B 2 PD13DEC17	6566.00	6514.98	USD	-2,551.00	
13SEP17	B 1 PD13DEC17	6565.00	6514.98	USD	-1,250.50	
13SEP17	B 3 PD13DEC17	6564.50	6514.98	USD	-3,714.00	
13SEP17	B 3 PD13DEC17	6565.50	6514.98	USD	-3,789.00	
13SEP17	B 1 PD13DEC17	6566.50	6514.98	USD	-1,288.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097Q

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
13SEP17	S 1 PD13DEC17	6570.50	6514.98	USD	1,388.00	
13SEP17	S 1 PD13DEC17	6572.00	6514.98	USD	1,425.50	
13SEP17	S 5 PD13DEC17	6571.00	6514.98	USD	7,002.50	
13SEP17	B 1 PD13DEC17	6629.00	6514.98	USD	-2,850.50	
13SEP17	S 2 PD13DEC17	6571.00	6514.98	USD	2,801.00	
13SEP17	S 1 PD13DEC17	6570.50	6514.98	USD	1,388.00	
13SEP17	S 1 PD13DEC17	6558.00	6514.98	USD	1,075.50	
13SEP17	S 1 PD13DEC17	6558.50	6514.98	USD	1,088.00	
13SEP17	S 1 PD13DEC17	6560.50	6514.98	USD	1,138.00	
13SEP17	S 2 PD13DEC17	6559.00	6514.98	USD	2,201.00	
13SEP17	S 2 PD13DEC17	6555.50	6514.98	USD	2,026.00	
13SEP17	S 1 PD13DEC17	6554.50	6514.98	USD	988.00	
13SEP17	S 2 PD13DEC17	6553.50	6514.98	USD	1,926.00	
13SEP17	S 2 PD13DEC17	6555.00	6514.98	USD	2,001.00	
13SEP17	S 3 PD13DEC17	6550.50	6514.98	USD	2,664.00	
13SEP17	S 1 PD13DEC17	6546.50	6514.98	USD	788.00	
13SEP17	S 2 PD13DEC17	6547.50	6514.98	USD	1,626.00	
13SEP17	B 1 PD13DEC17	6553.50	6514.98	USD	-963.00	
13SEP17	B 1 PD13DEC17	6552.50	6514.98	USD	-938.00	
13SEP17	B 1 PD13DEC17	6554.00	6514.98	USD	-975.50	
18SEP17	S 15 PD13DEC17	6524.62	6514.98	USD	3,615.00	
	NET 0 AVG BGHT 6585.10 SOLD 6558.34				**-32,117.50**	**0.00**
14SEP17	B 1 PD14DEC17	6523.00	6515.38	USD	-190.50	
14SEP17	B 1 PD14DEC17	6522.00	6515.38	USD	-165.50	
14SEP17	B 1 PD14DEC17	6524.00	6515.38	USD	-215.50	
14SEP17	B 1 PD14DEC17	6522.50	6515.38	USD	-178.00	
14SEP17	B 1 PD14DEC17	6516.00	6515.38	USD	-15.50	
14SEP17	B 1 PD14DEC17	6513.00	6515.38	USD	59.50	
14SEP17	B 1 PD14DEC17	6512.00	6515.38	USD	84.50	
14SEP17	B 1 PD14DEC17	6515.00	6515.38	USD	9.50	
14SEP17	B 1 PD14DEC17	6512.50	6515.38	USD	72.00	
14SEP17	B 5 PD14DEC17	6514.50	6515.38	USD	110.00	
14SEP17	B 1 PD14DEC17	6498.00	6515.38	USD	434.50	
14SEP17	B 1 PD14DEC17	6497.50	6515.38	USD	447.00	
14SEP17	B 1 PD14DEC17	6489.50	6515.38	USD	647.00	
14SEP17	B 1 PD14DEC17	6488.00	6515.38	USD	684.50	
14SEP17	S 2 PD14DEC17	6467.50	6515.38	USD	-2,394.00	
14SEP17	S 1 PD14DEC17	6470.00	6515.38	USD	-1,134.50	
14SEP17	S 1 PD14DEC17	6469.50	6515.38	USD	-1,147.00	
14SEP17	S 1 PD14DEC17	6466.50	6515.38	USD	-1,222.00	
14SEP17	S 1 PD14DEC17	6457.50	6515.38	USD	-1,447.00	
14SEP17	S 1 PD14DEC17	6459.00	6515.38	USD	-1,409.50	
14SEP17	S 1 PD14DEC17	6458.00	6515.38	USD	-1,434.50	
14SEP17	S 1 PD14DEC17	6460.00	6515.38	USD	-1,384.50	
14SEP17	S 3 PD14DEC17	6459.50	6515.38	USD	-4,191.00	
14SEP17	B 1 PD14DEC17	6462.50	6515.38	USD	1,322.00	
14SEP17	S 3 PD14DEC17	6460.50	6515.38	USD	-4,116.00	
14SEP17	S 1 PD14DEC17	6454.00	6515.38	USD	-1,534.50	
14SEP17	S 1 PD14DEC17	6455.00	6515.38	USD	-1,509.50	
14SEP17	S 1 PD14DEC17	6455.50	6515.38	USD	-1,497.00	
14SEP17	B 1 PD14DEC17	6456.50	6515.38	USD	1,472.00	
14SEP17	S 1 PD14DEC17	6458.50	6515.38	USD	-1,422.00	
14SEP17	S 1 PD14DEC17	6456.00	6515.38	USD	-1,484.50	
14SEP17	B 1 PD14DEC17	6458.00	6515.38	USD	1,434.50	
14SEP17	B 3 PD14DEC17	6465.00	6515.38	USD	3,778.50	
14SEP17	B 1 PD14DEC17	6466.00	6515.38	USD	1,234.50	
14SEP17	S 1 PD14DEC17	6471.00	6515.38	USD	-1,109.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097Q

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
14SEP17	S 1 PD14DEC17	6472.50	6515.38	USD	-1,072.00	
14SEP17	S 1 PD14DEC17	6472.00	6515.38	USD	-1,084.50	
14SEP17	S 1 PD14DEC17	6471.50	6515.38	USD	-1,097.00	
14SEP17	S 2 PD14DEC17	6473.00	6515.38	USD	-2,119.00	
14SEP17	S 1 PD14DEC17	6474.00	6515.38	USD	-1,034.50	
14SEP17	B 1 PD14DEC17	6479.00	6515.38	USD	909.50	
14SEP17	S 1 PD14DEC17	6501.00	6515.38	USD	-359.50	
14SEP17	S 3 PD14DEC17	6500.00	6515.38	USD	-1,153.50	
14SEP17	S 1 PD14DEC17	6489.00	6515.38	USD	-659.50	
14SEP17	S 1 PD14DEC17	6488.00	6515.38	USD	-684.50	
14SEP17	S 1 PD14DEC17	6487.50	6515.38	USD	-697.00	
14SEP17	S 1 PD14DEC17	6488.50	6515.38	USD	-672.00	
14SEP17	S 1 PD14DEC17	6490.00	6515.38	USD	-634.50	
14SEP17	S 1 PD14DEC17	6490.50	6515.38	USD	-622.00	
14SEP17	S 1 PD14DEC17	6505.50	6515.38	USD	-247.00	
14SEP17	S 1 PD14DEC17	6507.50	6515.38	USD	-197.00	
14SEP17	S 1 PD14DEC17	6501.50	6515.38	USD	-347.00	
14SEP17	S 2 PD14DEC17	6517.50	6515.38	USD	106.00	
14SEP17	S 1 PD14DEC17	6519.00	6515.38	USD	90.50	
14SEP17	B 1 PD14DEC17	6517.00	6515.38	USD	-40.50	
14SEP17	B 2 PD14DEC17	6518.00	6515.38	USD	-131.00	
14SEP17	B 1 PD14DEC17	6520.00	6515.38	USD	-115.50	
14SEP17	B 7 PD14DEC17	6518.50	6515.38	USD	-546.00	
14SEP17	B 1 PD14DEC17	6520.50	6515.38	USD	-128.00	
14SEP17	S 1 PD14DEC17	6525.00	6515.38	USD	240.50	
14SEP17	S 4 PD14DEC17	6523.00	6515.38	USD	762.00	
14SEP17	S 2 PD14DEC17	6525.50	6515.38	USD	506.00	
14SEP17	S 2 PD14DEC17	6524.50	6515.38	USD	456.00	
14SEP17	S 1 PD14DEC17	6523.50	6515.38	USD	203.00	
14SEP17	S 2 PD14DEC17	6512.50	6515.38	USD	-144.00	
14SEP17	S 2 PD14DEC17	6515.00	6515.38	USD	-19.00	
14SEP17	S 2 PD14DEC17	6514.00	6515.38	USD	-69.00	
14SEP17	S 1 PD14DEC17	6510.50	6515.38	USD	-122.00	
14SEP17	S 1 PD14DEC17	6509.50	6515.38	USD	-147.00	
14SEP17	S 1 PD14DEC17	6518.00	6515.38	USD	65.50	
14SEP17	S 2 PD14DEC17	6517.00	6515.38	USD	81.00	
14SEP17	S 4 PD14DEC17	6516.00	6515.38	USD	62.00	
14SEP17	S 1 PD14DEC17	6509.00	6515.38	USD	-159.50	
14SEP17	S 2 PD14DEC17	6506.50	6515.38	USD	-444.00	
14SEP17	S 1 PD14DEC17	6508.00	6515.38	USD	-184.50	
14SEP17	S 1 PD14DEC17	6506.00	6515.38	USD	-234.50	
14SEP17	S 1 PD14DEC17	6505.00	6515.38	USD	-259.50	
14SEP17	S 1 PD14DEC17	6473.00	6515.38	USD	-1,059.50	
14SEP17	S 3 PD14DEC17	6500.50	6515.38	USD	-1,116.00	
14SEP17	S 3 PD14DEC17	6504.50	6515.38	USD	-816.00	
14SEP17	S 2 PD14DEC17	6502.50	6515.38	USD	-644.00	
14SEP17	S 5 PD14DEC17	6503.50	6515.38	USD	-1,485.00	
18SEP17	B 30 PD14DEC17	6525.29	6515.38	USD	-7,432.50	
19SEP17	B 15 PD14DEC17	6537.02	6515.38	USD	-8,115.00	
20SEP17	B 5 PD14DEC17	6524.89	6515.38	USD	-1,188.75	
	NET 0 AVG BGHT 6518.00 SOLD 6494.72				**-51,211.25**	**0.00**
15SEP17	S 1 PD15DEC17	6488.50	6515.77	USD	-681.75	
15SEP17	S 3 PD15DEC17	6488.00	6515.77	USD	-2,082.75	
15SEP17	S 5 PD15DEC17	6487.00	6515.77	USD	-3,596.25	
15SEP17	B 1 PD15DEC17	6493.50	6515.77	USD	556.75	
15SEP17	B 2 PD15DEC17	6495.50	6515.77	USD	1,013.50	
15SEP17	B 3 PD15DEC17	6494.50	6515.77	USD	1,595.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097Q

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
15SEP17	B 1 PD15DEC17	6489.50	6515.77	USD	656.75	
15SEP17	B 1 PD15DEC17	6494.00	6515.77	USD	544.25	
15SEP17	B 1 PD15DEC17	6496.50	6515.77	USD	481.75	
15SEP17	B 2 PD15DEC17	6502.50	6515.77	USD	663.50	
15SEP17	S 1 PD15DEC17	6509.00	6515.77	USD	-169.25	
15SEP17	S 1 PD15DEC17	6511.00	6515.77	USD	-119.25	
15SEP17	S 1 PD15DEC17	6511.50	6515.77	USD	-106.75	
15SEP17	B 1 PD15DEC17	6511.50	6515.77	USD	106.75	
15SEP17	S 1 PD15DEC17	6515.00	6515.77	USD	-19.25	
15SEP17	S 2 PD15DEC17	6516.00	6515.77	USD	11.50	
15SEP17	S 1 PD15DEC17	6516.50	6515.77	USD	18.25	
15SEP17	S 3 PD15DEC17	6512.50	6515.77	USD	-245.25	
15SEP17	S 3 PD15DEC17	6510.50	6515.77	USD	-395.25	
15SEP17	S 1 PD15DEC17	6507.00	6515.77	USD	-219.25	
15SEP17	S 2 PD15DEC17	6513.50	6515.77	USD	-113.50	
15SEP17	S 3 PD15DEC17	6514.50	6515.77	USD	-95.25	
15SEP17	S 1 PD15DEC17	6523.50	6515.77	USD	193.25	
15SEP17	S 1 PD15DEC17	6524.50	6515.77	USD	218.25	
15SEP17	S 1 PD15DEC17	6519.00	6515.77	USD	80.75	
15SEP17	B 2 PD15DEC17	6499.50	6515.77	USD	813.50	
15SEP17	B 1 PD15DEC17	6505.50	6515.77	USD	256.75	
15SEP17	B 2 PD15DEC17	6505.00	6515.77	USD	538.50	
15SEP17	B 2 PD15DEC17	6504.50	6515.77	USD	563.50	
15SEP17	B 4 PD15DEC17	6506.00	6515.77	USD	977.00	
15SEP17	B 1 PD15DEC17	6509.00	6515.77	USD	169.25	
15SEP17	B 2 PD15DEC17	6508.00	6515.77	USD	388.50	
15SEP17	B 1 PD15DEC17	6494.50	6515.77	USD	531.75	
15SEP17	B 2 PD15DEC17	6495.00	6515.77	USD	1,038.50	
15SEP17	S 1 PD15DEC17	6512.00	6515.77	USD	-94.25	
15SEP17	S 2 PD15DEC17	6523.50	6515.77	USD	386.50	
15SEP17	B 2 PD15DEC17	6507.00	6515.77	USD	438.50	
15SEP17	S 1 PD15DEC17	6507.50	6515.77	USD	-206.75	
15SEP17	B 1 PD15DEC17	6508.50	6515.77	USD	181.75	
15SEP17	B 2 PD15DEC17	6502.00	6515.77	USD	688.50	
15SEP17	B 2 PD15DEC17	6501.50	6515.77	USD	713.50	
15SEP17	B 10 PD15DEC17	6495.00	6515.77	USD	5,192.50	
15SEP17	S 1 PD15DEC17	6497.00	6515.77	USD	-469.25	
15SEP17	S 2 PD15DEC17	6499.00	6515.77	USD	-838.50	
15SEP17	S 3 PD15DEC17	6498.00	6515.77	USD	-1,332.75	
15SEP17	S 3 PD15DEC17	6493.50	6515.77	USD	-1,670.25	
15SEP17	B 1 PD15DEC17	6498.50	6515.77	USD	431.75	
15SEP17	S 3 PD15DEC17	6494.00	6515.77	USD	-1,632.75	
15SEP17	S 1 PD15DEC17	6492.50	6515.77	USD	-581.75	
15SEP17	S 2 PD15DEC17	6489.50	6515.77	USD	-1,313.50	
15SEP17	S 3 PD15DEC17	6495.00	6515.77	USD	-1,557.75	
15SEP17	S 1 PD15DEC17	6490.50	6515.77	USD	-631.75	
15SEP17	S 1 PD15DEC17	6488.00	6515.77	USD	-694.25	
15SEP17	S 5 PD15DEC17	6491.50	6515.77	USD	-3,033.75	
15SEP17	B 1 PD15DEC17	6498.00	6515.77	USD	444.25	
15SEP17	S 3 PD15DEC17	6496.00	6515.77	USD	-1,482.75	
15SEP17	S 1 PD15DEC17	6508.50	6515.77	USD	-181.75	
15SEP17	S 5 PD15DEC17	6504.00	6515.77	USD	-1,471.25	
15SEP17	S 1 PD15DEC17	6500.50	6515.77	USD	-381.75	
15SEP17	S 2 PD15DEC17	6501.50	6515.77	USD	-713.50	
15SEP17	B 1 PD15DEC17	6488.00	6515.77	USD	694.25	
15SEP17	S 2 PD15DEC17	6490.50	6515.77	USD	-1,263.50	
20SEP17	B 25 PD15DEC17	6525.20	6515.77	USD	-5,893.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097Q

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT							
	NET	**0**	**AVG BGHT 6508.32 SOLD 6501.45**				**-12,700.00**	**0.00**
18SEP17	S	2	PD18DEC17	6547.50	6516.96	USD	1,527.00	
18SEP17	S	3	PD18DEC17	6546.50	6516.96	USD	2,215.50	
18SEP17	B	1	PD18DEC17	6533.00	6516.96	USD	-401.00	
18SEP17	B	1	PD18DEC17	6537.00	6516.96	USD	-501.00	
18SEP17	B	1	PD18DEC17	6534.00	6516.96	USD	-426.00	
18SEP17	B	1	PD18DEC17	6533.50	6516.96	USD	-413.50	
18SEP17	B	1	PD18DEC17	6531.00	6516.96	USD	-351.00	
18SEP17	B	2	PD18DEC17	6538.50	6516.96	USD	-1,077.00	
18SEP17	B	1	PD18DEC17	6541.00	6516.96	USD	-601.00	
18SEP17	B	3	PD18DEC17	6535.50	6516.96	USD	-1,390.50	
18SEP17	B	1	PD18DEC17	6535.00	6516.96	USD	-451.00	
18SEP17	B	2	PD18DEC17	6536.50	6516.96	USD	-977.00	
18SEP17	S	1	PD18DEC17	6535.50	6516.96	USD	463.50	
18SEP17	B	1	PD18DEC17	6535.50	6516.96	USD	-463.50	
18SEP17	S	1	PD18DEC17	6539.50	6516.96	USD	563.50	
18SEP17	S	2	PD18DEC17	6538.50	6516.96	USD	1,077.00	
18SEP17	S	1	PD18DEC17	6540.50	6516.96	USD	588.50	
18SEP17	S	1	PD18DEC17	6535.00	6516.96	USD	451.00	
18SEP17	S	1	PD18DEC17	6541.50	6516.96	USD	613.50	
18SEP17	B	5	PD18DEC17	6540.50	6516.96	USD	-2,942.50	
18SEP17	S	3	PD18DEC17	6541.00	6516.96	USD	1,803.00	
18SEP17	S	1	PD18DEC17	6535.50	6516.96	USD	463.50	
18SEP17	S	1	PD18DEC17	6528.00	6516.96	USD	276.00	
18SEP17	S	4	PD18DEC17	6536.50	6516.96	USD	1,954.00	
18SEP17	S	2	PD18DEC17	6538.00	6516.96	USD	1,052.00	
18SEP17	S	3	PD18DEC17	6539.00	6516.96	USD	1,653.00	
18SEP17	S	1	PD18DEC17	6542.00	6516.96	USD	626.00	
18SEP17	B	1	PD18DEC17	6527.00	6516.96	USD	-251.00	
18SEP17	B	1	PD18DEC17	6525.00	6516.96	USD	-201.00	
18SEP17	B	1	PD18DEC17	6524.50	6516.96	USD	-188.50	
18SEP17	B	2	PD18DEC17	6525.50	6516.96	USD	-427.00	
18SEP17	B	2	PD18DEC17	6529.00	6516.96	USD	-602.00	
18SEP17	B	8	PD18DEC17	6528.00	6516.96	USD	-2,208.00	
18SEP17	B	2	PD18DEC17	6532.50	6516.96	USD	-777.00	
18SEP17	B	1	PD18DEC17	6534.50	6516.96	USD	-438.50	
18SEP17	S	1	PD18DEC17	6532.50	6516.96	USD	388.50	
18SEP17	B	3	PD18DEC17	6532.00	6516.96	USD	-1,128.00	
18SEP17	B	1	PD18DEC17	6531.50	6516.96	USD	-363.50	
18SEP17	B	1	PD18DEC17	6525.50	6516.96	USD	-213.50	
19SEP17	S	15	PD18DEC17	6538.40	6516.96	USD	8,040.00	
	NET	**0**	**AVG BGHT 6532.58 SOLD 6539.06**				**6,962.50**	**0.00**
19SEP17	S	1	PD19DEC17	6535.00	6517.35	USD	441.25	
19SEP17	S	7	PD19DEC17	6531.50	6517.35	USD	2,476.25	
19SEP17	S	5	PD19DEC17	6532.00	6517.35	USD	1,831.25	
19SEP17	S	1	PD19DEC17	6531.00	6517.35	USD	341.25	
19SEP17	S	1	PD19DEC17	6534.00	6517.35	USD	416.25	
19SEP17	S	5	PD19DEC17	6532.50	6517.35	USD	1,893.75	
19SEP17	S	1	PD19DEC17	6538.00	6517.35	USD	516.25	
19SEP17	S	3	PD19DEC17	6539.00	6517.35	USD	1,623.75	
19SEP17	S	1	PD19DEC17	6540.00	6517.35	USD	566.25	
19SEP17	S	1	PD19DEC17	6546.00	6517.35	USD	716.25	
19SEP17	S	1	PD19DEC17	6545.50	6517.35	USD	703.75	
19SEP17	S	3	PD19DEC17	6546.50	6517.35	USD	2,186.25	
19SEP17	S	5	PD19DEC17	6519.00	6517.35	USD	206.25	
19SEP17	B	1	PD19DEC17	6543.50	6517.35	USD	-653.75	
19SEP17	B	1	PD19DEC17	6542.50	6517.35	USD	-628.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097Q

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
19SEP17	B 1 PD19DEC17	6541.00	6517.35	USD	-591.25	
19SEP17	B 2 PD19DEC17	6538.50	6517.35	USD	-1,057.50	
19SEP17	B 7 PD19DEC17	6540.00	6517.35	USD	-3,963.75	
19SEP17	B 1 PD19DEC17	6549.50	6517.35	USD	-803.75	
19SEP17	B 2 PD19DEC17	6550.50	6517.35	USD	-1,657.50	
19SEP17	S 1 PD19DEC17	6544.00	6517.35	USD	666.25	
19SEP17	B 3 PD19DEC17	6540.50	6517.35	USD	-1,736.25	
19SEP17	B 8 PD19DEC17	6542.00	6517.35	USD	-4,930.00	
19SEP17	B 4 PD19DEC17	6539.50	6517.35	USD	-2,215.00	
19SEP17	B 1 PD19DEC17	6537.50	6517.35	USD	-503.75	
19SEP17	B 3 PD19DEC17	6545.00	6517.35	USD	-2,073.75	
19SEP17	B 3 PD19DEC17	6544.00	6517.35	USD	-1,998.75	
19SEP17	B 2 PD19DEC17	6536.50	6517.35	USD	-957.50	
19SEP17	B 1 PD19DEC17	6518.50	6517.35	USD	-28.75	
19SEP17	B 1 PD19DEC17	6521.00	6517.35	USD	-91.25	
19SEP17	B 1 PD19DEC17	6522.00	6517.35	USD	-116.25	
19SEP17	B 1 PD19DEC17	6523.00	6517.35	USD	-141.25	
19SEP17	B 4 PD19DEC17	6534.50	6517.35	USD	-1,715.00	
19SEP17	B 1 PD19DEC17	6533.50	6517.35	USD	-403.75	
19SEP17	B 2 PD19DEC17	6529.00	6517.35	USD	-582.50	
19SEP17	B 2 PD19DEC17	6527.00	6517.35	USD	-482.50	
19SEP17	B 2 PD19DEC17	6520.00	6517.35	USD	-132.50	
19SEP17	B 1 PD19DEC17	6529.50	6517.35	USD	-303.75	
19SEP17	B 1 PD19DEC17	6520.50	6517.35	USD	-78.75	
19SEP17	B 3 PD19DEC17	6524.50	6517.35	USD	-536.25	
19SEP17	B 1 PD19DEC17	6524.00	6517.35	USD	-166.25	
19SEP17	B 18 PD19DEC17	6525.00	6517.35	USD	-3,442.50	
19SEP17	B 1 PD19DEC17	6522.50	6517.35	USD	-128.75	
19SEP17	S 1 PD19DEC17	6521.00	6517.35	USD	91.25	
19SEP17	B 5 PD19DEC17	6517.50	6517.35	USD	-18.75	
19SEP17	B 9 PD19DEC17	6518.00	6517.35	USD	-146.25	
19SEP17	B 1 PD19DEC17	6516.00	6517.35	USD	33.75	
19SEP17	B 4 PD19DEC17	6515.00	6517.35	USD	235.00	
19SEP17	B 1 PD19DEC17	6514.00	6517.35	USD	83.75	
19SEP17	B 1 PD19DEC17	6515.50	6517.35	USD	46.25	
19SEP17	B 2 PD19DEC17	6516.50	6517.35	USD	42.50	
19SEP17	B 4 PD19DEC17	6514.50	6517.35	USD	285.00	
19SEP17	B 1 PD19DEC17	6542.00	6517.35	USD	-616.25	
28SEP17	S 5 PD19DEC17	6519.53	6517.35	USD	272.50	
03OCT17	S 50 PD19DEC17	6517.35	6517.35	USD	0.00	
	NET +15 AVG BGHT 6529.38 SOLD 6523.85				**-17,227.50**	**0.00**
20SEP17	S 1 PD20DEC17	6555.00	6517.75	USD	931.25	
20SEP17	S 1 PD20DEC17	6556.50	6517.75	USD	968.75	
20SEP17	S 1 PD20DEC17	6558.00	6517.75	USD	1,006.25	
20SEP17	S 1 PD20DEC17	6559.50	6517.75	USD	1,043.75	
20SEP17	S 1 PD20DEC17	6560.00	6517.75	USD	1,056.25	
20SEP17	S 2 PD20DEC17	6558.50	6517.75	USD	2,037.50	
20SEP17	S 3 PD20DEC17	6557.50	6517.75	USD	2,981.25	
20SEP17	S 1 PD20DEC17	6561.00	6517.75	USD	1,081.25	
20SEP17	S 4 PD20DEC17	6560.50	6517.75	USD	4,275.00	
20SEP17	S 5 PD20DEC17	6562.00	6517.75	USD	5,531.25	
20SEP17	S 3 PD20DEC17	6508.00	6517.75	USD	-731.25	
20SEP17	S 1 PD20DEC17	6522.00	6517.75	USD	106.25	
20SEP17	B 2 PD20DEC17	6523.50	6517.75	USD	-287.50	
20SEP17	S 1 PD20DEC17	6524.00	6517.75	USD	156.25	
20SEP17	S 1 PD20DEC17	6519.50	6517.75	USD	43.75	
20SEP17	B 2 PD20DEC17	6520.00	6517.75	USD	-112.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097Q

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
20SEP17	B 1 PD20DEC17	6522.50	6517.75	USD	-118.75	
20SEP17	B 1 PD20DEC17	6523.00	6517.75	USD	-131.25	
20SEP17	B 1 PD20DEC17	6525.00	6517.75	USD	-181.25	
20SEP17	B 1 PD20DEC17	6529.00	6517.75	USD	-281.25	
20SEP17	B 1 PD20DEC17	6528.50	6517.75	USD	-268.75	
20SEP17	B 2 PD20DEC17	6529.50	6517.75	USD	-587.50	
20SEP17	B 4 PD20DEC17	6526.50	6517.75	USD	-875.00	
20SEP17	B 6 PD20DEC17	6524.50	6517.75	USD	-1,012.50	
20SEP17	B 2 PD20DEC17	6525.50	6517.75	USD	-387.50	
20SEP17	S 1 PD20DEC17	6528.00	6517.75	USD	256.25	
20SEP17	S 1 PD20DEC17	6527.50	6517.75	USD	243.75	
20SEP17	B 1 PD20DEC17	6531.00	6517.75	USD	-331.25	
20SEP17	B 2 PD20DEC17	6527.50	6517.75	USD	-487.50	
20SEP17	B 1 PD20DEC17	6527.00	6517.75	USD	-231.25	
20SEP17	B 1 PD20DEC17	6530.50	6517.75	USD	-318.75	
20SEP17	B 2 PD20DEC17	6535.50	6517.75	USD	-887.50	
20SEP17	S 2 PD20DEC17	6530.00	6517.75	USD	612.50	
	NET 0 AVG BGHT 6526.42 SOLD 6546.55				**15,100.00**	**0.00**
21SEP17	S 2 PD21DEC17	6455.50	6516.75	USD	-3,062.50	
21SEP17	S 1 PD21DEC17	6452.50	6516.75	USD	-1,606.25	
21SEP17	S 1 PD21DEC17	6451.00	6516.75	USD	-1,643.75	
21SEP17	S 1 PD21DEC17	6454.00	6516.75	USD	-1,568.75	
21SEP17	S 1 PD21DEC17	6458.00	6516.75	USD	-1,468.75	
21SEP17	S 2 PD21DEC17	6459.00	6516.75	USD	-2,887.50	
21SEP17	S 4 PD21DEC17	6460.00	6516.75	USD	-5,675.00	
21SEP17	S 1 PD21DEC17	6459.50	6516.75	USD	-1,431.25	
21SEP17	S 6 PD21DEC17	6454.50	6516.75	USD	-9,337.50	
21SEP17	S 1 PD21DEC17	6455.00	6516.75	USD	-1,543.75	
21SEP17	S 4 PD21DEC17	6456.50	6516.75	USD	-6,025.00	
21SEP17	S 5 PD21DEC17	6450.50	6516.75	USD	-8,281.25	
21SEP17	S 1 PD21DEC17	6437.00	6516.75	USD	-1,993.75	
21SEP17	S 1 PD21DEC17	6440.50	6516.75	USD	-1,906.25	
21SEP17	S 1 PD21DEC17	6443.50	6516.75	USD	-1,831.25	
21SEP17	S 2 PD21DEC17	6442.50	6516.75	USD	-3,712.50	
21SEP17	S 3 PD21DEC17	6442.00	6516.75	USD	-5,606.25	
21SEP17	S 1 PD21DEC17	6438.50	6516.75	USD	-1,956.25	
21SEP17	S 1 PD21DEC17	6434.50	6516.75	USD	-2,056.25	
21SEP17	S 1 PD21DEC17	6435.00	6516.75	USD	-2,043.75	
21SEP17	S 1 PD21DEC17	6435.50	6516.75	USD	-2,031.25	
21SEP17	S 2 PD21DEC17	6436.50	6516.75	USD	-4,012.50	
21SEP17	S 1 PD21DEC17	6453.00	6516.75	USD	-1,593.75	
21SEP17	B 1 PD21DEC17	6445.50	6516.75	USD	1,781.25	
21SEP17	B 1 PD21DEC17	6469.50	6516.75	USD	1,181.25	
21SEP17	S 1 PD21DEC17	6456.50	6516.75	USD	-1,506.25	
21SEP17	S 2 PD21DEC17	6442.00	6516.75	USD	-3,737.50	
21SEP17	B 1 PD21DEC17	6441.00	6516.75	USD	1,893.75	
21SEP17	B 1 PD21DEC17	6446.50	6516.75	USD	1,756.25	
21SEP17	S 1 PD21DEC17	6445.00	6516.75	USD	-1,793.75	
21SEP17	B 1 PD21DEC17	6453.00	6516.75	USD	1,593.75	
21SEP17	B 1 PD21DEC17	6473.00	6516.75	USD	1,093.75	
21SEP17	B 1 PD21DEC17	6455.50	6516.75	USD	1,531.25	
21SEP17	B 1 PD21DEC17	6458.00	6516.75	USD	1,468.75	
21SEP17	S 1 PD21DEC17	6463.50	6516.75	USD	-1,331.25	
21SEP17	S 1 PD21DEC17	6467.50	6516.75	USD	-1,231.25	
21SEP17	B 1 PD21DEC17	6482.50	6516.75	USD	856.25	
21SEP17	B 1 PD21DEC17	6486.00	6516.75	USD	768.75	
21SEP17	B 2 PD21DEC17	6483.50	6516.75	USD	1,662.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097Q

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
21SEP17	B 1 PD21DEC17	6477.00	6516.75	USD	993.75	
21SEP17	B 2 PD21DEC17	6478.00	6516.75	USD	1,937.50	
21SEP17	B 1 PD21DEC17	6474.00	6516.75	USD	1,068.75	
21SEP17	B 2 PD21DEC17	6472.00	6516.75	USD	2,237.50	
21SEP17	S 1 PD21DEC17	6477.50	6516.75	USD	-981.25	
21SEP17	B 1 PD21DEC17	6477.50	6516.75	USD	981.25	
21SEP17	B 4 PD21DEC17	6478.50	6516.75	USD	3,825.00	
21SEP17	B 2 PD21DEC17	6481.50	6516.75	USD	1,762.50	
21SEP17	B 2 PD21DEC17	6480.00	6516.75	USD	1,837.50	
21SEP17	B 9 PD21DEC17	6479.00	6516.75	USD	8,493.75	
21SEP17	B 1 PD21DEC17	6484.00	6516.75	USD	818.75	
21SEP17	B 7 PD21DEC17	6480.50	6516.75	USD	6,343.75	
21SEP17	B 3 PD21DEC17	6483.00	6516.75	USD	2,531.25	
21SEP17	B 6 PD21DEC17	6484.50	6516.75	USD	4,837.50	
21SEP17	B 1 PD21DEC17	6487.50	6516.75	USD	731.25	
21SEP17	B 1 PD21DEC17	6459.00	6516.75	USD	1,443.75	
21SEP17	B 1 PD21DEC17	6456.00	6516.75	USD	1,518.75	
21SEP17	B 2 PD21DEC17	6450.00	6516.75	USD	3,337.50	
21SEP17	B 2 PD21DEC17	6449.50	6516.75	USD	3,362.50	
21SEP17	B 1 PD21DEC17	6482.50	6516.75	USD	856.25	
	NET +10 AVG BGHT 6474.45 SOLD 6450.98				**-19,350.00**	**0.00**
22SEP17	S 1 PD22DEC17	6397.50	6515.75	USD	-2,956.25	
22SEP17	S 4 PD22DEC17	6397.00	6515.75	USD	-11,875.00	
22SEP17	S 1 PD22DEC17	6391.50	6515.75	USD	-3,106.25	
22SEP17	B 2 PD22DEC17	6390.50	6515.75	USD	6,262.50	
22SEP17	B 4 PD22DEC17	6391.50	6515.75	USD	12,425.00	
22SEP17	B 3 PD22DEC17	6392.00	6515.75	USD	9,281.25	
22SEP17	B 1 PD22DEC17	6374.50	6515.75	USD	3,531.25	
22SEP17	B 1 PD22DEC17	6374.00	6515.75	USD	3,543.75	
22SEP17	B 3 PD22DEC17	6373.50	6515.75	USD	10,668.75	
22SEP17	S 1 PD22DEC17	6387.00	6515.75	USD	-3,218.75	
22SEP17	S 1 PD22DEC17	6385.00	6515.75	USD	-3,268.75	
22SEP17	S 2 PD22DEC17	6383.50	6515.75	USD	-6,612.50	
22SEP17	S 1 PD22DEC17	6386.00	6515.75	USD	-3,243.75	
22SEP17	S 1 PD22DEC17	6387.50	6515.75	USD	-3,206.25	
22SEP17	S 2 PD22DEC17	6385.50	6515.75	USD	-6,512.50	
22SEP17	S 1 PD22DEC17	6396.50	6515.75	USD	-2,981.25	
22SEP17	S 1 PD22DEC17	6392.50	6515.75	USD	-3,081.25	
22SEP17	S 3 PD22DEC17	6394.50	6515.75	USD	-9,093.75	
22SEP17	S 4 PD22DEC17	6393.50	6515.75	USD	-12,225.00	
22SEP17	S 1 PD22DEC17	6395.50	6515.75	USD	-3,006.25	
22SEP17	B 1 PD22DEC17	6396.50	6515.75	USD	2,981.25	
22SEP17	S 1 PD22DEC17	6392.00	6515.75	USD	-3,093.75	
22SEP17	S 2 PD22DEC17	6394.00	6515.75	USD	-6,087.50	
22SEP17	B 1 PD22DEC17	6396.00	6515.75	USD	2,993.75	
22SEP17	S 1 PD22DEC17	6403.00	6515.75	USD	-2,818.75	
22SEP17	B 1 PD22DEC17	6409.50	6515.75	USD	2,656.25	
22SEP17	B 1 PD22DEC17	6407.50	6515.75	USD	2,706.25	
22SEP17	B 1 PD22DEC17	6417.00	6515.75	USD	2,468.75	
22SEP17	B 1 PD22DEC17	6416.50	6515.75	USD	2,481.25	
22SEP17	B 2 PD22DEC17	6408.50	6515.75	USD	5,362.50	
22SEP17	S 1 PD22DEC17	6416.50	6515.75	USD	-2,481.25	
22SEP17	B 1 PD22DEC17	6415.50	6515.75	USD	2,506.25	
22SEP17	S 1 PD22DEC17	6423.50	6515.75	USD	-2,306.25	
22SEP17	S 1 PD22DEC17	6421.00	6515.75	USD	-2,368.75	
22SEP17	S 2 PD22DEC17	6420.00	6515.75	USD	-4,787.50	
22SEP17	S 4 PD22DEC17	6429.50	6515.75	USD	-8,625.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097Q

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
22SEP17	S 2 PD22DEC17	6430.50	6515.75	USD	-4,262.50	
22SEP17	S 2 PD22DEC17	6437.00	6515.75	USD	-3,937.50	
22SEP17	S 1 PD22DEC17	6438.00	6515.75	USD	-1,943.75	
22SEP17	S 1 PD22DEC17	6441.00	6515.75	USD	-1,868.75	
22SEP17	S 1 PD22DEC17	6442.00	6515.75	USD	-1,843.75	
22SEP17	S 6 PD22DEC17	6439.00	6515.75	USD	-11,512.50	
22SEP17	S 5 PD22DEC17	6436.00	6515.75	USD	-9,968.75	
22SEP17	S 2 PD22DEC17	6436.50	6515.75	USD	-3,962.50	
22SEP17	S 1 PD22DEC17	6433.50	6515.75	USD	-2,056.25	
22SEP17	S 1 PD22DEC17	6431.00	6515.75	USD	-2,118.75	
22SEP17	S 3 PD22DEC17	6433.00	6515.75	USD	-6,206.25	
22SEP17	S 3 PD22DEC17	6431.50	6515.75	USD	-6,318.75	
22SEP17	S 3 PD22DEC17	6437.50	6515.75	USD	-5,868.75	
22SEP17	S 1 PD22DEC17	6439.50	6515.75	USD	-1,906.25	
22SEP17	S 1 PD22DEC17	6435.00	6515.75	USD	-2,018.75	
22SEP17	S 1 PD22DEC17	6434.50	6515.75	USD	-2,031.25	
22SEP17	S 9 PD22DEC17	6432.00	6515.75	USD	-18,843.75	
22SEP17	S 1 PD22DEC17	6428.50	6515.75	USD	-2,181.25	
22SEP17	S 2 PD22DEC17	6427.00	6515.75	USD	-4,437.50	
22SEP17	S 7 PD22DEC17	6426.50	6515.75	USD	-15,618.75	
22SEP17	S 6 PD22DEC17	6428.00	6515.75	USD	-13,162.50	
22SEP17	B 1 PD22DEC17	6465.00	6515.75	USD	1,268.75	
22SEP17	B 1 PD22DEC17	6460.50	6515.75	USD	1,381.25	
22SEP17	B 1 PD22DEC17	6457.50	6515.75	USD	1,456.25	
22SEP17	B 2 PD22DEC17	6452.50	6515.75	USD	3,162.50	
22SEP17	B 6 PD22DEC17	6448.00	6515.75	USD	10,162.50	
22SEP17	B 3 PD22DEC17	6452.00	6515.75	USD	4,781.25	
22SEP17	B 1 PD22DEC17	6455.00	6515.75	USD	1,518.75	
22SEP17	B 11 PD22DEC17	6451.50	6515.75	USD	17,668.75	
22SEP17	S 1 PD22DEC17	6431.50	6515.75	USD	-2,106.25	
22SEP17	S 1 PD22DEC17	6432.00	6515.75	USD	-2,093.75	
22SEP17	B 3 PD22DEC17	6450.00	6515.75	USD	4,931.25	
22SEP17	B 8 PD22DEC17	6444.00	6515.75	USD	14,350.00	
22SEP17	S 2 PD22DEC17	6444.50	6515.75	USD	-3,562.50	
22SEP17	B 5 PD22DEC17	6443.50	6515.75	USD	9,031.25	
22SEP17	B 1 PD22DEC17	6439.00	6515.75	USD	1,918.75	
22SEP17	B 1 PD22DEC17	6442.50	6515.75	USD	1,831.25	
22SEP17	B 4 PD22DEC17	6440.00	6515.75	USD	7,575.00	
22SEP17	S 1 PD22DEC17	6450.00	6515.75	USD	-1,643.75	
22SEP17	S 1 PD22DEC17	6448.50	6515.75	USD	-1,681.25	
22SEP17	S 3 PD22DEC17	6445.00	6515.75	USD	-5,306.25	
22SEP17	S 1 PD22DEC17	6445.50	6515.75	USD	-1,756.25	
22SEP17	S 1 PD22DEC17	6447.50	6515.75	USD	-1,706.25	
22SEP17	S 1 PD22DEC17	6446.50	6515.75	USD	-1,731.25	
22SEP17	S 4 PD22DEC17	6447.00	6515.75	USD	-6,875.00	
22SEP17	S 6 PD22DEC17	6452.50	6515.75	USD	-9,487.50	
22SEP17	S 5 PD22DEC17	6468.00	6515.75	USD	-5,968.75	
22SEP17	S 5 PD22DEC17	6471.00	6515.75	USD	-5,593.75	
22SEP17	B 1 PD22DEC17	6472.00	6515.75	USD	1,093.75	
22SEP17	B 1 PD22DEC17	6460.00	6515.75	USD	1,393.75	
22SEP17	B 5 PD22DEC17	6461.50	6515.75	USD	6,781.25	
22SEP17	B 6 PD22DEC17	6453.00	6515.75	USD	9,412.50	
22SEP17	B 11 PD22DEC17	6453.50	6515.75	USD	17,118.75	
22SEP17	B 5 PD22DEC17	6449.00	6515.75	USD	8,343.75	
22SEP17	B 4 PD22DEC17	6448.50	6515.75	USD	6,725.00	
22SEP17	B 2 PD22DEC17	6447.50	6515.75	USD	3,412.50	
22SEP17	B 1 PD22DEC17	6442.00	6515.75	USD	1,843.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097Q

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
22SEP17	B 1 PD22DEC17	6441.50	6515.75	USD	1,856.25	
22SEP17	B 9 PD22DEC17	6441.00	6515.75	USD	16,818.75	
22SEP17	B 2 PD22DEC17	6443.00	6515.75	USD	3,637.50	
22SEP17	B 5 PD22DEC17	6447.00	6515.75	USD	8,593.75	
22SEP17	S 2 PD22DEC17	6454.50	6515.75	USD	-3,062.50	
22SEP17	S 6 PD22DEC17	6457.00	6515.75	USD	-8,812.50	
22SEP17	S 1 PD22DEC17	6451.50	6515.75	USD	-1,606.25	
22SEP17	S 3 PD22DEC17	6448.00	6515.75	USD	-5,081.25	
22SEP17	S 1 PD22DEC17	6449.00	6515.75	USD	-1,668.75	
22SEP17	S 2 PD22DEC17	6446.00	6515.75	USD	-3,487.50	
22SEP17	S 5 PD22DEC17	6463.00	6515.75	USD	-6,593.75	
22SEP17	S 6 PD22DEC17	6460.50	6515.75	USD	-8,287.50	
22SEP17	S 6 PD22DEC17	6462.00	6515.75	USD	-8,062.50	
22SEP17	B 2 PD22DEC17	6454.00	6515.75	USD	3,087.50	
22SEP17	B 3 PD22DEC17	6455.50	6515.75	USD	4,518.75	
22SEP17	B 11 PD22DEC17	6458.00	6515.75	USD	15,881.25	
22SEP17	B 5 PD22DEC17	6454.50	6515.75	USD	7,656.25	
22SEP17	S 12 PD22DEC17	6454.00	6515.75	USD	-18,525.00	
22SEP17	S 6 PD22DEC17	6455.00	6515.75	USD	-9,112.50	
22SEP17	S 1 PD22DEC17	6457.50	6515.75	USD	-1,456.25	
22SEP17	S 6 PD22DEC17	6456.00	6515.75	USD	-8,962.50	
22SEP17	S 3 PD22DEC17	6462.50	6515.75	USD	-3,993.75	
22SEP17	S 4 PD22DEC17	6470.50	6515.75	USD	-4,525.00	
22SEP17	S 1 PD22DEC17	6469.00	6515.75	USD	-1,168.75	
22SEP17	S 5 PD22DEC17	6470.00	6515.75	USD	-5,718.75	
22SEP17	S 1 PD22DEC17	6467.00	6515.75	USD	-1,218.75	
22SEP17	S 10 PD22DEC17	6466.00	6515.75	USD	-12,437.50	
22SEP17	S 2 PD22DEC17	6465.00	6515.75	USD	-2,537.50	
22SEP17	B 1 PD22DEC17	6459.00	6515.75	USD	1,418.75	
22SEP17	B 1 PD22DEC17	6457.00	6515.75	USD	1,468.75	
22SEP17	B 2 PD22DEC17	6458.50	6515.75	USD	2,862.50	
22SEP17	B 1 PD22DEC17	6461.00	6515.75	USD	1,368.75	
22SEP17	B 6 PD22DEC17	6466.00	6515.75	USD	7,462.50	
22SEP17	B 5 PD22DEC17	6465.50	6515.75	USD	6,281.25	
22SEP17	B 1 PD22DEC17	6464.50	6515.75	USD	1,281.25	
22SEP17	B 1 PD22DEC17	6468.50	6515.75	USD	1,181.25	
22SEP17	B 4 PD22DEC17	6468.00	6515.75	USD	4,775.00	
22SEP17	B 4 PD22DEC17	6463.50	6515.75	USD	5,225.00	
22SEP17	B 3 PD22DEC17	6463.00	6515.75	USD	3,956.25	
22SEP17	B 1 PD22DEC17	6462.50	6515.75	USD	1,331.25	
22SEP17	B 3 PD22DEC17	6462.00	6515.75	USD	4,031.25	
22SEP17	B 13 PD22DEC17	6464.00	6515.75	USD	16,818.75	
22SEP17	B 1 PD22DEC17	6479.50	6515.75	USD	906.25	
22SEP17	B 6 PD22DEC17	6478.50	6515.75	USD	5,587.50	
22SEP17	B 1 PD22DEC17	6476.50	6515.75	USD	981.25	
22SEP17	B 2 PD22DEC17	6475.50	6515.75	USD	2,012.50	
22SEP17	B 2 PD22DEC17	6470.00	6515.75	USD	2,287.50	
22SEP17	B 3 PD22DEC17	6474.50	6515.75	USD	3,093.75	
22SEP17	B 5 PD22DEC17	6475.00	6515.75	USD	5,093.75	
	NET 0 AVG BGHT 6449.68 SOLD 6440.90				**-46,350.00**	**0.00**
25SEP17	S 2 PD27DEC17	6461.50	6517.52	USD	-2,801.00	
25SEP17	S 2 PD27DEC17	6458.00	6517.52	USD	-2,976.00	
25SEP17	S 5 PD27DEC17	6456.50	6517.52	USD	-7,627.50	
25SEP17	S 2 PD27DEC17	6469.50	6517.52	USD	-2,401.00	
25SEP17	S 2 PD27DEC17	6470.00	6517.52	USD	-2,376.00	
25SEP17	S 4 PD27DEC17	6469.00	6517.52	USD	-4,852.00	
25SEP17	S 1 PD27DEC17	6462.00	6517.52	USD	-1,388.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097Q

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
25SEP17	S 7 PD27DEC17	6465.00	6517.52	USD	-9,191.00	
25SEP17	S 11 PD27DEC17	6464.00	6517.52	USD	-14,718.00	
25SEP17	S 3 PD27DEC17	6464.50	6517.52	USD	-3,976.50	
25SEP17	S 5 PD27DEC17	6465.50	6517.52	USD	-6,502.50	
25SEP17	S 12 PD27DEC17	6463.50	6517.52	USD	-16,206.00	
25SEP17	S 6 PD27DEC17	6462.50	6517.52	USD	-8,253.00	
25SEP17	S 1 PD27DEC17	6460.50	6517.52	USD	-1,425.50	
25SEP17	S 3 PD27DEC17	6457.50	6517.52	USD	-4,501.50	
25SEP17	S 1 PD27DEC17	6459.50	6517.52	USD	-1,450.50	
25SEP17	S 1 PD27DEC17	6442.00	6517.52	USD	-1,888.00	
25SEP17	S 1 PD27DEC17	6445.50	6517.52	USD	-1,800.50	
25SEP17	B 1 PD27DEC17	6446.50	6517.52	USD	1,775.50	
25SEP17	S 1 PD27DEC17	6452.50	6517.52	USD	-1,625.50	
25SEP17	S 1 PD27DEC17	6451.00	6517.52	USD	-1,663.00	
25SEP17	S 3 PD27DEC17	6452.00	6517.52	USD	-4,914.00	
25SEP17	S 5 PD27DEC17	6449.50	6517.52	USD	-8,502.50	
25SEP17	S 2 PD27DEC17	6450.50	6517.52	USD	-3,351.00	
25SEP17	S 10 PD27DEC17	6453.00	6517.52	USD	-16,130.00	
25SEP17	S 1 PD27DEC17	6453.50	6517.52	USD	-1,600.50	
25SEP17	S 7 PD27DEC17	6454.00	6517.52	USD	-11,116.00	
25SEP17	S 3 PD27DEC17	6457.00	6517.52	USD	-4,539.00	
25SEP17	S 3 PD27DEC17	6459.00	6517.52	USD	-4,389.00	
25SEP17	S 14 PD27DEC17	6451.50	6517.52	USD	-23,107.00	
25SEP17	S 3 PD27DEC17	6446.00	6517.52	USD	-5,364.00	
25SEP17	S 1 PD27DEC17	6441.50	6517.52	USD	-1,900.50	
25SEP17	S 1 PD27DEC17	6442.50	6517.52	USD	-1,875.50	
25SEP17	S 5 PD27DEC17	6443.00	6517.52	USD	-9,315.00	
25SEP17	S 1 PD27DEC17	6443.50	6517.52	USD	-1,850.50	
25SEP17	S 1 PD27DEC17	6444.00	6517.52	USD	-1,838.00	
25SEP17	B 1 PD27DEC17	6461.00	6517.52	USD	1,413.00	
25SEP17	B 4 PD27DEC17	6460.00	6517.52	USD	5,752.00	
26SEP17	B 1 PD27DEC17	6509.50	6517.52	USD	200.50	
26SEP17	B 1 PD27DEC17	6511.00	6517.52	USD	163.00	
26SEP17	B 2 PD27DEC17	6513.00	6517.52	USD	226.00	
26SEP17	B 3 PD27DEC17	6512.00	6517.52	USD	414.00	
26SEP17	B 2 PD27DEC17	6512.50	6517.52	USD	251.00	
26SEP17	S 2 PD27DEC17	6514.00	6517.52	USD	-176.00	
26SEP17	B 1 PD27DEC17	6516.50	6517.52	USD	25.50	
26SEP17	S 2 PD27DEC17	6514.50	6517.52	USD	-151.00	
26SEP17	S 1 PD27DEC17	6513.00	6517.52	USD	-113.00	
26SEP17	S 1 PD27DEC17	6511.00	6517.52	USD	-163.00	
26SEP17	B 1 PD27DEC17	6497.50	6517.52	USD	500.50	
26SEP17	B 3 PD27DEC17	6500.00	6517.52	USD	1,314.00	
26SEP17	B 1 PD27DEC17	6499.50	6517.52	USD	450.50	
26SEP17	B 2 PD27DEC17	6499.00	6517.52	USD	926.00	
26SEP17	B 2 PD27DEC17	6496.50	6517.52	USD	1,051.00	
26SEP17	B 4 PD27DEC17	6500.50	6517.52	USD	1,702.00	
26SEP17	B 2 PD27DEC17	6501.50	6517.52	USD	801.00	
26SEP17	B 5 PD27DEC17	6501.00	6517.52	USD	2,065.00	
26SEP17	B 5 PD27DEC17	6498.00	6517.52	USD	2,440.00	
26SEP17	B 5 PD27DEC17	6502.50	6517.52	USD	1,877.50	
26SEP17	B 6 PD27DEC17	6506.50	6517.52	USD	1,653.00	
26SEP17	B 5 PD27DEC17	6507.00	6517.52	USD	1,315.00	
26SEP17	S 1 PD27DEC17	6505.00	6517.52	USD	-313.00	
26SEP17	B 1 PD27DEC17	6490.50	6517.52	USD	675.50	
26SEP17	S 1 PD27DEC17	6482.00	6517.52	USD	-888.00	
26SEP17	S 1 PD27DEC17	6483.00	6517.52	USD	-863.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097Q

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
26SEP17	B 1 PD27DEC17	6483.50	6517.52	USD	850.50	
26SEP17	B 1 PD27DEC17	6481.50	6517.52	USD	900.50	
26SEP17	S 5 PD27DEC17	6465.50	6517.52	USD	-6,502.50	
26SEP17	S 1 PD27DEC17	6460.00	6517.52	USD	-1,438.00	
26SEP17	S 2 PD27DEC17	6459.00	6517.52	USD	-2,926.00	
26SEP17	S 1 PD27DEC17	6458.50	6517.52	USD	-1,475.50	
26SEP17	S 1 PD27DEC17	6456.00	6517.52	USD	-1,538.00	
26SEP17	S 5 PD27DEC17	6456.50	6517.52	USD	-7,627.50	
26SEP17	S 1 PD27DEC17	6460.50	6517.52	USD	-1,425.50	
26SEP17	S 1 PD27DEC17	6463.00	6517.52	USD	-1,363.00	
26SEP17	S 2 PD27DEC17	6464.00	6517.52	USD	-2,676.00	
26SEP17	S 17 PD27DEC17	6466.00	6517.52	USD	-21,896.00	
26SEP17	S 6 PD27DEC17	6461.00	6517.52	USD	-8,478.00	
26SEP17	S 1 PD27DEC17	6462.00	6517.52	USD	-1,388.00	
26SEP17	S 7 PD27DEC17	6465.00	6517.52	USD	-9,191.00	
26SEP17	S 1 PD27DEC17	6407.50	6517.52	USD	-2,750.50	
26SEP17	S 1 PD27DEC17	6411.00	6517.52	USD	-2,663.00	
26SEP17	S 1 PD27DEC17	6413.00	6517.52	USD	-2,613.00	
26SEP17	S 5 PD27DEC17	6417.50	6517.52	USD	-12,502.50	
26SEP17	S 1 PD27DEC17	6420.00	6517.52	USD	-2,438.00	
26SEP17	S 1 PD27DEC17	6423.00	6517.52	USD	-2,363.00	
26SEP17	S 1 PD27DEC17	6420.50	6517.52	USD	-2,425.50	
26SEP17	S 3 PD27DEC17	6422.00	6517.52	USD	-7,164.00	
26SEP17	S 2 PD27DEC17	6421.00	6517.52	USD	-4,826.00	
26SEP17	B 1 PD27DEC17	6424.50	6517.52	USD	2,325.50	
26SEP17	B 5 PD27DEC17	6422.50	6517.52	USD	11,877.50	
26SEP17	B 5 PD27DEC17	6419.00	6517.52	USD	12,315.00	
26SEP17	B 2 PD27DEC17	6420.50	6517.52	USD	4,851.00	
26SEP17	B 3 PD27DEC17	6419.50	6517.52	USD	7,351.50	
26SEP17	B 1 PD27DEC17	6412.50	6517.52	USD	2,625.50	
26SEP17	S 1 PD27DEC17	6415.00	6517.52	USD	-2,563.00	
26SEP17	B 1 PD27DEC17	6418.00	6517.52	USD	2,488.00	
26SEP17	B 1 PD27DEC17	6417.00	6517.52	USD	2,513.00	
26SEP17	B 1 PD27DEC17	6415.50	6517.52	USD	2,550.50	
26SEP17	B 5 PD27DEC17	6413.00	6517.52	USD	13,065.00	
26SEP17	B 12 PD27DEC17	6416.00	6517.52	USD	30,456.00	
27SEP17	B 1 PD27DEC17	6472.50	6517.52	USD	1,125.50	
27SEP17	B 2 PD27DEC17	6474.00	6517.52	USD	2,176.00	
27SEP17	B 2 PD27DEC17	6463.00	6517.52	USD	2,726.00	
27SEP17	B 1 PD27DEC17	6466.50	6517.52	USD	1,275.50	
27SEP17	B 1 PD27DEC17	6455.50	6517.52	USD	1,550.50	
27SEP17	B 7 PD27DEC17	6450.00	6517.52	USD	11,816.00	
27SEP17	B 1 PD27DEC17	6449.00	6517.52	USD	1,713.00	
27SEP17	B 1 PD27DEC17	6453.50	6517.52	USD	1,600.50	
27SEP17	B 2 PD27DEC17	6453.00	6517.52	USD	3,226.00	
27SEP17	B 6 PD27DEC17	6458.00	6517.52	USD	8,928.00	
27SEP17	B 1 PD27DEC17	6459.50	6517.52	USD	1,450.50	
27SEP17	B 3 PD27DEC17	6458.50	6517.52	USD	4,426.50	
27SEP17	B 1 PD27DEC17	6461.50	6517.52	USD	1,400.50	
27SEP17	B 10 PD27DEC17	6459.00	6517.52	USD	14,630.00	
27SEP17	S 6 PD27DEC17	6455.00	6517.52	USD	-9,378.00	
27SEP17	B 2 PD27DEC17	6460.50	6517.52	USD	2,851.00	
27SEP17	B 1 PD27DEC17	6467.50	6517.52	USD	1,250.50	
27SEP17	B 4 PD27DEC17	6471.00	6517.52	USD	4,652.00	
27SEP17	B 2 PD27DEC17	6469.50	6517.52	USD	2,401.00	
27SEP17	B 2 PD27DEC17	6470.00	6517.52	USD	2,376.00	
27SEP17	B 2 PD27DEC17	6468.50	6517.52	USD	2,451.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097Q

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT							
27SEP17	B	3	PD27DEC17	6468.00	6517.52	USD	3,714.00	
27SEP17	B	5	PD27DEC17	6470.50	6517.52	USD	5,877.50	
27SEP17	B	1	PD27DEC17	6458.00	6517.52	USD	1,488.00	
27SEP17	B	1	PD27DEC17	6469.00	6517.52	USD	1,213.00	
27SEP17	B	5	PD27DEC17	6461.00	6517.52	USD	7,065.00	
27SEP17	B	5	PD27DEC17	6463.50	6517.52	USD	6,752.50	
27SEP17	B	1	PD27DEC17	6457.00	6517.52	USD	1,513.00	
27SEP17	B	3	PD27DEC17	6454.00	6517.52	USD	4,764.00	
27SEP17	B	2	PD27DEC17	6460.00	6517.52	USD	2,876.00	
27SEP17	S	1	PD27DEC17	6464.00	6517.52	USD	-1,338.00	
27SEP17	S	1	PD27DEC17	6465.00	6517.52	USD	-1,313.00	
27SEP17	S	1	PD27DEC17	6450.50	6517.52	USD	-1,675.50	
27SEP17	S	1	PD27DEC17	6449.00	6517.52	USD	-1,713.00	
27SEP17	B	2	PD27DEC17	6430.00	6517.52	USD	4,376.00	
27SEP17	B	1	PD27DEC17	6427.00	6517.52	USD	2,263.00	
27SEP17	B	1	PD27DEC17	6429.00	6517.52	USD	2,213.00	
27SEP17	B	1	PD27DEC17	6431.50	6517.52	USD	2,150.50	
27SEP17	B	1	PD27DEC17	6437.00	6517.52	USD	2,013.00	
27SEP17	S	3	PD27DEC17	6442.00	6517.52	USD	-5,664.00	
27SEP17	S	1	PD27DEC17	6437.50	6517.52	USD	-2,000.50	
27SEP17	S	2	PD27DEC17	6422.50	6517.52	USD	-4,751.00	
27SEP17	S	1	PD27DEC17	6415.00	6517.52	USD	-2,563.00	
27SEP17	S	1	PD27DEC17	6416.50	6517.52	USD	-2,525.50	
27SEP17	S	1	PD27DEC17	6417.00	6517.52	USD	-2,513.00	
27SEP17	B	1	PD27DEC17	6439.50	6517.52	USD	1,950.50	
27SEP17	B	3	PD27DEC17	6437.50	6517.52	USD	6,001.50	
27SEP17	B	1	PD27DEC17	6441.50	6517.52	USD	1,900.50	
27SEP17	B	1	PD27DEC17	6440.00	6517.52	USD	1,938.00	
27SEP17	B	1	PD27DEC17	6439.00	6517.52	USD	1,963.00	
27SEP17	B	2	PD27DEC17	6440.50	6517.52	USD	3,851.00	
27SEP17	B	1	PD27DEC17	6442.00	6517.52	USD	1,888.00	
27SEP17	B	3	PD27DEC17	6436.00	6517.52	USD	6,114.00	
27SEP17	B	2	PD27DEC17	6435.00	6517.52	USD	4,126.00	
27SEP17	B	1	PD27DEC17	6435.50	6517.52	USD	2,050.50	
27SEP17	B	1	PD27DEC17	6432.50	6517.52	USD	2,125.50	
27SEP17	B	2	PD27DEC17	6434.00	6517.52	USD	4,176.00	
27SEP17	B	1	PD27DEC17	6441.50	6517.52	USD	1,900.50	
28SEP17	B	25	PD27DEC17	6521.53	6517.52	USD	-2,506.25	
	NET	**0**	**AVG BGHT 6467.80 SOLD 6456.33**				**-64,806.25**	**0.00**
28SEP17	S	1	PD28DEC17	6416.00	6517.88	USD	-2,547.00	
28SEP17	S	1	PD28DEC17	6415.50	6517.88	USD	-2,559.50	
28SEP17	S	1	PD28DEC17	6415.00	6517.88	USD	-2,572.00	
28SEP17	S	1	PD28DEC17	6414.00	6517.88	USD	-2,597.00	
28SEP17	S	2	PD28DEC17	6412.00	6517.88	USD	-5,294.00	
28SEP17	B	1	PD28DEC17	6407.00	6517.88	USD	2,772.00	
28SEP17	B	1	PD28DEC17	6406.00	6517.88	USD	2,797.00	
28SEP17	S	1	PD28DEC17	6407.50	6517.88	USD	-2,759.50	
28SEP17	S	5	PD28DEC17	6409.00	6517.88	USD	-13,610.00	
28SEP17	B	1	PD28DEC17	6409.00	6517.88	USD	2,722.00	
28SEP17	S	2	PD28DEC17	6409.50	6517.88	USD	-5,419.00	
28SEP17	S	4	PD28DEC17	6408.00	6517.88	USD	-10,988.00	
28SEP17	S	2	PD28DEC17	6407.00	6517.88	USD	-5,544.00	
28SEP17	S	3	PD28DEC17	6406.50	6517.88	USD	-8,353.50	
28SEP17	S	5	PD28DEC17	6406.00	6517.88	USD	-13,985.00	
28SEP17	S	1	PD28DEC17	6430.50	6517.88	USD	-2,184.50	
28SEP17	B	1	PD28DEC17	6432.50	6517.88	USD	2,134.50	
28SEP17	S	3	PD28DEC17	6426.50	6517.88	USD	-6,853.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097Q

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
28SEP17	S 2 PD28DEC17	6425.50	6517.88	USD	-4,619.00	
28SEP17	B 1 PD28DEC17	6437.00	6517.88	USD	2,022.00	
28SEP17	B 1 PD28DEC17	6434.00	6517.88	USD	2,097.00	
28SEP17	B 1 PD28DEC17	6436.50	6517.88	USD	2,034.50	
28SEP17	B 1 PD28DEC17	6435.00	6517.88	USD	2,072.00	
28SEP17	B 2 PD28DEC17	6438.00	6517.88	USD	3,994.00	
28SEP17	B 1 PD28DEC17	6438.50	6517.88	USD	1,984.50	
28SEP17	B 3 PD28DEC17	6437.50	6517.88	USD	6,028.50	
28SEP17	S 1 PD28DEC17	6436.50	6517.88	USD	-2,034.50	
28SEP17	B 1 PD28DEC17	6436.00	6517.88	USD	2,047.00	
28SEP17	B 1 PD28DEC17	6441.50	6517.88	USD	1,909.50	
28SEP17	B 1 PD28DEC17	6446.50	6517.88	USD	1,784.50	
28SEP17	S 1 PD28DEC17	6446.50	6517.88	USD	-1,784.50	
28SEP17	S 1 PD28DEC17	6452.50	6517.88	USD	-1,634.50	
28SEP17	B 1 PD28DEC17	6446.00	6517.88	USD	1,797.00	
28SEP17	S 1 PD28DEC17	6446.00	6517.88	USD	-1,797.00	
28SEP17	S 1 PD28DEC17	6467.50	6517.88	USD	-1,259.50	
28SEP17	B 2 PD28DEC17	6467.00	6517.88	USD	2,544.00	
28SEP17	B 10 PD28DEC17	6469.00	6517.88	USD	12,220.00	
28SEP17	B 6 PD28DEC17	6468.50	6517.88	USD	7,407.00	
28SEP17	B 1 PD28DEC17	6468.00	6517.88	USD	1,247.00	
28SEP17	B 2 PD28DEC17	6467.50	6517.88	USD	2,519.00	
28SEP17	B 1 PD28DEC17	6463.50	6517.88	USD	1,359.50	
28SEP17	B 1 PD28DEC17	6460.00	6517.88	USD	1,447.00	
28SEP17	B 1 PD28DEC17	6459.50	6517.88	USD	1,459.50	
28SEP17	B 1 PD28DEC17	6451.50	6517.88	USD	1,659.50	
28SEP17	B 3 PD28DEC17	6452.50	6517.88	USD	4,903.50	
28SEP17	B 5 PD28DEC17	6454.00	6517.88	USD	7,985.00	
28SEP17	B 2 PD28DEC17	6453.50	6517.88	USD	3,219.00	
28SEP17	B 1 PD28DEC17	6453.00	6517.88	USD	1,622.00	
28SEP17	B 1 PD28DEC17	6518.00	6517.88	USD	-3.00	
28SEP17	B 3 PD28DEC17	6516.00	6517.88	USD	141.00	
28SEP17	B 1 PD28DEC17	6514.50	6517.88	USD	84.50	
28SEP17	B 1 PD28DEC17	6525.50	6517.88	USD	-190.50	
28SEP17	B 2 PD28DEC17	6519.00	6517.88	USD	-56.00	
28SEP17	B 2 PD28DEC17	6521.00	6517.88	USD	-156.00	
28SEP17	B 1 PD28DEC17	6520.50	6517.88	USD	-65.50	
28SEP17	B 3 PD28DEC17	6524.50	6517.88	USD	-496.50	
28SEP17	B 10 PD28DEC17	6523.50	6517.88	USD	-1,405.00	
28SEP17	B 1 PD28DEC17	6534.50	6517.88	USD	-415.50	
28SEP17	B 2 PD28DEC17	6533.50	6517.88	USD	-781.00	
28SEP17	B 1 PD28DEC17	6534.00	6517.88	USD	-403.00	
28SEP17	B 1 PD28DEC17	6526.00	6517.88	USD	-203.00	
28SEP17	B 1 PD28DEC17	6569.50	6517.88	USD	-1,290.50	
28SEP17	B 1 PD28DEC17	6572.00	6517.88	USD	-1,353.00	
28SEP17	B 1 PD28DEC17	6571.00	6517.88	USD	-1,328.00	
28SEP17	S 3 PD28DEC17	6565.00	6517.88	USD	3,534.00	
28SEP17	B 1 PD28DEC17	6533.50	6517.88	USD	-390.50	
	NET +45 AVG BGHT 6481.34 SOLD 6427.54				**-15,385.00**	**0.00**
29SEP17	B 1 PD29DEC17	6561.50	6518.23	USD	-1,081.75	
29SEP17	B 1 PD29DEC17	6558.50	6518.23	USD	-1,006.75	
29SEP17	B 1 PD29DEC17	6557.00	6518.23	USD	-969.25	
29SEP17	S 1 PD29DEC17	6557.50	6518.23	USD	981.75	
29SEP17	B 2 PD29DEC17	6547.50	6518.23	USD	-1,463.50	
29SEP17	B 2 PD29DEC17	6549.50	6518.23	USD	-1,563.50	
29SEP17	B 4 PD29DEC17	6549.00	6518.23	USD	-3,077.00	
29SEP17	B 2 PD29DEC17	6543.00	6518.23	USD	-1,238.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097Q

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
29SEP17	B 1 PD29DEC17	6548.50	6518.23	USD	-756.75	
29SEP17	B 1 PD29DEC17	6546.50	6518.23	USD	-706.75	
29SEP17	S 1 PD29DEC17	6534.00	6518.23	USD	394.25	
29SEP17	S 2 PD29DEC17	6531.00	6518.23	USD	638.50	
29SEP17	S 1 PD29DEC17	6528.50	6518.23	USD	256.75	
29SEP17	S 3 PD29DEC17	6530.50	6518.23	USD	920.25	
29SEP17	S 1 PD29DEC17	6536.50	6518.23	USD	456.75	
29SEP17	S 3 PD29DEC17	6537.00	6518.23	USD	1,407.75	
29SEP17	S 1 PD29DEC17	6539.50	6518.23	USD	531.75	
29SEP17	S 2 PD29DEC17	6533.00	6518.23	USD	738.50	
29SEP17	S 4 PD29DEC17	6533.50	6518.23	USD	1,527.00	
29SEP17	S 2 PD29DEC17	6531.50	6518.23	USD	663.50	
29SEP17	S 6 PD29DEC17	6532.50	6518.23	USD	2,140.50	
29SEP17	B 2 PD29DEC17	6532.00	6518.23	USD	-688.50	
29SEP17	B 1 PD29DEC17	6533.00	6518.23	USD	-369.25	
29SEP17	B 3 PD29DEC17	6533.50	6518.23	USD	-1,145.25	
29SEP17	B 6 PD29DEC17	6537.00	6518.23	USD	-2,815.50	
29SEP17	S 1 PD29DEC17	6527.00	6518.23	USD	219.25	
29SEP17	S 1 PD29DEC17	6518.50	6518.23	USD	6.75	
29SEP17	S 4 PD29DEC17	6523.50	6518.23	USD	527.00	
29SEP17	S 1 PD29DEC17	6525.00	6518.23	USD	169.25	
29SEP17	B 2 PD29DEC17	6529.00	6518.23	USD	-538.50	
29SEP17	S 1 PD29DEC17	6522.00	6518.23	USD	94.25	
29SEP17	S 1 PD29DEC17	6524.50	6518.23	USD	156.75	
29SEP17	S 1 PD29DEC17	6524.00	6518.23	USD	144.25	
29SEP17	S 1 PD29DEC17	6525.50	6518.23	USD	181.75	
29SEP17	B 1 PD29DEC17	6526.00	6518.23	USD	-194.25	
29SEP17	B 1 PD29DEC17	6529.50	6518.23	USD	-281.75	
29SEP17	B 1 PD29DEC17	6528.50	6518.23	USD	-256.75	
29SEP17	S 2 PD29DEC17	6529.00	6518.23	USD	538.50	
29SEP17	B 2 PD29DEC17	6523.50	6518.23	USD	-263.50	
29SEP17	B 1 PD29DEC17	6527.00	6518.23	USD	-219.25	
29SEP17	S 5 PD29DEC17	6532.00	6518.23	USD	1,721.25	
29SEP17	B 2 PD29DEC17	6522.50	6518.23	USD	-213.50	
29SEP17	B 1 PD29DEC17	6520.50	6518.23	USD	-56.75	
29SEP17	S 3 PD29DEC17	6521.50	6518.23	USD	245.25	
29SEP17	B 3 PD29DEC17	6521.00	6518.23	USD	-207.75	
29SEP17	B 5 PD29DEC17	6521.50	6518.23	USD	-408.75	
29SEP17	B 2 PD29DEC17	6520.00	6518.23	USD	-88.50	
29SEP17	B 2 PD29DEC17	6516.00	6518.23	USD	111.50	
29SEP17	B 2 PD29DEC17	6517.00	6518.23	USD	61.50	
29SEP17	S 1 PD29DEC17	6503.00	6518.23	USD	-380.75	
29SEP17	S 5 PD29DEC17	6501.00	6518.23	USD	-2,153.75	
29SEP17	S 2 PD29DEC17	6500.50	6518.23	USD	-886.50	
29SEP17	S 1 PD29DEC17	6499.00	6518.23	USD	-480.75	
29SEP17	S 1 PD29DEC17	6496.00	6518.23	USD	-555.75	
29SEP17	S 1 PD29DEC17	6497.00	6518.23	USD	-530.75	
29SEP17	S 1 PD29DEC17	6490.50	6518.23	USD	-693.25	
29SEP17	S 1 PD29DEC17	6487.00	6518.23	USD	-780.75	
29SEP17	S 1 PD29DEC17	6489.50	6518.23	USD	-718.25	
29SEP17	S 1 PD29DEC17	6487.50	6518.23	USD	-768.25	
29SEP17	S 2 PD29DEC17	6486.00	6518.23	USD	-1,611.50	
29SEP17	B 1 PD29DEC17	6485.50	6518.23	USD	818.25	
29SEP17	B 2 PD29DEC17	6486.00	6518.23	USD	1,611.50	
29SEP17	B 1 PD29DEC17	6486.50	6518.23	USD	793.25	
29SEP17	B 5 PD29DEC17	6488.00	6518.23	USD	3,778.75	
29SEP17	S 2 PD29DEC17	6491.50	6518.23	USD	-1,336.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097Q

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
29SEP17	S 1 PD29DEC17	6492.50	6518.23	USD	-643.25	
29SEP17	S 1 PD29DEC17	6493.00	6518.23	USD	-630.75	
29SEP17	S 1 PD29DEC17	6492.00	6518.23	USD	-655.75	
29SEP17	S 2 PD29DEC17	6489.00	6518.23	USD	-1,461.50	
29SEP17	S 6 PD29DEC17	6490.00	6518.23	USD	-4,234.50	
29SEP17	S 3 PD29DEC17	6488.00	6518.23	USD	-2,267.25	
29SEP17	S 1 PD29DEC17	6484.00	6518.23	USD	-855.75	
29SEP17	B 2 PD29DEC17	6489.00	6518.23	USD	1,461.50	
29SEP17	B 1 PD29DEC17	6491.50	6518.23	USD	668.25	
29SEP17	S 4 PD29DEC17	6501.50	6518.23	USD	-1,673.00	
29SEP17	S 1 PD29DEC17	6502.50	6518.23	USD	-393.25	
29SEP17	S 1 PD29DEC17	6499.50	6518.23	USD	-468.25	
29SEP17	S 1 PD29DEC17	6496.50	6518.23	USD	-543.25	
29SEP17	S 4 PD29DEC17	6497.50	6518.23	USD	-2,073.00	
29SEP17	B 1 PD29DEC17	6498.50	6518.23	USD	493.25	
29SEP17	S 9 PD29DEC17	6500.00	6518.23	USD	-4,101.75	
29SEP17	S 8 PD29DEC17	6502.00	6518.23	USD	-3,246.00	
29SEP17	S 6 PD29DEC17	6503.50	6518.23	USD	-2,209.50	
29SEP17	B 1 PD29DEC17	6521.50	6518.23	USD	-81.75	
29SEP17	S 4 PD29DEC17	6500.00	6518.23	USD	-1,823.00	
29SEP17	B 2 PD29DEC17	6486.00	6518.23	USD	1,611.50	
29SEP17	B 1 PD29DEC17	6485.50	6518.23	USD	818.25	
29SEP17	B 1 PD29DEC17	6503.00	6518.23	USD	380.75	
03OCT17	B 50 PD29DEC17	6518.43	6518.23	USD	-250.00	
	NET 0 AVG BGHT 6520.68 SOLD 6510.39				**-30,850.00**	**0.00**
02OCT17	B 2 PD02JAN18	6536.50	6519.65	USD	-842.50	
02OCT17	B 1 PD02JAN18	6532.00	6519.65	USD	-308.75	
02OCT17	B 1 PD02JAN18	6533.50	6519.65	USD	-346.25	
02OCT17	B 2 PD02JAN18	6533.00	6519.65	USD	-667.50	
02OCT17	B 2 PD02JAN18	6534.50	6519.65	USD	-742.50	
02OCT17	B 2 PD02JAN18	6535.00	6519.65	USD	-767.50	
02OCT17	B 1 PD02JAN18	6526.00	6519.65	USD	-158.75	
02OCT17	B 1 PD02JAN18	6523.00	6519.65	USD	-83.75	
02OCT17	B 3 PD02JAN18	6525.00	6519.65	USD	-401.25	
02OCT17	B 1 PD02JAN18	6524.50	6519.65	USD	-121.25	
02OCT17	B 1 PD02JAN18	6531.50	6519.65	USD	-296.25	
02OCT17	B 2 PD02JAN18	6532.50	6519.65	USD	-642.50	
02OCT17	B 4 PD02JAN18	6526.50	6519.65	USD	-685.00	
02OCT17	B 1 PD02JAN18	6513.50	6519.65	USD	153.75	
02OCT17	B 1 PD02JAN18	6513.00	6519.65	USD	166.25	
02OCT17	B 1 PD02JAN18	6511.50	6519.65	USD	203.75	
02OCT17	B 2 PD02JAN18	6534.00	6519.65	USD	-717.50	
02OCT17	B 1 PD02JAN18	6525.00	6519.65	USD	-133.75	
02OCT17	B 1 PD02JAN18	6512.00	6519.65	USD	191.25	
02OCT17	S 2 PD02JAN18	6466.00	6519.65	USD	-2,682.50	
02OCT17	B 1 PD02JAN18	6466.00	6519.65	USD	1,341.25	
02OCT17	B 1 PD02JAN18	6467.00	6519.65	USD	1,316.25	
02OCT17	S 2 PD02JAN18	6488.50	6519.65	USD	-1,557.50	
02OCT17	S 1 PD02JAN18	6492.50	6519.65	USD	-678.75	
02OCT17	S 1 PD02JAN18	6491.50	6519.65	USD	-703.75	
02OCT17	S 2 PD02JAN18	6493.00	6519.65	USD	-1,332.50	
02OCT17	S 3 PD02JAN18	6495.50	6519.65	USD	-1,811.25	
02OCT17	S 2 PD02JAN18	6499.00	6519.65	USD	-1,032.50	
02OCT17	S 1 PD02JAN18	6502.50	6519.65	USD	-428.75	
02OCT17	B 1 PD02JAN18	6502.00	6519.65	USD	441.25	
02OCT17	B 1 PD02JAN18	6501.00	6519.65	USD	466.25	
02OCT17	S 2 PD02JAN18	6496.00	6519.65	USD	-1,182.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097Q

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
02OCT17	S 2 PD02JAN18	6498.00	6519.65	USD	-1,082.50	
02OCT17	B 1 PD02JAN18	6499.50	6519.65	USD	503.75	
02OCT17	S 6 PD02JAN18	6497.00	6519.65	USD	-3,397.50	
02OCT17	S 4 PD02JAN18	6500.00	6519.65	USD	-1,965.00	
02OCT17	S 1 PD02JAN18	6494.00	6519.65	USD	-641.25	
02OCT17	S 1 PD02JAN18	6501.50	6519.65	USD	-453.75	
	NET +5 AVG BGHT 6522.09 SOLD 6494.38				**-21,081.25**	**0.00**
03OCT17	S 1 PD03JAN18	6450.00	6520.00	USD	-1,750.00	
03OCT17	S 1 PD03JAN18	6447.00	6520.00	USD	-1,825.00	
03OCT17	S 1 PD03JAN18	6447.50	6520.00	USD	-1,812.50	
03OCT17	S 1 PD03JAN18	6445.50	6520.00	USD	-1,862.50	
03OCT17	S 1 PD03JAN18	6442.50	6520.00	USD	-1,937.50	
03OCT17	S 3 PD03JAN18	6467.00	6520.00	USD	-3,975.00	
03OCT17	S 1 PD03JAN18	6467.50	6520.00	USD	-1,312.50	
03OCT17	S 1 PD03JAN18	6468.00	6520.00	USD	-1,300.00	
03OCT17	B 1 PD03JAN18	6482.50	6520.00	USD	937.50	
03OCT17	B 1 PD03JAN18	6485.00	6520.00	USD	875.00	
03OCT17	B 1 PD03JAN18	6481.00	6520.00	USD	975.00	
03OCT17	B 1 PD03JAN18	6480.00	6520.00	USD	1,000.00	
03OCT17	B 3 PD03JAN18	6483.00	6520.00	USD	2,775.00	
03OCT17	B 2 PD03JAN18	6482.00	6520.00	USD	1,900.00	
03OCT17	B 1 PD03JAN18	6488.50	6520.00	USD	787.50	
03OCT17	B 1 PD03JAN18	6487.50	6520.00	USD	812.50	
03OCT17	B 1 PD03JAN18	6485.50	6520.00	USD	862.50	
03OCT17	S 1 PD03JAN18	6488.00	6520.00	USD	-800.00	
03OCT17	B 1 PD03JAN18	6489.00	6520.00	USD	775.00	
03OCT17	S 1 PD03JAN18	6491.50	6520.00	USD	-712.50	
03OCT17	S 1 PD03JAN18	6492.50	6520.00	USD	-687.50	
03OCT17	B 1 PD03JAN18	6491.50	6520.00	USD	712.50	
03OCT17	B 2 PD03JAN18	6490.00	6520.00	USD	1,500.00	
03OCT17	S 2 PD03JAN18	6519.00	6520.00	USD	-50.00	
03OCT17	S 1 PD03JAN18	6513.00	6520.00	USD	-175.00	
03OCT17	B 1 PD03JAN18	6507.00	6520.00	USD	325.00	
03OCT17	B 1 PD03JAN18	6506.50	6520.00	USD	337.50	
03OCT17	S 1 PD03JAN18	6505.00	6520.00	USD	-375.00	
03OCT17	B 1 PD03JAN18	6505.00	6520.00	USD	375.00	
03OCT17	B 2 PD03JAN18	6508.00	6520.00	USD	600.00	
03OCT17	B 1 PD03JAN18	6502.50	6520.00	USD	437.50	
03OCT17	B 8 PD03JAN18	6502.00	6520.00	USD	3,600.00	
03OCT17	B 3 PD03JAN18	6501.00	6520.00	USD	1,425.00	
03OCT17	B 7 PD03JAN18	6496.00	6520.00	USD	4,200.00	
03OCT17	B 1 PD03JAN18	6496.50	6520.00	USD	587.50	
03OCT17	B 1 PD03JAN18	6497.50	6520.00	USD	562.50	
03OCT17	B 5 PD03JAN18	6495.00	6520.00	USD	3,125.00	
03OCT17	B 2 PD03JAN18	6494.50	6520.00	USD	1,275.00	
03OCT17	B 1 PD03JAN18	6483.00	6520.00	USD	925.00	
03OCT17	S 1 PD03JAN18	6483.00	6520.00	USD	-925.00	
03OCT17	B 1 PD03JAN18	6499.00	6520.00	USD	525.00	
03OCT17	B 2 PD03JAN18	6511.50	6520.00	USD	425.00	
03OCT17	B 1 PD03JAN18	6514.50	6520.00	USD	137.50	
03OCT17	B 1 PD03JAN18	6512.50	6520.00	USD	187.50	
03OCT17	S 1 PD03JAN18	6527.00	6520.00	USD	175.00	
03OCT17	B 1 PD03JAN18	6518.50	6520.00	USD	37.50	
03OCT17	S 1 PD03JAN18	6519.50	6520.00	USD	-12.50	
03OCT17	S 3 PD03JAN18	6515.50	6520.00	USD	-337.50	
03OCT17	S 1 PD03JAN18	6512.50	6520.00	USD	-187.50	
03OCT17	S 1 PD03JAN18	6517.00	6520.00	USD	-75.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097Q

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
03OCT17	S 2 PD03JAN18	6514.50	6520.00	USD	-275.00	
03OCT17	S 2 PD03JAN18	6514.00	6520.00	USD	-300.00	
03OCT17	S 2 PD03JAN18	6514.50	6520.00	USD	-275.00	
	NET +25 AVG BGHT 6496.43 SOLD 6493.18				**12,212.50**	**0.00**
	CMX COPPER 25,000 CMX					
27SEP17	S 4 DEC17	292.200	296.350	USD	-4,150.00	
27SEP17	S 2 DEC17	292.250	296.350	USD	-2,050.00	
27SEP17	S 2 DEC17	292.350	296.350	USD	-2,000.00	
27SEP17	S 6 DEC17	292.600	296.350	USD	-5,625.00	
27SEP17	S 3 DEC17	292.750	296.350	USD	-2,700.00	
27SEP17	S 2 DEC17	292.650	296.350	USD	-1,850.00	
27SEP17	S 8 DEC17	292.700	296.350	USD	-7,300.00	
27SEP17	S 4 DEC17	292.800	296.350	USD	-3,550.00	
27SEP17	S 3 DEC17	292.500	296.350	USD	-2,887.50	
27SEP17	S 14 DEC17	292.550	296.350	USD	-13,300.00	
27SEP17	S 2 DEC17	292.400	296.350	USD	-1,975.00	
27SEP17	S 5 DEC17	292.450	296.350	USD	-4,875.00	
28SEP17	S 11 DEC17	293.250	296.350	USD	-8,525.00	
28SEP17	S 2 DEC17	293.300	296.350	USD	-1,525.00	
28SEP17	S 14 DEC17	293.350	296.350	USD	-10,500.00	
28SEP17	S 2 DEC17	296.150	296.350	USD	-100.00	
28SEP17	S 6 DEC17	296.200	296.350	USD	-225.00	
28SEP17	S 3 DEC17	296.100	296.350	USD	-187.50	
28SEP17	S 2 DEC17	296.500	296.350	USD	75.00	
28SEP17	S 2 DEC17	296.650	296.350	USD	150.00	
28SEP17	S 4 DEC17	296.350	296.350	USD	0.00	
28SEP17	S 8 DEC17	296.450	296.350	USD	200.00	
28SEP17	S 6 DEC17	296.600	296.350	USD	375.00	
28SEP17	S 22 DEC17	296.550	296.350	USD	1,100.00	
28SEP17	S 6 DEC17	297.000	296.350	USD	975.00	
28SEP17	S 4 DEC17	297.050	296.350	USD	700.00	
28SEP17	S 1 DEC17	296.800	296.350	USD	112.50	
28SEP17	S 2 DEC17	298.750	296.350	USD	1,200.00	
28SEP17	S 2 DEC17	298.900	296.350	USD	1,275.00	
28SEP17	S 2 DEC17	298.850	296.350	USD	1,250.00	
02OCT17	S 5 DEC17	296.150	296.350	USD	-250.00	
02OCT17	S 2 DEC17	294.000	296.350	USD	-1,175.00	
02OCT17	S 2 DEC17	295.550	296.350	USD	-400.00	
02OCT17	S 2 DEC17	295.500	296.350	USD	-425.00	
03OCT17	S 5 DEC17	295.550	296.350	USD	-1,000.00	
03OCT17	S 3 DEC17	295.500	296.350	USD	-637.50	
03OCT17	S 15 DEC17	295.300	296.350	USD	-3,937.50	
03OCT17	S 3 DEC17	295.350	296.350	USD	-750.00	
03OCT17	S 2 DEC17	295.200	296.350	USD	-575.00	
03OCT17	S 9 DEC17	295.250	296.350	USD	-2,475.00	
03OCT17	S 2 DEC17	295.000	296.350	USD	-675.00	
03OCT17	S 5 DEC17	295.450	296.350	USD	-1,125.00	
03OCT17	S 2 DEC17	295.600	296.350	USD	-375.00	
03OCT17	S 2 DEC17	296.000	296.350	USD	-175.00	
03OCT17	S 2 DEC17	296.150	296.350	USD	-100.00	
03OCT17	S 3 DEC17	296.050	296.350	USD	-225.00	
03OCT17	S 2 DEC17	296.300	296.350	USD	-25.00	
	NET -220 AVG SOLD 294.891				**-80,237.50**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097Q

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	-17,213,682.50
CMX Copper	FUTURES	16,219,012.50

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options		Amount	Profit / Loss	**Total Amount**	**Total P&L**
COPR - Futures	USD	-80,237.50	-80,237.50	**-80,237.50**	**-80,237.50**
Unrealised Profits & Losses - Forwards		**Amount**	**Profit / Loss**	**Total Amount**	**Total P&L**
COPL - Futures	USD	-917,917.50	-917,917.50	**-917,917.50**	**-917,917.50**

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		1,025,802.26	1,025,802.26
Variation Margins	- Futures	-80,237.50	-80,237.50
	- Options	0.00	0.00
TOTAL EQUITY		**945,564.76**	**945,564.76**
Initial Margins		-2,859,880.50	-2,859,880.50
Excess/(Shortage)		**-1,914,315.74**	**-1,914,315.74**
FOR INFORMATION ONLY			
Forward Unrealised P&L		-917,917.50	-917,917.50
Net Liquidating Value		**27,647.26**	**27,647.26**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097R

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
24AUG17	B 4 PD24NOV17	6608.50	6505.80	USD	-10,270.00	
24AUG17	B 2 PD24NOV17	6609.50	6505.80	USD	-5,185.00	
24AUG17	B 4 PD24NOV17	6607.50	6505.80	USD	-10,170.00	
24AUG17	B 1 PD24NOV17	6603.50	6505.80	USD	-2,442.50	
24AUG17	B 1 PD24NOV17	6602.00	6505.80	USD	-2,405.00	
24AUG17	B 2 PD24NOV17	6601.00	6505.80	USD	-4,760.00	
24AUG17	B 1 PD24NOV17	6600.00	6505.80	USD	-2,355.00	
24AUG17	S 1 PD24NOV17	6616.00	6505.80	USD	2,755.00	
24AUG17	S 1 PD24NOV17	6617.00	6505.80	USD	2,780.00	
24AUG17	S 3 PD24NOV17	6614.50	6505.80	USD	8,152.50	
24AUG17	S 2 PD24NOV17	6615.50	6505.80	USD	5,485.00	
24AUG17	S 3 PD24NOV17	6616.50	6505.80	USD	8,302.50	
24AUG17	S 6 PD24NOV17	6617.50	6505.80	USD	16,755.00	
24AUG17	S 1 PD24NOV17	6619.50	6505.80	USD	2,842.50	
24AUG17	S 3 PD24NOV17	6620.50	6505.80	USD	8,602.50	
24AUG17	S 2 PD24NOV17	6631.00	6505.80	USD	6,260.00	
24AUG17	S 3 PD24NOV17	6632.00	6505.80	USD	9,465.00	
24AUG17	S 1 PD24NOV17	6626.50	6505.80	USD	3,017.50	
24AUG17	S 1 PD24NOV17	6627.50	6505.80	USD	3,042.50	
24AUG17	S 2 PD24NOV17	6628.50	6505.80	USD	6,135.00	
24AUG17	S 1 PD24NOV17	6629.50	6505.80	USD	3,092.50	
24AUG17	B 2 PD24NOV17	6683.50	6505.80	USD	-8,885.00	
24AUG17	B 1 PD24NOV17	6681.00	6505.80	USD	-4,380.00	
24AUG17	S 2 PD24NOV17	6680.50	6505.80	USD	8,735.00	
24AUG17	S 1 PD24NOV17	6681.50	6505.80	USD	4,392.50	
24AUG17	S 4 PD24NOV17	6702.50	6505.80	USD	19,670.00	
24AUG17	S 1 PD24NOV17	6704.50	6505.80	USD	4,967.50	
24AUG17	S 2 PD24NOV17	6704.00	6505.80	USD	9,910.00	
24AUG17	S 4 PD24NOV17	6705.00	6505.80	USD	19,920.00	
24AUG17	S 4 PD24NOV17	6703.50	6505.80	USD	19,770.00	
24AUG17	S 4 PD24NOV17	6706.00	6505.80	USD	20,020.00	
24AUG17	S 3 PD24NOV17	6707.00	6505.80	USD	15,090.00	
24AUG17	S 3 PD24NOV17	6708.00	6505.80	USD	15,165.00	
24AUG17	S 1 PD24NOV17	6709.00	6505.80	USD	5,080.00	
24AUG17	S 4 PD24NOV17	6711.50	6505.80	USD	20,570.00	
25AUG17	B 1 PD24NOV17	6714.00	6505.80	USD	-5,205.00	
25AUG17	B 1 PD24NOV17	6713.50	6505.80	USD	-5,192.50	
25AUG17	B 1 PD24NOV17	6713.00	6505.80	USD	-5,180.00	
25AUG17	B 1 PD24NOV17	6700.00	6505.80	USD	-4,855.00	
25AUG17	B 1 PD24NOV17	6702.50	6505.80	USD	-4,917.50	
25AUG17	B 1 PD24NOV17	6703.50	6505.80	USD	-4,942.50	
25AUG17	S 1 PD24NOV17	6724.50	6505.80	USD	5,467.50	
25AUG17	S 1 PD24NOV17	6723.50	6505.80	USD	5,442.50	
25AUG17	S 2 PD24NOV17	6723.00	6505.80	USD	10,860.00	
25AUG17	B 2 PD24NOV17	6729.50	6505.80	USD	-11,185.00	
25AUG17	B 4 PD24NOV17	6728.50	6505.80	USD	-22,270.00	
25AUG17	B 8 PD24NOV17	6727.50	6505.80	USD	-44,340.00	
25AUG17	S 1 PD24NOV17	6734.50	6505.80	USD	5,717.50	
25AUG17	S 1 PD24NOV17	6732.50	6505.80	USD	5,667.50	
25AUG17	S 1 PD24NOV17	6734.00	6505.80	USD	5,705.00	
25AUG17	S 4 PD24NOV17	6739.00	6505.80	USD	23,320.00	
25AUG17	S 1 PD24NOV17	6737.50	6505.80	USD	5,792.50	
25AUG17	S 6 PD24NOV17	6738.50	6505.80	USD	34,905.00	
25AUG17	S 4 PD24NOV17	6739.50	6505.80	USD	23,370.00	
25AUG17	S 4 PD24NOV17	6740.50	6505.80	USD	23,470.00	
25AUG17	B 6 PD24NOV17	6730.00	6505.80	USD	-33,630.00	
25AUG17	B 1 PD24NOV17	6724.50	6505.80	USD	-5,467.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097R

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
25AUG17	B 1 PD24NOV17	6721.00	6505.80	USD	-5,380.00	
25AUG17	B 2 PD24NOV17	6722.50	6505.80	USD	-10,835.00	
25AUG17	B 7 PD24NOV17	6722.00	6505.80	USD	-37,835.00	
25AUG17	B 5 PD24NOV17	6720.00	6505.80	USD	-26,775.00	
25AUG17	B 1 PD24NOV17	6718.00	6505.80	USD	-5,305.00	
25AUG17	B 5 PD24NOV17	6719.00	6505.80	USD	-26,650.00	
25AUG17	S 2 PD24NOV17	6719.50	6505.80	USD	10,685.00	
25AUG17	S 1 PD24NOV17	6736.00	6505.80	USD	5,755.00	
25AUG17	S 4 PD24NOV17	6737.00	6505.80	USD	23,120.00	
25AUG17	S 3 PD24NOV17	6738.00	6505.80	USD	17,415.00	
25AUG17	B 1 PD24NOV17	6714.00	6505.80	USD	-5,205.00	
25AUG17	B 1 PD24NOV17	6712.00	6505.80	USD	-5,155.00	
25AUG17	B 1 PD24NOV17	6700.00	6505.80	USD	-4,855.00	
25AUG17	B 1 PD24NOV17	6728.50	6505.80	USD	-5,567.50	
25AUG17	B 1 PD24NOV17	6718.00	6505.80	USD	-5,305.00	
25AUG17	B 2 PD24NOV17	6735.50	6505.80	USD	-11,485.00	
25AUG17	B 1 PD24NOV17	6723.50	6505.80	USD	-5,442.50	
25AUG17	B 1 PD24NOV17	6724.00	6505.80	USD	-5,455.00	
25AUG17	B 1 PD24NOV17	6725.00	6505.80	USD	-5,480.00	
25AUG17	B 1 PD24NOV17	6715.00	6505.80	USD	-5,230.00	
25AUG17	B 5 PD24NOV17	6719.50	6505.80	USD	-26,712.50	
25AUG17	B 6 PD24NOV17	6718.50	6505.80	USD	-31,905.00	
25AUG17	B 1 PD24NOV17	6717.50	6505.80	USD	-5,292.50	
25AUG17	S 5 PD24NOV17	6690.00	6505.80	USD	23,025.00	
25AUG17	S 2 PD24NOV17	6693.00	6505.80	USD	9,360.00	
25AUG17	S 1 PD24NOV17	6695.00	6505.80	USD	4,730.00	
25AUG17	S 1 PD24NOV17	6686.50	6505.80	USD	4,517.50	
25AUG17	S 3 PD24NOV17	6684.50	6505.80	USD	13,402.50	
25AUG17	S 2 PD24NOV17	6685.50	6505.80	USD	8,985.00	
25AUG17	S 2 PD24NOV17	6692.00	6505.80	USD	9,310.00	
25AUG17	S 3 PD24NOV17	6687.50	6505.80	USD	13,627.50	
25AUG17	S 2 PD24NOV17	6680.00	6505.80	USD	8,710.00	
25AUG17	S 1 PD24NOV17	6678.00	6505.80	USD	4,305.00	
25AUG17	S 1 PD24NOV17	6679.00	6505.80	USD	4,330.00	
25AUG17	S 1 PD24NOV17	6688.00	6505.80	USD	4,555.00	
25AUG17	S 1 PD24NOV17	6702.00	6505.80	USD	4,905.00	
25AUG17	S 2 PD24NOV17	6700.50	6505.80	USD	9,735.00	
25AUG17	S 3 PD24NOV17	6701.00	6505.80	USD	14,640.00	
25AUG17	S 2 PD24NOV17	6706.00	6505.80	USD	10,010.00	
25AUG17	S 1 PD24NOV17	6706.50	6505.80	USD	5,017.50	
25AUG17	S 1 PD24NOV17	6707.00	6505.80	USD	5,030.00	
25AUG17	S 1 PD24NOV17	6706.00	6505.80	USD	5,005.00	
25AUG17	S 2 PD24NOV17	6674.50	6505.80	USD	8,435.00	
25AUG17	S 3 PD24NOV17	6675.50	6505.80	USD	12,727.50	
25AUG17	S 2 PD24NOV17	6676.50	6505.80	USD	8,535.00	
25AUG17	S 3 PD24NOV17	6672.00	6505.80	USD	12,465.00	
25AUG17	S 2 PD24NOV17	6673.00	6505.80	USD	8,360.00	
25AUG17	S 5 PD24NOV17	6671.00	6505.80	USD	20,650.00	
25AUG17	S 1 PD24NOV17	6700.00	6505.80	USD	4,855.00	
25AUG17	S 2 PD24NOV17	6699.00	6505.80	USD	9,660.00	
25AUG17	S 1 PD24NOV17	6704.00	6505.80	USD	4,955.00	
25AUG17	S 4 PD24NOV17	6701.50	6505.80	USD	19,570.00	
30AUG17	B 30 PD24NOV17	6767.50	6505.80	USD	-196,275.00	
04SEP17	B 40 PD24NOV17	6913.04	6505.80	USD	-407,240.00	
	NET 0 AVG BGHT 6766.79 SOLD 6689.47				**-307,340.00**	**0.00**
29AUG17	S 2 PD29NOV17	6760.50	6508.80	USD	12,585.00	
29AUG17	S 3 PD29NOV17	6759.50	6508.80	USD	18,802.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097R

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
29AUG17	S 1 PD29NOV17	6780.50	6508.80	USD	6,792.50	
29AUG17	S 4 PD29NOV17	6781.50	6508.80	USD	27,270.00	
29AUG17	S 3 PD29NOV17	6765.00	6508.80	USD	19,215.00	
29AUG17	S 2 PD29NOV17	6764.00	6508.80	USD	12,760.00	
29AUG17	B 2 PD29NOV17	6815.00	6508.80	USD	-15,310.00	
29AUG17	B 3 PD29NOV17	6816.50	6508.80	USD	-23,077.50	
29AUG17	S 1 PD29NOV17	6816.50	6508.80	USD	7,692.50	
29AUG17	S 1 PD29NOV17	6818.50	6508.80	USD	7,742.50	
29AUG17	S 2 PD29NOV17	6817.50	6508.80	USD	15,435.00	
29AUG17	S 5 PD29NOV17	6817.00	6508.80	USD	38,525.00	
29AUG17	B 1 PD29NOV17	6820.00	6508.80	USD	-7,780.00	
29AUG17	B 1 PD29NOV17	6819.50	6508.80	USD	-7,767.50	
29AUG17	B 1 PD29NOV17	6816.00	6508.80	USD	-7,680.00	
29AUG17	B 7 PD29NOV17	6818.00	6508.80	USD	-54,110.00	
29AUG17	B 4 PD29NOV17	6822.50	6508.80	USD	-31,370.00	
29AUG17	B 2 PD29NOV17	6823.00	6508.80	USD	-15,710.00	
29AUG17	B 1 PD29NOV17	6823.50	6508.80	USD	-7,867.50	
29AUG17	B 2 PD29NOV17	6824.00	6508.80	USD	-15,760.00	
29AUG17	B 1 PD29NOV17	6806.50	6508.80	USD	-7,442.50	
29AUG17	B 1 PD29NOV17	6807.50	6508.80	USD	-7,467.50	
29AUG17	B 2 PD29NOV17	6808.50	6508.80	USD	-14,985.00	
29AUG17	B 1 PD29NOV17	6806.00	6508.80	USD	-7,430.00	
29AUG17	B 1 PD29NOV17	6799.50	6508.80	USD	-7,267.50	
29AUG17	B 4 PD29NOV17	6799.00	6508.80	USD	-29,020.00	
29AUG17	B 1 PD29NOV17	6801.00	6508.80	USD	-7,305.00	
29AUG17	B 1 PD29NOV17	6802.50	6508.80	USD	-7,342.50	
29AUG17	B 3 PD29NOV17	6807.00	6508.80	USD	-22,365.00	
29AUG17	B 2 PD29NOV17	6803.00	6508.80	USD	-14,710.00	
29AUG17	B 3 PD29NOV17	6802.00	6508.80	USD	-21,990.00	
29AUG17	B 2 PD29NOV17	6813.00	6508.80	USD	-15,210.00	
29AUG17	B 3 PD29NOV17	6811.50	6508.80	USD	-22,702.50	
29AUG17	B 3 PD29NOV17	6812.50	6508.80	USD	-22,777.50	
29AUG17	B 11 PD29NOV17	6812.00	6508.80	USD	-83,380.00	
29AUG17	B 1 PD29NOV17	6810.50	6508.80	USD	-7,542.50	
29AUG17	S 2 PD29NOV17	6824.00	6508.80	USD	15,760.00	
29AUG17	S 2 PD29NOV17	6825.50	6508.80	USD	15,835.00	
29AUG17	S 1 PD29NOV17	6828.00	6508.80	USD	7,980.00	
29AUG17	S 1 PD29NOV17	6817.50	6508.80	USD	7,717.50	
29AUG17	B 1 PD29NOV17	6822.50	6508.80	USD	-7,842.50	
29AUG17	S 1 PD29NOV17	6791.00	6508.80	USD	7,055.00	
29AUG17	S 1 PD29NOV17	6792.00	6508.80	USD	7,080.00	
29AUG17	S 1 PD29NOV17	6793.00	6508.80	USD	7,105.00	
29AUG17	S 2 PD29NOV17	6794.00	6508.80	USD	14,260.00	
29AUG17	B 1 PD29NOV17	6791.50	6508.80	USD	-7,067.50	
29AUG17	B 3 PD29NOV17	6792.50	6508.80	USD	-21,277.50	
29AUG17	B 1 PD29NOV17	6793.50	6508.80	USD	-7,117.50	
29AUG17	S 1 PD29NOV17	6803.50	6508.80	USD	7,367.50	
29AUG17	S 4 PD29NOV17	6804.50	6508.80	USD	29,570.00	
30AUG17	S 30 PD29NOV17	6768.55	6508.80	USD	194,812.50	
	NET 0 AVG BGHT 6810.90 SOLD 6783.86				**-47,312.50**	**0.00**
30AUG17	B 2 PD30NOV17	6820.50	6509.40	USD	-15,555.00	
30AUG17	B 2 PD30NOV17	6818.50	6509.40	USD	-15,455.00	
30AUG17	B 1 PD30NOV17	6819.00	6509.40	USD	-7,740.00	
30AUG17	B 1 PD30NOV17	6821.00	6509.40	USD	-7,790.00	
30AUG17	B 2 PD30NOV17	6820.00	6509.40	USD	-15,530.00	
30AUG17	B 1 PD30NOV17	6815.00	6509.40	USD	-7,640.00	
30AUG17	B 1 PD30NOV17	6816.00	6509.40	USD	-7,665.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097R

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
30AUG17	B 1 PD30NOV17	6815.50	6509.40	USD	-7,652.50	
30AUG17	B 5 PD30NOV17	6817.50	6509.40	USD	-38,512.50	
30AUG17	B 2 PD30NOV17	6817.00	6509.40	USD	-15,380.00	
30AUG17	B 1 PD30NOV17	6814.50	6509.40	USD	-7,627.50	
30AUG17	B 1 PD30NOV17	6803.50	6509.40	USD	-7,352.50	
30AUG17	B 1 PD30NOV17	6785.00	6509.40	USD	-6,890.00	
30AUG17	B 3 PD30NOV17	6790.00	6509.40	USD	-21,045.00	
30AUG17	B 1 PD30NOV17	6789.50	6509.40	USD	-7,002.50	
30AUG17	B 1 PD30NOV17	6788.50	6509.40	USD	-6,977.50	
30AUG17	B 1 PD30NOV17	6791.00	6509.40	USD	-7,040.00	
30AUG17	B 3 PD30NOV17	6788.00	6509.40	USD	-20,895.00	
30AUG17	B 1 PD30NOV17	6787.50	6509.40	USD	-6,952.50	
30AUG17	B 1 PD30NOV17	6789.00	6509.40	USD	-6,990.00	
30AUG17	B 1 PD30NOV17	6784.50	6509.40	USD	-6,877.50	
30AUG17	B 1 PD30NOV17	6783.00	6509.40	USD	-6,840.00	
30AUG17	B 1 PD30NOV17	6782.00	6509.40	USD	-6,815.00	
30AUG17	B 1 PD30NOV17	6799.00	6509.40	USD	-7,240.00	
30AUG17	B 1 PD30NOV17	6797.00	6509.40	USD	-7,190.00	
30AUG17	B 1 PD30NOV17	6794.00	6509.40	USD	-7,115.00	
30AUG17	B 6 PD30NOV17	6785.50	6509.40	USD	-41,415.00	
30AUG17	B 1 PD30NOV17	6783.50	6509.40	USD	-6,852.50	
30AUG17	B 2 PD30NOV17	6784.00	6509.40	USD	-13,730.00	
30AUG17	B 5 PD30NOV17	6782.50	6509.40	USD	-34,137.50	
30AUG17	B 1 PD30NOV17	6778.50	6509.40	USD	-6,727.50	
30AUG17	B 1 PD30NOV17	6778.00	6509.40	USD	-6,715.00	
30AUG17	B 1 PD30NOV17	6775.00	6509.40	USD	-6,640.00	
30AUG17	B 1 PD30NOV17	6787.00	6509.40	USD	-6,940.00	
30AUG17	B 1 PD30NOV17	6786.50	6509.40	USD	-6,927.50	
30AUG17	B 1 PD30NOV17	6777.50	6509.40	USD	-6,702.50	
30AUG17	B 1 PD30NOV17	6770.00	6509.40	USD	-6,515.00	
30AUG17	B 2 PD30NOV17	6772.50	6509.40	USD	-13,155.00	
30AUG17	B 1 PD30NOV17	6769.00	6509.40	USD	-6,490.00	
30AUG17	B 1 PD30NOV17	6775.50	6509.40	USD	-6,652.50	
30AUG17	B 1 PD30NOV17	6776.00	6509.40	USD	-6,665.00	
30AUG17	B 4 PD30NOV17	6772.00	6509.40	USD	-26,260.00	
30AUG17	B 4 PD30NOV17	6768.00	6509.40	USD	-25,860.00	
30AUG17	B 3 PD30NOV17	6768.50	6509.40	USD	-19,432.50	
31AUG17	B 3 PD30NOV17	6806.50	6509.40	USD	-22,282.50	
31AUG17	B 1 PD30NOV17	6807.50	6509.40	USD	-7,452.50	
31AUG17	B 1 PD30NOV17	6808.00	6509.40	USD	-7,465.00	
31AUG17	B 1 PD30NOV17	6807.00	6509.40	USD	-7,440.00	
31AUG17	B 2 PD30NOV17	6804.50	6509.40	USD	-14,755.00	
31AUG17	B 3 PD30NOV17	6805.50	6509.40	USD	-22,207.50	
31AUG17	B 2 PD30NOV17	6803.50	6509.40	USD	-14,705.00	
31AUG17	B 1 PD30NOV17	6802.50	6509.40	USD	-7,327.50	
31AUG17	B 1 PD30NOV17	6803.00	6509.40	USD	-7,340.00	
31AUG17	B 2 PD30NOV17	6822.50	6509.40	USD	-15,655.00	
31AUG17	B 1 PD30NOV17	6821.50	6509.40	USD	-7,802.50	
31AUG17	B 2 PD30NOV17	6856.50	6509.40	USD	-17,355.00	
31AUG17	B 2 PD30NOV17	6859.00	6509.40	USD	-17,480.00	
31AUG17	B 3 PD30NOV17	6860.00	6509.40	USD	-26,295.00	
31AUG17	B 4 PD30NOV17	6858.00	6509.40	USD	-34,860.00	
31AUG17	B 1 PD30NOV17	6861.50	6509.40	USD	-8,802.50	
31AUG17	B 1 PD30NOV17	6860.50	6509.40	USD	-8,777.50	
31AUG17	B 7 PD30NOV17	6859.50	6509.40	USD	-61,267.50	
31AUG17	B 1 PD30NOV17	6857.50	6509.40	USD	-8,702.50	
31AUG17	B 1 PD30NOV17	6853.00	6509.40	USD	-8,590.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097R

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
31AUG17	B 2 PD30NOV17	6854.00	6509.40	USD	-17,230.00	
31AUG17	B 1 PD30NOV17	6851.50	6509.40	USD	-8,552.50	
31AUG17	B 1 PD30NOV17	6849.50	6509.40	USD	-8,502.50	
31AUG17	B 1 PD30NOV17	6849.00	6509.40	USD	-8,490.00	
31AUG17	B 1 PD30NOV17	6848.00	6509.40	USD	-8,465.00	
31AUG17	B 1 PD30NOV17	6847.00	6509.40	USD	-8,440.00	
31AUG17	B 1 PD30NOV17	6832.50	6509.40	USD	-8,077.50	
31AUG17	S 1 PD30NOV17	6835.50	6509.40	USD	8,152.50	
31AUG17	B 3 PD30NOV17	6833.50	6509.40	USD	-24,307.50	
31AUG17	B 1 PD30NOV17	6829.00	6509.40	USD	-7,990.00	
31AUG17	B 1 PD30NOV17	6824.00	6509.40	USD	-7,865.00	
31AUG17	S 2 PD30NOV17	6828.00	6509.40	USD	15,930.00	
31AUG17	B 2 PD30NOV17	6820.00	6509.40	USD	-15,530.00	
31AUG17	B 2 PD30NOV17	6822.50	6509.40	USD	-15,655.00	
31AUG17	B 1 PD30NOV17	6855.50	6509.40	USD	-8,652.50	
31AUG17	S 10 PD30NOV17	6790.50	6509.40	USD	70,275.00	
31AUG17	S 2 PD30NOV17	6802.50	6509.40	USD	14,655.00	
31AUG17	S 3 PD30NOV17	6800.00	6509.40	USD	21,795.00	
31AUG17	B 2 PD30NOV17	6796.00	6509.40	USD	-14,330.00	
31AUG17	B 1 PD30NOV17	6795.00	6509.40	USD	-7,140.00	
31AUG17	B 2 PD30NOV17	6793.00	6509.40	USD	-14,180.00	
31AUG17	B 2 PD30NOV17	6794.00	6509.40	USD	-14,230.00	
31AUG17	B 2 PD30NOV17	6796.50	6509.40	USD	-14,355.00	
31AUG17	B 8 PD30NOV17	6795.50	6509.40	USD	-57,220.00	
31AUG17	B 1 PD30NOV17	6788.50	6509.40	USD	-6,977.50	
31AUG17	B 9 PD30NOV17	6788.00	6509.40	USD	-62,685.00	
31AUG17	B 3 PD30NOV17	6797.50	6509.40	USD	-21,607.50	
31AUG17	B 5 PD30NOV17	6798.50	6509.40	USD	-36,137.50	
31AUG17	B 5 PD30NOV17	6798.00	6509.40	USD	-36,075.00	
31AUG17	B 7 PD30NOV17	6805.00	6509.40	USD	-51,730.00	
31AUG17	B 3 PD30NOV17	6798.50	6509.40	USD	-21,682.50	
04SEP17	S 40 PD30NOV17	6915.22	6509.40	USD	405,820.00	
04SEP17	S 10 PD30NOV17	6915.42	6509.40	USD	101,505.00	
05SEP17	S 25 PD30NOV17	6897.98	6509.40	USD	242,862.50	
06SEP17	S 10 PD30NOV17	6898.75	6509.40	USD	97,337.50	
07SEP17	S 25 PD30NOV17	6895.83	6509.40	USD	241,518.75	
20SEP17	S 38 PD30NOV17	6519.07	6509.40	USD	9,186.50	
21SEP17	S 17 PD30NOV17	6474.30	6509.40	USD	-14,917.50	
	NET 0 AVG BGHT 6806.72 SOLD 6774.78				**-146,137.25**	**0.00**
01SEP17	B 2 PD01DEC17	6825.50	6510.00	USD	-15,775.00	
01SEP17	B 1 PD01DEC17	6822.50	6510.00	USD	-7,812.50	
01SEP17	B 1 PD01DEC17	6821.50	6510.00	USD	-7,787.50	
01SEP17	B 1 PD01DEC17	6818.50	6510.00	USD	-7,712.50	
01SEP17	B 1 PD01DEC17	6816.50	6510.00	USD	-7,662.50	
01SEP17	B 1 PD01DEC17	6813.00	6510.00	USD	-7,575.00	
01SEP17	B 5 PD01DEC17	6812.00	6510.00	USD	-37,750.00	
01SEP17	B 1 PD01DEC17	6811.00	6510.00	USD	-7,525.00	
01SEP17	B 2 PD01DEC17	6809.50	6510.00	USD	-14,975.00	
01SEP17	B 6 PD01DEC17	6811.50	6510.00	USD	-45,225.00	
01SEP17	B 1 PD01DEC17	6812.50	6510.00	USD	-7,562.50	
01SEP17	B 5 PD01DEC17	6808.50	6510.00	USD	-37,312.50	
01SEP17	B 1 PD01DEC17	6808.00	6510.00	USD	-7,450.00	
01SEP17	B 4 PD01DEC17	6807.00	6510.00	USD	-29,700.00	
01SEP17	S 1 PD01DEC17	6823.00	6510.00	USD	7,825.00	
01SEP17	S 1 PD01DEC17	6822.00	6510.00	USD	7,800.00	
01SEP17	S 1 PD01DEC17	6819.50	6510.00	USD	7,737.50	
01SEP17	S 5 PD01DEC17	6820.50	6510.00	USD	38,812.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097R

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
01SEP17	B 2 PD01DEC17	6819.00	6510.00	USD	-15,450.00	
01SEP17	S 2 PD01DEC17	6818.00	6510.00	USD	15,400.00	
01SEP17	S 1 PD01DEC17	6817.00	6510.00	USD	7,675.00	
01SEP17	S 2 PD01DEC17	6811.00	6510.00	USD	15,050.00	
01SEP17	S 1 PD01DEC17	6816.50	6510.00	USD	7,662.50	
01SEP17	S 2 PD01DEC17	6815.50	6510.00	USD	15,275.00	
01SEP17	S 1 PD01DEC17	6805.00	6510.00	USD	7,375.00	
01SEP17	S 1 PD01DEC17	6805.50	6510.00	USD	7,387.50	
01SEP17	S 2 PD01DEC17	6808.00	6510.00	USD	14,900.00	
01SEP17	S 4 PD01DEC17	6803.00	6510.00	USD	29,300.00	
01SEP17	S 3 PD01DEC17	6809.00	6510.00	USD	22,425.00	
01SEP17	B 5 PD01DEC17	6828.00	6510.00	USD	-39,750.00	
01SEP17	B 5 PD01DEC17	6827.50	6510.00	USD	-39,687.50	
01SEP17	B 1 PD01DEC17	6826.00	6510.00	USD	-7,900.00	
01SEP17	B 5 PD01DEC17	6827.00	6510.00	USD	-39,625.00	
01SEP17	B 2 PD01DEC17	6829.50	6510.00	USD	-15,975.00	
01SEP17	B 5 PD01DEC17	6831.50	6510.00	USD	-40,187.50	
01SEP17	S 5 PD01DEC17	6840.00	6510.00	USD	41,250.00	
01SEP17	S 5 PD01DEC17	6840.50	6510.00	USD	41,312.50	
01SEP17	S 1 PD01DEC17	6837.50	6510.00	USD	8,187.50	
01SEP17	S 1 PD01DEC17	6834.50	6510.00	USD	8,112.50	
01SEP17	S 6 PD01DEC17	6835.00	6510.00	USD	48,750.00	
01SEP17	S 1 PD01DEC17	6826.00	6510.00	USD	7,900.00	
01SEP17	S 1 PD01DEC17	6828.00	6510.00	USD	7,950.00	
01SEP17	S 1 PD01DEC17	6830.50	6510.00	USD	8,012.50	
01SEP17	S 6 PD01DEC17	6835.50	6510.00	USD	48,825.00	
01SEP17	S 3 PD01DEC17	6836.50	6510.00	USD	24,487.50	
01SEP17	S 2 PD01DEC17	6833.50	6510.00	USD	16,175.00	
01SEP17	S 1 PD01DEC17	6834.00	6510.00	USD	8,100.00	
01SEP17	S 2 PD01DEC17	6832.50	6510.00	USD	16,125.00	
01SEP17	S 1 PD01DEC17	6836.00	6510.00	USD	8,150.00	
01SEP17	S 3 PD01DEC17	6837.00	6510.00	USD	24,525.00	
01SEP17	S 1 PD01DEC17	6838.00	6510.00	USD	8,200.00	
04SEP17	B 10 PD01DEC17	6916.01	6510.00	USD	-101,502.50	
	NET 0 AVG BGHT 6833.52 SOLD 6826.83				**-11,215.00**	**0.00**
04SEP17	S 1 PD04DEC17	6887.50	6511.29	USD	9,405.25	
04SEP17	S 1 PD04DEC17	6882.50	6511.29	USD	9,280.25	
04SEP17	S 2 PD04DEC17	6889.00	6511.29	USD	18,885.50	
04SEP17	S 1 PD04DEC17	6893.00	6511.29	USD	9,542.75	
04SEP17	S 1 PD04DEC17	6896.50	6511.29	USD	9,630.25	
04SEP17	S 2 PD04DEC17	6894.50	6511.29	USD	19,160.50	
04SEP17	S 1 PD04DEC17	6895.00	6511.29	USD	9,592.75	
04SEP17	S 1 PD04DEC17	6898.00	6511.29	USD	9,667.75	
04SEP17	S 1 PD04DEC17	6904.00	6511.29	USD	9,817.75	
04SEP17	S 1 PD04DEC17	6902.50	6511.29	USD	9,780.25	
04SEP17	S 3 PD04DEC17	6903.50	6511.29	USD	29,415.75	
04SEP17	S 5 PD04DEC17	6903.00	6511.29	USD	48,963.75	
04SEP17	S 2 PD04DEC17	6906.50	6511.29	USD	19,760.50	
04SEP17	B 1 PD04DEC17	6893.50	6511.29	USD	-9,555.25	
04SEP17	B 1 PD04DEC17	6892.50	6511.29	USD	-9,530.25	
04SEP17	S 2 PD04DEC17	6907.50	6511.29	USD	19,810.50	
04SEP17	S 6 PD04DEC17	6907.00	6511.29	USD	59,356.50	
04SEP17	B 1 PD04DEC17	6901.50	6511.29	USD	-9,755.25	
04SEP17	B 3 PD04DEC17	6902.50	6511.29	USD	-29,340.75	
04SEP17	B 1 PD04DEC17	6898.50	6511.29	USD	-9,680.25	
04SEP17	B 1 PD04DEC17	6897.50	6511.29	USD	-9,655.25	
04SEP17	B 2 PD04DEC17	6897.00	6511.29	USD	-19,285.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097R

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
04SEP17	B 2 PD04DEC17	6895.50	6511.29	USD	-19,210.50	
04SEP17	B 1 PD04DEC17	6894.50	6511.29	USD	-9,580.25	
04SEP17	B 1 PD04DEC17	6891.00	6511.29	USD	-9,492.75	
04SEP17	B 3 PD04DEC17	6891.50	6511.29	USD	-28,515.75	
04SEP17	B 3 PD04DEC17	6888.50	6511.29	USD	-28,290.75	
04SEP17	B 4 PD04DEC17	6889.50	6511.29	USD	-37,821.00	
04SEP17	B 1 PD04DEC17	6890.00	6511.29	USD	-9,467.75	
04SEP17	B 4 PD04DEC17	6889.00	6511.29	USD	-37,771.00	
04SEP17	S 1 PD04DEC17	6908.00	6511.29	USD	9,917.75	
04SEP17	S 1 PD04DEC17	6913.50	6511.29	USD	10,055.25	
04SEP17	S 1 PD04DEC17	6916.50	6511.29	USD	10,130.25	
04SEP17	S 1 PD04DEC17	6911.50	6511.29	USD	10,005.25	
04SEP17	S 1 PD04DEC17	6912.50	6511.29	USD	10,030.25	
04SEP17	S 1 PD04DEC17	6913.00	6511.29	USD	10,042.75	
04SEP17	S 1 PD04DEC17	6914.00	6511.29	USD	10,067.75	
04SEP17	S 3 PD04DEC17	6915.00	6511.29	USD	30,278.25	
04SEP17	S 1 PD04DEC17	6918.00	6511.29	USD	10,167.75	
04SEP17	S 1 PD04DEC17	6921.00	6511.29	USD	10,242.75	
04SEP17	S 1 PD04DEC17	6921.50	6511.29	USD	10,255.25	
04SEP17	S 1 PD04DEC17	6918.50	6511.29	USD	10,180.25	
04SEP17	S 2 PD04DEC17	6919.00	6511.29	USD	20,385.50	
04SEP17	B 1 PD04DEC17	6917.00	6511.29	USD	-10,142.75	
04SEP17	S 2 PD04DEC17	6911.50	6511.29	USD	20,010.50	
04SEP17	S 1 PD04DEC17	6917.00	6511.29	USD	10,142.75	
04SEP17	B 1 PD04DEC17	6917.00	6511.29	USD	-10,142.75	
04SEP17	S 2 PD04DEC17	6915.50	6511.29	USD	20,210.50	
04SEP17	B 1 PD04DEC17	6895.50	6511.29	USD	-9,605.25	
04SEP17	S 3 PD04DEC17	6917.00	6511.29	USD	30,428.25	
04SEP17	S 3 PD04DEC17	6917.50	6511.29	USD	30,465.75	
05SEP17	B 25 PD04DEC17	6899.80	6511.29	USD	-242,818.75	
	NET 0 AVG BGHT 6897.02 SOLD 6907.84				**15,425.00**	**0.00**
05SEP17	B 2 PD05DEC17	6938.00	6511.71	USD	-21,314.50	
05SEP17	B 1 PD05DEC17	6940.00	6511.71	USD	-10,707.25	
05SEP17	B 4 PD05DEC17	6939.00	6511.71	USD	-42,729.00	
05SEP17	B 1 PD05DEC17	6938.50	6511.71	USD	-10,669.75	
05SEP17	B 1 PD05DEC17	6934.50	6511.71	USD	-10,569.75	
05SEP17	B 1 PD05DEC17	6933.50	6511.71	USD	-10,544.75	
05SEP17	B 2 PD05DEC17	6932.00	6511.71	USD	-21,014.50	
05SEP17	B 1 PD05DEC17	6932.50	6511.71	USD	-10,519.75	
05SEP17	B 1 PD05DEC17	6951.00	6511.71	USD	-10,982.25	
05SEP17	B 1 PD05DEC17	6950.00	6511.71	USD	-10,957.25	
05SEP17	B 1 PD05DEC17	6959.00	6511.71	USD	-11,182.25	
05SEP17	B 1 PD05DEC17	6967.00	6511.71	USD	-11,382.25	
05SEP17	B 1 PD05DEC17	6966.00	6511.71	USD	-11,357.25	
05SEP17	B 1 PD05DEC17	6963.50	6511.71	USD	-11,294.75	
05SEP17	B 1 PD05DEC17	6964.00	6511.71	USD	-11,307.25	
05SEP17	B 3 PD05DEC17	6957.00	6511.71	USD	-33,396.75	
05SEP17	B 3 PD05DEC17	6955.00	6511.71	USD	-33,246.75	
05SEP17	B 1 PD05DEC17	6954.00	6511.71	USD	-11,057.25	
05SEP17	B 1 PD05DEC17	6953.00	6511.71	USD	-11,032.25	
05SEP17	B 1 PD05DEC17	6950.50	6511.71	USD	-10,969.75	
05SEP17	B 1 PD05DEC17	6952.00	6511.71	USD	-11,007.25	
05SEP17	S 1 PD05DEC17	6942.50	6511.71	USD	10,769.75	
05SEP17	S 1 PD05DEC17	6944.50	6511.71	USD	10,819.75	
05SEP17	B 4 PD05DEC17	6935.00	6511.71	USD	-42,329.00	
05SEP17	B 1 PD05DEC17	6938.00	6511.71	USD	-10,657.25	
05SEP17	B 1 PD05DEC17	6936.50	6511.71	USD	-10,619.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097R

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
05SEP17	B 1 PD05DEC17	6932.00	6511.71	USD	-10,507.25	
05SEP17	S 1 PD05DEC17	6940.00	6511.71	USD	10,707.25	
05SEP17	S 3 PD05DEC17	6939.50	6511.71	USD	32,084.25	
05SEP17	S 2 PD05DEC17	6939.00	6511.71	USD	21,364.50	
05SEP17	S 7 PD05DEC17	6938.50	6511.71	USD	74,688.25	
05SEP17	S 1 PD05DEC17	6937.00	6511.71	USD	10,632.25	
05SEP17	S 1 PD05DEC17	6921.50	6511.71	USD	10,244.75	
05SEP17	S 1 PD05DEC17	6920.00	6511.71	USD	10,207.25	
05SEP17	S 1 PD05DEC17	6925.50	6511.71	USD	10,344.75	
05SEP17	S 1 PD05DEC17	6927.00	6511.71	USD	10,382.25	
05SEP17	S 1 PD05DEC17	6930.00	6511.71	USD	10,457.25	
05SEP17	S 1 PD05DEC17	6931.50	6511.71	USD	10,494.75	
05SEP17	S 2 PD05DEC17	6928.00	6511.71	USD	20,814.50	
05SEP17	S 6 PD05DEC17	6926.50	6511.71	USD	62,218.50	
05SEP17	S 2 PD05DEC17	6927.50	6511.71	USD	20,789.50	
05SEP17	S 3 PD05DEC17	6921.00	6511.71	USD	30,696.75	
05SEP17	S 1 PD05DEC17	6939.00	6511.71	USD	10,682.25	
05SEP17	S 1 PD05DEC17	6921.50	6511.71	USD	10,244.75	
05SEP17	S 2 PD05DEC17	6901.50	6511.71	USD	19,489.50	
05SEP17	S 1 PD05DEC17	6900.00	6511.71	USD	9,707.25	
05SEP17	S 1 PD05DEC17	6899.00	6511.71	USD	9,682.25	
05SEP17	S 1 PD05DEC17	6896.00	6511.71	USD	9,607.25	
05SEP17	S 1 PD05DEC17	6895.50	6511.71	USD	9,594.75	
05SEP17	S 1 PD05DEC17	6896.50	6511.71	USD	9,619.75	
05SEP17	S 1 PD05DEC17	6894.50	6511.71	USD	9,569.75	
05SEP17	S 1 PD05DEC17	6897.00	6511.71	USD	9,632.25	
05SEP17	S 1 PD05DEC17	6894.00	6511.71	USD	9,557.25	
05SEP17	B 1 PD05DEC17	6888.00	6511.71	USD	-9,407.25	
05SEP17	B 2 PD05DEC17	6890.50	6511.71	USD	-18,939.50	
05SEP17	B 1 PD05DEC17	6889.00	6511.71	USD	-9,432.25	
05SEP17	B 1 PD05DEC17	6886.00	6511.71	USD	-9,357.25	
05SEP17	B 2 PD05DEC17	6888.50	6511.71	USD	-18,839.50	
05SEP17	B 1 PD05DEC17	6885.50	6511.71	USD	-9,344.75	
05SEP17	S 1 PD05DEC17	6881.50	6511.71	USD	9,244.75	
05SEP17	S 1 PD05DEC17	6871.50	6511.71	USD	8,994.75	
05SEP17	S 2 PD05DEC17	6872.00	6511.71	USD	18,014.50	
05SEP17	S 1 PD05DEC17	6874.00	6511.71	USD	9,057.25	
05SEP17	S 1 PD05DEC17	6875.00	6511.71	USD	9,082.25	
05SEP17	B 1 PD05DEC17	6876.00	6511.71	USD	-9,107.25	
05SEP17	S 1 PD05DEC17	6867.50	6511.71	USD	8,894.75	
05SEP17	S 2 PD05DEC17	6866.50	6511.71	USD	17,739.50	
05SEP17	S 1 PD05DEC17	6865.00	6511.71	USD	8,832.25	
05SEP17	B 1 PD05DEC17	6863.00	6511.71	USD	-8,782.25	
05SEP17	S 1 PD05DEC17	6867.50	6511.71	USD	8,894.75	
05SEP17	B 1 PD05DEC17	6863.00	6511.71	USD	-8,782.25	
06SEP17	B 10 PD05DEC17	6900.83	6511.71	USD	-97,280.00	
	NET 0 AVG BGHT 6925.94 SOLD 6914.37				**-16,770.00**	**0.00**
06SEP17	B 2 PD06DEC17	6901.00	6512.14	USD	-19,443.00	
06SEP17	B 1 PD06DEC17	6902.00	6512.14	USD	-9,746.50	
06SEP17	S 1 PD06DEC17	6902.50	6512.14	USD	9,759.00	
06SEP17	S 4 PD06DEC17	6902.00	6512.14	USD	38,986.00	
06SEP17	S 2 PD06DEC17	6900.00	6512.14	USD	19,393.00	
06SEP17	S 3 PD06DEC17	6899.00	6512.14	USD	29,014.50	
06SEP17	S 1 PD06DEC17	6911.00	6512.14	USD	9,971.50	
06SEP17	S 4 PD06DEC17	6907.50	6512.14	USD	39,536.00	
06SEP17	S 1 PD06DEC17	6912.00	6512.14	USD	9,996.50	
06SEP17	S 1 PD06DEC17	6913.50	6512.14	USD	10,034.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097R

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
06SEP17	S 1 PD06DEC17	6912.50	6512.14	USD	10,009.00	
06SEP17	S 5 PD06DEC17	6910.00	6512.14	USD	49,732.50	
06SEP17	S 3 PD06DEC17	6910.50	6512.14	USD	29,877.00	
06SEP17	S 1 PD06DEC17	6911.50	6512.14	USD	9,984.00	
06SEP17	S 1 PD06DEC17	6906.50	6512.14	USD	9,859.00	
06SEP17	S 1 PD06DEC17	6908.50	6512.14	USD	9,909.00	
06SEP17	S 3 PD06DEC17	6907.00	6512.14	USD	29,614.50	
06SEP17	S 2 PD06DEC17	6906.00	6512.14	USD	19,693.00	
06SEP17	S 2 PD06DEC17	6909.50	6512.14	USD	19,868.00	
06SEP17	B 2 PD06DEC17	6906.50	6512.14	USD	-19,718.00	
06SEP17	B 1 PD06DEC17	6908.00	6512.14	USD	-9,896.50	
06SEP17	B 1 PD06DEC17	6907.00	6512.14	USD	-9,871.50	
06SEP17	B 2 PD06DEC17	6899.00	6512.14	USD	-19,343.00	
06SEP17	B 2 PD06DEC17	6899.50	6512.14	USD	-19,368.00	
06SEP17	B 3 PD06DEC17	6900.00	6512.14	USD	-29,089.50	
06SEP17	B 1 PD06DEC17	6900.50	6512.14	USD	-9,709.00	
06SEP17	B 3 PD06DEC17	6898.00	6512.14	USD	-28,939.50	
06SEP17	B 4 PD06DEC17	6898.50	6512.14	USD	-38,636.00	
06SEP17	B 1 PD06DEC17	6894.50	6512.14	USD	-9,559.00	
06SEP17	B 5 PD06DEC17	6892.00	6512.14	USD	-47,482.50	
06SEP17	B 1 PD06DEC17	6887.00	6512.14	USD	-9,371.50	
06SEP17	B 2 PD06DEC17	6889.50	6512.14	USD	-18,868.00	
06SEP17	B 5 PD06DEC17	6888.00	6512.14	USD	-46,982.50	
06SEP17	B 2 PD06DEC17	6888.50	6512.14	USD	-18,818.00	
06SEP17	B 2 PD06DEC17	6893.50	6512.14	USD	-19,068.00	
06SEP17	B 2 PD06DEC17	6894.00	6512.14	USD	-19,093.00	
06SEP17	B 4 PD06DEC17	6893.00	6512.14	USD	-38,086.00	
06SEP17	S 1 PD06DEC17	6910.00	6512.14	USD	9,946.50	
06SEP17	S 1 PD06DEC17	6898.00	6512.14	USD	9,646.50	
06SEP17	B 2 PD06DEC17	6896.50	6512.14	USD	-19,218.00	
06SEP17	S 2 PD06DEC17	6896.50	6512.14	USD	19,218.00	
06SEP17	S 2 PD06DEC17	6887.50	6512.14	USD	18,768.00	
06SEP17	S 3 PD06DEC17	6886.00	6512.14	USD	28,039.50	
06SEP17	S 2 PD06DEC17	6886.50	6512.14	USD	18,718.00	
06SEP17	S 1 PD06DEC17	6896.50	6512.14	USD	9,609.00	
06SEP17	S 1 PD06DEC17	6898.00	6512.14	USD	9,646.50	
06SEP17	S 4 PD06DEC17	6898.50	6512.14	USD	38,636.00	
06SEP17	S 3 PD06DEC17	6899.50	6512.14	USD	29,052.00	
06SEP17	S 6 PD06DEC17	6897.50	6512.14	USD	57,804.00	
06SEP17	S 1 PD06DEC17	6896.00	6512.14	USD	9,596.50	
06SEP17	S 1 PD06DEC17	6890.00	6512.14	USD	9,446.50	
06SEP17	S 3 PD06DEC17	6892.50	6512.14	USD	28,527.00	
06SEP17	S 4 PD06DEC17	6891.00	6512.14	USD	37,886.00	
06SEP17	S 5 PD06DEC17	6892.00	6512.14	USD	47,482.50	
06SEP17	S 4 PD06DEC17	6893.50	6512.14	USD	38,136.00	
06SEP17	S 1 PD06DEC17	6878.00	6512.14	USD	9,146.50	
06SEP17	S 1 PD06DEC17	6877.50	6512.14	USD	9,134.00	
06SEP17	S 1 PD06DEC17	6876.50	6512.14	USD	9,109.00	
06SEP17	S 1 PD06DEC17	6875.00	6512.14	USD	9,071.50	
06SEP17	B 4 PD06DEC17	6873.50	6512.14	USD	-36,136.00	
06SEP17	B 1 PD06DEC17	6872.50	6512.14	USD	-9,009.00	
06SEP17	B 2 PD06DEC17	6884.00	6512.14	USD	-18,593.00	
06SEP17	S 1 PD06DEC17	6890.50	6512.14	USD	9,459.00	
06SEP17	B 1 PD06DEC17	6896.00	6512.14	USD	-9,596.50	
06SEP17	S 1 PD06DEC17	6891.50	6512.14	USD	9,484.00	
06SEP17	S 1 PD06DEC17	6876.00	6512.14	USD	9,096.50	
06SEP17	B 1 PD06DEC17	6897.50	6512.14	USD	-9,634.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097R

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
06SEP17	S 1 PD06DEC17	6901.50	6512.14	USD	9,734.00	
06SEP17	B 3 PD06DEC17	6907.50	6512.14	USD	-29,652.00	
06SEP17	B 2 PD06DEC17	6905.50	6512.14	USD	-19,668.00	
06SEP17	S 4 PD06DEC17	6905.00	6512.14	USD	39,286.00	
06SEP17	B 1 PD06DEC17	6906.00	6512.14	USD	-9,846.50	
06SEP17	S 1 PD06DEC17	6908.00	6512.14	USD	9,896.50	
06SEP17	B 3 PD06DEC17	6916.00	6512.14	USD	-30,289.50	
06SEP17	B 5 PD06DEC17	6917.00	6512.14	USD	-50,607.50	
06SEP17	S 1 PD06DEC17	6918.50	6512.14	USD	10,159.00	
06SEP17	S 1 PD06DEC17	6917.50	6512.14	USD	10,134.00	
06SEP17	S 2 PD06DEC17	6919.50	6512.14	USD	20,368.00	
06SEP17	B 1 PD06DEC17	6914.00	6512.14	USD	-10,046.50	
07SEP17	B 25 PD06DEC17	6898.32	6512.14	USD	-241,362.50	
	NET 0 AVG BGHT 6897.60 SOLD 6899.55				**4,725.00**	**0.00**
07SEP17	B 1 PD07DEC17	6862.50	6512.57	USD	-8,748.25	
07SEP17	S 1 PD07DEC17	6858.50	6512.57	USD	8,648.25	
07SEP17	S 1 PD07DEC17	6860.00	6512.57	USD	8,685.75	
07SEP17	S 1 PD07DEC17	6859.00	6512.57	USD	8,660.75	
07SEP17	S 1 PD07DEC17	6859.50	6512.57	USD	8,673.25	
07SEP17	S 1 PD07DEC17	6857.50	6512.57	USD	8,623.25	
07SEP17	S 1 PD07DEC17	6856.50	6512.57	USD	8,598.25	
07SEP17	S 3 PD07DEC17	6858.00	6512.57	USD	25,907.25	
07SEP17	S 3 PD07DEC17	6863.50	6512.57	USD	26,319.75	
07SEP17	S 1 PD07DEC17	6865.00	6512.57	USD	8,810.75	
07SEP17	B 1 PD07DEC17	6872.50	6512.57	USD	-8,998.25	
07SEP17	B 1 PD07DEC17	6871.50	6512.57	USD	-8,973.25	
07SEP17	B 2 PD07DEC17	6872.00	6512.57	USD	-17,971.50	
07SEP17	S 1 PD07DEC17	6869.50	6512.57	USD	8,923.25	
07SEP17	S 2 PD07DEC17	6870.00	6512.57	USD	17,871.50	
07SEP17	S 1 PD07DEC17	6871.00	6512.57	USD	8,960.75	
07SEP17	S 1 PD07DEC17	6877.00	6512.57	USD	9,110.75	
07SEP17	S 2 PD07DEC17	6879.00	6512.57	USD	18,321.50	
07SEP17	B 2 PD07DEC17	6874.00	6512.57	USD	-18,071.50	
07SEP17	B 1 PD07DEC17	6875.00	6512.57	USD	-9,060.75	
07SEP17	B 1 PD07DEC17	6876.00	6512.57	USD	-9,085.75	
07SEP17	B 1 PD07DEC17	6877.50	6512.57	USD	-9,123.25	
07SEP17	B 4 PD07DEC17	6879.00	6512.57	USD	-36,643.00	
07SEP17	B 1 PD07DEC17	6878.00	6512.57	USD	-9,135.75	
07SEP17	B 6 PD07DEC17	6878.50	6512.57	USD	-54,889.50	
07SEP17	S 1 PD07DEC17	6880.50	6512.57	USD	9,198.25	
07SEP17	B 5 PD07DEC17	6869.00	6512.57	USD	-44,553.75	
07SEP17	B 5 PD07DEC17	6868.00	6512.57	USD	-44,428.75	
07SEP17	B 8 PD07DEC17	6864.50	6512.57	USD	-70,386.00	
07SEP17	B 2 PD07DEC17	6863.00	6512.57	USD	-17,521.50	
07SEP17	B 5 PD07DEC17	6864.00	6512.57	USD	-43,928.75	
07SEP17	B 3 PD07DEC17	6861.00	6512.57	USD	-26,132.25	
07SEP17	B 3 PD07DEC17	6862.00	6512.57	USD	-26,207.25	
07SEP17	S 1 PD07DEC17	6836.50	6512.57	USD	8,098.25	
07SEP17	S 2 PD07DEC17	6839.50	6512.57	USD	16,346.50	
07SEP17	S 2 PD07DEC17	6841.50	6512.57	USD	16,446.50	
07SEP17	S 1 PD07DEC17	6845.50	6512.57	USD	8,323.25	
07SEP17	S 1 PD07DEC17	6854.00	6512.57	USD	8,535.75	
07SEP17	B 1 PD07DEC17	6857.50	6512.57	USD	-8,623.25	
07SEP17	B 1 PD07DEC17	6860.00	6512.57	USD	-8,685.75	
07SEP17	B 1 PD07DEC17	6859.00	6512.57	USD	-8,660.75	
07SEP17	B 1 PD07DEC17	6858.50	6512.57	USD	-8,648.25	
07SEP17	B 1 PD07DEC17	6861.50	6512.57	USD	-8,723.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097R

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
07SEP17	B 5 PD07DEC17	6865.50	6512.57	USD	-44,116.25	
07SEP17	B 2 PD07DEC17	6866.50	6512.57	USD	-17,696.50	
07SEP17	B 1 PD07DEC17	6867.00	6512.57	USD	-8,860.75	
07SEP17	S 2 PD07DEC17	6877.50	6512.57	USD	18,246.50	
07SEP17	S 1 PD07DEC17	6875.50	6512.57	USD	9,073.25	
07SEP17	S 1 PD07DEC17	6867.50	6512.57	USD	8,873.25	
07SEP17	S 1 PD07DEC17	6874.50	6512.57	USD	9,048.25	
07SEP17	S 1 PD07DEC17	6883.00	6512.57	USD	9,260.75	
07SEP17	S 2 PD07DEC17	6882.50	6512.57	USD	18,496.50	
07SEP17	S 1 PD07DEC17	6884.00	6512.57	USD	9,285.75	
07SEP17	S 1 PD07DEC17	6886.00	6512.57	USD	9,335.75	
07SEP17	S 1 PD07DEC17	6878.50	6512.57	USD	9,148.25	
07SEP17	S 2 PD07DEC17	6903.50	6512.57	USD	19,546.50	
07SEP17	S 2 PD07DEC17	6901.50	6512.57	USD	19,446.50	
07SEP17	S 10 PD07DEC17	6900.50	6512.57	USD	96,982.50	
07SEP17	S 2 PD07DEC17	6900.00	6512.57	USD	19,371.50	
07SEP17	S 1 PD07DEC17	6896.50	6512.57	USD	9,598.25	
07SEP17	S 1 PD07DEC17	6905.00	6512.57	USD	9,810.75	
07SEP17	S 1 PD07DEC17	6907.00	6512.57	USD	9,860.75	
07SEP17	S 1 PD07DEC17	6889.50	6512.57	USD	9,423.25	
07SEP17	S 1 PD07DEC17	6887.50	6512.57	USD	9,373.25	
07SEP17	S 1 PD07DEC17	6888.50	6512.57	USD	9,398.25	
07SEP17	B 1 PD07DEC17	6883.00	6512.57	USD	-9,260.75	
07SEP17	S 3 PD07DEC17	6878.00	6512.57	USD	27,407.25	
07SEP17	B 1 PD07DEC17	6880.00	6512.57	USD	-9,185.75	
07SEP17	S 2 PD07DEC17	6883.50	6512.57	USD	18,546.50	
07SEP17	S 1 PD07DEC17	6885.00	6512.57	USD	9,310.75	
07SEP17	S 5 PD07DEC17	6890.00	6512.57	USD	47,178.75	
07SEP17	S 3 PD07DEC17	6881.50	6512.57	USD	27,669.75	
07SEP17	S 2 PD07DEC17	6879.50	6512.57	USD	18,346.50	
07SEP17	S 1 PD07DEC17	6899.00	6512.57	USD	9,660.75	
07SEP17	S 1 PD07DEC17	6869.50	6512.57	USD	8,923.25	
07SEP17	S 1 PD07DEC17	6893.00	6512.57	USD	9,510.75	
07SEP17	S 1 PD07DEC17	6885.50	6512.57	USD	9,323.25	
07SEP17	S 2 PD07DEC17	6879.00	6512.57	USD	18,321.50	
07SEP17	B 1 PD07DEC17	6888.00	6512.57	USD	-9,385.75	
07SEP17	B 1 PD07DEC17	6883.50	6512.57	USD	-9,273.25	
07SEP17	B 2 PD07DEC17	6882.50	6512.57	USD	-18,496.50	
07SEP17	B 1 PD07DEC17	6893.00	6512.57	USD	-9,510.75	
07SEP17	B 2 PD07DEC17	6893.50	6512.57	USD	-19,046.50	
07SEP17	B 2 PD07DEC17	6895.00	6512.57	USD	-19,121.50	
07SEP17	B 2 PD07DEC17	6894.00	6512.57	USD	-19,071.50	
07SEP17	B 4 PD07DEC17	6891.50	6512.57	USD	-37,893.00	
07SEP17	B 1 PD07DEC17	6892.00	6512.57	USD	-9,485.75	
	NET 0 AVG BGHT 6872.86 SOLD 6879.24				**13,237.50**	**0.00**
08SEP17	S 1 PD08DEC17	6891.00	6513.00	USD	9,450.00	
08SEP17	S 1 PD08DEC17	6887.50	6513.00	USD	9,362.50	
08SEP17	S 1 PD08DEC17	6883.50	6513.00	USD	9,262.50	
08SEP17	S 1 PD08DEC17	6884.00	6513.00	USD	9,275.00	
08SEP17	S 1 PD08DEC17	6886.00	6513.00	USD	9,325.00	
08SEP17	B 1 PD08DEC17	6854.50	6513.00	USD	-8,537.50	
08SEP17	B 2 PD08DEC17	6850.50	6513.00	USD	-16,875.00	
08SEP17	B 2 PD08DEC17	6847.00	6513.00	USD	-16,700.00	
08SEP17	B 1 PD08DEC17	6845.00	6513.00	USD	-8,300.00	
08SEP17	B 2 PD08DEC17	6849.50	6513.00	USD	-16,825.00	
08SEP17	B 1 PD08DEC17	6848.50	6513.00	USD	-8,387.50	
08SEP17	B 1 PD08DEC17	6842.00	6513.00	USD	-8,225.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097R

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
08SEP17	B 2 PD08DEC17	6841.50	6513.00	USD	-16,425.00	
08SEP17	B 1 PD08DEC17	6839.50	6513.00	USD	-8,162.50	
08SEP17	B 1 PD08DEC17	6848.00	6513.00	USD	-8,375.00	
08SEP17	B 5 PD08DEC17	6844.00	6513.00	USD	-41,375.00	
08SEP17	B 1 PD08DEC17	6850.00	6513.00	USD	-8,425.00	
08SEP17	B 2 PD08DEC17	6846.50	6513.00	USD	-16,675.00	
08SEP17	B 2 PD08DEC17	6844.50	6513.00	USD	-16,575.00	
08SEP17	S 1 PD08DEC17	6840.50	6513.00	USD	8,187.50	
08SEP17	S 1 PD08DEC17	6842.00	6513.00	USD	8,225.00	
08SEP17	S 1 PD08DEC17	6839.50	6513.00	USD	8,162.50	
08SEP17	S 3 PD08DEC17	6840.00	6513.00	USD	24,525.00	
08SEP17	S 2 PD08DEC17	6841.50	6513.00	USD	16,425.00	
08SEP17	S 4 PD08DEC17	6841.00	6513.00	USD	32,800.00	
08SEP17	S 3 PD08DEC17	6839.00	6513.00	USD	24,450.00	
08SEP17	S 3 PD08DEC17	6837.50	6513.00	USD	24,337.50	
08SEP17	S 2 PD08DEC17	6838.50	6513.00	USD	16,275.00	
08SEP17	S 1 PD08DEC17	6836.00	6513.00	USD	8,075.00	
08SEP17	S 5 PD08DEC17	6835.00	6513.00	USD	40,250.00	
08SEP17	S 1 PD08DEC17	6832.00	6513.00	USD	7,975.00	
08SEP17	S 3 PD08DEC17	6833.00	6513.00	USD	24,000.00	
08SEP17	S 1 PD08DEC17	6812.00	6513.00	USD	7,475.00	
08SEP17	S 3 PD08DEC17	6813.00	6513.00	USD	22,500.00	
08SEP17	S 1 PD08DEC17	6810.00	6513.00	USD	7,425.00	
08SEP17	S 2 PD08DEC17	6811.00	6513.00	USD	14,900.00	
08SEP17	S 2 PD08DEC17	6812.50	6513.00	USD	14,975.00	
08SEP17	S 2 PD08DEC17	6815.50	6513.00	USD	15,125.00	
08SEP17	S 3 PD08DEC17	6828.00	6513.00	USD	23,625.00	
08SEP17	S 1 PD08DEC17	6826.00	6513.00	USD	7,825.00	
08SEP17	B 2 PD08DEC17	6823.50	6513.00	USD	-15,525.00	
08SEP17	B 3 PD08DEC17	6824.00	6513.00	USD	-23,325.00	
08SEP17	B 1 PD08DEC17	6826.00	6513.00	USD	-7,825.00	
08SEP17	B 4 PD08DEC17	6825.00	6513.00	USD	-31,200.00	
08SEP17	B 4 PD08DEC17	6822.50	6513.00	USD	-30,950.00	
08SEP17	B 1 PD08DEC17	6819.00	6513.00	USD	-7,650.00	
08SEP17	B 3 PD08DEC17	6817.00	6513.00	USD	-22,800.00	
08SEP17	B 2 PD08DEC17	6821.50	6513.00	USD	-15,425.00	
08SEP17	B 1 PD08DEC17	6821.00	6513.00	USD	-7,700.00	
08SEP17	B 1 PD08DEC17	6820.00	6513.00	USD	-7,675.00	
08SEP17	B 2 PD08DEC17	6818.50	6513.00	USD	-15,275.00	
08SEP17	B 2 PD08DEC17	6818.00	6513.00	USD	-15,250.00	
08SEP17	B 2 PD08DEC17	6814.50	6513.00	USD	-15,075.00	
08SEP17	B 2 PD08DEC17	6813.50	6513.00	USD	-15,025.00	
08SEP17	B 1 PD08DEC17	6813.00	6513.00	USD	-7,500.00	
08SEP17	B 2 PD08DEC17	6812.50	6513.00	USD	-14,975.00	
08SEP17	B 1 PD08DEC17	6803.50	6513.00	USD	-7,262.50	
08SEP17	B 3 PD08DEC17	6802.00	6513.00	USD	-21,675.00	
08SEP17	B 1 PD08DEC17	6801.50	6513.00	USD	-7,212.50	
08SEP17	B 1 PD08DEC17	6803.00	6513.00	USD	-7,250.00	
08SEP17	B 1 PD08DEC17	6795.00	6513.00	USD	-7,050.00	
08SEP17	B 1 PD08DEC17	6796.00	6513.00	USD	-7,075.00	
08SEP17	B 1 PD08DEC17	6796.50	6513.00	USD	-7,087.50	
08SEP17	B 1 PD08DEC17	6797.50	6513.00	USD	-7,112.50	
08SEP17	B 2 PD08DEC17	6795.50	6513.00	USD	-14,125.00	
08SEP17	B 3 PD08DEC17	6794.50	6513.00	USD	-21,112.50	
08SEP17	B 1 PD08DEC17	6844.00	6513.00	USD	-8,275.00	
08SEP17	B 2 PD08DEC17	6826.00	6513.00	USD	-15,650.00	
08SEP17	B 1 PD08DEC17	6786.50	6513.00	USD	-6,837.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097R

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
08SEP17	B 1 PD08DEC17	6787.50	6513.00	USD	-6,862.50	
08SEP17	B 1 PD08DEC17	6789.00	6513.00	USD	-6,900.00	
08SEP17	B 2 PD08DEC17	6805.50	6513.00	USD	-14,625.00	
08SEP17	S 1 PD08DEC17	6708.00	6513.00	USD	4,875.00	
08SEP17	S 1 PD08DEC17	6705.50	6513.00	USD	4,812.50	
08SEP17	S 1 PD08DEC17	6702.00	6513.00	USD	4,725.00	
08SEP17	S 2 PD08DEC17	6704.50	6513.00	USD	9,575.00	
08SEP17	S 2 PD08DEC17	6705.00	6513.00	USD	9,600.00	
08SEP17	B 3 PD08DEC17	6697.50	6513.00	USD	-13,837.50	
08SEP17	B 5 PD08DEC17	6700.00	6513.00	USD	-23,375.00	
08SEP17	B 1 PD08DEC17	6701.00	6513.00	USD	-4,700.00	
08SEP17	B 1 PD08DEC17	6698.50	6513.00	USD	-4,637.50	
08SEP17	B 1 PD08DEC17	6696.50	6513.00	USD	-4,587.50	
08SEP17	S 1 PD08DEC17	6683.50	6513.00	USD	4,262.50	
08SEP17	S 3 PD08DEC17	6683.00	6513.00	USD	12,750.00	
08SEP17	S 1 PD08DEC17	6684.00	6513.00	USD	4,275.00	
08SEP17	S 1 PD08DEC17	6682.00	6513.00	USD	4,225.00	
08SEP17	S 1 PD08DEC17	6670.50	6513.00	USD	3,937.50	
08SEP17	S 1 PD08DEC17	6675.50	6513.00	USD	4,062.50	
08SEP17	S 2 PD08DEC17	6680.00	6513.00	USD	8,350.00	
08SEP17	S 3 PD08DEC17	6682.50	6513.00	USD	12,712.50	
08SEP17	S 2 PD08DEC17	6684.50	6513.00	USD	8,575.00	
08SEP17	S 1 PD08DEC17	6685.50	6513.00	USD	4,312.50	
08SEP17	S 1 PD08DEC17	6686.50	6513.00	USD	4,337.50	
08SEP17	S 4 PD08DEC17	6685.00	6513.00	USD	17,200.00	
08SEP17	S 3 PD08DEC17	6686.00	6513.00	USD	12,975.00	
08SEP17	B 1 PD08DEC17	6670.00	6513.00	USD	-3,925.00	
08SEP17	B 1 PD08DEC17	6669.50	6513.00	USD	-3,912.50	
08SEP17	S 3 PD08DEC17	6675.00	6513.00	USD	12,150.00	
08SEP17	B 1 PD08DEC17	6701.50	6513.00	USD	-4,712.50	
08SEP17	S 1 PD08DEC17	6685.00	6513.00	USD	4,300.00	
08SEP17	S 1 PD08DEC17	6673.00	6513.00	USD	4,000.00	
21SEP17	S 8 PD08DEC17	6478.33	6513.00	USD	-6,934.00	
	NET 0 AVG BGHT 6803.57 SOLD 6748.44				**-129,546.50**	**0.00**
11SEP17	S 3 PD11DEC17	6705.00	6514.19	USD	14,310.75	
11SEP17	B 3 PD11DEC17	6714.00	6514.19	USD	-14,985.75	
11SEP17	B 1 PD11DEC17	6721.50	6514.19	USD	-5,182.75	
11SEP17	B 1 PD11DEC17	6729.00	6514.19	USD	-5,370.25	
11SEP17	B 1 PD11DEC17	6728.00	6514.19	USD	-5,345.25	
11SEP17	S 1 PD11DEC17	6732.00	6514.19	USD	5,445.25	
11SEP17	B 3 PD11DEC17	6734.50	6514.19	USD	-16,523.25	
11SEP17	B 6 PD11DEC17	6734.00	6514.19	USD	-32,971.50	
11SEP17	B 1 PD11DEC17	6736.00	6514.19	USD	-5,545.25	
11SEP17	B 2 PD11DEC17	6728.50	6514.19	USD	-10,715.50	
11SEP17	B 6 PD11DEC17	6723.00	6514.19	USD	-31,321.50	
11SEP17	B 2 PD11DEC17	6722.00	6514.19	USD	-10,390.50	
11SEP17	B 1 PD11DEC17	6724.50	6514.19	USD	-5,257.75	
11SEP17	B 1 PD11DEC17	6727.50	6514.19	USD	-5,332.75	
11SEP17	S 1 PD11DEC17	6752.00	6514.19	USD	5,945.25	
11SEP17	S 2 PD11DEC17	6756.50	6514.19	USD	12,115.50	
11SEP17	B 1 PD11DEC17	6758.00	6514.19	USD	-6,095.25	
11SEP17	S 2 PD11DEC17	6751.50	6514.19	USD	11,865.50	
11SEP17	S 1 PD11DEC17	6754.00	6514.19	USD	5,995.25	
11SEP17	S 2 PD11DEC17	6753.00	6514.19	USD	11,940.50	
11SEP17	S 2 PD11DEC17	6755.00	6514.19	USD	12,040.50	
11SEP17	S 1 PD11DEC17	6756.00	6514.19	USD	6,045.25	
11SEP17	S 1 PD11DEC17	6758.50	6514.19	USD	6,107.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097R

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
11SEP17	S 1 PD11DEC17	6762.00	6514.19	USD	6,195.25	
11SEP17	S 2 PD11DEC17	6767.50	6514.19	USD	12,665.50	
11SEP17	S 1 PD11DEC17	6768.50	6514.19	USD	6,357.75	
11SEP17	S 2 PD11DEC17	6770.00	6514.19	USD	12,790.50	
11SEP17	S 3 PD11DEC17	6769.50	6514.19	USD	19,148.25	
11SEP17	S 1 PD11DEC17	6766.50	6514.19	USD	6,307.75	
11SEP17	S 1 PD11DEC17	6761.00	6514.19	USD	6,170.25	
11SEP17	B 2 PD11DEC17	6765.00	6514.19	USD	-12,540.50	
11SEP17	B 1 PD11DEC17	6765.50	6514.19	USD	-6,282.75	
11SEP17	B 1 PD11DEC17	6768.50	6514.19	USD	-6,357.75	
11SEP17	B 2 PD11DEC17	6773.50	6514.19	USD	-12,965.50	
11SEP17	S 1 PD11DEC17	6772.00	6514.19	USD	6,445.25	
11SEP17	B 1 PD11DEC17	6767.50	6514.19	USD	-6,332.75	
11SEP17	B 2 PD11DEC17	6768.00	6514.19	USD	-12,690.50	
11SEP17	B 1 PD11DEC17	6764.50	6514.19	USD	-6,257.75	
11SEP17	B 2 PD11DEC17	6764.00	6514.19	USD	-12,490.50	
11SEP17	B 1 PD11DEC17	6763.50	6514.19	USD	-6,232.75	
11SEP17	B 1 PD11DEC17	6760.50	6514.19	USD	-6,157.75	
11SEP17	B 2 PD11DEC17	6763.00	6514.19	USD	-12,440.50	
11SEP17	B 1 PD11DEC17	6762.00	6514.19	USD	-6,195.25	
11SEP17	B 1 PD11DEC17	6759.50	6514.19	USD	-6,132.75	
11SEP17	B 5 PD11DEC17	6760.00	6514.19	USD	-30,726.25	
11SEP17	S 1 PD11DEC17	6760.50	6514.19	USD	6,157.75	
11SEP17	B 6 PD11DEC17	6754.50	6514.19	USD	-36,046.50	
11SEP17	S 1 PD11DEC17	6767.50	6514.19	USD	6,332.75	
11SEP17	S 2 PD11DEC17	6768.00	6514.19	USD	12,690.50	
11SEP17	S 3 PD11DEC17	6770.00	6514.19	USD	19,185.75	
11SEP17	S 2 PD11DEC17	6769.00	6514.19	USD	12,740.50	
11SEP17	S 1 PD11DEC17	6766.00	6514.19	USD	6,295.25	
11SEP17	S 1 PD11DEC17	6767.00	6514.19	USD	6,320.25	
11SEP17	B 2 PD11DEC17	6714.00	6514.19	USD	-9,990.50	
11SEP17	B 1 PD11DEC17	6734.50	6514.19	USD	-5,507.75	
11SEP17	B 1 PD11DEC17	6773.00	6514.19	USD	-6,470.25	
11SEP17	B 1 PD11DEC17	6731.50	6514.19	USD	-5,432.75	
11SEP17	B 1 PD11DEC17	6732.50	6514.19	USD	-5,457.75	
11SEP17	B 3 PD11DEC17	6733.00	6514.19	USD	-16,410.75	
11SEP17	S 1 PD11DEC17	6723.00	6514.19	USD	5,220.25	
11SEP17	B 2 PD11DEC17	6730.00	6514.19	USD	-10,790.50	
11SEP17	B 2 PD11DEC17	6731.00	6514.19	USD	-10,840.50	
11SEP17	B 6 PD11DEC17	6730.50	6514.19	USD	-32,446.50	
11SEP17	B 3 PD11DEC17	6729.50	6514.19	USD	-16,148.25	
11SEP17	B 4 PD11DEC17	6732.00	6514.19	USD	-21,781.00	
11SEP17	B 8 PD11DEC17	6735.00	6514.19	USD	-44,162.00	
11SEP17	B 1 PD11DEC17	6728.50	6514.19	USD	-5,357.75	
11SEP17	B 1 PD11DEC17	6748.00	6514.19	USD	-5,845.25	
11SEP17	B 1 PD11DEC17	6747.00	6514.19	USD	-5,820.25	
11SEP17	S 1 PD11DEC17	6748.00	6514.19	USD	5,845.25	
11SEP17	S 1 PD11DEC17	6745.00	6514.19	USD	5,770.25	
11SEP17	S 5 PD11DEC17	6744.00	6514.19	USD	28,726.25	
11SEP17	S 3 PD11DEC17	6744.50	6514.19	USD	17,273.25	
11SEP17	S 1 PD11DEC17	6746.50	6514.19	USD	5,807.75	
11SEP17	S 6 PD11DEC17	6747.50	6514.19	USD	34,996.50	
11SEP17	S 2 PD11DEC17	6748.50	6514.19	USD	11,715.50	
11SEP17	S 2 PD11DEC17	6749.50	6514.19	USD	11,765.50	
11SEP17	S 1 PD11DEC17	6736.00	6514.19	USD	5,545.25	
11SEP17	S 1 PD11DEC17	6737.50	6514.19	USD	5,582.75	
11SEP17	S 1 PD11DEC17	6738.50	6514.19	USD	5,607.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097R

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
11SEP17	S 1 PD11DEC17	6741.50	6514.19	USD	5,682.75	
11SEP17	S 2 PD11DEC17	6746.00	6514.19	USD	11,590.50	
11SEP17	S 1 PD11DEC17	6747.00	6514.19	USD	5,820.25	
13SEP17	S 15 PD11DEC17	6552.75	6514.19	USD	14,460.00	
18SEP17	S 12 PD11DEC17	6523.66	6514.19	USD	2,841.00	
	NET 0 AVG BGHT 6740.44 SOLD 6691.82				**-115,483.25**	**0.00**
12SEP17	B 4 PD12DEC17	6724.00	6514.58	USD	-20,942.00	
12SEP17	B 1 PD12DEC17	6722.50	6514.58	USD	-5,198.00	
12SEP17	B 1 PD12DEC17	6720.50	6514.58	USD	-5,148.00	
12SEP17	B 1 PD12DEC17	6717.00	6514.58	USD	-5,060.50	
12SEP17	B 1 PD12DEC17	6715.50	6514.58	USD	-5,023.00	
12SEP17	S 1 PD12DEC17	6716.00	6514.58	USD	5,035.50	
12SEP17	B 2 PD12DEC17	6716.50	6514.58	USD	-10,096.00	
12SEP17	B 2 PD12DEC17	6719.00	6514.58	USD	-10,221.00	
12SEP17	S 1 PD12DEC17	6718.00	6514.58	USD	5,085.50	
12SEP17	S 1 PD12DEC17	6717.00	6514.58	USD	5,060.50	
12SEP17	B 1 PD12DEC17	6718.00	6514.58	USD	-5,085.50	
12SEP17	B 5 PD12DEC17	6717.50	6514.58	USD	-25,365.00	
12SEP17	B 1 PD12DEC17	6714.50	6514.58	USD	-4,998.00	
12SEP17	B 4 PD12DEC17	6713.50	6514.58	USD	-19,892.00	
12SEP17	B 1 PD12DEC17	6691.00	6514.58	USD	-4,410.50	
12SEP17	B 1 PD12DEC17	6690.50	6514.58	USD	-4,398.00	
12SEP17	B 2 PD12DEC17	6696.00	6514.58	USD	-9,071.00	
12SEP17	B 2 PD12DEC17	6698.50	6514.58	USD	-9,196.00	
12SEP17	B 1 PD12DEC17	6699.50	6514.58	USD	-4,623.00	
12SEP17	B 1 PD12DEC17	6649.00	6514.58	USD	-3,360.50	
12SEP17	B 1 PD12DEC17	6650.00	6514.58	USD	-3,385.50	
12SEP17	S 1 PD12DEC17	6717.00	6514.58	USD	5,060.50	
12SEP17	S 1 PD12DEC17	6718.00	6514.58	USD	5,085.50	
12SEP17	B 5 PD12DEC17	6648.00	6514.58	USD	-16,677.50	
12SEP17	B 2 PD12DEC17	6651.00	6514.58	USD	-6,821.00	
12SEP17	B 1 PD12DEC17	6652.00	6514.58	USD	-3,435.50	
12SEP17	B 1 PD12DEC17	6656.50	6514.58	USD	-3,548.00	
12SEP17	B 2 PD12DEC17	6653.00	6514.58	USD	-6,921.00	
12SEP17	B 2 PD12DEC17	6656.00	6514.58	USD	-7,071.00	
12SEP17	S 1 PD12DEC17	6648.00	6514.58	USD	3,335.50	
12SEP17	S 1 PD12DEC17	6647.00	6514.58	USD	3,310.50	
12SEP17	S 2 PD12DEC17	6647.50	6514.58	USD	6,646.00	
12SEP17	S 1 PD12DEC17	6642.00	6514.58	USD	3,185.50	
12SEP17	S 3 PD12DEC17	6641.50	6514.58	USD	9,519.00	
12SEP17	S 1 PD12DEC17	6640.00	6514.58	USD	3,135.50	
12SEP17	S 2 PD12DEC17	6636.00	6514.58	USD	6,071.00	
12SEP17	S 1 PD12DEC17	6636.50	6514.58	USD	3,048.00	
12SEP17	S 1 PD12DEC17	6637.50	6514.58	USD	3,073.00	
12SEP17	S 4 PD12DEC17	6639.50	6514.58	USD	12,492.00	
12SEP17	S 1 PD12DEC17	6643.50	6514.58	USD	3,223.00	
12SEP17	S 2 PD12DEC17	6646.50	6514.58	USD	6,596.00	
12SEP17	S 2 PD12DEC17	6643.00	6514.58	USD	6,421.00	
12SEP17	S 2 PD12DEC17	6642.50	6514.58	USD	6,396.00	
12SEP17	S 3 PD12DEC17	6645.50	6514.58	USD	9,819.00	
12SEP17	S 3 PD12DEC17	6644.50	6514.58	USD	9,744.00	
12SEP17	S 2 PD12DEC17	6637.00	6514.58	USD	6,121.00	
12SEP17	S 1 PD12DEC17	6635.50	6514.58	USD	3,023.00	
12SEP17	S 1 PD12DEC17	6654.50	6514.58	USD	3,498.00	
12SEP17	S 1 PD12DEC17	6656.00	6514.58	USD	3,535.50	
12SEP17	S 1 PD12DEC17	6657.50	6514.58	USD	3,573.00	
12SEP17	S 2 PD12DEC17	6653.00	6514.58	USD	6,921.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097R

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
12SEP17	S 6 PD12DEC17	6652.00	6514.58	USD	20,613.00	
12SEP17	S 2 PD12DEC17	6653.50	6514.58	USD	6,946.00	
12SEP17	S 1 PD12DEC17	6661.50	6514.58	USD	3,673.00	
12SEP17	S 4 PD12DEC17	6663.00	6514.58	USD	14,842.00	
12SEP17	S 1 PD12DEC17	6665.50	6514.58	USD	3,773.00	
12SEP17	S 1 PD12DEC17	6675.00	6514.58	USD	4,010.50	
12SEP17	S 1 PD12DEC17	6676.00	6514.58	USD	4,035.50	
12SEP17	S 1 PD12DEC17	6672.50	6514.58	USD	3,948.00	
12SEP17	S 3 PD12DEC17	6675.50	6514.58	USD	12,069.00	
12SEP17	S 6 PD12DEC17	6673.00	6514.58	USD	23,763.00	
12SEP17	S 2 PD12DEC17	6672.00	6514.58	USD	7,871.00	
12SEP17	S 1 PD12DEC17	6669.00	6514.58	USD	3,860.50	
12SEP17	S 2 PD12DEC17	6671.00	6514.58	USD	7,821.00	
12SEP17	S 3 PD12DEC17	6670.00	6514.58	USD	11,656.50	
12SEP17	S 2 PD12DEC17	6667.50	6514.58	USD	7,646.00	
12SEP17	S 1 PD12DEC17	6662.00	6514.58	USD	3,685.50	
12SEP17	S 1 PD12DEC17	6660.00	6514.58	USD	3,635.50	
12SEP17	S 2 PD12DEC17	6661.00	6514.58	USD	7,321.00	
12SEP17	S 3 PD12DEC17	6658.00	6514.58	USD	10,756.50	
12SEP17	S 2 PD12DEC17	6655.50	6514.58	USD	7,046.00	
12SEP17	S 3 PD12DEC17	6655.00	6514.58	USD	10,531.50	
12SEP17	S 1 PD12DEC17	6653.00	6514.58	USD	3,460.50	
12SEP17	S 1 PD12DEC17	6667.50	6514.58	USD	3,823.00	
12SEP17	S 1 PD12DEC17	6658.00	6514.58	USD	3,585.50	
12SEP17	S 1 PD12DEC17	6657.50	6514.58	USD	3,573.00	
12SEP17	B 1 PD12DEC17	6673.00	6514.58	USD	-3,960.50	
12SEP17	B 6 PD12DEC17	6672.00	6514.58	USD	-23,613.00	
12SEP17	B 1 PD12DEC17	6671.50	6514.58	USD	-3,923.00	
12SEP17	B 3 PD12DEC17	6670.50	6514.58	USD	-11,694.00	
12SEP17	B 1 PD12DEC17	6668.50	6514.58	USD	-3,848.00	
12SEP17	B 1 PD12DEC17	6667.00	6514.58	USD	-3,810.50	
12SEP17	B 1 PD12DEC17	6666.50	6514.58	USD	-3,798.00	
12SEP17	B 1 PD12DEC17	6668.00	6514.58	USD	-3,835.50	
12SEP17	B 3 PD12DEC17	6669.00	6514.58	USD	-11,581.50	
12SEP17	B 4 PD12DEC17	6671.00	6514.58	USD	-15,642.00	
12SEP17	B 1 PD12DEC17	6674.00	6514.58	USD	-3,985.50	
12SEP17	B 3 PD12DEC17	6673.50	6514.58	USD	-11,919.00	
12SEP17	B 6 PD12DEC17	6670.00	6514.58	USD	-23,313.00	
12SEP17	B 3 PD12DEC17	6671.50	6514.58	USD	-11,769.00	
13SEP17	B 15 PD12DEC17	6553.08	6514.58	USD	-14,437.50	
	NET 0 AVG BGHT 6662.40 SOLD 6658.56				**-9,117.50**	**0.00**
13SEP17	S 1 PD13DEC17	6656.50	6514.98	USD	3,538.00	
13SEP17	S 1 PD13DEC17	6655.50	6514.98	USD	3,513.00	
13SEP17	B 2 PD13DEC17	6645.00	6514.98	USD	-6,501.00	
13SEP17	B 1 PD13DEC17	6620.00	6514.98	USD	-2,625.50	
13SEP17	B 1 PD13DEC17	6619.50	6514.98	USD	-2,613.00	
13SEP17	B 1 PD13DEC17	6619.00	6514.98	USD	-2,600.50	
13SEP17	B 1 PD13DEC17	6622.50	6514.98	USD	-2,688.00	
13SEP17	B 1 PD13DEC17	6622.00	6514.98	USD	-2,675.50	
13SEP17	B 1 PD13DEC17	6614.50	6514.98	USD	-2,488.00	
13SEP17	B 5 PD13DEC17	6607.00	6514.98	USD	-11,502.50	
13SEP17	B 3 PD13DEC17	6606.50	6514.98	USD	-6,864.00	
13SEP17	B 2 PD13DEC17	6606.00	6514.98	USD	-4,551.00	
13SEP17	B 2 PD13DEC17	6605.00	6514.98	USD	-4,501.00	
13SEP17	S 1 PD13DEC17	6608.00	6514.98	USD	2,325.50	
13SEP17	B 4 PD13DEC17	6609.00	6514.98	USD	-9,402.00	
13SEP17	B 2 PD13DEC17	6611.00	6514.98	USD	-4,801.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097R

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
13SEP17	B 1 PD13DEC17	6611.50	6514.98	USD	-2,413.00	
13SEP17	B 1 PD13DEC17	6612.50	6514.98	USD	-2,438.00	
13SEP17	B 1 PD13DEC17	6604.50	6514.98	USD	-2,238.00	
13SEP17	B 1 PD13DEC17	6597.50	6514.98	USD	-2,063.00	
13SEP17	B 1 PD13DEC17	6596.00	6514.98	USD	-2,025.50	
13SEP17	B 1 PD13DEC17	6593.00	6514.98	USD	-1,950.50	
13SEP17	B 2 PD13DEC17	6592.00	6514.98	USD	-3,851.00	
13SEP17	S 1 PD13DEC17	6595.00	6514.98	USD	2,000.50	
13SEP17	B 3 PD13DEC17	6589.50	6514.98	USD	-5,589.00	
13SEP17	B 2 PD13DEC17	6590.50	6514.98	USD	-3,776.00	
13SEP17	B 1 PD13DEC17	6562.50	6514.98	USD	-1,188.00	
13SEP17	B 2 PD13DEC17	6561.50	6514.98	USD	-2,326.00	
13SEP17	B 1 PD13DEC17	6559.00	6514.98	USD	-1,100.50	
13SEP17	B 5 PD13DEC17	6559.50	6514.98	USD	-5,565.00	
13SEP17	B 1 PD13DEC17	6576.50	6514.98	USD	-1,538.00	
13SEP17	B 1 PD13DEC17	6575.50	6514.98	USD	-1,513.00	
13SEP17	B 2 PD13DEC17	6573.50	6514.98	USD	-2,926.00	
13SEP17	B 1 PD13DEC17	6571.00	6514.98	USD	-1,400.50	
13SEP17	B 6 PD13DEC17	6570.00	6514.98	USD	-8,253.00	
13SEP17	B 3 PD13DEC17	6569.00	6514.98	USD	-4,051.50	
13SEP17	B 2 PD13DEC17	6567.50	6514.98	USD	-2,626.00	
13SEP17	B 2 PD13DEC17	6568.50	6514.98	USD	-2,676.00	
13SEP17	B 1 PD13DEC17	6567.00	6514.98	USD	-1,300.50	
13SEP17	B 2 PD13DEC17	6564.00	6514.98	USD	-2,451.00	
13SEP17	B 2 PD13DEC17	6563.00	6514.98	USD	-2,401.00	
13SEP17	B 3 PD13DEC17	6565.00	6514.98	USD	-3,751.50	
13SEP17	B 4 PD13DEC17	6565.50	6514.98	USD	-5,052.00	
13SEP17	B 1 PD13DEC17	6558.50	6514.98	USD	-1,088.00	
13SEP17	S 1 PD13DEC17	6546.50	6514.98	USD	788.00	
13SEP17	S 4 PD13DEC17	6547.50	6514.98	USD	3,252.00	
13SEP17	S 8 PD13DEC17	6547.00	6514.98	USD	6,404.00	
13SEP17	S 2 PD13DEC17	6548.00	6514.98	USD	1,651.00	
13SEP17	S 7 PD13DEC17	6546.00	6514.98	USD	5,428.50	
13SEP17	S 2 PD13DEC17	6552.00	6514.98	USD	1,851.00	
13SEP17	S 1 PD13DEC17	6552.50	6514.98	USD	938.00	
13SEP17	S 2 PD13DEC17	6557.00	6514.98	USD	2,101.00	
13SEP17	B 1 PD13DEC17	6558.00	6514.98	USD	-1,075.50	
13SEP17	B 1 PD13DEC17	6560.00	6514.98	USD	-1,125.50	
13SEP17	S 2 PD13DEC17	6560.00	6514.98	USD	2,251.00	
13SEP17	S 1 PD13DEC17	6560.50	6514.98	USD	1,138.00	
13SEP17	S 1 PD13DEC17	6564.00	6514.98	USD	1,225.50	
13SEP17	S 1 PD13DEC17	6565.00	6514.98	USD	1,250.50	
13SEP17	B 1 PD13DEC17	6554.50	6514.98	USD	-988.00	
13SEP17	B 2 PD13DEC17	6554.00	6514.98	USD	-1,951.00	
13SEP17	S 1 PD13DEC17	6555.00	6514.98	USD	1,000.50	
13SEP17	S 4 PD13DEC17	6554.00	6514.98	USD	3,902.00	
13SEP17	S 2 PD13DEC17	6554.50	6514.98	USD	1,976.00	
13SEP17	S 1 PD13DEC17	6555.50	6514.98	USD	1,013.00	
13SEP17	B 2 PD13DEC17	6553.50	6514.98	USD	-1,926.00	
13SEP17	B 1 PD13DEC17	6555.50	6514.98	USD	-1,013.00	
13SEP17	B 2 PD13DEC17	6557.00	6514.98	USD	-2,101.00	
18SEP17	S 45 PD13DEC17	6524.62	6514.98	USD	10,845.00	
	NET 0 AVG BGHT 6583.09 SOLD 6541.22				**-93,152.50**	**0.00**
14SEP17	S 1 PD14DEC17	6536.50	6515.38	USD	528.00	
14SEP17	S 1 PD14DEC17	6535.00	6515.38	USD	490.50	
14SEP17	S 1 PD14DEC17	6539.50	6515.38	USD	603.00	
14SEP17	S 1 PD14DEC17	6539.00	6515.38	USD	590.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097R

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
14SEP17	S 5 PD14DEC17	6535.50	6515.38	USD	2,515.00	
14SEP17	S 1 PD14DEC17	6533.50	6515.38	USD	453.00	
14SEP17	B 1 PD14DEC17	6522.00	6515.38	USD	-165.50	
14SEP17	B 1 PD14DEC17	6526.50	6515.38	USD	-278.00	
14SEP17	S 1 PD14DEC17	6529.00	6515.38	USD	340.50	
14SEP17	B 4 PD14DEC17	6523.00	6515.38	USD	-762.00	
14SEP17	B 1 PD14DEC17	6525.00	6515.38	USD	-240.50	
14SEP17	B 2 PD14DEC17	6524.50	6515.38	USD	-456.00	
14SEP17	B 3 PD14DEC17	6525.50	6515.38	USD	-759.00	
14SEP17	B 1 PD14DEC17	6510.50	6515.38	USD	122.00	
14SEP17	B 1 PD14DEC17	6511.50	6515.38	USD	97.00	
14SEP17	B 2 PD14DEC17	6512.50	6515.38	USD	144.00	
14SEP17	B 1 PD14DEC17	6513.00	6515.38	USD	59.50	
14SEP17	B 1 PD14DEC17	6513.50	6515.38	USD	47.00	
14SEP17	B 1 PD14DEC17	6511.00	6515.38	USD	109.50	
14SEP17	B 1 PD14DEC17	6510.00	6515.38	USD	134.50	
14SEP17	B 2 PD14DEC17	6514.50	6515.38	USD	44.00	
14SEP17	B 1 PD14DEC17	6497.00	6515.38	USD	459.50	
14SEP17	B 1 PD14DEC17	6489.50	6515.38	USD	647.00	
14SEP17	B 4 PD14DEC17	6488.50	6515.38	USD	2,688.00	
14SEP17	B 1 PD14DEC17	6494.00	6515.38	USD	534.50	
14SEP17	B 1 PD14DEC17	6491.00	6515.38	USD	609.50	
14SEP17	B 2 PD14DEC17	6487.50	6515.38	USD	1,394.00	
14SEP17	B 1 PD14DEC17	6482.50	6515.38	USD	822.00	
14SEP17	B 2 PD14DEC17	6481.50	6515.38	USD	1,694.00	
14SEP17	B 4 PD14DEC17	6476.50	6515.38	USD	3,888.00	
14SEP17	B 1 PD14DEC17	6476.00	6515.38	USD	984.50	
14SEP17	S 2 PD14DEC17	6474.50	6515.38	USD	-2,044.00	
14SEP17	B 1 PD14DEC17	6477.50	6515.38	USD	947.00	
14SEP17	B 1 PD14DEC17	6478.00	6515.38	USD	934.50	
14SEP17	S 1 PD14DEC17	6501.50	6515.38	USD	-347.00	
14SEP17	S 14 PD14DEC17	6500.50	6515.38	USD	-5,208.00	
14SEP17	S 2 PD14DEC17	6499.50	6515.38	USD	-794.00	
14SEP17	B 2 PD14DEC17	6495.50	6515.38	USD	994.00	
14SEP17	S 1 PD14DEC17	6496.50	6515.38	USD	-472.00	
14SEP17	B 2 PD14DEC17	6492.00	6515.38	USD	1,169.00	
14SEP17	S 1 PD14DEC17	6491.50	6515.38	USD	-597.00	
14SEP17	S 3 PD14DEC17	6494.50	6515.38	USD	-1,566.00	
14SEP17	S 1 PD14DEC17	6485.00	6515.38	USD	-759.50	
14SEP17	S 1 PD14DEC17	6486.50	6515.38	USD	-722.00	
14SEP17	S 3 PD14DEC17	6490.00	6515.38	USD	-1,903.50	
14SEP17	S 4 PD14DEC17	6489.50	6515.38	USD	-2,588.00	
14SEP17	S 3 PD14DEC17	6492.50	6515.38	USD	-1,716.00	
14SEP17	S 4 PD14DEC17	6493.50	6515.38	USD	-2,188.00	
14SEP17	S 2 PD14DEC17	6496.00	6515.38	USD	-969.00	
14SEP17	S 1 PD14DEC17	6497.00	6515.38	USD	-459.50	
14SEP17	S 1 PD14DEC17	6498.00	6515.38	USD	-434.50	
14SEP17	B 5 PD14DEC17	6496.50	6515.38	USD	2,360.00	
14SEP17	S 2 PD14DEC17	6498.50	6515.38	USD	-844.00	
14SEP17	S 1 PD14DEC17	6506.00	6515.38	USD	-234.50	
14SEP17	S 1 PD14DEC17	6507.00	6515.38	USD	-209.50	
14SEP17	S 1 PD14DEC17	6515.00	6515.38	USD	-9.50	
14SEP17	S 1 PD14DEC17	6515.50	6515.38	USD	3.00	
14SEP17	B 1 PD14DEC17	6520.00	6515.38	USD	-115.50	
14SEP17	S 1 PD14DEC17	6518.00	6515.38	USD	65.50	
14SEP17	S 6 PD14DEC17	6517.50	6515.38	USD	318.00	
14SEP17	S 1 PD14DEC17	6513.50	6515.38	USD	-47.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097R

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
14SEP17	S 1 PD14DEC17	6524.00	6515.38	USD	215.50	
14SEP17	S 1 PD14DEC17	6525.00	6515.38	USD	240.50	
14SEP17	S 1 PD14DEC17	6523.00	6515.38	USD	190.50	
14SEP17	S 2 PD14DEC17	6525.50	6515.38	USD	506.00	
14SEP17	S 1 PD14DEC17	6524.50	6515.38	USD	228.00	
14SEP17	S 4 PD14DEC17	6523.50	6515.38	USD	812.00	
14SEP17	S 1 PD14DEC17	6522.00	6515.38	USD	165.50	
14SEP17	S 1 PD14DEC17	6513.00	6515.38	USD	-59.50	
14SEP17	S 2 PD14DEC17	6514.00	6515.38	USD	-69.00	
14SEP17	S 2 PD14DEC17	6516.00	6515.38	USD	31.00	
14SEP17	S 1 PD14DEC17	6514.50	6515.38	USD	-22.00	
14SEP17	S 2 PD14DEC17	6510.50	6515.38	USD	-244.00	
14SEP17	S 4 PD14DEC17	6508.50	6515.38	USD	-688.00	
14SEP17	S 2 PD14DEC17	6508.00	6515.38	USD	-369.00	
14SEP17	S 4 PD14DEC17	6505.50	6515.38	USD	-988.00	
14SEP17	S 1 PD14DEC17	6504.50	6515.38	USD	-272.00	
14SEP17	S 1 PD14DEC17	6509.00	6515.38	USD	-159.50	
14SEP17	S 2 PD14DEC17	6509.50	6515.38	USD	-294.00	
14SEP17	B 1 PD14DEC17	6496.50	6515.38	USD	472.00	
14SEP17	S 1 PD14DEC17	6486.00	6515.38	USD	-734.50	
14SEP17	S 1 PD14DEC17	6509.50	6515.38	USD	-147.00	
14SEP17	S 5 PD14DEC17	6499.00	6515.38	USD	-2,047.50	
14SEP17	S 8 PD14DEC17	6501.00	6515.38	USD	-2,876.00	
14SEP17	S 4 PD14DEC17	6505.00	6515.38	USD	-1,038.00	
14SEP17	S 3 PD14DEC17	6504.00	6515.38	USD	-853.50	
14SEP17	S 3 PD14DEC17	6503.50	6515.38	USD	-891.00	
14SEP17	S 3 PD14DEC17	6502.50	6515.38	USD	-966.00	
14SEP17	S 3 PD14DEC17	6503.00	6515.38	USD	-928.50	
14SEP17	B 1 PD14DEC17	6499.50	6515.38	USD	397.00	
14SEP17	B 5 PD14DEC17	6498.00	6515.38	USD	2,172.50	
14SEP17	B 1 PD14DEC17	6502.50	6515.38	USD	322.00	
14SEP17	B 1 PD14DEC17	6501.50	6515.38	USD	347.00	
14SEP17	S 11 PD14DEC17	6500.00	6515.38	USD	-4,229.50	
14SEP17	B 4 PD14DEC17	6502.00	6515.38	USD	1,338.00	
14SEP17	S 1 PD14DEC17	6499.00	6515.38	USD	-409.50	
14SEP17	S 1 PD14DEC17	6503.00	6515.38	USD	-309.50	
14SEP17	B 1 PD14DEC17	6501.50	6515.38	USD	347.00	
18SEP17	B 57 PD14DEC17	6525.29	6515.38	USD	-14,121.75	
19SEP17	B 5 PD14DEC17	6537.02	6515.38	USD	-2,705.00	
20SEP17	B 18 PD14DEC17	6524.89	6515.38	USD	-4,279.50	
	NET 0 AVG BGHT 6514.72 SOLD 6505.95				**-32,016.25**	**0.00**
15SEP17	S 1 PD15DEC17	6489.50	6515.77	USD	-656.75	
15SEP17	S 2 PD15DEC17	6494.50	6515.77	USD	-1,063.50	
15SEP17	S 1 PD15DEC17	6499.50	6515.77	USD	-406.75	
15SEP17	S 1 PD15DEC17	6498.00	6515.77	USD	-444.25	
15SEP17	S 1 PD15DEC17	6500.00	6515.77	USD	-394.25	
15SEP17	B 1 PD15DEC17	6517.50	6515.77	USD	-43.25	
15SEP17	B 2 PD15DEC17	6516.50	6515.77	USD	-36.50	
15SEP17	S 1 PD15DEC17	6513.50	6515.77	USD	-56.75	
15SEP17	S 2 PD15DEC17	6512.50	6515.77	USD	-163.50	
15SEP17	S 1 PD15DEC17	6511.00	6515.77	USD	-119.25	
15SEP17	S 2 PD15DEC17	6510.50	6515.77	USD	-263.50	
15SEP17	S 1 PD15DEC17	6503.00	6515.77	USD	-319.25	
15SEP17	S 1 PD15DEC17	6502.50	6515.77	USD	-331.75	
15SEP17	S 1 PD15DEC17	6504.00	6515.77	USD	-294.25	
15SEP17	S 1 PD15DEC17	6504.50	6515.77	USD	-281.75	
15SEP17	S 1 PD15DEC17	6505.00	6515.77	USD	-269.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097R

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
15SEP17	B 2 PD15DEC17	6497.00	6515.77	USD	938.50	
15SEP17	B 3 PD15DEC17	6496.00	6515.77	USD	1,482.75	
15SEP17	B 4 PD15DEC17	6495.00	6515.77	USD	2,077.00	
15SEP17	S 5 PD15DEC17	6512.00	6515.77	USD	-471.25	
15SEP17	B 1 PD15DEC17	6495.00	6515.77	USD	519.25	
15SEP17	B 1 PD15DEC17	6497.00	6515.77	USD	469.25	
15SEP17	S 1 PD15DEC17	6490.50	6515.77	USD	-631.75	
15SEP17	S 3 PD15DEC17	6492.00	6515.77	USD	-1,782.75	
15SEP17	S 1 PD15DEC17	6494.00	6515.77	USD	-544.25	
15SEP17	S 2 PD15DEC17	6493.00	6515.77	USD	-1,138.50	
15SEP17	S 5 PD15DEC17	6495.50	6515.77	USD	-2,533.75	
20SEP17	B 20 PD15DEC17	6525.20	6515.77	USD	-4,715.00	
	NET 0 AVG BGHT 6514.96 SOLD 6501.46				**-11,475.00**	**0.00**
18SEP17	S 1 PD18DEC17	6548.50	6516.96	USD	788.50	
18SEP17	S 1 PD18DEC17	6546.50	6516.96	USD	738.50	
18SEP17	S 1 PD18DEC17	6559.50	6516.96	USD	1,063.50	
18SEP17	S 1 PD18DEC17	6552.00	6516.96	USD	876.00	
18SEP17	S 2 PD18DEC17	6552.50	6516.96	USD	1,777.00	
18SEP17	S 1 PD18DEC17	6550.00	6516.96	USD	826.00	
18SEP17	S 5 PD18DEC17	6555.00	6516.96	USD	4,755.00	
18SEP17	S 1 PD18DEC17	6553.00	6516.96	USD	901.00	
18SEP17	B 1 PD18DEC17	6537.50	6516.96	USD	-513.50	
18SEP17	B 2 PD18DEC17	6536.50	6516.96	USD	-977.00	
18SEP17	B 1 PD18DEC17	6527.50	6516.96	USD	-263.50	
18SEP17	B 1 PD18DEC17	6526.50	6516.96	USD	-238.50	
18SEP17	B 6 PD18DEC17	6530.00	6516.96	USD	-1,956.00	
18SEP17	B 3 PD18DEC17	6529.00	6516.96	USD	-903.00	
18SEP17	B 4 PD18DEC17	6536.00	6516.96	USD	-1,904.00	
18SEP17	B 1 PD18DEC17	6535.00	6516.96	USD	-451.00	
18SEP17	B 1 PD18DEC17	6538.50	6516.96	USD	-538.50	
18SEP17	B 5 PD18DEC17	6535.50	6516.96	USD	-2,317.50	
18SEP17	B 1 PD18DEC17	6541.00	6516.96	USD	-601.00	
18SEP17	B 4 PD18DEC17	6538.00	6516.96	USD	-2,104.00	
18SEP17	B 1 PD18DEC17	6537.00	6516.96	USD	-501.00	
18SEP17	B 1 PD18DEC17	6540.00	6516.96	USD	-576.00	
18SEP17	S 1 PD18DEC17	6547.00	6516.96	USD	751.00	
18SEP17	S 3 PD18DEC17	6548.00	6516.96	USD	2,328.00	
18SEP17	S 1 PD18DEC17	6549.50	6516.96	USD	813.50	
18SEP17	S 4 PD18DEC17	6551.00	6516.96	USD	3,404.00	
18SEP17	S 6 PD18DEC17	6554.00	6516.96	USD	5,556.00	
18SEP17	S 1 PD18DEC17	6550.50	6516.96	USD	838.50	
18SEP17	S 1 PD18DEC17	6547.50	6516.96	USD	763.50	
18SEP17	S 1 PD18DEC17	6537.00	6516.96	USD	501.00	
18SEP17	S 1 PD18DEC17	6537.50	6516.96	USD	513.50	
18SEP17	S 3 PD18DEC17	6538.00	6516.96	USD	1,578.00	
18SEP17	S 3 PD18DEC17	6540.00	6516.96	USD	1,728.00	
18SEP17	S 3 PD18DEC17	6541.00	6516.96	USD	1,803.00	
18SEP17	S 2 PD18DEC17	6538.50	6516.96	USD	1,077.00	
18SEP17	S 1 PD18DEC17	6535.00	6516.96	USD	451.00	
18SEP17	S 1 PD18DEC17	6539.00	6516.96	USD	551.00	
18SEP17	S 2 PD18DEC17	6531.00	6516.96	USD	702.00	
18SEP17	B 2 PD18DEC17	6533.50	6516.96	USD	-827.00	
18SEP17	S 6 PD18DEC17	6530.50	6516.96	USD	2,031.00	
18SEP17	S 2 PD18DEC17	6526.50	6516.96	USD	477.00	
18SEP17	S 1 PD18DEC17	6527.50	6516.96	USD	263.50	
18SEP17	S 10 PD18DEC17	6525.50	6516.96	USD	2,135.00	
18SEP17	B 1 PD18DEC17	6519.00	6516.96	USD	-51.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097R

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
18SEP17	B 2 PD18DEC17	6517.50	6516.96	USD	-27.00	
18SEP17	B 2 PD18DEC17	6516.50	6516.96	USD	23.00	
18SEP17	B 1 PD18DEC17	6529.50	6516.96	USD	-313.50	
18SEP17	B 7 PD18DEC17	6528.50	6516.96	USD	-2,019.50	
18SEP17	B 5 PD18DEC17	6528.00	6516.96	USD	-1,380.00	
18SEP17	S 1 PD18DEC17	6528.00	6516.96	USD	276.00	
18SEP17	B 2 PD18DEC17	6527.00	6516.96	USD	-502.00	
18SEP17	B 3 PD18DEC17	6531.50	6516.96	USD	-1,090.50	
18SEP17	B 5 PD18DEC17	6532.50	6516.96	USD	-1,942.50	
18SEP17	B 2 PD18DEC17	6534.00	6516.96	USD	-852.00	
18SEP17	B 4 PD18DEC17	6534.50	6516.96	USD	-1,754.00	
18SEP17	B 4 PD18DEC17	6532.00	6516.96	USD	-1,504.00	
19SEP17	S 5 PD18DEC17	6538.40	6516.96	USD	2,680.00	
	NET 0 AVG BGHT 6531.45 SOLD 6540.82				**16,862.50**	**0.00**
19SEP17	S 2 PD19DEC17	6530.00	6517.35	USD	632.50	
19SEP17	S 3 PD19DEC17	6530.50	6517.35	USD	986.25	
19SEP17	S 3 PD19DEC17	6531.00	6517.35	USD	1,023.75	
19SEP17	S 3 PD19DEC17	6532.50	6517.35	USD	1,136.25	
19SEP17	S 2 PD19DEC17	6535.50	6517.35	USD	907.50	
19SEP17	S 5 PD19DEC17	6533.00	6517.35	USD	1,956.25	
19SEP17	S 2 PD19DEC17	6534.50	6517.35	USD	857.50	
19SEP17	S 3 PD19DEC17	6536.50	6517.35	USD	1,436.25	
19SEP17	S 2 PD19DEC17	6538.50	6517.35	USD	1,057.50	
19SEP17	S 5 PD19DEC17	6538.00	6517.35	USD	2,581.25	
19SEP17	S 3 PD19DEC17	6539.50	6517.35	USD	1,661.25	
19SEP17	S 6 PD19DEC17	6539.00	6517.35	USD	3,247.50	
19SEP17	S 1 PD19DEC17	6542.50	6517.35	USD	628.75	
19SEP17	S 1 PD19DEC17	6547.00	6517.35	USD	741.25	
19SEP17	S 1 PD19DEC17	6548.00	6517.35	USD	766.25	
19SEP17	B 1 PD19DEC17	6536.00	6517.35	USD	-466.25	
19SEP17	B 1 PD19DEC17	6532.50	6517.35	USD	-378.75	
19SEP17	S 3 PD19DEC17	6519.50	6517.35	USD	161.25	
19SEP17	S 2 PD19DEC17	6519.00	6517.35	USD	82.50	
19SEP17	B 4 PD19DEC17	6545.00	6517.35	USD	-2,765.00	
19SEP17	B 6 PD19DEC17	6539.00	6517.35	USD	-3,247.50	
19SEP17	B 4 PD19DEC17	6538.00	6517.35	USD	-2,065.00	
19SEP17	B 1 PD19DEC17	6541.50	6517.35	USD	-603.75	
19SEP17	B 2 PD19DEC17	6537.00	6517.35	USD	-982.50	
19SEP17	B 1 PD19DEC17	6535.50	6517.35	USD	-453.75	
19SEP17	B 3 PD19DEC17	6540.00	6517.35	USD	-1,698.75	
19SEP17	B 2 PD19DEC17	6542.50	6517.35	USD	-1,257.50	
19SEP17	B 2 PD19DEC17	6544.50	6517.35	USD	-1,357.50	
19SEP17	B 1 PD19DEC17	6546.50	6517.35	USD	-728.75	
19SEP17	B 1 PD19DEC17	6547.50	6517.35	USD	-753.75	
19SEP17	B 3 PD19DEC17	6550.00	6517.35	USD	-2,448.75	
19SEP17	B 3 PD19DEC17	6551.00	6517.35	USD	-2,523.75	
19SEP17	B 5 PD19DEC17	6542.00	6517.35	USD	-3,081.25	
19SEP17	B 5 PD19DEC17	6545.50	6517.35	USD	-3,518.75	
19SEP17	B 5 PD19DEC17	6544.00	6517.35	USD	-3,331.25	
19SEP17	B 1 PD19DEC17	6540.50	6517.35	USD	-578.75	
19SEP17	S 1 PD19DEC17	6531.00	6517.35	USD	341.25	
19SEP17	S 1 PD19DEC17	6533.50	6517.35	USD	403.75	
19SEP17	B 1 PD19DEC17	6540.00	6517.35	USD	-566.25	
19SEP17	B 2 PD19DEC17	6549.50	6517.35	USD	-1,607.50	
19SEP17	B 2 PD19DEC17	6539.50	6517.35	USD	-1,107.50	
19SEP17	B 2 PD19DEC17	6543.50	6517.35	USD	-1,307.50	
19SEP17	B 3 PD19DEC17	6543.00	6517.35	USD	-1,923.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097R

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
19SEP17	B 2 PD19DEC17	6541.00	6517.35	USD	-1,182.50	
19SEP17	B 1 PD19DEC17	6527.00	6517.35	USD	-241.25	
19SEP17	B 1 PD19DEC17	6516.00	6517.35	USD	33.75	
19SEP17	B 5 PD19DEC17	6515.00	6517.35	USD	293.75	
19SEP17	B 3 PD19DEC17	6522.00	6517.35	USD	-348.75	
19SEP17	B 2 PD19DEC17	6523.00	6517.35	USD	-282.50	
19SEP17	B 1 PD19DEC17	6528.00	6517.35	USD	-266.25	
19SEP17	B 2 PD19DEC17	6526.50	6517.35	USD	-457.50	
19SEP17	B 1 PD19DEC17	6533.50	6517.35	USD	-403.75	
19SEP17	B 1 PD19DEC17	6529.00	6517.35	USD	-291.25	
19SEP17	B 7 PD19DEC17	6525.00	6517.35	USD	-1,338.75	
19SEP17	B 2 PD19DEC17	6529.50	6517.35	USD	-607.50	
19SEP17	B 1 PD19DEC17	6527.50	6517.35	USD	-253.75	
19SEP17	B 6 PD19DEC17	6524.50	6517.35	USD	-1,072.50	
19SEP17	B 1 PD19DEC17	6524.00	6517.35	USD	-166.25	
19SEP17	B 1 PD19DEC17	6522.50	6517.35	USD	-128.75	
19SEP17	B 3 PD19DEC17	6526.00	6517.35	USD	-648.75	
19SEP17	B 5 PD19DEC17	6523.50	6517.35	USD	-768.75	
19SEP17	B 1 PD19DEC17	6520.50	6517.35	USD	-78.75	
19SEP17	B 1 PD19DEC17	6520.00	6517.35	USD	-66.25	
19SEP17	B 2 PD19DEC17	6521.00	6517.35	USD	-182.50	
19SEP17	B 4 PD19DEC17	6521.50	6517.35	USD	-415.00	
19SEP17	B 1 PD19DEC17	6519.50	6517.35	USD	-53.75	
19SEP17	B 3 PD19DEC17	6519.00	6517.35	USD	-123.75	
19SEP17	B 1 PD19DEC17	6518.50	6517.35	USD	-28.75	
19SEP17	B 1 PD19DEC17	6516.50	6517.35	USD	21.25	
19SEP17	B 1 PD19DEC17	6514.50	6517.35	USD	71.25	
19SEP17	B 11 PD19DEC17	6518.00	6517.35	USD	-178.75	
19SEP17	B 1 PD19DEC17	6517.00	6517.35	USD	8.75	
19SEP17	B 1 PD19DEC17	6514.00	6517.35	USD	83.75	
19SEP17	B 1 PD19DEC17	6513.00	6517.35	USD	108.75	
19SEP17	B 6 PD19DEC17	6515.50	6517.35	USD	277.50	
19SEP17	B 1 PD19DEC17	6513.50	6517.35	USD	96.25	
19SEP17	B 1 PD19DEC17	6514.00	6517.35	USD	83.75	
19SEP17	B 1 PD19DEC17	6538.00	6517.35	USD	-516.25	
21SEP17	S 5 PD19DEC17	6482.00	6517.35	USD	-4,418.75	
25SEP17	S 35 PD19DEC17	6448.75	6517.35	USD	-60,025.00	
03OCT17	S 35 PD19DEC17	6517.35	6517.35	USD	0.00	
	NET +20 AVG BGHT 6530.62 SOLD 6503.21				**-91,612.50**	**0.00**
20SEP17	S 1 PD20DEC17	6561.50	6517.75	USD	1,093.75	
20SEP17	S 2 PD20DEC17	6563.00	6517.75	USD	2,262.50	
20SEP17	S 1 PD20DEC17	6557.00	6517.75	USD	981.25	
20SEP17	S 2 PD20DEC17	6555.50	6517.75	USD	1,887.50	
20SEP17	S 1 PD20DEC17	6554.50	6517.75	USD	918.75	
20SEP17	S 1 PD20DEC17	6556.00	6517.75	USD	956.25	
20SEP17	S 1 PD20DEC17	6560.50	6517.75	USD	1,068.75	
20SEP17	S 2 PD20DEC17	6559.50	6517.75	USD	2,087.50	
20SEP17	S 15 PD20DEC17	6561.00	6517.75	USD	16,218.75	
20SEP17	S 2 PD20DEC17	6562.50	6517.75	USD	2,237.50	
20SEP17	S 6 PD20DEC17	6562.00	6517.75	USD	6,637.50	
20SEP17	S 4 PD20DEC17	6563.50	6517.75	USD	4,575.00	
20SEP17	S 1 PD20DEC17	6561.00	6517.75	USD	1,081.25	
20SEP17	S 1 PD20DEC17	6562.50	6517.75	USD	1,118.75	
20SEP17	B 1 PD20DEC17	6549.00	6517.75	USD	-781.25	
20SEP17	B 2 PD20DEC17	6552.00	6517.75	USD	-1,712.50	
20SEP17	B 1 PD20DEC17	6551.00	6517.75	USD	-831.25	
20SEP17	S 4 PD20DEC17	6547.00	6517.75	USD	2,925.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097R

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
20SEP17	S 2 PD20DEC17	6548.00	6517.75	USD	1,512.50	
20SEP17	S 1 PD20DEC17	6546.00	6517.75	USD	706.25	
20SEP17	S 1 PD20DEC17	6550.50	6517.75	USD	818.75	
20SEP17	B 1 PD20DEC17	6545.00	6517.75	USD	-681.25	
20SEP17	B 1 PD20DEC17	6543.50	6517.75	USD	-643.75	
20SEP17	B 1 PD20DEC17	6542.00	6517.75	USD	-606.25	
20SEP17	B 1 PD20DEC17	6544.00	6517.75	USD	-656.25	
20SEP17	S 2 PD20DEC17	6527.50	6517.75	USD	487.50	
20SEP17	S 1 PD20DEC17	6528.50	6517.75	USD	268.75	
20SEP17	S 2 PD20DEC17	6527.00	6517.75	USD	462.50	
20SEP17	B 1 PD20DEC17	6520.50	6517.75	USD	-68.75	
20SEP17	B 1 PD20DEC17	6517.50	6517.75	USD	6.25	
20SEP17	B 1 PD20DEC17	6519.50	6517.75	USD	-43.75	
20SEP17	B 1 PD20DEC17	6518.50	6517.75	USD	-18.75	
20SEP17	B 1 PD20DEC17	6523.50	6517.75	USD	-143.75	
20SEP17	B 1 PD20DEC17	6532.50	6517.75	USD	-368.75	
20SEP17	B 1 PD20DEC17	6532.00	6517.75	USD	-356.25	
20SEP17	B 1 PD20DEC17	6527.00	6517.75	USD	-231.25	
20SEP17	B 1 PD20DEC17	6524.50	6517.75	USD	-168.75	
20SEP17	B 1 PD20DEC17	6520.00	6517.75	USD	-56.25	
20SEP17	B 2 PD20DEC17	6529.00	6517.75	USD	-562.50	
20SEP17	B 2 PD20DEC17	6534.00	6517.75	USD	-812.50	
20SEP17	B 1 PD20DEC17	6538.00	6517.75	USD	-506.25	
21SEP17	B 30 PD20DEC17	6482.20	6517.75	USD	26,662.50	
	NET 0 AVG BGHT 6504.60 SOLD 6555.72				**67,725.00**	**0.00**
21SEP17	S 7 PD21DEC17	6455.50	6516.75	USD	-10,718.75	
21SEP17	S 3 PD21DEC17	6454.50	6516.75	USD	-4,668.75	
21SEP17	S 3 PD21DEC17	6456.50	6516.75	USD	-4,518.75	
21SEP17	S 2 PD21DEC17	6457.00	6516.75	USD	-2,987.50	
21SEP17	S 1 PD21DEC17	6459.00	6516.75	USD	-1,443.75	
21SEP17	S 3 PD21DEC17	6458.00	6516.75	USD	-4,406.25	
21SEP17	S 1 PD21DEC17	6459.50	6516.75	USD	-1,431.25	
21SEP17	S 3 PD21DEC17	6460.00	6516.75	USD	-4,256.25	
21SEP17	S 1 PD21DEC17	6445.00	6516.75	USD	-1,793.75	
21SEP17	S 1 PD21DEC17	6439.50	6516.75	USD	-1,931.25	
21SEP17	S 1 PD21DEC17	6440.00	6516.75	USD	-1,918.75	
21SEP17	S 3 PD21DEC17	6441.50	6516.75	USD	-5,643.75	
21SEP17	S 1 PD21DEC17	6437.50	6516.75	USD	-1,981.25	
21SEP17	S 1 PD21DEC17	6442.00	6516.75	USD	-1,868.75	
21SEP17	S 2 PD21DEC17	6450.00	6516.75	USD	-3,337.50	
21SEP17	S 3 PD21DEC17	6448.50	6516.75	USD	-5,118.75	
21SEP17	S 3 PD21DEC17	6445.50	6516.75	USD	-5,343.75	
21SEP17	S 2 PD21DEC17	6440.50	6516.75	USD	-3,812.50	
21SEP17	S 1 PD21DEC17	6439.00	6516.75	USD	-1,943.75	
21SEP17	S 1 PD21DEC17	6443.50	6516.75	USD	-1,831.25	
21SEP17	S 2 PD21DEC17	6443.00	6516.75	USD	-3,687.50	
21SEP17	S 3 PD21DEC17	6456.00	6516.75	USD	-4,556.25	
21SEP17	S 1 PD21DEC17	6462.50	6516.75	USD	-1,356.25	
21SEP17	B 1 PD21DEC17	6457.50	6516.75	USD	1,481.25	
21SEP17	B 3 PD21DEC17	6461.50	6516.75	USD	4,143.75	
21SEP17	B 3 PD21DEC17	6446.00	6516.75	USD	5,306.25	
21SEP17	B 1 PD21DEC17	6445.50	6516.75	USD	1,781.25	
21SEP17	B 1 PD21DEC17	6461.50	6516.75	USD	1,381.25	
21SEP17	B 1 PD21DEC17	6446.50	6516.75	USD	1,756.25	
21SEP17	B 1 PD21DEC17	6443.00	6516.75	USD	1,843.75	
21SEP17	B 1 PD21DEC17	6440.00	6516.75	USD	1,918.75	
21SEP17	B 3 PD21DEC17	6441.00	6516.75	USD	5,681.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097R

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
21SEP17	B 4 PD21DEC17	6449.50	6516.75	USD	6,725.00	
21SEP17	B 1 PD21DEC17	6448.50	6516.75	USD	1,706.25	
21SEP17	B 1 PD21DEC17	6472.50	6516.75	USD	1,106.25	
21SEP17	B 1 PD21DEC17	6468.00	6516.75	USD	1,218.75	
21SEP17	B 1 PD21DEC17	6479.50	6516.75	USD	931.25	
21SEP17	B 1 PD21DEC17	6480.00	6516.75	USD	918.75	
21SEP17	B 1 PD21DEC17	6481.50	6516.75	USD	881.25	
21SEP17	B 1 PD21DEC17	6484.00	6516.75	USD	818.75	
21SEP17	B 2 PD21DEC17	6485.50	6516.75	USD	1,562.50	
21SEP17	B 1 PD21DEC17	6484.50	6516.75	USD	806.25	
21SEP17	B 4 PD21DEC17	6481.00	6516.75	USD	3,575.00	
21SEP17	B 3 PD21DEC17	6482.00	6516.75	USD	2,606.25	
21SEP17	B 1 PD21DEC17	6478.50	6516.75	USD	956.25	
21SEP17	B 2 PD21DEC17	6477.50	6516.75	USD	1,962.50	
21SEP17	B 2 PD21DEC17	6476.50	6516.75	USD	2,012.50	
21SEP17	B 1 PD21DEC17	6478.00	6516.75	USD	968.75	
21SEP17	B 3 PD21DEC17	6476.00	6516.75	USD	3,056.25	
21SEP17	B 1 PD21DEC17	6473.00	6516.75	USD	1,093.75	
21SEP17	B 2 PD21DEC17	6475.00	6516.75	USD	2,087.50	
21SEP17	B 6 PD21DEC17	6474.00	6516.75	USD	6,412.50	
21SEP17	B 2 PD21DEC17	6471.00	6516.75	USD	2,287.50	
21SEP17	B 1 PD21DEC17	6471.50	6516.75	USD	1,131.25	
21SEP17	B 4 PD21DEC17	6469.00	6516.75	USD	4,775.00	
21SEP17	B 4 PD21DEC17	6469.50	6516.75	USD	4,725.00	
21SEP17	B 7 PD21DEC17	6470.00	6516.75	USD	8,181.25	
21SEP17	S 2 PD21DEC17	6438.00	6516.75	USD	-3,937.50	
21SEP17	B 1 PD21DEC17	6447.00	6516.75	USD	1,743.75	
21SEP17	B 2 PD21DEC17	6482.50	6516.75	USD	1,712.50	
21SEP17	B 1 PD21DEC17	6468.50	6516.75	USD	1,206.25	
	NET +25 AVG BGHT 6468.09 SOLD 6450.48				**7,968.75**	**0.00**
22SEP17	B 1 PD22DEC17	6392.50	6515.75	USD	3,081.25	
22SEP17	S 2 PD22DEC17	6383.50	6515.75	USD	-6,612.50	
22SEP17	S 2 PD22DEC17	6385.50	6515.75	USD	-6,512.50	
22SEP17	S 2 PD22DEC17	6385.00	6515.75	USD	-6,537.50	
22SEP17	S 4 PD22DEC17	6386.00	6515.75	USD	-12,975.00	
22SEP17	S 1 PD22DEC17	6396.00	6515.75	USD	-2,993.75	
22SEP17	S 3 PD22DEC17	6393.00	6515.75	USD	-9,206.25	
22SEP17	S 1 PD22DEC17	6394.00	6515.75	USD	-3,043.75	
22SEP17	S 1 PD22DEC17	6394.50	6515.75	USD	-3,031.25	
22SEP17	S 1 PD22DEC17	6392.00	6515.75	USD	-3,093.75	
22SEP17	S 2 PD22DEC17	6391.00	6515.75	USD	-6,237.50	
22SEP17	S 3 PD22DEC17	6395.00	6515.75	USD	-9,056.25	
22SEP17	S 3 PD22DEC17	6393.50	6515.75	USD	-9,168.75	
22SEP17	S 1 PD22DEC17	6400.00	6515.75	USD	-2,893.75	
22SEP17	S 2 PD22DEC17	6401.50	6515.75	USD	-5,712.50	
22SEP17	B 1 PD22DEC17	6406.00	6515.75	USD	2,743.75	
22SEP17	S 1 PD22DEC17	6408.50	6515.75	USD	-2,681.25	
22SEP17	B 1 PD22DEC17	6409.00	6515.75	USD	2,668.75	
22SEP17	B 1 PD22DEC17	6408.00	6515.75	USD	2,693.75	
22SEP17	S 1 PD22DEC17	6421.00	6515.75	USD	-2,368.75	
22SEP17	S 1 PD22DEC17	6421.50	6515.75	USD	-2,356.25	
22SEP17	S 2 PD22DEC17	6427.00	6515.75	USD	-4,437.50	
22SEP17	S 4 PD22DEC17	6429.00	6515.75	USD	-8,675.00	
22SEP17	S 2 PD22DEC17	6430.00	6515.75	USD	-4,287.50	
22SEP17	S 3 PD22DEC17	6434.50	6515.75	USD	-6,093.75	
22SEP17	S 1 PD22DEC17	6435.00	6515.75	USD	-2,018.75	
22SEP17	S 1 PD22DEC17	6435.50	6515.75	USD	-2,006.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097R

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
22SEP17	S 1 PD22DEC17	6433.50	6515.75	USD	-2,056.25	
22SEP17	S 2 PD22DEC17	6429.50	6515.75	USD	-4,312.50	
22SEP17	S 3 PD22DEC17	6430.50	6515.75	USD	-6,393.75	
22SEP17	S 3 PD22DEC17	6432.00	6515.75	USD	-6,281.25	
22SEP17	S 3 PD22DEC17	6428.50	6515.75	USD	-6,543.75	
22SEP17	S 3 PD22DEC17	6431.50	6515.75	USD	-6,318.75	
22SEP17	S 1 PD22DEC17	6426.00	6515.75	USD	-2,243.75	
22SEP17	S 1 PD22DEC17	6426.50	6515.75	USD	-2,231.25	
22SEP17	S 1 PD22DEC17	6439.50	6515.75	USD	-1,906.25	
22SEP17	S 1 PD22DEC17	6449.50	6515.75	USD	-1,656.25	
22SEP17	S 1 PD22DEC17	6455.00	6515.75	USD	-1,518.75	
22SEP17	B 1 PD22DEC17	6459.50	6515.75	USD	1,406.25	
22SEP17	B 1 PD22DEC17	6447.00	6515.75	USD	1,718.75	
22SEP17	S 1 PD22DEC17	6391.00	6515.75	USD	-3,118.75	
22SEP17	S 1 PD22DEC17	6402.50	6515.75	USD	-2,831.25	
22SEP17	S 1 PD22DEC17	6421.00	6515.75	USD	-2,368.75	
22SEP17	B 3 PD22DEC17	6443.00	6515.75	USD	5,456.25	
22SEP17	B 2 PD22DEC17	6441.00	6515.75	USD	3,737.50	
22SEP17	B 1 PD22DEC17	6443.50	6515.75	USD	1,806.25	
22SEP17	B 1 PD22DEC17	6442.50	6515.75	USD	1,831.25	
22SEP17	B 5 PD22DEC17	6441.50	6515.75	USD	9,281.25	
22SEP17	B 1 PD22DEC17	6442.00	6515.75	USD	1,843.75	
22SEP17	B 2 PD22DEC17	6447.50	6515.75	USD	3,412.50	
22SEP17	B 1 PD22DEC17	6451.50	6515.75	USD	1,606.25	
22SEP17	B 1 PD22DEC17	6449.50	6515.75	USD	1,656.25	
22SEP17	S 1 PD22DEC17	6458.00	6515.75	USD	-1,443.75	
22SEP17	S 1 PD22DEC17	6464.50	6515.75	USD	-1,281.25	
22SEP17	S 1 PD22DEC17	6463.50	6515.75	USD	-1,306.25	
22SEP17	S 9 PD22DEC17	6463.00	6515.75	USD	-11,868.75	
22SEP17	S 2 PD22DEC17	6462.00	6515.75	USD	-2,687.50	
22SEP17	S 4 PD22DEC17	6462.50	6515.75	USD	-5,325.00	
22SEP17	B 3 PD22DEC17	6456.00	6515.75	USD	4,481.25	
22SEP17	B 1 PD22DEC17	6454.50	6515.75	USD	1,531.25	
22SEP17	B 6 PD22DEC17	6454.00	6515.75	USD	9,262.50	
22SEP17	B 1 PD22DEC17	6453.50	6515.75	USD	1,556.25	
22SEP17	B 1 PD22DEC17	6457.50	6515.75	USD	1,456.25	
22SEP17	B 1 PD22DEC17	6456.50	6515.75	USD	1,481.25	
22SEP17	B 2 PD22DEC17	6458.00	6515.75	USD	2,887.50	
22SEP17	B 3 PD22DEC17	6455.00	6515.75	USD	4,556.25	
22SEP17	S 1 PD22DEC17	6457.00	6515.75	USD	-1,468.75	
22SEP17	S 7 PD22DEC17	6454.00	6515.75	USD	-10,806.25	
22SEP17	S 5 PD22DEC17	6454.50	6515.75	USD	-7,656.25	
22SEP17	S 2 PD22DEC17	6455.50	6515.75	USD	-3,012.50	
22SEP17	S 2 PD22DEC17	6456.00	6515.75	USD	-2,987.50	
22SEP17	S 1 PD22DEC17	6471.50	6515.75	USD	-1,106.25	
22SEP17	S 4 PD22DEC17	6470.00	6515.75	USD	-4,575.00	
22SEP17	S 1 PD22DEC17	6467.50	6515.75	USD	-1,206.25	
22SEP17	S 1 PD22DEC17	6469.00	6515.75	USD	-1,168.75	
22SEP17	S 2 PD22DEC17	6465.00	6515.75	USD	-2,537.50	
22SEP17	S 1 PD22DEC17	6461.00	6515.75	USD	-1,368.75	
22SEP17	S 4 PD22DEC17	6460.50	6515.75	USD	-5,525.00	
22SEP17	B 1 PD22DEC17	6462.00	6515.75	USD	1,343.75	
22SEP17	B 1 PD22DEC17	6470.00	6515.75	USD	1,143.75	
22SEP17	B 1 PD22DEC17	6462.50	6515.75	USD	1,331.25	
22SEP17	B 6 PD22DEC17	6464.50	6515.75	USD	7,687.50	
22SEP17	B 1 PD22DEC17	6463.00	6515.75	USD	1,318.75	
22SEP17	B 2 PD22DEC17	6461.00	6515.75	USD	2,737.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097R

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
22SEP17	B 1 PD22DEC17	6461.50	6515.75	USD	1,356.25	
22SEP17	B 2 PD22DEC17	6468.50	6515.75	USD	2,362.50	
22SEP17	B 2 PD22DEC17	6467.00	6515.75	USD	2,437.50	
22SEP17	B 1 PD22DEC17	6466.50	6515.75	USD	1,231.25	
22SEP17	B 4 PD22DEC17	6466.00	6515.75	USD	4,975.00	
22SEP17	B 3 PD22DEC17	6465.00	6515.75	USD	3,806.25	
22SEP17	B 12 PD22DEC17	6464.00	6515.75	USD	15,525.00	
22SEP17	B 1 PD22DEC17	6463.50	6515.75	USD	1,306.25	
22SEP17	B 3 PD22DEC17	6468.00	6515.75	USD	3,581.25	
22SEP17	S 1 PD22DEC17	6464.00	6515.75	USD	-1,293.75	
25SEP17	B 35 PD22DEC17	6447.49	6515.75	USD	59,727.50	
	NET 0 AVG BGHT 6452.83 SOLD 6433.56				**-56,378.75**	**0.00**
25SEP17	B 1 PD27DEC17	6476.00	6517.52	USD	1,038.00	
25SEP17	B 3 PD27DEC17	6472.00	6517.52	USD	3,414.00	
25SEP17	B 1 PD27DEC17	6471.00	6517.52	USD	1,163.00	
25SEP17	S 6 PD27DEC17	6463.50	6517.52	USD	-8,103.00	
25SEP17	S 4 PD27DEC17	6465.50	6517.52	USD	-5,202.00	
25SEP17	B 1 PD27DEC17	6466.50	6517.52	USD	1,275.50	
25SEP17	S 3 PD27DEC17	6463.00	6517.52	USD	-4,089.00	
25SEP17	S 7 PD27DEC17	6465.00	6517.52	USD	-9,191.00	
25SEP17	S 2 PD27DEC17	6466.50	6517.52	USD	-2,551.00	
25SEP17	S 4 PD27DEC17	6466.00	6517.52	USD	-5,152.00	
25SEP17	S 8 PD27DEC17	6464.00	6517.52	USD	-10,704.00	
25SEP17	S 5 PD27DEC17	6464.50	6517.52	USD	-6,627.50	
25SEP17	S 2 PD27DEC17	6439.50	6517.52	USD	-3,901.00	
25SEP17	S 1 PD27DEC17	6438.50	6517.52	USD	-1,975.50	
25SEP17	S 1 PD27DEC17	6436.50	6517.52	USD	-2,025.50	
25SEP17	S 1 PD27DEC17	6446.00	6517.52	USD	-1,788.00	
25SEP17	S 1 PD27DEC17	6458.50	6517.52	USD	-1,475.50	
25SEP17	S 2 PD27DEC17	6455.00	6517.52	USD	-3,126.00	
25SEP17	S 3 PD27DEC17	6454.00	6517.52	USD	-4,764.00	
25SEP17	S 4 PD27DEC17	6462.00	6517.52	USD	-5,552.00	
25SEP17	S 2 PD27DEC17	6461.50	6517.52	USD	-2,801.00	
25SEP17	S 4 PD27DEC17	6460.50	6517.52	USD	-5,702.00	
25SEP17	S 1 PD27DEC17	6461.00	6517.52	USD	-1,413.00	
25SEP17	S 1 PD27DEC17	6460.00	6517.52	USD	-1,438.00	
25SEP17	S 2 PD27DEC17	6462.50	6517.52	USD	-2,751.00	
25SEP17	S 1 PD27DEC17	6459.50	6517.52	USD	-1,450.50	
25SEP17	S 2 PD27DEC17	6456.50	6517.52	USD	-3,051.00	
25SEP17	S 2 PD27DEC17	6457.50	6517.52	USD	-3,001.00	
25SEP17	S 3 PD27DEC17	6455.50	6517.52	USD	-4,651.50	
25SEP17	S 6 PD27DEC17	6453.50	6517.52	USD	-9,603.00	
25SEP17	S 4 PD27DEC17	6454.50	6517.52	USD	-6,302.00	
25SEP17	S 4 PD27DEC17	6453.00	6517.52	USD	-6,452.00	
25SEP17	S 6 PD27DEC17	6452.00	6517.52	USD	-9,828.00	
25SEP17	S 8 PD27DEC17	6451.00	6517.52	USD	-13,304.00	
25SEP17	S 3 PD27DEC17	6452.50	6517.52	USD	-4,876.50	
25SEP17	S 1 PD27DEC17	6449.00	6517.52	USD	-1,713.00	
25SEP17	S 1 PD27DEC17	6453.00	6517.52	USD	-1,613.00	
25SEP17	S 1 PD27DEC17	6446.50	6517.52	USD	-1,775.50	
26SEP17	B 2 PD27DEC17	6509.50	6517.52	USD	401.00	
26SEP17	B 1 PD27DEC17	6511.50	6517.52	USD	150.50	
26SEP17	B 2 PD27DEC17	6513.00	6517.52	USD	226.00	
26SEP17	B 1 PD27DEC17	6511.00	6517.52	USD	163.00	
26SEP17	B 1 PD27DEC17	6509.00	6517.52	USD	213.00	
26SEP17	B 1 PD27DEC17	6505.50	6517.52	USD	300.50	
26SEP17	B 1 PD27DEC17	6504.50	6517.52	USD	325.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097R

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
26SEP17	B 2 PD27DEC17	6502.50	6517.52	USD	751.00	
26SEP17	S 1 PD27DEC17	6515.00	6517.52	USD	-63.00	
26SEP17	B 1 PD27DEC17	6517.00	6517.52	USD	13.00	
26SEP17	B 1 PD27DEC17	6517.50	6517.52	USD	0.50	
26SEP17	B 1 PD27DEC17	6510.50	6517.52	USD	175.50	
26SEP17	B 4 PD27DEC17	6508.00	6517.52	USD	952.00	
26SEP17	B 1 PD27DEC17	6500.50	6517.52	USD	425.50	
26SEP17	B 1 PD27DEC17	6498.00	6517.52	USD	488.00	
26SEP17	B 1 PD27DEC17	6497.00	6517.52	USD	513.00	
26SEP17	B 1 PD27DEC17	6496.50	6517.52	USD	525.50	
26SEP17	B 2 PD27DEC17	6499.50	6517.52	USD	901.00	
26SEP17	B 2 PD27DEC17	6498.50	6517.52	USD	951.00	
26SEP17	B 2 PD27DEC17	6503.50	6517.52	USD	701.00	
26SEP17	B 3 PD27DEC17	6501.50	6517.52	USD	1,201.50	
26SEP17	B 3 PD27DEC17	6503.00	6517.52	USD	1,089.00	
26SEP17	B 3 PD27DEC17	6506.50	6517.52	USD	826.50	
26SEP17	B 1 PD27DEC17	6504.00	6517.52	USD	338.00	
26SEP17	B 2 PD27DEC17	6505.00	6517.52	USD	626.00	
26SEP17	B 7 PD27DEC17	6506.00	6517.52	USD	2,016.00	
26SEP17	B 3 PD27DEC17	6507.00	6517.52	USD	789.00	
26SEP17	B 3 PD27DEC17	6507.50	6517.52	USD	751.50	
26SEP17	S 1 PD27DEC17	6508.50	6517.52	USD	-225.50	
26SEP17	S 1 PD27DEC17	6507.50	6517.52	USD	-250.50	
26SEP17	S 2 PD27DEC17	6510.50	6517.52	USD	-351.00	
26SEP17	S 1 PD27DEC17	6514.50	6517.52	USD	-75.50	
26SEP17	B 6 PD27DEC17	6512.00	6517.52	USD	828.00	
26SEP17	S 1 PD27DEC17	6504.50	6517.52	USD	-325.50	
26SEP17	S 3 PD27DEC17	6505.00	6517.52	USD	-939.00	
26SEP17	S 4 PD27DEC17	6505.50	6517.52	USD	-1,202.00	
26SEP17	S 1 PD27DEC17	6496.00	6517.52	USD	-538.00	
26SEP17	B 1 PD27DEC17	6492.50	6517.52	USD	625.50	
26SEP17	B 1 PD27DEC17	6495.00	6517.52	USD	563.00	
26SEP17	B 1 PD27DEC17	6494.00	6517.52	USD	588.00	
26SEP17	B 4 PD27DEC17	6491.50	6517.52	USD	2,602.00	
26SEP17	B 2 PD27DEC17	6491.00	6517.52	USD	1,326.00	
26SEP17	B 3 PD27DEC17	6490.50	6517.52	USD	2,026.50	
26SEP17	B 4 PD27DEC17	6489.00	6517.52	USD	2,852.00	
26SEP17	B 2 PD27DEC17	6487.00	6517.52	USD	1,526.00	
26SEP17	B 2 PD27DEC17	6497.50	6517.52	USD	1,001.00	
26SEP17	S 1 PD27DEC17	6466.00	6517.52	USD	-1,288.00	
26SEP17	S 2 PD27DEC17	6464.50	6517.52	USD	-2,651.00	
26SEP17	B 1 PD27DEC17	6512.50	6517.52	USD	125.50	
26SEP17	S 3 PD27DEC17	6457.00	6517.52	USD	-4,539.00	
26SEP17	S 1 PD27DEC17	6457.50	6517.52	USD	-1,500.50	
26SEP17	S 2 PD27DEC17	6458.00	6517.52	USD	-2,976.00	
26SEP17	S 1 PD27DEC17	6461.00	6517.52	USD	-1,413.00	
26SEP17	S 4 PD27DEC17	6463.50	6517.52	USD	-5,402.00	
26SEP17	S 1 PD27DEC17	6465.50	6517.52	USD	-1,300.50	
26SEP17	S 3 PD27DEC17	6461.50	6517.52	USD	-4,201.50	
26SEP17	S 3 PD27DEC17	6462.00	6517.52	USD	-4,164.00	
26SEP17	B 1 PD27DEC17	6459.00	6517.52	USD	1,463.00	
26SEP17	B 2 PD27DEC17	6457.00	6517.52	USD	3,026.00	
26SEP17	B 1 PD27DEC17	6456.00	6517.52	USD	1,538.00	
26SEP17	B 2 PD27DEC17	6454.50	6517.52	USD	3,151.00	
26SEP17	B 1 PD27DEC17	6455.00	6517.52	USD	1,563.00	
26SEP17	B 9 PD27DEC17	6455.50	6517.52	USD	13,954.50	
26SEP17	B 1 PD27DEC17	6456.50	6517.52	USD	1,525.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097R

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
26SEP17	B 3 PD27DEC17	6452.50	6517.52	USD	4,876.50	
26SEP17	S 1 PD27DEC17	6440.00	6517.52	USD	-1,938.00	
26SEP17	S 1 PD27DEC17	6436.50	6517.52	USD	-2,025.50	
26SEP17	S 1 PD27DEC17	6438.50	6517.52	USD	-1,975.50	
26SEP17	S 3 PD27DEC17	6439.00	6517.52	USD	-5,889.00	
26SEP17	S 3 PD27DEC17	6437.50	6517.52	USD	-6,001.50	
26SEP17	S 1 PD27DEC17	6442.00	6517.52	USD	-1,888.00	
26SEP17	S 3 PD27DEC17	6442.50	6517.52	USD	-5,626.50	
26SEP17	S 1 PD27DEC17	6443.00	6517.52	USD	-1,863.00	
26SEP17	S 2 PD27DEC17	6441.00	6517.52	USD	-3,826.00	
26SEP17	S 1 PD27DEC17	6430.00	6517.52	USD	-2,188.00	
26SEP17	S 1 PD27DEC17	6433.50	6517.52	USD	-2,100.50	
26SEP17	S 1 PD27DEC17	6432.50	6517.52	USD	-2,125.50	
26SEP17	S 3 PD27DEC17	6433.00	6517.52	USD	-6,339.00	
26SEP17	S 2 PD27DEC17	6438.00	6517.52	USD	-3,976.00	
26SEP17	S 2 PD27DEC17	6434.00	6517.52	USD	-4,176.00	
26SEP17	S 3 PD27DEC17	6439.50	6517.52	USD	-5,851.50	
26SEP17	S 2 PD27DEC17	6437.00	6517.52	USD	-4,026.00	
26SEP17	B 1 PD27DEC17	6440.00	6517.52	USD	1,938.00	
26SEP17	B 1 PD27DEC17	6444.50	6517.52	USD	1,825.50	
26SEP17	S 1 PD27DEC17	6428.50	6517.52	USD	-2,225.50	
26SEP17	S 1 PD27DEC17	6429.00	6517.52	USD	-2,213.00	
26SEP17	S 1 PD27DEC17	6416.00	6517.52	USD	-2,538.00	
26SEP17	S 3 PD27DEC17	6410.00	6517.52	USD	-8,064.00	
26SEP17	S 1 PD27DEC17	6407.00	6517.52	USD	-2,763.00	
26SEP17	S 1 PD27DEC17	6409.00	6517.52	USD	-2,713.00	
26SEP17	S 1 PD27DEC17	6412.00	6517.52	USD	-2,638.00	
26SEP17	S 2 PD27DEC17	6411.50	6517.52	USD	-5,301.00	
26SEP17	S 1 PD27DEC17	6410.50	6517.52	USD	-2,675.50	
26SEP17	S 2 PD27DEC17	6416.50	6517.52	USD	-5,051.00	
26SEP17	S 5 PD27DEC17	6414.50	6517.52	USD	-12,877.50	
26SEP17	S 1 PD27DEC17	6416.00	6517.52	USD	-2,538.00	
26SEP17	S 4 PD27DEC17	6409.50	6517.52	USD	-10,802.00	
26SEP17	S 2 PD27DEC17	6407.50	6517.52	USD	-5,501.00	
26SEP17	S 2 PD27DEC17	6408.50	6517.52	USD	-5,451.00	
26SEP17	B 2 PD27DEC17	6414.50	6517.52	USD	5,151.00	
26SEP17	B 1 PD27DEC17	6413.50	6517.52	USD	2,600.50	
26SEP17	B 2 PD27DEC17	6418.00	6517.52	USD	4,976.00	
26SEP17	B 3 PD27DEC17	6416.00	6517.52	USD	7,614.00	
26SEP17	B 4 PD27DEC17	6417.50	6517.52	USD	10,002.00	
26SEP17	S 5 PD27DEC17	6415.50	6517.52	USD	-12,752.50	
26SEP17	B 5 PD27DEC17	6415.00	6517.52	USD	12,815.00	
26SEP17	B 4 PD27DEC17	6415.50	6517.52	USD	10,202.00	
26SEP17	B 3 PD27DEC17	6416.50	6517.52	USD	7,576.50	
26SEP17	B 6 PD27DEC17	6417.00	6517.52	USD	15,078.00	
26SEP17	B 10 PD27DEC17	6418.50	6517.52	USD	24,755.00	
26SEP17	S 3 PD27DEC17	6411.00	6517.52	USD	-7,989.00	
26SEP17	B 1 PD27DEC17	6411.50	6517.52	USD	2,650.50	
26SEP17	B 3 PD27DEC17	6419.00	6517.52	USD	7,389.00	
26SEP17	B 1 PD27DEC17	6424.50	6517.52	USD	2,325.50	
26SEP17	B 4 PD27DEC17	6424.00	6517.52	USD	9,352.00	
26SEP17	B 2 PD27DEC17	6423.50	6517.52	USD	4,701.00	
26SEP17	B 1 PD27DEC17	6425.50	6517.52	USD	2,300.50	
26SEP17	B 2 PD27DEC17	6426.50	6517.52	USD	4,551.00	
26SEP17	B 4 PD27DEC17	6426.00	6517.52	USD	9,152.00	
26SEP17	B 2 PD27DEC17	6428.00	6517.52	USD	4,476.00	
26SEP17	B 3 PD27DEC17	6427.00	6517.52	USD	6,789.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097R

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
26SEP17	B 1 PD27DEC17	6427.50	6517.52	USD	2,250.50	
26SEP17	B 1 PD27DEC17	6414.50	6517.52	USD	2,575.50	
26SEP17	B 1 PD27DEC17	6413.50	6517.52	USD	2,600.50	
26SEP17	S 2 PD27DEC17	6415.50	6517.52	USD	-5,101.00	
26SEP17	B 1 PD27DEC17	6415.50	6517.52	USD	2,550.50	
26SEP17	B 1 PD27DEC17	6429.50	6517.52	USD	2,200.50	
27SEP17	B 1 PD27DEC17	6469.50	6517.52	USD	1,200.50	
27SEP17	B 1 PD27DEC17	6470.00	6517.52	USD	1,188.00	
27SEP17	B 1 PD27DEC17	6475.00	6517.52	USD	1,063.00	
27SEP17	S 1 PD27DEC17	6462.00	6517.52	USD	-1,388.00	
27SEP17	S 1 PD27DEC17	6467.00	6517.52	USD	-1,263.00	
27SEP17	S 1 PD27DEC17	6464.00	6517.52	USD	-1,338.00	
27SEP17	S 4 PD27DEC17	6463.50	6517.52	USD	-5,402.00	
27SEP17	S 2 PD27DEC17	6462.50	6517.52	USD	-2,751.00	
27SEP17	S 2 PD27DEC17	6461.50	6517.52	USD	-2,801.00	
27SEP17	S 4 PD27DEC17	6463.00	6517.52	USD	-5,452.00	
27SEP17	S 1 PD27DEC17	6459.50	6517.52	USD	-1,450.50	
27SEP17	B 4 PD27DEC17	6453.00	6517.52	USD	6,452.00	
27SEP17	B 1 PD27DEC17	6455.00	6517.52	USD	1,563.00	
27SEP17	B 1 PD27DEC17	6459.50	6517.52	USD	1,450.50	
27SEP17	B 1 PD27DEC17	6456.00	6517.52	USD	1,538.00	
27SEP17	B 1 PD27DEC17	6457.00	6517.52	USD	1,513.00	
27SEP17	B 1 PD27DEC17	6461.50	6517.52	USD	1,400.50	
27SEP17	B 1 PD27DEC17	6463.50	6517.52	USD	1,350.50	
27SEP17	B 1 PD27DEC17	6468.50	6517.52	USD	1,225.50	
27SEP17	B 2 PD27DEC17	6482.50	6517.52	USD	1,751.00	
27SEP17	B 2 PD27DEC17	6484.50	6517.52	USD	1,651.00	
27SEP17	B 1 PD27DEC17	6483.50	6517.52	USD	850.50	
27SEP17	B 3 PD27DEC17	6484.00	6517.52	USD	2,514.00	
27SEP17	B 1 PD27DEC17	6480.50	6517.52	USD	925.50	
27SEP17	B 1 PD27DEC17	6478.00	6517.52	USD	988.00	
27SEP17	B 1 PD27DEC17	6476.00	6517.52	USD	1,038.00	
27SEP17	B 1 PD27DEC17	6476.50	6517.52	USD	1,025.50	
27SEP17	B 8 PD27DEC17	6473.50	6517.52	USD	8,804.00	
27SEP17	B 5 PD27DEC17	6473.00	6517.52	USD	5,565.00	
27SEP17	B 6 PD27DEC17	6474.00	6517.52	USD	6,528.00	
27SEP17	B 2 PD27DEC17	6478.50	6517.52	USD	1,951.00	
27SEP17	B 3 PD27DEC17	6472.50	6517.52	USD	3,376.50	
27SEP17	B 3 PD27DEC17	6468.00	6517.52	USD	3,714.00	
27SEP17	B 1 PD27DEC17	6465.50	6517.52	USD	1,300.50	
27SEP17	B 2 PD27DEC17	6465.00	6517.52	USD	2,626.00	
27SEP17	B 5 PD27DEC17	6464.00	6517.52	USD	6,690.00	
27SEP17	B 3 PD27DEC17	6463.00	6517.52	USD	4,089.00	
27SEP17	B 1 PD27DEC17	6462.00	6517.52	USD	1,388.00	
27SEP17	B 1 PD27DEC17	6459.00	6517.52	USD	1,463.00	
27SEP17	S 2 PD27DEC17	6441.50	6517.52	USD	-3,801.00	
27SEP17	B 1 PD27DEC17	6437.00	6517.52	USD	2,013.00	
27SEP17	B 5 PD27DEC17	6439.50	6517.52	USD	9,752.50	
27SEP17	B 2 PD27DEC17	6437.50	6517.52	USD	4,001.00	
27SEP17	B 1 PD27DEC17	6434.50	6517.52	USD	2,075.50	
27SEP17	B 1 PD27DEC17	6443.00	6517.52	USD	1,863.00	
27SEP17	B 1 PD27DEC17	6442.00	6517.52	USD	1,888.00	
27SEP17	B 2 PD27DEC17	6439.00	6517.52	USD	3,926.00	
27SEP17	B 3 PD27DEC17	6438.50	6517.52	USD	5,926.50	
27SEP17	B 1 PD27DEC17	6440.00	6517.52	USD	1,938.00	
27SEP17	S 1 PD27DEC17	6438.00	6517.52	USD	-1,988.00	
27SEP17	B 5 PD27DEC17	6438.00	6517.52	USD	9,940.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097R

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
27SEP17	B 1 PD27DEC17	6437.00	6517.52	USD	2,013.00	
	NET +35 AVG BGHT 6462.91 SOLD 6451.43				**-18,220.00**	**0.00**
28SEP17	B 1 PD28DEC17	6429.50	6517.88	USD	2,209.50	
28SEP17	S 1 PD28DEC17	6421.00	6517.88	USD	-2,422.00	
28SEP17	S 2 PD28DEC17	6420.00	6517.88	USD	-4,894.00	
28SEP17	S 2 PD28DEC17	6416.50	6517.88	USD	-5,069.00	
28SEP17	S 1 PD28DEC17	6417.00	6517.88	USD	-2,522.00	
28SEP17	S 1 PD28DEC17	6417.50	6517.88	USD	-2,509.50	
28SEP17	S 1 PD28DEC17	6415.00	6517.88	USD	-2,572.00	
28SEP17	S 1 PD28DEC17	6414.00	6517.88	USD	-2,597.00	
28SEP17	S 1 PD28DEC17	6412.50	6517.88	USD	-2,634.50	
28SEP17	S 1 PD28DEC17	6408.50	6517.88	USD	-2,734.50	
28SEP17	S 1 PD28DEC17	6410.00	6517.88	USD	-2,697.00	
28SEP17	S 6 PD28DEC17	6408.00	6517.88	USD	-16,482.00	
28SEP17	S 1 PD28DEC17	6407.50	6517.88	USD	-2,759.50	
28SEP17	S 3 PD28DEC17	6400.00	6517.88	USD	-8,841.00	
28SEP17	S 1 PD28DEC17	6399.00	6517.88	USD	-2,972.00	
28SEP17	S 7 PD28DEC17	6398.50	6517.88	USD	-20,891.50	
28SEP17	S 1 PD28DEC17	6400.50	6517.88	USD	-2,934.50	
28SEP17	S 2 PD28DEC17	6405.50	6517.88	USD	-5,619.00	
28SEP17	S 2 PD28DEC17	6415.50	6517.88	USD	-5,119.00	
28SEP17	S 1 PD28DEC17	6427.50	6517.88	USD	-2,259.50	
28SEP17	S 1 PD28DEC17	6431.50	6517.88	USD	-2,159.50	
28SEP17	S 1 PD28DEC17	6426.50	6517.88	USD	-2,284.50	
28SEP17	B 1 PD28DEC17	6433.00	6517.88	USD	2,122.00	
28SEP17	B 2 PD28DEC17	6438.50	6517.88	USD	3,969.00	
28SEP17	B 1 PD28DEC17	6437.00	6517.88	USD	2,022.00	
28SEP17	B 3 PD28DEC17	6436.50	6517.88	USD	6,103.50	
28SEP17	B 1 PD28DEC17	6435.50	6517.88	USD	2,059.50	
28SEP17	B 2 PD28DEC17	6438.00	6517.88	USD	3,994.00	
28SEP17	B 2 PD28DEC17	6437.50	6517.88	USD	4,019.00	
28SEP17	B 1 PD28DEC17	6434.00	6517.88	USD	2,097.00	
28SEP17	B 8 PD28DEC17	6435.00	6517.88	USD	16,576.00	
28SEP17	B 1 PD28DEC17	6444.50	6517.88	USD	1,834.50	
28SEP17	B 1 PD28DEC17	6445.50	6517.88	USD	1,809.50	
28SEP17	S 5 PD28DEC17	6446.50	6517.88	USD	-8,922.50	
28SEP17	B 1 PD28DEC17	6444.00	6517.88	USD	1,847.00	
28SEP17	B 2 PD28DEC17	6451.50	6517.88	USD	3,319.00	
28SEP17	B 1 PD28DEC17	6458.50	6517.88	USD	1,484.50	
28SEP17	B 2 PD28DEC17	6458.00	6517.88	USD	2,994.00	
28SEP17	B 2 PD28DEC17	6463.00	6517.88	USD	2,744.00	
28SEP17	S 1 PD28DEC17	6473.00	6517.88	USD	-1,122.00	
28SEP17	S 2 PD28DEC17	6469.00	6517.88	USD	-2,444.00	
28SEP17	S 1 PD28DEC17	6482.50	6517.88	USD	-884.50	
28SEP17	S 1 PD28DEC17	6479.00	6517.88	USD	-972.00	
28SEP17	S 1 PD28DEC17	6477.00	6517.88	USD	-1,022.00	
28SEP17	S 3 PD28DEC17	6476.50	6517.88	USD	-3,103.50	
28SEP17	S 1 PD28DEC17	6478.50	6517.88	USD	-984.50	
28SEP17	B 1 PD28DEC17	6474.00	6517.88	USD	1,097.00	
28SEP17	B 1 PD28DEC17	6473.50	6517.88	USD	1,109.50	
28SEP17	B 2 PD28DEC17	6469.50	6517.88	USD	2,419.00	
28SEP17	B 2 PD28DEC17	6455.00	6517.88	USD	3,144.00	
28SEP17	B 4 PD28DEC17	6455.50	6517.88	USD	6,238.00	
28SEP17	B 2 PD28DEC17	6452.00	6517.88	USD	3,294.00	
28SEP17	B 3 PD28DEC17	6452.50	6517.88	USD	4,903.50	
28SEP17	B 1 PD28DEC17	6453.50	6517.88	USD	1,609.50	
28SEP17	B 1 PD28DEC17	6453.00	6517.88	USD	1,622.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097R

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
28SEP17	B 2 PD28DEC17	6454.00	6517.88	USD	3,194.00	
28SEP17	S 1 PD28DEC17	6407.50	6517.88	USD	-2,759.50	
28SEP17	B 1 PD28DEC17	6437.50	6517.88	USD	2,009.50	
28SEP17	B 1 PD28DEC17	6436.00	6517.88	USD	2,047.00	
28SEP17	B 2 PD28DEC17	6467.50	6517.88	USD	2,519.00	
28SEP17	B 6 PD28DEC17	6470.00	6517.88	USD	7,182.00	
28SEP17	B 4 PD28DEC17	6468.00	6517.88	USD	4,988.00	
28SEP17	B 2 PD28DEC17	6468.50	6517.88	USD	2,469.00	
28SEP17	B 3 PD28DEC17	6469.00	6517.88	USD	3,666.00	
28SEP17	B 5 PD28DEC17	6472.00	6517.88	USD	5,735.00	
28SEP17	S 1 PD28DEC17	6474.50	6517.88	USD	-1,084.50	
28SEP17	S 2 PD28DEC17	6475.50	6517.88	USD	-2,119.00	
28SEP17	S 5 PD28DEC17	6475.00	6517.88	USD	-5,360.00	
28SEP17	B 1 PD28DEC17	6501.00	6517.88	USD	422.00	
28SEP17	B 1 PD28DEC17	6504.50	6517.88	USD	334.50	
28SEP17	B 2 PD28DEC17	6517.00	6517.88	USD	44.00	
28SEP17	B 1 PD28DEC17	6513.50	6517.88	USD	109.50	
28SEP17	B 1 PD28DEC17	6512.50	6517.88	USD	134.50	
28SEP17	S 1 PD28DEC17	6511.50	6517.88	USD	-159.50	
28SEP17	S 1 PD28DEC17	6513.50	6517.88	USD	-109.50	
28SEP17	B 2 PD28DEC17	6508.50	6517.88	USD	469.00	
28SEP17	B 1 PD28DEC17	6515.50	6517.88	USD	59.50	
28SEP17	B 2 PD28DEC17	6515.00	6517.88	USD	144.00	
28SEP17	B 2 PD28DEC17	6514.50	6517.88	USD	169.00	
28SEP17	B 2 PD28DEC17	6513.00	6517.88	USD	244.00	
28SEP17	B 1 PD28DEC17	6522.50	6517.88	USD	-115.50	
28SEP17	B 1 PD28DEC17	6527.00	6517.88	USD	-228.00	
28SEP17	B 3 PD28DEC17	6525.00	6517.88	USD	-534.00	
28SEP17	B 2 PD28DEC17	6521.00	6517.88	USD	-156.00	
28SEP17	B 2 PD28DEC17	6519.00	6517.88	USD	-56.00	
28SEP17	B 1 PD28DEC17	6523.00	6517.88	USD	-128.00	
28SEP17	B 1 PD28DEC17	6520.00	6517.88	USD	-53.00	
28SEP17	B 2 PD28DEC17	6518.50	6517.88	USD	-31.00	
28SEP17	S 1 PD28DEC17	6517.50	6517.88	USD	-9.50	
28SEP17	B 2 PD28DEC17	6524.50	6517.88	USD	-331.00	
28SEP17	B 2 PD28DEC17	6572.00	6517.88	USD	-2,706.00	
28SEP17	B 1 PD28DEC17	6568.50	6517.88	USD	-1,265.50	
28SEP17	B 1 PD28DEC17	6568.00	6517.88	USD	-1,253.00	
28SEP17	B 1 PD28DEC17	6569.50	6517.88	USD	-1,290.50	
28SEP17	B 2 PD28DEC17	6571.00	6517.88	USD	-2,656.00	
28SEP17	S 2 PD28DEC17	6565.50	6517.88	USD	2,381.00	
28SEP17	S 1 PD28DEC17	6562.50	6517.88	USD	1,115.50	
28SEP17	S 4 PD28DEC17	6562.00	6517.88	USD	4,412.00	
28SEP17	B 1 PD28DEC17	6554.50	6517.88	USD	-915.50	
28SEP17	B 1 PD28DEC17	6554.00	6517.88	USD	-903.00	
28SEP17	B 1 PD28DEC17	6559.00	6517.88	USD	-1,028.00	
28SEP17	B 1 PD28DEC17	6558.00	6517.88	USD	-1,003.00	
28SEP17	S 1 PD28DEC17	6559.00	6517.88	USD	1,028.00	
28SEP17	S 1 PD28DEC17	6556.50	6517.88	USD	965.50	
28SEP17	S 1 PD28DEC17	6557.50	6517.88	USD	990.50	
28SEP17	S 1 PD28DEC17	6558.00	6517.88	USD	1,003.00	
28SEP17	B 1 PD28DEC17	6521.50	6517.88	USD	-90.50	
28SEP17	S 2 PD28DEC17	6512.00	6517.88	USD	-294.00	
28SEP17	B 1 PD28DEC17	6519.50	6517.88	USD	-40.50	
	NET +40 AVG BGHT 6481.34 SOLD 6455.10				**-14,632.50**	**0.00**
29SEP17	B 1 PD29DEC17	6572.50	6518.23	USD	-1,356.75	
29SEP17	B 2 PD29DEC17	6575.00	6518.23	USD	-2,838.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097R

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
29SEP17	B 4 PD29DEC17	6573.00	6518.23	USD	-5,477.00	
29SEP17	B 1 PD29DEC17	6574.50	6518.23	USD	-1,406.75	
29SEP17	B 1 PD29DEC17	6573.50	6518.23	USD	-1,381.75	
29SEP17	B 3 PD29DEC17	6568.00	6518.23	USD	-3,732.75	
29SEP17	B 2 PD29DEC17	6568.50	6518.23	USD	-2,513.50	
29SEP17	B 1 PD29DEC17	6565.00	6518.23	USD	-1,169.25	
29SEP17	B 2 PD29DEC17	6564.50	6518.23	USD	-2,313.50	
29SEP17	S 1 PD29DEC17	6561.00	6518.23	USD	1,069.25	
29SEP17	B 1 PD29DEC17	6561.50	6518.23	USD	-1,081.75	
29SEP17	B 5 PD29DEC17	6555.00	6518.23	USD	-4,596.25	
29SEP17	B 1 PD29DEC17	6557.50	6518.23	USD	-981.75	
29SEP17	S 2 PD29DEC17	6556.50	6518.23	USD	1,913.50	
29SEP17	B 1 PD29DEC17	6551.00	6518.23	USD	-819.25	
29SEP17	B 3 PD29DEC17	6545.00	6518.23	USD	-2,007.75	
29SEP17	B 5 PD29DEC17	6546.00	6518.23	USD	-3,471.25	
29SEP17	B 7 PD29DEC17	6547.00	6518.23	USD	-5,034.75	
29SEP17	S 1 PD29DEC17	6531.50	6518.23	USD	331.75	
29SEP17	S 1 PD29DEC17	6528.00	6518.23	USD	244.25	
29SEP17	S 1 PD29DEC17	6537.00	6518.23	USD	469.25	
29SEP17	S 3 PD29DEC17	6541.50	6518.23	USD	1,745.25	
29SEP17	S 1 PD29DEC17	6541.00	6518.23	USD	569.25	
29SEP17	S 1 PD29DEC17	6540.50	6518.23	USD	556.75	
29SEP17	B 1 PD29DEC17	6560.50	6518.23	USD	-1,056.75	
29SEP17	B 1 PD29DEC17	6573.00	6518.23	USD	-1,369.25	
29SEP17	B 1 PD29DEC17	6563.50	6518.23	USD	-1,131.75	
29SEP17	S 7 PD29DEC17	6530.50	6518.23	USD	2,147.25	
29SEP17	B 1 PD29DEC17	6533.00	6518.23	USD	-369.25	
29SEP17	S 2 PD29DEC17	6531.00	6518.23	USD	638.50	
29SEP17	S 6 PD29DEC17	6530.00	6518.23	USD	1,765.50	
29SEP17	S 3 PD29DEC17	6532.50	6518.23	USD	1,070.25	
29SEP17	S 1 PD29DEC17	6534.50	6518.23	USD	406.75	
29SEP17	S 1 PD29DEC17	6536.50	6518.23	USD	456.75	
29SEP17	S 7 PD29DEC17	6540.00	6518.23	USD	3,809.75	
29SEP17	S 3 PD29DEC17	6539.50	6518.23	USD	1,595.25	
29SEP17	S 2 PD29DEC17	6539.00	6518.23	USD	1,038.50	
29SEP17	S 4 PD29DEC17	6538.00	6518.23	USD	1,977.00	
29SEP17	S 5 PD29DEC17	6536.00	6518.23	USD	2,221.25	
29SEP17	B 2 PD29DEC17	6533.50	6518.23	USD	-763.50	
29SEP17	B 1 PD29DEC17	6536.00	6518.23	USD	-444.25	
29SEP17	B 2 PD29DEC17	6537.00	6518.23	USD	-938.50	
29SEP17	S 4 PD29DEC17	6528.50	6518.23	USD	1,027.00	
29SEP17	S 5 PD29DEC17	6529.50	6518.23	USD	1,408.75	
29SEP17	B 2 PD29DEC17	6525.00	6518.23	USD	-338.50	
29SEP17	B 2 PD29DEC17	6521.00	6518.23	USD	-138.50	
29SEP17	B 2 PD29DEC17	6520.50	6518.23	USD	-113.50	
29SEP17	B 1 PD29DEC17	6522.00	6518.23	USD	-94.25	
29SEP17	S 1 PD29DEC17	6497.00	6518.23	USD	-530.75	
29SEP17	S 2 PD29DEC17	6490.50	6518.23	USD	-1,386.50	
29SEP17	S 1 PD29DEC17	6489.50	6518.23	USD	-718.25	
29SEP17	S 1 PD29DEC17	6489.00	6518.23	USD	-730.75	
29SEP17	S 1 PD29DEC17	6486.50	6518.23	USD	-793.25	
29SEP17	S 1 PD29DEC17	6492.50	6518.23	USD	-643.25	
29SEP17	S 1 PD29DEC17	6487.50	6518.23	USD	-768.25	
29SEP17	S 1 PD29DEC17	6487.00	6518.23	USD	-780.75	
29SEP17	B 1 PD29DEC17	6485.50	6518.23	USD	818.25	
29SEP17	S 2 PD29DEC17	6496.00	6518.23	USD	-1,111.50	
29SEP17	S 1 PD29DEC17	6498.00	6518.23	USD	-505.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097R

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
29SEP17	S 2 PD29DEC17	6499.00	6518.23	USD	-961.50	
29SEP17	S 1 PD29DEC17	6494.50	6518.23	USD	-593.25	
29SEP17	S 3 PD29DEC17	6493.50	6518.23	USD	-1,854.75	
29SEP17	S 3 PD29DEC17	6497.50	6518.23	USD	-1,554.75	
03OCT17	B 25 PD29DEC17	6518.43	6518.23	USD	-125.00	
	NET 0 AVG BGHT 6540.79 SOLD 6524.83				**-32,718.75**	**0.00**
02OCT17	B 1 PD02JAN18	6523.00	6519.65	USD	-83.75	
02OCT17	B 1 PD02JAN18	6526.00	6519.65	USD	-158.75	
02OCT17	B 1 PD02JAN18	6530.50	6519.65	USD	-271.25	
02OCT17	B 1 PD02JAN18	6525.00	6519.65	USD	-133.75	
02OCT17	B 3 PD02JAN18	6513.00	6519.65	USD	498.75	
02OCT17	B 2 PD02JAN18	6516.00	6519.65	USD	182.50	
02OCT17	B 1 PD02JAN18	6514.50	6519.65	USD	128.75	
02OCT17	B 1 PD02JAN18	6497.50	6519.65	USD	553.75	
02OCT17	B 2 PD02JAN18	6498.00	6519.65	USD	1,082.50	
02OCT17	B 1 PD02JAN18	6495.50	6519.65	USD	603.75	
02OCT17	B 1 PD02JAN18	6499.50	6519.65	USD	503.75	
02OCT17	B 3 PD02JAN18	6500.50	6519.65	USD	1,436.25	
02OCT17	S 1 PD02JAN18	6502.00	6519.65	USD	-441.25	
02OCT17	S 1 PD02JAN18	6503.50	6519.65	USD	-403.75	
02OCT17	S 2 PD02JAN18	6501.50	6519.65	USD	-907.50	
02OCT17	S 2 PD02JAN18	6505.50	6519.65	USD	-707.50	
02OCT17	S 1 PD02JAN18	6506.50	6519.65	USD	-328.75	
02OCT17	S 1 PD02JAN18	6506.00	6519.65	USD	-341.25	
02OCT17	B 1 PD02JAN18	6505.50	6519.65	USD	353.75	
02OCT17	B 1 PD02JAN18	6504.50	6519.65	USD	378.75	
02OCT17	B 4 PD02JAN18	6501.50	6519.65	USD	1,815.00	
02OCT17	B 3 PD02JAN18	6503.50	6519.65	USD	1,211.25	
02OCT17	B 2 PD02JAN18	6501.00	6519.65	USD	932.50	
02OCT17	B 1 PD02JAN18	6502.50	6519.65	USD	428.75	
02OCT17	B 2 PD02JAN18	6502.00	6519.65	USD	882.50	
02OCT17	S 1 PD02JAN18	6479.00	6519.65	USD	-1,016.25	
02OCT17	S 3 PD02JAN18	6483.50	6519.65	USD	-2,711.25	
02OCT17	S 3 PD02JAN18	6483.00	6519.65	USD	-2,748.75	
02OCT17	S 3 PD02JAN18	6482.00	6519.65	USD	-2,823.75	
02OCT17	S 4 PD02JAN18	6481.00	6519.65	USD	-3,865.00	
02OCT17	S 1 PD02JAN18	6482.50	6519.65	USD	-928.75	
02OCT17	S 1 PD02JAN18	6484.00	6519.65	USD	-891.25	
02OCT17	S 1 PD02JAN18	6484.50	6519.65	USD	-878.75	
02OCT17	S 1 PD02JAN18	6486.50	6519.65	USD	-828.75	
02OCT17	S 2 PD02JAN18	6488.00	6519.65	USD	-1,582.50	
02OCT17	S 4 PD02JAN18	6486.00	6519.65	USD	-3,365.00	
02OCT17	S 1 PD02JAN18	6492.50	6519.65	USD	-678.75	
02OCT17	B 4 PD02JAN18	6492.00	6519.65	USD	2,765.00	
02OCT17	S 1 PD02JAN18	6491.00	6519.65	USD	-716.25	
02OCT17	B 2 PD02JAN18	6490.50	6519.65	USD	1,457.50	
02OCT17	B 2 PD02JAN18	6486.50	6519.65	USD	1,657.50	
02OCT17	B 6 PD02JAN18	6487.00	6519.65	USD	4,897.50	
02OCT17	B 1 PD02JAN18	6488.50	6519.65	USD	778.75	
02OCT17	B 5 PD02JAN18	6485.00	6519.65	USD	4,331.25	
02OCT17	B 2 PD02JAN18	6483.00	6519.65	USD	1,832.50	
02OCT17	B 4 PD02JAN18	6486.00	6519.65	USD	3,365.00	
02OCT17	B 8 PD02JAN18	6485.50	6519.65	USD	6,830.00	
02OCT17	B 1 PD02JAN18	6481.50	6519.65	USD	953.75	
02OCT17	B 2 PD02JAN18	6481.00	6519.65	USD	1,932.50	
02OCT17	S 5 PD02JAN18	6465.00	6519.65	USD	-6,831.25	
02OCT17	S 1 PD02JAN18	6464.00	6519.65	USD	-1,391.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097R

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT							
02OCT17	S	2	PD02JAN18	6468.50	6519.65	USD	-2,557.50	
02OCT17	S	2	PD02JAN18	6471.50	6519.65	USD	-2,407.50	
02OCT17	S	1	PD02JAN18	6473.50	6519.65	USD	-1,153.75	
02OCT17	S	8	PD02JAN18	6474.00	6519.65	USD	-9,130.00	
02OCT17	S	1	PD02JAN18	6476.50	6519.65	USD	-1,078.75	
02OCT17	S	6	PD02JAN18	6474.50	6519.65	USD	-6,772.50	
02OCT17	S	2	PD02JAN18	6476.00	6519.65	USD	-2,182.50	
02OCT17	B	2	PD02JAN18	6477.00	6519.65	USD	2,132.50	
02OCT17	B	5	PD02JAN18	6478.50	6519.65	USD	5,143.75	
02OCT17	B	2	PD02JAN18	6478.00	6519.65	USD	2,082.50	
02OCT17	B	2	PD02JAN18	6482.00	6519.65	USD	1,882.50	
02OCT17	B	5	PD02JAN18	6483.50	6519.65	USD	4,518.75	
02OCT17	B	8	PD02JAN18	6484.50	6519.65	USD	7,030.00	
02OCT17	B	2	PD02JAN18	6480.00	6519.65	USD	1,982.50	
02OCT17	B	2	PD02JAN18	6480.50	6519.65	USD	1,957.50	
02OCT17	B	1	PD02JAN18	6473.00	6519.65	USD	1,166.25	
02OCT17	B	3	PD02JAN18	6475.00	6519.65	USD	3,348.75	
02OCT17	B	3	PD02JAN18	6476.00	6519.65	USD	3,273.75	
02OCT17	B	2	PD02JAN18	6473.50	6519.65	USD	2,307.50	
02OCT17	B	1	PD02JAN18	6469.50	6519.65	USD	1,253.75	
02OCT17	B	2	PD02JAN18	6472.00	6519.65	USD	2,382.50	
02OCT17	S	1	PD02JAN18	6489.00	6519.65	USD	-766.25	
02OCT17	S	6	PD02JAN18	6490.00	6519.65	USD	-4,447.50	
02OCT17	S	1	PD02JAN18	6491.50	6519.65	USD	-703.75	
02OCT17	S	2	PD02JAN18	6493.50	6519.65	USD	-1,307.50	
02OCT17	S	3	PD02JAN18	6500.50	6519.65	USD	-1,436.25	
02OCT17	S	3	PD02JAN18	6496.00	6519.65	USD	-1,773.75	
02OCT17	S	3	PD02JAN18	6498.50	6519.65	USD	-1,586.25	
02OCT17	S	7	PD02JAN18	6499.00	6519.65	USD	-3,613.75	
02OCT17	S	1	PD02JAN18	6499.50	6519.65	USD	-503.75	
02OCT17	S	1	PD02JAN18	6501.00	6519.65	USD	-466.25	
02OCT17	S	5	PD02JAN18	6500.00	6519.65	USD	-2,456.25	
02OCT17	S	15	PD02JAN18	6498.00	6519.65	USD	-8,118.75	
02OCT17	S	1	PD02JAN18	6495.00	6519.65	USD	-616.25	
02OCT17	S	1	PD02JAN18	6494.00	6519.65	USD	-641.25	
02OCT17	S	2	PD02JAN18	6496.50	6519.65	USD	-1,157.50	
02OCT17	S	1	PD02JAN18	6495.50	6519.65	USD	-603.75	
02OCT17	B	7	PD02JAN18	6500.00	6519.65	USD	3,438.75	
02OCT17	B	1	PD02JAN18	6499.00	6519.65	USD	516.25	
02OCT17	S	4	PD02JAN18	6504.50	6519.65	USD	-1,515.00	
02OCT17	S	3	PD02JAN18	6504.00	6519.65	USD	-1,173.75	
02OCT17	S	3	PD02JAN18	6489.00	6519.65	USD	-2,298.75	
02OCT17	S	1	PD02JAN18	6500.50	6519.65	USD	-478.75	
02OCT17	S	1	PD02JAN18	6474.50	6519.65	USD	-1,128.75	
03OCT17	B	10	PD02JAN18	6519.85	6519.65	USD	-50.00	
	NET	**0**	**AVG BGHT 6492.72** **SOLD 6489.27**				**-10,950.00**	**0.00**
03OCT17	S	2	PD03JAN18	6468.00	6520.00	USD	-2,600.00	
03OCT17	S	1	PD03JAN18	6481.50	6520.00	USD	-962.50	
03OCT17	S	1	PD03JAN18	6482.00	6520.00	USD	-950.00	
03OCT17	S	1	PD03JAN18	6482.50	6520.00	USD	-937.50	
03OCT17	B	1	PD03JAN18	6489.50	6520.00	USD	762.50	
03OCT17	B	1	PD03JAN18	6491.50	6520.00	USD	712.50	
03OCT17	B	1	PD03JAN18	6497.00	6520.00	USD	575.00	
03OCT17	S	1	PD03JAN18	6505.50	6520.00	USD	-362.50	
03OCT17	S	1	PD03JAN18	6502.50	6520.00	USD	-437.50	
03OCT17	S	1	PD03JAN18	6508.50	6520.00	USD	-287.50	
03OCT17	B	1	PD03JAN18	6507.50	6520.00	USD	312.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097R

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
03OCT17	B 4 PD03JAN18	6509.00	6520.00	USD	1,100.00	
03OCT17	B 4 PD03JAN18	6508.50	6520.00	USD	1,150.00	
03OCT17	B 1 PD03JAN18	6511.00	6520.00	USD	225.00	
03OCT17	B 1 PD03JAN18	6512.50	6520.00	USD	187.50	
03OCT17	B 2 PD03JAN18	6511.50	6520.00	USD	425.00	
03OCT17	B 3 PD03JAN18	6503.50	6520.00	USD	1,237.50	
03OCT17	B 2 PD03JAN18	6501.00	6520.00	USD	950.00	
03OCT17	B 5 PD03JAN18	6502.50	6520.00	USD	2,187.50	
03OCT17	B 1 PD03JAN18	6495.00	6520.00	USD	625.00	
03OCT17	B 4 PD03JAN18	6496.00	6520.00	USD	2,400.00	
03OCT17	B 1 PD03JAN18	6496.50	6520.00	USD	587.50	
03OCT17	B 1 PD03JAN18	6491.00	6520.00	USD	725.00	
03OCT17	B 4 PD03JAN18	6492.50	6520.00	USD	2,750.00	
03OCT17	B 1 PD03JAN18	6499.00	6520.00	USD	525.00	
03OCT17	B 2 PD03JAN18	6497.50	6520.00	USD	1,125.00	
03OCT17	B 3 PD03JAN18	6512.00	6520.00	USD	600.00	
03OCT17	B 3 PD03JAN18	6508.00	6520.00	USD	900.00	
03OCT17	B 2 PD03JAN18	6531.50	6520.00	USD	-575.00	
03OCT17	B 3 PD03JAN18	6528.50	6520.00	USD	-637.50	
03OCT17	B 3 PD03JAN18	6529.00	6520.00	USD	-675.00	
03OCT17	B 1 PD03JAN18	6530.00	6520.00	USD	-250.00	
03OCT17	B 1 PD03JAN18	6529.50	6520.00	USD	-237.50	
03OCT17	S 1 PD03JAN18	6531.00	6520.00	USD	275.00	
03OCT17	S 1 PD03JAN18	6532.00	6520.00	USD	300.00	
03OCT17	S 1 PD03JAN18	6531.50	6520.00	USD	287.50	
03OCT17	S 3 PD03JAN18	6526.00	6520.00	USD	450.00	
03OCT17	S 2 PD03JAN18	6524.50	6520.00	USD	225.00	
03OCT17	S 1 PD03JAN18	6516.00	6520.00	USD	-100.00	
03OCT17	S 1 PD03JAN18	6513.50	6520.00	USD	-162.50	
03OCT17	B 1 PD03JAN18	6524.50	6520.00	USD	-112.50	
03OCT17	B 2 PD03JAN18	6521.50	6520.00	USD	-75.00	
03OCT17	B 5 PD03JAN18	6520.50	6520.00	USD	-62.50	
03OCT17	B 3 PD03JAN18	6520.00	6520.00	USD	0.00	
03OCT17	B 3 PD03JAN18	6516.50	6520.00	USD	262.50	
03OCT17	B 3 PD03JAN18	6517.50	6520.00	USD	187.50	
03OCT17	B 1 PD03JAN18	6514.00	6520.00	USD	150.00	
03OCT17	B 2 PD03JAN18	6522.00	6520.00	USD	-100.00	
03OCT17	B 7 PD03JAN18	6518.00	6520.00	USD	350.00	
03OCT17	S 6 PD03JAN18	6525.00	6520.00	USD	750.00	
03OCT17	B 1 PD03JAN18	6490.00	6520.00	USD	750.00	
03OCT17	B 1 PD03JAN18	6499.00	6520.00	USD	525.00	
03OCT17	B 2 PD03JAN18	6515.50	6520.00	USD	225.00	
03OCT17	S 2 PD03JAN18	6522.50	6520.00	USD	125.00	
03OCT17	S 1 PD03JAN18	6520.50	6520.00	USD	12.50	
03OCT17	S 3 PD03JAN18	6519.50	6520.00	USD	-37.50	
03OCT17	S 7 PD03JAN18	6520.00	6520.00	USD	0.00	
03OCT17	S 1 PD03JAN18	6521.00	6520.00	USD	25.00	
03OCT17	S 7 PD03JAN18	6525.50	6520.00	USD	962.50	
03OCT17	S 1 PD03JAN18	6527.50	6520.00	USD	187.50	
03OCT17	S 4 PD03JAN18	6526.50	6520.00	USD	650.00	
03OCT17	S 4 PD03JAN18	6527.00	6520.00	USD	700.00	
03OCT17	S 1 PD03JAN18	6524.00	6520.00	USD	100.00	
03OCT17	B 1 PD03JAN18	6525.00	6520.00	USD	-125.00	
03OCT17	B 3 PD03JAN18	6518.50	6520.00	USD	112.50	
03OCT17	B 2 PD03JAN18	6526.00	6520.00	USD	-300.00	
03OCT17	B 2 PD03JAN18	6524.00	6520.00	USD	-200.00	
03OCT17	B 2 PD03JAN18	6523.50	6520.00	USD	-175.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097R

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
03OCT17	S 2 PD03JAN18	6516.50	6520.00	USD	-175.00	
03OCT17	S 5 PD03JAN18	6515.00	6520.00	USD	-625.00	
03OCT17	S 1 PD03JAN18	6513.00	6520.00	USD	-175.00	
03OCT17	S 2 PD03JAN18	6514.00	6520.00	USD	-300.00	
03OCT17	S 2 PD03JAN18	6520.00	6520.00	USD	0.00	
	NET +30 AVG BGHT 6512.12 SOLD 6518.17				**16,037.50**	**0.00**
	CMX COPPER 25,000 CMX					
26SEP17	S 4 DEC17	292.000	296.350	USD	-4,350.00	
26SEP17	S 2 DEC17	291.950	296.350	USD	-2,200.00	
26SEP17	S 2 DEC17	292.100	296.350	USD	-2,125.00	
26SEP17	S 2 DEC17	292.150	296.350	USD	-2,100.00	
26SEP17	S 12 DEC17	292.050	296.350	USD	-12,900.00	
27SEP17	S 3 DEC17	293.850	296.350	USD	-1,875.00	
27SEP17	S 2 DEC17	294.300	296.350	USD	-1,025.00	
27SEP17	S 3 DEC17	294.700	296.350	USD	-1,237.50	
27SEP17	S 6 DEC17	294.650	296.350	USD	-2,550.00	
27SEP17	S 8 DEC17	294.600	296.350	USD	-3,500.00	
27SEP17	S 2 DEC17	294.500	296.350	USD	-925.00	
27SEP17	S 5 DEC17	294.150	296.350	USD	-2,750.00	
27SEP17	S 29 DEC17	294.200	296.350	USD	-15,587.50	
27SEP17	S 6 DEC17	294.350	296.350	USD	-3,000.00	
27SEP17	S 7 DEC17	294.250	296.350	USD	-3,675.00	
27SEP17	S 6 DEC17	294.000	296.350	USD	-3,525.00	
27SEP17	S 5 DEC17	293.750	296.350	USD	-3,250.00	
27SEP17	S 8 DEC17	293.800	296.350	USD	-5,100.00	
27SEP17	S 9 DEC17	293.700	296.350	USD	-5,962.50	
27SEP17	S 2 DEC17	293.550	296.350	USD	-1,400.00	
27SEP17	S 3 DEC17	293.600	296.350	USD	-2,062.50	
27SEP17	S 4 DEC17	292.450	296.350	USD	-3,900.00	
27SEP17	S 2 DEC17	292.700	296.350	USD	-1,825.00	
27SEP17	S 4 DEC17	292.500	296.350	USD	-3,850.00	
27SEP17	S 9 DEC17	292.600	296.350	USD	-8,437.50	
27SEP17	S 4 DEC17	292.800	296.350	USD	-3,550.00	
27SEP17	S 27 DEC17	292.650	296.350	USD	-24,975.00	
28SEP17	S 3 DEC17	296.000	296.350	USD	-262.50	
28SEP17	S 2 DEC17	295.900	296.350	USD	-225.00	
28SEP17	S 2 DEC17	295.950	296.350	USD	-200.00	
28SEP17	S 4 DEC17	296.200	296.350	USD	-150.00	
28SEP17	S 8 DEC17	296.050	296.350	USD	-600.00	
28SEP17	S 1 DEC17	296.500	296.350	USD	37.50	
28SEP17	S 4 DEC17	296.450	296.350	USD	100.00	
28SEP17	S 2 DEC17	296.700	296.350	USD	175.00	
28SEP17	S 2 DEC17	296.750	296.350	USD	200.00	
28SEP17	S 3 DEC17	296.600	296.350	USD	187.50	
28SEP17	S 6 DEC17	296.400	296.350	USD	75.00	
28SEP17	S 2 DEC17	296.300	296.350	USD	-25.00	
28SEP17	S 5 DEC17	296.350	296.350	USD	0.00	
28SEP17	S 2 DEC17	296.650	296.350	USD	150.00	
28SEP17	S 11 DEC17	296.150	296.350	USD	-550.00	
28SEP17	S 4 DEC17	296.550	296.350	USD	200.00	
28SEP17	S 5 DEC17	298.900	296.350	USD	3,187.50	
28SEP17	S 2 DEC17	298.600	296.350	USD	1,125.00	
28SEP17	S 2 DEC17	298.750	296.350	USD	1,200.00	
28SEP17	S 4 DEC17	298.700	296.350	USD	2,350.00	
28SEP17	S 2 DEC17	298.850	296.350	USD	1,250.00	
28SEP17	S 4 DEC17	298.800	296.350	USD	2,450.00	
28SEP17	S 4 DEC17	298.050	296.350	USD	1,700.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097R

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	CMX COPPER 25,000 CMX					
28SEP17	S 4 DEC17	298.150	296.350	USD	1,800.00	
03OCT17	S 2 DEC17	296.050	296.350	USD	-150.00	
03OCT17	S 5 DEC17	296.400	296.350	USD	62.50	
03OCT17	S 2 DEC17	296.500	296.350	USD	75.00	
03OCT17	S 7 DEC17	296.200	296.350	USD	-262.50	
03OCT17	S 15 DEC17	296.250	296.350	USD	-375.00	
03OCT17	S 22 DEC17	296.300	296.350	USD	-275.00	
03OCT17	S 2 DEC17	296.650	296.350	USD	150.00	
03OCT17	S 4 DEC17	296.600	296.350	USD	250.00	
03OCT17	S 7 DEC17	296.550	296.350	USD	350.00	
	NET -330 AVG SOLD 294.973				**-113,637.50**	**0.00**

OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	-25,444,450.75
CMX Copper	FUTURES	24,335,237.50

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options			Amount	Profit / Loss	**Total Amount**	**Total P&L**
COPR	- Futures	USD	-113,637.50	-113,637.50	**-113,637.50**	**-113,637.50**
Unrealised Profits & Losses - Forwards			Amount	Profit / Loss	**Total Amount**	**Total P&L**
COPL	- Futures	USD	-1,002,097.00	-1,002,097.00	**-1,002,097.00**	**-1,002,097.00**

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		1,149,078.28	1,149,078.28
Variation Margins	- Futures	-113,637.50	-113,637.50
	- Options	0.00	0.00
TOTAL EQUITY		**1,035,440.78**	**1,035,440.78**
Initial Margins		-3,915,118.00	-3,915,118.00
Excess/(Shortage)		**-2,879,677.22**	**-2,879,677.22**
FOR INFORMATION ONLY			
Forward Unrealised P&L		-1,002,097.00	-1,002,097.00
Net Liquidating Value		**33,343.78**	**33,343.78**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED
SAP0097 ARBS
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPAB097**

3rd Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

GROUP FINANCIAL SUMMARY

		USD (1.00000)	NET USD (1.00000)
CASH BALANCE		6,360,489.09	6,360,489.09
Variation Margins	- Futures	-254,885.00	-254,885.00
	- Options	0.00	0.00
TOTAL EQUITY		**6,105,604.09**	**6,105,604.09**
Initial Margins		-7,112,161.00	-7,112,161.00
Excess/(Shortage)		**-1,006,556.91**	**-1,006,556.91**
FOR INFORMATION ONLY			
Forward Unrealised P&L		-2,078,993.00	-2,078,993.00
Net Liquidating Value		**4,026,611.09**	**4,026,611.09**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0101A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT							
05JUL17	B	5	PD05OCT17	5843.00	6468.75	USD	78,218.75	
12JUL17	S	5	PD05OCT17	5914.45	6468.75	USD	-69,287.50	
	NET	**0**	**AVG BGHT 5843.00 SOLD 5914.45**				**8,931.25**	**0.00**
11AUG17	B	12	PD10OCT17	6400.91	6475.10	USD	22,257.00	
	NET	**+12**	**AVG BGHT 6400.91**				**22,257.00**	**0.00**
11JUL17	S	3	PD11OCT17	5875.00	6476.37	USD	-45,102.75	
11JUL17	S	2	PD11OCT17	5876.00	6476.37	USD	-30,018.50	
07AUG17	B	5	PD11OCT17	6406.43	6476.37	USD	8,742.50	
	NET	**0**	**AVG BGHT 6406.43 SOLD 5875.40**				**-66,378.75**	**0.00**
13JUL17	S	5	PD13OCT17	5864.00	6478.90	USD	-76,862.50	
13JUL17	S	10	PD13OCT17	5866.00	6478.90	USD	-153,225.00	
13JUL17	S	5	PD13OCT17	5863.00	6478.90	USD	-76,987.50	
13JUL17	S	15	PD13OCT17	5865.00	6478.90	USD	-230,212.50	
14JUL17	S	3	PD13OCT17	5877.00	6478.90	USD	-45,142.50	
14JUL17	S	3	PD13OCT17	5880.00	6478.90	USD	-44,917.50	
14JUL17	S	4	PD13OCT17	5882.00	6478.90	USD	-59,690.00	
14JUL17	S	3	PD13OCT17	5883.00	6478.90	USD	-44,692.50	
14JUL17	S	3	PD13OCT17	5885.00	6478.90	USD	-44,542.50	
14JUL17	S	2	PD13OCT17	5887.00	6478.90	USD	-29,595.00	
14JUL17	S	3	PD13OCT17	5879.00	6478.90	USD	-44,992.50	
14JUL17	S	2	PD13OCT17	5881.00	6478.90	USD	-29,895.00	
14JUL17	S	4	PD13OCT17	5874.00	6478.90	USD	-60,490.00	
14JUL17	S	3	PD13OCT17	5875.00	6478.90	USD	-45,292.50	
14JUL17	S	5	PD13OCT17	5907.00	6478.90	USD	-71,487.50	
14JUL17	S	5	PD13OCT17	5888.50	6478.90	USD	-73,800.00	
14JUL17	S	5	PD13OCT17	5892.00	6478.90	USD	-73,362.50	
14JUL17	S	10	PD13OCT17	5888.00	6478.90	USD	-147,725.00	
14JUL17	S	5	PD13OCT17	5906.50	6478.90	USD	-71,550.00	
14JUL17	S	5	PD13OCT17	5884.50	6478.90	USD	-74,300.00	
14JUL17	S	5	PD13OCT17	5928.00	6478.90	USD	-68,862.50	
07AUG17	B	50	PD13OCT17	6407.70	6478.90	USD	89,000.00	
08AUG17	B	7	PD13OCT17	6473.45	6478.90	USD	953.75	
09AUG17	B	48	PD13OCT17	6447.70	6478.90	USD	37,440.00	
	NET	**0**	**AVG BGHT 6430.37 SOLD 5881.71**				**-1,440,231.25**	**0.00**
17JUL17	S	5	PD17OCT17	5988.00	6483.98	USD	-61,997.50	
17JUL17	S	5	PD17OCT17	5985.00	6483.98	USD	-62,372.50	
17JUL17	S	5	PD17OCT17	5989.00	6483.98	USD	-61,872.50	
17JUL17	S	5	PD17OCT17	5987.00	6483.98	USD	-62,122.50	
07AUG17	B	20	PD17OCT17	6408.70	6483.98	USD	37,640.00	
	NET	**0**	**AVG BGHT 6408.70 SOLD 5987.25**				**-210,725.00**	**0.00**
25JUL17	S	5	PD25OCT17	6153.00	6490.29	USD	-42,161.25	
07AUG17	B	5	PD25OCT17	6408.84	6490.29	USD	10,181.25	
	NET	**0**	**AVG BGHT 6408.84 SOLD 6153.00**				**-31,980.00**	**0.00**
26SEP17	B	20	PD30OCT17	6382.06	6492.96	USD	55,450.00	
	NET	**+20**	**AVG BGHT 6382.06**				**55,450.00**	**0.00**
04AUG17	B	5	PD03NOV17	6323.00	6495.28	USD	21,535.00	
04AUG17	S	3	PD03NOV17	6356.00	6495.28	USD	-10,446.00	
04AUG17	S	2	PD03NOV17	6356.50	6495.28	USD	-6,939.00	
	NET	**0**	**AVG BGHT 6323.00 SOLD 6356.20**				**4,150.00**	**0.00**
15AUG17	B	3	PD15NOV17	6361.50	6501.50	USD	10,500.00	
15AUG17	B	5	PD15NOV17	6366.00	6501.50	USD	16,937.50	
15AUG17	B	2	PD15NOV17	6360.50	6501.50	USD	7,050.00	
	NET	**+10**	**AVG BGHT 6363.55**				**34,487.50**	**0.00**
16AUG17	S	5	PD16NOV17	6444.00	6501.00	USD	-7,125.00	
16AUG17	S	5	PD16NOV17	6444.50	6501.00	USD	-7,062.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0101A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
23AUG17	B 10 PD16NOV17	6563.70	6501.00	USD	-15,675.00	
	NET 0 AVG BGHT 6563.70 SOLD 6444.25				**-29,862.50**	**0.00**
17AUG17	B 50 PD17NOV17	6490.20	6501.60	USD	14,250.00	
18AUG17	B 50 PD17NOV17	6486.20	6501.60	USD	19,250.00	
	NET +100 AVG BGHT 6488.20				**33,500.00**	**0.00**
22AUG17	B 100 PD22NOV17	6580.20	6504.60	USD	-189,000.00	
	NET +100 AVG BGHT 6580.20				**-189,000.00**	**0.00**
23AUG17	B 90 PD24NOV17	6565.20	6505.80	USD	-133,650.00	
24AUG17	S 5 PD24NOV17	6701.00	6505.80	USD	24,400.00	
24AUG17	B 5 PD24NOV17	6690.00	6505.80	USD	-23,025.00	
24AUG17	B 11 PD24NOV17	6608.15	6505.80	USD	-28,146.25	
24AUG17	B 20 PD24NOV17	6615.65	6505.80	USD	-54,925.00	
25AUG17	S 5 PD24NOV17	6727.00	6505.80	USD	27,650.00	
25AUG17	B 5 PD24NOV17	6717.00	6505.80	USD	-26,400.00	
25AUG17	B 5 PD24NOV17	6684.00	6505.80	USD	-22,275.00	
25AUG17	S 5 PD24NOV17	6716.00	6505.80	USD	26,275.00	
25AUG17	B 5 PD24NOV17	6675.00	6505.80	USD	-21,150.00	
25AUG17	B 2 PD24NOV17	6664.50	6505.80	USD	-7,935.00	
25AUG17	B 5 PD24NOV17	6667.50	6505.80	USD	-20,212.50	
25AUG17	B 5 PD24NOV17	6670.50	6505.80	USD	-20,587.50	
25AUG17	B 5 PD24NOV17	6673.00	6505.80	USD	-20,900.00	
	NET +143 AVG BGHT 6601.80 SOLD 6714.67				**-300,881.25**	**0.00**
29AUG17	S 5 PD29NOV17	6798.50	6508.80	USD	36,212.50	
29AUG17	B 5 PD29NOV17	6786.00	6508.80	USD	-34,650.00	
	NET 0 AVG BGHT 6786.00 SOLD 6798.50				**1,562.50**	**0.00**
30AUG17	B 5 PD30NOV17	6775.00	6509.40	USD	-33,200.00	
30AUG17	B 10 PD30NOV17	6780.00	6509.40	USD	-67,650.00	
30AUG17	B 5 PD30NOV17	6783.00	6509.40	USD	-34,200.00	
30AUG17	B 3 PD30NOV17	6784.00	6509.40	USD	-20,595.00	
30AUG17	B 3 PD30NOV17	6784.50	6509.40	USD	-20,632.50	
30AUG17	B 5 PD30NOV17	6786.00	6509.40	USD	-34,575.00	
30AUG17	B 5 PD30NOV17	6782.00	6509.40	USD	-34,075.00	
31AUG17	S 5 PD30NOV17	6827.00	6509.40	USD	39,700.00	
31AUG17	S 5 PD30NOV17	6841.50	6509.40	USD	41,512.50	
31AUG17	B 5 PD30NOV17	6825.00	6509.40	USD	-39,450.00	
31AUG17	B 5 PD30NOV17	6808.00	6509.40	USD	-37,325.00	
31AUG17	B 5 PD30NOV17	6785.50	6509.40	USD	-34,512.50	
31AUG17	S 5 PD30NOV17	6795.00	6509.40	USD	35,700.00	
	NET +36 AVG BGHT 6788.78 SOLD 6821.17				**-239,302.50**	**0.00**
01SEP17	S 5 PD01DEC17	6820.00	6510.00	USD	38,750.00	
01SEP17	B 5 PD01DEC17	6803.00	6510.00	USD	-36,625.00	
01SEP17	S 5 PD01DEC17	6827.00	6510.00	USD	39,625.00	
15SEP17	B 5 PD01DEC17	6501.41	6510.00	USD	1,073.75	
	NET 0 AVG BGHT 6652.21 SOLD 6823.50				**42,823.75**	**0.00**
05SEP17	B 5 PD05DEC17	6922.50	6511.71	USD	-51,348.75	
	NET +5 AVG BGHT 6922.50				**-51,348.75**	**0.00**
08SEP17	B 5 PD08DEC17	6810.00	6513.00	USD	-37,125.00	
08SEP17	B 5 PD08DEC17	6746.00	6513.00	USD	-29,125.00	
	NET +10 AVG BGHT 6778.00				**-66,250.00**	**0.00**
11SEP17	S 5 PD11DEC17	6750.50	6514.19	USD	29,538.75	
15SEP17	B 5 PD11DEC17	6505.54	6514.19	USD	1,081.25	
	NET 0 AVG BGHT 6505.54 SOLD 6750.50				**30,620.00**	**0.00**
13SEP17	B 1 PD13DEC17	6597.50	6514.98	USD	-2,063.00	
13SEP17	B 4 PD13DEC17	6598.00	6514.98	USD	-8,302.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0101A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
	NET +5 AVG BGHT 6597.90				**-10,365.00**	**0.00**
14SEP17	S 5 PD14DEC17	6486.50	6515.38	USD	-3,610.00	
14SEP17	S 5 PD14DEC17	6494.00	6515.38	USD	-2,672.50	
14SEP17	B 25 PD14DEC17	6498.20	6515.38	USD	10,737.50	
	NET +15 AVG BGHT 6498.20 SOLD 6490.25				**4,455.00**	**0.00**
15SEP17	B 25 PD15DEC17	6507.20	6515.77	USD	5,356.25	
	NET +25 AVG BGHT 6507.20				**5,356.25**	**0.00**
19SEP17	B 18 PD19DEC17	6539.20	6517.35	USD	-9,832.50	
	NET +18 AVG BGHT 6539.20				**-9,832.50**	**0.00**
20SEP17	B 5 PD20DEC17	6520.25	6517.75	USD	-312.50	
20SEP17	B 9 PD20DEC17	6562.65	6517.75	USD	-10,102.50	
20SEP17	B 14 PD20DEC17	6526.20	6517.75	USD	-2,957.50	
	NET +28 AVG BGHT 6536.85				**-13,372.50**	**0.00**
21SEP17	B 54 PD21DEC17	6480.20	6516.75	USD	49,342.50	
	NET +54 AVG BGHT 6480.20				**49,342.50**	**0.00**
22SEP17	B 55 PD22DEC17	6457.20	6515.75	USD	80,506.25	
	NET +55 AVG BGHT 6457.20				**80,506.25**	**0.00**
25SEP17	B 55 PD27DEC17	6450.20	6517.52	USD	92,565.00	
26SEP17	B 15 PD27DEC17	6486.70	6517.52	USD	11,557.50	
26SEP17	B 20 PD27DEC17	6413.20	6517.52	USD	52,160.00	
27SEP17	B 15 PD27DEC17	6449.15	6517.52	USD	25,638.75	
27SEP17	B 15 PD27DEC17	6447.15	6517.52	USD	26,388.75	
27SEP17	B 15 PD27DEC17	6448.15	6517.52	USD	26,013.75	
27SEP17	B 15 PD27DEC17	6449.90	6517.52	USD	25,357.50	
27SEP17	B 20 PD27DEC17	6437.20	6517.52	USD	40,160.00	
	NET +170 AVG BGHT 6446.97				**299,841.25**	**0.00**
	CMX COPPER 25,000 CMX					
27JUL17	S 1 DEC17	289.300	296.350	USD	-1,762.50	
27JUL17	S 1 DEC17	289.000	296.350	USD	-1,837.50	
27JUL17	S 10 DEC17	289.050	296.350	USD	-18,250.00	
27JUL17	S 2 DEC17	289.250	296.350	USD	-3,550.00	
27JUL17	S 1 DEC17	289.550	296.350	USD	-1,700.00	
27JUL17	S 1 DEC17	289.500	296.350	USD	-1,712.50	
27JUL17	S 2 DEC17	288.800	296.350	USD	-3,775.00	
27JUL17	S 2 DEC17	288.500	296.350	USD	-3,925.00	
27JUL17	S 1 DEC17	288.450	296.350	USD	-1,975.00	
27JUL17	S 1 DEC17	290.050	296.350	USD	-1,575.00	
27JUL17	S 3 DEC17	290.000	296.350	USD	-4,762.50	
27JUL17	S 1 DEC17	289.450	296.350	USD	-1,725.00	
27JUL17	S 14 DEC17	289.100	296.350	USD	-25,375.00	
27JUL17	S 1 DEC17	289.700	296.350	USD	-1,662.50	
27JUL17	S 11 DEC17	289.650	296.350	USD	-18,425.00	
27JUL17	S 4 DEC17	289.900	296.350	USD	-6,450.00	
27JUL17	S 33 DEC17	290.400	296.350	USD	-49,087.50	
27JUL17	S 66 DEC17	291.000	296.350	USD	-88,275.00	
27JUL17	S 100 DEC17	289.950	296.350	USD	-160,000.00	
27JUL17	S 37 DEC17	289.800	296.350	USD	-60,587.50	
28JUL17	S 66 DEC17	289.900	296.350	USD	-106,425.00	
28JUL17	S 99 DEC17	289.600	296.350	USD	-167,062.50	
31JUL17	S 66 DEC17	292.400	296.350	USD	-65,175.00	
31JUL17	S 17 DEC17	290.950	296.350	USD	-22,950.00	
31JUL17	S 6 DEC17	290.900	296.350	USD	-8,175.00	
31JUL17	S 2 DEC17	291.200	296.350	USD	-2,575.00	
31JUL17	S 1 DEC17	291.450	296.350	USD	-1,225.00	
31JUL17	S 1 DEC17	291.650	296.350	USD	-1,175.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0101A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	CMX COPPER 25,000 CMX					
31JUL17	S 6 DEC17	291.500	296.350	USD	-7,275.00	
31JUL17	S 66 DEC17	291.000	296.350	USD	-88,275.00	
31JUL17	S 154 DEC17	291.400	296.350	USD	-190,575.00	
03AUG17	S 99 DEC17	290.000	296.350	USD	-157,162.50	
03AUG17	S 94 DEC17	289.850	296.350	USD	-152,750.00	
03AUG17	S 5 DEC17	289.800	296.350	USD	-8,187.50	
04AUG17	S 99 DEC17	289.850	296.350	USD	-160,875.00	
07AUG17	S 99 DEC17	291.150	296.350	USD	-128,700.00	
07AUG17	S 99 DEC17	291.450	296.350	USD	-121,275.00	
09AUG17	S 42 DEC17	294.800	296.350	USD	-16,275.00	
09AUG17	S 57 DEC17	294.850	296.350	USD	-21,375.00	
09AUG17	S 99 DEC17	294.900	296.350	USD	-35,887.50	
10AUG17	S 33 DEC17	294.700	296.350	USD	-13,612.50	
10AUG17	S 66 DEC17	294.750	296.350	USD	-26,400.00	
10AUG17	S 22 DEC17	294.850	296.350	USD	-8,250.00	
10AUG17	S 20 DEC17	294.600	296.350	USD	-8,750.00	
10AUG17	S 20 DEC17	292.600	296.350	USD	-18,750.00	
15AUG17	S 20 DEC17	293.000	296.350	USD	-16,750.00	
15AUG17	S 20 DEC17	292.700	296.350	USD	-18,250.00	
16AUG17	S 4 DEC17	294.050	296.350	USD	-2,300.00	
16AUG17	S 5 DEC17	297.800	296.350	USD	1,812.50	
25AUG17	S 3 DEC17	304.800	296.350	USD	6,337.50	
29AUG17	S 5 DEC17	310.000	296.350	USD	17,062.50	
	NET -1687 AVG SOLD 291.590				**-2,007,637.50**	**0.00**
15SEP17	S 10 MAR18	298.050	298.250	USD	-500.00	
21SEP17	S 10 MAR18	294.550	298.250	USD	-9,250.00	
22SEP17	S 10 MAR18	294.150	298.250	USD	-10,250.00	
25SEP17	S 10 MAR18	295.550	298.250	USD	-6,750.00	
27SEP17	S 10 MAR18	294.700	298.250	USD	-8,875.00	
28SEP17	S 10 MAR18	295.650	298.250	USD	-6,500.00	
28SEP17	S 20 MAR18	295.600	298.250	USD	-13,250.00	
29SEP17	S 9 MAR18	298.250	298.250	USD	0.00	
29SEP17	S 1 MAR18	298.000	298.250	USD	-62.50	
	NET -90 AVG SOLD 295.786				**-55,437.50**	**0.00**
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
25SEP17	S 11 PD27DEC17	3084.85	3264.00	USD	-49,266.25	
25SEP17	S 10 PD27DEC17	3079.85	3264.00	USD	-46,037.50	
25SEP17	S 9 PD27DEC17	3081.85	3264.00	USD	-40,983.75	
25SEP17	S 10 PD27DEC17	3087.85	3264.00	USD	-44,037.50	
25SEP17	B 9 PD27DEC17	3088.39	3264.00	USD	39,512.25	
25SEP17	B 11 PD27DEC17	3090.89	3264.00	USD	47,605.25	
25SEP17	B 10 PD27DEC17	3086.89	3264.00	USD	44,277.50	
25SEP17	B 10 PD27DEC17	3091.39	3264.00	USD	43,152.50	
	NET 0 AVG BGHT 3089.45 SOLD 3083.68				**-5,777.50**	**0.00**

OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	-133,156,498.00
CMX Copper	FUTURES	129,633,162.50
LME Zinc 25 tonnes. This is	FUTURES	-5,777.50

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0101A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options			Amount	Profit / Loss	**Total Amount**	**Total P&L**
COPR	- Futures	USD	-2,063,075.00	-2,063,075.00	**-2,063,075.00**	**-2,063,075.00**
Unrealised Profits & Losses - Forwards			**Amount**	**Profit / Loss**	**Total Amount**	**Total P&L**
COPL	- Futures	USD	-1,986,246.75	-1,986,246.75		
ZINC	- Futures	USD	-5,777.50	-5,777.50	**-1,992,024.25**	**-1,992,024.25**

ACCOUNT FINANCIAL SUMMARY

		GBP (1.32460)	USD (1.00000)	NETT USD (1.00000)
CASH BALANCE		2,478,738.79	5,734,605.20	9,017,942.60
Variation Margins	- Futures	0.00	-2,063,075.00	-2,063,075.00
	- Options	0.00	0.00	0.00
TOTAL EQUITY		**2,478,738.79**	**3,671,530.20**	**6,954,867.60**
Initial Margins		0.00	-17,663,962.25	-17,663,962.25
Excess/(Shortage)		**2,478,738.79**	**-13,992,432.05**	**-10,709,094.65**
FOR INFORMATION ONLY				
Forward Unrealised P&L		0.00	-1,992,024.25	-1,992,024.25
Net Liquidating Value		**2,478,738.79**	**1,679,505.95**	**4,962,843.35**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED
SAP0101 ARBS
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPAB101**

3rd Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

GROUP FINANCIAL SUMMARY

		GBP (1.32460)	USD (1.00000)	NET USD (1.00000)
CASH BALANCE		2,478,738.79	5,734,605.20	9,017,942.60
Variation Margins	- Futures	0.00	-2,063,075.00	-2,063,075.00
	- Options	0.00	0.00	0.00
TOTAL EQUITY		**2,478,738.79**	**3,671,530.20**	**6,954,867.60**
Initial Margins		0.00	-17,663,962.25	-17,663,962.25
Excess/(Shortage)		**2,478,738.79**	**-13,992,432.05**	**-10,709,094.65**
FOR INFORMATION ONLY				
Forward Unrealised P&L		0.00	-1,992,024.25	-1,992,024.25
Net Liquidating Value		**2,478,738.79**	**1,679,505.95**	**4,962,843.35**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0103K

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT							
05JUL17	S	1	PD05OCT17	5841.00	6468.75	USD	-15,693.75	
05JUL17	S	1	PD05OCT17	5842.00	6468.75	USD	-15,668.75	
05JUL17	S	1	PD05OCT17	5842.50	6468.75	USD	-15,656.25	
05JUL17	S	1	PD05OCT17	5834.00	6468.75	USD	-15,868.75	
05JUL17	S	1	PD05OCT17	5847.00	6468.75	USD	-15,543.75	
05JUL17	S	1	PD05OCT17	5845.00	6468.75	USD	-15,593.75	
05JUL17	S	2	PD05OCT17	5825.00	6468.75	USD	-32,187.50	
05JUL17	S	1	PD05OCT17	5824.00	6468.75	USD	-16,118.75	
05JUL17	S	1	PD05OCT17	5823.00	6468.75	USD	-16,143.75	
05JUL17	S	1	PD05OCT17	5833.00	6468.75	USD	-15,893.75	
05JUL17	S	2	PD05OCT17	5837.00	6468.75	USD	-31,587.50	
05JUL17	S	1	PD05OCT17	5836.00	6468.75	USD	-15,818.75	
05JUL17	S	2	PD05OCT17	5835.50	6468.75	USD	-31,662.50	
05JUL17	S	1	PD05OCT17	5842.00	6468.75	USD	-15,668.75	
05JUL17	S	1	PD05OCT17	5829.50	6468.75	USD	-15,981.25	
05JUL17	S	1	PD05OCT17	5830.00	6468.75	USD	-15,968.75	
05JUL17	S	1	PD05OCT17	5826.00	6468.75	USD	-16,068.75	
05JUL17	B	20	PD05OCT17	5841.20	6468.75	USD	313,775.00	
	NET	**0**	**AVG BGHT 5841.20**	**SOLD 5834.50**			**-3,350.00**	**0.00**
06JUL17	B	3	PD06OCT17	5850.00	6470.02	USD	46,501.50	
06JUL17	B	1	PD06OCT17	5841.50	6470.02	USD	15,713.00	
06JUL17	B	1	PD06OCT17	5842.00	6470.02	USD	15,700.50	
06JUL17	B	1	PD06OCT17	5844.00	6470.02	USD	15,650.50	
06JUL17	B	1	PD06OCT17	5843.00	6470.02	USD	15,675.50	
06JUL17	B	1	PD06OCT17	5840.00	6470.02	USD	15,750.50	
06JUL17	B	1	PD06OCT17	5839.00	6470.02	USD	15,775.50	
06JUL17	B	2	PD06OCT17	5853.00	6470.02	USD	30,851.00	
06JUL17	B	1	PD06OCT17	5869.00	6470.02	USD	15,025.50	
06JUL17	B	1	PD06OCT17	5868.50	6470.02	USD	15,038.00	
06JUL17	B	1	PD06OCT17	5843.00	6470.02	USD	15,675.50	
06JUL17	B	1	PD06OCT17	5839.00	6470.02	USD	15,775.50	
15SEP17	S	15	PD06OCT17	6472.72	6470.02	USD	1,012.50	
	NET	**0**	**AVG BGHT 5848.33**	**SOLD 6472.72**			**234,145.00**	**0.00**
11JUL17	S	1	PD11OCT17	5827.00	6476.37	USD	-16,234.25	
11JUL17	S	4	PD11OCT17	5828.00	6476.37	USD	-64,837.00	
15SEP17	B	5	PD11OCT17	6476.16	6476.37	USD	26.25	
	NET	**0**	**AVG BGHT 6476.16**	**SOLD 5827.80**			**-81,045.00**	**0.00**
12JUL17	B	2	PD12OCT17	5921.50	6477.63	USD	27,806.50	
12JUL17	B	1	PD12OCT17	5921.00	6477.63	USD	13,915.75	
12JUL17	B	1	PD12OCT17	5920.00	6477.63	USD	13,940.75	
12JUL17	B	1	PD12OCT17	5919.00	6477.63	USD	13,965.75	
15SEP17	S	5	PD12OCT17	6476.61	6477.63	USD	-127.50	
	NET	**0**	**AVG BGHT 5920.60**	**SOLD 6476.61**			**69,501.25**	**0.00**
13JUL17	S	1	PD13OCT17	5871.50	6478.90	USD	-15,185.00	
13JUL17	S	1	PD13OCT17	5868.00	6478.90	USD	-15,272.50	
13JUL17	S	3	PD13OCT17	5864.50	6478.90	USD	-46,080.00	
15SEP17	B	5	PD13OCT17	6477.46	6478.90	USD	180.00	
	NET	**0**	**AVG BGHT 6477.46**	**SOLD 5866.60**			**-76,357.50**	**0.00**
17JUL17	B	1	PD17OCT17	6015.00	6483.98	USD	11,724.50	
17JUL17	B	1	PD17OCT17	6012.00	6483.98	USD	11,799.50	
17JUL17	B	1	PD17OCT17	6013.00	6483.98	USD	11,774.50	
17JUL17	B	2	PD17OCT17	6009.00	6483.98	USD	23,749.00	
15SEP17	S	10	PD17OCT17	6479.85	6483.98	USD	-1,032.50	
19SEP17	B	5	PD17OCT17	6508.25	6483.98	USD	-3,033.75	
	NET	**0**	**AVG BGHT 6259.93**	**SOLD 6479.85**			**54,981.25**	**0.00**
20JUL17	S	2	PD20OCT17	5974.50	6486.75	USD	-25,612.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0103K

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT							
20JUL17	S	1	PD20OCT17	5974.00	6486.75	USD	-12,818.75	
20JUL17	S	1	PD20OCT17	5970.00	6486.75	USD	-12,918.75	
20JUL17	S	1	PD20OCT17	5972.00	6486.75	USD	-12,868.75	
21JUL17	B	1	PD20OCT17	6006.50	6486.75	USD	12,006.25	
21JUL17	B	2	PD20OCT17	6009.00	6486.75	USD	23,887.50	
21JUL17	B	2	PD20OCT17	6030.00	6486.75	USD	22,837.50	
21JUL17	B	1	PD20OCT17	6034.00	6486.75	USD	11,318.75	
21JUL17	B	2	PD20OCT17	6035.00	6486.75	USD	22,587.50	
21JUL17	B	1	PD20OCT17	6035.00	6486.75	USD	11,293.75	
21JUL17	B	1	PD20OCT17	6034.00	6486.75	USD	11,318.75	
21JUL17	S	4	PD20OCT17	6000.00	6486.75	USD	-48,675.00	
21JUL17	S	1	PD20OCT17	6001.00	6486.75	USD	-12,143.75	
21JUL17	S	1	PD20OCT17	5997.00	6486.75	USD	-12,243.75	
21JUL17	S	1	PD20OCT17	5998.50	6486.75	USD	-12,206.25	
15SEP17	B	2	PD20OCT17	6480.20	6486.75	USD	327.50	
	NET	**0**	**AVG BGHT 6101.49**	**SOLD 5988.46**			**-33,910.00**	**0.00**
24JUL17	S	1	PD24OCT17	5989.00	6489.75	USD	-12,518.75	
24JUL17	B	1	PD24OCT17	5994.00	6489.75	USD	12,393.75	
24JUL17	S	1	PD24OCT17	5987.00	6489.75	USD	-12,568.75	
24JUL17	S	1	PD24OCT17	5990.50	6489.75	USD	-12,481.25	
24JUL17	S	1	PD24OCT17	5989.00	6489.75	USD	-12,518.75	
15SEP17	B	3	PD24OCT17	6482.95	6489.75	USD	510.00	
	NET	**0**	**AVG BGHT 6360.71**	**SOLD 5988.88**			**-37,183.75**	**0.00**
25JUL17	B	5	PD25OCT17	6120.00	6490.29	USD	46,286.25	
25JUL17	B	1	PD25OCT17	6200.00	6490.29	USD	7,257.25	
25JUL17	B	2	PD25OCT17	6205.00	6490.29	USD	14,264.50	
25JUL17	B	1	PD25OCT17	6199.50	6490.29	USD	7,269.75	
25JUL17	B	1	PD25OCT17	6202.50	6490.29	USD	7,194.75	
15SEP17	S	10	PD25OCT17	6483.07	6490.29	USD	-1,805.00	
	NET	**0**	**AVG BGHT 6161.20**	**SOLD 6483.07**			**80,467.50**	**0.00**
31JUL17	S	1	PD31OCT17	6399.00	6493.50	USD	-2,362.50	
31JUL17	S	2	PD31OCT17	6403.00	6493.50	USD	-4,525.00	
31JUL17	S	1	PD31OCT17	6405.00	6493.50	USD	-2,212.50	
31JUL17	S	1	PD31OCT17	6404.00	6493.50	USD	-2,237.50	
31JUL17	S	4	PD31OCT17	6402.00	6493.50	USD	-9,150.00	
31JUL17	S	4	PD31OCT17	6400.00	6493.50	USD	-9,350.00	
31JUL17	S	3	PD31OCT17	6401.00	6493.50	USD	-6,937.50	
31JUL17	S	2	PD31OCT17	6398.00	6493.50	USD	-4,775.00	
31JUL17	S	1	PD31OCT17	6397.50	6493.50	USD	-2,400.00	
31JUL17	S	1	PD31OCT17	6397.00	6493.50	USD	-2,412.50	
15SEP17	B	25	PD31OCT17	6485.20	6493.50	USD	5,187.50	
19SEP17	S	5	PD31OCT17	6515.05	6493.50	USD	2,693.75	
	NET	**0**	**AVG BGHT 6485.20**	**SOLD 6423.63**			**-38,481.25**	**0.00**
02AUG17	B	1	PD02NOV17	6359.50	6494.69	USD	3,379.75	
02AUG17	B	1	PD02NOV17	6357.50	6494.69	USD	3,429.75	
02AUG17	B	2	PD02NOV17	6353.00	6494.69	USD	7,084.50	
02AUG17	B	1	PD02NOV17	6356.00	6494.69	USD	3,467.25	
15SEP17	S	5	PD02NOV17	6486.33	6494.69	USD	-1,045.00	
	NET	**0**	**AVG BGHT 6355.80**	**SOLD 6486.33**			**16,316.25**	**0.00**
03AUG17	S	1	PD03NOV17	6339.50	6495.28	USD	-3,894.50	
03AUG17	S	1	PD03NOV17	6340.50	6495.28	USD	-3,869.50	
03AUG17	S	1	PD03NOV17	6338.00	6495.28	USD	-3,932.00	
03AUG17	S	2	PD03NOV17	6341.50	6495.28	USD	-7,689.00	
15SEP17	B	5	PD03NOV17	6487.20	6495.28	USD	1,010.00	
	NET	**0**	**AVG BGHT 6487.20**	**SOLD 6340.20**			**-18,375.00**	**0.00**
08AUG17	B	2	PD08NOV17	6398.00	6498.25	USD	5,012.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0103K

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
08AUG17	B 1 PD08NOV17	6401.00	6498.25	USD	2,431.25	
08AUG17	B 1 PD08NOV17	6400.00	6498.25	USD	2,456.25	
08AUG17	B 1 PD08NOV17	6399.00	6498.25	USD	2,481.25	
15SEP17	S 5 PD08NOV17	6490.75	6498.25	USD	-937.50	
	NET 0 AVG BGHT 6399.20 SOLD 6490.75				**11,443.75**	**0.00**
31AUG17	S 1 PD30NOV17	6795.00	6509.40	USD	7,140.00	
31AUG17	S 3 PD30NOV17	6800.00	6509.40	USD	21,795.00	
31AUG17	S 1 PD30NOV17	6800.00	6509.40	USD	7,265.00	
15SEP17	B 5 PD30NOV17	6500.99	6509.40	USD	1,051.25	
	NET 0 AVG BGHT 6500.99 SOLD 6799.00				**37,251.25**	**0.00**
04SEP17	B 1 PD04DEC17	6917.00	6511.29	USD	-10,142.75	
04SEP17	B 1 PD04DEC17	6919.00	6511.29	USD	-10,192.75	
04SEP17	B 1 PD04DEC17	6918.00	6511.29	USD	-10,167.75	
04SEP17	B 2 PD04DEC17	6918.00	6511.29	USD	-20,335.50	
15SEP17	S 5 PD04DEC17	6502.45	6511.29	USD	-1,105.00	
	NET 0 AVG BGHT 6918.00 SOLD 6502.45				**-51,943.75**	**0.00**
08SEP17	S 1 PD08DEC17	6831.00	6513.00	USD	7,950.00	
08SEP17	S 1 PD08DEC17	6824.00	6513.00	USD	7,775.00	
08SEP17	S 1 PD08DEC17	6830.00	6513.00	USD	7,925.00	
08SEP17	S 1 PD08DEC17	6817.00	6513.00	USD	7,600.00	
08SEP17	S 1 PD08DEC17	6823.00	6513.00	USD	7,750.00	
15SEP17	B 5 PD08DEC17	6504.30	6513.00	USD	1,087.50	
	NET 0 AVG BGHT 6504.30 SOLD 6825.00				**40,087.50**	**0.00**

OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	203,547.50

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards		Amount	Profit / Loss	**Total Amount**	**Total P&L**
COPL - Futures	USD	203,547.50	203,547.50	**203,547.50**	**203,547.50**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0103K

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-203,677.30	-203,677.30
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**-203,677.30**	**-203,677.30**
Initial Margins		0.00	0.00
Excess/(Shortage)		**-203,677.30**	**-203,677.30**
FOR INFORMATION ONLY			
Forward Unrealised P&L		203,547.50	203,547.50
Net Liquidating Value		**-129.80**	**-129.80**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED
SAP0103 ARBS
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPAB103**

3rd Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

GROUP FINANCIAL SUMMARY

		USD (1.00000)	NET USD (1.00000)
CASH BALANCE		-203,677.30	-203,677.30
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**-203,677.30**	**-203,677.30**
Initial Margins		0.00	0.00
Excess/(Shortage)		**-203,677.30**	**-203,677.30**
FOR INFORMATION ONLY			
Forward Unrealised P&L		203,547.50	203,547.50
Net Liquidating Value		**-129.80**	**-129.80**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

backoffice@sapiencap.com

SAP0107B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
04JUL17	S 1 PD04OCT17	5876.00	6467.48	USD	-14,787.00	
04JUL17	S 2 PD04OCT17	5876.50	6467.48	USD	-29,549.00	
04JUL17	S 2 PD04OCT17	5877.50	6467.48	USD	-29,499.00	
10JUL17	B 5 PD04OCT17	5822.12	6467.48	USD	80,670.00	
	NET 0 AVG BGHT 5822.12 SOLD 5876.80				**6,835.00**	**0.00**
06JUL17	S 3 PD06OCT17	5848.50	6470.02	USD	-46,614.00	
06JUL17	S 2 PD06OCT17	5849.50	6470.02	USD	-31,026.00	
10JUL17	B 5 PD06OCT17	5822.81	6470.02	USD	80,901.25	
	NET 0 AVG BGHT 5822.81 SOLD 5848.90				**3,261.25**	**0.00**
10JUL17	S 5 PD10OCT17	5813.00	6475.10	USD	-82,762.50	
11AUG17	B 5 PD10OCT17	6400.91	6475.10	USD	9,273.75	
	NET 0 AVG BGHT 6400.91 SOLD 5813.00				**-73,488.75**	**0.00**
12JUL17	B 2 PD12OCT17	5928.00	6477.63	USD	27,481.50	
12JUL17	B 3 PD12OCT17	5927.00	6477.63	USD	41,297.25	
11AUG17	S 5 PD12OCT17	6401.87	6477.63	USD	-9,470.00	
	NET 0 AVG BGHT 5927.40 SOLD 6401.87				**59,308.75**	**0.00**
13JUL17	S 5 PD13OCT17	5878.00	6478.90	USD	-75,112.50	
11AUG17	B 5 PD13OCT17	6402.64	6478.90	USD	9,532.50	
	NET 0 AVG BGHT 6402.64 SOLD 5878.00				**-65,580.00**	**0.00**
17JUL17	B 1 PD17OCT17	6002.50	6483.98	USD	12,037.00	
17JUL17	B 2 PD17OCT17	6007.00	6483.98	USD	23,849.00	
17JUL17	B 2 PD17OCT17	6008.00	6483.98	USD	23,799.00	
17JUL17	B 3 PD17OCT17	6018.50	6483.98	USD	34,911.00	
17JUL17	B 1 PD17OCT17	6014.50	6483.98	USD	11,737.00	
17JUL17	B 1 PD17OCT17	6017.00	6483.98	USD	11,674.50	
11AUG17	S 10 PD17OCT17	6404.75	6483.98	USD	-19,807.50	
	NET 0 AVG BGHT 6011.95 SOLD 6404.75				**98,200.00**	**0.00**
21JUL17	B 2 PD20OCT17	6027.50	6486.75	USD	22,962.50	
21JUL17	B 1 PD20OCT17	6028.00	6486.75	USD	11,468.75	
21JUL17	B 2 PD20OCT17	6028.50	6486.75	USD	22,912.50	
11AUG17	S 5 PD20OCT17	6403.00	6486.75	USD	-10,468.75	
	NET 0 AVG BGHT 6028.00 SOLD 6403.00				**46,875.00**	**0.00**
24JUL17	S 5 PD24OCT17	6002.00	6489.75	USD	-60,968.75	
24JUL17	B 1 PD24OCT17	6005.50	6489.75	USD	12,106.25	
24JUL17	S 4 PD24OCT17	6005.50	6489.75	USD	-48,425.00	
24JUL17	S 2 PD24OCT17	6006.00	6489.75	USD	-24,187.50	
11AUG17	B 10 PD24OCT17	6404.72	6489.75	USD	21,257.50	
	NET 0 AVG BGHT 6368.43 SOLD 6004.00				**-100,217.50**	**0.00**
25JUL17	B 3 PD25OCT17	6168.00	6490.29	USD	24,171.75	
25JUL17	B 2 PD25OCT17	6169.00	6490.29	USD	16,064.50	
25JUL17	B 1 PD25OCT17	6225.00	6490.29	USD	6,632.25	
25JUL17	B 2 PD25OCT17	6225.50	6490.29	USD	13,239.50	
25JUL17	B 2 PD25OCT17	6228.50	6490.29	USD	13,089.50	
25JUL17	B 2 PD25OCT17	6220.50	6490.29	USD	13,489.50	
25JUL17	B 1 PD25OCT17	6224.50	6490.29	USD	6,644.75	
25JUL17	B 1 PD25OCT17	6222.50	6490.29	USD	6,694.75	
25JUL17	B 1 PD25OCT17	6224.00	6490.29	USD	6,657.25	
11AUG17	S 5 PD25OCT17	6404.90	6490.29	USD	-10,673.75	
	NET +10 AVG BGHT 6205.80 SOLD 6404.90				**96,010.00**	**0.00**
26JUL17	S 3 PD26OCT17	6355.00	6490.82	USD	-10,186.50	
26JUL17	S 2 PD26OCT17	6377.00	6490.82	USD	-5,691.00	
26JUL17	B 1 PD26OCT17	6303.00	6490.82	USD	4,695.50	
26JUL17	B 1 PD26OCT17	6304.00	6490.82	USD	4,670.50	
26JUL17	B 1 PD26OCT17	6305.00	6490.82	USD	4,645.50	
26JUL17	B 1 PD26OCT17	6306.00	6490.82	USD	4,620.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

backoffice@sapiencap.com

SAP0107B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
26JUL17	B 1 PD26OCT17	6301.50	6490.82	USD	4,733.00	
	NET 0 AVG BGHT 6303.90 SOLD 6363.80				**7,487.50**	**0.00**
28JUL17	S 1 PD27OCT17	6328.50	6491.36	USD	-4,071.50	
28JUL17	S 4 PD27OCT17	6330.50	6491.36	USD	-16,086.00	
11AUG17	B 5 PD27OCT17	6405.87	6491.36	USD	10,686.25	
	NET 0 AVG BGHT 6405.87 SOLD 6330.10				**-9,471.25**	**0.00**
01AUG17	B 2 PD01NOV17	6334.50	6494.09	USD	7,979.50	
01AUG17	B 2 PD01NOV17	6338.50	6494.09	USD	7,779.50	
01AUG17	B 1 PD01NOV17	6335.00	6494.09	USD	3,977.25	
	NET +5 AVG BGHT 6336.20				**19,736.25**	**0.00**
07AUG17	S 2 PD07NOV17	6407.50	6497.66	USD	-4,508.00	
07AUG17	S 1 PD07NOV17	6409.00	6497.66	USD	-2,216.50	
07AUG17	S 1 PD07NOV17	6410.50	6497.66	USD	-2,179.00	
07AUG17	S 1 PD07NOV17	6412.00	6497.66	USD	-2,141.50	
11AUG17	B 5 PD07NOV17	6410.06	6497.66	USD	10,950.00	
	NET 0 AVG BGHT 6410.06 SOLD 6409.30				**-95.00**	**0.00**
08AUG17	B 1 PD08NOV17	6436.00	6498.25	USD	1,556.25	
08AUG17	B 1 PD08NOV17	6438.00	6498.25	USD	1,506.25	
08AUG17	B 1 PD08NOV17	6452.50	6498.25	USD	1,143.75	
08AUG17	B 2 PD08NOV17	6453.00	6498.25	USD	2,262.50	
08AUG17	B 4 PD08NOV17	6454.00	6498.25	USD	4,425.00	
08AUG17	B 1 PD08NOV17	6460.00	6498.25	USD	956.25	
	NET +10 AVG BGHT 6450.85				**11,850.00**	**0.00**
14AUG17	S 2 PD14NOV17	6400.50	6501.04	USD	-5,027.00	
14AUG17	S 1 PD14NOV17	6402.50	6501.04	USD	-2,463.50	
14AUG17	S 1 PD14NOV17	6403.00	6501.04	USD	-2,451.00	
14AUG17	S 1 PD14NOV17	6405.00	6501.04	USD	-2,401.00	
	NET -5 AVG SOLD 6402.30				**-12,342.50**	**0.00**
15AUG17	S 2 PD15NOV17	6378.00	6501.50	USD	-6,175.00	
15AUG17	S 3 PD15NOV17	6379.50	6501.50	USD	-9,150.00	
	NET -5 AVG SOLD 6378.90				**-15,325.00**	**0.00**
17AUG17	B 2 PD17NOV17	6537.50	6501.60	USD	-1,795.00	
17AUG17	B 2 PD17NOV17	6539.00	6501.60	USD	-1,870.00	
17AUG17	B 1 PD17NOV17	6536.00	6501.60	USD	-860.00	
18AUG17	S 2 PD17NOV17	6450.00	6501.60	USD	-2,580.00	
18AUG17	S 2 PD17NOV17	6450.50	6501.60	USD	-2,555.00	
18AUG17	S 1 PD17NOV17	6453.00	6501.60	USD	-1,215.00	
	NET 0 AVG BGHT 6537.80 SOLD 6450.80				**-10,875.00**	**0.00**
21AUG17	B 1 PD21NOV17	6597.00	6504.00	USD	-2,325.00	
21AUG17	B 2 PD21NOV17	6613.00	6504.00	USD	-5,450.00	
21AUG17	B 2 PD21NOV17	6619.00	6504.00	USD	-5,750.00	
21AUG17	B 5 PD21NOV17	6619.50	6504.00	USD	-14,437.50	
21AUG17	B 2 PD21NOV17	6583.00	6504.00	USD	-3,950.00	
21AUG17	B 1 PD21NOV17	6584.00	6504.00	USD	-2,000.00	
21AUG17	B 2 PD21NOV17	6586.50	6504.00	USD	-4,125.00	
	NET +15 AVG BGHT 6605.43				**-38,037.50**	**0.00**
22AUG17	B 5 PD22NOV17	6584.50	6504.60	USD	-9,987.50	
	NET +5 AVG BGHT 6584.50				**-9,987.50**	**0.00**
29AUG17	S 2 PD29NOV17	6778.50	6508.80	USD	13,485.00	
29AUG17	S 1 PD29NOV17	6779.50	6508.80	USD	6,767.50	
29AUG17	S 2 PD29NOV17	6780.00	6508.80	USD	13,560.00	
	NET -5 AVG SOLD 6779.30				**33,812.50**	**0.00**
30AUG17	B 1 PD30NOV17	6769.50	6509.40	USD	-6,502.50	
30AUG17	B 2 PD30NOV17	6770.00	6509.40	USD	-13,030.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

backoffice@sapiencap.com

SAP0107B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
30AUG17	B 1 PD30NOV17	6767.50	6509.40	USD	-6,452.50	
30AUG17	B 1 PD30NOV17	6768.00	6509.40	USD	-6,465.00	
30AUG17	B 5 PD30NOV17	6768.50	6509.40	USD	-32,387.50	
	NET +10 AVG BGHT 6768.75				**-64,837.50**	**0.00**
07SEP17	S 5 PD07DEC17	6860.00	6512.57	USD	43,428.75	
	NET -5 AVG SOLD 6860.00				**43,428.75**	**0.00**
08SEP17	S 3 PD08DEC17	6820.00	6513.00	USD	23,025.00	
08SEP17	S 2 PD08DEC17	6826.00	6513.00	USD	15,650.00	
08SEP17	S 5 PD08DEC17	6678.00	6513.00	USD	20,625.00	
	NET -10 AVG SOLD 6750.20				**59,300.00**	**0.00**
12SEP17	S 4 PD12DEC17	6676.50	6514.58	USD	16,192.00	
12SEP17	S 1 PD12DEC17	6677.50	6514.58	USD	4,073.00	
	NET -5 AVG SOLD 6676.70				**20,265.00**	**0.00**
13SEP17	S 1 PD13DEC17	6653.50	6514.98	USD	3,463.00	
13SEP17	S 2 PD13DEC17	6654.00	6514.98	USD	6,951.00	
13SEP17	S 1 PD13DEC17	6655.00	6514.98	USD	3,500.50	
13SEP17	S 1 PD13DEC17	6655.50	6514.98	USD	3,513.00	
13SEP17	S 1 PD13DEC17	6656.00	6514.98	USD	3,525.50	
13SEP17	S 2 PD13DEC17	6657.00	6514.98	USD	7,101.00	
13SEP17	S 2 PD13DEC17	6662.00	6514.98	USD	7,351.00	
	NET -10 AVG SOLD 6656.60				**35,405.00**	**0.00**
15SEP17	S 1 PD15DEC17	6494.50	6515.77	USD	-531.75	
15SEP17	S 2 PD15DEC17	6495.50	6515.77	USD	-1,013.50	
15SEP17	S 3 PD15DEC17	6496.00	6515.77	USD	-1,482.75	
15SEP17	S 2 PD15DEC17	6496.50	6515.77	USD	-963.50	
15SEP17	S 2 PD15DEC17	6497.50	6515.77	USD	-913.50	
	NET -10 AVG SOLD 6496.15				**-4,905.00**	**0.00**
27SEP17	B 1 PD27DEC17	6475.50	6517.52	USD	1,050.50	
27SEP17	B 2 PD27DEC17	6477.00	6517.52	USD	2,026.00	
27SEP17	B 2 PD27DEC17	6476.50	6517.52	USD	2,051.00	
	NET +5 AVG BGHT 6476.50				**5,127.50**	**0.00**
	CMX COPPER 25,000 CMX					
27SEP17	S 5 DEC17	294.350	296.350	USD	-2,500.00	
27SEP17	S 6 DEC17	294.300	296.350	USD	-3,075.00	
	NET -11 AVG SOLD 294.323				**-5,575.00**	**0.00**

OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	-658,022.50
CMX Copper	FUTURES	809,387.50

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

backoffice@sapiencap.com

SAP0107B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-108,780.93	-108,780.93
Variation Margins	- Futures	-5,575.00	-5,575.00
	- Options	0.00	0.00
TOTAL EQUITY		**-114,355.93**	**-114,355.93**
Initial Margins		-34,650.00	-34,650.00
Excess/(Shortage)		**-149,005.93**	**-149,005.93**
FOR INFORMATION ONLY			
Forward Unrealised P&L		141,740.00	141,740.00
Net Liquidating Value		**27,384.07**	**27,384.07**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED
SAP0107 ARBS
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPAB107**

3rd Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

GROUP FINANCIAL SUMMARY

		USD (1.00000)	NET USD (1.00000)
CASH BALANCE		-108,780.93	-108,780.93
Variation Margins	- Futures	-5,575.00	-5,575.00
	- Options	0.00	0.00
TOTAL EQUITY		**-114,355.93**	**-114,355.93**
Initial Margins		-34,650.00	-34,650.00
Excess/(Shortage)		**-149,005.93**	**-149,005.93**
FOR INFORMATION ONLY			
Forward Unrealised P&L		141,740.00	141,740.00
Net Liquidating Value		**27,384.07**	**27,384.07**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0118

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		329,140.26	329,140.26
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**329,140.26**	**329,140.26**
Initial Margins		0.00	0.00
Excess/(Shortage)		**329,140.26**	**329,140.26**
FOR INFORMATION ONLY			
Net Liquidating Value		**329,140.26**	**329,140.26**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0118B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
04JUL17	B 1 PD04OCT17	5882.50	6467.48	USD	14,624.50	
04JUL17	B 1 PD04OCT17	5881.50	6467.48	USD	14,649.50	
04JUL17	B 2 PD04OCT17	5885.00	6467.48	USD	29,124.00	
04JUL17	B 1 PD04OCT17	5884.00	6467.48	USD	14,587.00	
04JUL17	B 1 PD04OCT17	5892.00	6467.48	USD	14,387.00	
02OCT17	S 6 PD04OCT17	6446.25	6467.48	USD	-3,184.50	
	NET 0 AVG BGHT 5885.00 SOLD 6446.25				**84,187.50**	**0.00**
14JUL17	S 1 PD13OCT17	5878.00	6478.90	USD	-15,022.50	
14JUL17	B 2 PD13OCT17	5883.00	6478.90	USD	29,795.00	
14JUL17	B 1 PD13OCT17	5883.50	6478.90	USD	14,885.00	
14JUL17	B 2 PD13OCT17	5882.00	6478.90	USD	29,845.00	
14JUL17	B 1 PD13OCT17	5912.00	6478.90	USD	14,172.50	
14JUL17	B 1 PD13OCT17	5909.00	6478.90	USD	14,247.50	
14JUL17	B 1 PD13OCT17	5908.50	6478.90	USD	14,260.00	
14JUL17	B 1 PD13OCT17	5906.00	6478.90	USD	14,322.50	
14JUL17	B 1 PD13OCT17	5901.50	6478.90	USD	14,435.00	
	NET +9 AVG BGHT 5895.05 SOLD 5878.00				**130,940.00**	**0.00**
25JUL17	B 1 PD25OCT17	6267.50	6490.29	USD	5,569.75	
25JUL17	B 1 PD25OCT17	6266.50	6490.29	USD	5,594.75	
25JUL17	B 2 PD25OCT17	6265.50	6490.29	USD	11,239.50	
25JUL17	B 1 PD25OCT17	6266.50	6490.29	USD	5,594.75	
	NET +5 AVG BGHT 6266.30				**27,998.75**	**0.00**
26JUL17	S 1 PD26OCT17	6326.00	6490.82	USD	-4,120.50	
26JUL17	S 1 PD26OCT17	6340.50	6490.82	USD	-3,758.00	
26JUL17	S 1 PD26OCT17	6344.00	6490.82	USD	-3,670.50	
26JUL17	S 1 PD26OCT17	6378.00	6490.82	USD	-2,820.50	
26JUL17	S 1 PD26OCT17	6381.00	6490.82	USD	-2,745.50	
26JUL17	B 2 PD26OCT17	6275.00	6490.82	USD	10,791.00	
26JUL17	B 1 PD26OCT17	6274.00	6490.82	USD	5,420.50	
26JUL17	B 1 PD26OCT17	6270.00	6490.82	USD	5,520.50	
26JUL17	B 1 PD26OCT17	6266.50	6490.82	USD	5,608.00	
26JUL17	S 1 PD26OCT17	6328.50	6490.82	USD	-4,058.00	
26JUL17	S 1 PD26OCT17	6329.50	6490.82	USD	-4,033.00	
26JUL17	S 1 PD26OCT17	6331.50	6490.82	USD	-3,983.00	
26JUL17	S 1 PD26OCT17	6335.00	6490.82	USD	-3,895.50	
26JUL17	S 1 PD26OCT17	6337.00	6490.82	USD	-3,845.50	
20SEP17	B 5 PD26OCT17	6504.27	6490.82	USD	-1,681.25	
	NET 0 AVG BGHT 6388.19 SOLD 6343.10				**-11,271.25**	**0.00**
27JUL17	S 1 PD27OCT17	6367.00	6491.36	USD	-3,109.00	
27JUL17	S 1 PD27OCT17	6341.00	6491.36	USD	-3,759.00	
27JUL17	S 1 PD27OCT17	6342.00	6491.36	USD	-3,734.00	
28JUL17	S 1 PD27OCT17	6319.50	6491.36	USD	-4,296.50	
28JUL17	S 1 PD27OCT17	6320.50	6491.36	USD	-4,271.50	
20SEP17	B 2 PD27OCT17	6504.56	6491.36	USD	-660.00	
26SEP17	B 3 PD27OCT17	6377.45	6491.36	USD	8,543.25	
	NET 0 AVG BGHT 6428.29 SOLD 6338.00				**-11,286.75**	**0.00**
31JUL17	S 1 PD31OCT17	6344.00	6493.50	USD	-3,737.50	
31JUL17	S 1 PD31OCT17	6343.00	6493.50	USD	-3,762.50	
31JUL17	S 3 PD31OCT17	6344.00	6493.50	USD	-11,212.50	
26SEP17	B 5 PD31OCT17	6380.45	6493.50	USD	14,131.25	
	NET 0 AVG BGHT 6380.45 SOLD 6343.80				**-4,581.25**	**0.00**
02AUG17	S 1 PD02NOV17	6331.00	6494.69	USD	-4,092.25	
02AUG17	S 1 PD02NOV17	6314.50	6494.69	USD	-4,504.75	
02AUG17	S 1 PD02NOV17	6311.50	6494.69	USD	-4,579.75	
02AUG17	S 2 PD02NOV17	6313.50	6494.69	USD	-9,059.50	
02AUG17	S 1 PD02NOV17	6340.50	6494.69	USD	-3,854.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0118B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
02AUG17	S 1 PD02NOV17	6342.00	6494.69	USD	-3,817.25	
02AUG17	B 2 PD02NOV17	6336.00	6494.69	USD	7,934.50	
02AUG17	B 5 PD02NOV17	6331.50	6494.69	USD	20,398.75	
02AUG17	B 1 PD02NOV17	6364.50	6494.69	USD	3,254.75	
	NET +1 AVG BGHT 6336.75 SOLD 6323.79				**1,679.75**	**0.00**
03AUG17	S 1 PD03NOV17	6317.50	6495.28	USD	-4,444.50	
03AUG17	S 3 PD03NOV17	6318.50	6495.28	USD	-13,258.50	
03AUG17	S 1 PD03NOV17	6323.00	6495.28	USD	-4,307.00	
26SEP17	B 5 PD03NOV17	6382.70	6495.28	USD	14,072.50	
	NET 0 AVG BGHT 6382.70 SOLD 6319.20				**-7,937.50**	**0.00**
07AUG17	S 1 PD07NOV17	6351.50	6497.66	USD	-3,654.00	
07AUG17	S 3 PD07NOV17	6348.50	6497.66	USD	-11,187.00	
07AUG17	S 1 PD07NOV17	6346.50	6497.66	USD	-3,779.00	
07AUG17	B 1 PD07NOV17	6364.50	6497.66	USD	3,329.00	
07AUG17	B 1 PD07NOV17	6362.00	6497.66	USD	3,391.50	
07AUG17	B 3 PD07NOV17	6367.50	6497.66	USD	9,762.00	
07AUG17	B 1 PD07NOV17	6374.00	6497.66	USD	3,091.50	
07AUG17	B 3 PD07NOV17	6375.00	6497.66	USD	9,199.50	
07AUG17	B 4 PD07NOV17	6371.00	6497.66	USD	12,666.00	
07AUG17	B 2 PD07NOV17	6378.50	6497.66	USD	5,958.00	
07AUG17	B 1 PD07NOV17	6387.00	6497.66	USD	2,766.50	
07AUG17	B 1 PD07NOV17	6397.50	6497.66	USD	2,504.00	
07AUG17	B 1 PD07NOV17	6398.50	6497.66	USD	2,479.00	
07AUG17	B 1 PD07NOV17	6405.50	6497.66	USD	2,304.00	
07AUG17	B 1 PD07NOV17	6410.00	6497.66	USD	2,191.50	
07AUG17	B 1 PD07NOV17	6419.50	6497.66	USD	1,954.00	
07AUG17	B 1 PD07NOV17	6440.00	6497.66	USD	1,441.50	
07AUG17	B 1 PD07NOV17	6434.50	6497.66	USD	1,579.00	
07AUG17	B 2 PD07NOV17	6425.00	6497.66	USD	3,633.00	
	NET +20 AVG BGHT 6388.46 SOLD 6348.70				**49,630.00**	**0.00**
08AUG17	S 1 PD08NOV17	6408.00	6498.25	USD	-2,256.25	
	NET -1 AVG SOLD 6408.00				**-2,256.25**	**0.00**
10AUG17	S 1 PD10NOV17	6410.00	6499.18	USD	-2,229.50	
11AUG17	S 1 PD10NOV17	6370.50	6499.18	USD	-3,217.00	
11AUG17	S 2 PD10NOV17	6368.00	6499.18	USD	-6,559.00	
11AUG17	S 1 PD10NOV17	6379.00	6499.18	USD	-3,004.50	
	NET -5 AVG SOLD 6379.10				**-15,010.00**	**0.00**
14AUG17	B 2 PD14NOV17	6398.00	6501.04	USD	5,152.00	
14AUG17	B 1 PD14NOV17	6400.00	6501.04	USD	2,526.00	
14AUG17	B 2 PD14NOV17	6399.00	6501.04	USD	5,102.00	
	NET +5 AVG BGHT 6398.80				**12,780.00**	**0.00**
15AUG17	S 1 PD15NOV17	6360.50	6501.50	USD	-3,525.00	
15AUG17	S 2 PD15NOV17	6360.00	6501.50	USD	-7,075.00	
15AUG17	S 2 PD15NOV17	6359.50	6501.50	USD	-7,100.00	
	NET -5 AVG SOLD 6359.90				**-17,700.00**	**0.00**
23AUG17	B 1 PD24NOV17	6561.50	6505.80	USD	-1,392.50	
23AUG17	B 4 PD24NOV17	6559.50	6505.80	USD	-5,370.00	
	NET +5 AVG BGHT 6559.90				**-6,762.50**	**0.00**
29AUG17	S 1 PD29NOV17	6806.50	6508.80	USD	7,442.50	
29AUG17	S 1 PD29NOV17	6802.00	6508.80	USD	7,330.00	
29AUG17	S 3 PD29NOV17	6812.00	6508.80	USD	22,740.00	
	NET -5 AVG SOLD 6808.90				**37,512.50**	**0.00**
31AUG17	S 1 PD30NOV17	6808.00	6509.40	USD	7,465.00	
31AUG17	S 1 PD30NOV17	6801.00	6509.40	USD	7,290.00	
31AUG17	S 1 PD30NOV17	6800.00	6509.40	USD	7,265.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0118B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
31AUG17	B 2 PD30NOV17	6841.50	6509.40	USD	-16,605.00	
31AUG17	S 1 PD30NOV17	6794.50	6509.40	USD	7,127.50	
31AUG17	S 1 PD30NOV17	6793.50	6509.40	USD	7,102.50	
31AUG17	S 1 PD30NOV17	6786.00	6509.40	USD	6,915.00	
31AUG17	S 1 PD30NOV17	6783.50	6509.40	USD	6,852.50	
	NET -5 AVG BGHT 6841.50 SOLD 6795.21				**33,412.50**	**0.00**
01SEP17	S 1 PD01DEC17	6806.00	6510.00	USD	7,400.00	
01SEP17	S 1 PD01DEC17	6816.50	6510.00	USD	7,662.50	
01SEP17	S 1 PD01DEC17	6811.00	6510.00	USD	7,525.00	
	NET -3 AVG SOLD 6811.17				**22,587.50**	**0.00**
06SEP17	S 5 PD06DEC17	6910.50	6512.14	USD	49,795.00	
06SEP17	S 2 PD06DEC17	6914.50	6512.14	USD	20,118.00	
06SEP17	S 3 PD06DEC17	6911.00	6512.14	USD	29,914.50	
	NET -10 AVG SOLD 6911.45				**99,827.50**	**0.00**
08SEP17	S 5 PD08DEC17	6807.00	6513.00	USD	36,750.00	
08SEP17	S 1 PD08DEC17	6782.50	6513.00	USD	6,737.50	
08SEP17	S 4 PD08DEC17	6744.00	6513.00	USD	23,100.00	
08SEP17	S 2 PD08DEC17	6720.50	6513.00	USD	10,375.00	
08SEP17	S 3 PD08DEC17	6720.00	6513.00	USD	15,525.00	
02OCT17	B 6 PD08DEC17	6485.45	6513.00	USD	4,132.50	
	NET -9 AVG BGHT 6485.45 SOLD 6759.63				**96,620.00**	**0.00**
11SEP17	S 2 PD11DEC17	6696.50	6514.19	USD	9,115.50	
	NET -2 AVG SOLD 6696.50				**9,115.50**	**0.00**

OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	545,630.75

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards		Amount	Profit / Loss	**Total Amount**	**Total P&L**
COPL - Futures	USD	529,486.00	529,486.00	**529,486.00**	**529,486.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0118B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-530,042.70	-530,042.70
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**-530,042.70**	**-530,042.70**
Initial Margins		0.00	0.00
Excess/(Shortage)		**-530,042.70**	**-530,042.70**
FOR INFORMATION ONLY			
Forward Unrealised P&L		529,486.00	529,486.00
Net Liquidating Value		**-556.70**	**-556.70**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0118D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT							
10JUL17	S	2	PD10OCT17	5806.50	6475.10	USD	-33,430.00	
10JUL17	S	2	PD10OCT17	5809.00	6475.10	USD	-33,305.00	
10JUL17	S	1	PD10OCT17	5807.50	6475.10	USD	-16,690.00	
11SEP17	B	5	PD10OCT17	6721.01	6475.10	USD	-30,738.75	
	NET	**0**	**AVG BGHT 6721.01 SOLD 5807.70**				**-114,163.75**	**0.00**
14JUL17	S	1	PD13OCT17	5882.50	6478.90	USD	-14,910.00	
14JUL17	S	4	PD13OCT17	5881.50	6478.90	USD	-59,740.00	
19SEP17	B	5	PD13OCT17	6506.45	6478.90	USD	-3,443.75	
	NET	**0**	**AVG BGHT 6506.45 SOLD 5881.70**				**-78,093.75**	**0.00**
17JUL17	S	1	PD17OCT17	5984.00	6483.98	USD	-12,499.50	
	NET	**-1**	**AVG SOLD 5984.00**				**-12,499.50**	**0.00**
25JUL17	B	1	PD25OCT17	6224.00	6490.29	USD	6,657.25	
25JUL17	B	3	PD25OCT17	6246.50	6490.29	USD	18,284.25	
25JUL17	B	2	PD25OCT17	6259.50	6490.29	USD	11,539.50	
25JUL17	B	1	PD25OCT17	6249.00	6490.29	USD	6,032.25	
25JUL17	B	2	PD25OCT17	6248.00	6490.29	USD	12,114.50	
25JUL17	B	2	PD25OCT17	6265.00	6490.29	USD	11,264.50	
	NET	**+11**	**AVG BGHT 6250.68**				**65,892.25**	**0.00**
27JUL17	S	2	PD27OCT17	6331.00	6491.36	USD	-8,018.00	
27JUL17	S	1	PD27OCT17	6332.00	6491.36	USD	-3,984.00	
27JUL17	S	2	PD27OCT17	6333.00	6491.36	USD	-7,918.00	
28JUL17	S	2	PD27OCT17	6325.00	6491.36	USD	-8,318.00	
28JUL17	S	4	PD27OCT17	6326.00	6491.36	USD	-16,536.00	
28JUL17	S	1	PD27OCT17	6327.00	6491.36	USD	-4,109.00	
28JUL17	S	5	PD27OCT17	6329.00	6491.36	USD	-20,295.00	
19SEP17	B	15	PD27OCT17	6514.02	6491.36	USD	-8,497.50	
	NET	**-2**	**AVG BGHT 6514.02 SOLD 6328.59**				**-77,675.50**	**0.00**
31JUL17	S	1	PD31OCT17	6382.50	6493.50	USD	-2,775.00	
31JUL17	S	1	PD31OCT17	6383.00	6493.50	USD	-2,762.50	
31JUL17	S	1	PD31OCT17	6385.50	6493.50	USD	-2,700.00	
31JUL17	S	1	PD31OCT17	6406.00	6493.50	USD	-2,187.50	
31JUL17	S	1	PD31OCT17	6406.50	6493.50	USD	-2,175.00	
31JUL17	S	1	PD31OCT17	6408.50	6493.50	USD	-2,125.00	
31JUL17	S	1	PD31OCT17	6409.50	6493.50	USD	-2,100.00	
31JUL17	S	1	PD31OCT17	6411.00	6493.50	USD	-2,062.50	
	NET	**-8**	**AVG SOLD 6399.06**				**-18,887.50**	**0.00**
24AUG17	B	2	PD24NOV17	6607.00	6505.80	USD	-5,060.00	
24AUG17	B	1	PD24NOV17	6608.50	6505.80	USD	-2,567.50	
24AUG17	B	1	PD24NOV17	6609.50	6505.80	USD	-2,592.50	
24AUG17	B	1	PD24NOV17	6611.00	6505.80	USD	-2,630.00	
	NET	**+5**	**AVG BGHT 6608.60**				**-12,850.00**	**0.00**
05SEP17	B	1	PD05DEC17	6935.00	6511.71	USD	-10,582.25	
05SEP17	B	1	PD05DEC17	6936.50	6511.71	USD	-10,619.75	
05SEP17	B	1	PD05DEC17	6937.50	6511.71	USD	-10,644.75	
05SEP17	B	1	PD05DEC17	6939.50	6511.71	USD	-10,694.75	
05SEP17	B	1	PD05DEC17	6940.50	6511.71	USD	-10,719.75	
	NET	**+5**	**AVG BGHT 6937.80**				**-53,261.25**	**0.00**
08SEP17	S	1	PD08DEC17	6940.50	6513.00	USD	10,687.50	
08SEP17	S	1	PD08DEC17	6941.00	6513.00	USD	10,700.00	
08SEP17	S	3	PD08DEC17	6942.00	6513.00	USD	32,175.00	
08SEP17	S	4	PD08DEC17	6801.00	6513.00	USD	28,800.00	
08SEP17	S	1	PD08DEC17	6800.00	6513.00	USD	7,175.00	
	NET	**-10**	**AVG SOLD 6871.15**				**89,537.50**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0118D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)

OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	-210,402.50

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards		Amount	Profit / Loss	**Total Amount**	**Total P&L**
COPL - Futures	USD	-212,001.50	-212,001.50	**-212,001.50**	**-212,001.50**

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		211,947.62	211,947.62
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**211,947.62**	**211,947.62**
Initial Margins		-217,872.50	-217,872.50
Excess/(Shortage)		**-5,924.88**	**-5,924.88**
FOR INFORMATION ONLY			
Forward Unrealised P&L		-212,001.50	-212,001.50
Net Liquidating Value		**-53.88**	**-53.88**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0118E

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
04JUL17	S 2 PD04OCT17	5885.50	6467.48	USD	-29,099.00	
04JUL17	S 1 PD04OCT17	5887.50	6467.48	USD	-14,499.50	
04JUL17	S 1 PD04OCT17	5888.50	6467.48	USD	-14,474.50	
04JUL17	S 1 PD04OCT17	5889.50	6467.48	USD	-14,449.50	
04JUL17	B 1 PD04OCT17	5897.00	6467.48	USD	14,262.00	
04JUL17	B 1 PD04OCT17	5898.00	6467.48	USD	14,237.00	
04JUL17	B 1 PD04OCT17	5902.00	6467.48	USD	14,137.00	
04JUL17	B 1 PD04OCT17	5893.00	6467.48	USD	14,362.00	
04JUL17	B 1 PD04OCT17	5895.00	6467.48	USD	14,312.00	
	NET 0 AVG BGHT 5897.00 SOLD 5887.30				**-1,212.50**	**0.00**
05JUL17	S 1 PD05OCT17	5862.00	6468.75	USD	-15,168.75	
05JUL17	S 1 PD05OCT17	5860.00	6468.75	USD	-15,218.75	
05JUL17	S 1 PD05OCT17	5863.00	6468.75	USD	-15,143.75	
05JUL17	S 1 PD05OCT17	5838.50	6468.75	USD	-15,756.25	
05JUL17	S 1 PD05OCT17	5835.50	6468.75	USD	-15,831.25	
20SEP17	B 5 PD05OCT17	6494.40	6468.75	USD	-3,206.25	
	NET 0 AVG BGHT 6494.40 SOLD 5851.80				**-80,325.00**	**0.00**
11JUL17	S 1 PD11OCT17	5850.00	6476.37	USD	-15,659.25	
11JUL17	S 1 PD11OCT17	5850.50	6476.37	USD	-15,646.75	
11JUL17	S 1 PD11OCT17	5853.00	6476.37	USD	-15,584.25	
11JUL17	S 1 PD11OCT17	5854.00	6476.37	USD	-15,559.25	
11JUL17	S 1 PD11OCT17	5863.00	6476.37	USD	-15,334.25	
20SEP17	B 5 PD11OCT17	6497.08	6476.37	USD	-2,588.75	
	NET 0 AVG BGHT 6497.08 SOLD 5854.10				**-80,372.50**	**0.00**
12JUL17	B 1 PD12OCT17	5920.50	6477.63	USD	13,928.25	
12JUL17	B 2 PD12OCT17	5917.00	6477.63	USD	28,031.50	
12JUL17	B 2 PD12OCT17	5918.00	6477.63	USD	27,981.50	
	NET +5 AVG BGHT 5918.10				**69,941.25**	**0.00**
13JUL17	S 3 PD13OCT17	5875.00	6478.90	USD	-45,292.50	
13JUL17	S 1 PD13OCT17	5872.00	6478.90	USD	-15,172.50	
13JUL17	S 1 PD13OCT17	5873.00	6478.90	USD	-15,147.50	
26SEP17	B 5 PD13OCT17	6366.62	6478.90	USD	14,035.00	
	NET 0 AVG BGHT 6366.62 SOLD 5874.00				**-61,577.50**	**0.00**
17JUL17	B 1 PD17OCT17	6001.00	6483.98	USD	12,074.50	
17JUL17	B 1 PD17OCT17	6016.00	6483.98	USD	11,699.50	
17JUL17	B 1 PD17OCT17	6018.00	6483.98	USD	11,649.50	
17JUL17	B 1 PD17OCT17	6018.50	6483.98	USD	11,637.00	
17JUL17	B 1 PD17OCT17	6019.50	6483.98	USD	11,612.00	
	NET +5 AVG BGHT 6014.60				**58,672.50**	**0.00**
18JUL17	B 1 PD18OCT17	6001.00	6485.25	USD	12,106.25	
18JUL17	B 1 PD18OCT17	6003.50	6485.25	USD	12,043.75	
18JUL17	B 1 PD18OCT17	6011.00	6485.25	USD	11,856.25	
18JUL17	S 1 PD18OCT17	5985.50	6485.25	USD	-12,493.75	
18JUL17	S 2 PD18OCT17	5986.00	6485.25	USD	-24,962.50	
	NET 0 AVG BGHT 6005.17 SOLD 5985.83				**-1,450.00**	**0.00**
19JUL17	S 1 PD19OCT17	5974.00	6486.00	USD	-12,800.00	
19JUL17	S 1 PD19OCT17	5966.50	6486.00	USD	-12,987.50	
	NET -2 AVG SOLD 5970.25				**-25,787.50**	**0.00**
20JUL17	S 1 PD20OCT17	5970.00	6486.75	USD	-12,918.75	
20JUL17	S 2 PD20OCT17	5973.50	6486.75	USD	-25,662.50	
20JUL17	B 1 PD20OCT17	5975.50	6486.75	USD	12,781.25	
20JUL17	B 1 PD20OCT17	5976.00	6486.75	USD	12,768.75	
20JUL17	S 1 PD20OCT17	5960.00	6486.75	USD	-13,168.75	
20JUL17	S 1 PD20OCT17	5962.00	6486.75	USD	-13,118.75	
21JUL17	B 1 PD20OCT17	6002.00	6486.75	USD	12,118.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0118E

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
21JUL17	B 1 PD20OCT17	6003.00	6486.75	USD	12,093.75	
21JUL17	B 1 PD20OCT17	6003.50	6486.75	USD	12,081.25	
21JUL17	B 1 PD20OCT17	6005.00	6486.75	USD	12,043.75	
21JUL17	B 1 PD20OCT17	6008.50	6486.75	USD	11,956.25	
21JUL17	B 5 PD20OCT17	6035.00	6486.75	USD	56,468.75	
21JUL17	S 1 PD20OCT17	6042.50	6486.75	USD	-11,106.25	
21JUL17	B 1 PD20OCT17	6032.50	6486.75	USD	11,356.25	
21JUL17	S 1 PD20OCT17	5990.00	6486.75	USD	-12,418.75	
21JUL17	B 1 PD20OCT17	5995.00	6486.75	USD	12,293.75	
21JUL17	S 5 PD20OCT17	6000.00	6486.75	USD	-60,843.75	
21JUL17	S 1 PD20OCT17	5995.00	6486.75	USD	-12,293.75	
21JUL17	S 1 PD20OCT17	5994.50	6486.75	USD	-12,306.25	
21JUL17	S 1 PD20OCT17	5999.50	6486.75	USD	-12,181.25	
21JUL17	S 2 PD20OCT17	5997.50	6486.75	USD	-24,462.50	
	NET -3 AVG BGHT 6012.57 SOLD 5991.50				**-44,518.75**	**0.00**
24JUL17	S 1 PD24OCT17	6019.00	6489.75	USD	-11,768.75	
24JUL17	B 1 PD24OCT17	6025.00	6489.75	USD	11,618.75	
24JUL17	S 1 PD24OCT17	6016.00	6489.75	USD	-11,843.75	
24JUL17	B 1 PD24OCT17	6017.00	6489.75	USD	11,818.75	
	NET 0 AVG BGHT 6021.00 SOLD 6017.50				**-175.00**	**0.00**
25JUL17	B 2 PD25OCT17	6125.50	6490.29	USD	18,239.50	
25JUL17	B 3 PD25OCT17	6148.00	6490.29	USD	25,671.75	
25JUL17	B 1 PD25OCT17	6160.50	6490.29	USD	8,244.75	
25JUL17	S 1 PD25OCT17	6172.50	6490.29	USD	-7,944.75	
25JUL17	S 1 PD25OCT17	6184.50	6490.29	USD	-7,644.75	
25JUL17	S 1 PD25OCT17	6173.50	6490.29	USD	-7,919.75	
25JUL17	S 1 PD25OCT17	6194.00	6490.29	USD	-7,407.25	
25JUL17	B 1 PD25OCT17	6215.50	6490.29	USD	6,869.75	
25JUL17	B 1 PD25OCT17	6212.00	6490.29	USD	6,957.25	
25JUL17	B 2 PD25OCT17	6213.00	6490.29	USD	13,864.50	
25JUL17	B 3 PD25OCT17	6215.00	6490.29	USD	20,646.75	
25JUL17	B 1 PD25OCT17	6224.00	6490.29	USD	6,657.25	
	NET +10 AVG BGHT 6184.14 SOLD 6181.13				**76,235.00**	**0.00**
26JUL17	B 1 PD26OCT17	6300.00	6490.82	USD	4,770.50	
26JUL17	B 1 PD26OCT17	6350.50	6490.82	USD	3,508.00	
26JUL17	S 2 PD26OCT17	6300.00	6490.82	USD	-9,541.00	
26JUL17	S 1 PD26OCT17	6300.50	6490.82	USD	-4,758.00	
26JUL17	S 1 PD26OCT17	6348.50	6490.82	USD	-3,558.00	
26JUL17	B 1 PD26OCT17	6359.50	6490.82	USD	3,283.00	
26JUL17	S 1 PD26OCT17	6333.50	6490.82	USD	-3,933.00	
26JUL17	S 1 PD26OCT17	6338.00	6490.82	USD	-3,820.50	
26JUL17	S 1 PD26OCT17	6349.00	6490.82	USD	-3,545.50	
26JUL17	S 1 PD26OCT17	6350.00	6490.82	USD	-3,520.50	
26JUL17	B 1 PD26OCT17	6306.00	6490.82	USD	4,620.50	
26JUL17	B 4 PD26OCT17	6303.00	6490.82	USD	18,782.00	
	NET 0 AVG BGHT 6316.00 SOLD 6327.44				**2,287.50**	**0.00**
27JUL17	S 1 PD27OCT17	6331.50	6491.36	USD	-3,996.50	
27JUL17	S 2 PD27OCT17	6332.50	6491.36	USD	-7,943.00	
27JUL17	S 1 PD27OCT17	6338.50	6491.36	USD	-3,821.50	
27JUL17	S 1 PD27OCT17	6339.00	6491.36	USD	-3,809.00	
26SEP17	B 5 PD27OCT17	6377.45	6491.36	USD	14,238.75	
	NET 0 AVG BGHT 6377.45 SOLD 6334.80				**-5,331.25**	**0.00**
31JUL17	S 2 PD31OCT17	6397.00	6493.50	USD	-4,825.00	
31JUL17	S 6 PD31OCT17	6399.00	6493.50	USD	-14,175.00	
31JUL17	S 3 PD31OCT17	6400.00	6493.50	USD	-7,012.50	
31JUL17	S 3 PD31OCT17	6400.50	6493.50	USD	-6,975.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0118E

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
31JUL17	S 1 PD31OCT17	6401.00	6493.50	USD	-2,312.50	
	NET -15 AVG SOLD 6399.37				**-35,300.00**	**0.00**
01AUG17	B 1 PD01NOV17	6378.50	6494.09	USD	2,889.75	
01AUG17	S 1 PD01NOV17	6352.50	6494.09	USD	-3,539.75	
01AUG17	B 1 PD01NOV17	6354.00	6494.09	USD	3,502.25	
01AUG17	B 1 PD01NOV17	6353.50	6494.09	USD	3,514.75	
01AUG17	S 1 PD01NOV17	6342.00	6494.09	USD	-3,802.25	
01AUG17	S 1 PD01NOV17	6337.00	6494.09	USD	-3,927.25	
	NET 0 AVG BGHT 6362.00 SOLD 6343.83				**-1,362.50**	**0.00**
02AUG17	B 5 PD02NOV17	6357.00	6494.69	USD	17,211.25	
	NET +5 AVG BGHT 6357.00				**17,211.25**	**0.00**
03AUG17	S 5 PD03NOV17	6330.00	6495.28	USD	-20,660.00	
	NET -5 AVG SOLD 6330.00				**-20,660.00**	**0.00**
08AUG17	B 1 PD08NOV17	6424.00	6498.25	USD	1,856.25	
08AUG17	B 4 PD08NOV17	6426.00	6498.25	USD	7,225.00	
	NET +5 AVG BGHT 6425.60				**9,081.25**	**0.00**
31AUG17	S 2 PD30NOV17	6788.00	6509.40	USD	13,930.00	
31AUG17	S 1 PD30NOV17	6789.00	6509.40	USD	6,990.00	
31AUG17	S 1 PD30NOV17	6791.00	6509.40	USD	7,040.00	
31AUG17	S 1 PD30NOV17	6785.00	6509.40	USD	6,890.00	
	NET -5 AVG SOLD 6788.20				**34,850.00**	**0.00**
04SEP17	B 1 PD04DEC17	6920.50	6511.29	USD	-10,230.25	
04SEP17	B 4 PD04DEC17	6921.00	6511.29	USD	-40,971.00	
	NET +5 AVG BGHT 6920.90				**-51,201.25**	**0.00**
08SEP17	S 1 PD08DEC17	6825.00	6513.00	USD	7,800.00	
08SEP17	S 1 PD08DEC17	6830.00	6513.00	USD	7,925.00	
08SEP17	S 1 PD08DEC17	6832.00	6513.00	USD	7,975.00	
08SEP17	S 2 PD08DEC17	6823.00	6513.00	USD	15,500.00	
	NET -5 AVG SOLD 6826.60				**39,200.00**	**0.00**

OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	-97,018.75

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards		Amount	Profit / Loss	**Total Amount**	**Total P&L**
COPL - Futures	USD	-101,795.00	-101,795.00	**-101,795.00**	**-101,795.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0118E

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		95,914.01	95,914.01
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**95,914.01**	**95,914.01**
Initial Margins		-112,406.00	-112,406.00
Excess/(Shortage)		**-16,491.99**	**-16,491.99**
FOR INFORMATION ONLY			
Forward Unrealised P&L		-101,795.00	-101,795.00
Net Liquidating Value		**-5,880.99**	**-5,880.99**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0118F

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-995.77	-995.77
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**-995.77**	**-995.77**
Initial Margins		0.00	0.00
Excess/(Shortage)		**-995.77**	**-995.77**
FOR INFORMATION ONLY			
Net Liquidating Value		**-995.77**	**-995.77**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0118G

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
10JUL17	S 1 PD10OCT17	5801.00	6475.10	USD	-16,852.50	
10JUL17	S 2 PD10OCT17	5803.50	6475.10	USD	-33,580.00	
10JUL17	S 2 PD10OCT17	5805.00	6475.10	USD	-33,505.00	
18SEP17	B 5 PD10OCT17	6491.47	6475.10	USD	-2,046.25	
	NET 0 AVG BGHT 6491.47 SOLD 5803.60				**-85,983.75**	**0.00**
14JUL17	S 1 PD13OCT17	5879.50	6478.90	USD	-14,985.00	
14JUL17	S 1 PD13OCT17	5880.00	6478.90	USD	-14,972.50	
14JUL17	S 2 PD13OCT17	5880.50	6478.90	USD	-29,920.00	
14JUL17	S 1 PD13OCT17	5883.50	6478.90	USD	-14,885.00	
18SEP17	B 5 PD13OCT17	6493.95	6478.90	USD	-1,881.25	
	NET 0 AVG BGHT 6493.95 SOLD 5880.80				**-76,643.75**	**0.00**
17JUL17	S 5 PD17OCT17	5980.00	6483.98	USD	-62,997.50	
20SEP17	B 5 PD17OCT17	6499.75	6483.98	USD	-1,971.25	
	NET 0 AVG BGHT 6499.75 SOLD 5980.00				**-64,968.75**	**0.00**
25JUL17	B 4 PD25OCT17	6223.00	6490.29	USD	26,729.00	
25JUL17	B 3 PD25OCT17	6224.50	6490.29	USD	19,934.25	
25JUL17	B 3 PD25OCT17	6224.00	6490.29	USD	19,971.75	
20SEP17	S 10 PD25OCT17	6503.79	6490.29	USD	3,375.00	
	NET 0 AVG BGHT 6223.75 SOLD 6503.79				**70,010.00**	**0.00**
28JUL17	S 1 PD27OCT17	6319.00	6491.36	USD	-4,309.00	
28JUL17	S 1 PD27OCT17	6320.00	6491.36	USD	-4,284.00	
28JUL17	S 3 PD27OCT17	6324.00	6491.36	USD	-12,552.00	
28JUL17	S 1 PD27OCT17	6329.00	6491.36	USD	-4,059.00	
28JUL17	S 3 PD27OCT17	6330.00	6491.36	USD	-12,102.00	
28JUL17	S 1 PD27OCT17	6330.50	6491.36	USD	-4,021.50	
20SEP17	B 10 PD27OCT17	6504.56	6491.36	USD	-3,300.00	
	NET 0 AVG BGHT 6504.56 SOLD 6326.05				**-44,627.50**	**0.00**
31JUL17	S 3 PD31OCT17	6394.00	6493.50	USD	-7,462.50	
31JUL17	S 2 PD31OCT17	6394.50	6493.50	USD	-4,950.00	
20SEP17	B 5 PD31OCT17	6505.70	6493.50	USD	-1,525.00	
	NET 0 AVG BGHT 6505.70 SOLD 6394.20				**-13,937.50**	**0.00**
04SEP17	B 4 PD04DEC17	6911.50	6511.29	USD	-40,021.00	
04SEP17	B 1 PD04DEC17	6916.50	6511.29	USD	-10,130.25	
	NET +5 AVG BGHT 6912.50				**-50,151.25**	**0.00**
05SEP17	B 2 PD05DEC17	6938.00	6511.71	USD	-21,314.50	
05SEP17	B 1 PD05DEC17	6937.00	6511.71	USD	-10,632.25	
05SEP17	B 1 PD05DEC17	6938.50	6511.71	USD	-10,669.75	
05SEP17	B 1 PD05DEC17	6940.00	6511.71	USD	-10,707.25	
	NET +5 AVG BGHT 6938.30				**-53,323.75**	**0.00**
08SEP17	S 1 PD08DEC17	6928.50	6513.00	USD	10,387.50	
08SEP17	S 1 PD08DEC17	6929.50	6513.00	USD	10,412.50	
08SEP17	S 1 PD08DEC17	6936.00	6513.00	USD	10,575.00	
08SEP17	S 2 PD08DEC17	6936.50	6513.00	USD	21,175.00	
08SEP17	S 1 PD08DEC17	6797.00	6513.00	USD	7,100.00	
08SEP17	S 1 PD08DEC17	6805.00	6513.00	USD	7,300.00	
08SEP17	S 1 PD08DEC17	6803.50	6513.00	USD	7,262.50	
08SEP17	S 1 PD08DEC17	6804.00	6513.00	USD	7,275.00	
08SEP17	S 2 PD08DEC17	6801.50	6513.00	USD	14,425.00	
08SEP17	S 2 PD08DEC17	6801.00	6513.00	USD	14,400.00	
08SEP17	S 1 PD08DEC17	6802.00	6513.00	USD	7,225.00	
08SEP17	S 1 PD08DEC17	6788.00	6513.00	USD	6,875.00	
	NET -15 AVG SOLD 6844.77				**124,412.50**	**0.00**
12SEP17	B 2 PD12DEC17	6667.00	6514.58	USD	-7,621.00	
12SEP17	B 1 PD12DEC17	6668.00	6514.58	USD	-3,835.50	
12SEP17	B 2 PD12DEC17	6667.50	6514.58	USD	-7,646.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0118G

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
	NET +5 AVG BGHT 6667.40				**-19,102.50**	**0.00**

OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	-214,138.75

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards		Amount	Profit / Loss	**Total Amount**	**Total P&L**
COPL - Futures	USD	-214,316.25	-214,316.25	**-214,316.25**	**-214,316.25**

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		210,528.57	210,528.57
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**210,528.57**	**210,528.57**
Initial Margins		-216,941.25	-216,941.25
Excess/(Shortage)		**-6,412.68**	**-6,412.68**
FOR INFORMATION ONLY			
Forward Unrealised P&L		-214,316.25	-214,316.25
Net Liquidating Value		**-3,787.68**	**-3,787.68**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0118I

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT							
04JUL17	B	1	PD04OCT17	5882.50	6467.48	USD	14,624.50	
04JUL17	B	2	PD04OCT17	5881.50	6467.48	USD	29,299.00	
04JUL17	B	1	PD04OCT17	5880.50	6467.48	USD	14,674.50	
04JUL17	B	1	PD04OCT17	5885.00	6467.48	USD	14,562.00	
04JUL17	B	1	PD04OCT17	5891.50	6467.48	USD	14,399.50	
02OCT17	S	6	PD04OCT17	6446.25	6467.48	USD	-3,184.50	
	NET	**0**	**AVG BGHT 5883.75**	**SOLD 6446.25**			**84,375.00**	**0.00**
14JUL17	S	1	PD13OCT17	5878.00	6478.90	USD	-15,022.50	
14JUL17	B	2	PD13OCT17	5883.00	6478.90	USD	29,795.00	
14JUL17	B	1	PD13OCT17	5883.50	6478.90	USD	14,885.00	
14JUL17	B	2	PD13OCT17	5882.00	6478.90	USD	29,845.00	
14JUL17	B	1	PD13OCT17	5909.00	6478.90	USD	14,247.50	
14JUL17	B	1	PD13OCT17	5908.50	6478.90	USD	14,260.00	
14JUL17	B	1	PD13OCT17	5906.50	6478.90	USD	14,310.00	
14JUL17	B	1	PD13OCT17	5906.00	6478.90	USD	14,322.50	
14JUL17	B	1	PD13OCT17	5901.50	6478.90	USD	14,435.00	
	NET	**+9**	**AVG BGHT 5894.50**	**SOLD 5878.00**			**131,077.50**	**0.00**
25JUL17	B	1	PD25OCT17	6267.50	6490.29	USD	5,569.75	
25JUL17	B	3	PD25OCT17	6266.50	6490.29	USD	16,784.25	
25JUL17	B	1	PD25OCT17	6266.50	6490.29	USD	5,594.75	
	NET	**+5**	**AVG BGHT 6266.70**				**27,948.75**	**0.00**
26JUL17	S	1	PD26OCT17	6326.00	6490.82	USD	-4,120.50	
26JUL17	S	1	PD26OCT17	6340.50	6490.82	USD	-3,758.00	
26JUL17	S	1	PD26OCT17	6344.00	6490.82	USD	-3,670.50	
26JUL17	S	1	PD26OCT17	6378.00	6490.82	USD	-2,820.50	
26JUL17	S	1	PD26OCT17	6381.00	6490.82	USD	-2,745.50	
26JUL17	B	2	PD26OCT17	6275.00	6490.82	USD	10,791.00	
26JUL17	B	1	PD26OCT17	6274.00	6490.82	USD	5,420.50	
26JUL17	B	2	PD26OCT17	6269.50	6490.82	USD	11,066.00	
26JUL17	B	3	PD26OCT17	6312.00	6490.82	USD	13,411.50	
26JUL17	B	2	PD26OCT17	6312.50	6490.82	USD	8,916.00	
26JUL17	S	1	PD26OCT17	6310.00	6490.82	USD	-4,520.50	
26JUL17	S	1	PD26OCT17	6311.00	6490.82	USD	-4,495.50	
26JUL17	S	1	PD26OCT17	6320.50	6490.82	USD	-4,258.00	
26JUL17	S	1	PD26OCT17	6312.00	6490.82	USD	-4,470.50	
26JUL17	S	1	PD26OCT17	6313.00	6490.82	USD	-4,445.50	
26JUL17	S	1	PD26OCT17	6331.00	6490.82	USD	-3,995.50	
26JUL17	S	1	PD26OCT17	6341.00	6490.82	USD	-3,745.50	
26JUL17	S	1	PD26OCT17	6342.00	6490.82	USD	-3,720.50	
26JUL17	S	2	PD26OCT17	6323.50	6490.82	USD	-8,366.00	
20SEP17	B	5	PD26OCT17	6504.27	6490.82	USD	-1,681.25	
	NET	**0**	**AVG BGHT 6363.02**	**SOLD 6333.13**			**-11,208.75**	**0.00**
27JUL17	S	1	PD27OCT17	6355.00	6491.36	USD	-3,409.00	
27JUL17	B	1	PD27OCT17	6322.00	6491.36	USD	4,234.00	
	NET	**0**	**AVG BGHT 6322.00**	**SOLD 6355.00**			**825.00**	**0.00**
31JUL17	S	1	PD31OCT17	6344.50	6493.50	USD	-3,725.00	
31JUL17	S	3	PD31OCT17	6345.50	6493.50	USD	-11,100.00	
31JUL17	S	1	PD31OCT17	6346.50	6493.50	USD	-3,675.00	
20SEP17	B	4	PD31OCT17	6505.70	6493.50	USD	-1,220.00	
26SEP17	B	1	PD31OCT17	6380.45	6493.50	USD	2,826.25	
	NET	**0**	**AVG BGHT 6480.65**	**SOLD 6345.50**			**-16,893.75**	**0.00**
02AUG17	B	3	PD02NOV17	6338.00	6494.69	USD	11,751.75	
02AUG17	B	2	PD02NOV17	6338.50	6494.69	USD	7,809.50	
02AUG17	S	1	PD02NOV17	6341.00	6494.69	USD	-3,842.25	
02AUG17	S	1	PD02NOV17	6335.50	6494.69	USD	-3,979.75	
02AUG17	S	1	PD02NOV17	6339.00	6494.69	USD	-3,892.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0118I

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
02AUG17	S 1 PD02NOV17	6331.00	6494.69	USD	-4,092.25	
02AUG17	S 1 PD02NOV17	6330.00	6494.69	USD	-4,117.25	
02AUG17	S 1 PD02NOV17	6330.50	6494.69	USD	-4,104.75	
02AUG17	S 2 PD02NOV17	6327.00	6494.69	USD	-8,384.50	
02AUG17	S 1 PD02NOV17	6319.50	6494.69	USD	-4,379.75	
02AUG17	S 1 PD02NOV17	6313.00	6494.69	USD	-4,542.25	
02AUG17	S 1 PD02NOV17	6340.50	6494.69	USD	-3,854.75	
02AUG17	S 1 PD02NOV17	6342.00	6494.69	USD	-3,817.25	
02AUG17	B 2 PD02NOV17	6335.00	6494.69	USD	7,984.50	
02AUG17	B 3 PD02NOV17	6331.00	6494.69	USD	12,276.75	
02AUG17	B 1 PD02NOV17	6333.50	6494.69	USD	4,029.75	
02AUG17	B 1 PD02NOV17	6334.50	6494.69	USD	4,004.75	
	NET 0 AVG BGHT 6335.17 SOLD 6331.33				**-1,150.00**	**0.00**
03AUG17	S 1 PD03NOV17	6314.00	6495.28	USD	-4,532.00	
03AUG17	S 2 PD03NOV17	6318.50	6495.28	USD	-8,839.00	
03AUG17	S 2 PD03NOV17	6319.50	6495.28	USD	-8,789.00	
04AUG17	S 1 PD03NOV17	6326.50	6495.28	USD	-4,219.50	
04AUG17	S 4 PD03NOV17	6321.00	6495.28	USD	-17,428.00	
26SEP17	B 10 PD03NOV17	6382.70	6495.28	USD	28,145.00	
	NET 0 AVG BGHT 6382.70 SOLD 6320.05				**-15,662.50**	**0.00**
07AUG17	S 1 PD07NOV17	6349.00	6497.66	USD	-3,716.50	
07AUG17	S 1 PD07NOV17	6352.50	6497.66	USD	-3,629.00	
07AUG17	B 1 PD07NOV17	6387.50	6497.66	USD	2,754.00	
07AUG17	B 1 PD07NOV17	6386.50	6497.66	USD	2,779.00	
07AUG17	B 3 PD07NOV17	6385.50	6497.66	USD	8,412.00	
07AUG17	B 1 PD07NOV17	6397.50	6497.66	USD	2,504.00	
07AUG17	B 1 PD07NOV17	6439.50	6497.66	USD	1,454.00	
07AUG17	B 1 PD07NOV17	6434.50	6497.66	USD	1,579.00	
07AUG17	B 2 PD07NOV17	6425.00	6497.66	USD	3,633.00	
	NET +8 AVG BGHT 6405.20 SOLD 6350.75				**15,769.50**	**0.00**
11AUG17	S 2 PD10NOV17	6365.50	6499.18	USD	-6,684.00	
11AUG17	S 1 PD10NOV17	6366.50	6499.18	USD	-3,317.00	
11AUG17	S 3 PD10NOV17	6363.50	6499.18	USD	-10,176.00	
11AUG17	S 2 PD10NOV17	6364.50	6499.18	USD	-6,734.00	
11AUG17	S 1 PD10NOV17	6361.50	6499.18	USD	-3,442.00	
11AUG17	S 1 PD10NOV17	6362.50	6499.18	USD	-3,417.00	
11AUG17	S 1 PD10NOV17	6378.00	6499.18	USD	-3,029.50	
11AUG17	S 1 PD10NOV17	6410.00	6499.18	USD	-2,229.50	
11AUG17	S 1 PD10NOV17	6431.00	6499.18	USD	-1,704.50	
02OCT17	B 6 PD10NOV17	6473.91	6499.18	USD	3,790.50	
	NET -7 AVG BGHT 6473.91 SOLD 6373.85				**-36,943.00**	**0.00**
14AUG17	B 3 PD14NOV17	6398.00	6501.04	USD	7,728.00	
14AUG17	B 1 PD14NOV17	6400.00	6501.04	USD	2,526.00	
14AUG17	B 1 PD14NOV17	6399.00	6501.04	USD	2,551.00	
14AUG17	B 1 PD14NOV17	6401.50	6501.04	USD	2,488.50	
14AUG17	B 1 PD14NOV17	6406.00	6501.04	USD	2,376.00	
14AUG17	B 3 PD14NOV17	6405.00	6501.04	USD	7,203.00	
	NET +10 AVG BGHT 6401.55				**24,872.50**	**0.00**
23AUG17	B 5 PD24NOV17	6558.50	6505.80	USD	-6,587.50	
	NET +5 AVG BGHT 6558.50				**-6,587.50**	**0.00**
29AUG17	S 1 PD29NOV17	6806.50	6508.80	USD	7,442.50	
29AUG17	S 3 PD29NOV17	6812.00	6508.80	USD	22,740.00	
29AUG17	S 1 PD29NOV17	6802.00	6508.80	USD	7,330.00	
	NET -5 AVG SOLD 6808.90				**37,512.50**	**0.00**
31AUG17	S 1 PD30NOV17	6808.00	6509.40	USD	7,465.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0118I

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
31AUG17	S 1 PD30NOV17	6801.00	6509.40	USD	7,290.00	
31AUG17	S 1 PD30NOV17	6800.00	6509.40	USD	7,265.00	
31AUG17	B 2 PD30NOV17	6841.50	6509.40	USD	-16,605.00	
31AUG17	S 1 PD30NOV17	6810.00	6509.40	USD	7,515.00	
31AUG17	S 1 PD30NOV17	6790.00	6509.40	USD	7,015.00	
31AUG17	S 1 PD30NOV17	6786.00	6509.40	USD	6,915.00	
31AUG17	S 1 PD30NOV17	6784.50	6509.40	USD	6,877.50	
31AUG17	S 1 PD30NOV17	6783.50	6509.40	USD	6,852.50	
	NET -6 AVG BGHT 6841.50 SOLD 6795.38				**40,590.00**	**0.00**
01SEP17	S 1 PD01DEC17	6806.50	6510.00	USD	7,412.50	
01SEP17	S 1 PD01DEC17	6816.50	6510.00	USD	7,662.50	
	NET -2 AVG SOLD 6811.50				**15,075.00**	**0.00**
04SEP17	B 3 PD04DEC17	6906.00	6511.29	USD	-29,603.25	
	NET +3 AVG BGHT 6906.00				**-29,603.25**	**0.00**
06SEP17	S 5 PD06DEC17	6911.00	6512.14	USD	49,857.50	
	NET -5 AVG SOLD 6911.00				**49,857.50**	**0.00**
08SEP17	S 1 PD08DEC17	6802.50	6513.00	USD	7,237.50	
08SEP17	S 1 PD08DEC17	6792.00	6513.00	USD	6,975.00	
08SEP17	S 1 PD08DEC17	6790.50	6513.00	USD	6,937.50	
08SEP17	S 1 PD08DEC17	6782.00	6513.00	USD	6,725.00	
08SEP17	S 1 PD08DEC17	6783.00	6513.00	USD	6,750.00	
08SEP17	S 1 PD08DEC17	6720.00	6513.00	USD	5,175.00	
08SEP17	S 2 PD08DEC17	6720.50	6513.00	USD	10,375.00	
	NET -8 AVG SOLD 6763.88				**50,175.00**	**0.00**
11SEP17	S 1 PD11DEC17	6698.00	6514.19	USD	4,595.25	
11SEP17	S 3 PD11DEC17	6697.00	6514.19	USD	13,710.75	
	NET -4 AVG SOLD 6697.25				**18,306.00**	**0.00**
12SEP17	S 1 PD12DEC17	6702.50	6514.58	USD	4,698.00	
12SEP17	S 2 PD12DEC17	6701.50	6514.58	USD	9,346.00	
	NET -3 AVG SOLD 6701.83				**14,044.00**	**0.00**

OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	406,473.50

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards		Amount	Profit / Loss	**Total Amount**	**Total P&L**
COPL - Futures	USD	392,379.50	392,379.50	**392,379.50**	**392,379.50**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0118I

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-393,604.46	-393,604.46
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**-393,604.46**	**-393,604.46**
Initial Margins		0.00	0.00
Excess/(Shortage)		**-393,604.46**	**-393,604.46**
FOR INFORMATION ONLY			
Forward Unrealised P&L		392,379.50	392,379.50
Net Liquidating Value		**-1,224.96**	**-1,224.96**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0118J

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
05JUL17	S 2 PD05OCT17	5831.00	6468.75	USD	-31,887.50	
05JUL17	S 3 PD05OCT17	5831.50	6468.75	USD	-47,793.75	
20SEP17	B 5 PD05OCT17	6494.40	6468.75	USD	-3,206.25	
	NET 0 AVG BGHT 6494.40 SOLD 5831.30				**-82,887.50**	**0.00**
10JUL17	S 3 PD10OCT17	5805.50	6475.10	USD	-50,220.00	
10JUL17	S 1 PD10OCT17	5806.00	6475.10	USD	-16,727.50	
10JUL17	S 1 PD10OCT17	5810.50	6475.10	USD	-16,615.00	
10JUL17	S 3 PD10OCT17	5807.00	6475.10	USD	-50,107.50	
10JUL17	S 1 PD10OCT17	5807.50	6475.10	USD	-16,690.00	
10JUL17	S 1 PD10OCT17	5808.00	6475.10	USD	-16,677.50	
18SEP17	B 10 PD10OCT17	6491.47	6475.10	USD	-4,092.50	
	NET 0 AVG BGHT 6491.47 SOLD 5806.95				**-171,130.00**	**0.00**
12JUL17	B 1 PD12OCT17	5918.50	6477.63	USD	13,978.25	
12JUL17	B 1 PD12OCT17	5919.50	6477.63	USD	13,953.25	
12JUL17	B 1 PD12OCT17	5921.00	6477.63	USD	13,915.75	
12JUL17	B 2 PD12OCT17	5921.50	6477.63	USD	27,806.50	
	NET +5 AVG BGHT 5920.40				**69,653.75**	**0.00**
13JUL17	S 2 PD13OCT17	5862.00	6478.90	USD	-30,845.00	
13JUL17	S 2 PD13OCT17	5864.00	6478.90	USD	-30,745.00	
13JUL17	S 1 PD13OCT17	5865.50	6478.90	USD	-15,335.00	
20SEP17	B 5 PD13OCT17	6497.97	6478.90	USD	-2,383.75	
	NET 0 AVG BGHT 6497.97 SOLD 5863.50				**-79,308.75**	**0.00**
17JUL17	B 2 PD17OCT17	6014.50	6483.98	USD	23,474.00	
17JUL17	B 2 PD17OCT17	6015.50	6483.98	USD	23,424.00	
17JUL17	B 3 PD17OCT17	6016.00	6483.98	USD	35,098.50	
17JUL17	B 1 PD17OCT17	6016.50	6483.98	USD	11,687.00	
17JUL17	B 2 PD17OCT17	6017.00	6483.98	USD	23,349.00	
	NET +10 AVG BGHT 6015.85				**117,032.50**	**0.00**
25JUL17	B 1 PD25OCT17	6209.50	6490.29	USD	7,019.75	
25JUL17	B 1 PD25OCT17	6210.50	6490.29	USD	6,994.75	
25JUL17	B 1 PD25OCT17	6212.00	6490.29	USD	6,957.25	
25JUL17	B 2 PD25OCT17	6211.00	6490.29	USD	13,964.50	
	NET +5 AVG BGHT 6210.80				**34,936.25**	**0.00**
26JUL17	B 2 PD26OCT17	6277.50	6490.82	USD	10,666.00	
26JUL17	B 3 PD26OCT17	6279.50	6490.82	USD	15,849.00	
	NET +5 AVG BGHT 6278.70				**26,515.00**	**0.00**
28JUL17	S 2 PD27OCT17	6322.50	6491.36	USD	-8,443.00	
28JUL17	S 1 PD27OCT17	6324.00	6491.36	USD	-4,184.00	
28JUL17	S 1 PD27OCT17	6326.50	6491.36	USD	-4,121.50	
28JUL17	S 1 PD27OCT17	6327.00	6491.36	USD	-4,109.00	
20SEP17	B 5 PD27OCT17	6504.56	6491.36	USD	-1,650.00	
	NET 0 AVG BGHT 6504.56 SOLD 6324.50				**-22,507.50**	**0.00**
31JUL17	S 1 PD31OCT17	6362.50	6493.50	USD	-3,275.00	
31JUL17	S 2 PD31OCT17	6363.00	6493.50	USD	-6,525.00	
31JUL17	S 1 PD31OCT17	6363.50	6493.50	USD	-3,250.00	
31JUL17	S 1 PD31OCT17	6365.00	6493.50	USD	-3,212.50	
20SEP17	B 5 PD31OCT17	6505.70	6493.50	USD	-1,525.00	
	NET 0 AVG BGHT 6505.70 SOLD 6363.40				**-17,787.50**	**0.00**
01AUG17	B 1 PD01NOV17	6339.50	6494.09	USD	3,864.75	
01AUG17	B 1 PD01NOV17	6341.50	6494.09	USD	3,814.75	
01AUG17	B 1 PD01NOV17	6344.00	6494.09	USD	3,752.25	
01AUG17	B 2 PD01NOV17	6340.00	6494.09	USD	7,704.50	
	NET +5 AVG BGHT 6341.00				**19,136.25**	**0.00**
02AUG17	S 2 PD02NOV17	6344.50	6494.69	USD	-7,509.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0118J

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
02AUG17	S 1 PD02NOV17	6345.50	6494.69	USD	-3,729.75	
02AUG17	S 1 PD02NOV17	6346.00	6494.69	USD	-3,717.25	
02AUG17	S 1 PD02NOV17	6348.00	6494.69	USD	-3,667.25	
20SEP17	B 5 PD02NOV17	6506.77	6494.69	USD	-1,510.00	
	NET 0 AVG BGHT 6506.77 SOLD 6345.70				**-20,133.75**	**0.00**
09AUG17	S 3 PD09NOV17	6481.50	6498.71	USD	-1,290.75	
09AUG17	S 1 PD09NOV17	6482.00	6498.71	USD	-417.75	
09AUG17	S 2 PD09NOV17	6483.00	6498.71	USD	-785.50	
09AUG17	S 1 PD09NOV17	6483.50	6498.71	USD	-380.25	
09AUG17	S 3 PD09NOV17	6484.00	6498.71	USD	-1,103.25	
09AUG17	S 1 PD09NOV17	6484.50	6498.71	USD	-355.25	
09AUG17	S 3 PD09NOV17	6486.00	6498.71	USD	-953.25	
09AUG17	S 1 PD09NOV17	6486.50	6498.71	USD	-305.25	
	NET -15 AVG SOLD 6483.80				**-5,591.25**	**0.00**
11AUG17	S 1 PD10NOV17	6353.00	6499.18	USD	-3,654.50	
11AUG17	S 3 PD10NOV17	6353.50	6499.18	USD	-10,926.00	
11AUG17	S 1 PD10NOV17	6354.00	6499.18	USD	-3,629.50	
11AUG17	S 1 PD10NOV17	6355.00	6499.18	USD	-3,604.50	
11AUG17	S 1 PD10NOV17	6356.00	6499.18	USD	-3,579.50	
11AUG17	S 1 PD10NOV17	6357.50	6499.18	USD	-3,542.00	
11AUG17	S 2 PD10NOV17	6358.50	6499.18	USD	-7,034.00	
	NET -10 AVG SOLD 6355.30				**-35,970.00**	**0.00**
20SEP17	S 2 PD20DEC17	6523.50	6517.75	USD	287.50	
20SEP17	S 1 PD20DEC17	6525.00	6517.75	USD	181.25	
20SEP17	S 1 PD20DEC17	6526.00	6517.75	USD	206.25	
20SEP17	S 1 PD20DEC17	6527.00	6517.75	USD	231.25	
	NET -5 AVG SOLD 6525.00				**906.25**	**0.00**

OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	-155,705.00

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards		Amount	Profit / Loss	**Total Amount**	**Total P&L**
COPL - Futures	USD	-167,136.25	-167,136.25	**-167,136.25**	**-167,136.25**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0118J

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		178,721.26	178,721.26
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**178,721.26**	**178,721.26**
Initial Margins		-180,680.25	-180,680.25
Excess/(Shortage)		**-1,958.99**	**-1,958.99**
FOR INFORMATION ONLY			
Forward Unrealised P&L		-167,136.25	-167,136.25
Net Liquidating Value		**11,585.01**	**11,585.01**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED
SAP0118 ARBS
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPAB118**

3rd Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

GROUP FINANCIAL SUMMARY

		USD (1.00000)	NET USD (1.00000)
CASH BALANCE		101,608.79	101,608.79
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**101,608.79**	**101,608.79**
Initial Margins		0.00	0.00
Excess/(Shortage)		**101,608.79**	**101,608.79**
FOR INFORMATION ONLY			
Forward Unrealised P&L		226,616.50	226,616.50
Net Liquidating Value		**328,225.29**	**328,225.29**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
04AUG17	B 2 PD03NOV17	6350.00	6495.28	USD	7,264.00	
04AUG17	B 1 PD03NOV17	6372.50	6495.28	USD	3,069.50	
04AUG17	B 1 PD03NOV17	6352.00	6495.28	USD	3,582.00	
04AUG17	B 1 PD03NOV17	6356.00	6495.28	USD	3,482.00	
04AUG17	B 2 PD03NOV17	6357.00	6495.28	USD	6,914.00	
04AUG17	B 1 PD03NOV17	6374.50	6495.28	USD	3,019.50	
04AUG17	B 9 PD03NOV17	6349.00	6495.28	USD	32,913.00	
04AUG17	B 2 PD03NOV17	6366.00	6495.28	USD	6,464.00	
04AUG17	B 3 PD03NOV17	6351.00	6495.28	USD	10,821.00	
04AUG17	B 9 PD03NOV17	6353.50	6495.28	USD	31,900.50	
04AUG17	B 1 PD03NOV17	6355.00	6495.28	USD	3,507.00	
04AUG17	B 5 PD03NOV17	6352.50	6495.28	USD	17,847.50	
04AUG17	B 6 PD03NOV17	6347.50	6495.28	USD	22,167.00	
04AUG17	B 3 PD03NOV17	6358.00	6495.28	USD	10,296.00	
04AUG17	B 15 PD03NOV17	6351.50	6495.28	USD	53,917.50	
04AUG17	B 3 PD03NOV17	6373.50	6495.28	USD	9,133.50	
04AUG17	B 1 PD03NOV17	6356.00	6495.28	USD	3,482.00	
04AUG17	B 4 PD03NOV17	6348.00	6495.28	USD	14,728.00	
04AUG17	B 1 PD03NOV17	6346.00	6495.28	USD	3,732.00	
04AUG17	S 8 PD03NOV17	6329.50	6495.28	USD	-33,156.00	
04AUG17	S 1 PD03NOV17	6330.50	6495.28	USD	-4,119.50	
04AUG17	S 2 PD03NOV17	6321.50	6495.28	USD	-8,689.00	
04AUG17	S 2 PD03NOV17	6322.50	6495.28	USD	-8,639.00	
04AUG17	S 1 PD03NOV17	6324.00	6495.28	USD	-4,282.00	
04AUG17	S 1 PD03NOV17	6325.00	6495.28	USD	-4,257.00	
04AUG17	S 2 PD03NOV17	6326.00	6495.28	USD	-8,464.00	
04AUG17	S 2 PD03NOV17	6327.00	6495.28	USD	-8,414.00	
04AUG17	S 4 PD03NOV17	6332.50	6495.28	USD	-16,278.00	
04AUG17	S 2 PD03NOV17	6331.50	6495.28	USD	-8,189.00	
04AUG17	B 1 PD03NOV17	6384.50	6495.28	USD	2,769.50	
04AUG17	B 2 PD03NOV17	6363.50	6495.28	USD	6,589.00	
04AUG17	S 1 PD03NOV17	6356.00	6495.28	USD	-3,482.00	
04AUG17	S 1 PD03NOV17	6357.00	6495.28	USD	-3,457.00	
04AUG17	S 1 PD03NOV17	6355.00	6495.28	USD	-3,507.00	
14AUG17	S 25 PD03NOV17	6393.09	6495.28	USD	-63,868.75	
25AUG17	S 20 PD03NOV17	6661.92	6495.28	USD	83,320.00	
	NET 0 AVG BGHT 6354.13 SOLD 6442.96				**162,116.25**	**0.00**
07AUG17	B 1 PD07NOV17	6428.00	6497.66	USD	1,741.50	
07AUG17	B 1 PD07NOV17	6430.50	6497.66	USD	1,679.00	
07AUG17	B 1 PD07NOV17	6424.00	6497.66	USD	1,841.50	
07AUG17	B 7 PD07NOV17	6425.00	6497.66	USD	12,715.50	
07AUG17	B 5 PD07NOV17	6426.00	6497.66	USD	8,957.50	
04SEP17	S 15 PD07NOV17	6908.79	6497.66	USD	154,173.75	
	NET 0 AVG BGHT 6425.83 SOLD 6908.79				**181,108.75**	**0.00**
08AUG17	S 7 PD08NOV17	6394.50	6498.25	USD	-18,156.25	
08AUG17	S 1 PD08NOV17	6392.50	6498.25	USD	-2,643.75	
08AUG17	S 1 PD08NOV17	6396.50	6498.25	USD	-2,543.75	
08AUG17	S 1 PD08NOV17	6400.00	6498.25	USD	-2,456.25	
08AUG17	S 4 PD08NOV17	6395.50	6498.25	USD	-10,275.00	
08AUG17	S 1 PD08NOV17	6394.50	6498.25	USD	-2,593.75	
08AUG17	B 3 PD08NOV17	6395.50	6498.25	USD	7,706.25	
08AUG17	B 10 PD08NOV17	6396.50	6498.25	USD	25,437.50	
08AUG17	B 2 PD08NOV17	6397.50	6498.25	USD	5,037.50	
08AUG17	B 1 PD08NOV17	6413.00	6498.25	USD	2,131.25	
08AUG17	B 2 PD08NOV17	6411.00	6498.25	USD	4,362.50	
08AUG17	B 2 PD08NOV17	6412.00	6498.25	USD	4,312.50	
08AUG17	B 10 PD08NOV17	6415.00	6498.25	USD	20,812.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
08AUG17	B 10 PD08NOV17	6414.00	6498.25	USD	21,062.50	
08AUG17	S 1 PD08NOV17	6407.00	6498.25	USD	-2,281.25	
08AUG17	B 3 PD08NOV17	6420.00	6498.25	USD	5,868.75	
08AUG17	B 2 PD08NOV17	6425.50	6498.25	USD	3,637.50	
08AUG17	B 1 PD08NOV17	6427.50	6498.25	USD	1,768.75	
08AUG17	B 1 PD08NOV17	6428.50	6498.25	USD	1,743.75	
08AUG17	B 5 PD08NOV17	6430.00	6498.25	USD	8,531.25	
08AUG17	B 5 PD08NOV17	6432.00	6498.25	USD	8,281.25	
08AUG17	B 3 PD08NOV17	6431.00	6498.25	USD	5,043.75	
08AUG17	B 2 PD08NOV17	6433.00	6498.25	USD	3,262.50	
08AUG17	B 9 PD08NOV17	6434.00	6498.25	USD	14,456.25	
08AUG17	B 2 PD08NOV17	6455.00	6498.25	USD	2,162.50	
08AUG17	B 2 PD08NOV17	6457.00	6498.25	USD	2,062.50	
08AUG17	B 1 PD08NOV17	6456.00	6498.25	USD	1,056.25	
04SEP17	S 10 PD08NOV17	6909.35	6498.25	USD	102,775.00	
11SEP17	S 25 PD08NOV17	6734.33	6498.25	USD	147,550.00	
	NET +25 AVG BGHT 6419.97 SOLD 6662.47				**358,112.50**	**0.00**
10AUG17	S 1 PD10NOV17	6452.00	6499.18	USD	-1,179.50	
10AUG17	S 3 PD10NOV17	6455.00	6499.18	USD	-3,313.50	
10AUG17	S 1 PD10NOV17	6453.00	6499.18	USD	-1,154.50	
10AUG17	S 2 PD10NOV17	6447.50	6499.18	USD	-2,584.00	
10AUG17	S 2 PD10NOV17	6445.00	6499.18	USD	-2,709.00	
10AUG17	S 1 PD10NOV17	6444.00	6499.18	USD	-1,379.50	
10AUG17	S 1 PD10NOV17	6446.50	6499.18	USD	-1,317.00	
10AUG17	S 1 PD10NOV17	6451.00	6499.18	USD	-1,204.50	
10AUG17	S 4 PD10NOV17	6449.50	6499.18	USD	-4,968.00	
10AUG17	S 3 PD10NOV17	6448.50	6499.18	USD	-3,801.00	
10AUG17	S 1 PD10NOV17	6453.50	6499.18	USD	-1,142.00	
10AUG17	S 2 PD10NOV17	6454.50	6499.18	USD	-2,234.00	
10AUG17	S 2 PD10NOV17	6452.50	6499.18	USD	-2,334.00	
10AUG17	S 1 PD10NOV17	6458.00	6499.18	USD	-1,029.50	
14AUG17	B 25 PD10NOV17	6395.78	6499.18	USD	64,625.00	
	NET 0 AVG BGHT 6395.78 SOLD 6450.62				**34,275.00**	**0.00**
14AUG17	B 1 PD14NOV17	6401.50	6501.04	USD	2,488.50	
14AUG17	B 1 PD14NOV17	6398.50	6501.04	USD	2,563.50	
14AUG17	B 2 PD14NOV17	6397.50	6501.04	USD	5,177.00	
14AUG17	B 1 PD14NOV17	6402.00	6501.04	USD	2,476.00	
14AUG17	B 1 PD14NOV17	6405.50	6501.04	USD	2,388.50	
14AUG17	B 1 PD14NOV17	6411.00	6501.04	USD	2,251.00	
14AUG17	B 2 PD14NOV17	6415.00	6501.04	USD	4,302.00	
14AUG17	B 1 PD14NOV17	6416.50	6501.04	USD	2,113.50	
14AUG17	B 3 PD14NOV17	6418.50	6501.04	USD	6,190.50	
14AUG17	B 2 PD14NOV17	6417.50	6501.04	USD	4,177.00	
14AUG17	B 1 PD14NOV17	6404.00	6501.04	USD	2,426.00	
14AUG17	B 2 PD14NOV17	6403.00	6501.04	USD	4,902.00	
14AUG17	B 3 PD14NOV17	6400.00	6501.04	USD	7,578.00	
14AUG17	B 4 PD14NOV17	6400.00	6501.04	USD	10,104.00	
	NET +25 AVG BGHT 6406.42				**59,137.50**	**0.00**
18AUG17	S 2 PD17NOV17	6508.50	6501.60	USD	345.00	
18AUG17	S 2 PD17NOV17	6506.00	6501.60	USD	220.00	
18AUG17	S 1 PD17NOV17	6501.50	6501.60	USD	-2.50	
18AUG17	B 2 PD17NOV17	6487.00	6501.60	USD	730.00	
18AUG17	B 3 PD17NOV17	6486.00	6501.60	USD	1,170.00	
	NET 0 AVG BGHT 6486.40 SOLD 6506.10				**2,462.50**	**0.00**
24AUG17	S 1 PD24NOV17	6614.50	6505.80	USD	2,717.50	
24AUG17	S 1 PD24NOV17	6623.00	6505.80	USD	2,930.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT							
24AUG17	S	3	PD24NOV17	6622.00	6505.80	USD	8,715.00	
24AUG17	S	1	PD24NOV17	6625.50	6505.80	USD	2,992.50	
24AUG17	S	3	PD24NOV17	6618.50	6505.80	USD	8,452.50	
24AUG17	S	3	PD24NOV17	6617.00	6505.80	USD	8,340.00	
24AUG17	S	3	PD24NOV17	6616.00	6505.80	USD	8,265.00	
24AUG17	S	2	PD24NOV17	6614.00	6505.80	USD	5,410.00	
24AUG17	S	3	PD24NOV17	6611.50	6505.80	USD	7,927.50	
25AUG17	B	20	PD24NOV17	6666.20	6505.80	USD	-80,200.00	
	NET	**0**	**AVG BGHT 6666.20**	**SOLD 6617.30**			**-24,450.00**	**0.00**
01SEP17	S	1	PD01DEC17	6818.00	6510.00	USD	7,700.00	
01SEP17	S	3	PD01DEC17	6819.00	6510.00	USD	23,175.00	
01SEP17	S	3	PD01DEC17	6814.50	6510.00	USD	22,837.50	
01SEP17	S	1	PD01DEC17	6815.50	6510.00	USD	7,637.50	
01SEP17	S	4	PD01DEC17	6820.00	6510.00	USD	31,000.00	
01SEP17	S	4	PD01DEC17	6824.50	6510.00	USD	31,450.00	
01SEP17	S	1	PD01DEC17	6823.50	6510.00	USD	7,837.50	
01SEP17	S	8	PD01DEC17	6822.00	6510.00	USD	62,400.00	
04SEP17	B	25	PD01DEC17	6916.01	6510.00	USD	-253,756.25	
	NET	**0**	**AVG BGHT 6916.01**	**SOLD 6820.46**			**-59,718.75**	**0.00**
08SEP17	S	2	PD08DEC17	6820.00	6513.00	USD	15,350.00	
08SEP17	S	2	PD08DEC17	6821.00	6513.00	USD	15,400.00	
08SEP17	S	1	PD08DEC17	6823.50	6513.00	USD	7,762.50	
08SEP17	S	2	PD08DEC17	6824.50	6513.00	USD	15,575.00	
08SEP17	S	1	PD08DEC17	6827.50	6513.00	USD	7,862.50	
08SEP17	S	1	PD08DEC17	6830.50	6513.00	USD	7,937.50	
08SEP17	S	1	PD08DEC17	6825.00	6513.00	USD	7,800.00	
08SEP17	S	1	PD08DEC17	6826.00	6513.00	USD	7,825.00	
08SEP17	S	2	PD08DEC17	6828.50	6513.00	USD	15,775.00	
08SEP17	S	1	PD08DEC17	6829.50	6513.00	USD	7,912.50	
08SEP17	S	1	PD08DEC17	6817.50	6513.00	USD	7,612.50	
08SEP17	S	2	PD08DEC17	6818.50	6513.00	USD	15,275.00	
08SEP17	S	1	PD08DEC17	6816.50	6513.00	USD	7,587.50	
08SEP17	S	5	PD08DEC17	6815.00	6513.00	USD	37,750.00	
08SEP17	S	1	PD08DEC17	6805.50	6513.00	USD	7,312.50	
08SEP17	S	1	PD08DEC17	6829.50	6513.00	USD	7,912.50	
11SEP17	B	25	PD08DEC17	6746.70	6513.00	USD	-146,062.50	
	NET	**0**	**AVG BGHT 6746.70**	**SOLD 6821.24**			**46,587.50**	**0.00**
13SEP17	B	1	PD13DEC17	6595.00	6514.98	USD	-2,000.50	
29SEP17	S	1	PD13DEC17	6475.98	6514.98	USD	-975.00	
	NET	**0**	**AVG BGHT 6595.00**	**SOLD 6475.98**			**-2,975.50**	**0.00**
27SEP17	S	1	PD27DEC17	6454.00	6517.52	USD	-1,588.00	
29SEP17	B	1	PD27DEC17	6480.13	6517.52	USD	934.75	
	NET	**0**	**AVG BGHT 6480.13**	**SOLD 6454.00**			**-653.25**	**0.00**
	CMX COPPER 25,000 CMX							
08AUG17	S	4	DEC17	293.250	296.350	USD	-3,100.00	
08AUG17	S	5	DEC17	293.500	296.350	USD	-3,562.50	
08AUG17	S	2	DEC17	293.600	296.350	USD	-1,375.00	
08AUG17	S	2	DEC17	293.650	296.350	USD	-1,350.00	
08AUG17	S	11	DEC17	293.700	296.350	USD	-7,287.50	
08AUG17	S	7	DEC17	293.750	296.350	USD	-4,550.00	
08AUG17	S	6	DEC17	293.900	296.350	USD	-3,675.00	
08AUG17	S	2	DEC17	293.850	296.350	USD	-1,250.00	
08AUG17	S	14	DEC17	293.800	296.350	USD	-8,925.00	
14AUG17	S	2	DEC17	292.150	296.350	USD	-2,100.00	
14AUG17	S	3	DEC17	292.100	296.350	USD	-3,187.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	CMX COPPER 25,000 CMX					
14AUG17	S 1 DEC17	292.050	296.350	USD	-1,075.00	
14AUG17	S 2 DEC17	292.400	296.350	USD	-1,975.00	
14AUG17	S 4 DEC17	292.900	296.350	USD	-3,450.00	
14AUG17	S 3 DEC17	292.950	296.350	USD	-2,550.00	
14AUG17	S 3 DEC17	293.050	296.350	USD	-2,475.00	
14AUG17	S 6 DEC17	293.000	296.350	USD	-5,025.00	
14AUG17	S 3 DEC17	292.700	296.350	USD	-2,737.50	
14AUG17	S 2 DEC17	292.500	296.350	USD	-1,925.00	
14AUG17	S 15 DEC17	292.200	296.350	USD	-15,562.50	
14AUG17	S 3 DEC17	292.350	296.350	USD	-3,000.00	
14AUG17	S 8 DEC17	292.300	296.350	USD	-8,100.00	
13SEP17	S 2 DEC17	299.850	296.350	USD	1,750.00	
	NET -110 AVG SOLD 293.205				**-86,487.50**	**0.00**

OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	-7,368,553.75
CMX Copper	FUTURES	8,063,137.50

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options		Amount	Profit / Loss	**Total Amount**	**Total P&L**
COPR - Futures	USD	-86,487.50	-86,487.50	**-86,487.50**	**-86,487.50**
Unrealised Profits & Losses - Forwards		**Amount**	**Profit / Loss**	**Total Amount**	**Total P&L**
COPL - Futures	USD	756,002.50	756,002.50	**756,002.50**	**756,002.50**

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-143,758.88	-143,758.88
Variation Margins	- Futures	-86,487.50	-86,487.50
	- Options	0.00	0.00
TOTAL EQUITY		**-230,246.38**	**-230,246.38**
Initial Margins		-346,500.00	-346,500.00
Excess/(Shortage)		**-576,746.38**	**-576,746.38**
FOR INFORMATION ONLY			
Forward Unrealised P&L		756,002.50	756,002.50
Net Liquidating Value		**525,756.12**	**525,756.12**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED
SAP0119
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPAB119**

3rd Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

GROUP FINANCIAL SUMMARY

		USD (1.00000)	NET USD (1.00000)
CASH BALANCE		-143,758.88	-143,758.88
Variation Margins	- Futures	-86,487.50	-86,487.50
	- Options	0.00	0.00
TOTAL EQUITY		**-230,246.38**	**-230,246.38**
Initial Margins		-346,500.00	-346,500.00
Excess/(Shortage)		**-576,746.38**	**-576,746.38**
FOR INFORMATION ONLY			
Forward Unrealised P&L		756,002.50	756,002.50
Net Liquidating Value		**525,756.12**	**525,756.12**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0122A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT							
06JUL17	S	1	PD06OCT17	5841.50	6470.02	USD	-15,713.00	
06JUL17	S	1	PD06OCT17	5840.50	6470.02	USD	-15,738.00	
06JUL17	S	3	PD06OCT17	5843.50	6470.02	USD	-46,989.00	
06JUL17	S	1	PD06OCT17	5844.00	6470.02	USD	-15,650.50	
06JUL17	S	4	PD06OCT17	5844.50	6470.02	USD	-62,552.00	
06JUL17	B	1	PD06OCT17	5846.00	6470.02	USD	15,600.50	
06JUL17	B	1	PD06OCT17	5848.50	6470.02	USD	15,538.00	
06JUL17	B	1	PD06OCT17	5855.00	6470.02	USD	15,375.50	
06JUL17	B	1	PD06OCT17	5853.00	6470.02	USD	15,425.50	
06JUL17	B	1	PD06OCT17	5836.00	6470.02	USD	15,850.50	
07JUL17	S	1	PD06OCT17	5819.50	6470.02	USD	-16,263.00	
07JUL17	S	1	PD06OCT17	5820.50	6470.02	USD	-16,238.00	
07JUL17	S	1	PD06OCT17	5825.00	6470.02	USD	-16,125.50	
07JUL17	S	1	PD06OCT17	5825.00	6470.02	USD	-16,125.50	
07JUL17	S	1	PD06OCT17	5828.00	6470.02	USD	-16,050.50	
10JUL17	B	10	PD06OCT17	5822.81	6470.02	USD	161,802.50	
	NET	**0**	**AVG BGHT 5831.11**	**SOLD 5836.83**			**2,147.50**	**0.00**
11JUL17	B	1	PD11OCT17	5868.00	6476.37	USD	15,209.25	
11JUL17	B	1	PD11OCT17	5866.50	6476.37	USD	15,246.75	
11JUL17	B	1	PD11OCT17	5872.50	6476.37	USD	15,096.75	
11JUL17	B	1	PD11OCT17	5880.50	6476.37	USD	14,896.75	
11JUL17	B	1	PD11OCT17	5879.00	6476.37	USD	14,934.25	
11JUL17	B	3	PD11OCT17	5878.00	6476.37	USD	44,877.75	
11JUL17	B	2	PD11OCT17	5882.50	6476.37	USD	29,693.50	
31JUL17	S	5	PD11OCT17	6363.58	6476.37	USD	-14,098.75	
02AUG17	S	5	PD11OCT17	6346.47	6476.37	USD	-16,237.50	
	NET	**0**	**AVG BGHT 5876.55**	**SOLD 6355.03**			**119,618.75**	**0.00**
12JUL17	B	2	PD12OCT17	5885.50	6477.63	USD	29,606.50	
12JUL17	B	1	PD12OCT17	5894.00	6477.63	USD	14,590.75	
12JUL17	B	1	PD12OCT17	5893.50	6477.63	USD	14,603.25	
12JUL17	B	1	PD12OCT17	5893.00	6477.63	USD	14,615.75	
12JUL17	B	1	PD12OCT17	5896.00	6477.63	USD	14,540.75	
12JUL17	B	1	PD12OCT17	5897.00	6477.63	USD	14,515.75	
12JUL17	B	2	PD12OCT17	5898.00	6477.63	USD	28,981.50	
12JUL17	S	1	PD12OCT17	5907.50	6477.63	USD	-14,253.25	
12JUL17	S	4	PD12OCT17	5902.00	6477.63	USD	-57,563.00	
12JUL17	S	1	PD12OCT17	5894.50	6477.63	USD	-14,578.25	
12JUL17	B	1	PD12OCT17	5885.50	6477.63	USD	14,803.25	
12JUL17	B	1	PD12OCT17	5917.50	6477.63	USD	14,003.25	
12JUL17	B	1	PD12OCT17	5919.00	6477.63	USD	13,965.75	
12JUL17	B	1	PD12OCT17	5921.50	6477.63	USD	13,903.25	
12JUL17	B	3	PD12OCT17	5920.50	6477.63	USD	41,784.75	
12JUL17	B	5	PD12OCT17	5921.00	6477.63	USD	69,578.75	
04AUG17	S	5	PD12OCT17	6367.62	6477.63	USD	-13,751.25	
09AUG17	S	5	PD12OCT17	6446.87	6477.63	USD	-3,845.00	
30AUG17	S	5	PD12OCT17	6753.12	6477.63	USD	34,436.25	
	NET	**0**	**AVG BGHT 5907.17**	**SOLD 6345.15**			**229,938.75**	**0.00**
14JUL17	S	1	PD13OCT17	5879.50	6478.90	USD	-14,985.00	
14JUL17	S	4	PD13OCT17	5882.50	6478.90	USD	-59,640.00	
14JUL17	B	1	PD13OCT17	5916.00	6478.90	USD	14,072.50	
14JUL17	B	1	PD13OCT17	5919.00	6478.90	USD	13,997.50	
14JUL17	B	2	PD13OCT17	5906.00	6478.90	USD	28,645.00	
14JUL17	B	1	PD13OCT17	5929.00	6478.90	USD	13,747.50	
	NET	**0**	**AVG BGHT 5915.20**	**SOLD 5881.90**			**-4,162.50**	**0.00**
18JUL17	S	1	PD18OCT17	5991.00	6485.25	USD	-12,356.25	
18JUL17	S	2	PD18OCT17	5989.00	6485.25	USD	-24,812.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0122A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT							
18JUL17	S	1	PD18OCT17	5988.00	6485.25	USD	-12,431.25	
18JUL17	S	1	PD18OCT17	5988.50	6485.25	USD	-12,418.75	
18JUL17	B	1	PD18OCT17	5994.50	6485.25	USD	12,268.75	
18JUL17	B	2	PD18OCT17	5997.00	6485.25	USD	24,412.50	
18JUL17	B	1	PD18OCT17	5999.00	6485.25	USD	12,156.25	
18JUL17	B	1	PD18OCT17	5998.00	6485.25	USD	12,181.25	
	NET	**0**	**AVG BGHT 5997.10**	**SOLD 5989.10**			**-1,000.00**	**0.00**
20JUL17	S	4	PD20OCT17	5976.50	6486.75	USD	-51,025.00	
20JUL17	B	1	PD20OCT17	5979.50	6486.75	USD	12,681.25	
20JUL17	B	1	PD20OCT17	5981.50	6486.75	USD	12,631.25	
20JUL17	B	2	PD20OCT17	5967.00	6486.75	USD	25,987.50	
	NET	**0**	**AVG BGHT 5973.75**	**SOLD 5976.50**			**275.00**	**0.00**
25JUL17	S	1	PD25OCT17	6044.00	6490.29	USD	-11,157.25	
25JUL17	S	1	PD25OCT17	6048.50	6490.29	USD	-11,044.75	
25JUL17	S	1	PD25OCT17	6046.50	6490.29	USD	-11,094.75	
25JUL17	S	2	PD25OCT17	6047.50	6490.29	USD	-22,139.50	
25JUL17	B	2	PD25OCT17	6179.00	6490.29	USD	15,564.50	
25JUL17	B	3	PD25OCT17	6181.50	6490.29	USD	23,159.25	
	NET	**0**	**AVG BGHT 6180.50**	**SOLD 6046.80**			**-16,712.50**	**0.00**
26JUL17	S	1	PD26OCT17	6349.00	6490.82	USD	-3,545.50	
26JUL17	S	1	PD26OCT17	6356.00	6490.82	USD	-3,370.50	
26JUL17	S	2	PD26OCT17	6356.50	6490.82	USD	-6,716.00	
26JUL17	S	1	PD26OCT17	6353.50	6490.82	USD	-3,433.00	
26JUL17	B	1	PD26OCT17	6280.00	6490.82	USD	5,270.50	
26JUL17	B	2	PD26OCT17	6271.50	6490.82	USD	10,966.00	
26JUL17	B	1	PD26OCT17	6273.50	6490.82	USD	5,433.00	
26JUL17	B	2	PD26OCT17	6274.50	6490.82	USD	10,816.00	
26JUL17	S	1	PD26OCT17	6358.00	6490.82	USD	-3,320.50	
	NET	**0**	**AVG BGHT 6274.25**	**SOLD 6354.92**			**12,100.00**	**0.00**
28JUL17	S	1	PD27OCT17	6284.00	6491.36	USD	-5,184.00	
28JUL17	S	1	PD27OCT17	6284.50	6491.36	USD	-5,171.50	
28JUL17	S	1	PD27OCT17	6281.00	6491.36	USD	-5,259.00	
28JUL17	S	1	PD27OCT17	6280.50	6491.36	USD	-5,271.50	
28JUL17	S	1	PD27OCT17	6276.00	6491.36	USD	-5,384.00	
31JUL17	B	5	PD27OCT17	6367.95	6491.36	USD	15,426.25	
	NET	**0**	**AVG BGHT 6367.95**	**SOLD 6281.20**			**-10,843.75**	**0.00**
01AUG17	S	1	PD01NOV17	6355.50	6494.09	USD	-3,464.75	
01AUG17	S	1	PD01NOV17	6352.00	6494.09	USD	-3,552.25	
01AUG17	S	1	PD01NOV17	6354.00	6494.09	USD	-3,502.25	
01AUG17	S	1	PD01NOV17	6353.00	6494.09	USD	-3,527.25	
01AUG17	B	1	PD01NOV17	6346.50	6494.09	USD	3,689.75	
01AUG17	S	1	PD01NOV17	6353.00	6494.09	USD	-3,527.25	
01AUG17	S	1	PD01NOV17	6355.00	6494.09	USD	-3,477.25	
02AUG17	B	5	PD01NOV17	6351.87	6494.09	USD	17,777.50	
	NET	**0**	**AVG BGHT 6350.98**	**SOLD 6353.75**			**416.25**	**0.00**
02AUG17	S	1	PD02NOV17	6335.50	6494.69	USD	-3,979.75	
02AUG17	S	2	PD02NOV17	6335.00	6494.69	USD	-7,984.50	
02AUG17	S	2	PD02NOV17	6334.00	6494.69	USD	-8,034.50	
02AUG17	B	2	PD02NOV17	6337.00	6494.69	USD	7,884.50	
02AUG17	S	1	PD02NOV17	6339.00	6494.69	USD	-3,892.25	
02AUG17	S	1	PD02NOV17	6342.00	6494.69	USD	-3,817.25	
02AUG17	B	5	PD02NOV17	6352.00	6494.69	USD	17,836.25	
	NET	**0**	**AVG BGHT 6347.71**	**SOLD 6336.36**			**-1,987.50**	**0.00**
03AUG17	S	1	PD03NOV17	6314.50	6495.28	USD	-4,519.50	
03AUG17	S	3	PD03NOV17	6314.00	6495.28	USD	-13,596.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0122A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT							
03AUG17	S	1	PD03NOV17	6316.50	6495.28	USD	-4,469.50	
04AUG17	B	5	PD03NOV17	6372.20	6495.28	USD	15,385.00	
	NET	**0**	**AVG BGHT 6372.20 SOLD 6314.60**				**-7,200.00**	**0.00**
07AUG17	S	1	PD07NOV17	6359.50	6497.66	USD	-3,454.00	
07AUG17	S	1	PD07NOV17	6365.00	6497.66	USD	-3,316.50	
07AUG17	S	1	PD07NOV17	6346.50	6497.66	USD	-3,779.00	
07AUG17	S	1	PD07NOV17	6353.50	6497.66	USD	-3,604.00	
07AUG17	S	1	PD07NOV17	6362.50	6497.66	USD	-3,379.00	
07AUG17	B	1	PD07NOV17	6440.00	6497.66	USD	1,441.50	
07AUG17	B	1	PD07NOV17	6442.50	6497.66	USD	1,379.00	
07AUG17	B	2	PD07NOV17	6429.50	6497.66	USD	3,408.00	
07AUG17	B	1	PD07NOV17	6423.50	6497.66	USD	1,854.00	
	NET	**0**	**AVG BGHT 6433.00 SOLD 6357.40**				**-9,450.00**	**0.00**
08AUG17	S	4	PD08NOV17	6393.00	6498.25	USD	-10,525.00	
08AUG17	S	1	PD08NOV17	6394.00	6498.25	USD	-2,606.25	
09AUG17	B	5	PD08NOV17	6454.82	6498.25	USD	5,428.75	
	NET	**0**	**AVG BGHT 6454.82 SOLD 6393.20**				**-7,702.50**	**0.00**
14AUG17	S	1	PD14NOV17	6407.50	6501.04	USD	-2,338.50	
14AUG17	S	1	PD14NOV17	6408.00	6501.04	USD	-2,326.00	
14AUG17	S	1	PD14NOV17	6410.50	6501.04	USD	-2,263.50	
14AUG17	S	1	PD14NOV17	6413.00	6501.04	USD	-2,201.00	
14AUG17	S	1	PD14NOV17	6415.00	6501.04	USD	-2,151.00	
14AUG17	B	2	PD14NOV17	6407.50	6501.04	USD	4,677.00	
14AUG17	B	3	PD14NOV17	6406.50	6501.04	USD	7,090.50	
	NET	**0**	**AVG BGHT 6406.90 SOLD 6410.80**				**487.50**	**0.00**
16AUG17	S	1	PD16NOV17	6367.50	6501.00	USD	-3,337.50	
16AUG17	S	1	PD16NOV17	6368.50	6501.00	USD	-3,312.50	
16AUG17	S	1	PD16NOV17	6363.00	6501.00	USD	-3,450.00	
16AUG17	S	1	PD16NOV17	6366.50	6501.00	USD	-3,362.50	
16AUG17	S	1	PD16NOV17	6363.00	6501.00	USD	-3,450.00	
16AUG17	B	1	PD16NOV17	6490.00	6501.00	USD	275.00	
16AUG17	B	1	PD16NOV17	6489.00	6501.00	USD	300.00	
16AUG17	B	1	PD16NOV17	6487.00	6501.00	USD	350.00	
16AUG17	B	2	PD16NOV17	6486.00	6501.00	USD	750.00	
	NET	**0**	**AVG BGHT 6487.60 SOLD 6365.70**				**-15,237.50**	**0.00**
17AUG17	B	1	PD17NOV17	6544.00	6501.60	USD	-1,060.00	
17AUG17	B	1	PD17NOV17	6541.00	6501.60	USD	-985.00	
17AUG17	B	2	PD17NOV17	6539.50	6501.60	USD	-1,895.00	
17AUG17	B	1	PD17NOV17	6536.50	6501.60	USD	-872.50	
17AUG17	B	2	PD17NOV17	6540.50	6501.60	USD	-1,945.00	
17AUG17	B	3	PD17NOV17	6543.00	6501.60	USD	-3,105.00	
18AUG17	S	6	PD17NOV17	6500.00	6501.60	USD	-240.00	
18AUG17	S	2	PD17NOV17	6501.00	6501.60	USD	-30.00	
18AUG17	S	2	PD17NOV17	6502.00	6501.60	USD	20.00	
	NET	**0**	**AVG BGHT 6541.05 SOLD 6500.60**				**-10,112.50**	**0.00**
21AUG17	S	2	PD21NOV17	6506.50	6504.00	USD	125.00	
21AUG17	S	3	PD21NOV17	6507.50	6504.00	USD	262.50	
21AUG17	B	1	PD21NOV17	6601.00	6504.00	USD	-2,425.00	
21AUG17	B	1	PD21NOV17	6598.50	6504.00	USD	-2,362.50	
21AUG17	B	1	PD21NOV17	6623.00	6504.00	USD	-2,975.00	
21AUG17	B	1	PD21NOV17	6599.00	6504.00	USD	-2,375.00	
21AUG17	B	1	PD21NOV17	6593.00	6504.00	USD	-2,225.00	
	NET	**0**	**AVG BGHT 6602.90 SOLD 6507.10**				**-11,975.00**	**0.00**
23AUG17	B	1	PD24NOV17	6568.00	6505.80	USD	-1,555.00	
23AUG17	B	1	PD24NOV17	6564.50	6505.80	USD	-1,467.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0122A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
23AUG17	B 2 PD24NOV17	6562.50	6505.80	USD	-2,835.00	
23AUG17	B 1 PD24NOV17	6561.50	6505.80	USD	-1,392.50	
23AUG17	B 5 PD24NOV17	6560.00	6505.80	USD	-6,775.00	
24AUG17	S 1 PD24NOV17	6617.00	6505.80	USD	2,780.00	
24AUG17	S 3 PD24NOV17	6620.50	6505.80	USD	8,602.50	
24AUG17	S 1 PD24NOV17	6619.00	6505.80	USD	2,830.00	
24AUG17	S 1 PD24NOV17	6619.50	6505.80	USD	2,842.50	
24AUG17	S 1 PD24NOV17	6624.50	6505.80	USD	2,967.50	
24AUG17	S 2 PD24NOV17	6623.00	6505.80	USD	5,860.00	
24AUG17	S 1 PD24NOV17	6616.50	6505.80	USD	2,767.50	
	NET 0 AVG BGHT 6561.90 SOLD 6620.40				**14,625.00**	**0.00**
29AUG17	S 1 PD29NOV17	6764.00	6508.80	USD	6,380.00	
29AUG17	S 1 PD29NOV17	6765.50	6508.80	USD	6,417.50	
29AUG17	S 1 PD29NOV17	6767.00	6508.80	USD	6,455.00	
29AUG17	S 1 PD29NOV17	6763.50	6508.80	USD	6,367.50	
29AUG17	S 1 PD29NOV17	6761.50	6508.80	USD	6,317.50	
30AUG17	B 5 PD29NOV17	6768.95	6508.80	USD	-32,518.75	
	NET 0 AVG BGHT 6768.95 SOLD 6764.30				**-581.25**	**0.00**
26SEP17	B 2 PD27DEC17	6479.50	6517.52	USD	1,901.00	
26SEP17	B 2 PD27DEC17	6484.00	6517.52	USD	1,676.00	
26SEP17	B 1 PD27DEC17	6485.00	6517.52	USD	813.00	
26SEP17	B 1 PD27DEC17	6490.00	6517.52	USD	688.00	
26SEP17	B 1 PD27DEC17	6492.00	6517.52	USD	638.00	
26SEP17	B 3 PD27DEC17	6493.00	6517.52	USD	1,839.00	
	NET +10 AVG BGHT 6487.30				**7,555.00**	**0.00**
28SEP17	B 1 PD28DEC17	6559.50	6517.88	USD	-1,040.50	
28SEP17	B 3 PD28DEC17	6557.00	6517.88	USD	-2,934.00	
28SEP17	B 1 PD28DEC17	6552.00	6517.88	USD	-853.00	
28SEP17	B 1 PD28DEC17	6553.50	6517.88	USD	-890.50	
28SEP17	B 2 PD28DEC17	6554.50	6517.88	USD	-1,831.00	
28SEP17	B 2 PD28DEC17	6553.00	6517.88	USD	-1,756.00	
	NET +10 AVG BGHT 6555.10				**-9,305.00**	**0.00**
	CMX COPPER 25,000 CMX					
26SEP17	S 4 MAR18	296.350	298.250	USD	-1,900.00	
26SEP17	S 2 MAR18	296.600	298.250	USD	-825.00	
26SEP17	S 2 MAR18	296.550	298.250	USD	-850.00	
26SEP17	S 3 MAR18	296.650	298.250	USD	-1,200.00	
26SEP17	S 2 MAR18	296.900	298.250	USD	-675.00	
26SEP17	S 2 MAR18	296.950	298.250	USD	-650.00	
26SEP17	S 7 MAR18	297.050	298.250	USD	-2,100.00	
28SEP17	S 2 MAR18	300.050	298.250	USD	900.00	
28SEP17	S 4 MAR18	299.950	298.250	USD	1,700.00	
28SEP17	S 3 MAR18	300.000	298.250	USD	1,312.50	
28SEP17	S 2 MAR18	299.800	298.250	USD	775.00	
28SEP17	S 8 MAR18	299.850	298.250	USD	3,200.00	
28SEP17	S 3 MAR18	299.900	298.250	USD	1,237.50	
	NET -44 AVG SOLD 298.334				**925.00**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0122A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	-2,977,956.25
CMX Copper	FUTURES	3,281,675.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options		Amount	Profit / Loss	**Total Amount**	**Total P&L**
COPR - Futures	USD	925.00	925.00	**925.00**	**925.00**
Unrealised Profits & Losses - Forwards		**Amount**	**Profit / Loss**	**Total Amount**	**Total P&L**
COPL - Futures	USD	280,893.75	280,893.75	**280,893.75**	**280,893.75**

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		33,860.75	33,860.75
Variation Margins	- Futures	925.00	925.00
	- Options	0.00	0.00
TOTAL EQUITY		**34,785.75**	**34,785.75**
Initial Margins		-138,600.00	-138,600.00
Excess/(Shortage)		**-103,814.25**	**-103,814.25**
FOR INFORMATION ONLY			
Forward Unrealised P&L		280,893.75	280,893.75
Net Liquidating Value		**315,679.50**	**315,679.50**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED
SAP0122 ARBS
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPAB122**

3rd Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

GROUP FINANCIAL SUMMARY

		USD (1.00000)	NET USD (1.00000)
CASH BALANCE		33,860.75	33,860.75
Variation Margins	- Futures	925.00	925.00
	- Options	0.00	0.00
TOTAL EQUITY		**34,785.75**	**34,785.75**
Initial Margins		-138,600.00	-138,600.00
Excess/(Shortage)		**-103,814.25**	**-103,814.25**
FOR INFORMATION ONLY			
Forward Unrealised P&L		280,893.75	280,893.75
Net Liquidating Value		**315,679.50**	**315,679.50**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0124B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
08AUG17	B 5 PD08NOV17	6482.50	6498.25	USD	1,968.75	
08AUG17	B 5 PD08NOV17	6475.00	6498.25	USD	2,906.25	
08AUG17	B 5 PD08NOV17	6480.00	6498.25	USD	2,281.25	
08AUG17	B 5 PD08NOV17	6474.50	6498.25	USD	2,968.75	
12SEP17	S 15 PD08NOV17	6675.58	6498.25	USD	66,498.75	
15SEP17	S 5 PD08NOV17	6490.75	6498.25	USD	-937.50	
	NET 0 AVG BGHT 6478.00 SOLD 6629.37				**75,686.25**	**0.00**
16AUG17	B 3 PD16NOV17	6544.00	6501.00	USD	-3,225.00	
16AUG17	B 2 PD16NOV17	6544.00	6501.00	USD	-2,150.00	
15SEP17	S 5 PD16NOV17	6495.00	6501.00	USD	-750.00	
	NET 0 AVG BGHT 6544.00 SOLD 6495.00				**-6,125.00**	**0.00**
08SEP17	S 5 PD08DEC17	6692.50	6513.00	USD	22,437.50	
08SEP17	S 5 PD08DEC17	6685.00	6513.00	USD	21,500.00	
08SEP17	S 5 PD08DEC17	6683.00	6513.00	USD	21,250.00	
12SEP17	B 15 PD08DEC17	6688.32	6513.00	USD	-65,745.00	
	NET 0 AVG BGHT 6688.32 SOLD 6686.83				**-557.50**	**0.00**
11SEP17	S 3 PD11DEC17	6744.50	6514.19	USD	17,273.25	
11SEP17	S 1 PD11DEC17	6744.00	6514.19	USD	5,745.25	
11SEP17	S 6 PD11DEC17	6745.50	6514.19	USD	34,696.50	
15SEP17	B 10 PD11DEC17	6505.54	6514.19	USD	2,162.50	
	NET 0 AVG BGHT 6505.54 SOLD 6745.05				**59,877.50**	**0.00**

OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	128,881.25

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards		Amount	Profit / Loss	**Total Amount**	**Total P&L**
COPL - Futures	USD	128,881.25	128,881.25	**128,881.25**	**128,881.25**

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-122,441.50	-122,441.50
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**-122,441.50**	**-122,441.50**
Initial Margins		0.00	0.00
Excess/(Shortage)		**-122,441.50**	**-122,441.50**
FOR INFORMATION ONLY			
Forward Unrealised P&L		128,881.25	128,881.25
Net Liquidating Value		**6,439.75**	**6,439.75**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED
SAP0124 ARBS
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPAB124**

3rd Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

GROUP FINANCIAL SUMMARY

		USD (1.00000)	NET USD (1.00000)
CASH BALANCE		-122,441.50	-122,441.50
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**-122,441.50**	**-122,441.50**
Initial Margins		0.00	0.00
Excess/(Shortage)		**-122,441.50**	**-122,441.50**
FOR INFORMATION ONLY			
Forward Unrealised P&L		128,881.25	128,881.25
Net Liquidating Value		**6,439.75**	**6,439.75**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0127A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
31AUG17	B 1 PD30NOV17	6799.00	6509.40	USD	-7,240.00	
31AUG17	B 1 PD30NOV17	6798.50	6509.40	USD	-7,227.50	
31AUG17	B 3 PD30NOV17	6805.00	6509.40	USD	-22,170.00	
05SEP17	S 5 PD30NOV17	6897.98	6509.40	USD	48,572.50	
	NET 0 AVG BGHT 6802.50 SOLD 6897.98				**11,935.00**	**0.00**
01SEP17	B 1 PD01DEC17	6825.00	6510.00	USD	-7,875.00	
01SEP17	B 3 PD01DEC17	6824.50	6510.00	USD	-23,587.50	
01SEP17	B 1 PD01DEC17	6825.50	6510.00	USD	-7,887.50	
01SEP17	B 2 PD01DEC17	6820.00	6510.00	USD	-15,500.00	
01SEP17	B 3 PD01DEC17	6818.00	6510.00	USD	-23,100.00	
01SEP17	B 1 PD01DEC17	6815.00	6510.00	USD	-7,625.00	
01SEP17	B 3 PD01DEC17	6822.00	6510.00	USD	-23,400.00	
01SEP17	B 4 PD01DEC17	6817.00	6510.00	USD	-30,700.00	
01SEP17	B 3 PD01DEC17	6816.50	6510.00	USD	-22,987.50	
01SEP17	B 6 PD01DEC17	6816.00	6510.00	USD	-45,900.00	
01SEP17	B 6 PD01DEC17	6819.00	6510.00	USD	-46,350.00	
01SEP17	B 1 PD01DEC17	6817.50	6510.00	USD	-7,687.50	
01SEP17	B 4 PD01DEC17	6812.50	6510.00	USD	-30,250.00	
01SEP17	B 3 PD01DEC17	6815.50	6510.00	USD	-22,912.50	
01SEP17	B 4 PD01DEC17	6814.50	6510.00	USD	-30,450.00	
01SEP17	B 5 PD01DEC17	6813.50	6510.00	USD	-37,937.50	
01SEP17	B 3 PD01DEC17	6810.50	6510.00	USD	-22,537.50	
01SEP17	B 1 PD01DEC17	6809.50	6510.00	USD	-7,487.50	
01SEP17	B 4 PD01DEC17	6807.50	6510.00	USD	-29,750.00	
01SEP17	B 3 PD01DEC17	6808.50	6510.00	USD	-22,387.50	
01SEP17	B 1 PD01DEC17	6811.50	6510.00	USD	-7,537.50	
01SEP17	B 2 PD01DEC17	6809.00	6510.00	USD	-14,950.00	
01SEP17	B 2 PD01DEC17	6810.00	6510.00	USD	-15,000.00	
01SEP17	B 6 PD01DEC17	6808.00	6510.00	USD	-44,700.00	
01SEP17	B 12 PD01DEC17	6807.00	6510.00	USD	-89,100.00	
01SEP17	B 1 PD01DEC17	6801.50	6510.00	USD	-7,287.50	
01SEP17	B 3 PD01DEC17	6806.50	6510.00	USD	-22,237.50	
01SEP17	B 4 PD01DEC17	6804.00	6510.00	USD	-29,400.00	
01SEP17	B 11 PD01DEC17	6806.00	6510.00	USD	-81,400.00	
01SEP17	B 8 PD01DEC17	6805.50	6510.00	USD	-59,100.00	
01SEP17	B 14 PD01DEC17	6805.00	6510.00	USD	-103,250.00	
01SEP17	B 6 PD01DEC17	6803.00	6510.00	USD	-43,950.00	
01SEP17	B 5 PD01DEC17	6802.50	6510.00	USD	-36,562.50	
01SEP17	B 7 PD01DEC17	6803.50	6510.00	USD	-51,362.50	
01SEP17	B 1 PD01DEC17	6820.00	6510.00	USD	-7,750.00	
01SEP17	S 3 PD01DEC17	6801.50	6510.00	USD	21,862.50	
01SEP17	B 2 PD01DEC17	6814.00	6510.00	USD	-15,200.00	
01SEP17	S 1 PD01DEC17	6798.00	6510.00	USD	7,200.00	
01SEP17	S 1 PD01DEC17	6797.00	6510.00	USD	7,175.00	
01SEP17	S 1 PD01DEC17	6785.50	6510.00	USD	6,887.50	
01SEP17	S 1 PD01DEC17	6780.00	6510.00	USD	6,750.00	
01SEP17	S 1 PD01DEC17	6781.00	6510.00	USD	6,775.00	
01SEP17	S 2 PD01DEC17	6780.50	6510.00	USD	13,525.00	
01SEP17	S 1 PD01DEC17	6781.50	6510.00	USD	6,787.50	
01SEP17	S 2 PD01DEC17	6782.50	6510.00	USD	13,625.00	
01SEP17	S 3 PD01DEC17	6787.00	6510.00	USD	20,775.00	
01SEP17	S 2 PD01DEC17	6788.50	6510.00	USD	13,925.00	
01SEP17	S 1 PD01DEC17	6791.00	6510.00	USD	7,025.00	
01SEP17	S 1 PD01DEC17	6786.50	6510.00	USD	6,912.50	
01SEP17	S 5 PD01DEC17	6798.50	6510.00	USD	36,062.50	
01SEP17	S 4 PD01DEC17	6801.00	6510.00	USD	29,100.00	
01SEP17	S 2 PD01DEC17	6800.50	6510.00	USD	14,525.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0127A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
01SEP17	S 2 PD01DEC17	6799.50	6510.00	USD	14,475.00	
01SEP17	S 1 PD01DEC17	6816.00	6510.00	USD	7,650.00	
01SEP17	S 2 PD01DEC17	6811.50	6510.00	USD	15,075.00	
01SEP17	S 2 PD01DEC17	6812.50	6510.00	USD	15,125.00	
01SEP17	S 1 PD01DEC17	6813.50	6510.00	USD	7,587.50	
01SEP17	S 5 PD01DEC17	6808.00	6510.00	USD	37,250.00	
01SEP17	S 2 PD01DEC17	6809.00	6510.00	USD	14,950.00	
01SEP17	S 2 PD01DEC17	6810.00	6510.00	USD	15,000.00	
01SEP17	S 1 PD01DEC17	6811.00	6510.00	USD	7,525.00	
01SEP17	S 1 PD01DEC17	6822.50	6510.00	USD	7,812.50	
01SEP17	S 4 PD01DEC17	6822.00	6510.00	USD	31,200.00	
01SEP17	B 1 PD01DEC17	6829.50	6510.00	USD	-7,987.50	
01SEP17	B 4 PD01DEC17	6828.50	6510.00	USD	-31,850.00	
01SEP17	S 2 PD01DEC17	6798.50	6510.00	USD	14,425.00	
01SEP17	S 1 PD01DEC17	6828.50	6510.00	USD	7,962.50	
01SEP17	S 1 PD01DEC17	6829.50	6510.00	USD	7,987.50	
01SEP17	S 1 PD01DEC17	6833.00	6510.00	USD	8,075.00	
01SEP17	S 2 PD01DEC17	6838.50	6510.00	USD	16,425.00	
01SEP17	S 5 PD01DEC17	6835.00	6510.00	USD	40,625.00	
01SEP17	S 1 PD01DEC17	6835.50	6510.00	USD	8,137.50	
01SEP17	S 3 PD01DEC17	6832.50	6510.00	USD	24,187.50	
01SEP17	S 2 PD01DEC17	6831.50	6510.00	USD	16,075.00	
01SEP17	S 3 PD01DEC17	6830.50	6510.00	USD	24,037.50	
01SEP17	S 5 PD01DEC17	6830.00	6510.00	USD	40,000.00	
01SEP17	S 1 PD01DEC17	6831.00	6510.00	USD	8,025.00	
01SEP17	S 3 PD01DEC17	6832.00	6510.00	USD	24,150.00	
01SEP17	S 2 PD01DEC17	6834.50	6510.00	USD	16,225.00	
05SEP17	S 20 PD01DEC17	6898.38	6510.00	USD	194,190.00	
06SEP17	S 5 PD01DEC17	6899.13	6510.00	USD	48,641.25	
07SEP17	S 40 PD01DEC17	6896.21	6510.00	USD	386,210.00	
	NET 0 AVG BGHT 6810.65 SOLD 6848.53				**143,003.75**	**0.00**
04SEP17	S 5 PD04DEC17	6901.50	6511.29	USD	48,776.25	
04SEP17	S 2 PD04DEC17	6903.00	6511.29	USD	19,585.50	
04SEP17	S 1 PD04DEC17	6901.00	6511.29	USD	9,742.75	
04SEP17	S 1 PD04DEC17	6899.50	6511.29	USD	9,705.25	
04SEP17	S 1 PD04DEC17	6896.00	6511.29	USD	9,617.75	
04SEP17	S 1 PD04DEC17	6897.50	6511.29	USD	9,655.25	
04SEP17	S 4 PD04DEC17	6897.00	6511.29	USD	38,571.00	
04SEP17	S 3 PD04DEC17	6902.50	6511.29	USD	29,340.75	
04SEP17	S 2 PD04DEC17	6904.00	6511.29	USD	19,635.50	
04SEP17	S 2 PD04DEC17	6905.00	6511.29	USD	19,685.50	
04SEP17	S 2 PD04DEC17	6906.50	6511.29	USD	19,760.50	
04SEP17	S 1 PD04DEC17	6908.50	6511.29	USD	9,930.25	
04SEP17	S 4 PD04DEC17	6909.50	6511.29	USD	39,821.00	
04SEP17	S 1 PD04DEC17	6911.00	6511.29	USD	9,992.75	
04SEP17	S 1 PD04DEC17	6910.50	6511.29	USD	9,980.25	
04SEP17	S 4 PD04DEC17	6907.50	6511.29	USD	39,621.00	
04SEP17	S 3 PD04DEC17	6906.00	6511.29	USD	29,603.25	
04SEP17	S 2 PD04DEC17	6911.50	6511.29	USD	20,010.50	
04SEP17	S 1 PD04DEC17	6913.50	6511.29	USD	10,055.25	
04SEP17	S 1 PD04DEC17	6912.50	6511.29	USD	10,030.25	
04SEP17	S 3 PD04DEC17	6909.00	6511.29	USD	29,828.25	
04SEP17	S 2 PD04DEC17	6910.00	6511.29	USD	19,935.50	
04SEP17	S 5 PD04DEC17	6908.00	6511.29	USD	49,588.75	
04SEP17	B 1 PD04DEC17	6899.50	6511.29	USD	-9,705.25	
04SEP17	B 1 PD04DEC17	6903.00	6511.29	USD	-9,792.75	
04SEP17	S 10 PD04DEC17	6907.00	6511.29	USD	98,927.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0127A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
04SEP17	B 1 PD04DEC17	6891.50	6511.29	USD	-9,505.25	
04SEP17	B 1 PD04DEC17	6889.00	6511.29	USD	-9,442.75	
04SEP17	B 6 PD04DEC17	6889.50	6511.29	USD	-56,731.50	
04SEP17	B 1 PD04DEC17	6888.50	6511.29	USD	-9,430.25	
04SEP17	B 6 PD04DEC17	6890.00	6511.29	USD	-56,806.50	
04SEP17	B 2 PD04DEC17	6913.00	6511.29	USD	-20,085.50	
04SEP17	B 2 PD04DEC17	6912.50	6511.29	USD	-20,060.50	
04SEP17	B 1 PD04DEC17	6911.50	6511.29	USD	-10,005.25	
04SEP17	B 1 PD04DEC17	6910.50	6511.29	USD	-9,980.25	
04SEP17	B 1 PD04DEC17	6909.50	6511.29	USD	-9,955.25	
04SEP17	B 2 PD04DEC17	6911.00	6511.29	USD	-19,985.50	
04SEP17	B 2 PD04DEC17	6912.00	6511.29	USD	-20,035.50	
04SEP17	B 1 PD04DEC17	6915.00	6511.29	USD	-10,092.75	
04SEP17	B 3 PD04DEC17	6913.50	6511.29	USD	-30,165.75	
04SEP17	S 6 PD04DEC17	6917.00	6511.29	USD	60,856.50	
04SEP17	S 1 PD04DEC17	6919.00	6511.29	USD	10,192.75	
04SEP17	S 1 PD04DEC17	6920.50	6511.29	USD	10,230.25	
04SEP17	B 1 PD04DEC17	6920.50	6511.29	USD	-10,230.25	
04SEP17	B 4 PD04DEC17	6921.50	6511.29	USD	-41,021.00	
04SEP17	B 4 PD04DEC17	6921.00	6511.29	USD	-40,971.00	
04SEP17	B 4 PD04DEC17	6922.00	6511.29	USD	-41,071.00	
05SEP17	B 25 PD04DEC17	6899.80	6511.29	USD	-242,818.75	
	NET 0 AVG BGHT 6904.37 SOLD 6907.11				**4,787.50**	**0.00**
05SEP17	B 1 PD05DEC17	6943.50	6511.71	USD	-10,794.75	
05SEP17	B 1 PD05DEC17	6943.00	6511.71	USD	-10,782.25	
05SEP17	B 4 PD05DEC17	6942.50	6511.71	USD	-43,079.00	
05SEP17	B 7 PD05DEC17	6941.00	6511.71	USD	-75,125.75	
05SEP17	B 2 PD05DEC17	6940.00	6511.71	USD	-21,414.50	
05SEP17	B 2 PD05DEC17	6939.00	6511.71	USD	-21,364.50	
05SEP17	B 1 PD05DEC17	6938.00	6511.71	USD	-10,657.25	
05SEP17	B 6 PD05DEC17	6937.00	6511.71	USD	-63,793.50	
05SEP17	B 6 PD05DEC17	6937.50	6511.71	USD	-63,868.50	
05SEP17	B 1 PD05DEC17	6936.00	6511.71	USD	-10,607.25	
05SEP17	B 4 PD05DEC17	6935.00	6511.71	USD	-42,329.00	
05SEP17	S 1 PD05DEC17	6929.50	6511.71	USD	10,444.75	
05SEP17	S 1 PD05DEC17	6926.50	6511.71	USD	10,369.75	
05SEP17	S 1 PD05DEC17	6927.50	6511.71	USD	10,394.75	
05SEP17	S 2 PD05DEC17	6925.00	6511.71	USD	20,664.50	
05SEP17	S 1 PD05DEC17	6923.00	6511.71	USD	10,282.25	
05SEP17	S 3 PD05DEC17	6922.50	6511.71	USD	30,809.25	
05SEP17	S 1 PD05DEC17	6920.50	6511.71	USD	10,219.75	
05SEP17	S 1 PD05DEC17	6921.50	6511.71	USD	10,244.75	
05SEP17	S 9 PD05DEC17	6921.00	6511.71	USD	92,090.25	
05SEP17	S 3 PD05DEC17	6923.50	6511.71	USD	30,884.25	
05SEP17	S 2 PD05DEC17	6922.00	6511.71	USD	20,514.50	
05SEP17	S 1 PD05DEC17	6906.00	6511.71	USD	9,857.25	
05SEP17	S 2 PD05DEC17	6904.50	6511.71	USD	19,639.50	
05SEP17	S 2 PD05DEC17	6903.50	6511.71	USD	19,589.50	
05SEP17	S 1 PD05DEC17	6892.50	6511.71	USD	9,519.75	
05SEP17	S 1 PD05DEC17	6893.50	6511.71	USD	9,544.75	
05SEP17	S 3 PD05DEC17	6894.50	6511.71	USD	28,709.25	
05SEP17	S 1 PD05DEC17	6863.00	6511.71	USD	8,782.25	
05SEP17	S 2 PD05DEC17	6849.00	6511.71	USD	16,864.50	
05SEP17	S 2 PD05DEC17	6839.00	6511.71	USD	16,364.50	
05SEP17	S 1 PD05DEC17	6851.00	6511.71	USD	8,482.25	
05SEP17	S 1 PD05DEC17	6854.00	6511.71	USD	8,557.25	
05SEP17	S 1 PD05DEC17	6856.00	6511.71	USD	8,607.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0127A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
05SEP17	S 1 PD05DEC17	6857.50	6511.71	USD	8,644.75	
05SEP17	S 1 PD05DEC17	6857.00	6511.71	USD	8,632.25	
05SEP17	S 1 PD05DEC17	6854.50	6511.71	USD	8,569.75	
05SEP17	S 1 PD05DEC17	6853.50	6511.71	USD	8,544.75	
05SEP17	B 1 PD05DEC17	6858.00	6511.71	USD	-8,657.25	
05SEP17	B 1 PD05DEC17	6855.50	6511.71	USD	-8,594.75	
05SEP17	B 5 PD05DEC17	6856.00	6511.71	USD	-43,036.25	
06SEP17	B 5 PD05DEC17	6900.83	6511.71	USD	-48,640.00	
	NET 0 AVG BGHT 6922.56 SOLD 6899.65				**-26,916.25**	**0.00**
06SEP17	S 13 PD06DEC17	6898.00	6512.14	USD	125,404.50	
06SEP17	S 3 PD06DEC17	6898.50	6512.14	USD	28,977.00	
06SEP17	S 3 PD06DEC17	6897.00	6512.14	USD	28,864.50	
06SEP17	S 1 PD06DEC17	6899.00	6512.14	USD	9,671.50	
06SEP17	S 1 PD06DEC17	6910.50	6512.14	USD	9,959.00	
06SEP17	S 1 PD06DEC17	6908.50	6512.14	USD	9,909.00	
06SEP17	S 1 PD06DEC17	6906.00	6512.14	USD	9,846.50	
06SEP17	S 6 PD06DEC17	6911.00	6512.14	USD	59,829.00	
06SEP17	S 2 PD06DEC17	6911.50	6512.14	USD	19,968.00	
06SEP17	S 1 PD06DEC17	6910.00	6512.14	USD	9,946.50	
06SEP17	S 2 PD06DEC17	6909.00	6512.14	USD	19,843.00	
06SEP17	S 2 PD06DEC17	6909.50	6512.14	USD	19,868.00	
06SEP17	S 4 PD06DEC17	6912.00	6512.14	USD	39,986.00	
06SEP17	B 1 PD06DEC17	6881.00	6512.14	USD	-9,221.50	
06SEP17	B 1 PD06DEC17	6879.00	6512.14	USD	-9,171.50	
06SEP17	B 1 PD06DEC17	6883.00	6512.14	USD	-9,271.50	
06SEP17	B 1 PD06DEC17	6895.00	6512.14	USD	-9,571.50	
06SEP17	B 1 PD06DEC17	6894.50	6512.14	USD	-9,559.00	
06SEP17	S 1 PD06DEC17	6924.50	6512.14	USD	10,309.00	
06SEP17	S 2 PD06DEC17	6921.50	6512.14	USD	20,468.00	
06SEP17	S 1 PD06DEC17	6923.00	6512.14	USD	10,271.50	
06SEP17	B 3 PD06DEC17	6900.00	6512.14	USD	-29,089.50	
06SEP17	B 1 PD06DEC17	6899.00	6512.14	USD	-9,671.50	
06SEP17	B 11 PD06DEC17	6897.50	6512.14	USD	-105,974.00	
06SEP17	B 1 PD06DEC17	6898.50	6512.14	USD	-9,659.00	
06SEP17	B 6 PD06DEC17	6898.00	6512.14	USD	-57,879.00	
06SEP17	B 1 PD06DEC17	6891.00	6512.14	USD	-9,471.50	
06SEP17	B 1 PD06DEC17	6892.50	6512.14	USD	-9,509.00	
06SEP17	B 2 PD06DEC17	6884.50	6512.14	USD	-18,618.00	
06SEP17	B 1 PD06DEC17	6885.00	6512.14	USD	-9,321.50	
06SEP17	B 1 PD06DEC17	6885.50	6512.14	USD	-9,334.00	
06SEP17	B 1 PD06DEC17	6886.00	6512.14	USD	-9,346.50	
06SEP17	B 1 PD06DEC17	6891.50	6512.14	USD	-9,484.00	
06SEP17	B 1 PD06DEC17	6893.50	6512.14	USD	-9,534.00	
06SEP17	B 7 PD06DEC17	6893.00	6512.14	USD	-66,650.50	
06SEP17	B 1 PD06DEC17	6894.00	6512.14	USD	-9,546.50	
06SEP17	S 1 PD06DEC17	6922.00	6512.14	USD	10,246.50	
06SEP17	B 1 PD06DEC17	6898.00	6512.14	USD	-9,646.50	
06SEP17	S 1 PD06DEC17	6881.00	6512.14	USD	9,221.50	
06SEP17	S 3 PD06DEC17	6881.50	6512.14	USD	27,702.00	
06SEP17	S 1 PD06DEC17	6894.50	6512.14	USD	9,559.00	
06SEP17	S 1 PD06DEC17	6891.00	6512.14	USD	9,471.50	
06SEP17	S 1 PD06DEC17	6886.00	6512.14	USD	9,346.50	
06SEP17	S 1 PD06DEC17	6884.50	6512.14	USD	9,309.00	
06SEP17	S 1 PD06DEC17	6892.00	6512.14	USD	9,496.50	
06SEP17	S 1 PD06DEC17	6883.00	6512.14	USD	9,271.50	
06SEP17	S 1 PD06DEC17	6884.00	6512.14	USD	9,296.50	
06SEP17	S 1 PD06DEC17	6882.00	6512.14	USD	9,246.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0127A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
06SEP17	S 5 PD06DEC17	6882.50	6512.14	USD	46,295.00	
06SEP17	S 6 PD06DEC17	6880.50	6512.14	USD	55,254.00	
06SEP17	S 2 PD06DEC17	6877.50	6512.14	USD	18,268.00	
06SEP17	S 2 PD06DEC17	6878.50	6512.14	USD	18,318.00	
06SEP17	S 1 PD06DEC17	6879.50	6512.14	USD	9,184.00	
06SEP17	S 5 PD06DEC17	6880.00	6512.14	USD	45,982.50	
06SEP17	S 1 PD06DEC17	6877.00	6512.14	USD	9,121.50	
06SEP17	S 1 PD06DEC17	6874.50	6512.14	USD	9,059.00	
06SEP17	S 3 PD06DEC17	6876.00	6512.14	USD	27,289.50	
06SEP17	S 1 PD06DEC17	6887.50	6512.14	USD	9,384.00	
06SEP17	B 1 PD06DEC17	6870.50	6512.14	USD	-8,959.00	
06SEP17	B 7 PD06DEC17	6869.50	6512.14	USD	-62,538.00	
06SEP17	S 1 PD06DEC17	6879.00	6512.14	USD	9,171.50	
06SEP17	S 1 PD06DEC17	6890.50	6512.14	USD	9,459.00	
06SEP17	S 2 PD06DEC17	6890.00	6512.14	USD	18,893.00	
06SEP17	S 3 PD06DEC17	6888.50	6512.14	USD	28,227.00	
06SEP17	S 4 PD06DEC17	6889.50	6512.14	USD	37,736.00	
06SEP17	B 2 PD06DEC17	6870.50	6512.14	USD	-17,918.00	
07SEP17	B 40 PD06DEC17	6898.32	6512.14	USD	-386,180.00	
	NET 0 AVG BGHT 6893.25 SOLD 6894.01				**1,805.00**	**0.00**
07SEP17	B 4 PD07DEC17	6878.00	6512.57	USD	-36,543.00	
07SEP17	B 5 PD07DEC17	6880.00	6512.57	USD	-45,928.75	
07SEP17	B 4 PD07DEC17	6879.00	6512.57	USD	-36,643.00	
07SEP17	B 2 PD07DEC17	6880.50	6512.57	USD	-18,396.50	
07SEP17	B 1 PD07DEC17	6875.00	6512.57	USD	-9,060.75	
07SEP17	B 2 PD07DEC17	6874.50	6512.57	USD	-18,096.50	
07SEP17	B 1 PD07DEC17	6872.00	6512.57	USD	-8,985.75	
07SEP17	B 1 PD07DEC17	6869.50	6512.57	USD	-8,923.25	
07SEP17	B 1 PD07DEC17	6871.00	6512.57	USD	-8,960.75	
07SEP17	B 5 PD07DEC17	6863.50	6512.57	USD	-43,866.25	
07SEP17	B 1 PD07DEC17	6866.50	6512.57	USD	-8,848.25	
07SEP17	B 2 PD07DEC17	6864.50	6512.57	USD	-17,596.50	
07SEP17	B 1 PD07DEC17	6869.00	6512.57	USD	-8,910.75	
07SEP17	B 1 PD07DEC17	6868.50	6512.57	USD	-8,898.25	
07SEP17	B 1 PD07DEC17	6867.50	6512.57	USD	-8,873.25	
07SEP17	B 2 PD07DEC17	6868.00	6512.57	USD	-17,771.50	
07SEP17	B 1 PD07DEC17	6878.00	6512.57	USD	-9,135.75	
07SEP17	B 2 PD07DEC17	6870.00	6512.57	USD	-17,871.50	
07SEP17	B 1 PD07DEC17	6864.00	6512.57	USD	-8,785.75	
07SEP17	B 4 PD07DEC17	6867.00	6512.57	USD	-35,443.00	
07SEP17	B 3 PD07DEC17	6872.50	6512.57	USD	-26,994.75	
07SEP17	B 2 PD07DEC17	6871.50	6512.57	USD	-17,946.50	
07SEP17	B 2 PD07DEC17	6873.00	6512.57	USD	-18,021.50	
07SEP17	B 7 PD07DEC17	6865.50	6512.57	USD	-61,762.75	
07SEP17	B 3 PD07DEC17	6865.00	6512.57	USD	-26,432.25	
07SEP17	B 1 PD07DEC17	6866.00	6512.57	USD	-8,835.75	
07SEP17	S 1 PD07DEC17	6845.00	6512.57	USD	8,310.75	
07SEP17	S 2 PD07DEC17	6903.50	6512.57	USD	19,546.50	
07SEP17	S 1 PD07DEC17	6902.50	6512.57	USD	9,748.25	
07SEP17	S 2 PD07DEC17	6900.50	6512.57	USD	19,396.50	
07SEP17	S 2 PD07DEC17	6898.50	6512.57	USD	19,296.50	
07SEP17	S 1 PD07DEC17	6896.00	6512.57	USD	9,585.75	
07SEP17	S 1 PD07DEC17	6899.50	6512.57	USD	9,673.25	
07SEP17	S 9 PD07DEC17	6900.00	6512.57	USD	87,171.75	
07SEP17	S 1 PD07DEC17	6903.00	6512.57	USD	9,760.75	
07SEP17	S 1 PD07DEC17	6904.00	6512.57	USD	9,785.75	
07SEP17	S 1 PD07DEC17	6904.50	6512.57	USD	9,798.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0127A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
07SEP17	S 1 PD07DEC17	6908.00	6512.57	USD	9,885.75	
07SEP17	S 1 PD07DEC17	6910.00	6512.57	USD	9,935.75	
07SEP17	S 3 PD07DEC17	6905.00	6512.57	USD	29,432.25	
07SEP17	S 2 PD07DEC17	6902.00	6512.57	USD	19,471.50	
07SEP17	S 1 PD07DEC17	6880.00	6512.57	USD	9,185.75	
07SEP17	S 3 PD07DEC17	6883.50	6512.57	USD	27,819.75	
07SEP17	S 2 PD07DEC17	6889.00	6512.57	USD	18,821.50	
07SEP17	S 6 PD07DEC17	6890.00	6512.57	USD	56,614.50	
07SEP17	S 1 PD07DEC17	6889.50	6512.57	USD	9,423.25	
07SEP17	S 2 PD07DEC17	6887.50	6512.57	USD	18,746.50	
07SEP17	S 2 PD07DEC17	6884.50	6512.57	USD	18,596.50	
07SEP17	S 1 PD07DEC17	6898.00	6512.57	USD	9,635.75	
07SEP17	S 1 PD07DEC17	6899.00	6512.57	USD	9,660.75	
07SEP17	S 1 PD07DEC17	6897.00	6512.57	USD	9,610.75	
07SEP17	S 1 PD07DEC17	6898.50	6512.57	USD	9,648.25	
07SEP17	S 1 PD07DEC17	6895.00	6512.57	USD	9,560.75	
07SEP17	S 1 PD07DEC17	6888.50	6512.57	USD	9,398.25	
07SEP17	S 1 PD07DEC17	6890.00	6512.57	USD	9,435.75	
07SEP17	S 1 PD07DEC17	6887.50	6512.57	USD	9,373.25	
07SEP17	B 1 PD07DEC17	6894.50	6512.57	USD	-9,548.25	
07SEP17	B 1 PD07DEC17	6898.50	6512.57	USD	-9,648.25	
07SEP17	B 1 PD07DEC17	6897.00	6512.57	USD	-9,610.75	
07SEP17	S 8 PD07DEC17	6901.50	6512.57	USD	77,786.00	
07SEP17	S 1 PD07DEC17	6899.00	6512.57	USD	9,660.75	
	NET 0 AVG BGHT 6872.15 SOLD 6895.92				**37,437.50**	**0.00**
08SEP17	B 2 PD08DEC17	6853.00	6513.00	USD	-17,000.00	
08SEP17	B 1 PD08DEC17	6847.50	6513.00	USD	-8,362.50	
08SEP17	B 1 PD08DEC17	6842.00	6513.00	USD	-8,225.00	
08SEP17	B 2 PD08DEC17	6846.50	6513.00	USD	-16,675.00	
08SEP17	B 1 PD08DEC17	6845.00	6513.00	USD	-8,300.00	
08SEP17	B 5 PD08DEC17	6845.50	6513.00	USD	-41,562.50	
08SEP17	B 1 PD08DEC17	6853.50	6513.00	USD	-8,512.50	
08SEP17	B 3 PD08DEC17	6848.50	6513.00	USD	-25,162.50	
08SEP17	B 2 PD08DEC17	6851.50	6513.00	USD	-16,925.00	
08SEP17	B 4 PD08DEC17	6851.00	6513.00	USD	-33,800.00	
08SEP17	B 1 PD08DEC17	6856.00	6513.00	USD	-8,575.00	
08SEP17	B 1 PD08DEC17	6855.00	6513.00	USD	-8,550.00	
08SEP17	B 1 PD08DEC17	6858.00	6513.00	USD	-8,625.00	
08SEP17	B 3 PD08DEC17	6850.50	6513.00	USD	-25,312.50	
08SEP17	B 2 PD08DEC17	6854.00	6513.00	USD	-17,050.00	
08SEP17	B 4 PD08DEC17	6855.50	6513.00	USD	-34,250.00	
08SEP17	S 1 PD08DEC17	6839.00	6513.00	USD	8,150.00	
08SEP17	S 1 PD08DEC17	6838.50	6513.00	USD	8,137.50	
08SEP17	S 2 PD08DEC17	6839.50	6513.00	USD	16,325.00	
08SEP17	S 2 PD08DEC17	6841.50	6513.00	USD	16,425.00	
08SEP17	S 1 PD08DEC17	6837.00	6513.00	USD	8,100.00	
08SEP17	S 1 PD08DEC17	6837.50	6513.00	USD	8,112.50	
08SEP17	S 4 PD08DEC17	6838.00	6513.00	USD	32,500.00	
08SEP17	S 2 PD08DEC17	6836.00	6513.00	USD	16,150.00	
08SEP17	S 8 PD08DEC17	6835.00	6513.00	USD	64,400.00	
08SEP17	S 3 PD08DEC17	6833.50	6513.00	USD	24,037.50	
08SEP17	S 3 PD08DEC17	6832.50	6513.00	USD	23,962.50	
08SEP17	S 2 PD08DEC17	6832.00	6513.00	USD	15,950.00	
08SEP17	S 4 PD08DEC17	6833.00	6513.00	USD	32,000.00	
08SEP17	B 2 PD08DEC17	6824.00	6513.00	USD	-15,550.00	
08SEP17	B 4 PD08DEC17	6827.50	6513.00	USD	-31,450.00	
08SEP17	B 1 PD08DEC17	6825.50	6513.00	USD	-7,812.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0127A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
08SEP17	B 1 PD08DEC17	6826.00	6513.00	USD	-7,825.00	
08SEP17	B 2 PD08DEC17	6824.50	6513.00	USD	-15,575.00	
08SEP17	B 3 PD08DEC17	6822.50	6513.00	USD	-23,212.50	
08SEP17	B 2 PD08DEC17	6821.50	6513.00	USD	-15,425.00	
08SEP17	B 1 PD08DEC17	6817.50	6513.00	USD	-7,612.50	
08SEP17	B 1 PD08DEC17	6815.00	6513.00	USD	-7,550.00	
08SEP17	B 1 PD08DEC17	6813.00	6513.00	USD	-7,500.00	
08SEP17	B 1 PD08DEC17	6813.50	6513.00	USD	-7,512.50	
08SEP17	B 1 PD08DEC17	6810.00	6513.00	USD	-7,425.00	
08SEP17	S 1 PD08DEC17	6790.00	6513.00	USD	6,925.00	
08SEP17	S 1 PD08DEC17	6790.50	6513.00	USD	6,937.50	
08SEP17	S 1 PD08DEC17	6789.00	6513.00	USD	6,900.00	
08SEP17	S 1 PD08DEC17	6788.00	6513.00	USD	6,875.00	
08SEP17	S 1 PD08DEC17	6786.50	6513.00	USD	6,837.50	
08SEP17	S 1 PD08DEC17	6781.50	6513.00	USD	6,712.50	
08SEP17	S 2 PD08DEC17	6782.50	6513.00	USD	13,475.00	
08SEP17	B 2 PD08DEC17	6787.00	6513.00	USD	-13,700.00	
08SEP17	B 1 PD08DEC17	6790.00	6513.00	USD	-6,925.00	
08SEP17	B 1 PD08DEC17	6798.00	6513.00	USD	-7,125.00	
08SEP17	B 1 PD08DEC17	6805.00	6513.00	USD	-7,300.00	
08SEP17	B 6 PD08DEC17	6795.00	6513.00	USD	-42,300.00	
08SEP17	B 1 PD08DEC17	6796.00	6513.00	USD	-7,075.00	
08SEP17	B 1 PD08DEC17	6797.00	6513.00	USD	-7,100.00	
08SEP17	B 7 PD08DEC17	6794.00	6513.00	USD	-49,175.00	
08SEP17	B 1 PD08DEC17	6804.50	6513.00	USD	-7,287.50	
08SEP17	B 1 PD08DEC17	6803.50	6513.00	USD	-7,262.50	
08SEP17	B 1 PD08DEC17	6802.00	6513.00	USD	-7,225.00	
08SEP17	B 3 PD08DEC17	6800.00	6513.00	USD	-21,525.00	
08SEP17	B 5 PD08DEC17	6801.00	6513.00	USD	-36,000.00	
08SEP17	B 2 PD08DEC17	6802.50	6513.00	USD	-14,475.00	
08SEP17	B 4 PD08DEC17	6803.00	6513.00	USD	-29,000.00	
08SEP17	B 5 PD08DEC17	6801.50	6513.00	USD	-36,062.50	
08SEP17	B 5 PD08DEC17	6800.50	6513.00	USD	-35,937.50	
08SEP17	B 1 PD08DEC17	6855.00	6513.00	USD	-8,550.00	
08SEP17	S 1 PD08DEC17	6835.00	6513.00	USD	8,050.00	
08SEP17	B 1 PD08DEC17	6785.50	6513.00	USD	-6,812.50	
08SEP17	B 1 PD08DEC17	6784.00	6513.00	USD	-6,775.00	
08SEP17	S 1 PD08DEC17	6766.00	6513.00	USD	6,325.00	
08SEP17	S 1 PD08DEC17	6736.00	6513.00	USD	5,575.00	
08SEP17	S 4 PD08DEC17	6735.50	6513.00	USD	22,250.00	
08SEP17	S 2 PD08DEC17	6736.50	6513.00	USD	11,175.00	
08SEP17	S 1 PD08DEC17	6737.50	6513.00	USD	5,612.50	
08SEP17	S 2 PD08DEC17	6738.50	6513.00	USD	11,275.00	
08SEP17	S 2 PD08DEC17	6740.00	6513.00	USD	11,350.00	
08SEP17	S 4 PD08DEC17	6738.00	6513.00	USD	22,500.00	
08SEP17	B 1 PD08DEC17	6731.50	6513.00	USD	-5,462.50	
08SEP17	S 1 PD08DEC17	6704.50	6513.00	USD	4,787.50	
08SEP17	S 1 PD08DEC17	6702.00	6513.00	USD	4,725.00	
08SEP17	S 1 PD08DEC17	6706.00	6513.00	USD	4,825.00	
08SEP17	S 1 PD08DEC17	6704.00	6513.00	USD	4,775.00	
08SEP17	S 1 PD08DEC17	6703.50	6513.00	USD	4,762.50	
08SEP17	S 1 PD08DEC17	6707.00	6513.00	USD	4,850.00	
08SEP17	S 5 PD08DEC17	6705.00	6513.00	USD	24,000.00	
08SEP17	B 1 PD08DEC17	6699.00	6513.00	USD	-4,650.00	
08SEP17	B 1 PD08DEC17	6702.00	6513.00	USD	-4,725.00	
08SEP17	B 1 PD08DEC17	6698.50	6513.00	USD	-4,637.50	
08SEP17	B 1 PD08DEC17	6697.00	6513.00	USD	-4,600.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0127A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
08SEP17	B 2 PD08DEC17	6698.00	6513.00	USD	-9,250.00	
08SEP17	B 1 PD08DEC17	6699.50	6513.00	USD	-4,662.50	
08SEP17	B 5 PD08DEC17	6696.00	6513.00	USD	-22,875.00	
08SEP17	B 4 PD08DEC17	6695.50	6513.00	USD	-18,250.00	
08SEP17	B 5 PD08DEC17	6695.00	6513.00	USD	-22,750.00	
08SEP17	B 2 PD08DEC17	6694.50	6513.00	USD	-9,075.00	
08SEP17	S 4 PD08DEC17	6683.00	6513.00	USD	17,000.00	
08SEP17	S 1 PD08DEC17	6676.00	6513.00	USD	4,075.00	
08SEP17	S 3 PD08DEC17	6675.00	6513.00	USD	12,150.00	
08SEP17	S 1 PD08DEC17	6672.50	6513.00	USD	3,987.50	
08SEP17	S 1 PD08DEC17	6672.00	6513.00	USD	3,975.00	
08SEP17	S 1 PD08DEC17	6677.50	6513.00	USD	4,112.50	
08SEP17	S 2 PD08DEC17	6678.50	6513.00	USD	8,275.00	
08SEP17	S 6 PD08DEC17	6680.00	6513.00	USD	25,050.00	
08SEP17	S 2 PD08DEC17	6682.50	6513.00	USD	8,475.00	
08SEP17	S 1 PD08DEC17	6681.50	6513.00	USD	4,212.50	
08SEP17	S 4 PD08DEC17	6684.00	6513.00	USD	17,100.00	
08SEP17	S 6 PD08DEC17	6685.00	6513.00	USD	25,800.00	
08SEP17	S 2 PD08DEC17	6685.50	6513.00	USD	8,625.00	
08SEP17	S 1 PD08DEC17	6686.00	6513.00	USD	4,325.00	
08SEP17	S 1 PD08DEC17	6679.50	6513.00	USD	4,162.50	
08SEP17	S 3 PD08DEC17	6675.50	6513.00	USD	12,187.50	
08SEP17	B 1 PD08DEC17	6677.00	6513.00	USD	-4,100.00	
08SEP17	B 2 PD08DEC17	6681.50	6513.00	USD	-8,425.00	
08SEP17	B 1 PD08DEC17	6679.50	6513.00	USD	-4,162.50	
08SEP17	B 1 PD08DEC17	6672.50	6513.00	USD	-3,987.50	
08SEP17	S 1 PD08DEC17	6687.50	6513.00	USD	4,362.50	
08SEP17	S 1 PD08DEC17	6687.00	6513.00	USD	4,350.00	
08SEP17	S 1 PD08DEC17	6688.50	6513.00	USD	4,387.50	
08SEP17	B 1 PD08DEC17	6684.00	6513.00	USD	-4,275.00	
08SEP17	B 2 PD08DEC17	6687.00	6513.00	USD	-8,700.00	
08SEP17	B 1 PD08DEC17	6687.50	6513.00	USD	-4,362.50	
08SEP17	B 1 PD08DEC17	6688.50	6513.00	USD	-4,387.50	
08SEP17	B 1 PD08DEC17	6686.50	6513.00	USD	-4,337.50	
08SEP17	B 8 PD08DEC17	6685.50	6513.00	USD	-34,500.00	
08SEP17	B 2 PD08DEC17	6684.50	6513.00	USD	-8,575.00	
08SEP17	B 2 PD08DEC17	6685.00	6513.00	USD	-8,600.00	
08SEP17	B 8 PD08DEC17	6683.50	6513.00	USD	-34,100.00	
08SEP17	B 4 PD08DEC17	6682.50	6513.00	USD	-16,950.00	
08SEP17	B 2 PD08DEC17	6682.00	6513.00	USD	-8,450.00	
08SEP17	B 5 PD08DEC17	6680.00	6513.00	USD	-20,875.00	
08SEP17	B 2 PD08DEC17	6679.00	6513.00	USD	-8,300.00	
08SEP17	B 1 PD08DEC17	6698.50	6513.00	USD	-4,637.50	
08SEP17	B 1 PD08DEC17	6698.00	6513.00	USD	-4,625.00	
08SEP17	B 1 PD08DEC17	6699.00	6513.00	USD	-4,650.00	
08SEP17	S 1 PD08DEC17	6684.00	6513.00	USD	4,275.00	
08SEP17	B 4 PD08DEC17	6683.00	6513.00	USD	-17,000.00	
08SEP17	B 2 PD08DEC17	6680.50	6513.00	USD	-8,375.00	
08SEP17	B 1 PD08DEC17	6679.00	6513.00	USD	-4,150.00	
08SEP17	B 3 PD08DEC17	6682.50	6513.00	USD	-12,712.50	
08SEP17	B 1 PD08DEC17	6681.00	6513.00	USD	-4,200.00	
08SEP17	B 8 PD08DEC17	6678.00	6513.00	USD	-33,000.00	
20SEP17	S 40 PD08DEC17	6522.81	6513.00	USD	9,810.00	
22SEP17	S 20 PD08DEC17	6454.17	6513.00	USD	-29,415.00	
03OCT17	S 20 PD08DEC17	6513.00	6513.00	USD	0.00	
	NET 0 AVG BGHT 6758.43 SOLD 6646.41				**-543,292.50**	**0.00**
11SEP17	B 2 PD11DEC17	6718.00	6514.19	USD	-10,190.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0127A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
11SEP17	B 3 PD11DEC17	6720.00	6514.19	USD	-15,435.75	
11SEP17	S 1 PD11DEC17	6765.50	6514.19	USD	6,282.75	
11SEP17	S 2 PD11DEC17	6766.50	6514.19	USD	12,615.50	
11SEP17	S 2 PD11DEC17	6770.00	6514.19	USD	12,790.50	
11SEP17	S 2 PD11DEC17	6769.00	6514.19	USD	12,740.50	
11SEP17	B 1 PD11DEC17	6765.50	6514.19	USD	-6,282.75	
11SEP17	B 1 PD11DEC17	6769.00	6514.19	USD	-6,370.25	
11SEP17	B 4 PD11DEC17	6768.00	6514.19	USD	-25,381.00	
11SEP17	B 4 PD11DEC17	6763.50	6514.19	USD	-24,931.00	
11SEP17	B 1 PD11DEC17	6761.50	6514.19	USD	-6,182.75	
11SEP17	S 1 PD11DEC17	6766.50	6514.19	USD	6,307.75	
11SEP17	S 1 PD11DEC17	6768.00	6514.19	USD	6,345.25	
11SEP17	S 1 PD11DEC17	6770.00	6514.19	USD	6,395.25	
11SEP17	S 1 PD11DEC17	6769.00	6514.19	USD	6,370.25	
11SEP17	B 1 PD11DEC17	6726.50	6514.19	USD	-5,307.75	
11SEP17	B 3 PD11DEC17	6729.50	6514.19	USD	-16,148.25	
11SEP17	B 2 PD11DEC17	6730.00	6514.19	USD	-10,790.50	
11SEP17	B 1 PD11DEC17	6729.00	6514.19	USD	-5,370.25	
11SEP17	B 1 PD11DEC17	6728.50	6514.19	USD	-5,357.75	
11SEP17	B 13 PD11DEC17	6728.00	6514.19	USD	-69,488.25	
11SEP17	B 4 PD11DEC17	6727.50	6514.19	USD	-21,331.00	
11SEP17	B 4 PD11DEC17	6730.50	6514.19	USD	-21,631.00	
11SEP17	S 1 PD11DEC17	6724.50	6514.19	USD	5,257.75	
11SEP17	S 1 PD11DEC17	6719.50	6514.19	USD	5,132.75	
11SEP17	S 7 PD11DEC17	6720.00	6514.19	USD	36,016.75	
11SEP17	S 3 PD11DEC17	6723.00	6514.19	USD	15,660.75	
11SEP17	S 6 PD11DEC17	6723.50	6514.19	USD	31,396.50	
11SEP17	B 2 PD11DEC17	6732.50	6514.19	USD	-10,915.50	
11SEP17	B 3 PD11DEC17	6735.00	6514.19	USD	-16,560.75	
11SEP17	B 2 PD11DEC17	6733.00	6514.19	USD	-10,940.50	
11SEP17	B 2 PD11DEC17	6734.00	6514.19	USD	-10,990.50	
11SEP17	B 4 PD11DEC17	6734.50	6514.19	USD	-22,031.00	
11SEP17	B 2 PD11DEC17	6736.50	6514.19	USD	-11,115.50	
11SEP17	B 1 PD11DEC17	6742.00	6514.19	USD	-5,695.25	
11SEP17	B 1 PD11DEC17	6745.00	6514.19	USD	-5,770.25	
11SEP17	B 1 PD11DEC17	6748.50	6514.19	USD	-5,857.75	
11SEP17	B 2 PD11DEC17	6745.50	6514.19	USD	-11,565.50	
11SEP17	B 3 PD11DEC17	6750.00	6514.19	USD	-17,685.75	
11SEP17	B 3 PD11DEC17	6749.50	6514.19	USD	-17,648.25	
11SEP17	B 1 PD11DEC17	6731.50	6514.19	USD	-5,432.75	
11SEP17	B 4 PD11DEC17	6731.00	6514.19	USD	-21,681.00	
11SEP17	B 4 PD11DEC17	6737.50	6514.19	USD	-22,331.00	
11SEP17	S 1 PD11DEC17	6747.50	6514.19	USD	5,832.75	
11SEP17	S 13 PD11DEC17	6747.00	6514.19	USD	75,663.25	
11SEP17	S 3 PD11DEC17	6745.50	6514.19	USD	17,348.25	
11SEP17	S 6 PD11DEC17	6748.00	6514.19	USD	35,071.50	
11SEP17	S 7 PD11DEC17	6748.50	6514.19	USD	41,004.25	
11SEP17	S 6 PD11DEC17	6749.50	6514.19	USD	35,296.50	
11SEP17	S 1 PD11DEC17	6750.50	6514.19	USD	5,907.75	
11SEP17	S 1 PD11DEC17	6738.50	6514.19	USD	5,607.75	
11SEP17	S 2 PD11DEC17	6743.50	6514.19	USD	11,465.50	
11SEP17	S 2 PD11DEC17	6744.00	6514.19	USD	11,490.50	
11SEP17	S 6 PD11DEC17	6746.00	6514.19	USD	34,771.50	
11SEP17	S 1 PD11DEC17	6743.00	6514.19	USD	5,720.25	
11SEP17	S 1 PD11DEC17	6741.50	6514.19	USD	5,682.75	
11SEP17	S 1 PD11DEC17	6742.00	6514.19	USD	5,695.25	
11SEP17	B 1 PD11DEC17	6730.00	6514.19	USD	-5,395.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0127A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
11SEP17	S 1 PD11DEC17	6747.00	6514.19	USD	5,820.25	
	NET 0 AVG BGHT 6737.31 SOLD 6744.16				**13,875.00**	**0.00**
12SEP17	S 7 PD12DEC17	6706.00	6514.58	USD	33,498.50	
12SEP17	S 3 PD12DEC17	6705.00	6514.58	USD	14,281.50	
12SEP17	B 5 PD12DEC17	6728.00	6514.58	USD	-26,677.50	
12SEP17	B 3 PD12DEC17	6727.00	6514.58	USD	-15,931.50	
12SEP17	B 2 PD12DEC17	6729.50	6514.58	USD	-10,746.00	
12SEP17	B 1 PD12DEC17	6719.00	6514.58	USD	-5,110.50	
12SEP17	B 2 PD12DEC17	6718.50	6514.58	USD	-10,196.00	
12SEP17	B 5 PD12DEC17	6697.50	6514.58	USD	-22,865.00	
12SEP17	B 11 PD12DEC17	6699.50	6514.58	USD	-50,853.00	
12SEP17	B 6 PD12DEC17	6699.00	6514.58	USD	-27,663.00	
12SEP17	B 7 PD12DEC17	6698.00	6514.58	USD	-32,098.50	
12SEP17	B 1 PD12DEC17	6698.50	6514.58	USD	-4,598.00	
12SEP17	B 1 PD12DEC17	6696.00	6514.58	USD	-4,535.50	
12SEP17	B 1 PD12DEC17	6695.50	6514.58	USD	-4,523.00	
12SEP17	B 1 PD12DEC17	6694.50	6514.58	USD	-4,498.00	
12SEP17	B 1 PD12DEC17	6693.50	6514.58	USD	-4,473.00	
12SEP17	B 6 PD12DEC17	6689.50	6514.58	USD	-26,238.00	
12SEP17	B 1 PD12DEC17	6688.50	6514.58	USD	-4,348.00	
12SEP17	B 2 PD12DEC17	6692.00	6514.58	USD	-8,871.00	
12SEP17	B 1 PD12DEC17	6691.00	6514.58	USD	-4,410.50	
12SEP17	B 10 PD12DEC17	6689.00	6514.58	USD	-43,605.00	
12SEP17	B 8 PD12DEC17	6690.00	6514.58	USD	-35,084.00	
12SEP17	B 1 PD12DEC17	6675.50	6514.58	USD	-4,023.00	
12SEP17	B 1 PD12DEC17	6678.50	6514.58	USD	-4,098.00	
12SEP17	B 3 PD12DEC17	6678.00	6514.58	USD	-12,256.50	
12SEP17	B 4 PD12DEC17	6681.50	6514.58	USD	-16,692.00	
12SEP17	B 1 PD12DEC17	6680.50	6514.58	USD	-4,148.00	
12SEP17	B 2 PD12DEC17	6679.50	6514.58	USD	-8,246.00	
12SEP17	B 1 PD12DEC17	6677.00	6514.58	USD	-4,060.50	
12SEP17	B 2 PD12DEC17	6651.50	6514.58	USD	-6,846.00	
12SEP17	B 2 PD12DEC17	6650.00	6514.58	USD	-6,771.00	
12SEP17	B 4 PD12DEC17	6690.00	6514.58	USD	-17,542.00	
12SEP17	B 1 PD12DEC17	6717.00	6514.58	USD	-5,060.50	
12SEP17	B 1 PD12DEC17	6718.00	6514.58	USD	-5,085.50	
12SEP17	B 2 PD12DEC17	6697.00	6514.58	USD	-9,121.00	
12SEP17	B 1 PD12DEC17	6689.00	6514.58	USD	-4,360.50	
12SEP17	B 2 PD12DEC17	6679.50	6514.58	USD	-8,246.00	
12SEP17	B 1 PD12DEC17	6648.00	6514.58	USD	-3,335.50	
12SEP17	S 1 PD12DEC17	6644.50	6514.58	USD	3,248.00	
12SEP17	S 3 PD12DEC17	6645.00	6514.58	USD	9,781.50	
12SEP17	S 1 PD12DEC17	6644.00	6514.58	USD	3,235.50	
12SEP17	S 2 PD12DEC17	6643.00	6514.58	USD	6,421.00	
12SEP17	S 1 PD12DEC17	6642.50	6514.58	USD	3,198.00	
12SEP17	S 3 PD12DEC17	6642.00	6514.58	USD	9,556.50	
12SEP17	S 1 PD12DEC17	6639.00	6514.58	USD	3,110.50	
12SEP17	B 2 PD12DEC17	6656.50	6514.58	USD	-7,096.00	
12SEP17	S 1 PD12DEC17	6654.00	6514.58	USD	3,485.50	
12SEP17	S 7 PD12DEC17	6650.00	6514.58	USD	23,698.50	
12SEP17	S 1 PD12DEC17	6651.50	6514.58	USD	3,423.00	
12SEP17	S 2 PD12DEC17	6651.00	6514.58	USD	6,821.00	
12SEP17	S 1 PD12DEC17	6650.50	6514.58	USD	3,398.00	
12SEP17	S 1 PD12DEC17	6652.50	6514.58	USD	3,448.00	
12SEP17	S 17 PD12DEC17	6652.00	6514.58	USD	58,403.50	
12SEP17	S 3 PD12DEC17	6654.50	6514.58	USD	10,494.00	
12SEP17	S 4 PD12DEC17	6653.00	6514.58	USD	13,842.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0127A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
12SEP17	S 3 PD12DEC17	6653.50	6514.58	USD	10,419.00	
12SEP17	S 2 PD12DEC17	6663.50	6514.58	USD	7,446.00	
12SEP17	S 6 PD12DEC17	6663.00	6514.58	USD	22,263.00	
12SEP17	S 1 PD12DEC17	6665.50	6514.58	USD	3,773.00	
12SEP17	S 1 PD12DEC17	6667.50	6514.58	USD	3,823.00	
12SEP17	S 1 PD12DEC17	6666.50	6514.58	USD	3,798.00	
12SEP17	S 1 PD12DEC17	6675.00	6514.58	USD	4,010.50	
12SEP17	S 1 PD12DEC17	6676.50	6514.58	USD	4,048.00	
12SEP17	S 1 PD12DEC17	6676.00	6514.58	USD	4,035.50	
12SEP17	S 1 PD12DEC17	6681.50	6514.58	USD	4,173.00	
12SEP17	S 1 PD12DEC17	6682.50	6514.58	USD	4,198.00	
12SEP17	S 1 PD12DEC17	6680.50	6514.58	USD	4,148.00	
12SEP17	S 1 PD12DEC17	6679.50	6514.58	USD	4,123.00	
12SEP17	S 1 PD12DEC17	6678.00	6514.58	USD	4,085.50	
12SEP17	S 1 PD12DEC17	6671.50	6514.58	USD	3,923.00	
12SEP17	S 4 PD12DEC17	6669.50	6514.58	USD	15,492.00	
12SEP17	S 1 PD12DEC17	6661.50	6514.58	USD	3,673.00	
12SEP17	S 1 PD12DEC17	6660.00	6514.58	USD	3,635.50	
12SEP17	S 1 PD12DEC17	6661.00	6514.58	USD	3,660.50	
12SEP17	S 3 PD12DEC17	6662.00	6514.58	USD	11,056.50	
12SEP17	S 3 PD12DEC17	6664.00	6514.58	USD	11,206.50	
12SEP17	S 1 PD12DEC17	6655.50	6514.58	USD	3,523.00	
12SEP17	S 1 PD12DEC17	6657.50	6514.58	USD	3,573.00	
12SEP17	S 7 PD12DEC17	6656.50	6514.58	USD	24,836.00	
12SEP17	S 12 PD12DEC17	6655.00	6514.58	USD	42,126.00	
12SEP17	S 3 PD12DEC17	6656.00	6514.58	USD	10,606.50	
12SEP17	S 4 PD12DEC17	6659.50	6514.58	USD	14,492.00	
12SEP17	S 1 PD12DEC17	6649.50	6514.58	USD	3,373.00	
12SEP17	S 1 PD12DEC17	6652.50	6514.58	USD	3,448.00	
12SEP17	S 1 PD12DEC17	6656.00	6514.58	USD	3,535.50	
12SEP17	S 1 PD12DEC17	6655.00	6514.58	USD	3,510.50	
12SEP17	S 2 PD12DEC17	6653.00	6514.58	USD	6,921.00	
12SEP17	S 2 PD12DEC17	6653.50	6514.58	USD	6,946.00	
12SEP17	S 1 PD12DEC17	6660.50	6514.58	USD	3,648.00	
12SEP17	B 1 PD12DEC17	6668.00	6514.58	USD	-3,835.50	
12SEP17	B 5 PD12DEC17	6669.00	6514.58	USD	-19,302.50	
12SEP17	B 2 PD12DEC17	6673.50	6514.58	USD	-7,946.00	
12SEP17	B 7 PD12DEC17	6670.00	6514.58	USD	-27,198.50	
12SEP17	B 10 PD12DEC17	6669.50	6514.58	USD	-38,730.00	
12SEP17	B 1 PD12DEC17	6667.50	6514.58	USD	-3,823.00	
12SEP17	B 3 PD12DEC17	6671.50	6514.58	USD	-11,769.00	
12SEP17	B 6 PD12DEC17	6673.00	6514.58	USD	-23,763.00	
12SEP17	B 6 PD12DEC17	6674.00	6514.58	USD	-23,913.00	
12SEP17	B 5 PD12DEC17	6672.50	6514.58	USD	-19,740.00	
12SEP17	B 3 PD12DEC17	6668.50	6514.58	USD	-11,544.00	
12SEP17	B 2 PD12DEC17	6672.00	6514.58	USD	-7,871.00	
12SEP17	B 4 PD12DEC17	6670.50	6514.58	USD	-15,592.00	
12SEP17	S 2 PD12DEC17	6671.00	6514.58	USD	7,821.00	
12SEP17	B 1 PD12DEC17	6671.50	6514.58	USD	-3,923.00	
12SEP17	B 1 PD12DEC17	6670.00	6514.58	USD	-3,885.50	
12SEP17	B 1 PD12DEC17	6674.00	6514.58	USD	-3,985.50	
15SEP17	S 30 PD12DEC17	6505.76	6514.58	USD	-6,615.00	
	NET 0 AVG BGHT 6685.59 SOLD 6632.16				**-219,055.00**	**0.00**
13SEP17	S 1 PD13DEC17	6657.50	6514.98	USD	3,563.00	
13SEP17	S 12 PD13DEC17	6657.00	6514.98	USD	42,606.00	
13SEP17	S 3 PD13DEC17	6653.50	6514.98	USD	10,389.00	
13SEP17	S 2 PD13DEC17	6652.00	6514.98	USD	6,851.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0127A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
13SEP17	S 1 PD13DEC17	6651.50	6514.98	USD	3,413.00	
13SEP17	S 1 PD13DEC17	6650.50	6514.98	USD	3,388.00	
13SEP17	B 1 PD13DEC17	6632.00	6514.98	USD	-2,925.50	
13SEP17	B 2 PD13DEC17	6631.00	6514.98	USD	-5,801.00	
13SEP17	B 3 PD13DEC17	6629.00	6514.98	USD	-8,551.50	
13SEP17	B 2 PD13DEC17	6628.50	6514.98	USD	-5,676.00	
13SEP17	B 1 PD13DEC17	6630.00	6514.98	USD	-2,875.50	
13SEP17	B 1 PD13DEC17	6618.50	6514.98	USD	-2,588.00	
13SEP17	B 6 PD13DEC17	6621.00	6514.98	USD	-15,903.00	
13SEP17	B 1 PD13DEC17	6620.50	6514.98	USD	-2,638.00	
13SEP17	B 5 PD13DEC17	6620.00	6514.98	USD	-13,127.50	
13SEP17	B 3 PD13DEC17	6619.50	6514.98	USD	-7,839.00	
13SEP17	B 2 PD13DEC17	6619.00	6514.98	USD	-5,201.00	
13SEP17	B 1 PD13DEC17	6622.00	6514.98	USD	-2,675.50	
13SEP17	B 1 PD13DEC17	6624.00	6514.98	USD	-2,725.50	
13SEP17	B 5 PD13DEC17	6623.50	6514.98	USD	-13,565.00	
13SEP17	B 1 PD13DEC17	6623.00	6514.98	USD	-2,700.50	
13SEP17	B 9 PD13DEC17	6624.50	6514.98	USD	-24,642.00	
13SEP17	B 2 PD13DEC17	6625.00	6514.98	USD	-5,501.00	
13SEP17	B 2 PD13DEC17	6625.50	6514.98	USD	-5,526.00	
13SEP17	B 1 PD13DEC17	6626.00	6514.98	USD	-2,775.50	
13SEP17	B 2 PD13DEC17	6612.00	6514.98	USD	-4,851.00	
13SEP17	B 2 PD13DEC17	6614.00	6514.98	USD	-4,951.00	
13SEP17	B 1 PD13DEC17	6612.50	6514.98	USD	-2,438.00	
13SEP17	B 1 PD13DEC17	6607.50	6514.98	USD	-2,313.00	
13SEP17	B 3 PD13DEC17	6608.00	6514.98	USD	-6,976.50	
13SEP17	B 1 PD13DEC17	6605.50	6514.98	USD	-2,263.00	
13SEP17	B 2 PD13DEC17	6607.00	6514.98	USD	-4,601.00	
13SEP17	B 2 PD13DEC17	6609.00	6514.98	USD	-4,701.00	
13SEP17	B 1 PD13DEC17	6602.50	6514.98	USD	-2,188.00	
13SEP17	B 3 PD13DEC17	6598.50	6514.98	USD	-6,264.00	
13SEP17	B 1 PD13DEC17	6597.00	6514.98	USD	-2,050.50	
13SEP17	B 3 PD13DEC17	6590.50	6514.98	USD	-5,664.00	
13SEP17	B 1 PD13DEC17	6589.50	6514.98	USD	-1,863.00	
13SEP17	B 4 PD13DEC17	6590.00	6514.98	USD	-7,502.00	
13SEP17	B 2 PD13DEC17	6592.50	6514.98	USD	-3,876.00	
13SEP17	B 1 PD13DEC17	6592.00	6514.98	USD	-1,925.50	
13SEP17	B 5 PD13DEC17	6593.00	6514.98	USD	-9,752.50	
13SEP17	B 1 PD13DEC17	6559.00	6514.98	USD	-1,100.50	
13SEP17	B 2 PD13DEC17	6560.00	6514.98	USD	-2,251.00	
13SEP17	B 2 PD13DEC17	6564.50	6514.98	USD	-2,476.00	
13SEP17	B 2 PD13DEC17	6575.50	6514.98	USD	-3,026.00	
13SEP17	B 1 PD13DEC17	6574.00	6514.98	USD	-1,475.50	
13SEP17	B 1 PD13DEC17	6573.50	6514.98	USD	-1,463.00	
13SEP17	B 1 PD13DEC17	6572.00	6514.98	USD	-1,425.50	
13SEP17	B 1 PD13DEC17	6571.00	6514.98	USD	-1,400.50	
13SEP17	B 5 PD13DEC17	6570.00	6514.98	USD	-6,877.50	
13SEP17	B 1 PD13DEC17	6570.50	6514.98	USD	-1,388.00	
13SEP17	B 2 PD13DEC17	6569.50	6514.98	USD	-2,726.00	
13SEP17	B 1 PD13DEC17	6565.50	6514.98	USD	-1,263.00	
13SEP17	B 3 PD13DEC17	6565.00	6514.98	USD	-3,751.50	
13SEP17	B 1 PD13DEC17	6566.00	6514.98	USD	-1,275.50	
13SEP17	B 1 PD13DEC17	6563.50	6514.98	USD	-1,213.00	
13SEP17	B 2 PD13DEC17	6568.50	6514.98	USD	-2,676.00	
13SEP17	B 3 PD13DEC17	6568.00	6514.98	USD	-3,976.50	
13SEP17	B 2 PD13DEC17	6567.50	6514.98	USD	-2,626.00	
13SEP17	S 1 PD13DEC17	6571.50	6514.98	USD	1,413.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0127A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
13SEP17	S 1 PD13DEC17	6571.00	6514.98	USD	1,400.50	
13SEP17	B 4 PD13DEC17	6569.00	6514.98	USD	-5,402.00	
13SEP17	S 1 PD13DEC17	6572.50	6514.98	USD	1,438.00	
13SEP17	B 1 PD13DEC17	6629.00	6514.98	USD	-2,850.50	
13SEP17	S 4 PD13DEC17	6561.00	6514.98	USD	4,602.00	
13SEP17	S 1 PD13DEC17	6559.00	6514.98	USD	1,100.50	
13SEP17	S 3 PD13DEC17	6559.50	6514.98	USD	3,339.00	
13SEP17	S 4 PD13DEC17	6560.50	6514.98	USD	4,552.00	
13SEP17	S 1 PD13DEC17	6555.50	6514.98	USD	1,013.00	
13SEP17	S 4 PD13DEC17	6555.00	6514.98	USD	4,002.00	
13SEP17	S 6 PD13DEC17	6554.50	6514.98	USD	5,928.00	
13SEP17	S 1 PD13DEC17	6551.00	6514.98	USD	900.50	
13SEP17	S 1 PD13DEC17	6550.00	6514.98	USD	875.50	
13SEP17	S 5 PD13DEC17	6546.00	6514.98	USD	3,877.50	
13SEP17	S 6 PD13DEC17	6547.00	6514.98	USD	4,803.00	
13SEP17	S 2 PD13DEC17	6548.00	6514.98	USD	1,651.00	
13SEP17	S 1 PD13DEC17	6547.50	6514.98	USD	813.00	
13SEP17	S 10 PD13DEC17	6546.50	6514.98	USD	7,880.00	
13SEP17	B 1 PD13DEC17	6547.00	6514.98	USD	-800.50	
13SEP17	B 1 PD13DEC17	6552.50	6514.98	USD	-938.00	
13SEP17	B 1 PD13DEC17	6550.00	6514.98	USD	-875.50	
13SEP17	B 2 PD13DEC17	6550.50	6514.98	USD	-1,776.00	
13SEP17	S 4 PD13DEC17	6554.00	6514.98	USD	3,902.00	
13SEP17	B 1 PD13DEC17	6555.50	6514.98	USD	-1,013.00	
13SEP17	B 1 PD13DEC17	6567.00	6514.98	USD	-1,300.50	
13SEP17	S 1 PD13DEC17	6555.00	6514.98	USD	1,000.50	
13SEP17	S 1 PD13DEC17	6554.50	6514.98	USD	988.00	
13SEP17	B 2 PD13DEC17	6551.50	6514.98	USD	-1,826.00	
13SEP17	B 3 PD13DEC17	6552.00	6514.98	USD	-2,776.50	
13SEP17	B 1 PD13DEC17	6557.00	6514.98	USD	-1,050.50	
13SEP17	B 2 PD13DEC17	6555.00	6514.98	USD	-2,001.00	
13SEP17	B 4 PD13DEC17	6554.00	6514.98	USD	-3,902.00	
13SEP17	B 3 PD13DEC17	6553.00	6514.98	USD	-2,851.50	
13SEP17	B 9 PD13DEC17	6553.50	6514.98	USD	-8,667.00	
13SEP17	B 1 PD13DEC17	6554.50	6514.98	USD	-988.00	
15SEP17	S 75 PD13DEC17	6506.17	6514.98	USD	-16,518.75	
	NET 0 AVG BGHT 6590.49 SOLD 6543.52				**-179,656.25**	**0.00**
14SEP17	S 9 PD14DEC17	6535.00	6515.38	USD	4,414.50	
14SEP17	S 2 PD14DEC17	6536.50	6515.38	USD	1,056.00	
14SEP17	S 1 PD14DEC17	6539.00	6515.38	USD	590.50	
14SEP17	S 8 PD14DEC17	6535.50	6515.38	USD	4,024.00	
14SEP17	B 1 PD14DEC17	6522.50	6515.38	USD	-178.00	
14SEP17	B 1 PD14DEC17	6521.50	6515.38	USD	-153.00	
14SEP17	B 1 PD14DEC17	6520.50	6515.38	USD	-128.00	
14SEP17	S 1 PD14DEC17	6530.50	6515.38	USD	378.00	
14SEP17	S 1 PD14DEC17	6531.00	6515.38	USD	390.50	
14SEP17	S 2 PD14DEC17	6529.00	6515.38	USD	681.00	
14SEP17	S 1 PD14DEC17	6528.00	6515.38	USD	315.50	
14SEP17	S 1 PD14DEC17	6522.00	6515.38	USD	165.50	
14SEP17	B 1 PD14DEC17	6519.00	6515.38	USD	-90.50	
14SEP17	B 2 PD14DEC17	6523.00	6515.38	USD	-381.00	
14SEP17	B 2 PD14DEC17	6523.50	6515.38	USD	-406.00	
14SEP17	B 8 PD14DEC17	6524.00	6515.38	USD	-1,724.00	
14SEP17	B 3 PD14DEC17	6526.50	6515.38	USD	-834.00	
14SEP17	B 5 PD14DEC17	6525.00	6515.38	USD	-1,202.50	
14SEP17	B 2 PD14DEC17	6525.50	6515.38	USD	-506.00	
14SEP17	B 2 PD14DEC17	6518.50	6515.38	USD	-156.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0127A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
14SEP17	B 4 PD14DEC17	6513.00	6515.38	USD	238.00	
14SEP17	B 1 PD14DEC17	6510.50	6515.38	USD	122.00	
14SEP17	B 3 PD14DEC17	6512.00	6515.38	USD	253.50	
14SEP17	B 2 PD14DEC17	6496.50	6515.38	USD	944.00	
14SEP17	B 1 PD14DEC17	6489.00	6515.38	USD	659.50	
14SEP17	B 1 PD14DEC17	6487.50	6515.38	USD	697.00	
14SEP17	B 1 PD14DEC17	6488.00	6515.38	USD	684.50	
14SEP17	B 1 PD14DEC17	6503.50	6515.38	USD	297.00	
14SEP17	B 2 PD14DEC17	6504.00	6515.38	USD	569.00	
14SEP17	B 1 PD14DEC17	6507.00	6515.38	USD	209.50	
14SEP17	B 4 PD14DEC17	6509.50	6515.38	USD	588.00	
14SEP17	S 1 PD14DEC17	6464.50	6515.38	USD	-1,272.00	
14SEP17	S 1 PD14DEC17	6469.50	6515.38	USD	-1,147.00	
14SEP17	S 1 PD14DEC17	6469.00	6515.38	USD	-1,159.50	
14SEP17	S 1 PD14DEC17	6473.00	6515.38	USD	-1,059.50	
14SEP17	S 1 PD14DEC17	6459.50	6515.38	USD	-1,397.00	
14SEP17	S 1 PD14DEC17	6460.00	6515.38	USD	-1,384.50	
14SEP17	S 4 PD14DEC17	6458.50	6515.38	USD	-5,688.00	
14SEP17	S 1 PD14DEC17	6453.00	6515.38	USD	-1,559.50	
14SEP17	B 1 PD14DEC17	6459.50	6515.38	USD	1,397.00	
14SEP17	B 1 PD14DEC17	6458.00	6515.38	USD	1,434.50	
14SEP17	B 1 PD14DEC17	6466.00	6515.38	USD	1,234.50	
14SEP17	B 1 PD14DEC17	6465.00	6515.38	USD	1,259.50	
14SEP17	B 1 PD14DEC17	6467.00	6515.38	USD	1,209.50	
14SEP17	S 1 PD14DEC17	6472.50	6515.38	USD	-1,072.00	
14SEP17	S 1 PD14DEC17	6471.00	6515.38	USD	-1,109.50	
14SEP17	S 1 PD14DEC17	6540.00	6515.38	USD	615.50	
14SEP17	S 2 PD14DEC17	6470.50	6515.38	USD	-2,244.00	
14SEP17	S 2 PD14DEC17	6471.50	6515.38	USD	-2,194.00	
14SEP17	S 2 PD14DEC17	6472.00	6515.38	USD	-2,169.00	
14SEP17	S 1 PD14DEC17	6474.00	6515.38	USD	-1,034.50	
14SEP17	S 5 PD14DEC17	6473.50	6515.38	USD	-5,235.00	
14SEP17	S 1 PD14DEC17	6474.50	6515.38	USD	-1,022.00	
14SEP17	S 1 PD14DEC17	6475.00	6515.38	USD	-1,009.50	
14SEP17	S 1 PD14DEC17	6476.00	6515.38	USD	-984.50	
14SEP17	S 1 PD14DEC17	6477.00	6515.38	USD	-959.50	
14SEP17	S 1 PD14DEC17	6479.50	6515.38	USD	-897.00	
14SEP17	B 1 PD14DEC17	6479.00	6515.38	USD	909.50	
14SEP17	B 1 PD14DEC17	6479.50	6515.38	USD	897.00	
14SEP17	S 1 PD14DEC17	6494.00	6515.38	USD	-534.50	
14SEP17	S 1 PD14DEC17	6490.50	6515.38	USD	-622.00	
14SEP17	S 1 PD14DEC17	6488.50	6515.38	USD	-672.00	
14SEP17	S 2 PD14DEC17	6489.50	6515.38	USD	-1,294.00	
14SEP17	S 1 PD14DEC17	6493.00	6515.38	USD	-559.50	
14SEP17	S 2 PD14DEC17	6492.50	6515.38	USD	-1,144.00	
14SEP17	S 3 PD14DEC17	6493.50	6515.38	USD	-1,641.00	
14SEP17	S 1 PD14DEC17	6495.50	6515.38	USD	-497.00	
14SEP17	S 4 PD14DEC17	6486.50	6515.38	USD	-2,888.00	
14SEP17	S 2 PD14DEC17	6489.00	6515.38	USD	-1,319.00	
14SEP17	S 5 PD14DEC17	6496.50	6515.38	USD	-2,360.00	
14SEP17	S 6 PD14DEC17	6500.00	6515.38	USD	-2,307.00	
14SEP17	S 1 PD14DEC17	6505.00	6515.38	USD	-259.50	
14SEP17	S 1 PD14DEC17	6508.50	6515.38	USD	-172.00	
14SEP17	S 1 PD14DEC17	6514.00	6515.38	USD	-34.50	
14SEP17	S 1 PD14DEC17	6516.00	6515.38	USD	15.50	
14SEP17	S 1 PD14DEC17	6516.50	6515.38	USD	28.00	
14SEP17	S 1 PD14DEC17	6519.50	6515.38	USD	103.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0127A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT							
14SEP17	B	1	PD14DEC17	6529.50	6515.38	USD	-353.00	
14SEP17	S	2	PD14DEC17	6529.50	6515.38	USD	706.00	
14SEP17	B	6	PD14DEC17	6517.50	6515.38	USD	-318.00	
14SEP17	S	3	PD14DEC17	6525.50	6515.38	USD	759.00	
14SEP17	S	2	PD14DEC17	6526.50	6515.38	USD	556.00	
14SEP17	S	1	PD14DEC17	6523.50	6515.38	USD	203.00	
14SEP17	S	2	PD14DEC17	6524.00	6515.38	USD	431.00	
14SEP17	S	3	PD14DEC17	6523.00	6515.38	USD	571.50	
14SEP17	S	3	PD14DEC17	6521.50	6515.38	USD	459.00	
14SEP17	S	1	PD14DEC17	6513.00	6515.38	USD	-59.50	
14SEP17	S	1	PD14DEC17	6515.00	6515.38	USD	-9.50	
14SEP17	S	4	PD14DEC17	6510.50	6515.38	USD	-488.00	
14SEP17	S	3	PD14DEC17	6510.00	6515.38	USD	-403.50	
14SEP17	S	4	PD14DEC17	6508.00	6515.38	USD	-738.00	
14SEP17	S	2	PD14DEC17	6506.00	6515.38	USD	-469.00	
14SEP17	S	5	PD14DEC17	6509.00	6515.38	USD	-797.50	
14SEP17	S	3	PD14DEC17	6509.50	6515.38	USD	-441.00	
14SEP17	S	2	PD14DEC17	6502.00	6515.38	USD	-669.00	
14SEP17	S	1	PD14DEC17	6486.00	6515.38	USD	-734.50	
14SEP17	B	1	PD14DEC17	6526.00	6515.38	USD	-265.50	
14SEP17	S	1	PD14DEC17	6510.00	6515.38	USD	-134.50	
14SEP17	S	1	PD14DEC17	6499.50	6515.38	USD	-397.00	
14SEP17	S	1	PD14DEC17	6501.50	6515.38	USD	-347.00	
14SEP17	S	7	PD14DEC17	6504.50	6515.38	USD	-1,904.00	
14SEP17	S	9	PD14DEC17	6505.50	6515.38	USD	-2,223.00	
14SEP17	S	10	PD14DEC17	6504.00	6515.38	USD	-2,845.00	
14SEP17	S	5	PD14DEC17	6503.00	6515.38	USD	-1,547.50	
14SEP17	S	3	PD14DEC17	6501.00	6515.38	USD	-1,078.50	
14SEP17	S	3	PD14DEC17	6497.50	6515.38	USD	-1,341.00	
14SEP17	S	3	PD14DEC17	6502.50	6515.38	USD	-966.00	
14SEP17	S	9	PD14DEC17	6503.50	6515.38	USD	-2,673.00	
14SEP17	S	5	PD14DEC17	6498.00	6515.38	USD	-2,172.50	
14SEP17	S	2	PD14DEC17	6494.50	6515.38	USD	-1,044.00	
14SEP17	S	1	PD14DEC17	6495.50	6515.38	USD	-497.00	
15SEP17	B	105	PD14DEC17	6506.79	6515.38	USD	22,548.75	
19SEP17	B	15	PD14DEC17	6537.02	6515.38	USD	-8,115.00	
20SEP17	B	10	PD14DEC17	6524.89	6515.38	USD	-2,377.50	
	NET	**0**	**AVG BGHT 6511.47** **SOLD 6503.34**				**-39,453.75**	**0.00**
15SEP17	S	2	PD15DEC17	6486.50	6515.77	USD	-1,463.50	
15SEP17	S	1	PD15DEC17	6488.50	6515.77	USD	-681.75	
15SEP17	S	1	PD15DEC17	6489.50	6515.77	USD	-656.75	
15SEP17	S	3	PD15DEC17	6488.00	6515.77	USD	-2,082.75	
15SEP17	S	1	PD15DEC17	6487.50	6515.77	USD	-706.75	
15SEP17	S	1	PD15DEC17	6487.00	6515.77	USD	-719.25	
15SEP17	S	4	PD15DEC17	6501.50	6515.77	USD	-1,427.00	
15SEP17	S	1	PD15DEC17	6501.00	6515.77	USD	-369.25	
15SEP17	B	1	PD15DEC17	6493.00	6515.77	USD	569.25	
15SEP17	B	2	PD15DEC17	6494.50	6515.77	USD	1,063.50	
15SEP17	B	4	PD15DEC17	6495.00	6515.77	USD	2,077.00	
15SEP17	B	2	PD15DEC17	6495.50	6515.77	USD	1,013.50	
15SEP17	B	1	PD15DEC17	6496.00	6515.77	USD	494.25	
15SEP17	B	1	PD15DEC17	6493.50	6515.77	USD	556.75	
15SEP17	B	1	PD15DEC17	6492.50	6515.77	USD	581.75	
15SEP17	B	1	PD15DEC17	6491.00	6515.77	USD	619.25	
15SEP17	B	2	PD15DEC17	6489.00	6515.77	USD	1,338.50	
15SEP17	B	1	PD15DEC17	6501.50	6515.77	USD	356.75	
15SEP17	B	1	PD15DEC17	6510.50	6515.77	USD	131.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0127A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
15SEP17	S 2 PD15DEC17	6524.00	6515.77	USD	411.50	
15SEP17	S 3 PD15DEC17	6525.00	6515.77	USD	692.25	
15SEP17	S 2 PD15DEC17	6516.50	6515.77	USD	36.50	
15SEP17	S 2 PD15DEC17	6514.00	6515.77	USD	-88.50	
15SEP17	S 1 PD15DEC17	6515.50	6515.77	USD	-6.75	
15SEP17	S 3 PD15DEC17	6510.00	6515.77	USD	-432.75	
15SEP17	S 1 PD15DEC17	6509.00	6515.77	USD	-169.25	
15SEP17	S 2 PD15DEC17	6507.50	6515.77	USD	-413.50	
15SEP17	B 2 PD15DEC17	6504.50	6515.77	USD	563.50	
15SEP17	S 1 PD15DEC17	6509.50	6515.77	USD	-156.75	
15SEP17	B 1 PD15DEC17	6499.00	6515.77	USD	419.25	
15SEP17	B 2 PD15DEC17	6499.50	6515.77	USD	813.50	
15SEP17	B 1 PD15DEC17	6498.00	6515.77	USD	444.25	
15SEP17	B 3 PD15DEC17	6506.00	6515.77	USD	732.75	
15SEP17	B 1 PD15DEC17	6495.50	6515.77	USD	506.75	
15SEP17	B 1 PD15DEC17	6495.00	6515.77	USD	519.25	
15SEP17	B 1 PD15DEC17	6507.00	6515.77	USD	219.25	
15SEP17	S 1 PD15DEC17	6493.50	6515.77	USD	-556.75	
15SEP17	S 4 PD15DEC17	6497.00	6515.77	USD	-1,877.00	
15SEP17	S 1 PD15DEC17	6499.00	6515.77	USD	-419.25	
15SEP17	S 4 PD15DEC17	6497.50	6515.77	USD	-1,827.00	
15SEP17	S 2 PD15DEC17	6494.50	6515.77	USD	-1,063.50	
15SEP17	S 3 PD15DEC17	6494.00	6515.77	USD	-1,632.75	
15SEP17	S 5 PD15DEC17	6492.50	6515.77	USD	-2,908.75	
15SEP17	S 8 PD15DEC17	6493.00	6515.77	USD	-4,554.00	
15SEP17	S 3 PD15DEC17	6492.00	6515.77	USD	-1,782.75	
15SEP17	S 6 PD15DEC17	6491.50	6515.77	USD	-3,640.50	
15SEP17	S 2 PD15DEC17	6484.00	6515.77	USD	-1,588.50	
15SEP17	S 2 PD15DEC17	6475.50	6515.77	USD	-2,013.50	
15SEP17	S 4 PD15DEC17	6475.00	6515.77	USD	-4,077.00	
15SEP17	S 4 PD15DEC17	6476.50	6515.77	USD	-3,927.00	
15SEP17	S 1 PD15DEC17	6493.00	6515.77	USD	-569.25	
15SEP17	S 2 PD15DEC17	6466.00	6515.77	USD	-2,488.50	
15SEP17	S 1 PD15DEC17	6467.00	6515.77	USD	-1,219.25	
15SEP17	S 2 PD15DEC17	6467.50	6515.77	USD	-2,413.50	
15SEP17	S 1 PD15DEC17	6462.00	6515.77	USD	-1,344.25	
15SEP17	S 1 PD15DEC17	6490.00	6515.77	USD	-644.25	
15SEP17	S 2 PD15DEC17	6490.50	6515.77	USD	-1,263.50	
15SEP17	B 3 PD15DEC17	6500.00	6515.77	USD	1,182.75	
15SEP17	B 1 PD15DEC17	6500.50	6515.77	USD	381.75	
15SEP17	B 3 PD15DEC17	6502.00	6515.77	USD	1,032.75	
15SEP17	B 1 PD15DEC17	6497.50	6515.77	USD	456.75	
15SEP17	B 2 PD15DEC17	6501.00	6515.77	USD	738.50	
15SEP17	S 1 PD15DEC17	6503.50	6515.77	USD	-306.75	
15SEP17	S 2 PD15DEC17	6510.50	6515.77	USD	-263.50	
15SEP17	S 1 PD15DEC17	6512.50	6515.77	USD	-81.75	
15SEP17	S 5 PD15DEC17	6508.00	6515.77	USD	-971.25	
15SEP17	S 3 PD15DEC17	6508.50	6515.77	USD	-545.25	
15SEP17	B 1 PD15DEC17	6509.00	6515.77	USD	169.25	
15SEP17	B 5 PD15DEC17	6508.50	6515.77	USD	908.75	
15SEP17	B 4 PD15DEC17	6508.00	6515.77	USD	777.00	
15SEP17	B 1 PD15DEC17	6503.00	6515.77	USD	319.25	
15SEP17	B 3 PD15DEC17	6504.00	6515.77	USD	882.75	
15SEP17	B 5 PD15DEC17	6505.50	6515.77	USD	1,283.75	
15SEP17	B 9 PD15DEC17	6505.00	6515.77	USD	2,423.25	
15SEP17	B 6 PD15DEC17	6506.50	6515.77	USD	1,390.50	
15SEP17	S 1 PD15DEC17	6512.00	6515.77	USD	-94.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0127A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
20SEP17	B 30 PD15DEC17	6525.20	6515.77	USD	-7,072.50	
	NET 0 AVG BGHT 6508.82 SOLD 6495.46				**-34,412.50**	**0.00**
18SEP17	S 2 PD18DEC17	6559.50	6516.96	USD	2,127.00	
18SEP17	S 3 PD18DEC17	6551.50	6516.96	USD	2,590.50	
18SEP17	S 1 PD18DEC17	6544.00	6516.96	USD	676.00	
18SEP17	S 3 PD18DEC17	6554.50	6516.96	USD	2,815.50	
18SEP17	S 3 PD18DEC17	6551.00	6516.96	USD	2,553.00	
18SEP17	S 1 PD18DEC17	6552.50	6516.96	USD	888.50	
18SEP17	S 2 PD18DEC17	6555.00	6516.96	USD	1,902.00	
18SEP17	S 2 PD18DEC17	6545.00	6516.96	USD	1,402.00	
18SEP17	B 1 PD18DEC17	6543.50	6516.96	USD	-663.50	
18SEP17	B 1 PD18DEC17	6545.00	6516.96	USD	-701.00	
18SEP17	B 1 PD18DEC17	6543.00	6516.96	USD	-651.00	
18SEP17	B 3 PD18DEC17	6535.50	6516.96	USD	-1,390.50	
18SEP17	B 1 PD18DEC17	6537.50	6516.96	USD	-513.50	
18SEP17	B 1 PD18DEC17	6539.50	6516.96	USD	-563.50	
18SEP17	B 2 PD18DEC17	6541.00	6516.96	USD	-1,202.00	
18SEP17	B 1 PD18DEC17	6540.00	6516.96	USD	-576.00	
18SEP17	B 3 PD18DEC17	6539.00	6516.96	USD	-1,653.00	
18SEP17	B 2 PD18DEC17	6540.50	6516.96	USD	-1,177.00	
18SEP17	B 1 PD18DEC17	6542.50	6516.96	USD	-638.50	
18SEP17	B 1 PD18DEC17	6541.50	6516.96	USD	-613.50	
18SEP17	B 5 PD18DEC17	6536.00	6516.96	USD	-2,380.00	
18SEP17	B 3 PD18DEC17	6537.00	6516.96	USD	-1,503.00	
18SEP17	B 2 PD18DEC17	6538.00	6516.96	USD	-1,052.00	
18SEP17	B 1 PD18DEC17	6536.50	6516.96	USD	-488.50	
18SEP17	B 5 PD18DEC17	6530.00	6516.96	USD	-1,630.00	
18SEP17	B 4 PD18DEC17	6538.50	6516.96	USD	-2,154.00	
18SEP17	S 1 PD18DEC17	6545.00	6516.96	USD	701.00	
18SEP17	S 2 PD18DEC17	6547.00	6516.96	USD	1,502.00	
18SEP17	S 5 PD18DEC17	6548.00	6516.96	USD	3,880.00	
18SEP17	S 3 PD18DEC17	6548.50	6516.96	USD	2,365.50	
18SEP17	S 3 PD18DEC17	6554.00	6516.96	USD	2,778.00	
18SEP17	S 3 PD18DEC17	6550.50	6516.96	USD	2,515.50	
18SEP17	S 4 PD18DEC17	6547.50	6516.96	USD	3,054.00	
18SEP17	B 1 PD18DEC17	6555.00	6516.96	USD	-951.00	
18SEP17	S 3 PD18DEC17	6544.50	6516.96	USD	2,065.50	
18SEP17	S 5 PD18DEC17	6541.50	6516.96	USD	3,067.50	
18SEP17	S 2 PD18DEC17	6540.50	6516.96	USD	1,177.00	
18SEP17	S 1 PD18DEC17	6539.00	6516.96	USD	551.00	
18SEP17	S 2 PD18DEC17	6543.50	6516.96	USD	1,327.00	
18SEP17	S 3 PD18DEC17	6538.00	6516.96	USD	1,578.00	
18SEP17	S 3 PD18DEC17	6538.50	6516.96	USD	1,615.50	
18SEP17	S 3 PD18DEC17	6540.00	6516.96	USD	1,728.00	
18SEP17	S 2 PD18DEC17	6541.00	6516.96	USD	1,202.00	
18SEP17	S 1 PD18DEC17	6530.50	6516.96	USD	338.50	
18SEP17	S 2 PD18DEC17	6531.50	6516.96	USD	727.00	
18SEP17	S 1 PD18DEC17	6530.00	6516.96	USD	326.00	
18SEP17	S 1 PD18DEC17	6528.00	6516.96	USD	276.00	
18SEP17	S 1 PD18DEC17	6526.50	6516.96	USD	238.50	
18SEP17	S 1 PD18DEC17	6525.50	6516.96	USD	213.50	
18SEP17	S 2 PD18DEC17	6522.00	6516.96	USD	252.00	
18SEP17	S 2 PD18DEC17	6524.50	6516.96	USD	377.00	
18SEP17	B 3 PD18DEC17	6525.00	6516.96	USD	-603.00	
18SEP17	B 1 PD18DEC17	6526.00	6516.96	USD	-226.00	
18SEP17	B 1 PD18DEC17	6528.50	6516.96	USD	-288.50	
18SEP17	B 4 PD18DEC17	6529.50	6516.96	USD	-1,254.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0127A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
18SEP17	B 5 PD18DEC17	6528.00	6516.96	USD	-1,380.00	
18SEP17	B 1 PD18DEC17	6527.50	6516.96	USD	-263.50	
18SEP17	B 3 PD18DEC17	6527.00	6516.96	USD	-753.00	
18SEP17	B 1 PD18DEC17	6531.50	6516.96	USD	-363.50	
18SEP17	B 2 PD18DEC17	6535.00	6516.96	USD	-902.00	
18SEP17	B 2 PD18DEC17	6532.50	6516.96	USD	-777.00	
18SEP17	B 3 PD18DEC17	6534.50	6516.96	USD	-1,315.50	
18SEP17	B 2 PD18DEC17	6534.00	6516.96	USD	-852.00	
18SEP17	B 4 PD18DEC17	6533.50	6516.96	USD	-1,654.00	
18SEP17	B 2 PD18DEC17	6533.00	6516.96	USD	-802.00	
18SEP17	B 6 PD18DEC17	6529.00	6516.96	USD	-1,806.00	
18SEP17	B 3 PD18DEC17	6530.50	6516.96	USD	-1,015.50	
18SEP17	B 3 PD18DEC17	6531.00	6516.96	USD	-1,053.00	
18SEP17	B 2 PD18DEC17	6532.00	6516.96	USD	-752.00	
18SEP17	B 1 PD18DEC17	6524.00	6516.96	USD	-176.00	
19SEP17	S 15 PD18DEC17	6538.40	6516.96	USD	8,040.00	
	NET 0 AVG BGHT 6533.66 SOLD 6542.80				**20,112.50**	**0.00**
19SEP17	S 5 PD19DEC17	6536.00	6517.35	USD	2,331.25	
19SEP17	S 6 PD19DEC17	6537.00	6517.35	USD	2,947.50	
19SEP17	S 2 PD19DEC17	6532.00	6517.35	USD	732.50	
19SEP17	S 2 PD19DEC17	6532.50	6517.35	USD	757.50	
19SEP17	S 1 PD19DEC17	6530.00	6517.35	USD	316.25	
19SEP17	S 3 PD19DEC17	6530.50	6517.35	USD	986.25	
19SEP17	S 3 PD19DEC17	6531.50	6517.35	USD	1,061.25	
19SEP17	S 3 PD19DEC17	6535.00	6517.35	USD	1,323.75	
19SEP17	S 4 PD19DEC17	6533.00	6517.35	USD	1,565.00	
19SEP17	S 9 PD19DEC17	6536.50	6517.35	USD	4,308.75	
19SEP17	S 4 PD19DEC17	6537.50	6517.35	USD	2,015.00	
19SEP17	S 2 PD19DEC17	6538.00	6517.35	USD	1,032.50	
19SEP17	S 6 PD19DEC17	6538.50	6517.35	USD	3,172.50	
19SEP17	S 4 PD19DEC17	6539.00	6517.35	USD	2,165.00	
19SEP17	S 2 PD19DEC17	6547.50	6517.35	USD	1,507.50	
19SEP17	S 1 PD19DEC17	6547.00	6517.35	USD	741.25	
19SEP17	S 2 PD19DEC17	6546.00	6517.35	USD	1,432.50	
19SEP17	S 1 PD19DEC17	6544.50	6517.35	USD	678.75	
19SEP17	S 1 PD19DEC17	6545.00	6517.35	USD	691.25	
19SEP17	S 1 PD19DEC17	6542.50	6517.35	USD	628.75	
19SEP17	S 2 PD19DEC17	6544.00	6517.35	USD	1,332.50	
19SEP17	S 1 PD19DEC17	6549.00	6517.35	USD	791.25	
19SEP17	S 1 PD19DEC17	6545.50	6517.35	USD	703.75	
19SEP17	S 2 PD19DEC17	6543.50	6517.35	USD	1,307.50	
19SEP17	S 2 PD19DEC17	6546.50	6517.35	USD	1,457.50	
19SEP17	S 1 PD19DEC17	6541.00	6517.35	USD	591.25	
19SEP17	B 1 PD19DEC17	6544.50	6517.35	USD	-678.75	
19SEP17	B 3 PD19DEC17	6544.00	6517.35	USD	-1,998.75	
19SEP17	B 4 PD19DEC17	6543.50	6517.35	USD	-2,615.00	
19SEP17	B 2 PD19DEC17	6539.50	6517.35	USD	-1,107.50	
19SEP17	B 2 PD19DEC17	6537.50	6517.35	USD	-1,007.50	
19SEP17	B 1 PD19DEC17	6536.50	6517.35	USD	-478.75	
19SEP17	B 2 PD19DEC17	6542.50	6517.35	USD	-1,257.50	
19SEP17	B 2 PD19DEC17	6547.00	6517.35	USD	-1,482.50	
19SEP17	B 2 PD19DEC17	6549.50	6517.35	USD	-1,607.50	
19SEP17	B 1 PD19DEC17	6549.00	6517.35	USD	-791.25	
19SEP17	B 1 PD19DEC17	6551.00	6517.35	USD	-841.25	
19SEP17	B 2 PD19DEC17	6553.00	6517.35	USD	-1,782.50	
19SEP17	B 3 PD19DEC17	6552.50	6517.35	USD	-2,636.25	
19SEP17	S 1 PD19DEC17	6561.50	6517.35	USD	1,103.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0127A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
19SEP17	B 5 PD19DEC17	6550.00	6517.35	USD	-4,081.25	
19SEP17	B 1 PD19DEC17	6550.50	6517.35	USD	-828.75	
19SEP17	B 2 PD19DEC17	6547.50	6517.35	USD	-1,507.50	
19SEP17	S 1 PD19DEC17	6542.00	6517.35	USD	616.25	
19SEP17	B 4 PD19DEC17	6545.00	6517.35	USD	-2,765.00	
19SEP17	B 4 PD19DEC17	6540.50	6517.35	USD	-2,315.00	
19SEP17	B 3 PD19DEC17	6541.50	6517.35	USD	-1,811.25	
19SEP17	B 7 PD19DEC17	6542.00	6517.35	USD	-4,313.75	
19SEP17	B 8 PD19DEC17	6541.00	6517.35	USD	-4,730.00	
19SEP17	B 5 PD19DEC17	6538.50	6517.35	USD	-2,643.75	
19SEP17	S 1 PD19DEC17	6537.50	6517.35	USD	503.75	
19SEP17	B 1 PD19DEC17	6543.00	6517.35	USD	-641.25	
19SEP17	S 1 PD19DEC17	6540.00	6517.35	USD	566.25	
19SEP17	B 1 PD19DEC17	6548.00	6517.35	USD	-766.25	
19SEP17	B 1 PD19DEC17	6549.50	6517.35	USD	-803.75	
19SEP17	B 1 PD19DEC17	6545.50	6517.35	USD	-703.75	
19SEP17	B 4 PD19DEC17	6543.00	6517.35	USD	-2,565.00	
19SEP17	B 4 PD19DEC17	6539.00	6517.35	USD	-2,165.00	
19SEP17	B 12 PD19DEC17	6540.00	6517.35	USD	-6,795.00	
19SEP17	B 2 PD19DEC17	6538.00	6517.35	USD	-1,032.50	
19SEP17	B 1 PD19DEC17	6533.00	6517.35	USD	-391.25	
19SEP17	S 1 PD19DEC17	6534.00	6517.35	USD	416.25	
19SEP17	B 1 PD19DEC17	6516.50	6517.35	USD	21.25	
19SEP17	B 1 PD19DEC17	6537.00	6517.35	USD	-491.25	
19SEP17	B 1 PD19DEC17	6532.50	6517.35	USD	-378.75	
19SEP17	B 1 PD19DEC17	6535.50	6517.35	USD	-453.75	
19SEP17	B 4 PD19DEC17	6534.50	6517.35	USD	-1,715.00	
19SEP17	B 2 PD19DEC17	6533.50	6517.35	USD	-807.50	
19SEP17	B 7 PD19DEC17	6535.00	6517.35	USD	-3,088.75	
19SEP17	B 9 PD19DEC17	6534.00	6517.35	USD	-3,746.25	
19SEP17	B 2 PD19DEC17	6528.50	6517.35	USD	-557.50	
19SEP17	B 2 PD19DEC17	6528.00	6517.35	USD	-532.50	
19SEP17	B 6 PD19DEC17	6526.00	6517.35	USD	-1,297.50	
19SEP17	S 1 PD19DEC17	6521.50	6517.35	USD	103.75	
19SEP17	B 1 PD19DEC17	6520.00	6517.35	USD	-66.25	
19SEP17	B 2 PD19DEC17	6521.00	6517.35	USD	-182.50	
19SEP17	B 6 PD19DEC17	6526.50	6517.35	USD	-1,372.50	
19SEP17	S 1 PD19DEC17	6527.00	6517.35	USD	241.25	
19SEP17	S 1 PD19DEC17	6528.50	6517.35	USD	278.75	
19SEP17	S 1 PD19DEC17	6524.00	6517.35	USD	166.25	
19SEP17	B 3 PD19DEC17	6522.00	6517.35	USD	-348.75	
19SEP17	S 1 PD19DEC17	6523.00	6517.35	USD	141.25	
19SEP17	B 1 PD19DEC17	6522.50	6517.35	USD	-128.75	
19SEP17	B 2 PD19DEC17	6524.50	6517.35	USD	-357.50	
19SEP17	B 4 PD19DEC17	6523.00	6517.35	USD	-565.00	
19SEP17	B 1 PD19DEC17	6530.00	6517.35	USD	-316.25	
19SEP17	B 3 PD19DEC17	6529.00	6517.35	USD	-873.75	
19SEP17	B 3 PD19DEC17	6527.00	6517.35	USD	-723.75	
19SEP17	B 10 PD19DEC17	6525.00	6517.35	USD	-1,912.50	
19SEP17	B 6 PD19DEC17	6524.00	6517.35	USD	-997.50	
19SEP17	B 7 PD19DEC17	6523.50	6517.35	USD	-1,076.25	
19SEP17	B 1 PD19DEC17	6519.50	6517.35	USD	-53.75	
19SEP17	B 3 PD19DEC17	6521.50	6517.35	USD	-311.25	
19SEP17	B 2 PD19DEC17	6520.50	6517.35	USD	-157.50	
19SEP17	B 4 PD19DEC17	6517.00	6517.35	USD	35.00	
19SEP17	B 6 PD19DEC17	6517.50	6517.35	USD	-22.50	
19SEP17	B 4 PD19DEC17	6514.50	6517.35	USD	285.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0127A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
19SEP17	B 2 PD19DEC17	6518.00	6517.35	USD	-32.50	
19SEP17	B 7 PD19DEC17	6515.00	6517.35	USD	411.25	
19SEP17	B 5 PD19DEC17	6516.00	6517.35	USD	168.75	
19SEP17	B 3 PD19DEC17	6515.50	6517.35	USD	138.75	
19SEP17	B 3 PD19DEC17	6510.00	6517.35	USD	551.25	
19SEP17	B 1 PD19DEC17	6514.00	6517.35	USD	83.75	
19SEP17	S 1 PD19DEC17	6543.50	6517.35	USD	653.75	
19SEP17	B 1 PD19DEC17	6510.00	6517.35	USD	183.75	
19SEP17	B 4 PD19DEC17	6529.50	6517.35	USD	-1,215.00	
19SEP17	S 1 PD19DEC17	6529.00	6517.35	USD	291.25	
25SEP17	S 60 PD19DEC17	6448.75	6517.35	USD	-102,900.00	
03OCT17	S 10 PD19DEC17	6517.35	6517.35	USD	0.00	
	NET +70 AVG BGHT 6531.89 SOLD 6501.34				**-142,287.50**	**0.00**
20SEP17	B 1 PD20DEC17	6561.50	6517.75	USD	-1,093.75	
20SEP17	S 1 PD20DEC17	6565.00	6517.75	USD	1,181.25	
20SEP17	S 1 PD20DEC17	6567.00	6517.75	USD	1,231.25	
20SEP17	S 1 PD20DEC17	6566.50	6517.75	USD	1,218.75	
20SEP17	S 1 PD20DEC17	6567.50	6517.75	USD	1,243.75	
20SEP17	B 1 PD20DEC17	6543.00	6517.75	USD	-631.25	
20SEP17	B 4 PD20DEC17	6536.00	6517.75	USD	-1,825.00	
20SEP17	S 1 PD20DEC17	6553.50	6517.75	USD	893.75	
20SEP17	S 1 PD20DEC17	6556.00	6517.75	USD	956.25	
20SEP17	S 2 PD20DEC17	6559.50	6517.75	USD	2,087.50	
20SEP17	S 2 PD20DEC17	6559.00	6517.75	USD	2,062.50	
20SEP17	S 8 PD20DEC17	6557.00	6517.75	USD	7,850.00	
20SEP17	S 1 PD20DEC17	6560.50	6517.75	USD	1,068.75	
20SEP17	S 2 PD20DEC17	6564.50	6517.75	USD	2,337.50	
20SEP17	S 2 PD20DEC17	6560.00	6517.75	USD	2,112.50	
20SEP17	S 3 PD20DEC17	6561.00	6517.75	USD	3,243.75	
20SEP17	S 1 PD20DEC17	6563.50	6517.75	USD	1,143.75	
20SEP17	S 5 PD20DEC17	6561.50	6517.75	USD	5,468.75	
20SEP17	S 3 PD20DEC17	6558.50	6517.75	USD	3,056.25	
20SEP17	S 5 PD20DEC17	6557.50	6517.75	USD	4,968.75	
20SEP17	S 7 PD20DEC17	6558.00	6517.75	USD	7,043.75	
20SEP17	S 1 PD20DEC17	6564.00	6517.75	USD	1,156.25	
20SEP17	S 4 PD20DEC17	6562.50	6517.75	USD	4,475.00	
20SEP17	S 10 PD20DEC17	6562.00	6517.75	USD	11,062.50	
20SEP17	S 7 PD20DEC17	6563.00	6517.75	USD	7,918.75	
20SEP17	B 1 PD20DEC17	6555.00	6517.75	USD	-931.25	
20SEP17	B 1 PD20DEC17	6557.50	6517.75	USD	-993.75	
20SEP17	B 1 PD20DEC17	6556.50	6517.75	USD	-968.75	
20SEP17	B 3 PD20DEC17	6557.00	6517.75	USD	-2,943.75	
20SEP17	B 1 PD20DEC17	6558.00	6517.75	USD	-1,006.25	
20SEP17	B 1 PD20DEC17	6553.50	6517.75	USD	-893.75	
20SEP17	B 2 PD20DEC17	6549.50	6517.75	USD	-1,587.50	
20SEP17	B 2 PD20DEC17	6551.50	6517.75	USD	-1,687.50	
20SEP17	B 1 PD20DEC17	6553.00	6517.75	USD	-881.25	
20SEP17	B 1 PD20DEC17	6552.00	6517.75	USD	-856.25	
20SEP17	B 1 PD20DEC17	6551.00	6517.75	USD	-831.25	
20SEP17	B 3 PD20DEC17	6552.50	6517.75	USD	-2,606.25	
20SEP17	B 1 PD20DEC17	6548.50	6517.75	USD	-768.75	
20SEP17	S 2 PD20DEC17	6547.00	6517.75	USD	1,462.50	
20SEP17	S 3 PD20DEC17	6546.00	6517.75	USD	2,118.75	
20SEP17	S 1 PD20DEC17	6542.50	6517.75	USD	618.75	
20SEP17	S 1 PD20DEC17	6544.50	6517.75	USD	668.75	
20SEP17	S 3 PD20DEC17	6549.00	6517.75	USD	2,343.75	
20SEP17	S 1 PD20DEC17	6546.50	6517.75	USD	718.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0127A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
20SEP17	S 1 PD20DEC17	6544.00	6517.75	USD	656.25	
20SEP17	B 1 PD20DEC17	6543.50	6517.75	USD	-643.75	
20SEP17	B 2 PD20DEC17	6544.00	6517.75	USD	-1,312.50	
20SEP17	B 2 PD20DEC17	6544.50	6517.75	USD	-1,337.50	
20SEP17	B 1 PD20DEC17	6542.00	6517.75	USD	-606.25	
20SEP17	S 1 PD20DEC17	6533.50	6517.75	USD	393.75	
20SEP17	S 1 PD20DEC17	6528.00	6517.75	USD	256.25	
20SEP17	S 1 PD20DEC17	6526.00	6517.75	USD	206.25	
20SEP17	S 1 PD20DEC17	6525.00	6517.75	USD	181.25	
20SEP17	S 5 PD20DEC17	6523.50	6517.75	USD	718.75	
20SEP17	S 1 PD20DEC17	6519.50	6517.75	USD	43.75	
20SEP17	S 1 PD20DEC17	6522.50	6517.75	USD	118.75	
20SEP17	S 1 PD20DEC17	6516.00	6517.75	USD	-43.75	
20SEP17	S 1 PD20DEC17	6514.00	6517.75	USD	-93.75	
20SEP17	S 1 PD20DEC17	6516.50	6517.75	USD	-31.25	
20SEP17	S 2 PD20DEC17	6518.50	6517.75	USD	37.50	
20SEP17	S 1 PD20DEC17	6513.00	6517.75	USD	-118.75	
20SEP17	B 3 PD20DEC17	6522.00	6517.75	USD	-318.75	
20SEP17	B 1 PD20DEC17	6524.00	6517.75	USD	-156.25	
20SEP17	B 2 PD20DEC17	6524.50	6517.75	USD	-337.50	
20SEP17	B 1 PD20DEC17	6524.50	6517.75	USD	-168.75	
20SEP17	B 1 PD20DEC17	6528.00	6517.75	USD	-256.25	
20SEP17	B 2 PD20DEC17	6530.50	6517.75	USD	-637.50	
20SEP17	B 1 PD20DEC17	6532.50	6517.75	USD	-368.75	
20SEP17	B 1 PD20DEC17	6532.00	6517.75	USD	-356.25	
20SEP17	S 1 PD20DEC17	6529.50	6517.75	USD	293.75	
20SEP17	S 4 PD20DEC17	6527.00	6517.75	USD	925.00	
20SEP17	B 3 PD20DEC17	6521.50	6517.75	USD	-281.25	
20SEP17	B 3 PD20DEC17	6522.50	6517.75	USD	-356.25	
20SEP17	B 1 PD20DEC17	6527.00	6517.75	USD	-231.25	
20SEP17	B 3 PD20DEC17	6529.50	6517.75	USD	-881.25	
20SEP17	S 1 PD20DEC17	6525.50	6517.75	USD	193.75	
20SEP17	B 5 PD20DEC17	6523.50	6517.75	USD	-718.75	
20SEP17	B 4 PD20DEC17	6526.50	6517.75	USD	-875.00	
20SEP17	B 9 PD20DEC17	6526.00	6517.75	USD	-1,856.25	
20SEP17	B 1 PD20DEC17	6525.50	6517.75	USD	-193.75	
20SEP17	B 7 PD20DEC17	6525.00	6517.75	USD	-1,268.75	
20SEP17	B 3 PD20DEC17	6531.50	6517.75	USD	-1,031.25	
20SEP17	B 2 PD20DEC17	6529.00	6517.75	USD	-562.50	
22SEP17	B 20 PD20DEC17	6458.95	6517.75	USD	29,400.00	
	NET 0 AVG BGHT 6520.00 SOLD 6550.62				**79,587.50**	**0.00**
21SEP17	S 1 PD21DEC17	6464.00	6516.75	USD	-1,318.75	
21SEP17	S 1 PD21DEC17	6453.50	6516.75	USD	-1,581.25	
21SEP17	S 2 PD21DEC17	6454.00	6516.75	USD	-3,137.50	
21SEP17	S 1 PD21DEC17	6455.00	6516.75	USD	-1,543.75	
21SEP17	S 3 PD21DEC17	6455.50	6516.75	USD	-4,593.75	
21SEP17	S 7 PD21DEC17	6456.00	6516.75	USD	-10,631.25	
21SEP17	S 1 PD21DEC17	6454.50	6516.75	USD	-1,556.25	
21SEP17	S 3 PD21DEC17	6453.00	6516.75	USD	-4,781.25	
21SEP17	S 6 PD21DEC17	6457.50	6516.75	USD	-8,887.50	
21SEP17	S 1 PD21DEC17	6459.00	6516.75	USD	-1,443.75	
21SEP17	S 3 PD21DEC17	6452.00	6516.75	USD	-4,856.25	
21SEP17	S 2 PD21DEC17	6452.50	6516.75	USD	-3,212.50	
21SEP17	S 1 PD21DEC17	6448.00	6516.75	USD	-1,718.75	
21SEP17	S 4 PD21DEC17	6448.50	6516.75	USD	-6,825.00	
21SEP17	S 1 PD21DEC17	6443.50	6516.75	USD	-1,831.25	
21SEP17	S 1 PD21DEC17	6436.50	6516.75	USD	-2,006.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0127A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
21SEP17	S 4 PD21DEC17	6438.00	6516.75	USD	-7,875.00	
21SEP17	S 3 PD21DEC17	6442.50	6516.75	USD	-5,568.75	
21SEP17	S 1 PD21DEC17	6445.00	6516.75	USD	-1,793.75	
21SEP17	S 1 PD21DEC17	6444.50	6516.75	USD	-1,806.25	
21SEP17	S 1 PD21DEC17	6444.00	6516.75	USD	-1,818.75	
21SEP17	B 1 PD21DEC17	6443.50	6516.75	USD	1,831.25	
21SEP17	S 2 PD21DEC17	6445.50	6516.75	USD	-3,562.50	
21SEP17	S 2 PD21DEC17	6441.00	6516.75	USD	-3,787.50	
21SEP17	B 1 PD21DEC17	6446.00	6516.75	USD	1,768.75	
21SEP17	B 1 PD21DEC17	6445.50	6516.75	USD	1,781.25	
21SEP17	S 3 PD21DEC17	6441.50	6516.75	USD	-5,643.75	
21SEP17	S 1 PD21DEC17	6439.50	6516.75	USD	-1,931.25	
21SEP17	S 4 PD21DEC17	6442.00	6516.75	USD	-7,475.00	
21SEP17	B 1 PD21DEC17	6439.00	6516.75	USD	1,943.75	
21SEP17	B 2 PD21DEC17	6445.00	6516.75	USD	3,587.50	
21SEP17	S 1 PD21DEC17	6459.50	6516.75	USD	-1,431.25	
21SEP17	S 1 PD21DEC17	6461.50	6516.75	USD	-1,381.25	
21SEP17	S 1 PD21DEC17	6463.00	6516.75	USD	-1,343.75	
21SEP17	S 1 PD21DEC17	6462.00	6516.75	USD	-1,368.75	
21SEP17	S 1 PD21DEC17	6465.00	6516.75	USD	-1,293.75	
21SEP17	S 1 PD21DEC17	6458.50	6516.75	USD	-1,456.25	
21SEP17	S 5 PD21DEC17	6460.00	6516.75	USD	-7,093.75	
21SEP17	S 11 PD21DEC17	6456.50	6516.75	USD	-16,568.75	
21SEP17	S 4 PD21DEC17	6458.00	6516.75	USD	-5,875.00	
21SEP17	B 1 PD21DEC17	6459.50	6516.75	USD	1,431.25	
21SEP17	B 1 PD21DEC17	6461.00	6516.75	USD	1,393.75	
21SEP17	S 2 PD21DEC17	6460.50	6516.75	USD	-2,812.50	
21SEP17	S 1 PD21DEC17	6457.00	6516.75	USD	-1,493.75	
21SEP17	S 1 PD21DEC17	6455.00	6516.75	USD	-1,543.75	
21SEP17	S 1 PD21DEC17	6460.50	6516.75	USD	-1,406.25	
21SEP17	B 1 PD21DEC17	6462.50	6516.75	USD	1,356.25	
21SEP17	B 1 PD21DEC17	6433.00	6516.75	USD	2,093.75	
21SEP17	B 4 PD21DEC17	6435.00	6516.75	USD	8,175.00	
21SEP17	B 1 PD21DEC17	6436.50	6516.75	USD	2,006.25	
21SEP17	B 2 PD21DEC17	6437.00	6516.75	USD	3,987.50	
21SEP17	B 2 PD21DEC17	6438.00	6516.75	USD	3,937.50	
21SEP17	B 2 PD21DEC17	6442.50	6516.75	USD	3,712.50	
21SEP17	B 2 PD21DEC17	6442.00	6516.75	USD	3,737.50	
21SEP17	B 1 PD21DEC17	6443.00	6516.75	USD	1,843.75	
21SEP17	B 1 PD21DEC17	6448.50	6516.75	USD	1,706.25	
21SEP17	B 1 PD21DEC17	6447.00	6516.75	USD	1,743.75	
21SEP17	B 2 PD21DEC17	6446.50	6516.75	USD	3,512.50	
21SEP17	B 2 PD21DEC17	6444.00	6516.75	USD	3,637.50	
21SEP17	B 1 PD21DEC17	6473.00	6516.75	USD	1,093.75	
21SEP17	B 1 PD21DEC17	6470.50	6516.75	USD	1,156.25	
21SEP17	B 1 PD21DEC17	6467.50	6516.75	USD	1,231.25	
21SEP17	B 1 PD21DEC17	6456.50	6516.75	USD	1,506.25	
21SEP17	B 1 PD21DEC17	6454.50	6516.75	USD	1,556.25	
21SEP17	S 1 PD21DEC17	6468.50	6516.75	USD	-1,206.25	
21SEP17	S 3 PD21DEC17	6468.00	6516.75	USD	-3,656.25	
21SEP17	S 1 PD21DEC17	6469.00	6516.75	USD	-1,193.75	
21SEP17	S 6 PD21DEC17	6469.50	6516.75	USD	-7,087.50	
21SEP17	B 1 PD21DEC17	6483.50	6516.75	USD	831.25	
21SEP17	B 1 PD21DEC17	6489.00	6516.75	USD	693.75	
21SEP17	B 1 PD21DEC17	6486.50	6516.75	USD	756.25	
21SEP17	B 2 PD21DEC17	6486.00	6516.75	USD	1,537.50	
21SEP17	S 1 PD21DEC17	6484.50	6516.75	USD	-806.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0127A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
21SEP17	B 1 PD21DEC17	6487.50	6516.75	USD	731.25	
21SEP17	S 1 PD21DEC17	6481.00	6516.75	USD	-893.75	
21SEP17	B 1 PD21DEC17	6478.50	6516.75	USD	956.25	
21SEP17	S 1 PD21DEC17	6484.00	6516.75	USD	-818.75	
21SEP17	S 2 PD21DEC17	6483.50	6516.75	USD	-1,662.50	
21SEP17	S 1 PD21DEC17	6486.50	6516.75	USD	-756.25	
21SEP17	B 1 PD21DEC17	6483.00	6516.75	USD	843.75	
21SEP17	B 2 PD21DEC17	6482.00	6516.75	USD	1,737.50	
21SEP17	S 1 PD21DEC17	6480.00	6516.75	USD	-918.75	
21SEP17	S 2 PD21DEC17	6477.00	6516.75	USD	-1,987.50	
21SEP17	B 2 PD21DEC17	6464.00	6516.75	USD	2,637.50	
21SEP17	B 2 PD21DEC17	6465.00	6516.75	USD	2,587.50	
21SEP17	B 1 PD21DEC17	6463.00	6516.75	USD	1,343.75	
21SEP17	B 1 PD21DEC17	6471.00	6516.75	USD	1,143.75	
21SEP17	B 3 PD21DEC17	6472.50	6516.75	USD	3,318.75	
21SEP17	B 1 PD21DEC17	6465.50	6516.75	USD	1,281.25	
21SEP17	B 3 PD21DEC17	6473.50	6516.75	USD	3,243.75	
21SEP17	B 2 PD21DEC17	6478.00	6516.75	USD	1,937.50	
21SEP17	B 1 PD21DEC17	6474.00	6516.75	USD	1,068.75	
21SEP17	B 1 PD21DEC17	6479.50	6516.75	USD	931.25	
21SEP17	B 3 PD21DEC17	6477.00	6516.75	USD	2,981.25	
21SEP17	B 2 PD21DEC17	6480.50	6516.75	USD	1,812.50	
21SEP17	B 4 PD21DEC17	6481.00	6516.75	USD	3,575.00	
21SEP17	B 3 PD21DEC17	6482.50	6516.75	USD	2,568.75	
21SEP17	B 5 PD21DEC17	6481.50	6516.75	USD	4,406.25	
21SEP17	B 4 PD21DEC17	6475.00	6516.75	USD	4,175.00	
21SEP17	B 4 PD21DEC17	6480.00	6516.75	USD	3,675.00	
21SEP17	B 2 PD21DEC17	6475.50	6516.75	USD	2,062.50	
21SEP17	B 2 PD21DEC17	6476.50	6516.75	USD	2,012.50	
21SEP17	B 1 PD21DEC17	6474.50	6516.75	USD	1,056.25	
21SEP17	B 3 PD21DEC17	6469.50	6516.75	USD	3,543.75	
21SEP17	B 10 PD21DEC17	6470.00	6516.75	USD	11,687.50	
21SEP17	B 11 PD21DEC17	6469.00	6516.75	USD	13,131.25	
21SEP17	B 2 PD21DEC17	6464.50	6516.75	USD	2,612.50	
21SEP17	S 4 PD21DEC17	6446.00	6516.75	USD	-7,075.00	
21SEP17	S 3 PD21DEC17	6440.50	6516.75	USD	-5,718.75	
21SEP17	S 5 PD21DEC17	6440.00	6516.75	USD	-9,593.75	
21SEP17	S 4 PD21DEC17	6437.50	6516.75	USD	-7,925.00	
21SEP17	S 1 PD21DEC17	6429.50	6516.75	USD	-2,181.25	
21SEP17	B 4 PD21DEC17	6441.00	6516.75	USD	7,575.00	
21SEP17	B 1 PD21DEC17	6440.00	6516.75	USD	1,918.75	
21SEP17	S 1 PD21DEC17	6430.50	6516.75	USD	-2,156.25	
21SEP17	B 1 PD21DEC17	6431.50	6516.75	USD	2,131.25	
21SEP17	B 4 PD21DEC17	6434.50	6516.75	USD	8,225.00	
21SEP17	B 2 PD21DEC17	6435.50	6516.75	USD	4,062.50	
21SEP17	B 2 PD21DEC17	6434.00	6516.75	USD	4,137.50	
21SEP17	B 2 PD21DEC17	6430.00	6516.75	USD	4,337.50	
21SEP17	B 1 PD21DEC17	6429.00	6516.75	USD	2,193.75	
21SEP17	B 2 PD21DEC17	6431.00	6516.75	USD	4,287.50	
21SEP17	B 3 PD21DEC17	6432.00	6516.75	USD	6,356.25	
21SEP17	S 1 PD21DEC17	6439.00	6516.75	USD	-1,943.75	
21SEP17	S 5 PD21DEC17	6443.00	6516.75	USD	-9,218.75	
21SEP17	S 2 PD21DEC17	6447.00	6516.75	USD	-3,487.50	
21SEP17	S 1 PD21DEC17	6451.50	6516.75	USD	-1,631.25	
21SEP17	B 1 PD21DEC17	6459.00	6516.75	USD	1,443.75	
21SEP17	B 2 PD21DEC17	6458.50	6516.75	USD	2,912.50	
21SEP17	S 6 PD21DEC17	6457.00	6516.75	USD	-8,962.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0127A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
21SEP17	B 1 PD21DEC17	6458.00	6516.75	USD	1,468.75	
21SEP17	B 1 PD21DEC17	6457.50	6516.75	USD	1,481.25	
21SEP17	B 2 PD21DEC17	6455.00	6516.75	USD	3,087.50	
21SEP17	B 3 PD21DEC17	6455.50	6516.75	USD	4,593.75	
21SEP17	B 2 PD21DEC17	6450.50	6516.75	USD	3,312.50	
21SEP17	B 2 PD21DEC17	6450.00	6516.75	USD	3,337.50	
21SEP17	B 2 PD21DEC17	6449.50	6516.75	USD	3,362.50	
21SEP17	B 5 PD21DEC17	6480.50	6516.75	USD	4,531.25	
21SEP17	B 1 PD21DEC17	6443.50	6516.75	USD	1,831.25	
21SEP17	B 1 PD21DEC17	6431.00	6516.75	USD	2,143.75	
21SEP17	B 1 PD21DEC17	6430.00	6516.75	USD	2,168.75	
21SEP17	B 1 PD21DEC17	6428.00	6516.75	USD	2,218.75	
21SEP17	B 1 PD21DEC17	6442.50	6516.75	USD	1,856.25	
21SEP17	B 1 PD21DEC17	6442.00	6516.75	USD	1,868.75	
21SEP17	B 1 PD21DEC17	6457.50	6516.75	USD	1,481.25	
21SEP17	B 1 PD21DEC17	6450.50	6516.75	USD	1,656.25	
	NET +25 AVG BGHT 6460.28 SOLD 6453.66				**11,456.25**	**0.00**
22SEP17	S 1 PD22DEC17	6398.00	6515.75	USD	-2,943.75	
22SEP17	S 1 PD22DEC17	6396.50	6515.75	USD	-2,981.25	
22SEP17	S 5 PD22DEC17	6393.00	6515.75	USD	-15,343.75	
22SEP17	S 1 PD22DEC17	6390.50	6515.75	USD	-3,131.25	
22SEP17	S 2 PD22DEC17	6392.50	6515.75	USD	-6,162.50	
22SEP17	S 5 PD22DEC17	6397.00	6515.75	USD	-14,843.75	
22SEP17	S 1 PD22DEC17	6397.50	6515.75	USD	-2,956.25	
22SEP17	S 1 PD22DEC17	6400.00	6515.75	USD	-2,893.75	
22SEP17	S 1 PD22DEC17	6401.00	6515.75	USD	-2,868.75	
22SEP17	S 1 PD22DEC17	6390.00	6515.75	USD	-3,143.75	
22SEP17	B 1 PD22DEC17	6381.50	6515.75	USD	3,356.25	
22SEP17	B 1 PD22DEC17	6381.00	6515.75	USD	3,368.75	
22SEP17	B 2 PD22DEC17	6379.50	6515.75	USD	6,812.50	
22SEP17	B 2 PD22DEC17	6378.50	6515.75	USD	6,862.50	
22SEP17	B 1 PD22DEC17	6373.50	6515.75	USD	3,556.25	
22SEP17	B 3 PD22DEC17	6373.00	6515.75	USD	10,706.25	
22SEP17	B 2 PD22DEC17	6374.50	6515.75	USD	7,062.50	
22SEP17	B 3 PD22DEC17	6375.00	6515.75	USD	10,556.25	
22SEP17	B 2 PD22DEC17	6378.00	6515.75	USD	6,887.50	
22SEP17	B 1 PD22DEC17	6375.50	6515.75	USD	3,506.25	
22SEP17	S 1 PD22DEC17	6370.50	6515.75	USD	-3,631.25	
22SEP17	B 5 PD22DEC17	6377.50	6515.75	USD	17,281.25	
22SEP17	S 1 PD22DEC17	6378.50	6515.75	USD	-3,431.25	
22SEP17	S 1 PD22DEC17	6375.50	6515.75	USD	-3,506.25	
22SEP17	B 3 PD22DEC17	6374.00	6515.75	USD	10,631.25	
22SEP17	S 1 PD22DEC17	6373.00	6515.75	USD	-3,568.75	
22SEP17	S 1 PD22DEC17	6380.50	6515.75	USD	-3,381.25	
22SEP17	S 1 PD22DEC17	6380.00	6515.75	USD	-3,393.75	
22SEP17	S 8 PD22DEC17	6381.00	6515.75	USD	-26,950.00	
22SEP17	S 1 PD22DEC17	6382.50	6515.75	USD	-3,331.25	
22SEP17	S 5 PD22DEC17	6387.00	6515.75	USD	-16,093.75	
22SEP17	S 3 PD22DEC17	6383.50	6515.75	USD	-9,918.75	
22SEP17	S 2 PD22DEC17	6384.50	6515.75	USD	-6,562.50	
22SEP17	S 1 PD22DEC17	6386.00	6515.75	USD	-3,243.75	
22SEP17	S 6 PD22DEC17	6385.50	6515.75	USD	-19,537.50	
22SEP17	S 1 PD22DEC17	6388.00	6515.75	USD	-3,193.75	
22SEP17	S 1 PD22DEC17	6389.00	6515.75	USD	-3,168.75	
22SEP17	S 2 PD22DEC17	6387.50	6515.75	USD	-6,412.50	
22SEP17	S 2 PD22DEC17	6395.00	6515.75	USD	-6,037.50	
22SEP17	S 2 PD22DEC17	6394.50	6515.75	USD	-6,062.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0127A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
22SEP17	S 2 PD22DEC17	6393.50	6515.75	USD	-6,112.50	
22SEP17	S 8 PD22DEC17	6395.50	6515.75	USD	-24,050.00	
22SEP17	S 3 PD22DEC17	6392.00	6515.75	USD	-9,281.25	
22SEP17	S 1 PD22DEC17	6400.50	6515.75	USD	-2,881.25	
22SEP17	S 1 PD22DEC17	6402.50	6515.75	USD	-2,831.25	
22SEP17	B 3 PD22DEC17	6410.00	6515.75	USD	7,931.25	
22SEP17	B 4 PD22DEC17	6418.00	6515.75	USD	9,775.00	
22SEP17	B 1 PD22DEC17	6417.00	6515.75	USD	2,468.75	
22SEP17	S 1 PD22DEC17	6419.50	6515.75	USD	-2,406.25	
22SEP17	S 2 PD22DEC17	6419.00	6515.75	USD	-4,837.50	
22SEP17	S 1 PD22DEC17	6420.50	6515.75	USD	-2,381.25	
22SEP17	S 1 PD22DEC17	6421.50	6515.75	USD	-2,356.25	
22SEP17	S 4 PD22DEC17	6420.00	6515.75	USD	-9,575.00	
22SEP17	S 1 PD22DEC17	6422.50	6515.75	USD	-2,331.25	
22SEP17	B 1 PD22DEC17	6424.00	6515.75	USD	2,293.75	
22SEP17	B 1 PD22DEC17	6424.50	6515.75	USD	2,281.25	
22SEP17	S 2 PD22DEC17	6427.50	6515.75	USD	-4,412.50	
22SEP17	S 1 PD22DEC17	6428.00	6515.75	USD	-2,193.75	
22SEP17	S 3 PD22DEC17	6428.50	6515.75	USD	-6,543.75	
22SEP17	S 1 PD22DEC17	6431.00	6515.75	USD	-2,118.75	
22SEP17	S 1 PD22DEC17	6432.50	6515.75	USD	-2,081.25	
22SEP17	S 2 PD22DEC17	6435.00	6515.75	USD	-4,037.50	
22SEP17	S 1 PD22DEC17	6437.50	6515.75	USD	-1,956.25	
22SEP17	S 2 PD22DEC17	6437.00	6515.75	USD	-3,937.50	
22SEP17	S 3 PD22DEC17	6438.00	6515.75	USD	-5,831.25	
22SEP17	S 1 PD22DEC17	6432.00	6515.75	USD	-2,093.75	
22SEP17	S 2 PD22DEC17	6434.50	6515.75	USD	-4,062.50	
22SEP17	S 4 PD22DEC17	6436.00	6515.75	USD	-7,975.00	
22SEP17	S 4 PD22DEC17	6434.00	6515.75	USD	-8,175.00	
22SEP17	S 5 PD22DEC17	6433.00	6515.75	USD	-10,343.75	
22SEP17	S 4 PD22DEC17	6430.50	6515.75	USD	-8,525.00	
22SEP17	S 1 PD22DEC17	6430.00	6515.75	USD	-2,143.75	
22SEP17	S 2 PD22DEC17	6429.50	6515.75	USD	-4,312.50	
22SEP17	S 3 PD22DEC17	6431.50	6515.75	USD	-6,318.75	
22SEP17	S 3 PD22DEC17	6426.50	6515.75	USD	-6,693.75	
22SEP17	S 1 PD22DEC17	6442.50	6515.75	USD	-1,831.25	
22SEP17	S 1 PD22DEC17	6440.00	6515.75	USD	-1,893.75	
22SEP17	S 1 PD22DEC17	6453.50	6515.75	USD	-1,556.25	
22SEP17	S 1 PD22DEC17	6459.00	6515.75	USD	-1,418.75	
22SEP17	S 1 PD22DEC17	6462.00	6515.75	USD	-1,343.75	
22SEP17	B 2 PD22DEC17	6447.00	6515.75	USD	3,437.50	
22SEP17	B 2 PD22DEC17	6449.00	6515.75	USD	3,337.50	
22SEP17	B 1 PD22DEC17	6454.50	6515.75	USD	1,531.25	
22SEP17	B 1 PD22DEC17	6456.00	6515.75	USD	1,493.75	
22SEP17	B 2 PD22DEC17	6453.00	6515.75	USD	3,137.50	
22SEP17	B 3 PD22DEC17	6452.50	6515.75	USD	4,743.75	
22SEP17	B 1 PD22DEC17	6452.00	6515.75	USD	1,593.75	
22SEP17	B 2 PD22DEC17	6451.50	6515.75	USD	3,212.50	
22SEP17	B 1 PD22DEC17	6449.50	6515.75	USD	1,656.25	
22SEP17	S 1 PD22DEC17	6393.00	6515.75	USD	-3,068.75	
22SEP17	S 2 PD22DEC17	6435.00	6515.75	USD	-4,037.50	
22SEP17	S 2 PD22DEC17	6434.00	6515.75	USD	-4,087.50	
22SEP17	B 1 PD22DEC17	6450.00	6515.75	USD	1,643.75	
22SEP17	S 1 PD22DEC17	6451.00	6515.75	USD	-1,618.75	
22SEP17	B 1 PD22DEC17	6437.50	6515.75	USD	1,956.25	
22SEP17	B 3 PD22DEC17	6443.50	6515.75	USD	5,418.75	
22SEP17	B 2 PD22DEC17	6446.00	6515.75	USD	3,487.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0127A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
22SEP17	S 1 PD22DEC17	6443.00	6515.75	USD	-1,818.75	
22SEP17	B 1 PD22DEC17	6440.50	6515.75	USD	1,881.25	
22SEP17	S 1 PD22DEC17	6443.50	6515.75	USD	-1,806.25	
22SEP17	S 3 PD22DEC17	6441.00	6515.75	USD	-5,606.25	
22SEP17	S 2 PD22DEC17	6439.00	6515.75	USD	-3,837.50	
22SEP17	S 1 PD22DEC17	6441.50	6515.75	USD	-1,856.25	
22SEP17	S 1 PD22DEC17	6448.50	6515.75	USD	-1,681.25	
22SEP17	S 2 PD22DEC17	6445.00	6515.75	USD	-3,537.50	
22SEP17	S 2 PD22DEC17	6447.50	6515.75	USD	-3,412.50	
22SEP17	S 2 PD22DEC17	6446.50	6515.75	USD	-3,462.50	
22SEP17	S 4 PD22DEC17	6446.00	6515.75	USD	-6,975.00	
22SEP17	S 1 PD22DEC17	6449.00	6515.75	USD	-1,668.75	
22SEP17	S 3 PD22DEC17	6447.00	6515.75	USD	-5,156.25	
22SEP17	S 1 PD22DEC17	6448.00	6515.75	USD	-1,693.75	
22SEP17	B 1 PD22DEC17	6471.50	6515.75	USD	1,106.25	
22SEP17	B 1 PD22DEC17	6466.00	6515.75	USD	1,243.75	
22SEP17	S 1 PD22DEC17	6469.00	6515.75	USD	-1,168.75	
22SEP17	S 1 PD22DEC17	6474.50	6515.75	USD	-1,031.25	
22SEP17	B 3 PD22DEC17	6453.50	6515.75	USD	4,668.75	
22SEP17	B 3 PD22DEC17	6448.00	6515.75	USD	5,081.25	
22SEP17	B 13 PD22DEC17	6441.50	6515.75	USD	24,131.25	
22SEP17	B 3 PD22DEC17	6441.00	6515.75	USD	5,606.25	
22SEP17	B 2 PD22DEC17	6440.00	6515.75	USD	3,787.50	
22SEP17	B 7 PD22DEC17	6442.50	6515.75	USD	12,818.75	
22SEP17	S 1 PD22DEC17	6451.00	6515.75	USD	-1,618.75	
22SEP17	S 1 PD22DEC17	6448.00	6515.75	USD	-1,693.75	
22SEP17	B 1 PD22DEC17	6445.00	6515.75	USD	1,768.75	
22SEP17	B 1 PD22DEC17	6444.50	6515.75	USD	1,781.25	
22SEP17	B 1 PD22DEC17	6441.50	6515.75	USD	1,856.25	
22SEP17	S 7 PD22DEC17	6463.00	6515.75	USD	-9,231.25	
22SEP17	S 1 PD22DEC17	6464.00	6515.75	USD	-1,293.75	
22SEP17	B 1 PD22DEC17	6455.00	6515.75	USD	1,518.75	
22SEP17	B 4 PD22DEC17	6454.00	6515.75	USD	6,175.00	
22SEP17	S 2 PD22DEC17	6454.50	6515.75	USD	-3,062.50	
22SEP17	S 10 PD22DEC17	6454.00	6515.75	USD	-15,437.50	
22SEP17	S 3 PD22DEC17	6456.00	6515.75	USD	-4,481.25	
22SEP17	S 1 PD22DEC17	6455.00	6515.75	USD	-1,518.75	
22SEP17	S 1 PD22DEC17	6462.50	6515.75	USD	-1,331.25	
22SEP17	S 2 PD22DEC17	6470.50	6515.75	USD	-2,262.50	
22SEP17	S 3 PD22DEC17	6471.50	6515.75	USD	-3,318.75	
22SEP17	S 1 PD22DEC17	6470.00	6515.75	USD	-1,143.75	
22SEP17	S 5 PD22DEC17	6467.00	6515.75	USD	-6,093.75	
22SEP17	S 1 PD22DEC17	6468.50	6515.75	USD	-1,181.25	
22SEP17	S 1 PD22DEC17	6464.50	6515.75	USD	-1,281.25	
22SEP17	S 8 PD22DEC17	6463.50	6515.75	USD	-10,450.00	
22SEP17	S 1 PD22DEC17	6456.50	6515.75	USD	-1,481.25	
22SEP17	S 1 PD22DEC17	6457.00	6515.75	USD	-1,468.75	
22SEP17	B 1 PD22DEC17	6468.50	6515.75	USD	1,181.25	
22SEP17	B 1 PD22DEC17	6463.50	6515.75	USD	1,306.25	
22SEP17	B 18 PD22DEC17	6464.00	6515.75	USD	23,287.50	
22SEP17	B 2 PD22DEC17	6465.00	6515.75	USD	2,537.50	
22SEP17	B 5 PD22DEC17	6470.50	6515.75	USD	5,656.25	
22SEP17	B 2 PD22DEC17	6470.00	6515.75	USD	2,287.50	
22SEP17	B 3 PD22DEC17	6471.00	6515.75	USD	3,356.25	
22SEP17	B 3 PD22DEC17	6472.50	6515.75	USD	3,243.75	
22SEP17	B 2 PD22DEC17	6472.00	6515.75	USD	2,187.50	
22SEP17	B 15 PD22DEC17	6473.00	6515.75	USD	16,031.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0127A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
22SEP17	B 2 PD22DEC17	6473.50	6515.75	USD	2,112.50	
22SEP17	B 2 PD22DEC17	6474.00	6515.75	USD	2,087.50	
25SEP17	B 60 PD22DEC17	6447.49	6515.75	USD	102,390.00	
	NET 0 AVG BGHT 6442.96 SOLD 6425.86				**-92,335.00**	**0.00**
25SEP17	B 1 PD27DEC17	6467.00	6517.52	USD	1,263.00	
25SEP17	B 1 PD27DEC17	6482.50	6517.52	USD	875.50	
25SEP17	S 1 PD27DEC17	6473.00	6517.52	USD	-1,113.00	
25SEP17	B 1 PD27DEC17	6483.50	6517.52	USD	850.50	
25SEP17	B 1 PD27DEC17	6481.00	6517.52	USD	913.00	
25SEP17	B 1 PD27DEC17	6472.00	6517.52	USD	1,138.00	
25SEP17	B 2 PD27DEC17	6472.50	6517.52	USD	2,251.00	
25SEP17	B 10 PD27DEC17	6473.00	6517.52	USD	11,130.00	
25SEP17	B 1 PD27DEC17	6467.50	6517.52	USD	1,250.50	
25SEP17	B 1 PD27DEC17	6461.00	6517.52	USD	1,413.00	
25SEP17	B 1 PD27DEC17	6461.50	6517.52	USD	1,400.50	
25SEP17	B 3 PD27DEC17	6471.50	6517.52	USD	3,451.50	
25SEP17	B 3 PD27DEC17	6471.00	6517.52	USD	3,489.00	
25SEP17	B 1 PD27DEC17	6479.00	6517.52	USD	963.00	
25SEP17	B 2 PD27DEC17	6470.50	6517.52	USD	2,351.00	
25SEP17	S 1 PD27DEC17	6470.00	6517.52	USD	-1,188.00	
25SEP17	B 2 PD27DEC17	6460.50	6517.52	USD	2,851.00	
25SEP17	B 1 PD27DEC17	6459.00	6517.52	USD	1,463.00	
25SEP17	B 1 PD27DEC17	6457.50	6517.52	USD	1,500.50	
25SEP17	B 2 PD27DEC17	6468.50	6517.52	USD	2,451.00	
25SEP17	B 1 PD27DEC17	6464.50	6517.52	USD	1,325.50	
25SEP17	S 1 PD27DEC17	6461.50	6517.52	USD	-1,400.50	
25SEP17	S 9 PD27DEC17	6461.00	6517.52	USD	-12,717.00	
25SEP17	S 2 PD27DEC17	6456.50	6517.52	USD	-3,051.00	
25SEP17	S 5 PD27DEC17	6457.50	6517.52	USD	-7,502.50	
25SEP17	S 2 PD27DEC17	6467.00	6517.52	USD	-2,526.00	
25SEP17	S 2 PD27DEC17	6468.50	6517.52	USD	-2,451.00	
25SEP17	S 5 PD27DEC17	6469.00	6517.52	USD	-6,065.00	
25SEP17	S 2 PD27DEC17	6469.50	6517.52	USD	-2,401.00	
25SEP17	S 5 PD27DEC17	6468.00	6517.52	USD	-6,190.00	
25SEP17	S 2 PD27DEC17	6462.50	6517.52	USD	-2,751.00	
25SEP17	S 1 PD27DEC17	6466.00	6517.52	USD	-1,288.00	
25SEP17	S 2 PD27DEC17	6467.50	6517.52	USD	-2,501.00	
25SEP17	S 1 PD27DEC17	6463.50	6517.52	USD	-1,350.50	
25SEP17	S 1 PD27DEC17	6464.50	6517.52	USD	-1,325.50	
25SEP17	S 9 PD27DEC17	6462.00	6517.52	USD	-12,492.00	
25SEP17	S 1 PD27DEC17	6460.00	6517.52	USD	-1,438.00	
25SEP17	S 11 PD27DEC17	6457.00	6517.52	USD	-16,643.00	
25SEP17	S 8 PD27DEC17	6458.00	6517.52	USD	-11,904.00	
25SEP17	B 1 PD27DEC17	6464.00	6517.52	USD	1,338.00	
25SEP17	S 1 PD27DEC17	6461.00	6517.52	USD	-1,413.00	
25SEP17	S 4 PD27DEC17	6458.50	6517.52	USD	-5,902.00	
25SEP17	S 6 PD27DEC17	6459.50	6517.52	USD	-8,703.00	
25SEP17	S 2 PD27DEC17	6443.50	6517.52	USD	-3,701.00	
25SEP17	S 2 PD27DEC17	6445.00	6517.52	USD	-3,626.00	
25SEP17	S 1 PD27DEC17	6443.00	6517.52	USD	-1,863.00	
25SEP17	S 2 PD27DEC17	6446.00	6517.52	USD	-3,576.00	
25SEP17	B 1 PD27DEC17	6445.00	6517.52	USD	1,813.00	
25SEP17	S 1 PD27DEC17	6448.00	6517.52	USD	-1,738.00	
25SEP17	S 1 PD27DEC17	6444.00	6517.52	USD	-1,838.00	
25SEP17	S 1 PD27DEC17	6438.50	6517.52	USD	-1,975.50	
25SEP17	S 3 PD27DEC17	6436.50	6517.52	USD	-6,076.50	
25SEP17	S 2 PD27DEC17	6445.50	6517.52	USD	-3,601.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0127A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
25SEP17	S 1 PD27DEC17	6446.50	6517.52	USD	-1,775.50	
25SEP17	S 3 PD27DEC17	6447.50	6517.52	USD	-5,251.50	
25SEP17	S 2 PD27DEC17	6455.00	6517.52	USD	-3,126.00	
25SEP17	S 1 PD27DEC17	6448.50	6517.52	USD	-1,725.50	
25SEP17	S 4 PD27DEC17	6450.50	6517.52	USD	-6,702.00	
25SEP17	S 2 PD27DEC17	6453.00	6517.52	USD	-3,226.00	
25SEP17	S 6 PD27DEC17	6452.00	6517.52	USD	-9,828.00	
25SEP17	S 5 PD27DEC17	6451.50	6517.52	USD	-8,252.50	
25SEP17	S 11 PD27DEC17	6451.00	6517.52	USD	-18,293.00	
25SEP17	S 4 PD27DEC17	6450.00	6517.52	USD	-6,752.00	
25SEP17	S 5 PD27DEC17	6449.00	6517.52	USD	-8,565.00	
25SEP17	S 4 PD27DEC17	6454.50	6517.52	USD	-6,302.00	
25SEP17	S 5 PD27DEC17	6454.00	6517.52	USD	-7,940.00	
25SEP17	S 3 PD27DEC17	6452.50	6517.52	USD	-4,876.50	
25SEP17	S 6 PD27DEC17	6466.50	6517.52	USD	-7,653.00	
25SEP17	S 12 PD27DEC17	6464.00	6517.52	USD	-16,056.00	
25SEP17	S 7 PD27DEC17	6463.00	6517.52	USD	-9,541.00	
25SEP17	S 4 PD27DEC17	6460.50	6517.52	USD	-5,702.00	
25SEP17	S 3 PD27DEC17	6460.00	6517.52	USD	-4,314.00	
25SEP17	B 2 PD27DEC17	6447.50	6517.52	USD	3,501.00	
25SEP17	B 3 PD27DEC17	6448.50	6517.52	USD	5,176.50	
25SEP17	B 1 PD27DEC17	6446.50	6517.52	USD	1,775.50	
25SEP17	S 5 PD27DEC17	6453.50	6517.52	USD	-8,002.50	
25SEP17	B 2 PD27DEC17	6459.50	6517.52	USD	2,901.00	
25SEP17	B 5 PD27DEC17	6460.00	6517.52	USD	7,190.00	
25SEP17	B 2 PD27DEC17	6463.50	6517.52	USD	2,701.00	
25SEP17	B 2 PD27DEC17	6464.00	6517.52	USD	2,676.00	
25SEP17	B 5 PD27DEC17	6463.00	6517.52	USD	6,815.00	
26SEP17	B 1 PD27DEC17	6511.50	6517.52	USD	150.50	
26SEP17	B 1 PD27DEC17	6511.00	6517.52	USD	163.00	
26SEP17	B 1 PD27DEC17	6510.50	6517.52	USD	175.50	
26SEP17	B 1 PD27DEC17	6509.50	6517.52	USD	200.50	
26SEP17	B 1 PD27DEC17	6508.50	6517.52	USD	225.50	
26SEP17	B 1 PD27DEC17	6507.50	6517.52	USD	250.50	
26SEP17	B 2 PD27DEC17	6504.50	6517.52	USD	651.00	
26SEP17	B 1 PD27DEC17	6498.00	6517.52	USD	488.00	
26SEP17	B 3 PD27DEC17	6502.50	6517.52	USD	1,126.50	
26SEP17	B 2 PD27DEC17	6503.00	6517.52	USD	726.00	
26SEP17	B 4 PD27DEC17	6504.00	6517.52	USD	1,352.00	
26SEP17	B 3 PD27DEC17	6505.50	6517.52	USD	901.50	
26SEP17	B 1 PD27DEC17	6499.50	6517.52	USD	450.50	
26SEP17	B 5 PD27DEC17	6500.00	6517.52	USD	2,190.00	
26SEP17	B 1 PD27DEC17	6501.50	6517.52	USD	400.50	
26SEP17	B 5 PD27DEC17	6500.50	6517.52	USD	2,127.50	
26SEP17	B 4 PD27DEC17	6502.00	6517.52	USD	1,552.00	
26SEP17	B 2 PD27DEC17	6501.00	6517.52	USD	826.00	
26SEP17	S 1 PD27DEC17	6505.00	6517.52	USD	-313.00	
26SEP17	S 1 PD27DEC17	6507.00	6517.52	USD	-263.00	
26SEP17	S 2 PD27DEC17	6491.00	6517.52	USD	-1,326.00	
26SEP17	S 2 PD27DEC17	6491.50	6517.52	USD	-1,301.00	
26SEP17	S 1 PD27DEC17	6493.00	6517.52	USD	-613.00	
26SEP17	S 1 PD27DEC17	6493.50	6517.52	USD	-600.50	
26SEP17	S 1 PD27DEC17	6492.00	6517.52	USD	-638.00	
26SEP17	S 1 PD27DEC17	6488.00	6517.52	USD	-738.00	
26SEP17	S 3 PD27DEC17	6489.00	6517.52	USD	-2,139.00	
26SEP17	S 1 PD27DEC17	6477.00	6517.52	USD	-1,013.00	
26SEP17	B 1 PD27DEC17	6477.50	6517.52	USD	1,000.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0127A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
26SEP17	S 1 PD27DEC17	6468.00	6517.52	USD	-1,238.00	
26SEP17	B 1 PD27DEC17	6513.00	6517.52	USD	113.00	
26SEP17	S 1 PD27DEC17	6493.00	6517.52	USD	-613.00	
26SEP17	S 1 PD27DEC17	6489.00	6517.52	USD	-713.00	
26SEP17	S 4 PD27DEC17	6463.50	6517.52	USD	-5,402.00	
26SEP17	S 1 PD27DEC17	6467.00	6517.52	USD	-1,263.00	
26SEP17	S 3 PD27DEC17	6459.50	6517.52	USD	-4,351.50	
26SEP17	S 4 PD27DEC17	6458.50	6517.52	USD	-5,902.00	
26SEP17	S 2 PD27DEC17	6457.00	6517.52	USD	-3,026.00	
26SEP17	S 1 PD27DEC17	6462.00	6517.52	USD	-1,388.00	
26SEP17	S 3 PD27DEC17	6464.00	6517.52	USD	-4,014.00	
26SEP17	S 4 PD27DEC17	6464.50	6517.52	USD	-5,302.00	
26SEP17	S 3 PD27DEC17	6465.00	6517.52	USD	-3,939.00	
26SEP17	S 2 PD27DEC17	6465.50	6517.52	USD	-2,601.00	
26SEP17	S 13 PD27DEC17	6462.50	6517.52	USD	-17,881.50	
26SEP17	S 9 PD27DEC17	6466.00	6517.52	USD	-11,592.00	
26SEP17	S 9 PD27DEC17	6461.50	6517.52	USD	-12,604.50	
26SEP17	B 1 PD27DEC17	6454.00	6517.52	USD	1,588.00	
26SEP17	B 1 PD27DEC17	6445.00	6517.52	USD	1,813.00	
26SEP17	S 1 PD27DEC17	6460.00	6517.52	USD	-1,438.00	
26SEP17	S 1 PD27DEC17	6455.50	6517.52	USD	-1,550.50	
26SEP17	S 1 PD27DEC17	6409.00	6517.52	USD	-2,713.00	
26SEP17	S 1 PD27DEC17	6466.50	6517.52	USD	-1,275.50	
26SEP17	S 3 PD27DEC17	6408.00	6517.52	USD	-8,214.00	
26SEP17	S 3 PD27DEC17	6411.00	6517.52	USD	-7,989.00	
26SEP17	S 2 PD27DEC17	6410.00	6517.52	USD	-5,376.00	
26SEP17	S 1 PD27DEC17	6410.50	6517.52	USD	-2,675.50	
26SEP17	S 1 PD27DEC17	6413.00	6517.52	USD	-2,613.00	
26SEP17	S 1 PD27DEC17	6414.00	6517.52	USD	-2,588.00	
26SEP17	S 1 PD27DEC17	6417.00	6517.52	USD	-2,513.00	
26SEP17	S 9 PD27DEC17	6417.50	6517.52	USD	-22,504.50	
26SEP17	S 3 PD27DEC17	6418.50	6517.52	USD	-7,426.50	
26SEP17	S 3 PD27DEC17	6418.00	6517.52	USD	-7,464.00	
26SEP17	S 2 PD27DEC17	6419.00	6517.52	USD	-4,926.00	
26SEP17	S 4 PD27DEC17	6421.00	6517.52	USD	-9,652.00	
26SEP17	S 1 PD27DEC17	6422.50	6517.52	USD	-2,375.50	
26SEP17	B 1 PD27DEC17	6421.50	6517.52	USD	2,400.50	
26SEP17	B 1 PD27DEC17	6422.50	6517.52	USD	2,375.50	
26SEP17	S 1 PD27DEC17	6424.00	6517.52	USD	-2,338.00	
26SEP17	B 1 PD27DEC17	6419.50	6517.52	USD	2,450.50	
26SEP17	B 1 PD27DEC17	6420.50	6517.52	USD	2,425.50	
26SEP17	B 1 PD27DEC17	6419.00	6517.52	USD	2,463.00	
26SEP17	B 4 PD27DEC17	6418.50	6517.52	USD	9,902.00	
26SEP17	B 1 PD27DEC17	6418.00	6517.52	USD	2,488.00	
26SEP17	B 3 PD27DEC17	6417.00	6517.52	USD	7,539.00	
26SEP17	B 3 PD27DEC17	6416.00	6517.52	USD	7,614.00	
26SEP17	B 2 PD27DEC17	6414.50	6517.52	USD	5,151.00	
26SEP17	B 4 PD27DEC17	6415.00	6517.52	USD	10,252.00	
26SEP17	B 3 PD27DEC17	6416.50	6517.52	USD	7,576.50	
26SEP17	B 1 PD27DEC17	6424.50	6517.52	USD	2,325.50	
26SEP17	S 2 PD27DEC17	6423.00	6517.52	USD	-4,726.00	
26SEP17	B 1 PD27DEC17	6426.00	6517.52	USD	2,288.00	
26SEP17	B 1 PD27DEC17	6429.50	6517.52	USD	2,200.50	
26SEP17	B 1 PD27DEC17	6428.00	6517.52	USD	2,238.00	
26SEP17	B 3 PD27DEC17	6427.00	6517.52	USD	6,789.00	
26SEP17	B 4 PD27DEC17	6427.50	6517.52	USD	9,002.00	
26SEP17	B 1 PD27DEC17	6417.00	6517.52	USD	2,513.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0127A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
26SEP17	B 1 PD27DEC17	6416.00	6517.52	USD	2,538.00	
26SEP17	B 1 PD27DEC17	6415.50	6517.52	USD	2,550.50	
26SEP17	S 1 PD27DEC17	6415.50	6517.52	USD	-2,550.50	
27SEP17	B 1 PD27DEC17	6447.50	6517.52	USD	1,750.50	
27SEP17	B 3 PD27DEC17	6448.00	6517.52	USD	5,214.00	
27SEP17	B 1 PD27DEC17	6473.00	6517.52	USD	1,113.00	
27SEP17	B 6 PD27DEC17	6474.00	6517.52	USD	6,528.00	
27SEP17	B 2 PD27DEC17	6472.00	6517.52	USD	2,276.00	
27SEP17	B 1 PD27DEC17	6470.50	6517.52	USD	1,175.50	
27SEP17	B 1 PD27DEC17	6471.00	6517.52	USD	1,163.00	
27SEP17	S 1 PD27DEC17	6464.50	6517.52	USD	-1,325.50	
27SEP17	B 1 PD27DEC17	6464.00	6517.52	USD	1,338.00	
27SEP17	S 1 PD27DEC17	6461.00	6517.52	USD	-1,413.00	
27SEP17	B 1 PD27DEC17	6457.50	6517.52	USD	1,500.50	
27SEP17	B 1 PD27DEC17	6456.50	6517.52	USD	1,525.50	
27SEP17	B 1 PD27DEC17	6457.00	6517.52	USD	1,513.00	
27SEP17	B 5 PD27DEC17	6452.50	6517.52	USD	8,127.50	
27SEP17	B 1 PD27DEC17	6451.50	6517.52	USD	1,650.50	
27SEP17	B 3 PD27DEC17	6450.50	6517.52	USD	5,026.50	
27SEP17	B 5 PD27DEC17	6455.00	6517.52	USD	7,815.00	
27SEP17	B 14 PD27DEC17	6454.00	6517.52	USD	22,232.00	
27SEP17	B 6 PD27DEC17	6453.50	6517.52	USD	9,603.00	
27SEP17	B 5 PD27DEC17	6454.50	6517.52	USD	7,877.50	
27SEP17	B 2 PD27DEC17	6455.50	6517.52	USD	3,101.00	
27SEP17	B 10 PD27DEC17	6458.00	6517.52	USD	14,880.00	
27SEP17	B 5 PD27DEC17	6458.50	6517.52	USD	7,377.50	
27SEP17	B 2 PD27DEC17	6459.00	6517.52	USD	2,926.00	
27SEP17	B 2 PD27DEC17	6464.50	6517.52	USD	2,651.00	
27SEP17	B 1 PD27DEC17	6465.50	6517.52	USD	1,300.50	
27SEP17	B 6 PD27DEC17	6460.00	6517.52	USD	8,628.00	
27SEP17	B 3 PD27DEC17	6463.50	6517.52	USD	4,051.50	
27SEP17	B 1 PD27DEC17	6470.00	6517.52	USD	1,188.00	
27SEP17	B 1 PD27DEC17	6467.50	6517.52	USD	1,250.50	
27SEP17	B 2 PD27DEC17	6462.50	6517.52	USD	2,751.00	
27SEP17	B 1 PD27DEC17	6462.00	6517.52	USD	1,388.00	
27SEP17	B 4 PD27DEC17	6463.00	6517.52	USD	5,452.00	
27SEP17	B 7 PD27DEC17	6461.00	6517.52	USD	9,891.00	
27SEP17	B 4 PD27DEC17	6460.50	6517.52	USD	5,702.00	
27SEP17	B 6 PD27DEC17	6459.50	6517.52	USD	8,703.00	
27SEP17	B 3 PD27DEC17	6456.00	6517.52	USD	4,614.00	
27SEP17	B 1 PD27DEC17	6479.00	6517.52	USD	963.00	
27SEP17	B 1 PD27DEC17	6482.50	6517.52	USD	875.50	
27SEP17	B 3 PD27DEC17	6483.50	6517.52	USD	2,551.50	
27SEP17	B 1 PD27DEC17	6486.00	6517.52	USD	788.00	
27SEP17	B 2 PD27DEC17	6485.50	6517.52	USD	1,601.00	
27SEP17	B 1 PD27DEC17	6482.00	6517.52	USD	888.00	
27SEP17	B 1 PD27DEC17	6480.00	6517.52	USD	938.00	
27SEP17	S 1 PD27DEC17	6487.50	6517.52	USD	-750.50	
27SEP17	B 1 PD27DEC17	6486.50	6517.52	USD	775.50	
27SEP17	B 1 PD27DEC17	6488.50	6517.52	USD	725.50	
27SEP17	B 2 PD27DEC17	6487.50	6517.52	USD	1,501.00	
27SEP17	B 1 PD27DEC17	6447.50	6517.52	USD	1,750.50	
27SEP17	B 1 PD27DEC17	6455.00	6517.52	USD	1,563.00	
27SEP17	B 1 PD27DEC17	6458.00	6517.52	USD	1,488.00	
27SEP17	B 1 PD27DEC17	6470.00	6517.52	USD	1,188.00	
27SEP17	B 1 PD27DEC17	6457.00	6517.52	USD	1,513.00	
27SEP17	B 2 PD27DEC17	6489.00	6517.52	USD	1,426.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0127A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
27SEP17	B 9 PD27DEC17	6461.50	6517.52	USD	12,604.50	
27SEP17	B 1 PD27DEC17	6430.50	6517.52	USD	2,175.50	
27SEP17	B 2 PD27DEC17	6429.50	6517.52	USD	4,401.00	
27SEP17	B 1 PD27DEC17	6429.00	6517.52	USD	2,213.00	
27SEP17	B 1 PD27DEC17	6434.50	6517.52	USD	2,075.50	
27SEP17	B 1 PD27DEC17	6438.00	6517.52	USD	1,988.00	
27SEP17	S 1 PD27DEC17	6421.50	6517.52	USD	-2,400.50	
27SEP17	S 1 PD27DEC17	6418.00	6517.52	USD	-2,488.00	
27SEP17	S 1 PD27DEC17	6423.00	6517.52	USD	-2,363.00	
27SEP17	B 1 PD27DEC17	6427.50	6517.52	USD	2,250.50	
27SEP17	B 1 PD27DEC17	6436.50	6517.52	USD	2,025.50	
27SEP17	B 4 PD27DEC17	6437.50	6517.52	USD	8,002.00	
27SEP17	B 2 PD27DEC17	6443.00	6517.52	USD	3,726.00	
27SEP17	B 3 PD27DEC17	6437.00	6517.52	USD	6,039.00	
27SEP17	B 5 PD27DEC17	6434.00	6517.52	USD	10,440.00	
27SEP17	B 5 PD27DEC17	6435.00	6517.52	USD	10,315.00	
27SEP17	B 4 PD27DEC17	6439.50	6517.52	USD	7,802.00	
27SEP17	B 2 PD27DEC17	6441.00	6517.52	USD	3,826.00	
27SEP17	B 2 PD27DEC17	6436.00	6517.52	USD	4,076.00	
27SEP17	B 10 PD27DEC17	6438.50	6517.52	USD	19,755.00	
27SEP17	B 2 PD27DEC17	6439.00	6517.52	USD	3,926.00	
27SEP17	B 1 PD27DEC17	6442.50	6517.52	USD	1,875.50	
27SEP17	B 3 PD27DEC17	6441.50	6517.52	USD	5,701.50	
27SEP17	B 6 PD27DEC17	6440.50	6517.52	USD	11,553.00	
27SEP17	B 1 PD27DEC17	6441.50	6517.52	USD	1,900.50	
	NET +35 AVG BGHT 6458.41 SOLD 6454.82				**23,642.50**	**0.00**
28SEP17	S 1 PD28DEC17	6410.50	6517.88	USD	-2,684.50	
28SEP17	S 10 PD28DEC17	6408.50	6517.88	USD	-27,345.00	
28SEP17	S 10 PD28DEC17	6409.50	6517.88	USD	-27,095.00	
28SEP17	S 2 PD28DEC17	6407.00	6517.88	USD	-5,544.00	
28SEP17	S 7 PD28DEC17	6406.00	6517.88	USD	-19,579.00	
28SEP17	S 2 PD28DEC17	6403.50	6517.88	USD	-5,719.00	
28SEP17	S 3 PD28DEC17	6401.50	6517.88	USD	-8,728.50	
28SEP17	S 2 PD28DEC17	6400.50	6517.88	USD	-5,869.00	
28SEP17	S 5 PD28DEC17	6400.00	6517.88	USD	-14,735.00	
28SEP17	S 3 PD28DEC17	6398.50	6517.88	USD	-8,953.50	
28SEP17	S 2 PD28DEC17	6428.50	6517.88	USD	-4,469.00	
28SEP17	S 1 PD28DEC17	6425.50	6517.88	USD	-2,309.50	
28SEP17	S 4 PD28DEC17	6426.50	6517.88	USD	-9,138.00	
28SEP17	B 1 PD28DEC17	6437.50	6517.88	USD	2,009.50	
28SEP17	B 1 PD28DEC17	6436.50	6517.88	USD	2,034.50	
28SEP17	B 1 PD28DEC17	6435.50	6517.88	USD	2,059.50	
28SEP17	B 1 PD28DEC17	6435.00	6517.88	USD	2,072.00	
28SEP17	B 1 PD28DEC17	6434.50	6517.88	USD	2,084.50	
28SEP17	B 1 PD28DEC17	6433.00	6517.88	USD	2,122.00	
28SEP17	B 1 PD28DEC17	6438.50	6517.88	USD	1,984.50	
28SEP17	B 4 PD28DEC17	6438.00	6517.88	USD	7,988.00	
28SEP17	B 1 PD28DEC17	6440.00	6517.88	USD	1,947.00	
28SEP17	B 1 PD28DEC17	6442.50	6517.88	USD	1,884.50	
28SEP17	B 1 PD28DEC17	6443.50	6517.88	USD	1,859.50	
28SEP17	B 1 PD28DEC17	6446.00	6517.88	USD	1,797.00	
28SEP17	B 2 PD28DEC17	6452.50	6517.88	USD	3,269.00	
28SEP17	B 1 PD28DEC17	6449.50	6517.88	USD	1,709.50	
28SEP17	S 1 PD28DEC17	6452.00	6517.88	USD	-1,647.00	
28SEP17	B 1 PD28DEC17	6444.50	6517.88	USD	1,834.50	
28SEP17	B 1 PD28DEC17	6445.50	6517.88	USD	1,809.50	
28SEP17	B 2 PD28DEC17	6446.50	6517.88	USD	3,569.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0127A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
28SEP17	B 1 PD28DEC17	6457.50	6517.88	USD	1,509.50	
28SEP17	B 4 PD28DEC17	6463.50	6517.88	USD	5,438.00	
28SEP17	B 1 PD28DEC17	6461.00	6517.88	USD	1,422.00	
28SEP17	B 1 PD28DEC17	6468.00	6517.88	USD	1,247.00	
28SEP17	B 5 PD28DEC17	6467.50	6517.88	USD	6,297.50	
28SEP17	B 1 PD28DEC17	6465.00	6517.88	USD	1,322.00	
28SEP17	S 1 PD28DEC17	6467.50	6517.88	USD	-1,259.50	
28SEP17	B 1 PD28DEC17	6455.50	6517.88	USD	1,559.50	
28SEP17	B 6 PD28DEC17	6453.50	6517.88	USD	9,657.00	
28SEP17	B 4 PD28DEC17	6451.00	6517.88	USD	6,688.00	
28SEP17	B 9 PD28DEC17	6452.00	6517.88	USD	14,823.00	
28SEP17	B 4 PD28DEC17	6453.00	6517.88	USD	6,488.00	
28SEP17	B 1 PD28DEC17	6454.50	6517.88	USD	1,584.50	
28SEP17	B 8 PD28DEC17	6454.00	6517.88	USD	12,776.00	
28SEP17	B 1 PD28DEC17	6433.00	6517.88	USD	2,122.00	
28SEP17	B 3 PD28DEC17	6459.50	6517.88	USD	4,378.50	
28SEP17	B 2 PD28DEC17	6463.00	6517.88	USD	2,744.00	
28SEP17	B 4 PD28DEC17	6467.00	6517.88	USD	5,088.00	
28SEP17	B 5 PD28DEC17	6469.50	6517.88	USD	6,047.50	
28SEP17	B 1 PD28DEC17	6471.50	6517.88	USD	1,159.50	
28SEP17	B 1 PD28DEC17	6470.00	6517.88	USD	1,197.00	
28SEP17	B 9 PD28DEC17	6469.00	6517.88	USD	10,998.00	
28SEP17	S 1 PD28DEC17	6480.50	6517.88	USD	-934.50	
28SEP17	S 2 PD28DEC17	6480.00	6517.88	USD	-1,894.00	
28SEP17	S 1 PD28DEC17	6478.50	6517.88	USD	-984.50	
28SEP17	S 1 PD28DEC17	6475.00	6517.88	USD	-1,072.00	
28SEP17	S 1 PD28DEC17	6481.00	6517.88	USD	-922.00	
28SEP17	S 1 PD28DEC17	6482.00	6517.88	USD	-897.00	
28SEP17	B 1 PD28DEC17	6503.00	6517.88	USD	372.00	
28SEP17	B 2 PD28DEC17	6504.00	6517.88	USD	694.00	
28SEP17	B 1 PD28DEC17	6506.50	6517.88	USD	284.50	
28SEP17	B 1 PD28DEC17	6512.00	6517.88	USD	147.00	
28SEP17	S 1 PD28DEC17	6512.50	6517.88	USD	-134.50	
28SEP17	B 1 PD28DEC17	6516.50	6517.88	USD	34.50	
28SEP17	B 1 PD28DEC17	6512.50	6517.88	USD	134.50	
28SEP17	B 1 PD28DEC17	6513.50	6517.88	USD	109.50	
28SEP17	B 2 PD28DEC17	6515.50	6517.88	USD	119.00	
28SEP17	B 2 PD28DEC17	6517.00	6517.88	USD	44.00	
28SEP17	B 2 PD28DEC17	6521.50	6517.88	USD	-181.00	
28SEP17	B 1 PD28DEC17	6522.50	6517.88	USD	-115.50	
28SEP17	B 2 PD28DEC17	6519.50	6517.88	USD	-81.00	
28SEP17	B 1 PD28DEC17	6525.00	6517.88	USD	-178.00	
28SEP17	B 4 PD28DEC17	6524.00	6517.88	USD	-612.00	
28SEP17	B 5 PD28DEC17	6528.00	6517.88	USD	-1,265.00	
28SEP17	B 5 PD28DEC17	6529.00	6517.88	USD	-1,390.00	
28SEP17	B 2 PD28DEC17	6534.50	6517.88	USD	-831.00	
28SEP17	B 3 PD28DEC17	6535.00	6517.88	USD	-1,284.00	
28SEP17	B 2 PD28DEC17	6532.50	6517.88	USD	-731.00	
28SEP17	B 2 PD28DEC17	6531.50	6517.88	USD	-681.00	
28SEP17	B 3 PD28DEC17	6534.00	6517.88	USD	-1,209.00	
28SEP17	B 1 PD28DEC17	6548.00	6517.88	USD	-753.00	
28SEP17	B 1 PD28DEC17	6549.00	6517.88	USD	-778.00	
28SEP17	B 3 PD28DEC17	6555.00	6517.88	USD	-2,784.00	
28SEP17	B 1 PD28DEC17	6571.50	6517.88	USD	-1,340.50	
28SEP17	S 1 PD28DEC17	6565.50	6517.88	USD	1,190.50	
28SEP17	B 1 PD28DEC17	6504.00	6517.88	USD	347.00	
28SEP17	B 3 PD28DEC17	6534.00	6517.88	USD	-1,209.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0127A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
	NET +85 AVG BGHT 6481.81 SOLD 6422.18				**-17,280.00**	**0.00**
29SEP17	B 3 PD29DEC17	6565.00	6518.23	USD	-3,507.75	
29SEP17	B 2 PD29DEC17	6564.00	6518.23	USD	-2,288.50	
29SEP17	B 2 PD29DEC17	6562.00	6518.23	USD	-2,188.50	
29SEP17	B 1 PD29DEC17	6563.00	6518.23	USD	-1,119.25	
29SEP17	B 1 PD29DEC17	6558.50	6518.23	USD	-1,006.75	
29SEP17	B 1 PD29DEC17	6556.00	6518.23	USD	-944.25	
29SEP17	B 1 PD29DEC17	6556.50	6518.23	USD	-956.75	
29SEP17	B 1 PD29DEC17	6554.50	6518.23	USD	-906.75	
29SEP17	B 2 PD29DEC17	6553.00	6518.23	USD	-1,738.50	
29SEP17	B 1 PD29DEC17	6551.00	6518.23	USD	-819.25	
29SEP17	B 1 PD29DEC17	6552.00	6518.23	USD	-844.25	
29SEP17	B 3 PD29DEC17	6545.50	6518.23	USD	-2,045.25	
29SEP17	B 1 PD29DEC17	6548.00	6518.23	USD	-744.25	
29SEP17	B 5 PD29DEC17	6547.00	6518.23	USD	-3,596.25	
29SEP17	B 2 PD29DEC17	6547.50	6518.23	USD	-1,463.50	
29SEP17	B 7 PD29DEC17	6549.00	6518.23	USD	-5,384.75	
29SEP17	B 3 PD29DEC17	6548.50	6518.23	USD	-2,270.25	
29SEP17	B 2 PD29DEC17	6546.50	6518.23	USD	-1,413.50	
29SEP17	B 2 PD29DEC17	6544.00	6518.23	USD	-1,288.50	
29SEP17	B 1 PD29DEC17	6543.00	6518.23	USD	-619.25	
29SEP17	B 1 PD29DEC17	6543.50	6518.23	USD	-631.75	
29SEP17	B 2 PD29DEC17	6544.50	6518.23	USD	-1,313.50	
29SEP17	S 1 PD29DEC17	6542.00	6518.23	USD	594.25	
29SEP17	S 5 PD29DEC17	6530.00	6518.23	USD	1,471.25	
29SEP17	S 9 PD29DEC17	6529.00	6518.23	USD	2,423.25	
29SEP17	S 1 PD29DEC17	6532.00	6518.23	USD	344.25	
29SEP17	S 1 PD29DEC17	6533.00	6518.23	USD	369.25	
29SEP17	S 2 PD29DEC17	6540.50	6518.23	USD	1,113.50	
29SEP17	S 2 PD29DEC17	6540.00	6518.23	USD	1,088.50	
29SEP17	S 1 PD29DEC17	6541.50	6518.23	USD	581.75	
29SEP17	B 1 PD29DEC17	6560.50	6518.23	USD	-1,056.75	
29SEP17	B 3 PD29DEC17	6560.00	6518.23	USD	-3,132.75	
29SEP17	B 2 PD29DEC17	6555.00	6518.23	USD	-1,838.50	
29SEP17	B 1 PD29DEC17	6545.00	6518.23	USD	-669.25	
29SEP17	S 1 PD29DEC17	6529.00	6518.23	USD	269.25	
29SEP17	S 1 PD29DEC17	6537.00	6518.23	USD	469.25	
29SEP17	S 2 PD29DEC17	6539.00	6518.23	USD	1,038.50	
29SEP17	S 1 PD29DEC17	6536.00	6518.23	USD	444.25	
29SEP17	S 8 PD29DEC17	6538.00	6518.23	USD	3,954.00	
29SEP17	S 4 PD29DEC17	6535.50	6518.23	USD	1,727.00	
29SEP17	S 4 PD29DEC17	6532.50	6518.23	USD	1,427.00	
29SEP17	S 3 PD29DEC17	6533.50	6518.23	USD	1,145.25	
29SEP17	S 8 PD29DEC17	6531.00	6518.23	USD	2,554.00	
29SEP17	S 4 PD29DEC17	6530.50	6518.23	USD	1,227.00	
29SEP17	S 8 PD29DEC17	6531.50	6518.23	USD	2,654.00	
29SEP17	B 1 PD29DEC17	6533.00	6518.23	USD	-369.25	
29SEP17	B 1 PD29DEC17	6532.00	6518.23	USD	-344.25	
29SEP17	B 1 PD29DEC17	6535.50	6518.23	USD	-431.75	
29SEP17	B 2 PD29DEC17	6536.50	6518.23	USD	-913.50	
29SEP17	B 3 PD29DEC17	6537.50	6518.23	USD	-1,445.25	
29SEP17	B 1 PD29DEC17	6536.00	6518.23	USD	-444.25	
29SEP17	B 1 PD29DEC17	6532.50	6518.23	USD	-356.75	
29SEP17	S 1 PD29DEC17	6527.00	6518.23	USD	219.25	
29SEP17	S 4 PD29DEC17	6528.00	6518.23	USD	977.00	
29SEP17	S 3 PD29DEC17	6529.50	6518.23	USD	845.25	
29SEP17	B 1 PD29DEC17	6531.50	6518.23	USD	-331.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0127A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
29SEP17	B 1 PD29DEC17	6530.50	6518.23	USD	-306.75	
29SEP17	S 2 PD29DEC17	6525.00	6518.23	USD	338.50	
29SEP17	B 2 PD29DEC17	6529.00	6518.23	USD	-538.50	
29SEP17	B 1 PD29DEC17	6527.00	6518.23	USD	-219.25	
29SEP17	S 1 PD29DEC17	6522.50	6518.23	USD	106.75	
29SEP17	B 1 PD29DEC17	6521.50	6518.23	USD	-81.75	
29SEP17	B 4 PD29DEC17	6521.00	6518.23	USD	-277.00	
29SEP17	B 1 PD29DEC17	6522.00	6518.23	USD	-94.25	
29SEP17	B 1 PD29DEC17	6522.50	6518.23	USD	-106.75	
29SEP17	B 2 PD29DEC17	6525.00	6518.23	USD	-338.50	
29SEP17	B 1 PD29DEC17	6525.50	6518.23	USD	-181.75	
29SEP17	B 2 PD29DEC17	6516.00	6518.23	USD	111.50	
29SEP17	B 5 PD29DEC17	6516.50	6518.23	USD	216.25	
29SEP17	B 3 PD29DEC17	6517.50	6518.23	USD	54.75	
29SEP17	B 9 PD29DEC17	6518.00	6518.23	USD	51.75	
29SEP17	B 4 PD29DEC17	6517.00	6518.23	USD	123.00	
29SEP17	B 1 PD29DEC17	6514.00	6518.23	USD	105.75	
29SEP17	S 3 PD29DEC17	6501.50	6518.23	USD	-1,254.75	
29SEP17	S 3 PD29DEC17	6496.00	6518.23	USD	-1,667.25	
29SEP17	S 2 PD29DEC17	6487.00	6518.23	USD	-1,561.50	
29SEP17	S 2 PD29DEC17	6489.50	6518.23	USD	-1,436.50	
29SEP17	S 2 PD29DEC17	6490.00	6518.23	USD	-1,411.50	
29SEP17	S 2 PD29DEC17	6490.50	6518.23	USD	-1,386.50	
29SEP17	B 2 PD29DEC17	6485.00	6518.23	USD	1,661.50	
29SEP17	B 1 PD29DEC17	6486.50	6518.23	USD	793.25	
29SEP17	B 1 PD29DEC17	6487.00	6518.23	USD	780.75	
29SEP17	B 1 PD29DEC17	6488.00	6518.23	USD	755.75	
29SEP17	S 1 PD29DEC17	6492.50	6518.23	USD	-643.25	
29SEP17	S 1 PD29DEC17	6493.50	6518.23	USD	-618.25	
29SEP17	S 3 PD29DEC17	6488.00	6518.23	USD	-2,267.25	
29SEP17	S 2 PD29DEC17	6489.00	6518.23	USD	-1,461.50	
29SEP17	S 2 PD29DEC17	6499.00	6518.23	USD	-961.50	
29SEP17	S 6 PD29DEC17	6500.00	6518.23	USD	-2,734.50	
29SEP17	S 2 PD29DEC17	6500.50	6518.23	USD	-886.50	
29SEP17	S 1 PD29DEC17	6501.00	6518.23	USD	-430.75	
29SEP17	S 2 PD29DEC17	6503.50	6518.23	USD	-736.50	
29SEP17	S 3 PD29DEC17	6503.00	6518.23	USD	-1,142.25	
29SEP17	S 7 PD29DEC17	6502.50	6518.23	USD	-2,752.75	
29SEP17	S 15 PD29DEC17	6502.00	6518.23	USD	-6,086.25	
29SEP17	B 1 PD29DEC17	6521.00	6518.23	USD	-69.25	
29SEP17	B 1 PD29DEC17	6520.00	6518.23	USD	-44.25	
29SEP17	S 1 PD29DEC17	6501.00	6518.23	USD	-430.75	
29SEP17	S 1 PD29DEC17	6502.50	6518.23	USD	-393.25	
03OCT17	B 30 PD29DEC17	6518.43	6518.23	USD	-150.00	
	NET 0 AVG BGHT 6531.62 SOLD 6517.39				**-49,060.00**	**0.00**
02OCT17	B 1 PD02JAN18	6532.00	6519.65	USD	-308.75	
02OCT17	B 1 PD02JAN18	6517.50	6519.65	USD	53.75	
02OCT17	B 2 PD02JAN18	6525.00	6519.65	USD	-267.50	
02OCT17	B 2 PD02JAN18	6518.50	6519.65	USD	57.50	
02OCT17	B 2 PD02JAN18	6516.50	6519.65	USD	157.50	
02OCT17	B 1 PD02JAN18	6520.50	6519.65	USD	-21.25	
02OCT17	B 12 PD02JAN18	6526.50	6519.65	USD	-2,055.00	
02OCT17	B 2 PD02JAN18	6523.50	6519.65	USD	-192.50	
02OCT17	B 5 PD02JAN18	6524.50	6519.65	USD	-606.25	
02OCT17	B 5 PD02JAN18	6526.00	6519.65	USD	-793.75	
02OCT17	B 5 PD02JAN18	6525.50	6519.65	USD	-731.25	
02OCT17	B 3 PD02JAN18	6495.00	6519.65	USD	1,848.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0127A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
02OCT17	B 3 PD02JAN18	6493.50	6519.65	USD	1,961.25	
02OCT17	B 3 PD02JAN18	6492.50	6519.65	USD	2,036.25	
02OCT17	B 1 PD02JAN18	6493.00	6519.65	USD	666.25	
02OCT17	B 1 PD02JAN18	6496.00	6519.65	USD	591.25	
02OCT17	B 1 PD02JAN18	6496.50	6519.65	USD	578.75	
02OCT17	B 1 PD02JAN18	6497.00	6519.65	USD	566.25	
02OCT17	B 2 PD02JAN18	6498.00	6519.65	USD	1,082.50	
02OCT17	B 2 PD02JAN18	6501.50	6519.65	USD	907.50	
02OCT17	B 1 PD02JAN18	6500.00	6519.65	USD	491.25	
02OCT17	S 8 PD02JAN18	6499.00	6519.65	USD	-4,130.00	
02OCT17	B 1 PD02JAN18	6525.50	6519.65	USD	-146.25	
02OCT17	B 1 PD02JAN18	6525.00	6519.65	USD	-133.75	
02OCT17	S 1 PD02JAN18	6484.50	6519.65	USD	-878.75	
02OCT17	S 1 PD02JAN18	6487.00	6519.65	USD	-816.25	
02OCT17	S 2 PD02JAN18	6486.00	6519.65	USD	-1,682.50	
02OCT17	S 4 PD02JAN18	6490.00	6519.65	USD	-2,965.00	
02OCT17	S 2 PD02JAN18	6489.00	6519.65	USD	-1,532.50	
02OCT17	B 1 PD02JAN18	6490.50	6519.65	USD	728.75	
02OCT17	B 1 PD02JAN18	6486.00	6519.65	USD	841.25	
02OCT17	B 9 PD02JAN18	6485.50	6519.65	USD	7,683.75	
02OCT17	B 1 PD02JAN18	6484.50	6519.65	USD	878.75	
02OCT17	S 1 PD02JAN18	6465.50	6519.65	USD	-1,353.75	
02OCT17	B 1 PD02JAN18	6470.00	6519.65	USD	1,241.25	
02OCT17	B 1 PD02JAN18	6471.00	6519.65	USD	1,216.25	
02OCT17	S 2 PD02JAN18	6488.00	6519.65	USD	-1,582.50	
02OCT17	S 1 PD02JAN18	6490.50	6519.65	USD	-728.75	
02OCT17	S 2 PD02JAN18	6491.50	6519.65	USD	-1,407.50	
02OCT17	S 1 PD02JAN18	6492.50	6519.65	USD	-678.75	
02OCT17	S 4 PD02JAN18	6492.00	6519.65	USD	-2,765.00	
02OCT17	S 2 PD02JAN18	6493.00	6519.65	USD	-1,332.50	
02OCT17	S 1 PD02JAN18	6496.00	6519.65	USD	-591.25	
02OCT17	B 2 PD02JAN18	6503.00	6519.65	USD	832.50	
02OCT17	B 1 PD02JAN18	6500.50	6519.65	USD	478.75	
02OCT17	S 1 PD02JAN18	6500.00	6519.65	USD	-491.25	
02OCT17	S 1 PD02JAN18	6498.00	6519.65	USD	-541.25	
02OCT17	S 1 PD02JAN18	6500.50	6519.65	USD	-478.75	
02OCT17	S 8 PD02JAN18	6495.50	6519.65	USD	-4,830.00	
02OCT17	S 2 PD02JAN18	6496.50	6519.65	USD	-1,157.50	
02OCT17	S 1 PD02JAN18	6488.00	6519.65	USD	-791.25	
02OCT17	B 1 PD02JAN18	6500.50	6519.65	USD	478.75	
	NET +30 AVG BGHT 6509.06 SOLD 6492.92				**-10,612.50**	**0.00**
03OCT17	S 1 PD03JAN18	6451.00	6520.00	USD	-1,725.00	
03OCT17	S 2 PD03JAN18	6450.00	6520.00	USD	-3,500.00	
03OCT17	S 2 PD03JAN18	6448.50	6520.00	USD	-3,575.00	
03OCT17	S 1 PD03JAN18	6448.00	6520.00	USD	-1,800.00	
03OCT17	S 4 PD03JAN18	6443.50	6520.00	USD	-7,650.00	
03OCT17	S 1 PD03JAN18	6442.50	6520.00	USD	-1,937.50	
03OCT17	S 1 PD03JAN18	6443.00	6520.00	USD	-1,925.00	
03OCT17	S 1 PD03JAN18	6444.00	6520.00	USD	-1,900.00	
03OCT17	S 1 PD03JAN18	6444.50	6520.00	USD	-1,887.50	
03OCT17	S 1 PD03JAN18	6445.00	6520.00	USD	-1,875.00	
03OCT17	S 1 PD03JAN18	6452.50	6520.00	USD	-1,687.50	
03OCT17	S 4 PD03JAN18	6451.50	6520.00	USD	-6,850.00	
03OCT17	S 5 PD03JAN18	6453.50	6520.00	USD	-8,312.50	
03OCT17	S 5 PD03JAN18	6454.50	6520.00	USD	-8,187.50	
03OCT17	S 2 PD03JAN18	6455.00	6520.00	USD	-3,250.00	
03OCT17	S 2 PD03JAN18	6455.50	6520.00	USD	-3,225.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0127A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
03OCT17	S 2 PD03JAN18	6457.00	6520.00	USD	-3,150.00	
03OCT17	S 1 PD03JAN18	6458.00	6520.00	USD	-1,550.00	
03OCT17	S 1 PD03JAN18	6459.00	6520.00	USD	-1,525.00	
03OCT17	S 1 PD03JAN18	6468.50	6520.00	USD	-1,287.50	
03OCT17	S 2 PD03JAN18	6467.50	6520.00	USD	-2,625.00	
03OCT17	S 1 PD03JAN18	6467.00	6520.00	USD	-1,325.00	
03OCT17	S 2 PD03JAN18	6463.50	6520.00	USD	-2,825.00	
03OCT17	S 1 PD03JAN18	6462.50	6520.00	USD	-1,437.50	
03OCT17	S 3 PD03JAN18	6464.50	6520.00	USD	-4,162.50	
03OCT17	S 1 PD03JAN18	6464.00	6520.00	USD	-1,400.00	
03OCT17	S 2 PD03JAN18	6457.50	6520.00	USD	-3,125.00	
03OCT17	S 1 PD03JAN18	6460.00	6520.00	USD	-1,500.00	
03OCT17	S 3 PD03JAN18	6469.50	6520.00	USD	-3,787.50	
03OCT17	S 1 PD03JAN18	6463.00	6520.00	USD	-1,425.00	
03OCT17	S 1 PD03JAN18	6465.00	6520.00	USD	-1,375.00	
03OCT17	S 3 PD03JAN18	6468.00	6520.00	USD	-3,900.00	
03OCT17	B 3 PD03JAN18	6485.00	6520.00	USD	2,625.00	
03OCT17	B 1 PD03JAN18	6484.00	6520.00	USD	900.00	
03OCT17	B 1 PD03JAN18	6480.50	6520.00	USD	987.50	
03OCT17	B 1 PD03JAN18	6481.50	6520.00	USD	962.50	
03OCT17	S 1 PD03JAN18	6507.00	6520.00	USD	-325.00	
03OCT17	B 2 PD03JAN18	6504.50	6520.00	USD	775.00	
03OCT17	B 1 PD03JAN18	6510.00	6520.00	USD	250.00	
03OCT17	B 1 PD03JAN18	6508.50	6520.00	USD	287.50	
03OCT17	B 1 PD03JAN18	6511.00	6520.00	USD	225.00	
03OCT17	B 5 PD03JAN18	6496.50	6520.00	USD	2,937.50	
03OCT17	B 4 PD03JAN18	6496.00	6520.00	USD	2,400.00	
03OCT17	B 11 PD03JAN18	6495.00	6520.00	USD	6,875.00	
03OCT17	B 2 PD03JAN18	6494.00	6520.00	USD	1,300.00	
03OCT17	B 2 PD03JAN18	6490.50	6520.00	USD	1,475.00	
03OCT17	S 1 PD03JAN18	6518.50	6520.00	USD	-37.50	
03OCT17	B 2 PD03JAN18	6489.00	6520.00	USD	1,550.00	
03OCT17	B 2 PD03JAN18	6490.00	6520.00	USD	1,500.00	
03OCT17	B 1 PD03JAN18	6495.50	6520.00	USD	612.50	
03OCT17	B 1 PD03JAN18	6493.00	6520.00	USD	675.00	
03OCT17	B 5 PD03JAN18	6499.50	6520.00	USD	2,562.50	
03OCT17	B 5 PD03JAN18	6511.50	6520.00	USD	1,062.50	
03OCT17	B 2 PD03JAN18	6508.00	6520.00	USD	600.00	
03OCT17	B 4 PD03JAN18	6507.00	6520.00	USD	1,300.00	
03OCT17	B 4 PD03JAN18	6529.00	6520.00	USD	-900.00	
03OCT17	B 2 PD03JAN18	6528.00	6520.00	USD	-400.00	
03OCT17	B 1 PD03JAN18	6527.00	6520.00	USD	-175.00	
03OCT17	B 3 PD03JAN18	6526.00	6520.00	USD	-450.00	
03OCT17	S 1 PD03JAN18	6521.50	6520.00	USD	37.50	
03OCT17	S 1 PD03JAN18	6519.00	6520.00	USD	-25.00	
03OCT17	S 1 PD03JAN18	6516.50	6520.00	USD	-87.50	
03OCT17	B 1 PD03JAN18	6514.00	6520.00	USD	150.00	
03OCT17	B 1 PD03JAN18	6512.00	6520.00	USD	200.00	
03OCT17	B 2 PD03JAN18	6513.00	6520.00	USD	350.00	
03OCT17	B 1 PD03JAN18	6515.00	6520.00	USD	125.00	
03OCT17	S 11 PD03JAN18	6527.00	6520.00	USD	1,925.00	
03OCT17	S 3 PD03JAN18	6524.50	6520.00	USD	337.50	
03OCT17	S 1 PD03JAN18	6525.50	6520.00	USD	137.50	
03OCT17	S 1 PD03JAN18	6521.00	6520.00	USD	25.00	
03OCT17	S 1 PD03JAN18	6522.00	6520.00	USD	50.00	
03OCT17	B 1 PD03JAN18	6522.50	6520.00	USD	-62.50	
03OCT17	S 2 PD03JAN18	6514.00	6520.00	USD	-300.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0127A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
03OCT17	S 3 PD03JAN18	6516.00	6520.00	USD	-300.00	
03OCT17	S 1 PD03JAN18	6514.50	6520.00	USD	-137.50	
	NET -15 AVG BGHT 6503.18 SOLD 6477.10				**-63,687.50**	**0.00**
	CMX COPPER 25,000 CMX					
27SEP17	S 2 DEC17	293.900	296.350	USD	-1,225.00	
27SEP17	S 3 DEC17	293.950	296.350	USD	-1,800.00	
27SEP17	S 4 DEC17	293.800	296.350	USD	-2,550.00	
27SEP17	S 21 DEC17	293.500	296.350	USD	-14,962.50	
27SEP17	S 2 DEC17	293.350	296.350	USD	-1,500.00	
27SEP17	S 2 DEC17	293.300	296.350	USD	-1,525.00	
27SEP17	S 19 DEC17	293.550	296.350	USD	-13,300.00	
27SEP17	S 19 DEC17	293.600	296.350	USD	-13,062.50	
27SEP17	S 2 DEC17	294.400	296.350	USD	-975.00	
27SEP17	S 2 DEC17	294.450	296.350	USD	-950.00	
27SEP17	S 4 DEC17	294.600	296.350	USD	-1,750.00	
27SEP17	S 2 DEC17	294.750	296.350	USD	-800.00	
27SEP17	S 5 DEC17	294.650	296.350	USD	-2,125.00	
27SEP17	S 3 DEC17	294.500	296.350	USD	-1,387.50	
27SEP17	S 4 DEC17	294.700	296.350	USD	-1,650.00	
27SEP17	S 6 DEC17	294.800	296.350	USD	-2,325.00	
27SEP17	S 5 DEC17	294.850	296.350	USD	-1,875.00	
27SEP17	S 15 DEC17	293.650	296.350	USD	-10,125.00	
27SEP17	S 6 DEC17	293.700	296.350	USD	-3,975.00	
27SEP17	S 2 DEC17	292.300	296.350	USD	-2,025.00	
27SEP17	S 2 DEC17	292.200	296.350	USD	-2,075.00	
27SEP17	S 4 DEC17	292.250	296.350	USD	-4,100.00	
27SEP17	S 2 DEC17	292.050	296.350	USD	-2,150.00	
27SEP17	S 5 DEC17	292.400	296.350	USD	-4,937.50	
27SEP17	S 21 DEC17	292.450	296.350	USD	-20,475.00	
27SEP17	S 4 DEC17	292.500	296.350	USD	-3,850.00	
27SEP17	S 13 DEC17	292.550	296.350	USD	-12,350.00	
27SEP17	S 16 DEC17	292.600	296.350	USD	-15,000.00	
27SEP17	S 22 DEC17	292.650	296.350	USD	-20,350.00	
27SEP17	S 7 DEC17	292.800	296.350	USD	-6,212.50	
27SEP17	S 10 DEC17	292.750	296.350	USD	-9,000.00	
27SEP17	S 19 DEC17	292.700	296.350	USD	-17,337.50	
28SEP17	S 2 DEC17	293.350	296.350	USD	-1,500.00	
28SEP17	S 7 DEC17	293.200	296.350	USD	-5,512.50	
28SEP17	S 6 DEC17	293.250	296.350	USD	-4,650.00	
28SEP17	S 10 DEC17	293.300	296.350	USD	-7,625.00	
28SEP17	S 2 DEC17	293.550	296.350	USD	-1,400.00	
28SEP17	S 5 DEC17	293.600	296.350	USD	-3,437.50	
28SEP17	S 4 DEC17	293.750	296.350	USD	-2,600.00	
28SEP17	S 4 DEC17	293.950	296.350	USD	-2,400.00	
28SEP17	S 7 DEC17	293.900	296.350	USD	-4,287.50	
28SEP17	S 15 DEC17	294.050	296.350	USD	-8,625.00	
28SEP17	S 4 DEC17	294.000	296.350	USD	-2,350.00	
28SEP17	S 3 DEC17	294.100	296.350	USD	-1,687.50	
28SEP17	S 2 DEC17	295.600	296.350	USD	-375.00	
28SEP17	S 4 DEC17	295.550	296.350	USD	-800.00	
28SEP17	S 2 DEC17	295.650	296.350	USD	-350.00	
28SEP17	S 3 DEC17	295.700	296.350	USD	-487.50	
28SEP17	S 6 DEC17	296.000	296.350	USD	-525.00	
28SEP17	S 1 DEC17	296.100	296.350	USD	-62.50	
28SEP17	S 2 DEC17	296.050	296.350	USD	-150.00	
28SEP17	S 4 DEC17	296.150	296.350	USD	-200.00	
28SEP17	S 4 DEC17	296.200	296.350	USD	-150.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0127A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	CMX COPPER 25,000 CMX					
28SEP17	S 2 DEC17	296.500	296.350	USD	75.00	
28SEP17	S 9 DEC17	296.400	296.350	USD	112.50	
28SEP17	S 1 DEC17	296.600	296.350	USD	62.50	
28SEP17	S 10 DEC17	296.550	296.350	USD	500.00	
28SEP17	S 11 DEC17	296.700	296.350	USD	962.50	
28SEP17	S 2 DEC17	296.750	296.350	USD	200.00	
28SEP17	S 9 DEC17	296.800	296.350	USD	1,012.50	
28SEP17	S 2 DEC17	296.950	296.350	USD	300.00	
28SEP17	S 4 DEC17	296.900	296.350	USD	550.00	
28SEP17	S 4 DEC17	297.000	296.350	USD	650.00	
28SEP17	S 19 DEC17	297.050	296.350	USD	3,325.00	
28SEP17	S 2 DEC17	297.150	296.350	USD	400.00	
28SEP17	S 2 DEC17	297.300	296.350	USD	475.00	
28SEP17	S 2 DEC17	297.700	296.350	USD	675.00	
28SEP17	S 2 DEC17	297.800	296.350	USD	725.00	
28SEP17	S 6 DEC17	298.050	296.350	USD	2,550.00	
28SEP17	S 1 DEC17	298.000	296.350	USD	412.50	
28SEP17	S 2 DEC17	298.850	296.350	USD	1,250.00	
02OCT17	S 7 DEC17	295.200	296.350	USD	-2,012.50	
02OCT17	S 4 DEC17	295.250	296.350	USD	-1,100.00	
02OCT17	S 2 DEC17	295.300	296.350	USD	-525.00	
02OCT17	S 4 DEC17	295.350	296.350	USD	-1,000.00	
02OCT17	S 6 DEC17	295.400	296.350	USD	-1,425.00	
02OCT17	S 2 DEC17	295.500	296.350	USD	-425.00	
02OCT17	S 2 DEC17	295.050	296.350	USD	-650.00	
02OCT17	S 14 DEC17	294.850	296.350	USD	-5,250.00	
02OCT17	S 10 DEC17	294.800	296.350	USD	-3,875.00	
02OCT17	S 2 DEC17	294.150	296.350	USD	-1,100.00	
02OCT17	S 2 DEC17	294.200	296.350	USD	-1,075.00	
02OCT17	S 2 DEC17	295.550	296.350	USD	-400.00	
02OCT17	S 2 DEC17	295.600	296.350	USD	-375.00	
02OCT17	S 7 DEC17	295.450	296.350	USD	-1,575.00	
	NET -506 AVG SOLD 294.346				**-253,450.00**	**0.00**

OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	-38,546,800.00
CMX Copper	FUTURES	37,234,825.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options			Amount	Profit / Loss	**Total Amount**	**Total P&L**
COPR	- Futures	USD	-253,450.00	-253,450.00	**-253,450.00**	**-253,450.00**
Unrealised Profits & Losses - Forwards			Amount	Profit / Loss	**Total Amount**	**Total P&L**
COPL	- Futures	USD	-1,070,406.25	-1,070,406.25	**-1,070,406.25**	**-1,070,406.25**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0127A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		1,325,398.20	1,325,398.20
Variation Margins	- Futures	-253,450.00	-253,450.00
	- Options	0.00	0.00
TOTAL EQUITY		**1,071,948.20**	**1,071,948.20**
Initial Margins		-5,545,469.25	-5,545,469.25
Excess/(Shortage)		**-4,473,521.05**	**-4,473,521.05**
FOR INFORMATION ONLY			
Forward Unrealised P&L		-1,070,406.25	-1,070,406.25
Net Liquidating Value		**1,541.95**	**1,541.95**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0127B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
22SEP17	B 1 PD22DEC17	6388.00	6515.75	USD	3,193.75	
22SEP17	S 1 PD22DEC17	6386.50	6515.75	USD	-3,231.25	
22SEP17	S 1 PD22DEC17	6387.50	6515.75	USD	-3,206.25	
22SEP17	B 1 PD22DEC17	6388.50	6515.75	USD	3,181.25	
22SEP17	S 1 PD22DEC17	6427.50	6515.75	USD	-2,206.25	
22SEP17	S 1 PD22DEC17	6431.00	6515.75	USD	-2,118.75	
22SEP17	S 1 PD22DEC17	6429.50	6515.75	USD	-2,156.25	
22SEP17	S 3 PD22DEC17	6428.50	6515.75	USD	-6,543.75	
22SEP17	S 2 PD22DEC17	6432.00	6515.75	USD	-4,187.50	
22SEP17	S 2 PD22DEC17	6435.50	6515.75	USD	-4,012.50	
22SEP17	S 3 PD22DEC17	6434.50	6515.75	USD	-6,093.75	
22SEP17	S 2 PD22DEC17	6436.00	6515.75	USD	-3,987.50	
22SEP17	B 2 PD22DEC17	6451.50	6515.75	USD	3,212.50	
22SEP17	B 1 PD22DEC17	6447.00	6515.75	USD	1,718.75	
22SEP17	B 1 PD22DEC17	6446.00	6515.75	USD	1,743.75	
22SEP17	B 4 PD22DEC17	6454.00	6515.75	USD	6,175.00	
22SEP17	B 2 PD22DEC17	6444.50	6515.75	USD	3,562.50	
22SEP17	S 3 PD22DEC17	6451.50	6515.75	USD	-4,818.75	
22SEP17	B 1 PD22DEC17	6450.00	6515.75	USD	1,643.75	
22SEP17	B 3 PD22DEC17	6450.50	6515.75	USD	4,893.75	
22SEP17	B 3 PD22DEC17	6449.50	6515.75	USD	4,968.75	
22SEP17	B 6 PD22DEC17	6443.00	6515.75	USD	10,912.50	
22SEP17	B 10 PD22DEC17	6441.00	6515.75	USD	18,687.50	
22SEP17	S 1 PD22DEC17	6466.00	6515.75	USD	-1,243.75	
22SEP17	S 4 PD22DEC17	6463.00	6515.75	USD	-5,275.00	
22SEP17	S 5 PD22DEC17	6458.50	6515.75	USD	-7,156.25	
22SEP17	S 1 PD22DEC17	6454.50	6515.75	USD	-1,531.25	
22SEP17	S 4 PD22DEC17	6455.50	6515.75	USD	-6,025.00	
22SEP17	S 5 PD22DEC17	6456.50	6515.75	USD	-7,406.25	
22SEP17	B 1 PD22DEC17	6468.50	6515.75	USD	1,181.25	
22SEP17	B 1 PD22DEC17	6466.00	6515.75	USD	1,243.75	
22SEP17	B 1 PD22DEC17	6465.00	6515.75	USD	1,268.75	
22SEP17	B 2 PD22DEC17	6463.00	6515.75	USD	2,637.50	
22SEP17	B 2 PD22DEC17	6464.00	6515.75	USD	2,587.50	
22SEP17	B 2 PD22DEC17	6474.50	6515.75	USD	2,062.50	
22SEP17	B 2 PD22DEC17	6478.00	6515.75	USD	1,887.50	
22SEP17	B 1 PD22DEC17	6472.00	6515.75	USD	1,093.75	
22SEP17	B 7 PD22DEC17	6471.00	6515.75	USD	7,831.25	
22SEP17	B 1 PD22DEC17	6473.00	6515.75	USD	1,068.75	
28SEP17	S 15 PD22DEC17	6518.00	6515.75	USD	843.75	
	NET 0 AVG BGHT 6452.65 SOLD 6464.58				**16,400.00**	**0.00**
25SEP17	S 1 PD27DEC17	6459.50	6517.52	USD	-1,450.50	
25SEP17	S 1 PD27DEC17	6458.50	6517.52	USD	-1,475.50	
25SEP17	S 1 PD27DEC17	6459.00	6517.52	USD	-1,463.00	
25SEP17	S 2 PD27DEC17	6460.50	6517.52	USD	-2,851.00	
25SEP17	S 2 PD27DEC17	6465.50	6517.52	USD	-2,601.00	
25SEP17	S 5 PD27DEC17	6464.50	6517.52	USD	-6,627.50	
25SEP17	S 2 PD27DEC17	6465.00	6517.52	USD	-2,626.00	
25SEP17	S 7 PD27DEC17	6464.00	6517.52	USD	-9,366.00	
25SEP17	S 4 PD27DEC17	6463.00	6517.52	USD	-5,452.00	
25SEP17	S 8 PD27DEC17	6457.00	6517.52	USD	-12,104.00	
25SEP17	B 1 PD27DEC17	6451.50	6517.52	USD	1,650.50	
25SEP17	B 1 PD27DEC17	6443.50	6517.52	USD	1,850.50	
25SEP17	B 1 PD27DEC17	6441.50	6517.52	USD	1,900.50	
25SEP17	S 5 PD27DEC17	6452.50	6517.52	USD	-8,127.50	
25SEP17	S 5 PD27DEC17	6441.00	6517.52	USD	-9,565.00	
25SEP17	S 6 PD27DEC17	6453.50	6517.52	USD	-9,603.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0127B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT							
25SEP17	B	1	PD27DEC17	6453.00	6517.52	USD	1,613.00	
26SEP17	B	1	PD27DEC17	6513.50	6517.52	USD	100.50	
26SEP17	B	1	PD27DEC17	6517.00	6517.52	USD	13.00	
26SEP17	S	1	PD27DEC17	6511.50	6517.52	USD	-150.50	
26SEP17	B	1	PD27DEC17	6498.50	6517.52	USD	475.50	
26SEP17	B	4	PD27DEC17	6498.00	6517.52	USD	1,952.00	
26SEP17	B	1	PD27DEC17	6502.00	6517.52	USD	388.00	
26SEP17	B	1	PD27DEC17	6503.50	6517.52	USD	350.50	
26SEP17	B	1	PD27DEC17	6508.00	6517.52	USD	238.00	
26SEP17	B	1	PD27DEC17	6506.00	6517.52	USD	288.00	
26SEP17	B	1	PD27DEC17	6500.00	6517.52	USD	438.00	
26SEP17	B	4	PD27DEC17	6499.50	6517.52	USD	1,802.00	
27SEP17	B	2	PD27DEC17	6483.50	6517.52	USD	1,701.00	
27SEP17	B	2	PD27DEC17	6485.50	6517.52	USD	1,601.00	
27SEP17	B	1	PD27DEC17	6486.50	6517.52	USD	775.50	
27SEP17	B	1	PD27DEC17	6487.50	6517.52	USD	750.50	
27SEP17	B	1	PD27DEC17	6490.50	6517.52	USD	675.50	
27SEP17	B	1	PD27DEC17	6489.50	6517.52	USD	700.50	
27SEP17	B	7	PD27DEC17	6488.50	6517.52	USD	5,078.50	
28SEP17	B	15	PD27DEC17	6521.53	6517.52	USD	-1,503.75	
	NET	**0**	**AVG BGHT 6499.25**	**SOLD 6458.75**			**-50,623.75**	**0.00**
29SEP17	S	3	PD29DEC17	6536.50	6518.23	USD	1,370.25	
29SEP17	S	1	PD29DEC17	6538.00	6518.23	USD	494.25	
29SEP17	S	1	PD29DEC17	6533.50	6518.23	USD	381.75	
29SEP17	B	3	PD29DEC17	6536.50	6518.23	USD	-1,370.25	
29SEP17	B	1	PD29DEC17	6536.00	6518.23	USD	-444.25	
29SEP17	B	1	PD29DEC17	6535.50	6518.23	USD	-431.75	
29SEP17	S	1	PD29DEC17	6523.50	6518.23	USD	131.75	
29SEP17	B	1	PD29DEC17	6521.00	6518.23	USD	-69.25	
29SEP17	S	2	PD29DEC17	6501.50	6518.23	USD	-836.50	
29SEP17	S	2	PD29DEC17	6496.00	6518.23	USD	-1,111.50	
29SEP17	S	1	PD29DEC17	6495.00	6518.23	USD	-580.75	
03OCT17	B	5	PD29DEC17	6518.23	6518.23	USD	0.00	
	NET	**0**	**AVG BGHT 6526.65**	**SOLD 6517.68**			**-2,466.25**	**0.00**
02OCT17	B	1	PD02JAN18	6525.00	6519.65	USD	-133.75	
02OCT17	B	4	PD02JAN18	6520.00	6519.65	USD	-35.00	
02OCT17	B	2	PD02JAN18	6475.50	6519.65	USD	2,207.50	
02OCT17	B	3	PD02JAN18	6474.50	6519.65	USD	3,386.25	
02OCT17	S	1	PD02JAN18	6500.00	6519.65	USD	-491.25	
02OCT17	S	1	PD02JAN18	6494.00	6519.65	USD	-641.25	
02OCT17	S	2	PD02JAN18	6495.00	6519.65	USD	-1,232.50	
02OCT17	S	1	PD02JAN18	6496.50	6519.65	USD	-578.75	
03OCT17	S	5	PD02JAN18	6519.45	6519.65	USD	-25.00	
	NET	**0**	**AVG BGHT 6497.95**	**SOLD 6507.78**			**2,456.25**	**0.00**
03OCT17	S	1	PD03JAN18	6443.50	6520.00	USD	-1,912.50	
03OCT17	S	2	PD03JAN18	6452.50	6520.00	USD	-3,375.00	
03OCT17	S	2	PD03JAN18	6451.50	6520.00	USD	-3,425.00	
03OCT17	S	2	PD03JAN18	6469.00	6520.00	USD	-2,550.00	
03OCT17	S	3	PD03JAN18	6470.50	6520.00	USD	-3,712.50	
03OCT17	B	1	PD03JAN18	6494.50	6520.00	USD	637.50	
03OCT17	B	1	PD03JAN18	6498.00	6520.00	USD	550.00	
03OCT17	B	1	PD03JAN18	6499.00	6520.00	USD	525.00	
03OCT17	B	2	PD03JAN18	6505.00	6520.00	USD	750.00	
03OCT17	B	1	PD03JAN18	6509.00	6520.00	USD	275.00	
03OCT17	B	2	PD03JAN18	6508.00	6520.00	USD	600.00	
03OCT17	B	1	PD03JAN18	6511.00	6520.00	USD	225.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0127B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
03OCT17	S 1 PD03JAN18	6525.00	6520.00	USD	125.00	
03OCT17	S 2 PD03JAN18	6524.50	6520.00	USD	225.00	
03OCT17	S 2 PD03JAN18	6523.50	6520.00	USD	175.00	
03OCT17	B 1 PD03JAN18	6520.00	6520.00	USD	0.00	
03OCT17	B 2 PD03JAN18	6521.00	6520.00	USD	-50.00	
03OCT17	B 1 PD03JAN18	6517.50	6520.00	USD	62.50	
03OCT17	B 1 PD03JAN18	6519.00	6520.00	USD	25.00	
03OCT17	B 1 PD03JAN18	6511.00	6520.00	USD	225.00	
03OCT17	S 2 PD03JAN18	6519.00	6520.00	USD	-50.00	
03OCT17	S 1 PD03JAN18	6516.00	6520.00	USD	-100.00	
03OCT17	S 2 PD03JAN18	6513.00	6520.00	USD	-350.00	
	NET -5 AVG BGHT 6509.80 SOLD 6490.10				**-11,125.00**	**0.00**
	CMX COPPER 25,000 CMX					
03OCT17	B 4 DEC17	296.300	296.350	USD	50.00	
03OCT17	B 2 DEC17	296.100	296.350	USD	125.00	
03OCT17	B 4 DEC17	296.000	296.350	USD	350.00	
03OCT17	B 1 DEC17	296.050	296.350	USD	75.00	
	NET +11 AVG BGHT 296.132				**600.00**	**0.00**

OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	769,641.25
CMX Copper	FUTURES	-814,362.50

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options		Amount	Profit / Loss	**Total Amount**	**Total P&L**
COPR - Futures	USD	600.00	600.00	**600.00**	**600.00**
Unrealised Profits & Losses - Forwards		**Amount**	**Profit / Loss**	**Total Amount**	**Total P&L**
COPL - Futures	USD	-45,358.75	-45,358.75	**-45,358.75**	**-45,358.75**

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		43,538.55	43,538.55
Variation Margins	- Futures	600.00	600.00
	- Options	0.00	0.00
TOTAL EQUITY		**44,138.55**	**44,138.55**
Initial Margins		-142,442.75	-142,442.75
Excess/(Shortage)		**-98,304.20**	**-98,304.20**
FOR INFORMATION ONLY			
Forward Unrealised P&L		-45,358.75	-45,358.75
Net Liquidating Value		**-1,220.20**	**-1,220.20**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0127F

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
01SEP17	B 1 PD01DEC17	6809.00	6510.00	USD	-7,475.00	
01SEP17	B 1 PD01DEC17	6805.50	6510.00	USD	-7,387.50	
01SEP17	B 1 PD01DEC17	6801.00	6510.00	USD	-7,275.00	
01SEP17	B 2 PD01DEC17	6803.50	6510.00	USD	-14,675.00	
01SEP17	B 1 PD01DEC17	6787.00	6510.00	USD	-6,925.00	
01SEP17	B 1 PD01DEC17	6793.00	6510.00	USD	-7,075.00	
01SEP17	B 3 PD01DEC17	6802.00	6510.00	USD	-21,900.00	
01SEP17	B 1 PD01DEC17	6811.00	6510.00	USD	-7,525.00	
01SEP17	B 2 PD01DEC17	6805.00	6510.00	USD	-14,750.00	
01SEP17	B 1 PD01DEC17	6804.00	6510.00	USD	-7,350.00	
01SEP17	B 1 PD01DEC17	6803.00	6510.00	USD	-7,325.00	
01SEP17	B 1 PD01DEC17	6835.50	6510.00	USD	-8,137.50	
01SEP17	B 4 PD01DEC17	6836.50	6510.00	USD	-32,650.00	
01SEP17	B 1 PD01DEC17	6839.00	6510.00	USD	-8,225.00	
01SEP17	B 2 PD01DEC17	6836.00	6510.00	USD	-16,300.00	
01SEP17	B 2 PD01DEC17	6837.00	6510.00	USD	-16,350.00	
01SEP17	B 1 PD01DEC17	6839.50	6510.00	USD	-8,237.50	
	NET +26 AVG BGHT 6817.02				**-199,562.50**	**0.00**
04SEP17	B 1 PD04DEC17	6898.00	6511.29	USD	-9,667.75	
04SEP17	B 2 PD04DEC17	6915.00	6511.29	USD	-20,185.50	
04SEP17	B 2 PD04DEC17	6911.50	6511.29	USD	-20,010.50	
04SEP17	B 1 PD04DEC17	6922.00	6511.29	USD	-10,267.75	
04SEP17	B 1 PD04DEC17	6913.00	6511.29	USD	-10,042.75	
04SEP17	B 1 PD04DEC17	6908.50	6511.29	USD	-9,930.25	
04SEP17	B 1 PD04DEC17	6909.50	6511.29	USD	-9,955.25	
	NET +9 AVG BGHT 6911.56				**-90,059.75**	**0.00**
08SEP17	S 1 PD08DEC17	6804.50	6513.00	USD	7,287.50	
08SEP17	S 1 PD08DEC17	6797.00	6513.00	USD	7,100.00	
08SEP17	S 3 PD08DEC17	6811.00	6513.00	USD	22,350.00	
08SEP17	S 1 PD08DEC17	6743.50	6513.00	USD	5,762.50	
08SEP17	S 4 PD08DEC17	6745.50	6513.00	USD	23,250.00	
	NET -10 AVG SOLD 6776.00				**65,750.00**	**0.00**
11SEP17	B 2 PD11DEC17	6749.50	6514.19	USD	-11,765.50	
11SEP17	B 2 PD11DEC17	6746.00	6514.19	USD	-11,590.50	
11SEP17	B 1 PD11DEC17	6750.00	6514.19	USD	-5,895.25	
11SEP17	B 1 PD11DEC17	6748.00	6514.19	USD	-5,845.25	
	NET +6 AVG BGHT 6748.17				**-35,096.50**	**0.00**
12SEP17	B 1 PD12DEC17	6700.00	6514.58	USD	-4,635.50	
12SEP17	B 1 PD12DEC17	6697.00	6514.58	USD	-4,560.50	
12SEP17	B 1 PD12DEC17	6699.00	6514.58	USD	-4,610.50	
12SEP17	B 1 PD12DEC17	6688.00	6514.58	USD	-4,335.50	
	NET +4 AVG BGHT 6696.00				**-18,142.00**	**0.00**
13SEP17	S 1 PD13DEC17	6672.00	6514.98	USD	3,925.50	
13SEP17	S 2 PD13DEC17	6663.00	6514.98	USD	7,401.00	
13SEP17	S 1 PD13DEC17	6657.50	6514.98	USD	3,563.00	
13SEP17	S 1 PD13DEC17	6655.50	6514.98	USD	3,513.00	
13SEP17	B 1 PD13DEC17	6628.50	6514.98	USD	-2,838.00	
13SEP17	B 1 PD13DEC17	6607.00	6514.98	USD	-2,300.50	
13SEP17	B 2 PD13DEC17	6606.00	6514.98	USD	-4,551.00	
13SEP17	B 1 PD13DEC17	6610.50	6514.98	USD	-2,388.00	
	NET 0 AVG BGHT 6611.60 SOLD 6662.20				**6,325.00**	**0.00**
15SEP17	S 4 PD15DEC17	6490.50	6515.77	USD	-2,527.00	
15SEP17	S 1 PD15DEC17	6491.50	6515.77	USD	-606.75	
15SEP17	S 1 PD15DEC17	6455.00	6515.77	USD	-1,519.25	
	NET -6 AVG SOLD 6484.75				**-4,653.00**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0127F

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
19SEP17	S 3 PD19DEC17	6537.50	6517.35	USD	1,511.25	
19SEP17	S 1 PD19DEC17	6537.50	6517.35	USD	503.75	
	NET -4 AVG SOLD 6537.50				**2,015.00**	**0.00**
20SEP17	S 2 PD20DEC17	6574.00	6517.75	USD	2,812.50	
20SEP17	S 1 PD20DEC17	6560.50	6517.75	USD	1,068.75	
20SEP17	S 2 PD20DEC17	6561.50	6517.75	USD	2,187.50	
	NET -5 AVG SOLD 6566.30				**6,068.75**	**0.00**
26SEP17	S 2 PD27DEC17	6482.00	6517.52	USD	-1,776.00	
26SEP17	S 1 PD27DEC17	6476.50	6517.52	USD	-1,025.50	
26SEP17	S 1 PD27DEC17	6463.50	6517.52	USD	-1,350.50	
26SEP17	S 1 PD27DEC17	6464.50	6517.52	USD	-1,325.50	
	NET -5 AVG SOLD 6473.70				**-5,477.50**	**0.00**
28SEP17	B 5 PD28DEC17	6459.00	6517.88	USD	7,360.00	
28SEP17	B 1 PD28DEC17	6559.50	6517.88	USD	-1,040.50	
28SEP17	B 3 PD28DEC17	6563.00	6517.88	USD	-3,384.00	
28SEP17	B 1 PD28DEC17	6564.50	6517.88	USD	-1,165.50	
	NET +10 AVG BGHT 6510.80				**1,770.00**	**0.00**
29SEP17	B 1 PD29DEC17	6547.50	6518.23	USD	-731.75	
29SEP17	B 1 PD29DEC17	6546.50	6518.23	USD	-706.75	
29SEP17	B 1 PD29DEC17	6545.50	6518.23	USD	-681.75	
29SEP17	B 2 PD29DEC17	6544.50	6518.23	USD	-1,313.50	
	NET +5 AVG BGHT 6545.70				**-3,433.75**	**0.00**
02OCT17	S 1 PD02JAN18	6504.50	6519.65	USD	-378.75	
02OCT17	S 4 PD02JAN18	6504.00	6519.65	USD	-1,565.00	
02OCT17	S 1 PD02JAN18	6501.00	6519.65	USD	-466.25	
02OCT17	S 3 PD02JAN18	6500.50	6519.65	USD	-1,436.25	
02OCT17	S 1 PD02JAN18	6500.00	6519.65	USD	-491.25	
	NET -10 AVG SOLD 6502.30				**-4,337.50**	**0.00**
	CMX COPPER 25,000 CMX					
11SEP17	S 2 DEC17	307.250	296.350	USD	5,450.00	
12SEP17	S 2 DEC17	304.950	296.350	USD	4,300.00	
12SEP17	S 2 DEC17	304.800	296.350	USD	4,225.00	
12SEP17	S 2 DEC17	304.900	296.350	USD	4,275.00	
12SEP17	S 3 DEC17	304.400	296.350	USD	6,037.50	
28SEP17	S 2 DEC17	298.250	296.350	USD	950.00	
28SEP17	S 4 DEC17	298.400	296.350	USD	2,050.00	
28SEP17	S 2 DEC17	298.550	296.350	USD	1,100.00	
28SEP17	S 3 DEC17	298.500	296.350	USD	1,612.50	
29SEP17	S 2 DEC17	297.750	296.350	USD	700.00	
29SEP17	S 2 DEC17	297.700	296.350	USD	675.00	
29SEP17	S 7 DEC17	297.650	296.350	USD	2,275.00	
	NET -33 AVG SOLD 300.429				**33,650.00**	**0.00**
28SEP17	S 11 MAR18	295.450	298.250	USD	-7,700.00	
	NET -11 AVG SOLD 295.450				**-7,700.00**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0127F

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options		Amount	Profit / Loss	**Total Amount**	**Total P&L**
COPR - Futures	USD	25,950.00	25,950.00	**25,950.00**	**25,950.00**
Unrealised Profits & Losses - Forwards		**Amount**	**Profit / Loss**	**Total Amount**	**Total P&L**
COPL - Futures	USD	-278,833.75	-278,833.75	**-278,833.75**	**-278,833.75**

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		262,949.20	262,949.20
Variation Margins	- Futures	25,950.00	25,950.00
	- Options	0.00	0.00
TOTAL EQUITY		**288,899.20**	**288,899.20**
Initial Margins		-675,138.75	-675,138.75
Excess/(Shortage)		**-386,239.55**	**-386,239.55**
FOR INFORMATION ONLY			
Forward Unrealised P&L		-278,833.75	-278,833.75
Net Liquidating Value		**10,065.45**	**10,065.45**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED
SAP0127 ARBS
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPAB127**

3rd Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

GROUP FINANCIAL SUMMARY

		USD (1.00000)	NET USD (1.00000)
CASH BALANCE		1,631,885.95	1,631,885.95
Variation Margins	- Futures	-226,900.00	-226,900.00
	- Options	0.00	0.00
TOTAL EQUITY		**1,404,985.95**	**1,404,985.95**
Initial Margins		-6,167,711.75	-6,167,711.75
Excess/(Shortage)		**-4,762,725.80**	**-4,762,725.80**
FOR INFORMATION ONLY			
Forward Unrealised P&L		-1,394,598.75	-1,394,598.75
Net Liquidating Value		**10,387.20**	**10,387.20**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0128A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		1,500,000.00	1,500,000.00
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**1,500,000.00**	**1,500,000.00**
Initial Margins		0.00	0.00
Excess/(Shortage)		**1,500,000.00**	**1,500,000.00**
FOR INFORMATION ONLY			
Net Liquidating Value		**1,500,000.00**	**1,500,000.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED
SAP0128 ARBS
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPAB128**

3rd Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

GROUP FINANCIAL SUMMARY

		USD (1.00000)	NET USD (1.00000)
CASH BALANCE		1,500,000.00	1,500,000.00
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**1,500,000.00**	**1,500,000.00**
Initial Margins		0.00	0.00
Excess/(Shortage)		**1,500,000.00**	**1,500,000.00**
FOR INFORMATION ONLY			
Net Liquidating Value		**1,500,000.00**	**1,500,000.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LTD-FIRM A/C
Suite A, 105 Piccadilly
London
W1J 7NJ
UK
HEMANT PARIKH

SAP0001

3rd October 2017

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

ACCOUNT FINANCIAL SUMMARY

		EUR	GBP	JPY
		(1.17550)	(1.32460)	(112.76500)
CASH BALANCE		85,342.20	524,005.77	9,951
Variation Margins	- Futures	0.00	0.00	0
	- Options	0.00	0.00	0
TOTAL EQUITY		**85,342.20**	**524,005.77**	**9,951**
Initial Margins		0.00	0.00	0
Excess/(Shortage)		**85,342.20**	**524,005.77**	**9,951**
FOR INFORMATION ONLY				
Net Liquidating Value		**85,342.20**	**524,005.77**	**9,951**

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		4,014,482.91	4,808,988.96
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**4,014,482.91**	**4,808,988.96**
Initial Margins		0.00	0.00
Excess/(Shortage)		**4,014,482.91**	**4,808,988.96**
FOR INFORMATION ONLY			
Net Liquidating Value		**4,014,482.91**	**4,808,988.96**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LTD-FIRM A/C
Suite A, 105 Piccadilly
London
W1J 7NJ
UK
HEMANT PARIKH

SAP0001A

3rd October 2017

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	GBP/USD WEEKLY EUROPEAN STYLE PREMIUM OPTIONS - WK4					
25SEP17	S 15 OCT17 1.31500 Put	0.00260	0.00570	USD	-5,343.75	-2,906.25
	NET -15 AVG SOLD 0.00260				**-5,343.75**	**-2,906.25**
	CME STERLING 62,500 CME					
13SEP17	B 5 DEC17	1.32670	1.32740	USD	218.75	
25SEP17	B 5 DEC17	1.35100	1.32740	USD	-7,375.00	
25SEP17	B 1 DEC17	1.34800	1.32740	USD	-1,287.50	
26SEP17	B 5 DEC17	1.34490	1.32740	USD	-5,468.75	
27SEP17	B 5 DEC17	1.34270	1.32740	USD	-4,781.25	
02OCT17	B 5 DEC17	1.33440	1.32740	USD	-2,187.50	
03OCT17	B 5 DEC17	1.32680	1.32740	USD	187.50	
	NET +31 AVG BGHT 1.33808				**-20,693.75**	**0.00**

OPEN POSITION VALUE

		USD
GBP/USD Weekly European Style	OPTIONS	2,437.50
CME Sterling	FUTURES	-2,592,531.25

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options		Amount	Profit / Loss	**Total Amount**	**Total P&L**
GBP - Futures	USD	-20,693.75	-20,693.75	**-20,693.75**	**-20,693.75**
Net Premium Options Market Value					
4BP - Options	USD	-5,343.75	-2,906.25	**-5,343.75**	**-2,906.25**

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		98,871.23	98,871.23
Variation Margins	- Futures	-20,693.75	-20,693.75
	- Options	0.00	0.00
TOTAL EQUITY		**78,177.48**	**78,177.48**
Initial Margins		-81,780.75	-81,780.75
Excess/(Shortage)		**-3,603.27**	**-3,603.27**
FOR INFORMATION ONLY			
Net Premium Option Market Value		-5,343.75	-5,343.75
Net Liquidating Value		**72,833.73**	**72,833.73**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LTD-FIRM A/C
Suite A, 105 Piccadilly
London
W1J 7NJ
UK
HEMANT PARIKH

SAP0001B

3rd October 2017

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
22AUG17	S 10 PD22NOV17	2080.85	2120.15	USD	-9,825.00	
22AUG17	S 10 PD22NOV17	2082.35	2120.15	USD	-9,450.00	
22AUG17	B 10 PD22NOV17	2084.30	2120.15	USD	8,962.50	
22AUG17	B 10 PD22NOV17	2085.30	2120.15	USD	8,712.50	
	NET 0 AVG BGHT 2084.80 SOLD 2081.60				**-1,600.00**	**0.00**

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	-1,600.00

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards		Amount	Profit / Loss	**Total Amount**	**Total P&L**
ALUL - Futures	USD	-1,600.00	-1,600.00	**-1,600.00**	**-1,600.00**

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		1,983.81	1,983.81
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**1,983.81**	**1,983.81**
Initial Margins		-1,600.00	-1,600.00
Excess/(Shortage)		**383.81**	**383.81**
FOR INFORMATION ONLY			
Forward Unrealised P&L		-1,600.00	-1,600.00
Net Liquidating Value		**383.81**	**383.81**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LTD-FIRM A/C
14 WATERLOO PLACE
5TH FLOOR
LONDON SW1Y 4AR

HEMANT PARIKH

SAPCASH

3rd October 2017

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		5,277,406.90	5,277,406.90
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**5,277,406.90**	**5,277,406.90**
Initial Margins		0.00	0.00
Excess/(Shortage)		**5,277,406.90**	**5,277,406.90**
FOR INFORMATION ONLY			
Net Liquidating Value		**5,277,406.90**	**5,277,406.90**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ
UK

GROUP NO: **SAPG0001**

3rd Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

GROUP FINANCIAL SUMMARY

		EUR (1.17550)	GBP (1.32460)	JPY (112.76500)
CASH BALANCE		85,342.20	524,005.77	9,951
Variation Margins	- Futures	0.00	0.00	0
	- Options	0.00	0.00	0
TOTAL EQUITY		**85,342.20**	**524,005.77**	**9,951**
Initial Margins		0.00	0.00	0
Excess/(Shortage)		**85,342.20**	**524,005.77**	**9,951**
FOR INFORMATION ONLY				
Net Liquidating Value		**85,342.20**	**524,005.77**	**9,951**

		USD (1.00000)	NET USD (1.00000)
CASH BALANCE		9,392,744.85	10,187,250.90
Variation Margins	- Futures	-20,693.75	-20,693.75
	- Options	0.00	0.00
TOTAL EQUITY		**9,372,051.10**	**10,166,557.15**
Initial Margins		-83,380.75	-83,380.75
Excess/(Shortage)		**9,288,670.35**	**10,083,176.40**
FOR INFORMATION ONLY			
Forward Unrealised P&L		-1,600.00	-1,600.00
Net Premium Option Market Value		-5,343.75	-5,343.75
Net Liquidating Value		**9,365,107.35**	**10,159,613.40**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0009

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		EUR	USD	NETT USD
		(1.17550)	(1.00000)	(1.00000)
CASH BALANCE		-110.00	480.23	350.93
Variation Margins	- Futures	0.00	0.00	0.00
	- Options	0.00	0.00	0.00
TOTAL EQUITY		**-110.00**	**480.23**	**350.93**
Initial Margins		0.00	0.00	0.00
Excess/(Shortage)		**-110.00**	**480.23**	**350.93**
FOR INFORMATION ONLY				
Net Liquidating Value		**-110.00**	**480.23**	**350.93**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED
SAP0009
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPG0009**

3rd Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

GROUP FINANCIAL SUMMARY

		EUR (1.17550)	USD (1.00000)	NET USD (1.00000)
CASH BALANCE		-110.00	480.23	350.93
Variation Margins	- Futures	0.00	0.00	0.00
	- Options	0.00	0.00	0.00
TOTAL EQUITY		**-110.00**	**480.23**	**350.93**
Initial Margins		0.00	0.00	0.00
Excess/(Shortage)		**-110.00**	**480.23**	**350.93**
FOR INFORMATION ONLY				
Net Liquidating Value		**-110.00**	**480.23**	**350.93**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0011

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		EUR	USD	NETT USD
		(1.17550)	(1.00000)	(1.00000)
CASH BALANCE		-2,600.09	1,254,913.11	1,251,856.70
Variation Margins	- Futures	0.00	0.00	0.00
	- Options	0.00	0.00	0.00
TOTAL EQUITY		**-2,600.09**	**1,254,913.11**	**1,251,856.70**
Initial Margins		0.00	0.00	0.00
Excess/(Shortage)		**-2,600.09**	**1,254,913.11**	**1,251,856.70**
FOR INFORMATION ONLY				
Net Liquidating Value		**-2,600.09**	**1,254,913.11**	**1,251,856.70**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0011A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	NYM LIGHT SWEET CRUDE OIL 1,000 NYM					
25SEP17	S 10 NOV17 62.50 Call	0.01	0.01	USD	-100.00	0.00
25SEP17	S 10 NOV17 62.50 Call	0.02	0.01	USD	-100.00	100.00
	NET -20 AVG SOLD 0.02				**-200.00**	**100.00**
25SEP17	S 20 NOV17 63.00 Call	0.01	0.01	USD	-200.00	0.00
	NET -20 AVG SOLD 0.01				**-200.00**	**0.00**
25SEP17	S 20 NOV17 63.50 Call	0.01	0.01	USD	-200.00	0.00
	NET -20 AVG SOLD 0.01				**-200.00**	**0.00**

OPEN POSITION VALUE

		USD
NYM Light Sweet Crude Oil	OPTIONS	700.00

MARKET REVALUATION BREAKDOWN

Net Premium Options Market Value		Amount	Profit / Loss	**Total Amount**	**Total P&L**
COIL - Options	USD	-600.00	100.00	**-600.00**	**100.00**

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-1,066.00	-1,066.00
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**-1,066.00**	**-1,066.00**
Initial Margins		-2,400.00	-2,400.00
Excess/(Shortage)		**-3,466.00**	**-3,466.00**
FOR INFORMATION ONLY			
Net Premium Option Market Value		-600.00	-600.00
Net Liquidating Value		**-1,666.00**	**-1,666.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0011B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	NYM LIGHT SWEET CRUDE OIL 1,000 NYM					
25SEP17	S 25 NOV17 63.00 Call	0.01	0.01	USD	-250.00	0.00
	NET -25 AVG SOLD 0.01				**-250.00**	**0.00**
20SEP17	S 25 NOV17 63.50 Call	0.01	0.01	USD	-250.00	0.00
	NET -25 AVG SOLD 0.01				**-250.00**	**0.00**
20SEP17	S 50 NOV17 64.00 Call	0.01	0.01	USD	-500.00	0.00
	NET -50 AVG SOLD 0.01				**-500.00**	**0.00**
21SEP17	S 19 NOV17 64.50 Call	0.01	0.01	USD	-190.00	0.00
25SEP17	S 25 NOV17 64.50 Call	0.01	0.01	USD	-250.00	0.00
	NET -44 AVG SOLD 0.01				**-440.00**	**0.00**
25SEP17	S 25 NOV17 65.00 Call	0.01	0.01	USD	-250.00	0.00
26SEP17	S 25 NOV17 65.00 Call	0.01	0.01	USD	-250.00	0.00
	NET -50 AVG SOLD 0.01				**-500.00**	**0.00**
08SEP17	S 50 NOV17 35.00 Put	0.01	0.01	USD	-500.00	0.00
11SEP17	S 29 NOV17 35.00 Put	0.01	0.01	USD	-290.00	0.00
	NET -79 AVG SOLD 0.01				**-790.00**	**0.00**

OPEN POSITION VALUE

		USD
NYM Light Sweet Crude Oil	OPTIONS	2,730.00

MARKET REVALUATION BREAKDOWN

Net Premium Options Market Value		Amount	Profit / Loss	**Total Amount**	**Total P&L**
COIL - Options	USD	-2,730.00	0.00	**-2,730.00**	**0.00**

ACCOUNT FINANCIAL SUMMARY

	EUR (1.17550)	USD (1.00000)	NETT USD (1.00000)
CASH BALANCE	-64.89	12,298.00	12,221.72
Variation Margins - Futures	0.00	0.00	0.00
- Options	0.00	0.00	0.00
TOTAL EQUITY	**-64.89**	**12,298.00**	**12,221.72**
Initial Margins	0.00	-10,920.00	-10,920.00
Excess/(Shortage)	**-64.89**	**1,378.00**	**1,301.72**
FOR INFORMATION ONLY			
Net Premium Option Market Value	0.00	-2,730.00	-2,730.00
Net Liquidating Value	**-64.89**	**9,568.00**	**9,491.72**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0011C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-1,640.00	-1,640.00
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**-1,640.00**	**-1,640.00**
Initial Margins		0.00	0.00
Excess/(Shortage)		**-1,640.00**	**-1,640.00**
FOR INFORMATION ONLY			
Net Liquidating Value		**-1,640.00**	**-1,640.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0011I

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
05JUL17	S 1 PD05OCT17	5841.00	6468.75	USD	-15,693.75	
05JUL17	S 2 PD05OCT17	5840.00	6468.75	USD	-31,437.50	
05JUL17	S 2 PD05OCT17	5840.50	6468.75	USD	-31,412.50	
20JUL17	B 5 PD05OCT17	5955.22	6468.75	USD	64,191.25	
	NET 0 AVG BGHT 5955.22 SOLD 5840.40				**-14,352.50**	**0.00**
10JUL17	S 1 PD10OCT17	5809.00	6475.10	USD	-16,652.50	
10JUL17	S 1 PD10OCT17	5806.00	6475.10	USD	-16,727.50	
10JUL17	S 1 PD10OCT17	5803.00	6475.10	USD	-16,802.50	
10JUL17	S 1 PD10OCT17	5807.00	6475.10	USD	-16,702.50	
10JUL17	S 1 PD10OCT17	5813.00	6475.10	USD	-16,552.50	
20JUL17	B 5 PD10OCT17	5957.37	6475.10	USD	64,716.25	
	NET 0 AVG BGHT 5957.37 SOLD 5807.60				**-18,721.25**	**0.00**
12JUL17	B 1 PD12OCT17	5926.00	6477.63	USD	13,790.75	
12JUL17	B 1 PD12OCT17	5918.50	6477.63	USD	13,978.25	
12JUL17	B 1 PD12OCT17	5914.00	6477.63	USD	14,090.75	
12JUL17	B 2 PD12OCT17	5919.50	6477.63	USD	27,906.50	
02OCT17	S 5 PD12OCT17	6455.92	6477.63	USD	-2,713.75	
	NET 0 AVG BGHT 5919.50 SOLD 6455.92				**67,052.50**	**0.00**
17JUL17	B 1 PD17OCT17	6017.50	6483.98	USD	11,662.00	
17JUL17	B 2 PD17OCT17	6018.50	6483.98	USD	23,274.00	
17JUL17	B 2 PD17OCT17	6019.50	6483.98	USD	23,224.00	
02OCT17	S 5 PD17OCT17	6460.75	6483.98	USD	-2,903.75	
	NET 0 AVG BGHT 6018.70 SOLD 6460.75				**55,256.25**	**0.00**
21JUL17	B 1 PD20OCT17	6036.50	6486.75	USD	11,256.25	
21JUL17	B 1 PD20OCT17	6034.50	6486.75	USD	11,306.25	
21JUL17	B 2 PD20OCT17	6035.00	6486.75	USD	22,587.50	
21JUL17	B 1 PD20OCT17	6037.00	6486.75	USD	11,243.75	
02OCT17	S 5 PD20OCT17	6462.25	6486.75	USD	-3,062.50	
	NET 0 AVG BGHT 6035.60 SOLD 6462.25				**53,331.25**	**0.00**
25JUL17	B 1 PD25OCT17	6170.50	6490.29	USD	7,994.75	
25JUL17	B 1 PD25OCT17	6188.00	6490.29	USD	7,557.25	
25JUL17	B 1 PD25OCT17	6192.00	6490.29	USD	7,457.25	
25JUL17	B 1 PD25OCT17	6192.50	6490.29	USD	7,444.75	
25JUL17	B 1 PD25OCT17	6193.00	6490.29	USD	7,432.25	
02OCT17	S 5 PD25OCT17	6465.79	6490.29	USD	-3,062.50	
	NET 0 AVG BGHT 6187.20 SOLD 6465.79				**34,823.75**	**0.00**
26JUL17	S 4 PD26OCT17	6355.00	6490.82	USD	-13,582.00	
26JUL17	S 1 PD26OCT17	6378.00	6490.82	USD	-2,820.50	
26JUL17	B 1 PD26OCT17	6278.00	6490.82	USD	5,320.50	
26JUL17	B 1 PD26OCT17	6280.50	6490.82	USD	5,258.00	
26JUL17	B 2 PD26OCT17	6282.50	6490.82	USD	10,416.00	
26JUL17	B 1 PD26OCT17	6283.00	6490.82	USD	5,195.50	
	NET 0 AVG BGHT 6281.30 SOLD 6359.60				**9,787.50**	**0.00**
31JUL17	S 1 PD31OCT17	6390.00	6493.50	USD	-2,587.50	
31JUL17	B 1 PD31OCT17	6375.00	6493.50	USD	2,962.50	
	NET 0 AVG BGHT 6375.00 SOLD 6390.00				**375.00**	**0.00**
02AUG17	S 1 PD02NOV17	6329.00	6494.69	USD	-4,142.25	
02AUG17	S 2 PD02NOV17	6331.00	6494.69	USD	-8,184.50	
02AUG17	S 1 PD02NOV17	6329.50	6494.69	USD	-4,129.75	
02AUG17	S 1 PD02NOV17	6332.00	6494.69	USD	-4,067.25	
10AUG17	B 5 PD02NOV17	6419.91	6494.69	USD	9,347.50	
	NET 0 AVG BGHT 6419.91 SOLD 6330.50				**-11,176.25**	**0.00**
08AUG17	B 1 PD08NOV17	6456.00	6498.25	USD	1,056.25	
08AUG17	B 1 PD08NOV17	6466.00	6498.25	USD	806.25	
08AUG17	B 1 PD08NOV17	6474.00	6498.25	USD	606.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0011I

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT								
08AUG17	B	1	PD08NOV17		6482.50	6498.25	USD	393.75	
08AUG17	B	1	PD08NOV17		6484.00	6498.25	USD	356.25	
02OCT17	S	5	PD08NOV17		6473.00	6498.25	USD	-3,156.25	
	NET	**0**	**AVG BGHT 6472.50**	**SOLD 6473.00**				**62.50**	**0.00**
14AUG17	S	2	PD14NOV17		6413.50	6501.04	USD	-4,377.00	
14AUG17	S	2	PD14NOV17		6415.00	6501.04	USD	-4,302.00	
14AUG17	S	1	PD14NOV17		6417.00	6501.04	USD	-2,101.00	
02OCT17	B	5	PD14NOV17		6475.34	6501.04	USD	3,212.50	
	NET	**0**	**AVG BGHT 6475.34**	**SOLD 6414.80**				**-7,567.50**	**0.00**
16AUG17	S	1	PD16NOV17		6419.00	6501.00	USD	-2,050.00	
16AUG17	B	1	PD16NOV17		6523.00	6501.00	USD	-550.00	
16AUG17	B	1	PD16NOV17		6525.00	6501.00	USD	-600.00	
16AUG17	B	1	PD16NOV17		6521.00	6501.00	USD	-500.00	
16AUG17	B	1	PD16NOV17		6524.00	6501.00	USD	-575.00	
16AUG17	B	1	PD16NOV17		6536.00	6501.00	USD	-875.00	
16AUG17	B	1	PD16NOV17		6522.00	6501.00	USD	-525.00	
02OCT17	S	5	PD16NOV17		6475.00	6501.00	USD	-3,250.00	
	NET	**0**	**AVG BGHT 6525.17**	**SOLD 6465.67**				**-8,925.00**	**0.00**
18AUG17	S	2	PD17NOV17		6504.00	6501.60	USD	120.00	
18AUG17	S	1	PD17NOV17		6509.00	6501.60	USD	185.00	
02OCT17	B	3	PD17NOV17		6475.75	6501.60	USD	1,938.75	
	NET	**0**	**AVG BGHT 6475.75**	**SOLD 6505.67**				**2,243.75**	**0.00**
29AUG17	S	2	PD29NOV17		6766.00	6508.80	USD	12,860.00	
29AUG17	S	1	PD29NOV17		6766.50	6508.80	USD	6,442.50	
29AUG17	S	2	PD29NOV17		6769.50	6508.80	USD	13,035.00	
02OCT17	B	5	PD29NOV17		6482.35	6508.80	USD	3,306.25	
	NET	**0**	**AVG BGHT 6482.35**	**SOLD 6767.50**				**35,643.75**	**0.00**
30AUG17	S	1	PD30NOV17		6776.00	6509.40	USD	6,665.00	
30AUG17	S	1	PD30NOV17		6782.00	6509.40	USD	6,815.00	
02OCT17	B	2	PD30NOV17		6482.90	6509.40	USD	1,325.00	
	NET	**0**	**AVG BGHT 6482.90**	**SOLD 6779.00**				**14,805.00**	**0.00**
08SEP17	S	1	PD08DEC17		6835.00	6513.00	USD	8,050.00	
08SEP17	S	1	PD08DEC17		6836.00	6513.00	USD	8,075.00	
08SEP17	S	1	PD08DEC17		6837.00	6513.00	USD	8,100.00	
08SEP17	S	1	PD08DEC17		6838.50	6513.00	USD	8,137.50	
08SEP17	S	1	PD08DEC17		6839.00	6513.00	USD	8,150.00	
02OCT17	B	5	PD08DEC17		6485.45	6513.00	USD	3,443.75	
	NET	**0**	**AVG BGHT 6485.45**	**SOLD 6837.10**				**43,956.25**	**0.00**
15SEP17	S	1	PD15DEC17		6496.00	6515.77	USD	-494.25	
15SEP17	S	1	PD15DEC17		6500.50	6515.77	USD	-381.75	
15SEP17	S	1	PD15DEC17		6492.00	6515.77	USD	-594.25	
15SEP17	S	1	PD15DEC17		6502.00	6515.77	USD	-344.25	
15SEP17	S	1	PD15DEC17		6519.00	6515.77	USD	80.75	
15SEP17	S	1	PD15DEC17		6508.00	6515.77	USD	-194.25	
15SEP17	S	1	PD15DEC17		6522.00	6515.77	USD	155.75	
15SEP17	S	1	PD15DEC17		6515.00	6515.77	USD	-19.25	
15SEP17	S	1	PD15DEC17		6516.00	6515.77	USD	5.75	
15SEP17	S	1	PD15DEC17		6516.50	6515.77	USD	18.25	
02OCT17	B	10	PD15DEC17		6488.37	6515.77	USD	6,850.00	
	NET	**0**	**AVG BGHT 6488.37**	**SOLD 6508.70**				**5,082.50**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0011I

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	261,677.50

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards		Amount	Profit / Loss	**Total Amount**	**Total P&L**
COPL - Futures	USD	261,677.50	261,677.50	**261,677.50**	**261,677.50**

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-253,212.95	-253,212.95
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**-253,212.95**	**-253,212.95**
Initial Margins		0.00	0.00
Excess/(Shortage)		**-253,212.95**	**-253,212.95**
FOR INFORMATION ONLY			
Forward Unrealised P&L		261,677.50	261,677.50
Net Liquidating Value		**8,464.55**	**8,464.55**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0011J

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	EURO-BUND 1,000 ERX					
27SEP17	S 1 DEC17	160.980	161.120	EUR	-140.00	
27SEP17	S 2 DEC17	160.940	161.120	EUR	-360.00	
27SEP17	S 1 DEC17	160.740	161.120	EUR	-380.00	
27SEP17	S 1 DEC17	160.880	161.120	EUR	-240.00	
27SEP17	S 1 DEC17	160.830	161.120	EUR	-290.00	
28SEP17	S 2 DEC17	160.440	161.120	EUR	-1,360.00	
29SEP17	S 1 DEC17	161.280	161.120	EUR	160.00	
29SEP17	S 2 DEC17	161.220	161.120	EUR	200.00	
03OCT17	S 2 DEC17	161.080	161.120	EUR	-80.00	
	NET -13 AVG SOLD 160.928				**-2,490.00**	**0.00**
	CBT 30 YEAR US TREASURY BONDS 1,000 CBT					
27SEP17	B 1 DEC17	153.2200	152.2000	USD	-1,062.50	
27SEP17	B 2 DEC17	153.1900	152.2000	USD	-1,937.50	
27SEP17	B 1 DEC17	153.0400	152.2000	USD	-500.00	
27SEP17	B 1 DEC17	153.0300	152.2000	USD	-468.75	
27SEP17	B 1 DEC17	152.3000	152.2000	USD	-312.50	
28SEP17	B 2 DEC17	152.0600	152.2000	USD	875.00	
28SEP17	B 3 DEC17	152.1100	152.2000	USD	843.75	
03OCT17	B 2 DEC17	152.1500	152.2000	USD	312.50	
	NET +13 AVG BGHT 152.2554				**-2,250.00**	**0.00**
	CBT 10 YEAR TREASURY NOTES 1,000 CBT					
29SEP17	S 1 DEC17	125.1550	125.1050	USD	156.25	
	NET -1 AVG SOLD 125.1550				**156.25**	**0.00**

OPEN POSITION VALUE

		EUR	USD
Euro-Bund	FUTURES	2,092,070.00	
CBT 30 Year Us Treasury Bonds	FUTURES		-1,986,375.00
CBT 10 Year Treasury Notes	FUTURES		125,484.38

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options			Amount	Profit / Loss	**Total Amount**	**Total P&L**
GBNE	- Futures	EUR	-2,490.00	-2,490.00	**-2,490.00**	**-2,490.00**
TBON	- Futures	USD	-2,250.00	-2,250.00		
TNOT	- Futures	USD	156.25	156.25	**-2,093.75**	**-2,093.75**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0011J

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		EUR	USD	NETT USD
		(1.17550)	(1.00000)	(1.00000)
CASH BALANCE		15,283.86	133,805.42	151,771.60
Variation Margins	- Futures	-2,490.00	-2,093.75	-5,020.74
	- Options	0.00	0.00	0.00
TOTAL EQUITY		**12,793.86**	**131,711.67**	**146,750.86**
Initial Margins		-33,221.31	-38,138.00	-77,189.65
Excess/(Shortage)		**-20,427.45**	**93,573.67**	**69,561.21**
FOR INFORMATION ONLY				
Net Liquidating Value		**12,793.86**	**131,711.67**	**146,750.85**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED
SAP0011
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPG0011**

3rd Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

GROUP FINANCIAL SUMMARY

		EUR (1.17550)	USD (1.00000)	NET USD (1.00000)
CASH BALANCE		12,618.88	1,145,097.58	1,159,931.07
Variation Margins	- Futures	-2,490.00	-2,093.75	-5,020.74
	- Options	0.00	0.00	0.00
TOTAL EQUITY		**10,128.88**	**1,143,003.83**	**1,154,910.33**
Initial Margins		-33,221.31	-51,458.00	-90,509.65
Excess/(Shortage)		**-23,092.43**	**1,091,545.83**	**1,064,400.68**
FOR INFORMATION ONLY				
Forward Unrealised P&L		0.00	261,677.50	261,677.50
Net Premium Option Market Value		0.00	-3,330.00	-3,330.00
Net Liquidating Value		**10,128.88**	**1,401,351.33**	**1,413,257.83**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0015

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		145.57	145.57
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**145.57**	**145.57**
Initial Margins		0.00	0.00
Excess/(Shortage)		**145.57**	**145.57**
FOR INFORMATION ONLY			
Net Liquidating Value		**145.57**	**145.57**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED
SAP0015
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPG0015**

3rd Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

GROUP FINANCIAL SUMMARY

		USD (1.00000)	NET USD (1.00000)
CASH BALANCE		145.57	145.57
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**145.57**	**145.57**
Initial Margins		0.00	0.00
Excess/(Shortage)		**145.57**	**145.57**
FOR INFORMATION ONLY			
Net Liquidating Value		**145.57**	**145.57**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0023

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-73.57	-73.57
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**-73.57**	**-73.57**
Initial Margins		0.00	0.00
Excess/(Shortage)		**-73.57**	**-73.57**
FOR INFORMATION ONLY			
Net Liquidating Value		**-73.57**	**-73.57**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0023A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	ODAX OPTION 5 ERX					
15SEP17	S 25 OCT17 10000.00 Put	3.10	0.30	EUR	-37.50	350.00
	NET -25 AVG SOLD 3.10				**-37.50**	**350.00**
	DOW JONES EURO STOXX 50 10 ERX					
15SEP17	S 50 OCT17 2850.00 Put	1.20	0.10	EUR	-50.00	550.00
	NET -50 AVG SOLD 1.20				**-50.00**	**550.00**
	CME E-MINI S&P 500 EOM OPTIONS 50 CME					
19SEP17	B 1 OCT17 2350.00 Put	5.50	1.85	USD	92.50	-182.50
	NET +1 AVG BGHT 5.50				**92.50**	**-182.50**
	VOLATILITY INDEX (VIX) FUTURES 1,000 CBO					
04AUG17	B 1 DEC17	14.1500	13.2750	USD	-875.00	
	NET +1 AVG BGHT 14.1500				**-875.00**	**0.00**

OPEN POSITION VALUE

		EUR	USD
ODAX OPTION	OPTIONS	387.50	
Dow Jones EURO Stoxx 50	OPTIONS	600.00	
CME E-mini S&P 500 EOM Options	OPTIONS		-275.00
Volatility Index (VIX) Futures	FUTURES		-14,150.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options			Amount	Profit / Loss	**Total Amount**	**Total P&L**
VIX	- Futures	USD	-875.00	-875.00	**-875.00**	**-875.00**
Net Premium Options Market Value						
DAXO	- Options	EUR	-37.50	350.00		
EDOX	- Options	EUR	-50.00	550.00	**-87.50**	**900.00**
MSPE	- Options	USD	92.50	-182.50	**92.50**	**-182.50**

ACCOUNT FINANCIAL SUMMARY

		EUR	USD	NETT USD
		(1.17550)	(1.00000)	(1.00000)
CASH BALANCE		7,361.57	49,311.08	57,964.61
Variation Margins	- Futures	0.00	-875.00	-875.00
	- Options	0.00	0.00	0.00
TOTAL EQUITY		**7,361.57**	**48,436.08**	**57,089.61**
Initial Margins		-249.07	-3,650.00	-3,942.78
Excess/(Shortage)		**7,112.50**	**44,786.08**	**53,146.83**
FOR INFORMATION ONLY				
Net Premium Option Market Value		-87.50	92.50	-10.36
Net Liquidating Value		**7,274.07**	**48,528.58**	**57,079.25**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0023C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	ODAX OPTION 5 ERX					
04SEP17	B 2 DEC17 13000.00 Call	46.10	201.40	EUR	2,014.00	1,553.00
05SEP17	B 2 DEC17 13000.00 Call	58.50	201.40	EUR	2,014.00	1,429.00
	NET +4 AVG BGHT 52.30				**4,028.00**	**2,982.00**
12SEP17	B 2 DEC17 13200.00 Call	67.00	114.50	EUR	1,145.00	475.00
	NET +2 AVG BGHT 67.00				**1,145.00**	**475.00**
04SEP17	S 2 MAR18 13000.00 Call	149.10	393.70	EUR	-3,937.00	-2,446.00
05SEP17	S 2 MAR18 13000.00 Call	175.50	393.70	EUR	-3,937.00	-2,182.00
	NET -4 AVG SOLD 162.30				**-7,874.00**	**-4,628.00**
12SEP17	S 2 MAR18 13200.00 Call	209.50	293.20	EUR	-2,932.00	-837.00
28SEP17	S 2 MAR18 13200.00 Call	224.50	293.20	EUR	-2,932.00	-687.00
	NET -4 AVG SOLD 217.00				**-5,864.00**	**-1,524.00**
07SEP17	S 2 MAR18 13400.00 Call	100.80	209.50	EUR	-2,095.00	-1,087.00
11SEP17	S 2 MAR18 13400.00 Call	124.10	209.50	EUR	-2,095.00	-854.00
21SEP17	S 1 MAR18 13400.00 Call	137.70	209.50	EUR	-1,047.50	-359.00
	NET -5 AVG SOLD 117.50				**-5,237.50**	**-2,300.00**
07SEP17	S 2 MAR18 13600.00 Call	75.50	143.20	EUR	-1,432.00	-677.00
11SEP17	S 2 MAR18 13600.00 Call	86.50	143.20	EUR	-1,432.00	-567.00
21SEP17	S 1 MAR18 13600.00 Call	90.10	143.20	EUR	-716.00	-265.50
	NET -5 AVG SOLD 82.82				**-3,580.00**	**-1,509.50**
28SEP17	S 2 MAR18 13800.00 Call	65.50	93.10	EUR	-931.00	-276.00
29SEP17	S 2 MAR18 13800.00 Call	76.50	93.10	EUR	-931.00	-166.00
	NET -4 AVG SOLD 71.00				**-1,862.00**	**-442.00**
	IEU WTI CRUDE OIL FUTURES 1,000 IPE					
08SEP17	B 1 DEC17	50.00	50.74	USD	740.00	
21SEP17	B 1 DEC17	50.87	50.74	USD	-130.00	
25SEP17	B 1 DEC17	51.04	50.74	USD	-300.00	
	NET +3 AVG BGHT 50.64				**310.00**	**0.00**
25SEP17	B 1 JUN18	51.49	51.18	USD	-310.00	
25SEP17	B 1 JUN18	52.50	51.18	USD	-1,320.00	
	NET +2 AVG BGHT 52.00				**-1,630.00**	**0.00**
15SEP17	S 1 DEC18	51.10	50.69	USD	410.00	
19SEP17	S 1 DEC18	51.09	50.69	USD	400.00	
21SEP17	S 1 DEC18	51.19	50.69	USD	500.00	
25SEP17	S 1 DEC18	51.33	50.69	USD	640.00	
29SEP17	S 1 DEC18	51.45	50.69	USD	760.00	
	NET -5 AVG SOLD 51.23				**2,710.00**	**0.00**
02JUN17	B 1 DEC20	49.35	49.88	USD	530.00	
31JUL17	B 1 DEC20	51.62	49.88	USD	-1,740.00	
	NET +2 AVG BGHT 50.49				**-1,210.00**	**0.00**
	CMX CME GLOBEX COMEX MINY GOLD 50 CMX					
22SEP17	S 4 DEC17	1297.50	1274.60	USD	4,580.00	
	NET -4 AVG SOLD 1297.50				**4,580.00**	**0.00**
	NYM LIGHT SWEET CRUDE OIL 1,000 NYM					
14SEP17	B 1 NOV17 54.00 Call	0.38	0.10	USD	100.00	-280.00
	NET +1 AVG BGHT 0.38				**100.00**	**-280.00**
13SEP17	S 1 DEC17	49.85	50.74	USD	-890.00	
14SEP17	S 2 DEC17	49.96	50.74	USD	-1,560.00	
14SEP17	S 1 DEC17	50.82	50.74	USD	80.00	
14SEP17	S 1 DEC17	50.72	50.74	USD	-20.00	
19SEP17	S 1 DEC17	51.03	50.74	USD	290.00	
25SEP17	S 1 DEC17	51.04	50.74	USD	300.00	
25SEP17	S 1 DEC17	51.45	50.74	USD	710.00	
25SEP17	S 1 DEC17	51.60	50.74	USD	860.00	
26SEP17	S 2 DEC17	52.41	50.74	USD	3,340.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0023C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position		Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	NYM LIGHT SWEET CRUDE OIL 1,000 NYM						
26SEP17	S 1 DEC17		52.14	50.74	USD	1,400.00	
28SEP17	S 1 DEC17		51.91	50.74	USD	1,170.00	
29SEP17	S 1 DEC17		51.77	50.74	USD	1,030.00	
	NET -14 AVG SOLD 51.22					**6,710.00**	**0.00**
14SEP17	S 1 DEC17	54.00 Call	0.95	0.58	USD	-580.00	370.00
	NET -1 AVG SOLD 0.95					**-580.00**	**370.00**
20SEP17	S 1 DEC17	56.00 Call	0.41	0.25	USD	-250.00	160.00
	NET -1 AVG SOLD 0.41					**-250.00**	**160.00**
01SEP17	S 1 JAN18		48.83	50.99	USD	-2,160.00	
	NET -1 AVG SOLD 48.83					**-2,160.00**	**0.00**
14SEP17	S 1 APR18		51.21	51.25	USD	-40.00	
18SEP17	S 1 APR18		50.78	51.25	USD	-470.00	
18SEP17	S 2 APR18		50.91	51.25	USD	-680.00	
18SEP17	S 1 APR18		51.00	51.25	USD	-250.00	
18SEP17	S 1 APR18		51.16	51.25	USD	-90.00	
19SEP17	S 1 APR18		51.46	51.25	USD	210.00	
20SEP17	S 1 APR18		51.57	51.25	USD	320.00	
25SEP17	S 1 APR18		51.91	51.25	USD	660.00	
25SEP17	S 1 APR18		52.47	51.25	USD	1,220.00	
26SEP17	S 1 APR18		52.71	51.25	USD	1,460.00	
	NET -11 AVG SOLD 51.46					**2,340.00**	**0.00**
12SEP17	S 1 JUN18		50.03	51.18	USD	-1,150.00	
12SEP17	S 1 JUN18		50.02	51.18	USD	-1,160.00	
18SEP17	S 1 JUN18		51.46	51.18	USD	280.00	
22SEP17	S 1 JUN18		51.56	51.18	USD	380.00	
26SEP17	S 1 JUN18		52.42	51.18	USD	1,240.00	
28SEP17	S 1 JUN18		52.46	51.18	USD	1,280.00	
	NET -6 AVG SOLD 51.33					**870.00**	**0.00**
18SEP17	B 1 JUL18		51.45	51.09	USD	-360.00	
	NET +1 AVG BGHT 51.45					**-360.00**	**0.00**
28SEP17	B 1 AUG18		52.32	50.99	USD	-1,330.00	
	NET +1 AVG BGHT 52.32					**-1,330.00**	**0.00**
18SEP17	B 1 SEP18		51.25	50.90	USD	-350.00	
18SEP17	B 2 SEP18		50.88	50.90	USD	40.00	
18SEP17	B 1 SEP18		50.94	50.90	USD	-40.00	
18SEP17	B 1 SEP18		51.08	50.90	USD	-180.00	
19SEP17	B 1 SEP18		51.35	50.90	USD	-450.00	
20SEP17	B 1 SEP18		51.37	50.90	USD	-470.00	
21SEP17	B 4 SEP18		51.30	50.90	USD	-1,600.00	
25SEP17	B 1 SEP18		51.66	50.90	USD	-760.00	
25SEP17	B 1 SEP18		52.12	50.90	USD	-1,220.00	
26SEP17	B 1 SEP18		52.11	50.90	USD	-1,210.00	
	NET +14 AVG BGHT 51.35					**-6,240.00**	**0.00**
26SEP17	B 2 DEC18		51.74	50.69	USD	-2,100.00	
26SEP17	B 2 DEC18		51.75	50.69	USD	-2,120.00	
26SEP17	B 1 DEC18		51.70	50.69	USD	-1,010.00	
29SEP17	B 1 DEC18		51.51	50.69	USD	-820.00	
	NET +6 AVG BGHT 51.70					**-6,050.00**	**0.00**
22SEP17	S 1 JUN19		51.03	50.23	USD	800.00	
26SEP17	S 1 JUN19		51.13	50.23	USD	900.00	
	NET -2 AVG SOLD 51.08					**1,700.00**	**0.00**
15SEP17	B 1 DEC19		50.90	49.95	USD	-950.00	
20SEP17	B 1 DEC19		50.74	49.95	USD	-790.00	
21SEP17	B 1 DEC19		50.74	49.95	USD	-790.00	
22SEP17	B 1 DEC19		50.87	49.95	USD	-920.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0023C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	NYM LIGHT SWEET CRUDE OIL 1,000 NYM					
22SEP17	B 1 DEC19	50.90	49.95	USD	-950.00	
27SEP17	B 1 DEC19	50.90	49.95	USD	-950.00	
03OCT17	B 1 DEC19	49.86	49.95	USD	90.00	
	NET +7 AVG BGHT 50.70				**-5,260.00**	**0.00**
07SEP17	B 1 DEC20	51.53	49.88	USD	-1,650.00	
12SEP17	B 1 DEC20	50.91	49.88	USD	-1,030.00	
13SEP17	B 1 DEC20	50.79	49.88	USD	-910.00	
13SEP17	B 1 DEC20	50.77	49.88	USD	-890.00	
18SEP17	B 1 DEC20	50.99	49.88	USD	-1,110.00	
	NET +5 AVG BGHT 51.00				**-5,590.00**	**0.00**
	CMX GOLD 100 CMX					
22SEP17	S 2 DEC17	1297.5	1274.6	USD	4,580.00	
22SEP17	S 1 DEC17	1299.4	1274.6	USD	2,480.00	
22SEP17	S 1 DEC17	1299.7	1274.6	USD	2,510.00	
22SEP17	S 1 DEC17	1300.0	1274.6	USD	2,540.00	
	NET -5 AVG SOLD 1298.8				**12,110.00**	**0.00**
	IEU BRENT CRUDE 1,000 IPE					
08SEP17	S 1 DEC17	54.52	56.00	USD	-1,480.00	
21SEP17	S 1 DEC17	55.68	56.00	USD	-320.00	
25SEP17	S 1 DEC17	56.88	56.00	USD	880.00	
	NET -3 AVG SOLD 55.69				**-920.00**	**0.00**
25SEP17	S 1 JUN18	55.71	55.19	USD	520.00	
25SEP17	S 1 JUN18	56.96	55.19	USD	1,770.00	
	NET -2 AVG SOLD 56.34				**2,290.00**	**0.00**
15SEP17	B 1 DEC18	54.96	54.65	USD	-310.00	
19SEP17	B 1 DEC18	54.99	54.65	USD	-340.00	
21SEP17	B 1 DEC18	54.86	54.65	USD	-210.00	
25SEP17	B 1 DEC18	55.35	54.65	USD	-700.00	
29SEP17	B 1 DEC18	55.43	54.65	USD	-780.00	
	NET +5 AVG BGHT 55.12				**-2,340.00**	**0.00**
02JUN17	S 1 DEC20	52.32	54.49	USD	-2,170.00	
31JUL17	S 1 DEC20	54.98	54.49	USD	490.00	
	NET -2 AVG SOLD 53.65				**-1,680.00**	**0.00**
	CBT MINI SOYBEAN 1,000 CBT					
03OCT17	S 1 NOV17	9.54500	9.55250	USD	-7.50	
	NET -1 AVG SOLD 9.54500				**-7.50**	**0.00**
	NYM PLATINUM 50 NYM					
22SEP17	B 3 JAN18	937.00	915.50	USD	-3,225.00	
22SEP17	B 3 JAN18	936.10	915.50	USD	-3,090.00	
	NET +6 AVG BGHT 936.55				**-6,315.00**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0023C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

OPEN POSITION VALUE

		EUR	USD
ODAX OPTION	OPTIONS	12,298.00	
IEU WTI Crude Oil Futures	FUTURES		-100,710.00
CMX CME Globex COMEX miNY Gold	FUTURES		259,500.00
NYM Light Sweet Crude Oil	FUTURES		-600.00
NYM Light Sweet Crude Oil	OPTIONS		980.00
CMX Gold	FUTURES		649,410.00
IEU Brent Crude	FUTURES		111,460.00
CBT Mini Soybean	**FUTURES**		**9,545.00**
NYM Platinum	FUTURES		-280,965.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options			Amount	Profit / Loss	**Total Amount**	**Total P&L**
CLF	- Futures	USD	180.00	180.00		
CMQO	- Futures	USD	4,580.00	4,580.00		
COIL	- Futures	USD	-15,370.00	-15,370.00		
GOLD	- Futures	USD	12,110.00	12,110.00		
LCO	- Futures	USD	-2,650.00	-2,650.00		
MBEN	- Futures	USD	-7.50	-7.50		
PLAT	- Futures	USD	-6,315.00	-6,315.00	**-7,472.50**	**-7,472.50**
Net Premium Options Market Value						
DAXO	- Options	EUR	-19,244.50	-6,946.50	**-19,244.50**	**-6,946.50**
COIL	- Options	USD	-730.00	250.00	**-730.00**	**250.00**

ACCOUNT FINANCIAL SUMMARY

		EUR (1.17550)	USD (1.00000)	NETT USD (1.00000)
CASH BALANCE		24,768.36	92,809.26	121,924.47
Variation Margins	- Futures	0.00	-7,472.50	-7,472.50
	- Options	0.00	0.00	0.00
TOTAL EQUITY		**24,768.36**	**85,336.76**	**114,451.97**
Initial Margins		-51,376.35	-67,003.00	-127,395.90
Excess/(Shortage)		**-26,607.99**	**18,333.76**	**-12,943.93**
FOR INFORMATION ONLY				
Net Premium Option Market Value		-19,244.50	-730.00	-23,351.91
Net Liquidating Value		**5,523.86**	**84,606.76**	**91,100.06**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED
SAP0023
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPG0023**

3rd Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

GROUP FINANCIAL SUMMARY

		EUR (1.17550)	USD (1.00000)	NET USD (1.00000)
CASH BALANCE		32,129.93	142,046.77	179,815.50
Variation Margins	- Futures	0.00	-8,347.50	-8,347.50
	- Options	0.00	0.00	0.00
TOTAL EQUITY		**32,129.93**	**133,699.27**	**171,468.00**
Initial Margins		-51,467.52	-70,653.00	-131,153.07
Excess/(Shortage)		**-19,337.59**	**63,046.27**	**40,314.93**
FOR INFORMATION ONLY				
Net Premium Option Market Value		-19,332.00	-637.50	-23,362.27
Net Liquidating Value		**12,797.93**	**133,061.77**	**148,105.74**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0024A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	DOW JONES EURO STOXX 50 10 ERX					
29AUG17	S 100 OCT17 2450.00 Put	0.80	0.10	EUR	-100.00	700.00
	NET -100 AVG SOLD 0.80				**-100.00**	**700.00**
11AUG17	S 100 DEC17 1850.00 Put	1.20	0.10	EUR	-100.00	1,100.00
	NET -100 AVG SOLD 1.20				**-100.00**	**1,100.00**

OPEN POSITION VALUE

		EUR
Dow Jones EURO Stoxx 50	OPTIONS	2,000.00

MARKET REVALUATION BREAKDOWN

Net Premium Options Market Value		Amount	Profit / Loss	**Total Amount**	**Total P&L**
EDOX - Options	EUR	-200.00	1,800.00	**-200.00**	**1,800.00**

ACCOUNT FINANCIAL SUMMARY

		EUR	GBP	USD
		(1.17550)	(1.32460)	(1.00000)
CASH BALANCE		54,346.71	10,032.80	1,889.47
Variation Margins	- Futures	0.00	0.00	0.00
	- Options	0.00	0.00	0.00
TOTAL EQUITY		**54,346.71**	**10,032.80**	**1,889.47**
Initial Margins		-422.58	0.00	0.00
Excess/(Shortage)		**53,924.13**	**10,032.80**	**1,889.47**
FOR INFORMATION ONLY				
Net Premium Option Market Value		-200.00	0.00	0.00
Net Liquidating Value		**54,146.71**	**10,032.80**	**1,889.47**

		NETT USD
		(1.00000)
CASH BALANCE		79,063.48
Variation Margins	- Futures	0.00
	- Options	0.00
TOTAL EQUITY		**79,063.48**
Initial Margins		-496.74
Excess/(Shortage)		**78,566.74**
FOR INFORMATION ONLY		
Net Premium Option Market Value		-235.10
Net Liquidating Value		**78,828.38**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0024B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	DOW JONES EURO STOXX 50 10 ERX					
29AUG17	S 100 OCT17 2450.00 Put	0.80	0.10	EUR	-100.00	700.00
	NET -100 AVG SOLD 0.80				**-100.00**	**700.00**
	FTSE 100 (EUROPEAN) OPTION 10 LTO					
22SEP17	S 100 OCT17 6000.0 Put	1.0	0.0	GBP	0.00	1,000.00
	NET -100 AVG SOLD 1.0				**0.00**	**1,000.00**
13SEP17	S 50 DEC17 5200.0 Put	2.5	1.0	GBP	-500.00	750.00
	NET -50 AVG SOLD 2.5				**-500.00**	**750.00**
13SEP17	S 50 DEC17 5300.0 Put	2.5	1.0	GBP	-500.00	750.00
13SEP17	S 50 DEC17 5300.0 Put	3.0	1.0	GBP	-500.00	1,000.00
	NET -100 AVG SOLD 2.8				**-1,000.00**	**1,750.00**
	CME EURO STYLE OPTIONS ON BRITISH POUND/US DOLLAR FUTURE WK2					
15SEP17	S 16 OCT17 1.41500 Call	0.00130	0.00010	USD	-100.00	1,200.00
	NET -16 AVG SOLD 0.00130				**-100.00**	**1,200.00**

OPEN POSITION VALUE

		EUR	GBP	USD
Dow Jones EURO Stoxx 50	OPTIONS	800.00		
FTSE 100 (European) Option	OPTIONS		5,000.00	
CME Euro Style Options on	OPTIONS			1,300.00

MARKET REVALUATION BREAKDOWN

Net Premium Options Market Value		Amount	Profit / Loss	**Total Amount**	**Total P&L**
EDOX - Options	EUR	-100.00	700.00	**-100.00**	**700.00**
FTSO - Options	GBP	-1,500.00	3,500.00	**-1,500.00**	**3,500.00**
2BP - Options	USD	-100.00	1,200.00	**-100.00**	**1,200.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0024B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		EUR	GBP	USD
		(1.17550)	(1.32460)	(1.00000)
CASH BALANCE		3,650.00	122,547.00	1,276.00
Variation Margins	- Futures	0.00	0.00	0.00
	- Options	0.00	0.00	0.00
TOTAL EQUITY		**3,650.00**	**122,547.00**	**1,276.00**
Initial Margins		-294.28	-68,500.00	-2,580.00
Excess/(Shortage)		**3,355.72**	**54,047.00**	**-1,304.00**
FOR INFORMATION ONLY				
Net Premium Option Market Value		-100.00	-1,500.00	-100.00
Net Liquidating Value		**3,550.00**	**121,047.00**	**1,176.00**

		NETT USD
		(1.00000)
CASH BALANCE		167,892.33
Variation Margins	- Futures	0.00
	- Options	0.00
TOTAL EQUITY		**167,892.33**
Initial Margins		-93,661.03
Excess/(Shortage)		**74,231.30**
FOR INFORMATION ONLY		
Net Premium Option Market Value		-2,204.45
Net Liquidating Value		**165,687.88**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED
SAP0024
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPG0024**

3rd Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

GROUP FINANCIAL SUMMARY

		EUR (1.17550)	GBP (1.32460)	USD (1.00000)
CASH BALANCE		57,996.71	132,579.80	3,165.47
Variation Margins	- Futures	0.00	0.00	0.00
	- Options	0.00	0.00	0.00
TOTAL EQUITY		**57,996.71**	**132,579.80**	**3,165.47**
Initial Margins		-703.45	-68,500.00	-2,580.00
Excess/(Shortage)		**57,293.26**	**64,079.80**	**585.47**
FOR INFORMATION ONLY				
Net Premium Option Market Value		-300.00	-1,500.00	-100.00
Net Liquidating Value		**57,696.71**	**131,079.80**	**3,065.47**

		NET USD (1.00000)
CASH BALANCE		246,955.80
Variation Margins	- Futures	0.00
	- Options	0.00
TOTAL EQUITY		**246,955.80**
Initial Margins		-94,142.01
Excess/(Shortage)		**152,813.79**
FOR INFORMATION ONLY		
Net Premium Option Market Value		-2,439.55
Net Liquidating Value		**244,516.25**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0025

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		EUR	GBP	USD
		(1.17550)	(1.32460)	(1.00000)
CASH BALANCE		-4,960.71	-649.17	-17,054.18
Variation Margins	- Futures	0.00	0.00	0.00
	- Options	0.00	0.00	0.00
TOTAL EQUITY		**-4,960.71**	**-649.17**	**-17,054.18**
Initial Margins		0.00	0.00	0.00
Excess/(Shortage)		**-4,960.71**	**-649.17**	**-17,054.18**
FOR INFORMATION ONLY				
Net Liquidating Value		**-4,960.71**	**-649.17**	**-17,054.18**

		NETT USD
		(1.00000)
CASH BALANCE		-23,745.38
Variation Margins	- Futures	0.00
	- Options	0.00
TOTAL EQUITY		**-23,745.38**
Initial Margins		0.00
Excess/(Shortage)		**-23,745.38**
FOR INFORMATION ONLY		
Net Liquidating Value		**-23,745.38**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0025A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	NYM LIGHT SWEET CRUDE OIL 1,000 NYM							
20SEP17	S 1 NOV17			50.49	50.42	USD	70.00	
20SEP17	S 1 NOV17			50.40	50.42	USD	-20.00	
20SEP17	S 2 NOV17			50.38	50.42	USD	-80.00	
20SEP17	S 1 NOV17			50.47	50.42	USD	50.00	
20SEP17	S 5 NOV17			50.48	50.42	USD	300.00	
20SEP17	S 1 NOV17			50.45	50.42	USD	30.00	
20SEP17	S 1 NOV17			50.46	50.42	USD	40.00	
	NET -12 AVG SOLD 50.45						**390.00**	**0.00**
20SEP17	S 10 NOV17	61.00	Call	0.02	0.01	USD	-100.00	100.00
27SEP17	S 5 NOV17	61.00	Call	0.02	0.01	USD	-50.00	50.00
	NET -15 AVG SOLD 0.02						**-150.00**	**150.00**
20SEP17	S 20 NOV17	61.50	Call	0.02	0.01	USD	-200.00	200.00
29SEP17	S 1 NOV17	61.50	Call	0.01	0.01	USD	-10.00	0.00
	NET -21 AVG SOLD 0.02						**-210.00**	**200.00**
22SEP17	S 10 NOV17	63.00	Call	0.01	0.01	USD	-100.00	0.00
	NET -10 AVG SOLD 0.01						**-100.00**	**0.00**
22SEP17	S 1 NOV17	63.50	Call	0.01	0.01	USD	-10.00	0.00
	NET -1 AVG SOLD 0.01						**-10.00**	**0.00**
20SEP17	S 20 NOV17	64.00	Call	0.01	0.01	USD	-200.00	0.00
	NET -20 AVG SOLD 0.01						**-200.00**	**0.00**
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT							
04JUL17	B 1 PD04OCT17			5888.50	6467.48	USD	14,474.50	
19JUL17	S 1 PD04OCT17			5961.59	6467.48	USD	-12,647.25	
	NET 0 AVG BGHT 5888.50 SOLD 5961.59						**1,827.25**	**0.00**
06JUL17	B 1 PD06OCT17			5833.50	6470.02	USD	15,913.00	
06JUL17	B 1 PD06OCT17			5835.00	6470.02	USD	15,875.50	
06JUL17	B 1 PD06OCT17			5841.00	6470.02	USD	15,725.50	
19JUL17	S 1 PD06OCT17			5962.55	6470.02	USD	-12,686.75	
10AUG17	S 2 PD06OCT17			6409.44	6470.02	USD	-3,029.00	
	NET 0 AVG BGHT 5836.50 SOLD 6260.48						**31,798.25**	**0.00**
13JUL17	S 1 PD13OCT17			5863.00	6478.90	USD	-15,397.50	
13JUL17	S 1 PD13OCT17			5865.50	6478.90	USD	-15,335.00	
14JUL17	B 1 PD13OCT17			5915.50	6478.90	USD	14,085.00	
14JUL17	B 1 PD13OCT17			5917.00	6478.90	USD	14,047.50	
14JUL17	S 1 PD13OCT17			5923.00	6478.90	USD	-13,897.50	
14JUL17	S 1 PD13OCT17			5925.50	6478.90	USD	-13,835.00	
19JUL17	B 2 PD13OCT17			5965.87	6478.90	USD	25,651.50	
	NET 0 AVG BGHT 5941.06 SOLD 5894.25						**-4,681.00**	**0.00**
17JUL17	S 1 PD17OCT17			5956.50	6483.98	USD	-13,187.00	
17JUL17	B 1 PD17OCT17			6000.00	6483.98	USD	12,099.50	
17JUL17	B 1 PD17OCT17			6004.50	6483.98	USD	11,987.00	
17JUL17	B 1 PD17OCT17			5997.00	6483.98	USD	12,174.50	
10AUG17	S 2 PD17OCT17			6416.00	6483.98	USD	-3,399.00	
	NET 0 AVG BGHT 6000.50 SOLD 6262.83						**19,675.00**	**0.00**
19JUL17	S 1 PD19OCT17			5984.50	6486.00	USD	-12,537.50	
10AUG17	B 1 PD19OCT17			6415.45	6486.00	USD	1,763.75	
	NET 0 AVG BGHT 6415.45 SOLD 5984.50						**-10,773.75**	**0.00**
20JUL17	S 1 PD20OCT17			5987.00	6486.75	USD	-12,493.75	
20JUL17	B 1 PD20OCT17			5980.50	6486.75	USD	12,656.25	
21JUL17	B 1 PD20OCT17			6033.50	6486.75	USD	11,331.25	
21JUL17	B 1 PD20OCT17			6036.00	6486.75	USD	11,268.75	
21JUL17	B 1 PD20OCT17			6036.50	6486.75	USD	11,256.25	
21JUL17	S 2 PD20OCT17			5994.00	6486.75	USD	-24,637.50	
	NET +1 AVG BGHT 6021.63 SOLD 5991.67						**9,381.25**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0025A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
24JUL17	S 1 PD24OCT17	6002.00	6489.75	USD	-12,193.75	
10AUG17	B 1 PD24OCT17	6416.16	6489.75	USD	1,839.75	
	NET 0 AVG BGHT 6416.16 SOLD 6002.00				**-10,354.00**	**0.00**
25JUL17	B 1 PD25OCT17	6149.00	6490.29	USD	8,532.25	
25JUL17	B 1 PD25OCT17	6178.50	6490.29	USD	7,794.75	
25JUL17	B 1 PD25OCT17	6180.00	6490.29	USD	7,757.25	
25JUL17	B 1 PD25OCT17	6205.00	6490.29	USD	7,132.25	
25JUL17	B 1 PD25OCT17	6239.00	6490.29	USD	6,282.25	
25JUL17	B 1 PD25OCT17	6257.00	6490.29	USD	5,832.25	
	NET +6 AVG BGHT 6201.42				**43,331.00**	**0.00**
26JUL17	B 1 PD26OCT17	6276.00	6490.82	USD	5,370.50	
	NET +1 AVG BGHT 6276.00				**5,370.50**	**0.00**
27JUL17	S 1 PD27OCT17	6332.00	6491.36	USD	-3,984.00	
10AUG17	B 1 PD27OCT17	6417.45	6491.36	USD	1,847.75	
	NET 0 AVG BGHT 6417.45 SOLD 6332.00				**-2,136.25**	**0.00**
02AUG17	B 1 PD02NOV17	6327.50	6494.69	USD	4,179.75	
	NET +1 AVG BGHT 6327.50				**4,179.75**	**0.00**
03AUG17	S 1 PD03NOV17	6345.00	6495.28	USD	-3,757.00	
10AUG17	B 1 PD03NOV17	6420.33	6495.28	USD	1,873.75	
	NET 0 AVG BGHT 6420.33 SOLD 6345.00				**-1,883.25**	**0.00**
08AUG17	B 1 PD08NOV17	6476.50	6498.25	USD	543.75	
	NET +1 AVG BGHT 6476.50				**543.75**	**0.00**
18AUG17	S 1 PD17NOV17	6482.50	6501.60	USD	-477.50	
18AUG17	S 1 PD17NOV17	6492.50	6501.60	USD	-227.50	
18AUG17	B 2 PD17NOV17	6487.50	6501.60	USD	705.00	
	NET 0 AVG BGHT 6487.50 SOLD 6487.50				**0.00**	**0.00**
22AUG17	B 1 PD22NOV17	6612.00	6504.60	USD	-2,685.00	
22AUG17	B 1 PD22NOV17	6611.00	6504.60	USD	-2,660.00	
22AUG17	B 1 PD22NOV17	6613.50	6504.60	USD	-2,722.50	
22AUG17	B 1 PD22NOV17	6585.50	6504.60	USD	-2,022.50	
22AUG17	B 1 PD22NOV17	6590.50	6504.60	USD	-2,147.50	
	NET +5 AVG BGHT 6602.50				**-12,237.50**	**0.00**
24AUG17	S 1 PD24NOV17	6705.00	6505.80	USD	4,980.00	
25AUG17	S 1 PD24NOV17	6704.00	6505.80	USD	4,955.00	
	NET -2 AVG SOLD 6704.50				**9,935.00**	**0.00**
29AUG17	S 2 PD29NOV17	6763.00	6508.80	USD	12,710.00	
29AUG17	B 1 PD29NOV17	6778.00	6508.80	USD	-6,730.00	
29AUG17	S 1 PD29NOV17	6765.50	6508.80	USD	6,417.50	
29AUG17	S 1 PD29NOV17	6780.50	6508.80	USD	6,792.50	
29AUG17	S 1 PD29NOV17	6796.50	6508.80	USD	7,192.50	
29AUG17	B 1 PD29NOV17	6802.50	6508.80	USD	-7,342.50	
	NET -3 AVG BGHT 6790.25 SOLD 6773.70				**19,040.00**	**0.00**
18SEP17	S 1 PD18DEC17	6536.00	6516.96	USD	476.00	
18SEP17	S 1 PD18DEC17	6536.50	6516.96	USD	488.50	
18SEP17	S 1 PD18DEC17	6538.00	6516.96	USD	526.00	
	NET -3 AVG SOLD 6536.83				**1,490.50**	**0.00**
	CMX COPPER 25,000 CMX					
28AUG17	S 2 DEC17	309.150	296.350	USD	6,400.00	
29AUG17	S 2 DEC17	309.200	296.350	USD	6,425.00	
29AUG17	S 20 DEC17	310.800	296.350	USD	72,250.00	
	NET -24 AVG SOLD 310.529				**85,075.00**	**0.00**
	CMX GOLD 100 CMX					
21AUG17	S 1 DEC17	1296.4	1274.6	USD	2,180.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0025A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	NET -1 AVG SOLD 1296.4				**2,180.00**	**0.00**
	LME NICKEL 6 TONNES. THIS IS AN LME					
	REGISTERED CLIENT CONTRACT					
05JUL17	S 1 PD05OCT17	9150.00	10532.50	USD	-8,295.00	
19JUL17	B 1 PD05OCT17	9644.18	10532.50	USD	5,329.92	
	NET 0 AVG BGHT 9644.18 SOLD 9150.00				**-2,965.08**	**0.00**
12JUL17	B 1 PD12OCT17	9215.00	10541.38	USD	7,958.28	
12JUL17	B 2 PD12OCT17	9205.00	10541.38	USD	16,036.56	
23AUG17	S 1 PD12OCT17	11633.06	10541.38	USD	6,550.08	
	NET +2 AVG BGHT 9208.33 SOLD 11633.06				**30,544.92**	**0.00**
13JUL17	B 1 PD13OCT17	9185.00	10542.65	USD	8,145.90	
14JUL17	B 2 PD13OCT17	9535.00	10542.65	USD	12,091.80	
	NET +3 AVG BGHT 9418.33				**20,237.70**	**0.00**
17JUL17	S 1 PD17OCT17	9610.00	10547.73	USD	-5,626.38	
17JUL17	B 1 PD17OCT17	9615.00	10547.73	USD	5,596.38	
	NET 0 AVG BGHT 9615.00 SOLD 9610.00				**-30.00**	**0.00**
21JUL17	B 1 PD20OCT17	9535.00	10550.93	USD	6,095.58	
	NET +1 AVG BGHT 9535.00				**6,095.58**	**0.00**
25JUL17	S 1 PD25OCT17	9995.00	10555.75	USD	-3,364.50	
10AUG17	B 1 PD25OCT17	10976.61	10555.75	USD	-2,525.16	
	NET 0 AVG BGHT 10976.61 SOLD 9995.00				**-5,889.66**	**0.00**
04AUG17	S 1 PD03NOV17	10315.00	10564.43	USD	-1,496.58	
10AUG17	B 1 PD03NOV17	10981.89	10564.43	USD	-2,504.76	
	NET 0 AVG BGHT 10981.89 SOLD 10315.00				**-4,001.34**	**0.00**
08AUG17	B 1 PD08NOV17	10590.00	10569.25	USD	-124.50	
	NET +1 AVG BGHT 10590.00				**-124.50**	**0.00**
18AUG17	S 1 PD17NOV17	10990.00	10577.77	USD	2,473.38	
23AUG17	B 1 PD17NOV17	11656.63	10577.77	USD	-6,473.16	
	NET 0 AVG BGHT 11656.63 SOLD 10990.00				**-3,999.78**	**0.00**
22AUG17	S 1 PD22NOV17	11350.00	10582.20	USD	4,606.80	
22AUG17	S 1 PD22NOV17	11470.00	10582.20	USD	5,326.80	
22AUG17	S 1 PD22NOV17	11390.00	10582.20	USD	4,846.80	
22AUG17	S 1 PD22NOV17	11395.00	10582.20	USD	4,876.80	
23AUG17	B 4 PD22NOV17	11659.75	10582.20	USD	-25,861.20	
	NET 0 AVG BGHT 11659.75 SOLD 11401.25				**-6,204.00**	**0.00**
23AUG17	S 1 PD24NOV17	11390.00	10583.97	USD	4,836.18	
23AUG17	S 1 PD24NOV17	11395.00	10583.97	USD	4,866.18	
23AUG17	S 1 PD24NOV17	11440.00	10583.97	USD	5,136.18	
23AUG17	S 1 PD24NOV17	11475.00	10583.97	USD	5,346.18	
23AUG17	S 1 PD24NOV17	11545.00	10583.97	USD	5,766.18	
23AUG17	S 1 PD24NOV17	11580.00	10583.97	USD	5,976.18	
23AUG17	S 1 PD24NOV17	11645.00	10583.97	USD	6,366.18	
23AUG17	B 1 PD24NOV17	11720.00	10583.97	USD	-6,816.18	
	NET -6 AVG BGHT 11720.00 SOLD 11495.71				**31,477.08**	**0.00**
30AUG17	S 1 PD30NOV17	11595.00	10589.29	USD	6,034.26	
	NET -1 AVG SOLD 11595.00				**6,034.26**	**0.00**
	CMX SILVER 5,000 CMX					
07SEP17	B 1 DEC17	1811.0	1665.0	USD	-7,300.00	
	NET +1 AVG BGHT 1811.0				**-7,300.00**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0025A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

OPEN POSITION VALUE

		USD
NYM Light Sweet Crude Oil	FUTURES	605,430.00
NYM Light Sweet Crude Oil	OPTIONS	1,020.00
LME Copper Grade A 25	FUTURES	-1,029,152.75
CMX Copper	FUTURES	1,863,175.00
CMX Gold	FUTURES	129,640.00
LME Nickel 6 tonnes. This is	FUTURES	72,748.50
CMX Silver	FUTURES	-90,550.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options			Amount	Profit / Loss	**Total Amount**	**Total P&L**
COIL	- Futures	USD	390.00	390.00		
COPR	- Futures	USD	85,075.00	85,075.00		
GOLD	- Futures	USD	2,180.00	2,180.00		
SILV	- Futures	USD	-7,300.00	-7,300.00	**80,345.00**	**80,345.00**
Unrealised Profits & Losses - Forwards						
COPL	- Futures	USD	104,506.50	104,506.50		
NKL	- Futures	USD	71,175.18	71,175.18	**175,681.68**	**175,681.68**
Net Premium Options Market Value						
COIL	- Options	USD	-670.00	350.00	**-670.00**	**350.00**

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		49,173.61	49,173.61
Variation Margins	- Futures	80,345.00	80,345.00
	- Options	0.00	0.00
TOTAL EQUITY		**129,518.61**	**129,518.61**
Initial Margins		-107,558.00	-107,558.00
Excess/(Shortage)		**21,960.61**	**21,960.61**
FOR INFORMATION ONLY			
Forward Unrealised P&L		175,681.68	175,681.68
Net Premium Option Market Value		-670.00	-670.00
Net Liquidating Value		**304,530.29**	**304,530.29**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0025B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	NYM LIGHT SWEET CRUDE OIL 1,000 NYM					
20SEP17	S 20 NOV17 63.50 Call	0.01	0.01	USD	-200.00	0.00
	NET -20 AVG SOLD 0.01				**-200.00**	**0.00**
20SEP17	S 40 NOV17 64.00 Call	0.01	0.01	USD	-400.00	0.00
	NET -40 AVG SOLD 0.01				**-400.00**	**0.00**
20SEP17	S 20 NOV17 64.50 Call	0.01	0.01	USD	-200.00	0.00
	NET -20 AVG SOLD 0.01				**-200.00**	**0.00**
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
04JUL17	B 2 PD04OCT17	5888.50	6467.48	USD	28,949.00	
04JUL17	B 1 PD04OCT17	5889.50	6467.48	USD	14,449.50	
19JUL17	S 1 PD04OCT17	5961.59	6467.48	USD	-12,647.25	
19JUL17	S 2 PD04OCT17	5961.79	6467.48	USD	-25,284.50	
	NET 0 AVG BGHT 5888.83 SOLD 5961.72				**5,466.75**	**0.00**
05JUL17	S 1 PD05OCT17	5842.00	6468.75	USD	-15,668.75	
05JUL17	S 1 PD05OCT17	5822.00	6468.75	USD	-16,168.75	
19JUL17	B 2 PD05OCT17	5962.47	6468.75	USD	25,314.00	
	NET 0 AVG BGHT 5962.47 SOLD 5832.00				**-6,523.50**	**0.00**
07JUL17	S 1 PD06OCT17	5820.00	6470.02	USD	-16,250.50	
19JUL17	B 1 PD06OCT17	5962.95	6470.02	USD	12,676.75	
	NET 0 AVG BGHT 5962.95 SOLD 5820.00				**-3,573.75**	**0.00**
12JUL17	B 1 PD12OCT17	5900.50	6477.63	USD	14,428.25	
10AUG17	S 1 PD12OCT17	6413.02	6477.63	USD	-1,615.25	
	NET 0 AVG BGHT 5900.50 SOLD 6413.02				**12,813.00**	**0.00**
13JUL17	S 1 PD13OCT17	5863.00	6478.90	USD	-15,397.50	
14JUL17	S 1 PD13OCT17	5880.00	6478.90	USD	-14,972.50	
19JUL17	B 2 PD13OCT17	5965.87	6478.90	USD	25,651.50	
	NET 0 AVG BGHT 5965.87 SOLD 5871.50				**-4,718.50**	**0.00**
17JUL17	S 1 PD17OCT17	5970.00	6483.98	USD	-12,849.50	
17JUL17	S 1 PD17OCT17	5976.00	6483.98	USD	-12,699.50	
17JUL17	B 1 PD17OCT17	5998.00	6483.98	USD	12,149.50	
19JUL17	B 1 PD17OCT17	5967.53	6483.98	USD	12,911.25	
	NET 0 AVG BGHT 5982.77 SOLD 5973.00				**-488.25**	**0.00**
18JUL17	B 1 PD18OCT17	6004.00	6485.25	USD	12,031.25	
10AUG17	S 1 PD18OCT17	6416.25	6485.25	USD	-1,725.00	
	NET 0 AVG BGHT 6004.00 SOLD 6416.25				**10,306.25**	**0.00**
21JUL17	B 2 PD20OCT17	6036.00	6486.75	USD	22,537.50	
10AUG17	S 2 PD20OCT17	6414.25	6486.75	USD	-3,625.00	
	NET 0 AVG BGHT 6036.00 SOLD 6414.25				**18,912.50**	**0.00**
24JUL17	S 2 PD24OCT17	5995.00	6489.75	USD	-24,737.50	
24JUL17	S 1 PD24OCT17	5998.50	6489.75	USD	-12,281.25	
10AUG17	B 2 PD24OCT17	6416.16	6489.75	USD	3,679.50	
10AUG17	B 1 PD24OCT17	6415.96	6489.75	USD	1,844.75	
	NET 0 AVG BGHT 6416.09 SOLD 5996.17				**-31,494.50**	**0.00**
25JUL17	B 2 PD25OCT17	6190.00	6490.29	USD	15,014.50	
25JUL17	B 2 PD25OCT17	6192.00	6490.29	USD	14,914.50	
10AUG17	S 1 PD25OCT17	6416.39	6490.29	USD	-1,847.50	
23AUG17	S 3 PD25OCT17	6555.92	6490.29	USD	4,922.25	
	NET 0 AVG BGHT 6191.00 SOLD 6521.04				**33,003.75**	**0.00**
26JUL17	B 1 PD26OCT17	6271.50	6490.82	USD	5,483.00	
26JUL17	B 2 PD26OCT17	6273.50	6490.82	USD	10,866.00	
26JUL17	S 1 PD26OCT17	6347.00	6490.82	USD	-3,595.50	
26JUL17	S 1 PD26OCT17	6339.00	6490.82	USD	-3,795.50	
10AUG17	S 1 PD26OCT17	6416.62	6490.82	USD	-1,855.00	
	NET 0 AVG BGHT 6272.83 SOLD 6367.54				**7,103.00**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0025B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
28JUL17	S 2 PD27OCT17	6343.00	6491.36	USD	-7,418.00	
10AUG17	B 2 PD27OCT17	6417.45	6491.36	USD	3,695.50	
	NET 0 AVG BGHT 6417.45 SOLD 6343.00				**-3,722.50**	**0.00**
02AUG17	B 1 PD02NOV17	6336.00	6494.69	USD	3,967.25	
23AUG17	S 1 PD02NOV17	6560.00	6494.69	USD	1,632.75	
	NET 0 AVG BGHT 6336.00 SOLD 6560.00				**5,600.00**	**0.00**
03AUG17	S 1 PD03NOV17	6345.00	6495.28	USD	-3,757.00	
10AUG17	B 1 PD03NOV17	6420.33	6495.28	USD	1,873.75	
	NET 0 AVG BGHT 6420.33 SOLD 6345.00				**-1,883.25**	**0.00**
15AUG17	S 2 PD15NOV17	6371.00	6501.50	USD	-6,525.00	
23AUG17	B 2 PD15NOV17	6565.70	6501.50	USD	-3,210.00	
	NET 0 AVG BGHT 6565.70 SOLD 6371.00				**-9,735.00**	**0.00**
18AUG17	S 2 PD17NOV17	6496.00	6501.60	USD	-280.00	
23AUG17	B 2 PD17NOV17	6563.89	6501.60	USD	-3,114.50	
	NET 0 AVG BGHT 6563.89 SOLD 6496.00				**-3,394.50**	**0.00**
21AUG17	B 2 PD21NOV17	6596.50	6504.00	USD	-4,625.00	
21AUG17	B 2 PD21NOV17	6597.00	6504.00	USD	-4,650.00	
21AUG17	B 2 PD21NOV17	6588.00	6504.00	USD	-4,200.00	
	NET +6 AVG BGHT 6593.83				**-13,475.00**	**0.00**
22AUG17	B 5 PD22NOV17	6589.00	6504.60	USD	-10,550.00	
	NET +5 AVG BGHT 6589.00				**-10,550.00**	**0.00**
24AUG17	S 2 PD24NOV17	6623.00	6505.80	USD	5,860.00	
24AUG17	S 2 PD24NOV17	6713.00	6505.80	USD	10,360.00	
25AUG17	S 4 PD24NOV17	6704.00	6505.80	USD	19,820.00	
25AUG17	S 2 PD24NOV17	6702.50	6505.80	USD	9,835.00	
25AUG17	S 2 PD24NOV17	6703.50	6505.80	USD	9,885.00	
	NET -12 AVG SOLD 6691.67				**55,760.00**	**0.00**
29AUG17	B 1 PD29NOV17	6811.00	6508.80	USD	-7,555.00	
29AUG17	B 1 PD29NOV17	6792.50	6508.80	USD	-7,092.50	
	NET +2 AVG BGHT 6801.75				**-14,647.50**	**0.00**
	CMX COPPER 25,000 CMX					
21AUG17	S 2 DEC17	301.050	296.350	USD	2,350.00	
21AUG17	S 4 DEC17	300.750	296.350	USD	4,400.00	
29AUG17	S 15 DEC17	309.300	296.350	USD	48,562.50	
29AUG17	S 2 DEC17	310.750	296.350	USD	7,200.00	
29AUG17	S 2 DEC17	309.950	296.350	USD	6,800.00	
05SEP17	S 1 DEC17	317.050	296.350	USD	5,175.00	
05SEP17	S 2 DEC17	317.100	296.350	USD	10,375.00	
05SEP17	S 2 DEC17	317.000	296.350	USD	10,325.00	
	NET -30 AVG SOLD 309.042				**95,187.50**	**0.00**
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
05JUL17	S 1 PD05OCT17	9140.00	10532.50	USD	-8,355.00	
05JUL17	S 1 PD05OCT17	9090.00	10532.50	USD	-8,655.00	
19JUL17	B 2 PD05OCT17	9644.18	10532.50	USD	10,659.84	
	NET 0 AVG BGHT 9644.18 SOLD 9115.00				**-6,350.16**	**0.00**
12JUL17	B 1 PD12OCT17	9235.00	10541.38	USD	7,838.28	
12JUL17	B 5 PD12OCT17	9220.00	10541.38	USD	39,641.40	
12JUL17	B 1 PD12OCT17	9205.00	10541.38	USD	8,018.28	
10AUG17	S 4 PD12OCT17	10966.72	10541.38	USD	10,208.16	
23AUG17	S 3 PD12OCT17	11633.06	10541.38	USD	19,650.24	
	NET 0 AVG BGHT 9220.00 SOLD 11252.29				**85,356.36**	**0.00**
13JUL17	B 1 PD13OCT17	9230.00	10542.65	USD	7,875.90	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0025B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
23AUG17	S 1 PD13OCT17	11633.80	10542.65	USD	6,546.90	
	NET 0 AVG BGHT 9230.00 SOLD 11633.80				**14,422.80**	**0.00**
17JUL17	B 1 PD17OCT17	9615.00	10547.73	USD	5,596.38	
23AUG17	S 1 PD17OCT17	11636.76	10547.73	USD	6,534.18	
	NET 0 AVG BGHT 9615.00 SOLD 11636.76				**12,130.56**	**0.00**
20JUL17	B 1 PD20OCT17	9560.00	10550.93	USD	5,945.58	
20JUL17	B 1 PD20OCT17	9600.00	10550.93	USD	5,705.58	
23AUG17	S 2 PD20OCT17	11638.50	10550.93	USD	13,050.84	
	NET 0 AVG BGHT 9580.00 SOLD 11638.50				**24,702.00**	**0.00**
08AUG17	S 1 PD08NOV17	10610.00	10569.25	USD	244.50	
08AUG17	S 2 PD08NOV17	10615.00	10569.25	USD	549.00	
08AUG17	S 1 PD08NOV17	10640.00	10569.25	USD	424.50	
10AUG17	B 4 PD08NOV17	10984.83	10569.25	USD	-9,973.92	
	NET 0 AVG BGHT 10984.83 SOLD 10620.00				**-8,755.92**	**0.00**
10AUG17	S 3 PD10NOV17	10815.00	10571.18	USD	4,388.76	
11AUG17	S 2 PD10NOV17	10640.00	10571.18	USD	825.84	
23AUG17	B 5 PD10NOV17	11654.44	10571.18	USD	-32,497.80	
	NET 0 AVG BGHT 11654.44 SOLD 10745.00				**-27,283.20**	**0.00**
21AUG17	S 1 PD21NOV17	11225.00	10581.31	USD	3,862.14	
21AUG17	S 1 PD21NOV17	11230.00	10581.31	USD	3,892.14	
23AUG17	B 2 PD21NOV17	11659.13	10581.31	USD	-12,933.84	
	NET 0 AVG BGHT 11659.13 SOLD 11227.50				**-5,179.56**	**0.00**
14SEP17	B 1 PD14DEC17	11380.00	10601.69	USD	-4,669.86	
14SEP17	S 1 PD14DEC17	11385.00	10601.69	USD	4,699.86	
	NET 0 AVG BGHT 11380.00 SOLD 11385.00				**30.00**	**0.00**
	CMX SILVER 5,000 CMX					
05SEP17	B 2 DEC17	1796.5	1665.0	USD	-13,150.00	
08SEP17	B 1 DEC17	1821.0	1665.0	USD	-7,800.00	
08SEP17	B 2 DEC17	1820.5	1665.0	USD	-15,550.00	
15SEP17	B 1 DEC17	1775.5	1665.0	USD	-5,525.00	
29SEP17	B 2 DEC17	1674.5	1665.0	USD	-950.00	
	NET +8 AVG BGHT 1772.4				**-42,975.00**	**0.00**
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
20JUL17	B 1 PD20OCT17	2728.00	3282.50	USD	13,862.50	
21JUL17	B 1 PD20OCT17	2753.00	3282.50	USD	13,237.50	
10AUG17	S 2 PD20OCT17	2934.25	3282.50	USD	-17,412.50	
	NET 0 AVG BGHT 2740.50 SOLD 2934.25				**9,687.50**	**0.00**
25JUL17	B 1 PD25OCT17	2824.00	3279.50	USD	11,387.50	
10AUG17	S 1 PD25OCT17	2935.14	3279.50	USD	-8,609.00	
	NET 0 AVG BGHT 2824.00 SOLD 2935.14				**2,778.50**	**0.00**
28JUL17	S 1 PD27OCT17	2772.00	3277.50	USD	-12,637.50	
28JUL17	S 1 PD27OCT17	2784.00	3277.50	USD	-12,337.50	
10AUG17	B 2 PD27OCT17	2935.70	3277.50	USD	17,090.00	
	NET 0 AVG BGHT 2935.70 SOLD 2778.00				**-7,885.00**	**0.00**
31JUL17	S 1 PD31OCT17	2807.00	3275.50	USD	-11,712.50	
10AUG17	B 1 PD31OCT17	2936.41	3275.50	USD	8,477.25	
	NET 0 AVG BGHT 2936.41 SOLD 2807.00				**-3,235.25**	**0.00**
03OCT17	B 2 PD03JAN18	3281.00	3264.00	USD	-850.00	
	NET +2 AVG BGHT 3281.00				**-850.00**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0025B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)

OPEN POSITION VALUE

		USD
NYM Light Sweet Crude Oil	OPTIONS	800.00
LME Copper Grade A 25	FUTURES	-117,616.00
CMX Copper	FUTURES	2,317,812.50
LME Nickel 6 tonnes. This is	FUTURES	89,072.88
CMX Silver	FUTURES	-708,975.00
LME Zinc 25 tonnes. This is	FUTURES	-162,704.25

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options			Amount	Profit / Loss	**Total Amount**	**Total P&L**
COPR - Futures	USD		95,187.50	95,187.50		
SILV - Futures	USD		-42,975.00	-42,975.00	**52,212.50**	**52,212.50**
Unrealised Profits & Losses - Forwards			**Amount**	**Profit / Loss**	**Total Amount**	**Total P&L**
COPL - Futures	USD		44,759.00	44,759.00		
NKL - Futures	USD		89,072.88	89,072.88		
ZINC - Futures	USD		495.75	495.75	**134,327.63**	**134,327.63**
Net Premium Options Market Value						
COIL - Options	USD		-800.00	0.00	**-800.00**	**0.00**

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		238,920.42	238,920.42
Variation Margins	- Futures	52,212.50	52,212.50
	- Options	0.00	0.00
TOTAL EQUITY		**291,132.92**	**291,132.92**
Initial Margins		-144,900.00	-144,900.00
Excess/(Shortage)		**146,232.92**	**146,232.92**
FOR INFORMATION ONLY			
Forward Unrealised P&L		134,327.63	134,327.63
Net Premium Option Market Value		-800.00	-800.00
Net Liquidating Value		**424,660.55**	**424,660.55**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0025C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
04JUL17	B 1 PD04OCT17	5888.00	6467.48	USD	14,487.00	
04JUL17	B 2 PD04OCT17	5890.00	6467.48	USD	28,874.00	
10AUG17	S 3 PD04OCT17	6408.25	6467.48	USD	-4,442.25	
	NET 0 AVG BGHT 5889.33 SOLD 6408.25				**38,918.75**	**0.00**
05JUL17	S 4 PD05OCT17	5836.50	6468.75	USD	-63,225.00	
19JUL17	B 4 PD05OCT17	5962.47	6468.75	USD	50,628.00	
	NET 0 AVG BGHT 5962.47 SOLD 5836.50				**-12,597.00**	**0.00**
17JUL17	B 1 PD17OCT17	6000.50	6483.98	USD	12,087.00	
17JUL17	B 1 PD17OCT17	6001.00	6483.98	USD	12,074.50	
23AUG17	S 2 PD17OCT17	6554.75	6483.98	USD	3,538.50	
	NET 0 AVG BGHT 6000.75 SOLD 6554.75				**27,700.00**	**0.00**
20JUL17	S 2 PD20OCT17	5952.00	6486.75	USD	-26,737.50	
10AUG17	B 2 PD20OCT17	6414.45	6486.75	USD	3,615.00	
	NET 0 AVG BGHT 6414.45 SOLD 5952.00				**-23,122.50**	**0.00**
25JUL17	B 2 PD25OCT17	6181.50	6490.29	USD	15,439.50	
25JUL17	B 2 PD25OCT17	6182.50	6490.29	USD	15,389.50	
25JUL17	B 2 PD25OCT17	6253.00	6490.29	USD	11,864.50	
23AUG17	S 2 PD25OCT17	6555.92	6490.29	USD	3,281.50	
	NET +4 AVG BGHT 6205.67 SOLD 6555.92				**45,975.00**	**0.00**
26JUL17	S 2 PD26OCT17	6387.00	6490.82	USD	-5,191.00	
26JUL17	S 2 PD26OCT17	6396.00	6490.82	USD	-4,741.00	
26JUL17	B 4 PD26OCT17	6272.00	6490.82	USD	21,882.00	
	NET 0 AVG BGHT 6272.00 SOLD 6391.50				**11,950.00**	**0.00**
07AUG17	S 2 PD07NOV17	6376.50	6497.66	USD	-6,058.00	
10AUG17	B 2 PD07NOV17	6421.97	6497.66	USD	3,784.50	
	NET 0 AVG BGHT 6421.97 SOLD 6376.50				**-2,273.50**	**0.00**
18AUG17	S 2 PD17NOV17	6497.50	6501.60	USD	-205.00	
18AUG17	S 2 PD17NOV17	6499.00	6501.60	USD	-130.00	
23AUG17	B 4 PD17NOV17	6563.89	6501.60	USD	-6,229.00	
	NET 0 AVG BGHT 6563.89 SOLD 6498.25				**-6,564.00**	**0.00**
22AUG17	B 1 PD22NOV17	6585.50	6504.60	USD	-2,022.50	
22AUG17	B 2 PD22NOV17	6589.00	6504.60	USD	-4,220.00	
22AUG17	B 2 PD22NOV17	6591.00	6504.60	USD	-4,320.00	
	NET +5 AVG BGHT 6589.10				**-10,562.50**	**0.00**
24AUG17	S 5 PD24NOV17	6615.00	6505.80	USD	13,650.00	
25AUG17	S 2 PD24NOV17	6704.00	6505.80	USD	9,910.00	
	NET -7 AVG SOLD 6640.43				**23,560.00**	**0.00**
29AUG17	S 1 PD29NOV17	6790.00	6508.80	USD	7,030.00	
29AUG17	S 1 PD29NOV17	6791.00	6508.80	USD	7,055.00	
	NET -2 AVG SOLD 6790.50				**14,085.00**	**0.00**
	CMX COPPER 25,000 CMX					
28AUG17	S 17 DEC17	308.750	296.350	USD	52,700.00	
28AUG17	S 5 DEC17	308.800	296.350	USD	15,562.50	
	NET -22 AVG SOLD 308.761				**68,262.50**	**0.00**
	CMX SILVER 5,000 CMX					
15SEP17	B 1 DEC17	1783.0	1665.0	USD	-5,900.00	
15SEP17	B 3 DEC17	1778.0	1665.0	USD	-16,950.00	
	NET +4 AVG BGHT 1779.3				**-22,850.00**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0025C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	108,920.25
CMX Copper	FUTURES	1,698,187.50
CMX Silver	FUTURES	-355,850.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options			Amount	Profit / Loss	**Total Amount**	**Total P&L**
COPR	- Futures	USD	68,262.50	68,262.50		
SILV	- Futures	USD	-22,850.00	-22,850.00	**45,412.50**	**45,412.50**
Unrealised Profits & Losses - Forwards			Amount	Profit / Loss	**Total Amount**	**Total P&L**
COPL	- Futures	USD	107,069.25	107,069.25	**107,069.25**	**107,069.25**

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		33,037.41	33,037.41
Variation Margins	- Futures	45,412.50	45,412.50
	- Options	0.00	0.00
TOTAL EQUITY		**78,449.91**	**78,449.91**
Initial Margins		-92,900.00	-92,900.00
Excess/(Shortage)		**-14,450.09**	**-14,450.09**
FOR INFORMATION ONLY			
Forward Unrealised P&L		107,069.25	107,069.25
Net Liquidating Value		**185,519.16**	**185,519.16**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0025D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	NYM LIGHT SWEET CRUDE OIL 1,000 NYM					
25SEP17	S 15 NOV17 64.00 Call	0.01	0.01	USD	-150.00	0.00
	NET -15 AVG SOLD 0.01				**-150.00**	**0.00**
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
04JUL17	B 1 PD04OCT17	5891.50	6467.48	USD	14,399.50	
19JUL17	S 1 PD04OCT17	5961.79	6467.48	USD	-12,642.25	
	NET 0 AVG BGHT 5891.50 SOLD 5961.79				**1,757.25**	**0.00**
05JUL17	S 1 PD05OCT17	5845.50	6468.75	USD	-15,581.25	
19JUL17	B 1 PD05OCT17	5962.47	6468.75	USD	12,657.00	
	NET 0 AVG BGHT 5962.47 SOLD 5845.50				**-2,924.25**	**0.00**
10JUL17	S 1 PD10OCT17	5826.00	6475.10	USD	-16,227.50	
19JUL17	B 1 PD10OCT17	5964.62	6475.10	USD	12,762.00	
	NET 0 AVG BGHT 5964.62 SOLD 5826.00				**-3,465.50**	**0.00**
12JUL17	B 2 PD12OCT17	5920.00	6477.63	USD	27,881.50	
12JUL17	B 1 PD12OCT17	5923.50	6477.63	USD	13,853.25	
12JUL17	B 1 PD12OCT17	5924.00	6477.63	USD	13,840.75	
12JUL17	S 1 PD12OCT17	5922.00	6477.63	USD	-13,890.75	
19JUL17	S 2 PD12OCT17	5965.25	6477.63	USD	-25,619.00	
10AUG17	S 1 PD12OCT17	6413.02	6477.63	USD	-1,615.25	
	NET 0 AVG BGHT 5921.88 SOLD 6066.38				**14,450.50**	**0.00**
13JUL17	S 1 PD13OCT17	5881.50	6478.90	USD	-14,935.00	
13JUL17	S 1 PD13OCT17	5890.00	6478.90	USD	-14,722.50	
13JUL17	S 1 PD13OCT17	5865.00	6478.90	USD	-15,347.50	
19JUL17	B 3 PD13OCT17	5965.87	6478.90	USD	38,477.25	
	NET 0 AVG BGHT 5965.87 SOLD 5878.83				**-6,527.75**	**0.00**
17JUL17	B 1 PD17OCT17	6008.00	6483.98	USD	11,899.50	
17JUL17	B 1 PD17OCT17	6001.00	6483.98	USD	12,074.50	
10AUG17	S 2 PD17OCT17	6416.00	6483.98	USD	-3,399.00	
	NET 0 AVG BGHT 6004.50 SOLD 6416.00				**20,575.00**	**0.00**
18JUL17	B 1 PD18OCT17	5975.00	6485.25	USD	12,756.25	
10AUG17	S 1 PD18OCT17	6416.25	6485.25	USD	-1,725.00	
	NET 0 AVG BGHT 5975.00 SOLD 6416.25				**11,031.25**	**0.00**
20JUL17	S 1 PD20OCT17	5953.50	6486.75	USD	-13,331.25	
21JUL17	S 1 PD20OCT17	5995.00	6486.75	USD	-12,293.75	
10AUG17	B 2 PD20OCT17	6414.45	6486.75	USD	3,615.00	
	NET 0 AVG BGHT 6414.45 SOLD 5974.25				**-22,010.00**	**0.00**
24JUL17	S 1 PD24OCT17	5999.50	6489.75	USD	-12,256.25	
24JUL17	S 1 PD24OCT17	6029.00	6489.75	USD	-11,518.75	
10AUG17	B 1 PD24OCT17	6416.16	6489.75	USD	1,839.75	
10AUG17	B 1 PD24OCT17	6415.96	6489.75	USD	1,844.75	
	NET 0 AVG BGHT 6416.06 SOLD 6014.25				**-20,090.50**	**0.00**
25JUL17	B 2 PD25OCT17	6192.00	6490.29	USD	14,914.50	
25JUL17	B 1 PD25OCT17	6218.00	6490.29	USD	6,807.25	
25JUL17	B 2 PD25OCT17	6262.50	6490.29	USD	11,389.50	
10AUG17	S 1 PD25OCT17	6416.19	6490.29	USD	-1,852.50	
10AUG17	S 2 PD25OCT17	6416.39	6490.29	USD	-3,695.00	
23AUG17	S 2 PD25OCT17	6555.92	6490.29	USD	3,281.50	
	NET 0 AVG BGHT 6225.40 SOLD 6472.16				**30,845.25**	**0.00**
26JUL17	S 1 PD26OCT17	6390.50	6490.82	USD	-2,508.00	
26JUL17	B 1 PD26OCT17	6302.00	6490.82	USD	4,720.50	
26JUL17	B 1 PD26OCT17	6295.00	6490.82	USD	4,895.50	
26JUL17	B 1 PD26OCT17	6277.00	6490.82	USD	5,345.50	
23AUG17	S 2 PD26OCT17	6556.50	6490.82	USD	3,284.00	
	NET 0 AVG BGHT 6291.33 SOLD 6501.17				**15,737.50**	**0.00**
31JUL17	S 1 PD31OCT17	6374.00	6493.50	USD	-2,987.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0025D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
10AUG17	B 1 PD31OCT17	6419.09	6493.50	USD	1,860.25	
	NET 0 AVG BGHT 6419.09 SOLD 6374.00				**-1,127.25**	**0.00**
02AUG17	B 1 PD02NOV17	6330.00	6494.69	USD	4,117.25	
02AUG17	B 1 PD02NOV17	6350.00	6494.69	USD	3,617.25	
23AUG17	S 2 PD02NOV17	6560.00	6494.69	USD	3,265.50	
	NET 0 AVG BGHT 6340.00 SOLD 6560.00				**11,000.00**	**0.00**
03AUG17	S 1 PD03NOV17	6344.00	6495.28	USD	-3,782.00	
03AUG17	S 1 PD03NOV17	6354.00	6495.28	USD	-3,532.00	
10AUG17	B 2 PD03NOV17	6420.33	6495.28	USD	3,747.50	
	NET 0 AVG BGHT 6420.33 SOLD 6349.00				**-3,566.50**	**0.00**
08AUG17	B 4 PD08NOV17	6484.00	6498.25	USD	1,425.00	
23AUG17	S 1 PD08NOV17	6563.00	6498.25	USD	1,618.75	
	NET +3 AVG BGHT 6484.00 SOLD 6563.00				**3,043.75**	**0.00**
10AUG17	S 1 PD10NOV17	6410.50	6499.18	USD	-2,217.00	
10AUG17	S 1 PD10NOV17	6414.00	6499.18	USD	-2,129.50	
11AUG17	S 1 PD10NOV17	6423.00	6499.18	USD	-1,904.50	
23AUG17	B 3 PD10NOV17	6564.20	6499.18	USD	-4,876.50	
	NET 0 AVG BGHT 6564.20 SOLD 6415.83				**-11,127.50**	**0.00**
14AUG17	S 1 PD14NOV17	6398.50	6501.04	USD	-2,563.50	
14AUG17	B 1 PD14NOV17	6391.50	6501.04	USD	2,738.50	
	NET 0 AVG BGHT 6391.50 SOLD 6398.50				**175.00**	**0.00**
16AUG17	S 1 PD16NOV17	6384.00	6501.00	USD	-2,925.00	
16AUG17	B 1 PD16NOV17	6490.50	6501.00	USD	262.50	
16AUG17	B 1 PD16NOV17	6528.00	6501.00	USD	-675.00	
16AUG17	B 1 PD16NOV17	6552.50	6501.00	USD	-1,287.50	
16AUG17	B 1 PD16NOV17	6538.50	6501.00	USD	-937.50	
16AUG17	B 1 PD16NOV17	6538.00	6501.00	USD	-925.00	
16AUG17	B 1 PD16NOV17	6539.00	6501.00	USD	-950.00	
	NET +5 AVG BGHT 6531.08 SOLD 6384.00				**-7,437.50**	**0.00**
18AUG17	S 1 PD17NOV17	6482.00	6501.60	USD	-490.00	
18AUG17	S 1 PD17NOV17	6492.00	6501.60	USD	-240.00	
18AUG17	S 1 PD17NOV17	6496.00	6501.60	USD	-140.00	
18AUG17	S 1 PD17NOV17	6485.00	6501.60	USD	-415.00	
18AUG17	B 1 PD17NOV17	6485.00	6501.60	USD	415.00	
18AUG17	B 1 PD17NOV17	6487.00	6501.60	USD	365.00	
18AUG17	S 2 PD17NOV17	6481.00	6501.60	USD	-1,030.00	
23AUG17	B 4 PD17NOV17	6563.89	6501.60	USD	-6,229.00	
	NET 0 AVG BGHT 6537.93 SOLD 6486.17				**-7,764.00**	**0.00**
21AUG17	B 1 PD21NOV17	6583.50	6504.00	USD	-1,987.50	
21AUG17	B 1 PD21NOV17	6595.00	6504.00	USD	-2,275.00	
21AUG17	B 1 PD21NOV17	6572.50	6504.00	USD	-1,712.50	
21AUG17	B 2 PD21NOV17	6576.00	6504.00	USD	-3,600.00	
21AUG17	B 1 PD21NOV17	6576.50	6504.00	USD	-1,812.50	
	NET +6 AVG BGHT 6579.92				**-11,387.50**	**0.00**
22AUG17	B 1 PD22NOV17	6586.00	6504.60	USD	-2,035.00	
22AUG17	B 1 PD22NOV17	6590.00	6504.60	USD	-2,135.00	
	NET +2 AVG BGHT 6588.00				**-4,170.00**	**0.00**
24AUG17	S 2 PD24NOV17	6618.50	6505.80	USD	5,635.00	
24AUG17	S 1 PD24NOV17	6630.00	6505.80	USD	3,105.00	
24AUG17	S 2 PD24NOV17	6634.00	6505.80	USD	6,410.00	
24AUG17	S 1 PD24NOV17	6636.50	6505.80	USD	3,267.50	
24AUG17	S 1 PD24NOV17	6716.50	6505.80	USD	5,267.50	
24AUG17	S 2 PD24NOV17	6697.00	6505.80	USD	9,560.00	
25AUG17	S 2 PD24NOV17	6699.00	6505.80	USD	9,660.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0025D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
25AUG17	S 1 PD24NOV17	6701.00	6505.80	USD	4,880.00	
25AUG17	S 1 PD24NOV17	6704.50	6505.80	USD	4,967.50	
	NET -13 AVG SOLD 6668.12				**52,752.50**	**0.00**
29AUG17	S 1 PD29NOV17	6778.50	6508.80	USD	6,742.50	
	NET -1 AVG SOLD 6778.50				**6,742.50**	**0.00**
07SEP17	S 1 PD07DEC17	6905.00	6512.57	USD	9,810.75	
	NET -1 AVG SOLD 6905.00				**9,810.75**	**0.00**
11SEP17	S 1 PD11DEC17	6747.50	6514.19	USD	5,832.75	
	NET -1 AVG SOLD 6747.50				**5,832.75**	**0.00**
	CMX COPPER 25,000 CMX					
08AUG17	S 4 DEC17	296.200	296.350	USD	-150.00	
18AUG17	S 2 DEC17	296.000	296.350	USD	-175.00	
18AUG17	S 1 DEC17	296.100	296.350	USD	-62.50	
18AUG17	S 2 DEC17	296.350	296.350	USD	0.00	
18AUG17	S 2 DEC17	296.050	296.350	USD	-150.00	
24AUG17	S 1 DEC17	305.750	296.350	USD	2,350.00	
25AUG17	S 3 DEC17	307.000	296.350	USD	7,987.50	
25AUG17	S 1 DEC17	307.400	296.350	USD	2,762.50	
25AUG17	S 2 DEC17	307.350	296.350	USD	5,500.00	
28AUG17	S 1 DEC17	308.550	296.350	USD	3,050.00	
28AUG17	S 1 DEC17	309.650	296.350	USD	3,325.00	
28AUG17	S 1 DEC17	308.750	296.350	USD	3,100.00	
28AUG17	S 1 DEC17	308.700	296.350	USD	3,087.50	
29AUG17	S 1 DEC17	309.200	296.350	USD	3,212.50	
29AUG17	S 1 DEC17	310.750	296.350	USD	3,600.00	
29AUG17	S 1 DEC17	311.050	296.350	USD	3,675.00	
29AUG17	S 1 DEC17	311.150	296.350	USD	3,700.00	
29AUG17	S 1 DEC17	310.100	296.350	USD	3,437.50	
29AUG17	S 2 DEC17	310.150	296.350	USD	6,900.00	
29AUG17	S 1 DEC17	310.350	296.350	USD	3,500.00	
31AUG17	S 1 DEC17	311.850	296.350	USD	3,875.00	
31AUG17	S 1 DEC17	311.900	296.350	USD	3,887.50	
	NET -32 AVG SOLD 304.652				**66,412.50**	**0.00**
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
06JUL17	S 1 PD06OCT17	9120.00	10533.77	USD	-8,482.62	
19JUL17	B 1 PD06OCT17	9644.86	10533.77	USD	5,333.46	
	NET 0 AVG BGHT 9644.86 SOLD 9120.00				**-3,149.16**	**0.00**
12JUL17	B 2 PD12OCT17	9220.00	10541.38	USD	15,856.56	
10AUG17	S 2 PD12OCT17	10966.72	10541.38	USD	5,104.08	
	NET 0 AVG BGHT 9220.00 SOLD 10966.72				**20,960.64**	**0.00**
14JUL17	B 1 PD13OCT17	9550.00	10542.65	USD	5,955.90	
14JUL17	B 1 PD13OCT17	9540.00	10542.65	USD	6,015.90	
10AUG17	S 2 PD13OCT17	10967.52	10542.65	USD	5,098.44	
	NET 0 AVG BGHT 9545.00 SOLD 10967.52				**17,070.24**	**0.00**
17JUL17	B 1 PD17OCT17	9620.00	10547.73	USD	5,566.38	
10AUG17	S 1 PD17OCT17	10970.70	10547.73	USD	2,537.82	
	NET 0 AVG BGHT 9620.00 SOLD 10970.70				**8,104.20**	**0.00**
02AUG17	S 1 PD02NOV17	10345.00	10563.46	USD	-1,310.76	
10AUG17	B 1 PD02NOV17	10981.30	10563.46	USD	-2,507.04	
	NET 0 AVG BGHT 10981.30 SOLD 10345.00				**-3,817.80**	**0.00**
03AUG17	S 1 PD03NOV17	10320.00	10564.43	USD	-1,466.58	
04AUG17	S 2 PD03NOV17	10335.00	10564.43	USD	-2,753.16	
10AUG17	B 3 PD03NOV17	10981.89	10564.43	USD	-7,514.28	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0025D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
	NET 0 AVG BGHT 10981.89 SOLD 10330.00				**-11,734.02**	**0.00**
07AUG17	S 1 PD07NOV17	10360.00	10568.29	USD	-1,249.74	
07AUG17	S 1 PD07NOV17	10380.00	10568.29	USD	-1,129.74	
10AUG17	B 2 PD07NOV17	10984.24	10568.29	USD	-4,991.40	
	NET 0 AVG BGHT 10984.24 SOLD 10370.00				**-7,370.88**	**0.00**
08AUG17	S 1 PD08NOV17	10330.00	10569.25	USD	-1,435.50	
08AUG17	S 1 PD08NOV17	10335.00	10569.25	USD	-1,405.50	
10AUG17	B 2 PD08NOV17	10984.83	10569.25	USD	-4,986.96	
	NET 0 AVG BGHT 10984.83 SOLD 10332.50				**-7,827.96**	**0.00**
	CMX SILVER 5,000 CMX					
08SEP17	B 1 DEC17	1813.5	1665.0	USD	-7,425.00	
	NET +1 AVG BGHT 1813.5				**-7,425.00**	**0.00**
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
20JUL17	B 1 PD20OCT17	2728.00	3282.50	USD	13,862.50	
10AUG17	S 1 PD20OCT17	2934.25	3282.50	USD	-8,706.25	
	NET 0 AVG BGHT 2728.00 SOLD 2934.25				**5,156.25**	**0.00**
28JUL17	S 1 PD27OCT17	2784.00	3277.50	USD	-12,337.50	
10AUG17	B 1 PD27OCT17	2935.70	3277.50	USD	8,545.00	
	NET 0 AVG BGHT 2935.70 SOLD 2784.00				**-3,792.50**	**0.00**
03OCT17	B 3 PD03JAN18	3281.00	3264.00	USD	-1,275.00	
03OCT17	B 1 PD03JAN18	3282.00	3264.00	USD	-450.00	
	NET +4 AVG BGHT 3281.25				**-1,725.00**	**0.00**

OPEN POSITION VALUE

		USD
NYM Light Sweet Crude Oil	OPTIONS	150.00
LME Copper Grade A 25	FUTURES	84,106.00
CMX Copper	FUTURES	2,437,212.50
LME Nickel 6 tonnes. This is	FUTURES	12,235.26
CMX Silver	FUTURES	-90,675.00
LME Zinc 25 tonnes. This is	FUTURES	-326,761.25

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options			Amount	Profit / Loss	**Total Amount**	**Total P&L**
COPR	- Futures	USD	66,412.50	66,412.50		
SILV	- Futures	USD	-7,425.00	-7,425.00	**58,987.50**	**58,987.50**
Unrealised Profits & Losses - Forwards			**Amount**	**Profit / Loss**	**Total Amount**	**Total P&L**
COPL	- Futures	USD	82,155.75	82,155.75		
NKL	- Futures	USD	12,235.26	12,235.26		
ZINC	- Futures	USD	-361.25	-361.25	**94,029.76**	**94,029.76**
Net Premium Options Market Value						
COIL	- Options	USD	-150.00	0.00	**-150.00**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0025D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		201,610.46	201,610.46
Variation Margins	- Futures	58,987.50	58,987.50
	- Options	0.00	0.00
TOTAL EQUITY		**260,597.96**	**260,597.96**
Initial Margins		-107,300.00	-107,300.00
Excess/(Shortage)		**153,297.96**	**153,297.96**
FOR INFORMATION ONLY			
Forward Unrealised P&L		94,029.76	94,029.76
Net Premium Option Market Value		-150.00	-150.00
Net Liquidating Value		**354,477.72**	**354,477.72**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0025E

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		EUR	USD	NETT USD
		(1.17550)	(1.00000)	(1.00000)
CASH BALANCE		-110.00	-2,847.17	-2,976.47
Variation Margins	- Futures	0.00	0.00	0.00
	- Options	0.00	0.00	0.00
TOTAL EQUITY		**-110.00**	**-2,847.17**	**-2,976.47**
Initial Margins		0.00	0.00	0.00
Excess/(Shortage)		**-110.00**	**-2,847.17**	**-2,976.47**
FOR INFORMATION ONLY				
Net Liquidating Value		**-110.00**	**-2,847.17**	**-2,976.47**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0025F

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		EUR	USD	NETT USD
		(1.17550)	(1.00000)	(1.00000)
CASH BALANCE		-55.00	-3,532.18	-3,596.83
Variation Margins	- Futures	0.00	0.00	0.00
	- Options	0.00	0.00	0.00
TOTAL EQUITY		**-55.00**	**-3,532.18**	**-3,596.83**
Initial Margins		0.00	0.00	0.00
Excess/(Shortage)		**-55.00**	**-3,532.18**	**-3,596.83**
FOR INFORMATION ONLY				
Net Liquidating Value		**-55.00**	**-3,532.18**	**-3,596.83**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0025G

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-704.65	-704.65
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**-704.65**	**-704.65**
Initial Margins		0.00	0.00
Excess/(Shortage)		**-704.65**	**-704.65**
FOR INFORMATION ONLY			
Net Liquidating Value		**-704.65**	**-704.65**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0025H

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-4,522.16	-4,522.16
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**-4,522.16**	**-4,522.16**
Initial Margins		0.00	0.00
Excess/(Shortage)		**-4,522.16**	**-4,522.16**
FOR INFORMATION ONLY			
Net Liquidating Value		**-4,522.16**	**-4,522.16**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0025I

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	3 MONTH EURO (EURIBOR) 2,500 IFL					
22SEP17	B 100 DEC17	100.3200	100.3200	EUR	0.00	
22SEP17	B 200 DEC17	100.3250	100.3200	EUR	-2,500.00	
	NET +300 AVG BGHT 100.3233				**-2,500.00**	**0.00**
22SEP17	S 100 MAR18	100.3050	100.3050	EUR	0.00	
22SEP17	S 200 MAR18	100.3100	100.3050	EUR	2,500.00	
	NET -300 AVG SOLD 100.3083				**2,500.00**	**0.00**
	NYM LIGHT SWEET CRUDE OIL 1,000 NYM					
20SEP17	S 50 NOV17 63.50 Call	0.01	0.01	USD	-500.00	0.00
	NET -50 AVG SOLD 0.01				**-500.00**	**0.00**
20SEP17	S 50 NOV17 64.00 Call	0.01	0.01	USD	-500.00	0.00
25SEP17	S 25 NOV17 64.00 Call	0.01	0.01	USD	-250.00	0.00
	NET -75 AVG SOLD 0.01				**-750.00**	**0.00**
08SEP17	S 25 NOV17 35.00 Put	0.01	0.01	USD	-250.00	0.00
	NET -25 AVG SOLD 0.01				**-250.00**	**0.00**

OPEN POSITION VALUE

		EUR	USD
3 Month Euro (Euribor)	FUTURES	-11,250.00	
NYM Light Sweet Crude Oil	OPTIONS		1,500.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options		Amount	Profit / Loss	**Total Amount**	**Total P&L**
EURL - Futures	EUR	0.00	0.00	**0.00**	**0.00**
Net Premium Options Market Value					
COIL - Options	USD	-1,500.00	0.00	**-1,500.00**	**0.00**

ACCOUNT FINANCIAL SUMMARY

		EUR	USD	NETT USD
		(1.17550)	(1.00000)	(1.00000)
CASH BALANCE		-360.00	3,520.46	3,097.28
Variation Margins	- Futures	0.00	0.00	0.00
	- Options	0.00	0.00	0.00
TOTAL EQUITY		**-360.00**	**3,520.46**	**3,097.28**
Initial Margins		-25,017.00	-6,000.00	-35,407.48
Excess/(Shortage)		**-25,377.00**	**-2,479.54**	**-32,310.20**
FOR INFORMATION ONLY				
Net Premium Option Market Value		0.00	-1,500.00	-1,500.00
Net Liquidating Value		**-360.00**	**2,020.46**	**1,597.28**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0025J

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	NYM LIGHT SWEET CRUDE OIL 1,000 NYM								
02OCT17	B	1	NOV17	52.00 Call	0.39	0.39	USD	390.00	0.00
02OCT17	B	1	NOV17	52.00 Call	0.36	0.39	USD	390.00	30.00
	NET	**+2**	**AVG BGHT 0.38**					**780.00**	**30.00**
02OCT17	S	1	NOV17	53.00 Call	0.23	0.20	USD	-200.00	30.00
02OCT17	S	1	NOV17	53.00 Call	0.25	0.20	USD	-200.00	50.00
	NET	**-2**	**AVG SOLD 0.24**					**-400.00**	**80.00**
02OCT17	B	1	NOV17	54.00 Call	0.13	0.10	USD	100.00	-30.00
	NET	**+1**	**AVG BGHT 0.13**					**100.00**	**-30.00**
02OCT17	S	1	NOV17	54.50 Call	0.10	0.07	USD	-70.00	30.00
02OCT17	S	1	NOV17	54.50 Call	0.09	0.07	USD	-70.00	20.00
	NET	**-2**	**AVG SOLD 0.10**					**-140.00**	**50.00**
27SEP17	B	1	NOV17	56.00 Call	0.17	0.03	USD	30.00	-140.00
	NET	**+1**	**AVG BGHT 0.17**					**30.00**	**-140.00**
27SEP17	S	8	NOV17	56.50 Call	0.13	0.02	USD	-160.00	880.00
	NET	**-8**	**AVG SOLD 0.13**					**-160.00**	**880.00**
25SEP17	S	6	NOV17	59.00 Call	0.06	0.01	USD	-60.00	300.00
25SEP17	S	8	NOV17	59.00 Call	0.07	0.01	USD	-80.00	480.00
	NET	**-14**	**AVG SOLD 0.07**					**-140.00**	**780.00**
20SEP17	S	51	NOV17	43.00 Put	0.04	0.01	USD	-510.00	1,530.00
	NET	**-51**	**AVG SOLD 0.04**					**-510.00**	**1,530.00**
20SEP17	S	4	NOV17	46.00 Put	0.13	0.07	USD	-280.00	240.00
20SEP17	S	2	NOV17	46.00 Put	0.14	0.07	USD	-140.00	140.00
25SEP17	S	4	NOV17	46.00 Put	0.08	0.07	USD	-280.00	40.00
27SEP17	S	2	NOV17	46.00 Put	0.06	0.07	USD	-140.00	-20.00
	NET	**-12**	**AVG SOLD 0.10**					**-840.00**	**400.00**
02OCT17	S	2	NOV17	47.00 Put	0.08	0.13	USD	-260.00	-100.00
03OCT17	S	1	NOV17	47.00 Put	0.13	0.13	USD	-130.00	0.00
	NET	**-3**	**AVG SOLD 0.10**					**-390.00**	**-100.00**
20SEP17	B	1	NOV17	48.00 Put	0.37	0.24	USD	240.00	-130.00
	NET	**+1**	**AVG BGHT 0.37**					**240.00**	**-130.00**
25SEP17	B	1	NOV17	49.00 Put	0.30	0.44	USD	440.00	140.00
25SEP17	B	1	NOV17	49.00 Put	0.28	0.44	USD	440.00	160.00
25SEP17	B	2	NOV17	49.00 Put	0.29	0.44	USD	880.00	300.00
	NET	**+4**	**AVG BGHT 0.29**					**1,760.00**	**600.00**

OPEN POSITION VALUE

		USD
NYM Light Sweet Crude Oil	OPTIONS	3,620.00

MARKET REVALUATION BREAKDOWN

Net Premium Options Market Value			Amount	Profit / Loss	**Total Amount**	**Total P&L**
COIL	- Options	USD	330.00	3,950.00	**330.00**	**3,950.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0025J

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		2,096.40	2,096.40
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**2,096.40**	**2,096.40**
Initial Margins		-18,157.00	-18,157.00
Excess/(Shortage)		**-16,060.60**	**-16,060.60**
FOR INFORMATION ONLY			
Net Premium Option Market Value		330.00	330.00
Net Liquidating Value		**2,426.40**	**2,426.40**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0025K

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-1,095.00	-1,095.00
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**-1,095.00**	**-1,095.00**
Initial Margins		0.00	0.00
Excess/(Shortage)		**-1,095.00**	**-1,095.00**
FOR INFORMATION ONLY			
Net Liquidating Value		**-1,095.00**	**-1,095.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0025P

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
26SEP17	B 2 PD27DEC17	2127.00	2129.38	USD	119.00	
26SEP17	S 2 PD27DEC17	2132.50	2129.38	USD	156.00	
26SEP17	S 2 PD27DEC17	2130.50	2129.38	USD	56.00	
26SEP17	B 2 PD27DEC17	2123.00	2129.38	USD	319.00	
26SEP17	B 2 PD27DEC17	2126.00	2129.38	USD	169.00	
27SEP17	S 2 PD27DEC17	2141.00	2129.38	USD	581.00	
	NET 0 AVG BGHT 2125.33 SOLD 2134.67				**1,400.00**	**0.00**
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
22SEP17	B 2 PD22DEC17	2397.50	2592.07	USD	9,728.50	
22SEP17	B 1 PD22DEC17	2483.00	2592.07	USD	2,726.75	
22SEP17	B 1 PD22DEC17	2483.50	2592.07	USD	2,714.25	
22SEP17	S 2 PD22DEC17	2472.00	2592.07	USD	-6,003.50	
22SEP17	S 2 PD22DEC17	2479.00	2592.07	USD	-5,653.50	
	NET 0 AVG BGHT 2440.38 SOLD 2475.50				**3,512.50**	**0.00**
25SEP17	B 2 PD27DEC17	2487.50	2591.86	USD	5,218.00	
25SEP17	B 2 PD27DEC17	2501.00	2591.86	USD	4,543.00	
25SEP17	S 2 PD27DEC17	2494.50	2591.86	USD	-4,868.00	
27SEP17	B 1 PD27DEC17	2460.50	2591.86	USD	3,284.00	
27SEP17	B 1 PD27DEC17	2460.50	2591.86	USD	3,284.00	
	NET +4 AVG BGHT 2483.00 SOLD 2494.50				**11,461.00**	**0.00**

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	1,400.00
LME Lead 25 tonnes. This is an	FUTURES	-244,212.50

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards		Amount	Profit / Loss	**Total Amount**	**Total P&L**
ALUL	- Futures USD	1,400.00	1,400.00		
LEAD	- Futures USD	14,973.50	14,973.50	**16,373.50**	**16,373.50**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0025P

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		14,223.76	14,223.76
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**14,223.76**	**14,223.76**
Initial Margins		-3,570.50	-3,570.50
Excess/(Shortage)		**10,653.26**	**10,653.26**
FOR INFORMATION ONLY			
Forward Unrealised P&L		16,373.50	16,373.50
Net Liquidating Value		**30,597.26**	**30,597.26**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0025R

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-93.00	-93.00
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**-93.00**	**-93.00**
Initial Margins		0.00	0.00
Excess/(Shortage)		**-93.00**	**-93.00**
FOR INFORMATION ONLY			
Net Liquidating Value		**-93.00**	**-93.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0025Z

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	NYM LIGHT SWEET CRUDE OIL 1,000 NYM							
19SEP17	B	1	NOV17 53.00 Call	0.38	0.20	USD	200.00	-180.00
20SEP17	B	1	NOV17 53.00 Call	0.56	0.20	USD	200.00	-360.00
20SEP17	B	1	NOV17 53.00 Call	0.54	0.20	USD	200.00	-340.00
21SEP17	B	1	NOV17 53.00 Call	0.41	0.20	USD	200.00	-210.00
	NET	**+4**	**AVG BGHT 0.47**				**800.00**	**-1,090.00**
20SEP17	S	3	NOV17 56.00 Call	0.15	0.03	USD	-90.00	360.00
21SEP17	S	3	NOV17 56.00 Call	0.11	0.03	USD	-90.00	240.00
27SEP17	S	1	NOV17 56.00 Call	0.16	0.03	USD	-30.00	130.00
02OCT17	S	3	NOV17 56.00 Call	0.05	0.03	USD	-90.00	60.00
02OCT17	S	7	NOV17 56.00 Call	0.04	0.03	USD	-210.00	70.00
	NET	**-17**	**AVG SOLD 0.08**				**-510.00**	**860.00**
21SEP17	S	1	NOV17 58.00 Call	0.06	0.01	USD	-10.00	50.00
22SEP17	S	14	NOV17 58.00 Call	0.06	0.01	USD	-140.00	700.00
22SEP17	S	1	NOV17 58.00 Call	0.05	0.01	USD	-10.00	40.00
25SEP17	S	1	NOV17 58.00 Call	0.07	0.01	USD	-10.00	60.00
27SEP17	S	4	NOV17 58.00 Call	0.07	0.01	USD	-40.00	240.00
27SEP17	S	3	NOV17 58.00 Call	0.06	0.01	USD	-30.00	150.00
28SEP17	S	4	NOV17 58.00 Call	0.08	0.01	USD	-40.00	280.00
28SEP17	S	1	NOV17 58.00 Call	0.07	0.01	USD	-10.00	60.00
	NET	**-29**	**AVG SOLD 0.06**				**-290.00**	**1,580.00**
20SEP17	S	6	NOV17 43.50 Put	0.05	0.02	USD	-120.00	180.00
21SEP17	S	1	NOV17 43.50 Put	0.07	0.02	USD	-20.00	50.00
25SEP17	S	3	NOV17 43.50 Put	0.03	0.02	USD	-60.00	30.00
	NET	**-10**	**AVG SOLD 0.05**				**-200.00**	**260.00**
26SEP17	S	10	NOV17 45.00 Put	0.04	0.04	USD	-400.00	0.00
02OCT17	S	4	NOV17 45.00 Put	0.05	0.04	USD	-160.00	40.00
	NET	**-14**	**AVG SOLD 0.04**				**-560.00**	**40.00**
26SEP17	S	5	NOV17 46.50 Put	0.08	0.09	USD	-450.00	-50.00
26SEP17	S	1	NOV17 46.50 Put	0.07	0.09	USD	-90.00	-20.00
27SEP17	S	2	NOV17 46.50 Put	0.07	0.09	USD	-180.00	-40.00
03OCT17	S	1	NOV17 46.50 Put	0.09	0.09	USD	-90.00	0.00
	NET	**-9**	**AVG SOLD 0.08**				**-810.00**	**-110.00**
26SEP17	S	2	NOV17 47.00 Put	0.10	0.13	USD	-260.00	-60.00
28SEP17	S	4	NOV17 47.00 Put	0.07	0.13	USD	-520.00	-240.00
29SEP17	S	1	NOV17 47.00 Put	0.09	0.13	USD	-130.00	-40.00
03OCT17	S	1	NOV17 47.00 Put	0.13	0.13	USD	-130.00	0.00
	NET	**-8**	**AVG SOLD 0.09**				**-1,040.00**	**-340.00**
02OCT17	B	2	NOV17 48.00 Put	0.27	0.24	USD	480.00	-60.00
03OCT17	B	1	NOV17 48.00 Put	0.24	0.24	USD	240.00	0.00
	NET	**+3**	**AVG BGHT 0.26**				**720.00**	**-60.00**
26SEP17	B	1	NOV17 49.00 Put	0.31	0.44	USD	440.00	130.00
27SEP17	B	1	NOV17 49.00 Put	0.26	0.44	USD	440.00	180.00
02OCT17	B	1	NOV17 49.00 Put	0.48	0.44	USD	440.00	-40.00
02OCT17	B	1	NOV17 49.00 Put	0.46	0.44	USD	440.00	-20.00
	NET	**+4**	**AVG BGHT 0.38**				**1,760.00**	**250.00**

OPEN POSITION VALUE

		USD
NYM Light Sweet Crude Oil	OPTIONS	1,520.00

Group No: SAPG0025

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0025Z

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

MARKET REVALUATION BREAKDOWN

Net Premium Options Market Value			Amount	Profit / Loss	**Total Amount**	**Total P&L**
COIL	- Options	USD	-130.00	1,390.00	**-130.00**	**1,390.00**

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		3,889.06	3,889.06
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**3,889.06**	**3,889.06**
Initial Margins		-18,531.00	-18,531.00
Excess/(Shortage)		**-14,641.94**	**-14,641.94**
FOR INFORMATION ONLY			
Net Premium Option Market Value		-130.00	-130.00
Net Liquidating Value		**3,759.06**	**3,759.06**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED
SAP0025
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPG0025**

3rd Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

GROUP FINANCIAL SUMMARY

		EUR (1.17550)	GBP (1.32460)	USD (1.00000)
CASH BALANCE		-5,485.71	-649.17	516,623.24
Variation Margins	- Futures	0.00	0.00	236,957.50
	- Options	0.00	0.00	0.00
TOTAL EQUITY		**-5,485.71**	**-649.17**	**753,580.74**
Initial Margins		-25,017.00	0.00	-471,506.00
Excess/(Shortage)		**-30,502.71**	**-649.17**	**282,074.74**
FOR INFORMATION ONLY				
Forward Unrealised P&L		0.00	0.00	527,481.82
Net Premium Option Market Value		0.00	0.00	-2,920.00
Net Liquidating Value		**-5,485.71**	**-649.17**	**1,278,142.56**

		NET USD (1.00000)
CASH BALANCE		509,314.90
Variation Margins	- Futures	236,957.50
	- Options	0.00
TOTAL EQUITY		**746,272.40**
Initial Margins		-500,913.48
Excess/(Shortage)		**245,358.92**
FOR INFORMATION ONLY		
Forward Unrealised P&L		527,481.82
Net Premium Option Market Value		-2,920.00
Net Liquidating Value		**1,270,834.22**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0030A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	DOW JONES EURO STOXX 50 10 ERX					
29AUG17	S 100 OCT17 2450.00 Put	0.80	0.10	EUR	-100.00	700.00
	NET -100 AVG SOLD 0.80				**-100.00**	**700.00**
11AUG17	S 100 DEC17 1850.00 Put	1.20	0.10	EUR	-100.00	1,100.00
	NET -100 AVG SOLD 1.20				**-100.00**	**1,100.00**

OPEN POSITION VALUE

		EUR
Dow Jones EURO Stoxx 50	OPTIONS	2,000.00

MARKET REVALUATION BREAKDOWN

Net Premium Options Market Value		Amount	Profit / Loss	**Total Amount**	**Total P&L**
EDOX - Options	EUR	-200.00	1,800.00	**-200.00**	**1,800.00**

ACCOUNT FINANCIAL SUMMARY

		EUR	GBP	USD
		(1.17550)	(1.32460)	(1.00000)
CASH BALANCE		106,960.53	-16,998.30	57,976.10
Variation Margins	- Futures	0.00	0.00	0.00
	- Options	0.00	0.00	0.00
TOTAL EQUITY		**106,960.53**	**-16,998.30**	**57,976.10**
Initial Margins		-422.58	0.00	0.00
Excess/(Shortage)		**106,537.95**	**-16,998.30**	**57,976.10**
FOR INFORMATION ONLY				
Net Premium Option Market Value		-200.00	0.00	0.00
Net Liquidating Value		**106,760.53**	**-16,998.30**	**57,976.10**

		NETT USD
		(1.00000)
CASH BALANCE		161,192.25
Variation Margins	- Futures	0.00
	- Options	0.00
TOTAL EQUITY		**161,192.25**
Initial Margins		-496.74
Excess/(Shortage)		**160,695.51**
FOR INFORMATION ONLY		
Net Premium Option Market Value		-235.10
Net Liquidating Value		**160,957.15**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED
SAP0030
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPG0030**

3rd Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

GROUP FINANCIAL SUMMARY

		EUR (1.17550)	GBP (1.32460)	USD (1.00000)
CASH BALANCE		106,960.53	-16,998.30	57,976.10
Variation Margins	- Futures	0.00	0.00	0.00
	- Options	0.00	0.00	0.00
TOTAL EQUITY		**106,960.53**	**-16,998.30**	**57,976.10**
Initial Margins		-422.58	0.00	0.00
Excess/(Shortage)		**106,537.95**	**-16,998.30**	**57,976.10**
FOR INFORMATION ONLY				
Net Premium Option Market Value		-200.00	0.00	0.00
Net Liquidating Value		**106,760.53**	**-16,998.30**	**57,976.10**

		NET USD (1.00000)
CASH BALANCE		161,192.25
Variation Margins	- Futures	0.00
	- Options	0.00
TOTAL EQUITY		**161,192.25**
Initial Margins		-496.74
Excess/(Shortage)		**160,695.51**
FOR INFORMATION ONLY		
Net Premium Option Market Value		-235.10
Net Liquidating Value		**160,957.15**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0034

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		EUR	GBP	JPY
		(1.17550)	(1.32460)	(112.76500)
CASH BALANCE		15,838.21	-12,605.90	-397,318
Variation Margins	- Futures	0.00	0.00	0
	- Options	0.00	0.00	0
TOTAL EQUITY		**15,838.21**	**-12,605.90**	**-397,318**
Initial Margins		0.00	0.00	0
Excess/(Shortage)		**15,838.21**	**-12,605.90**	**-397,318**
FOR INFORMATION ONLY				
Net Liquidating Value		**15,838.21**	**-12,605.90**	**-397,318**

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		703,017.35	701,413.97
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**703,017.35**	**701,413.97**
Initial Margins		0.00	0.00
Excess/(Shortage)		**703,017.35**	**701,413.97**
FOR INFORMATION ONLY			
Net Liquidating Value		**703,017.35**	**701,413.97**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0034D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
07JUL17	B 1 PD06OCT17	2295.50	2585.23	USD	7,243.25	
11JUL17	S 1 PD06OCT17	2303.82	2585.23	USD	-7,035.25	
	NET 0 AVG BGHT 2295.50 SOLD 2303.82				**208.00**	**0.00**
10JUL17	S 1 PD10OCT17	2282.50	2586.15	USD	-7,591.25	
11JUL17	B 1 PD10OCT17	2304.96	2586.15	USD	7,029.75	
	NET 0 AVG BGHT 2304.96 SOLD 2282.50				**-561.50**	**0.00**

OPEN POSITION VALUE

	USD
LME Lead 25 tonnes. This is an FUTURES	-353.50

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards		Amount	Profit / Loss	**Total Amount**	**Total P&L**
LEAD - Futures	USD	-353.50	-353.50	**-353.50**	**-353.50**

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-21,156.66	-21,156.66
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**-21,156.66**	**-21,156.66**
Initial Margins		-353.50	-353.50
Excess/(Shortage)		**-21,510.16**	**-21,510.16**
FOR INFORMATION ONLY			
Forward Unrealised P&L		-353.50	-353.50
Net Liquidating Value		**-21,510.16**	**-21,510.16**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0034E

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	CNX NIFTY (INDIA) INDEX 2 SIM					
28SEP17	S 5 OCT17	9793.50	9874.50	USD	-810.00	
29SEP17	S 4 OCT17	9843.50	9874.50	USD	-248.00	
02OCT17	S 2 OCT17	9873.50	9874.50	USD	-4.00	
03OCT17	S 6 OCT17	9891.00	9874.50	USD	198.00	
03OCT17	S 7 OCT17	9890.50	9874.50	USD	224.00	
03OCT17	S 7 OCT17	9890.00	9874.50	USD	217.00	
03OCT17	S 7 OCT17	9887.50	9874.50	USD	182.00	
03OCT17	S 1 OCT17	9887.00	9874.50	USD	25.00	
03OCT17	S 12 OCT17	9889.00	9874.50	USD	348.00	
03OCT17	S 14 OCT17	9888.50	9874.50	USD	392.00	
03OCT17	S 10 OCT17	9855.00	9874.50	USD	-390.00	
	NET -75 AVG SOLD 9875.39				**134.00**	**0.00**
	NYM LIGHT SWEET CRUDE OIL 1,000 NYM					
20SEP17	S 1 NOV17	50.48	50.42	USD	60.00	
20SEP17	S 3 NOV17	50.49	50.42	USD	210.00	
20SEP17	S 1 NOV17	50.52	50.42	USD	100.00	
20SEP17	S 1 NOV17	50.54	50.42	USD	120.00	
20SEP17	S 1 NOV17	50.64	50.42	USD	220.00	
21SEP17	S 1 NOV17	50.26	50.42	USD	-160.00	
21SEP17	S 1 NOV17	50.27	50.42	USD	-150.00	
21SEP17	S 1 NOV17	50.25	50.42	USD	-170.00	
21SEP17	S 2 NOV17	50.13	50.42	USD	-580.00	
25SEP17	S 1 NOV17	51.37	50.42	USD	950.00	
25SEP17	S 1 NOV17	51.28	50.42	USD	860.00	
29SEP17	S 1 NOV17	51.72	50.42	USD	1,300.00	
29SEP17	S 1 NOV17	51.71	50.42	USD	1,290.00	
29SEP17	S 1 NOV17	51.68	50.42	USD	1,260.00	
29SEP17	S 1 NOV17	51.52	50.42	USD	1,100.00	
29SEP17	S 1 NOV17	51.48	50.42	USD	1,060.00	
29SEP17	S 1 NOV17	51.43	50.42	USD	1,010.00	
29SEP17	S 1 NOV17	51.44	50.42	USD	1,020.00	
	NET -21 AVG SOLD 50.87				**9,500.00**	**0.00**
	CMX COPPER 25,000 CMX					
29SEP17	B 1 DEC17	294.900	296.350	USD	362.50	
	NET +1 AVG BGHT 294.900				**362.50**	**0.00**
	CMX GOLD 100 CMX					
03OCT17	S 1 DEC17	1272.9	1274.6	USD	-170.00	
03OCT17	S 1 DEC17	1273.6	1274.6	USD	-100.00	
03OCT17	S 1 DEC17	1273.8	1274.6	USD	-80.00	
03OCT17	S 4 DEC17	1273.5	1274.6	USD	-440.00	
03OCT17	S 2 DEC17	1275.5	1274.6	USD	180.00	
03OCT17	S 2 DEC17	1275.8	1274.6	USD	240.00	
03OCT17	S 1 DEC17	1276.2	1274.6	USD	160.00	
03OCT17	S 2 DEC17	1276.4	1274.6	USD	360.00	
03OCT17	S 2 DEC17	1275.6	1274.6	USD	200.00	
03OCT17	S 1 DEC17	1277.1	1274.6	USD	250.00	
03OCT17	S 1 DEC17	1276.8	1274.6	USD	220.00	
03OCT17	S 3 DEC17	1274.5	1274.6	USD	-30.00	
03OCT17	S 2 DEC17	1274.4	1274.6	USD	-40.00	
03OCT17	S 2 DEC17	1274.7	1274.6	USD	20.00	
03OCT17	S 1 DEC17	1274.6	1274.6	USD	0.00	
	NET -26 AVG SOLD 1274.9				**770.00**	**0.00**
	NYM HEATING OIL 42,000 NYM					
20SEP17	S 1 NOV17	179.97	175.05	USD	2,066.40	
28SEP17	S 1 NOV17	181.52	175.05	USD	2,717.40	
	NET -2 AVG SOLD 180.75				**4,783.80**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0034E

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	CMX SILVER 5,000 CMX					
15SEP17	B 1 DEC17	1776.0	1665.0	USD	-5,550.00	
15SEP17	B 1 DEC17	1774.5	1665.0	USD	-5,475.00	
15SEP17	B 2 DEC17	1771.5	1665.0	USD	-10,650.00	
18SEP17	B 1 DEC17	1759.5	1665.0	USD	-4,725.00	
18SEP17	B 1 DEC17	1747.5	1665.0	USD	-4,125.00	
18SEP17	B 1 DEC17	1742.5	1665.0	USD	-3,875.00	
18SEP17	B 1 DEC17	1744.5	1665.0	USD	-3,975.00	
18SEP17	B 2 DEC17	1744.0	1665.0	USD	-7,900.00	
18SEP17	B 1 DEC17	1731.5	1665.0	USD	-3,325.00	
21SEP17	B 2 DEC17	1688.5	1665.0	USD	-2,350.00	
22SEP17	B 1 DEC17	1704.5	1665.0	USD	-1,975.00	
22SEP17	B 2 DEC17	1703.5	1665.0	USD	-3,850.00	
26SEP17	B 1 DEC17	1711.5	1665.0	USD	-2,325.00	
26SEP17	B 1 DEC17	1700.5	1665.0	USD	-1,775.00	
26SEP17	B 1 DEC17	1702.5	1665.0	USD	-1,875.00	
26SEP17	B 1 DEC17	1694.5	1665.0	USD	-1,475.00	
26SEP17	B 2 DEC17	1698.0	1665.0	USD	-3,300.00	
26SEP17	B 1 DEC17	1695.0	1665.0	USD	-1,500.00	
26SEP17	B 2 DEC17	1695.5	1665.0	USD	-3,050.00	
26SEP17	B 1 DEC17	1696.5	1665.0	USD	-1,575.00	
26SEP17	B 2 DEC17	1692.0	1665.0	USD	-2,700.00	
26SEP17	B 1 DEC17	1689.5	1665.0	USD	-1,225.00	
27SEP17	B 1 DEC17	1684.0	1665.0	USD	-950.00	
27SEP17	B 4 DEC17	1682.0	1665.0	USD	-3,400.00	
	NET +34 AVG BGHT 1713.8				**-82,925.00**	**0.00**

OPEN POSITION VALUE

		USD
CNX Nifty (India) Index	FUTURES	1,481,309.00
NYM Light Sweet Crude Oil	FUTURES	1,068,320.00
CMX Copper	FUTURES	-73,725.00
CMX Gold	FUTURES	3,314,730.00
NYM Heating Oil	FUTURES	151,825.80
CMX Silver	FUTURES	-2,913,425.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options			Amount	Profit / Loss	**Total Amount**	**Total P&L**
CNX	- Futures	USD	134.00	134.00		
COIL	- Futures	USD	9,500.00	9,500.00		
COPR	- Futures	USD	362.50	362.50		
GOLD	- Futures	USD	770.00	770.00		
HOIL	- Futures	USD	4,783.80	4,783.80		
SILV	- Futures	USD	-82,925.00	-82,925.00	**-67,374.70**	**-67,374.70**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0034E

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-11,165.31	-11,165.31
Variation Margins	- Futures	-67,374.70	-67,374.70
	- Options	0.00	0.00
TOTAL EQUITY		**-78,540.01**	**-78,540.01**
Initial Margins		-238,409.00	-238,409.00
Excess/(Shortage)		**-316,949.01**	**-316,949.01**
FOR INFORMATION ONLY			
Net Liquidating Value		**-78,540.01**	**-78,540.01**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0034G

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
24JUL17	B 2 PD24OCT17	1913.00	2118.58	USD	10,279.00	
26JUL17	S 2 PD24OCT17	1947.00	2118.58	USD	-8,579.00	
	NET 0 AVG BGHT 1913.00 SOLD 1947.00				**1,700.00**	**0.00**
25JUL17	S 2 PD25OCT17	1923.50	2118.97	USD	-9,773.50	
25JUL17	B 2 PD25OCT17	1924.00	2118.97	USD	9,748.50	
25JUL17	S 2 PD25OCT17	1921.00	2118.97	USD	-9,898.50	
26JUL17	B 2 PD25OCT17	1947.46	2118.97	USD	8,575.50	
	NET 0 AVG BGHT 1935.73 SOLD 1922.25				**-1,348.00**	**0.00**
28JUL17	B 2 PD27OCT17	1914.00	2119.75	USD	10,287.50	
01AUG17	S 2 PD27OCT17	1905.56	2119.75	USD	-10,709.50	
	NET 0 AVG BGHT 1914.00 SOLD 1905.56				**-422.00**	**0.00**
31JUL17	B 1 PD31OCT17	1921.50	2117.75	USD	4,906.25	
31JUL17	S 3 PD31OCT17	1924.50	2117.75	USD	-14,493.75	
01AUG17	B 2 PD31OCT17	1906.91	2117.75	USD	10,542.00	
	NET 0 AVG BGHT 1911.77 SOLD 1924.50				**954.50**	**0.00**
01AUG17	B 3 PD01NOV17	1910.00	2117.42	USD	15,556.50	
07AUG17	S 3 PD01NOV17	1962.58	2117.42	USD	-11,613.00	
	NET 0 AVG BGHT 1910.00 SOLD 1962.58				**3,943.50**	**0.00**
02AUG17	S 3 PD02NOV17	1915.50	2117.08	USD	-15,118.50	
02AUG17	B 2 PD02NOV17	1921.00	2117.08	USD	9,804.00	
02AUG17	S 2 PD02NOV17	1928.00	2117.08	USD	-9,454.00	
07AUG17	B 3 PD02NOV17	1963.02	2117.08	USD	11,554.50	
	NET 0 AVG BGHT 1946.21 SOLD 1920.50				**-3,214.00**	**0.00**
03AUG17	B 2 PD03NOV17	1915.00	2116.75	USD	10,087.50	
03AUG17	B 1 PD03NOV17	1912.00	2116.75	USD	5,118.75	
03AUG17	S 3 PD03NOV17	1919.00	2116.75	USD	-14,831.25	
03AUG17	B 2 PD03NOV17	1914.00	2116.75	USD	10,137.50	
03AUG17	B 1 PD03NOV17	1913.00	2116.75	USD	5,093.75	
10AUG17	S 3 PD03NOV17	2035.81	2116.75	USD	-6,070.50	
	NET 0 AVG BGHT 1913.83 SOLD 1977.41				**9,535.75**	**0.00**
07AUG17	S 3 PD07NOV17	1924.00	2116.08	USD	-14,406.00	
07AUG17	B 2 PD07NOV17	1948.50	2116.08	USD	8,379.00	
07AUG17	B 2 PD07NOV17	1950.00	2116.08	USD	8,304.00	
07AUG17	B 1 PD07NOV17	1964.50	2116.08	USD	3,789.50	
07AUG17	S 5 PD07NOV17	1968.00	2116.08	USD	-18,510.00	
10AUG17	B 3 PD07NOV17	2036.69	2116.08	USD	5,954.25	
	NET 0 AVG BGHT 1983.95 SOLD 1951.50				**-6,489.25**	**0.00**
08AUG17	B 1 PD08NOV17	1982.50	2115.75	USD	3,331.25	
08AUG17	B 1 PD08NOV17	1983.00	2115.75	USD	3,318.75	
08AUG17	S 2 PD08NOV17	1988.50	2115.75	USD	-6,362.50	
08AUG17	B 2 PD08NOV17	1991.50	2115.75	USD	6,212.50	
08AUG17	S 2 PD08NOV17	1997.00	2115.75	USD	-5,937.50	
08AUG17	B 2 PD08NOV17	2001.00	2115.75	USD	5,737.50	
08AUG17	S 2 PD08NOV17	2000.50	2115.75	USD	-5,762.50	
08AUG17	B 2 PD08NOV17	2025.00	2115.75	USD	4,537.50	
08AUG17	B 2 PD08NOV17	2028.00	2115.75	USD	4,387.50	
08AUG17	B 1 PD08NOV17	2028.50	2115.75	USD	2,181.25	
08AUG17	S 2 PD08NOV17	2031.50	2115.75	USD	-4,212.50	
08AUG17	S 3 PD08NOV17	2030.00	2115.75	USD	-6,431.25	
	NET 0 AVG BGHT 2007.73 SOLD 2011.36				**1,000.00**	**0.00**
09AUG17	B 3 PD09NOV17	2036.00	2116.11	USD	6,008.25	
09AUG17	B 2 PD09NOV17	2036.50	2116.11	USD	3,980.50	
09AUG17	S 3 PD09NOV17	2040.00	2116.11	USD	-5,708.25	
09AUG17	S 2 PD09NOV17	2040.50	2116.11	USD	-3,780.50	
	NET 0 AVG BGHT 2036.20 SOLD 2040.20				**500.00**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0034G

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
24AUG17	S 1 PD24NOV17	2100.00	2120.69	USD	-517.25	
24AUG17	S 1 PD24NOV17	2100.50	2120.69	USD	-504.75	
24AUG17	S 1 PD24NOV17	2091.00	2120.69	USD	-742.25	
25AUG17	B 2 PD24NOV17	2092.00	2120.69	USD	1,434.50	
25AUG17	S 3 PD24NOV17	2085.00	2120.69	USD	-2,676.75	
25AUG17	B 1 PD24NOV17	2095.00	2120.69	USD	642.25	
25AUG17	B 3 PD24NOV17	2072.00	2120.69	USD	3,651.75	
	NET 0 AVG BGHT 2082.50 SOLD 2091.08				**1,287.50**	**0.00**
29AUG17	S 1 PD29NOV17	2093.50	2122.05	USD	-713.75	
29AUG17	S 1 PD29NOV17	2094.00	2122.05	USD	-701.25	
29AUG17	B 2 PD29NOV17	2096.00	2122.05	USD	1,302.50	
	NET 0 AVG BGHT 2096.00 SOLD 2093.75				**-112.50**	**0.00**
30AUG17	S 2 PD30NOV17	2096.50	2122.32	USD	-1,291.00	
30AUG17	B 2 PD30NOV17	2086.50	2122.32	USD	1,791.00	
30AUG17	S 3 PD30NOV17	2093.00	2122.32	USD	-2,199.00	
30AUG17	B 3 PD30NOV17	2090.00	2122.32	USD	2,424.00	
30AUG17	S 1 PD30NOV17	2090.00	2122.32	USD	-808.00	
30AUG17	S 2 PD30NOV17	2090.50	2122.32	USD	-1,591.00	
31AUG17	B 1 PD30NOV17	2135.50	2122.32	USD	-329.50	
31AUG17	B 2 PD30NOV17	2130.50	2122.32	USD	-409.00	
	NET 0 AVG BGHT 2104.94 SOLD 2092.88				**-2,412.50**	**0.00**
01SEP17	B 1 PD01DEC17	2131.50	2122.59	USD	-222.75	
01SEP17	B 1 PD01DEC17	2139.00	2122.59	USD	-410.25	
01SEP17	B 1 PD01DEC17	2144.50	2122.59	USD	-547.75	
13SEP17	S 1 PD01DEC17	2106.59	2122.59	USD	-400.00	
21SEP17	S 2 PD01DEC17	2166.21	2122.59	USD	2,181.00	
	NET 0 AVG BGHT 2138.33 SOLD 2146.34				**600.25**	**0.00**
04SEP17	S 1 PD04DEC17	2120.00	2123.41	USD	-85.25	
08SEP17	B 1 PD04DEC17	2098.20	2123.41	USD	630.25	
	NET 0 AVG BGHT 2098.20 SOLD 2120.00				**545.00**	**0.00**
11SEP17	S 2 PD11DEC17	2116.50	2125.31	USD	-440.50	
11SEP17	B 2 PD11DEC17	2114.50	2125.31	USD	540.50	
	NET 0 AVG BGHT 2114.50 SOLD 2116.50				**100.00**	**0.00**
12SEP17	S 2 PD12DEC17	2130.50	2125.58	USD	246.00	
12SEP17	B 2 PD12DEC17	2132.00	2125.58	USD	-321.00	
	NET 0 AVG BGHT 2132.00 SOLD 2130.50				**-75.00**	**0.00**
19SEP17	S 2 PD19DEC17	2125.50	2127.48	USD	-99.00	
21SEP17	B 2 PD19DEC17	2171.42	2127.48	USD	-2,197.00	
	NET 0 AVG BGHT 2171.42 SOLD 2125.50				**-2,296.00**	**0.00**
20SEP17	S 1 PD20DEC17	2169.50	2127.75	USD	1,043.75	
20SEP17	B 1 PD20DEC17	2170.50	2127.75	USD	-1,068.75	
20SEP17	B 1 PD20DEC17	2179.00	2127.75	USD	-1,281.25	
20SEP17	S 1 PD20DEC17	2176.50	2127.75	USD	1,218.75	
20SEP17	B 1 PD20DEC17	2191.00	2127.75	USD	-1,581.25	
20SEP17	B 1 PD20DEC17	2172.50	2127.75	USD	-1,118.75	
	NET +2 AVG BGHT 2178.25 SOLD 2173.00				**-2,787.50**	**0.00**
	NYM LIGHT SWEET CRUDE OIL 1,000 NYM					
28SEP17	B 1 NOV17	51.29	50.42	USD	-870.00	
	NET +1 AVG BGHT 51.29				**-870.00**	**0.00**
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
08AUG17	S 1 PD08NOV17	6401.50	6498.25	USD	-2,418.75	
14AUG17	B 1 PD08NOV17	6394.87	6498.25	USD	2,584.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0034G

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
	NET 0 AVG BGHT 6394.87 SOLD 6401.50				**165.75**	**0.00**
09AUG17	B 1 PD09NOV17	6497.50	6498.71	USD	30.25	
09AUG17	S 1 PD09NOV17	6490.50	6498.71	USD	-205.25	
	NET 0 AVG BGHT 6497.50 SOLD 6490.50				**-175.00**	**0.00**
10AUG17	B 1 PD10NOV17	6457.00	6499.18	USD	1,054.50	
14AUG17	S 1 PD10NOV17	6395.38	6499.18	USD	-2,595.00	
	NET 0 AVG BGHT 6457.00 SOLD 6395.38				**-1,540.50**	**0.00**
29AUG17	S 1 PD29NOV17	6806.00	6508.80	USD	7,430.00	
08SEP17	B 1 PD29NOV17	6689.58	6508.80	USD	-4,519.50	
	NET 0 AVG BGHT 6689.58 SOLD 6806.00				**2,910.50**	**0.00**
07SEP17	B 1 PD07DEC17	6865.00	6512.57	USD	-8,810.75	
08SEP17	S 1 PD07DEC17	6692.63	6512.57	USD	4,501.50	
	NET 0 AVG BGHT 6865.00 SOLD 6692.63				**-4,309.25**	**0.00**
21SEP17	B 1 PD21DEC17	6462.50	6516.75	USD	1,356.25	
21SEP17	S 1 PD21DEC17	6438.50	6516.75	USD	-1,956.25	
	NET 0 AVG BGHT 6462.50 SOLD 6438.50				**-600.00**	**0.00**
22SEP17	B 1 PD22DEC17	6398.00	6515.75	USD	2,943.75	
22SEP17	S 1 PD22DEC17	6470.00	6515.75	USD	-1,143.75	
	NET 0 AVG BGHT 6398.00 SOLD 6470.00				**1,800.00**	**0.00**
	CME E-MINI S&P 500 WEEK 1 OPTIONS 50 CME					
29SEP17	S 2 OCT17 2500.00 Put	6.25	0.75	USD	-75.00	550.00
	NET -2 AVG SOLD 6.25				**-75.00**	**550.00**
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
01SEP17	B 1 PD01DEC17	2401.50	2590.06	USD	4,714.00	
06SEP17	S 1 PD01DEC17	2338.63	2590.06	USD	-6,285.75	
	NET 0 AVG BGHT 2401.50 SOLD 2338.63				**-1,571.75**	**0.00**
04SEP17	S 1 PD04DEC17	2397.50	2590.44	USD	-4,823.50	
04SEP17	B 1 PD04DEC17	2392.00	2590.44	USD	4,961.00	
	NET 0 AVG BGHT 2392.00 SOLD 2397.50				**137.50**	**0.00**
05SEP17	S 1 PD05DEC17	2331.00	2590.57	USD	-6,489.25	
06SEP17	B 1 PD05DEC17	2339.93	2590.57	USD	6,266.00	
	NET 0 AVG BGHT 2339.93 SOLD 2331.00				**-223.25**	**0.00**
12SEP17	S 1 PD12DEC17	2310.00	2591.47	USD	-7,036.75	
14SEP17	B 1 PD12DEC17	2306.43	2591.47	USD	7,126.00	
	NET 0 AVG BGHT 2306.43 SOLD 2310.00				**89.25**	**0.00**
13SEP17	B 1 PD13DEC17	2294.00	2591.60	USD	7,440.00	
14SEP17	S 1 PD13DEC17	2306.52	2591.60	USD	-7,127.00	
	NET 0 AVG BGHT 2294.00 SOLD 2306.52				**313.00**	**0.00**
14SEP17	S 1 PD14DEC17	2280.50	2591.73	USD	-7,780.75	
21SEP17	B 1 PD14DEC17	2517.91	2591.73	USD	1,845.50	
	NET 0 AVG BGHT 2517.91 SOLD 2280.50				**-5,935.25**	**0.00**
20SEP17	B 1 PD20DEC17	2454.50	2592.50	USD	3,450.00	
21SEP17	S 1 PD20DEC17	2517.80	2592.50	USD	-1,867.50	
	NET 0 AVG BGHT 2454.50 SOLD 2517.80				**1,582.50**	**0.00**
	CBT MINI-SIZED DOW 5 CBT					
15SEP17	S 1 DEC17	22162.00	22608.00	USD	-2,230.00	
21SEP17	S 1 DEC17	22365.00	22608.00	USD	-1,215.00	
21SEP17	S 1 DEC17	22340.00	22608.00	USD	-1,340.00	
02OCT17	S 1 DEC17	22421.00	22608.00	USD	-935.00	
02OCT17	S 1 DEC17	22425.00	22608.00	USD	-915.00	
	NET -5 AVG SOLD 22342.60				**-6,635.00**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0034G

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	CME E-MINI S&P 500 50 CME					
12SEP17	S 4 DEC17	2489.50	2532.80	USD	-8,660.00	
15SEP17	S 10 DEC17	2491.00	2532.80	USD	-20,900.00	
15SEP17	S 10 DEC17	2491.75	2532.80	USD	-20,525.00	
15SEP17	S 5 DEC17	2492.00	2532.80	USD	-10,200.00	
15SEP17	S 25 DEC17	2492.25	2532.80	USD	-50,687.50	
15SEP17	S 5 DEC17	2492.50	2532.80	USD	-10,075.00	
18SEP17	S 4 DEC17	2505.00	2532.80	USD	-5,560.00	
19SEP17	S 1 DEC17	2502.50	2532.80	USD	-1,515.00	
	NET -64 AVG SOLD 2492.76				**-128,122.50**	**0.00**
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
11JUL17	S 1 PD11OCT17	9160.00	10540.12	USD	-8,280.72	
13JUL17	B 1 PD11OCT17	9209.86	10540.12	USD	7,981.56	
	NET 0 AVG BGHT 9209.86 SOLD 9160.00				**-299.16**	**0.00**
25JUL17	B 1 PD25OCT17	9845.00	10555.75	USD	4,264.50	
25JUL17	B 1 PD25OCT17	9895.00	10555.75	USD	3,964.50	
25JUL17	S 1 PD25OCT17	9945.00	10555.75	USD	-3,664.50	
25JUL17	S 1 PD25OCT17	9940.00	10555.75	USD	-3,694.50	
25JUL17	B 1 PD25OCT17	9935.00	10555.75	USD	3,724.50	
25JUL17	B 1 PD25OCT17	10010.00	10555.75	USD	3,274.50	
25JUL17	S 2 PD25OCT17	10000.00	10555.75	USD	-6,669.00	
	NET 0 AVG BGHT 9921.25 SOLD 9971.25				**1,200.00**	**0.00**
26JUL17	B 1 PD26OCT17	10035.00	10556.71	USD	3,130.26	
26JUL17	B 1 PD26OCT17	10080.00	10556.71	USD	2,860.26	
01AUG17	S 2 PD26OCT17	10287.64	10556.71	USD	-3,228.84	
	NET 0 AVG BGHT 10057.50 SOLD 10287.64				**2,761.68**	**0.00**
27JUL17	S 2 PD27OCT17	10120.00	10557.68	USD	-5,252.16	
27JUL17	B 1 PD27OCT17	10200.00	10557.68	USD	2,146.08	
27JUL17	S 1 PD27OCT17	10200.00	10557.68	USD	-2,146.08	
01AUG17	B 2 PD27OCT17	10289.04	10557.68	USD	3,223.68	
	NET 0 AVG BGHT 10259.36 SOLD 10146.67				**-2,028.48**	**0.00**
03AUG17	S 3 PD01NOV17	10379.57	10562.50	USD	-3,292.74	
07AUG17	B 3 PD01NOV17	10397.30	10562.50	USD	2,973.60	
	NET 0 AVG BGHT 10397.30 SOLD 10379.57				**-319.14**	**0.00**
03AUG17	B 3 PD02NOV17	10380.79	10563.46	USD	3,288.06	
07AUG17	S 3 PD02NOV17	10396.75	10563.46	USD	-3,000.78	
	NET 0 AVG BGHT 10380.79 SOLD 10396.75				**287.28**	**0.00**
08AUG17	S 1 PD08NOV17	10745.00	10569.25	USD	1,054.50	
10AUG17	B 1 PD08NOV17	10983.83	10569.25	USD	-2,487.48	
	NET 0 AVG BGHT 10983.83 SOLD 10745.00				**-1,432.98**	**0.00**
09AUG17	B 1 PD09NOV17	10790.00	10570.21	USD	-1,318.74	
10AUG17	S 1 PD09NOV17	10983.41	10570.21	USD	2,479.20	
	NET 0 AVG BGHT 10790.00 SOLD 10983.41				**1,160.46**	**0.00**
14AUG17	B 1 PD14NOV17	10570.00	10575.04	USD	30.24	
22AUG17	S 1 PD14NOV17	11413.81	10575.04	USD	5,032.62	
	NET 0 AVG BGHT 10570.00 SOLD 11413.81				**5,062.86**	**0.00**
18AUG17	S 1 PD17NOV17	10730.00	10577.77	USD	913.38	
18AUG17	S 1 PD17NOV17	10830.00	10577.77	USD	1,513.38	
18AUG17	S 1 PD17NOV17	10990.00	10577.77	USD	2,473.38	
22AUG17	B 3 PD17NOV17	11413.92	10577.77	USD	-15,050.70	
	NET 0 AVG BGHT 11413.92 SOLD 10850.00				**-10,150.56**	**0.00**
22AUG17	S 1 PD22NOV17	11415.00	10582.20	USD	4,996.80	
06SEP17	B 1 PD22NOV17	12156.60	10582.20	USD	-9,446.40	
	NET 0 AVG BGHT 12156.60 SOLD 11415.00				**-4,449.60**	**0.00**
30AUG17	B 1 PD30NOV17	11745.00	10589.29	USD	-6,934.26	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0034G

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
31AUG17	S 1 PD30NOV17			11730.00	10589.29	USD	6,844.26	
31AUG17	B 1 PD30NOV17			11700.00	10589.29	USD	-6,664.26	
06SEP17	S 1 PD30NOV17			12160.40	10589.29	USD	9,426.66	
	NET 0 AVG BGHT 11722.50 SOLD 11945.20						**2,672.40**	**0.00**
08SEP17	B 1 PD08DEC17			11575.00	10596.37	USD	-5,871.78	
13SEP17	S 1 PD08DEC17			11355.46	10596.37	USD	4,554.54	
	NET 0 AVG BGHT 11575.00 SOLD 11355.46						**-1,317.24**	**0.00**
11SEP17	B 1 PD11DEC17			11620.00	10599.03	USD	-6,125.82	
11SEP17	S 1 PD11DEC17			11705.00	10599.03	USD	6,635.82	
	NET 0 AVG BGHT 11620.00 SOLD 11705.00						**510.00**	**0.00**
13SEP17	B 1 PD13DEC17			11455.00	10600.80	USD	-5,125.20	
13SEP17	B 1 PD13DEC17			11410.00	10600.80	USD	-4,855.20	
13SEP17	B 1 PD13DEC17			11400.00	10600.80	USD	-4,795.20	
13SEP17	S 1 PD13DEC17			11570.00	10600.80	USD	5,815.20	
13SEP17	S 1 PD13DEC17			11440.00	10600.80	USD	5,035.20	
	NET +1 AVG BGHT 11421.67 SOLD 11505.00						**-3,925.20**	**0.00**
14SEP17	B 1 PD14DEC17			11315.00	10601.69	USD	-4,279.86	
14SEP17	S 1 PD14DEC17			11165.00	10601.69	USD	3,379.86	
14SEP17	S 1 PD14DEC17			11155.00	10601.69	USD	3,319.86	
14SEP17	S 1 PD14DEC17			11340.00	10601.69	USD	4,429.86	
14SEP17	B 1 PD14DEC17			11300.00	10601.69	USD	-4,189.86	
14SEP17	S 1 PD14DEC17			11260.00	10601.69	USD	3,949.86	
14SEP17	B 1 PD14DEC17			11255.00	10601.69	USD	-3,919.86	
15SEP17	B 1 PD14DEC17			11090.20	10601.69	USD	-2,931.06	
	NET 0 AVG BGHT 11240.05 SOLD 11230.00						**-241.20**	**0.00**
18SEP17	B 1 PD18DEC17			11165.00	10605.23	USD	-3,358.62	
	NET +1 AVG BGHT 11165.00						**-3,358.62**	**0.00**
22SEP17	B 1 PD22DEC17			10510.00	10609.00	USD	594.00	
22SEP17	S 1 PD22DEC17			10550.00	10609.00	USD	-354.00	
	NET 0 AVG BGHT 10510.00 SOLD 10550.00						**240.00**	**0.00**
28SEP17	B 1 PD28DEC17			10455.00	10614.50	USD	957.00	
	NET +1 AVG BGHT 10455.00						**957.00**	**0.00**
29SEP17	S 1 PD29DEC17			10670.00	10615.42	USD	327.48	
	NET -1 AVG SOLD 10670.00						**327.48**	**0.00**
03OCT17	B 1 PD03JAN18			10730.00	10620.00	USD	-660.00	
	NET +1 AVG BGHT 10730.00						**-660.00**	**0.00**
	CMX SILVER 5,000 CMX							
19SEP17	S 1 NOV17	1700.0	Put	29.0	49.3	USD	-2,465.00	-1,015.00
	NET -1 AVG SOLD 29.0						**-2,465.00**	**-1,015.00**
	CBT 30 YEAR US TREASURY BONDS 1,000 CBT							
02OCT17	S 2 NOV17	154.0000	Call	0.4700	0.4000	USD	-1,250.00	218.76
02OCT17	S 1 NOV17	154.0000	Call	0.4600	0.4000	USD	-625.00	93.75
	NET -3 AVG SOLD 0.4667						**-1,875.00**	**312.51**
18SEP17	S 5 NOV17	153.0000	Put	0.5400	1.2400	USD	-6,875.00	-2,656.25
	NET -5 AVG SOLD 0.5400						**-6,875.00**	**-2,656.25**
22SEP17	S 2 NOV17	154.0000	Put	1.0800	2.0000	USD	-4,000.00	-1,750.00
	NET -2 AVG SOLD 1.0800						**-4,000.00**	**-1,750.00**
02OCT17	S 1 DEC17			152.1800	152.2000	USD	-62.50	
03OCT17	S 1 DEC17			152.1800	152.2000	USD	-62.50	
	NET -2 AVG SOLD 152.1800						**-125.00**	**0.00**
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0034G

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
12JUL17	S 1 PD12OCT17	2858.00	3301.00	USD	-11,075.00	
12JUL17	B 1 PD12OCT17	2852.00	3301.00	USD	11,225.00	
	NET 0 AVG BGHT 2852.00 SOLD 2858.00				**150.00**	**0.00**
13JUL17	B 1 PD13OCT17	2800.00	3297.88	USD	12,447.00	
14JUL17	S 1 PD13OCT17	2788.00	3297.88	USD	-12,747.00	
	NET 0 AVG BGHT 2800.00 SOLD 2788.00				**-300.00**	**0.00**
24JUL17	S 1 PD24OCT17	2795.50	3280.50	USD	-12,125.00	
24JUL17	B 1 PD24OCT17	2779.00	3280.50	USD	12,537.50	
	NET 0 AVG BGHT 2779.00 SOLD 2795.50				**412.50**	**0.00**
25JUL17	S 1 PD25OCT17	2841.50	3279.50	USD	-10,950.00	
01AUG17	B 1 PD25OCT17	2778.03	3279.50	USD	12,536.75	
	NET 0 AVG BGHT 2778.03 SOLD 2841.50				**1,586.75**	**0.00**
26JUL17	B 1 PD26OCT17	2846.50	3278.50	USD	10,800.00	
01AUG17	S 1 PD26OCT17	2778.11	3278.50	USD	-12,509.75	
	NET 0 AVG BGHT 2846.50 SOLD 2778.11				**-1,709.75**	**0.00**
02AUG17	S 1 PD02NOV17	2804.00	3273.50	USD	-11,737.50	
07AUG17	B 1 PD02NOV17	2856.93	3273.50	USD	10,414.25	
	NET 0 AVG BGHT 2856.93 SOLD 2804.00				**-1,323.25**	**0.00**
03AUG17	B 1 PD03NOV17	2796.00	3272.00	USD	11,900.00	
07AUG17	S 1 PD03NOV17	2856.94	3272.00	USD	-10,376.50	
	NET 0 AVG BGHT 2796.00 SOLD 2856.94				**1,523.50**	**0.00**
07AUG17	S 1 PD07NOV17	2871.00	3272.00	USD	-10,025.00	
07AUG17	S 1 PD07NOV17	2871.50	3272.00	USD	-10,012.50	
07AUG17	B 2 PD07NOV17	2862.50	3272.00	USD	20,475.00	
	NET 0 AVG BGHT 2862.50 SOLD 2871.25				**437.50**	**0.00**
08AUG17	S 2 PD08NOV17	2884.00	3272.00	USD	-19,400.00	
08AUG17	B 1 PD08NOV17	2926.50	3272.00	USD	8,637.50	
08AUG17	B 1 PD08NOV17	2928.50	3272.00	USD	8,587.50	
	NET 0 AVG BGHT 2927.50 SOLD 2884.00				**-2,175.00**	**0.00**
09AUG17	S 1 PD09NOV17	2976.50	3272.00	USD	-7,387.50	
09AUG17	S 1 PD09NOV17	2977.00	3272.00	USD	-7,375.00	
09AUG17	B 2 PD09NOV17	2964.00	3272.00	USD	15,400.00	
	NET 0 AVG BGHT 2964.00 SOLD 2976.75				**637.50**	**0.00**
15AUG17	B 1 PD15NOV17	2953.00	3269.50	USD	7,912.50	
15AUG17	S 1 PD15NOV17	2950.00	3269.50	USD	-7,987.50	
	NET 0 AVG BGHT 2953.00 SOLD 2950.00				**-75.00**	**0.00**
21AUG17	S 1 PD21NOV17	3128.00	3264.83	USD	-3,420.75	
21AUG17	B 1 PD21NOV17	3121.50	3264.83	USD	3,583.25	
	NET 0 AVG BGHT 3121.50 SOLD 3128.00				**162.50**	**0.00**
22AUG17	S 1 PD22NOV17	3151.50	3264.50	USD	-2,825.00	
22AUG17	B 1 PD22NOV17	3141.50	3264.50	USD	3,075.00	
	NET 0 AVG BGHT 3141.50 SOLD 3151.50				**250.00**	**0.00**
23AUG17	S 1 PD24NOV17	3128.00	3264.71	USD	-3,417.75	
23AUG17	S 1 PD24NOV17	3141.00	3264.71	USD	-3,092.75	
23AUG17	B 1 PD24NOV17	3124.00	3264.71	USD	3,517.75	
23AUG17	S 2 PD24NOV17	3099.00	3264.71	USD	-8,285.50	
23AUG17	S 1 PD24NOV17	3101.00	3264.71	USD	-4,092.75	
23AUG17	B 1 PD24NOV17	3112.00	3264.71	USD	3,817.75	
23AUG17	S 1 PD24NOV17	3098.00	3264.71	USD	-4,167.75	
23AUG17	S 1 PD24NOV17	3098.50	3264.71	USD	-4,155.25	
23AUG17	B 2 PD24NOV17	3107.00	3264.71	USD	7,885.50	
24AUG17	B 1 PD24NOV17	3113.00	3264.71	USD	3,792.75	
25AUG17	B 2 PD24NOV17	3126.00	3264.71	USD	6,935.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0034G

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
	NET 0 AVG BGHT 3116.43 SOLD 3109.21				**-1,262.50**	**0.00**
29AUG17	S 1 PD29NOV17	3110.00	3265.25	USD	-3,881.25	
29AUG17	S 1 PD29NOV17	3096.00	3265.25	USD	-4,231.25	
29AUG17	S 1 PD29NOV17	3086.50	3265.25	USD	-4,468.75	
06SEP17	B 3 PD29NOV17	3093.25	3265.25	USD	12,900.00	
	NET 0 AVG BGHT 3093.25 SOLD 3097.50				**318.75**	**0.00**
30AUG17	B 3 PD30NOV17	3143.00	3265.36	USD	9,177.00	
30AUG17	S 1 PD30NOV17	3142.00	3265.36	USD	-3,084.00	
30AUG17	B 2 PD30NOV17	3145.50	3265.36	USD	5,993.00	
30AUG17	B 1 PD30NOV17	3147.50	3265.36	USD	2,946.50	
30AUG17	B 1 PD30NOV17	3118.00	3265.36	USD	3,684.00	
30AUG17	S 3 PD30NOV17	3090.00	3265.36	USD	-13,152.00	
30AUG17	B 2 PD30NOV17	3095.00	3265.36	USD	8,518.00	
31AUG17	S 1 PD30NOV17	3112.00	3265.36	USD	-3,834.00	
31AUG17	S 1 PD30NOV17	3113.00	3265.36	USD	-3,809.00	
31AUG17	B 1 PD30NOV17	3096.50	3265.36	USD	4,221.50	
31AUG17	S 1 PD30NOV17	3104.50	3265.36	USD	-4,021.50	
31AUG17	S 1 PD30NOV17	3108.50	3265.36	USD	-3,921.50	
31AUG17	B 1 PD30NOV17	3143.00	3265.36	USD	3,059.00	
06SEP17	S 3 PD30NOV17	3093.30	3265.36	USD	-12,904.50	
	NET 0 AVG BGHT 3128.64 SOLD 3102.72				**-7,127.50**	**0.00**
01SEP17	S 1 PD01DEC17	3201.00	3265.46	USD	-1,611.50	
06SEP17	B 1 PD01DEC17	3093.75	3265.46	USD	4,292.75	
	NET 0 AVG BGHT 3093.75 SOLD 3201.00				**2,681.25**	**0.00**
04SEP17	B 1 PD04DEC17	3183.00	3265.79	USD	2,069.75	
04SEP17	S 1 PD04DEC17	3205.00	3265.79	USD	-1,519.75	
	NET 0 AVG BGHT 3183.00 SOLD 3205.00				**550.00**	**0.00**
05SEP17	S 1 PD05DEC17	3193.00	3265.89	USD	-1,822.25	
05SEP17	B 1 PD05DEC17	3138.00	3265.89	USD	3,197.25	
05SEP17	B 1 PD05DEC17	3102.00	3265.89	USD	4,097.25	
06SEP17	S 1 PD05DEC17	3094.55	3265.89	USD	-4,283.50	
	NET 0 AVG BGHT 3120.00 SOLD 3143.78				**1,188.75**	**0.00**
06SEP17	B 1 PD06DEC17	3105.50	3266.00	USD	4,012.50	
08SEP17	S 1 PD06DEC17	3030.61	3266.00	USD	-5,884.75	
	NET 0 AVG BGHT 3105.50 SOLD 3030.61				**-1,872.25**	**0.00**
07SEP17	S 1 PD07DEC17	3118.00	3266.11	USD	-3,702.75	
08SEP17	B 1 PD07DEC17	3031.01	3266.11	USD	5,877.50	
	NET 0 AVG BGHT 3031.01 SOLD 3118.00				**2,174.75**	**0.00**
08SEP17	B 1 PD08DEC17	3091.50	3266.21	USD	4,367.75	
13SEP17	S 1 PD08DEC17	3023.75	3266.21	USD	-6,061.50	
	NET 0 AVG BGHT 3091.50 SOLD 3023.75				**-1,693.75**	**0.00**
11SEP17	S 1 PD11DEC17	3091.00	3266.54	USD	-4,388.50	
11SEP17	B 1 PD11DEC17	3056.50	3266.54	USD	5,251.00	
11SEP17	S 1 PD11DEC17	3064.00	3266.54	USD	-5,063.50	
13SEP17	B 1 PD11DEC17	3023.87	3266.54	USD	6,066.75	
	NET 0 AVG BGHT 3040.19 SOLD 3077.50				**1,865.75**	**0.00**
12SEP17	B 1 PD12DEC17	3072.50	3266.64	USD	4,853.50	
19SEP17	S 1 PD12DEC17	3105.21	3266.64	USD	-4,035.75	
	NET 0 AVG BGHT 3072.50 SOLD 3105.21				**817.75**	**0.00**
13SEP17	B 1 PD13DEC17	3045.00	3266.75	USD	5,543.75	
19SEP17	S 1 PD13DEC17	3105.43	3266.75	USD	-4,033.00	
	NET 0 AVG BGHT 3045.00 SOLD 3105.43				**1,510.75**	**0.00**
15SEP17	S 1 PD15DEC17	3019.00	3266.96	USD	-6,199.00	
19SEP17	B 1 PD15DEC17	3106.06	3266.96	USD	4,022.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0034G

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
	NET 0 AVG BGHT 3106.06 SOLD 3019.00				**-2,176.50**	**0.00**
18SEP17	S 1 PD18DEC17	3105.00	3267.29	USD	-4,057.25	
19SEP17	B 1 PD18DEC17	3106.70	3267.29	USD	4,014.75	
	NET 0 AVG BGHT 3106.70 SOLD 3105.00				**-42.50**	**0.00**
22SEP17	B 1 PD22DEC17	3031.50	3264.00	USD	5,812.50	
22SEP17	S 1 PD22DEC17	3018.00	3264.00	USD	-6,150.00	
	NET 0 AVG BGHT 3031.50 SOLD 3018.00				**-337.50**	**0.00**
26SEP17	S 1 PD27DEC17	3132.50	3264.00	USD	-3,287.50	
26SEP17	S 1 PD27DEC17	3133.00	3264.00	USD	-3,275.00	
27SEP17	B 2 PD27DEC17	3112.00	3264.00	USD	7,600.00	
27SEP17	S 2 PD27DEC17	3118.50	3264.00	USD	-7,275.00	
27SEP17	B 2 PD27DEC17	3104.00	3264.00	USD	8,000.00	
27SEP17	S 1 PD27DEC17	3115.00	3264.00	USD	-3,725.00	
27SEP17	S 1 PD27DEC17	3114.50	3264.00	USD	-3,737.50	
	NET -2 AVG BGHT 3108.00 SOLD 3122.00				**-5,700.00**	**0.00**
28SEP17	B 1 PD28DEC17	3103.00	3264.00	USD	4,025.00	
28SEP17	S 1 PD28DEC17	3123.50	3264.00	USD	-3,512.50	
	NET 0 AVG BGHT 3103.00 SOLD 3123.50				**512.50**	**0.00**
03OCT17	B 1 PD03JAN18	3270.00	3264.00	USD	-150.00	
	NET +1 AVG BGHT 3270.00				**-150.00**	**0.00**

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	-105,377.75
NYM Light Sweet Crude Oil	FUTURES	-51,290.00
LME Copper Grade A 25	FUTURES	-1,748.50
CME E-MINI S&P 500 WEEK 1	OPTIONS	625.00
LME Lead 25 tonnes. This is an	FUTURES	-5,608.00
CBT Mini-Sized Dow	FUTURES	558,565.00
CME E-Mini S&P 500	FUTURES	7,976,837.50
LME Nickel 6 tonnes. This is	FUTURES	-203,953.68
CMX Silver	OPTIONS	1,450.00
CBT 30 Year Us Treasury Bonds	FUTURES	305,125.00
CBT 30 Year Us Treasury Bonds	OPTIONS	8,656.26
LME Zinc 25 tonnes. This is	FUTURES	72,435.00

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0034G

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options			Amount	Profit / Loss	**Total Amount**	**Total P&L**
COIL	- Futures	USD	-870.00	-870.00		
MDOW	- Futures	USD	-6,635.00	-6,635.00		
MSNP	- Futures	USD	-128,122.50	-128,122.50		
TBON	- Futures	USD	-125.00	-125.00	**-135,752.50**	**-135,752.50**
Unrealised Profits & Losses - Forwards			**Amount**	**Profit / Loss**	**Total Amount**	**Total P&L**
ALUL	- Futures	USD	1,009.75	1,009.75		
COPL	- Futures	USD	-1,748.50	-1,748.50		
LEAD	- Futures	USD	-5,608.00	-5,608.00		
NKL	- Futures	USD	-13,003.02	-13,003.02		
ZINC	- Futures	USD	-9,165.00	-9,165.00	**-28,514.77**	**-28,514.77**
Net Premium Options Market Value						
EW1	- Options	USD	-75.00	550.00		
SILV	- Options	USD	-2,465.00	-1,015.00		
TBON	- Options	USD	-12,750.00	-4,093.74	**-15,290.00**	**-4,558.74**

ACCOUNT FINANCIAL SUMMARY

		GBP	USD	NETT USD
		(1.32460)	(1.00000)	(1.00000)
CASH BALANCE		-2,344.95	1,276,373.13	1,273,267.01
Variation Margins	- Futures	0.00	-135,752.50	-135,752.50
	- Options	0.00	0.00	0.00
TOTAL EQUITY		**-2,344.95**	**1,140,620.63**	**1,137,514.51**
Initial Margins		0.00	-400,543.77	-400,543.77
Excess/(Shortage)		**-2,344.95**	**740,076.86**	**736,970.74**
FOR INFORMATION ONLY				
Forward Unrealised P&L		0.00	-28,514.77	-28,514.77
Net Premium Option Market Value		0.00	-15,290.00	-15,290.00
Net Liquidating Value		**-2,344.95**	**1,096,815.86**	**1,093,709.74**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0034H

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
31AUG17	S 1 PD30NOV17	2106.00	2122.32	USD	-408.00	
31AUG17	S 1 PD30NOV17	2107.50	2122.32	USD	-370.50	
31AUG17	S 1 PD30NOV17	2129.00	2122.32	USD	167.00	
31AUG17	S 2 PD30NOV17	2129.50	2122.32	USD	359.00	
31AUG17	B 1 PD30NOV17	2124.00	2122.32	USD	-42.00	
31AUG17	B 1 PD30NOV17	2125.00	2122.32	USD	-67.00	
31AUG17	B 1 PD30NOV17	2119.50	2122.32	USD	70.50	
31AUG17	B 1 PD30NOV17	2117.50	2122.32	USD	120.50	
31AUG17	B 1 PD30NOV17	2118.50	2122.32	USD	95.50	
	NET 0 AVG BGHT 2120.90 SOLD 2120.30				**-75.00**	**0.00**
20SEP17	B 1 PD20DEC17	2167.50	2127.75	USD	-993.75	
20SEP17	S 1 PD20DEC17	2167.50	2127.75	USD	993.75	
20SEP17	S 1 PD20DEC17	2171.00	2127.75	USD	1,081.25	
20SEP17	S 1 PD20DEC17	2177.50	2127.75	USD	1,243.75	
20SEP17	S 1 PD20DEC17	2179.00	2127.75	USD	1,281.25	
20SEP17	B 2 PD20DEC17	2177.00	2127.75	USD	-2,462.50	
20SEP17	B 1 PD20DEC17	2178.50	2127.75	USD	-1,268.75	
	NET 0 AVG BGHT 2175.00 SOLD 2173.75				**-125.00**	**0.00**
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
05JUL17	S 1 PD05OCT17	2284.50	2585.00	USD	-7,512.50	
05JUL17	B 1 PD05OCT17	2273.00	2585.00	USD	7,800.00	
05JUL17	B 2 PD05OCT17	2275.00	2585.00	USD	15,500.00	
05JUL17	B 1 PD05OCT17	2280.00	2585.00	USD	7,625.00	
05JUL17	S 1 PD05OCT17	2275.00	2585.00	USD	-7,750.00	
05JUL17	B 1 PD05OCT17	2273.50	2585.00	USD	7,787.50	
05JUL17	S 1 PD05OCT17	2280.50	2585.00	USD	-7,612.50	
05JUL17	S 1 PD05OCT17	2278.50	2585.00	USD	-7,662.50	
05JUL17	S 1 PD05OCT17	2277.00	2585.00	USD	-7,700.00	
05JUL17	B 3 PD05OCT17	2265.50	2585.00	USD	23,962.50	
05JUL17	B 1 PD05OCT17	2265.00	2585.00	USD	8,000.00	
05JUL17	S 3 PD05OCT17	2246.00	2585.00	USD	-25,425.00	
05JUL17	S 1 PD05OCT17	2252.00	2585.00	USD	-8,325.00	
	NET 0 AVG BGHT 2270.89 SOLD 2265.06				**-1,312.50**	**0.00**
06JUL17	S 1 PD06OCT17	2260.00	2585.23	USD	-8,130.75	
06JUL17	S 1 PD06OCT17	2260.50	2585.23	USD	-8,118.25	
06JUL17	B 2 PD06OCT17	2281.00	2585.23	USD	15,211.50	
06JUL17	B 1 PD06OCT17	2288.00	2585.23	USD	7,430.75	
06JUL17	B 2 PD06OCT17	2289.00	2585.23	USD	14,811.50	
06JUL17	S 1 PD06OCT17	2287.00	2585.23	USD	-7,455.75	
06JUL17	S 2 PD06OCT17	2259.00	2585.23	USD	-16,311.50	
07JUL17	B 1 PD06OCT17	2290.00	2585.23	USD	7,380.75	
07JUL17	B 1 PD06OCT17	2291.00	2585.23	USD	7,355.75	
07JUL17	S 4 PD06OCT17	2301.00	2585.23	USD	-28,423.00	
07JUL17	B 1 PD06OCT17	2296.00	2585.23	USD	7,230.75	
07JUL17	B 1 PD06OCT17	2297.00	2585.23	USD	7,205.75	
07JUL17	B 2 PD06OCT17	2289.00	2585.23	USD	14,811.50	
07JUL17	B 1 PD06OCT17	2286.00	2585.23	USD	7,480.75	
07JUL17	S 1 PD06OCT17	2283.50	2585.23	USD	-7,543.25	
07JUL17	S 4 PD06OCT17	2284.00	2585.23	USD	-30,123.00	
07JUL17	B 1 PD06OCT17	2287.00	2585.23	USD	7,455.75	
07JUL17	S 1 PD06OCT17	2287.00	2585.23	USD	-7,455.75	
07JUL17	B 1 PD06OCT17	2280.00	2585.23	USD	7,630.75	
07JUL17	B 4 PD06OCT17	2288.00	2585.23	USD	29,723.00	
07JUL17	S 1 PD06OCT17	2288.00	2585.23	USD	-7,430.75	
07JUL17	S 1 PD06OCT17	2293.50	2585.23	USD	-7,293.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0034H

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
07JUL17	S 1 PD06OCT17	2297.00	2585.23	USD	-7,205.75	
	NET 0 AVG BGHT 2288.06 SOLD 2284.14				**-1,762.50**	**0.00**
10JUL17	B 1 PD10OCT17	2286.50	2586.15	USD	7,491.25	
10JUL17	S 1 PD10OCT17	2280.00	2586.15	USD	-7,653.75	
10JUL17	B 1 PD10OCT17	2296.00	2586.15	USD	7,253.75	
10JUL17	B 1 PD10OCT17	2285.00	2586.15	USD	7,528.75	
10JUL17	S 2 PD10OCT17	2276.00	2586.15	USD	-15,507.50	
10JUL17	S 1 PD10OCT17	2277.50	2586.15	USD	-7,716.25	
10JUL17	B 1 PD10OCT17	2287.50	2586.15	USD	7,466.25	
	NET 0 AVG BGHT 2288.75 SOLD 2277.38				**-1,137.50**	**0.00**
17JUL17	S 1 PD17OCT17	2326.00	2587.77	USD	-6,544.25	
17JUL17	S 1 PD17OCT17	2296.00	2587.77	USD	-7,294.25	
17JUL17	S 1 PD17OCT17	2295.00	2587.77	USD	-7,319.25	
19JUL17	B 3 PD17OCT17	2217.30	2587.77	USD	27,785.25	
	NET 0 AVG BGHT 2217.30 SOLD 2305.67				**6,627.50**	**0.00**
18JUL17	B 1 PD18OCT17	2285.50	2588.00	USD	7,562.50	
18JUL17	B 1 PD18OCT17	2289.50	2588.00	USD	7,462.50	
18JUL17	B 2 PD18OCT17	2290.00	2588.00	USD	14,900.00	
18JUL17	B 2 PD18OCT17	2292.00	2588.00	USD	14,800.00	
18JUL17	S 1 PD18OCT17	2285.50	2588.00	USD	-7,562.50	
18JUL17	S 1 PD18OCT17	2297.50	2588.00	USD	-7,262.50	
18JUL17	S 1 PD18OCT17	2272.00	2588.00	USD	-7,900.00	
19JUL17	S 3 PD18OCT17	2217.30	2588.00	USD	-27,802.50	
	NET 0 AVG BGHT 2289.83 SOLD 2251.15				**-5,802.50**	**0.00**
19JUL17	S 1 PD19OCT17	2269.50	2588.00	USD	-7,962.50	
19JUL17	B 1 PD19OCT17	2247.00	2588.00	USD	8,525.00	
19JUL17	B 1 PD19OCT17	2248.00	2588.00	USD	8,500.00	
19JUL17	S 1 PD19OCT17	2217.50	2588.00	USD	-9,262.50	
	NET 0 AVG BGHT 2247.50 SOLD 2243.50				**-200.00**	**0.00**
20JUL17	S 1 PD20OCT17	2207.50	2588.00	USD	-9,512.50	
20JUL17	S 1 PD20OCT17	2208.00	2588.00	USD	-9,500.00	
20JUL17	S 1 PD20OCT17	2212.00	2588.00	USD	-9,400.00	
20JUL17	S 1 PD20OCT17	2212.50	2588.00	USD	-9,387.50	
20JUL17	S 1 PD20OCT17	2213.00	2588.00	USD	-9,375.00	
20JUL17	S 3 PD20OCT17	2214.00	2588.00	USD	-28,050.00	
21JUL17	B 2 PD20OCT17	2226.00	2588.00	USD	18,100.00	
21JUL17	B 1 PD20OCT17	2227.00	2588.00	USD	9,025.00	
21JUL17	S 1 PD20OCT17	2229.00	2588.00	USD	-8,975.00	
21JUL17	S 1 PD20OCT17	2238.50	2588.00	USD	-8,737.50	
21JUL17	S 1 PD20OCT17	2245.00	2588.00	USD	-8,575.00	
21JUL17	B 2 PD20OCT17	2235.00	2588.00	USD	17,650.00	
21JUL17	B 2 PD20OCT17	2236.00	2588.00	USD	17,600.00	
21JUL17	S 1 PD20OCT17	2233.50	2588.00	USD	-8,862.50	
21JUL17	B 2 PD20OCT17	2241.50	2588.00	USD	17,325.00	
21JUL17	B 3 PD20OCT17	2228.00	2588.00	USD	27,000.00	
	NET 0 AVG BGHT 2232.33 SOLD 2220.08				**-3,675.00**	**0.00**
25JUL17	S 2 PD25OCT17	2285.50	2588.00	USD	-15,125.00	
25JUL17	S 1 PD25OCT17	2286.50	2588.00	USD	-7,537.50	
25JUL17	S 1 PD25OCT17	2288.50	2588.00	USD	-7,487.50	
25JUL17	S 1 PD25OCT17	2292.50	2588.00	USD	-7,387.50	
25JUL17	S 2 PD25OCT17	2294.50	2588.00	USD	-14,675.00	
25JUL17	S 1 PD25OCT17	2294.00	2588.00	USD	-7,350.00	
25JUL17	B 1 PD25OCT17	2291.50	2588.00	USD	7,412.50	
25JUL17	B 1 PD25OCT17	2297.50	2588.00	USD	7,262.50	
25JUL17	B 1 PD25OCT17	2299.50	2588.00	USD	7,212.50	
25JUL17	B 2 PD25OCT17	2301.00	2588.00	USD	14,350.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0034H

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
25JUL17	B 1 PD25OCT17	2302.00	2588.00	USD	7,150.00	
25JUL17	B 2 PD25OCT17	2307.00	2588.00	USD	14,050.00	
	NET 0 AVG BGHT 2300.81 SOLD 2290.19				**-2,125.00**	**0.00**
26JUL17	B 2 PD26OCT17	2330.00	2588.00	USD	12,900.00	
26JUL17	B 1 PD26OCT17	2332.50	2588.00	USD	6,387.50	
26JUL17	B 1 PD26OCT17	2333.50	2588.00	USD	6,362.50	
26JUL17	S 1 PD26OCT17	2316.50	2588.00	USD	-6,787.50	
26JUL17	S 1 PD26OCT17	2318.50	2588.00	USD	-6,737.50	
26JUL17	S 1 PD26OCT17	2321.00	2588.00	USD	-6,675.00	
26JUL17	S 1 PD26OCT17	2322.00	2588.00	USD	-6,650.00	
	NET 0 AVG BGHT 2331.50 SOLD 2319.50				**-1,200.00**	**0.00**
01AUG17	B 1 PD01NOV17	2316.00	2588.00	USD	6,800.00	
01AUG17	S 1 PD01NOV17	2313.00	2588.00	USD	-6,875.00	
01AUG17	S 1 PD01NOV17	2321.50	2588.00	USD	-6,662.50	
01AUG17	B 1 PD01NOV17	2319.00	2588.00	USD	6,725.00	
	NET 0 AVG BGHT 2317.50 SOLD 2317.25				**-12.50**	**0.00**
02AUG17	S 1 PD02NOV17	2349.00	2588.00	USD	-5,975.00	
02AUG17	B 1 PD02NOV17	2354.00	2588.00	USD	5,850.00	
	NET 0 AVG BGHT 2354.00 SOLD 2349.00				**-125.00**	**0.00**
03AUG17	S 2 PD03NOV17	2368.00	2588.00	USD	-11,000.00	
03AUG17	S 1 PD03NOV17	2369.50	2588.00	USD	-5,462.50	
03AUG17	B 3 PD03NOV17	2364.50	2588.00	USD	16,762.50	
04AUG17	S 1 PD03NOV17	2371.50	2588.00	USD	-5,412.50	
04AUG17	B 1 PD03NOV17	2366.00	2588.00	USD	5,550.00	
04AUG17	B 1 PD03NOV17	2368.00	2588.00	USD	5,500.00	
04AUG17	B 1 PD03NOV17	2365.00	2588.00	USD	5,575.00	
04AUG17	S 1 PD03NOV17	2365.50	2588.00	USD	-5,562.50	
04AUG17	S 1 PD03NOV17	2368.50	2588.00	USD	-5,487.50	
	NET 0 AVG BGHT 2365.42 SOLD 2368.50				**462.50**	**0.00**
07AUG17	S 1 PD07NOV17	2358.00	2588.00	USD	-5,750.00	
07AUG17	S 1 PD07NOV17	2369.50	2588.00	USD	-5,462.50	
07AUG17	S 1 PD07NOV17	2370.00	2588.00	USD	-5,450.00	
07AUG17	B 1 PD07NOV17	2377.50	2588.00	USD	5,262.50	
07AUG17	S 1 PD07NOV17	2377.50	2588.00	USD	-5,262.50	
07AUG17	B 2 PD07NOV17	2385.50	2588.00	USD	10,125.00	
07AUG17	B 1 PD07NOV17	2381.00	2588.00	USD	5,175.00	
	NET 0 AVG BGHT 2382.38 SOLD 2368.75				**-1,362.50**	**0.00**
08AUG17	S 1 PD08NOV17	2374.50	2588.00	USD	-5,337.50	
08AUG17	S 1 PD08NOV17	2375.50	2588.00	USD	-5,312.50	
08AUG17	B 1 PD08NOV17	2362.50	2588.00	USD	5,637.50	
08AUG17	B 2 PD08NOV17	2366.00	2588.00	USD	11,100.00	
08AUG17	B 1 PD08NOV17	2368.00	2588.00	USD	5,500.00	
08AUG17	S 1 PD08NOV17	2368.00	2588.00	USD	-5,500.00	
08AUG17	S 1 PD08NOV17	2370.00	2588.00	USD	-5,450.00	
08AUG17	S 1 PD08NOV17	2370.50	2588.00	USD	-5,437.50	
08AUG17	B 1 PD08NOV17	2367.50	2588.00	USD	5,512.50	
08AUG17	B 1 PD08NOV17	2367.00	2588.00	USD	5,525.00	
08AUG17	S 2 PD08NOV17	2374.00	2588.00	USD	-10,700.00	
08AUG17	S 1 PD08NOV17	2388.00	2588.00	USD	-5,000.00	
08AUG17	S 2 PD08NOV17	2390.00	2588.00	USD	-9,900.00	
08AUG17	B 1 PD08NOV17	2384.00	2588.00	USD	5,100.00	
08AUG17	S 1 PD08NOV17	2389.00	2588.00	USD	-4,975.00	
08AUG17	B 1 PD08NOV17	2391.00	2588.00	USD	4,925.00	
08AUG17	B 1 PD08NOV17	2392.50	2588.00	USD	4,887.50	
08AUG17	B 2 PD08NOV17	2393.00	2588.00	USD	9,750.00	
	NET 0 AVG BGHT 2377.32 SOLD 2378.50					**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0034H

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT				**325.00**	
09AUG17	S 1 PD09NOV17	2420.50	2588.00	USD	-4,187.50	
09AUG17	S 1 PD09NOV17	2421.50	2588.00	USD	-4,162.50	
09AUG17	B 2 PD09NOV17	2400.00	2588.00	USD	9,400.00	
09AUG17	B 1 PD09NOV17	2393.50	2588.00	USD	4,862.50	
09AUG17	S 1 PD09NOV17	2414.50	2588.00	USD	-4,337.50	
	NET 0 AVG BGHT 2397.83 SOLD 2418.83				**1,575.00**	**0.00**
10AUG17	S 1 PD10NOV17	2380.00	2588.00	USD	-5,200.00	
10AUG17	B 2 PD10NOV17	2380.50	2588.00	USD	10,375.00	
10AUG17	S 5 PD10NOV17	2379.00	2588.00	USD	-26,125.00	
10AUG17	S 1 PD10NOV17	2375.50	2588.00	USD	-5,312.50	
11AUG17	B 5 PD10NOV17	2339.00	2588.00	USD	31,125.00	
11AUG17	S 1 PD10NOV17	2338.50	2588.00	USD	-6,237.50	
11AUG17	S 1 PD10NOV17	2340.50	2588.00	USD	-6,187.50	
11AUG17	S 1 PD10NOV17	2342.50	2588.00	USD	-6,137.50	
11AUG17	S 2 PD10NOV17	2350.00	2588.00	USD	-11,900.00	
11AUG17	B 1 PD10NOV17	2349.00	2588.00	USD	5,975.00	
11AUG17	S 1 PD10NOV17	2347.00	2588.00	USD	-6,025.00	
11AUG17	B 1 PD10NOV17	2345.50	2588.00	USD	6,062.50	
11AUG17	B 1 PD10NOV17	2341.50	2588.00	USD	6,162.50	
11AUG17	B 1 PD10NOV17	2342.00	2588.00	USD	6,150.00	
11AUG17	B 1 PD10NOV17	2342.50	2588.00	USD	6,137.50	
11AUG17	B 1 PD10NOV17	2345.00	2588.00	USD	6,075.00	
	NET 0 AVG BGHT 2347.81 SOLD 2363.00				**4,937.50**	**0.00**
14AUG17	S 1 PD14NOV17	2345.00	2588.00	USD	-6,075.00	
14AUG17	S 4 PD14NOV17	2346.00	2588.00	USD	-24,200.00	
14AUG17	B 1 PD14NOV17	2338.50	2588.00	USD	6,237.50	
14AUG17	S 1 PD14NOV17	2342.00	2588.00	USD	-6,150.00	
14AUG17	S 1 PD14NOV17	2340.50	2588.00	USD	-6,187.50	
14AUG17	S 2 PD14NOV17	2343.00	2588.00	USD	-12,250.00	
14AUG17	B 3 PD14NOV17	2336.00	2588.00	USD	18,900.00	
14AUG17	B 2 PD14NOV17	2337.00	2588.00	USD	12,550.00	
14AUG17	S 1 PD14NOV17	2347.50	2588.00	USD	-6,012.50	
14AUG17	S 1 PD14NOV17	2348.00	2588.00	USD	-6,000.00	
14AUG17	B 1 PD14NOV17	2343.00	2588.00	USD	6,125.00	
14AUG17	B 4 PD14NOV17	2338.00	2588.00	USD	25,000.00	
	NET 0 AVG BGHT 2337.77 SOLD 2344.82				**1,937.50**	**0.00**
21AUG17	B 1 PD21NOV17	2364.50	2588.77	USD	5,606.75	
21AUG17	S 1 PD21NOV17	2368.50	2588.77	USD	-5,506.75	
21AUG17	S 1 PD21NOV17	2378.00	2588.77	USD	-5,269.25	
21AUG17	B 1 PD21NOV17	2376.00	2588.77	USD	5,319.25	
21AUG17	S 1 PD21NOV17	2389.00	2588.77	USD	-4,994.25	
21AUG17	S 2 PD21NOV17	2390.00	2588.77	USD	-9,938.50	
21AUG17	B 1 PD21NOV17	2352.00	2588.77	USD	5,919.25	
21AUG17	B 1 PD21NOV17	2355.00	2588.77	USD	5,844.25	
21AUG17	B 1 PD21NOV17	2345.50	2588.77	USD	6,081.75	
	NET 0 AVG BGHT 2358.60 SOLD 2383.10				**3,062.50**	**0.00**
22AUG17	B 1 PD22NOV17	2357.00	2588.90	USD	5,797.50	
22AUG17	S 1 PD22NOV17	2340.00	2588.90	USD	-6,222.50	
22AUG17	S 3 PD22NOV17	2370.00	2588.90	USD	-16,417.50	
22AUG17	S 1 PD22NOV17	2374.00	2588.90	USD	-5,372.50	
22AUG17	S 1 PD22NOV17	2376.00	2588.90	USD	-5,322.50	
22AUG17	S 1 PD22NOV17	2403.00	2588.90	USD	-4,647.50	
22AUG17	B 1 PD22NOV17	2397.00	2588.90	USD	4,797.50	
22AUG17	B 1 PD22NOV17	2401.00	2588.90	USD	4,697.50	
22AUG17	S 1 PD22NOV17	2422.50	2588.90	USD	-4,160.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0034H

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
22AUG17	B 1 PD22NOV17	2407.50	2588.90	USD	4,535.00	
22AUG17	B 1 PD22NOV17	2408.50	2588.90	USD	4,510.00	
22AUG17	B 1 PD22NOV17	2409.00	2588.90	USD	4,497.50	
22AUG17	B 2 PD22NOV17	2411.50	2588.90	USD	8,870.00	
	NET 0 AVG BGHT 2400.38 SOLD 2378.19				**-4,437.50**	**0.00**
23AUG17	B 1 PD24NOV17	2408.00	2589.16	USD	4,529.00	
23AUG17	B 1 PD24NOV17	2409.00	2589.16	USD	4,504.00	
23AUG17	S 1 PD24NOV17	2402.50	2589.16	USD	-4,666.50	
23AUG17	S 1 PD24NOV17	2406.00	2589.16	USD	-4,579.00	
23AUG17	S 1 PD24NOV17	2407.00	2589.16	USD	-4,554.00	
23AUG17	S 1 PD24NOV17	2414.50	2589.16	USD	-4,366.50	
23AUG17	S 1 PD24NOV17	2417.00	2589.16	USD	-4,304.00	
23AUG17	S 2 PD24NOV17	2412.00	2589.16	USD	-8,858.00	
23AUG17	S 1 PD24NOV17	2413.00	2589.16	USD	-4,404.00	
23AUG17	S 1 PD24NOV17	2415.00	2589.16	USD	-4,354.00	
23AUG17	B 1 PD24NOV17	2400.50	2589.16	USD	4,716.50	
23AUG17	B 1 PD24NOV17	2401.00	2589.16	USD	4,704.00	
23AUG17	B 1 PD24NOV17	2402.00	2589.16	USD	4,679.00	
23AUG17	B 1 PD24NOV17	2397.50	2589.16	USD	4,791.50	
23AUG17	B 1 PD24NOV17	2399.00	2589.16	USD	4,754.00	
23AUG17	B 1 PD24NOV17	2397.00	2589.16	USD	4,804.00	
23AUG17	B 1 PD24NOV17	2395.00	2589.16	USD	4,854.00	
24AUG17	S 2 PD24NOV17	2367.00	2589.16	USD	-11,108.00	
24AUG17	B 2 PD24NOV17	2364.00	2589.16	USD	11,258.00	
24AUG17	S 1 PD24NOV17	2354.50	2589.16	USD	-5,866.50	
24AUG17	S 1 PD24NOV17	2357.50	2589.16	USD	-5,791.50	
24AUG17	S 1 PD24NOV17	2360.00	2589.16	USD	-5,729.00	
24AUG17	S 2 PD24NOV17	2356.00	2589.16	USD	-11,658.00	
24AUG17	S 2 PD24NOV17	2358.00	2589.16	USD	-11,558.00	
24AUG17	B 1 PD24NOV17	2359.00	2589.16	USD	5,754.00	
24AUG17	B 1 PD24NOV17	2364.50	2589.16	USD	5,616.50	
24AUG17	S 1 PD24NOV17	2372.00	2589.16	USD	-5,429.00	
24AUG17	S 1 PD24NOV17	2374.00	2589.16	USD	-5,379.00	
24AUG17	S 1 PD24NOV17	2375.50	2589.16	USD	-5,341.50	
25AUG17	B 1 PD24NOV17	2338.50	2589.16	USD	6,266.50	
25AUG17	B 1 PD24NOV17	2341.00	2589.16	USD	6,204.00	
25AUG17	B 2 PD24NOV17	2330.50	2589.16	USD	12,933.00	
25AUG17	B 2 PD24NOV17	2321.00	2589.16	USD	13,408.00	
25AUG17	B 2 PD24NOV17	2326.00	2589.16	USD	13,158.00	
	NET 0 AVG BGHT 2366.43 SOLD 2383.55				**8,987.50**	**0.00**
31AUG17	B 1 PD30NOV17	2389.00	2589.93	USD	5,023.25	
31AUG17	B 1 PD30NOV17	2393.00	2589.93	USD	4,923.25	
31AUG17	B 2 PD30NOV17	2388.00	2589.93	USD	10,096.50	
31AUG17	B 1 PD30NOV17	2388.50	2589.93	USD	5,035.75	
31AUG17	B 1 PD30NOV17	2379.00	2589.93	USD	5,273.25	
31AUG17	S 1 PD30NOV17	2386.00	2589.93	USD	-5,098.25	
31AUG17	S 1 PD30NOV17	2388.00	2589.93	USD	-5,048.25	
31AUG17	S 1 PD30NOV17	2390.00	2589.93	USD	-4,998.25	
31AUG17	S 1 PD30NOV17	2391.00	2589.93	USD	-4,973.25	
06SEP17	S 2 PD30NOV17	2338.35	2589.93	USD	-12,579.00	
	NET 0 AVG BGHT 2387.58 SOLD 2371.95				**-2,345.00**	**0.00**
01SEP17	S 1 PD01DEC17	2405.50	2590.06	USD	-4,614.00	
01SEP17	S 1 PD01DEC17	2406.00	2590.06	USD	-4,601.50	
01SEP17	S 2 PD01DEC17	2391.00	2590.06	USD	-9,953.00	
01SEP17	S 1 PD01DEC17	2401.50	2590.06	USD	-4,714.00	
01SEP17	S 1 PD01DEC17	2408.00	2590.06	USD	-4,551.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0034H

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
01SEP17	S 2 PD01DEC17	2407.00	2590.06	USD	-9,153.00	
01SEP17	B 1 PD01DEC17	2407.00	2590.06	USD	4,576.50	
01SEP17	B 3 PD01DEC17	2408.50	2590.06	USD	13,617.00	
01SEP17	B 1 PD01DEC17	2404.00	2590.06	USD	4,651.50	
01SEP17	B 1 PD01DEC17	2403.00	2590.06	USD	4,676.50	
06SEP17	B 2 PD01DEC17	2338.83	2590.06	USD	12,561.50	
	NET 0 AVG BGHT 2389.65 SOLD 2402.13				**2,496.00**	**0.00**
04SEP17	B 1 PD04DEC17	2386.50	2590.44	USD	5,098.50	
04SEP17	B 1 PD04DEC17	2393.50	2590.44	USD	4,923.50	
04SEP17	S 2 PD04DEC17	2390.00	2590.44	USD	-10,022.00	
	NET 0 AVG BGHT 2390.00 SOLD 2390.00				**0.00**	**0.00**
05SEP17	S 2 PD05DEC17	2403.00	2590.57	USD	-9,378.50	
05SEP17	S 3 PD05DEC17	2403.50	2590.57	USD	-14,030.25	
05SEP17	B 2 PD05DEC17	2388.00	2590.57	USD	10,128.50	
05SEP17	B 2 PD05DEC17	2389.50	2590.57	USD	10,053.50	
05SEP17	B 3 PD05DEC17	2389.00	2590.57	USD	15,117.75	
05SEP17	S 1 PD05DEC17	2389.00	2590.57	USD	-5,039.25	
05SEP17	S 1 PD05DEC17	2369.00	2590.57	USD	-5,539.25	
05SEP17	S 3 PD05DEC17	2370.00	2590.57	USD	-16,542.75	
05SEP17	S 1 PD05DEC17	2370.50	2590.57	USD	-5,501.75	
05SEP17	B 1 PD05DEC17	2371.50	2590.57	USD	5,476.75	
05SEP17	B 1 PD05DEC17	2368.50	2590.57	USD	5,551.75	
05SEP17	S 1 PD05DEC17	2365.00	2590.57	USD	-5,639.25	
05SEP17	S 1 PD05DEC17	2361.50	2590.57	USD	-5,726.75	
05SEP17	S 1 PD05DEC17	2341.50	2590.57	USD	-6,226.75	
05SEP17	B 2 PD05DEC17	2338.00	2590.57	USD	12,628.50	
05SEP17	S 1 PD05DEC17	2338.00	2590.57	USD	-6,314.25	
05SEP17	S 1 PD05DEC17	2329.00	2590.57	USD	-6,539.25	
05SEP17	S 1 PD05DEC17	2340.50	2590.57	USD	-6,251.75	
08SEP17	B 6 PD05DEC17	2264.58	2590.57	USD	48,898.50	
	NET 0 AVG BGHT 2336.79 SOLD 2372.38				**15,125.50**	**0.00**
06SEP17	B 1 PD06DEC17	2338.00	2590.70	USD	6,317.50	
06SEP17	B 2 PD06DEC17	2338.50	2590.70	USD	12,610.00	
06SEP17	S 1 PD06DEC17	2335.00	2590.70	USD	-6,392.50	
06SEP17	S 1 PD06DEC17	2335.50	2590.70	USD	-6,380.00	
06SEP17	B 1 PD06DEC17	2322.00	2590.70	USD	6,717.50	
06SEP17	B 1 PD06DEC17	2354.00	2590.70	USD	5,917.50	
06SEP17	S 1 PD06DEC17	2356.50	2590.70	USD	-5,855.00	
06SEP17	S 2 PD06DEC17	2357.00	2590.70	USD	-11,685.00	
06SEP17	B 1 PD06DEC17	2346.50	2590.70	USD	6,105.00	
06SEP17	S 1 PD06DEC17	2336.00	2590.70	USD	-6,367.50	
06SEP17	B 1 PD06DEC17	2339.50	2590.70	USD	6,280.00	
06SEP17	B 3 PD06DEC17	2341.00	2590.70	USD	18,727.50	
06SEP17	B 1 PD06DEC17	2344.00	2590.70	USD	6,167.50	
06SEP17	S 1 PD06DEC17	2348.00	2590.70	USD	-6,067.50	
06SEP17	B 3 PD06DEC17	2345.00	2590.70	USD	18,427.50	
06SEP17	S 1 PD06DEC17	2361.00	2590.70	USD	-5,742.50	
06SEP17	S 1 PD06DEC17	2361.50	2590.70	USD	-5,730.00	
06SEP17	S 1 PD06DEC17	2341.00	2590.70	USD	-6,242.50	
06SEP17	B 2 PD06DEC17	2349.00	2590.70	USD	12,085.00	
08SEP17	S 6 PD06DEC17	2264.80	2590.70	USD	-48,885.00	
	NET 0 AVG BGHT 2342.31 SOLD 2317.33				**-9,992.50**	**0.00**
07SEP17	S 5 PD07DEC17	2344.00	2590.83	USD	-30,853.75	
07SEP17	B 1 PD07DEC17	2334.50	2590.83	USD	6,408.25	
07SEP17	B 1 PD07DEC17	2335.50	2590.83	USD	6,383.25	
07SEP17	S 1 PD07DEC17	2336.00	2590.83	USD	-6,370.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0034H

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
07SEP17	S 1 PD07DEC17	2341.00	2590.83	USD	-6,245.75	
07SEP17	B 1 PD07DEC17	2334.00	2590.83	USD	6,420.75	
07SEP17	B 1 PD07DEC17	2336.00	2590.83	USD	6,370.75	
07SEP17	B 1 PD07DEC17	2330.50	2590.83	USD	6,508.25	
07SEP17	B 5 PD07DEC17	2332.50	2590.83	USD	32,291.25	
07SEP17	S 3 PD07DEC17	2331.00	2590.83	USD	-19,487.25	
07SEP17	S 1 PD07DEC17	2331.50	2590.83	USD	-6,483.25	
07SEP17	S 1 PD07DEC17	2333.50	2590.83	USD	-6,433.25	
07SEP17	S 1 PD07DEC17	2339.50	2590.83	USD	-6,283.25	
07SEP17	S 2 PD07DEC17	2342.00	2590.83	USD	-12,441.50	
07SEP17	B 3 PD07DEC17	2350.00	2590.83	USD	18,062.25	
07SEP17	B 1 PD07DEC17	2351.00	2590.83	USD	5,995.75	
07SEP17	B 1 PD07DEC17	2342.00	2590.83	USD	6,220.75	
	NET 0 AVG BGHT 2338.40 SOLD 2338.57				**62.50**	**0.00**
08SEP17	S 1 PD08DEC17	2307.00	2590.96	USD	-7,099.00	
08SEP17	B 1 PD08DEC17	2304.00	2590.96	USD	7,174.00	
08SEP17	S 2 PD08DEC17	2308.50	2590.96	USD	-14,123.00	
08SEP17	B 1 PD08DEC17	2302.00	2590.96	USD	7,224.00	
08SEP17	B 3 PD08DEC17	2303.00	2590.96	USD	21,597.00	
08SEP17	S 1 PD08DEC17	2295.50	2590.96	USD	-7,386.50	
08SEP17	S 2 PD08DEC17	2298.00	2590.96	USD	-14,648.00	
08SEP17	S 1 PD08DEC17	2298.50	2590.96	USD	-7,311.50	
08SEP17	B 1 PD08DEC17	2301.00	2590.96	USD	7,249.00	
08SEP17	S 1 PD08DEC17	2295.00	2590.96	USD	-7,399.00	
08SEP17	S 1 PD08DEC17	2300.00	2590.96	USD	-7,274.00	
08SEP17	S 1 PD08DEC17	2301.50	2590.96	USD	-7,236.50	
08SEP17	S 1 PD08DEC17	2306.50	2590.96	USD	-7,111.50	
08SEP17	B 1 PD08DEC17	2291.00	2590.96	USD	7,499.00	
08SEP17	B 2 PD08DEC17	2284.00	2590.96	USD	15,348.00	
08SEP17	B 2 PD08DEC17	2289.00	2590.96	USD	15,098.00	
08SEP17	S 1 PD08DEC17	2283.50	2590.96	USD	-7,686.50	
08SEP17	B 1 PD08DEC17	2279.00	2590.96	USD	7,799.00	
	NET 0 AVG BGHT 2294.33 SOLD 2300.04				**1,712.50**	**0.00**
11SEP17	B 2 PD11DEC17	2269.50	2591.34	USD	16,092.00	
11SEP17	B 1 PD11DEC17	2277.50	2591.34	USD	7,846.00	
11SEP17	B 2 PD11DEC17	2280.00	2591.34	USD	15,567.00	
11SEP17	S 1 PD11DEC17	2273.00	2591.34	USD	-7,958.50	
11SEP17	S 1 PD11DEC17	2276.00	2591.34	USD	-7,883.50	
11SEP17	S 1 PD11DEC17	2286.50	2591.34	USD	-7,621.00	
11SEP17	B 1 PD11DEC17	2294.50	2591.34	USD	7,421.00	
11SEP17	S 2 PD11DEC17	2295.00	2591.34	USD	-14,817.00	
11SEP17	S 1 PD11DEC17	2296.00	2591.34	USD	-7,383.50	
11SEP17	S 1 PD11DEC17	2295.50	2591.34	USD	-7,396.00	
11SEP17	S 2 PD11DEC17	2294.00	2591.34	USD	-14,867.00	
11SEP17	S 2 PD11DEC17	2292.50	2591.34	USD	-14,942.00	
11SEP17	S 1 PD11DEC17	2287.50	2591.34	USD	-7,596.00	
11SEP17	S 2 PD11DEC17	2293.00	2591.34	USD	-14,917.00	
11SEP17	B 1 PD11DEC17	2291.00	2591.34	USD	7,508.50	
11SEP17	S 1 PD11DEC17	2283.00	2591.34	USD	-7,708.50	
11SEP17	B 1 PD11DEC17	2289.00	2591.34	USD	7,558.50	
11SEP17	S 1 PD11DEC17	2287.00	2591.34	USD	-7,608.50	
11SEP17	B 1 PD11DEC17	2281.50	2591.34	USD	7,746.00	
11SEP17	B 2 PD11DEC17	2283.00	2591.34	USD	15,417.00	
11SEP17	B 1 PD11DEC17	2277.00	2591.34	USD	7,858.50	
11SEP17	S 1 PD11DEC17	2279.50	2591.34	USD	-7,796.00	
11SEP17	B 1 PD11DEC17	2267.00	2591.34	USD	8,108.50	
11SEP17	B 4 PD11DEC17	2260.00	2591.34	USD	33,134.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0034H

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT								
	NET	**0**	**AVG BGHT 2275.44**	**SOLD 2289.00**				**5,762.50**	**0.00**
12SEP17	S	1	PD12DEC17		2272.50	2591.47	USD	-7,974.25	
12SEP17	S	1	PD12DEC17		2273.00	2591.47	USD	-7,961.75	
12SEP17	S	2	PD12DEC17		2274.00	2591.47	USD	-15,873.50	
12SEP17	S	1	PD12DEC17		2275.00	2591.47	USD	-7,911.75	
12SEP17	B	1	PD12DEC17		2278.50	2591.47	USD	7,824.25	
12SEP17	B	1	PD12DEC17		2279.00	2591.47	USD	7,811.75	
12SEP17	B	2	PD12DEC17		2272.00	2591.47	USD	15,973.50	
12SEP17	B	1	PD12DEC17		2273.50	2591.47	USD	7,949.25	
12SEP17	S	1	PD12DEC17		2270.00	2591.47	USD	-8,036.75	
12SEP17	B	1	PD12DEC17		2264.50	2591.47	USD	8,174.25	
12SEP17	S	1	PD12DEC17		2271.00	2591.47	USD	-8,011.75	
12SEP17	S	1	PD12DEC17		2271.50	2591.47	USD	-7,999.25	
12SEP17	S	1	PD12DEC17		2298.50	2591.47	USD	-7,324.25	
12SEP17	S	1	PD12DEC17		2299.00	2591.47	USD	-7,311.75	
12SEP17	S	1	PD12DEC17		2305.50	2591.47	USD	-7,149.25	
12SEP17	B	1	PD12DEC17		2305.50	2591.47	USD	7,149.25	
12SEP17	B	1	PD12DEC17		2308.00	2591.47	USD	7,086.75	
14SEP17	B	3	PD12DEC17		2306.43	2591.47	USD	21,378.00	
	NET	**0**	**AVG BGHT 2288.39**	**SOLD 2280.36**				**-2,207.25**	**0.00**
13SEP17	B	1	PD13DEC17		2288.00	2591.60	USD	7,590.00	
13SEP17	B	2	PD13DEC17		2289.00	2591.60	USD	15,130.00	
13SEP17	B	1	PD13DEC17		2291.00	2591.60	USD	7,515.00	
13SEP17	S	1	PD13DEC17		2297.50	2591.60	USD	-7,352.50	
14SEP17	S	3	PD13DEC17		2306.52	2591.60	USD	-21,381.00	
	NET	**0**	**AVG BGHT 2289.25**	**SOLD 2304.27**				**1,501.50**	**0.00**
14SEP17	B	5	PD14DEC17		2300.00	2591.73	USD	36,466.25	
14SEP17	S	3	PD14DEC17		2288.00	2591.73	USD	-22,779.75	
14SEP17	S	1	PD14DEC17		2288.50	2591.73	USD	-7,580.75	
14SEP17	S	1	PD14DEC17		2291.50	2591.73	USD	-7,505.75	
14SEP17	B	3	PD14DEC17		2286.50	2591.73	USD	22,892.25	
14SEP17	B	1	PD14DEC17		2287.00	2591.73	USD	7,618.25	
14SEP17	S	2	PD14DEC17		2295.00	2591.73	USD	-14,836.50	
14SEP17	S	1	PD14DEC17		2296.50	2591.73	USD	-7,380.75	
14SEP17	B	1	PD14DEC17		2274.50	2591.73	USD	7,930.75	
14SEP17	B	1	PD14DEC17		2272.00	2591.73	USD	7,993.25	
14SEP17	B	1	PD14DEC17		2274.00	2591.73	USD	7,943.25	
14SEP17	S	1	PD14DEC17		2284.00	2591.73	USD	-7,693.25	
14SEP17	S	1	PD14DEC17		2287.00	2591.73	USD	-7,618.25	
14SEP17	S	2	PD14DEC17		2293.00	2591.73	USD	-14,936.50	
14SEP17	S	1	PD14DEC17		2302.50	2591.73	USD	-7,230.75	
14SEP17	S	2	PD14DEC17		2304.00	2591.73	USD	-14,386.50	
14SEP17	B	1	PD14DEC17		2309.50	2591.73	USD	7,055.75	
14SEP17	B	1	PD14DEC17		2310.50	2591.73	USD	7,030.75	
14SEP17	B	1	PD14DEC17		2308.50	2591.73	USD	7,080.75	
14SEP17	B	1	PD14DEC17		2305.00	2591.73	USD	7,168.25	
14SEP17	B	1	PD14DEC17		2306.50	2591.73	USD	7,130.75	
14SEP17	S	2	PD14DEC17		2290.00	2591.73	USD	-15,086.50	
	NET	**0**	**AVG BGHT 2294.53**	**SOLD 2292.82**				**-725.00**	**0.00**
15SEP17	S	2	PD15DEC17		2336.00	2591.86	USD	-12,793.00	
15SEP17	S	1	PD15DEC17		2338.00	2591.86	USD	-6,346.50	
15SEP17	S	1	PD15DEC17		2338.50	2591.86	USD	-6,334.00	
15SEP17	S	2	PD15DEC17		2340.00	2591.86	USD	-12,593.00	
15SEP17	S	1	PD15DEC17		2342.50	2591.86	USD	-6,234.00	
15SEP17	B	1	PD15DEC17		2345.00	2591.86	USD	6,171.50	
15SEP17	S	2	PD15DEC17		2341.50	2591.86	USD	-12,518.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0034H

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
15SEP17	B 1 PD15DEC17	2348.50	2591.86	USD	6,084.00	
15SEP17	B 2 PD15DEC17	2356.00	2591.86	USD	11,793.00	
15SEP17	B 1 PD15DEC17	2347.00	2591.86	USD	6,121.50	
15SEP17	B 1 PD15DEC17	2348.00	2591.86	USD	6,096.50	
15SEP17	B 1 PD15DEC17	2350.50	2591.86	USD	6,034.00	
15SEP17	B 1 PD15DEC17	2347.50	2591.86	USD	6,109.00	
15SEP17	B 2 PD15DEC17	2341.00	2591.86	USD	12,543.00	
15SEP17	B 3 PD15DEC17	2342.50	2591.86	USD	18,702.00	
15SEP17	B 1 PD15DEC17	2346.00	2591.86	USD	6,146.50	
15SEP17	S 1 PD15DEC17	2348.50	2591.86	USD	-6,084.00	
15SEP17	S 1 PD15DEC17	2349.50	2591.86	USD	-6,059.00	
15SEP17	S 1 PD15DEC17	2351.50	2591.86	USD	-6,009.00	
15SEP17	S 2 PD15DEC17	2361.00	2591.86	USD	-11,543.00	
	NET 0 AVG BGHT 2346.71 SOLD 2344.68				**-712.50**	**0.00**
18SEP17	S 1 PD18DEC17	2393.00	2592.24	USD	-4,981.00	
18SEP17	S 1 PD18DEC17	2392.00	2592.24	USD	-5,006.00	
18SEP17	B 1 PD18DEC17	2386.00	2592.24	USD	5,156.00	
18SEP17	S 2 PD18DEC17	2402.50	2592.24	USD	-9,487.00	
18SEP17	B 1 PD18DEC17	2386.50	2592.24	USD	5,143.50	
18SEP17	B 1 PD18DEC17	2387.00	2592.24	USD	5,131.00	
18SEP17	B 1 PD18DEC17	2388.50	2592.24	USD	5,093.50	
	NET 0 AVG BGHT 2387.00 SOLD 2397.50				**1,050.00**	**0.00**
19SEP17	S 1 PD19DEC17	2385.00	2592.37	USD	-5,184.25	
19SEP17	B 1 PD19DEC17	2384.00	2592.37	USD	5,209.25	
19SEP17	S 1 PD19DEC17	2382.00	2592.37	USD	-5,259.25	
19SEP17	S 1 PD19DEC17	2385.50	2592.37	USD	-5,171.75	
19SEP17	S 1 PD19DEC17	2386.00	2592.37	USD	-5,159.25	
19SEP17	S 1 PD19DEC17	2393.50	2592.37	USD	-4,971.75	
19SEP17	S 1 PD19DEC17	2394.50	2592.37	USD	-4,946.75	
19SEP17	S 1 PD19DEC17	2397.50	2592.37	USD	-4,871.75	
19SEP17	S 2 PD19DEC17	2405.50	2592.37	USD	-9,343.50	
19SEP17	B 1 PD19DEC17	2403.00	2592.37	USD	4,734.25	
19SEP17	B 1 PD19DEC17	2396.00	2592.37	USD	4,909.25	
19SEP17	B 1 PD19DEC17	2412.50	2592.37	USD	4,496.75	
19SEP17	B 1 PD19DEC17	2414.00	2592.37	USD	4,459.25	
19SEP17	B 1 PD19DEC17	2410.00	2592.37	USD	4,559.25	
19SEP17	B 1 PD19DEC17	2399.00	2592.37	USD	4,834.25	
19SEP17	B 1 PD19DEC17	2405.50	2592.37	USD	4,671.75	
19SEP17	S 1 PD19DEC17	2418.50	2592.37	USD	-4,346.75	
19SEP17	B 1 PD19DEC17	2438.00	2592.37	USD	3,859.25	
19SEP17	S 1 PD19DEC17	2438.00	2592.37	USD	-3,859.25	
19SEP17	B 1 PD19DEC17	2446.00	2592.37	USD	3,659.25	
19SEP17	B 1 PD19DEC17	2412.00	2592.37	USD	4,509.25	
	NET 0 AVG BGHT 2410.91 SOLD 2399.23				**-3,212.50**	**0.00**
20SEP17	B 3 PD20DEC17	2454.50	2592.50	USD	10,350.00	
20SEP17	S 1 PD20DEC17	2452.00	2592.50	USD	-3,512.50	
20SEP17	S 1 PD20DEC17	2454.50	2592.50	USD	-3,450.00	
20SEP17	B 3 PD20DEC17	2452.50	2592.50	USD	10,500.00	
20SEP17	S 2 PD20DEC17	2463.00	2592.50	USD	-6,475.00	
20SEP17	S 3 PD20DEC17	2452.50	2592.50	USD	-10,500.00	
20SEP17	S 1 PD20DEC17	2467.00	2592.50	USD	-3,137.50	
20SEP17	S 3 PD20DEC17	2466.00	2592.50	USD	-9,487.50	
20SEP17	B 1 PD20DEC17	2454.00	2592.50	USD	3,462.50	
20SEP17	B 1 PD20DEC17	2455.00	2592.50	USD	3,437.50	
20SEP17	B 2 PD20DEC17	2456.00	2592.50	USD	6,825.00	
20SEP17	B 1 PD20DEC17	2452.00	2592.50	USD	3,512.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0034H

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
	NET 0 AVG BGHT 2454.00 SOLD 2459.55				**1,525.00**	**0.00**
21SEP17	B 1 PD21DEC17	2464.50	2592.29	USD	3,194.75	
21SEP17	B 1 PD21DEC17	2461.00	2592.29	USD	3,282.25	
21SEP17	S 2 PD21DEC17	2463.00	2592.29	USD	-6,464.50	
	NET 0 AVG BGHT 2462.75 SOLD 2463.00				**12.50**	**0.00**
22SEP17	B 2 PD22DEC17	2413.00	2592.07	USD	8,953.50	
22SEP17	B 1 PD22DEC17	2417.50	2592.07	USD	4,364.25	
22SEP17	B 1 PD22DEC17	2381.00	2592.07	USD	5,276.75	
22SEP17	B 2 PD22DEC17	2389.00	2592.07	USD	10,153.50	
22SEP17	S 1 PD22DEC17	2379.00	2592.07	USD	-5,326.75	
22SEP17	S 1 PD22DEC17	2402.00	2592.07	USD	-4,751.75	
22SEP17	S 1 PD22DEC17	2404.50	2592.07	USD	-4,689.25	
22SEP17	S 1 PD22DEC17	2405.50	2592.07	USD	-4,664.25	
22SEP17	S 1 PD22DEC17	2407.50	2592.07	USD	-4,614.25	
22SEP17	S 1 PD22DEC17	2410.00	2592.07	USD	-4,551.75	
22SEP17	S 1 PD22DEC17	2459.00	2592.07	USD	-3,326.75	
22SEP17	B 1 PD22DEC17	2463.00	2592.07	USD	3,226.75	
	NET 0 AVG BGHT 2409.36 SOLD 2409.64				**50.00**	**0.00**
03OCT17	S 1 PD03JAN18	2520.50	2591.00	USD	-1,762.50	
03OCT17	S 2 PD03JAN18	2520.00	2591.00	USD	-3,550.00	
03OCT17	B 1 PD03JAN18	2527.00	2591.00	USD	1,600.00	
03OCT17	B 1 PD03JAN18	2528.00	2591.00	USD	1,575.00	
03OCT17	B 1 PD03JAN18	2528.50	2591.00	USD	1,562.50	
	NET 0 AVG BGHT 2527.83 SOLD 2520.17				**-575.00**	**0.00**
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
04JUL17	B 2 PD04OCT17	9130.00	10531.23	USD	16,814.76	
04JUL17	B 2 PD04OCT17	9120.00	10531.23	USD	16,934.76	
04JUL17	B 1 PD04OCT17	9135.00	10531.23	USD	8,377.38	
04JUL17	S 1 PD04OCT17	9165.00	10531.23	USD	-8,197.38	
04JUL17	S 1 PD04OCT17	9195.00	10531.23	USD	-8,017.38	
04JUL17	S 1 PD04OCT17	9215.00	10531.23	USD	-7,897.38	
04JUL17	S 1 PD04OCT17	9175.00	10531.23	USD	-8,137.38	
04JUL17	S 1 PD04OCT17	9180.00	10531.23	USD	-8,107.38	
	NET 0 AVG BGHT 9127.00 SOLD 9186.00				**1,770.00**	**0.00**
06JUL17	B 1 PD06OCT17	9105.00	10533.77	USD	8,572.62	
06JUL17	S 2 PD06OCT17	9105.00	10533.77	USD	-17,145.24	
06JUL17	S 1 PD06OCT17	9110.00	10533.77	USD	-8,542.62	
07JUL17	B 1 PD06OCT17	9035.00	10533.77	USD	8,992.62	
07JUL17	B 1 PD06OCT17	9045.00	10533.77	USD	8,932.62	
07JUL17	B 2 PD06OCT17	9020.00	10533.77	USD	18,165.24	
07JUL17	B 2 PD06OCT17	8975.00	10533.77	USD	18,705.24	
07JUL17	B 1 PD06OCT17	8980.00	10533.77	USD	9,322.62	
07JUL17	B 3 PD06OCT17	9005.00	10533.77	USD	27,517.86	
07JUL17	S 1 PD06OCT17	9035.00	10533.77	USD	-8,992.62	
07JUL17	B 1 PD06OCT17	8940.00	10533.77	USD	9,562.62	
07JUL17	B 1 PD06OCT17	8945.00	10533.77	USD	9,532.62	
07JUL17	S 3 PD06OCT17	8980.00	10533.77	USD	-27,967.86	
11JUL17	S 4 PD06OCT17	9129.00	10533.77	USD	-33,714.48	
13JUL17	S 2 PD06OCT17	9206.00	10533.77	USD	-15,933.24	
	NET 0 AVG BGHT 9004.23 SOLD 9094.08				**7,008.00**	**0.00**
10JUL17	B 1 PD10OCT17	8935.00	10538.85	USD	9,623.10	
10JUL17	S 1 PD10OCT17	8900.00	10538.85	USD	-9,833.10	
10JUL17	S 2 PD10OCT17	9000.00	10538.85	USD	-18,466.20	
10JUL17	S 1 PD10OCT17	9005.00	10538.85	USD	-9,203.10	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0034H

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
10JUL17	S 1 PD10OCT17	9010.00	10538.85	USD	-9,173.10	
11JUL17	B 4 PD10OCT17	9130.80	10538.85	USD	33,793.20	
	NET 0 AVG BGHT 9091.64 SOLD 8983.00				**-3,259.20**	**0.00**
12JUL17	S 1 PD12OCT17	9200.00	10541.38	USD	-8,048.28	
12JUL17	S 1 PD12OCT17	9205.00	10541.38	USD	-8,018.28	
13JUL17	B 2 PD12OCT17	9210.43	10541.38	USD	15,971.40	
	NET 0 AVG BGHT 9210.43 SOLD 9202.50				**-95.16**	**0.00**
13JUL17	S 1 PD13OCT17	9260.00	10542.65	USD	-7,695.90	
13JUL17	S 1 PD13OCT17	9265.00	10542.65	USD	-7,665.90	
14JUL17	B 2 PD13OCT17	9550.00	10542.65	USD	11,911.80	
	NET 0 AVG BGHT 9550.00 SOLD 9262.50				**-3,450.00**	**0.00**
17JUL17	S 1 PD17OCT17	9645.00	10547.73	USD	-5,416.38	
17JUL17	B 2 PD17OCT17	9710.00	10547.73	USD	10,052.76	
17JUL17	S 1 PD17OCT17	9705.00	10547.73	USD	-5,056.38	
17JUL17	S 1 PD17OCT17	9710.00	10547.73	USD	-5,026.38	
17JUL17	S 1 PD17OCT17	9715.00	10547.73	USD	-4,996.38	
17JUL17	B 2 PD17OCT17	9620.00	10547.73	USD	11,132.76	
	NET 0 AVG BGHT 9665.00 SOLD 9693.75				**690.00**	**0.00**
24OCT16	B 3 PD18OCT17	10223.50	10549.00	USD	5,859.00	
25OCT16	S 3 PD18OCT17	10343.00	10549.00	USD	-3,708.00	
18JUL17	B 2 PD18OCT17	9575.00	10549.00	USD	11,688.00	
18JUL17	B 1 PD18OCT17	9585.00	10549.00	USD	5,784.00	
18JUL17	S 1 PD18OCT17	9610.00	10549.00	USD	-5,634.00	
18JUL17	S 2 PD18OCT17	9635.00	10549.00	USD	-10,968.00	
18JUL17	S 2 PD18OCT17	9640.00	10549.00	USD	-10,908.00	
18JUL17	B 2 PD18OCT17	9650.00	10549.00	USD	10,788.00	
	NET 0 AVG BGHT 9838.19 SOLD 9898.63				**2,901.00**	**0.00**
19JUL17	B 1 PD19OCT17	9685.00	10549.96	USD	5,189.76	
19JUL17	B 1 PD19OCT17	9690.00	10549.96	USD	5,159.76	
19JUL17	S 1 PD19OCT17	9735.00	10549.96	USD	-4,889.76	
19JUL17	S 1 PD19OCT17	9760.00	10549.96	USD	-4,739.76	
	NET 0 AVG BGHT 9687.50 SOLD 9747.50				**720.00**	**0.00**
20JUL17	B 1 PD20OCT17	9620.00	10550.93	USD	5,585.58	
20JUL17	B 1 PD20OCT17	9610.00	10550.93	USD	5,645.58	
20JUL17	B 2 PD20OCT17	9495.00	10550.93	USD	12,671.16	
20JUL17	S 1 PD20OCT17	9505.00	10550.93	USD	-6,275.58	
20JUL17	S 2 PD20OCT17	9510.00	10550.93	USD	-12,491.16	
20JUL17	S 1 PD20OCT17	9515.00	10550.93	USD	-6,215.58	
	NET 0 AVG BGHT 9555.00 SOLD 9510.00				**-1,080.00**	**0.00**
24JUL17	S 2 PD24OCT17	9775.00	10554.79	USD	-9,357.48	
24JUL17	S 1 PD24OCT17	9760.00	10554.79	USD	-4,768.74	
26JUL17	B 3 PD24OCT17	9995.00	10554.79	USD	10,076.22	
	NET 0 AVG BGHT 9995.00 SOLD 9770.00				**-4,050.00**	**0.00**
25JUL17	B 1 PD25OCT17	9910.00	10555.75	USD	3,874.50	
25JUL17	B 1 PD25OCT17	9905.00	10555.75	USD	3,904.50	
25JUL17	B 1 PD25OCT17	10005.00	10555.75	USD	3,304.50	
26JUL17	S 3 PD25OCT17	9993.67	10555.75	USD	-10,117.44	
	NET 0 AVG BGHT 9940.00 SOLD 9993.67				**966.06**	**0.00**
26JUL17	S 1 PD26OCT17	9945.00	10556.71	USD	-3,670.26	
26JUL17	B 1 PD26OCT17	10015.00	10556.71	USD	3,250.26	
	NET 0 AVG BGHT 10015.00 SOLD 9945.00				**-420.00**	**0.00**
28JUL17	B 1 PD27OCT17	10035.00	10557.68	USD	3,136.08	
28JUL17	S 1 PD27OCT17	10035.00	10557.68	USD	-3,136.08	
	NET 0 AVG BGHT 10035.00 SOLD 10035.00				**0.00**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0034H

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
31JUL17	B 1 PD31OCT17	10255.00	10561.54	USD	1,839.24	
31JUL17	B 1 PD31OCT17	10310.00	10561.54	USD	1,509.24	
31JUL17	B 2 PD31OCT17	10230.00	10561.54	USD	3,978.48	
31JUL17	B 1 PD31OCT17	10245.00	10561.54	USD	1,899.24	
31JUL17	B 1 PD31OCT17	10260.00	10561.54	USD	1,809.24	
31JUL17	B 1 PD31OCT17	10275.00	10561.54	USD	1,719.24	
31JUL17	B 1 PD31OCT17	10320.00	10561.54	USD	1,449.24	
31JUL17	S 2 PD31OCT17	10285.00	10561.54	USD	-3,318.48	
31JUL17	S 1 PD31OCT17	10295.00	10561.54	USD	-1,599.24	
31JUL17	S 1 PD31OCT17	10300.00	10561.54	USD	-1,569.24	
31JUL17	S 1 PD31OCT17	10310.00	10561.54	USD	-1,509.24	
31JUL17	B 2 PD31OCT17	10265.00	10561.54	USD	3,558.48	
31JUL17	S 1 PD31OCT17	10230.00	10561.54	USD	-1,989.24	
31JUL17	S 1 PD31OCT17	10275.00	10561.54	USD	-1,719.24	
31JUL17	S 2 PD31OCT17	10280.00	10561.54	USD	-3,378.48	
31JUL17	B 5 PD31OCT17	10280.00	10561.54	USD	8,446.20	
31JUL17	B 1 PD31OCT17	10285.00	10561.54	USD	1,659.24	
03AUG17	S 7 PD31OCT17	10379.36	10561.54	USD	-7,651.56	
	NET 0 AVG BGHT 10271.25 SOLD 10324.72				**5,133.12**	**0.00**
01AUG17	S 1 PD01NOV17	10130.00	10562.50	USD	-2,595.00	
01AUG17	S 1 PD01NOV17	10125.00	10562.50	USD	-2,625.00	
01AUG17	S 1 PD01NOV17	10175.00	10562.50	USD	-2,325.00	
01AUG17	B 1 PD01NOV17	10130.00	10562.50	USD	2,595.00	
01AUG17	S 1 PD01NOV17	10135.00	10562.50	USD	-2,565.00	
01AUG17	S 1 PD01NOV17	10165.00	10562.50	USD	-2,385.00	
01AUG17	S 1 PD01NOV17	10180.00	10562.50	USD	-2,295.00	
01AUG17	S 1 PD01NOV17	10190.00	10562.50	USD	-2,235.00	
01AUG17	S 1 PD01NOV17	10200.00	10562.50	USD	-2,175.00	
03AUG17	B 7 PD01NOV17	10380.57	10562.50	USD	7,641.06	
	NET 0 AVG BGHT 10349.25 SOLD 10162.50				**-8,963.94**	**0.00**
02AUG17	B 1 PD02NOV17	10240.00	10563.46	USD	1,940.76	
02AUG17	S 1 PD02NOV17	10240.00	10563.46	USD	-1,940.76	
02AUG17	B 1 PD02NOV17	10325.00	10563.46	USD	1,430.76	
02AUG17	S 1 PD02NOV17	10345.00	10563.46	USD	-1,310.76	
	NET 0 AVG BGHT 10282.50 SOLD 10292.50				**120.00**	**0.00**
03AUG17	S 2 PD03NOV17	10365.00	10564.43	USD	-2,393.16	
03AUG17	S 1 PD03NOV17	10375.00	10564.43	USD	-1,136.58	
04AUG17	S 1 PD03NOV17	10385.00	10564.43	USD	-1,076.58	
04AUG17	B 2 PD03NOV17	10385.00	10564.43	USD	2,153.16	
04AUG17	B 2 PD03NOV17	10380.00	10564.43	USD	2,213.16	
	NET 0 AVG BGHT 10382.50 SOLD 10372.50				**-240.00**	**0.00**
07AUG17	B 2 PD07NOV17	10335.00	10568.29	USD	2,799.48	
07AUG17	B 1 PD07NOV17	10350.00	10568.29	USD	1,309.74	
07AUG17	S 1 PD07NOV17	10365.00	10568.29	USD	-1,219.74	
07AUG17	S 1 PD07NOV17	10385.00	10568.29	USD	-1,099.74	
07AUG17	S 2 PD07NOV17	10395.00	10568.29	USD	-2,079.48	
07AUG17	S 1 PD07NOV17	10360.00	10568.29	USD	-1,249.74	
07AUG17	B 1 PD07NOV17	10370.00	10568.29	USD	1,189.74	
07AUG17	B 4 PD07NOV17	10365.00	10568.29	USD	4,878.96	
07AUG17	S 1 PD07NOV17	10380.00	10568.29	USD	-1,129.74	
10AUG17	S 2 PD07NOV17	10983.24	10568.29	USD	4,979.40	
	NET 0 AVG BGHT 10356.25 SOLD 10530.81				**8,378.88**	**0.00**
08AUG17	B 1 PD08NOV17	10300.00	10569.25	USD	1,615.50	
08AUG17	B 1 PD08NOV17	10305.00	10569.25	USD	1,585.50	
08AUG17	B 1 PD08NOV17	10270.00	10569.25	USD	1,795.50	
08AUG17	S 2 PD08NOV17	10330.00	10569.25	USD	-2,871.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0034H

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
08AUG17	S 1 PD08NOV17	10340.00	10569.25	USD	-1,375.50	
08AUG17	S 1 PD08NOV17	10365.00	10569.25	USD	-1,225.50	
08AUG17	S 1 PD08NOV17	10370.00	10569.25	USD	-1,195.50	
08AUG17	S 1 PD08NOV17	10615.00	10569.25	USD	274.50	
08AUG17	B 1 PD08NOV17	10775.00	10569.25	USD	-1,234.50	
10AUG17	B 2 PD08NOV17	10984.83	10569.25	USD	-4,986.96	
	NET 0 AVG BGHT 10603.28 SOLD 10391.67				**-7,617.96**	**0.00**
09AUG17	S 1 PD09NOV17	10735.00	10570.21	USD	988.74	
09AUG17	S 1 PD09NOV17	10810.00	10570.21	USD	1,438.74	
09AUG17	S 1 PD09NOV17	10765.00	10570.21	USD	1,168.74	
09AUG17	B 2 PD09NOV17	10800.00	10570.21	USD	-2,757.48	
09AUG17	B 1 PD09NOV17	10795.00	10570.21	USD	-1,348.74	
	NET 0 AVG BGHT 10798.33 SOLD 10770.00				**-510.00**	**0.00**
11AUG17	B 2 PD10NOV17	10740.00	10571.18	USD	-2,025.84	
11AUG17	S 1 PD10NOV17	10670.00	10571.18	USD	592.92	
11AUG17	B 2 PD10NOV17	10645.00	10571.18	USD	-885.84	
11AUG17	B 1 PD10NOV17	10630.00	10571.18	USD	-352.92	
11AUG17	S 4 PD10NOV17	10665.00	10571.18	USD	2,251.68	
	NET 0 AVG BGHT 10680.00 SOLD 10666.00				**-420.00**	**0.00**
14AUG17	B 1 PD14NOV17	10630.00	10575.04	USD	-329.76	
14AUG17	B 1 PD14NOV17	10640.00	10575.04	USD	-389.76	
14AUG17	B 1 PD14NOV17	10660.00	10575.04	USD	-509.76	
14AUG17	B 2 PD14NOV17	10635.00	10575.04	USD	-719.52	
14AUG17	B 1 PD14NOV17	10575.00	10575.04	USD	0.24	
14AUG17	B 1 PD14NOV17	10595.00	10575.04	USD	-119.76	
14AUG17	B 1 PD14NOV17	10605.00	10575.04	USD	-179.76	
14AUG17	S 1 PD14NOV17	10600.00	10575.04	USD	149.76	
14AUG17	S 1 PD14NOV17	10610.00	10575.04	USD	209.76	
14AUG17	B 1 PD14NOV17	10540.00	10575.04	USD	210.24	
14AUG17	B 1 PD14NOV17	10510.00	10575.04	USD	390.24	
14AUG17	S 1 PD14NOV17	10395.00	10575.04	USD	-1,080.24	
14AUG17	S 1 PD14NOV17	10445.00	10575.04	USD	-780.24	
14AUG17	S 6 PD14NOV17	10435.00	10575.04	USD	-5,041.44	
	NET 0 AVG BGHT 10602.50 SOLD 10466.00				**-8,190.00**	**0.00**
15AUG17	S 1 PD15NOV17	10430.00	10576.00	USD	-876.00	
22AUG17	B 1 PD15NOV17	11414.50	10576.00	USD	-5,031.00	
	NET 0 AVG BGHT 11414.50 SOLD 10430.00				**-5,907.00**	**0.00**
18AUG17	B 1 PD17NOV17	10800.00	10577.77	USD	-1,333.38	
22AUG17	S 1 PD17NOV17	11411.92	10577.77	USD	5,004.90	
	NET 0 AVG BGHT 10800.00 SOLD 11411.92				**3,671.52**	**0.00**
22AUG17	S 2 PD22NOV17	11335.00	10582.20	USD	9,033.60	
22AUG17	S 1 PD22NOV17	11470.00	10582.20	USD	5,326.80	
22AUG17	S 1 PD22NOV17	11500.00	10582.20	USD	5,506.80	
22AUG17	B 1 PD22NOV17	11460.00	10582.20	USD	-5,266.80	
22AUG17	B 1 PD22NOV17	11470.00	10582.20	USD	-5,326.80	
22AUG17	B 1 PD22NOV17	11420.00	10582.20	USD	-5,026.80	
22AUG17	B 1 PD22NOV17	11345.00	10582.20	USD	-4,576.80	
	NET 0 AVG BGHT 11423.75 SOLD 11410.00				**-330.00**	**0.00**
23AUG17	B 2 PD24NOV17	11335.00	10583.97	USD	-9,012.36	
23AUG17	S 1 PD24NOV17	11400.00	10583.97	USD	4,896.18	
23AUG17	S 3 PD24NOV17	11535.00	10583.97	USD	17,118.54	
23AUG17	S 3 PD24NOV17	11540.00	10583.97	USD	17,208.54	
23AUG17	S 1 PD24NOV17	11545.00	10583.97	USD	5,766.18	
23AUG17	S 1 PD24NOV17	11415.00	10583.97	USD	4,986.18	
23AUG17	B 1 PD24NOV17	11405.00	10583.97	USD	-4,926.18	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0034H

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
23AUG17	B 1 PD24NOV17	11410.00	10583.97	USD	-4,956.18	
23AUG17	B 1 PD24NOV17	11415.00	10583.97	USD	-4,986.18	
23AUG17	B 2 PD24NOV17	11445.00	10583.97	USD	-10,332.36	
23AUG17	B 2 PD24NOV17	11485.00	10583.97	USD	-10,812.36	
24AUG17	S 2 PD24NOV17	11710.00	10583.97	USD	13,512.36	
24AUG17	S 1 PD24NOV17	11715.00	10583.97	USD	6,786.18	
24AUG17	S 1 PD24NOV17	11720.00	10583.97	USD	6,816.18	
24AUG17	B 2 PD24NOV17	11710.00	10583.97	USD	-13,512.36	
24AUG17	B 1 PD24NOV17	11670.00	10583.97	USD	-6,516.18	
24AUG17	B 1 PD24NOV17	11675.00	10583.97	USD	-6,546.18	
24AUG17	B 1 PD24NOV17	11650.00	10583.97	USD	-6,396.18	
24AUG17	S 1 PD24NOV17	11650.00	10583.97	USD	6,396.18	
24AUG17	B 1 PD24NOV17	11725.00	10583.97	USD	-6,846.18	
24AUG17	B 2 PD24NOV17	11770.00	10583.97	USD	-14,232.36	
24AUG17	S 1 PD24NOV17	11770.00	10583.97	USD	7,116.18	
24AUG17	B 1 PD24NOV17	11775.00	10583.97	USD	-7,146.18	
24AUG17	S 3 PD24NOV17	11740.00	10583.97	USD	20,808.54	
24AUG17	S 1 PD24NOV17	11760.00	10583.97	USD	7,056.18	
24AUG17	B 1 PD24NOV17	11730.00	10583.97	USD	-6,876.18	
24AUG17	B 1 PD24NOV17	11735.00	10583.97	USD	-6,906.18	
24AUG17	S 5 PD24NOV17	11745.00	10583.97	USD	34,830.90	
25AUG17	B 1 PD24NOV17	11680.00	10583.97	USD	-6,576.18	
25AUG17	B 1 PD24NOV17	11685.00	10583.97	USD	-6,606.18	
25AUG17	B 1 PD24NOV17	11695.00	10583.97	USD	-6,666.18	
25AUG17	B 1 PD24NOV17	11705.00	10583.97	USD	-6,726.18	
	NET 0 AVG BGHT 11601.88 SOLD 11648.54				**6,720.00**	**0.00**
29AUG17	S 1 PD29NOV17	11645.00	10588.40	USD	6,339.60	
29AUG17	S 3 PD29NOV17	11700.00	10588.40	USD	20,008.80	
29AUG17	S 1 PD29NOV17	11720.00	10588.40	USD	6,789.60	
29AUG17	S 1 PD29NOV17	11725.00	10588.40	USD	6,819.60	
29AUG17	S 1 PD29NOV17	11635.00	10588.40	USD	6,279.60	
29AUG17	S 1 PD29NOV17	11690.00	10588.40	USD	6,609.60	
29AUG17	B 1 PD29NOV17	11770.00	10588.40	USD	-7,089.60	
29AUG17	B 1 PD29NOV17	11805.00	10588.40	USD	-7,299.60	
29AUG17	B 1 PD29NOV17	11825.00	10588.40	USD	-7,419.60	
29AUG17	B 1 PD29NOV17	11845.00	10588.40	USD	-7,539.60	
29AUG17	B 3 PD29NOV17	11860.00	10588.40	USD	-22,888.80	
29AUG17	B 1 PD29NOV17	11875.00	10588.40	USD	-7,719.60	
	NET 0 AVG BGHT 11837.50 SOLD 11689.38				**-7,110.00**	**0.00**
30AUG17	B 1 PD30NOV17	11715.00	10589.29	USD	-6,754.26	
30AUG17	S 3 PD30NOV17	11710.00	10589.29	USD	20,172.78	
30AUG17	B 1 PD30NOV17	11615.00	10589.29	USD	-6,154.26	
30AUG17	S 1 PD30NOV17	11615.00	10589.29	USD	6,154.26	
31AUG17	B 1 PD30NOV17	11670.00	10589.29	USD	-6,484.26	
31AUG17	B 3 PD30NOV17	11675.00	10589.29	USD	-19,542.78	
31AUG17	B 4 PD30NOV17	11680.00	10589.29	USD	-26,177.04	
31AUG17	S 3 PD30NOV17	11670.00	10589.29	USD	19,452.78	
31AUG17	S 1 PD30NOV17	11635.00	10589.29	USD	6,274.26	
31AUG17	S 1 PD30NOV17	11645.00	10589.29	USD	6,334.26	
31AUG17	S 1 PD30NOV17	11685.00	10589.29	USD	6,574.26	
	NET 0 AVG BGHT 11674.50 SOLD 11672.00				**-150.00**	**0.00**
01SEP17	S 1 PD01DEC17	11950.00	10590.17	USD	8,158.98	
01SEP17	B 1 PD01DEC17	11965.00	10590.17	USD	-8,248.98	
01SEP17	S 3 PD01DEC17	11965.00	10590.17	USD	24,746.94	
01SEP17	S 1 PD01DEC17	12020.00	10590.17	USD	8,578.98	
01SEP17	B 1 PD01DEC17	12045.00	10590.17	USD	-8,728.98	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0034H

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
01SEP17	B 1 PD01DEC17	12055.00	10590.17	USD	-8,788.98	
01SEP17	B 1 PD01DEC17	12060.00	10590.17	USD	-8,818.98	
01SEP17	S 3 PD01DEC17	12050.00	10590.17	USD	26,276.94	
01SEP17	B 1 PD01DEC17	11995.00	10590.17	USD	-8,428.98	
01SEP17	B 1 PD01DEC17	12000.00	10590.17	USD	-8,458.98	
01SEP17	B 2 PD01DEC17	12050.00	10590.17	USD	-17,517.96	
	NET 0 AVG BGHT 12027.50 SOLD 12001.88				**-1,230.00**	**0.00**
04SEP17	B 1 PD04DEC17	12220.00	10592.83	USD	-9,763.02	
04SEP17	B 1 PD04DEC17	12230.00	10592.83	USD	-9,823.02	
04SEP17	B 1 PD04DEC17	12255.00	10592.83	USD	-9,973.02	
04SEP17	B 1 PD04DEC17	12265.00	10592.83	USD	-10,033.02	
04SEP17	B 1 PD04DEC17	12270.00	10592.83	USD	-10,063.02	
04SEP17	B 2 PD04DEC17	12275.00	10592.83	USD	-20,186.04	
04SEP17	B 1 PD04DEC17	12165.00	10592.83	USD	-9,433.02	
04SEP17	B 1 PD04DEC17	12235.00	10592.83	USD	-9,853.02	
04SEP17	B 1 PD04DEC17	12250.00	10592.83	USD	-9,943.02	
04SEP17	S 1 PD04DEC17	12130.00	10592.83	USD	9,223.02	
04SEP17	S 1 PD04DEC17	12250.00	10592.83	USD	9,943.02	
04SEP17	B 1 PD04DEC17	12130.00	10592.83	USD	-9,223.02	
04SEP17	B 1 PD04DEC17	12190.00	10592.83	USD	-9,583.02	
04SEP17	B 1 PD04DEC17	12195.00	10592.83	USD	-9,613.02	
04SEP17	S 1 PD04DEC17	12205.00	10592.83	USD	9,673.02	
04SEP17	S 2 PD04DEC17	12210.00	10592.83	USD	19,406.04	
04SEP17	S 2 PD04DEC17	12190.00	10592.83	USD	19,166.04	
04SEP17	S 1 PD04DEC17	12260.00	10592.83	USD	10,003.02	
08SEP17	S 5 PD04DEC17	11587.65	10592.83	USD	29,844.60	
	NET 0 AVG BGHT 12227.31 SOLD 11967.94				**-20,230.50**	**0.00**
05SEP17	S 1 PD05DEC17	12220.00	10593.71	USD	9,757.74	
05SEP17	S 1 PD05DEC17	12225.00	10593.71	USD	9,787.74	
05SEP17	S 1 PD05DEC17	12200.00	10593.71	USD	9,637.74	
05SEP17	S 1 PD05DEC17	12185.00	10593.71	USD	9,547.74	
05SEP17	S 1 PD05DEC17	12235.00	10593.71	USD	9,847.74	
08SEP17	B 5 PD05DEC17	11589.24	10593.71	USD	-29,865.90	
	NET 0 AVG BGHT 11589.24 SOLD 12213.00				**18,712.80**	**0.00**
07SEP17	B 1 PD07DEC17	12150.00	10595.49	USD	-9,327.06	
07SEP17	B 1 PD07DEC17	12160.00	10595.49	USD	-9,387.06	
07SEP17	B 2 PD07DEC17	12170.00	10595.49	USD	-18,894.12	
07SEP17	B 3 PD07DEC17	12180.00	10595.49	USD	-28,521.18	
07SEP17	S 1 PD07DEC17	12230.00	10595.49	USD	9,807.06	
07SEP17	B 1 PD07DEC17	12125.00	10595.49	USD	-9,177.06	
07SEP17	B 1 PD07DEC17	12095.00	10595.49	USD	-8,997.06	
07SEP17	S 2 PD07DEC17	12195.00	10595.49	USD	19,194.12	
07SEP17	B 1 PD07DEC17	12120.00	10595.49	USD	-9,147.06	
07SEP17	S 1 PD07DEC17	12110.00	10595.49	USD	9,087.06	
07SEP17	S 1 PD07DEC17	12160.00	10595.49	USD	9,387.06	
07SEP17	S 1 PD07DEC17	12170.00	10595.49	USD	9,447.06	
07SEP17	B 2 PD07DEC17	12030.00	10595.49	USD	-17,214.12	
07SEP17	B 1 PD07DEC17	12040.00	10595.49	USD	-8,667.06	
07SEP17	S 3 PD07DEC17	12115.00	10595.49	USD	27,351.18	
07SEP17	S 2 PD07DEC17	12120.00	10595.49	USD	18,294.12	
07SEP17	S 3 PD07DEC17	12135.00	10595.49	USD	27,711.18	
07SEP17	B 1 PD07DEC17	12140.00	10595.49	USD	-9,267.06	
	NET 0 AVG BGHT 12126.43 SOLD 12146.43				**1,680.00**	**0.00**
08SEP17	B 1 PD08DEC17	12130.00	10596.37	USD	-9,201.78	
08SEP17	B 1 PD08DEC17	12170.00	10596.37	USD	-9,441.78	
08SEP17	B 2 PD08DEC17	11990.00	10596.37	USD	-16,723.56	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0034H

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
08SEP17	B 1 PD08DEC17	12030.00	10596.37	USD	-8,601.78	
08SEP17	B 1 PD08DEC17	11950.00	10596.37	USD	-8,121.78	
08SEP17	S 1 PD08DEC17	11945.00	10596.37	USD	8,091.78	
08SEP17	B 1 PD08DEC17	11920.00	10596.37	USD	-7,941.78	
08SEP17	B 1 PD08DEC17	11890.00	10596.37	USD	-7,761.78	
08SEP17	B 1 PD08DEC17	11905.00	10596.37	USD	-7,851.78	
08SEP17	S 1 PD08DEC17	11885.00	10596.37	USD	7,731.78	
08SEP17	B 1 PD08DEC17	11885.00	10596.37	USD	-7,731.78	
08SEP17	B 4 PD08DEC17	12150.00	10596.37	USD	-37,287.12	
08SEP17	S 2 PD08DEC17	11910.00	10596.37	USD	15,763.56	
08SEP17	B 1 PD08DEC17	12020.00	10596.37	USD	-8,541.78	
08SEP17	S 2 PD08DEC17	11900.00	10596.37	USD	15,643.56	
08SEP17	S 2 PD08DEC17	11920.00	10596.37	USD	15,883.56	
08SEP17	S 1 PD08DEC17	11925.00	10596.37	USD	7,971.78	
08SEP17	S 2 PD08DEC17	11905.00	10596.37	USD	15,703.56	
08SEP17	B 1 PD08DEC17	11765.00	10596.37	USD	-7,011.78	
08SEP17	B 1 PD08DEC17	11770.00	10596.37	USD	-7,041.78	
08SEP17	B 1 PD08DEC17	11740.00	10596.37	USD	-6,861.78	
08SEP17	S 1 PD08DEC17	11595.00	10596.37	USD	5,991.78	
08SEP17	S 1 PD08DEC17	11570.00	10596.37	USD	5,841.78	
08SEP17	S 1 PD08DEC17	11590.00	10596.37	USD	5,961.78	
08SEP17	S 4 PD08DEC17	11575.00	10596.37	USD	23,487.12	
	NET 0 AVG BGHT 11986.39 SOLD 11782.22				**-22,050.00**	**0.00**
11SEP17	S 1 PD11DEC17	11615.00	10599.03	USD	6,095.82	
11SEP17	S 1 PD11DEC17	11625.00	10599.03	USD	6,155.82	
11SEP17	S 1 PD11DEC17	11575.00	10599.03	USD	5,855.82	
11SEP17	B 1 PD11DEC17	11580.00	10599.03	USD	-5,885.82	
11SEP17	B 1 PD11DEC17	11570.00	10599.03	USD	-5,825.82	
11SEP17	B 1 PD11DEC17	11605.00	10599.03	USD	-6,035.82	
11SEP17	S 3 PD11DEC17	11595.00	10599.03	USD	17,927.46	
11SEP17	B 2 PD11DEC17	11585.00	10599.03	USD	-11,831.64	
11SEP17	B 1 PD11DEC17	11705.00	10599.03	USD	-6,635.82	
11SEP17	S 1 PD11DEC17	11710.00	10599.03	USD	6,665.82	
11SEP17	S 1 PD11DEC17	11640.00	10599.03	USD	6,245.82	
11SEP17	B 1 PD11DEC17	11630.00	10599.03	USD	-6,185.82	
11SEP17	B 1 PD11DEC17	11660.00	10599.03	USD	-6,365.82	
11SEP17	B 1 PD11DEC17	11665.00	10599.03	USD	-6,395.82	
11SEP17	B 1 PD11DEC17	11715.00	10599.03	USD	-6,695.82	
11SEP17	S 1 PD11DEC17	11735.00	10599.03	USD	6,815.82	
11SEP17	S 1 PD11DEC17	11720.00	10599.03	USD	6,725.82	
	NET 0 AVG BGHT 11630.00 SOLD 11640.50				**630.00**	**0.00**
12SEP17	B 1 PD12DEC17	11655.00	10599.91	USD	-6,330.54	
12SEP17	S 1 PD12DEC17	11705.00	10599.91	USD	6,630.54	
12SEP17	B 3 PD12DEC17	11640.00	10599.91	USD	-18,721.62	
12SEP17	B 2 PD12DEC17	11645.00	10599.91	USD	-12,541.08	
12SEP17	B 1 PD12DEC17	11650.00	10599.91	USD	-6,300.54	
12SEP17	S 3 PD12DEC17	11720.00	10599.91	USD	20,161.62	
12SEP17	S 1 PD12DEC17	11685.00	10599.91	USD	6,510.54	
12SEP17	S 1 PD12DEC17	11695.00	10599.91	USD	6,570.54	
12SEP17	S 2 PD12DEC17	11735.00	10599.91	USD	13,621.08	
12SEP17	S 1 PD12DEC17	11765.00	10599.91	USD	6,990.54	
12SEP17	B 1 PD12DEC17	11835.00	10599.91	USD	-7,410.54	
12SEP17	B 1 PD12DEC17	11870.00	10599.91	USD	-7,620.54	
12SEP17	S 1 PD12DEC17	11870.00	10599.91	USD	7,620.54	
12SEP17	S 1 PD12DEC17	11875.00	10599.91	USD	7,650.54	
12SEP17	B 1 PD12DEC17	11890.00	10599.91	USD	-7,740.54	
12SEP17	B 1 PD12DEC17	11905.00	10599.91	USD	-7,830.54	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0034H

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
12SEP17	S 1 PD12DEC17	11920.00	10599.91	USD	7,920.54	
15SEP17	B 1 PD12DEC17	11087.60	10599.91	USD	-2,926.14	
	NET 0 AVG BGHT 11675.22 SOLD 11762.08				**6,254.40**	**0.00**
13SEP17	B 1 PD13DEC17	11720.00	10600.80	USD	-6,715.20	
13SEP17	B 2 PD13DEC17	11740.00	10600.80	USD	-13,670.40	
13SEP17	B 1 PD13DEC17	11745.00	10600.80	USD	-6,865.20	
13SEP17	S 2 PD13DEC17	11765.00	10600.80	USD	13,970.40	
13SEP17	S 1 PD13DEC17	11780.00	10600.80	USD	7,075.20	
13SEP17	S 2 PD13DEC17	11785.00	10600.80	USD	14,210.40	
13SEP17	B 2 PD13DEC17	11730.00	10600.80	USD	-13,550.40	
13SEP17	B 1 PD13DEC17	11695.00	10600.80	USD	-6,565.20	
13SEP17	B 1 PD13DEC17	11625.00	10600.80	USD	-6,145.20	
13SEP17	B 1 PD13DEC17	11630.00	10600.80	USD	-6,175.20	
13SEP17	B 1 PD13DEC17	11635.00	10600.80	USD	-6,205.20	
13SEP17	B 1 PD13DEC17	11610.00	10600.80	USD	-6,055.20	
13SEP17	S 1 PD13DEC17	11615.00	10600.80	USD	6,085.20	
13SEP17	S 2 PD13DEC17	11595.00	10600.80	USD	11,930.40	
13SEP17	B 1 PD13DEC17	11350.00	10600.80	USD	-4,495.20	
13SEP17	B 1 PD13DEC17	11360.00	10600.80	USD	-4,555.20	
13SEP17	B 1 PD13DEC17	11365.00	10600.80	USD	-4,585.20	
13SEP17	S 2 PD13DEC17	11340.00	10600.80	USD	8,870.40	
13SEP17	S 1 PD13DEC17	11410.00	10600.80	USD	4,855.20	
13SEP17	B 1 PD13DEC17	11370.00	10600.80	USD	-4,615.20	
13SEP17	B 2 PD13DEC17	11385.00	10600.80	USD	-9,410.40	
13SEP17	S 2 PD13DEC17	11400.00	10600.80	USD	9,590.40	
13SEP17	S 1 PD13DEC17	11450.00	10600.80	USD	5,095.20	
13SEP17	S 1 PD13DEC17	11430.00	10600.80	USD	4,975.20	
13SEP17	S 1 PD13DEC17	11440.00	10600.80	USD	5,035.20	
13SEP17	S 1 PD13DEC17	11470.00	10600.80	USD	5,215.20	
13SEP17	S 2 PD13DEC17	11475.00	10600.80	USD	10,490.40	
13SEP17	S 1 PD13DEC17	11485.00	10600.80	USD	5,305.20	
13SEP17	S 1 PD13DEC17	11490.00	10600.80	USD	5,335.20	
13SEP17	S 1 PD13DEC17	11435.00	10600.80	USD	5,005.20	
13SEP17	B 1 PD13DEC17	11430.00	10600.80	USD	-4,975.20	
13SEP17	B 2 PD13DEC17	11435.00	10600.80	USD	-10,010.40	
15SEP17	B 2 PD13DEC17	11088.40	10600.80	USD	-5,851.20	
	NET 0 AVG BGHT 11513.26 SOLD 11532.95				**2,599.20**	**0.00**
14SEP17	B 1 PD14DEC17	11155.00	10601.69	USD	-3,319.86	
14SEP17	B 1 PD14DEC17	11165.00	10601.69	USD	-3,379.86	
14SEP17	S 1 PD14DEC17	11230.00	10601.69	USD	3,769.86	
14SEP17	B 2 PD14DEC17	11205.00	10601.69	USD	-7,239.72	
14SEP17	B 1 PD14DEC17	11200.00	10601.69	USD	-3,589.86	
14SEP17	S 1 PD14DEC17	11340.00	10601.69	USD	4,429.86	
14SEP17	B 1 PD14DEC17	11300.00	10601.69	USD	-4,189.86	
14SEP17	B 1 PD14DEC17	11265.00	10601.69	USD	-3,979.86	
14SEP17	B 1 PD14DEC17	11275.00	10601.69	USD	-4,039.86	
14SEP17	B 2 PD14DEC17	11280.00	10601.69	USD	-8,139.72	
14SEP17	S 1 PD14DEC17	11245.00	10601.69	USD	3,859.86	
14SEP17	S 1 PD14DEC17	11250.00	10601.69	USD	3,889.86	
14SEP17	B 1 PD14DEC17	11255.00	10601.69	USD	-3,919.86	
14SEP17	S 1 PD14DEC17	11260.00	10601.69	USD	3,949.86	
14SEP17	B 2 PD14DEC17	11250.00	10601.69	USD	-7,779.72	
14SEP17	B 2 PD14DEC17	11180.00	10601.69	USD	-6,939.72	
14SEP17	S 1 PD14DEC17	11220.00	10601.69	USD	3,709.86	
14SEP17	S 2 PD14DEC17	11280.00	10601.69	USD	8,139.72	
14SEP17	S 4 PD14DEC17	11255.00	10601.69	USD	15,679.44	
15SEP17	S 3 PD14DEC17	11088.20	10601.69	USD	8,757.18	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0034H

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
	NET	**0 AVG BGHT 11229.67**	**SOLD 11225.97**				**-332.40**	**0.00**
15SEP17	B	1	PD15DEC17	11135.00	10602.57	USD	-3,194.58	
15SEP17	B	6	PD15DEC17	11140.00	10602.57	USD	-19,347.48	
15SEP17	B	1	PD15DEC17	11165.00	10602.57	USD	-3,374.58	
15SEP17	B	1	PD15DEC17	11170.00	10602.57	USD	-3,404.58	
15SEP17	S	2	PD15DEC17	11085.00	10602.57	USD	5,789.16	
15SEP17	S	2	PD15DEC17	11135.00	10602.57	USD	6,389.16	
15SEP17	S	2	PD15DEC17	11100.00	10602.57	USD	5,969.16	
15SEP17	B	1	PD15DEC17	11065.00	10602.57	USD	-2,774.58	
15SEP17	S	1	PD15DEC17	11115.00	10602.57	USD	3,074.58	
15SEP17	S	2	PD15DEC17	11145.00	10602.57	USD	6,509.16	
15SEP17	S	2	PD15DEC17	11160.00	10602.57	USD	6,689.16	
15SEP17	S	1	PD15DEC17	11150.00	10602.57	USD	3,284.58	
15SEP17	S	2	PD15DEC17	11110.00	10602.57	USD	6,089.16	
15SEP17	B	1	PD15DEC17	10990.00	10602.57	USD	-2,324.58	
15SEP17	B	1	PD15DEC17	11015.00	10602.57	USD	-2,474.58	
15SEP17	B	2	PD15DEC17	11070.00	10602.57	USD	-5,609.16	
	NET	**0 AVG BGHT 11108.57**	**SOLD 11123.93**				**1,290.00**	**0.00**
18SEP17	S	1	PD18DEC17	11230.00	10605.23	USD	3,748.62	
18SEP17	B	1	PD18DEC17	11230.00	10605.23	USD	-3,748.62	
18SEP17	S	1	PD18DEC17	11220.00	10605.23	USD	3,688.62	
18SEP17	B	1	PD18DEC17	11180.00	10605.23	USD	-3,448.62	
18SEP17	B	1	PD18DEC17	11160.00	10605.23	USD	-3,328.62	
18SEP17	B	2	PD18DEC17	11090.00	10605.23	USD	-5,817.24	
18SEP17	B	1	PD18DEC17	11095.00	10605.23	USD	-2,938.62	
18SEP17	S	1	PD18DEC17	11110.00	10605.23	USD	3,028.62	
18SEP17	S	1	PD18DEC17	11160.00	10605.23	USD	3,328.62	
18SEP17	S	1	PD18DEC17	11200.00	10605.23	USD	3,568.62	
18SEP17	S	1	PD18DEC17	11185.00	10605.23	USD	3,478.62	
18SEP17	S	1	PD18DEC17	11190.00	10605.23	USD	3,508.62	
18SEP17	S	1	PD18DEC17	11195.00	10605.23	USD	3,538.62	
18SEP17	S	1	PD18DEC17	11240.00	10605.23	USD	3,808.62	
18SEP17	B	1	PD18DEC17	11170.00	10605.23	USD	-3,388.62	
18SEP17	S	1	PD18DEC17	11175.00	10605.23	USD	3,418.62	
21SEP17	B	3	PD18DEC17	11002.84	10605.23	USD	-7,156.98	
	NET	**0 AVG BGHT 11102.35**	**SOLD 11190.50**				**5,288.88**	**0.00**
19SEP17	B	1	PD19DEC17	11045.00	10606.11	USD	-2,633.34	
19SEP17	B	1	PD19DEC17	10950.00	10606.11	USD	-2,063.34	
19SEP17	B	1	PD19DEC17	10965.00	10606.11	USD	-2,153.34	
19SEP17	B	1	PD19DEC17	10970.00	10606.11	USD	-2,183.34	
19SEP17	B	1	PD19DEC17	10975.00	10606.11	USD	-2,213.34	
19SEP17	B	2	PD19DEC17	10980.00	10606.11	USD	-4,486.68	
19SEP17	B	3	PD19DEC17	10990.00	10606.11	USD	-6,910.02	
19SEP17	B	2	PD19DEC17	10985.00	10606.11	USD	-4,546.68	
19SEP17	S	2	PD19DEC17	10985.00	10606.11	USD	4,546.68	
19SEP17	B	1	PD19DEC17	10905.00	10606.11	USD	-1,793.34	
19SEP17	S	2	PD19DEC17	11015.00	10606.11	USD	4,906.68	
19SEP17	S	2	PD19DEC17	11035.00	10606.11	USD	5,146.68	
19SEP17	S	1	PD19DEC17	11030.00	10606.11	USD	2,543.34	
19SEP17	B	2	PD19DEC17	11010.00	10606.11	USD	-4,846.68	
19SEP17	S	4	PD19DEC17	11010.00	10606.11	USD	9,693.36	
19SEP17	S	1	PD19DEC17	11040.00	10606.11	USD	2,603.34	
19SEP17	S	2	PD19DEC17	11055.00	10606.11	USD	5,386.68	
19SEP17	S	1	PD19DEC17	11095.00	10606.11	USD	2,933.34	
19SEP17	S	2	PD19DEC17	11115.00	10606.11	USD	6,106.68	
19SEP17	B	2	PD19DEC17	11145.00	10606.11	USD	-6,466.68	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0034H

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT								
	NET	**0**	**AVG BGHT 11001.18**	**SOLD 11036.18**				**3,570.00**	**0.00**
20SEP17	B	1	PD20DEC17		11220.00	10607.00	USD	-3,678.00	
20SEP17	B	1	PD20DEC17		11225.00	10607.00	USD	-3,708.00	
20SEP17	B	1	PD20DEC17		11325.00	10607.00	USD	-4,308.00	
20SEP17	S	1	PD20DEC17		11410.00	10607.00	USD	4,818.00	
20SEP17	S	1	PD20DEC17		11450.00	10607.00	USD	5,058.00	
20SEP17	S	2	PD20DEC17		11445.00	10607.00	USD	10,056.00	
20SEP17	B	1	PD20DEC17		11395.00	10607.00	USD	-4,728.00	
20SEP17	B	1	PD20DEC17		11350.00	10607.00	USD	-4,458.00	
20SEP17	B	1	PD20DEC17		11360.00	10607.00	USD	-4,518.00	
20SEP17	B	1	PD20DEC17		11375.00	10607.00	USD	-4,608.00	
20SEP17	B	3	PD20DEC17		11355.00	10607.00	USD	-13,464.00	
20SEP17	B	1	PD20DEC17		11300.00	10607.00	USD	-4,158.00	
20SEP17	S	1	PD20DEC17		11385.00	10607.00	USD	4,668.00	
20SEP17	S	3	PD20DEC17		11370.00	10607.00	USD	13,734.00	
21SEP17	S	3	PD20DEC17		11003.50	10607.00	USD	7,137.00	
	NET	**0**	**AVG BGHT 11328.64**	**SOLD 11295.95**				**-2,157.00**	**0.00**
21SEP17	B	1	PD21DEC17		11035.00	10608.00	USD	-2,562.00	
21SEP17	B	1	PD21DEC17		11060.00	10608.00	USD	-2,712.00	
21SEP17	B	2	PD21DEC17		11045.00	10608.00	USD	-5,244.00	
21SEP17	S	2	PD21DEC17		11075.00	10608.00	USD	5,604.00	
21SEP17	B	2	PD21DEC17		11020.00	10608.00	USD	-4,944.00	
21SEP17	B	1	PD21DEC17		11040.00	10608.00	USD	-2,592.00	
21SEP17	B	1	PD21DEC17		10985.00	10608.00	USD	-2,262.00	
21SEP17	B	3	PD21DEC17		11005.00	10608.00	USD	-7,146.00	
21SEP17	B	1	PD21DEC17		10925.00	10608.00	USD	-1,902.00	
21SEP17	S	3	PD21DEC17		10990.00	10608.00	USD	6,876.00	
21SEP17	S	2	PD21DEC17		11005.00	10608.00	USD	4,764.00	
21SEP17	S	1	PD21DEC17		11085.00	10608.00	USD	2,862.00	
21SEP17	B	1	PD21DEC17		11100.00	10608.00	USD	-2,952.00	
21SEP17	S	2	PD21DEC17		10945.00	10608.00	USD	4,044.00	
21SEP17	S	3	PD21DEC17		11000.00	10608.00	USD	7,056.00	
	NET	**0**	**AVG BGHT 11022.31**	**SOLD 11008.08**				**-1,110.00**	**0.00**
22SEP17	B	1	PD22DEC17		10630.00	10609.00	USD	-126.00	
22SEP17	B	1	PD22DEC17		10605.00	10609.00	USD	24.00	
22SEP17	B	3	PD22DEC17		10620.00	10609.00	USD	-198.00	
22SEP17	S	1	PD22DEC17		10585.00	10609.00	USD	-144.00	
22SEP17	B	1	PD22DEC17		10545.00	10609.00	USD	384.00	
22SEP17	B	1	PD22DEC17		10550.00	10609.00	USD	354.00	
22SEP17	B	1	PD22DEC17		10520.00	10609.00	USD	534.00	
22SEP17	B	2	PD22DEC17		10615.00	10609.00	USD	-72.00	
22SEP17	B	1	PD22DEC17		10655.00	10609.00	USD	-276.00	
22SEP17	S	1	PD22DEC17		10550.00	10609.00	USD	-354.00	
22SEP17	S	2	PD22DEC17		10555.00	10609.00	USD	-648.00	
22SEP17	S	1	PD22DEC17		10485.00	10609.00	USD	-744.00	
22SEP17	S	1	PD22DEC17		10495.00	10609.00	USD	-684.00	
22SEP17	S	1	PD22DEC17		10515.00	10609.00	USD	-564.00	
22SEP17	S	2	PD22DEC17		10535.00	10609.00	USD	-888.00	
22SEP17	S	1	PD22DEC17		10540.00	10609.00	USD	-414.00	
22SEP17	S	1	PD22DEC17		10480.00	10609.00	USD	-774.00	
	NET	**0**	**AVG BGHT 10599.55**	**SOLD 10530.00**				**-4,590.00**	**0.00**
25SEP17	B	1	PD27DEC17		10575.00	10613.58	USD	231.48	
25SEP17	B	1	PD27DEC17		10580.00	10613.58	USD	201.48	
25SEP17	B	1	PD27DEC17		10585.00	10613.58	USD	171.48	
25SEP17	B	2	PD27DEC17		10545.00	10613.58	USD	822.96	
25SEP17	B	1	PD27DEC17		10540.00	10613.58	USD	441.48	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0034H

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
25SEP17	B 1 PD27DEC17	10475.00	10613.58	USD	831.48	
25SEP17	B 1 PD27DEC17	10530.00	10613.58	USD	501.48	
25SEP17	S 1 PD27DEC17	10550.00	10613.58	USD	-381.48	
25SEP17	B 1 PD27DEC17	10485.00	10613.58	USD	771.48	
25SEP17	B 1 PD27DEC17	10490.00	10613.58	USD	741.48	
25SEP17	B 1 PD27DEC17	10520.00	10613.58	USD	561.48	
25SEP17	B 1 PD27DEC17	10510.00	10613.58	USD	621.48	
25SEP17	B 1 PD27DEC17	10470.00	10613.58	USD	861.48	
25SEP17	B 1 PD27DEC17	10450.00	10613.58	USD	981.48	
25SEP17	S 1 PD27DEC17	10520.00	10613.58	USD	-561.48	
25SEP17	S 1 PD27DEC17	10525.00	10613.58	USD	-531.48	
25SEP17	S 3 PD27DEC17	10515.00	10613.58	USD	-1,774.44	
25SEP17	S 1 PD27DEC17	10510.00	10613.58	USD	-621.48	
25SEP17	B 2 PD27DEC17	10550.00	10613.58	USD	762.96	
25SEP17	S 2 PD27DEC17	10540.00	10613.58	USD	-882.96	
25SEP17	S 1 PD27DEC17	10580.00	10613.58	USD	-201.48	
25SEP17	S 1 PD27DEC17	10585.00	10613.58	USD	-171.48	
26SEP17	S 1 PD27DEC17	10640.00	10613.58	USD	158.52	
26SEP17	S 1 PD27DEC17	10630.00	10613.58	USD	98.52	
26SEP17	S 4 PD27DEC17	10580.00	10613.58	USD	-805.92	
26SEP17	B 2 PD27DEC17	10590.00	10613.58	USD	282.96	
26SEP17	S 1 PD27DEC17	10615.00	10613.58	USD	8.52	
26SEP17	S 1 PD27DEC17	10605.00	10613.58	USD	-51.48	
26SEP17	B 1 PD27DEC17	10595.00	10613.58	USD	111.48	
26SEP17	B 1 PD27DEC17	10600.00	10613.58	USD	81.48	
26SEP17	S 2 PD27DEC17	10515.00	10613.58	USD	-1,182.96	
26SEP17	S 1 PD27DEC17	10535.00	10613.58	USD	-471.48	
26SEP17	B 2 PD27DEC17	10500.00	10613.58	USD	1,362.96	
27SEP17	B 1 PD27DEC17	10535.00	10613.58	USD	471.48	
27SEP17	B 2 PD27DEC17	10540.00	10613.58	USD	882.96	
27SEP17	B 1 PD27DEC17	10335.00	10613.58	USD	1,671.48	
27SEP17	B 3 PD27DEC17	10290.00	10613.58	USD	5,824.44	
27SEP17	S 1 PD27DEC17	10295.00	10613.58	USD	-1,911.48	
27SEP17	S 1 PD27DEC17	10355.00	10613.58	USD	-1,551.48	
27SEP17	S 1 PD27DEC17	10360.00	10613.58	USD	-1,521.48	
27SEP17	S 1 PD27DEC17	10385.00	10613.58	USD	-1,371.48	
27SEP17	S 1 PD27DEC17	10280.00	10613.58	USD	-2,001.48	
	NET +2 AVG BGHT 10503.28 SOLD 10516.48				**3,462.96**	**0.00**
28SEP17	S 1 PD28DEC17	10250.00	10614.50	USD	-2,187.00	
28SEP17	B 1 PD28DEC17	10275.00	10614.50	USD	2,037.00	
28SEP17	S 1 PD28DEC17	10335.00	10614.50	USD	-1,677.00	
28SEP17	B 2 PD28DEC17	10250.00	10614.50	USD	4,374.00	
28SEP17	B 1 PD28DEC17	10265.00	10614.50	USD	2,097.00	
28SEP17	B 1 PD28DEC17	10260.00	10614.50	USD	2,127.00	
28SEP17	B 1 PD28DEC17	10335.00	10614.50	USD	1,677.00	
28SEP17	S 1 PD28DEC17	10395.00	10614.50	USD	-1,317.00	
28SEP17	S 1 PD28DEC17	10355.00	10614.50	USD	-1,557.00	
28SEP17	S 3 PD28DEC17	10360.00	10614.50	USD	-4,581.00	
28SEP17	S 1 PD28DEC17	10340.00	10614.50	USD	-1,647.00	
	NET -2 AVG BGHT 10272.50 SOLD 10344.38				**-654.00**	**0.00**
29SEP17	S 1 PD29DEC17	10590.00	10615.42	USD	-152.52	
29SEP17	S 1 PD29DEC17	10635.00	10615.42	USD	117.48	
29SEP17	S 1 PD29DEC17	10645.00	10615.42	USD	177.48	
29SEP17	S 1 PD29DEC17	10660.00	10615.42	USD	267.48	
29SEP17	S 1 PD29DEC17	10665.00	10615.42	USD	297.48	
29SEP17	S 1 PD29DEC17	10715.00	10615.42	USD	597.48	
29SEP17	B 3 PD29DEC17	10640.00	10615.42	USD	-442.44	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0034H

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
29SEP17	B 1 PD29DEC17	10670.00	10615.42	USD	-327.48	
29SEP17	B 2 PD29DEC17	10625.00	10615.42	USD	-114.96	
29SEP17	S 1 PD29DEC17	10695.00	10615.42	USD	477.48	
29SEP17	S 2 PD29DEC17	10700.00	10615.42	USD	1,014.96	
29SEP17	B 1 PD29DEC17	10655.00	10615.42	USD	-237.48	
29SEP17	B 1 PD29DEC17	10710.00	10615.42	USD	-567.48	
29SEP17	B 1 PD29DEC17	10730.00	10615.42	USD	-687.48	
29SEP17	B 1 PD29DEC17	10700.00	10615.42	USD	-507.48	
29SEP17	B 1 PD29DEC17	10480.00	10615.42	USD	812.52	
29SEP17	B 1 PD29DEC17	10490.00	10615.42	USD	752.52	
29SEP17	B 1 PD29DEC17	10530.00	10615.42	USD	512.52	
29SEP17	B 1 PD29DEC17	10620.00	10615.42	USD	-27.48	
29SEP17	B 1 PD29DEC17	10495.00	10615.42	USD	722.52	
29SEP17	S 2 PD29DEC17	10510.00	10615.42	USD	-1,265.04	
29SEP17	S 1 PD29DEC17	10495.00	10615.42	USD	-722.52	
29SEP17	S 2 PD29DEC17	10515.00	10615.42	USD	-1,205.04	
29SEP17	S 1 PD29DEC17	10530.00	10615.42	USD	-512.52	
29SEP17	B 1 PD29DEC17	10510.00	10615.42	USD	632.52	
29SEP17	S 1 PD29DEC17	10500.00	10615.42	USD	-692.52	
	NET 0 AVG BGHT 10610.00 SOLD 10598.75				**-1,080.00**	**0.00**
03OCT17	B 1 PD03JAN18	10495.00	10620.00	USD	750.00	
03OCT17	B 1 PD03JAN18	10530.00	10620.00	USD	540.00	
03OCT17	S 1 PD03JAN18	10495.00	10620.00	USD	-750.00	
03OCT17	S 1 PD03JAN18	10530.00	10620.00	USD	-540.00	
03OCT17	S 1 PD03JAN18	10555.00	10620.00	USD	-390.00	
03OCT17	S 1 PD03JAN18	10585.00	10620.00	USD	-210.00	
03OCT17	B 1 PD03JAN18	10680.00	10620.00	USD	-360.00	
03OCT17	S 1 PD03JAN18	10660.00	10620.00	USD	240.00	
03OCT17	S 1 PD03JAN18	10695.00	10620.00	USD	450.00	
03OCT17	S 2 PD03JAN18	10740.00	10620.00	USD	1,440.00	
03OCT17	S 1 PD03JAN18	10745.00	10620.00	USD	750.00	
03OCT17	S 1 PD03JAN18	10730.00	10620.00	USD	660.00	
03OCT17	S 1 PD03JAN18	10750.00	10620.00	USD	780.00	
03OCT17	S 2 PD03JAN18	10760.00	10620.00	USD	1,680.00	
03OCT17	S 1 PD03JAN18	10765.00	10620.00	USD	870.00	
03OCT17	B 2 PD03JAN18	10675.00	10620.00	USD	-660.00	
03OCT17	B 3 PD03JAN18	10670.00	10620.00	USD	-900.00	
03OCT17	S 1 PD03JAN18	10755.00	10620.00	USD	810.00	
03OCT17	B 2 PD03JAN18	10580.00	10620.00	USD	480.00	
03OCT17	B 2 PD03JAN18	10585.00	10620.00	USD	420.00	
03OCT17	B 1 PD03JAN18	10590.00	10620.00	USD	180.00	
03OCT17	B 1 PD03JAN18	10595.00	10620.00	USD	150.00	
03OCT17	B 1 PD03JAN18	10620.00	10620.00	USD	0.00	
03OCT17	B 1 PD03JAN18	10625.00	10620.00	USD	-30.00	
03OCT17	B 1 PD03JAN18	10635.00	10620.00	USD	-90.00	
03OCT17	B 1 PD03JAN18	10645.00	10620.00	USD	-150.00	
03OCT17	B 1 PD03JAN18	10565.00	10620.00	USD	330.00	
03OCT17	B 1 PD03JAN18	10575.00	10620.00	USD	270.00	
03OCT17	S 3 PD03JAN18	10655.00	10620.00	USD	630.00	
03OCT17	B 3 PD03JAN18	10650.00	10620.00	USD	-540.00	
03OCT17	B 3 PD03JAN18	10660.00	10620.00	USD	-720.00	
03OCT17	S 1 PD03JAN18	10665.00	10620.00	USD	270.00	
03OCT17	S 2 PD03JAN18	10670.00	10620.00	USD	600.00	
03OCT17	B 5 PD03JAN18	10665.00	10620.00	USD	-1,350.00	
03OCT17	S 2 PD03JAN18	10550.00	10620.00	USD	-840.00	
03OCT17	S 1 PD03JAN18	10565.00	10620.00	USD	-330.00	
03OCT17	S 1 PD03JAN18	10575.00	10620.00	USD	-270.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0034H

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
03OCT17	S 1 PD03JAN18	10600.00	10620.00	USD	-120.00	
03OCT17	S 5 PD03JAN18	10700.00	10620.00	USD	2,400.00	
	NET 0 AVG BGHT 10629.03 SOLD 10663.71				**6,450.00**	**0.00**
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
04JUL17	B 1 PD04OCT17	2767.00	3316.62	USD	13,740.50	
04JUL17	B 1 PD04OCT17	2769.00	3316.62	USD	13,690.50	
04JUL17	B 1 PD04OCT17	2770.50	3316.62	USD	13,653.00	
04JUL17	S 1 PD04OCT17	2769.00	3316.62	USD	-13,690.50	
04JUL17	S 2 PD04OCT17	2776.00	3316.62	USD	-27,031.00	
04JUL17	S 1 PD04OCT17	2778.00	3316.62	USD	-13,465.50	
04JUL17	S 1 PD04OCT17	2778.50	3316.62	USD	-13,453.00	
04JUL17	S 1 PD04OCT17	2782.00	3316.62	USD	-13,365.50	
04JUL17	S 1 PD04OCT17	2783.00	3316.62	USD	-13,340.50	
04JUL17	S 1 PD04OCT17	2783.50	3316.62	USD	-13,328.00	
04JUL17	S 1 PD04OCT17	2785.50	3316.62	USD	-13,278.00	
04JUL17	S 2 PD04OCT17	2784.00	3316.62	USD	-26,631.00	
04JUL17	B 2 PD04OCT17	2779.00	3316.62	USD	26,881.00	
04JUL17	B 1 PD04OCT17	2779.50	3316.62	USD	13,428.00	
04JUL17	S 2 PD04OCT17	2779.00	3316.62	USD	-26,881.00	
04JUL17	B 2 PD04OCT17	2776.50	3316.62	USD	27,006.00	
04JUL17	B 5 PD04OCT17	2781.00	3316.62	USD	66,952.50	
04JUL17	B 2 PD04OCT17	2780.00	3316.62	USD	26,831.00	
04JUL17	B 1 PD04OCT17	2781.50	3316.62	USD	13,378.00	
04JUL17	S 2 PD04OCT17	2777.00	3316.62	USD	-26,981.00	
04JUL17	S 1 PD04OCT17	2785.00	3316.62	USD	-13,290.50	
04JUL17	S 4 PD04OCT17	2786.50	3316.62	USD	-53,012.00	
04JUL17	B 2 PD04OCT17	2798.00	3316.62	USD	25,931.00	
04JUL17	B 2 PD04OCT17	2800.00	3316.62	USD	25,831.00	
	NET 0 AVG BGHT 2781.98 SOLD 2781.13				**-425.00**	**0.00**
05JUL17	B 1 PD05OCT17	2794.50	3313.50	USD	12,975.00	
05JUL17	B 1 PD05OCT17	2796.00	3313.50	USD	12,937.50	
05JUL17	S 1 PD05OCT17	2785.00	3313.50	USD	-13,212.50	
05JUL17	S 2 PD05OCT17	2789.00	3313.50	USD	-26,225.00	
05JUL17	S 1 PD05OCT17	2791.00	3313.50	USD	-13,062.50	
05JUL17	S 1 PD05OCT17	2789.50	3313.50	USD	-13,100.00	
05JUL17	B 1 PD05OCT17	2775.00	3313.50	USD	13,462.50	
05JUL17	B 2 PD05OCT17	2776.00	3313.50	USD	26,875.00	
05JUL17	B 1 PD05OCT17	2778.50	3313.50	USD	13,375.00	
05JUL17	B 1 PD05OCT17	2781.00	3313.50	USD	13,312.50	
05JUL17	S 1 PD05OCT17	2783.50	3313.50	USD	-13,250.00	
05JUL17	B 2 PD05OCT17	2769.50	3313.50	USD	27,200.00	
05JUL17	S 1 PD05OCT17	2776.50	3313.50	USD	-13,425.00	
05JUL17	S 1 PD05OCT17	2777.00	3313.50	USD	-13,412.50	
05JUL17	S 1 PD05OCT17	2778.00	3313.50	USD	-13,387.50	
05JUL17	B 2 PD05OCT17	2767.00	3313.50	USD	27,325.00	
05JUL17	B 1 PD05OCT17	2762.50	3313.50	USD	13,775.00	
05JUL17	B 1 PD05OCT17	2765.50	3313.50	USD	13,700.00	
05JUL17	S 1 PD05OCT17	2762.50	3313.50	USD	-13,775.00	
05JUL17	B 1 PD05OCT17	2755.00	3313.50	USD	13,962.50	
05JUL17	B 1 PD05OCT17	2755.50	3313.50	USD	13,950.00	
05JUL17	B 1 PD05OCT17	2757.00	3313.50	USD	13,912.50	
05JUL17	S 1 PD05OCT17	2760.50	3313.50	USD	-13,825.00	
05JUL17	S 1 PD05OCT17	2747.00	3313.50	USD	-14,162.50	
05JUL17	S 2 PD05OCT17	2769.50	3313.50	USD	-27,200.00	
05JUL17	S 1 PD05OCT17	2766.00	3313.50	USD	-13,687.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0034H

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
05JUL17	S 1 PD05OCT17	2743.50	3313.50	USD	-14,250.00	
	NET 0 AVG BGHT 2771.59 SOLD 2773.56				**787.50**	**0.00**
06JUL17	B 1 PD06OCT17	2760.00	3310.38	USD	13,759.50	
06JUL17	S 2 PD06OCT17	2755.00	3310.38	USD	-27,769.00	
06JUL17	S 1 PD06OCT17	2755.50	3310.38	USD	-13,872.00	
06JUL17	S 1 PD06OCT17	2764.50	3310.38	USD	-13,647.00	
06JUL17	S 1 PD06OCT17	2760.50	3310.38	USD	-13,747.00	
06JUL17	B 1 PD06OCT17	2789.50	3310.38	USD	13,022.00	
06JUL17	B 2 PD06OCT17	2787.00	3310.38	USD	26,169.00	
06JUL17	S 1 PD06OCT17	2792.50	3310.38	USD	-12,947.00	
06JUL17	B 2 PD06OCT17	2786.50	3310.38	USD	26,194.00	
06JUL17	S 2 PD06OCT17	2781.00	3310.38	USD	-26,469.00	
07JUL17	S 1 PD06OCT17	2774.50	3310.38	USD	-13,397.00	
07JUL17	B 1 PD06OCT17	2786.00	3310.38	USD	13,109.50	
07JUL17	S 1 PD06OCT17	2786.00	3310.38	USD	-13,109.50	
07JUL17	B 1 PD06OCT17	2799.00	3310.38	USD	12,784.50	
07JUL17	S 1 PD06OCT17	2802.00	3310.38	USD	-12,709.50	
07JUL17	B 1 PD06OCT17	2793.50	3310.38	USD	12,922.00	
07JUL17	B 2 PD06OCT17	2779.00	3310.38	USD	26,569.00	
07JUL17	B 1 PD06OCT17	2785.00	3310.38	USD	13,134.50	
07JUL17	S 1 PD06OCT17	2782.00	3310.38	USD	-13,209.50	
	NET 0 AVG BGHT 2784.83 SOLD 2774.13				**-3,212.50**	**0.00**
10JUL17	S 1 PD10OCT17	2780.50	3307.25	USD	-13,168.75	
10JUL17	S 1 PD10OCT17	2784.50	3307.25	USD	-13,068.75	
10JUL17	S 1 PD10OCT17	2786.50	3307.25	USD	-13,018.75	
10JUL17	B 1 PD10OCT17	2773.50	3307.25	USD	13,343.75	
10JUL17	B 2 PD10OCT17	2774.00	3307.25	USD	26,662.50	
10JUL17	B 1 PD10OCT17	2750.50	3307.25	USD	13,918.75	
10JUL17	B 1 PD10OCT17	2747.00	3307.25	USD	14,006.25	
10JUL17	B 3 PD10OCT17	2752.00	3307.25	USD	41,643.75	
10JUL17	S 1 PD10OCT17	2750.00	3307.25	USD	-13,931.25	
10JUL17	S 1 PD10OCT17	2760.00	3307.25	USD	-13,681.25	
10JUL17	S 1 PD10OCT17	2761.00	3307.25	USD	-13,656.25	
10JUL17	S 1 PD10OCT17	2761.50	3307.25	USD	-13,643.75	
10JUL17	S 1 PD10OCT17	2757.50	3307.25	USD	-13,743.75	
10JUL17	S 1 PD10OCT17	2759.00	3307.25	USD	-13,706.25	
10JUL17	B 2 PD10OCT17	2755.50	3307.25	USD	27,587.50	
10JUL17	S 1 PD10OCT17	2775.50	3307.25	USD	-13,293.75	
	NET 0 AVG BGHT 2758.60 SOLD 2767.60				**2,250.00**	**0.00**
13JUL17	B 1 PD13OCT17	2834.50	3297.88	USD	11,584.50	
13JUL17	B 1 PD13OCT17	2836.00	3297.88	USD	11,547.00	
13JUL17	S 1 PD13OCT17	2836.00	3297.88	USD	-11,547.00	
13JUL17	B 1 PD13OCT17	2837.00	3297.88	USD	11,522.00	
13JUL17	S 2 PD13OCT17	2837.00	3297.88	USD	-23,044.00	
13JUL17	S 2 PD13OCT17	2838.00	3297.88	USD	-22,994.00	
13JUL17	S 1 PD13OCT17	2839.50	3297.88	USD	-11,459.50	
13JUL17	S 1 PD13OCT17	2841.00	3297.88	USD	-11,422.00	
13JUL17	S 5 PD13OCT17	2839.00	3297.88	USD	-57,360.00	
13JUL17	B 5 PD13OCT17	2835.00	3297.88	USD	57,860.00	
13JUL17	B 1 PD13OCT17	2832.00	3297.88	USD	11,647.00	
13JUL17	B 3 PD13OCT17	2834.00	3297.88	USD	34,791.00	
	NET 0 AVG BGHT 2834.71 SOLD 2838.46				**1,125.00**	**0.00**
17JUL17	B 2 PD17OCT17	2828.00	3291.63	USD	23,181.50	
17JUL17	S 1 PD17OCT17	2824.50	3291.63	USD	-11,678.25	
17JUL17	S 1 PD17OCT17	2831.00	3291.63	USD	-11,515.75	
17JUL17	S 2 PD17OCT17	2832.00	3291.63	USD	-22,981.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0034H

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
17JUL17	S 1 PD17OCT17	2832.50	3291.63	USD	-11,478.25	
17JUL17	B 1 PD17OCT17	2820.00	3291.63	USD	11,790.75	
17JUL17	B 1 PD17OCT17	2813.50	3291.63	USD	11,953.25	
17JUL17	S 1 PD17OCT17	2828.00	3291.63	USD	-11,590.75	
17JUL17	S 2 PD17OCT17	2828.50	3291.63	USD	-23,156.50	
17JUL17	S 1 PD17OCT17	2829.00	3291.63	USD	-11,565.75	
17JUL17	S 1 PD17OCT17	2838.00	3291.63	USD	-11,340.75	
17JUL17	S 1 PD17OCT17	2842.00	3291.63	USD	-11,240.75	
17JUL17	S 1 PD17OCT17	2843.50	3291.63	USD	-11,203.25	
17JUL17	S 1 PD17OCT17	2844.00	3291.63	USD	-11,190.75	
17JUL17	B 1 PD17OCT17	2822.50	3291.63	USD	11,728.25	
17JUL17	B 3 PD17OCT17	2824.50	3291.63	USD	35,034.75	
17JUL17	B 1 PD17OCT17	2828.50	3291.63	USD	11,578.25	
17JUL17	B 1 PD17OCT17	2829.00	3291.63	USD	11,565.75	
17JUL17	B 1 PD17OCT17	2833.50	3291.63	USD	11,453.25	
17JUL17	S 1 PD17OCT17	2834.50	3291.63	USD	-11,428.25	
17JUL17	S 2 PD17OCT17	2835.00	3291.63	USD	-22,831.50	
17JUL17	S 3 PD17OCT17	2835.50	3291.63	USD	-34,209.75	
17JUL17	S 1 PD17OCT17	2833.50	3291.63	USD	-11,453.25	
17JUL17	B 3 PD17OCT17	2818.00	3291.63	USD	35,522.25	
17JUL17	B 5 PD17OCT17	2819.50	3291.63	USD	59,016.25	
24JUL17	B 1 PD17OCT17	2788.86	3291.63	USD	12,569.25	
	NET 0 AVG BGHT 2820.84 SOLD 2833.90				**6,528.50**	**0.00**
18JUL17	B 1 PD18OCT17	2822.50	3288.50	USD	11,650.00	
18JUL17	B 3 PD18OCT17	2823.00	3288.50	USD	34,912.50	
18JUL17	S 1 PD18OCT17	2823.00	3288.50	USD	-11,637.50	
18JUL17	S 1 PD18OCT17	2824.00	3288.50	USD	-11,612.50	
18JUL17	B 1 PD18OCT17	2791.50	3288.50	USD	12,425.00	
18JUL17	B 1 PD18OCT17	2795.50	3288.50	USD	12,325.00	
18JUL17	B 1 PD18OCT17	2796.50	3288.50	USD	12,300.00	
18JUL17	S 1 PD18OCT17	2798.00	3288.50	USD	-12,262.50	
18JUL17	S 1 PD18OCT17	2799.00	3288.50	USD	-12,237.50	
18JUL17	S 2 PD18OCT17	2802.50	3288.50	USD	-24,300.00	
18JUL17	S 1 PD18OCT17	2804.50	3288.50	USD	-12,100.00	
18JUL17	S 1 PD18OCT17	2803.50	3288.50	USD	-12,125.00	
18JUL17	S 1 PD18OCT17	2804.00	3288.50	USD	-12,112.50	
18JUL17	B 2 PD18OCT17	2799.50	3288.50	USD	24,450.00	
18JUL17	B 2 PD18OCT17	2801.00	3288.50	USD	24,375.00	
18JUL17	B 1 PD18OCT17	2797.00	3288.50	USD	12,287.50	
18JUL17	B 2 PD18OCT17	2785.00	3288.50	USD	25,175.00	
18JUL17	B 1 PD18OCT17	2778.00	3288.50	USD	12,762.50	
18JUL17	B 1 PD18OCT17	2783.00	3288.50	USD	12,637.50	
18JUL17	B 3 PD18OCT17	2800.00	3288.50	USD	36,637.50	
18JUL17	B 1 PD18OCT17	2793.00	3288.50	USD	12,387.50	
18JUL17	B 1 PD18OCT17	2796.00	3288.50	USD	12,312.50	
18JUL17	S 1 PD18OCT17	2801.50	3288.50	USD	-12,175.00	
18JUL17	S 4 PD18OCT17	2784.00	3288.50	USD	-50,450.00	
18JUL17	S 1 PD18OCT17	2791.00	3288.50	USD	-12,437.50	
18JUL17	B 1 PD18OCT17	2784.50	3288.50	USD	12,600.00	
18JUL17	S 1 PD18OCT17	2792.00	3288.50	USD	-12,412.50	
18JUL17	S 2 PD18OCT17	2786.50	3288.50	USD	-25,100.00	
18JUL17	S 1 PD18OCT17	2785.00	3288.50	USD	-12,587.50	
18JUL17	S 1 PD18OCT17	2791.50	3288.50	USD	-12,425.00	
18JUL17	S 2 PD18OCT17	2787.50	3288.50	USD	-25,050.00	
18JUL17	S 1 PD18OCT17	2789.50	3288.50	USD	-12,475.00	
24JUL17	B 1 PD18OCT17	2789.00	3288.50	USD	12,487.50	
	NET 0 AVG BGHT 2798.54 SOLD 2795.46				**-1,775.00**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0034H

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
19JUL17	B 2 PD19OCT17	2782.00	3285.50	USD	25,175.00	
19JUL17	S 1 PD19OCT17	2778.00	3285.50	USD	-12,687.50	
19JUL17	S 1 PD19OCT17	2778.50	3285.50	USD	-12,675.00	
19JUL17	S 1 PD19OCT17	2779.50	3285.50	USD	-12,650.00	
19JUL17	S 1 PD19OCT17	2783.50	3285.50	USD	-12,550.00	
19JUL17	S 1 PD19OCT17	2784.00	3285.50	USD	-12,537.50	
19JUL17	S 1 PD19OCT17	2785.50	3285.50	USD	-12,500.00	
19JUL17	B 1 PD19OCT17	2781.00	3285.50	USD	12,612.50	
19JUL17	B 2 PD19OCT17	2779.50	3285.50	USD	25,300.00	
19JUL17	B 1 PD19OCT17	2775.00	3285.50	USD	12,762.50	
19JUL17	B 1 PD19OCT17	2773.50	3285.50	USD	12,800.00	
19JUL17	B 1 PD19OCT17	2772.00	3285.50	USD	12,837.50	
19JUL17	B 1 PD19OCT17	2772.50	3285.50	USD	12,825.00	
19JUL17	B 1 PD19OCT17	2773.00	3285.50	USD	12,812.50	
19JUL17	S 2 PD19OCT17	2773.50	3285.50	USD	-25,600.00	
24JUL17	S 2 PD19OCT17	2787.30	3285.50	USD	-24,910.00	
	NET 0 AVG BGHT 2777.00 SOLD 2781.06				**1,015.00**	**0.00**
20JUL17	B 2 PD20OCT17	2731.50	3282.50	USD	27,550.00	
20JUL17	S 1 PD20OCT17	2740.00	3282.50	USD	-13,562.50	
20JUL17	S 1 PD20OCT17	2741.50	3282.50	USD	-13,525.00	
20JUL17	S 1 PD20OCT17	2743.50	3282.50	USD	-13,475.00	
20JUL17	S 1 PD20OCT17	2746.00	3282.50	USD	-13,412.50	
20JUL17	S 1 PD20OCT17	2758.50	3282.50	USD	-13,100.00	
20JUL17	B 2 PD20OCT17	2741.00	3282.50	USD	27,075.00	
20JUL17	S 2 PD20OCT17	2744.00	3282.50	USD	-26,925.00	
20JUL17	S 1 PD20OCT17	2745.00	3282.50	USD	-13,437.50	
20JUL17	S 1 PD20OCT17	2745.50	3282.50	USD	-13,425.00	
20JUL17	S 1 PD20OCT17	2749.00	3282.50	USD	-13,337.50	
20JUL17	B 2 PD20OCT17	2737.00	3282.50	USD	27,275.00	
20JUL17	B 2 PD20OCT17	2739.50	3282.50	USD	27,150.00	
20JUL17	B 2 PD20OCT17	2739.00	3282.50	USD	27,175.00	
20JUL17	B 2 PD20OCT17	2741.50	3282.50	USD	27,050.00	
20JUL17	S 2 PD20OCT17	2739.50	3282.50	USD	-27,150.00	
20JUL17	B 1 PD20OCT17	2725.50	3282.50	USD	13,925.00	
20JUL17	B 1 PD20OCT17	2731.00	3282.50	USD	13,787.50	
20JUL17	B 1 PD20OCT17	2729.00	3282.50	USD	13,837.50	
20JUL17	B 1 PD20OCT17	2729.50	3282.50	USD	13,825.00	
20JUL17	B 2 PD20OCT17	2726.50	3282.50	USD	27,800.00	
21JUL17	S 1 PD20OCT17	2755.50	3282.50	USD	-13,175.00	
21JUL17	B 1 PD20OCT17	2761.00	3282.50	USD	13,037.50	
21JUL17	B 1 PD20OCT17	2761.50	3282.50	USD	13,025.00	
21JUL17	B 1 PD20OCT17	2762.00	3282.50	USD	13,012.50	
21JUL17	S 2 PD20OCT17	2759.00	3282.50	USD	-26,175.00	
21JUL17	B 1 PD20OCT17	2767.00	3282.50	USD	12,887.50	
21JUL17	S 1 PD20OCT17	2767.00	3282.50	USD	-12,887.50	
21JUL17	B 1 PD20OCT17	2768.00	3282.50	USD	12,862.50	
21JUL17	S 1 PD20OCT17	2767.50	3282.50	USD	-12,875.00	
21JUL17	S 1 PD20OCT17	2764.50	3282.50	USD	-12,950.00	
21JUL17	S 1 PD20OCT17	2753.00	3282.50	USD	-13,237.50	
21JUL17	S 4 PD20OCT17	2752.50	3282.50	USD	-53,000.00	
	NET 0 AVG BGHT 2741.15 SOLD 2750.93				**5,625.00**	**0.00**
24JUL17	B 1 PD24OCT17	2775.50	3280.50	USD	12,625.00	
24JUL17	S 1 PD24OCT17	2788.50	3280.50	USD	-12,300.00	
	NET 0 AVG BGHT 2775.50 SOLD 2788.50				**325.00**	**0.00**
25JUL17	B 1 PD25OCT17	2814.00	3279.50	USD	11,637.50	
25JUL17	S 4 PD25OCT17	2821.50	3279.50	USD	-45,800.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0034H

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
25JUL17	S 1 PD25OCT17	2823.00	3279.50	USD	-11,412.50	
25JUL17	B 4 PD25OCT17	2826.50	3279.50	USD	45,300.00	
	NET 0 AVG BGHT 2824.00 SOLD 2821.80				**-275.00**	**0.00**
26JUL17	B 1 PD26OCT17	2843.50	3278.50	USD	10,875.00	
26JUL17	B 1 PD26OCT17	2846.00	3278.50	USD	10,812.50	
26JUL17	B 1 PD26OCT17	2847.00	3278.50	USD	10,787.50	
26JUL17	B 1 PD26OCT17	2848.00	3278.50	USD	10,762.50	
26JUL17	B 1 PD26OCT17	2849.00	3278.50	USD	10,737.50	
26JUL17	B 1 PD26OCT17	2851.00	3278.50	USD	10,687.50	
26JUL17	S 1 PD26OCT17	2831.00	3278.50	USD	-11,187.50	
26JUL17	S 1 PD26OCT17	2833.00	3278.50	USD	-11,137.50	
26JUL17	S 1 PD26OCT17	2838.00	3278.50	USD	-11,012.50	
26JUL17	B 1 PD26OCT17	2819.00	3278.50	USD	11,487.50	
26JUL17	B 1 PD26OCT17	2820.00	3278.50	USD	11,462.50	
26JUL17	S 3 PD26OCT17	2822.00	3278.50	USD	-34,237.50	
26JUL17	S 1 PD26OCT17	2825.00	3278.50	USD	-11,337.50	
26JUL17	S 1 PD26OCT17	2817.50	3278.50	USD	-11,525.00	
26JUL17	B 1 PD26OCT17	2825.00	3278.50	USD	11,337.50	
26JUL17	S 1 PD26OCT17	2820.00	3278.50	USD	-11,462.50	
26JUL17	B 1 PD26OCT17	2811.00	3278.50	USD	11,687.50	
26JUL17	S 3 PD26OCT17	2826.00	3278.50	USD	-33,937.50	
26JUL17	B 1 PD26OCT17	2844.50	3278.50	USD	10,850.00	
26JUL17	B 1 PD26OCT17	2806.00	3278.50	USD	11,812.50	
26JUL17	B 1 PD26OCT17	2809.00	3278.50	USD	11,737.50	
26JUL17	S 1 PD26OCT17	2810.00	3278.50	USD	-11,712.50	
	NET 0 AVG BGHT 2832.23 SOLD 2824.50				**-2,512.50**	**0.00**
27JUL17	S 1 PD27OCT17	2804.50	3277.50	USD	-11,825.00	
27JUL17	S 1 PD27OCT17	2805.00	3277.50	USD	-11,812.50	
27JUL17	S 1 PD27OCT17	2806.00	3277.50	USD	-11,787.50	
27JUL17	S 3 PD27OCT17	2808.00	3277.50	USD	-35,212.50	
27JUL17	S 1 PD27OCT17	2810.00	3277.50	USD	-11,687.50	
27JUL17	B 1 PD27OCT17	2821.00	3277.50	USD	11,412.50	
27JUL17	B 1 PD27OCT17	2822.00	3277.50	USD	11,387.50	
27JUL17	B 5 PD27OCT17	2823.00	3277.50	USD	56,812.50	
28JUL17	S 2 PD27OCT17	2786.00	3277.50	USD	-24,575.00	
28JUL17	S 1 PD27OCT17	2786.50	3277.50	USD	-12,275.00	
28JUL17	S 1 PD27OCT17	2789.00	3277.50	USD	-12,212.50	
28JUL17	B 3 PD27OCT17	2779.00	3277.50	USD	37,387.50	
28JUL17	B 1 PD27OCT17	2774.50	3277.50	USD	12,575.00	
	NET 0 AVG BGHT 2806.32 SOLD 2799.73				**-1,812.50**	**0.00**
31JUL17	B 1 PD31OCT17	2819.00	3275.50	USD	11,412.50	
31JUL17	S 1 PD31OCT17	2810.00	3275.50	USD	-11,637.50	
	NET 0 AVG BGHT 2819.00 SOLD 2810.00				**-225.00**	**0.00**
01AUG17	B 1 PD01NOV17	2772.00	3274.50	USD	12,562.50	
01AUG17	S 1 PD01NOV17	2771.00	3274.50	USD	-12,587.50	
	NET 0 AVG BGHT 2772.00 SOLD 2771.00				**-25.00**	**0.00**
02AUG17	B 1 PD02NOV17	2769.50	3273.50	USD	12,600.00	
02AUG17	B 1 PD02NOV17	2774.00	3273.50	USD	12,487.50	
02AUG17	B 3 PD02NOV17	2764.50	3273.50	USD	38,175.00	
02AUG17	B 1 PD02NOV17	2762.50	3273.50	USD	12,775.00	
02AUG17	S 1 PD02NOV17	2765.50	3273.50	USD	-12,700.00	
02AUG17	S 3 PD02NOV17	2765.00	3273.50	USD	-38,137.50	
02AUG17	S 1 PD02NOV17	2766.50	3273.50	USD	-12,675.00	
02AUG17	S 1 PD02NOV17	2767.00	3273.50	USD	-12,662.50	
02AUG17	S 1 PD02NOV17	2767.50	3273.50	USD	-12,650.00	
02AUG17	S 2 PD02NOV17	2774.50	3273.50	USD	-24,950.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0034H

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
02AUG17	S 1 PD02NOV17	2776.50	3273.50	USD	-12,425.00	
02AUG17	S 2 PD02NOV17	2783.00	3273.50	USD	-24,525.00	
02AUG17	S 2 PD02NOV17	2783.50	3273.50	USD	-24,500.00	
02AUG17	S 1 PD02NOV17	2786.00	3273.50	USD	-12,187.50	
02AUG17	S 1 PD02NOV17	2792.50	3273.50	USD	-12,025.00	
02AUG17	B 1 PD02NOV17	2805.00	3273.50	USD	11,712.50	
02AUG17	B 1 PD02NOV17	2806.00	3273.50	USD	11,687.50	
07AUG17	B 8 PD02NOV17	2856.93	3273.50	USD	83,314.00	
	NET 0 AVG BGHT 2816.62 SOLD 2774.91				**-16,686.00**	**0.00**
03AUG17	B 1 PD03NOV17	2821.00	3272.00	USD	11,275.00	
03AUG17	B 1 PD03NOV17	2822.50	3272.00	USD	11,237.50	
03AUG17	B 1 PD03NOV17	2808.00	3272.00	USD	11,600.00	
03AUG17	B 1 PD03NOV17	2811.00	3272.00	USD	11,525.00	
03AUG17	S 1 PD03NOV17	2814.00	3272.00	USD	-11,450.00	
03AUG17	S 1 PD03NOV17	2815.00	3272.00	USD	-11,425.00	
03AUG17	S 1 PD03NOV17	2816.50	3272.00	USD	-11,387.50	
03AUG17	S 1 PD03NOV17	2818.00	3272.00	USD	-11,350.00	
03AUG17	S 1 PD03NOV17	2817.00	3272.00	USD	-11,375.00	
03AUG17	B 3 PD03NOV17	2817.00	3272.00	USD	34,125.00	
03AUG17	B 1 PD03NOV17	2819.50	3272.00	USD	11,312.50	
03AUG17	B 1 PD03NOV17	2818.00	3272.00	USD	11,350.00	
03AUG17	B 4 PD03NOV17	2814.00	3272.00	USD	45,800.00	
04AUG17	S 2 PD03NOV17	2819.00	3272.00	USD	-22,650.00	
04AUG17	S 2 PD03NOV17	2833.00	3272.00	USD	-21,950.00	
04AUG17	S 1 PD03NOV17	2834.50	3272.00	USD	-10,937.50	
04AUG17	B 2 PD03NOV17	2822.00	3272.00	USD	22,500.00	
04AUG17	S 5 PD03NOV17	2828.00	3272.00	USD	-55,500.00	
04AUG17	S 1 PD03NOV17	2831.00	3272.00	USD	-11,025.00	
04AUG17	S 1 PD03NOV17	2831.50	3272.00	USD	-11,012.50	
04AUG17	B 1 PD03NOV17	2818.00	3272.00	USD	11,350.00	
04AUG17	B 3 PD03NOV17	2819.00	3272.00	USD	33,975.00	
04AUG17	B 2 PD03NOV17	2820.00	3272.00	USD	22,600.00	
04AUG17	B 2 PD03NOV17	2820.50	3272.00	USD	22,575.00	
04AUG17	B 4 PD03NOV17	2824.00	3272.00	USD	44,800.00	
04AUG17	B 2 PD03NOV17	2821.50	3272.00	USD	22,525.00	
04AUG17	B 1 PD03NOV17	2808.50	3272.00	USD	11,587.50	
04AUG17	S 1 PD03NOV17	2808.50	3272.00	USD	-11,587.50	
04AUG17	S 2 PD03NOV17	2813.00	3272.00	USD	-22,950.00	
04AUG17	S 2 PD03NOV17	2822.00	3272.00	USD	-22,500.00	
07AUG17	S 8 PD03NOV17	2856.94	3272.00	USD	-83,012.00	
	NET 0 AVG BGHT 2818.48 SOLD 2831.85				**10,025.50**	**0.00**
07AUG17	B 2 PD07NOV17	2815.50	3272.00	USD	22,825.00	
07AUG17	S 2 PD07NOV17	2817.00	3272.00	USD	-22,750.00	
07AUG17	S 1 PD07NOV17	2820.50	3272.00	USD	-11,287.50	
07AUG17	S 2 PD07NOV17	2821.50	3272.00	USD	-22,525.00	
07AUG17	S 1 PD07NOV17	2822.50	3272.00	USD	-11,237.50	
07AUG17	S 1 PD07NOV17	2823.50	3272.00	USD	-11,212.50	
07AUG17	S 1 PD07NOV17	2824.00	3272.00	USD	-11,200.00	
07AUG17	S 1 PD07NOV17	2827.00	3272.00	USD	-11,125.00	
07AUG17	S 1 PD07NOV17	2828.00	3272.00	USD	-11,100.00	
07AUG17	B 1 PD07NOV17	2817.00	3272.00	USD	11,375.00	
07AUG17	S 3 PD07NOV17	2825.00	3272.00	USD	-33,525.00	
07AUG17	B 1 PD07NOV17	2826.00	3272.00	USD	11,150.00	
07AUG17	B 2 PD07NOV17	2838.00	3272.00	USD	21,700.00	
07AUG17	S 1 PD07NOV17	2874.50	3272.00	USD	-9,937.50	
07AUG17	B 1 PD07NOV17	2878.00	3272.00	USD	9,850.00	
07AUG17	B 1 PD07NOV17	2879.00	3272.00	USD	9,825.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0034H

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
07AUG17	S 1 PD07NOV17	2886.50	3272.00	USD	-9,637.50	
07AUG17	B 3 PD07NOV17	2872.00	3272.00	USD	30,000.00	
07AUG17	B 1 PD07NOV17	2873.00	3272.00	USD	9,975.00	
07AUG17	B 1 PD07NOV17	2875.00	3272.00	USD	9,925.00	
07AUG17	B 2 PD07NOV17	2876.00	3272.00	USD	19,800.00	
07AUG17	B 1 PD07NOV17	2881.00	3272.00	USD	9,775.00	
07AUG17	B 1 PD07NOV17	2865.00	3272.00	USD	10,175.00	
07AUG17	B 1 PD07NOV17	2870.00	3272.00	USD	10,050.00	
10AUG17	S 3 PD07NOV17	2937.46	3272.00	USD	-25,090.50	
	NET 0 AVG BGHT 2857.72 SOLD 2848.38				**-4,203.00**	**0.00**
08AUG17	S 2 PD08NOV17	2877.00	3272.00	USD	-19,750.00	
08AUG17	S 2 PD08NOV17	2880.50	3272.00	USD	-19,575.00	
08AUG17	S 1 PD08NOV17	2874.00	3272.00	USD	-9,950.00	
08AUG17	S 1 PD08NOV17	2875.00	3272.00	USD	-9,925.00	
08AUG17	S 2 PD08NOV17	2876.50	3272.00	USD	-19,775.00	
08AUG17	S 1 PD08NOV17	2879.00	3272.00	USD	-9,825.00	
08AUG17	S 2 PD08NOV17	2887.50	3272.00	USD	-19,225.00	
08AUG17	B 1 PD08NOV17	2870.50	3272.00	USD	10,037.50	
08AUG17	B 2 PD08NOV17	2899.00	3272.00	USD	18,650.00	
08AUG17	B 2 PD08NOV17	2928.00	3272.00	USD	17,200.00	
08AUG17	B 2 PD08NOV17	2931.00	3272.00	USD	17,050.00	
08AUG17	S 1 PD08NOV17	2878.00	3272.00	USD	-9,850.00	
08AUG17	S 1 PD08NOV17	2900.00	3272.00	USD	-9,300.00	
08AUG17	B 1 PD08NOV17	2925.00	3272.00	USD	8,675.00	
08AUG17	S 1 PD08NOV17	2933.00	3272.00	USD	-8,475.00	
08AUG17	B 3 PD08NOV17	2929.00	3272.00	USD	25,725.00	
10AUG17	B 3 PD08NOV17	2937.84	3272.00	USD	25,062.00	
	NET 0 AVG BGHT 2922.29 SOLD 2884.43				**-13,250.50**	**0.00**
09AUG17	B 1 PD09NOV17	2968.50	3272.00	USD	7,587.50	
09AUG17	B 1 PD09NOV17	2977.50	3272.00	USD	7,362.50	
09AUG17	S 1 PD09NOV17	2953.00	3272.00	USD	-7,975.00	
09AUG17	S 1 PD09NOV17	2967.00	3272.00	USD	-7,625.00	
09AUG17	S 1 PD09NOV17	2968.50	3272.00	USD	-7,587.50	
09AUG17	S 2 PD09NOV17	2976.00	3272.00	USD	-14,800.00	
09AUG17	S 1 PD09NOV17	2978.50	3272.00	USD	-7,337.50	
09AUG17	S 4 PD09NOV17	2979.50	3272.00	USD	-29,250.00	
09AUG17	B 1 PD09NOV17	2962.00	3272.00	USD	7,750.00	
09AUG17	B 1 PD09NOV17	2946.00	3272.00	USD	8,150.00	
09AUG17	B 2 PD09NOV17	2947.00	3272.00	USD	16,250.00	
09AUG17	B 4 PD09NOV17	2950.00	3272.00	USD	32,200.00	
09AUG17	S 1 PD09NOV17	2958.00	3272.00	USD	-7,850.00	
09AUG17	S 1 PD09NOV17	2962.00	3272.00	USD	-7,750.00	
09AUG17	S 1 PD09NOV17	2953.50	3272.00	USD	-7,962.50	
09AUG17	S 3 PD09NOV17	2955.00	3272.00	USD	-23,775.00	
09AUG17	S 2 PD09NOV17	2954.00	3272.00	USD	-15,900.00	
09AUG17	S 1 PD09NOV17	2967.50	3272.00	USD	-7,612.50	
09AUG17	B 2 PD09NOV17	2943.00	3272.00	USD	16,450.00	
09AUG17	B 1 PD09NOV17	2949.00	3272.00	USD	8,075.00	
09AUG17	S 1 PD09NOV17	2948.00	3272.00	USD	-8,100.00	
09AUG17	S 1 PD09NOV17	2944.50	3272.00	USD	-8,187.50	
09AUG17	S 1 PD09NOV17	2943.50	3272.00	USD	-8,212.50	
09AUG17	S 1 PD09NOV17	2941.50	3272.00	USD	-8,262.50	
09AUG17	B 1 PD09NOV17	2934.00	3272.00	USD	8,450.00	
09AUG17	B 1 PD09NOV17	2935.50	3272.00	USD	8,412.50	
09AUG17	B 1 PD09NOV17	2933.00	3272.00	USD	8,475.00	
09AUG17	B 1 PD09NOV17	2935.00	3272.00	USD	8,425.00	
09AUG17	B 2 PD09NOV17	2936.00	3272.00	USD	16,800.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0034H

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
09AUG17	B	2	PD09NOV17	2937.00	3272.00	USD	16,750.00	
14AUG17	B	2	PD09NOV17	2916.20	3272.00	USD	17,790.00	
	NET	**0**	**AVG BGHT 2943.43**	**SOLD 2962.11**			**10,740.00**	**0.00**
10AUG17	B	1	PD10NOV17	2939.00	3272.00	USD	8,325.00	
10AUG17	S	2	PD10NOV17	2940.00	3272.00	USD	-16,600.00	
10AUG17	S	2	PD10NOV17	2940.50	3272.00	USD	-16,575.00	
10AUG17	B	3	PD10NOV17	2935.00	3272.00	USD	25,275.00	
10AUG17	S	2	PD10NOV17	2935.00	3272.00	USD	-16,850.00	
10AUG17	S	1	PD10NOV17	2938.50	3272.00	USD	-8,337.50	
10AUG17	S	3	PD10NOV17	2941.50	3272.00	USD	-24,787.50	
10AUG17	B	2	PD10NOV17	2938.00	3272.00	USD	16,700.00	
10AUG17	B	1	PD10NOV17	2928.00	3272.00	USD	8,600.00	
11AUG17	B	1	PD10NOV17	2895.00	3272.00	USD	9,425.00	
11AUG17	B	3	PD10NOV17	2897.00	3272.00	USD	28,125.00	
11AUG17	B	2	PD10NOV17	2898.00	3272.00	USD	18,700.00	
11AUG17	S	1	PD10NOV17	2908.50	3272.00	USD	-9,087.50	
11AUG17	B	1	PD10NOV17	2898.50	3272.00	USD	9,337.50	
11AUG17	S	1	PD10NOV17	2897.50	3272.00	USD	-9,362.50	
11AUG17	S	1	PD10NOV17	2905.00	3272.00	USD	-9,175.00	
11AUG17	S	2	PD10NOV17	2907.50	3272.00	USD	-18,225.00	
11AUG17	S	1	PD10NOV17	2914.00	3272.00	USD	-8,950.00	
11AUG17	S	1	PD10NOV17	2916.50	3272.00	USD	-8,887.50	
11AUG17	S	1	PD10NOV17	2918.00	3272.00	USD	-8,850.00	
11AUG17	S	2	PD10NOV17	2921.50	3272.00	USD	-17,525.00	
11AUG17	S	2	PD10NOV17	2908.00	3272.00	USD	-18,200.00	
11AUG17	B	1	PD10NOV17	2903.50	3272.00	USD	9,212.50	
11AUG17	B	1	PD10NOV17	2904.00	3272.00	USD	9,200.00	
11AUG17	B	3	PD10NOV17	2905.00	3272.00	USD	27,525.00	
11AUG17	B	1	PD10NOV17	2906.00	3272.00	USD	9,150.00	
11AUG17	B	2	PD10NOV17	2906.50	3272.00	USD	18,275.00	
11AUG17	B	2	PD10NOV17	2908.50	3272.00	USD	18,175.00	
14AUG17	S	2	PD10NOV17	2916.16	3272.00	USD	-17,792.00	
	NET	**0**	**AVG BGHT 2911.96**	**SOLD 2923.33**			**6,820.50**	**0.00**
14AUG17	S	2	PD14NOV17	2914.50	3270.33	USD	-17,791.50	
14AUG17	B	1	PD14NOV17	2902.00	3270.33	USD	9,208.25	
14AUG17	B	1	PD14NOV17	2904.00	3270.33	USD	9,158.25	
	NET	**0**	**AVG BGHT 2903.00**	**SOLD 2914.50**			**575.00**	**0.00**
21AUG17	S	1	PD21NOV17	3169.00	3264.83	USD	-2,395.75	
21AUG17	B	1	PD21NOV17	3147.00	3264.83	USD	2,945.75	
21AUG17	B	1	PD21NOV17	3160.50	3264.83	USD	2,608.25	
21AUG17	B	1	PD21NOV17	3145.00	3264.83	USD	2,995.75	
21AUG17	S	4	PD21NOV17	3140.00	3264.83	USD	-12,483.00	
21AUG17	S	1	PD21NOV17	3141.50	3264.83	USD	-3,083.25	
21AUG17	S	1	PD21NOV17	3142.00	3264.83	USD	-3,070.75	
21AUG17	S	1	PD21NOV17	3158.00	3264.83	USD	-2,670.75	
21AUG17	B	1	PD21NOV17	3144.00	3264.83	USD	3,020.75	
21AUG17	B	1	PD21NOV17	3132.50	3264.83	USD	3,308.25	
21AUG17	B	1	PD21NOV17	3138.00	3264.83	USD	3,170.75	
21AUG17	B	2	PD21NOV17	3140.00	3264.83	USD	6,241.50	
21AUG17	B	1	PD21NOV17	3133.50	3264.83	USD	3,283.25	
21AUG17	B	1	PD21NOV17	3135.50	3264.83	USD	3,233.25	
21AUG17	S	1	PD21NOV17	3137.50	3264.83	USD	-3,183.25	
21AUG17	S	1	PD21NOV17	3138.50	3264.83	USD	-3,158.25	
	NET	**0**	**AVG BGHT 3141.60**	**SOLD 3144.65**			**762.50**	**0.00**
22AUG17	B	1	PD22NOV17	3118.00	3264.50	USD	3,662.50	
22AUG17	B	4	PD22NOV17	3140.00	3264.50	USD	12,450.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0034H

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
22AUG17	S 1 PD22NOV17	3118.00	3264.50	USD	-3,662.50	
22AUG17	S 2 PD22NOV17	3118.50	3264.50	USD	-7,300.00	
22AUG17	S 1 PD22NOV17	3149.00	3264.50	USD	-2,887.50	
22AUG17	S 1 PD22NOV17	3147.50	3264.50	USD	-2,925.00	
22AUG17	S 1 PD22NOV17	3146.00	3264.50	USD	-2,962.50	
22AUG17	S 1 PD22NOV17	3159.50	3264.50	USD	-2,625.00	
22AUG17	B 1 PD22NOV17	3148.50	3264.50	USD	2,900.00	
22AUG17	S 2 PD22NOV17	3152.00	3264.50	USD	-5,625.00	
22AUG17	B 1 PD22NOV17	3144.00	3264.50	USD	3,012.50	
22AUG17	B 1 PD22NOV17	3146.00	3264.50	USD	2,962.50	
22AUG17	B 1 PD22NOV17	3142.50	3264.50	USD	3,050.00	
22AUG17	B 1 PD22NOV17	3145.50	3264.50	USD	2,975.00	
22AUG17	S 2 PD22NOV17	3148.00	3264.50	USD	-5,825.00	
22AUG17	S 7 PD22NOV17	3162.50	3264.50	USD	-17,850.00	
22AUG17	B 4 PD22NOV17	3130.00	3264.50	USD	13,450.00	
22AUG17	B 1 PD22NOV17	3131.00	3264.50	USD	3,337.50	
22AUG17	B 1 PD22NOV17	3143.00	3264.50	USD	3,037.50	
22AUG17	B 1 PD22NOV17	3147.50	3264.50	USD	2,925.00	
22AUG17	B 1 PD22NOV17	3121.00	3264.50	USD	3,587.50	
22AUG17	B 1 PD22NOV17	3119.00	3264.50	USD	3,637.50	
22AUG17	B 2 PD22NOV17	3122.00	3264.50	USD	7,125.00	
22AUG17	S 2 PD22NOV17	3113.50	3264.50	USD	-7,550.00	
22AUG17	S 2 PD22NOV17	3114.00	3264.50	USD	-7,525.00	
22AUG17	B 1 PD22NOV17	3102.00	3264.50	USD	4,062.50	
	NET 0 AVG BGHT 3133.27 SOLD 3143.16				**5,437.50**	**0.00**
23AUG17	S 3 PD24NOV17	3118.00	3264.71	USD	-11,003.25	
23AUG17	S 3 PD24NOV17	3119.00	3264.71	USD	-10,928.25	
23AUG17	S 1 PD24NOV17	3119.50	3264.71	USD	-3,630.25	
23AUG17	S 1 PD24NOV17	3120.50	3264.71	USD	-3,605.25	
23AUG17	B 1 PD24NOV17	3123.50	3264.71	USD	3,530.25	
23AUG17	S 1 PD24NOV17	3124.00	3264.71	USD	-3,517.75	
23AUG17	B 1 PD24NOV17	3119.50	3264.71	USD	3,630.25	
23AUG17	B 2 PD24NOV17	3120.50	3264.71	USD	7,210.50	
23AUG17	B 2 PD24NOV17	3118.50	3264.71	USD	7,310.50	
23AUG17	B 1 PD24NOV17	3122.00	3264.71	USD	3,567.75	
23AUG17	B 2 PD24NOV17	3130.00	3264.71	USD	6,735.50	
23AUG17	S 1 PD24NOV17	3127.50	3264.71	USD	-3,430.25	
23AUG17	S 1 PD24NOV17	3129.50	3264.71	USD	-3,380.25	
23AUG17	S 3 PD24NOV17	3129.00	3264.71	USD	-10,178.25	
23AUG17	B 1 PD24NOV17	3141.50	3264.71	USD	3,080.25	
23AUG17	S 1 PD24NOV17	3133.00	3264.71	USD	-3,292.75	
23AUG17	S 1 PD24NOV17	3133.50	3264.71	USD	-3,280.25	
23AUG17	S 1 PD24NOV17	3141.00	3264.71	USD	-3,092.75	
23AUG17	S 1 PD24NOV17	3141.50	3264.71	USD	-3,080.25	
23AUG17	B 1 PD24NOV17	3135.00	3264.71	USD	3,242.75	
23AUG17	B 1 PD24NOV17	3136.00	3264.71	USD	3,217.75	
23AUG17	S 1 PD24NOV17	3135.50	3264.71	USD	-3,230.25	
23AUG17	S 2 PD24NOV17	3118.50	3264.71	USD	-7,310.50	
23AUG17	B 1 PD24NOV17	3121.00	3264.71	USD	3,592.75	
23AUG17	B 3 PD24NOV17	3123.00	3264.71	USD	10,628.25	
23AUG17	B 2 PD24NOV17	3118.00	3264.71	USD	7,335.50	
23AUG17	B 5 PD24NOV17	3120.00	3264.71	USD	18,088.75	
23AUG17	B 4 PD24NOV17	3115.00	3264.71	USD	14,971.00	
23AUG17	B 1 PD24NOV17	3090.00	3264.71	USD	4,367.75	
23AUG17	B 1 PD24NOV17	3096.00	3264.71	USD	4,217.75	
23AUG17	B 1 PD24NOV17	3109.00	3264.71	USD	3,892.75	
23AUG17	S 1 PD24NOV17	3103.50	3264.71	USD	-4,030.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0034H

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
23AUG17	S 1 PD24NOV17	3109.00	3264.71	USD	-3,892.75	
23AUG17	S 2 PD24NOV17	3112.00	3264.71	USD	-7,635.50	
23AUG17	B 1 PD24NOV17	3099.00	3264.71	USD	4,142.75	
23AUG17	B 1 PD24NOV17	3100.00	3264.71	USD	4,117.75	
23AUG17	B 1 PD24NOV17	3103.00	3264.71	USD	4,042.75	
23AUG17	B 1 PD24NOV17	3098.50	3264.71	USD	4,155.25	
23AUG17	S 2 PD24NOV17	3105.00	3264.71	USD	-7,985.50	
23AUG17	S 1 PD24NOV17	3106.00	3264.71	USD	-3,967.75	
23AUG17	S 1 PD24NOV17	3107.50	3264.71	USD	-3,930.25	
23AUG17	S 1 PD24NOV17	3108.00	3264.71	USD	-3,917.75	
23AUG17	S 4 PD24NOV17	3109.50	3264.71	USD	-15,521.00	
	NET 0 AVG BGHT 3117.56 SOLD 3119.01				**1,237.50**	**0.00**
30AUG17	S 1 PD30NOV17	3143.50	3265.36	USD	-3,046.50	
30AUG17	S 1 PD30NOV17	3147.00	3265.36	USD	-2,959.00	
30AUG17	S 2 PD30NOV17	3149.50	3265.36	USD	-5,793.00	
30AUG17	S 1 PD30NOV17	3150.50	3265.36	USD	-2,871.50	
30AUG17	B 2 PD30NOV17	3116.00	3265.36	USD	7,468.00	
30AUG17	B 1 PD30NOV17	3109.50	3265.36	USD	3,896.50	
30AUG17	S 1 PD30NOV17	3116.00	3265.36	USD	-3,734.00	
30AUG17	B 1 PD30NOV17	3097.00	3265.36	USD	4,209.00	
30AUG17	B 1 PD30NOV17	3106.50	3265.36	USD	3,971.50	
30AUG17	S 2 PD30NOV17	3104.00	3265.36	USD	-8,068.00	
30AUG17	B 1 PD30NOV17	3101.00	3265.36	USD	4,109.00	
30AUG17	S 1 PD30NOV17	3106.50	3265.36	USD	-3,971.50	
30AUG17	S 1 PD30NOV17	3107.00	3265.36	USD	-3,959.00	
30AUG17	S 1 PD30NOV17	3108.50	3265.36	USD	-3,921.50	
31AUG17	S 2 PD30NOV17	3110.00	3265.36	USD	-7,768.00	
31AUG17	S 1 PD30NOV17	3105.50	3265.36	USD	-3,996.50	
31AUG17	S 1 PD30NOV17	3106.50	3265.36	USD	-3,971.50	
31AUG17	S 1 PD30NOV17	3125.00	3265.36	USD	-3,509.00	
31AUG17	B 1 PD30NOV17	3124.00	3265.36	USD	3,534.00	
31AUG17	B 2 PD30NOV17	3127.00	3265.36	USD	6,918.00	
31AUG17	S 2 PD30NOV17	3157.00	3265.36	USD	-5,418.00	
31AUG17	B 1 PD30NOV17	3154.00	3265.36	USD	2,784.00	
31AUG17	B 1 PD30NOV17	3132.00	3265.36	USD	3,334.00	
31AUG17	B 2 PD30NOV17	3144.00	3265.36	USD	6,068.00	
31AUG17	B 1 PD30NOV17	3144.50	3265.36	USD	3,021.50	
31AUG17	B 1 PD30NOV17	3145.00	3265.36	USD	3,009.00	
31AUG17	B 1 PD30NOV17	3146.00	3265.36	USD	2,984.00	
31AUG17	B 1 PD30NOV17	3148.00	3265.36	USD	2,934.00	
06SEP17	B 1 PD30NOV17	3093.50	3265.36	USD	4,296.50	
	NET 0 AVG BGHT 3126.39 SOLD 3125.39				**-450.00**	**0.00**
01SEP17	B 2 PD01DEC17	3145.00	3265.46	USD	6,023.00	
01SEP17	B 1 PD01DEC17	3163.50	3265.46	USD	2,549.00	
01SEP17	S 1 PD01DEC17	3163.00	3265.46	USD	-2,561.50	
01SEP17	S 1 PD01DEC17	3163.50	3265.46	USD	-2,549.00	
01SEP17	B 1 PD01DEC17	3163.00	3265.46	USD	2,561.50	
01SEP17	S 2 PD01DEC17	3160.00	3265.46	USD	-5,273.00	
01SEP17	B 1 PD01DEC17	3160.50	3265.46	USD	2,624.00	
01SEP17	B 2 PD01DEC17	3155.00	3265.46	USD	5,523.00	
01SEP17	B 3 PD01DEC17	3155.50	3265.46	USD	8,247.00	
01SEP17	B 1 PD01DEC17	3154.50	3265.46	USD	2,774.00	
01SEP17	B 1 PD01DEC17	3157.00	3265.46	USD	2,711.50	
01SEP17	S 6 PD01DEC17	3153.00	3265.46	USD	-16,869.00	
01SEP17	B 2 PD01DEC17	3151.00	3265.46	USD	5,723.00	
01SEP17	B 2 PD01DEC17	3150.00	3265.46	USD	5,773.00	
01SEP17	B 1 PD01DEC17	3137.50	3265.46	USD	3,199.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0034H

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
01SEP17	S	1	PD01DEC17	3155.50	3265.46	USD	-2,749.00	
01SEP17	S	3	PD01DEC17	3156.50	3265.46	USD	-8,172.00	
01SEP17	S	2	PD01DEC17	3162.00	3265.46	USD	-5,173.00	
01SEP17	B	4	PD01DEC17	3174.00	3265.46	USD	9,146.00	
01SEP17	S	1	PD01DEC17	3167.00	3265.46	USD	-2,461.50	
01SEP17	S	1	PD01DEC17	3172.00	3265.46	USD	-2,336.50	
01SEP17	S	1	PD01DEC17	3175.50	3265.46	USD	-2,249.00	
01SEP17	S	1	PD01DEC17	3179.50	3265.46	USD	-2,149.00	
06SEP17	S	1	PD01DEC17	3093.55	3265.46	USD	-4,297.75	
	NET	**0**	**AVG BGHT 3157.17**	**SOLD 3157.19**			**13.75**	**0.00**
04SEP17	S	2	PD04DEC17	3203.00	3265.79	USD	-3,139.50	
04SEP17	B	2	PD04DEC17	3194.50	3265.79	USD	3,564.50	
	NET	**0**	**AVG BGHT 3194.50**	**SOLD 3203.00**			**425.00**	**0.00**
05SEP17	B	1	PD05DEC17	3196.00	3265.89	USD	1,747.25	
05SEP17	B	1	PD05DEC17	3192.00	3265.89	USD	1,847.25	
05SEP17	S	2	PD05DEC17	3196.50	3265.89	USD	-3,469.50	
05SEP17	S	1	PD05DEC17	3198.50	3265.89	USD	-1,684.75	
05SEP17	B	1	PD05DEC17	3191.00	3265.89	USD	1,872.25	
05SEP17	S	1	PD05DEC17	3192.50	3265.89	USD	-1,834.75	
05SEP17	B	1	PD05DEC17	3182.00	3265.89	USD	2,097.25	
05SEP17	S	1	PD05DEC17	3144.50	3265.89	USD	-3,034.75	
05SEP17	B	1	PD05DEC17	3143.50	3265.89	USD	3,059.75	
	NET	**0**	**AVG BGHT 3180.90**	**SOLD 3185.70**			**600.00**	**0.00**
06SEP17	B	1	PD06DEC17	3068.00	3266.00	USD	4,950.00	
06SEP17	B	1	PD06DEC17	3089.00	3266.00	USD	4,425.00	
06SEP17	B	1	PD06DEC17	3105.50	3266.00	USD	4,012.50	
06SEP17	S	1	PD06DEC17	3079.50	3266.00	USD	-4,662.50	
06SEP17	S	1	PD06DEC17	3090.00	3266.00	USD	-4,400.00	
06SEP17	B	1	PD06DEC17	3087.50	3266.00	USD	4,462.50	
06SEP17	B	1	PD06DEC17	3106.50	3266.00	USD	3,987.50	
06SEP17	B	1	PD06DEC17	3108.00	3266.00	USD	3,950.00	
06SEP17	B	1	PD06DEC17	3080.00	3266.00	USD	4,650.00	
06SEP17	B	2	PD06DEC17	3081.00	3266.00	USD	9,250.00	
06SEP17	B	1	PD06DEC17	3078.50	3266.00	USD	4,687.50	
06SEP17	B	1	PD06DEC17	3076.50	3266.00	USD	4,737.50	
06SEP17	S	1	PD06DEC17	3085.50	3266.00	USD	-4,512.50	
06SEP17	S	4	PD06DEC17	3087.00	3266.00	USD	-17,900.00	
06SEP17	S	2	PD06DEC17	3088.50	3266.00	USD	-8,875.00	
06SEP17	S	1	PD06DEC17	3089.00	3266.00	USD	-4,425.00	
06SEP17	S	2	PD06DEC17	3080.00	3266.00	USD	-9,300.00	
06SEP17	B	1	PD06DEC17	3087.00	3266.00	USD	4,475.00	
06SEP17	B	1	PD06DEC17	3085.00	3266.00	USD	4,525.00	
06SEP17	S	1	PD06DEC17	3096.50	3266.00	USD	-4,237.50	
	NET	**0**	**AVG BGHT 3087.19**	**SOLD 3086.58**			**-200.00**	**0.00**
07SEP17	B	1	PD07DEC17	3079.50	3266.11	USD	4,665.25	
07SEP17	B	2	PD07DEC17	3083.00	3266.11	USD	9,155.50	
07SEP17	S	3	PD07DEC17	3092.50	3266.11	USD	-13,020.75	
07SEP17	S	2	PD07DEC17	3094.00	3266.11	USD	-8,605.50	
07SEP17	S	1	PD07DEC17	3096.00	3266.11	USD	-4,252.75	
07SEP17	S	1	PD07DEC17	3097.50	3266.11	USD	-4,215.25	
07SEP17	S	1	PD07DEC17	3119.50	3266.11	USD	-3,665.25	
07SEP17	S	1	PD07DEC17	3118.00	3266.11	USD	-3,702.75	
07SEP17	S	1	PD07DEC17	3119.00	3266.11	USD	-3,677.75	
07SEP17	B	1	PD07DEC17	3115.00	3266.11	USD	3,777.75	
07SEP17	B	1	PD07DEC17	3117.00	3266.11	USD	3,727.75	
07SEP17	B	2	PD07DEC17	3119.00	3266.11	USD	7,355.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0034H

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
07SEP17	B 3 PD07DEC17	3123.00	3266.11	USD	10,733.25	
	NET 0 AVG BGHT 3108.45 SOLD 3101.55				**-1,725.00**	**0.00**
08SEP17	B 3 PD08DEC17	3141.00	3266.21	USD	9,390.75	
08SEP17	S 1 PD08DEC17	3135.50	3266.21	USD	-3,267.75	
08SEP17	B 1 PD08DEC17	3120.00	3266.21	USD	3,655.25	
08SEP17	B 1 PD08DEC17	3121.50	3266.21	USD	3,617.75	
08SEP17	B 1 PD08DEC17	3123.00	3266.21	USD	3,580.25	
08SEP17	S 1 PD08DEC17	3122.50	3266.21	USD	-3,592.75	
08SEP17	B 1 PD08DEC17	3103.50	3266.21	USD	4,067.75	
08SEP17	B 1 PD08DEC17	3104.00	3266.21	USD	4,055.25	
08SEP17	B 1 PD08DEC17	3088.50	3266.21	USD	4,442.75	
08SEP17	B 1 PD08DEC17	3090.00	3266.21	USD	4,405.25	
08SEP17	S 2 PD08DEC17	3093.50	3266.21	USD	-8,635.50	
08SEP17	B 1 PD08DEC17	3084.50	3266.21	USD	4,542.75	
08SEP17	S 1 PD08DEC17	3091.50	3266.21	USD	-4,367.75	
08SEP17	B 1 PD08DEC17	3070.50	3266.21	USD	4,892.75	
08SEP17	B 1 PD08DEC17	3074.00	3266.21	USD	4,805.25	
08SEP17	S 1 PD08DEC17	3085.50	3266.21	USD	-4,517.75	
08SEP17	S 3 PD08DEC17	3092.00	3266.21	USD	-13,065.75	
08SEP17	S 2 PD08DEC17	3094.00	3266.21	USD	-8,610.50	
08SEP17	B 1 PD08DEC17	3069.50	3266.21	USD	4,917.75	
08SEP17	B 2 PD08DEC17	3072.00	3266.21	USD	9,710.50	
08SEP17	S 1 PD08DEC17	3069.50	3266.21	USD	-4,917.75	
08SEP17	S 1 PD08DEC17	3070.00	3266.21	USD	-4,905.25	
08SEP17	S 1 PD08DEC17	3065.00	3266.21	USD	-5,030.25	
08SEP17	S 1 PD08DEC17	3062.00	3266.21	USD	-5,105.25	
08SEP17	S 1 PD08DEC17	3064.00	3266.21	USD	-5,055.25	
	NET 0 AVG BGHT 3101.00 SOLD 3088.53				**-4,987.50**	**0.00**
11SEP17	S 1 PD11DEC17	3072.50	3266.54	USD	-4,851.00	
11SEP17	S 1 PD11DEC17	3094.50	3266.54	USD	-4,301.00	
11SEP17	B 1 PD11DEC17	3098.00	3266.54	USD	4,213.50	
11SEP17	B 1 PD11DEC17	3100.00	3266.54	USD	4,163.50	
	NET 0 AVG BGHT 3099.00 SOLD 3083.50				**-775.00**	**0.00**
12SEP17	S 1 PD12DEC17	3082.00	3266.64	USD	-4,616.00	
12SEP17	B 1 PD12DEC17	3088.50	3266.64	USD	4,453.50	
12SEP17	B 1 PD12DEC17	3089.50	3266.64	USD	4,428.50	
12SEP17	B 1 PD12DEC17	3091.00	3266.64	USD	4,391.00	
12SEP17	B 1 PD12DEC17	3091.50	3266.64	USD	4,378.50	
12SEP17	B 1 PD12DEC17	3092.00	3266.64	USD	4,366.00	
12SEP17	B 1 PD12DEC17	3093.50	3266.64	USD	4,328.50	
12SEP17	B 1 PD12DEC17	3082.00	3266.64	USD	4,616.00	
12SEP17	B 4 PD12DEC17	3090.00	3266.64	USD	17,664.00	
12SEP17	S 2 PD12DEC17	3089.00	3266.64	USD	-8,882.00	
12SEP17	S 1 PD12DEC17	3090.00	3266.64	USD	-4,416.00	
12SEP17	S 2 PD12DEC17	3091.50	3266.64	USD	-8,757.00	
12SEP17	S 1 PD12DEC17	3090.50	3266.64	USD	-4,403.50	
12SEP17	S 1 PD12DEC17	3079.50	3266.64	USD	-4,678.50	
12SEP17	S 4 PD12DEC17	3081.00	3266.64	USD	-18,564.00	
12SEP17	B 1 PD12DEC17	3068.00	3266.64	USD	4,966.00	
12SEP17	B 3 PD12DEC17	3069.50	3266.64	USD	14,785.50	
12SEP17	B 2 PD12DEC17	3070.00	3266.64	USD	9,832.00	
12SEP17	B 1 PD12DEC17	3067.50	3266.64	USD	4,978.50	
12SEP17	B 1 PD12DEC17	3070.50	3266.64	USD	4,903.50	
12SEP17	B 1 PD12DEC17	3063.00	3266.64	USD	5,091.00	
12SEP17	S 1 PD12DEC17	3061.00	3266.64	USD	-5,141.00	
12SEP17	S 1 PD12DEC17	3061.50	3266.64	USD	-5,128.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0034H

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
12SEP17	S 1 PD12DEC17	3065.00	3266.64	USD	-5,041.00	
12SEP17	S 3 PD12DEC17	3080.00	3266.64	USD	-13,998.00	
12SEP17	S 1 PD12DEC17	3064.00	3266.64	USD	-5,066.00	
12SEP17	S 2 PD12DEC17	3057.00	3266.64	USD	-10,482.00	
12SEP17	B 1 PD12DEC17	3071.00	3266.64	USD	4,891.00	
	NET 0 AVG BGHT 3079.83 SOLD 3077.74				**-1,100.00**	**0.00**
13SEP17	S 1 PD13DEC17	3060.00	3266.75	USD	-5,168.75	
13SEP17	B 2 PD13DEC17	3052.00	3266.75	USD	10,737.50	
13SEP17	B 1 PD13DEC17	3045.00	3266.75	USD	5,543.75	
13SEP17	S 1 PD13DEC17	3044.50	3266.75	USD	-5,556.25	
13SEP17	B 1 PD13DEC17	3050.00	3266.75	USD	5,418.75	
13SEP17	B 1 PD13DEC17	3031.00	3266.75	USD	5,893.75	
13SEP17	S 2 PD13DEC17	3033.00	3266.75	USD	-11,687.50	
13SEP17	S 1 PD13DEC17	3036.00	3266.75	USD	-5,768.75	
13SEP17	B 1 PD13DEC17	3026.00	3266.75	USD	6,018.75	
13SEP17	S 1 PD13DEC17	3029.50	3266.75	USD	-5,931.25	
	NET 0 AVG BGHT 3042.67 SOLD 3039.33				**-500.00**	**0.00**
14SEP17	B 2 PD14DEC17	3038.00	3266.86	USD	11,443.00	
14SEP17	B 1 PD14DEC17	3002.50	3266.86	USD	6,609.00	
14SEP17	B 1 PD14DEC17	3000.00	3266.86	USD	6,671.50	
14SEP17	B 1 PD14DEC17	3002.00	3266.86	USD	6,621.50	
14SEP17	S 4 PD14DEC17	3003.00	3266.86	USD	-26,386.00	
14SEP17	S 1 PD14DEC17	3008.00	3266.86	USD	-6,471.50	
14SEP17	B 2 PD14DEC17	2998.50	3266.86	USD	13,418.00	
14SEP17	S 1 PD14DEC17	2987.50	3266.86	USD	-6,984.00	
14SEP17	S 1 PD14DEC17	2991.50	3266.86	USD	-6,884.00	
	NET 0 AVG BGHT 3011.07 SOLD 2999.86				**-1,962.50**	**0.00**
15SEP17	S 1 PD15DEC17	3017.00	3266.96	USD	-6,249.00	
15SEP17	B 1 PD15DEC17	3012.50	3266.96	USD	6,361.50	
15SEP17	B 1 PD15DEC17	2999.50	3266.96	USD	6,686.50	
15SEP17	S 1 PD15DEC17	3006.00	3266.96	USD	-6,524.00	
	NET 0 AVG BGHT 3006.00 SOLD 3011.50				**275.00**	**0.00**
18SEP17	B 2 PD18DEC17	3067.50	3267.29	USD	9,989.50	
18SEP17	B 1 PD18DEC17	3067.00	3267.29	USD	5,007.25	
18SEP17	B 2 PD18DEC17	3068.50	3267.29	USD	9,939.50	
18SEP17	S 1 PD18DEC17	3071.00	3267.29	USD	-4,907.25	
18SEP17	S 1 PD18DEC17	3073.00	3267.29	USD	-4,857.25	
18SEP17	S 1 PD18DEC17	3076.00	3267.29	USD	-4,782.25	
18SEP17	S 1 PD18DEC17	3084.00	3267.29	USD	-4,582.25	
18SEP17	S 1 PD18DEC17	3080.50	3267.29	USD	-4,669.75	
18SEP17	S 1 PD18DEC17	3081.50	3267.29	USD	-4,644.75	
18SEP17	S 1 PD18DEC17	3085.50	3267.29	USD	-4,544.75	
18SEP17	S 2 PD18DEC17	3093.00	3267.29	USD	-8,714.50	
18SEP17	B 1 PD18DEC17	3085.50	3267.29	USD	4,544.75	
18SEP17	B 2 PD18DEC17	3064.00	3267.29	USD	10,164.50	
18SEP17	B 1 PD18DEC17	3068.00	3267.29	USD	4,982.25	
	NET 0 AVG BGHT 3068.94 SOLD 3081.94				**2,925.00**	**0.00**
20SEP17	B 1 PD20DEC17	3118.50	3267.50	USD	3,725.00	
20SEP17	B 1 PD20DEC17	3123.50	3267.50	USD	3,600.00	
20SEP17	S 2 PD20DEC17	3131.00	3267.50	USD	-6,825.00	
20SEP17	S 1 PD20DEC17	3134.00	3267.50	USD	-3,337.50	
20SEP17	B 1 PD20DEC17	3121.00	3267.50	USD	3,662.50	
20SEP17	B 2 PD20DEC17	3140.50	3267.50	USD	6,350.00	
20SEP17	S 1 PD20DEC17	3137.00	3267.50	USD	-3,262.50	
20SEP17	S 1 PD20DEC17	3139.50	3267.50	USD	-3,200.00	
20SEP17	S 1 PD20DEC17	3145.00	3267.50	USD	-3,062.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0034H

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
20SEP17	S 1 PD20DEC17	3146.00	3267.50	USD	-3,037.50	
20SEP17	B 2 PD20DEC17	3150.00	3267.50	USD	5,875.00	
20SEP17	S 3 PD20DEC17	3151.00	3267.50	USD	-8,737.50	
20SEP17	S 1 PD20DEC17	3150.50	3267.50	USD	-2,925.00	
20SEP17	S 1 PD20DEC17	3152.00	3267.50	USD	-2,887.50	
20SEP17	B 1 PD20DEC17	3136.00	3267.50	USD	3,287.50	
20SEP17	B 2 PD20DEC17	3138.00	3267.50	USD	6,475.00	
20SEP17	B 1 PD20DEC17	3139.00	3267.50	USD	3,212.50	
20SEP17	B 1 PD20DEC17	3141.00	3267.50	USD	3,162.50	
	NET 0 AVG BGHT 3136.33 SOLD 3143.25				**2,075.00**	**0.00**
21SEP17	B 1 PD21DEC17	3066.00	3264.00	USD	4,950.00	
21SEP17	B 1 PD21DEC17	3073.00	3264.00	USD	4,775.00	
21SEP17	S 1 PD21DEC17	3072.50	3264.00	USD	-4,787.50	
21SEP17	S 1 PD21DEC17	3074.50	3264.00	USD	-4,737.50	
	NET 0 AVG BGHT 3069.50 SOLD 3073.50				**200.00**	**0.00**
22SEP17	B 1 PD22DEC17	3031.00	3264.00	USD	5,825.00	
22SEP17	B 1 PD22DEC17	3010.00	3264.00	USD	6,350.00	
22SEP17	B 1 PD22DEC17	3013.00	3264.00	USD	6,275.00	
22SEP17	S 2 PD22DEC17	3022.50	3264.00	USD	-12,075.00	
22SEP17	B 1 PD22DEC17	3012.00	3264.00	USD	6,300.00	
22SEP17	S 1 PD22DEC17	3023.50	3264.00	USD	-6,012.50	
22SEP17	S 1 PD22DEC17	3023.00	3264.00	USD	-6,025.00	
	NET 0 AVG BGHT 3016.50 SOLD 3022.88				**637.50**	**0.00**
27SEP17	S 2 PD27DEC17	3112.50	3264.00	USD	-7,575.00	
27SEP17	S 3 PD27DEC17	3115.00	3264.00	USD	-11,175.00	
27SEP17	S 1 PD27DEC17	3142.00	3264.00	USD	-3,050.00	
27SEP17	B 1 PD27DEC17	3138.00	3264.00	USD	3,150.00	
27SEP17	B 1 PD27DEC17	3141.00	3264.00	USD	3,075.00	
27SEP17	B 1 PD27DEC17	3134.50	3264.00	USD	3,237.50	
27SEP17	B 1 PD27DEC17	3125.50	3264.00	USD	3,462.50	
27SEP17	B 1 PD27DEC17	3126.50	3264.00	USD	3,437.50	
27SEP17	B 1 PD27DEC17	3126.00	3264.00	USD	3,450.00	
	NET 0 AVG BGHT 3131.92 SOLD 3118.67				**-1,987.50**	**0.00**
29SEP17	S 1 PD29DEC17	3147.00	3264.00	USD	-2,925.00	
29SEP17	B 1 PD29DEC17	3140.00	3264.00	USD	3,100.00	
29SEP17	B 1 PD29DEC17	3143.00	3264.00	USD	3,025.00	
29SEP17	B 1 PD29DEC17	3145.00	3264.00	USD	2,975.00	
29SEP17	S 1 PD29DEC17	3152.00	3264.00	USD	-2,800.00	
29SEP17	S 4 PD29DEC17	3153.50	3264.00	USD	-11,050.00	
29SEP17	S 1 PD29DEC17	3159.50	3264.00	USD	-2,612.50	
29SEP17	S 1 PD29DEC17	3160.00	3264.00	USD	-2,600.00	
29SEP17	S 1 PD29DEC17	3167.00	3264.00	USD	-2,425.00	
29SEP17	B 3 PD29DEC17	3152.00	3264.00	USD	8,400.00	
29SEP17	S 1 PD29DEC17	3150.00	3264.00	USD	-2,850.00	
29SEP17	B 1 PD29DEC17	3146.50	3264.00	USD	2,937.50	
29SEP17	S 1 PD29DEC17	3149.50	3264.00	USD	-2,862.50	
29SEP17	S 2 PD29DEC17	3148.00	3264.00	USD	-5,800.00	
29SEP17	S 2 PD29DEC17	3162.50	3264.00	USD	-5,075.00	
29SEP17	S 2 PD29DEC17	3150.50	3264.00	USD	-5,675.00	
29SEP17	S 2 PD29DEC17	3151.00	3264.00	USD	-5,650.00	
29SEP17	S 1 PD29DEC17	3151.50	3264.00	USD	-2,812.50	
29SEP17	B 1 PD29DEC17	3159.50	3264.00	USD	2,612.50	
29SEP17	B 1 PD29DEC17	3160.00	3264.00	USD	2,600.00	
29SEP17	B 2 PD29DEC17	3158.50	3264.00	USD	5,275.00	
29SEP17	B 2 PD29DEC17	3150.00	3264.00	USD	5,700.00	
29SEP17	B 4 PD29DEC17	3147.50	3264.00	USD	11,650.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0034H

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
29SEP17	B 3 PD29DEC17	3148.50	3264.00	USD	8,662.50	
	NET 0 AVG BGHT 3150.13 SOLD 3153.73				**1,800.00**	**0.00**
03OCT17	S 1 PD03JAN18	3223.00	3264.00	USD	-1,025.00	
03OCT17	S 1 PD03JAN18	3225.00	3264.00	USD	-975.00	
03OCT17	S 1 PD03JAN18	3229.00	3264.00	USD	-875.00	
03OCT17	S 1 PD03JAN18	3235.50	3264.00	USD	-712.50	
03OCT17	S 1 PD03JAN18	3237.00	3264.00	USD	-675.00	
03OCT17	B 1 PD03JAN18	3255.00	3264.00	USD	225.00	
03OCT17	B 1 PD03JAN18	3256.00	3264.00	USD	200.00	
03OCT17	S 1 PD03JAN18	3240.50	3264.00	USD	-587.50	
03OCT17	S 1 PD03JAN18	3253.50	3264.00	USD	-262.50	
03OCT17	B 1 PD03JAN18	3260.00	3264.00	USD	100.00	
03OCT17	B 1 PD03JAN18	3258.50	3264.00	USD	137.50	
03OCT17	B 1 PD03JAN18	3257.00	3264.00	USD	175.00	
03OCT17	B 1 PD03JAN18	3257.50	3264.00	USD	162.50	
03OCT17	B 1 PD03JAN18	3275.00	3264.00	USD	-275.00	
03OCT17	B 1 PD03JAN18	3275.50	3264.00	USD	-287.50	
03OCT17	B 1 PD03JAN18	3276.00	3264.00	USD	-300.00	
03OCT17	S 1 PD03JAN18	3272.50	3264.00	USD	212.50	
03OCT17	S 1 PD03JAN18	3275.00	3264.00	USD	275.00	
	NET 0 AVG BGHT 3263.39 SOLD 3243.44				**-4,487.50**	**0.00**

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	-200.00
LME Lead 25 tonnes. This is an	FUTURES	14,290.75
LME Nickel 6 tonnes. This is	FUTURES	-17,199.30
LME Zinc 25 tonnes. This is	FUTURES	-371.25

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards			Amount	Profit / Loss	**Total Amount**	**Total P&L**
ALUL	- Futures	USD	-200.00	-200.00		
LEAD	- Futures	USD	14,290.75	14,290.75		
NKL	- Futures	USD	-17,210.34	-17,210.34		
ZINC	- Futures	USD	-371.25	-371.25	**-3,490.84**	**-3,490.84**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0034H

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-18,688.36	-18,688.36
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**-18,688.36**	**-18,688.36**
Initial Margins		-3,598.84	-3,598.84
Excess/(Shortage)		**-22,287.20**	**-22,287.20**
FOR INFORMATION ONLY			
Forward Unrealised P&L		-3,490.84	-3,490.84
Net Liquidating Value		**-22,179.20**	**-22,179.20**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0034L

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	CME EURODOLLAR 2,500 CME					
03OCT17	S 25 OCT17 98.5000 Call	0.0150	0.0125	USD	-781.25	156.25
	NET -25 AVG SOLD 0.0150				**-781.25**	**156.25**
18SEP17	S 2 OCT17 98.5000 Put	0.0125	0.0125	USD	-62.50	0.00
19SEP17	S 1 OCT17 98.5000 Put	0.0125	0.0125	USD	-31.25	0.00
21SEP17	S 50 OCT17 98.5000 Put	0.0150	0.0125	USD	-1,562.50	312.50
02OCT17	S 25 OCT17 98.5000 Put	0.0100	0.0125	USD	-781.25	-156.25
	NET -78 AVG SOLD 0.0133				**-2,437.50**	**156.25**
27SEP17	S 40 DEC19	97.9700	97.9500	USD	2,000.00	
	NET -40 AVG SOLD 97.9700				**2,000.00**	**0.00**
27SEP17	B 40 DEC20	97.8300	97.8050	USD	-2,500.00	
	NET +40 AVG BGHT 97.8300				**-2,500.00**	**0.00**
	CME EURO FX 125,000 CME					
21SEP17	B 1 DEC17	1.20015	1.17965	USD	-2,562.50	
25SEP17	B 1 DEC17	1.19205	1.17965	USD	-1,550.00	
	NET +2 AVG BGHT 1.19610				**-4,112.50**	**0.00**
	CME E-MINI JAPANESE YEN 6,250,000 CME					
03OCT17	B 1 DEC17	0.088800	0.088850	USD	31.25	
	NET +1 AVG BGHT 0.088800				**31.25**	**0.00**
	CME E-MINI S&P 500 50 CME					
12SEP17	S 2 DEC17	2492.50	2532.80	USD	-4,030.00	
12SEP17	S 2 DEC17	2492.00	2532.80	USD	-4,080.00	
15SEP17	S 1 DEC17	2491.50	2532.80	USD	-2,065.00	
20SEP17	S 1 DEC17	2503.75	2532.80	USD	-1,452.50	
22SEP17	S 1 DEC17	2496.75	2532.80	USD	-1,802.50	
25SEP17	S 2 DEC17	2488.25	2532.80	USD	-4,455.00	
27SEP17	S 2 DEC17	2497.75	2532.80	USD	-3,505.00	
29SEP17	S 2 DEC17	2510.00	2532.80	USD	-2,280.00	
29SEP17	S 6 DEC17	2512.00	2532.80	USD	-6,240.00	
	NET -19 AVG SOLD 2501.32				**-29,910.00**	**0.00**

OPEN POSITION VALUE

		USD
CME Eurodollar	FUTURES	14,000.00
CME Eurodollar	OPTIONS	3,531.25
CME Euro FX	FUTURES	-299,025.00
CME E-mini Japanese Yen	FUTURES	-55,500.00
CME E-Mini S&P 500	FUTURES	2,376,250.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options			Amount	Profit / Loss	**Total Amount**	**Total P&L**
EURO	- Futures	USD	-500.00	-500.00		
EUSD	- Futures	USD	-4,112.50	-4,112.50		
MJY	- Futures	USD	31.25	31.25		
MSNP	- Futures	USD	-29,910.00	-29,910.00	**-34,491.25**	**-34,491.25**
Net Premium Options Market Value						
EURO	- Options	USD	-3,218.75	312.50	**-3,218.75**	**312.50**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0034L

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-94,327.39	-94,327.39
Variation Margins	- Futures	-34,491.25	-34,491.25
	- Options	0.00	0.00
TOTAL EQUITY		**-128,818.64**	**-128,818.64**
Initial Margins		-115,182.75	-115,182.75
Excess/(Shortage)		**-244,001.39**	**-244,001.39**
FOR INFORMATION ONLY			
Net Premium Option Market Value		-3,218.75	-3,218.75
Net Liquidating Value		**-132,037.39**	**-132,037.39**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0034Q

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	CNX NIFTY (INDIA) INDEX 2 SIM					
02OCT17	B 8 OCT17	9831.00	9874.50	USD	696.00	
02OCT17	B 10 OCT17	9831.50	9874.50	USD	860.00	
	NET +18 AVG BGHT 9831.28				**1,556.00**	**0.00**
	CME EURODOLLAR 2,500 CME					
28FEB17	B 20 DEC19	97.7350	97.9500	USD	10,750.00	
28FEB17	B 20 DEC19	97.7500	97.9500	USD	10,000.00	
	NET +40 AVG BGHT 97.7425				**20,750.00**	**0.00**
28FEB17	S 20 DEC20	97.5400	97.8050	USD	-13,250.00	
28FEB17	S 20 DEC20	97.5600	97.8050	USD	-12,250.00	
	NET -40 AVG SOLD 97.5500				**-25,500.00**	**0.00**
	IEU GAS OIL 100 IPE					
20SEP17	S 1 NOV17	531.750	522.000	USD	975.00	
28SEP17	S 1 NOV17	540.500	522.000	USD	1,850.00	
	NET -2 AVG SOLD 536.125				**2,825.00**	**0.00**
	IEU BRENT CRUDE 1,000 IPE					
20SEP17	B 2 DEC17	55.96	56.00	USD	80.00	
28SEP17	B 2 DEC17	56.79	56.00	USD	-1,580.00	
	NET +4 AVG BGHT 56.38				**-1,500.00**	**0.00**
	CBT 30 YEAR US TREASURY BONDS 1,000 CBT					
11SEP17	B 1 DEC17	156.0800	152.2000	USD	-3,625.00	
	NET +1 AVG BGHT 156.0800				**-3,625.00**	**0.00**
	ICE US DOLLAR INDEX 1,000 FIN					
26SEP17	B 1 DEC17	92.755	93.414	USD	659.00	
	NET +1 AVG BGHT 92.755				**659.00**	**0.00**

OPEN POSITION VALUE

		USD
CNX Nifty (India) Index	FUTURES	-353,926.00
CME Eurodollar	FUTURES	-19,250.00
IEU Gas Oil	FUTURES	107,225.00
IEU Brent Crude	FUTURES	-225,500.00
CBT 30 Year Us Treasury Bonds	FUTURES	-156,250.00
ICE US Dollar Index	FUTURES	-92,755.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options			Amount	Profit / Loss	**Total Amount**	**Total P&L**
CNX	- Futures	USD	1,556.00	1,556.00		
EURO	- Futures	USD	-4,750.00	-4,750.00		
GASO	- Futures	USD	2,825.00	2,825.00		
LCO	- Futures	USD	-1,500.00	-1,500.00		
TBON	- Futures	USD	-3,625.00	-3,625.00		
USX	- Futures	USD	659.00	659.00	**-4,835.00**	**-4,835.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0034Q

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-1,195,677.50	-1,195,677.50
Variation Margins	- Futures	-4,835.00	-4,835.00
	- Options	0.00	0.00
TOTAL EQUITY		**-1,200,512.50**	**-1,200,512.50**
Initial Margins		-37,014.00	-37,014.00
Excess/(Shortage)		**-1,237,526.50**	**-1,237,526.50**
FOR INFORMATION ONLY			
Net Liquidating Value		**-1,200,512.50**	**-1,200,512.50**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0034S

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-510.00	-510.00
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**-510.00**	**-510.00**
Initial Margins		0.00	0.00
Excess/(Shortage)		**-510.00**	**-510.00**
FOR INFORMATION ONLY			
Net Liquidating Value		**-510.00**	**-510.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED
SAP0034
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPG0034**

3rd Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

GROUP FINANCIAL SUMMARY

		EUR (1.17550)	GBP (1.32460)	JPY (112.76500)
CASH BALANCE		15,838.21	-14,950.85	-397,318
Variation Margins	- Futures	0.00	0.00	0
	- Options	0.00	0.00	0
TOTAL EQUITY		**15,838.21**	**-14,950.85**	**-397,318**
Initial Margins		0.00	0.00	0
Excess/(Shortage)		**15,838.21**	**-14,950.85**	**-397,318**
FOR INFORMATION ONLY				
Net Liquidating Value		**15,838.21**	**-14,950.85**	**-397,318**

		USD (1.00000)	NET USD (1.00000)
CASH BALANCE		637,865.26	633,155.76
Variation Margins	- Futures	-242,453.45	-242,453.45
	- Options	0.00	0.00
TOTAL EQUITY		**395,411.81**	**390,702.31**
Initial Margins		-742,123.86	-742,123.86
Excess/(Shortage)		**-346,712.05**	**-351,421.55**
FOR INFORMATION ONLY			
Forward Unrealised P&L		-32,359.11	-32,359.11
Net Premium Option Market Value		-18,508.75	-18,508.75
Net Liquidating Value		**344,543.95**	**339,834.45**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0038

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		239,759.87	239,759.87
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**239,759.87**	**239,759.87**
Initial Margins		0.00	0.00
Excess/(Shortage)		**239,759.87**	**239,759.87**
FOR INFORMATION ONLY			
Net Liquidating Value		**239,759.87**	**239,759.87**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0038B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	GOLD 1,000 TCM					
03OCT17	S 12 AUG18	4609	4606	JPY	36,000	
	NET -12 AVG SOLD 4609				**36,000**	**0**
	CMX GOLD 100 CMX					
02OCT17	B 4 DEC17	1276.6	1274.6	USD	-800.00	
	NET +4 AVG BGHT 1276.6				**-800.00**	**0.00**
	CME JAPANESE YEN 12,500,000 CME					
21SEP17	S 1 DEC17	89.315	88.850	USD	581.25	
02OCT17	S 4 DEC17	88.965	88.850	USD	575.00	
	NET -5 AVG SOLD 89.035				**1,156.25**	**0.00**

OPEN POSITION VALUE

		JPY	USD
Gold	FUTURES	55,308,000	
CMX Gold	FUTURES		-510,640.00
CME Japanese Yen	FUTURES		556,468.75

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options		Amount	Profit / Loss	**Total Amount**	**Total P&L**
GLDT - Futures	JPY	36,000	36,000	**36,000**	**36,000**
GOLD - Futures	USD	-800.00	-800.00		
JPY - Futures	USD	1,156.25	1,156.25	**356.25**	**356.25**

ACCOUNT FINANCIAL SUMMARY

		JPY	USD	NETT USD
		(112.76500)	(1.00000)	(1.00000)
CASH BALANCE		13,486,515	-115,800.70	3,797.71
Variation Margins	- Futures	36,000	356.25	675.50
	- Options	0	0.00	0.00
TOTAL EQUITY		**13,522,515**	**-115,444.45**	**4,473.21**
Initial Margins		-792,000	-14,880.00	-21,903.46
Excess/(Shortage)		**12,730,515**	**-130,324.45**	**-17,430.25**
FOR INFORMATION ONLY				
Net Liquidating Value		**13,522,515**	**-115,444.45**	**4,473.21**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0038K

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-1,044.12	-1,044.12
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**-1,044.12**	**-1,044.12**
Initial Margins		0.00	0.00
Excess/(Shortage)		**-1,044.12**	**-1,044.12**
FOR INFORMATION ONLY			
Net Liquidating Value		**-1,044.12**	**-1,044.12**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

backoffice@sapiencap.com

SAP0038O

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	IEU WTI CRUDE OIL FUTURES 1,000 IPE					
22SEP17	B 1 DEC18	51.19	50.69	USD	-500.00	
22SEP17	B 1 DEC18	51.25	50.69	USD	-560.00	
22SEP17	B 2 DEC18	51.42	50.69	USD	-1,460.00	
25SEP17	B 2 DEC18	51.16	50.69	USD	-940.00	
25SEP17	B 2 DEC18	51.20	50.69	USD	-1,020.00	
25SEP17	B 2 DEC18	51.19	50.69	USD	-1,000.00	
25SEP17	B 1 DEC18	51.26	50.69	USD	-570.00	
25SEP17	B 1 DEC18	51.27	50.69	USD	-580.00	
25SEP17	B 2 DEC18	51.29	50.69	USD	-1,200.00	
	NET +14 AVG BGHT 51.25				**-7,830.00**	**0.00**
25AUG17	B 2 JUN19	49.17	50.23	USD	2,120.00	
27SEP17	B 2 JUN19	51.40	50.23	USD	-2,340.00	
27SEP17	B 2 JUN19	51.34	50.23	USD	-2,220.00	
28SEP17	B 1 JUN19	51.52	50.23	USD	-1,290.00	
28SEP17	B 1 JUN19	51.62	50.23	USD	-1,390.00	
28SEP17	B 1 JUN19	51.18	50.23	USD	-950.00	
28SEP17	B 2 JUN19	51.15	50.23	USD	-1,840.00	
28SEP17	B 1 JUN19	51.12	50.23	USD	-890.00	
28SEP17	B 2 JUN19	51.05	50.23	USD	-1,640.00	
28SEP17	B 2 JUN19	50.97	50.23	USD	-1,480.00	
28SEP17	B 2 JUN19	51.00	50.23	USD	-1,540.00	
02OCT17	B 2 JUN19	50.23	50.23	USD	0.00	
02OCT17	B 2 JUN19	50.24	50.23	USD	-20.00	
02OCT17	B 1 JUN19	50.20	50.23	USD	30.00	
	NET +23 AVG BGHT 50.81				**-13,450.00**	**0.00**
22SEP17	S 2 DEC19	50.86	49.95	USD	1,820.00	
22SEP17	S 3 DEC19	50.83	49.95	USD	2,640.00	
22SEP17	S 2 DEC19	51.06	49.95	USD	2,220.00	
22SEP17	S 1 DEC19	51.01	49.95	USD	1,060.00	
25SEP17	S 1 DEC19	50.75	49.95	USD	800.00	
25SEP17	S 1 DEC19	50.74	49.95	USD	790.00	
25SEP17	S 2 DEC19	50.85	49.95	USD	1,800.00	
25SEP17	S 2 DEC19	50.88	49.95	USD	1,860.00	
27SEP17	S 2 DEC19	51.04	49.95	USD	2,180.00	
27SEP17	S 1 DEC19	50.97	49.95	USD	1,020.00	
27SEP17	S 1 DEC19	51.00	49.95	USD	1,050.00	
28SEP17	S 1 DEC19	50.76	49.95	USD	810.00	
28SEP17	S 1 DEC19	50.74	49.95	USD	790.00	
28SEP17	S 2 DEC19	50.67	49.95	USD	1,440.00	
02OCT17	S 2 DEC19	50.04	49.95	USD	180.00	
02OCT17	S 2 DEC19	50.08	49.95	USD	260.00	
02OCT17	S 1 DEC19	50.06	49.95	USD	110.00	
	NET -27 AVG SOLD 50.72				**20,830.00**	**0.00**
08SEP17	S 1 DEC20	51.11	49.88	USD	1,230.00	
08SEP17	S 1 DEC20	51.04	49.88	USD	1,160.00	
08SEP17	S 1 DEC20	51.02	49.88	USD	1,140.00	
08SEP17	S 2 DEC20	51.01	49.88	USD	2,260.00	
08SEP17	S 2 DEC20	50.98	49.88	USD	2,200.00	
08SEP17	S 2 DEC20	51.05	49.88	USD	2,340.00	
	NET -9 AVG SOLD 51.03				**10,330.00**	**0.00**
06SEP17	B 6 DEC21	52.36	50.14	USD	-13,320.00	
06SEP17	B 5 DEC21	52.35	50.14	USD	-11,050.00	
06SEP17	B 2 DEC21	52.52	50.14	USD	-4,760.00	
08SEP17	B 1 DEC21	51.72	50.14	USD	-1,580.00	
08SEP17	B 2 DEC21	51.70	50.14	USD	-3,120.00	
08SEP17	B 2 DEC21	51.67	50.14	USD	-3,060.00	
08SEP17	B 3 DEC21	51.74	50.14	USD	-4,800.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

backoffice@sapiencap.com

SAP0038O

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	IEU WTI CRUDE OIL FUTURES 1,000 IPE					
14SEP17	B 2 DEC21	51.89	50.14	USD	-3,500.00	
14SEP17	B 1 DEC21	51.88	50.14	USD	-1,740.00	
14SEP17	B 1 DEC21	51.81	50.14	USD	-1,670.00	
14SEP17	B 1 DEC21	51.80	50.14	USD	-1,660.00	
14SEP17	B 3 DEC21	51.79	50.14	USD	-4,950.00	
14SEP17	B 2 DEC21	51.82	50.14	USD	-3,360.00	
	NET +31 AVG BGHT 52.03				**-58,570.00**	**0.00**
01SEP17	S 1 DEC22	53.62	50.59	USD	3,030.00	
06SEP17	S 2 DEC22	53.69	50.59	USD	6,200.00	
06SEP17	S 5 DEC22	53.65	50.59	USD	15,300.00	
06SEP17	S 1 DEC22	53.47	50.59	USD	2,880.00	
06SEP17	S 5 DEC22	53.49	50.59	USD	14,500.00	
06SEP17	S 2 DEC22	53.50	50.59	USD	5,820.00	
06SEP17	S 2 DEC22	53.51	50.59	USD	5,840.00	
06SEP17	S 2 DEC22	53.46	50.59	USD	5,740.00	
06SEP17	S 2 DEC22	53.62	50.59	USD	6,060.00	
14SEP17	S 2 DEC22	52.62	50.59	USD	4,060.00	
14SEP17	S 1 DEC22	52.61	50.59	USD	2,020.00	
14SEP17	S 1 DEC22	52.54	50.59	USD	1,950.00	
14SEP17	S 2 DEC22	52.52	50.59	USD	3,860.00	
14SEP17	S 2 DEC22	52.53	50.59	USD	3,880.00	
14SEP17	S 2 DEC22	52.55	50.59	USD	3,920.00	
	NET -32 AVG SOLD 53.25				**85,060.00**	**0.00**
	IEU BRENT CRUDE 1,000 IPE					
22SEP17	S 1 DEC18	55.07	54.65	USD	420.00	
22SEP17	S 1 DEC18	55.15	54.65	USD	500.00	
22SEP17	S 2 DEC18	55.23	54.65	USD	1,160.00	
25SEP17	S 2 DEC18	55.05	54.65	USD	800.00	
25SEP17	S 2 DEC18	55.12	54.65	USD	940.00	
25SEP17	S 2 DEC18	55.17	54.65	USD	1,040.00	
25SEP17	S 1 DEC18	55.28	54.65	USD	630.00	
25SEP17	S 1 DEC18	55.29	54.65	USD	640.00	
25SEP17	S 2 DEC18	55.27	54.65	USD	1,240.00	
	NET -14 AVG SOLD 55.18				**7,370.00**	**0.00**
25AUG17	S 2 JUN19	52.92	54.39	USD	-2,940.00	
27SEP17	S 2 JUN19	55.53	54.39	USD	2,280.00	
27SEP17	S 2 JUN19	55.48	54.39	USD	2,180.00	
28SEP17	S 1 JUN19	55.65	54.39	USD	1,260.00	
28SEP17	S 1 JUN19	55.83	54.39	USD	1,440.00	
28SEP17	S 1 JUN19	55.37	54.39	USD	980.00	
28SEP17	S 1 JUN19	55.36	54.39	USD	970.00	
28SEP17	S 1 JUN19	55.38	54.39	USD	990.00	
28SEP17	S 1 JUN19	55.35	54.39	USD	960.00	
28SEP17	S 2 JUN19	55.25	54.39	USD	1,720.00	
28SEP17	S 2 JUN19	55.15	54.39	USD	1,520.00	
28SEP17	S 2 JUN19	55.22	54.39	USD	1,660.00	
02OCT17	S 2 JUN19	54.40	54.39	USD	20.00	
02OCT17	S 3 JUN19	54.37	54.39	USD	-60.00	
	NET -23 AVG SOLD 54.95				**12,980.00**	**0.00**
22SEP17	B 2 DEC19	54.85	54.20	USD	-1,300.00	
22SEP17	B 3 DEC19	54.84	54.20	USD	-1,920.00	
22SEP17	B 2 DEC19	54.96	54.20	USD	-1,520.00	
22SEP17	B 1 DEC19	54.93	54.20	USD	-730.00	
25SEP17	B 1 DEC19	54.69	54.20	USD	-490.00	
25SEP17	B 1 DEC19	54.68	54.20	USD	-480.00	
25SEP17	B 2 DEC19	54.89	54.20	USD	-1,380.00	
25SEP17	B 2 DEC19	54.87	54.20	USD	-1,340.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

backoffice@sapiencap.com

SAP0038O

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	IEU BRENT CRUDE 1,000 IPE					
27SEP17	B 2 DEC19	55.17	54.20	USD	-1,940.00	
27SEP17	B 1 DEC19	55.11	54.20	USD	-910.00	
27SEP17	B 1 DEC19	55.14	54.20	USD	-940.00	
28SEP17	B 1 DEC19	55.01	54.20	USD	-810.00	
28SEP17	B 1 DEC19	54.99	54.20	USD	-790.00	
28SEP17	B 2 DEC19	54.89	54.20	USD	-1,380.00	
02OCT17	B 2 DEC19	54.19	54.20	USD	20.00	
02OCT17	B 2 DEC19	54.23	54.20	USD	-60.00	
02OCT17	B 1 DEC19	54.22	54.20	USD	-20.00	
	NET +27 AVG BGHT 54.79				**-15,990.00**	**0.00**
08SEP17	B 1 DEC20	55.11	54.49	USD	-620.00	
08SEP17	B 1 DEC20	54.87	54.49	USD	-380.00	
08SEP17	B 1 DEC20	54.85	54.49	USD	-360.00	
08SEP17	B 2 DEC20	54.83	54.49	USD	-680.00	
08SEP17	B 2 DEC20	54.80	54.49	USD	-620.00	
08SEP17	B 2 DEC20	54.82	54.49	USD	-660.00	
	NET +9 AVG BGHT 54.86				**-3,320.00**	**0.00**
06SEP17	S 6 DEC21	56.35	55.03	USD	7,920.00	
06SEP17	S 5 DEC21	56.34	55.03	USD	6,550.00	
06SEP17	S 2 DEC21	56.51	55.03	USD	2,960.00	
08SEP17	S 1 DEC21	55.69	55.03	USD	660.00	
08SEP17	S 1 DEC21	55.67	55.03	USD	640.00	
08SEP17	S 2 DEC21	55.65	55.03	USD	1,240.00	
08SEP17	S 2 DEC21	55.62	55.03	USD	1,180.00	
08SEP17	S 2 DEC21	55.64	55.03	USD	1,220.00	
14SEP17	S 2 DEC21	56.13	55.03	USD	2,200.00	
14SEP17	S 1 DEC21	56.12	55.03	USD	1,090.00	
14SEP17	S 1 DEC21	56.05	55.03	USD	1,020.00	
14SEP17	S 2 DEC21	56.03	55.03	USD	2,000.00	
14SEP17	S 2 DEC21	56.04	55.03	USD	2,020.00	
14SEP17	S 2 DEC21	56.06	55.03	USD	2,060.00	
	NET -31 AVG SOLD 56.09				**32,760.00**	**0.00**
01SEP17	B 1 DEC22	57.62	55.66	USD	-1,960.00	
06SEP17	B 2 DEC22	57.69	55.66	USD	-4,060.00	
06SEP17	B 5 DEC22	57.65	55.66	USD	-9,950.00	
06SEP17	B 1 DEC22	57.47	55.66	USD	-1,810.00	
06SEP17	B 5 DEC22	57.49	55.66	USD	-9,150.00	
06SEP17	B 2 DEC22	57.50	55.66	USD	-3,680.00	
06SEP17	B 2 DEC22	57.51	55.66	USD	-3,700.00	
06SEP17	B 2 DEC22	57.46	55.66	USD	-3,600.00	
06SEP17	B 2 DEC22	57.62	55.66	USD	-3,920.00	
14SEP17	B 2 DEC22	56.87	55.66	USD	-2,420.00	
14SEP17	B 1 DEC22	56.86	55.66	USD	-1,200.00	
14SEP17	B 1 DEC22	56.79	55.66	USD	-1,130.00	
14SEP17	B 2 DEC22	56.77	55.66	USD	-2,220.00	
14SEP17	B 2 DEC22	56.78	55.66	USD	-2,240.00	
14SEP17	B 2 DEC22	56.80	55.66	USD	-2,280.00	
	NET +32 AVG BGHT 57.33				**-53,320.00**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

backoffice@sapiencap.com

SAP0038O

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

OPEN POSITION VALUE

		USD
IEU WTI Crude Oil Futures	FUTURES	33,530.00
IEU Brent Crude	FUTURES	-32,450.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options			Amount	Profit / Loss	**Total Amount**	**Total P&L**
CLF	- Futures	USD	36,370.00	36,370.00		
LCO	- Futures	USD	-19,520.00	-19,520.00	**16,850.00**	**16,850.00**

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		60,860.53	60,860.53
Variation Margins	- Futures	16,850.00	16,850.00
	- Options	0.00	0.00
TOTAL EQUITY		**77,710.53**	**77,710.53**
Initial Margins		-38,856.00	-38,856.00
Excess/(Shortage)		**38,854.53**	**38,854.53**
FOR INFORMATION ONLY			
Net Liquidating Value		**77,710.53**	**77,710.53**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED
SAP0038
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPG0038**

3rd Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

GROUP FINANCIAL SUMMARY

		JPY (112.76500)	USD (1.00000)	NET USD (1.00000)
CASH BALANCE		13,486,515	183,775.58	303,373.99
Variation Margins	- Futures	36,000	17,206.25	17,525.50
	- Options	0	0.00	0.00
TOTAL EQUITY		**13,522,515**	**200,981.83**	**320,899.49**
Initial Margins		-792,000	-53,736.00	-60,759.46
Excess/(Shortage)		**12,730,515**	**147,245.83**	**260,140.03**
FOR INFORMATION ONLY				
Net Liquidating Value		**13,522,515**	**200,981.83**	**320,899.49**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0045

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		236,500.86	236,500.86
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**236,500.86**	**236,500.86**
Initial Margins		0.00	0.00
Excess/(Shortage)		**236,500.86**	**236,500.86**
FOR INFORMATION ONLY			
Net Liquidating Value		**236,500.86**	**236,500.86**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0045B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
09AUG17	B 1 PD09NOV17	2036.50	2116.11	USD	1,990.25	
11SEP17	S 1 PD09NOV17	2114.79	2116.11	USD	-33.00	
	NET 0 AVG BGHT 2036.50 SOLD 2114.79				**1,957.25**	**0.00**
11AUG17	S 1 PD10NOV17	2024.00	2116.46	USD	-2,311.50	
11SEP17	B 1 PD10NOV17	2115.27	2116.46	USD	29.75	
	NET 0 AVG BGHT 2115.27 SOLD 2024.00				**-2,281.75**	**0.00**
15AUG17	B 1 PD15NOV17	2040.50	2118.25	USD	1,943.75	
15AUG17	S 1 PD15NOV17	2053.50	2118.25	USD	-1,618.75	
15AUG17	B 1 PD15NOV17	2042.50	2118.25	USD	1,893.75	
15AUG17	S 1 PD15NOV17	2047.50	2118.25	USD	-1,768.75	
	NET 0 AVG BGHT 2041.50 SOLD 2050.50				**450.00**	**0.00**
16AUG17	B 1 PD16NOV17	2062.50	2118.52	USD	1,400.50	
16AUG17	B 2 PD16NOV17	2059.50	2118.52	USD	2,951.00	
16AUG17	B 1 PD16NOV17	2064.00	2118.52	USD	1,363.00	
16AUG17	B 1 PD16NOV17	2074.50	2118.52	USD	1,100.50	
16AUG17	S 1 PD16NOV17	2069.00	2118.52	USD	-1,238.00	
16AUG17	B 1 PD16NOV17	2066.00	2118.52	USD	1,313.00	
16AUG17	B 1 PD16NOV17	2067.50	2118.52	USD	1,275.50	
16AUG17	B 1 PD16NOV17	2088.50	2118.52	USD	750.50	
16AUG17	B 1 PD16NOV17	2089.00	2118.52	USD	738.00	
11SEP17	S 8 PD16NOV17	2115.88	2118.52	USD	-528.00	
	NET 0 AVG BGHT 2070.11 SOLD 2110.67				**9,126.00**	**0.00**
17AUG17	S 1 PD17NOV17	2073.50	2118.79	USD	-1,132.25	
17AUG17	B 1 PD17NOV17	2072.50	2118.79	USD	1,157.25	
17AUG17	S 1 PD17NOV17	2078.50	2118.79	USD	-1,007.25	
17AUG17	B 1 PD17NOV17	2075.50	2118.79	USD	1,082.25	
17AUG17	S 1 PD17NOV17	2074.00	2118.79	USD	-1,119.75	
11SEP17	B 1 PD17NOV17	2115.45	2118.79	USD	83.50	
	NET 0 AVG BGHT 2087.82 SOLD 2075.33				**-936.25**	**0.00**
05SEP17	S 4 PD05DEC17	2108.00	2123.68	USD	-1,568.00	
05SEP17	S 2 PD05DEC17	2108.50	2123.68	USD	-759.00	
05SEP17	S 1 PD05DEC17	2109.00	2123.68	USD	-367.00	
11SEP17	B 7 PD05DEC17	2120.51	2123.68	USD	554.75	
	NET 0 AVG BGHT 2120.51 SOLD 2108.29				**-2,139.25**	**0.00**
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
06JUL17	S 1 PD06OCT17	2283.50	2585.23	USD	-7,543.25	
11SEP17	B 1 PD06OCT17	2253.38	2585.23	USD	8,296.25	
	NET 0 AVG BGHT 2253.38 SOLD 2283.50				**753.00**	**0.00**
10JUL17	S 2 PD10OCT17	2297.00	2586.15	USD	-14,457.50	
10JUL17	S 1 PD10OCT17	2297.50	2586.15	USD	-7,216.25	
11SEP17	B 3 PD10OCT17	2254.92	2586.15	USD	24,842.25	
	NET 0 AVG BGHT 2254.92 SOLD 2297.17				**3,168.50**	**0.00**
12JUL17	S 4 PD12OCT17	2331.50	2586.62	USD	-25,512.00	
11SEP17	B 4 PD12OCT17	2255.69	2586.62	USD	33,093.00	
	NET 0 AVG BGHT 2255.69 SOLD 2331.50				**7,581.00**	**0.00**
13JUL17	S 1 PD13OCT17	2290.00	2586.85	USD	-7,421.25	
11SEP17	B 1 PD13OCT17	2256.08	2586.85	USD	8,269.25	
	NET 0 AVG BGHT 2256.08 SOLD 2290.00				**848.00**	**0.00**
17JUL17	S 3 PD17OCT17	2314.00	2587.77	USD	-20,532.75	
17JUL17	S 2 PD17OCT17	2324.00	2587.77	USD	-13,188.50	
11SEP17	B 3 PD17OCT17	2257.62	2587.77	USD	24,761.25	
12SEP17	B 3 PD17OCT17	2291.59	2587.77	USD	22,213.50	
27SEP17	S 1 PD17OCT17	2458.27	2587.77	USD	-3,237.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0045B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
	NET 0 AVG BGHT 2274.61 SOLD 2341.38				**10,016.00**	**0.00**
18JUL17	B 2 PD18OCT17	2265.00	2588.00	USD	16,150.00	
11SEP17	S 2 PD18OCT17	2257.80	2588.00	USD	-16,510.00	
	NET 0 AVG BGHT 2265.00 SOLD 2257.80				**-360.00**	**0.00**
19JUL17	B 4 PD19OCT17	2245.00	2588.00	USD	34,300.00	
19JUL17	B 4 PD19OCT17	2216.00	2588.00	USD	37,200.00	
11SEP17	S 8 PD19OCT17	2257.68	2588.00	USD	-66,064.00	
	NET 0 AVG BGHT 2230.50 SOLD 2257.68				**5,436.00**	**0.00**
20JUL17	S 2 PD20OCT17	2228.00	2588.00	USD	-18,000.00	
20JUL17	S 2 PD20OCT17	2230.00	2588.00	USD	-17,900.00	
12SEP17	B 4 PD20OCT17	2291.75	2588.00	USD	29,625.00	
	NET 0 AVG BGHT 2291.75 SOLD 2229.00				**-6,275.00**	**0.00**
25JUL17	B 1 PD25OCT17	2290.00	2588.00	USD	7,450.00	
25JUL17	S 2 PD25OCT17	2275.00	2588.00	USD	-15,650.00	
25JUL17	B 1 PD25OCT17	2291.00	2588.00	USD	7,425.00	
	NET 0 AVG BGHT 2290.50 SOLD 2275.00				**-775.00**	**0.00**
31JUL17	S 4 PD31OCT17	2338.00	2588.00	USD	-25,000.00	
31JUL17	S 2 PD31OCT17	2327.00	2588.00	USD	-13,050.00	
12SEP17	B 6 PD31OCT17	2296.72	2588.00	USD	43,692.00	
	NET 0 AVG BGHT 2296.72 SOLD 2334.33				**5,642.00**	**0.00**
02AUG17	S 2 PD02NOV17	2339.00	2588.00	USD	-12,450.00	
02AUG17	S 2 PD02NOV17	2336.00	2588.00	USD	-12,600.00	
12SEP17	B 2 PD02NOV17	2297.63	2588.00	USD	14,518.50	
27SEP17	B 1 PD02NOV17	2460.04	2588.00	USD	3,199.00	
	NET -1 AVG BGHT 2351.77 SOLD 2337.50				**-7,332.50**	**0.00**
08AUG17	B 1 PD08NOV17	2391.00	2588.00	USD	4,925.00	
11SEP17	S 1 PD08NOV17	2265.77	2588.00	USD	-8,055.75	
	NET 0 AVG BGHT 2391.00 SOLD 2265.77				**-3,130.75**	**0.00**
09AUG17	B 1 PD09NOV17	2413.00	2588.00	USD	4,375.00	
09AUG17	B 1 PD09NOV17	2353.00	2588.00	USD	5,875.00	
09AUG17	S 1 PD09NOV17	2352.50	2588.00	USD	-5,887.50	
11SEP17	S 1 PD09NOV17	2266.20	2588.00	USD	-8,045.00	
	NET 0 AVG BGHT 2383.00 SOLD 2309.35				**-3,682.50**	**0.00**
14AUG17	B 1 PD14NOV17	2341.00	2588.00	USD	6,175.00	
11SEP17	S 1 PD14NOV17	2268.37	2588.00	USD	-7,990.75	
	NET 0 AVG BGHT 2341.00 SOLD 2268.37				**-1,815.75**	**0.00**
15AUG17	S 2 PD15NOV17	2386.00	2588.00	USD	-10,100.00	
15AUG17	B 1 PD15NOV17	2375.00	2588.00	USD	5,325.00	
15AUG17	S 1 PD15NOV17	2366.00	2588.00	USD	-5,550.00	
15AUG17	B 1 PD15NOV17	2362.00	2588.00	USD	5,650.00	
15AUG17	B 1 PD15NOV17	2363.50	2588.00	USD	5,612.50	
15AUG17	S 1 PD15NOV17	2373.00	2588.00	USD	-5,375.00	
15AUG17	B 1 PD15NOV17	2370.00	2588.00	USD	5,450.00	
15AUG17	B 1 PD15NOV17	2371.50	2588.00	USD	5,412.50	
15AUG17	S 1 PD15NOV17	2375.50	2588.00	USD	-5,312.50	
15AUG17	S 1 PD15NOV17	2376.00	2588.00	USD	-5,300.00	
15AUG17	S 1 PD15NOV17	2378.00	2588.00	USD	-5,250.00	
15AUG17	B 1 PD15NOV17	2378.50	2588.00	USD	5,237.50	
	NET -1 AVG BGHT 2370.08 SOLD 2377.21				**-4,200.00**	**0.00**
16AUG17	B 1 PD16NOV17	2393.00	2588.13	USD	4,878.25	
16AUG17	B 1 PD16NOV17	2394.50	2588.13	USD	4,840.75	
16AUG17	S 1 PD16NOV17	2393.50	2588.13	USD	-4,865.75	
16AUG17	S 1 PD16NOV17	2394.00	2588.13	USD	-4,853.25	
	NET 0 AVG BGHT 2393.75 SOLD 2393.75				**0.00**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0045B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
17AUG17	B 1 PD17NOV17	2414.00	2588.26	USD	4,356.50	
11SEP17	S 1 PD17NOV17	2269.12	2588.26	USD	-7,978.50	
	NET 0 AVG BGHT 2414.00 SOLD 2269.12				**-3,622.00**	**0.00**
29AUG17	S 2 PD29NOV17	2346.00	2589.80	USD	-12,190.00	
29AUG17	S 2 PD29NOV17	2353.00	2589.80	USD	-11,840.00	
29AUG17	S 1 PD29NOV17	2403.50	2589.80	USD	-4,657.50	
29AUG17	B 1 PD29NOV17	2397.00	2589.80	USD	4,820.00	
	NET -4 AVG BGHT 2397.00 SOLD 2360.30				**-23,867.50**	**0.00**
30AUG17	S 2 PD30NOV17	2378.00	2589.93	USD	-10,596.50	
30AUG17	S 1 PD30NOV17	2395.00	2589.93	USD	-4,873.25	
	NET -3 AVG SOLD 2383.67				**-15,469.75**	**0.00**
05SEP17	S 2 PD05DEC17	2343.50	2590.57	USD	-12,353.50	
05SEP17	S 1 PD05DEC17	2345.00	2590.57	USD	-6,139.25	
	NET -3 AVG SOLD 2344.00				**-18,492.75**	**0.00**
06SEP17	B 2 PD06DEC17	2359.00	2590.70	USD	11,585.00	
12SEP17	S 3 PD06DEC17	2309.45	2590.70	USD	-21,093.75	
	NET -1 AVG BGHT 2359.00 SOLD 2309.45				**-9,508.75**	**0.00**
07SEP17	B 2 PD07DEC17	2319.50	2590.83	USD	13,566.50	
07SEP17	B 2 PD07DEC17	2320.50	2590.83	USD	13,516.50	
12SEP17	S 4 PD07DEC17	2309.76	2590.83	USD	-28,107.00	
	NET 0 AVG BGHT 2320.00 SOLD 2309.76				**-1,024.00**	**0.00**
08SEP17	B 1 PD08DEC17	2315.00	2590.96	USD	6,899.00	
08SEP17	B 1 PD08DEC17	2305.50	2590.96	USD	7,136.50	
08SEP17	B 2 PD08DEC17	2261.50	2590.96	USD	16,473.00	
08SEP17	B 2 PD08DEC17	2266.50	2590.96	USD	16,223.00	
12SEP17	S 6 PD08DEC17	2310.07	2590.96	USD	-42,133.50	
	NET 0 AVG BGHT 2279.42 SOLD 2310.07				**4,598.00**	**0.00**
11SEP17	B 2 PD11DEC17	2284.00	2591.34	USD	15,367.00	
12SEP17	S 2 PD11DEC17	2310.99	2591.34	USD	-14,017.50	
	NET 0 AVG BGHT 2284.00 SOLD 2310.99				**1,349.50**	**0.00**
15SEP17	S 1 PD15DEC17	2359.50	2591.86	USD	-5,809.00	
15SEP17	S 1 PD15DEC17	2365.00	2591.86	USD	-5,671.50	
	NET -2 AVG SOLD 2362.25				**-11,480.50**	**0.00**
18SEP17	S 2 PD18DEC17	2392.50	2592.24	USD	-9,987.00	
18SEP17	S 2 PD18DEC17	2392.00	2592.24	USD	-10,012.00	
18SEP17	S 2 PD18DEC17	2393.00	2592.24	USD	-9,962.00	
	NET -6 AVG SOLD 2392.50				**-29,961.00**	**0.00**
21SEP17	S 2 PD21DEC17	2474.00	2592.29	USD	-5,914.50	
21SEP17	S 2 PD21DEC17	2466.00	2592.29	USD	-6,314.50	
21SEP17	S 2 PD21DEC17	2469.50	2592.29	USD	-6,139.50	
21SEP17	S 2 PD21DEC17	2471.00	2592.29	USD	-6,064.50	
21SEP17	S 2 PD21DEC17	2475.00	2592.29	USD	-5,864.50	
21SEP17	S 2 PD21DEC17	2470.50	2592.29	USD	-6,089.50	
	NET -12 AVG SOLD 2471.00				**-36,387.00**	**0.00**
27SEP17	S 2 PD27DEC17	2461.00	2591.86	USD	-6,543.00	
27SEP17	S 2 PD27DEC17	2459.50	2591.86	USD	-6,618.00	
	NET -4 AVG SOLD 2460.25				**-13,161.00**	**0.00**
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
25JUL17	B 1 PD25OCT17	2830.50	3279.50	USD	11,225.00	
25JUL17	B 1 PD25OCT17	2831.50	3279.50	USD	11,200.00	
11SEP17	S 2 PD25OCT17	3078.95	3279.50	USD	-10,027.50	
	NET 0 AVG BGHT 2831.00 SOLD 3078.95				**12,397.50**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0045B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
26JUL17	B 2 PD26OCT17	2829.00	3278.50	USD	22,475.00	
26JUL17	B 1 PD26OCT17	2823.00	3278.50	USD	11,387.50	
26JUL17	B 1 PD26OCT17	2810.00	3278.50	USD	11,712.50	
26JUL17	B 1 PD26OCT17	2802.00	3278.50	USD	11,912.50	
26JUL17	B 1 PD26OCT17	2825.00	3278.50	USD	11,337.50	
26JUL17	B 1 PD26OCT17	2805.00	3278.50	USD	11,837.50	
11SEP17	S 7 PD26OCT17	3079.03	3278.50	USD	-34,907.25	
	NET 0 AVG BGHT 2817.57 SOLD 3079.03				**45,755.25**	**0.00**
28JUL17	B 1 PD27OCT17	2786.50	3277.50	USD	12,275.00	
11SEP17	S 1 PD27OCT17	3079.11	3277.50	USD	-4,959.75	
	NET 0 AVG BGHT 2786.50 SOLD 3079.11				**7,315.25**	**0.00**
08AUG17	S 1 PD08NOV17	2934.50	3272.00	USD	-8,437.50	
11SEP17	B 1 PD08NOV17	3080.27	3272.00	USD	4,793.25	
	NET 0 AVG BGHT 3080.27 SOLD 2934.50				**-3,644.25**	**0.00**
09AUG17	S 1 PD09NOV17	2977.50	3272.00	USD	-7,362.50	
09AUG17	S 1 PD09NOV17	2973.00	3272.00	USD	-7,475.00	
09AUG17	S 1 PD09NOV17	2935.50	3272.00	USD	-8,412.50	
09AUG17	B 1 PD09NOV17	2932.00	3272.00	USD	8,500.00	
11SEP17	B 1 PD09NOV17	3080.35	3272.00	USD	4,791.25	
12SEP17	B 1 PD09NOV17	3057.05	3272.00	USD	5,373.75	
	NET 0 AVG BGHT 3023.13 SOLD 2962.00				**-4,585.00**	**0.00**
11AUG17	B 1 PD10NOV17	2909.50	3272.00	USD	9,062.50	
11SEP17	S 1 PD10NOV17	3080.23	3272.00	USD	-4,794.25	
	NET 0 AVG BGHT 2909.50 SOLD 3080.23				**4,268.25**	**0.00**
14AUG17	S 1 PD14NOV17	2915.00	3270.33	USD	-8,883.25	
11SEP17	B 1 PD14NOV17	3080.75	3270.33	USD	4,739.50	
	NET 0 AVG BGHT 3080.75 SOLD 2915.00				**-4,143.75**	**0.00**
15AUG17	B 1 PD15NOV17	2956.00	3269.50	USD	7,837.50	
15AUG17	B 1 PD15NOV17	2957.00	3269.50	USD	7,812.50	
15AUG17	B 1 PD15NOV17	2960.50	3269.50	USD	7,725.00	
15AUG17	S 1 PD15NOV17	2953.50	3269.50	USD	-7,900.00	
15AUG17	S 1 PD15NOV17	2955.00	3269.50	USD	-7,862.50	
15AUG17	B 1 PD15NOV17	2937.00	3269.50	USD	8,312.50	
15AUG17	S 1 PD15NOV17	2940.00	3269.50	USD	-8,237.50	
15AUG17	S 1 PD15NOV17	2944.50	3269.50	USD	-8,125.00	
15AUG17	B 1 PD15NOV17	2949.00	3269.50	USD	8,012.50	
15AUG17	S 1 PD15NOV17	2951.00	3269.50	USD	-7,962.50	
15AUG17	S 1 PD15NOV17	2951.50	3269.50	USD	-7,950.00	
15AUG17	S 1 PD15NOV17	2956.50	3269.50	USD	-7,825.00	
15AUG17	B 2 PD15NOV17	2955.00	3269.50	USD	15,725.00	
15AUG17	B 2 PD15NOV17	2954.50	3269.50	USD	15,750.00	
15AUG17	S 1 PD15NOV17	2960.00	3269.50	USD	-7,737.50	
11SEP17	S 1 PD15NOV17	3080.30	3269.50	USD	-4,730.00	
	NET 0 AVG BGHT 2953.17 SOLD 2965.81				**2,845.00**	**0.00**
16AUG17	B 1 PD16NOV17	2982.00	3267.50	USD	7,137.50	
16AUG17	B 1 PD16NOV17	2984.00	3267.50	USD	7,087.50	
16AUG17	S 1 PD16NOV17	2982.00	3267.50	USD	-7,137.50	
16AUG17	S 1 PD16NOV17	2986.00	3267.50	USD	-7,037.50	
16AUG17	S 1 PD16NOV17	2986.50	3267.50	USD	-7,025.00	
16AUG17	S 1 PD16NOV17	2988.50	3267.50	USD	-6,975.00	
16AUG17	S 1 PD16NOV17	3005.00	3267.50	USD	-6,562.50	
16AUG17	B 1 PD16NOV17	3011.50	3267.50	USD	6,400.00	
16AUG17	S 1 PD16NOV17	3015.50	3267.50	USD	-6,300.00	
16AUG17	S 1 PD16NOV17	3022.00	3267.50	USD	-6,137.50	
16AUG17	S 1 PD16NOV17	2982.50	3267.50	USD	-7,125.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0045B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
16AUG17	S 1 PD16NOV17	3023.00	3267.50	USD	-6,112.50	
16AUG17	S 1 PD16NOV17	3095.50	3267.50	USD	-4,300.00	
16AUG17	S 1 PD16NOV17	3096.00	3267.50	USD	-4,287.50	
11SEP17	B 8 PD16NOV17	3078.50	3267.50	USD	37,800.00	
	NET 0 AVG BGHT 3055.05 SOLD 3016.59				**-10,575.00**	**0.00**
17AUG17	B 1 PD17NOV17	3072.50	3265.50	USD	4,825.00	
17AUG17	S 1 PD17NOV17	3058.00	3265.50	USD	-5,187.50	
	NET 0 AVG BGHT 3072.50 SOLD 3058.00				**-362.50**	**0.00**
04SEP17	B 5 PD04DEC17	3203.00	3265.79	USD	7,848.75	
04SEP17	B 5 PD04DEC17	3202.00	3265.79	USD	7,973.75	
11SEP17	S 9 PD04DEC17	3081.54	3265.79	USD	-41,456.25	
12SEP17	S 1 PD04DEC17	3059.11	3265.79	USD	-5,167.00	
	NET 0 AVG BGHT 3202.50 SOLD 3079.30				**-30,800.75**	**0.00**
14SEP17	B 15 PD14DEC17	3009.00	3266.86	USD	96,697.50	
14SEP17	B 5 PD14DEC17	3008.50	3266.86	USD	32,295.00	
27SEP17	S 20 PD14DEC17	3108.64	3266.86	USD	-79,110.00	
	NET 0 AVG BGHT 3008.88 SOLD 3108.64				**49,882.50**	**0.00**
18SEP17	S 1 PD18DEC17	3095.00	3267.29	USD	-4,307.25	
18SEP17	S 1 PD18DEC17	3100.00	3267.29	USD	-4,182.25	
18SEP17	S 1 PD18DEC17	3105.00	3267.29	USD	-4,057.25	
18SEP17	S 1 PD18DEC17	3110.00	3267.29	USD	-3,932.25	
27SEP17	B 4 PD18DEC17	3109.08	3267.29	USD	15,821.00	
	NET 0 AVG BGHT 3109.08 SOLD 3102.50				**-658.00**	**0.00**
19SEP17	S 2 PD19DEC17	3106.00	3267.39	USD	-8,069.50	
19SEP17	S 4 PD19DEC17	3107.00	3267.39	USD	-16,039.00	
27SEP17	B 6 PD19DEC17	3109.14	3267.39	USD	23,737.50	
	NET 0 AVG BGHT 3109.14 SOLD 3106.67				**-371.00**	**0.00**
20SEP17	S 1 PD20DEC17	3122.00	3267.50	USD	-3,637.50	
20SEP17	S 1 PD20DEC17	3130.00	3267.50	USD	-3,437.50	
20SEP17	S 1 PD20DEC17	3140.00	3267.50	USD	-3,187.50	
20SEP17	S 1 PD20DEC17	3150.00	3267.50	USD	-2,937.50	
27SEP17	B 4 PD20DEC17	3109.20	3267.50	USD	15,830.00	
	NET 0 AVG BGHT 3109.20 SOLD 3135.50				**2,630.00**	**0.00**
26SEP17	S 1 PD27DEC17	3120.00	3264.00	USD	-3,600.00	
26SEP17	S 5 PD27DEC17	3120.50	3264.00	USD	-17,937.50	
27SEP17	B 6 PD27DEC17	3105.20	3264.00	USD	23,820.00	
	NET 0 AVG BGHT 3105.20 SOLD 3120.42				**2,282.50**	**0.00**

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	6,176.00
LME Lead 25 tonnes. This is an	FUTURES	2,245,833.25
LME Zinc 25 tonnes. This is	FUTURES	72,236.00

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0045B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards			Amount	Profit / Loss	**Total Amount**	**Total P&L**
ALUL	- Futures	USD	6,176.00	6,176.00		
LEAD	- Futures	USD	-151,153.75	-151,153.75		
ZINC	- Futures	USD	72,236.00	72,236.00	**-72,741.75**	**-72,741.75**

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-21,341.45	-21,341.45
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**-21,341.45**	**-21,341.45**
Initial Margins		-257,313.75	-257,313.75
Excess/(Shortage)		**-278,655.20**	**-278,655.20**
FOR INFORMATION ONLY			
Forward Unrealised P&L		-72,741.75	-72,741.75
Net Liquidating Value		**-94,083.20**	**-94,083.20**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0045G

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
10JUL17	B 1 PD10OCT17	1896.50	2115.92	USD	5,485.50	
10JUL17	B 2 PD10OCT17	1895.50	2115.92	USD	11,021.00	
31JUL17	S 3 PD10OCT17	1912.96	2115.92	USD	-15,222.00	
	NET 0 AVG BGHT 1895.83 SOLD 1912.96				**1,284.50**	**0.00**
12JUL17	B 1 PD12OCT17	1895.50	2116.25	USD	5,518.75	
12JUL17	S 1 PD12OCT17	1895.00	2116.25	USD	-5,531.25	
12JUL17	S 2 PD12OCT17	1896.00	2116.25	USD	-11,012.50	
12JUL17	S 1 PD12OCT17	1898.00	2116.25	USD	-5,456.25	
12JUL17	B 1 PD12OCT17	1884.50	2116.25	USD	5,793.75	
12JUL17	S 1 PD12OCT17	1887.00	2116.25	USD	-5,731.25	
12JUL17	B 1 PD12OCT17	1888.00	2116.25	USD	5,706.25	
12JUL17	S 1 PD12OCT17	1887.50	2116.25	USD	-5,718.75	
31JUL17	B 3 PD12OCT17	1913.61	2116.25	USD	15,198.00	
	NET 0 AVG BGHT 1901.47 SOLD 1893.25				**-1,233.25**	**0.00**
13JUL17	S 1 PD13OCT17	1932.50	2116.25	USD	-4,593.75	
13JUL17	S 2 PD13OCT17	1933.50	2116.25	USD	-9,137.50	
13JUL17	S 2 PD13OCT17	1932.00	2116.25	USD	-9,212.50	
13JUL17	S 2 PD13OCT17	1931.00	2116.25	USD	-9,262.50	
13JUL17	S 1 PD13OCT17	1933.00	2116.25	USD	-4,581.25	
13JUL17	B 2 PD13OCT17	1923.50	2116.25	USD	9,637.50	
13JUL17	B 2 PD13OCT17	1920.00	2116.25	USD	9,812.50	
14JUL17	B 3 PD13OCT17	1929.00	2116.25	USD	14,043.75	
14JUL17	B 1 PD13OCT17	1927.50	2116.25	USD	4,718.75	
	NET 0 AVG BGHT 1925.19 SOLD 1932.31				**1,425.00**	**0.00**
19JUL17	S 2 PD19OCT17	1934.00	2116.64	USD	-9,132.00	
19JUL17	S 14 PD19OCT17	1934.50	2116.64	USD	-63,749.00	
19JUL17	S 2 PD19OCT17	1936.00	2116.64	USD	-9,032.00	
31JUL17	B 18 PD19OCT17	1914.25	2116.64	USD	91,075.50	
	NET 0 AVG BGHT 1914.25 SOLD 1934.61				**9,162.50**	**0.00**
24JUL17	B 1 PD24OCT17	1911.50	2118.58	USD	5,177.00	
24JUL17	B 1 PD24OCT17	1912.50	2118.58	USD	5,152.00	
31JUL17	S 2 PD24OCT17	1915.61	2118.58	USD	-10,148.50	
	NET 0 AVG BGHT 1912.00 SOLD 1915.61				**180.50**	**0.00**
25JUL17	B 2 PD25OCT17	1917.00	2118.97	USD	10,098.50	
25JUL17	S 1 PD25OCT17	1945.00	2118.97	USD	-4,349.25	
25JUL17	S 1 PD25OCT17	1945.50	2118.97	USD	-4,336.75	
25JUL17	S 1 PD25OCT17	1948.00	2118.97	USD	-4,274.25	
31JUL17	B 1 PD25OCT17	1916.13	2118.97	USD	5,071.00	
	NET 0 AVG BGHT 1916.71 SOLD 1946.17				**2,209.25**	**0.00**
26JUL17	S 1 PD26OCT17	1959.00	2119.36	USD	-4,009.00	
26JUL17	S 2 PD26OCT17	1960.00	2119.36	USD	-7,968.00	
26JUL17	B 1 PD26OCT17	1943.00	2119.36	USD	4,409.00	
26JUL17	B 1 PD26OCT17	1944.00	2119.36	USD	4,384.00	
26JUL17	B 1 PD26OCT17	1945.00	2119.36	USD	4,359.00	
26JUL17	B 1 PD26OCT17	1939.00	2119.36	USD	4,509.00	
26JUL17	B 1 PD26OCT17	1941.00	2119.36	USD	4,459.00	
26JUL17	B 1 PD26OCT17	1941.50	2119.36	USD	4,446.50	
26JUL17	B 3 PD26OCT17	1939.50	2119.36	USD	13,489.50	
26JUL17	B 3 PD26OCT17	1938.50	2119.36	USD	13,564.50	
26JUL17	B 2 PD26OCT17	1935.50	2119.36	USD	9,193.00	
26JUL17	B 1 PD26OCT17	1936.00	2119.36	USD	4,584.00	
26JUL17	B 5 PD26OCT17	1936.50	2119.36	USD	22,857.50	
26JUL17	S 2 PD26OCT17	1959.50	2119.36	USD	-7,993.00	
26JUL17	S 1 PD26OCT17	1961.00	2119.36	USD	-3,959.00	
26JUL17	S 1 PD26OCT17	1961.50	2119.36	USD	-3,946.50	
26JUL17	B 1 PD26OCT17	1944.50	2119.36	USD	4,371.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0045G

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
26JUL17	B 1 PD26OCT17	1945.50	2119.36	USD	4,346.50	
26JUL17	B 2 PD26OCT17	1943.50	2119.36	USD	8,793.00	
31JUL17	S 17 PD26OCT17	1916.24	2119.36	USD	-86,326.00	
	NET 0 AVG BGHT 1939.75 SOLD 1929.02				**-6,435.50**	**0.00**
27JUL17	S 3 PD27OCT17	1943.50	2119.75	USD	-13,218.75	
27JUL17	S 2 PD27OCT17	1944.00	2119.75	USD	-8,787.50	
28JUL17	B 1 PD27OCT17	1925.50	2119.75	USD	4,856.25	
28JUL17	B 1 PD27OCT17	1927.00	2119.75	USD	4,818.75	
28JUL17	B 2 PD27OCT17	1919.00	2119.75	USD	10,037.50	
28JUL17	B 2 PD27OCT17	1917.00	2119.75	USD	10,137.50	
28JUL17	B 2 PD27OCT17	1917.50	2119.75	USD	10,112.50	
28JUL17	B 1 PD27OCT17	1918.00	2119.75	USD	5,043.75	
28JUL17	B 2 PD27OCT17	1915.00	2119.75	USD	10,237.50	
28JUL17	B 2 PD27OCT17	1915.50	2119.75	USD	10,212.50	
08AUG17	S 8 PD27OCT17	2027.60	2119.75	USD	-18,430.00	
	NET 0 AVG BGHT 1918.35 SOLD 1995.33				**25,020.00**	**0.00**
31JUL17	B 4 PD31OCT17	1917.00	2117.75	USD	20,075.00	
08AUG17	S 4 PD31OCT17	2028.40	2117.75	USD	-8,935.00	
	NET 0 AVG BGHT 1917.00 SOLD 2028.40				**11,140.00**	**0.00**
01AUG17	B 2 PD01NOV17	1908.00	2117.42	USD	10,471.00	
01AUG17	B 1 PD01NOV17	1906.50	2117.42	USD	5,273.00	
01AUG17	B 2 PD01NOV17	1907.00	2117.42	USD	10,521.00	
08AUG17	S 5 PD01NOV17	2028.60	2117.42	USD	-11,102.50	
	NET 0 AVG BGHT 1907.30 SOLD 2028.60				**15,162.50**	**0.00**
02AUG17	S 1 PD02NOV17	1923.00	2117.08	USD	-4,852.00	
02AUG17	S 1 PD02NOV17	1925.50	2117.08	USD	-4,789.50	
08AUG17	B 2 PD02NOV17	2029.00	2117.08	USD	4,404.00	
	NET 0 AVG BGHT 2029.00 SOLD 1924.25				**-5,237.50**	**0.00**
03AUG17	B 1 PD03NOV17	1916.00	2116.75	USD	5,018.75	
03AUG17	B 2 PD03NOV17	1916.50	2116.75	USD	10,012.50	
03AUG17	B 2 PD03NOV17	1917.00	2116.75	USD	9,987.50	
04AUG17	B 4 PD03NOV17	1906.00	2116.75	USD	21,075.00	
04AUG17	B 2 PD03NOV17	1906.50	2116.75	USD	10,512.50	
04AUG17	B 1 PD03NOV17	1907.00	2116.75	USD	5,243.75	
04AUG17	B 1 PD03NOV17	1908.00	2116.75	USD	5,218.75	
04AUG17	B 2 PD03NOV17	1909.00	2116.75	USD	10,387.50	
08AUG17	S 15 PD03NOV17	2029.00	2116.75	USD	-32,906.25	
	NET 0 AVG BGHT 1910.20 SOLD 2029.00				**44,550.00**	**0.00**
07AUG17	S 1 PD07NOV17	1926.00	2116.08	USD	-4,752.00	
07AUG17	S 2 PD07NOV17	1928.50	2116.08	USD	-9,379.00	
07AUG17	S 2 PD07NOV17	1929.00	2116.08	USD	-9,354.00	
07AUG17	S 2 PD07NOV17	1927.00	2116.08	USD	-9,454.00	
07AUG17	S 1 PD07NOV17	1930.50	2116.08	USD	-4,639.50	
07AUG17	S 1 PD07NOV17	1935.50	2116.08	USD	-4,514.50	
07AUG17	S 1 PD07NOV17	1936.50	2116.08	USD	-4,489.50	
07AUG17	S 1 PD07NOV17	1944.00	2116.08	USD	-4,302.00	
07AUG17	S 1 PD07NOV17	1944.50	2116.08	USD	-4,289.50	
07AUG17	S 2 PD07NOV17	1949.00	2116.08	USD	-8,354.00	
07AUG17	S 3 PD07NOV17	1946.00	2116.08	USD	-12,756.00	
07AUG17	S 1 PD07NOV17	1951.00	2116.08	USD	-4,127.00	
07AUG17	S 5 PD07NOV17	1951.50	2116.08	USD	-20,572.50	
07AUG17	S 7 PD07NOV17	1952.00	2116.08	USD	-28,714.00	
07AUG17	S 2 PD07NOV17	1958.00	2116.08	USD	-7,904.00	
07AUG17	S 1 PD07NOV17	1959.00	2116.08	USD	-3,927.00	
07AUG17	S 1 PD07NOV17	1978.00	2116.08	USD	-3,452.00	
07AUG17	S 5 PD07NOV17	1977.50	2116.08	USD	-17,322.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0045G

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
07AUG17	S 1 PD07NOV17	1976.00	2116.08	USD	-3,502.00	
07AUG17	S 2 PD07NOV17	1977.00	2116.08	USD	-6,954.00	
08AUG17	B 42 PD07NOV17	2030.00	2116.08	USD	90,384.00	
	NET 0 AVG BGHT 2030.00 SOLD 1951.55				**-82,375.00**	**0.00**
08AUG17	S 2 PD08NOV17	1988.00	2115.75	USD	-6,387.50	
08AUG17	S 2 PD08NOV17	1989.00	2115.75	USD	-6,337.50	
08AUG17	S 3 PD08NOV17	1989.50	2115.75	USD	-9,468.75	
08AUG17	S 2 PD08NOV17	1992.50	2115.75	USD	-6,162.50	
08AUG17	S 2 PD08NOV17	1991.00	2115.75	USD	-6,237.50	
08AUG17	B 1 PD08NOV17	1997.00	2115.75	USD	2,968.75	
08AUG17	S 1 PD08NOV17	1997.00	2115.75	USD	-2,968.75	
08AUG17	S 1 PD08NOV17	2002.50	2115.75	USD	-2,831.25	
08AUG17	S 2 PD08NOV17	2003.00	2115.75	USD	-5,637.50	
08AUG17	S 3 PD08NOV17	1992.00	2115.75	USD	-9,281.25	
09AUG17	B 17 PD08NOV17	2027.52	2115.75	USD	37,497.75	
	NET 0 AVG BGHT 2025.82 SOLD 1992.83				**-14,846.00**	**0.00**
09AUG17	S 1 PD09NOV17	2032.00	2116.11	USD	-2,102.75	
09AUG17	S 1 PD09NOV17	2032.50	2116.11	USD	-2,090.25	
09AUG17	B 2 PD09NOV17	2027.70	2116.11	USD	4,420.50	
	NET 0 AVG BGHT 2027.70 SOLD 2032.25				**227.50**	**0.00**
16AUG17	S 1 PD16NOV17	2062.50	2118.52	USD	-1,400.50	
18AUG17	B 1 PD16NOV17	2061.75	2118.52	USD	1,419.25	
	NET 0 AVG BGHT 2061.75 SOLD 2062.50				**18.75**	**0.00**
17AUG17	B 1 PD17NOV17	2102.00	2118.79	USD	419.75	
17AUG17	B 5 PD17NOV17	2108.00	2118.79	USD	1,348.75	
17AUG17	B 1 PD17NOV17	2108.50	2118.79	USD	257.25	
17AUG17	B 2 PD17NOV17	2110.00	2118.79	USD	439.50	
17AUG17	B 1 PD17NOV17	2095.50	2118.79	USD	582.25	
17AUG17	B 1 PD17NOV17	2099.00	2118.79	USD	494.75	
17AUG17	B 1 PD17NOV17	2101.50	2118.79	USD	432.25	
17AUG17	B 4 PD17NOV17	2094.50	2118.79	USD	2,429.00	
17AUG17	B 1 PD17NOV17	2097.00	2118.79	USD	544.75	
17AUG17	B 1 PD17NOV17	2081.50	2118.79	USD	932.25	
17AUG17	B 1 PD17NOV17	2080.50	2118.79	USD	957.25	
17AUG17	B 3 PD17NOV17	2109.50	2118.79	USD	696.75	
18AUG17	B 1 PD17NOV17	2089.50	2118.79	USD	732.25	
18AUG17	S 23 PD17NOV17	2061.80	2118.79	USD	-32,769.25	
	NET 0 AVG BGHT 2100.93 SOLD 2061.80				**-22,502.50**	**0.00**
21AUG17	B 1 PD21NOV17	2073.50	2119.88	USD	1,159.50	
21AUG17	B 1 PD21NOV17	2074.00	2119.88	USD	1,147.00	
24AUG17	S 2 PD21NOV17	2105.52	2119.88	USD	-718.00	
	NET 0 AVG BGHT 2073.75 SOLD 2105.52				**1,588.50**	**0.00**
22AUG17	S 2 PD22NOV17	2081.00	2120.15	USD	-1,957.50	
22AUG17	B 2 PD22NOV17	2080.50	2120.15	USD	1,982.50	
22AUG17	S 2 PD22NOV17	2080.50	2120.15	USD	-1,982.50	
22AUG17	S 5 PD22NOV17	2072.35	2120.15	USD	-5,975.00	
24AUG17	B 7 PD22NOV17	2105.81	2120.15	USD	2,509.50	
	NET 0 AVG BGHT 2100.19 SOLD 2076.08				**-5,423.00**	**0.00**
23AUG17	B 2 PD24NOV17	2060.00	2120.69	USD	3,034.50	
23AUG17	B 2 PD24NOV17	2069.00	2120.69	USD	2,584.50	
23AUG17	B 2 PD24NOV17	2062.00	2120.69	USD	2,934.50	
23AUG17	B 2 PD24NOV17	2063.50	2120.69	USD	2,859.50	
23AUG17	B 1 PD24NOV17	2083.50	2120.69	USD	929.75	
23AUG17	S 1 PD24NOV17	2083.00	2120.69	USD	-942.25	
24AUG17	B 1 PD24NOV17	2091.50	2120.69	USD	729.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0045G

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
24AUG17	S 9 PD24NOV17	2105.80	2120.69	USD	-3,350.25	
	NET 0 AVG BGHT 2068.40 SOLD 2103.52				**8,780.00**	**0.00**
29AUG17	S 1 PD29NOV17	2099.00	2122.05	USD	-576.25	
29AUG17	S 2 PD29NOV17	2098.50	2122.05	USD	-1,177.50	
29AUG17	S 2 PD29NOV17	2098.00	2122.05	USD	-1,202.50	
29AUG17	S 2 PD29NOV17	2101.00	2122.05	USD	-1,052.50	
29AUG17	S 2 PD29NOV17	2099.50	2122.05	USD	-1,127.50	
29AUG17	S 3 PD29NOV17	2100.50	2122.05	USD	-1,616.25	
29AUG17	S 3 PD29NOV17	2100.00	2122.05	USD	-1,653.75	
31AUG17	B 15 PD29NOV17	2116.99	2122.05	USD	1,897.50	
	NET 0 AVG BGHT 2116.99 SOLD 2099.63				**-6,508.75**	**0.00**
31AUG17	S 2 PD30NOV17	2100.50	2122.32	USD	-1,091.00	
31AUG17	S 1 PD30NOV17	2098.00	2122.32	USD	-608.00	
31AUG17	S 3 PD30NOV17	2100.00	2122.32	USD	-1,674.00	
31AUG17	S 1 PD30NOV17	2106.00	2122.32	USD	-408.00	
31AUG17	S 1 PD30NOV17	2105.00	2122.32	USD	-433.00	
31AUG17	S 2 PD30NOV17	2112.50	2122.32	USD	-491.00	
31AUG17	S 2 PD30NOV17	2116.00	2122.32	USD	-316.00	
31AUG17	S 1 PD30NOV17	2115.00	2122.32	USD	-183.00	
31AUG17	S 2 PD30NOV17	2114.00	2122.32	USD	-416.00	
31AUG17	S 2 PD30NOV17	2134.50	2122.32	USD	609.00	
31AUG17	S 1 PD30NOV17	2099.50	2122.32	USD	-570.50	
31AUG17	S 1 PD30NOV17	2115.50	2122.32	USD	-170.50	
12SEP17	B 19 PD30NOV17	2133.28	2122.32	USD	-5,206.00	
	NET 0 AVG BGHT 2133.28 SOLD 2110.21				**-10,958.00**	**0.00**
01SEP17	B 2 PD01DEC17	2126.50	2122.59	USD	-195.50	
01SEP17	B 1 PD01DEC17	2127.50	2122.59	USD	-122.75	
01SEP17	B 1 PD01DEC17	2128.00	2122.59	USD	-135.25	
01SEP17	B 4 PD01DEC17	2128.50	2122.59	USD	-591.00	
01SEP17	B 5 PD01DEC17	2129.00	2122.59	USD	-801.25	
01SEP17	B 2 PD01DEC17	2127.00	2122.59	USD	-220.50	
12SEP17	S 15 PD01DEC17	2133.39	2122.59	USD	4,050.00	
	NET 0 AVG BGHT 2128.10 SOLD 2133.39				**1,983.75**	**0.00**
04SEP17	B 2 PD04DEC17	2124.00	2123.41	USD	-29.50	
04SEP17	B 1 PD04DEC17	2125.00	2123.41	USD	-39.75	
04SEP17	B 1 PD04DEC17	2126.00	2123.41	USD	-64.75	
04SEP17	S 1 PD04DEC17	2126.50	2123.41	USD	77.25	
04SEP17	S 1 PD04DEC17	2128.00	2123.41	USD	114.75	
04SEP17	B 2 PD04DEC17	2114.50	2123.41	USD	445.50	
04SEP17	S 1 PD04DEC17	2113.00	2123.41	USD	-260.25	
04SEP17	S 1 PD04DEC17	2114.50	2123.41	USD	-222.75	
12SEP17	S 2 PD04DEC17	2134.32	2123.41	USD	545.50	
	NET 0 AVG BGHT 2121.33 SOLD 2125.11				**566.00**	**0.00**
05SEP17	B 2 PD05DEC17	2105.00	2123.68	USD	934.00	
05SEP17	B 2 PD05DEC17	2107.50	2123.68	USD	809.00	
12SEP17	S 2 PD05DEC17	2134.63	2123.68	USD	547.50	
12SEP17	S 2 PD05DEC17	2134.83	2123.68	USD	557.50	
	NET 0 AVG BGHT 2106.25 SOLD 2134.73				**2,848.00**	**0.00**
06SEP17	B 2 PD06DEC17	2091.50	2123.95	USD	1,622.50	
06SEP17	B 3 PD06DEC17	2092.00	2123.95	USD	2,396.25	
06SEP17	B 1 PD06DEC17	2075.50	2123.95	USD	1,211.25	
06SEP17	B 1 PD06DEC17	2076.00	2123.95	USD	1,198.75	
06SEP17	S 1 PD06DEC17	2101.50	2123.95	USD	-561.25	
06SEP17	S 1 PD06DEC17	2109.00	2123.95	USD	-373.75	
12SEP17	S 5 PD06DEC17	2135.14	2123.95	USD	1,398.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0045G

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
	NET 0 AVG BGHT 2087.21 SOLD 2126.60				**6,892.50**	**0.00**
07SEP17	B 1 PD07DEC17	2091.50	2124.22	USD	818.00	
07SEP17	B 1 PD07DEC17	2092.50	2124.22	USD	793.00	
07SEP17	B 3 PD07DEC17	2093.50	2124.22	USD	2,304.00	
07SEP17	B 1 PD07DEC17	2094.00	2124.22	USD	755.50	
07SEP17	B 1 PD07DEC17	2094.50	2124.22	USD	743.00	
07SEP17	B 1 PD07DEC17	2100.00	2124.22	USD	605.50	
07SEP17	S 1 PD07DEC17	2109.00	2124.22	USD	-380.50	
07SEP17	S 1 PD07DEC17	2110.00	2124.22	USD	-355.50	
07SEP17	S 2 PD07DEC17	2111.50	2124.22	USD	-636.00	
07SEP17	S 7 PD07DEC17	2110.50	2124.22	USD	-2,401.00	
07SEP17	S 1 PD07DEC17	2109.50	2124.22	USD	-368.00	
07SEP17	S 1 PD07DEC17	2116.50	2124.22	USD	-193.00	
07SEP17	S 1 PD07DEC17	2114.00	2124.22	USD	-255.50	
12SEP17	B 6 PD07DEC17	2135.65	2124.22	USD	-1,714.50	
	NET 0 AVG BGHT 2111.92 SOLD 2111.11				**-285.00**	**0.00**
08SEP17	B 1 PD08DEC17	2086.00	2124.49	USD	962.25	
08SEP17	S 1 PD08DEC17	2097.50	2124.49	USD	-674.75	
08SEP17	S 1 PD08DEC17	2097.00	2124.49	USD	-687.25	
12SEP17	B 1 PD08DEC17	2135.96	2124.49	USD	-286.75	
	NET 0 AVG BGHT 2110.98 SOLD 2097.25				**-686.50**	**0.00**
11SEP17	S 1 PD11DEC17	2122.50	2125.31	USD	-70.25	
11SEP17	S 1 PD11DEC17	2122.00	2125.31	USD	-82.75	
14SEP17	B 2 PD11DEC17	2097.20	2125.31	USD	1,405.50	
	NET 0 AVG BGHT 2097.20 SOLD 2122.25				**1,252.50**	**0.00**
12SEP17	B 1 PD12DEC17	2109.50	2125.58	USD	402.00	
12SEP17	S 1 PD12DEC17	2109.50	2125.58	USD	-402.00	
	NET 0 AVG BGHT 2109.50 SOLD 2109.50				**0.00**	**0.00**
13SEP17	B 2 PD13DEC17	2119.50	2125.85	USD	317.50	
13SEP17	B 2 PD13DEC17	2117.00	2125.85	USD	442.50	
13SEP17	B 1 PD13DEC17	2117.50	2125.85	USD	208.75	
13SEP17	B 1 PD13DEC17	2118.00	2125.85	USD	196.25	
13SEP17	B 1 PD13DEC17	2118.50	2125.85	USD	183.75	
13SEP17	B 1 PD13DEC17	2119.00	2125.85	USD	171.25	
14SEP17	S 8 PD13DEC17	2097.53	2125.85	USD	-5,664.00	
	NET 0 AVG BGHT 2118.25 SOLD 2097.53				**-4,144.00**	**0.00**
14SEP17	S 2 PD14DEC17	2115.50	2126.12	USD	-531.00	
14SEP17	S 1 PD14DEC17	2116.00	2126.12	USD	-253.00	
14SEP17	S 1 PD14DEC17	2116.50	2126.12	USD	-240.50	
14SEP17	B 1 PD14DEC17	2086.00	2126.12	USD	1,003.00	
14SEP17	B 1 PD14DEC17	2086.50	2126.12	USD	990.50	
14SEP17	S 1 PD14DEC17	2086.00	2126.12	USD	-1,003.00	
14SEP17	B 3 PD14DEC17	2087.00	2126.12	USD	2,934.00	
14SEP17	B 4 PD14DEC17	2087.50	2126.12	USD	3,862.00	
14SEP17	B 1 PD14DEC17	2088.00	2126.12	USD	953.00	
14SEP17	B 4 PD14DEC17	2088.50	2126.12	USD	3,762.00	
14SEP17	S 3 PD14DEC17	2097.80	2126.12	USD	-2,124.00	
15SEP17	S 6 PD14DEC17	2085.55	2126.12	USD	-6,085.50	
	NET 0 AVG BGHT 2087.54 SOLD 2096.87				**3,267.50**	**0.00**
15SEP17	S 1 PD15DEC17	2101.00	2126.39	USD	-634.75	
15SEP17	S 3 PD15DEC17	2102.50	2126.39	USD	-1,791.75	
15SEP17	S 1 PD15DEC17	2103.00	2126.39	USD	-584.75	
15SEP17	S 1 PD15DEC17	2103.50	2126.39	USD	-572.25	
15SEP17	B 1 PD15DEC17	2074.00	2126.39	USD	1,309.75	
15SEP17	B 1 PD15DEC17	2075.00	2126.39	USD	1,284.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0045G

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
15SEP17	B 1 PD15DEC17	2075.50	2126.39	USD	1,272.25	
15SEP17	B 3 PD15DEC17	2073.50	2126.39	USD	3,966.75	
	NET 0 AVG BGHT 2074.17 SOLD 2102.50				**4,250.00**	**0.00**
20SEP17	S 1 PD20DEC17	2149.50	2127.75	USD	543.75	
20SEP17	S 1 PD20DEC17	2153.00	2127.75	USD	631.25	
20SEP17	S 2 PD20DEC17	2155.50	2127.75	USD	1,387.50	
20SEP17	S 3 PD20DEC17	2157.00	2127.75	USD	2,193.75	
20SEP17	S 1 PD20DEC17	2157.50	2127.75	USD	743.75	
20SEP17	S 1 PD20DEC17	2159.00	2127.75	USD	781.25	
20SEP17	S 1 PD20DEC17	2189.50	2127.75	USD	1,543.75	
20SEP17	S 2 PD20DEC17	2190.50	2127.75	USD	3,137.50	
20SEP17	S 1 PD20DEC17	2186.00	2127.75	USD	1,456.25	
20SEP17	S 1 PD20DEC17	2187.50	2127.75	USD	1,493.75	
20SEP17	S 1 PD20DEC17	2156.00	2127.75	USD	706.25	
27SEP17	B 15 PD20DEC17	2129.50	2127.75	USD	-656.25	
	NET 0 AVG BGHT 2129.50 SOLD 2166.73				**13,962.50**	**0.00**
21SEP17	B 1 PD21DEC17	2164.00	2127.98	USD	-900.50	
21SEP17	B 1 PD21DEC17	2168.00	2127.98	USD	-1,000.50	
21SEP17	S 1 PD21DEC17	2168.00	2127.98	USD	1,000.50	
21SEP17	B 1 PD21DEC17	2171.50	2127.98	USD	-1,088.00	
21SEP17	B 2 PD21DEC17	2172.00	2127.98	USD	-2,201.00	
21SEP17	B 1 PD21DEC17	2173.00	2127.98	USD	-1,125.50	
21SEP17	B 1 PD21DEC17	2179.00	2127.98	USD	-1,275.50	
21SEP17	B 1 PD21DEC17	2180.50	2127.98	USD	-1,313.00	
21SEP17	B 2 PD21DEC17	2194.00	2127.98	USD	-3,301.00	
21SEP17	B 3 PD21DEC17	2194.50	2127.98	USD	-4,989.00	
21SEP17	B 1 PD21DEC17	2197.50	2127.98	USD	-1,738.00	
21SEP17	B 1 PD21DEC17	2196.00	2127.98	USD	-1,700.50	
21SEP17	B 1 PD21DEC17	2196.50	2127.98	USD	-1,713.00	
27SEP17	S 15 PD21DEC17	2129.59	2127.98	USD	603.75	
	NET 0 AVG BGHT 2183.84 SOLD 2131.99				**-20,741.25**	**0.00**
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
05JUL17	B 1 PD05OCT17	5863.00	6468.75	USD	15,143.75	
05JUL17	S 1 PD05OCT17	5858.50	6468.75	USD	-15,256.25	
05JUL17	B 1 PD05OCT17	5842.00	6468.75	USD	15,668.75	
05JUL17	B 1 PD05OCT17	5847.50	6468.75	USD	15,531.25	
05JUL17	S 1 PD05OCT17	5847.00	6468.75	USD	-15,543.75	
05JUL17	S 1 PD05OCT17	5847.50	6468.75	USD	-15,531.25	
	NET 0 AVG BGHT 5850.83 SOLD 5851.00				**12.50**	**0.00**
06JUL17	B 1 PD06OCT17	5858.00	6470.02	USD	15,300.50	
06JUL17	S 1 PD06OCT17	5861.50	6470.02	USD	-15,213.00	
	NET 0 AVG BGHT 5858.00 SOLD 5861.50				**87.50**	**0.00**
10JUL17	B 1 PD10OCT17	5815.00	6475.10	USD	16,502.50	
10JUL17	S 1 PD10OCT17	5817.00	6475.10	USD	-16,452.50	
	NET 0 AVG BGHT 5815.00 SOLD 5817.00				**50.00**	**0.00**
11JUL17	B 1 PD11OCT17	5843.00	6476.37	USD	15,834.25	
11JUL17	S 1 PD11OCT17	5845.00	6476.37	USD	-15,784.25	
	NET 0 AVG BGHT 5843.00 SOLD 5845.00				**50.00**	**0.00**
12JUL17	B 1 PD12OCT17	5891.50	6477.63	USD	14,653.25	
12JUL17	S 1 PD12OCT17	5896.00	6477.63	USD	-14,540.75	
	NET 0 AVG BGHT 5891.50 SOLD 5896.00				**112.50**	**0.00**
13JUL17	B 1 PD13OCT17	5920.50	6478.90	USD	13,960.00	
13JUL17	S 1 PD13OCT17	5921.00	6478.90	USD	-13,947.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0045G

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
	NET 0 AVG BGHT 5920.50 SOLD 5921.00				**12.50**	**0.00**
18JUL17	B 1 PD18OCT17	5985.50	6485.25	USD	12,493.75	
18JUL17	S 1 PD18OCT17	5986.00	6485.25	USD	-12,481.25	
	NET 0 AVG BGHT 5985.50 SOLD 5986.00				**12.50**	**0.00**
19JUL17	B 1 PD19OCT17	6014.00	6486.00	USD	11,800.00	
19JUL17	S 1 PD19OCT17	6013.00	6486.00	USD	-11,825.00	
	NET 0 AVG BGHT 6014.00 SOLD 6013.00				**-25.00**	**0.00**
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
04JUL17	B 1 PD04OCT17	2292.00	2584.77	USD	7,319.25	
04JUL17	S 1 PD04OCT17	2290.00	2584.77	USD	-7,369.25	
04JUL17	S 1 PD04OCT17	2295.50	2584.77	USD	-7,231.75	
04JUL17	B 1 PD04OCT17	2300.50	2584.77	USD	7,106.75	
04JUL17	S 1 PD04OCT17	2298.00	2584.77	USD	-7,169.25	
04JUL17	B 1 PD04OCT17	2291.00	2584.77	USD	7,344.25	
	NET 0 AVG BGHT 2294.50 SOLD 2294.50				**0.00**	**0.00**
05JUL17	B 1 PD05OCT17	2266.00	2585.00	USD	7,975.00	
05JUL17	B 1 PD05OCT17	2267.50	2585.00	USD	7,937.50	
05JUL17	B 1 PD05OCT17	2255.00	2585.00	USD	8,250.00	
05JUL17	B 1 PD05OCT17	2265.00	2585.00	USD	8,000.00	
05JUL17	S 1 PD05OCT17	2253.50	2585.00	USD	-8,287.50	
05JUL17	S 1 PD05OCT17	2258.50	2585.00	USD	-8,162.50	
05JUL17	S 1 PD05OCT17	2258.00	2585.00	USD	-8,175.00	
05JUL17	S 1 PD05OCT17	2251.50	2585.00	USD	-8,337.50	
	NET 0 AVG BGHT 2263.38 SOLD 2255.38				**-800.00**	**0.00**
14JUL17	S 5 PD13OCT17	2305.00	2586.85	USD	-35,231.25	
31JUL17	B 5 PD13OCT17	2330.31	2586.85	USD	32,067.50	
	NET 0 AVG BGHT 2330.31 SOLD 2305.00				**-3,163.75**	**0.00**
17JUL17	B 5 PD17OCT17	2319.50	2587.77	USD	33,533.75	
31JUL17	S 5 PD17OCT17	2331.06	2587.77	USD	-32,088.75	
	NET 0 AVG BGHT 2319.50 SOLD 2331.06				**1,445.00**	**0.00**
19JUL17	B 2 PD19OCT17	2239.50	2588.00	USD	17,425.00	
19JUL17	B 4 PD19OCT17	2240.00	2588.00	USD	34,800.00	
19JUL17	B 1 PD19OCT17	2242.50	2588.00	USD	8,637.50	
19JUL17	B 3 PD19OCT17	2243.00	2588.00	USD	25,875.00	
19JUL17	B 2 PD19OCT17	2243.50	2588.00	USD	17,225.00	
19JUL17	B 1 PD19OCT17	2228.50	2588.00	USD	8,987.50	
19JUL17	B 1 PD19OCT17	2232.50	2588.00	USD	8,887.50	
19JUL17	S 1 PD19OCT17	2216.50	2588.00	USD	-9,287.50	
19JUL17	S 1 PD19OCT17	2217.00	2588.00	USD	-9,275.00	
19JUL17	S 1 PD19OCT17	2212.50	2588.00	USD	-9,387.50	
19JUL17	S 4 PD19OCT17	2210.00	2588.00	USD	-37,800.00	
19JUL17	S 1 PD19OCT17	2217.50	2588.00	USD	-9,262.50	
19JUL17	S 1 PD19OCT17	2211.50	2588.00	USD	-9,412.50	
19JUL17	S 1 PD19OCT17	2213.00	2588.00	USD	-9,375.00	
31JUL17	S 4 PD19OCT17	2330.75	2588.00	USD	-25,725.00	
	NET 0 AVG BGHT 2239.89 SOLD 2246.50				**2,312.50**	**0.00**
20JUL17	S 1 PD20OCT17	2222.50	2588.00	USD	-9,137.50	
20JUL17	S 2 PD20OCT17	2228.00	2588.00	USD	-18,000.00	
20JUL17	S 1 PD20OCT17	2225.50	2588.00	USD	-9,062.50	
31JUL17	B 4 PD20OCT17	2331.22	2588.00	USD	25,678.00	
	NET 0 AVG BGHT 2331.22 SOLD 2226.00				**-10,522.00**	**0.00**
29SEP17	S 31 PD02NOV17	2492.15	2588.00	USD	-74,283.75	
29SEP17	S 14 PD02NOV17	2492.35	2588.00	USD	-33,477.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0045G

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
	NET	**-45**	**AVG SOLD 2492.21**				**-107,761.25**	**0.00**
03AUG17	S	1	PD03NOV17	2369.00	2588.00	USD	-5,475.00	
03AUG17	S	1	PD03NOV17	2369.50	2588.00	USD	-5,462.50	
03AUG17	S	3	PD03NOV17	2370.00	2588.00	USD	-16,350.00	
03AUG17	S	1	PD03NOV17	2370.50	2588.00	USD	-5,437.50	
04AUG17	S	1	PD03NOV17	2362.50	2588.00	USD	-5,637.50	
04AUG17	S	1	PD03NOV17	2363.00	2588.00	USD	-5,625.00	
04AUG17	B	1	PD03NOV17	2358.00	2588.00	USD	5,750.00	
04AUG17	B	1	PD03NOV17	2357.00	2588.00	USD	5,775.00	
04AUG17	B	2	PD03NOV17	2354.00	2588.00	USD	11,700.00	
08AUG17	B	4	PD03NOV17	2382.31	2588.00	USD	20,569.00	
	NET	**0**	**AVG BGHT 2369.03 SOLD 2368.06**				**-193.50**	**0.00**
07AUG17	B	2	PD07NOV17	2374.00	2588.00	USD	10,700.00	
07AUG17	B	1	PD07NOV17	2374.50	2588.00	USD	5,337.50	
07AUG17	B	1	PD07NOV17	2375.00	2588.00	USD	5,325.00	
07AUG17	B	2	PD07NOV17	2364.00	2588.00	USD	11,200.00	
08AUG17	S	6	PD07NOV17	2382.80	2588.00	USD	-30,780.00	
	NET	**0**	**AVG BGHT 2370.92 SOLD 2382.80**				**1,782.50**	**0.00**
16AUG17	S	1	PD16NOV17	2387.50	2588.13	USD	-5,015.75	
16AUG17	S	2	PD16NOV17	2388.50	2588.13	USD	-9,981.50	
16AUG17	S	2	PD16NOV17	2389.00	2588.13	USD	-9,956.50	
16AUG17	S	2	PD16NOV17	2388.00	2588.13	USD	-10,006.50	
16AUG17	B	1	PD16NOV17	2388.50	2588.13	USD	4,990.75	
16AUG17	B	1	PD16NOV17	2389.00	2588.13	USD	4,978.25	
16AUG17	B	1	PD16NOV17	2489.00	2588.13	USD	2,478.25	
16AUG17	B	1	PD16NOV17	2493.50	2588.13	USD	2,365.75	
16AUG17	B	1	PD16NOV17	2499.00	2588.13	USD	2,228.25	
16AUG17	B	1	PD16NOV17	2503.50	2588.13	USD	2,115.75	
16AUG17	S	1	PD16NOV17	2498.50	2588.13	USD	-2,240.75	
24AUG17	B	2	PD16NOV17	2353.75	2588.13	USD	11,719.00	
	NET	**0**	**AVG BGHT 2433.75 SOLD 2402.13**				**-6,325.00**	**0.00**
18AUG17	B	2	PD17NOV17	2406.00	2588.26	USD	9,113.00	
24AUG17	S	2	PD17NOV17	2353.80	2588.26	USD	-11,723.00	
	NET	**0**	**AVG BGHT 2406.00 SOLD 2353.80**				**-2,610.00**	**0.00**
24AUG17	B	2	PD24NOV17	2353.50	2589.16	USD	11,783.00	
24AUG17	B	2	PD24NOV17	2354.00	2589.16	USD	11,758.00	
24AUG17	S	4	PD24NOV17	2356.80	2589.16	USD	-23,236.00	
	NET	**0**	**AVG BGHT 2353.75 SOLD 2356.80**				**305.00**	**0.00**
29AUG17	S	2	PD29NOV17	2346.00	2589.80	USD	-12,190.00	
29AUG17	S	2	PD29NOV17	2342.00	2589.80	USD	-12,390.00	
29AUG17	B	3	PD29NOV17	2345.00	2589.80	USD	18,360.00	
29AUG17	B	3	PD29NOV17	2346.50	2589.80	USD	18,247.50	
29AUG17	B	2	PD29NOV17	2347.00	2589.80	USD	12,140.00	
29AUG17	B	4	PD29NOV17	2347.50	2589.80	USD	24,230.00	
29AUG17	S	4	PD29NOV17	2341.00	2589.80	USD	-24,880.00	
29AUG17	S	2	PD29NOV17	2343.50	2589.80	USD	-12,315.00	
29AUG17	S	2	PD29NOV17	2343.00	2589.80	USD	-12,340.00	
29AUG17	S	2	PD29NOV17	2344.50	2589.80	USD	-12,265.00	
29AUG17	S	2	PD29NOV17	2345.00	2589.80	USD	-12,240.00	
29AUG17	S	1	PD29NOV17	2345.50	2589.80	USD	-6,107.50	
29AUG17	S	1	PD29NOV17	2350.00	2589.80	USD	-5,995.00	
29AUG17	S	2	PD29NOV17	2352.00	2589.80	USD	-11,890.00	
29AUG17	S	1	PD29NOV17	2353.00	2589.80	USD	-5,920.00	
29AUG17	B	5	PD29NOV17	2349.00	2589.80	USD	30,100.00	
31AUG17	B	4	PD29NOV17	2393.91	2589.80	USD	19,589.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0045G

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
	NET 0 AVG BGHT 2356.15 SOLD 2344.98				**-5,866.00**	**0.00**
30AUG17	B 2 PD30NOV17	2391.00	2589.93	USD	9,946.50	
30AUG17	S 2 PD30NOV17	2390.00	2589.93	USD	-9,996.50	
31AUG17	B 2 PD30NOV17	2386.00	2589.93	USD	10,196.50	
31AUG17	B 2 PD30NOV17	2386.50	2589.93	USD	10,171.50	
31AUG17	S 2 PD30NOV17	2394.50	2589.93	USD	-9,771.50	
31AUG17	S 2 PD30NOV17	2396.50	2589.93	USD	-9,671.50	
31AUG17	S 2 PD30NOV17	2389.50	2589.93	USD	-10,021.50	
31AUG17	B 2 PD30NOV17	2394.20	2589.93	USD	9,786.50	
	NET 0 AVG BGHT 2389.43 SOLD 2392.63				**640.00**	**0.00**
05SEP17	B 4 PD05DEC17	2375.00	2590.57	USD	21,557.00	
05SEP17	B 1 PD05DEC17	2369.50	2590.57	USD	5,526.75	
05SEP17	B 2 PD05DEC17	2372.00	2590.57	USD	10,928.50	
05SEP17	B 1 PD05DEC17	2370.50	2590.57	USD	5,501.75	
05SEP17	B 1 PD05DEC17	2370.00	2590.57	USD	5,514.25	
05SEP17	B 1 PD05DEC17	2350.50	2590.57	USD	6,001.75	
12SEP17	S 10 PD05DEC17	2309.35	2590.57	USD	-70,305.00	
	NET 0 AVG BGHT 2370.45 SOLD 2309.35				**-15,275.00**	**0.00**
06SEP17	S 2 PD06DEC17	2356.50	2590.70	USD	-11,710.00	
06SEP17	S 1 PD06DEC17	2363.50	2590.70	USD	-5,680.00	
06SEP17	S 1 PD06DEC17	2364.50	2590.70	USD	-5,655.00	
06SEP17	S 4 PD06DEC17	2357.00	2590.70	USD	-23,370.00	
06SEP17	S 2 PD06DEC17	2359.50	2590.70	USD	-11,560.00	
12SEP17	B 10 PD06DEC17	2309.85	2590.70	USD	70,212.50	
	NET 0 AVG BGHT 2309.85 SOLD 2358.80				**12,237.50**	**0.00**
07SEP17	B 2 PD07DEC17	2342.50	2590.83	USD	12,416.50	
07SEP17	B 1 PD07DEC17	2343.00	2590.83	USD	6,195.75	
12SEP17	S 3 PD07DEC17	2309.96	2590.83	USD	-21,065.25	
	NET 0 AVG BGHT 2342.67 SOLD 2309.96				**-2,453.00**	**0.00**
08SEP17	B 1 PD08DEC17	2351.00	2590.96	USD	5,999.00	
08SEP17	B 1 PD08DEC17	2353.00	2590.96	USD	5,949.00	
08SEP17	B 1 PD08DEC17	2356.50	2590.96	USD	5,861.50	
08SEP17	B 1 PD08DEC17	2297.00	2590.96	USD	7,349.00	
08SEP17	S 1 PD08DEC17	2301.50	2590.96	USD	-7,236.50	
08SEP17	S 1 PD08DEC17	2302.50	2590.96	USD	-7,211.50	
08SEP17	S 1 PD08DEC17	2303.50	2590.96	USD	-7,186.50	
08SEP17	S 1 PD08DEC17	2304.50	2590.96	USD	-7,161.50	
08SEP17	S 2 PD08DEC17	2305.00	2590.96	USD	-14,298.00	
08SEP17	S 1 PD08DEC17	2306.50	2590.96	USD	-7,111.50	
12SEP17	B 3 PD08DEC17	2310.47	2590.96	USD	21,036.75	
	NET 0 AVG BGHT 2326.99 SOLD 2304.07				**-4,010.25**	**0.00**
15SEP17	B 2 PD15DEC17	2314.50	2591.86	USD	13,868.00	
15SEP17	S 1 PD15DEC17	2346.00	2591.86	USD	-6,146.50	
15SEP17	S 1 PD15DEC17	2344.50	2591.86	USD	-6,184.00	
	NET 0 AVG BGHT 2314.50 SOLD 2345.25				**1,537.50**	**0.00**
20SEP17	S 2 PD20DEC17	2461.50	2592.50	USD	-6,550.00	
20SEP17	S 9 PD20DEC17	2462.00	2592.50	USD	-29,362.50	
20SEP17	S 1 PD20DEC17	2462.50	2592.50	USD	-3,250.00	
20SEP17	B 1 PD20DEC17	2463.00	2592.50	USD	3,237.50	
21SEP17	B 11 PD20DEC17	2518.20	2592.50	USD	20,432.50	
	NET 0 AVG BGHT 2513.60 SOLD 2461.96				**-15,492.50**	**0.00**
21SEP17	S 1 PD21DEC17	2445.00	2592.29	USD	-3,682.25	
21SEP17	S 1 PD21DEC17	2446.50	2592.29	USD	-3,644.75	
21SEP17	S 2 PD21DEC17	2448.00	2592.29	USD	-7,214.50	
21SEP17	S 1 PD21DEC17	2452.00	2592.29	USD	-3,507.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0045G

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
21SEP17	S 1 PD21DEC17	2465.00	2592.29	USD	-3,182.25	
21SEP17	S 1 PD21DEC17	2462.50	2592.29	USD	-3,244.75	
21SEP17	S 1 PD21DEC17	2472.00	2592.29	USD	-3,007.25	
21SEP17	B 1 PD21DEC17	2442.00	2592.29	USD	3,757.25	
21SEP17	S 1 PD21DEC17	2441.00	2592.29	USD	-3,782.25	
21SEP17	B 1 PD21DEC17	2446.50	2592.29	USD	3,644.75	
21SEP17	S 1 PD21DEC17	2466.00	2592.29	USD	-3,157.25	
21SEP17	S 1 PD21DEC17	2467.00	2592.29	USD	-3,132.25	
21SEP17	S 1 PD21DEC17	2466.50	2592.29	USD	-3,144.75	
21SEP17	S 1 PD21DEC17	2468.50	2592.29	USD	-3,094.75	
21SEP17	S 2 PD21DEC17	2469.00	2592.29	USD	-6,164.50	
21SEP17	S 2 PD21DEC17	2469.50	2592.29	USD	-6,139.50	
21SEP17	S 3 PD21DEC17	2471.50	2592.29	USD	-9,059.25	
21SEP17	S 1 PD21DEC17	2470.00	2592.29	USD	-3,057.25	
21SEP17	S 2 PD21DEC17	2470.50	2592.29	USD	-6,089.50	
21SEP17	S 1 PD21DEC17	2471.00	2592.29	USD	-3,032.25	
21SEP17	S 1 PD21DEC17	2476.00	2592.29	USD	-2,907.25	
21SEP17	S 2 PD21DEC17	2477.00	2592.29	USD	-5,764.50	
21SEP17	S 1 PD21DEC17	2479.50	2592.29	USD	-2,819.75	
21SEP17	S 2 PD21DEC17	2480.00	2592.29	USD	-5,614.50	
21SEP17	S 1 PD21DEC17	2480.50	2592.29	USD	-2,794.75	
21SEP17	S 2 PD21DEC17	2472.50	2592.29	USD	-5,989.50	
21SEP17	S 2 PD21DEC17	2474.00	2592.29	USD	-5,914.50	
21SEP17	S 2 PD21DEC17	2474.50	2592.29	USD	-5,889.50	
21SEP17	S 2 PD21DEC17	2484.00	2592.29	USD	-5,414.50	
21SEP17	S 1 PD21DEC17	2486.00	2592.29	USD	-2,657.25	
21SEP17	B 1 PD21DEC17	2490.50	2592.29	USD	2,544.75	
21SEP17	S 1 PD21DEC17	2490.00	2592.29	USD	-2,557.25	
21SEP17	S 3 PD21DEC17	2484.50	2592.29	USD	-8,084.25	
21SEP17	B 1 PD21DEC17	2479.50	2592.29	USD	2,819.75	
21SEP17	B 10 PD21DEC17	2488.08	2592.29	USD	26,052.50	
21SEP17	B 30 PD21DEC17	2513.20	2592.29	USD	59,317.50	
	NET 0 AVG BGHT 2503.08 SOLD 2470.70				**-35,607.50**	**0.00**
22SEP17	B 1 PD22DEC17	2414.00	2592.07	USD	4,451.75	
22SEP17	S 1 PD22DEC17	2447.50	2592.07	USD	-3,614.25	
22SEP17	S 1 PD22DEC17	2482.50	2592.07	USD	-2,739.25	
22SEP17	B 1 PD22DEC17	2461.50	2592.07	USD	3,264.25	
22SEP17	S 1 PD22DEC17	2480.00	2592.07	USD	-2,801.75	
22SEP17	S 1 PD22DEC17	2482.00	2592.07	USD	-2,751.75	
22SEP17	S 1 PD22DEC17	2472.50	2592.07	USD	-2,989.25	
22SEP17	S 1 PD22DEC17	2476.00	2592.07	USD	-2,901.75	
22SEP17	S 1 PD22DEC17	2480.50	2592.07	USD	-2,789.25	
22SEP17	B 1 PD22DEC17	2488.00	2592.07	USD	2,601.75	
22SEP17	B 1 PD22DEC17	2490.00	2592.07	USD	2,551.75	
22SEP17	B 2 PD22DEC17	2489.50	2592.07	USD	5,128.50	
22SEP17	B 1 PD22DEC17	2483.00	2592.07	USD	2,726.75	
	NET 0 AVG BGHT 2473.64 SOLD 2474.43				**137.50**	**0.00**
26SEP17	S 1 PD27DEC17	2486.50	2591.86	USD	-2,634.00	
26SEP17	B 2 PD27DEC17	2490.50	2591.86	USD	5,068.00	
26SEP17	B 1 PD27DEC17	2487.00	2591.86	USD	2,621.50	
26SEP17	B 2 PD27DEC17	2488.50	2591.86	USD	5,168.00	
26SEP17	B 1 PD27DEC17	2491.00	2591.86	USD	2,521.50	
26SEP17	B 1 PD27DEC17	2493.00	2591.86	USD	2,471.50	
26SEP17	B 2 PD27DEC17	2467.00	2591.86	USD	6,243.00	
26SEP17	B 2 PD27DEC17	2468.00	2591.86	USD	6,193.00	
26SEP17	B 2 PD27DEC17	2484.00	2591.86	USD	5,393.00	
26SEP17	B 1 PD27DEC17	2481.50	2591.86	USD	2,759.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0045G

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
26SEP17	B 2 PD27DEC17	2483.00	2591.86	USD	5,443.00	
27SEP17	B 1 PD27DEC17	2478.50	2591.86	USD	2,834.00	
27SEP17	B 1 PD27DEC17	2480.00	2591.86	USD	2,796.50	
27SEP17	B 3 PD27DEC17	2480.50	2591.86	USD	8,352.00	
27SEP17	S 20 PD27DEC17	2461.80	2591.86	USD	-65,030.00	
	NET 0 AVG BGHT 2481.64 SOLD 2462.98				**-9,800.00**	**0.00**
28SEP17	B 2 PD28DEC17	2459.00	2591.64	USD	6,632.00	
28SEP17	S 1 PD28DEC17	2451.00	2591.64	USD	-3,516.00	
28SEP17	S 1 PD28DEC17	2452.00	2591.64	USD	-3,491.00	
28SEP17	S 2 PD28DEC17	2468.00	2591.64	USD	-6,182.00	
28SEP17	S 3 PD28DEC17	2467.00	2591.64	USD	-9,348.00	
28SEP17	S 2 PD28DEC17	2467.50	2591.64	USD	-6,207.00	
28SEP17	B 1 PD28DEC17	2470.00	2591.64	USD	3,041.00	
28SEP17	S 1 PD28DEC17	2469.00	2591.64	USD	-3,066.00	
29SEP17	B 7 PD28DEC17	2486.20	2591.64	USD	18,452.00	
	NET 0 AVG BGHT 2479.14 SOLD 2464.40				**-3,685.00**	**0.00**
29SEP17	S 2 PD29DEC17	2484.00	2591.43	USD	-5,371.50	
29SEP17	S 1 PD29DEC17	2485.50	2591.43	USD	-2,648.25	
29SEP17	S 1 PD29DEC17	2486.00	2591.43	USD	-2,635.75	
29SEP17	S 3 PD29DEC17	2485.00	2591.43	USD	-7,982.25	
29SEP17	B 7 PD29DEC17	2485.20	2591.43	USD	18,590.25	
	NET 0 AVG BGHT 2485.20 SOLD 2484.93				**-47.50**	**0.00**
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
12JUL17	B 1 PD12OCT17	9215.00	10541.38	USD	7,958.28	
12JUL17	S 1 PD12OCT17	9215.00	10541.38	USD	-7,958.28	
	NET 0 AVG BGHT 9215.00 SOLD 9215.00				**0.00**	**0.00**
18JUL17	S 2 PD18OCT17	9650.00	10549.00	USD	-10,788.00	
18JUL17	S 3 PD18OCT17	9670.00	10549.00	USD	-15,822.00	
31JUL17	B 5 PD18OCT17	10212.50	10549.00	USD	10,095.00	
	NET 0 AVG BGHT 10212.50 SOLD 9662.00				**-16,515.00**	**0.00**
19JUL17	S 2 PD19OCT17	9735.00	10549.96	USD	-9,779.52	
19JUL17	S 1 PD19OCT17	9740.00	10549.96	USD	-4,859.76	
19JUL17	S 1 PD19OCT17	9760.00	10549.96	USD	-4,739.76	
19JUL17	S 1 PD19OCT17	9750.00	10549.96	USD	-4,799.76	
19JUL17	S 9 PD19OCT17	9755.00	10549.96	USD	-42,927.84	
19JUL17	S 1 PD19OCT17	9770.00	10549.96	USD	-4,679.76	
19JUL17	S 1 PD19OCT17	9765.00	10549.96	USD	-4,709.76	
19JUL17	B 2 PD19OCT17	9710.00	10549.96	USD	10,079.52	
19JUL17	B 1 PD19OCT17	9725.00	10549.96	USD	4,949.76	
19JUL17	B 1 PD19OCT17	9700.00	10549.96	USD	5,099.76	
19JUL17	B 5 PD19OCT17	9675.00	10549.96	USD	26,248.80	
19JUL17	B 2 PD19OCT17	9640.00	10549.96	USD	10,919.52	
19JUL17	B 3 PD19OCT17	9645.00	10549.96	USD	16,289.28	
19JUL17	B 5 PD19OCT17	9650.00	10549.96	USD	26,998.80	
19JUL17	B 2 PD19OCT17	9635.00	10549.96	USD	10,979.52	
31JUL17	S 5 PD19OCT17	10211.77	10549.96	USD	-10,145.70	
	NET 0 AVG BGHT 9664.52 SOLD 9862.33				**24,923.10**	**0.00**
20JUL17	B 1 PD20OCT17	9600.00	10550.93	USD	5,705.58	
31JUL17	S 1 PD20OCT17	10212.04	10550.93	USD	-2,033.34	
	NET 0 AVG BGHT 9600.00 SOLD 10212.04				**3,672.24**	**0.00**
24JUL17	S 2 PD24OCT17	9730.00	10554.79	USD	-9,897.48	
24JUL17	S 1 PD24OCT17	9735.00	10554.79	USD	-4,918.74	
24JUL17	S 1 PD24OCT17	9720.00	10554.79	USD	-5,008.74	
24JUL17	B 1 PD24OCT17	9755.00	10554.79	USD	4,798.74	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0045G

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
24JUL17	S	2	PD24OCT17	9755.00	10554.79	USD	-9,597.48	
24JUL17	B	1	PD24OCT17	9765.00	10554.79	USD	4,738.74	
24JUL17	S	1	PD24OCT17	9750.00	10554.79	USD	-4,828.74	
24JUL17	S	2	PD24OCT17	9760.00	10554.79	USD	-9,537.48	
24JUL17	S	4	PD24OCT17	9765.00	10554.79	USD	-18,954.96	
24JUL17	S	2	PD24OCT17	9770.00	10554.79	USD	-9,417.48	
31JUL17	B	11	PD24OCT17	10214.12	10554.79	USD	22,484.22	
08AUG17	B	2	PD24OCT17	10624.93	10554.79	USD	-841.68	
	NET	**0**	**AVG BGHT 10208.35**	**SOLD 9753.00**			**-40,981.08**	**0.00**
25JUL17	S	4	PD25OCT17	9840.00	10555.75	USD	-17,178.00	
25JUL17	S	2	PD25OCT17	9845.00	10555.75	USD	-8,529.00	
25JUL17	S	2	PD25OCT17	9850.00	10555.75	USD	-8,469.00	
25JUL17	S	3	PD25OCT17	9905.00	10555.75	USD	-11,713.50	
25JUL17	S	2	PD25OCT17	9910.00	10555.75	USD	-7,749.00	
25JUL17	S	4	PD25OCT17	9895.00	10555.75	USD	-15,858.00	
25JUL17	S	7	PD25OCT17	9900.00	10555.75	USD	-27,541.50	
31JUL17	B	24	PD25OCT17	10214.38	10555.75	USD	49,157.28	
	NET	**0**	**AVG BGHT 10214.38**	**SOLD 9881.88**			**-47,880.72**	**0.00**
26JUL17	S	2	PD26OCT17	9935.00	10556.71	USD	-7,460.52	
26JUL17	S	1	PD26OCT17	9940.00	10556.71	USD	-3,700.26	
26JUL17	S	1	PD26OCT17	9965.00	10556.71	USD	-3,550.26	
26JUL17	S	1	PD26OCT17	9985.00	10556.71	USD	-3,430.26	
26JUL17	S	1	PD26OCT17	10040.00	10556.71	USD	-3,100.26	
26JUL17	S	1	PD26OCT17	10000.00	10556.71	USD	-3,340.26	
26JUL17	S	2	PD26OCT17	10005.00	10556.71	USD	-6,620.52	
26JUL17	S	1	PD26OCT17	10015.00	10556.71	USD	-3,250.26	
26JUL17	S	2	PD26OCT17	10030.00	10556.71	USD	-6,320.52	
26JUL17	S	1	PD26OCT17	10020.00	10556.71	USD	-3,220.26	
26JUL17	S	3	PD26OCT17	10025.00	10556.71	USD	-9,570.78	
26JUL17	S	4	PD26OCT17	10035.00	10556.71	USD	-12,521.04	
31JUL17	B	20	PD26OCT17	10214.65	10556.71	USD	41,047.20	
	NET	**0**	**AVG BGHT 10214.65**	**SOLD 10006.00**			**-25,038.00**	**0.00**
27JUL17	S	1	PD27OCT17	10100.00	10557.68	USD	-2,746.08	
27JUL17	S	1	PD27OCT17	10105.00	10557.68	USD	-2,716.08	
27JUL17	S	1	PD27OCT17	10110.00	10557.68	USD	-2,686.08	
27JUL17	S	4	PD27OCT17	10120.00	10557.68	USD	-10,504.32	
27JUL17	S	6	PD27OCT17	10125.00	10557.68	USD	-15,576.48	
27JUL17	S	2	PD27OCT17	10130.00	10557.68	USD	-5,132.16	
27JUL17	S	8	PD27OCT17	10115.00	10557.68	USD	-21,248.64	
27JUL17	S	1	PD27OCT17	10140.00	10557.68	USD	-2,506.08	
27JUL17	S	1	PD27OCT17	10175.00	10557.68	USD	-2,296.08	
27JUL17	S	1	PD27OCT17	10160.00	10557.68	USD	-2,386.08	
27JUL17	S	1	PD27OCT17	10155.00	10557.68	USD	-2,416.08	
27JUL17	S	2	PD27OCT17	10170.00	10557.68	USD	-4,652.16	
27JUL17	S	1	PD27OCT17	10150.00	10557.68	USD	-2,446.08	
28JUL17	S	1	PD27OCT17	10130.00	10557.68	USD	-2,566.08	
31JUL17	B	31	PD27OCT17	10214.92	10557.68	USD	63,753.36	
	NET	**0**	**AVG BGHT 10214.92**	**SOLD 10128.23**			**-16,125.12**	**0.00**
31JUL17	S	1	PD31OCT17	10270.00	10561.54	USD	-1,749.24	
31JUL17	S	1	PD31OCT17	10275.00	10561.54	USD	-1,719.24	
08AUG17	B	2	PD31OCT17	10627.76	10561.54	USD	-794.64	
	NET	**0**	**AVG BGHT 10627.76**	**SOLD 10272.50**			**-4,263.12**	**0.00**
08AUG17	S	1	PD08NOV17	10695.00	10569.25	USD	754.50	
08AUG17	S	3	PD08NOV17	10740.00	10569.25	USD	3,073.50	
08AUG17	S	3	PD08NOV17	10735.00	10569.25	USD	2,983.50	
09AUG17	B	7	PD08NOV17	10760.50	10569.25	USD	-8,032.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0045G

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
	NET 0 AVG BGHT 10760.50 SOLD 10731.43				**-1,221.00**	**0.00**
09AUG17	S 1 PD09NOV17	10770.00	10570.21	USD	1,198.74	
09AUG17	S 1 PD09NOV17	10775.00	10570.21	USD	1,228.74	
09AUG17	S 1 PD09NOV17	10780.00	10570.21	USD	1,258.74	
09AUG17	S 2 PD09NOV17	10785.00	10570.21	USD	2,577.48	
09AUG17	S 1 PD09NOV17	10740.00	10570.21	USD	1,018.74	
09AUG17	B 7 PD09NOV17	10761.00	10570.21	USD	-8,013.18	
09AUG17	S 8 PD09NOV17	10730.00	10570.21	USD	7,669.92	
18AUG17	B 7 PD09NOV17	10978.96	10570.21	USD	-17,167.50	
	NET 0 AVG BGHT 10869.98 SOLD 10748.21				**-10,228.32**	**0.00**
10AUG17	S 1 PD10NOV17	10800.00	10571.18	USD	1,372.92	
18AUG17	B 1 PD10NOV17	10979.63	10571.18	USD	-2,450.70	
	NET 0 AVG BGHT 10979.63 SOLD 10800.00				**-1,077.78**	**0.00**
14AUG17	B 1 PD14NOV17	10420.00	10575.04	USD	930.24	
14AUG17	B 1 PD14NOV17	10430.00	10575.04	USD	870.24	
14AUG17	B 6 PD14NOV17	10435.00	10575.04	USD	5,041.44	
14AUG17	B 2 PD14NOV17	10440.00	10575.04	USD	1,620.48	
14AUG17	B 2 PD14NOV17	10425.00	10575.04	USD	1,800.48	
14AUG17	B 1 PD14NOV17	10410.00	10575.04	USD	990.24	
14AUG17	B 2 PD14NOV17	10415.00	10575.04	USD	1,920.48	
24AUG17	S 8 PD14NOV17	11740.27	10575.04	USD	55,931.04	
12SEP17	S 7 PD14NOV17	11967.00	10575.04	USD	58,462.32	
	NET 0 AVG BGHT 10428.67 SOLD 11846.08				**127,566.96**	**0.00**
23AUG17	S 2 PD24NOV17	11650.00	10583.97	USD	12,792.36	
23AUG17	S 1 PD24NOV17	11655.00	10583.97	USD	6,426.18	
23AUG17	S 1 PD24NOV17	11665.00	10583.97	USD	6,486.18	
23AUG17	S 1 PD24NOV17	11670.00	10583.97	USD	6,516.18	
23AUG17	S 1 PD24NOV17	11680.00	10583.97	USD	6,576.18	
24AUG17	S 1 PD24NOV17	11725.00	10583.97	USD	6,846.18	
24AUG17	S 1 PD24NOV17	11730.00	10583.97	USD	6,876.18	
24AUG17	B 8 PD24NOV17	11746.00	10583.97	USD	-55,777.44	
	NET 0 AVG BGHT 11746.00 SOLD 11678.13				**-3,258.00**	**0.00**
31AUG17	B 2 PD30NOV17	11670.00	10589.29	USD	-12,968.52	
12SEP17	S 2 PD30NOV17	11980.22	10589.29	USD	16,691.16	
	NET 0 AVG BGHT 11670.00 SOLD 11980.22				**3,722.64**	**0.00**
01SEP17	S 1 PD01DEC17	11925.00	10590.17	USD	8,008.98	
01SEP17	S 1 PD01DEC17	11935.00	10590.17	USD	8,068.98	
01SEP17	S 1 PD01DEC17	11945.00	10590.17	USD	8,128.98	
01SEP17	S 5 PD01DEC17	11930.00	10590.17	USD	40,194.90	
01SEP17	S 1 PD01DEC17	11920.00	10590.17	USD	7,978.98	
01SEP17	B 1 PD01DEC17	12130.00	10590.17	USD	-9,238.98	
12SEP17	B 8 PD01DEC17	11982.04	10590.17	USD	-66,809.76	
	NET 0 AVG BGHT 11998.48 SOLD 11930.56				**-3,667.92**	**0.00**
05SEP17	S 1 PD05DEC17	12185.00	10593.71	USD	9,547.74	
12SEP17	B 1 PD05DEC17	11985.30	10593.71	USD	-8,349.54	
	NET 0 AVG BGHT 11985.30 SOLD 12185.00				**1,198.20**	**0.00**
08SEP17	B 1 PD08DEC17	11775.00	10596.37	USD	-7,071.78	
08SEP17	B 1 PD08DEC17	11745.00	10596.37	USD	-6,891.78	
08SEP17	B 1 PD08DEC17	11735.00	10596.37	USD	-6,831.78	
27SEP17	S 3 PD08DEC17	10220.94	10596.37	USD	-6,757.74	
	NET 0 AVG BGHT 11751.67 SOLD 10220.94				**-27,553.08**	**0.00**
13SEP17	B 1 PD13DEC17	11350.00	10600.80	USD	-4,495.20	
13SEP17	B 1 PD13DEC17	11365.00	10600.80	USD	-4,585.20	
13SEP17	B 1 PD13DEC17	11375.00	10600.80	USD	-4,645.20	
27SEP17	S 3 PD13DEC17	10225.25	10600.80	USD	-6,759.90	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0045G

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
	NET 0 AVG BGHT 11363.33 SOLD 10225.25				**-20,485.50**	**0.00**
15SEP17	B 1 PD15DEC17	11085.00	10602.57	USD	-2,894.58	
15SEP17	B 4 PD15DEC17	10900.00	10602.57	USD	-7,138.32	
15SEP17	B 5 PD15DEC17	10895.00	10602.57	USD	-8,772.90	
27SEP17	S 10 PD15DEC17	10227.04	10602.57	USD	-22,531.80	
	NET 0 AVG BGHT 10916.00 SOLD 10227.04				**-41,337.60**	**0.00**
20SEP17	S 1 PD20DEC17	11310.00	10607.00	USD	4,218.00	
20SEP17	S 2 PD20DEC17	11335.00	10607.00	USD	8,736.00	
20SEP17	S 1 PD20DEC17	11340.00	10607.00	USD	4,398.00	
20SEP17	S 1 PD20DEC17	11355.00	10607.00	USD	4,488.00	
20SEP17	S 1 PD20DEC17	11380.00	10607.00	USD	4,638.00	
20SEP17	S 1 PD20DEC17	11475.00	10607.00	USD	5,208.00	
20SEP17	S 3 PD20DEC17	11365.00	10607.00	USD	13,644.00	
20SEP17	S 2 PD20DEC17	11385.00	10607.00	USD	9,336.00	
27SEP17	B 12 PD20DEC17	10232.50	10607.00	USD	26,964.00	
	NET 0 AVG BGHT 10232.50 SOLD 11366.25				**81,630.00**	**0.00**
21SEP17	S 1 PD21DEC17	11135.00	10608.00	USD	3,162.00	
21SEP17	S 1 PD21DEC17	11065.00	10608.00	USD	2,742.00	
21SEP17	S 1 PD21DEC17	11075.00	10608.00	USD	2,802.00	
21SEP17	S 1 PD21DEC17	11085.00	10608.00	USD	2,862.00	
27SEP17	B 4 PD21DEC17	10233.50	10608.00	USD	8,988.00	
	NET 0 AVG BGHT 10233.50 SOLD 11090.00				**20,556.00**	**0.00**
28SEP17	B 1 PD28DEC17	10285.00	10614.50	USD	1,977.00	
	NET +1 AVG BGHT 10285.00				**1,977.00**	**0.00**
29SEP17	B 2 PD29DEC17	10425.00	10615.42	USD	2,285.04	
29SEP17	B 2 PD29DEC17	10430.00	10615.42	USD	2,225.04	
29SEP17	B 1 PD29DEC17	10420.00	10615.42	USD	1,172.52	
	NET +5 AVG BGHT 10426.00				**5,682.60**	**0.00**
	CMX SILVER 5,000 CMX					
28SEP17	B 1 DEC17	1673.5	1665.0	USD	-425.00	
28SEP17	B 2 DEC17	1674.0	1665.0	USD	-900.00	
28SEP17	B 1 DEC17	1673.0	1665.0	USD	-400.00	
28SEP17	B 1 DEC17	1674.5	1665.0	USD	-475.00	
	NET +5 AVG BGHT 1673.8				**-2,200.00**	**0.00**
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
04JUL17	S 1 PD04OCT17	2784.50	3316.62	USD	-13,303.00	
04JUL17	S 4 PD04OCT17	2783.50	3316.62	USD	-53,312.00	
04JUL17	S 2 PD04OCT17	2783.00	3316.62	USD	-26,681.00	
04JUL17	S 2 PD04OCT17	2774.00	3316.62	USD	-27,131.00	
04JUL17	S 1 PD04OCT17	2780.00	3316.62	USD	-13,415.50	
04JUL17	S 1 PD04OCT17	2785.50	3316.62	USD	-13,278.00	
04JUL17	S 1 PD04OCT17	2786.00	3316.62	USD	-13,265.50	
04JUL17	S 1 PD04OCT17	2787.50	3316.62	USD	-13,228.00	
04JUL17	S 2 PD04OCT17	2785.00	3316.62	USD	-26,581.00	
04JUL17	S 1 PD04OCT17	2786.50	3316.62	USD	-13,253.00	
21JUL17	B 16 PD04OCT17	2754.00	3316.62	USD	225,048.00	
	NET 0 AVG BGHT 2754.00 SOLD 2783.00				**11,600.00**	**0.00**
05JUL17	B 2 PD05OCT17	2749.50	3313.50	USD	28,200.00	
05JUL17	B 1 PD05OCT17	2757.00	3313.50	USD	13,912.50	
21JUL17	S 3 PD05OCT17	2753.91	3313.50	USD	-41,969.25	
	NET 0 AVG BGHT 2752.00 SOLD 2753.91				**143.25**	**0.00**
06JUL17	B 2 PD06OCT17	2759.50	3310.38	USD	27,544.00	
06JUL17	B 1 PD06OCT17	2760.00	3310.38	USD	13,759.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0045G

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
06JUL17	S	1	PD06OCT17	2759.00	3310.38	USD	-13,784.50	
06JUL17	B	2	PD06OCT17	2780.00	3310.38	USD	26,519.00	
06JUL17	B	1	PD06OCT17	2779.50	3310.38	USD	13,272.00	
06JUL17	B	3	PD06OCT17	2781.00	3310.38	USD	39,703.50	
06JUL17	B	1	PD06OCT17	2781.50	3310.38	USD	13,222.00	
06JUL17	B	1	PD06OCT17	2782.00	3310.38	USD	13,209.50	
06JUL17	B	2	PD06OCT17	2783.00	3310.38	USD	26,369.00	
07JUL17	B	1	PD06OCT17	2776.50	3310.38	USD	13,347.00	
21JUL17	S	13	PD06OCT17	2754.01	3310.38	USD	-180,820.25	
	NET	**0**	**AVG BGHT 2776.25**	**SOLD 2754.37**			**-7,659.25**	**0.00**
10JUL17	B	1	PD10OCT17	2759.00	3307.25	USD	13,706.25	
10JUL17	B	1	PD10OCT17	2759.50	3307.25	USD	13,693.75	
10JUL17	B	1	PD10OCT17	2765.50	3307.25	USD	13,543.75	
10JUL17	B	2	PD10OCT17	2760.50	3307.25	USD	27,337.50	
10JUL17	B	7	PD10OCT17	2761.00	3307.25	USD	95,593.75	
10JUL17	B	5	PD10OCT17	2762.00	3307.25	USD	68,156.25	
10JUL17	B	1	PD10OCT17	2764.00	3307.25	USD	13,581.25	
10JUL17	B	1	PD10OCT17	2764.50	3307.25	USD	13,568.75	
10JUL17	S	1	PD10OCT17	2763.00	3307.25	USD	-13,606.25	
10JUL17	B	1	PD10OCT17	2755.50	3307.25	USD	13,793.75	
10JUL17	B	1	PD10OCT17	2756.50	3307.25	USD	13,768.75	
10JUL17	B	1	PD10OCT17	2757.50	3307.25	USD	13,743.75	
10JUL17	B	5	PD10OCT17	2762.50	3307.25	USD	68,093.75	
10JUL17	B	2	PD10OCT17	2763.00	3307.25	USD	27,212.50	
10JUL17	S	3	PD10OCT17	2780.00	3307.25	USD	-39,543.75	
10JUL17	S	1	PD10OCT17	2781.00	3307.25	USD	-13,156.25	
10JUL17	S	2	PD10OCT17	2785.00	3307.25	USD	-26,112.50	
10JUL17	S	2	PD10OCT17	2780.50	3307.25	USD	-26,337.50	
10JUL17	S	2	PD10OCT17	2781.50	3307.25	USD	-26,287.50	
10JUL17	S	3	PD10OCT17	2782.50	3307.25	USD	-39,356.25	
10JUL17	S	5	PD10OCT17	2783.00	3307.25	USD	-65,531.25	
10JUL17	S	4	PD10OCT17	2785.50	3307.25	USD	-52,175.00	
10JUL17	S	1	PD10OCT17	2786.50	3307.25	USD	-13,018.75	
10JUL17	S	2	PD10OCT17	2777.00	3307.25	USD	-26,512.50	
10JUL17	S	1	PD10OCT17	2777.50	3307.25	USD	-13,243.75	
10JUL17	B	4	PD10OCT17	2751.00	3307.25	USD	55,625.00	
10JUL17	B	2	PD10OCT17	2747.00	3307.25	USD	28,012.50	
10JUL17	B	1	PD10OCT17	2750.00	3307.25	USD	13,931.25	
10JUL17	B	2	PD10OCT17	2761.50	3307.25	USD	27,287.50	
10JUL17	B	1	PD10OCT17	2752.50	3307.25	USD	13,868.75	
10JUL17	S	1	PD10OCT17	2775.00	3307.25	USD	-13,306.25	
10JUL17	S	1	PD10OCT17	2779.00	3307.25	USD	-13,206.25	
10JUL17	S	2	PD10OCT17	2774.00	3307.25	USD	-26,662.50	
10JUL17	S	1	PD10OCT17	2775.50	3307.25	USD	-13,293.75	
10JUL17	S	5	PD10OCT17	2776.00	3307.25	USD	-66,406.25	
10JUL17	S	2	PD10OCT17	2776.50	3307.25	USD	-26,537.50	
	NET	**0**	**AVG BGHT 2759.03**	**SOLD 2779.77**			**20,225.00**	**0.00**
11JUL17	S	2	PD11OCT17	2793.00	3304.13	USD	-25,556.50	
11JUL17	S	1	PD11OCT17	2795.00	3304.13	USD	-12,728.25	
11JUL17	S	2	PD11OCT17	2791.50	3304.13	USD	-25,631.50	
11JUL17	S	2	PD11OCT17	2792.00	3304.13	USD	-25,606.50	
11JUL17	B	4	PD11OCT17	2774.50	3304.13	USD	52,963.00	
11JUL17	B	1	PD11OCT17	2775.00	3304.13	USD	13,228.25	
11JUL17	B	5	PD11OCT17	2776.00	3304.13	USD	66,016.25	
11JUL17	S	3	PD11OCT17	2793.50	3304.13	USD	-38,297.25	
11JUL17	S	1	PD11OCT17	2812.50	3304.13	USD	-12,290.75	
11JUL17	S	1	PD11OCT17	2813.00	3304.13	USD	-12,278.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0045G

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
11JUL17	S 3 PD11OCT17	2813.50	3304.13	USD	-36,797.25	
21JUL17	B 5 PD11OCT17	2754.75	3304.13	USD	68,672.50	
	NET 0 AVG BGHT 2768.45 SOLD 2799.63				**11,693.75**	**0.00**
12JUL17	B 2 PD12OCT17	2835.00	3301.00	USD	23,300.00	
12JUL17	B 3 PD12OCT17	2817.50	3301.00	USD	36,262.50	
12JUL17	B 1 PD12OCT17	2818.00	3301.00	USD	12,075.00	
12JUL17	B 4 PD12OCT17	2819.00	3301.00	USD	48,200.00	
12JUL17	B 1 PD12OCT17	2819.50	3301.00	USD	12,037.50	
12JUL17	B 2 PD12OCT17	2820.50	3301.00	USD	24,025.00	
12JUL17	B 1 PD12OCT17	2821.00	3301.00	USD	12,000.00	
12JUL17	S 1 PD12OCT17	2831.00	3301.00	USD	-11,750.00	
12JUL17	S 4 PD12OCT17	2831.50	3301.00	USD	-46,950.00	
12JUL17	S 2 PD12OCT17	2836.50	3301.00	USD	-23,225.00	
12JUL17	S 2 PD12OCT17	2837.50	3301.00	USD	-23,175.00	
12JUL17	S 1 PD12OCT17	2839.50	3301.00	USD	-11,537.50	
12JUL17	B 1 PD12OCT17	2833.50	3301.00	USD	11,687.50	
12JUL17	S 3 PD12OCT17	2838.00	3301.00	USD	-34,725.00	
12JUL17	B 2 PD12OCT17	2835.50	3301.00	USD	23,275.00	
12JUL17	B 4 PD12OCT17	2836.00	3301.00	USD	46,500.00	
12JUL17	B 1 PD12OCT17	2838.00	3301.00	USD	11,575.00	
12JUL17	B 1 PD12OCT17	2839.00	3301.00	USD	11,550.00	
12JUL17	S 2 PD12OCT17	2835.00	3301.00	USD	-23,300.00	
12JUL17	S 3 PD12OCT17	2834.50	3301.00	USD	-34,987.50	
21JUL17	S 5 PD12OCT17	2754.66	3301.00	USD	-68,292.50	
	NET 0 AVG BGHT 2827.11 SOLD 2817.62				**-5,455.00**	**0.00**
13JUL17	B 1 PD13OCT17	2837.00	3297.88	USD	11,522.00	
13JUL17	B 1 PD13OCT17	2845.00	3297.88	USD	11,322.00	
13JUL17	S 1 PD13OCT17	2838.50	3297.88	USD	-11,484.50	
13JUL17	S 1 PD13OCT17	2844.00	3297.88	USD	-11,347.00	
14JUL17	B 1 PD13OCT17	2789.50	3297.88	USD	12,709.50	
14JUL17	B 1 PD13OCT17	2790.00	3297.88	USD	12,697.00	
14JUL17	B 1 PD13OCT17	2792.00	3297.88	USD	12,647.00	
14JUL17	B 1 PD13OCT17	2792.50	3297.88	USD	12,634.50	
14JUL17	B 1 PD13OCT17	2785.00	3297.88	USD	12,822.00	
14JUL17	B 1 PD13OCT17	2786.00	3297.88	USD	12,797.00	
14JUL17	B 2 PD13OCT17	2786.50	3297.88	USD	25,569.00	
14JUL17	B 1 PD13OCT17	2789.00	3297.88	USD	12,722.00	
14JUL17	B 9 PD13OCT17	2769.50	3297.88	USD	118,885.50	
14JUL17	B 5 PD13OCT17	2770.00	3297.88	USD	65,985.00	
14JUL17	B 1 PD13OCT17	2787.50	3297.88	USD	12,759.50	
14JUL17	B 2 PD13OCT17	2767.00	3297.88	USD	26,544.00	
14JUL17	B 1 PD13OCT17	2767.50	3297.88	USD	13,259.50	
14JUL17	B 1 PD13OCT17	2771.00	3297.88	USD	13,172.00	
14JUL17	B 6 PD13OCT17	2768.00	3297.88	USD	79,482.00	
14JUL17	B 1 PD13OCT17	2754.00	3297.88	USD	13,597.00	
14JUL17	B 3 PD13OCT17	2755.50	3297.88	USD	40,678.50	
14JUL17	B 4 PD13OCT17	2754.50	3297.88	USD	54,338.00	
14JUL17	B 6 PD13OCT17	2755.00	3297.88	USD	81,432.00	
14JUL17	B 3 PD13OCT17	2756.00	3297.88	USD	40,641.00	
14JUL17	B 3 PD13OCT17	2757.50	3297.88	USD	40,528.50	
14JUL17	B 1 PD13OCT17	2760.50	3297.88	USD	13,434.50	
14JUL17	B 9 PD13OCT17	2790.50	3297.88	USD	114,160.50	
14JUL17	S 1 PD13OCT17	2791.00	3297.88	USD	-12,672.00	
14JUL17	B 4 PD13OCT17	2785.50	3297.88	USD	51,238.00	
14JUL17	S 2 PD13OCT17	2788.00	3297.88	USD	-25,494.00	
14JUL17	S 1 PD13OCT17	2789.50	3297.88	USD	-12,709.50	
14JUL17	S 2 PD13OCT17	2792.50	3297.88	USD	-25,269.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0045G

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
14JUL17	S	1	PD13OCT17	2792.00	3297.88	USD	-12,647.00	
14JUL17	S	1	PD13OCT17	2794.00	3297.88	USD	-12,597.00	
14JUL17	S	2	PD13OCT17	2794.50	3297.88	USD	-25,169.00	
14JUL17	S	6	PD13OCT17	2795.00	3297.88	USD	-75,432.00	
14JUL17	S	1	PD13OCT17	2795.50	3297.88	USD	-12,559.50	
14JUL17	S	1	PD13OCT17	2796.00	3297.88	USD	-12,547.00	
14JUL17	S	1	PD13OCT17	2796.50	3297.88	USD	-12,534.50	
14JUL17	S	1	PD13OCT17	2797.50	3297.88	USD	-12,509.50	
14JUL17	S	2	PD13OCT17	2798.00	3297.88	USD	-24,994.00	
21JUL17	S	32	PD13OCT17	2754.76	3297.88	USD	-434,496.00	
21JUL17	S	11	PD13OCT17	2754.96	3297.88	USD	-149,303.00	
27JUL17	S	3	PD13OCT17	2799.76	3297.88	USD	-37,359.00	
	NET	**0**	**AVG BGHT 2773.55**	**SOLD 2771.52**			**-3,546.00**	**0.00**
17JUL17	B	4	PD17OCT17	2820.00	3291.63	USD	47,163.00	
17JUL17	B	1	PD17OCT17	2827.00	3291.63	USD	11,615.75	
17JUL17	B	1	PD17OCT17	2827.50	3291.63	USD	11,603.25	
17JUL17	B	2	PD17OCT17	2829.00	3291.63	USD	23,131.50	
17JUL17	B	2	PD17OCT17	2825.00	3291.63	USD	23,331.50	
17JUL17	B	2	PD17OCT17	2826.00	3291.63	USD	23,281.50	
17JUL17	S	1	PD17OCT17	2825.00	3291.63	USD	-11,665.75	
17JUL17	S	1	PD17OCT17	2816.50	3291.63	USD	-11,878.25	
17JUL17	B	3	PD17OCT17	2819.00	3291.63	USD	35,447.25	
27JUL17	S	13	PD17OCT17	2800.95	3291.63	USD	-159,471.00	
	NET	**0**	**AVG BGHT 2823.43**	**SOLD 2803.59**			**-7,441.25**	**0.00**
18JUL17	B	1	PD18OCT17	2790.00	3288.50	USD	12,462.50	
18JUL17	B	1	PD18OCT17	2790.50	3288.50	USD	12,450.00	
18JUL17	B	1	PD18OCT17	2791.00	3288.50	USD	12,437.50	
18JUL17	B	4	PD18OCT17	2791.50	3288.50	USD	49,700.00	
18JUL17	B	2	PD18OCT17	2792.00	3288.50	USD	24,825.00	
18JUL17	B	3	PD18OCT17	2792.50	3288.50	USD	37,200.00	
18JUL17	B	1	PD18OCT17	2793.00	3288.50	USD	12,387.50	
18JUL17	B	4	PD18OCT17	2795.50	3288.50	USD	49,300.00	
18JUL17	B	1	PD18OCT17	2796.00	3288.50	USD	12,312.50	
18JUL17	B	1	PD18OCT17	2798.00	3288.50	USD	12,262.50	
18JUL17	B	1	PD18OCT17	2777.50	3288.50	USD	12,775.00	
18JUL17	B	2	PD18OCT17	2778.50	3288.50	USD	25,500.00	
18JUL17	B	3	PD18OCT17	2779.00	3288.50	USD	38,212.50	
18JUL17	B	1	PD18OCT17	2780.00	3288.50	USD	12,712.50	
18JUL17	B	2	PD18OCT17	2781.00	3288.50	USD	25,375.00	
18JUL17	B	2	PD18OCT17	2793.50	3288.50	USD	24,750.00	
18JUL17	B	1	PD18OCT17	2784.50	3288.50	USD	12,600.00	
18JUL17	S	1	PD18OCT17	2784.50	3288.50	USD	-12,600.00	
18JUL17	S	1	PD18OCT17	2788.50	3288.50	USD	-12,500.00	
18JUL17	S	2	PD18OCT17	2791.50	3288.50	USD	-24,850.00	
18JUL17	S	4	PD18OCT17	2792.00	3288.50	USD	-49,650.00	
18JUL17	S	3	PD18OCT17	2792.50	3288.50	USD	-37,200.00	
18JUL17	S	1	PD18OCT17	2793.00	3288.50	USD	-12,387.50	
18JUL17	S	2	PD18OCT17	2796.00	3288.50	USD	-24,625.00	
18JUL17	S	4	PD18OCT17	2796.50	3288.50	USD	-49,200.00	
18JUL17	S	2	PD18OCT17	2789.00	3288.50	USD	-24,975.00	
18JUL17	S	4	PD18OCT17	2790.50	3288.50	USD	-49,800.00	
18JUL17	S	6	PD18OCT17	2794.00	3288.50	USD	-74,175.00	
18JUL17	S	6	PD18OCT17	2789.50	3288.50	USD	-74,850.00	
18JUL17	S	4	PD18OCT17	2790.00	3288.50	USD	-49,850.00	
21JUL17	B	9	PD18OCT17	2755.70	3288.50	USD	119,880.00	
	NET	**0**	**AVG BGHT 2781.36**	**SOLD 2791.84**			**10,480.00**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0045G

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
19JUL17	S 4 PD19OCT17	2779.50	3285.50	USD	-50,600.00	
19JUL17	S 1 PD19OCT17	2788.50	3285.50	USD	-12,425.00	
19JUL17	S 1 PD19OCT17	2789.00	3285.50	USD	-12,412.50	
19JUL17	S 4 PD19OCT17	2789.50	3285.50	USD	-49,600.00	
19JUL17	S 3 PD19OCT17	2790.50	3285.50	USD	-37,125.00	
19JUL17	S 3 PD19OCT17	2796.00	3285.50	USD	-36,712.50	
19JUL17	S 1 PD19OCT17	2796.50	3285.50	USD	-12,225.00	
19JUL17	B 1 PD19OCT17	2794.00	3285.50	USD	12,287.50	
19JUL17	B 1 PD19OCT17	2784.50	3285.50	USD	12,525.00	
19JUL17	S 1 PD19OCT17	2781.50	3285.50	USD	-12,600.00	
19JUL17	B 1 PD19OCT17	2774.50	3285.50	USD	12,775.00	
19JUL17	S 1 PD19OCT17	2779.00	3285.50	USD	-12,662.50	
19JUL17	B 2 PD19OCT17	2774.00	3285.50	USD	25,575.00	
19JUL17	B 2 PD19OCT17	2773.00	3285.50	USD	25,625.00	
19JUL17	B 11 PD19OCT17	2773.50	3285.50	USD	140,800.00	
19JUL17	B 6 PD19OCT17	2767.00	3285.50	USD	77,775.00	
19JUL17	B 6 PD19OCT17	2766.00	3285.50	USD	77,925.00	
19JUL17	B 2 PD19OCT17	2738.00	3285.50	USD	27,375.00	
19JUL17	B 3 PD19OCT17	2737.50	3285.50	USD	41,100.00	
27JUL17	S 16 PD19OCT17	2800.13	3285.50	USD	-194,148.00	
	NET 0 AVG BGHT 2766.91 SOLD 2793.49				**23,252.00**	**0.00**
20JUL17	S 1 PD20OCT17	2755.00	3282.50	USD	-13,187.50	
20JUL17	S 1 PD20OCT17	2757.50	3282.50	USD	-13,125.00	
20JUL17	S 2 PD20OCT17	2758.00	3282.50	USD	-26,225.00	
20JUL17	B 1 PD20OCT17	2740.50	3282.50	USD	13,550.00	
20JUL17	S 1 PD20OCT17	2748.00	3282.50	USD	-13,362.50	
20JUL17	B 1 PD20OCT17	2722.50	3282.50	USD	14,000.00	
20JUL17	S 1 PD20OCT17	2722.50	3282.50	USD	-14,000.00	
20JUL17	B 1 PD20OCT17	2725.50	3282.50	USD	13,925.00	
20JUL17	B 1 PD20OCT17	2726.00	3282.50	USD	13,912.50	
21JUL17	S 3 PD20OCT17	2740.50	3282.50	USD	-40,650.00	
21JUL17	S 1 PD20OCT17	2748.00	3282.50	USD	-13,362.50	
21JUL17	S 3 PD20OCT17	2749.00	3282.50	USD	-40,012.50	
21JUL17	S 1 PD20OCT17	2747.50	3282.50	USD	-13,375.00	
21JUL17	S 1 PD20OCT17	2752.50	3282.50	USD	-13,250.00	
21JUL17	S 2 PD20OCT17	2754.00	3282.50	USD	-26,425.00	
21JUL17	S 1 PD20OCT17	2758.50	3282.50	USD	-13,100.00	
21JUL17	S 2 PD20OCT17	2759.50	3282.50	USD	-26,150.00	
21JUL17	B 1 PD20OCT17	2765.50	3282.50	USD	12,925.00	
21JUL17	S 6 PD20OCT17	2762.50	3282.50	USD	-78,000.00	
21JUL17	S 4 PD20OCT17	2763.00	3282.50	USD	-51,950.00	
21JUL17	S 1 PD20OCT17	2763.50	3282.50	USD	-12,975.00	
21JUL17	S 1 PD20OCT17	2764.00	3282.50	USD	-12,962.50	
21JUL17	S 1 PD20OCT17	2765.00	3282.50	USD	-12,937.50	
21JUL17	S 3 PD20OCT17	2740.00	3282.50	USD	-40,687.50	
21JUL17	S 3 PD20OCT17	2753.00	3282.50	USD	-39,712.50	
21JUL17	B 2 PD20OCT17	2753.70	3282.50	USD	26,440.00	
27JUL17	B 32 PD20OCT17	2799.20	3282.50	USD	386,640.00	
	NET 0 AVG BGHT 2788.76 SOLD 2753.83				**-34,057.50**	**0.00**
24JUL17	B 1 PD24OCT17	2779.00	3280.50	USD	12,537.50	
27JUL17	S 1 PD24OCT17	2800.30	3280.50	USD	-12,005.00	
	NET 0 AVG BGHT 2779.00 SOLD 2800.30				**532.50**	**0.00**
25JUL17	S 1 PD25OCT17	2814.00	3279.50	USD	-11,637.50	
25JUL17	S 1 PD25OCT17	2816.50	3279.50	USD	-11,575.00	
25JUL17	S 2 PD25OCT17	2817.00	3279.50	USD	-23,125.00	
25JUL17	S 1 PD25OCT17	2817.50	3279.50	USD	-11,550.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0045G

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
25JUL17	S 1 PD25OCT17	2819.00	3279.50	USD	-11,512.50	
25JUL17	S 6 PD25OCT17	2823.00	3279.50	USD	-68,475.00	
25JUL17	S 1 PD25OCT17	2825.00	3279.50	USD	-11,362.50	
25JUL17	S 2 PD25OCT17	2826.50	3279.50	USD	-22,650.00	
25JUL17	S 1 PD25OCT17	2827.50	3279.50	USD	-11,300.00	
25JUL17	S 4 PD25OCT17	2828.00	3279.50	USD	-45,150.00	
25JUL17	B 1 PD25OCT17	2815.00	3279.50	USD	11,612.50	
25JUL17	B 1 PD25OCT17	2823.00	3279.50	USD	11,412.50	
25JUL17	B 1 PD25OCT17	2824.00	3279.50	USD	11,387.50	
25JUL17	B 2 PD25OCT17	2824.50	3279.50	USD	22,750.00	
31JUL17	B 11 PD25OCT17	2793.50	3279.50	USD	133,650.00	
08AUG17	B 4 PD25OCT17	2924.00	3279.50	USD	35,550.00	
	NET 0 AVG BGHT 2826.78 SOLD 2822.83				**-1,975.00**	**0.00**
26JUL17	B 1 PD26OCT17	2838.50	3278.50	USD	11,000.00	
26JUL17	B 1 PD26OCT17	2839.00	3278.50	USD	10,987.50	
26JUL17	B 1 PD26OCT17	2845.00	3278.50	USD	10,837.50	
26JUL17	B 1 PD26OCT17	2845.50	3278.50	USD	10,825.00	
26JUL17	B 1 PD26OCT17	2849.00	3278.50	USD	10,737.50	
26JUL17	B 1 PD26OCT17	2850.50	3278.50	USD	10,700.00	
26JUL17	B 1 PD26OCT17	2851.00	3278.50	USD	10,687.50	
26JUL17	B 1 PD26OCT17	2853.00	3278.50	USD	10,637.50	
26JUL17	S 1 PD26OCT17	2821.00	3278.50	USD	-11,437.50	
26JUL17	B 1 PD26OCT17	2803.00	3278.50	USD	11,887.50	
27JUL17	S 8 PD26OCT17	2800.55	3278.50	USD	-95,590.00	
	NET 0 AVG BGHT 2841.61 SOLD 2802.82				**-8,727.50**	**0.00**
27JUL17	S 1 PD27OCT17	2812.00	3277.50	USD	-11,637.50	
27JUL17	S 1 PD27OCT17	2813.00	3277.50	USD	-11,612.50	
27JUL17	B 1 PD27OCT17	2824.00	3277.50	USD	11,337.50	
27JUL17	S 1 PD27OCT17	2818.00	3277.50	USD	-11,487.50	
27JUL17	S 1 PD27OCT17	2821.50	3277.50	USD	-11,400.00	
27JUL17	S 2 PD27OCT17	2822.00	3277.50	USD	-22,775.00	
27JUL17	S 1 PD27OCT17	2824.00	3277.50	USD	-11,337.50	
27JUL17	S 2 PD27OCT17	2832.00	3277.50	USD	-22,275.00	
27JUL17	B 1 PD27OCT17	2821.50	3277.50	USD	11,400.00	
27JUL17	B 5 PD27OCT17	2819.50	3277.50	USD	57,250.00	
27JUL17	B 1 PD27OCT17	2800.50	3277.50	USD	11,925.00	
27JUL17	B 1 PD27OCT17	2819.00	3277.50	USD	11,462.50	
27JUL17	B 1 PD27OCT17	2789.00	3277.50	USD	12,212.50	
27JUL17	B 1 PD27OCT17	2790.00	3277.50	USD	12,187.50	
27JUL17	B 2 PD27OCT17	2792.00	3277.50	USD	24,275.00	
27JUL17	B 1 PD27OCT17	2797.50	3277.50	USD	12,000.00	
27JUL17	S 1 PD27OCT17	2797.50	3277.50	USD	-12,000.00	
27JUL17	B 2 PD27OCT17	2795.00	3277.50	USD	24,125.00	
28JUL17	S 1 PD27OCT17	2786.00	3277.50	USD	-12,287.50	
28JUL17	S 1 PD27OCT17	2787.00	3277.50	USD	-12,262.50	
28JUL17	B 1 PD27OCT17	2776.50	3277.50	USD	12,525.00	
28JUL17	B 1 PD27OCT17	2782.00	3277.50	USD	12,387.50	
28JUL17	B 1 PD27OCT17	2784.00	3277.50	USD	12,337.50	
28JUL17	S 1 PD27OCT17	2781.50	3277.50	USD	-12,400.00	
28JUL17	B 1 PD27OCT17	2779.00	3277.50	USD	12,462.50	
28JUL17	B 1 PD27OCT17	2772.50	3277.50	USD	12,625.00	
28JUL17	B 1 PD27OCT17	2774.00	3277.50	USD	12,587.50	
28JUL17	B 5 PD27OCT17	2769.00	3277.50	USD	63,562.50	
28JUL17	B 2 PD27OCT17	2770.00	3277.50	USD	25,375.00	
28JUL17	B 6 PD27OCT17	2770.50	3277.50	USD	76,050.00	
28JUL17	S 1 PD27OCT17	2789.50	3277.50	USD	-12,200.00	
28JUL17	S 1 PD27OCT17	2791.00	3277.50	USD	-12,162.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0045G

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
28JUL17	S	2	PD27OCT17	2791.50	3277.50	USD	-24,300.00	
28JUL17	S	1	PD27OCT17	2799.00	3277.50	USD	-11,962.50	
28JUL17	S	1	PD27OCT17	2789.00	3277.50	USD	-12,212.50	
28JUL17	S	3	PD27OCT17	2792.00	3277.50	USD	-36,412.50	
28JUL17	S	1	PD27OCT17	2793.50	3277.50	USD	-12,100.00	
28JUL17	S	1	PD27OCT17	2794.00	3277.50	USD	-12,087.50	
28JUL17	S	5	PD27OCT17	2775.80	3277.50	USD	-62,712.50	
28JUL17	B	1	PD27OCT17	2780.00	3277.50	USD	12,437.50	
28JUL17	B	1	PD27OCT17	2781.50	3277.50	USD	12,400.00	
28JUL17	B	1	PD27OCT17	2783.00	3277.50	USD	12,362.50	
28JUL17	B	2	PD27OCT17	2784.50	3277.50	USD	24,650.00	
31JUL17	S	11	PD27OCT17	2793.80	3277.50	USD	-133,017.50	
	NET	**0**	**AVG BGHT 2787.56**	**SOLD 2796.86**			**9,295.00**	**0.00**
31JUL17	B	1	PD31OCT17	2804.00	3275.50	USD	11,787.50	
31JUL17	B	1	PD31OCT17	2815.50	3275.50	USD	11,500.00	
31JUL17	S	1	PD31OCT17	2815.00	3275.50	USD	-11,512.50	
31JUL17	S	1	PD31OCT17	2816.50	3275.50	USD	-11,475.00	
31JUL17	S	1	PD31OCT17	2817.00	3275.50	USD	-11,462.50	
31JUL17	S	1	PD31OCT17	2818.50	3275.50	USD	-11,425.00	
31JUL17	S	1	PD31OCT17	2820.00	3275.50	USD	-11,387.50	
31JUL17	S	1	PD31OCT17	2821.00	3275.50	USD	-11,362.50	
31JUL17	B	1	PD31OCT17	2806.00	3275.50	USD	11,737.50	
31JUL17	B	2	PD31OCT17	2809.00	3275.50	USD	23,325.00	
31JUL17	B	1	PD31OCT17	2800.00	3275.50	USD	11,887.50	
31JUL17	B	1	PD31OCT17	2802.00	3275.50	USD	11,837.50	
31JUL17	B	1	PD31OCT17	2798.00	3275.50	USD	11,937.50	
31JUL17	B	1	PD31OCT17	2803.00	3275.50	USD	11,812.50	
31JUL17	S	1	PD31OCT17	2805.00	3275.50	USD	-11,762.50	
31JUL17	S	1	PD31OCT17	2810.50	3275.50	USD	-11,625.00	
31JUL17	B	1	PD31OCT17	2807.50	3275.50	USD	11,700.00	
31JUL17	S	1	PD31OCT17	2804.00	3275.50	USD	-11,787.50	
31JUL17	S	1	PD31OCT17	2806.50	3275.50	USD	-11,725.00	
31JUL17	S	2	PD31OCT17	2807.50	3275.50	USD	-23,400.00	
08AUG17	B	2	PD31OCT17	2924.00	3275.50	USD	17,575.00	
	NET	**0**	**AVG BGHT 2825.17**	**SOLD 2812.42**			**-3,825.00**	**0.00**
01AUG17	B	1	PD01NOV17	2771.50	3274.50	USD	12,575.00	
01AUG17	B	2	PD01NOV17	2772.00	3274.50	USD	25,125.00	
01AUG17	B	1	PD01NOV17	2769.50	3274.50	USD	12,625.00	
08AUG17	S	4	PD01NOV17	2923.97	3274.50	USD	-35,053.00	
	NET	**0**	**AVG BGHT 2771.25**	**SOLD 2923.97**			**15,272.00**	**0.00**
02AUG17	B	1	PD02NOV17	2764.00	3273.50	USD	12,737.50	
02AUG17	B	1	PD02NOV17	2768.50	3273.50	USD	12,625.00	
02AUG17	B	1	PD02NOV17	2769.00	3273.50	USD	12,612.50	
08AUG17	S	1	PD02NOV17	2924.33	3273.50	USD	-8,729.25	
08AUG17	S	2	PD02NOV17	2924.13	3273.50	USD	-17,468.50	
29SEP17	S	12	PD02NOV17	3170.05	3273.50	USD	-31,035.00	
29SEP17	S	1	PD02NOV17	3170.25	3273.50	USD	-2,581.25	
03OCT17	S	19	PD02NOV17	3273.50	3273.50	USD	0.00	
	NET	**-32**	**AVG BGHT 2767.17**	**SOLD 3205.14**			**-21,839.00**	**0.00**
03AUG17	S	2	PD03NOV17	2829.50	3272.00	USD	-22,125.00	
03AUG17	S	2	PD03NOV17	2820.00	3272.00	USD	-22,600.00	
03AUG17	S	1	PD03NOV17	2820.50	3272.00	USD	-11,287.50	
03AUG17	S	1	PD03NOV17	2823.00	3272.00	USD	-11,225.00	
03AUG17	S	1	PD03NOV17	2823.50	3272.00	USD	-11,212.50	
03AUG17	S	1	PD03NOV17	2805.00	3272.00	USD	-11,675.00	
03AUG17	B	1	PD03NOV17	2792.00	3272.00	USD	12,000.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0045G

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
03AUG17	B	1	PD03NOV17	2792.50	3272.00	USD	11,987.50	
03AUG17	B	1	PD03NOV17	2793.50	3272.00	USD	11,962.50	
03AUG17	S	1	PD03NOV17	2792.50	3272.00	USD	-11,987.50	
03AUG17	S	1	PD03NOV17	2793.00	3272.00	USD	-11,975.00	
03AUG17	S	2	PD03NOV17	2793.50	3272.00	USD	-23,925.00	
04AUG17	S	1	PD03NOV17	2806.00	3272.00	USD	-11,650.00	
04AUG17	S	1	PD03NOV17	2816.00	3272.00	USD	-11,400.00	
04AUG17	S	1	PD03NOV17	2830.50	3272.00	USD	-11,037.50	
04AUG17	S	1	PD03NOV17	2832.50	3272.00	USD	-10,987.50	
04AUG17	S	2	PD03NOV17	2833.50	3272.00	USD	-21,925.00	
04AUG17	S	2	PD03NOV17	2827.00	3272.00	USD	-22,250.00	
04AUG17	S	1	PD03NOV17	2828.00	3272.00	USD	-11,100.00	
08AUG17	B	18	PD03NOV17	2924.70	3272.00	USD	156,285.00	
	NET	**0**	**AVG BGHT 2905.84**	**SOLD 2817.98**			**-46,127.50**	**0.00**
07AUG17	S	1	PD07NOV17	2833.00	3272.00	USD	-10,975.00	
07AUG17	S	4	PD07NOV17	2832.00	3272.00	USD	-44,000.00	
07AUG17	S	1	PD07NOV17	2833.50	3272.00	USD	-10,962.50	
07AUG17	S	1	PD07NOV17	2871.50	3272.00	USD	-10,012.50	
07AUG17	S	1	PD07NOV17	2873.50	3272.00	USD	-9,962.50	
07AUG17	S	4	PD07NOV17	2873.00	3272.00	USD	-39,900.00	
07AUG17	S	3	PD07NOV17	2874.00	3272.00	USD	-29,850.00	
07AUG17	S	2	PD07NOV17	2874.50	3272.00	USD	-19,875.00	
07AUG17	S	4	PD07NOV17	2875.00	3272.00	USD	-39,700.00	
07AUG17	S	2	PD07NOV17	2882.00	3272.00	USD	-19,500.00	
07AUG17	S	1	PD07NOV17	2883.50	3272.00	USD	-9,712.50	
07AUG17	S	3	PD07NOV17	2831.00	3272.00	USD	-33,075.00	
07AUG17	S	4	PD07NOV17	2877.50	3272.00	USD	-39,450.00	
07AUG17	S	3	PD07NOV17	2884.50	3272.00	USD	-29,062.50	
07AUG17	S	4	PD07NOV17	2885.50	3272.00	USD	-38,650.00	
07AUG17	S	2	PD07NOV17	2887.50	3272.00	USD	-19,225.00	
07AUG17	S	2	PD07NOV17	2888.00	3272.00	USD	-19,200.00	
08AUG17	B	23	PD07NOV17	2925.10	3272.00	USD	199,467.50	
09AUG17	B	19	PD07NOV17	2931.31	3272.00	USD	161,827.75	
	NET	**0**	**AVG BGHT 2927.91**	**SOLD 2869.04**			**-61,817.25**	**0.00**
08AUG17	S	1	PD08NOV17	2871.50	3272.00	USD	-10,012.50	
08AUG17	S	1	PD08NOV17	2873.00	3272.00	USD	-9,975.00	
08AUG17	S	1	PD08NOV17	2875.50	3272.00	USD	-9,912.50	
08AUG17	S	1	PD08NOV17	2882.00	3272.00	USD	-9,750.00	
08AUG17	S	1	PD08NOV17	2887.00	3272.00	USD	-9,625.00	
08AUG17	S	1	PD08NOV17	2887.50	3272.00	USD	-9,612.50	
08AUG17	B	1	PD08NOV17	2882.00	3272.00	USD	9,750.00	
08AUG17	S	2	PD08NOV17	2880.00	3272.00	USD	-19,600.00	
08AUG17	S	1	PD08NOV17	2881.00	3272.00	USD	-9,775.00	
09AUG17	B	8	PD08NOV17	2931.51	3272.00	USD	68,098.00	
	NET	**0**	**AVG BGHT 2926.01**	**SOLD 2879.72**			**-10,414.50**	**0.00**
09AUG17	S	1	PD09NOV17	2965.00	3272.00	USD	-7,675.00	
09AUG17	S	1	PD09NOV17	2967.50	3272.00	USD	-7,612.50	
09AUG17	S	1	PD09NOV17	2969.00	3272.00	USD	-7,575.00	
09AUG17	S	3	PD09NOV17	2978.50	3272.00	USD	-22,012.50	
09AUG17	S	2	PD09NOV17	2979.00	3272.00	USD	-14,650.00	
09AUG17	S	5	PD09NOV17	2979.50	3272.00	USD	-36,562.50	
09AUG17	S	1	PD09NOV17	2980.50	3272.00	USD	-7,287.50	
09AUG17	S	1	PD09NOV17	2983.00	3272.00	USD	-7,225.00	
09AUG17	B	15	PD09NOV17	2931.70	3272.00	USD	127,612.50	
	NET	**0**	**AVG BGHT 2931.70**	**SOLD 2977.07**			**17,012.50**	**0.00**
10AUG17	B	1	PD10NOV17	2914.50	3272.00	USD	8,937.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0045G

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
10AUG17	B	2	PD10NOV17	2917.50	3272.00	USD	17,725.00	
10AUG17	B	2	PD10NOV17	2939.50	3272.00	USD	16,625.00	
10AUG17	S	2	PD10NOV17	2939.00	3272.00	USD	-16,650.00	
11AUG17	B	2	PD10NOV17	2889.00	3272.00	USD	19,150.00	
11AUG17	B	2	PD10NOV17	2891.50	3272.00	USD	19,025.00	
11AUG17	B	2	PD10NOV17	2893.00	3272.00	USD	18,950.00	
11AUG17	B	3	PD10NOV17	2898.50	3272.00	USD	28,012.50	
11AUG17	B	3	PD10NOV17	2896.00	3272.00	USD	28,200.00	
11AUG17	B	5	PD10NOV17	2896.50	3272.00	USD	46,937.50	
11AUG17	S	2	PD10NOV17	2920.00	3272.00	USD	-17,600.00	
11AUG17	S	1	PD10NOV17	2920.50	3272.00	USD	-8,787.50	
11AUG17	S	2	PD10NOV17	2922.50	3272.00	USD	-17,475.00	
11AUG17	S	1	PD10NOV17	2925.50	3272.00	USD	-8,662.50	
11AUG17	S	1	PD10NOV17	2924.50	3272.00	USD	-8,687.50	
11AUG17	B	2	PD10NOV17	2908.00	3272.00	USD	18,200.00	
11AUG17	B	2	PD10NOV17	2904.50	3272.00	USD	18,375.00	
11AUG17	B	1	PD10NOV17	2894.00	3272.00	USD	9,450.00	
11AUG17	B	1	PD10NOV17	2895.00	3272.00	USD	9,425.00	
11AUG17	S	2	PD10NOV17	2906.00	3272.00	USD	-18,300.00	
18AUG17	S	17	PD10NOV17	3125.83	3272.00	USD	-62,122.25	
	NET	**0**	**AVG BGHT 2901.98**	**SOLD 3045.88**			**100,727.75**	**0.00**
14AUG17	S	1	PD14NOV17	2911.00	3270.33	USD	-8,983.25	
14AUG17	S	2	PD14NOV17	2910.50	3270.33	USD	-17,991.50	
14AUG17	S	2	PD14NOV17	2914.00	3270.33	USD	-17,816.50	
14AUG17	B	2	PD14NOV17	2900.50	3270.33	USD	18,491.50	
14AUG17	S	1	PD14NOV17	2900.00	3270.33	USD	-9,258.25	
14AUG17	S	1	PD14NOV17	2915.00	3270.33	USD	-8,883.25	
18AUG17	B	5	PD14NOV17	3126.57	3270.33	USD	17,970.00	
	NET	**0**	**AVG BGHT 3061.98**	**SOLD 2910.71**			**-26,471.25**	**0.00**
16AUG17	S	4	PD16NOV17	2982.00	3267.50	USD	-28,550.00	
16AUG17	S	2	PD16NOV17	2984.00	3267.50	USD	-14,175.00	
16AUG17	S	2	PD16NOV17	3005.00	3267.50	USD	-13,125.00	
16AUG17	S	1	PD16NOV17	3007.00	3267.50	USD	-6,512.50	
16AUG17	S	1	PD16NOV17	3007.50	3267.50	USD	-6,500.00	
16AUG17	S	1	PD16NOV17	3013.00	3267.50	USD	-6,362.50	
16AUG17	S	1	PD16NOV17	3013.50	3267.50	USD	-6,350.00	
16AUG17	B	1	PD16NOV17	3016.00	3267.50	USD	6,287.50	
16AUG17	S	1	PD16NOV17	3015.00	3267.50	USD	-6,312.50	
16AUG17	B	1	PD16NOV17	3113.50	3267.50	USD	3,850.00	
16AUG17	S	1	PD16NOV17	3113.00	3267.50	USD	-3,862.50	
18AUG17	B	12	PD16NOV17	3124.70	3267.50	USD	42,840.00	
	NET	**0**	**AVG BGHT 3116.14**	**SOLD 3005.36**			**-38,772.50**	**0.00**
17AUG17	B	1	PD17NOV17	3093.50	3265.50	USD	4,300.00	
17AUG17	S	1	PD17NOV17	3083.00	3265.50	USD	-4,562.50	
	NET	**0**	**AVG BGHT 3093.50**	**SOLD 3083.00**			**-262.50**	**0.00**
23AUG17	B	1	PD24NOV17	3092.00	3264.71	USD	4,317.75	
24AUG17	S	1	PD24NOV17	3105.00	3264.71	USD	-3,992.75	
24AUG17	B	4	PD24NOV17	3108.00	3264.71	USD	15,671.00	
24AUG17	B	2	PD24NOV17	3108.50	3264.71	USD	7,810.50	
24AUG17	B	2	PD24NOV17	3110.00	3264.71	USD	7,735.50	
24AUG17	B	2	PD24NOV17	3111.50	3264.71	USD	7,660.50	
24AUG17	B	3	PD24NOV17	3112.00	3264.71	USD	11,453.25	
24AUG17	B	1	PD24NOV17	3111.00	3264.71	USD	3,842.75	
25AUG17	S	1	PD24NOV17	3129.00	3264.71	USD	-3,392.75	
25AUG17	S	3	PD24NOV17	3125.50	3264.71	USD	-10,440.75	
25AUG17	S	1	PD24NOV17	3126.00	3264.71	USD	-3,467.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0045G

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
25AUG17	S	1	PD24NOV17	3127.00	3264.71	USD	-3,442.75	
25AUG17	S	3	PD24NOV17	3129.50	3264.71	USD	-10,140.75	
25AUG17	S	4	PD24NOV17	3130.00	3264.71	USD	-13,471.00	
25AUG17	S	1	PD24NOV17	3130.50	3264.71	USD	-3,355.25	
25AUG17	B	1	PD24NOV17	3087.00	3264.71	USD	4,442.75	
25AUG17	B	2	PD24NOV17	3091.50	3264.71	USD	8,660.50	
25AUG17	S	1	PD24NOV17	3087.00	3264.71	USD	-4,442.75	
25AUG17	S	2	PD24NOV17	3087.50	3264.71	USD	-8,860.50	
25AUG17	B	1	PD24NOV17	3069.00	3264.71	USD	4,892.75	
25AUG17	B	1	PD24NOV17	3069.50	3264.71	USD	4,880.25	
25AUG17	B	1	PD24NOV17	3075.50	3264.71	USD	4,730.25	
25AUG17	B	1	PD24NOV17	3076.00	3264.71	USD	4,717.75	
25AUG17	B	1	PD24NOV17	3076.50	3264.71	USD	4,705.25	
25AUG17	B	1	PD24NOV17	3077.00	3264.71	USD	4,692.75	
25AUG17	B	1	PD24NOV17	3054.00	3264.71	USD	5,267.75	
25AUG17	B	1	PD24NOV17	3055.50	3264.71	USD	5,230.25	
25AUG17	B	1	PD24NOV17	3063.50	3264.71	USD	5,030.25	
25AUG17	B	1	PD24NOV17	3065.50	3264.71	USD	4,980.25	
04SEP17	S	10	PD24NOV17	3203.75	3264.71	USD	-15,240.00	
	NET	**0**	**AVG BGHT 3092.25**	**SOLD 3150.07**			**40,475.00**	**0.00**
29AUG17	B	1	PD29NOV17	3111.00	3265.25	USD	3,856.25	
29AUG17	B	1	PD29NOV17	3106.50	3265.25	USD	3,968.75	
29AUG17	B	1	PD29NOV17	3112.00	3265.25	USD	3,831.25	
29AUG17	B	4	PD29NOV17	3109.00	3265.25	USD	15,625.00	
29AUG17	B	1	PD29NOV17	3114.50	3265.25	USD	3,768.75	
29AUG17	B	5	PD29NOV17	3120.00	3265.25	USD	18,156.25	
29AUG17	B	3	PD29NOV17	3085.00	3265.25	USD	13,518.75	
29AUG17	B	10	PD29NOV17	3089.00	3265.25	USD	44,062.50	
29AUG17	B	15	PD29NOV17	3090.00	3265.25	USD	65,718.75	
29AUG17	B	5	PD29NOV17	3092.50	3265.25	USD	21,593.75	
29AUG17	B	3	PD29NOV17	3108.50	3265.25	USD	11,756.25	
29AUG17	B	3	PD29NOV17	3109.50	3265.25	USD	11,681.25	
29AUG17	B	1	PD29NOV17	3113.50	3265.25	USD	3,793.75	
29AUG17	S	2	PD29NOV17	3111.50	3265.25	USD	-7,687.50	
29AUG17	B	5	PD29NOV17	3124.00	3265.25	USD	17,656.25	
29AUG17	S	30	PD29NOV17	3109.80	3265.25	USD	-116,587.50	
29AUG17	B	4	PD29NOV17	3110.50	3265.25	USD	15,475.00	
29AUG17	B	2	PD29NOV17	3113.00	3265.25	USD	7,612.50	
04SEP17	S	32	PD29NOV17	3204.38	3265.25	USD	-48,696.00	
	NET	**0**	**AVG BGHT 3101.45**	**SOLD 3157.14**			**89,104.00**	**0.00**
30AUG17	S	3	PD30NOV17	3126.00	3265.36	USD	-10,452.00	
30AUG17	S	2	PD30NOV17	3126.50	3265.36	USD	-6,943.00	
30AUG17	S	1	PD30NOV17	3127.00	3265.36	USD	-3,459.00	
30AUG17	B	1	PD30NOV17	3150.00	3265.36	USD	2,884.00	
30AUG17	B	1	PD30NOV17	3151.00	3265.36	USD	2,859.00	
30AUG17	B	1	PD30NOV17	3151.50	3265.36	USD	2,846.50	
30AUG17	S	1	PD30NOV17	3137.00	3265.36	USD	-3,209.00	
30AUG17	S	2	PD30NOV17	3137.50	3265.36	USD	-6,393.00	
30AUG17	S	1	PD30NOV17	3143.50	3265.36	USD	-3,046.50	
30AUG17	S	2	PD30NOV17	3144.50	3265.36	USD	-6,043.00	
30AUG17	S	5	PD30NOV17	3145.00	3265.36	USD	-15,045.00	
30AUG17	S	1	PD30NOV17	3146.00	3265.36	USD	-2,984.00	
30AUG17	S	6	PD30NOV17	3146.50	3265.36	USD	-17,829.00	
30AUG17	S	1	PD30NOV17	3147.50	3265.36	USD	-2,946.50	
30AUG17	S	5	PD30NOV17	3148.00	3265.36	USD	-14,670.00	
30AUG17	S	3	PD30NOV17	3148.50	3265.36	USD	-8,764.50	
30AUG17	S	2	PD30NOV17	3149.00	3265.36	USD	-5,818.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0045G

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
30AUG17	S 2 PD30NOV17	3149.50	3265.36	USD	-5,793.00	
30AUG17	S 3 PD30NOV17	3150.00	3265.36	USD	-8,652.00	
30AUG17	S 3 PD30NOV17	3151.00	3265.36	USD	-8,577.00	
30AUG17	S 3 PD30NOV17	3151.50	3265.36	USD	-8,539.50	
30AUG17	B 1 PD30NOV17	3138.50	3265.36	USD	3,171.50	
30AUG17	B 1 PD30NOV17	3139.00	3265.36	USD	3,159.00	
30AUG17	B 1 PD30NOV17	3131.00	3265.36	USD	3,359.00	
30AUG17	B 2 PD30NOV17	3128.50	3265.36	USD	6,843.00	
30AUG17	B 1 PD30NOV17	3129.00	3265.36	USD	3,409.00	
30AUG17	B 2 PD30NOV17	3124.00	3265.36	USD	7,068.00	
30AUG17	B 1 PD30NOV17	3124.50	3265.36	USD	3,521.50	
30AUG17	B 1 PD30NOV17	3128.00	3265.36	USD	3,434.00	
30AUG17	B 2 PD30NOV17	3127.50	3265.36	USD	6,893.00	
30AUG17	B 1 PD30NOV17	3118.00	3265.36	USD	3,684.00	
30AUG17	B 1 PD30NOV17	3119.50	3265.36	USD	3,646.50	
30AUG17	B 2 PD30NOV17	3123.00	3265.36	USD	7,118.00	
30AUG17	S 5 PD30NOV17	3147.00	3265.36	USD	-14,795.00	
30AUG17	B 1 PD30NOV17	3114.50	3265.36	USD	3,771.50	
30AUG17	B 1 PD30NOV17	3115.00	3265.36	USD	3,759.00	
30AUG17	B 2 PD30NOV17	3119.00	3265.36	USD	7,318.00	
30AUG17	B 1 PD30NOV17	3103.50	3265.36	USD	4,046.50	
30AUG17	B 2 PD30NOV17	3106.00	3265.36	USD	7,968.00	
30AUG17	B 2 PD30NOV17	3106.50	3265.36	USD	7,943.00	
30AUG17	B 2 PD30NOV17	3101.00	3265.36	USD	8,218.00	
30AUG17	S 4 PD30NOV17	3112.50	3265.36	USD	-15,286.00	
30AUG17	S 2 PD30NOV17	3114.00	3265.36	USD	-7,568.00	
30AUG17	S 3 PD30NOV17	3110.50	3265.36	USD	-11,614.50	
30AUG17	S 2 PD30NOV17	3110.00	3265.36	USD	-7,768.00	
30AUG17	S 2 PD30NOV17	3111.50	3265.36	USD	-7,693.00	
30AUG17	S 1 PD30NOV17	3116.00	3265.36	USD	-3,734.00	
30AUG17	S 1 PD30NOV17	3117.00	3265.36	USD	-3,709.00	
30AUG17	S 1 PD30NOV17	3121.00	3265.36	USD	-3,609.00	
30AUG17	S 3 PD30NOV17	3108.00	3265.36	USD	-11,802.00	
30AUG17	B 2 PD30NOV17	3097.00	3265.36	USD	8,418.00	
30AUG17	B 2 PD30NOV17	3098.00	3265.36	USD	8,368.00	
30AUG17	B 2 PD30NOV17	3098.50	3265.36	USD	8,343.00	
30AUG17	B 2 PD30NOV17	3099.50	3265.36	USD	8,293.00	
30AUG17	B 2 PD30NOV17	3096.00	3265.36	USD	8,468.00	
30AUG17	B 2 PD30NOV17	3096.50	3265.36	USD	8,443.00	
30AUG17	S 1 PD30NOV17	3097.00	3265.36	USD	-4,209.00	
30AUG17	S 1 PD30NOV17	3090.00	3265.36	USD	-4,384.00	
30AUG17	S 1 PD30NOV17	3092.00	3265.36	USD	-4,334.00	
30AUG17	S 1 PD30NOV17	3092.50	3265.36	USD	-4,321.50	
30AUG17	S 1 PD30NOV17	3095.00	3265.36	USD	-4,259.00	
30AUG17	S 3 PD30NOV17	3094.00	3265.36	USD	-12,852.00	
31AUG17	S 4 PD30NOV17	3109.00	3265.36	USD	-15,636.00	
31AUG17	S 1 PD30NOV17	3105.50	3265.36	USD	-3,996.50	
31AUG17	S 1 PD30NOV17	3118.00	3265.36	USD	-3,684.00	
31AUG17	S 2 PD30NOV17	3133.50	3265.36	USD	-6,593.00	
31AUG17	S 4 PD30NOV17	3135.50	3265.36	USD	-12,986.00	
31AUG17	S 2 PD30NOV17	3136.00	3265.36	USD	-6,468.00	
31AUG17	B 1 PD30NOV17	3133.50	3265.36	USD	3,296.50	
31AUG17	S 2 PD30NOV17	3124.00	3265.36	USD	-7,068.00	
31AUG17	S 1 PD30NOV17	3127.50	3265.36	USD	-3,446.50	
31AUG17	S 3 PD30NOV17	3125.50	3265.36	USD	-10,489.50	
31AUG17	S 2 PD30NOV17	3125.00	3265.36	USD	-7,018.00	
31AUG17	S 5 PD30NOV17	3126.00	3265.36	USD	-17,420.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0045G

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
31AUG17	S	2	PD30NOV17	3124.50	3265.36	USD	-7,043.00	
31AUG17	B	1	PD30NOV17	3126.50	3265.36	USD	3,471.50	
31AUG17	B	1	PD30NOV17	3134.00	3265.36	USD	3,284.00	
31AUG17	S	3	PD30NOV17	3126.50	3265.36	USD	-10,414.50	
01SEP17	B	21	PD30NOV17	3185.06	3265.36	USD	42,157.50	
04SEP17	B	44	PD30NOV17	3204.70	3265.36	USD	66,726.00	
	NET	**0**	**AVG BGHT 3164.93**	**SOLD 3129.59**			**-97,176.50**	**0.00**
01SEP17	S	1	PD01DEC17	3152.00	3265.46	USD	-2,836.50	
01SEP17	S	1	PD01DEC17	3155.00	3265.46	USD	-2,761.50	
01SEP17	S	3	PD01DEC17	3156.00	3265.46	USD	-8,209.50	
01SEP17	S	6	PD01DEC17	3157.50	3265.46	USD	-16,194.00	
01SEP17	S	6	PD01DEC17	3170.00	3265.46	USD	-14,319.00	
01SEP17	S	4	PD01DEC17	3156.50	3265.46	USD	-10,896.00	
01SEP17	S	2	PD01DEC17	3172.50	3265.46	USD	-4,648.00	
01SEP17	S	2	PD01DEC17	3181.00	3265.46	USD	-4,223.00	
01SEP17	S	2	PD01DEC17	3183.00	3265.46	USD	-4,123.00	
01SEP17	S	1	PD01DEC17	3182.00	3265.46	USD	-2,086.50	
01SEP17	S	1	PD01DEC17	3183.50	3265.46	USD	-2,049.00	
04SEP17	B	29	PD01DEC17	3204.83	3265.46	USD	43,956.75	
	NET	**0**	**AVG BGHT 3204.83**	**SOLD 3165.67**			**-28,389.25**	**0.00**
04SEP17	S	1	PD04DEC17	3199.00	3265.79	USD	-1,669.75	
04SEP17	S	1	PD04DEC17	3195.50	3265.79	USD	-1,757.25	
04SEP17	S	2	PD04DEC17	3196.00	3265.79	USD	-3,489.50	
04SEP17	S	2	PD04DEC17	3194.00	3265.79	USD	-3,589.50	
04SEP17	S	2	PD04DEC17	3195.00	3265.79	USD	-3,539.50	
12SEP17	B	8	PD04DEC17	3059.31	3265.79	USD	41,296.00	
	NET	**0**	**AVG BGHT 3059.31**	**SOLD 3195.56**			**27,250.50**	**0.00**
05SEP17	B	3	PD05DEC17	3189.00	3265.89	USD	5,766.75	
05SEP17	B	2	PD05DEC17	3189.50	3265.89	USD	3,819.50	
05SEP17	B	1	PD05DEC17	3151.50	3265.89	USD	2,859.75	
05SEP17	B	1	PD05DEC17	3158.00	3265.89	USD	2,697.25	
05SEP17	B	1	PD05DEC17	3150.50	3265.89	USD	2,884.75	
12SEP17	S	8	PD05DEC17	3059.32	3265.89	USD	-41,314.00	
	NET	**0**	**AVG BGHT 3175.75**	**SOLD 3059.32**			**-23,286.00**	**0.00**
06SEP17	B	1	PD06DEC17	3069.50	3266.00	USD	4,912.50	
06SEP17	B	1	PD06DEC17	3102.50	3266.00	USD	4,087.50	
06SEP17	B	3	PD06DEC17	3106.00	3266.00	USD	12,000.00	
06SEP17	B	1	PD06DEC17	3106.50	3266.00	USD	3,987.50	
06SEP17	B	2	PD06DEC17	3103.50	3266.00	USD	8,125.00	
06SEP17	B	1	PD06DEC17	3109.00	3266.00	USD	3,925.00	
06SEP17	B	1	PD06DEC17	3107.00	3266.00	USD	3,975.00	
12SEP17	S	10	PD06DEC17	3059.73	3266.00	USD	-51,567.50	
	NET	**0**	**AVG BGHT 3101.95**	**SOLD 3059.73**			**-10,555.00**	**0.00**
07SEP17	B	1	PD07DEC17	3104.50	3266.11	USD	4,040.25	
07SEP17	S	1	PD07DEC17	3101.50	3266.11	USD	-4,115.25	
07SEP17	S	3	PD07DEC17	3102.50	3266.11	USD	-12,270.75	
07SEP17	S	3	PD07DEC17	3103.00	3266.11	USD	-12,233.25	
07SEP17	S	2	PD07DEC17	3103.50	3266.11	USD	-8,130.50	
07SEP17	S	2	PD07DEC17	3104.00	3266.11	USD	-8,105.50	
07SEP17	B	1	PD07DEC17	3077.50	3266.11	USD	4,715.25	
07SEP17	B	2	PD07DEC17	3082.50	3266.11	USD	9,180.50	
07SEP17	B	1	PD07DEC17	3083.00	3266.11	USD	4,577.75	
07SEP17	S	2	PD07DEC17	3089.50	3266.11	USD	-8,830.50	
07SEP17	S	1	PD07DEC17	3089.00	3266.11	USD	-4,427.75	
07SEP17	S	1	PD07DEC17	3090.00	3266.11	USD	-4,402.75	
12SEP17	B	10	PD07DEC17	3060.14	3266.11	USD	51,492.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0045G

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
	NET	**0 AVG BGHT 3068.76**	**SOLD 3099.40**				**11,490.00**	**0.00**
08SEP17	B	1 PD08DEC17		3095.50	3266.21	USD	4,267.75	
08SEP17	B	1 PD08DEC17		3097.00	3266.21	USD	4,230.25	
08SEP17	B	1 PD08DEC17		3093.50	3266.21	USD	4,317.75	
08SEP17	B	2 PD08DEC17		3094.00	3266.21	USD	8,610.50	
08SEP17	B	1 PD08DEC17		3094.50	3266.21	USD	4,292.75	
08SEP17	B	1 PD08DEC17		3085.50	3266.21	USD	4,517.75	
08SEP17	B	1 PD08DEC17		3086.50	3266.21	USD	4,492.75	
08SEP17	B	1 PD08DEC17		3087.00	3266.21	USD	4,480.25	
08SEP17	B	1 PD08DEC17		3091.50	3266.21	USD	4,367.75	
08SEP17	B	1 PD08DEC17		3084.00	3266.21	USD	4,555.25	
08SEP17	B	1 PD08DEC17		3084.50	3266.21	USD	4,542.75	
08SEP17	B	2 PD08DEC17		3077.50	3266.21	USD	9,435.50	
08SEP17	B	1 PD08DEC17		3092.00	3266.21	USD	4,355.25	
12SEP17	S	5 PD08DEC17		3060.15	3266.21	USD	-25,757.50	
27SEP17	S	10 PD08DEC17		3108.27	3266.21	USD	-39,485.00	
	NET	**0 AVG BGHT 3088.97**	**SOLD 3092.23**				**1,223.75**	**0.00**
11SEP17	S	1 PD11DEC17		3054.50	3266.54	USD	-5,301.00	
11SEP17	S	1 PD11DEC17		3055.00	3266.54	USD	-5,288.50	
11SEP17	B	1 PD11DEC17		3058.00	3266.54	USD	5,213.50	
11SEP17	S	1 PD11DEC17		3054.00	3266.54	USD	-5,313.50	
11SEP17	S	1 PD11DEC17		3055.50	3266.54	USD	-5,276.00	
11SEP17	S	2 PD11DEC17		3056.50	3266.54	USD	-10,502.00	
12SEP17	B	5 PD11DEC17		3060.99	3266.54	USD	25,693.75	
	NET	**0 AVG BGHT 3060.49**	**SOLD 3055.33**				**-773.75**	**0.00**
12SEP17	B	1 PD12DEC17		3060.50	3266.64	USD	5,153.50	
12SEP17	B	1 PD12DEC17		3061.00	3266.64	USD	5,141.00	
27SEP17	S	2 PD12DEC17		3108.52	3266.64	USD	-7,906.00	
	NET	**0 AVG BGHT 3060.75**	**SOLD 3108.52**				**2,388.50**	**0.00**
13SEP17	S	1 PD13DEC17		3055.00	3266.75	USD	-5,293.75	
13SEP17	S	1 PD13DEC17		3059.00	3266.75	USD	-5,193.75	
13SEP17	S	2 PD13DEC17		3057.50	3266.75	USD	-10,462.50	
13SEP17	B	1 PD13DEC17		3031.50	3266.75	USD	5,881.25	
13SEP17	B	3 PD13DEC17		3032.50	3266.75	USD	17,568.75	
13SEP17	B	1 PD13DEC17		3033.50	3266.75	USD	5,831.25	
13SEP17	B	1 PD13DEC17		3035.00	3266.75	USD	5,793.75	
13SEP17	B	1 PD13DEC17		3035.50	3266.75	USD	5,781.25	
13SEP17	B	1 PD13DEC17		3037.00	3266.75	USD	5,743.75	
13SEP17	B	2 PD13DEC17		3032.00	3266.75	USD	11,737.50	
13SEP17	B	1 PD13DEC17		3025.00	3266.75	USD	6,043.75	
13SEP17	S	2 PD13DEC17		3042.00	3266.75	USD	-11,237.50	
13SEP17	S	2 PD13DEC17		3042.50	3266.75	USD	-11,212.50	
27SEP17	S	3 PD13DEC17		3108.58	3266.75	USD	-11,862.75	
	NET	**0 AVG BGHT 3032.64**	**SOLD 3065.79**				**9,118.50**	**0.00**
14SEP17	S	1 PD14DEC17		3030.00	3266.86	USD	-5,921.50	
14SEP17	B	1 PD14DEC17		2990.00	3266.86	USD	6,921.50	
14SEP17	B	1 PD14DEC17		2979.50	3266.86	USD	7,184.00	
14SEP17	B	1 PD14DEC17		2980.00	3266.86	USD	7,171.50	
14SEP17	B	1 PD14DEC17		2983.50	3266.86	USD	7,084.00	
14SEP17	B	1 PD14DEC17		2985.00	3266.86	USD	7,046.50	
14SEP17	B	2 PD14DEC17		2986.00	3266.86	USD	14,043.00	
14SEP17	B	1 PD14DEC17		2986.50	3266.86	USD	7,009.00	
14SEP17	B	1 PD14DEC17		2987.50	3266.86	USD	6,984.00	
14SEP17	B	1 PD14DEC17		2988.00	3266.86	USD	6,971.50	
14SEP17	B	2 PD14DEC17		2990.50	3266.86	USD	13,818.00	
14SEP17	B	1 PD14DEC17		2989.00	3266.86	USD	6,946.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0045G

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
14SEP17	B 1 PD14DEC17	2989.50	3266.86	USD	6,934.00	
14SEP17	B 1 PD14DEC17	2992.00	3266.86	USD	6,871.50	
14SEP17	B 2 PD14DEC17	2998.00	3266.86	USD	13,443.00	
14SEP17	B 1 PD14DEC17	2998.50	3266.86	USD	6,709.00	
14SEP17	B 1 PD14DEC17	2999.50	3266.86	USD	6,684.00	
14SEP17	B 1 PD14DEC17	2991.00	3266.86	USD	6,896.50	
14SEP17	B 1 PD14DEC17	2991.50	3266.86	USD	6,884.00	
14SEP17	S 1 PD14DEC17	3014.00	3266.86	USD	-6,321.50	
14SEP17	S 1 PD14DEC17	3015.00	3266.86	USD	-6,296.50	
14SEP17	S 1 PD14DEC17	3017.00	3266.86	USD	-6,246.50	
27SEP17	S 17 PD14DEC17	3108.64	3266.86	USD	-67,243.50	
	NET 0 AVG BGHT 2989.52 SOLD 3091.57				**53,572.00**	**0.00**
15SEP17	S 1 PD15DEC17	3016.50	3266.96	USD	-6,261.50	
15SEP17	S 1 PD15DEC17	3014.00	3266.96	USD	-6,324.00	
15SEP17	S 1 PD15DEC17	3017.00	3266.96	USD	-6,249.00	
15SEP17	S 1 PD15DEC17	3018.50	3266.96	USD	-6,211.50	
15SEP17	S 1 PD15DEC17	3028.50	3266.96	USD	-5,961.50	
15SEP17	S 1 PD15DEC17	3029.50	3266.96	USD	-5,936.50	
15SEP17	S 1 PD15DEC17	3034.50	3266.96	USD	-5,811.50	
15SEP17	S 1 PD15DEC17	3045.00	3266.96	USD	-5,549.00	
15SEP17	S 1 PD15DEC17	3039.00	3266.96	USD	-5,699.00	
15SEP17	S 1 PD15DEC17	3035.50	3266.96	USD	-5,786.50	
15SEP17	S 1 PD15DEC17	3012.00	3266.96	USD	-6,374.00	
15SEP17	B 1 PD15DEC17	3026.50	3266.96	USD	6,011.50	
15SEP17	S 1 PD15DEC17	3024.00	3266.96	USD	-6,074.00	
15SEP17	S 2 PD15DEC17	3024.50	3266.96	USD	-12,123.00	
15SEP17	S 1 PD15DEC17	3025.00	3266.96	USD	-6,049.00	
15SEP17	S 1 PD15DEC17	3025.50	3266.96	USD	-6,036.50	
15SEP17	S 1 PD15DEC17	3026.00	3266.96	USD	-6,024.00	
15SEP17	S 1 PD15DEC17	3026.50	3266.96	USD	-6,011.50	
15SEP17	S 1 PD15DEC17	3027.00	3266.96	USD	-5,999.00	
15SEP17	S 3 PD15DEC17	3030.50	3266.96	USD	-17,734.50	
27SEP17	B 21 PD15DEC17	3108.90	3266.96	USD	82,981.50	
	NET 0 AVG BGHT 3105.15 SOLD 3026.57				**-43,222.50**	**0.00**
18SEP17	S 1 PD18DEC17	3064.00	3267.29	USD	-5,082.25	
18SEP17	S 2 PD18DEC17	3065.00	3267.29	USD	-10,114.50	
18SEP17	S 1 PD18DEC17	3067.00	3267.29	USD	-5,007.25	
18SEP17	S 1 PD18DEC17	3070.00	3267.29	USD	-4,932.25	
18SEP17	S 1 PD18DEC17	3072.50	3267.29	USD	-4,869.75	
18SEP17	S 1 PD18DEC17	3075.50	3267.29	USD	-4,794.75	
18SEP17	S 1 PD18DEC17	3076.50	3267.29	USD	-4,769.75	
18SEP17	S 1 PD18DEC17	3066.00	3267.29	USD	-5,032.25	
18SEP17	S 2 PD18DEC17	3066.50	3267.29	USD	-10,039.50	
18SEP17	S 1 PD18DEC17	3088.50	3267.29	USD	-4,469.75	
18SEP17	S 1 PD18DEC17	3089.00	3267.29	USD	-4,457.25	
18SEP17	B 1 PD18DEC17	3070.00	3267.29	USD	4,932.25	
18SEP17	B 1 PD18DEC17	3072.00	3267.29	USD	4,882.25	
18SEP17	S 1 PD18DEC17	3075.00	3267.29	USD	-4,807.25	
18SEP17	S 1 PD18DEC17	3077.50	3267.29	USD	-4,744.75	
18SEP17	S 2 PD18DEC17	3078.50	3267.29	USD	-9,439.50	
18SEP17	B 4 PD18DEC17	3096.50	3267.29	USD	17,079.00	
27SEP17	B 11 PD18DEC17	3109.08	3267.29	USD	43,507.75	
	NET 0 AVG BGHT 3101.64 SOLD 3073.03				**-12,159.50**	**0.00**
20SEP17	S 1 PD20DEC17	3146.50	3267.50	USD	-3,025.00	
20SEP17	S 1 PD20DEC17	3147.00	3267.50	USD	-3,012.50	
20SEP17	S 1 PD20DEC17	3148.50	3267.50	USD	-2,975.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0045G

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
20SEP17	S 1 PD20DEC17	3149.50	3267.50	USD	-2,950.00	
20SEP17	B 1 PD20DEC17	3136.00	3267.50	USD	3,287.50	
20SEP17	B 2 PD20DEC17	3136.50	3267.50	USD	6,550.00	
20SEP17	B 1 PD20DEC17	3138.00	3267.50	USD	3,237.50	
	NET 0 AVG BGHT 3136.75 SOLD 3147.88				**1,112.50**	**0.00**
21SEP17	B 1 PD21DEC17	3044.50	3264.00	USD	5,487.50	
21SEP17	B 1 PD21DEC17	3028.00	3264.00	USD	5,900.00	
21SEP17	B 2 PD21DEC17	3028.50	3264.00	USD	11,775.00	
27SEP17	S 4 PD21DEC17	3106.50	3264.00	USD	-15,750.00	
	NET 0 AVG BGHT 3032.38 SOLD 3106.50				**7,412.50**	**0.00**
22SEP17	S 1 PD22DEC17	3036.50	3264.00	USD	-5,687.50	
22SEP17	S 1 PD22DEC17	3036.00	3264.00	USD	-5,700.00	
22SEP17	S 2 PD22DEC17	3035.00	3264.00	USD	-11,450.00	
22SEP17	S 2 PD22DEC17	3026.50	3264.00	USD	-11,875.00	
22SEP17	S 1 PD22DEC17	3027.00	3264.00	USD	-5,925.00	
22SEP17	S 1 PD22DEC17	3024.50	3264.00	USD	-5,987.50	
22SEP17	B 2 PD22DEC17	3065.00	3264.00	USD	9,950.00	
22SEP17	B 2 PD22DEC17	3066.00	3264.00	USD	9,900.00	
27SEP17	B 4 PD22DEC17	3105.95	3264.00	USD	15,805.00	
	NET 0 AVG BGHT 3085.73 SOLD 3030.88				**-10,970.00**	**0.00**
25SEP17	S 1 PD27DEC17	3087.50	3264.00	USD	-4,412.50	
25SEP17	S 2 PD27DEC17	3089.50	3264.00	USD	-8,725.00	
25SEP17	S 1 PD27DEC17	3093.00	3264.00	USD	-4,275.00	
25SEP17	S 1 PD27DEC17	3094.00	3264.00	USD	-4,250.00	
25SEP17	S 2 PD27DEC17	3084.00	3264.00	USD	-9,000.00	
25SEP17	S 3 PD27DEC17	3085.00	3264.00	USD	-13,425.00	
25SEP17	S 1 PD27DEC17	3085.50	3264.00	USD	-4,462.50	
25SEP17	S 1 PD27DEC17	3086.00	3264.00	USD	-4,450.00	
25SEP17	S 2 PD27DEC17	3087.00	3264.00	USD	-8,850.00	
25SEP17	S 1 PD27DEC17	3082.50	3264.00	USD	-4,537.50	
25SEP17	S 1 PD27DEC17	3095.00	3264.00	USD	-4,225.00	
25SEP17	S 3 PD27DEC17	3105.00	3264.00	USD	-11,925.00	
25SEP17	S 1 PD27DEC17	3106.00	3264.00	USD	-3,950.00	
25SEP17	B 6 PD27DEC17	3111.39	3264.00	USD	22,891.50	
25SEP17	B 14 PD27DEC17	3096.70	3264.00	USD	58,555.00	
26SEP17	S 1 PD27DEC17	3126.50	3264.00	USD	-3,437.50	
26SEP17	S 1 PD27DEC17	3129.00	3264.00	USD	-3,375.00	
26SEP17	S 1 PD27DEC17	3132.00	3264.00	USD	-3,300.00	
26SEP17	S 1 PD27DEC17	3132.50	3264.00	USD	-3,287.50	
26SEP17	B 1 PD27DEC17	3129.00	3264.00	USD	3,375.00	
26SEP17	B 1 PD27DEC17	3129.50	3264.00	USD	3,362.50	
26SEP17	B 1 PD27DEC17	3130.00	3264.00	USD	3,350.00	
26SEP17	S 1 PD27DEC17	3128.00	3264.00	USD	-3,400.00	
26SEP17	S 2 PD27DEC17	3143.00	3264.00	USD	-6,050.00	
26SEP17	S 1 PD27DEC17	3144.50	3264.00	USD	-2,987.50	
26SEP17	S 1 PD27DEC17	3146.50	3264.00	USD	-2,937.50	
26SEP17	B 2 PD27DEC17	3138.00	3264.00	USD	6,300.00	
26SEP17	B 1 PD27DEC17	3138.50	3264.00	USD	3,137.50	
26SEP17	B 1 PD27DEC17	3142.00	3264.00	USD	3,050.00	
26SEP17	B 1 PD27DEC17	3144.50	3264.00	USD	2,987.50	
26SEP17	B 1 PD27DEC17	3140.00	3264.00	USD	3,100.00	
26SEP17	B 1 PD27DEC17	3117.00	3264.00	USD	3,675.00	
26SEP17	S 1 PD27DEC17	3109.50	3264.00	USD	-3,862.50	
26SEP17	B 1 PD27DEC17	3107.00	3264.00	USD	3,925.00	
26SEP17	B 1 PD27DEC17	3105.50	3264.00	USD	3,962.50	
26SEP17	S 1 PD27DEC17	3130.50	3264.00	USD	-3,337.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0045G

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
26SEP17	S 1 PD27DEC17	3131.50	3264.00	USD	-3,312.50	
27SEP17	B 1 PD27DEC17	3137.00	3264.00	USD	3,175.00	
27SEP17	S 2 PD27DEC17	3143.50	3264.00	USD	-6,025.00	
27SEP17	S 1 PD27DEC17	3145.00	3264.00	USD	-2,975.00	
27SEP17	B 1 PD27DEC17	3135.50	3264.00	USD	3,212.50	
27SEP17	B 1 PD27DEC17	3136.00	3264.00	USD	3,200.00	
27SEP17	B 1 PD27DEC17	3137.50	3264.00	USD	3,162.50	
27SEP17	S 1 PD27DEC17	3144.50	3264.00	USD	-2,987.50	
27SEP17	B 2 PD27DEC17	3095.00	3264.00	USD	8,450.00	
27SEP17	B 1 PD27DEC17	3096.00	3264.00	USD	4,200.00	
27SEP17	B 1 PD27DEC17	3097.00	3264.00	USD	4,175.00	
27SEP17	B 1 PD27DEC17	3097.50	3264.00	USD	4,162.50	
27SEP17	B 1 PD27DEC17	3098.00	3264.00	USD	4,150.00	
27SEP17	B 1 PD27DEC17	3103.00	3264.00	USD	4,025.00	
27SEP17	S 1 PD27DEC17	3116.50	3264.00	USD	-3,687.50	
27SEP17	S 1 PD27DEC17	3117.00	3264.00	USD	-3,675.00	
27SEP17	S 1 PD27DEC17	3118.00	3264.00	USD	-3,650.00	
27SEP17	S 1 PD27DEC17	3118.50	3264.00	USD	-3,637.50	
27SEP17	S 1 PD27DEC17	3120.50	3264.00	USD	-3,587.50	
27SEP17	S 1 PD27DEC17	3121.50	3264.00	USD	-3,562.50	
27SEP17	S 1 PD27DEC17	3122.00	3264.00	USD	-3,550.00	
27SEP17	S 1 PD27DEC17	3123.00	3264.00	USD	-3,525.00	
27SEP17	B 1 PD27DEC17	3115.50	3264.00	USD	3,712.50	
	NET 0 AVG BGHT 3111.91 SOLD 3112.51				**659.00**	**0.00**
28SEP17	S 1 PD28DEC17	3132.00	3264.00	USD	-3,300.00	
29SEP17	B 1 PD28DEC17	3162.39	3264.00	USD	2,540.25	
	NET 0 AVG BGHT 3162.39 SOLD 3132.00				**-759.75**	**0.00**
29SEP17	S 1 PD29DEC17	3148.50	3264.00	USD	-2,887.50	
29SEP17	B 2 PD29DEC17	3146.50	3264.00	USD	5,875.00	
29SEP17	B 3 PD29DEC17	3147.00	3264.00	USD	8,775.00	
29SEP17	B 1 PD29DEC17	3149.00	3264.00	USD	2,875.00	
29SEP17	B 1 PD29DEC17	3148.00	3264.00	USD	2,900.00	
29SEP17	B 1 PD29DEC17	3149.50	3264.00	USD	2,862.50	
29SEP17	S 1 PD29DEC17	3148.00	3264.00	USD	-2,900.00	
29SEP17	B 4 PD29DEC17	3146.00	3264.00	USD	11,800.00	
29SEP17	S 3 PD29DEC17	3149.00	3264.00	USD	-8,625.00	
29SEP17	S 1 PD29DEC17	3159.50	3264.00	USD	-2,612.50	
29SEP17	S 2 PD29DEC17	3161.00	3264.00	USD	-5,150.00	
29SEP17	S 1 PD29DEC17	3161.50	3264.00	USD	-2,562.50	
29SEP17	S 1 PD29DEC17	3162.00	3264.00	USD	-2,550.00	
29SEP17	S 1 PD29DEC17	3162.50	3264.00	USD	-2,537.50	
29SEP17	S 1 PD29DEC17	3163.50	3264.00	USD	-2,512.50	
	NET 0 AVG BGHT 3147.04 SOLD 3156.21				**2,750.00**	**0.00**
03OCT17	S 2 PD03JAN18	3277.00	3264.00	USD	650.00	
03OCT17	S 6 PD03JAN18	3278.00	3264.00	USD	2,100.00	
03OCT17	S 1 PD03JAN18	3279.00	3264.00	USD	375.00	
03OCT17	S 1 PD03JAN18	3280.00	3264.00	USD	400.00	
03OCT17	S 1 PD03JAN18	3280.50	3264.00	USD	412.50	
03OCT17	S 1 PD03JAN18	3282.50	3264.00	USD	462.50	
03OCT17	S 2 PD03JAN18	3281.00	3264.00	USD	850.00	
03OCT17	S 2 PD03JAN18	3276.50	3264.00	USD	625.00	
03OCT17	S 3 PD03JAN18	3282.00	3264.00	USD	1,350.00	
03OCT17	B 19 PD03JAN18	3264.20	3264.00	USD	-95.00	
	NET 0 AVG BGHT 3264.20 SOLD 3279.21				**7,130.00**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0045G

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	-25,604.50
LME Copper Grade A 25	FUTURES	312.50
LME Lead 25 tonnes. This is an	FUTURES	2,708,285.25
LME Nickel 6 tonnes. This is	FUTURES	-370,853.10
CMX Silver	FUTURES	-418,450.00
LME Zinc 25 tonnes. This is	FUTURES	2,587,036.75

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options			Amount	Profit / Loss	**Total Amount**	**Total P&L**
SILV	- Futures	USD	-2,200.00	-2,200.00	**-2,200.00**	**-2,200.00**
Unrealised Profits & Losses - Forwards			**Amount**	**Profit / Loss**	**Total Amount**	**Total P&L**
ALUL	- Futures	USD	-25,604.50	-25,604.50		
COPL	- Futures	USD	312.50	312.50		
LEAD	- Futures	USD	-203,214.75	-203,214.75		
NKL	- Futures	USD	11,296.50	11,296.50		
ZINC	- Futures	USD	-31,763.25	-31,763.25	**-248,973.50**	**-248,973.50**

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		120,440.41	120,440.41
Variation Margins	- Futures	-2,200.00	-2,200.00
	- Options	0.00	0.00
TOTAL EQUITY		**118,240.41**	**118,240.41**
Initial Margins		-700,086.50	-700,086.50
Excess/(Shortage)		**-581,846.09**	**-581,846.09**
FOR INFORMATION ONLY			
Forward Unrealised P&L		-248,973.50	-248,973.50
Net Liquidating Value		**-130,733.09**	**-130,733.09**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0045H

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
10JUL17	S 3 PD10OCT17	5826.00	6475.10	USD	-48,682.50	
10JUL17	S 2 PD10OCT17	5823.50	6475.10	USD	-32,580.00	
10JUL17	S 2 PD10OCT17	5809.50	6475.10	USD	-33,280.00	
10JUL17	S 2 PD10OCT17	5814.00	6475.10	USD	-33,055.00	
10JUL17	S 1 PD10OCT17	5817.50	6475.10	USD	-16,440.00	
18JUL17	B 10 PD10OCT17	6003.89	6475.10	USD	117,802.50	
	NET 0 AVG BGHT 6003.89 SOLD 5818.95				**-46,235.00**	**0.00**
19JUL17	B 2 PD19OCT17	6007.50	6486.00	USD	23,925.00	
19JUL17	B 1 PD19OCT17	6006.50	6486.00	USD	11,987.50	
19JUL17	B 1 PD19OCT17	6007.00	6486.00	USD	11,975.00	
19JUL17	B 1 PD19OCT17	6008.00	6486.00	USD	11,950.00	
19JUL17	S 2 PD19OCT17	5967.00	6486.00	USD	-25,950.00	
19JUL17	S 3 PD19OCT17	5967.50	6486.00	USD	-38,887.50	
	NET 0 AVG BGHT 6007.30 SOLD 5967.30				**-5,000.00**	**0.00**
21JUL17	B 2 PD20OCT17	6027.00	6486.75	USD	22,987.50	
21JUL17	B 1 PD20OCT17	6028.50	6486.75	USD	11,456.25	
21JUL17	B 2 PD20OCT17	6030.00	6486.75	USD	22,837.50	
21JUL17	B 1 PD20OCT17	6034.00	6486.75	USD	11,318.75	
21JUL17	B 2 PD20OCT17	6034.50	6486.75	USD	22,612.50	
21JUL17	B 1 PD20OCT17	6036.00	6486.75	USD	11,268.75	
21JUL17	B 1 PD20OCT17	6037.50	6486.75	USD	11,231.25	
21JUL17	B 1 PD20OCT17	6004.00	6486.75	USD	12,068.75	
21JUL17	S 2 PD20OCT17	5999.00	6486.75	USD	-24,387.50	
21JUL17	S 2 PD20OCT17	5999.50	6486.75	USD	-24,362.50	
21JUL17	S 1 PD20OCT17	6000.00	6486.75	USD	-12,168.75	
21JUL17	S 2 PD20OCT17	6001.50	6486.75	USD	-24,262.50	
21JUL17	S 1 PD20OCT17	6002.00	6486.75	USD	-12,118.75	
21JUL17	S 2 PD20OCT17	6003.00	6486.75	USD	-24,187.50	
21JUL17	S 1 PD20OCT17	6003.50	6486.75	USD	-12,081.25	
	NET 0 AVG BGHT 6029.36 SOLD 6001.05				**-7,787.50**	**0.00**
25JUL17	B 5 PD25OCT17	6182.00	6490.29	USD	38,536.25	
25JUL17	B 1 PD25OCT17	6194.50	6490.29	USD	7,394.75	
25JUL17	B 2 PD25OCT17	6199.00	6490.29	USD	14,564.50	
25JUL17	B 2 PD25OCT17	6200.00	6490.29	USD	14,514.50	
31JUL17	S 10 PD25OCT17	6366.75	6490.29	USD	-30,885.00	
	NET 0 AVG BGHT 6190.25 SOLD 6366.75				**44,125.00**	**0.00**
26JUL17	B 1 PD26OCT17	6305.50	6490.82	USD	4,633.00	
26JUL17	B 2 PD26OCT17	6306.50	6490.82	USD	9,216.00	
26JUL17	B 2 PD26OCT17	6308.50	6490.82	USD	9,116.00	
26JUL17	S 1 PD26OCT17	6329.50	6490.82	USD	-4,033.00	
26JUL17	S 1 PD26OCT17	6331.50	6490.82	USD	-3,983.00	
26JUL17	S 1 PD26OCT17	6332.00	6490.82	USD	-3,970.50	
26JUL17	S 1 PD26OCT17	6332.50	6490.82	USD	-3,958.00	
26JUL17	S 1 PD26OCT17	6333.00	6490.82	USD	-3,945.50	
26JUL17	S 2 PD26OCT17	6340.50	6490.82	USD	-7,516.00	
26JUL17	S 1 PD26OCT17	6334.50	6490.82	USD	-3,908.00	
26JUL17	S 2 PD26OCT17	6331.00	6490.82	USD	-7,991.00	
31JUL17	B 5 PD26OCT17	6367.45	6490.82	USD	15,421.25	
	NET 0 AVG BGHT 6337.28 SOLD 6333.60				**-918.75**	**0.00**
28JUL17	S 1 PD27OCT17	6325.00	6491.36	USD	-4,159.00	
28JUL17	S 2 PD27OCT17	6326.50	6491.36	USD	-8,243.00	
28JUL17	S 2 PD27OCT17	6328.00	6491.36	USD	-8,168.00	
31JUL17	B 5 PD27OCT17	6367.95	6491.36	USD	15,426.25	
	NET 0 AVG BGHT 6367.95 SOLD 6326.80				**-5,143.75**	**0.00**
01AUG17	B 1 PD01NOV17	6334.50	6494.09	USD	3,989.75	
01AUG17	B 2 PD01NOV17	6335.00	6494.09	USD	7,954.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0045H

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT								
01AUG17	B	1	PD01NOV17		6336.00	6494.09	USD	3,952.25	
01AUG17	B	1	PD01NOV17		6336.50	6494.09	USD	3,939.75	
29AUG17	S	5	PD01NOV17		6784.76	6494.09	USD	36,333.75	
	NET	**0**	**AVG BGHT 6335.40**	**SOLD 6784.76**				**56,170.00**	**0.00**
02AUG17	S	1	PD02NOV17		6331.50	6494.69	USD	-4,079.75	
02AUG17	S	1	PD02NOV17		6337.50	6494.69	USD	-3,929.75	
02AUG17	S	1	PD02NOV17		6340.00	6494.69	USD	-3,867.25	
02AUG17	S	1	PD02NOV17		6340.50	6494.69	USD	-3,854.75	
02AUG17	S	1	PD02NOV17		6342.50	6494.69	USD	-3,804.75	
02AUG17	B	1	PD02NOV17		6337.00	6494.69	USD	3,942.25	
02AUG17	B	4	PD02NOV17		6337.50	6494.69	USD	15,719.00	
	NET	**0**	**AVG BGHT 6337.40**	**SOLD 6338.40**				**125.00**	**0.00**
04AUG17	S	1	PD03NOV17		6357.50	6495.28	USD	-3,444.50	
04AUG17	S	3	PD03NOV17		6359.00	6495.28	USD	-10,221.00	
04AUG17	S	1	PD03NOV17		6358.50	6495.28	USD	-3,419.50	
29AUG17	B	5	PD03NOV17		6785.92	6495.28	USD	-36,330.00	
	NET	**0**	**AVG BGHT 6785.92**	**SOLD 6358.60**				**-53,415.00**	**0.00**
07AUG17	S	1	PD07NOV17		6415.00	6497.66	USD	-2,066.50	
07AUG17	S	1	PD07NOV17		6418.00	6497.66	USD	-1,991.50	
07AUG17	S	4	PD07NOV17		6415.50	6497.66	USD	-8,216.00	
29AUG17	B	6	PD07NOV17		6787.64	6497.66	USD	-43,497.00	
	NET	**0**	**AVG BGHT 6787.64**	**SOLD 6415.83**				**-55,771.00**	**0.00**
08AUG17	S	1	PD08NOV17		6391.50	6498.25	USD	-2,668.75	
08AUG17	B	1	PD08NOV17		6384.50	6498.25	USD	2,843.75	
08AUG17	B	1	PD08NOV17		6394.00	6498.25	USD	2,606.25	
08AUG17	B	1	PD08NOV17		6396.00	6498.25	USD	2,556.25	
08AUG17	B	1	PD08NOV17		6397.00	6498.25	USD	2,531.25	
08AUG17	B	1	PD08NOV17		6397.50	6498.25	USD	2,518.75	
08AUG17	B	1	PD08NOV17		6398.50	6498.25	USD	2,493.75	
08AUG17	B	1	PD08NOV17		6400.00	6498.25	USD	2,456.25	
08AUG17	B	2	PD08NOV17		6483.50	6498.25	USD	737.50	
08AUG17	B	1	PD08NOV17		6484.50	6498.25	USD	343.75	
08AUG17	B	2	PD08NOV17		6480.00	6498.25	USD	912.50	
29AUG17	S	6	PD08NOV17		6787.92	6498.25	USD	43,450.50	
29AUG17	S	5	PD08NOV17		6788.12	6498.25	USD	36,233.75	
	NET	**0**	**AVG BGHT 6431.58**	**SOLD 6754.97**				**97,015.50**	**0.00**
09AUG17	B	1	PD09NOV17		6490.00	6498.71	USD	217.75	
09AUG17	B	1	PD09NOV17		6490.50	6498.71	USD	205.25	
09AUG17	B	2	PD09NOV17		6493.00	6498.71	USD	285.50	
09AUG17	B	1	PD09NOV17		6494.50	6498.71	USD	105.25	
09AUG17	S	1	PD09NOV17		6482.00	6498.71	USD	-417.75	
09AUG17	S	2	PD09NOV17		6482.50	6498.71	USD	-810.50	
09AUG17	S	1	PD09NOV17		6483.00	6498.71	USD	-392.75	
09AUG17	S	1	PD09NOV17		6484.00	6498.71	USD	-367.75	
	NET	**0**	**AVG BGHT 6492.20**	**SOLD 6482.80**				**-1,175.00**	**0.00**
11AUG17	S	2	PD10NOV17		6351.00	6499.18	USD	-7,409.00	
11AUG17	S	2	PD10NOV17		6353.50	6499.18	USD	-7,284.00	
11AUG17	S	1	PD10NOV17		6362.00	6499.18	USD	-3,429.50	
29AUG17	B	5	PD10NOV17		6789.28	6499.18	USD	-36,262.50	
	NET	**0**	**AVG BGHT 6789.28**	**SOLD 6354.20**				**-54,385.00**	**0.00**
21AUG17	B	4	PD21NOV17		6570.50	6504.00	USD	-6,650.00	
21AUG17	B	1	PD21NOV17		6577.00	6504.00	USD	-1,825.00	
29AUG17	S	5	PD21NOV17		6789.96	6504.00	USD	35,745.00	
	NET	**0**	**AVG BGHT 6571.80**	**SOLD 6789.96**				**27,270.00**	**0.00**
22AUG17	B	3	PD22NOV17		6620.00	6504.60	USD	-8,655.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0045H

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
22AUG17	B 2 PD22NOV17	6620.50	6504.60	USD	-5,795.00	
29AUG17	S 5 PD22NOV17	6790.15	6504.60	USD	35,693.75	
	NET 0 AVG BGHT 6620.20 SOLD 6790.15				**21,243.75**	**0.00**
23AUG17	S 1 PD24NOV17	6566.00	6505.80	USD	1,505.00	
23AUG17	S 1 PD24NOV17	6565.50	6505.80	USD	1,492.50	
23AUG17	S 2 PD24NOV17	6566.50	6505.80	USD	3,035.00	
23AUG17	S 1 PD24NOV17	6571.00	6505.80	USD	1,630.00	
23AUG17	S 1 PD24NOV17	6580.50	6505.80	USD	1,867.50	
23AUG17	S 1 PD24NOV17	6582.00	6505.80	USD	1,905.00	
23AUG17	S 1 PD24NOV17	6582.50	6505.80	USD	1,917.50	
23AUG17	S 1 PD24NOV17	6584.50	6505.80	USD	1,967.50	
23AUG17	S 1 PD24NOV17	6585.50	6505.80	USD	1,992.50	
29AUG17	B 10 PD24NOV17	6790.74	6505.80	USD	-71,235.00	
	NET 0 AVG BGHT 6790.74 SOLD 6575.05				**-53,922.50**	**0.00**

OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	-37,804.25

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards		Amount	Profit / Loss	**Total Amount**	**Total P&L**
COPL - Futures	USD	-37,804.25	-37,804.25	**-37,804.25**	**-37,804.25**

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		37,804.25	37,804.25
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**37,804.25**	**37,804.25**
Initial Margins		-37,804.25	-37,804.25
Excess/(Shortage)		**0.00**	**0.00**
FOR INFORMATION ONLY			
Forward Unrealised P&L		-37,804.25	-37,804.25
Net Liquidating Value		**0.00**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0045I

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.							
02AUG17	B	1	PD02NOV17	1920.50	2117.08	USD	4,914.50	
02AUG17	B	3	PD02NOV17	1921.50	2117.08	USD	14,668.50	
02AUG17	S	1	PD02NOV17	1922.00	2117.08	USD	-4,877.00	
02AUG17	S	1	PD02NOV17	1923.00	2117.08	USD	-4,852.00	
02AUG17	B	4	PD02NOV17	1925.00	2117.08	USD	19,208.00	
13SEP17	S	2	PD02NOV17	2097.04	2117.08	USD	-1,002.00	
18SEP17	S	4	PD02NOV17	2074.41	2117.08	USD	-4,267.00	
	NET	**0**	**AVG BGHT 1923.13**	**SOLD 2042.09**			**23,793.00**	**0.00**
07AUG17	B	4	PD07NOV17	1917.00	2116.08	USD	19,908.00	
07AUG17	S	1	PD07NOV17	1924.50	2116.08	USD	-4,789.50	
07AUG17	S	3	PD07NOV17	1925.00	2116.08	USD	-14,331.00	
	NET	**0**	**AVG BGHT 1917.00**	**SOLD 1924.88**			**787.50**	**0.00**
11SEP17	S	2	PD11DEC17	2101.00	2125.31	USD	-1,215.50	
11SEP17	B	1	PD11DEC17	2117.50	2125.31	USD	195.25	
11SEP17	S	1	PD11DEC17	2117.50	2125.31	USD	-195.25	
13SEP17	B	2	PD11DEC17	2109.66	2125.31	USD	782.50	
	NET	**0**	**AVG BGHT 2112.27**	**SOLD 2106.50**			**-433.00**	**0.00**
13SEP17	S	1	PD13DEC17	2131.50	2125.85	USD	141.25	
18SEP17	B	1	PD13DEC17	2088.22	2125.85	USD	940.75	
	NET	**0**	**AVG BGHT 2088.22**	**SOLD 2131.50**			**1,082.00**	**0.00**
14SEP17	B	1	PD14DEC17	2109.50	2126.12	USD	415.50	
18SEP17	S	1	PD14DEC17	2088.32	2126.12	USD	-945.00	
	NET	**0**	**AVG BGHT 2109.50**	**SOLD 2088.32**			**-529.50**	**0.00**
15SEP17	S	1	PD15DEC17	2088.00	2126.39	USD	-959.75	
15SEP17	S	1	PD15DEC17	2091.00	2126.39	USD	-884.75	
15SEP17	S	1	PD15DEC17	2096.50	2126.39	USD	-747.25	
15SEP17	S	1	PD15DEC17	2079.50	2126.39	USD	-1,172.25	
18SEP17	B	4	PD15DEC17	2088.81	2126.39	USD	3,758.00	
	NET	**0**	**AVG BGHT 2088.81**	**SOLD 2088.75**			**-6.00**	**0.00**
18SEP17	B	1	PD18DEC17	2099.50	2127.21	USD	692.75	
18SEP17	B	1	PD18DEC17	2092.50	2127.21	USD	867.75	
22SEP17	S	2	PD18DEC17	2158.71	2127.21	USD	1,575.00	
	NET	**0**	**AVG BGHT 2096.00**	**SOLD 2158.71**			**3,135.50**	**0.00**
19SEP17	S	1	PD19DEC17	2124.50	2127.48	USD	-74.50	
19SEP17	S	1	PD19DEC17	2124.50	2127.48	USD	-74.50	
22SEP17	B	2	PD19DEC17	2159.20	2127.48	USD	-1,586.00	
	NET	**0**	**AVG BGHT 2159.20**	**SOLD 2124.50**			**-1,735.00**	**0.00**
20SEP17	B	1	PD20DEC17	2126.00	2127.75	USD	43.75	
20SEP17	B	1	PD20DEC17	2127.50	2127.75	USD	6.25	
20SEP17	B	1	PD20DEC17	2158.00	2127.75	USD	-756.25	
20SEP17	B	1	PD20DEC17	2156.00	2127.75	USD	-706.25	
20SEP17	B	1	PD20DEC17	2160.00	2127.75	USD	-806.25	
20SEP17	B	1	PD20DEC17	2163.00	2127.75	USD	-881.25	
20SEP17	S	1	PD20DEC17	2168.50	2127.75	USD	1,018.75	
20SEP17	S	1	PD20DEC17	2165.00	2127.75	USD	931.25	
20SEP17	S	1	PD20DEC17	2181.50	2127.75	USD	1,343.75	
22SEP17	S	3	PD20DEC17	2158.75	2127.75	USD	2,325.00	
	NET	**0**	**AVG BGHT 2148.42**	**SOLD 2165.21**			**2,518.75**	**0.00**
21SEP17	S	1	PD21DEC17	2177.00	2127.98	USD	1,225.50	
21SEP17	S	1	PD21DEC17	2161.50	2127.98	USD	838.00	
21SEP17	S	1	PD21DEC17	2179.00	2127.98	USD	1,275.50	
21SEP17	B	1	PD21DEC17	2192.50	2127.98	USD	-1,613.00	
21SEP17	S	1	PD21DEC17	2166.00	2127.98	USD	950.50	
21SEP17	S	1	PD21DEC17	2156.50	2127.98	USD	713.00	
21SEP17	S	1	PD21DEC17	2146.00	2127.98	USD	450.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0045I

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
22SEP17	B 3 PD21DEC17	2157.95	2127.98	USD	-2,247.75	
28SEP17	B 1 PD21DEC17	2129.03	2127.98	USD	-26.25	
	NET -1 AVG BGHT 2159.08 SOLD 2164.33				**1,566.00**	**0.00**
22SEP17	B 1 PD22DEC17	2140.00	2128.21	USD	-294.75	
22SEP17	S 1 PD22DEC17	2122.50	2128.21	USD	-142.75	
22SEP17	B 1 PD22DEC17	2122.00	2128.21	USD	155.25	
22SEP17	S 1 PD22DEC17	2152.00	2128.21	USD	594.75	
	NET 0 AVG BGHT 2131.00 SOLD 2137.25				**312.50**	**0.00**
25SEP17	B 1 PD27DEC17	2141.00	2129.38	USD	-290.50	
26SEP17	B 1 PD27DEC17	2127.00	2129.38	USD	59.50	
27SEP17	S 1 PD27DEC17	2138.00	2129.38	USD	215.50	
27SEP17	B 1 PD27DEC17	2128.00	2129.38	USD	34.50	
27SEP17	S 1 PD27DEC17	2126.00	2129.38	USD	-84.50	
28SEP17	S 1 PD27DEC17	2130.52	2129.38	USD	28.50	
	NET 0 AVG BGHT 2132.00 SOLD 2131.51				**-37.00**	**0.00**
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
20JUL17	B 1 PD20OCT17	5985.00	6486.75	USD	12,543.75	
21JUL17	S 1 PD20OCT17	6015.00	6486.75	USD	-11,793.75	
	NET 0 AVG BGHT 5985.00 SOLD 6015.00				**750.00**	**0.00**
24JUL17	S 1 PD24OCT17	6025.50	6489.75	USD	-11,606.25	
18AUG17	B 1 PD24OCT17	6477.58	6489.75	USD	304.25	
	NET 0 AVG BGHT 6477.58 SOLD 6025.50				**-11,302.00**	**0.00**
25JUL17	S 1 PD25OCT17	6212.50	6490.29	USD	-6,944.75	
18AUG17	B 1 PD25OCT17	6478.17	6490.29	USD	303.00	
	NET 0 AVG BGHT 6478.17 SOLD 6212.50				**-6,641.75**	**0.00**
27JUL17	B 1 PD27OCT17	6354.50	6491.36	USD	3,421.50	
27JUL17	S 1 PD27OCT17	6342.00	6491.36	USD	-3,734.00	
	NET 0 AVG BGHT 6354.50 SOLD 6342.00				**-312.50**	**0.00**
01AUG17	B 1 PD01NOV17	6350.50	6494.09	USD	3,589.75	
01AUG17	S 1 PD01NOV17	6350.50	6494.09	USD	-3,589.75	
	NET 0 AVG BGHT 6350.50 SOLD 6350.50				**0.00**	**0.00**
08AUG17	B 1 PD08NOV17	6442.00	6498.25	USD	1,406.25	
08AUG17	B 1 PD08NOV17	6449.00	6498.25	USD	1,231.25	
18AUG17	S 2 PD08NOV17	6485.55	6498.25	USD	-635.00	
	NET 0 AVG BGHT 6445.50 SOLD 6485.55				**2,002.50**	**0.00**
21AUG17	B 1 PD21NOV17	6599.00	6504.00	USD	-2,375.00	
21AUG17	B 1 PD21NOV17	6609.00	6504.00	USD	-2,625.00	
08SEP17	S 2 PD21NOV17	6685.68	6504.00	USD	9,084.00	
	NET 0 AVG BGHT 6604.00 SOLD 6685.68				**4,084.00**	**0.00**
24AUG17	S 2 PD24NOV17	6628.00	6505.80	USD	6,110.00	
08SEP17	B 2 PD24NOV17	6687.34	6505.80	USD	-9,077.00	
	NET 0 AVG BGHT 6687.34 SOLD 6628.00				**-2,967.00**	**0.00**
06SEP17	B 1 PD06DEC17	6877.00	6512.14	USD	-9,121.50	
06SEP17	B 1 PD06DEC17	6879.00	6512.14	USD	-9,171.50	
06SEP17	B 1 PD06DEC17	6878.50	6512.14	USD	-9,159.00	
06SEP17	B 1 PD06DEC17	6881.00	6512.14	USD	-9,221.50	
06SEP17	S 1 PD06DEC17	6912.00	6512.14	USD	9,996.50	
06SEP17	S 1 PD06DEC17	6914.00	6512.14	USD	10,046.50	
06SEP17	S 1 PD06DEC17	6911.50	6512.14	USD	9,984.00	
06SEP17	S 1 PD06DEC17	6909.00	6512.14	USD	9,921.50	
06SEP17	S 1 PD06DEC17	6911.00	6512.14	USD	9,971.50	
06SEP17	B 1 PD06DEC17	6873.50	6512.14	USD	-9,034.00	
06SEP17	B 1 PD06DEC17	6890.00	6512.14	USD	-9,446.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0045I

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
06SEP17	B 1 PD06DEC17	6891.00	6512.14	USD	-9,471.50	
06SEP17	B 1 PD06DEC17	6894.00	6512.14	USD	-9,546.50	
06SEP17	B 1 PD06DEC17	6897.00	6512.14	USD	-9,621.50	
06SEP17	B 1 PD06DEC17	6896.00	6512.14	USD	-9,596.50	
06SEP17	B 1 PD06DEC17	6888.50	6512.14	USD	-9,409.00	
06SEP17	B 1 PD06DEC17	6889.50	6512.14	USD	-9,434.00	
06SEP17	B 1 PD06DEC17	6876.00	6512.14	USD	-9,096.50	
08SEP17	S 8 PD06DEC17	6692.67	6512.14	USD	36,106.00	
	NET 0 AVG BGHT 6885.46 SOLD 6776.84				**-35,303.50**	**0.00**
07SEP17	S 1 PD07DEC17	6877.50	6512.57	USD	9,123.25	
07SEP17	S 1 PD07DEC17	6875.00	6512.57	USD	9,060.75	
07SEP17	S 3 PD07DEC17	6875.50	6512.57	USD	27,219.75	
07SEP17	S 1 PD07DEC17	6879.00	6512.57	USD	9,160.75	
07SEP17	S 1 PD07DEC17	6859.50	6512.57	USD	8,673.25	
07SEP17	B 1 PD07DEC17	6898.00	6512.57	USD	-9,635.75	
07SEP17	B 2 PD07DEC17	6896.00	6512.57	USD	-19,171.50	
07SEP17	B 2 PD07DEC17	6889.50	6512.57	USD	-18,846.50	
07SEP17	B 1 PD07DEC17	6886.50	6512.57	USD	-9,348.25	
07SEP17	S 1 PD07DEC17	6878.50	6512.57	USD	9,148.25	
07SEP17	S 1 PD07DEC17	6878.00	6512.57	USD	9,135.75	
07SEP17	S 1 PD07DEC17	6872.50	6512.57	USD	8,998.25	
07SEP17	S 1 PD07DEC17	6870.00	6512.57	USD	8,935.75	
07SEP17	S 1 PD07DEC17	6871.50	6512.57	USD	8,973.25	
07SEP17	S 2 PD07DEC17	6876.00	6512.57	USD	18,171.50	
07SEP17	S 1 PD07DEC17	6881.00	6512.57	USD	9,210.75	
07SEP17	S 1 PD07DEC17	6885.00	6512.57	USD	9,310.75	
07SEP17	B 1 PD07DEC17	6898.00	6512.57	USD	-9,635.75	
07SEP17	B 1 PD07DEC17	6895.00	6512.57	USD	-9,560.75	
08SEP17	B 8 PD07DEC17	6693.03	6512.57	USD	-36,092.00	
	NET 0 AVG BGHT 6793.30 SOLD 6875.38				**32,831.50**	**0.00**
08SEP17	B 2 PD08DEC17	6929.00	6513.00	USD	-20,800.00	
08SEP17	B 1 PD08DEC17	6947.50	6513.00	USD	-10,862.50	
08SEP17	S 1 PD08DEC17	6933.00	6513.00	USD	10,500.00	
08SEP17	S 1 PD08DEC17	6928.50	6513.00	USD	10,387.50	
08SEP17	S 1 PD08DEC17	6927.00	6513.00	USD	10,350.00	
	NET 0 AVG BGHT 6935.17 SOLD 6929.50				**-425.00**	**0.00**
13SEP17	B 1 PD13DEC17	6682.50	6514.98	USD	-4,188.00	
13SEP17	B 1 PD13DEC17	6630.00	6514.98	USD	-2,875.50	
13SEP17	B 1 PD13DEC17	6562.50	6514.98	USD	-1,188.00	
13SEP17	B 1 PD13DEC17	6562.00	6514.98	USD	-1,175.50	
13SEP17	B 1 PD13DEC17	6569.00	6514.98	USD	-1,350.50	
13SEP17	B 1 PD13DEC17	6570.50	6514.98	USD	-1,388.00	
13SEP17	B 1 PD13DEC17	6571.00	6514.98	USD	-1,400.50	
13SEP17	B 2 PD13DEC17	6571.50	6514.98	USD	-2,826.00	
22SEP17	S 4 PD13DEC17	6456.25	6514.98	USD	-5,873.00	
28SEP17	S 4 PD13DEC17	6516.73	6514.98	USD	175.00	
	NET +1 AVG BGHT 6587.83 SOLD 6486.49				**-22,090.00**	**0.00**
15SEP17	S 1 PD15DEC17	6478.50	6515.77	USD	-931.75	
15SEP17	S 1 PD15DEC17	6467.50	6515.77	USD	-1,206.75	
15SEP17	B 1 PD15DEC17	6512.00	6515.77	USD	94.25	
15SEP17	B 1 PD15DEC17	6511.50	6515.77	USD	106.75	
	NET 0 AVG BGHT 6511.75 SOLD 6473.00				**-1,937.50**	**0.00**
18SEP17	S 1 PD18DEC17	6540.00	6516.96	USD	576.00	
18SEP17	S 1 PD18DEC17	6563.00	6516.96	USD	1,151.00	
18SEP17	S 1 PD18DEC17	6550.00	6516.96	USD	826.00	
22SEP17	B 3 PD18DEC17	6458.53	6516.96	USD	4,382.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0045I

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
	NET 0 AVG BGHT 6458.53 SOLD 6551.00				**6,935.25**	**0.00**
20SEP17	S 1 PD20DEC17	6548.00	6517.75	USD	756.25	
22SEP17	B 1 PD20DEC17	6458.95	6517.75	USD	1,470.00	
	NET 0 AVG BGHT 6458.95 SOLD 6548.00				**2,226.25**	**0.00**
21SEP17	S 1 PD21DEC17	6464.00	6516.75	USD	-1,318.75	
21SEP17	B 1 PD21DEC17	6435.00	6516.75	USD	2,043.75	
21SEP17	B 1 PD21DEC17	6473.50	6516.75	USD	1,081.25	
21SEP17	S 1 PD21DEC17	6426.50	6516.75	USD	-2,256.25	
	NET 0 AVG BGHT 6454.25 SOLD 6445.25				**-450.00**	**0.00**
22SEP17	B 1 PD22DEC17	6439.50	6515.75	USD	1,906.25	
22SEP17	S 1 PD22DEC17	6400.50	6515.75	USD	-2,881.25	
22SEP17	S 2 PD22DEC17	6372.50	6515.75	USD	-7,162.50	
22SEP17	B 1 PD22DEC17	6390.00	6515.75	USD	3,143.75	
22SEP17	S 1 PD22DEC17	6390.50	6515.75	USD	-3,131.25	
22SEP17	B 1 PD22DEC17	6465.50	6515.75	USD	1,256.25	
28SEP17	B 1 PD22DEC17	6518.20	6515.75	USD	-61.25	
	NET 0 AVG BGHT 6453.30 SOLD 6384.00				**-6,930.00**	**0.00**
25SEP17	S 1 PD27DEC17	6478.00	6517.52	USD	-988.00	
26SEP17	S 1 PD27DEC17	6473.00	6517.52	USD	-1,113.00	
26SEP17	B 1 PD27DEC17	6408.50	6517.52	USD	2,725.50	
26SEP17	B 1 PD27DEC17	6410.00	6517.52	USD	2,688.00	
26SEP17	B 1 PD27DEC17	6410.50	6517.52	USD	2,675.50	
26SEP17	B 1 PD27DEC17	6418.50	6517.52	USD	2,475.50	
26SEP17	B 1 PD27DEC17	6417.50	6517.52	USD	2,500.50	
27SEP17	S 1 PD27DEC17	6436.50	6517.52	USD	-2,025.50	
27SEP17	S 1 PD27DEC17	6428.00	6517.52	USD	-2,238.00	
27SEP17	S 1 PD27DEC17	6439.50	6517.52	USD	-1,950.50	
27SEP17	S 1 PD27DEC17	6441.00	6517.52	USD	-1,913.00	
27SEP17	S 1 PD27DEC17	6444.50	6517.52	USD	-1,825.50	
27SEP17	S 1 PD27DEC17	6442.00	6517.52	USD	-1,888.00	
28SEP17	B 3 PD27DEC17	6521.53	6517.52	USD	-300.75	
	NET 0 AVG BGHT 6453.70 SOLD 6447.81				**-1,177.25**	**0.00**
28SEP17	S 1 PD28DEC17	6408.50	6517.88	USD	-2,734.50	
28SEP17	B 1 PD28DEC17	6479.00	6517.88	USD	972.00	
	NET 0 AVG BGHT 6479.00 SOLD 6408.50				**-1,762.50**	**0.00**
29SEP17	S 1 PD29DEC17	6535.00	6518.23	USD	419.25	
	NET -1 AVG SOLD 6535.00				**419.25**	**0.00**
	CMX GOLD 100 CMX					
21SEP17	B 1 DEC17	1293.4	1274.6	USD	-1,880.00	
27SEP17	B 1 DEC17	1297.5	1274.6	USD	-2,290.00	
27SEP17	B 1 DEC17	1287.1	1274.6	USD	-1,250.00	
27SEP17	B 1 DEC17	1290.7	1274.6	USD	-1,610.00	
	NET +4 AVG BGHT 1292.2				**-7,030.00**	**0.00**
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
14SEP17	B 1 PD14DEC17	2297.00	2591.73	USD	7,368.25	
14SEP17	B 1 PD14DEC17	2308.00	2591.73	USD	7,093.25	
14SEP17	S 1 PD14DEC17	2304.50	2591.73	USD	-7,180.75	
18SEP17	S 1 PD14DEC17	2388.94	2591.73	USD	-5,069.75	
	NET 0 AVG BGHT 2302.50 SOLD 2346.72				**2,211.00**	**0.00**
15SEP17	S 1 PD15DEC17	2323.50	2591.86	USD	-6,709.00	
15SEP17	S 1 PD15DEC17	2347.50	2591.86	USD	-6,109.00	
15SEP17	B 1 PD15DEC17	2341.50	2591.86	USD	6,259.00	
15SEP17	S 1 PD15DEC17	2359.00	2591.86	USD	-5,821.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0045I

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
18SEP17	B 1 PD15DEC17	2389.40	2591.86	USD	5,061.50	
22SEP17	B 1 PD15DEC17	2488.50	2591.86	USD	2,584.00	
	NET 0 AVG BGHT 2406.47 SOLD 2343.33				**-4,735.00**	**0.00**
18SEP17	B 1 PD18DEC17	2397.50	2592.24	USD	4,868.50	
22SEP17	S 1 PD18DEC17	2488.30	2592.24	USD	-2,598.50	
	NET 0 AVG BGHT 2397.50 SOLD 2488.30				**2,270.00**	**0.00**
19SEP17	B 1 PD19DEC17	2385.00	2592.37	USD	5,184.25	
19SEP17	S 1 PD19DEC17	2415.00	2592.37	USD	-4,434.25	
19SEP17	B 1 PD19DEC17	2429.50	2592.37	USD	4,071.75	
22SEP17	S 1 PD19DEC17	2488.50	2592.37	USD	-2,596.75	
	NET 0 AVG BGHT 2407.25 SOLD 2451.75				**2,225.00**	**0.00**
20SEP17	B 1 PD20DEC17	2456.50	2592.50	USD	3,400.00	
20SEP17	B 1 PD20DEC17	2460.00	2592.50	USD	3,312.50	
22SEP17	S 2 PD20DEC17	2488.50	2592.50	USD	-5,200.00	
	NET 0 AVG BGHT 2458.25 SOLD 2488.50				**1,512.50**	**0.00**
21SEP17	S 1 PD21DEC17	2471.50	2592.29	USD	-3,019.75	
21SEP17	B 1 PD21DEC17	2484.00	2592.29	USD	2,707.25	
21SEP17	S 1 PD21DEC17	2483.50	2592.29	USD	-2,719.75	
21SEP17	S 1 PD21DEC17	2507.00	2592.29	USD	-2,132.25	
21SEP17	S 1 PD21DEC17	2478.00	2592.29	USD	-2,857.25	
21SEP17	B 1 PD21DEC17	2475.00	2592.29	USD	2,932.25	
21SEP17	S 1 PD21DEC17	2474.50	2592.29	USD	-2,944.75	
21SEP17	S 1 PD21DEC17	2451.00	2592.29	USD	-3,532.25	
21SEP17	B 1 PD21DEC17	2442.00	2592.29	USD	3,757.25	
21SEP17	B 1 PD21DEC17	2474.00	2592.29	USD	2,957.25	
21SEP17	S 1 PD21DEC17	2471.00	2592.29	USD	-3,032.25	
22SEP17	B 3 PD21DEC17	2485.95	2592.29	USD	7,975.50	
	NET 0 AVG BGHT 2476.12 SOLD 2476.64				**91.25**	**0.00**
22SEP17	B 1 PD22DEC17	2436.00	2592.07	USD	3,901.75	
22SEP17	S 1 PD22DEC17	2475.00	2592.07	USD	-2,926.75	
	NET 0 AVG BGHT 2436.00 SOLD 2475.00				**975.00**	**0.00**
25SEP17	S 1 PD27DEC17	2473.50	2591.86	USD	-2,959.00	
25SEP17	B 1 PD27DEC17	2497.00	2591.86	USD	2,371.50	
	NET 0 AVG BGHT 2497.00 SOLD 2473.50				**-587.50**	**0.00**
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
28JUL17	B 1 PD27OCT17	10230.00	10557.68	USD	1,966.08	
28JUL17	B 1 PD27OCT17	10220.00	10557.68	USD	2,026.08	
28JUL17	S 1 PD27OCT17	10260.00	10557.68	USD	-1,786.08	
18AUG17	S 1 PD27OCT17	10969.21	10557.68	USD	2,469.18	
	NET 0 AVG BGHT 10225.00 SOLD 10614.61				**4,675.26**	**0.00**
31JUL17	S 1 PD31OCT17	10315.00	10561.54	USD	-1,479.24	
31JUL17	B 1 PD31OCT17	10250.00	10561.54	USD	1,869.24	
31JUL17	B 1 PD31OCT17	10235.00	10561.54	USD	1,959.24	
18AUG17	S 1 PD31OCT17	10971.90	10561.54	USD	2,462.16	
	NET 0 AVG BGHT 10242.50 SOLD 10643.45				**4,811.40**	**0.00**
01AUG17	B 1 PD01NOV17	10205.00	10562.50	USD	2,145.00	
01AUG17	B 1 PD01NOV17	10170.00	10562.50	USD	2,355.00	
01AUG17	S 1 PD01NOV17	10145.00	10562.50	USD	-2,505.00	
01AUG17	S 1 PD01NOV17	10100.00	10562.50	USD	-2,775.00	
01AUG17	S 1 PD01NOV17	10115.00	10562.50	USD	-2,685.00	
01AUG17	B 2 PD01NOV17	10105.00	10562.50	USD	5,490.00	
01AUG17	S 3 PD01NOV17	10110.00	10562.50	USD	-8,145.00	
18AUG17	B 2 PD01NOV17	10973.58	10562.50	USD	-4,932.96	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0045I

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
	NET 0 AVG BGHT 10422.03 SOLD 10115.00				**-11,052.96**	**0.00**
02AUG17	B 2 PD02NOV17	10205.00	10563.46	USD	4,301.52	
02AUG17	B 2 PD02NOV17	10255.00	10563.46	USD	3,701.52	
02AUG17	S 2 PD02NOV17	10220.00	10563.46	USD	-4,121.52	
18AUG17	S 2 PD02NOV17	10973.25	10563.46	USD	4,917.48	
	NET 0 AVG BGHT 10230.00 SOLD 10596.63				**8,799.00**	**0.00**
03AUG17	S 2 PD03NOV17	10345.00	10564.43	USD	-2,633.16	
18AUG17	B 2 PD03NOV17	10974.92	10564.43	USD	-4,925.88	
	NET 0 AVG BGHT 10974.92 SOLD 10345.00				**-7,559.04**	**0.00**
10AUG17	B 2 PD10NOV17	10780.00	10571.18	USD	-2,505.84	
10AUG17	B 2 PD10NOV17	10850.00	10571.18	USD	-3,345.84	
10AUG17	S 2 PD10NOV17	10930.00	10571.18	USD	4,305.84	
10AUG17	S 2 PD10NOV17	10890.00	10571.18	USD	3,825.84	
11AUG17	S 2 PD10NOV17	10620.00	10571.18	USD	585.84	
11AUG17	B 2 PD10NOV17	10625.00	10571.18	USD	-645.84	
11AUG17	B 4 PD10NOV17	10665.00	10571.18	USD	-2,251.68	
11AUG17	B 2 PD10NOV17	10660.00	10571.18	USD	-1,065.84	
18AUG17	S 4 PD10NOV17	10978.63	10571.18	USD	9,778.80	
23AUG17	S 2 PD10NOV17	11653.44	10571.18	USD	12,987.12	
	NET 0 AVG BGHT 10707.50 SOLD 11008.45				**21,668.40**	**0.00**
14AUG17	S 2 PD14NOV17	10505.00	10575.04	USD	-840.48	
14AUG17	S 2 PD14NOV17	10490.00	10575.04	USD	-1,020.48	
14AUG17	B 2 PD14NOV17	10510.00	10575.04	USD	780.48	
18AUG17	B 2 PD14NOV17	10982.33	10575.04	USD	-4,887.48	
	NET 0 AVG BGHT 10746.17 SOLD 10497.50				**-5,967.96**	**0.00**
15AUG17	B 2 PD15NOV17	10400.00	10576.00	USD	2,112.00	
23AUG17	S 2 PD15NOV17	11657.00	10576.00	USD	12,972.00	
	NET 0 AVG BGHT 10400.00 SOLD 11657.00				**15,084.00**	**0.00**
16AUG17	S 4 PD16NOV17	10560.00	10576.89	USD	-405.36	
16AUG17	S 2 PD16NOV17	10600.00	10576.89	USD	277.32	
16AUG17	B 1 PD16NOV17	10680.00	10576.89	USD	-618.66	
16AUG17	B 1 PD16NOV17	10690.00	10576.89	USD	-678.66	
16AUG17	S 2 PD16NOV17	10745.00	10576.89	USD	2,017.32	
16AUG17	B 2 PD16NOV17	10750.00	10576.89	USD	-2,077.32	
16AUG17	B 2 PD16NOV17	10715.00	10576.89	USD	-1,657.32	
16AUG17	S 2 PD16NOV17	10820.00	10576.89	USD	2,917.32	
16AUG17	B 2 PD16NOV17	10755.00	10576.89	USD	-2,137.32	
16AUG17	S 2 PD16NOV17	10765.00	10576.89	USD	2,257.32	
16AUG17	B 2 PD16NOV17	10785.00	10576.89	USD	-2,497.32	
18AUG17	B 2 PD16NOV17	10981.00	10576.89	USD	-4,849.32	
	NET 0 AVG BGHT 10778.50 SOLD 10675.00				**-7,452.00**	**0.00**
17AUG17	B 2 PD17NOV17	10750.00	10577.77	USD	-2,066.76	
17AUG17	S 2 PD17NOV17	10745.00	10577.77	USD	2,006.76	
17AUG17	S 2 PD17NOV17	10775.00	10577.77	USD	2,366.76	
17AUG17	S 2 PD17NOV17	10830.00	10577.77	USD	3,026.76	
17AUG17	S 2 PD17NOV17	10790.00	10577.77	USD	2,546.76	
17AUG17	B 2 PD17NOV17	10780.00	10577.77	USD	-2,426.76	
17AUG17	B 2 PD17NOV17	10800.00	10577.77	USD	-2,666.76	
17AUG17	B 2 PD17NOV17	10740.00	10577.77	USD	-1,946.76	
17AUG17	B 2 PD17NOV17	10755.00	10577.77	USD	-2,126.76	
17AUG17	B 4 PD17NOV17	10765.00	10577.77	USD	-4,493.52	
17AUG17	B 2 PD17NOV17	10690.00	10577.77	USD	-1,346.76	
18AUG17	S 2 PD17NOV17	10820.00	10577.77	USD	2,906.76	
18AUG17	B 2 PD17NOV17	10880.00	10577.77	USD	-3,626.76	
18AUG17	B 2 PD17NOV17	10950.00	10577.77	USD	-4,466.76	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0045I

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
18AUG17	S 2 PD17NOV17	11010.00	10577.77	USD	5,186.76	
18AUG17	B 2 PD17NOV17	10850.00	10577.77	USD	-3,266.76	
18AUG17	S 4 PD17NOV17	10905.00	10577.77	USD	7,853.52	
23AUG17	S 6 PD17NOV17	11655.63	10577.77	USD	38,802.96	
	NET 0 AVG BGHT 10793.18 SOLD 11067.90				**36,262.68**	**0.00**
21AUG17	S 1 PD21NOV17	11130.00	10581.31	USD	3,292.14	
21AUG17	B 1 PD21NOV17	11170.00	10581.31	USD	-3,532.14	
21AUG17	S 2 PD21NOV17	11195.00	10581.31	USD	7,364.28	
21AUG17	B 2 PD21NOV17	11165.00	10581.31	USD	-7,004.28	
21AUG17	S 2 PD21NOV17	11025.00	10581.31	USD	5,324.28	
21AUG17	S 2 PD21NOV17	11150.00	10581.31	USD	6,824.28	
21AUG17	B 4 PD21NOV17	11290.00	10581.31	USD	-17,008.56	
21AUG17	S 2 PD21NOV17	11275.00	10581.31	USD	8,324.28	
21AUG17	S 1 PD21NOV17	11300.00	10581.31	USD	4,312.14	
23AUG17	B 3 PD21NOV17	11659.13	10581.31	USD	-19,400.76	
	NET 0 AVG BGHT 11363.74 SOLD 11172.00				**-11,504.34**	**0.00**
22AUG17	S 1 PD22NOV17	11265.00	10582.20	USD	4,096.80	
22AUG17	B 2 PD22NOV17	11320.00	10582.20	USD	-8,853.60	
22AUG17	S 2 PD22NOV17	11305.00	10582.20	USD	8,673.60	
22AUG17	S 2 PD22NOV17	11490.00	10582.20	USD	10,893.60	
22AUG17	S 1 PD22NOV17	11415.00	10582.20	USD	4,996.80	
22AUG17	S 2 PD22NOV17	11455.00	10582.20	USD	10,473.60	
22AUG17	B 2 PD22NOV17	11475.00	10582.20	USD	-10,713.60	
22AUG17	B 2 PD22NOV17	11440.00	10582.20	USD	-10,293.60	
22AUG17	S 2 PD22NOV17	11435.00	10582.20	USD	10,233.60	
22AUG17	S 3 PD22NOV17	11425.00	10582.20	USD	15,170.40	
22AUG17	B 4 PD22NOV17	11405.00	10582.20	USD	-19,747.20	
22AUG17	S 2 PD22NOV17	11410.00	10582.20	USD	9,933.60	
22AUG17	S 2 PD22NOV17	11400.00	10582.20	USD	9,813.60	
23AUG17	B 7 PD22NOV17	11659.75	10582.20	USD	-45,257.10	
	NET 0 AVG BGHT 11512.25 SOLD 11408.53				**-10,579.50**	**0.00**
23AUG17	S 2 PD24NOV17	11350.00	10583.97	USD	9,192.36	
23AUG17	B 2 PD24NOV17	11345.00	10583.97	USD	-9,132.36	
23AUG17	S 1 PD24NOV17	11585.00	10583.97	USD	6,006.18	
23AUG17	S 1 PD24NOV17	11580.00	10583.97	USD	5,976.18	
23AUG17	B 2 PD24NOV17	11555.00	10583.97	USD	-11,652.36	
23AUG17	B 2 PD24NOV17	11690.00	10583.97	USD	-13,272.36	
23AUG17	S 2 PD24NOV17	11685.00	10583.97	USD	13,212.36	
24AUG17	S 2 PD24NOV17	11655.00	10583.97	USD	12,852.36	
24AUG17	B 2 PD24NOV17	11665.00	10583.97	USD	-12,972.36	
	NET 0 AVG BGHT 11563.75 SOLD 11568.13				**210.00**	**0.00**
29AUG17	B 9 PD29NOV17	11750.00	10588.40	USD	-62,726.40	
29AUG17	B 3 PD29NOV17	11750.00	10588.40	USD	-20,908.80	
29AUG17	S 2 PD29NOV17	11720.00	10588.40	USD	13,579.20	
29AUG17	S 6 PD29NOV17	11710.00	10588.40	USD	40,377.60	
29AUG17	S 2 PD29NOV17	11715.00	10588.40	USD	13,519.20	
29AUG17	S 2 PD29NOV17	11685.00	10588.40	USD	13,159.20	
	NET 0 AVG BGHT 11750.00 SOLD 11708.33				**-3,000.00**	**0.00**
04SEP17	S 2 PD04DEC17	12285.00	10592.83	USD	20,306.04	
04SEP17	B 2 PD04DEC17	12300.00	10592.83	USD	-20,486.04	
04SEP17	S 2 PD04DEC17	12300.00	10592.83	USD	20,486.04	
04SEP17	B 2 PD04DEC17	12305.00	10592.83	USD	-20,546.04	
04SEP17	B 2 PD04DEC17	12240.00	10592.83	USD	-19,766.04	
04SEP17	B 4 PD04DEC17	12205.00	10592.83	USD	-38,692.08	
04SEP17	S 2 PD04DEC17	12210.00	10592.83	USD	19,406.04	
04SEP17	S 2 PD04DEC17	12260.00	10592.83	USD	20,006.04	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0045I

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
08SEP17	S 2 PD04DEC17	11587.65	10592.83	USD	11,937.84	
	NET 0 AVG BGHT 12251.00 SOLD 12128.53				**-7,348.20**	**0.00**
05SEP17	S 2 PD05DEC17	12290.00	10593.71	USD	20,355.48	
05SEP17	B 2 PD05DEC17	12210.00	10593.71	USD	-19,395.48	
05SEP17	S 2 PD05DEC17	12225.00	10593.71	USD	19,575.48	
08SEP17	B 2 PD05DEC17	11589.24	10593.71	USD	-11,946.36	
	NET 0 AVG BGHT 11899.62 SOLD 12257.50				**8,589.12**	**0.00**
06SEP17	B 2 PD06DEC17	11990.00	10594.60	USD	-16,744.80	
06SEP17	B 3 PD06DEC17	12005.00	10594.60	USD	-25,387.20	
06SEP17	B 3 PD06DEC17	12010.00	10594.60	USD	-25,477.20	
06SEP17	S 2 PD06DEC17	12010.00	10594.60	USD	16,984.80	
06SEP17	S 2 PD06DEC17	12070.00	10594.60	USD	17,704.80	
06SEP17	S 2 PD06DEC17	12175.00	10594.60	USD	18,964.80	
13SEP17	S 2 PD06DEC17	11354.77	10594.60	USD	9,122.04	
	NET 0 AVG BGHT 12003.13 SOLD 11902.44				**-4,832.76**	**0.00**
07SEP17	B 2 PD07DEC17	12235.00	10595.49	USD	-19,674.12	
07SEP17	B 4 PD07DEC17	12230.00	10595.49	USD	-39,228.24	
07SEP17	B 1 PD07DEC17	12290.00	10595.49	USD	-10,167.06	
07SEP17	B 1 PD07DEC17	12295.00	10595.49	USD	-10,197.06	
07SEP17	S 1 PD07DEC17	12235.00	10595.49	USD	9,837.06	
07SEP17	S 1 PD07DEC17	12225.00	10595.49	USD	9,777.06	
07SEP17	S 2 PD07DEC17	12245.00	10595.49	USD	19,794.12	
07SEP17	S 1 PD07DEC17	12200.00	10595.49	USD	9,627.06	
07SEP17	B 1 PD07DEC17	12185.00	10595.49	USD	-9,537.06	
07SEP17	B 4 PD07DEC17	12175.00	10595.49	USD	-37,908.24	
07SEP17	B 2 PD07DEC17	12170.00	10595.49	USD	-18,894.12	
13SEP17	S 10 PD07DEC17	11355.62	10595.49	USD	45,607.80	
	NET 0 AVG BGHT 12213.33 SOLD 11647.08				**-50,962.80**	**0.00**
08SEP17	S 1 PD08DEC17	12285.00	10596.37	USD	10,131.78	
08SEP17	S 1 PD08DEC17	12295.00	10596.37	USD	10,191.78	
08SEP17	S 1 PD08DEC17	12255.00	10596.37	USD	9,951.78	
08SEP17	S 1 PD08DEC17	12250.00	10596.37	USD	9,921.78	
08SEP17	S 1 PD08DEC17	12260.00	10596.37	USD	9,981.78	
08SEP17	S 1 PD08DEC17	12265.00	10596.37	USD	10,011.78	
08SEP17	B 1 PD08DEC17	12295.00	10596.37	USD	-10,191.78	
08SEP17	B 1 PD08DEC17	12350.00	10596.37	USD	-10,521.78	
08SEP17	B 2 PD08DEC17	12345.00	10596.37	USD	-20,983.56	
08SEP17	B 3 PD08DEC17	12340.00	10596.37	USD	-31,385.34	
08SEP17	B 3 PD08DEC17	12335.00	10596.37	USD	-31,295.34	
08SEP17	S 1 PD08DEC17	12170.00	10596.37	USD	9,441.78	
08SEP17	S 2 PD08DEC17	12165.00	10596.37	USD	18,823.56	
08SEP17	S 1 PD08DEC17	12150.00	10596.37	USD	9,321.78	
08SEP17	S 1 PD08DEC17	12180.00	10596.37	USD	9,501.78	
08SEP17	S 1 PD08DEC17	12175.00	10596.37	USD	9,471.78	
08SEP17	S 2 PD08DEC17	12155.00	10596.37	USD	18,703.56	
08SEP17	S 1 PD08DEC17	12115.00	10596.37	USD	9,111.78	
08SEP17	S 1 PD08DEC17	12135.00	10596.37	USD	9,231.78	
08SEP17	S 2 PD08DEC17	12080.00	10596.37	USD	17,803.56	
08SEP17	S 1 PD08DEC17	12070.00	10596.37	USD	8,841.78	
08SEP17	S 4 PD08DEC17	12045.00	10596.37	USD	34,767.12	
08SEP17	S 2 PD08DEC17	12050.00	10596.37	USD	17,443.56	
08SEP17	S 1 PD08DEC17	12060.00	10596.37	USD	8,781.78	
08SEP17	S 2 PD08DEC17	11940.00	10596.37	USD	16,123.56	
08SEP17	S 1 PD08DEC17	11965.00	10596.37	USD	8,211.78	
08SEP17	S 1 PD08DEC17	11960.00	10596.37	USD	8,181.78	
08SEP17	B 1 PD08DEC17	11975.00	10596.37	USD	-8,271.78	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0045I

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
08SEP17	B 1 PD08DEC17	11940.00	10596.37	USD	-8,061.78	
08SEP17	B 2 PD08DEC17	11935.00	10596.37	USD	-16,063.56	
08SEP17	S 1 PD08DEC17	11850.00	10596.37	USD	7,521.78	
08SEP17	S 1 PD08DEC17	11840.00	10596.37	USD	7,461.78	
08SEP17	S 1 PD08DEC17	11845.00	10596.37	USD	7,491.78	
08SEP17	S 3 PD08DEC17	11835.00	10596.37	USD	22,295.34	
08SEP17	S 3 PD08DEC17	11825.00	10596.37	USD	22,115.34	
08SEP17	B 1 PD08DEC17	11760.00	10596.37	USD	-6,981.78	
08SEP17	B 2 PD08DEC17	11755.00	10596.37	USD	-13,903.56	
08SEP17	B 1 PD08DEC17	11750.00	10596.37	USD	-6,921.78	
08SEP17	B 1 PD08DEC17	11745.00	10596.37	USD	-6,891.78	
08SEP17	B 1 PD08DEC17	11740.00	10596.37	USD	-6,861.78	
08SEP17	B 1 PD08DEC17	11735.00	10596.37	USD	-6,831.78	
08SEP17	B 2 PD08DEC17	11730.00	10596.37	USD	-13,603.56	
08SEP17	S 1 PD08DEC17	11590.00	10596.37	USD	5,961.78	
08SEP17	S 1 PD08DEC17	11510.00	10596.37	USD	5,481.78	
13SEP17	B 12 PD08DEC17	11357.46	10596.37	USD	-54,798.48	
13SEP17	B 6 PD08DEC17	11356.46	10596.37	USD	-27,363.24	
	NET 0 AVG BGHT 11738.37 SOLD 12028.41				**71,350.32**	**0.00**
11SEP17	B 2 PD11DEC17	11530.00	10599.03	USD	-11,171.64	
11SEP17	B 2 PD11DEC17	11535.00	10599.03	USD	-11,231.64	
11SEP17	B 2 PD11DEC17	11505.00	10599.03	USD	-10,871.64	
11SEP17	B 1 PD11DEC17	11750.00	10599.03	USD	-6,905.82	
11SEP17	S 1 PD11DEC17	11800.00	10599.03	USD	7,205.82	
11SEP17	S 1 PD11DEC17	11805.00	10599.03	USD	7,235.82	
11SEP17	S 7 PD11DEC17	11820.00	10599.03	USD	51,280.74	
11SEP17	S 1 PD11DEC17	11830.00	10599.03	USD	7,385.82	
13SEP17	B 3 PD11DEC17	11359.00	10599.03	USD	-13,679.46	
	NET 0 AVG BGHT 11496.70 SOLD 11817.50				**19,248.00**	**0.00**
12SEP17	B 1 PD12DEC17	11680.00	10599.91	USD	-6,480.54	
12SEP17	B 2 PD12DEC17	11675.00	10599.91	USD	-12,901.08	
12SEP17	B 1 PD12DEC17	11635.00	10599.91	USD	-6,210.54	
12SEP17	B 4 PD12DEC17	11670.00	10599.91	USD	-25,682.16	
12SEP17	B 1 PD12DEC17	11640.00	10599.91	USD	-6,240.54	
12SEP17	B 1 PD12DEC17	11650.00	10599.91	USD	-6,300.54	
12SEP17	B 1 PD12DEC17	11725.00	10599.91	USD	-6,750.54	
12SEP17	B 3 PD12DEC17	11700.00	10599.91	USD	-19,801.62	
12SEP17	B 1 PD12DEC17	11670.00	10599.91	USD	-6,420.54	
12SEP17	B 1 PD12DEC17	11765.00	10599.91	USD	-6,990.54	
12SEP17	S 2 PD12DEC17	11885.00	10599.91	USD	15,421.08	
12SEP17	S 1 PD12DEC17	11925.00	10599.91	USD	7,950.54	
12SEP17	S 1 PD12DEC17	11950.00	10599.91	USD	8,100.54	
12SEP17	S 2 PD12DEC17	11960.00	10599.91	USD	16,321.08	
12SEP17	S 2 PD12DEC17	11965.00	10599.91	USD	16,381.08	
12SEP17	S 2 PD12DEC17	11970.00	10599.91	USD	16,441.08	
12SEP17	B 4 PD12DEC17	11925.00	10599.91	USD	-31,802.16	
13SEP17	S 9 PD12DEC17	11358.50	10599.91	USD	40,963.86	
18SEP17	S 1 PD12DEC17	11230.45	10599.91	USD	3,783.24	
	NET 0 AVG BGHT 11729.75 SOLD 11644.60				**-10,218.30**	**0.00**
13SEP17	B 1 PD13DEC17	11890.00	10600.80	USD	-7,735.20	
13SEP17	B 1 PD13DEC17	11930.00	10600.80	USD	-7,975.20	
13SEP17	B 1 PD13DEC17	11825.00	10600.80	USD	-7,345.20	
13SEP17	B 1 PD13DEC17	11560.00	10600.80	USD	-5,755.20	
13SEP17	B 1 PD13DEC17	11580.00	10600.80	USD	-5,875.20	
13SEP17	B 1 PD13DEC17	11600.00	10600.80	USD	-5,995.20	
13SEP17	B 2 PD13DEC17	11585.00	10600.80	USD	-11,810.40	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0045I

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
13SEP17	S 1 PD13DEC17	11590.00	10600.80	USD	5,935.20	
13SEP17	B 1 PD13DEC17	11580.00	10600.80	USD	-5,875.20	
18SEP17	S 7 PD13DEC17	11231.21	10600.80	USD	26,477.22	
22SEP17	S 1 PD13DEC17	10411.80	10600.80	USD	-1,134.00	
	NET 0 AVG BGHT 11681.67 SOLD 11180.03				**-27,088.38**	**0.00**
14SEP17	S 1 PD14DEC17	11495.00	10601.69	USD	5,359.86	
14SEP17	S 2 PD14DEC17	11470.00	10601.69	USD	10,419.72	
14SEP17	S 1 PD14DEC17	11335.00	10601.69	USD	4,399.86	
14SEP17	B 1 PD14DEC17	11270.00	10601.69	USD	-4,009.86	
14SEP17	B 1 PD14DEC17	11265.00	10601.69	USD	-3,979.86	
14SEP17	B 1 PD14DEC17	11185.00	10601.69	USD	-3,499.86	
14SEP17	B 1 PD14DEC17	11200.00	10601.69	USD	-3,589.86	
14SEP17	S 1 PD14DEC17	11490.00	10601.69	USD	5,329.86	
14SEP17	S 1 PD14DEC17	11260.00	10601.69	USD	3,949.86	
14SEP17	S 1 PD14DEC17	11265.00	10601.69	USD	3,979.86	
14SEP17	S 2 PD14DEC17	11270.00	10601.69	USD	8,019.72	
14SEP17	S 2 PD14DEC17	11280.00	10601.69	USD	8,139.72	
14SEP17	S 1 PD14DEC17	11300.00	10601.69	USD	4,189.86	
14SEP17	S 3 PD14DEC17	11275.00	10601.69	USD	12,119.58	
14SEP17	B 1 PD14DEC17	11210.00	10601.69	USD	-3,649.86	
14SEP17	B 1 PD14DEC17	11225.00	10601.69	USD	-3,739.86	
14SEP17	B 1 PD14DEC17	11220.00	10601.69	USD	-3,709.86	
18SEP17	B 8 PD14DEC17	11232.97	10601.69	USD	-30,301.44	
	NET 0 AVG BGHT 11229.25 SOLD 11334.00				**9,427.44**	**0.00**
15SEP17	B 1 PD15DEC17	11125.00	10602.57	USD	-3,134.58	
15SEP17	B 1 PD15DEC17	11160.00	10602.57	USD	-3,344.58	
15SEP17	S 2 PD15DEC17	10920.00	10602.57	USD	3,809.16	
15SEP17	S 1 PD15DEC17	10885.00	10602.57	USD	1,694.58	
15SEP17	S 1 PD15DEC17	11040.00	10602.57	USD	2,624.58	
15SEP17	S 2 PD15DEC17	11050.00	10602.57	USD	5,369.16	
15SEP17	B 1 PD15DEC17	11095.00	10602.57	USD	-2,954.58	
15SEP17	B 2 PD15DEC17	11090.00	10602.57	USD	-5,849.16	
15SEP17	S 1 PD15DEC17	11090.00	10602.57	USD	2,924.58	
15SEP17	S 1 PD15DEC17	11095.00	10602.57	USD	2,954.58	
15SEP17	B 1 PD15DEC17	11120.00	10602.57	USD	-3,104.58	
15SEP17	B 1 PD15DEC17	11105.00	10602.57	USD	-3,014.58	
15SEP17	B 1 PD15DEC17	11100.00	10602.57	USD	-2,984.58	
	NET 0 AVG BGHT 11110.63 SOLD 11006.25				**-5,010.00**	**0.00**
18SEP17	S 1 PD18DEC17	11215.00	10605.23	USD	3,658.62	
22SEP17	B 1 PD18DEC17	10417.23	10605.23	USD	1,128.00	
	NET 0 AVG BGHT 10417.23 SOLD 11215.00				**4,786.62**	**0.00**
19SEP17	B 1 PD19DEC17	11100.00	10606.11	USD	-2,963.34	
19SEP17	B 1 PD19DEC17	11095.00	10606.11	USD	-2,933.34	
19SEP17	B 1 PD19DEC17	11035.00	10606.11	USD	-2,573.34	
19SEP17	S 1 PD19DEC17	11005.00	10606.11	USD	2,393.34	
19SEP17	B 1 PD19DEC17	10995.00	10606.11	USD	-2,333.34	
22SEP17	S 3 PD19DEC17	10417.11	10606.11	USD	-3,402.00	
	NET 0 AVG BGHT 11056.25 SOLD 10564.08				**-11,812.02**	**0.00**
20SEP17	B 1 PD20DEC17	11355.00	10607.00	USD	-4,488.00	
20SEP17	B 1 PD20DEC17	11385.00	10607.00	USD	-4,668.00	
20SEP17	S 1 PD20DEC17	11355.00	10607.00	USD	4,488.00	
20SEP17	S 1 PD20DEC17	11370.00	10607.00	USD	4,578.00	
20SEP17	S 1 PD20DEC17	11320.00	10607.00	USD	4,278.00	
20SEP17	S 2 PD20DEC17	11345.00	10607.00	USD	8,856.00	
20SEP17	S 2 PD20DEC17	11350.00	10607.00	USD	8,916.00	
22SEP17	B 3 PD20DEC17	10419.00	10607.00	USD	3,384.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0045I

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
22SEP17	B 2 PD20DEC17	10418.00	10607.00	USD	2,268.00	
	NET 0 AVG BGHT 10690.43 SOLD 11347.86				**27,612.00**	**0.00**
21SEP17	B 1 PD21DEC17	11210.00	10608.00	USD	-3,612.00	
21SEP17	B 1 PD21DEC17	11080.00	10608.00	USD	-2,832.00	
21SEP17	B 1 PD21DEC17	11010.00	10608.00	USD	-2,412.00	
21SEP17	B 2 PD21DEC17	11005.00	10608.00	USD	-4,764.00	
21SEP17	B 1 PD21DEC17	11000.00	10608.00	USD	-2,352.00	
21SEP17	B 1 PD21DEC17	11115.00	10608.00	USD	-3,042.00	
21SEP17	B 1 PD21DEC17	11105.00	10608.00	USD	-2,982.00	
21SEP17	B 1 PD21DEC17	11090.00	10608.00	USD	-2,892.00	
21SEP17	B 2 PD21DEC17	11010.00	10608.00	USD	-4,824.00	
21SEP17	B 1 PD21DEC17	11000.00	10608.00	USD	-2,352.00	
21SEP17	S 1 PD21DEC17	10715.00	10608.00	USD	642.00	
21SEP17	S 1 PD21DEC17	10750.00	10608.00	USD	852.00	
22SEP17	S 2 PD21DEC17	10418.00	10608.00	USD	-2,280.00	
28SEP17	S 4 PD21DEC17	10431.00	10608.00	USD	-4,248.00	
	NET +4 AVG BGHT 11053.33 SOLD 10503.13				**-37,098.00**	**0.00**
22SEP17	B 1 PD22DEC17	10580.00	10609.00	USD	174.00	
22SEP17	S 2 PD22DEC17	10585.00	10609.00	USD	-288.00	
22SEP17	S 1 PD22DEC17	10590.00	10609.00	USD	-114.00	
22SEP17	S 1 PD22DEC17	10535.00	10609.00	USD	-444.00	
22SEP17	B 3 PD22DEC17	10530.00	10609.00	USD	1,422.00	
22SEP17	B 1 PD22DEC17	10570.00	10609.00	USD	234.00	
22SEP17	B 1 PD22DEC17	10540.00	10609.00	USD	414.00	
22SEP17	B 2 PD22DEC17	10545.00	10609.00	USD	768.00	
22SEP17	B 1 PD22DEC17	10465.00	10609.00	USD	864.00	
	NET +5 AVG BGHT 10537.22 SOLD 10573.75				**3,030.00**	**0.00**
25SEP17	S 1 PD27DEC17	10560.00	10613.58	USD	-321.48	
25SEP17	S 2 PD27DEC17	10570.00	10613.58	USD	-522.96	
25SEP17	B 1 PD27DEC17	10500.00	10613.58	USD	681.48	
25SEP17	B 1 PD27DEC17	10535.00	10613.58	USD	471.48	
25SEP17	B 1 PD27DEC17	10525.00	10613.58	USD	531.48	
25SEP17	S 3 PD27DEC17	10565.00	10613.58	USD	-874.44	
25SEP17	S 3 PD27DEC17	10580.00	10613.58	USD	-604.44	
25SEP17	S 2 PD27DEC17	10595.00	10613.58	USD	-222.96	
25SEP17	B 1 PD27DEC17	10585.00	10613.58	USD	171.48	
26SEP17	B 1 PD27DEC17	10420.00	10613.58	USD	1,161.48	
26SEP17	B 1 PD27DEC17	10430.00	10613.58	USD	1,101.48	
26SEP17	B 1 PD27DEC17	10440.00	10613.58	USD	1,041.48	
26SEP17	B 4 PD27DEC17	10445.00	10613.58	USD	4,045.92	
26SEP17	B 1 PD27DEC17	10435.00	10613.58	USD	1,071.48	
26SEP17	B 1 PD27DEC17	10480.00	10613.58	USD	801.48	
26SEP17	B 1 PD27DEC17	10475.00	10613.58	USD	831.48	
26SEP17	B 1 PD27DEC17	10490.00	10613.58	USD	741.48	
26SEP17	B 1 PD27DEC17	10495.00	10613.58	USD	711.48	
26SEP17	B 2 PD27DEC17	10510.00	10613.58	USD	1,242.96	
26SEP17	B 2 PD27DEC17	10500.00	10613.58	USD	1,362.96	
26SEP17	B 1 PD27DEC17	10500.00	10613.58	USD	681.48	
27SEP17	S 3 PD27DEC17	10490.00	10613.58	USD	-2,224.44	
27SEP17	S 1 PD27DEC17	10510.00	10613.58	USD	-621.48	
27SEP17	S 2 PD27DEC17	10505.00	10613.58	USD	-1,302.96	
27SEP17	S 2 PD27DEC17	10520.00	10613.58	USD	-1,122.96	
27SEP17	S 1 PD27DEC17	10555.00	10613.58	USD	-351.48	
27SEP17	S 1 PD27DEC17	10560.00	10613.58	USD	-321.48	
27SEP17	S 1 PD27DEC17	10590.00	10613.58	USD	-141.48	
27SEP17	S 1 PD27DEC17	10600.00	10613.58	USD	-81.48	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0045I

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
27SEP17	S 1 PD27DEC17	10480.00	10613.58	USD	-801.48	
27SEP17	S 1 PD27DEC17	10495.00	10613.58	USD	-711.48	
27SEP17	S 2 PD27DEC17	10525.00	10613.58	USD	-1,062.96	
27SEP17	S 1 PD27DEC17	10530.00	10613.58	USD	-501.48	
27SEP17	S 1 PD27DEC17	10535.00	10613.58	USD	-471.48	
27SEP17	S 1 PD27DEC17	10560.00	10613.58	USD	-321.48	
27SEP17	B 1 PD27DEC17	10460.00	10613.58	USD	921.48	
27SEP17	B 1 PD27DEC17	10360.00	10613.58	USD	1,521.48	
27SEP17	B 1 PD27DEC17	10380.00	10613.58	USD	1,401.48	
27SEP17	B 1 PD27DEC17	10375.00	10613.58	USD	1,431.48	
27SEP17	S 2 PD27DEC17	10265.00	10613.58	USD	-4,182.96	
27SEP17	B 1 PD27DEC17	10225.00	10613.58	USD	2,331.48	
27SEP17	B 2 PD27DEC17	10230.00	10613.58	USD	4,602.96	
27SEP17	S 2 PD27DEC17	10275.00	10613.58	USD	-4,062.96	
27SEP17	B 1 PD27DEC17	10365.00	10613.58	USD	1,491.48	
27SEP17	B 1 PD27DEC17	10320.00	10613.58	USD	1,761.48	
28SEP17	B 4 PD27DEC17	10439.67	10613.58	USD	4,173.84	
	NET 0 AVG BGHT 10435.70 SOLD 10511.47				**15,457.92**	**0.00**
28SEP17	B 1 PD28DEC17	10330.00	10614.50	USD	1,707.00	
28SEP17	S 1 PD28DEC17	10250.00	10614.50	USD	-2,187.00	
28SEP17	S 1 PD28DEC17	10290.00	10614.50	USD	-1,947.00	
28SEP17	B 1 PD28DEC17	10285.00	10614.50	USD	1,977.00	
28SEP17	S 1 PD28DEC17	10265.00	10614.50	USD	-2,097.00	
28SEP17	B 1 PD28DEC17	10315.00	10614.50	USD	1,797.00	
28SEP17	B 1 PD28DEC17	10325.00	10614.50	USD	1,737.00	
28SEP17	S 1 PD28DEC17	10340.00	10614.50	USD	-1,647.00	
28SEP17	S 1 PD28DEC17	10345.00	10614.50	USD	-1,617.00	
28SEP17	B 1 PD28DEC17	10360.00	10614.50	USD	1,527.00	
28SEP17	S 1 PD28DEC17	10355.00	10614.50	USD	-1,557.00	
	NET -1 AVG BGHT 10323.00 SOLD 10307.50				**-2,307.00**	**0.00**
29SEP17	S 2 PD29DEC17	10475.00	10615.42	USD	-1,685.04	
29SEP17	S 1 PD29DEC17	10485.00	10615.42	USD	-782.52	
	NET -3 AVG SOLD 10478.33				**-2,467.56**	**0.00**
	CMX SILVER 5,000 CMX					
26SEP17	B 1 DEC17	1695.5	1665.0	USD	-1,525.00	
	NET +1 AVG BGHT 1695.5				**-1,525.00**	**0.00**
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
08AUG17	B 1 PD08NOV17	20235.00	20877.00	USD	3,210.00	
18AUG17	S 1 PD08NOV17	20266.25	20877.00	USD	-3,053.75	
	NET 0 AVG BGHT 20235.00 SOLD 20266.25				**156.25**	**0.00**
09AUG17	S 1 PD09NOV17	20275.00	20875.60	USD	-3,003.00	
18AUG17	B 1 PD09NOV17	20266.00	20875.60	USD	3,048.00	
	NET 0 AVG BGHT 20266.00 SOLD 20275.00				**45.00**	**0.00**
15AUG17	B 1 PD15NOV17	19310.00	20870.00	USD	7,800.00	
15AUG17	B 1 PD15NOV17	19225.00	20870.00	USD	8,225.00	
15AUG17	S 1 PD15NOV17	20010.00	20870.00	USD	-4,300.00	
15AUG17	S 1 PD15NOV17	19990.00	20870.00	USD	-4,400.00	
	NET 0 AVG BGHT 19267.50 SOLD 20000.00				**7,325.00**	**0.00**
13SEP17	S 1 PD13DEC17	20650.00	20846.25	USD	-981.25	
18SEP17	B 1 PD13DEC17	20705.45	20846.25	USD	704.00	
	NET 0 AVG BGHT 20705.45 SOLD 20650.00				**-277.25**	**0.00**
14SEP17	B 1 PD14DEC17	20685.00	20845.00	USD	800.00	
14SEP17	B 1 PD14DEC17	20575.00	20845.00	USD	1,350.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0045I

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
14SEP17	S 1 PD14DEC17	20665.00	20845.00	USD	-900.00	
18SEP17	S 1 PD14DEC17	20702.64	20845.00	USD	-711.80	
	NET 0 AVG BGHT 20630.00 SOLD 20683.82				**538.20**	**0.00**
15SEP17	S 1 PD15DEC17	20510.00	20843.75	USD	-1,668.75	
22SEP17	B 1 PD15DEC17	20553.75	20843.75	USD	1,450.00	
	NET 0 AVG BGHT 20553.75 SOLD 20510.00				**-218.75**	**0.00**
18SEP17	S 1 PD18DEC17	20630.00	20842.50	USD	-1,062.50	
22SEP17	B 1 PD18DEC17	20550.83	20842.50	USD	1,458.35	
	NET 0 AVG BGHT 20550.83 SOLD 20630.00				**395.85**	**0.00**
19SEP17	B 1 PD19DEC17	20700.00	20841.25	USD	706.25	
22SEP17	S 1 PD19DEC17	20546.92	20841.25	USD	-1,471.65	
	NET 0 AVG BGHT 20700.00 SOLD 20546.92				**-765.40**	**0.00**
20SEP17	B 1 PD20DEC17	20740.00	20840.00	USD	500.00	
22SEP17	S 1 PD20DEC17	20544.00	20840.00	USD	-1,480.00	
	NET 0 AVG BGHT 20740.00 SOLD 20544.00				**-980.00**	**0.00**
21SEP17	S 1 PD21DEC17	20575.00	20833.40	USD	-1,292.00	
21SEP17	B 1 PD21DEC17	20575.00	20833.40	USD	1,292.00	
	NET 0 AVG BGHT 20575.00 SOLD 20575.00				**0.00**	**0.00**
22SEP17	S 1 PD22DEC17	20470.00	20826.80	USD	-1,784.00	
	NET -1 AVG SOLD 20470.00				**-1,784.00**	**0.00**
28SEP17	S 1 PD28DEC17	20620.00	20813.60	USD	-968.00	
28SEP17	S 2 PD28DEC17	20625.00	20813.60	USD	-1,886.00	
	NET -3 AVG SOLD 20623.33				**-2,854.00**	**0.00**
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
16AUG17	B 1 PD16NOV17	3091.50	3267.50	USD	4,400.00	
23AUG17	S 1 PD16NOV17	3095.00	3267.50	USD	-4,312.50	
	NET 0 AVG BGHT 3091.50 SOLD 3095.00				**87.50**	**0.00**
18AUG17	S 1 PD17NOV17	3117.00	3265.50	USD	-3,712.50	
23AUG17	B 1 PD17NOV17	3094.70	3265.50	USD	4,270.00	
	NET 0 AVG BGHT 3094.70 SOLD 3117.00				**557.50**	**0.00**
13SEP17	B 1 PD13DEC17	3067.00	3266.75	USD	4,993.75	
13SEP17	S 1 PD13DEC17	3057.50	3266.75	USD	-5,231.25	
	NET 0 AVG BGHT 3067.00 SOLD 3057.50				**-237.50**	**0.00**
14SEP17	B 1 PD14DEC17	3008.00	3266.86	USD	6,471.50	
14SEP17	B 1 PD14DEC17	3008.50	3266.86	USD	6,459.00	
18SEP17	S 1 PD14DEC17	3097.54	3266.86	USD	-4,233.00	
22SEP17	S 1 PD14DEC17	3033.07	3266.86	USD	-5,844.75	
	NET 0 AVG BGHT 3008.25 SOLD 3065.31				**2,852.75**	**0.00**
15SEP17	S 1 PD15DEC17	3013.00	3266.96	USD	-6,349.00	
15SEP17	S 1 PD15DEC17	3006.00	3266.96	USD	-6,524.00	
15SEP17	B 1 PD15DEC17	3033.00	3266.96	USD	5,849.00	
18SEP17	B 1 PD15DEC17	3097.85	3266.96	USD	4,227.75	
	NET 0 AVG BGHT 3065.43 SOLD 3009.50				**-2,796.25**	**0.00**
18SEP17	B 1 PD18DEC17	3073.00	3267.29	USD	4,857.25	
18SEP17	S 1 PD18DEC17	3073.00	3267.29	USD	-4,857.25	
18SEP17	B 1 PD18DEC17	3095.50	3267.29	USD	4,294.75	
18SEP17	B 1 PD18DEC17	3088.50	3267.29	USD	4,469.75	
22SEP17	S 2 PD18DEC17	3033.69	3267.29	USD	-11,680.00	
	NET 0 AVG BGHT 3085.67 SOLD 3046.79				**-2,915.50**	**0.00**
19SEP17	S 1 PD19DEC17	3107.50	3267.39	USD	-3,997.25	
19SEP17	S 1 PD19DEC17	3115.00	3267.39	USD	-3,809.75	
22SEP17	B 2 PD19DEC17	3034.05	3267.39	USD	11,667.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0045I

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
	NET 0 AVG BGHT 3034.05 SOLD 3111.25				**3,860.00**	**0.00**
20SEP17	B 1 PD20DEC17	3123.50	3267.50	USD	3,600.00	
20SEP17	S 1 PD20DEC17	3133.50	3267.50	USD	-3,350.00	
	NET 0 AVG BGHT 3123.50 SOLD 3133.50				**250.00**	**0.00**
21SEP17	S 1 PD21DEC17	3081.50	3264.00	USD	-4,562.50	
21SEP17	B 1 PD21DEC17	3099.00	3264.00	USD	4,125.00	
21SEP17	S 1 PD21DEC17	3071.50	3264.00	USD	-4,812.50	
22SEP17	B 1 PD21DEC17	3032.70	3264.00	USD	5,782.50	
	NET 0 AVG BGHT 3065.85 SOLD 3076.50				**532.50**	**0.00**
25SEP17	B 1 PD27DEC17	3098.00	3264.00	USD	4,150.00	
26SEP17	S 1 PD27DEC17	3117.00	3264.00	USD	-3,675.00	
26SEP17	B 1 PD27DEC17	3115.00	3264.00	USD	3,725.00	
27SEP17	B 1 PD27DEC17	3145.00	3264.00	USD	2,975.00	
27SEP17	S 1 PD27DEC17	3120.00	3264.00	USD	-3,600.00	
27SEP17	S 1 PD27DEC17	3112.00	3264.00	USD	-3,800.00	
27SEP17	B 1 PD27DEC17	3118.00	3264.00	USD	3,650.00	
	NET +1 AVG BGHT 3119.00 SOLD 3116.33				**3,425.00**	**0.00**
28SEP17	S 1 PD28DEC17	3114.50	3264.00	USD	-3,737.50	
28SEP17	B 1 PD28DEC17	3115.50	3264.00	USD	3,712.50	
28SEP17	B 1 PD28DEC17	3138.50	3264.00	USD	3,137.50	
28SEP17	S 1 PD28DEC17	3135.00	3264.00	USD	-3,225.00	
28SEP17	S 1 PD28DEC17	3126.00	3264.00	USD	-3,450.00	
	NET -1 AVG BGHT 3127.00 SOLD 3125.17				**-3,562.50**	**0.00**

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	83,654.25
LME Copper Grade A 25	FUTURES	-41,969.00
CMX Gold	FUTURES	-516,870.00
LME Lead 25 tonnes. This is an	FUTURES	3,962.25
LME Nickel 6 tonnes. This is	FUTURES	-283,346.10
CMX Silver	FUTURES	-84,775.00
LME Tin 5 tonnes. This is an	FUTURES	417,918.90
LME Zinc 25 tonnes. This is	FUTURES	2,053.50

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options			Amount	Profit / Loss	**Total Amount**	**Total P&L**
GOLD	- Futures	USD	-7,030.00	-7,030.00		
SILV	- Futures	USD	-1,525.00	-1,525.00	**-8,555.00**	**-8,555.00**
Unrealised Profits & Losses - Forwards			**Amount**	**Profit / Loss**	**Total Amount**	**Total P&L**
ALUL	- Futures	USD	30,454.75	30,454.75		
COPL	- Futures	USD	-42,050.25	-42,050.25		
LEAD	- Futures	USD	3,962.25	3,962.25		
NKL	- Futures	USD	34,751.34	34,751.34		
TIN	- Futures	USD	1,580.90	1,580.90		
ZINC	- Futures	USD	2,053.50	2,053.50	**30,752.49**	**30,752.49**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0045I

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-26,067.81	-26,067.81
Variation Margins	- Futures	-8,555.00	-8,555.00
	- Options	0.00	0.00
TOTAL EQUITY		**-34,622.81**	**-34,622.81**
Initial Margins		-66,328.51	-66,328.51
Excess/(Shortage)		**-100,951.32**	**-100,951.32**
FOR INFORMATION ONLY			
Forward Unrealised P&L		30,752.49	30,752.49
Net Liquidating Value		**-3,870.32**	**-3,870.32**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED
SAP0045
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPG0045**

3rd Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

GROUP FINANCIAL SUMMARY

		USD (1.00000)	NET USD (1.00000)
CASH BALANCE		347,336.26	347,336.26
Variation Margins	- Futures	-10,755.00	-10,755.00
	- Options	0.00	0.00
TOTAL EQUITY		**336,581.26**	**336,581.26**
Initial Margins		-1,061,318.01	-1,061,318.01
Excess/(Shortage)		**-724,736.75**	**-724,736.75**
FOR INFORMATION ONLY			
Forward Unrealised P&L		-328,767.01	-328,767.01
Net Liquidating Value		**7,814.25**	**7,814.25**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

backoffice@sapiencap.com

SAP0046A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-50.00	-50.00
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**-50.00**	**-50.00**
Initial Margins		0.00	0.00
Excess/(Shortage)		**-50.00**	**-50.00**
FOR INFORMATION ONLY			
Net Liquidating Value		**-50.00**	**-50.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED
SAP0046
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPG0046**

3rd Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

GROUP FINANCIAL SUMMARY

		USD (1.00000)	NET USD (1.00000)
CASH BALANCE		-50.00	-50.00
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**-50.00**	**-50.00**
Initial Margins		0.00	0.00
Excess/(Shortage)		**-50.00**	**-50.00**
FOR INFORMATION ONLY			
Net Liquidating Value		**-50.00**	**-50.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

backoffice@sapiencap.com

SAP0052

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		9,864.59	9,864.59
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**9,864.59**	**9,864.59**
Initial Margins		0.00	0.00
Excess/(Shortage)		**9,864.59**	**9,864.59**
FOR INFORMATION ONLY			
Net Liquidating Value		**9,864.59**	**9,864.59**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED
SAP0052
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPG0052**

3rd Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

GROUP FINANCIAL SUMMARY

		USD (1.00000)	NET USD (1.00000)
CASH BALANCE		9,864.59	9,864.59
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**9,864.59**	**9,864.59**
Initial Margins		0.00	0.00
Excess/(Shortage)		**9,864.59**	**9,864.59**
FOR INFORMATION ONLY			
Net Liquidating Value		**9,864.59**	**9,864.59**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

backoffice@sapiencap.com

SAP0054

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-66,294.00	-66,294.00
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**-66,294.00**	**-66,294.00**
Initial Margins		0.00	0.00
Excess/(Shortage)		**-66,294.00**	**-66,294.00**
FOR INFORMATION ONLY			
Net Liquidating Value		**-66,294.00**	**-66,294.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

backoffice@sapiencap.com

SAP0054A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		GBP	USD	NETT USD
		(1.32460)	(1.00000)	(1.00000)
CASH BALANCE		6,265.00	179,449.90	187,748.52
Variation Margins	- Futures	0.00	0.00	0.00
	- Options	0.00	0.00	0.00
TOTAL EQUITY		**6,265.00**	**179,449.90**	**187,748.52**
Initial Margins		0.00	0.00	0.00
Excess/(Shortage)		**6,265.00**	**179,449.90**	**187,748.52**
FOR INFORMATION ONLY				
Net Liquidating Value		**6,265.00**	**179,449.90**	**187,748.52**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

backoffice@sapiencap.com

SAP0054B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		50.00	50.00
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**50.00**	**50.00**
Initial Margins		0.00	0.00
Excess/(Shortage)		**50.00**	**50.00**
FOR INFORMATION ONLY			
Net Liquidating Value		**50.00**	**50.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

backoffice@sapiencap.com

SAP0054C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	CMX GOLD 100 CMX					
14AUG17	S 1 DEC17	1286.6	1274.6	USD	1,200.00	
17AUG17	S 1 DEC17	1292.8	1274.6	USD	1,820.00	
17AUG17	S 1 DEC17	1293.0	1274.6	USD	1,840.00	
17AUG17	S 1 DEC17	1291.4	1274.6	USD	1,680.00	
17AUG17	S 1 DEC17	1291.1	1274.6	USD	1,650.00	
	NET -5 AVG SOLD 1291.0				**8,190.00**	**0.00**

OPEN POSITION VALUE

		USD
CMX Gold	FUTURES	645,490.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options		Amount	Profit / Loss	**Total Amount**	**Total P&L**
GOLD - Futures	USD	8,190.00	8,190.00	**8,190.00**	**8,190.00**

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		13,657.50	13,657.50
Variation Margins	- Futures	8,190.00	8,190.00
	- Options	0.00	0.00
TOTAL EQUITY		**21,847.50**	**21,847.50**
Initial Margins		-24,500.00	-24,500.00
Excess/(Shortage)		**-2,652.50**	**-2,652.50**
FOR INFORMATION ONLY			
Net Liquidating Value		**21,847.50**	**21,847.50**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

backoffice@sapiencap.com

SAP0054D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	CME EURO FX 125,000 CME					
08AUG17	S 1 DEC17	1.18430	1.17965	USD	581.25	
	NET -1 AVG SOLD 1.18430				**581.25**	**0.00**
	CMX GOLD 100 CMX					
16AUG17	S 1 DEC17	1277.6	1274.6	USD	300.00	
16AUG17	S 1 DEC17	1278.0	1274.6	USD	340.00	
17AUG17	S 1 DEC17	1292.5	1274.6	USD	1,790.00	
17AUG17	S 1 DEC17	1292.8	1274.6	USD	1,820.00	
18AUG17	S 1 DEC17	1298.2	1274.6	USD	2,360.00	
21AUG17	S 1 DEC17	1293.0	1274.6	USD	1,840.00	
06SEP17	S 1 DEC17	1343.5	1274.6	USD	6,890.00	
06SEP17	S 2 DEC17	1345.1	1274.6	USD	14,100.00	
25SEP17	S 1 DEC17	1311.6	1274.6	USD	3,700.00	
	NET -10 AVG SOLD 1307.7				**33,140.00**	**0.00**

OPEN POSITION VALUE

		USD
CME Euro FX	FUTURES	148,037.50
CMX Gold	FUTURES	1,307,740.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options		Amount	Profit / Loss	**Total Amount**	**Total P&L**
EUSD - Futures	USD	581.25	581.25		
GOLD - Futures	USD	33,140.00	33,140.00	**33,721.25**	**33,721.25**

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		124,182.36	124,182.36
Variation Margins	- Futures	33,721.25	33,721.25
	- Options	0.00	0.00
TOTAL EQUITY		**157,903.61**	**157,903.61**
Initial Margins		-51,100.00	-51,100.00
Excess/(Shortage)		**106,803.61**	**106,803.61**
FOR INFORMATION ONLY			
Net Liquidating Value		**157,903.61**	**157,903.61**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

backoffice@sapiencap.com

SAP0054E

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	CMX GOLD 100 CMX					
18AUG17	S 1 DEC17	1293.4	1274.6	USD	1,880.00	
18AUG17	S 2 DEC17	1293.3	1274.6	USD	3,740.00	
18AUG17	S 1 DEC17	1293.2	1274.6	USD	1,860.00	
18AUG17	S 1 DEC17	1293.1	1274.6	USD	1,850.00	
18AUG17	S 1 DEC17	1299.5	1274.6	USD	2,490.00	
18AUG17	S 1 DEC17	1299.4	1274.6	USD	2,480.00	
18AUG17	S 1 DEC17	1299.6	1274.6	USD	2,500.00	
28AUG17	S 1 DEC17	1311.6	1274.6	USD	3,700.00	
28AUG17	S 1 DEC17	1311.8	1274.6	USD	3,720.00	
29SEP17	S 1 DEC17	1290.2	1274.6	USD	1,560.00	
	NET -11 AVG SOLD 1298.0				**25,780.00**	**0.00**

OPEN POSITION VALUE

		USD
CMX Gold	FUTURES	1,427,840.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options		Amount	Profit / Loss	**Total Amount**	**Total P&L**
GOLD - Futures	USD	25,780.00	25,780.00	**25,780.00**	**25,780.00**

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		163,396.80	163,396.80
Variation Margins	- Futures	25,780.00	25,780.00
	- Options	0.00	0.00
TOTAL EQUITY		**189,176.80**	**189,176.80**
Initial Margins		-53,900.00	-53,900.00
Excess/(Shortage)		**135,276.80**	**135,276.80**
FOR INFORMATION ONLY			
Net Liquidating Value		**189,176.80**	**189,176.80**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

backoffice@sapiencap.com

SAP0054F

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
16AUG17	B 1 PD16NOV17	2059.50	2118.52	USD	1,475.50	
15SEP17	S 1 PD16NOV17	2078.03	2118.52	USD	-1,012.25	
	NET 0 AVG BGHT 2059.50 SOLD 2078.03				**463.25**	**0.00**
24AUG17	S 1 PD24NOV17	2116.00	2120.69	USD	-117.25	
15SEP17	B 1 PD24NOV17	2080.49	2120.69	USD	1,005.00	
	NET 0 AVG BGHT 2080.49 SOLD 2116.00				**887.75**	**0.00**
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
09AUG17	B 1 PD09NOV17	2416.50	2588.00	USD	4,287.50	
09AUG17	B 1 PD09NOV17	2412.00	2588.00	USD	4,400.00	
15SEP17	S 2 PD09NOV17	2348.82	2588.00	USD	-11,959.00	
	NET 0 AVG BGHT 2414.25 SOLD 2348.82				**-3,271.50**	**0.00**
16AUG17	B 1 PD16NOV17	2393.50	2588.13	USD	4,865.75	
15SEP17	S 1 PD16NOV17	2351.03	2588.13	USD	-5,927.50	
	NET 0 AVG BGHT 2393.50 SOLD 2351.03				**-1,061.75**	**0.00**
18AUG17	S 1 PD17NOV17	2426.00	2588.26	USD	-4,056.50	
18AUG17	S 1 PD17NOV17	2424.50	2588.26	USD	-4,094.00	
18AUG17	S 1 PD17NOV17	2400.00	2588.26	USD	-4,706.50	
15SEP17	B 3 PD17NOV17	2351.50	2588.26	USD	17,757.00	
	NET 0 AVG BGHT 2351.50 SOLD 2416.83				**4,900.00**	**0.00**
31AUG17	B 1 PD30NOV17	2398.00	2589.93	USD	4,798.25	
15SEP17	S 1 PD30NOV17	2354.88	2589.93	USD	-5,876.25	
	NET 0 AVG BGHT 2398.00 SOLD 2354.88				**-1,078.00**	**0.00**
05SEP17	B 1 PD05DEC17	2342.50	2590.57	USD	6,201.75	
05SEP17	S 2 PD05DEC17	2325.00	2590.57	USD	-13,278.50	
15SEP17	B 1 PD05DEC17	2356.45	2590.57	USD	5,853.00	
	NET 0 AVG BGHT 2349.48 SOLD 2325.00				**-1,223.75**	**0.00**
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
09AUG17	S 1 PD09NOV17	2975.00	3272.00	USD	-7,425.00	
09AUG17	S 1 PD09NOV17	2972.50	3272.00	USD	-7,487.50	
15SEP17	B 2 PD09NOV17	3028.50	3272.00	USD	12,175.00	
	NET 0 AVG BGHT 3028.50 SOLD 2973.75				**-2,737.50**	**0.00**
16AUG17	S 1 PD16NOV17	2982.00	3267.50	USD	-7,137.50	
16AUG17	S 1 PD16NOV17	2987.50	3267.50	USD	-7,000.00	
16AUG17	S 1 PD16NOV17	3020.00	3267.50	USD	-6,187.50	
16AUG17	S 1 PD16NOV17	3020.50	3267.50	USD	-6,175.00	
16AUG17	S 1 PD16NOV17	3021.00	3267.50	USD	-6,162.50	
16AUG17	B 3 PD16NOV17	3121.00	3267.50	USD	10,987.50	
15SEP17	B 2 PD16NOV17	3026.50	3267.50	USD	12,050.00	
	NET 0 AVG BGHT 3083.20 SOLD 3006.20				**-9,625.00**	**0.00**
18AUG17	B 1 PD17NOV17	3136.00	3265.50	USD	3,237.50	
18AUG17	B 1 PD17NOV17	3137.50	3265.50	USD	3,200.00	
18AUG17	B 1 PD17NOV17	3114.50	3265.50	USD	3,775.00	
15SEP17	S 3 PD17NOV17	3026.46	3265.50	USD	-17,928.00	
	NET 0 AVG BGHT 3129.33 SOLD 3026.46				**-7,715.50**	**0.00**
24AUG17	B 1 PD24NOV17	3127.50	3264.71	USD	3,430.25	
15SEP17	S 1 PD24NOV17	3027.54	3264.71	USD	-5,929.25	
	NET 0 AVG BGHT 3127.50 SOLD 3027.54				**-2,499.00**	**0.00**
31AUG17	S 1 PD30NOV17	3136.00	3265.36	USD	-3,234.00	
15SEP17	B 1 PD30NOV17	3028.67	3265.36	USD	5,917.25	
	NET 0 AVG BGHT 3028.67 SOLD 3136.00				**2,683.25**	**0.00**
05SEP17	S 1 PD05DEC17	3186.50	3265.89	USD	-1,984.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

backoffice@sapiencap.com

SAP0054F

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
05SEP17	B 2 PD05DEC17	3095.50	3265.89	USD	8,519.50	
15SEP17	S 1 PD05DEC17	3029.25	3265.89	USD	-5,916.00	
	NET 0 AVG BGHT 3095.50 SOLD 3107.88				**618.75**	**0.00**

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	1,351.00
LME Lead 25 tonnes. This is an	FUTURES	-1,735.00
LME Zinc 25 tonnes. This is	FUTURES	-19,275.00

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards			Amount	Profit / Loss	**Total Amount**	**Total P&L**
ALUL	- Futures	USD	1,351.00	1,351.00		
LEAD	- Futures	USD	-1,735.00	-1,735.00		
ZINC	- Futures	USD	-19,275.00	-19,275.00	**-19,659.00**	**-19,659.00**

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		138,368.50	138,368.50
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**138,368.50**	**138,368.50**
Initial Margins		-19,659.00	-19,659.00
Excess/(Shortage)		**118,709.50**	**118,709.50**
FOR INFORMATION ONLY			
Forward Unrealised P&L		-19,659.00	-19,659.00
Net Liquidating Value		**118,709.50**	**118,709.50**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

backoffice@sapiencap.com

SAP0054G

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	CMX GOLD 100 CMX					
26SEP17	S 3 DEC17	1315.8	1274.6	USD	12,360.00	
26SEP17	S 3 DEC17	1315.9	1274.6	USD	12,390.00	
26SEP17	S 4 DEC17	1315.7	1274.6	USD	16,440.00	
	NET -10 AVG SOLD 1315.8				**41,190.00**	**0.00**
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
09AUG17	B 1 PD09NOV17	2414.00	2588.00	USD	4,350.00	
27SEP17	S 1 PD09NOV17	2460.46	2588.00	USD	-3,188.50	
	NET 0 AVG BGHT 2414.00 SOLD 2460.46				**1,161.50**	**0.00**
10AUG17	B 1 PD10NOV17	2370.00	2588.00	USD	5,450.00	
27SEP17	S 1 PD10NOV17	2460.55	2588.00	USD	-3,186.25	
	NET 0 AVG BGHT 2370.00 SOLD 2460.55				**2,263.75**	**0.00**
16AUG17	B 1 PD16NOV17	2396.00	2588.13	USD	4,803.25	
27SEP17	S 1 PD16NOV17	2461.10	2588.13	USD	-3,175.75	
	NET 0 AVG BGHT 2396.00 SOLD 2461.10				**1,627.50**	**0.00**
18AUG17	S 1 PD17NOV17	2394.00	2588.26	USD	-4,856.50	
18AUG17	S 1 PD17NOV17	2415.50	2588.26	USD	-4,319.00	
27SEP17	B 2 PD17NOV17	2461.40	2588.26	USD	6,343.00	
	NET 0 AVG BGHT 2461.40 SOLD 2404.75				**-2,832.50**	**0.00**
22SEP17	S 1 PD22DEC17	2484.00	2592.07	USD	-2,701.75	
27SEP17	B 1 PD22DEC17	2463.03	2592.07	USD	3,226.00	
	NET 0 AVG BGHT 2463.03 SOLD 2484.00				**524.25**	**0.00**
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
09AUG17	S 1 PD09NOV17	2971.00	3272.00	USD	-7,525.00	
27SEP17	B 1 PD09NOV17	3111.25	3272.00	USD	4,018.75	
	NET 0 AVG BGHT 3111.25 SOLD 2971.00				**-3,506.25**	**0.00**
10AUG17	S 1 PD10NOV17	2940.00	3272.00	USD	-8,300.00	
27SEP17	B 1 PD10NOV17	3110.94	3272.00	USD	4,026.50	
	NET 0 AVG BGHT 3110.94 SOLD 2940.00				**-4,273.50**	**0.00**
16AUG17	S 1 PD16NOV17	3021.50	3267.50	USD	-6,150.00	
16AUG17	S 3 PD16NOV17	3022.00	3267.50	USD	-18,412.50	
16AUG17	S 3 PD16NOV17	3021.00	3267.50	USD	-18,487.50	
16AUG17	S 1 PD16NOV17	2989.00	3267.50	USD	-6,962.50	
16AUG17	B 1 PD16NOV17	3121.00	3267.50	USD	3,662.50	
16AUG17	B 2 PD16NOV17	3120.00	3267.50	USD	7,375.00	
16AUG17	B 2 PD16NOV17	3120.50	3267.50	USD	7,350.00	
16AUG17	B 2 PD16NOV17	3121.50	3267.50	USD	7,300.00	
27SEP17	B 1 PD16NOV17	3108.50	3267.50	USD	3,975.00	
	NET 0 AVG BGHT 3119.19 SOLD 3017.44				**-20,350.00**	**0.00**
18AUG17	B 1 PD17NOV17	3107.00	3265.50	USD	3,962.50	
18AUG17	B 1 PD17NOV17	3142.00	3265.50	USD	3,087.50	
27SEP17	S 2 PD17NOV17	3106.80	3265.50	USD	-7,935.00	
	NET 0 AVG BGHT 3124.50 SOLD 3106.80				**-885.00**	**0.00**
22SEP17	B 1 PD22DEC17	3054.50	3264.00	USD	5,237.50	
27SEP17	S 1 PD22DEC17	3105.55	3264.00	USD	-3,961.25	
	NET 0 AVG BGHT 3054.50 SOLD 3105.55				**1,276.25**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

backoffice@sapiencap.com

SAP0054G

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

OPEN POSITION VALUE

		USD
CMX Gold	FUTURES	1,315,790.00
LME Lead 25 tonnes. This is an	FUTURES	2,744.50
LME Zinc 25 tonnes. This is	FUTURES	-27,738.50

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options			Amount	Profit / Loss	**Total Amount**	**Total P&L**
GOLD	- Futures	USD	41,190.00	41,190.00	**41,190.00**	**41,190.00**
Unrealised Profits & Losses - Forwards						
LEAD	- Futures	USD	2,744.50	2,744.50		
ZINC	- Futures	USD	-27,738.50	-27,738.50	**-24,994.00**	**-24,994.00**

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		88,310.90	88,310.90
Variation Margins	- Futures	41,190.00	41,190.00
	- Options	0.00	0.00
TOTAL EQUITY		**129,500.90**	**129,500.90**
Initial Margins		-73,994.00	-73,994.00
Excess/(Shortage)		**55,506.90**	**55,506.90**
FOR INFORMATION ONLY			
Forward Unrealised P&L		-24,994.00	-24,994.00
Net Liquidating Value		**104,506.90**	**104,506.90**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

backoffice@sapiencap.com

SAP0054H

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	CMX SILVER 5,000 CMX					
22SEP17	B 1 DEC17	1703.5	1665.0	USD	-1,925.00	
26SEP17	B 2 DEC17	1712.5	1665.0	USD	-4,750.00	
26SEP17	B 1 DEC17	1705.0	1665.0	USD	-2,000.00	
26SEP17	B 1 DEC17	1704.5	1665.0	USD	-1,975.00	
26SEP17	B 1 DEC17	1703.0	1665.0	USD	-1,900.00	
26SEP17	B 1 DEC17	1696.0	1665.0	USD	-1,550.00	
27SEP17	B 1 DEC17	1684.0	1665.0	USD	-950.00	
29SEP17	B 1 DEC17	1679.5	1665.0	USD	-725.00	
29SEP17	B 1 DEC17	1677.5	1665.0	USD	-625.00	
	NET +10 AVG BGHT 1697.8				**-16,400.00**	**0.00**
	INR/USD FUTURES 500 CME					
26SEP17	B 1 OCT17	151.9800	152.2300	USD	125.00	
	NET +1 AVG BGHT 151.9800				**125.00**	**0.00**
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
14SEP17	S 1 PD02NOV17	3013.04	3273.50	USD	-6,511.50	
14SEP17	S 1 PD02NOV17	3013.54	3273.50	USD	-6,499.00	
14SEP17	S 1 PD02NOV17	3013.18	3273.50	USD	-6,508.00	
14SEP17	S 1 PD02NOV17	3014.68	3273.50	USD	-6,470.50	
15SEP17	S 1 PD02NOV17	3022.40	3273.50	USD	-6,277.50	
15SEP17	S 1 PD02NOV17	3024.15	3273.50	USD	-6,233.75	
15SEP17	S 1 PD02NOV17	3020.40	3273.50	USD	-6,327.50	
15SEP17	S 1 PD02NOV17	3005.40	3273.50	USD	-6,702.50	
15SEP17	S 1 PD02NOV17	3005.65	3273.50	USD	-6,696.25	
15SEP17	S 1 PD02NOV17	3005.90	3273.50	USD	-6,690.00	
15SEP17	S 1 PD02NOV17	3023.90	3273.50	USD	-6,240.00	
15SEP17	S 2 PD02NOV17	3032.90	3273.50	USD	-12,030.00	
15SEP17	S 1 PD02NOV17	3024.28	3273.50	USD	-6,230.50	
18SEP17	S 1 PD02NOV17	3087.82	3273.50	USD	-4,642.00	
18SEP17	S 3 PD02NOV17	3088.32	3273.50	USD	-13,888.50	
18SEP17	S 2 PD02NOV17	3113.00	3273.50	USD	-8,025.00	
20SEP17	S 1 PD02NOV17	3150.27	3273.50	USD	-3,080.75	
20SEP17	S 1 PD02NOV17	3150.02	3273.50	USD	-3,087.00	
20SEP17	S 1 PD02NOV17	3151.02	3273.50	USD	-3,062.00	
25SEP17	S 1 PD02NOV17	3110.94	3273.50	USD	-4,064.00	
25SEP17	S 1 PD02NOV17	3104.23	3273.50	USD	-4,231.75	
26SEP17	S 2 PD02NOV17	3146.50	3273.50	USD	-6,350.00	
	NET -27 AVG SOLD 3066.32				**-139,848.00**	**0.00**
14SEP17	S 1 PD14DEC17	3018.50	3266.86	USD	-6,209.00	
14SEP17	S 1 PD14DEC17	3016.50	3266.86	USD	-6,259.00	
14SEP17	S 2 PD14DEC17	3018.00	3266.86	USD	-12,443.00	
14SEP17	B 1 PD14DEC17	3017.90	3266.86	USD	6,224.00	
14SEP17	B 1 PD14DEC17	3018.40	3266.86	USD	6,211.50	
14SEP17	B 1 PD14DEC17	3018.16	3266.86	USD	6,217.50	
14SEP17	B 1 PD14DEC17	3019.66	3266.86	USD	6,180.00	
	NET 0 AVG BGHT 3018.53 SOLD 3017.75				**-78.00**	**0.00**
15SEP17	S 1 PD15DEC17	3026.00	3266.96	USD	-6,024.00	
15SEP17	S 1 PD15DEC17	3033.00	3266.96	USD	-5,849.00	
15SEP17	S 1 PD15DEC17	3032.00	3266.96	USD	-5,874.00	
15SEP17	S 1 PD15DEC17	3030.00	3266.96	USD	-5,924.00	
15SEP17	S 1 PD15DEC17	3020.50	3266.96	USD	-6,161.50	
15SEP17	S 1 PD15DEC17	3019.50	3266.96	USD	-6,186.50	
15SEP17	S 1 PD15DEC17	3018.00	3266.96	USD	-6,224.00	
15SEP17	S 1 PD15DEC17	3025.00	3266.96	USD	-6,049.00	
15SEP17	S 2 PD15DEC17	3024.50	3266.96	USD	-12,123.00	
15SEP17	B 1 PD15DEC17	3025.91	3266.96	USD	6,026.25	
15SEP17	B 1 PD15DEC17	3027.91	3266.96	USD	5,976.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

backoffice@sapiencap.com

SAP0054H

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
15SEP17	B 1 PD15DEC17	3024.16	3266.96	USD	6,070.00	
15SEP17	B 1 PD15DEC17	3009.16	3266.96	USD	6,445.00	
15SEP17	B 1 PD15DEC17	3009.41	3266.96	USD	6,438.75	
15SEP17	B 1 PD15DEC17	3009.66	3266.96	USD	6,432.50	
15SEP17	B 1 PD15DEC17	3027.66	3266.96	USD	5,982.50	
15SEP17	B 2 PD15DEC17	3036.66	3266.96	USD	11,515.00	
15SEP17	B 1 PD15DEC17	3028.15	3266.96	USD	5,970.25	
	NET 0 AVG BGHT 3023.53 SOLD 3025.30				**441.50**	**0.00**
18SEP17	S 1 PD18DEC17	3090.50	3267.29	USD	-4,419.75	
18SEP17	S 1 PD18DEC17	3094.00	3267.29	USD	-4,332.25	
18SEP17	S 1 PD18DEC17	3092.00	3267.29	USD	-4,382.25	
18SEP17	S 1 PD18DEC17	3092.50	3267.29	USD	-4,369.75	
18SEP17	B 1 PD18DEC17	3091.15	3267.29	USD	4,403.50	
18SEP17	B 3 PD18DEC17	3091.65	3267.29	USD	13,173.00	
18SEP17	S 1 PD18DEC17	3110.50	3267.29	USD	-3,919.75	
18SEP17	S 1 PD18DEC17	3111.00	3267.29	USD	-3,907.25	
18SEP17	B 2 PD18DEC17	3113.31	3267.29	USD	7,699.00	
	NET 0 AVG BGHT 3098.79 SOLD 3098.42				**-55.50**	**0.00**
20SEP17	S 1 PD20DEC17	3151.00	3267.50	USD	-2,912.50	
20SEP17	S 2 PD20DEC17	3149.50	3267.50	USD	-5,900.00	
20SEP17	B 1 PD20DEC17	3150.66	3267.50	USD	2,921.00	
20SEP17	B 1 PD20DEC17	3151.41	3267.50	USD	2,902.25	
20SEP17	B 1 PD20DEC17	3150.41	3267.50	USD	2,927.25	
	NET 0 AVG BGHT 3150.83 SOLD 3150.00				**-62.00**	**0.00**
25SEP17	S 1 PD27DEC17	3094.50	3264.00	USD	-4,237.50	
25SEP17	S 1 PD27DEC17	3104.00	3264.00	USD	-4,000.00	
25SEP17	B 1 PD27DEC17	3103.66	3264.00	USD	4,008.50	
25SEP17	B 1 PD27DEC17	3096.91	3264.00	USD	4,177.25	
26SEP17	S 2 PD27DEC17	3119.50	3264.00	USD	-7,225.00	
26SEP17	B 2 PD27DEC17	3137.15	3264.00	USD	6,342.50	
	NET 0 AVG BGHT 3118.72 SOLD 3109.38				**-934.25**	**0.00**
03OCT17	B 1 PD03JAN18	3262.50	3264.00	USD	37.50	
03OCT17	B 1 PD03JAN18	3262.00	3264.00	USD	50.00	
	NET +2 AVG BGHT 3262.25				**87.50**	**0.00**

OPEN POSITION VALUE

		USD
CMX Silver	FUTURES	-848,900.00
INR/USD FUTURES	FUTURES	-75,990.00
LME Zinc 25 tonnes. This is	FUTURES	1,905,963.75

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

backoffice@sapiencap.com

SAP0054H

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-74,260.05	-74,260.05
Variation Margins	- Futures	-16,275.00	-16,275.00
	- Options	0.00	0.00
TOTAL EQUITY		**-90,535.05**	**-90,535.05**
Initial Margins		-324,921.75	-324,921.75
Excess/(Shortage)		**-415,456.80**	**-415,456.80**
FOR INFORMATION ONLY			
Forward Unrealised P&L		-140,448.75	-140,448.75
Net Liquidating Value		**-230,983.80**	**-230,983.80**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

backoffice@sapiencap.com

SAP0054I

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
15SEP17	S 1 PD15DEC17	2088.00	2126.39	USD	-959.75	
03OCT17	B 1 PD15DEC17	2126.39	2126.39	USD	0.00	
	NET 0 AVG BGHT 2126.39 SOLD 2088.00				**-959.75**	**0.00**
19SEP17	S 1 PD19DEC17	2133.50	2127.48	USD	150.50	
28SEP17	B 1 PD19DEC17	2128.45	2127.48	USD	-24.25	
	NET 0 AVG BGHT 2128.45 SOLD 2133.50				**126.25**	**0.00**
20SEP17	S 1 PD20DEC17	2144.50	2127.75	USD	418.75	
03OCT17	B 1 PD20DEC17	2127.75	2127.75	USD	0.00	
	NET 0 AVG BGHT 2127.75 SOLD 2144.50				**418.75**	**0.00**
21SEP17	S 1 PD21DEC17	2183.00	2127.98	USD	1,375.50	
28SEP17	B 1 PD21DEC17	2129.03	2127.98	USD	-26.25	
	NET 0 AVG BGHT 2129.03 SOLD 2183.00				**1,349.25**	**0.00**
27SEP17	B 1 PD27DEC17	2126.50	2129.38	USD	72.00	
27SEP17	B 1 PD27DEC17	2129.00	2129.38	USD	9.50	
28SEP17	S 2 PD27DEC17	2130.52	2129.38	USD	57.00	
	NET 0 AVG BGHT 2127.75 SOLD 2130.52				**138.50**	**0.00**
28SEP17	B 1 PD28DEC17	2127.00	2129.61	USD	65.25	
03OCT17	S 1 PD28DEC17	2129.41	2129.61	USD	-5.00	
	NET 0 AVG BGHT 2127.00 SOLD 2129.41				**60.25**	**0.00**
29SEP17	B 1 PD29DEC17	2106.50	2129.84	USD	583.50	
03OCT17	S 1 PD29DEC17	2129.64	2129.84	USD	-5.00	
	NET 0 AVG BGHT 2106.50 SOLD 2129.64				**578.50**	**0.00**
	CME EURO FX 125,000 CME					
01SEP17	S 2 DEC17	1.19320	1.17965	USD	3,387.50	
01SEP17	S 1 DEC17	1.19350	1.17965	USD	1,731.25	
01SEP17	S 1 DEC17	1.19330	1.17965	USD	1,706.25	
01SEP17	S 1 DEC17	1.19315	1.17965	USD	1,687.50	
01SEP17	S 2 DEC17	1.19295	1.17965	USD	3,325.00	
12SEP17	S 1 DEC17	1.20220	1.17965	USD	2,818.75	
12SEP17	S 9 DEC17	1.20230	1.17965	USD	25,481.25	
	NET -17 AVG SOLD 1.19854				**40,137.50**	**0.00**
	CMX GOLD 100 CMX					
11AUG17	S 1 DEC17	1291.8	1274.6	USD	1,720.00	
11AUG17	S 1 DEC17	1293.4	1274.6	USD	1,880.00	
11AUG17	S 1 DEC17	1293.6	1274.6	USD	1,900.00	
11AUG17	S 1 DEC17	1294.3	1274.6	USD	1,970.00	
11AUG17	S 1 DEC17	1292.8	1274.6	USD	1,820.00	
16AUG17	S 1 DEC17	1280.1	1274.6	USD	550.00	
16AUG17	S 1 DEC17	1282.1	1274.6	USD	750.00	
18AUG17	S 2 DEC17	1299.2	1274.6	USD	4,920.00	
18AUG17	S 2 DEC17	1299.9	1274.6	USD	5,060.00	
18AUG17	S 2 DEC17	1305.8	1274.6	USD	6,240.00	
18AUG17	S 1 DEC17	1305.9	1274.6	USD	3,130.00	
21AUG17	S 2 DEC17	1293.6	1274.6	USD	3,800.00	
21AUG17	S 1 DEC17	1293.3	1274.6	USD	1,870.00	
28AUG17	S 1 DEC17	1310.8	1274.6	USD	3,620.00	
28AUG17	S 1 DEC17	1311.5	1274.6	USD	3,690.00	
30AUG17	S 2 DEC17	1317.5	1274.6	USD	8,580.00	
30AUG17	S 2 DEC17	1315.4	1274.6	USD	8,160.00	
31AUG17	S 1 DEC17	1322.3	1274.6	USD	4,770.00	
31AUG17	S 1 DEC17	1322.8	1274.6	USD	4,820.00	
31AUG17	S 1 DEC17	1323.0	1274.6	USD	4,840.00	
05SEP17	S 1 DEC17	1343.4	1274.6	USD	6,880.00	
05SEP17	S 2 DEC17	1343.7	1274.6	USD	13,820.00	
06SEP17	S 1 DEC17	1342.7	1274.6	USD	6,810.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

backoffice@sapiencap.com

SAP0054I

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	NET -30 AVG SOLD 1308.5				**101,600.00**	**0.00**
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
16AUG17	S 1 PD16NOV17	2403.00	2588.13	USD	-4,628.25	
16AUG17	S 1 PD16NOV17	2407.00	2588.13	USD	-4,528.25	
16AUG17	B 1 PD16NOV17	2492.00	2588.13	USD	2,403.25	
21AUG17	B 1 PD16NOV17	2346.00	2588.13	USD	6,053.25	
	NET 0 AVG BGHT 2419.00 SOLD 2405.00				**-700.00**	**0.00**
17AUG17	B 1 PD17NOV17	2530.00	2588.26	USD	1,456.50	
21AUG17	S 1 PD17NOV17	2346.00	2588.26	USD	-6,056.50	
	NET 0 AVG BGHT 2530.00 SOLD 2346.00				**-4,600.00**	**0.00**
31AUG17	S 1 PD30NOV17	2389.50	2589.93	USD	-5,010.75	
31AUG17	B 1 PD30NOV17	2389.00	2589.93	USD	5,023.25	
	NET 0 AVG BGHT 2389.00 SOLD 2389.50				**12.50**	**0.00**
06SEP17	S 1 PD06DEC17	2359.00	2590.70	USD	-5,792.50	
21SEP17	B 1 PD06DEC17	2517.80	2590.70	USD	1,822.50	
	NET 0 AVG BGHT 2517.80 SOLD 2359.00				**-3,970.00**	**0.00**
13SEP17	S 1 PD13DEC17	2296.00	2591.60	USD	-7,390.00	
13SEP17	S 1 PD13DEC17	2299.00	2591.60	USD	-7,315.00	
13SEP17	S 1 PD13DEC17	2308.00	2591.60	USD	-7,090.00	
21SEP17	B 3 PD13DEC17	2517.90	2591.60	USD	5,527.50	
	NET 0 AVG BGHT 2517.90 SOLD 2301.00				**-16,267.50**	**0.00**
14SEP17	B 1 PD14DEC17	2322.00	2591.73	USD	6,743.25	
21SEP17	S 1 PD14DEC17	2517.71	2591.73	USD	-1,850.50	
	NET 0 AVG BGHT 2322.00 SOLD 2517.71				**4,892.75**	**0.00**
19SEP17	B 1 PD19DEC17	2418.00	2592.37	USD	4,359.25	
19SEP17	S 1 PD19DEC17	2420.50	2592.37	USD	-4,296.75	
	NET 0 AVG BGHT 2418.00 SOLD 2420.50				**62.50**	**0.00**
20SEP17	B 1 PD20DEC17	2442.00	2592.50	USD	3,762.50	
20SEP17	B 1 PD20DEC17	2459.50	2592.50	USD	3,325.00	
20SEP17	B 1 PD20DEC17	2460.00	2592.50	USD	3,312.50	
21SEP17	S 3 PD20DEC17	2517.80	2592.50	USD	-5,602.50	
	NET 0 AVG BGHT 2453.83 SOLD 2517.80				**4,797.50**	**0.00**
22SEP17	B 1 PD22DEC17	2383.50	2592.07	USD	5,214.25	
22SEP17	S 1 PD22DEC17	2405.00	2592.07	USD	-4,676.75	
22SEP17	S 1 PD22DEC17	2480.00	2592.07	USD	-2,801.75	
28SEP17	B 1 PD22DEC17	2471.75	2592.07	USD	3,008.00	
	NET 0 AVG BGHT 2427.63 SOLD 2442.50				**743.75**	**0.00**
25SEP17	B 1 PD27DEC17	2481.50	2591.86	USD	2,759.00	
28SEP17	S 1 PD27DEC17	2470.68	2591.86	USD	-3,029.50	
	NET 0 AVG BGHT 2481.50 SOLD 2470.68				**-270.50**	**0.00**
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
17JUL17	S 1 PD17OCT17	2824.50	3291.63	USD	-11,678.25	
17JUL17	S 1 PD17OCT17	2843.50	3291.63	USD	-11,203.25	
18JUL17	B 1 PD17OCT17	2793.81	3291.63	USD	12,445.50	
25JUL17	B 1 PD17OCT17	2836.88	3291.63	USD	11,368.75	
	NET 0 AVG BGHT 2815.35 SOLD 2834.00				**932.75**	**0.00**
18JUL17	B 1 PD18OCT17	2791.50	3288.50	USD	12,425.00	
18JUL17	S 1 PD18OCT17	2793.80	3288.50	USD	-12,367.50	
	NET 0 AVG BGHT 2791.50 SOLD 2793.80				**57.50**	**0.00**
21JUL17	B 1 PD20OCT17	2753.00	3282.50	USD	13,237.50	
25JUL17	S 1 PD20OCT17	2834.97	3282.50	USD	-11,188.25	
	NET 0 AVG BGHT 2753.00 SOLD 2834.97				**2,049.25**	**0.00**
24AUG17	B 1 PD24NOV17	3107.50	3264.71	USD	3,930.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

backoffice@sapiencap.com

SAP0054I

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
24AUG17	B 1 PD24NOV17	3107.00	3264.71	USD	3,942.75	
24AUG17	B 1 PD24NOV17	3106.50	3264.71	USD	3,955.25	
24AUG17	B 1 PD24NOV17	3109.00	3264.71	USD	3,892.75	
24AUG17	B 1 PD24NOV17	3108.00	3264.71	USD	3,917.75	
25AUG17	S 1 PD24NOV17	3066.50	3264.71	USD	-4,955.25	
25AUG17	S 1 PD24NOV17	3065.50	3264.71	USD	-4,980.25	
25AUG17	S 1 PD24NOV17	3063.00	3264.71	USD	-5,042.75	
25AUG17	S 1 PD24NOV17	3062.50	3264.71	USD	-5,055.25	
25AUG17	S 1 PD24NOV17	3068.50	3264.71	USD	-4,905.25	
	NET 0 AVG BGHT 3107.60 SOLD 3065.20				**-5,300.00**	**0.00**
30AUG17	S 1 PD30NOV17	3109.50	3265.36	USD	-3,896.50	
30AUG17	S 1 PD30NOV17	3096.50	3265.36	USD	-4,221.50	
31AUG17	S 1 PD30NOV17	3121.00	3265.36	USD	-3,609.00	
31AUG17	S 1 PD30NOV17	3124.50	3265.36	USD	-3,521.50	
04SEP17	B 4 PD30NOV17	3204.50	3265.36	USD	6,086.00	
	NET 0 AVG BGHT 3204.50 SOLD 3112.88				**-9,162.50**	**0.00**
01SEP17	B 1 PD01DEC17	3205.00	3265.46	USD	1,511.50	
01SEP17	B 1 PD01DEC17	3208.00	3265.46	USD	1,436.50	
01SEP17	B 1 PD01DEC17	3209.00	3265.46	USD	1,411.50	
01SEP17	B 1 PD01DEC17	3208.50	3265.46	USD	1,424.00	
04SEP17	S 4 PD01DEC17	3204.43	3265.46	USD	-6,103.00	
	NET 0 AVG BGHT 3207.63 SOLD 3204.43				**-319.50**	**0.00**
28SEP17	B 2 PD28DEC17	3155.00	3264.00	USD	5,450.00	
28SEP17	B 1 PD28DEC17	3158.00	3264.00	USD	2,650.00	
28SEP17	B 1 PD28DEC17	3157.50	3264.00	USD	2,662.50	
03OCT17	S 4 PD28DEC17	3264.00	3264.00	USD	0.00	
	NET 0 AVG BGHT 3156.38 SOLD 3264.00				**10,762.50**	**0.00**
29SEP17	S 1 PD29DEC17	3152.00	3264.00	USD	-2,800.00	
29SEP17	S 1 PD29DEC17	3153.00	3264.00	USD	-2,775.00	
29SEP17	S 1 PD29DEC17	3157.00	3264.00	USD	-2,675.00	
29SEP17	S 1 PD29DEC17	3156.50	3264.00	USD	-2,687.50	
03OCT17	B 4 PD29DEC17	3264.20	3264.00	USD	-20.00	
	NET 0 AVG BGHT 3264.20 SOLD 3154.63				**-10,957.50**	**0.00**

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	1,711.75
CME Euro FX	FUTURES	2,546,893.75
CMX Gold	FUTURES	3,925,400.00
LME Lead 25 tonnes. This is an	FUTURES	-15,299.00
LME Zinc 25 tonnes. This is	FUTURES	-11,937.50

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

backoffice@sapiencap.com

SAP0054I

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options			Amount	Profit / Loss	**Total Amount**	**Total P&L**
EUSD	- Futures	USD	40,137.50	40,137.50		
GOLD	- Futures	USD	101,600.00	101,600.00	**141,737.50**	**141,737.50**
Unrealised Profits & Losses - Forwards			**Amount**	**Profit / Loss**	**Total Amount**	**Total P&L**
ALUL	- Futures	USD	1,711.75	1,711.75		
LEAD	- Futures	USD	-15,299.00	-15,299.00		
ZINC	- Futures	USD	-11,937.50	-11,937.50	**-25,524.75**	**-25,524.75**

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		123,111.65	123,111.65
Variation Margins	- Futures	141,737.50	141,737.50
	- Options	0.00	0.00
TOTAL EQUITY		**264,849.15**	**264,849.15**
Initial Margins		-208,224.75	-208,224.75
Excess/(Shortage)		**56,624.40**	**56,624.40**
FOR INFORMATION ONLY			
Forward Unrealised P&L		-25,524.75	-25,524.75
Net Liquidating Value		**239,324.40**	**239,324.40**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0054J

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-1,400.00	-1,400.00
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**-1,400.00**	**-1,400.00**
Initial Margins		0.00	0.00
Excess/(Shortage)		**-1,400.00**	**-1,400.00**
FOR INFORMATION ONLY			
Net Liquidating Value		**-1,400.00**	**-1,400.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0054K

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
20JUL17	S 1 PD20OCT17	1928.50	2117.03	USD	-4,713.25	
20JUL17	B 1 PD20OCT17	1923.50	2117.03	USD	4,838.25	
	NET 0 AVG BGHT 1923.50 SOLD 1928.50				**125.00**	**0.00**
26JUL17	S 1 PD26OCT17	1960.50	2119.36	USD	-3,971.50	
26JUL17	B 1 PD26OCT17	1943.50	2119.36	USD	4,396.50	
26JUL17	B 1 PD26OCT17	1936.00	2119.36	USD	4,584.00	
26JUL17	S 1 PD26OCT17	1957.50	2119.36	USD	-4,046.50	
	NET 0 AVG BGHT 1939.75 SOLD 1959.00				**962.50**	**0.00**
27JUL17	S 1 PD27OCT17	1950.00	2119.75	USD	-4,243.75	
27JUL17	B 2 PD27OCT17	1938.00	2119.75	USD	9,087.50	
27JUL17	B 1 PD27OCT17	1937.50	2119.75	USD	4,556.25	
28JUL17	S 1 PD27OCT17	1921.50	2119.75	USD	-4,956.25	
16AUG17	S 1 PD27OCT17	2090.51	2119.75	USD	-731.00	
	NET 0 AVG BGHT 1937.83 SOLD 1987.34				**3,712.75**	**0.00**
31JUL17	S 1 PD31OCT17	1919.50	2117.75	USD	-4,956.25	
16AUG17	B 1 PD31OCT17	2091.34	2117.75	USD	660.25	
	NET 0 AVG BGHT 2091.34 SOLD 1919.50				**-4,296.00**	**0.00**
04AUG17	B 1 PD03NOV17	1906.00	2116.75	USD	5,268.75	
04AUG17	B 1 PD03NOV17	1904.50	2116.75	USD	5,306.25	
16AUG17	S 2 PD03NOV17	2091.62	2116.75	USD	-1,256.50	
	NET 0 AVG BGHT 1905.25 SOLD 2091.62				**9,318.50**	**0.00**
07AUG17	S 1 PD07NOV17	1928.50	2116.08	USD	-4,689.50	
07AUG17	S 1 PD07NOV17	1936.00	2116.08	USD	-4,502.00	
07AUG17	S 1 PD07NOV17	1946.00	2116.08	USD	-4,252.00	
16AUG17	B 1 PD07NOV17	2092.25	2116.08	USD	595.75	
16AUG17	B 2 PD07NOV17	2092.45	2116.08	USD	1,181.50	
	NET 0 AVG BGHT 2092.38 SOLD 1936.83				**-11,666.25**	**0.00**
08AUG17	S 1 PD08NOV17	1996.50	2115.75	USD	-2,981.25	
08AUG17	B 1 PD08NOV17	1990.00	2115.75	USD	3,143.75	
08AUG17	B 1 PD08NOV17	1999.50	2115.75	USD	2,906.25	
16AUG17	S 1 PD08NOV17	2092.30	2115.75	USD	-586.25	
	NET 0 AVG BGHT 1994.75 SOLD 2044.40				**2,482.50**	**0.00**
11AUG17	S 1 PD10NOV17	2029.50	2116.46	USD	-2,174.00	
11AUG17	S 1 PD10NOV17	2042.00	2116.46	USD	-1,861.50	
11AUG17	S 2 PD10NOV17	2041.50	2116.46	USD	-3,748.00	
16AUG17	B 4 PD10NOV17	2093.00	2116.46	USD	2,346.00	
	NET 0 AVG BGHT 2093.00 SOLD 2038.63				**-5,437.50**	**0.00**
14AUG17	B 1 PD14NOV17	2034.50	2117.89	USD	2,084.75	
14AUG17	B 2 PD14NOV17	2035.50	2117.89	USD	4,119.50	
14AUG17	B 1 PD14NOV17	2036.50	2117.89	USD	2,034.75	
16AUG17	S 4 PD14NOV17	2093.80	2117.89	USD	-2,409.00	
	NET 0 AVG BGHT 2035.50 SOLD 2093.80				**5,830.00**	**0.00**
16AUG17	S 1 PD16NOV17	2069.00	2118.52	USD	-1,238.00	
16AUG17	B 1 PD16NOV17	2059.00	2118.52	USD	1,488.00	
	NET 0 AVG BGHT 2059.00 SOLD 2069.00				**250.00**	**0.00**
18AUG17	S 1 PD17NOV17	2091.50	2118.79	USD	-682.25	
18AUG17	S 1 PD17NOV17	2062.50	2118.79	USD	-1,407.25	
18AUG17	S 2 PD17NOV17	2062.00	2118.79	USD	-2,839.50	
06SEP17	B 4 PD17NOV17	2100.00	2118.79	USD	1,879.00	
	NET 0 AVG BGHT 2100.00 SOLD 2069.50				**-3,050.00**	**0.00**
21AUG17	S 1 PD21NOV17	2087.50	2119.88	USD	-809.50	
21AUG17	S 1 PD21NOV17	2087.00	2119.88	USD	-822.00	
21AUG17	B 1 PD21NOV17	2091.50	2119.88	USD	709.50	
21AUG17	B 1 PD21NOV17	2089.50	2119.88	USD	759.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0054K

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.							
21AUG17	B	1	PD21NOV17	2090.00	2119.88	USD	747.00	
21AUG17	B	1	PD21NOV17	2089.00	2119.88	USD	772.00	
21AUG17	B	1	PD21NOV17	2085.50	2119.88	USD	859.50	
21AUG17	S	1	PD21NOV17	2081.50	2119.88	USD	-959.50	
21AUG17	S	1	PD21NOV17	2082.00	2119.88	USD	-947.00	
06SEP17	S	1	PD21NOV17	2100.75	2119.88	USD	-478.25	
	NET	**0**	**AVG BGHT 2089.10**	**SOLD 2087.75**			**-168.75**	**0.00**
22AUG17	B	1	PD22NOV17	2073.00	2120.15	USD	1,178.75	
22AUG17	B	2	PD22NOV17	2074.00	2120.15	USD	2,307.50	
06SEP17	S	3	PD22NOV17	2100.98	2120.15	USD	-1,437.75	
	NET	**0**	**AVG BGHT 2073.67**	**SOLD 2100.98**			**2,048.50**	**0.00**
23AUG17	S	1	PD24NOV17	2081.00	2120.69	USD	-992.25	
23AUG17	B	1	PD24NOV17	2109.00	2120.69	USD	292.25	
24AUG17	S	1	PD24NOV17	2099.00	2120.69	USD	-542.25	
24AUG17	B	1	PD24NOV17	2093.50	2120.69	USD	679.75	
	NET	**0**	**AVG BGHT 2101.25**	**SOLD 2090.00**			**-562.50**	**0.00**
31AUG17	S	1	PD30NOV17	2106.00	2122.32	USD	-408.00	
06SEP17	B	1	PD30NOV17	2103.08	2122.32	USD	481.00	
	NET	**0**	**AVG BGHT 2103.08**	**SOLD 2106.00**			**73.00**	**0.00**
01SEP17	B	1	PD01DEC17	2129.50	2122.59	USD	-172.75	
06SEP17	S	1	PD01DEC17	2103.12	2122.59	USD	-486.75	
	NET	**0**	**AVG BGHT 2129.50**	**SOLD 2103.12**			**-659.50**	**0.00**
04SEP17	S	1	PD04DEC17	2131.50	2123.41	USD	202.25	
04SEP17	B	1	PD04DEC17	2119.00	2123.41	USD	110.25	
	NET	**0**	**AVG BGHT 2119.00**	**SOLD 2131.50**			**312.50**	**0.00**
06SEP17	S	1	PD06DEC17	2088.50	2123.95	USD	-886.25	
02OCT17	B	1	PD06DEC17	2093.75	2123.95	USD	755.00	
	NET	**0**	**AVG BGHT 2093.75**	**SOLD 2088.50**			**-131.25**	**0.00**
07SEP17	B	1	PD07DEC17	2098.00	2124.22	USD	655.50	
02OCT17	S	1	PD07DEC17	2093.84	2124.22	USD	-759.50	
	NET	**0**	**AVG BGHT 2098.00**	**SOLD 2093.84**			**-104.00**	**0.00**
11SEP17	S	1	PD11DEC17	2106.50	2125.31	USD	-470.25	
11SEP17	S	1	PD11DEC17	2124.00	2125.31	USD	-32.75	
11SEP17	B	1	PD11DEC17	2118.50	2125.31	USD	170.25	
11SEP17	B	1	PD11DEC17	2119.00	2125.31	USD	157.75	
	NET	**0**	**AVG BGHT 2118.75**	**SOLD 2115.25**			**-175.00**	**0.00**
12SEP17	S	2	PD12DEC17	2117.50	2125.58	USD	-404.00	
12SEP17	S	1	PD12DEC17	2123.00	2125.58	USD	-64.50	
12SEP17	S	1	PD12DEC17	2122.00	2125.58	USD	-89.50	
12SEP17	S	1	PD12DEC17	2123.50	2125.58	USD	-52.00	
12SEP17	S	1	PD12DEC17	2139.00	2125.58	USD	335.50	
12SEP17	S	1	PD12DEC17	2141.50	2125.58	USD	398.00	
12SEP17	B	1	PD12DEC17	2139.00	2125.58	USD	-335.50	
12SEP17	S	1	PD12DEC17	2140.50	2125.58	USD	373.00	
02OCT17	B	7	PD12DEC17	2095.46	2125.58	USD	5,271.00	
	NET	**0**	**AVG BGHT 2100.90**	**SOLD 2128.06**			**5,432.00**	**0.00**
13SEP17	B	2	PD13DEC17	2134.50	2125.85	USD	-432.50	
13SEP17	S	1	PD13DEC17	2134.00	2125.85	USD	203.75	
13SEP17	B	2	PD13DEC17	2131.50	2125.85	USD	-282.50	
13SEP17	B	1	PD13DEC17	2130.00	2125.85	USD	-103.75	
13SEP17	B	1	PD13DEC17	2126.00	2125.85	USD	-3.75	
13SEP17	B	1	PD13DEC17	2123.00	2125.85	USD	71.25	
13SEP17	S	1	PD13DEC17	2118.00	2125.85	USD	-196.25	
13SEP17	S	1	PD13DEC17	2111.50	2125.85	USD	-358.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0054K

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
13SEP17	S 1 PD13DEC17	2116.50	2125.85	USD	-233.75	
02OCT17	S 3 PD13DEC17	2095.75	2125.85	USD	-2,257.50	
	NET 0 AVG BGHT 2130.14 SOLD 2109.61				**-3,593.75**	**0.00**
14SEP17	S 1 PD14DEC17	2124.50	2126.12	USD	-40.50	
14SEP17	B 1 PD14DEC17	2091.50	2126.12	USD	865.50	
14SEP17	B 1 PD14DEC17	2081.50	2126.12	USD	1,115.50	
14SEP17	B 1 PD14DEC17	2088.50	2126.12	USD	940.50	
14SEP17	S 1 PD14DEC17	2099.50	2126.12	USD	-665.50	
14SEP17	S 1 PD14DEC17	2102.50	2126.12	USD	-590.50	
14SEP17	S 1 PD14DEC17	2097.50	2126.12	USD	-715.50	
14SEP17	S 1 PD14DEC17	2100.00	2126.12	USD	-653.00	
14SEP17	S 1 PD14DEC17	2104.00	2126.12	USD	-553.00	
02OCT17	B 3 PD14DEC17	2096.24	2126.12	USD	2,241.00	
	NET 0 AVG BGHT 2091.70 SOLD 2104.67				**1,944.50**	**0.00**
15SEP17	B 1 PD15DEC17	2096.50	2126.39	USD	747.25	
15SEP17	B 1 PD15DEC17	2098.00	2126.39	USD	709.75	
15SEP17	B 1 PD15DEC17	2102.50	2126.39	USD	597.25	
15SEP17	B 1 PD15DEC17	2097.00	2126.39	USD	734.75	
15SEP17	B 1 PD15DEC17	2098.50	2126.39	USD	697.25	
15SEP17	B 1 PD15DEC17	2094.50	2126.39	USD	797.25	
15SEP17	B 1 PD15DEC17	2089.00	2126.39	USD	934.75	
15SEP17	S 4 PD15DEC17	2087.00	2126.39	USD	-3,939.00	
15SEP17	S 1 PD15DEC17	2087.50	2126.39	USD	-972.25	
15SEP17	S 2 PD15DEC17	2088.00	2126.39	USD	-1,919.50	
	NET 0 AVG BGHT 2096.57 SOLD 2087.36				**-1,612.50**	**0.00**
18SEP17	B 1 PD18DEC17	2090.50	2127.21	USD	917.75	
18SEP17	B 1 PD18DEC17	2093.00	2127.21	USD	855.25	
02OCT17	S 2 PD18DEC17	2096.98	2127.21	USD	-1,511.50	
	NET 0 AVG BGHT 2091.75 SOLD 2096.98				**261.50**	**0.00**
19SEP17	S 1 PD19DEC17	2109.50	2127.48	USD	-449.50	
19SEP17	S 1 PD19DEC17	2114.00	2127.48	USD	-337.00	
19SEP17	B 1 PD19DEC17	2123.00	2127.48	USD	112.00	
19SEP17	B 1 PD19DEC17	2126.00	2127.48	USD	37.00	
19SEP17	B 1 PD19DEC17	2116.00	2127.48	USD	287.00	
19SEP17	B 1 PD19DEC17	2117.00	2127.48	USD	262.00	
19SEP17	S 1 PD19DEC17	2127.50	2127.48	USD	0.50	
19SEP17	S 1 PD19DEC17	2127.00	2127.48	USD	-12.00	
	NET 0 AVG BGHT 2120.50 SOLD 2119.50				**-100.00**	**0.00**
20SEP17	B 1 PD20DEC17	2162.00	2127.75	USD	-856.25	
20SEP17	B 2 PD20DEC17	2159.00	2127.75	USD	-1,562.50	
20SEP17	B 1 PD20DEC17	2155.50	2127.75	USD	-693.75	
20SEP17	B 1 PD20DEC17	2157.50	2127.75	USD	-743.75	
20SEP17	B 1 PD20DEC17	2178.00	2127.75	USD	-1,256.25	
20SEP17	B 1 PD20DEC17	2167.50	2127.75	USD	-993.75	
20SEP17	S 1 PD20DEC17	2173.50	2127.75	USD	1,143.75	
20SEP17	S 1 PD20DEC17	2176.00	2127.75	USD	1,206.25	
20SEP17	S 2 PD20DEC17	2181.50	2127.75	USD	2,687.50	
20SEP17	S 3 PD20DEC17	2183.00	2127.75	USD	4,143.75	
	NET 0 AVG BGHT 2162.64 SOLD 2180.21				**3,075.00**	**0.00**
22SEP17	S 1 PD22DEC17	2152.00	2128.21	USD	594.75	
02OCT17	B 1 PD22DEC17	2098.25	2128.21	USD	749.00	
	NET 0 AVG BGHT 2098.25 SOLD 2152.00				**1,343.75**	**0.00**
25SEP17	B 2 PD27DEC17	2152.50	2129.38	USD	-1,156.00	
25SEP17	B 1 PD27DEC17	2147.00	2129.38	USD	-440.50	
25SEP17	B 1 PD27DEC17	2133.50	2129.38	USD	-103.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0054K

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
25SEP17	B 1 PD27DEC17	2135.00	2129.38	USD	-140.50	
25SEP17	B 1 PD27DEC17	2135.50	2129.38	USD	-153.00	
25SEP17	S 1 PD27DEC17	2152.50	2129.38	USD	578.00	
25SEP17	S 1 PD27DEC17	2154.50	2129.38	USD	628.00	
26SEP17	B 1 PD27DEC17	2156.00	2129.38	USD	-665.50	
26SEP17	B 1 PD27DEC17	2153.50	2129.38	USD	-603.00	
27SEP17	S 1 PD27DEC17	2137.50	2129.38	USD	203.00	
27SEP17	B 1 PD27DEC17	2133.00	2129.38	USD	-90.50	
02OCT17	S 6 PD27DEC17	2099.30	2129.38	USD	-4,512.00	
	NET 0 AVG BGHT 2144.28 SOLD 2115.59				**-6,455.00**	**0.00**
03OCT17	B 2 PD03JAN18	2120.00	2131.00	USD	550.00	
03OCT17	S 1 PD03JAN18	2132.00	2131.00	USD	25.00	
03OCT17	S 1 PD03JAN18	2133.00	2131.00	USD	50.00	
	NET 0 AVG BGHT 2120.00 SOLD 2132.50				**625.00**	**0.00**
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
28JUL17	S 1 PD27OCT17	6336.00	6491.36	USD	-3,884.00	
16AUG17	B 1 PD27OCT17	6525.04	6491.36	USD	-842.00	
	NET 0 AVG BGHT 6525.04 SOLD 6336.00				**-4,726.00**	**0.00**
31JUL17	B 1 PD31OCT17	6378.00	6493.50	USD	2,887.50	
16AUG17	S 1 PD31OCT17	6526.99	6493.50	USD	837.25	
	NET 0 AVG BGHT 6378.00 SOLD 6526.99				**3,724.75**	**0.00**
	CMX GOLD 100 CMX					
29AUG17	S 1 DEC17	1328.6	1274.6	USD	5,400.00	
29AUG17	S 2 DEC17	1329.1	1274.6	USD	10,900.00	
29AUG17	S 2 DEC17	1331.2	1274.6	USD	11,320.00	
29AUG17	S 1 DEC17	1319.3	1274.6	USD	4,470.00	
05SEP17	S 1 DEC17	1340.3	1274.6	USD	6,570.00	
05SEP17	S 2 DEC17	1344.4	1274.6	USD	13,960.00	
05SEP17	S 1 DEC17	1343.3	1274.6	USD	6,870.00	
05SEP17	S 1 DEC17	1343.0	1274.6	USD	6,840.00	
05SEP17	S 1 DEC17	1344.6	1274.6	USD	7,000.00	
06SEP17	S 3 DEC17	1344.8	1274.6	USD	21,060.00	
06SEP17	S 4 DEC17	1344.7	1274.6	USD	28,040.00	
06SEP17	S 5 DEC17	1345.3	1274.6	USD	35,350.00	
06SEP17	S 4 DEC17	1345.4	1274.6	USD	28,320.00	
06SEP17	S 3 DEC17	1345.5	1274.6	USD	21,270.00	
06SEP17	S 2 DEC17	1345.6	1274.6	USD	14,200.00	
07SEP17	S 1 DEC17	1350.9	1274.6	USD	7,630.00	
07SEP17	S 2 DEC17	1352.8	1274.6	USD	15,640.00	
19SEP17	S 1 DEC17	1311.7	1274.6	USD	3,710.00	
20SEP17	S 1 DEC17	1316.1	1274.6	USD	4,150.00	
27SEP17	S 4 DEC17	1287.0	1274.6	USD	4,960.00	
	NET -42 AVG SOLD 1335.9				**257,660.00**	**0.00**
	NYM HENRY HUB NATURAL GAS 10,000 NYM					
28SEP17	S 3 NOV17	3.06600	2.89500	USD	5,130.00	
	NET -3 AVG SOLD 3.06600				**5,130.00**	**0.00**
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
13JUL17	S 1 PD13OCT17	2322.50	2586.85	USD	-6,608.75	
13JUL17	B 1 PD13OCT17	2313.00	2586.85	USD	6,846.25	
14JUL17	S 1 PD13OCT17	2275.00	2586.85	USD	-7,796.25	
14JUL17	S 1 PD13OCT17	2313.00	2586.85	USD	-6,846.25	
14JUL17	S 1 PD13OCT17	2314.00	2586.85	USD	-6,821.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0054K

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
14JUL17	S	1	PD13OCT17	2316.50	2586.85	USD	-6,758.75	
27JUL17	S	12	PD13OCT17	2307.61	2586.85	USD	-83,772.00	
28JUL17	B	16	PD13OCT17	2318.77	2586.85	USD	107,232.00	
	NET	**0**	**AVG BGHT 2318.43**	**SOLD 2307.78**			**-4,525.00**	**0.00**
17JUL17	B	1	PD17OCT17	2321.00	2587.77	USD	6,669.25	
17JUL17	S	1	PD17OCT17	2317.00	2587.77	USD	-6,769.25	
17JUL17	S	1	PD17OCT17	2321.00	2587.77	USD	-6,669.25	
17JUL17	B	1	PD17OCT17	2314.50	2587.77	USD	6,831.75	
17JUL17	S	1	PD17OCT17	2299.00	2587.77	USD	-7,219.25	
17JUL17	B	1	PD17OCT17	2297.50	2587.77	USD	7,256.75	
17JUL17	B	1	PD17OCT17	2293.50	2587.77	USD	7,356.75	
17JUL17	S	1	PD17OCT17	2297.00	2587.77	USD	-7,269.25	
	NET	**0**	**AVG BGHT 2306.63**	**SOLD 2308.50**			**187.50**	**0.00**
18JUL17	B	2	PD18OCT17	2285.50	2588.00	USD	15,125.00	
18JUL17	S	1	PD18OCT17	2266.50	2588.00	USD	-8,037.50	
18JUL17	B	1	PD18OCT17	2274.50	2588.00	USD	7,837.50	
18JUL17	B	2	PD18OCT17	2275.50	2588.00	USD	15,625.00	
27JUL17	B	12	PD18OCT17	2308.70	2588.00	USD	83,790.00	
28JUL17	S	16	PD18OCT17	2319.55	2588.00	USD	-107,380.00	
	NET	**0**	**AVG BGHT 2300.05**	**SOLD 2316.43**			**6,960.00**	**0.00**
19JUL17	S	1	PD19OCT17	2245.00	2588.00	USD	-8,575.00	
19JUL17	B	1	PD19OCT17	2237.00	2588.00	USD	8,775.00	
	NET	**0**	**AVG BGHT 2237.00**	**SOLD 2245.00**			**200.00**	**0.00**
20JUL17	S	1	PD20OCT17	2225.00	2588.00	USD	-9,075.00	
20JUL17	S	1	PD20OCT17	2224.50	2588.00	USD	-9,087.50	
20JUL17	S	1	PD20OCT17	2206.00	2588.00	USD	-9,550.00	
20JUL17	S	1	PD20OCT17	2218.00	2588.00	USD	-9,250.00	
20JUL17	S	1	PD20OCT17	2219.50	2588.00	USD	-9,212.50	
20JUL17	B	1	PD20OCT17	2217.00	2588.00	USD	9,275.00	
20JUL17	S	1	PD20OCT17	2217.00	2588.00	USD	-9,275.00	
21JUL17	B	1	PD20OCT17	2244.50	2588.00	USD	8,587.50	
21JUL17	S	1	PD20OCT17	2244.50	2588.00	USD	-8,587.50	
21JUL17	B	1	PD20OCT17	2248.50	2588.00	USD	8,487.50	
21JUL17	B	1	PD20OCT17	2246.50	2588.00	USD	8,537.50	
21JUL17	B	1	PD20OCT17	2249.00	2588.00	USD	8,475.00	
21JUL17	B	1	PD20OCT17	2247.00	2588.00	USD	8,525.00	
21JUL17	B	1	PD20OCT17	2246.00	2588.00	USD	8,550.00	
	NET	**0**	**AVG BGHT 2242.64**	**SOLD 2222.07**			**-3,600.00**	**0.00**
24JUL17	S	1	PD24OCT17	2254.00	2588.00	USD	-8,350.00	
24JUL17	B	1	PD24OCT17	2256.00	2588.00	USD	8,300.00	
24JUL17	S	1	PD24OCT17	2256.00	2588.00	USD	-8,300.00	
24JUL17	B	1	PD24OCT17	2258.00	2588.00	USD	8,250.00	
	NET	**0**	**AVG BGHT 2257.00**	**SOLD 2255.00**			**-100.00**	**0.00**
26JUL17	S	1	PD26OCT17	2345.50	2588.00	USD	-6,062.50	
26JUL17	B	1	PD26OCT17	2305.50	2588.00	USD	7,062.50	
26JUL17	S	1	PD26OCT17	2305.00	2588.00	USD	-7,075.00	
26JUL17	B	1	PD26OCT17	2330.50	2588.00	USD	6,437.50	
26JUL17	B	1	PD26OCT17	2308.50	2588.00	USD	6,987.50	
26JUL17	S	1	PD26OCT17	2311.50	2588.00	USD	-6,912.50	
	NET	**0**	**AVG BGHT 2314.83**	**SOLD 2320.67**			**437.50**	**0.00**
31JUL17	B	1	PD31OCT17	2336.50	2588.00	USD	6,287.50	
31JUL17	S	1	PD31OCT17	2344.50	2588.00	USD	-6,087.50	
31JUL17	B	1	PD31OCT17	2325.50	2588.00	USD	6,562.50	
31JUL17	S	1	PD31OCT17	2328.50	2588.00	USD	-6,487.50	
31JUL17	S	1	PD31OCT17	2329.50	2588.00	USD	-6,462.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0054K

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT								
31JUL17	B	1	PD31OCT17		2323.00	2588.00	USD	6,625.00	
31JUL17	S	1	PD31OCT17		2330.50	2588.00	USD	-6,437.50	
31JUL17	S	2	PD31OCT17		2331.00	2588.00	USD	-12,850.00	
16AUG17	B	3	PD31OCT17		2515.51	2588.00	USD	5,436.75	
	NET	**0**	**AVG BGHT 2421.92**	**SOLD 2332.50**				**-13,413.25**	**0.00**
01AUG17	B	1	PD01NOV17		2315.00	2588.00	USD	6,825.00	
01AUG17	B	2	PD01NOV17		2316.00	2588.00	USD	13,600.00	
01AUG17	S	1	PD01NOV17		2334.50	2588.00	USD	-6,337.50	
16AUG17	S	2	PD01NOV17		2515.49	2588.00	USD	-3,625.50	
	NET	**0**	**AVG BGHT 2315.67**	**SOLD 2455.16**				**10,462.00**	**0.00**
04AUG17	S	1	PD03NOV17		2373.00	2588.00	USD	-5,375.00	
04AUG17	S	1	PD03NOV17		2372.50	2588.00	USD	-5,387.50	
04AUG17	B	1	PD03NOV17		2367.00	2588.00	USD	5,525.00	
04AUG17	B	1	PD03NOV17		2365.00	2588.00	USD	5,575.00	
04AUG17	B	1	PD03NOV17		2353.50	2588.00	USD	5,862.50	
04AUG17	S	1	PD03NOV17		2355.50	2588.00	USD	-5,812.50	
	NET	**0**	**AVG BGHT 2361.83**	**SOLD 2367.00**				**387.50**	**0.00**
07AUG17	B	1	PD07NOV17		2365.00	2588.00	USD	5,575.00	
07AUG17	S	1	PD07NOV17		2380.00	2588.00	USD	-5,200.00	
07AUG17	B	1	PD07NOV17		2384.50	2588.00	USD	5,087.50	
16AUG17	S	1	PD07NOV17		2516.59	2588.00	USD	-1,785.25	
	NET	**0**	**AVG BGHT 2374.75**	**SOLD 2448.30**				**3,677.25**	**0.00**
08AUG17	B	1	PD08NOV17		2366.50	2588.00	USD	5,537.50	
08AUG17	B	1	PD08NOV17		2370.00	2588.00	USD	5,450.00	
08AUG17	S	1	PD08NOV17		2370.00	2588.00	USD	-5,450.00	
08AUG17	B	1	PD08NOV17		2365.00	2588.00	USD	5,575.00	
08AUG17	S	1	PD08NOV17		2357.00	2588.00	USD	-5,775.00	
08AUG17	S	1	PD08NOV17		2348.00	2588.00	USD	-6,000.00	
08AUG17	S	1	PD08NOV17		2388.00	2588.00	USD	-5,000.00	
08AUG17	B	1	PD08NOV17		2394.00	2588.00	USD	4,850.00	
	NET	**0**	**AVG BGHT 2373.88**	**SOLD 2365.75**				**-812.50**	**0.00**
09AUG17	B	1	PD09NOV17		2380.50	2588.00	USD	5,187.50	
09AUG17	S	1	PD09NOV17		2403.50	2588.00	USD	-4,612.50	
09AUG17	B	1	PD09NOV17		2383.50	2588.00	USD	5,112.50	
09AUG17	B	1	PD09NOV17		2354.00	2588.00	USD	5,850.00	
16AUG17	S	2	PD09NOV17		2517.15	2588.00	USD	-3,542.50	
	NET	**0**	**AVG BGHT 2372.67**	**SOLD 2479.27**				**7,995.00**	**0.00**
10AUG17	S	1	PD10NOV17		2378.50	2588.00	USD	-5,237.50	
10AUG17	S	1	PD10NOV17		2377.00	2588.00	USD	-5,275.00	
10AUG17	B	1	PD10NOV17		2384.50	2588.00	USD	5,087.50	
11AUG17	S	1	PD10NOV17		2339.00	2588.00	USD	-6,225.00	
11AUG17	B	1	PD10NOV17		2331.00	2588.00	USD	6,425.00	
16AUG17	B	1	PD10NOV17		2517.54	2588.00	USD	1,761.50	
	NET	**0**	**AVG BGHT 2411.01**	**SOLD 2364.83**				**-3,463.50**	**0.00**
14AUG17	S	1	PD14NOV17		2346.50	2588.00	USD	-6,037.50	
16AUG17	B	1	PD14NOV17		2518.27	2588.00	USD	1,743.25	
	NET	**0**	**AVG BGHT 2518.27**	**SOLD 2346.50**				**-4,294.25**	**0.00**
16AUG17	S	1	PD16NOV17		2389.50	2588.13	USD	-4,965.75	
16AUG17	B	1	PD16NOV17		2422.50	2588.13	USD	4,140.75	
16AUG17	S	1	PD16NOV17		2507.00	2588.13	USD	-2,028.25	
16AUG17	B	1	PD16NOV17		2510.00	2588.13	USD	1,953.25	
	NET	**0**	**AVG BGHT 2466.25**	**SOLD 2448.25**				**-900.00**	**0.00**
17AUG17	B	1	PD17NOV17		2497.50	2588.26	USD	2,269.00	
17AUG17	B	1	PD17NOV17		2465.00	2588.26	USD	3,081.50	
17AUG17	S	1	PD17NOV17		2421.50	2588.26	USD	-4,169.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0054K

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
17AUG17	B 1 PD17NOV17	2424.50	2588.26	USD	4,094.00	
18AUG17	S 1 PD17NOV17	2429.50	2588.26	USD	-3,969.00	
18AUG17	B 1 PD17NOV17	2437.00	2588.26	USD	3,781.50	
18AUG17	S 1 PD17NOV17	2417.50	2588.26	USD	-4,269.00	
18AUG17	B 1 PD17NOV17	2422.00	2588.26	USD	4,156.50	
18AUG17	B 1 PD17NOV17	2420.50	2588.26	USD	4,194.00	
18AUG17	B 1 PD17NOV17	2359.00	2588.26	USD	5,731.50	
06SEP17	S 4 PD17NOV17	2334.78	2588.26	USD	-25,348.00	
	NET 0 AVG BGHT 2432.21 SOLD 2372.52				**-10,447.00**	**0.00**
21AUG17	S 1 PD21NOV17	2387.50	2588.77	USD	-5,031.75	
21AUG17	S 1 PD21NOV17	2389.50	2588.77	USD	-4,981.75	
21AUG17	B 1 PD21NOV17	2357.00	2588.77	USD	5,794.25	
21AUG17	B 1 PD21NOV17	2369.50	2588.77	USD	5,481.75	
21AUG17	S 1 PD21NOV17	2359.00	2588.77	USD	-5,744.25	
06SEP17	B 1 PD21NOV17	2336.08	2588.77	USD	6,317.25	
	NET 0 AVG BGHT 2354.19 SOLD 2378.67				**1,835.50**	**0.00**
22AUG17	S 1 PD22NOV17	2349.50	2588.90	USD	-5,985.00	
22AUG17	S 1 PD22NOV17	2360.00	2588.90	USD	-5,722.50	
22AUG17	S 1 PD22NOV17	2362.00	2588.90	USD	-5,672.50	
22AUG17	S 1 PD22NOV17	2373.50	2588.90	USD	-5,385.00	
22AUG17	B 1 PD22NOV17	2395.00	2588.90	USD	4,847.50	
06SEP17	B 3 PD22NOV17	2336.35	2588.90	USD	18,941.25	
	NET 0 AVG BGHT 2351.01 SOLD 2361.25				**1,023.75**	**0.00**
25AUG17	S 1 PD24NOV17	2366.50	2589.16	USD	-5,566.50	
06SEP17	B 1 PD24NOV17	2336.70	2589.16	USD	6,311.50	
	NET 0 AVG BGHT 2336.70 SOLD 2366.50				**745.00**	**0.00**
29AUG17	B 1 PD29NOV17	2344.50	2589.80	USD	6,132.50	
06SEP17	S 1 PD29NOV17	2337.88	2589.80	USD	-6,298.00	
	NET 0 AVG BGHT 2344.50 SOLD 2337.88				**-165.50**	**0.00**
30AUG17	B 1 PD30NOV17	2376.50	2589.93	USD	5,335.75	
31AUG17	B 1 PD30NOV17	2378.00	2589.93	USD	5,298.25	
06SEP17	S 2 PD30NOV17	2338.35	2589.93	USD	-12,579.00	
	NET 0 AVG BGHT 2377.25 SOLD 2338.35				**-1,945.00**	**0.00**
01SEP17	S 1 PD01DEC17	2389.00	2590.06	USD	-5,026.50	
01SEP17	S 1 PD01DEC17	2399.00	2590.06	USD	-4,776.50	
01SEP17	S 1 PD01DEC17	2405.50	2590.06	USD	-4,614.00	
01SEP17	B 1 PD01DEC17	2391.50	2590.06	USD	4,964.00	
01SEP17	B 1 PD01DEC17	2389.00	2590.06	USD	5,026.50	
01SEP17	B 2 PD01DEC17	2389.50	2590.06	USD	10,028.00	
01SEP17	B 1 PD01DEC17	2399.00	2590.06	USD	4,776.50	
06SEP17	S 2 PD01DEC17	2338.63	2590.06	USD	-12,571.50	
	NET 0 AVG BGHT 2391.70 SOLD 2374.15				**-2,193.50**	**0.00**
04SEP17	S 1 PD04DEC17	2393.50	2590.44	USD	-4,923.50	
06SEP17	B 1 PD04DEC17	2339.65	2590.44	USD	6,269.75	
	NET 0 AVG BGHT 2339.65 SOLD 2393.50				**1,346.25**	**0.00**
05SEP17	S 1 PD05DEC17	2402.50	2590.57	USD	-4,701.75	
05SEP17	S 1 PD05DEC17	2401.50	2590.57	USD	-4,726.75	
05SEP17	S 1 PD05DEC17	2403.50	2590.57	USD	-4,676.75	
05SEP17	S 1 PD05DEC17	2337.50	2590.57	USD	-6,326.75	
05SEP17	S 1 PD05DEC17	2340.50	2590.57	USD	-6,251.75	
05SEP17	B 1 PD05DEC17	2338.00	2590.57	USD	6,314.25	
05SEP17	B 1 PD05DEC17	2339.50	2590.57	USD	6,276.75	
05SEP17	B 1 PD05DEC17	2334.00	2590.57	USD	6,414.25	
05SEP17	S 1 PD05DEC17	2341.00	2590.57	USD	-6,239.25	
06SEP17	B 3 PD05DEC17	2339.93	2590.57	USD	18,798.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0054K

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
	NET	**0 AVG BGHT 2338.55**	**SOLD 2371.08**				**4,880.25**	**0.00**
06SEP17	S	2 PD06DEC17		2335.00	2590.70	USD	-12,785.00	
06SEP17	S	1 PD06DEC17		2320.00	2590.70	USD	-6,767.50	
06SEP17	S	1 PD06DEC17		2327.00	2590.70	USD	-6,592.50	
06SEP17	B	1 PD06DEC17		2352.50	2590.70	USD	5,955.00	
06SEP17	B	1 PD06DEC17		2354.00	2590.70	USD	5,917.50	
02OCT17	B	2 PD06DEC17		2519.70	2590.70	USD	3,550.00	
	NET	**0 AVG BGHT 2436.48**	**SOLD 2329.25**				**-10,722.50**	**0.00**
07SEP17	B	1 PD07DEC17		2333.50	2590.83	USD	6,433.25	
07SEP17	B	1 PD07DEC17		2330.00	2590.83	USD	6,520.75	
07SEP17	S	1 PD07DEC17		2356.00	2590.83	USD	-5,870.75	
07SEP17	B	1 PD07DEC17		2346.00	2590.83	USD	6,120.75	
02OCT17	S	2 PD07DEC17		2519.56	2590.83	USD	-3,563.50	
	NET	**0 AVG BGHT 2336.50**	**SOLD 2465.04**				**9,640.50**	**0.00**
08SEP17	S	1 PD08DEC17		2348.50	2590.96	USD	-6,061.50	
08SEP17	S	1 PD08DEC17		2317.50	2590.96	USD	-6,836.50	
08SEP17	B	1 PD08DEC17		2305.00	2590.96	USD	7,149.00	
08SEP17	B	1 PD08DEC17		2295.50	2590.96	USD	7,386.50	
	NET	**0 AVG BGHT 2300.25**	**SOLD 2333.00**				**1,637.50**	**0.00**
11SEP17	S	1 PD11DEC17		2292.50	2591.34	USD	-7,471.00	
11SEP17	B	1 PD11DEC17		2280.50	2591.34	USD	7,771.00	
11SEP17	S	1 PD11DEC17		2264.50	2591.34	USD	-8,171.00	
11SEP17	B	1 PD11DEC17		2277.00	2591.34	USD	7,858.50	
	NET	**0 AVG BGHT 2278.75**	**SOLD 2278.50**				**-12.50**	**0.00**
12SEP17	S	1 PD12DEC17		2274.00	2591.47	USD	-7,936.75	
12SEP17	B	1 PD12DEC17		2277.50	2591.47	USD	7,849.25	
	NET	**0 AVG BGHT 2277.50**	**SOLD 2274.00**				**-87.50**	**0.00**
13SEP17	S	1 PD13DEC17		2290.50	2591.60	USD	-7,527.50	
13SEP17	S	1 PD13DEC17		2296.50	2591.60	USD	-7,377.50	
13SEP17	S	1 PD13DEC17		2304.00	2591.60	USD	-7,190.00	
13SEP17	B	1 PD13DEC17		2299.50	2591.60	USD	7,302.50	
13SEP17	S	1 PD13DEC17		2298.00	2591.60	USD	-7,340.00	
02OCT17	B	3 PD13DEC17		2520.10	2591.60	USD	5,362.50	
	NET	**0 AVG BGHT 2464.95**	**SOLD 2297.25**				**-16,770.00**	**0.00**
14SEP17	S	1 PD14DEC17		2305.00	2591.73	USD	-7,168.25	
14SEP17	B	1 PD14DEC17		2305.00	2591.73	USD	7,168.25	
14SEP17	B	1 PD14DEC17		2304.50	2591.73	USD	7,180.75	
14SEP17	B	1 PD14DEC17		2323.00	2591.73	USD	6,718.25	
02OCT17	S	2 PD14DEC17		2520.16	2591.73	USD	-3,578.50	
	NET	**0 AVG BGHT 2310.83**	**SOLD 2448.44**				**10,320.50**	**0.00**
15SEP17	S	1 PD15DEC17		2320.50	2591.86	USD	-6,784.00	
15SEP17	B	1 PD15DEC17		2312.00	2591.86	USD	6,996.50	
15SEP17	B	1 PD15DEC17		2312.50	2591.86	USD	6,984.00	
15SEP17	S	1 PD15DEC17		2336.50	2591.86	USD	-6,384.00	
15SEP17	B	1 PD15DEC17		2339.00	2591.86	USD	6,321.50	
15SEP17	S	1 PD15DEC17		2354.00	2591.86	USD	-5,946.50	
15SEP17	S	1 PD15DEC17		2362.00	2591.86	USD	-5,746.50	
15SEP17	S	1 PD15DEC17		2358.50	2591.86	USD	-5,834.00	
15SEP17	S	1 PD15DEC17		2364.50	2591.86	USD	-5,684.00	
15SEP17	S	1 PD15DEC17		2354.00	2591.86	USD	-5,946.50	
02OCT17	B	2 PD15DEC17		2520.41	2591.86	USD	3,572.50	
02OCT17	B	2 PD15DEC17		2520.21	2591.86	USD	3,582.50	
	NET	**0 AVG BGHT 2434.96**	**SOLD 2350.00**				**-14,868.50**	**0.00**
18SEP17	B	1 PD18DEC17		2386.50	2592.24	USD	5,143.50	
18SEP17	B	1 PD18DEC17		2385.50	2592.24	USD	5,168.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0054K

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
18SEP17	B 1 PD18DEC17	2387.50	2592.24	USD	5,118.50	
18SEP17	B 1 PD18DEC17	2387.00	2592.24	USD	5,131.00	
18SEP17	B 1 PD18DEC17	2389.00	2592.24	USD	5,081.00	
18SEP17	S 1 PD18DEC17	2389.00	2592.24	USD	-5,081.00	
18SEP17	S 1 PD18DEC17	2392.50	2592.24	USD	-4,993.50	
02OCT17	S 3 PD18DEC17	2520.19	2592.24	USD	-5,403.75	
	NET 0 AVG BGHT 2387.10 SOLD 2468.41				**10,164.25**	**0.00**
19SEP17	S 1 PD19DEC17	2393.50	2592.37	USD	-4,971.75	
19SEP17	B 1 PD19DEC17	2384.00	2592.37	USD	5,209.25	
19SEP17	S 1 PD19DEC17	2383.00	2592.37	USD	-5,234.25	
19SEP17	B 1 PD19DEC17	2432.00	2592.37	USD	4,009.25	
	NET 0 AVG BGHT 2408.00 SOLD 2388.25				**-987.50**	**0.00**
20SEP17	B 1 PD20DEC17	2443.00	2592.50	USD	3,737.50	
20SEP17	B 1 PD20DEC17	2449.00	2592.50	USD	3,587.50	
02OCT17	S 2 PD20DEC17	2520.30	2592.50	USD	-3,610.00	
	NET 0 AVG BGHT 2446.00 SOLD 2520.30				**3,715.00**	**0.00**
22SEP17	B 1 PD22DEC17	2414.50	2592.07	USD	4,439.25	
22SEP17	B 1 PD22DEC17	2380.50	2592.07	USD	5,289.25	
22SEP17	S 1 PD22DEC17	2418.50	2592.07	USD	-4,339.25	
22SEP17	S 1 PD22DEC17	2449.50	2592.07	USD	-3,564.25	
22SEP17	S 1 PD22DEC17	2483.00	2592.07	USD	-2,726.75	
02OCT17	B 1 PD22DEC17	2519.67	2592.07	USD	1,810.00	
	NET 0 AVG BGHT 2438.22 SOLD 2450.33				**908.25**	**0.00**
25SEP17	B 1 PD27DEC17	2470.50	2591.86	USD	3,034.00	
25SEP17	S 1 PD27DEC17	2473.50	2591.86	USD	-2,959.00	
25SEP17	B 1 PD27DEC17	2470.00	2591.86	USD	3,046.50	
02OCT17	S 1 PD27DEC17	2519.05	2591.86	USD	-1,820.25	
	NET 0 AVG BGHT 2470.25 SOLD 2496.28				**1,301.25**	**0.00**
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
31JUL17	S 2 PD31OCT17	10255.00	10561.54	USD	-3,678.48	
31JUL17	S 1 PD31OCT17	10250.00	10561.54	USD	-1,869.24	
31JUL17	S 1 PD31OCT17	10245.00	10561.54	USD	-1,899.24	
31JUL17	B 1 PD31OCT17	10280.00	10561.54	USD	1,689.24	
16AUG17	B 3 PD31OCT17	10751.62	10561.54	USD	-3,421.44	
	NET 0 AVG BGHT 10633.72 SOLD 10251.25				**-9,179.16**	**0.00**
09AUG17	S 1 PD09NOV17	10775.00	10570.21	USD	1,228.74	
09AUG17	B 1 PD09NOV17	10775.00	10570.21	USD	-1,228.74	
	NET 0 AVG BGHT 10775.00 SOLD 10775.00				**0.00**	**0.00**
10AUG17	S 1 PD10NOV17	10820.00	10571.18	USD	1,492.92	
16AUG17	B 1 PD10NOV17	10758.54	10571.18	USD	-1,124.16	
	NET 0 AVG BGHT 10758.54 SOLD 10820.00				**368.76**	**0.00**
01SEP17	S 1 PD01DEC17	11990.00	10590.17	USD	8,398.98	
06SEP17	B 1 PD01DEC17	12162.00	10590.17	USD	-9,430.98	
	NET 0 AVG BGHT 12162.00 SOLD 11990.00				**-1,032.00**	**0.00**
05SEP17	B 1 PD05DEC17	12095.00	10593.71	USD	-9,007.74	
06SEP17	S 1 PD05DEC17	12163.40	10593.71	USD	9,418.14	
	NET 0 AVG BGHT 12095.00 SOLD 12163.40				**410.40**	**0.00**
14SEP17	S 1 PD14DEC17	11425.00	10601.69	USD	4,939.86	
14SEP17	S 1 PD14DEC17	11245.00	10601.69	USD	3,859.86	
14SEP17	B 1 PD14DEC17	11230.00	10601.69	USD	-3,769.86	
14SEP17	B 1 PD14DEC17	11220.00	10601.69	USD	-3,709.86	
	NET 0 AVG BGHT 11225.00 SOLD 11335.00				**1,320.00**	**0.00**
22SEP17	B 1 PD22DEC17	10565.00	10609.00	USD	264.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0054K

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
22SEP17	B 1 PD22DEC17	10550.00	10609.00	USD	354.00	
02OCT17	S 2 PD22DEC17	10379.50	10609.00	USD	-2,754.00	
	NET 0 AVG BGHT 10557.50 SOLD 10379.50				**-2,136.00**	**0.00**
29SEP17	S 1 PD29DEC17	10635.00	10615.42	USD	117.48	
29SEP17	S 1 PD29DEC17	10670.00	10615.42	USD	327.48	
02OCT17	B 2 PD29DEC17	10387.18	10615.42	USD	2,738.88	
	NET 0 AVG BGHT 10387.18 SOLD 10652.50				**3,183.84**	**0.00**
03OCT17	B 1 PD03JAN18	10490.00	10620.00	USD	780.00	
03OCT17	B 1 PD03JAN18	10475.00	10620.00	USD	870.00	
03OCT17	S 1 PD03JAN18	10720.00	10620.00	USD	600.00	
03OCT17	S 1 PD03JAN18	10755.00	10620.00	USD	810.00	
03OCT17	B 1 PD03JAN18	10675.00	10620.00	USD	-330.00	
03OCT17	B 1 PD03JAN18	10565.00	10620.00	USD	330.00	
03OCT17	S 1 PD03JAN18	10630.00	10620.00	USD	60.00	
03OCT17	S 1 PD03JAN18	10665.00	10620.00	USD	270.00	
	NET 0 AVG BGHT 10551.25 SOLD 10692.50				**3,390.00**	**0.00**
	CMX SILVER 5,000 CMX					
08SEP17	B 1 DEC17	1799.5	1665.0	USD	-6,725.00	
14SEP17	B 2 DEC17	1775.0	1665.0	USD	-11,000.00	
15SEP17	B 5 DEC17	1785.0	1665.0	USD	-30,000.00	
22SEP17	B 1 DEC17	1697.5	1665.0	USD	-1,625.00	
22SEP17	B 1 DEC17	1698.0	1665.0	USD	-1,650.00	
26SEP17	B 1 DEC17	1709.5	1665.0	USD	-2,225.00	
26SEP17	B 1 DEC17	1707.5	1665.0	USD	-2,125.00	
26SEP17	B 1 DEC17	1701.5	1665.0	USD	-1,825.00	
26SEP17	B 2 DEC17	1700.5	1665.0	USD	-3,550.00	
26SEP17	B 2 DEC17	1702.0	1665.0	USD	-3,700.00	
26SEP17	B 1 DEC17	1692.5	1665.0	USD	-1,375.00	
26SEP17	B 20 DEC17	1690.0	1665.0	USD	-25,000.00	
27SEP17	B 1 DEC17	1682.5	1665.0	USD	-875.00	
28SEP17	B 2 DEC17	1676.5	1665.0	USD	-1,150.00	
28SEP17	B 1 DEC17	1674.0	1665.0	USD	-450.00	
28SEP17	B 1 DEC17	1672.5	1665.0	USD	-375.00	
28SEP17	B 1 DEC17	1674.5	1665.0	USD	-475.00	
28SEP17	B 1 DEC17	1684.5	1665.0	USD	-975.00	
29SEP17	B 1 DEC17	1684.5	1665.0	USD	-975.00	
29SEP17	B 1 DEC17	1679.5	1665.0	USD	-725.00	
	NET +47 AVG BGHT 1706.2				**-96,800.00**	**0.00**
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
13JUL17	S 1 PD13OCT17	2836.50	3297.88	USD	-11,534.50	
13JUL17	B 1 PD13OCT17	2800.50	3297.88	USD	12,434.50	
	NET 0 AVG BGHT 2800.50 SOLD 2836.50				**900.00**	**0.00**
17JUL17	S 1 PD17OCT17	2844.50	3291.63	USD	-11,178.25	
17JUL17	B 1 PD17OCT17	2831.50	3291.63	USD	11,503.25	
	NET 0 AVG BGHT 2831.50 SOLD 2844.50				**325.00**	**0.00**
18JUL17	B 1 PD18OCT17	2791.50	3288.50	USD	12,425.00	
18JUL17	S 1 PD18OCT17	2802.50	3288.50	USD	-12,150.00	
18JUL17	B 1 PD18OCT17	2780.50	3288.50	USD	12,700.00	
18JUL17	S 1 PD18OCT17	2784.50	3288.50	USD	-12,600.00	
18JUL17	S 1 PD18OCT17	2794.50	3288.50	USD	-12,350.00	
18JUL17	S 1 PD18OCT17	2794.00	3288.50	USD	-12,362.50	
18JUL17	S 1 PD18OCT17	2793.50	3288.50	USD	-12,375.00	
18JUL17	S 1 PD18OCT17	2792.50	3288.50	USD	-12,400.00	
27JUL17	S 12 PD18OCT17	2801.25	3288.50	USD	-146,175.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0054K

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
28JUL17	B 16 PD18OCT17	2775.75	3288.50	USD	205,100.00	
	NET 0 AVG BGHT 2776.89 SOLD 2798.69				**9,812.50**	**0.00**
19JUL17	B 1 PD19OCT17	2788.00	3285.50	USD	12,437.50	
19JUL17	B 1 PD19OCT17	2786.50	3285.50	USD	12,475.00	
19JUL17	B 1 PD19OCT17	2780.50	3285.50	USD	12,625.00	
19JUL17	B 1 PD19OCT17	2773.50	3285.50	USD	12,800.00	
27JUL17	B 12 PD19OCT17	2800.33	3285.50	USD	145,551.00	
28JUL17	S 16 PD19OCT17	2774.55	3285.50	USD	-204,380.00	
	NET 0 AVG BGHT 2795.78 SOLD 2774.55				**-8,491.50**	**0.00**
20JUL17	S 1 PD20OCT17	2748.50	3282.50	USD	-13,350.00	
20JUL17	B 1 PD20OCT17	2729.00	3282.50	USD	13,837.50	
21JUL17	B 1 PD20OCT17	2758.50	3282.50	USD	13,100.00	
21JUL17	S 1 PD20OCT17	2758.00	3282.50	USD	-13,112.50	
21JUL17	B 1 PD20OCT17	2756.50	3282.50	USD	13,150.00	
21JUL17	B 1 PD20OCT17	2750.50	3282.50	USD	13,300.00	
21JUL17	S 1 PD20OCT17	2754.00	3282.50	USD	-13,212.50	
21JUL17	S 1 PD20OCT17	2752.50	3282.50	USD	-13,250.00	
	NET 0 AVG BGHT 2748.63 SOLD 2753.25				**462.50**	**0.00**
26JUL17	B 1 PD26OCT17	2845.50	3278.50	USD	10,825.00	
26JUL17	S 1 PD26OCT17	2815.50	3278.50	USD	-11,575.00	
26JUL17	S 1 PD26OCT17	2820.00	3278.50	USD	-11,462.50	
26JUL17	B 1 PD26OCT17	2815.50	3278.50	USD	11,575.00	
	NET 0 AVG BGHT 2830.50 SOLD 2817.75				**-637.50**	**0.00**
27JUL17	S 1 PD27OCT17	2807.50	3277.50	USD	-11,750.00	
27JUL17	S 1 PD27OCT17	2809.50	3277.50	USD	-11,700.00	
27JUL17	B 1 PD27OCT17	2817.50	3277.50	USD	11,500.00	
27JUL17	B 1 PD27OCT17	2814.00	3277.50	USD	11,587.50	
27JUL17	S 1 PD27OCT17	2814.50	3277.50	USD	-11,575.00	
27JUL17	B 1 PD27OCT17	2795.50	3277.50	USD	12,050.00	
28JUL17	S 1 PD27OCT17	2773.50	3277.50	USD	-12,600.00	
16AUG17	B 1 PD27OCT17	3118.31	3277.50	USD	3,979.75	
	NET 0 AVG BGHT 2886.33 SOLD 2801.25				**-8,507.75**	**0.00**
31JUL17	S 1 PD31OCT17	2811.50	3275.50	USD	-11,600.00	
31JUL17	S 1 PD31OCT17	2810.50	3275.50	USD	-11,625.00	
31JUL17	B 1 PD31OCT17	2800.50	3275.50	USD	11,875.00	
31JUL17	B 1 PD31OCT17	2806.00	3275.50	USD	11,737.50	
31JUL17	B 1 PD31OCT17	2793.50	3275.50	USD	12,050.00	
16AUG17	S 1 PD31OCT17	3118.57	3275.50	USD	-3,923.25	
	NET 0 AVG BGHT 2800.00 SOLD 2913.52				**8,514.25**	**0.00**
01AUG17	S 1 PD01NOV17	2783.50	3274.50	USD	-12,275.00	
01AUG17	B 1 PD01NOV17	2772.50	3274.50	USD	12,550.00	
01AUG17	S 1 PD01NOV17	2779.00	3274.50	USD	-12,387.50	
01AUG17	B 1 PD01NOV17	2779.00	3274.50	USD	12,387.50	
	NET 0 AVG BGHT 2775.75 SOLD 2781.25				**275.00**	**0.00**
04AUG17	S 1 PD03NOV17	2813.00	3272.00	USD	-11,475.00	
16AUG17	B 1 PD03NOV17	3119.12	3272.00	USD	3,822.00	
	NET 0 AVG BGHT 3119.12 SOLD 2813.00				**-7,653.00**	**0.00**
07AUG17	B 1 PD07NOV17	2806.00	3272.00	USD	11,650.00	
07AUG17	S 1 PD07NOV17	2817.00	3272.00	USD	-11,375.00	
07AUG17	S 1 PD07NOV17	2820.00	3272.00	USD	-11,300.00	
07AUG17	B 1 PD07NOV17	2815.00	3272.00	USD	11,425.00	
07AUG17	B 1 PD07NOV17	2881.50	3272.00	USD	9,762.50	
16AUG17	S 1 PD07NOV17	3119.38	3272.00	USD	-3,815.50	
	NET 0 AVG BGHT 2834.17 SOLD 2918.79				**6,347.00**	**0.00**
08AUG17	B 1 PD08NOV17	2872.50	3272.00	USD	9,987.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0054K

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
08AUG17	S	2	PD08NOV17	2873.00	3272.00	USD	-19,950.00	
08AUG17	S	1	PD08NOV17	2872.00	3272.00	USD	-10,000.00	
08AUG17	B	1	PD08NOV17	2870.50	3272.00	USD	10,037.50	
08AUG17	S	1	PD08NOV17	2897.00	3272.00	USD	-9,375.00	
08AUG17	S	1	PD08NOV17	2898.00	3272.00	USD	-9,350.00	
08AUG17	S	1	PD08NOV17	2899.00	3272.00	USD	-9,325.00	
08AUG17	B	1	PD08NOV17	2921.50	3272.00	USD	8,762.50	
08AUG17	B	1	PD08NOV17	2924.00	3272.00	USD	8,700.00	
16AUG17	B	2	PD08NOV17	3119.69	3272.00	USD	7,615.50	
	NET	**0**	**AVG BGHT 2971.31**	**SOLD 2885.33**			**-12,897.00**	**0.00**
09AUG17	B	1	PD09NOV17	2942.00	3272.00	USD	8,250.00	
09AUG17	B	2	PD09NOV17	2962.00	3272.00	USD	15,500.00	
09AUG17	B	1	PD09NOV17	2937.00	3272.00	USD	8,375.00	
09AUG17	S	1	PD09NOV17	2959.00	3272.00	USD	-7,825.00	
09AUG17	S	1	PD09NOV17	2965.50	3272.00	USD	-7,662.50	
16AUG17	S	2	PD09NOV17	3119.61	3272.00	USD	-7,619.50	
	NET	**0**	**AVG BGHT 2950.75**	**SOLD 3040.93**			**9,018.00**	**0.00**
10AUG17	S	1	PD10NOV17	2940.00	3272.00	USD	-8,300.00	
10AUG17	S	1	PD10NOV17	2939.50	3272.00	USD	-8,312.50	
10AUG17	B	1	PD10NOV17	2931.00	3272.00	USD	8,525.00	
10AUG17	B	1	PD10NOV17	2931.50	3272.00	USD	8,512.50	
11AUG17	S	1	PD10NOV17	2908.00	3272.00	USD	-9,100.00	
11AUG17	S	1	PD10NOV17	2907.00	3272.00	USD	-9,125.00	
11AUG17	S	1	PD10NOV17	2924.50	3272.00	USD	-8,687.50	
11AUG17	B	1	PD10NOV17	2924.50	3272.00	USD	8,687.50	
11AUG17	S	1	PD10NOV17	2925.00	3272.00	USD	-8,675.00	
11AUG17	B	1	PD10NOV17	2908.50	3272.00	USD	9,087.50	
11AUG17	B	1	PD10NOV17	2904.50	3272.00	USD	9,187.50	
11AUG17	B	1	PD10NOV17	2898.00	3272.00	USD	9,350.00	
	NET	**0**	**AVG BGHT 2916.33**	**SOLD 2924.00**			**1,150.00**	**0.00**
14AUG17	S	1	PD14NOV17	2918.00	3270.33	USD	-8,808.25	
14AUG17	B	1	PD14NOV17	2910.00	3270.33	USD	9,008.25	
14AUG17	S	1	PD14NOV17	2914.50	3270.33	USD	-8,895.75	
14AUG17	S	1	PD14NOV17	2914.00	3270.33	USD	-8,908.25	
14AUG17	B	2	PD14NOV17	2920.00	3270.33	USD	17,516.50	
	NET	**0**	**AVG BGHT 2916.67**	**SOLD 2915.50**			**-87.50**	**0.00**
16AUG17	S	1	PD16NOV17	2983.00	3267.50	USD	-7,112.50	
16AUG17	S	1	PD16NOV17	2984.50	3267.50	USD	-7,075.00	
16AUG17	S	1	PD16NOV17	2986.00	3267.50	USD	-7,037.50	
16AUG17	S	1	PD16NOV17	2995.50	3267.50	USD	-6,800.00	
16AUG17	S	1	PD16NOV17	3009.50	3267.50	USD	-6,450.00	
16AUG17	B	1	PD16NOV17	3008.00	3267.50	USD	6,487.50	
16AUG17	S	1	PD16NOV17	2980.50	3267.50	USD	-7,175.00	
16AUG17	S	1	PD16NOV17	2981.50	3267.50	USD	-7,150.00	
16AUG17	B	1	PD16NOV17	3075.50	3267.50	USD	4,800.00	
16AUG17	B	1	PD16NOV17	3083.00	3267.50	USD	4,612.50	
16AUG17	B	1	PD16NOV17	3088.50	3267.50	USD	4,475.00	
16AUG17	B	1	PD16NOV17	3095.00	3267.50	USD	4,312.50	
16AUG17	B	1	PD16NOV17	3121.00	3267.50	USD	3,662.50	
16AUG17	B	1	PD16NOV17	3114.50	3267.50	USD	3,825.00	
	NET	**0**	**AVG BGHT 3083.64**	**SOLD 2988.64**			**-16,625.00**	**0.00**
17AUG17	B	1	PD17NOV17	3099.50	3265.50	USD	4,150.00	
17AUG17	S	1	PD17NOV17	3134.50	3265.50	USD	-3,275.00	
17AUG17	S	1	PD17NOV17	3084.00	3265.50	USD	-4,537.50	
17AUG17	B	1	PD17NOV17	3076.00	3265.50	USD	4,737.50	
17AUG17	S	1	PD17NOV17	3062.50	3265.50	USD	-5,075.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0054K

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
17AUG17	B 1 PD17NOV17	3048.50	3265.50	USD	5,425.00	
18AUG17	S 1 PD17NOV17	3094.50	3265.50	USD	-4,275.00	
18AUG17	B 1 PD17NOV17	3127.00	3265.50	USD	3,462.50	
18AUG17	S 1 PD17NOV17	3135.50	3265.50	USD	-3,250.00	
18AUG17	S 1 PD17NOV17	3136.50	3265.50	USD	-3,225.00	
06SEP17	B 2 PD17NOV17	3091.00	3265.50	USD	8,725.00	
	NET 0 AVG BGHT 3088.83 SOLD 3107.92				**2,862.50**	**0.00**
21AUG17	B 1 PD21NOV17	3163.00	3264.83	USD	2,545.75	
21AUG17	B 1 PD21NOV17	3133.00	3264.83	USD	3,295.75	
06SEP17	S 2 PD21NOV17	3092.09	3264.83	USD	-8,637.00	
	NET 0 AVG BGHT 3148.00 SOLD 3092.09				**-2,795.50**	**0.00**
23AUG17	B 1 PD24NOV17	3098.00	3264.71	USD	4,167.75	
23AUG17	B 1 PD24NOV17	3099.00	3264.71	USD	4,142.75	
24AUG17	S 1 PD24NOV17	3119.00	3264.71	USD	-3,642.75	
24AUG17	S 1 PD24NOV17	3136.00	3264.71	USD	-3,217.75	
24AUG17	B 2 PD24NOV17	3112.50	3264.71	USD	7,610.50	
24AUG17	B 1 PD24NOV17	3106.50	3264.71	USD	3,955.25	
24AUG17	B 1 PD24NOV17	3110.00	3264.71	USD	3,867.75	
24AUG17	B 1 PD24NOV17	3104.50	3264.71	USD	4,005.25	
24AUG17	B 1 PD24NOV17	3107.50	3264.71	USD	3,930.25	
25AUG17	S 1 PD24NOV17	3127.50	3264.71	USD	-3,430.25	
25AUG17	S 1 PD24NOV17	3129.50	3264.71	USD	-3,380.25	
25AUG17	S 1 PD24NOV17	3066.00	3264.71	USD	-4,967.75	
25AUG17	S 1 PD24NOV17	3067.00	3264.71	USD	-4,942.75	
06SEP17	S 2 PD24NOV17	3093.25	3264.71	USD	-8,573.00	
	NET 0 AVG BGHT 3106.31 SOLD 3103.94				**-475.00**	**0.00**
29AUG17	S 2 PD29NOV17	3107.00	3265.25	USD	-7,912.50	
29AUG17	S 1 PD29NOV17	3099.50	3265.25	USD	-4,143.75	
29AUG17	B 1 PD29NOV17	3114.50	3265.25	USD	3,768.75	
29AUG17	S 1 PD29NOV17	3120.00	3265.25	USD	-3,631.25	
29AUG17	B 1 PD29NOV17	3100.50	3265.25	USD	4,118.75	
06SEP17	B 2 PD29NOV17	3093.45	3265.25	USD	8,590.00	
	NET 0 AVG BGHT 3100.48 SOLD 3108.38				**790.00**	**0.00**
30AUG17	S 1 PD30NOV17	3139.00	3265.36	USD	-3,159.00	
30AUG17	S 1 PD30NOV17	3147.00	3265.36	USD	-2,959.00	
31AUG17	S 1 PD30NOV17	3093.00	3265.36	USD	-4,309.00	
31AUG17	S 1 PD30NOV17	3114.00	3265.36	USD	-3,784.00	
31AUG17	S 1 PD30NOV17	3128.00	3265.36	USD	-3,434.00	
31AUG17	S 1 PD30NOV17	3127.00	3265.36	USD	-3,459.00	
06SEP17	B 6 PD30NOV17	3093.50	3265.36	USD	25,779.00	
	NET 0 AVG BGHT 3093.50 SOLD 3124.67				**4,675.00**	**0.00**
04SEP17	B 1 PD04DEC17	3189.50	3265.79	USD	1,907.25	
04SEP17	B 1 PD04DEC17	3185.00	3265.79	USD	2,019.75	
04SEP17	B 1 PD04DEC17	3180.00	3265.79	USD	2,144.75	
04SEP17	B 1 PD04DEC17	3175.50	3265.79	USD	2,257.25	
04SEP17	B 1 PD04DEC17	3184.50	3265.79	USD	2,032.25	
04SEP17	B 1 PD04DEC17	3205.00	3265.79	USD	1,519.75	
06SEP17	S 6 PD04DEC17	3094.30	3265.79	USD	-25,723.50	
	NET 0 AVG BGHT 3186.58 SOLD 3094.30				**-13,842.50**	**0.00**
05SEP17	B 1 PD05DEC17	3109.00	3265.89	USD	3,922.25	
05SEP17	S 1 PD05DEC17	3107.50	3265.89	USD	-3,959.75	
	NET 0 AVG BGHT 3109.00 SOLD 3107.50				**-37.50**	**0.00**
06SEP17	B 1 PD06DEC17	3122.00	3266.00	USD	3,600.00	
06SEP17	B 1 PD06DEC17	3126.50	3266.00	USD	3,487.50	
06SEP17	S 1 PD06DEC17	3098.00	3266.00	USD	-4,200.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0054K

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
02OCT17	S 1 PD06DEC17	3237.48	3266.00	USD	-713.00	
	NET 0 AVG BGHT 3124.25 SOLD 3167.74				**2,174.50**	**0.00**
07SEP17	S 1 PD07DEC17	3083.00	3266.11	USD	-4,577.75	
07SEP17	S 1 PD07DEC17	3116.00	3266.11	USD	-3,752.75	
07SEP17	B 1 PD07DEC17	3126.50	3266.11	USD	3,490.25	
02OCT17	B 1 PD07DEC17	3237.75	3266.11	USD	709.00	
	NET 0 AVG BGHT 3182.13 SOLD 3099.50				**-4,131.25**	**0.00**
08SEP17	B 1 PD08DEC17	3141.00	3266.21	USD	3,130.25	
08SEP17	B 1 PD08DEC17	3120.50	3266.21	USD	3,642.75	
08SEP17	B 1 PD08DEC17	3116.50	3266.21	USD	3,742.75	
08SEP17	B 1 PD08DEC17	3095.00	3266.21	USD	4,280.25	
08SEP17	B 1 PD08DEC17	3086.50	3266.21	USD	4,492.75	
08SEP17	S 1 PD08DEC17	3084.00	3266.21	USD	-4,555.25	
08SEP17	S 1 PD08DEC17	3086.00	3266.21	USD	-4,505.25	
08SEP17	S 1 PD08DEC17	3092.00	3266.21	USD	-4,355.25	
08SEP17	S 1 PD08DEC17	3091.00	3266.21	USD	-4,380.25	
08SEP17	S 1 PD08DEC17	3089.50	3266.21	USD	-4,417.75	
	NET 0 AVG BGHT 3111.90 SOLD 3088.50				**-2,925.00**	**0.00**
11SEP17	S 1 PD11DEC17	3078.00	3266.54	USD	-4,713.50	
11SEP17	S 1 PD11DEC17	3097.50	3266.54	USD	-4,226.00	
11SEP17	B 1 PD11DEC17	3086.50	3266.54	USD	4,501.00	
11SEP17	B 1 PD11DEC17	3085.50	3266.54	USD	4,526.00	
11SEP17	B 1 PD11DEC17	3060.50	3266.54	USD	5,151.00	
11SEP17	S 1 PD11DEC17	3062.00	3266.54	USD	-5,113.50	
	NET 0 AVG BGHT 3077.50 SOLD 3079.17				**125.00**	**0.00**
12SEP17	S 1 PD12DEC17	3084.50	3266.64	USD	-4,553.50	
12SEP17	B 1 PD12DEC17	3091.00	3266.64	USD	4,391.00	
12SEP17	B 1 PD12DEC17	3064.00	3266.64	USD	5,066.00	
12SEP17	B 1 PD12DEC17	3061.50	3266.64	USD	5,128.50	
02OCT17	S 2 PD12DEC17	3237.87	3266.64	USD	-1,438.50	
	NET 0 AVG BGHT 3072.17 SOLD 3186.75				**8,593.50**	**0.00**
13SEP17	S 1 PD13DEC17	3051.50	3266.75	USD	-5,381.25	
13SEP17	S 1 PD13DEC17	3052.00	3266.75	USD	-5,368.75	
13SEP17	B 1 PD13DEC17	3024.00	3266.75	USD	6,068.75	
13SEP17	B 1 PD13DEC17	3023.50	3266.75	USD	6,081.25	
13SEP17	B 1 PD13DEC17	3026.50	3266.75	USD	6,006.25	
13SEP17	S 1 PD13DEC17	3027.50	3266.75	USD	-5,981.25	
	NET 0 AVG BGHT 3024.67 SOLD 3043.67				**1,425.00**	**0.00**
14SEP17	S 1 PD14DEC17	3030.00	3266.86	USD	-5,921.50	
14SEP17	B 1 PD14DEC17	3016.00	3266.86	USD	6,271.50	
14SEP17	S 1 PD14DEC17	3018.00	3266.86	USD	-6,221.50	
14SEP17	B 1 PD14DEC17	3009.00	3266.86	USD	6,446.50	
14SEP17	S 1 PD14DEC17	2977.00	3266.86	USD	-7,246.50	
14SEP17	S 1 PD14DEC17	2980.00	3266.86	USD	-7,171.50	
14SEP17	S 1 PD14DEC17	3017.00	3266.86	USD	-6,246.50	
14SEP17	B 1 PD14DEC17	3007.00	3266.86	USD	6,496.50	
02OCT17	B 2 PD14DEC17	3238.20	3266.86	USD	1,433.00	
	NET 0 AVG BGHT 3101.68 SOLD 3004.40				**-12,160.00**	**0.00**
15SEP17	B 1 PD15DEC17	2995.50	3266.96	USD	6,786.50	
15SEP17	S 1 PD15DEC17	2990.00	3266.96	USD	-6,924.00	
15SEP17	B 1 PD15DEC17	3000.50	3266.96	USD	6,661.50	
15SEP17	B 1 PD15DEC17	2999.50	3266.96	USD	6,686.50	
15SEP17	B 1 PD15DEC17	2990.50	3266.96	USD	6,911.50	
15SEP17	S 1 PD15DEC17	3031.00	3266.96	USD	-5,899.00	
15SEP17	B 1 PD15DEC17	3031.00	3266.96	USD	5,899.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0054K

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
15SEP17	B 1 PD15DEC17	3028.00	3266.96	USD	5,974.00	
15SEP17	B 1 PD15DEC17	3027.00	3266.96	USD	5,999.00	
15SEP17	B 1 PD15DEC17	3030.50	3266.96	USD	5,911.50	
02OCT17	S 6 PD15DEC17	3238.17	3266.96	USD	-4,318.50	
	NET 0 AVG BGHT 3012.81 SOLD 3181.25				**33,688.00**	**0.00**
18SEP17	S 1 PD18DEC17	3081.50	3267.29	USD	-4,644.75	
18SEP17	S 1 PD18DEC17	3090.00	3267.29	USD	-4,432.25	
18SEP17	S 1 PD18DEC17	3087.00	3267.29	USD	-4,507.25	
18SEP17	S 2 PD18DEC17	3083.50	3267.29	USD	-9,189.50	
18SEP17	S 1 PD18DEC17	3079.00	3267.29	USD	-4,707.25	
18SEP17	S 1 PD18DEC17	3088.00	3267.29	USD	-4,482.25	
18SEP17	S 1 PD18DEC17	3084.50	3267.29	USD	-4,569.75	
02OCT17	B 6 PD18DEC17	3238.87	3267.29	USD	4,263.00	
02OCT17	B 2 PD18DEC17	3238.67	3267.29	USD	1,431.00	
	NET 0 AVG BGHT 3238.82 SOLD 3084.63				**-30,839.00**	**0.00**
19SEP17	B 1 PD19DEC17	3078.00	3267.39	USD	4,734.75	
19SEP17	S 1 PD19DEC17	3079.00	3267.39	USD	-4,709.75	
19SEP17	B 1 PD19DEC17	3078.50	3267.39	USD	4,722.25	
19SEP17	S 1 PD19DEC17	3101.00	3267.39	USD	-4,159.75	
	NET 0 AVG BGHT 3078.25 SOLD 3090.00				**587.50**	**0.00**
20SEP17	B 1 PD20DEC17	3100.50	3267.50	USD	4,175.00	
20SEP17	S 1 PD20DEC17	3130.00	3267.50	USD	-3,437.50	
20SEP17	B 1 PD20DEC17	3123.00	3267.50	USD	3,612.50	
20SEP17	S 1 PD20DEC17	3128.00	3267.50	USD	-3,487.50	
20SEP17	B 1 PD20DEC17	3139.00	3267.50	USD	3,212.50	
20SEP17	S 1 PD20DEC17	3138.00	3267.50	USD	-3,237.50	
	NET 0 AVG BGHT 3120.83 SOLD 3132.00				**837.50**	**0.00**
22SEP17	B 1 PD22DEC17	3036.50	3264.00	USD	5,687.50	
22SEP17	B 1 PD22DEC17	3044.50	3264.00	USD	5,487.50	
22SEP17	S 2 PD22DEC17	3024.00	3264.00	USD	-12,000.00	
22SEP17	S 1 PD22DEC17	3032.50	3264.00	USD	-5,787.50	
22SEP17	B 1 PD22DEC17	3034.00	3264.00	USD	5,750.00	
22SEP17	B 1 PD22DEC17	3056.50	3264.00	USD	5,187.50	
22SEP17	B 1 PD22DEC17	3030.00	3264.00	USD	5,850.00	
22SEP17	B 1 PD22DEC17	3065.00	3264.00	USD	4,975.00	
22SEP17	B 1 PD22DEC17	3064.00	3264.00	USD	5,000.00	
22SEP17	B 1 PD22DEC17	3061.00	3264.00	USD	5,075.00	
22SEP17	B 1 PD22DEC17	3066.00	3264.00	USD	4,950.00	
02OCT17	S 4 PD22DEC17	3236.00	3264.00	USD	-2,800.00	
02OCT17	S 2 PD22DEC17	3235.80	3264.00	USD	-1,410.00	
	NET 0 AVG BGHT 3050.83 SOLD 3166.23				**25,965.00**	**0.00**
25SEP17	S 1 PD27DEC17	3084.00	3264.00	USD	-4,500.00	
25SEP17	S 1 PD27DEC17	3089.00	3264.00	USD	-4,375.00	
25SEP17	S 1 PD27DEC17	3086.00	3264.00	USD	-4,450.00	
25SEP17	S 1 PD27DEC17	3089.50	3264.00	USD	-4,362.50	
25SEP17	S 1 PD27DEC17	3091.00	3264.00	USD	-4,325.00	
25SEP17	S 1 PD27DEC17	3094.00	3264.00	USD	-4,250.00	
25SEP17	S 1 PD27DEC17	3093.50	3264.00	USD	-4,262.50	
25SEP17	B 1 PD27DEC17	3096.50	3264.00	USD	4,187.50	
25SEP17	B 1 PD27DEC17	3107.50	3264.00	USD	3,912.50	
25SEP17	B 1 PD27DEC17	3110.00	3264.00	USD	3,850.00	
25SEP17	B 1 PD27DEC17	3111.50	3264.00	USD	3,812.50	
26SEP17	S 1 PD27DEC17	3121.00	3264.00	USD	-3,575.00	
26SEP17	S 1 PD27DEC17	3123.00	3264.00	USD	-3,525.00	
26SEP17	B 1 PD27DEC17	3144.00	3264.00	USD	3,000.00	
26SEP17	B 1 PD27DEC17	3144.50	3264.00	USD	2,987.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0054K

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
26SEP17	S 1 PD27DEC17	3121.00	3264.00	USD	-3,575.00	
27SEP17	B 1 PD27DEC17	3115.50	3264.00	USD	3,712.50	
27SEP17	S 1 PD27DEC17	3144.50	3264.00	USD	-2,987.50	
27SEP17	B 1 PD27DEC17	3116.50	3264.00	USD	3,687.50	
27SEP17	B 1 PD27DEC17	3114.50	3264.00	USD	3,737.50	
02OCT17	B 2 PD27DEC17	3236.20	3264.00	USD	1,390.00	
	NET 0 AVG BGHT 3139.35 SOLD 3103.32				**-9,910.00**	**0.00**
28SEP17	B 1 PD28DEC17	3113.50	3264.00	USD	3,762.50	
28SEP17	S 1 PD28DEC17	3113.50	3264.00	USD	-3,762.50	
28SEP17	S 1 PD28DEC17	3103.50	3264.00	USD	-4,012.50	
28SEP17	B 1 PD28DEC17	3159.50	3264.00	USD	2,612.50	
	NET 0 AVG BGHT 3136.50 SOLD 3108.50				**-1,400.00**	**0.00**
29SEP17	S 1 PD29DEC17	3144.50	3264.00	USD	-2,987.50	
29SEP17	S 1 PD29DEC17	3144.50	3264.00	USD	-2,987.50	
02OCT17	B 2 PD29DEC17	3236.20	3264.00	USD	1,390.00	
	NET 0 AVG BGHT 3236.20 SOLD 3144.50				**-4,585.00**	**0.00**
03OCT17	S 1 PD03JAN18	3223.00	3264.00	USD	-1,025.00	
03OCT17	S 1 PD03JAN18	3238.50	3264.00	USD	-637.50	
03OCT17	S 1 PD03JAN18	3255.50	3264.00	USD	-212.50	
03OCT17	B 1 PD03JAN18	3260.50	3264.00	USD	87.50	
03OCT17	B 1 PD03JAN18	3261.00	3264.00	USD	75.00	
03OCT17	B 1 PD03JAN18	3263.00	3264.00	USD	25.00	
03OCT17	S 1 PD03JAN18	3265.00	3264.00	USD	25.00	
03OCT17	S 1 PD03JAN18	3259.00	3264.00	USD	-125.00	
03OCT17	S 1 PD03JAN18	3222.00	3264.00	USD	-1,050.00	
03OCT17	B 2 PD03JAN18	3275.50	3264.00	USD	-575.00	
03OCT17	B 1 PD03JAN18	3290.00	3264.00	USD	-650.00	
	NET 0 AVG BGHT 3270.92 SOLD 3243.83				**-4,062.50**	**0.00**

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	-215.00
LME Copper Grade A 25	FUTURES	-1,001.25
CMX Gold	FUTURES	5,610,980.00
NYM Henry Hub Natural Gas	FUTURES	91,980.00
LME Lead 25 tonnes. This is an	FUTURES	-11,483.25
LME Nickel 6 tonnes. This is	FUTURES	-3,674.16
CMX Silver	FUTURES	-4,009,550.00
LME Zinc 25 tonnes. This is	FUTURES	-23,534.75

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0054K

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options			Amount	Profit / Loss	**Total Amount**	**Total P&L**
GOLD	- Futures	USD	257,660.00	257,660.00		
HGAS	- Futures	USD	5,130.00	5,130.00		
SILV	- Futures	USD	-96,800.00	-96,800.00	**165,990.00**	**165,990.00**
Unrealised Profits & Losses - Forwards			**Amount**	**Profit / Loss**	**Total Amount**	**Total P&L**
ALUL	- Futures	USD	-215.00	-215.00		
COPL	- Futures	USD	-1,001.25	-1,001.25		
LEAD	- Futures	USD	-11,483.25	-11,483.25		
NKL	- Futures	USD	-3,674.16	-3,674.16		
ZINC	- Futures	USD	-23,534.75	-23,534.75	**-39,908.41**	**-39,908.41**

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		139,655.58	139,655.58
Variation Margins	- Futures	165,990.00	165,990.00
	- Options	0.00	0.00
TOTAL EQUITY		**305,645.58**	**305,645.58**
Initial Margins		-188,208.41	-188,208.41
Excess/(Shortage)		**117,437.17**	**117,437.17**
FOR INFORMATION ONLY			
Forward Unrealised P&L		-39,908.41	-39,908.41
Net Liquidating Value		**265,737.17**	**265,737.17**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0054L

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	CME EURO FX 125,000 CME					
13SEP17	S 3 DEC17	1.19625	1.17965	USD	6,225.00	
13SEP17	S 3 DEC17	1.19520	1.17965	USD	5,831.25	
13SEP17	S 3 DEC17	1.19540	1.17965	USD	5,906.25	
13SEP17	S 3 DEC17	1.19510	1.17965	USD	5,793.75	
13SEP17	S 2 DEC17	1.19525	1.17965	USD	3,900.00	
13SEP17	S 2 DEC17	1.19475	1.17965	USD	3,775.00	
13SEP17	S 2 DEC17	1.19480	1.17965	USD	3,787.50	
13SEP17	S 4 DEC17	1.19495	1.17965	USD	7,650.00	
15SEP17	S 2 DEC17	1.20250	1.17965	USD	5,712.50	
15SEP17	S 2 DEC17	1.20230	1.17965	USD	5,662.50	
15SEP17	S 2 DEC17	1.20220	1.17965	USD	5,637.50	
15SEP17	S 6 DEC17	1.20210	1.17965	USD	16,837.50	
	NET -34 AVG SOLD 1.19770				**76,718.75**	**0.00**

OPEN POSITION VALUE

		USD
CME Euro FX	FUTURES	5,090,231.25

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options		Amount	Profit / Loss	**Total Amount**	**Total P&L**
EUSD - Futures	USD	76,718.75	76,718.75	**76,718.75**	**76,718.75**

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-201,155.65	-201,155.65
Variation Margins	- Futures	76,718.75	76,718.75
	- Options	0.00	0.00
TOTAL EQUITY		**-124,436.90**	**-124,436.90**
Initial Margins		-71,400.00	-71,400.00
Excess/(Shortage)		**-195,836.90**	**-195,836.90**
FOR INFORMATION ONLY			
Net Liquidating Value		**-124,436.90**	**-124,436.90**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0054N

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		50,000.00	50,000.00
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**50,000.00**	**50,000.00**
Initial Margins		0.00	0.00
Excess/(Shortage)		**50,000.00**	**50,000.00**
FOR INFORMATION ONLY			
Net Liquidating Value		**50,000.00**	**50,000.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED
SAP0054
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPG0054**

3rd Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

GROUP FINANCIAL SUMMARY

		GBP (1.32460)	USD (1.00000)	NET USD (1.00000)
CASH BALANCE		6,265.00	677,073.49	685,372.11
Variation Margins	- Futures	0.00	477,052.50	477,052.50
	- Options	0.00	0.00	0.00
TOTAL EQUITY		**6,265.00**	**1,154,125.99**	**1,162,424.61**
Initial Margins		0.00	-893,717.91	-893,717.91
Excess/(Shortage)		**6,265.00**	**260,408.08**	**268,706.70**
FOR INFORMATION ONLY				
Forward Unrealised P&L		0.00	-250,534.91	-250,534.91
Net Liquidating Value		**6,265.00**	**903,591.08**	**911,889.70**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0072

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-157.26	-157.26
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**-157.26**	**-157.26**
Initial Margins		0.00	0.00
Excess/(Shortage)		**-157.26**	**-157.26**
FOR INFORMATION ONLY			
Net Liquidating Value		**-157.26**	**-157.26**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0072B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	DOW JONES EURO STOXX 50 10 ERX					
29AUG17	S 100 OCT17 2450.00 Put	0.80	0.10	EUR	-100.00	700.00
	NET -100 AVG SOLD 0.80				**-100.00**	**700.00**
	FTSE 100 (EUROPEAN) OPTION 10 LTO					
22SEP17	S 100 OCT17 6000.0 Put	1.0	0.0	GBP	0.00	1,000.00
	NET -100 AVG SOLD 1.0				**0.00**	**1,000.00**

OPEN POSITION VALUE

		EUR	GBP
Dow Jones EURO Stoxx 50	OPTIONS	800.00	
FTSE 100 (European) Option	OPTIONS		1,000.00

MARKET REVALUATION BREAKDOWN

Net Premium Options Market Value

			Amount	Profit / Loss	**Total Amount**	**Total P&L**
EDOX	- Options	EUR	-100.00	700.00	**-100.00**	**700.00**
FTSO	- Options	GBP	0.00	1,000.00	**0.00**	**1,000.00**

ACCOUNT FINANCIAL SUMMARY

		EUR	GBP	USD
		(1.17550)	(1.32460)	(1.00000)
CASH BALANCE		112,335.98	63,910.40	-167,670.01
Variation Margins	- Futures	0.00	0.00	0.00
	- Options	0.00	0.00	0.00
TOTAL EQUITY		**112,335.98**	**63,910.40**	**-167,670.01**
Initial Margins		-294.28	-18,000.00	0.00
Excess/(Shortage)		**112,041.70**	**45,910.40**	**-167,670.01**
FOR INFORMATION ONLY				
Net Premium Option Market Value		-100.00	0.00	0.00
Net Liquidating Value		**112,235.98**	**63,910.40**	**-167,670.01**

		NETT USD
		(1.00000)
CASH BALANCE		49,036.65
Variation Margins	- Futures	0.00
	- Options	0.00
TOTAL EQUITY		**49,036.65**
Initial Margins		-24,188.73
Excess/(Shortage)		**24,847.92**
FOR INFORMATION ONLY		
Net Premium Option Market Value		-117.55
Net Liquidating Value		**48,919.10**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED
SAP0072
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPG0072**

3rd Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

GROUP FINANCIAL SUMMARY

		EUR (1.17550)	GBP (1.32460)	USD (1.00000)
CASH BALANCE		112,335.98	63,910.40	-167,827.27
Variation Margins	- Futures	0.00	0.00	0.00
	- Options	0.00	0.00	0.00
TOTAL EQUITY		**112,335.98**	**63,910.40**	**-167,827.27**
Initial Margins		-294.28	-18,000.00	0.00
Excess/(Shortage)		**112,041.70**	**45,910.40**	**-167,827.27**
FOR INFORMATION ONLY				
Net Premium Option Market Value		-100.00	0.00	0.00
Net Liquidating Value		**112,235.98**	**63,910.40**	**-167,827.27**

		NET USD (1.00000)
CASH BALANCE		48,879.39
Variation Margins	- Futures	0.00
	- Options	0.00
TOTAL EQUITY		**48,879.39**
Initial Margins		-24,188.73
Excess/(Shortage)		**24,690.66**
FOR INFORMATION ONLY		
Net Premium Option Market Value		-117.55
Net Liquidating Value		**48,761.84**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0077

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		EUR	USD	NETT USD
		(1.17550)	(1.00000)	(1.00000)
CASH BALANCE		128,169.56	45,993.12	196,656.44
Variation Margins	- Futures	0.00	0.00	0.00
	- Options	0.00	0.00	0.00
TOTAL EQUITY		**128,169.56**	**45,993.12**	**196,656.44**
Initial Margins		0.00	0.00	0.00
Excess/(Shortage)		**128,169.56**	**45,993.12**	**196,656.44**
FOR INFORMATION ONLY				
Net Liquidating Value		**128,169.56**	**45,993.12**	**196,656.44**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED
SAP0077
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPG0077**

3rd Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

GROUP FINANCIAL SUMMARY

		EUR (1.17550)	USD (1.00000)	NET USD (1.00000)
CASH BALANCE		128,169.56	45,993.12	196,656.44
Variation Margins	- Futures	0.00	0.00	0.00
	- Options	0.00	0.00	0.00
TOTAL EQUITY		**128,169.56**	**45,993.12**	**196,656.44**
Initial Margins		0.00	0.00	0.00
Excess/(Shortage)		**128,169.56**	**45,993.12**	**196,656.44**
FOR INFORMATION ONLY				
Net Liquidating Value		**128,169.56**	**45,993.12**	**196,656.44**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0083

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-99,213.13	-99,213.13
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**-99,213.13**	**-99,213.13**
Initial Margins		0.00	0.00
Excess/(Shortage)		**-99,213.13**	**-99,213.13**
FOR INFORMATION ONLY			
Net Liquidating Value		**-99,213.13**	**-99,213.13**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083GA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
04JUL17	S 1 PD04OCT17	1921.00	2113.92	USD	-4,823.00	
04JUL17	S 1 PD04OCT17	1920.50	2113.92	USD	-4,835.50	
04JUL17	B 1 PD04OCT17	1923.00	2113.92	USD	4,773.00	
04JUL17	B 1 PD04OCT17	1922.50	2113.92	USD	4,785.50	
	NET 0 AVG BGHT 1922.75 SOLD 1920.75				**-100.00**	**0.00**
26JUL17	S 1 PD26OCT17	1943.50	2119.36	USD	-4,396.50	
26JUL17	S 1 PD26OCT17	1957.00	2119.36	USD	-4,059.00	
26JUL17	B 1 PD26OCT17	1943.50	2119.36	USD	4,396.50	
08AUG17	B 1 PD26OCT17	2027.40	2119.36	USD	2,299.00	
	NET 0 AVG BGHT 1985.45 SOLD 1950.25				**-1,760.00**	**0.00**
27JUL17	S 1 PD27OCT17	1950.00	2119.75	USD	-4,243.75	
27JUL17	B 1 PD27OCT17	1938.00	2119.75	USD	4,543.75	
28JUL17	S 1 PD27OCT17	1929.50	2119.75	USD	-4,756.25	
28JUL17	B 2 PD27OCT17	1916.50	2119.75	USD	10,162.50	
08AUG17	S 1 PD27OCT17	2027.40	2119.75	USD	-2,308.75	
	NET 0 AVG BGHT 1923.67 SOLD 1968.97				**3,397.50**	**0.00**
02AUG17	B 1 PD02NOV17	1923.00	2117.08	USD	4,852.00	
02AUG17	B 1 PD02NOV17	1924.00	2117.08	USD	4,827.00	
02AUG17	B 1 PD02NOV17	1925.50	2117.08	USD	4,789.50	
02AUG17	B 2 PD02NOV17	1926.50	2117.08	USD	9,529.00	
02AUG17	B 2 PD02NOV17	1926.00	2117.08	USD	9,554.00	
08AUG17	S 6 PD02NOV17	2028.80	2117.08	USD	-13,242.00	
06SEP17	S 1 PD02NOV17	2097.88	2117.08	USD	-480.00	
	NET 0 AVG BGHT 1925.36 SOLD 2038.67				**19,829.50**	**0.00**
04AUG17	B 3 PD03NOV17	1918.00	2116.75	USD	14,906.25	
06SEP17	S 3 PD03NOV17	2098.14	2116.75	USD	-1,395.75	
	NET 0 AVG BGHT 1918.00 SOLD 2098.14				**13,510.50**	**0.00**
07AUG17	B 2 PD07NOV17	1919.50	2116.08	USD	9,829.00	
07AUG17	B 1 PD07NOV17	1931.50	2116.08	USD	4,614.50	
07AUG17	S 3 PD07NOV17	1926.00	2116.08	USD	-14,256.00	
07AUG17	S 3 PD07NOV17	1925.50	2116.08	USD	-14,293.50	
07AUG17	S 2 PD07NOV17	1927.00	2116.08	USD	-9,454.00	
07AUG17	S 1 PD07NOV17	1929.00	2116.08	USD	-4,677.00	
07AUG17	S 1 PD07NOV17	1928.50	2116.08	USD	-4,689.50	
07AUG17	B 2 PD07NOV17	1929.50	2116.08	USD	9,329.00	
07AUG17	B 3 PD07NOV17	1926.00	2116.08	USD	14,256.00	
07AUG17	S 1 PD07NOV17	1924.50	2116.08	USD	-4,789.50	
07AUG17	S 3 PD07NOV17	1969.00	2116.08	USD	-11,031.00	
08AUG17	B 6 PD07NOV17	2030.00	2116.08	USD	12,912.00	
	NET 0 AVG BGHT 1970.54 SOLD 1935.54				**-12,250.00**	**0.00**
08AUG17	B 1 PD08NOV17	1980.00	2115.75	USD	3,393.75	
08AUG17	S 2 PD08NOV17	1994.00	2115.75	USD	-6,087.50	
08AUG17	B 1 PD08NOV17	1997.50	2115.75	USD	2,956.25	
08AUG17	B 1 PD08NOV17	1998.50	2115.75	USD	2,931.25	
08AUG17	B 3 PD08NOV17	2000.00	2115.75	USD	8,681.25	
08AUG17	B 1 PD08NOV17	2003.50	2115.75	USD	2,806.25	
08AUG17	B 1 PD08NOV17	2010.50	2115.75	USD	2,631.25	
08AUG17	B 2 PD08NOV17	2020.00	2115.75	USD	4,787.50	
06SEP17	S 8 PD08NOV17	2099.44	2115.75	USD	-3,262.00	
	NET 0 AVG BGHT 2003.00 SOLD 2078.35				**18,838.00**	**0.00**
11AUG17	S 1 PD10NOV17	2025.50	2116.46	USD	-2,274.00	
11AUG17	S 1 PD10NOV17	2038.50	2116.46	USD	-1,949.00	
06SEP17	B 2 PD10NOV17	2100.15	2116.46	USD	815.50	
	NET 0 AVG BGHT 2100.15 SOLD 2032.00				**-3,407.50**	**0.00**
14AUG17	S 1 PD14NOV17	2028.50	2117.89	USD	-2,234.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083GA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
14AUG17	S 1 PD14NOV17	2031.00	2117.89	USD	-2,172.25	
14AUG17	S 1 PD14NOV17	2033.50	2117.89	USD	-2,109.75	
14AUG17	B 1 PD14NOV17	2041.50	2117.89	USD	1,909.75	
06SEP17	B 2 PD14NOV17	2101.19	2117.89	USD	835.00	
	NET 0 AVG BGHT 2081.29 SOLD 2031.00				**-3,772.00**	**0.00**
15AUG17	S 1 PD15NOV17	2023.00	2118.25	USD	-2,381.25	
15AUG17	S 1 PD15NOV17	2033.00	2118.25	USD	-2,131.25	
06SEP17	B 2 PD15NOV17	2101.45	2118.25	USD	840.00	
	NET 0 AVG BGHT 2101.45 SOLD 2028.00				**-3,672.50**	**0.00**
16AUG17	B 1 PD16NOV17	2053.00	2118.52	USD	1,638.00	
16AUG17	B 1 PD16NOV17	2054.50	2118.52	USD	1,600.50	
16AUG17	B 1 PD16NOV17	2099.00	2118.52	USD	488.00	
06SEP17	S 3 PD16NOV17	2100.63	2118.52	USD	-1,341.75	
	NET 0 AVG BGHT 2068.83 SOLD 2100.63				**2,384.75**	**0.00**
17AUG17	B 1 PD17NOV17	2096.00	2118.79	USD	569.75	
17AUG17	S 1 PD17NOV17	2100.00	2118.79	USD	-469.75	
	NET 0 AVG BGHT 2096.00 SOLD 2100.00				**100.00**	**0.00**
21AUG17	B 1 PD21NOV17	2084.00	2119.88	USD	897.00	
06SEP17	S 1 PD21NOV17	2100.95	2119.88	USD	-473.25	
	NET 0 AVG BGHT 2084.00 SOLD 2100.95				**423.75**	**0.00**
22AUG17	S 1 PD22NOV17	2079.50	2120.15	USD	-1,016.25	
22AUG17	S 1 PD22NOV17	2079.00	2120.15	USD	-1,028.75	
22AUG17	S 1 PD22NOV17	2068.00	2120.15	USD	-1,303.75	
22AUG17	S 1 PD22NOV17	2071.00	2120.15	USD	-1,228.75	
22AUG17	S 1 PD22NOV17	2072.00	2120.15	USD	-1,203.75	
06SEP17	B 5 PD22NOV17	2101.38	2120.15	USD	2,346.25	
	NET 0 AVG BGHT 2101.38 SOLD 2073.90				**-3,435.00**	**0.00**
23AUG17	B 1 PD24NOV17	2085.00	2120.69	USD	892.25	
23AUG17	B 1 PD24NOV17	2084.00	2120.69	USD	917.25	
23AUG17	B 1 PD24NOV17	2090.00	2120.69	USD	767.25	
23AUG17	B 1 PD24NOV17	2094.00	2120.69	USD	667.25	
24AUG17	S 1 PD24NOV17	2103.00	2120.69	USD	-442.25	
24AUG17	S 2 PD24NOV17	2103.50	2120.69	USD	-859.50	
24AUG17	B 1 PD24NOV17	2105.00	2120.69	USD	392.25	
24AUG17	S 1 PD24NOV17	2099.00	2120.69	USD	-542.25	
24AUG17	S 1 PD24NOV17	2095.50	2120.69	USD	-629.75	
24AUG17	S 1 PD24NOV17	2092.50	2120.69	USD	-704.75	
24AUG17	S 1 PD24NOV17	2091.00	2120.69	USD	-742.25	
24AUG17	S 1 PD24NOV17	2091.50	2120.69	USD	-729.75	
06SEP17	B 3 PD24NOV17	2101.86	2120.69	USD	1,412.25	
	NET 0 AVG BGHT 2095.45 SOLD 2097.44				**398.00**	**0.00**
29AUG17	B 1 PD29NOV17	2077.00	2122.05	USD	1,126.25	
29AUG17	S 1 PD29NOV17	2095.00	2122.05	USD	-676.25	
29AUG17	S 1 PD29NOV17	2098.50	2122.05	USD	-588.75	
29AUG17	S 1 PD29NOV17	2092.50	2122.05	USD	-738.75	
06SEP17	B 2 PD29NOV17	2103.04	2122.05	USD	950.50	
	NET 0 AVG BGHT 2094.36 SOLD 2095.33				**73.00**	**0.00**
30AUG17	B 1 PD30NOV17	2088.50	2122.32	USD	845.50	
30AUG17	S 1 PD30NOV17	2093.50	2122.32	USD	-720.50	
31AUG17	B 1 PD30NOV17	2089.00	2122.32	USD	833.00	
31AUG17	B 1 PD30NOV17	2095.00	2122.32	USD	683.00	
31AUG17	S 1 PD30NOV17	2129.50	2122.32	USD	179.50	
31AUG17	S 1 PD30NOV17	2132.00	2122.32	USD	242.00	
31AUG17	B 1 PD30NOV17	2124.00	2122.32	USD	-42.00	
13SEP17	S 1 PD30NOV17	2106.52	2122.32	USD	-395.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083GA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
	NET 0 AVG BGHT 2099.13 SOLD 2115.38				**1,625.50**	**0.00**
01SEP17	B 1 PD01DEC17	2129.00	2122.59	USD	-160.25	
13SEP17	S 1 PD01DEC17	2106.79	2122.59	USD	-395.00	
	NET 0 AVG BGHT 2129.00 SOLD 2106.79				**-555.25**	**0.00**
05SEP17	S 1 PD05DEC17	2106.00	2123.68	USD	-442.00	
13SEP17	B 1 PD05DEC17	2108.06	2123.68	USD	390.50	
	NET 0 AVG BGHT 2108.06 SOLD 2106.00				**-51.50**	**0.00**
06SEP17	S 1 PD06DEC17	2086.00	2123.95	USD	-948.75	
13SEP17	B 1 PD06DEC17	2108.33	2123.95	USD	390.50	
	NET 0 AVG BGHT 2108.33 SOLD 2086.00				**-558.25**	**0.00**
08SEP17	S 1 PD08DEC17	2097.50	2124.49	USD	-674.75	
13SEP17	B 1 PD08DEC17	2108.66	2124.49	USD	395.75	
	NET 0 AVG BGHT 2108.66 SOLD 2097.50				**-279.00**	**0.00**
11SEP17	B 1 PD11DEC17	2103.00	2125.31	USD	557.75	
11SEP17	B 1 PD11DEC17	2105.00	2125.31	USD	507.75	
11SEP17	B 1 PD11DEC17	2126.00	2125.31	USD	-17.25	
13SEP17	S 1 PD11DEC17	2109.26	2125.31	USD	-401.25	
20SEP17	S 2 PD11DEC17	2174.49	2125.31	USD	2,459.00	
	NET 0 AVG BGHT 2111.33 SOLD 2152.75				**3,106.00**	**0.00**
13SEP17	S 1 PD13DEC17	2131.00	2125.85	USD	128.75	
20SEP17	B 1 PD13DEC17	2175.25	2125.85	USD	-1,235.00	
	NET 0 AVG BGHT 2175.25 SOLD 2131.00				**-1,106.25**	**0.00**
14SEP17	B 1 PD14DEC17	2098.50	2126.12	USD	690.50	
14SEP17	B 1 PD14DEC17	2092.50	2126.12	USD	840.50	
14SEP17	S 1 PD14DEC17	2105.00	2126.12	USD	-528.00	
14SEP17	B 1 PD14DEC17	2105.50	2126.12	USD	515.50	
20SEP17	S 2 PD14DEC17	2175.33	2126.12	USD	2,460.50	
	NET 0 AVG BGHT 2098.83 SOLD 2151.89				**3,979.00**	**0.00**
15SEP17	S 1 PD15DEC17	2089.00	2126.39	USD	-934.75	
15SEP17	S 2 PD15DEC17	2090.00	2126.39	USD	-1,819.50	
20SEP17	B 3 PD15DEC17	2175.81	2126.39	USD	-3,706.50	
	NET 0 AVG BGHT 2175.81 SOLD 2089.67				**-6,460.75**	**0.00**
18SEP17	B 1 PD18DEC17	2090.50	2127.21	USD	917.75	
18SEP17	S 1 PD18DEC17	2090.00	2127.21	USD	-930.25	
18SEP17	B 1 PD18DEC17	2090.00	2127.21	USD	930.25	
18SEP17	B 1 PD18DEC17	2093.00	2127.21	USD	855.25	
20SEP17	S 1 PD18DEC17	2176.44	2127.21	USD	1,230.75	
29SEP17	S 1 PD18DEC17	2098.90	2127.21	USD	-707.75	
	NET 0 AVG BGHT 2091.17 SOLD 2121.78				**2,296.00**	**0.00**
19SEP17	B 1 PD19DEC17	2105.00	2127.48	USD	562.00	
19SEP17	S 1 PD19DEC17	2106.00	2127.48	USD	-537.00	
19SEP17	S 1 PD19DEC17	2124.00	2127.48	USD	-87.00	
19SEP17	S 1 PD19DEC17	2123.50	2127.48	USD	-99.50	
19SEP17	B 1 PD19DEC17	2130.00	2127.48	USD	-63.00	
20SEP17	B 1 PD19DEC17	2176.92	2127.48	USD	-1,236.00	
	NET 0 AVG BGHT 2137.31 SOLD 2117.83				**-1,460.50**	**0.00**
20SEP17	B 1 PD20DEC17	2130.00	2127.75	USD	-56.25	
20SEP17	B 1 PD20DEC17	2146.50	2127.75	USD	-468.75	
29SEP17	S 2 PD20DEC17	2099.50	2127.75	USD	-1,412.50	
	NET 0 AVG BGHT 2138.25 SOLD 2099.50				**-1,937.50**	**0.00**
21SEP17	S 2 PD21DEC17	2169.00	2127.98	USD	2,051.00	
21SEP17	B 1 PD21DEC17	2170.00	2127.98	USD	-1,050.50	
21SEP17	S 1 PD21DEC17	2161.50	2127.98	USD	838.00	
21SEP17	S 1 PD21DEC17	2184.00	2127.98	USD	1,400.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083GA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
21SEP17	B 1 PD21DEC17	2179.00	2127.98	USD	-1,275.50	
21SEP17	S 1 PD21DEC17	2164.00	2127.98	USD	900.50	
21SEP17	S 1 PD21DEC17	2145.00	2127.98	USD	425.50	
21SEP17	B 1 PD21DEC17	2145.50	2127.98	USD	-438.00	
29SEP17	B 3 PD21DEC17	2099.98	2127.98	USD	2,100.00	
	NET 0 AVG BGHT 2132.41 SOLD 2165.42				**4,951.50**	**0.00**
22SEP17	S 1 PD22DEC17	2165.00	2128.21	USD	919.75	
	NET -1 AVG SOLD 2165.00				**919.75**	**0.00**
25SEP17	S 1 PD27DEC17	2144.00	2129.38	USD	365.50	
25SEP17	B 1 PD27DEC17	2170.00	2129.38	USD	-1,015.50	
25SEP17	B 1 PD27DEC17	2143.00	2129.38	USD	-340.50	
27SEP17	S 1 PD27DEC17	2138.00	2129.38	USD	215.50	
	NET 0 AVG BGHT 2156.50 SOLD 2141.00				**-775.00**	**0.00**
28SEP17	S 1 PD28DEC17	2128.00	2129.61	USD	-40.25	
	NET -1 AVG SOLD 2128.00				**-40.25**	**0.00**
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
05JUL17	B 1 PD05OCT17	5890.50	6468.75	USD	14,456.25	
05JUL17	B 1 PD05OCT17	5889.00	6468.75	USD	14,493.75	
05JUL17	B 1 PD05OCT17	5891.00	6468.75	USD	14,443.75	
05JUL17	B 1 PD05OCT17	5887.00	6468.75	USD	14,543.75	
05JUL17	B 1 PD05OCT17	5816.50	6468.75	USD	16,306.25	
05JUL17	S 1 PD05OCT17	5835.50	6468.75	USD	-15,831.25	
05JUL17	S 1 PD05OCT17	5844.50	6468.75	USD	-15,606.25	
08AUG17	S 3 PD05OCT17	6468.18	6468.75	USD	-42.75	
	NET 0 AVG BGHT 5874.80 SOLD 6216.91				**42,763.50**	**0.00**
06JUL17	B 1 PD06OCT17	5841.00	6470.02	USD	15,725.50	
06JUL17	B 1 PD06OCT17	5841.50	6470.02	USD	15,713.00	
06JUL17	B 1 PD06OCT17	5840.50	6470.02	USD	15,738.00	
08AUG17	S 3 PD06OCT17	6468.82	6470.02	USD	-90.00	
	NET 0 AVG BGHT 5841.00 SOLD 6468.82				**47,086.50**	**0.00**
11JUL17	B 1 PD11OCT17	5828.00	6476.37	USD	16,209.25	
11JUL17	B 2 PD11OCT17	5828.50	6476.37	USD	32,393.50	
18JUL17	S 3 PD11OCT17	6004.11	6476.37	USD	-35,419.50	
	NET 0 AVG BGHT 5828.33 SOLD 6004.11				**13,183.25**	**0.00**
12JUL17	S 1 PD12OCT17	5925.50	6477.63	USD	-13,803.25	
12JUL17	S 1 PD12OCT17	5929.00	6477.63	USD	-13,715.75	
12JUL17	S 1 PD12OCT17	5927.00	6477.63	USD	-13,765.75	
18JUL17	B 3 PD12OCT17	6004.72	6477.63	USD	35,468.25	
	NET 0 AVG BGHT 6004.72 SOLD 5927.17				**-5,816.50**	**0.00**
13JUL17	B 1 PD13OCT17	5881.50	6478.90	USD	14,935.00	
13JUL17	B 1 PD13OCT17	5876.00	6478.90	USD	15,072.50	
13JUL17	B 1 PD13OCT17	5876.50	6478.90	USD	15,060.00	
18JUL17	S 3 PD13OCT17	6004.93	6478.90	USD	-35,547.75	
	NET 0 AVG BGHT 5878.00 SOLD 6004.93				**9,519.75**	**0.00**
17JUL17	S 1 PD17OCT17	6018.00	6483.98	USD	-11,649.50	
17JUL17	S 1 PD17OCT17	6017.50	6483.98	USD	-11,662.00	
17JUL17	S 1 PD17OCT17	6016.50	6483.98	USD	-11,687.00	
18JUL17	B 3 PD17OCT17	6006.79	6483.98	USD	35,789.25	
	NET 0 AVG BGHT 6006.79 SOLD 6017.33				**790.75**	**0.00**
19JUL17	B 1 PD19OCT17	5996.50	6486.00	USD	12,237.50	
19JUL17	B 2 PD19OCT17	5996.00	6486.00	USD	24,500.00	
08AUG17	S 3 PD19OCT17	6473.50	6486.00	USD	-937.50	
	NET 0 AVG BGHT 5996.17 SOLD 6473.50				**35,800.00**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083GA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT							
21JUL17	S	1	PD20OCT17	6013.50	6486.75	USD	-11,831.25	
21JUL17	B	1	PD20OCT17	6011.50	6486.75	USD	11,881.25	
21JUL17	S	1	PD20OCT17	6029.50	6486.75	USD	-11,431.25	
21JUL17	S	1	PD20OCT17	6031.50	6486.75	USD	-11,381.25	
21JUL17	S	1	PD20OCT17	6032.00	6486.75	USD	-11,368.75	
08AUG17	B	3	PD20OCT17	6472.70	6486.75	USD	1,053.75	
	NET	**0**	**AVG BGHT 6357.40**	**SOLD 6026.63**			**-33,077.50**	**0.00**
24JUL17	S	2	PD24OCT17	6015.00	6489.75	USD	-23,737.50	
24JUL17	S	1	PD24OCT17	6017.00	6489.75	USD	-11,818.75	
24JUL17	S	1	PD24OCT17	6027.00	6489.75	USD	-11,568.75	
24JUL17	S	1	PD24OCT17	6026.00	6489.75	USD	-11,593.75	
08AUG17	B	5	PD24OCT17	6474.27	6489.75	USD	1,935.00	
	NET	**0**	**AVG BGHT 6474.27**	**SOLD 6020.00**			**-56,783.75**	**0.00**
25JUL17	S	1	PD25OCT17	6044.50	6490.29	USD	-11,144.75	
25JUL17	B	1	PD25OCT17	6095.00	6490.29	USD	9,882.25	
25JUL17	S	1	PD25OCT17	6225.50	6490.29	USD	-6,619.75	
25JUL17	S	1	PD25OCT17	6199.50	6490.29	USD	-7,269.75	
25JUL17	S	1	PD25OCT17	6202.00	6490.29	USD	-7,207.25	
25JUL17	S	1	PD25OCT17	6201.00	6490.29	USD	-7,232.25	
25JUL17	S	1	PD25OCT17	6203.50	6490.29	USD	-7,169.75	
25JUL17	B	1	PD25OCT17	6200.00	6490.29	USD	7,257.25	
25JUL17	B	1	PD25OCT17	6199.50	6490.29	USD	7,269.75	
25JUL17	B	1	PD25OCT17	6198.50	6490.29	USD	7,294.75	
25JUL17	B	1	PD25OCT17	6202.00	6490.29	USD	7,207.25	
25JUL17	S	1	PD25OCT17	6198.50	6490.29	USD	-7,294.75	
08AUG17	B	1	PD25OCT17	6474.46	6490.29	USD	395.75	
08AUG17	B	1	PD25OCT17	6474.66	6490.29	USD	390.75	
	NET	**0**	**AVG BGHT 6263.45**	**SOLD 6182.07**			**-14,240.50**	**0.00**
26JUL17	B	1	PD26OCT17	6313.00	6490.82	USD	4,445.50	
08AUG17	S	1	PD26OCT17	6474.66	6490.82	USD	-404.00	
	NET	**0**	**AVG BGHT 6313.00**	**SOLD 6474.66**			**4,041.50**	**0.00**
27JUL17	S	1	PD27OCT17	6349.50	6491.36	USD	-3,546.50	
27JUL17	B	1	PD27OCT17	6366.00	6491.36	USD	3,134.00	
	NET	**0**	**AVG BGHT 6366.00**	**SOLD 6349.50**			**-412.50**	**0.00**
08AUG17	B	1	PD08NOV17	6481.00	6498.25	USD	431.25	
08AUG17	S	1	PD08NOV17	6481.00	6498.25	USD	-431.25	
	NET	**0**	**AVG BGHT 6481.00**	**SOLD 6481.00**			**0.00**	**0.00**
11AUG17	B	1	PD10NOV17	6362.00	6499.18	USD	3,429.50	
11AUG17	S	1	PD10NOV17	6365.00	6499.18	USD	-3,354.50	
11AUG17	S	1	PD10NOV17	6366.00	6499.18	USD	-3,329.50	
11AUG17	S	1	PD10NOV17	6362.00	6499.18	USD	-3,429.50	
11AUG17	S	1	PD10NOV17	6361.50	6499.18	USD	-3,442.00	
11AUG17	S	1	PD10NOV17	6363.50	6499.18	USD	-3,392.00	
06SEP17	B	4	PD10NOV17	6893.67	6499.18	USD	-39,449.00	
	NET	**0**	**AVG BGHT 6787.34**	**SOLD 6363.60**			**-52,967.00**	**0.00**
14AUG17	S	1	PD14NOV17	6412.00	6501.04	USD	-2,226.00	
14AUG17	S	1	PD14NOV17	6406.00	6501.04	USD	-2,376.00	
14AUG17	S	1	PD14NOV17	6404.50	6501.04	USD	-2,413.50	
06SEP17	B	3	PD14NOV17	6895.93	6501.04	USD	-29,616.75	
	NET	**0**	**AVG BGHT 6895.93**	**SOLD 6407.50**			**-36,632.25**	**0.00**
16AUG17	B	1	PD16NOV17	6389.50	6501.00	USD	2,787.50	
16AUG17	S	1	PD16NOV17	6382.50	6501.00	USD	-2,962.50	
	NET	**0**	**AVG BGHT 6389.50**	**SOLD 6382.50**			**-175.00**	**0.00**
17AUG17	B	1	PD17NOV17	6497.50	6501.60	USD	102.50	
18AUG17	B	1	PD17NOV17	6526.00	6501.60	USD	-610.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083GA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT							
18AUG17	B	1	PD17NOV17	6528.50	6501.60	USD	-672.50	
18AUG17	B	1	PD17NOV17	6530.00	6501.60	USD	-710.00	
06SEP17	S	4	PD17NOV17	6893.68	6501.60	USD	39,208.00	
	NET	**0**	**AVG BGHT 6520.50**	**SOLD 6893.68**			**37,318.00**	**0.00**
21AUG17	B	1	PD21NOV17	6564.50	6504.00	USD	-1,512.50	
21AUG17	B	1	PD21NOV17	6580.50	6504.00	USD	-1,912.50	
21AUG17	B	1	PD21NOV17	6589.00	6504.00	USD	-2,125.00	
21AUG17	B	1	PD21NOV17	6582.50	6504.00	USD	-1,962.50	
21AUG17	S	1	PD21NOV17	6536.50	6504.00	USD	812.50	
21AUG17	S	1	PD21NOV17	6542.50	6504.00	USD	962.50	
21AUG17	B	1	PD21NOV17	6575.00	6504.00	USD	-1,775.00	
21AUG17	B	2	PD21NOV17	6585.50	6504.00	USD	-4,075.00	
21AUG17	B	2	PD21NOV17	6567.50	6504.00	USD	-3,175.00	
21AUG17	B	1	PD21NOV17	6622.50	6504.00	USD	-2,962.50	
21AUG17	S	1	PD21NOV17	6601.00	6504.00	USD	2,425.00	
21AUG17	B	1	PD21NOV17	6566.50	6504.00	USD	-1,562.50	
06SEP17	S	3	PD21NOV17	6895.18	6504.00	USD	29,338.50	
06SEP17	S	5	PD21NOV17	6895.38	6504.00	USD	48,922.50	
	NET	**0**	**AVG BGHT 6580.59**	**SOLD 6803.86**			**61,398.50**	**0.00**
22AUG17	S	1	PD22NOV17	6577.00	6504.60	USD	1,810.00	
22AUG17	B	1	PD22NOV17	6588.50	6504.60	USD	-2,097.50	
22AUG17	B	1	PD22NOV17	6639.00	6504.60	USD	-3,360.00	
22AUG17	B	1	PD22NOV17	6620.50	6504.60	USD	-2,897.50	
22AUG17	S	1	PD22NOV17	6607.50	6504.60	USD	2,572.50	
22AUG17	S	1	PD22NOV17	6609.00	6504.60	USD	2,610.00	
	NET	**0**	**AVG BGHT 6616.00**	**SOLD 6597.83**			**-1,362.50**	**0.00**
23AUG17	B	1	PD24NOV17	6566.00	6505.80	USD	-1,505.00	
24AUG17	S	1	PD24NOV17	6600.50	6505.80	USD	2,367.50	
24AUG17	B	1	PD24NOV17	6621.50	6505.80	USD	-2,892.50	
24AUG17	S	1	PD24NOV17	6631.00	6505.80	USD	3,130.00	
24AUG17	S	1	PD24NOV17	6633.50	6505.80	USD	3,192.50	
24AUG17	S	1	PD24NOV17	6667.50	6505.80	USD	4,042.50	
25AUG17	S	1	PD24NOV17	6701.00	6505.80	USD	4,880.00	
25AUG17	S	1	PD24NOV17	6727.00	6505.80	USD	5,530.00	
25AUG17	B	1	PD24NOV17	6706.50	6505.80	USD	-5,017.50	
06SEP17	B	3	PD24NOV17	6896.70	6505.80	USD	-29,317.50	
	NET	**0**	**AVG BGHT 6764.02**	**SOLD 6660.08**			**-15,590.00**	**0.00**
29AUG17	S	1	PD29NOV17	6773.50	6508.80	USD	6,617.50	
29AUG17	S	1	PD29NOV17	6804.50	6508.80	USD	7,392.50	
06SEP17	B	2	PD29NOV17	6898.58	6508.80	USD	-19,489.00	
	NET	**0**	**AVG BGHT 6898.58**	**SOLD 6789.00**			**-5,479.00**	**0.00**
31AUG17	B	1	PD30NOV17	6779.00	6509.40	USD	-6,740.00	
13SEP17	S	1	PD30NOV17	6548.02	6509.40	USD	965.50	
	NET	**0**	**AVG BGHT 6779.00**	**SOLD 6548.02**			**-5,774.50**	**0.00**
01SEP17	B	1	PD01DEC17	6812.00	6510.00	USD	-7,550.00	
01SEP17	B	1	PD01DEC17	6813.00	6510.00	USD	-7,575.00	
13SEP17	S	2	PD01DEC17	6548.45	6510.00	USD	1,922.50	
	NET	**0**	**AVG BGHT 6812.50**	**SOLD 6548.45**			**-13,202.50**	**0.00**
04SEP17	S	1	PD04DEC17	6910.50	6511.29	USD	9,980.25	
04SEP17	B	1	PD04DEC17	6910.50	6511.29	USD	-9,980.25	
04SEP17	B	1	PD04DEC17	6921.00	6511.29	USD	-10,242.75	
13SEP17	S	1	PD04DEC17	6549.74	6511.29	USD	961.25	
	NET	**0**	**AVG BGHT 6915.75**	**SOLD 6730.12**			**-9,281.50**	**0.00**
05SEP17	S	1	PD05DEC17	6930.50	6511.71	USD	10,469.75	
05SEP17	S	1	PD05DEC17	6924.00	6511.71	USD	10,307.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083GA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
05SEP17	B 1 PD05DEC17	6943.50	6511.71	USD	-10,794.75	
05SEP17	B 1 PD05DEC17	6939.00	6511.71	USD	-10,682.25	
05SEP17	S 1 PD05DEC17	6941.50	6511.71	USD	10,744.75	
05SEP17	S 1 PD05DEC17	6932.50	6511.71	USD	10,519.75	
05SEP17	B 1 PD05DEC17	6964.00	6511.71	USD	-11,307.25	
05SEP17	B 1 PD05DEC17	6916.50	6511.71	USD	-10,119.75	
05SEP17	B 1 PD05DEC17	6919.50	6511.71	USD	-10,194.75	
05SEP17	B 2 PD05DEC17	6905.50	6511.71	USD	-19,689.50	
05SEP17	B 2 PD05DEC17	6900.50	6511.71	USD	-19,439.50	
13SEP17	S 5 PD05DEC17	6550.17	6511.71	USD	4,807.50	
	NET 0 AVG BGHT 6921.61 SOLD 6719.93				**-45,378.75**	**0.00**
06SEP17	B 1 PD06DEC17	6875.00	6512.14	USD	-9,071.50	
06SEP17	B 1 PD06DEC17	6879.00	6512.14	USD	-9,171.50	
06SEP17	B 1 PD06DEC17	6880.00	6512.14	USD	-9,196.50	
06SEP17	S 1 PD06DEC17	6911.50	6512.14	USD	9,984.00	
06SEP17	S 1 PD06DEC17	6910.50	6512.14	USD	9,959.00	
06SEP17	S 1 PD06DEC17	6909.00	6512.14	USD	9,921.50	
06SEP17	S 2 PD06DEC17	6908.50	6512.14	USD	19,818.00	
06SEP17	S 1 PD06DEC17	6908.00	6512.14	USD	9,896.50	
06SEP17	S 2 PD06DEC17	6909.50	6512.14	USD	19,868.00	
06SEP17	B 1 PD06DEC17	6898.00	6512.14	USD	-9,646.50	
06SEP17	B 1 PD06DEC17	6880.50	6512.14	USD	-9,209.00	
06SEP17	B 1 PD06DEC17	6877.00	6512.14	USD	-9,121.50	
06SEP17	B 2 PD06DEC17	6875.50	6512.14	USD	-18,168.00	
06SEP17	B 1 PD06DEC17	6886.00	6512.14	USD	-9,346.50	
06SEP17	B 1 PD06DEC17	6892.00	6512.14	USD	-9,496.50	
13SEP17	S 2 PD06DEC17	6550.60	6512.14	USD	1,923.00	
	NET 0 AVG BGHT 6881.85 SOLD 6837.62				**-11,057.50**	**0.00**
07SEP17	B 2 PD07DEC17	6924.50	6512.57	USD	-20,596.50	
07SEP17	B 1 PD07DEC17	6920.00	6512.57	USD	-10,185.75	
07SEP17	S 1 PD07DEC17	6876.00	6512.57	USD	9,085.75	
07SEP17	S 3 PD07DEC17	6875.00	6512.57	USD	27,182.25	
07SEP17	S 1 PD07DEC17	6873.00	6512.57	USD	9,010.75	
07SEP17	S 1 PD07DEC17	6872.50	6512.57	USD	8,998.25	
07SEP17	S 1 PD07DEC17	6868.00	6512.57	USD	8,885.75	
07SEP17	S 1 PD07DEC17	6869.00	6512.57	USD	8,910.75	
07SEP17	S 1 PD07DEC17	6868.50	6512.57	USD	8,898.25	
07SEP17	S 1 PD07DEC17	6870.00	6512.57	USD	8,935.75	
07SEP17	S 1 PD07DEC17	6867.00	6512.57	USD	8,860.75	
07SEP17	B 1 PD07DEC17	6896.00	6512.57	USD	-9,585.75	
07SEP17	B 1 PD07DEC17	6901.00	6512.57	USD	-9,710.75	
07SEP17	B 1 PD07DEC17	6900.00	6512.57	USD	-9,685.75	
07SEP17	B 1 PD07DEC17	6899.50	6512.57	USD	-9,673.25	
07SEP17	B 1 PD07DEC17	6902.50	6512.57	USD	-9,748.25	
07SEP17	B 1 PD07DEC17	6909.00	6512.57	USD	-9,910.75	
07SEP17	B 1 PD07DEC17	6905.00	6512.57	USD	-9,810.75	
07SEP17	S 1 PD07DEC17	6876.50	6512.57	USD	9,098.25	
07SEP17	S 1 PD07DEC17	6880.50	6512.57	USD	9,198.25	
07SEP17	S 1 PD07DEC17	6886.00	6512.57	USD	9,335.75	
07SEP17	S 1 PD07DEC17	6877.00	6512.57	USD	9,110.75	
07SEP17	S 1 PD07DEC17	6878.50	6512.57	USD	9,148.25	
07SEP17	S 1 PD07DEC17	6903.50	6512.57	USD	9,773.25	
07SEP17	S 1 PD07DEC17	6905.00	6512.57	USD	9,810.75	
07SEP17	S 1 PD07DEC17	6907.50	6512.57	USD	9,873.25	
07SEP17	B 1 PD07DEC17	6897.00	6512.57	USD	-9,610.75	
13SEP17	B 8 PD07DEC17	6551.23	6512.57	USD	-7,732.00	
	NET 0 AVG BGHT 6757.31 SOLD 6879.13				**57,866.50**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083GA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT							
08SEP17	B	1	PD08DEC17	6930.50	6513.00	USD	-10,437.50	
08SEP17	S	1	PD08DEC17	6926.50	6513.00	USD	10,337.50	
08SEP17	S	2	PD08DEC17	6926.00	6513.00	USD	20,650.00	
08SEP17	S	1	PD08DEC17	6925.00	6513.00	USD	10,300.00	
08SEP17	S	1	PD08DEC17	6901.00	6513.00	USD	9,700.00	
08SEP17	S	1	PD08DEC17	6846.50	6513.00	USD	8,337.50	
08SEP17	S	1	PD08DEC17	6845.00	6513.00	USD	8,300.00	
08SEP17	S	1	PD08DEC17	6849.00	6513.00	USD	8,400.00	
08SEP17	B	1	PD08DEC17	6832.50	6513.00	USD	-7,987.50	
08SEP17	B	1	PD08DEC17	6834.50	6513.00	USD	-8,037.50	
08SEP17	B	1	PD08DEC17	6838.50	6513.00	USD	-8,137.50	
08SEP17	B	1	PD08DEC17	6948.00	6513.00	USD	-10,875.00	
08SEP17	S	1	PD08DEC17	6764.50	6513.00	USD	6,287.50	
08SEP17	B	1	PD08DEC17	6751.00	6513.00	USD	-5,950.00	
08SEP17	B	2	PD08DEC17	6739.50	6513.00	USD	-11,325.00	
08SEP17	S	1	PD08DEC17	6727.00	6513.00	USD	5,350.00	
08SEP17	S	1	PD08DEC17	6728.00	6513.00	USD	5,375.00	
13SEP17	B	3	PD08DEC17	6551.66	6513.00	USD	-2,899.50	
	NET	**0**	**AVG BGHT 6751.73**	**SOLD 6851.32**			**27,388.00**	**0.00**
12SEP17	B	1	PD12DEC17	6669.50	6514.58	USD	-3,873.00	
29SEP17	S	1	PD12DEC17	6475.52	6514.58	USD	-976.50	
	NET	**0**	**AVG BGHT 6669.50**	**SOLD 6475.52**			**-4,849.50**	**0.00**
13SEP17	B	1	PD13DEC17	6685.00	6514.98	USD	-4,250.50	
13SEP17	B	1	PD13DEC17	6630.50	6514.98	USD	-2,888.00	
13SEP17	B	1	PD13DEC17	6567.00	6514.98	USD	-1,300.50	
13SEP17	B	1	PD13DEC17	6566.50	6514.98	USD	-1,288.00	
13SEP17	B	1	PD13DEC17	6570.50	6514.98	USD	-1,388.00	
13SEP17	B	3	PD13DEC17	6560.50	6514.98	USD	-3,414.00	
13SEP17	B	1	PD13DEC17	6560.00	6514.98	USD	-1,125.50	
29SEP17	S	7	PD13DEC17	6475.98	6514.98	USD	-6,825.00	
	NET	**+2**	**AVG BGHT 6584.56**	**SOLD 6475.98**			**-22,479.50**	**0.00**
14SEP17	B	1	PD14DEC17	6561.50	6515.38	USD	-1,153.00	
14SEP17	B	1	PD14DEC17	6526.00	6515.38	USD	-265.50	
14SEP17	B	1	PD14DEC17	6505.00	6515.38	USD	259.50	
14SEP17	B	1	PD14DEC17	6516.00	6515.38	USD	-15.50	
14SEP17	S	1	PD14DEC17	6517.00	6515.38	USD	40.50	
14SEP17	B	1	PD14DEC17	6498.50	6515.38	USD	422.00	
20SEP17	S	4	PD14DEC17	6524.69	6515.38	USD	931.00	
	NET	**0**	**AVG BGHT 6521.40**	**SOLD 6523.15**			**219.00**	**0.00**
15SEP17	B	1	PD15DEC17	6473.00	6515.77	USD	1,069.25	
15SEP17	S	1	PD15DEC17	6464.50	6515.77	USD	-1,281.75	
15SEP17	S	2	PD15DEC17	6457.00	6515.77	USD	-2,938.50	
15SEP17	B	1	PD15DEC17	6511.00	6515.77	USD	119.25	
15SEP17	B	1	PD15DEC17	6507.50	6515.77	USD	206.75	
15SEP17	B	1	PD15DEC17	6500.00	6515.77	USD	394.25	
	NET	**+1**	**AVG BGHT 6497.88**	**SOLD 6459.50**			**-2,430.75**	**0.00**
18SEP17	S	4	PD18DEC17	6541.00	6516.96	USD	2,404.00	
20SEP17	B	4	PD18DEC17	6526.14	6516.96	USD	-918.00	
	NET	**0**	**AVG BGHT 6526.14**	**SOLD 6541.00**			**1,486.00**	**0.00**
19SEP17	S	1	PD19DEC17	6528.50	6517.35	USD	278.75	
19SEP17	S	1	PD19DEC17	6531.00	6517.35	USD	341.25	
19SEP17	B	1	PD19DEC17	6518.00	6517.35	USD	-16.25	
19SEP17	B	1	PD19DEC17	6514.00	6517.35	USD	83.75	
19SEP17	B	1	PD19DEC17	6515.00	6517.35	USD	58.75	
	NET	**+1**	**AVG BGHT 6515.67**	**SOLD 6529.75**			**746.25**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083GA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT								
20SEP17	S	1	PD20DEC17		6574.00	6517.75	USD	1,406.25	
20SEP17	S	1	PD20DEC17		6548.50	6517.75	USD	768.75	
20SEP17	S	1	PD20DEC17		6546.00	6517.75	USD	706.25	
29SEP17	B	3	PD20DEC17		6479.45	6517.75	USD	2,872.50	
	NET	**0**	**AVG BGHT 6479.45**	**SOLD 6556.17**				**5,753.75**	**0.00**
21SEP17	B	1	PD21DEC17		6468.00	6516.75	USD	1,218.75	
21SEP17	S	1	PD21DEC17		6432.50	6516.75	USD	-2,106.25	
21SEP17	S	1	PD21DEC17		6430.00	6516.75	USD	-2,168.75	
21SEP17	S	1	PD21DEC17		6429.50	6516.75	USD	-2,181.25	
21SEP17	S	1	PD21DEC17		6428.00	6516.75	USD	-2,218.75	
21SEP17	B	1	PD21DEC17		6444.50	6516.75	USD	1,806.25	
21SEP17	B	1	PD21DEC17		6453.50	6516.75	USD	1,581.25	
29SEP17	B	1	PD21DEC17		6478.45	6516.75	USD	957.50	
	NET	**0**	**AVG BGHT 6461.11**	**SOLD 6430.00**				**-3,111.25**	**0.00**
22SEP17	S	1	PD22DEC17		6428.00	6515.75	USD	-2,193.75	
22SEP17	B	1	PD22DEC17		6434.50	6515.75	USD	2,031.25	
22SEP17	B	2	PD22DEC17		6416.00	6515.75	USD	4,987.50	
22SEP17	S	1	PD22DEC17		6400.50	6515.75	USD	-2,881.25	
22SEP17	S	1	PD22DEC17		6412.50	6515.75	USD	-2,581.25	
22SEP17	S	1	PD22DEC17		6368.50	6515.75	USD	-3,681.25	
22SEP17	B	1	PD22DEC17		6471.50	6515.75	USD	1,106.25	
22SEP17	B	1	PD22DEC17		6473.00	6515.75	USD	1,068.75	
22SEP17	B	1	PD22DEC17		6456.00	6515.75	USD	1,493.75	
22SEP17	B	1	PD22DEC17		6455.50	6515.75	USD	1,506.25	
	NET	**+3**	**AVG BGHT 6446.07**	**SOLD 6402.38**				**856.25**	**0.00**
25SEP17	S	1	PD27DEC17		6466.00	6517.52	USD	-1,288.00	
25SEP17	S	1	PD27DEC17		6458.50	6517.52	USD	-1,475.50	
26SEP17	B	1	PD27DEC17		6499.00	6517.52	USD	463.00	
26SEP17	B	1	PD27DEC17		6438.50	6517.52	USD	1,975.50	
26SEP17	B	1	PD27DEC17		6422.50	6517.52	USD	2,375.50	
27SEP17	S	1	PD27DEC17		6423.50	6517.52	USD	-2,350.50	
27SEP17	S	1	PD27DEC17		6418.00	6517.52	USD	-2,488.00	
27SEP17	S	1	PD27DEC17		6425.50	6517.52	USD	-2,300.50	
27SEP17	S	1	PD27DEC17		6428.00	6517.52	USD	-2,238.00	
27SEP17	S	1	PD27DEC17		6447.00	6517.52	USD	-1,763.00	
29SEP17	B	4	PD27DEC17		6480.13	6517.52	USD	3,739.00	
	NET	**0**	**AVG BGHT 6468.65**	**SOLD 6438.07**				**-5,350.50**	**0.00**
28SEP17	S	1	PD28DEC17		6400.00	6517.88	USD	-2,947.00	
28SEP17	S	1	PD28DEC17		6403.50	6517.88	USD	-2,859.50	
28SEP17	S	1	PD28DEC17		6411.00	6517.88	USD	-2,672.00	
28SEP17	S	1	PD28DEC17		6409.00	6517.88	USD	-2,722.00	
28SEP17	S	1	PD28DEC17		6408.50	6517.88	USD	-2,734.50	
28SEP17	B	1	PD28DEC17		6438.00	6517.88	USD	1,997.00	
28SEP17	B	1	PD28DEC17		6441.50	6517.88	USD	1,909.50	
28SEP17	B	1	PD28DEC17		6459.00	6517.88	USD	1,472.00	
28SEP17	B	1	PD28DEC17		6462.50	6517.88	USD	1,384.50	
28SEP17	B	1	PD28DEC17		6485.50	6517.88	USD	809.50	
28SEP17	B	1	PD28DEC17		6518.00	6517.88	USD	-3.00	
	NET	**+1**	**AVG BGHT 6467.42**	**SOLD 6406.40**				**-6,365.50**	**0.00**
29SEP17	S	1	PD29DEC17		6535.00	6518.23	USD	419.25	
29SEP17	S	1	PD29DEC17		6536.00	6518.23	USD	444.25	
29SEP17	S	2	PD29DEC17		6525.50	6518.23	USD	363.50	
29SEP17	S	3	PD29DEC17		6525.00	6518.23	USD	507.75	
29SEP17	S	1	PD29DEC17		6524.00	6518.23	USD	144.25	
29SEP17	B	1	PD29DEC17		6568.00	6518.23	USD	-1,244.25	
29SEP17	B	1	PD29DEC17		6571.00	6518.23	USD	-1,319.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083GA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
29SEP17	B 2 PD29DEC17	6570.00	6518.23	USD	-2,588.50	
29SEP17	B 1 PD29DEC17	6563.50	6518.23	USD	-1,131.75	
29SEP17	B 1 PD29DEC17	6570.50	6518.23	USD	-1,306.75	
29SEP17	B 1 PD29DEC17	6571.50	6518.23	USD	-1,331.75	
29SEP17	B 1 PD29DEC17	6574.00	6518.23	USD	-1,394.25	
29SEP17	B 1 PD29DEC17	6573.00	6518.23	USD	-1,369.25	
29SEP17	S 1 PD29DEC17	6566.00	6518.23	USD	1,194.25	
	NET 0 AVG BGHT 6570.17 SOLD 6531.89				**-8,612.50**	**0.00**
	CMX GOLD 100 CMX					
26SEP17	B 1 DEC17	1304.1	1274.6	USD	-2,950.00	
26SEP17	B 1 DEC17	1304.0	1274.6	USD	-2,940.00	
27SEP17	B 1 DEC17	1288.7	1274.6	USD	-1,410.00	
29SEP17	B 1 DEC17	1287.0	1274.6	USD	-1,240.00	
	NET +4 AVG BGHT 1296.0				**-8,540.00**	**0.00**
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
31JUL17	B 1 PD31OCT17	2328.50	2588.00	USD	6,487.50	
06SEP17	S 1 PD31OCT17	2328.69	2588.00	USD	-6,482.75	
	NET 0 AVG BGHT 2328.50 SOLD 2328.69				**4.75**	**0.00**
03AUG17	S 1 PD03NOV17	2380.50	2588.00	USD	-5,187.50	
03AUG17	B 1 PD03NOV17	2359.50	2588.00	USD	5,712.50	
	NET 0 AVG BGHT 2359.50 SOLD 2380.50				**525.00**	**0.00**
07AUG17	B 1 PD07NOV17	2352.00	2588.00	USD	5,900.00	
07AUG17	S 1 PD07NOV17	2353.00	2588.00	USD	-5,875.00	
	NET 0 AVG BGHT 2352.00 SOLD 2353.00				**25.00**	**0.00**
11AUG17	B 1 PD10NOV17	2356.00	2588.00	USD	5,800.00	
06SEP17	S 1 PD10NOV17	2332.73	2588.00	USD	-6,381.75	
	NET 0 AVG BGHT 2356.00 SOLD 2332.73				**-581.75**	**0.00**
16AUG17	S 1 PD16NOV17	2389.00	2588.13	USD	-4,978.25	
16AUG17	S 1 PD16NOV17	2438.00	2588.13	USD	-3,753.25	
16AUG17	B 1 PD16NOV17	2448.00	2588.13	USD	3,503.25	
06SEP17	B 1 PD16NOV17	2334.70	2588.13	USD	6,335.75	
	NET 0 AVG BGHT 2391.35 SOLD 2413.50				**1,107.50**	**0.00**
17AUG17	B 1 PD17NOV17	2414.50	2588.26	USD	4,344.00	
18AUG17	S 1 PD17NOV17	2415.50	2588.26	USD	-4,319.00	
	NET 0 AVG BGHT 2414.50 SOLD 2415.50				**25.00**	**0.00**
21AUG17	B 1 PD21NOV17	2359.50	2588.77	USD	5,731.75	
06SEP17	S 1 PD21NOV17	2335.88	2588.77	USD	-6,322.25	
	NET 0 AVG BGHT 2359.50 SOLD 2335.88				**-590.50**	**0.00**
22AUG17	S 1 PD22NOV17	2346.50	2588.90	USD	-6,060.00	
06SEP17	B 1 PD22NOV17	2336.35	2588.90	USD	6,313.75	
	NET 0 AVG BGHT 2336.35 SOLD 2346.50				**253.75**	**0.00**
24AUG17	S 1 PD24NOV17	2388.50	2589.16	USD	-5,016.50	
24AUG17	B 1 PD24NOV17	2355.50	2589.16	USD	5,841.50	
25AUG17	B 1 PD24NOV17	2374.00	2589.16	USD	5,379.00	
25AUG17	S 1 PD24NOV17	2345.00	2589.16	USD	-6,104.00	
25AUG17	S 1 PD24NOV17	2343.00	2589.16	USD	-6,154.00	
06SEP17	B 1 PD24NOV17	2336.90	2589.16	USD	6,306.50	
	NET 0 AVG BGHT 2355.47 SOLD 2358.83				**252.50**	**0.00**
29AUG17	B 1 PD29NOV17	2351.50	2589.80	USD	5,957.50	
29AUG17	S 1 PD29NOV17	2408.00	2589.80	USD	-4,545.00	
	NET 0 AVG BGHT 2351.50 SOLD 2408.00				**1,412.50**	**0.00**
30AUG17	B 1 PD30NOV17	2393.00	2589.93	USD	4,923.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083GA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
13SEP17	S	1 PD30NOV17		2285.91	2589.93	USD	-7,600.50	
	NET	**0 AVG BGHT 2393.00**	**SOLD 2285.91**				**-2,677.25**	**0.00**
01SEP17	B	1 PD01DEC17		2399.50	2590.06	USD	4,764.00	
13SEP17	S	1 PD01DEC17		2286.22	2590.06	USD	-7,596.00	
	NET	**0 AVG BGHT 2399.50**	**SOLD 2286.22**				**-2,832.00**	**0.00**
05SEP17	S	1 PD05DEC17		2327.50	2590.57	USD	-6,576.75	
13SEP17	B	1 PD05DEC17		2287.68	2590.57	USD	7,572.25	
	NET	**0 AVG BGHT 2287.68**	**SOLD 2327.50**				**995.50**	**0.00**
06SEP17	B	1 PD06DEC17		2334.50	2590.70	USD	6,405.00	
06SEP17	S	1 PD06DEC17		2347.00	2590.70	USD	-6,092.50	
06SEP17	B	1 PD06DEC17		2344.50	2590.70	USD	6,155.00	
20SEP17	S	1 PD06DEC17		2457.80	2590.70	USD	-3,322.50	
	NET	**0 AVG BGHT 2339.50**	**SOLD 2402.40**				**3,145.00**	**0.00**
07SEP17	S	1 PD07DEC17		2345.50	2590.83	USD	-6,133.25	
13SEP17	B	1 PD07DEC17		2288.31	2590.83	USD	7,563.00	
	NET	**0 AVG BGHT 2288.31**	**SOLD 2345.50**				**1,429.75**	**0.00**
08SEP17	B	1 PD08DEC17		2350.50	2590.96	USD	6,011.50	
08SEP17	S	1 PD08DEC17		2330.00	2590.96	USD	-6,524.00	
	NET	**0 AVG BGHT 2350.50**	**SOLD 2330.00**				**-512.50**	**0.00**
11SEP17	B	1 PD11DEC17		2272.00	2591.34	USD	7,983.50	
11SEP17	S	1 PD11DEC17		2265.50	2591.34	USD	-8,146.00	
11SEP17	B	1 PD11DEC17		2274.50	2591.34	USD	7,921.00	
11SEP17	B	1 PD11DEC17		2275.00	2591.34	USD	7,908.50	
20SEP17	S	2 PD11DEC17		2458.59	2591.34	USD	-6,637.50	
	NET	**0 AVG BGHT 2273.83**	**SOLD 2394.23**				**9,029.50**	**0.00**
12SEP17	S	1 PD12DEC17		2273.00	2591.47	USD	-7,961.75	
12SEP17	B	1 PD12DEC17		2282.50	2591.47	USD	7,724.25	
	NET	**0 AVG BGHT 2282.50**	**SOLD 2273.00**				**-237.50**	**0.00**
13SEP17	S	1 PD13DEC17		2290.00	2591.60	USD	-7,540.00	
13SEP17	B	1 PD13DEC17		2300.50	2591.60	USD	7,277.50	
	NET	**0 AVG BGHT 2300.50**	**SOLD 2290.00**				**-262.50**	**0.00**
15SEP17	S	1 PD15DEC17		2323.00	2591.86	USD	-6,721.50	
15SEP17	S	1 PD15DEC17		2342.50	2591.86	USD	-6,234.00	
15SEP17	S	2 PD15DEC17		2355.50	2591.86	USD	-11,818.00	
15SEP17	B	2 PD15DEC17		2349.00	2591.86	USD	12,143.00	
15SEP17	S	1 PD15DEC17		2335.00	2591.86	USD	-6,421.50	
20SEP17	B	3 PD15DEC17		2459.41	2591.86	USD	9,933.75	
	NET	**0 AVG BGHT 2415.25**	**SOLD 2342.30**				**-9,118.25**	**0.00**
18SEP17	B	1 PD18DEC17		2395.50	2592.24	USD	4,918.50	
29SEP17	S	1 PD18DEC17		2490.08	2592.24	USD	-2,554.00	
	NET	**0 AVG BGHT 2395.50**	**SOLD 2490.08**				**2,364.50**	**0.00**
19SEP17	B	1 PD19DEC17		2384.00	2592.37	USD	5,209.25	
19SEP17	S	3 PD19DEC17		2408.50	2592.37	USD	-13,790.25	
19SEP17	B	1 PD19DEC17		2411.00	2592.37	USD	4,534.25	
19SEP17	B	1 PD19DEC17		2411.50	2592.37	USD	4,521.75	
19SEP17	B	1 PD19DEC17		2421.50	2592.37	USD	4,271.75	
19SEP17	B	1 PD19DEC17		2420.50	2592.37	USD	4,296.75	
29SEP17	S	2 PD19DEC17		2490.04	2592.37	USD	-5,116.50	
	NET	**0 AVG BGHT 2409.70**	**SOLD 2441.12**				**3,927.00**	**0.00**
20SEP17	B	1 PD20DEC17		2443.00	2592.50	USD	3,737.50	
29SEP17	S	1 PD20DEC17		2490.00	2592.50	USD	-2,562.50	
	NET	**0 AVG BGHT 2443.00**	**SOLD 2490.00**				**1,175.00**	**0.00**
21SEP17	S	1 PD21DEC17		2491.50	2592.29	USD	-2,519.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083GA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
21SEP17	S 1 PD21DEC17	2487.00	2592.29	USD	-2,632.25	
21SEP17	S 1 PD21DEC17	2473.50	2592.29	USD	-2,969.75	
21SEP17	S 1 PD21DEC17	2465.50	2592.29	USD	-3,169.75	
29SEP17	B 4 PD21DEC17	2489.20	2592.29	USD	10,309.00	
	NET 0 AVG BGHT 2489.20 SOLD 2479.38				**-982.50**	**0.00**
22SEP17	B 1 PD22DEC17	2434.00	2592.07	USD	3,951.75	
22SEP17	B 1 PD22DEC17	2391.00	2592.07	USD	5,026.75	
22SEP17	S 1 PD22DEC17	2463.00	2592.07	USD	-3,226.75	
22SEP17	B 1 PD22DEC17	2475.00	2592.07	USD	2,926.75	
22SEP17	S 1 PD22DEC17	2482.50	2592.07	USD	-2,739.25	
22SEP17	S 1 PD22DEC17	2475.50	2592.07	USD	-2,914.25	
	NET 0 AVG BGHT 2433.33 SOLD 2473.67				**3,025.00**	**0.00**
25SEP17	S 1 PD27DEC17	2489.50	2591.86	USD	-2,559.00	
25SEP17	B 1 PD27DEC17	2507.00	2591.86	USD	2,121.50	
25SEP17	B 1 PD27DEC17	2501.00	2591.86	USD	2,271.50	
25SEP17	B 1 PD27DEC17	2501.50	2591.86	USD	2,259.00	
25SEP17	S 1 PD27DEC17	2476.00	2591.86	USD	-2,896.50	
25SEP17	S 1 PD27DEC17	2503.00	2591.86	USD	-2,221.50	
	NET 0 AVG BGHT 2503.17 SOLD 2489.50				**-1,025.00**	**0.00**
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
20JUL17	B 1 PD20OCT17	9575.00	10550.93	USD	5,855.58	
20JUL17	B 1 PD20OCT17	9570.00	10550.93	USD	5,885.58	
20JUL17	B 1 PD20OCT17	9505.00	10550.93	USD	6,275.58	
21JUL17	S 1 PD20OCT17	9650.00	10550.93	USD	-5,405.58	
21JUL17	S 1 PD20OCT17	9635.00	10550.93	USD	-5,495.58	
21JUL17	S 1 PD20OCT17	9595.00	10550.93	USD	-5,735.58	
	NET 0 AVG BGHT 9550.00 SOLD 9626.67				**1,380.00**	**0.00**
24JUL17	B 1 PD24OCT17	9630.00	10554.79	USD	5,548.74	
24JUL17	B 1 PD24OCT17	9640.00	10554.79	USD	5,488.74	
24JUL17	B 1 PD24OCT17	9655.00	10554.79	USD	5,398.74	
24JUL17	B 1 PD24OCT17	9675.00	10554.79	USD	5,278.74	
24JUL17	B 1 PD24OCT17	9680.00	10554.79	USD	5,248.74	
08AUG17	S 5 PD24OCT17	10623.93	10554.79	USD	2,074.20	
	NET 0 AVG BGHT 9656.00 SOLD 10623.93				**29,037.90**	**0.00**
25JUL17	B 1 PD25OCT17	9860.00	10555.75	USD	4,174.50	
25JUL17	S 1 PD25OCT17	9875.00	10555.75	USD	-4,084.50	
25JUL17	S 1 PD25OCT17	9945.00	10555.75	USD	-3,664.50	
25JUL17	S 1 PD25OCT17	9950.00	10555.75	USD	-3,634.50	
25JUL17	S 1 PD25OCT17	9965.00	10555.75	USD	-3,544.50	
25JUL17	S 1 PD25OCT17	9990.00	10555.75	USD	-3,394.50	
25JUL17	B 1 PD25OCT17	9990.00	10555.75	USD	3,394.50	
25JUL17	S 1 PD25OCT17	10000.00	10555.75	USD	-3,334.50	
25JUL17	S 1 PD25OCT17	10005.00	10555.75	USD	-3,304.50	
08AUG17	B 5 PD25OCT17	10625.33	10555.75	USD	-2,087.40	
	NET 0 AVG BGHT 10425.24 SOLD 9961.43				**-19,479.90**	**0.00**
26JUL17	B 1 PD26OCT17	10080.00	10556.71	USD	2,860.26	
26JUL17	S 1 PD26OCT17	10010.00	10556.71	USD	-3,280.26	
26JUL17	B 1 PD26OCT17	9930.00	10556.71	USD	3,760.26	
26JUL17	S 1 PD26OCT17	9955.00	10556.71	USD	-3,610.26	
	NET 0 AVG BGHT 10005.00 SOLD 9982.50				**-270.00**	**0.00**
27JUL17	B 1 PD27OCT17	9990.00	10557.68	USD	3,406.08	
27JUL17	B 1 PD27OCT17	9980.00	10557.68	USD	3,466.08	
27JUL17	B 2 PD27OCT17	10010.00	10557.68	USD	6,572.16	
27JUL17	B 3 PD27OCT17	10025.00	10557.68	USD	9,588.24	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083GA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
27JUL17	S 1 PD27OCT17	10050.00	10557.68	USD	-3,046.08	
27JUL17	S 1 PD27OCT17	10060.00	10557.68	USD	-2,986.08	
27JUL17	S 1 PD27OCT17	10090.00	10557.68	USD	-2,806.08	
27JUL17	S 1 PD27OCT17	10130.00	10557.68	USD	-2,566.08	
27JUL17	S 3 PD27OCT17	10125.00	10557.68	USD	-7,788.24	
28JUL17	B 1 PD27OCT17	10055.00	10557.68	USD	3,016.08	
28JUL17	B 1 PD27OCT17	10060.00	10557.68	USD	2,986.08	
28JUL17	S 1 PD27OCT17	10025.00	10557.68	USD	-3,196.08	
28JUL17	B 1 PD27OCT17	10105.00	10557.68	USD	2,716.08	
28JUL17	B 1 PD27OCT17	10130.00	10557.68	USD	2,566.08	
28JUL17	S 1 PD27OCT17	10090.00	10557.68	USD	-2,806.08	
28JUL17	S 1 PD27OCT17	10240.00	10557.68	USD	-1,906.08	
28JUL17	S 1 PD27OCT17	10245.00	10557.68	USD	-1,876.08	
28JUL17	S 1 PD27OCT17	10280.00	10557.68	USD	-1,666.08	
28JUL17	S 2 PD27OCT17	10275.00	10557.68	USD	-3,392.16	
28JUL17	B 1 PD27OCT17	10210.00	10557.68	USD	2,086.08	
28JUL17	B 1 PD27OCT17	10200.00	10557.68	USD	2,146.08	
28JUL17	B 1 PD27OCT17	10245.00	10557.68	USD	1,876.08	
28JUL17	S 1 PD27OCT17	10250.00	10557.68	USD	-1,846.08	
08AUG17	B 1 PD27OCT17	10625.14	10557.68	USD	-404.76	
	NET 0 AVG BGHT 10113.01 SOLD 10159.00				**4,139.16**	**0.00**
31JUL17	B 1 PD31OCT17	10250.00	10561.54	USD	1,869.24	
31JUL17	B 1 PD31OCT17	10235.00	10561.54	USD	1,959.24	
31JUL17	B 1 PD31OCT17	10240.00	10561.54	USD	1,929.24	
31JUL17	B 1 PD31OCT17	10230.00	10561.54	USD	1,989.24	
08AUG17	S 1 PD31OCT17	10625.76	10561.54	USD	385.32	
08AUG17	S 3 PD31OCT17	10626.76	10561.54	USD	1,173.96	
	NET 0 AVG BGHT 10238.75 SOLD 10626.51				**9,306.24**	**0.00**
01AUG17	B 1 PD01NOV17	10170.00	10562.50	USD	2,355.00	
01AUG17	S 1 PD01NOV17	10145.00	10562.50	USD	-2,505.00	
01AUG17	S 1 PD01NOV17	10110.00	10562.50	USD	-2,715.00	
01AUG17	B 1 PD01NOV17	10105.00	10562.50	USD	2,745.00	
01AUG17	S 1 PD01NOV17	10100.00	10562.50	USD	-2,775.00	
01AUG17	S 1 PD01NOV17	10090.00	10562.50	USD	-2,835.00	
01AUG17	S 2 PD01NOV17	10200.00	10562.50	USD	-4,350.00	
01AUG17	S 1 PD01NOV17	10195.00	10562.50	USD	-2,205.00	
01AUG17	S 1 PD01NOV17	10170.00	10562.50	USD	-2,355.00	
08AUG17	B 3 PD01NOV17	10628.17	10562.50	USD	-1,182.06	
08AUG17	B 3 PD01NOV17	10627.17	10562.50	USD	-1,164.06	
	NET 0 AVG BGHT 10505.13 SOLD 10151.25				**-16,986.12**	**0.00**
02AUG17	B 1 PD02NOV17	10205.00	10563.46	USD	2,150.76	
02AUG17	S 1 PD02NOV17	10210.00	10563.46	USD	-2,120.76	
02AUG17	B 1 PD02NOV17	10330.00	10563.46	USD	1,400.76	
08AUG17	S 1 PD02NOV17	10626.57	10563.46	USD	378.66	
	NET 0 AVG BGHT 10267.50 SOLD 10418.29				**1,809.42**	**0.00**
07AUG17	S 1 PD07NOV17	10335.00	10568.29	USD	-1,399.74	
07AUG17	S 1 PD07NOV17	10295.00	10568.29	USD	-1,639.74	
07AUG17	B 1 PD07NOV17	10345.00	10568.29	USD	1,339.74	
07AUG17	B 3 PD07NOV17	10350.00	10568.29	USD	3,929.22	
07AUG17	B 2 PD07NOV17	10360.00	10568.29	USD	2,499.48	
07AUG17	B 2 PD07NOV17	10385.00	10568.29	USD	2,199.48	
08AUG17	S 2 PD07NOV17	10628.60	10568.29	USD	723.72	
06SEP17	S 4 PD07NOV17	12147.38	10568.29	USD	37,898.16	
	NET 0 AVG BGHT 10360.63 SOLD 11309.59				**45,550.32**	**0.00**
08AUG17	S 1 PD08NOV17	10330.00	10569.25	USD	-1,435.50	
08AUG17	B 1 PD08NOV17	10530.00	10569.25	USD	235.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083GA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
08AUG17	B 1 PD08NOV17	10585.00	10569.25	USD	-94.50	
08AUG17	S 1 PD08NOV17	10635.00	10569.25	USD	394.50	
08AUG17	B 1 PD08NOV17	10640.00	10569.25	USD	-424.50	
08AUG17	B 1 PD08NOV17	10680.00	10569.25	USD	-664.50	
08AUG17	S 1 PD08NOV17	10770.00	10569.25	USD	1,204.50	
06SEP17	S 1 PD08NOV17	12148.21	10569.25	USD	9,473.76	
	NET 0 AVG BGHT 10608.75 SOLD 10970.80				**8,689.26**	**0.00**
09AUG17	S 1 PD09NOV17	10790.00	10570.21	USD	1,318.74	
09AUG17	S 1 PD09NOV17	10740.00	10570.21	USD	1,018.74	
09AUG17	B 1 PD09NOV17	10745.00	10570.21	USD	-1,048.74	
09AUG17	B 1 PD09NOV17	10730.00	10570.21	USD	-958.74	
	NET 0 AVG BGHT 10737.50 SOLD 10765.00				**330.00**	**0.00**
10AUG17	S 1 PD10NOV17	10805.00	10571.18	USD	1,402.92	
10AUG17	S 1 PD10NOV17	10800.00	10571.18	USD	1,372.92	
10AUG17	B 1 PD10NOV17	10780.00	10571.18	USD	-1,252.92	
10AUG17	B 1 PD10NOV17	10795.00	10571.18	USD	-1,342.92	
10AUG17	B 1 PD10NOV17	10775.00	10571.18	USD	-1,222.92	
10AUG17	S 1 PD10NOV17	10905.00	10571.18	USD	2,002.92	
10AUG17	S 1 PD10NOV17	10930.00	10571.18	USD	2,152.92	
10AUG17	S 1 PD10NOV17	10940.00	10571.18	USD	2,212.92	
10AUG17	B 1 PD10NOV17	10930.00	10571.18	USD	-2,152.92	
10AUG17	B 1 PD10NOV17	10925.00	10571.18	USD	-2,122.92	
11AUG17	S 1 PD10NOV17	10805.00	10571.18	USD	1,402.92	
11AUG17	S 2 PD10NOV17	10835.00	10571.18	USD	3,165.84	
11AUG17	S 1 PD10NOV17	10735.00	10571.18	USD	982.92	
11AUG17	S 1 PD10NOV17	10630.00	10571.18	USD	352.92	
11AUG17	S 1 PD10NOV17	10635.00	10571.18	USD	382.92	
11AUG17	S 1 PD10NOV17	10625.00	10571.18	USD	322.92	
11AUG17	S 1 PD10NOV17	10660.00	10571.18	USD	532.92	
11AUG17	B 3 PD10NOV17	10655.00	10571.18	USD	-1,508.76	
11AUG17	B 2 PD10NOV17	10680.00	10571.18	USD	-1,305.84	
11AUG17	B 1 PD10NOV17	10675.00	10571.18	USD	-622.92	
11AUG17	B 1 PD10NOV17	10660.00	10571.18	USD	-532.92	
11AUG17	B 1 PD10NOV17	10665.00	10571.18	USD	-562.92	
	NET 0 AVG BGHT 10733.08 SOLD 10780.00				**3,660.00**	**0.00**
14AUG17	S 1 PD14NOV17	10510.00	10575.04	USD	-390.24	
14AUG17	S 1 PD14NOV17	10505.00	10575.04	USD	-420.24	
14AUG17	S 1 PD14NOV17	10475.00	10575.04	USD	-600.24	
14AUG17	B 1 PD14NOV17	10520.00	10575.04	USD	330.24	
14AUG17	B 1 PD14NOV17	10525.00	10575.04	USD	300.24	
14AUG17	B 1 PD14NOV17	10500.00	10575.04	USD	450.24	
14AUG17	B 1 PD14NOV17	10485.00	10575.04	USD	540.24	
14AUG17	B 1 PD14NOV17	10435.00	10575.04	USD	840.24	
14AUG17	S 1 PD14NOV17	10440.00	10575.04	USD	-810.24	
14AUG17	S 1 PD14NOV17	10415.00	10575.04	USD	-960.24	
14AUG17	S 1 PD14NOV17	10420.00	10575.04	USD	-930.24	
14AUG17	S 1 PD14NOV17	10410.00	10575.04	USD	-990.24	
14AUG17	S 1 PD14NOV17	10430.00	10575.04	USD	-870.24	
06SEP17	B 3 PD14NOV17	12153.17	10575.04	USD	-28,406.34	
	NET 0 AVG BGHT 11115.56 SOLD 10450.63				**-31,917.06**	**0.00**
15AUG17	S 1 PD15NOV17	10365.00	10576.00	USD	-1,266.00	
15AUG17	B 1 PD15NOV17	10370.00	10576.00	USD	1,236.00	
15AUG17	B 1 PD15NOV17	10430.00	10576.00	USD	876.00	
15AUG17	B 1 PD15NOV17	10460.00	10576.00	USD	696.00	
15AUG17	S 1 PD15NOV17	10485.00	10576.00	USD	-546.00	
15AUG17	B 2 PD15NOV17	10380.00	10576.00	USD	2,352.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083GA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
15AUG17	B 1 PD15NOV17	10390.00	10576.00	USD	1,116.00	
06SEP17	S 4 PD15NOV17	12154.00	10576.00	USD	37,872.00	
	NET 0 AVG BGHT 10401.67 SOLD 11577.67				**42,336.00**	**0.00**
16AUG17	S 1 PD16NOV17	10555.00	10576.89	USD	-131.34	
16AUG17	S 2 PD16NOV17	10560.00	10576.89	USD	-202.68	
16AUG17	S 2 PD16NOV17	10575.00	10576.89	USD	-22.68	
16AUG17	S 1 PD16NOV17	10640.00	10576.89	USD	378.66	
16AUG17	S 1 PD16NOV17	10655.00	10576.89	USD	468.66	
16AUG17	S 1 PD16NOV17	10680.00	10576.89	USD	618.66	
16AUG17	B 1 PD16NOV17	10685.00	10576.89	USD	-648.66	
16AUG17	B 1 PD16NOV17	10680.00	10576.89	USD	-618.66	
16AUG17	S 1 PD16NOV17	10745.00	10576.89	USD	1,008.66	
16AUG17	B 1 PD16NOV17	10745.00	10576.89	USD	-1,008.66	
16AUG17	B 1 PD16NOV17	10730.00	10576.89	USD	-918.66	
16AUG17	B 1 PD16NOV17	10725.00	10576.89	USD	-888.66	
06SEP17	B 4 PD16NOV17	12154.00	10576.89	USD	-37,850.64	
	NET 0 AVG BGHT 11353.44 SOLD 10616.11				**-39,816.00**	**0.00**
17AUG17	B 1 PD17NOV17	10750.00	10577.77	USD	-1,033.38	
17AUG17	S 1 PD17NOV17	10780.00	10577.77	USD	1,213.38	
17AUG17	S 1 PD17NOV17	10785.00	10577.77	USD	1,243.38	
17AUG17	S 1 PD17NOV17	10800.00	10577.77	USD	1,333.38	
17AUG17	S 1 PD17NOV17	10870.00	10577.77	USD	1,753.38	
17AUG17	S 1 PD17NOV17	10830.00	10577.77	USD	1,513.38	
17AUG17	S 2 PD17NOV17	10790.00	10577.77	USD	2,546.76	
17AUG17	B 1 PD17NOV17	10800.00	10577.77	USD	-1,333.38	
17AUG17	B 1 PD17NOV17	10740.00	10577.77	USD	-973.38	
17AUG17	B 1 PD17NOV17	10735.00	10577.77	USD	-943.38	
17AUG17	B 2 PD17NOV17	10760.00	10577.77	USD	-2,186.76	
17AUG17	B 2 PD17NOV17	10775.00	10577.77	USD	-2,366.76	
17AUG17	B 2 PD17NOV17	10745.00	10577.77	USD	-2,006.76	
18AUG17	B 1 PD17NOV17	10910.00	10577.77	USD	-1,993.38	
18AUG17	B 1 PD17NOV17	10915.00	10577.77	USD	-2,023.38	
18AUG17	B 1 PD17NOV17	10955.00	10577.77	USD	-2,263.38	
18AUG17	B 1 PD17NOV17	10970.00	10577.77	USD	-2,353.38	
18AUG17	B 1 PD17NOV17	11005.00	10577.77	USD	-2,563.38	
18AUG17	B 1 PD17NOV17	10870.00	10577.77	USD	-1,753.38	
18AUG17	S 1 PD17NOV17	10870.00	10577.77	USD	1,753.38	
18AUG17	B 5 PD17NOV17	10885.00	10577.77	USD	-9,216.90	
18AUG17	S 5 PD17NOV17	10885.00	10577.77	USD	9,216.90	
18AUG17	B 1 PD17NOV17	10975.00	10577.77	USD	-2,383.38	
18AUG17	B 1 PD17NOV17	10995.00	10577.77	USD	-2,503.38	
06SEP17	S 1 PD17NOV17	12152.60	10577.77	USD	9,448.98	
06SEP17	S 9 PD17NOV17	12153.60	10577.77	USD	85,094.82	
	NET 0 AVG BGHT 10852.39 SOLD 11411.96				**77,220.00**	**0.00**
21AUG17	S 1 PD21NOV17	11115.00	10581.31	USD	3,202.14	
21AUG17	S 1 PD21NOV17	11040.00	10581.31	USD	2,752.14	
21AUG17	B 1 PD21NOV17	11160.00	10581.31	USD	-3,472.14	
21AUG17	S 1 PD21NOV17	11175.00	10581.31	USD	3,562.14	
21AUG17	B 1 PD21NOV17	11170.00	10581.31	USD	-3,532.14	
21AUG17	S 1 PD21NOV17	11165.00	10581.31	USD	3,502.14	
21AUG17	S 2 PD21NOV17	11035.00	10581.31	USD	5,444.28	
21AUG17	S 2 PD21NOV17	11200.00	10581.31	USD	7,424.28	
21AUG17	S 1 PD21NOV17	11160.00	10581.31	USD	3,472.14	
21AUG17	S 1 PD21NOV17	11015.00	10581.31	USD	2,602.14	
21AUG17	S 1 PD21NOV17	11195.00	10581.31	USD	3,682.14	
21AUG17	B 1 PD21NOV17	11295.00	10581.31	USD	-4,282.14	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083GA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
21AUG17	S 1 PD21NOV17	11280.00	10581.31	USD	4,192.14	
06SEP17	B 9 PD21NOV17	12157.00	10581.31	USD	-85,087.26	
	NET 0 AVG BGHT 11919.83 SOLD 11134.58				**-56,538.00**	**0.00**
22AUG17	B 1 PD22NOV17	11385.00	10582.20	USD	-4,816.80	
22AUG17	S 1 PD22NOV17	11495.00	10582.20	USD	5,476.80	
22AUG17	S 1 PD22NOV17	11485.00	10582.20	USD	5,416.80	
22AUG17	S 1 PD22NOV17	11465.00	10582.20	USD	5,296.80	
22AUG17	B 2 PD22NOV17	11445.00	10582.20	USD	-10,353.60	
22AUG17	B 1 PD22NOV17	11420.00	10582.20	USD	-5,026.80	
22AUG17	S 1 PD22NOV17	11415.00	10582.20	USD	4,996.80	
22AUG17	S 1 PD22NOV17	11420.00	10582.20	USD	5,026.80	
22AUG17	B 2 PD22NOV17	11405.00	10582.20	USD	-9,873.60	
22AUG17	S 1 PD22NOV17	11330.00	10582.20	USD	4,486.80	
22AUG17	S 1 PD22NOV17	11340.00	10582.20	USD	4,546.80	
22AUG17	S 2 PD22NOV17	11390.00	10582.20	USD	9,693.60	
22AUG17	S 2 PD22NOV17	11395.00	10582.20	USD	9,753.60	
06SEP17	B 5 PD22NOV17	12157.60	10582.20	USD	-47,262.00	
	NET 0 AVG BGHT 11753.91 SOLD 11410.91				**-22,638.00**	**0.00**
23AUG17	S 1 PD24NOV17	11340.00	10583.97	USD	4,536.18	
23AUG17	B 1 PD24NOV17	11345.00	10583.97	USD	-4,566.18	
23AUG17	B 1 PD24NOV17	11360.00	10583.97	USD	-4,656.18	
23AUG17	B 1 PD24NOV17	11370.00	10583.97	USD	-4,716.18	
23AUG17	B 1 PD24NOV17	11415.00	10583.97	USD	-4,986.18	
23AUG17	S 1 PD24NOV17	11455.00	10583.97	USD	5,226.18	
23AUG17	S 1 PD24NOV17	11515.00	10583.97	USD	5,586.18	
23AUG17	S 1 PD24NOV17	11585.00	10583.97	USD	6,006.18	
23AUG17	S 1 PD24NOV17	11565.00	10583.97	USD	5,886.18	
23AUG17	B 1 PD24NOV17	11545.00	10583.97	USD	-5,766.18	
23AUG17	B 2 PD24NOV17	11555.00	10583.97	USD	-11,652.36	
23AUG17	S 1 PD24NOV17	11455.00	10583.97	USD	5,226.18	
23AUG17	S 1 PD24NOV17	11680.00	10583.97	USD	6,576.18	
23AUG17	B 1 PD24NOV17	11690.00	10583.97	USD	-6,636.18	
24AUG17	S 1 PD24NOV17	11750.00	10583.97	USD	6,996.18	
24AUG17	S 1 PD24NOV17	11660.00	10583.97	USD	6,456.18	
25AUG17	B 1 PD24NOV17	11680.00	10583.97	USD	-6,576.18	
25AUG17	B 2 PD24NOV17	11690.00	10583.97	USD	-13,272.36	
25AUG17	B 1 PD24NOV17	11560.00	10583.97	USD	-5,856.18	
25AUG17	S 1 PD24NOV17	11530.00	10583.97	USD	5,676.18	
25AUG17	S 1 PD24NOV17	11400.00	10583.97	USD	4,896.18	
25AUG17	S 1 PD24NOV17	11395.00	10583.97	USD	4,866.18	
	NET 0 AVG BGHT 11537.92 SOLD 11527.50				**-750.00**	**0.00**
29AUG17	S 1 PD29NOV17	11615.00	10588.40	USD	6,159.60	
29AUG17	B 1 PD29NOV17	11795.00	10588.40	USD	-7,239.60	
29AUG17	B 1 PD29NOV17	11825.00	10588.40	USD	-7,419.60	
29AUG17	S 1 PD29NOV17	11625.00	10588.40	USD	6,219.60	
29AUG17	B 1 PD29NOV17	11830.00	10588.40	USD	-7,449.60	
29AUG17	S 1 PD29NOV17	11600.00	10588.40	USD	6,069.60	
29AUG17	B 2 PD29NOV17	11835.00	10588.40	USD	-14,959.20	
29AUG17	B 1 PD29NOV17	11810.00	10588.40	USD	-7,329.60	
29AUG17	B 1 PD29NOV17	11625.00	10588.40	USD	-6,219.60	
29AUG17	S 1 PD29NOV17	11640.00	10588.40	USD	6,309.60	
29AUG17	B 1 PD29NOV17	11715.00	10588.40	USD	-6,759.60	
29AUG17	B 1 PD29NOV17	11730.00	10588.40	USD	-6,849.60	
29AUG17	S 1 PD29NOV17	11860.00	10588.40	USD	7,629.60	
29AUG17	S 1 PD29NOV17	11805.00	10588.40	USD	7,299.60	
29AUG17	S 1 PD29NOV17	11695.00	10588.40	USD	6,639.60	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083GA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
06SEP17	S 2 PD29NOV17	12159.80	10588.40	USD	18,856.80	
	NET 0 AVG BGHT 11777.78 SOLD 11795.51				**957.60**	**0.00**
30AUG17	B 1 PD30NOV17	11760.00	10589.29	USD	-7,024.26	
30AUG17	S 1 PD30NOV17	11715.00	10589.29	USD	6,754.26	
30AUG17	B 1 PD30NOV17	11580.00	10589.29	USD	-5,944.26	
30AUG17	B 1 PD30NOV17	11620.00	10589.29	USD	-6,184.26	
30AUG17	B 3 PD30NOV17	11645.00	10589.29	USD	-19,002.78	
31AUG17	B 1 PD30NOV17	11665.00	10589.29	USD	-6,454.26	
31AUG17	B 2 PD30NOV17	11655.00	10589.29	USD	-12,788.52	
31AUG17	B 1 PD30NOV17	11715.00	10589.29	USD	-6,754.26	
31AUG17	S 1 PD30NOV17	11735.00	10589.29	USD	6,874.26	
31AUG17	S 1 PD30NOV17	11715.00	10589.29	USD	6,754.26	
31AUG17	B 1 PD30NOV17	11690.00	10589.29	USD	-6,604.26	
31AUG17	S 1 PD30NOV17	11725.00	10589.29	USD	6,814.26	
31AUG17	S 1 PD30NOV17	11780.00	10589.29	USD	7,144.26	
31AUG17	S 1 PD30NOV17	11800.00	10589.29	USD	7,264.26	
31AUG17	S 3 PD30NOV17	11785.00	10589.29	USD	21,522.78	
31AUG17	S 1 PD30NOV17	11800.00	10589.29	USD	7,264.26	
31AUG17	S 1 PD30NOV17	11760.00	10589.29	USD	7,024.26	
	NET 0 AVG BGHT 11661.36 SOLD 11762.27				**6,660.00**	**0.00**
01SEP17	B 1 PD01DEC17	11810.00	10590.17	USD	-7,318.98	
01SEP17	B 1 PD01DEC17	11815.00	10590.17	USD	-7,348.98	
01SEP17	B 1 PD01DEC17	11805.00	10590.17	USD	-7,288.98	
01SEP17	B 1 PD01DEC17	11765.00	10590.17	USD	-7,048.98	
01SEP17	S 1 PD01DEC17	11795.00	10590.17	USD	7,228.98	
01SEP17	S 1 PD01DEC17	11985.00	10590.17	USD	8,368.98	
01SEP17	S 2 PD01DEC17	12000.00	10590.17	USD	16,917.96	
01SEP17	S 1 PD01DEC17	12005.00	10590.17	USD	8,488.98	
01SEP17	B 1 PD01DEC17	12050.00	10590.17	USD	-8,758.98	
01SEP17	B 1 PD01DEC17	12040.00	10590.17	USD	-8,698.98	
01SEP17	B 1 PD01DEC17	11980.00	10590.17	USD	-8,338.98	
01SEP17	S 1 PD01DEC17	11995.00	10590.17	USD	8,428.98	
01SEP17	S 1 PD01DEC17	12005.00	10590.17	USD	8,488.98	
	NET 0 AVG BGHT 11895.00 SOLD 11969.29				**3,120.00**	**0.00**
04SEP17	B 1 PD04DEC17	12215.00	10592.83	USD	-9,733.02	
04SEP17	B 1 PD04DEC17	12210.00	10592.83	USD	-9,703.02	
04SEP17	B 1 PD04DEC17	12205.00	10592.83	USD	-9,673.02	
04SEP17	S 1 PD04DEC17	12255.00	10592.83	USD	9,973.02	
04SEP17	B 1 PD04DEC17	12245.00	10592.83	USD	-9,913.02	
04SEP17	S 1 PD04DEC17	12245.00	10592.83	USD	9,913.02	
04SEP17	S 1 PD04DEC17	12260.00	10592.83	USD	10,003.02	
13SEP17	S 1 PD04DEC17	11353.08	10592.83	USD	4,561.50	
	NET 0 AVG BGHT 12218.75 SOLD 12028.27				**-4,571.52**	**0.00**
05SEP17	S 1 PD05DEC17	12280.00	10593.71	USD	10,117.74	
05SEP17	S 1 PD05DEC17	12235.00	10593.71	USD	9,847.74	
05SEP17	S 1 PD05DEC17	12225.00	10593.71	USD	9,787.74	
05SEP17	B 1 PD05DEC17	12220.00	10593.71	USD	-9,757.74	
05SEP17	B 1 PD05DEC17	12215.00	10593.71	USD	-9,727.74	
05SEP17	B 2 PD05DEC17	12210.00	10593.71	USD	-19,395.48	
05SEP17	S 1 PD05DEC17	12240.00	10593.71	USD	9,877.74	
05SEP17	S 1 PD05DEC17	12080.00	10593.71	USD	8,917.74	
05SEP17	S 2 PD05DEC17	12075.00	10593.71	USD	17,775.48	
05SEP17	B 1 PD05DEC17	12040.00	10593.71	USD	-8,677.74	
13SEP17	B 1 PD05DEC17	11354.92	10593.71	USD	-4,567.26	
13SEP17	B 1 PD05DEC17	11353.92	10593.71	USD	-4,561.26	
	NET 0 AVG BGHT 11943.41 SOLD 12172.86				**9,636.96**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083GA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
06SEP17	B 1 PD06DEC17	11995.00	10594.60	USD	-8,402.40	
06SEP17	B 3 PD06DEC17	12000.00	10594.60	USD	-25,297.20	
06SEP17	B 1 PD06DEC17	12030.00	10594.60	USD	-8,612.40	
06SEP17	B 1 PD06DEC17	12025.00	10594.60	USD	-8,582.40	
06SEP17	S 1 PD06DEC17	12055.00	10594.60	USD	8,762.40	
06SEP17	S 1 PD06DEC17	12075.00	10594.60	USD	8,882.40	
06SEP17	S 1 PD06DEC17	12105.00	10594.60	USD	9,062.40	
06SEP17	S 1 PD06DEC17	12115.00	10594.60	USD	9,122.40	
06SEP17	S 1 PD06DEC17	12130.00	10594.60	USD	9,212.40	
13SEP17	S 1 PD06DEC17	11353.77	10594.60	USD	4,555.02	
	NET 0 AVG BGHT 12008.33 SOLD 11972.30				**-1,297.38**	**0.00**
07SEP17	B 1 PD07DEC17	12225.00	10595.49	USD	-9,777.06	
07SEP17	S 2 PD07DEC17	12280.00	10595.49	USD	20,214.12	
07SEP17	B 1 PD07DEC17	12115.00	10595.49	USD	-9,117.06	
07SEP17	B 1 PD07DEC17	12110.00	10595.49	USD	-9,087.06	
07SEP17	B 2 PD07DEC17	12175.00	10595.49	USD	-18,954.12	
07SEP17	B 3 PD07DEC17	12170.00	10595.49	USD	-28,341.18	
07SEP17	B 3 PD07DEC17	12160.00	10595.49	USD	-28,161.18	
07SEP17	S 1 PD07DEC17	12155.00	10595.49	USD	9,357.06	
07SEP17	S 2 PD07DEC17	12165.00	10595.49	USD	18,834.12	
07SEP17	B 2 PD07DEC17	12180.00	10595.49	USD	-19,014.12	
13SEP17	S 8 PD07DEC17	11355.62	10595.49	USD	36,486.24	
	NET 0 AVG BGHT 12165.38 SOLD 11683.84				**-37,560.24**	**0.00**
08SEP17	S 1 PD08DEC17	12250.00	10596.37	USD	9,921.78	
08SEP17	S 1 PD08DEC17	12255.00	10596.37	USD	9,951.78	
08SEP17	S 2 PD08DEC17	12265.00	10596.37	USD	20,023.56	
08SEP17	B 1 PD08DEC17	12310.00	10596.37	USD	-10,281.78	
08SEP17	B 1 PD08DEC17	12340.00	10596.37	USD	-10,461.78	
08SEP17	B 3 PD08DEC17	12335.00	10596.37	USD	-31,295.34	
08SEP17	B 1 PD08DEC17	12330.00	10596.37	USD	-10,401.78	
08SEP17	B 1 PD08DEC17	12320.00	10596.37	USD	-10,341.78	
08SEP17	S 1 PD08DEC17	12180.00	10596.37	USD	9,501.78	
08SEP17	S 1 PD08DEC17	12150.00	10596.37	USD	9,321.78	
08SEP17	S 2 PD08DEC17	12155.00	10596.37	USD	18,703.56	
08SEP17	S 2 PD08DEC17	12130.00	10596.37	USD	18,403.56	
08SEP17	S 2 PD08DEC17	12025.00	10596.37	USD	17,143.56	
08SEP17	S 2 PD08DEC17	12020.00	10596.37	USD	17,083.56	
08SEP17	S 4 PD08DEC17	11990.00	10596.37	USD	33,447.12	
08SEP17	S 2 PD08DEC17	11995.00	10596.37	USD	16,783.56	
08SEP17	B 3 PD08DEC17	11945.00	10596.37	USD	-24,275.34	
08SEP17	B 3 PD08DEC17	11925.00	10596.37	USD	-23,915.34	
08SEP17	S 1 PD08DEC17	12020.00	10596.37	USD	8,541.78	
08SEP17	S 1 PD08DEC17	11820.00	10596.37	USD	7,341.78	
08SEP17	S 1 PD08DEC17	11815.00	10596.37	USD	7,311.78	
08SEP17	S 3 PD08DEC17	11805.00	10596.37	USD	21,755.34	
08SEP17	S 2 PD08DEC17	11765.00	10596.37	USD	14,023.56	
08SEP17	S 3 PD08DEC17	11760.00	10596.37	USD	20,945.34	
08SEP17	B 2 PD08DEC17	11760.00	10596.37	USD	-13,963.56	
08SEP17	B 1 PD08DEC17	11750.00	10596.37	USD	-6,921.78	
08SEP17	B 2 PD08DEC17	11745.00	10596.37	USD	-13,783.56	
08SEP17	B 1 PD08DEC17	11725.00	10596.37	USD	-6,771.78	
08SEP17	B 1 PD08DEC17	11730.00	10596.37	USD	-6,801.78	
08SEP17	B 1 PD08DEC17	11755.00	10596.37	USD	-6,951.78	
08SEP17	S 1 PD08DEC17	11745.00	10596.37	USD	6,891.78	
08SEP17	S 1 PD08DEC17	11730.00	10596.37	USD	6,801.78	
08SEP17	B 1 PD08DEC17	11690.00	10596.37	USD	-6,561.78	
08SEP17	B 1 PD08DEC17	11545.00	10596.37	USD	-5,691.78	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083GA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
08SEP17	S 1 PD08DEC17	11570.00	10596.37	USD	5,841.78	
08SEP17	S 1 PD08DEC17	11565.00	10596.37	USD	5,811.78	
08SEP17	B 1 PD08DEC17	11560.00	10596.37	USD	-5,781.78	
08SEP17	B 1 PD08DEC17	11520.00	10596.37	USD	-5,541.78	
08SEP17	B 1 PD08DEC17	11510.00	10596.37	USD	-5,481.78	
08SEP17	S 1 PD08DEC17	11575.00	10596.37	USD	5,871.78	
08SEP17	S 6 PD08DEC17	11590.00	10596.37	USD	35,770.68	
13SEP17	B 8 PD08DEC17	11357.46	10596.37	USD	-36,532.32	
13SEP17	B 8 PD08DEC17	11356.46	10596.37	USD	-36,484.32	
	NET 0 AVG BGHT 11700.51 SOLD 11894.76				**48,951.84**	**0.00**
11SEP17	B 1 PD11DEC17	11480.00	10599.03	USD	-5,285.82	
11SEP17	B 5 PD11DEC17	11485.00	10599.03	USD	-26,579.10	
11SEP17	B 1 PD11DEC17	11500.00	10599.03	USD	-5,405.82	
11SEP17	B 1 PD11DEC17	11615.00	10599.03	USD	-6,095.82	
11SEP17	B 1 PD11DEC17	11665.00	10599.03	USD	-6,395.82	
11SEP17	B 1 PD11DEC17	11725.00	10599.03	USD	-6,755.82	
11SEP17	S 1 PD11DEC17	11815.00	10599.03	USD	7,295.82	
11SEP17	S 1 PD11DEC17	11820.00	10599.03	USD	7,325.82	
13SEP17	S 8 PD11DEC17	11358.00	10599.03	USD	36,430.56	
	NET 0 AVG BGHT 11541.00 SOLD 11449.90				**-5,466.00**	**0.00**
12SEP17	B 1 PD12DEC17	11660.00	10599.91	USD	-6,360.54	
12SEP17	B 1 PD12DEC17	11670.00	10599.91	USD	-6,420.54	
12SEP17	B 1 PD12DEC17	11680.00	10599.91	USD	-6,480.54	
12SEP17	B 1 PD12DEC17	11700.00	10599.91	USD	-6,600.54	
12SEP17	B 1 PD12DEC17	11780.00	10599.91	USD	-7,080.54	
12SEP17	S 1 PD12DEC17	11760.00	10599.91	USD	6,960.54	
12SEP17	S 3 PD12DEC17	11885.00	10599.91	USD	23,131.62	
12SEP17	B 1 PD12DEC17	11885.00	10599.91	USD	-7,710.54	
12SEP17	S 1 PD12DEC17	11925.00	10599.91	USD	7,950.54	
12SEP17	S 1 PD12DEC17	11950.00	10599.91	USD	8,100.54	
	NET 0 AVG BGHT 11729.17 SOLD 11881.67				**5,490.00**	**0.00**
13SEP17	B 2 PD13DEC17	11890.00	10600.80	USD	-15,470.40	
13SEP17	B 1 PD13DEC17	11815.00	10600.80	USD	-7,285.20	
13SEP17	B 1 PD13DEC17	11830.00	10600.80	USD	-7,375.20	
13SEP17	B 1 PD13DEC17	11575.00	10600.80	USD	-5,845.20	
13SEP17	B 2 PD13DEC17	11590.00	10600.80	USD	-11,870.40	
13SEP17	B 2 PD13DEC17	11585.00	10600.80	USD	-11,810.40	
13SEP17	S 1 PD13DEC17	11465.00	10600.80	USD	5,185.20	
13SEP17	B 2 PD13DEC17	11390.00	10600.80	USD	-9,470.40	
13SEP17	B 1 PD13DEC17	11585.00	10600.80	USD	-5,905.20	
13SEP17	B 3 PD13DEC17	11380.00	10600.80	USD	-14,025.60	
13SEP17	B 1 PD13DEC17	11410.00	10600.80	USD	-4,855.20	
20SEP17	S 15 PD13DEC17	11374.60	10600.80	USD	69,642.00	
	NET 0 AVG BGHT 11579.06 SOLD 11380.25				**-19,086.00**	**0.00**
14SEP17	S 2 PD14DEC17	11465.00	10601.69	USD	10,359.72	
14SEP17	S 2 PD14DEC17	11490.00	10601.69	USD	10,659.72	
14SEP17	S 2 PD14DEC17	11455.00	10601.69	USD	10,239.72	
14SEP17	B 1 PD14DEC17	11195.00	10601.69	USD	-3,559.86	
14SEP17	B 4 PD14DEC17	11170.00	10601.69	USD	-13,639.44	
14SEP17	B 3 PD14DEC17	11165.00	10601.69	USD	-10,139.58	
14SEP17	B 1 PD14DEC17	11160.00	10601.69	USD	-3,349.86	
14SEP17	S 3 PD14DEC17	11490.00	10601.69	USD	15,989.58	
14SEP17	S 1 PD14DEC17	11285.00	10601.69	USD	4,099.86	
14SEP17	S 4 PD14DEC17	11270.00	10601.69	USD	16,039.44	
14SEP17	S 1 PD14DEC17	11265.00	10601.69	USD	3,979.86	
14SEP17	S 2 PD14DEC17	11290.00	10601.69	USD	8,259.72	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083GA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
14SEP17	S 2 PD14DEC17	11280.00	10601.69	USD	8,139.72	
14SEP17	S 5 PD14DEC17	11275.00	10601.69	USD	20,199.30	
20SEP17	B 15 PD14DEC17	11376.37	10601.69	USD	-69,721.20	
	NET 0 AVG BGHT 11298.98 SOLD 11351.46				**7,556.70**	**0.00**
15SEP17	B 1 PD15DEC17	11270.00	10602.57	USD	-4,004.58	
15SEP17	S 1 PD15DEC17	11150.00	10602.57	USD	3,284.58	
15SEP17	S 1 PD15DEC17	11130.00	10602.57	USD	3,164.58	
15SEP17	B 2 PD15DEC17	11125.00	10602.57	USD	-6,269.16	
15SEP17	B 1 PD15DEC17	11135.00	10602.57	USD	-3,194.58	
15SEP17	S 2 PD15DEC17	10900.00	10602.57	USD	3,569.16	
15SEP17	S 1 PD15DEC17	11035.00	10602.57	USD	2,594.58	
15SEP17	S 2 PD15DEC17	11050.00	10602.57	USD	5,369.16	
15SEP17	B 1 PD15DEC17	11130.00	10602.57	USD	-3,164.58	
20SEP17	B 2 PD15DEC17	11376.14	10602.57	USD	-9,282.84	
	NET 0 AVG BGHT 11219.61 SOLD 11030.71				**-7,933.68**	**0.00**
18SEP17	B 1 PD18DEC17	11155.00	10605.23	USD	-3,298.62	
18SEP17	B 1 PD18DEC17	11170.00	10605.23	USD	-3,388.62	
18SEP17	S 1 PD18DEC17	11175.00	10605.23	USD	3,418.62	
29SEP17	S 1 PD18DEC17	10487.73	10605.23	USD	-705.00	
	NET 0 AVG BGHT 11162.50 SOLD 10831.37				**-3,973.62**	**0.00**
19SEP17	B 1 PD19DEC17	11140.00	10606.11	USD	-3,203.34	
19SEP17	B 1 PD19DEC17	11095.00	10606.11	USD	-2,933.34	
19SEP17	B 1 PD19DEC17	11100.00	10606.11	USD	-2,963.34	
19SEP17	B 1 PD19DEC17	11055.00	10606.11	USD	-2,693.34	
19SEP17	B 1 PD19DEC17	11030.00	10606.11	USD	-2,543.34	
19SEP17	S 1 PD19DEC17	11000.00	10606.11	USD	2,363.34	
19SEP17	B 2 PD19DEC17	10935.00	10606.11	USD	-3,946.68	
19SEP17	B 1 PD19DEC17	10985.00	10606.11	USD	-2,273.34	
19SEP17	S 1 PD19DEC17	11060.00	10606.11	USD	2,723.34	
19SEP17	B 1 PD19DEC17	11145.00	10606.11	USD	-3,233.34	
19SEP17	S 1 PD19DEC17	11210.00	10606.11	USD	3,623.34	
19SEP17	S 1 PD19DEC17	11205.00	10606.11	USD	3,593.34	
19SEP17	S 1 PD19DEC17	11195.00	10606.11	USD	3,533.34	
19SEP17	B 1 PD19DEC17	11165.00	10606.11	USD	-3,353.34	
19SEP17	B 2 PD19DEC17	11170.00	10606.11	USD	-6,766.68	
19SEP17	S 1 PD19DEC17	11210.00	10606.11	USD	3,623.34	
19SEP17	B 1 PD19DEC17	11170.00	10606.11	USD	-3,383.34	
20SEP17	S 2 PD19DEC17	11378.23	10606.11	USD	9,265.44	
29SEP17	S 5 PD19DEC17	10488.61	10606.11	USD	-3,525.00	
	NET 0 AVG BGHT 11084.23 SOLD 10929.19				**-12,092.94**	**0.00**
20SEP17	B 1 PD20DEC17	11395.00	10607.00	USD	-4,728.00	
20SEP17	S 2 PD20DEC17	11370.00	10607.00	USD	9,156.00	
20SEP17	S 2 PD20DEC17	11360.00	10607.00	USD	9,036.00	
20SEP17	S 1 PD20DEC17	11375.00	10607.00	USD	4,608.00	
20SEP17	S 3 PD20DEC17	11365.00	10607.00	USD	13,644.00	
20SEP17	S 1 PD20DEC17	11380.00	10607.00	USD	4,638.00	
29SEP17	B 6 PD20DEC17	10490.50	10607.00	USD	4,194.00	
29SEP17	B 2 PD20DEC17	10489.50	10607.00	USD	1,410.00	
	NET 0 AVG BGHT 10590.78 SOLD 11367.78				**41,958.00**	**0.00**
21SEP17	S 1 PD21DEC17	11265.00	10608.00	USD	3,942.00	
21SEP17	S 1 PD21DEC17	11255.00	10608.00	USD	3,882.00	
21SEP17	B 1 PD21DEC17	11210.00	10608.00	USD	-3,612.00	
21SEP17	B 1 PD21DEC17	11200.00	10608.00	USD	-3,552.00	
21SEP17	B 1 PD21DEC17	11165.00	10608.00	USD	-3,342.00	
21SEP17	B 1 PD21DEC17	11160.00	10608.00	USD	-3,312.00	
21SEP17	B 3 PD21DEC17	11000.00	10608.00	USD	-7,056.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083GA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
21SEP17	B	2	PD21DEC17	11005.00	10608.00	USD	-4,764.00	
21SEP17	B	4	PD21DEC17	10995.00	10608.00	USD	-9,288.00	
21SEP17	B	6	PD21DEC17	11085.00	10608.00	USD	-17,172.00	
21SEP17	S	2	PD21DEC17	10805.00	10608.00	USD	2,364.00	
21SEP17	S	1	PD21DEC17	10720.00	10608.00	USD	672.00	
21SEP17	S	2	PD21DEC17	10715.00	10608.00	USD	1,284.00	
21SEP17	S	1	PD21DEC17	10650.00	10608.00	USD	252.00	
21SEP17	S	1	PD21DEC17	10640.00	10608.00	USD	192.00	
21SEP17	S	1	PD21DEC17	10830.00	10608.00	USD	1,332.00	
21SEP17	S	1	PD21DEC17	10750.00	10608.00	USD	852.00	
21SEP17	S	1	PD21DEC17	10625.00	10608.00	USD	102.00	
29SEP17	S	2	PD21DEC17	10489.50	10608.00	USD	-1,422.00	
29SEP17	S	1	PD21DEC17	10490.50	10608.00	USD	-705.00	
	NET	**+4**	**AVG BGHT 11065.00 SOLD 10749.63**				**-39,351.00**	**0.00**
22SEP17	B	1	PD22DEC17	10790.00	10609.00	USD	-1,086.00	
22SEP17	B	1	PD22DEC17	10785.00	10609.00	USD	-1,056.00	
22SEP17	B	1	PD22DEC17	10800.00	10609.00	USD	-1,146.00	
22SEP17	B	1	PD22DEC17	10770.00	10609.00	USD	-966.00	
22SEP17	S	1	PD22DEC17	10715.00	10609.00	USD	636.00	
22SEP17	S	2	PD22DEC17	10690.00	10609.00	USD	972.00	
22SEP17	S	4	PD22DEC17	10695.00	10609.00	USD	2,064.00	
22SEP17	S	1	PD22DEC17	10670.00	10609.00	USD	366.00	
22SEP17	S	2	PD22DEC17	10675.00	10609.00	USD	792.00	
22SEP17	B	1	PD22DEC17	10725.00	10609.00	USD	-696.00	
22SEP17	B	1	PD22DEC17	10675.00	10609.00	USD	-396.00	
22SEP17	B	1	PD22DEC17	10665.00	10609.00	USD	-336.00	
22SEP17	B	1	PD22DEC17	10660.00	10609.00	USD	-306.00	
22SEP17	B	1	PD22DEC17	10635.00	10609.00	USD	-156.00	
22SEP17	S	3	PD22DEC17	10560.00	10609.00	USD	-882.00	
22SEP17	S	1	PD22DEC17	10555.00	10609.00	USD	-324.00	
22SEP17	S	1	PD22DEC17	10565.00	10609.00	USD	-264.00	
22SEP17	S	1	PD22DEC17	10550.00	10609.00	USD	-354.00	
22SEP17	B	1	PD22DEC17	10570.00	10609.00	USD	234.00	
22SEP17	B	2	PD22DEC17	10575.00	10609.00	USD	408.00	
22SEP17	B	5	PD22DEC17	10585.00	10609.00	USD	720.00	
22SEP17	B	1	PD22DEC17	10605.00	10609.00	USD	24.00	
22SEP17	B	3	PD22DEC17	10595.00	10609.00	USD	252.00	
22SEP17	B	5	PD22DEC17	10580.00	10609.00	USD	870.00	
22SEP17	B	3	PD22DEC17	10455.00	10609.00	USD	2,772.00	
22SEP17	B	1	PD22DEC17	10460.00	10609.00	USD	894.00	
29SEP17	S	14	PD22DEC17	10491.50	10609.00	USD	-9,870.00	
	NET	**0**	**AVG BGHT 10608.83 SOLD 10570.87**				**-6,834.00**	**0.00**
25SEP17	S	1	PD27DEC17	10640.00	10613.58	USD	158.52	
25SEP17	S	1	PD27DEC17	10505.00	10613.58	USD	-651.48	
25SEP17	S	1	PD27DEC17	10595.00	10613.58	USD	-111.48	
25SEP17	S	1	PD27DEC17	10495.00	10613.58	USD	-711.48	
25SEP17	S	1	PD27DEC17	10575.00	10613.58	USD	-231.48	
25SEP17	S	2	PD27DEC17	10630.00	10613.58	USD	197.04	
25SEP17	S	2	PD27DEC17	10635.00	10613.58	USD	257.04	
25SEP17	S	1	PD27DEC17	10565.00	10613.58	USD	-291.48	
25SEP17	S	1	PD27DEC17	10625.00	10613.58	USD	68.52	
25SEP17	S	1	PD27DEC17	10580.00	10613.58	USD	-201.48	
25SEP17	S	1	PD27DEC17	10500.00	10613.58	USD	-681.48	
25SEP17	S	2	PD27DEC17	10525.00	10613.58	USD	-1,062.96	
25SEP17	B	1	PD27DEC17	10525.00	10613.58	USD	531.48	
25SEP17	B	3	PD27DEC17	10520.00	10613.58	USD	1,684.44	
25SEP17	B	1	PD27DEC17	10515.00	10613.58	USD	591.48	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083GA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
25SEP17	B 1 PD27DEC17	10535.00	10613.58	USD	471.48	
25SEP17	B 1 PD27DEC17	10530.00	10613.58	USD	501.48	
25SEP17	S 2 PD27DEC17	10550.00	10613.58	USD	-762.96	
25SEP17	S 1 PD27DEC17	10560.00	10613.58	USD	-321.48	
25SEP17	S 2 PD27DEC17	10590.00	10613.58	USD	-282.96	
25SEP17	S 5 PD27DEC17	10570.00	10613.58	USD	-1,307.40	
25SEP17	S 1 PD27DEC17	10560.00	10613.58	USD	-321.48	
26SEP17	B 1 PD27DEC17	10640.00	10613.58	USD	-158.52	
26SEP17	B 3 PD27DEC17	10475.00	10613.58	USD	2,494.44	
26SEP17	B 5 PD27DEC17	10480.00	10613.58	USD	4,007.40	
26SEP17	S 1 PD27DEC17	10440.00	10613.58	USD	-1,041.48	
26SEP17	B 2 PD27DEC17	10445.00	10613.58	USD	2,022.96	
26SEP17	B 1 PD27DEC17	10525.00	10613.58	USD	531.48	
26SEP17	B 2 PD27DEC17	10520.00	10613.58	USD	1,122.96	
26SEP17	B 5 PD27DEC17	10515.00	10613.58	USD	2,957.40	
26SEP17	B 1 PD27DEC17	10500.00	10613.58	USD	681.48	
26SEP17	B 2 PD27DEC17	10485.00	10613.58	USD	1,542.96	
27SEP17	S 1 PD27DEC17	10450.00	10613.58	USD	-981.48	
27SEP17	S 1 PD27DEC17	10465.00	10613.58	USD	-891.48	
27SEP17	S 2 PD27DEC17	10470.00	10613.58	USD	-1,722.96	
27SEP17	S 1 PD27DEC17	10475.00	10613.58	USD	-831.48	
27SEP17	B 1 PD27DEC17	10475.00	10613.58	USD	831.48	
27SEP17	S 2 PD27DEC17	10490.00	10613.58	USD	-1,482.96	
27SEP17	S 2 PD27DEC17	10510.00	10613.58	USD	-1,242.96	
27SEP17	S 2 PD27DEC17	10525.00	10613.58	USD	-1,062.96	
27SEP17	S 2 PD27DEC17	10490.00	10613.58	USD	-1,482.96	
27SEP17	S 1 PD27DEC17	10530.00	10613.58	USD	-501.48	
27SEP17	S 1 PD27DEC17	10540.00	10613.58	USD	-441.48	
27SEP17	S 2 PD27DEC17	10545.00	10613.58	USD	-822.96	
27SEP17	S 1 PD27DEC17	10550.00	10613.58	USD	-381.48	
27SEP17	S 1 PD27DEC17	10355.00	10613.58	USD	-1,551.48	
27SEP17	S 1 PD27DEC17	10350.00	10613.58	USD	-1,581.48	
27SEP17	B 1 PD27DEC17	10385.00	10613.58	USD	1,371.48	
27SEP17	B 1 PD27DEC17	10375.00	10613.58	USD	1,431.48	
27SEP17	S 1 PD27DEC17	10285.00	10613.58	USD	-1,971.48	
27SEP17	S 1 PD27DEC17	10280.00	10613.58	USD	-2,001.48	
27SEP17	B 1 PD27DEC17	10325.00	10613.58	USD	1,731.48	
27SEP17	B 1 PD27DEC17	10350.00	10613.58	USD	1,581.48	
27SEP17	B 2 PD27DEC17	10365.00	10613.58	USD	2,982.96	
27SEP17	B 1 PD27DEC17	10360.00	10613.58	USD	1,521.48	
27SEP17	B 1 PD27DEC17	10320.00	10613.58	USD	1,761.48	
27SEP17	S 2 PD27DEC17	10290.00	10613.58	USD	-3,882.96	
27SEP17	S 1 PD27DEC17	10295.00	10613.58	USD	-1,911.48	
27SEP17	S 1 PD27DEC17	10340.00	10613.58	USD	-1,641.48	
29SEP17	B 15 PD27DEC17	10498.57	10613.58	USD	10,350.90	
	NET 0 AVG BGHT 10479.78 SOLD 10507.64				**8,858.70**	**0.00**
28SEP17	B 1 PD28DEC17	10365.00	10614.50	USD	1,497.00	
28SEP17	B 2 PD28DEC17	10355.00	10614.50	USD	3,114.00	
28SEP17	B 1 PD28DEC17	10285.00	10614.50	USD	1,977.00	
28SEP17	B 1 PD28DEC17	10280.00	10614.50	USD	2,007.00	
28SEP17	S 2 PD28DEC17	10250.00	10614.50	USD	-4,374.00	
28SEP17	S 1 PD28DEC17	10255.00	10614.50	USD	-2,157.00	
28SEP17	S 1 PD28DEC17	10235.00	10614.50	USD	-2,277.00	
28SEP17	S 3 PD28DEC17	10260.00	10614.50	USD	-6,381.00	
28SEP17	B 1 PD28DEC17	10290.00	10614.50	USD	1,947.00	
28SEP17	B 1 PD28DEC17	10325.00	10614.50	USD	1,737.00	
28SEP17	B 2 PD28DEC17	10340.00	10614.50	USD	3,294.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083GA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
28SEP17	B 1 PD28DEC17	10335.00	10614.50	USD	1,677.00	
28SEP17	B 1 PD28DEC17	10320.00	10614.50	USD	1,767.00	
28SEP17	B 2 PD28DEC17	10360.00	10614.50	USD	3,054.00	
28SEP17	B 1 PD28DEC17	10350.00	10614.50	USD	1,587.00	
28SEP17	S 1 PD28DEC17	10485.00	10614.50	USD	-777.00	
	NET +6 AVG BGHT 10332.86 SOLD 10281.88				**7,692.00**	**0.00**
29SEP17	S 1 PD29DEC17	10515.00	10615.42	USD	-602.52	
29SEP17	S 1 PD29DEC17	10465.00	10615.42	USD	-902.52	
29SEP17	S 5 PD29DEC17	10470.00	10615.42	USD	-4,362.60	
29SEP17	S 4 PD29DEC17	10475.00	10615.42	USD	-3,370.08	
29SEP17	B 3 PD29DEC17	10430.00	10615.42	USD	3,337.56	
29SEP17	B 1 PD29DEC17	10450.00	10615.42	USD	992.52	
29SEP17	B 1 PD29DEC17	10460.00	10615.42	USD	932.52	
29SEP17	B 1 PD29DEC17	10470.00	10615.42	USD	872.52	
29SEP17	B 2 PD29DEC17	10475.00	10615.42	USD	1,685.04	
29SEP17	B 1 PD29DEC17	10490.00	10615.42	USD	752.52	
29SEP17	S 1 PD29DEC17	10470.00	10615.42	USD	-872.52	
29SEP17	B 1 PD29DEC17	10475.00	10615.42	USD	842.52	
	NET -2 AVG BGHT 10458.50 SOLD 10475.00				**-695.04**	**0.00**
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
21JUL17	S 1 PD20OCT17	20150.00	20924.00	USD	-3,870.00	
08AUG17	B 1 PD20OCT17	20225.60	20924.00	USD	3,492.00	
	NET 0 AVG BGHT 20225.60 SOLD 20150.00				**-378.00**	**0.00**
24JUL17	B 1 PD24OCT17	20250.00	20914.00	USD	3,320.00	
24JUL17	B 1 PD24OCT17	20200.00	20914.00	USD	3,570.00	
08AUG17	S 1 PD24OCT17	20222.20	20914.00	USD	-3,459.00	
08AUG17	S 1 PD24OCT17	20223.20	20914.00	USD	-3,454.00	
	NET 0 AVG BGHT 20225.00 SOLD 20222.70				**-23.00**	**0.00**
26JUL17	S 1 PD26OCT17	20310.00	20904.00	USD	-2,970.00	
26JUL17	S 1 PD26OCT17	20335.00	20904.00	USD	-2,845.00	
26JUL17	B 2 PD26OCT17	20375.00	20904.00	USD	5,290.00	
	NET 0 AVG BGHT 20375.00 SOLD 20322.50				**-525.00**	**0.00**
27JUL17	B 1 PD27OCT17	20425.00	20899.00	USD	2,370.00	
27JUL17	S 1 PD27OCT17	20750.00	20899.00	USD	-745.00	
28JUL17	S 1 PD27OCT17	20700.00	20899.00	USD	-995.00	
28JUL17	S 1 PD27OCT17	20835.00	20899.00	USD	-320.00	
28JUL17	B 1 PD27OCT17	20700.00	20899.00	USD	995.00	
08AUG17	B 1 PD27OCT17	20220.60	20899.00	USD	3,392.00	
	NET 0 AVG BGHT 20448.53 SOLD 20761.67				**4,697.00**	**0.00**
31JUL17	S 1 PD31OCT17	20820.00	20889.00	USD	-345.00	
31JUL17	B 1 PD31OCT17	20635.00	20889.00	USD	1,270.00	
	NET 0 AVG BGHT 20635.00 SOLD 20820.00				**925.00**	**0.00**
01AUG17	S 1 PD01NOV17	20650.00	20884.00	USD	-1,170.00	
01AUG17	B 1 PD01NOV17	20500.00	20884.00	USD	1,920.00	
01AUG17	S 1 PD01NOV17	20525.00	20884.00	USD	-1,795.00	
08AUG17	B 1 PD01NOV17	20216.00	20884.00	USD	3,340.00	
	NET 0 AVG BGHT 20358.00 SOLD 20587.50				**2,295.00**	**0.00**
02AUG17	B 1 PD02NOV17	20475.00	20882.60	USD	2,038.00	
08AUG17	S 1 PD02NOV17	20213.80	20882.60	USD	-3,344.00	
	NET 0 AVG BGHT 20475.00 SOLD 20213.80				**-1,306.00**	**0.00**
07AUG17	B 1 PD07NOV17	20665.00	20878.40	USD	1,067.00	
06SEP17	S 1 PD07NOV17	20827.50	20878.40	USD	-254.50	
	NET 0 AVG BGHT 20665.00 SOLD 20827.50				**812.50**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083GA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
08AUG17	B 1 PD08NOV17	20335.00	20877.00	USD	2,710.00	
06SEP17	S 1 PD08NOV17	20826.25	20877.00	USD	-253.75	
	NET 0 AVG BGHT 20335.00 SOLD 20826.25				**2,456.25**	**0.00**
10AUG17	B 1 PD10NOV17	20300.00	20874.20	USD	2,871.00	
10AUG17	S 1 PD10NOV17	20315.00	20874.20	USD	-2,796.00	
11AUG17	S 1 PD10NOV17	20200.00	20874.20	USD	-3,371.00	
06SEP17	B 1 PD10NOV17	20824.75	20874.20	USD	247.25	
	NET 0 AVG BGHT 20562.38 SOLD 20257.50				**-3,048.75**	**0.00**
15AUG17	S 1 PD15NOV17	20250.00	20870.00	USD	-3,100.00	
15AUG17	B 1 PD15NOV17	19830.00	20870.00	USD	5,200.00	
	NET 0 AVG BGHT 19830.00 SOLD 20250.00				**2,100.00**	**0.00**
18AUG17	S 1 PD17NOV17	20350.00	20867.50	USD	-2,587.50	
18AUG17	S 1 PD17NOV17	20115.00	20867.50	USD	-3,762.50	
18AUG17	B 1 PD17NOV17	20350.00	20867.50	USD	2,587.50	
18AUG17	B 1 PD17NOV17	20160.00	20867.50	USD	3,537.50	
	NET 0 AVG BGHT 20255.00 SOLD 20232.50				**-225.00**	**0.00**
21AUG17	S 1 PD21NOV17	20335.00	20865.00	USD	-2,650.00	
06SEP17	B 1 PD21NOV17	20812.43	20865.00	USD	262.85	
	NET 0 AVG BGHT 20812.43 SOLD 20335.00				**-2,387.15**	**0.00**
22AUG17	S 1 PD22NOV17	20450.00	20863.75	USD	-2,068.75	
06SEP17	B 1 PD22NOV17	20809.29	20863.75	USD	272.30	
	NET 0 AVG BGHT 20809.29 SOLD 20450.00				**-1,796.45**	**0.00**
29AUG17	B 1 PD29NOV17	20550.00	20858.75	USD	1,543.75	
29AUG17	B 1 PD29NOV17	20470.00	20858.75	USD	1,943.75	
06SEP17	S 1 PD29NOV17	20799.71	20858.75	USD	-295.20	
06SEP17	S 1 PD29NOV17	20800.71	20858.75	USD	-290.20	
	NET 0 AVG BGHT 20510.00 SOLD 20800.21				**2,902.10**	**0.00**
30AUG17	S 1 PD30NOV17	20360.00	20857.50	USD	-2,487.50	
06SEP17	B 1 PD30NOV17	20799.57	20857.50	USD	289.65	
	NET 0 AVG BGHT 20799.57 SOLD 20360.00				**-2,197.85**	**0.00**
04SEP17	B 1 PD04DEC17	20735.00	20855.00	USD	600.00	
13SEP17	S 1 PD04DEC17	20526.05	20855.00	USD	-1,644.75	
	NET 0 AVG BGHT 20735.00 SOLD 20526.05				**-1,044.75**	**0.00**
05SEP17	S 1 PD05DEC17	20755.00	20853.75	USD	-493.75	
13SEP17	B 1 PD05DEC17	20525.47	20853.75	USD	1,641.40	
	NET 0 AVG BGHT 20525.47 SOLD 20755.00				**1,147.65**	**0.00**
06SEP17	S 1 PD06DEC17	20610.00	20852.50	USD	-1,212.50	
20SEP17	B 1 PD06DEC17	20641.67	20852.50	USD	1,054.15	
	NET 0 AVG BGHT 20641.67 SOLD 20610.00				**-158.35**	**0.00**
07SEP17	S 1 PD07DEC17	20755.00	20851.25	USD	-481.25	
07SEP17	B 1 PD07DEC17	20730.00	20851.25	USD	606.25	
	NET 0 AVG BGHT 20730.00 SOLD 20755.00				**125.00**	**0.00**
08SEP17	B 1 PD08DEC17	20785.00	20850.00	USD	325.00	
08SEP17	S 1 PD08DEC17	20700.00	20850.00	USD	-750.00	
	NET 0 AVG BGHT 20785.00 SOLD 20700.00				**-425.00**	**0.00**
11SEP17	S 1 PD11DEC17	20700.00	20848.75	USD	-743.75	
20SEP17	B 1 PD11DEC17	20636.67	20848.75	USD	1,060.40	
	NET 0 AVG BGHT 20636.67 SOLD 20700.00				**316.65**	**0.00**
13SEP17	B 1 PD13DEC17	20665.00	20846.25	USD	906.25	
20SEP17	S 1 PD13DEC17	20632.33	20846.25	USD	-1,069.60	
	NET 0 AVG BGHT 20665.00 SOLD 20632.33				**-163.35**	**0.00**
14SEP17	B 1 PD14DEC17	20570.00	20845.00	USD	1,375.00	
20SEP17	S 1 PD14DEC17	20630.67	20845.00	USD	-1,071.65	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083GA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
	NET 0 AVG BGHT 20570.00 SOLD 20630.67				**303.35**	**0.00**
15SEP17	S 1 PD15DEC17	20555.00	20843.75	USD	-1,443.75	
15SEP17	S 1 PD15DEC17	20505.00	20843.75	USD	-1,693.75	
20SEP17	B 1 PD15DEC17	20630.00	20843.75	USD	1,068.75	
29SEP17	B 1 PD15DEC17	20726.25	20843.75	USD	587.50	
	NET 0 AVG BGHT 20678.13 SOLD 20530.00				**-1,481.25**	**0.00**
19SEP17	B 1 PD19DEC17	20695.00	20841.25	USD	731.25	
20SEP17	S 1 PD19DEC17	20625.67	20841.25	USD	-1,077.90	
	NET 0 AVG BGHT 20695.00 SOLD 20625.67				**-346.65**	**0.00**
20SEP17	B 1 PD20DEC17	20655.00	20840.00	USD	925.00	
20SEP17	S 1 PD20DEC17	20660.00	20840.00	USD	-900.00	
20SEP17	B 1 PD20DEC17	20685.00	20840.00	USD	775.00	
29SEP17	S 1 PD20DEC17	20719.00	20840.00	USD	-605.00	
	NET 0 AVG BGHT 20670.00 SOLD 20689.50				**195.00**	**0.00**
21SEP17	S 1 PD21DEC17	20600.00	20833.40	USD	-1,167.00	
	NET -1 AVG SOLD 20600.00				**-1,167.00**	**0.00**
28SEP17	S 1 PD28DEC17	20625.00	20813.60	USD	-943.00	
28SEP17	B 1 PD28DEC17	20660.00	20813.60	USD	768.00	
	NET 0 AVG BGHT 20660.00 SOLD 20625.00				**-175.00**	**0.00**
29SEP17	S 1 PD29DEC17	20695.00	20807.00	USD	-560.00	
	NET -1 AVG SOLD 20695.00				**-560.00**	**0.00**
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
25JUL17	B 1 PD25OCT17	2834.00	3279.50	USD	11,137.50	
25JUL17	S 1 PD25OCT17	2822.00	3279.50	USD	-11,437.50	
	NET 0 AVG BGHT 2834.00 SOLD 2822.00				**-300.00**	**0.00**
26JUL17	B 1 PD26OCT17	2811.50	3278.50	USD	11,675.00	
08AUG17	S 1 PD26OCT17	2924.00	3278.50	USD	-8,862.50	
	NET 0 AVG BGHT 2811.50 SOLD 2924.00				**2,812.50**	**0.00**
28JUL17	S 1 PD27OCT17	2805.50	3277.50	USD	-11,800.00	
28JUL17	B 1 PD27OCT17	2780.00	3277.50	USD	12,437.50	
	NET 0 AVG BGHT 2780.00 SOLD 2805.50				**637.50**	**0.00**
01AUG17	S 1 PD01NOV17	2778.50	3274.50	USD	-12,400.00	
08AUG17	B 1 PD01NOV17	2924.37	3274.50	USD	8,753.25	
	NET 0 AVG BGHT 2924.37 SOLD 2778.50				**-3,646.75**	**0.00**
03AUG17	B 1 PD03NOV17	2794.00	3272.00	USD	11,950.00	
13SEP17	S 1 PD03NOV17	3019.43	3272.00	USD	-6,314.25	
	NET 0 AVG BGHT 2794.00 SOLD 3019.43				**5,635.75**	**0.00**
07AUG17	B 1 PD07NOV17	2866.00	3272.00	USD	10,150.00	
06SEP17	S 1 PD07NOV17	3092.61	3272.00	USD	-4,484.75	
	NET 0 AVG BGHT 2866.00 SOLD 3092.61				**5,665.25**	**0.00**
08AUG17	S 1 PD08NOV17	2883.00	3272.00	USD	-9,725.00	
08AUG17	B 1 PD08NOV17	2914.00	3272.00	USD	8,950.00	
	NET 0 AVG BGHT 2914.00 SOLD 2883.00				**-775.00**	**0.00**
09AUG17	S 1 PD09NOV17	2974.50	3272.00	USD	-7,437.50	
06SEP17	B 1 PD09NOV17	3093.03	3272.00	USD	4,474.25	
	NET 0 AVG BGHT 3093.03 SOLD 2974.50				**-2,963.25**	**0.00**
10AUG17	B 1 PD10NOV17	2942.50	3272.00	USD	8,237.50	
10AUG17	S 1 PD10NOV17	2938.50	3272.00	USD	-8,337.50	
10AUG17	B 1 PD10NOV17	2912.00	3272.00	USD	9,000.00	
11AUG17	S 1 PD10NOV17	2913.00	3272.00	USD	-8,975.00	
	NET 0 AVG BGHT 2927.25 SOLD 2925.75				**-75.00**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083GA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
14AUG17	S 1 PD14NOV17	2915.00	3270.33	USD	-8,883.25	
06SEP17	B 1 PD14NOV17	3093.25	3270.33	USD	4,427.00	
	NET 0 AVG BGHT 3093.25 SOLD 2915.00				**-4,456.25**	**0.00**
16AUG17	B 1 PD16NOV17	3051.00	3267.50	USD	5,412.50	
16AUG17	B 1 PD16NOV17	3108.50	3267.50	USD	3,975.00	
06SEP17	S 1 PD16NOV17	3090.80	3267.50	USD	-4,417.50	
06SEP17	S 1 PD16NOV17	3091.00	3267.50	USD	-4,412.50	
	NET 0 AVG BGHT 3079.75 SOLD 3090.90				**557.50**	**0.00**
17AUG17	B 1 PD17NOV17	3120.50	3265.50	USD	3,625.00	
17AUG17	B 1 PD17NOV17	3105.50	3265.50	USD	4,000.00	
18AUG17	B 1 PD17NOV17	3109.50	3265.50	USD	3,900.00	
18AUG17	S 1 PD17NOV17	3112.50	3265.50	USD	-3,825.00	
18AUG17	S 1 PD17NOV17	3114.50	3265.50	USD	-3,775.00	
06SEP17	S 1 PD17NOV17	3091.00	3265.50	USD	-4,362.50	
	NET 0 AVG BGHT 3111.83 SOLD 3106.00				**-437.50**	**0.00**
21AUG17	S 1 PD21NOV17	3133.50	3264.83	USD	-3,283.25	
21AUG17	B 1 PD21NOV17	3132.50	3264.83	USD	3,308.25	
	NET 0 AVG BGHT 3132.50 SOLD 3133.50				**25.00**	**0.00**
22AUG17	S 1 PD22NOV17	3149.50	3264.50	USD	-2,875.00	
22AUG17	S 1 PD22NOV17	3132.00	3264.50	USD	-3,312.50	
22AUG17	S 1 PD22NOV17	3099.50	3264.50	USD	-4,125.00	
06SEP17	B 2 PD22NOV17	3092.81	3264.50	USD	8,584.50	
06SEP17	B 1 PD22NOV17	3092.61	3264.50	USD	4,297.25	
	NET 0 AVG BGHT 3092.74 SOLD 3127.00				**2,569.25**	**0.00**
23AUG17	B 1 PD24NOV17	3106.50	3264.71	USD	3,955.25	
25AUG17	S 1 PD24NOV17	3065.50	3264.71	USD	-4,980.25	
	NET 0 AVG BGHT 3106.50 SOLD 3065.50				**-1,025.00**	**0.00**
31AUG17	B 1 PD30NOV17	3103.50	3265.36	USD	4,046.50	
31AUG17	S 1 PD30NOV17	3135.50	3265.36	USD	-3,246.50	
31AUG17	B 1 PD30NOV17	3155.00	3265.36	USD	2,759.00	
31AUG17	S 1 PD30NOV17	3154.00	3265.36	USD	-2,784.00	
	NET 0 AVG BGHT 3129.25 SOLD 3144.75				**775.00**	**0.00**
01SEP17	B 1 PD01DEC17	3142.00	3265.46	USD	3,086.50	
01SEP17	B 1 PD01DEC17	3180.00	3265.46	USD	2,136.50	
06SEP17	S 1 PD01DEC17	3093.55	3265.46	USD	-4,297.75	
06SEP17	S 1 PD01DEC17	3093.75	3265.46	USD	-4,292.75	
	NET 0 AVG BGHT 3161.00 SOLD 3093.65				**-3,367.50**	**0.00**
04SEP17	S 1 PD04DEC17	3194.50	3265.79	USD	-1,782.25	
06SEP17	B 1 PD04DEC17	3094.70	3265.79	USD	4,277.25	
	NET 0 AVG BGHT 3094.70 SOLD 3194.50				**2,495.00**	**0.00**
05SEP17	S 1 PD05DEC17	3182.00	3265.89	USD	-2,097.25	
05SEP17	S 1 PD05DEC17	3149.50	3265.89	USD	-2,909.75	
13SEP17	B 1 PD05DEC17	3023.76	3265.89	USD	6,053.25	
13SEP17	B 1 PD05DEC17	3023.56	3265.89	USD	6,058.25	
	NET 0 AVG BGHT 3023.66 SOLD 3165.75				**7,104.50**	**0.00**
06SEP17	B 1 PD06DEC17	3092.00	3266.00	USD	4,350.00	
13SEP17	S 1 PD06DEC17	3023.43	3266.00	USD	-6,064.25	
	NET 0 AVG BGHT 3092.00 SOLD 3023.43				**-1,714.25**	**0.00**
07SEP17	S 1 PD07DEC17	3079.00	3266.11	USD	-4,677.75	
13SEP17	B 1 PD07DEC17	3023.69	3266.11	USD	6,060.50	
	NET 0 AVG BGHT 3023.69 SOLD 3079.00				**1,382.75**	**0.00**
08SEP17	S 1 PD08DEC17	3135.00	3266.21	USD	-3,280.25	
08SEP17	B 1 PD08DEC17	3143.00	3266.21	USD	3,080.25	
08SEP17	S 1 PD08DEC17	3090.50	3266.21	USD	-4,392.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083GA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
08SEP17	B 1 PD08DEC17	3094.00	3266.21	USD	4,305.25	
	NET 0 AVG BGHT 3118.50 SOLD 3112.75				**-287.50**	**0.00**
11SEP17	B 1 PD11DEC17	3056.00	3266.54	USD	5,263.50	
11SEP17	B 1 PD11DEC17	3055.00	3266.54	USD	5,288.50	
13SEP17	S 1 PD11DEC17	3023.47	3266.54	USD	-6,076.75	
20SEP17	S 1 PD11DEC17	3129.61	3266.54	USD	-3,423.25	
	NET 0 AVG BGHT 3055.50 SOLD 3076.54				**1,052.00**	**0.00**
12SEP17	B 1 PD12DEC17	3093.00	3266.64	USD	4,341.00	
12SEP17	S 1 PD12DEC17	3092.50	3266.64	USD	-4,353.50	
	NET 0 AVG BGHT 3093.00 SOLD 3092.50				**-12.50**	**0.00**
13SEP17	B 1 PD13DEC17	3068.50	3266.75	USD	4,956.25	
13SEP17	S 1 PD13DEC17	3058.00	3266.75	USD	-5,218.75	
	NET 0 AVG BGHT 3068.50 SOLD 3058.00				**-262.50**	**0.00**
14SEP17	B 1 PD14DEC17	3005.50	3266.86	USD	6,534.00	
29SEP17	S 1 PD14DEC17	3165.63	3266.86	USD	-2,530.75	
	NET 0 AVG BGHT 3005.50 SOLD 3165.63				**4,003.25**	**0.00**
15SEP17	S 1 PD15DEC17	3001.50	3266.96	USD	-6,636.50	
20SEP17	B 1 PD15DEC17	3130.87	3266.96	USD	3,402.25	
	NET 0 AVG BGHT 3130.87 SOLD 3001.50				**-3,234.25**	**0.00**
18SEP17	B 1 PD18DEC17	3074.00	3267.29	USD	4,832.25	
18SEP17	S 1 PD18DEC17	3075.50	3267.29	USD	-4,794.75	
18SEP17	B 1 PD18DEC17	3089.00	3267.29	USD	4,457.25	
18SEP17	B 1 PD18DEC17	3102.00	3267.29	USD	4,132.25	
29SEP17	S 2 PD18DEC17	3165.88	3267.29	USD	-5,070.50	
	NET 0 AVG BGHT 3088.33 SOLD 3135.75				**3,556.50**	**0.00**
19SEP17	S 1 PD19DEC17	3107.50	3267.39	USD	-3,997.25	
19SEP17	S 1 PD19DEC17	3104.50	3267.39	USD	-4,072.25	
19SEP17	B 2 PD19DEC17	3112.50	3267.39	USD	7,744.50	
19SEP17	B 1 PD19DEC17	3109.00	3267.39	USD	3,959.75	
29SEP17	S 1 PD19DEC17	3165.94	3267.39	USD	-2,536.25	
	NET 0 AVG BGHT 3111.33 SOLD 3125.98				**1,098.50**	**0.00**
20SEP17	S 1 PD20DEC17	3135.00	3267.50	USD	-3,312.50	
20SEP17	S 1 PD20DEC17	3137.00	3267.50	USD	-3,262.50	
29SEP17	B 2 PD20DEC17	3166.20	3267.50	USD	5,065.00	
	NET 0 AVG BGHT 3166.20 SOLD 3136.00				**-1,510.00**	**0.00**
21SEP17	S 1 PD21DEC17	3078.00	3264.00	USD	-4,650.00	
21SEP17	S 1 PD21DEC17	3027.50	3264.00	USD	-5,912.50	
29SEP17	B 2 PD21DEC17	3162.95	3264.00	USD	5,052.50	
	NET 0 AVG BGHT 3162.95 SOLD 3052.75				**-5,510.00**	**0.00**
26SEP17	B 1 PD27DEC17	3127.00	3264.00	USD	3,425.00	
26SEP17	S 1 PD27DEC17	3112.00	3264.00	USD	-3,800.00	
27SEP17	B 1 PD27DEC17	3138.50	3264.00	USD	3,137.50	
27SEP17	S 1 PD27DEC17	3111.50	3264.00	USD	-3,812.50	
	NET 0 AVG BGHT 3132.75 SOLD 3111.75				**-1,050.00**	**0.00**
28SEP17	B 1 PD28DEC17	3141.00	3264.00	USD	3,075.00	
28SEP17	S 1 PD28DEC17	3156.50	3264.00	USD	-2,687.50	
	NET 0 AVG BGHT 3141.00 SOLD 3156.50				**387.50**	**0.00**
29SEP17	B 1 PD29DEC17	3159.00	3264.00	USD	2,625.00	
	NET +1 AVG BGHT 3159.00				**2,625.00**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083GA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	140,657.00
LME Copper Grade A 25	FUTURES	-1,317,418.50
CMX Gold	FUTURES	-518,380.00
LME Lead 25 tonnes. This is an	FUTURES	9,877.50
LME Nickel 6 tonnes. This is	FUTURES	-472,245.36
LME Tin 5 tonnes. This is an	FUTURES	209,068.95
LME Zinc 25 tonnes. This is	FUTURES	-69,844.50

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options			Amount	Profit / Loss	**Total Amount**	**Total P&L**
GOLD	- Futures	USD	-8,540.00	-8,540.00	**-8,540.00**	**-8,540.00**
Unrealised Profits & Losses - Forwards			**Amount**	**Profit / Loss**	**Total Amount**	**Total P&L**
ALUL	- Futures	USD	34,211.50	34,211.50		
COPL	- Futures	USD	-14,213.25	-14,213.25		
LEAD	- Futures	USD	9,877.50	9,877.50		
NKL	- Futures	USD	37,083.60	37,083.60		
TIN	- Futures	USD	866.95	866.95		
ZINC	- Futures	USD	11,755.50	11,755.50	**79,581.80**	**79,581.80**

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-31,675.20	-31,675.20
Variation Margins	- Futures	-8,540.00	-8,540.00
	- Options	0.00	0.00
TOTAL EQUITY		**-40,215.20**	**-40,215.20**
Initial Margins		-121,613.20	-121,613.20
Excess/(Shortage)		**-161,828.40**	**-161,828.40**
FOR INFORMATION ONLY			
Forward Unrealised P&L		79,581.80	79,581.80
Net Liquidating Value		**39,366.60**	**39,366.60**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083XA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
04JUL17	S 2 PD04OCT17	1923.00	2113.92	USD	-9,546.00	
04JUL17	S 1 PD04OCT17	1924.50	2113.92	USD	-4,735.50	
04JUL17	B 1 PD04OCT17	1921.50	2113.92	USD	4,810.50	
04JUL17	B 1 PD04OCT17	1921.00	2113.92	USD	4,823.00	
04JUL17	B 1 PD04OCT17	1920.50	2113.92	USD	4,835.50	
04JUL17	B 1 PD04OCT17	1920.00	2113.92	USD	4,848.00	
04JUL17	B 1 PD04OCT17	1916.50	2113.92	USD	4,935.50	
04JUL17	B 1 PD04OCT17	1924.00	2113.92	USD	4,748.00	
04JUL17	S 4 PD04OCT17	1929.50	2113.92	USD	-18,442.00	
04JUL17	S 1 PD04OCT17	1930.00	2113.92	USD	-4,598.00	
04JUL17	S 1 PD04OCT17	1928.50	2113.92	USD	-4,635.50	
04JUL17	S 3 PD04OCT17	1929.00	2113.92	USD	-13,869.00	
19JUL17	B 6 PD04OCT17	1917.12	2113.92	USD	29,520.00	
	NET 0 AVG BGHT 1918.85 SOLD 1927.83				**2,694.50**	**0.00**
05JUL17	B 1 PD05OCT17	1921.50	2114.25	USD	4,818.75	
05JUL17	B 1 PD05OCT17	1923.00	2114.25	USD	4,781.25	
05JUL17	S 1 PD05OCT17	1924.50	2114.25	USD	-4,743.75	
05JUL17	S 1 PD05OCT17	1927.00	2114.25	USD	-4,681.25	
05JUL17	S 1 PD05OCT17	1931.50	2114.25	USD	-4,568.75	
05JUL17	B 1 PD05OCT17	1931.50	2114.25	USD	4,568.75	
	NET 0 AVG BGHT 1925.33 SOLD 1927.67				**175.00**	**0.00**
06JUL17	B 1 PD06OCT17	1936.00	2114.58	USD	4,464.50	
06JUL17	B 1 PD06OCT17	1935.50	2114.58	USD	4,477.00	
06JUL17	B 2 PD06OCT17	1940.00	2114.58	USD	8,729.00	
07JUL17	S 1 PD06OCT17	1944.50	2114.58	USD	-4,252.00	
07JUL17	B 3 PD06OCT17	1938.00	2114.58	USD	13,243.50	
07JUL17	B 1 PD06OCT17	1937.50	2114.58	USD	4,427.00	
07JUL17	B 1 PD06OCT17	1936.00	2114.58	USD	4,464.50	
07JUL17	B 1 PD06OCT17	1933.00	2114.58	USD	4,539.50	
07JUL17	S 1 PD06OCT17	1934.50	2114.58	USD	-4,502.00	
19JUL17	S 6 PD06OCT17	1917.37	2114.58	USD	-29,581.50	
19JUL17	S 2 PD06OCT17	1917.57	2114.58	USD	-9,850.50	
	NET 0 AVG BGHT 1937.20 SOLD 1921.84				**-3,841.00**	**0.00**
10JUL17	S 1 PD10OCT17	1927.50	2115.92	USD	-4,710.50	
10JUL17	S 1 PD10OCT17	1928.00	2115.92	USD	-4,698.00	
10JUL17	B 1 PD10OCT17	1924.00	2115.92	USD	4,798.00	
10JUL17	B 1 PD10OCT17	1923.50	2115.92	USD	4,810.50	
10JUL17	B 2 PD10OCT17	1922.00	2115.92	USD	9,696.00	
10JUL17	B 1 PD10OCT17	1921.00	2115.92	USD	4,873.00	
10JUL17	S 1 PD10OCT17	1924.50	2115.92	USD	-4,785.50	
10JUL17	B 1 PD10OCT17	1914.50	2115.92	USD	5,035.50	
10JUL17	B 1 PD10OCT17	1916.00	2115.92	USD	4,998.00	
10JUL17	B 1 PD10OCT17	1905.50	2115.92	USD	5,260.50	
10JUL17	B 1 PD10OCT17	1906.50	2115.92	USD	5,235.50	
10JUL17	B 2 PD10OCT17	1906.00	2115.92	USD	10,496.00	
10JUL17	B 3 PD10OCT17	1905.00	2115.92	USD	15,819.00	
10JUL17	B 2 PD10OCT17	1904.00	2115.92	USD	10,596.00	
10JUL17	B 3 PD10OCT17	1902.00	2115.92	USD	16,044.00	
10JUL17	S 1 PD10OCT17	1903.00	2115.92	USD	-5,323.00	
10JUL17	B 3 PD10OCT17	1904.50	2115.92	USD	15,856.50	
10JUL17	B 1 PD10OCT17	1903.50	2115.92	USD	5,310.50	
10JUL17	B 1 PD10OCT17	1898.50	2115.92	USD	5,435.50	
10JUL17	S 1 PD10OCT17	1895.50	2115.92	USD	-5,510.50	
10JUL17	S 1 PD10OCT17	1896.00	2115.92	USD	-5,498.00	
10JUL17	S 1 PD10OCT17	1897.50	2115.92	USD	-5,460.50	
10JUL17	B 1 PD10OCT17	1901.00	2115.92	USD	5,373.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083XA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
10JUL17	S 1 PD10OCT17	1895.50	2115.92	USD	-5,510.50	
19JUL17	S 17 PD10OCT17	1918.46	2115.92	USD	-83,920.50	
	NET 0 AVG BGHT 1908.50 SOLD 1915.25				**4,220.50**	**0.00**
11JUL17	S 1 PD11OCT17	1897.00	2116.25	USD	-5,481.25	
11JUL17	S 2 PD11OCT17	1904.00	2116.25	USD	-10,612.50	
11JUL17	S 4 PD11OCT17	1903.50	2116.25	USD	-21,275.00	
11JUL17	S 1 PD11OCT17	1902.50	2116.25	USD	-5,343.75	
11JUL17	S 2 PD11OCT17	1900.50	2116.25	USD	-10,787.50	
11JUL17	S 1 PD11OCT17	1898.50	2116.25	USD	-5,443.75	
11JUL17	S 1 PD11OCT17	1898.00	2116.25	USD	-5,456.25	
11JUL17	S 2 PD11OCT17	1900.00	2116.25	USD	-10,812.50	
19JUL17	B 14 PD11OCT17	1918.88	2116.25	USD	69,079.50	
	NET 0 AVG BGHT 1918.88 SOLD 1901.36				**-6,133.00**	**0.00**
12JUL17	B 3 PD12OCT17	1898.00	2116.25	USD	16,368.75	
12JUL17	B 1 PD12OCT17	1897.00	2116.25	USD	5,481.25	
12JUL17	B 2 PD12OCT17	1896.50	2116.25	USD	10,987.50	
12JUL17	S 1 PD12OCT17	1896.00	2116.25	USD	-5,506.25	
12JUL17	B 1 PD12OCT17	1893.50	2116.25	USD	5,568.75	
12JUL17	S 3 PD12OCT17	1896.50	2116.25	USD	-16,481.25	
12JUL17	S 1 PD12OCT17	1897.50	2116.25	USD	-5,468.75	
12JUL17	B 1 PD12OCT17	1888.50	2116.25	USD	5,693.75	
12JUL17	B 1 PD12OCT17	1888.00	2116.25	USD	5,706.25	
12JUL17	B 2 PD12OCT17	1886.50	2116.25	USD	11,487.50	
12JUL17	B 1 PD12OCT17	1886.00	2116.25	USD	5,756.25	
12JUL17	B 1 PD12OCT17	1884.00	2116.25	USD	5,806.25	
12JUL17	B 1 PD12OCT17	1883.50	2116.25	USD	5,818.75	
12JUL17	S 2 PD12OCT17	1886.50	2116.25	USD	-11,487.50	
12JUL17	S 1 PD12OCT17	1887.50	2116.25	USD	-5,718.75	
12JUL17	S 2 PD12OCT17	1889.00	2116.25	USD	-11,362.50	
19JUL17	S 4 PD12OCT17	1918.91	2116.25	USD	-19,734.00	
	NET 0 AVG BGHT 1891.46 SOLD 1899.80				**2,916.00**	**0.00**
13JUL17	S 1 PD13OCT17	1898.00	2116.25	USD	-5,456.25	
13JUL17	S 6 PD13OCT17	1898.50	2116.25	USD	-32,662.50	
13JUL17	S 1 PD13OCT17	1897.00	2116.25	USD	-5,481.25	
13JUL17	S 4 PD13OCT17	1899.00	2116.25	USD	-21,725.00	
13JUL17	S 1 PD13OCT17	1902.50	2116.25	USD	-5,343.75	
13JUL17	S 4 PD13OCT17	1909.00	2116.25	USD	-20,725.00	
13JUL17	S 1 PD13OCT17	1912.50	2116.25	USD	-5,093.75	
13JUL17	S 1 PD13OCT17	1917.00	2116.25	USD	-4,981.25	
13JUL17	S 1 PD13OCT17	1914.00	2116.25	USD	-5,056.25	
13JUL17	S 1 PD13OCT17	1914.50	2116.25	USD	-5,043.75	
13JUL17	S 2 PD13OCT17	1916.50	2116.25	USD	-9,987.50	
13JUL17	S 3 PD13OCT17	1921.00	2116.25	USD	-14,643.75	
13JUL17	S 1 PD13OCT17	1919.00	2116.25	USD	-4,931.25	
13JUL17	S 1 PD13OCT17	1921.50	2116.25	USD	-4,868.75	
13JUL17	S 1 PD13OCT17	1922.50	2116.25	USD	-4,843.75	
13JUL17	S 1 PD13OCT17	1935.50	2116.25	USD	-4,518.75	
13JUL17	B 1 PD13OCT17	1930.50	2116.25	USD	4,643.75	
13JUL17	B 1 PD13OCT17	1930.00	2116.25	USD	4,656.25	
13JUL17	B 2 PD13OCT17	1928.50	2116.25	USD	9,387.50	
13JUL17	S 1 PD13OCT17	1931.00	2116.25	USD	-4,631.25	
13JUL17	B 1 PD13OCT17	1923.00	2116.25	USD	4,831.25	
13JUL17	B 3 PD13OCT17	1925.00	2116.25	USD	14,343.75	
13JUL17	S 1 PD13OCT17	1926.00	2116.25	USD	-4,756.25	
13JUL17	S 1 PD13OCT17	1930.00	2116.25	USD	-4,656.25	
13JUL17	S 1 PD13OCT17	1932.50	2116.25	USD	-4,593.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083XA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
13JUL17	S 2 PD13OCT17	1933.00	2116.25	USD	-9,162.50	
13JUL17	S 2 PD13OCT17	1932.00	2116.25	USD	-9,212.50	
13JUL17	B 1 PD13OCT17	1924.50	2116.25	USD	4,793.75	
13JUL17	S 1 PD13OCT17	1934.50	2116.25	USD	-4,543.75	
13JUL17	B 1 PD13OCT17	1927.00	2116.25	USD	4,731.25	
13JUL17	B 5 PD13OCT17	1922.50	2116.25	USD	24,218.75	
13JUL17	B 1 PD13OCT17	1922.00	2116.25	USD	4,856.25	
13JUL17	B 1 PD13OCT17	1921.00	2116.25	USD	4,881.25	
13JUL17	B 1 PD13OCT17	1917.50	2116.25	USD	4,968.75	
13JUL17	B 1 PD13OCT17	1920.50	2116.25	USD	4,893.75	
13JUL17	B 4 PD13OCT17	1923.50	2116.25	USD	19,275.00	
14JUL17	S 1 PD13OCT17	1924.50	2116.25	USD	-4,793.75	
14JUL17	B 1 PD13OCT17	1928.00	2116.25	USD	4,706.25	
14JUL17	S 1 PD13OCT17	1931.00	2116.25	USD	-4,631.25	
14JUL17	B 1 PD13OCT17	1923.50	2116.25	USD	4,818.75	
14JUL17	S 1 PD13OCT17	1926.00	2116.25	USD	-4,756.25	
14JUL17	S 2 PD13OCT17	1925.00	2116.25	USD	-9,562.50	
14JUL17	S 1 PD13OCT17	1928.50	2116.25	USD	-4,693.75	
14JUL17	B 2 PD13OCT17	1921.50	2116.25	USD	9,737.50	
14JUL17	B 1 PD13OCT17	1923.00	2116.25	USD	4,831.25	
14JUL17	B 5 PD13OCT17	1920.50	2116.25	USD	24,468.75	
14JUL17	S 2 PD13OCT17	1929.00	2116.25	USD	-9,362.50	
14JUL17	B 4 PD13OCT17	1922.50	2116.25	USD	19,375.00	
14JUL17	B 1 PD13OCT17	1921.00	2116.25	USD	4,881.25	
19JUL17	B 9 PD13OCT17	1919.33	2116.25	USD	44,307.00	
	NET 0 AVG BGHT 1922.54 SOLD 1916.49				**-7,111.75**	**0.00**
17JUL17	S 1 PD17OCT17	1933.50	2116.25	USD	-4,568.75	
17JUL17	B 1 PD17OCT17	1936.00	2116.25	USD	4,506.25	
17JUL17	B 1 PD17OCT17	1933.50	2116.25	USD	4,568.75	
17JUL17	S 1 PD17OCT17	1935.50	2116.25	USD	-4,518.75	
17JUL17	S 5 PD17OCT17	1934.00	2116.25	USD	-22,781.25	
17JUL17	S 1 PD17OCT17	1937.50	2116.25	USD	-4,468.75	
17JUL17	S 2 PD17OCT17	1939.50	2116.25	USD	-8,837.50	
17JUL17	B 1 PD17OCT17	1933.00	2116.25	USD	4,581.25	
17JUL17	B 1 PD17OCT17	1926.00	2116.25	USD	4,756.25	
17JUL17	S 1 PD17OCT17	1939.50	2116.25	USD	-4,418.75	
17JUL17	B 1 PD17OCT17	1924.00	2116.25	USD	4,806.25	
17JUL17	B 1 PD17OCT17	1923.00	2116.25	USD	4,831.25	
17JUL17	B 7 PD17OCT17	1925.00	2116.25	USD	33,468.75	
17JUL17	B 3 PD17OCT17	1924.50	2116.25	USD	14,381.25	
17JUL17	S 1 PD17OCT17	1926.00	2116.25	USD	-4,756.25	
17JUL17	S 1 PD17OCT17	1927.00	2116.25	USD	-4,731.25	
17JUL17	B 2 PD17OCT17	1927.00	2116.25	USD	9,462.50	
17JUL17	B 5 PD17OCT17	1923.50	2116.25	USD	24,093.75	
17JUL17	B 1 PD17OCT17	1912.00	2116.25	USD	5,106.25	
17JUL17	B 1 PD17OCT17	1911.00	2116.25	USD	5,131.25	
17JUL17	S 1 PD17OCT17	1904.00	2116.25	USD	-5,306.25	
17JUL17	S 1 PD17OCT17	1906.50	2116.25	USD	-5,243.75	
17JUL17	S 2 PD17OCT17	1915.00	2116.25	USD	-10,062.50	
17JUL17	S 1 PD17OCT17	1913.00	2116.25	USD	-5,081.25	
17JUL17	S 2 PD17OCT17	1914.00	2116.25	USD	-10,112.50	
17JUL17	S 1 PD17OCT17	1913.50	2116.25	USD	-5,068.75	
17JUL17	S 1 PD17OCT17	1912.50	2116.25	USD	-5,093.75	
19JUL17	S 3 PD17OCT17	1919.83	2116.25	USD	-14,731.50	
	NET 0 AVG BGHT 1924.74 SOLD 1924.60				**-87.75**	**0.00**
18JUL17	B 1 PD18OCT17	1907.00	2116.25	USD	5,231.25	
18JUL17	S 1 PD18OCT17	1905.50	2116.25	USD	-5,268.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083XA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
18JUL17	S 1 PD18OCT17	1908.50	2116.25	USD	-5,193.75	
18JUL17	B 1 PD18OCT17	1903.00	2116.25	USD	5,331.25	
18JUL17	S 2 PD18OCT17	1914.00	2116.25	USD	-10,112.50	
18JUL17	S 1 PD18OCT17	1918.00	2116.25	USD	-4,956.25	
18JUL17	S 1 PD18OCT17	1920.50	2116.25	USD	-4,893.75	
18JUL17	S 2 PD18OCT17	1923.00	2116.25	USD	-9,662.50	
18JUL17	S 2 PD18OCT17	1924.00	2116.25	USD	-9,612.50	
18JUL17	S 1 PD18OCT17	1915.50	2116.25	USD	-5,018.75	
18JUL17	S 1 PD18OCT17	1924.50	2116.25	USD	-4,793.75	
18JUL17	S 1 PD18OCT17	1931.50	2116.25	USD	-4,618.75	
18JUL17	S 2 PD18OCT17	1930.00	2116.25	USD	-9,312.50	
18JUL17	S 3 PD18OCT17	1931.00	2116.25	USD	-13,893.75	
05SEP17	B 16 PD18OCT17	2086.25	2116.25	USD	12,000.00	
	NET 0 AVG BGHT 2066.11 SOLD 1922.17				**-64,775.00**	**0.00**
19JUL17	B 3 PD19OCT17	1926.00	2116.64	USD	14,298.00	
19JUL17	S 1 PD19OCT17	1927.50	2116.64	USD	-4,728.50	
19JUL17	S 1 PD19OCT17	1931.50	2116.64	USD	-4,628.50	
19JUL17	S 2 PD19OCT17	1931.00	2116.64	USD	-9,282.00	
19JUL17	S 1 PD19OCT17	1935.00	2116.64	USD	-4,541.00	
19JUL17	S 3 PD19OCT17	1934.50	2116.64	USD	-13,660.50	
19JUL17	S 1 PD19OCT17	1936.00	2116.64	USD	-4,516.00	
19JUL17	S 1 PD19OCT17	1935.50	2116.64	USD	-4,528.50	
19JUL17	S 1 PD19OCT17	1937.50	2116.64	USD	-4,478.50	
19JUL17	B 1 PD19OCT17	1931.00	2116.64	USD	4,641.00	
19JUL17	B 1 PD19OCT17	1931.50	2116.64	USD	4,628.50	
19JUL17	B 1 PD19OCT17	1930.00	2116.64	USD	4,666.00	
19JUL17	B 2 PD19OCT17	1936.50	2116.64	USD	9,007.00	
19JUL17	B 1 PD19OCT17	1937.00	2116.64	USD	4,491.00	
19JUL17	B 4 PD19OCT17	1936.00	2116.64	USD	18,064.00	
19JUL17	B 1 PD19OCT17	1932.00	2116.64	USD	4,616.00	
19JUL17	S 1 PD19OCT17	1932.00	2116.64	USD	-4,616.00	
19JUL17	S 1 PD19OCT17	1934.00	2116.64	USD	-4,566.00	
19JUL17	S 1 PD19OCT17	1937.00	2116.64	USD	-4,491.00	
19JUL17	B 1 PD19OCT17	1937.50	2116.64	USD	4,478.50	
19JUL17	B 2 PD19OCT17	1935.50	2116.64	USD	9,057.00	
19JUL17	B 3 PD19OCT17	1934.00	2116.64	USD	13,698.00	
19JUL17	B 3 PD19OCT17	1932.50	2116.64	USD	13,810.50	
19JUL17	B 3 PD19OCT17	1933.50	2116.64	USD	13,735.50	
19JUL17	B 2 PD19OCT17	1929.00	2116.64	USD	9,382.00	
19JUL17	B 1 PD19OCT17	1928.00	2116.64	USD	4,716.00	
19JUL17	S 3 PD19OCT17	1928.50	2116.64	USD	-14,110.50	
19JUL17	B 1 PD19OCT17	1924.50	2116.64	USD	4,803.50	
19JUL17	B 1 PD19OCT17	1922.50	2116.64	USD	4,853.50	
19JUL17	B 1 PD19OCT17	1922.00	2116.64	USD	4,866.00	
19JUL17	S 1 PD19OCT17	1924.00	2116.64	USD	-4,816.00	
05SEP17	S 14 PD19OCT17	2085.80	2116.64	USD	-10,794.00	
	NET 0 AVG BGHT 1931.88 SOLD 1999.44				**54,055.00**	**0.00**
20JUL17	S 3 PD20OCT17	1927.00	2117.03	USD	-14,252.25	
20JUL17	B 1 PD20OCT17	1928.00	2117.03	USD	4,725.75	
20JUL17	B 1 PD20OCT17	1925.00	2117.03	USD	4,800.75	
20JUL17	B 2 PD20OCT17	1924.50	2117.03	USD	9,626.50	
20JUL17	S 2 PD20OCT17	1927.50	2117.03	USD	-9,476.50	
20JUL17	S 1 PD20OCT17	1928.00	2117.03	USD	-4,725.75	
20JUL17	S 1 PD20OCT17	1928.50	2117.03	USD	-4,713.25	
20JUL17	B 1 PD20OCT17	1924.00	2117.03	USD	4,825.75	
20JUL17	B 1 PD20OCT17	1923.00	2117.03	USD	4,850.75	
20JUL17	B 2 PD20OCT17	1921.00	2117.03	USD	9,801.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083XA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
20JUL17	B 1 PD20OCT17	1918.50	2117.03	USD	4,963.25	
21JUL17	S 1 PD20OCT17	1931.00	2117.03	USD	-4,650.75	
21JUL17	S 1 PD20OCT17	1932.50	2117.03	USD	-4,613.25	
21JUL17	B 1 PD20OCT17	1926.00	2117.03	USD	4,775.75	
21JUL17	B 1 PD20OCT17	1925.50	2117.03	USD	4,788.25	
05SEP17	S 2 PD20OCT17	2086.06	2117.03	USD	-1,548.50	
	NET 0 AVG BGHT 1923.73 SOLD 1957.10				**9,178.00**	**0.00**
24JUL17	B 1 PD24OCT17	1921.50	2118.58	USD	4,927.00	
24JUL17	B 1 PD24OCT17	1921.00	2118.58	USD	4,939.50	
24JUL17	S 1 PD24OCT17	1923.00	2118.58	USD	-4,889.50	
24JUL17	S 1 PD24OCT17	1923.50	2118.58	USD	-4,877.00	
24JUL17	S 1 PD24OCT17	1922.00	2118.58	USD	-4,914.50	
24JUL17	B 1 PD24OCT17	1914.50	2118.58	USD	5,102.00	
	NET 0 AVG BGHT 1919.00 SOLD 1922.83				**287.50**	**0.00**
25JUL17	S 1 PD25OCT17	1924.00	2118.97	USD	-4,874.25	
25JUL17	B 1 PD25OCT17	1919.50	2118.97	USD	4,986.75	
25JUL17	S 1 PD25OCT17	1943.50	2118.97	USD	-4,386.75	
25JUL17	S 1 PD25OCT17	1948.00	2118.97	USD	-4,274.25	
25JUL17	B 1 PD25OCT17	1940.00	2118.97	USD	4,474.25	
25JUL17	S 4 PD25OCT17	1939.50	2118.97	USD	-17,947.00	
25JUL17	B 1 PD25OCT17	1939.50	2118.97	USD	4,486.75	
05SEP17	B 4 PD25OCT17	2087.56	2118.97	USD	3,141.00	
	NET 0 AVG BGHT 2021.32 SOLD 1939.07				**-14,393.50**	**0.00**
26JUL17	S 2 PD26OCT17	1957.50	2119.36	USD	-8,093.00	
26JUL17	S 2 PD26OCT17	1958.00	2119.36	USD	-8,068.00	
26JUL17	B 3 PD26OCT17	1945.00	2119.36	USD	13,077.00	
26JUL17	B 3 PD26OCT17	1940.50	2119.36	USD	13,414.50	
26JUL17	S 1 PD26OCT17	1956.00	2119.36	USD	-4,084.00	
26JUL17	B 1 PD26OCT17	1956.50	2119.36	USD	4,071.50	
26JUL17	B 1 PD26OCT17	1939.50	2119.36	USD	4,496.50	
26JUL17	B 1 PD26OCT17	1939.00	2119.36	USD	4,509.00	
05SEP17	S 4 PD26OCT17	2087.61	2119.36	USD	-3,175.00	
	NET 0 AVG BGHT 1943.50 SOLD 2015.27				**16,148.50**	**0.00**
27JUL17	S 1 PD27OCT17	1944.50	2119.75	USD	-4,381.25	
27JUL17	S 1 PD27OCT17	1949.00	2119.75	USD	-4,268.75	
27JUL17	S 1 PD27OCT17	1951.00	2119.75	USD	-4,218.75	
27JUL17	B 1 PD27OCT17	1946.50	2119.75	USD	4,331.25	
27JUL17	B 2 PD27OCT17	1943.00	2119.75	USD	8,837.50	
28JUL17	B 1 PD27OCT17	1926.00	2119.75	USD	4,843.75	
28JUL17	S 1 PD27OCT17	1932.50	2119.75	USD	-4,681.25	
28JUL17	B 1 PD27OCT17	1927.00	2119.75	USD	4,818.75	
28JUL17	S 2 PD27OCT17	1931.00	2119.75	USD	-9,437.50	
28JUL17	S 1 PD27OCT17	1931.50	2119.75	USD	-4,706.25	
28JUL17	B 1 PD27OCT17	1925.00	2119.75	USD	4,868.75	
28JUL17	B 2 PD27OCT17	1922.00	2119.75	USD	9,887.50	
28JUL17	B 1 PD27OCT17	1923.00	2119.75	USD	4,918.75	
28JUL17	B 1 PD27OCT17	1917.00	2119.75	USD	5,068.75	
28JUL17	B 1 PD27OCT17	1914.50	2119.75	USD	5,131.25	
28JUL17	B 1 PD27OCT17	1910.50	2119.75	USD	5,231.25	
28JUL17	S 1 PD27OCT17	1918.50	2119.75	USD	-5,031.25	
28JUL17	S 1 PD27OCT17	1920.00	2119.75	USD	-4,993.75	
28JUL17	S 1 PD27OCT17	1921.50	2119.75	USD	-4,956.25	
28JUL17	S 1 PD27OCT17	1921.00	2119.75	USD	-4,968.75	
28JUL17	S 1 PD27OCT17	1922.00	2119.75	USD	-4,943.75	
28JUL17	B 1 PD27OCT17	1911.00	2119.75	USD	5,218.75	
28JUL17	S 1 PD27OCT17	1909.00	2119.75	USD	-5,268.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083XA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.							
	NET	**0 AVG BGHT 1925.42**	**SOLD 1929.42**				**1,300.00**	**0.00**
31JUL17	B	2 PD31OCT17		1921.50	2117.75	USD	9,812.50	
05SEP17	S	2 PD31OCT17		2089.11	2117.75	USD	-1,432.00	
	NET	**0 AVG BGHT 1921.50**	**SOLD 2089.11**				**8,380.50**	**0.00**
01AUG17	B	2 PD01NOV17		1915.00	2117.42	USD	10,121.00	
01AUG17	B	1 PD01NOV17		1916.00	2117.42	USD	5,035.50	
01AUG17	B	2 PD01NOV17		1916.50	2117.42	USD	10,046.00	
01AUG17	B	1 PD01NOV17		1908.50	2117.42	USD	5,223.00	
01AUG17	B	1 PD01NOV17		1910.00	2117.42	USD	5,185.50	
01AUG17	S	1 PD01NOV17		1914.50	2117.42	USD	-5,073.00	
	NET	**+6 AVG BGHT 1913.93**	**SOLD 1914.50**				**30,538.00**	**0.00**
02AUG17	S	1 PD02NOV17		1915.00	2117.08	USD	-5,052.00	
02AUG17	S	1 PD02NOV17		1916.50	2117.08	USD	-5,014.50	
02AUG17	S	1 PD02NOV17		1917.00	2117.08	USD	-5,002.00	
02AUG17	S	1 PD02NOV17		1928.00	2117.08	USD	-4,727.00	
02AUG17	S	4 PD02NOV17		1931.00	2117.08	USD	-18,608.00	
02AUG17	S	1 PD02NOV17		1932.00	2117.08	USD	-4,627.00	
02AUG17	S	2 PD02NOV17		1931.50	2117.08	USD	-9,279.00	
02AUG17	S	3 PD02NOV17		1933.50	2117.08	USD	-13,768.50	
02AUG17	S	2 PD02NOV17		1934.50	2117.08	USD	-9,129.00	
02AUG17	S	4 PD02NOV17		1934.00	2117.08	USD	-18,308.00	
02AUG17	S	1 PD02NOV17		1933.00	2117.08	USD	-4,602.00	
02AUG17	B	2 PD02NOV17		1923.50	2117.08	USD	9,679.00	
02AUG17	B	5 PD02NOV17		1923.00	2117.08	USD	24,260.00	
02AUG17	B	2 PD02NOV17		1920.00	2117.08	USD	9,854.00	
02AUG17	B	1 PD02NOV17		1928.00	2117.08	USD	4,727.00	
02AUG17	B	1 PD02NOV17		1932.00	2117.08	USD	4,627.00	
02AUG17	B	1 PD02NOV17		1930.00	2117.08	USD	4,677.00	
02AUG17	B	1 PD02NOV17		1927.50	2117.08	USD	4,739.50	
02AUG17	B	2 PD02NOV17		1924.50	2117.08	USD	9,629.00	
02AUG17	B	3 PD02NOV17		1922.00	2117.08	USD	14,631.00	
02AUG17	B	3 PD02NOV17		1921.00	2117.08	USD	14,706.00	
02AUG17	B	2 PD02NOV17		1925.00	2117.08	USD	9,604.00	
02AUG17	S	1 PD02NOV17		1918.50	2117.08	USD	-4,964.50	
02AUG17	S	1 PD02NOV17		1930.50	2117.08	USD	-4,664.50	
02AUG17	B	1 PD02NOV17		1925.50	2117.08	USD	4,789.50	
02AUG17	S	2 PD02NOV17		1929.00	2117.08	USD	-9,404.00	
02AUG17	S	1 PD02NOV17		1929.50	2117.08	USD	-4,689.50	
02AUG17	S	1 PD02NOV17		1929.00	2117.08	USD	-4,702.00	
02AUG17	B	3 PD02NOV17		1920.50	2117.08	USD	14,743.50	
02AUG17	B	2 PD02NOV17		1921.50	2117.08	USD	9,779.00	
02AUG17	S	2 PD02NOV17		1926.50	2117.08	USD	-9,529.00	
02AUG17	S	3 PD02NOV17		1926.00	2117.08	USD	-14,331.00	
05SEP17	B	1 PD02NOV17		2089.63	2117.08	USD	686.25	
05SEP17	B	2 PD02NOV17		2089.83	2117.08	USD	1,362.50	
	NET	**0 AVG BGHT 1938.96**	**SOLD 1929.08**				**-7,907.25**	**0.00**
03AUG17	B	5 PD03NOV17		1925.50	2116.75	USD	23,906.25	
03AUG17	S	2 PD03NOV17		1923.00	2116.75	USD	-9,687.50	
03AUG17	S	1 PD03NOV17		1922.50	2116.75	USD	-4,856.25	
03AUG17	B	1 PD03NOV17		1916.00	2116.75	USD	5,018.75	
03AUG17	B	1 PD03NOV17		1915.50	2116.75	USD	5,031.25	
03AUG17	B	2 PD03NOV17		1915.00	2116.75	USD	10,087.50	
03AUG17	S	1 PD03NOV17		1918.50	2116.75	USD	-4,956.25	
03AUG17	S	2 PD03NOV17		1917.50	2116.75	USD	-9,962.50	
03AUG17	S	3 PD03NOV17		1920.00	2116.75	USD	-14,756.25	
03AUG17	S	1 PD03NOV17		1920.50	2116.75	USD	-4,906.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083XA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
03AUG17	S 2 PD03NOV17	1919.00	2116.75	USD	-9,887.50	
04AUG17	B 1 PD03NOV17	1913.50	2116.75	USD	5,081.25	
04AUG17	B 1 PD03NOV17	1914.50	2116.75	USD	5,056.25	
04AUG17	B 1 PD03NOV17	1915.00	2116.75	USD	5,043.75	
04AUG17	B 1 PD03NOV17	1914.00	2116.75	USD	5,068.75	
04AUG17	B 2 PD03NOV17	1913.00	2116.75	USD	10,187.50	
04AUG17	B 1 PD03NOV17	1906.50	2116.75	USD	5,256.25	
04AUG17	B 4 PD03NOV17	1906.00	2116.75	USD	21,075.00	
04AUG17	B 1 PD03NOV17	1905.00	2116.75	USD	5,293.75	
04AUG17	S 2 PD03NOV17	1911.50	2116.75	USD	-10,262.50	
04AUG17	B 1 PD03NOV17	1911.50	2116.75	USD	5,131.25	
04AUG17	B 4 PD03NOV17	1911.00	2116.75	USD	20,575.00	
04AUG17	B 3 PD03NOV17	1907.50	2116.75	USD	15,693.75	
04AUG17	B 2 PD03NOV17	1907.00	2116.75	USD	10,487.50	
04AUG17	S 1 PD03NOV17	1911.00	2116.75	USD	-5,143.75	
04AUG17	S 2 PD03NOV17	1912.00	2116.75	USD	-10,237.50	
04AUG17	S 1 PD03NOV17	1912.50	2116.75	USD	-5,106.25	
04AUG17	S 1 PD03NOV17	1908.00	2116.75	USD	-5,218.75	
04AUG17	S 1 PD03NOV17	1909.50	2116.75	USD	-5,181.25	
04AUG17	S 4 PD03NOV17	1910.50	2116.75	USD	-20,625.00	
	NET +7 AVG BGHT 1912.89 SOLD 1915.44				**37,206.25**	**0.00**
07AUG17	S 3 PD07NOV17	1923.00	2116.08	USD	-14,481.00	
07AUG17	S 5 PD07NOV17	1922.00	2116.08	USD	-24,260.00	
07AUG17	S 2 PD07NOV17	1931.00	2116.08	USD	-9,254.00	
07AUG17	S 3 PD07NOV17	1929.50	2116.08	USD	-13,993.50	
07AUG17	S 2 PD07NOV17	1928.50	2116.08	USD	-9,379.00	
07AUG17	B 1 PD07NOV17	1923.50	2116.08	USD	4,814.50	
07AUG17	B 4 PD07NOV17	1924.50	2116.08	USD	19,158.00	
07AUG17	S 2 PD07NOV17	1928.00	2116.08	USD	-9,404.00	
07AUG17	S 1 PD07NOV17	1929.00	2116.08	USD	-4,677.00	
07AUG17	S 2 PD07NOV17	1927.00	2116.08	USD	-9,454.00	
07AUG17	S 1 PD07NOV17	1933.00	2116.08	USD	-4,577.00	
07AUG17	S 2 PD07NOV17	1934.50	2116.08	USD	-9,079.00	
07AUG17	S 1 PD07NOV17	1935.50	2116.08	USD	-4,514.50	
07AUG17	S 2 PD07NOV17	1937.00	2116.08	USD	-8,954.00	
07AUG17	S 1 PD07NOV17	1929.00	2116.08	USD	-4,677.00	
07AUG17	B 1 PD07NOV17	1922.50	2116.08	USD	4,839.50	
07AUG17	S 1 PD07NOV17	1937.50	2116.08	USD	-4,464.50	
07AUG17	S 3 PD07NOV17	1942.00	2116.08	USD	-13,056.00	
07AUG17	S 1 PD07NOV17	1942.50	2116.08	USD	-4,339.50	
07AUG17	S 1 PD07NOV17	1944.50	2116.08	USD	-4,289.50	
07AUG17	S 2 PD07NOV17	1945.50	2116.08	USD	-8,529.00	
07AUG17	S 1 PD07NOV17	1951.50	2116.08	USD	-4,114.50	
07AUG17	B 1 PD07NOV17	1953.50	2116.08	USD	4,064.50	
07AUG17	B 1 PD07NOV17	1951.00	2116.08	USD	4,127.00	
07AUG17	S 1 PD07NOV17	1954.00	2116.08	USD	-4,052.00	
07AUG17	S 1 PD07NOV17	1956.00	2116.08	USD	-4,002.00	
07AUG17	S 1 PD07NOV17	1961.00	2116.08	USD	-3,877.00	
07AUG17	S 1 PD07NOV17	1966.00	2116.08	USD	-3,752.00	
07AUG17	S 1 PD07NOV17	1967.00	2116.08	USD	-3,727.00	
07AUG17	S 1 PD07NOV17	1970.50	2116.08	USD	-3,639.50	
07AUG17	B 2 PD07NOV17	1975.00	2116.08	USD	7,054.00	
05SEP17	B 32 PD07NOV17	2090.93	2116.08	USD	20,120.00	
	NET 0 AVG BGHT 2054.96 SOLD 1936.51				**-124,369.00**	**0.00**
08AUG17	S 1 PD08NOV17	1976.00	2115.75	USD	-3,493.75	
08AUG17	S 1 PD08NOV17	1974.50	2115.75	USD	-3,531.25	
08AUG17	B 1 PD08NOV17	1976.00	2115.75	USD	3,493.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083XA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
08AUG17	B 1 PD08NOV17	1978.00	2115.75	USD	3,443.75	
08AUG17	B 1 PD08NOV17	1980.50	2115.75	USD	3,381.25	
08AUG17	B 1 PD08NOV17	1977.00	2115.75	USD	3,468.75	
08AUG17	S 1 PD08NOV17	1983.00	2115.75	USD	-3,318.75	
08AUG17	S 1 PD08NOV17	1983.50	2115.75	USD	-3,306.25	
08AUG17	B 1 PD08NOV17	1975.50	2115.75	USD	3,506.25	
08AUG17	B 1 PD08NOV17	1972.50	2115.75	USD	3,581.25	
08AUG17	B 5 PD08NOV17	1973.00	2115.75	USD	17,843.75	
08AUG17	B 1 PD08NOV17	1974.00	2115.75	USD	3,543.75	
08AUG17	S 1 PD08NOV17	1984.50	2115.75	USD	-3,281.25	
08AUG17	S 1 PD08NOV17	1985.00	2115.75	USD	-3,268.75	
08AUG17	S 1 PD08NOV17	1985.50	2115.75	USD	-3,256.25	
08AUG17	S 1 PD08NOV17	1988.50	2115.75	USD	-3,181.25	
08AUG17	S 2 PD08NOV17	1987.00	2115.75	USD	-6,437.50	
08AUG17	S 2 PD08NOV17	1992.00	2115.75	USD	-6,187.50	
08AUG17	S 1 PD08NOV17	1993.00	2115.75	USD	-3,068.75	
08AUG17	B 1 PD08NOV17	1992.00	2115.75	USD	3,093.75	
08AUG17	B 1 PD08NOV17	1999.00	2115.75	USD	2,918.75	
08AUG17	B 1 PD08NOV17	1990.00	2115.75	USD	3,143.75	
08AUG17	S 1 PD08NOV17	1993.50	2115.75	USD	-3,056.25	
08AUG17	S 1 PD08NOV17	1994.50	2115.75	USD	-3,031.25	
08AUG17	S 2 PD08NOV17	1996.50	2115.75	USD	-5,962.50	
08AUG17	B 2 PD08NOV17	1991.50	2115.75	USD	6,212.50	
08AUG17	S 1 PD08NOV17	1997.00	2115.75	USD	-2,968.75	
08AUG17	B 3 PD08NOV17	1999.00	2115.75	USD	8,756.25	
08AUG17	B 1 PD08NOV17	2001.50	2115.75	USD	2,856.25	
08AUG17	B 1 PD08NOV17	2009.00	2115.75	USD	2,668.75	
08AUG17	S 1 PD08NOV17	1993.50	2115.75	USD	-3,056.25	
08AUG17	S 1 PD08NOV17	2029.50	2115.75	USD	-2,156.25	
08AUG17	B 1 PD08NOV17	2027.00	2115.75	USD	2,218.75	
08AUG17	B 1 PD08NOV17	2026.50	2115.75	USD	2,231.25	
08AUG17	B 1 PD08NOV17	2029.00	2115.75	USD	2,168.75	
08AUG17	B 1 PD08NOV17	2028.00	2115.75	USD	2,193.75	
08AUG17	B 2 PD08NOV17	2028.50	2115.75	USD	4,362.50	
08AUG17	B 2 PD08NOV17	2027.50	2115.75	USD	4,412.50	
05SEP17	S 10 PD08NOV17	2090.99	2115.75	USD	-6,190.00	
	NET 0 AVG BGHT 1996.42 SOLD 2024.08				**20,747.50**	**0.00**
09AUG17	S 1 PD09NOV17	2032.50	2116.11	USD	-2,090.25	
09AUG17	B 1 PD09NOV17	2030.50	2116.11	USD	2,140.25	
09AUG17	S 1 PD09NOV17	2031.50	2116.11	USD	-2,115.25	
09AUG17	B 1 PD09NOV17	2040.00	2116.11	USD	1,902.75	
09AUG17	B 1 PD09NOV17	2039.00	2116.11	USD	1,927.75	
09AUG17	B 1 PD09NOV17	2039.50	2116.11	USD	1,915.25	
09AUG17	S 1 PD09NOV17	2037.00	2116.11	USD	-1,977.75	
09AUG17	B 2 PD09NOV17	2033.50	2116.11	USD	4,130.50	
09AUG17	B 1 PD09NOV17	2033.00	2116.11	USD	2,077.75	
09AUG17	S 2 PD09NOV17	2040.00	2116.11	USD	-3,805.50	
09AUG17	B 1 PD09NOV17	2034.00	2116.11	USD	2,052.75	
09AUG17	B 1 PD09NOV17	2029.00	2116.11	USD	2,177.75	
09AUG17	B 1 PD09NOV17	2021.50	2116.11	USD	2,365.25	
09AUG17	B 2 PD09NOV17	2020.50	2116.11	USD	4,780.50	
09AUG17	B 1 PD09NOV17	2015.00	2116.11	USD	2,527.75	
09AUG17	B 1 PD09NOV17	2016.00	2116.11	USD	2,502.75	
09AUG17	B 1 PD09NOV17	2021.00	2116.11	USD	2,377.75	
05SEP17	S 10 PD09NOV17	2091.24	2116.11	USD	-6,217.50	
	NET 0 AVG BGHT 2028.43 SOLD 2072.89				**16,672.50**	**0.00**
10AUG17	S 3 PD10NOV17	2030.00	2116.46	USD	-6,484.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083XA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
10AUG17	S 2 PD10NOV17	2029.50	2116.46	USD	-4,348.00	
10AUG17	B 1 PD10NOV17	2025.00	2116.46	USD	2,286.50	
10AUG17	B 1 PD10NOV17	2025.50	2116.46	USD	2,274.00	
10AUG17	B 1 PD10NOV17	2021.50	2116.46	USD	2,374.00	
10AUG17	S 1 PD10NOV17	2026.50	2116.46	USD	-2,249.00	
10AUG17	S 1 PD10NOV17	2028.50	2116.46	USD	-2,199.00	
10AUG17	S 1 PD10NOV17	2028.00	2116.46	USD	-2,211.50	
10AUG17	S 1 PD10NOV17	2031.00	2116.46	USD	-2,136.50	
10AUG17	S 1 PD10NOV17	2034.50	2116.46	USD	-2,049.00	
10AUG17	S 1 PD10NOV17	2037.50	2116.46	USD	-1,974.00	
10AUG17	S 3 PD10NOV17	2036.50	2116.46	USD	-5,997.00	
10AUG17	S 3 PD10NOV17	2037.00	2116.46	USD	-5,959.50	
10AUG17	S 1 PD10NOV17	2038.50	2116.46	USD	-1,949.00	
10AUG17	S 1 PD10NOV17	2039.00	2116.46	USD	-1,936.50	
10AUG17	S 1 PD10NOV17	2043.00	2116.46	USD	-1,836.50	
10AUG17	B 1 PD10NOV17	2029.50	2116.46	USD	2,174.00	
10AUG17	S 2 PD10NOV17	2034.00	2116.46	USD	-4,123.00	
10AUG17	B 2 PD10NOV17	2022.00	2116.46	USD	4,723.00	
10AUG17	B 1 PD10NOV17	2027.00	2116.46	USD	2,236.50	
10AUG17	B 2 PD10NOV17	2024.00	2116.46	USD	4,623.00	
10AUG17	B 1 PD10NOV17	2023.50	2116.46	USD	2,324.00	
10AUG17	B 4 PD10NOV17	2026.00	2116.46	USD	9,046.00	
10AUG17	B 1 PD10NOV17	2028.00	2116.46	USD	2,211.50	
10AUG17	B 1 PD10NOV17	2028.50	2116.46	USD	2,199.00	
10AUG17	B 1 PD10NOV17	2030.50	2116.46	USD	2,149.00	
10AUG17	B 1 PD10NOV17	2032.50	2116.46	USD	2,099.00	
10AUG17	B 1 PD10NOV17	2032.00	2116.46	USD	2,111.50	
10AUG17	S 2 PD10NOV17	2033.50	2116.46	USD	-4,148.00	
10AUG17	S 1 PD10NOV17	2030.50	2116.46	USD	-2,149.00	
10AUG17	S 2 PD10NOV17	2035.00	2116.46	USD	-4,073.00	
10AUG17	S 5 PD10NOV17	2039.50	2116.46	USD	-9,620.00	
10AUG17	B 1 PD10NOV17	2037.50	2116.46	USD	1,974.00	
10AUG17	B 1 PD10NOV17	2038.00	2116.46	USD	1,961.50	
10AUG17	B 2 PD10NOV17	2039.50	2116.46	USD	3,848.00	
10AUG17	B 2 PD10NOV17	2040.50	2116.46	USD	3,798.00	
10AUG17	B 1 PD10NOV17	2040.00	2116.46	USD	1,911.50	
10AUG17	B 1 PD10NOV17	2036.50	2116.46	USD	1,999.00	
10AUG17	B 2 PD10NOV17	2033.50	2116.46	USD	4,148.00	
11AUG17	S 1 PD10NOV17	2024.00	2116.46	USD	-2,311.50	
11AUG17	S 1 PD10NOV17	2025.50	2116.46	USD	-2,274.00	
11AUG17	S 1 PD10NOV17	2028.50	2116.46	USD	-2,199.00	
11AUG17	S 1 PD10NOV17	2030.50	2116.46	USD	-2,149.00	
11AUG17	S 1 PD10NOV17	2032.00	2116.46	USD	-2,111.50	
11AUG17	S 1 PD10NOV17	2036.50	2116.46	USD	-1,999.00	
11AUG17	S 1 PD10NOV17	2041.00	2116.46	USD	-1,886.50	
11AUG17	S 1 PD10NOV17	2039.50	2116.46	USD	-1,924.00	
11AUG17	S 1 PD10NOV17	2042.50	2116.46	USD	-1,849.00	
11AUG17	S 1 PD10NOV17	2045.00	2116.46	USD	-1,786.50	
11AUG17	S 1 PD10NOV17	2045.50	2116.46	USD	-1,774.00	
11AUG17	S 4 PD10NOV17	2042.00	2116.46	USD	-7,446.00	
11AUG17	S 1 PD10NOV17	2043.00	2116.46	USD	-1,836.50	
11AUG17	S 1 PD10NOV17	2044.50	2116.46	USD	-1,799.00	
11AUG17	S 1 PD10NOV17	2046.00	2116.46	USD	-1,761.50	
11AUG17	S 4 PD10NOV17	2041.50	2116.46	USD	-7,496.00	
11AUG17	S 3 PD10NOV17	2040.50	2116.46	USD	-5,697.00	
11AUG17	B 1 PD10NOV17	2042.50	2116.46	USD	1,849.00	
11AUG17	S 2 PD10NOV17	2043.50	2116.46	USD	-3,648.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083XA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
11AUG17	B 3 PD10NOV17	2048.00	2116.46	USD	5,134.50	
11AUG17	B 2 PD10NOV17	2047.00	2116.46	USD	3,473.00	
11AUG17	B 1 PD10NOV17	2046.50	2116.46	USD	1,749.00	
11AUG17	B 2 PD10NOV17	2045.50	2116.46	USD	3,548.00	
11AUG17	B 1 PD10NOV17	2045.00	2116.46	USD	1,786.50	
11AUG17	B 1 PD10NOV17	2044.00	2116.46	USD	1,811.50	
11AUG17	B 1 PD10NOV17	2043.50	2116.46	USD	1,824.00	
11AUG17	B 3 PD10NOV17	2043.50	2116.46	USD	5,472.00	
05SEP17	B 15 PD10NOV17	2091.70	2116.46	USD	9,285.00	
	NET 0 AVG BGHT 2049.75 SOLD 2036.87				**-18,987.50**	**0.00**
14AUG17	B 1 PD14NOV17	2035.00	2117.89	USD	2,072.25	
14AUG17	B 1 PD14NOV17	2033.50	2117.89	USD	2,109.75	
14AUG17	B 1 PD14NOV17	2035.50	2117.89	USD	2,059.75	
14AUG17	B 2 PD14NOV17	2032.50	2117.89	USD	4,269.50	
14AUG17	B 1 PD14NOV17	2027.50	2117.89	USD	2,259.75	
14AUG17	B 1 PD14NOV17	2030.00	2117.89	USD	2,197.25	
14AUG17	S 1 PD14NOV17	2034.50	2117.89	USD	-2,084.75	
14AUG17	B 1 PD14NOV17	2034.50	2117.89	USD	2,084.75	
14AUG17	B 1 PD14NOV17	2029.50	2117.89	USD	2,209.75	
14AUG17	B 1 PD14NOV17	2033.50	2117.89	USD	2,109.75	
14AUG17	B 2 PD14NOV17	2031.50	2117.89	USD	4,319.50	
14AUG17	B 1 PD14NOV17	2024.50	2117.89	USD	2,334.75	
14AUG17	B 1 PD14NOV17	2025.00	2117.89	USD	2,322.25	
14AUG17	B 1 PD14NOV17	2025.50	2117.89	USD	2,309.75	
14AUG17	B 1 PD14NOV17	2025.50	2117.89	USD	2,309.75	
05SEP17	S 15 PD14NOV17	2092.54	2117.89	USD	-9,506.25	
	NET 0 AVG BGHT 2030.47 SOLD 2088.91				**23,377.50**	**0.00**
16AUG17	S 1 PD16NOV17	2062.00	2118.52	USD	-1,413.00	
16AUG17	S 3 PD16NOV17	2070.50	2118.52	USD	-3,601.50	
16AUG17	B 1 PD16NOV17	2063.00	2118.52	USD	1,388.00	
16AUG17	B 1 PD16NOV17	2062.50	2118.52	USD	1,400.50	
16AUG17	B 1 PD16NOV17	2058.50	2118.52	USD	1,500.50	
16AUG17	B 2 PD16NOV17	2067.50	2118.52	USD	2,551.00	
16AUG17	S 1 PD16NOV17	2061.00	2118.52	USD	-1,438.00	
	NET 0 AVG BGHT 2063.80 SOLD 2066.90				**387.50**	**0.00**
17AUG17	S 1 PD17NOV17	2073.00	2118.79	USD	-1,144.75	
17AUG17	B 1 PD17NOV17	2068.00	2118.79	USD	1,269.75	
18AUG17	S 2 PD17NOV17	2083.50	2118.79	USD	-1,764.50	
18AUG17	S 2 PD17NOV17	2090.00	2118.79	USD	-1,439.50	
18AUG17	S 2 PD17NOV17	2093.50	2118.79	USD	-1,264.50	
18AUG17	B 1 PD17NOV17	2097.50	2118.79	USD	532.25	
18AUG17	S 2 PD17NOV17	2097.00	2118.79	USD	-1,089.50	
18AUG17	S 4 PD17NOV17	2095.00	2118.79	USD	-2,379.00	
18AUG17	S 1 PD17NOV17	2083.00	2118.79	USD	-894.75	
18AUG17	B 1 PD17NOV17	2081.00	2118.79	USD	944.75	
18AUG17	B 2 PD17NOV17	2084.00	2118.79	USD	1,739.50	
18AUG17	S 3 PD17NOV17	2095.00	2118.79	USD	-1,784.25	
18AUG17	B 1 PD17NOV17	2089.00	2118.79	USD	744.75	
18AUG17	B 1 PD17NOV17	2090.00	2118.79	USD	719.75	
18AUG17	S 1 PD17NOV17	2061.50	2118.79	USD	-1,432.25	
18AUG17	B 1 PD17NOV17	2062.00	2118.79	USD	1,419.75	
18AUG17	S 2 PD17NOV17	2062.50	2118.79	USD	-2,814.50	
18AUG17	B 2 PD17NOV17	2063.00	2118.79	USD	2,789.50	
05SEP17	B 10 PD17NOV17	2091.75	2118.79	USD	6,760.00	
	NET 0 AVG BGHT 2084.95 SOLD 2086.78				**912.50**	**0.00**
21AUG17	S 1 PD21NOV17	2091.00	2119.88	USD	-722.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083XA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
21AUG17	S 1 PD21NOV17	2094.50	2119.88	USD	-634.50	
21AUG17	S 1 PD21NOV17	2093.00	2119.88	USD	-672.00	
21AUG17	S 2 PD21NOV17	2092.50	2119.88	USD	-1,369.00	
21AUG17	B 1 PD21NOV17	2090.00	2119.88	USD	747.00	
21AUG17	B 1 PD21NOV17	2084.50	2119.88	USD	884.50	
21AUG17	B 1 PD21NOV17	2085.00	2119.88	USD	872.00	
21AUG17	B 2 PD21NOV17	2079.00	2119.88	USD	2,044.00	
21AUG17	B 3 PD21NOV17	2080.00	2119.88	USD	2,991.00	
21AUG17	B 1 PD21NOV17	2082.50	2119.88	USD	934.50	
21AUG17	S 2 PD21NOV17	2094.00	2119.88	USD	-1,294.00	
21AUG17	S 1 PD21NOV17	2078.50	2119.88	USD	-1,034.50	
21AUG17	B 1 PD21NOV17	2068.50	2119.88	USD	1,284.50	
05SEP17	S 2 PD21NOV17	2092.49	2119.88	USD	-1,369.50	
	NET 0 AVG BGHT 2080.85 SOLD 2091.50				**2,662.00**	**0.00**
22AUG17	B 1 PD22NOV17	2073.00	2120.15	USD	1,178.75	
22AUG17	B 1 PD22NOV17	2074.00	2120.15	USD	1,153.75	
22AUG17	S 1 PD22NOV17	2074.00	2120.15	USD	-1,153.75	
22AUG17	B 1 PD22NOV17	2077.00	2120.15	USD	1,078.75	
22AUG17	S 1 PD22NOV17	2082.00	2120.15	USD	-953.75	
22AUG17	S 1 PD22NOV17	2079.50	2120.15	USD	-1,016.25	
22AUG17	S 1 PD22NOV17	2082.50	2120.15	USD	-941.25	
22AUG17	S 2 PD22NOV17	2083.00	2120.15	USD	-1,857.50	
22AUG17	B 1 PD22NOV17	2078.50	2120.15	USD	1,041.25	
22AUG17	B 1 PD22NOV17	2079.00	2120.15	USD	1,028.75	
22AUG17	B 1 PD22NOV17	2080.00	2120.15	USD	1,003.75	
22AUG17	B 1 PD22NOV17	2080.50	2120.15	USD	991.25	
22AUG17	B 1 PD22NOV17	2075.50	2120.15	USD	1,116.25	
05SEP17	S 2 PD22NOV17	2092.73	2120.15	USD	-1,371.00	
	NET 0 AVG BGHT 2077.19 SOLD 2083.68				**1,299.00**	**0.00**
23AUG17	B 2 PD24NOV17	2072.00	2120.69	USD	2,434.50	
23AUG17	S 1 PD24NOV17	2064.50	2120.69	USD	-1,404.75	
23AUG17	S 1 PD24NOV17	2062.00	2120.69	USD	-1,467.25	
23AUG17	B 1 PD24NOV17	2063.00	2120.69	USD	1,442.25	
23AUG17	S 1 PD24NOV17	2069.00	2120.69	USD	-1,292.25	
23AUG17	S 1 PD24NOV17	2069.50	2120.69	USD	-1,279.75	
23AUG17	S 3 PD24NOV17	2070.50	2120.69	USD	-3,764.25	
23AUG17	S 1 PD24NOV17	2072.50	2120.69	USD	-1,204.75	
23AUG17	S 1 PD24NOV17	2073.00	2120.69	USD	-1,192.25	
23AUG17	S 1 PD24NOV17	2080.00	2120.69	USD	-1,017.25	
23AUG17	S 2 PD24NOV17	2082.00	2120.69	USD	-1,934.50	
23AUG17	S 1 PD24NOV17	2083.00	2120.69	USD	-942.25	
23AUG17	B 1 PD24NOV17	2072.50	2120.69	USD	1,204.75	
23AUG17	S 1 PD24NOV17	2091.00	2120.69	USD	-742.25	
23AUG17	B 1 PD24NOV17	2106.00	2120.69	USD	367.25	
23AUG17	B 2 PD24NOV17	2120.00	2120.69	USD	34.50	
23AUG17	B 1 PD24NOV17	2117.50	2120.69	USD	79.75	
23AUG17	B 1 PD24NOV17	2112.50	2120.69	USD	204.75	
23AUG17	B 2 PD24NOV17	2108.00	2120.69	USD	634.50	
23AUG17	B 1 PD24NOV17	2109.00	2120.69	USD	292.25	
23AUG17	B 1 PD24NOV17	2105.00	2120.69	USD	392.25	
24AUG17	B 1 PD24NOV17	2101.00	2120.69	USD	492.25	
24AUG17	B 2 PD24NOV17	2103.00	2120.69	USD	884.50	
24AUG17	B 4 PD24NOV17	2101.50	2120.69	USD	1,919.00	
05SEP17	S 6 PD24NOV17	2093.20	2120.69	USD	-4,123.50	
	NET 0 AVG BGHT 2099.93 SOLD 2079.96				**-9,982.50**	**0.00**
29AUG17	S 1 PD29NOV17	2085.00	2122.05	USD	-926.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083XA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.							
29AUG17	S	2	PD29NOV17	2098.50	2122.05	USD	-1,177.50	
29AUG17	S	2	PD29NOV17	2101.00	2122.05	USD	-1,052.50	
29AUG17	B	1	PD29NOV17	2093.50	2122.05	USD	713.75	
29AUG17	B	1	PD29NOV17	2094.50	2122.05	USD	688.75	
29AUG17	B	3	PD29NOV17	2095.00	2122.05	USD	2,028.75	
	NET	**0**	**AVG BGHT 2094.60**	**SOLD 2096.80**			**275.00**	**0.00**
30AUG17	S	3	PD30NOV17	2091.50	2122.32	USD	-2,311.50	
30AUG17	S	2	PD30NOV17	2096.50	2122.32	USD	-1,291.00	
30AUG17	S	2	PD30NOV17	2099.50	2122.32	USD	-1,141.00	
30AUG17	B	3	PD30NOV17	2089.00	2122.32	USD	2,499.00	
31AUG17	S	1	PD30NOV17	2101.00	2122.32	USD	-533.00	
31AUG17	S	2	PD30NOV17	2116.50	2122.32	USD	-291.00	
31AUG17	S	1	PD30NOV17	2116.00	2122.32	USD	-158.00	
31AUG17	S	1	PD30NOV17	2115.50	2122.32	USD	-170.50	
31AUG17	S	2	PD30NOV17	2125.50	2122.32	USD	159.00	
31AUG17	S	1	PD30NOV17	2136.50	2122.32	USD	354.50	
31AUG17	S	3	PD30NOV17	2136.00	2122.32	USD	1,026.00	
31AUG17	S	1	PD30NOV17	2137.50	2122.32	USD	379.50	
31AUG17	S	2	PD30NOV17	2138.00	2122.32	USD	784.00	
31AUG17	S	1	PD30NOV17	2100.00	2122.32	USD	-558.00	
31AUG17	S	1	PD30NOV17	2137.50	2122.32	USD	379.50	
31AUG17	B	1	PD30NOV17	2124.00	2122.32	USD	-42.00	
31AUG17	B	1	PD30NOV17	2128.50	2122.32	USD	-154.50	
31AUG17	B	1	PD30NOV17	2128.00	2122.32	USD	-142.00	
31AUG17	B	1	PD30NOV17	2125.50	2122.32	USD	-79.50	
31AUG17	B	1	PD30NOV17	2124.50	2122.32	USD	-54.50	
31AUG17	B	1	PD30NOV17	2121.00	2122.32	USD	33.00	
31AUG17	B	1	PD30NOV17	2118.50	2122.32	USD	95.50	
	NET	**-13**	**AVG BGHT 2113.70**	**SOLD 2116.46**			**-1,216.50**	**0.00**
01SEP17	B	1	PD01DEC17	2133.00	2122.59	USD	-260.25	
01SEP17	B	1	PD01DEC17	2135.50	2122.59	USD	-322.75	
01SEP17	B	1	PD01DEC17	2123.50	2122.59	USD	-22.75	
01SEP17	B	1	PD01DEC17	2124.00	2122.59	USD	-35.25	
01SEP17	B	1	PD01DEC17	2126.50	2122.59	USD	-97.75	
01SEP17	B	2	PD01DEC17	2129.00	2122.59	USD	-320.50	
05SEP17	S	7	PD01DEC17	2094.86	2122.59	USD	-4,852.75	
	NET	**0**	**AVG BGHT 2128.64**	**SOLD 2094.86**			**-5,912.00**	**0.00**
04SEP17	B	2	PD04DEC17	2128.00	2123.41	USD	-229.50	
04SEP17	B	1	PD04DEC17	2129.50	2123.41	USD	-152.25	
04SEP17	B	2	PD04DEC17	2130.00	2123.41	USD	-329.50	
04SEP17	B	1	PD04DEC17	2128.50	2123.41	USD	-127.25	
04SEP17	S	2	PD04DEC17	2121.00	2123.41	USD	-120.50	
04SEP17	S	1	PD04DEC17	2128.00	2123.41	USD	114.75	
04SEP17	S	1	PD04DEC17	2126.50	2123.41	USD	77.25	
04SEP17	B	3	PD04DEC17	2120.00	2123.41	USD	255.75	
04SEP17	B	1	PD04DEC17	2119.00	2123.41	USD	110.25	
05SEP17	S	6	PD04DEC17	2095.56	2123.41	USD	-4,177.50	
	NET	**0**	**AVG BGHT 2125.30**	**SOLD 2106.99**			**-4,578.50**	**0.00**
05SEP17	S	1	PD05DEC17	2107.00	2123.68	USD	-417.00	
05SEP17	S	1	PD05DEC17	2111.00	2123.68	USD	-317.00	
05SEP17	S	1	PD05DEC17	2112.00	2123.68	USD	-292.00	
05SEP17	S	1	PD05DEC17	2113.50	2123.68	USD	-254.50	
05SEP17	S	3	PD05DEC17	2115.00	2123.68	USD	-651.00	
05SEP17	S	1	PD05DEC17	2116.00	2123.68	USD	-192.00	
05SEP17	S	2	PD05DEC17	2115.50	2123.68	USD	-409.00	
05SEP17	B	3	PD05DEC17	2109.50	2123.68	USD	1,063.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083XA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
05SEP17	B 2 PD05DEC17	2109.00	2123.68	USD	734.00	
05SEP17	B 1 PD05DEC17	2108.00	2123.68	USD	392.00	
05SEP17	B 1 PD05DEC17	2106.00	2123.68	USD	442.00	
05SEP17	B 1 PD05DEC17	2103.00	2123.68	USD	517.00	
05SEP17	B 1 PD05DEC17	2107.00	2123.68	USD	417.00	
05SEP17	B 2 PD05DEC17	2105.00	2123.68	USD	934.00	
05SEP17	B 1 PD05DEC17	2104.00	2123.68	USD	492.00	
05SEP17	S 3 PD05DEC17	2116.50	2123.68	USD	-538.50	
05SEP17	S 2 PD05DEC17	2117.50	2123.68	USD	-309.00	
05SEP17	B 2 PD05DEC17	2095.50	2123.68	USD	1,409.00	
05SEP17	B 1 PD05DEC17	2096.50	2123.68	USD	679.50	
	NET 0 AVG BGHT 2104.80 SOLD 2114.67				**3,700.00**	**0.00**
06SEP17	B 2 PD06DEC17	2076.00	2123.95	USD	2,397.50	
06SEP17	S 1 PD06DEC17	2083.00	2123.95	USD	-1,023.75	
06SEP17	S 2 PD06DEC17	2081.00	2123.95	USD	-2,147.50	
06SEP17	S 1 PD06DEC17	2083.50	2123.95	USD	-1,011.25	
06SEP17	B 1 PD06DEC17	2084.50	2123.95	USD	986.25	
06SEP17	B 1 PD06DEC17	2082.50	2123.95	USD	1,036.25	
06SEP17	S 2 PD06DEC17	2102.50	2123.95	USD	-1,072.50	
06SEP17	B 2 PD06DEC17	2113.50	2123.95	USD	522.50	
	NET 0 AVG BGHT 2091.00 SOLD 2088.92				**-312.50**	**0.00**
07SEP17	B 1 PD07DEC17	2105.00	2124.22	USD	480.50	
07SEP17	B 3 PD07DEC17	2098.50	2124.22	USD	1,929.00	
07SEP17	B 1 PD07DEC17	2098.00	2124.22	USD	655.50	
07SEP17	B 5 PD07DEC17	2093.00	2124.22	USD	3,902.50	
07SEP17	B 1 PD07DEC17	2092.00	2124.22	USD	805.50	
07SEP17	S 1 PD07DEC17	2102.00	2124.22	USD	-555.50	
07SEP17	S 2 PD07DEC17	2109.00	2124.22	USD	-761.00	
07SEP17	S 1 PD07DEC17	2107.50	2124.22	USD	-418.00	
07SEP17	S 1 PD07DEC17	2106.00	2124.22	USD	-455.50	
07SEP17	S 2 PD07DEC17	2108.00	2124.22	USD	-811.00	
07SEP17	S 3 PD07DEC17	2110.50	2124.22	USD	-1,029.00	
07SEP17	S 1 PD07DEC17	2109.50	2124.22	USD	-368.00	
	NET 0 AVG BGHT 2095.95 SOLD 2108.23				**3,375.00**	**0.00**
08SEP17	B 2 PD08DEC17	2086.50	2124.49	USD	1,899.50	
08SEP17	B 1 PD08DEC17	2086.00	2124.49	USD	962.25	
08SEP17	S 1 PD08DEC17	2094.00	2124.49	USD	-762.25	
08SEP17	S 1 PD08DEC17	2095.00	2124.49	USD	-737.25	
08SEP17	S 2 PD08DEC17	2101.00	2124.49	USD	-1,174.50	
08SEP17	S 2 PD08DEC17	2101.50	2124.49	USD	-1,149.50	
08SEP17	S 1 PD08DEC17	2104.00	2124.49	USD	-512.25	
08SEP17	S 2 PD08DEC17	2096.50	2124.49	USD	-1,399.50	
08SEP17	S 1 PD08DEC17	2089.50	2124.49	USD	-874.75	
08SEP17	S 1 PD08DEC17	2094.50	2124.49	USD	-749.75	
08SEP17	B 1 PD08DEC17	2093.50	2124.49	USD	774.75	
08SEP17	S 1 PD08DEC17	2095.50	2124.49	USD	-724.75	
08SEP17	B 2 PD08DEC17	2093.00	2124.49	USD	1,574.50	
08SEP17	B 2 PD08DEC17	2092.00	2124.49	USD	1,624.50	
14SEP17	B 4 PD08DEC17	2096.40	2124.49	USD	2,809.00	
	NET 0 AVG BGHT 2092.34 SOLD 2097.54				**1,560.00**	**0.00**
11SEP17	B 3 PD11DEC17	2106.00	2125.31	USD	1,448.25	
11SEP17	S 1 PD11DEC17	2126.50	2125.31	USD	29.75	
11SEP17	S 1 PD11DEC17	2127.00	2125.31	USD	42.25	
11SEP17	S 1 PD11DEC17	2129.00	2125.31	USD	92.25	
11SEP17	S 1 PD11DEC17	2135.50	2125.31	USD	254.75	
11SEP17	S 1 PD11DEC17	2135.00	2125.31	USD	242.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083XA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.							
11SEP17	B	1	PD11DEC17	2126.00	2125.31	USD	-17.25	
11SEP17	B	1	PD11DEC17	2124.00	2125.31	USD	32.75	
11SEP17	B	1	PD11DEC17	2121.00	2125.31	USD	107.75	
11SEP17	B	1	PD11DEC17	2118.50	2125.31	USD	170.25	
11SEP17	B	1	PD11DEC17	2114.00	2125.31	USD	282.75	
11SEP17	B	1	PD11DEC17	2126.50	2125.31	USD	-29.75	
11SEP17	S	1	PD11DEC17	2125.50	2125.31	USD	4.75	
11SEP17	B	1	PD11DEC17	2123.00	2125.31	USD	57.75	
14SEP17	S	4	PD11DEC17	2097.00	2125.31	USD	-2,831.00	
	NET	**0**	**AVG BGHT 2117.10**	**SOLD 2116.65**			**-112.50**	**0.00**
12SEP17	S	1	PD12DEC17	2126.00	2125.58	USD	10.50	
12SEP17	S	1	PD12DEC17	2125.00	2125.58	USD	-14.50	
12SEP17	S	1	PD12DEC17	2122.50	2125.58	USD	-77.00	
12SEP17	S	1	PD12DEC17	2120.50	2125.58	USD	-127.00	
12SEP17	S	1	PD12DEC17	2121.00	2125.58	USD	-114.50	
12SEP17	S	1	PD12DEC17	2120.00	2125.58	USD	-139.50	
12SEP17	S	2	PD12DEC17	2119.50	2125.58	USD	-304.00	
12SEP17	B	1	PD12DEC17	2117.00	2125.58	USD	214.50	
12SEP17	B	1	PD12DEC17	2117.00	2125.58	USD	214.50	
12SEP17	S	1	PD12DEC17	2126.50	2125.58	USD	23.00	
12SEP17	S	2	PD12DEC17	2136.50	2125.58	USD	546.00	
12SEP17	S	2	PD12DEC17	2133.50	2125.58	USD	396.00	
12SEP17	S	1	PD12DEC17	2135.00	2125.58	USD	235.50	
12SEP17	B	1	PD12DEC17	2129.00	2125.58	USD	-85.50	
12SEP17	B	1	PD12DEC17	2126.00	2125.58	USD	-10.50	
12SEP17	B	4	PD12DEC17	2130.00	2125.58	USD	-442.00	
12SEP17	S	2	PD12DEC17	2138.00	2125.58	USD	621.00	
12SEP17	S	2	PD12DEC17	2137.00	2125.58	USD	571.00	
12SEP17	S	2	PD12DEC17	2138.50	2125.58	USD	646.00	
14SEP17	B	12	PD12DEC17	2097.47	2125.58	USD	8,433.00	
	NET	**0**	**AVG BGHT 2108.93**	**SOLD 2130.13**			**10,596.50**	**0.00**
13SEP17	B	1	PD13DEC17	2132.50	2125.85	USD	-166.25	
13SEP17	B	1	PD13DEC17	2132.00	2125.85	USD	-153.75	
13SEP17	B	1	PD13DEC17	2129.50	2125.85	USD	-91.25	
13SEP17	B	2	PD13DEC17	2135.00	2125.85	USD	-457.50	
13SEP17	B	1	PD13DEC17	2134.00	2125.85	USD	-203.75	
13SEP17	B	2	PD13DEC17	2131.50	2125.85	USD	-282.50	
13SEP17	B	2	PD13DEC17	2126.00	2125.85	USD	-7.50	
13SEP17	B	1	PD13DEC17	2128.00	2125.85	USD	-53.75	
13SEP17	B	1	PD13DEC17	2128.50	2125.85	USD	-66.25	
13SEP17	B	1	PD13DEC17	2114.50	2125.85	USD	283.75	
13SEP17	S	1	PD13DEC17	2119.50	2125.85	USD	-158.75	
14SEP17	S	12	PD13DEC17	2097.53	2125.85	USD	-8,496.00	
	NET	**0**	**AVG BGHT 2129.54**	**SOLD 2099.22**			**-9,853.50**	**0.00**
14SEP17	S	1	PD14DEC17	2123.00	2126.12	USD	-78.00	
14SEP17	B	1	PD14DEC17	2114.50	2126.12	USD	290.50	
14SEP17	B	1	PD14DEC17	2105.50	2126.12	USD	515.50	
14SEP17	B	2	PD14DEC17	2091.50	2126.12	USD	1,731.00	
14SEP17	B	2	PD14DEC17	2089.50	2126.12	USD	1,831.00	
14SEP17	B	2	PD14DEC17	2081.50	2126.12	USD	2,231.00	
14SEP17	S	2	PD14DEC17	2081.00	2126.12	USD	-2,256.00	
14SEP17	S	1	PD14DEC17	2090.50	2126.12	USD	-890.50	
14SEP17	S	1	PD14DEC17	2092.00	2126.12	USD	-853.00	
14SEP17	S	2	PD14DEC17	2095.00	2126.12	USD	-1,556.00	
14SEP17	S	1	PD14DEC17	2091.50	2126.12	USD	-865.50	
	NET	**0**	**AVG BGHT 2093.13**	**SOLD 2093.63**			**100.00**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083XA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
15SEP17	B 1 PD15DEC17	2094.00	2126.39	USD	809.75	
15SEP17	S 3 PD15DEC17	2091.50	2126.39	USD	-2,616.75	
15SEP17	B 2 PD15DEC17	2087.00	2126.39	USD	1,969.50	
15SEP17	B 1 PD15DEC17	2082.50	2126.39	USD	1,097.25	
15SEP17	B 1 PD15DEC17	2078.00	2126.39	USD	1,209.75	
15SEP17	B 2 PD15DEC17	2076.00	2126.39	USD	2,519.50	
15SEP17	S 2 PD15DEC17	2075.00	2126.39	USD	-2,569.50	
15SEP17	B 1 PD15DEC17	2072.00	2126.39	USD	1,359.75	
15SEP17	S 1 PD15DEC17	2078.00	2126.39	USD	-1,209.75	
15SEP17	S 1 PD15DEC17	2079.00	2126.39	USD	-1,184.75	
15SEP17	S 1 PD15DEC17	2092.00	2126.39	USD	-859.75	
15SEP17	S 3 PD15DEC17	2088.50	2126.39	USD	-2,841.75	
15SEP17	S 2 PD15DEC17	2088.00	2126.39	USD	-1,919.50	
15SEP17	S 2 PD15DEC17	2089.00	2126.39	USD	-1,869.50	
	NET -7 AVG BGHT 2081.56 SOLD 2086.20				**-6,105.75**	**0.00**
18SEP17	B 1 PD18DEC17	2093.00	2127.21	USD	855.25	
18SEP17	B 1 PD18DEC17	2094.00	2127.21	USD	830.25	
18SEP17	B 1 PD18DEC17	2091.50	2127.21	USD	892.75	
18SEP17	B 4 PD18DEC17	2092.50	2127.21	USD	3,471.00	
18SEP17	S 1 PD18DEC17	2105.50	2127.21	USD	-542.75	
18SEP17	B 4 PD18DEC17	2093.50	2127.21	USD	3,371.00	
18SEP17	B 1 PD18DEC17	2090.50	2127.21	USD	917.75	
18SEP17	S 2 PD18DEC17	2094.50	2127.21	USD	-1,635.50	
18SEP17	S 1 PD18DEC17	2091.00	2127.21	USD	-905.25	
18SEP17	B 1 PD18DEC17	2090.00	2127.21	USD	930.25	
18SEP17	S 4 PD18DEC17	2093.00	2127.21	USD	-3,421.00	
18SEP17	S 4 PD18DEC17	2094.00	2127.21	USD	-3,321.00	
18SEP17	S 1 PD18DEC17	2092.00	2127.21	USD	-880.25	
	NET 0 AVG BGHT 2092.54 SOLD 2094.27				**562.50**	**0.00**
19SEP17	S 3 PD19DEC17	2105.50	2127.48	USD	-1,648.50	
19SEP17	B 1 PD19DEC17	2102.50	2127.48	USD	624.50	
19SEP17	B 2 PD19DEC17	2104.00	2127.48	USD	1,174.00	
19SEP17	B 1 PD19DEC17	2100.50	2127.48	USD	674.50	
19SEP17	B 1 PD19DEC17	2101.00	2127.48	USD	662.00	
19SEP17	S 1 PD19DEC17	2103.50	2127.48	USD	-599.50	
19SEP17	S 1 PD19DEC17	2109.00	2127.48	USD	-462.00	
19SEP17	S 2 PD19DEC17	2113.50	2127.48	USD	-699.00	
19SEP17	S 1 PD19DEC17	2135.00	2127.48	USD	188.00	
19SEP17	B 1 PD19DEC17	2127.00	2127.48	USD	12.00	
19SEP17	B 1 PD19DEC17	2123.00	2127.48	USD	112.00	
19SEP17	B 2 PD19DEC17	2118.50	2127.48	USD	449.00	
19SEP17	B 1 PD19DEC17	2114.50	2127.48	USD	324.50	
19SEP17	B 2 PD19DEC17	2114.00	2127.48	USD	674.00	
19SEP17	B 1 PD19DEC17	2113.00	2127.48	USD	362.00	
19SEP17	B 1 PD19DEC17	2112.50	2127.48	USD	374.50	
19SEP17	B 1 PD19DEC17	2111.50	2127.48	USD	399.50	
	NET +7 AVG BGHT 2111.90 SOLD 2111.38				**2,621.50**	**0.00**
20SEP17	S 2 PD20DEC17	2132.50	2127.75	USD	237.50	
20SEP17	S 1 PD20DEC17	2143.50	2127.75	USD	393.75	
20SEP17	S 1 PD20DEC17	2143.00	2127.75	USD	381.25	
20SEP17	S 1 PD20DEC17	2156.50	2127.75	USD	718.75	
20SEP17	S 1 PD20DEC17	2162.00	2127.75	USD	856.25	
20SEP17	B 2 PD20DEC17	2162.00	2127.75	USD	-1,712.50	
20SEP17	B 1 PD20DEC17	2160.00	2127.75	USD	-806.25	
20SEP17	B 1 PD20DEC17	2156.00	2127.75	USD	-706.25	
20SEP17	S 2 PD20DEC17	2161.50	2127.75	USD	1,687.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083XA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
20SEP17	B 1 PD20DEC17	2155.50	2127.75	USD	-693.75	
20SEP17	S 1 PD20DEC17	2165.50	2127.75	USD	943.75	
20SEP17	S 1 PD20DEC17	2166.00	2127.75	USD	956.25	
20SEP17	S 1 PD20DEC17	2168.50	2127.75	USD	1,018.75	
20SEP17	S 1 PD20DEC17	2167.50	2127.75	USD	993.75	
20SEP17	S 1 PD20DEC17	2169.50	2127.75	USD	1,043.75	
20SEP17	S 2 PD20DEC17	2171.50	2127.75	USD	2,187.50	
20SEP17	S 1 PD20DEC17	2174.00	2127.75	USD	1,156.25	
20SEP17	S 1 PD20DEC17	2174.50	2127.75	USD	1,168.75	
20SEP17	S 1 PD20DEC17	2179.00	2127.75	USD	1,281.25	
20SEP17	S 1 PD20DEC17	2180.00	2127.75	USD	1,306.25	
20SEP17	S 1 PD20DEC17	2172.50	2127.75	USD	1,118.75	
20SEP17	S 1 PD20DEC17	2173.50	2127.75	USD	1,143.75	
20SEP17	S 1 PD20DEC17	2143.00	2127.75	USD	381.25	
20SEP17	S 1 PD20DEC17	2165.50	2127.75	USD	943.75	
20SEP17	S 2 PD20DEC17	2191.00	2127.75	USD	3,162.50	
20SEP17	B 1 PD20DEC17	2187.50	2127.75	USD	-1,493.75	
20SEP17	B 1 PD20DEC17	2185.00	2127.75	USD	-1,431.25	
20SEP17	B 1 PD20DEC17	2182.50	2127.75	USD	-1,368.75	
20SEP17	B 1 PD20DEC17	2182.00	2127.75	USD	-1,356.25	
20SEP17	B 1 PD20DEC17	2176.00	2127.75	USD	-1,206.25	
20SEP17	B 2 PD20DEC17	2175.50	2127.75	USD	-2,387.50	
20SEP17	B 3 PD20DEC17	2166.50	2127.75	USD	-2,906.25	
20SEP17	S 1 PD20DEC17	2178.50	2127.75	USD	1,268.75	
20SEP17	B 6 PD20DEC17	2186.00	2127.75	USD	-8,737.50	
	NET -5 AVG BGHT 2175.00 SOLD 2165.21				**-456.25**	**0.00**
21SEP17	S 1 PD21DEC17	2170.00	2127.98	USD	1,050.50	
21SEP17	B 1 PD21DEC17	2157.00	2127.98	USD	-725.50	
21SEP17	S 2 PD21DEC17	2174.00	2127.98	USD	2,301.00	
21SEP17	S 1 PD21DEC17	2176.00	2127.98	USD	1,200.50	
21SEP17	S 1 PD21DEC17	2172.50	2127.98	USD	1,113.00	
21SEP17	S 1 PD21DEC17	2173.50	2127.98	USD	1,138.00	
21SEP17	S 2 PD21DEC17	2183.50	2127.98	USD	2,776.00	
21SEP17	S 1 PD21DEC17	2182.00	2127.98	USD	1,350.50	
21SEP17	S 1 PD21DEC17	2185.50	2127.98	USD	1,438.00	
21SEP17	B 1 PD21DEC17	2191.00	2127.98	USD	-1,575.50	
21SEP17	B 1 PD21DEC17	2187.50	2127.98	USD	-1,488.00	
21SEP17	B 1 PD21DEC17	2185.00	2127.98	USD	-1,425.50	
21SEP17	B 1 PD21DEC17	2185.50	2127.98	USD	-1,438.00	
21SEP17	B 1 PD21DEC17	2179.00	2127.98	USD	-1,275.50	
21SEP17	B 1 PD21DEC17	2182.00	2127.98	USD	-1,350.50	
21SEP17	B 1 PD21DEC17	2172.00	2127.98	USD	-1,100.50	
21SEP17	S 2 PD21DEC17	2156.00	2127.98	USD	1,401.00	
21SEP17	B 1 PD21DEC17	2145.00	2127.98	USD	-425.50	
21SEP17	B 1 PD21DEC17	2141.50	2127.98	USD	-338.00	
21SEP17	B 2 PD21DEC17	2142.00	2127.98	USD	-701.00	
21SEP17	B 1 PD21DEC17	2150.00	2127.98	USD	-550.50	
21SEP17	B 1 PD21DEC17	2148.50	2127.98	USD	-513.00	
21SEP17	B 1 PD21DEC17	2151.50	2127.98	USD	-588.00	
21SEP17	B 1 PD21DEC17	2152.00	2127.98	USD	-600.50	
21SEP17	B 1 PD21DEC17	2154.50	2127.98	USD	-663.00	
	NET +5 AVG BGHT 2162.71 SOLD 2173.88				**-990.00**	**0.00**
22SEP17	S 2 PD22DEC17	2148.50	2128.21	USD	1,014.50	
22SEP17	S 1 PD22DEC17	2151.00	2128.21	USD	569.75	
22SEP17	S 2 PD22DEC17	2165.50	2128.21	USD	1,864.50	
22SEP17	B 1 PD22DEC17	2148.00	2128.21	USD	-494.75	
22SEP17	B 1 PD22DEC17	2147.00	2128.21	USD	-469.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083XA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
22SEP17	B 1 PD22DEC17	2146.50	2128.21	USD	-457.25	
22SEP17	B 1 PD22DEC17	2139.50	2128.21	USD	-282.25	
22SEP17	S 1 PD22DEC17	2169.50	2128.21	USD	1,032.25	
22SEP17	S 1 PD22DEC17	2157.50	2128.21	USD	732.25	
22SEP17	S 1 PD22DEC17	2161.50	2128.21	USD	832.25	
22SEP17	S 1 PD22DEC17	2163.50	2128.21	USD	882.25	
	NET -5 AVG BGHT 2145.25 SOLD 2159.00				**5,223.75**	**0.00**
25SEP17	B 4 PD27DEC17	2156.00	2129.38	USD	-2,662.00	
25SEP17	B 1 PD27DEC17	2156.00	2129.38	USD	-665.50	
25SEP17	S 1 PD27DEC17	2152.50	2129.38	USD	578.00	
25SEP17	S 2 PD27DEC17	2154.50	2129.38	USD	1,256.00	
25SEP17	B 2 PD27DEC17	2151.50	2129.38	USD	-1,106.00	
25SEP17	B 1 PD27DEC17	2150.50	2129.38	USD	-528.00	
26SEP17	S 1 PD27DEC17	2157.50	2129.38	USD	703.00	
26SEP17	S 1 PD27DEC17	2157.00	2129.38	USD	690.50	
26SEP17	S 1 PD27DEC17	2155.00	2129.38	USD	640.50	
26SEP17	B 3 PD27DEC17	2148.00	2129.38	USD	-1,396.50	
26SEP17	B 2 PD27DEC17	2147.50	2129.38	USD	-906.00	
26SEP17	B 2 PD27DEC17	2146.00	2129.38	USD	-831.00	
26SEP17	S 1 PD27DEC17	2134.00	2129.38	USD	115.50	
26SEP17	S 3 PD27DEC17	2133.00	2129.38	USD	271.50	
27SEP17	S 1 PD27DEC17	2140.50	2129.38	USD	278.00	
27SEP17	S 4 PD27DEC17	2140.00	2129.38	USD	1,062.00	
27SEP17	S 3 PD27DEC17	2142.50	2129.38	USD	984.00	
27SEP17	B 4 PD27DEC17	2127.00	2129.38	USD	238.00	
27SEP17	B 4 PD27DEC17	2129.00	2129.38	USD	38.00	
	NET +5 AVG BGHT 2142.98 SOLD 2144.00				**-1,240.00**	**0.00**
29SEP17	B 1 PD29DEC17	2137.00	2129.84	USD	-179.00	
29SEP17	S 3 PD29DEC17	2135.00	2129.84	USD	387.00	
29SEP17	B 1 PD29DEC17	2131.00	2129.84	USD	-29.00	
29SEP17	B 1 PD29DEC17	2133.00	2129.84	USD	-79.00	
	NET 0 AVG BGHT 2133.67 SOLD 2135.00				**100.00**	**0.00**
03OCT17	S 1 PD03JAN18	2111.00	2131.00	USD	-500.00	
03OCT17	B 1 PD03JAN18	2104.50	2131.00	USD	662.50	
03OCT17	S 2 PD03JAN18	2117.50	2131.00	USD	-675.00	
03OCT17	B 2 PD03JAN18	2118.00	2131.00	USD	650.00	
03OCT17	S 2 PD03JAN18	2123.00	2131.00	USD	-400.00	
03OCT17	B 1 PD03JAN18	2117.00	2131.00	USD	350.00	
03OCT17	B 1 PD03JAN18	2118.00	2131.00	USD	325.00	
	NET 0 AVG BGHT 2115.10 SOLD 2118.40				**412.50**	**0.00**
	NYM LIGHT SWEET CRUDE OIL 1,000 NYM					
03OCT17	S 1 NOV17	50.42	50.42	USD	0.00	
03OCT17	S 1 NOV17	50.44	50.42	USD	20.00	
	NET -2 AVG SOLD 50.43				**20.00**	**0.00**
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
04JUL17	B 1 PD04OCT17	5879.50	6467.48	USD	14,699.50	
04JUL17	S 1 PD04OCT17	5884.50	6467.48	USD	-14,574.50	
	NET 0 AVG BGHT 5879.50 SOLD 5884.50				**125.00**	**0.00**
05JUL17	S 1 PD05OCT17	5872.00	6468.75	USD	-14,918.75	
05JUL17	B 1 PD05OCT17	5861.50	6468.75	USD	15,181.25	
	NET 0 AVG BGHT 5861.50 SOLD 5872.00				**262.50**	**0.00**
06JUL17	S 1 PD06OCT17	5838.00	6470.02	USD	-15,800.50	
19JUL17	B 1 PD06OCT17	5962.95	6470.02	USD	12,676.75	
	NET 0 AVG BGHT 5962.95 SOLD 5838.00				**-3,123.75**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083XA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
10JUL17	S 1 PD10OCT17	5844.50	6475.10	USD	-15,765.00	
10JUL17	S 1 PD10OCT17	5845.50	6475.10	USD	-15,740.00	
10JUL17	B 1 PD10OCT17	5810.00	6475.10	USD	16,627.50	
10JUL17	S 1 PD10OCT17	5815.00	6475.10	USD	-16,502.50	
10JUL17	B 1 PD10OCT17	5808.00	6475.10	USD	16,677.50	
19JUL17	B 1 PD10OCT17	5964.62	6475.10	USD	12,762.00	
	NET 0 AVG BGHT 5860.87 SOLD 5835.00				**-1,940.50**	**0.00**
11JUL17	S 1 PD11OCT17	5830.50	6476.37	USD	-16,146.75	
11JUL17	S 1 PD11OCT17	5827.50	6476.37	USD	-16,221.75	
11JUL17	B 1 PD11OCT17	5834.00	6476.37	USD	16,059.25	
11JUL17	B 1 PD11OCT17	5822.50	6476.37	USD	16,346.75	
	NET 0 AVG BGHT 5828.25 SOLD 5829.00				**37.50**	**0.00**
12JUL17	S 1 PD12OCT17	5907.50	6477.63	USD	-14,253.25	
12JUL17	B 1 PD12OCT17	5889.50	6477.63	USD	14,703.25	
	NET 0 AVG BGHT 5889.50 SOLD 5907.50				**450.00**	**0.00**
14JUL17	S 1 PD13OCT17	5880.50	6478.90	USD	-14,960.00	
14JUL17	B 1 PD13OCT17	5879.50	6478.90	USD	14,985.00	
14JUL17	B 1 PD13OCT17	5880.00	6478.90	USD	14,972.50	
14JUL17	S 1 PD13OCT17	5881.00	6478.90	USD	-14,947.50	
	NET 0 AVG BGHT 5879.75 SOLD 5880.75				**50.00**	**0.00**
17JUL17	S 1 PD17OCT17	5982.00	6483.98	USD	-12,549.50	
17JUL17	B 1 PD17OCT17	5970.00	6483.98	USD	12,849.50	
17JUL17	S 1 PD17OCT17	5990.00	6483.98	USD	-12,349.50	
17JUL17	B 1 PD17OCT17	5974.00	6483.98	USD	12,749.50	
	NET 0 AVG BGHT 5972.00 SOLD 5986.00				**700.00**	**0.00**
20JUL17	S 1 PD20OCT17	5988.00	6486.75	USD	-12,468.75	
20JUL17	B 1 PD20OCT17	5968.00	6486.75	USD	12,968.75	
	NET 0 AVG BGHT 5968.00 SOLD 5988.00				**500.00**	**0.00**
24JUL17	S 1 PD24OCT17	5999.00	6489.75	USD	-12,268.75	
24JUL17	S 1 PD24OCT17	5995.50	6489.75	USD	-12,356.25	
24JUL17	S 1 PD24OCT17	6028.00	6489.75	USD	-11,543.75	
24JUL17	B 1 PD24OCT17	6025.00	6489.75	USD	11,618.75	
24JUL17	B 1 PD24OCT17	6020.00	6489.75	USD	11,743.75	
24JUL17	B 1 PD24OCT17	6020.50	6489.75	USD	11,731.25	
	NET 0 AVG BGHT 6021.83 SOLD 6007.50				**-1,075.00**	**0.00**
25JUL17	S 1 PD25OCT17	6170.00	6490.29	USD	-8,007.25	
25JUL17	B 1 PD25OCT17	6182.00	6490.29	USD	7,707.25	
	NET 0 AVG BGHT 6182.00 SOLD 6170.00				**-300.00**	**0.00**
26JUL17	S 1 PD26OCT17	6348.00	6490.82	USD	-3,570.50	
26JUL17	S 1 PD26OCT17	6372.00	6490.82	USD	-2,970.50	
26JUL17	B 1 PD26OCT17	6329.50	6490.82	USD	4,033.00	
26JUL17	B 1 PD26OCT17	6332.00	6490.82	USD	3,970.50	
	NET 0 AVG BGHT 6330.75 SOLD 6360.00				**1,462.50**	**0.00**
03AUG17	B 1 PD03NOV17	6318.50	6495.28	USD	4,419.50	
03AUG17	S 1 PD03NOV17	6319.00	6495.28	USD	-4,407.00	
	NET 0 AVG BGHT 6318.50 SOLD 6319.00				**12.50**	**0.00**
07AUG17	B 1 PD07NOV17	6368.50	6497.66	USD	3,229.00	
07AUG17	S 1 PD07NOV17	6372.50	6497.66	USD	-3,129.00	
	NET 0 AVG BGHT 6368.50 SOLD 6372.50				**100.00**	**0.00**
11AUG17	B 2 PD10NOV17	6370.00	6499.18	USD	6,459.00	
11AUG17	S 1 PD10NOV17	6370.50	6499.18	USD	-3,217.00	
11AUG17	S 1 PD10NOV17	6375.00	6499.18	USD	-3,104.50	
	NET 0 AVG BGHT 6370.00 SOLD 6372.75				**137.50**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083XA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
18AUG17	B 1 PD17NOV17	6515.00	6501.60	USD	-335.00	
18AUG17	S 1 PD17NOV17	6515.00	6501.60	USD	335.00	
	NET 0 AVG BGHT 6515.00 SOLD 6515.00				**0.00**	**0.00**
31AUG17	S 1 PD30NOV17	6829.00	6509.40	USD	7,990.00	
31AUG17	B 1 PD30NOV17	6825.00	6509.40	USD	-7,890.00	
	NET 0 AVG BGHT 6825.00 SOLD 6829.00				**100.00**	**0.00**
01SEP17	B 2 PD01DEC17	6824.00	6510.00	USD	-15,700.00	
01SEP17	S 1 PD01DEC17	6823.50	6510.00	USD	7,837.50	
01SEP17	S 1 PD01DEC17	6816.00	6510.00	USD	7,650.00	
	NET 0 AVG BGHT 6824.00 SOLD 6819.75				**-212.50**	**0.00**
04SEP17	S 1 PD04DEC17	6896.50	6511.29	USD	9,630.25	
04SEP17	B 1 PD04DEC17	6896.50	6511.29	USD	-9,630.25	
	NET 0 AVG BGHT 6896.50 SOLD 6896.50				**0.00**	**0.00**
08SEP17	B 1 PD08DEC17	6696.00	6513.00	USD	-4,575.00	
08SEP17	S 1 PD08DEC17	6698.50	6513.00	USD	4,637.50	
	NET 0 AVG BGHT 6696.00 SOLD 6698.50				**62.50**	**0.00**
12SEP17	S 1 PD12DEC17	6707.50	6514.58	USD	4,823.00	
12SEP17	S 1 PD12DEC17	6707.00	6514.58	USD	4,810.50	
12SEP17	S 1 PD12DEC17	6706.50	6514.58	USD	4,798.00	
12SEP17	B 1 PD12DEC17	6698.50	6514.58	USD	-4,598.00	
12SEP17	B 1 PD12DEC17	6697.50	6514.58	USD	-4,573.00	
12SEP17	B 1 PD12DEC17	6696.00	6514.58	USD	-4,535.50	
	NET 0 AVG BGHT 6697.33 SOLD 6707.00				**725.00**	**0.00**
13SEP17	S 1 PD13DEC17	6599.50	6514.98	USD	2,113.00	
13SEP17	B 1 PD13DEC17	6600.00	6514.98	USD	-2,125.50	
13SEP17	B 1 PD13DEC17	6591.50	6514.98	USD	-1,913.00	
13SEP17	B 1 PD13DEC17	6593.00	6514.98	USD	-1,950.50	
13SEP17	B 1 PD13DEC17	6590.50	6514.98	USD	-1,888.00	
13SEP17	S 1 PD13DEC17	6592.00	6514.98	USD	1,925.50	
13SEP17	S 1 PD13DEC17	6597.50	6514.98	USD	2,063.00	
13SEP17	S 1 PD13DEC17	6591.50	6514.98	USD	1,913.00	
	NET 0 AVG BGHT 6593.75 SOLD 6595.13				**137.50**	**0.00**
14SEP17	S 3 PD14DEC17	6492.50	6515.38	USD	-1,716.00	
14SEP17	S 1 PD14DEC17	6498.00	6515.38	USD	-434.50	
14SEP17	B 1 PD14DEC17	6500.00	6515.38	USD	384.50	
14SEP17	B 1 PD14DEC17	6495.50	6515.38	USD	497.00	
14SEP17	B 1 PD14DEC17	6494.00	6515.38	USD	534.50	
14SEP17	B 2 PD14DEC17	6496.00	6515.38	USD	969.00	
14SEP17	S 1 PD14DEC17	6496.00	6515.38	USD	-484.50	
	NET 0 AVG BGHT 6496.30 SOLD 6494.30				**-250.00**	**0.00**
15SEP17	B 2 PD15DEC17	6503.00	6515.77	USD	638.50	
15SEP17	S 1 PD15DEC17	6499.50	6515.77	USD	-406.75	
15SEP17	S 2 PD15DEC17	6495.00	6515.77	USD	-1,038.50	
15SEP17	B 1 PD15DEC17	6488.00	6515.77	USD	694.25	
	NET 0 AVG BGHT 6498.00 SOLD 6496.50				**-112.50**	**0.00**
18SEP17	B 1 PD18DEC17	6555.00	6516.96	USD	-951.00	
18SEP17	S 1 PD18DEC17	6556.00	6516.96	USD	976.00	
	NET 0 AVG BGHT 6555.00 SOLD 6556.00				**25.00**	**0.00**
19SEP17	B 1 PD19DEC17	6529.50	6517.35	USD	-303.75	
19SEP17	S 1 PD19DEC17	6531.00	6517.35	USD	341.25	
	NET 0 AVG BGHT 6529.50 SOLD 6531.00				**37.50**	**0.00**
20SEP17	S 1 PD20DEC17	6560.50	6517.75	USD	1,068.75	
20SEP17	B 1 PD20DEC17	6554.00	6517.75	USD	-906.25	
	NET 0 AVG BGHT 6554.00 SOLD 6560.50				**162.50**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083XA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
21SEP17	B 1 PD21DEC17	6447.00	6516.75	USD	1,743.75	
21SEP17	S 1 PD21DEC17	6450.50	6516.75	USD	-1,656.25	
	NET 0 AVG BGHT 6447.00 SOLD 6450.50				**87.50**	**0.00**
22SEP17	B 1 PD22DEC17	6411.50	6515.75	USD	2,606.25	
22SEP17	S 1 PD22DEC17	6412.50	6515.75	USD	-2,581.25	
	NET 0 AVG BGHT 6411.50 SOLD 6412.50				**25.00**	**0.00**
25SEP17	B 1 PD27DEC17	6460.00	6517.52	USD	1,438.00	
25SEP17	S 1 PD27DEC17	6460.50	6517.52	USD	-1,425.50	
25SEP17	S 1 PD27DEC17	6448.00	6517.52	USD	-1,738.00	
25SEP17	B 1 PD27DEC17	6449.00	6517.52	USD	1,713.00	
27SEP17	B 1 PD27DEC17	6465.50	6517.52	USD	1,300.50	
27SEP17	B 1 PD27DEC17	6466.50	6517.52	USD	1,275.50	
27SEP17	S 1 PD27DEC17	6465.50	6517.52	USD	-1,300.50	
27SEP17	S 1 PD27DEC17	6466.00	6517.52	USD	-1,288.00	
	NET 0 AVG BGHT 6460.25 SOLD 6460.00				**-25.00**	**0.00**
28SEP17	B 1 PD28DEC17	6449.00	6517.88	USD	1,722.00	
28SEP17	S 1 PD28DEC17	6450.00	6517.88	USD	-1,697.00	
	NET 0 AVG BGHT 6449.00 SOLD 6450.00				**25.00**	**0.00**
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
04JUL17	B 1 PD04OCT17	2319.50	2584.77	USD	6,631.75	
04JUL17	B 2 PD04OCT17	2320.00	2584.77	USD	13,238.50	
04JUL17	S 4 PD04OCT17	2311.50	2584.77	USD	-27,327.00	
04JUL17	B 1 PD04OCT17	2311.00	2584.77	USD	6,844.25	
04JUL17	B 1 PD04OCT17	2306.00	2584.77	USD	6,969.25	
04JUL17	S 1 PD04OCT17	2306.00	2584.77	USD	-6,969.25	
04JUL17	B 1 PD04OCT17	2304.00	2584.77	USD	7,019.25	
04JUL17	B 1 PD04OCT17	2305.00	2584.77	USD	6,994.25	
04JUL17	B 1 PD04OCT17	2303.50	2584.77	USD	7,031.75	
04JUL17	S 1 PD04OCT17	2308.00	2584.77	USD	-6,919.25	
04JUL17	B 1 PD04OCT17	2310.00	2584.77	USD	6,869.25	
04JUL17	B 1 PD04OCT17	2293.50	2584.77	USD	7,281.75	
04JUL17	S 1 PD04OCT17	2288.50	2584.77	USD	-7,406.75	
04JUL17	S 1 PD04OCT17	2301.00	2584.77	USD	-7,094.25	
04JUL17	B 1 PD04OCT17	2305.50	2584.77	USD	6,981.75	
04JUL17	S 1 PD04OCT17	2305.00	2584.77	USD	-6,994.25	
04JUL17	B 1 PD04OCT17	2300.00	2584.77	USD	7,119.25	
04JUL17	B 1 PD04OCT17	2298.50	2584.77	USD	7,156.75	
04JUL17	B 1 PD04OCT17	2296.00	2584.77	USD	7,219.25	
04JUL17	B 1 PD04OCT17	2296.50	2584.77	USD	7,206.75	
04JUL17	B 1 PD04OCT17	2294.00	2584.77	USD	7,269.25	
04JUL17	S 2 PD04OCT17	2295.50	2584.77	USD	-14,463.50	
04JUL17	B 2 PD04OCT17	2289.00	2584.77	USD	14,788.50	
04JUL17	S 1 PD04OCT17	2303.00	2584.77	USD	-7,044.25	
19JUL17	S 6 PD04OCT17	2214.75	2584.77	USD	-55,503.00	
	NET 0 AVG BGHT 2303.39 SOLD 2274.28				**-13,100.00**	**0.00**
05JUL17	S 1 PD05OCT17	2293.00	2585.00	USD	-7,300.00	
05JUL17	B 1 PD05OCT17	2301.00	2585.00	USD	7,100.00	
05JUL17	B 1 PD05OCT17	2296.00	2585.00	USD	7,225.00	
05JUL17	S 1 PD05OCT17	2288.00	2585.00	USD	-7,425.00	
05JUL17	B 1 PD05OCT17	2273.50	2585.00	USD	7,787.50	
05JUL17	B 1 PD05OCT17	2270.50	2585.00	USD	7,862.50	
05JUL17	B 1 PD05OCT17	2272.00	2585.00	USD	7,825.00	
05JUL17	B 3 PD05OCT17	2272.50	2585.00	USD	23,437.50	
05JUL17	S 1 PD05OCT17	2281.50	2585.00	USD	-7,587.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083XA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
05JUL17	S 1 PD05OCT17	2282.00	2585.00	USD	-7,575.00	
05JUL17	S 4 PD05OCT17	2280.00	2585.00	USD	-30,500.00	
05JUL17	S 2 PD05OCT17	2279.00	2585.00	USD	-15,300.00	
05JUL17	S 2 PD05OCT17	2277.50	2585.00	USD	-15,375.00	
05JUL17	B 1 PD05OCT17	2270.00	2585.00	USD	7,875.00	
05JUL17	S 2 PD05OCT17	2272.00	2585.00	USD	-15,650.00	
05JUL17	B 1 PD05OCT17	2266.00	2585.00	USD	7,975.00	
05JUL17	B 2 PD05OCT17	2265.00	2585.00	USD	16,000.00	
05JUL17	B 2 PD05OCT17	2265.50	2585.00	USD	15,975.00	
05JUL17	B 1 PD05OCT17	2299.00	2585.00	USD	7,150.00	
05JUL17	S 1 PD05OCT17	2281.00	2585.00	USD	-7,600.00	
05JUL17	B 1 PD05OCT17	2264.50	2585.00	USD	8,012.50	
05JUL17	S 1 PD05OCT17	2252.50	2585.00	USD	-8,312.50	
05JUL17	S 1 PD05OCT17	2255.00	2585.00	USD	-8,250.00	
05JUL17	S 1 PD05OCT17	2257.50	2585.00	USD	-8,187.50	
05JUL17	B 1 PD05OCT17	2265.00	2585.00	USD	8,000.00	
05JUL17	S 1 PD05OCT17	2248.50	2585.00	USD	-8,412.50	
05JUL17	B 1 PD05OCT17	2244.00	2585.00	USD	8,525.00	
05JUL17	B 1 PD05OCT17	2246.50	2585.00	USD	8,462.50	
05JUL17	S 1 PD05OCT17	2274.00	2585.00	USD	-7,775.00	
05JUL17	S 6 PD05OCT17	2273.00	2585.00	USD	-46,800.00	
05JUL17	S 2 PD05OCT17	2273.50	2585.00	USD	-15,575.00	
05JUL17	S 1 PD05OCT17	2273.00	2585.00	USD	-7,800.00	
19JUL17	B 6 PD05OCT17	2215.15	2585.00	USD	55,477.50	
19JUL17	B 4 PD05OCT17	2214.95	2585.00	USD	37,005.00	
	NET 0 AVG BGHT 2251.63 SOLD 2274.07				**16,270.00**	**0.00**
06JUL17	S 3 PD06OCT17	2261.00	2585.23	USD	-24,317.25	
06JUL17	S 2 PD06OCT17	2258.50	2585.23	USD	-16,336.50	
06JUL17	S 2 PD06OCT17	2259.00	2585.23	USD	-16,311.50	
06JUL17	S 1 PD06OCT17	2260.00	2585.23	USD	-8,130.75	
06JUL17	S 1 PD06OCT17	2258.00	2585.23	USD	-8,180.75	
06JUL17	S 2 PD06OCT17	2262.00	2585.23	USD	-16,161.50	
06JUL17	B 1 PD06OCT17	2258.00	2585.23	USD	8,180.75	
06JUL17	B 1 PD06OCT17	2264.00	2585.23	USD	8,030.75	
06JUL17	B 2 PD06OCT17	2264.50	2585.23	USD	16,036.50	
06JUL17	B 2 PD06OCT17	2273.50	2585.23	USD	15,586.50	
06JUL17	B 1 PD06OCT17	2279.00	2585.23	USD	7,655.75	
06JUL17	B 1 PD06OCT17	2280.00	2585.23	USD	7,630.75	
06JUL17	B 2 PD06OCT17	2289.00	2585.23	USD	14,811.50	
06JUL17	B 1 PD06OCT17	2287.00	2585.23	USD	7,455.75	
06JUL17	S 1 PD06OCT17	2259.00	2585.23	USD	-8,155.75	
06JUL17	S 1 PD06OCT17	2257.00	2585.23	USD	-8,205.75	
06JUL17	S 1 PD06OCT17	2262.00	2585.23	USD	-8,080.75	
06JUL17	B 2 PD06OCT17	2285.50	2585.23	USD	14,986.50	
06JUL17	B 2 PD06OCT17	2285.00	2585.23	USD	15,011.50	
06JUL17	B 1 PD06OCT17	2285.00	2585.23	USD	7,505.75	
07JUL17	S 7 PD06OCT17	2290.00	2585.23	USD	-51,665.25	
07JUL17	S 2 PD06OCT17	2305.00	2585.23	USD	-14,011.50	
07JUL17	S 1 PD06OCT17	2302.50	2585.23	USD	-7,068.25	
07JUL17	S 1 PD06OCT17	2301.50	2585.23	USD	-7,093.25	
07JUL17	B 1 PD06OCT17	2301.00	2585.23	USD	7,105.75	
07JUL17	B 1 PD06OCT17	2300.00	2585.23	USD	7,130.75	
07JUL17	B 2 PD06OCT17	2295.00	2585.23	USD	14,511.50	
07JUL17	B 2 PD06OCT17	2294.50	2585.23	USD	14,536.50	
07JUL17	B 1 PD06OCT17	2297.00	2585.23	USD	7,205.75	
07JUL17	B 3 PD06OCT17	2290.00	2585.23	USD	22,142.25	
07JUL17	B 2 PD06OCT17	2289.50	2585.23	USD	14,786.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083XA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
07JUL17	B 1 PD06OCT17	2288.00	2585.23	USD	7,430.75	
07JUL17	B 1 PD06OCT17	2289.00	2585.23	USD	7,405.75	
07JUL17	S 2 PD06OCT17	2284.00	2585.23	USD	-15,061.50	
07JUL17	S 1 PD06OCT17	2283.50	2585.23	USD	-7,543.25	
07JUL17	S 3 PD06OCT17	2282.00	2585.23	USD	-22,742.25	
07JUL17	S 1 PD06OCT17	2290.00	2585.23	USD	-7,380.75	
07JUL17	S 1 PD06OCT17	2284.00	2585.23	USD	-7,530.75	
07JUL17	B 1 PD06OCT17	2283.00	2585.23	USD	7,555.75	
07JUL17	B 1 PD06OCT17	2281.50	2585.23	USD	7,593.25	
07JUL17	B 1 PD06OCT17	2280.00	2585.23	USD	7,630.75	
07JUL17	B 1 PD06OCT17	2276.00	2585.23	USD	7,730.75	
07JUL17	S 1 PD06OCT17	2287.00	2585.23	USD	-7,455.75	
07JUL17	S 1 PD06OCT17	2294.50	2585.23	USD	-7,268.25	
07JUL17	S 1 PD06OCT17	2298.50	2585.23	USD	-7,168.25	
07JUL17	S 1 PD06OCT17	2300.00	2585.23	USD	-7,130.75	
07JUL17	S 1 PD06OCT17	2299.50	2585.23	USD	-7,143.25	
07JUL17	S 4 PD06OCT17	2301.00	2585.23	USD	-28,423.00	
07JUL17	S 1 PD06OCT17	2291.50	2585.23	USD	-7,343.25	
07JUL17	S 4 PD06OCT17	2295.00	2585.23	USD	-29,023.00	
07JUL17	B 2 PD06OCT17	2296.50	2585.23	USD	14,436.50	
07JUL17	S 1 PD06OCT17	2296.00	2585.23	USD	-7,230.75	
07JUL17	B 3 PD06OCT17	2296.00	2585.23	USD	21,692.25	
07JUL17	B 4 PD06OCT17	2295.50	2585.23	USD	28,973.00	
07JUL17	B 1 PD06OCT17	2298.00	2585.23	USD	7,180.75	
07JUL17	B 4 PD06OCT17	2299.00	2585.23	USD	28,623.00	
07JUL17	B 1 PD06OCT17	2298.50	2585.23	USD	7,168.25	
19JUL17	S 1 PD06OCT17	2214.94	2585.23	USD	-9,257.25	
	NET 0 AVG BGHT 2288.31 SOLD 2282.03				**-7,689.00**	**0.00**
10JUL17	B 1 PD10OCT17	2296.00	2586.15	USD	7,253.75	
10JUL17	B 1 PD10OCT17	2297.50	2586.15	USD	7,216.25	
10JUL17	B 3 PD10OCT17	2298.00	2586.15	USD	21,611.25	
10JUL17	B 1 PD10OCT17	2284.00	2586.15	USD	7,553.75	
10JUL17	S 2 PD10OCT17	2280.00	2586.15	USD	-15,307.50	
10JUL17	B 1 PD10OCT17	2299.00	2586.15	USD	7,178.75	
10JUL17	S 1 PD10OCT17	2282.50	2586.15	USD	-7,591.25	
10JUL17	S 2 PD10OCT17	2283.00	2586.15	USD	-15,157.50	
10JUL17	S 1 PD10OCT17	2277.50	2586.15	USD	-7,716.25	
10JUL17	B 1 PD10OCT17	2279.50	2586.15	USD	7,666.25	
10JUL17	B 1 PD10OCT17	2282.50	2586.15	USD	7,591.25	
10JUL17	S 3 PD10OCT17	2288.00	2586.15	USD	-22,361.25	
10JUL17	S 1 PD10OCT17	2284.00	2586.15	USD	-7,553.75	
10JUL17	S 1 PD10OCT17	2285.00	2586.15	USD	-7,528.75	
10JUL17	S 1 PD10OCT17	2289.00	2586.15	USD	-7,428.75	
10JUL17	S 1 PD10OCT17	2290.00	2586.15	USD	-7,403.75	
10JUL17	S 1 PD10OCT17	2286.50	2586.15	USD	-7,491.25	
10JUL17	S 1 PD10OCT17	2287.50	2586.15	USD	-7,466.25	
10JUL17	B 1 PD10OCT17	2281.00	2586.15	USD	7,628.75	
10JUL17	B 3 PD10OCT17	2281.50	2586.15	USD	22,848.75	
10JUL17	B 1 PD10OCT17	2274.50	2586.15	USD	7,791.25	
10JUL17	B 1 PD10OCT17	2273.50	2586.15	USD	7,816.25	
10JUL17	B 1 PD10OCT17	2274.00	2586.15	USD	7,803.75	
10JUL17	B 1 PD10OCT17	2275.50	2586.15	USD	7,766.25	
10JUL17	B 1 PD10OCT17	2284.50	2586.15	USD	7,541.25	
10JUL17	B 2 PD10OCT17	2278.50	2586.15	USD	15,382.50	
10JUL17	S 1 PD10OCT17	2292.50	2586.15	USD	-7,341.25	
10JUL17	S 1 PD10OCT17	2293.00	2586.15	USD	-7,328.75	
10JUL17	S 1 PD10OCT17	2302.00	2586.15	USD	-7,103.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083XA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
10JUL17	S 1 PD10OCT17	2310.50	2586.15	USD	-6,891.25	
10JUL17	B 1 PD10OCT17	2313.50	2586.15	USD	6,816.25	
10JUL17	B 1 PD10OCT17	2313.00	2586.15	USD	6,828.75	
10JUL17	B 1 PD10OCT17	2310.00	2586.15	USD	6,903.75	
10JUL17	S 2 PD10OCT17	2315.50	2586.15	USD	-13,532.50	
19JUL17	S 2 PD10OCT17	2215.93	2586.15	USD	-18,511.00	
	NET 0 AVG BGHT 2288.41 SOLD 2284.04				**-2,516.00**	**0.00**
11JUL17	B 1 PD11OCT17	2326.00	2586.38	USD	6,509.50	
11JUL17	S 1 PD11OCT17	2317.50	2586.38	USD	-6,722.00	
11JUL17	B 1 PD11OCT17	2322.00	2586.38	USD	6,609.50	
11JUL17	S 1 PD11OCT17	2320.50	2586.38	USD	-6,647.00	
11JUL17	S 1 PD11OCT17	2316.50	2586.38	USD	-6,747.00	
11JUL17	S 1 PD11OCT17	2322.50	2586.38	USD	-6,597.00	
11JUL17	S 1 PD11OCT17	2319.50	2586.38	USD	-6,672.00	
11JUL17	S 1 PD11OCT17	2324.00	2586.38	USD	-6,559.50	
11JUL17	S 2 PD11OCT17	2321.00	2586.38	USD	-13,269.00	
11JUL17	B 3 PD11OCT17	2324.00	2586.38	USD	19,678.50	
11JUL17	B 5 PD11OCT17	2325.00	2586.38	USD	32,672.50	
11JUL17	B 2 PD11OCT17	2326.50	2586.38	USD	12,994.00	
11JUL17	B 2 PD11OCT17	2328.00	2586.38	USD	12,919.00	
11JUL17	S 1 PD11OCT17	2328.50	2586.38	USD	-6,447.00	
11JUL17	B 1 PD11OCT17	2328.50	2586.38	USD	6,447.00	
11JUL17	S 2 PD11OCT17	2326.50	2586.38	USD	-12,994.00	
11JUL17	S 2 PD11OCT17	2322.00	2586.38	USD	-13,219.00	
11JUL17	S 1 PD11OCT17	2325.00	2586.38	USD	-6,534.50	
11JUL17	S 2 PD11OCT17	2324.50	2586.38	USD	-13,094.00	
11JUL17	B 1 PD11OCT17	2318.00	2586.38	USD	6,709.50	
11JUL17	S 1 PD11OCT17	2315.00	2586.38	USD	-6,784.50	
11JUL17	S 1 PD11OCT17	2314.00	2586.38	USD	-6,809.50	
11JUL17	B 1 PD11OCT17	2313.00	2586.38	USD	6,834.50	
11JUL17	B 1 PD11OCT17	2315.50	2586.38	USD	6,772.00	
11JUL17	B 4 PD11OCT17	2315.00	2586.38	USD	27,138.00	
11JUL17	S 1 PD11OCT17	2319.00	2586.38	USD	-6,684.50	
11JUL17	S 1 PD11OCT17	2321.00	2586.38	USD	-6,634.50	
11JUL17	B 1 PD11OCT17	2325.50	2586.38	USD	6,522.00	
11JUL17	B 1 PD11OCT17	2313.50	2586.38	USD	6,822.00	
11JUL17	S 10 PD11OCT17	2317.00	2586.38	USD	-67,345.00	
11JUL17	S 2 PD11OCT17	2327.00	2586.38	USD	-12,969.00	
11JUL17	B 1 PD11OCT17	2327.00	2586.38	USD	6,484.50	
11JUL17	B 1 PD11OCT17	2327.50	2586.38	USD	6,472.00	
11JUL17	S 2 PD11OCT17	2329.50	2586.38	USD	-12,844.00	
11JUL17	S 1 PD11OCT17	2333.00	2586.38	USD	-6,334.50	
11JUL17	S 1 PD11OCT17	2338.50	2586.38	USD	-6,197.00	
11JUL17	S 1 PD11OCT17	2303.00	2586.38	USD	-7,084.50	
11JUL17	B 3 PD11OCT17	2310.00	2586.38	USD	20,728.50	
11JUL17	B 2 PD11OCT17	2305.00	2586.38	USD	14,069.00	
11JUL17	B 1 PD11OCT17	2304.50	2586.38	USD	7,047.00	
11JUL17	B 1 PD11OCT17	2305.50	2586.38	USD	7,022.00	
11JUL17	B 2 PD11OCT17	2306.00	2586.38	USD	14,019.00	
11JUL17	B 2 PD11OCT17	2309.00	2586.38	USD	13,869.00	
11JUL17	B 1 PD11OCT17	2310.50	2586.38	USD	6,897.00	
11JUL17	B 1 PD11OCT17	2311.00	2586.38	USD	6,884.50	
11JUL17	S 1 PD11OCT17	2308.00	2586.38	USD	-6,959.50	
11JUL17	B 3 PD11OCT17	2308.00	2586.38	USD	20,878.50	
11JUL17	B 2 PD11OCT17	2307.50	2586.38	USD	13,944.00	
11JUL17	B 3 PD11OCT17	2307.00	2586.38	USD	20,953.50	
11JUL17	B 2 PD11OCT17	2306.50	2586.38	USD	13,994.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083XA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
11JUL17	S 1 PD11OCT17	2306.00	2586.38	USD	-7,009.50	
11JUL17	S 1 PD11OCT17	2310.00	2586.38	USD	-6,909.50	
19JUL17	S 4 PD11OCT17	2215.93	2586.38	USD	-37,045.00	
19JUL17	S 5 PD11OCT17	2216.13	2586.38	USD	-46,281.25	
	NET 0 AVG BGHT 2315.45 SOLD 2301.16				**-17,503.25**	**0.00**
12JUL17	S 1 PD12OCT17	2315.00	2586.62	USD	-6,790.50	
12JUL17	S 1 PD12OCT17	2315.50	2586.62	USD	-6,778.00	
12JUL17	B 1 PD12OCT17	2322.00	2586.62	USD	6,615.50	
12JUL17	S 2 PD12OCT17	2324.00	2586.62	USD	-13,131.00	
12JUL17	S 1 PD12OCT17	2312.50	2586.62	USD	-6,853.00	
12JUL17	B 1 PD12OCT17	2312.50	2586.62	USD	6,853.00	
12JUL17	S 1 PD12OCT17	2317.50	2586.62	USD	-6,728.00	
12JUL17	S 1 PD12OCT17	2319.00	2586.62	USD	-6,690.50	
12JUL17	B 1 PD12OCT17	2319.50	2586.62	USD	6,678.00	
12JUL17	S 1 PD12OCT17	2335.50	2586.62	USD	-6,278.00	
12JUL17	S 2 PD12OCT17	2335.00	2586.62	USD	-12,581.00	
12JUL17	S 1 PD12OCT17	2336.00	2586.62	USD	-6,265.50	
12JUL17	S 1 PD12OCT17	2337.00	2586.62	USD	-6,240.50	
12JUL17	B 1 PD12OCT17	2338.50	2586.62	USD	6,203.00	
12JUL17	S 1 PD12OCT17	2338.00	2586.62	USD	-6,215.50	
12JUL17	B 1 PD12OCT17	2336.00	2586.62	USD	6,265.50	
12JUL17	B 4 PD12OCT17	2333.00	2586.62	USD	25,362.00	
12JUL17	B 1 PD12OCT17	2332.50	2586.62	USD	6,353.00	
12JUL17	B 1 PD12OCT17	2329.00	2586.62	USD	6,440.50	
12JUL17	B 1 PD12OCT17	2325.50	2586.62	USD	6,528.00	
12JUL17	S 1 PD12OCT17	2315.50	2586.62	USD	-6,778.00	
12JUL17	S 1 PD12OCT17	2320.50	2586.62	USD	-6,653.00	
12JUL17	B 2 PD12OCT17	2331.50	2586.62	USD	12,756.00	
12JUL17	B 3 PD12OCT17	2331.00	2586.62	USD	19,171.50	
12JUL17	B 3 PD12OCT17	2330.50	2586.62	USD	19,209.00	
12JUL17	S 2 PD12OCT17	2330.00	2586.62	USD	-12,831.00	
12JUL17	B 2 PD12OCT17	2330.00	2586.62	USD	12,831.00	
12JUL17	B 1 PD12OCT17	2329.50	2586.62	USD	6,428.00	
12JUL17	B 1 PD12OCT17	2328.50	2586.62	USD	6,453.00	
12JUL17	B 1 PD12OCT17	2323.50	2586.62	USD	6,578.00	
12JUL17	B 1 PD12OCT17	2320.00	2586.62	USD	6,665.50	
12JUL17	S 4 PD12OCT17	2323.00	2586.62	USD	-26,362.00	
12JUL17	S 5 PD12OCT17	2324.50	2586.62	USD	-32,765.00	
12JUL17	S 3 PD12OCT17	2325.50	2586.62	USD	-19,584.00	
12JUL17	S 1 PD12OCT17	2326.50	2586.62	USD	-6,503.00	
12JUL17	S 1 PD12OCT17	2329.50	2586.62	USD	-6,428.00	
12JUL17	S 3 PD12OCT17	2322.50	2586.62	USD	-19,809.00	
12JUL17	B 1 PD12OCT17	2322.50	2586.62	USD	6,603.00	
19JUL17	B 7 PD12OCT17	2216.52	2586.62	USD	64,767.50	
	NET 0 AVG BGHT 2305.72 SOLD 2325.13				**16,496.50**	**0.00**
13JUL17	B 1 PD13OCT17	2320.50	2586.85	USD	6,658.75	
13JUL17	B 1 PD13OCT17	2323.00	2586.85	USD	6,596.25	
13JUL17	B 1 PD13OCT17	2321.50	2586.85	USD	6,633.75	
13JUL17	B 1 PD13OCT17	2316.50	2586.85	USD	6,758.75	
13JUL17	B 1 PD13OCT17	2315.50	2586.85	USD	6,783.75	
13JUL17	B 2 PD13OCT17	2313.00	2586.85	USD	13,692.50	
13JUL17	B 1 PD13OCT17	2314.00	2586.85	USD	6,821.25	
13JUL17	S 3 PD13OCT17	2312.00	2586.85	USD	-20,613.75	
13JUL17	S 3 PD13OCT17	2327.00	2586.85	USD	-19,488.75	
13JUL17	S 1 PD13OCT17	2326.00	2586.85	USD	-6,521.25	
13JUL17	S 1 PD13OCT17	2325.00	2586.85	USD	-6,546.25	
13JUL17	B 1 PD13OCT17	2317.00	2586.85	USD	6,746.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083XA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
13JUL17	S 1 PD13OCT17	2318.50	2586.85	USD	-6,708.75	
14JUL17	S 1 PD13OCT17	2280.50	2586.85	USD	-7,658.75	
14JUL17	B 2 PD13OCT17	2284.00	2586.85	USD	15,142.50	
14JUL17	B 1 PD13OCT17	2286.50	2586.85	USD	7,508.75	
14JUL17	S 1 PD13OCT17	2287.50	2586.85	USD	-7,483.75	
14JUL17	S 1 PD13OCT17	2288.50	2586.85	USD	-7,458.75	
14JUL17	S 2 PD13OCT17	2289.00	2586.85	USD	-14,892.50	
14JUL17	S 4 PD13OCT17	2286.50	2586.85	USD	-30,035.00	
14JUL17	B 1 PD13OCT17	2286.00	2586.85	USD	7,521.25	
14JUL17	B 1 PD13OCT17	2285.50	2586.85	USD	7,533.75	
14JUL17	B 1 PD13OCT17	2287.50	2586.85	USD	7,483.75	
14JUL17	B 1 PD13OCT17	2288.00	2586.85	USD	7,471.25	
14JUL17	B 2 PD13OCT17	2285.00	2586.85	USD	15,092.50	
14JUL17	B 1 PD13OCT17	2278.00	2586.85	USD	7,721.25	
14JUL17	S 1 PD13OCT17	2277.00	2586.85	USD	-7,746.25	
14JUL17	B 2 PD13OCT17	2276.00	2586.85	USD	15,542.50	
14JUL17	B 2 PD13OCT17	2277.00	2586.85	USD	15,492.50	
14JUL17	B 3 PD13OCT17	2277.50	2586.85	USD	23,201.25	
14JUL17	B 1 PD13OCT17	2276.50	2586.85	USD	7,758.75	
14JUL17	S 1 PD13OCT17	2285.00	2586.85	USD	-7,546.25	
14JUL17	B 1 PD13OCT17	2280.00	2586.85	USD	7,671.25	
14JUL17	B 1 PD13OCT17	2279.00	2586.85	USD	7,696.25	
14JUL17	S 1 PD13OCT17	2274.00	2586.85	USD	-7,821.25	
14JUL17	S 1 PD13OCT17	2275.00	2586.85	USD	-7,796.25	
14JUL17	S 2 PD13OCT17	2274.50	2586.85	USD	-15,617.50	
14JUL17	B 1 PD13OCT17	2270.50	2586.85	USD	7,908.75	
14JUL17	B 1 PD13OCT17	2269.50	2586.85	USD	7,933.75	
14JUL17	B 1 PD13OCT17	2269.00	2586.85	USD	7,946.25	
14JUL17	B 2 PD13OCT17	2273.00	2586.85	USD	15,692.50	
14JUL17	S 1 PD13OCT17	2282.50	2586.85	USD	-7,608.75	
14JUL17	S 2 PD13OCT17	2284.00	2586.85	USD	-15,142.50	
14JUL17	S 2 PD13OCT17	2287.00	2586.85	USD	-14,992.50	
14JUL17	S 1 PD13OCT17	2281.50	2586.85	USD	-7,633.75	
14JUL17	S 2 PD13OCT17	2281.50	2586.85	USD	-15,267.50	
14JUL17	S 1 PD13OCT17	2281.00	2586.85	USD	-7,646.25	
14JUL17	S 1 PD13OCT17	2292.00	2586.85	USD	-7,371.25	
14JUL17	S 1 PD13OCT17	2297.50	2586.85	USD	-7,233.75	
14JUL17	S 1 PD13OCT17	2304.50	2586.85	USD	-7,058.75	
14JUL17	S 1 PD13OCT17	2306.00	2586.85	USD	-7,021.25	
14JUL17	B 1 PD13OCT17	2313.00	2586.85	USD	6,846.25	
14JUL17	S 1 PD13OCT17	2317.50	2586.85	USD	-6,733.75	
14JUL17	S 1 PD13OCT17	2315.50	2586.85	USD	-6,783.75	
14JUL17	B 2 PD13OCT17	2316.00	2586.85	USD	13,542.50	
14JUL17	B 1 PD13OCT17	2317.50	2586.85	USD	6,733.75	
19JUL17	B 1 PD13OCT17	2216.52	2586.85	USD	9,258.25	
	NET 0 AVG BGHT 2290.04 SOLD 2295.13				**4,962.00**	**0.00**
17JUL17	S 1 PD17OCT17	2324.50	2587.77	USD	-6,581.75	
17JUL17	S 2 PD17OCT17	2326.00	2587.77	USD	-13,088.50	
17JUL17	S 4 PD17OCT17	2325.50	2587.77	USD	-26,227.00	
17JUL17	S 1 PD17OCT17	2327.00	2587.77	USD	-6,519.25	
17JUL17	S 1 PD17OCT17	2328.50	2587.77	USD	-6,481.75	
17JUL17	S 1 PD17OCT17	2329.50	2587.77	USD	-6,456.75	
17JUL17	B 1 PD17OCT17	2322.50	2587.77	USD	6,631.75	
17JUL17	B 1 PD17OCT17	2321.50	2587.77	USD	6,656.75	
17JUL17	S 2 PD17OCT17	2330.00	2587.77	USD	-12,888.50	
17JUL17	B 1 PD17OCT17	2324.50	2587.77	USD	6,581.75	
17JUL17	B 2 PD17OCT17	2325.00	2587.77	USD	13,138.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083XA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
17JUL17	B 2 PD17OCT17	2320.00	2587.77	USD	13,388.50	
17JUL17	B 3 PD17OCT17	2322.00	2587.77	USD	19,932.75	
17JUL17	B 1 PD17OCT17	2321.00	2587.77	USD	6,669.25	
17JUL17	B 1 PD17OCT17	2319.50	2587.77	USD	6,706.75	
17JUL17	B 1 PD17OCT17	2319.00	2587.77	USD	6,719.25	
17JUL17	B 1 PD17OCT17	2324.50	2587.77	USD	6,581.75	
17JUL17	B 1 PD17OCT17	2315.50	2587.77	USD	6,806.75	
17JUL17	S 1 PD17OCT17	2317.50	2587.77	USD	-6,756.75	
17JUL17	S 1 PD17OCT17	2316.00	2587.77	USD	-6,794.25	
17JUL17	B 1 PD17OCT17	2314.00	2587.77	USD	6,844.25	
17JUL17	S 1 PD17OCT17	2306.00	2587.77	USD	-7,044.25	
17JUL17	S 1 PD17OCT17	2307.00	2587.77	USD	-7,019.25	
17JUL17	B 1 PD17OCT17	2295.00	2587.77	USD	7,319.25	
17JUL17	B 1 PD17OCT17	2294.00	2587.77	USD	7,344.25	
17JUL17	S 2 PD17OCT17	2299.00	2587.77	USD	-14,438.50	
17JUL17	S 1 PD17OCT17	2297.00	2587.77	USD	-7,269.25	
17JUL17	B 1 PD17OCT17	2297.50	2587.77	USD	7,256.75	
17JUL17	B 1 PD17OCT17	2297.00	2587.77	USD	7,269.25	
19JUL17	S 1 PD17OCT17	2217.10	2587.77	USD	-9,266.75	
	NET 0 AVG BGHT 2316.08 SOLD 2314.11				**-985.00**	**0.00**
18JUL17	B 2 PD18OCT17	2300.50	2588.00	USD	14,375.00	
18JUL17	B 1 PD18OCT17	2301.50	2588.00	USD	7,162.50	
18JUL17	B 1 PD18OCT17	2302.50	2588.00	USD	7,137.50	
18JUL17	B 1 PD18OCT17	2302.00	2588.00	USD	7,150.00	
18JUL17	S 1 PD18OCT17	2304.00	2588.00	USD	-7,100.00	
18JUL17	S 1 PD18OCT17	2285.50	2588.00	USD	-7,562.50	
18JUL17	B 1 PD18OCT17	2287.00	2588.00	USD	7,525.00	
18JUL17	B 1 PD18OCT17	2289.50	2588.00	USD	7,462.50	
18JUL17	S 1 PD18OCT17	2268.50	2588.00	USD	-7,987.50	
18JUL17	S 5 PD18OCT17	2274.50	2588.00	USD	-39,187.50	
18JUL17	S 1 PD18OCT17	2272.50	2588.00	USD	-7,887.50	
18JUL17	S 1 PD18OCT17	2274.50	2588.00	USD	-7,837.50	
05SEP17	B 3 PD18OCT17	2318.75	2588.00	USD	20,193.75	
	NET 0 AVG BGHT 2303.98 SOLD 2277.75				**-6,556.25**	**0.00**
19JUL17	B 2 PD19OCT17	2263.00	2588.00	USD	16,250.00	
19JUL17	B 1 PD19OCT17	2264.50	2588.00	USD	8,087.50	
19JUL17	S 1 PD19OCT17	2260.50	2588.00	USD	-8,187.50	
19JUL17	B 1 PD19OCT17	2265.50	2588.00	USD	8,062.50	
19JUL17	S 1 PD19OCT17	2273.00	2588.00	USD	-7,875.00	
19JUL17	S 1 PD19OCT17	2275.00	2588.00	USD	-7,825.00	
19JUL17	S 1 PD19OCT17	2274.50	2588.00	USD	-7,837.50	
19JUL17	S 1 PD19OCT17	2268.50	2588.00	USD	-7,987.50	
19JUL17	B 1 PD19OCT17	2259.00	2588.00	USD	8,225.00	
19JUL17	B 1 PD19OCT17	2258.50	2588.00	USD	8,237.50	
19JUL17	B 2 PD19OCT17	2258.00	2588.00	USD	16,500.00	
19JUL17	B 1 PD19OCT17	2256.50	2588.00	USD	8,287.50	
19JUL17	B 1 PD19OCT17	2248.00	2588.00	USD	8,500.00	
19JUL17	B 1 PD19OCT17	2241.00	2588.00	USD	8,675.00	
19JUL17	B 1 PD19OCT17	2242.00	2588.00	USD	8,650.00	
19JUL17	S 1 PD19OCT17	2240.50	2588.00	USD	-8,687.50	
19JUL17	S 1 PD19OCT17	2222.00	2588.00	USD	-9,150.00	
19JUL17	S 2 PD19OCT17	2217.50	2588.00	USD	-18,525.00	
05SEP17	S 3 PD19OCT17	2318.30	2588.00	USD	-20,227.50	
	NET 0 AVG BGHT 2256.42 SOLD 2266.99				**3,172.50**	**0.00**
20JUL17	S 1 PD20OCT17	2226.50	2588.00	USD	-9,037.50	
20JUL17	S 1 PD20OCT17	2224.00	2588.00	USD	-9,100.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083XA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
20JUL17	S 2 PD20OCT17	2225.00	2588.00	USD	-18,150.00	
20JUL17	S 2 PD20OCT17	2225.50	2588.00	USD	-18,125.00	
20JUL17	B 1 PD20OCT17	2226.00	2588.00	USD	9,050.00	
20JUL17	B 1 PD20OCT17	2227.00	2588.00	USD	9,025.00	
20JUL17	B 1 PD20OCT17	2225.00	2588.00	USD	9,075.00	
20JUL17	B 1 PD20OCT17	2223.50	2588.00	USD	9,112.50	
20JUL17	B 3 PD20OCT17	2223.00	2588.00	USD	27,375.00	
20JUL17	B 1 PD20OCT17	2221.00	2588.00	USD	9,175.00	
20JUL17	B 1 PD20OCT17	2220.50	2588.00	USD	9,187.50	
20JUL17	B 1 PD20OCT17	2219.00	2588.00	USD	9,225.00	
20JUL17	S 1 PD20OCT17	2221.50	2588.00	USD	-9,162.50	
20JUL17	S 3 PD20OCT17	2226.00	2588.00	USD	-27,150.00	
20JUL17	S 2 PD20OCT17	2223.50	2588.00	USD	-18,225.00	
20JUL17	S 1 PD20OCT17	2222.50	2588.00	USD	-9,137.50	
20JUL17	S 2 PD20OCT17	2224.50	2588.00	USD	-18,175.00	
20JUL17	S 1 PD20OCT17	2228.00	2588.00	USD	-9,000.00	
20JUL17	S 1 PD20OCT17	2227.50	2588.00	USD	-9,012.50	
20JUL17	B 2 PD20OCT17	2224.00	2588.00	USD	18,200.00	
20JUL17	B 1 PD20OCT17	2233.00	2588.00	USD	8,875.00	
20JUL17	B 1 PD20OCT17	2232.50	2588.00	USD	8,887.50	
20JUL17	B 1 PD20OCT17	2230.00	2588.00	USD	8,950.00	
20JUL17	B 1 PD20OCT17	2228.00	2588.00	USD	9,000.00	
20JUL17	B 1 PD20OCT17	2226.50	2588.00	USD	9,037.50	
20JUL17	S 1 PD20OCT17	2212.50	2588.00	USD	-9,387.50	
20JUL17	S 1 PD20OCT17	2214.00	2588.00	USD	-9,350.00	
20JUL17	B 1 PD20OCT17	2217.00	2588.00	USD	9,275.00	
21JUL17	S 1 PD20OCT17	2228.50	2588.00	USD	-8,987.50	
21JUL17	S 1 PD20OCT17	2233.00	2588.00	USD	-8,875.00	
21JUL17	S 1 PD20OCT17	2238.50	2588.00	USD	-8,737.50	
21JUL17	S 1 PD20OCT17	2237.00	2588.00	USD	-8,775.00	
21JUL17	S 1 PD20OCT17	2235.50	2588.00	USD	-8,812.50	
21JUL17	S 1 PD20OCT17	2238.00	2588.00	USD	-8,750.00	
21JUL17	S 2 PD20OCT17	2240.00	2588.00	USD	-17,400.00	
21JUL17	S 1 PD20OCT17	2241.50	2588.00	USD	-8,662.50	
21JUL17	S 1 PD20OCT17	2244.00	2588.00	USD	-8,600.00	
21JUL17	S 1 PD20OCT17	2245.00	2588.00	USD	-8,575.00	
21JUL17	S 1 PD20OCT17	2243.50	2588.00	USD	-8,612.50	
21JUL17	S 2 PD20OCT17	2245.50	2588.00	USD	-17,125.00	
21JUL17	B 1 PD20OCT17	2249.00	2588.00	USD	8,475.00	
21JUL17	B 1 PD20OCT17	2248.00	2588.00	USD	8,500.00	
21JUL17	S 1 PD20OCT17	2247.50	2588.00	USD	-8,512.50	
21JUL17	S 1 PD20OCT17	2246.50	2588.00	USD	-8,537.50	
21JUL17	S 3 PD20OCT17	2246.00	2588.00	USD	-25,650.00	
21JUL17	B 1 PD20OCT17	2241.00	2588.00	USD	8,675.00	
21JUL17	B 1 PD20OCT17	2240.50	2588.00	USD	8,687.50	
21JUL17	B 1 PD20OCT17	2250.00	2588.00	USD	8,450.00	
21JUL17	B 2 PD20OCT17	2248.50	2588.00	USD	16,975.00	
21JUL17	S 1 PD20OCT17	2247.00	2588.00	USD	-8,525.00	
21JUL17	S 1 PD20OCT17	2249.50	2588.00	USD	-8,462.50	
21JUL17	S 1 PD20OCT17	2249.00	2588.00	USD	-8,475.00	
21JUL17	S 1 PD20OCT17	2251.00	2588.00	USD	-8,425.00	
21JUL17	B 3 PD20OCT17	2247.00	2588.00	USD	25,575.00	
21JUL17	B 1 PD20OCT17	2244.50	2588.00	USD	8,587.50	
21JUL17	B 1 PD20OCT17	2243.50	2588.00	USD	8,612.50	
21JUL17	B 3 PD20OCT17	2244.00	2588.00	USD	25,800.00	
21JUL17	B 1 PD20OCT17	2243.00	2588.00	USD	8,625.00	
21JUL17	B 1 PD20OCT17	2242.00	2588.00	USD	8,650.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083XA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
21JUL17	S 2 PD20OCT17	2239.00	2588.00	USD	-17,450.00	
21JUL17	B 4 PD20OCT17	2242.50	2588.00	USD	34,550.00	
05SEP17	B 5 PD20OCT17	2318.25	2588.00	USD	33,718.75	
	NET 0 AVG BGHT 2244.97 SOLD 2234.40				**-11,631.25**	**0.00**
24JUL17	B 1 PD24OCT17	2258.00	2588.00	USD	8,250.00	
24JUL17	B 2 PD24OCT17	2259.00	2588.00	USD	16,450.00	
24JUL17	B 1 PD24OCT17	2259.50	2588.00	USD	8,212.50	
24JUL17	B 1 PD24OCT17	2257.50	2588.00	USD	8,262.50	
24JUL17	B 1 PD24OCT17	2260.50	2588.00	USD	8,187.50	
24JUL17	B 1 PD24OCT17	2262.00	2588.00	USD	8,150.00	
24JUL17	B 1 PD24OCT17	2261.00	2588.00	USD	8,175.00	
24JUL17	B 2 PD24OCT17	2258.50	2588.00	USD	16,475.00	
24JUL17	S 1 PD24OCT17	2255.50	2588.00	USD	-8,312.50	
24JUL17	S 3 PD24OCT17	2255.00	2588.00	USD	-24,975.00	
24JUL17	S 1 PD24OCT17	2253.50	2588.00	USD	-8,362.50	
24JUL17	S 1 PD24OCT17	2256.00	2588.00	USD	-8,300.00	
24JUL17	S 1 PD24OCT17	2257.00	2588.00	USD	-8,275.00	
24JUL17	S 1 PD24OCT17	2257.50	2588.00	USD	-8,262.50	
24JUL17	B 1 PD24OCT17	2257.00	2588.00	USD	8,275.00	
05SEP17	S 3 PD24OCT17	2319.63	2588.00	USD	-20,127.75	
	NET 0 AVG BGHT 2259.14 SOLD 2273.04				**3,822.25**	**0.00**
25JUL17	S 1 PD25OCT17	2273.50	2588.00	USD	-7,862.50	
25JUL17	S 1 PD25OCT17	2272.00	2588.00	USD	-7,900.00	
25JUL17	B 1 PD25OCT17	2272.50	2588.00	USD	7,887.50	
25JUL17	S 1 PD25OCT17	2280.50	2588.00	USD	-7,687.50	
25JUL17	S 1 PD25OCT17	2282.00	2588.00	USD	-7,650.00	
25JUL17	S 1 PD25OCT17	2282.50	2588.00	USD	-7,637.50	
25JUL17	S 2 PD25OCT17	2284.00	2588.00	USD	-15,200.00	
25JUL17	S 1 PD25OCT17	2284.50	2588.00	USD	-7,587.50	
25JUL17	S 1 PD25OCT17	2286.50	2588.00	USD	-7,537.50	
25JUL17	S 1 PD25OCT17	2287.00	2588.00	USD	-7,525.00	
25JUL17	S 1 PD25OCT17	2289.00	2588.00	USD	-7,475.00	
25JUL17	S 5 PD25OCT17	2290.00	2588.00	USD	-37,250.00	
25JUL17	S 1 PD25OCT17	2289.50	2588.00	USD	-7,462.50	
25JUL17	S 2 PD25OCT17	2291.00	2588.00	USD	-14,850.00	
25JUL17	S 1 PD25OCT17	2293.00	2588.00	USD	-7,375.00	
25JUL17	S 1 PD25OCT17	2293.50	2588.00	USD	-7,362.50	
25JUL17	B 1 PD25OCT17	2285.50	2588.00	USD	7,562.50	
25JUL17	S 1 PD25OCT17	2294.00	2588.00	USD	-7,350.00	
25JUL17	S 1 PD25OCT17	2296.50	2588.00	USD	-7,287.50	
25JUL17	S 1 PD25OCT17	2295.50	2588.00	USD	-7,312.50	
25JUL17	S 3 PD25OCT17	2296.00	2588.00	USD	-21,900.00	
25JUL17	S 1 PD25OCT17	2297.00	2588.00	USD	-7,275.00	
25JUL17	B 3 PD25OCT17	2293.50	2588.00	USD	22,087.50	
25JUL17	B 1 PD25OCT17	2297.00	2588.00	USD	7,275.00	
25JUL17	B 1 PD25OCT17	2296.50	2588.00	USD	7,287.50	
25JUL17	S 1 PD25OCT17	2294.50	2588.00	USD	-7,337.50	
25JUL17	S 1 PD25OCT17	2280.00	2588.00	USD	-7,700.00	
25JUL17	B 2 PD25OCT17	2292.50	2588.00	USD	14,775.00	
25JUL17	B 1 PD25OCT17	2294.00	2588.00	USD	7,350.00	
25JUL17	B 1 PD25OCT17	2292.00	2588.00	USD	7,400.00	
25JUL17	B 1 PD25OCT17	2290.00	2588.00	USD	7,450.00	
25JUL17	B 1 PD25OCT17	2307.00	2588.00	USD	7,025.00	
25JUL17	B 1 PD25OCT17	2305.00	2588.00	USD	7,075.00	
25JUL17	B 2 PD25OCT17	2295.50	2588.00	USD	14,625.00	
25JUL17	B 2 PD25OCT17	2291.00	2588.00	USD	14,850.00	
25JUL17	B 1 PD25OCT17	2298.50	2588.00	USD	7,237.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083XA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
25JUL17	B 7 PD25OCT17	2298.00	2588.00	USD	50,750.00	
25JUL17	S 1 PD25OCT17	2311.50	2588.00	USD	-6,912.50	
25JUL17	S 1 PD25OCT17	2316.00	2588.00	USD	-6,800.00	
25JUL17	B 1 PD25OCT17	2311.50	2588.00	USD	6,912.50	
25JUL17	B 1 PD25OCT17	2319.50	2588.00	USD	6,712.50	
25JUL17	B 1 PD25OCT17	2316.00	2588.00	USD	6,800.00	
25JUL17	B 1 PD25OCT17	2320.00	2588.00	USD	6,700.00	
25JUL17	B 2 PD25OCT17	2321.50	2588.00	USD	13,325.00	
	NET 0 AVG BGHT 2299.14 SOLD 2290.20				**-7,150.00**	**0.00**
26JUL17	B 1 PD26OCT17	2332.00	2588.00	USD	6,400.00	
26JUL17	B 1 PD26OCT17	2333.00	2588.00	USD	6,375.00	
26JUL17	B 1 PD26OCT17	2333.50	2588.00	USD	6,362.50	
26JUL17	S 1 PD26OCT17	2344.00	2588.00	USD	-6,100.00	
26JUL17	S 1 PD26OCT17	2344.50	2588.00	USD	-6,087.50	
26JUL17	S 1 PD26OCT17	2345.00	2588.00	USD	-6,075.00	
26JUL17	S 1 PD26OCT17	2347.00	2588.00	USD	-6,025.00	
26JUL17	B 1 PD26OCT17	2339.00	2588.00	USD	6,225.00	
26JUL17	B 1 PD26OCT17	2334.50	2588.00	USD	6,337.50	
26JUL17	S 1 PD26OCT17	2328.50	2588.00	USD	-6,487.50	
26JUL17	B 1 PD26OCT17	2323.00	2588.00	USD	6,625.00	
26JUL17	B 1 PD26OCT17	2313.50	2588.00	USD	6,862.50	
26JUL17	S 1 PD26OCT17	2312.50	2588.00	USD	-6,887.50	
26JUL17	S 1 PD26OCT17	2313.00	2588.00	USD	-6,875.00	
26JUL17	S 1 PD26OCT17	2320.00	2588.00	USD	-6,700.00	
26JUL17	S 1 PD26OCT17	2322.00	2588.00	USD	-6,650.00	
26JUL17	S 1 PD26OCT17	2321.50	2588.00	USD	-6,662.50	
26JUL17	S 1 PD26OCT17	2319.00	2588.00	USD	-6,725.00	
26JUL17	B 1 PD26OCT17	2317.00	2588.00	USD	6,775.00	
26JUL17	B 1 PD26OCT17	2315.00	2588.00	USD	6,825.00	
26JUL17	B 1 PD26OCT17	2313.00	2588.00	USD	6,875.00	
26JUL17	S 1 PD26OCT17	2315.00	2588.00	USD	-6,825.00	
26JUL17	B 6 PD26OCT17	2312.00	2588.00	USD	41,400.00	
26JUL17	S 1 PD26OCT17	2317.00	2588.00	USD	-6,775.00	
26JUL17	S 1 PD26OCT17	2316.50	2588.00	USD	-6,787.50	
26JUL17	S 1 PD26OCT17	2317.50	2588.00	USD	-6,762.50	
26JUL17	B 1 PD26OCT17	2333.00	2588.00	USD	6,375.00	
26JUL17	S 1 PD26OCT17	2346.00	2588.00	USD	-6,050.00	
26JUL17	B 1 PD26OCT17	2310.50	2588.00	USD	6,937.50	
26JUL17	S 1 PD26OCT17	2329.50	2588.00	USD	-6,462.50	
26JUL17	S 1 PD26OCT17	2335.00	2588.00	USD	-6,325.00	
26JUL17	S 1 PD26OCT17	2332.50	2588.00	USD	-6,387.50	
26JUL17	B 1 PD26OCT17	2325.00	2588.00	USD	6,575.00	
26JUL17	B 1 PD26OCT17	2325.50	2588.00	USD	6,562.50	
26JUL17	B 1 PD26OCT17	2324.00	2588.00	USD	6,600.00	
05SEP17	S 2 PD26OCT17	2320.42	2588.00	USD	-13,379.00	
	NET 0 AVG BGHT 2321.12 SOLD 2326.99				**3,083.50**	**0.00**
27JUL17	S 1 PD27OCT17	2326.50	2588.00	USD	-6,537.50	
27JUL17	B 1 PD27OCT17	2331.00	2588.00	USD	6,425.00	
27JUL17	B 1 PD27OCT17	2329.00	2588.00	USD	6,475.00	
27JUL17	S 1 PD27OCT17	2331.00	2588.00	USD	-6,425.00	
27JUL17	S 2 PD27OCT17	2331.50	2588.00	USD	-12,825.00	
27JUL17	B 3 PD27OCT17	2332.00	2588.00	USD	19,200.00	
27JUL17	B 2 PD27OCT17	2330.00	2588.00	USD	12,900.00	
27JUL17	S 1 PD27OCT17	2324.00	2588.00	USD	-6,600.00	
27JUL17	S 2 PD27OCT17	2327.50	2588.00	USD	-13,025.00	
28JUL17	B 1 PD27OCT17	2297.50	2588.00	USD	7,262.50	
28JUL17	S 1 PD27OCT17	2296.50	2588.00	USD	-7,287.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083XA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
28JUL17	S 1 PD27OCT17	2297.50	2588.00	USD	-7,262.50	
28JUL17	S 1 PD27OCT17	2299.50	2588.00	USD	-7,212.50	
28JUL17	S 1 PD27OCT17	2298.50	2588.00	USD	-7,237.50	
28JUL17	S 1 PD27OCT17	2303.00	2588.00	USD	-7,125.00	
28JUL17	S 2 PD27OCT17	2302.00	2588.00	USD	-14,300.00	
28JUL17	B 3 PD27OCT17	2298.00	2588.00	USD	21,750.00	
28JUL17	B 1 PD27OCT17	2297.00	2588.00	USD	7,275.00	
28JUL17	B 1 PD27OCT17	2296.00	2588.00	USD	7,300.00	
28JUL17	B 3 PD27OCT17	2295.00	2588.00	USD	21,975.00	
28JUL17	B 1 PD27OCT17	2291.00	2588.00	USD	7,425.00	
28JUL17	B 1 PD27OCT17	2291.50	2588.00	USD	7,412.50	
28JUL17	B 1 PD27OCT17	2292.00	2588.00	USD	7,400.00	
28JUL17	B 3 PD27OCT17	2292.50	2588.00	USD	22,162.50	
28JUL17	S 1 PD27OCT17	2302.50	2588.00	USD	-7,137.50	
28JUL17	S 3 PD27OCT17	2303.50	2588.00	USD	-21,337.50	
28JUL17	B 1 PD27OCT17	2306.50	2588.00	USD	7,037.50	
28JUL17	S 3 PD27OCT17	2305.00	2588.00	USD	-21,225.00	
28JUL17	S 6 PD27OCT17	2304.50	2588.00	USD	-42,525.00	
28JUL17	B 1 PD27OCT17	2292.50	2588.00	USD	7,387.50	
28JUL17	S 1 PD27OCT17	2292.50	2588.00	USD	-7,387.50	
28JUL17	B 1 PD27OCT17	2292.00	2588.00	USD	7,400.00	
28JUL17	S 1 PD27OCT17	2301.00	2588.00	USD	-7,175.00	
28JUL17	S 3 PD27OCT17	2307.50	2588.00	USD	-21,037.50	
28JUL17	S 2 PD27OCT17	2307.00	2588.00	USD	-14,050.00	
28JUL17	S 1 PD27OCT17	2312.50	2588.00	USD	-6,887.50	
28JUL17	S 1 PD27OCT17	2311.50	2588.00	USD	-6,912.50	
28JUL17	S 1 PD27OCT17	2312.00	2588.00	USD	-6,900.00	
28JUL17	B 1 PD27OCT17	2317.00	2588.00	USD	6,775.00	
28JUL17	B 1 PD27OCT17	2316.00	2588.00	USD	6,800.00	
28JUL17	B 2 PD27OCT17	2315.50	2588.00	USD	13,625.00	
28JUL17	B 1 PD27OCT17	2314.50	2588.00	USD	6,837.50	
28JUL17	B 1 PD27OCT17	2315.00	2588.00	USD	6,825.00	
28JUL17	B 1 PD27OCT17	2323.50	2588.00	USD	6,612.50	
28JUL17	B 1 PD27OCT17	2319.50	2588.00	USD	6,712.50	
05SEP17	B 4 PD27OCT17	2321.01	2588.00	USD	26,699.00	
	NET 0 AVG BGHT 2309.43 SOLD 2308.64				**-738.50**	**0.00**
31JUL17	B 1 PD31OCT17	2339.50	2588.00	USD	6,212.50	
31JUL17	B 1 PD31OCT17	2334.00	2588.00	USD	6,350.00	
31JUL17	S 1 PD31OCT17	2331.00	2588.00	USD	-6,425.00	
31JUL17	S 1 PD31OCT17	2332.00	2588.00	USD	-6,400.00	
31JUL17	S 1 PD31OCT17	2332.50	2588.00	USD	-6,387.50	
31JUL17	S 1 PD31OCT17	2333.50	2588.00	USD	-6,362.50	
31JUL17	S 1 PD31OCT17	2330.00	2588.00	USD	-6,450.00	
31JUL17	B 5 PD31OCT17	2335.50	2588.00	USD	31,562.50	
31JUL17	S 1 PD31OCT17	2336.00	2588.00	USD	-6,300.00	
31JUL17	S 1 PD31OCT17	2339.50	2588.00	USD	-6,212.50	
31JUL17	S 1 PD31OCT17	2337.50	2588.00	USD	-6,262.50	
31JUL17	B 2 PD31OCT17	2337.50	2588.00	USD	12,525.00	
31JUL17	S 1 PD31OCT17	2345.00	2588.00	USD	-6,075.00	
31JUL17	S 1 PD31OCT17	2341.50	2588.00	USD	-6,162.50	
31JUL17	S 1 PD31OCT17	2344.00	2588.00	USD	-6,100.00	
31JUL17	S 1 PD31OCT17	2337.00	2588.00	USD	-6,275.00	
31JUL17	B 1 PD31OCT17	2330.00	2588.00	USD	6,450.00	
31JUL17	S 1 PD31OCT17	2330.00	2588.00	USD	-6,450.00	
31JUL17	S 1 PD31OCT17	2337.00	2588.00	USD	-6,275.00	
31JUL17	B 1 PD31OCT17	2331.00	2588.00	USD	6,425.00	
31JUL17	B 1 PD31OCT17	2333.50	2588.00	USD	6,362.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083XA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
31JUL17	B 2 PD31OCT17	2332.50	2588.00	USD	12,775.00	
31JUL17	B 3 PD31OCT17	2331.50	2588.00	USD	19,237.50	
31JUL17	B 1 PD31OCT17	2327.00	2588.00	USD	6,525.00	
05SEP17	S 4 PD31OCT17	2322.39	2588.00	USD	-26,561.00	
	NET 0 AVG BGHT 2333.72 SOLD 2333.11				**-273.50**	**0.00**
01AUG17	S 4 PD01NOV17	2315.00	2588.00	USD	-27,300.00	
01AUG17	B 2 PD01NOV17	2311.50	2588.00	USD	13,825.00	
01AUG17	B 1 PD01NOV17	2311.00	2588.00	USD	6,925.00	
01AUG17	B 1 PD01NOV17	2309.50	2588.00	USD	6,962.50	
01AUG17	B 1 PD01NOV17	2309.00	2588.00	USD	6,975.00	
01AUG17	B 1 PD01NOV17	2308.50	2588.00	USD	6,987.50	
01AUG17	B 1 PD01NOV17	2305.00	2588.00	USD	7,075.00	
01AUG17	B 1 PD01NOV17	2304.50	2588.00	USD	7,087.50	
01AUG17	B 1 PD01NOV17	2301.50	2588.00	USD	7,162.50	
01AUG17	B 1 PD01NOV17	2302.50	2588.00	USD	7,137.50	
01AUG17	B 1 PD01NOV17	2302.00	2588.00	USD	7,150.00	
01AUG17	S 4 PD01NOV17	2308.00	2588.00	USD	-28,000.00	
01AUG17	S 2 PD01NOV17	2308.50	2588.00	USD	-13,975.00	
01AUG17	S 2 PD01NOV17	2310.50	2588.00	USD	-13,875.00	
01AUG17	S 3 PD01NOV17	2310.00	2588.00	USD	-20,850.00	
01AUG17	S 1 PD01NOV17	2307.00	2588.00	USD	-7,025.00	
01AUG17	S 1 PD01NOV17	2311.00	2588.00	USD	-6,925.00	
01AUG17	S 1 PD01NOV17	2314.00	2588.00	USD	-6,850.00	
01AUG17	S 2 PD01NOV17	2315.50	2588.00	USD	-13,625.00	
01AUG17	S 2 PD01NOV17	2316.00	2588.00	USD	-13,600.00	
01AUG17	S 3 PD01NOV17	2314.50	2588.00	USD	-20,512.50	
01AUG17	S 3 PD01NOV17	2316.50	2588.00	USD	-20,362.50	
01AUG17	S 1 PD01NOV17	2317.00	2588.00	USD	-6,775.00	
01AUG17	S 1 PD01NOV17	2321.00	2588.00	USD	-6,675.00	
01AUG17	S 1 PD01NOV17	2320.00	2588.00	USD	-6,700.00	
01AUG17	S 1 PD01NOV17	2322.00	2588.00	USD	-6,650.00	
01AUG17	S 1 PD01NOV17	2321.50	2588.00	USD	-6,662.50	
01AUG17	B 1 PD01NOV17	2315.50	2588.00	USD	6,812.50	
01AUG17	B 1 PD01NOV17	2316.00	2588.00	USD	6,800.00	
01AUG17	B 1 PD01NOV17	2317.50	2588.00	USD	6,762.50	
01AUG17	S 1 PD01NOV17	2320.50	2588.00	USD	-6,687.50	
01AUG17	S 1 PD01NOV17	2322.50	2588.00	USD	-6,637.50	
01AUG17	S 2 PD01NOV17	2324.50	2588.00	USD	-13,175.00	
01AUG17	B 1 PD01NOV17	2327.00	2588.00	USD	6,525.00	
01AUG17	B 1 PD01NOV17	2329.00	2588.00	USD	6,475.00	
01AUG17	B 1 PD01NOV17	2318.00	2588.00	USD	6,750.00	
01AUG17	B 1 PD01NOV17	2327.50	2588.00	USD	6,512.50	
01AUG17	S 1 PD01NOV17	2330.00	2588.00	USD	-6,450.00	
01AUG17	S 2 PD01NOV17	2330.50	2588.00	USD	-12,875.00	
01AUG17	S 1 PD01NOV17	2333.00	2588.00	USD	-6,375.00	
01AUG17	S 1 PD01NOV17	2333.50	2588.00	USD	-6,362.50	
01AUG17	S 1 PD01NOV17	2329.50	2588.00	USD	-6,462.50	
01AUG17	S 1 PD01NOV17	2335.50	2588.00	USD	-6,312.50	
01AUG17	B 1 PD01NOV17	2338.50	2588.00	USD	6,237.50	
01AUG17	B 1 PD01NOV17	2337.00	2588.00	USD	6,275.00	
01AUG17	B 1 PD01NOV17	2333.50	2588.00	USD	6,362.50	
01AUG17	B 1 PD01NOV17	2334.50	2588.00	USD	6,337.50	
01AUG17	B 2 PD01NOV17	2335.00	2588.00	USD	12,650.00	
01AUG17	B 2 PD01NOV17	2335.50	2588.00	USD	12,625.00	
01AUG17	B 5 PD01NOV17	2336.50	2588.00	USD	31,437.50	
01AUG17	B 7 PD01NOV17	2336.00	2588.00	USD	44,100.00	
01AUG17	S 1 PD01NOV17	2335.50	2588.00	USD	-6,312.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083XA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
01AUG17	B 1 PD01NOV17	2337.50	2588.00	USD	6,262.50	
05SEP17	B 6 PD01NOV17	2322.98	2588.00	USD	39,753.00	
	NET 0 AVG BGHT 2324.92 SOLD 2317.77				**-8,047.00**	**0.00**
02AUG17	S 1 PD02NOV17	2328.50	2588.00	USD	-6,487.50	
02AUG17	S 2 PD02NOV17	2327.50	2588.00	USD	-13,025.00	
02AUG17	S 1 PD02NOV17	2332.00	2588.00	USD	-6,400.00	
02AUG17	S 1 PD02NOV17	2333.00	2588.00	USD	-6,375.00	
02AUG17	S 2 PD02NOV17	2332.50	2588.00	USD	-12,775.00	
02AUG17	S 1 PD02NOV17	2337.50	2588.00	USD	-6,262.50	
02AUG17	S 1 PD02NOV17	2339.00	2588.00	USD	-6,225.00	
02AUG17	B 3 PD02NOV17	2340.00	2588.00	USD	18,600.00	
02AUG17	B 3 PD02NOV17	2339.50	2588.00	USD	18,637.50	
02AUG17	B 1 PD02NOV17	2343.00	2588.00	USD	6,125.00	
02AUG17	B 1 PD02NOV17	2342.50	2588.00	USD	6,137.50	
02AUG17	B 1 PD02NOV17	2342.00	2588.00	USD	6,150.00	
02AUG17	S 2 PD02NOV17	2335.00	2588.00	USD	-12,650.00	
02AUG17	S 1 PD02NOV17	2335.50	2588.00	USD	-6,312.50	
02AUG17	B 2 PD02NOV17	2338.00	2588.00	USD	12,500.00	
02AUG17	B 1 PD02NOV17	2337.00	2588.00	USD	6,275.00	
02AUG17	B 2 PD02NOV17	2343.50	2588.00	USD	12,225.00	
02AUG17	B 1 PD02NOV17	2340.50	2588.00	USD	6,187.50	
02AUG17	B 1 PD02NOV17	2341.00	2588.00	USD	6,175.00	
02AUG17	B 1 PD02NOV17	2339.00	2588.00	USD	6,225.00	
05SEP17	S 5 PD02NOV17	2323.18	2588.00	USD	-33,102.50	
29SEP17	S 10 PD02NOV17	2492.15	2588.00	USD	-23,962.50	
29SEP17	S 5 PD02NOV17	2492.35	2588.00	USD	-11,956.25	
03OCT17	B 13 PD02NOV17	2588.00	2588.00	USD	0.00	
	NET -2 AVG BGHT 2447.68 SOLD 2406.08				**-40,296.25**	**0.00**
03AUG17	B 1 PD03NOV17	2373.50	2588.00	USD	5,362.50	
03AUG17	S 1 PD03NOV17	2368.50	2588.00	USD	-5,487.50	
04AUG17	S 2 PD03NOV17	2365.50	2588.00	USD	-11,125.00	
04AUG17	B 1 PD03NOV17	2372.00	2588.00	USD	5,400.00	
04AUG17	S 2 PD03NOV17	2372.50	2588.00	USD	-10,775.00	
04AUG17	S 1 PD03NOV17	2370.50	2588.00	USD	-5,437.50	
04AUG17	S 3 PD03NOV17	2369.00	2588.00	USD	-16,425.00	
04AUG17	B 2 PD03NOV17	2367.50	2588.00	USD	11,025.00	
04AUG17	B 2 PD03NOV17	2366.00	2588.00	USD	11,100.00	
04AUG17	B 1 PD03NOV17	2367.00	2588.00	USD	5,525.00	
04AUG17	B 1 PD03NOV17	2366.50	2588.00	USD	5,537.50	
04AUG17	B 1 PD03NOV17	2371.50	2588.00	USD	5,412.50	
04AUG17	B 1 PD03NOV17	2356.00	2588.00	USD	5,800.00	
05SEP17	S 1 PD03NOV17	2323.57	2588.00	USD	-6,610.75	
	NET 0 AVG BGHT 2367.35 SOLD 2364.56				**-698.25**	**0.00**
07AUG17	S 1 PD07NOV17	2359.50	2588.00	USD	-5,712.50	
07AUG17	S 2 PD07NOV17	2359.00	2588.00	USD	-11,450.00	
07AUG17	S 1 PD07NOV17	2354.50	2588.00	USD	-5,837.50	
07AUG17	S 2 PD07NOV17	2357.50	2588.00	USD	-11,525.00	
07AUG17	S 1 PD07NOV17	2368.50	2588.00	USD	-5,487.50	
07AUG17	S 1 PD07NOV17	2370.00	2588.00	USD	-5,450.00	
07AUG17	S 1 PD07NOV17	2369.50	2588.00	USD	-5,462.50	
07AUG17	B 1 PD07NOV17	2376.00	2588.00	USD	5,300.00	
07AUG17	B 1 PD07NOV17	2377.00	2588.00	USD	5,275.00	
07AUG17	B 2 PD07NOV17	2374.00	2588.00	USD	10,700.00	
07AUG17	B 1 PD07NOV17	2382.00	2588.00	USD	5,150.00	
07AUG17	B 1 PD07NOV17	2390.00	2588.00	USD	4,950.00	
07AUG17	B 2 PD07NOV17	2375.50	2588.00	USD	10,625.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083XA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
07AUG17	B 4 PD07NOV17	2375.00	2588.00	USD	21,300.00	
07AUG17	S 1 PD07NOV17	2383.50	2588.00	USD	-5,112.50	
07AUG17	S 1 PD07NOV17	2386.00	2588.00	USD	-5,050.00	
07AUG17	B 1 PD07NOV17	2375.00	2588.00	USD	5,325.00	
07AUG17	B 1 PD07NOV17	2363.00	2588.00	USD	5,625.00	
07AUG17	S 1 PD07NOV17	2366.00	2588.00	USD	-5,550.00	
05SEP17	S 2 PD07NOV17	2325.35	2588.00	USD	-13,132.50	
	NET 0 AVG BGHT 2375.86 SOLD 2360.09				**-5,520.00**	**0.00**
08AUG17	S 1 PD08NOV17	2365.00	2588.00	USD	-5,575.00	
08AUG17	B 1 PD08NOV17	2365.00	2588.00	USD	5,575.00	
08AUG17	B 1 PD08NOV17	2366.50	2588.00	USD	5,537.50	
08AUG17	S 1 PD08NOV17	2348.00	2588.00	USD	-6,000.00	
08AUG17	B 1 PD08NOV17	2349.50	2588.00	USD	5,962.50	
08AUG17	S 1 PD08NOV17	2365.50	2588.00	USD	-5,562.50	
08AUG17	S 1 PD08NOV17	2388.00	2588.00	USD	-5,000.00	
08AUG17	S 1 PD08NOV17	2389.00	2588.00	USD	-4,975.00	
08AUG17	B 1 PD08NOV17	2384.50	2588.00	USD	5,087.50	
08AUG17	B 1 PD08NOV17	2385.00	2588.00	USD	5,075.00	
08AUG17	B 1 PD08NOV17	2393.00	2588.00	USD	4,875.00	
08AUG17	S 1 PD08NOV17	2392.50	2588.00	USD	-4,887.50	
08AUG17	S 1 PD08NOV17	2391.00	2588.00	USD	-4,925.00	
05SEP17	B 1 PD08NOV17	2325.94	2588.00	USD	6,551.50	
	NET 0 AVG BGHT 2367.06 SOLD 2377.00				**1,739.00**	**0.00**
09AUG17	S 1 PD09NOV17	2398.00	2588.00	USD	-4,750.00	
09AUG17	S 1 PD09NOV17	2397.50	2588.00	USD	-4,762.50	
09AUG17	S 1 PD09NOV17	2403.50	2588.00	USD	-4,612.50	
09AUG17	B 1 PD09NOV17	2400.00	2588.00	USD	4,700.00	
09AUG17	B 1 PD09NOV17	2400.50	2588.00	USD	4,687.50	
09AUG17	S 1 PD09NOV17	2419.50	2588.00	USD	-4,212.50	
09AUG17	S 1 PD09NOV17	2419.00	2588.00	USD	-4,225.00	
09AUG17	S 2 PD09NOV17	2420.50	2588.00	USD	-8,375.00	
09AUG17	S 1 PD09NOV17	2413.50	2588.00	USD	-4,362.50	
09AUG17	B 2 PD09NOV17	2401.00	2588.00	USD	9,350.00	
09AUG17	B 1 PD09NOV17	2398.00	2588.00	USD	4,750.00	
09AUG17	B 1 PD09NOV17	2392.00	2588.00	USD	4,900.00	
09AUG17	B 3 PD09NOV17	2389.50	2588.00	USD	14,887.50	
09AUG17	B 1 PD09NOV17	2379.00	2588.00	USD	5,225.00	
09AUG17	S 2 PD09NOV17	2395.00	2588.00	USD	-9,650.00	
09AUG17	S 1 PD09NOV17	2394.50	2588.00	USD	-4,837.50	
09AUG17	S 1 PD09NOV17	2407.00	2588.00	USD	-4,525.00	
09AUG17	S 1 PD09NOV17	2411.50	2588.00	USD	-4,412.50	
09AUG17	S 2 PD09NOV17	2412.50	2588.00	USD	-8,775.00	
09AUG17	B 1 PD09NOV17	2394.00	2588.00	USD	4,850.00	
09AUG17	B 1 PD09NOV17	2384.50	2588.00	USD	5,087.50	
09AUG17	B 1 PD09NOV17	2388.50	2588.00	USD	4,987.50	
09AUG17	B 1 PD09NOV17	2389.00	2588.00	USD	4,975.00	
09AUG17	B 1 PD09NOV17	2354.00	2588.00	USD	5,850.00	
09AUG17	B 1 PD09NOV17	2354.50	2588.00	USD	5,837.50	
09AUG17	B 1 PD09NOV17	2351.50	2588.00	USD	5,912.50	
09AUG17	B 1 PD09NOV17	2358.00	2588.00	USD	5,750.00	
09AUG17	S 1 PD09NOV17	2358.00	2588.00	USD	-5,750.00	
09AUG17	S 1 PD09NOV17	2361.00	2588.00	USD	-5,675.00	
09AUG17	S 2 PD09NOV17	2362.00	2588.00	USD	-11,300.00	
05SEP17	B 1 PD09NOV17	2326.33	2588.00	USD	6,541.75	
	NET 0 AVG BGHT 2381.07 SOLD 2398.05				**8,066.75**	**0.00**
10AUG17	S 1 PD10NOV17	2380.00	2588.00	USD	-5,200.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083XA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
10AUG17	B 1 PD10NOV17	2367.00	2588.00	USD	5,525.00	
10AUG17	S 3 PD10NOV17	2381.50	2588.00	USD	-15,487.50	
10AUG17	B 1 PD10NOV17	2370.50	2588.00	USD	5,437.50	
10AUG17	B 1 PD10NOV17	2368.50	2588.00	USD	5,487.50	
10AUG17	B 1 PD10NOV17	2369.50	2588.00	USD	5,462.50	
11AUG17	S 2 PD10NOV17	2344.00	2588.00	USD	-12,200.00	
11AUG17	B 1 PD10NOV17	2346.00	2588.00	USD	6,050.00	
11AUG17	B 1 PD10NOV17	2345.50	2588.00	USD	6,062.50	
11AUG17	S 3 PD10NOV17	2337.50	2588.00	USD	-18,787.50	
11AUG17	S 1 PD10NOV17	2342.00	2588.00	USD	-6,150.00	
11AUG17	S 1 PD10NOV17	2340.00	2588.00	USD	-6,200.00	
11AUG17	B 1 PD10NOV17	2335.50	2588.00	USD	6,312.50	
11AUG17	B 1 PD10NOV17	2336.00	2588.00	USD	6,300.00	
11AUG17	B 2 PD10NOV17	2335.00	2588.00	USD	12,650.00	
11AUG17	B 4 PD10NOV17	2339.00	2588.00	USD	24,900.00	
11AUG17	S 1 PD10NOV17	2341.50	2588.00	USD	-6,162.50	
11AUG17	S 2 PD10NOV17	2343.00	2588.00	USD	-12,250.00	
11AUG17	S 1 PD10NOV17	2343.50	2588.00	USD	-6,112.50	
11AUG17	S 1 PD10NOV17	2339.00	2588.00	USD	-6,225.00	
11AUG17	S 1 PD10NOV17	2342.50	2588.00	USD	-6,137.50	
11AUG17	B 2 PD10NOV17	2341.50	2588.00	USD	12,325.00	
11AUG17	S 1 PD10NOV17	2344.00	2588.00	USD	-6,100.00	
11AUG17	B 1 PD10NOV17	2342.00	2588.00	USD	6,150.00	
11AUG17	S 2 PD10NOV17	2352.00	2588.00	USD	-11,800.00	
11AUG17	S 1 PD10NOV17	2350.50	2588.00	USD	-5,937.50	
11AUG17	S 1 PD10NOV17	2356.00	2588.00	USD	-5,800.00	
11AUG17	S 1 PD10NOV17	2355.00	2588.00	USD	-5,825.00	
11AUG17	S 1 PD10NOV17	2352.50	2588.00	USD	-5,887.50	
11AUG17	B 1 PD10NOV17	2341.00	2588.00	USD	6,175.00	
11AUG17	B 3 PD10NOV17	2338.00	2588.00	USD	18,750.00	
11AUG17	B 1 PD10NOV17	2337.00	2588.00	USD	6,275.00	
11AUG17	B 2 PD10NOV17	2334.00	2588.00	USD	12,700.00	
11AUG17	B 1 PD10NOV17	2330.00	2588.00	USD	6,450.00	
11AUG17	B 1 PD10NOV17	2329.00	2588.00	USD	6,475.00	
11AUG17	S 1 PD10NOV17	2333.50	2588.00	USD	-6,362.50	
14SEP17	S 1 PD10NOV17	2296.92	2588.00	USD	-7,277.00	
	NET 0 AVG BGHT 2342.63 SOLD 2348.15				**3,585.50**	**0.00**
14AUG17	S 1 PD14NOV17	2343.50	2588.00	USD	-6,112.50	
14AUG17	S 1 PD14NOV17	2345.00	2588.00	USD	-6,075.00	
14AUG17	S 2 PD14NOV17	2343.00	2588.00	USD	-12,250.00	
14AUG17	S 4 PD14NOV17	2346.00	2588.00	USD	-24,200.00	
14AUG17	B 1 PD14NOV17	2338.50	2588.00	USD	6,237.50	
14AUG17	B 3 PD14NOV17	2338.00	2588.00	USD	18,750.00	
14AUG17	B 1 PD14NOV17	2337.00	2588.00	USD	6,275.00	
14AUG17	B 1 PD14NOV17	2333.00	2588.00	USD	6,375.00	
14AUG17	B 1 PD14NOV17	2335.00	2588.00	USD	6,325.00	
14AUG17	B 1 PD14NOV17	2334.00	2588.00	USD	6,350.00	
14AUG17	S 1 PD14NOV17	2334.50	2588.00	USD	-6,337.50	
14SEP17	B 1 PD14NOV17	2298.58	2588.00	USD	7,235.50	
	NET 0 AVG BGHT 2332.23 SOLD 2343.67				**2,573.00**	**0.00**
16AUG17	S 1 PD16NOV17	2388.50	2588.13	USD	-4,990.75	
16AUG17	S 1 PD16NOV17	2387.50	2588.13	USD	-5,015.75	
16AUG17	S 3 PD16NOV17	2388.00	2588.13	USD	-15,009.75	
16AUG17	S 1 PD16NOV17	2393.50	2588.13	USD	-4,865.75	
16AUG17	S 1 PD16NOV17	2398.50	2588.13	USD	-4,740.75	
16AUG17	S 1 PD16NOV17	2399.00	2588.13	USD	-4,728.25	
16AUG17	S 1 PD16NOV17	2399.50	2588.13	USD	-4,715.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083XA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
16AUG17	S 1 PD16NOV17	2402.50	2588.13	USD	-4,640.75	
16AUG17	S 1 PD16NOV17	2403.50	2588.13	USD	-4,615.75	
16AUG17	B 2 PD16NOV17	2414.50	2588.13	USD	8,681.50	
16AUG17	B 1 PD16NOV17	2413.50	2588.13	USD	4,365.75	
16AUG17	B 2 PD16NOV17	2417.50	2588.13	USD	8,531.50	
16AUG17	B 1 PD16NOV17	2411.50	2588.13	USD	4,415.75	
16AUG17	S 1 PD16NOV17	2420.50	2588.13	USD	-4,190.75	
16AUG17	S 3 PD16NOV17	2420.00	2588.13	USD	-12,609.75	
16AUG17	S 1 PD16NOV17	2423.50	2588.13	USD	-4,115.75	
16AUG17	S 1 PD16NOV17	2422.00	2588.13	USD	-4,153.25	
16AUG17	B 1 PD16NOV17	2431.00	2588.13	USD	3,928.25	
16AUG17	S 1 PD16NOV17	2387.50	2588.13	USD	-5,015.75	
16AUG17	B 1 PD16NOV17	2420.50	2588.13	USD	4,190.75	
16AUG17	S 2 PD16NOV17	2427.50	2588.13	USD	-8,031.50	
16AUG17	S 1 PD16NOV17	2428.50	2588.13	USD	-3,990.75	
16AUG17	B 1 PD16NOV17	2450.50	2588.13	USD	3,440.75	
16AUG17	B 1 PD16NOV17	2451.00	2588.13	USD	3,428.25	
16AUG17	B 1 PD16NOV17	2452.50	2588.13	USD	3,390.75	
16AUG17	B 1 PD16NOV17	2479.50	2588.13	USD	2,715.75	
16AUG17	B 1 PD16NOV17	2479.00	2588.13	USD	2,728.25	
16AUG17	B 1 PD16NOV17	2481.00	2588.13	USD	2,678.25	
16AUG17	B 1 PD16NOV17	2480.00	2588.13	USD	2,703.25	
16AUG17	B 1 PD16NOV17	2471.00	2588.13	USD	2,928.25	
16AUG17	B 1 PD16NOV17	2472.50	2588.13	USD	2,890.75	
16AUG17	B 1 PD16NOV17	2490.00	2588.13	USD	2,453.25	
16AUG17	B 1 PD16NOV17	2479.50	2588.13	USD	2,715.75	
16AUG17	B 1 PD16NOV17	2480.00	2588.13	USD	2,703.25	
16AUG17	S 1 PD16NOV17	2495.50	2588.13	USD	-2,315.75	
16AUG17	S 1 PD16NOV17	2501.00	2588.13	USD	-2,178.25	
16AUG17	B 2 PD16NOV17	2512.00	2588.13	USD	3,806.50	
16AUG17	B 2 PD16NOV17	2513.00	2588.13	USD	3,756.50	
	NET +1 AVG BGHT 2460.71 SOLD 2414.35				**-23,471.75**	**0.00**
17AUG17	S 1 PD17NOV17	2528.50	2588.26	USD	-1,494.00	
17AUG17	B 1 PD17NOV17	2531.00	2588.26	USD	1,431.50	
17AUG17	B 4 PD17NOV17	2532.00	2588.26	USD	5,626.00	
17AUG17	B 2 PD17NOV17	2534.50	2588.26	USD	2,688.00	
17AUG17	S 1 PD17NOV17	2521.50	2588.26	USD	-1,669.00	
17AUG17	S 1 PD17NOV17	2520.50	2588.26	USD	-1,694.00	
17AUG17	S 2 PD17NOV17	2521.00	2588.26	USD	-3,363.00	
17AUG17	S 1 PD17NOV17	2517.00	2588.26	USD	-1,781.50	
17AUG17	S 1 PD17NOV17	2508.00	2588.26	USD	-2,006.50	
17AUG17	S 1 PD17NOV17	2509.00	2588.26	USD	-1,981.50	
17AUG17	S 1 PD17NOV17	2510.50	2588.26	USD	-1,944.00	
17AUG17	S 1 PD17NOV17	2513.00	2588.26	USD	-1,881.50	
17AUG17	B 1 PD17NOV17	2488.50	2588.26	USD	2,494.00	
17AUG17	B 1 PD17NOV17	2492.00	2588.26	USD	2,406.50	
17AUG17	B 1 PD17NOV17	2491.50	2588.26	USD	2,419.00	
17AUG17	B 1 PD17NOV17	2493.00	2588.26	USD	2,381.50	
17AUG17	B 3 PD17NOV17	2484.00	2588.26	USD	7,819.50	
17AUG17	S 1 PD17NOV17	2529.00	2588.26	USD	-1,481.50	
17AUG17	S 1 PD17NOV17	2520.00	2588.26	USD	-1,706.50	
17AUG17	B 2 PD17NOV17	2465.00	2588.26	USD	6,163.00	
17AUG17	S 2 PD17NOV17	2465.50	2588.26	USD	-6,138.00	
17AUG17	B 1 PD17NOV17	2439.50	2588.26	USD	3,719.00	
17AUG17	B 1 PD17NOV17	2444.00	2588.26	USD	3,606.50	
17AUG17	B 1 PD17NOV17	2447.00	2588.26	USD	3,531.50	
17AUG17	B 1 PD17NOV17	2437.50	2588.26	USD	3,769.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083XA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
17AUG17	B 1 PD17NOV17	2435.00	2588.26	USD	3,831.50	
17AUG17	S 1 PD17NOV17	2417.50	2588.26	USD	-4,269.00	
17AUG17	S 1 PD17NOV17	2420.50	2588.26	USD	-4,194.00	
17AUG17	B 1 PD17NOV17	2420.50	2588.26	USD	4,194.00	
17AUG17	S 2 PD17NOV17	2414.50	2588.26	USD	-8,688.00	
17AUG17	B 1 PD17NOV17	2409.50	2588.26	USD	4,469.00	
17AUG17	S 2 PD17NOV17	2405.00	2588.26	USD	-9,163.00	
17AUG17	S 2 PD17NOV17	2401.00	2588.26	USD	-9,363.00	
17AUG17	S 1 PD17NOV17	2407.00	2588.26	USD	-4,531.50	
18AUG17	B 1 PD17NOV17	2412.00	2588.26	USD	4,406.50	
18AUG17	B 1 PD17NOV17	2410.00	2588.26	USD	4,456.50	
18AUG17	B 2 PD17NOV17	2410.50	2588.26	USD	8,888.00	
18AUG17	S 1 PD17NOV17	2420.50	2588.26	USD	-4,194.00	
18AUG17	B 1 PD17NOV17	2420.50	2588.26	USD	4,194.00	
18AUG17	B 1 PD17NOV17	2427.00	2588.26	USD	4,031.50	
18AUG17	B 1 PD17NOV17	2425.00	2588.26	USD	4,081.50	
18AUG17	B 1 PD17NOV17	2434.00	2588.26	USD	3,856.50	
18AUG17	S 1 PD17NOV17	2443.50	2588.26	USD	-3,619.00	
18AUG17	S 1 PD17NOV17	2444.50	2588.26	USD	-3,594.00	
18AUG17	S 1 PD17NOV17	2447.00	2588.26	USD	-3,531.50	
18AUG17	S 1 PD17NOV17	2452.00	2588.26	USD	-3,406.50	
18AUG17	S 1 PD17NOV17	2448.00	2588.26	USD	-3,506.50	
18AUG17	S 2 PD17NOV17	2449.00	2588.26	USD	-6,963.00	
18AUG17	S 1 PD17NOV17	2447.50	2588.26	USD	-3,519.00	
18AUG17	S 1 PD17NOV17	2448.50	2588.26	USD	-3,494.00	
18AUG17	S 1 PD17NOV17	2450.00	2588.26	USD	-3,456.50	
18AUG17	S 1 PD17NOV17	2444.00	2588.26	USD	-3,606.50	
18AUG17	B 1 PD17NOV17	2437.50	2588.26	USD	3,769.00	
18AUG17	B 2 PD17NOV17	2438.00	2588.26	USD	7,513.00	
18AUG17	B 1 PD17NOV17	2436.50	2588.26	USD	3,794.00	
18AUG17	B 2 PD17NOV17	2437.00	2588.26	USD	7,563.00	
18AUG17	B 2 PD17NOV17	2436.00	2588.26	USD	7,613.00	
18AUG17	B 2 PD17NOV17	2434.50	2588.26	USD	7,688.00	
18AUG17	B 3 PD17NOV17	2426.50	2588.26	USD	12,132.00	
18AUG17	B 1 PD17NOV17	2418.50	2588.26	USD	4,244.00	
18AUG17	B 3 PD17NOV17	2419.50	2588.26	USD	12,657.00	
18AUG17	B 3 PD17NOV17	2419.00	2588.26	USD	12,694.50	
18AUG17	B 1 PD17NOV17	2412.50	2588.26	USD	4,394.00	
18AUG17	S 1 PD17NOV17	2406.50	2588.26	USD	-4,544.00	
18AUG17	S 1 PD17NOV17	2407.00	2588.26	USD	-4,531.50	
18AUG17	S 1 PD17NOV17	2408.00	2588.26	USD	-4,506.50	
18AUG17	B 2 PD17NOV17	2404.50	2588.26	USD	9,188.00	
18AUG17	B 1 PD17NOV17	2406.00	2588.26	USD	4,556.50	
18AUG17	B 1 PD17NOV17	2402.00	2588.26	USD	4,656.50	
18AUG17	B 1 PD17NOV17	2415.00	2588.26	USD	4,331.50	
18AUG17	B 2 PD17NOV17	2409.50	2588.26	USD	8,938.00	
18AUG17	S 1 PD17NOV17	2384.00	2588.26	USD	-5,106.50	
18AUG17	S 1 PD17NOV17	2359.00	2588.26	USD	-5,731.50	
18AUG17	B 1 PD17NOV17	2365.00	2588.26	USD	5,581.50	
18AUG17	B 1 PD17NOV17	2362.00	2588.26	USD	5,656.50	
18AUG17	S 1 PD17NOV17	2359.50	2588.26	USD	-5,719.00	
18AUG17	S 3 PD17NOV17	2358.50	2588.26	USD	-17,232.00	
18AUG17	S 1 PD17NOV17	2363.00	2588.26	USD	-5,631.50	
18AUG17	S 1 PD17NOV17	2360.50	2588.26	USD	-5,694.00	
14SEP17	S 15 PD17NOV17	2299.32	2588.26	USD	-108,352.50	
	NET 0 AVG BGHT 2443.06 SOLD 2406.43				**-55,855.00**	**0.00**
21AUG17	S 1 PD21NOV17	2386.00	2588.77	USD	-5,069.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083XA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
21AUG17	S	2	PD21NOV17	2393.00	2588.77	USD	-9,788.50	
21AUG17	S	1	PD21NOV17	2389.00	2588.77	USD	-4,994.25	
21AUG17	S	1	PD21NOV17	2388.00	2588.77	USD	-5,019.25	
21AUG17	S	1	PD21NOV17	2391.50	2588.77	USD	-4,931.75	
21AUG17	S	1	PD21NOV17	2391.00	2588.77	USD	-4,944.25	
21AUG17	S	1	PD21NOV17	2368.00	2588.77	USD	-5,519.25	
21AUG17	B	2	PD21NOV17	2360.00	2588.77	USD	11,438.50	
21AUG17	S	1	PD21NOV17	2368.50	2588.77	USD	-5,506.75	
21AUG17	B	1	PD21NOV17	2350.00	2588.77	USD	5,969.25	
21AUG17	S	1	PD21NOV17	2349.00	2588.77	USD	-5,994.25	
21AUG17	S	1	PD21NOV17	2347.50	2588.77	USD	-6,031.75	
21AUG17	S	1	PD21NOV17	2345.50	2588.77	USD	-6,081.75	
21AUG17	S	1	PD21NOV17	2344.50	2588.77	USD	-6,106.75	
14SEP17	B	10	PD21NOV17	2300.66	2588.77	USD	72,027.50	
	NET	**0**	**AVG BGHT 2313.58**	**SOLD 2373.42**			**19,447.50**	**0.00**
22AUG17	S	1	PD22NOV17	2387.50	2588.90	USD	-5,035.00	
22AUG17	S	1	PD22NOV17	2389.00	2588.90	USD	-4,997.50	
22AUG17	S	1	PD22NOV17	2391.00	2588.90	USD	-4,947.50	
22AUG17	S	1	PD22NOV17	2390.00	2588.90	USD	-4,972.50	
22AUG17	S	1	PD22NOV17	2400.50	2588.90	USD	-4,710.00	
22AUG17	S	1	PD22NOV17	2393.00	2588.90	USD	-4,897.50	
22AUG17	S	1	PD22NOV17	2382.50	2588.90	USD	-5,160.00	
22AUG17	B	1	PD22NOV17	2413.50	2588.90	USD	4,385.00	
05SEP17	B	1	PD22NOV17	2330.07	2588.90	USD	6,470.75	
14SEP17	B	5	PD22NOV17	2300.94	2588.90	USD	35,995.00	
	NET	**0**	**AVG BGHT 2321.18**	**SOLD 2390.50**			**12,130.75**	**0.00**
24AUG17	S	1	PD24NOV17	2399.50	2589.16	USD	-4,741.50	
24AUG17	B	1	PD24NOV17	2384.00	2589.16	USD	5,129.00	
24AUG17	B	1	PD24NOV17	2354.00	2589.16	USD	5,879.00	
24AUG17	B	1	PD24NOV17	2355.00	2589.16	USD	5,854.00	
24AUG17	S	1	PD24NOV17	2360.50	2589.16	USD	-5,716.50	
24AUG17	S	2	PD24NOV17	2360.00	2589.16	USD	-11,458.00	
24AUG17	B	1	PD24NOV17	2360.50	2589.16	USD	5,716.50	
24AUG17	S	1	PD24NOV17	2359.00	2589.16	USD	-5,754.00	
25AUG17	B	1	PD24NOV17	2369.00	2589.16	USD	5,504.00	
25AUG17	B	1	PD24NOV17	2343.00	2589.16	USD	6,154.00	
25AUG17	B	1	PD24NOV17	2340.00	2589.16	USD	6,229.00	
25AUG17	S	1	PD24NOV17	2341.00	2589.16	USD	-6,204.00	
25AUG17	S	1	PD24NOV17	2333.00	2589.16	USD	-6,404.00	
25AUG17	B	1	PD24NOV17	2322.00	2589.16	USD	6,679.00	
25AUG17	S	2	PD24NOV17	2324.00	2589.16	USD	-13,258.00	
	NET	**-1**	**AVG BGHT 2353.44**	**SOLD 2351.22**			**-6,391.50**	**0.00**
29AUG17	B	1	PD29NOV17	2346.50	2589.80	USD	6,082.50	
29AUG17	S	1	PD29NOV17	2355.00	2589.80	USD	-5,870.00	
29AUG17	S	1	PD29NOV17	2354.50	2589.80	USD	-5,882.50	
29AUG17	S	3	PD29NOV17	2360.00	2589.80	USD	-17,235.00	
29AUG17	S	2	PD29NOV17	2361.00	2589.80	USD	-11,440.00	
29AUG17	B	2	PD29NOV17	2365.00	2589.80	USD	11,240.00	
29AUG17	S	3	PD29NOV17	2372.00	2589.80	USD	-16,335.00	
29AUG17	S	1	PD29NOV17	2376.00	2589.80	USD	-5,345.00	
29AUG17	S	1	PD29NOV17	2376.50	2589.80	USD	-5,332.50	
29AUG17	S	1	PD29NOV17	2379.50	2589.80	USD	-5,257.50	
29AUG17	S	1	PD29NOV17	2384.00	2589.80	USD	-5,145.00	
29AUG17	S	1	PD29NOV17	2387.00	2589.80	USD	-5,070.00	
29AUG17	S	2	PD29NOV17	2388.00	2589.80	USD	-10,090.00	
29AUG17	S	3	PD29NOV17	2386.00	2589.80	USD	-15,285.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083XA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
29AUG17	S 1 PD29NOV17	2388.50	2589.80	USD	-5,032.50	
29AUG17	S 2 PD29NOV17	2389.00	2589.80	USD	-10,040.00	
29AUG17	B 1 PD29NOV17	2398.00	2589.80	USD	4,795.00	
29AUG17	B 1 PD29NOV17	2404.00	2589.80	USD	4,645.00	
29AUG17	B 1 PD29NOV17	2402.50	2589.80	USD	4,682.50	
29AUG17	B 3 PD29NOV17	2401.50	2589.80	USD	14,122.50	
29AUG17	B 1 PD29NOV17	2396.00	2589.80	USD	4,845.00	
29AUG17	B 3 PD29NOV17	2395.00	2589.80	USD	14,610.00	
29AUG17	B 1 PD29NOV17	2394.00	2589.80	USD	4,895.00	
29AUG17	B 1 PD29NOV17	2395.50	2589.80	USD	4,857.50	
29AUG17	B 2 PD29NOV17	2383.00	2589.80	USD	10,340.00	
29AUG17	B 1 PD29NOV17	2385.00	2589.80	USD	5,120.00	
29AUG17	B 1 PD29NOV17	2384.50	2589.80	USD	5,132.50	
29AUG17	B 5 PD29NOV17	2384.00	2589.80	USD	25,725.00	
05SEP17	S 1 PD29NOV17	2331.98	2589.80	USD	-6,445.50	
	NET 0 AVG BGHT 2387.98 SOLD 2373.46				**-8,713.00**	**0.00**
30AUG17	S 1 PD30NOV17	2397.00	2589.93	USD	-4,823.25	
30AUG17	S 2 PD30NOV17	2380.00	2589.93	USD	-10,496.50	
30AUG17	S 2 PD30NOV17	2383.00	2589.93	USD	-10,346.50	
30AUG17	B 1 PD30NOV17	2376.50	2589.93	USD	5,335.75	
30AUG17	B 1 PD30NOV17	2378.00	2589.93	USD	5,298.25	
30AUG17	S 1 PD30NOV17	2381.00	2589.93	USD	-5,223.25	
30AUG17	B 1 PD30NOV17	2371.50	2589.93	USD	5,460.75	
30AUG17	S 1 PD30NOV17	2378.00	2589.93	USD	-5,298.25	
30AUG17	S 1 PD30NOV17	2382.50	2589.93	USD	-5,185.75	
30AUG17	S 1 PD30NOV17	2383.50	2589.93	USD	-5,160.75	
30AUG17	S 1 PD30NOV17	2389.50	2589.93	USD	-5,010.75	
30AUG17	S 1 PD30NOV17	2391.50	2589.93	USD	-4,960.75	
30AUG17	B 1 PD30NOV17	2402.00	2589.93	USD	4,698.25	
30AUG17	B 1 PD30NOV17	2400.50	2589.93	USD	4,735.75	
30AUG17	B 1 PD30NOV17	2395.50	2589.93	USD	4,860.75	
30AUG17	B 2 PD30NOV17	2394.00	2589.93	USD	9,796.50	
30AUG17	B 1 PD30NOV17	2392.00	2589.93	USD	4,948.25	
30AUG17	B 1 PD30NOV17	2388.00	2589.93	USD	5,048.25	
30AUG17	B 1 PD30NOV17	2394.00	2589.93	USD	4,898.25	
31AUG17	B 1 PD30NOV17	2378.00	2589.93	USD	5,298.25	
31AUG17	S 1 PD30NOV17	2383.50	2589.93	USD	-5,160.75	
	NET 0 AVG BGHT 2388.67 SOLD 2384.38				**-1,287.50**	**0.00**
01SEP17	S 1 PD01DEC17	2405.00	2590.06	USD	-4,626.50	
01SEP17	S 1 PD01DEC17	2401.00	2590.06	USD	-4,726.50	
01SEP17	S 1 PD01DEC17	2406.00	2590.06	USD	-4,601.50	
01SEP17	B 2 PD01DEC17	2402.00	2590.06	USD	9,403.00	
01SEP17	B 1 PD01DEC17	2399.00	2590.06	USD	4,776.50	
	NET 0 AVG BGHT 2401.00 SOLD 2404.00				**225.00**	**0.00**
04SEP17	B 2 PD04DEC17	2394.50	2590.44	USD	9,797.00	
04SEP17	B 2 PD04DEC17	2394.00	2590.44	USD	9,822.00	
04SEP17	B 1 PD04DEC17	2387.50	2590.44	USD	5,073.50	
04SEP17	B 1 PD04DEC17	2390.00	2590.44	USD	5,011.00	
04SEP17	S 1 PD04DEC17	2394.00	2590.44	USD	-4,911.00	
04SEP17	S 1 PD04DEC17	2396.50	2590.44	USD	-4,848.50	
04SEP17	S 1 PD04DEC17	2397.50	2590.44	USD	-4,823.50	
04SEP17	S 1 PD04DEC17	2398.50	2590.44	USD	-4,798.50	
14SEP17	S 2 PD04DEC17	2304.16	2590.44	USD	-14,314.00	
	NET 0 AVG BGHT 2392.42 SOLD 2365.80				**-3,992.00**	**0.00**
05SEP17	B 1 PD05DEC17	2393.50	2590.57	USD	4,926.75	
05SEP17	B 1 PD05DEC17	2396.50	2590.57	USD	4,851.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083XA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
05SEP17	S 2 PD05DEC17	2394.00	2590.57	USD	-9,828.50	
05SEP17	S 1 PD05DEC17	2395.00	2590.57	USD	-4,889.25	
05SEP17	S 1 PD05DEC17	2398.50	2590.57	USD	-4,801.75	
05SEP17	B 1 PD05DEC17	2400.50	2590.57	USD	4,751.75	
05SEP17	S 1 PD05DEC17	2402.00	2590.57	USD	-4,714.25	
05SEP17	S 1 PD05DEC17	2403.00	2590.57	USD	-4,689.25	
05SEP17	S 1 PD05DEC17	2404.00	2590.57	USD	-4,664.25	
05SEP17	S 1 PD05DEC17	2399.00	2590.57	USD	-4,789.25	
05SEP17	B 2 PD05DEC17	2392.00	2590.57	USD	9,928.50	
05SEP17	B 1 PD05DEC17	2391.00	2590.57	USD	4,989.25	
05SEP17	B 2 PD05DEC17	2386.00	2590.57	USD	10,228.50	
05SEP17	B 1 PD05DEC17	2382.50	2590.57	USD	5,201.75	
05SEP17	B 3 PD05DEC17	2383.00	2590.57	USD	15,567.75	
05SEP17	B 1 PD05DEC17	2381.50	2590.57	USD	5,226.75	
05SEP17	B 1 PD05DEC17	2381.00	2590.57	USD	5,239.25	
05SEP17	B 1 PD05DEC17	2379.50	2590.57	USD	5,276.75	
05SEP17	B 1 PD05DEC17	2378.50	2590.57	USD	5,301.75	
05SEP17	B 1 PD05DEC17	2377.50	2590.57	USD	5,326.75	
05SEP17	B 2 PD05DEC17	2377.00	2590.57	USD	10,678.50	
05SEP17	B 1 PD05DEC17	2376.00	2590.57	USD	5,364.25	
05SEP17	S 1 PD05DEC17	2373.00	2590.57	USD	-5,439.25	
05SEP17	B 2 PD05DEC17	2375.00	2590.57	USD	10,778.50	
05SEP17	S 1 PD05DEC17	2359.50	2590.57	USD	-5,776.75	
05SEP17	S 1 PD05DEC17	2354.50	2590.57	USD	-5,901.75	
05SEP17	S 1 PD05DEC17	2345.50	2590.57	USD	-6,126.75	
05SEP17	S 1 PD05DEC17	2344.00	2590.57	USD	-6,164.25	
05SEP17	S 1 PD05DEC17	2341.00	2590.57	USD	-6,239.25	
05SEP17	S 1 PD05DEC17	2341.50	2590.57	USD	-6,226.75	
05SEP17	S 2 PD05DEC17	2345.00	2590.57	USD	-12,278.50	
05SEP17	S 1 PD05DEC17	2344.50	2590.57	USD	-6,151.75	
05SEP17	S 1 PD05DEC17	2347.00	2590.57	USD	-6,089.25	
05SEP17	S 1 PD05DEC17	2330.00	2590.57	USD	-6,514.25	
05SEP17	S 2 PD05DEC17	2333.00	2590.57	USD	-12,878.50	
05SEP17	B 1 PD05DEC17	2327.00	2590.57	USD	6,589.25	
05SEP17	S 2 PD05DEC17	2340.50	2590.57	USD	-12,503.50	
05SEP17	S 4 PD05DEC17	2325.00	2590.57	USD	-26,557.00	
05SEP17	B 1 PD05DEC17	2333.50	2590.57	USD	6,426.75	
05SEP17	B 2 PD05DEC17	2332.50	2590.57	USD	12,903.50	
05SEP17	B 2 PD05DEC17	2332.00	2590.57	USD	12,928.50	
05SEP17	S 1 PD05DEC17	2331.00	2590.57	USD	-6,489.25	
05SEP17	S 1 PD05DEC17	2333.50	2590.57	USD	-6,426.75	
05SEP17	B 1 PD05DEC17	2332.00	2590.57	USD	6,464.25	
05SEP17	S 1 PD05DEC17	2331.00	2590.57	USD	-6,489.25	
14SEP17	B 2 PD05DEC17	2304.64	2590.57	USD	14,296.50	
	NET 0 AVG BGHT 2367.03 SOLD 2354.92				**-9,382.00**	**0.00**
06SEP17	S 1 PD06DEC17	2341.50	2590.70	USD	-6,230.00	
06SEP17	B 1 PD06DEC17	2336.50	2590.70	USD	6,355.00	
06SEP17	B 1 PD06DEC17	2336.00	2590.70	USD	6,367.50	
06SEP17	S 1 PD06DEC17	2335.50	2590.70	USD	-6,380.00	
06SEP17	S 2 PD06DEC17	2324.00	2590.70	USD	-13,335.00	
06SEP17	S 1 PD06DEC17	2326.00	2590.70	USD	-6,617.50	
06SEP17	S 1 PD06DEC17	2320.00	2590.70	USD	-6,767.50	
06SEP17	S 1 PD06DEC17	2320.50	2590.70	USD	-6,755.00	
06SEP17	S 2 PD06DEC17	2325.00	2590.70	USD	-13,285.00	
06SEP17	S 1 PD06DEC17	2327.00	2590.70	USD	-6,592.50	
06SEP17	S 1 PD06DEC17	2328.50	2590.70	USD	-6,555.00	
06SEP17	S 1 PD06DEC17	2325.50	2590.70	USD	-6,630.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083XA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
06SEP17	S 1 PD06DEC17	2356.50	2590.70	USD	-5,855.00	
06SEP17	S 1 PD06DEC17	2362.50	2590.70	USD	-5,705.00	
06SEP17	B 1 PD06DEC17	2348.50	2590.70	USD	6,055.00	
06SEP17	B 1 PD06DEC17	2347.50	2590.70	USD	6,080.00	
06SEP17	B 1 PD06DEC17	2343.50	2590.70	USD	6,180.00	
06SEP17	S 1 PD06DEC17	2347.00	2590.70	USD	-6,092.50	
06SEP17	B 1 PD06DEC17	2332.50	2590.70	USD	6,455.00	
06SEP17	B 1 PD06DEC17	2341.00	2590.70	USD	6,242.50	
06SEP17	B 2 PD06DEC17	2342.00	2590.70	USD	12,435.00	
06SEP17	S 1 PD06DEC17	2345.50	2590.70	USD	-6,130.00	
06SEP17	B 2 PD06DEC17	2345.50	2590.70	USD	12,260.00	
06SEP17	S 1 PD06DEC17	2346.00	2590.70	USD	-6,117.50	
06SEP17	B 1 PD06DEC17	2347.00	2590.70	USD	6,092.50	
06SEP17	B 1 PD06DEC17	2345.00	2590.70	USD	6,142.50	
06SEP17	S 1 PD06DEC17	2349.00	2590.70	USD	-6,042.50	
06SEP17	B 1 PD06DEC17	2352.50	2590.70	USD	5,955.00	
06SEP17	B 3 PD06DEC17	2346.00	2590.70	USD	18,352.50	
06SEP17	B 1 PD06DEC17	2355.50	2590.70	USD	5,880.00	
	NET 0 AVG BGHT 2344.36 SOLD 2334.94				**-4,237.50**	**0.00**
07SEP17	S 1 PD07DEC17	2339.50	2590.83	USD	-6,283.25	
07SEP17	B 1 PD07DEC17	2339.50	2590.83	USD	6,283.25	
07SEP17	B 1 PD07DEC17	2332.00	2590.83	USD	6,470.75	
07SEP17	B 1 PD07DEC17	2330.50	2590.83	USD	6,508.25	
07SEP17	B 1 PD07DEC17	2329.00	2590.83	USD	6,545.75	
07SEP17	S 1 PD07DEC17	2326.00	2590.83	USD	-6,620.75	
07SEP17	S 1 PD07DEC17	2322.00	2590.83	USD	-6,720.75	
07SEP17	S 1 PD07DEC17	2330.00	2590.83	USD	-6,520.75	
	NET 0 AVG BGHT 2332.75 SOLD 2329.38				**-337.50**	**0.00**
08SEP17	S 1 PD08DEC17	2342.00	2590.96	USD	-6,224.00	
08SEP17	S 1 PD08DEC17	2342.50	2590.96	USD	-6,211.50	
08SEP17	S 1 PD08DEC17	2338.50	2590.96	USD	-6,311.50	
08SEP17	B 1 PD08DEC17	2314.00	2590.96	USD	6,924.00	
08SEP17	B 1 PD08DEC17	2318.50	2590.96	USD	6,811.50	
08SEP17	B 1 PD08DEC17	2315.00	2590.96	USD	6,899.00	
08SEP17	S 1 PD08DEC17	2307.00	2590.96	USD	-7,099.00	
08SEP17	B 1 PD08DEC17	2305.50	2590.96	USD	7,136.50	
08SEP17	S 1 PD08DEC17	2308.00	2590.96	USD	-7,074.00	
08SEP17	S 1 PD08DEC17	2303.00	2590.96	USD	-7,199.00	
08SEP17	S 1 PD08DEC17	2304.00	2590.96	USD	-7,174.00	
08SEP17	S 1 PD08DEC17	2290.50	2590.96	USD	-7,511.50	
08SEP17	B 1 PD08DEC17	2272.00	2590.96	USD	7,974.00	
08SEP17	B 1 PD08DEC17	2270.00	2590.96	USD	8,024.00	
08SEP17	B 2 PD08DEC17	2260.00	2590.96	USD	16,548.00	
	NET 0 AVG BGHT 2289.38 SOLD 2316.94				**5,512.50**	**0.00**
11SEP17	B 1 PD11DEC17	2267.00	2591.34	USD	8,108.50	
11SEP17	B 4 PD11DEC17	2272.50	2591.34	USD	31,884.00	
11SEP17	S 1 PD11DEC17	2276.00	2591.34	USD	-7,883.50	
11SEP17	B 1 PD11DEC17	2278.00	2591.34	USD	7,833.50	
11SEP17	B 1 PD11DEC17	2282.50	2591.34	USD	7,721.00	
11SEP17	B 1 PD11DEC17	2276.00	2591.34	USD	7,883.50	
11SEP17	B 1 PD11DEC17	2275.00	2591.34	USD	7,908.50	
11SEP17	S 1 PD11DEC17	2287.00	2591.34	USD	-7,608.50	
11SEP17	S 4 PD11DEC17	2295.50	2591.34	USD	-29,584.00	
11SEP17	S 1 PD11DEC17	2296.00	2591.34	USD	-7,383.50	
11SEP17	S 2 PD11DEC17	2293.00	2591.34	USD	-14,917.00	
11SEP17	B 1 PD11DEC17	2289.50	2591.34	USD	7,546.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083XA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
11SEP17	S 1 PD11DEC17	2290.50	2591.34	USD	-7,521.00	
11SEP17	S 1 PD11DEC17	2283.00	2591.34	USD	-7,708.50	
11SEP17	S 1 PD11DEC17	2289.00	2591.34	USD	-7,558.50	
11SEP17	B 1 PD11DEC17	2273.50	2591.34	USD	7,946.00	
11SEP17	S 1 PD11DEC17	2288.50	2591.34	USD	-7,571.00	
11SEP17	B 1 PD11DEC17	2294.00	2591.34	USD	7,433.50	
11SEP17	B 1 PD11DEC17	2293.00	2591.34	USD	7,458.50	
11SEP17	S 1 PD11DEC17	2273.00	2591.34	USD	-7,958.50	
11SEP17	B 1 PD11DEC17	2268.50	2591.34	USD	8,071.00	
11SEP17	B 1 PD11DEC17	2277.50	2591.34	USD	7,846.00	
11SEP17	S 1 PD11DEC17	2278.00	2591.34	USD	-7,833.50	
	NET 0 AVG BGHT 2277.63 SOLD 2288.60				**4,112.50**	**0.00**
12SEP17	S 1 PD12DEC17	2289.00	2591.47	USD	-7,561.75	
12SEP17	B 1 PD12DEC17	2280.00	2591.47	USD	7,786.75	
12SEP17	B 1 PD12DEC17	2279.50	2591.47	USD	7,799.25	
12SEP17	S 1 PD12DEC17	2269.50	2591.47	USD	-8,049.25	
12SEP17	S 1 PD12DEC17	2271.50	2591.47	USD	-7,999.25	
12SEP17	B 1 PD12DEC17	2265.00	2591.47	USD	8,161.75	
12SEP17	S 1 PD12DEC17	2261.00	2591.47	USD	-8,261.75	
12SEP17	S 1 PD12DEC17	2260.00	2591.47	USD	-8,286.75	
12SEP17	B 2 PD12DEC17	2263.00	2591.47	USD	16,423.50	
12SEP17	S 1 PD12DEC17	2288.00	2591.47	USD	-7,586.75	
12SEP17	S 1 PD12DEC17	2298.00	2591.47	USD	-7,336.75	
12SEP17	S 1 PD12DEC17	2301.50	2591.47	USD	-7,249.25	
12SEP17	S 1 PD12DEC17	2305.00	2591.47	USD	-7,161.75	
12SEP17	S 1 PD12DEC17	2311.00	2591.47	USD	-7,011.75	
12SEP17	S 1 PD12DEC17	2307.50	2591.47	USD	-7,099.25	
12SEP17	B 1 PD12DEC17	2310.50	2591.47	USD	7,024.25	
12SEP17	B 1 PD12DEC17	2311.00	2591.47	USD	7,011.75	
12SEP17	S 1 PD12DEC17	2310.00	2591.47	USD	-7,036.75	
12SEP17	B 1 PD12DEC17	2308.00	2591.47	USD	7,086.75	
12SEP17	S 1 PD12DEC17	2313.50	2591.47	USD	-6,949.25	
12SEP17	S 1 PD12DEC17	2314.50	2591.47	USD	-6,924.25	
12SEP17	B 2 PD12DEC17	2305.50	2591.47	USD	14,298.50	
12SEP17	B 2 PD12DEC17	2306.00	2591.47	USD	14,273.50	
12SEP17	B 2 PD12DEC17	2305.00	2591.47	USD	14,323.50	
	NET 0 AVG BGHT 2293.79 SOLD 2292.86				**-325.00**	**0.00**
13SEP17	B 1 PD13DEC17	2288.00	2591.60	USD	7,590.00	
13SEP17	S 1 PD13DEC17	2285.00	2591.60	USD	-7,665.00	
13SEP17	S 1 PD13DEC17	2285.50	2591.60	USD	-7,652.50	
13SEP17	S 1 PD13DEC17	2290.00	2591.60	USD	-7,540.00	
13SEP17	S 1 PD13DEC17	2294.00	2591.60	USD	-7,440.00	
13SEP17	S 1 PD13DEC17	2295.50	2591.60	USD	-7,402.50	
13SEP17	S 1 PD13DEC17	2296.50	2591.60	USD	-7,377.50	
13SEP17	S 3 PD13DEC17	2295.00	2591.60	USD	-22,245.00	
13SEP17	S 1 PD13DEC17	2303.50	2591.60	USD	-7,202.50	
13SEP17	S 4 PD13DEC17	2296.00	2591.60	USD	-29,560.00	
13SEP17	S 1 PD13DEC17	2302.00	2591.60	USD	-7,240.00	
13SEP17	S 1 PD13DEC17	2297.00	2591.60	USD	-7,365.00	
13SEP17	B 1 PD13DEC17	2293.50	2591.60	USD	7,452.50	
13SEP17	S 1 PD13DEC17	2303.00	2591.60	USD	-7,215.00	
13SEP17	S 1 PD13DEC17	2308.00	2591.60	USD	-7,090.00	
13SEP17	S 1 PD13DEC17	2309.50	2591.60	USD	-7,052.50	
13SEP17	B 2 PD13DEC17	2312.00	2591.60	USD	13,980.00	
13SEP17	B 1 PD13DEC17	2302.00	2591.60	USD	7,240.00	
13SEP17	S 1 PD13DEC17	2307.00	2591.60	USD	-7,115.00	
13SEP17	B 1 PD13DEC17	2293.00	2591.60	USD	7,465.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083XA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
13SEP17	B 2 PD13DEC17	2290.00	2591.60	USD	15,080.00	
13SEP17	B 1 PD13DEC17	2294.50	2591.60	USD	7,427.50	
13SEP17	S 2 PD13DEC17	2297.00	2591.60	USD	-14,730.00	
13SEP17	B 1 PD13DEC17	2294.00	2591.60	USD	7,440.00	
13SEP17	B 3 PD13DEC17	2295.00	2591.60	USD	22,245.00	
13SEP17	B 1 PD13DEC17	2294.00	2591.60	USD	7,440.00	
13SEP17	B 1 PD13DEC17	2299.00	2591.60	USD	7,315.00	
13SEP17	B 1 PD13DEC17	2298.00	2591.60	USD	7,340.00	
13SEP17	B 1 PD13DEC17	2297.00	2591.60	USD	7,365.00	
13SEP17	B 2 PD13DEC17	2291.00	2591.60	USD	15,030.00	
13SEP17	B 1 PD13DEC17	2292.00	2591.60	USD	7,490.00	
13SEP17	B 2 PD13DEC17	2290.00	2591.60	USD	15,080.00	
	NET 0 AVG BGHT 2295.27 SOLD 2297.25				**1,087.50**	**0.00**
14SEP17	B 1 PD14DEC17	2289.00	2591.73	USD	7,568.25	
14SEP17	S 1 PD14DEC17	2291.50	2591.73	USD	-7,505.75	
14SEP17	S 3 PD14DEC17	2296.00	2591.73	USD	-22,179.75	
14SEP17	S 1 PD14DEC17	2296.50	2591.73	USD	-7,380.75	
14SEP17	B 1 PD14DEC17	2287.00	2591.73	USD	7,618.25	
14SEP17	B 1 PD14DEC17	2282.50	2591.73	USD	7,730.75	
14SEP17	B 1 PD14DEC17	2282.00	2591.73	USD	7,743.25	
14SEP17	B 1 PD14DEC17	2281.50	2591.73	USD	7,755.75	
14SEP17	B 1 PD14DEC17	2279.00	2591.73	USD	7,818.25	
14SEP17	B 1 PD14DEC17	2274.00	2591.73	USD	7,943.25	
14SEP17	B 1 PD14DEC17	2272.00	2591.73	USD	7,993.25	
14SEP17	B 1 PD14DEC17	2272.50	2591.73	USD	7,980.75	
14SEP17	B 1 PD14DEC17	2275.50	2591.73	USD	7,905.75	
14SEP17	S 1 PD14DEC17	2281.50	2591.73	USD	-7,755.75	
14SEP17	S 1 PD14DEC17	2289.50	2591.73	USD	-7,555.75	
14SEP17	S 2 PD14DEC17	2292.00	2591.73	USD	-14,986.50	
14SEP17	B 1 PD14DEC17	2280.50	2591.73	USD	7,780.75	
14SEP17	B 1 PD14DEC17	2276.00	2591.73	USD	7,893.25	
14SEP17	S 2 PD14DEC17	2292.50	2591.73	USD	-14,961.50	
14SEP17	S 2 PD14DEC17	2295.00	2591.73	USD	-14,836.50	
14SEP17	S 1 PD14DEC17	2304.00	2591.73	USD	-7,193.25	
14SEP17	S 1 PD14DEC17	2304.50	2591.73	USD	-7,180.75	
14SEP17	S 1 PD14DEC17	2306.50	2591.73	USD	-7,130.75	
14SEP17	S 1 PD14DEC17	2319.50	2591.73	USD	-6,805.75	
14SEP17	B 1 PD14DEC17	2304.00	2591.73	USD	7,193.25	
14SEP17	B 1 PD14DEC17	2303.00	2591.73	USD	7,218.25	
14SEP17	B 1 PD14DEC17	2293.50	2591.73	USD	7,455.75	
14SEP17	B 1 PD14DEC17	2293.00	2591.73	USD	7,468.25	
14SEP17	B 1 PD14DEC17	2307.00	2591.73	USD	7,118.25	
14SEP17	S 2 PD14DEC17	2307.00	2591.73	USD	-14,236.50	
14SEP17	S 2 PD14DEC17	2307.50	2591.73	USD	-14,211.50	
14SEP17	B 1 PD14DEC17	2321.50	2591.73	USD	6,755.75	
14SEP17	B 1 PD14DEC17	2323.50	2591.73	USD	6,705.75	
14SEP17	B 1 PD14DEC17	2324.50	2591.73	USD	6,680.75	
14SEP17	B 1 PD14DEC17	2325.50	2591.73	USD	6,655.75	
	NET 0 AVG BGHT 2292.71 SOLD 2298.55				**3,062.50**	**0.00**
15SEP17	S 1 PD15DEC17	2324.00	2591.86	USD	-6,696.50	
15SEP17	S 2 PD15DEC17	2321.00	2591.86	USD	-13,543.00	
15SEP17	B 1 PD15DEC17	2324.00	2591.86	USD	6,696.50	
15SEP17	B 1 PD15DEC17	2327.00	2591.86	USD	6,621.50	
15SEP17	B 1 PD15DEC17	2326.50	2591.86	USD	6,634.00	
15SEP17	B 2 PD15DEC17	2323.50	2591.86	USD	13,418.00	
15SEP17	B 1 PD15DEC17	2320.50	2591.86	USD	6,784.00	
15SEP17	S 1 PD15DEC17	2325.00	2591.86	USD	-6,671.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083XA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
15SEP17	B 1 PD15DEC17	2322.50	2591.86	USD	6,734.00	
15SEP17	B 1 PD15DEC17	2313.50	2591.86	USD	6,959.00	
15SEP17	B 1 PD15DEC17	2314.00	2591.86	USD	6,946.50	
15SEP17	B 2 PD15DEC17	2315.00	2591.86	USD	13,843.00	
15SEP17	B 1 PD15DEC17	2312.00	2591.86	USD	6,996.50	
15SEP17	S 2 PD15DEC17	2327.50	2591.86	USD	-13,218.00	
15SEP17	S 1 PD15DEC17	2328.00	2591.86	USD	-6,596.50	
15SEP17	S 3 PD15DEC17	2342.50	2591.86	USD	-18,702.00	
15SEP17	S 1 PD15DEC17	2350.50	2591.86	USD	-6,034.00	
15SEP17	S 1 PD15DEC17	2352.00	2591.86	USD	-5,996.50	
15SEP17	S 2 PD15DEC17	2347.50	2591.86	USD	-12,218.00	
15SEP17	S 1 PD15DEC17	2346.50	2591.86	USD	-6,134.00	
15SEP17	S 2 PD15DEC17	2349.00	2591.86	USD	-12,143.00	
15SEP17	B 1 PD15DEC17	2343.50	2591.86	USD	6,209.00	
15SEP17	B 1 PD15DEC17	2339.50	2591.86	USD	6,309.00	
15SEP17	B 3 PD15DEC17	2358.00	2591.86	USD	17,539.50	
15SEP17	B 1 PD15DEC17	2360.00	2591.86	USD	5,796.50	
15SEP17	S 1 PD15DEC17	2350.00	2591.86	USD	-6,046.50	
15SEP17	S 1 PD15DEC17	2349.50	2591.86	USD	-6,059.00	
15SEP17	S 2 PD15DEC17	2348.00	2591.86	USD	-12,193.00	
15SEP17	S 1 PD15DEC17	2351.50	2591.86	USD	-6,009.00	
15SEP17	S 1 PD15DEC17	2350.50	2591.86	USD	-6,034.00	
15SEP17	S 1 PD15DEC17	2359.50	2591.86	USD	-5,809.00	
15SEP17	S 4 PD15DEC17	2360.50	2591.86	USD	-23,136.00	
15SEP17	S 1 PD15DEC17	2358.00	2591.86	USD	-5,846.50	
15SEP17	B 2 PD15DEC17	2366.00	2591.86	USD	11,293.00	
15SEP17	B 3 PD15DEC17	2366.50	2591.86	USD	16,902.00	
	NET -6 AVG BGHT 2338.50 SOLD 2344.84				**-33,404.00**	**0.00**
18SEP17	B 3 PD18DEC17	2395.00	2592.24	USD	14,793.00	
18SEP17	S 1 PD18DEC17	2392.50	2592.24	USD	-4,993.50	
18SEP17	S 1 PD18DEC17	2402.50	2592.24	USD	-4,743.50	
18SEP17	S 1 PD18DEC17	2402.00	2592.24	USD	-4,756.00	
18SEP17	S 4 PD18DEC17	2401.50	2592.24	USD	-19,074.00	
18SEP17	S 1 PD18DEC17	2405.00	2592.24	USD	-4,681.00	
18SEP17	B 1 PD18DEC17	2391.50	2592.24	USD	5,018.50	
18SEP17	B 1 PD18DEC17	2389.00	2592.24	USD	5,081.00	
18SEP17	B 2 PD18DEC17	2390.50	2592.24	USD	10,087.00	
18SEP17	B 1 PD18DEC17	2387.00	2592.24	USD	5,131.00	
18SEP17	S 1 PD18DEC17	2392.50	2592.24	USD	-4,993.50	
18SEP17	B 2 PD18DEC17	2391.00	2592.24	USD	10,062.00	
18SEP17	S 1 PD18DEC17	2388.50	2592.24	USD	-5,093.50	
18SEP17	B 1 PD18DEC17	2384.50	2592.24	USD	5,193.50	
18SEP17	B 1 PD18DEC17	2395.50	2592.24	USD	4,918.50	
	NET +2 AVG BGHT 2391.29 SOLD 2398.90				**11,949.50**	**0.00**
19SEP17	S 1 PD19DEC17	2387.00	2592.37	USD	-5,134.25	
19SEP17	B 1 PD19DEC17	2387.50	2592.37	USD	5,121.75	
19SEP17	S 1 PD19DEC17	2393.50	2592.37	USD	-4,971.75	
19SEP17	S 1 PD19DEC17	2394.50	2592.37	USD	-4,946.75	
19SEP17	S 2 PD19DEC17	2392.00	2592.37	USD	-10,018.50	
19SEP17	S 1 PD19DEC17	2403.50	2592.37	USD	-4,721.75	
19SEP17	S 2 PD19DEC17	2404.00	2592.37	USD	-9,418.50	
19SEP17	B 1 PD19DEC17	2406.00	2592.37	USD	4,659.25	
19SEP17	S 1 PD19DEC17	2406.50	2592.37	USD	-4,646.75	
19SEP17	B 2 PD19DEC17	2416.00	2592.37	USD	8,818.50	
19SEP17	S 1 PD19DEC17	2414.00	2592.37	USD	-4,459.25	
19SEP17	S 1 PD19DEC17	2420.00	2592.37	USD	-4,309.25	
19SEP17	S 1 PD19DEC17	2419.50	2592.37	USD	-4,321.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083XA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
19SEP17	S 1 PD19DEC17	2421.50	2592.37	USD	-4,271.75	
19SEP17	S 1 PD19DEC17	2417.00	2592.37	USD	-4,384.25	
19SEP17	S 2 PD19DEC17	2418.00	2592.37	USD	-8,718.50	
19SEP17	B 1 PD19DEC17	2417.00	2592.37	USD	4,384.25	
19SEP17	S 1 PD19DEC17	2423.50	2592.37	USD	-4,221.75	
19SEP17	S 1 PD19DEC17	2424.00	2592.37	USD	-4,209.25	
19SEP17	S 1 PD19DEC17	2437.50	2592.37	USD	-3,871.75	
19SEP17	S 1 PD19DEC17	2446.50	2592.37	USD	-3,646.75	
19SEP17	S 1 PD19DEC17	2424.50	2592.37	USD	-4,196.75	
19SEP17	S 1 PD19DEC17	2450.00	2592.37	USD	-3,559.25	
19SEP17	B 2 PD19DEC17	2437.00	2592.37	USD	7,768.50	
19SEP17	B 1 PD19DEC17	2427.00	2592.37	USD	4,134.25	
19SEP17	B 2 PD19DEC17	2420.00	2592.37	USD	8,618.50	
19SEP17	B 1 PD19DEC17	2422.50	2592.37	USD	4,246.75	
19SEP17	B 1 PD19DEC17	2421.00	2592.37	USD	4,284.25	
19SEP17	B 1 PD19DEC17	2420.50	2592.37	USD	4,296.75	
19SEP17	B 3 PD19DEC17	2423.50	2592.37	USD	12,665.25	
19SEP17	B 3 PD19DEC17	2422.00	2592.37	USD	12,777.75	
19SEP17	B 1 PD19DEC17	2440.00	2592.37	USD	3,809.25	
	NET -2 AVG BGHT 2421.20 SOLD 2414.14				**-12,443.50**	**0.00**
20SEP17	S 1 PD20DEC17	2447.50	2592.50	USD	-3,625.00	
20SEP17	B 1 PD20DEC17	2449.50	2592.50	USD	3,575.00	
20SEP17	S 1 PD20DEC17	2455.00	2592.50	USD	-3,437.50	
20SEP17	S 1 PD20DEC17	2453.50	2592.50	USD	-3,475.00	
20SEP17	B 3 PD20DEC17	2458.50	2592.50	USD	10,050.00	
20SEP17	S 1 PD20DEC17	2462.00	2592.50	USD	-3,262.50	
20SEP17	S 1 PD20DEC17	2442.50	2592.50	USD	-3,750.00	
20SEP17	S 1 PD20DEC17	2440.50	2592.50	USD	-3,800.00	
20SEP17	B 1 PD20DEC17	2449.00	2592.50	USD	3,587.50	
20SEP17	S 1 PD20DEC17	2466.00	2592.50	USD	-3,162.50	
20SEP17	B 1 PD20DEC17	2465.50	2592.50	USD	3,175.00	
20SEP17	S 1 PD20DEC17	2463.00	2592.50	USD	-3,237.50	
20SEP17	S 2 PD20DEC17	2469.00	2592.50	USD	-6,175.00	
20SEP17	S 2 PD20DEC17	2465.50	2592.50	USD	-6,350.00	
20SEP17	S 1 PD20DEC17	2465.00	2592.50	USD	-3,187.50	
20SEP17	S 2 PD20DEC17	2468.00	2592.50	USD	-6,225.00	
20SEP17	S 1 PD20DEC17	2471.00	2592.50	USD	-3,037.50	
20SEP17	S 2 PD20DEC17	2464.50	2592.50	USD	-6,400.00	
20SEP17	B 1 PD20DEC17	2453.50	2592.50	USD	3,475.00	
20SEP17	B 1 PD20DEC17	2459.00	2592.50	USD	3,337.50	
20SEP17	B 2 PD20DEC17	2456.00	2592.50	USD	6,825.00	
20SEP17	B 2 PD20DEC17	2465.00	2592.50	USD	6,375.00	
	NET -6 AVG BGHT 2457.83 SOLD 2461.11				**-18,725.00**	**0.00**
21SEP17	S 1 PD21DEC17	2436.00	2592.29	USD	-3,907.25	
21SEP17	S 1 PD21DEC17	2437.50	2592.29	USD	-3,869.75	
21SEP17	S 1 PD21DEC17	2438.00	2592.29	USD	-3,857.25	
21SEP17	B 1 PD21DEC17	2466.00	2592.29	USD	3,157.25	
21SEP17	S 1 PD21DEC17	2462.00	2592.29	USD	-3,257.25	
21SEP17	S 2 PD21DEC17	2461.50	2592.29	USD	-6,539.50	
21SEP17	S 1 PD21DEC17	2461.00	2592.29	USD	-3,282.25	
21SEP17	B 1 PD21DEC17	2465.00	2592.29	USD	3,182.25	
21SEP17	B 1 PD21DEC17	2467.00	2592.29	USD	3,132.25	
21SEP17	S 1 PD21DEC17	2471.00	2592.29	USD	-3,032.25	
21SEP17	S 3 PD21DEC17	2473.00	2592.29	USD	-8,946.75	
21SEP17	B 1 PD21DEC17	2474.50	2592.29	USD	2,944.75	
21SEP17	B 1 PD21DEC17	2475.00	2592.29	USD	2,932.25	
21SEP17	S 1 PD21DEC17	2470.50	2592.29	USD	-3,044.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083XA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
21SEP17	S 2 PD21DEC17	2472.50	2592.29	USD	-5,989.50	
21SEP17	S 2 PD21DEC17	2473.50	2592.29	USD	-5,939.50	
21SEP17	S 1 PD21DEC17	2474.00	2592.29	USD	-2,957.25	
21SEP17	S 2 PD21DEC17	2467.00	2592.29	USD	-6,264.50	
21SEP17	B 1 PD21DEC17	2448.00	2592.29	USD	3,607.25	
21SEP17	B 1 PD21DEC17	2447.00	2592.29	USD	3,632.25	
21SEP17	S 1 PD21DEC17	2466.50	2592.29	USD	-3,144.75	
21SEP17	S 1 PD21DEC17	2464.50	2592.29	USD	-3,194.75	
21SEP17	S 2 PD21DEC17	2466.00	2592.29	USD	-6,314.50	
21SEP17	S 2 PD21DEC17	2477.50	2592.29	USD	-5,739.50	
21SEP17	S 1 PD21DEC17	2476.00	2592.29	USD	-2,907.25	
21SEP17	B 2 PD21DEC17	2480.50	2592.29	USD	5,589.50	
21SEP17	B 1 PD21DEC17	2477.00	2592.29	USD	2,882.25	
21SEP17	B 1 PD21DEC17	2478.50	2592.29	USD	2,844.75	
21SEP17	B 1 PD21DEC17	2510.00	2592.29	USD	2,057.25	
21SEP17	S 1 PD21DEC17	2509.50	2592.29	USD	-2,069.75	
21SEP17	S 1 PD21DEC17	2507.00	2592.29	USD	-2,132.25	
21SEP17	B 1 PD21DEC17	2482.50	2592.29	USD	2,744.75	
21SEP17	B 1 PD21DEC17	2475.50	2592.29	USD	2,919.75	
21SEP17	B 1 PD21DEC17	2471.00	2592.29	USD	3,032.25	
21SEP17	B 1 PD21DEC17	2458.50	2592.29	USD	3,344.75	
21SEP17	B 1 PD21DEC17	2442.50	2592.29	USD	3,744.75	
21SEP17	B 1 PD21DEC17	2442.00	2592.29	USD	3,757.25	
21SEP17	S 1 PD21DEC17	2451.00	2592.29	USD	-3,532.25	
21SEP17	S 1 PD21DEC17	2452.00	2592.29	USD	-3,507.25	
21SEP17	B 1 PD21DEC17	2459.00	2592.29	USD	3,332.25	
21SEP17	B 1 PD21DEC17	2455.00	2592.29	USD	3,432.25	
21SEP17	B 1 PD21DEC17	2454.50	2592.29	USD	3,444.75	
21SEP17	B 2 PD21DEC17	2453.00	2592.29	USD	6,964.50	
21SEP17	B 2 PD21DEC17	2452.50	2592.29	USD	6,989.50	
21SEP17	B 2 PD21DEC17	2475.50	2592.29	USD	5,839.50	
21SEP17	B 4 PD21DEC17	2474.50	2592.29	USD	11,779.00	
21SEP17	B 2 PD21DEC17	2477.50	2592.29	USD	5,739.50	
21SEP17	B 8 PD21DEC17	2513.20	2592.29	USD	15,818.00	
21SEP17	B 1 PD21DEC17	2462.50	2592.29	USD	3,244.75	
	NET +12 AVG BGHT 2476.01 SOLD 2467.72				**28,659.50**	**0.00**
22SEP17	B 1 PD22DEC17	2419.50	2592.07	USD	4,314.25	
22SEP17	B 2 PD22DEC17	2414.00	2592.07	USD	8,903.50	
22SEP17	B 1 PD22DEC17	2409.00	2592.07	USD	4,576.75	
22SEP17	B 1 PD22DEC17	2400.50	2592.07	USD	4,789.25	
22SEP17	B 1 PD22DEC17	2400.00	2592.07	USD	4,801.75	
22SEP17	B 1 PD22DEC17	2382.50	2592.07	USD	5,239.25	
22SEP17	S 1 PD22DEC17	2388.00	2592.07	USD	-5,101.75	
22SEP17	S 1 PD22DEC17	2390.00	2592.07	USD	-5,051.75	
22SEP17	S 1 PD22DEC17	2399.00	2592.07	USD	-4,826.75	
22SEP17	S 1 PD22DEC17	2404.50	2592.07	USD	-4,689.25	
22SEP17	S 2 PD22DEC17	2406.00	2592.07	USD	-9,303.50	
22SEP17	S 1 PD22DEC17	2437.50	2592.07	USD	-3,864.25	
22SEP17	S 1 PD22DEC17	2448.50	2592.07	USD	-3,589.25	
22SEP17	S 1 PD22DEC17	2406.00	2592.07	USD	-4,651.75	
22SEP17	S 1 PD22DEC17	2473.00	2592.07	USD	-2,976.75	
22SEP17	S 1 PD22DEC17	2482.50	2592.07	USD	-2,739.25	
22SEP17	B 1 PD22DEC17	2457.00	2592.07	USD	3,376.75	
22SEP17	S 1 PD22DEC17	2478.00	2592.07	USD	-2,851.75	
22SEP17	S 1 PD22DEC17	2478.50	2592.07	USD	-2,839.25	
22SEP17	B 1 PD22DEC17	2475.50	2592.07	USD	2,914.25	
22SEP17	S 1 PD22DEC17	2476.00	2592.07	USD	-2,901.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083XA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
22SEP17	S 1 PD22DEC17	2482.00	2592.07	USD	-2,751.75	
22SEP17	S 7 PD22DEC17	2480.00	2592.07	USD	-19,612.25	
22SEP17	B 1 PD22DEC17	2486.00	2592.07	USD	2,651.75	
22SEP17	S 1 PD22DEC17	2483.00	2592.07	USD	-2,726.75	
22SEP17	B 1 PD22DEC17	2487.50	2592.07	USD	2,614.25	
22SEP17	B 1 PD22DEC17	2488.50	2592.07	USD	2,589.25	
22SEP17	B 6 PD22DEC17	2490.00	2592.07	USD	15,310.50	
22SEP17	B 2 PD22DEC17	2489.50	2592.07	USD	5,128.50	
22SEP17	S 1 PD22DEC17	2475.50	2592.07	USD	-2,914.25	
22SEP17	S 1 PD22DEC17	2476.00	2592.07	USD	-2,901.75	
22SEP17	B 1 PD22DEC17	2489.50	2592.07	USD	2,564.25	
	NET -4 AVG BGHT 2459.17 SOLD 2454.00				**-16,519.50**	**0.00**
25SEP17	B 1 PD27DEC17	2492.00	2591.86	USD	2,496.50	
25SEP17	B 1 PD27DEC17	2485.00	2591.86	USD	2,671.50	
25SEP17	B 1 PD27DEC17	2487.00	2591.86	USD	2,621.50	
25SEP17	S 2 PD27DEC17	2508.50	2591.86	USD	-4,168.00	
25SEP17	S 1 PD27DEC17	2507.00	2591.86	USD	-2,121.50	
25SEP17	B 1 PD27DEC17	2490.00	2591.86	USD	2,546.50	
25SEP17	S 1 PD27DEC17	2503.00	2591.86	USD	-2,221.50	
25SEP17	B 1 PD27DEC17	2483.50	2591.86	USD	2,709.00	
25SEP17	B 3 PD27DEC17	2486.00	2591.86	USD	7,939.50	
25SEP17	B 1 PD27DEC17	2484.00	2591.86	USD	2,696.50	
25SEP17	S 1 PD27DEC17	2474.00	2591.86	USD	-2,946.50	
25SEP17	B 2 PD27DEC17	2473.00	2591.86	USD	5,943.00	
25SEP17	B 2 PD27DEC17	2476.00	2591.86	USD	5,793.00	
25SEP17	B 2 PD27DEC17	2480.00	2591.86	USD	5,593.00	
25SEP17	B 1 PD27DEC17	2473.50	2591.86	USD	2,959.00	
26SEP17	S 1 PD27DEC17	2502.50	2591.86	USD	-2,234.00	
26SEP17	S 1 PD27DEC17	2508.00	2591.86	USD	-2,096.50	
26SEP17	B 2 PD27DEC17	2507.00	2591.86	USD	4,243.00	
26SEP17	B 1 PD27DEC17	2506.00	2591.86	USD	2,146.50	
26SEP17	B 1 PD27DEC17	2498.50	2591.86	USD	2,334.00	
26SEP17	B 1 PD27DEC17	2495.50	2591.86	USD	2,409.00	
26SEP17	B 1 PD27DEC17	2494.50	2591.86	USD	2,434.00	
26SEP17	S 1 PD27DEC17	2505.50	2591.86	USD	-2,159.00	
26SEP17	S 2 PD27DEC17	2498.00	2591.86	USD	-4,693.00	
26SEP17	S 1 PD27DEC17	2497.00	2591.86	USD	-2,371.50	
26SEP17	S 2 PD27DEC17	2499.00	2591.86	USD	-4,643.00	
26SEP17	S 1 PD27DEC17	2500.00	2591.86	USD	-2,296.50	
26SEP17	B 1 PD27DEC17	2497.00	2591.86	USD	2,371.50	
26SEP17	S 2 PD27DEC17	2501.00	2591.86	USD	-4,543.00	
26SEP17	S 2 PD27DEC17	2495.00	2591.86	USD	-4,843.00	
26SEP17	S 1 PD27DEC17	2502.50	2591.86	USD	-2,234.00	
26SEP17	B 1 PD27DEC17	2486.00	2591.86	USD	2,646.50	
26SEP17	B 2 PD27DEC17	2488.50	2591.86	USD	5,168.00	
26SEP17	S 1 PD27DEC17	2477.50	2591.86	USD	-2,859.00	
26SEP17	S 1 PD27DEC17	2477.00	2591.86	USD	-2,871.50	
26SEP17	B 1 PD27DEC17	2469.00	2591.86	USD	3,071.50	
26SEP17	B 1 PD27DEC17	2468.00	2591.86	USD	3,096.50	
26SEP17	S 1 PD27DEC17	2483.00	2591.86	USD	-2,721.50	
26SEP17	S 2 PD27DEC17	2484.50	2591.86	USD	-5,368.00	
26SEP17	S 1 PD27DEC17	2482.00	2591.86	USD	-2,746.50	
26SEP17	S 2 PD27DEC17	2481.00	2591.86	USD	-5,543.00	
26SEP17	S 2 PD27DEC17	2480.00	2591.86	USD	-5,593.00	
26SEP17	S 2 PD27DEC17	2478.00	2591.86	USD	-5,693.00	
26SEP17	B 2 PD27DEC17	2482.00	2591.86	USD	5,493.00	
27SEP17	B 2 PD27DEC17	2478.00	2591.86	USD	5,693.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083XA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
27SEP17	B	3	PD27DEC17	2480.00	2591.86	USD	8,389.50	
27SEP17	S	1	PD27DEC17	2482.00	2591.86	USD	-2,746.50	
27SEP17	S	1	PD27DEC17	2479.00	2591.86	USD	-2,821.50	
27SEP17	B	1	PD27DEC17	2479.50	2591.86	USD	2,809.00	
27SEP17	B	1	PD27DEC17	2477.50	2591.86	USD	2,859.00	
27SEP17	S	1	PD27DEC17	2467.00	2591.86	USD	-3,121.50	
27SEP17	S	1	PD27DEC17	2465.50	2591.86	USD	-3,159.00	
27SEP17	S	1	PD27DEC17	2465.00	2591.86	USD	-3,171.50	
27SEP17	B	1	PD27DEC17	2460.50	2591.86	USD	3,284.00	
27SEP17	B	1	PD27DEC17	2466.00	2591.86	USD	3,146.50	
27SEP17	B	1	PD27DEC17	2461.00	2591.86	USD	3,271.50	
	NET	**+4**	**AVG BGHT 2483.03**	**SOLD 2489.65**			**16,848.50**	**0.00**
28SEP17	B	1	PD28DEC17	2458.00	2591.64	USD	3,341.00	
28SEP17	B	2	PD28DEC17	2457.00	2591.64	USD	6,732.00	
28SEP17	S	1	PD28DEC17	2454.00	2591.64	USD	-3,441.00	
28SEP17	S	6	PD28DEC17	2451.00	2591.64	USD	-21,096.00	
28SEP17	S	2	PD28DEC17	2452.00	2591.64	USD	-6,982.00	
28SEP17	S	3	PD28DEC17	2451.50	2591.64	USD	-10,510.50	
28SEP17	B	2	PD28DEC17	2448.00	2591.64	USD	7,182.00	
28SEP17	S	1	PD28DEC17	2460.50	2591.64	USD	-3,278.50	
28SEP17	B	1	PD28DEC17	2464.00	2591.64	USD	3,191.00	
28SEP17	S	2	PD28DEC17	2471.00	2591.64	USD	-6,032.00	
28SEP17	S	1	PD28DEC17	2474.00	2591.64	USD	-2,941.00	
28SEP17	S	1	PD28DEC17	2472.00	2591.64	USD	-2,991.00	
28SEP17	B	1	PD28DEC17	2459.00	2591.64	USD	3,316.00	
28SEP17	B	1	PD28DEC17	2461.50	2591.64	USD	3,253.50	
28SEP17	B	1	PD28DEC17	2471.50	2591.64	USD	3,003.50	
28SEP17	S	1	PD28DEC17	2478.00	2591.64	USD	-2,841.00	
28SEP17	B	1	PD28DEC17	2485.00	2591.64	USD	2,666.00	
28SEP17	B	1	PD28DEC17	2479.00	2591.64	USD	2,816.00	
28SEP17	B	1	PD28DEC17	2473.50	2591.64	USD	2,953.50	
28SEP17	B	1	PD28DEC17	2473.00	2591.64	USD	2,966.00	
28SEP17	B	4	PD28DEC17	2461.50	2591.64	USD	13,014.00	
28SEP17	B	4	PD28DEC17	2461.00	2591.64	USD	13,064.00	
28SEP17	B	1	PD28DEC17	2460.50	2591.64	USD	3,278.50	
28SEP17	B	1	PD28DEC17	2456.00	2591.64	USD	3,391.00	
28SEP17	B	1	PD28DEC17	2455.00	2591.64	USD	3,416.00	
28SEP17	B	1	PD28DEC17	2462.00	2591.64	USD	3,241.00	
28SEP17	B	2	PD28DEC17	2460.00	2591.64	USD	6,582.00	
28SEP17	S	1	PD28DEC17	2470.50	2591.64	USD	-3,028.50	
28SEP17	S	3	PD28DEC17	2470.00	2591.64	USD	-9,123.00	
28SEP17	S	2	PD28DEC17	2469.50	2591.64	USD	-6,107.00	
28SEP17	B	1	PD28DEC17	2483.00	2591.64	USD	2,716.00	
28SEP17	B	1	PD28DEC17	2483.50	2591.64	USD	2,703.50	
28SEP17	S	2	PD28DEC17	2485.00	2591.64	USD	-5,332.00	
28SEP17	B	2	PD28DEC17	2480.50	2591.64	USD	5,557.00	
28SEP17	B	1	PD28DEC17	2481.00	2591.64	USD	2,766.00	
28SEP17	B	2	PD28DEC17	2482.00	2591.64	USD	5,482.00	
28SEP17	B	2	PD28DEC17	2484.50	2591.64	USD	5,357.00	
28SEP17	B	3	PD28DEC17	2485.50	2591.64	USD	7,960.50	
28SEP17	B	1	PD28DEC17	2486.50	2591.64	USD	2,628.50	
28SEP17	S	1	PD28DEC17	2485.50	2591.64	USD	-2,653.50	
28SEP17	S	1	PD28DEC17	2484.00	2591.64	USD	-2,691.00	
	NET	**+12**	**AVG BGHT 2469.06**	**SOLD 2464.43**			**33,529.50**	**0.00**
29SEP17	S	1	PD29DEC17	2486.50	2591.43	USD	-2,623.25	
29SEP17	S	1	PD29DEC17	2488.00	2591.43	USD	-2,585.75	
29SEP17	B	1	PD29DEC17	2492.50	2591.43	USD	2,473.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083XA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
29SEP17	S 1 PD29DEC17	2503.50	2591.43	USD	-2,198.25	
29SEP17	B 1 PD29DEC17	2496.00	2591.43	USD	2,385.75	
29SEP17	B 1 PD29DEC17	2495.00	2591.43	USD	2,410.75	
29SEP17	B 1 PD29DEC17	2493.00	2591.43	USD	2,460.75	
29SEP17	B 2 PD29DEC17	2493.50	2591.43	USD	4,896.50	
29SEP17	B 2 PD29DEC17	2487.50	2591.43	USD	5,196.50	
29SEP17	B 1 PD29DEC17	2487.00	2591.43	USD	2,610.75	
29SEP17	B 1 PD29DEC17	2485.00	2591.43	USD	2,660.75	
29SEP17	S 2 PD29DEC17	2485.00	2591.43	USD	-5,321.50	
29SEP17	S 1 PD29DEC17	2497.50	2591.43	USD	-2,348.25	
29SEP17	S 1 PD29DEC17	2494.00	2591.43	USD	-2,435.75	
29SEP17	S 1 PD29DEC17	2507.00	2591.43	USD	-2,110.75	
29SEP17	S 1 PD29DEC17	2491.00	2591.43	USD	-2,510.75	
29SEP17	S 1 PD29DEC17	2497.50	2591.43	USD	-2,348.25	
29SEP17	S 1 PD29DEC17	2504.50	2591.43	USD	-2,173.25	
29SEP17	S 1 PD29DEC17	2506.00	2591.43	USD	-2,135.75	
29SEP17	S 1 PD29DEC17	2508.50	2591.43	USD	-2,073.25	
29SEP17	S 3 PD29DEC17	2508.00	2591.43	USD	-6,257.25	
29SEP17	S 3 PD29DEC17	2512.00	2591.43	USD	-5,957.25	
29SEP17	B 1 PD29DEC17	2501.00	2591.43	USD	2,260.75	
29SEP17	B 1 PD29DEC17	2500.50	2591.43	USD	2,273.25	
29SEP17	B 2 PD29DEC17	2491.00	2591.43	USD	5,021.50	
29SEP17	S 1 PD29DEC17	2508.50	2591.43	USD	-2,073.25	
29SEP17	S 1 PD29DEC17	2512.50	2591.43	USD	-1,973.25	
29SEP17	B 1 PD29DEC17	2489.50	2591.43	USD	2,548.25	
29SEP17	B 2 PD29DEC17	2490.00	2591.43	USD	5,071.50	
29SEP17	B 1 PD29DEC17	2490.50	2591.43	USD	2,523.25	
29SEP17	B 3 PD29DEC17	2486.50	2591.43	USD	7,869.75	
29SEP17	S 2 PD29DEC17	2493.85	2591.43	USD	-4,879.00	
29SEP17	S 2 PD29DEC17	2494.85	2591.43	USD	-4,829.00	
29SEP17	S 2 PD29DEC17	2488.80	2591.43	USD	-5,131.50	
29SEP17	S 2 PD29DEC17	2489.00	2591.43	USD	-5,121.50	
29SEP17	B 1 PD29DEC17	2488.50	2591.43	USD	2,573.25	
29SEP17	S 4 PD29DEC17	2487.00	2591.43	USD	-10,443.00	
29SEP17	B 5 PD29DEC17	2485.20	2591.43	USD	13,278.75	
	NET -6 AVG BGHT 2489.93 SOLD 2497.45				**-9,014.50**	**0.00**
03OCT17	S 1 PD03JAN18	2521.00	2591.00	USD	-1,750.00	
03OCT17	S 3 PD03JAN18	2520.00	2591.00	USD	-5,325.00	
03OCT17	B 1 PD03JAN18	2506.50	2591.00	USD	2,112.50	
03OCT17	B 1 PD03JAN18	2506.00	2591.00	USD	2,125.00	
03OCT17	B 1 PD03JAN18	2503.50	2591.00	USD	2,187.50	
03OCT17	B 1 PD03JAN18	2504.50	2591.00	USD	2,162.50	
03OCT17	B 1 PD03JAN18	2503.00	2591.00	USD	2,200.00	
03OCT17	B 1 PD03JAN18	2502.50	2591.00	USD	2,212.50	
03OCT17	S 1 PD03JAN18	2518.00	2591.00	USD	-1,825.00	
03OCT17	B 1 PD03JAN18	2492.00	2591.00	USD	2,475.00	
03OCT17	B 1 PD03JAN18	2490.50	2591.00	USD	2,512.50	
03OCT17	B 2 PD03JAN18	2491.00	2591.00	USD	5,000.00	
03OCT17	B 1 PD03JAN18	2489.00	2591.00	USD	2,550.00	
03OCT17	B 1 PD03JAN18	2493.50	2591.00	USD	2,437.50	
03OCT17	B 2 PD03JAN18	2494.00	2591.00	USD	4,850.00	
03OCT17	B 1 PD03JAN18	2500.00	2591.00	USD	2,275.00	
03OCT17	B 3 PD03JAN18	2499.00	2591.00	USD	6,900.00	
03OCT17	B 1 PD03JAN18	2496.00	2591.00	USD	2,375.00	
03OCT17	S 1 PD03JAN18	2513.00	2591.00	USD	-1,950.00	
03OCT17	S 2 PD03JAN18	2518.00	2591.00	USD	-3,650.00	
03OCT17	S 1 PD03JAN18	2519.00	2591.00	USD	-1,800.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083XA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
03OCT17	S 1 PD03JAN18	2519.50	2591.00	USD	-1,787.50	
03OCT17	S 2 PD03JAN18	2515.00	2591.00	USD	-3,800.00	
03OCT17	S 1 PD03JAN18	2534.00	2591.00	USD	-1,425.00	
03OCT17	S 1 PD03JAN18	2531.00	2591.00	USD	-1,500.00	
03OCT17	S 1 PD03JAN18	2534.50	2591.00	USD	-1,412.50	
03OCT17	S 2 PD03JAN18	2541.50	2591.00	USD	-2,475.00	
03OCT17	B 1 PD03JAN18	2565.00	2591.00	USD	650.00	
03OCT17	B 1 PD03JAN18	2547.00	2591.00	USD	1,100.00	
03OCT17	S 1 PD03JAN18	2569.50	2591.00	USD	-537.50	
03OCT17	S 2 PD03JAN18	2585.00	2591.00	USD	-300.00	
03OCT17	S 3 PD03JAN18	2590.00	2591.00	USD	-75.00	
03OCT17	B 1 PD03JAN18	2588.50	2591.00	USD	62.50	
03OCT17	S 1 PD03JAN18	2591.50	2591.00	USD	12.50	
03OCT17	S 1 PD03JAN18	2592.00	2591.00	USD	25.00	
03OCT17	B 4 PD03JAN18	2594.00	2591.00	USD	-300.00	
03OCT17	B 4 PD03JAN18	2594.50	2591.00	USD	-350.00	
03OCT17	S 13 PD03JAN18	2590.80	2591.00	USD	-65.00	
03OCT17	B 2 PD03JAN18	2596.00	2591.00	USD	-250.00	
03OCT17	B 1 PD03JAN18	2566.50	2591.00	USD	612.50	
03OCT17	S 1 PD03JAN18	2564.00	2591.00	USD	-675.00	
	NET -6 AVG BGHT 2535.36 SOLD 2559.91				**15,585.00**	**0.00**
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
04JUL17	B 1 PD04OCT17	9120.00	10531.23	USD	8,467.38	
04JUL17	S 1 PD04OCT17	9200.00	10531.23	USD	-7,987.38	
	NET 0 AVG BGHT 9120.00 SOLD 9200.00				**480.00**	**0.00**
05JUL17	S 1 PD05OCT17	9165.00	10532.50	USD	-8,205.00	
05JUL17	S 1 PD05OCT17	9100.00	10532.50	USD	-8,595.00	
19JUL17	B 2 PD05OCT17	9644.18	10532.50	USD	10,659.84	
	NET 0 AVG BGHT 9644.18 SOLD 9132.50				**-6,140.16**	**0.00**
06JUL17	S 1 PD06OCT17	9115.00	10533.77	USD	-8,512.62	
07JUL17	S 1 PD06OCT17	9005.00	10533.77	USD	-9,172.62	
07JUL17	B 1 PD06OCT17	8995.00	10533.77	USD	9,232.62	
07JUL17	B 1 PD06OCT17	8965.00	10533.77	USD	9,412.62	
07JUL17	B 1 PD06OCT17	8955.00	10533.77	USD	9,472.62	
07JUL17	B 1 PD06OCT17	8940.00	10533.77	USD	9,562.62	
19JUL17	S 2 PD06OCT17	9643.86	10533.77	USD	-10,678.92	
	NET 0 AVG BGHT 8963.75 SOLD 9351.93				**9,316.32**	**0.00**
10JUL17	B 1 PD10OCT17	9025.00	10538.85	USD	9,083.10	
10JUL17	S 1 PD10OCT17	8930.00	10538.85	USD	-9,653.10	
	NET 0 AVG BGHT 9025.00 SOLD 8930.00				**-570.00**	**0.00**
11JUL17	B 1 PD11OCT17	8990.00	10540.12	USD	9,300.72	
11JUL17	B 1 PD11OCT17	9045.00	10540.12	USD	8,970.72	
11JUL17	S 1 PD11OCT17	9035.00	10540.12	USD	-9,030.72	
11JUL17	S 1 PD11OCT17	9125.00	10540.12	USD	-8,490.72	
11JUL17	S 2 PD11OCT17	9130.00	10540.12	USD	-16,921.44	
19JUL17	B 2 PD11OCT17	9648.25	10540.12	USD	10,702.44	
	NET 0 AVG BGHT 9332.88 SOLD 9105.00				**-5,469.00**	**0.00**
12JUL17	S 1 PD12OCT17	9250.00	10541.38	USD	-7,748.28	
19JUL17	B 1 PD12OCT17	9648.93	10541.38	USD	5,354.70	
	NET 0 AVG BGHT 9648.93 SOLD 9250.00				**-2,393.58**	**0.00**
14JUL17	S 2 PD13OCT17	9280.00	10542.65	USD	-15,151.80	
14JUL17	S 1 PD13OCT17	9285.00	10542.65	USD	-7,545.90	
14JUL17	B 1 PD13OCT17	9285.00	10542.65	USD	7,545.90	
14JUL17	B 1 PD13OCT17	9295.00	10542.65	USD	7,485.90	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083XA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
14JUL17	B 1 PD13OCT17	9350.00	10542.65	USD	7,155.90	
	NET 0 AVG BGHT 9310.00 SOLD 9281.67				**-510.00**	**0.00**
17JUL17	B 1 PD17OCT17	9595.00	10547.73	USD	5,716.38	
17JUL17	B 2 PD17OCT17	9620.00	10547.73	USD	11,132.76	
17JUL17	S 1 PD17OCT17	9640.00	10547.73	USD	-5,446.38	
17JUL17	S 1 PD17OCT17	9625.00	10547.73	USD	-5,536.38	
17JUL17	S 1 PD17OCT17	9615.00	10547.73	USD	-5,596.38	
17JUL17	S 1 PD17OCT17	9700.00	10547.73	USD	-5,086.38	
17JUL17	B 1 PD17OCT17	9600.00	10547.73	USD	5,686.38	
	NET 0 AVG BGHT 9608.75 SOLD 9645.00				**870.00**	**0.00**
18JUL17	S 1 PD18OCT17	9635.00	10549.00	USD	-5,484.00	
18JUL17	S 2 PD18OCT17	9645.00	10549.00	USD	-10,848.00	
18JUL17	B 1 PD18OCT17	9660.00	10549.00	USD	5,334.00	
18JUL17	B 1 PD18OCT17	9650.00	10549.00	USD	5,394.00	
18JUL17	B 1 PD18OCT17	9655.00	10549.00	USD	5,364.00	
	NET 0 AVG BGHT 9655.00 SOLD 9641.67				**-240.00**	**0.00**
19JUL17	S 1 PD19OCT17	9755.00	10549.96	USD	-4,769.76	
19JUL17	B 1 PD19OCT17	9695.00	10549.96	USD	5,129.76	
	NET 0 AVG BGHT 9695.00 SOLD 9755.00				**360.00**	**0.00**
20JUL17	S 1 PD20OCT17	9635.00	10550.93	USD	-5,495.58	
20JUL17	B 1 PD20OCT17	9630.00	10550.93	USD	5,525.58	
	NET 0 AVG BGHT 9630.00 SOLD 9635.00				**30.00**	**0.00**
24JUL17	S 1 PD24OCT17	9690.00	10554.79	USD	-5,188.74	
24JUL17	S 1 PD24OCT17	9735.00	10554.79	USD	-4,918.74	
24JUL17	S 1 PD24OCT17	9750.00	10554.79	USD	-4,828.74	
24JUL17	B 1 PD24OCT17	9755.00	10554.79	USD	4,798.74	
24JUL17	B 1 PD24OCT17	9745.00	10554.79	USD	4,858.74	
24JUL17	B 1 PD24OCT17	9760.00	10554.79	USD	4,768.74	
	NET 0 AVG BGHT 9753.33 SOLD 9725.00				**-510.00**	**0.00**
25JUL17	S 2 PD25OCT17	9850.00	10555.75	USD	-8,469.00	
25JUL17	S 3 PD25OCT17	9900.00	10555.75	USD	-11,803.50	
25JUL17	S 1 PD25OCT17	9895.00	10555.75	USD	-3,964.50	
25JUL17	S 1 PD25OCT17	9920.00	10555.75	USD	-3,814.50	
25JUL17	B 1 PD25OCT17	9925.00	10555.75	USD	3,784.50	
25JUL17	B 4 PD25OCT17	9950.00	10555.75	USD	14,538.00	
25JUL17	B 2 PD25OCT17	9920.00	10555.75	USD	7,629.00	
	NET 0 AVG BGHT 9937.86 SOLD 9887.86				**-2,100.00**	**0.00**
26JUL17	B 2 PD26OCT17	10020.00	10556.71	USD	6,440.52	
26JUL17	B 2 PD26OCT17	10030.00	10556.71	USD	6,320.52	
26JUL17	S 1 PD26OCT17	9915.00	10556.71	USD	-3,850.26	
26JUL17	S 3 PD26OCT17	9910.00	10556.71	USD	-11,640.78	
	NET 0 AVG BGHT 10025.00 SOLD 9911.25				**-2,730.00**	**0.00**
27JUL17	B 1 PD27OCT17	10050.00	10557.68	USD	3,046.08	
27JUL17	S 1 PD27OCT17	10085.00	10557.68	USD	-2,836.08	
28JUL17	B 1 PD27OCT17	10045.00	10557.68	USD	3,076.08	
28JUL17	B 1 PD27OCT17	10025.00	10557.68	USD	3,196.08	
28JUL17	B 1 PD27OCT17	10030.00	10557.68	USD	3,166.08	
28JUL17	B 1 PD27OCT17	10035.00	10557.68	USD	3,136.08	
28JUL17	S 2 PD27OCT17	10095.00	10557.68	USD	-5,552.16	
28JUL17	S 1 PD27OCT17	10100.00	10557.68	USD	-2,746.08	
28JUL17	S 1 PD27OCT17	10135.00	10557.68	USD	-2,536.08	
28JUL17	S 2 PD27OCT17	10130.00	10557.68	USD	-5,132.16	
28JUL17	S 1 PD27OCT17	10210.00	10557.68	USD	-2,086.08	
28JUL17	S 1 PD27OCT17	10035.00	10557.68	USD	-3,136.08	
28JUL17	B 1 PD27OCT17	10255.00	10557.68	USD	1,816.08	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083XA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
28JUL17	B	1	PD27OCT17	10250.00	10557.68	USD	1,846.08	
28JUL17	B	3	PD27OCT17	10230.00	10557.68	USD	5,898.24	
28JUL17	B	1	PD27OCT17	10240.00	10557.68	USD	1,906.08	
28JUL17	B	2	PD27OCT17	10225.00	10557.68	USD	3,992.16	
28JUL17	S	1	PD27OCT17	10235.00	10557.68	USD	-1,936.08	
28JUL17	S	3	PD27OCT17	10205.00	10557.68	USD	-6,348.24	
28JUL17	B	1	PD27OCT17	10195.00	10557.68	USD	2,176.08	
28JUL17	S	1	PD27OCT17	10215.00	10557.68	USD	-2,056.08	
28JUL17	B	4	PD27OCT17	10280.00	10557.68	USD	6,664.32	
14SEP17	S	4	PD27OCT17	11158.68	10557.68	USD	14,424.00	
	NET	**0**	**AVG BGHT 10188.06 SOLD 10373.04**				**19,978.32**	**0.00**
31JUL17	B	1	PD31OCT17	10325.00	10561.54	USD	1,419.24	
31JUL17	S	1	PD31OCT17	10320.00	10561.54	USD	-1,449.24	
31JUL17	S	1	PD31OCT17	10315.00	10561.54	USD	-1,479.24	
31JUL17	S	1	PD31OCT17	10280.00	10561.54	USD	-1,689.24	
31JUL17	S	1	PD31OCT17	10290.00	10561.54	USD	-1,629.24	
31JUL17	S	1	PD31OCT17	10300.00	10561.54	USD	-1,569.24	
14SEP17	B	4	PD31OCT17	11163.54	10561.54	USD	-14,448.00	
	NET	**0**	**AVG BGHT 10995.83 SOLD 10301.00**				**-20,844.96**	**0.00**
01AUG17	B	2	PD01NOV17	10150.00	10562.50	USD	4,950.00	
01AUG17	S	1	PD01NOV17	10195.00	10562.50	USD	-2,205.00	
01AUG17	S	1	PD01NOV17	10205.00	10562.50	USD	-2,145.00	
	NET	**0**	**AVG BGHT 10150.00 SOLD 10200.00**				**600.00**	**0.00**
03AUG17	B	1	PD03NOV17	10335.00	10564.43	USD	1,376.58	
03AUG17	S	1	PD03NOV17	10300.00	10564.43	USD	-1,586.58	
03AUG17	S	1	PD03NOV17	10290.00	10564.43	USD	-1,646.58	
03AUG17	B	1	PD03NOV17	10310.00	10564.43	USD	1,526.58	
04AUG17	S	1	PD03NOV17	10390.00	10564.43	USD	-1,046.58	
04AUG17	S	1	PD03NOV17	10405.00	10564.43	USD	-956.58	
04AUG17	S	1	PD03NOV17	10410.00	10564.43	USD	-926.58	
04AUG17	B	1	PD03NOV17	10380.00	10564.43	USD	1,106.58	
04AUG17	B	2	PD03NOV17	10335.00	10564.43	USD	2,753.16	
	NET	**0**	**AVG BGHT 10339.00 SOLD 10359.00**				**600.00**	**0.00**
08AUG17	B	3	PD08NOV17	10300.00	10569.25	USD	4,846.50	
08AUG17	B	2	PD08NOV17	10295.00	10569.25	USD	3,291.00	
08AUG17	S	1	PD08NOV17	10340.00	10569.25	USD	-1,375.50	
08AUG17	S	2	PD08NOV17	10345.00	10569.25	USD	-2,691.00	
08AUG17	S	1	PD08NOV17	10310.00	10569.25	USD	-1,555.50	
08AUG17	B	1	PD08NOV17	10310.00	10569.25	USD	1,555.50	
08AUG17	S	1	PD08NOV17	10295.00	10569.25	USD	-1,645.50	
08AUG17	S	1	PD08NOV17	10320.00	10569.25	USD	-1,495.50	
	NET	**0**	**AVG BGHT 10300.00 SOLD 10325.83**				**930.00**	**0.00**
09AUG17	S	2	PD09NOV17	10730.00	10570.21	USD	1,917.48	
09AUG17	S	4	PD09NOV17	10735.00	10570.21	USD	3,954.96	
09AUG17	B	1	PD09NOV17	10775.00	10570.21	USD	-1,228.74	
09AUG17	B	1	PD09NOV17	10780.00	10570.21	USD	-1,258.74	
09AUG17	B	1	PD09NOV17	10770.00	10570.21	USD	-1,198.74	
09AUG17	B	1	PD09NOV17	10800.00	10570.21	USD	-1,378.74	
09AUG17	S	1	PD09NOV17	10750.00	10570.21	USD	1,078.74	
09AUG17	B	3	PD09NOV17	10750.00	10570.21	USD	-3,236.22	
	NET	**0**	**AVG BGHT 10767.86 SOLD 10735.71**				**-1,350.00**	**0.00**
10AUG17	S	1	PD10NOV17	10805.00	10571.18	USD	1,402.92	
10AUG17	B	1	PD10NOV17	10835.00	10571.18	USD	-1,582.92	
11AUG17	S	2	PD10NOV17	10670.00	10571.18	USD	1,185.84	
11AUG17	S	3	PD10NOV17	10730.00	10571.18	USD	2,858.76	
11AUG17	B	1	PD10NOV17	10690.00	10571.18	USD	-712.92	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083XA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
11AUG17	B 1 PD10NOV17	10640.00	10571.18	USD	-412.92	
11AUG17	B 1 PD10NOV17	10645.00	10571.18	USD	-442.92	
11AUG17	B 2 PD10NOV17	10665.00	10571.18	USD	-1,125.84	
11AUG17	B 1 PD10NOV17	10660.00	10571.18	USD	-532.92	
11AUG17	S 1 PD10NOV17	10650.00	10571.18	USD	472.92	
	NET 0 AVG BGHT 10685.71 SOLD 10712.14				**1,110.00**	**0.00**
14AUG17	B 1 PD14NOV17	10640.00	10575.04	USD	-389.76	
14AUG17	B 1 PD14NOV17	10605.00	10575.04	USD	-179.76	
14AUG17	S 1 PD14NOV17	10575.00	10575.04	USD	-0.24	
14AUG17	S 1 PD14NOV17	10600.00	10575.04	USD	149.76	
	NET 0 AVG BGHT 10622.50 SOLD 10587.50				**-420.00**	**0.00**
16AUG17	B 1 PD16NOV17	10410.00	10576.89	USD	1,001.34	
16AUG17	B 1 PD16NOV17	10460.00	10576.89	USD	701.34	
16AUG17	S 1 PD16NOV17	10415.00	10576.89	USD	-971.34	
16AUG17	S 1 PD16NOV17	10515.00	10576.89	USD	-371.34	
	NET 0 AVG BGHT 10435.00 SOLD 10465.00				**360.00**	**0.00**
22AUG17	S 1 PD22NOV17	11335.00	10582.20	USD	4,516.80	
22AUG17	S 1 PD22NOV17	11300.00	10582.20	USD	4,306.80	
22AUG17	S 2 PD22NOV17	11355.00	10582.20	USD	9,273.60	
22AUG17	B 1 PD22NOV17	11510.00	10582.20	USD	-5,566.80	
22AUG17	S 1 PD22NOV17	11525.00	10582.20	USD	5,656.80	
22AUG17	S 1 PD22NOV17	11535.00	10582.20	USD	5,716.80	
22AUG17	B 1 PD22NOV17	11475.00	10582.20	USD	-5,356.80	
22AUG17	S 1 PD22NOV17	11465.00	10582.20	USD	5,296.80	
22AUG17	B 1 PD22NOV17	11445.00	10582.20	USD	-5,176.80	
22AUG17	B 1 PD22NOV17	11400.00	10582.20	USD	-4,906.80	
22AUG17	B 1 PD22NOV17	11380.00	10582.20	USD	-4,786.80	
22AUG17	B 1 PD22NOV17	11360.00	10582.20	USD	-4,666.80	
22AUG17	B 1 PD22NOV17	11325.00	10582.20	USD	-4,456.80	
	NET 0 AVG BGHT 11413.57 SOLD 11410.00				**-150.00**	**0.00**
23AUG17	S 1 PD24NOV17	11370.00	10583.97	USD	4,716.18	
23AUG17	S 1 PD24NOV17	11395.00	10583.97	USD	4,866.18	
23AUG17	S 1 PD24NOV17	11405.00	10583.97	USD	4,926.18	
23AUG17	B 1 PD24NOV17	11440.00	10583.97	USD	-5,136.18	
23AUG17	S 2 PD24NOV17	11520.00	10583.97	USD	11,232.36	
23AUG17	S 1 PD24NOV17	11530.00	10583.97	USD	5,676.18	
23AUG17	B 1 PD24NOV17	11480.00	10583.97	USD	-5,376.18	
23AUG17	B 1 PD24NOV17	11730.00	10583.97	USD	-6,876.18	
23AUG17	B 1 PD24NOV17	11780.00	10583.97	USD	-7,176.18	
24AUG17	B 2 PD24NOV17	11720.00	10583.97	USD	-13,632.36	
24AUG17	S 1 PD24NOV17	11690.00	10583.97	USD	6,636.18	
24AUG17	B 1 PD24NOV17	11705.00	10583.97	USD	-6,726.18	
25AUG17	B 1 PD24NOV17	11445.00	10583.97	USD	-5,166.18	
25AUG17	S 1 PD24NOV17	11450.00	10583.97	USD	5,196.18	
	NET 0 AVG BGHT 11627.50 SOLD 11485.00				**-6,840.00**	**0.00**
31AUG17	B 1 PD30NOV17	11780.00	10589.29	USD	-7,144.26	
31AUG17	S 1 PD30NOV17	11790.00	10589.29	USD	7,204.26	
	NET 0 AVG BGHT 11780.00 SOLD 11790.00				**60.00**	**0.00**
04SEP17	S 1 PD04DEC17	12220.00	10592.83	USD	9,763.02	
04SEP17	S 1 PD04DEC17	12215.00	10592.83	USD	9,733.02	
04SEP17	B 1 PD04DEC17	12220.00	10592.83	USD	-9,763.02	
04SEP17	S 2 PD04DEC17	12125.00	10592.83	USD	18,386.04	
04SEP17	B 1 PD04DEC17	12145.00	10592.83	USD	-9,313.02	
04SEP17	B 1 PD04DEC17	12140.00	10592.83	USD	-9,283.02	
04SEP17	B 1 PD04DEC17	12170.00	10592.83	USD	-9,463.02	
04SEP17	B 1 PD04DEC17	12255.00	10592.83	USD	-9,973.02	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083XA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
04SEP17	S	1	PD04DEC17	12250.00	10592.83	USD	9,943.02	
	NET	**0**	**AVG BGHT 12186.00 SOLD 12187.00**				**30.00**	**0.00**
05SEP17	S	1	PD05DEC17	12250.00	10593.71	USD	9,937.74	
05SEP17	B	1	PD05DEC17	12195.00	10593.71	USD	-9,607.74	
05SEP17	S	1	PD05DEC17	12185.00	10593.71	USD	9,547.74	
05SEP17	B	1	PD05DEC17	12185.00	10593.71	USD	-9,547.74	
05SEP17	S	1	PD05DEC17	12230.00	10593.71	USD	9,817.74	
05SEP17	B	1	PD05DEC17	12155.00	10593.71	USD	-9,367.74	
	NET	**0**	**AVG BGHT 12178.33 SOLD 12221.67**				**780.00**	**0.00**
06SEP17	S	1	PD06DEC17	12015.00	10594.60	USD	8,522.40	
06SEP17	B	1	PD06DEC17	11970.00	10594.60	USD	-8,252.40	
06SEP17	S	1	PD06DEC17	12120.00	10594.60	USD	9,152.40	
06SEP17	B	1	PD06DEC17	12200.00	10594.60	USD	-9,632.40	
	NET	**0**	**AVG BGHT 12085.00 SOLD 12067.50**				**-210.00**	**0.00**
07SEP17	S	1	PD07DEC17	12250.00	10595.49	USD	9,927.06	
07SEP17	S	1	PD07DEC17	12230.00	10595.49	USD	9,807.06	
07SEP17	B	1	PD07DEC17	12145.00	10595.49	USD	-9,297.06	
07SEP17	S	1	PD07DEC17	12135.00	10595.49	USD	9,237.06	
07SEP17	B	1	PD07DEC17	12085.00	10595.49	USD	-8,937.06	
07SEP17	B	1	PD07DEC17	12095.00	10595.49	USD	-8,997.06	
07SEP17	S	1	PD07DEC17	12180.00	10595.49	USD	9,507.06	
07SEP17	S	1	PD07DEC17	12195.00	10595.49	USD	9,597.06	
07SEP17	B	1	PD07DEC17	12135.00	10595.49	USD	-9,237.06	
07SEP17	B	1	PD07DEC17	12090.00	10595.49	USD	-8,967.06	
	NET	**0**	**AVG BGHT 12110.00 SOLD 12198.00**				**2,640.00**	**0.00**
08SEP17	B	1	PD08DEC17	12125.00	10596.37	USD	-9,171.78	
08SEP17	B	1	PD08DEC17	12030.00	10596.37	USD	-8,601.78	
08SEP17	B	1	PD08DEC17	11955.00	10596.37	USD	-8,151.78	
08SEP17	S	1	PD08DEC17	11970.00	10596.37	USD	8,241.78	
08SEP17	S	1	PD08DEC17	11945.00	10596.37	USD	8,091.78	
08SEP17	B	1	PD08DEC17	11880.00	10596.37	USD	-7,701.78	
08SEP17	S	1	PD08DEC17	11920.00	10596.37	USD	7,941.78	
08SEP17	S	1	PD08DEC17	11915.00	10596.37	USD	7,911.78	
08SEP17	B	1	PD08DEC17	11550.00	10596.37	USD	-5,721.78	
08SEP17	S	1	PD08DEC17	11565.00	10596.37	USD	5,811.78	
	NET	**0**	**AVG BGHT 11908.00 SOLD 11863.00**				**-1,350.00**	**0.00**
11SEP17	S	2	PD11DEC17	11600.00	10599.03	USD	12,011.64	
11SEP17	B	1	PD11DEC17	11605.00	10599.03	USD	-6,035.82	
11SEP17	B	1	PD11DEC17	11610.00	10599.03	USD	-6,065.82	
11SEP17	B	1	PD11DEC17	11750.00	10599.03	USD	-6,905.82	
11SEP17	S	1	PD11DEC17	11755.00	10599.03	USD	6,935.82	
	NET	**0**	**AVG BGHT 11655.00 SOLD 11651.67**				**-60.00**	**0.00**
12SEP17	B	1	PD12DEC17	11665.00	10599.91	USD	-6,390.54	
12SEP17	S	1	PD12DEC17	11715.00	10599.91	USD	6,690.54	
	NET	**0**	**AVG BGHT 11665.00 SOLD 11715.00**				**300.00**	**0.00**
13SEP17	B	1	PD13DEC17	11725.00	10600.80	USD	-6,745.20	
13SEP17	S	1	PD13DEC17	11775.00	10600.80	USD	7,045.20	
13SEP17	B	1	PD13DEC17	11720.00	10600.80	USD	-6,715.20	
13SEP17	B	1	PD13DEC17	11590.00	10600.80	USD	-5,935.20	
13SEP17	B	2	PD13DEC17	11570.00	10600.80	USD	-11,630.40	
13SEP17	B	1	PD13DEC17	11520.00	10600.80	USD	-5,515.20	
13SEP17	B	1	PD13DEC17	11525.00	10600.80	USD	-5,545.20	
	NET	**+6**	**AVG BGHT 11602.86 SOLD 11775.00**				**-35,041.20**	**0.00**
14SEP17	B	1	PD14DEC17	11280.00	10601.69	USD	-4,069.86	
14SEP17	B	1	PD14DEC17	11155.00	10601.69	USD	-3,319.86	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083XA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
14SEP17	S 1 PD14DEC17	11215.00	10601.69	USD	3,679.86	
14SEP17	S 1 PD14DEC17	11230.00	10601.69	USD	3,769.86	
14SEP17	S 1 PD14DEC17	11415.00	10601.69	USD	4,879.86	
14SEP17	S 1 PD14DEC17	11340.00	10601.69	USD	4,429.86	
14SEP17	S 1 PD14DEC17	11220.00	10601.69	USD	3,709.86	
	NET -3 AVG BGHT 11217.50 SOLD 11284.00				**13,079.58**	**0.00**
15SEP17	B 1 PD15DEC17	11175.00	10602.57	USD	-3,434.58	
15SEP17	S 1 PD15DEC17	11135.00	10602.57	USD	3,194.58	
15SEP17	B 1 PD15DEC17	11080.00	10602.57	USD	-2,864.58	
15SEP17	S 1 PD15DEC17	11065.00	10602.57	USD	2,774.58	
15SEP17	B 1 PD15DEC17	11075.00	10602.57	USD	-2,834.58	
15SEP17	B 1 PD15DEC17	11070.00	10602.57	USD	-2,804.58	
15SEP17	B 1 PD15DEC17	11040.00	10602.57	USD	-2,624.58	
15SEP17	S 2 PD15DEC17	11050.00	10602.57	USD	5,369.16	
15SEP17	B 1 PD15DEC17	11050.00	10602.57	USD	-2,684.58	
	NET +2 AVG BGHT 11081.67 SOLD 11075.00				**-5,909.16**	**0.00**
18SEP17	B 1 PD18DEC17	11165.00	10605.23	USD	-3,358.62	
18SEP17	S 1 PD18DEC17	11110.00	10605.23	USD	3,028.62	
18SEP17	B 1 PD18DEC17	11130.00	10605.23	USD	-3,148.62	
18SEP17	S 1 PD18DEC17	11115.00	10605.23	USD	3,058.62	
18SEP17	S 1 PD18DEC17	11205.00	10605.23	USD	3,598.62	
18SEP17	B 1 PD18DEC17	11175.00	10605.23	USD	-3,418.62	
18SEP17	S 2 PD18DEC17	11170.00	10605.23	USD	6,777.24	
	NET -2 AVG BGHT 11156.67 SOLD 11154.00				**6,537.24**	**0.00**
19SEP17	B 1 PD19DEC17	10970.00	10606.11	USD	-2,183.34	
19SEP17	S 1 PD19DEC17	11000.00	10606.11	USD	2,363.34	
19SEP17	S 1 PD19DEC17	11160.00	10606.11	USD	3,323.34	
19SEP17	B 1 PD19DEC17	11155.00	10606.11	USD	-3,293.34	
19SEP17	S 1 PD19DEC17	11170.00	10606.11	USD	3,383.34	
19SEP17	S 1 PD19DEC17	11150.00	10606.11	USD	3,263.34	
	NET -2 AVG BGHT 11062.50 SOLD 11120.00				**6,856.68**	**0.00**
20SEP17	S 2 PD20DEC17	11210.00	10607.00	USD	7,236.00	
20SEP17	S 1 PD20DEC17	11315.00	10607.00	USD	4,248.00	
20SEP17	S 1 PD20DEC17	11340.00	10607.00	USD	4,398.00	
20SEP17	S 1 PD20DEC17	11345.00	10607.00	USD	4,428.00	
20SEP17	B 1 PD20DEC17	11335.00	10607.00	USD	-4,368.00	
20SEP17	B 2 PD20DEC17	11415.00	10607.00	USD	-9,696.00	
20SEP17	B 1 PD20DEC17	11365.00	10607.00	USD	-4,548.00	
	NET -1 AVG BGHT 11382.50 SOLD 11284.00				**1,698.00**	**0.00**
21SEP17	B 1 PD21DEC17	11020.00	10608.00	USD	-2,472.00	
21SEP17	S 1 PD21DEC17	11060.00	10608.00	USD	2,712.00	
21SEP17	S 1 PD21DEC17	11070.00	10608.00	USD	2,772.00	
21SEP17	B 1 PD21DEC17	11030.00	10608.00	USD	-2,532.00	
21SEP17	B 1 PD21DEC17	10975.00	10608.00	USD	-2,202.00	
21SEP17	B 1 PD21DEC17	10935.00	10608.00	USD	-1,962.00	
21SEP17	S 1 PD21DEC17	11010.00	10608.00	USD	2,412.00	
21SEP17	S 1 PD21DEC17	11065.00	10608.00	USD	2,742.00	
21SEP17	B 2 PD21DEC17	10840.00	10608.00	USD	-2,784.00	
21SEP17	B 1 PD21DEC17	10835.00	10608.00	USD	-1,362.00	
21SEP17	S 1 PD21DEC17	10755.00	10608.00	USD	882.00	
21SEP17	S 1 PD21DEC17	10740.00	10608.00	USD	792.00	
21SEP17	B 1 PD21DEC17	10725.00	10608.00	USD	-702.00	
	NET +2 AVG BGHT 10900.00 SOLD 10950.00				**-1,704.00**	**0.00**
22SEP17	B 1 PD22DEC17	10620.00	10609.00	USD	-66.00	
22SEP17	B 1 PD22DEC17	10525.00	10609.00	USD	504.00	
22SEP17	S 1 PD22DEC17	10590.00	10609.00	USD	-114.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083XA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
22SEP17	S 2 PD22DEC17	10615.00	10609.00	USD	72.00	
22SEP17	B 1 PD22DEC17	10540.00	10609.00	USD	414.00	
22SEP17	S 1 PD22DEC17	10535.00	10609.00	USD	-444.00	
22SEP17	B 1 PD22DEC17	10530.00	10609.00	USD	474.00	
22SEP17	B 1 PD22DEC17	10545.00	10609.00	USD	384.00	
22SEP17	S 1 PD22DEC17	10470.00	10609.00	USD	-834.00	
22SEP17	B 1 PD22DEC17	10460.00	10609.00	USD	894.00	
	NET +1 AVG BGHT 10536.67 SOLD 10565.00				**1,284.00**	**0.00**
25SEP17	B 2 PD27DEC17	10545.00	10613.58	USD	822.96	
25SEP17	B 1 PD27DEC17	10490.00	10613.58	USD	741.48	
25SEP17	B 1 PD27DEC17	10485.00	10613.58	USD	771.48	
25SEP17	S 1 PD27DEC17	10540.00	10613.58	USD	-441.48	
25SEP17	S 1 PD27DEC17	10500.00	10613.58	USD	-681.48	
25SEP17	S 1 PD27DEC17	10560.00	10613.58	USD	-321.48	
25SEP17	S 1 PD27DEC17	10630.00	10613.58	USD	98.52	
25SEP17	B 1 PD27DEC17	10580.00	10613.58	USD	201.48	
26SEP17	B 1 PD27DEC17	10655.00	10613.58	USD	-248.52	
26SEP17	B 1 PD27DEC17	10615.00	10613.58	USD	-8.52	
26SEP17	S 1 PD27DEC17	10590.00	10613.58	USD	-141.48	
26SEP17	S 1 PD27DEC17	10625.00	10613.58	USD	68.52	
26SEP17	B 1 PD27DEC17	10565.00	10613.58	USD	291.48	
26SEP17	S 1 PD27DEC17	10530.00	10613.58	USD	-501.48	
26SEP17	S 1 PD27DEC17	10480.00	10613.58	USD	-801.48	
26SEP17	S 1 PD27DEC17	10490.00	10613.58	USD	-741.48	
26SEP17	B 1 PD27DEC17	10435.00	10613.58	USD	1,071.48	
26SEP17	B 1 PD27DEC17	10430.00	10613.58	USD	1,101.48	
26SEP17	S 1 PD27DEC17	10495.00	10613.58	USD	-711.48	
26SEP17	B 1 PD27DEC17	10500.00	10613.58	USD	681.48	
26SEP17	S 1 PD27DEC17	10455.00	10613.58	USD	-951.48	
26SEP17	B 1 PD27DEC17	10455.00	10613.58	USD	951.48	
	NET +1 AVG BGHT 10525.00 SOLD 10535.91				**1,251.48**	**0.00**
28SEP17	S 1 PD28DEC17	10255.00	10614.50	USD	-2,157.00	
28SEP17	S 1 PD28DEC17	10265.00	10614.50	USD	-2,097.00	
28SEP17	S 1 PD28DEC17	10495.00	10614.50	USD	-717.00	
28SEP17	S 1 PD28DEC17	10475.00	10614.50	USD	-837.00	
	NET -4 AVG SOLD 10372.50				**-5,808.00**	**0.00**
29SEP17	B 1 PD29DEC17	10445.00	10615.42	USD	1,022.52	
29SEP17	B 1 PD29DEC17	10420.00	10615.42	USD	1,172.52	
29SEP17	S 1 PD29DEC17	10625.00	10615.42	USD	57.48	
29SEP17	S 1 PD29DEC17	10670.00	10615.42	USD	327.48	
	NET 0 AVG BGHT 10432.50 SOLD 10647.50				**2,580.00**	**0.00**
	CMX SILVER 5,000 CMX					
29SEP17	B 1 DEC17	1687.5	1665.0	USD	-1,125.00	
	NET +1 AVG BGHT 1687.5				**-1,125.00**	**0.00**
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
04JUL17	B 4 PD04OCT17	2781.00	3316.62	USD	53,562.00	
04JUL17	B 2 PD04OCT17	2780.50	3316.62	USD	26,806.00	
04JUL17	B 4 PD04OCT17	2779.50	3316.62	USD	53,712.00	
04JUL17	B 7 PD04OCT17	2780.00	3316.62	USD	93,908.50	
04JUL17	B 1 PD04OCT17	2770.50	3316.62	USD	13,653.00	
04JUL17	B 1 PD04OCT17	2769.50	3316.62	USD	13,678.00	
04JUL17	B 1 PD04OCT17	2770.00	3316.62	USD	13,665.50	
04JUL17	B 1 PD04OCT17	2772.00	3316.62	USD	13,615.50	
04JUL17	B 1 PD04OCT17	2773.00	3316.62	USD	13,590.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083XA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
04JUL17	S 1 PD04OCT17	2770.00	3316.62	USD	-13,665.50	
04JUL17	S 1 PD04OCT17	2770.50	3316.62	USD	-13,653.00	
04JUL17	S 2 PD04OCT17	2773.50	3316.62	USD	-27,156.00	
04JUL17	S 3 PD04OCT17	2772.50	3316.62	USD	-40,809.00	
04JUL17	S 1 PD04OCT17	2773.00	3316.62	USD	-13,590.50	
04JUL17	S 1 PD04OCT17	2768.50	3316.62	USD	-13,703.00	
04JUL17	S 1 PD04OCT17	2776.00	3316.62	USD	-13,515.50	
04JUL17	S 2 PD04OCT17	2778.50	3316.62	USD	-26,906.00	
04JUL17	S 2 PD04OCT17	2777.50	3316.62	USD	-26,956.00	
04JUL17	S 1 PD04OCT17	2783.00	3316.62	USD	-13,340.50	
04JUL17	S 3 PD04OCT17	2781.50	3316.62	USD	-40,134.00	
04JUL17	B 2 PD04OCT17	2776.50	3316.62	USD	27,006.00	
04JUL17	S 1 PD04OCT17	2783.50	3316.62	USD	-13,328.00	
04JUL17	B 1 PD04OCT17	2778.50	3316.62	USD	13,453.00	
04JUL17	B 2 PD04OCT17	2777.50	3316.62	USD	26,956.00	
04JUL17	B 2 PD04OCT17	2777.00	3316.62	USD	26,981.00	
04JUL17	B 1 PD04OCT17	2775.50	3316.62	USD	13,528.00	
04JUL17	B 3 PD04OCT17	2775.00	3316.62	USD	40,621.50	
04JUL17	B 1 PD04OCT17	2780.00	3316.62	USD	13,415.50	
04JUL17	B 2 PD04OCT17	2781.00	3316.62	USD	26,781.00	
04JUL17	B 2 PD04OCT17	2780.50	3316.62	USD	26,806.00	
04JUL17	S 1 PD04OCT17	2783.00	3316.62	USD	-13,340.50	
04JUL17	S 1 PD04OCT17	2784.50	3316.62	USD	-13,303.00	
04JUL17	S 1 PD04OCT17	2785.00	3316.62	USD	-13,290.50	
04JUL17	S 2 PD04OCT17	2784.50	3316.62	USD	-26,606.00	
04JUL17	S 2 PD04OCT17	2786.50	3316.62	USD	-26,506.00	
04JUL17	S 1 PD04OCT17	2788.50	3316.62	USD	-13,203.00	
04JUL17	S 1 PD04OCT17	2797.00	3316.62	USD	-12,990.50	
04JUL17	S 1 PD04OCT17	2800.50	3316.62	USD	-12,903.00	
04JUL17	S 1 PD04OCT17	2796.50	3316.62	USD	-13,003.00	
04JUL17	S 1 PD04OCT17	2794.00	3316.62	USD	-13,065.50	
04JUL17	S 2 PD04OCT17	2794.50	3316.62	USD	-26,106.00	
04JUL17	B 1 PD04OCT17	2796.00	3316.62	USD	13,015.50	
04JUL17	B 1 PD04OCT17	2792.00	3316.62	USD	13,115.50	
04JUL17	B 3 PD04OCT17	2793.20	3316.62	USD	39,256.50	
04JUL17	S 1 PD04OCT17	2790.00	3316.62	USD	-13,165.50	
04JUL17	B 2 PD04OCT17	2785.50	3316.62	USD	26,556.00	
19JUL17	S 11 PD04OCT17	2744.55	3316.62	USD	-157,319.25	
	NET 0 AVG BGHT 2780.01 SOLD 2773.01				**-7,876.25**	**0.00**
05JUL17	B 3 PD05OCT17	2798.00	3313.50	USD	38,662.50	
05JUL17	B 1 PD05OCT17	2794.50	3313.50	USD	12,975.00	
05JUL17	B 1 PD05OCT17	2796.00	3313.50	USD	12,937.50	
05JUL17	B 1 PD05OCT17	2797.00	3313.50	USD	12,912.50	
05JUL17	B 2 PD05OCT17	2795.50	3313.50	USD	25,900.00	
05JUL17	B 2 PD05OCT17	2796.50	3313.50	USD	25,850.00	
05JUL17	S 1 PD05OCT17	2788.00	3313.50	USD	-13,137.50	
05JUL17	S 1 PD05OCT17	2786.00	3313.50	USD	-13,187.50	
05JUL17	S 1 PD05OCT17	2784.00	3313.50	USD	-13,237.50	
05JUL17	S 1 PD05OCT17	2786.50	3313.50	USD	-13,175.00	
05JUL17	B 1 PD05OCT17	2773.50	3313.50	USD	13,500.00	
05JUL17	S 1 PD05OCT17	2783.00	3313.50	USD	-13,262.50	
05JUL17	S 2 PD05OCT17	2787.00	3313.50	USD	-26,325.00	
05JUL17	S 2 PD05OCT17	2791.00	3313.50	USD	-26,125.00	
05JUL17	S 2 PD05OCT17	2789.00	3313.50	USD	-26,225.00	
05JUL17	B 2 PD05OCT17	2777.50	3313.50	USD	26,800.00	
05JUL17	B 1 PD05OCT17	2767.00	3313.50	USD	13,662.50	
05JUL17	S 1 PD05OCT17	2768.00	3313.50	USD	-13,637.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083XA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
05JUL17	B 1 PD05OCT17	2770.50	3313.50	USD	13,575.00	
05JUL17	B 1 PD05OCT17	2770.00	3313.50	USD	13,587.50	
05JUL17	B 1 PD05OCT17	2772.00	3313.50	USD	13,537.50	
05JUL17	B 1 PD05OCT17	2797.50	3313.50	USD	12,900.00	
05JUL17	B 1 PD05OCT17	2798.00	3313.50	USD	12,887.50	
05JUL17	B 2 PD05OCT17	2766.00	3313.50	USD	27,375.00	
05JUL17	B 1 PD05OCT17	2741.00	3313.50	USD	14,312.50	
05JUL17	S 1 PD05OCT17	2755.50	3313.50	USD	-13,950.00	
05JUL17	S 2 PD05OCT17	2760.50	3313.50	USD	-27,650.00	
05JUL17	S 1 PD05OCT17	2762.50	3313.50	USD	-13,775.00	
05JUL17	S 2 PD05OCT17	2764.00	3313.50	USD	-27,475.00	
05JUL17	S 3 PD05OCT17	2777.00	3313.50	USD	-40,237.50	
05JUL17	S 1 PD05OCT17	2778.00	3313.50	USD	-13,387.50	
05JUL17	S 3 PD05OCT17	2777.50	3313.50	USD	-40,200.00	
05JUL17	B 2 PD05OCT17	2775.50	3313.50	USD	26,900.00	
05JUL17	S 3 PD05OCT17	2776.00	3313.50	USD	-40,312.50	
19JUL17	B 4 PD05OCT17	2744.96	3313.50	USD	56,854.00	
	NET 0 AVG BGHT 2777.60 SOLD 2777.36				**-171.00**	**0.00**
06JUL17	S 2 PD06OCT17	2754.50	3310.38	USD	-27,794.00	
06JUL17	S 1 PD06OCT17	2756.50	3310.38	USD	-13,847.00	
06JUL17	S 1 PD06OCT17	2766.00	3310.38	USD	-13,609.50	
06JUL17	B 1 PD06OCT17	2768.50	3310.38	USD	13,547.00	
06JUL17	S 1 PD06OCT17	2771.50	3310.38	USD	-13,472.00	
06JUL17	S 1 PD06OCT17	2772.50	3310.38	USD	-13,447.00	
06JUL17	S 1 PD06OCT17	2769.50	3310.38	USD	-13,522.00	
06JUL17	B 1 PD06OCT17	2768.00	3310.38	USD	13,559.50	
06JUL17	B 2 PD06OCT17	2767.50	3310.38	USD	27,144.00	
06JUL17	S 1 PD06OCT17	2771.00	3310.38	USD	-13,484.50	
06JUL17	S 1 PD06OCT17	2794.00	3310.38	USD	-12,909.50	
06JUL17	B 1 PD06OCT17	2789.50	3310.38	USD	13,022.00	
06JUL17	B 1 PD06OCT17	2798.00	3310.38	USD	12,809.50	
06JUL17	B 2 PD06OCT17	2799.00	3310.38	USD	25,569.00	
06JUL17	S 1 PD06OCT17	2799.00	3310.38	USD	-12,784.50	
06JUL17	B 1 PD06OCT17	2795.50	3310.38	USD	12,872.00	
06JUL17	B 1 PD06OCT17	2788.00	3310.38	USD	13,059.50	
06JUL17	B 1 PD06OCT17	2786.00	3310.38	USD	13,109.50	
06JUL17	B 1 PD06OCT17	2787.50	3310.38	USD	13,072.00	
06JUL17	B 1 PD06OCT17	2784.50	3310.38	USD	13,147.00	
06JUL17	S 1 PD06OCT17	2787.50	3310.38	USD	-13,072.00	
06JUL17	S 1 PD06OCT17	2789.50	3310.38	USD	-13,022.00	
06JUL17	S 2 PD06OCT17	2788.50	3310.38	USD	-26,094.00	
06JUL17	S 1 PD06OCT17	2770.00	3310.38	USD	-13,509.50	
06JUL17	B 1 PD06OCT17	2773.50	3310.38	USD	13,422.00	
06JUL17	B 2 PD06OCT17	2774.00	3310.38	USD	26,819.00	
06JUL17	S 1 PD06OCT17	2773.50	3310.38	USD	-13,422.00	
06JUL17	B 1 PD06OCT17	2779.50	3310.38	USD	13,272.00	
06JUL17	B 1 PD06OCT17	2782.00	3310.38	USD	13,209.50	
06JUL17	B 1 PD06OCT17	2784.00	3310.38	USD	13,159.50	
06JUL17	B 1 PD06OCT17	2783.50	3310.38	USD	13,172.00	
06JUL17	B 4 PD06OCT17	2781.00	3310.38	USD	52,938.00	
07JUL17	S 1 PD06OCT17	2795.00	3310.38	USD	-12,884.50	
07JUL17	S 4 PD06OCT17	2793.00	3310.38	USD	-51,738.00	
07JUL17	B 1 PD06OCT17	2785.00	3310.38	USD	13,134.50	
07JUL17	B 1 PD06OCT17	2775.00	3310.38	USD	13,384.50	
07JUL17	B 1 PD06OCT17	2780.00	3310.38	USD	13,259.50	
07JUL17	S 1 PD06OCT17	2802.00	3310.38	USD	-12,709.50	
07JUL17	S 1 PD06OCT17	2804.50	3310.38	USD	-12,647.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083XA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
07JUL17	S 1 PD06OCT17	2805.00	3310.38	USD	-12,634.50	
07JUL17	S 1 PD06OCT17	2797.50	3310.38	USD	-12,822.00	
07JUL17	S 2 PD06OCT17	2797.00	3310.38	USD	-25,669.00	
07JUL17	B 1 PD06OCT17	2782.00	3310.38	USD	13,209.50	
07JUL17	B 1 PD06OCT17	2783.00	3310.38	USD	13,184.50	
07JUL17	B 1 PD06OCT17	2772.50	3310.38	USD	13,447.00	
07JUL17	B 1 PD06OCT17	2770.00	3310.38	USD	13,509.50	
07JUL17	S 1 PD06OCT17	2787.50	3310.38	USD	-13,072.00	
07JUL17	S 1 PD06OCT17	2788.00	3310.38	USD	-13,059.50	
19JUL17	S 2 PD06OCT17	2744.98	3310.38	USD	-28,270.00	
	NET 0 AVG BGHT 2781.31 SOLD 2782.00				**536.50**	**0.00**
10JUL17	B 2 PD10OCT17	2788.50	3307.25	USD	25,937.50	
10JUL17	B 1 PD10OCT17	2793.50	3307.25	USD	12,843.75	
10JUL17	B 2 PD10OCT17	2775.00	3307.25	USD	26,612.50	
10JUL17	B 2 PD10OCT17	2791.50	3307.25	USD	25,787.50	
10JUL17	B 1 PD10OCT17	2793.00	3307.25	USD	12,856.25	
10JUL17	B 1 PD10OCT17	2765.00	3307.25	USD	13,556.25	
10JUL17	B 5 PD10OCT17	2788.00	3307.25	USD	64,906.25	
10JUL17	S 1 PD10OCT17	2783.50	3307.25	USD	-13,093.75	
10JUL17	B 1 PD10OCT17	2760.50	3307.25	USD	13,668.75	
10JUL17	B 1 PD10OCT17	2764.50	3307.25	USD	13,568.75	
10JUL17	B 3 PD10OCT17	2789.00	3307.25	USD	38,868.75	
10JUL17	B 6 PD10OCT17	2765.50	3307.25	USD	81,262.50	
10JUL17	B 2 PD10OCT17	2763.50	3307.25	USD	27,187.50	
10JUL17	B 1 PD10OCT17	2763.00	3307.25	USD	13,606.25	
10JUL17	B 2 PD10OCT17	2757.50	3307.25	USD	27,487.50	
10JUL17	B 2 PD10OCT17	2756.00	3307.25	USD	27,562.50	
10JUL17	S 1 PD10OCT17	2764.50	3307.25	USD	-13,568.75	
10JUL17	S 2 PD10OCT17	2765.00	3307.25	USD	-27,112.50	
10JUL17	S 2 PD10OCT17	2765.50	3307.25	USD	-27,087.50	
10JUL17	S 4 PD10OCT17	2766.00	3307.25	USD	-54,125.00	
10JUL17	S 1 PD10OCT17	2767.50	3307.25	USD	-13,493.75	
10JUL17	S 1 PD10OCT17	2768.00	3307.25	USD	-13,481.25	
10JUL17	S 1 PD10OCT17	2767.00	3307.25	USD	-13,506.25	
10JUL17	S 1 PD10OCT17	2766.50	3307.25	USD	-13,518.75	
10JUL17	S 1 PD10OCT17	2769.50	3307.25	USD	-13,443.75	
10JUL17	S 1 PD10OCT17	2772.00	3307.25	USD	-13,381.25	
10JUL17	S 1 PD10OCT17	2773.00	3307.25	USD	-13,356.25	
10JUL17	S 1 PD10OCT17	2774.00	3307.25	USD	-13,331.25	
10JUL17	S 2 PD10OCT17	2771.00	3307.25	USD	-26,812.50	
10JUL17	S 2 PD10OCT17	2771.50	3307.25	USD	-26,787.50	
10JUL17	S 1 PD10OCT17	2772.50	3307.25	USD	-13,368.75	
10JUL17	S 1 PD10OCT17	2773.50	3307.25	USD	-13,343.75	
10JUL17	S 2 PD10OCT17	2784.50	3307.25	USD	-26,137.50	
10JUL17	S 1 PD10OCT17	2780.00	3307.25	USD	-13,181.25	
10JUL17	S 2 PD10OCT17	2781.00	3307.25	USD	-26,312.50	
10JUL17	S 2 PD10OCT17	2781.50	3307.25	USD	-26,287.50	
10JUL17	S 3 PD10OCT17	2782.00	3307.25	USD	-39,393.75	
10JUL17	S 2 PD10OCT17	2783.00	3307.25	USD	-26,212.50	
10JUL17	S 2 PD10OCT17	2785.50	3307.25	USD	-26,087.50	
10JUL17	S 4 PD10OCT17	2785.00	3307.25	USD	-52,225.00	
10JUL17	S 3 PD10OCT17	2786.00	3307.25	USD	-39,093.75	
10JUL17	B 2 PD10OCT17	2773.50	3307.25	USD	26,687.50	
10JUL17	S 2 PD10OCT17	2786.00	3307.25	USD	-26,062.50	
10JUL17	S 1 PD10OCT17	2784.00	3307.25	USD	-13,081.25	
10JUL17	B 1 PD10OCT17	2774.50	3307.25	USD	13,318.75	
10JUL17	B 1 PD10OCT17	2773.00	3307.25	USD	13,356.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083XA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
10JUL17	B 2 PD10OCT17	2772.50	3307.25	USD	26,737.50	
10JUL17	B 1 PD10OCT17	2772.00	3307.25	USD	13,381.25	
10JUL17	B 2 PD10OCT17	2770.00	3307.25	USD	26,862.50	
10JUL17	B 3 PD10OCT17	2768.50	3307.25	USD	40,406.25	
10JUL17	B 3 PD10OCT17	2769.00	3307.25	USD	40,368.75	
10JUL17	B 2 PD10OCT17	2767.50	3307.25	USD	26,987.50	
10JUL17	B 1 PD10OCT17	2769.50	3307.25	USD	13,443.75	
10JUL17	B 2 PD10OCT17	2767.00	3307.25	USD	27,012.50	
10JUL17	B 3 PD10OCT17	2766.00	3307.25	USD	40,593.75	
10JUL17	B 2 PD10OCT17	2766.50	3307.25	USD	27,037.50	
10JUL17	B 1 PD10OCT17	2762.00	3307.25	USD	13,631.25	
10JUL17	B 1 PD10OCT17	2757.00	3307.25	USD	13,756.25	
10JUL17	B 1 PD10OCT17	2756.50	3307.25	USD	13,768.75	
10JUL17	B 1 PD10OCT17	2750.50	3307.25	USD	13,918.75	
10JUL17	B 1 PD10OCT17	2749.50	3307.25	USD	13,943.75	
10JUL17	B 1 PD10OCT17	2747.00	3307.25	USD	14,006.25	
10JUL17	B 1 PD10OCT17	2746.50	3307.25	USD	14,018.75	
10JUL17	S 1 PD10OCT17	2751.50	3307.25	USD	-13,893.75	
10JUL17	B 1 PD10OCT17	2752.00	3307.25	USD	13,881.25	
10JUL17	B 1 PD10OCT17	2750.00	3307.25	USD	13,931.25	
10JUL17	S 1 PD10OCT17	2758.50	3307.25	USD	-13,718.75	
10JUL17	S 1 PD10OCT17	2759.00	3307.25	USD	-13,706.25	
10JUL17	S 1 PD10OCT17	2770.50	3307.25	USD	-13,418.75	
10JUL17	B 3 PD10OCT17	2774.00	3307.25	USD	39,993.75	
10JUL17	B 1 PD10OCT17	2769.50	3307.25	USD	13,443.75	
10JUL17	B 1 PD10OCT17	2777.50	3307.25	USD	13,243.75	
10JUL17	S 3 PD10OCT17	2775.50	3307.25	USD	-39,881.25	
10JUL17	S 1 PD10OCT17	2777.00	3307.25	USD	-13,256.25	
10JUL17	S 3 PD10OCT17	2778.00	3307.25	USD	-39,693.75	
10JUL17	S 2 PD10OCT17	2776.50	3307.25	USD	-26,537.50	
10JUL17	S 1 PD10OCT17	2775.00	3307.25	USD	-13,306.25	
10JUL17	S 8 PD10OCT17	2774.50	3307.25	USD	-106,550.00	
10JUL17	S 1 PD10OCT17	2776.50	3307.25	USD	-13,268.75	
10JUL17	S 1 PD10OCT17	2775.50	3307.25	USD	-13,293.75	
10JUL17	S 1 PD10OCT17	2774.50	3307.25	USD	-13,318.75	
19JUL17	B 2 PD10OCT17	2746.04	3307.25	USD	28,060.50	
	NET 0 AVG BGHT 2769.44 SOLD 2775.34				**10,773.00**	**0.00**
11JUL17	S 1 PD11OCT17	2788.00	3304.13	USD	-12,903.25	
11JUL17	S 1 PD11OCT17	2769.50	3304.13	USD	-13,365.75	
11JUL17	S 1 PD11OCT17	2767.50	3304.13	USD	-13,415.75	
11JUL17	S 3 PD11OCT17	2775.00	3304.13	USD	-39,684.75	
11JUL17	S 2 PD11OCT17	2768.50	3304.13	USD	-26,781.50	
11JUL17	S 1 PD11OCT17	2787.50	3304.13	USD	-12,915.75	
11JUL17	S 1 PD11OCT17	2768.00	3304.13	USD	-13,403.25	
11JUL17	S 1 PD11OCT17	2790.50	3304.13	USD	-12,840.75	
11JUL17	S 1 PD11OCT17	2786.50	3304.13	USD	-12,940.75	
11JUL17	B 1 PD11OCT17	2782.00	3304.13	USD	13,053.25	
11JUL17	B 1 PD11OCT17	2783.50	3304.13	USD	13,015.75	
11JUL17	S 4 PD11OCT17	2774.00	3304.13	USD	-53,013.00	
11JUL17	S 2 PD11OCT17	2788.50	3304.13	USD	-25,781.50	
11JUL17	B 1 PD11OCT17	2784.50	3304.13	USD	12,990.75	
11JUL17	B 3 PD11OCT17	2781.00	3304.13	USD	39,234.75	
11JUL17	B 2 PD11OCT17	2781.50	3304.13	USD	26,131.50	
11JUL17	S 4 PD11OCT17	2767.00	3304.13	USD	-53,713.00	
11JUL17	S 2 PD11OCT17	2789.50	3304.13	USD	-25,731.50	
11JUL17	S 2 PD11OCT17	2790.00	3304.13	USD	-25,706.50	
11JUL17	S 1 PD11OCT17	2795.50	3304.13	USD	-12,715.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083XA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
11JUL17	S 1 PD11OCT17	2797.00	3304.13	USD	-12,678.25	
11JUL17	S 1 PD11OCT17	2798.50	3304.13	USD	-12,640.75	
11JUL17	S 3 PD11OCT17	2796.50	3304.13	USD	-38,072.25	
11JUL17	S 1 PD11OCT17	2796.00	3304.13	USD	-12,703.25	
11JUL17	S 4 PD11OCT17	2795.00	3304.13	USD	-50,913.00	
11JUL17	S 2 PD11OCT17	2793.50	3304.13	USD	-25,531.50	
11JUL17	S 1 PD11OCT17	2791.00	3304.13	USD	-12,828.25	
11JUL17	B 1 PD11OCT17	2780.00	3304.13	USD	13,103.25	
11JUL17	B 3 PD11OCT17	2778.00	3304.13	USD	39,459.75	
11JUL17	B 1 PD11OCT17	2776.50	3304.13	USD	13,190.75	
11JUL17	B 2 PD11OCT17	2777.50	3304.13	USD	26,331.50	
11JUL17	S 1 PD11OCT17	2770.50	3304.13	USD	-13,340.75	
11JUL17	S 1 PD11OCT17	2789.00	3304.13	USD	-12,878.25	
11JUL17	B 3 PD11OCT17	2775.00	3304.13	USD	39,684.75	
11JUL17	B 5 PD11OCT17	2774.50	3304.13	USD	66,203.75	
11JUL17	B 4 PD11OCT17	2773.50	3304.13	USD	53,063.00	
11JUL17	B 5 PD11OCT17	2777.00	3304.13	USD	65,891.25	
11JUL17	B 3 PD11OCT17	2778.50	3304.13	USD	39,422.25	
11JUL17	B 3 PD11OCT17	2779.00	3304.13	USD	39,384.75	
11JUL17	B 9 PD11OCT17	2776.00	3304.13	USD	118,829.25	
11JUL17	B 6 PD11OCT17	2775.50	3304.13	USD	79,294.50	
11JUL17	S 1 PD11OCT17	2792.50	3304.13	USD	-12,790.75	
11JUL17	S 3 PD11OCT17	2793.00	3304.13	USD	-38,334.75	
11JUL17	S 1 PD11OCT17	2805.00	3304.13	USD	-12,478.25	
11JUL17	S 3 PD11OCT17	2806.00	3304.13	USD	-37,359.75	
11JUL17	S 1 PD11OCT17	2812.00	3304.13	USD	-12,303.25	
11JUL17	S 3 PD11OCT17	2811.50	3304.13	USD	-36,947.25	
11JUL17	S 1 PD11OCT17	2815.00	3304.13	USD	-12,228.25	
11JUL17	S 1 PD11OCT17	2817.50	3304.13	USD	-12,165.75	
11JUL17	S 4 PD11OCT17	2814.00	3304.13	USD	-49,013.00	
11JUL17	B 1 PD11OCT17	2808.00	3304.13	USD	12,403.25	
11JUL17	B 1 PD11OCT17	2805.50	3304.13	USD	12,465.75	
11JUL17	S 1 PD11OCT17	2805.50	3304.13	USD	-12,465.75	
11JUL17	S 3 PD11OCT17	2813.00	3304.13	USD	-36,834.75	
11JUL17	S 3 PD11OCT17	2814.50	3304.13	USD	-36,722.25	
11JUL17	S 5 PD11OCT17	2815.50	3304.13	USD	-61,078.75	
11JUL17	S 4 PD11OCT17	2816.00	3304.13	USD	-48,813.00	
11JUL17	S 1 PD11OCT17	2813.00	3304.13	USD	-12,278.25	
11JUL17	B 2 PD11OCT17	2823.00	3304.13	USD	24,056.50	
11JUL17	B 1 PD11OCT17	2819.00	3304.13	USD	12,128.25	
11JUL17	B 1 PD11OCT17	2812.00	3304.13	USD	12,303.25	
11JUL17	B 2 PD11OCT17	2806.50	3304.13	USD	24,881.50	
11JUL17	B 2 PD11OCT17	2806.00	3304.13	USD	24,906.50	
11JUL17	S 3 PD11OCT17	2821.00	3304.13	USD	-36,234.75	
11JUL17	B 1 PD11OCT17	2826.50	3304.13	USD	11,940.75	
11JUL17	B 3 PD11OCT17	2826.00	3304.13	USD	35,859.75	
11JUL17	B 1 PD11OCT17	2825.00	3304.13	USD	11,978.25	
11JUL17	S 1 PD11OCT17	2823.00	3304.13	USD	-12,028.25	
11JUL17	S 1 PD11OCT17	2816.00	3304.13	USD	-12,203.25	
11JUL17	S 1 PD11OCT17	2822.50	3304.13	USD	-12,040.75	
11JUL17	B 1 PD11OCT17	2825.00	3304.13	USD	11,978.25	
19JUL17	B 14 PD11OCT17	2746.25	3304.13	USD	195,258.00	
	NET 0 AVG BGHT 2779.58 SOLD 2797.71				**37,625.00**	**0.00**
12JUL17	B 4 PD12OCT17	2835.00	3301.00	USD	46,600.00	
12JUL17	B 4 PD12OCT17	2834.00	3301.00	USD	46,700.00	
12JUL17	B 5 PD12OCT17	2834.50	3301.00	USD	58,312.50	
12JUL17	B 2 PD12OCT17	2836.50	3301.00	USD	23,225.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083XA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
12JUL17	B 1 PD12OCT17	2826.50	3301.00	USD	11,862.50	
12JUL17	B 1 PD12OCT17	2820.50	3301.00	USD	12,012.50	
12JUL17	B 1 PD12OCT17	2821.00	3301.00	USD	12,000.00	
12JUL17	B 2 PD12OCT17	2819.50	3301.00	USD	24,075.00	
12JUL17	B 4 PD12OCT17	2818.00	3301.00	USD	48,300.00	
12JUL17	S 1 PD12OCT17	2828.50	3301.00	USD	-11,812.50	
12JUL17	S 1 PD12OCT17	2829.00	3301.00	USD	-11,800.00	
12JUL17	S 3 PD12OCT17	2828.00	3301.00	USD	-35,475.00	
12JUL17	B 1 PD12OCT17	2828.00	3301.00	USD	11,825.00	
12JUL17	S 1 PD12OCT17	2830.00	3301.00	USD	-11,775.00	
12JUL17	S 1 PD12OCT17	2829.50	3301.00	USD	-11,787.50	
12JUL17	S 1 PD12OCT17	2830.50	3301.00	USD	-11,762.50	
12JUL17	S 2 PD12OCT17	2831.00	3301.00	USD	-23,500.00	
12JUL17	S 2 PD12OCT17	2832.00	3301.00	USD	-23,450.00	
12JUL17	S 1 PD12OCT17	2835.00	3301.00	USD	-11,650.00	
12JUL17	S 1 PD12OCT17	2838.50	3301.00	USD	-11,562.50	
12JUL17	S 1 PD12OCT17	2838.00	3301.00	USD	-11,575.00	
12JUL17	S 2 PD12OCT17	2839.00	3301.00	USD	-23,100.00	
12JUL17	S 1 PD12OCT17	2840.50	3301.00	USD	-11,512.50	
12JUL17	S 1 PD12OCT17	2841.00	3301.00	USD	-11,500.00	
12JUL17	S 1 PD12OCT17	2842.00	3301.00	USD	-11,475.00	
12JUL17	S 1 PD12OCT17	2843.00	3301.00	USD	-11,450.00	
12JUL17	S 1 PD12OCT17	2844.00	3301.00	USD	-11,425.00	
12JUL17	S 1 PD12OCT17	2847.50	3301.00	USD	-11,337.50	
12JUL17	S 2 PD12OCT17	2847.00	3301.00	USD	-22,700.00	
12JUL17	S 1 PD12OCT17	2848.00	3301.00	USD	-11,325.00	
12JUL17	S 5 PD12OCT17	2859.00	3301.00	USD	-55,250.00	
12JUL17	S 1 PD12OCT17	2858.00	3301.00	USD	-11,075.00	
12JUL17	S 1 PD12OCT17	2855.50	3301.00	USD	-11,137.50	
12JUL17	S 4 PD12OCT17	2857.00	3301.00	USD	-44,400.00	
12JUL17	S 3 PD12OCT17	2870.50	3301.00	USD	-32,287.50	
12JUL17	S 7 PD12OCT17	2870.00	3301.00	USD	-75,425.00	
12JUL17	S 1 PD12OCT17	2866.50	3301.00	USD	-10,862.50	
12JUL17	B 1 PD12OCT17	2862.00	3301.00	USD	10,975.00	
12JUL17	B 1 PD12OCT17	2860.50	3301.00	USD	11,012.50	
12JUL17	B 1 PD12OCT17	2858.50	3301.00	USD	11,062.50	
12JUL17	B 1 PD12OCT17	2858.00	3301.00	USD	11,075.00	
12JUL17	B 1 PD12OCT17	2856.50	3301.00	USD	11,112.50	
12JUL17	B 2 PD12OCT17	2855.50	3301.00	USD	22,275.00	
12JUL17	B 2 PD12OCT17	2852.00	3301.00	USD	22,450.00	
12JUL17	B 2 PD12OCT17	2850.00	3301.00	USD	22,550.00	
12JUL17	B 3 PD12OCT17	2851.50	3301.00	USD	33,712.50	
12JUL17	S 1 PD12OCT17	2861.50	3301.00	USD	-10,987.50	
12JUL17	B 1 PD12OCT17	2846.50	3301.00	USD	11,362.50	
12JUL17	B 1 PD12OCT17	2845.50	3301.00	USD	11,387.50	
12JUL17	B 4 PD12OCT17	2851.00	3301.00	USD	45,000.00	
12JUL17	B 2 PD12OCT17	2850.50	3301.00	USD	22,525.00	
12JUL17	B 2 PD12OCT17	2844.50	3301.00	USD	22,825.00	
12JUL17	B 1 PD12OCT17	2818.50	3301.00	USD	12,062.50	
12JUL17	S 1 PD12OCT17	2870.50	3301.00	USD	-10,762.50	
12JUL17	S 1 PD12OCT17	2856.00	3301.00	USD	-11,125.00	
12JUL17	B 1 PD12OCT17	2848.50	3301.00	USD	11,312.50	
12JUL17	B 1 PD12OCT17	2845.00	3301.00	USD	11,400.00	
12JUL17	B 1 PD12OCT17	2846.00	3301.00	USD	11,375.00	
12JUL17	B 4 PD12OCT17	2853.00	3301.00	USD	44,800.00	
12JUL17	B 3 PD12OCT17	2848.00	3301.00	USD	33,975.00	
12JUL17	B 2 PD12OCT17	2849.00	3301.00	USD	22,600.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083XA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
12JUL17	B 1 PD12OCT17	2843.50	3301.00	USD	11,437.50	
12JUL17	B 2 PD12OCT17	2847.50	3301.00	USD	22,675.00	
12JUL17	B 1 PD12OCT17	2847.00	3301.00	USD	11,350.00	
12JUL17	B 1 PD12OCT17	2843.00	3301.00	USD	11,450.00	
12JUL17	B 2 PD12OCT17	2844.00	3301.00	USD	22,850.00	
12JUL17	B 4 PD12OCT17	2836.00	3301.00	USD	46,500.00	
12JUL17	B 2 PD12OCT17	2835.50	3301.00	USD	23,275.00	
12JUL17	B 3 PD12OCT17	2837.00	3301.00	USD	34,800.00	
12JUL17	S 3 PD12OCT17	2839.50	3301.00	USD	-34,612.50	
12JUL17	S 5 PD12OCT17	2831.50	3301.00	USD	-58,687.50	
12JUL17	S 1 PD12OCT17	2834.00	3301.00	USD	-11,675.00	
12JUL17	B 1 PD12OCT17	2851.00	3301.00	USD	11,250.00	
12JUL17	S 1 PD12OCT17	2837.00	3301.00	USD	-11,600.00	
12JUL17	B 1 PD12OCT17	2833.00	3301.00	USD	11,700.00	
12JUL17	B 1 PD12OCT17	2831.50	3301.00	USD	11,737.50	
12JUL17	S 1 PD12OCT17	2821.00	3301.00	USD	-12,000.00	
12JUL17	B 1 PD12OCT17	2823.00	3301.00	USD	11,950.00	
19JUL17	S 20 PD12OCT17	2746.26	3301.00	USD	-277,370.00	
	NET 0 AVG BGHT 2841.13 SOLD 2822.35				**-38,495.00**	**0.00**
13JUL17	S 1 PD13OCT17	2830.00	3297.88	USD	-11,697.00	
13JUL17	S 2 PD13OCT17	2827.00	3297.88	USD	-23,544.00	
13JUL17	S 1 PD13OCT17	2827.50	3297.88	USD	-11,759.50	
13JUL17	S 3 PD13OCT17	2825.50	3297.88	USD	-35,428.50	
13JUL17	S 3 PD13OCT17	2825.00	3297.88	USD	-35,466.00	
13JUL17	S 1 PD13OCT17	2824.00	3297.88	USD	-11,847.00	
13JUL17	S 1 PD13OCT17	2826.50	3297.88	USD	-11,784.50	
13JUL17	S 3 PD13OCT17	2828.00	3297.88	USD	-35,241.00	
13JUL17	B 1 PD13OCT17	2838.50	3297.88	USD	11,484.50	
13JUL17	S 2 PD13OCT17	2837.00	3297.88	USD	-23,044.00	
13JUL17	S 1 PD13OCT17	2839.00	3297.88	USD	-11,472.00	
13JUL17	S 1 PD13OCT17	2839.50	3297.88	USD	-11,459.50	
13JUL17	S 1 PD13OCT17	2840.00	3297.88	USD	-11,447.00	
13JUL17	B 2 PD13OCT17	2829.00	3297.88	USD	23,444.00	
13JUL17	B 2 PD13OCT17	2829.50	3297.88	USD	23,419.00	
13JUL17	B 2 PD13OCT17	2830.00	3297.88	USD	23,394.00	
13JUL17	B 3 PD13OCT17	2827.50	3297.88	USD	35,278.50	
13JUL17	S 1 PD13OCT17	2846.50	3297.88	USD	-11,284.50	
13JUL17	B 2 PD13OCT17	2833.50	3297.88	USD	23,219.00	
13JUL17	B 2 PD13OCT17	2837.00	3297.88	USD	23,044.00	
13JUL17	B 2 PD13OCT17	2837.50	3297.88	USD	23,019.00	
13JUL17	B 1 PD13OCT17	2833.00	3297.88	USD	11,622.00	
13JUL17	B 1 PD13OCT17	2832.50	3297.88	USD	11,634.50	
13JUL17	B 3 PD13OCT17	2835.00	3297.88	USD	34,716.00	
13JUL17	B 1 PD13OCT17	2832.00	3297.88	USD	11,647.00	
13JUL17	B 1 PD13OCT17	2831.50	3297.88	USD	11,659.50	
13JUL17	S 2 PD13OCT17	2827.50	3297.88	USD	-23,519.00	
13JUL17	S 1 PD13OCT17	2835.50	3297.88	USD	-11,559.50	
13JUL17	B 1 PD13OCT17	2837.00	3297.88	USD	11,522.00	
13JUL17	B 1 PD13OCT17	2828.00	3297.88	USD	11,747.00	
13JUL17	S 1 PD13OCT17	2828.00	3297.88	USD	-11,747.00	
13JUL17	S 1 PD13OCT17	2828.50	3297.88	USD	-11,734.50	
13JUL17	S 1 PD13OCT17	2829.00	3297.88	USD	-11,722.00	
13JUL17	S 2 PD13OCT17	2833.00	3297.88	USD	-23,244.00	
13JUL17	S 1 PD13OCT17	2834.00	3297.88	USD	-11,597.00	
13JUL17	S 1 PD13OCT17	2833.50	3297.88	USD	-11,609.50	
13JUL17	S 2 PD13OCT17	2834.50	3297.88	USD	-23,169.00	
13JUL17	S 3 PD13OCT17	2835.00	3297.88	USD	-34,716.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083XA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
13JUL17	S 5 PD13OCT17	2835.50	3297.88	USD	-57,797.50	
13JUL17	S 2 PD13OCT17	2823.50	3297.88	USD	-23,719.00	
13JUL17	B 1 PD13OCT17	2812.00	3297.88	USD	12,147.00	
13JUL17	B 1 PD13OCT17	2804.00	3297.88	USD	12,347.00	
13JUL17	B 1 PD13OCT17	2807.00	3297.88	USD	12,272.00	
13JUL17	B 2 PD13OCT17	2805.00	3297.88	USD	24,644.00	
13JUL17	B 2 PD13OCT17	2804.50	3297.88	USD	24,669.00	
13JUL17	B 2 PD13OCT17	2806.00	3297.88	USD	24,594.00	
13JUL17	S 1 PD13OCT17	2802.50	3297.88	USD	-12,384.50	
13JUL17	B 2 PD13OCT17	2802.00	3297.88	USD	24,794.00	
13JUL17	S 1 PD13OCT17	2828.50	3297.88	USD	-11,734.50	
13JUL17	B 3 PD13OCT17	2802.50	3297.88	USD	37,153.50	
13JUL17	B 3 PD13OCT17	2801.50	3297.88	USD	37,228.50	
13JUL17	B 2 PD13OCT17	2801.00	3297.88	USD	24,844.00	
13JUL17	B 1 PD13OCT17	2800.50	3297.88	USD	12,434.50	
14JUL17	B 2 PD13OCT17	2788.00	3297.88	USD	25,494.00	
14JUL17	B 2 PD13OCT17	2790.50	3297.88	USD	25,369.00	
14JUL17	B 1 PD13OCT17	2792.00	3297.88	USD	12,647.00	
14JUL17	S 1 PD13OCT17	2793.00	3297.88	USD	-12,622.00	
14JUL17	B 1 PD13OCT17	2785.00	3297.88	USD	12,822.00	
14JUL17	S 1 PD13OCT17	2792.50	3297.88	USD	-12,634.50	
14JUL17	S 1 PD13OCT17	2800.50	3297.88	USD	-12,434.50	
14JUL17	B 1 PD13OCT17	2793.00	3297.88	USD	12,622.00	
14JUL17	B 3 PD13OCT17	2787.50	3297.88	USD	38,278.50	
14JUL17	B 1 PD13OCT17	2786.50	3297.88	USD	12,784.50	
14JUL17	B 1 PD13OCT17	2787.00	3297.88	USD	12,772.00	
14JUL17	B 4 PD13OCT17	2786.00	3297.88	USD	51,188.00	
14JUL17	B 4 PD13OCT17	2773.50	3297.88	USD	52,438.00	
14JUL17	B 3 PD13OCT17	2773.00	3297.88	USD	39,366.00	
14JUL17	B 6 PD13OCT17	2770.00	3297.88	USD	79,182.00	
14JUL17	B 1 PD13OCT17	2769.50	3297.88	USD	13,209.50	
14JUL17	B 1 PD13OCT17	2767.00	3297.88	USD	13,272.00	
14JUL17	B 1 PD13OCT17	2766.50	3297.88	USD	13,284.50	
14JUL17	B 3 PD13OCT17	2768.00	3297.88	USD	39,741.00	
14JUL17	B 1 PD13OCT17	2765.50	3297.88	USD	13,309.50	
14JUL17	B 1 PD13OCT17	2766.00	3297.88	USD	13,297.00	
14JUL17	B 1 PD13OCT17	2765.00	3297.88	USD	13,322.00	
14JUL17	S 1 PD13OCT17	2775.00	3297.88	USD	-13,072.00	
14JUL17	B 2 PD13OCT17	2761.50	3297.88	USD	26,819.00	
14JUL17	S 1 PD13OCT17	2765.00	3297.88	USD	-13,322.00	
14JUL17	S 1 PD13OCT17	2766.00	3297.88	USD	-13,297.00	
14JUL17	S 1 PD13OCT17	2765.50	3297.88	USD	-13,309.50	
14JUL17	B 1 PD13OCT17	2765.00	3297.88	USD	13,322.00	
14JUL17	S 2 PD13OCT17	2769.00	3297.88	USD	-26,444.00	
14JUL17	S 1 PD13OCT17	2772.50	3297.88	USD	-13,134.50	
14JUL17	S 1 PD13OCT17	2776.00	3297.88	USD	-13,047.00	
14JUL17	S 1 PD13OCT17	2777.00	3297.88	USD	-13,022.00	
14JUL17	S 1 PD13OCT17	2778.00	3297.88	USD	-12,997.00	
14JUL17	S 3 PD13OCT17	2773.00	3297.88	USD	-39,366.00	
14JUL17	S 1 PD13OCT17	2771.00	3297.88	USD	-13,172.00	
14JUL17	S 1 PD13OCT17	2772.00	3297.88	USD	-13,147.00	
14JUL17	S 2 PD13OCT17	2773.50	3297.88	USD	-26,219.00	
14JUL17	S 1 PD13OCT17	2780.50	3297.88	USD	-12,934.50	
14JUL17	S 1 PD13OCT17	2780.00	3297.88	USD	-12,947.00	
14JUL17	S 1 PD13OCT17	2782.00	3297.88	USD	-12,897.00	
14JUL17	S 1 PD13OCT17	2782.50	3297.88	USD	-12,884.50	
14JUL17	S 1 PD13OCT17	2783.50	3297.88	USD	-12,859.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083XA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
14JUL17	S 1 PD13OCT17	2779.50	3297.88	USD	-12,959.50	
14JUL17	S 4 PD13OCT17	2781.50	3297.88	USD	-51,638.00	
14JUL17	S 1 PD13OCT17	2795.00	3297.88	USD	-12,572.00	
14JUL17	B 2 PD13OCT17	2790.00	3297.88	USD	25,394.00	
14JUL17	S 2 PD13OCT17	2791.50	3297.88	USD	-25,319.00	
14JUL17	S 3 PD13OCT17	2790.50	3297.88	USD	-38,053.50	
14JUL17	S 1 PD13OCT17	2789.00	3297.88	USD	-12,722.00	
14JUL17	S 3 PD13OCT17	2790.00	3297.88	USD	-38,091.00	
14JUL17	S 1 PD13OCT17	2790.00	3297.88	USD	-12,697.00	
19JUL17	S 2 PD13OCT17	2746.48	3297.88	USD	-27,570.00	
	NET 0 AVG BGHT 2798.83 SOLD 2805.56				**14,799.00**	**0.00**
17JUL17	S 1 PD17OCT17	2817.50	3291.63	USD	-11,853.25	
17JUL17	S 4 PD17OCT17	2814.50	3291.63	USD	-47,713.00	
17JUL17	S 1 PD17OCT17	2814.00	3291.63	USD	-11,940.75	
17JUL17	B 2 PD17OCT17	2815.00	3291.63	USD	23,831.50	
17JUL17	B 1 PD17OCT17	2816.00	3291.63	USD	11,890.75	
17JUL17	S 1 PD17OCT17	2825.00	3291.63	USD	-11,665.75	
17JUL17	S 5 PD17OCT17	2835.50	3291.63	USD	-57,016.25	
17JUL17	S 1 PD17OCT17	2836.00	3291.63	USD	-11,390.75	
17JUL17	S 1 PD17OCT17	2837.50	3291.63	USD	-11,353.25	
17JUL17	S 1 PD17OCT17	2834.50	3291.63	USD	-11,428.25	
17JUL17	S 1 PD17OCT17	2834.00	3291.63	USD	-11,440.75	
17JUL17	S 2 PD17OCT17	2835.00	3291.63	USD	-22,831.50	
17JUL17	B 1 PD17OCT17	2829.50	3291.63	USD	11,553.25	
17JUL17	B 4 PD17OCT17	2829.00	3291.63	USD	46,263.00	
17JUL17	B 2 PD17OCT17	2828.00	3291.63	USD	23,181.50	
17JUL17	B 3 PD17OCT17	2818.50	3291.63	USD	35,484.75	
17JUL17	B 1 PD17OCT17	2817.50	3291.63	USD	11,853.25	
17JUL17	S 1 PD17OCT17	2812.00	3291.63	USD	-11,990.75	
17JUL17	S 2 PD17OCT17	2810.00	3291.63	USD	-24,081.50	
17JUL17	B 1 PD17OCT17	2812.00	3291.63	USD	11,990.75	
17JUL17	B 1 PD17OCT17	2811.00	3291.63	USD	12,015.75	
17JUL17	B 6 PD17OCT17	2814.00	3291.63	USD	71,644.50	
17JUL17	S 1 PD17OCT17	2829.00	3291.63	USD	-11,565.75	
17JUL17	S 1 PD17OCT17	2830.00	3291.63	USD	-11,540.75	
17JUL17	S 4 PD17OCT17	2827.50	3291.63	USD	-46,413.00	
17JUL17	S 2 PD17OCT17	2838.00	3291.63	USD	-22,681.50	
17JUL17	S 1 PD17OCT17	2838.50	3291.63	USD	-11,328.25	
17JUL17	S 2 PD17OCT17	2841.00	3291.63	USD	-22,531.50	
17JUL17	S 3 PD17OCT17	2842.00	3291.63	USD	-33,722.25	
17JUL17	S 4 PD17OCT17	2840.00	3291.63	USD	-45,163.00	
17JUL17	S 4 PD17OCT17	2840.50	3291.63	USD	-45,113.00	
17JUL17	S 1 PD17OCT17	2846.50	3291.63	USD	-11,128.25	
17JUL17	S 2 PD17OCT17	2845.00	3291.63	USD	-22,331.50	
17JUL17	S 1 PD17OCT17	2845.50	3291.63	USD	-11,153.25	
17JUL17	S 3 PD17OCT17	2844.50	3291.63	USD	-33,534.75	
17JUL17	S 7 PD17OCT17	2844.00	3291.63	USD	-78,335.25	
17JUL17	S 6 PD17OCT17	2843.50	3291.63	USD	-67,219.50	
17JUL17	S 2 PD17OCT17	2843.00	3291.63	USD	-22,431.50	
17JUL17	S 2 PD17OCT17	2842.50	3291.63	USD	-22,456.50	
17JUL17	B 1 PD17OCT17	2834.00	3291.63	USD	11,440.75	
17JUL17	B 2 PD17OCT17	2834.50	3291.63	USD	22,856.50	
17JUL17	B 2 PD17OCT17	2832.50	3291.63	USD	22,956.50	
17JUL17	B 1 PD17OCT17	2830.00	3291.63	USD	11,540.75	
17JUL17	B 5 PD17OCT17	2831.50	3291.63	USD	57,516.25	
17JUL17	B 5 PD17OCT17	2832.00	3291.63	USD	57,453.75	
17JUL17	B 3 PD17OCT17	2833.00	3291.63	USD	34,397.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083XA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
17JUL17	B 4 PD17OCT17	2831.00	3291.63	USD	46,063.00	
17JUL17	B 1 PD17OCT17	2830.50	3291.63	USD	11,528.25	
17JUL17	B 2 PD17OCT17	2827.50	3291.63	USD	23,206.50	
17JUL17	B 3 PD17OCT17	2827.00	3291.63	USD	34,847.25	
17JUL17	B 2 PD17OCT17	2825.50	3291.63	USD	23,306.50	
17JUL17	B 3 PD17OCT17	2823.50	3291.63	USD	35,109.75	
17JUL17	B 4 PD17OCT17	2824.50	3291.63	USD	46,713.00	
17JUL17	B 1 PD17OCT17	2826.00	3291.63	USD	11,640.75	
17JUL17	B 1 PD17OCT17	2814.50	3291.63	USD	11,928.25	
17JUL17	S 1 PD17OCT17	2829.00	3291.63	USD	-11,565.75	
17JUL17	S 1 PD17OCT17	2846.00	3291.63	USD	-11,140.75	
17JUL17	B 1 PD17OCT17	2832.00	3291.63	USD	11,490.75	
17JUL17	B 2 PD17OCT17	2825.00	3291.63	USD	23,331.50	
17JUL17	S 5 PD17OCT17	2831.00	3291.63	USD	-57,578.75	
17JUL17	S 3 PD17OCT17	2828.00	3291.63	USD	-34,772.25	
17JUL17	S 2 PD17OCT17	2830.50	3291.63	USD	-23,056.50	
17JUL17	S 3 PD17OCT17	2832.00	3291.63	USD	-34,472.25	
17JUL17	S 2 PD17OCT17	2833.00	3291.63	USD	-22,931.50	
17JUL17	S 1 PD17OCT17	2834.00	3291.63	USD	-11,440.75	
17JUL17	B 3 PD17OCT17	2828.50	3291.63	USD	34,734.75	
17JUL17	B 2 PD17OCT17	2821.00	3291.63	USD	23,531.50	
17JUL17	B 2 PD17OCT17	2815.50	3291.63	USD	23,806.50	
17JUL17	B 6 PD17OCT17	2819.00	3291.63	USD	70,894.50	
17JUL17	B 5 PD17OCT17	2819.50	3291.63	USD	59,016.25	
17JUL17	B 5 PD17OCT17	2820.00	3291.63	USD	58,953.75	
17JUL17	B 1 PD17OCT17	2821.50	3291.63	USD	11,753.25	
19JUL17	S 3 PD17OCT17	2747.34	3291.63	USD	-40,821.75	
05SEP17	S 1 PD17OCT17	3137.80	3291.63	USD	-3,845.75	
	NET 0 AVG BGHT 2824.34 SOLD 2835.46				**24,745.50**	**0.00**
18JUL17	S 1 PD18OCT17	2818.00	3288.50	USD	-11,762.50	
18JUL17	S 1 PD18OCT17	2818.50	3288.50	USD	-11,750.00	
18JUL17	S 2 PD18OCT17	2819.00	3288.50	USD	-23,475.00	
18JUL17	B 2 PD18OCT17	2823.00	3288.50	USD	23,275.00	
18JUL17	B 1 PD18OCT17	2821.50	3288.50	USD	11,675.00	
18JUL17	B 2 PD18OCT17	2822.00	3288.50	USD	23,325.00	
18JUL17	B 1 PD18OCT17	2821.00	3288.50	USD	11,687.50	
18JUL17	B 1 PD18OCT17	2822.50	3288.50	USD	11,650.00	
18JUL17	S 1 PD18OCT17	2823.00	3288.50	USD	-11,637.50	
18JUL17	S 1 PD18OCT17	2815.50	3288.50	USD	-11,825.00	
18JUL17	S 4 PD18OCT17	2815.00	3288.50	USD	-47,350.00	
18JUL17	B 1 PD18OCT17	2806.50	3288.50	USD	12,050.00	
18JUL17	B 1 PD18OCT17	2803.00	3288.50	USD	12,137.50	
18JUL17	B 1 PD18OCT17	2798.50	3288.50	USD	12,250.00	
18JUL17	B 1 PD18OCT17	2797.50	3288.50	USD	12,275.00	
18JUL17	B 2 PD18OCT17	2800.50	3288.50	USD	24,400.00	
18JUL17	B 4 PD18OCT17	2801.50	3288.50	USD	48,700.00	
18JUL17	S 1 PD18OCT17	2799.50	3288.50	USD	-12,225.00	
18JUL17	S 1 PD18OCT17	2800.00	3288.50	USD	-12,212.50	
18JUL17	S 1 PD18OCT17	2801.50	3288.50	USD	-12,175.00	
18JUL17	S 1 PD18OCT17	2802.00	3288.50	USD	-12,162.50	
18JUL17	S 3 PD18OCT17	2804.00	3288.50	USD	-36,337.50	
18JUL17	B 1 PD18OCT17	2794.50	3288.50	USD	12,350.00	
18JUL17	B 3 PD18OCT17	2796.50	3288.50	USD	36,900.00	
18JUL17	S 1 PD18OCT17	2803.50	3288.50	USD	-12,125.00	
18JUL17	S 1 PD18OCT17	2804.50	3288.50	USD	-12,100.00	
18JUL17	S 2 PD18OCT17	2801.00	3288.50	USD	-24,375.00	
18JUL17	B 1 PD18OCT17	2799.00	3288.50	USD	12,237.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083XA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
18JUL17	B 6 PD18OCT17	2801.00	3288.50	USD	73,125.00	
18JUL17	B 2 PD18OCT17	2800.00	3288.50	USD	24,425.00	
18JUL17	B 2 PD18OCT17	2796.00	3288.50	USD	24,625.00	
18JUL17	B 3 PD18OCT17	2795.50	3288.50	USD	36,975.00	
18JUL17	B 4 PD18OCT17	2792.00	3288.50	USD	49,650.00	
18JUL17	B 1 PD18OCT17	2791.50	3288.50	USD	12,425.00	
18JUL17	B 1 PD18OCT17	2785.50	3288.50	USD	12,575.00	
18JUL17	B 1 PD18OCT17	2782.50	3288.50	USD	12,650.00	
18JUL17	B 1 PD18OCT17	2783.50	3288.50	USD	12,625.00	
18JUL17	B 1 PD18OCT17	2783.00	3288.50	USD	12,637.50	
18JUL17	B 2 PD18OCT17	2782.00	3288.50	USD	25,325.00	
18JUL17	B 2 PD18OCT17	2780.50	3288.50	USD	25,400.00	
18JUL17	B 3 PD18OCT17	2779.50	3288.50	USD	38,175.00	
18JUL17	B 3 PD18OCT17	2779.00	3288.50	USD	38,212.50	
18JUL17	B 1 PD18OCT17	2778.00	3288.50	USD	12,762.50	
18JUL17	B 5 PD18OCT17	2777.50	3288.50	USD	63,875.00	
18JUL17	B 1 PD18OCT17	2773.50	3288.50	USD	12,875.00	
18JUL17	B 1 PD18OCT17	2772.00	3288.50	USD	12,912.50	
18JUL17	S 1 PD18OCT17	2771.00	3288.50	USD	-12,937.50	
18JUL17	B 1 PD18OCT17	2770.50	3288.50	USD	12,950.00	
18JUL17	B 2 PD18OCT17	2771.50	3288.50	USD	25,850.00	
18JUL17	B 1 PD18OCT17	2821.00	3288.50	USD	11,687.50	
18JUL17	B 1 PD18OCT17	2810.50	3288.50	USD	11,950.00	
18JUL17	B 1 PD18OCT17	2800.50	3288.50	USD	12,200.00	
18JUL17	B 1 PD18OCT17	2801.50	3288.50	USD	12,175.00	
18JUL17	B 1 PD18OCT17	2793.00	3288.50	USD	12,387.50	
18JUL17	B 1 PD18OCT17	2772.50	3288.50	USD	12,900.00	
18JUL17	S 3 PD18OCT17	2783.00	3288.50	USD	-37,912.50	
18JUL17	S 2 PD18OCT17	2783.50	3288.50	USD	-25,250.00	
18JUL17	S 1 PD18OCT17	2786.00	3288.50	USD	-12,562.50	
18JUL17	S 1 PD18OCT17	2785.50	3288.50	USD	-12,575.00	
18JUL17	S 1 PD18OCT17	2782.50	3288.50	USD	-12,650.00	
18JUL17	S 2 PD18OCT17	2781.00	3288.50	USD	-25,375.00	
18JUL17	S 1 PD18OCT17	2782.00	3288.50	USD	-12,662.50	
18JUL17	S 7 PD18OCT17	2784.00	3288.50	USD	-88,287.50	
18JUL17	S 1 PD18OCT17	2784.50	3288.50	USD	-12,600.00	
18JUL17	B 1 PD18OCT17	2780.00	3288.50	USD	12,712.50	
18JUL17	S 1 PD18OCT17	2792.00	3288.50	USD	-12,412.50	
18JUL17	S 1 PD18OCT17	2791.00	3288.50	USD	-12,437.50	
18JUL17	S 1 PD18OCT17	2790.50	3288.50	USD	-12,450.00	
18JUL17	S 1 PD18OCT17	2790.00	3288.50	USD	-12,462.50	
18JUL17	S 2 PD18OCT17	2791.50	3288.50	USD	-24,850.00	
18JUL17	S 5 PD18OCT17	2792.50	3288.50	USD	-62,000.00	
18JUL17	B 1 PD18OCT17	2777.00	3288.50	USD	12,787.50	
18JUL17	S 1 PD18OCT17	2783.00	3288.50	USD	-12,637.50	
18JUL17	S 2 PD18OCT17	2781.00	3288.50	USD	-25,375.00	
18JUL17	S 1 PD18OCT17	2790.00	3288.50	USD	-12,462.50	
18JUL17	S 2 PD18OCT17	2787.00	3288.50	USD	-25,075.00	
18JUL17	S 1 PD18OCT17	2787.50	3288.50	USD	-12,525.00	
18JUL17	S 2 PD18OCT17	2788.00	3288.50	USD	-25,025.00	
18JUL17	S 1 PD18OCT17	2789.00	3288.50	USD	-12,487.50	
18JUL17	S 2 PD18OCT17	2795.00	3288.50	USD	-24,675.00	
18JUL17	S 1 PD18OCT17	2793.00	3288.50	USD	-12,387.50	
18JUL17	S 1 PD18OCT17	2796.00	3288.50	USD	-12,312.50	
18JUL17	S 2 PD18OCT17	2794.00	3288.50	USD	-24,725.00	
18JUL17	S 1 PD18OCT17	2794.00	3288.50	USD	-12,362.50	
18JUL17	S 1 PD18OCT17	2795.50	3288.50	USD	-12,325.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083XA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
05SEP17	S 3 PD18OCT17	3137.80	3288.50	USD	-11,302.50	
	NET 0 AVG BGHT 2793.29 SOLD 2808.16				**27,147.50**	**0.00**
19JUL17	S 1 PD19OCT17	2778.50	3285.50	USD	-12,675.00	
19JUL17	S 3 PD19OCT17	2778.00	3285.50	USD	-38,062.50	
19JUL17	S 1 PD19OCT17	2789.50	3285.50	USD	-12,400.00	
19JUL17	S 2 PD19OCT17	2782.50	3285.50	USD	-25,150.00	
19JUL17	S 1 PD19OCT17	2784.00	3285.50	USD	-12,537.50	
19JUL17	S 5 PD19OCT17	2783.50	3285.50	USD	-62,750.00	
19JUL17	S 1 PD19OCT17	2785.50	3285.50	USD	-12,500.00	
19JUL17	S 1 PD19OCT17	2785.00	3285.50	USD	-12,512.50	
19JUL17	S 1 PD19OCT17	2786.00	3285.50	USD	-12,487.50	
19JUL17	B 1 PD19OCT17	2789.00	3285.50	USD	12,412.50	
19JUL17	S 1 PD19OCT17	2791.50	3285.50	USD	-12,350.00	
19JUL17	B 1 PD19OCT17	2791.00	3285.50	USD	12,362.50	
19JUL17	S 2 PD19OCT17	2795.00	3285.50	USD	-24,525.00	
19JUL17	S 6 PD19OCT17	2798.00	3285.50	USD	-73,125.00	
19JUL17	S 1 PD19OCT17	2796.50	3285.50	USD	-12,225.00	
19JUL17	B 1 PD19OCT17	2784.00	3285.50	USD	12,537.50	
19JUL17	B 1 PD19OCT17	2783.00	3285.50	USD	12,562.50	
19JUL17	B 1 PD19OCT17	2780.50	3285.50	USD	12,625.00	
19JUL17	B 1 PD19OCT17	2779.00	3285.50	USD	12,662.50	
19JUL17	B 1 PD19OCT17	2778.50	3285.50	USD	12,675.00	
19JUL17	B 1 PD19OCT17	2778.00	3285.50	USD	12,687.50	
19JUL17	B 2 PD19OCT17	2777.50	3285.50	USD	25,400.00	
19JUL17	B 1 PD19OCT17	2781.50	3285.50	USD	12,600.00	
19JUL17	B 1 PD19OCT17	2782.00	3285.50	USD	12,587.50	
19JUL17	B 3 PD19OCT17	2782.50	3285.50	USD	37,725.00	
19JUL17	B 3 PD19OCT17	2781.00	3285.50	USD	37,837.50	
19JUL17	B 1 PD19OCT17	2779.50	3285.50	USD	12,650.00	
19JUL17	B 1 PD19OCT17	2780.00	3285.50	USD	12,637.50	
19JUL17	B 2 PD19OCT17	2773.00	3285.50	USD	25,625.00	
19JUL17	B 3 PD19OCT17	2773.50	3285.50	USD	38,400.00	
19JUL17	B 3 PD19OCT17	2772.50	3285.50	USD	38,475.00	
19JUL17	B 2 PD19OCT17	2772.00	3285.50	USD	25,675.00	
19JUL17	S 1 PD19OCT17	2783.00	3285.50	USD	-12,562.50	
19JUL17	B 1 PD19OCT17	2779.00	3285.50	USD	12,662.50	
19JUL17	S 1 PD19OCT17	2773.50	3285.50	USD	-12,800.00	
19JUL17	B 2 PD19OCT17	2774.00	3285.50	USD	25,575.00	
19JUL17	B 1 PD19OCT17	2766.50	3285.50	USD	12,975.00	
19JUL17	S 1 PD19OCT17	2768.00	3285.50	USD	-12,937.50	
19JUL17	S 1 PD19OCT17	2769.00	3285.50	USD	-12,912.50	
19JUL17	S 1 PD19OCT17	2771.00	3285.50	USD	-12,862.50	
19JUL17	S 2 PD19OCT17	2770.50	3285.50	USD	-25,750.00	
19JUL17	S 1 PD19OCT17	2772.50	3285.50	USD	-12,825.00	
19JUL17	B 2 PD19OCT17	2757.00	3285.50	USD	26,425.00	
19JUL17	B 1 PD19OCT17	2754.00	3285.50	USD	13,287.50	
19JUL17	B 1 PD19OCT17	2754.50	3285.50	USD	13,275.00	
19JUL17	B 1 PD19OCT17	2747.00	3285.50	USD	13,462.50	
19JUL17	B 1 PD19OCT17	2746.00	3285.50	USD	13,487.50	
19JUL17	S 1 PD19OCT17	2771.00	3285.50	USD	-12,862.50	
19JUL17	B 1 PD19OCT17	2745.50	3285.50	USD	13,500.00	
19JUL17	S 1 PD19OCT17	2744.50	3285.50	USD	-13,525.00	
19JUL17	B 1 PD19OCT17	2737.50	3285.50	USD	13,700.00	
19JUL17	B 1 PD19OCT17	2739.00	3285.50	USD	13,662.50	
19JUL17	S 1 PD19OCT17	2735.00	3285.50	USD	-13,762.50	
19JUL17	B 1 PD19OCT17	2737.00	3285.50	USD	13,712.50	
05SEP17	S 7 PD19OCT17	3137.00	3285.50	USD	-25,987.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083XA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
	NET 0 AVG BGHT 2771.08 SOLD 2838.15				**73,775.00**	**0.00**
20JUL17	B 3 PD20OCT17	2744.50	3282.50	USD	40,350.00	
20JUL17	B 4 PD20OCT17	2745.00	3282.50	USD	53,750.00	
20JUL17	S 3 PD20OCT17	2743.50	3282.50	USD	-40,425.00	
20JUL17	S 1 PD20OCT17	2736.50	3282.50	USD	-13,650.00	
20JUL17	S 1 PD20OCT17	2738.50	3282.50	USD	-13,600.00	
20JUL17	B 1 PD20OCT17	2737.00	3282.50	USD	13,637.50	
20JUL17	B 3 PD20OCT17	2737.50	3282.50	USD	40,875.00	
20JUL17	B 1 PD20OCT17	2736.50	3282.50	USD	13,650.00	
20JUL17	B 1 PD20OCT17	2734.00	3282.50	USD	13,712.50	
20JUL17	B 1 PD20OCT17	2732.00	3282.50	USD	13,762.50	
20JUL17	S 2 PD20OCT17	2735.00	3282.50	USD	-27,375.00	
20JUL17	S 1 PD20OCT17	2735.50	3282.50	USD	-13,675.00	
20JUL17	S 2 PD20OCT17	2741.50	3282.50	USD	-27,050.00	
20JUL17	S 2 PD20OCT17	2740.00	3282.50	USD	-27,125.00	
20JUL17	S 1 PD20OCT17	2740.50	3282.50	USD	-13,550.00	
20JUL17	S 1 PD20OCT17	2742.50	3282.50	USD	-13,500.00	
20JUL17	S 1 PD20OCT17	2751.00	3282.50	USD	-13,287.50	
20JUL17	S 1 PD20OCT17	2752.00	3282.50	USD	-13,262.50	
20JUL17	S 2 PD20OCT17	2752.50	3282.50	USD	-26,500.00	
20JUL17	S 1 PD20OCT17	2754.00	3282.50	USD	-13,212.50	
20JUL17	S 1 PD20OCT17	2755.00	3282.50	USD	-13,187.50	
20JUL17	S 2 PD20OCT17	2757.00	3282.50	USD	-26,275.00	
20JUL17	S 1 PD20OCT17	2758.50	3282.50	USD	-13,100.00	
20JUL17	B 2 PD20OCT17	2745.50	3282.50	USD	26,850.00	
20JUL17	B 1 PD20OCT17	2747.00	3282.50	USD	13,387.50	
20JUL17	B 1 PD20OCT17	2746.50	3282.50	USD	13,400.00	
20JUL17	B 2 PD20OCT17	2743.50	3282.50	USD	26,950.00	
20JUL17	S 2 PD20OCT17	2743.00	3282.50	USD	-26,975.00	
20JUL17	S 1 PD20OCT17	2749.50	3282.50	USD	-13,325.00	
20JUL17	B 1 PD20OCT17	2746.00	3282.50	USD	13,412.50	
20JUL17	B 1 PD20OCT17	2739.00	3282.50	USD	13,587.50	
20JUL17	S 3 PD20OCT17	2742.50	3282.50	USD	-40,500.00	
20JUL17	B 1 PD20OCT17	2739.50	3282.50	USD	13,575.00	
20JUL17	B 1 PD20OCT17	2740.00	3282.50	USD	13,562.50	
20JUL17	B 2 PD20OCT17	2744.00	3282.50	USD	26,925.00	
20JUL17	S 1 PD20OCT17	2747.00	3282.50	USD	-13,387.50	
20JUL17	B 1 PD20OCT17	2742.00	3282.50	USD	13,512.50	
20JUL17	B 1 PD20OCT17	2742.50	3282.50	USD	13,500.00	
20JUL17	S 1 PD20OCT17	2739.00	3282.50	USD	-13,587.50	
20JUL17	B 5 PD20OCT17	2738.00	3282.50	USD	68,062.50	
20JUL17	S 4 PD20OCT17	2738.00	3282.50	USD	-54,450.00	
20JUL17	S 3 PD20OCT17	2741.00	3282.50	USD	-40,612.50	
20JUL17	S 1 PD20OCT17	2744.50	3282.50	USD	-13,450.00	
20JUL17	S 6 PD20OCT17	2748.00	3282.50	USD	-80,175.00	
20JUL17	B 1 PD20OCT17	2731.50	3282.50	USD	13,775.00	
20JUL17	S 1 PD20OCT17	2725.00	3282.50	USD	-13,937.50	
20JUL17	B 1 PD20OCT17	2720.00	3282.50	USD	14,062.50	
20JUL17	B 1 PD20OCT17	2719.00	3282.50	USD	14,087.50	
20JUL17	B 1 PD20OCT17	2726.50	3282.50	USD	13,900.00	
20JUL17	S 1 PD20OCT17	2726.50	3282.50	USD	-13,900.00	
21JUL17	S 1 PD20OCT17	2739.00	3282.50	USD	-13,587.50	
21JUL17	S 1 PD20OCT17	2749.50	3282.50	USD	-13,325.00	
21JUL17	S 1 PD20OCT17	2752.00	3282.50	USD	-13,262.50	
21JUL17	S 2 PD20OCT17	2752.50	3282.50	USD	-26,500.00	
21JUL17	S 1 PD20OCT17	2755.00	3282.50	USD	-13,187.50	
21JUL17	S 2 PD20OCT17	2754.00	3282.50	USD	-26,425.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083XA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
21JUL17	S 1 PD20OCT17	2758.50	3282.50	USD	-13,100.00	
21JUL17	S 1 PD20OCT17	2758.00	3282.50	USD	-13,112.50	
21JUL17	S 1 PD20OCT17	2759.50	3282.50	USD	-13,075.00	
21JUL17	S 1 PD20OCT17	2762.50	3282.50	USD	-13,000.00	
21JUL17	S 1 PD20OCT17	2762.00	3282.50	USD	-13,012.50	
21JUL17	S 5 PD20OCT17	2763.00	3282.50	USD	-64,937.50	
21JUL17	S 2 PD20OCT17	2765.00	3282.50	USD	-25,875.00	
21JUL17	S 3 PD20OCT17	2766.00	3282.50	USD	-38,737.50	
21JUL17	S 1 PD20OCT17	2764.00	3282.50	USD	-12,962.50	
21JUL17	B 2 PD20OCT17	2764.50	3282.50	USD	25,900.00	
21JUL17	B 4 PD20OCT17	2765.00	3282.50	USD	51,750.00	
21JUL17	B 1 PD20OCT17	2761.00	3282.50	USD	13,037.50	
21JUL17	B 2 PD20OCT17	2760.50	3282.50	USD	26,100.00	
21JUL17	B 1 PD20OCT17	2756.50	3282.50	USD	13,150.00	
21JUL17	B 2 PD20OCT17	2757.00	3282.50	USD	26,275.00	
21JUL17	B 3 PD20OCT17	2757.50	3282.50	USD	39,375.00	
21JUL17	B 1 PD20OCT17	2755.50	3282.50	USD	13,175.00	
21JUL17	B 1 PD20OCT17	2755.00	3282.50	USD	13,187.50	
21JUL17	S 1 PD20OCT17	2765.00	3282.50	USD	-12,937.50	
21JUL17	B 2 PD20OCT17	2767.00	3282.50	USD	25,775.00	
21JUL17	S 2 PD20OCT17	2771.50	3282.50	USD	-25,550.00	
21JUL17	B 1 PD20OCT17	2744.00	3282.50	USD	13,462.50	
21JUL17	B 2 PD20OCT17	2744.50	3282.50	USD	26,900.00	
21JUL17	B 1 PD20OCT17	2741.50	3282.50	USD	13,525.00	
24JUL17	B 3 PD20OCT17	2787.80	3282.50	USD	37,102.50	
05SEP17	B 4 PD20OCT17	3136.50	3282.50	USD	14,600.00	
05SEP17	B 7 PD20OCT17	3136.70	3282.50	USD	25,515.00	
	NET 0 AVG BGHT 2806.22 SOLD 2749.71				**-104,545.00**	**0.00**
24JUL17	B 1 PD24OCT17	2778.50	3280.50	USD	12,550.00	
24JUL17	B 1 PD24OCT17	2782.50	3280.50	USD	12,450.00	
24JUL17	B 2 PD24OCT17	2779.50	3280.50	USD	25,050.00	
24JUL17	B 1 PD24OCT17	2778.00	3280.50	USD	12,562.50	
24JUL17	B 1 PD24OCT17	2785.00	3280.50	USD	12,387.50	
24JUL17	B 1 PD24OCT17	2777.50	3280.50	USD	12,575.00	
24JUL17	B 1 PD24OCT17	2779.00	3280.50	USD	12,537.50	
24JUL17	B 1 PD24OCT17	2782.00	3280.50	USD	12,462.50	
24JUL17	B 1 PD24OCT17	2775.00	3280.50	USD	12,637.50	
24JUL17	B 2 PD24OCT17	2773.50	3280.50	USD	25,350.00	
24JUL17	B 1 PD24OCT17	2774.50	3280.50	USD	12,650.00	
24JUL17	B 2 PD24OCT17	2775.50	3280.50	USD	25,250.00	
24JUL17	B 2 PD24OCT17	2787.50	3280.50	USD	24,650.00	
24JUL17	S 1 PD24OCT17	2797.50	3280.50	USD	-12,075.00	
24JUL17	S 1 PD24OCT17	2795.00	3280.50	USD	-12,137.50	
24JUL17	S 1 PD24OCT17	2795.50	3280.50	USD	-12,125.00	
24JUL17	B 1 PD24OCT17	2786.50	3280.50	USD	12,350.00	
24JUL17	B 1 PD24OCT17	2787.00	3280.50	USD	12,337.50	
24JUL17	S 1 PD24OCT17	2789.00	3280.50	USD	-12,287.50	
24JUL17	S 1 PD24OCT17	2788.00	3280.50	USD	-12,312.50	
24JUL17	B 2 PD24OCT17	2784.50	3280.50	USD	24,800.00	
24JUL17	S 1 PD24OCT17	2783.00	3280.50	USD	-12,437.50	
24JUL17	B 1 PD24OCT17	2790.00	3280.50	USD	12,262.50	
24JUL17	B 2 PD24OCT17	2780.00	3280.50	USD	25,025.00	
24JUL17	S 1 PD24OCT17	2781.00	3280.50	USD	-12,487.50	
24JUL17	S 1 PD24OCT17	2779.00	3280.50	USD	-12,537.50	
24JUL17	S 1 PD24OCT17	2777.50	3280.50	USD	-12,575.00	
24JUL17	S 2 PD24OCT17	2773.50	3280.50	USD	-25,350.00	
24JUL17	S 1 PD24OCT17	2774.00	3280.50	USD	-12,662.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083XA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
24JUL17	S 2 PD24OCT17	2775.00	3280.50	USD	-25,275.00	
24JUL17	S 1 PD24OCT17	2776.50	3280.50	USD	-12,600.00	
24JUL17	S 3 PD24OCT17	2777.00	3280.50	USD	-37,762.50	
24JUL17	S 2 PD24OCT17	2778.00	3280.50	USD	-25,125.00	
24JUL17	S 3 PD24OCT17	2778.50	3280.50	USD	-37,650.00	
24JUL17	S 1 PD24OCT17	2780.50	3280.50	USD	-12,500.00	
24JUL17	S 2 PD24OCT17	2781.00	3280.50	USD	-24,975.00	
24JUL17	S 3 PD24OCT17	2787.50	3280.50	USD	-36,975.00	
05SEP17	B 5 PD24OCT17	3136.86	3280.50	USD	17,955.00	
	NET 0 AVG BGHT 2842.10 SOLD 2781.40				**-44,007.50**	**0.00**
25JUL17	B 1 PD25OCT17	2802.50	3279.50	USD	11,925.00	
25JUL17	B 1 PD25OCT17	2804.00	3279.50	USD	11,887.50	
25JUL17	B 2 PD25OCT17	2803.50	3279.50	USD	23,800.00	
25JUL17	B 1 PD25OCT17	2800.50	3279.50	USD	11,975.00	
25JUL17	B 1 PD25OCT17	2807.50	3279.50	USD	11,800.00	
25JUL17	S 2 PD25OCT17	2812.50	3279.50	USD	-23,350.00	
25JUL17	S 2 PD25OCT17	2812.00	3279.50	USD	-23,375.00	
25JUL17	S 1 PD25OCT17	2813.00	3279.50	USD	-11,662.50	
25JUL17	S 1 PD25OCT17	2820.00	3279.50	USD	-11,487.50	
25JUL17	S 2 PD25OCT17	2821.50	3279.50	USD	-22,900.00	
25JUL17	B 2 PD25OCT17	2816.00	3279.50	USD	23,175.00	
25JUL17	B 2 PD25OCT17	2817.00	3279.50	USD	23,125.00	
25JUL17	S 1 PD25OCT17	2824.50	3279.50	USD	-11,375.00	
25JUL17	S 3 PD25OCT17	2822.50	3279.50	USD	-34,275.00	
25JUL17	B 2 PD25OCT17	2815.50	3279.50	USD	23,200.00	
25JUL17	S 3 PD25OCT17	2821.00	3279.50	USD	-34,387.50	
25JUL17	S 1 PD25OCT17	2822.00	3279.50	USD	-11,437.50	
25JUL17	S 5 PD25OCT17	2823.00	3279.50	USD	-57,062.50	
25JUL17	S 2 PD25OCT17	2828.00	3279.50	USD	-22,575.00	
25JUL17	S 1 PD25OCT17	2828.50	3279.50	USD	-11,275.00	
25JUL17	S 1 PD25OCT17	2827.50	3279.50	USD	-11,300.00	
25JUL17	S 3 PD25OCT17	2826.50	3279.50	USD	-33,975.00	
25JUL17	B 1 PD25OCT17	2826.50	3279.50	USD	11,325.00	
25JUL17	B 2 PD25OCT17	2828.00	3279.50	USD	22,575.00	
25JUL17	B 1 PD25OCT17	2829.50	3279.50	USD	11,250.00	
25JUL17	S 1 PD25OCT17	2806.50	3279.50	USD	-11,825.00	
25JUL17	B 3 PD25OCT17	2828.50	3279.50	USD	33,825.00	
25JUL17	B 1 PD25OCT17	2827.00	3279.50	USD	11,312.50	
25JUL17	B 3 PD25OCT17	2826.00	3279.50	USD	34,012.50	
25JUL17	B 1 PD25OCT17	2824.50	3279.50	USD	11,375.00	
25JUL17	S 1 PD25OCT17	2843.50	3279.50	USD	-10,900.00	
25JUL17	S 1 PD25OCT17	2846.00	3279.50	USD	-10,837.50	
25JUL17	B 1 PD25OCT17	2855.50	3279.50	USD	10,600.00	
25JUL17	B 1 PD25OCT17	2844.00	3279.50	USD	10,887.50	
25JUL17	B 1 PD25OCT17	2843.00	3279.50	USD	10,912.50	
25JUL17	S 1 PD25OCT17	2840.50	3279.50	USD	-10,975.00	
25JUL17	B 1 PD25OCT17	2839.00	3279.50	USD	11,012.50	
25JUL17	B 2 PD25OCT17	2838.00	3279.50	USD	22,075.00	
25JUL17	B 1 PD25OCT17	2838.50	3279.50	USD	11,025.00	
25JUL17	B 2 PD25OCT17	2837.00	3279.50	USD	22,125.00	
25JUL17	B 1 PD25OCT17	2835.50	3279.50	USD	11,100.00	
25JUL17	B 1 PD25OCT17	2841.00	3279.50	USD	10,962.50	
25JUL17	S 1 PD25OCT17	2842.00	3279.50	USD	-10,937.50	
25JUL17	B 1 PD25OCT17	2843.50	3279.50	USD	10,900.00	
25JUL17	B 1 PD25OCT17	2841.50	3279.50	USD	10,950.00	
25JUL17	S 1 PD25OCT17	2845.00	3279.50	USD	-10,862.50	
05SEP17	S 3 PD25OCT17	3136.75	3279.50	USD	-10,706.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083XA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT								
	NET	**0**	**AVG BGHT 2826.41**	**SOLD 2849.79**				**21,631.25**	**0.00**
26JUL17	B	2	PD26OCT17		2847.50	3278.50	USD	21,550.00	
26JUL17	B	2	PD26OCT17		2846.00	3278.50	USD	21,625.00	
26JUL17	B	1	PD26OCT17		2849.50	3278.50	USD	10,725.00	
26JUL17	B	3	PD26OCT17		2849.00	3278.50	USD	32,212.50	
26JUL17	B	1	PD26OCT17		2847.00	3278.50	USD	10,787.50	
26JUL17	B	3	PD26OCT17		2845.50	3278.50	USD	32,475.00	
26JUL17	B	1	PD26OCT17		2852.50	3278.50	USD	10,650.00	
26JUL17	B	1	PD26OCT17		2850.50	3278.50	USD	10,700.00	
26JUL17	B	2	PD26OCT17		2854.00	3278.50	USD	21,225.00	
26JUL17	S	1	PD26OCT17		2838.00	3278.50	USD	-11,012.50	
26JUL17	S	2	PD26OCT17		2837.50	3278.50	USD	-22,050.00	
26JUL17	S	1	PD26OCT17		2836.50	3278.50	USD	-11,050.00	
26JUL17	S	1	PD26OCT17		2836.00	3278.50	USD	-11,062.50	
26JUL17	S	1	PD26OCT17		2828.00	3278.50	USD	-11,262.50	
26JUL17	S	1	PD26OCT17		2830.00	3278.50	USD	-11,212.50	
26JUL17	S	1	PD26OCT17		2820.00	3278.50	USD	-11,462.50	
26JUL17	S	1	PD26OCT17		2821.50	3278.50	USD	-11,425.00	
26JUL17	S	1	PD26OCT17		2824.50	3278.50	USD	-11,350.00	
26JUL17	S	2	PD26OCT17		2826.00	3278.50	USD	-22,625.00	
26JUL17	B	1	PD26OCT17		2820.50	3278.50	USD	11,450.00	
26JUL17	S	1	PD26OCT17		2821.00	3278.50	USD	-11,437.50	
26JUL17	B	1	PD26OCT17		2807.00	3278.50	USD	11,787.50	
26JUL17	B	1	PD26OCT17		2846.50	3278.50	USD	10,800.00	
26JUL17	S	2	PD26OCT17		2817.50	3278.50	USD	-23,050.00	
26JUL17	B	1	PD26OCT17		2808.50	3278.50	USD	11,750.00	
26JUL17	S	2	PD26OCT17		2807.50	3278.50	USD	-23,550.00	
26JUL17	S	1	PD26OCT17		2801.00	3278.50	USD	-11,937.50	
05SEP17	S	2	PD26OCT17		3136.84	3278.50	USD	-7,083.00	
	NET	**0**	**AVG BGHT 2843.03**	**SOLD 2855.36**				**6,167.00**	**0.00**
27JUL17	B	1	PD27OCT17		2811.50	3277.50	USD	11,650.00	
27JUL17	S	2	PD27OCT17		2809.00	3277.50	USD	-23,425.00	
27JUL17	S	2	PD27OCT17		2808.00	3277.50	USD	-23,475.00	
27JUL17	S	2	PD27OCT17		2805.00	3277.50	USD	-23,625.00	
27JUL17	S	1	PD27OCT17		2805.50	3277.50	USD	-11,800.00	
27JUL17	S	2	PD27OCT17		2806.00	3277.50	USD	-23,575.00	
27JUL17	S	2	PD27OCT17		2807.00	3277.50	USD	-23,525.00	
27JUL17	S	9	PD27OCT17		2807.50	3277.50	USD	-105,750.00	
27JUL17	S	3	PD27OCT17		2809.50	3277.50	USD	-35,100.00	
27JUL17	S	2	PD27OCT17		2810.00	3277.50	USD	-23,375.00	
27JUL17	B	2	PD27OCT17		2812.50	3277.50	USD	23,250.00	
27JUL17	B	1	PD27OCT17		2813.50	3277.50	USD	11,600.00	
27JUL17	B	1	PD27OCT17		2814.50	3277.50	USD	11,575.00	
27JUL17	S	2	PD27OCT17		2817.50	3277.50	USD	-23,000.00	
27JUL17	S	1	PD27OCT17		2818.50	3277.50	USD	-11,475.00	
27JUL17	S	2	PD27OCT17		2819.50	3277.50	USD	-22,900.00	
27JUL17	S	1	PD27OCT17		2821.50	3277.50	USD	-11,400.00	
27JUL17	B	2	PD27OCT17		2811.00	3277.50	USD	23,325.00	
27JUL17	B	1	PD27OCT17		2817.50	3277.50	USD	11,500.00	
27JUL17	B	1	PD27OCT17		2817.00	3277.50	USD	11,512.50	
27JUL17	B	1	PD27OCT17		2826.00	3277.50	USD	11,287.50	
27JUL17	B	1	PD27OCT17		2829.50	3277.50	USD	11,200.00	
27JUL17	B	1	PD27OCT17		2833.00	3277.50	USD	11,112.50	
27JUL17	S	1	PD27OCT17		2832.50	3277.50	USD	-11,125.00	
27JUL17	B	1	PD27OCT17		2822.00	3277.50	USD	11,387.50	
27JUL17	B	1	PD27OCT17		2823.00	3277.50	USD	11,362.50	
27JUL17	B	1	PD27OCT17		2820.50	3277.50	USD	11,425.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083XA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
27JUL17	B 3 PD27OCT17	2821.50	3277.50	USD	34,200.00	
27JUL17	B 3 PD27OCT17	2821.00	3277.50	USD	34,237.50	
27JUL17	B 2 PD27OCT17	2820.00	3277.50	USD	22,875.00	
27JUL17	B 4 PD27OCT17	2822.50	3277.50	USD	45,500.00	
27JUL17	B 2 PD27OCT17	2823.50	3277.50	USD	22,700.00	
27JUL17	S 1 PD27OCT17	2817.50	3277.50	USD	-11,500.00	
27JUL17	B 1 PD27OCT17	2822.50	3277.50	USD	11,375.00	
27JUL17	B 1 PD27OCT17	2830.00	3277.50	USD	11,187.50	
27JUL17	B 1 PD27OCT17	2821.00	3277.50	USD	11,412.50	
27JUL17	B 1 PD27OCT17	2813.00	3277.50	USD	11,612.50	
27JUL17	S 1 PD27OCT17	2822.50	3277.50	USD	-11,375.00	
27JUL17	S 1 PD27OCT17	2823.00	3277.50	USD	-11,362.50	
27JUL17	B 1 PD27OCT17	2812.00	3277.50	USD	11,637.50	
27JUL17	B 1 PD27OCT17	2802.50	3277.50	USD	11,875.00	
28JUL17	B 1 PD27OCT17	2783.50	3277.50	USD	12,350.00	
28JUL17	B 1 PD27OCT17	2783.00	3277.50	USD	12,362.50	
28JUL17	S 2 PD27OCT17	2787.00	3277.50	USD	-24,525.00	
28JUL17	S 1 PD27OCT17	2790.50	3277.50	USD	-12,175.00	
28JUL17	S 1 PD27OCT17	2791.50	3277.50	USD	-12,150.00	
28JUL17	S 2 PD27OCT17	2794.00	3277.50	USD	-24,175.00	
28JUL17	S 1 PD27OCT17	2791.00	3277.50	USD	-12,162.50	
28JUL17	S 1 PD27OCT17	2792.00	3277.50	USD	-12,137.50	
28JUL17	B 1 PD27OCT17	2777.50	3277.50	USD	12,500.00	
28JUL17	S 1 PD27OCT17	2782.50	3277.50	USD	-12,375.00	
28JUL17	B 1 PD27OCT17	2780.50	3277.50	USD	12,425.00	
28JUL17	B 2 PD27OCT17	2779.00	3277.50	USD	24,925.00	
28JUL17	B 1 PD27OCT17	2782.00	3277.50	USD	12,387.50	
28JUL17	B 2 PD27OCT17	2777.00	3277.50	USD	25,025.00	
28JUL17	B 2 PD27OCT17	2774.50	3277.50	USD	25,150.00	
28JUL17	B 1 PD27OCT17	2773.50	3277.50	USD	12,600.00	
28JUL17	B 1 PD27OCT17	2772.50	3277.50	USD	12,625.00	
28JUL17	B 1 PD27OCT17	2773.00	3277.50	USD	12,612.50	
28JUL17	B 1 PD27OCT17	2771.00	3277.50	USD	12,662.50	
28JUL17	B 2 PD27OCT17	2768.50	3277.50	USD	25,450.00	
28JUL17	B 1 PD27OCT17	2770.00	3277.50	USD	12,687.50	
28JUL17	B 1 PD27OCT17	2762.00	3277.50	USD	12,887.50	
28JUL17	B 1 PD27OCT17	2763.00	3277.50	USD	12,862.50	
28JUL17	B 1 PD27OCT17	2760.00	3277.50	USD	12,937.50	
28JUL17	B 2 PD27OCT17	2759.50	3277.50	USD	25,900.00	
28JUL17	S 1 PD27OCT17	2768.50	3277.50	USD	-12,725.00	
28JUL17	S 1 PD27OCT17	2769.00	3277.50	USD	-12,712.50	
28JUL17	S 1 PD27OCT17	2770.50	3277.50	USD	-12,675.00	
28JUL17	B 1 PD27OCT17	2767.50	3277.50	USD	12,750.00	
28JUL17	S 2 PD27OCT17	2769.50	3277.50	USD	-25,400.00	
28JUL17	S 1 PD27OCT17	2770.00	3277.50	USD	-12,687.50	
28JUL17	S 1 PD27OCT17	2787.50	3277.50	USD	-12,250.00	
28JUL17	S 1 PD27OCT17	2785.50	3277.50	USD	-12,300.00	
28JUL17	B 1 PD27OCT17	2769.00	3277.50	USD	12,712.50	
28JUL17	B 1 PD27OCT17	2760.50	3277.50	USD	12,925.00	
28JUL17	B 1 PD27OCT17	2768.50	3277.50	USD	12,725.00	
28JUL17	S 3 PD27OCT17	2771.50	3277.50	USD	-37,950.00	
28JUL17	S 1 PD27OCT17	2786.50	3277.50	USD	-12,275.00	
28JUL17	S 2 PD27OCT17	2785.00	3277.50	USD	-24,625.00	
28JUL17	S 5 PD27OCT17	2786.00	3277.50	USD	-61,437.50	
28JUL17	S 1 PD27OCT17	2783.50	3277.50	USD	-12,350.00	
28JUL17	B 2 PD27OCT17	2779.50	3277.50	USD	24,900.00	
28JUL17	B 1 PD27OCT17	2778.50	3277.50	USD	12,475.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083XA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
28JUL17	B 2 PD27OCT17	2774.00	3277.50	USD	25,175.00	
28JUL17	B 1 PD27OCT17	2775.00	3277.50	USD	12,562.50	
28JUL17	S 1 PD27OCT17	2784.00	3277.50	USD	-12,337.50	
28JUL17	S 6 PD27OCT17	2787.50	3277.50	USD	-73,500.00	
28JUL17	S 3 PD27OCT17	2788.00	3277.50	USD	-36,712.50	
28JUL17	B 3 PD27OCT17	2780.00	3277.50	USD	37,312.50	
28JUL17	B 1 PD27OCT17	2782.50	3277.50	USD	12,375.00	
28JUL17	B 2 PD27OCT17	2784.00	3277.50	USD	24,675.00	
	NET 0 AVG BGHT 2795.48 SOLD 2796.73				**2,312.50**	**0.00**
31JUL17	B 1 PD31OCT17	2812.00	3275.50	USD	11,587.50	
31JUL17	S 1 PD31OCT17	2806.00	3275.50	USD	-11,737.50	
31JUL17	S 1 PD31OCT17	2809.50	3275.50	USD	-11,650.00	
31JUL17	S 2 PD31OCT17	2813.00	3275.50	USD	-23,125.00	
31JUL17	S 1 PD31OCT17	2808.50	3275.50	USD	-11,675.00	
31JUL17	S 1 PD31OCT17	2803.50	3275.50	USD	-11,800.00	
31JUL17	S 1 PD31OCT17	2811.00	3275.50	USD	-11,612.50	
31JUL17	B 1 PD31OCT17	2809.50	3275.50	USD	11,650.00	
31JUL17	S 1 PD31OCT17	2810.50	3275.50	USD	-11,625.00	
31JUL17	S 1 PD31OCT17	2804.50	3275.50	USD	-11,775.00	
31JUL17	S 1 PD31OCT17	2814.00	3275.50	USD	-11,537.50	
31JUL17	S 2 PD31OCT17	2814.50	3275.50	USD	-23,050.00	
31JUL17	S 1 PD31OCT17	2816.00	3275.50	USD	-11,487.50	
31JUL17	S 1 PD31OCT17	2818.00	3275.50	USD	-11,437.50	
31JUL17	S 1 PD31OCT17	2819.50	3275.50	USD	-11,400.00	
31JUL17	S 1 PD31OCT17	2823.50	3275.50	USD	-11,300.00	
31JUL17	S 1 PD31OCT17	2824.00	3275.50	USD	-11,287.50	
31JUL17	B 1 PD31OCT17	2825.50	3275.50	USD	11,250.00	
31JUL17	S 2 PD31OCT17	2826.00	3275.50	USD	-22,475.00	
31JUL17	S 1 PD31OCT17	2827.00	3275.50	USD	-11,212.50	
31JUL17	S 3 PD31OCT17	2827.50	3275.50	USD	-33,600.00	
31JUL17	S 3 PD31OCT17	2826.50	3275.50	USD	-33,675.00	
31JUL17	B 3 PD31OCT17	2806.50	3275.50	USD	35,175.00	
31JUL17	S 1 PD31OCT17	2797.50	3275.50	USD	-11,950.00	
31JUL17	S 1 PD31OCT17	2792.50	3275.50	USD	-12,075.00	
31JUL17	S 1 PD31OCT17	2797.00	3275.50	USD	-11,962.50	
31JUL17	S 1 PD31OCT17	2802.50	3275.50	USD	-11,825.00	
31JUL17	S 1 PD31OCT17	2810.00	3275.50	USD	-11,637.50	
31JUL17	S 1 PD31OCT17	2805.00	3275.50	USD	-11,762.50	
31JUL17	S 5 PD31OCT17	2803.50	3275.50	USD	-59,000.00	
31JUL17	S 1 PD31OCT17	2799.00	3275.50	USD	-11,912.50	
31JUL17	B 1 PD31OCT17	2804.50	3275.50	USD	11,775.00	
31JUL17	B 1 PD31OCT17	2802.00	3275.50	USD	11,837.50	
31JUL17	B 1 PD31OCT17	2803.00	3275.50	USD	11,812.50	
31JUL17	B 3 PD31OCT17	2804.00	3275.50	USD	35,362.50	
31JUL17	B 1 PD31OCT17	2807.50	3275.50	USD	11,700.00	
31JUL17	B 3 PD31OCT17	2808.50	3275.50	USD	35,025.00	
31JUL17	B 2 PD31OCT17	2806.00	3275.50	USD	23,475.00	
31JUL17	B 1 PD31OCT17	2805.50	3275.50	USD	11,750.00	
31JUL17	B 2 PD31OCT17	2805.00	3275.50	USD	23,525.00	
31JUL17	B 1 PD31OCT17	2796.50	3275.50	USD	11,975.00	
31JUL17	B 3 PD31OCT17	2798.50	3275.50	USD	35,775.00	
31JUL17	B 2 PD31OCT17	2795.20	3275.50	USD	24,015.00	
05SEP17	B 11 PD31OCT17	3137.49	3275.50	USD	37,952.75	
	NET 0 AVG BGHT 2901.14 SOLD 2812.78				**-83,944.75**	**0.00**
01AUG17	B 1 PD01NOV17	2786.00	3274.50	USD	12,212.50	
01AUG17	S 1 PD01NOV17	2786.00	3274.50	USD	-12,212.50	
01AUG17	S 1 PD01NOV17	2786.50	3274.50	USD	-12,200.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083XA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
01AUG17	B 1 PD01NOV17	2774.00	3274.50	USD	12,512.50	
01AUG17	B 2 PD01NOV17	2774.50	3274.50	USD	25,000.00	
01AUG17	B 1 PD01NOV17	2770.00	3274.50	USD	12,612.50	
01AUG17	B 1 PD01NOV17	2766.00	3274.50	USD	12,712.50	
01AUG17	B 1 PD01NOV17	2765.50	3274.50	USD	12,725.00	
01AUG17	B 2 PD01NOV17	2772.00	3274.50	USD	25,125.00	
01AUG17	S 1 PD01NOV17	2777.00	3274.50	USD	-12,437.50	
01AUG17	S 1 PD01NOV17	2779.50	3274.50	USD	-12,375.00	
01AUG17	S 2 PD01NOV17	2780.50	3274.50	USD	-24,700.00	
01AUG17	S 1 PD01NOV17	2777.50	3274.50	USD	-12,425.00	
01AUG17	S 3 PD01NOV17	2781.00	3274.50	USD	-37,012.50	
01AUG17	S 1 PD01NOV17	2783.00	3274.50	USD	-12,287.50	
01AUG17	S 3 PD01NOV17	2784.00	3274.50	USD	-36,787.50	
01AUG17	S 3 PD01NOV17	2781.50	3274.50	USD	-36,975.00	
01AUG17	B 2 PD01NOV17	2773.00	3274.50	USD	25,075.00	
01AUG17	S 2 PD01NOV17	2778.00	3274.50	USD	-24,825.00	
01AUG17	S 1 PD01NOV17	2779.00	3274.50	USD	-12,387.50	
01AUG17	S 2 PD01NOV17	2778.50	3274.50	USD	-24,800.00	
01AUG17	S 4 PD01NOV17	2783.50	3274.50	USD	-49,100.00	
01AUG17	S 1 PD01NOV17	2782.00	3274.50	USD	-12,312.50	
01AUG17	S 3 PD01NOV17	2784.50	3274.50	USD	-36,750.00	
01AUG17	B 1 PD01NOV17	2784.00	3274.50	USD	12,262.50	
01AUG17	B 1 PD01NOV17	2789.00	3274.50	USD	12,137.50	
01AUG17	B 1 PD01NOV17	2775.00	3274.50	USD	12,487.50	
01AUG17	B 2 PD01NOV17	2773.50	3274.50	USD	25,050.00	
01AUG17	B 2 PD01NOV17	2772.50	3274.50	USD	25,100.00	
01AUG17	B 3 PD01NOV17	2770.50	3274.50	USD	37,800.00	
01AUG17	S 1 PD01NOV17	2772.00	3274.50	USD	-12,562.50	
01AUG17	B 1 PD01NOV17	2779.00	3274.50	USD	12,387.50	
01AUG17	B 2 PD01NOV17	2778.00	3274.50	USD	24,825.00	
01AUG17	S 1 PD01NOV17	2787.00	3274.50	USD	-12,187.50	
01AUG17	S 1 PD01NOV17	2785.00	3274.50	USD	-12,237.50	
01AUG17	B 5 PD01NOV17	2781.50	3274.50	USD	61,625.00	
01AUG17	B 5 PD01NOV17	2782.00	3274.50	USD	61,562.50	
01AUG17	B 1 PD01NOV17	2781.50	3274.50	USD	12,325.00	
05SEP17	S 2 PD01NOV17	3137.38	3274.50	USD	-6,856.00	
	NET 0 AVG BGHT 2776.74 SOLD 2802.01				**22,106.50**	**0.00**
02AUG17	S 1 PD02NOV17	2764.00	3273.50	USD	-12,737.50	
02AUG17	S 1 PD02NOV17	2763.00	3273.50	USD	-12,762.50	
02AUG17	S 5 PD02NOV17	2763.50	3273.50	USD	-63,750.00	
02AUG17	S 1 PD02NOV17	2775.50	3273.50	USD	-12,450.00	
02AUG17	B 2 PD02NOV17	2772.50	3273.50	USD	25,050.00	
02AUG17	B 1 PD02NOV17	2770.00	3273.50	USD	12,587.50	
02AUG17	S 1 PD02NOV17	2769.00	3273.50	USD	-12,612.50	
02AUG17	B 2 PD02NOV17	2770.50	3273.50	USD	25,150.00	
02AUG17	B 3 PD02NOV17	2771.50	3273.50	USD	37,650.00	
02AUG17	B 3 PD02NOV17	2774.00	3273.50	USD	37,462.50	
02AUG17	B 1 PD02NOV17	2775.00	3273.50	USD	12,462.50	
02AUG17	B 2 PD02NOV17	2773.00	3273.50	USD	25,025.00	
02AUG17	B 1 PD02NOV17	2772.00	3273.50	USD	12,537.50	
02AUG17	B 1 PD02NOV17	2769.00	3273.50	USD	12,612.50	
02AUG17	B 1 PD02NOV17	2769.50	3273.50	USD	12,600.00	
02AUG17	B 2 PD02NOV17	2768.00	3273.50	USD	25,275.00	
02AUG17	B 1 PD02NOV17	2766.50	3273.50	USD	12,675.00	
02AUG17	B 2 PD02NOV17	2766.00	3273.50	USD	25,375.00	
02AUG17	B 2 PD02NOV17	2762.50	3273.50	USD	25,550.00	
02AUG17	B 3 PD02NOV17	2763.00	3273.50	USD	38,287.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083XA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
02AUG17	B 5 PD02NOV17	2762.00	3273.50	USD	63,937.50	
02AUG17	B 2 PD02NOV17	2765.00	3273.50	USD	25,425.00	
02AUG17	B 1 PD02NOV17	2764.50	3273.50	USD	12,725.00	
02AUG17	B 1 PD02NOV17	2768.50	3273.50	USD	12,625.00	
02AUG17	B 5 PD02NOV17	2767.00	3273.50	USD	63,312.50	
02AUG17	S 1 PD02NOV17	2765.50	3273.50	USD	-12,700.00	
02AUG17	S 2 PD02NOV17	2767.50	3273.50	USD	-25,300.00	
02AUG17	S 1 PD02NOV17	2768.00	3273.50	USD	-12,637.50	
02AUG17	S 1 PD02NOV17	2767.00	3273.50	USD	-12,662.50	
02AUG17	S 2 PD02NOV17	2765.00	3273.50	USD	-25,425.00	
02AUG17	S 1 PD02NOV17	2768.50	3273.50	USD	-12,625.00	
02AUG17	S 1 PD02NOV17	2770.00	3273.50	USD	-12,587.50	
02AUG17	S 1 PD02NOV17	2773.50	3273.50	USD	-12,500.00	
02AUG17	S 1 PD02NOV17	2774.50	3273.50	USD	-12,475.00	
02AUG17	S 1 PD02NOV17	2775.00	3273.50	USD	-12,462.50	
02AUG17	S 2 PD02NOV17	2779.50	3273.50	USD	-24,700.00	
02AUG17	S 1 PD02NOV17	2780.50	3273.50	USD	-12,325.00	
02AUG17	S 1 PD02NOV17	2782.00	3273.50	USD	-12,287.50	
02AUG17	S 1 PD02NOV17	2783.00	3273.50	USD	-12,262.50	
02AUG17	S 4 PD02NOV17	2783.50	3273.50	USD	-49,000.00	
02AUG17	S 1 PD02NOV17	2781.50	3273.50	USD	-12,300.00	
02AUG17	S 1 PD02NOV17	2781.00	3273.50	USD	-12,312.50	
02AUG17	S 1 PD02NOV17	2790.00	3273.50	USD	-12,087.50	
02AUG17	S 1 PD02NOV17	2792.00	3273.50	USD	-12,037.50	
02AUG17	S 1 PD02NOV17	2784.50	3273.50	USD	-12,225.00	
02AUG17	B 1 PD02NOV17	2784.00	3273.50	USD	12,237.50	
02AUG17	S 1 PD02NOV17	2780.00	3273.50	USD	-12,337.50	
02AUG17	B 1 PD02NOV17	2781.00	3273.50	USD	12,312.50	
02AUG17	B 1 PD02NOV17	2799.50	3273.50	USD	11,850.00	
02AUG17	S 1 PD02NOV17	2800.50	3273.50	USD	-11,825.00	
02AUG17	B 2 PD02NOV17	2802.50	3273.50	USD	23,550.00	
05SEP17	S 9 PD02NOV17	3137.67	3273.50	USD	-30,561.75	
03OCT17	S 10 PD02NOV17	3273.50	3273.50	USD	0.00	
	NET -10 AVG BGHT 2770.65 SOLD 2922.11				**86,325.75**	**0.00**
03AUG17	S 1 PD03NOV17	2830.00	3272.00	USD	-11,050.00	
03AUG17	S 1 PD03NOV17	2828.50	3272.00	USD	-11,087.50	
03AUG17	B 1 PD03NOV17	2816.50	3272.00	USD	11,387.50	
03AUG17	S 1 PD03NOV17	2822.00	3272.00	USD	-11,250.00	
03AUG17	S 2 PD03NOV17	2824.50	3272.00	USD	-22,375.00	
03AUG17	S 1 PD03NOV17	2825.50	3272.00	USD	-11,162.50	
03AUG17	B 1 PD03NOV17	2821.50	3272.00	USD	11,262.50	
03AUG17	B 2 PD03NOV17	2820.50	3272.00	USD	22,575.00	
03AUG17	B 1 PD03NOV17	2812.50	3272.00	USD	11,487.50	
03AUG17	B 1 PD03NOV17	2810.00	3272.00	USD	11,550.00	
03AUG17	B 1 PD03NOV17	2809.50	3272.00	USD	11,562.50	
03AUG17	S 2 PD03NOV17	2814.00	3272.00	USD	-22,900.00	
03AUG17	S 1 PD03NOV17	2819.50	3272.00	USD	-11,312.50	
03AUG17	S 1 PD03NOV17	2818.50	3272.00	USD	-11,337.50	
03AUG17	S 2 PD03NOV17	2819.00	3272.00	USD	-22,650.00	
03AUG17	S 1 PD03NOV17	2820.00	3272.00	USD	-11,300.00	
03AUG17	S 2 PD03NOV17	2821.00	3272.00	USD	-22,550.00	
03AUG17	S 1 PD03NOV17	2821.50	3272.00	USD	-11,262.50	
03AUG17	B 2 PD03NOV17	2813.50	3272.00	USD	22,925.00	
03AUG17	B 2 PD03NOV17	2815.00	3272.00	USD	22,850.00	
03AUG17	S 1 PD03NOV17	2830.50	3272.00	USD	-11,037.50	
03AUG17	B 1 PD03NOV17	2817.00	3272.00	USD	11,375.00	
03AUG17	B 2 PD03NOV17	2816.00	3272.00	USD	22,800.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083XA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
03AUG17	B 5 PD03NOV17	2814.00	3272.00	USD	57,250.00	
03AUG17	B 1 PD03NOV17	2797.00	3272.00	USD	11,875.00	
03AUG17	S 2 PD03NOV17	2806.00	3272.00	USD	-23,300.00	
03AUG17	B 1 PD03NOV17	2797.50	3272.00	USD	11,862.50	
03AUG17	S 1 PD03NOV17	2797.00	3272.00	USD	-11,875.00	
03AUG17	B 1 PD03NOV17	2793.00	3272.00	USD	11,975.00	
03AUG17	S 1 PD03NOV17	2794.50	3272.00	USD	-11,937.50	
03AUG17	S 1 PD03NOV17	2795.50	3272.00	USD	-11,912.50	
03AUG17	B 1 PD03NOV17	2794.00	3272.00	USD	11,950.00	
03AUG17	B 2 PD03NOV17	2793.50	3272.00	USD	23,925.00	
03AUG17	S 1 PD03NOV17	2793.50	3272.00	USD	-11,962.50	
03AUG17	S 1 PD03NOV17	2793.00	3272.00	USD	-11,975.00	
03AUG17	S 1 PD03NOV17	2792.00	3272.00	USD	-12,000.00	
04AUG17	S 1 PD03NOV17	2806.00	3272.00	USD	-11,650.00	
04AUG17	S 1 PD03NOV17	2819.00	3272.00	USD	-11,325.00	
04AUG17	S 1 PD03NOV17	2819.50	3272.00	USD	-11,312.50	
04AUG17	S 2 PD03NOV17	2822.00	3272.00	USD	-22,500.00	
04AUG17	S 1 PD03NOV17	2828.50	3272.00	USD	-11,087.50	
04AUG17	S 1 PD03NOV17	2829.00	3272.00	USD	-11,075.00	
04AUG17	S 1 PD03NOV17	2832.50	3272.00	USD	-10,987.50	
04AUG17	S 1 PD03NOV17	2833.50	3272.00	USD	-10,962.50	
04AUG17	S 1 PD03NOV17	2833.00	3272.00	USD	-10,975.00	
04AUG17	S 1 PD03NOV17	2834.50	3272.00	USD	-10,937.50	
04AUG17	S 1 PD03NOV17	2831.50	3272.00	USD	-11,012.50	
04AUG17	S 1 PD03NOV17	2834.00	3272.00	USD	-10,950.00	
04AUG17	B 1 PD03NOV17	2827.00	3272.00	USD	11,125.00	
04AUG17	B 1 PD03NOV17	2825.00	3272.00	USD	11,175.00	
04AUG17	B 1 PD03NOV17	2824.00	3272.00	USD	11,200.00	
04AUG17	B 1 PD03NOV17	2823.00	3272.00	USD	11,225.00	
04AUG17	B 1 PD03NOV17	2822.50	3272.00	USD	11,237.50	
04AUG17	B 3 PD03NOV17	2822.00	3272.00	USD	33,750.00	
04AUG17	S 3 PD03NOV17	2827.50	3272.00	USD	-33,337.50	
04AUG17	S 1 PD03NOV17	2827.00	3272.00	USD	-11,125.00	
04AUG17	S 1 PD03NOV17	2830.50	3272.00	USD	-11,037.50	
04AUG17	S 1 PD03NOV17	2830.00	3272.00	USD	-11,050.00	
04AUG17	S 1 PD03NOV17	2826.50	3272.00	USD	-11,137.50	
04AUG17	B 4 PD03NOV17	2821.50	3272.00	USD	45,050.00	
04AUG17	B 1 PD03NOV17	2819.00	3272.00	USD	11,325.00	
04AUG17	B 2 PD03NOV17	2817.50	3272.00	USD	22,725.00	
04AUG17	B 2 PD03NOV17	2818.50	3272.00	USD	22,675.00	
04AUG17	B 1 PD03NOV17	2820.00	3272.00	USD	11,300.00	
04AUG17	S 1 PD03NOV17	2820.00	3272.00	USD	-11,300.00	
04AUG17	B 1 PD03NOV17	2824.00	3272.00	USD	11,200.00	
04AUG17	B 1 PD03NOV17	2813.00	3272.00	USD	11,475.00	
04AUG17	B 1 PD03NOV17	2811.50	3272.00	USD	11,512.50	
04AUG17	B 1 PD03NOV17	2812.00	3272.00	USD	11,500.00	
04AUG17	B 3 PD03NOV17	2812.50	3272.00	USD	34,462.50	
04AUG17	B 2 PD03NOV17	2818.00	3272.00	USD	22,700.00	
04AUG17	S 1 PD03NOV17	2811.50	3272.00	USD	-11,512.50	
04AUG17	B 1 PD03NOV17	2804.00	3272.00	USD	11,700.00	
04AUG17	S 1 PD03NOV17	2812.00	3272.00	USD	-11,500.00	
04AUG17	S 1 PD03NOV17	2813.50	3272.00	USD	-11,462.50	
04AUG17	S 2 PD03NOV17	2814.50	3272.00	USD	-22,875.00	
04AUG17	S 2 PD03NOV17	2814.00	3272.00	USD	-22,900.00	
04AUG17	S 1 PD03NOV17	2813.00	3272.00	USD	-11,475.00	
04AUG17	B 1 PD03NOV17	2810.00	3272.00	USD	11,550.00	
04AUG17	S 1 PD03NOV17	2810.00	3272.00	USD	-11,550.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083XA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
04AUG17	B 1 PD03NOV17	2813.50	3272.00	USD	11,462.50	
	NET 0 AVG BGHT 2814.57 SOLD 2818.71				**5,687.50**	**0.00**
07AUG17	B 1 PD07NOV17	2806.50	3272.00	USD	11,637.50	
07AUG17	B 1 PD07NOV17	2805.50	3272.00	USD	11,662.50	
07AUG17	S 1 PD07NOV17	2816.00	3272.00	USD	-11,400.00	
07AUG17	S 1 PD07NOV17	2822.00	3272.00	USD	-11,250.00	
07AUG17	S 1 PD07NOV17	2819.50	3272.00	USD	-11,312.50	
07AUG17	S 1 PD07NOV17	2821.50	3272.00	USD	-11,262.50	
07AUG17	S 1 PD07NOV17	2820.00	3272.00	USD	-11,300.00	
07AUG17	S 1 PD07NOV17	2824.50	3272.00	USD	-11,187.50	
07AUG17	S 1 PD07NOV17	2827.50	3272.00	USD	-11,112.50	
07AUG17	S 1 PD07NOV17	2825.50	3272.00	USD	-11,162.50	
07AUG17	S 1 PD07NOV17	2827.00	3272.00	USD	-11,125.00	
07AUG17	B 1 PD07NOV17	2819.00	3272.00	USD	11,325.00	
07AUG17	S 1 PD07NOV17	2823.50	3272.00	USD	-11,212.50	
07AUG17	S 2 PD07NOV17	2826.00	3272.00	USD	-22,300.00	
07AUG17	S 2 PD07NOV17	2830.50	3272.00	USD	-22,075.00	
07AUG17	S 1 PD07NOV17	2822.00	3272.00	USD	-11,250.00	
07AUG17	S 1 PD07NOV17	2834.50	3272.00	USD	-10,937.50	
07AUG17	B 1 PD07NOV17	2829.50	3272.00	USD	11,062.50	
07AUG17	B 1 PD07NOV17	2835.00	3272.00	USD	10,925.00	
07AUG17	B 1 PD07NOV17	2837.00	3272.00	USD	10,875.00	
07AUG17	B 1 PD07NOV17	2833.50	3272.00	USD	10,962.50	
07AUG17	B 1 PD07NOV17	2836.00	3272.00	USD	10,900.00	
07AUG17	S 1 PD07NOV17	2839.50	3272.00	USD	-10,812.50	
07AUG17	S 1 PD07NOV17	2864.00	3272.00	USD	-10,200.00	
07AUG17	S 1 PD07NOV17	2865.00	3272.00	USD	-10,175.00	
07AUG17	S 1 PD07NOV17	2872.50	3272.00	USD	-9,987.50	
07AUG17	S 1 PD07NOV17	2874.50	3272.00	USD	-9,937.50	
07AUG17	S 1 PD07NOV17	2875.00	3272.00	USD	-9,925.00	
07AUG17	S 1 PD07NOV17	2876.00	3272.00	USD	-9,900.00	
07AUG17	S 1 PD07NOV17	2873.00	3272.00	USD	-9,975.00	
07AUG17	S 1 PD07NOV17	2876.50	3272.00	USD	-9,887.50	
07AUG17	B 2 PD07NOV17	2878.50	3272.00	USD	19,675.00	
07AUG17	S 1 PD07NOV17	2880.50	3272.00	USD	-9,787.50	
07AUG17	S 1 PD07NOV17	2878.50	3272.00	USD	-9,837.50	
07AUG17	S 1 PD07NOV17	2884.00	3272.00	USD	-9,700.00	
07AUG17	S 1 PD07NOV17	2885.00	3272.00	USD	-9,675.00	
07AUG17	S 1 PD07NOV17	2887.50	3272.00	USD	-9,612.50	
07AUG17	S 1 PD07NOV17	2886.50	3272.00	USD	-9,637.50	
07AUG17	B 2 PD07NOV17	2887.50	3272.00	USD	19,225.00	
07AUG17	B 1 PD07NOV17	2887.00	3272.00	USD	9,625.00	
07AUG17	B 1 PD07NOV17	2886.50	3272.00	USD	9,637.50	
07AUG17	B 1 PD07NOV17	2881.50	3272.00	USD	9,762.50	
07AUG17	B 1 PD07NOV17	2879.00	3272.00	USD	9,825.00	
07AUG17	B 1 PD07NOV17	2880.00	3272.00	USD	9,800.00	
07AUG17	B 1 PD07NOV17	2867.50	3272.00	USD	10,112.50	
07AUG17	B 1 PD07NOV17	2871.50	3272.00	USD	10,012.50	
07AUG17	B 1 PD07NOV17	2869.00	3272.00	USD	10,075.00	
07AUG17	B 1 PD07NOV17	2873.50	3272.00	USD	9,962.50	
05SEP17	B 1 PD07NOV17	3138.12	3272.00	USD	3,347.00	
05SEP17	B 9 PD07NOV17	3138.32	3272.00	USD	30,078.00	
	NET 0 AVG BGHT 2948.79 SOLD 2848.85				**-77,450.00**	**0.00**
08AUG17	S 1 PD08NOV17	2866.00	3272.00	USD	-10,150.00	
08AUG17	B 1 PD08NOV17	2872.00	3272.00	USD	10,000.00	
08AUG17	B 1 PD08NOV17	2873.50	3272.00	USD	9,962.50	
08AUG17	B 1 PD08NOV17	2874.00	3272.00	USD	9,950.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083XA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
08AUG17	B 2 PD08NOV17	2874.50	3272.00	USD	19,875.00	
08AUG17	B 2 PD08NOV17	2873.00	3272.00	USD	19,950.00	
08AUG17	S 1 PD08NOV17	2879.50	3272.00	USD	-9,812.50	
08AUG17	S 2 PD08NOV17	2878.00	3272.00	USD	-19,700.00	
08AUG17	S 1 PD08NOV17	2877.50	3272.00	USD	-9,862.50	
08AUG17	S 1 PD08NOV17	2874.00	3272.00	USD	-9,950.00	
08AUG17	S 1 PD08NOV17	2874.50	3272.00	USD	-9,937.50	
08AUG17	S 1 PD08NOV17	2871.00	3272.00	USD	-10,025.00	
08AUG17	S 1 PD08NOV17	2876.00	3272.00	USD	-9,900.00	
08AUG17	S 1 PD08NOV17	2876.50	3272.00	USD	-9,887.50	
08AUG17	S 2 PD08NOV17	2881.00	3272.00	USD	-19,550.00	
08AUG17	S 1 PD08NOV17	2881.50	3272.00	USD	-9,762.50	
08AUG17	S 1 PD08NOV17	2887.50	3272.00	USD	-9,612.50	
08AUG17	S 3 PD08NOV17	2886.50	3272.00	USD	-28,912.50	
08AUG17	B 2 PD08NOV17	2879.00	3272.00	USD	19,650.00	
08AUG17	B 1 PD08NOV17	2877.50	3272.00	USD	9,862.50	
08AUG17	S 1 PD08NOV17	2883.00	3272.00	USD	-9,725.00	
08AUG17	S 2 PD08NOV17	2882.50	3272.00	USD	-19,475.00	
08AUG17	S 1 PD08NOV17	2884.00	3272.00	USD	-9,700.00	
08AUG17	S 3 PD08NOV17	2884.50	3272.00	USD	-29,062.50	
08AUG17	B 3 PD08NOV17	2867.50	3272.00	USD	30,337.50	
08AUG17	S 1 PD08NOV17	2870.50	3272.00	USD	-10,037.50	
08AUG17	S 1 PD08NOV17	2870.00	3272.00	USD	-10,050.00	
08AUG17	B 1 PD08NOV17	2869.00	3272.00	USD	10,075.00	
08AUG17	S 2 PD08NOV17	2877.00	3272.00	USD	-19,750.00	
08AUG17	S 1 PD08NOV17	2879.00	3272.00	USD	-9,825.00	
08AUG17	S 2 PD08NOV17	2880.00	3272.00	USD	-19,600.00	
08AUG17	B 3 PD08NOV17	2878.50	3272.00	USD	29,512.50	
08AUG17	S 1 PD08NOV17	2882.00	3272.00	USD	-9,750.00	
08AUG17	S 1 PD08NOV17	2883.50	3272.00	USD	-9,712.50	
08AUG17	B 1 PD08NOV17	2882.00	3272.00	USD	9,750.00	
08AUG17	S 2 PD08NOV17	2896.00	3272.00	USD	-18,800.00	
08AUG17	S 1 PD08NOV17	2904.00	3272.00	USD	-9,200.00	
08AUG17	S 1 PD08NOV17	2903.00	3272.00	USD	-9,225.00	
08AUG17	B 1 PD08NOV17	2898.00	3272.00	USD	9,350.00	
08AUG17	S 1 PD08NOV17	2908.00	3272.00	USD	-9,100.00	
08AUG17	S 1 PD08NOV17	2914.50	3272.00	USD	-8,937.50	
08AUG17	S 1 PD08NOV17	2911.00	3272.00	USD	-9,025.00	
08AUG17	S 1 PD08NOV17	2914.00	3272.00	USD	-8,950.00	
08AUG17	S 2 PD08NOV17	2913.50	3272.00	USD	-17,925.00	
08AUG17	S 1 PD08NOV17	2911.50	3272.00	USD	-9,012.50	
08AUG17	S 1 PD08NOV17	2913.00	3272.00	USD	-8,975.00	
08AUG17	S 1 PD08NOV17	2915.50	3272.00	USD	-8,912.50	
08AUG17	S 1 PD08NOV17	2918.00	3272.00	USD	-8,850.00	
08AUG17	B 1 PD08NOV17	2919.50	3272.00	USD	8,812.50	
08AUG17	S 1 PD08NOV17	2925.50	3272.00	USD	-8,662.50	
08AUG17	S 1 PD08NOV17	2926.00	3272.00	USD	-8,650.00	
08AUG17	B 1 PD08NOV17	2931.00	3272.00	USD	8,525.00	
08AUG17	B 1 PD08NOV17	2929.50	3272.00	USD	8,562.50	
08AUG17	S 1 PD08NOV17	2879.00	3272.00	USD	-9,825.00	
08AUG17	B 1 PD08NOV17	2928.00	3272.00	USD	8,600.00	
08AUG17	B 1 PD08NOV17	2929.00	3272.00	USD	8,575.00	
08AUG17	B 1 PD08NOV17	2932.00	3272.00	USD	8,500.00	
08AUG17	B 2 PD08NOV17	2939.00	3272.00	USD	16,650.00	
08AUG17	B 1 PD08NOV17	2935.50	3272.00	USD	8,412.50	
08AUG17	B 3 PD08NOV17	2935.00	3272.00	USD	25,275.00	
08AUG17	B 3 PD08NOV17	2937.00	3272.00	USD	25,125.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083XA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
08AUG17	B 1 PD08NOV17	2936.00	3272.00	USD	8,400.00	
08AUG17	B 1 PD08NOV17	2927.50	3272.00	USD	8,612.50	
08AUG17	B 2 PD08NOV17	2937.00	3272.00	USD	16,750.00	
05SEP17	B 12 PD08NOV17	3138.21	3272.00	USD	40,137.00	
	NET 0 AVG BGHT 2960.63 SOLD 2889.76				**-88,588.00**	**0.00**
09AUG17	S 1 PD09NOV17	2934.50	3272.00	USD	-8,437.50	
09AUG17	B 2 PD09NOV17	2943.00	3272.00	USD	16,450.00	
09AUG17	B 2 PD09NOV17	2942.00	3272.00	USD	16,500.00	
09AUG17	S 1 PD09NOV17	2945.50	3272.00	USD	-8,162.50	
09AUG17	S 1 PD09NOV17	2951.50	3272.00	USD	-8,012.50	
09AUG17	S 2 PD09NOV17	2946.00	3272.00	USD	-16,300.00	
09AUG17	S 1 PD09NOV17	2966.50	3272.00	USD	-7,637.50	
09AUG17	S 1 PD09NOV17	2967.50	3272.00	USD	-7,612.50	
09AUG17	B 1 PD09NOV17	2980.00	3272.00	USD	7,300.00	
09AUG17	B 1 PD09NOV17	2979.00	3272.00	USD	7,325.00	
09AUG17	S 3 PD09NOV17	2979.00	3272.00	USD	-21,975.00	
09AUG17	B 2 PD09NOV17	2966.00	3272.00	USD	15,300.00	
09AUG17	B 1 PD09NOV17	2965.00	3272.00	USD	7,675.00	
09AUG17	B 2 PD09NOV17	2964.00	3272.00	USD	15,400.00	
09AUG17	B 4 PD09NOV17	2962.00	3272.00	USD	31,000.00	
09AUG17	S 1 PD09NOV17	2958.50	3272.00	USD	-7,837.50	
09AUG17	S 1 PD09NOV17	2959.50	3272.00	USD	-7,812.50	
09AUG17	B 1 PD09NOV17	2952.50	3272.00	USD	7,987.50	
09AUG17	S 1 PD09NOV17	2964.00	3272.00	USD	-7,700.00	
09AUG17	B 1 PD09NOV17	2975.00	3272.00	USD	7,425.00	
09AUG17	S 2 PD09NOV17	2972.50	3272.00	USD	-14,975.00	
09AUG17	S 1 PD09NOV17	2973.50	3272.00	USD	-7,462.50	
09AUG17	S 1 PD09NOV17	2974.00	3272.00	USD	-7,450.00	
09AUG17	S 1 PD09NOV17	2975.00	3272.00	USD	-7,425.00	
09AUG17	S 1 PD09NOV17	2976.00	3272.00	USD	-7,400.00	
09AUG17	B 1 PD09NOV17	2956.00	3272.00	USD	7,900.00	
09AUG17	B 2 PD09NOV17	2958.50	3272.00	USD	15,675.00	
09AUG17	S 1 PD09NOV17	2945.00	3272.00	USD	-8,175.00	
09AUG17	B 1 PD09NOV17	2938.00	3272.00	USD	8,350.00	
09AUG17	B 1 PD09NOV17	2950.50	3272.00	USD	8,037.50	
09AUG17	B 2 PD09NOV17	2941.50	3272.00	USD	16,525.00	
09AUG17	B 2 PD09NOV17	2941.00	3272.00	USD	16,550.00	
09AUG17	B 2 PD09NOV17	2932.00	3272.00	USD	17,000.00	
09AUG17	B 2 PD09NOV17	2935.00	3272.00	USD	16,850.00	
09AUG17	B 3 PD09NOV17	2935.50	3272.00	USD	25,237.50	
09AUG17	B 1 PD09NOV17	2933.50	3272.00	USD	8,462.50	
09AUG17	B 4 PD09NOV17	2934.50	3272.00	USD	33,750.00	
09AUG17	B 3 PD09NOV17	2936.00	3272.00	USD	25,200.00	
09AUG17	B 1 PD09NOV17	2956.50	3272.00	USD	7,887.50	
09AUG17	B 1 PD09NOV17	2938.00	3272.00	USD	8,350.00	
05SEP17	S 23 PD09NOV17	3138.10	3272.00	USD	-76,992.50	
	NET 0 AVG BGHT 2948.15 SOLD 3056.77				**116,770.00**	**0.00**
10AUG17	B 1 PD10NOV17	2947.50	3272.00	USD	8,112.50	
10AUG17	S 1 PD10NOV17	2935.00	3272.00	USD	-8,425.00	
10AUG17	S 1 PD10NOV17	2937.00	3272.00	USD	-8,375.00	
10AUG17	S 1 PD10NOV17	2939.50	3272.00	USD	-8,312.50	
10AUG17	B 1 PD10NOV17	2935.00	3272.00	USD	8,425.00	
10AUG17	S 2 PD10NOV17	2941.50	3272.00	USD	-16,525.00	
10AUG17	S 1 PD10NOV17	2943.00	3272.00	USD	-8,225.00	
10AUG17	S 1 PD10NOV17	2946.00	3272.00	USD	-8,150.00	
10AUG17	S 2 PD10NOV17	2946.50	3272.00	USD	-16,275.00	
10AUG17	S 2 PD10NOV17	2945.00	3272.00	USD	-16,350.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083XA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
10AUG17	S 1 PD10NOV17	2944.00	3272.00	USD	-8,200.00	
10AUG17	S 3 PD10NOV17	2945.50	3272.00	USD	-24,487.50	
10AUG17	S 1 PD10NOV17	2944.50	3272.00	USD	-8,187.50	
10AUG17	B 1 PD10NOV17	2938.00	3272.00	USD	8,350.00	
10AUG17	B 2 PD10NOV17	2937.00	3272.00	USD	16,750.00	
10AUG17	B 1 PD10NOV17	2939.00	3272.00	USD	8,325.00	
10AUG17	S 2 PD10NOV17	2943.50	3272.00	USD	-16,425.00	
10AUG17	B 1 PD10NOV17	2949.00	3272.00	USD	8,075.00	
10AUG17	B 1 PD10NOV17	2948.50	3272.00	USD	8,087.50	
10AUG17	S 1 PD10NOV17	2949.50	3272.00	USD	-8,062.50	
10AUG17	S 1 PD10NOV17	2948.00	3272.00	USD	-8,100.00	
10AUG17	S 1 PD10NOV17	2936.00	3272.00	USD	-8,400.00	
10AUG17	B 1 PD10NOV17	2924.00	3272.00	USD	8,700.00	
10AUG17	B 1 PD10NOV17	2924.50	3272.00	USD	8,687.50	
10AUG17	B 1 PD10NOV17	2920.00	3272.00	USD	8,800.00	
10AUG17	B 1 PD10NOV17	2915.00	3272.00	USD	8,925.00	
10AUG17	B 1 PD10NOV17	2911.00	3272.00	USD	9,025.00	
10AUG17	S 1 PD10NOV17	2923.50	3272.00	USD	-8,712.50	
10AUG17	B 1 PD10NOV17	2934.50	3272.00	USD	8,437.50	
10AUG17	S 3 PD10NOV17	2938.50	3272.00	USD	-25,012.50	
10AUG17	S 1 PD10NOV17	2937.50	3272.00	USD	-8,362.50	
10AUG17	B 1 PD10NOV17	2930.00	3272.00	USD	8,550.00	
10AUG17	B 2 PD10NOV17	2931.00	3272.00	USD	17,050.00	
10AUG17	B 1 PD10NOV17	2929.50	3272.00	USD	8,562.50	
10AUG17	B 1 PD10NOV17	2928.50	3272.00	USD	8,587.50	
10AUG17	B 2 PD10NOV17	2929.00	3272.00	USD	17,150.00	
10AUG17	B 3 PD10NOV17	2928.00	3272.00	USD	25,800.00	
10AUG17	S 1 PD10NOV17	2938.00	3272.00	USD	-8,350.00	
11AUG17	S 1 PD10NOV17	2909.00	3272.00	USD	-9,075.00	
11AUG17	S 1 PD10NOV17	2902.50	3272.00	USD	-9,237.50	
11AUG17	S 1 PD10NOV17	2907.00	3272.00	USD	-9,125.00	
11AUG17	S 2 PD10NOV17	2908.00	3272.00	USD	-18,200.00	
11AUG17	S 2 PD10NOV17	2907.50	3272.00	USD	-18,225.00	
11AUG17	S 1 PD10NOV17	2910.00	3272.00	USD	-9,050.00	
11AUG17	B 1 PD10NOV17	2896.00	3272.00	USD	9,400.00	
11AUG17	B 1 PD10NOV17	2891.50	3272.00	USD	9,512.50	
11AUG17	B 2 PD10NOV17	2890.00	3272.00	USD	19,100.00	
11AUG17	S 1 PD10NOV17	2912.00	3272.00	USD	-9,000.00	
11AUG17	S 1 PD10NOV17	2913.00	3272.00	USD	-8,975.00	
11AUG17	S 1 PD10NOV17	2911.00	3272.00	USD	-9,025.00	
11AUG17	S 1 PD10NOV17	2914.50	3272.00	USD	-8,937.50	
11AUG17	S 1 PD10NOV17	2911.50	3272.00	USD	-9,012.50	
11AUG17	S 1 PD10NOV17	2915.00	3272.00	USD	-8,925.00	
11AUG17	S 1 PD10NOV17	2919.00	3272.00	USD	-8,825.00	
11AUG17	S 1 PD10NOV17	2916.50	3272.00	USD	-8,887.50	
11AUG17	S 1 PD10NOV17	2918.00	3272.00	USD	-8,850.00	
11AUG17	S 1 PD10NOV17	2913.50	3272.00	USD	-8,962.50	
11AUG17	S 1 PD10NOV17	2915.50	3272.00	USD	-8,912.50	
11AUG17	S 1 PD10NOV17	2916.00	3272.00	USD	-8,900.00	
11AUG17	S 1 PD10NOV17	2917.00	3272.00	USD	-8,875.00	
11AUG17	S 1 PD10NOV17	2920.00	3272.00	USD	-8,800.00	
11AUG17	S 3 PD10NOV17	2925.00	3272.00	USD	-26,025.00	
11AUG17	S 1 PD10NOV17	2926.50	3272.00	USD	-8,637.50	
11AUG17	S 1 PD10NOV17	2925.50	3272.00	USD	-8,662.50	
11AUG17	S 2 PD10NOV17	2923.00	3272.00	USD	-17,450.00	
11AUG17	B 1 PD10NOV17	2904.50	3272.00	USD	9,187.50	
11AUG17	B 2 PD10NOV17	2904.00	3272.00	USD	18,400.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083XA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
11AUG17	B	2	PD10NOV17	2903.00	3272.00	USD	18,450.00	
11AUG17	B	1	PD10NOV17	2905.00	3272.00	USD	9,175.00	
11AUG17	B	1	PD10NOV17	2909.50	3272.00	USD	9,062.50	
11AUG17	B	2	PD10NOV17	2906.00	3272.00	USD	18,300.00	
11AUG17	B	1	PD10NOV17	2912.50	3272.00	USD	8,987.50	
11AUG17	B	1	PD10NOV17	2914.50	3272.00	USD	8,937.50	
11AUG17	B	1	PD10NOV17	2911.00	3272.00	USD	9,025.00	
11AUG17	B	2	PD10NOV17	2910.50	3272.00	USD	18,075.00	
11AUG17	B	2	PD10NOV17	2905.50	3272.00	USD	18,325.00	
11AUG17	B	1	PD10NOV17	2896.50	3272.00	USD	9,387.50	
11AUG17	B	2	PD10NOV17	2893.50	3272.00	USD	18,925.00	
11AUG17	B	1	PD10NOV17	2892.50	3272.00	USD	9,487.50	
11AUG17	B	1	PD10NOV17	2912.00	3272.00	USD	9,000.00	
11AUG17	B	1	PD10NOV17	2909.00	3272.00	USD	9,075.00	
11AUG17	S	2	PD10NOV17	2908.50	3272.00	USD	-18,175.00	
11AUG17	S	1	PD10NOV17	2906.00	3272.00	USD	-9,150.00	
11AUG17	B	2	PD10NOV17	2898.50	3272.00	USD	18,675.00	
11AUG17	S	2	PD10NOV17	2902.00	3272.00	USD	-18,500.00	
05SEP17	B	2	PD10NOV17	3138.39	3272.00	USD	6,680.50	
14SEP17	B	7	PD10NOV17	3003.85	3272.00	USD	46,926.25	
	NET	**0**	**AVG BGHT 2933.32 SOLD 2926.20**				**-10,843.25**	**0.00**
14AUG17	B	1	PD14NOV17	2912.50	3270.33	USD	8,945.75	
14AUG17	B	1	PD14NOV17	2918.00	3270.33	USD	8,808.25	
14AUG17	B	1	PD14NOV17	2913.50	3270.33	USD	8,920.75	
14AUG17	S	1	PD14NOV17	2918.00	3270.33	USD	-8,808.25	
14AUG17	S	1	PD14NOV17	2918.50	3270.33	USD	-8,795.75	
14AUG17	B	2	PD14NOV17	2915.50	3270.33	USD	17,741.50	
14AUG17	B	1	PD14NOV17	2906.00	3270.33	USD	9,108.25	
14AUG17	S	1	PD14NOV17	2912.00	3270.33	USD	-8,958.25	
14AUG17	S	1	PD14NOV17	2913.50	3270.33	USD	-8,920.75	
14AUG17	S	1	PD14NOV17	2909.50	3270.33	USD	-9,020.75	
14AUG17	B	1	PD14NOV17	2914.00	3270.33	USD	8,908.25	
14AUG17	S	3	PD14NOV17	2915.50	3270.33	USD	-26,612.25	
14AUG17	S	2	PD14NOV17	2915.00	3270.33	USD	-17,766.50	
14AUG17	B	1	PD14NOV17	2919.50	3270.33	USD	8,770.75	
14AUG17	B	2	PD14NOV17	2923.50	3270.33	USD	17,341.50	
14AUG17	B	2	PD14NOV17	2920.00	3270.33	USD	17,516.50	
05SEP17	S	2	PD14NOV17	3138.55	3270.33	USD	-6,589.00	
	NET	**0**	**AVG BGHT 2916.79 SOLD 2952.09**				**10,590.00**	**0.00**
16AUG17	S	4	PD16NOV17	2979.50	3267.50	USD	-28,800.00	
16AUG17	S	5	PD16NOV17	2980.00	3267.50	USD	-35,937.50	
16AUG17	S	1	PD16NOV17	2981.50	3267.50	USD	-7,150.00	
16AUG17	S	6	PD16NOV17	2980.50	3267.50	USD	-43,050.00	
16AUG17	S	7	PD16NOV17	2981.00	3267.50	USD	-50,137.50	
16AUG17	S	2	PD16NOV17	2983.00	3267.50	USD	-14,225.00	
16AUG17	S	1	PD16NOV17	2988.50	3267.50	USD	-6,975.00	
16AUG17	S	1	PD16NOV17	2988.00	3267.50	USD	-6,987.50	
16AUG17	S	1	PD16NOV17	2986.50	3267.50	USD	-7,025.00	
16AUG17	S	2	PD16NOV17	2986.00	3267.50	USD	-14,075.00	
16AUG17	S	1	PD16NOV17	2987.00	3267.50	USD	-7,012.50	
16AUG17	S	2	PD16NOV17	2985.50	3267.50	USD	-14,100.00	
16AUG17	S	3	PD16NOV17	3020.50	3267.50	USD	-18,525.00	
16AUG17	S	1	PD16NOV17	3023.50	3267.50	USD	-6,100.00	
16AUG17	S	3	PD16NOV17	2980.50	3267.50	USD	-21,525.00	
16AUG17	S	2	PD16NOV17	2981.00	3267.50	USD	-14,325.00	
16AUG17	S	1	PD16NOV17	2987.50	3267.50	USD	-7,000.00	
16AUG17	S	1	PD16NOV17	3022.00	3267.50	USD	-6,137.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083XA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
16AUG17	B 1 PD16NOV17	3041.50	3267.50	USD	5,650.00	
16AUG17	B 2 PD16NOV17	3050.50	3267.50	USD	10,850.00	
16AUG17	B 1 PD16NOV17	3050.00	3267.50	USD	5,437.50	
16AUG17	B 1 PD16NOV17	3056.00	3267.50	USD	5,287.50	
16AUG17	B 1 PD16NOV17	3059.00	3267.50	USD	5,212.50	
16AUG17	B 1 PD16NOV17	3068.00	3267.50	USD	4,987.50	
16AUG17	S 1 PD16NOV17	3072.50	3267.50	USD	-4,875.00	
16AUG17	B 1 PD16NOV17	3077.50	3267.50	USD	4,750.00	
16AUG17	B 1 PD16NOV17	3078.00	3267.50	USD	4,737.50	
16AUG17	B 1 PD16NOV17	3076.50	3267.50	USD	4,775.00	
16AUG17	B 1 PD16NOV17	3085.50	3267.50	USD	4,550.00	
16AUG17	B 1 PD16NOV17	3083.00	3267.50	USD	4,612.50	
16AUG17	B 1 PD16NOV17	3095.50	3267.50	USD	4,300.00	
16AUG17	B 1 PD16NOV17	3094.00	3267.50	USD	4,337.50	
16AUG17	B 1 PD16NOV17	3094.50	3267.50	USD	4,325.00	
16AUG17	S 1 PD16NOV17	3103.00	3267.50	USD	-4,112.50	
16AUG17	B 1 PD16NOV17	3121.00	3267.50	USD	3,662.50	
16AUG17	B 1 PD16NOV17	3118.00	3267.50	USD	3,737.50	
16AUG17	B 1 PD16NOV17	3113.00	3267.50	USD	3,862.50	
16AUG17	S 1 PD16NOV17	3110.50	3267.50	USD	-3,925.00	
16AUG17	B 1 PD16NOV17	3117.00	3267.50	USD	3,762.50	
16AUG17	B 2 PD16NOV17	3115.00	3267.50	USD	7,625.00	
16AUG17	B 2 PD16NOV17	3116.00	3267.50	USD	7,575.00	
16AUG17	B 1 PD16NOV17	3108.00	3267.50	USD	3,987.50	
16AUG17	B 1 PD16NOV17	3114.00	3267.50	USD	3,837.50	
16AUG17	S 1 PD16NOV17	3115.50	3267.50	USD	-3,800.00	
16AUG17	S 2 PD16NOV17	3114.50	3267.50	USD	-7,650.00	
16AUG17	S 1 PD16NOV17	3121.00	3267.50	USD	-3,662.50	
16AUG17	S 1 PD16NOV17	3120.50	3267.50	USD	-3,675.00	
16AUG17	B 3 PD16NOV17	3120.00	3267.50	USD	11,062.50	
16AUG17	B 2 PD16NOV17	3121.50	3267.50	USD	7,300.00	
17AUG17	B 2 PD16NOV17	3089.12	3267.50	USD	8,919.00	
17AUG17	B 18 PD16NOV17	3062.45	3267.50	USD	92,272.50	
14SEP17	B 2 PD16NOV17	3002.00	3267.50	USD	13,275.00	
	NET 0 AVG BGHT 3079.28 SOLD 3005.36				**-96,096.00**	**0.00**
17AUG17	B 2 PD17NOV17	3142.00	3265.50	USD	6,175.00	
17AUG17	S 1 PD17NOV17	3136.50	3265.50	USD	-3,225.00	
17AUG17	S 1 PD17NOV17	3134.00	3265.50	USD	-3,287.50	
17AUG17	S 1 PD17NOV17	3135.50	3265.50	USD	-3,250.00	
17AUG17	S 1 PD17NOV17	3136.00	3265.50	USD	-3,237.50	
17AUG17	S 1 PD17NOV17	3134.50	3265.50	USD	-3,275.00	
17AUG17	B 3 PD17NOV17	3145.00	3265.50	USD	9,037.50	
17AUG17	B 3 PD17NOV17	3144.50	3265.50	USD	9,075.00	
17AUG17	B 1 PD17NOV17	3139.00	3265.50	USD	3,162.50	
17AUG17	B 1 PD17NOV17	3143.00	3265.50	USD	3,062.50	
17AUG17	S 1 PD17NOV17	3127.50	3265.50	USD	-3,450.00	
17AUG17	S 1 PD17NOV17	3119.00	3265.50	USD	-3,662.50	
17AUG17	S 1 PD17NOV17	3112.00	3265.50	USD	-3,837.50	
17AUG17	B 1 PD17NOV17	3108.50	3265.50	USD	3,925.00	
17AUG17	B 1 PD17NOV17	3101.00	3265.50	USD	4,112.50	
17AUG17	B 2 PD17NOV17	3099.00	3265.50	USD	8,325.00	
17AUG17	S 2 PD17NOV17	3101.00	3265.50	USD	-8,225.00	
17AUG17	S 1 PD17NOV17	3094.00	3265.50	USD	-4,287.50	
17AUG17	S 1 PD17NOV17	3096.00	3265.50	USD	-4,237.50	
17AUG17	S 1 PD17NOV17	3091.00	3265.50	USD	-4,362.50	
17AUG17	S 1 PD17NOV17	3092.00	3265.50	USD	-4,337.50	
17AUG17	S 1 PD17NOV17	3082.50	3265.50	USD	-4,575.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083XA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
17AUG17	S 1 PD17NOV17	3088.50	3265.50	USD	-4,425.00	
17AUG17	S 2 PD17NOV17	3090.50	3265.50	USD	-8,750.00	
17AUG17	S 1 PD17NOV17	3094.50	3265.50	USD	-4,275.00	
17AUG17	B 1 PD17NOV17	3071.50	3265.50	USD	4,850.00	
17AUG17	B 1 PD17NOV17	3064.00	3265.50	USD	5,037.50	
17AUG17	S 1 PD17NOV17	3062.00	3265.50	USD	-5,087.50	
17AUG17	S 1 PD17NOV17	3066.50	3265.50	USD	-4,975.00	
17AUG17	S 1 PD17NOV17	3067.00	3265.50	USD	-4,962.50	
17AUG17	S 1 PD17NOV17	3064.00	3265.50	USD	-5,037.50	
17AUG17	B 2 PD17NOV17	3054.50	3265.50	USD	10,550.00	
17AUG17	B 1 PD17NOV17	3050.50	3265.50	USD	5,375.00	
17AUG17	S 1 PD17NOV17	3055.00	3265.50	USD	-5,262.50	
17AUG17	B 1 PD17NOV17	3050.50	3265.50	USD	5,375.00	
17AUG17	B 2 PD17NOV17	3056.50	3265.50	USD	10,450.00	
17AUG17	B 1 PD17NOV17	3058.00	3265.50	USD	5,187.50	
18AUG17	S 1 PD17NOV17	3095.00	3265.50	USD	-4,262.50	
18AUG17	S 3 PD17NOV17	3094.50	3265.50	USD	-12,825.00	
18AUG17	S 1 PD17NOV17	3094.00	3265.50	USD	-4,287.50	
18AUG17	S 1 PD17NOV17	3091.50	3265.50	USD	-4,350.00	
18AUG17	S 2 PD17NOV17	3092.50	3265.50	USD	-8,650.00	
18AUG17	B 2 PD17NOV17	3113.50	3265.50	USD	7,600.00	
18AUG17	B 1 PD17NOV17	3113.00	3265.50	USD	3,812.50	
18AUG17	B 1 PD17NOV17	3119.50	3265.50	USD	3,650.00	
18AUG17	B 1 PD17NOV17	3117.50	3265.50	USD	3,700.00	
18AUG17	B 2 PD17NOV17	3118.00	3265.50	USD	7,375.00	
18AUG17	B 1 PD17NOV17	3107.00	3265.50	USD	3,962.50	
18AUG17	S 1 PD17NOV17	3138.00	3265.50	USD	-3,187.50	
18AUG17	S 1 PD17NOV17	3135.00	3265.50	USD	-3,262.50	
18AUG17	S 1 PD17NOV17	3134.50	3265.50	USD	-3,275.00	
18AUG17	B 1 PD17NOV17	3127.50	3265.50	USD	3,450.00	
18AUG17	B 3 PD17NOV17	3119.00	3265.50	USD	10,987.50	
18AUG17	S 1 PD17NOV17	3131.00	3265.50	USD	-3,362.50	
18AUG17	B 1 PD17NOV17	3110.50	3265.50	USD	3,875.00	
18AUG17	S 1 PD17NOV17	3095.50	3265.50	USD	-4,250.00	
18AUG17	B 1 PD17NOV17	3117.50	3265.50	USD	3,700.00	
18AUG17	B 1 PD17NOV17	3126.50	3265.50	USD	3,475.00	
18AUG17	S 1 PD17NOV17	3136.50	3265.50	USD	-3,225.00	
18AUG17	B 1 PD17NOV17	3150.00	3265.50	USD	2,887.50	
18AUG17	S 1 PD17NOV17	3148.00	3265.50	USD	-2,937.50	
18AUG17	B 1 PD17NOV17	3092.00	3265.50	USD	4,337.50	
18AUG17	S 1 PD17NOV17	3123.50	3265.50	USD	-3,550.00	
18AUG17	S 1 PD17NOV17	3098.00	3265.50	USD	-4,187.50	
18AUG17	S 1 PD17NOV17	3134.00	3265.50	USD	-3,287.50	
18AUG17	B 2 PD17NOV17	3136.50	3265.50	USD	6,450.00	
18AUG17	B 1 PD17NOV17	3140.00	3265.50	USD	3,137.50	
18AUG17	B 5 PD17NOV17	3135.00	3265.50	USD	16,312.50	
14SEP17	S 6 PD17NOV17	3002.14	3265.50	USD	-39,504.00	
	NET 0 AVG BGHT 3113.49 SOLD 3091.81				**-26,016.50**	**0.00**
22AUG17	S 1 PD22NOV17	3121.50	3264.50	USD	-3,575.00	
22AUG17	S 1 PD22NOV17	3118.50	3264.50	USD	-3,650.00	
22AUG17	S 1 PD22NOV17	3160.00	3264.50	USD	-2,612.50	
22AUG17	S 1 PD22NOV17	3163.50	3264.50	USD	-2,525.00	
22AUG17	S 1 PD22NOV17	3164.00	3264.50	USD	-2,512.50	
22AUG17	B 3 PD22NOV17	3148.50	3264.50	USD	8,700.00	
22AUG17	B 1 PD22NOV17	3148.00	3264.50	USD	2,912.50	
22AUG17	B 1 PD22NOV17	3145.00	3264.50	USD	2,987.50	
22AUG17	B 1 PD22NOV17	3125.00	3264.50	USD	3,487.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083XA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
22AUG17	B 1 PD22NOV17	3122.00	3264.50	USD	3,562.50	
05SEP17	S 2 PD22NOV17	3137.91	3264.50	USD	-6,329.50	
	NET 0 AVG BGHT 3140.79 SOLD 3143.33				**445.50**	**0.00**
23AUG17	S 1 PD24NOV17	3127.50	3264.71	USD	-3,430.25	
23AUG17	S 1 PD24NOV17	3126.00	3264.71	USD	-3,467.75	
23AUG17	S 2 PD24NOV17	3120.50	3264.71	USD	-7,210.50	
23AUG17	B 1 PD24NOV17	3119.00	3264.71	USD	3,642.75	
23AUG17	S 2 PD24NOV17	3133.50	3264.71	USD	-6,560.50	
23AUG17	S 1 PD24NOV17	3141.50	3264.71	USD	-3,080.25	
23AUG17	B 1 PD24NOV17	3136.00	3264.71	USD	3,217.75	
23AUG17	B 1 PD24NOV17	3135.00	3264.71	USD	3,242.75	
23AUG17	B 1 PD24NOV17	3135.50	3264.71	USD	3,230.25	
23AUG17	S 1 PD24NOV17	3120.50	3264.71	USD	-3,605.25	
23AUG17	B 2 PD24NOV17	3123.00	3264.71	USD	7,085.50	
23AUG17	B 1 PD24NOV17	3094.50	3264.71	USD	4,255.25	
23AUG17	B 1 PD24NOV17	3103.00	3264.71	USD	4,042.75	
23AUG17	B 2 PD24NOV17	3102.00	3264.71	USD	8,135.50	
23AUG17	S 1 PD24NOV17	3109.00	3264.71	USD	-3,892.75	
23AUG17	B 2 PD24NOV17	3109.00	3264.71	USD	7,785.50	
23AUG17	S 1 PD24NOV17	3106.50	3264.71	USD	-3,955.25	
24AUG17	B 1 PD24NOV17	3117.00	3264.71	USD	3,692.75	
24AUG17	B 2 PD24NOV17	3114.00	3264.71	USD	7,535.50	
24AUG17	B 1 PD24NOV17	3113.50	3264.71	USD	3,780.25	
24AUG17	B 1 PD24NOV17	3100.50	3264.71	USD	4,105.25	
24AUG17	B 1 PD24NOV17	3103.00	3264.71	USD	4,042.75	
24AUG17	S 1 PD24NOV17	3118.50	3264.71	USD	-3,655.25	
24AUG17	S 1 PD24NOV17	3113.00	3264.71	USD	-3,792.75	
24AUG17	S 1 PD24NOV17	3114.50	3264.71	USD	-3,755.25	
24AUG17	B 1 PD24NOV17	3107.50	3264.71	USD	3,930.25	
24AUG17	B 1 PD24NOV17	3110.00	3264.71	USD	3,867.75	
24AUG17	S 1 PD24NOV17	3106.00	3264.71	USD	-3,967.75	
24AUG17	S 1 PD24NOV17	3107.50	3264.71	USD	-3,930.25	
24AUG17	S 1 PD24NOV17	3110.00	3264.71	USD	-3,867.75	
24AUG17	S 1 PD24NOV17	3109.50	3264.71	USD	-3,880.25	
24AUG17	S 1 PD24NOV17	3105.00	3264.71	USD	-3,992.75	
24AUG17	S 2 PD24NOV17	3104.00	3264.71	USD	-8,035.50	
24AUG17	S 1 PD24NOV17	3115.50	3264.71	USD	-3,730.25	
24AUG17	S 1 PD24NOV17	3116.50	3264.71	USD	-3,705.25	
24AUG17	S 1 PD24NOV17	3117.50	3264.71	USD	-3,680.25	
24AUG17	S 1 PD24NOV17	3123.50	3264.71	USD	-3,530.25	
24AUG17	S 1 PD24NOV17	3125.00	3264.71	USD	-3,492.75	
24AUG17	B 1 PD24NOV17	3129.00	3264.71	USD	3,392.75	
24AUG17	S 1 PD24NOV17	3134.50	3264.71	USD	-3,255.25	
24AUG17	B 1 PD24NOV17	3119.00	3264.71	USD	3,642.75	
24AUG17	B 2 PD24NOV17	3119.50	3264.71	USD	7,260.50	
24AUG17	B 1 PD24NOV17	3110.50	3264.71	USD	3,855.25	
24AUG17	B 1 PD24NOV17	3109.50	3264.71	USD	3,880.25	
24AUG17	B 4 PD24NOV17	3107.00	3264.71	USD	15,771.00	
24AUG17	S 2 PD24NOV17	3111.50	3264.71	USD	-7,660.50	
24AUG17	B 2 PD24NOV17	3113.00	3264.71	USD	7,585.50	
25AUG17	S 2 PD24NOV17	3127.50	3264.71	USD	-6,860.50	
25AUG17	S 1 PD24NOV17	3124.50	3264.71	USD	-3,505.25	
25AUG17	S 1 PD24NOV17	3128.00	3264.71	USD	-3,417.75	
25AUG17	S 1 PD24NOV17	3091.50	3264.71	USD	-4,330.25	
25AUG17	B 1 PD24NOV17	3068.50	3264.71	USD	4,905.25	
25AUG17	S 1 PD24NOV17	3062.50	3264.71	USD	-5,055.25	
25AUG17	B 1 PD24NOV17	3061.50	3264.71	USD	5,080.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083XA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
25AUG17	B 1 PD24NOV17	3059.50	3264.71	USD	5,130.25	
25AUG17	B 1 PD24NOV17	3059.00	3264.71	USD	5,142.75	
25AUG17	S 1 PD24NOV17	3064.00	3264.71	USD	-5,017.75	
25AUG17	B 1 PD24NOV17	3069.50	3264.71	USD	4,880.25	
25AUG17	S 2 PD24NOV17	3067.00	3264.71	USD	-9,885.50	
	NET 0 AVG BGHT 3106.74 SOLD 3112.05				**4,912.50**	**0.00**
29AUG17	B 1 PD29NOV17	3107.00	3265.25	USD	3,956.25	
29AUG17	S 1 PD29NOV17	3113.00	3265.25	USD	-3,806.25	
29AUG17	S 1 PD29NOV17	3090.00	3265.25	USD	-4,381.25	
29AUG17	S 1 PD29NOV17	3097.50	3265.25	USD	-4,193.75	
29AUG17	S 1 PD29NOV17	3105.00	3265.25	USD	-4,006.25	
29AUG17	S 1 PD29NOV17	3104.00	3265.25	USD	-4,031.25	
29AUG17	S 1 PD29NOV17	3100.00	3265.25	USD	-4,131.25	
29AUG17	S 1 PD29NOV17	3112.50	3265.25	USD	-3,818.75	
29AUG17	B 1 PD29NOV17	3097.00	3265.25	USD	4,206.25	
29AUG17	B 1 PD29NOV17	3092.00	3265.25	USD	4,331.25	
29AUG17	B 1 PD29NOV17	3091.00	3265.25	USD	4,356.25	
29AUG17	B 1 PD29NOV17	3091.50	3265.25	USD	4,343.75	
29AUG17	B 1 PD29NOV17	3093.50	3265.25	USD	4,293.75	
29AUG17	B 1 PD29NOV17	3094.50	3265.25	USD	4,268.75	
29AUG17	B 1 PD29NOV17	3099.00	3265.25	USD	4,156.25	
29AUG17	S 2 PD29NOV17	3108.50	3265.25	USD	-7,837.50	
29AUG17	B 1 PD29NOV17	3118.00	3265.25	USD	3,681.25	
29AUG17	B 1 PD29NOV17	3111.00	3265.25	USD	3,856.25	
29AUG17	B 1 PD29NOV17	3120.50	3265.25	USD	3,618.75	
29AUG17	B 1 PD29NOV17	3113.50	3265.25	USD	3,793.75	
29AUG17	S 2 PD29NOV17	3121.85	3265.25	USD	-7,170.00	
29AUG17	S 1 PD29NOV17	3109.80	3265.25	USD	-3,886.25	
	NET 0 AVG BGHT 3102.38 SOLD 3107.71				**1,600.00**	**0.00**
30AUG17	S 1 PD30NOV17	3128.50	3265.36	USD	-3,421.50	
30AUG17	S 1 PD30NOV17	3127.50	3265.36	USD	-3,446.50	
30AUG17	S 1 PD30NOV17	3139.50	3265.36	USD	-3,146.50	
30AUG17	S 1 PD30NOV17	3138.00	3265.36	USD	-3,184.00	
30AUG17	S 1 PD30NOV17	3136.50	3265.36	USD	-3,221.50	
30AUG17	B 1 PD30NOV17	3138.50	3265.36	USD	3,171.50	
30AUG17	B 1 PD30NOV17	3136.50	3265.36	USD	3,221.50	
30AUG17	B 1 PD30NOV17	3128.50	3265.36	USD	3,421.50	
30AUG17	B 1 PD30NOV17	3130.00	3265.36	USD	3,384.00	
30AUG17	B 1 PD30NOV17	3125.50	3265.36	USD	3,496.50	
30AUG17	B 1 PD30NOV17	3127.00	3265.36	USD	3,459.00	
30AUG17	B 1 PD30NOV17	3122.50	3265.36	USD	3,571.50	
30AUG17	B 1 PD30NOV17	3124.00	3265.36	USD	3,534.00	
30AUG17	B 2 PD30NOV17	3123.50	3265.36	USD	7,093.00	
30AUG17	S 1 PD30NOV17	3128.00	3265.36	USD	-3,434.00	
30AUG17	B 2 PD30NOV17	3124.50	3265.36	USD	7,043.00	
30AUG17	S 1 PD30NOV17	3125.50	3265.36	USD	-3,496.50	
30AUG17	S 1 PD30NOV17	3124.50	3265.36	USD	-3,521.50	
30AUG17	S 1 PD30NOV17	3121.00	3265.36	USD	-3,609.00	
30AUG17	S 1 PD30NOV17	3123.50	3265.36	USD	-3,546.50	
30AUG17	S 1 PD30NOV17	3103.00	3265.36	USD	-4,059.00	
30AUG17	S 1 PD30NOV17	3104.00	3265.36	USD	-4,034.00	
30AUG17	S 1 PD30NOV17	3108.00	3265.36	USD	-3,934.00	
30AUG17	S 1 PD30NOV17	3111.00	3265.36	USD	-3,859.00	
30AUG17	S 1 PD30NOV17	3110.00	3265.36	USD	-3,884.00	
30AUG17	B 2 PD30NOV17	3117.00	3265.36	USD	7,418.00	
30AUG17	B 1 PD30NOV17	3101.00	3265.36	USD	4,109.00	
30AUG17	B 1 PD30NOV17	3099.00	3265.36	USD	4,159.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083XA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
30AUG17	S 1 PD30NOV17	3095.50	3265.36	USD	-4,246.50	
30AUG17	B 1 PD30NOV17	3093.50	3265.36	USD	4,296.50	
30AUG17	B 1 PD30NOV17	3094.50	3265.36	USD	4,271.50	
30AUG17	B 1 PD30NOV17	3094.00	3265.36	USD	4,284.00	
30AUG17	S 4 PD30NOV17	3125.35	3265.36	USD	-14,001.00	
30AUG17	S 2 PD30NOV17	3132.35	3265.36	USD	-6,650.50	
30AUG17	S 6 PD30NOV17	3123.10	3265.36	USD	-21,339.00	
30AUG17	B 1 PD30NOV17	3092.50	3265.36	USD	4,321.50	
30AUG17	S 2 PD30NOV17	3094.80	3265.36	USD	-8,528.00	
30AUG17	B 1 PD30NOV17	3083.50	3265.36	USD	4,546.50	
30AUG17	B 1 PD30NOV17	3083.50	3265.36	USD	4,546.50	
31AUG17	S 1 PD30NOV17	3110.00	3265.36	USD	-3,884.00	
31AUG17	B 2 PD30NOV17	3100.00	3265.36	USD	8,268.00	
31AUG17	B 3 PD30NOV17	3100.50	3265.36	USD	12,364.50	
31AUG17	B 1 PD30NOV17	3097.50	3265.36	USD	4,196.50	
31AUG17	S 1 PD30NOV17	3121.50	3265.36	USD	-3,596.50	
31AUG17	S 1 PD30NOV17	3116.50	3265.36	USD	-3,721.50	
31AUG17	S 1 PD30NOV17	3117.50	3265.36	USD	-3,696.50	
31AUG17	S 1 PD30NOV17	3119.00	3265.36	USD	-3,659.00	
31AUG17	S 1 PD30NOV17	3120.50	3265.36	USD	-3,621.50	
31AUG17	S 2 PD30NOV17	3124.50	3265.36	USD	-7,043.00	
31AUG17	S 2 PD30NOV17	3129.50	3265.36	USD	-6,793.00	
31AUG17	S 2 PD30NOV17	3125.00	3265.36	USD	-7,018.00	
31AUG17	S 1 PD30NOV17	3126.50	3265.36	USD	-3,471.50	
31AUG17	S 2 PD30NOV17	3127.00	3265.36	USD	-6,918.00	
31AUG17	S 2 PD30NOV17	3128.00	3265.36	USD	-6,868.00	
31AUG17	S 1 PD30NOV17	3127.50	3265.36	USD	-3,446.50	
31AUG17	S 3 PD30NOV17	3126.00	3265.36	USD	-10,452.00	
31AUG17	S 2 PD30NOV17	3128.50	3265.36	USD	-6,843.00	
31AUG17	S 1 PD30NOV17	3125.50	3265.36	USD	-3,496.50	
31AUG17	S 1 PD30NOV17	3135.00	3265.36	USD	-3,259.00	
31AUG17	S 3 PD30NOV17	3142.00	3265.36	USD	-9,252.00	
31AUG17	S 2 PD30NOV17	3136.00	3265.36	USD	-6,468.00	
31AUG17	S 1 PD30NOV17	3136.50	3265.36	USD	-3,221.50	
31AUG17	S 1 PD30NOV17	3141.50	3265.36	USD	-3,096.50	
31AUG17	B 1 PD30NOV17	3123.50	3265.36	USD	3,546.50	
31AUG17	B 1 PD30NOV17	3137.50	3265.36	USD	3,196.50	
31AUG17	B 1 PD30NOV17	3142.50	3265.36	USD	3,071.50	
31AUG17	B 1 PD30NOV17	3136.50	3265.36	USD	3,221.50	
31AUG17	B 2 PD30NOV17	3138.00	3265.36	USD	6,368.00	
31AUG17	B 3 PD30NOV17	3140.00	3265.36	USD	9,402.00	
31AUG17	B 1 PD30NOV17	3155.00	3265.36	USD	2,759.00	
31AUG17	B 1 PD30NOV17	3154.00	3265.36	USD	2,784.00	
31AUG17	S 1 PD30NOV17	3128.85	3265.36	USD	-3,412.75	
31AUG17	S 2 PD30NOV17	3115.35	3265.36	USD	-7,500.50	
31AUG17	B 2 PD30NOV17	3159.50	3265.36	USD	5,293.00	
31AUG17	B 4 PD30NOV17	3160.00	3265.36	USD	10,536.00	
31AUG17	B 2 PD30NOV17	3159.00	3265.36	USD	5,318.00	
31AUG17	B 1 PD30NOV17	3161.50	3265.36	USD	2,596.50	
31AUG17	B 1 PD30NOV17	3159.00	3265.36	USD	2,659.00	
14SEP17	B 16 PD30NOV17	3004.00	3265.36	USD	104,544.00	
	NET 0 AVG BGHT 3097.07 SOLD 3124.25				**44,171.25**	**0.00**
01SEP17	B 1 PD01DEC17	3144.00	3265.46	USD	3,036.50	
01SEP17	S 1 PD01DEC17	3143.00	3265.46	USD	-3,061.50	
01SEP17	S 1 PD01DEC17	3163.00	3265.46	USD	-2,561.50	
01SEP17	S 1 PD01DEC17	3168.00	3265.46	USD	-2,436.50	
01SEP17	B 1 PD01DEC17	3153.50	3265.46	USD	2,799.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083XA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
01SEP17	B 1 PD01DEC17	3157.00	3265.46	USD	2,711.50	
01SEP17	S 1 PD01DEC17	3167.50	3265.46	USD	-2,449.00	
01SEP17	S 1 PD01DEC17	3174.00	3265.46	USD	-2,286.50	
01SEP17	S 1 PD01DEC17	3178.50	3265.46	USD	-2,174.00	
01SEP17	B 1 PD01DEC17	3180.00	3265.46	USD	2,136.50	
01SEP17	S 1 PD01DEC17	3180.00	3265.46	USD	-2,136.50	
01SEP17	S 1 PD01DEC17	3182.50	3265.46	USD	-2,074.00	
01SEP17	S 1 PD01DEC17	3185.00	3265.46	USD	-2,011.50	
01SEP17	S 1 PD01DEC17	3184.50	3265.46	USD	-2,024.00	
01SEP17	S 1 PD01DEC17	3186.00	3265.46	USD	-1,986.50	
01SEP17	S 1 PD01DEC17	3187.50	3265.46	USD	-1,949.00	
01SEP17	B 2 PD01DEC17	3190.00	3265.46	USD	3,773.00	
01SEP17	B 4 PD01DEC17	3185.20	3265.46	USD	8,026.00	
01SEP17	B 1 PD01DEC17	3211.00	3265.46	USD	1,361.50	
14SEP17	B 1 PD01DEC17	3004.14	3265.46	USD	6,533.00	
	NET 0 AVG BGHT 3164.20 SOLD 3174.96				**3,226.50**	**0.00**
04SEP17	B 1 PD04DEC17	3199.50	3265.79	USD	1,657.25	
04SEP17	B 1 PD04DEC17	3198.50	3265.79	USD	1,682.25	
04SEP17	S 1 PD04DEC17	3196.50	3265.79	USD	-1,732.25	
04SEP17	B 1 PD04DEC17	3187.50	3265.79	USD	1,957.25	
04SEP17	B 1 PD04DEC17	3187.00	3265.79	USD	1,969.75	
04SEP17	B 2 PD04DEC17	3185.00	3265.79	USD	4,039.50	
04SEP17	B 1 PD04DEC17	3179.50	3265.79	USD	2,157.25	
04SEP17	B 1 PD04DEC17	3176.50	3265.79	USD	2,232.25	
04SEP17	S 1 PD04DEC17	3182.50	3265.79	USD	-2,082.25	
04SEP17	S 1 PD04DEC17	3184.50	3265.79	USD	-2,032.25	
04SEP17	S 1 PD04DEC17	3192.50	3265.79	USD	-1,832.25	
04SEP17	S 1 PD04DEC17	3203.00	3265.79	USD	-1,569.75	
04SEP17	S 3 PD04DEC17	3194.00	3265.79	USD	-5,384.25	
04SEP17	S 1 PD04DEC17	3185.00	3265.79	USD	-2,019.75	
04SEP17	S 2 PD04DEC17	3191.50	3265.79	USD	-3,714.50	
04SEP17	B 1 PD04DEC17	3194.00	3265.79	USD	1,794.75	
04SEP17	S 1 PD04DEC17	3198.00	3265.79	USD	-1,694.75	
04SEP17	S 1 PD04DEC17	3200.00	3265.79	USD	-1,644.75	
04SEP17	S 1 PD04DEC17	3212.00	3265.79	USD	-1,344.75	
04SEP17	S 1 PD04DEC17	3207.50	3265.79	USD	-1,457.25	
04SEP17	B 1 PD04DEC17	3205.50	3265.79	USD	1,507.25	
04SEP17	B 1 PD04DEC17	3202.50	3265.79	USD	1,582.25	
04SEP17	B 1 PD04DEC17	3201.50	3265.79	USD	1,607.25	
04SEP17	B 1 PD04DEC17	3201.00	3265.79	USD	1,619.75	
04SEP17	B 1 PD04DEC17	3204.00	3265.79	USD	1,544.75	
04SEP17	B 2 PD04DEC17	3209.00	3265.79	USD	2,839.50	
05SEP17	S 1 PD04DEC17	3139.69	3265.79	USD	-3,152.50	
	NET 0 AVG BGHT 3195.31 SOLD 3191.64				**-1,470.25**	**0.00**
05SEP17	B 1 PD05DEC17	3193.00	3265.89	USD	1,822.25	
05SEP17	B 1 PD05DEC17	3194.50	3265.89	USD	1,784.75	
05SEP17	B 2 PD05DEC17	3194.00	3265.89	USD	3,594.50	
05SEP17	B 1 PD05DEC17	3192.00	3265.89	USD	1,847.25	
05SEP17	B 2 PD05DEC17	3196.50	3265.89	USD	3,469.50	
05SEP17	B 1 PD05DEC17	3186.00	3265.89	USD	1,997.25	
05SEP17	B 2 PD05DEC17	3186.50	3265.89	USD	3,969.50	
05SEP17	B 1 PD05DEC17	3189.50	3265.89	USD	1,909.75	
05SEP17	S 1 PD05DEC17	3197.00	3265.89	USD	-1,722.25	
05SEP17	S 1 PD05DEC17	3196.50	3265.89	USD	-1,734.75	
05SEP17	S 1 PD05DEC17	3201.50	3265.89	USD	-1,609.75	
05SEP17	S 1 PD05DEC17	3202.00	3265.89	USD	-1,597.25	
05SEP17	B 1 PD05DEC17	3189.00	3265.89	USD	1,922.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083XA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
05SEP17	B 1 PD05DEC17	3177.50	3265.89	USD	2,209.75	
05SEP17	B 1 PD05DEC17	3174.00	3265.89	USD	2,297.25	
05SEP17	B 2 PD05DEC17	3166.50	3265.89	USD	4,969.50	
05SEP17	B 1 PD05DEC17	3151.00	3265.89	USD	2,872.25	
05SEP17	S 1 PD05DEC17	3145.00	3265.89	USD	-3,022.25	
05SEP17	S 1 PD05DEC17	3142.00	3265.89	USD	-3,097.25	
05SEP17	S 1 PD05DEC17	3144.50	3265.89	USD	-3,034.75	
05SEP17	B 1 PD05DEC17	3143.50	3265.89	USD	3,059.75	
05SEP17	B 1 PD05DEC17	3142.00	3265.89	USD	3,097.25	
05SEP17	B 2 PD05DEC17	3138.50	3265.89	USD	6,369.50	
05SEP17	B 1 PD05DEC17	3133.50	3265.89	USD	3,309.75	
05SEP17	B 1 PD05DEC17	3118.00	3265.89	USD	3,697.25	
05SEP17	B 1 PD05DEC17	3111.00	3265.89	USD	3,872.25	
05SEP17	B 1 PD05DEC17	3106.00	3265.89	USD	3,997.25	
05SEP17	S 3 PD05DEC17	3100.00	3265.89	USD	-12,441.75	
05SEP17	B 1 PD05DEC17	3132.50	3265.89	USD	3,334.75	
05SEP17	B 1 PD05DEC17	3173.50	3265.89	USD	2,309.75	
14SEP17	S 17 PD05DEC17	3004.51	3265.89	USD	-111,086.50	
	NET 0 AVG BGHT 3165.57 SOLD 3059.45				**-71,633.25**	**0.00**
06SEP17	S 1 PD06DEC17	3122.00	3266.00	USD	-3,600.00	
06SEP17	B 1 PD06DEC17	3100.50	3266.00	USD	4,137.50	
06SEP17	B 2 PD06DEC17	3084.00	3266.00	USD	9,100.00	
06SEP17	B 2 PD06DEC17	3086.50	3266.00	USD	8,975.00	
06SEP17	B 1 PD06DEC17	3085.00	3266.00	USD	4,525.00	
06SEP17	B 2 PD06DEC17	3074.00	3266.00	USD	9,600.00	
06SEP17	B 1 PD06DEC17	3075.00	3266.00	USD	4,775.00	
06SEP17	B 1 PD06DEC17	3083.50	3266.00	USD	4,562.50	
06SEP17	B 2 PD06DEC17	3079.00	3266.00	USD	9,350.00	
06SEP17	S 1 PD06DEC17	3087.00	3266.00	USD	-4,475.00	
06SEP17	S 4 PD06DEC17	3089.00	3266.00	USD	-17,700.00	
06SEP17	S 1 PD06DEC17	3092.50	3266.00	USD	-4,337.50	
06SEP17	S 1 PD06DEC17	3095.50	3266.00	USD	-4,262.50	
06SEP17	B 1 PD06DEC17	3091.00	3266.00	USD	4,375.00	
06SEP17	B 1 PD06DEC17	3092.00	3266.00	USD	4,350.00	
06SEP17	S 1 PD06DEC17	3096.50	3266.00	USD	-4,237.50	
06SEP17	S 1 PD06DEC17	3098.00	3266.00	USD	-4,200.00	
06SEP17	S 1 PD06DEC17	3099.50	3266.00	USD	-4,162.50	
06SEP17	S 1 PD06DEC17	3104.00	3266.00	USD	-4,050.00	
06SEP17	S 1 PD06DEC17	3105.50	3266.00	USD	-4,012.50	
06SEP17	S 2 PD06DEC17	3106.50	3266.00	USD	-7,975.00	
14SEP17	B 1 PD06DEC17	3004.86	3266.00	USD	6,528.50	
	NET 0 AVG BGHT 3078.59 SOLD 3097.97				**7,266.00**	**0.00**
07SEP17	B 1 PD07DEC17	3083.00	3266.11	USD	4,577.75	
07SEP17	B 1 PD07DEC17	3084.00	3266.11	USD	4,552.75	
07SEP17	B 1 PD07DEC17	3081.00	3266.11	USD	4,627.75	
07SEP17	B 1 PD07DEC17	3077.00	3266.11	USD	4,727.75	
07SEP17	B 1 PD07DEC17	3079.50	3266.11	USD	4,665.25	
07SEP17	B 1 PD07DEC17	3078.50	3266.11	USD	4,690.25	
07SEP17	B 1 PD07DEC17	3077.50	3266.11	USD	4,715.25	
07SEP17	S 1 PD07DEC17	3078.00	3266.11	USD	-4,702.75	
07SEP17	S 1 PD07DEC17	3091.00	3266.11	USD	-4,377.75	
07SEP17	S 2 PD07DEC17	3099.00	3266.11	USD	-8,355.50	
07SEP17	S 1 PD07DEC17	3100.50	3266.11	USD	-4,140.25	
07SEP17	S 1 PD07DEC17	3077.00	3266.11	USD	-4,727.75	
07SEP17	S 3 PD07DEC17	3099.50	3266.11	USD	-12,495.75	
07SEP17	S 1 PD07DEC17	3098.50	3266.11	USD	-4,190.25	
07SEP17	S 2 PD07DEC17	3097.50	3266.11	USD	-8,430.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083XA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
07SEP17	S 1 PD07DEC17	3100.00	3266.11	USD	-4,152.75	
07SEP17	S 1 PD07DEC17	3123.00	3266.11	USD	-3,577.75	
07SEP17	S 1 PD07DEC17	3120.00	3266.11	USD	-3,652.75	
07SEP17	S 2 PD07DEC17	3120.50	3266.11	USD	-7,280.50	
07SEP17	S 1 PD07DEC17	3115.00	3266.11	USD	-3,777.75	
07SEP17	S 1 PD07DEC17	3112.00	3266.11	USD	-3,852.75	
07SEP17	S 1 PD07DEC17	3117.00	3266.11	USD	-3,727.75	
07SEP17	S 2 PD07DEC17	3119.00	3266.11	USD	-7,355.50	
07SEP17	S 1 PD07DEC17	3141.00	3266.11	USD	-3,127.75	
07SEP17	B 1 PD07DEC17	3125.00	3266.11	USD	3,527.75	
07SEP17	B 5 PD07DEC17	3126.00	3266.11	USD	17,513.75	
07SEP17	B 1 PD07DEC17	3125.50	3266.11	USD	3,515.25	
07SEP17	B 1 PD07DEC17	3130.50	3266.11	USD	3,390.25	
07SEP17	B 1 PD07DEC17	3134.00	3266.11	USD	3,302.75	
07SEP17	B 7 PD07DEC17	3135.00	3266.11	USD	22,944.25	
07SEP17	S 1 PD07DEC17	3137.00	3266.11	USD	-3,227.75	
07SEP17	S 1 PD07DEC17	3135.00	3266.11	USD	-3,277.75	
07SEP17	B 1 PD07DEC17	3130.50	3266.11	USD	3,390.25	
07SEP17	B 1 PD07DEC17	3134.00	3266.11	USD	3,302.75	
07SEP17	B 1 PD07DEC17	3134.50	3266.11	USD	3,290.25	
07SEP17	B 1 PD07DEC17	3136.00	3266.11	USD	3,252.75	
14SEP17	S 2 PD07DEC17	3004.80	3266.11	USD	-13,065.50	
	NET 0 AVG BGHT 3117.98 SOLD 3100.93				**-11,510.00**	**0.00**
08SEP17	B 1 PD08DEC17	3104.00	3266.21	USD	4,055.25	
08SEP17	B 1 PD08DEC17	3101.00	3266.21	USD	4,130.25	
08SEP17	B 2 PD08DEC17	3092.00	3266.21	USD	8,710.50	
08SEP17	B 1 PD08DEC17	3089.50	3266.21	USD	4,417.75	
08SEP17	B 2 PD08DEC17	3088.00	3266.21	USD	8,910.50	
08SEP17	B 1 PD08DEC17	3090.00	3266.21	USD	4,405.25	
08SEP17	B 1 PD08DEC17	3090.50	3266.21	USD	4,392.75	
08SEP17	B 1 PD08DEC17	3089.00	3266.21	USD	4,430.25	
08SEP17	S 1 PD08DEC17	3093.00	3266.21	USD	-4,330.25	
08SEP17	S 1 PD08DEC17	3090.50	3266.21	USD	-4,392.75	
08SEP17	S 1 PD08DEC17	3092.50	3266.21	USD	-4,342.75	
08SEP17	B 1 PD08DEC17	3083.00	3266.21	USD	4,580.25	
08SEP17	S 1 PD08DEC17	3094.00	3266.21	USD	-4,305.25	
08SEP17	B 3 PD08DEC17	3087.00	3266.21	USD	13,440.75	
08SEP17	S 1 PD08DEC17	3092.00	3266.21	USD	-4,355.25	
08SEP17	S 2 PD08DEC17	3093.50	3266.21	USD	-8,635.50	
08SEP17	B 1 PD08DEC17	3070.00	3266.21	USD	4,905.25	
08SEP17	B 1 PD08DEC17	3071.00	3266.21	USD	4,880.25	
08SEP17	S 1 PD08DEC17	3063.50	3266.21	USD	-5,067.75	
08SEP17	S 1 PD08DEC17	3066.00	3266.21	USD	-5,005.25	
08SEP17	B 1 PD08DEC17	3057.00	3266.21	USD	5,230.25	
08SEP17	S 1 PD08DEC17	3047.00	3266.21	USD	-5,480.25	
08SEP17	S 1 PD08DEC17	3065.50	3266.21	USD	-5,017.75	
14SEP17	S 6 PD08DEC17	3005.14	3266.21	USD	-39,160.50	
	NET 0 AVG BGHT 3086.24 SOLD 3054.23				**-13,604.00**	**0.00**
11SEP17	S 1 PD11DEC17	3058.50	3266.54	USD	-5,201.00	
11SEP17	S 1 PD11DEC17	3064.50	3266.54	USD	-5,051.00	
11SEP17	S 1 PD11DEC17	3067.00	3266.54	USD	-4,988.50	
11SEP17	S 1 PD11DEC17	3069.50	3266.54	USD	-4,926.00	
11SEP17	S 1 PD11DEC17	3069.00	3266.54	USD	-4,938.50	
11SEP17	S 1 PD11DEC17	3072.00	3266.54	USD	-4,863.50	
11SEP17	B 1 PD11DEC17	3070.50	3266.54	USD	4,901.00	
11SEP17	S 1 PD11DEC17	3075.00	3266.54	USD	-4,788.50	
11SEP17	S 3 PD11DEC17	3073.00	3266.54	USD	-14,515.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083XA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
11SEP17	S 1 PD11DEC17	3074.00	3266.54	USD	-4,813.50	
11SEP17	S 1 PD11DEC17	3076.00	3266.54	USD	-4,763.50	
11SEP17	S 2 PD11DEC17	3072.50	3266.54	USD	-9,702.00	
11SEP17	S 2 PD11DEC17	3073.50	3266.54	USD	-9,652.00	
11SEP17	S 1 PD11DEC17	3077.50	3266.54	USD	-4,726.00	
11SEP17	S 2 PD11DEC17	3078.50	3266.54	USD	-9,402.00	
11SEP17	S 2 PD11DEC17	3077.00	3266.54	USD	-9,477.00	
11SEP17	B 1 PD11DEC17	3076.50	3266.54	USD	4,751.00	
11SEP17	S 1 PD11DEC17	3079.00	3266.54	USD	-4,688.50	
11SEP17	S 1 PD11DEC17	3074.50	3266.54	USD	-4,801.00	
11SEP17	B 1 PD11DEC17	3083.00	3266.54	USD	4,588.50	
11SEP17	S 2 PD11DEC17	3090.00	3266.54	USD	-8,827.00	
11SEP17	S 1 PD11DEC17	3087.00	3266.54	USD	-4,488.50	
11SEP17	S 3 PD11DEC17	3088.00	3266.54	USD	-13,390.50	
11SEP17	S 1 PD11DEC17	3089.00	3266.54	USD	-4,438.50	
11SEP17	S 1 PD11DEC17	3091.50	3266.54	USD	-4,376.00	
11SEP17	S 1 PD11DEC17	3092.50	3266.54	USD	-4,351.00	
11SEP17	S 1 PD11DEC17	3103.00	3266.54	USD	-4,088.50	
11SEP17	B 1 PD11DEC17	3094.50	3266.54	USD	4,301.00	
11SEP17	B 1 PD11DEC17	3093.00	3266.54	USD	4,338.50	
11SEP17	S 1 PD11DEC17	3097.00	3266.54	USD	-4,238.50	
11SEP17	S 2 PD11DEC17	3093.50	3266.54	USD	-8,652.00	
11SEP17	B 1 PD11DEC17	3075.00	3266.54	USD	4,788.50	
11SEP17	S 1 PD11DEC17	3094.50	3266.54	USD	-4,301.00	
11SEP17	B 1 PD11DEC17	3099.50	3266.54	USD	4,176.00	
11SEP17	S 1 PD11DEC17	3097.50	3266.54	USD	-4,226.00	
11SEP17	B 2 PD11DEC17	3074.00	3266.54	USD	9,627.00	
11SEP17	B 2 PD11DEC17	3071.00	3266.54	USD	9,777.00	
11SEP17	B 1 PD11DEC17	3059.50	3266.54	USD	5,176.00	
11SEP17	B 1 PD11DEC17	3058.50	3266.54	USD	5,201.00	
11SEP17	B 2 PD11DEC17	3057.00	3266.54	USD	10,477.00	
11SEP17	B 1 PD11DEC17	3065.00	3266.54	USD	5,038.50	
11SEP17	B 1 PD11DEC17	3062.50	3266.54	USD	5,101.00	
11SEP17	B 1 PD11DEC17	3060.50	3266.54	USD	5,151.00	
11SEP17	B 1 PD11DEC17	3058.00	3266.54	USD	5,213.50	
11SEP17	B 1 PD11DEC17	3053.00	3266.54	USD	5,338.50	
11SEP17	B 1 PD11DEC17	3051.00	3266.54	USD	5,388.50	
11SEP17	B 2 PD11DEC17	3059.00	3266.54	USD	10,377.00	
11SEP17	B 1 PD11DEC17	3060.00	3266.54	USD	5,163.50	
11SEP17	S 1 PD11DEC17	3080.50	3266.54	USD	-4,651.00	
11SEP17	S 1 PD11DEC17	3079.50	3266.54	USD	-4,676.00	
11SEP17	B 1 PD11DEC17	3085.00	3266.54	USD	4,538.50	
11SEP17	B 1 PD11DEC17	3085.50	3266.54	USD	4,526.00	
11SEP17	B 1 PD11DEC17	3086.00	3266.54	USD	4,513.50	
14SEP17	B 12 PD11DEC17	3005.77	3266.54	USD	78,231.00	
14SEP17	B 1 PD11DEC17	3005.57	3266.54	USD	6,524.25	
	NET 0 AVG BGHT 3049.33 SOLD 3080.54				**31,204.75**	**0.00**
12SEP17	S 1 PD12DEC17	3084.50	3266.64	USD	-4,553.50	
12SEP17	S 2 PD12DEC17	3084.00	3266.64	USD	-9,132.00	
12SEP17	S 2 PD12DEC17	3083.00	3266.64	USD	-9,182.00	
12SEP17	S 1 PD12DEC17	3083.50	3266.64	USD	-4,578.50	
12SEP17	S 1 PD12DEC17	3082.00	3266.64	USD	-4,616.00	
12SEP17	S 1 PD12DEC17	3080.00	3266.64	USD	-4,666.00	
12SEP17	S 1 PD12DEC17	3081.00	3266.64	USD	-4,641.00	
12SEP17	S 4 PD12DEC17	3085.00	3266.64	USD	-18,164.00	
12SEP17	S 1 PD12DEC17	3086.50	3266.64	USD	-4,503.50	
12SEP17	B 1 PD12DEC17	3088.50	3266.64	USD	4,453.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083XA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
12SEP17	B 1 PD12DEC17	3089.00	3266.64	USD	4,441.00	
12SEP17	B 1 PD12DEC17	3093.00	3266.64	USD	4,341.00	
12SEP17	B 2 PD12DEC17	3093.50	3266.64	USD	8,657.00	
12SEP17	B 2 PD12DEC17	3090.00	3266.64	USD	8,832.00	
12SEP17	S 1 PD12DEC17	3092.50	3266.64	USD	-4,353.50	
12SEP17	B 6 PD12DEC17	3092.00	3266.64	USD	26,196.00	
12SEP17	S 2 PD12DEC17	3093.00	3266.64	USD	-8,682.00	
12SEP17	S 1 PD12DEC17	3089.00	3266.64	USD	-4,441.00	
12SEP17	S 3 PD12DEC17	3090.50	3266.64	USD	-13,210.50	
12SEP17	S 1 PD12DEC17	3078.00	3266.64	USD	-4,716.00	
12SEP17	B 1 PD12DEC17	3068.00	3266.64	USD	4,966.00	
12SEP17	B 1 PD12DEC17	3070.00	3266.64	USD	4,916.00	
12SEP17	S 2 PD12DEC17	3061.50	3266.64	USD	-10,257.00	
12SEP17	S 1 PD12DEC17	3065.50	3266.64	USD	-5,028.50	
12SEP17	S 1 PD12DEC17	3084.00	3266.64	USD	-4,566.00	
12SEP17	S 1 PD12DEC17	3082.00	3266.64	USD	-4,616.00	
12SEP17	S 1 PD12DEC17	3062.00	3266.64	USD	-5,116.00	
12SEP17	S 1 PD12DEC17	3067.00	3266.64	USD	-4,991.00	
12SEP17	S 1 PD12DEC17	3066.50	3266.64	USD	-5,003.50	
12SEP17	B 4 PD12DEC17	3054.50	3266.64	USD	21,214.00	
12SEP17	B 2 PD12DEC17	3055.00	3266.64	USD	10,582.00	
12SEP17	S 1 PD12DEC17	3055.50	3266.64	USD	-5,278.50	
12SEP17	S 2 PD12DEC17	3060.50	3266.64	USD	-10,307.00	
12SEP17	B 1 PD12DEC17	3071.50	3266.64	USD	4,878.50	
12SEP17	B 2 PD12DEC17	3075.00	3266.64	USD	9,582.00	
12SEP17	B 1 PD12DEC17	3073.00	3266.64	USD	4,841.00	
12SEP17	B 3 PD12DEC17	3064.50	3266.64	USD	15,160.50	
12SEP17	B 1 PD12DEC17	3062.00	3266.64	USD	5,116.00	
12SEP17	B 3 PD12DEC17	3063.00	3266.64	USD	15,273.00	
12SEP17	B 2 PD12DEC17	3061.00	3266.64	USD	10,282.00	
12SEP17	B 1 PD12DEC17	3059.50	3266.64	USD	5,178.50	
12SEP17	B 1 PD12DEC17	3068.50	3266.64	USD	4,953.50	
12SEP17	B 5 PD12DEC17	3069.50	3266.64	USD	24,642.50	
12SEP17	S 1 PD12DEC17	3054.50	3266.64	USD	-5,303.50	
12SEP17	B 3 PD12DEC17	3063.50	3266.64	USD	15,235.50	
12SEP17	B 2 PD12DEC17	3065.00	3266.64	USD	10,082.00	
12SEP17	B 2 PD12DEC17	3064.00	3266.64	USD	10,132.00	
14SEP17	S 9 PD12DEC17	3005.51	3266.64	USD	-58,754.25	
	NET +5 AVG BGHT 3071.68 SOLD 3063.23				**15,294.75**	**0.00**
13SEP17	S 2 PD13DEC17	3052.00	3266.75	USD	-10,737.50	
13SEP17	S 3 PD13DEC17	3048.50	3266.75	USD	-16,368.75	
13SEP17	S 1 PD13DEC17	3050.50	3266.75	USD	-5,406.25	
13SEP17	S 1 PD13DEC17	3053.50	3266.75	USD	-5,331.25	
13SEP17	S 3 PD13DEC17	3053.00	3266.75	USD	-16,031.25	
13SEP17	S 1 PD13DEC17	3063.00	3266.75	USD	-5,093.75	
13SEP17	B 1 PD13DEC17	3051.00	3266.75	USD	5,393.75	
13SEP17	S 1 PD13DEC17	3057.00	3266.75	USD	-5,243.75	
13SEP17	S 1 PD13DEC17	3056.00	3266.75	USD	-5,268.75	
13SEP17	S 1 PD13DEC17	3055.00	3266.75	USD	-5,293.75	
13SEP17	S 2 PD13DEC17	3058.00	3266.75	USD	-10,437.50	
13SEP17	B 1 PD13DEC17	3052.00	3266.75	USD	5,368.75	
13SEP17	B 2 PD13DEC17	3049.50	3266.75	USD	10,862.50	
13SEP17	B 1 PD13DEC17	3044.50	3266.75	USD	5,556.25	
13SEP17	B 1 PD13DEC17	3047.50	3266.75	USD	5,481.25	
13SEP17	B 1 PD13DEC17	3050.50	3266.75	USD	5,406.25	
13SEP17	B 2 PD13DEC17	3046.00	3266.75	USD	11,037.50	
13SEP17	B 1 PD13DEC17	3045.00	3266.75	USD	5,543.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083XA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
13SEP17	B	2	PD13DEC17	3043.50	3266.75	USD	11,162.50	
13SEP17	S	2	PD13DEC17	3053.00	3266.75	USD	-10,687.50	
13SEP17	B	1	PD13DEC17	3037.00	3266.75	USD	5,743.75	
13SEP17	B	1	PD13DEC17	3036.50	3266.75	USD	5,756.25	
13SEP17	B	1	PD13DEC17	3030.00	3266.75	USD	5,918.75	
13SEP17	B	2	PD13DEC17	3028.50	3266.75	USD	11,912.50	
13SEP17	B	1	PD13DEC17	3022.50	3266.75	USD	6,106.25	
13SEP17	B	1	PD13DEC17	3022.00	3266.75	USD	6,118.75	
13SEP17	S	1	PD13DEC17	3024.50	3266.75	USD	-6,056.25	
	NET	**0**	**AVG BGHT 3040.71**	**SOLD 3052.11**			**5,412.50**	**0.00**
14SEP17	B	1	PD14DEC17	3036.00	3266.86	USD	5,771.50	
14SEP17	S	1	PD14DEC17	3035.00	3266.86	USD	-5,796.50	
14SEP17	B	1	PD14DEC17	3021.00	3266.86	USD	6,146.50	
14SEP17	S	1	PD14DEC17	3014.50	3266.86	USD	-6,309.00	
14SEP17	S	1	PD14DEC17	3015.00	3266.86	USD	-6,296.50	
14SEP17	S	1	PD14DEC17	3017.00	3266.86	USD	-6,246.50	
14SEP17	S	1	PD14DEC17	3015.50	3266.86	USD	-6,284.00	
14SEP17	B	3	PD14DEC17	3012.50	3266.86	USD	19,077.00	
14SEP17	B	1	PD14DEC17	3005.00	3266.86	USD	6,546.50	
14SEP17	B	1	PD14DEC17	2999.00	3266.86	USD	6,696.50	
14SEP17	B	1	PD14DEC17	2998.50	3266.86	USD	6,709.00	
14SEP17	B	2	PD14DEC17	2992.00	3266.86	USD	13,743.00	
14SEP17	S	1	PD14DEC17	3007.00	3266.86	USD	-6,496.50	
14SEP17	S	1	PD14DEC17	3004.00	3266.86	USD	-6,571.50	
14SEP17	B	1	PD14DEC17	2989.00	3266.86	USD	6,946.50	
14SEP17	B	1	PD14DEC17	2988.50	3266.86	USD	6,959.00	
14SEP17	S	1	PD14DEC17	2989.50	3266.86	USD	-6,934.00	
14SEP17	S	2	PD14DEC17	2990.00	3266.86	USD	-13,843.00	
14SEP17	S	1	PD14DEC17	2987.50	3266.86	USD	-6,984.00	
14SEP17	S	2	PD14DEC17	2994.50	3266.86	USD	-13,618.00	
14SEP17	S	2	PD14DEC17	3005.00	3266.86	USD	-13,093.00	
14SEP17	S	1	PD14DEC17	3006.00	3266.86	USD	-6,521.50	
14SEP17	S	1	PD14DEC17	3007.50	3266.86	USD	-6,484.00	
14SEP17	S	1	PD14DEC17	3008.50	3266.86	USD	-6,459.00	
14SEP17	S	1	PD14DEC17	3009.50	3266.86	USD	-6,434.00	
14SEP17	S	3	PD14DEC17	3016.00	3266.86	USD	-18,814.50	
14SEP17	S	1	PD14DEC17	3011.50	3266.86	USD	-6,384.00	
14SEP17	B	3	PD14DEC17	3000.00	3266.86	USD	20,014.50	
14SEP17	B	1	PD14DEC17	3004.50	3266.86	USD	6,559.00	
14SEP17	S	2	PD14DEC17	3004.50	3266.86	USD	-13,118.00	
	NET	**-9**	**AVG BGHT 3003.94**	**SOLD 3006.56**			**-57,518.50**	**0.00**
15SEP17	B	1	PD15DEC17	3023.00	3266.96	USD	6,099.00	
15SEP17	S	1	PD15DEC17	3012.00	3266.96	USD	-6,374.00	
15SEP17	B	1	PD15DEC17	2992.50	3266.96	USD	6,861.50	
15SEP17	S	1	PD15DEC17	3032.00	3266.96	USD	-5,874.00	
15SEP17	B	1	PD15DEC17	3032.00	3266.96	USD	5,874.00	
15SEP17	S	1	PD15DEC17	3030.00	3266.96	USD	-5,924.00	
15SEP17	B	1	PD15DEC17	3031.50	3266.96	USD	5,886.50	
15SEP17	S	1	PD15DEC17	3031.50	3266.96	USD	-5,886.50	
	NET	**0**	**AVG BGHT 3019.75**	**SOLD 3026.38**			**662.50**	**0.00**
18SEP17	B	1	PD18DEC17	3075.50	3267.29	USD	4,794.75	
18SEP17	B	1	PD18DEC17	3076.00	3267.29	USD	4,782.25	
18SEP17	S	1	PD18DEC17	3078.50	3267.29	USD	-4,719.75	
18SEP17	B	1	PD18DEC17	3066.00	3267.29	USD	5,032.25	
18SEP17	B	1	PD18DEC17	3064.00	3267.29	USD	5,082.25	
18SEP17	S	1	PD18DEC17	3076.00	3267.29	USD	-4,782.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083XA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
18SEP17	S 1 PD18DEC17	3084.00	3267.29	USD	-4,582.25	
18SEP17	S 1 PD18DEC17	3080.50	3267.29	USD	-4,669.75	
18SEP17	S 2 PD18DEC17	3080.00	3267.29	USD	-9,364.50	
18SEP17	S 2 PD18DEC17	3081.00	3267.29	USD	-9,314.50	
18SEP17	S 1 PD18DEC17	3090.50	3267.29	USD	-4,419.75	
18SEP17	B 1 PD18DEC17	3073.00	3267.29	USD	4,857.25	
18SEP17	B 1 PD18DEC17	3072.50	3267.29	USD	4,869.75	
18SEP17	S 2 PD18DEC17	3089.50	3267.29	USD	-8,889.50	
18SEP17	S 1 PD18DEC17	3087.50	3267.29	USD	-4,494.75	
18SEP17	S 3 PD18DEC17	3087.00	3267.29	USD	-13,521.75	
18SEP17	S 1 PD18DEC17	3086.00	3267.29	USD	-4,532.25	
18SEP17	S 1 PD18DEC17	3086.50	3267.29	USD	-4,519.75	
18SEP17	S 5 PD18DEC17	3088.00	3267.29	USD	-22,411.25	
18SEP17	B 2 PD18DEC17	3071.50	3267.29	USD	9,789.50	
18SEP17	B 1 PD18DEC17	3071.00	3267.29	USD	4,907.25	
18SEP17	B 2 PD18DEC17	3074.00	3267.29	USD	9,664.50	
18SEP17	B 1 PD18DEC17	3063.50	3267.29	USD	5,094.75	
18SEP17	S 2 PD18DEC17	3079.00	3267.29	USD	-9,414.50	
18SEP17	S 1 PD18DEC17	3082.50	3267.29	USD	-4,619.75	
18SEP17	S 4 PD18DEC17	3092.00	3267.29	USD	-17,529.00	
18SEP17	S 1 PD18DEC17	3093.00	3267.29	USD	-4,357.25	
18SEP17	S 1 PD18DEC17	3094.00	3267.29	USD	-4,332.25	
18SEP17	S 1 PD18DEC17	3093.50	3267.29	USD	-4,344.75	
18SEP17	S 1 PD18DEC17	3095.00	3267.29	USD	-4,307.25	
18SEP17	S 1 PD18DEC17	3096.50	3267.29	USD	-4,269.75	
18SEP17	S 1 PD18DEC17	3100.50	3267.29	USD	-4,169.75	
18SEP17	S 1 PD18DEC17	3100.00	3267.29	USD	-4,182.25	
18SEP17	S 1 PD18DEC17	3102.00	3267.29	USD	-4,132.25	
18SEP17	S 1 PD18DEC17	3103.00	3267.29	USD	-4,107.25	
18SEP17	S 1 PD18DEC17	3098.50	3267.29	USD	-4,219.75	
18SEP17	B 2 PD18DEC17	3099.50	3267.29	USD	8,389.50	
18SEP17	B 1 PD18DEC17	3105.00	3267.29	USD	4,057.25	
18SEP17	B 1 PD18DEC17	3110.50	3267.29	USD	3,919.75	
18SEP17	B 2 PD18DEC17	3111.50	3267.29	USD	7,789.50	
18SEP17	B 3 PD18DEC17	3111.00	3267.29	USD	11,721.75	
18SEP17	B 1 PD18DEC17	3099.00	3267.29	USD	4,207.25	
18SEP17	B 1 PD18DEC17	3111.00	3267.29	USD	3,907.25	
	NET -16 AVG BGHT 3088.39 SOLD 3088.62				**-71,341.00**	**0.00**
19SEP17	S 2 PD19DEC17	3102.00	3267.39	USD	-8,269.50	
19SEP17	S 2 PD19DEC17	3104.50	3267.39	USD	-8,144.50	
19SEP17	B 1 PD19DEC17	3101.00	3267.39	USD	4,159.75	
19SEP17	S 1 PD19DEC17	3106.00	3267.39	USD	-4,034.75	
19SEP17	S 1 PD19DEC17	3107.50	3267.39	USD	-3,997.25	
19SEP17	B 1 PD19DEC17	3091.50	3267.39	USD	4,397.25	
19SEP17	B 3 PD19DEC17	3095.00	3267.39	USD	12,929.25	
19SEP17	B 1 PD19DEC17	3094.50	3267.39	USD	4,322.25	
19SEP17	B 2 PD19DEC17	3091.00	3267.39	USD	8,819.50	
19SEP17	S 2 PD19DEC17	3095.00	3267.39	USD	-8,619.50	
19SEP17	B 3 PD19DEC17	3096.00	3267.39	USD	12,854.25	
19SEP17	B 4 PD19DEC17	3095.50	3267.39	USD	17,189.00	
19SEP17	S 1 PD19DEC17	3094.00	3267.39	USD	-4,334.75	
19SEP17	B 3 PD19DEC17	3100.00	3267.39	USD	12,554.25	
19SEP17	B 1 PD19DEC17	3098.50	3267.39	USD	4,222.25	
19SEP17	B 1 PD19DEC17	3092.00	3267.39	USD	4,384.75	
19SEP17	S 1 PD19DEC17	3109.50	3267.39	USD	-3,947.25	
19SEP17	S 1 PD19DEC17	3105.00	3267.39	USD	-4,059.75	
19SEP17	B 2 PD19DEC17	3092.50	3267.39	USD	8,744.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083XA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
19SEP17	S 1 PD19DEC17	3094.50	3267.39	USD	-4,322.25	
19SEP17	B 1 PD19DEC17	3083.00	3267.39	USD	4,609.75	
19SEP17	S 1 PD19DEC17	3083.00	3267.39	USD	-4,609.75	
19SEP17	B 1 PD19DEC17	3080.00	3267.39	USD	4,684.75	
19SEP17	B 3 PD19DEC17	3069.00	3267.39	USD	14,879.25	
19SEP17	B 1 PD19DEC17	3075.00	3267.39	USD	4,809.75	
19SEP17	B 1 PD19DEC17	3076.00	3267.39	USD	4,784.75	
19SEP17	S 1 PD19DEC17	3075.00	3267.39	USD	-4,809.75	
19SEP17	B 2 PD19DEC17	3099.50	3267.39	USD	8,394.50	
19SEP17	S 1 PD19DEC17	3110.00	3267.39	USD	-3,934.75	
19SEP17	S 2 PD19DEC17	3109.50	3267.39	USD	-7,894.50	
19SEP17	S 1 PD19DEC17	3112.50	3267.39	USD	-3,872.25	
19SEP17	S 1 PD19DEC17	3101.50	3267.39	USD	-4,147.25	
19SEP17	S 1 PD19DEC17	3105.50	3267.39	USD	-4,047.25	
19SEP17	S 2 PD19DEC17	3108.00	3267.39	USD	-7,969.50	
19SEP17	S 1 PD19DEC17	3110.50	3267.39	USD	-3,922.25	
19SEP17	B 3 PD19DEC17	3111.00	3267.39	USD	11,729.25	
19SEP17	S 3 PD19DEC17	3111.00	3267.39	USD	-11,729.25	
	NET +8 AVG BGHT 3092.72 SOLD 3103.29				**41,803.00**	**0.00**
20SEP17	B 2 PD20DEC17	3102.00	3267.50	USD	8,275.00	
20SEP17	B 1 PD20DEC17	3099.50	3267.50	USD	4,200.00	
20SEP17	S 1 PD20DEC17	3112.00	3267.50	USD	-3,887.50	
20SEP17	B 1 PD20DEC17	3120.00	3267.50	USD	3,687.50	
20SEP17	S 2 PD20DEC17	3127.00	3267.50	USD	-7,025.00	
20SEP17	S 1 PD20DEC17	3131.00	3267.50	USD	-3,412.50	
20SEP17	S 2 PD20DEC17	3132.00	3267.50	USD	-6,775.00	
20SEP17	S 3 PD20DEC17	3129.00	3267.50	USD	-10,387.50	
20SEP17	B 1 PD20DEC17	3127.00	3267.50	USD	3,512.50	
20SEP17	S 1 PD20DEC17	3141.50	3267.50	USD	-3,150.00	
20SEP17	S 1 PD20DEC17	3142.50	3267.50	USD	-3,125.00	
20SEP17	S 1 PD20DEC17	3143.50	3267.50	USD	-3,100.00	
20SEP17	B 2 PD20DEC17	3100.00	3267.50	USD	8,375.00	
20SEP17	B 1 PD20DEC17	3151.00	3267.50	USD	2,912.50	
20SEP17	S 3 PD20DEC17	3150.50	3267.50	USD	-8,775.00	
20SEP17	B 2 PD20DEC17	3136.50	3267.50	USD	6,550.00	
20SEP17	B 1 PD20DEC17	3141.50	3267.50	USD	3,150.00	
20SEP17	B 1 PD20DEC17	3143.00	3267.50	USD	3,112.50	
20SEP17	B 1 PD20DEC17	3140.00	3267.50	USD	3,187.50	
20SEP17	B 2 PD20DEC17	3137.50	3267.50	USD	6,500.00	
20SEP17	B 2 PD20DEC17	3137.00	3267.50	USD	6,525.00	
20SEP17	B 1 PD20DEC17	3135.50	3267.50	USD	3,300.00	
20SEP17	B 2 PD20DEC17	3136.00	3267.50	USD	6,575.00	
20SEP17	B 1 PD20DEC17	3134.50	3267.50	USD	3,325.00	
20SEP17	B 1 PD20DEC17	3131.00	3267.50	USD	3,412.50	
	NET +7 AVG BGHT 3128.23 SOLD 3135.13				**26,962.50**	**0.00**
21SEP17	B 1 PD21DEC17	3099.50	3264.00	USD	4,112.50	
21SEP17	S 1 PD21DEC17	3096.00	3264.00	USD	-4,200.00	
21SEP17	S 1 PD21DEC17	3090.00	3264.00	USD	-4,350.00	
21SEP17	S 1 PD21DEC17	3090.50	3264.00	USD	-4,337.50	
21SEP17	S 1 PD21DEC17	3075.50	3264.00	USD	-4,712.50	
21SEP17	S 1 PD21DEC17	3083.00	3264.00	USD	-4,525.00	
21SEP17	S 1 PD21DEC17	3078.00	3264.00	USD	-4,650.00	
21SEP17	S 1 PD21DEC17	3099.00	3264.00	USD	-4,125.00	
21SEP17	S 1 PD21DEC17	3096.00	3264.00	USD	-4,200.00	
21SEP17	B 1 PD21DEC17	3072.50	3264.00	USD	4,787.50	
21SEP17	B 2 PD21DEC17	3080.00	3264.00	USD	9,200.00	
21SEP17	B 1 PD21DEC17	3108.50	3264.00	USD	3,887.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083XA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
21SEP17	S 2 PD21DEC17	3106.00	3264.00	USD	-7,900.00	
21SEP17	S 1 PD21DEC17	3106.50	3264.00	USD	-3,937.50	
21SEP17	S 1 PD21DEC17	3108.50	3264.00	USD	-3,887.50	
21SEP17	B 1 PD21DEC17	3110.00	3264.00	USD	3,850.00	
21SEP17	B 1 PD21DEC17	3104.50	3264.00	USD	3,987.50	
21SEP17	B 1 PD21DEC17	3081.00	3264.00	USD	4,575.00	
21SEP17	B 1 PD21DEC17	3079.00	3264.00	USD	4,625.00	
21SEP17	B 2 PD21DEC17	3078.00	3264.00	USD	9,300.00	
21SEP17	B 1 PD21DEC17	3069.00	3264.00	USD	4,875.00	
21SEP17	B 1 PD21DEC17	3066.00	3264.00	USD	4,950.00	
	NET +1 AVG BGHT 3085.08 SOLD 3094.58				**7,325.00**	**0.00**
22SEP17	B 1 PD22DEC17	3004.00	3264.00	USD	6,500.00	
22SEP17	S 1 PD22DEC17	3012.50	3264.00	USD	-6,287.50	
22SEP17	S 2 PD22DEC17	3075.00	3264.00	USD	-9,450.00	
22SEP17	B 1 PD22DEC17	3057.00	3264.00	USD	5,175.00	
22SEP17	B 1 PD22DEC17	3052.50	3264.00	USD	5,287.50	
22SEP17	B 1 PD22DEC17	3053.00	3264.00	USD	5,275.00	
22SEP17	B 1 PD22DEC17	3047.00	3264.00	USD	5,425.00	
22SEP17	B 1 PD22DEC17	3016.00	3264.00	USD	6,200.00	
22SEP17	S 1 PD22DEC17	3010.00	3264.00	USD	-6,350.00	
22SEP17	B 1 PD22DEC17	3026.50	3264.00	USD	5,937.50	
22SEP17	B 1 PD22DEC17	3031.00	3264.00	USD	5,825.00	
22SEP17	B 1 PD22DEC17	3046.00	3264.00	USD	5,450.00	
22SEP17	S 1 PD22DEC17	3052.50	3264.00	USD	-5,287.50	
22SEP17	S 2 PD22DEC17	3051.85	3264.00	USD	-10,607.50	
22SEP17	B 2 PD22DEC17	3067.00	3264.00	USD	9,850.00	
22SEP17	S 2 PD22DEC17	3027.00	3264.00	USD	-11,850.00	
	NET +2 AVG BGHT 3042.45 SOLD 3042.52				**11,092.50**	**0.00**
25SEP17	S 2 PD27DEC17	3089.50	3264.00	USD	-8,725.00	
25SEP17	S 1 PD27DEC17	3071.00	3264.00	USD	-4,825.00	
25SEP17	S 1 PD27DEC17	3074.50	3264.00	USD	-4,737.50	
25SEP17	S 1 PD27DEC17	3075.50	3264.00	USD	-4,712.50	
25SEP17	S 1 PD27DEC17	3090.00	3264.00	USD	-4,350.00	
25SEP17	S 1 PD27DEC17	3087.50	3264.00	USD	-4,412.50	
25SEP17	S 2 PD27DEC17	3089.00	3264.00	USD	-8,750.00	
25SEP17	S 1 PD27DEC17	3083.00	3264.00	USD	-4,525.00	
25SEP17	S 1 PD27DEC17	3083.50	3264.00	USD	-4,512.50	
25SEP17	S 1 PD27DEC17	3088.00	3264.00	USD	-4,400.00	
25SEP17	S 2 PD27DEC17	3095.00	3264.00	USD	-8,450.00	
25SEP17	S 1 PD27DEC17	3095.50	3264.00	USD	-4,212.50	
25SEP17	S 1 PD27DEC17	3096.50	3264.00	USD	-4,187.50	
25SEP17	S 1 PD27DEC17	3088.00	3264.00	USD	-4,400.00	
25SEP17	S 1 PD27DEC17	3090.00	3264.00	USD	-4,350.00	
25SEP17	S 1 PD27DEC17	3091.50	3264.00	USD	-4,312.50	
25SEP17	S 1 PD27DEC17	3105.00	3264.00	USD	-3,975.00	
25SEP17	S 2 PD27DEC17	3102.50	3264.00	USD	-8,075.00	
25SEP17	S 2 PD27DEC17	3096.00	3264.00	USD	-8,400.00	
25SEP17	B 2 PD27DEC17	3084.50	3264.00	USD	8,975.00	
25SEP17	B 1 PD27DEC17	3085.00	3264.00	USD	4,475.00	
25SEP17	B 1 PD27DEC17	3081.00	3264.00	USD	4,575.00	
25SEP17	B 1 PD27DEC17	3078.00	3264.00	USD	4,650.00	
25SEP17	B 1 PD27DEC17	3079.50	3264.00	USD	4,612.50	
25SEP17	S 1 PD27DEC17	3101.50	3264.00	USD	-4,062.50	
25SEP17	S 1 PD27DEC17	3099.00	3264.00	USD	-4,125.00	
25SEP17	S 2 PD27DEC17	3098.00	3264.00	USD	-8,300.00	
25SEP17	S 2 PD27DEC17	3091.50	3264.00	USD	-8,625.00	
25SEP17	S 1 PD27DEC17	3094.00	3264.00	USD	-4,250.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083XA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
25SEP17	S 1 PD27DEC17	3093.50	3264.00	USD	-4,262.50	
25SEP17	B 1 PD27DEC17	3097.00	3264.00	USD	4,175.00	
25SEP17	S 2 PD27DEC17	3097.50	3264.00	USD	-8,325.00	
25SEP17	B 1 PD27DEC17	3098.50	3264.00	USD	4,137.50	
25SEP17	B 2 PD27DEC17	3101.00	3264.00	USD	8,150.00	
25SEP17	B 1 PD27DEC17	3099.50	3264.00	USD	4,112.50	
25SEP17	B 1 PD27DEC17	3099.00	3264.00	USD	4,125.00	
25SEP17	B 1 PD27DEC17	3105.00	3264.00	USD	3,975.00	
25SEP17	B 1 PD27DEC17	3105.50	3264.00	USD	3,962.50	
25SEP17	B 2 PD27DEC17	3106.00	3264.00	USD	7,900.00	
25SEP17	B 1 PD27DEC17	3106.50	3264.00	USD	3,937.50	
25SEP17	B 2 PD27DEC17	3109.50	3264.00	USD	7,725.00	
25SEP17	B 2 PD27DEC17	3108.50	3264.00	USD	7,775.00	
25SEP17	B 1 PD27DEC17	3112.50	3264.00	USD	3,787.50	
25SEP17	B 1 PD27DEC17	3113.50	3264.00	USD	3,762.50	
25SEP17	B 1 PD27DEC17	3114.00	3264.00	USD	3,750.00	
25SEP17	B 1 PD27DEC17	3114.50	3264.00	USD	3,737.50	
25SEP17	B 1 PD27DEC17	3115.00	3264.00	USD	3,725.00	
25SEP17	B 1 PD27DEC17	3113.50	3264.00	USD	3,762.50	
25SEP17	B 1 PD27DEC17	3113.00	3264.00	USD	3,775.00	
26SEP17	S 1 PD27DEC17	3138.50	3264.00	USD	-3,137.50	
26SEP17	S 1 PD27DEC17	3131.50	3264.00	USD	-3,312.50	
26SEP17	B 1 PD27DEC17	3136.50	3264.00	USD	3,187.50	
26SEP17	S 1 PD27DEC17	3141.00	3264.00	USD	-3,075.00	
26SEP17	S 1 PD27DEC17	3149.50	3264.00	USD	-2,862.50	
26SEP17	B 1 PD27DEC17	3148.00	3264.00	USD	2,900.00	
26SEP17	B 2 PD27DEC17	3134.50	3264.00	USD	6,475.00	
26SEP17	B 1 PD27DEC17	3131.50	3264.00	USD	3,312.50	
26SEP17	B 2 PD27DEC17	3138.00	3264.00	USD	6,300.00	
26SEP17	S 1 PD27DEC17	3146.50	3264.00	USD	-2,937.50	
26SEP17	S 1 PD27DEC17	3142.00	3264.00	USD	-3,050.00	
26SEP17	S 1 PD27DEC17	3145.00	3264.00	USD	-2,975.00	
26SEP17	S 1 PD27DEC17	3144.50	3264.00	USD	-2,987.50	
26SEP17	S 1 PD27DEC17	3147.00	3264.00	USD	-2,925.00	
26SEP17	S 1 PD27DEC17	3145.50	3264.00	USD	-2,962.50	
26SEP17	B 1 PD27DEC17	3140.50	3264.00	USD	3,087.50	
26SEP17	B 4 PD27DEC17	3132.50	3264.00	USD	13,150.00	
26SEP17	B 1 PD27DEC17	3129.00	3264.00	USD	3,375.00	
26SEP17	B 1 PD27DEC17	3116.00	3264.00	USD	3,700.00	
26SEP17	S 1 PD27DEC17	3145.50	3264.00	USD	-2,962.50	
26SEP17	B 2 PD27DEC17	3122.00	3264.00	USD	7,100.00	
26SEP17	B 1 PD27DEC17	3121.00	3264.00	USD	3,575.00	
26SEP17	S 1 PD27DEC17	3124.50	3264.00	USD	-3,487.50	
26SEP17	S 1 PD27DEC17	3125.50	3264.00	USD	-3,462.50	
26SEP17	S 1 PD27DEC17	3125.00	3264.00	USD	-3,475.00	
26SEP17	S 1 PD27DEC17	3115.00	3264.00	USD	-3,725.00	
26SEP17	S 1 PD27DEC17	3114.50	3264.00	USD	-3,737.50	
26SEP17	B 2 PD27DEC17	3111.00	3264.00	USD	7,650.00	
26SEP17	S 1 PD27DEC17	3116.50	3264.00	USD	-3,687.50	
26SEP17	S 1 PD27DEC17	3113.50	3264.00	USD	-3,762.50	
26SEP17	S 1 PD27DEC17	3106.00	3264.00	USD	-3,950.00	
26SEP17	B 1 PD27DEC17	3113.00	3264.00	USD	3,775.00	
26SEP17	S 2 PD27DEC17	3132.00	3264.00	USD	-6,600.00	
26SEP17	S 2 PD27DEC17	3133.50	3264.00	USD	-6,525.00	
26SEP17	B 1 PD27DEC17	3128.50	3264.00	USD	3,387.50	
26SEP17	B 1 PD27DEC17	3128.00	3264.00	USD	3,400.00	
26SEP17	B 1 PD27DEC17	3125.00	3264.00	USD	3,475.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083XA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
26SEP17	B 1 PD27DEC17	3120.00	3264.00	USD	3,600.00	
26SEP17	B 1 PD27DEC17	3115.00	3264.00	USD	3,725.00	
26SEP17	B 3 PD27DEC17	3110.00	3264.00	USD	11,550.00	
26SEP17	B 1 PD27DEC17	3112.50	3264.00	USD	3,787.50	
26SEP17	B 1 PD27DEC17	3111.50	3264.00	USD	3,812.50	
26SEP17	S 1 PD27DEC17	3111.50	3264.00	USD	-3,812.50	
26SEP17	S 1 PD27DEC17	3109.50	3264.00	USD	-3,862.50	
26SEP17	S 3 PD27DEC17	3110.00	3264.00	USD	-11,550.00	
26SEP17	B 2 PD27DEC17	3113.50	3264.00	USD	7,525.00	
26SEP17	S 1 PD27DEC17	3113.00	3264.00	USD	-3,775.00	
27SEP17	S 2 PD27DEC17	3116.50	3264.00	USD	-7,375.00	
27SEP17	B 1 PD27DEC17	3116.50	3264.00	USD	3,687.50	
27SEP17	B 3 PD27DEC17	3117.00	3264.00	USD	11,025.00	
27SEP17	S 1 PD27DEC17	3144.00	3264.00	USD	-3,000.00	
27SEP17	S 3 PD27DEC17	3139.50	3264.00	USD	-9,337.50	
27SEP17	B 1 PD27DEC17	3136.50	3264.00	USD	3,187.50	
27SEP17	B 1 PD27DEC17	3134.50	3264.00	USD	3,237.50	
27SEP17	B 1 PD27DEC17	3131.00	3264.00	USD	3,325.00	
27SEP17	B 2 PD27DEC17	3126.00	3264.00	USD	6,900.00	
27SEP17	B 1 PD27DEC17	3126.50	3264.00	USD	3,437.50	
27SEP17	B 1 PD27DEC17	3124.50	3264.00	USD	3,487.50	
27SEP17	S 1 PD27DEC17	3122.00	3264.00	USD	-3,550.00	
27SEP17	S 2 PD27DEC17	3119.50	3264.00	USD	-7,225.00	
27SEP17	S 1 PD27DEC17	3114.50	3264.00	USD	-3,737.50	
27SEP17	B 1 PD27DEC17	3110.00	3264.00	USD	3,850.00	
27SEP17	B 1 PD27DEC17	3098.00	3264.00	USD	4,150.00	
27SEP17	S 1 PD27DEC17	3102.00	3264.00	USD	-4,050.00	
27SEP17	B 1 PD27DEC17	3105.00	3264.00	USD	3,975.00	
27SEP17	S 1 PD27DEC17	3104.50	3264.00	USD	-3,987.50	
27SEP17	B 1 PD27DEC17	3120.00	3264.00	USD	3,600.00	
27SEP17	B 1 PD27DEC17	3103.00	3264.00	USD	4,025.00	
	NET +1 AVG BGHT 3114.89 SOLD 3110.87				**-3,825.00**	**0.00**
28SEP17	B 1 PD28DEC17	3114.00	3264.00	USD	3,750.00	
28SEP17	B 1 PD28DEC17	3112.00	3264.00	USD	3,800.00	
28SEP17	S 3 PD28DEC17	3106.50	3264.00	USD	-11,812.50	
28SEP17	S 1 PD28DEC17	3105.50	3264.00	USD	-3,962.50	
28SEP17	S 1 PD28DEC17	3104.50	3264.00	USD	-3,987.50	
	NET -3 AVG BGHT 3113.00 SOLD 3105.90				**-12,212.50**	**0.00**
29SEP17	B 1 PD29DEC17	3148.50	3264.00	USD	2,887.50	
29SEP17	B 1 PD29DEC17	3143.00	3264.00	USD	3,025.00	
29SEP17	S 1 PD29DEC17	3161.50	3264.00	USD	-2,562.50	
29SEP17	S 1 PD29DEC17	3162.00	3264.00	USD	-2,550.00	
29SEP17	S 2 PD29DEC17	3150.00	3264.00	USD	-5,700.00	
29SEP17	S 1 PD29DEC17	3149.00	3264.00	USD	-2,875.00	
29SEP17	S 1 PD29DEC17	3148.00	3264.00	USD	-2,900.00	
29SEP17	S 1 PD29DEC17	3163.00	3264.00	USD	-2,525.00	
29SEP17	S 1 PD29DEC17	3160.50	3264.00	USD	-2,587.50	
29SEP17	S 1 PD29DEC17	3168.00	3264.00	USD	-2,400.00	
29SEP17	S 3 PD29DEC17	3167.00	3264.00	USD	-7,275.00	
29SEP17	S 1 PD29DEC17	3164.00	3264.00	USD	-2,500.00	
29SEP17	B 1 PD29DEC17	3152.00	3264.00	USD	2,800.00	
29SEP17	S 2 PD29DEC17	3148.50	3264.00	USD	-5,775.00	
29SEP17	S 1 PD29DEC17	3166.50	3264.00	USD	-2,437.50	
29SEP17	S 1 PD29DEC17	3166.00	3264.00	USD	-2,450.00	
29SEP17	B 2 PD29DEC17	3151.50	3264.00	USD	5,625.00	
29SEP17	B 4 PD29DEC17	3149.15	3264.00	USD	11,485.00	
29SEP17	S 2 PD29DEC17	3153.85	3264.00	USD	-5,507.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083XA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
29SEP17	S 1 PD29DEC17	3157.00	3264.00	USD	-2,675.00	
	NET -11 AVG BGHT 3149.23 SOLD 3158.56				**-26,897.50**	**0.00**
03OCT17	S 1 PD03JAN18	3229.50	3264.00	USD	-862.50	
03OCT17	S 1 PD03JAN18	3229.00	3264.00	USD	-875.00	
03OCT17	S 1 PD03JAN18	3228.50	3264.00	USD	-887.50	
03OCT17	S 2 PD03JAN18	3227.50	3264.00	USD	-1,825.00	
03OCT17	S 1 PD03JAN18	3228.00	3264.00	USD	-900.00	
03OCT17	S 1 PD03JAN18	3224.00	3264.00	USD	-1,000.00	
03OCT17	S 2 PD03JAN18	3235.00	3264.00	USD	-1,450.00	
03OCT17	S 1 PD03JAN18	3234.50	3264.00	USD	-737.50	
03OCT17	S 1 PD03JAN18	3240.50	3264.00	USD	-587.50	
03OCT17	S 2 PD03JAN18	3237.00	3264.00	USD	-1,350.00	
03OCT17	S 1 PD03JAN18	3241.50	3264.00	USD	-562.50	
03OCT17	S 2 PD03JAN18	3241.00	3264.00	USD	-1,150.00	
03OCT17	B 1 PD03JAN18	3241.00	3264.00	USD	575.00	
03OCT17	S 1 PD03JAN18	3243.00	3264.00	USD	-525.00	
03OCT17	S 1 PD03JAN18	3246.50	3264.00	USD	-437.50	
03OCT17	S 1 PD03JAN18	3253.00	3264.00	USD	-275.00	
03OCT17	B 1 PD03JAN18	3255.00	3264.00	USD	225.00	
03OCT17	B 1 PD03JAN18	3261.00	3264.00	USD	75.00	
03OCT17	S 1 PD03JAN18	3261.00	3264.00	USD	-75.00	
03OCT17	B 1 PD03JAN18	3258.50	3264.00	USD	137.50	
03OCT17	B 1 PD03JAN18	3259.50	3264.00	USD	112.50	
03OCT17	S 1 PD03JAN18	3268.00	3264.00	USD	100.00	
03OCT17	S 1 PD03JAN18	3225.50	3264.00	USD	-962.50	
03OCT17	S 1 PD03JAN18	3217.00	3264.00	USD	-1,175.00	
03OCT17	S 1 PD03JAN18	3241.50	3264.00	USD	-562.50	
03OCT17	S 1 PD03JAN18	3244.50	3264.00	USD	-487.50	
03OCT17	S 1 PD03JAN18	3254.50	3264.00	USD	-237.50	
03OCT17	B 1 PD03JAN18	3286.50	3264.00	USD	-562.50	
03OCT17	B 1 PD03JAN18	3289.50	3264.00	USD	-637.50	
03OCT17	B 1 PD03JAN18	3288.00	3264.00	USD	-600.00	
03OCT17	B 1 PD03JAN18	3286.00	3264.00	USD	-550.00	
03OCT17	B 1 PD03JAN18	3274.00	3264.00	USD	-250.00	
03OCT17	B 1 PD03JAN18	3287.00	3264.00	USD	-575.00	
03OCT17	B 1 PD03JAN18	3287.50	3264.00	USD	-587.50	
03OCT17	B 1 PD03JAN18	3291.00	3264.00	USD	-675.00	
03OCT17	B 1 PD03JAN18	3289.00	3264.00	USD	-625.00	
03OCT17	B 1 PD03JAN18	3274.50	3264.00	USD	-262.50	
03OCT17	B 3 PD03JAN18	3262.00	3264.00	USD	150.00	
03OCT17	B 1 PD03JAN18	3264.50	3264.00	USD	-12.50	
03OCT17	B 3 PD03JAN18	3264.00	3264.00	USD	0.00	
03OCT17	B 2 PD03JAN18	3270.00	3264.00	USD	-300.00	
03OCT17	B 1 PD03JAN18	3278.00	3264.00	USD	-350.00	
03OCT17	B 10 PD03JAN18	3264.20	3264.00	USD	-50.00	
03OCT17	B 1 PD03JAN18	3270.50	3264.00	USD	-162.50	
	NET +10 AVG BGHT 3269.47 SOLD 3238.12				**-21,750.00**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083XA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	-25,336.75
NYM Light Sweet Crude Oil	FUTURES	100,860.00
LME Copper Grade A 25	FUTURES	-1,814.25
LME Lead 25 tonnes. This is an	FUTURES	18,410.50
LME Nickel 6 tonnes. This is	FUTURES	-28,353.06
CMX Silver	FUTURES	-84,375.00
LME Zinc 25 tonnes. This is	FUTURES	1,019,290.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options			Amount	Profit / Loss	**Total Amount**	**Total P&L**
COIL	- Futures	USD	20.00	20.00		
SILV	- Futures	USD	-1,125.00	-1,125.00	**-1,105.00**	**-1,105.00**
Unrealised Profits & Losses - Forwards			**Amount**	**Profit / Loss**	**Total Amount**	**Total P&L**
ALUL	- Futures	USD	-26,680.75	-26,680.75		
COPL	- Futures	USD	-1,814.25	-1,814.25		
LEAD	- Futures	USD	-110,882.25	-110,882.25		
NKL	- Futures	USD	-28,618.44	-28,618.44		
ZINC	- Futures	USD	-207,424.00	-207,424.00	**-375,419.69**	**-375,419.69**

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		230,367.87	230,367.87
Variation Margins	- Futures	-1,105.00	-1,105.00
	- Options	0.00	0.00
TOTAL EQUITY		**229,262.87**	**229,262.87**
Initial Margins		-491,303.69	-491,303.69
Excess/(Shortage)		**-262,040.82**	**-262,040.82**
FOR INFORMATION ONLY			
Forward Unrealised P&L		-375,419.69	-375,419.69
Net Liquidating Value		**-146,156.82**	**-146,156.82**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083YL

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
11JUL17	B 1 PD11OCT17	1904.00	2116.25	USD	5,306.25	
11JUL17	S 1 PD11OCT17	1893.50	2116.25	USD	-5,568.75	
	NET 0 AVG BGHT 1904.00 SOLD 1893.50				**-262.50**	**0.00**
04AUG17	B 1 PD03NOV17	1905.50	2116.75	USD	5,281.25	
04AUG17	S 1 PD03NOV17	1913.50	2116.75	USD	-5,081.25	
	NET 0 AVG BGHT 1905.50 SOLD 1913.50				**200.00**	**0.00**
09AUG17	B 1 PD09NOV17	2036.00	2116.11	USD	2,002.75	
09AUG17	S 1 PD09NOV17	2020.00	2116.11	USD	-2,402.75	
	NET 0 AVG BGHT 2036.00 SOLD 2020.00				**-400.00**	**0.00**
21SEP17	B 1 PD21DEC17	2167.50	2127.98	USD	-988.00	
21SEP17	S 1 PD21DEC17	2171.50	2127.98	USD	1,088.00	
	NET 0 AVG BGHT 2167.50 SOLD 2171.50				**100.00**	**0.00**
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
23AUG17	B 1 PD24NOV17	6563.50	6505.80	USD	-1,442.50	
23AUG17	S 1 PD24NOV17	6566.00	6505.80	USD	1,505.00	
	NET 0 AVG BGHT 6563.50 SOLD 6566.00				**62.50**	**0.00**
31AUG17	S 1 PD30NOV17	6828.00	6509.40	USD	7,965.00	
31AUG17	B 1 PD30NOV17	6827.00	6509.40	USD	-7,940.00	
	NET 0 AVG BGHT 6827.00 SOLD 6828.00				**25.00**	**0.00**
01SEP17	B 1 PD01DEC17	6824.00	6510.00	USD	-7,850.00	
01SEP17	S 1 PD01DEC17	6823.00	6510.00	USD	7,825.00	
	NET 0 AVG BGHT 6824.00 SOLD 6823.00				**-25.00**	**0.00**
13SEP17	B 1 PD13DEC17	6593.00	6514.98	USD	-1,950.50	
13SEP17	S 1 PD13DEC17	6594.00	6514.98	USD	1,975.50	
13SEP17	S 1 PD13DEC17	6596.50	6514.98	USD	2,038.00	
13SEP17	B 1 PD13DEC17	6596.00	6514.98	USD	-2,025.50	
	NET 0 AVG BGHT 6594.50 SOLD 6595.25				**37.50**	**0.00**
15SEP17	B 1 PD15DEC17	6506.00	6515.77	USD	244.25	
15SEP17	S 1 PD15DEC17	6495.00	6515.77	USD	-519.25	
	NET 0 AVG BGHT 6506.00 SOLD 6495.00				**-275.00**	**0.00**
18SEP17	B 1 PD18DEC17	6557.00	6516.96	USD	-1,001.00	
18SEP17	S 1 PD18DEC17	6567.00	6516.96	USD	1,251.00	
	NET 0 AVG BGHT 6557.00 SOLD 6567.00				**250.00**	**0.00**
27SEP17	B 1 PD27DEC17	6467.50	6517.52	USD	1,250.50	
27SEP17	S 1 PD27DEC17	6470.50	6517.52	USD	-1,175.50	
	NET 0 AVG BGHT 6467.50 SOLD 6470.50				**75.00**	**0.00**
29SEP17	B 1 PD29DEC17	6535.50	6518.23	USD	-431.75	
	NET +1 AVG BGHT 6535.50				**-431.75**	**0.00**
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
06JUL17	B 1 PD06OCT17	2283.50	2585.23	USD	7,543.25	
06JUL17	S 1 PD06OCT17	2276.50	2585.23	USD	-7,718.25	
06JUL17	B 1 PD06OCT17	2284.50	2585.23	USD	7,518.25	
07JUL17	S 1 PD06OCT17	2286.50	2585.23	USD	-7,468.25	
07JUL17	B 1 PD06OCT17	2282.50	2585.23	USD	7,568.25	
07JUL17	S 1 PD06OCT17	2290.50	2585.23	USD	-7,368.25	
07JUL17	B 1 PD06OCT17	2298.50	2585.23	USD	7,168.25	
19SEP17	S 1 PD06OCT17	2401.78	2585.23	USD	-4,586.25	
	NET 0 AVG BGHT 2287.25 SOLD 2313.82				**2,657.00**	**0.00**
10JUL17	S 1 PD10OCT17	2291.50	2586.15	USD	-7,366.25	
19SEP17	B 1 PD10OCT17	2403.05	2586.15	USD	4,577.50	
	NET 0 AVG BGHT 2403.05 SOLD 2291.50				**-2,788.75**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083YL

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
11JUL17	B 1 PD11OCT17	2327.00	2586.38	USD	6,484.50	
11JUL17	B 1 PD11OCT17	2305.00	2586.38	USD	7,034.50	
19SEP17	S 2 PD11OCT17	2403.12	2586.38	USD	-9,163.00	
	NET 0 AVG BGHT 2316.00 SOLD 2403.12				**4,356.00**	**0.00**
12JUL17	B 1 PD12OCT17	2317.00	2586.62	USD	6,740.50	
12JUL17	S 1 PD12OCT17	2320.50	2586.62	USD	-6,653.00	
	NET 0 AVG BGHT 2317.00 SOLD 2320.50				**87.50**	**0.00**
13JUL17	B 1 PD13OCT17	2308.50	2586.85	USD	6,958.75	
14JUL17	S 1 PD13OCT17	2282.50	2586.85	USD	-7,608.75	
14JUL17	S 1 PD13OCT17	2283.00	2586.85	USD	-7,596.25	
19SEP17	B 1 PD13OCT17	2403.86	2586.85	USD	4,574.75	
	NET 0 AVG BGHT 2356.18 SOLD 2282.75				**-3,671.50**	**0.00**
17JUL17	B 1 PD17OCT17	2328.00	2587.77	USD	6,494.25	
19SEP17	S 1 PD17OCT17	2404.73	2587.77	USD	-4,576.00	
	NET 0 AVG BGHT 2328.00 SOLD 2404.73				**1,918.25**	**0.00**
18JUL17	S 1 PD18OCT17	2285.50	2588.00	USD	-7,562.50	
18JUL17	B 1 PD18OCT17	2272.50	2588.00	USD	7,887.50	
	NET 0 AVG BGHT 2272.50 SOLD 2285.50				**325.00**	**0.00**
20JUL17	S 1 PD20OCT17	2215.00	2588.00	USD	-9,325.00	
19SEP17	B 1 PD20OCT17	2405.75	2588.00	USD	4,556.25	
	NET 0 AVG BGHT 2405.75 SOLD 2215.00				**-4,768.75**	**0.00**
24JUL17	B 1 PD24OCT17	2248.50	2588.00	USD	8,487.50	
24JUL17	S 1 PD24OCT17	2253.00	2588.00	USD	-8,375.00	
	NET 0 AVG BGHT 2248.50 SOLD 2253.00				**112.50**	**0.00**
25JUL17	B 1 PD25OCT17	2276.00	2588.00	USD	7,800.00	
25JUL17	S 1 PD25OCT17	2314.50	2588.00	USD	-6,837.50	
	NET 0 AVG BGHT 2276.00 SOLD 2314.50				**962.50**	**0.00**
26JUL17	S 1 PD26OCT17	2322.00	2588.00	USD	-6,650.00	
19SEP17	B 1 PD26OCT17	2407.41	2588.00	USD	4,514.75	
	NET 0 AVG BGHT 2407.41 SOLD 2322.00				**-2,135.25**	**0.00**
07AUG17	B 1 PD07NOV17	2386.00	2588.00	USD	5,050.00	
19SEP17	S 1 PD07NOV17	2410.54	2588.00	USD	-4,436.50	
	NET 0 AVG BGHT 2386.00 SOLD 2410.54				**613.50**	**0.00**
09AUG17	B 1 PD09NOV17	2414.00	2588.00	USD	4,350.00	
19SEP17	S 1 PD09NOV17	2411.09	2588.00	USD	-4,422.75	
	NET 0 AVG BGHT 2414.00 SOLD 2411.09				**-72.75**	**0.00**
18AUG17	S 1 PD17NOV17	2430.00	2588.26	USD	-3,956.50	
18AUG17	S 1 PD17NOV17	2372.00	2588.26	USD	-5,406.50	
19SEP17	B 2 PD17NOV17	2413.45	2588.26	USD	8,740.50	
	NET 0 AVG BGHT 2413.45 SOLD 2401.00				**-622.50**	**0.00**
22AUG17	B 1 PD22NOV17	2333.00	2588.90	USD	6,397.50	
22AUG17	S 1 PD22NOV17	2359.00	2588.90	USD	-5,747.50	
	NET 0 AVG BGHT 2333.00 SOLD 2359.00				**650.00**	**0.00**
31AUG17	B 1 PD30NOV17	2392.50	2589.93	USD	4,935.75	
31AUG17	S 1 PD30NOV17	2385.00	2589.93	USD	-5,123.25	
	NET 0 AVG BGHT 2392.50 SOLD 2385.00				**-187.50**	**0.00**
11SEP17	S 1 PD11DEC17	2287.50	2591.34	USD	-7,596.00	
11SEP17	B 1 PD11DEC17	2292.50	2591.34	USD	7,471.00	
	NET 0 AVG BGHT 2292.50 SOLD 2287.50				**-125.00**	**0.00**
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
04JUL17	B 1 PD04OCT17	9135.00	10531.23	USD	8,377.38	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083YL

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
04JUL17	S 1 PD04OCT17	9120.00	10531.23	USD	-8,467.38	
	NET 0 AVG BGHT 9135.00 SOLD 9120.00				**-90.00**	**0.00**
24AUG17	B 1 PD24NOV17	11735.00	10583.97	USD	-6,906.18	
24AUG17	S 1 PD24NOV17	11750.00	10583.97	USD	6,996.18	
	NET 0 AVG BGHT 11735.00 SOLD 11750.00				**90.00**	**0.00**
29AUG17	B 2 PD29NOV17	11705.00	10588.40	USD	-13,399.20	
29AUG17	S 1 PD29NOV17	11685.00	10588.40	USD	6,579.60	
29AUG17	S 1 PD29NOV17	11695.00	10588.40	USD	6,639.60	
29AUG17	S 1 PD29NOV17	11775.00	10588.40	USD	7,119.60	
29AUG17	B 1 PD29NOV17	11790.00	10588.40	USD	-7,209.60	
	NET 0 AVG BGHT 11733.33 SOLD 11718.33				**-270.00**	**0.00**
30AUG17	S 1 PD30NOV17	11720.00	10589.29	USD	6,784.26	
30AUG17	B 1 PD30NOV17	11710.00	10589.29	USD	-6,724.26	
	NET 0 AVG BGHT 11710.00 SOLD 11720.00				**60.00**	**0.00**
07SEP17	S 1 PD07DEC17	12145.00	10595.49	USD	9,297.06	
07SEP17	B 1 PD07DEC17	12155.00	10595.49	USD	-9,357.06	
	NET 0 AVG BGHT 12155.00 SOLD 12145.00				**-60.00**	**0.00**
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
04JUL17	S 1 PD04OCT17	20085.00	20956.50	USD	-4,357.50	
04JUL17	B 1 PD04OCT17	20055.00	20956.50	USD	4,507.50	
04JUL17	B 1 PD04OCT17	20010.00	20956.50	USD	4,732.50	
04JUL17	S 1 PD04OCT17	20010.00	20956.50	USD	-4,732.50	
	NET 0 AVG BGHT 20032.50 SOLD 20047.50				**150.00**	**0.00**
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
06JUL17	S 1 PD06OCT17	2792.50	3310.38	USD	-12,947.00	
06JUL17	B 1 PD06OCT17	2778.00	3310.38	USD	13,309.50	
06JUL17	S 1 PD06OCT17	2786.00	3310.38	USD	-13,109.50	
07JUL17	B 1 PD06OCT17	2776.00	3310.38	USD	13,359.50	
07JUL17	S 1 PD06OCT17	2780.50	3310.38	USD	-13,247.00	
07JUL17	B 1 PD06OCT17	2781.50	3310.38	USD	13,222.00	
07JUL17	S 1 PD06OCT17	2788.00	3310.38	USD	-13,059.50	
19SEP17	B 1 PD06OCT17	3114.19	3310.38	USD	4,904.75	
	NET 0 AVG BGHT 2862.42 SOLD 2786.75				**-7,567.25**	**0.00**
10JUL17	B 1 PD10OCT17	2773.50	3307.25	USD	13,343.75	
19SEP17	S 1 PD10OCT17	3113.29	3307.25	USD	-4,849.00	
	NET 0 AVG BGHT 2773.50 SOLD 3113.29				**8,494.75**	**0.00**
11JUL17	S 1 PD11OCT17	2784.00	3304.13	USD	-13,003.25	
11JUL17	B 1 PD11OCT17	2775.50	3304.13	USD	13,215.75	
11JUL17	S 1 PD11OCT17	2816.00	3304.13	USD	-12,203.25	
11JUL17	B 1 PD11OCT17	2817.50	3304.13	USD	12,165.75	
11JUL17	S 1 PD11OCT17	2816.50	3304.13	USD	-12,190.75	
11JUL17	S 1 PD11OCT17	2803.50	3304.13	USD	-12,515.75	
19SEP17	B 2 PD11OCT17	3112.78	3304.13	USD	9,567.50	
	NET 0 AVG BGHT 2954.64 SOLD 2805.00				**-14,964.00**	**0.00**
12JUL17	S 1 PD12OCT17	2830.00	3301.00	USD	-11,775.00	
12JUL17	B 1 PD12OCT17	2825.00	3301.00	USD	11,900.00	
	NET 0 AVG BGHT 2825.00 SOLD 2830.00				**125.00**	**0.00**
13JUL17	S 1 PD13OCT17	2825.00	3297.88	USD	-11,822.00	
14JUL17	B 1 PD13OCT17	2785.00	3297.88	USD	12,822.00	
14JUL17	B 1 PD13OCT17	2764.50	3297.88	USD	13,334.50	
19SEP17	S 1 PD13OCT17	3111.37	3297.88	USD	-4,662.75	
	NET 0 AVG BGHT 2774.75 SOLD 2968.19					**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083YL

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
					9,671.75	
17JUL17	S 1 PD17OCT17	2838.00	3291.63	USD	-11,340.75	
19SEP17	B 1 PD17OCT17	3110.16	3291.63	USD	4,536.75	
	NET 0 AVG BGHT 3110.16 SOLD 2838.00				**-6,804.00**	**0.00**
18JUL17	B 1 PD18OCT17	2786.00	3288.50	USD	12,562.50	
18JUL17	S 1 PD18OCT17	2786.50	3288.50	USD	-12,550.00	
	NET 0 AVG BGHT 2786.00 SOLD 2786.50				**12.50**	**0.00**
20JUL17	B 1 PD20OCT17	2727.00	3282.50	USD	13,887.50	
19SEP17	S 1 PD20OCT17	3106.75	3282.50	USD	-4,393.75	
	NET 0 AVG BGHT 2727.00 SOLD 3106.75				**9,493.75**	**0.00**
24JUL17	S 1 PD24OCT17	2780.50	3280.50	USD	-12,500.00	
24JUL17	B 1 PD24OCT17	2775.50	3280.50	USD	12,625.00	
	NET 0 AVG BGHT 2775.50 SOLD 2780.50				**125.00**	**0.00**
25JUL17	S 1 PD25OCT17	2807.00	3279.50	USD	-11,812.50	
25JUL17	B 1 PD25OCT17	2839.50	3279.50	USD	11,000.00	
	NET 0 AVG BGHT 2839.50 SOLD 2807.00				**-812.50**	**0.00**
26JUL17	B 1 PD26OCT17	2814.00	3278.50	USD	11,612.50	
19SEP17	S 1 PD26OCT17	3106.53	3278.50	USD	-4,299.25	
	NET 0 AVG BGHT 2814.00 SOLD 3106.53				**7,313.25**	**0.00**
04AUG17	S 1 PD03NOV17	2824.50	3272.00	USD	-11,187.50	
04AUG17	B 1 PD03NOV17	2815.50	3272.00	USD	11,412.50	
	NET 0 AVG BGHT 2815.50 SOLD 2824.50				**225.00**	**0.00**
07AUG17	S 1 PD07NOV17	2884.50	3272.00	USD	-9,687.50	
19SEP17	B 1 PD07NOV17	3106.28	3272.00	USD	4,143.00	
	NET 0 AVG BGHT 3106.28 SOLD 2884.50				**-5,544.50**	**0.00**
09AUG17	S 1 PD09NOV17	2972.00	3272.00	USD	-7,500.00	
09AUG17	S 1 PD09NOV17	2974.00	3272.00	USD	-7,450.00	
09AUG17	B 1 PD09NOV17	2935.00	3272.00	USD	8,425.00	
19SEP17	B 1 PD09NOV17	3106.17	3272.00	USD	4,145.75	
	NET 0 AVG BGHT 3020.59 SOLD 2973.00				**-2,379.25**	**0.00**
18AUG17	B 1 PD17NOV17	3118.00	3265.50	USD	3,687.50	
18AUG17	B 1 PD17NOV17	3124.50	3265.50	USD	3,525.00	
19SEP17	S 2 PD17NOV17	3103.53	3265.50	USD	-8,098.50	
	NET 0 AVG BGHT 3121.25 SOLD 3103.53				**-886.00**	**0.00**
22AUG17	S 1 PD22NOV17	3112.50	3264.50	USD	-3,800.00	
22AUG17	B 1 PD22NOV17	3159.50	3264.50	USD	2,625.00	
	NET 0 AVG BGHT 3159.50 SOLD 3112.50				**-1,175.00**	**0.00**
29AUG17	B 2 PD29NOV17	3085.00	3265.25	USD	9,012.50	
29AUG17	S 2 PD29NOV17	3103.00	3265.25	USD	-8,112.50	
	NET 0 AVG BGHT 3085.00 SOLD 3103.00				**900.00**	**0.00**
31AUG17	S 1 PD30NOV17	3140.50	3265.36	USD	-3,121.50	
31AUG17	B 1 PD30NOV17	3136.00	3265.36	USD	3,234.00	
	NET 0 AVG BGHT 3136.00 SOLD 3140.50				**112.50**	**0.00**
26SEP17	B 1 PD27DEC17	3138.00	3264.00	USD	3,150.00	
26SEP17	S 1 PD27DEC17	3142.00	3264.00	USD	-3,050.00	
	NET 0 AVG BGHT 3138.00 SOLD 3142.00				**100.00**	**0.00**
29SEP17	S 2 PD29DEC17	3152.00	3264.00	USD	-5,600.00	
	NET -2 AVG SOLD 3152.00				**-5,600.00**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083YL

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	-362.50
LME Copper Grade A 25	FUTURES	-163,237.50
LME Lead 25 tonnes. This is an	FUTURES	-2,689.75
LME Nickel 6 tonnes. This is	FUTURES	-270.00
LME Tin 5 tonnes. This is an	FUTURES	150.00
LME Zinc 25 tonnes. This is	FUTURES	154,041.00

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards			Amount	Profit / Loss	**Total Amount**	**Total P&L**
ALUL	- Futures	USD	-362.50	-362.50		
COPL	- Futures	USD	-281.75	-281.75		
LEAD	- Futures	USD	-2,689.75	-2,689.75		
NKL	- Futures	USD	-270.00	-270.00		
TIN	- Futures	USD	150.00	150.00		
ZINC	- Futures	USD	-9,159.00	-9,159.00	**-12,613.00**	**-12,613.00**

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		18,554.70	18,554.70
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**18,554.70**	**18,554.70**
Initial Margins		-34,924.00	-34,924.00
Excess/(Shortage)		**-16,369.30**	**-16,369.30**
FOR INFORMATION ONLY			
Forward Unrealised P&L		-12,613.00	-12,613.00
Net Liquidating Value		**5,941.70**	**5,941.70**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083YO

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
01AUG17	B 1 PD01NOV17	1907.50	2117.42	USD	5,248.00	
01AUG17	B 1 PD01NOV17	1910.00	2117.42	USD	5,185.50	
19SEP17	S 2 PD01NOV17	2109.68	2117.42	USD	-387.00	
	NET 0 AVG BGHT 1908.75 SOLD 2109.68				**10,046.50**	**0.00**
02AUG17	S 1 PD02NOV17	1910.50	2117.08	USD	-5,164.50	
02AUG17	S 1 PD02NOV17	1912.00	2117.08	USD	-5,127.00	
02AUG17	B 1 PD02NOV17	1926.50	2117.08	USD	4,764.50	
19SEP17	B 1 PD02NOV17	2110.16	2117.08	USD	173.00	
	NET 0 AVG BGHT 2018.33 SOLD 1911.25				**-5,354.00**	**0.00**
03AUG17	S 1 PD03NOV17	1930.00	2116.75	USD	-4,668.75	
04AUG17	B 1 PD03NOV17	1906.00	2116.75	USD	5,268.75	
04AUG17	S 1 PD03NOV17	1914.00	2116.75	USD	-5,068.75	
19SEP17	B 1 PD03NOV17	2110.45	2116.75	USD	157.50	
	NET 0 AVG BGHT 2008.23 SOLD 1922.00				**-4,311.25**	**0.00**
07AUG17	B 2 PD07NOV17	1953.00	2116.08	USD	8,154.00	
07AUG17	S 1 PD07NOV17	1957.00	2116.08	USD	-3,977.00	
07AUG17	S 1 PD07NOV17	1958.00	2116.08	USD	-3,952.00	
	NET 0 AVG BGHT 1953.00 SOLD 1957.50				**225.00**	**0.00**
09AUG17	B 1 PD09NOV17	2040.00	2116.11	USD	1,902.75	
09AUG17	S 1 PD09NOV17	2033.50	2116.11	USD	-2,065.25	
	NET 0 AVG BGHT 2040.00 SOLD 2033.50				**-162.50**	**0.00**
25AUG17	S 1 PD24NOV17	2098.50	2120.69	USD	-554.75	
25AUG17	B 1 PD24NOV17	2090.50	2120.69	USD	754.75	
	NET 0 AVG BGHT 2090.50 SOLD 2098.50				**200.00**	**0.00**
18SEP17	B 1 PD18DEC17	2091.00	2127.21	USD	905.25	
	NET +1 AVG BGHT 2091.00				**905.25**	**0.00**
19SEP17	S 1 PD19DEC17	2129.00	2127.48	USD	38.00	
	NET -1 AVG SOLD 2129.00				**38.00**	**0.00**
28SEP17	B 1 PD28DEC17	2136.00	2129.61	USD	-159.75	
28SEP17	S 1 PD28DEC17	2136.00	2129.61	USD	159.75	
	NET 0 AVG BGHT 2136.00 SOLD 2136.00				**0.00**	**0.00**
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
25JUL17	S 1 PD25OCT17	6228.00	6490.29	USD	-6,557.25	
25JUL17	B 1 PD25OCT17	6223.00	6490.29	USD	6,682.25	
	NET 0 AVG BGHT 6223.00 SOLD 6228.00				**125.00**	**0.00**
08AUG17	B 1 PD08NOV17	6492.00	6498.25	USD	156.25	
08AUG17	S 1 PD08NOV17	6489.00	6498.25	USD	-231.25	
	NET 0 AVG BGHT 6492.00 SOLD 6489.00				**-75.00**	**0.00**
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
01AUG17	S 1 PD01NOV17	2334.50	2588.00	USD	-6,337.50	
01AUG17	S 1 PD01NOV17	2337.50	2588.00	USD	-6,262.50	
19SEP17	B 2 PD01NOV17	2408.88	2588.00	USD	8,956.00	
	NET 0 AVG BGHT 2408.88 SOLD 2336.00				**-3,644.00**	**0.00**
02AUG17	B 1 PD02NOV17	2323.00	2588.00	USD	6,625.00	
02AUG17	B 1 PD02NOV17	2324.50	2588.00	USD	6,587.50	
19SEP17	S 2 PD02NOV17	2408.95	2588.00	USD	-8,952.50	
	NET 0 AVG BGHT 2323.75 SOLD 2408.95				**4,260.00**	**0.00**
07AUG17	B 1 PD07NOV17	2380.50	2588.00	USD	5,187.50	
19SEP17	S 1 PD07NOV17	2410.54	2588.00	USD	-4,436.50	
	NET 0 AVG BGHT 2380.50 SOLD 2410.54				**751.00**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083YO

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
08AUG17	B 1 PD08NOV17	2353.00	2588.00	USD	5,875.00	
08AUG17	S 1 PD08NOV17	2384.50	2588.00	USD	-5,087.50	
08AUG17	B 1 PD08NOV17	2383.00	2588.00	USD	5,125.00	
19SEP17	S 1 PD08NOV17	2410.81	2588.00	USD	-4,429.75	
	NET 0 AVG BGHT 2368.00 SOLD 2397.66				**1,482.75**	**0.00**
09AUG17	S 1 PD09NOV17	2392.00	2588.00	USD	-4,900.00	
09AUG17	B 1 PD09NOV17	2352.00	2588.00	USD	5,900.00	
09AUG17	S 1 PD09NOV17	2354.00	2588.00	USD	-5,850.00	
19SEP17	B 1 PD09NOV17	2411.29	2588.00	USD	4,417.75	
	NET 0 AVG BGHT 2381.65 SOLD 2373.00				**-432.25**	**0.00**
17AUG17	S 1 PD17NOV17	2405.50	2588.26	USD	-4,569.00	
19SEP17	B 1 PD17NOV17	2413.45	2588.26	USD	4,370.25	
	NET 0 AVG BGHT 2413.45 SOLD 2405.50				**-198.75**	**0.00**
21SEP17	S 1 PD21DEC17	2502.00	2592.29	USD	-2,257.25	
21SEP17	B 1 PD21DEC17	2501.00	2592.29	USD	2,282.25	
21SEP17	B 1 PD21DEC17	2506.00	2592.29	USD	2,157.25	
21SEP17	S 1 PD21DEC17	2510.00	2592.29	USD	-2,057.25	
	NET 0 AVG BGHT 2503.50 SOLD 2506.00				**125.00**	**0.00**
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
04JUL17	S 1 PD04OCT17	9190.00	10531.23	USD	-8,047.38	
19SEP17	B 1 PD04OCT17	11080.13	10531.23	USD	-3,293.40	
	NET 0 AVG BGHT 11080.13 SOLD 9190.00				**-11,340.78**	**0.00**
05JUL17	B 2 PD05OCT17	9140.00	10532.50	USD	16,710.00	
19SEP17	S 2 PD05OCT17	11079.87	10532.50	USD	6,568.44	
	NET 0 AVG BGHT 9140.00 SOLD 11079.87				**23,278.44**	**0.00**
06JUL17	S 1 PD06OCT17	9170.00	10533.77	USD	-8,182.62	
06JUL17	B 1 PD06OCT17	9080.00	10533.77	USD	8,722.62	
07JUL17	B 1 PD06OCT17	9070.00	10533.77	USD	8,782.62	
07JUL17	S 1 PD06OCT17	9075.00	10533.77	USD	-8,752.62	
07JUL17	S 1 PD06OCT17	9110.00	10533.77	USD	-8,542.62	
19SEP17	B 1 PD06OCT17	11081.61	10533.77	USD	-3,287.04	
	NET 0 AVG BGHT 9743.87 SOLD 9118.33				**-11,259.66**	**0.00**
10JUL17	S 1 PD10OCT17	8890.00	10538.85	USD	-9,893.10	
19SEP17	B 1 PD10OCT17	11084.57	10538.85	USD	-3,274.32	
	NET 0 AVG BGHT 11084.57 SOLD 8890.00				**-13,167.42**	**0.00**
14JUL17	S 1 PD13OCT17	9550.00	10542.65	USD	-5,955.90	
19SEP17	B 1 PD13OCT17	11085.80	10542.65	USD	-3,258.90	
	NET 0 AVG BGHT 11085.80 SOLD 9550.00				**-9,214.80**	**0.00**
17JUL17	B 1 PD17OCT17	9615.00	10547.73	USD	5,596.38	
19SEP17	S 1 PD17OCT17	11087.76	10547.73	USD	3,240.18	
	NET 0 AVG BGHT 9615.00 SOLD 11087.76				**8,836.56**	**0.00**
20JUL17	B 1 PD20OCT17	9520.00	10550.93	USD	6,185.58	
20JUL17	S 1 PD20OCT17	9470.00	10550.93	USD	-6,485.58	
21JUL17	B 1 PD20OCT17	9515.00	10550.93	USD	6,215.58	
21JUL17	B 1 PD20OCT17	9530.00	10550.93	USD	6,125.58	
21JUL17	S 1 PD20OCT17	9545.00	10550.93	USD	-6,035.58	
19SEP17	S 1 PD20OCT17	11091.25	10550.93	USD	3,241.92	
	NET 0 AVG BGHT 9521.67 SOLD 10035.42				**9,247.50**	**0.00**
24JUL17	S 1 PD24OCT17	9675.00	10554.79	USD	-5,278.74	
19SEP17	B 1 PD24OCT17	11095.75	10554.79	USD	-3,245.76	
	NET 0 AVG BGHT 11095.75 SOLD 9675.00				**-8,524.50**	**0.00**
25JUL17	S 1 PD25OCT17	10000.00	10555.75	USD	-3,334.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083YO

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
25JUL17	B 1 PD25OCT17	10025.00	10555.75	USD	3,184.50	
	NET 0 AVG BGHT 10025.00 SOLD 10000.00				**-150.00**	**0.00**
27JUL17	S 1 PD27OCT17	10190.00	10557.68	USD	-2,206.08	
27JUL17	B 1 PD27OCT17	10150.00	10557.68	USD	2,446.08	
28JUL17	B 1 PD27OCT17	10270.00	10557.68	USD	1,726.08	
28JUL17	S 1 PD27OCT17	10275.00	10557.68	USD	-1,696.08	
28JUL17	S 1 PD27OCT17	10280.00	10557.68	USD	-1,666.08	
19SEP17	B 1 PD27OCT17	11097.38	10557.68	USD	-3,238.20	
	NET 0 AVG BGHT 10505.79 SOLD 10248.33				**-4,634.28**	**0.00**
31JUL17	B 1 PD31OCT17	10335.00	10561.54	USD	1,359.24	
31JUL17	B 1 PD31OCT17	10215.00	10561.54	USD	2,079.24	
31JUL17	S 1 PD31OCT17	10220.00	10561.54	USD	-2,049.24	
19SEP17	S 1 PD31OCT17	11099.88	10561.54	USD	3,230.04	
	NET 0 AVG BGHT 10275.00 SOLD 10659.94				**4,619.28**	**0.00**
01AUG17	S 1 PD01NOV17	10295.00	10562.50	USD	-1,605.00	
01AUG17	S 1 PD01NOV17	10280.00	10562.50	USD	-1,695.00	
01AUG17	B 2 PD01NOV17	10255.00	10562.50	USD	3,690.00	
01AUG17	B 1 PD01NOV17	10225.00	10562.50	USD	2,025.00	
01AUG17	S 1 PD01NOV17	10225.00	10562.50	USD	-2,025.00	
	NET 0 AVG BGHT 10245.00 SOLD 10266.67				**390.00**	**0.00**
02AUG17	S 1 PD02NOV17	10285.00	10563.46	USD	-1,670.76	
02AUG17	S 1 PD02NOV17	10340.00	10563.46	USD	-1,340.76	
19SEP17	B 2 PD02NOV17	11102.63	10563.46	USD	-6,470.04	
	NET 0 AVG BGHT 11102.63 SOLD 10312.50				**-9,481.56**	**0.00**
03AUG17	B 2 PD03NOV17	10395.00	10564.43	USD	2,033.16	
04AUG17	S 1 PD03NOV17	10280.00	10564.43	USD	-1,706.58	
19SEP17	B 1 PD03NOV17	11103.50	10564.43	USD	-3,234.42	
	NET +2 AVG BGHT 10631.17 SOLD 10280.00				**-2,907.84**	**0.00**
07AUG17	B 1 PD07NOV17	10260.00	10568.29	USD	1,849.74	
07AUG17	S 1 PD07NOV17	10380.00	10568.29	USD	-1,129.74	
07AUG17	S 1 PD07NOV17	10385.00	10568.29	USD	-1,099.74	
19SEP17	S 1 PD07NOV17	11106.00	10568.29	USD	3,226.26	
	NET -2 AVG BGHT 10260.00 SOLD 10623.67				**2,846.52**	**0.00**
08AUG17	B 1 PD08NOV17	10360.00	10569.25	USD	1,255.50	
08AUG17	B 1 PD08NOV17	10540.00	10569.25	USD	175.50	
08AUG17	S 1 PD08NOV17	10440.00	10569.25	USD	-775.50	
08AUG17	B 1 PD08NOV17	10650.00	10569.25	USD	-484.50	
19SEP17	S 2 PD08NOV17	11106.88	10569.25	USD	6,451.56	
	NET 0 AVG BGHT 10516.67 SOLD 10884.59				**6,622.56**	**0.00**
09AUG17	B 1 PD09NOV17	10785.00	10570.21	USD	-1,288.74	
09AUG17	S 1 PD09NOV17	10755.00	10570.21	USD	1,108.74	
	NET 0 AVG BGHT 10785.00 SOLD 10755.00				**-180.00**	**0.00**
21AUG17	B 1 PD21NOV17	11330.00	10581.31	USD	-4,492.14	
21AUG17	B 1 PD21NOV17	11290.00	10581.31	USD	-4,252.14	
21AUG17	S 3 PD21NOV17	11330.00	10581.31	USD	13,476.42	
21AUG17	B 1 PD21NOV17	11345.00	10581.31	USD	-4,582.14	
	NET 0 AVG BGHT 11321.67 SOLD 11330.00				**150.00**	**0.00**
23AUG17	S 1 PD24NOV17	11560.00	10583.97	USD	5,856.18	
23AUG17	B 1 PD24NOV17	11490.00	10583.97	USD	-5,436.18	
23AUG17	S 1 PD24NOV17	11685.00	10583.97	USD	6,606.18	
23AUG17	B 1 PD24NOV17	11755.00	10583.97	USD	-7,026.18	
25AUG17	S 1 PD24NOV17	11495.00	10583.97	USD	5,466.18	
25AUG17	B 1 PD24NOV17	11460.00	10583.97	USD	-5,256.18	
	NET 0 AVG BGHT 11568.33 SOLD 11580.00				**210.00**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083YO

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
29AUG17	B 1 PD29NOV17	11660.00	10588.40	USD	-6,429.60	
29AUG17	S 1 PD29NOV17	11645.00	10588.40	USD	6,339.60	
	NET 0 AVG BGHT 11660.00 SOLD 11645.00				**-90.00**	**0.00**
30AUG17	B 1 PD30NOV17	11590.00	10589.29	USD	-6,004.26	
30AUG17	S 1 PD30NOV17	11610.00	10589.29	USD	6,124.26	
	NET 0 AVG BGHT 11590.00 SOLD 11610.00				**120.00**	**0.00**
01SEP17	S 1 PD01DEC17	12080.00	10590.17	USD	8,938.98	
01SEP17	B 1 PD01DEC17	12130.00	10590.17	USD	-9,238.98	
01SEP17	S 1 PD01DEC17	12085.00	10590.17	USD	8,968.98	
19SEP17	B 1 PD01DEC17	11126.24	10590.17	USD	-3,216.42	
	NET 0 AVG BGHT 11628.12 SOLD 12082.50				**5,452.56**	**0.00**
04SEP17	B 1 PD04DEC17	12225.00	10592.83	USD	-9,793.02	
04SEP17	B 1 PD04DEC17	12240.00	10592.83	USD	-9,883.02	
04SEP17	S 1 PD04DEC17	12190.00	10592.83	USD	9,583.02	
19SEP17	S 1 PD04DEC17	11127.53	10592.83	USD	3,208.20	
	NET 0 AVG BGHT 12232.50 SOLD 11658.77				**-6,884.82**	**0.00**
05SEP17	B 1 PD05DEC17	12040.00	10593.71	USD	-8,677.74	
05SEP17	B 1 PD05DEC17	11920.00	10593.71	USD	-7,957.74	
05SEP17	S 1 PD05DEC17	11975.00	10593.71	USD	8,287.74	
05SEP17	S 1 PD05DEC17	11985.00	10593.71	USD	8,347.74	
	NET 0 AVG BGHT 11980.00 SOLD 11980.00				**0.00**	**0.00**
06SEP17	B 1 PD06DEC17	12235.00	10594.60	USD	-9,842.40	
06SEP17	S 1 PD06DEC17	12195.00	10594.60	USD	9,602.40	
	NET 0 AVG BGHT 12235.00 SOLD 12195.00				**-240.00**	**0.00**
12SEP17	B 1 PD12DEC17	11930.00	10599.91	USD	-7,980.54	
12SEP17	B 1 PD12DEC17	11910.00	10599.91	USD	-7,860.54	
12SEP17	S 2 PD12DEC17	11915.00	10599.91	USD	15,781.08	
	NET 0 AVG BGHT 11920.00 SOLD 11915.00				**-60.00**	**0.00**
13SEP17	B 1 PD13DEC17	11385.00	10600.80	USD	-4,705.20	
13SEP17	S 1 PD13DEC17	11430.00	10600.80	USD	4,975.20	
	NET 0 AVG BGHT 11385.00 SOLD 11430.00				**270.00**	**0.00**
14SEP17	B 1 PD14DEC17	11270.00	10601.69	USD	-4,009.86	
14SEP17	S 1 PD14DEC17	11275.00	10601.69	USD	4,039.86	
14SEP17	B 1 PD14DEC17	11240.00	10601.69	USD	-3,829.86	
14SEP17	B 1 PD14DEC17	11210.00	10601.69	USD	-3,649.86	
14SEP17	S 1 PD14DEC17	11215.00	10601.69	USD	3,679.86	
	NET +1 AVG BGHT 11240.00 SOLD 11245.00				**-3,769.86**	**0.00**
15SEP17	S 1 PD15DEC17	11255.00	10602.57	USD	3,914.58	
15SEP17	B 1 PD15DEC17	11075.00	10602.57	USD	-2,834.58	
15SEP17	S 1 PD15DEC17	11115.00	10602.57	USD	3,074.58	
	NET -1 AVG BGHT 11075.00 SOLD 11185.00				**4,154.58**	**0.00**
18SEP17	B 2 PD18DEC17	11230.00	10605.23	USD	-7,497.24	
18SEP17	S 1 PD18DEC17	11225.00	10605.23	USD	3,718.62	
18SEP17	S 1 PD18DEC17	11220.00	10605.23	USD	3,688.62	
18SEP17	B 1 PD18DEC17	11160.00	10605.23	USD	-3,328.62	
18SEP17	S 1 PD18DEC17	11160.00	10605.23	USD	3,328.62	
18SEP17	B 1 PD18DEC17	11170.00	10605.23	USD	-3,388.62	
	NET +1 AVG BGHT 11197.50 SOLD 11201.67				**-3,478.62**	**0.00**
19SEP17	S 1 PD19DEC17	11165.00	10606.11	USD	3,353.34	
	NET -1 AVG SOLD 11165.00				**3,353.34**	**0.00**
21SEP17	B 1 PD21DEC17	11010.00	10608.00	USD	-2,412.00	
21SEP17	S 1 PD21DEC17	10980.00	10608.00	USD	2,232.00	
21SEP17	B 1 PD21DEC17	10915.00	10608.00	USD	-1,842.00	
21SEP17	S 1 PD21DEC17	10830.00	10608.00	USD	1,332.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083YO

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
21SEP17	S 1 PD21DEC17	10800.00	10608.00	USD	1,152.00	
21SEP17	B 1 PD21DEC17	10805.00	10608.00	USD	-1,182.00	
21SEP17	B 1 PD21DEC17	10740.00	10608.00	USD	-792.00	
21SEP17	S 1 PD21DEC17	10725.00	10608.00	USD	702.00	
21SEP17	S 1 PD21DEC17	10640.00	10608.00	USD	192.00	
21SEP17	B 1 PD21DEC17	10860.00	10608.00	USD	-1,512.00	
	NET 0 AVG BGHT 10866.00 SOLD 10795.00				**-2,130.00**	**0.00**
27SEP17	B 1 PD27DEC17	10250.00	10613.58	USD	2,181.48	
27SEP17	S 1 PD27DEC17	10250.00	10613.58	USD	-2,181.48	
	NET 0 AVG BGHT 10250.00 SOLD 10250.00				**0.00**	**0.00**
28SEP17	S 1 PD28DEC17	10510.00	10614.50	USD	-627.00	
28SEP17	B 1 PD28DEC17	10465.00	10614.50	USD	897.00	
	NET 0 AVG BGHT 10465.00 SOLD 10510.00				**270.00**	**0.00**
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
04JUL17	B 1 PD04OCT17	20060.00	20956.50	USD	4,482.50	
04JUL17	B 1 PD04OCT17	20005.00	20956.50	USD	4,757.50	
04JUL17	S 1 PD04OCT17	20005.00	20956.50	USD	-4,757.50	
19SEP17	S 1 PD04OCT17	20744.00	20956.50	USD	-1,062.50	
	NET 0 AVG BGHT 20032.50 SOLD 20374.50				**3,420.00**	**0.00**
05JUL17	B 1 PD05OCT17	19535.00	20954.00	USD	7,095.00	
05JUL17	B 1 PD05OCT17	19560.00	20954.00	USD	6,970.00	
05JUL17	S 1 PD05OCT17	19575.00	20954.00	USD	-6,895.00	
05JUL17	S 1 PD05OCT17	19600.00	20954.00	USD	-6,770.00	
05JUL17	S 1 PD05OCT17	19675.00	20954.00	USD	-6,395.00	
05JUL17	S 1 PD05OCT17	19755.00	20954.00	USD	-5,995.00	
19SEP17	B 2 PD05OCT17	20743.33	20954.00	USD	2,106.70	
	NET 0 AVG BGHT 20145.42 SOLD 19651.25				**-9,883.30**	**0.00**
06JUL17	B 1 PD06OCT17	19715.00	20951.50	USD	6,182.50	
06JUL17	B 1 PD06OCT17	19900.00	20951.50	USD	5,257.50	
06JUL17	S 1 PD06OCT17	19890.00	20951.50	USD	-5,307.50	
06JUL17	S 1 PD06OCT17	19920.00	20951.50	USD	-5,157.50	
06JUL17	S 1 PD06OCT17	19930.00	20951.50	USD	-5,107.50	
07JUL17	B 2 PD06OCT17	19900.00	20951.50	USD	10,515.00	
07JUL17	B 1 PD06OCT17	19870.00	20951.50	USD	5,407.50	
07JUL17	B 1 PD06OCT17	19625.00	20951.50	USD	6,632.50	
07JUL17	S 2 PD06OCT17	19675.00	20951.50	USD	-12,765.00	
07JUL17	B 1 PD06OCT17	19725.00	20951.50	USD	6,132.50	
19SEP17	S 2 PD06OCT17	20740.67	20951.50	USD	-2,108.30	
	NET 0 AVG BGHT 19805.00 SOLD 20081.62				**9,681.70**	**0.00**
11JUL17	B 1 PD11OCT17	19860.00	20946.50	USD	5,432.50	
11JUL17	S 1 PD11OCT17	19890.00	20946.50	USD	-5,282.50	
11JUL17	B 2 PD11OCT17	19855.00	20946.50	USD	10,915.00	
11JUL17	S 2 PD11OCT17	19895.00	20946.50	USD	-10,515.00	
	NET 0 AVG BGHT 19856.67 SOLD 19893.33				**550.00**	**0.00**
14JUL17	B 1 PD13OCT17	19850.00	20941.50	USD	5,457.50	
14JUL17	S 1 PD13OCT17	19895.00	20941.50	USD	-5,232.50	
	NET 0 AVG BGHT 19850.00 SOLD 19895.00				**225.00**	**0.00**
19JUL17	S 1 PD19OCT17	20085.00	20929.00	USD	-4,220.00	
19JUL17	S 1 PD19OCT17	20090.00	20929.00	USD	-4,195.00	
19JUL17	B 1 PD19OCT17	20100.00	20929.00	USD	4,145.00	
19SEP17	B 1 PD19OCT17	20728.00	20929.00	USD	1,005.00	
	NET 0 AVG BGHT 20414.00 SOLD 20087.50				**-3,265.00**	**0.00**
20JUL17	B 1 PD20OCT17	20115.00	20924.00	USD	4,045.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083YO

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
19SEP17	S 1 PD20OCT17	20725.00	20924.00	USD	-995.00	
	NET 0 AVG BGHT 20115.00 SOLD 20725.00				**3,050.00**	**0.00**
25JUL17	B 1 PD25OCT17	20310.00	20909.00	USD	2,995.00	
25JUL17	S 1 PD25OCT17	20350.00	20909.00	USD	-2,795.00	
25JUL17	S 1 PD25OCT17	20340.00	20909.00	USD	-2,845.00	
25JUL17	B 1 PD25OCT17	20330.00	20909.00	USD	2,895.00	
25JUL17	B 1 PD25OCT17	20350.00	20909.00	USD	2,795.00	
25JUL17	B 1 PD25OCT17	20365.00	20909.00	USD	2,720.00	
25JUL17	S 1 PD25OCT17	20365.00	20909.00	USD	-2,720.00	
25JUL17	S 1 PD25OCT17	20360.00	20909.00	USD	-2,745.00	
	NET 0 AVG BGHT 20338.75 SOLD 20353.75				**300.00**	**0.00**
27JUL17	S 1 PD27OCT17	20655.00	20899.00	USD	-1,220.00	
27JUL17	B 2 PD27OCT17	20620.00	20899.00	USD	2,790.00	
27JUL17	S 1 PD27OCT17	20635.00	20899.00	USD	-1,320.00	
28JUL17	S 2 PD27OCT17	20650.00	20899.00	USD	-2,490.00	
28JUL17	B 2 PD27OCT17	20655.00	20899.00	USD	2,440.00	
28JUL17	S 1 PD27OCT17	20680.00	20899.00	USD	-1,095.00	
28JUL17	B 1 PD27OCT17	20625.00	20899.00	USD	1,370.00	
28JUL17	B 1 PD27OCT17	20645.00	20899.00	USD	1,270.00	
28JUL17	S 1 PD27OCT17	20645.00	20899.00	USD	-1,270.00	
	NET 0 AVG BGHT 20636.67 SOLD 20652.50				**475.00**	**0.00**
31JUL17	B 2 PD31OCT17	20660.00	20889.00	USD	2,290.00	
31JUL17	S 1 PD31OCT17	20655.00	20889.00	USD	-1,170.00	
31JUL17	S 1 PD31OCT17	20695.00	20889.00	USD	-970.00	
	NET 0 AVG BGHT 20660.00 SOLD 20675.00				**150.00**	**0.00**
01AUG17	S 1 PD01NOV17	20535.00	20884.00	USD	-1,745.00	
01AUG17	B 1 PD01NOV17	20490.00	20884.00	USD	1,970.00	
	NET 0 AVG BGHT 20490.00 SOLD 20535.00				**225.00**	**0.00**
03AUG17	S 1 PD03NOV17	20640.00	20881.20	USD	-1,206.00	
03AUG17	B 1 PD03NOV17	20655.00	20881.20	USD	1,131.00	
04AUG17	B 1 PD03NOV17	20520.00	20881.20	USD	1,806.00	
04AUG17	B 1 PD03NOV17	20540.00	20881.20	USD	1,706.00	
04AUG17	S 1 PD03NOV17	20560.00	20881.20	USD	-1,606.00	
19SEP17	S 1 PD03NOV17	20706.00	20881.20	USD	-876.00	
	NET 0 AVG BGHT 20571.67 SOLD 20635.33				**955.00**	**0.00**
07AUG17	S 1 PD07NOV17	20575.00	20878.40	USD	-1,517.00	
07AUG17	B 2 PD07NOV17	20620.00	20878.40	USD	2,584.00	
19SEP17	S 1 PD07NOV17	20702.00	20878.40	USD	-882.00	
	NET 0 AVG BGHT 20620.00 SOLD 20638.50				**185.00**	**0.00**
08AUG17	B 1 PD08NOV17	20165.00	20877.00	USD	3,560.00	
08AUG17	S 1 PD08NOV17	20200.00	20877.00	USD	-3,385.00	
08AUG17	B 1 PD08NOV17	20160.00	20877.00	USD	3,585.00	
08AUG17	S 1 PD08NOV17	20215.00	20877.00	USD	-3,310.00	
08AUG17	S 1 PD08NOV17	20265.00	20877.00	USD	-3,060.00	
08AUG17	S 1 PD08NOV17	20245.00	20877.00	USD	-3,160.00	
19SEP17	B 2 PD08NOV17	20701.00	20877.00	USD	1,760.00	
	NET 0 AVG BGHT 20431.75 SOLD 20231.25				**-4,010.00**	**0.00**
09AUG17	B 1 PD09NOV17	20250.00	20875.60	USD	3,128.00	
09AUG17	B 1 PD09NOV17	20225.00	20875.60	USD	3,253.00	
09AUG17	S 1 PD09NOV17	20250.00	20875.60	USD	-3,128.00	
09AUG17	S 1 PD09NOV17	20225.00	20875.60	USD	-3,253.00	
	NET 0 AVG BGHT 20237.50 SOLD 20237.50				**0.00**	**0.00**
18AUG17	B 1 PD17NOV17	20190.00	20867.50	USD	3,387.50	
18AUG17	S 1 PD17NOV17	20230.00	20867.50	USD	-3,187.50	
	NET 0 AVG BGHT 20190.00 SOLD 20230.00					**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083YO

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
					200.00	
21AUG17	B 1 PD21NOV17	20450.00	20865.00	USD	2,075.00	
21AUG17	S 1 PD21NOV17	20435.00	20865.00	USD	-2,150.00	
21AUG17	S 1 PD21NOV17	20470.00	20865.00	USD	-1,975.00	
21AUG17	B 1 PD21NOV17	20500.00	20865.00	USD	1,825.00	
21AUG17	S 2 PD21NOV17	20485.00	20865.00	USD	-3,800.00	
21AUG17	B 1 PD21NOV17	20465.00	20865.00	USD	2,000.00	
21AUG17	B 1 PD21NOV17	20495.00	20865.00	USD	1,850.00	
	NET 0 AVG BGHT 20477.50 SOLD 20468.75				**-175.00**	**0.00**
22AUG17	B 1 PD22NOV17	20310.00	20863.75	USD	2,768.75	
22AUG17	S 1 PD22NOV17	20325.00	20863.75	USD	-2,693.75	
	NET 0 AVG BGHT 20310.00 SOLD 20325.00				**75.00**	**0.00**
23AUG17	S 1 PD24NOV17	20525.00	20862.50	USD	-1,687.50	
23AUG17	B 1 PD24NOV17	20520.00	20862.50	USD	1,712.50	
24AUG17	B 1 PD24NOV17	20465.00	20862.50	USD	1,987.50	
24AUG17	S 1 PD24NOV17	20475.00	20862.50	USD	-1,937.50	
25AUG17	B 1 PD24NOV17	20300.00	20862.50	USD	2,812.50	
25AUG17	S 1 PD24NOV17	20325.00	20862.50	USD	-2,687.50	
25AUG17	S 1 PD24NOV17	20395.00	20862.50	USD	-2,337.50	
25AUG17	B 1 PD24NOV17	20420.00	20862.50	USD	2,212.50	
	NET 0 AVG BGHT 20426.25 SOLD 20430.00				**75.00**	**0.00**
29AUG17	B 1 PD29NOV17	20370.00	20858.75	USD	2,443.75	
29AUG17	B 1 PD29NOV17	20390.00	20858.75	USD	2,343.75	
29AUG17	S 1 PD29NOV17	20460.00	20858.75	USD	-1,993.75	
29AUG17	S 1 PD29NOV17	20430.00	20858.75	USD	-2,143.75	
	NET 0 AVG BGHT 20380.00 SOLD 20445.00				**650.00**	**0.00**
30AUG17	B 1 PD30NOV17	20545.00	20857.50	USD	1,562.50	
30AUG17	S 1 PD30NOV17	20530.00	20857.50	USD	-1,637.50	
30AUG17	S 1 PD30NOV17	20545.00	20857.50	USD	-1,562.50	
30AUG17	S 1 PD30NOV17	20580.00	20857.50	USD	-1,387.50	
30AUG17	B 1 PD30NOV17	20600.00	20857.50	USD	1,287.50	
30AUG17	B 1 PD30NOV17	20630.00	20857.50	USD	1,137.50	
31AUG17	B 1 PD30NOV17	20700.00	20857.50	USD	787.50	
31AUG17	S 1 PD30NOV17	20685.00	20857.50	USD	-862.50	
	NET 0 AVG BGHT 20618.75 SOLD 20585.00				**-675.00**	**0.00**
01SEP17	B 1 PD01DEC17	20710.00	20856.25	USD	731.25	
01SEP17	S 1 PD01DEC17	20685.00	20856.25	USD	-856.25	
01SEP17	B 1 PD01DEC17	20670.00	20856.25	USD	931.25	
01SEP17	S 1 PD01DEC17	20695.00	20856.25	USD	-806.25	
	NET 0 AVG BGHT 20690.00 SOLD 20690.00				**0.00**	**0.00**
04SEP17	S 1 PD04DEC17	20790.00	20855.00	USD	-325.00	
19SEP17	B 1 PD04DEC17	20669.13	20855.00	USD	929.35	
	NET 0 AVG BGHT 20669.13 SOLD 20790.00				**604.35**	**0.00**
05SEP17	B 1 PD05DEC17	20800.00	20853.75	USD	268.75	
19SEP17	S 1 PD05DEC17	20666.39	20853.75	USD	-936.80	
	NET 0 AVG BGHT 20800.00 SOLD 20666.39				**-668.05**	**0.00**
06SEP17	S 1 PD06DEC17	20765.00	20852.50	USD	-437.50	
06SEP17	B 1 PD06DEC17	20785.00	20852.50	USD	337.50	
	NET 0 AVG BGHT 20785.00 SOLD 20765.00				**-100.00**	**0.00**
13SEP17	S 1 PD13DEC17	20590.00	20846.25	USD	-1,281.25	
13SEP17	B 1 PD13DEC17	20575.00	20846.25	USD	1,356.25	
	NET 0 AVG BGHT 20575.00 SOLD 20590.00				**75.00**	**0.00**
15SEP17	B 1 PD15DEC17	20515.00	20843.75	USD	1,643.75	
15SEP17	S 1 PD15DEC17	20565.00	20843.75	USD	-1,393.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083YO

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
15SEP17	B 1 PD15DEC17	20555.00	20843.75	USD	1,443.75	
15SEP17	S 1 PD15DEC17	20500.00	20843.75	USD	-1,718.75	
	NET 0 AVG BGHT 20535.00 SOLD 20532.50				**-25.00**	**0.00**
18SEP17	B 1 PD18DEC17	20670.00	20842.50	USD	862.50	
18SEP17	S 1 PD18DEC17	20670.00	20842.50	USD	-862.50	
18SEP17	S 1 PD18DEC17	20700.00	20842.50	USD	-712.50	
	NET -1 AVG BGHT 20670.00 SOLD 20685.00				**-712.50**	**0.00**
19SEP17	B 1 PD19DEC17	20665.00	20841.25	USD	881.25	
	NET +1 AVG BGHT 20665.00				**881.25**	**0.00**
20SEP17	B 1 PD20DEC17	20655.00	20840.00	USD	925.00	
20SEP17	S 1 PD20DEC17	20675.00	20840.00	USD	-825.00	
20SEP17	B 1 PD20DEC17	20665.00	20840.00	USD	875.00	
20SEP17	S 1 PD20DEC17	20665.00	20840.00	USD	-875.00	
	NET 0 AVG BGHT 20660.00 SOLD 20670.00				**100.00**	**0.00**
21SEP17	S 1 PD21DEC17	20480.00	20833.40	USD	-1,767.00	
21SEP17	B 1 PD21DEC17	20455.00	20833.40	USD	1,892.00	
	NET 0 AVG BGHT 20455.00 SOLD 20480.00				**125.00**	**0.00**
25SEP17	S 1 PD27DEC17	20695.00	20820.20	USD	-626.00	
25SEP17	B 1 PD27DEC17	20705.00	20820.20	USD	576.00	
	NET 0 AVG BGHT 20705.00 SOLD 20695.00				**-50.00**	**0.00**
28SEP17	B 1 PD28DEC17	20635.00	20813.60	USD	893.00	
28SEP17	S 1 PD28DEC17	20725.00	20813.60	USD	-443.00	
28SEP17	B 1 PD28DEC17	20700.00	20813.60	USD	568.00	
28SEP17	S 1 PD28DEC17	20640.00	20813.60	USD	-868.00	
	NET 0 AVG BGHT 20667.50 SOLD 20682.50				**150.00**	**0.00**
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
01AUG17	S 1 PD01NOV17	2797.50	3274.50	USD	-11,925.00	
01AUG17	B 1 PD01NOV17	2782.00	3274.50	USD	12,312.50	
	NET 0 AVG BGHT 2782.00 SOLD 2797.50				**387.50**	**0.00**
02AUG17	S 1 PD02NOV17	2806.50	3273.50	USD	-11,675.00	
19SEP17	B 1 PD02NOV17	3106.25	3273.50	USD	4,181.25	
	NET 0 AVG BGHT 3106.25 SOLD 2806.50				**-7,493.75**	**0.00**
03AUG17	B 1 PD03NOV17	2827.00	3272.00	USD	11,125.00	
04AUG17	S 1 PD03NOV17	2827.00	3272.00	USD	-11,125.00	
04AUG17	B 1 PD03NOV17	2816.50	3272.00	USD	11,387.50	
19SEP17	S 1 PD03NOV17	3105.99	3272.00	USD	-4,150.25	
	NET 0 AVG BGHT 2821.75 SOLD 2966.50				**7,237.25**	**0.00**
07AUG17	S 1 PD07NOV17	2873.00	3272.00	USD	-9,975.00	
07AUG17	S 1 PD07NOV17	2874.00	3272.00	USD	-9,950.00	
07AUG17	B 1 PD07NOV17	2860.50	3272.00	USD	10,287.50	
19SEP17	B 1 PD07NOV17	3106.08	3272.00	USD	4,148.00	
	NET 0 AVG BGHT 2983.29 SOLD 2873.50				**-5,489.50**	**0.00**
08AUG17	S 1 PD08NOV17	2882.50	3272.00	USD	-9,737.50	
08AUG17	B 1 PD08NOV17	2898.00	3272.00	USD	9,350.00	
08AUG17	S 1 PD08NOV17	2910.00	3272.00	USD	-9,050.00	
19SEP17	B 1 PD08NOV17	3106.03	3272.00	USD	4,149.25	
	NET 0 AVG BGHT 3002.02 SOLD 2896.25				**-5,288.25**	**0.00**
09AUG17	B 1 PD09NOV17	2963.00	3272.00	USD	7,725.00	
09AUG17	S 1 PD09NOV17	2970.00	3272.00	USD	-7,550.00	
09AUG17	S 1 PD09NOV17	2932.00	3272.00	USD	-8,500.00	
09AUG17	B 2 PD09NOV17	2935.50	3272.00	USD	16,825.00	
19SEP17	S 1 PD09NOV17	3105.77	3272.00	USD	-4,155.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083YO

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
	NET 0 AVG BGHT 2944.67 SOLD 3002.59				**4,344.25**	**0.00**
17AUG17	B 1 PD17NOV17	3050.50	3265.50	USD	5,375.00	
18AUG17	B 1 PD17NOV17	3119.50	3265.50	USD	3,650.00	
18AUG17	S 1 PD17NOV17	3124.00	3265.50	USD	-3,537.50	
19SEP17	S 1 PD17NOV17	3103.53	3265.50	USD	-4,049.25	
	NET 0 AVG BGHT 3085.00 SOLD 3113.77				**1,438.25**	**0.00**
29AUG17	B 2 PD29NOV17	3102.00	3265.25	USD	8,162.50	
29AUG17	S 2 PD29NOV17	3106.00	3265.25	USD	-7,962.50	
	NET 0 AVG BGHT 3102.00 SOLD 3106.00				**200.00**	**0.00**
18SEP17	S 1 PD18DEC17	3109.50	3267.29	USD	-3,944.75	
	NET -1 AVG SOLD 3109.50				**-3,944.75**	**0.00**
19SEP17	B 1 PD19DEC17	3110.00	3267.39	USD	3,934.75	
	NET +1 AVG BGHT 3110.00				**3,934.75**	**0.00**
28SEP17	B 1 PD28DEC17	3155.50	3264.00	USD	2,712.50	
28SEP17	S 1 PD28DEC17	3147.50	3264.00	USD	-2,912.50	
	NET 0 AVG BGHT 3155.50 SOLD 3147.50				**-200.00**	**0.00**

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	1,593.75
LME Copper Grade A 25	FUTURES	50.00
LME Lead 25 tonnes. This is an	FUTURES	2,343.75
LME Nickel 6 tonnes. This is	FUTURES	-17,635.92
LME Tin 5 tonnes. This is an	FUTURES	2,594.70
LME Zinc 25 tonnes. This is	FUTURES	-4,876.75

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards			Amount	Profit / Loss	**Total Amount**	**Total P&L**
ALUL	- Futures	USD	1,587.00	1,587.00		
COPL	- Futures	USD	50.00	50.00		
LEAD	- Futures	USD	2,343.75	2,343.75		
NKL	- Futures	USD	-17,692.80	-17,692.80		
TIN	- Futures	USD	2,588.45	2,588.45		
ZINC	- Futures	USD	-4,874.25	-4,874.25	**-15,997.85**	**-15,997.85**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083YO

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		30,732.84	30,732.84
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**30,732.84**	**30,732.84**
Initial Margins		-17,005.85	-17,005.85
Excess/(Shortage)		**13,726.99**	**13,726.99**
FOR INFORMATION ONLY			
Forward Unrealised P&L		-15,997.85	-15,997.85
Net Liquidating Value		**14,734.99**	**14,734.99**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083ZC

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
13SEP17	S 1 PD13DEC17	6595.50	6514.98	USD	2,013.00	
13SEP17	B 1 PD13DEC17	6596.00	6514.98	USD	-2,025.50	
	NET 0 AVG BGHT 6596.00 SOLD 6595.50				**-12.50**	**0.00**
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
26JUL17	S 1 PD26OCT17	2315.50	2588.00	USD	-6,812.50	
26JUL17	B 1 PD26OCT17	2332.50	2588.00	USD	6,387.50	
	NET 0 AVG BGHT 2332.50 SOLD 2315.50				**-425.00**	**0.00**
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
05JUL17	S 1 PD05OCT17	19995.00	20954.00	USD	-4,795.00	
05JUL17	B 1 PD05OCT17	20020.00	20954.00	USD	4,670.00	
05JUL17	S 1 PD05OCT17	20040.00	20954.00	USD	-4,570.00	
05JUL17	B 1 PD05OCT17	20035.00	20954.00	USD	4,595.00	
05JUL17	B 1 PD05OCT17	19920.00	20954.00	USD	5,170.00	
05JUL17	S 1 PD05OCT17	19925.00	20954.00	USD	-5,145.00	
05JUL17	B 1 PD05OCT17	19820.00	20954.00	USD	5,670.00	
05JUL17	B 1 PD05OCT17	19755.00	20954.00	USD	5,995.00	
05JUL17	S 1 PD05OCT17	19710.00	20954.00	USD	-6,220.00	
05JUL17	S 1 PD05OCT17	19810.00	20954.00	USD	-5,720.00	
05JUL17	B 1 PD05OCT17	19535.00	20954.00	USD	7,095.00	
05JUL17	S 1 PD05OCT17	19575.00	20954.00	USD	-6,895.00	
	NET 0 AVG BGHT 19847.50 SOLD 19842.50				**-150.00**	**0.00**
07JUL17	S 1 PD06OCT17	19925.00	20951.50	USD	-5,132.50	
07JUL17	B 1 PD06OCT17	19910.00	20951.50	USD	5,207.50	
07JUL17	S 1 PD06OCT17	19875.00	20951.50	USD	-5,382.50	
07JUL17	B 1 PD06OCT17	19880.00	20951.50	USD	5,357.50	
	NET 0 AVG BGHT 19895.00 SOLD 19900.00				**50.00**	**0.00**
13JUL17	B 1 PD13OCT17	19965.00	20941.50	USD	4,882.50	
13JUL17	S 1 PD13OCT17	19975.00	20941.50	USD	-4,832.50	
14JUL17	S 1 PD13OCT17	19815.00	20941.50	USD	-5,632.50	
14JUL17	B 1 PD13OCT17	19830.00	20941.50	USD	5,557.50	
14JUL17	S 1 PD13OCT17	19820.00	20941.50	USD	-5,607.50	
14JUL17	B 1 PD13OCT17	19835.00	20941.50	USD	5,532.50	
	NET 0 AVG BGHT 19876.67 SOLD 19870.00				**-100.00**	**0.00**
17JUL17	B 1 PD17OCT17	19855.00	20936.50	USD	5,407.50	
17JUL17	S 1 PD17OCT17	19900.00	20936.50	USD	-5,182.50	
17JUL17	B 1 PD17OCT17	19955.00	20936.50	USD	4,907.50	
17JUL17	S 1 PD17OCT17	19985.00	20936.50	USD	-4,757.50	
17JUL17	S 1 PD17OCT17	20065.00	20936.50	USD	-4,357.50	
17JUL17	B 1 PD17OCT17	20005.00	20936.50	USD	4,657.50	
	NET 0 AVG BGHT 19938.33 SOLD 19983.33				**675.00**	**0.00**
18JUL17	B 1 PD18OCT17	19950.00	20934.00	USD	4,920.00	
18JUL17	S 1 PD18OCT17	19950.00	20934.00	USD	-4,920.00	
18JUL17	S 1 PD18OCT17	20065.00	20934.00	USD	-4,345.00	
18JUL17	B 1 PD18OCT17	20035.00	20934.00	USD	4,495.00	
	NET 0 AVG BGHT 19992.50 SOLD 20007.50				**150.00**	**0.00**
19JUL17	B 1 PD19OCT17	20100.00	20929.00	USD	4,145.00	
19JUL17	S 1 PD19OCT17	20055.00	20929.00	USD	-4,370.00	
19JUL17	B 1 PD19OCT17	20130.00	20929.00	USD	3,995.00	
19JUL17	S 1 PD19OCT17	20145.00	20929.00	USD	-3,920.00	
	NET 0 AVG BGHT 20115.00 SOLD 20100.00				**-150.00**	**0.00**
21JUL17	S 1 PD20OCT17	20190.00	20924.00	USD	-3,670.00	
21JUL17	B 1 PD20OCT17	20200.00	20924.00	USD	3,620.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083ZC

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
	NET 0 AVG BGHT 20200.00 SOLD 20190.00				**-50.00**	**0.00**
24JUL17	S 1 PD24OCT17	20245.00	20914.00	USD	-3,345.00	
24JUL17	B 1 PD24OCT17	20255.00	20914.00	USD	3,295.00	
	NET 0 AVG BGHT 20255.00 SOLD 20245.00				**-50.00**	**0.00**
26JUL17	B 1 PD26OCT17	20340.00	20904.00	USD	2,820.00	
26JUL17	S 2 PD26OCT17	20365.00	20904.00	USD	-5,390.00	
26JUL17	B 1 PD26OCT17	20350.00	20904.00	USD	2,770.00	
26JUL17	B 1 PD26OCT17	20315.00	20904.00	USD	2,945.00	
26JUL17	S 2 PD26OCT17	20345.00	20904.00	USD	-5,590.00	
26JUL17	B 1 PD26OCT17	20345.00	20904.00	USD	2,795.00	
	NET 0 AVG BGHT 20337.50 SOLD 20355.00				**350.00**	**0.00**
27JUL17	S 1 PD27OCT17	20670.00	20899.00	USD	-1,145.00	
27JUL17	B 1 PD27OCT17	20685.00	20899.00	USD	1,070.00	
	NET 0 AVG BGHT 20685.00 SOLD 20670.00				**-75.00**	**0.00**
02AUG17	S 1 PD02NOV17	20540.00	20882.60	USD	-1,713.00	
02AUG17	B 1 PD02NOV17	20530.00	20882.60	USD	1,763.00	
	NET 0 AVG BGHT 20530.00 SOLD 20540.00				**50.00**	**0.00**
03AUG17	B 1 PD03NOV17	20615.00	20881.20	USD	1,331.00	
03AUG17	S 1 PD03NOV17	20645.00	20881.20	USD	-1,181.00	
03AUG17	S 1 PD03NOV17	20670.00	20881.20	USD	-1,056.00	
03AUG17	B 1 PD03NOV17	20655.00	20881.20	USD	1,131.00	
04AUG17	B 1 PD03NOV17	20665.00	20881.20	USD	1,081.00	
04AUG17	S 1 PD03NOV17	20670.00	20881.20	USD	-1,056.00	
	NET 0 AVG BGHT 20645.00 SOLD 20661.67				**250.00**	**0.00**
07AUG17	B 1 PD07NOV17	20560.00	20878.40	USD	1,592.00	
07AUG17	S 1 PD07NOV17	20575.00	20878.40	USD	-1,517.00	
	NET 0 AVG BGHT 20560.00 SOLD 20575.00				**75.00**	**0.00**
08AUG17	B 1 PD08NOV17	20470.00	20877.00	USD	2,035.00	
08AUG17	B 1 PD08NOV17	20430.00	20877.00	USD	2,235.00	
08AUG17	B 1 PD08NOV17	20290.00	20877.00	USD	2,935.00	
08AUG17	S 1 PD08NOV17	20285.00	20877.00	USD	-2,960.00	
08AUG17	S 1 PD08NOV17	20460.00	20877.00	USD	-2,085.00	
08AUG17	S 1 PD08NOV17	20465.00	20877.00	USD	-2,060.00	
	NET 0 AVG BGHT 20396.67 SOLD 20403.33				**100.00**	**0.00**
09AUG17	B 1 PD09NOV17	20330.00	20875.60	USD	2,728.00	
09AUG17	S 1 PD09NOV17	20325.00	20875.60	USD	-2,753.00	
09AUG17	S 1 PD09NOV17	20350.00	20875.60	USD	-2,628.00	
09AUG17	B 1 PD09NOV17	20340.00	20875.60	USD	2,678.00	
09AUG17	B 1 PD09NOV17	20360.00	20875.60	USD	2,578.00	
09AUG17	S 1 PD09NOV17	20370.00	20875.60	USD	-2,528.00	
09AUG17	B 1 PD09NOV17	20320.00	20875.60	USD	2,778.00	
09AUG17	S 1 PD09NOV17	20320.00	20875.60	USD	-2,778.00	
	NET 0 AVG BGHT 20337.50 SOLD 20341.25				**75.00**	**0.00**
10AUG17	B 1 PD10NOV17	20265.00	20874.20	USD	3,046.00	
10AUG17	S 1 PD10NOV17	20255.00	20874.20	USD	-3,096.00	
10AUG17	B 1 PD10NOV17	20260.00	20874.20	USD	3,071.00	
10AUG17	S 1 PD10NOV17	20270.00	20874.20	USD	-3,021.00	
11AUG17	S 1 PD10NOV17	20325.00	20874.20	USD	-2,746.00	
11AUG17	B 1 PD10NOV17	20325.00	20874.20	USD	2,746.00	
	NET 0 AVG BGHT 20283.33 SOLD 20283.33				**0.00**	**0.00**
14AUG17	B 1 PD14NOV17	20375.00	20871.40	USD	2,482.00	
14AUG17	S 1 PD14NOV17	20335.00	20871.40	USD	-2,682.00	
	NET 0 AVG BGHT 20375.00 SOLD 20335.00				**-200.00**	**0.00**
17AUG17	S 1 PD17NOV17	20340.00	20867.50	USD	-2,637.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083ZC

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
17AUG17	B 1 PD17NOV17	20345.00	20867.50	USD	2,612.50	
	NET 0 AVG BGHT 20345.00 SOLD 20340.00				**-25.00**	**0.00**
21AUG17	B 1 PD21NOV17	20420.00	20865.00	USD	2,225.00	
21AUG17	B 1 PD21NOV17	20430.00	20865.00	USD	2,175.00	
21AUG17	S 1 PD21NOV17	20415.00	20865.00	USD	-2,250.00	
21AUG17	S 1 PD21NOV17	20430.00	20865.00	USD	-2,175.00	
	NET 0 AVG BGHT 20425.00 SOLD 20422.50				**-25.00**	**0.00**
22AUG17	S 1 PD22NOV17	20490.00	20863.75	USD	-1,868.75	
22AUG17	B 1 PD22NOV17	20470.00	20863.75	USD	1,968.75	
	NET 0 AVG BGHT 20470.00 SOLD 20490.00				**100.00**	**0.00**
23AUG17	S 1 PD24NOV17	20525.00	20862.50	USD	-1,687.50	
23AUG17	B 1 PD24NOV17	20515.00	20862.50	USD	1,737.50	
	NET 0 AVG BGHT 20515.00 SOLD 20525.00				**50.00**	**0.00**
30AUG17	S 1 PD30NOV17	20435.00	20857.50	USD	-2,112.50	
30AUG17	B 1 PD30NOV17	20415.00	20857.50	USD	2,212.50	
30AUG17	S 1 PD30NOV17	20590.00	20857.50	USD	-1,337.50	
30AUG17	B 1 PD30NOV17	20600.00	20857.50	USD	1,287.50	
31AUG17	B 1 PD30NOV17	20630.00	20857.50	USD	1,137.50	
31AUG17	S 1 PD30NOV17	20650.00	20857.50	USD	-1,037.50	
31AUG17	B 1 PD30NOV17	20600.00	20857.50	USD	1,287.50	
31AUG17	S 1 PD30NOV17	20595.00	20857.50	USD	-1,312.50	
31AUG17	B 1 PD30NOV17	20640.00	20857.50	USD	1,087.50	
31AUG17	S 2 PD30NOV17	20645.00	20857.50	USD	-2,125.00	
31AUG17	B 1 PD30NOV17	20650.00	20857.50	USD	1,037.50	
31AUG17	S 2 PD30NOV17	20695.00	20857.50	USD	-1,625.00	
31AUG17	B 2 PD30NOV17	20680.00	20857.50	USD	1,775.00	
	NET 0 AVG BGHT 20611.88 SOLD 20618.75				**275.00**	**0.00**
01SEP17	B 1 PD01DEC17	20725.00	20856.25	USD	656.25	
01SEP17	S 1 PD01DEC17	20725.00	20856.25	USD	-656.25	
01SEP17	S 1 PD01DEC17	20770.00	20856.25	USD	-431.25	
01SEP17	B 1 PD01DEC17	20735.00	20856.25	USD	606.25	
	NET 0 AVG BGHT 20730.00 SOLD 20747.50				**175.00**	**0.00**
04SEP17	S 1 PD04DEC17	20710.00	20855.00	USD	-725.00	
04SEP17	B 1 PD04DEC17	20690.00	20855.00	USD	825.00	
04SEP17	B 1 PD04DEC17	20720.00	20855.00	USD	675.00	
04SEP17	S 1 PD04DEC17	20730.00	20855.00	USD	-625.00	
	NET 0 AVG BGHT 20705.00 SOLD 20720.00				**150.00**	**0.00**
05SEP17	S 1 PD05DEC17	20785.00	20853.75	USD	-343.75	
05SEP17	B 1 PD05DEC17	20760.00	20853.75	USD	468.75	
	NET 0 AVG BGHT 20760.00 SOLD 20785.00				**125.00**	**0.00**
07SEP17	S 1 PD07DEC17	20780.00	20851.25	USD	-356.25	
07SEP17	B 1 PD07DEC17	20770.00	20851.25	USD	406.25	
	NET 0 AVG BGHT 20770.00 SOLD 20780.00				**50.00**	**0.00**
08SEP17	B 1 PD08DEC17	20555.00	20850.00	USD	1,475.00	
08SEP17	S 1 PD08DEC17	20560.00	20850.00	USD	-1,450.00	
	NET 0 AVG BGHT 20555.00 SOLD 20560.00				**25.00**	**0.00**
13SEP17	S 1 PD13DEC17	20595.00	20846.25	USD	-1,256.25	
13SEP17	B 1 PD13DEC17	20605.00	20846.25	USD	1,206.25	
	NET 0 AVG BGHT 20605.00 SOLD 20595.00				**-50.00**	**0.00**
15SEP17	S 1 PD15DEC17	20500.00	20843.75	USD	-1,718.75	
15SEP17	B 1 PD15DEC17	20515.00	20843.75	USD	1,643.75	
	NET 0 AVG BGHT 20515.00 SOLD 20500.00				**-75.00**	**0.00**
18SEP17	B 1 PD18DEC17	20640.00	20842.50	USD	1,012.50	
18SEP17	S 1 PD18DEC17	20670.00	20842.50	USD	-862.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083ZC

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
18SEP17	B 1 PD18DEC17	20690.00	20842.50	USD	762.50	
18SEP17	S 1 PD18DEC17	20685.00	20842.50	USD	-787.50	
	NET 0 AVG BGHT 20665.00 SOLD 20677.50				**125.00**	**0.00**
19SEP17	B 1 PD19DEC17	20715.00	20841.25	USD	631.25	
19SEP17	S 1 PD19DEC17	20740.00	20841.25	USD	-506.25	
	NET 0 AVG BGHT 20715.00 SOLD 20740.00				**125.00**	**0.00**
21SEP17	B 1 PD21DEC17	20500.00	20833.40	USD	1,667.00	
21SEP17	S 1 PD21DEC17	20525.00	20833.40	USD	-1,542.00	
	NET 0 AVG BGHT 20500.00 SOLD 20525.00				**125.00**	**0.00**
22SEP17	B 1 PD22DEC17	20630.00	20826.80	USD	984.00	
22SEP17	S 1 PD22DEC17	20580.00	20826.80	USD	-1,234.00	
22SEP17	B 1 PD22DEC17	20570.00	20826.80	USD	1,284.00	
22SEP17	S 1 PD22DEC17	20590.00	20826.80	USD	-1,184.00	
	NET 0 AVG BGHT 20600.00 SOLD 20585.00				**-150.00**	**0.00**
27SEP17	S 1 PD27DEC17	20665.00	20820.20	USD	-776.00	
27SEP17	B 1 PD27DEC17	20680.00	20820.20	USD	701.00	
	NET 0 AVG BGHT 20680.00 SOLD 20665.00				**-75.00**	**0.00**
29SEP17	S 1 PD29DEC17	20720.00	20807.00	USD	-435.00	
29SEP17	B 1 PD29DEC17	20680.00	20807.00	USD	635.00	
29SEP17	S 1 PD29DEC17	20770.00	20807.00	USD	-185.00	
29SEP17	B 1 PD29DEC17	20760.00	20807.00	USD	235.00	
	NET 0 AVG BGHT 20720.00 SOLD 20745.00				**250.00**	**0.00**
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
20JUL17	B 1 PD20OCT17	2737.50	3282.50	USD	13,625.00	
20JUL17	B 1 PD20OCT17	2739.00	3282.50	USD	13,587.50	
20JUL17	S 1 PD20OCT17	2739.50	3282.50	USD	-13,575.00	
20JUL17	S 1 PD20OCT17	2744.00	3282.50	USD	-13,462.50	
	NET 0 AVG BGHT 2738.25 SOLD 2741.75				**175.00**	**0.00**
13SEP17	S 3 PD13DEC17	3045.50	3266.75	USD	-16,593.75	
13SEP17	S 1 PD13DEC17	3046.50	3266.75	USD	-5,506.25	
13SEP17	S 1 PD13DEC17	3047.00	3266.75	USD	-5,493.75	
13SEP17	S 3 PD13DEC17	3048.50	3266.75	USD	-16,368.75	
13SEP17	B 3 PD13DEC17	3044.00	3266.75	USD	16,706.25	
13SEP17	B 2 PD13DEC17	3045.00	3266.75	USD	11,087.50	
13SEP17	B 3 PD13DEC17	3050.00	3266.75	USD	16,256.25	
	NET 0 AVG BGHT 3046.50 SOLD 3046.94				**87.50**	**0.00**

OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	-12.50
LME Lead 25 tonnes. This is an	FUTURES	-425.00
LME Tin 5 tonnes. This is an	FUTURES	2,175.00
LME Zinc 25 tonnes. This is	FUTURES	262.50

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083ZC

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards			Amount	Profit / Loss	**Total Amount**	**Total P&L**
COPL	- Futures	USD	-12.50	-12.50		
LEAD	- Futures	USD	-425.00	-425.00		
TIN	- Futures	USD	2,175.00	2,175.00		
ZINC	- Futures	USD	262.50	262.50	**2,000.00**	**2,000.00**

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		8,445.00	8,445.00
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**8,445.00**	**8,445.00**
Initial Margins		0.00	0.00
Excess/(Shortage)		**8,445.00**	**8,445.00**
FOR INFORMATION ONLY			
Forward Unrealised P&L		2,000.00	2,000.00
Net Liquidating Value		**10,445.00**	**10,445.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083ZG

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	NYM LIGHT SWEET CRUDE OIL 1,000 NYM					
18JUL17	B 1 NOV17	46.99	50.42	USD	3,430.00	
10AUG17	B 1 NOV17	49.08	50.42	USD	1,340.00	
29AUG17	B 1 NOV17	46.46	50.42	USD	3,960.00	
29AUG17	B 1 NOV17	46.77	50.42	USD	3,650.00	
05SEP17	B 1 NOV17	48.17	50.42	USD	2,250.00	
05SEP17	B 1 NOV17	49.12	50.42	USD	1,300.00	
07SEP17	B 1 NOV17	49.43	50.42	USD	990.00	
08SEP17	B 1 NOV17	49.48	50.42	USD	940.00	
08SEP17	B 1 NOV17	49.45	50.42	USD	970.00	
14SEP17	B 3 NOV17	50.58	50.42	USD	-480.00	
15SEP17	B 3 NOV17	50.32	50.42	USD	300.00	
15SEP17	B 1 NOV17	50.31	50.42	USD	110.00	
21SEP17	B 1 NOV17	50.59	50.42	USD	-170.00	
	NET +17 AVG BGHT 49.33				**18,590.00**	**0.00**
26JUN17	S 1 DEC17	44.16	50.74	USD	-6,580.00	
21JUL17	S 1 DEC17	47.36	50.74	USD	-3,380.00	
24JUL17	S 1 DEC17	46.95	50.74	USD	-3,790.00	
29AUG17	S 1 DEC17	47.19	50.74	USD	-3,550.00	
31AUG17	S 1 DEC17	48.48	50.74	USD	-2,260.00	
05SEP17	S 1 DEC17	48.63	50.74	USD	-2,110.00	
05SEP17	S 1 DEC17	49.48	50.74	USD	-1,260.00	
05SEP17	S 1 DEC17	49.31	50.74	USD	-1,430.00	
07SEP17	S 1 DEC17	49.81	50.74	USD	-930.00	
08SEP17	S 1 DEC17	49.84	50.74	USD	-900.00	
13SEP17	S 1 DEC17	50.05	50.74	USD	-690.00	
21SEP17	S 1 DEC17	50.96	50.74	USD	220.00	
27SEP17	S 1 DEC17	52.55	50.74	USD	1,810.00	
	NET -13 AVG SOLD 48.83				**-24,850.00**	**0.00**
27JUN17	S 1 JAN18	45.66	50.99	USD	-5,330.00	
28JUN17	S 1 JAN18	45.46	50.99	USD	-5,530.00	
29JUN17	S 1 JAN18	46.44	50.99	USD	-4,550.00	
05JUL17	S 1 JAN18	47.68	50.99	USD	-3,310.00	
19JUL17	S 1 JAN18	48.27	50.99	USD	-2,720.00	
08SEP17	S 1 JAN18	50.19	50.99	USD	-800.00	
15SEP17	S 1 JAN18	50.91	50.99	USD	-80.00	
15SEP17	S 1 JAN18	50.94	50.99	USD	-50.00	
	NET -8 AVG SOLD 48.19				**-22,370.00**	**0.00**
31AUG17	B 1 FEB18	49.16	51.13	USD	1,970.00	
13SEP17	B 1 FEB18	50.26	51.13	USD	870.00	
14SEP17	B 1 FEB18	50.94	51.13	USD	190.00	
15SEP17	B 1 FEB18	51.10	51.13	USD	30.00	
	NET +4 AVG BGHT 50.37				**3,060.00**	**0.00**
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
03OCT17	S 1 PD03JAN18	6498.00	6520.00	USD	-550.00	
03OCT17	S 1 PD03JAN18	6497.50	6520.00	USD	-562.50	
03OCT17	B 1 PD03JAN18	6494.00	6520.00	USD	650.00	
03OCT17	B 1 PD03JAN18	6493.00	6520.00	USD	675.00	
	NET 0 AVG BGHT 6493.50 SOLD 6497.75				**212.50**	**0.00**
	NYM HENRY HUB NATURAL GAS 10,000 NYM					
12SEP17	B 1 NOV17	3.00800	2.89500	USD	-1,130.00	
18SEP17	B 1 NOV17	3.17000	2.89500	USD	-2,750.00	
18SEP17	B 2 NOV17	3.17400	2.89500	USD	-5,580.00	
18SEP17	B 1 NOV17	3.17600	2.89500	USD	-2,810.00	
18SEP17	B 1 NOV17	3.19900	2.89500	USD	-3,040.00	
18SEP17	B 1 NOV17	3.20000	2.89500	USD	-3,050.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083ZG

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	NYM HENRY HUB NATURAL GAS 10,000 NYM					
19SEP17	B 1 NOV17	3.19600	2.89500	USD	-3,010.00	
26SEP17	B 1 NOV17	3.01000	2.89500	USD	-1,150.00	
	NET +9 AVG BGHT 3.14522				**-22,520.00**	**0.00**
12SEP17	S 1 DEC17	3.16600	3.08500	USD	810.00	
18SEP17	S 1 DEC17	3.31300	3.08500	USD	2,280.00	
18SEP17	S 2 DEC17	3.31400	3.08500	USD	4,580.00	
18SEP17	S 1 DEC17	3.31600	3.08500	USD	2,310.00	
18SEP17	S 1 DEC17	3.33900	3.08500	USD	2,540.00	
18SEP17	S 1 DEC17	3.34000	3.08500	USD	2,550.00	
19SEP17	S 1 DEC17	3.33900	3.08500	USD	2,540.00	
26SEP17	S 1 DEC17	3.16900	3.08500	USD	840.00	
	NET -9 AVG SOLD 3.29000				**18,450.00**	**0.00**
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
26SEP17	B 2 PD27DEC17	3135.00	3264.00	USD	6,450.00	
26SEP17	S 1 PD27DEC17	3140.00	3264.00	USD	-3,100.00	
26SEP17	S 1 PD27DEC17	3142.00	3264.00	USD	-3,050.00	
	NET 0 AVG BGHT 3135.00 SOLD 3141.00				**300.00**	**0.00**

OPEN POSITION VALUE

		USD
NYM Light Sweet Crude Oil	FUTURES	-19,690.00
LME Copper Grade A 25	FUTURES	212.50
NYM Henry Hub Natural Gas	FUTURES	13,030.00
LME Zinc 25 tonnes. This is	FUTURES	300.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options			Amount	Profit / Loss	**Total Amount**	**Total P&L**
COIL	- Futures	USD	-25,570.00	-25,570.00		
HGAS	- Futures	USD	-4,070.00	-4,070.00	**-29,640.00**	**-29,640.00**
Unrealised Profits & Losses - Forwards						
COPL	- Futures	USD	212.50	212.50		
ZINC	- Futures	USD	300.00	300.00	**512.50**	**512.50**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083ZG

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		63,024.47	63,024.47
Variation Margins	- Futures	-29,640.00	-29,640.00
	- Options	0.00	0.00
TOTAL EQUITY		**33,384.47**	**33,384.47**
Initial Margins		-8,500.00	-8,500.00
Excess/(Shortage)		**24,884.47**	**24,884.47**
FOR INFORMATION ONLY			
Forward Unrealised P&L		512.50	512.50
Net Liquidating Value		**33,896.97**	**33,896.97**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083ZH

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
05JUL17	S 1 PD05OCT17	1929.00	2114.25	USD	-4,631.25	
05JUL17	S 1 PD05OCT17	1931.50	2114.25	USD	-4,568.75	
05JUL17	B 2 PD05OCT17	1932.00	2114.25	USD	9,112.50	
	NET 0 AVG BGHT 1932.00 SOLD 1930.25				**-87.50**	**0.00**
10JUL17	B 1 PD10OCT17	1922.50	2115.92	USD	4,835.50	
10JUL17	B 1 PD10OCT17	1915.00	2115.92	USD	5,023.00	
10JUL17	B 1 PD10OCT17	1903.00	2115.92	USD	5,323.00	
10JUL17	B 2 PD10OCT17	1901.50	2115.92	USD	10,721.00	
19SEP17	S 5 PD10OCT17	2101.38	2115.92	USD	-1,817.50	
	NET 0 AVG BGHT 1908.70 SOLD 2101.38				**24,085.00**	**0.00**
11JUL17	S 1 PD11OCT17	1899.00	2116.25	USD	-5,431.25	
11JUL17	S 1 PD11OCT17	1901.00	2116.25	USD	-5,381.25	
11JUL17	S 1 PD11OCT17	1899.50	2116.25	USD	-5,418.75	
11JUL17	S 1 PD11OCT17	1900.00	2116.25	USD	-5,406.25	
11JUL17	S 1 PD11OCT17	1897.50	2116.25	USD	-5,468.75	
11JUL17	B 1 PD11OCT17	1897.50	2116.25	USD	5,468.75	
19SEP17	B 4 PD11OCT17	2101.88	2116.25	USD	1,437.00	
	NET 0 AVG BGHT 2061.00 SOLD 1899.40				**-20,200.50**	**0.00**
12JUL17	B 2 PD12OCT17	1893.50	2116.25	USD	11,137.50	
12JUL17	B 1 PD12OCT17	1894.00	2116.25	USD	5,556.25	
12JUL17	S 1 PD12OCT17	1890.00	2116.25	USD	-5,656.25	
12JUL17	S 1 PD12OCT17	1888.00	2116.25	USD	-5,706.25	
19SEP17	S 1 PD12OCT17	2101.97	2116.25	USD	-357.00	
	NET 0 AVG BGHT 1893.67 SOLD 1959.99				**4,974.25**	**0.00**
13JUL17	S 2 PD13OCT17	1899.00	2116.25	USD	-10,862.50	
13JUL17	S 1 PD13OCT17	1921.00	2116.25	USD	-4,881.25	
13JUL17	S 1 PD13OCT17	1925.00	2116.25	USD	-4,781.25	
13JUL17	S 1 PD13OCT17	1940.00	2116.25	USD	-4,406.25	
13JUL17	B 1 PD13OCT17	1923.50	2116.25	USD	4,818.75	
13JUL17	B 1 PD13OCT17	1923.00	2116.25	USD	4,831.25	
13JUL17	B 2 PD13OCT17	1921.00	2116.25	USD	9,762.50	
14JUL17	S 1 PD13OCT17	1923.00	2116.25	USD	-4,831.25	
19SEP17	B 2 PD13OCT17	2102.47	2116.25	USD	689.00	
	NET 0 AVG BGHT 1982.24 SOLD 1917.83				**-9,661.00**	**0.00**
18JUL17	B 1 PD18OCT17	1901.50	2116.25	USD	5,368.75	
18JUL17	S 1 PD18OCT17	1915.00	2116.25	USD	-5,031.25	
	NET 0 AVG BGHT 1901.50 SOLD 1915.00				**337.50**	**0.00**
19JUL17	S 1 PD19OCT17	1934.50	2116.64	USD	-4,553.50	
19JUL17	B 1 PD19OCT17	1924.50	2116.64	USD	4,803.50	
	NET 0 AVG BGHT 1924.50 SOLD 1934.50				**250.00**	**0.00**
21JUL17	S 1 PD20OCT17	1931.50	2117.03	USD	-4,638.25	
21JUL17	S 1 PD20OCT17	1929.00	2117.03	USD	-4,700.75	
21JUL17	B 1 PD20OCT17	1921.00	2117.03	USD	4,900.75	
21JUL17	B 1 PD20OCT17	1922.00	2117.03	USD	4,875.75	
	NET 0 AVG BGHT 1921.50 SOLD 1930.25				**437.50**	**0.00**
25JUL17	B 1 PD25OCT17	1919.00	2118.97	USD	4,999.25	
25JUL17	B 1 PD25OCT17	1918.00	2118.97	USD	5,024.25	
25JUL17	S 1 PD25OCT17	1935.50	2118.97	USD	-4,586.75	
25JUL17	S 1 PD25OCT17	1937.00	2118.97	USD	-4,549.25	
	NET 0 AVG BGHT 1918.50 SOLD 1936.25				**887.50**	**0.00**
27JUL17	S 1 PD27OCT17	1955.50	2119.75	USD	-4,106.25	
27JUL17	B 1 PD27OCT17	1954.00	2119.75	USD	4,143.75	
27JUL17	S 1 PD27OCT17	1950.50	2119.75	USD	-4,231.25	
27JUL17	S 1 PD27OCT17	1949.00	2119.75	USD	-4,268.75	
27JUL17	B 1 PD27OCT17	1945.50	2119.75	USD	4,356.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083ZH

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
27JUL17	B 1 PD27OCT17	1945.00	2119.75	USD	4,368.75	
27JUL17	B 1 PD27OCT17	1938.50	2119.75	USD	4,531.25	
27JUL17	B 2 PD27OCT17	1939.00	2119.75	USD	9,037.50	
28JUL17	S 2 PD27OCT17	1930.00	2119.75	USD	-9,487.50	
28JUL17	B 2 PD27OCT17	1921.50	2119.75	USD	9,912.50	
28JUL17	S 2 PD27OCT17	1922.00	2119.75	USD	-9,887.50	
28JUL17	S 1 PD27OCT17	1924.00	2119.75	USD	-4,893.75	
28JUL17	B 2 PD27OCT17	1914.50	2119.75	USD	10,262.50	
28JUL17	B 1 PD27OCT17	1913.50	2119.75	USD	5,156.25	
28JUL17	B 1 PD27OCT17	1910.50	2119.75	USD	5,231.25	
28JUL17	S 3 PD27OCT17	1911.50	2119.75	USD	-15,618.75	
28JUL17	S 1 PD27OCT17	1911.50	2119.75	USD	-5,206.25	
	NET 0 AVG BGHT 1929.75 SOLD 1927.42				**-700.00**	**0.00**
01AUG17	B 2 PD01NOV17	1909.50	2117.42	USD	10,396.00	
01AUG17	B 1 PD01NOV17	1910.00	2117.42	USD	5,185.50	
01AUG17	B 1 PD01NOV17	1915.00	2117.42	USD	5,060.50	
19SEP17	S 4 PD01NOV17	2109.68	2117.42	USD	-774.00	
	NET 0 AVG BGHT 1911.00 SOLD 2109.68				**19,868.00**	**0.00**
02AUG17	S 2 PD02NOV17	1914.50	2117.08	USD	-10,129.00	
02AUG17	S 2 PD02NOV17	1931.00	2117.08	USD	-9,304.00	
02AUG17	B 1 PD02NOV17	1927.00	2117.08	USD	4,752.00	
02AUG17	B 3 PD02NOV17	1924.00	2117.08	USD	14,481.00	
02AUG17	B 1 PD02NOV17	1921.00	2117.08	USD	4,902.00	
02AUG17	B 1 PD02NOV17	1920.50	2117.08	USD	4,914.50	
19SEP17	S 2 PD02NOV17	2109.96	2117.08	USD	-356.00	
	NET 0 AVG BGHT 1923.42 SOLD 1985.15				**9,260.50**	**0.00**
03AUG17	B 1 PD03NOV17	1915.00	2116.75	USD	5,043.75	
03AUG17	B 1 PD03NOV17	1913.00	2116.75	USD	5,093.75	
04AUG17	B 2 PD03NOV17	1903.50	2116.75	USD	10,662.50	
19SEP17	S 4 PD03NOV17	2110.25	2116.75	USD	-650.00	
	NET 0 AVG BGHT 1908.75 SOLD 2110.25				**20,150.00**	**0.00**
07AUG17	S 4 PD07NOV17	1922.50	2116.08	USD	-19,358.00	
07AUG17	S 2 PD07NOV17	1923.00	2116.08	USD	-9,654.00	
07AUG17	S 1 PD07NOV17	1929.00	2116.08	USD	-4,677.00	
07AUG17	S 1 PD07NOV17	1930.00	2116.08	USD	-4,652.00	
07AUG17	S 2 PD07NOV17	1930.50	2116.08	USD	-9,279.00	
07AUG17	S 1 PD07NOV17	1950.00	2116.08	USD	-4,152.00	
07AUG17	S 1 PD07NOV17	1951.50	2116.08	USD	-4,114.50	
07AUG17	S 1 PD07NOV17	1952.50	2116.08	USD	-4,089.50	
07AUG17	S 1 PD07NOV17	1953.50	2116.08	USD	-4,064.50	
07AUG17	B 1 PD07NOV17	1954.50	2116.08	USD	4,039.50	
07AUG17	S 1 PD07NOV17	1965.50	2116.08	USD	-3,764.50	
07AUG17	S 1 PD07NOV17	1970.00	2116.08	USD	-3,652.00	
07AUG17	S 2 PD07NOV17	1975.00	2116.08	USD	-7,054.00	
07AUG17	S 1 PD07NOV17	1977.00	2116.08	USD	-3,477.00	
07AUG17	B 4 PD07NOV17	1974.00	2116.08	USD	14,208.00	
19SEP17	B 10 PD07NOV17	2112.12	2116.08	USD	990.00	
19SEP17	B 4 PD07NOV17	2111.92	2116.08	USD	416.00	
	NET 0 AVG BGHT 2074.70 SOLD 1943.47				**-62,334.50**	**0.00**
08AUG17	B 2 PD08NOV17	2025.00	2115.75	USD	4,537.50	
08AUG17	B 2 PD08NOV17	2028.00	2115.75	USD	4,387.50	
19SEP17	S 4 PD08NOV17	2112.13	2115.75	USD	-362.00	
	NET 0 AVG BGHT 2026.50 SOLD 2112.13				**8,563.00**	**0.00**
09AUG17	B 1 PD09NOV17	2031.00	2116.11	USD	2,127.75	
09AUG17	B 1 PD09NOV17	2028.50	2116.11	USD	2,190.25	
09AUG17	S 1 PD09NOV17	2033.00	2116.11	USD	-2,077.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083ZH

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
09AUG17	S 1 PD09NOV17	2036.50	2116.11	USD	-1,990.25	
	NET 0 AVG BGHT 2029.75 SOLD 2034.75				**250.00**	**0.00**
10AUG17	B 1 PD10NOV17	2027.00	2116.46	USD	2,236.50	
10AUG17	B 1 PD10NOV17	2024.50	2116.46	USD	2,299.00	
10AUG17	S 1 PD10NOV17	2028.00	2116.46	USD	-2,211.50	
10AUG17	S 1 PD10NOV17	2030.00	2116.46	USD	-2,161.50	
10AUG17	S 1 PD10NOV17	2037.00	2116.46	USD	-1,986.50	
10AUG17	S 1 PD10NOV17	2037.50	2116.46	USD	-1,974.00	
10AUG17	S 1 PD10NOV17	2038.50	2116.46	USD	-1,949.00	
10AUG17	B 2 PD10NOV17	2039.50	2116.46	USD	3,848.00	
10AUG17	B 1 PD10NOV17	2033.00	2116.46	USD	2,086.50	
11AUG17	S 2 PD10NOV17	2039.50	2116.46	USD	-3,848.00	
11AUG17	S 1 PD10NOV17	2043.00	2116.46	USD	-1,836.50	
11AUG17	S 1 PD10NOV17	2045.50	2116.46	USD	-1,774.00	
11AUG17	S 1 PD10NOV17	2044.50	2116.46	USD	-1,799.00	
11AUG17	S 6 PD10NOV17	2041.00	2116.46	USD	-11,319.00	
11AUG17	S 4 PD10NOV17	2040.50	2116.46	USD	-7,596.00	
19SEP17	B 15 PD10NOV17	2113.17	2116.46	USD	1,233.75	
	NET 0 AVG BGHT 2093.05 SOLD 2039.55				**-26,751.25**	**0.00**
14AUG17	B 3 PD14NOV17	2035.00	2117.89	USD	6,216.75	
14AUG17	B 2 PD14NOV17	2035.50	2117.89	USD	4,119.50	
14AUG17	B 1 PD14NOV17	2034.50	2117.89	USD	2,084.75	
14AUG17	B 2 PD14NOV17	2032.00	2117.89	USD	4,294.50	
14AUG17	B 1 PD14NOV17	2031.50	2117.89	USD	2,159.75	
14AUG17	B 1 PD14NOV17	2030.50	2117.89	USD	2,184.75	
14AUG17	B 1 PD14NOV17	2027.50	2117.89	USD	2,259.75	
14AUG17	B 1 PD14NOV17	2025.50	2117.89	USD	2,309.75	
14AUG17	B 2 PD14NOV17	2029.50	2117.89	USD	4,419.50	
14AUG17	B 1 PD14NOV17	2028.00	2117.89	USD	2,247.25	
19SEP17	S 15 PD14NOV17	2114.63	2117.89	USD	-1,222.50	
	NET 0 AVG BGHT 2031.77 SOLD 2114.63				**31,073.75**	**0.00**
16AUG17	S 1 PD16NOV17	2061.00	2118.52	USD	-1,438.00	
16AUG17	B 1 PD16NOV17	2058.50	2118.52	USD	1,500.50	
16AUG17	B 1 PD16NOV17	2060.50	2118.52	USD	1,450.50	
16AUG17	B 1 PD16NOV17	2067.50	2118.52	USD	1,275.50	
16AUG17	S 1 PD16NOV17	2061.50	2118.52	USD	-1,425.50	
16AUG17	S 2 PD16NOV17	2061.00	2118.52	USD	-2,876.00	
16AUG17	B 1 PD16NOV17	2083.00	2118.52	USD	888.00	
	NET 0 AVG BGHT 2067.38 SOLD 2061.13				**-625.00**	**0.00**
21AUG17	S 2 PD21NOV17	2083.00	2119.88	USD	-1,844.00	
21AUG17	S 1 PD21NOV17	2082.50	2119.88	USD	-934.50	
19SEP17	B 3 PD21NOV17	2116.97	2119.88	USD	218.25	
	NET 0 AVG BGHT 2116.97 SOLD 2082.83				**-2,560.25**	**0.00**
22AUG17	B 1 PD22NOV17	2073.00	2120.15	USD	1,178.75	
22AUG17	S 1 PD22NOV17	2079.50	2120.15	USD	-1,016.25	
22AUG17	S 1 PD22NOV17	2078.00	2120.15	USD	-1,053.75	
22AUG17	B 2 PD22NOV17	2083.50	2120.15	USD	1,832.50	
22AUG17	B 2 PD22NOV17	2080.50	2120.15	USD	1,982.50	
19SEP17	S 3 PD22NOV17	2117.06	2120.15	USD	-231.75	
	NET 0 AVG BGHT 2080.20 SOLD 2101.74				**2,692.00**	**0.00**
24AUG17	B 2 PD24NOV17	2092.50	2120.69	USD	1,409.50	
24AUG17	S 1 PD24NOV17	2098.50	2120.69	USD	-554.75	
24AUG17	S 1 PD24NOV17	2099.00	2120.69	USD	-542.25	
	NET 0 AVG BGHT 2092.50 SOLD 2098.75				**312.50**	**0.00**
05SEP17	S 1 PD05DEC17	2118.00	2123.68	USD	-142.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083ZH

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
19SEP17	B 1 PD05DEC17	2120.99	2123.68	USD	67.25	
	NET 0 AVG BGHT 2120.99 SOLD 2118.00				**-74.75**	**0.00**
06SEP17	B 1 PD06DEC17	2092.00	2123.95	USD	798.75	
19SEP17	S 1 PD06DEC17	2121.07	2123.95	USD	-72.00	
	NET 0 AVG BGHT 2092.00 SOLD 2121.07				**726.75**	**0.00**
08SEP17	S 2 PD08DEC17	2098.00	2124.49	USD	-1,324.50	
19SEP17	B 2 PD08DEC17	2121.85	2124.49	USD	132.00	
	NET 0 AVG BGHT 2121.85 SOLD 2098.00				**-1,192.50**	**0.00**
11SEP17	B 2 PD11DEC17	2122.00	2125.31	USD	165.50	
19SEP17	S 2 PD11DEC17	2122.51	2125.31	USD	-140.00	
	NET 0 AVG BGHT 2122.00 SOLD 2122.51				**25.50**	**0.00**
14SEP17	S 2 PD14DEC17	2101.00	2126.12	USD	-1,256.00	
14SEP17	S 2 PD14DEC17	2104.50	2126.12	USD	-1,081.00	
	NET -4 AVG SOLD 2102.75				**-2,337.00**	**0.00**
15SEP17	B 2 PD15DEC17	2090.00	2126.39	USD	1,819.50	
15SEP17	B 2 PD15DEC17	2073.50	2126.39	USD	2,644.50	
	NET +4 AVG BGHT 2081.75				**4,464.00**	**0.00**
18SEP17	S 2 PD18DEC17	2102.50	2127.21	USD	-1,235.50	
18SEP17	B 1 PD18DEC17	2084.50	2127.21	USD	1,067.75	
18SEP17	S 1 PD18DEC17	2093.50	2127.21	USD	-842.75	
18SEP17	S 1 PD18DEC17	2092.00	2127.21	USD	-880.25	
	NET -3 AVG BGHT 2084.50 SOLD 2097.63				**-1,890.75**	**0.00**
19SEP17	S 1 PD19DEC17	2105.50	2127.48	USD	-549.50	
19SEP17	B 2 PD19DEC17	2102.50	2127.48	USD	1,249.00	
19SEP17	S 2 PD19DEC17	2112.50	2127.48	USD	-749.00	
19SEP17	B 4 PD19DEC17	2127.00	2127.48	USD	48.00	
	NET +3 AVG BGHT 2118.83 SOLD 2110.17				**-1.50**	**0.00**
20SEP17	S 1 PD20DEC17	2189.50	2127.75	USD	1,543.75	
20SEP17	S 1 PD20DEC17	2189.00	2127.75	USD	1,531.25	
20SEP17	S 1 PD20DEC17	2185.00	2127.75	USD	1,431.25	
20SEP17	B 1 PD20DEC17	2167.00	2127.75	USD	-981.25	
20SEP17	B 1 PD20DEC17	2165.00	2127.75	USD	-931.25	
20SEP17	B 1 PD20DEC17	2163.00	2127.75	USD	-881.25	
20SEP17	S 1 PD20DEC17	2181.00	2127.75	USD	1,331.25	
	NET -1 AVG BGHT 2165.00 SOLD 2186.13				**3,043.75**	**0.00**
21SEP17	S 2 PD21DEC17	2169.50	2127.98	USD	2,076.00	
21SEP17	B 1 PD21DEC17	2165.00	2127.98	USD	-925.50	
21SEP17	S 2 PD21DEC17	2173.00	2127.98	USD	2,251.00	
21SEP17	S 1 PD21DEC17	2176.50	2127.98	USD	1,213.00	
21SEP17	B 1 PD21DEC17	2189.00	2127.98	USD	-1,525.50	
21SEP17	B 1 PD21DEC17	2176.50	2127.98	USD	-1,213.00	
21SEP17	B 1 PD21DEC17	2181.50	2127.98	USD	-1,338.00	
21SEP17	B 1 PD21DEC17	2179.50	2127.98	USD	-1,288.00	
21SEP17	B 1 PD21DEC17	2178.50	2127.98	USD	-1,263.00	
	NET +1 AVG BGHT 2178.33 SOLD 2172.30				**-2,013.00**	**0.00**
22SEP17	B 1 PD22DEC17	2134.00	2128.21	USD	-144.75	
22SEP17	B 1 PD22DEC17	2134.50	2128.21	USD	-157.25	
22SEP17	S 1 PD22DEC17	2137.00	2128.21	USD	219.75	
22SEP17	S 1 PD22DEC17	2149.50	2128.21	USD	532.25	
	NET 0 AVG BGHT 2134.25 SOLD 2143.25				**450.00**	**0.00**
25SEP17	B 1 PD27DEC17	2152.50	2129.38	USD	-578.00	
25SEP17	B 1 PD27DEC17	2145.00	2129.38	USD	-390.50	
25SEP17	B 2 PD27DEC17	2143.00	2129.38	USD	-681.00	
25SEP17	S 1 PD27DEC17	2152.00	2129.38	USD	565.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083ZH

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
25SEP17	S 1 PD27DEC17	2150.00	2129.38	USD	515.50	
25SEP17	S 1 PD27DEC17	2148.50	2129.38	USD	478.00	
25SEP17	S 1 PD27DEC17	2151.00	2129.38	USD	540.50	
26SEP17	B 2 PD27DEC17	2156.00	2129.38	USD	-1,331.00	
26SEP17	B 2 PD27DEC17	2153.00	2129.38	USD	-1,181.00	
26SEP17	B 2 PD27DEC17	2126.00	2129.38	USD	169.00	
27SEP17	S 2 PD27DEC17	2131.50	2129.38	USD	106.00	
27SEP17	S 1 PD27DEC17	2131.00	2129.38	USD	40.50	
27SEP17	S 2 PD27DEC17	2133.00	2129.38	USD	181.00	
27SEP17	S 1 PD27DEC17	2132.00	2129.38	USD	65.50	
	NET 0 AVG BGHT 2145.35 SOLD 2139.35				**-1,500.00**	**0.00**
29SEP17	S 1 PD29DEC17	2136.00	2129.84	USD	154.00	
29SEP17	B 1 PD29DEC17	2128.00	2129.84	USD	46.00	
	NET 0 AVG BGHT 2128.00 SOLD 2136.00				**200.00**	**0.00**
03OCT17	S 2 PD03JAN18	2106.00	2131.00	USD	-1,250.00	
03OCT17	S 2 PD03JAN18	2110.00	2131.00	USD	-1,050.00	
03OCT17	S 1 PD03JAN18	2105.50	2131.00	USD	-637.50	
03OCT17	B 1 PD03JAN18	2120.50	2131.00	USD	262.50	
03OCT17	B 1 PD03JAN18	2118.50	2131.00	USD	312.50	
03OCT17	B 2 PD03JAN18	2120.00	2131.00	USD	550.00	
03OCT17	B 1 PD03JAN18	2119.50	2131.00	USD	287.50	
03OCT17	S 4 PD03JAN18	2133.00	2131.00	USD	200.00	
	NET -4 AVG BGHT 2119.70 SOLD 2118.83				**-1,325.00**	**0.00**
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
04SEP17	B 1 PD04DEC17	6921.00	6511.29	USD	-10,242.75	
04SEP17	B 1 PD04DEC17	6922.50	6511.29	USD	-10,280.25	
19SEP17	S 2 PD04DEC17	6532.50	6511.29	USD	1,060.50	
	NET 0 AVG BGHT 6921.75 SOLD 6532.50				**-19,462.50**	**0.00**
05SEP17	S 1 PD05DEC17	6944.50	6511.71	USD	10,819.75	
05SEP17	S 1 PD05DEC17	6941.00	6511.71	USD	10,732.25	
19SEP17	B 2 PD05DEC17	6533.28	6511.71	USD	-1,078.50	
	NET 0 AVG BGHT 6533.28 SOLD 6942.75				**20,473.50**	**0.00**
	CMX COPPER 25,000 CMX					
25SEP17	B 1 DEC17	293.750	296.350	USD	650.00	
26SEP17	B 2 DEC17	295.200	296.350	USD	575.00	
	NET +3 AVG BGHT 294.717				**1,225.00**	**0.00**
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
04JUL17	B 1 PD04OCT17	2298.00	2584.77	USD	7,169.25	
04JUL17	B 1 PD04OCT17	2292.00	2584.77	USD	7,319.25	
04JUL17	S 1 PD04OCT17	2291.00	2584.77	USD	-7,344.25	
04JUL17	S 1 PD04OCT17	2290.50	2584.77	USD	-7,356.75	
	NET 0 AVG BGHT 2295.00 SOLD 2290.75				**-212.50**	**0.00**
05JUL17	S 2 PD05OCT17	2273.00	2585.00	USD	-15,600.00	
19SEP17	B 2 PD05OCT17	2401.51	2585.00	USD	9,174.50	
	NET 0 AVG BGHT 2401.51 SOLD 2273.00				**-6,425.50**	**0.00**
06JUL17	B 1 PD06OCT17	2264.50	2585.23	USD	8,018.25	
06JUL17	S 1 PD06OCT17	2260.50	2585.23	USD	-8,118.25	
06JUL17	B 1 PD06OCT17	2288.00	2585.23	USD	7,430.75	
06JUL17	B 1 PD06OCT17	2264.00	2585.23	USD	8,030.75	
06JUL17	S 1 PD06OCT17	2259.50	2585.23	USD	-8,143.25	
06JUL17	B 1 PD06OCT17	2284.50	2585.23	USD	7,518.25	
06JUL17	B 2 PD06OCT17	2285.50	2585.23	USD	14,986.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083ZH

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT								
07JUL17	S	1	PD06OCT17		2281.00	2585.23	USD	-7,605.75	
07JUL17	S	1	PD06OCT17		2281.00	2585.23	USD	-7,605.75	
07JUL17	S	1	PD06OCT17		2298.00	2585.23	USD	-7,180.75	
19SEP17	S	1	PD06OCT17		2401.58	2585.23	USD	-4,591.25	
	NET	**0**	**AVG BGHT 2278.67**	**SOLD 2296.93**				**2,739.50**	**0.00**
10JUL17	B	1	PD10OCT17		2312.00	2586.15	USD	6,853.75	
19SEP17	S	1	PD10OCT17		2402.65	2586.15	USD	-4,587.50	
	NET	**0**	**AVG BGHT 2312.00**	**SOLD 2402.65**				**2,266.25**	**0.00**
11JUL17	B	1	PD11OCT17		2327.00	2586.38	USD	6,484.50	
11JUL17	S	1	PD11OCT17		2317.00	2586.38	USD	-6,734.50	
11JUL17	B	1	PD11OCT17		2307.00	2586.38	USD	6,984.50	
19SEP17	S	1	PD11OCT17		2403.12	2586.38	USD	-4,581.50	
	NET	**0**	**AVG BGHT 2317.00**	**SOLD 2360.06**				**2,153.00**	**0.00**
12JUL17	S	1	PD12OCT17		2337.00	2586.62	USD	-6,240.50	
19SEP17	B	1	PD12OCT17		2403.59	2586.62	USD	4,575.75	
	NET	**0**	**AVG BGHT 2403.59**	**SOLD 2337.00**				**-1,664.75**	**0.00**
17JUL17	B	1	PD17OCT17		2320.00	2587.77	USD	6,694.25	
17JUL17	B	2	PD17OCT17		2320.50	2587.77	USD	13,363.50	
17JUL17	B	1	PD17OCT17		2320.00	2587.77	USD	6,694.25	
17JUL17	S	1	PD17OCT17		2324.00	2587.77	USD	-6,594.25	
17JUL17	S	1	PD17OCT17		2316.00	2587.77	USD	-6,794.25	
17JUL17	S	1	PD17OCT17		2293.50	2587.77	USD	-7,356.75	
17JUL17	S	1	PD17OCT17		2296.00	2587.77	USD	-7,294.25	
	NET	**0**	**AVG BGHT 2320.25**	**SOLD 2307.38**				**-1,287.50**	**0.00**
20JUL17	B	1	PD20OCT17		2222.00	2588.00	USD	9,150.00	
20JUL17	S	1	PD20OCT17		2214.00	2588.00	USD	-9,350.00	
21JUL17	S	1	PD20OCT17		2243.00	2588.00	USD	-8,625.00	
21JUL17	B	1	PD20OCT17		2245.00	2588.00	USD	8,575.00	
	NET	**0**	**AVG BGHT 2233.50**	**SOLD 2228.50**				**-250.00**	**0.00**
25JUL17	S	2	PD25OCT17		2297.00	2588.00	USD	-14,550.00	
25JUL17	B	2	PD25OCT17		2300.00	2588.00	USD	14,400.00	
	NET	**0**	**AVG BGHT 2300.00**	**SOLD 2297.00**				**-150.00**	**0.00**
28JUL17	B	1	PD27OCT17		2320.00	2588.00	USD	6,700.00	
19SEP17	S	1	PD27OCT17		2407.49	2588.00	USD	-4,512.75	
	NET	**0**	**AVG BGHT 2320.00**	**SOLD 2407.49**				**2,187.25**	**0.00**
31JUL17	S	1	PD31OCT17		2323.00	2588.00	USD	-6,625.00	
19SEP17	B	1	PD31OCT17		2408.80	2588.00	USD	4,480.00	
	NET	**0**	**AVG BGHT 2408.80**	**SOLD 2323.00**				**-2,145.00**	**0.00**
01AUG17	S	2	PD01NOV17		2336.50	2588.00	USD	-12,575.00	
19SEP17	B	2	PD01NOV17		2408.88	2588.00	USD	8,956.00	
	NET	**0**	**AVG BGHT 2408.88**	**SOLD 2336.50**				**-3,619.00**	**0.00**
04AUG17	B	2	PD03NOV17		2371.00	2588.00	USD	10,850.00	
19SEP17	S	2	PD03NOV17		2409.23	2588.00	USD	-8,938.50	
	NET	**0**	**AVG BGHT 2371.00**	**SOLD 2409.23**				**1,911.50**	**0.00**
07AUG17	B	2	PD07NOV17		2363.00	2588.00	USD	11,250.00	
19SEP17	S	2	PD07NOV17		2410.54	2588.00	USD	-8,873.00	
	NET	**0**	**AVG BGHT 2363.00**	**SOLD 2410.54**				**2,377.00**	**0.00**
08AUG17	S	1	PD08NOV17		2366.00	2588.00	USD	-5,550.00	
08AUG17	S	1	PD08NOV17		2367.00	2588.00	USD	-5,525.00	
08AUG17	B	2	PD08NOV17		2393.00	2588.00	USD	9,750.00	
08AUG17	B	2	PD08NOV17		2391.50	2588.00	USD	9,825.00	
19SEP17	S	2	PD08NOV17		2410.81	2588.00	USD	-8,859.50	
	NET	**0**	**AVG BGHT 2392.25**	**SOLD 2388.66**				**-359.50**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083ZH

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT								
09AUG17	S	1	PD09NOV17		2405.00	2588.00	USD	-4,575.00	
09AUG17	S	1	PD09NOV17		2404.50	2588.00	USD	-4,587.50	
09AUG17	S	1	PD09NOV17		2408.00	2588.00	USD	-4,500.00	
09AUG17	S	1	PD09NOV17		2407.50	2588.00	USD	-4,512.50	
19SEP17	B	4	PD09NOV17		2411.29	2588.00	USD	17,671.00	
	NET	**0**	**AVG BGHT 2411.29**	**SOLD 2406.25**				**-504.00**	**0.00**
10AUG17	S	1	PD10NOV17		2390.50	2588.00	USD	-4,937.50	
10AUG17	B	1	PD10NOV17		2370.00	2588.00	USD	5,450.00	
11AUG17	S	1	PD10NOV17		2343.50	2588.00	USD	-6,112.50	
11AUG17	B	1	PD10NOV17		2345.50	2588.00	USD	6,062.50	
11AUG17	B	1	PD10NOV17		2334.00	2588.00	USD	6,350.00	
11AUG17	B	1	PD10NOV17		2332.50	2588.00	USD	6,387.50	
11AUG17	B	1	PD10NOV17		2335.50	2588.00	USD	6,312.50	
11AUG17	S	2	PD10NOV17		2332.00	2588.00	USD	-12,800.00	
11AUG17	S	1	PD10NOV17		2332.00	2588.00	USD	-6,400.00	
	NET	**0**	**AVG BGHT 2343.50**	**SOLD 2346.00**				**312.50**	**0.00**
16AUG17	S	1	PD16NOV17		2404.00	2588.13	USD	-4,603.25	
16AUG17	S	1	PD16NOV17		2407.00	2588.13	USD	-4,528.25	
16AUG17	S	1	PD16NOV17		2484.50	2588.13	USD	-2,590.75	
16AUG17	S	1	PD16NOV17		2482.00	2588.13	USD	-2,653.25	
16AUG17	B	2	PD16NOV17		2506.00	2588.13	USD	4,106.50	
16AUG17	B	1	PD16NOV17		2513.00	2588.13	USD	1,878.25	
16AUG17	B	1	PD16NOV17		2513.00	2588.13	USD	1,878.25	
	NET	**0**	**AVG BGHT 2509.50**	**SOLD 2444.38**				**-6,512.50**	**0.00**
17AUG17	S	1	PD17NOV17		2528.50	2588.26	USD	-1,494.00	
17AUG17	B	1	PD17NOV17		2531.00	2588.26	USD	1,431.50	
17AUG17	S	1	PD17NOV17		2516.00	2588.26	USD	-1,806.50	
17AUG17	S	2	PD17NOV17		2513.00	2588.26	USD	-3,763.00	
17AUG17	B	1	PD17NOV17		2495.50	2588.26	USD	2,319.00	
17AUG17	B	1	PD17NOV17		2492.00	2588.26	USD	2,406.50	
17AUG17	B	1	PD17NOV17		2528.50	2588.26	USD	1,494.00	
17AUG17	B	1	PD17NOV17		2480.50	2588.26	USD	2,694.00	
17AUG17	B	1	PD17NOV17		2437.50	2588.26	USD	3,769.00	
17AUG17	B	1	PD17NOV17		2415.00	2588.26	USD	4,331.50	
17AUG17	B	1	PD17NOV17		2412.50	2588.26	USD	4,394.00	
17AUG17	B	1	PD17NOV17		2408.00	2588.26	USD	4,506.50	
18AUG17	B	2	PD17NOV17		2409.50	2588.26	USD	8,938.00	
18AUG17	B	2	PD17NOV17		2360.50	2588.26	USD	11,388.00	
18AUG17	S	1	PD17NOV17		2364.00	2588.26	USD	-5,606.50	
18AUG17	S	1	PD17NOV17		2358.50	2588.26	USD	-5,744.00	
18AUG17	S	1	PD17NOV17		2364.50	2588.26	USD	-5,594.00	
18AUG17	S	1	PD17NOV17		2360.50	2588.26	USD	-5,694.00	
19SEP17	S	5	PD17NOV17		2413.25	2588.26	USD	-21,876.25	
	NET	**0**	**AVG BGHT 2441.58**	**SOLD 2429.56**				**-3,906.25**	**0.00**
21AUG17	B	2	PD21NOV17		2390.00	2588.77	USD	9,938.50	
21AUG17	S	1	PD21NOV17		2382.00	2588.77	USD	-5,169.25	
21AUG17	S	1	PD21NOV17		2381.50	2588.77	USD	-5,181.75	
21AUG17	S	1	PD21NOV17		2383.50	2588.77	USD	-5,131.75	
19SEP17	B	1	PD21NOV17		2414.45	2588.77	USD	4,358.00	
	NET	**0**	**AVG BGHT 2398.15**	**SOLD 2382.33**				**-1,186.25**	**0.00**
22AUG17	S	2	PD22NOV17		2372.50	2588.90	USD	-10,820.00	
22AUG17	S	2	PD22NOV17		2373.00	2588.90	USD	-10,795.00	
19SEP17	B	4	PD22NOV17		2414.70	2588.90	USD	17,420.00	
	NET	**0**	**AVG BGHT 2414.70**	**SOLD 2372.75**				**-4,195.00**	**0.00**
23AUG17	S	1	PD24NOV17		2410.00	2589.16	USD	-4,479.00	
23AUG17	B	1	PD24NOV17		2389.00	2589.16	USD	5,004.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083ZH

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT								
	NET	**0**	**AVG BGHT 2389.00**	**SOLD 2410.00**				**525.00**	**0.00**
31AUG17	B	1	PD30NOV17		2393.00	2589.93	USD	4,923.25	
19SEP17	S	1	PD30NOV17		2416.50	2589.93	USD	-4,335.75	
	NET	**0**	**AVG BGHT 2393.00**	**SOLD 2416.50**				**587.50**	**0.00**
01SEP17	S	1	PD01DEC17		2405.50	2590.06	USD	-4,614.00	
01SEP17	B	1	PD01DEC17		2393.00	2590.06	USD	4,926.50	
01SEP17	B	1	PD01DEC17		2392.00	2590.06	USD	4,951.50	
01SEP17	B	1	PD01DEC17		2391.50	2590.06	USD	4,964.00	
01SEP17	B	1	PD01DEC17		2390.00	2590.06	USD	5,001.50	
19SEP17	S	3	PD01DEC17		2416.75	2590.06	USD	-12,998.25	
	NET	**0**	**AVG BGHT 2391.63**	**SOLD 2413.94**				**2,231.25**	**0.00**
04SEP17	S	1	PD04DEC17		2393.00	2590.44	USD	-4,936.00	
19SEP17	B	1	PD04DEC17		2417.70	2590.44	USD	4,318.50	
	NET	**0**	**AVG BGHT 2417.70**	**SOLD 2393.00**				**-617.50**	**0.00**
05SEP17	B	1	PD05DEC17		2396.00	2590.57	USD	4,864.25	
05SEP17	S	1	PD05DEC17		2401.00	2590.57	USD	-4,739.25	
05SEP17	S	1	PD05DEC17		2399.50	2590.57	USD	-4,776.75	
05SEP17	B	1	PD05DEC17		2386.00	2590.57	USD	5,114.25	
05SEP17	S	1	PD05DEC17		2328.00	2590.57	USD	-6,564.25	
05SEP17	S	1	PD05DEC17		2327.00	2590.57	USD	-6,589.25	
05SEP17	S	1	PD05DEC17		2331.00	2590.57	USD	-6,489.25	
19SEP17	B	3	PD05DEC17		2417.95	2590.57	USD	12,946.50	
	NET	**0**	**AVG BGHT 2407.17**	**SOLD 2357.30**				**-6,233.75**	**0.00**
06SEP17	S	2	PD06DEC17		2329.00	2590.70	USD	-13,085.00	
06SEP17	B	1	PD06DEC17		2323.00	2590.70	USD	6,692.50	
06SEP17	B	1	PD06DEC17		2322.00	2590.70	USD	6,717.50	
06SEP17	S	1	PD06DEC17		2361.00	2590.70	USD	-5,742.50	
06SEP17	B	1	PD06DEC17		2343.00	2590.70	USD	6,192.50	
06SEP17	B	1	PD06DEC17		2345.50	2590.70	USD	6,130.00	
06SEP17	B	1	PD06DEC17		2353.00	2590.70	USD	5,942.50	
06SEP17	B	1	PD06DEC17		2352.50	2590.70	USD	5,955.00	
19SEP17	S	3	PD06DEC17		2418.00	2590.70	USD	-12,952.50	
	NET	**0**	**AVG BGHT 2339.83**	**SOLD 2378.83**				**5,850.00**	**0.00**
07SEP17	B	1	PD07DEC17		2331.50	2590.83	USD	6,483.25	
07SEP17	B	1	PD07DEC17		2330.50	2590.83	USD	6,508.25	
07SEP17	S	1	PD07DEC17		2339.50	2590.83	USD	-6,283.25	
07SEP17	S	1	PD07DEC17		2341.00	2590.83	USD	-6,245.75	
07SEP17	S	1	PD07DEC17		2342.50	2590.83	USD	-6,208.25	
07SEP17	S	1	PD07DEC17		2337.50	2590.83	USD	-6,333.25	
07SEP17	S	1	PD07DEC17		2339.00	2590.83	USD	-6,295.75	
19SEP17	B	3	PD07DEC17		2418.45	2590.83	USD	12,928.50	
	NET	**0**	**AVG BGHT 2383.47**	**SOLD 2339.90**				**-5,446.25**	**0.00**
15SEP17	B	1	PD15DEC17		2313.00	2591.86	USD	6,971.50	
15SEP17	S	1	PD15DEC17		2353.00	2591.86	USD	-5,971.50	
15SEP17	S	1	PD15DEC17		2350.00	2591.86	USD	-6,046.50	
15SEP17	S	1	PD15DEC17		2355.00	2591.86	USD	-5,921.50	
15SEP17	S	1	PD15DEC17		2359.50	2591.86	USD	-5,809.00	
	NET	**-3**	**AVG BGHT 2313.00**	**SOLD 2354.38**				**-16,777.00**	**0.00**
18SEP17	B	1	PD18DEC17		2395.00	2592.24	USD	4,931.00	
	NET	**+1**	**AVG BGHT 2395.00**					**4,931.00**	**0.00**
19SEP17	S	1	PD19DEC17		2395.00	2592.37	USD	-4,934.25	
19SEP17	S	1	PD19DEC17		2393.50	2592.37	USD	-4,971.75	
19SEP17	S	1	PD19DEC17		2440.00	2592.37	USD	-3,809.25	
19SEP17	S	1	PD19DEC17		2447.50	2592.37	USD	-3,621.75	
19SEP17	B	2	PD19DEC17		2424.00	2592.37	USD	8,418.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083ZH

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
19SEP17	S 1 PD19DEC17	2424.00	2592.37	USD	-4,209.25	
19SEP17	S 1 PD19DEC17	2424.00	2592.37	USD	-4,209.25	
	NET -4 AVG BGHT 2424.00 SOLD 2420.67				**-17,337.00**	**0.00**
20SEP17	B 1 PD20DEC17	2443.00	2592.50	USD	3,737.50	
20SEP17	S 1 PD20DEC17	2468.50	2592.50	USD	-3,100.00	
20SEP17	B 1 PD20DEC17	2446.00	2592.50	USD	3,662.50	
20SEP17	B 1 PD20DEC17	2448.00	2592.50	USD	3,612.50	
20SEP17	S 2 PD20DEC17	2454.00	2592.50	USD	-6,925.00	
20SEP17	S 1 PD20DEC17	2454.50	2592.50	USD	-3,450.00	
20SEP17	B 4 PD20DEC17	2464.50	2592.50	USD	12,800.00	
20SEP17	S 1 PD20DEC17	2454.50	2592.50	USD	-3,450.00	
	NET +2 AVG BGHT 2456.43 SOLD 2457.10				**6,887.50**	**0.00**
21SEP17	S 1 PD21DEC17	2436.00	2592.29	USD	-3,907.25	
21SEP17	S 1 PD21DEC17	2443.50	2592.29	USD	-3,719.75	
21SEP17	B 1 PD21DEC17	2472.00	2592.29	USD	3,007.25	
21SEP17	B 1 PD21DEC17	2465.50	2592.29	USD	3,169.75	
21SEP17	B 1 PD21DEC17	2463.00	2592.29	USD	3,232.25	
21SEP17	S 1 PD21DEC17	2447.00	2592.29	USD	-3,632.25	
21SEP17	B 1 PD21DEC17	2470.00	2592.29	USD	3,057.25	
21SEP17	B 1 PD21DEC17	2464.50	2592.29	USD	3,194.75	
21SEP17	S 3 PD21DEC17	2464.00	2592.29	USD	-9,621.75	
21SEP17	S 4 PD21DEC17	2463.00	2592.29	USD	-12,929.00	
21SEP17	B 1 PD21DEC17	2480.00	2592.29	USD	2,807.25	
21SEP17	B 1 PD21DEC17	2474.00	2592.29	USD	2,957.25	
21SEP17	B 1 PD21DEC17	2472.50	2592.29	USD	2,994.75	
21SEP17	B 1 PD21DEC17	2473.50	2592.29	USD	2,969.75	
21SEP17	B 1 PD21DEC17	2474.50	2592.29	USD	2,944.75	
21SEP17	B 1 PD21DEC17	2475.00	2592.29	USD	2,932.25	
21SEP17	B 1 PD21DEC17	2489.00	2592.29	USD	2,582.25	
21SEP17	B 1 PD21DEC17	2464.50	2592.29	USD	3,194.75	
21SEP17	B 1 PD21DEC17	2464.00	2592.29	USD	3,207.25	
21SEP17	S 1 PD21DEC17	2464.00	2592.29	USD	-3,207.25	
21SEP17	B 1 PD21DEC17	2478.00	2592.29	USD	2,857.25	
	NET +4 AVG BGHT 2472.00 SOLD 2457.68				**8,091.50**	**0.00**
22SEP17	B 1 PD22DEC17	2419.50	2592.07	USD	4,314.25	
22SEP17	B 1 PD22DEC17	2416.00	2592.07	USD	4,401.75	
22SEP17	S 1 PD22DEC17	2405.00	2592.07	USD	-4,676.75	
22SEP17	S 1 PD22DEC17	2413.00	2592.07	USD	-4,476.75	
22SEP17	S 1 PD22DEC17	2453.00	2592.07	USD	-3,476.75	
22SEP17	B 1 PD22DEC17	2459.00	2592.07	USD	3,326.75	
22SEP17	B 1 PD22DEC17	2421.00	2592.07	USD	4,276.75	
22SEP17	S 1 PD22DEC17	2414.00	2592.07	USD	-4,451.75	
22SEP17	S 1 PD22DEC17	2484.50	2592.07	USD	-2,689.25	
22SEP17	B 1 PD22DEC17	2485.00	2592.07	USD	2,676.75	
22SEP17	B 1 PD22DEC17	2488.00	2592.07	USD	2,601.75	
22SEP17	S 1 PD22DEC17	2488.50	2592.07	USD	-2,589.25	
	NET 0 AVG BGHT 2448.08 SOLD 2443.00				**-762.50**	**0.00**
25SEP17	B 2 PD27DEC17	2473.00	2591.86	USD	5,943.00	
25SEP17	B 1 PD27DEC17	2482.00	2591.86	USD	2,746.50	
25SEP17	B 2 PD27DEC17	2484.00	2591.86	USD	5,393.00	
26SEP17	S 1 PD27DEC17	2491.50	2591.86	USD	-2,509.00	
26SEP17	S 1 PD27DEC17	2499.00	2591.86	USD	-2,321.50	
26SEP17	S 1 PD27DEC17	2499.50	2591.86	USD	-2,309.00	
26SEP17	S 1 PD27DEC17	2502.50	2591.86	USD	-2,234.00	
26SEP17	S 1 PD27DEC17	2502.00	2591.86	USD	-2,246.50	
	NET 0 AVG BGHT 2479.20 SOLD 2498.90				**2,462.50**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083ZH

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
28SEP17	S 1 PD28DEC17	2459.50	2591.64	USD	-3,303.50	
28SEP17	S 1 PD28DEC17	2460.00	2591.64	USD	-3,291.00	
28SEP17	S 1 PD28DEC17	2461.50	2591.64	USD	-3,253.50	
28SEP17	B 1 PD28DEC17	2458.00	2591.64	USD	3,341.00	
28SEP17	S 2 PD28DEC17	2474.00	2591.64	USD	-5,882.00	
28SEP17	B 1 PD28DEC17	2480.50	2591.64	USD	2,778.50	
28SEP17	B 1 PD28DEC17	2479.50	2591.64	USD	2,803.50	
28SEP17	B 1 PD28DEC17	2482.00	2591.64	USD	2,741.00	
28SEP17	B 1 PD28DEC17	2483.00	2591.64	USD	2,716.00	
	NET 0 AVG BGHT 2476.60 SOLD 2465.80				**-1,350.00**	**0.00**
29SEP17	S 2 PD29DEC17	2489.00	2591.43	USD	-5,121.50	
29SEP17	B 2 PD29DEC17	2488.50	2591.43	USD	5,146.50	
	NET 0 AVG BGHT 2488.50 SOLD 2489.00				**25.00**	**0.00**
03OCT17	S 1 PD03JAN18	2590.00	2591.00	USD	-25.00	
03OCT17	S 1 PD03JAN18	2591.50	2591.00	USD	12.50	
03OCT17	B 2 PD03JAN18	2594.00	2591.00	USD	-150.00	
	NET 0 AVG BGHT 2594.00 SOLD 2590.75				**-162.50**	**0.00**
	CBT MINI-SIZED DOW 5 CBT					
15SEP17	S 2 DEC17	22170.00	22608.00	USD	-4,380.00	
	NET -2 AVG SOLD 22170.00				**-4,380.00**	**0.00**
	CME E-MINI S&P 500 50 CME					
12SEP17	S 1 DEC17	2489.00	2532.80	USD	-2,190.00	
18SEP17	S 3 DEC17	2503.25	2532.80	USD	-4,432.50	
	NET -4 AVG SOLD 2499.69				**-6,622.50**	**0.00**
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
07JUL17	B 1 PD06OCT17	8930.00	10533.77	USD	9,622.62	
07JUL17	B 1 PD06OCT17	8945.00	10533.77	USD	9,532.62	
07JUL17	B 1 PD06OCT17	8975.00	10533.77	USD	9,352.62	
19SEP17	S 3 PD06OCT17	11080.61	10533.77	USD	9,843.12	
	NET 0 AVG BGHT 8950.00 SOLD 11080.61				**38,350.98**	**0.00**
11JUL17	S 1 PD11OCT17	9110.00	10540.12	USD	-8,580.72	
11JUL17	S 1 PD11OCT17	9170.00	10540.12	USD	-8,220.72	
11JUL17	S 1 PD11OCT17	9185.00	10540.12	USD	-8,130.72	
19SEP17	B 3 PD11OCT17	11085.31	10540.12	USD	-9,813.42	
	NET 0 AVG BGHT 11085.31 SOLD 9155.00				**-34,745.58**	**0.00**
12JUL17	S 1 PD12OCT17	9255.00	10541.38	USD	-7,718.28	
19SEP17	B 1 PD12OCT17	11086.06	10541.38	USD	-3,268.08	
	NET 0 AVG BGHT 11086.06 SOLD 9255.00				**-10,986.36**	**0.00**
14JUL17	S 1 PD13OCT17	9390.00	10542.65	USD	-6,915.90	
14JUL17	B 1 PD13OCT17	9540.00	10542.65	USD	6,015.90	
	NET 0 AVG BGHT 9540.00 SOLD 9390.00				**-900.00**	**0.00**
17JUL17	S 1 PD17OCT17	9645.00	10547.73	USD	-5,416.38	
17JUL17	S 1 PD17OCT17	9715.00	10547.73	USD	-4,996.38	
17JUL17	B 1 PD17OCT17	9625.00	10547.73	USD	5,536.38	
17JUL17	B 1 PD17OCT17	9615.00	10547.73	USD	5,596.38	
	NET 0 AVG BGHT 9620.00 SOLD 9680.00				**720.00**	**0.00**
18JUL17	S 1 PD18OCT17	9595.00	10549.00	USD	-5,724.00	
18JUL17	B 1 PD18OCT17	9715.00	10549.00	USD	5,004.00	
	NET 0 AVG BGHT 9715.00 SOLD 9595.00				**-720.00**	**0.00**
20JUL17	S 1 PD20OCT17	9610.00	10550.93	USD	-5,645.58	
20JUL17	S 1 PD20OCT17	9655.00	10550.93	USD	-5,375.58	
19SEP17	B 2 PD20OCT17	11091.25	10550.93	USD	-6,483.84	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083ZH

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
	NET 0 AVG BGHT 11091.25 SOLD 9632.50				**-17,505.00**	**0.00**
24JUL17	S 1 PD24OCT17	9740.00	10554.79	USD	-4,888.74	
24JUL17	S 1 PD24OCT17	9765.00	10554.79	USD	-4,738.74	
19SEP17	B 2 PD24OCT17	11094.75	10554.79	USD	-6,479.52	
	NET 0 AVG BGHT 11094.75 SOLD 9752.50				**-16,107.00**	**0.00**
25JUL17	B 2 PD25OCT17	9870.00	10555.75	USD	8,229.00	
25JUL17	B 1 PD25OCT17	9915.00	10555.75	USD	3,844.50	
25JUL17	B 1 PD25OCT17	9955.00	10555.75	USD	3,604.50	
19SEP17	S 4 PD25OCT17	11094.63	10555.75	USD	12,933.12	
	NET 0 AVG BGHT 9902.50 SOLD 11094.63				**28,611.12**	**0.00**
26JUL17	S 1 PD26OCT17	10035.00	10556.71	USD	-3,130.26	
19SEP17	B 1 PD26OCT17	11096.50	10556.71	USD	-3,238.74	
	NET 0 AVG BGHT 11096.50 SOLD 10035.00				**-6,369.00**	**0.00**
28JUL17	S 1 PD27OCT17	10235.00	10557.68	USD	-1,936.08	
19SEP17	B 1 PD27OCT17	11097.38	10557.68	USD	-3,238.20	
	NET 0 AVG BGHT 11097.38 SOLD 10235.00				**-5,174.28**	**0.00**
01AUG17	B 1 PD01NOV17	10255.00	10562.50	USD	1,845.00	
01AUG17	B 1 PD01NOV17	10265.00	10562.50	USD	1,785.00	
19SEP17	S 2 PD01NOV17	11100.75	10562.50	USD	6,459.00	
	NET 0 AVG BGHT 10260.00 SOLD 11100.75				**10,089.00**	**0.00**
02AUG17	S 1 PD02NOV17	10190.00	10563.46	USD	-2,240.76	
02AUG17	S 1 PD02NOV17	10185.00	10563.46	USD	-2,270.76	
19SEP17	B 2 PD02NOV17	11102.63	10563.46	USD	-6,470.04	
	NET 0 AVG BGHT 11102.63 SOLD 10187.50				**-10,981.56**	**0.00**
04AUG17	B 1 PD03NOV17	10210.00	10564.43	USD	2,126.58	
04AUG17	B 1 PD03NOV17	10205.00	10564.43	USD	2,156.58	
19SEP17	S 2 PD03NOV17	11102.50	10564.43	USD	6,456.84	
	NET 0 AVG BGHT 10207.50 SOLD 11102.50				**10,740.00**	**0.00**
08AUG17	B 2 PD08NOV17	10300.00	10569.25	USD	3,231.00	
08AUG17	S 1 PD08NOV17	10615.00	10569.25	USD	274.50	
08AUG17	S 1 PD08NOV17	10740.00	10569.25	USD	1,024.50	
08AUG17	B 1 PD08NOV17	10740.00	10569.25	USD	-1,024.50	
19SEP17	S 1 PD08NOV17	11107.88	10569.25	USD	3,231.78	
	NET 0 AVG BGHT 10446.67 SOLD 10820.96				**6,737.28**	**0.00**
09AUG17	S 1 PD09NOV17	10810.00	10570.21	USD	1,438.74	
19SEP17	B 1 PD09NOV17	11109.75	10570.21	USD	-3,237.24	
	NET 0 AVG BGHT 11109.75 SOLD 10810.00				**-1,798.50**	**0.00**
10AUG17	S 1 PD10NOV17	10820.00	10571.18	USD	1,492.92	
10AUG17	B 1 PD10NOV17	10805.00	10571.18	USD	-1,402.92	
10AUG17	S 1 PD10NOV17	10925.00	10571.18	USD	2,122.92	
10AUG17	S 1 PD10NOV17	10910.00	10571.18	USD	2,032.92	
11AUG17	B 1 PD10NOV17	10735.00	10571.18	USD	-982.92	
11AUG17	B 1 PD10NOV17	10650.00	10571.18	USD	-472.92	
11AUG17	B 1 PD10NOV17	10635.00	10571.18	USD	-382.92	
11AUG17	B 1 PD10NOV17	10655.00	10571.18	USD	-502.92	
11AUG17	S 1 PD10NOV17	10715.00	10571.18	USD	862.92	
11AUG17	B 1 PD10NOV17	10645.00	10571.18	USD	-442.92	
19SEP17	S 2 PD10NOV17	11109.63	10571.18	USD	6,461.40	
	NET 0 AVG BGHT 10687.50 SOLD 10931.54				**8,785.56**	**0.00**
14AUG17	B 1 PD14NOV17	10585.00	10575.04	USD	-59.76	
14AUG17	B 1 PD14NOV17	10575.00	10575.04	USD	0.24	
14AUG17	B 1 PD14NOV17	10565.00	10575.04	USD	60.24	
19SEP17	S 3 PD14NOV17	11113.13	10575.04	USD	9,685.62	
	NET 0 AVG BGHT 10575.00 SOLD 11113.13				**9,686.34**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083ZH

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
16AUG17	S 1 PD16NOV17	10630.00	10576.89	USD	318.66	
16AUG17	S 1 PD16NOV17	10710.00	10576.89	USD	798.66	
19SEP17	B 2 PD16NOV17	11115.76	10576.89	USD	-6,466.44	
	NET 0 AVG BGHT 11115.76 SOLD 10670.00				**-5,349.12**	**0.00**
17AUG17	S 1 PD17NOV17	10650.00	10577.77	USD	433.38	
18AUG17	S 1 PD17NOV17	11010.00	10577.77	USD	2,593.38	
18AUG17	S 1 PD17NOV17	11005.00	10577.77	USD	2,563.38	
19SEP17	B 3 PD17NOV17	11116.53	10577.77	USD	-9,697.68	
	NET 0 AVG BGHT 11116.53 SOLD 10888.33				**-4,107.54**	**0.00**
23AUG17	S 1 PD24NOV17	11530.00	10583.97	USD	5,676.18	
23AUG17	S 1 PD24NOV17	11550.00	10583.97	USD	5,796.18	
23AUG17	S 1 PD24NOV17	11535.00	10583.97	USD	5,706.18	
23AUG17	S 1 PD24NOV17	11580.00	10583.97	USD	5,976.18	
23AUG17	B 1 PD24NOV17	11760.00	10583.97	USD	-7,056.18	
23AUG17	S 1 PD24NOV17	11785.00	10583.97	USD	7,206.18	
24AUG17	B 1 PD24NOV17	11710.00	10583.97	USD	-6,756.18	
24AUG17	B 1 PD24NOV17	11665.00	10583.97	USD	-6,486.18	
24AUG17	B 1 PD24NOV17	11625.00	10583.97	USD	-6,246.18	
24AUG17	S 2 PD24NOV17	11685.00	10583.97	USD	13,212.36	
25AUG17	B 1 PD24NOV17	11425.00	10583.97	USD	-5,046.18	
25AUG17	B 1 PD24NOV17	11435.00	10583.97	USD	-5,106.18	
25AUG17	S 1 PD24NOV17	11430.00	10583.97	USD	5,076.18	
25AUG17	S 2 PD24NOV17	11475.00	10583.97	USD	10,692.36	
25AUG17	B 1 PD24NOV17	11435.00	10583.97	USD	-5,106.18	
19SEP17	B 3 PD24NOV17	11120.88	10583.97	USD	-9,664.38	
	NET 0 AVG BGHT 11441.76 SOLD 11573.00				**7,874.16**	**0.00**
29AUG17	B 4 PD29NOV17	11735.00	10588.40	USD	-27,518.40	
29AUG17	B 5 PD29NOV17	11745.00	10588.40	USD	-34,698.00	
29AUG17	S 2 PD29NOV17	11730.00	10588.40	USD	13,699.20	
29AUG17	S 3 PD29NOV17	11735.00	10588.40	USD	20,638.80	
29AUG17	S 1 PD29NOV17	11705.00	10588.40	USD	6,699.60	
29AUG17	B 1 PD29NOV17	11730.00	10588.40	USD	-6,849.60	
29AUG17	S 1 PD29NOV17	11700.00	10588.40	USD	6,669.60	
29AUG17	S 1 PD29NOV17	11765.00	10588.40	USD	7,059.60	
29AUG17	B 1 PD29NOV17	11730.00	10588.40	USD	-6,849.60	
29AUG17	S 1 PD29NOV17	11730.00	10588.40	USD	6,849.60	
29AUG17	S 1 PD29NOV17	11800.00	10588.40	USD	7,269.60	
19SEP17	S 1 PD29NOV17	11123.71	10588.40	USD	3,211.86	
	NET 0 AVG BGHT 11738.64 SOLD 11680.79				**-3,817.74**	**0.00**
30AUG17	B 2 PD30NOV17	11675.00	10589.29	USD	-13,028.52	
19SEP17	S 2 PD30NOV17	11124.47	10589.29	USD	6,422.16	
	NET 0 AVG BGHT 11675.00 SOLD 11124.47				**-6,606.36**	**0.00**
01SEP17	S 1 PD01DEC17	11915.00	10590.17	USD	7,948.98	
01SEP17	S 1 PD01DEC17	12080.00	10590.17	USD	8,938.98	
01SEP17	S 1 PD01DEC17	12070.00	10590.17	USD	8,878.98	
01SEP17	B 2 PD01DEC17	12150.00	10590.17	USD	-18,717.96	
19SEP17	B 1 PD01DEC17	11126.24	10590.17	USD	-3,216.42	
	NET 0 AVG BGHT 11808.75 SOLD 12021.67				**3,832.56**	**0.00**
04SEP17	B 1 PD04DEC17	12275.00	10592.83	USD	-10,093.02	
19SEP17	S 1 PD04DEC17	11127.53	10592.83	USD	3,208.20	
	NET 0 AVG BGHT 12275.00 SOLD 11127.53				**-6,884.82**	**0.00**
05SEP17	S 2 PD05DEC17	12250.00	10593.71	USD	19,875.48	
05SEP17	B 2 PD05DEC17	11985.00	10593.71	USD	-16,695.48	
	NET 0 AVG BGHT 11985.00 SOLD 12250.00				**3,180.00**	**0.00**
06SEP17	S 1 PD06DEC17	12055.00	10594.60	USD	8,762.40	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083ZH

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
06SEP17	S 1 PD06DEC17	12075.00	10594.60	USD	8,882.40	
06SEP17	S 1 PD06DEC17	12125.00	10594.60	USD	9,182.40	
06SEP17	B 1 PD06DEC17	12185.00	10594.60	USD	-9,542.40	
06SEP17	B 1 PD06DEC17	12175.00	10594.60	USD	-9,482.40	
06SEP17	S 2 PD06DEC17	12250.00	10594.60	USD	19,864.80	
19SEP17	B 3 PD06DEC17	11130.06	10594.60	USD	-9,638.28	
	NET 0 AVG BGHT 11550.04 SOLD 12151.00				**18,028.92**	**0.00**
08SEP17	B 1 PD08DEC17	11930.00	10596.37	USD	-8,001.78	
08SEP17	B 2 PD08DEC17	11935.00	10596.37	USD	-16,063.56	
08SEP17	B 1 PD08DEC17	11520.00	10596.37	USD	-5,541.78	
08SEP17	S 1 PD08DEC17	11500.00	10596.37	USD	5,421.78	
08SEP17	B 2 PD08DEC17	11505.00	10596.37	USD	-10,903.56	
19SEP17	S 3 PD08DEC17	11130.59	10596.37	USD	9,615.96	
19SEP17	S 2 PD08DEC17	11131.59	10596.37	USD	6,422.64	
	NET 0 AVG BGHT 11721.67 SOLD 11192.49				**-19,050.30**	**0.00**
11SEP17	S 1 PD11DEC17	11630.00	10599.03	USD	6,185.82	
11SEP17	S 1 PD11DEC17	11595.00	10599.03	USD	5,975.82	
11SEP17	S 1 PD11DEC17	11620.00	10599.03	USD	6,125.82	
11SEP17	S 2 PD11DEC17	11820.00	10599.03	USD	14,651.64	
11SEP17	S 1 PD11DEC17	11830.00	10599.03	USD	7,385.82	
19SEP17	B 2 PD11DEC17	11134.88	10599.03	USD	-6,430.20	
19SEP17	B 1 PD11DEC17	11133.88	10599.03	USD	-3,209.10	
	NET -3 AVG BGHT 11134.55 SOLD 11719.17				**30,685.62**	**0.00**
14SEP17	B 1 PD14DEC17	11270.00	10601.69	USD	-4,009.86	
14SEP17	S 1 PD14DEC17	11275.00	10601.69	USD	4,039.86	
14SEP17	B 1 PD14DEC17	11210.00	10601.69	USD	-3,649.86	
14SEP17	S 1 PD14DEC17	11215.00	10601.69	USD	3,679.86	
14SEP17	B 1 PD14DEC17	11215.00	10601.69	USD	-3,679.86	
19SEP17	S 1 PD14DEC17	11135.18	10601.69	USD	3,200.94	
	NET 0 AVG BGHT 11231.67 SOLD 11208.39				**-418.92**	**0.00**
15SEP17	B 1 PD15DEC17	11190.00	10602.57	USD	-3,524.58	
15SEP17	B 1 PD15DEC17	11180.00	10602.57	USD	-3,464.58	
15SEP17	B 1 PD15DEC17	11080.00	10602.57	USD	-2,864.58	
15SEP17	S 1 PD15DEC17	11160.00	10602.57	USD	3,344.58	
15SEP17	S 2 PD15DEC17	11165.00	10602.57	USD	6,749.16	
	NET 0 AVG BGHT 11150.00 SOLD 11163.33				**240.00**	**0.00**
18SEP17	S 1 PD18DEC17	11180.00	10605.23	USD	3,448.62	
18SEP17	S 2 PD18DEC17	11195.00	10605.23	USD	7,077.24	
	NET -3 AVG SOLD 11190.00				**10,525.86**	**0.00**
19SEP17	B 1 PD19DEC17	10975.00	10606.11	USD	-2,213.34	
19SEP17	S 1 PD19DEC17	11125.00	10606.11	USD	3,113.34	
	NET 0 AVG BGHT 10975.00 SOLD 11125.00				**900.00**	**0.00**
20SEP17	S 1 PD20DEC17	11280.00	10607.00	USD	4,038.00	
	NET -1 AVG SOLD 11280.00				**4,038.00**	**0.00**
21SEP17	B 1 PD21DEC17	11020.00	10608.00	USD	-2,472.00	
21SEP17	B 1 PD21DEC17	11030.00	10608.00	USD	-2,532.00	
21SEP17	B 1 PD21DEC17	10935.00	10608.00	USD	-1,962.00	
21SEP17	B 1 PD21DEC17	10940.00	10608.00	USD	-1,992.00	
21SEP17	B 1 PD21DEC17	10940.00	10608.00	USD	-1,992.00	
21SEP17	B 1 PD21DEC17	10930.00	10608.00	USD	-1,932.00	
21SEP17	B 1 PD21DEC17	10730.00	10608.00	USD	-732.00	
21SEP17	B 1 PD21DEC17	10665.00	10608.00	USD	-342.00	
21SEP17	S 1 PD21DEC17	10740.00	10608.00	USD	792.00	
21SEP17	B 1 PD21DEC17	10625.00	10608.00	USD	-102.00	
21SEP17	B 1 PD21DEC17	10675.00	10608.00	USD	-402.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083ZH

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
	NET +9 AVG BGHT 10849.00 SOLD 10740.00				**-13,668.00**	**0.00**
22SEP17	S 1 PD22DEC17	10530.00	10609.00	USD	-474.00	
22SEP17	S 1 PD22DEC17	10545.00	10609.00	USD	-384.00	
22SEP17	B 1 PD22DEC17	10655.00	10609.00	USD	-276.00	
22SEP17	B 1 PD22DEC17	10635.00	10609.00	USD	-156.00	
22SEP17	S 1 PD22DEC17	10630.00	10609.00	USD	126.00	
	NET -1 AVG BGHT 10645.00 SOLD 10568.33				**-1,164.00**	**0.00**
25SEP17	S 1 PD27DEC17	10625.00	10613.58	USD	68.52	
26SEP17	B 1 PD27DEC17	10650.00	10613.58	USD	-218.52	
26SEP17	B 1 PD27DEC17	10645.00	10613.58	USD	-188.52	
26SEP17	B 1 PD27DEC17	10615.00	10613.58	USD	-8.52	
26SEP17	B 1 PD27DEC17	10600.00	10613.58	USD	81.48	
26SEP17	S 2 PD27DEC17	10630.00	10613.58	USD	197.04	
26SEP17	S 1 PD27DEC17	10490.00	10613.58	USD	-741.48	
26SEP17	S 1 PD27DEC17	10470.00	10613.58	USD	-861.48	
	NET -1 AVG BGHT 10627.50 SOLD 10569.00				**-1,671.48**	**0.00**
28SEP17	B 3 PD28DEC17	10490.00	10614.50	USD	2,241.00	
28SEP17	S 1 PD28DEC17	10480.00	10614.50	USD	-807.00	
28SEP17	B 1 PD28DEC17	10460.00	10614.50	USD	927.00	
28SEP17	S 1 PD28DEC17	10460.00	10614.50	USD	-927.00	
28SEP17	B 1 PD28DEC17	10465.00	10614.50	USD	897.00	
	NET +3 AVG BGHT 10479.00 SOLD 10470.00				**2,331.00**	**0.00**
29SEP17	S 1 PD29DEC17	10665.00	10615.42	USD	297.48	
29SEP17	S 1 PD29DEC17	10670.00	10615.42	USD	327.48	
29SEP17	S 1 PD29DEC17	10695.00	10615.42	USD	477.48	
	NET -3 AVG SOLD 10676.67				**1,102.44**	**0.00**
03OCT17	S 1 PD03JAN18	10740.00	10620.00	USD	720.00	
03OCT17	B 1 PD03JAN18	10545.00	10620.00	USD	450.00	
03OCT17	S 1 PD03JAN18	10700.00	10620.00	USD	480.00	
	NET -1 AVG BGHT 10545.00 SOLD 10720.00				**1,650.00**	**0.00**
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
05JUL17	B 1 PD05OCT17	2796.00	3313.50	USD	12,937.50	
05JUL17	B 1 PD05OCT17	2795.50	3313.50	USD	12,950.00	
05JUL17	B 1 PD05OCT17	2794.50	3313.50	USD	12,975.00	
05JUL17	S 1 PD05OCT17	2784.50	3313.50	USD	-13,225.00	
05JUL17	S 1 PD05OCT17	2788.00	3313.50	USD	-13,137.50	
05JUL17	S 1 PD05OCT17	2789.50	3313.50	USD	-13,100.00	
05JUL17	S 1 PD05OCT17	2779.00	3313.50	USD	-13,362.50	
05JUL17	S 1 PD05OCT17	2780.50	3313.50	USD	-13,325.00	
05JUL17	B 1 PD05OCT17	2775.50	3313.50	USD	13,450.00	
05JUL17	S 1 PD05OCT17	2780.00	3313.50	USD	-13,337.50	
19SEP17	B 2 PD05OCT17	3114.90	3313.50	USD	9,930.00	
	NET 0 AVG BGHT 2898.55 SOLD 2783.58				**-17,245.00**	**0.00**
06JUL17	B 2 PD06OCT17	2760.00	3310.38	USD	27,519.00	
06JUL17	S 1 PD06OCT17	2768.50	3310.38	USD	-13,547.00	
06JUL17	B 1 PD06OCT17	2776.00	3310.38	USD	13,359.50	
19SEP17	S 2 PD06OCT17	3113.99	3310.38	USD	-9,819.50	
	NET 0 AVG BGHT 2765.33 SOLD 2998.83				**17,512.00**	**0.00**
10JUL17	S 1 PD10OCT17	2774.00	3307.25	USD	-13,331.25	
10JUL17	S 1 PD10OCT17	2776.50	3307.25	USD	-13,268.75	
10JUL17	S 1 PD10OCT17	2778.00	3307.25	USD	-13,231.25	
10JUL17	B 1 PD10OCT17	2752.00	3307.25	USD	13,881.25	
10JUL17	B 1 PD10OCT17	2749.50	3307.25	USD	13,943.75	
10JUL17	S 2 PD10OCT17	2775.00	3307.25	USD	-26,612.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083ZH

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
19SEP17	B 3 PD10OCT17	3113.49	3307.25	USD	14,532.00	
	NET 0 AVG BGHT 2968.39 SOLD 2775.70				**-24,086.75**	**0.00**
11JUL17	S 2 PD11OCT17	2821.00	3304.13	USD	-24,156.50	
19SEP17	B 2 PD11OCT17	3112.78	3304.13	USD	9,567.50	
	NET 0 AVG BGHT 3112.78 SOLD 2821.00				**-14,589.00**	**0.00**
12JUL17	B 2 PD12OCT17	2837.00	3301.00	USD	23,200.00	
12JUL17	B 1 PD12OCT17	2833.50	3301.00	USD	11,687.50	
12JUL17	B 1 PD12OCT17	2824.00	3301.00	USD	11,925.00	
12JUL17	B 1 PD12OCT17	2825.00	3301.00	USD	11,900.00	
12JUL17	S 1 PD12OCT17	2870.00	3301.00	USD	-10,775.00	
12JUL17	B 1 PD12OCT17	2851.00	3301.00	USD	11,250.00	
19SEP17	S 5 PD12OCT17	3111.87	3301.00	USD	-23,641.25	
	NET 0 AVG BGHT 2834.58 SOLD 3071.56				**35,546.25**	**0.00**
13JUL17	B 1 PD13OCT17	2805.50	3297.88	USD	12,309.50	
13JUL17	B 1 PD13OCT17	2801.00	3297.88	USD	12,422.00	
13JUL17	B 1 PD13OCT17	2801.50	3297.88	USD	12,409.50	
14JUL17	S 1 PD13OCT17	2794.50	3297.88	USD	-12,584.50	
14JUL17	S 1 PD13OCT17	2796.50	3297.88	USD	-12,534.50	
14JUL17	S 1 PD13OCT17	2800.00	3297.88	USD	-12,447.00	
14JUL17	B 1 PD13OCT17	2788.00	3297.88	USD	12,747.00	
14JUL17	S 2 PD13OCT17	2784.50	3297.88	USD	-25,669.00	
14JUL17	S 1 PD13OCT17	2781.50	3297.88	USD	-12,909.50	
14JUL17	B 1 PD13OCT17	2777.00	3297.88	USD	13,022.00	
14JUL17	B 1 PD13OCT17	2778.00	3297.88	USD	12,997.00	
14JUL17	B 1 PD13OCT17	2773.50	3297.88	USD	13,109.50	
14JUL17	B 1 PD13OCT17	2770.00	3297.88	USD	13,197.00	
14JUL17	B 1 PD13OCT17	2762.50	3297.88	USD	13,384.50	
14JUL17	B 1 PD13OCT17	2765.00	3297.88	USD	13,322.00	
14JUL17	B 1 PD13OCT17	2755.00	3297.88	USD	13,572.00	
14JUL17	S 1 PD13OCT17	2781.50	3297.88	USD	-12,909.50	
14JUL17	B 1 PD13OCT17	2765.00	3297.88	USD	13,322.00	
14JUL17	S 1 PD13OCT17	2783.00	3297.88	USD	-12,872.00	
14JUL17	S 1 PD13OCT17	2788.50	3297.88	USD	-12,734.50	
14JUL17	S 1 PD13OCT17	2773.00	3297.88	USD	-13,122.00	
14JUL17	S 1 PD13OCT17	2784.00	3297.88	USD	-12,847.00	
14JUL17	S 1 PD13OCT17	2795.50	3297.88	USD	-12,559.50	
14JUL17	S 2 PD13OCT17	2785.50	3297.88	USD	-25,619.00	
14JUL17	B 1 PD13OCT17	2791.00	3297.88	USD	12,672.00	
19SEP17	B 1 PD13OCT17	3111.37	3297.88	USD	4,662.75	
	NET 0 AVG BGHT 2803.17 SOLD 2787.00				**-5,659.25**	**0.00**
17JUL17	S 1 PD17OCT17	2825.00	3291.63	USD	-11,665.75	
17JUL17	S 1 PD17OCT17	2834.50	3291.63	USD	-11,428.25	
17JUL17	B 1 PD17OCT17	2818.50	3291.63	USD	11,828.25	
17JUL17	B 1 PD17OCT17	2819.00	3291.63	USD	11,815.75	
17JUL17	S 2 PD17OCT17	2815.00	3291.63	USD	-23,831.50	
17JUL17	S 2 PD17OCT17	2812.00	3291.63	USD	-23,981.50	
17JUL17	B 2 PD17OCT17	2813.00	3291.63	USD	23,931.50	
17JUL17	B 1 PD17OCT17	2811.50	3291.63	USD	12,003.25	
17JUL17	B 1 PD17OCT17	2816.00	3291.63	USD	11,890.75	
17JUL17	B 1 PD17OCT17	2832.00	3291.63	USD	11,490.75	
17JUL17	B 1 PD17OCT17	2829.00	3291.63	USD	11,565.75	
17JUL17	B 1 PD17OCT17	2828.50	3291.63	USD	11,578.25	
17JUL17	B 1 PD17OCT17	2823.00	3291.63	USD	11,715.75	
17JUL17	S 1 PD17OCT17	2828.00	3291.63	USD	-11,590.75	
17JUL17	S 1 PD17OCT17	2829.50	3291.63	USD	-11,553.25	
17JUL17	S 1 PD17OCT17	2833.50	3291.63	USD	-11,453.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083ZH

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
19SEP17	S	1	PD17OCT17	3109.76	3291.63	USD	-4,546.75	
	NET	**0**	**AVG BGHT 2820.35**	**SOLD 2851.43**			**7,769.00**	**0.00**
18JUL17	B	1	PD18OCT17	2793.00	3288.50	USD	12,387.50	
18JUL17	B	1	PD18OCT17	2795.50	3288.50	USD	12,325.00	
18JUL17	B	2	PD18OCT17	2778.50	3288.50	USD	25,500.00	
18JUL17	B	2	PD18OCT17	2777.00	3288.50	USD	25,575.00	
18JUL17	B	2	PD18OCT17	2778.00	3288.50	USD	25,525.00	
18JUL17	S	2	PD18OCT17	2796.50	3288.50	USD	-24,600.00	
18JUL17	S	1	PD18OCT17	2792.50	3288.50	USD	-12,400.00	
18JUL17	S	1	PD18OCT17	2788.50	3288.50	USD	-12,500.00	
18JUL17	S	1	PD18OCT17	2784.00	3288.50	USD	-12,612.50	
18JUL17	S	1	PD18OCT17	2788.00	3288.50	USD	-12,512.50	
18JUL17	S	4	PD18OCT17	2791.00	3288.50	USD	-49,750.00	
18JUL17	S	2	PD18OCT17	2790.50	3288.50	USD	-24,900.00	
19SEP17	B	4	PD18OCT17	3109.25	3288.50	USD	17,925.00	
	NET	**0**	**AVG BGHT 2891.04**	**SOLD 2790.92**			**-30,037.50**	**0.00**
19JUL17	B	1	PD19OCT17	2773.50	3285.50	USD	12,800.00	
19JUL17	B	1	PD19OCT17	2769.00	3285.50	USD	12,912.50	
19JUL17	B	1	PD19OCT17	2767.50	3285.50	USD	12,950.00	
19JUL17	B	1	PD19OCT17	2768.00	3285.50	USD	12,937.50	
19JUL17	B	3	PD19OCT17	2738.00	3285.50	USD	41,062.50	
19JUL17	B	1	PD19OCT17	2738.50	3285.50	USD	13,675.00	
19SEP17	S	8	PD19OCT17	3107.25	3285.50	USD	-35,650.00	
	NET	**0**	**AVG BGHT 2753.81**	**SOLD 3107.25**			**70,687.50**	**0.00**
20JUL17	S	1	PD20OCT17	2728.00	3282.50	USD	-13,862.50	
20JUL17	S	3	PD20OCT17	2727.00	3282.50	USD	-41,662.50	
20JUL17	B	2	PD20OCT17	2726.50	3282.50	USD	27,800.00	
21JUL17	S	1	PD20OCT17	2759.50	3282.50	USD	-13,075.00	
21JUL17	S	1	PD20OCT17	2760.50	3282.50	USD	-13,050.00	
21JUL17	B	1	PD20OCT17	2742.50	3282.50	USD	13,500.00	
21JUL17	B	1	PD20OCT17	2746.00	3282.50	USD	13,412.50	
21JUL17	B	1	PD20OCT17	2753.00	3282.50	USD	13,237.50	
21JUL17	B	2	PD20OCT17	2757.50	3282.50	USD	26,250.00	
19SEP17	S	1	PD20OCT17	3106.75	3282.50	USD	-4,393.75	
	NET	**0**	**AVG BGHT 2744.21**	**SOLD 2790.82**			**8,156.25**	**0.00**
24JUL17	S	1	PD24OCT17	2772.50	3280.50	USD	-12,700.00	
24JUL17	S	1	PD24OCT17	2777.00	3280.50	USD	-12,587.50	
24JUL17	S	2	PD24OCT17	2787.00	3280.50	USD	-24,675.00	
24JUL17	B	2	PD24OCT17	2788.00	3280.50	USD	24,625.00	
19SEP17	B	2	PD24OCT17	3106.84	3280.50	USD	8,683.00	
	NET	**0**	**AVG BGHT 2947.42**	**SOLD 2780.88**			**-16,654.50**	**0.00**
25JUL17	S	1	PD25OCT17	2817.50	3279.50	USD	-11,550.00	
25JUL17	S	1	PD25OCT17	2827.00	3279.50	USD	-11,312.50	
25JUL17	S	1	PD25OCT17	2827.00	3279.50	USD	-11,312.50	
25JUL17	B	1	PD25OCT17	2842.00	3279.50	USD	10,937.50	
25JUL17	B	1	PD25OCT17	2836.00	3279.50	USD	11,087.50	
19SEP17	B	1	PD25OCT17	3106.78	3279.50	USD	4,318.00	
	NET	**0**	**AVG BGHT 2928.26**	**SOLD 2823.83**			**-7,832.00**	**0.00**
26JUL17	S	1	PD26OCT17	2801.50	3278.50	USD	-11,925.00	
26JUL17	S	1	PD26OCT17	2801.50	3278.50	USD	-11,925.00	
19SEP17	B	1	PD26OCT17	3106.73	3278.50	USD	4,294.25	
19SEP17	B	1	PD26OCT17	3106.53	3278.50	USD	4,299.25	
	NET	**0**	**AVG BGHT 3106.63**	**SOLD 2801.50**			**-15,256.50**	**0.00**
27JUL17	S	1	PD27OCT17	2822.50	3277.50	USD	-11,375.00	
27JUL17	S	1	PD27OCT17	2818.00	3277.50	USD	-11,487.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083ZH

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT								
27JUL17	B	2	PD27OCT17		2823.50	3277.50	USD	22,700.00	
27JUL17	S	1	PD27OCT17		2824.50	3277.50	USD	-11,325.00	
27JUL17	S	1	PD27OCT17		2825.00	3277.50	USD	-11,312.50	
28JUL17	S	2	PD27OCT17		2773.50	3277.50	USD	-25,200.00	
28JUL17	B	1	PD27OCT17		2773.50	3277.50	USD	12,600.00	
28JUL17	B	1	PD27OCT17		2775.00	3277.50	USD	12,562.50	
28JUL17	B	1	PD27OCT17		2772.00	3277.50	USD	12,637.50	
28JUL17	B	2	PD27OCT17		2770.00	3277.50	USD	25,375.00	
28JUL17	S	1	PD27OCT17		2773.50	3277.50	USD	-12,600.00	
	NET	**0**	**AVG BGHT 2786.79**	**SOLD 2801.50**				**2,575.00**	**0.00**
31JUL17	S	2	PD31OCT17		2812.50	3275.50	USD	-23,150.00	
31JUL17	B	1	PD31OCT17		2810.50	3275.50	USD	11,625.00	
31JUL17	B	1	PD31OCT17		2811.00	3275.50	USD	11,612.50	
31JUL17	S	1	PD31OCT17		2797.50	3275.50	USD	-11,950.00	
31JUL17	B	1	PD31OCT17		2792.00	3275.50	USD	12,087.50	
	NET	**0**	**AVG BGHT 2804.50**	**SOLD 2807.50**				**225.00**	**0.00**
01AUG17	B	1	PD01NOV17		2776.00	3274.50	USD	12,462.50	
01AUG17	S	1	PD01NOV17		2782.50	3274.50	USD	-12,300.00	
	NET	**0**	**AVG BGHT 2776.00**	**SOLD 2782.50**				**162.50**	**0.00**
02AUG17	B	2	PD02NOV17		2768.00	3273.50	USD	25,275.00	
02AUG17	B	1	PD02NOV17		2767.00	3273.50	USD	12,662.50	
02AUG17	B	1	PD02NOV17		2762.00	3273.50	USD	12,787.50	
02AUG17	B	1	PD02NOV17		2762.00	3273.50	USD	12,787.50	
02AUG17	S	4	PD02NOV17		2784.00	3273.50	USD	-48,950.00	
02AUG17	S	1	PD02NOV17		2782.00	3273.50	USD	-12,287.50	
26SEP17	S	2	PD02NOV17		3146.75	3273.50	USD	-6,337.50	
03OCT17	S	2	PD02NOV17		3243.00	3273.50	USD	-1,525.00	
	NET	**-4**	**AVG BGHT 2765.40**	**SOLD 2966.39**				**-5,587.50**	**0.00**
03AUG17	B	2	PD03NOV17		2814.00	3272.00	USD	22,900.00	
03AUG17	S	2	PD03NOV17		2792.50	3272.00	USD	-23,975.00	
	NET	**0**	**AVG BGHT 2814.00**	**SOLD 2792.50**				**-1,075.00**	**0.00**
07AUG17	S	1	PD07NOV17		2878.50	3272.00	USD	-9,837.50	
07AUG17	S	1	PD07NOV17		2882.50	3272.00	USD	-9,737.50	
07AUG17	S	1	PD07NOV17		2885.00	3272.00	USD	-9,675.00	
07AUG17	B	1	PD07NOV17		2867.00	3272.00	USD	10,125.00	
07AUG17	B	1	PD07NOV17		2870.00	3272.00	USD	10,050.00	
07AUG17	B	1	PD07NOV17		2869.00	3272.00	USD	10,075.00	
	NET	**0**	**AVG BGHT 2868.67**	**SOLD 2882.00**				**1,000.00**	**0.00**
08AUG17	S	1	PD08NOV17		2876.00	3272.00	USD	-9,900.00	
08AUG17	S	1	PD08NOV17		2882.00	3272.00	USD	-9,750.00	
08AUG17	B	2	PD08NOV17		2868.00	3272.00	USD	20,200.00	
	NET	**0**	**AVG BGHT 2868.00**	**SOLD 2879.00**				**550.00**	**0.00**
10AUG17	S	1	PD10NOV17		2947.50	3272.00	USD	-8,112.50	
10AUG17	B	1	PD10NOV17		2928.00	3272.00	USD	8,600.00	
11AUG17	S	1	PD10NOV17		2921.00	3272.00	USD	-8,775.00	
11AUG17	S	1	PD10NOV17		2920.00	3272.00	USD	-8,800.00	
11AUG17	B	1	PD10NOV17		2903.00	3272.00	USD	9,225.00	
11AUG17	B	1	PD10NOV17		2900.50	3272.00	USD	9,287.50	
	NET	**0**	**AVG BGHT 2910.50**	**SOLD 2929.50**				**1,425.00**	**0.00**
14AUG17	S	1	PD14NOV17		2915.00	3270.33	USD	-8,883.25	
14AUG17	B	1	PD14NOV17		2920.00	3270.33	USD	8,758.25	
	NET	**0**	**AVG BGHT 2920.00**	**SOLD 2915.00**				**-125.00**	**0.00**
16AUG17	S	3	PD16NOV17		2980.50	3267.50	USD	-21,525.00	
16AUG17	S	1	PD16NOV17		2980.00	3267.50	USD	-7,187.50	
16AUG17	S	2	PD16NOV17		2980.50	3267.50	USD	-14,350.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083ZH

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
16AUG17	S 1 PD16NOV17	2980.00	3267.50	USD	-7,187.50	
16AUG17	B 1 PD16NOV17	3116.50	3267.50	USD	3,775.00	
16AUG17	B 1 PD16NOV17	3108.00	3267.50	USD	3,987.50	
16AUG17	S 1 PD16NOV17	3117.00	3267.50	USD	-3,762.50	
16AUG17	B 1 PD16NOV17	3117.00	3267.50	USD	3,762.50	
19SEP17	B 5 PD16NOV17	3103.95	3267.50	USD	20,443.75	
	NET 0 AVG BGHT 3107.66 SOLD 2997.44				**-22,043.75**	**0.00**
17AUG17	B 1 PD17NOV17	3141.50	3265.50	USD	3,100.00	
17AUG17	B 1 PD17NOV17	3142.00	3265.50	USD	3,087.50	
17AUG17	S 1 PD17NOV17	3134.50	3265.50	USD	-3,275.00	
17AUG17	S 2 PD17NOV17	3132.00	3265.50	USD	-6,675.00	
17AUG17	B 1 PD17NOV17	3144.00	3265.50	USD	3,037.50	
17AUG17	B 1 PD17NOV17	3145.50	3265.50	USD	3,000.00	
17AUG17	B 1 PD17NOV17	3144.50	3265.50	USD	3,025.00	
17AUG17	S 1 PD17NOV17	3112.00	3265.50	USD	-3,837.50	
17AUG17	B 1 PD17NOV17	3102.00	3265.50	USD	4,087.50	
17AUG17	B 1 PD17NOV17	3098.00	3265.50	USD	4,187.50	
17AUG17	B 2 PD17NOV17	3100.50	3265.50	USD	8,250.00	
17AUG17	S 1 PD17NOV17	3087.00	3265.50	USD	-4,462.50	
17AUG17	B 1 PD17NOV17	3072.00	3265.50	USD	4,837.50	
17AUG17	S 2 PD17NOV17	3064.00	3265.50	USD	-10,075.00	
18AUG17	S 1 PD17NOV17	3094.50	3265.50	USD	-4,275.00	
18AUG17	S 1 PD17NOV17	3093.50	3265.50	USD	-4,300.00	
18AUG17	B 2 PD17NOV17	3117.00	3265.50	USD	7,425.00	
18AUG17	S 1 PD17NOV17	3121.50	3265.50	USD	-3,600.00	
18AUG17	S 1 PD17NOV17	3122.50	3265.50	USD	-3,575.00	
18AUG17	S 2 PD17NOV17	3120.50	3265.50	USD	-7,250.00	
18AUG17	S 1 PD17NOV17	3114.50	3265.50	USD	-3,775.00	
18AUG17	S 2 PD17NOV17	3094.50	3265.50	USD	-8,550.00	
18AUG17	B 2 PD17NOV17	3136.00	3265.50	USD	6,475.00	
18AUG17	B 2 PD17NOV17	3140.00	3265.50	USD	6,275.00	
18AUG17	B 1 PD17NOV17	3135.00	3265.50	USD	3,262.50	
19SEP17	S 1 PD17NOV17	3103.53	3265.50	USD	-4,049.25	
	NET 0 AVG BGHT 3124.21 SOLD 3106.21				**-7,649.25**	**0.00**
21AUG17	S 1 PD21NOV17	3172.00	3264.83	USD	-2,320.75	
21AUG17	B 1 PD21NOV17	3146.00	3264.83	USD	2,970.75	
21AUG17	B 1 PD21NOV17	3143.50	3264.83	USD	3,033.25	
21AUG17	S 1 PD21NOV17	3172.00	3264.83	USD	-2,320.75	
21AUG17	B 1 PD21NOV17	3125.00	3264.83	USD	3,495.75	
21AUG17	B 1 PD21NOV17	3130.50	3264.83	USD	3,358.25	
21AUG17	B 1 PD21NOV17	3142.50	3264.83	USD	3,058.25	
21AUG17	B 1 PD21NOV17	3126.50	3264.83	USD	3,458.25	
19SEP17	S 4 PD21NOV17	3103.48	3264.83	USD	-16,135.00	
	NET 0 AVG BGHT 3135.67 SOLD 3126.32				**-1,402.00**	**0.00**
23AUG17	B 1 PD24NOV17	3099.00	3264.71	USD	4,142.75	
23AUG17	S 1 PD24NOV17	3100.00	3264.71	USD	-4,117.75	
23AUG17	S 2 PD24NOV17	3106.50	3264.71	USD	-7,910.50	
23AUG17	B 2 PD24NOV17	3110.00	3264.71	USD	7,735.50	
23AUG17	B 1 PD24NOV17	3109.00	3264.71	USD	3,892.75	
24AUG17	S 1 PD24NOV17	3121.00	3264.71	USD	-3,592.75	
25AUG17	B 1 PD24NOV17	3069.00	3264.71	USD	4,892.75	
25AUG17	S 1 PD24NOV17	3088.00	3264.71	USD	-4,417.75	
	NET 0 AVG BGHT 3099.40 SOLD 3104.40				**625.00**	**0.00**
31AUG17	S 1 PD30NOV17	3154.50	3265.36	USD	-2,771.50	
31AUG17	S 1 PD30NOV17	3156.00	3265.36	USD	-2,734.00	
19SEP17	B 2 PD30NOV17	3103.55	3265.36	USD	8,090.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083ZH

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
	NET	**0 AVG BGHT 3103.55**	**SOLD 3155.25**				**2,585.00**	**0.00**
01SEP17	B	2 PD01DEC17		3161.50	3265.46	USD	5,198.00	
01SEP17	S	1 PD01DEC17		3156.00	3265.46	USD	-2,736.50	
01SEP17	S	1 PD01DEC17		3160.00	3265.46	USD	-2,636.50	
01SEP17	S	1 PD01DEC17		3178.00	3265.46	USD	-2,186.50	
01SEP17	S	1 PD01DEC17		3179.00	3265.46	USD	-2,161.50	
01SEP17	S	2 PD01DEC17		3185.50	3265.46	USD	-3,998.00	
01SEP17	B	1 PD01DEC17		3208.00	3265.46	USD	1,436.50	
01SEP17	B	5 PD01DEC17		3208.00	3265.46	USD	7,182.50	
19SEP17	S	2 PD01DEC17		3103.32	3265.46	USD	-8,107.00	
	NET	**0 AVG BGHT 3196.38**	**SOLD 3156.33**				**-8,009.00**	**0.00**
05SEP17	S	3 PD05DEC17		3192.00	3265.89	USD	-5,541.75	
05SEP17	S	1 PD05DEC17		3191.00	3265.89	USD	-1,872.25	
05SEP17	B	2 PD05DEC17		3195.00	3265.89	USD	3,544.50	
05SEP17	B	1 PD05DEC17		3194.00	3265.89	USD	1,797.25	
05SEP17	B	1 PD05DEC17		3195.50	3265.89	USD	1,759.75	
05SEP17	B	1 PD05DEC17		3102.00	3265.89	USD	4,097.25	
05SEP17	B	1 PD05DEC17		3095.50	3265.89	USD	4,259.75	
05SEP17	B	1 PD05DEC17		3095.00	3265.89	USD	4,272.25	
19SEP17	S	3 PD05DEC17		3103.71	3265.89	USD	-12,163.50	
	NET	**0 AVG BGHT 3153.14**	**SOLD 3154.02**				**153.25**	**0.00**
06SEP17	B	1 PD06DEC17		3073.50	3266.00	USD	4,812.50	
06SEP17	B	1 PD06DEC17		3075.00	3266.00	USD	4,775.00	
06SEP17	B	1 PD06DEC17		3076.00	3266.00	USD	4,750.00	
06SEP17	B	1 PD06DEC17		3087.50	3266.00	USD	4,462.50	
19SEP17	S	4 PD06DEC17		3103.93	3266.00	USD	-16,207.00	
	NET	**0 AVG BGHT 3078.00**	**SOLD 3103.93**				**2,593.00**	**0.00**
07SEP17	S	1 PD07DEC17		3092.00	3266.11	USD	-4,352.75	
07SEP17	S	1 PD07DEC17		3102.50	3266.11	USD	-4,090.25	
07SEP17	S	1 PD07DEC17		3099.50	3266.11	USD	-4,165.25	
07SEP17	S	1 PD07DEC17		3101.50	3266.11	USD	-4,115.25	
07SEP17	S	1 PD07DEC17		3117.00	3266.11	USD	-3,727.75	
07SEP17	S	1 PD07DEC17		3118.00	3266.11	USD	-3,702.75	
07SEP17	S	1 PD07DEC17		3116.00	3266.11	USD	-3,752.75	
19SEP17	B	7 PD07DEC17		3104.34	3266.11	USD	28,309.75	
	NET	**0 AVG BGHT 3104.34**	**SOLD 3106.64**				**403.00**	**0.00**
08SEP17	B	1 PD08DEC17		3028.00	3266.21	USD	5,955.25	
19SEP17	S	1 PD08DEC17		3104.36	3266.21	USD	-4,046.25	
	NET	**0 AVG BGHT 3028.00**	**SOLD 3104.36**				**1,909.00**	**0.00**
11SEP17	S	1 PD11DEC17		3058.00	3266.54	USD	-5,213.50	
11SEP17	S	1 PD11DEC17		3072.00	3266.54	USD	-4,863.50	
11SEP17	S	1 PD11DEC17		3077.00	3266.54	USD	-4,738.50	
11SEP17	S	1 PD11DEC17		3096.00	3266.54	USD	-4,263.50	
11SEP17	B	1 PD11DEC17		3079.00	3266.54	USD	4,688.50	
11SEP17	B	2 PD11DEC17		3080.00	3266.54	USD	9,327.00	
11SEP17	S	2 PD11DEC17		3080.00	3266.54	USD	-9,327.00	
11SEP17	B	3 PD11DEC17		3074.50	3266.54	USD	14,403.00	
	NET	**0 AVG BGHT 3077.08**	**SOLD 3077.17**				**12.50**	**0.00**
12SEP17	S	2 PD12DEC17		3085.00	3266.64	USD	-9,082.00	
12SEP17	S	1 PD12DEC17		3084.50	3266.64	USD	-4,553.50	
12SEP17	B	1 PD12DEC17		3092.00	3266.64	USD	4,366.00	
12SEP17	B	1 PD12DEC17		3092.50	3266.64	USD	4,353.50	
12SEP17	S	1 PD12DEC17		3082.00	3266.64	USD	-4,616.00	
12SEP17	S	1 PD12DEC17		3079.50	3266.64	USD	-4,678.50	
12SEP17	B	1 PD12DEC17		3079.50	3266.64	USD	4,678.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083ZH

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
12SEP17	B 1 PD12DEC17	3075.00	3266.64	USD	4,791.00	
19SEP17	B 1 PD12DEC17	3105.41	3266.64	USD	4,030.75	
	NET 0 AVG BGHT 3088.88 SOLD 3083.20				**-710.25**	**0.00**
13SEP17	B 1 PD13DEC17	3024.50	3266.75	USD	6,056.25	
13SEP17	S 1 PD13DEC17	3029.00	3266.75	USD	-5,943.75	
	NET 0 AVG BGHT 3024.50 SOLD 3029.00				**112.50**	**0.00**
14SEP17	B 2 PD14DEC17	3002.50	3266.86	USD	13,218.00	
14SEP17	B 1 PD14DEC17	2996.50	3266.86	USD	6,759.00	
14SEP17	S 1 PD14DEC17	3012.50	3266.86	USD	-6,359.00	
14SEP17	S 2 PD14DEC17	3012.00	3266.86	USD	-12,743.00	
	NET 0 AVG BGHT 3000.50 SOLD 3012.17				**875.00**	**0.00**
15SEP17	B 1 PD15DEC17	3033.00	3266.96	USD	5,849.00	
15SEP17	B 1 PD15DEC17	3032.00	3266.96	USD	5,874.00	
15SEP17	B 1 PD15DEC17	3028.00	3266.96	USD	5,974.00	
15SEP17	S 1 PD15DEC17	3025.00	3266.96	USD	-6,049.00	
15SEP17	S 1 PD15DEC17	3031.50	3266.96	USD	-5,886.50	
	NET +1 AVG BGHT 3031.00 SOLD 3028.25				**5,761.50**	**0.00**
18SEP17	B 1 PD18DEC17	3077.00	3267.29	USD	4,757.25	
18SEP17	B 1 PD18DEC17	3064.00	3267.29	USD	5,082.25	
18SEP17	S 1 PD18DEC17	3084.00	3267.29	USD	-4,582.25	
18SEP17	S 1 PD18DEC17	3081.50	3267.29	USD	-4,644.75	
18SEP17	S 1 PD18DEC17	3094.00	3267.29	USD	-4,332.25	
18SEP17	S 1 PD18DEC17	3093.00	3267.29	USD	-4,357.25	
18SEP17	S 1 PD18DEC17	3092.50	3267.29	USD	-4,369.75	
18SEP17	B 1 PD18DEC17	3069.00	3267.29	USD	4,957.25	
18SEP17	B 1 PD18DEC17	3065.00	3267.29	USD	5,057.25	
18SEP17	S 1 PD18DEC17	3087.50	3267.29	USD	-4,494.75	
18SEP17	S 2 PD18DEC17	3088.00	3267.29	USD	-8,964.50	
18SEP17	B 2 PD18DEC17	3076.50	3267.29	USD	9,539.50	
18SEP17	B 1 PD18DEC17	3075.00	3267.29	USD	4,807.25	
	NET -1 AVG BGHT 3071.86 SOLD 3088.56				**-1,544.75**	**0.00**
19SEP17	S 1 PD19DEC17	3101.50	3267.39	USD	-4,147.25	
19SEP17	B 1 PD19DEC17	3095.00	3267.39	USD	4,309.75	
19SEP17	S 1 PD19DEC17	3109.50	3267.39	USD	-3,947.25	
19SEP17	S 3 PD19DEC17	3110.00	3267.39	USD	-11,804.25	
	NET -4 AVG BGHT 3095.00 SOLD 3108.20				**-15,589.00**	**0.00**
20SEP17	B 2 PD20DEC17	3100.00	3267.50	USD	8,375.00	
20SEP17	B 1 PD20DEC17	3099.50	3267.50	USD	4,200.00	
20SEP17	S 1 PD20DEC17	3123.00	3267.50	USD	-3,612.50	
20SEP17	S 1 PD20DEC17	3127.00	3267.50	USD	-3,512.50	
20SEP17	S 1 PD20DEC17	3131.00	3267.50	USD	-3,412.50	
20SEP17	S 1 PD20DEC17	3129.00	3267.50	USD	-3,462.50	
20SEP17	S 1 PD20DEC17	3142.50	3267.50	USD	-3,125.00	
20SEP17	B 1 PD20DEC17	3100.00	3267.50	USD	4,187.50	
20SEP17	B 1 PD20DEC17	3128.00	3267.50	USD	3,487.50	
20SEP17	B 2 PD20DEC17	3130.00	3267.50	USD	6,875.00	
20SEP17	B 2 PD20DEC17	3128.50	3267.50	USD	6,950.00	
	NET +4 AVG BGHT 3116.06 SOLD 3130.50				**16,950.00**	**0.00**
21SEP17	S 1 PD21DEC17	3080.50	3264.00	USD	-4,587.50	
21SEP17	S 1 PD21DEC17	3081.50	3264.00	USD	-4,562.50	
21SEP17	S 1 PD21DEC17	3085.50	3264.00	USD	-4,462.50	
21SEP17	S 1 PD21DEC17	3086.50	3264.00	USD	-4,437.50	
21SEP17	B 1 PD21DEC17	3103.00	3264.00	USD	4,025.00	
21SEP17	B 1 PD21DEC17	3079.00	3264.00	USD	4,625.00	
21SEP17	B 2 PD21DEC17	3066.00	3264.00	USD	9,900.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083ZH

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
	NET	**0 AVG BGHT 3078.50**	**SOLD 3083.50**				**500.00**	**0.00**
25SEP17	S	1 PD27DEC17		3086.00	3264.00	USD	-4,450.00	
25SEP17	S	1 PD27DEC17		3085.50	3264.00	USD	-4,462.50	
25SEP17	S	1 PD27DEC17		3087.00	3264.00	USD	-4,425.00	
25SEP17	B	1 PD27DEC17		3084.00	3264.00	USD	4,500.00	
25SEP17	B	1 PD27DEC17		3090.00	3264.00	USD	4,350.00	
25SEP17	B	1 PD27DEC17		3078.00	3264.00	USD	4,650.00	
25SEP17	S	1 PD27DEC17		3098.00	3264.00	USD	-4,150.00	
25SEP17	B	1 PD27DEC17		3098.00	3264.00	USD	4,150.00	
25SEP17	S	4 PD27DEC17		3096.00	3264.00	USD	-16,800.00	
25SEP17	B	1 PD27DEC17		3099.00	3264.00	USD	4,125.00	
25SEP17	B	3 PD27DEC17		3100.50	3264.00	USD	12,262.50	
26SEP17	S	2 PD27DEC17		3121.50	3264.00	USD	-7,125.00	
26SEP17	B	2 PD27DEC17		3135.00	3264.00	USD	6,450.00	
26SEP17	S	1 PD27DEC17		3139.50	3264.00	USD	-3,112.50	
26SEP17	S	1 PD27DEC17		3140.00	3264.00	USD	-3,100.00	
26SEP17	B	1 PD27DEC17		3115.00	3264.00	USD	3,725.00	
26SEP17	B	1 PD27DEC17		3114.00	3264.00	USD	3,750.00	
26SEP17	S	1 PD27DEC17		3115.50	3264.00	USD	-3,712.50	
26SEP17	S	1 PD27DEC17		3109.00	3264.00	USD	-3,875.00	
26SEP17	S	1 PD27DEC17		3114.50	3264.00	USD	-3,737.50	
26SEP17	B	1 PD27DEC17		3116.00	3264.00	USD	3,700.00	
26SEP17	S	2 PD27DEC17		3109.50	3264.00	USD	-7,725.00	
26SEP17	B	1 PD27DEC17		3112.00	3264.00	USD	3,800.00	
26SEP17	B	1 PD27DEC17		3113.00	3264.00	USD	3,775.00	
26SEP17	S	1 PD27DEC17		3111.00	3264.00	USD	-3,825.00	
26SEP17	B	2 PD27DEC17		3137.40	3264.00	USD	6,330.00	
26SEP17	S	1 PD27DEC17		3113.00	3264.00	USD	-3,775.00	
27SEP17	B	1 PD27DEC17		3116.00	3264.00	USD	3,700.00	
27SEP17	S	1 PD27DEC17		3143.50	3264.00	USD	-3,012.50	
27SEP17	B	1 PD27DEC17		3130.50	3264.00	USD	3,337.50	
27SEP17	B	1 PD27DEC17		3115.50	3264.00	USD	3,712.50	
	NET	**0 AVG BGHT 3111.37**	**SOLD 3109.43**				**-970.00**	**0.00**
28SEP17	S	1 PD28DEC17		3134.00	3264.00	USD	-3,250.00	
28SEP17	S	1 PD28DEC17		3136.50	3264.00	USD	-3,187.50	
28SEP17	S	1 PD28DEC17		3149.50	3264.00	USD	-2,862.50	
	NET	**-3 AVG SOLD 3140.00**					**-9,300.00**	**0.00**
29SEP17	B	1 PD29DEC17		3144.00	3264.00	USD	3,000.00	
29SEP17	B	2 PD29DEC17		3150.00	3264.00	USD	5,700.00	
29SEP17	S	1 PD29DEC17		3160.50	3264.00	USD	-2,587.50	
29SEP17	S	1 PD29DEC17		3151.00	3264.00	USD	-2,825.00	
29SEP17	S	1 PD29DEC17		3171.00	3264.00	USD	-2,325.00	
29SEP17	S	1 PD29DEC17		3167.00	3264.00	USD	-2,425.00	
29SEP17	B	1 PD29DEC17		3151.00	3264.00	USD	2,825.00	
29SEP17	B	1 PD29DEC17		3149.50	3264.00	USD	2,862.50	
29SEP17	B	2 PD29DEC17		3148.00	3264.00	USD	5,800.00	
	NET	**+3 AVG BGHT 3148.64**	**SOLD 3162.38**				**10,025.00**	**0.00**
03OCT17	S	2 PD03JAN18		3225.00	3264.00	USD	-1,950.00	
03OCT17	S	1 PD03JAN18		3239.50	3264.00	USD	-612.50	
03OCT17	B	1 PD03JAN18		3264.00	3264.00	USD	0.00	
03OCT17	B	2 PD03JAN18		3240.65	3264.00	USD	1,167.50	
03OCT17	B	2 PD03JAN18		3279.50	3264.00	USD	-775.00	
	NET	**+2 AVG BGHT 3260.86**	**SOLD 3229.83**				**-2,170.00**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083ZH

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	211,844.00
LME Copper Grade A 25	FUTURES	1,011.00
CMX Copper	FUTURES	-221,037.50
LME Lead 25 tonnes. This is an	FUTURES	-35,599.50
CBT Mini-Sized Dow	FUTURES	221,700.00
CME E-Mini S&P 500	FUTURES	499,937.50
LME Nickel 6 tonnes. This is	FUTURES	93,642.00
LME Zinc 25 tonnes. This is	FUTURES	144,724.50

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options			Amount	Profit / Loss	**Total Amount**	**Total P&L**
COPR	- Futures	USD	1,225.00	1,225.00		
MDOW	- Futures	USD	-4,380.00	-4,380.00		
MSNP	- Futures	USD	-6,622.50	-6,622.50	**-9,777.50**	**-9,777.50**
Unrealised Profits & Losses - Forwards			**Amount**	**Profit / Loss**	**Total Amount**	**Total P&L**
ALUL	- Futures	USD	-1,203.00	-1,203.00		
COPL	- Futures	USD	1,011.00	1,011.00		
LEAD	- Futures	USD	-35,566.00	-35,566.00		
NKL	- Futures	USD	30,083.28	30,083.28		
ZINC	- Futures	USD	-19,422.75	-19,422.75	**-25,097.47**	**-25,097.47**

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		91,867.56	91,867.56
Variation Margins	- Futures	-9,777.50	-9,777.50
	- Options	0.00	0.00
TOTAL EQUITY		**82,090.06**	**82,090.06**
Initial Margins		-94,602.47	-94,602.47
Excess/(Shortage)		**-12,512.41**	**-12,512.41**
FOR INFORMATION ONLY			
Forward Unrealised P&L		-25,097.47	-25,097.47
Net Liquidating Value		**56,992.59**	**56,992.59**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083ZU

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
04AUG17	B 1 PD03NOV17	1905.50	2116.75	USD	5,281.25	
04AUG17	S 1 PD03NOV17	1915.00	2116.75	USD	-5,043.75	
	NET 0 AVG BGHT 1905.50 SOLD 1915.00				**237.50**	**0.00**
07AUG17	B 1 PD07NOV17	1950.00	2116.08	USD	4,152.00	
07AUG17	S 1 PD07NOV17	1965.00	2116.08	USD	-3,777.00	
	NET 0 AVG BGHT 1950.00 SOLD 1965.00				**375.00**	**0.00**
09AUG17	B 1 PD09NOV17	2041.00	2116.11	USD	1,877.75	
09AUG17	S 1 PD09NOV17	2028.00	2116.11	USD	-2,202.75	
	NET 0 AVG BGHT 2041.00 SOLD 2028.00				**-325.00**	**0.00**
16AUG17	B 1 PD16NOV17	2072.50	2118.52	USD	1,150.50	
16AUG17	B 1 PD16NOV17	2073.50	2118.52	USD	1,125.50	
16AUG17	S 2 PD16NOV17	2078.50	2118.52	USD	-2,001.00	
16AUG17	B 2 PD16NOV17	2091.50	2118.52	USD	1,351.00	
16AUG17	S 2 PD16NOV17	2092.50	2118.52	USD	-1,301.00	
	NET 0 AVG BGHT 2082.25 SOLD 2085.50				**325.00**	**0.00**
21AUG17	B 1 PD21NOV17	2092.00	2119.88	USD	697.00	
21AUG17	S 1 PD21NOV17	2084.50	2119.88	USD	-884.50	
	NET 0 AVG BGHT 2092.00 SOLD 2084.50				**-187.50**	**0.00**
30AUG17	B 1 PD30NOV17	2102.50	2122.32	USD	495.50	
30AUG17	S 1 PD30NOV17	2091.50	2122.32	USD	-770.50	
	NET 0 AVG BGHT 2102.50 SOLD 2091.50				**-275.00**	**0.00**
01SEP17	B 1 PD01DEC17	2130.50	2122.59	USD	-197.75	
01SEP17	S 1 PD01DEC17	2136.00	2122.59	USD	335.25	
	NET 0 AVG BGHT 2130.50 SOLD 2136.00				**137.50**	**0.00**
19SEP17	S 1 PD19DEC17	2108.50	2127.48	USD	-474.50	
19SEP17	B 1 PD19DEC17	2129.00	2127.48	USD	-38.00	
	NET 0 AVG BGHT 2129.00 SOLD 2108.50				**-512.50**	**0.00**
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
12JUL17	B 1 PD12OCT17	5884.50	6477.63	USD	14,828.25	
12JUL17	S 1 PD12OCT17	5885.50	6477.63	USD	-14,803.25	
	NET 0 AVG BGHT 5884.50 SOLD 5885.50				**25.00**	**0.00**
25JUL17	S 1 PD25OCT17	6227.00	6490.29	USD	-6,582.25	
25JUL17	B 1 PD25OCT17	6223.00	6490.29	USD	6,682.25	
	NET 0 AVG BGHT 6223.00 SOLD 6227.00				**100.00**	**0.00**
29AUG17	B 1 PD29NOV17	6778.50	6508.80	USD	-6,742.50	
29AUG17	S 1 PD29NOV17	6783.50	6508.80	USD	6,867.50	
	NET 0 AVG BGHT 6778.50 SOLD 6783.50				**125.00**	**0.00**
15SEP17	B 1 PD15DEC17	6503.00	6515.77	USD	319.25	
15SEP17	S 1 PD15DEC17	6508.00	6515.77	USD	-194.25	
	NET 0 AVG BGHT 6503.00 SOLD 6508.00				**125.00**	**0.00**
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
25JUL17	B 1 PD25OCT17	2295.00	2588.00	USD	7,325.00	
25JUL17	B 1 PD25OCT17	2295.50	2588.00	USD	7,312.50	
25JUL17	S 1 PD25OCT17	2295.00	2588.00	USD	-7,325.00	
25JUL17	S 1 PD25OCT17	2314.00	2588.00	USD	-6,850.00	
	NET 0 AVG BGHT 2295.25 SOLD 2304.50				**462.50**	**0.00**
08AUG17	B 1 PD08NOV17	2353.50	2588.00	USD	5,862.50	
19SEP17	S 1 PD08NOV17	2410.81	2588.00	USD	-4,429.75	
	NET 0 AVG BGHT 2353.50 SOLD 2410.81				**1,432.75**	**0.00**
09AUG17	S 2 PD09NOV17	2392.50	2588.00	USD	-9,775.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083ZU

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
09AUG17	B 1 PD09NOV17	2352.00	2588.00	USD	5,900.00	
09AUG17	B 1 PD09NOV17	2354.00	2588.00	USD	5,850.00	
	NET 0 AVG BGHT 2353.00 SOLD 2392.50				**1,975.00**	**0.00**
11AUG17	S 1 PD10NOV17	2352.00	2588.00	USD	-5,900.00	
11AUG17	B 1 PD10NOV17	2330.00	2588.00	USD	6,450.00	
	NET 0 AVG BGHT 2330.00 SOLD 2352.00				**550.00**	**0.00**
16AUG17	S 2 PD16NOV17	2418.50	2588.13	USD	-8,481.50	
19SEP17	B 1 PD16NOV17	2413.20	2588.13	USD	4,373.25	
19SEP17	B 1 PD16NOV17	2413.00	2588.13	USD	4,378.25	
	NET 0 AVG BGHT 2413.10 SOLD 2418.50				**270.00**	**0.00**
17AUG17	B 2 PD17NOV17	2470.00	2588.26	USD	5,913.00	
17AUG17	S 1 PD17NOV17	2445.00	2588.26	USD	-3,581.50	
17AUG17	S 1 PD17NOV17	2454.00	2588.26	USD	-3,356.50	
17AUG17	B 1 PD17NOV17	2430.50	2588.26	USD	3,944.00	
17AUG17	S 1 PD17NOV17	2430.50	2588.26	USD	-3,944.00	
17AUG17	B 1 PD17NOV17	2435.00	2588.26	USD	3,831.50	
17AUG17	B 1 PD17NOV17	2436.00	2588.26	USD	3,806.50	
17AUG17	B 1 PD17NOV17	2405.50	2588.26	USD	4,569.00	
18AUG17	S 1 PD17NOV17	2414.00	2588.26	USD	-4,356.50	
18AUG17	S 1 PD17NOV17	2403.00	2588.26	USD	-4,631.50	
19SEP17	S 1 PD17NOV17	2413.05	2588.26	USD	-4,380.25	
	NET 0 AVG BGHT 2441.17 SOLD 2426.59				**-2,186.25**	**0.00**
06SEP17	S 1 PD06DEC17	2329.00	2590.70	USD	-6,542.50	
06SEP17	B 1 PD06DEC17	2322.00	2590.70	USD	6,717.50	
	NET 0 AVG BGHT 2322.00 SOLD 2329.00				**175.00**	**0.00**
11SEP17	B 2 PD11DEC17	2288.50	2591.34	USD	15,142.00	
11SEP17	S 2 PD11DEC17	2290.00	2591.34	USD	-15,067.00	
	NET 0 AVG BGHT 2288.50 SOLD 2290.00				**75.00**	**0.00**
22SEP17	S 1 PD22DEC17	2486.00	2592.07	USD	-2,651.75	
22SEP17	B 1 PD22DEC17	2483.00	2592.07	USD	2,726.75	
22SEP17	B 1 PD22DEC17	2490.00	2592.07	USD	2,551.75	
22SEP17	S 1 PD22DEC17	2488.00	2592.07	USD	-2,601.75	
	NET 0 AVG BGHT 2486.50 SOLD 2487.00				**25.00**	**0.00**
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
05JUL17	B 1 PD05OCT17	9125.00	10532.50	USD	8,445.00	
05JUL17	S 1 PD05OCT17	9135.00	10532.50	USD	-8,385.00	
	NET 0 AVG BGHT 9125.00 SOLD 9135.00				**60.00**	**0.00**
06JUL17	B 1 PD06OCT17	9080.00	10533.77	USD	8,722.62	
06JUL17	S 1 PD06OCT17	9100.00	10533.77	USD	-8,602.62	
07JUL17	B 1 PD06OCT17	8975.00	10533.77	USD	9,352.62	
19SEP17	S 1 PD06OCT17	11080.61	10533.77	USD	3,281.04	
	NET 0 AVG BGHT 9027.50 SOLD 10090.31				**12,753.66**	**0.00**
10JUL17	S 1 PD10OCT17	8875.00	10538.85	USD	-9,983.10	
19SEP17	B 1 PD10OCT17	11084.57	10538.85	USD	-3,274.32	
	NET 0 AVG BGHT 11084.57 SOLD 8875.00				**-13,257.42**	**0.00**
11JUL17	S 1 PD11OCT17	9150.00	10540.12	USD	-8,340.72	
11JUL17	B 1 PD11OCT17	9175.00	10540.12	USD	8,190.72	
11JUL17	S 1 PD11OCT17	9175.00	10540.12	USD	-8,190.72	
11JUL17	S 1 PD11OCT17	9190.00	10540.12	USD	-8,100.72	
11JUL17	B 1 PD11OCT17	9170.00	10540.12	USD	8,220.72	
19SEP17	B 1 PD11OCT17	11084.31	10540.12	USD	-3,265.14	
	NET 0 AVG BGHT 9809.77 SOLD 9171.67				**-11,485.86**	**0.00**
12JUL17	B 1 PD12OCT17	9210.00	10541.38	USD	7,988.28	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083ZU

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
12JUL17	S 1 PD12OCT17	9220.00	10541.38	USD	-7,928.28	
12JUL17	B 2 PD12OCT17	9205.00	10541.38	USD	16,036.56	
19SEP17	S 1 PD12OCT17	11084.06	10541.38	USD	3,256.08	
19SEP17	S 1 PD12OCT17	11085.06	10541.38	USD	3,262.08	
	NET 0 AVG BGHT 9206.67 SOLD 10463.04				**22,614.72**	**0.00**
13JUL17	S 1 PD13OCT17	9210.00	10542.65	USD	-7,995.90	
13JUL17	S 1 PD13OCT17	9190.00	10542.65	USD	-8,115.90	
13JUL17	B 1 PD13OCT17	9210.00	10542.65	USD	7,995.90	
14JUL17	S 1 PD13OCT17	9435.00	10542.65	USD	-6,645.90	
14JUL17	B 1 PD13OCT17	9500.00	10542.65	USD	6,255.90	
14JUL17	B 1 PD13OCT17	9550.00	10542.65	USD	5,955.90	
14JUL17	S 2 PD13OCT17	9565.00	10542.65	USD	-11,731.80	
14JUL17	B 1 PD13OCT17	9540.00	10542.65	USD	6,015.90	
19SEP17	B 1 PD13OCT17	11086.80	10542.65	USD	-3,264.90	
	NET 0 AVG BGHT 9777.36 SOLD 9393.00				**-11,530.80**	**0.00**
18JUL17	S 1 PD18OCT17	9735.00	10549.00	USD	-4,884.00	
18JUL17	B 1 PD18OCT17	9755.00	10549.00	USD	4,764.00	
	NET 0 AVG BGHT 9755.00 SOLD 9735.00				**-120.00**	**0.00**
24JUL17	S 1 PD24OCT17	9760.00	10554.79	USD	-4,768.74	
19SEP17	B 1 PD24OCT17	11094.75	10554.79	USD	-3,239.76	
	NET 0 AVG BGHT 11094.75 SOLD 9760.00				**-8,008.50**	**0.00**
25JUL17	B 1 PD25OCT17	9815.00	10555.75	USD	4,444.50	
25JUL17	S 1 PD25OCT17	10000.00	10555.75	USD	-3,334.50	
25JUL17	B 1 PD25OCT17	10020.00	10555.75	USD	3,214.50	
19SEP17	S 1 PD25OCT17	11094.63	10555.75	USD	3,233.28	
	NET 0 AVG BGHT 9917.50 SOLD 10547.32				**7,557.78**	**0.00**
27JUL17	S 1 PD27OCT17	10210.00	10557.68	USD	-2,086.08	
27JUL17	B 1 PD27OCT17	10140.00	10557.68	USD	2,506.08	
28JUL17	S 1 PD27OCT17	10200.00	10557.68	USD	-2,146.08	
28JUL17	B 1 PD27OCT17	10230.00	10557.68	USD	1,966.08	
28JUL17	S 1 PD27OCT17	10285.00	10557.68	USD	-1,636.08	
28JUL17	B 1 PD27OCT17	10255.00	10557.68	USD	1,816.08	
28JUL17	B 1 PD27OCT17	10205.00	10557.68	USD	2,116.08	
28JUL17	S 1 PD27OCT17	10230.00	10557.68	USD	-1,966.08	
28JUL17	S 1 PD27OCT17	10280.00	10557.68	USD	-1,666.08	
19SEP17	B 1 PD27OCT17	11097.38	10557.68	USD	-3,238.20	
	NET 0 AVG BGHT 10385.48 SOLD 10241.00				**-4,334.28**	**0.00**
31JUL17	B 1 PD31OCT17	10310.00	10561.54	USD	1,509.24	
19SEP17	S 1 PD31OCT17	11099.88	10561.54	USD	3,230.04	
	NET 0 AVG BGHT 10310.00 SOLD 11099.88				**4,739.28**	**0.00**
02AUG17	B 1 PD02NOV17	10225.00	10563.46	USD	2,030.76	
02AUG17	S 2 PD02NOV17	10280.00	10563.46	USD	-3,401.52	
02AUG17	B 1 PD02NOV17	10325.00	10563.46	USD	1,430.76	
02AUG17	B 1 PD02NOV17	10335.00	10563.46	USD	1,370.76	
02AUG17	S 2 PD02NOV17	10345.00	10563.46	USD	-2,621.52	
19SEP17	B 1 PD02NOV17	11102.63	10563.46	USD	-3,235.02	
	NET 0 AVG BGHT 10496.91 SOLD 10312.50				**-4,425.78**	**0.00**
03AUG17	B 1 PD03NOV17	10375.00	10564.43	USD	1,136.58	
03AUG17	B 1 PD03NOV17	10310.00	10564.43	USD	1,526.58	
03AUG17	B 1 PD03NOV17	10305.00	10564.43	USD	1,556.58	
03AUG17	S 1 PD03NOV17	10300.00	10564.43	USD	-1,586.58	
03AUG17	S 1 PD03NOV17	10320.00	10564.43	USD	-1,466.58	
04AUG17	B 1 PD03NOV17	10185.00	10564.43	USD	2,276.58	
04AUG17	S 2 PD03NOV17	10275.00	10564.43	USD	-3,473.16	
	NET 0 AVG BGHT 10293.75 SOLD 10292.50				**-30.00**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083ZU

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
07AUG17	B 1 PD07NOV17	10350.00	10568.29	USD	1,309.74	
19SEP17	S 1 PD07NOV17	11106.00	10568.29	USD	3,226.26	
	NET 0 AVG BGHT 10350.00 SOLD 11106.00				**4,536.00**	**0.00**
08AUG17	B 1 PD08NOV17	10350.00	10569.25	USD	1,315.50	
08AUG17	S 1 PD08NOV17	10610.00	10569.25	USD	244.50	
	NET 0 AVG BGHT 10350.00 SOLD 10610.00				**1,560.00**	**0.00**
09AUG17	S 1 PD09NOV17	10810.00	10570.21	USD	1,438.74	
19SEP17	B 1 PD09NOV17	11108.75	10570.21	USD	-3,231.24	
	NET 0 AVG BGHT 11108.75 SOLD 10810.00				**-1,792.50**	**0.00**
10AUG17	B 2 PD10NOV17	10805.00	10571.18	USD	-2,805.84	
10AUG17	S 1 PD10NOV17	10785.00	10571.18	USD	1,282.92	
10AUG17	B 1 PD10NOV17	10800.00	10571.18	USD	-1,372.92	
10AUG17	S 3 PD10NOV17	10835.00	10571.18	USD	4,748.76	
10AUG17	B 2 PD10NOV17	10855.00	10571.18	USD	-3,405.84	
10AUG17	S 1 PD10NOV17	10990.00	10571.18	USD	2,512.92	
10AUG17	B 1 PD10NOV17	10920.00	10571.18	USD	-2,092.92	
19SEP17	S 1 PD10NOV17	11108.63	10571.18	USD	3,224.70	
	NET 0 AVG BGHT 10840.00 SOLD 10898.11				**2,091.78**	**0.00**
14AUG17	S 1 PD14NOV17	10465.00	10575.04	USD	-660.24	
14AUG17	B 1 PD14NOV17	10505.00	10575.04	USD	420.24	
14AUG17	B 1 PD14NOV17	10415.00	10575.04	USD	960.24	
14AUG17	S 1 PD14NOV17	10440.00	10575.04	USD	-810.24	
	NET 0 AVG BGHT 10460.00 SOLD 10452.50				**-90.00**	**0.00**
23AUG17	S 1 PD24NOV17	11525.00	10583.97	USD	5,646.18	
23AUG17	B 1 PD24NOV17	11450.00	10583.97	USD	-5,196.18	
23AUG17	B 1 PD24NOV17	11605.00	10583.97	USD	-6,126.18	
23AUG17	S 1 PD24NOV17	11565.00	10583.97	USD	5,886.18	
23AUG17	S 1 PD24NOV17	11690.00	10583.97	USD	6,636.18	
23AUG17	B 1 PD24NOV17	11820.00	10583.97	USD	-7,416.18	
	NET 0 AVG BGHT 11625.00 SOLD 11593.33				**-570.00**	**0.00**
29AUG17	S 1 PD29NOV17	11645.00	10588.40	USD	6,339.60	
29AUG17	B 1 PD29NOV17	11660.00	10588.40	USD	-6,429.60	
	NET 0 AVG BGHT 11660.00 SOLD 11645.00				**-90.00**	**0.00**
01SEP17	B 1 PD01DEC17	12110.00	10590.17	USD	-9,118.98	
01SEP17	S 1 PD01DEC17	12085.00	10590.17	USD	8,968.98	
	NET 0 AVG BGHT 12110.00 SOLD 12085.00				**-150.00**	**0.00**
05SEP17	S 1 PD05DEC17	12015.00	10593.71	USD	8,527.74	
05SEP17	B 2 PD05DEC17	12010.00	10593.71	USD	-16,995.48	
05SEP17	S 1 PD05DEC17	11985.00	10593.71	USD	8,347.74	
	NET 0 AVG BGHT 12010.00 SOLD 12000.00				**-120.00**	**0.00**
08SEP17	B 1 PD08DEC17	11915.00	10596.37	USD	-7,911.78	
08SEP17	S 1 PD08DEC17	11950.00	10596.37	USD	8,121.78	
08SEP17	B 1 PD08DEC17	11870.00	10596.37	USD	-7,641.78	
08SEP17	S 1 PD08DEC17	11800.00	10596.37	USD	7,221.78	
	NET 0 AVG BGHT 11892.50 SOLD 11875.00				**-210.00**	**0.00**
12SEP17	B 1 PD12DEC17	11905.00	10599.91	USD	-7,830.54	
12SEP17	S 1 PD12DEC17	11920.00	10599.91	USD	7,920.54	
	NET 0 AVG BGHT 11905.00 SOLD 11920.00				**90.00**	**0.00**
13SEP17	B 1 PD13DEC17	11465.00	10600.80	USD	-5,185.20	
13SEP17	B 1 PD13DEC17	11360.00	10600.80	USD	-4,555.20	
13SEP17	S 1 PD13DEC17	11425.00	10600.80	USD	4,945.20	
13SEP17	S 1 PD13DEC17	11450.00	10600.80	USD	5,095.20	
	NET 0 AVG BGHT 11412.50 SOLD 11437.50				**300.00**	**0.00**
14SEP17	B 1 PD14DEC17	11190.00	10601.69	USD	-3,529.86	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083ZU

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
14SEP17	S 1 PD14DEC17	11215.00	10601.69	USD	3,679.86	
	NET 0 AVG BGHT 11190.00 SOLD 11215.00				**150.00**	**0.00**
15SEP17	B 1 PD15DEC17	11140.00	10602.57	USD	-3,224.58	
15SEP17	S 1 PD15DEC17	11055.00	10602.57	USD	2,714.58	
15SEP17	B 1 PD15DEC17	10885.00	10602.57	USD	-1,694.58	
15SEP17	S 1 PD15DEC17	10940.00	10602.57	USD	2,024.58	
15SEP17	B 1 PD15DEC17	11110.00	10602.57	USD	-3,044.58	
15SEP17	S 1 PD15DEC17	11125.00	10602.57	USD	3,134.58	
	NET 0 AVG BGHT 11045.00 SOLD 11040.00				**-90.00**	**0.00**
18SEP17	B 1 PD18DEC17	11180.00	10605.23	USD	-3,448.62	
18SEP17	S 1 PD18DEC17	11100.00	10605.23	USD	2,968.62	
18SEP17	B 1 PD18DEC17	11225.00	10605.23	USD	-3,718.62	
18SEP17	S 1 PD18DEC17	11225.00	10605.23	USD	3,718.62	
18SEP17	B 1 PD18DEC17	11170.00	10605.23	USD	-3,388.62	
	NET +1 AVG BGHT 11191.67 SOLD 11162.50				**-3,868.62**	**0.00**
19SEP17	S 1 PD19DEC17	10965.00	10606.11	USD	2,153.34	
19SEP17	B 1 PD19DEC17	10960.00	10606.11	USD	-2,123.34	
19SEP17	S 1 PD19DEC17	10940.00	10606.11	USD	2,003.34	
19SEP17	S 1 PD19DEC17	10950.00	10606.11	USD	2,063.34	
19SEP17	B 1 PD19DEC17	11165.00	10606.11	USD	-3,353.34	
	NET -1 AVG BGHT 11062.50 SOLD 10951.67				**743.34**	**0.00**
21SEP17	B 1 PD21DEC17	10875.00	10608.00	USD	-1,602.00	
21SEP17	S 1 PD21DEC17	10885.00	10608.00	USD	1,662.00	
	NET 0 AVG BGHT 10875.00 SOLD 10885.00				**60.00**	**0.00**
22SEP17	B 1 PD22DEC17	10460.00	10609.00	USD	894.00	
22SEP17	S 1 PD22DEC17	10480.00	10609.00	USD	-774.00	
	NET 0 AVG BGHT 10460.00 SOLD 10480.00				**120.00**	**0.00**
27SEP17	B 1 PD27DEC17	10360.00	10613.58	USD	1,521.48	
27SEP17	S 1 PD27DEC17	10370.00	10613.58	USD	-1,461.48	
27SEP17	B 1 PD27DEC17	10225.00	10613.58	USD	2,331.48	
27SEP17	S 1 PD27DEC17	10285.00	10613.58	USD	-1,971.48	
	NET 0 AVG BGHT 10292.50 SOLD 10327.50				**420.00**	**0.00**
03OCT17	S 1 PD03JAN18	10730.00	10620.00	USD	660.00	
	NET -1 AVG SOLD 10730.00				**660.00**	**0.00**
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
05JUL17	S 1 PD05OCT17	19750.00	20954.00	USD	-6,020.00	
19SEP17	B 1 PD05OCT17	20743.33	20954.00	USD	1,053.35	
	NET 0 AVG BGHT 20743.33 SOLD 19750.00				**-4,966.65**	**0.00**
06JUL17	B 1 PD06OCT17	19710.00	20951.50	USD	6,207.50	
07JUL17	S 1 PD06OCT17	19625.00	20951.50	USD	-6,632.50	
07JUL17	S 1 PD06OCT17	19675.00	20951.50	USD	-6,382.50	
07JUL17	S 1 PD06OCT17	19695.00	20951.50	USD	-6,282.50	
07JUL17	B 1 PD06OCT17	19660.00	20951.50	USD	6,457.50	
07JUL17	B 1 PD06OCT17	19615.00	20951.50	USD	6,682.50	
07JUL17	B 1 PD06OCT17	19685.00	20951.50	USD	6,332.50	
19SEP17	S 1 PD06OCT17	20740.67	20951.50	USD	-1,054.15	
	NET 0 AVG BGHT 19667.50 SOLD 19933.92				**5,328.35**	**0.00**
10JUL17	S 1 PD10OCT17	19930.00	20949.00	USD	-5,095.00	
10JUL17	B 1 PD10OCT17	19940.00	20949.00	USD	5,045.00	
	NET 0 AVG BGHT 19940.00 SOLD 19930.00				**-50.00**	**0.00**
13JUL17	S 1 PD13OCT17	19860.00	20941.50	USD	-5,407.50	
13JUL17	B 1 PD13OCT17	19830.00	20941.50	USD	5,557.50	
13JUL17	S 1 PD13OCT17	19895.00	20941.50	USD	-5,232.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083ZU

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
14JUL17	B 1 PD13OCT17	19840.00	20941.50	USD	5,507.50	
	NET 0 AVG BGHT 19835.00 SOLD 19877.50				**425.00**	**0.00**
18JUL17	B 1 PD18OCT17	20015.00	20934.00	USD	4,595.00	
19SEP17	S 1 PD18OCT17	20730.00	20934.00	USD	-1,020.00	
	NET 0 AVG BGHT 20015.00 SOLD 20730.00				**3,575.00**	**0.00**
19JUL17	S 1 PD19OCT17	19990.00	20929.00	USD	-4,695.00	
19SEP17	B 1 PD19OCT17	20729.00	20929.00	USD	1,000.00	
	NET 0 AVG BGHT 20729.00 SOLD 19990.00				**-3,695.00**	**0.00**
24JUL17	B 1 PD24OCT17	20150.00	20914.00	USD	3,820.00	
24JUL17	S 1 PD24OCT17	20165.00	20914.00	USD	-3,745.00	
	NET 0 AVG BGHT 20150.00 SOLD 20165.00				**75.00**	**0.00**
25JUL17	B 1 PD25OCT17	20200.00	20909.00	USD	3,545.00	
25JUL17	S 1 PD25OCT17	20305.00	20909.00	USD	-3,020.00	
25JUL17	S 1 PD25OCT17	20365.00	20909.00	USD	-2,720.00	
25JUL17	B 1 PD25OCT17	20305.00	20909.00	USD	3,020.00	
	NET 0 AVG BGHT 20252.50 SOLD 20335.00				**825.00**	**0.00**
26JUL17	B 1 PD26OCT17	20365.00	20904.00	USD	2,695.00	
19SEP17	S 1 PD26OCT17	20718.00	20904.00	USD	-930.00	
	NET 0 AVG BGHT 20365.00 SOLD 20718.00				**1,765.00**	**0.00**
27JUL17	S 1 PD27OCT17	20525.00	20899.00	USD	-1,870.00	
19SEP17	B 1 PD27OCT17	20717.00	20899.00	USD	910.00	
	NET 0 AVG BGHT 20717.00 SOLD 20525.00				**-960.00**	**0.00**
03AUG17	S 1 PD03NOV17	20670.00	20881.20	USD	-1,056.00	
04AUG17	B 2 PD03NOV17	20700.00	20881.20	USD	1,812.00	
04AUG17	S 1 PD03NOV17	20690.00	20881.20	USD	-956.00	
04AUG17	B 1 PD03NOV17	20660.00	20881.20	USD	1,106.00	
04AUG17	S 1 PD03NOV17	20675.00	20881.20	USD	-1,031.00	
	NET 0 AVG BGHT 20686.67 SOLD 20678.33				**-125.00**	**0.00**
08AUG17	S 1 PD08NOV17	20585.00	20877.00	USD	-1,460.00	
08AUG17	B 1 PD08NOV17	20230.00	20877.00	USD	3,235.00	
	NET 0 AVG BGHT 20230.00 SOLD 20585.00				**1,775.00**	**0.00**
09AUG17	B 1 PD09NOV17	20225.00	20875.60	USD	3,253.00	
19SEP17	S 1 PD09NOV17	20698.00	20875.60	USD	-888.00	
	NET 0 AVG BGHT 20225.00 SOLD 20698.00				**2,365.00**	**0.00**
10AUG17	S 1 PD10NOV17	20260.00	20874.20	USD	-3,071.00	
19SEP17	B 1 PD10NOV17	20697.00	20874.20	USD	886.00	
	NET 0 AVG BGHT 20697.00 SOLD 20260.00				**-2,185.00**	**0.00**
21AUG17	S 1 PD21NOV17	20475.00	20865.00	USD	-1,950.00	
19SEP17	B 1 PD21NOV17	20683.04	20865.00	USD	909.80	
	NET 0 AVG BGHT 20683.04 SOLD 20475.00				**-1,040.20**	**0.00**
22AUG17	B 1 PD22NOV17	20445.00	20863.75	USD	2,093.75	
22AUG17	B 1 PD22NOV17	20335.00	20863.75	USD	2,643.75	
22AUG17	S 1 PD22NOV17	20330.00	20863.75	USD	-2,668.75	
19SEP17	S 1 PD22NOV17	20680.30	20863.75	USD	-917.25	
	NET 0 AVG BGHT 20390.00 SOLD 20505.15				**1,151.50**	**0.00**
29AUG17	B 1 PD29NOV17	20375.00	20858.75	USD	2,418.75	
29AUG17	S 1 PD29NOV17	20445.00	20858.75	USD	-2,068.75	
	NET 0 AVG BGHT 20375.00 SOLD 20445.00				**350.00**	**0.00**
30AUG17	S 1 PD30NOV17	20585.00	20857.50	USD	-1,362.50	
30AUG17	B 1 PD30NOV17	20600.00	20857.50	USD	1,287.50	
31AUG17	S 1 PD30NOV17	20690.00	20857.50	USD	-837.50	
31AUG17	B 1 PD30NOV17	20700.00	20857.50	USD	787.50	
	NET 0 AVG BGHT 20650.00 SOLD 20637.50				**-125.00**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083ZU

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
07SEP17	S 2 PD07DEC17	20780.00	20851.25	USD	-712.50	
07SEP17	B 2 PD07DEC17	20760.00	20851.25	USD	912.50	
	NET 0 AVG BGHT 20760.00 SOLD 20780.00				**200.00**	**0.00**
08SEP17	S 1 PD08DEC17	20535.00	20850.00	USD	-1,575.00	
08SEP17	B 1 PD08DEC17	20550.00	20850.00	USD	1,500.00	
	NET 0 AVG BGHT 20550.00 SOLD 20535.00				**-75.00**	**0.00**
13SEP17	S 1 PD13DEC17	20550.00	20846.25	USD	-1,481.25	
13SEP17	B 1 PD13DEC17	20510.00	20846.25	USD	1,681.25	
	NET 0 AVG BGHT 20510.00 SOLD 20550.00				**200.00**	**0.00**
14SEP17	B 1 PD14DEC17	20550.00	20845.00	USD	1,475.00	
14SEP17	S 1 PD14DEC17	20565.00	20845.00	USD	-1,400.00	
	NET 0 AVG BGHT 20550.00 SOLD 20565.00				**75.00**	**0.00**
15SEP17	S 1 PD15DEC17	20510.00	20843.75	USD	-1,668.75	
15SEP17	B 1 PD15DEC17	20560.00	20843.75	USD	1,418.75	
	NET 0 AVG BGHT 20560.00 SOLD 20510.00				**-250.00**	**0.00**
18SEP17	S 1 PD18DEC17	20680.00	20842.50	USD	-812.50	
18SEP17	B 1 PD18DEC17	20700.00	20842.50	USD	712.50	
18SEP17	S 1 PD18DEC17	20720.00	20842.50	USD	-612.50	
	NET -1 AVG BGHT 20700.00 SOLD 20700.00				**-712.50**	**0.00**
19SEP17	B 1 PD19DEC17	20675.00	20841.25	USD	831.25	
19SEP17	B 1 PD19DEC17	20720.00	20841.25	USD	606.25	
19SEP17	S 1 PD19DEC17	20665.00	20841.25	USD	-881.25	
	NET +1 AVG BGHT 20697.50 SOLD 20665.00				**556.25**	**0.00**
21SEP17	B 1 PD21DEC17	20470.00	20833.40	USD	1,817.00	
21SEP17	S 1 PD21DEC17	20460.00	20833.40	USD	-1,867.00	
	NET 0 AVG BGHT 20470.00 SOLD 20460.00				**-50.00**	**0.00**
22SEP17	S 1 PD22DEC17	20570.00	20826.80	USD	-1,284.00	
22SEP17	B 1 PD22DEC17	20580.00	20826.80	USD	1,234.00	
	NET 0 AVG BGHT 20580.00 SOLD 20570.00				**-50.00**	**0.00**
25SEP17	S 1 PD27DEC17	20735.00	20820.20	USD	-426.00	
25SEP17	B 1 PD27DEC17	20705.00	20820.20	USD	576.00	
	NET 0 AVG BGHT 20705.00 SOLD 20735.00				**150.00**	**0.00**
28SEP17	B 1 PD28DEC17	20635.00	20813.60	USD	893.00	
28SEP17	S 1 PD28DEC17	20625.00	20813.60	USD	-943.00	
	NET 0 AVG BGHT 20635.00 SOLD 20625.00				**-50.00**	**0.00**
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
25JUL17	S 1 PD25OCT17	2830.50	3279.50	USD	-11,225.00	
25JUL17	S 1 PD25OCT17	2839.50	3279.50	USD	-11,000.00	
25JUL17	B 1 PD25OCT17	2836.00	3279.50	USD	11,087.50	
25JUL17	B 1 PD25OCT17	2838.50	3279.50	USD	11,025.00	
25JUL17	B 1 PD25OCT17	2839.00	3279.50	USD	11,012.50	
25JUL17	S 1 PD25OCT17	2838.50	3279.50	USD	-11,025.00	
	NET 0 AVG BGHT 2837.83 SOLD 2836.17				**-125.00**	**0.00**
04AUG17	S 1 PD03NOV17	2826.00	3272.00	USD	-11,150.00	
04AUG17	B 1 PD03NOV17	2818.00	3272.00	USD	11,350.00	
	NET 0 AVG BGHT 2818.00 SOLD 2826.00				**200.00**	**0.00**
07AUG17	S 1 PD07NOV17	2869.50	3272.00	USD	-10,062.50	
07AUG17	B 1 PD07NOV17	2867.50	3272.00	USD	10,112.50	
	NET 0 AVG BGHT 2867.50 SOLD 2869.50				**50.00**	**0.00**
08AUG17	S 1 PD08NOV17	2884.50	3272.00	USD	-9,687.50	
19SEP17	B 1 PD08NOV17	3106.03	3272.00	USD	4,149.25	
	NET 0 AVG BGHT 3106.03 SOLD 2884.50				**-5,538.25**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083ZU

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
09AUG17	B 1 PD09NOV17	2956.00	3272.00	USD	7,900.00	
09AUG17	B 1 PD09NOV17	2956.50	3272.00	USD	7,887.50	
09AUG17	S 1 PD09NOV17	2974.50	3272.00	USD	-7,437.50	
09AUG17	B 1 PD09NOV17	2935.50	3272.00	USD	8,412.50	
09AUG17	S 1 PD09NOV17	2935.00	3272.00	USD	-8,425.00	
09AUG17	S 1 PD09NOV17	2935.50	3272.00	USD	-8,412.50	
	NET 0 AVG BGHT 2949.33 SOLD 2948.33				**-75.00**	**0.00**
11AUG17	B 1 PD10NOV17	2911.00	3272.00	USD	9,025.00	
11AUG17	S 1 PD10NOV17	2910.50	3272.00	USD	-9,037.50	
11AUG17	B 1 PD10NOV17	2906.50	3272.00	USD	9,137.50	
11AUG17	S 1 PD10NOV17	2905.00	3272.00	USD	-9,175.00	
	NET 0 AVG BGHT 2908.75 SOLD 2907.75				**-50.00**	**0.00**
16AUG17	B 2 PD16NOV17	3017.50	3267.50	USD	12,500.00	
16AUG17	S 1 PD16NOV17	3029.50	3267.50	USD	-5,950.00	
16AUG17	S 1 PD16NOV17	3030.00	3267.50	USD	-5,937.50	
16AUG17	S 2 PD16NOV17	3086.00	3267.50	USD	-9,075.00	
16AUG17	B 2 PD16NOV17	3102.50	3267.50	USD	8,250.00	
16AUG17	B 2 PD16NOV17	3050.00	3267.50	USD	10,875.00	
19SEP17	S 1 PD16NOV17	3103.55	3267.50	USD	-4,098.75	
19SEP17	S 1 PD16NOV17	3103.75	3267.50	USD	-4,093.75	
	NET 0 AVG BGHT 3056.67 SOLD 3073.13				**2,470.00**	**0.00**
17AUG17	S 1 PD17NOV17	3105.00	3265.50	USD	-4,012.50	
17AUG17	S 1 PD17NOV17	3108.00	3265.50	USD	-3,937.50	
17AUG17	B 1 PD17NOV17	3095.00	3265.50	USD	4,262.50	
17AUG17	B 1 PD17NOV17	3098.50	3265.50	USD	4,175.00	
17AUG17	S 1 PD17NOV17	3085.00	3265.50	USD	-4,512.50	
17AUG17	S 1 PD17NOV17	3086.00	3265.50	USD	-4,487.50	
17AUG17	S 1 PD17NOV17	3050.50	3265.50	USD	-5,375.00	
18AUG17	B 1 PD17NOV17	3073.00	3265.50	USD	4,812.50	
18AUG17	B 1 PD17NOV17	3082.00	3265.50	USD	4,587.50	
19SEP17	B 1 PD17NOV17	3103.93	3265.50	USD	4,039.25	
	NET 0 AVG BGHT 3090.49 SOLD 3086.90				**-448.25**	**0.00**
21AUG17	S 1 PD21NOV17	3171.00	3264.83	USD	-2,345.75	
21AUG17	B 1 PD21NOV17	3129.00	3264.83	USD	3,395.75	
	NET 0 AVG BGHT 3129.00 SOLD 3171.00				**1,050.00**	**0.00**
24AUG17	B 1 PD24NOV17	3108.50	3264.71	USD	3,905.25	
24AUG17	S 1 PD24NOV17	3104.50	3264.71	USD	-4,005.25	
	NET 0 AVG BGHT 3108.50 SOLD 3104.50				**-100.00**	**0.00**
30AUG17	S 1 PD30NOV17	3145.00	3265.36	USD	-3,009.00	
30AUG17	B 1 PD30NOV17	3105.00	3265.36	USD	4,009.00	
	NET 0 AVG BGHT 3105.00 SOLD 3145.00				**1,000.00**	**0.00**
01SEP17	S 1 PD01DEC17	3172.50	3265.46	USD	-2,324.00	
01SEP17	B 1 PD01DEC17	3194.50	3265.46	USD	1,774.00	
	NET 0 AVG BGHT 3194.50 SOLD 3172.50				**-550.00**	**0.00**
12SEP17	S 2 PD12DEC17	3086.00	3266.64	USD	-9,032.00	
12SEP17	B 2 PD12DEC17	3081.00	3266.64	USD	9,282.00	
	NET 0 AVG BGHT 3081.00 SOLD 3086.00				**250.00**	**0.00**
13SEP17	S 2 PD13DEC17	3048.50	3266.75	USD	-10,912.50	
13SEP17	B 1 PD13DEC17	3045.00	3266.75	USD	5,543.75	
13SEP17	B 1 PD13DEC17	3045.50	3266.75	USD	5,531.25	
	NET 0 AVG BGHT 3045.25 SOLD 3048.50				**162.50**	**0.00**
19SEP17	B 1 PD19DEC17	3109.50	3267.39	USD	3,947.25	
19SEP17	S 1 PD19DEC17	3110.00	3267.39	USD	-3,934.75	
	NET 0 AVG BGHT 3109.50 SOLD 3110.00				**12.50**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP083ZU

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
28SEP17	S 1 PD28DEC17	3149.00	3264.00	USD	-2,875.00	
28SEP17	B 1 PD28DEC17	3152.50	3264.00	USD	2,787.50	
	NET 0 AVG BGHT 3152.50 SOLD 3149.00				**-87.50**	**0.00**

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	-225.00
LME Copper Grade A 25	FUTURES	375.00
LME Lead 25 tonnes. This is an	FUTURES	2,779.00
LME Nickel 6 tonnes. This is	FUTURES	62,008.08
LME Tin 5 tonnes. This is an	FUTURES	4,488.00
LME Zinc 25 tonnes. This is	FUTURES	-1,779.00

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards			Amount	Profit / Loss	**Total Amount**	**Total P&L**
ALUL	- Futures	USD	-225.00	-225.00		
COPL	- Futures	USD	375.00	375.00		
LEAD	- Futures	USD	2,779.00	2,779.00		
NKL	- Futures	USD	-1,717.20	-1,717.20		
TIN	- Futures	USD	4,481.75	4,481.75		
ZINC	- Futures	USD	-1,779.00	-1,779.00	**3,914.55**	**3,914.55**

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		8,147.10	8,147.10
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**8,147.10**	**8,147.10**
Initial Margins		-3,190.45	-3,190.45
Excess/(Shortage)		**4,956.65**	**4,956.65**
FOR INFORMATION ONLY			
Forward Unrealised P&L		3,914.55	3,914.55
Net Liquidating Value		**12,061.65**	**12,061.65**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED
SAP0083
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPG0083**

3rd Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

GROUP FINANCIAL SUMMARY

		USD (1.00000)	NET USD (1.00000)
CASH BALANCE		320,251.21	320,251.21
Variation Margins	- Futures	-49,062.50	-49,062.50
	- Options	0.00	0.00
TOTAL EQUITY		**271,188.71**	**271,188.71**
Initial Margins		-724,545.16	-724,545.16
Excess/(Shortage)		**-453,356.45**	**-453,356.45**
FOR INFORMATION ONLY			
Forward Unrealised P&L		-343,119.16	-343,119.16
Net Liquidating Value		**-71,930.45**	**-71,930.45**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-789,771.99	-789,771.99
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**-789,771.99**	**-789,771.99**
Initial Margins		0.00	0.00
Excess/(Shortage)		**-789,771.99**	**-789,771.99**
FOR INFORMATION ONLY			
Net Liquidating Value		**-789,771.99**	**-789,771.99**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.							
04JUL17	B	4	PD04OCT17	1916.50	2113.92	USD	19,742.00	
04JUL17	B	1	PD04OCT17	1917.50	2113.92	USD	4,910.50	
04JUL17	B	1	PD04OCT17	1918.50	2113.92	USD	4,885.50	
04JUL17	B	3	PD04OCT17	1918.00	2113.92	USD	14,694.00	
04JUL17	B	2	PD04OCT17	1917.00	2113.92	USD	9,846.00	
04JUL17	B	7	PD04OCT17	1916.00	2113.92	USD	34,636.00	
04JUL17	B	6	PD04OCT17	1915.50	2113.92	USD	29,763.00	
04JUL17	S	3	PD04OCT17	1923.00	2113.92	USD	-14,319.00	
04JUL17	S	4	PD04OCT17	1922.50	2113.92	USD	-19,142.00	
04JUL17	S	1	PD04OCT17	1926.00	2113.92	USD	-4,698.00	
04JUL17	S	3	PD04OCT17	1925.50	2113.92	USD	-14,131.50	
04JUL17	B	1	PD04OCT17	1927.00	2113.92	USD	4,673.00	
04JUL17	B	1	PD04OCT17	1926.50	2113.92	USD	4,685.50	
04JUL17	B	2	PD04OCT17	1926.00	2113.92	USD	9,396.00	
04JUL17	B	3	PD04OCT17	1929.50	2113.92	USD	13,831.50	
10JUL17	S	20	PD04OCT17	1896.30	2113.92	USD	-108,810.00	
	NET	**0**	**AVG BGHT 1919.00**	**SOLD 1906.05**			**-10,037.50**	**0.00**
05JUL17	B	1	PD05OCT17	1927.50	2114.25	USD	4,668.75	
05JUL17	B	1	PD05OCT17	1925.00	2114.25	USD	4,731.25	
05JUL17	B	1	PD05OCT17	1925.50	2114.25	USD	4,718.75	
05JUL17	B	1	PD05OCT17	1926.00	2114.25	USD	4,706.25	
05JUL17	B	7	PD05OCT17	1926.50	2114.25	USD	32,856.25	
05JUL17	B	4	PD05OCT17	1927.00	2114.25	USD	18,725.00	
05JUL17	B	4	PD05OCT17	1928.00	2114.25	USD	18,625.00	
05JUL17	B	3	PD05OCT17	1929.00	2114.25	USD	13,893.75	
05JUL17	B	1	PD05OCT17	1929.50	2114.25	USD	4,618.75	
05JUL17	S	2	PD05OCT17	1929.00	2114.25	USD	-9,262.50	
05JUL17	B	4	PD05OCT17	1922.00	2114.25	USD	19,225.00	
05JUL17	B	3	PD05OCT17	1922.50	2114.25	USD	14,381.25	
05JUL17	B	2	PD05OCT17	1923.50	2114.25	USD	9,537.50	
05JUL17	B	1	PD05OCT17	1923.50	2114.25	USD	4,768.75	
05JUL17	S	1	PD05OCT17	1931.50	2114.25	USD	-4,568.75	
05JUL17	S	2	PD05OCT17	1932.00	2114.25	USD	-9,112.50	
05JUL17	S	5	PD05OCT17	1932.50	2114.25	USD	-22,718.75	
05JUL17	S	3	PD05OCT17	1930.50	2114.25	USD	-13,781.25	
10JUL17	S	20	PD05OCT17	1896.38	2114.25	USD	-108,935.00	
	NET	**0**	**AVG BGHT 1925.82**	**SOLD 1910.15**			**-12,922.50**	**0.00**
06JUL17	B	1	PD06OCT17	1929.00	2114.58	USD	4,639.50	
06JUL17	B	3	PD06OCT17	1928.00	2114.58	USD	13,993.50	
06JUL17	S	4	PD06OCT17	1925.50	2114.58	USD	-18,908.00	
06JUL17	S	2	PD06OCT17	1926.50	2114.58	USD	-9,404.00	
06JUL17	S	6	PD06OCT17	1926.00	2114.58	USD	-28,287.00	
06JUL17	S	4	PD06OCT17	1924.00	2114.58	USD	-19,058.00	
06JUL17	S	2	PD06OCT17	1928.50	2114.58	USD	-9,304.00	
06JUL17	S	1	PD06OCT17	1930.00	2114.58	USD	-4,614.50	
06JUL17	S	1	PD06OCT17	1929.50	2114.58	USD	-4,627.00	
06JUL17	S	9	PD06OCT17	1929.00	2114.58	USD	-41,755.50	
06JUL17	S	5	PD06OCT17	1937.50	2114.58	USD	-22,135.00	
06JUL17	S	3	PD06OCT17	1938.50	2114.58	USD	-13,206.00	
06JUL17	S	9	PD06OCT17	1938.00	2114.58	USD	-39,730.50	
06JUL17	S	9	PD06OCT17	1939.00	2114.58	USD	-39,505.50	
06JUL17	S	4	PD06OCT17	1937.00	2114.58	USD	-17,758.00	
06JUL17	S	1	PD06OCT17	1936.50	2114.58	USD	-4,452.00	
06JUL17	S	2	PD06OCT17	1935.50	2114.58	USD	-8,954.00	
06JUL17	S	1	PD06OCT17	1939.50	2114.58	USD	-4,377.00	
06JUL17	S	3	PD06OCT17	1940.50	2114.58	USD	-13,056.00	
06JUL17	B	1	PD06OCT17	1941.00	2114.58	USD	4,339.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.							
06JUL17	S	5	PD06OCT17	1941.00	2114.58	USD	-21,697.50	
06JUL17	B	1	PD06OCT17	1942.00	2114.58	USD	4,314.50	
06JUL17	S	1	PD06OCT17	1944.50	2114.58	USD	-4,252.00	
06JUL17	B	1	PD06OCT17	1945.00	2114.58	USD	4,239.50	
07JUL17	S	4	PD06OCT17	1939.00	2114.58	USD	-17,558.00	
07JUL17	B	4	PD06OCT17	1942.50	2114.58	USD	17,208.00	
07JUL17	B	1	PD06OCT17	1939.50	2114.58	USD	4,377.00	
07JUL17	S	2	PD06OCT17	1939.50	2114.58	USD	-8,754.00	
07JUL17	S	5	PD06OCT17	1942.50	2114.58	USD	-21,510.00	
07JUL17	S	2	PD06OCT17	1942.50	2114.58	USD	-8,604.00	
07JUL17	B	2	PD06OCT17	1942.50	2114.58	USD	8,604.00	
07JUL17	B	2	PD06OCT17	1934.00	2114.58	USD	9,029.00	
07JUL17	B	10	PD06OCT17	1935.00	2114.58	USD	44,895.00	
07JUL17	B	3	PD06OCT17	1935.50	2114.58	USD	13,431.00	
07JUL17	B	5	PD06OCT17	1936.50	2114.58	USD	22,260.00	
07JUL17	B	4	PD06OCT17	1934.50	2114.58	USD	18,008.00	
07JUL17	B	8	PD06OCT17	1933.50	2114.58	USD	36,216.00	
07JUL17	B	4	PD06OCT17	1928.00	2114.58	USD	18,658.00	
07JUL17	B	1	PD06OCT17	1924.50	2114.58	USD	4,752.00	
07JUL17	B	3	PD06OCT17	1925.00	2114.58	USD	14,218.50	
07JUL17	S	4	PD06OCT17	1931.50	2114.58	USD	-18,308.00	
07JUL17	S	2	PD06OCT17	1933.50	2114.58	USD	-9,054.00	
18JUL17	B	37	PD06OCT17	1928.47	2114.58	USD	172,151.75	
	NET	**0**	**AVG BGHT 1932.02**	**SOLD 1934.86**			**6,465.25**	**0.00**
10JUL17	B	1	PD10OCT17	1933.00	2115.92	USD	4,573.00	
10JUL17	S	1	PD10OCT17	1929.50	2115.92	USD	-4,660.50	
10JUL17	S	1	PD10OCT17	1929.00	2115.92	USD	-4,673.00	
10JUL17	B	1	PD10OCT17	1933.50	2115.92	USD	4,560.50	
10JUL17	B	2	PD10OCT17	1925.50	2115.92	USD	9,521.00	
10JUL17	B	1	PD10OCT17	1932.50	2115.92	USD	4,585.50	
10JUL17	B	5	PD10OCT17	1931.00	2115.92	USD	23,115.00	
10JUL17	B	4	PD10OCT17	1930.50	2115.92	USD	18,542.00	
10JUL17	B	5	PD10OCT17	1922.00	2115.92	USD	24,240.00	
10JUL17	B	1	PD10OCT17	1921.00	2115.92	USD	4,873.00	
10JUL17	B	2	PD10OCT17	1921.50	2115.92	USD	9,721.00	
10JUL17	B	2	PD10OCT17	1921.50	2115.92	USD	9,721.00	
10JUL17	B	3	PD10OCT17	1917.50	2115.92	USD	14,881.50	
10JUL17	B	1	PD10OCT17	1918.50	2115.92	USD	4,935.50	
10JUL17	B	5	PD10OCT17	1916.00	2115.92	USD	24,990.00	
10JUL17	B	1	PD10OCT17	1915.00	2115.92	USD	5,023.00	
10JUL17	B	1	PD10OCT17	1912.00	2115.92	USD	5,098.00	
10JUL17	B	1	PD10OCT17	1914.00	2115.92	USD	5,048.00	
10JUL17	B	3	PD10OCT17	1913.50	2115.92	USD	15,181.50	
10JUL17	B	1	PD10OCT17	1911.50	2115.92	USD	5,110.50	
10JUL17	B	1	PD10OCT17	1910.00	2115.92	USD	5,148.00	
10JUL17	B	1	PD10OCT17	1908.50	2115.92	USD	5,185.50	
10JUL17	B	4	PD10OCT17	1907.00	2115.92	USD	20,892.00	
10JUL17	B	3	PD10OCT17	1906.50	2115.92	USD	15,706.50	
10JUL17	B	1	PD10OCT17	1903.50	2115.92	USD	5,310.50	
10JUL17	B	4	PD10OCT17	1904.00	2115.92	USD	21,192.00	
10JUL17	B	4	PD10OCT17	1902.00	2115.92	USD	21,392.00	
10JUL17	B	1	PD10OCT17	1899.00	2115.92	USD	5,423.00	
10JUL17	B	5	PD10OCT17	1898.50	2115.92	USD	27,177.50	
18JUL17	S	62	PD10OCT17	1929.12	2115.92	USD	-289,540.00	
	NET	**0**	**AVG BGHT 1915.20**	**SOLD 1929.12**			**22,273.50**	**0.00**
11JUL17	B	2	PD11OCT17	1901.00	2116.25	USD	10,762.50	
11JUL17	B	1	PD11OCT17	1899.50	2116.25	USD	5,418.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.							
11JUL17	B	4	PD11OCT17	1902.50	2116.25	USD	21,375.00	
11JUL17	B	1	PD11OCT17	1898.50	2116.25	USD	5,443.75	
11JUL17	S	2	PD11OCT17	1900.00	2116.25	USD	-10,812.50	
11JUL17	B	2	PD11OCT17	1899.00	2116.25	USD	10,862.50	
11JUL17	S	1	PD11OCT17	1898.50	2116.25	USD	-5,443.75	
11JUL17	S	4	PD11OCT17	1898.00	2116.25	USD	-21,825.00	
11JUL17	S	4	PD11OCT17	1900.50	2116.25	USD	-21,575.00	
11JUL17	B	1	PD11OCT17	1904.00	2116.25	USD	5,306.25	
11JUL17	B	1	PD11OCT17	1898.50	2116.25	USD	5,443.75	
11JUL17	B	3	PD11OCT17	1894.50	2116.25	USD	16,631.25	
11JUL17	B	3	PD11OCT17	1894.00	2116.25	USD	16,668.75	
11JUL17	B	3	PD11OCT17	1895.50	2116.25	USD	16,556.25	
11JUL17	B	1	PD11OCT17	1895.00	2116.25	USD	5,531.25	
11JUL17	B	1	PD11OCT17	1897.50	2116.25	USD	5,468.75	
11JUL17	B	1	PD11OCT17	1898.00	2116.25	USD	5,456.25	
18JUL17	S	2	PD11OCT17	1929.33	2116.25	USD	-9,346.00	
18JUL17	S	11	PD11OCT17	1929.53	2116.25	USD	-51,348.00	
	NET	**0**	**AVG BGHT 1898.04**	**SOLD 1915.67**			**10,574.75**	**0.00**
12JUL17	S	2	PD12OCT17	1900.50	2116.25	USD	-10,787.50	
12JUL17	S	3	PD12OCT17	1901.00	2116.25	USD	-16,143.75	
12JUL17	B	1	PD12OCT17	1893.00	2116.25	USD	5,581.25	
12JUL17	B	1	PD12OCT17	1884.50	2116.25	USD	5,793.75	
12JUL17	B	1	PD12OCT17	1893.00	2116.25	USD	5,581.25	
18JUL17	B	2	PD12OCT17	1929.94	2116.25	USD	9,315.50	
	NET	**0**	**AVG BGHT 1906.08**	**SOLD 1900.80**			**-659.50**	**0.00**
13JUL17	B	1	PD13OCT17	1900.00	2116.25	USD	5,406.25	
13JUL17	S	3	PD13OCT17	1929.00	2116.25	USD	-14,043.75	
13JUL17	S	1	PD13OCT17	1928.50	2116.25	USD	-4,693.75	
13JUL17	S	2	PD13OCT17	1928.00	2116.25	USD	-9,412.50	
13JUL17	S	2	PD13OCT17	1927.00	2116.25	USD	-9,462.50	
13JUL17	S	2	PD13OCT17	1923.00	2116.25	USD	-9,662.50	
13JUL17	B	2	PD13OCT17	1932.50	2116.25	USD	9,187.50	
13JUL17	B	1	PD13OCT17	1923.50	2116.25	USD	4,818.75	
13JUL17	B	1	PD13OCT17	1920.00	2116.25	USD	4,906.25	
13JUL17	S	1	PD13OCT17	1922.00	2116.25	USD	-4,856.25	
14JUL17	S	2	PD13OCT17	1925.00	2116.25	USD	-9,562.50	
14JUL17	S	3	PD13OCT17	1925.50	2116.25	USD	-14,306.25	
14JUL17	S	2	PD13OCT17	1929.50	2116.25	USD	-9,337.50	
14JUL17	S	1	PD13OCT17	1928.00	2116.25	USD	-4,706.25	
14JUL17	B	2	PD13OCT17	1923.00	2116.25	USD	9,662.50	
14JUL17	B	1	PD13OCT17	1924.00	2116.25	USD	4,806.25	
14JUL17	S	1	PD13OCT17	1926.50	2116.25	USD	-4,743.75	
14JUL17	B	3	PD13OCT17	1925.00	2116.25	USD	14,343.75	
18JUL17	B	9	PD13OCT17	1930.15	2116.25	USD	41,872.50	
	NET	**0**	**AVG BGHT 1926.24**	**SOLD 1926.68**			**216.25**	**0.00**
17JUL17	B	1	PD17OCT17	1930.00	2116.25	USD	4,656.25	
17JUL17	B	2	PD17OCT17	1927.00	2116.25	USD	9,462.50	
17JUL17	B	1	PD17OCT17	1920.00	2116.25	USD	4,906.25	
17JUL17	B	1	PD17OCT17	1909.50	2116.25	USD	5,168.75	
17JUL17	B	1	PD17OCT17	1901.50	2116.25	USD	5,368.75	
17JUL17	S	1	PD17OCT17	1914.50	2116.25	USD	-5,043.75	
17JUL17	B	1	PD17OCT17	1912.50	2116.25	USD	5,093.75	
17JUL17	S	1	PD17OCT17	1914.50	2116.25	USD	-5,043.75	
24JUL17	S	5	PD17OCT17	1911.30	2116.25	USD	-25,618.75	
	NET	**0**	**AVG BGHT 1918.21**	**SOLD 1912.21**			**-1,050.00**	**0.00**
18JUL17	S	1	PD18OCT17	1921.00	2116.25	USD	-4,881.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
18JUL17	S 1 PD18OCT17	1923.00	2116.25	USD	-4,831.25	
18JUL17	S 1 PD18OCT17	1925.50	2116.25	USD	-4,768.75	
18JUL17	S 1 PD18OCT17	1926.50	2116.25	USD	-4,743.75	
18JUL17	S 1 PD18OCT17	1931.00	2116.25	USD	-4,631.25	
24JUL17	B 5 PD18OCT17	1911.70	2116.25	USD	25,568.75	
	NET 0 AVG BGHT 1911.70 SOLD 1925.40				**1,712.50**	**0.00**
19JUL17	S 1 PD19OCT17	1928.00	2116.64	USD	-4,716.00	
19JUL17	S 1 PD19OCT17	1929.00	2116.64	USD	-4,691.00	
19JUL17	S 2 PD19OCT17	1925.00	2116.64	USD	-9,582.00	
19JUL17	S 1 PD19OCT17	1932.50	2116.64	USD	-4,603.50	
19JUL17	B 1 PD19OCT17	1936.00	2116.64	USD	4,516.00	
19JUL17	B 3 PD19OCT17	1937.00	2116.64	USD	13,473.00	
19JUL17	B 1 PD19OCT17	1933.50	2116.64	USD	4,578.50	
	NET 0 AVG BGHT 1936.10 SOLD 1927.90				**-1,025.00**	**0.00**
20JUL17	B 2 PD20OCT17	1917.00	2117.03	USD	10,001.50	
20JUL17	B 1 PD20OCT17	1916.00	2117.03	USD	5,025.75	
20JUL17	S 2 PD20OCT17	1918.00	2117.03	USD	-9,951.50	
20JUL17	S 1 PD20OCT17	1919.50	2117.03	USD	-4,938.25	
21JUL17	B 1 PD20OCT17	1916.00	2117.03	USD	5,025.75	
21JUL17	B 1 PD20OCT17	1913.50	2117.03	USD	5,088.25	
21JUL17	S 1 PD20OCT17	1918.50	2117.03	USD	-4,963.25	
21JUL17	S 1 PD20OCT17	1919.00	2117.03	USD	-4,950.75	
	NET 0 AVG BGHT 1915.90 SOLD 1918.60				**337.50**	**0.00**
24JUL17	B 2 PD24OCT17	1918.00	2118.58	USD	10,029.00	
24JUL17	B 2 PD24OCT17	1913.00	2118.58	USD	10,279.00	
24JUL17	B 1 PD24OCT17	1913.00	2118.58	USD	5,139.50	
24JUL17	B 2 PD24OCT17	1911.00	2118.58	USD	10,379.00	
07AUG17	S 7 PD24OCT17	1960.68	2118.58	USD	-27,632.50	
	NET 0 AVG BGHT 1913.86 SOLD 1960.68				**8,194.00**	**0.00**
25JUL17	B 1 PD25OCT17	1919.00	2118.97	USD	4,999.25	
25JUL17	B 2 PD25OCT17	1920.50	2118.97	USD	9,923.50	
25JUL17	S 1 PD25OCT17	1923.50	2118.97	USD	-4,886.75	
25JUL17	S 1 PD25OCT17	1924.00	2118.97	USD	-4,874.25	
25JUL17	S 1 PD25OCT17	1921.00	2118.97	USD	-4,949.25	
25JUL17	S 1 PD25OCT17	1935.50	2118.97	USD	-4,586.75	
25JUL17	S 2 PD25OCT17	1936.50	2118.97	USD	-9,123.50	
25JUL17	S 1 PD25OCT17	1937.00	2118.97	USD	-4,549.25	
25JUL17	S 2 PD25OCT17	1940.00	2118.97	USD	-8,948.50	
25JUL17	S 1 PD25OCT17	1945.00	2118.97	USD	-4,349.25	
07AUG17	B 7 PD25OCT17	1961.12	2118.97	USD	27,623.75	
	NET 0 AVG BGHT 1948.78 SOLD 1933.90				**-3,721.00**	**0.00**
26JUL17	S 1 PD26OCT17	1938.00	2119.36	USD	-4,534.00	
26JUL17	S 1 PD26OCT17	1937.50	2119.36	USD	-4,546.50	
26JUL17	S 1 PD26OCT17	1936.50	2119.36	USD	-4,571.50	
26JUL17	S 2 PD26OCT17	1945.50	2119.36	USD	-8,693.00	
26JUL17	S 3 PD26OCT17	1947.50	2119.36	USD	-12,889.50	
26JUL17	S 4 PD26OCT17	1960.50	2119.36	USD	-15,886.00	
26JUL17	S 2 PD26OCT17	1961.00	2119.36	USD	-7,918.00	
26JUL17	B 1 PD26OCT17	1937.50	2119.36	USD	4,546.50	
26JUL17	B 2 PD26OCT17	1938.50	2119.36	USD	9,043.00	
26JUL17	S 3 PD26OCT17	1946.50	2119.36	USD	-12,964.50	
26JUL17	S 1 PD26OCT17	1947.00	2119.36	USD	-4,309.00	
26JUL17	S 5 PD26OCT17	1949.00	2119.36	USD	-21,295.00	
26JUL17	S 4 PD26OCT17	1955.00	2119.36	USD	-16,436.00	
26JUL17	S 1 PD26OCT17	1956.50	2119.36	USD	-4,071.50	
26JUL17	S 2 PD26OCT17	1957.00	2119.36	USD	-8,118.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
26JUL17	S 4 PD26OCT17	1957.50	2119.36	USD	-16,186.00	
26JUL17	S 4 PD26OCT17	1962.50	2119.36	USD	-15,686.00	
26JUL17	S 1 PD26OCT17	1953.00	2119.36	USD	-4,159.00	
26JUL17	B 4 PD26OCT17	1951.50	2119.36	USD	16,786.00	
26JUL17	B 1 PD26OCT17	1937.00	2119.36	USD	4,559.00	
26JUL17	B 2 PD26OCT17	1945.00	2119.36	USD	8,718.00	
26JUL17	B 1 PD26OCT17	1948.50	2119.36	USD	4,271.50	
26JUL17	B 1 PD26OCT17	1947.50	2119.36	USD	4,296.50	
26JUL17	B 4 PD26OCT17	1946.00	2119.36	USD	17,336.00	
26JUL17	S 1 PD26OCT17	1945.00	2119.36	USD	-4,359.00	
26JUL17	B 3 PD26OCT17	1945.50	2119.36	USD	13,039.50	
26JUL17	B 3 PD26OCT17	1943.50	2119.36	USD	13,189.50	
26JUL17	B 5 PD26OCT17	1943.00	2119.36	USD	22,045.00	
26JUL17	S 1 PD26OCT17	1942.50	2119.36	USD	-4,421.50	
26JUL17	B 3 PD26OCT17	1940.50	2119.36	USD	13,414.50	
26JUL17	B 1 PD26OCT17	1940.00	2119.36	USD	4,484.00	
07AUG17	B 10 PD26OCT17	1961.16	2119.36	USD	39,550.00	
	NET 0 AVG BGHT 1948.36 SOLD 1952.49				**4,235.00**	**0.00**
27JUL17	B 1 PD27OCT17	1941.50	2119.75	USD	4,456.25	
27JUL17	S 4 PD27OCT17	1940.00	2119.75	USD	-17,975.00	
27JUL17	S 4 PD27OCT17	1942.00	2119.75	USD	-17,775.00	
27JUL17	B 1 PD27OCT17	1933.50	2119.75	USD	4,656.25	
27JUL17	B 4 PD27OCT17	1934.00	2119.75	USD	18,575.00	
27JUL17	B 1 PD27OCT17	1932.50	2119.75	USD	4,681.25	
27JUL17	B 6 PD27OCT17	1933.00	2119.75	USD	28,012.50	
27JUL17	S 2 PD27OCT17	1944.00	2119.75	USD	-8,787.50	
27JUL17	S 6 PD27OCT17	1944.50	2119.75	USD	-26,287.50	
27JUL17	S 1 PD27OCT17	1947.00	2119.75	USD	-4,318.75	
27JUL17	S 2 PD27OCT17	1946.00	2119.75	USD	-8,687.50	
27JUL17	S 4 PD27OCT17	1945.00	2119.75	USD	-17,475.00	
27JUL17	S 7 PD27OCT17	1949.00	2119.75	USD	-29,881.25	
27JUL17	S 2 PD27OCT17	1950.00	2119.75	USD	-8,487.50	
27JUL17	S 1 PD27OCT17	1950.50	2119.75	USD	-4,231.25	
27JUL17	S 1 PD27OCT17	1953.00	2119.75	USD	-4,168.75	
27JUL17	S 1 PD27OCT17	1955.50	2119.75	USD	-4,106.25	
27JUL17	B 2 PD27OCT17	1954.50	2119.75	USD	8,262.50	
27JUL17	B 1 PD27OCT17	1950.00	2119.75	USD	4,243.75	
27JUL17	B 1 PD27OCT17	1948.50	2119.75	USD	4,281.25	
27JUL17	B 2 PD27OCT17	1944.00	2119.75	USD	8,787.50	
27JUL17	B 1 PD27OCT17	1945.50	2119.75	USD	4,356.25	
27JUL17	B 6 PD27OCT17	1944.50	2119.75	USD	26,287.50	
27JUL17	B 1 PD27OCT17	1938.00	2119.75	USD	4,543.75	
27JUL17	B 1 PD27OCT17	1937.50	2119.75	USD	4,556.25	
27JUL17	B 1 PD27OCT17	1937.00	2119.75	USD	4,568.75	
27JUL17	B 1 PD27OCT17	1936.00	2119.75	USD	4,593.75	
28JUL17	S 1 PD27OCT17	1940.00	2119.75	USD	-4,493.75	
28JUL17	B 1 PD27OCT17	1941.50	2119.75	USD	4,456.25	
28JUL17	B 4 PD27OCT17	1940.50	2119.75	USD	17,925.00	
28JUL17	B 1 PD27OCT17	1936.00	2119.75	USD	4,593.75	
28JUL17	B 4 PD27OCT17	1935.50	2119.75	USD	18,425.00	
28JUL17	B 1 PD27OCT17	1937.50	2119.75	USD	4,556.25	
28JUL17	S 1 PD27OCT17	1935.00	2119.75	USD	-4,618.75	
28JUL17	S 4 PD27OCT17	1930.00	2119.75	USD	-18,975.00	
28JUL17	S 2 PD27OCT17	1927.00	2119.75	USD	-9,637.50	
28JUL17	S 1 PD27OCT17	1926.00	2119.75	USD	-4,843.75	
28JUL17	S 7 PD27OCT17	1929.00	2119.75	USD	-33,381.25	
28JUL17	S 2 PD27OCT17	1931.00	2119.75	USD	-9,437.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.							
28JUL17	S	1	PD27OCT17	1932.00	2119.75	USD	-4,693.75	
28JUL17	S	1	PD27OCT17	1933.00	2119.75	USD	-4,668.75	
28JUL17	S	2	PD27OCT17	1930.00	2119.75	USD	-9,487.50	
28JUL17	B	1	PD27OCT17	1914.50	2119.75	USD	5,131.25	
28JUL17	B	6	PD27OCT17	1915.50	2119.75	USD	30,637.50	
28JUL17	B	6	PD27OCT17	1916.00	2119.75	USD	30,562.50	
28JUL17	S	1	PD27OCT17	1921.00	2119.75	USD	-4,968.75	
28JUL17	S	1	PD27OCT17	1923.50	2119.75	USD	-4,906.25	
28JUL17	S	3	PD27OCT17	1924.00	2119.75	USD	-14,681.25	
28JUL17	S	4	PD27OCT17	1923.00	2119.75	USD	-19,675.00	
28JUL17	B	17	PD27OCT17	1915.00	2119.75	USD	87,018.75	
28JUL17	B	1	PD27OCT17	1915.50	2119.75	USD	5,106.25	
28JUL17	B	6	PD27OCT17	1913.50	2119.75	USD	30,937.50	
28JUL17	S	2	PD27OCT17	1910.00	2119.75	USD	-10,487.50	
28JUL17	B	1	PD27OCT17	1910.00	2119.75	USD	5,243.75	
07AUG17	S	10	PD27OCT17	1961.19	2119.75	USD	-39,640.00	
07AUG17	S	1	PD27OCT17	1961.39	2119.75	USD	-3,959.00	
	NET	**0**	**AVG BGHT 1927.62**	**SOLD 1940.14**			**24,719.75**	**0.00**
31JUL17	B	1	PD31OCT17	1914.00	2117.75	USD	5,093.75	
31JUL17	B	2	PD31OCT17	1918.00	2117.75	USD	9,987.50	
31JUL17	B	2	PD31OCT17	1916.50	2117.75	USD	10,062.50	
31JUL17	B	1	PD31OCT17	1920.00	2117.75	USD	4,943.75	
31JUL17	B	1	PD31OCT17	1917.00	2117.75	USD	5,018.75	
31JUL17	S	1	PD31OCT17	1916.00	2117.75	USD	-5,043.75	
31JUL17	B	2	PD31OCT17	1915.00	2117.75	USD	10,137.50	
31JUL17	B	4	PD31OCT17	1912.00	2117.75	USD	20,575.00	
31JUL17	B	1	PD31OCT17	1919.00	2117.75	USD	4,968.75	
31JUL17	B	2	PD31OCT17	1919.50	2117.75	USD	9,912.50	
31JUL17	B	1	PD31OCT17	1914.00	2117.75	USD	5,093.75	
31JUL17	S	1	PD31OCT17	1920.50	2117.75	USD	-4,931.25	
31JUL17	S	1	PD31OCT17	1921.00	2117.75	USD	-4,918.75	
07AUG17	S	14	PD31OCT17	1962.34	2117.75	USD	-54,393.50	
	NET	**0**	**AVG BGHT 1915.88**	**SOLD 1954.72**			**16,506.50**	**0.00**
01AUG17	B	2	PD01NOV17	1916.50	2117.42	USD	10,046.00	
01AUG17	S	2	PD01NOV17	1916.00	2117.42	USD	-10,071.00	
01AUG17	S	1	PD01NOV17	1917.00	2117.42	USD	-5,010.50	
01AUG17	S	3	PD01NOV17	1916.50	2117.42	USD	-15,069.00	
01AUG17	B	2	PD01NOV17	1908.50	2117.42	USD	10,446.00	
01AUG17	B	2	PD01NOV17	1908.00	2117.42	USD	10,471.00	
01AUG17	B	1	PD01NOV17	1911.00	2117.42	USD	5,160.50	
01AUG17	B	2	PD01NOV17	1910.50	2117.42	USD	10,346.00	
07AUG17	S	3	PD01NOV17	1962.58	2117.42	USD	-11,613.00	
	NET	**0**	**AVG BGHT 1910.89**	**SOLD 1931.80**			**4,706.00**	**0.00**
02AUG17	S	1	PD02NOV17	1911.50	2117.08	USD	-5,139.50	
02AUG17	S	2	PD02NOV17	1912.00	2117.08	USD	-10,254.00	
02AUG17	S	1	PD02NOV17	1912.50	2117.08	USD	-5,114.50	
02AUG17	S	1	PD02NOV17	1913.00	2117.08	USD	-5,102.00	
02AUG17	S	1	PD02NOV17	1913.50	2117.08	USD	-5,089.50	
02AUG17	B	3	PD02NOV17	1914.00	2117.08	USD	15,231.00	
02AUG17	B	4	PD02NOV17	1914.50	2117.08	USD	20,258.00	
02AUG17	B	4	PD02NOV17	1916.50	2117.08	USD	20,058.00	
02AUG17	B	2	PD02NOV17	1917.50	2117.08	USD	9,979.00	
02AUG17	B	1	PD02NOV17	1918.00	2117.08	USD	4,977.00	
02AUG17	B	3	PD02NOV17	1918.50	2117.08	USD	14,893.50	
02AUG17	B	1	PD02NOV17	1921.00	2117.08	USD	4,902.00	
02AUG17	B	1	PD02NOV17	1924.00	2117.08	USD	4,827.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
02AUG17	B 2 PD02NOV17	1925.50	2117.08	USD	9,579.00	
02AUG17	B 6 PD02NOV17	1920.00	2117.08	USD	29,562.00	
02AUG17	B 3 PD02NOV17	1920.50	2117.08	USD	14,743.50	
02AUG17	B 4 PD02NOV17	1923.00	2117.08	USD	19,408.00	
02AUG17	B 1 PD02NOV17	1917.50	2117.08	USD	4,989.50	
02AUG17	B 1 PD02NOV17	1922.50	2117.08	USD	4,864.50	
02AUG17	B 1 PD02NOV17	1928.50	2117.08	USD	4,714.50	
02AUG17	S 1 PD02NOV17	1927.50	2117.08	USD	-4,739.50	
02AUG17	B 1 PD02NOV17	1927.50	2117.08	USD	4,739.50	
02AUG17	B 2 PD02NOV17	1928.00	2117.08	USD	9,454.00	
02AUG17	S 4 PD02NOV17	1929.00	2117.08	USD	-18,808.00	
02AUG17	S 2 PD02NOV17	1927.00	2117.08	USD	-9,504.00	
02AUG17	S 2 PD02NOV17	1926.50	2117.08	USD	-9,529.00	
07AUG17	S 14 PD02NOV17	1962.82	2117.08	USD	-53,991.00	
18AUG17	S 1 PD02NOV17	2059.26	2117.08	USD	-1,445.50	
22AUG17	S 2 PD02NOV17	2071.13	2117.08	USD	-2,297.50	
23AUG17	S 8 PD02NOV17	2093.12	2117.08	USD	-4,792.00	
	NET 0 AVG BGHT 1919.90 SOLD 1981.27				**61,374.00**	**0.00**
03AUG17	S 1 PD03NOV17	1931.50	2116.75	USD	-4,631.25	
03AUG17	S 2 PD03NOV17	1930.00	2116.75	USD	-9,337.50	
03AUG17	S 1 PD03NOV17	1927.50	2116.75	USD	-4,731.25	
03AUG17	S 4 PD03NOV17	1927.00	2116.75	USD	-18,975.00	
03AUG17	S 2 PD03NOV17	1924.00	2116.75	USD	-9,637.50	
03AUG17	S 1 PD03NOV17	1923.50	2116.75	USD	-4,831.25	
03AUG17	S 3 PD03NOV17	1923.00	2116.75	USD	-14,531.25	
03AUG17	B 1 PD03NOV17	1923.00	2116.75	USD	4,843.75	
03AUG17	S 6 PD03NOV17	1922.50	2116.75	USD	-29,137.50	
03AUG17	S 11 PD03NOV17	1922.00	2116.75	USD	-53,556.25	
03AUG17	S 1 PD03NOV17	1930.50	2116.75	USD	-4,656.25	
03AUG17	B 1 PD03NOV17	1918.00	2116.75	USD	4,968.75	
03AUG17	B 1 PD03NOV17	1910.50	2116.75	USD	5,156.25	
03AUG17	B 1 PD03NOV17	1911.00	2116.75	USD	5,143.75	
03AUG17	B 2 PD03NOV17	1914.50	2116.75	USD	10,112.50	
03AUG17	S 2 PD03NOV17	1920.50	2116.75	USD	-9,812.50	
03AUG17	B 1 PD03NOV17	1912.50	2116.75	USD	5,106.25	
03AUG17	B 4 PD03NOV17	1912.00	2116.75	USD	20,475.00	
03AUG17	B 2 PD03NOV17	1911.50	2116.75	USD	10,262.50	
04AUG17	B 5 PD03NOV17	1915.50	2116.75	USD	25,156.25	
04AUG17	B 7 PD03NOV17	1916.00	2116.75	USD	35,131.25	
04AUG17	B 2 PD03NOV17	1917.50	2116.75	USD	9,962.50	
04AUG17	B 5 PD03NOV17	1914.00	2116.75	USD	25,343.75	
04AUG17	B 2 PD03NOV17	1914.50	2116.75	USD	10,112.50	
04AUG17	B 7 PD03NOV17	1917.00	2116.75	USD	34,956.25	
04AUG17	B 2 PD03NOV17	1918.50	2116.75	USD	9,912.50	
04AUG17	S 2 PD03NOV17	1907.50	2116.75	USD	-10,462.50	
04AUG17	S 7 PD03NOV17	1910.50	2116.75	USD	-36,093.75	
04AUG17	B 1 PD03NOV17	1905.50	2116.75	USD	5,281.25	
04AUG17	S 1 PD03NOV17	1909.00	2116.75	USD	-5,193.75	
04AUG17	S 3 PD03NOV17	1908.50	2116.75	USD	-15,618.75	
04AUG17	S 6 PD03NOV17	1907.50	2116.75	USD	-31,387.50	
04AUG17	S 8 PD03NOV17	1908.50	2116.75	USD	-41,650.00	
04AUG17	B 4 PD03NOV17	1909.50	2116.75	USD	20,725.00	
04AUG17	S 1 PD03NOV17	1905.50	2116.75	USD	-5,281.25	
04AUG17	S 1 PD03NOV17	1905.00	2116.75	USD	-5,293.75	
07AUG17	B 32 PD03NOV17	1963.25	2116.75	USD	122,800.00	
18AUG17	S 5 PD03NOV17	2059.46	2116.75	USD	-7,161.25	
23AUG17	S 12 PD03NOV17	2093.36	2116.75	USD	-7,017.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
	NET 0 AVG BGHT 1934.03 SOLD 1952.25				**36,453.00**	**0.00**
07AUG17	S 3 PD07NOV17	1943.00	2116.08	USD	-12,981.00	
07AUG17	S 2 PD07NOV17	1942.50	2116.08	USD	-8,679.00	
07AUG17	S 1 PD07NOV17	1943.00	2116.08	USD	-4,327.00	
18AUG17	B 6 PD07NOV17	2060.45	2116.08	USD	8,344.50	
	NET 0 AVG BGHT 2060.45 SOLD 1942.83				**-17,642.50**	**0.00**
08AUG17	B 1 PD08NOV17	1981.00	2115.75	USD	3,368.75	
08AUG17	B 1 PD08NOV17	1980.50	2115.75	USD	3,381.25	
08AUG17	B 1 PD08NOV17	1978.50	2115.75	USD	3,431.25	
08AUG17	S 1 PD08NOV17	1994.50	2115.75	USD	-3,031.25	
08AUG17	B 1 PD08NOV17	1997.50	2115.75	USD	2,956.25	
08AUG17	B 1 PD08NOV17	1999.50	2115.75	USD	2,906.25	
08AUG17	B 1 PD08NOV17	2003.50	2115.75	USD	2,806.25	
08AUG17	B 1 PD08NOV17	2005.00	2115.75	USD	2,768.75	
08AUG17	B 1 PD08NOV17	2020.00	2115.75	USD	2,393.75	
14AUG17	S 1 PD08NOV17	2021.90	2115.75	USD	-2,346.25	
18AUG17	S 6 PD08NOV17	2060.50	2115.75	USD	-8,287.50	
	NET 0 AVG BGHT 1995.69 SOLD 2047.43				**10,347.50**	**0.00**
09AUG17	S 1 PD09NOV17	2036.50	2116.11	USD	-1,990.25	
09AUG17	S 1 PD09NOV17	2037.00	2116.11	USD	-1,977.75	
09AUG17	S 1 PD09NOV17	2029.00	2116.11	USD	-2,177.75	
14AUG17	B 1 PD09NOV17	2022.29	2116.11	USD	2,345.50	
18AUG17	B 2 PD09NOV17	2060.95	2116.11	USD	2,758.00	
	NET 0 AVG BGHT 2048.06 SOLD 2034.17				**-1,042.25**	**0.00**
10AUG17	B 1 PD10NOV17	2038.50	2116.46	USD	1,949.00	
10AUG17	S 1 PD10NOV17	2033.00	2116.46	USD	-2,086.50	
10AUG17	B 1 PD10NOV17	2039.50	2116.46	USD	1,924.00	
11AUG17	S 1 PD10NOV17	2022.00	2116.46	USD	-2,361.50	
11AUG17	S 2 PD10NOV17	2043.50	2116.46	USD	-3,648.00	
22AUG17	B 2 PD10NOV17	2073.20	2116.46	USD	2,163.00	
	NET 0 AVG BGHT 2056.10 SOLD 2035.50				**-2,060.00**	**0.00**
14AUG17	S 1 PD14NOV17	2031.50	2117.89	USD	-2,159.75	
14AUG17	S 1 PD14NOV17	2033.00	2117.89	USD	-2,122.25	
18AUG17	B 2 PD14NOV17	2062.20	2117.89	USD	2,784.50	
	NET 0 AVG BGHT 2062.20 SOLD 2032.25				**-1,497.50**	**0.00**
15AUG17	S 1 PD15NOV17	2049.00	2118.25	USD	-1,731.25	
15AUG17	S 1 PD15NOV17	2047.00	2118.25	USD	-1,781.25	
18AUG17	B 2 PD15NOV17	2062.45	2118.25	USD	2,790.00	
	NET 0 AVG BGHT 2062.45 SOLD 2048.00				**-722.50**	**0.00**
16AUG17	B 1 PD16NOV17	2093.50	2118.52	USD	625.50	
18AUG17	S 1 PD16NOV17	2061.75	2118.52	USD	-1,419.25	
	NET 0 AVG BGHT 2093.50 SOLD 2061.75				**-793.75**	**0.00**
17AUG17	S 1 PD17NOV17	2100.50	2118.79	USD	-457.25	
17AUG17	B 1 PD17NOV17	2068.50	2118.79	USD	1,257.25	
17AUG17	S 1 PD17NOV17	2071.00	2118.79	USD	-1,194.75	
18AUG17	S 12 PD17NOV17	2083.50	2118.79	USD	-10,587.00	
18AUG17	S 7 PD17NOV17	2084.50	2118.79	USD	-6,000.75	
18AUG17	S 1 PD17NOV17	2084.50	2118.79	USD	-857.25	
18AUG17	B 1 PD17NOV17	2062.20	2118.79	USD	1,414.75	
23AUG17	B 20 PD17NOV17	2096.11	2118.79	USD	11,340.00	
	NET 0 AVG BGHT 2093.31 SOLD 2084.07				**-5,085.00**	**0.00**
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
04JUL17	B 1 PD04OCT17	5906.50	6467.48	USD	14,024.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
04JUL17	B 1 PD04OCT17	5905.00	6467.48	USD	14,062.00	
04JUL17	B 1 PD04OCT17	5891.00	6467.48	USD	14,412.00	
04JUL17	B 1 PD04OCT17	5890.50	6467.48	USD	14,424.50	
04JUL17	B 1 PD04OCT17	5892.50	6467.48	USD	14,374.50	
10JUL17	S 4 PD04OCT17	5821.72	6467.48	USD	-64,576.00	
18JUL17	S 1 PD04OCT17	6001.21	6467.48	USD	-11,656.75	
	NET 0 AVG BGHT 5897.10 SOLD 5857.62				**-4,935.25**	**0.00**
05JUL17	B 1 PD05OCT17	5884.00	6468.75	USD	14,618.75	
05JUL17	B 1 PD05OCT17	5887.50	6468.75	USD	14,531.25	
05JUL17	B 1 PD05OCT17	5895.00	6468.75	USD	14,343.75	
05JUL17	B 1 PD05OCT17	5897.00	6468.75	USD	14,293.75	
05JUL17	B 2 PD05OCT17	5897.50	6468.75	USD	28,562.50	
05JUL17	B 1 PD05OCT17	5900.50	6468.75	USD	14,206.25	
05JUL17	B 3 PD05OCT17	5896.50	6468.75	USD	42,918.75	
05JUL17	B 1 PD05OCT17	5890.50	6468.75	USD	14,456.25	
05JUL17	B 2 PD05OCT17	5890.00	6468.75	USD	28,937.50	
05JUL17	B 1 PD05OCT17	5846.00	6468.75	USD	15,568.75	
05JUL17	B 2 PD05OCT17	5845.00	6468.75	USD	31,187.50	
05JUL17	B 1 PD05OCT17	5845.50	6468.75	USD	15,581.25	
05JUL17	B 1 PD05OCT17	5844.00	6468.75	USD	15,618.75	
05JUL17	B 1 PD05OCT17	5843.50	6468.75	USD	15,631.25	
05JUL17	B 1 PD05OCT17	5832.50	6468.75	USD	15,906.25	
05JUL17	B 3 PD05OCT17	5832.00	6468.75	USD	47,756.25	
05JUL17	B 1 PD05OCT17	5831.50	6468.75	USD	15,931.25	
05JUL17	B 1 PD05OCT17	5831.00	6468.75	USD	15,943.75	
05JUL17	B 2 PD05OCT17	5830.50	6468.75	USD	31,912.50	
05JUL17	B 1 PD05OCT17	5829.50	6468.75	USD	15,981.25	
05JUL17	B 1 PD05OCT17	5835.50	6468.75	USD	15,831.25	
05JUL17	B 1 PD05OCT17	5835.00	6468.75	USD	15,843.75	
05JUL17	B 2 PD05OCT17	5833.00	6468.75	USD	31,787.50	
05JUL17	B 1 PD05OCT17	5827.00	6468.75	USD	16,043.75	
05JUL17	B 2 PD05OCT17	5825.00	6468.75	USD	32,187.50	
05JUL17	B 1 PD05OCT17	5826.00	6468.75	USD	16,068.75	
05JUL17	B 1 PD05OCT17	5823.00	6468.75	USD	16,143.75	
18JUL17	S 35 PD05OCT17	6001.63	6468.75	USD	-408,730.00	
24JUL17	S 2 PD05OCT17	6022.50	6468.75	USD	-22,312.50	
	NET 0 AVG BGHT 5854.92 SOLD 6002.76				**136,751.25**	**0.00**
06JUL17	S 1 PD06OCT17	5845.00	6470.02	USD	-15,625.50	
06JUL17	S 1 PD06OCT17	5833.00	6470.02	USD	-15,925.50	
06JUL17	S 3 PD06OCT17	5832.50	6470.02	USD	-47,814.00	
06JUL17	S 1 PD06OCT17	5833.50	6470.02	USD	-15,913.00	
06JUL17	S 1 PD06OCT17	5836.50	6470.02	USD	-15,838.00	
06JUL17	S 1 PD06OCT17	5838.50	6470.02	USD	-15,788.00	
06JUL17	S 3 PD06OCT17	5838.00	6470.02	USD	-47,401.50	
06JUL17	S 5 PD06OCT17	5846.00	6470.02	USD	-78,002.50	
06JUL17	S 2 PD06OCT17	5847.50	6470.02	USD	-31,126.00	
06JUL17	B 4 PD06OCT17	5852.50	6470.02	USD	61,752.00	
06JUL17	B 2 PD06OCT17	5851.00	6470.02	USD	30,951.00	
06JUL17	B 1 PD06OCT17	5843.50	6470.02	USD	15,663.00	
06JUL17	B 1 PD06OCT17	5844.50	6470.02	USD	15,638.00	
06JUL17	B 2 PD06OCT17	5847.00	6470.02	USD	31,151.00	
06JUL17	B 1 PD06OCT17	5845.50	6470.02	USD	15,613.00	
06JUL17	B 1 PD06OCT17	5838.50	6470.02	USD	15,788.00	
06JUL17	B 1 PD06OCT17	5840.00	6470.02	USD	15,750.50	
06JUL17	B 2 PD06OCT17	5838.00	6470.02	USD	31,601.00	
06JUL17	B 1 PD06OCT17	5837.50	6470.02	USD	15,813.00	
06JUL17	B 1 PD06OCT17	5836.50	6470.02	USD	15,838.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT							
06JUL17	B	4	PD06OCT17	5835.50	6470.02	USD	63,452.00	
06JUL17	B	1	PD06OCT17	5833.00	6470.02	USD	15,925.50	
06JUL17	B	2	PD06OCT17	5835.00	6470.02	USD	31,751.00	
06JUL17	B	1	PD06OCT17	5834.00	6470.02	USD	15,900.50	
06JUL17	B	1	PD06OCT17	5845.50	6470.02	USD	15,613.00	
06JUL17	S	1	PD06OCT17	5852.00	6470.02	USD	-15,450.50	
06JUL17	S	1	PD06OCT17	5853.50	6470.02	USD	-15,413.00	
07JUL17	S	2	PD06OCT17	5836.00	6470.02	USD	-31,701.00	
07JUL17	S	3	PD06OCT17	5836.50	6470.02	USD	-47,514.00	
07JUL17	S	4	PD06OCT17	5837.00	6470.02	USD	-63,302.00	
07JUL17	S	1	PD06OCT17	5837.50	6470.02	USD	-15,813.00	
07JUL17	S	1	PD06OCT17	5839.50	6470.02	USD	-15,763.00	
07JUL17	S	1	PD06OCT17	5838.50	6470.02	USD	-15,788.00	
07JUL17	S	2	PD06OCT17	5838.00	6470.02	USD	-31,601.00	
07JUL17	S	1	PD06OCT17	5839.00	6470.02	USD	-15,775.50	
07JUL17	B	1	PD06OCT17	5840.50	6470.02	USD	15,738.00	
07JUL17	B	1	PD06OCT17	5841.00	6470.02	USD	15,725.50	
07JUL17	B	3	PD06OCT17	5855.00	6470.02	USD	46,126.50	
07JUL17	B	1	PD06OCT17	5859.00	6470.02	USD	15,275.50	
07JUL17	B	3	PD06OCT17	5859.50	6470.02	USD	45,789.00	
07JUL17	B	2	PD06OCT17	5861.00	6470.02	USD	30,451.00	
07JUL17	B	2	PD06OCT17	5865.00	6470.02	USD	30,251.00	
07JUL17	B	2	PD06OCT17	5869.00	6470.02	USD	30,051.00	
07JUL17	B	3	PD06OCT17	5868.50	6470.02	USD	45,114.00	
07JUL17	B	3	PD06OCT17	5868.00	6470.02	USD	45,151.50	
07JUL17	B	3	PD06OCT17	5863.00	6470.02	USD	45,526.50	
07JUL17	B	2	PD06OCT17	5865.50	6470.02	USD	30,226.00	
07JUL17	B	1	PD06OCT17	5867.00	6470.02	USD	15,075.50	
07JUL17	B	4	PD06OCT17	5866.00	6470.02	USD	60,402.00	
07JUL17	S	1	PD06OCT17	5865.50	6470.02	USD	-15,113.00	
07JUL17	B	1	PD06OCT17	5837.00	6470.02	USD	15,825.50	
07JUL17	B	1	PD06OCT17	5836.50	6470.02	USD	15,838.00	
07JUL17	B	1	PD06OCT17	5837.50	6470.02	USD	15,813.00	
07JUL17	B	2	PD06OCT17	5829.00	6470.02	USD	32,051.00	
07JUL17	B	1	PD06OCT17	5828.50	6470.02	USD	16,038.00	
07JUL17	B	2	PD06OCT17	5827.50	6470.02	USD	32,126.00	
07JUL17	B	1	PD06OCT17	5822.50	6470.02	USD	16,188.00	
07JUL17	B	2	PD06OCT17	5820.50	6470.02	USD	32,476.00	
07JUL17	B	3	PD06OCT17	5821.00	6470.02	USD	48,676.50	
07JUL17	B	2	PD06OCT17	5818.00	6470.02	USD	32,601.00	
07JUL17	S	1	PD06OCT17	5816.00	6470.02	USD	-16,350.50	
07JUL17	S	1	PD06OCT17	5825.50	6470.02	USD	-16,113.00	
07JUL17	S	1	PD06OCT17	5823.00	6470.02	USD	-16,175.50	
07JUL17	S	1	PD06OCT17	5828.00	6470.02	USD	-16,050.50	
07JUL17	S	1	PD06OCT17	5835.00	6470.02	USD	-15,875.50	
07JUL17	S	1	PD06OCT17	5834.50	6470.02	USD	-15,888.00	
07JUL17	S	1	PD06OCT17	5829.00	6470.02	USD	-16,025.50	
18JUL17	S	14	PD06OCT17	6002.04	6470.02	USD	-163,793.00	
24JUL17	S	7	PD06OCT17	6022.88	6470.02	USD	-78,249.50	
08AUG17	S	9	PD06OCT17	6468.82	6470.02	USD	-270.00	
	NET	**0**	**AVG BGHT 5847.15 SOLD 5965.11**				**215,277.50**	**0.00**
10JUL17	B	1	PD10OCT17	5800.00	6475.10	USD	16,877.50	
10JUL17	B	2	PD10OCT17	5799.00	6475.10	USD	33,805.00	
10JUL17	B	1	PD10OCT17	5804.00	6475.10	USD	16,777.50	
10JUL17	B	2	PD10OCT17	5795.00	6475.10	USD	34,005.00	
10JUL17	B	1	PD10OCT17	5799.50	6475.10	USD	16,890.00	
10JUL17	B	1	PD10OCT17	5798.50	6475.10	USD	16,915.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT							
10JUL17	B	2	PD10OCT17	5797.00	6475.10	USD	33,905.00	
10JUL17	B	1	PD10OCT17	5820.00	6475.10	USD	16,377.50	
10JUL17	B	1	PD10OCT17	5821.00	6475.10	USD	16,352.50	
10JUL17	B	1	PD10OCT17	5827.00	6475.10	USD	16,202.50	
10JUL17	B	2	PD10OCT17	5827.50	6475.10	USD	32,380.00	
10JUL17	S	1	PD10OCT17	5828.00	6475.10	USD	-16,177.50	
10JUL17	S	1	PD10OCT17	5827.50	6475.10	USD	-16,190.00	
10JUL17	S	1	PD10OCT17	5825.50	6475.10	USD	-16,240.00	
24JUL17	S	1	PD10OCT17	6024.42	6475.10	USD	-11,267.00	
08AUG17	S	11	PD10OCT17	6471.35	6475.10	USD	-1,031.25	
	NET	**0**	**AVG BGHT 5807.13**	**SOLD 6312.68**			**189,581.75**	**0.00**
11JUL17	S	1	PD11OCT17	5833.00	6476.37	USD	-16,084.25	
11JUL17	S	2	PD11OCT17	5839.00	6476.37	USD	-31,868.50	
11JUL17	S	1	PD11OCT17	5822.50	6476.37	USD	-16,346.75	
11JUL17	B	2	PD11OCT17	5851.00	6476.37	USD	31,268.50	
11JUL17	S	2	PD11OCT17	5833.50	6476.37	USD	-32,143.50	
11JUL17	B	4	PD11OCT17	5852.50	6476.37	USD	62,387.00	
11JUL17	S	2	PD11OCT17	5854.00	6476.37	USD	-31,118.50	
11JUL17	S	1	PD11OCT17	5852.00	6476.37	USD	-15,609.25	
11JUL17	S	1	PD11OCT17	5851.50	6476.37	USD	-15,621.75	
11JUL17	B	1	PD11OCT17	5830.50	6476.37	USD	16,146.75	
11JUL17	B	4	PD11OCT17	5831.00	6476.37	USD	64,537.00	
11JUL17	B	2	PD11OCT17	5829.50	6476.37	USD	32,343.50	
11JUL17	B	2	PD11OCT17	5832.50	6476.37	USD	32,193.50	
11JUL17	B	1	PD11OCT17	5830.50	6476.37	USD	16,146.75	
11JUL17	S	1	PD11OCT17	5866.50	6476.37	USD	-15,246.75	
11JUL17	S	1	PD11OCT17	5866.00	6476.37	USD	-15,259.25	
11JUL17	B	1	PD11OCT17	5870.00	6476.37	USD	15,159.25	
11JUL17	B	1	PD11OCT17	5866.00	6476.37	USD	15,259.25	
11JUL17	S	1	PD11OCT17	5879.50	6476.37	USD	-14,921.75	
11JUL17	B	1	PD11OCT17	5883.00	6476.37	USD	14,834.25	
11JUL17	S	1	PD11OCT17	5882.50	6476.37	USD	-14,846.75	
11JUL17	B	1	PD11OCT17	5875.50	6476.37	USD	15,021.75	
11JUL17	S	1	PD11OCT17	5874.00	6476.37	USD	-15,059.25	
11JUL17	B	1	PD11OCT17	5874.00	6476.37	USD	15,059.25	
11JUL17	B	1	PD11OCT17	5871.50	6476.37	USD	15,121.75	
11JUL17	S	4	PD11OCT17	5887.00	6476.37	USD	-58,937.00	
18JUL17	B	2	PD11OCT17	6004.31	6476.37	USD	23,603.00	
24JUL17	S	1	PD11OCT17	6024.81	6476.37	USD	-11,289.00	
08AUG17	S	4	PD11OCT17	6471.98	6476.37	USD	-439.00	
	NET	**0**	**AVG BGHT 5861.23**	**SOLD 5968.38**			**64,290.25**	**0.00**
12JUL17	B	1	PD12OCT17	5888.50	6477.63	USD	14,728.25	
12JUL17	B	2	PD12OCT17	5894.50	6477.63	USD	29,156.50	
12JUL17	S	1	PD12OCT17	5902.50	6477.63	USD	-14,378.25	
12JUL17	S	2	PD12OCT17	5900.00	6477.63	USD	-28,881.50	
12JUL17	S	1	PD12OCT17	5898.50	6477.63	USD	-14,478.25	
12JUL17	S	1	PD12OCT17	5896.50	6477.63	USD	-14,528.25	
12JUL17	S	1	PD12OCT17	5901.50	6477.63	USD	-14,403.25	
12JUL17	S	3	PD12OCT17	5902.00	6477.63	USD	-43,172.25	
12JUL17	S	3	PD12OCT17	5901.00	6477.63	USD	-43,247.25	
12JUL17	S	2	PD12OCT17	5896.00	6477.63	USD	-29,081.50	
12JUL17	S	3	PD12OCT17	5899.50	6477.63	USD	-43,359.75	
12JUL17	S	1	PD12OCT17	5906.50	6477.63	USD	-14,278.25	
12JUL17	S	1	PD12OCT17	5915.00	6477.63	USD	-14,065.75	
12JUL17	S	1	PD12OCT17	5899.00	6477.63	USD	-14,465.75	
12JUL17	S	1	PD12OCT17	5895.50	6477.63	USD	-14,553.25	
12JUL17	S	1	PD12OCT17	5898.00	6477.63	USD	-14,490.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
12JUL17	S 2 PD12OCT17	5914.50	6477.63	USD	-28,156.50	
12JUL17	S 1 PD12OCT17	5923.00	6477.63	USD	-13,865.75	
12JUL17	S 1 PD12OCT17	5923.50	6477.63	USD	-13,853.25	
12JUL17	S 1 PD12OCT17	5925.00	6477.63	USD	-13,815.75	
12JUL17	S 1 PD12OCT17	5908.00	6477.63	USD	-14,240.75	
12JUL17	B 2 PD12OCT17	5906.50	6477.63	USD	28,556.50	
12JUL17	S 2 PD12OCT17	5910.00	6477.63	USD	-28,381.50	
12JUL17	S 1 PD12OCT17	5916.50	6477.63	USD	-14,028.25	
12JUL17	B 1 PD12OCT17	5907.00	6477.63	USD	14,265.75	
18JUL17	B 25 PD12OCT17	6004.72	6477.63	USD	295,568.75	
	NET 0 AVG BGHT 5984.37 SOLD 5905.08				**-61,450.00**	**0.00**
13JUL17	B 1 PD13OCT17	5908.50	6478.90	USD	14,260.00	
13JUL17	B 3 PD13OCT17	5907.50	6478.90	USD	42,855.00	
13JUL17	B 1 PD13OCT17	5917.00	6478.90	USD	14,047.50	
13JUL17	S 1 PD13OCT17	5922.50	6478.90	USD	-13,910.00	
13JUL17	S 1 PD13OCT17	5925.00	6478.90	USD	-13,847.50	
13JUL17	B 1 PD13OCT17	5922.00	6478.90	USD	13,922.50	
13JUL17	B 1 PD13OCT17	5917.00	6478.90	USD	14,047.50	
13JUL17	S 2 PD13OCT17	5925.50	6478.90	USD	-27,670.00	
13JUL17	S 1 PD13OCT17	5927.50	6478.90	USD	-13,785.00	
13JUL17	B 1 PD13OCT17	5885.50	6478.90	USD	14,835.00	
13JUL17	B 1 PD13OCT17	5884.00	6478.90	USD	14,872.50	
13JUL17	B 1 PD13OCT17	5878.00	6478.90	USD	15,022.50	
13JUL17	B 2 PD13OCT17	5877.50	6478.90	USD	30,070.00	
13JUL17	B 1 PD13OCT17	5879.50	6478.90	USD	14,985.00	
13JUL17	B 1 PD13OCT17	5882.00	6478.90	USD	14,922.50	
13JUL17	B 1 PD13OCT17	5875.50	6478.90	USD	15,085.00	
13JUL17	B 2 PD13OCT17	5874.00	6478.90	USD	30,245.00	
13JUL17	B 1 PD13OCT17	5874.50	6478.90	USD	15,110.00	
13JUL17	B 1 PD13OCT17	5877.00	6478.90	USD	15,047.50	
13JUL17	B 1 PD13OCT17	5876.00	6478.90	USD	15,072.50	
13JUL17	B 1 PD13OCT17	5876.50	6478.90	USD	15,060.00	
13JUL17	B 1 PD13OCT17	5873.50	6478.90	USD	15,135.00	
13JUL17	B 1 PD13OCT17	5862.00	6478.90	USD	15,422.50	
13JUL17	S 1 PD13OCT17	5860.00	6478.90	USD	-15,472.50	
13JUL17	S 1 PD13OCT17	5860.50	6478.90	USD	-15,460.00	
14JUL17	S 1 PD13OCT17	5872.00	6478.90	USD	-15,172.50	
14JUL17	S 2 PD13OCT17	5871.50	6478.90	USD	-30,370.00	
14JUL17	S 1 PD13OCT17	5873.00	6478.90	USD	-15,147.50	
14JUL17	B 3 PD13OCT17	5883.50	6478.90	USD	44,655.00	
14JUL17	B 1 PD13OCT17	5884.00	6478.90	USD	14,872.50	
14JUL17	B 3 PD13OCT17	5882.00	6478.90	USD	44,767.50	
14JUL17	B 2 PD13OCT17	5881.50	6478.90	USD	29,870.00	
14JUL17	B 1 PD13OCT17	5880.50	6478.90	USD	14,960.00	
14JUL17	B 2 PD13OCT17	5887.50	6478.90	USD	29,570.00	
14JUL17	B 2 PD13OCT17	5887.00	6478.90	USD	29,595.00	
14JUL17	B 1 PD13OCT17	5886.50	6478.90	USD	14,810.00	
14JUL17	B 1 PD13OCT17	5888.50	6478.90	USD	14,760.00	
14JUL17	S 2 PD13OCT17	5902.50	6478.90	USD	-28,820.00	
14JUL17	S 3 PD13OCT17	5904.00	6478.90	USD	-43,117.50	
14JUL17	S 2 PD13OCT17	5905.50	6478.90	USD	-28,670.00	
14JUL17	S 1 PD13OCT17	5906.50	6478.90	USD	-14,310.00	
14JUL17	S 2 PD13OCT17	5913.50	6478.90	USD	-28,270.00	
14JUL17	S 1 PD13OCT17	5915.00	6478.90	USD	-14,097.50	
14JUL17	S 1 PD13OCT17	5915.50	6478.90	USD	-14,085.00	
14JUL17	S 1 PD13OCT17	5917.00	6478.90	USD	-14,047.50	
14JUL17	S 1 PD13OCT17	5918.00	6478.90	USD	-14,022.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
14JUL17	S 1 PD13OCT17	5918.50	6478.90	USD	-14,010.00	
14JUL17	S 1 PD13OCT17	5927.00	6478.90	USD	-13,797.50	
18JUL17	B 3 PD13OCT17	6005.13	6478.90	USD	35,532.75	
08AUG17	S 15 PD13OCT17	6473.25	6478.90	USD	-2,118.75	
	NET 0 AVG BGHT 5894.70 SOLD 6107.28				**223,209.00**	**0.00**
17JUL17	B 1 PD17OCT17	5921.00	6483.98	USD	14,074.50	
17JUL17	B 1 PD17OCT17	5950.00	6483.98	USD	13,349.50	
17JUL17	B 1 PD17OCT17	5951.00	6483.98	USD	13,324.50	
17JUL17	S 1 PD17OCT17	5928.50	6483.98	USD	-13,887.00	
17JUL17	S 1 PD17OCT17	5916.00	6483.98	USD	-14,199.50	
17JUL17	B 2 PD17OCT17	5951.50	6483.98	USD	26,624.00	
17JUL17	S 1 PD17OCT17	5931.00	6483.98	USD	-13,824.50	
17JUL17	B 5 PD17OCT17	5948.00	6483.98	USD	66,997.50	
17JUL17	S 3 PD17OCT17	5951.00	6483.98	USD	-39,973.50	
17JUL17	S 1 PD17OCT17	5975.00	6483.98	USD	-12,724.50	
17JUL17	S 1 PD17OCT17	5977.50	6483.98	USD	-12,662.00	
17JUL17	B 4 PD17OCT17	5947.00	6483.98	USD	53,698.00	
17JUL17	S 1 PD17OCT17	5981.50	6483.98	USD	-12,562.00	
17JUL17	B 2 PD17OCT17	5949.00	6483.98	USD	26,749.00	
17JUL17	S 3 PD17OCT17	5982.50	6483.98	USD	-37,611.00	
17JUL17	B 5 PD17OCT17	5950.50	6483.98	USD	66,685.00	
17JUL17	S 2 PD17OCT17	5981.00	6483.98	USD	-25,149.00	
17JUL17	S 1 PD17OCT17	5930.50	6483.98	USD	-13,837.00	
17JUL17	S 1 PD17OCT17	5911.00	6483.98	USD	-14,324.50	
17JUL17	S 1 PD17OCT17	5980.50	6483.98	USD	-12,587.00	
17JUL17	S 1 PD17OCT17	5979.50	6483.98	USD	-12,612.00	
17JUL17	S 2 PD17OCT17	5914.50	6483.98	USD	-28,474.00	
17JUL17	S 1 PD17OCT17	5982.00	6483.98	USD	-12,549.50	
17JUL17	B 1 PD17OCT17	5923.00	6483.98	USD	14,024.50	
17JUL17	B 3 PD17OCT17	5922.00	6483.98	USD	42,148.50	
17JUL17	B 1 PD17OCT17	5952.00	6483.98	USD	13,299.50	
17JUL17	S 2 PD17OCT17	5918.00	6483.98	USD	-28,299.00	
17JUL17	B 4 PD17OCT17	5949.50	6483.98	USD	53,448.00	
17JUL17	S 1 PD17OCT17	5949.00	6483.98	USD	-13,374.50	
17JUL17	B 1 PD17OCT17	5922.50	6483.98	USD	14,037.00	
17JUL17	S 2 PD17OCT17	5975.50	6483.98	USD	-25,424.00	
17JUL17	S 1 PD17OCT17	5914.00	6483.98	USD	-14,249.50	
17JUL17	B 1 PD17OCT17	5914.00	6483.98	USD	14,249.50	
17JUL17	S 3 PD17OCT17	5980.00	6483.98	USD	-37,798.50	
17JUL17	S 2 PD17OCT17	5973.50	6483.98	USD	-25,524.00	
17JUL17	S 1 PD17OCT17	5972.00	6483.98	USD	-12,799.50	
17JUL17	S 1 PD17OCT17	5972.50	6483.98	USD	-12,787.00	
17JUL17	S 3 PD17OCT17	5969.50	6483.98	USD	-38,586.00	
17JUL17	S 2 PD17OCT17	5984.50	6483.98	USD	-24,974.00	
17JUL17	S 2 PD17OCT17	5985.00	6483.98	USD	-24,949.00	
17JUL17	S 1 PD17OCT17	5911.00	6483.98	USD	-14,324.50	
17JUL17	S 1 PD17OCT17	5979.00	6483.98	USD	-12,624.50	
17JUL17	S 1 PD17OCT17	5981.50	6483.98	USD	-12,562.00	
17JUL17	B 1 PD17OCT17	6002.50	6483.98	USD	12,037.00	
17JUL17	S 3 PD17OCT17	6007.50	6483.98	USD	-35,736.00	
17JUL17	S 1 PD17OCT17	6012.50	6483.98	USD	-11,787.00	
17JUL17	S 1 PD17OCT17	6017.00	6483.98	USD	-11,674.50	
17JUL17	S 1 PD17OCT17	6014.50	6483.98	USD	-11,737.00	
17JUL17	S 1 PD17OCT17	6015.50	6483.98	USD	-11,712.00	
17JUL17	S 1 PD17OCT17	6013.00	6483.98	USD	-11,774.50	
17JUL17	S 1 PD17OCT17	6016.50	6483.98	USD	-11,687.00	
17JUL17	S 1 PD17OCT17	5998.50	6483.98	USD	-12,137.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
17JUL17	B 1 PD17OCT17	6001.50	6483.98	USD	12,062.00	
18JUL17	B 20 PD17OCT17	6006.79	6483.98	USD	238,595.00	
	NET 0 AVG BGHT 5968.87 SOLD 5970.28				**1,905.00**	**0.00**
18JUL17	S 1 PD18OCT17	6002.00	6485.25	USD	-12,081.25	
18JUL17	S 1 PD18OCT17	6001.00	6485.25	USD	-12,106.25	
18JUL17	S 1 PD18OCT17	5998.50	6485.25	USD	-12,168.75	
18JUL17	S 1 PD18OCT17	6001.50	6485.25	USD	-12,093.75	
18JUL17	S 3 PD18OCT17	6011.00	6485.25	USD	-35,568.75	
18JUL17	B 1 PD18OCT17	6012.00	6485.25	USD	11,831.25	
18JUL17	B 1 PD18OCT17	5988.00	6485.25	USD	12,431.25	
18JUL17	S 1 PD18OCT17	5985.00	6485.25	USD	-12,506.25	
18JUL17	S 1 PD18OCT17	5997.00	6485.25	USD	-12,206.25	
18JUL17	B 3 PD18OCT17	5996.00	6485.25	USD	36,693.75	
18JUL17	B 3 PD18OCT17	5995.50	6485.25	USD	36,731.25	
18JUL17	B 1 PD18OCT17	5995.00	6485.25	USD	12,256.25	
18JUL17	B 1 PD18OCT17	5994.50	6485.25	USD	12,268.75	
18JUL17	B 1 PD18OCT17	5999.00	6485.25	USD	12,156.25	
18JUL17	B 3 PD18OCT17	6005.50	6485.25	USD	35,981.25	
18JUL17	S 3 PD18OCT17	6004.50	6485.25	USD	-36,056.25	
18JUL17	S 1 PD18OCT17	6005.00	6485.25	USD	-12,006.25	
18JUL17	S 1 PD18OCT17	6006.00	6485.25	USD	-11,981.25	
18JUL17	S 5 PD18OCT17	6005.50	6485.25	USD	-59,968.75	
24JUL17	B 5 PD18OCT17	6027.70	6485.25	USD	57,193.75	
	NET 0 AVG BGHT 6006.21 SOLD 6003.68				**-1,200.00**	**0.00**
19JUL17	S 1 PD19OCT17	5999.00	6486.00	USD	-12,175.00	
19JUL17	S 4 PD19OCT17	5999.50	6486.00	USD	-48,650.00	
19JUL17	S 1 PD19OCT17	6001.00	6486.00	USD	-12,125.00	
19JUL17	S 1 PD19OCT17	5998.50	6486.00	USD	-12,187.50	
19JUL17	B 1 PD19OCT17	6002.50	6486.00	USD	12,087.50	
19JUL17	B 1 PD19OCT17	6013.00	6486.00	USD	11,825.00	
19JUL17	B 1 PD19OCT17	6006.00	6486.00	USD	12,000.00	
19JUL17	B 1 PD19OCT17	6007.50	6486.00	USD	11,962.50	
19JUL17	B 1 PD19OCT17	6007.00	6486.00	USD	11,975.00	
19JUL17	B 3 PD19OCT17	5998.00	6486.00	USD	36,600.00	
19JUL17	B 1 PD19OCT17	5996.00	6486.00	USD	12,250.00	
19JUL17	B 1 PD19OCT17	5997.50	6486.00	USD	12,212.50	
19JUL17	B 1 PD19OCT17	6000.00	6486.00	USD	12,150.00	
19JUL17	B 7 PD19OCT17	5996.50	6486.00	USD	85,662.50	
19JUL17	B 2 PD19OCT17	5992.50	6486.00	USD	24,675.00	
19JUL17	B 1 PD19OCT17	5992.00	6486.00	USD	12,350.00	
19JUL17	B 1 PD19OCT17	5991.00	6486.00	USD	12,375.00	
19JUL17	B 1 PD19OCT17	5989.50	6486.00	USD	12,412.50	
19JUL17	B 2 PD19OCT17	5989.00	6486.00	USD	24,850.00	
19JUL17	B 1 PD19OCT17	5987.50	6486.00	USD	12,462.50	
19JUL17	S 1 PD19OCT17	5987.00	6486.00	USD	-12,475.00	
19JUL17	B 1 PD19OCT17	5988.00	6486.00	USD	12,450.00	
19JUL17	S 1 PD19OCT17	5989.00	6486.00	USD	-12,425.00	
19JUL17	S 1 PD19OCT17	5994.50	6486.00	USD	-12,287.50	
19JUL17	B 3 PD19OCT17	5996.50	6486.00	USD	36,712.50	
19JUL17	B 1 PD19OCT17	5971.50	6486.00	USD	12,862.50	
19JUL17	B 1 PD19OCT17	5969.00	6486.00	USD	12,925.00	
24JUL17	S 6 PD19OCT17	6026.50	6486.00	USD	-68,925.00	
07AUG17	S 7 PD19OCT17	6407.50	6486.00	USD	-13,737.50	
08AUG17	S 9 PD19OCT17	6473.50	6486.00	USD	-2,812.50	
	NET 0 AVG BGHT 5995.00 SOLD 6226.25				**185,000.00**	**0.00**
20JUL17	B 1 PD20OCT17	5971.00	6486.75	USD	12,893.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
20JUL17	B 1 PD20OCT17	5970.50	6486.75	USD	12,906.25	
20JUL17	B 1 PD20OCT17	5986.00	6486.75	USD	12,518.75	
20JUL17	B 1 PD20OCT17	5982.00	6486.75	USD	12,618.75	
20JUL17	B 1 PD20OCT17	5981.50	6486.75	USD	12,631.25	
20JUL17	B 4 PD20OCT17	5984.50	6486.75	USD	50,225.00	
20JUL17	B 1 PD20OCT17	5987.50	6486.75	USD	12,481.25	
20JUL17	B 4 PD20OCT17	5987.00	6486.75	USD	49,975.00	
20JUL17	S 1 PD20OCT17	5988.00	6486.75	USD	-12,468.75	
20JUL17	B 1 PD20OCT17	5991.00	6486.75	USD	12,393.75	
20JUL17	S 1 PD20OCT17	5991.00	6486.75	USD	-12,393.75	
20JUL17	S 1 PD20OCT17	5989.00	6486.75	USD	-12,443.75	
20JUL17	B 1 PD20OCT17	5981.00	6486.75	USD	12,643.75	
20JUL17	S 1 PD20OCT17	5984.50	6486.75	USD	-12,556.25	
20JUL17	B 4 PD20OCT17	5983.00	6486.75	USD	50,375.00	
20JUL17	B 2 PD20OCT17	5983.50	6486.75	USD	25,162.50	
20JUL17	S 1 PD20OCT17	5969.50	6486.75	USD	-12,931.25	
20JUL17	B 1 PD20OCT17	5971.50	6486.75	USD	12,881.25	
20JUL17	B 1 PD20OCT17	5969.50	6486.75	USD	12,931.25	
20JUL17	S 2 PD20OCT17	5969.00	6486.75	USD	-25,887.50	
20JUL17	B 1 PD20OCT17	5968.00	6486.75	USD	12,968.75	
20JUL17	S 1 PD20OCT17	5966.00	6486.75	USD	-13,018.75	
20JUL17	B 4 PD20OCT17	5965.50	6486.75	USD	52,125.00	
20JUL17	B 1 PD20OCT17	5975.50	6486.75	USD	12,781.25	
20JUL17	S 1 PD20OCT17	5973.50	6486.75	USD	-12,831.25	
20JUL17	B 1 PD20OCT17	5962.50	6486.75	USD	13,106.25	
20JUL17	B 1 PD20OCT17	5961.50	6486.75	USD	13,131.25	
20JUL17	B 1 PD20OCT17	5952.50	6486.75	USD	13,356.25	
20JUL17	S 2 PD20OCT17	5946.00	6486.75	USD	-27,037.50	
20JUL17	S 1 PD20OCT17	5947.00	6486.75	USD	-13,493.75	
20JUL17	S 2 PD20OCT17	5953.00	6486.75	USD	-26,687.50	
20JUL17	B 3 PD20OCT17	5972.00	6486.75	USD	38,606.25	
20JUL17	B 1 PD20OCT17	5973.00	6486.75	USD	12,843.75	
20JUL17	B 4 PD20OCT17	5973.50	6486.75	USD	51,325.00	
20JUL17	B 1 PD20OCT17	5977.50	6486.75	USD	12,731.25	
20JUL17	B 1 PD20OCT17	5978.00	6486.75	USD	12,718.75	
20JUL17	S 1 PD20OCT17	5979.00	6486.75	USD	-12,693.75	
20JUL17	B 1 PD20OCT17	5976.50	6486.75	USD	12,756.25	
21JUL17	S 1 PD20OCT17	5985.00	6486.75	USD	-12,543.75	
21JUL17	B 1 PD20OCT17	5985.50	6486.75	USD	12,531.25	
21JUL17	S 1 PD20OCT17	6005.50	6486.75	USD	-12,031.25	
21JUL17	S 1 PD20OCT17	6012.00	6486.75	USD	-11,868.75	
21JUL17	S 1 PD20OCT17	6013.00	6486.75	USD	-11,843.75	
21JUL17	S 1 PD20OCT17	5985.00	6486.75	USD	-12,543.75	
21JUL17	S 3 PD20OCT17	6029.50	6486.75	USD	-34,293.75	
21JUL17	S 1 PD20OCT17	6028.50	6486.75	USD	-11,456.25	
21JUL17	S 1 PD20OCT17	6027.00	6486.75	USD	-11,493.75	
21JUL17	S 2 PD20OCT17	6026.50	6486.75	USD	-23,012.50	
21JUL17	S 1 PD20OCT17	6025.00	6486.75	USD	-11,543.75	
21JUL17	S 4 PD20OCT17	6031.00	6486.75	USD	-45,575.00	
21JUL17	S 1 PD20OCT17	6020.50	6486.75	USD	-11,656.25	
21JUL17	S 1 PD20OCT17	6016.50	6486.75	USD	-11,756.25	
21JUL17	S 2 PD20OCT17	6015.50	6486.75	USD	-23,562.50	
21JUL17	S 1 PD20OCT17	6001.00	6486.75	USD	-12,143.75	
21JUL17	S 2 PD20OCT17	6029.50	6486.75	USD	-22,862.50	
21JUL17	B 1 PD20OCT17	6000.50	6486.75	USD	12,156.25	
21JUL17	S 2 PD20OCT17	5992.50	6486.75	USD	-24,712.50	
24JUL17	B 12 PD20OCT17	6025.70	6486.75	USD	138,315.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
07AUG17	S 17 PD20OCT17	6406.50	6486.75	USD	-34,106.25	
	NET 0 AVG BGHT 5987.38 SOLD 6118.85				**190,640.00**	**0.00**
24JUL17	S 2 PD24OCT17	5994.00	6489.75	USD	-24,787.50	
24JUL17	S 7 PD24OCT17	5995.00	6489.75	USD	-86,581.25	
24JUL17	S 3 PD24OCT17	5996.00	6489.75	USD	-37,031.25	
24JUL17	S 2 PD24OCT17	5995.50	6489.75	USD	-24,712.50	
24JUL17	S 1 PD24OCT17	5999.00	6489.75	USD	-12,268.75	
24JUL17	S 2 PD24OCT17	6028.00	6489.75	USD	-23,087.50	
24JUL17	S 3 PD24OCT17	6022.50	6489.75	USD	-35,043.75	
24JUL17	B 1 PD24OCT17	6022.00	6489.75	USD	11,693.75	
24JUL17	B 1 PD24OCT17	6020.50	6489.75	USD	11,731.25	
24JUL17	B 2 PD24OCT17	6016.50	6489.75	USD	23,662.50	
24JUL17	S 1 PD24OCT17	6015.50	6489.75	USD	-11,856.25	
24JUL17	S 1 PD24OCT17	6023.00	6489.75	USD	-11,668.75	
24JUL17	S 2 PD24OCT17	6026.00	6489.75	USD	-23,187.50	
24JUL17	S 2 PD24OCT17	6027.00	6489.75	USD	-23,137.50	
07AUG17	B 22 PD24OCT17	6408.41	6489.75	USD	44,737.00	
	NET 0 AVG BGHT 6348.48 SOLD 6007.65				**-221,538.00**	**0.00**
25JUL17	S 1 PD25OCT17	6028.50	6490.29	USD	-11,544.75	
25JUL17	S 1 PD25OCT17	6041.00	6490.29	USD	-11,232.25	
25JUL17	S 1 PD25OCT17	6043.50	6490.29	USD	-11,169.75	
25JUL17	S 1 PD25OCT17	6045.50	6490.29	USD	-11,119.75	
25JUL17	S 1 PD25OCT17	6065.50	6490.29	USD	-10,619.75	
25JUL17	B 2 PD25OCT17	6066.50	6490.29	USD	21,189.50	
25JUL17	S 1 PD25OCT17	6066.00	6490.29	USD	-10,607.25	
25JUL17	S 3 PD25OCT17	6066.50	6490.29	USD	-31,784.25	
25JUL17	S 2 PD25OCT17	6069.00	6490.29	USD	-21,064.50	
25JUL17	S 1 PD25OCT17	6071.50	6490.29	USD	-10,469.75	
25JUL17	S 1 PD25OCT17	6071.00	6490.29	USD	-10,482.25	
25JUL17	S 1 PD25OCT17	6074.50	6490.29	USD	-10,394.75	
25JUL17	S 1 PD25OCT17	6078.00	6490.29	USD	-10,307.25	
25JUL17	S 1 PD25OCT17	6076.00	6490.29	USD	-10,357.25	
25JUL17	S 2 PD25OCT17	6086.50	6490.29	USD	-20,189.50	
25JUL17	S 1 PD25OCT17	6090.50	6490.29	USD	-9,994.75	
25JUL17	S 1 PD25OCT17	6091.00	6490.29	USD	-9,982.25	
25JUL17	B 2 PD25OCT17	6098.00	6490.29	USD	19,614.50	
25JUL17	B 2 PD25OCT17	6100.00	6490.29	USD	19,514.50	
25JUL17	B 1 PD25OCT17	6101.00	6490.29	USD	9,732.25	
25JUL17	B 1 PD25OCT17	6093.50	6490.29	USD	9,919.75	
25JUL17	S 1 PD25OCT17	6091.50	6490.29	USD	-9,969.75	
25JUL17	B 1 PD25OCT17	6086.00	6490.29	USD	10,107.25	
25JUL17	S 1 PD25OCT17	6124.00	6490.29	USD	-9,157.25	
25JUL17	S 1 PD25OCT17	6123.50	6490.29	USD	-9,169.75	
25JUL17	S 1 PD25OCT17	6125.50	6490.29	USD	-9,119.75	
25JUL17	S 1 PD25OCT17	6127.00	6490.29	USD	-9,082.25	
25JUL17	S 1 PD25OCT17	6115.50	6490.29	USD	-9,369.75	
25JUL17	S 1 PD25OCT17	6161.50	6490.29	USD	-8,219.75	
25JUL17	S 1 PD25OCT17	6164.50	6490.29	USD	-8,144.75	
25JUL17	S 1 PD25OCT17	6166.50	6490.29	USD	-8,094.75	
25JUL17	S 1 PD25OCT17	6168.00	6490.29	USD	-8,057.25	
25JUL17	S 2 PD25OCT17	6165.00	6490.29	USD	-16,264.50	
25JUL17	S 1 PD25OCT17	6155.50	6490.29	USD	-8,369.75	
25JUL17	S 1 PD25OCT17	6172.50	6490.29	USD	-7,944.75	
25JUL17	S 1 PD25OCT17	6185.00	6490.29	USD	-7,632.25	
25JUL17	S 1 PD25OCT17	6184.50	6490.29	USD	-7,644.75	
25JUL17	S 1 PD25OCT17	6184.00	6490.29	USD	-7,657.25	
25JUL17	S 2 PD25OCT17	6068.00	6490.29	USD	-21,114.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT							
25JUL17	B	2	PD25OCT17	6098.00	6490.29	USD	19,614.50	
25JUL17	B	1	PD25OCT17	6090.50	6490.29	USD	9,994.75	
25JUL17	B	1	PD25OCT17	6086.00	6490.29	USD	10,107.25	
25JUL17	S	1	PD25OCT17	6155.50	6490.29	USD	-8,369.75	
25JUL17	B	1	PD25OCT17	6179.50	6490.29	USD	7,769.75	
25JUL17	S	1	PD25OCT17	6198.00	6490.29	USD	-7,307.25	
25JUL17	S	1	PD25OCT17	6197.00	6490.29	USD	-7,332.25	
25JUL17	S	1	PD25OCT17	6196.50	6490.29	USD	-7,344.75	
25JUL17	B	1	PD25OCT17	6232.50	6490.29	USD	6,444.75	
25JUL17	S	1	PD25OCT17	6232.00	6490.29	USD	-6,457.25	
25JUL17	B	1	PD25OCT17	6219.00	6490.29	USD	6,782.25	
25JUL17	S	1	PD25OCT17	6218.00	6490.29	USD	-6,807.25	
25JUL17	S	1	PD25OCT17	6219.00	6490.29	USD	-6,782.25	
25JUL17	S	1	PD25OCT17	6221.50	6490.29	USD	-6,719.75	
25JUL17	S	1	PD25OCT17	6216.50	6490.29	USD	-6,844.75	
25JUL17	S	3	PD25OCT17	6230.50	6490.29	USD	-19,484.25	
25JUL17	B	2	PD25OCT17	6225.00	6490.29	USD	13,264.50	
25JUL17	B	1	PD25OCT17	6221.50	6490.29	USD	6,719.75	
25JUL17	B	1	PD25OCT17	6215.00	6490.29	USD	6,882.25	
25JUL17	B	1	PD25OCT17	6207.50	6490.29	USD	7,069.75	
25JUL17	B	1	PD25OCT17	6190.00	6490.29	USD	7,507.25	
25JUL17	B	2	PD25OCT17	6194.50	6490.29	USD	14,789.50	
25JUL17	S	1	PD25OCT17	6212.50	6490.29	USD	-6,944.75	
25JUL17	S	1	PD25OCT17	6211.50	6490.29	USD	-6,969.75	
25JUL17	S	2	PD25OCT17	6207.50	6490.29	USD	-14,139.50	
25JUL17	S	1	PD25OCT17	6205.00	6490.29	USD	-7,132.25	
25JUL17	S	1	PD25OCT17	6197.00	6490.29	USD	-7,332.25	
25JUL17	S	2	PD25OCT17	6210.50	6490.29	USD	-13,989.50	
25JUL17	S	2	PD25OCT17	6209.00	6490.29	USD	-14,064.50	
25JUL17	S	3	PD25OCT17	6208.50	6490.29	USD	-21,134.25	
25JUL17	S	1	PD25OCT17	6209.50	6490.29	USD	-7,019.75	
25JUL17	S	1	PD25OCT17	6204.50	6490.29	USD	-7,144.75	
07AUG17	B	2	PD25OCT17	6408.84	6490.29	USD	4,072.50	
07AUG17	B	25	PD25OCT17	6408.64	6490.29	USD	51,031.25	
18AUG17	B	1	PD25OCT17	6478.17	6490.29	USD	303.00	
23AUG17	B	14	PD25OCT17	6555.92	6490.29	USD	-22,970.50	
	NET	**0**	**AVG BGHT 6345.16 SOLD 6147.47**				**-326,193.25**	**0.00**
26JUL17	S	1	PD26OCT17	6269.00	6490.82	USD	-5,545.50	
26JUL17	S	1	PD26OCT17	6266.00	6490.82	USD	-5,620.50	
26JUL17	S	1	PD26OCT17	6259.00	6490.82	USD	-5,795.50	
26JUL17	S	1	PD26OCT17	6255.50	6490.82	USD	-5,883.00	
26JUL17	S	1	PD26OCT17	6256.00	6490.82	USD	-5,870.50	
26JUL17	S	2	PD26OCT17	6257.00	6490.82	USD	-11,691.00	
26JUL17	S	1	PD26OCT17	6258.50	6490.82	USD	-5,808.00	
26JUL17	S	4	PD26OCT17	6261.50	6490.82	USD	-22,932.00	
26JUL17	S	3	PD26OCT17	6265.50	6490.82	USD	-16,899.00	
26JUL17	B	4	PD26OCT17	6253.00	6490.82	USD	23,782.00	
26JUL17	S	1	PD26OCT17	6235.00	6490.82	USD	-6,395.50	
26JUL17	S	1	PD26OCT17	6236.00	6490.82	USD	-6,370.50	
26JUL17	S	1	PD26OCT17	6275.50	6490.82	USD	-5,383.00	
26JUL17	S	1	PD26OCT17	6277.00	6490.82	USD	-5,345.50	
26JUL17	S	1	PD26OCT17	6276.50	6490.82	USD	-5,358.00	
26JUL17	S	1	PD26OCT17	6289.50	6490.82	USD	-5,033.00	
26JUL17	S	2	PD26OCT17	6295.00	6490.82	USD	-9,791.00	
26JUL17	S	1	PD26OCT17	6291.00	6490.82	USD	-4,995.50	
26JUL17	B	1	PD26OCT17	6313.50	6490.82	USD	4,433.00	
26JUL17	B	1	PD26OCT17	6315.00	6490.82	USD	4,395.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
26JUL17	S 2 PD26OCT17	6344.00	6490.82	USD	-7,341.00	
26JUL17	S 1 PD26OCT17	6352.50	6490.82	USD	-3,458.00	
26JUL17	B 1 PD26OCT17	6351.50	6490.82	USD	3,483.00	
26JUL17	S 2 PD26OCT17	6343.00	6490.82	USD	-7,391.00	
26JUL17	S 4 PD26OCT17	6342.50	6490.82	USD	-14,832.00	
26JUL17	S 3 PD26OCT17	6341.50	6490.82	USD	-11,199.00	
26JUL17	S 4 PD26OCT17	6347.00	6490.82	USD	-14,382.00	
26JUL17	S 1 PD26OCT17	6368.50	6490.82	USD	-3,058.00	
26JUL17	S 20 PD26OCT17	6395.00	6490.82	USD	-47,910.00	
26JUL17	B 1 PD26OCT17	6385.50	6490.82	USD	2,633.00	
26JUL17	S 1 PD26OCT17	6390.50	6490.82	USD	-2,508.00	
26JUL17	B 3 PD26OCT17	6384.50	6490.82	USD	7,974.00	
26JUL17	B 10 PD26OCT17	6383.50	6490.82	USD	26,830.00	
26JUL17	S 1 PD26OCT17	6384.00	6490.82	USD	-2,670.50	
26JUL17	B 1 PD26OCT17	6381.00	6490.82	USD	2,745.50	
26JUL17	B 2 PD26OCT17	6382.50	6490.82	USD	5,416.00	
26JUL17	B 1 PD26OCT17	6378.00	6490.82	USD	2,820.50	
26JUL17	S 1 PD26OCT17	6367.50	6490.82	USD	-3,083.00	
26JUL17	S 1 PD26OCT17	6375.00	6490.82	USD	-2,895.50	
26JUL17	S 2 PD26OCT17	6348.50	6490.82	USD	-7,116.00	
26JUL17	S 4 PD26OCT17	6350.00	6490.82	USD	-14,082.00	
26JUL17	S 1 PD26OCT17	6351.00	6490.82	USD	-3,495.50	
26JUL17	B 1 PD26OCT17	6310.00	6490.82	USD	4,520.50	
26JUL17	B 1 PD26OCT17	6302.50	6490.82	USD	4,708.00	
26JUL17	B 1 PD26OCT17	6298.00	6490.82	USD	4,820.50	
26JUL17	B 1 PD26OCT17	6292.50	6490.82	USD	4,958.00	
26JUL17	B 1 PD26OCT17	6291.00	6490.82	USD	4,995.50	
26JUL17	B 2 PD26OCT17	6302.00	6490.82	USD	9,441.00	
26JUL17	B 1 PD26OCT17	6300.00	6490.82	USD	4,770.50	
26JUL17	B 1 PD26OCT17	6283.00	6490.82	USD	5,195.50	
26JUL17	B 3 PD26OCT17	6383.50	6490.82	USD	8,049.00	
26JUL17	B 1 PD26OCT17	6297.50	6490.82	USD	4,833.00	
26JUL17	B 1 PD26OCT17	6293.50	6490.82	USD	4,933.00	
26JUL17	B 1 PD26OCT17	6297.00	6490.82	USD	4,845.50	
26JUL17	B 5 PD26OCT17	6305.00	6490.82	USD	23,227.50	
26JUL17	B 3 PD26OCT17	6303.00	6490.82	USD	14,086.50	
26JUL17	B 3 PD26OCT17	6304.00	6490.82	USD	14,011.50	
26JUL17	B 5 PD26OCT17	6315.50	6490.82	USD	21,915.00	
26JUL17	B 2 PD26OCT17	6314.50	6490.82	USD	8,816.00	
26JUL17	B 4 PD26OCT17	6314.00	6490.82	USD	17,682.00	
26JUL17	B 1 PD26OCT17	6311.50	6490.82	USD	4,483.00	
26JUL17	B 1 PD26OCT17	6311.00	6490.82	USD	4,495.50	
26JUL17	S 2 PD26OCT17	6314.50	6490.82	USD	-8,816.00	
26JUL17	S 1 PD26OCT17	6319.50	6490.82	USD	-4,283.00	
26JUL17	S 1 PD26OCT17	6321.50	6490.82	USD	-4,233.00	
26JUL17	S 1 PD26OCT17	6322.50	6490.82	USD	-4,208.00	
26JUL17	S 1 PD26OCT17	6323.50	6490.82	USD	-4,183.00	
26JUL17	S 1 PD26OCT17	6336.00	6490.82	USD	-3,870.50	
26JUL17	S 1 PD26OCT17	6338.50	6490.82	USD	-3,808.00	
26JUL17	S 1 PD26OCT17	6340.00	6490.82	USD	-3,770.50	
26JUL17	S 1 PD26OCT17	6345.50	6490.82	USD	-3,633.00	
26JUL17	S 10 PD26OCT17	6327.50	6490.82	USD	-40,830.00	
26JUL17	B 1 PD26OCT17	6322.50	6490.82	USD	4,208.00	
26JUL17	B 2 PD26OCT17	6323.00	6490.82	USD	8,391.00	
26JUL17	B 1 PD26OCT17	6320.50	6490.82	USD	4,258.00	
26JUL17	B 10 PD26OCT17	6321.00	6490.82	USD	42,455.00	
07AUG17	S 3 PD26OCT17	6408.87	6490.82	USD	-6,146.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
23AUG17	B 17 PD26OCT17	6556.50	6490.82	USD	-27,914.00	
	NET 0 AVG BGHT 6368.42 SOLD 6335.91				**-77,222.25**	**0.00**
27JUL17	B 2 PD27OCT17	6348.00	6491.36	USD	7,168.00	
27JUL17	B 2 PD27OCT17	6346.50	6491.36	USD	7,243.00	
27JUL17	B 1 PD27OCT17	6344.00	6491.36	USD	3,684.00	
27JUL17	S 2 PD27OCT17	6351.50	6491.36	USD	-6,993.00	
27JUL17	S 1 PD27OCT17	6352.00	6491.36	USD	-3,484.00	
27JUL17	S 2 PD27OCT17	6353.00	6491.36	USD	-6,918.00	
27JUL17	B 1 PD27OCT17	6353.50	6491.36	USD	3,446.50	
27JUL17	B 2 PD27OCT17	6355.00	6491.36	USD	6,818.00	
27JUL17	S 1 PD27OCT17	6357.50	6491.36	USD	-3,346.50	
27JUL17	B 3 PD27OCT17	6358.00	6491.36	USD	10,002.00	
27JUL17	B 1 PD27OCT17	6359.00	6491.36	USD	3,309.00	
27JUL17	B 1 PD27OCT17	6360.50	6491.36	USD	3,271.50	
27JUL17	B 1 PD27OCT17	6360.00	6491.36	USD	3,284.00	
27JUL17	B 1 PD27OCT17	6358.50	6491.36	USD	3,321.50	
27JUL17	S 1 PD27OCT17	6358.00	6491.36	USD	-3,334.00	
27JUL17	S 1 PD27OCT17	6328.50	6491.36	USD	-4,071.50	
27JUL17	B 1 PD27OCT17	6327.50	6491.36	USD	4,096.50	
27JUL17	S 3 PD27OCT17	6321.50	6491.36	USD	-12,739.50	
27JUL17	S 1 PD27OCT17	6314.00	6491.36	USD	-4,434.00	
27JUL17	S 1 PD27OCT17	6324.00	6491.36	USD	-4,184.00	
27JUL17	S 1 PD27OCT17	6318.00	6491.36	USD	-4,334.00	
27JUL17	S 1 PD27OCT17	6319.50	6491.36	USD	-4,296.50	
27JUL17	S 1 PD27OCT17	6320.50	6491.36	USD	-4,271.50	
27JUL17	S 1 PD27OCT17	6349.50	6491.36	USD	-3,546.50	
27JUL17	S 1 PD27OCT17	6349.00	6491.36	USD	-3,559.00	
27JUL17	S 14 PD27OCT17	6347.00	6491.36	USD	-50,526.00	
27JUL17	S 1 PD27OCT17	6340.50	6491.36	USD	-3,771.50	
27JUL17	B 3 PD27OCT17	6340.00	6491.36	USD	11,352.00	
27JUL17	S 1 PD27OCT17	6331.50	6491.36	USD	-3,996.50	
27JUL17	S 1 PD27OCT17	6358.00	6491.36	USD	-3,334.00	
27JUL17	S 5 PD27OCT17	6351.00	6491.36	USD	-17,545.00	
27JUL17	S 3 PD27OCT17	6350.00	6491.36	USD	-10,602.00	
27JUL17	S 1 PD27OCT17	6345.50	6491.36	USD	-3,646.50	
27JUL17	S 1 PD27OCT17	6343.50	6491.36	USD	-3,696.50	
27JUL17	S 2 PD27OCT17	6340.00	6491.36	USD	-7,568.00	
27JUL17	S 1 PD27OCT17	6342.50	6491.36	USD	-3,721.50	
27JUL17	S 3 PD27OCT17	6354.50	6491.36	USD	-10,264.50	
27JUL17	S 8 PD27OCT17	6354.00	6491.36	USD	-27,472.00	
27JUL17	S 5 PD27OCT17	6371.50	6491.36	USD	-14,982.50	
27JUL17	S 3 PD27OCT17	6357.00	6491.36	USD	-10,077.00	
27JUL17	S 1 PD27OCT17	6355.50	6491.36	USD	-3,396.50	
27JUL17	B 6 PD27OCT17	6356.50	6491.36	USD	20,229.00	
27JUL17	B 9 PD27OCT17	6348.50	6491.36	USD	32,143.50	
27JUL17	B 1 PD27OCT17	6348.50	6491.36	USD	3,571.50	
27JUL17	B 1 PD27OCT17	6331.00	6491.36	USD	4,009.00	
27JUL17	B 1 PD27OCT17	6339.00	6491.36	USD	3,809.00	
27JUL17	B 2 PD27OCT17	6335.50	6491.36	USD	7,793.00	
28JUL17	B 1 PD27OCT17	6321.00	6491.36	USD	4,259.00	
28JUL17	B 1 PD27OCT17	6320.00	6491.36	USD	4,284.00	
28JUL17	B 1 PD27OCT17	6324.50	6491.36	USD	4,171.50	
28JUL17	B 3 PD27OCT17	6328.50	6491.36	USD	12,214.50	
28JUL17	B 1 PD27OCT17	6327.00	6491.36	USD	4,109.00	
28JUL17	B 3 PD27OCT17	6330.50	6491.36	USD	12,064.50	
28JUL17	B 1 PD27OCT17	6324.00	6491.36	USD	4,184.00	
28JUL17	S 2 PD27OCT17	6263.00	6491.36	USD	-11,418.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
28JUL17	S 1 PD27OCT17	6264.50	6491.36	USD	-5,671.50	
28JUL17	S 1 PD27OCT17	6267.00	6491.36	USD	-5,609.00	
28JUL17	B 1 PD27OCT17	6298.50	6491.36	USD	4,821.50	
28JUL17	B 2 PD27OCT17	6299.00	6491.36	USD	9,618.00	
28JUL17	B 1 PD27OCT17	6299.50	6491.36	USD	4,796.50	
28JUL17	S 1 PD27OCT17	6313.50	6491.36	USD	-4,446.50	
28JUL17	S 1 PD27OCT17	6314.00	6491.36	USD	-4,434.00	
28JUL17	S 1 PD27OCT17	6315.50	6491.36	USD	-4,396.50	
28JUL17	S 1 PD27OCT17	6320.00	6491.36	USD	-4,284.00	
28JUL17	S 1 PD27OCT17	6325.50	6491.36	USD	-4,146.50	
28JUL17	S 10 PD27OCT17	6312.00	6491.36	USD	-44,840.00	
28JUL17	S 2 PD27OCT17	6319.00	6491.36	USD	-8,618.00	
28JUL17	S 1 PD27OCT17	6321.50	6491.36	USD	-4,246.50	
28JUL17	S 4 PD27OCT17	6321.00	6491.36	USD	-17,036.00	
28JUL17	B 5 PD27OCT17	6316.00	6491.36	USD	21,920.00	
28JUL17	B 1 PD27OCT17	6319.50	6491.36	USD	4,296.50	
28JUL17	B 1 PD27OCT17	6322.50	6491.36	USD	4,221.50	
28JUL17	B 1 PD27OCT17	6323.50	6491.36	USD	4,196.50	
28JUL17	S 1 PD27OCT17	6322.50	6491.36	USD	-4,221.50	
28JUL17	B 1 PD27OCT17	6323.00	6491.36	USD	4,209.00	
28JUL17	S 5 PD27OCT17	6332.50	6491.36	USD	-19,857.50	
28JUL17	S 1 PD27OCT17	6323.00	6491.36	USD	-4,209.00	
28JUL17	S 3 PD27OCT17	6324.00	6491.36	USD	-12,552.00	
28JUL17	S 4 PD27OCT17	6324.50	6491.36	USD	-16,686.00	
28JUL17	S 1 PD27OCT17	6332.00	6491.36	USD	-3,984.00	
28JUL17	S 1 PD27OCT17	6334.00	6491.36	USD	-3,934.00	
28JUL17	S 1 PD27OCT17	6335.50	6491.36	USD	-3,896.50	
28JUL17	S 2 PD27OCT17	6337.00	6491.36	USD	-7,718.00	
28JUL17	S 2 PD27OCT17	6338.00	6491.36	USD	-7,668.00	
28JUL17	S 3 PD27OCT17	6339.00	6491.36	USD	-11,427.00	
28JUL17	S 2 PD27OCT17	6333.00	6491.36	USD	-7,918.00	
28JUL17	B 6 PD27OCT17	6325.00	6491.36	USD	24,954.00	
28JUL17	S 1 PD27OCT17	6326.00	6491.36	USD	-4,134.00	
28JUL17	S 3 PD27OCT17	6327.50	6491.36	USD	-12,289.50	
28JUL17	S 2 PD27OCT17	6328.50	6491.36	USD	-8,143.00	
28JUL17	S 3 PD27OCT17	6337.00	6491.36	USD	-11,577.00	
28JUL17	B 3 PD27OCT17	6327.50	6491.36	USD	12,289.50	
28JUL17	B 1 PD27OCT17	6326.00	6491.36	USD	4,134.00	
28JUL17	S 2 PD27OCT17	6335.00	6491.36	USD	-7,818.00	
28JUL17	B 2 PD27OCT17	6326.00	6491.36	USD	8,268.00	
03AUG17	B 8 PD27OCT17	6350.20	6491.36	USD	28,232.00	
08AUG17	B 48 PD27OCT17	6475.45	6491.36	USD	19,092.00	
	NET 0 AVG BGHT 6387.88 SOLD 6335.24				**-172,405.00**	**0.00**
31JUL17	B 1 PD31OCT17	6380.50	6493.50	USD	2,825.00	
31JUL17	B 2 PD31OCT17	6378.00	6493.50	USD	5,775.00	
31JUL17	S 1 PD31OCT17	6390.50	6493.50	USD	-2,575.00	
31JUL17	S 1 PD31OCT17	6345.50	6493.50	USD	-3,700.00	
31JUL17	B 1 PD31OCT17	6375.50	6493.50	USD	2,950.00	
31JUL17	S 5 PD31OCT17	6384.00	6493.50	USD	-13,687.50	
31JUL17	B 5 PD31OCT17	6373.00	6493.50	USD	15,062.50	
31JUL17	B 1 PD31OCT17	6377.00	6493.50	USD	2,912.50	
31JUL17	B 1 PD31OCT17	6383.50	6493.50	USD	2,750.00	
31JUL17	B 1 PD31OCT17	6377.50	6493.50	USD	2,900.00	
31JUL17	S 2 PD31OCT17	6346.00	6493.50	USD	-7,375.00	
31JUL17	S 1 PD31OCT17	6346.50	6493.50	USD	-3,675.00	
31JUL17	B 1 PD31OCT17	6378.50	6493.50	USD	2,875.00	
31JUL17	B 7 PD31OCT17	6363.00	6493.50	USD	22,837.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
31JUL17	B 3 PD31OCT17	6382.00	6493.50	USD	8,362.50	
31JUL17	B 3 PD31OCT17	6365.00	6493.50	USD	9,637.50	
31JUL17	S 2 PD31OCT17	6373.50	6493.50	USD	-6,000.00	
31JUL17	B 1 PD31OCT17	6386.50	6493.50	USD	2,675.00	
31JUL17	S 10 PD31OCT17	6368.00	6493.50	USD	-31,375.00	
31JUL17	B 2 PD31OCT17	6376.50	6493.50	USD	5,850.00	
31JUL17	B 3 PD31OCT17	6384.00	6493.50	USD	8,212.50	
31JUL17	B 1 PD31OCT17	6391.00	6493.50	USD	2,562.50	
31JUL17	B 1 PD31OCT17	6394.50	6493.50	USD	2,475.00	
31JUL17	B 1 PD31OCT17	6395.50	6493.50	USD	2,450.00	
31JUL17	B 1 PD31OCT17	6402.00	6493.50	USD	2,287.50	
31JUL17	B 2 PD31OCT17	6401.00	6493.50	USD	4,625.00	
31JUL17	B 1 PD31OCT17	6420.00	6493.50	USD	1,837.50	
31JUL17	B 1 PD31OCT17	6418.50	6493.50	USD	1,875.00	
31JUL17	B 2 PD31OCT17	6418.00	6493.50	USD	3,775.00	
31JUL17	S 1 PD31OCT17	6413.50	6493.50	USD	-2,000.00	
31JUL17	S 2 PD31OCT17	6400.00	6493.50	USD	-4,675.00	
31JUL17	B 6 PD31OCT17	6384.50	6493.50	USD	16,350.00	
31JUL17	B 1 PD31OCT17	6394.00	6493.50	USD	2,487.50	
31JUL17	B 1 PD31OCT17	6383.00	6493.50	USD	2,762.50	
31JUL17	S 1 PD31OCT17	6371.50	6493.50	USD	-3,050.00	
31JUL17	B 5 PD31OCT17	6368.00	6493.50	USD	15,687.50	
31JUL17	B 1 PD31OCT17	6354.00	6493.50	USD	3,487.50	
31JUL17	B 1 PD31OCT17	6352.50	6493.50	USD	3,525.00	
31JUL17	B 1 PD31OCT17	6348.00	6493.50	USD	3,637.50	
31JUL17	B 1 PD31OCT17	6349.00	6493.50	USD	3,612.50	
31JUL17	S 1 PD31OCT17	6378.00	6493.50	USD	-2,887.50	
31JUL17	S 2 PD31OCT17	6375.00	6493.50	USD	-5,925.00	
31JUL17	S 1 PD31OCT17	6375.50	6493.50	USD	-2,950.00	
31JUL17	S 3 PD31OCT17	6377.00	6493.50	USD	-8,737.50	
31JUL17	B 1 PD31OCT17	6365.50	6493.50	USD	3,200.00	
31JUL17	S 2 PD31OCT17	6372.00	6493.50	USD	-6,075.00	
31JUL17	S 2 PD31OCT17	6377.50	6493.50	USD	-5,800.00	
31JUL17	S 2 PD31OCT17	6376.50	6493.50	USD	-5,850.00	
31JUL17	S 1 PD31OCT17	6376.00	6493.50	USD	-2,937.50	
03AUG17	B 2 PD31OCT17	6351.34	6493.50	USD	7,108.00	
07AUG17	S 22 PD31OCT17	6410.94	6493.50	USD	-45,408.00	
07AUG17	S 2 PD31OCT17	6411.14	6493.50	USD	-4,118.00	
23AUG17	B 2 PD31OCT17	6559.00	6493.50	USD	-3,275.00	
	NET 0 AVG BGHT 6383.44 SOLD 6388.00				**7,294.50**	**0.00**
01AUG17	B 1 PD01NOV17	6375.00	6494.09	USD	2,977.25	
01AUG17	S 3 PD01NOV17	6352.00	6494.09	USD	-10,656.75	
01AUG17	S 3 PD01NOV17	6353.00	6494.09	USD	-10,581.75	
01AUG17	S 2 PD01NOV17	6354.50	6494.09	USD	-6,979.50	
01AUG17	B 4 PD01NOV17	6368.00	6494.09	USD	12,609.00	
01AUG17	B 1 PD01NOV17	6379.00	6494.09	USD	2,877.25	
01AUG17	B 1 PD01NOV17	6380.50	6494.09	USD	2,839.75	
01AUG17	B 1 PD01NOV17	6380.00	6494.09	USD	2,852.25	
01AUG17	B 1 PD01NOV17	6372.50	6494.09	USD	3,039.75	
01AUG17	B 3 PD01NOV17	6359.00	6494.09	USD	10,131.75	
01AUG17	B 1 PD01NOV17	6357.50	6494.09	USD	3,414.75	
01AUG17	B 6 PD01NOV17	6360.00	6494.09	USD	20,113.50	
01AUG17	B 1 PD01NOV17	6344.00	6494.09	USD	3,752.25	
01AUG17	B 1 PD01NOV17	6343.50	6494.09	USD	3,764.75	
01AUG17	B 1 PD01NOV17	6341.00	6494.09	USD	3,827.25	
01AUG17	B 1 PD01NOV17	6351.00	6494.09	USD	3,577.25	
01AUG17	B 1 PD01NOV17	6350.50	6494.09	USD	3,589.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
01AUG17	B 1 PD01NOV17	6354.00	6494.09	USD	3,502.25	
01AUG17	B 2 PD01NOV17	6352.50	6494.09	USD	7,079.50	
01AUG17	B 1 PD01NOV17	6349.00	6494.09	USD	3,627.25	
01AUG17	S 1 PD01NOV17	6331.00	6494.09	USD	-4,077.25	
01AUG17	S 1 PD01NOV17	6326.00	6494.09	USD	-4,202.25	
01AUG17	S 1 PD01NOV17	6336.50	6494.09	USD	-3,939.75	
01AUG17	S 1 PD01NOV17	6341.00	6494.09	USD	-3,827.25	
01AUG17	S 4 PD01NOV17	6340.50	6494.09	USD	-15,359.00	
01AUG17	S 1 PD01NOV17	6342.00	6494.09	USD	-3,802.25	
01AUG17	S 2 PD01NOV17	6342.50	6494.09	USD	-7,579.50	
01AUG17	S 1 PD01NOV17	6345.00	6494.09	USD	-3,727.25	
01AUG17	S 1 PD01NOV17	6345.50	6494.09	USD	-3,714.75	
01AUG17	S 3 PD01NOV17	6341.50	6494.09	USD	-11,444.25	
01AUG17	S 2 PD01NOV17	6344.00	6494.09	USD	-7,504.50	
01AUG17	S 3 PD01NOV17	6344.50	6494.09	USD	-11,219.25	
01AUG17	S 1 PD01NOV17	6350.50	6494.09	USD	-3,589.75	
07AUG17	S 2 PD01NOV17	6411.55	6494.09	USD	-4,127.00	
23AUG17	B 4 PD01NOV17	6559.50	6494.09	USD	-6,541.00	
	NET 0 AVG BGHT 6385.30 SOLD 6348.68				**-29,297.50**	**0.00**
02AUG17	S 1 PD02NOV17	6329.00	6494.69	USD	-4,142.25	
02AUG17	S 1 PD02NOV17	6326.00	6494.69	USD	-4,217.25	
02AUG17	S 1 PD02NOV17	6318.50	6494.69	USD	-4,404.75	
02AUG17	S 1 PD02NOV17	6316.00	6494.69	USD	-4,467.25	
02AUG17	S 1 PD02NOV17	6313.00	6494.69	USD	-4,542.25	
02AUG17	S 2 PD02NOV17	6314.50	6494.69	USD	-9,009.50	
02AUG17	B 1 PD02NOV17	6314.00	6494.69	USD	4,517.25	
02AUG17	B 2 PD02NOV17	6310.50	6494.69	USD	9,209.50	
02AUG17	B 2 PD02NOV17	6325.00	6494.69	USD	8,484.50	
02AUG17	B 1 PD02NOV17	6327.50	6494.69	USD	4,179.75	
02AUG17	B 1 PD02NOV17	6329.00	6494.69	USD	4,142.25	
02AUG17	B 3 PD02NOV17	6327.00	6494.69	USD	12,576.75	
02AUG17	B 1 PD02NOV17	6326.00	6494.69	USD	4,217.25	
02AUG17	B 2 PD02NOV17	6342.50	6494.69	USD	7,609.50	
02AUG17	B 1 PD02NOV17	6341.00	6494.69	USD	3,842.25	
02AUG17	B 1 PD02NOV17	6340.50	6494.69	USD	3,854.75	
02AUG17	B 1 PD02NOV17	6343.00	6494.69	USD	3,792.25	
02AUG17	B 1 PD02NOV17	6339.50	6494.69	USD	3,879.75	
02AUG17	B 2 PD02NOV17	6338.50	6494.69	USD	7,809.50	
02AUG17	S 1 PD02NOV17	6335.50	6494.69	USD	-3,979.75	
02AUG17	S 1 PD02NOV17	6337.00	6494.69	USD	-3,942.25	
02AUG17	S 1 PD02NOV17	6336.00	6494.69	USD	-3,967.25	
02AUG17	S 1 PD02NOV17	6336.50	6494.69	USD	-3,954.75	
02AUG17	B 1 PD02NOV17	6342.00	6494.69	USD	3,817.25	
02AUG17	S 1 PD02NOV17	6352.50	6494.69	USD	-3,554.75	
02AUG17	S 2 PD02NOV17	6352.00	6494.69	USD	-7,134.50	
02AUG17	S 1 PD02NOV17	6353.00	6494.69	USD	-3,542.25	
07AUG17	S 5 PD02NOV17	6411.95	6494.69	USD	-10,342.50	
	NET 0 AVG BGHT 6330.83 SOLD 6352.29				**10,731.25**	**0.00**
03AUG17	S 3 PD03NOV17	6341.00	6495.28	USD	-11,571.00	
03AUG17	S 1 PD03NOV17	6358.00	6495.28	USD	-3,432.00	
03AUG17	S 1 PD03NOV17	6359.50	6495.28	USD	-3,394.50	
03AUG17	S 1 PD03NOV17	6364.50	6495.28	USD	-3,269.50	
03AUG17	S 1 PD03NOV17	6365.50	6495.28	USD	-3,244.50	
03AUG17	S 2 PD03NOV17	6366.50	6495.28	USD	-6,439.00	
03AUG17	S 1 PD03NOV17	6365.00	6495.28	USD	-3,257.00	
03AUG17	B 1 PD03NOV17	6364.00	6495.28	USD	3,282.00	
03AUG17	B 1 PD03NOV17	6371.50	6495.28	USD	3,094.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
03AUG17	B 1 PD03NOV17	6370.00	6495.28	USD	3,132.00	
03AUG17	B 1 PD03NOV17	6374.00	6495.28	USD	3,032.00	
03AUG17	S 1 PD03NOV17	6338.00	6495.28	USD	-3,932.00	
03AUG17	S 2 PD03NOV17	6337.50	6495.28	USD	-7,889.00	
03AUG17	B 3 PD03NOV17	6336.00	6495.28	USD	11,946.00	
03AUG17	B 1 PD03NOV17	6337.50	6495.28	USD	3,944.50	
03AUG17	B 2 PD03NOV17	6337.00	6495.28	USD	7,914.00	
03AUG17	B 6 PD03NOV17	6336.50	6495.28	USD	23,817.00	
03AUG17	S 1 PD03NOV17	6307.50	6495.28	USD	-4,694.50	
03AUG17	S 1 PD03NOV17	6308.00	6495.28	USD	-4,682.00	
03AUG17	S 1 PD03NOV17	6340.00	6495.28	USD	-3,882.00	
03AUG17	S 1 PD03NOV17	6340.50	6495.28	USD	-3,869.50	
03AUG17	S 2 PD03NOV17	6334.50	6495.28	USD	-8,039.00	
03AUG17	S 2 PD03NOV17	6337.00	6495.28	USD	-7,914.00	
03AUG17	S 3 PD03NOV17	6335.50	6495.28	USD	-11,983.50	
03AUG17	S 2 PD03NOV17	6336.00	6495.28	USD	-7,964.00	
03AUG17	S 1 PD03NOV17	6333.50	6495.28	USD	-4,044.50	
03AUG17	S 2 PD03NOV17	6335.00	6495.28	USD	-8,014.00	
03AUG17	S 1 PD03NOV17	6343.50	6495.28	USD	-3,794.50	
03AUG17	S 1 PD03NOV17	6344.50	6495.28	USD	-3,769.50	
03AUG17	S 2 PD03NOV17	6341.50	6495.28	USD	-7,689.00	
03AUG17	S 2 PD03NOV17	6342.00	6495.28	USD	-7,664.00	
03AUG17	S 1 PD03NOV17	6340.00	6495.28	USD	-3,882.00	
03AUG17	S 1 PD03NOV17	6338.00	6495.28	USD	-3,932.00	
03AUG17	B 1 PD03NOV17	6349.50	6495.28	USD	3,644.50	
03AUG17	B 1 PD03NOV17	6350.00	6495.28	USD	3,632.00	
04AUG17	B 1 PD03NOV17	6363.50	6495.28	USD	3,294.50	
04AUG17	B 2 PD03NOV17	6361.50	6495.28	USD	6,689.00	
04AUG17	B 2 PD03NOV17	6362.50	6495.28	USD	6,639.00	
04AUG17	B 5 PD03NOV17	6362.00	6495.28	USD	16,660.00	
04AUG17	B 1 PD03NOV17	6369.50	6495.28	USD	3,144.50	
04AUG17	B 1 PD03NOV17	6370.50	6495.28	USD	3,119.50	
04AUG17	B 2 PD03NOV17	6370.00	6495.28	USD	6,264.00	
04AUG17	B 1 PD03NOV17	6369.00	6495.28	USD	3,157.00	
04AUG17	B 2 PD03NOV17	6364.50	6495.28	USD	6,539.00	
04AUG17	S 1 PD03NOV17	6367.00	6495.28	USD	-3,207.00	
04AUG17	B 1 PD03NOV17	6373.50	6495.28	USD	3,044.50	
04AUG17	S 1 PD03NOV17	6361.50	6495.28	USD	-3,344.50	
04AUG17	B 1 PD03NOV17	6374.50	6495.28	USD	3,019.50	
04AUG17	B 3 PD03NOV17	6380.00	6495.28	USD	8,646.00	
04AUG17	S 1 PD03NOV17	6347.50	6495.28	USD	-3,694.50	
04AUG17	S 1 PD03NOV17	6345.00	6495.28	USD	-3,757.00	
04AUG17	S 2 PD03NOV17	6346.50	6495.28	USD	-7,439.00	
04AUG17	S 1 PD03NOV17	6345.50	6495.28	USD	-3,744.50	
04AUG17	S 2 PD03NOV17	6347.00	6495.28	USD	-7,414.00	
04AUG17	S 1 PD03NOV17	6348.00	6495.28	USD	-3,682.00	
04AUG17	S 1 PD03NOV17	6342.00	6495.28	USD	-3,832.00	
04AUG17	B 1 PD03NOV17	6326.00	6495.28	USD	4,232.00	
04AUG17	B 1 PD03NOV17	6323.00	6495.28	USD	4,307.00	
04AUG17	B 1 PD03NOV17	6322.50	6495.28	USD	4,319.50	
04AUG17	B 1 PD03NOV17	6320.00	6495.28	USD	4,382.00	
04AUG17	B 3 PD03NOV17	6326.50	6495.28	USD	12,658.50	
04AUG17	S 1 PD03NOV17	6331.00	6495.28	USD	-4,107.00	
04AUG17	S 1 PD03NOV17	6334.00	6495.28	USD	-4,032.00	
04AUG17	S 1 PD03NOV17	6335.50	6495.28	USD	-3,994.50	
04AUG17	S 2 PD03NOV17	6334.50	6495.28	USD	-8,039.00	
04AUG17	B 1 PD03NOV17	6321.00	6495.28	USD	4,357.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
07AUG17	B 9 PD03NOV17	6412.56	6495.28	USD	18,612.00	
22AUG17	S 4 PD03NOV17	6575.65	6495.28	USD	8,037.00	
	NET 0 AVG BGHT 6361.58 SOLD 6358.79				**-3,973.50**	**0.00**
10AUG17	B 1 PD10NOV17	6463.50	6499.18	USD	892.00	
10AUG17	B 1 PD10NOV17	6425.50	6499.18	USD	1,842.00	
10AUG17	S 1 PD10NOV17	6405.50	6499.18	USD	-2,342.00	
10AUG17	S 1 PD10NOV17	6415.00	6499.18	USD	-2,104.50	
11AUG17	S 1 PD10NOV17	6367.50	6499.18	USD	-3,292.00	
11AUG17	S 2 PD10NOV17	6365.50	6499.18	USD	-6,684.00	
11AUG17	S 1 PD10NOV17	6360.00	6499.18	USD	-3,479.50	
11AUG17	S 1 PD10NOV17	6342.00	6499.18	USD	-3,929.50	
11AUG17	S 1 PD10NOV17	6349.50	6499.18	USD	-3,742.00	
11AUG17	S 1 PD10NOV17	6406.00	6499.18	USD	-2,329.50	
11AUG17	S 1 PD10NOV17	6430.50	6499.18	USD	-1,717.00	
18AUG17	B 4 PD10NOV17	6486.75	6499.18	USD	1,243.00	
22AUG17	B 4 PD10NOV17	6579.45	6499.18	USD	-8,027.00	
	NET 0 AVG BGHT 6515.38 SOLD 6380.70				**-33,670.00**	**0.00**
14AUG17	S 1 PD14NOV17	6416.50	6501.04	USD	-2,113.50	
14AUG17	S 1 PD14NOV17	6403.50	6501.04	USD	-2,438.50	
18AUG17	B 2 PD14NOV17	6488.00	6501.04	USD	652.00	
	NET 0 AVG BGHT 6488.00 SOLD 6410.00				**-3,900.00**	**0.00**
15AUG17	B 1 PD15NOV17	6420.00	6501.50	USD	2,037.50	
15AUG17	S 1 PD15NOV17	6384.00	6501.50	USD	-2,937.50	
	NET 0 AVG BGHT 6420.00 SOLD 6384.00				**-900.00**	**0.00**
16AUG17	B 1 PD16NOV17	6383.50	6501.00	USD	2,937.50	
16AUG17	B 1 PD16NOV17	6516.00	6501.00	USD	-375.00	
16AUG17	S 1 PD16NOV17	6541.00	6501.00	USD	1,000.00	
16AUG17	S 1 PD16NOV17	6546.50	6501.00	USD	1,137.50	
16AUG17	S 1 PD16NOV17	6479.00	6501.00	USD	-550.00	
16AUG17	B 1 PD16NOV17	6544.00	6501.00	USD	-1,075.00	
	NET 0 AVG BGHT 6481.17 SOLD 6522.17				**3,075.00**	**0.00**
17AUG17	B 1 PD17NOV17	6553.50	6501.60	USD	-1,297.50	
17AUG17	B 1 PD17NOV17	6569.00	6501.60	USD	-1,685.00	
17AUG17	B 1 PD17NOV17	6579.50	6501.60	USD	-1,947.50	
17AUG17	B 1 PD17NOV17	6481.50	6501.60	USD	502.50	
17AUG17	B 1 PD17NOV17	6484.50	6501.60	USD	427.50	
17AUG17	B 1 PD17NOV17	6485.50	6501.60	USD	402.50	
17AUG17	B 1 PD17NOV17	6475.50	6501.60	USD	652.50	
18AUG17	B 9 PD17NOV17	6496.00	6501.60	USD	1,260.00	
18AUG17	B 4 PD17NOV17	6508.00	6501.60	USD	-640.00	
18AUG17	B 4 PD17NOV17	6501.50	6501.60	USD	10.00	
18AUG17	B 3 PD17NOV17	6505.50	6501.60	USD	-292.50	
18AUG17	S 4 PD17NOV17	6505.00	6501.60	USD	340.00	
18AUG17	B 1 PD17NOV17	6505.50	6501.60	USD	-97.50	
18AUG17	B 3 PD17NOV17	6510.00	6501.60	USD	-630.00	
18AUG17	B 4 PD17NOV17	6520.00	6501.60	USD	-1,840.00	
18AUG17	B 3 PD17NOV17	6524.00	6501.60	USD	-1,680.00	
18AUG17	B 4 PD17NOV17	6530.00	6501.60	USD	-2,840.00	
18AUG17	B 4 PD17NOV17	6527.50	6501.60	USD	-2,590.00	
18AUG17	B 1 PD17NOV17	6510.00	6501.60	USD	-210.00	
18AUG17	B 1 PD17NOV17	6524.00	6501.60	USD	-560.00	
18AUG17	S 7 PD17NOV17	6485.80	6501.60	USD	-2,765.00	
23AUG17	S 37 PD17NOV17	6563.49	6501.60	USD	57,248.25	
	NET 0 AVG BGHT 6512.48 SOLD 6547.29				**41,768.25**	**0.00**
	LME LEAD 25 TONNES. THIS IS AN					

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
04JUL17	S 3 PD04OCT17	2328.50	2584.77	USD	-19,220.25	
04JUL17	S 5 PD04OCT17	2328.00	2584.77	USD	-32,096.25	
04JUL17	S 1 PD04OCT17	2320.50	2584.77	USD	-6,606.75	
04JUL17	S 1 PD04OCT17	2322.50	2584.77	USD	-6,556.75	
04JUL17	S 1 PD04OCT17	2316.50	2584.77	USD	-6,706.75	
04JUL17	S 2 PD04OCT17	2320.00	2584.77	USD	-13,238.50	
04JUL17	S 3 PD04OCT17	2318.50	2584.77	USD	-19,970.25	
04JUL17	S 2 PD04OCT17	2316.00	2584.77	USD	-13,438.50	
04JUL17	S 1 PD04OCT17	2315.50	2584.77	USD	-6,731.75	
04JUL17	S 1 PD04OCT17	2315.00	2584.77	USD	-6,744.25	
04JUL17	S 1 PD04OCT17	2313.50	2584.77	USD	-6,781.75	
04JUL17	S 5 PD04OCT17	2318.00	2584.77	USD	-33,346.25	
04JUL17	S 5 PD04OCT17	2317.00	2584.77	USD	-33,471.25	
04JUL17	S 1 PD04OCT17	2312.00	2584.77	USD	-6,819.25	
04JUL17	S 6 PD04OCT17	2312.50	2584.77	USD	-40,840.50	
04JUL17	S 3 PD04OCT17	2313.00	2584.77	USD	-20,382.75	
04JUL17	S 3 PD04OCT17	2314.50	2584.77	USD	-20,270.25	
04JUL17	S 2 PD04OCT17	2305.50	2584.77	USD	-13,963.50	
04JUL17	S 2 PD04OCT17	2303.50	2584.77	USD	-14,063.50	
04JUL17	S 1 PD04OCT17	2303.00	2584.77	USD	-7,044.25	
04JUL17	S 1 PD04OCT17	2304.00	2584.77	USD	-7,019.25	
04JUL17	S 1 PD04OCT17	2318.50	2584.77	USD	-6,656.75	
04JUL17	S 1 PD04OCT17	2317.00	2584.77	USD	-6,694.25	
04JUL17	B 6 PD04OCT17	2292.00	2584.77	USD	43,915.50	
04JUL17	B 1 PD04OCT17	2292.50	2584.77	USD	7,306.75	
04JUL17	B 1 PD04OCT17	2295.00	2584.77	USD	7,244.25	
04JUL17	B 2 PD04OCT17	2294.50	2584.77	USD	14,513.50	
04JUL17	B 2 PD04OCT17	2299.00	2584.77	USD	14,288.50	
04JUL17	B 7 PD04OCT17	2299.50	2584.77	USD	49,922.25	
04JUL17	B 3 PD04OCT17	2298.00	2584.77	USD	21,507.75	
04JUL17	B 3 PD04OCT17	2293.50	2584.77	USD	21,845.25	
04JUL17	B 5 PD04OCT17	2293.00	2584.77	USD	36,471.25	
04JUL17	B 2 PD04OCT17	2297.00	2584.77	USD	14,388.50	
04JUL17	B 2 PD04OCT17	2297.50	2584.77	USD	14,363.50	
04JUL17	B 2 PD04OCT17	2298.50	2584.77	USD	14,313.50	
04JUL17	B 1 PD04OCT17	2300.50	2584.77	USD	7,106.75	
04JUL17	S 1 PD04OCT17	2298.50	2584.77	USD	-7,156.75	
04JUL17	S 1 PD04OCT17	2298.00	2584.77	USD	-7,169.25	
04JUL17	S 1 PD04OCT17	2296.00	2584.77	USD	-7,219.25	
04JUL17	S 1 PD04OCT17	2297.50	2584.77	USD	-7,181.75	
04JUL17	S 3 PD04OCT17	2295.00	2584.77	USD	-21,732.75	
04JUL17	B 1 PD04OCT17	2308.00	2584.77	USD	6,919.25	
04JUL17	B 1 PD04OCT17	2306.00	2584.77	USD	6,969.25	
04JUL17	S 1 PD04OCT17	2296.50	2584.77	USD	-7,206.75	
04JUL17	S 1 PD04OCT17	2295.50	2584.77	USD	-7,231.75	
04JUL17	B 1 PD04OCT17	2303.50	2584.77	USD	7,031.75	
04JUL17	B 4 PD04OCT17	2302.50	2584.77	USD	28,227.00	
04JUL17	B 1 PD04OCT17	2302.00	2584.77	USD	7,069.25	
04JUL17	B 3 PD04OCT17	2295.50	2584.77	USD	21,695.25	
04JUL17	B 4 PD04OCT17	2296.50	2584.77	USD	28,827.00	
04JUL17	B 2 PD04OCT17	2296.00	2584.77	USD	14,438.50	
04JUL17	B 2 PD04OCT17	2294.00	2584.77	USD	14,538.50	
04JUL17	B 1 PD04OCT17	2296.50	2584.77	USD	7,206.75	
18JUL17	B 4 PD04OCT17	2272.63	2584.77	USD	31,214.00	
	NET 0 AVG BGHT 2295.38 SOLD 2313.58				**27,762.00**	**0.00**
05JUL17	B 4 PD05OCT17	2293.00	2585.00	USD	29,200.00	
05JUL17	S 1 PD05OCT17	2285.50	2585.00	USD	-7,487.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
05JUL17	S 1 PD05OCT17	2285.00	2585.00	USD	-7,500.00	
05JUL17	S 2 PD05OCT17	2284.00	2585.00	USD	-15,050.00	
05JUL17	S 2 PD05OCT17	2277.50	2585.00	USD	-15,375.00	
05JUL17	S 1 PD05OCT17	2280.50	2585.00	USD	-7,612.50	
05JUL17	S 2 PD05OCT17	2286.00	2585.00	USD	-14,950.00	
05JUL17	S 5 PD05OCT17	2287.00	2585.00	USD	-37,250.00	
05JUL17	S 1 PD05OCT17	2288.00	2585.00	USD	-7,425.00	
05JUL17	S 3 PD05OCT17	2289.00	2585.00	USD	-22,200.00	
05JUL17	S 1 PD05OCT17	2295.00	2585.00	USD	-7,250.00	
05JUL17	S 4 PD05OCT17	2297.50	2585.00	USD	-28,750.00	
05JUL17	S 1 PD05OCT17	2299.00	2585.00	USD	-7,150.00	
05JUL17	S 2 PD05OCT17	2298.00	2585.00	USD	-14,350.00	
05JUL17	S 2 PD05OCT17	2298.50	2585.00	USD	-14,325.00	
05JUL17	S 2 PD05OCT17	2299.50	2585.00	USD	-14,275.00	
05JUL17	S 1 PD05OCT17	2295.50	2585.00	USD	-7,237.50	
05JUL17	S 1 PD05OCT17	2296.50	2585.00	USD	-7,212.50	
05JUL17	S 1 PD05OCT17	2296.00	2585.00	USD	-7,225.00	
05JUL17	S 1 PD05OCT17	2294.00	2585.00	USD	-7,275.00	
05JUL17	S 5 PD05OCT17	2286.50	2585.00	USD	-37,312.50	
05JUL17	S 1 PD05OCT17	2283.50	2585.00	USD	-7,537.50	
05JUL17	S 1 PD05OCT17	2284.50	2585.00	USD	-7,512.50	
05JUL17	S 2 PD05OCT17	2280.00	2585.00	USD	-15,250.00	
05JUL17	S 3 PD05OCT17	2278.50	2585.00	USD	-22,987.50	
05JUL17	S 2 PD05OCT17	2281.00	2585.00	USD	-15,200.00	
05JUL17	S 2 PD05OCT17	2282.00	2585.00	USD	-15,150.00	
05JUL17	S 3 PD05OCT17	2283.00	2585.00	USD	-22,650.00	
05JUL17	S 2 PD05OCT17	2282.50	2585.00	USD	-15,125.00	
05JUL17	S 5 PD05OCT17	2281.50	2585.00	USD	-37,937.50	
05JUL17	S 1 PD05OCT17	2279.50	2585.00	USD	-7,637.50	
05JUL17	S 5 PD05OCT17	2279.00	2585.00	USD	-38,250.00	
05JUL17	B 1 PD05OCT17	2282.00	2585.00	USD	7,575.00	
05JUL17	B 2 PD05OCT17	2282.50	2585.00	USD	15,125.00	
05JUL17	B 1 PD05OCT17	2283.00	2585.00	USD	7,550.00	
05JUL17	B 1 PD05OCT17	2273.50	2585.00	USD	7,787.50	
05JUL17	B 1 PD05OCT17	2270.50	2585.00	USD	7,862.50	
05JUL17	B 1 PD05OCT17	2275.50	2585.00	USD	7,737.50	
05JUL17	B 2 PD05OCT17	2271.00	2585.00	USD	15,700.00	
05JUL17	B 4 PD05OCT17	2266.50	2585.00	USD	31,850.00	
05JUL17	B 1 PD05OCT17	2265.00	2585.00	USD	8,000.00	
05JUL17	B 1 PD05OCT17	2262.50	2585.00	USD	8,062.50	
05JUL17	B 1 PD05OCT17	2262.00	2585.00	USD	8,075.00	
05JUL17	B 5 PD05OCT17	2257.00	2585.00	USD	41,000.00	
05JUL17	B 3 PD05OCT17	2259.00	2585.00	USD	24,450.00	
05JUL17	B 2 PD05OCT17	2257.50	2585.00	USD	16,375.00	
05JUL17	B 1 PD05OCT17	2256.00	2585.00	USD	8,225.00	
05JUL17	B 1 PD05OCT17	2253.50	2585.00	USD	8,287.50	
05JUL17	B 2 PD05OCT17	2250.50	2585.00	USD	16,725.00	
05JUL17	B 3 PD05OCT17	2251.00	2585.00	USD	25,050.00	
05JUL17	B 3 PD05OCT17	2252.00	2585.00	USD	24,975.00	
05JUL17	B 1 PD05OCT17	2249.00	2585.00	USD	8,400.00	
05JUL17	B 1 PD05OCT17	2247.50	2585.00	USD	8,437.50	
05JUL17	S 2 PD05OCT17	2251.50	2585.00	USD	-16,675.00	
05JUL17	B 6 PD05OCT17	2255.00	2585.00	USD	49,500.00	
05JUL17	S 1 PD05OCT17	2258.00	2585.00	USD	-8,175.00	
05JUL17	S 3 PD05OCT17	2258.50	2585.00	USD	-24,487.50	
05JUL17	S 1 PD05OCT17	2261.50	2585.00	USD	-8,087.50	
05JUL17	S 2 PD05OCT17	2263.00	2585.00	USD	-16,100.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
05JUL17	S 2 PD05OCT17	2263.50	2585.00	USD	-16,075.00	
05JUL17	S 1 PD05OCT17	2264.00	2585.00	USD	-8,025.00	
05JUL17	S 1 PD05OCT17	2265.50	2585.00	USD	-7,987.50	
05JUL17	S 1 PD05OCT17	2266.00	2585.00	USD	-7,975.00	
05JUL17	S 1 PD05OCT17	2266.50	2585.00	USD	-7,962.50	
05JUL17	S 1 PD05OCT17	2268.00	2585.00	USD	-7,925.00	
05JUL17	S 1 PD05OCT17	2269.00	2585.00	USD	-7,900.00	
05JUL17	S 1 PD05OCT17	2272.00	2585.00	USD	-7,825.00	
05JUL17	S 2 PD05OCT17	2270.00	2585.00	USD	-15,750.00	
05JUL17	S 2 PD05OCT17	2270.50	2585.00	USD	-15,725.00	
05JUL17	S 3 PD05OCT17	2271.00	2585.00	USD	-23,550.00	
05JUL17	S 1 PD05OCT17	2272.50	2585.00	USD	-7,812.50	
05JUL17	S 2 PD05OCT17	2274.00	2585.00	USD	-15,550.00	
05JUL17	S 5 PD05OCT17	2273.00	2585.00	USD	-39,000.00	
05JUL17	S 1 PD05OCT17	2273.50	2585.00	USD	-7,787.50	
10JUL17	B 20 PD05OCT17	2319.55	2585.00	USD	132,725.00	
18JUL17	B 32 PD05OCT17	2272.79	2585.00	USD	249,768.00	
	NET 0 AVG BGHT 2277.62 SOLD 2279.87				**5,618.00**	**0.00**
06JUL17	B 1 PD06OCT17	2275.50	2585.23	USD	7,743.25	
06JUL17	B 2 PD06OCT17	2276.00	2585.23	USD	15,461.50	
06JUL17	B 3 PD06OCT17	2273.50	2585.23	USD	23,379.75	
06JUL17	B 1 PD06OCT17	2270.50	2585.23	USD	7,868.25	
06JUL17	B 5 PD06OCT17	2271.50	2585.23	USD	39,216.25	
06JUL17	B 1 PD06OCT17	2272.00	2585.23	USD	7,830.75	
06JUL17	S 1 PD06OCT17	2269.00	2585.23	USD	-7,905.75	
06JUL17	S 2 PD06OCT17	2268.00	2585.23	USD	-15,861.50	
06JUL17	S 2 PD06OCT17	2268.50	2585.23	USD	-15,836.50	
06JUL17	B 1 PD06OCT17	2257.00	2585.23	USD	8,205.75	
06JUL17	B 1 PD06OCT17	2258.50	2585.23	USD	8,168.25	
06JUL17	B 2 PD06OCT17	2261.00	2585.23	USD	16,211.50	
06JUL17	B 1 PD06OCT17	2261.50	2585.23	USD	8,093.25	
06JUL17	B 8 PD06OCT17	2264.50	2585.23	USD	64,146.00	
06JUL17	B 1 PD06OCT17	2263.50	2585.23	USD	8,043.25	
06JUL17	B 2 PD06OCT17	2264.00	2585.23	USD	16,061.50	
06JUL17	B 1 PD06OCT17	2268.50	2585.23	USD	7,918.25	
06JUL17	S 1 PD06OCT17	2271.00	2585.23	USD	-7,855.75	
06JUL17	B 1 PD06OCT17	2256.50	2585.23	USD	8,218.25	
06JUL17	B 1 PD06OCT17	2261.00	2585.23	USD	8,105.75	
06JUL17	B 1 PD06OCT17	2260.50	2585.23	USD	8,118.25	
06JUL17	B 1 PD06OCT17	2271.50	2585.23	USD	7,843.25	
06JUL17	S 1 PD06OCT17	2280.50	2585.23	USD	-7,618.25	
06JUL17	S 1 PD06OCT17	2282.50	2585.23	USD	-7,568.25	
06JUL17	S 2 PD06OCT17	2280.00	2585.23	USD	-15,261.50	
06JUL17	S 1 PD06OCT17	2277.00	2585.23	USD	-7,705.75	
06JUL17	S 1 PD06OCT17	2278.50	2585.23	USD	-7,668.25	
06JUL17	S 2 PD06OCT17	2278.00	2585.23	USD	-15,361.50	
06JUL17	B 2 PD06OCT17	2275.00	2585.23	USD	15,511.50	
06JUL17	B 4 PD06OCT17	2276.50	2585.23	USD	30,873.00	
06JUL17	B 2 PD06OCT17	2274.00	2585.23	USD	15,561.50	
06JUL17	B 1 PD06OCT17	2281.00	2585.23	USD	7,605.75	
06JUL17	S 2 PD06OCT17	2279.50	2585.23	USD	-15,286.50	
06JUL17	S 3 PD06OCT17	2279.00	2585.23	USD	-22,967.25	
06JUL17	S 1 PD06OCT17	2283.00	2585.23	USD	-7,555.75	
06JUL17	S 1 PD06OCT17	2280.00	2585.23	USD	-7,630.75	
06JUL17	B 1 PD06OCT17	2276.50	2585.23	USD	7,718.25	
06JUL17	S 1 PD06OCT17	2287.00	2585.23	USD	-7,455.75	
06JUL17	S 2 PD06OCT17	2288.00	2585.23	USD	-14,861.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
06JUL17	S	2	PD06OCT17	2285.50	2585.23	USD	-14,986.50	
06JUL17	S	1	PD06OCT17	2286.00	2585.23	USD	-7,480.75	
06JUL17	S	2	PD06OCT17	2287.50	2585.23	USD	-14,886.50	
06JUL17	S	1	PD06OCT17	2284.50	2585.23	USD	-7,518.25	
06JUL17	S	1	PD06OCT17	2285.00	2585.23	USD	-7,505.75	
06JUL17	B	1	PD06OCT17	2285.00	2585.23	USD	7,505.75	
06JUL17	S	3	PD06OCT17	2286.50	2585.23	USD	-22,404.75	
06JUL17	B	1	PD06OCT17	2282.00	2585.23	USD	7,580.75	
07JUL17	S	1	PD06OCT17	2284.00	2585.23	USD	-7,530.75	
07JUL17	S	3	PD06OCT17	2285.00	2585.23	USD	-22,517.25	
07JUL17	S	4	PD06OCT17	2286.00	2585.23	USD	-29,923.00	
07JUL17	S	2	PD06OCT17	2285.50	2585.23	USD	-14,986.50	
07JUL17	B	2	PD06OCT17	2288.00	2585.23	USD	14,861.50	
07JUL17	B	3	PD06OCT17	2285.50	2585.23	USD	22,479.75	
07JUL17	B	1	PD06OCT17	2280.00	2585.23	USD	7,630.75	
07JUL17	B	5	PD06OCT17	2285.00	2585.23	USD	37,528.75	
07JUL17	B	3	PD06OCT17	2289.00	2585.23	USD	22,217.25	
07JUL17	B	5	PD06OCT17	2292.50	2585.23	USD	36,591.25	
07JUL17	B	2	PD06OCT17	2293.00	2585.23	USD	14,611.50	
07JUL17	S	1	PD06OCT17	2306.00	2585.23	USD	-6,980.75	
07JUL17	S	1	PD06OCT17	2302.50	2585.23	USD	-7,068.25	
07JUL17	S	2	PD06OCT17	2301.50	2585.23	USD	-14,186.50	
07JUL17	S	2	PD06OCT17	2301.00	2585.23	USD	-14,211.50	
07JUL17	B	2	PD06OCT17	2301.50	2585.23	USD	14,186.50	
07JUL17	B	1	PD06OCT17	2284.00	2585.23	USD	7,530.75	
07JUL17	B	1	PD06OCT17	2290.00	2585.23	USD	7,380.75	
07JUL17	B	6	PD06OCT17	2286.50	2585.23	USD	44,809.50	
07JUL17	B	3	PD06OCT17	2287.50	2585.23	USD	22,329.75	
07JUL17	B	2	PD06OCT17	2288.50	2585.23	USD	14,836.50	
07JUL17	B	2	PD06OCT17	2287.00	2585.23	USD	14,911.50	
07JUL17	B	1	PD06OCT17	2299.50	2585.23	USD	7,143.25	
07JUL17	B	1	PD06OCT17	2300.00	2585.23	USD	7,130.75	
07JUL17	B	1	PD06OCT17	2301.00	2585.23	USD	7,105.75	
07JUL17	B	1	PD06OCT17	2299.00	2585.23	USD	7,155.75	
07JUL17	S	1	PD06OCT17	2294.00	2585.23	USD	-7,280.75	
07JUL17	B	1	PD06OCT17	2281.00	2585.23	USD	7,605.75	
07JUL17	B	4	PD06OCT17	2283.00	2585.23	USD	30,223.00	
07JUL17	B	8	PD06OCT17	2284.50	2585.23	USD	60,146.00	
07JUL17	B	2	PD06OCT17	2284.00	2585.23	USD	15,061.50	
07JUL17	B	6	PD06OCT17	2286.00	2585.23	USD	44,884.50	
07JUL17	S	3	PD06OCT17	2288.50	2585.23	USD	-22,254.75	
07JUL17	S	1	PD06OCT17	2293.50	2585.23	USD	-7,293.25	
07JUL17	S	2	PD06OCT17	2294.50	2585.23	USD	-14,536.50	
07JUL17	S	2	PD06OCT17	2298.00	2585.23	USD	-14,361.50	
07JUL17	S	1	PD06OCT17	2297.00	2585.23	USD	-7,205.75	
07JUL17	S	5	PD06OCT17	2296.50	2585.23	USD	-36,091.25	
07JUL17	S	2	PD06OCT17	2296.00	2585.23	USD	-14,461.50	
07JUL17	S	1	PD06OCT17	2295.50	2585.23	USD	-7,243.25	
07JUL17	S	2	PD06OCT17	2297.50	2585.23	USD	-14,386.50	
07JUL17	S	3	PD06OCT17	2298.50	2585.23	USD	-21,504.75	
10JUL17	S	36	PD06OCT17	2319.44	2585.23	USD	-239,211.00	
	NET	**0**	**AVG BGHT 2280.15**	**SOLD 2298.10**			**48,933.50**	**0.00**
10JUL17	B	1	PD10OCT17	2299.00	2586.15	USD	7,178.75	
10JUL17	B	3	PD10OCT17	2298.50	2586.15	USD	21,573.75	
10JUL17	B	1	PD10OCT17	2296.00	2586.15	USD	7,253.75	
10JUL17	S	1	PD10OCT17	2295.50	2586.15	USD	-7,266.25	
10JUL17	S	1	PD10OCT17	2289.00	2586.15	USD	-7,428.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
10JUL17	S 2 PD10OCT17	2284.50	2586.15	USD	-15,082.50	
10JUL17	B 1 PD10OCT17	2298.00	2586.15	USD	7,203.75	
10JUL17	S 2 PD10OCT17	2286.00	2586.15	USD	-15,007.50	
10JUL17	B 1 PD10OCT17	2295.00	2586.15	USD	7,278.75	
10JUL17	B 2 PD10OCT17	2294.00	2586.15	USD	14,607.50	
10JUL17	S 3 PD10OCT17	2284.00	2586.15	USD	-22,661.25	
10JUL17	B 1 PD10OCT17	2294.50	2586.15	USD	7,291.25	
10JUL17	S 5 PD10OCT17	2285.50	2586.15	USD	-37,581.25	
10JUL17	S 2 PD10OCT17	2293.00	2586.15	USD	-14,657.50	
10JUL17	S 4 PD10OCT17	2285.00	2586.15	USD	-30,115.00	
10JUL17	S 1 PD10OCT17	2276.50	2586.15	USD	-7,741.25	
10JUL17	S 1 PD10OCT17	2285.00	2586.15	USD	-7,528.75	
10JUL17	S 2 PD10OCT17	2283.50	2586.15	USD	-15,132.50	
10JUL17	S 1 PD10OCT17	2283.00	2586.15	USD	-7,578.75	
10JUL17	S 1 PD10OCT17	2282.50	2586.15	USD	-7,591.25	
10JUL17	S 1 PD10OCT17	2281.00	2586.15	USD	-7,628.75	
10JUL17	S 1 PD10OCT17	2282.00	2586.15	USD	-7,603.75	
10JUL17	S 3 PD10OCT17	2281.50	2586.15	USD	-22,848.75	
10JUL17	S 1 PD10OCT17	2273.50	2586.15	USD	-7,816.25	
10JUL17	S 1 PD10OCT17	2277.00	2586.15	USD	-7,728.75	
10JUL17	S 2 PD10OCT17	2279.00	2586.15	USD	-15,357.50	
10JUL17	S 2 PD10OCT17	2280.50	2586.15	USD	-15,282.50	
10JUL17	S 2 PD10OCT17	2287.50	2586.15	USD	-14,932.50	
10JUL17	S 2 PD10OCT17	2288.00	2586.15	USD	-14,907.50	
10JUL17	S 2 PD10OCT17	2293.50	2586.15	USD	-14,632.50	
10JUL17	S 2 PD10OCT17	2296.50	2586.15	USD	-14,482.50	
10JUL17	B 1 PD10OCT17	2296.50	2586.15	USD	7,241.25	
10JUL17	S 1 PD10OCT17	2280.00	2586.15	USD	-7,653.75	
10JUL17	S 4 PD10OCT17	2298.00	2586.15	USD	-28,815.00	
10JUL17	S 1 PD10OCT17	2305.00	2586.15	USD	-7,028.75	
10JUL17	S 2 PD10OCT17	2304.50	2586.15	USD	-14,082.50	
10JUL17	S 4 PD10OCT17	2304.00	2586.15	USD	-28,215.00	
10JUL17	S 1 PD10OCT17	2303.00	2586.15	USD	-7,078.75	
10JUL17	S 1 PD10OCT17	2307.50	2586.15	USD	-6,966.25	
10JUL17	S 2 PD10OCT17	2308.00	2586.15	USD	-13,907.50	
10JUL17	S 4 PD10OCT17	2307.00	2586.15	USD	-27,915.00	
10JUL17	S 4 PD10OCT17	2309.50	2586.15	USD	-27,665.00	
10JUL17	S 1 PD10OCT17	2309.00	2586.15	USD	-6,928.75	
10JUL17	S 1 PD10OCT17	2317.50	2586.15	USD	-6,716.25	
10JUL17	S 2 PD10OCT17	2310.50	2586.15	USD	-13,782.50	
10JUL17	B 1 PD10OCT17	2311.50	2586.15	USD	6,866.25	
10JUL17	S 1 PD10OCT17	2314.00	2586.15	USD	-6,803.75	
10JUL17	S 1 PD10OCT17	2314.50	2586.15	USD	-6,791.25	
10JUL17	S 1 PD10OCT17	2315.00	2586.15	USD	-6,778.75	
10JUL17	B 2 PD10OCT17	2315.00	2586.15	USD	13,557.50	
10JUL17	S 1 PD10OCT17	2307.50	2586.15	USD	-6,966.25	
18JUL17	B 49 PD10OCT17	2273.64	2586.15	USD	382,824.75	
24JUL17	B 14 PD10OCT17	2257.82	2586.15	USD	114,915.50	
	NET 0 AVG BGHT 2275.61 SOLD 2293.84				**35,104.00**	**0.00**
11JUL17	B 1 PD11OCT17	2310.00	2586.38	USD	6,909.50	
11JUL17	B 1 PD11OCT17	2313.00	2586.38	USD	6,834.50	
11JUL17	B 1 PD11OCT17	2313.50	2586.38	USD	6,822.00	
11JUL17	B 2 PD11OCT17	2312.50	2586.38	USD	13,694.00	
11JUL17	S 1 PD11OCT17	2312.50	2586.38	USD	-6,847.00	
11JUL17	B 2 PD11OCT17	2315.00	2586.38	USD	13,569.00	
11JUL17	B 6 PD11OCT17	2315.50	2586.38	USD	40,632.00	
11JUL17	B 5 PD11OCT17	2325.50	2586.38	USD	32,610.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
11JUL17	B 3 PD11OCT17	2316.00	2586.38	USD	20,278.50	
11JUL17	B 1 PD11OCT17	2328.00	2586.38	USD	6,459.50	
11JUL17	B 3 PD11OCT17	2329.50	2586.38	USD	19,266.00	
11JUL17	B 1 PD11OCT17	2313.50	2586.38	USD	6,822.00	
11JUL17	S 1 PD11OCT17	2325.50	2586.38	USD	-6,522.00	
11JUL17	B 2 PD11OCT17	2321.50	2586.38	USD	13,244.00	
11JUL17	B 2 PD11OCT17	2322.00	2586.38	USD	13,219.00	
11JUL17	B 1 PD11OCT17	2320.50	2586.38	USD	6,647.00	
11JUL17	B 4 PD11OCT17	2322.50	2586.38	USD	26,388.00	
11JUL17	B 3 PD11OCT17	2323.00	2586.38	USD	19,753.50	
11JUL17	B 3 PD11OCT17	2325.00	2586.38	USD	19,603.50	
11JUL17	B 2 PD11OCT17	2324.50	2586.38	USD	13,094.00	
11JUL17	B 4 PD11OCT17	2327.00	2586.38	USD	25,938.00	
11JUL17	B 1 PD11OCT17	2326.50	2586.38	USD	6,497.00	
11JUL17	S 1 PD11OCT17	2332.50	2586.38	USD	-6,347.00	
11JUL17	S 1 PD11OCT17	2333.00	2586.38	USD	-6,334.50	
11JUL17	S 3 PD11OCT17	2327.00	2586.38	USD	-19,453.50	
11JUL17	S 1 PD11OCT17	2326.00	2586.38	USD	-6,509.50	
11JUL17	S 1 PD11OCT17	2331.50	2586.38	USD	-6,372.00	
11JUL17	S 1 PD11OCT17	2332.00	2586.38	USD	-6,359.50	
11JUL17	B 1 PD11OCT17	2323.00	2586.38	USD	6,584.50	
11JUL17	S 1 PD11OCT17	2331.00	2586.38	USD	-6,384.50	
11JUL17	S 2 PD11OCT17	2333.50	2586.38	USD	-12,644.00	
11JUL17	B 1 PD11OCT17	2341.00	2586.38	USD	6,134.50	
11JUL17	S 3 PD11OCT17	2337.50	2586.38	USD	-18,666.00	
11JUL17	S 1 PD11OCT17	2336.00	2586.38	USD	-6,259.50	
11JUL17	S 2 PD11OCT17	2338.50	2586.38	USD	-12,394.00	
11JUL17	S 1 PD11OCT17	2339.50	2586.38	USD	-6,172.00	
11JUL17	B 7 PD11OCT17	2324.00	2586.38	USD	45,916.50	
11JUL17	B 4 PD11OCT17	2323.50	2586.38	USD	26,288.00	
11JUL17	B 5 PD11OCT17	2321.00	2586.38	USD	33,172.50	
11JUL17	B 1 PD11OCT17	2319.00	2586.38	USD	6,684.50	
11JUL17	B 1 PD11OCT17	2320.00	2586.38	USD	6,659.50	
11JUL17	B 1 PD11OCT17	2319.50	2586.38	USD	6,672.00	
11JUL17	B 4 PD11OCT17	2318.50	2586.38	USD	26,788.00	
11JUL17	B 3 PD11OCT17	2318.00	2586.38	USD	20,128.50	
11JUL17	B 1 PD11OCT17	2317.50	2586.38	USD	6,722.00	
11JUL17	B 4 PD11OCT17	2317.00	2586.38	USD	26,938.00	
11JUL17	B 3 PD11OCT17	2314.50	2586.38	USD	20,391.00	
11JUL17	B 3 PD11OCT17	2314.00	2586.38	USD	20,428.50	
11JUL17	B 7 PD11OCT17	2312.00	2586.38	USD	48,016.50	
11JUL17	B 1 PD11OCT17	2306.00	2586.38	USD	7,009.50	
11JUL17	B 2 PD11OCT17	2304.50	2586.38	USD	14,094.00	
11JUL17	B 1 PD11OCT17	2307.00	2586.38	USD	6,984.50	
11JUL17	S 1 PD11OCT17	2340.00	2586.38	USD	-6,159.50	
11JUL17	S 1 PD11OCT17	2331.50	2586.38	USD	-6,372.00	
11JUL17	B 1 PD11OCT17	2320.00	2586.38	USD	6,659.50	
11JUL17	B 1 PD11OCT17	2319.50	2586.38	USD	6,672.00	
11JUL17	B 1 PD11OCT17	2317.00	2586.38	USD	6,734.50	
18JUL17	S 79 PD11OCT17	2273.61	2586.38	USD	-617,720.75	
	NET 0 AVG BGHT 2319.47 SOLD 2286.37				**-83,557.75**	**0.00**
12JUL17	S 1 PD12OCT17	2310.00	2586.62	USD	-6,915.50	
12JUL17	S 1 PD12OCT17	2309.00	2586.62	USD	-6,940.50	
12JUL17	S 2 PD12OCT17	2312.50	2586.62	USD	-13,706.00	
12JUL17	S 1 PD12OCT17	2314.00	2586.62	USD	-6,815.50	
12JUL17	S 3 PD12OCT17	2314.50	2586.62	USD	-20,409.00	
12JUL17	S 3 PD12OCT17	2318.50	2586.62	USD	-20,109.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
12JUL17	S 1 PD12OCT17	2318.00	2586.62	USD	-6,715.50	
12JUL17	S 2 PD12OCT17	2320.50	2586.62	USD	-13,306.00	
12JUL17	S 2 PD12OCT17	2320.00	2586.62	USD	-13,331.00	
12JUL17	B 2 PD12OCT17	2311.50	2586.62	USD	13,756.00	
12JUL17	B 1 PD12OCT17	2311.00	2586.62	USD	6,890.50	
12JUL17	B 1 PD12OCT17	2312.50	2586.62	USD	6,853.00	
12JUL17	S 2 PD12OCT17	2329.50	2586.62	USD	-12,856.00	
12JUL17	S 3 PD12OCT17	2329.00	2586.62	USD	-19,321.50	
12JUL17	S 2 PD12OCT17	2327.00	2586.62	USD	-12,981.00	
12JUL17	S 1 PD12OCT17	2330.50	2586.62	USD	-6,403.00	
12JUL17	S 2 PD12OCT17	2331.00	2586.62	USD	-12,781.00	
12JUL17	S 1 PD12OCT17	2324.00	2586.62	USD	-6,565.50	
12JUL17	S 1 PD12OCT17	2323.00	2586.62	USD	-6,590.50	
12JUL17	S 4 PD12OCT17	2322.00	2586.62	USD	-26,462.00	
12JUL17	S 1 PD12OCT17	2325.50	2586.62	USD	-6,528.00	
12JUL17	S 3 PD12OCT17	2327.50	2586.62	USD	-19,434.00	
12JUL17	S 1 PD12OCT17	2332.50	2586.62	USD	-6,353.00	
12JUL17	S 1 PD12OCT17	2332.00	2586.62	USD	-6,365.50	
12JUL17	B 1 PD12OCT17	2321.50	2586.62	USD	6,628.00	
12JUL17	B 1 PD12OCT17	2321.00	2586.62	USD	6,640.50	
12JUL17	B 1 PD12OCT17	2320.50	2586.62	USD	6,653.00	
18JUL17	B 6 PD12OCT17	2273.98	2586.62	USD	46,896.00	
24JUL17	B 25 PD12OCT17	2258.18	2586.62	USD	205,275.00	
	NET 0 AVG BGHT 2271.26 SOLD 2322.53				**48,703.00**	**0.00**
13JUL17	B 1 PD13OCT17	2317.00	2586.85	USD	6,746.25	
13JUL17	B 2 PD13OCT17	2318.50	2586.85	USD	13,417.50	
13JUL17	B 1 PD13OCT17	2324.00	2586.85	USD	6,571.25	
13JUL17	S 3 PD13OCT17	2323.00	2586.85	USD	-19,788.75	
13JUL17	S 1 PD13OCT17	2325.00	2586.85	USD	-6,546.25	
13JUL17	S 5 PD13OCT17	2323.50	2586.85	USD	-32,918.75	
13JUL17	S 3 PD13OCT17	2324.50	2586.85	USD	-19,676.25	
13JUL17	B 2 PD13OCT17	2322.00	2586.85	USD	13,242.50	
13JUL17	B 3 PD13OCT17	2319.00	2586.85	USD	20,088.75	
13JUL17	B 1 PD13OCT17	2321.00	2586.85	USD	6,646.25	
13JUL17	B 3 PD13OCT17	2321.50	2586.85	USD	19,901.25	
13JUL17	S 4 PD13OCT17	2316.50	2586.85	USD	-27,035.00	
13JUL17	S 1 PD13OCT17	2317.00	2586.85	USD	-6,746.25	
13JUL17	S 1 PD13OCT17	2313.00	2586.85	USD	-6,846.25	
13JUL17	S 1 PD13OCT17	2312.50	2586.85	USD	-6,858.75	
13JUL17	S 2 PD13OCT17	2312.00	2586.85	USD	-13,742.50	
13JUL17	S 1 PD13OCT17	2310.50	2586.85	USD	-6,908.75	
13JUL17	S 1 PD13OCT17	2311.00	2586.85	USD	-6,896.25	
13JUL17	B 1 PD13OCT17	2298.00	2586.85	USD	7,221.25	
13JUL17	B 1 PD13OCT17	2297.00	2586.85	USD	7,246.25	
13JUL17	B 2 PD13OCT17	2298.50	2586.85	USD	14,417.50	
13JUL17	B 3 PD13OCT17	2296.00	2586.85	USD	21,813.75	
13JUL17	B 1 PD13OCT17	2301.50	2586.85	USD	7,133.75	
13JUL17	S 1 PD13OCT17	2300.00	2586.85	USD	-7,171.25	
13JUL17	S 1 PD13OCT17	2297.50	2586.85	USD	-7,233.75	
13JUL17	S 2 PD13OCT17	2295.50	2586.85	USD	-14,567.50	
13JUL17	B 1 PD13OCT17	2295.00	2586.85	USD	7,296.25	
13JUL17	B 1 PD13OCT17	2293.50	2586.85	USD	7,333.75	
13JUL17	B 2 PD13OCT17	2294.50	2586.85	USD	14,617.50	
13JUL17	S 1 PD13OCT17	2301.00	2586.85	USD	-7,146.25	
13JUL17	S 1 PD13OCT17	2314.00	2586.85	USD	-6,821.25	
13JUL17	S 1 PD13OCT17	2312.50	2586.85	USD	-6,858.75	
13JUL17	S 1 PD13OCT17	2297.50	2586.85	USD	-7,233.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
13JUL17	B 1 PD13OCT17	2289.50	2586.85	USD	7,433.75	
13JUL17	B 1 PD13OCT17	2289.00	2586.85	USD	7,446.25	
13JUL17	S 1 PD13OCT17	2291.00	2586.85	USD	-7,396.25	
13JUL17	S 1 PD13OCT17	2291.50	2586.85	USD	-7,383.75	
13JUL17	S 1 PD13OCT17	2289.00	2586.85	USD	-7,446.25	
13JUL17	S 1 PD13OCT17	2292.50	2586.85	USD	-7,358.75	
14JUL17	S 1 PD13OCT17	2292.00	2586.85	USD	-7,371.25	
14JUL17	S 1 PD13OCT17	2286.50	2586.85	USD	-7,508.75	
14JUL17	S 2 PD13OCT17	2285.50	2586.85	USD	-15,067.50	
14JUL17	B 2 PD13OCT17	2286.50	2586.85	USD	15,017.50	
14JUL17	B 2 PD13OCT17	2286.00	2586.85	USD	15,042.50	
14JUL17	B 1 PD13OCT17	2284.00	2586.85	USD	7,571.25	
14JUL17	B 1 PD13OCT17	2285.50	2586.85	USD	7,533.75	
14JUL17	B 3 PD13OCT17	2287.00	2586.85	USD	22,488.75	
14JUL17	B 5 PD13OCT17	2288.00	2586.85	USD	37,356.25	
14JUL17	B 2 PD13OCT17	2288.50	2586.85	USD	14,917.50	
14JUL17	B 1 PD13OCT17	2289.00	2586.85	USD	7,446.25	
14JUL17	B 1 PD13OCT17	2286.00	2586.85	USD	7,521.25	
14JUL17	B 1 PD13OCT17	2288.00	2586.85	USD	7,471.25	
14JUL17	B 1 PD13OCT17	2289.50	2586.85	USD	7,433.75	
14JUL17	S 3 PD13OCT17	2315.50	2586.85	USD	-20,351.25	
14JUL17	S 2 PD13OCT17	2317.50	2586.85	USD	-13,467.50	
14JUL17	S 1 PD13OCT17	2318.50	2586.85	USD	-6,708.75	
14JUL17	S 4 PD13OCT17	2318.00	2586.85	USD	-26,885.00	
14JUL17	B 2 PD13OCT17	2311.00	2586.85	USD	13,792.50	
18JUL17	S 6 PD13OCT17	2273.95	2586.85	USD	-46,935.00	
24JUL17	B 6 PD13OCT17	2258.36	2586.85	USD	49,273.50	
	NET 0 AVG BGHT 2294.89 SOLD 2306.94				**16,563.50**	**0.00**
17JUL17	B 2 PD17OCT17	2315.50	2587.77	USD	13,613.50	
17JUL17	S 1 PD17OCT17	2319.50	2587.77	USD	-6,706.75	
17JUL17	S 1 PD17OCT17	2320.50	2587.77	USD	-6,681.75	
17JUL17	S 1 PD17OCT17	2320.00	2587.77	USD	-6,694.25	
17JUL17	S 2 PD17OCT17	2318.50	2587.77	USD	-13,463.50	
17JUL17	S 4 PD17OCT17	2321.00	2587.77	USD	-26,677.00	
17JUL17	S 2 PD17OCT17	2321.50	2587.77	USD	-13,313.50	
17JUL17	S 2 PD17OCT17	2325.50	2587.77	USD	-13,113.50	
17JUL17	S 1 PD17OCT17	2325.00	2587.77	USD	-6,569.25	
17JUL17	S 1 PD17OCT17	2322.00	2587.77	USD	-6,644.25	
17JUL17	B 3 PD17OCT17	2316.50	2587.77	USD	20,345.25	
17JUL17	B 2 PD17OCT17	2316.00	2587.77	USD	13,588.50	
17JUL17	B 2 PD17OCT17	2318.00	2587.77	USD	13,488.50	
17JUL17	B 1 PD17OCT17	2320.50	2587.77	USD	6,681.75	
17JUL17	B 3 PD17OCT17	2321.00	2587.77	USD	20,007.75	
17JUL17	B 3 PD17OCT17	2321.50	2587.77	USD	19,970.25	
17JUL17	B 4 PD17OCT17	2322.00	2587.77	USD	26,577.00	
17JUL17	B 1 PD17OCT17	2319.00	2587.77	USD	6,719.25	
17JUL17	B 3 PD17OCT17	2301.00	2587.77	USD	21,507.75	
17JUL17	B 1 PD17OCT17	2299.00	2587.77	USD	7,219.25	
17JUL17	B 1 PD17OCT17	2297.00	2587.77	USD	7,269.25	
17JUL17	B 1 PD17OCT17	2289.50	2587.77	USD	7,456.75	
17JUL17	S 1 PD17OCT17	2294.50	2587.77	USD	-7,331.75	
17JUL17	S 2 PD17OCT17	2294.00	2587.77	USD	-14,688.50	
17JUL17	S 1 PD17OCT17	2295.00	2587.77	USD	-7,319.25	
17JUL17	B 1 PD17OCT17	2298.50	2587.77	USD	7,231.75	
17JUL17	S 1 PD17OCT17	2297.50	2587.77	USD	-7,256.75	
17JUL17	S 1 PD17OCT17	2298.50	2587.77	USD	-7,231.75	
17JUL17	S 1 PD17OCT17	2293.50	2587.77	USD	-7,356.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
18JUL17	S 6 PD17OCT17	2274.63	2587.77	USD	-46,971.00	
	NET 0 AVG BGHT 2313.95 SOLD 2304.89				**-6,343.00**	**0.00**
18JUL17	S 1 PD18OCT17	2297.00	2588.00	USD	-7,275.00	
18JUL17	S 3 PD18OCT17	2296.00	2588.00	USD	-21,900.00	
18JUL17	B 1 PD18OCT17	2303.50	2588.00	USD	7,112.50	
18JUL17	B 3 PD18OCT17	2304.00	2588.00	USD	21,300.00	
18JUL17	B 1 PD18OCT17	2305.50	2588.00	USD	7,062.50	
18JUL17	B 2 PD18OCT17	2306.50	2588.00	USD	14,075.00	
18JUL17	B 1 PD18OCT17	2307.00	2588.00	USD	7,025.00	
18JUL17	S 1 PD18OCT17	2303.00	2588.00	USD	-7,125.00	
18JUL17	S 2 PD18OCT17	2301.50	2588.00	USD	-14,325.00	
18JUL17	S 1 PD18OCT17	2304.00	2588.00	USD	-7,100.00	
18JUL17	S 4 PD18OCT17	2303.50	2588.00	USD	-28,450.00	
18JUL17	B 1 PD18OCT17	2273.00	2588.00	USD	7,875.00	
18JUL17	B 2 PD18OCT17	2272.50	2588.00	USD	15,775.00	
18JUL17	B 1 PD18OCT17	2270.00	2588.00	USD	7,950.00	
18JUL17	B 1 PD18OCT17	2269.50	2588.00	USD	7,962.50	
18JUL17	B 1 PD18OCT17	2275.00	2588.00	USD	7,825.00	
18JUL17	B 3 PD18OCT17	2274.50	2588.00	USD	23,512.50	
18JUL17	B 5 PD18OCT17	2270.50	2588.00	USD	39,687.50	
18JUL17	B 4 PD18OCT17	2272.00	2588.00	USD	31,600.00	
18JUL17	B 4 PD18OCT17	2265.00	2588.00	USD	32,300.00	
18JUL17	B 4 PD18OCT17	2266.50	2588.00	USD	32,150.00	
18JUL17	B 1 PD18OCT17	2272.00	2588.00	USD	7,900.00	
18JUL17	B 1 PD18OCT17	2272.50	2588.00	USD	7,887.50	
18JUL17	S 2 PD18OCT17	2276.00	2588.00	USD	-15,600.00	
18JUL17	S 3 PD18OCT17	2275.00	2588.00	USD	-23,475.00	
24JUL17	S 19 PD18OCT17	2259.05	2588.00	USD	-156,251.25	
	NET 0 AVG BGHT 2278.00 SOLD 2275.22				**-2,501.25**	**0.00**
19JUL17	S 4 PD19OCT17	2275.00	2588.00	USD	-31,300.00	
19JUL17	S 2 PD19OCT17	2263.50	2588.00	USD	-16,225.00	
19JUL17	S 1 PD19OCT17	2263.00	2588.00	USD	-8,125.00	
19JUL17	B 2 PD19OCT17	2267.00	2588.00	USD	16,050.00	
19JUL17	B 2 PD19OCT17	2266.50	2588.00	USD	16,075.00	
19JUL17	B 1 PD19OCT17	2266.00	2588.00	USD	8,050.00	
19JUL17	B 3 PD19OCT17	2262.00	2588.00	USD	24,450.00	
19JUL17	B 1 PD19OCT17	2268.00	2588.00	USD	8,000.00	
19JUL17	B 8 PD19OCT17	2267.50	2588.00	USD	64,100.00	
19JUL17	B 4 PD19OCT17	2271.50	2588.00	USD	31,650.00	
19JUL17	S 1 PD19OCT17	2273.00	2588.00	USD	-7,875.00	
19JUL17	S 6 PD19OCT17	2272.50	2588.00	USD	-47,325.00	
19JUL17	S 1 PD19OCT17	2264.00	2588.00	USD	-8,100.00	
19JUL17	S 1 PD19OCT17	2274.00	2588.00	USD	-7,850.00	
19JUL17	B 1 PD19OCT17	2246.50	2588.00	USD	8,537.50	
19JUL17	B 3 PD19OCT17	2245.50	2588.00	USD	25,687.50	
19JUL17	B 6 PD19OCT17	2246.00	2588.00	USD	51,300.00	
19JUL17	B 1 PD19OCT17	2243.00	2588.00	USD	8,625.00	
19JUL17	B 1 PD19OCT17	2237.50	2588.00	USD	8,762.50	
19JUL17	B 3 PD19OCT17	2238.00	2588.00	USD	26,250.00	
19JUL17	B 2 PD19OCT17	2230.50	2588.00	USD	17,875.00	
19JUL17	B 1 PD19OCT17	2228.00	2588.00	USD	9,000.00	
19JUL17	B 1 PD19OCT17	2228.50	2588.00	USD	8,987.50	
19JUL17	B 1 PD19OCT17	2226.50	2588.00	USD	9,037.50	
19JUL17	B 1 PD19OCT17	2226.00	2588.00	USD	9,050.00	
19JUL17	B 1 PD19OCT17	2245.50	2588.00	USD	8,562.50	
24JUL17	S 26 PD19OCT17	2259.18	2588.00	USD	-213,733.00	
24JUL17	S 1 PD19OCT17	2259.38	2588.00	USD	-8,215.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
	NET 0 AVG BGHT 2253.07 SOLD 2263.58				**11,301.50**	**0.00**
20JUL17	S 1 PD20OCT17	2230.00	2588.00	USD	-8,950.00	
20JUL17	S 1 PD20OCT17	2229.50	2588.00	USD	-8,962.50	
20JUL17	B 1 PD20OCT17	2228.00	2588.00	USD	9,000.00	
20JUL17	B 1 PD20OCT17	2223.00	2588.00	USD	9,125.00	
20JUL17	B 1 PD20OCT17	2222.00	2588.00	USD	9,150.00	
20JUL17	B 1 PD20OCT17	2226.00	2588.00	USD	9,050.00	
20JUL17	S 1 PD20OCT17	2226.00	2588.00	USD	-9,050.00	
20JUL17	B 1 PD20OCT17	2214.50	2588.00	USD	9,337.50	
20JUL17	B 2 PD20OCT17	2213.50	2588.00	USD	18,725.00	
21JUL17	S 2 PD20OCT17	2244.00	2588.00	USD	-17,200.00	
21JUL17	B 2 PD20OCT17	2236.00	2588.00	USD	17,600.00	
21JUL17	B 1 PD20OCT17	2235.50	2588.00	USD	8,812.50	
21JUL17	B 2 PD20OCT17	2237.00	2588.00	USD	17,550.00	
21JUL17	B 1 PD20OCT17	2242.50	2588.00	USD	8,637.50	
21JUL17	S 2 PD20OCT17	2249.50	2588.00	USD	-16,925.00	
21JUL17	S 2 PD20OCT17	2234.50	2588.00	USD	-17,675.00	
21JUL17	S 1 PD20OCT17	2234.00	2588.00	USD	-8,850.00	
21JUL17	S 1 PD20OCT17	2240.50	2588.00	USD	-8,687.50	
21JUL17	S 2 PD20OCT17	2241.00	2588.00	USD	-17,350.00	
21JUL17	S 1 PD20OCT17	2240.00	2588.00	USD	-8,700.00	
21JUL17	S 3 PD20OCT17	2239.50	2588.00	USD	-26,137.50	
21JUL17	S 1 PD20OCT17	2234.50	2588.00	USD	-8,837.50	
24JUL17	B 1 PD20OCT17	2259.70	2588.00	USD	8,207.50	
07AUG17	B 4 PD20OCT17	2356.75	2588.00	USD	23,125.00	
	NET 0 AVG BGHT 2258.40 SOLD 2238.39				**-9,005.00**	**0.00**
24JUL17	S 1 PD24OCT17	2256.50	2588.00	USD	-8,287.50	
24JUL17	B 1 PD24OCT17	2250.00	2588.00	USD	8,450.00	
24JUL17	S 1 PD24OCT17	2257.50	2588.00	USD	-8,262.50	
24JUL17	S 1 PD24OCT17	2247.00	2588.00	USD	-8,525.00	
24JUL17	S 3 PD24OCT17	2246.50	2588.00	USD	-25,612.50	
24JUL17	B 1 PD24OCT17	2245.50	2588.00	USD	8,562.50	
07AUG17	B 4 PD24OCT17	2358.35	2588.00	USD	22,965.00	
	NET 0 AVG BGHT 2321.48 SOLD 2250.08				**-10,710.00**	**0.00**
25JUL17	S 1 PD25OCT17	2259.00	2588.00	USD	-8,225.00	
25JUL17	S 1 PD25OCT17	2261.00	2588.00	USD	-8,175.00	
25JUL17	S 2 PD25OCT17	2261.50	2588.00	USD	-16,325.00	
25JUL17	S 1 PD25OCT17	2270.50	2588.00	USD	-7,937.50	
25JUL17	S 3 PD25OCT17	2271.00	2588.00	USD	-23,775.00	
25JUL17	B 3 PD25OCT17	2274.00	2588.00	USD	23,550.00	
25JUL17	B 1 PD25OCT17	2273.00	2588.00	USD	7,875.00	
25JUL17	S 1 PD25OCT17	2272.50	2588.00	USD	-7,887.50	
25JUL17	S 3 PD25OCT17	2281.00	2588.00	USD	-23,025.00	
25JUL17	B 1 PD25OCT17	2295.00	2588.00	USD	7,325.00	
25JUL17	B 3 PD25OCT17	2294.50	2588.00	USD	22,012.50	
25JUL17	S 2 PD25OCT17	2298.50	2588.00	USD	-14,475.00	
25JUL17	S 2 PD25OCT17	2298.00	2588.00	USD	-14,500.00	
25JUL17	S 2 PD25OCT17	2300.50	2588.00	USD	-14,375.00	
25JUL17	S 3 PD25OCT17	2301.00	2588.00	USD	-21,525.00	
25JUL17	S 3 PD25OCT17	2303.00	2588.00	USD	-21,375.00	
25JUL17	B 1 PD25OCT17	2293.50	2588.00	USD	7,362.50	
25JUL17	B 1 PD25OCT17	2292.00	2588.00	USD	7,400.00	
25JUL17	B 6 PD25OCT17	2292.50	2588.00	USD	44,325.00	
25JUL17	S 4 PD25OCT17	2299.00	2588.00	USD	-28,900.00	
25JUL17	S 2 PD25OCT17	2306.00	2588.00	USD	-14,100.00	
25JUL17	S 2 PD25OCT17	2307.00	2588.00	USD	-14,050.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
25JUL17	S	3	PD25OCT17	2318.00	2588.00	USD	-20,250.00	
25JUL17	S	1	PD25OCT17	2315.00	2588.00	USD	-6,825.00	
07AUG17	B	20	PD25OCT17	2358.75	2588.00	USD	114,625.00	
	NET	**0**	**AVG BGHT 2327.47 SOLD 2292.75**				**-31,250.00**	**0.00**
26JUL17	S	2	PD26OCT17	2321.50	2588.00	USD	-13,325.00	
26JUL17	S	1	PD26OCT17	2309.00	2588.00	USD	-6,975.00	
26JUL17	S	1	PD26OCT17	2308.00	2588.00	USD	-7,000.00	
26JUL17	B	4	PD26OCT17	2318.00	2588.00	USD	27,000.00	
26JUL17	S	1	PD26OCT17	2338.00	2588.00	USD	-6,250.00	
26JUL17	B	5	PD26OCT17	2316.00	2588.00	USD	34,000.00	
26JUL17	B	1	PD26OCT17	2346.00	2588.00	USD	6,050.00	
26JUL17	B	1	PD26OCT17	2316.00	2588.00	USD	6,800.00	
26JUL17	B	2	PD26OCT17	2321.50	2588.00	USD	13,325.00	
26JUL17	B	3	PD26OCT17	2321.00	2588.00	USD	20,025.00	
26JUL17	S	1	PD26OCT17	2327.00	2588.00	USD	-6,525.00	
26JUL17	S	1	PD26OCT17	2326.50	2588.00	USD	-6,537.50	
26JUL17	S	1	PD26OCT17	2326.00	2588.00	USD	-6,550.00	
26JUL17	S	2	PD26OCT17	2325.50	2588.00	USD	-13,125.00	
26JUL17	S	2	PD26OCT17	2324.50	2588.00	USD	-13,175.00	
26JUL17	S	5	PD26OCT17	2325.00	2588.00	USD	-32,875.00	
26JUL17	B	1	PD26OCT17	2326.50	2588.00	USD	6,537.50	
26JUL17	B	3	PD26OCT17	2326.00	2588.00	USD	19,650.00	
26JUL17	B	1	PD26OCT17	2324.00	2588.00	USD	6,600.00	
26JUL17	B	3	PD26OCT17	2324.50	2588.00	USD	19,762.50	
26JUL17	B	3	PD26OCT17	2323.50	2588.00	USD	19,837.50	
26JUL17	B	4	PD26OCT17	2322.00	2588.00	USD	26,600.00	
26JUL17	B	1	PD26OCT17	2322.50	2588.00	USD	6,637.50	
26JUL17	B	2	PD26OCT17	2315.50	2588.00	USD	13,625.00	
26JUL17	B	3	PD26OCT17	2314.50	2588.00	USD	20,512.50	
26JUL17	B	3	PD26OCT17	2314.00	2588.00	USD	20,550.00	
26JUL17	B	2	PD26OCT17	2312.50	2588.00	USD	13,775.00	
26JUL17	B	3	PD26OCT17	2311.50	2588.00	USD	20,737.50	
26JUL17	B	2	PD26OCT17	2311.00	2588.00	USD	13,850.00	
26JUL17	B	2	PD26OCT17	2312.00	2588.00	USD	13,800.00	
26JUL17	B	1	PD26OCT17	2323.00	2588.00	USD	6,625.00	
07AUG17	S	28	PD26OCT17	2358.72	2588.00	USD	-160,496.00	
07AUG17	S	5	PD26OCT17	2358.92	2588.00	USD	-28,635.00	
	NET	**0**	**AVG BGHT 2318.96 SOLD 2346.83**				**34,831.50**	**0.00**
27JUL17	B	2	PD27OCT17	2319.50	2588.00	USD	13,425.00	
27JUL17	B	1	PD27OCT17	2318.50	2588.00	USD	6,737.50	
27JUL17	B	1	PD27OCT17	2321.00	2588.00	USD	6,675.00	
27JUL17	B	1	PD27OCT17	2322.00	2588.00	USD	6,650.00	
27JUL17	B	5	PD27OCT17	2321.50	2588.00	USD	33,312.50	
27JUL17	B	1	PD27OCT17	2323.50	2588.00	USD	6,612.50	
27JUL17	B	1	PD27OCT17	2323.00	2588.00	USD	6,625.00	
27JUL17	B	1	PD27OCT17	2324.50	2588.00	USD	6,587.50	
27JUL17	B	1	PD27OCT17	2324.00	2588.00	USD	6,600.00	
27JUL17	B	1	PD27OCT17	2327.00	2588.00	USD	6,525.00	
27JUL17	B	1	PD27OCT17	2329.50	2588.00	USD	6,462.50	
27JUL17	B	2	PD27OCT17	2329.00	2588.00	USD	12,950.00	
27JUL17	S	4	PD27OCT17	2323.00	2588.00	USD	-26,500.00	
27JUL17	B	6	PD27OCT17	2328.50	2588.00	USD	38,925.00	
27JUL17	B	1	PD27OCT17	2331.00	2588.00	USD	6,425.00	
27JUL17	B	2	PD27OCT17	2330.50	2588.00	USD	12,875.00	
27JUL17	S	2	PD27OCT17	2329.00	2588.00	USD	-12,950.00	
27JUL17	B	1	PD27OCT17	2316.50	2588.00	USD	6,787.50	
27JUL17	B	2	PD27OCT17	2316.00	2588.00	USD	13,600.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
27JUL17	B 2 PD27OCT17	2315.50	2588.00	USD	13,625.00	
27JUL17	S 1 PD27OCT17	2321.00	2588.00	USD	-6,675.00	
27JUL17	B 1 PD27OCT17	2302.50	2588.00	USD	7,137.50	
27JUL17	B 1 PD27OCT17	2303.00	2588.00	USD	7,125.00	
27JUL17	B 4 PD27OCT17	2304.00	2588.00	USD	28,400.00	
28JUL17	B 1 PD27OCT17	2318.50	2588.00	USD	6,737.50	
28JUL17	S 1 PD27OCT17	2308.50	2588.00	USD	-6,987.50	
28JUL17	B 1 PD27OCT17	2301.00	2588.00	USD	7,175.00	
28JUL17	S 1 PD27OCT17	2296.00	2588.00	USD	-7,300.00	
28JUL17	S 1 PD27OCT17	2295.50	2588.00	USD	-7,312.50	
28JUL17	S 2 PD27OCT17	2292.00	2588.00	USD	-14,800.00	
28JUL17	S 5 PD27OCT17	2301.00	2588.00	USD	-35,875.00	
28JUL17	S 2 PD27OCT17	2302.50	2588.00	USD	-14,275.00	
28JUL17	S 1 PD27OCT17	2303.00	2588.00	USD	-7,125.00	
28JUL17	S 1 PD27OCT17	2291.00	2588.00	USD	-7,425.00	
28JUL17	S 1 PD27OCT17	2292.50	2588.00	USD	-7,387.50	
28JUL17	S 1 PD27OCT17	2292.00	2588.00	USD	-7,400.00	
28JUL17	B 1 PD27OCT17	2322.50	2588.00	USD	6,637.50	
28JUL17	B 1 PD27OCT17	2322.00	2588.00	USD	6,650.00	
28JUL17	B 1 PD27OCT17	2321.50	2588.00	USD	6,662.50	
28JUL17	B 1 PD27OCT17	2315.50	2588.00	USD	6,812.50	
28JUL17	B 5 PD27OCT17	2315.00	2588.00	USD	34,125.00	
28JUL17	S 1 PD27OCT17	2324.50	2588.00	USD	-6,587.50	
28JUL17	S 1 PD27OCT17	2324.00	2588.00	USD	-6,600.00	
28JUL17	B 1 PD27OCT17	2319.00	2588.00	USD	6,725.00	
28JUL17	B 1 PD27OCT17	2320.00	2588.00	USD	6,700.00	
28JUL17	B 1 PD27OCT17	2321.00	2588.00	USD	6,675.00	
28JUL17	B 1 PD27OCT17	2317.00	2588.00	USD	6,775.00	
28JUL17	B 3 PD27OCT17	2317.50	2588.00	USD	20,287.50	
07AUG17	S 31 PD27OCT17	2359.10	2588.00	USD	-177,397.50	
	NET 0 AVG BGHT 2319.41 SOLD 2336.14				**23,427.50**	**0.00**
31JUL17	B 1 PD31OCT17	2329.00	2588.00	USD	6,475.00	
31JUL17	S 2 PD31OCT17	2336.50	2588.00	USD	-12,575.00	
31JUL17	B 1 PD31OCT17	2338.50	2588.00	USD	6,237.50	
31JUL17	B 1 PD31OCT17	2333.50	2588.00	USD	6,362.50	
31JUL17	B 1 PD31OCT17	2333.00	2588.00	USD	6,375.00	
31JUL17	S 2 PD31OCT17	2322.50	2588.00	USD	-13,275.00	
31JUL17	B 1 PD31OCT17	2328.50	2588.00	USD	6,487.50	
31JUL17	S 4 PD31OCT17	2336.00	2588.00	USD	-25,200.00	
31JUL17	S 3 PD31OCT17	2323.00	2588.00	USD	-19,875.00	
31JUL17	B 5 PD31OCT17	2335.00	2588.00	USD	31,625.00	
31JUL17	S 2 PD31OCT17	2337.50	2588.00	USD	-12,525.00	
31JUL17	B 4 PD31OCT17	2331.50	2588.00	USD	25,650.00	
31JUL17	S 1 PD31OCT17	2323.50	2588.00	USD	-6,612.50	
31JUL17	S 3 PD31OCT17	2335.50	2588.00	USD	-18,937.50	
31JUL17	S 2 PD31OCT17	2344.00	2588.00	USD	-12,200.00	
31JUL17	B 2 PD31OCT17	2340.50	2588.00	USD	12,375.00	
31JUL17	B 1 PD31OCT17	2337.00	2588.00	USD	6,275.00	
31JUL17	B 1 PD31OCT17	2323.50	2588.00	USD	6,612.50	
31JUL17	B 3 PD31OCT17	2324.00	2588.00	USD	19,800.00	
31JUL17	S 4 PD31OCT17	2337.00	2588.00	USD	-25,100.00	
31JUL17	S 2 PD31OCT17	2342.50	2588.00	USD	-12,275.00	
31JUL17	S 2 PD31OCT17	2343.50	2588.00	USD	-12,225.00	
31JUL17	S 3 PD31OCT17	2342.00	2588.00	USD	-18,450.00	
31JUL17	B 4 PD31OCT17	2327.50	2588.00	USD	26,050.00	
31JUL17	B 2 PD31OCT17	2327.00	2588.00	USD	13,050.00	
31JUL17	B 1 PD31OCT17	2326.50	2588.00	USD	6,537.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
07AUG17	B 2 PD31OCT17	2359.99	2588.00	USD	11,400.50	
	NET 0 AVG BGHT 2332.92 SOLD 2335.67				**2,063.00**	**0.00**
01AUG17	S 1 PD01NOV17	2314.00	2588.00	USD	-6,850.00	
01AUG17	B 2 PD01NOV17	2313.00	2588.00	USD	13,750.00	
01AUG17	B 2 PD01NOV17	2314.00	2588.00	USD	13,700.00	
01AUG17	B 3 PD01NOV17	2316.50	2588.00	USD	20,362.50	
01AUG17	B 1 PD01NOV17	2315.00	2588.00	USD	6,825.00	
01AUG17	S 1 PD01NOV17	2317.00	2588.00	USD	-6,775.00	
01AUG17	S 1 PD01NOV17	2317.50	2588.00	USD	-6,762.50	
01AUG17	S 3 PD01NOV17	2316.50	2588.00	USD	-20,362.50	
01AUG17	S 4 PD01NOV17	2316.00	2588.00	USD	-27,200.00	
01AUG17	S 2 PD01NOV17	2311.00	2588.00	USD	-13,850.00	
01AUG17	S 2 PD01NOV17	2313.00	2588.00	USD	-13,750.00	
01AUG17	S 1 PD01NOV17	2312.00	2588.00	USD	-6,900.00	
01AUG17	S 1 PD01NOV17	2313.50	2588.00	USD	-6,862.50	
01AUG17	S 1 PD01NOV17	2328.50	2588.00	USD	-6,487.50	
01AUG17	S 1 PD01NOV17	2329.00	2588.00	USD	-6,475.00	
01AUG17	S 2 PD01NOV17	2330.00	2588.00	USD	-12,900.00	
01AUG17	S 1 PD01NOV17	2337.50	2588.00	USD	-6,262.50	
01AUG17	S 2 PD01NOV17	2335.50	2588.00	USD	-12,625.00	
01AUG17	S 2 PD01NOV17	2335.00	2588.00	USD	-12,650.00	
01AUG17	S 2 PD01NOV17	2336.00	2588.00	USD	-12,600.00	
07AUG17	B 19 PD01NOV17	2360.16	2588.00	USD	108,224.00	
	NET 0 AVG BGHT 2346.72 SOLD 2322.35				**-16,451.00**	**0.00**
02AUG17	B 1 PD02NOV17	2328.50	2588.00	USD	6,487.50	
02AUG17	B 1 PD02NOV17	2336.00	2588.00	USD	6,300.00	
02AUG17	B 1 PD02NOV17	2336.50	2588.00	USD	6,287.50	
02AUG17	B 3 PD02NOV17	2337.00	2588.00	USD	18,825.00	
02AUG17	B 2 PD02NOV17	2338.50	2588.00	USD	12,475.00	
02AUG17	B 1 PD02NOV17	2338.00	2588.00	USD	6,250.00	
02AUG17	B 2 PD02NOV17	2337.50	2588.00	USD	12,525.00	
02AUG17	S 1 PD02NOV17	2332.50	2588.00	USD	-6,387.50	
02AUG17	S 2 PD02NOV17	2331.50	2588.00	USD	-12,825.00	
02AUG17	S 2 PD02NOV17	2333.00	2588.00	USD	-12,750.00	
02AUG17	S 4 PD02NOV17	2342.50	2588.00	USD	-24,550.00	
02AUG17	B 1 PD02NOV17	2339.00	2588.00	USD	6,225.00	
02AUG17	B 1 PD02NOV17	2341.00	2588.00	USD	6,175.00	
02AUG17	B 1 PD02NOV17	2340.00	2588.00	USD	6,200.00	
02AUG17	B 3 PD02NOV17	2340.50	2588.00	USD	18,562.50	
02AUG17	B 2 PD02NOV17	2342.50	2588.00	USD	12,275.00	
02AUG17	S 2 PD02NOV17	2328.00	2588.00	USD	-13,000.00	
02AUG17	B 1 PD02NOV17	2337.00	2588.00	USD	6,275.00	
02AUG17	B 1 PD02NOV17	2340.00	2588.00	USD	6,200.00	
02AUG17	B 1 PD02NOV17	2341.00	2588.00	USD	6,175.00	
02AUG17	B 4 PD02NOV17	2343.50	2588.00	USD	24,450.00	
02AUG17	B 1 PD02NOV17	2344.00	2588.00	USD	6,100.00	
02AUG17	B 2 PD02NOV17	2344.50	2588.00	USD	12,175.00	
02AUG17	B 7 PD02NOV17	2345.00	2588.00	USD	42,525.00	
02AUG17	B 4 PD02NOV17	2345.50	2588.00	USD	24,250.00	
02AUG17	S 5 PD02NOV17	2347.50	2588.00	USD	-30,062.50	
02AUG17	S 4 PD02NOV17	2347.00	2588.00	USD	-24,100.00	
02AUG17	S 6 PD02NOV17	2348.50	2588.00	USD	-35,925.00	
02AUG17	S 2 PD02NOV17	2353.00	2588.00	USD	-11,750.00	
02AUG17	S 1 PD02NOV17	2353.50	2588.00	USD	-5,862.50	
02AUG17	S 2 PD02NOV17	2358.00	2588.00	USD	-11,500.00	
02AUG17	S 2 PD02NOV17	2359.00	2588.00	USD	-11,450.00	
02AUG17	S 2 PD02NOV17	2359.50	2588.00	USD	-11,425.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
02AUG17	S 1 PD02NOV17	2362.50	2588.00	USD	-5,637.50	
02AUG17	S 1 PD02NOV17	2363.00	2588.00	USD	-5,625.00	
02AUG17	S 1 PD02NOV17	2364.00	2588.00	USD	-5,600.00	
02AUG17	S 1 PD02NOV17	2365.00	2588.00	USD	-5,575.00	
02AUG17	B 1 PD02NOV17	2356.50	2588.00	USD	5,787.50	
02AUG17	S 1 PD02NOV17	2360.00	2588.00	USD	-5,700.00	
02AUG17	B 1 PD02NOV17	2346.00	2588.00	USD	6,050.00	
02AUG17	B 3 PD02NOV17	2347.00	2588.00	USD	18,075.00	
02AUG17	B 2 PD02NOV17	2346.50	2588.00	USD	12,075.00	
02AUG17	B 1 PD02NOV17	2347.50	2588.00	USD	6,012.50	
02AUG17	B 2 PD02NOV17	2349.00	2588.00	USD	11,950.00	
02AUG17	B 5 PD02NOV17	2348.00	2588.00	USD	30,000.00	
07AUG17	S 15 PD02NOV17	2360.13	2588.00	USD	-85,451.25	
	NET 0 AVG BGHT 2343.14 SOLD 2351.51				**11,511.25**	**0.00**
03AUG17	S 4 PD03NOV17	2362.50	2588.00	USD	-22,550.00	
03AUG17	S 2 PD03NOV17	2363.50	2588.00	USD	-11,225.00	
03AUG17	S 3 PD03NOV17	2364.00	2588.00	USD	-16,800.00	
03AUG17	S 1 PD03NOV17	2378.00	2588.00	USD	-5,250.00	
03AUG17	S 1 PD03NOV17	2377.50	2588.00	USD	-5,262.50	
03AUG17	S 3 PD03NOV17	2376.00	2588.00	USD	-15,900.00	
03AUG17	S 1 PD03NOV17	2386.00	2588.00	USD	-5,050.00	
03AUG17	S 1 PD03NOV17	2386.50	2588.00	USD	-5,037.50	
03AUG17	S 1 PD03NOV17	2385.50	2588.00	USD	-5,062.50	
03AUG17	S 2 PD03NOV17	2383.50	2588.00	USD	-10,225.00	
03AUG17	B 1 PD03NOV17	2383.00	2588.00	USD	5,125.00	
03AUG17	B 3 PD03NOV17	2384.50	2588.00	USD	15,262.50	
03AUG17	B 2 PD03NOV17	2382.00	2588.00	USD	10,300.00	
03AUG17	S 1 PD03NOV17	2374.50	2588.00	USD	-5,337.50	
03AUG17	S 1 PD03NOV17	2368.00	2588.00	USD	-5,500.00	
03AUG17	B 2 PD03NOV17	2369.00	2588.00	USD	10,950.00	
03AUG17	S 1 PD03NOV17	2358.00	2588.00	USD	-5,750.00	
03AUG17	S 2 PD03NOV17	2358.50	2588.00	USD	-11,475.00	
03AUG17	S 2 PD03NOV17	2363.00	2588.00	USD	-11,250.00	
03AUG17	S 1 PD03NOV17	2380.00	2588.00	USD	-5,200.00	
03AUG17	S 1 PD03NOV17	2381.50	2588.00	USD	-5,162.50	
03AUG17	S 1 PD03NOV17	2358.00	2588.00	USD	-5,750.00	
03AUG17	B 6 PD03NOV17	2358.50	2588.00	USD	34,425.00	
03AUG17	B 1 PD03NOV17	2359.00	2588.00	USD	5,725.00	
03AUG17	B 3 PD03NOV17	2359.50	2588.00	USD	17,137.50	
03AUG17	B 4 PD03NOV17	2361.00	2588.00	USD	22,700.00	
03AUG17	B 1 PD03NOV17	2354.00	2588.00	USD	5,850.00	
03AUG17	B 1 PD03NOV17	2356.00	2588.00	USD	5,800.00	
03AUG17	S 1 PD03NOV17	2357.00	2588.00	USD	-5,775.00	
03AUG17	S 1 PD03NOV17	2361.50	2588.00	USD	-5,662.50	
03AUG17	S 1 PD03NOV17	2362.00	2588.00	USD	-5,650.00	
03AUG17	S 4 PD03NOV17	2361.00	2588.00	USD	-22,700.00	
03AUG17	B 1 PD03NOV17	2355.50	2588.00	USD	5,812.50	
03AUG17	B 9 PD03NOV17	2360.00	2588.00	USD	51,300.00	
03AUG17	B 1 PD03NOV17	2352.50	2588.00	USD	5,887.50	
04AUG17	B 2 PD03NOV17	2366.00	2588.00	USD	11,100.00	
04AUG17	B 2 PD03NOV17	2367.50	2588.00	USD	11,025.00	
04AUG17	B 3 PD03NOV17	2372.00	2588.00	USD	16,200.00	
04AUG17	B 3 PD03NOV17	2367.00	2588.00	USD	16,575.00	
04AUG17	B 3 PD03NOV17	2368.50	2588.00	USD	16,462.50	
04AUG17	B 2 PD03NOV17	2369.50	2588.00	USD	10,925.00	
04AUG17	S 2 PD03NOV17	2371.50	2588.00	USD	-10,825.00	
04AUG17	B 2 PD03NOV17	2372.50	2588.00	USD	10,775.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
04AUG17	B 3 PD03NOV17	2373.00	2588.00	USD	16,125.00	
04AUG17	B 1 PD03NOV17	2370.50	2588.00	USD	5,437.50	
04AUG17	S 3 PD03NOV17	2371.50	2588.00	USD	-16,237.50	
04AUG17	S 1 PD03NOV17	2362.50	2588.00	USD	-5,637.50	
04AUG17	S 3 PD03NOV17	2362.00	2588.00	USD	-16,950.00	
04AUG17	S 1 PD03NOV17	2360.50	2588.00	USD	-5,687.50	
04AUG17	S 2 PD03NOV17	2368.00	2588.00	USD	-11,000.00	
04AUG17	S 3 PD03NOV17	2367.50	2588.00	USD	-16,537.50	
04AUG17	S 1 PD03NOV17	2369.00	2588.00	USD	-5,475.00	
04AUG17	S 1 PD03NOV17	2368.50	2588.00	USD	-5,487.50	
04AUG17	S 2 PD03NOV17	2371.00	2588.00	USD	-10,850.00	
04AUG17	S 1 PD03NOV17	2370.00	2588.00	USD	-5,450.00	
04AUG17	S 2 PD03NOV17	2366.00	2588.00	USD	-11,100.00	
04AUG17	S 4 PD03NOV17	2364.00	2588.00	USD	-22,400.00	
04AUG17	S 3 PD03NOV17	2363.50	2588.00	USD	-16,837.50	
04AUG17	S 2 PD03NOV17	2351.00	2588.00	USD	-11,850.00	
04AUG17	S 1 PD03NOV17	2371.00	2588.00	USD	-5,425.00	
04AUG17	S 6 PD03NOV17	2366.00	2588.00	USD	-33,300.00	
04AUG17	S 4 PD03NOV17	2365.50	2588.00	USD	-22,250.00	
07AUG17	B 30 PD03NOV17	2360.51	2588.00	USD	170,617.50	
18AUG17	S 1 PD03NOV17	2358.23	2588.00	USD	-5,744.25	
22AUG17	S 3 PD03NOV17	2411.75	2588.00	USD	-13,218.75	
23AUG17	S 4 PD03NOV17	2372.25	2588.00	USD	-21,575.00	
	NET 0 AVG BGHT 2364.04 SOLD 2368.74				**10,104.50**	**0.00**
07AUG17	S 1 PD07NOV17	2384.00	2588.00	USD	-5,100.00	
07AUG17	S 1 PD07NOV17	2382.50	2588.00	USD	-5,137.50	
07AUG17	S 1 PD07NOV17	2381.00	2588.00	USD	-5,175.00	
14AUG17	B 1 PD07NOV17	2333.60	2588.00	USD	6,360.00	
22AUG17	B 2 PD07NOV17	2412.95	2588.00	USD	8,752.50	
	NET 0 AVG BGHT 2386.50 SOLD 2382.50				**-300.00**	**0.00**
08AUG17	B 1 PD08NOV17	2347.50	2588.00	USD	6,012.50	
18AUG17	S 1 PD08NOV17	2359.38	2588.00	USD	-5,715.50	
	NET 0 AVG BGHT 2347.50 SOLD 2359.38				**297.00**	**0.00**
09AUG17	B 1 PD09NOV17	2395.50	2588.00	USD	4,812.50	
14AUG17	S 1 PD09NOV17	2333.80	2588.00	USD	-6,355.00	
	NET 0 AVG BGHT 2395.50 SOLD 2333.80				**-1,542.50**	**0.00**
10AUG17	S 1 PD10NOV17	2391.50	2588.00	USD	-4,912.50	
10AUG17	B 1 PD10NOV17	2373.50	2588.00	USD	5,362.50	
10AUG17	S 1 PD10NOV17	2364.50	2588.00	USD	-5,587.50	
18AUG17	B 1 PD10NOV17	2360.05	2588.00	USD	5,698.75	
	NET 0 AVG BGHT 2366.78 SOLD 2378.00				**561.25**	**0.00**
16AUG17	S 1 PD16NOV17	2484.50	2588.13	USD	-2,590.75	
18AUG17	B 1 PD16NOV17	2360.70	2588.13	USD	5,685.75	
	NET 0 AVG BGHT 2360.70 SOLD 2484.50				**3,095.00**	**0.00**
18AUG17	S 1 PD17NOV17	2429.50	2588.26	USD	-3,969.00	
18AUG17	S 1 PD17NOV17	2430.50	2588.26	USD	-3,944.00	
18AUG17	S 2 PD17NOV17	2430.00	2588.26	USD	-7,913.00	
18AUG17	B 1 PD17NOV17	2415.00	2588.26	USD	4,331.50	
18AUG17	S 1 PD17NOV17	2411.50	2588.26	USD	-4,419.00	
23AUG17	B 4 PD17NOV17	2375.45	2588.26	USD	21,281.00	
	NET 0 AVG BGHT 2383.36 SOLD 2426.30				**5,367.50**	**0.00**
21AUG17	S 1 PD21NOV17	2386.00	2588.77	USD	-5,069.25	
22AUG17	B 1 PD21NOV17	2415.95	2588.77	USD	4,320.50	
	NET 0 AVG BGHT 2415.95 SOLD 2386.00				**-748.75**	**0.00**
	LME NICKEL 6 TONNES. THIS IS AN LME					

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
10JUL17	B	2	PD10OCT17	8880.00	10538.85	USD	19,906.20	
10JUL17	B	4	PD10OCT17	8875.00	10538.85	USD	39,932.40	
10JUL17	S	1	PD10OCT17	8910.00	10538.85	USD	-9,773.10	
10JUL17	S	2	PD10OCT17	8885.00	10538.85	USD	-19,846.20	
10JUL17	S	1	PD10OCT17	8965.00	10538.85	USD	-9,443.10	
10JUL17	S	1	PD10OCT17	9015.00	10538.85	USD	-9,143.10	
10JUL17	S	1	PD10OCT17	9005.00	10538.85	USD	-9,203.10	
	NET	**0**	**AVG BGHT 8876.67**	**SOLD 8944.17**			**2,430.00**	**0.00**
13JUL17	B	1	PD13OCT17	9195.00	10542.65	USD	8,085.90	
13JUL17	S	1	PD13OCT17	9160.00	10542.65	USD	-8,295.90	
	NET	**0**	**AVG BGHT 9195.00**	**SOLD 9160.00**			**-210.00**	**0.00**
24JUL17	B	1	PD24OCT17	9675.00	10554.79	USD	5,278.74	
24JUL17	B	1	PD24OCT17	9690.00	10554.79	USD	5,188.74	
18AUG17	S	2	PD24OCT17	10967.19	10554.79	USD	4,948.80	
	NET	**0**	**AVG BGHT 9682.50**	**SOLD 10967.19**			**15,416.28**	**0.00**
25JUL17	B	1	PD25OCT17	9745.00	10555.75	USD	4,864.50	
25JUL17	B	1	PD25OCT17	9880.00	10555.75	USD	4,054.50	
25JUL17	S	1	PD25OCT17	9955.00	10555.75	USD	-3,604.50	
25JUL17	S	1	PD25OCT17	10005.00	10555.75	USD	-3,304.50	
	NET	**0**	**AVG BGHT 9812.50**	**SOLD 9980.00**			**2,010.00**	**0.00**
26JUL17	S	1	PD26OCT17	9975.00	10556.71	USD	-3,490.26	
26JUL17	B	1	PD26OCT17	9980.00	10556.71	USD	3,460.26	
26JUL17	S	1	PD26OCT17	9945.00	10556.71	USD	-3,670.26	
26JUL17	S	1	PD26OCT17	10015.00	10556.71	USD	-3,250.26	
26JUL17	B	1	PD26OCT17	10020.00	10556.71	USD	3,220.26	
18AUG17	B	1	PD26OCT17	10969.54	10556.71	USD	-2,476.98	
	NET	**0**	**AVG BGHT 10323.18**	**SOLD 9978.33**			**-6,207.24**	**0.00**
27JUL17	B	2	PD27OCT17	10020.00	10557.68	USD	6,452.16	
27JUL17	S	1	PD27OCT17	10065.00	10557.68	USD	-2,956.08	
27JUL17	S	1	PD27OCT17	10080.00	10557.68	USD	-2,866.08	
27JUL17	B	1	PD27OCT17	10085.00	10557.68	USD	2,836.08	
27JUL17	B	1	PD27OCT17	10090.00	10557.68	USD	2,806.08	
27JUL17	S	1	PD27OCT17	10150.00	10557.68	USD	-2,446.08	
27JUL17	S	1	PD27OCT17	10145.00	10557.68	USD	-2,476.08	
27JUL17	S	1	PD27OCT17	10170.00	10557.68	USD	-2,326.08	
28JUL17	S	1	PD27OCT17	10045.00	10557.68	USD	-3,076.08	
28JUL17	B	1	PD27OCT17	10045.00	10557.68	USD	3,076.08	
28JUL17	B	1	PD27OCT17	10050.00	10557.68	USD	3,046.08	
28JUL17	B	2	PD27OCT17	10145.00	10557.68	USD	4,952.16	
28JUL17	S	1	PD27OCT17	10240.00	10557.68	USD	-1,906.08	
28JUL17	B	2	PD27OCT17	10245.00	10557.68	USD	3,752.16	
28JUL17	S	1	PD27OCT17	10255.00	10557.68	USD	-1,816.08	
28JUL17	S	2	PD27OCT17	10245.00	10557.68	USD	-3,752.16	
28JUL17	S	1	PD27OCT17	10230.00	10557.68	USD	-1,966.08	
28JUL17	B	1	PD27OCT17	10205.00	10557.68	USD	2,116.08	
28JUL17	S	1	PD27OCT17	10260.00	10557.68	USD	-1,786.08	
28JUL17	B	2	PD27OCT17	10225.00	10557.68	USD	3,992.16	
18AUG17	S	1	PD27OCT17	10969.21	10557.68	USD	2,469.18	
	NET	**0**	**AVG BGHT 10134.23**	**SOLD 10238.40**			**8,125.26**	**0.00**
31JUL17	B	1	PD31OCT17	10345.00	10561.54	USD	1,299.24	
31JUL17	B	1	PD31OCT17	10275.00	10561.54	USD	1,719.24	
31JUL17	S	1	PD31OCT17	10260.00	10561.54	USD	-1,809.24	
31JUL17	S	3	PD31OCT17	10245.00	10561.54	USD	-5,697.72	
31JUL17	S	1	PD31OCT17	10255.00	10561.54	USD	-1,839.24	
31JUL17	B	1	PD31OCT17	10220.00	10561.54	USD	2,049.24	
31JUL17	B	3	PD31OCT17	10230.00	10561.54	USD	5,967.72	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
31JUL17	B 1 PD31OCT17	10215.00	10561.54	USD	2,079.24	
18AUG17	S 2 PD31OCT17	10971.90	10561.54	USD	4,924.32	
	NET 0 AVG BGHT 10249.29 SOLD 10456.26				**8,692.80**	**0.00**
01AUG17	B 1 PD01NOV17	10205.00	10562.50	USD	2,145.00	
01AUG17	S 1 PD01NOV17	10095.00	10562.50	USD	-2,805.00	
01AUG17	S 1 PD01NOV17	10100.00	10562.50	USD	-2,775.00	
01AUG17	B 1 PD01NOV17	10105.00	10562.50	USD	2,745.00	
01AUG17	B 1 PD01NOV17	10150.00	10562.50	USD	2,475.00	
01AUG17	S 1 PD01NOV17	10160.00	10562.50	USD	-2,415.00	
01AUG17	S 1 PD01NOV17	10115.00	10562.50	USD	-2,685.00	
01AUG17	S 1 PD01NOV17	10125.00	10562.50	USD	-2,625.00	
01AUG17	S 1 PD01NOV17	10135.00	10562.50	USD	-2,565.00	
01AUG17	S 1 PD01NOV17	10140.00	10562.50	USD	-2,535.00	
01AUG17	B 1 PD01NOV17	10225.00	10562.50	USD	2,025.00	
01AUG17	B 1 PD01NOV17	10235.00	10562.50	USD	1,965.00	
03AUG17	S 1 PD01NOV17	10379.57	10562.50	USD	-1,097.58	
03AUG17	S 1 PD01NOV17	10378.57	10562.50	USD	-1,103.58	
18AUG17	B 4 PD01NOV17	10973.58	10562.50	USD	-9,865.92	
	NET 0 AVG BGHT 10534.92 SOLD 10180.90				**-19,117.08**	**0.00**
02AUG17	S 2 PD02NOV17	10185.00	10563.46	USD	-4,541.52	
02AUG17	B 1 PD02NOV17	10320.00	10563.46	USD	1,460.76	
02AUG17	B 1 PD02NOV17	10335.00	10563.46	USD	1,370.76	
02AUG17	B 1 PD02NOV17	10345.00	10563.46	USD	1,310.76	
03AUG17	B 1 PD02NOV17	10380.79	10563.46	USD	1,096.02	
18AUG17	S 2 PD02NOV17	10973.25	10563.46	USD	4,917.48	
	NET 0 AVG BGHT 10345.20 SOLD 10579.13				**5,614.26**	**0.00**
03AUG17	S 1 PD03NOV17	10440.00	10564.43	USD	-746.58	
03AUG17	S 1 PD03NOV17	10425.00	10564.43	USD	-836.58	
03AUG17	B 1 PD03NOV17	10360.00	10564.43	USD	1,226.58	
03AUG17	B 1 PD03NOV17	10335.00	10564.43	USD	1,376.58	
03AUG17	S 1 PD03NOV17	10315.00	10564.43	USD	-1,496.58	
03AUG17	B 1 PD03NOV17	10290.00	10564.43	USD	1,646.58	
03AUG17	B 1 PD03NOV17	10260.00	10564.43	USD	1,826.58	
03AUG17	B 1 PD03NOV17	10370.00	10564.43	USD	1,166.58	
03AUG17	S 1 PD03NOV17	10370.00	10564.43	USD	-1,166.58	
03AUG17	B 1 PD03NOV17	10330.00	10564.43	USD	1,406.58	
03AUG17	B 1 PD03NOV17	10315.00	10564.43	USD	1,496.58	
03AUG17	S 6 PD03NOV17	10379.00	10564.43	USD	-6,675.48	
04AUG17	B 1 PD03NOV17	10325.00	10564.43	USD	1,436.58	
04AUG17	S 1 PD03NOV17	10195.00	10564.43	USD	-2,216.58	
04AUG17	B 1 PD03NOV17	10200.00	10564.43	USD	2,186.58	
04AUG17	S 1 PD03NOV17	10260.00	10564.43	USD	-1,826.58	
04AUG17	S 1 PD03NOV17	10285.00	10564.43	USD	-1,676.58	
04AUG17	B 1 PD03NOV17	10290.00	10564.43	USD	1,646.58	
04AUG17	B 1 PD03NOV17	10280.00	10564.43	USD	1,706.58	
18AUG17	B 2 PD03NOV17	10974.92	10564.43	USD	-4,925.88	
	NET 0 AVG BGHT 10408.06 SOLD 10351.08				**-4,445.04**	**0.00**
07AUG17	B 1 PD07NOV17	10385.00	10568.29	USD	1,099.74	
07AUG17	B 1 PD07NOV17	10365.00	10568.29	USD	1,219.74	
07AUG17	S 1 PD07NOV17	10380.00	10568.29	USD	-1,129.74	
18AUG17	S 1 PD07NOV17	10976.62	10568.29	USD	2,449.98	
	NET 0 AVG BGHT 10375.00 SOLD 10678.31				**3,639.72**	**0.00**
08AUG17	B 1 PD08NOV17	10345.00	10569.25	USD	1,345.50	
08AUG17	S 1 PD08NOV17	10375.00	10569.25	USD	-1,165.50	
08AUG17	B 1 PD08NOV17	10300.00	10569.25	USD	1,615.50	
08AUG17	S 1 PD08NOV17	10335.00	10569.25	USD	-1,405.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
08AUG17	S 1 PD08NOV17	10315.00	10569.25	USD	-1,525.50	
08AUG17	B 2 PD08NOV17	10445.00	10569.25	USD	1,491.00	
08AUG17	S 1 PD08NOV17	10480.00	10569.25	USD	-535.50	
08AUG17	B 1 PD08NOV17	10500.00	10569.25	USD	415.50	
08AUG17	B 1 PD08NOV17	10740.00	10569.25	USD	-1,024.50	
08AUG17	B 1 PD08NOV17	10745.00	10569.25	USD	-1,054.50	
18AUG17	S 4 PD08NOV17	10977.29	10569.25	USD	9,792.96	
22AUG17	B 1 PD08NOV17	11410.65	10569.25	USD	-5,048.40	
	NET 0 AVG BGHT 10616.33 SOLD 10676.77				**2,901.06**	**0.00**
09AUG17	S 1 PD09NOV17	10675.00	10570.21	USD	628.74	
09AUG17	S 1 PD09NOV17	10705.00	10570.21	USD	808.74	
09AUG17	B 1 PD09NOV17	10810.00	10570.21	USD	-1,438.74	
09AUG17	B 1 PD09NOV17	10805.00	10570.21	USD	-1,408.74	
09AUG17	B 1 PD09NOV17	10770.00	10570.21	USD	-1,198.74	
09AUG17	S 1 PD09NOV17	10810.00	10570.21	USD	1,438.74	
09AUG17	S 2 PD09NOV17	10805.00	10570.21	USD	2,817.48	
09AUG17	S 1 PD09NOV17	10800.00	10570.21	USD	1,378.74	
09AUG17	B 1 PD09NOV17	10780.00	10570.21	USD	-1,258.74	
09AUG17	S 2 PD09NOV17	10775.00	10570.21	USD	2,457.48	
09AUG17	S 1 PD09NOV17	10720.00	10570.21	USD	898.74	
09AUG17	S 2 PD09NOV17	10770.00	10570.21	USD	2,397.48	
09AUG17	S 1 PD09NOV17	10815.00	10570.21	USD	1,468.74	
09AUG17	S 2 PD09NOV17	10785.00	10570.21	USD	2,577.48	
09AUG17	S 1 PD09NOV17	10715.00	10570.21	USD	868.74	
09AUG17	B 1 PD09NOV17	10745.00	10570.21	USD	-1,048.74	
09AUG17	B 1 PD09NOV17	10735.00	10570.21	USD	-988.74	
18AUG17	B 3 PD09NOV17	10978.96	10570.21	USD	-7,357.50	
22AUG17	B 6 PD09NOV17	11411.35	10570.21	USD	-30,281.04	
	NET 0 AVG BGHT 11070.00 SOLD 10767.33				**-27,239.88**	**0.00**
10AUG17	B 1 PD10NOV17	10785.00	10571.18	USD	-1,282.92	
10AUG17	S 1 PD10NOV17	10830.00	10571.18	USD	1,552.92	
10AUG17	S 1 PD10NOV17	10805.00	10571.18	USD	1,402.92	
10AUG17	S 1 PD10NOV17	10780.00	10571.18	USD	1,252.92	
10AUG17	S 1 PD10NOV17	10735.00	10571.18	USD	982.92	
10AUG17	S 1 PD10NOV17	10740.00	10571.18	USD	1,012.92	
10AUG17	B 1 PD10NOV17	10770.00	10571.18	USD	-1,192.92	
10AUG17	B 1 PD10NOV17	10765.00	10571.18	USD	-1,162.92	
10AUG17	B 1 PD10NOV17	10775.00	10571.18	USD	-1,222.92	
10AUG17	B 3 PD10NOV17	10780.00	10571.18	USD	-3,758.76	
10AUG17	B 1 PD10NOV17	10860.00	10571.18	USD	-1,732.92	
10AUG17	S 1 PD10NOV17	10945.00	10571.18	USD	2,242.92	
10AUG17	S 1 PD10NOV17	10935.00	10571.18	USD	2,182.92	
10AUG17	S 2 PD10NOV17	10940.00	10571.18	USD	4,425.84	
10AUG17	B 1 PD10NOV17	10955.00	10571.18	USD	-2,302.92	
10AUG17	B 1 PD10NOV17	10950.00	10571.18	USD	-2,272.92	
10AUG17	S 1 PD10NOV17	10900.00	10571.18	USD	1,972.92	
10AUG17	S 1 PD10NOV17	10910.00	10571.18	USD	2,032.92	
10AUG17	S 1 PD10NOV17	10885.00	10571.18	USD	1,882.92	
11AUG17	S 1 PD10NOV17	10835.00	10571.18	USD	1,582.92	
11AUG17	S 1 PD10NOV17	10825.00	10571.18	USD	1,522.92	
11AUG17	S 1 PD10NOV17	10745.00	10571.18	USD	1,042.92	
11AUG17	S 1 PD10NOV17	10635.00	10571.18	USD	382.92	
11AUG17	B 1 PD10NOV17	10615.00	10571.18	USD	-262.92	
11AUG17	S 1 PD10NOV17	10710.00	10571.18	USD	832.92	
11AUG17	B 1 PD10NOV17	10655.00	10571.18	USD	-502.92	
11AUG17	B 1 PD10NOV17	10660.00	10571.18	USD	-532.92	
11AUG17	B 1 PD10NOV17	10675.00	10571.18	USD	-622.92	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
11AUG17	B 1 PD10NOV17	10680.00	10571.18	USD	-652.92	
11AUG17	S 1 PD10NOV17	10610.00	10571.18	USD	232.92	
11AUG17	B 1 PD10NOV17	10635.00	10571.18	USD	-382.92	
11AUG17	B 1 PD10NOV17	10565.00	10571.18	USD	37.08	
11AUG17	S 1 PD10NOV17	10655.00	10571.18	USD	502.92	
11AUG17	B 3 PD10NOV17	10670.00	10571.18	USD	-1,778.76	
18AUG17	S 1 PD10NOV17	10978.63	10571.18	USD	2,444.70	
	NET 0 AVG BGHT 10734.75 SOLD 10816.93				**9,861.78**	**0.00**
14AUG17	B 1 PD14NOV17	10690.00	10575.04	USD	-689.76	
14AUG17	S 1 PD14NOV17	10640.00	10575.04	USD	389.76	
14AUG17	S 1 PD14NOV17	10510.00	10575.04	USD	-390.24	
14AUG17	S 1 PD14NOV17	10500.00	10575.04	USD	-450.24	
14AUG17	S 1 PD14NOV17	10475.00	10575.04	USD	-600.24	
14AUG17	B 1 PD14NOV17	10510.00	10575.04	USD	390.24	
14AUG17	B 1 PD14NOV17	10490.00	10575.04	USD	510.24	
14AUG17	B 1 PD14NOV17	10415.00	10575.04	USD	960.24	
14AUG17	S 1 PD14NOV17	10420.00	10575.04	USD	-930.24	
14AUG17	S 1 PD14NOV17	10425.00	10575.04	USD	-900.24	
18AUG17	B 2 PD14NOV17	10982.33	10575.04	USD	-4,887.48	
	NET 0 AVG BGHT 10678.28 SOLD 10495.00				**-6,597.96**	**0.00**
15AUG17	B 1 PD15NOV17	10405.00	10576.00	USD	1,026.00	
15AUG17	B 1 PD15NOV17	10395.00	10576.00	USD	1,086.00	
15AUG17	B 1 PD15NOV17	10400.00	10576.00	USD	1,056.00	
15AUG17	B 1 PD15NOV17	10385.00	10576.00	USD	1,146.00	
18AUG17	S 4 PD15NOV17	10982.00	10576.00	USD	9,744.00	
	NET 0 AVG BGHT 10396.25 SOLD 10982.00				**14,058.00**	**0.00**
16AUG17	S 1 PD16NOV17	10475.00	10576.89	USD	-611.34	
16AUG17	S 1 PD16NOV17	10560.00	10576.89	USD	-101.34	
16AUG17	S 1 PD16NOV17	10565.00	10576.89	USD	-71.34	
16AUG17	S 1 PD16NOV17	10570.00	10576.89	USD	-41.34	
16AUG17	S 1 PD16NOV17	10575.00	10576.89	USD	-11.34	
16AUG17	S 1 PD16NOV17	10655.00	10576.89	USD	468.66	
16AUG17	B 2 PD16NOV17	10680.00	10576.89	USD	-1,237.32	
16AUG17	S 1 PD16NOV17	10750.00	10576.89	USD	1,038.66	
16AUG17	S 1 PD16NOV17	10755.00	10576.89	USD	1,068.66	
16AUG17	B 1 PD16NOV17	10745.00	10576.89	USD	-1,008.66	
16AUG17	B 1 PD16NOV17	10730.00	10576.89	USD	-918.66	
16AUG17	B 1 PD16NOV17	10725.00	10576.89	USD	-888.66	
16AUG17	S 1 PD16NOV17	10735.00	10576.89	USD	948.66	
16AUG17	B 5 PD16NOV17	10750.00	10576.89	USD	-5,193.30	
16AUG17	S 2 PD16NOV17	10555.00	10576.89	USD	-262.68	
16AUG17	S 1 PD16NOV17	10820.00	10576.89	USD	1,458.66	
16AUG17	S 1 PD16NOV17	10810.00	10576.89	USD	1,398.66	
16AUG17	S 2 PD16NOV17	10815.00	10576.89	USD	2,857.32	
16AUG17	S 1 PD16NOV17	10830.00	10576.89	USD	1,518.66	
16AUG17	B 1 PD16NOV17	10765.00	10576.89	USD	-1,128.66	
16AUG17	B 1 PD16NOV17	10755.00	10576.89	USD	-1,068.66	
16AUG17	S 1 PD16NOV17	10775.00	10576.89	USD	1,188.66	
16AUG17	B 1 PD16NOV17	10785.00	10576.89	USD	-1,248.66	
16AUG17	B 1 PD16NOV17	10780.00	10576.89	USD	-1,218.66	
18AUG17	B 3 PD16NOV17	10981.00	10576.89	USD	-7,273.98	
	NET 0 AVG BGHT 10784.59 SOLD 10683.24				**-10,338.00**	**0.00**
17AUG17	S 1 PD17NOV17	10800.00	10577.77	USD	1,333.38	
17AUG17	B 1 PD17NOV17	10775.00	10577.77	USD	-1,183.38	
17AUG17	S 1 PD17NOV17	10775.00	10577.77	USD	1,183.38	
17AUG17	S 1 PD17NOV17	10770.00	10577.77	USD	1,153.38	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
17AUG17	S 1 PD17NOV17	10845.00	10577.77	USD	1,603.38	
17AUG17	S 3 PD17NOV17	10830.00	10577.77	USD	4,540.14	
17AUG17	S 2 PD17NOV17	10790.00	10577.77	USD	2,546.76	
17AUG17	B 1 PD17NOV17	10800.00	10577.77	USD	-1,333.38	
17AUG17	B 1 PD17NOV17	10800.00	10577.77	USD	-1,333.38	
17AUG17	B 1 PD17NOV17	10735.00	10577.77	USD	-943.38	
17AUG17	B 2 PD17NOV17	10760.00	10577.77	USD	-2,186.76	
17AUG17	B 1 PD17NOV17	10770.00	10577.77	USD	-1,153.38	
17AUG17	B 2 PD17NOV17	10765.00	10577.77	USD	-2,246.76	
17AUG17	B 1 PD17NOV17	10740.00	10577.77	USD	-973.38	
17AUG17	B 2 PD17NOV17	10750.00	10577.77	USD	-2,066.76	
17AUG17	S 2 PD17NOV17	10760.00	10577.77	USD	2,186.76	
17AUG17	B 1 PD17NOV17	10725.00	10577.77	USD	-883.38	
17AUG17	S 4 PD17NOV17	10700.00	10577.77	USD	2,933.52	
18AUG17	B 2 PD17NOV17	10981.00	10577.77	USD	-4,838.76	
	NET 0 AVG BGHT 10790.47 SOLD 10772.00				**-1,662.00**	**0.00**
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
08AUG17	B 1 PD08NOV17	20580.00	20877.00	USD	1,485.00	
08AUG17	S 1 PD08NOV17	20515.00	20877.00	USD	-1,810.00	
08AUG17	B 1 PD08NOV17	20470.00	20877.00	USD	2,035.00	
08AUG17	B 1 PD08NOV17	20340.00	20877.00	USD	2,685.00	
08AUG17	B 1 PD08NOV17	20195.00	20877.00	USD	3,410.00	
18AUG17	S 3 PD08NOV17	20266.25	20877.00	USD	-9,161.25	
	NET 0 AVG BGHT 20396.25 SOLD 20328.44				**-1,356.25**	**0.00**
11AUG17	S 1 PD10NOV17	20220.00	20874.20	USD	-3,271.00	
18AUG17	B 1 PD10NOV17	20264.75	20874.20	USD	3,047.25	
	NET 0 AVG BGHT 20264.75 SOLD 20220.00				**-223.75**	**0.00**
14AUG17	S 2 PD14NOV17	20305.00	20871.40	USD	-5,664.00	
18AUG17	B 2 PD14NOV17	20262.25	20871.40	USD	6,091.50	
	NET 0 AVG BGHT 20262.25 SOLD 20305.00				**427.50**	**0.00**
15AUG17	B 2 PD15NOV17	19640.00	20870.00	USD	12,300.00	
15AUG17	S 1 PD15NOV17	20005.00	20870.00	USD	-4,325.00	
15AUG17	S 1 PD15NOV17	20010.00	20870.00	USD	-4,300.00	
	NET 0 AVG BGHT 19640.00 SOLD 20007.50				**3,675.00**	**0.00**
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
17JUL17	S 3 PD17OCT17	2836.50	3291.63	USD	-34,134.75	
17JUL17	S 1 PD17OCT17	2835.00	3291.63	USD	-11,415.75	
17JUL17	S 3 PD17OCT17	2835.50	3291.63	USD	-34,209.75	
17JUL17	S 1 PD17OCT17	2836.00	3291.63	USD	-11,390.75	
17JUL17	S 1 PD17OCT17	2837.50	3291.63	USD	-11,353.25	
17JUL17	S 1 PD17OCT17	2832.00	3291.63	USD	-11,490.75	
27JUL17	B 10 PD17OCT17	2800.95	3291.63	USD	122,670.00	
	NET 0 AVG BGHT 2800.95 SOLD 2835.65				**8,675.00**	**0.00**
20JUL17	B 2 PD20OCT17	2756.50	3282.50	USD	26,300.00	
20JUL17	B 2 PD20OCT17	2756.00	3282.50	USD	26,325.00	
21JUL17	S 4 PD20OCT17	2773.50	3282.50	USD	-50,900.00	
21JUL17	B 1 PD20OCT17	2746.00	3282.50	USD	13,412.50	
21JUL17	B 1 PD20OCT17	2745.50	3282.50	USD	13,425.00	
21JUL17	B 1 PD20OCT17	2745.00	3282.50	USD	13,437.50	
21JUL17	B 2 PD20OCT17	2744.50	3282.50	USD	26,900.00	
21JUL17	B 1 PD20OCT17	2742.50	3282.50	USD	13,500.00	
21JUL17	B 1 PD20OCT17	2743.00	3282.50	USD	13,487.50	
21JUL17	B 2 PD20OCT17	2744.00	3282.50	USD	26,925.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
21JUL17	B 1 PD20OCT17	2746.00	3282.50	USD	13,412.50	
27JUL17	S 10 PD20OCT17	2798.80	3282.50	USD	-120,925.00	
	NET 0 AVG BGHT 2747.86 SOLD 2791.57				**15,300.00**	**0.00**
28JUL17	S 1 PD27OCT17	2784.50	3277.50	USD	-12,325.00	
28JUL17	S 1 PD27OCT17	2788.50	3277.50	USD	-12,225.00	
28JUL17	S 1 PD27OCT17	2791.50	3277.50	USD	-12,150.00	
28JUL17	S 1 PD27OCT17	2792.50	3277.50	USD	-12,125.00	
28JUL17	S 1 PD27OCT17	2796.50	3277.50	USD	-12,025.00	
28JUL17	S 1 PD27OCT17	2797.50	3277.50	USD	-12,000.00	
28JUL17	S 1 PD27OCT17	2796.00	3277.50	USD	-12,037.50	
28JUL17	S 1 PD27OCT17	2791.00	3277.50	USD	-12,162.50	
28JUL17	S 1 PD27OCT17	2779.00	3277.50	USD	-12,462.50	
28JUL17	B 9 PD27OCT17	2776.20	3277.50	USD	112,792.50	
	NET 0 AVG BGHT 2776.20 SOLD 2790.78				**3,280.00**	**0.00**
31JUL17	B 2 PD31OCT17	2781.00	3275.50	USD	24,725.00	
31JUL17	B 2 PD31OCT17	2781.50	3275.50	USD	24,700.00	
07AUG17	S 4 PD31OCT17	2856.50	3275.50	USD	-41,900.00	
	NET 0 AVG BGHT 2781.25 SOLD 2856.50				**7,525.00**	**0.00**
02AUG17	B 2 PD02NOV17	2784.00	3273.50	USD	24,475.00	
02AUG17	B 2 PD02NOV17	2783.50	3273.50	USD	24,500.00	
07AUG17	S 4 PD02NOV17	2856.93	3273.50	USD	-41,657.00	
	NET 0 AVG BGHT 2783.75 SOLD 2856.93				**7,318.00**	**0.00**
03AUG17	B 1 PD03NOV17	2811.00	3272.00	USD	11,525.00	
03AUG17	B 1 PD03NOV17	2795.00	3272.00	USD	11,925.00	
03AUG17	B 1 PD03NOV17	2794.00	3272.00	USD	11,950.00	
03AUG17	B 1 PD03NOV17	2794.50	3272.00	USD	11,937.50	
03AUG17	B 1 PD03NOV17	2793.50	3272.00	USD	11,962.50	
04AUG17	B 9 PD03NOV17	2826.00	3272.00	USD	100,350.00	
04AUG17	B 12 PD03NOV17	2817.50	3272.00	USD	136,350.00	
04AUG17	S 24 PD03NOV17	2811.00	3272.00	USD	-276,600.00	
04AUG17	S 1 PD03NOV17	2809.50	3272.00	USD	-11,562.50	
07AUG17	B 8 PD03NOV17	2857.34	3272.00	USD	82,932.00	
22AUG17	S 9 PD03NOV17	3117.68	3272.00	USD	-34,722.00	
	NET 0 AVG BGHT 2826.20 SOLD 2892.14				**56,047.50**	**0.00**
10AUG17	B 1 PD10NOV17	2939.50	3272.00	USD	8,312.50	
11AUG17	S 1 PD10NOV17	2912.00	3272.00	USD	-9,000.00	
	NET 0 AVG BGHT 2939.50 SOLD 2912.00				**-687.50**	**0.00**
16AUG17	B 1 PD16NOV17	3070.00	3267.50	USD	4,937.50	
18AUG17	S 1 PD16NOV17	3124.50	3267.50	USD	-3,575.00	
	NET 0 AVG BGHT 3070.00 SOLD 3124.50				**1,362.50**	**0.00**
17AUG17	B 1 PD17NOV17	3097.00	3265.50	USD	4,212.50	
17AUG17	S 1 PD17NOV17	3090.50	3265.50	USD	-4,375.00	
17AUG17	S 1 PD17NOV17	3068.00	3265.50	USD	-4,937.50	
18AUG17	B 1 PD17NOV17	3124.20	3265.50	USD	3,532.50	
	NET 0 AVG BGHT 3110.60 SOLD 3079.25				**-1,567.50**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	149,856.50
LME Copper Grade A 25	FUTURES	332,839.00
LME Lead 25 tonnes. This is an	FUTURES	122,834.75
LME Nickel 6 tonnes. This is	FUTURES	-3,068.04
LME Tin 5 tonnes. This is an	FUTURES	2,522.50
LME Zinc 25 tonnes. This is	FUTURES	97,253.00

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards			Amount	Profit / Loss	**Total Amount**	**Total P&L**
ALUL	- Futures	USD	149,856.50	149,856.50		
COPL	- Futures	USD	332,839.00	332,839.00		
LEAD	- Futures	USD	122,834.75	122,834.75		
NKL	- Futures	USD	-3,068.04	-3,068.04		
TIN	- Futures	USD	2,522.50	2,522.50		
ZINC	- Futures	USD	97,253.00	97,253.00	**702,237.71**	**702,237.71**

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		1,019,219.99	1,019,219.99
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**1,019,219.99**	**1,019,219.99**
Initial Margins		0.00	0.00
Excess/(Shortage)		**1,019,219.99**	**1,019,219.99**
FOR INFORMATION ONLY			
Forward Unrealised P&L		702,237.71	702,237.71
Net Liquidating Value		**1,721,457.70**	**1,721,457.70**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
04JUL17	S 1 PD04OCT17	5894.00	6467.48	USD	-14,337.00	
04JUL17	S 2 PD04OCT17	5895.00	6467.48	USD	-28,624.00	
04JUL17	S 1 PD04OCT17	5879.00	6467.48	USD	-14,712.00	
04JUL17	B 1 PD04OCT17	5873.00	6467.48	USD	14,862.00	
04JUL17	B 1 PD04OCT17	5874.50	6467.48	USD	14,824.50	
04JUL17	B 1 PD04OCT17	5876.00	6467.48	USD	14,787.00	
04JUL17	B 2 PD04OCT17	5885.00	6467.48	USD	29,124.00	
04JUL17	S 1 PD04OCT17	5894.50	6467.48	USD	-14,324.50	
	NET 0 AVG BGHT 5878.70 SOLD 5891.50				**1,600.00**	**0.00**
05JUL17	S 2 PD05OCT17	5872.00	6468.75	USD	-29,837.50	
05JUL17	B 1 PD05OCT17	5870.50	6468.75	USD	14,956.25	
05JUL17	B 1 PD05OCT17	5860.50	6468.75	USD	15,206.25	
05JUL17	S 1 PD05OCT17	5857.00	6468.75	USD	-15,293.75	
05JUL17	S 1 PD05OCT17	5859.50	6468.75	USD	-15,231.25	
05JUL17	S 1 PD05OCT17	5866.00	6468.75	USD	-15,068.75	
05JUL17	B 1 PD05OCT17	5832.00	6468.75	USD	15,918.75	
05JUL17	B 1 PD05OCT17	5843.00	6468.75	USD	15,643.75	
05JUL17	B 1 PD05OCT17	5846.00	6468.75	USD	15,568.75	
	NET 0 AVG BGHT 5850.40 SOLD 5865.30				**1,862.50**	**0.00**
06JUL17	S 1 PD06OCT17	5841.00	6470.02	USD	-15,725.50	
06JUL17	S 1 PD06OCT17	5841.50	6470.02	USD	-15,713.00	
06JUL17	B 1 PD06OCT17	5865.00	6470.02	USD	15,125.50	
06JUL17	B 1 PD06OCT17	5841.00	6470.02	USD	15,725.50	
07JUL17	S 1 PD06OCT17	5848.50	6470.02	USD	-15,538.00	
07JUL17	S 1 PD06OCT17	5860.00	6470.02	USD	-15,250.50	
07JUL17	B 1 PD06OCT17	5845.50	6470.02	USD	15,613.00	
07JUL17	B 1 PD06OCT17	5826.00	6470.02	USD	16,100.50	
	NET 0 AVG BGHT 5844.38 SOLD 5847.75				**337.50**	**0.00**
10JUL17	S 2 PD10OCT17	5839.00	6475.10	USD	-31,805.00	
10JUL17	B 1 PD10OCT17	5807.00	6475.10	USD	16,702.50	
10JUL17	S 1 PD10OCT17	5838.50	6475.10	USD	-15,915.00	
10JUL17	B 1 PD10OCT17	5797.00	6475.10	USD	16,952.50	
10JUL17	S 1 PD10OCT17	5794.00	6475.10	USD	-17,027.50	
10JUL17	B 1 PD10OCT17	5802.00	6475.10	USD	16,827.50	
10JUL17	B 1 PD10OCT17	5796.00	6475.10	USD	16,977.50	
10JUL17	B 1 PD10OCT17	5806.00	6475.10	USD	16,727.50	
10JUL17	S 2 PD10OCT17	5801.50	6475.10	USD	-33,680.00	
10JUL17	S 1 PD10OCT17	5827.50	6475.10	USD	-16,190.00	
10JUL17	B 2 PD10OCT17	5825.00	6475.10	USD	32,505.00	
	NET 0 AVG BGHT 5808.29 SOLD 5820.14				**2,075.00**	**0.00**
11JUL17	B 1 PD11OCT17	5840.50	6476.37	USD	15,896.75	
11JUL17	S 1 PD11OCT17	5833.00	6476.37	USD	-16,084.25	
11JUL17	B 1 PD11OCT17	5850.50	6476.37	USD	15,646.75	
11JUL17	S 1 PD11OCT17	5850.00	6476.37	USD	-15,659.25	
11JUL17	S 2 PD11OCT17	5828.00	6476.37	USD	-32,418.50	
11JUL17	B 1 PD11OCT17	5824.50	6476.37	USD	16,296.75	
11JUL17	S 1 PD11OCT17	5824.00	6476.37	USD	-16,309.25	
11JUL17	B 2 PD11OCT17	5830.50	6476.37	USD	32,293.50	
11JUL17	B 1 PD11OCT17	5853.00	6476.37	USD	15,584.25	
11JUL17	S 2 PD11OCT17	5843.00	6476.37	USD	-31,668.50	
11JUL17	B 1 PD11OCT17	5837.00	6476.37	USD	15,984.25	
11JUL17	B 2 PD11OCT17	5865.50	6476.37	USD	30,543.50	
11JUL17	S 1 PD11OCT17	5869.00	6476.37	USD	-15,184.25	
11JUL17	S 1 PD11OCT17	5869.50	6476.37	USD	-15,171.75	
	NET 0 AVG BGHT 5844.17 SOLD 5843.06				**-250.00**	**0.00**
12JUL17	S 1 PD12OCT17	5898.00	6477.63	USD	-14,490.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
12JUL17	S 1 PD12OCT17	5905.00	6477.63	USD	-14,315.75	
12JUL17	B 1 PD12OCT17	5891.00	6477.63	USD	14,665.75	
12JUL17	B 1 PD12OCT17	5889.00	6477.63	USD	14,715.75	
	NET 0 AVG BGHT 5890.00 SOLD 5901.50				**575.00**	**0.00**
13JUL17	S 2 PD13OCT17	5918.00	6478.90	USD	-28,045.00	
13JUL17	S 1 PD13OCT17	5919.50	6478.90	USD	-13,985.00	
13JUL17	B 1 PD13OCT17	5881.00	6478.90	USD	14,947.50	
13JUL17	S 1 PD13OCT17	5884.50	6478.90	USD	-14,860.00	
13JUL17	B 2 PD13OCT17	5892.50	6478.90	USD	29,320.00	
13JUL17	B 1 PD13OCT17	5875.50	6478.90	USD	15,085.00	
14JUL17	B 1 PD13OCT17	5876.00	6478.90	USD	15,072.50	
14JUL17	S 1 PD13OCT17	5873.00	6478.90	USD	-15,147.50	
14JUL17	B 1 PD13OCT17	5892.00	6478.90	USD	14,672.50	
14JUL17	B 1 PD13OCT17	5897.00	6478.90	USD	14,547.50	
14JUL17	B 1 PD13OCT17	5902.50	6478.90	USD	14,410.00	
14JUL17	S 1 PD13OCT17	5897.00	6478.90	USD	-14,547.50	
14JUL17	S 1 PD13OCT17	5897.50	6478.90	USD	-14,535.00	
14JUL17	S 1 PD13OCT17	5908.50	6478.90	USD	-14,260.00	
14JUL17	S 1 PD13OCT17	5918.50	6478.90	USD	-14,010.00	
14JUL17	S 1 PD13OCT17	5927.50	6478.90	USD	-13,785.00	
14JUL17	S 1 PD13OCT17	5928.00	6478.90	USD	-13,772.50	
14JUL17	B 3 PD13OCT17	5923.50	6478.90	USD	41,655.00	
	NET 0 AVG BGHT 5898.14 SOLD 5908.18				**2,762.50**	**0.00**
17JUL17	S 2 PD17OCT17	5983.00	6483.98	USD	-25,049.00	
17JUL17	S 1 PD17OCT17	5992.00	6483.98	USD	-12,299.50	
17JUL17	B 1 PD17OCT17	5977.50	6483.98	USD	12,662.00	
17JUL17	B 1 PD17OCT17	6004.00	6483.98	USD	11,999.50	
17JUL17	B 1 PD17OCT17	6002.00	6483.98	USD	12,049.50	
	NET 0 AVG BGHT 5994.50 SOLD 5986.00				**-637.50**	**0.00**
18JUL17	S 1 PD18OCT17	5994.00	6485.25	USD	-12,281.25	
18JUL17	S 1 PD18OCT17	5996.00	6485.25	USD	-12,231.25	
18JUL17	S 1 PD18OCT17	5990.00	6485.25	USD	-12,381.25	
18JUL17	B 3 PD18OCT17	5980.00	6485.25	USD	37,893.75	
18JUL17	B 1 PD18OCT17	5999.00	6485.25	USD	12,156.25	
18JUL17	S 1 PD18OCT17	5998.50	6485.25	USD	-12,168.75	
18JUL17	S 1 PD18OCT17	5999.00	6485.25	USD	-12,156.25	
18JUL17	B 1 PD18OCT17	6002.00	6485.25	USD	12,081.25	
18JUL17	S 2 PD18OCT17	6009.50	6485.25	USD	-23,787.50	
18JUL17	S 1 PD18OCT17	6007.50	6485.25	USD	-11,943.75	
18JUL17	B 1 PD18OCT17	6005.50	6485.25	USD	11,993.75	
18JUL17	B 2 PD18OCT17	6006.00	6485.25	USD	23,962.50	
	NET 0 AVG BGHT 5994.81 SOLD 6000.50				**1,137.50**	**0.00**
19JUL17	S 1 PD19OCT17	6011.00	6486.00	USD	-11,875.00	
19JUL17	S 3 PD19OCT17	6014.50	6486.00	USD	-35,362.50	
19JUL17	B 1 PD19OCT17	6000.50	6486.00	USD	12,137.50	
19JUL17	S 1 PD19OCT17	5996.50	6486.00	USD	-12,237.50	
19JUL17	B 1 PD19OCT17	6012.00	6486.00	USD	11,850.00	
19JUL17	B 3 PD19OCT17	5997.00	6486.00	USD	36,675.00	
19JUL17	S 1 PD19OCT17	5995.00	6486.00	USD	-12,275.00	
19JUL17	S 1 PD19OCT17	5996.00	6486.00	USD	-12,250.00	
19JUL17	B 1 PD19OCT17	5983.00	6486.00	USD	12,575.00	
19JUL17	B 1 PD19OCT17	5984.00	6486.00	USD	12,550.00	
19JUL17	S 1 PD19OCT17	5980.00	6486.00	USD	-12,650.00	
19JUL17	S 1 PD19OCT17	5983.00	6486.00	USD	-12,575.00	
19JUL17	S 1 PD19OCT17	5989.50	6486.00	USD	-12,412.50	
19JUL17	S 1 PD19OCT17	5993.50	6486.00	USD	-12,312.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
19JUL17	B 1 PD19OCT17	5985.00	6486.00	USD	12,525.00	
19JUL17	B 1 PD19OCT17	5986.00	6486.00	USD	12,500.00	
19JUL17	B 2 PD19OCT17	5982.50	6486.00	USD	25,175.00	
19JUL17	S 1 PD19OCT17	5970.00	6486.00	USD	-12,900.00	
24JUL17	B 1 PD19OCT17	6026.50	6486.00	USD	11,487.50	
	NET 0 AVG BGHT 5994.42 SOLD 5996.50				**625.00**	**0.00**
20JUL17	S 1 PD20OCT17	5970.50	6486.75	USD	-12,906.25	
20JUL17	S 1 PD20OCT17	5972.50	6486.75	USD	-12,856.25	
20JUL17	B 3 PD20OCT17	5980.50	6486.75	USD	37,968.75	
20JUL17	B 1 PD20OCT17	5984.00	6486.75	USD	12,568.75	
20JUL17	S 1 PD20OCT17	5984.50	6486.75	USD	-12,556.25	
20JUL17	S 2 PD20OCT17	5984.00	6486.75	USD	-25,137.50	
20JUL17	S 1 PD20OCT17	5986.00	6486.75	USD	-12,518.75	
20JUL17	B 1 PD20OCT17	5971.00	6486.75	USD	12,893.75	
20JUL17	S 1 PD20OCT17	5978.00	6486.75	USD	-12,718.75	
20JUL17	B 2 PD20OCT17	5983.00	6486.75	USD	25,187.50	
20JUL17	S 1 PD20OCT17	5985.00	6486.75	USD	-12,543.75	
20JUL17	B 2 PD20OCT17	5975.50	6486.75	USD	25,562.50	
20JUL17	S 1 PD20OCT17	5971.50	6486.75	USD	-12,881.25	
20JUL17	S 1 PD20OCT17	5973.50	6486.75	USD	-12,831.25	
20JUL17	B 1 PD20OCT17	5951.50	6486.75	USD	13,381.25	
20JUL17	B 1 PD20OCT17	5952.50	6486.75	USD	13,356.25	
20JUL17	B 1 PD20OCT17	5970.00	6486.75	USD	12,918.75	
20JUL17	B 1 PD20OCT17	5970.50	6486.75	USD	12,906.25	
20JUL17	S 1 PD20OCT17	5955.00	6486.75	USD	-13,293.75	
20JUL17	S 1 PD20OCT17	5954.50	6486.75	USD	-13,306.25	
20JUL17	S 1 PD20OCT17	5956.50	6486.75	USD	-13,256.25	
20JUL17	S 1 PD20OCT17	5958.00	6486.75	USD	-13,218.75	
20JUL17	B 1 PD20OCT17	5960.00	6486.75	USD	13,168.75	
20JUL17	S 1 PD20OCT17	5965.00	6486.75	USD	-13,043.75	
20JUL17	S 2 PD20OCT17	5967.50	6486.75	USD	-25,962.50	
20JUL17	B 4 PD20OCT17	5983.50	6486.75	USD	50,325.00	
21JUL17	B 2 PD20OCT17	6001.00	6486.75	USD	24,287.50	
21JUL17	S 1 PD20OCT17	6007.00	6486.75	USD	-11,993.75	
21JUL17	S 1 PD20OCT17	6014.00	6486.75	USD	-11,818.75	
21JUL17	S 1 PD20OCT17	6029.00	6486.75	USD	-11,443.75	
21JUL17	B 1 PD20OCT17	6039.00	6486.75	USD	11,193.75	
21JUL17	B 1 PD20OCT17	6020.50	6486.75	USD	11,656.25	
21JUL17	B 1 PD20OCT17	6013.50	6486.75	USD	11,831.25	
21JUL17	S 1 PD20OCT17	6019.50	6486.75	USD	-11,681.25	
21JUL17	S 1 PD20OCT17	6020.00	6486.75	USD	-11,668.75	
21JUL17	B 2 PD20OCT17	6000.50	6486.75	USD	24,312.50	
21JUL17	S 2 PD20OCT17	5999.00	6486.75	USD	-24,387.50	
24JUL17	S 1 PD20OCT17	6025.30	6486.75	USD	-11,536.25	
	NET 0 AVG BGHT 5985.12 SOLD 5985.05				**-42.50**	**0.00**
24JUL17	B 1 PD24OCT17	5993.00	6489.75	USD	12,418.75	
24JUL17	S 1 PD24OCT17	5995.00	6489.75	USD	-12,368.75	
24JUL17	S 4 PD24OCT17	6026.00	6489.75	USD	-46,375.00	
24JUL17	B 1 PD24OCT17	6025.00	6489.75	USD	11,618.75	
24JUL17	B 2 PD24OCT17	6026.50	6489.75	USD	23,162.50	
24JUL17	B 3 PD24OCT17	6027.00	6489.75	USD	34,706.25	
24JUL17	S 2 PD24OCT17	6023.00	6489.75	USD	-23,337.50	
	NET 0 AVG BGHT 6021.71 SOLD 6020.71				**-175.00**	**0.00**
25JUL17	B 1 PD25OCT17	6042.00	6490.29	USD	11,207.25	
25JUL17	S 1 PD25OCT17	6047.00	6490.29	USD	-11,082.25	
25JUL17	S 2 PD25OCT17	6067.00	6490.29	USD	-21,164.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
25JUL17	B 1 PD25OCT17	6101.00	6490.29	USD	9,732.25	
25JUL17	B 1 PD25OCT17	6103.00	6490.29	USD	9,682.25	
25JUL17	S 2 PD25OCT17	6094.50	6490.29	USD	-19,789.50	
25JUL17	S 1 PD25OCT17	6119.00	6490.29	USD	-9,282.25	
25JUL17	S 1 PD25OCT17	6124.50	6490.29	USD	-9,144.75	
25JUL17	S 1 PD25OCT17	6129.00	6490.29	USD	-9,032.25	
25JUL17	B 1 PD25OCT17	6182.00	6490.29	USD	7,707.25	
25JUL17	S 1 PD25OCT17	6189.00	6490.29	USD	-7,532.25	
25JUL17	B 2 PD25OCT17	6094.00	6490.29	USD	19,814.50	
25JUL17	B 1 PD25OCT17	6187.50	6490.29	USD	7,569.75	
25JUL17	S 1 PD25OCT17	6194.50	6490.29	USD	-7,394.75	
25JUL17	B 1 PD25OCT17	6180.00	6490.29	USD	7,757.25	
25JUL17	B 1 PD25OCT17	6180.50	6490.29	USD	7,744.75	
25JUL17	S 1 PD25OCT17	6199.50	6490.29	USD	-7,269.75	
25JUL17	S 1 PD25OCT17	6210.00	6490.29	USD	-7,007.25	
25JUL17	S 1 PD25OCT17	6219.00	6490.29	USD	-6,782.25	
25JUL17	B 1 PD25OCT17	6210.50	6490.29	USD	6,994.75	
25JUL17	B 1 PD25OCT17	6215.00	6490.29	USD	6,882.25	
25JUL17	S 1 PD25OCT17	6228.00	6490.29	USD	-6,557.25	
25JUL17	B 1 PD25OCT17	6221.00	6490.29	USD	6,732.25	
25JUL17	B 1 PD25OCT17	6192.00	6490.29	USD	7,457.25	
25JUL17	B 1 PD25OCT17	6202.00	6490.29	USD	7,207.25	
	NET 0 AVG BGHT 6157.46 SOLD 6141.61				**-5,550.00**	**0.00**
26JUL17	S 1 PD26OCT17	6264.50	6490.82	USD	-5,658.00	
26JUL17	S 1 PD26OCT17	6265.00	6490.82	USD	-5,645.50	
26JUL17	B 1 PD26OCT17	6335.50	6490.82	USD	3,883.00	
26JUL17	B 1 PD26OCT17	6342.00	6490.82	USD	3,720.50	
26JUL17	S 2 PD26OCT17	6345.00	6490.82	USD	-7,291.00	
26JUL17	B 1 PD26OCT17	6362.00	6490.82	USD	3,220.50	
26JUL17	B 1 PD26OCT17	6362.50	6490.82	USD	3,208.00	
26JUL17	S 1 PD26OCT17	6389.50	6490.82	USD	-2,533.00	
26JUL17	B 1 PD26OCT17	6291.00	6490.82	USD	4,995.50	
26JUL17	B 1 PD26OCT17	6296.50	6490.82	USD	4,858.00	
26JUL17	B 1 PD26OCT17	6311.50	6490.82	USD	4,483.00	
26JUL17	B 1 PD26OCT17	6322.50	6490.82	USD	4,208.00	
26JUL17	B 1 PD26OCT17	6332.50	6490.82	USD	3,958.00	
26JUL17	S 1 PD26OCT17	6305.50	6490.82	USD	-4,633.00	
26JUL17	S 1 PD26OCT17	6331.50	6490.82	USD	-3,983.00	
26JUL17	S 2 PD26OCT17	6302.00	6490.82	USD	-9,441.00	
26JUL17	S 1 PD26OCT17	6338.00	6490.82	USD	-3,820.50	
26JUL17	S 1 PD26OCT17	6339.50	6490.82	USD	-3,783.00	
26JUL17	B 1 PD26OCT17	6330.00	6490.82	USD	4,020.50	
26JUL17	S 1 PD26OCT17	6340.00	6490.82	USD	-3,770.50	
26JUL17	B 1 PD26OCT17	6315.50	6490.82	USD	4,383.00	
26JUL17	B 1 PD26OCT17	6331.00	6490.82	USD	3,995.50	
26JUL17	S 1 PD26OCT17	6327.50	6490.82	USD	-4,083.00	
14AUG17	B 1 PD26OCT17	6390.25	6490.82	USD	2,514.25	
	NET 0 AVG BGHT 6332.52 SOLD 6322.69				**-3,193.75**	**0.00**
27JUL17	S 1 PD27OCT17	6308.00	6491.36	USD	-4,584.00	
27JUL17	S 1 PD27OCT17	6319.00	6491.36	USD	-4,309.00	
27JUL17	B 1 PD27OCT17	6340.50	6491.36	USD	3,771.50	
27JUL17	S 1 PD27OCT17	6338.00	6491.36	USD	-3,834.00	
27JUL17	S 1 PD27OCT17	6345.50	6491.36	USD	-3,646.50	
27JUL17	B 1 PD27OCT17	6347.50	6491.36	USD	3,596.50	
27JUL17	B 3 PD27OCT17	6325.00	6491.36	USD	12,477.00	
27JUL17	S 1 PD27OCT17	6369.50	6491.36	USD	-3,046.50	
27JUL17	B 1 PD27OCT17	6356.50	6491.36	USD	3,371.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
28JUL17	B 1 PD27OCT17	6260.50	6491.36	USD	5,771.50	
28JUL17	B 1 PD27OCT17	6265.50	6491.36	USD	5,646.50	
28JUL17	B 1 PD27OCT17	6285.50	6491.36	USD	5,146.50	
28JUL17	S 1 PD27OCT17	6267.50	6491.36	USD	-5,596.50	
28JUL17	S 1 PD27OCT17	6269.50	6491.36	USD	-5,546.50	
28JUL17	B 1 PD27OCT17	6290.50	6491.36	USD	5,021.50	
28JUL17	B 2 PD27OCT17	6310.50	6491.36	USD	9,043.00	
28JUL17	B 2 PD27OCT17	6312.00	6491.36	USD	8,968.00	
28JUL17	S 1 PD27OCT17	6296.00	6491.36	USD	-4,884.00	
28JUL17	S 1 PD27OCT17	6298.50	6491.36	USD	-4,821.50	
28JUL17	S 1 PD27OCT17	6314.00	6491.36	USD	-4,434.00	
28JUL17	S 1 PD27OCT17	6322.00	6491.36	USD	-4,234.00	
28JUL17	S 1 PD27OCT17	6322.50	6491.36	USD	-4,221.50	
28JUL17	S 1 PD27OCT17	6317.00	6491.36	USD	-4,359.00	
28JUL17	B 1 PD27OCT17	6311.00	6491.36	USD	4,509.00	
28JUL17	B 1 PD27OCT17	6340.50	6491.36	USD	3,771.50	
28JUL17	S 1 PD27OCT17	6340.00	6491.36	USD	-3,784.00	
28JUL17	B 1 PD27OCT17	6318.00	6491.36	USD	4,334.00	
28JUL17	S 2 PD27OCT17	6324.50	6491.36	USD	-8,343.00	
14AUG17	S 1 PD27OCT17	6390.41	6491.36	USD	-2,523.75	
	NET 0 AVG BGHT 6313.88 SOLD 6321.55				**3,260.25**	**0.00**
31JUL17	B 1 PD31OCT17	6362.50	6493.50	USD	3,275.00	
31JUL17	B 2 PD31OCT17	6381.00	6493.50	USD	5,625.00	
31JUL17	S 1 PD31OCT17	6347.00	6493.50	USD	-3,662.50	
31JUL17	B 1 PD31OCT17	6389.00	6493.50	USD	2,612.50	
31JUL17	B 2 PD31OCT17	6403.00	6493.50	USD	4,525.00	
31JUL17	B 1 PD31OCT17	6420.50	6493.50	USD	1,825.00	
31JUL17	S 1 PD31OCT17	6350.00	6493.50	USD	-3,587.50	
31JUL17	S 1 PD31OCT17	6363.00	6493.50	USD	-3,262.50	
31JUL17	S 1 PD31OCT17	6374.00	6493.50	USD	-2,987.50	
31JUL17	S 1 PD31OCT17	6384.50	6493.50	USD	-2,725.00	
31JUL17	S 1 PD31OCT17	6394.00	6493.50	USD	-2,487.50	
31JUL17	S 1 PD31OCT17	6428.00	6493.50	USD	-1,637.50	
	NET 0 AVG BGHT 6391.43 SOLD 6377.21				**-2,487.50**	**0.00**
01AUG17	B 1 PD01NOV17	6330.50	6494.09	USD	4,089.75	
01AUG17	S 1 PD01NOV17	6336.00	6494.09	USD	-3,952.25	
01AUG17	B 1 PD01NOV17	6318.00	6494.09	USD	4,402.25	
01AUG17	S 1 PD01NOV17	6324.50	6494.09	USD	-4,239.75	
01AUG17	B 1 PD01NOV17	6338.00	6494.09	USD	3,902.25	
01AUG17	S 1 PD01NOV17	6338.00	6494.09	USD	-3,902.25	
01AUG17	B 1 PD01NOV17	6352.00	6494.09	USD	3,552.25	
01AUG17	S 1 PD01NOV17	6357.50	6494.09	USD	-3,414.75	
	NET 0 AVG BGHT 6334.63 SOLD 6339.00				**437.50**	**0.00**
02AUG17	S 1 PD02NOV17	6335.00	6494.69	USD	-3,992.25	
02AUG17	S 1 PD02NOV17	6330.00	6494.69	USD	-4,117.25	
02AUG17	B 1 PD02NOV17	6325.50	6494.69	USD	4,229.75	
02AUG17	B 1 PD02NOV17	6330.00	6494.69	USD	4,117.25	
02AUG17	S 3 PD02NOV17	6337.00	6494.69	USD	-11,826.75	
02AUG17	B 1 PD02NOV17	6334.00	6494.69	USD	4,017.25	
02AUG17	B 2 PD02NOV17	6337.00	6494.69	USD	7,884.50	
02AUG17	B 1 PD02NOV17	6331.50	6494.69	USD	4,079.75	
02AUG17	S 1 PD02NOV17	6336.50	6494.69	USD	-3,954.75	
	NET 0 AVG BGHT 6332.50 SOLD 6335.42				**437.50**	**0.00**
03AUG17	B 1 PD03NOV17	6337.00	6495.28	USD	3,957.00	
03AUG17	B 1 PD03NOV17	6342.50	6495.28	USD	3,819.50	
03AUG17	S 1 PD03NOV17	6345.00	6495.28	USD	-3,757.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
03AUG17	S 1 PD03NOV17	6346.00	6495.28	USD	-3,732.00	
03AUG17	B 1 PD03NOV17	6323.00	6495.28	USD	4,307.00	
03AUG17	S 1 PD03NOV17	6327.00	6495.28	USD	-4,207.00	
03AUG17	S 1 PD03NOV17	6354.50	6495.28	USD	-3,519.50	
03AUG17	S 1 PD03NOV17	6349.00	6495.28	USD	-3,657.00	
04AUG17	B 1 PD03NOV17	6370.50	6495.28	USD	3,119.50	
04AUG17	B 1 PD03NOV17	6376.00	6495.28	USD	2,982.00	
04AUG17	B 1 PD03NOV17	6377.00	6495.28	USD	2,957.00	
04AUG17	S 1 PD03NOV17	6365.00	6495.28	USD	-3,257.00	
04AUG17	S 1 PD03NOV17	6368.50	6495.28	USD	-3,169.50	
04AUG17	S 2 PD03NOV17	6361.50	6495.28	USD	-6,689.00	
04AUG17	B 1 PD03NOV17	6330.50	6495.28	USD	4,119.50	
04AUG17	B 1 PD03NOV17	6344.50	6495.28	USD	3,769.50	
04AUG17	B 1 PD03NOV17	6345.00	6495.28	USD	3,757.00	
04AUG17	S 1 PD03NOV17	6332.00	6495.28	USD	-4,082.00	
04AUG17	B 3 PD03NOV17	6342.50	6495.28	USD	11,458.50	
04AUG17	S 1 PD03NOV17	6334.50	6495.28	USD	-4,019.50	
04AUG17	S 1 PD03NOV17	6337.50	6495.28	USD	-3,944.50	
04AUG17	S 1 PD03NOV17	6340.50	6495.28	USD	-3,869.50	
04AUG17	S 1 PD03NOV17	6342.00	6495.28	USD	-3,832.00	
04AUG17	S 1 PD03NOV17	6342.50	6495.28	USD	-3,819.50	
04AUG17	B 1 PD03NOV17	6348.00	6495.28	USD	3,682.00	
04AUG17	S 1 PD03NOV17	6351.50	6495.28	USD	-3,594.50	
14AUG17	B 3 PD03NOV17	6393.09	6495.28	USD	7,664.25	
	NET 0 AVG BGHT 6356.30 SOLD 6347.41				**-3,556.75**	**0.00**
07AUG17	B 1 PD07NOV17	6340.50	6497.66	USD	3,929.00	
07AUG17	S 1 PD07NOV17	6368.50	6497.66	USD	-3,229.00	
07AUG17	B 2 PD07NOV17	6342.50	6497.66	USD	7,758.00	
07AUG17	B 3 PD07NOV17	6362.50	6497.66	USD	10,137.00	
07AUG17	B 1 PD07NOV17	6375.50	6497.66	USD	3,054.00	
07AUG17	B 1 PD07NOV17	6382.50	6497.66	USD	2,879.00	
07AUG17	B 1 PD07NOV17	6391.00	6497.66	USD	2,666.50	
07AUG17	B 1 PD07NOV17	6395.50	6497.66	USD	2,554.00	
07AUG17	B 1 PD07NOV17	6402.50	6497.66	USD	2,379.00	
07AUG17	S 1 PD07NOV17	6349.50	6497.66	USD	-3,704.00	
07AUG17	S 1 PD07NOV17	6348.00	6497.66	USD	-3,741.50	
07AUG17	S 1 PD07NOV17	6348.50	6497.66	USD	-3,729.00	
07AUG17	S 2 PD07NOV17	6349.00	6497.66	USD	-7,433.00	
07AUG17	S 2 PD07NOV17	6374.50	6497.66	USD	-6,158.00	
07AUG17	S 1 PD07NOV17	6364.00	6497.66	USD	-3,341.50	
07AUG17	S 1 PD07NOV17	6370.00	6497.66	USD	-3,191.50	
07AUG17	S 1 PD07NOV17	6375.00	6497.66	USD	-3,066.50	
07AUG17	B 1 PD07NOV17	6383.00	6497.66	USD	2,866.50	
07AUG17	S 1 PD07NOV17	6398.00	6497.66	USD	-2,491.50	
07AUG17	B 1 PD07NOV17	6408.50	6497.66	USD	2,229.00	
07AUG17	B 1 PD07NOV17	6422.50	6497.66	USD	1,879.00	
07AUG17	S 1 PD07NOV17	6418.00	6497.66	USD	-1,991.50	
07AUG17	S 1 PD07NOV17	6432.00	6497.66	USD	-1,641.50	
07AUG17	B 1 PD07NOV17	6415.50	6497.66	USD	2,054.00	
07AUG17	B 1 PD07NOV17	6423.00	6497.66	USD	1,866.50	
07AUG17	S 1 PD07NOV17	6429.00	6497.66	USD	-1,716.50	
07AUG17	B 1 PD07NOV17	6405.50	6497.66	USD	2,304.00	
07AUG17	S 1 PD07NOV17	6400.00	6497.66	USD	-2,441.50	
07AUG17	S 1 PD07NOV17	6388.50	6497.66	USD	-2,729.00	
07AUG17	B 1 PD07NOV17	6413.50	6497.66	USD	2,104.00	
07AUG17	B 1 PD07NOV17	6414.00	6497.66	USD	2,091.50	
07AUG17	B 1 PD07NOV17	6417.00	6497.66	USD	2,016.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
14AUG17	S 3 PD07NOV17	6394.31	6497.66	USD	-7,751.25	
	NET 0 AVG BGHT 6388.13 SOLD 6380.95				**-3,589.25**	**0.00**
08AUG17	B 1 PD08NOV17	6396.00	6498.25	USD	2,556.25	
08AUG17	S 1 PD08NOV17	6404.00	6498.25	USD	-2,356.25	
08AUG17	B 1 PD08NOV17	6380.50	6498.25	USD	2,943.75	
08AUG17	S 1 PD08NOV17	6381.00	6498.25	USD	-2,931.25	
	NET 0 AVG BGHT 6388.25 SOLD 6392.50				**212.50**	**0.00**
09AUG17	B 2 PD09NOV17	6501.00	6498.71	USD	-114.50	
09AUG17	S 1 PD09NOV17	6505.50	6498.71	USD	169.75	
09AUG17	S 1 PD09NOV17	6508.00	6498.71	USD	232.25	
09AUG17	S 3 PD09NOV17	6509.50	6498.71	USD	809.25	
23AUG17	B 3 PD09NOV17	6563.50	6498.71	USD	-4,859.25	
	NET 0 AVG BGHT 6538.50 SOLD 6508.40				**-3,762.50**	**0.00**
10AUG17	B 1 PD10NOV17	6434.00	6499.18	USD	1,629.50	
10AUG17	B 1 PD10NOV17	6400.50	6499.18	USD	2,467.00	
10AUG17	B 1 PD10NOV17	6410.50	6499.18	USD	2,217.00	
11AUG17	B 1 PD10NOV17	6351.00	6499.18	USD	3,704.50	
11AUG17	S 1 PD10NOV17	6342.00	6499.18	USD	-3,929.50	
11AUG17	B 1 PD10NOV17	6356.00	6499.18	USD	3,579.50	
11AUG17	B 1 PD10NOV17	6367.00	6499.18	USD	3,304.50	
11AUG17	S 1 PD10NOV17	6357.00	6499.18	USD	-3,554.50	
11AUG17	S 1 PD10NOV17	6363.00	6499.18	USD	-3,404.50	
11AUG17	B 1 PD10NOV17	6355.00	6499.18	USD	3,604.50	
11AUG17	S 1 PD10NOV17	6360.00	6499.18	USD	-3,479.50	
11AUG17	B 1 PD10NOV17	6372.50	6499.18	USD	3,167.00	
11AUG17	B 1 PD10NOV17	6374.00	6499.18	USD	3,129.50	
11AUG17	S 2 PD10NOV17	6378.00	6499.18	USD	-6,059.00	
11AUG17	S 1 PD10NOV17	6383.00	6499.18	USD	-2,904.50	
11AUG17	B 1 PD10NOV17	6407.50	6499.18	USD	2,292.00	
11AUG17	S 1 PD10NOV17	6414.50	6499.18	USD	-2,117.00	
11AUG17	B 1 PD10NOV17	6415.00	6499.18	USD	2,104.50	
11AUG17	S 1 PD10NOV17	6408.50	6499.18	USD	-2,267.00	
11AUG17	S 1 PD10NOV17	6409.00	6499.18	USD	-2,254.50	
11AUG17	S 1 PD10NOV17	6421.00	6499.18	USD	-1,954.50	
	NET 0 AVG BGHT 6385.73 SOLD 6383.09				**-725.00**	**0.00**
14AUG17	S 1 PD14NOV17	6398.00	6501.04	USD	-2,576.00	
14AUG17	B 1 PD14NOV17	6404.00	6501.04	USD	2,426.00	
14AUG17	S 1 PD14NOV17	6408.00	6501.04	USD	-2,326.00	
14AUG17	B 1 PD14NOV17	6411.50	6501.04	USD	2,238.50	
14AUG17	S 1 PD14NOV17	6414.50	6501.04	USD	-2,163.50	
14AUG17	B 1 PD14NOV17	6408.00	6501.04	USD	2,326.00	
	NET 0 AVG BGHT 6407.83 SOLD 6406.83				**-75.00**	**0.00**
15AUG17	S 1 PD15NOV17	6417.50	6501.50	USD	-2,100.00	
15AUG17	B 1 PD15NOV17	6411.50	6501.50	USD	2,250.00	
15AUG17	B 1 PD15NOV17	6429.50	6501.50	USD	1,800.00	
15AUG17	S 1 PD15NOV17	6429.50	6501.50	USD	-1,800.00	
15AUG17	S 1 PD15NOV17	6426.50	6501.50	USD	-1,875.00	
15AUG17	B 1 PD15NOV17	6421.50	6501.50	USD	2,000.00	
15AUG17	S 1 PD15NOV17	6379.50	6501.50	USD	-3,050.00	
15AUG17	B 1 PD15NOV17	6380.00	6501.50	USD	3,037.50	
	NET 0 AVG BGHT 6410.63 SOLD 6413.25				**262.50**	**0.00**
16AUG17	B 1 PD16NOV17	6387.50	6501.00	USD	2,837.50	
16AUG17	B 4 PD16NOV17	6402.00	6501.00	USD	9,900.00	
16AUG17	S 1 PD16NOV17	6408.00	6501.00	USD	-2,325.00	
16AUG17	S 1 PD16NOV17	6408.50	6501.00	USD	-2,312.50	
16AUG17	B 1 PD16NOV17	6492.50	6501.00	USD	212.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
16AUG17	S 1 PD16NOV17	6489.00	6501.00	USD	-300.00	
16AUG17	B 2 PD16NOV17	6502.50	6501.00	USD	-75.00	
16AUG17	S 1 PD16NOV17	6511.00	6501.00	USD	250.00	
16AUG17	S 1 PD16NOV17	6514.00	6501.00	USD	325.00	
16AUG17	B 4 PD16NOV17	6562.00	6501.00	USD	-6,100.00	
16AUG17	S 2 PD16NOV17	6558.50	6501.00	USD	2,875.00	
16AUG17	S 1 PD16NOV17	6568.00	6501.00	USD	1,675.00	
16AUG17	S 1 PD16NOV17	6573.00	6501.00	USD	1,800.00	
23AUG17	S 3 PD16NOV17	6563.30	6501.00	USD	4,672.50	
	NET 0 AVG BGHT 6478.42 SOLD 6523.20				**13,435.00**	**0.00**
17AUG17	B 1 PD17NOV17	6483.00	6501.60	USD	465.00	
17AUG17	B 1 PD17NOV17	6485.50	6501.60	USD	402.50	
17AUG17	S 1 PD17NOV17	6489.50	6501.60	USD	-302.50	
17AUG17	B 1 PD17NOV17	6480.50	6501.60	USD	527.50	
17AUG17	B 3 PD17NOV17	6481.50	6501.60	USD	1,507.50	
17AUG17	B 1 PD17NOV17	6491.00	6501.60	USD	265.00	
17AUG17	S 1 PD17NOV17	6487.00	6501.60	USD	-365.00	
17AUG17	S 2 PD17NOV17	6488.00	6501.60	USD	-680.00	
17AUG17	B 1 PD17NOV17	6481.00	6501.60	USD	515.00	
17AUG17	S 3 PD17NOV17	6478.50	6501.60	USD	-1,732.50	
17AUG17	S 1 PD17NOV17	6495.00	6501.60	USD	-165.00	
	NET 0 AVG BGHT 6483.19 SOLD 6485.38				**437.50**	**0.00**
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
05JUL17	S 1 PD05OCT17	2279.00	2585.00	USD	-7,650.00	
05JUL17	B 1 PD05OCT17	2272.00	2585.00	USD	7,825.00	
	NET 0 AVG BGHT 2272.00 SOLD 2279.00				**175.00**	**0.00**
06JUL17	S 1 PD06OCT17	2288.50	2585.23	USD	-7,418.25	
06JUL17	B 1 PD06OCT17	2295.50	2585.23	USD	7,243.25	
	NET 0 AVG BGHT 2295.50 SOLD 2288.50				**-175.00**	**0.00**
13JUL17	S 1 PD13OCT17	2299.00	2586.85	USD	-7,196.25	
13JUL17	B 1 PD13OCT17	2293.00	2586.85	USD	7,346.25	
	NET 0 AVG BGHT 2293.00 SOLD 2299.00				**150.00**	**0.00**
28JUL17	B 1 PD27OCT17	2302.50	2588.00	USD	7,137.50	
28JUL17	S 1 PD27OCT17	2297.00	2588.00	USD	-7,275.00	
	NET 0 AVG BGHT 2302.50 SOLD 2297.00				**-137.50**	**0.00**
02AUG17	B 1 PD02NOV17	2352.50	2588.00	USD	5,887.50	
07AUG17	S 1 PD02NOV17	2360.13	2588.00	USD	-5,696.75	
	NET 0 AVG BGHT 2352.50 SOLD 2360.13				**190.75**	**0.00**
03AUG17	S 1 PD03NOV17	2378.00	2588.00	USD	-5,250.00	
07AUG17	B 1 PD03NOV17	2360.51	2588.00	USD	5,687.25	
	NET 0 AVG BGHT 2360.51 SOLD 2378.00				**437.25**	**0.00**
16AUG17	S 1 PD16NOV17	2403.50	2588.13	USD	-4,615.75	
16AUG17	B 1 PD16NOV17	2413.00	2588.13	USD	4,378.25	
16AUG17	S 1 PD16NOV17	2488.00	2588.13	USD	-2,503.25	
16AUG17	B 1 PD16NOV17	2495.50	2588.13	USD	2,315.75	
	NET 0 AVG BGHT 2454.25 SOLD 2445.75				**-425.00**	**0.00**
17AUG17	B 1 PD17NOV17	2461.00	2588.26	USD	3,181.50	
17AUG17	S 1 PD17NOV17	2459.00	2588.26	USD	-3,231.50	
	NET 0 AVG BGHT 2461.00 SOLD 2459.00				**-50.00**	**0.00**
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
07AUG17	B 1 PD07NOV17	10255.00	10568.29	USD	1,879.74	
07AUG17	S 1 PD07NOV17	10245.00	10568.29	USD	-1,939.74	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
07AUG17	S 1 PD07NOV17	10360.00	10568.29	USD	-1,249.74	
07AUG17	B 1 PD07NOV17	10385.00	10568.29	USD	1,099.74	
	NET 0 AVG BGHT 10320.00 SOLD 10302.50				**-210.00**	**0.00**
08AUG17	B 1 PD08NOV17	10350.00	10569.25	USD	1,315.50	
08AUG17	S 1 PD08NOV17	10320.00	10569.25	USD	-1,495.50	
	NET 0 AVG BGHT 10350.00 SOLD 10320.00				**-180.00**	**0.00**
11AUG17	B 1 PD10NOV17	10630.00	10571.18	USD	-352.92	
11AUG17	B 1 PD10NOV17	10660.00	10571.18	USD	-532.92	
11AUG17	S 2 PD10NOV17	10690.00	10571.18	USD	1,425.84	
	NET 0 AVG BGHT 10645.00 SOLD 10690.00				**540.00**	**0.00**
16AUG17	B 1 PD16NOV17	10760.00	10576.89	USD	-1,098.66	
16AUG17	S 1 PD16NOV17	10750.00	10576.89	USD	1,038.66	
	NET 0 AVG BGHT 10760.00 SOLD 10750.00				**-60.00**	**0.00**
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
10JUL17	S 1 PD10OCT17	19750.00	20949.00	USD	-5,995.00	
10JUL17	S 1 PD10OCT17	19735.00	20949.00	USD	-6,070.00	
10JUL17	B 2 PD10OCT17	19705.00	20949.00	USD	12,440.00	
	NET 0 AVG BGHT 19705.00 SOLD 19742.50				**375.00**	**0.00**
11JUL17	B 1 PD11OCT17	19855.00	20946.50	USD	5,457.50	
11JUL17	S 1 PD11OCT17	19815.00	20946.50	USD	-5,657.50	
	NET 0 AVG BGHT 19855.00 SOLD 19815.00				**-200.00**	**0.00**
24JUL17	B 1 PD24OCT17	20160.00	20914.00	USD	3,770.00	
24JUL17	S 1 PD24OCT17	20145.00	20914.00	USD	-3,845.00	
	NET 0 AVG BGHT 20160.00 SOLD 20145.00				**-75.00**	**0.00**
26JUL17	B 2 PD26OCT17	20225.00	20904.00	USD	6,790.00	
26JUL17	S 1 PD26OCT17	20300.00	20904.00	USD	-3,020.00	
26JUL17	S 1 PD26OCT17	20295.00	20904.00	USD	-3,045.00	
	NET 0 AVG BGHT 20225.00 SOLD 20297.50				**725.00**	**0.00**
27JUL17	S 1 PD27OCT17	20480.00	20899.00	USD	-2,095.00	
27JUL17	B 1 PD27OCT17	20530.00	20899.00	USD	1,845.00	
28JUL17	S 1 PD27OCT17	20600.00	20899.00	USD	-1,495.00	
28JUL17	B 1 PD27OCT17	20565.00	20899.00	USD	1,670.00	
	NET 0 AVG BGHT 20547.50 SOLD 20540.00				**-75.00**	**0.00**
04AUG17	B 1 PD03NOV17	20700.00	20881.20	USD	906.00	
04AUG17	S 1 PD03NOV17	20730.00	20881.20	USD	-756.00	
	NET 0 AVG BGHT 20700.00 SOLD 20730.00				**150.00**	**0.00**
07AUG17	S 1 PD07NOV17	20650.00	20878.40	USD	-1,142.00	
07AUG17	S 1 PD07NOV17	20660.00	20878.40	USD	-1,092.00	
07AUG17	B 1 PD07NOV17	20620.00	20878.40	USD	1,292.00	
07AUG17	B 1 PD07NOV17	20615.00	20878.40	USD	1,317.00	
	NET 0 AVG BGHT 20617.50 SOLD 20655.00				**375.00**	**0.00**
08AUG17	B 1 PD08NOV17	20405.00	20877.00	USD	2,360.00	
08AUG17	S 1 PD08NOV17	20440.00	20877.00	USD	-2,185.00	
08AUG17	S 1 PD08NOV17	20465.00	20877.00	USD	-2,060.00	
08AUG17	B 1 PD08NOV17	20515.00	20877.00	USD	1,810.00	
08AUG17	S 1 PD08NOV17	20590.00	20877.00	USD	-1,435.00	
08AUG17	B 2 PD08NOV17	20425.00	20877.00	USD	4,520.00	
08AUG17	S 1 PD08NOV17	20445.00	20877.00	USD	-2,160.00	
08AUG17	B 2 PD08NOV17	20310.00	20877.00	USD	5,670.00	
08AUG17	S 1 PD08NOV17	20290.00	20877.00	USD	-2,935.00	
08AUG17	B 1 PD08NOV17	20315.00	20877.00	USD	2,810.00	
08AUG17	B 1 PD08NOV17	20155.00	20877.00	USD	3,610.00	
08AUG17	S 1 PD08NOV17	20230.00	20877.00	USD	-3,235.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
08AUG17	S 1 PD08NOV17	20210.00	20877.00	USD	-3,335.00	
08AUG17	S 1 PD08NOV17	20285.00	20877.00	USD	-2,960.00	
	NET 0 AVG BGHT 20357.50 SOLD 20369.38				**475.00**	**0.00**
11AUG17	B 1 PD10NOV17	20220.00	20874.20	USD	3,271.00	
11AUG17	S 1 PD10NOV17	20270.00	20874.20	USD	-3,021.00	
	NET 0 AVG BGHT 20220.00 SOLD 20270.00				**250.00**	**0.00**
15AUG17	S 1 PD15NOV17	20345.00	20870.00	USD	-2,625.00	
15AUG17	B 1 PD15NOV17	20310.00	20870.00	USD	2,800.00	
15AUG17	B 1 PD15NOV17	19320.00	20870.00	USD	7,750.00	
15AUG17	S 1 PD15NOV17	19800.00	20870.00	USD	-5,350.00	
15AUG17	S 2 PD15NOV17	19980.00	20870.00	USD	-8,900.00	
15AUG17	S 1 PD15NOV17	20150.00	20870.00	USD	-3,600.00	
15AUG17	B 1 PD15NOV17	20075.00	20870.00	USD	3,975.00	
15AUG17	B 1 PD15NOV17	19225.00	20870.00	USD	8,225.00	
15AUG17	B 1 PD15NOV17	19300.00	20870.00	USD	7,850.00	
	NET 0 AVG BGHT 19646.00 SOLD 20051.00				**10,125.00**	**0.00**
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
05JUL17	S 1 PD05OCT17	2774.50	3313.50	USD	-13,475.00	
05JUL17	B 1 PD05OCT17	2778.00	3313.50	USD	13,387.50	
	NET 0 AVG BGHT 2778.00 SOLD 2774.50				**-87.50**	**0.00**
06JUL17	S 1 PD06OCT17	2792.00	3310.38	USD	-12,959.50	
06JUL17	B 1 PD06OCT17	2802.50	3310.38	USD	12,697.00	
	NET 0 AVG BGHT 2802.50 SOLD 2792.00				**-262.50**	**0.00**
11JUL17	B 1 PD11OCT17	2816.00	3304.13	USD	12,203.25	
11JUL17	S 1 PD11OCT17	2818.00	3304.13	USD	-12,153.25	
	NET 0 AVG BGHT 2816.00 SOLD 2818.00				**50.00**	**0.00**
27JUL17	S 2 PD27OCT17	2797.50	3277.50	USD	-24,000.00	
27JUL17	B 2 PD27OCT17	2790.00	3277.50	USD	24,375.00	
	NET 0 AVG BGHT 2790.00 SOLD 2797.50				**375.00**	**0.00**
09AUG17	B 1 PD09NOV17	2982.50	3272.00	USD	7,237.50	
09AUG17	B 1 PD09NOV17	2983.00	3272.00	USD	7,225.00	
09AUG17	S 1 PD09NOV17	2967.50	3272.00	USD	-7,612.50	
09AUG17	S 1 PD09NOV17	2979.50	3272.00	USD	-7,312.50	
	NET 0 AVG BGHT 2982.75 SOLD 2973.50				**-462.50**	**0.00**
16AUG17	B 1 PD16NOV17	3013.00	3267.50	USD	6,362.50	
16AUG17	S 1 PD16NOV17	3008.50	3267.50	USD	-6,475.00	
16AUG17	S 1 PD16NOV17	3077.00	3267.50	USD	-4,762.50	
16AUG17	S 2 PD16NOV17	3078.00	3267.50	USD	-9,475.00	
16AUG17	B 3 PD16NOV17	3095.50	3267.50	USD	12,900.00	
16AUG17	B 2 PD16NOV17	3120.50	3267.50	USD	7,350.00	
16AUG17	S 1 PD16NOV17	3127.00	3267.50	USD	-3,512.50	
16AUG17	S 1 PD16NOV17	3128.00	3267.50	USD	-3,487.50	
	NET 0 AVG BGHT 3090.08 SOLD 3082.75				**-1,100.00**	**0.00**
17AUG17	B 1 PD17NOV17	3092.50	3265.50	USD	4,325.00	
17AUG17	B 1 PD17NOV17	3094.00	3265.50	USD	4,287.50	
17AUG17	S 2 PD17NOV17	3089.00	3265.50	USD	-8,825.00	
	NET 0 AVG BGHT 3093.25 SOLD 3089.00				**-212.50**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	5,413.00
LME Lead 25 tonnes. This is an	FUTURES	165.50
LME Nickel 6 tonnes. This is	FUTURES	90.00
LME Tin 5 tonnes. This is an	FUTURES	12,125.00
LME Zinc 25 tonnes. This is	FUTURES	-1,700.00

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards			Amount	Profit / Loss	**Total Amount**	**Total P&L**
COPL	- Futures	USD	5,413.00	5,413.00		
LEAD	- Futures	USD	165.50	165.50		
NKL	- Futures	USD	90.00	90.00		
TIN	- Futures	USD	12,125.00	12,125.00		
ZINC	- Futures	USD	-1,700.00	-1,700.00	**16,093.50**	**16,093.50**

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		6,482.90	6,482.90
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**6,482.90**	**6,482.90**
Initial Margins		0.00	0.00
Excess/(Shortage)		**6,482.90**	**6,482.90**
FOR INFORMATION ONLY			
Forward Unrealised P&L		16,093.50	16,093.50
Net Liquidating Value		**22,576.40**	**22,576.40**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086E

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
10JUL17	B 1 PD10OCT17	1904.00	2115.92	USD	5,298.00	
10JUL17	S 1 PD10OCT17	1901.00	2115.92	USD	-5,373.00	
	NET 0 AVG BGHT 1904.00 SOLD 1901.00				**-75.00**	**0.00**
13JUL17	S 2 PD13OCT17	1922.00	2116.25	USD	-9,712.50	
13JUL17	B 2 PD13OCT17	1919.00	2116.25	USD	9,862.50	
13JUL17	B 1 PD13OCT17	1925.50	2116.25	USD	4,768.75	
13JUL17	B 1 PD13OCT17	1936.00	2116.25	USD	4,506.25	
13JUL17	S 2 PD13OCT17	1923.00	2116.25	USD	-9,662.50	
	NET 0 AVG BGHT 1924.88 SOLD 1922.50				**-237.50**	**0.00**
14AUG17	S 1 PD14NOV17	2039.00	2117.89	USD	-1,972.25	
14AUG17	B 1 PD14NOV17	2028.50	2117.89	USD	2,234.75	
	NET 0 AVG BGHT 2028.50 SOLD 2039.00				**262.50**	**0.00**
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
04JUL17	S 1 PD04OCT17	5892.50	6467.48	USD	-14,374.50	
04JUL17	S 2 PD04OCT17	5896.00	6467.48	USD	-28,574.00	
04JUL17	S 1 PD04OCT17	5879.00	6467.48	USD	-14,712.00	
04JUL17	B 2 PD04OCT17	5873.50	6467.48	USD	29,699.00	
04JUL17	B 1 PD04OCT17	5876.50	6467.48	USD	14,774.50	
04JUL17	B 1 PD04OCT17	5890.00	6467.48	USD	14,437.00	
	NET 0 AVG BGHT 5878.38 SOLD 5890.88				**1,250.00**	**0.00**
05JUL17	S 2 PD05OCT17	5873.00	6468.75	USD	-29,787.50	
05JUL17	B 1 PD05OCT17	5860.50	6468.75	USD	15,206.25	
05JUL17	S 1 PD05OCT17	5861.00	6468.75	USD	-15,193.75	
05JUL17	S 1 PD05OCT17	5866.50	6468.75	USD	-15,056.25	
05JUL17	B 1 PD05OCT17	5858.50	6468.75	USD	15,256.25	
05JUL17	S 1 PD05OCT17	5855.50	6468.75	USD	-15,331.25	
05JUL17	S 1 PD05OCT17	5857.50	6468.75	USD	-15,281.25	
05JUL17	B 1 PD05OCT17	5860.00	6468.75	USD	15,218.75	
05JUL17	B 1 PD05OCT17	5855.50	6468.75	USD	15,331.25	
05JUL17	B 1 PD05OCT17	5835.00	6468.75	USD	15,843.75	
05JUL17	B 1 PD05OCT17	5846.50	6468.75	USD	15,556.25	
	NET 0 AVG BGHT 5852.67 SOLD 5864.42				**1,762.50**	**0.00**
06JUL17	S 1 PD06OCT17	5837.50	6470.02	USD	-15,813.00	
06JUL17	S 1 PD06OCT17	5838.00	6470.02	USD	-15,800.50	
06JUL17	S 1 PD06OCT17	5839.00	6470.02	USD	-15,775.50	
06JUL17	B 1 PD06OCT17	5853.00	6470.02	USD	15,425.50	
06JUL17	S 1 PD06OCT17	5864.50	6470.02	USD	-15,138.00	
06JUL17	S 1 PD06OCT17	5869.50	6470.02	USD	-15,013.00	
06JUL17	B 1 PD06OCT17	5870.50	6470.02	USD	14,988.00	
06JUL17	B 1 PD06OCT17	5864.50	6470.02	USD	15,138.00	
06JUL17	B 3 PD06OCT17	5852.00	6470.02	USD	46,351.50	
06JUL17	S 1 PD06OCT17	5851.50	6470.02	USD	-15,463.00	
07JUL17	B 1 PD06OCT17	5845.00	6470.02	USD	15,625.50	
07JUL17	B 1 PD06OCT17	5854.50	6470.02	USD	15,388.00	
07JUL17	S 1 PD06OCT17	5834.00	6470.02	USD	-15,900.50	
07JUL17	B 1 PD06OCT17	5836.00	6470.02	USD	15,850.50	
07JUL17	S 2 PD06OCT17	5848.00	6470.02	USD	-31,101.00	
07JUL17	B 2 PD06OCT17	5812.00	6470.02	USD	32,901.00	
07JUL17	S 2 PD06OCT17	5818.00	6470.02	USD	-32,601.00	
07JUL17	B 1 PD06OCT17	5842.00	6470.02	USD	15,700.50	
07JUL17	S 1 PD06OCT17	5838.00	6470.02	USD	-15,800.50	
	NET 0 AVG BGHT 5845.46 SOLD 5842.00				**-1,037.50**	**0.00**
10JUL17	S 1 PD10OCT17	5838.00	6475.10	USD	-15,927.50	
10JUL17	S 1 PD10OCT17	5833.00	6475.10	USD	-16,052.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086E

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT							
10JUL17	S	1	PD10OCT17	5819.00	6475.10	USD	-16,402.50	
10JUL17	S	1	PD10OCT17	5816.50	6475.10	USD	-16,465.00	
10JUL17	B	1	PD10OCT17	5817.00	6475.10	USD	16,452.50	
10JUL17	B	1	PD10OCT17	5812.00	6475.10	USD	16,577.50	
10JUL17	S	1	PD10OCT17	5810.00	6475.10	USD	-16,627.50	
10JUL17	B	1	PD10OCT17	5808.00	6475.10	USD	16,677.50	
10JUL17	B	1	PD10OCT17	5810.50	6475.10	USD	16,615.00	
10JUL17	B	1	PD10OCT17	5805.00	6475.10	USD	16,752.50	
10JUL17	S	1	PD10OCT17	5828.00	6475.10	USD	-16,177.50	
10JUL17	B	1	PD10OCT17	5825.00	6475.10	USD	16,252.50	
	NET	**0**	**AVG BGHT 5812.92**	**SOLD 5824.08**			**1,675.00**	**0.00**
11JUL17	B	1	PD11OCT17	5838.00	6476.37	USD	15,959.25	
11JUL17	S	1	PD11OCT17	5833.00	6476.37	USD	-16,084.25	
11JUL17	S	1	PD11OCT17	5834.00	6476.37	USD	-16,059.25	
11JUL17	B	1	PD11OCT17	5851.00	6476.37	USD	15,634.25	
11JUL17	S	2	PD11OCT17	5850.00	6476.37	USD	-31,318.50	
11JUL17	B	1	PD11OCT17	5847.00	6476.37	USD	15,734.25	
11JUL17	B	1	PD11OCT17	5830.50	6476.37	USD	16,146.75	
11JUL17	S	1	PD11OCT17	5828.00	6476.37	USD	-16,209.25	
11JUL17	B	1	PD11OCT17	5826.50	6476.37	USD	16,246.75	
11JUL17	S	1	PD11OCT17	5824.00	6476.37	USD	-16,309.25	
11JUL17	B	1	PD11OCT17	5834.50	6476.37	USD	16,046.75	
11JUL17	S	1	PD11OCT17	5837.50	6476.37	USD	-15,971.75	
11JUL17	S	1	PD11OCT17	5838.00	6476.37	USD	-15,959.25	
11JUL17	B	1	PD11OCT17	5841.00	6476.37	USD	15,884.25	
11JUL17	B	1	PD11OCT17	5848.50	6476.37	USD	15,696.75	
11JUL17	B	1	PD11OCT17	5853.50	6476.37	USD	15,571.75	
11JUL17	S	1	PD11OCT17	5849.50	6476.37	USD	-15,671.75	
11JUL17	S	1	PD11OCT17	5844.00	6476.37	USD	-15,809.25	
11JUL17	B	2	PD11OCT17	5855.00	6476.37	USD	31,068.50	
11JUL17	S	2	PD11OCT17	5849.00	6476.37	USD	-31,368.50	
11JUL17	B	2	PD11OCT17	5866.00	6476.37	USD	30,518.50	
11JUL17	B	1	PD11OCT17	5867.00	6476.37	USD	15,234.25	
11JUL17	S	2	PD11OCT17	5865.50	6476.37	USD	-30,543.50	
11JUL17	B	1	PD11OCT17	5870.50	6476.37	USD	15,146.75	
11JUL17	S	1	PD11OCT17	5870.00	6476.37	USD	-15,159.25	
11JUL17	S	1	PD11OCT17	5874.00	6476.37	USD	-15,059.25	
11JUL17	B	1	PD11OCT17	5873.00	6476.37	USD	15,084.25	
11JUL17	S	1	PD11OCT17	5879.00	6476.37	USD	-14,934.25	
11JUL17	B	1	PD11OCT17	5885.50	6476.37	USD	14,771.75	
	NET	**0**	**AVG BGHT 5853.44**	**SOLD 5849.41**			**-1,712.50**	**0.00**
12JUL17	S	1	PD12OCT17	5918.50	6477.63	USD	-13,978.25	
12JUL17	B	2	PD12OCT17	5896.00	6477.63	USD	29,081.50	
12JUL17	B	1	PD12OCT17	5897.00	6477.63	USD	14,515.75	
12JUL17	S	1	PD12OCT17	5894.50	6477.63	USD	-14,578.25	
12JUL17	S	1	PD12OCT17	5901.00	6477.63	USD	-14,415.75	
12JUL17	S	1	PD12OCT17	5905.00	6477.63	USD	-14,315.75	
12JUL17	B	1	PD12OCT17	5897.50	6477.63	USD	14,503.25	
12JUL17	B	1	PD12OCT17	5895.00	6477.63	USD	14,565.75	
12JUL17	S	1	PD12OCT17	5899.00	6477.63	USD	-14,465.75	
12JUL17	B	1	PD12OCT17	5915.00	6477.63	USD	14,065.75	
12JUL17	S	1	PD12OCT17	5909.00	6477.63	USD	-14,215.75	
12JUL17	B	1	PD12OCT17	5912.00	6477.63	USD	14,140.75	
12JUL17	S	1	PD12OCT17	5916.50	6477.63	USD	-14,028.25	
12JUL17	B	1	PD12OCT17	5908.50	6477.63	USD	14,228.25	
12JUL17	S	1	PD12OCT17	5907.50	6477.63	USD	-14,253.25	
12JUL17	S	1	PD12OCT17	5902.50	6477.63	USD	-14,378.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086E

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
12JUL17	B 1 PD12OCT17	5899.00	6477.63	USD	14,465.75	
12JUL17	B 1 PD12OCT17	5905.00	6477.63	USD	14,315.75	
12JUL17	S 1 PD12OCT17	5903.00	6477.63	USD	-14,365.75	
	NET 0 AVG BGHT 5902.10 SOLD 5905.65				**887.50**	**0.00**
13JUL17	B 1 PD13OCT17	5921.00	6478.90	USD	13,947.50	
13JUL17	S 1 PD13OCT17	5912.00	6478.90	USD	-14,172.50	
13JUL17	S 1 PD13OCT17	5918.50	6478.90	USD	-14,010.00	
13JUL17	S 1 PD13OCT17	5919.50	6478.90	USD	-13,985.00	
13JUL17	B 1 PD13OCT17	5910.50	6478.90	USD	14,210.00	
13JUL17	S 1 PD13OCT17	5917.00	6478.90	USD	-14,047.50	
13JUL17	B 1 PD13OCT17	5892.50	6478.90	USD	14,660.00	
13JUL17	B 1 PD13OCT17	5902.00	6478.90	USD	14,422.50	
13JUL17	S 1 PD13OCT17	5890.00	6478.90	USD	-14,722.50	
13JUL17	B 1 PD13OCT17	5887.00	6478.90	USD	14,797.50	
13JUL17	B 1 PD13OCT17	5891.00	6478.90	USD	14,697.50	
13JUL17	B 1 PD13OCT17	5881.00	6478.90	USD	14,947.50	
13JUL17	S 2 PD13OCT17	5884.50	6478.90	USD	-29,720.00	
13JUL17	B 1 PD13OCT17	5885.50	6478.90	USD	14,835.00	
13JUL17	S 1 PD13OCT17	5879.50	6478.90	USD	-14,985.00	
13JUL17	S 1 PD13OCT17	5864.50	6478.90	USD	-15,360.00	
13JUL17	S 1 PD13OCT17	5863.50	6478.90	USD	-15,385.00	
14JUL17	S 1 PD13OCT17	5874.00	6478.90	USD	-15,122.50	
14JUL17	B 1 PD13OCT17	5881.00	6478.90	USD	14,947.50	
14JUL17	S 1 PD13OCT17	5869.00	6478.90	USD	-15,247.50	
14JUL17	B 1 PD13OCT17	5872.00	6478.90	USD	15,172.50	
14JUL17	B 1 PD13OCT17	5873.00	6478.90	USD	15,147.50	
14JUL17	B 1 PD13OCT17	5882.00	6478.90	USD	14,922.50	
14JUL17	B 1 PD13OCT17	5885.50	6478.90	USD	14,835.00	
14JUL17	B 1 PD13OCT17	5893.00	6478.90	USD	14,647.50	
14JUL17	B 1 PD13OCT17	5896.50	6478.90	USD	14,560.00	
14JUL17	B 1 PD13OCT17	5906.00	6478.90	USD	14,322.50	
14JUL17	B 1 PD13OCT17	5907.00	6478.90	USD	14,297.50	
14JUL17	S 1 PD13OCT17	5901.00	6478.90	USD	-14,447.50	
14JUL17	S 2 PD13OCT17	5912.00	6478.90	USD	-28,345.00	
14JUL17	B 1 PD13OCT17	5895.50	6478.90	USD	14,585.00	
14JUL17	S 1 PD13OCT17	5883.50	6478.90	USD	-14,885.00	
14JUL17	S 1 PD13OCT17	5885.00	6478.90	USD	-14,847.50	
14JUL17	S 1 PD13OCT17	5892.50	6478.90	USD	-14,660.00	
14JUL17	B 1 PD13OCT17	5892.50	6478.90	USD	14,660.00	
14JUL17	B 1 PD13OCT17	5902.50	6478.90	USD	14,410.00	
14JUL17	S 1 PD13OCT17	5897.00	6478.90	USD	-14,547.50	
14JUL17	S 1 PD13OCT17	5899.50	6478.90	USD	-14,485.00	
14JUL17	B 1 PD13OCT17	5911.00	6478.90	USD	14,197.50	
14JUL17	S 1 PD13OCT17	5900.00	6478.90	USD	-14,472.50	
14JUL17	S 1 PD13OCT17	5917.00	6478.90	USD	-14,047.50	
14JUL17	B 1 PD13OCT17	5913.00	6478.90	USD	14,147.50	
14JUL17	S 1 PD13OCT17	5917.50	6478.90	USD	-14,035.00	
14JUL17	B 1 PD13OCT17	5912.50	6478.90	USD	14,160.00	
14JUL17	B 1 PD13OCT17	5923.00	6478.90	USD	13,897.50	
14JUL17	S 1 PD13OCT17	5928.00	6478.90	USD	-13,772.50	
	NET 0 AVG BGHT 5896.52 SOLD 5896.73				**125.00**	**0.00**
17JUL17	B 1 PD17OCT17	5960.00	6483.98	USD	13,099.50	
17JUL17	S 1 PD17OCT17	5952.50	6483.98	USD	-13,287.00	
17JUL17	B 1 PD17OCT17	5952.00	6483.98	USD	13,299.50	
17JUL17	B 1 PD17OCT17	5975.50	6483.98	USD	12,712.00	
17JUL17	S 1 PD17OCT17	5949.00	6483.98	USD	-13,374.50	
17JUL17	S 1 PD17OCT17	5952.00	6483.98	USD	-13,299.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086E

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
17JUL17	S 1 PD17OCT17	5955.00	6483.98	USD	-13,224.50	
17JUL17	S 1 PD17OCT17	5979.50	6483.98	USD	-12,612.00	
17JUL17	B 1 PD17OCT17	5976.50	6483.98	USD	12,687.00	
17JUL17	S 1 PD17OCT17	5971.00	6483.98	USD	-12,824.50	
17JUL17	S 1 PD17OCT17	5978.50	6483.98	USD	-12,637.00	
17JUL17	B 1 PD17OCT17	6000.50	6483.98	USD	12,087.00	
17JUL17	B 1 PD17OCT17	6002.00	6483.98	USD	12,049.50	
17JUL17	B 1 PD17OCT17	6013.50	6483.98	USD	11,762.00	
	NET 0 AVG BGHT 5982.86 SOLD 5962.50				**-3,562.50**	**0.00**
18JUL17	B 1 PD18OCT17	5972.00	6485.25	USD	12,831.25	
18JUL17	B 1 PD18OCT17	5985.00	6485.25	USD	12,506.25	
18JUL17	S 1 PD18OCT17	5977.00	6485.25	USD	-12,706.25	
18JUL17	S 1 PD18OCT17	5988.00	6485.25	USD	-12,431.25	
18JUL17	S 1 PD18OCT17	5990.00	6485.25	USD	-12,381.25	
18JUL17	S 1 PD18OCT17	5994.00	6485.25	USD	-12,281.25	
18JUL17	S 1 PD18OCT17	5993.00	6485.25	USD	-12,306.25	
18JUL17	B 3 PD18OCT17	5980.00	6485.25	USD	37,893.75	
18JUL17	S 1 PD18OCT17	6008.00	6485.25	USD	-11,931.25	
18JUL17	S 1 PD18OCT17	6009.00	6485.25	USD	-11,906.25	
24JUL17	B 2 PD18OCT17	6027.50	6485.25	USD	22,887.50	
	NET 0 AVG BGHT 5993.14 SOLD 5994.14				**175.00**	**0.00**
19JUL17	B 2 PD19OCT17	5989.00	6486.00	USD	24,850.00	
19JUL17	B 1 PD19OCT17	6016.00	6486.00	USD	11,750.00	
19JUL17	B 1 PD19OCT17	6018.50	6486.00	USD	11,687.50	
19JUL17	S 1 PD19OCT17	6014.00	6486.00	USD	-11,800.00	
19JUL17	S 2 PD19OCT17	6014.50	6486.00	USD	-23,575.00	
19JUL17	S 1 PD19OCT17	6015.00	6486.00	USD	-11,775.00	
19JUL17	S 1 PD19OCT17	6016.00	6486.00	USD	-11,750.00	
19JUL17	B 3 PD19OCT17	5997.00	6486.00	USD	36,675.00	
19JUL17	S 1 PD19OCT17	5997.50	6486.00	USD	-12,212.50	
19JUL17	B 1 PD19OCT17	5990.00	6486.00	USD	12,400.00	
19JUL17	S 1 PD19OCT17	5984.00	6486.00	USD	-12,550.00	
19JUL17	S 1 PD19OCT17	5987.50	6486.00	USD	-12,462.50	
19JUL17	S 1 PD19OCT17	5985.00	6486.00	USD	-12,525.00	
19JUL17	B 1 PD19OCT17	5991.50	6486.00	USD	12,362.50	
19JUL17	B 1 PD19OCT17	5982.00	6486.00	USD	12,600.00	
19JUL17	S 1 PD19OCT17	5979.00	6486.00	USD	-12,675.00	
	NET 0 AVG BGHT 5996.70 SOLD 6000.70				**1,000.00**	**0.00**
20JUL17	B 1 PD20OCT17	5991.00	6486.75	USD	12,393.75	
20JUL17	S 1 PD20OCT17	5982.00	6486.75	USD	-12,618.75	
20JUL17	S 1 PD20OCT17	5987.50	6486.75	USD	-12,481.25	
20JUL17	S 1 PD20OCT17	5983.00	6486.75	USD	-12,593.75	
20JUL17	B 4 PD20OCT17	5970.00	6486.75	USD	51,675.00	
20JUL17	S 1 PD20OCT17	5977.00	6486.75	USD	-12,743.75	
20JUL17	S 1 PD20OCT17	5979.00	6486.75	USD	-12,693.75	
20JUL17	B 1 PD20OCT17	5975.50	6486.75	USD	12,781.25	
20JUL17	S 1 PD20OCT17	5975.00	6486.75	USD	-12,793.75	
20JUL17	B 2 PD20OCT17	5969.00	6486.75	USD	25,887.50	
20JUL17	B 1 PD20OCT17	5970.50	6486.75	USD	12,906.25	
20JUL17	S 1 PD20OCT17	5953.00	6486.75	USD	-13,343.75	
20JUL17	B 1 PD20OCT17	5955.50	6486.75	USD	13,281.25	
20JUL17	S 1 PD20OCT17	5967.00	6486.75	USD	-12,993.75	
20JUL17	S 2 PD20OCT17	5974.50	6486.75	USD	-25,612.50	
20JUL17	S 1 PD20OCT17	5977.50	6486.75	USD	-12,731.25	
20JUL17	B 1 PD20OCT17	5977.00	6486.75	USD	12,743.75	
20JUL17	B 1 PD20OCT17	5978.50	6486.75	USD	12,706.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086E

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
20JUL17	B 1 PD20OCT17	5981.00	6486.75	USD	12,643.75	
21JUL17	S 1 PD20OCT17	5985.00	6486.75	USD	-12,543.75	
21JUL17	B 2 PD20OCT17	6000.00	6486.75	USD	24,337.50	
21JUL17	B 2 PD20OCT17	6001.50	6486.75	USD	24,262.50	
21JUL17	B 1 PD20OCT17	6005.50	6486.75	USD	12,031.25	
21JUL17	S 1 PD20OCT17	6003.50	6486.75	USD	-12,081.25	
21JUL17	S 1 PD20OCT17	6004.00	6486.75	USD	-12,068.75	
21JUL17	S 1 PD20OCT17	6011.00	6486.75	USD	-11,893.75	
21JUL17	S 1 PD20OCT17	6012.00	6486.75	USD	-11,868.75	
21JUL17	B 1 PD20OCT17	6036.00	6486.75	USD	11,268.75	
21JUL17	S 1 PD20OCT17	6035.00	6486.75	USD	-11,293.75	
21JUL17	B 1 PD20OCT17	6032.00	6486.75	USD	11,368.75	
21JUL17	S 2 PD20OCT17	5983.00	6486.75	USD	-25,187.50	
21JUL17	B 1 PD20OCT17	6035.00	6486.75	USD	11,293.75	
24JUL17	S 2 PD20OCT17	6025.30	6486.75	USD	-23,072.50	
	NET 0 AVG BGHT 5988.50 SOLD 5990.34				**965.00**	**0.00**
24JUL17	S 2 PD24OCT17	6028.00	6489.75	USD	-23,087.50	
24JUL17	S 1 PD24OCT17	6029.00	6489.75	USD	-11,518.75	
24JUL17	B 1 PD24OCT17	6017.00	6489.75	USD	11,818.75	
24JUL17	B 1 PD24OCT17	6026.00	6489.75	USD	11,593.75	
24JUL17	B 1 PD24OCT17	6016.00	6489.75	USD	11,843.75	
	NET 0 AVG BGHT 6019.67 SOLD 6028.33				**650.00**	**0.00**
25JUL17	B 1 PD25OCT17	6073.50	6490.29	USD	10,419.75	
25JUL17	S 1 PD25OCT17	6066.00	6490.29	USD	-10,607.25	
25JUL17	S 1 PD25OCT17	6069.50	6490.29	USD	-10,519.75	
25JUL17	B 1 PD25OCT17	6097.00	6490.29	USD	9,832.25	
25JUL17	B 1 PD25OCT17	6101.50	6490.29	USD	9,719.75	
25JUL17	B 2 PD25OCT17	6103.00	6490.29	USD	19,364.50	
25JUL17	B 1 PD25OCT17	6121.00	6490.29	USD	9,232.25	
25JUL17	S 1 PD25OCT17	6084.50	6490.29	USD	-10,144.75	
25JUL17	S 1 PD25OCT17	6094.50	6490.29	USD	-9,894.75	
25JUL17	S 1 PD25OCT17	6096.50	6490.29	USD	-9,844.75	
25JUL17	S 1 PD25OCT17	6099.00	6490.29	USD	-9,782.25	
25JUL17	S 1 PD25OCT17	6122.00	6490.29	USD	-9,207.25	
25JUL17	S 1 PD25OCT17	6123.00	6490.29	USD	-9,182.25	
25JUL17	S 1 PD25OCT17	6129.00	6490.29	USD	-9,032.25	
25JUL17	B 1 PD25OCT17	6117.00	6490.29	USD	9,332.25	
25JUL17	S 1 PD25OCT17	6144.50	6490.29	USD	-8,644.75	
25JUL17	B 1 PD25OCT17	6181.50	6490.29	USD	7,719.75	
25JUL17	S 1 PD25OCT17	6188.00	6490.29	USD	-7,557.25	
25JUL17	S 1 PD25OCT17	6184.00	6490.29	USD	-7,657.25	
25JUL17	B 3 PD25OCT17	6180.00	6490.29	USD	23,271.75	
25JUL17	B 2 PD25OCT17	6205.00	6490.29	USD	14,264.50	
25JUL17	S 1 PD25OCT17	6218.00	6490.29	USD	-6,807.25	
25JUL17	S 1 PD25OCT17	6227.00	6490.29	USD	-6,582.25	
25JUL17	B 1 PD25OCT17	6215.00	6490.29	USD	6,882.25	
25JUL17	S 1 PD25OCT17	6229.00	6490.29	USD	-6,532.25	
25JUL17	B 1 PD25OCT17	6221.00	6490.29	USD	6,732.25	
25JUL17	B 1 PD25OCT17	6202.50	6490.29	USD	7,194.75	
25JUL17	S 1 PD25OCT17	6199.00	6490.29	USD	-7,282.25	
	NET 0 AVG BGHT 6155.38 SOLD 6142.09				**-5,312.50**	**0.00**
26JUL17	S 2 PD26OCT17	6266.00	6490.82	USD	-11,241.00	
26JUL17	B 1 PD26OCT17	6335.00	6490.82	USD	3,895.50	
26JUL17	S 1 PD26OCT17	6295.00	6490.82	USD	-4,895.50	
26JUL17	S 1 PD26OCT17	6344.50	6490.82	USD	-3,658.00	
26JUL17	B 1 PD26OCT17	6292.00	6490.82	USD	4,970.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086E

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT							
26JUL17	B	1	PD26OCT17	6296.00	6490.82	USD	4,870.50	
26JUL17	B	1	PD26OCT17	6322.00	6490.82	USD	4,220.50	
26JUL17	B	1	PD26OCT17	6323.00	6490.82	USD	4,195.50	
26JUL17	B	1	PD26OCT17	6333.00	6490.82	USD	3,945.50	
26JUL17	B	1	PD26OCT17	6283.00	6490.82	USD	5,195.50	
26JUL17	S	1	PD26OCT17	6277.00	6490.82	USD	-5,345.50	
26JUL17	S	1	PD26OCT17	6315.00	6490.82	USD	-4,395.50	
26JUL17	B	1	PD26OCT17	6329.00	6490.82	USD	4,045.50	
26JUL17	S	1	PD26OCT17	6340.00	6490.82	USD	-3,770.50	
26JUL17	S	1	PD26OCT17	6340.50	6490.82	USD	-3,758.00	
26JUL17	B	1	PD26OCT17	6313.00	6490.82	USD	4,445.50	
26JUL17	S	1	PD26OCT17	6310.00	6490.82	USD	-4,520.50	
26JUL17	B	2	PD26OCT17	6331.00	6490.82	USD	7,991.00	
26JUL17	S	3	PD26OCT17	6338.00	6490.82	USD	-11,461.50	
26JUL17	B	1	PD26OCT17	6345.00	6490.82	USD	3,645.50	
26JUL17	S	1	PD26OCT17	6337.50	6490.82	USD	-3,833.00	
26JUL17	B	1	PD26OCT17	6330.00	6490.82	USD	4,020.50	
	NET	**0**	**AVG BGHT 6320.23**	**SOLD 6315.81**			**-1,437.50**	**0.00**
27JUL17	S	1	PD27OCT17	6304.50	6491.36	USD	-4,671.50	
27JUL17	S	1	PD27OCT17	6318.50	6491.36	USD	-4,321.50	
27JUL17	S	1	PD27OCT17	6344.00	6491.36	USD	-3,684.00	
27JUL17	B	1	PD27OCT17	6372.00	6491.36	USD	2,984.00	
27JUL17	B	1	PD27OCT17	6370.50	6491.36	USD	3,021.50	
27JUL17	B	1	PD27OCT17	6356.50	6491.36	USD	3,371.50	
27JUL17	S	1	PD27OCT17	6355.50	6491.36	USD	-3,396.50	
27JUL17	S	2	PD27OCT17	6377.00	6491.36	USD	-5,718.00	
27JUL17	B	2	PD27OCT17	6350.00	6491.36	USD	7,068.00	
27JUL17	B	1	PD27OCT17	6375.00	6491.36	USD	2,909.00	
27JUL17	S	1	PD27OCT17	6369.50	6491.36	USD	-3,046.50	
27JUL17	B	1	PD27OCT17	6361.00	6491.36	USD	3,259.00	
27JUL17	B	1	PD27OCT17	6352.00	6491.36	USD	3,484.00	
27JUL17	S	1	PD27OCT17	6350.00	6491.36	USD	-3,534.00	
27JUL17	B	1	PD27OCT17	6339.00	6491.36	USD	3,809.00	
27JUL17	S	1	PD27OCT17	6339.00	6491.36	USD	-3,809.00	
27JUL17	B	1	PD27OCT17	6331.00	6491.36	USD	4,009.00	
27JUL17	S	1	PD27OCT17	6336.00	6491.36	USD	-3,884.00	
28JUL17	S	1	PD27OCT17	6330.00	6491.36	USD	-4,034.00	
28JUL17	B	1	PD27OCT17	6260.50	6491.36	USD	5,771.50	
28JUL17	B	1	PD27OCT17	6285.00	6491.36	USD	5,159.00	
28JUL17	S	1	PD27OCT17	6268.00	6491.36	USD	-5,584.00	
28JUL17	B	1	PD27OCT17	6306.00	6491.36	USD	4,634.00	
28JUL17	B	1	PD27OCT17	6310.50	6491.36	USD	4,521.50	
28JUL17	S	1	PD27OCT17	6298.00	6491.36	USD	-4,834.00	
28JUL17	S	1	PD27OCT17	6308.50	6491.36	USD	-4,571.50	
28JUL17	S	1	PD27OCT17	6321.00	6491.36	USD	-4,259.00	
28JUL17	B	1	PD27OCT17	6326.00	6491.36	USD	4,134.00	
28JUL17	S	1	PD27OCT17	6318.00	6491.36	USD	-4,334.00	
28JUL17	B	2	PD27OCT17	6312.00	6491.36	USD	8,968.00	
28JUL17	S	1	PD27OCT17	6319.00	6491.36	USD	-4,309.00	
28JUL17	B	1	PD27OCT17	6331.00	6491.36	USD	4,009.00	
28JUL17	S	1	PD27OCT17	6338.00	6491.36	USD	-3,834.00	
	NET	**0**	**AVG BGHT 6333.33**	**SOLD 6331.75**			**-712.50**	**0.00**
31JUL17	B	1	PD31OCT17	6402.00	6493.50	USD	2,287.50	
31JUL17	B	1	PD31OCT17	6403.00	6493.50	USD	2,262.50	
31JUL17	B	1	PD31OCT17	6421.00	6493.50	USD	1,812.50	
31JUL17	S	2	PD31OCT17	6344.50	6493.50	USD	-7,450.00	
31JUL17	S	1	PD31OCT17	6427.50	6493.50	USD	-1,650.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086E

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT								
	NET	**0**	**AVG BGHT 6408.67**	**SOLD 6372.17**				**-2,737.50**	**0.00**
01AUG17	S	1	PD01NOV17		6336.00	6494.09	USD	-3,952.25	
01AUG17	B	1	PD01NOV17		6341.50	6494.09	USD	3,814.75	
01AUG17	B	1	PD01NOV17		6353.00	6494.09	USD	3,527.25	
01AUG17	S	1	PD01NOV17		6357.50	6494.09	USD	-3,414.75	
	NET	**0**	**AVG BGHT 6347.25**	**SOLD 6346.75**				**-25.00**	**0.00**
02AUG17	S	1	PD02NOV17		6349.00	6494.69	USD	-3,642.25	
02AUG17	S	1	PD02NOV17		6350.00	6494.69	USD	-3,617.25	
02AUG17	S	1	PD02NOV17		6330.00	6494.69	USD	-4,117.25	
02AUG17	B	1	PD02NOV17		6331.00	6494.69	USD	4,092.25	
02AUG17	B	1	PD02NOV17		6332.00	6494.69	USD	4,067.25	
02AUG17	B	1	PD02NOV17		6336.00	6494.69	USD	3,967.25	
02AUG17	B	1	PD02NOV17		6343.00	6494.69	USD	3,792.25	
02AUG17	S	1	PD02NOV17		6342.00	6494.69	USD	-3,817.25	
02AUG17	S	1	PD02NOV17		6337.00	6494.69	USD	-3,942.25	
02AUG17	B	1	PD02NOV17		6335.50	6494.69	USD	3,979.75	
	NET	**0**	**AVG BGHT 6335.50**	**SOLD 6341.60**				**762.50**	**0.00**
03AUG17	S	1	PD03NOV17		6345.00	6495.28	USD	-3,757.00	
03AUG17	S	1	PD03NOV17		6369.50	6495.28	USD	-3,144.50	
03AUG17	B	1	PD03NOV17		6320.50	6495.28	USD	4,369.50	
03AUG17	B	1	PD03NOV17		6335.00	6495.28	USD	4,007.00	
03AUG17	B	1	PD03NOV17		6336.00	6495.28	USD	3,982.00	
03AUG17	S	1	PD03NOV17		6325.00	6495.28	USD	-4,257.00	
03AUG17	B	1	PD03NOV17		6322.00	6495.28	USD	4,332.00	
03AUG17	S	1	PD03NOV17		6327.00	6495.28	USD	-4,207.00	
03AUG17	S	1	PD03NOV17		6334.00	6495.28	USD	-4,032.00	
03AUG17	B	1	PD03NOV17		6319.00	6495.28	USD	4,407.00	
03AUG17	S	1	PD03NOV17		6355.00	6495.28	USD	-3,507.00	
04AUG17	B	2	PD03NOV17		6380.00	6495.28	USD	5,764.00	
04AUG17	B	1	PD03NOV17		6381.50	6495.28	USD	2,844.50	
04AUG17	S	1	PD03NOV17		6379.50	6495.28	USD	-2,894.50	
04AUG17	S	1	PD03NOV17		6380.00	6495.28	USD	-2,882.00	
04AUG17	S	1	PD03NOV17		6368.50	6495.28	USD	-3,169.50	
04AUG17	S	1	PD03NOV17		6369.00	6495.28	USD	-3,157.00	
04AUG17	B	1	PD03NOV17		6359.00	6495.28	USD	3,407.00	
04AUG17	S	2	PD03NOV17		6364.00	6495.28	USD	-6,564.00	
04AUG17	B	1	PD03NOV17		6355.00	6495.28	USD	3,507.00	
04AUG17	B	1	PD03NOV17		6331.00	6495.28	USD	4,107.00	
04AUG17	B	1	PD03NOV17		6346.50	6495.28	USD	3,719.50	
04AUG17	S	2	PD03NOV17		6338.00	6495.28	USD	-7,864.00	
04AUG17	S	1	PD03NOV17		6341.00	6495.28	USD	-3,857.00	
04AUG17	S	1	PD03NOV17		6358.50	6495.28	USD	-3,419.50	
04AUG17	B	1	PD03NOV17		6363.00	6495.28	USD	3,307.00	
04AUG17	B	2	PD03NOV17		6382.00	6495.28	USD	5,664.00	
04AUG17	S	2	PD03NOV17		6378.00	6495.28	USD	-5,864.00	
04AUG17	B	1	PD03NOV17		6371.00	6495.28	USD	3,107.00	
14AUG17	B	2	PD03NOV17		6393.09	6495.28	USD	5,109.50	
	NET	**0**	**AVG BGHT 6358.32**	**SOLD 6356.22**				**-942.00**	**0.00**
07AUG17	B	1	PD07NOV17		6366.00	6497.66	USD	3,291.50	
07AUG17	B	1	PD07NOV17		6404.50	6497.66	USD	2,329.00	
07AUG17	S	1	PD07NOV17		6349.00	6497.66	USD	-3,716.50	
07AUG17	S	1	PD07NOV17		6369.50	6497.66	USD	-3,204.00	
07AUG17	B	1	PD07NOV17		6344.00	6497.66	USD	3,841.50	
07AUG17	B	3	PD07NOV17		6362.00	6497.66	USD	10,174.50	
07AUG17	B	1	PD07NOV17		6363.00	6497.66	USD	3,366.50	
07AUG17	B	1	PD07NOV17		6363.50	6497.66	USD	3,354.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086E

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
07AUG17	B 1 PD07NOV17	6383.00	6497.66	USD	2,866.50	
07AUG17	B 1 PD07NOV17	6401.50	6497.66	USD	2,404.00	
07AUG17	B 1 PD07NOV17	6412.50	6497.66	USD	2,129.00	
07AUG17	B 1 PD07NOV17	6413.50	6497.66	USD	2,104.00	
07AUG17	S 2 PD07NOV17	6369.00	6497.66	USD	-6,433.00	
07AUG17	S 2 PD07NOV17	6408.00	6497.66	USD	-4,483.00	
07AUG17	S 1 PD07NOV17	6414.00	6497.66	USD	-2,091.50	
07AUG17	S 1 PD07NOV17	6427.00	6497.66	USD	-1,766.50	
07AUG17	B 2 PD07NOV17	6342.00	6497.66	USD	7,783.00	
07AUG17	B 2 PD07NOV17	6343.00	6497.66	USD	7,733.00	
07AUG17	B 1 PD07NOV17	6346.00	6497.66	USD	3,791.50	
07AUG17	B 1 PD07NOV17	6351.50	6497.66	USD	3,654.00	
07AUG17	B 2 PD07NOV17	6355.00	6497.66	USD	7,133.00	
07AUG17	S 1 PD07NOV17	6339.00	6497.66	USD	-3,966.50	
07AUG17	S 1 PD07NOV17	6342.00	6497.66	USD	-3,891.50	
07AUG17	S 2 PD07NOV17	6349.50	6497.66	USD	-7,408.00	
07AUG17	S 1 PD07NOV17	6352.00	6497.66	USD	-3,641.50	
07AUG17	S 1 PD07NOV17	6367.00	6497.66	USD	-3,266.50	
07AUG17	S 2 PD07NOV17	6368.00	6497.66	USD	-6,483.00	
07AUG17	S 1 PD07NOV17	6378.00	6497.66	USD	-2,991.50	
07AUG17	S 1 PD07NOV17	6410.00	6497.66	USD	-2,191.50	
07AUG17	B 1 PD07NOV17	6414.00	6497.66	USD	2,091.50	
07AUG17	S 2 PD07NOV17	6404.50	6497.66	USD	-4,658.00	
07AUG17	S 1 PD07NOV17	6418.00	6497.66	USD	-1,991.50	
07AUG17	B 1 PD07NOV17	6433.00	6497.66	USD	1,616.50	
07AUG17	S 1 PD07NOV17	6438.00	6497.66	USD	-1,491.50	
07AUG17	B 1 PD07NOV17	6396.00	6497.66	USD	2,541.50	
07AUG17	S 1 PD07NOV17	6400.00	6497.66	USD	-2,441.50	
07AUG17	B 2 PD07NOV17	6417.00	6497.66	USD	4,033.00	
14AUG17	S 2 PD07NOV17	6394.31	6497.66	USD	-5,167.50	
	NET 0 AVG BGHT 6375.68 SOLD 6383.60				**4,953.00**	**0.00**
08AUG17	B 1 PD08NOV17	6405.00	6498.25	USD	2,331.25	
08AUG17	S 1 PD08NOV17	6392.00	6498.25	USD	-2,656.25	
08AUG17	B 1 PD08NOV17	6453.50	6498.25	USD	1,118.75	
08AUG17	S 1 PD08NOV17	6458.00	6498.25	USD	-1,006.25	
	NET 0 AVG BGHT 6429.25 SOLD 6425.00				**-212.50**	**0.00**
09AUG17	B 1 PD09NOV17	6503.00	6498.71	USD	-107.25	
09AUG17	S 1 PD09NOV17	6507.00	6498.71	USD	207.25	
09AUG17	S 1 PD09NOV17	6509.00	6498.71	USD	257.25	
09AUG17	S 1 PD09NOV17	6510.00	6498.71	USD	282.25	
09AUG17	S 1 PD09NOV17	6503.00	6498.71	USD	107.25	
23AUG17	B 3 PD09NOV17	6563.50	6498.71	USD	-4,859.25	
	NET 0 AVG BGHT 6548.38 SOLD 6507.25				**-4,112.50**	**0.00**
10AUG17	B 1 PD10NOV17	6463.00	6499.18	USD	904.50	
10AUG17	S 1 PD10NOV17	6458.00	6499.18	USD	-1,029.50	
10AUG17	B 1 PD10NOV17	6425.00	6499.18	USD	1,854.50	
10AUG17	S 1 PD10NOV17	6434.50	6499.18	USD	-1,617.00	
10AUG17	B 1 PD10NOV17	6402.00	6499.18	USD	2,429.50	
10AUG17	B 1 PD10NOV17	6405.50	6499.18	USD	2,342.00	
10AUG17	B 1 PD10NOV17	6415.00	6499.18	USD	2,104.50	
11AUG17	B 1 PD10NOV17	6345.50	6499.18	USD	3,842.00	
11AUG17	S 1 PD10NOV17	6343.00	6499.18	USD	-3,904.50	
11AUG17	B 1 PD10NOV17	6358.00	6499.18	USD	3,529.50	
11AUG17	S 1 PD10NOV17	6363.50	6499.18	USD	-3,392.00	
11AUG17	B 1 PD10NOV17	6355.00	6499.18	USD	3,604.50	
11AUG17	S 1 PD10NOV17	6360.00	6499.18	USD	-3,479.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086E

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
11AUG17	B 1 PD10NOV17	6372.00	6499.18	USD	3,179.50	
11AUG17	S 1 PD10NOV17	6377.50	6499.18	USD	-3,042.00	
11AUG17	S 1 PD10NOV17	6381.50	6499.18	USD	-2,942.00	
11AUG17	S 1 PD10NOV17	6421.00	6499.18	USD	-1,954.50	
11AUG17	S 1 PD10NOV17	6420.00	6499.18	USD	-1,979.50	
	NET 0 AVG BGHT 6393.44 SOLD 6395.44				**450.00**	**0.00**
14AUG17	B 1 PD14NOV17	6391.00	6501.04	USD	2,751.00	
14AUG17	B 1 PD14NOV17	6392.00	6501.04	USD	2,726.00	
14AUG17	B 1 PD14NOV17	6393.00	6501.04	USD	2,701.00	
23AUG17	S 3 PD14NOV17	6565.30	6501.04	USD	4,819.50	
	NET 0 AVG BGHT 6392.00 SOLD 6565.30				**12,997.50**	**0.00**
15AUG17	S 1 PD15NOV17	6416.50	6501.50	USD	-2,125.00	
15AUG17	B 1 PD15NOV17	6411.00	6501.50	USD	2,262.50	
15AUG17	B 1 PD15NOV17	6412.00	6501.50	USD	2,237.50	
15AUG17	S 2 PD15NOV17	6417.00	6501.50	USD	-4,225.00	
15AUG17	B 1 PD15NOV17	6377.00	6501.50	USD	3,112.50	
	NET 0 AVG BGHT 6400.00 SOLD 6416.83				**1,262.50**	**0.00**
16AUG17	S 1 PD16NOV17	6390.00	6501.00	USD	-2,775.00	
16AUG17	B 1 PD16NOV17	6401.00	6501.00	USD	2,500.00	
16AUG17	S 1 PD16NOV17	6399.50	6501.00	USD	-2,537.50	
16AUG17	B 1 PD16NOV17	6435.50	6501.00	USD	1,637.50	
16AUG17	B 2 PD16NOV17	6505.00	6501.00	USD	-200.00	
16AUG17	B 1 PD16NOV17	6521.50	6501.00	USD	-512.50	
16AUG17	S 1 PD16NOV17	6505.00	6501.00	USD	100.00	
16AUG17	S 1 PD16NOV17	6514.00	6501.00	USD	325.00	
16AUG17	S 1 PD16NOV17	6520.50	6501.00	USD	487.50	
16AUG17	B 1 PD16NOV17	6535.00	6501.00	USD	-850.00	
16AUG17	S 1 PD16NOV17	6543.50	6501.00	USD	1,062.50	
	NET 0 AVG BGHT 6483.83 SOLD 6478.75				**-762.50**	**0.00**
17AUG17	B 1 PD17NOV17	6485.00	6501.60	USD	415.00	
17AUG17	S 1 PD17NOV17	6494.50	6501.60	USD	-177.50	
17AUG17	B 1 PD17NOV17	6481.00	6501.60	USD	515.00	
17AUG17	B 1 PD17NOV17	6491.50	6501.60	USD	252.50	
17AUG17	S 1 PD17NOV17	6477.50	6501.60	USD	-602.50	
17AUG17	S 1 PD17NOV17	6487.00	6501.60	USD	-365.00	
17AUG17	B 1 PD17NOV17	6483.00	6501.60	USD	465.00	
17AUG17	S 1 PD17NOV17	6479.50	6501.60	USD	-552.50	
	NET 0 AVG BGHT 6485.13 SOLD 6484.63				**-50.00**	**0.00**
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
14JUL17	S 1 PD13OCT17	2301.50	2586.85	USD	-7,133.75	
14JUL17	B 1 PD13OCT17	2304.50	2586.85	USD	7,058.75	
	NET 0 AVG BGHT 2304.50 SOLD 2301.50				**-75.00**	**0.00**
04AUG17	B 1 PD03NOV17	2370.00	2588.00	USD	5,450.00	
04AUG17	S 1 PD03NOV17	2367.00	2588.00	USD	-5,525.00	
	NET 0 AVG BGHT 2370.00 SOLD 2367.00				**-75.00**	**0.00**
09AUG17	B 1 PD09NOV17	2375.00	2588.00	USD	5,325.00	
09AUG17	S 1 PD09NOV17	2368.00	2588.00	USD	-5,500.00	
	NET 0 AVG BGHT 2375.00 SOLD 2368.00				**-175.00**	**0.00**
14AUG17	S 1 PD14NOV17	2345.50	2588.00	USD	-6,062.50	
23AUG17	B 1 PD14NOV17	2375.00	2588.00	USD	5,325.00	
	NET 0 AVG BGHT 2375.00 SOLD 2345.50				**-737.50**	**0.00**
15AUG17	B 1 PD15NOV17	2361.00	2588.00	USD	5,675.00	
23AUG17	S 1 PD15NOV17	2375.05	2588.00	USD	-5,323.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086E

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
	NET 0 AVG BGHT 2361.00 SOLD 2375.05				**351.25**	**0.00**
16AUG17	B 1 PD16NOV17	2445.50	2588.13	USD	3,565.75	
16AUG17	S 1 PD16NOV17	2443.50	2588.13	USD	-3,615.75	
16AUG17	S 1 PD16NOV17	2451.50	2588.13	USD	-3,415.75	
16AUG17	B 1 PD16NOV17	2492.00	2588.13	USD	2,403.25	
16AUG17	S 1 PD16NOV17	2490.00	2588.13	USD	-2,453.25	
16AUG17	S 1 PD16NOV17	2479.50	2588.13	USD	-2,715.75	
16AUG17	B 1 PD16NOV17	2497.00	2588.13	USD	2,278.25	
16AUG17	B 1 PD16NOV17	2501.00	2588.13	USD	2,178.25	
	NET 0 AVG BGHT 2483.88 SOLD 2466.13				**-1,775.00**	**0.00**
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
21JUL17	B 1 PD20OCT17	9530.00	10550.93	USD	6,125.58	
07AUG17	S 1 PD20OCT17	10391.90	10550.93	USD	-954.18	
	NET 0 AVG BGHT 9530.00 SOLD 10391.90				**5,171.40**	**0.00**
24JUL17	S 1 PD24OCT17	9640.00	10554.79	USD	-5,488.74	
07AUG17	B 1 PD24OCT17	10394.70	10554.79	USD	960.54	
	NET 0 AVG BGHT 10394.70 SOLD 9640.00				**-4,528.20**	**0.00**
09AUG17	S 1 PD09NOV17	10795.00	10570.21	USD	1,348.74	
14AUG17	B 1 PD09NOV17	10446.76	10570.21	USD	740.70	
	NET 0 AVG BGHT 10446.76 SOLD 10795.00				**2,089.44**	**0.00**
10AUG17	B 1 PD10NOV17	10845.00	10571.18	USD	-1,642.92	
14AUG17	S 1 PD10NOV17	10446.41	10571.18	USD	-748.62	
	NET 0 AVG BGHT 10845.00 SOLD 10446.41				**-2,391.54**	**0.00**
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
05JUL17	S 1 PD05OCT17	20040.00	20954.00	USD	-4,570.00	
05JUL17	B 1 PD05OCT17	19960.00	20954.00	USD	4,970.00	
	NET 0 AVG BGHT 19960.00 SOLD 20040.00				**400.00**	**0.00**
07JUL17	B 1 PD06OCT17	19645.00	20951.50	USD	6,532.50	
07JUL17	S 1 PD06OCT17	19675.00	20951.50	USD	-6,382.50	
	NET 0 AVG BGHT 19645.00 SOLD 19675.00				**150.00**	**0.00**
10JUL17	S 2 PD10OCT17	19735.00	20949.00	USD	-12,140.00	
10JUL17	B 1 PD10OCT17	19730.00	20949.00	USD	6,095.00	
10JUL17	B 1 PD10OCT17	19745.00	20949.00	USD	6,020.00	
10JUL17	S 1 PD10OCT17	19945.00	20949.00	USD	-5,020.00	
10JUL17	B 1 PD10OCT17	19935.00	20949.00	USD	5,070.00	
10JUL17	S 1 PD10OCT17	19970.00	20949.00	USD	-4,895.00	
10JUL17	B 1 PD10OCT17	19960.00	20949.00	USD	4,945.00	
	NET 0 AVG BGHT 19842.50 SOLD 19846.25				**75.00**	**0.00**
11JUL17	B 1 PD11OCT17	19850.00	20946.50	USD	5,482.50	
11JUL17	S 1 PD11OCT17	19845.00	20946.50	USD	-5,507.50	
	NET 0 AVG BGHT 19850.00 SOLD 19845.00				**-25.00**	**0.00**
19JUL17	B 1 PD19OCT17	20135.00	20929.00	USD	3,970.00	
19JUL17	S 1 PD19OCT17	20090.00	20929.00	USD	-4,195.00	
	NET 0 AVG BGHT 20135.00 SOLD 20090.00				**-225.00**	**0.00**
20JUL17	S 1 PD20OCT17	20095.00	20924.00	USD	-4,145.00	
20JUL17	B 1 PD20OCT17	20080.00	20924.00	USD	4,220.00	
	NET 0 AVG BGHT 20080.00 SOLD 20095.00				**75.00**	**0.00**
24JUL17	B 2 PD24OCT17	20125.00	20914.00	USD	7,890.00	
24JUL17	S 1 PD24OCT17	20160.00	20914.00	USD	-3,770.00	
24JUL17	S 1 PD24OCT17	20140.00	20914.00	USD	-3,870.00	
	NET 0 AVG BGHT 20125.00 SOLD 20150.00				**250.00**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086E

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
25JUL17	B	1	PD25OCT17	20145.00	20909.00	USD	3,820.00	
25JUL17	S	1	PD25OCT17	20190.00	20909.00	USD	-3,595.00	
	NET	**0**	**AVG BGHT 20145.00 SOLD 20190.00**				**225.00**	**0.00**
27JUL17	B	1	PD27OCT17	20410.00	20899.00	USD	2,445.00	
27JUL17	S	1	PD27OCT17	20445.00	20899.00	USD	-2,270.00	
27JUL17	B	1	PD27OCT17	20465.00	20899.00	USD	2,170.00	
27JUL17	S	1	PD27OCT17	20500.00	20899.00	USD	-1,995.00	
27JUL17	S	2	PD27OCT17	20750.00	20899.00	USD	-1,490.00	
27JUL17	B	1	PD27OCT17	20705.00	20899.00	USD	970.00	
27JUL17	B	1	PD27OCT17	20685.00	20899.00	USD	1,070.00	
27JUL17	B	1	PD27OCT17	20710.00	20899.00	USD	945.00	
27JUL17	S	1	PD27OCT17	20675.00	20899.00	USD	-1,120.00	
27JUL17	B	1	PD27OCT17	20680.00	20899.00	USD	1,095.00	
27JUL17	S	1	PD27OCT17	20650.00	20899.00	USD	-1,245.00	
28JUL17	S	1	PD27OCT17	20715.00	20899.00	USD	-920.00	
28JUL17	S	1	PD27OCT17	20725.00	20899.00	USD	-870.00	
28JUL17	B	1	PD27OCT17	20700.00	20899.00	USD	995.00	
28JUL17	S	1	PD27OCT17	20745.00	20899.00	USD	-770.00	
28JUL17	B	2	PD27OCT17	20810.00	20899.00	USD	890.00	
28JUL17	B	1	PD27OCT17	20870.00	20899.00	USD	145.00	
28JUL17	S	1	PD27OCT17	20865.00	20899.00	USD	-170.00	
28JUL17	B	1	PD27OCT17	20650.00	20899.00	USD	1,245.00	
28JUL17	S	1	PD27OCT17	20650.00	20899.00	USD	-1,245.00	
	NET	**0**	**AVG BGHT 20681.36 SOLD 20679.09**				**-125.00**	**0.00**
01AUG17	S	1	PD01NOV17	20600.00	20884.00	USD	-1,420.00	
01AUG17	B	1	PD01NOV17	20565.00	20884.00	USD	1,595.00	
01AUG17	B	1	PD01NOV17	20580.00	20884.00	USD	1,520.00	
01AUG17	S	1	PD01NOV17	20615.00	20884.00	USD	-1,345.00	
	NET	**0**	**AVG BGHT 20572.50 SOLD 20607.50**				**350.00**	**0.00**
04AUG17	B	1	PD03NOV17	20700.00	20881.20	USD	906.00	
04AUG17	S	1	PD03NOV17	20690.00	20881.20	USD	-956.00	
	NET	**0**	**AVG BGHT 20700.00 SOLD 20690.00**				**-50.00**	**0.00**
07AUG17	B	1	PD07NOV17	20615.00	20878.40	USD	1,317.00	
07AUG17	S	1	PD07NOV17	20615.00	20878.40	USD	-1,317.00	
	NET	**0**	**AVG BGHT 20615.00 SOLD 20615.00**				**0.00**	**0.00**
08AUG17	B	1	PD08NOV17	20245.00	20877.00	USD	3,160.00	
08AUG17	S	1	PD08NOV17	20240.00	20877.00	USD	-3,185.00	
08AUG17	B	2	PD08NOV17	20210.00	20877.00	USD	6,670.00	
08AUG17	S	1	PD08NOV17	20235.00	20877.00	USD	-3,210.00	
08AUG17	S	1	PD08NOV17	20275.00	20877.00	USD	-3,010.00	
	NET	**0**	**AVG BGHT 20221.67 SOLD 20250.00**				**425.00**	**0.00**
09AUG17	B	1	PD09NOV17	20300.00	20875.60	USD	2,878.00	
09AUG17	S	1	PD09NOV17	20295.00	20875.60	USD	-2,903.00	
	NET	**0**	**AVG BGHT 20300.00 SOLD 20295.00**				**-25.00**	**0.00**
10AUG17	B	2	PD10NOV17	20350.00	20874.20	USD	5,242.00	
10AUG17	S	1	PD10NOV17	20375.00	20874.20	USD	-2,496.00	
10AUG17	S	1	PD10NOV17	20400.00	20874.20	USD	-2,371.00	
11AUG17	B	1	PD10NOV17	20240.00	20874.20	USD	3,171.00	
11AUG17	S	1	PD10NOV17	20250.00	20874.20	USD	-3,121.00	
	NET	**0**	**AVG BGHT 20313.33 SOLD 20341.67**				**425.00**	**0.00**
14AUG17	B	1	PD14NOV17	20285.00	20871.40	USD	2,932.00	
14AUG17	S	1	PD14NOV17	20285.00	20871.40	USD	-2,932.00	
	NET	**0**	**AVG BGHT 20285.00 SOLD 20285.00**				**0.00**	**0.00**
15AUG17	B	4	PD15NOV17	19570.00	20870.00	USD	26,000.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086E

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
15AUG17	S 2 PD15NOV17	19955.00	20870.00	USD	-9,150.00	
15AUG17	S 2 PD15NOV17	19980.00	20870.00	USD	-8,900.00	
	NET 0 AVG BGHT 19570.00 SOLD 19967.50				**7,950.00**	**0.00**
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
04JUL17	S 1 PD04OCT17	2779.50	3316.62	USD	-13,428.00	
04JUL17	B 1 PD04OCT17	2784.50	3316.62	USD	13,303.00	
	NET 0 AVG BGHT 2784.50 SOLD 2779.50				**-125.00**	**0.00**
13JUL17	S 1 PD13OCT17	2809.00	3297.88	USD	-12,222.00	
13JUL17	B 1 PD13OCT17	2805.00	3297.88	USD	12,322.00	
	NET 0 AVG BGHT 2805.00 SOLD 2809.00				**100.00**	**0.00**
25JUL17	B 1 PD25OCT17	2812.50	3279.50	USD	11,675.00	
25JUL17	S 1 PD25OCT17	2820.00	3279.50	USD	-11,487.50	
	NET 0 AVG BGHT 2812.50 SOLD 2820.00				**187.50**	**0.00**
28JUL17	S 1 PD27OCT17	2789.00	3277.50	USD	-12,212.50	
28JUL17	B 1 PD27OCT17	2793.00	3277.50	USD	12,112.50	
	NET 0 AVG BGHT 2793.00 SOLD 2789.00				**-100.00**	**0.00**
31JUL17	B 1 PD31OCT17	2800.00	3275.50	USD	11,887.50	
31JUL17	S 1 PD31OCT17	2798.50	3275.50	USD	-11,925.00	
	NET 0 AVG BGHT 2800.00 SOLD 2798.50				**-37.50**	**0.00**
09AUG17	B 1 PD09NOV17	2981.50	3272.00	USD	7,262.50	
09AUG17	S 2 PD09NOV17	2979.00	3272.00	USD	-14,650.00	
09AUG17	B 1 PD09NOV17	2965.00	3272.00	USD	7,675.00	
	NET 0 AVG BGHT 2973.25 SOLD 2979.00				**287.50**	**0.00**
16AUG17	S 1 PD16NOV17	2980.00	3267.50	USD	-7,187.50	
16AUG17	B 1 PD16NOV17	2985.50	3267.50	USD	7,050.00	
16AUG17	B 2 PD16NOV17	3041.50	3267.50	USD	11,300.00	
16AUG17	S 2 PD16NOV17	3038.50	3267.50	USD	-11,450.00	
16AUG17	S 1 PD16NOV17	3068.00	3267.50	USD	-4,987.50	
16AUG17	B 1 PD16NOV17	3096.00	3267.50	USD	4,287.50	
	NET 0 AVG BGHT 3041.13 SOLD 3031.25				**-987.50**	**0.00**

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	-50.00
LME Copper Grade A 25	FUTURES	6,298.50
LME Lead 25 tonnes. This is an	FUTURES	-2,486.25
LME Nickel 6 tonnes. This is	FUTURES	341.10
LME Tin 5 tonnes. This is an	FUTURES	9,875.00
LME Zinc 25 tonnes. This is	FUTURES	-675.00

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086E

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards			Amount	Profit / Loss	**Total Amount**	**Total P&L**
ALUL	- Futures	USD	-50.00	-50.00		
COPL	- Futures	USD	6,298.50	6,298.50		
LEAD	- Futures	USD	-2,486.25	-2,486.25		
NKL	- Futures	USD	341.10	341.10		
TIN	- Futures	USD	9,875.00	9,875.00		
ZINC	- Futures	USD	-675.00	-675.00	**13,303.35**	**13,303.35**

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		6,428.20	6,428.20
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**6,428.20**	**6,428.20**
Initial Margins		0.00	0.00
Excess/(Shortage)		**6,428.20**	**6,428.20**
FOR INFORMATION ONLY			
Forward Unrealised P&L		13,303.35	13,303.35
Net Liquidating Value		**19,731.55**	**19,731.55**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086F

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
13JUL17	S 1 PD13OCT17	1939.50	2116.25	USD	-4,418.75	
13JUL17	B 1 PD13OCT17	1930.50	2116.25	USD	4,643.75	
	NET 0 AVG BGHT 1930.50 SOLD 1939.50				**225.00**	**0.00**
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
04JUL17	B 1 PD04OCT17	5903.00	6467.48	USD	14,112.00	
04JUL17	S 1 PD04OCT17	5901.00	6467.48	USD	-14,162.00	
04JUL17	B 1 PD04OCT17	5900.50	6467.48	USD	14,174.50	
04JUL17	S 1 PD04OCT17	5900.50	6467.48	USD	-14,174.50	
04JUL17	S 1 PD04OCT17	5893.00	6467.48	USD	-14,362.00	
04JUL17	B 1 PD04OCT17	5873.50	6467.48	USD	14,849.50	
04JUL17	B 1 PD04OCT17	5885.50	6467.48	USD	14,549.50	
04JUL17	S 1 PD04OCT17	5895.50	6467.48	USD	-14,299.50	
04JUL17	B 1 PD04OCT17	5884.00	6467.48	USD	14,587.00	
04JUL17	S 1 PD04OCT17	5897.50	6467.48	USD	-14,249.50	
	NET 0 AVG BGHT 5889.30 SOLD 5897.50				**1,025.00**	**0.00**
05JUL17	S 1 PD05OCT17	5872.50	6468.75	USD	-14,906.25	
05JUL17	S 1 PD05OCT17	5857.50	6468.75	USD	-15,281.25	
05JUL17	S 1 PD05OCT17	5860.00	6468.75	USD	-15,218.75	
05JUL17	S 1 PD05OCT17	5869.50	6468.75	USD	-14,981.25	
05JUL17	B 1 PD05OCT17	5832.50	6468.75	USD	15,906.25	
05JUL17	B 1 PD05OCT17	5842.50	6468.75	USD	15,656.25	
05JUL17	B 1 PD05OCT17	5845.00	6468.75	USD	15,593.75	
05JUL17	B 1 PD05OCT17	5837.00	6468.75	USD	15,793.75	
05JUL17	B 1 PD05OCT17	5820.00	6468.75	USD	16,218.75	
05JUL17	S 1 PD05OCT17	5815.50	6468.75	USD	-16,331.25	
	NET 0 AVG BGHT 5835.40 SOLD 5855.00				**2,450.00**	**0.00**
06JUL17	S 1 PD06OCT17	5835.00	6470.02	USD	-15,875.50	
06JUL17	B 1 PD06OCT17	5840.50	6470.02	USD	15,738.00	
06JUL17	S 1 PD06OCT17	5838.00	6470.02	USD	-15,800.50	
06JUL17	S 1 PD06OCT17	5840.00	6470.02	USD	-15,750.50	
06JUL17	S 1 PD06OCT17	5850.00	6470.02	USD	-15,500.50	
06JUL17	B 1 PD06OCT17	5863.00	6470.02	USD	15,175.50	
06JUL17	B 1 PD06OCT17	5852.00	6470.02	USD	15,450.50	
06JUL17	B 1 PD06OCT17	5852.50	6470.02	USD	15,438.00	
07JUL17	S 1 PD06OCT17	5866.50	6470.02	USD	-15,088.00	
07JUL17	B 1 PD06OCT17	5850.00	6470.02	USD	15,500.50	
07JUL17	S 1 PD06OCT17	5837.00	6470.02	USD	-15,825.50	
07JUL17	B 1 PD06OCT17	5829.00	6470.02	USD	16,025.50	
	NET 0 AVG BGHT 5847.83 SOLD 5844.42				**-512.50**	**0.00**
10JUL17	S 1 PD10OCT17	5838.00	6475.10	USD	-15,927.50	
10JUL17	S 1 PD10OCT17	5837.00	6475.10	USD	-15,952.50	
10JUL17	B 1 PD10OCT17	5821.00	6475.10	USD	16,352.50	
10JUL17	S 1 PD10OCT17	5819.00	6475.10	USD	-16,402.50	
10JUL17	B 1 PD10OCT17	5806.00	6475.10	USD	16,727.50	
10JUL17	B 1 PD10OCT17	5805.00	6475.10	USD	16,752.50	
10JUL17	B 1 PD10OCT17	5807.00	6475.10	USD	16,702.50	
10JUL17	B 1 PD10OCT17	5814.00	6475.10	USD	16,527.50	
10JUL17	S 2 PD10OCT17	5804.00	6475.10	USD	-33,555.00	
10JUL17	S 1 PD10OCT17	5815.00	6475.10	USD	-16,502.50	
24JUL17	B 1 PD10OCT17	6024.42	6475.10	USD	11,267.00	
	NET 0 AVG BGHT 5846.24 SOLD 5819.50				**-4,010.50**	**0.00**
11JUL17	S 1 PD11OCT17	5831.00	6476.37	USD	-16,134.25	
11JUL17	B 1 PD11OCT17	5851.50	6476.37	USD	15,621.75	
11JUL17	B 1 PD11OCT17	5856.00	6476.37	USD	15,509.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086F

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
11JUL17	B 1 PD11OCT17	5833.00	6476.37	USD	16,084.25	
11JUL17	B 1 PD11OCT17	5821.50	6476.37	USD	16,371.75	
11JUL17	S 2 PD11OCT17	5819.00	6476.37	USD	-32,868.50	
11JUL17	B 1 PD11OCT17	5853.00	6476.37	USD	15,584.25	
11JUL17	S 1 PD11OCT17	5848.00	6476.37	USD	-15,709.25	
11JUL17	B 1 PD11OCT17	5852.00	6476.37	USD	15,609.25	
11JUL17	S 1 PD11OCT17	5850.00	6476.37	USD	-15,659.25	
11JUL17	S 1 PD11OCT17	5880.00	6476.37	USD	-14,909.25	
11JUL17	B 1 PD11OCT17	5873.00	6476.37	USD	15,084.25	
11JUL17	B 1 PD11OCT17	5882.00	6476.37	USD	14,859.25	
11JUL17	B 1 PD11OCT17	5885.50	6476.37	USD	14,771.75	
11JUL17	S 2 PD11OCT17	5884.00	6476.37	USD	-29,618.50	
24JUL17	S 1 PD11OCT17	6024.61	6476.37	USD	-11,294.00	
	NET 0 AVG BGHT 5856.39 SOLD 5871.07				**3,302.75**	**0.00**
12JUL17	B 1 PD12OCT17	5917.00	6477.63	USD	14,015.75	
12JUL17	S 1 PD12OCT17	5911.00	6477.63	USD	-14,165.75	
12JUL17	S 1 PD12OCT17	5917.00	6477.63	USD	-14,015.75	
12JUL17	B 1 PD12OCT17	5894.50	6477.63	USD	14,578.25	
12JUL17	S 1 PD12OCT17	5895.00	6477.63	USD	-14,565.75	
12JUL17	B 1 PD12OCT17	5890.00	6477.63	USD	14,690.75	
12JUL17	B 1 PD12OCT17	5893.00	6477.63	USD	14,615.75	
12JUL17	S 1 PD12OCT17	5892.00	6477.63	USD	-14,640.75	
12JUL17	B 1 PD12OCT17	5892.50	6477.63	USD	14,628.25	
12JUL17	B 1 PD12OCT17	5915.00	6477.63	USD	14,065.75	
12JUL17	S 1 PD12OCT17	5909.00	6477.63	USD	-14,215.75	
12JUL17	B 1 PD12OCT17	5924.00	6477.63	USD	13,840.75	
12JUL17	B 1 PD12OCT17	5910.00	6477.63	USD	14,190.75	
12JUL17	B 1 PD12OCT17	5916.00	6477.63	USD	14,040.75	
12JUL17	S 1 PD12OCT17	5910.00	6477.63	USD	-14,190.75	
12JUL17	S 2 PD12OCT17	5900.00	6477.63	USD	-28,881.50	
12JUL17	S 1 PD12OCT17	5925.00	6477.63	USD	-13,815.75	
	NET 0 AVG BGHT 5905.78 SOLD 5906.56				**175.00**	**0.00**
13JUL17	S 2 PD13OCT17	5917.00	6478.90	USD	-28,095.00	
13JUL17	S 1 PD13OCT17	5921.00	6478.90	USD	-13,947.50	
13JUL17	B 1 PD13OCT17	5912.00	6478.90	USD	14,172.50	
13JUL17	B 1 PD13OCT17	5890.50	6478.90	USD	14,710.00	
14JUL17	S 1 PD13OCT17	5874.50	6478.90	USD	-15,110.00	
14JUL17	B 1 PD13OCT17	5883.00	6478.90	USD	14,897.50	
14JUL17	B 1 PD13OCT17	5880.00	6478.90	USD	14,972.50	
14JUL17	B 1 PD13OCT17	5876.50	6478.90	USD	15,060.00	
14JUL17	B 1 PD13OCT17	5885.00	6478.90	USD	14,847.50	
14JUL17	B 1 PD13OCT17	5891.50	6478.90	USD	14,685.00	
14JUL17	B 1 PD13OCT17	5895.50	6478.90	USD	14,585.00	
14JUL17	S 1 PD13OCT17	5900.00	6478.90	USD	-14,472.50	
14JUL17	S 1 PD13OCT17	5883.00	6478.90	USD	-14,897.50	
14JUL17	S 1 PD13OCT17	5884.50	6478.90	USD	-14,860.00	
14JUL17	S 1 PD13OCT17	5890.00	6478.90	USD	-14,722.50	
14JUL17	B 1 PD13OCT17	5924.00	6478.90	USD	13,872.50	
14JUL17	S 1 PD13OCT17	5928.00	6478.90	USD	-13,772.50	
	NET 0 AVG BGHT 5893.11 SOLD 5901.67				**1,925.00**	**0.00**
17JUL17	S 1 PD17OCT17	5951.50	6483.98	USD	-13,312.00	
17JUL17	S 2 PD17OCT17	5956.00	6483.98	USD	-26,399.00	
17JUL17	S 1 PD17OCT17	5979.50	6483.98	USD	-12,612.00	
17JUL17	B 1 PD17OCT17	5947.50	6483.98	USD	13,412.00	
17JUL17	B 1 PD17OCT17	5981.00	6483.98	USD	12,574.50	
17JUL17	S 1 PD17OCT17	5985.00	6483.98	USD	-12,474.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086F

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT								
17JUL17	S	1	PD17OCT17		5999.50	6483.98	USD	-12,112.00	
17JUL17	B	1	PD17OCT17		6008.00	6483.98	USD	11,899.50	
17JUL17	B	2	PD17OCT17		6000.00	6483.98	USD	24,199.00	
17JUL17	B	1	PD17OCT17		6014.00	6483.98	USD	11,749.50	
	NET	**0**	**AVG BGHT 5991.75**	**SOLD 5971.25**				**-3,075.00**	**0.00**
18JUL17	S	1	PD18OCT17		5993.00	6485.25	USD	-12,306.25	
18JUL17	S	1	PD18OCT17		5997.00	6485.25	USD	-12,206.25	
18JUL17	B	2	PD18OCT17		5980.00	6485.25	USD	25,262.50	
18JUL17	S	1	PD18OCT17		6009.00	6485.25	USD	-11,906.25	
07AUG17	B	1	PD18OCT17		6408.50	6485.25	USD	1,918.75	
	NET	**0**	**AVG BGHT 6122.83**	**SOLD 5999.67**				**-9,237.50**	**0.00**
19JUL17	B	1	PD19OCT17		5994.50	6486.00	USD	12,287.50	
19JUL17	S	2	PD19OCT17		6015.00	6486.00	USD	-23,550.00	
19JUL17	B	1	PD19OCT17		5996.00	6486.00	USD	12,250.00	
19JUL17	B	1	PD19OCT17		6002.00	6486.00	USD	12,100.00	
19JUL17	S	1	PD19OCT17		5994.00	6486.00	USD	-12,300.00	
19JUL17	S	1	PD19OCT17		5980.00	6486.00	USD	-12,650.00	
07AUG17	B	1	PD19OCT17		6407.50	6486.00	USD	1,962.50	
	NET	**0**	**AVG BGHT 6100.00**	**SOLD 6001.00**				**-9,900.00**	**0.00**
20JUL17	B	1	PD20OCT17		5991.00	6486.75	USD	12,393.75	
20JUL17	S	1	PD20OCT17		5985.50	6486.75	USD	-12,531.25	
20JUL17	S	1	PD20OCT17		5989.50	6486.75	USD	-12,431.25	
20JUL17	B	1	PD20OCT17		5971.00	6486.75	USD	12,893.75	
20JUL17	B	1	PD20OCT17		5972.00	6486.75	USD	12,868.75	
20JUL17	B	1	PD20OCT17		5977.00	6486.75	USD	12,743.75	
20JUL17	S	1	PD20OCT17		5970.00	6486.75	USD	-12,918.75	
21JUL17	S	1	PD20OCT17		5981.50	6486.75	USD	-12,631.25	
21JUL17	B	1	PD20OCT17		5980.50	6486.75	USD	12,656.25	
21JUL17	S	1	PD20OCT17		5981.00	6486.75	USD	-12,643.75	
21JUL17	B	1	PD20OCT17		6001.00	6486.75	USD	12,143.75	
21JUL17	B	1	PD20OCT17		6031.50	6486.75	USD	11,381.25	
21JUL17	S	1	PD20OCT17		5983.00	6486.75	USD	-12,593.75	
21JUL17	B	1	PD20OCT17		6032.50	6486.75	USD	11,356.25	
21JUL17	S	1	PD20OCT17		5997.00	6486.75	USD	-12,243.75	
21JUL17	S	1	PD20OCT17		5999.00	6486.75	USD	-12,193.75	
	NET	**0**	**AVG BGHT 5994.56**	**SOLD 5985.81**				**-1,750.00**	**0.00**
24JUL17	B	1	PD24OCT17		5991.00	6489.75	USD	12,468.75	
24JUL17	S	1	PD24OCT17		6005.00	6489.75	USD	-12,118.75	
24JUL17	B	1	PD24OCT17		6000.00	6489.75	USD	12,243.75	
24JUL17	S	1	PD24OCT17		6029.00	6489.75	USD	-11,518.75	
24JUL17	B	1	PD24OCT17		6026.00	6489.75	USD	11,593.75	
24JUL17	B	1	PD24OCT17		6017.00	6489.75	USD	11,818.75	
07AUG17	S	2	PD24OCT17		6408.01	6489.75	USD	-4,087.00	
	NET	**0**	**AVG BGHT 6008.50**	**SOLD 6212.51**				**20,400.50**	**0.00**
25JUL17	B	1	PD25OCT17		6071.00	6490.29	USD	10,482.25	
25JUL17	S	1	PD25OCT17		6063.50	6490.29	USD	-10,669.75	
25JUL17	S	1	PD25OCT17		6067.00	6490.29	USD	-10,582.25	
25JUL17	S	1	PD25OCT17		6069.50	6490.29	USD	-10,519.75	
25JUL17	B	1	PD25OCT17		6091.00	6490.29	USD	9,982.25	
25JUL17	B	1	PD25OCT17		6102.00	6490.29	USD	9,707.25	
25JUL17	S	1	PD25OCT17		6094.50	6490.29	USD	-9,894.75	
25JUL17	S	1	PD25OCT17		6098.00	6490.29	USD	-9,807.25	
25JUL17	S	1	PD25OCT17		6126.00	6490.29	USD	-9,107.25	
25JUL17	B	1	PD25OCT17		6160.50	6490.29	USD	8,244.75	
25JUL17	S	1	PD25OCT17		6165.00	6490.29	USD	-8,132.25	
25JUL17	S	1	PD25OCT17		6188.50	6490.29	USD	-7,544.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086F

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT								
25JUL17	B	1	PD25OCT17		6180.50	6490.29	USD	7,744.75	
25JUL17	B	1	PD25OCT17		6184.00	6490.29	USD	7,657.25	
25JUL17	S	1	PD25OCT17		6184.50	6490.29	USD	-7,644.75	
25JUL17	S	1	PD25OCT17		6210.00	6490.29	USD	-7,007.25	
25JUL17	B	1	PD25OCT17		6211.50	6490.29	USD	6,969.75	
25JUL17	B	1	PD25OCT17		6215.00	6490.29	USD	6,882.25	
25JUL17	S	1	PD25OCT17		6225.00	6490.29	USD	-6,632.25	
25JUL17	B	1	PD25OCT17		6194.00	6490.29	USD	7,407.25	
25JUL17	B	2	PD25OCT17		6220.50	6490.29	USD	13,489.50	
	NET	**0**	**AVG BGHT 6168.23**	**SOLD 6135.59**				**-8,975.00**	**0.00**
26JUL17	S	1	PD26OCT17		6246.00	6490.82	USD	-6,120.50	
26JUL17	S	1	PD26OCT17		6264.00	6490.82	USD	-5,670.50	
26JUL17	B	1	PD26OCT17		6296.50	6490.82	USD	4,858.00	
26JUL17	B	1	PD26OCT17		6310.50	6490.82	USD	4,508.00	
26JUL17	B	1	PD26OCT17		6321.00	6490.82	USD	4,245.50	
26JUL17	B	1	PD26OCT17		6330.50	6490.82	USD	4,008.00	
26JUL17	S	1	PD26OCT17		6306.00	6490.82	USD	-4,620.50	
26JUL17	B	1	PD26OCT17		6283.50	6490.82	USD	5,183.00	
26JUL17	S	1	PD26OCT17		6278.00	6490.82	USD	-5,320.50	
26JUL17	B	1	PD26OCT17		6278.00	6490.82	USD	5,320.50	
26JUL17	S	1	PD26OCT17		6273.50	6490.82	USD	-5,433.00	
26JUL17	S	2	PD26OCT17		6326.00	6490.82	USD	-8,241.00	
26JUL17	S	1	PD26OCT17		6332.00	6490.82	USD	-3,970.50	
26JUL17	B	1	PD26OCT17		6335.00	6490.82	USD	3,895.50	
26JUL17	B	1	PD26OCT17		6325.00	6490.82	USD	4,145.50	
	NET	**0**	**AVG BGHT 6310.00**	**SOLD 6293.94**				**-3,212.50**	**0.00**
27JUL17	B	1	PD27OCT17		6316.00	6491.36	USD	4,384.00	
27JUL17	B	1	PD27OCT17		6320.50	6491.36	USD	4,271.50	
27JUL17	S	1	PD27OCT17		6309.50	6491.36	USD	-4,546.50	
27JUL17	S	1	PD27OCT17		6316.00	6491.36	USD	-4,384.00	
27JUL17	S	1	PD27OCT17		6325.00	6491.36	USD	-4,159.00	
27JUL17	B	1	PD27OCT17		6360.00	6491.36	USD	3,284.00	
27JUL17	S	1	PD27OCT17		6353.50	6491.36	USD	-3,446.50	
27JUL17	B	1	PD27OCT17		6339.00	6491.36	USD	3,809.00	
28JUL17	B	1	PD27OCT17		6325.00	6491.36	USD	4,159.00	
28JUL17	S	1	PD27OCT17		6330.00	6491.36	USD	-4,034.00	
28JUL17	B	1	PD27OCT17		6312.00	6491.36	USD	4,484.00	
28JUL17	B	1	PD27OCT17		6313.00	6491.36	USD	4,459.00	
28JUL17	S	1	PD27OCT17		6303.00	6491.36	USD	-4,709.00	
28JUL17	S	1	PD27OCT17		6318.50	6491.36	USD	-4,321.50	
	NET	**0**	**AVG BGHT 6326.50**	**SOLD 6322.21**				**-750.00**	**0.00**
31JUL17	S	1	PD31OCT17		6345.00	6493.50	USD	-3,712.50	
31JUL17	S	1	PD31OCT17		6388.00	6493.50	USD	-2,637.50	
31JUL17	B	1	PD31OCT17		6360.00	6493.50	USD	3,337.50	
31JUL17	B	1	PD31OCT17		6385.00	6493.50	USD	2,712.50	
31JUL17	B	1	PD31OCT17		6402.00	6493.50	USD	2,287.50	
31JUL17	S	1	PD31OCT17		6346.00	6493.50	USD	-3,687.50	
31JUL17	S	1	PD31OCT17		6364.50	6493.50	USD	-3,225.00	
31JUL17	B	1	PD31OCT17		6384.00	6493.50	USD	2,737.50	
	NET	**0**	**AVG BGHT 6382.75**	**SOLD 6360.88**				**-2,187.50**	**0.00**
01AUG17	S	1	PD01NOV17		6373.00	6494.09	USD	-3,027.25	
01AUG17	B	1	PD01NOV17		6362.00	6494.09	USD	3,302.25	
	NET	**0**	**AVG BGHT 6362.00**	**SOLD 6373.00**				**275.00**	**0.00**
02AUG17	S	1	PD02NOV17		6349.00	6494.69	USD	-3,642.25	
02AUG17	S	1	PD02NOV17		6337.50	6494.69	USD	-3,929.75	
02AUG17	B	1	PD02NOV17		6327.00	6494.69	USD	4,192.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086F

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
02AUG17	B 1 PD02NOV17	6331.00	6494.69	USD	4,092.25	
	NET 0 AVG BGHT 6329.00 SOLD 6343.25				**712.50**	**0.00**
03AUG17	S 1 PD03NOV17	6369.00	6495.28	USD	-3,157.00	
03AUG17	B 1 PD03NOV17	6313.00	6495.28	USD	4,557.00	
03AUG17	S 1 PD03NOV17	6334.00	6495.28	USD	-4,032.00	
03AUG17	B 1 PD03NOV17	6327.00	6495.28	USD	4,207.00	
03AUG17	S 1 PD03NOV17	6349.00	6495.28	USD	-3,657.00	
03AUG17	S 1 PD03NOV17	6355.00	6495.28	USD	-3,507.00	
04AUG17	B 1 PD03NOV17	6350.00	6495.28	USD	3,632.00	
04AUG17	B 1 PD03NOV17	6368.00	6495.28	USD	3,182.00	
04AUG17	B 1 PD03NOV17	6374.50	6495.28	USD	3,019.50	
04AUG17	S 1 PD03NOV17	6372.50	6495.28	USD	-3,069.50	
04AUG17	B 1 PD03NOV17	6364.00	6495.28	USD	3,282.00	
04AUG17	S 1 PD03NOV17	6365.00	6495.28	USD	-3,257.00	
04AUG17	S 1 PD03NOV17	6368.50	6495.28	USD	-3,169.50	
04AUG17	S 1 PD03NOV17	6356.00	6495.28	USD	-3,482.00	
04AUG17	S 1 PD03NOV17	6354.00	6495.28	USD	-3,532.00	
04AUG17	B 1 PD03NOV17	6330.00	6495.28	USD	4,132.00	
04AUG17	B 1 PD03NOV17	6339.00	6495.28	USD	3,907.00	
04AUG17	B 1 PD03NOV17	6344.00	6495.28	USD	3,782.00	
04AUG17	S 1 PD03NOV17	6339.00	6495.28	USD	-3,907.00	
04AUG17	S 1 PD03NOV17	6341.00	6495.28	USD	-3,857.00	
04AUG17	S 1 PD03NOV17	6362.00	6495.28	USD	-3,332.00	
14AUG17	B 3 PD03NOV17	6393.09	6495.28	USD	7,664.25	
	NET 0 AVG BGHT 6357.40 SOLD 6355.42				**-594.25**	**0.00**
07AUG17	B 1 PD07NOV17	6340.00	6497.66	USD	3,941.50	
07AUG17	B 1 PD07NOV17	6349.00	6497.66	USD	3,716.50	
07AUG17	S 1 PD07NOV17	6371.00	6497.66	USD	-3,166.50	
07AUG17	B 1 PD07NOV17	6364.00	6497.66	USD	3,341.50	
07AUG17	B 1 PD07NOV17	6370.00	6497.66	USD	3,191.50	
07AUG17	S 1 PD07NOV17	6405.00	6497.66	USD	-2,316.50	
07AUG17	S 1 PD07NOV17	6412.00	6497.66	USD	-2,141.50	
07AUG17	B 1 PD07NOV17	6411.00	6497.66	USD	2,166.50	
14AUG17	S 2 PD07NOV17	6394.31	6497.66	USD	-5,167.50	
	NET 0 AVG BGHT 6366.80 SOLD 6395.32				**3,565.50**	**0.00**
08AUG17	B 1 PD08NOV17	6416.50	6498.25	USD	2,043.75	
08AUG17	S 1 PD08NOV17	6393.00	6498.25	USD	-2,631.25	
08AUG17	B 1 PD08NOV17	6385.00	6498.25	USD	2,831.25	
14AUG17	S 1 PD08NOV17	6394.67	6498.25	USD	-2,589.50	
	NET 0 AVG BGHT 6400.75 SOLD 6393.84				**-345.75**	**0.00**
09AUG17	B 1 PD09NOV17	6501.00	6498.71	USD	-57.25	
09AUG17	S 1 PD09NOV17	6506.50	6498.71	USD	194.75	
09AUG17	S 1 PD09NOV17	6508.00	6498.71	USD	232.25	
09AUG17	S 1 PD09NOV17	6513.00	6498.71	USD	357.25	
09AUG17	B 1 PD09NOV17	6435.00	6498.71	USD	1,592.75	
23AUG17	B 1 PD09NOV17	6563.50	6498.71	USD	-1,619.75	
	NET 0 AVG BGHT 6499.83 SOLD 6509.17				**700.00**	**0.00**
10AUG17	B 1 PD10NOV17	6405.00	6499.18	USD	2,354.50	
11AUG17	S 1 PD10NOV17	6364.00	6499.18	USD	-3,379.50	
11AUG17	B 1 PD10NOV17	6355.00	6499.18	USD	3,604.50	
11AUG17	B 1 PD10NOV17	6372.50	6499.18	USD	3,167.00	
11AUG17	S 1 PD10NOV17	6376.00	6499.18	USD	-3,079.50	
11AUG17	S 1 PD10NOV17	6384.50	6499.18	USD	-2,867.00	
11AUG17	S 1 PD10NOV17	6392.00	6499.18	USD	-2,679.50	
11AUG17	S 1 PD10NOV17	6413.50	6499.18	USD	-2,142.00	
11AUG17	B 1 PD10NOV17	6407.00	6499.18	USD	2,304.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086F

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
11AUG17	B 1 PD10NOV17	6399.50	6499.18	USD	2,492.00	
11AUG17	S 1 PD10NOV17	6410.00	6499.18	USD	-2,229.50	
11AUG17	S 1 PD10NOV17	6419.00	6499.18	USD	-2,004.50	
23AUG17	B 2 PD10NOV17	6564.00	6499.18	USD	-3,241.00	
	NET 0 AVG BGHT 6438.14 SOLD 6394.14				**-7,700.00**	**0.00**
14AUG17	B 1 PD14NOV17	6392.00	6501.04	USD	2,726.00	
23AUG17	S 1 PD14NOV17	6565.30	6501.04	USD	1,606.50	
	NET 0 AVG BGHT 6392.00 SOLD 6565.30				**4,332.50**	**0.00**
15AUG17	S 1 PD15NOV17	6419.50	6501.50	USD	-2,050.00	
15AUG17	S 1 PD15NOV17	6419.00	6501.50	USD	-2,062.50	
15AUG17	B 1 PD15NOV17	6415.00	6501.50	USD	2,162.50	
15AUG17	B 1 PD15NOV17	6370.00	6501.50	USD	3,287.50	
15AUG17	B 1 PD15NOV17	6367.50	6501.50	USD	3,350.00	
23AUG17	S 1 PD15NOV17	6565.30	6501.50	USD	1,595.00	
	NET 0 AVG BGHT 6384.17 SOLD 6467.93				**6,282.50**	**0.00**
16AUG17	B 1 PD16NOV17	6357.00	6501.00	USD	3,600.00	
16AUG17	S 1 PD16NOV17	6390.00	6501.00	USD	-2,775.00	
16AUG17	B 1 PD16NOV17	6381.50	6501.00	USD	2,987.50	
16AUG17	S 1 PD16NOV17	6383.50	6501.00	USD	-2,937.50	
16AUG17	B 1 PD16NOV17	6441.00	6501.00	USD	1,500.00	
16AUG17	S 1 PD16NOV17	6443.00	6501.00	USD	-1,450.00	
16AUG17	B 1 PD16NOV17	6480.50	6501.00	USD	512.50	
16AUG17	B 1 PD16NOV17	6490.50	6501.00	USD	262.50	
16AUG17	S 1 PD16NOV17	6489.50	6501.00	USD	-287.50	
16AUG17	B 1 PD16NOV17	6502.50	6501.00	USD	-37.50	
16AUG17	S 1 PD16NOV17	6508.50	6501.00	USD	187.50	
16AUG17	B 1 PD16NOV17	6535.50	6501.00	USD	-862.50	
16AUG17	S 1 PD16NOV17	6543.00	6501.00	USD	1,050.00	
16AUG17	B 1 PD16NOV17	6562.00	6501.00	USD	-1,525.00	
16AUG17	S 1 PD16NOV17	6559.00	6501.00	USD	1,450.00	
23AUG17	S 1 PD16NOV17	6563.30	6501.00	USD	1,557.50	
	NET 0 AVG BGHT 6468.81 SOLD 6484.98				**3,232.50**	**0.00**
17AUG17	B 1 PD17NOV17	6484.00	6501.60	USD	440.00	
17AUG17	S 1 PD17NOV17	6491.00	6501.60	USD	-265.00	
	NET 0 AVG BGHT 6484.00 SOLD 6491.00				**175.00**	**0.00**
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
19JUL17	B 1 PD19OCT17	2246.00	2588.00	USD	8,550.00	
19JUL17	S 1 PD19OCT17	2229.00	2588.00	USD	-8,975.00	
	NET 0 AVG BGHT 2246.00 SOLD 2229.00				**-425.00**	**0.00**
16AUG17	B 1 PD16NOV17	2500.00	2588.13	USD	2,203.25	
16AUG17	S 1 PD16NOV17	2515.00	2588.13	USD	-1,828.25	
	NET 0 AVG BGHT 2500.00 SOLD 2515.00				**375.00**	**0.00**
17AUG17	B 1 PD17NOV17	2468.50	2588.26	USD	2,994.00	
17AUG17	S 1 PD17NOV17	2453.50	2588.26	USD	-3,369.00	
	NET 0 AVG BGHT 2468.50 SOLD 2453.50				**-375.00**	**0.00**
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
14JUL17	S 1 PD13OCT17	9480.00	10542.65	USD	-6,375.90	
14JUL17	B 1 PD13OCT17	9515.00	10542.65	USD	6,165.90	
	NET 0 AVG BGHT 9515.00 SOLD 9480.00				**-210.00**	**0.00**
19JUL17	B 2 PD19OCT17	9650.00	10549.96	USD	10,799.52	
19JUL17	S 2 PD19OCT17	9650.00	10549.96	USD	-10,799.52	
	NET 0 AVG BGHT 9650.00 SOLD 9650.00				**0.00**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086F

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
15AUG17	B 1 PD15NOV17	10450.00	10576.00	USD	756.00	
15AUG17	S 1 PD15NOV17	10455.00	10576.00	USD	-726.00	
15AUG17	S 1 PD15NOV17	10460.00	10576.00	USD	-696.00	
15AUG17	B 1 PD15NOV17	10420.00	10576.00	USD	936.00	
	NET 0 AVG BGHT 10435.00 SOLD 10457.50				**270.00**	**0.00**
16AUG17	S 1 PD16NOV17	10765.00	10576.89	USD	1,128.66	
16AUG17	B 1 PD16NOV17	10780.00	10576.89	USD	-1,218.66	
	NET 0 AVG BGHT 10780.00 SOLD 10765.00				**-90.00**	**0.00**
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
04JUL17	S 1 PD04OCT17	20050.00	20956.50	USD	-4,532.50	
04JUL17	B 1 PD04OCT17	20010.00	20956.50	USD	4,732.50	
04JUL17	B 1 PD04OCT17	20005.00	20956.50	USD	4,757.50	
04JUL17	S 1 PD04OCT17	20040.00	20956.50	USD	-4,582.50	
	NET 0 AVG BGHT 20007.50 SOLD 20045.00				**375.00**	**0.00**
05JUL17	S 1 PD05OCT17	19980.00	20954.00	USD	-4,870.00	
05JUL17	B 1 PD05OCT17	20000.00	20954.00	USD	4,770.00	
05JUL17	B 1 PD05OCT17	19985.00	20954.00	USD	4,845.00	
05JUL17	S 1 PD05OCT17	20010.00	20954.00	USD	-4,720.00	
05JUL17	S 1 PD05OCT17	19985.00	20954.00	USD	-4,845.00	
05JUL17	B 1 PD05OCT17	19945.00	20954.00	USD	5,045.00	
05JUL17	S 1 PD05OCT17	19945.00	20954.00	USD	-5,045.00	
05JUL17	B 1 PD05OCT17	19955.00	20954.00	USD	4,995.00	
05JUL17	B 1 PD05OCT17	19860.00	20954.00	USD	5,470.00	
05JUL17	B 1 PD05OCT17	19895.00	20954.00	USD	5,295.00	
05JUL17	S 1 PD05OCT17	19890.00	20954.00	USD	-5,320.00	
05JUL17	B 1 PD05OCT17	19820.00	20954.00	USD	5,670.00	
05JUL17	S 1 PD05OCT17	19850.00	20954.00	USD	-5,520.00	
05JUL17	S 1 PD05OCT17	19700.00	20954.00	USD	-6,270.00	
05JUL17	B 1 PD05OCT17	19525.00	20954.00	USD	7,145.00	
05JUL17	B 1 PD05OCT17	19565.00	20954.00	USD	6,945.00	
05JUL17	B 1 PD05OCT17	19575.00	20954.00	USD	6,895.00	
05JUL17	S 1 PD05OCT17	19520.00	20954.00	USD	-7,170.00	
05JUL17	S 1 PD05OCT17	19600.00	20954.00	USD	-6,770.00	
05JUL17	S 1 PD05OCT17	19640.00	20954.00	USD	-6,570.00	
05JUL17	S 1 PD05OCT17	19735.00	20954.00	USD	-6,095.00	
05JUL17	B 1 PD05OCT17	19765.00	20954.00	USD	5,945.00	
	NET 0 AVG BGHT 19808.18 SOLD 19805.00				**-175.00**	**0.00**
06JUL17	S 1 PD06OCT17	19725.00	20951.50	USD	-6,132.50	
06JUL17	S 1 PD06OCT17	19805.00	20951.50	USD	-5,732.50	
06JUL17	S 1 PD06OCT17	19820.00	20951.50	USD	-5,657.50	
06JUL17	B 1 PD06OCT17	19825.00	20951.50	USD	5,632.50	
06JUL17	B 1 PD06OCT17	19710.00	20951.50	USD	6,207.50	
06JUL17	B 1 PD06OCT17	19715.00	20951.50	USD	6,182.50	
06JUL17	B 1 PD06OCT17	19790.00	20951.50	USD	5,807.50	
06JUL17	S 1 PD06OCT17	19710.00	20951.50	USD	-6,207.50	
06JUL17	S 1 PD06OCT17	19930.00	20951.50	USD	-5,107.50	
06JUL17	B 1 PD06OCT17	19910.00	20951.50	USD	5,207.50	
06JUL17	B 1 PD06OCT17	19950.00	20951.50	USD	5,007.50	
06JUL17	S 1 PD06OCT17	19910.00	20951.50	USD	-5,207.50	
07JUL17	S 1 PD06OCT17	19965.00	20951.50	USD	-4,932.50	
07JUL17	B 1 PD06OCT17	19890.00	20951.50	USD	5,307.50	
	NET 0 AVG BGHT 19827.14 SOLD 19837.86				**375.00**	**0.00**
10JUL17	B 1 PD10OCT17	19680.00	20949.00	USD	6,345.00	
10JUL17	S 1 PD10OCT17	19600.00	20949.00	USD	-6,745.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086F

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
10JUL17	S	2	PD10OCT17	19750.00	20949.00	USD	-11,990.00	
10JUL17	B	1	PD10OCT17	19660.00	20949.00	USD	6,445.00	
10JUL17	B	1	PD10OCT17	19755.00	20949.00	USD	5,970.00	
10JUL17	S	1	PD10OCT17	19705.00	20949.00	USD	-6,220.00	
10JUL17	S	1	PD10OCT17	19735.00	20949.00	USD	-6,070.00	
10JUL17	B	1	PD10OCT17	19725.00	20949.00	USD	6,120.00	
10JUL17	S	1	PD10OCT17	19905.00	20949.00	USD	-5,220.00	
10JUL17	S	1	PD10OCT17	19980.00	20949.00	USD	-4,845.00	
10JUL17	B	1	PD10OCT17	19930.00	20949.00	USD	5,095.00	
10JUL17	B	2	PD10OCT17	19935.00	20949.00	USD	10,140.00	
	NET	**0**	**AVG BGHT 19802.86**	**SOLD 19775.00**			**-975.00**	**0.00**
12JUL17	B	1	PD12OCT17	19900.00	20944.00	USD	5,220.00	
12JUL17	S	1	PD12OCT17	19920.00	20944.00	USD	-5,120.00	
12JUL17	B	1	PD12OCT17	19840.00	20944.00	USD	5,520.00	
12JUL17	S	1	PD12OCT17	19890.00	20944.00	USD	-5,270.00	
12JUL17	B	1	PD12OCT17	19830.00	20944.00	USD	5,570.00	
12JUL17	S	1	PD12OCT17	19830.00	20944.00	USD	-5,570.00	
12JUL17	S	1	PD12OCT17	19935.00	20944.00	USD	-5,045.00	
12JUL17	B	1	PD12OCT17	19905.00	20944.00	USD	5,195.00	
	NET	**0**	**AVG BGHT 19868.75**	**SOLD 19893.75**			**500.00**	**0.00**
13JUL17	S	1	PD13OCT17	19980.00	20941.50	USD	-4,807.50	
13JUL17	S	1	PD13OCT17	19975.00	20941.50	USD	-4,832.50	
13JUL17	B	2	PD13OCT17	19955.00	20941.50	USD	9,865.00	
13JUL17	S	1	PD13OCT17	19970.00	20941.50	USD	-4,857.50	
13JUL17	B	1	PD13OCT17	19920.00	20941.50	USD	5,107.50	
13JUL17	B	1	PD13OCT17	19905.00	20941.50	USD	5,182.50	
13JUL17	S	1	PD13OCT17	19900.00	20941.50	USD	-5,207.50	
13JUL17	S	2	PD13OCT17	19925.00	20941.50	USD	-10,165.00	
13JUL17	B	1	PD13OCT17	19900.00	20941.50	USD	5,207.50	
13JUL17	B	1	PD13OCT17	19925.00	20941.50	USD	5,082.50	
13JUL17	S	1	PD13OCT17	19930.00	20941.50	USD	-5,057.50	
13JUL17	B	1	PD13OCT17	19890.00	20941.50	USD	5,257.50	
13JUL17	B	1	PD13OCT17	19875.00	20941.50	USD	5,332.50	
13JUL17	S	1	PD13OCT17	19865.00	20941.50	USD	-5,382.50	
13JUL17	S	1	PD13OCT17	19875.00	20941.50	USD	-5,332.50	
13JUL17	B	1	PD13OCT17	19865.00	20941.50	USD	5,382.50	
14JUL17	B	1	PD13OCT17	19845.00	20941.50	USD	5,482.50	
14JUL17	B	1	PD13OCT17	19855.00	20941.50	USD	5,432.50	
14JUL17	S	2	PD13OCT17	19850.00	20941.50	USD	-10,915.00	
	NET	**0**	**AVG BGHT 19899.09**	**SOLD 19913.18**			**775.00**	**0.00**
17JUL17	B	1	PD17OCT17	19830.00	20936.50	USD	5,532.50	
17JUL17	S	1	PD17OCT17	19895.00	20936.50	USD	-5,207.50	
17JUL17	B	1	PD17OCT17	20035.00	20936.50	USD	4,507.50	
17JUL17	B	1	PD17OCT17	20060.00	20936.50	USD	4,382.50	
17JUL17	S	1	PD17OCT17	20025.00	20936.50	USD	-4,557.50	
17JUL17	S	1	PD17OCT17	20065.00	20936.50	USD	-4,357.50	
17JUL17	S	1	PD17OCT17	20070.00	20936.50	USD	-4,332.50	
17JUL17	B	1	PD17OCT17	19975.00	20936.50	USD	4,807.50	
17JUL17	B	1	PD17OCT17	20005.00	20936.50	USD	4,657.50	
17JUL17	S	1	PD17OCT17	20015.00	20936.50	USD	-4,607.50	
	NET	**0**	**AVG BGHT 19981.00**	**SOLD 20014.00**			**825.00**	**0.00**
18JUL17	S	1	PD18OCT17	19950.00	20934.00	USD	-4,920.00	
18JUL17	B	1	PD18OCT17	19940.00	20934.00	USD	4,970.00	
18JUL17	S	1	PD18OCT17	19940.00	20934.00	USD	-4,970.00	
18JUL17	B	1	PD18OCT17	19970.00	20934.00	USD	4,820.00	
18JUL17	S	1	PD18OCT17	19970.00	20934.00	USD	-4,820.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086F

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
18JUL17	S 1 PD18OCT17	19995.00	20934.00	USD	-4,695.00	
18JUL17	B 2 PD18OCT17	20000.00	20934.00	USD	9,340.00	
18JUL17	B 1 PD18OCT17	20050.00	20934.00	USD	4,420.00	
18JUL17	S 1 PD18OCT17	20045.00	20934.00	USD	-4,445.00	
18JUL17	B 1 PD18OCT17	19945.00	20934.00	USD	4,945.00	
18JUL17	S 1 PD18OCT17	19990.00	20934.00	USD	-4,720.00	
	NET 0 AVG BGHT 19984.17 SOLD 19981.67				**-75.00**	**0.00**
19JUL17	S 1 PD19OCT17	20050.00	20929.00	USD	-4,395.00	
19JUL17	S 1 PD19OCT17	20080.00	20929.00	USD	-4,245.00	
19JUL17	B 1 PD19OCT17	20070.00	20929.00	USD	4,295.00	
19JUL17	B 1 PD19OCT17	20065.00	20929.00	USD	4,320.00	
19JUL17	S 1 PD19OCT17	20065.00	20929.00	USD	-4,320.00	
19JUL17	B 1 PD19OCT17	20090.00	20929.00	USD	4,195.00	
19JUL17	S 1 PD19OCT17	20100.00	20929.00	USD	-4,145.00	
19JUL17	S 1 PD19OCT17	20095.00	20929.00	USD	-4,170.00	
19JUL17	S 1 PD19OCT17	20125.00	20929.00	USD	-4,020.00	
19JUL17	B 1 PD19OCT17	20110.00	20929.00	USD	4,095.00	
19JUL17	B 1 PD19OCT17	20060.00	20929.00	USD	4,345.00	
19JUL17	S 1 PD19OCT17	20110.00	20929.00	USD	-4,095.00	
19JUL17	B 2 PD19OCT17	20100.00	20929.00	USD	8,290.00	
	NET 0 AVG BGHT 20085.00 SOLD 20089.29				**150.00**	**0.00**
20JUL17	S 1 PD20OCT17	20095.00	20924.00	USD	-4,145.00	
20JUL17	S 1 PD20OCT17	20125.00	20924.00	USD	-3,995.00	
20JUL17	B 1 PD20OCT17	20095.00	20924.00	USD	4,145.00	
20JUL17	B 1 PD20OCT17	20100.00	20924.00	USD	4,120.00	
21JUL17	S 1 PD20OCT17	20120.00	20924.00	USD	-4,020.00	
21JUL17	B 1 PD20OCT17	20175.00	20924.00	USD	3,745.00	
21JUL17	S 1 PD20OCT17	20190.00	20924.00	USD	-3,670.00	
21JUL17	B 1 PD20OCT17	20200.00	20924.00	USD	3,620.00	
	NET 0 AVG BGHT 20142.50 SOLD 20132.50				**-200.00**	**0.00**
24JUL17	B 1 PD24OCT17	20155.00	20914.00	USD	3,795.00	
24JUL17	S 1 PD24OCT17	20150.00	20914.00	USD	-3,820.00	
24JUL17	B 1 PD24OCT17	20135.00	20914.00	USD	3,895.00	
24JUL17	B 2 PD24OCT17	20160.00	20914.00	USD	7,540.00	
24JUL17	S 1 PD24OCT17	20190.00	20914.00	USD	-3,620.00	
24JUL17	S 1 PD24OCT17	20195.00	20914.00	USD	-3,595.00	
24JUL17	B 1 PD24OCT17	20245.00	20914.00	USD	3,345.00	
24JUL17	S 1 PD24OCT17	20245.00	20914.00	USD	-3,345.00	
24JUL17	B 1 PD24OCT17	20175.00	20914.00	USD	3,695.00	
24JUL17	S 1 PD24OCT17	20175.00	20914.00	USD	-3,695.00	
24JUL17	B 1 PD24OCT17	20230.00	20914.00	USD	3,420.00	
24JUL17	S 1 PD24OCT17	20225.00	20914.00	USD	-3,445.00	
24JUL17	S 1 PD24OCT17	20240.00	20914.00	USD	-3,370.00	
24JUL17	B 1 PD24OCT17	20255.00	20914.00	USD	3,295.00	
24JUL17	B 2 PD24OCT17	20075.00	20914.00	USD	8,390.00	
24JUL17	S 1 PD24OCT17	20160.00	20914.00	USD	-3,770.00	
24JUL17	S 2 PD24OCT17	20125.00	20914.00	USD	-7,890.00	
	NET 0 AVG BGHT 20166.50 SOLD 20183.00				**825.00**	**0.00**
25JUL17	B 1 PD25OCT17	20165.00	20909.00	USD	3,720.00	
25JUL17	S 1 PD25OCT17	20175.00	20909.00	USD	-3,670.00	
25JUL17	S 2 PD25OCT17	20225.00	20909.00	USD	-6,840.00	
25JUL17	B 1 PD25OCT17	20200.00	20909.00	USD	3,545.00	
25JUL17	B 1 PD25OCT17	20225.00	20909.00	USD	3,420.00	
25JUL17	B 1 PD25OCT17	20300.00	20909.00	USD	3,045.00	
25JUL17	S 1 PD25OCT17	20300.00	20909.00	USD	-3,045.00	
25JUL17	B 1 PD25OCT17	20320.00	20909.00	USD	2,945.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086F

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
25JUL17	S 1 PD25OCT17	20335.00	20909.00	USD	-2,870.00	
25JUL17	S 1 PD25OCT17	20340.00	20909.00	USD	-2,845.00	
25JUL17	B 1 PD25OCT17	20355.00	20909.00	USD	2,770.00	
	NET 0 AVG BGHT 20260.83 SOLD 20266.67				**175.00**	**0.00**
26JUL17	S 1 PD26OCT17	20370.00	20904.00	USD	-2,670.00	
26JUL17	B 2 PD26OCT17	20225.00	20904.00	USD	6,790.00	
26JUL17	S 1 PD26OCT17	20290.00	20904.00	USD	-3,070.00	
26JUL17	S 1 PD26OCT17	20300.00	20904.00	USD	-3,020.00	
26JUL17	S 1 PD26OCT17	20320.00	20904.00	USD	-2,920.00	
26JUL17	S 1 PD26OCT17	20345.00	20904.00	USD	-2,795.00	
26JUL17	B 1 PD26OCT17	20335.00	20904.00	USD	2,845.00	
26JUL17	B 2 PD26OCT17	20350.00	20904.00	USD	5,540.00	
	NET 0 AVG BGHT 20297.00 SOLD 20325.00				**700.00**	**0.00**
27JUL17	B 1 PD27OCT17	20410.00	20899.00	USD	2,445.00	
27JUL17	S 1 PD27OCT17	20490.00	20899.00	USD	-2,045.00	
27JUL17	B 1 PD27OCT17	20435.00	20899.00	USD	2,320.00	
27JUL17	B 2 PD27OCT17	20500.00	20899.00	USD	3,990.00	
27JUL17	S 1 PD27OCT17	20530.00	20899.00	USD	-1,845.00	
27JUL17	B 1 PD27OCT17	20550.00	20899.00	USD	1,745.00	
27JUL17	B 1 PD27OCT17	20600.00	20899.00	USD	1,495.00	
27JUL17	S 1 PD27OCT17	20585.00	20899.00	USD	-1,570.00	
27JUL17	S 1 PD27OCT17	20590.00	20899.00	USD	-1,545.00	
27JUL17	S 1 PD27OCT17	20405.00	20899.00	USD	-2,470.00	
27JUL17	S 1 PD27OCT17	20480.00	20899.00	USD	-2,095.00	
27JUL17	S 1 PD27OCT17	20740.00	20899.00	USD	-795.00	
27JUL17	B 2 PD27OCT17	20750.00	20899.00	USD	1,490.00	
27JUL17	S 1 PD27OCT17	20745.00	20899.00	USD	-770.00	
27JUL17	S 1 PD27OCT17	20750.00	20899.00	USD	-745.00	
27JUL17	B 1 PD27OCT17	20705.00	20899.00	USD	970.00	
28JUL17	B 1 PD27OCT17	20815.00	20899.00	USD	420.00	
28JUL17	S 1 PD27OCT17	20845.00	20899.00	USD	-270.00	
28JUL17	S 1 PD27OCT17	20855.00	20899.00	USD	-220.00	
28JUL17	B 1 PD27OCT17	20835.00	20899.00	USD	320.00	
28JUL17	B 1 PD27OCT17	20605.00	20899.00	USD	1,470.00	
28JUL17	S 1 PD27OCT17	20695.00	20899.00	USD	-1,020.00	
28JUL17	B 2 PD27OCT17	20585.00	20899.00	USD	3,140.00	
28JUL17	S 1 PD27OCT17	20575.00	20899.00	USD	-1,620.00	
28JUL17	S 1 PD27OCT17	20585.00	20899.00	USD	-1,570.00	
	NET 0 AVG BGHT 20616.07 SOLD 20633.57				**1,225.00**	**0.00**
31JUL17	B 1 PD31OCT17	20825.00	20889.00	USD	320.00	
31JUL17	S 1 PD31OCT17	20775.00	20889.00	USD	-570.00	
31JUL17	B 1 PD31OCT17	20800.00	20889.00	USD	445.00	
31JUL17	S 1 PD31OCT17	20825.00	20889.00	USD	-320.00	
31JUL17	B 1 PD31OCT17	20775.00	20889.00	USD	570.00	
31JUL17	S 1 PD31OCT17	20795.00	20889.00	USD	-470.00	
31JUL17	B 1 PD31OCT17	20785.00	20889.00	USD	520.00	
31JUL17	S 1 PD31OCT17	20820.00	20889.00	USD	-345.00	
31JUL17	B 1 PD31OCT17	20710.00	20889.00	USD	895.00	
31JUL17	S 1 PD31OCT17	20710.00	20889.00	USD	-895.00	
	NET 0 AVG BGHT 20779.00 SOLD 20785.00				**150.00**	**0.00**
01AUG17	S 1 PD01NOV17	20630.00	20884.00	USD	-1,270.00	
01AUG17	S 1 PD01NOV17	20550.00	20884.00	USD	-1,670.00	
01AUG17	B 1 PD01NOV17	20560.00	20884.00	USD	1,620.00	
01AUG17	S 1 PD01NOV17	20525.00	20884.00	USD	-1,795.00	
01AUG17	S 1 PD01NOV17	20495.00	20884.00	USD	-1,945.00	
01AUG17	B 2 PD01NOV17	20500.00	20884.00	USD	3,840.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086F

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
01AUG17	B 1 PD01NOV17	20580.00	20884.00	USD	1,520.00	
01AUG17	S 1 PD01NOV17	20450.00	20884.00	USD	-2,170.00	
01AUG17	B 1 PD01NOV17	20405.00	20884.00	USD	2,395.00	
01AUG17	S 1 PD01NOV17	20395.00	20884.00	USD	-2,445.00	
01AUG17	B 1 PD01NOV17	20350.00	20884.00	USD	2,670.00	
01AUG17	B 1 PD01NOV17	20365.00	20884.00	USD	2,595.00	
01AUG17	S 1 PD01NOV17	20400.00	20884.00	USD	-2,420.00	
	NET 0 AVG BGHT 20465.71 SOLD 20492.14				**925.00**	**0.00**
02AUG17	S 1 PD02NOV17	20540.00	20882.60	USD	-1,713.00	
02AUG17	B 1 PD02NOV17	20550.00	20882.60	USD	1,663.00	
02AUG17	S 1 PD02NOV17	20590.00	20882.60	USD	-1,463.00	
07AUG17	B 1 PD02NOV17	20606.43	20882.60	USD	1,380.85	
	NET 0 AVG BGHT 20578.22 SOLD 20565.00				**-132.15**	**0.00**
03AUG17	B 1 PD03NOV17	20590.00	20881.20	USD	1,456.00	
03AUG17	B 1 PD03NOV17	20555.00	20881.20	USD	1,631.00	
03AUG17	S 1 PD03NOV17	20580.00	20881.20	USD	-1,506.00	
03AUG17	S 1 PD03NOV17	20600.00	20881.20	USD	-1,406.00	
03AUG17	S 1 PD03NOV17	20615.00	20881.20	USD	-1,331.00	
03AUG17	B 2 PD03NOV17	20600.00	20881.20	USD	2,812.00	
03AUG17	S 1 PD03NOV17	20630.00	20881.20	USD	-1,256.00	
03AUG17	B 1 PD03NOV17	20610.00	20881.20	USD	1,356.00	
04AUG17	B 1 PD03NOV17	20660.00	20881.20	USD	1,106.00	
04AUG17	S 1 PD03NOV17	20660.00	20881.20	USD	-1,106.00	
04AUG17	S 1 PD03NOV17	20700.00	20881.20	USD	-906.00	
04AUG17	B 1 PD03NOV17	20800.00	20881.20	USD	406.00	
04AUG17	S 1 PD03NOV17	20740.00	20881.20	USD	-706.00	
04AUG17	B 2 PD03NOV17	20700.00	20881.20	USD	1,812.00	
04AUG17	S 1 PD03NOV17	20780.00	20881.20	USD	-506.00	
04AUG17	B 1 PD03NOV17	20600.00	20881.20	USD	1,406.00	
04AUG17	B 1 PD03NOV17	20550.00	20881.20	USD	1,656.00	
04AUG17	S 1 PD03NOV17	20570.00	20881.20	USD	-1,556.00	
04AUG17	B 1 PD03NOV17	20525.00	20881.20	USD	1,781.00	
04AUG17	S 2 PD03NOV17	20575.00	20881.20	USD	-3,062.00	
07AUG17	S 1 PD03NOV17	20603.29	20881.20	USD	-1,389.55	
	NET 0 AVG BGHT 20624.17 SOLD 20635.69				**691.45**	**0.00**
07AUG17	B 1 PD07NOV17	20600.00	20878.40	USD	1,392.00	
07AUG17	S 1 PD07NOV17	20640.00	20878.40	USD	-1,192.00	
07AUG17	B 1 PD07NOV17	20650.00	20878.40	USD	1,142.00	
07AUG17	B 1 PD07NOV17	20585.00	20878.40	USD	1,467.00	
07AUG17	S 1 PD07NOV17	20605.00	20878.40	USD	-1,367.00	
07AUG17	S 2 PD07NOV17	20615.00	20878.40	USD	-2,634.00	
07AUG17	B 1 PD07NOV17	20615.00	20878.40	USD	1,317.00	
07AUG17	B 1 PD07NOV17	20580.00	20878.40	USD	1,492.00	
07AUG17	S 1 PD07NOV17	20575.00	20878.40	USD	-1,517.00	
07AUG17	S 1 PD07NOV17	20665.00	20878.40	USD	-1,067.00	
07AUG17	B 1 PD07NOV17	20670.00	20878.40	USD	1,042.00	
	NET 0 AVG BGHT 20616.67 SOLD 20619.17				**75.00**	**0.00**
08AUG17	B 1 PD08NOV17	20405.00	20877.00	USD	2,360.00	
08AUG17	S 1 PD08NOV17	20440.00	20877.00	USD	-2,185.00	
08AUG17	B 1 PD08NOV17	20430.00	20877.00	USD	2,235.00	
08AUG17	S 1 PD08NOV17	20445.00	20877.00	USD	-2,160.00	
08AUG17	B 1 PD08NOV17	20480.00	20877.00	USD	1,985.00	
08AUG17	S 1 PD08NOV17	20525.00	20877.00	USD	-1,760.00	
08AUG17	B 1 PD08NOV17	20435.00	20877.00	USD	2,210.00	
08AUG17	B 1 PD08NOV17	20445.00	20877.00	USD	2,160.00	
08AUG17	S 2 PD08NOV17	20455.00	20877.00	USD	-4,220.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086F

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
08AUG17	B	2	PD08NOV17	20410.00	20877.00	USD	4,670.00	
08AUG17	B	1	PD08NOV17	20310.00	20877.00	USD	2,835.00	
08AUG17	S	2	PD08NOV17	20210.00	20877.00	USD	-6,670.00	
08AUG17	S	1	PD08NOV17	20220.00	20877.00	USD	-3,285.00	
	NET	**0**	**AVG BGHT 20415.63 SOLD 20370.00**				**-1,825.00**	**0.00**
09AUG17	B	1	PD09NOV17	20335.00	20875.60	USD	2,703.00	
09AUG17	S	1	PD09NOV17	20380.00	20875.60	USD	-2,478.00	
09AUG17	B	1	PD09NOV17	20450.00	20875.60	USD	2,128.00	
09AUG17	S	1	PD09NOV17	20495.00	20875.60	USD	-1,903.00	
09AUG17	S	1	PD09NOV17	20410.00	20875.60	USD	-2,328.00	
09AUG17	B	1	PD09NOV17	20415.00	20875.60	USD	2,303.00	
09AUG17	S	1	PD09NOV17	20390.00	20875.60	USD	-2,428.00	
09AUG17	B	1	PD09NOV17	20445.00	20875.60	USD	2,153.00	
09AUG17	B	1	PD09NOV17	20495.00	20875.60	USD	1,903.00	
09AUG17	S	1	PD09NOV17	20445.00	20875.60	USD	-2,153.00	
	NET	**0**	**AVG BGHT 20428.00 SOLD 20424.00**				**-100.00**	**0.00**
10AUG17	B	1	PD10NOV17	20400.00	20874.20	USD	2,371.00	
10AUG17	S	1	PD10NOV17	20385.00	20874.20	USD	-2,446.00	
10AUG17	B	1	PD10NOV17	20270.00	20874.20	USD	3,021.00	
10AUG17	S	1	PD10NOV17	20440.00	20874.20	USD	-2,171.00	
10AUG17	B	2	PD10NOV17	20450.00	20874.20	USD	4,242.00	
10AUG17	S	2	PD10NOV17	20275.00	20874.20	USD	-5,992.00	
10AUG17	B	1	PD10NOV17	20300.00	20874.20	USD	2,871.00	
10AUG17	S	1	PD10NOV17	20375.00	20874.20	USD	-2,496.00	
11AUG17	S	1	PD10NOV17	20270.00	20874.20	USD	-3,021.00	
11AUG17	S	1	PD10NOV17	20260.00	20874.20	USD	-3,071.00	
11AUG17	S	1	PD10NOV17	20280.00	20874.20	USD	-2,971.00	
11AUG17	S	1	PD10NOV17	20325.00	20874.20	USD	-2,746.00	
11AUG17	B	2	PD10NOV17	20285.00	20874.20	USD	5,892.00	
11AUG17	S	2	PD10NOV17	20305.00	20874.20	USD	-5,692.00	
11AUG17	B	1	PD10NOV17	20220.00	20874.20	USD	3,271.00	
11AUG17	B	1	PD10NOV17	20230.00	20874.20	USD	3,221.00	
11AUG17	B	1	PD10NOV17	20235.00	20874.20	USD	3,196.00	
11AUG17	B	1	PD10NOV17	20305.00	20874.20	USD	2,846.00	
11AUG17	B	1	PD10NOV17	20355.00	20874.20	USD	2,596.00	
11AUG17	S	1	PD10NOV17	20370.00	20874.20	USD	-2,521.00	
11AUG17	B	1	PD10NOV17	20360.00	20874.20	USD	2,571.00	
11AUG17	S	1	PD10NOV17	20360.00	20874.20	USD	-2,571.00	
	NET	**0**	**AVG BGHT 20318.85 SOLD 20325.00**				**400.00**	**0.00**
14AUG17	B	1	PD14NOV17	20305.00	20871.40	USD	2,832.00	
14AUG17	S	1	PD14NOV17	20340.00	20871.40	USD	-2,657.00	
	NET	**0**	**AVG BGHT 20305.00 SOLD 20340.00**				**175.00**	**0.00**
15AUG17	B	3	PD15NOV17	20325.00	20870.00	USD	8,175.00	
15AUG17	S	1	PD15NOV17	20345.00	20870.00	USD	-2,625.00	
15AUG17	S	2	PD15NOV17	20305.00	20870.00	USD	-5,650.00	
15AUG17	B	1	PD15NOV17	20275.00	20870.00	USD	2,975.00	
15AUG17	S	1	PD15NOV17	20250.00	20870.00	USD	-3,100.00	
15AUG17	S	2	PD15NOV17	20230.00	20870.00	USD	-6,400.00	
15AUG17	B	1	PD15NOV17	20265.00	20870.00	USD	3,025.00	
15AUG17	B	1	PD15NOV17	20280.00	20870.00	USD	2,950.00	
15AUG17	B	1	PD15NOV17	20300.00	20870.00	USD	2,850.00	
15AUG17	S	1	PD15NOV17	20260.00	20870.00	USD	-3,050.00	
15AUG17	B	3	PD15NOV17	19320.00	20870.00	USD	23,250.00	
15AUG17	B	1	PD15NOV17	20170.00	20870.00	USD	3,500.00	
15AUG17	S	1	PD15NOV17	20145.00	20870.00	USD	-3,625.00	
15AUG17	S	1	PD15NOV17	19895.00	20870.00	USD	-4,875.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086F

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
15AUG17	S 1 PD15NOV17	19970.00	20870.00	USD	-4,500.00	
15AUG17	S 1 PD15NOV17	20010.00	20870.00	USD	-4,300.00	
	NET 0 AVG BGHT 20020.45 SOLD 20176.82				**8,600.00**	**0.00**
16AUG17	B 1 PD16NOV17	19975.00	20868.75	USD	4,468.75	
16AUG17	S 1 PD16NOV17	20015.00	20868.75	USD	-4,268.75	
16AUG17	B 1 PD16NOV17	20020.00	20868.75	USD	4,243.75	
16AUG17	S 1 PD16NOV17	20050.00	20868.75	USD	-4,093.75	
	NET 0 AVG BGHT 19997.50 SOLD 20032.50				**350.00**	**0.00**
17AUG17	B 2 PD17NOV17	20215.00	20867.50	USD	6,525.00	
17AUG17	S 1 PD17NOV17	20230.00	20867.50	USD	-3,187.50	
17AUG17	S 1 PD17NOV17	20150.00	20867.50	USD	-3,587.50	
17AUG17	S 1 PD17NOV17	20185.00	20867.50	USD	-3,412.50	
17AUG17	S 1 PD17NOV17	20340.00	20867.50	USD	-2,637.50	
17AUG17	B 1 PD17NOV17	20265.00	20867.50	USD	3,012.50	
17AUG17	B 1 PD17NOV17	20255.00	20867.50	USD	3,062.50	
17AUG17	B 1 PD17NOV17	20150.00	20867.50	USD	3,587.50	
17AUG17	B 1 PD17NOV17	20100.00	20867.50	USD	3,837.50	
17AUG17	S 2 PD17NOV17	20110.00	20867.50	USD	-7,575.00	
18AUG17	S 1 PD17NOV17	20180.00	20867.50	USD	-3,437.50	
18AUG17	B 1 PD17NOV17	20115.00	20867.50	USD	3,762.50	
	NET 0 AVG BGHT 20187.86 SOLD 20186.43				**-50.00**	**0.00**
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
06JUL17	S 1 PD06OCT17	2799.00	3310.38	USD	-12,784.50	
06JUL17	B 1 PD06OCT17	2789.50	3310.38	USD	13,022.00	
	NET 0 AVG BGHT 2789.50 SOLD 2799.00				**237.50**	**0.00**
12JUL17	B 1 PD12OCT17	2860.50	3301.00	USD	11,012.50	
12JUL17	S 2 PD12OCT17	2866.50	3301.00	USD	-21,725.00	
12JUL17	B 1 PD12OCT17	2855.00	3301.00	USD	11,150.00	
	NET 0 AVG BGHT 2857.75 SOLD 2866.50				**437.50**	**0.00**
17JUL17	S 1 PD17OCT17	2838.00	3291.63	USD	-11,340.75	
17JUL17	B 1 PD17OCT17	2843.00	3291.63	USD	11,215.75	
	NET 0 AVG BGHT 2843.00 SOLD 2838.00				**-125.00**	**0.00**
09AUG17	S 2 PD09NOV17	2979.00	3272.00	USD	-14,650.00	
09AUG17	B 1 PD09NOV17	2970.00	3272.00	USD	7,550.00	
09AUG17	B 1 PD09NOV17	2965.50	3272.00	USD	7,662.50	
	NET 0 AVG BGHT 2967.75 SOLD 2979.00				**562.50**	**0.00**
16AUG17	B 1 PD16NOV17	3002.00	3267.50	USD	6,637.50	
16AUG17	S 1 PD16NOV17	2990.00	3267.50	USD	-6,937.50	
16AUG17	B 1 PD16NOV17	3086.00	3267.50	USD	4,537.50	
16AUG17	S 1 PD16NOV17	3077.00	3267.50	USD	-4,762.50	
16AUG17	S 1 PD16NOV17	3096.00	3267.50	USD	-4,287.50	
16AUG17	B 1 PD16NOV17	3102.00	3267.50	USD	4,137.50	
16AUG17	B 1 PD16NOV17	3121.00	3267.50	USD	3,662.50	
16AUG17	S 2 PD16NOV17	3128.00	3267.50	USD	-6,975.00	
16AUG17	B 1 PD16NOV17	3111.00	3267.50	USD	3,912.50	
16AUG17	B 1 PD16NOV17	3107.00	3267.50	USD	4,012.50	
16AUG17	S 1 PD16NOV17	3115.50	3267.50	USD	-3,800.00	
	NET 0 AVG BGHT 3088.17 SOLD 3089.08				**137.50**	**0.00**
17AUG17	B 1 PD17NOV17	3090.50	3265.50	USD	4,375.00	
17AUG17	S 1 PD17NOV17	3093.00	3265.50	USD	-4,312.50	
	NET 0 AVG BGHT 3090.50 SOLD 3093.00				**62.50**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086F

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	225.00
LME Copper Grade A 25	FUTURES	-3,696.75
LME Lead 25 tonnes. This is an	FUTURES	-425.00
LME Nickel 6 tonnes. This is	FUTURES	-30.00
LME Tin 5 tonnes. This is an	FUTURES	13,759.30
LME Zinc 25 tonnes. This is	FUTURES	1,312.50

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards			Amount	Profit / Loss	**Total Amount**	**Total P&L**
ALUL	- Futures	USD	225.00	225.00		
COPL	- Futures	USD	-3,696.75	-3,696.75		
LEAD	- Futures	USD	-425.00	-425.00		
NKL	- Futures	USD	-30.00	-30.00		
TIN	- Futures	USD	13,759.30	13,759.30		
ZINC	- Futures	USD	1,312.50	1,312.50	**11,145.05**	**11,145.05**

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		23,245.05	23,245.05
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**23,245.05**	**23,245.05**
Initial Margins		0.00	0.00
Excess/(Shortage)		**23,245.05**	**23,245.05**
FOR INFORMATION ONLY			
Forward Unrealised P&L		11,145.05	11,145.05
Net Liquidating Value		**34,390.10**	**34,390.10**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086G

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
04JUL17	S 1 PD04OCT17	5885.00	6467.48	USD	-14,562.00	
04JUL17	S 1 PD04OCT17	5879.50	6467.48	USD	-14,699.50	
04JUL17	B 1 PD04OCT17	5874.00	6467.48	USD	14,837.00	
04JUL17	B 1 PD04OCT17	5882.00	6467.48	USD	14,637.00	
04JUL17	B 1 PD04OCT17	5887.00	6467.48	USD	14,512.00	
04JUL17	S 1 PD04OCT17	5892.50	6467.48	USD	-14,374.50	
	NET 0 AVG BGHT 5881.00 SOLD 5885.67				**350.00**	**0.00**
05JUL17	S 1 PD05OCT17	5857.00	6468.75	USD	-15,293.75	
05JUL17	S 1 PD05OCT17	5860.00	6468.75	USD	-15,218.75	
05JUL17	S 1 PD05OCT17	5868.00	6468.75	USD	-15,018.75	
05JUL17	B 1 PD05OCT17	5832.00	6468.75	USD	15,918.75	
05JUL17	B 1 PD05OCT17	5845.00	6468.75	USD	15,593.75	
05JUL17	B 1 PD05OCT17	5840.00	6468.75	USD	15,718.75	
	NET 0 AVG BGHT 5839.00 SOLD 5861.67				**1,700.00**	**0.00**
06JUL17	S 1 PD06OCT17	5837.50	6470.02	USD	-15,813.00	
06JUL17	S 1 PD06OCT17	5838.00	6470.02	USD	-15,800.50	
06JUL17	S 1 PD06OCT17	5839.00	6470.02	USD	-15,775.50	
06JUL17	B 1 PD06OCT17	5851.00	6470.02	USD	15,475.50	
06JUL17	B 1 PD06OCT17	5860.00	6470.02	USD	15,250.50	
06JUL17	B 1 PD06OCT17	5857.00	6470.02	USD	15,325.50	
07JUL17	S 1 PD06OCT17	5840.50	6470.02	USD	-15,738.00	
07JUL17	S 1 PD06OCT17	5848.00	6470.02	USD	-15,550.50	
07JUL17	S 1 PD06OCT17	5850.00	6470.02	USD	-15,500.50	
07JUL17	B 2 PD06OCT17	5847.00	6470.02	USD	31,151.00	
07JUL17	B 1 PD06OCT17	5840.00	6470.02	USD	15,750.50	
07JUL17	B 1 PD06OCT17	5812.00	6470.02	USD	16,450.50	
07JUL17	S 1 PD06OCT17	5818.00	6470.02	USD	-16,300.50	
07JUL17	S 1 PD06OCT17	5834.50	6470.02	USD	-15,888.00	
07JUL17	B 1 PD06OCT17	5831.50	6470.02	USD	15,963.00	
07JUL17	B 1 PD06OCT17	5835.00	6470.02	USD	15,875.50	
07JUL17	S 1 PD06OCT17	5841.50	6470.02	USD	-15,713.00	
07JUL17	B 1 PD06OCT17	5839.00	6470.02	USD	15,775.50	
07JUL17	B 1 PD06OCT17	5835.50	6470.02	USD	15,863.00	
07JUL17	S 1 PD06OCT17	5839.50	6470.02	USD	-15,763.00	
07JUL17	S 1 PD06OCT17	5838.00	6470.02	USD	-15,800.50	
	NET 0 AVG BGHT 5841.36 SOLD 5838.59				**-762.50**	**0.00**
10JUL17	S 2 PD10OCT17	5837.00	6475.10	USD	-31,905.00	
10JUL17	S 1 PD10OCT17	5839.00	6475.10	USD	-15,902.50	
10JUL17	B 1 PD10OCT17	5822.00	6475.10	USD	16,327.50	
10JUL17	S 1 PD10OCT17	5818.00	6475.10	USD	-16,427.50	
10JUL17	B 1 PD10OCT17	5793.00	6475.10	USD	17,052.50	
10JUL17	S 1 PD10OCT17	5797.00	6475.10	USD	-16,952.50	
24JUL17	B 3 PD10OCT17	6024.42	6475.10	USD	33,801.00	
	NET 0 AVG BGHT 5937.65 SOLD 5825.60				**-14,006.50**	**0.00**
11JUL17	B 1 PD11OCT17	5839.00	6476.37	USD	15,934.25	
11JUL17	B 1 PD11OCT17	5845.00	6476.37	USD	15,784.25	
11JUL17	B 1 PD11OCT17	5851.00	6476.37	USD	15,634.25	
11JUL17	B 1 PD11OCT17	5854.00	6476.37	USD	15,559.25	
11JUL17	S 1 PD11OCT17	5853.00	6476.37	USD	-15,584.25	
11JUL17	B 1 PD11OCT17	5830.50	6476.37	USD	16,146.75	
11JUL17	B 1 PD11OCT17	5819.00	6476.37	USD	16,434.25	
11JUL17	B 2 PD11OCT17	5825.50	6476.37	USD	32,543.50	
11JUL17	S 1 PD11OCT17	5824.50	6476.37	USD	-16,296.75	
11JUL17	B 1 PD11OCT17	5830.00	6476.37	USD	16,159.25	
11JUL17	B 1 PD11OCT17	5833.00	6476.37	USD	16,084.25	
11JUL17	S 2 PD11OCT17	5824.00	6476.37	USD	-32,618.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086G

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
11JUL17	S 1 PD11OCT17	5830.00	6476.37	USD	-16,159.25	
11JUL17	S 1 PD11OCT17	5835.00	6476.37	USD	-16,034.25	
11JUL17	S 2 PD11OCT17	5838.00	6476.37	USD	-31,918.50	
11JUL17	B 1 PD11OCT17	5840.00	6476.37	USD	15,909.25	
11JUL17	B 1 PD11OCT17	5847.00	6476.37	USD	15,734.25	
11JUL17	S 1 PD11OCT17	5847.00	6476.37	USD	-15,734.25	
11JUL17	B 1 PD11OCT17	5826.00	6476.37	USD	16,259.25	
11JUL17	S 1 PD11OCT17	5819.00	6476.37	USD	-16,434.25	
11JUL17	S 1 PD11OCT17	5849.00	6476.37	USD	-15,684.25	
11JUL17	S 1 PD11OCT17	5866.50	6476.37	USD	-15,246.75	
11JUL17	B 1 PD11OCT17	5866.50	6476.37	USD	15,246.75	
11JUL17	B 1 PD11OCT17	5870.50	6476.37	USD	15,146.75	
11JUL17	B 2 PD11OCT17	5870.00	6476.37	USD	30,318.50	
11JUL17	S 1 PD11OCT17	5874.00	6476.37	USD	-15,059.25	
11JUL17	S 2 PD11OCT17	5875.00	6476.37	USD	-30,068.50	
11JUL17	B 1 PD11OCT17	5875.00	6476.37	USD	15,034.25	
11JUL17	S 1 PD11OCT17	5880.00	6476.37	USD	-14,909.25	
11JUL17	S 1 PD11OCT17	5883.00	6476.37	USD	-14,834.25	
11JUL17	B 2 PD11OCT17	5888.00	6476.37	USD	29,418.50	
11JUL17	B 1 PD11OCT17	5889.00	6476.37	USD	14,684.25	
11JUL17	S 2 PD11OCT17	5888.00	6476.37	USD	-29,418.50	
11JUL17	B 1 PD11OCT17	5880.00	6476.37	USD	14,909.25	
24JUL17	S 3 PD11OCT17	6024.61	6476.37	USD	-33,882.00	
	NET 0 AVG BGHT 5852.84 SOLD 5876.58				**13,058.25**	**0.00**
12JUL17	S 1 PD12OCT17	5894.00	6477.63	USD	-14,590.75	
12JUL17	B 1 PD12OCT17	5916.00	6477.63	USD	14,040.75	
12JUL17	S 1 PD12OCT17	5906.00	6477.63	USD	-14,290.75	
12JUL17	B 3 PD12OCT17	5896.00	6477.63	USD	43,622.25	
12JUL17	S 1 PD12OCT17	5894.50	6477.63	USD	-14,578.25	
12JUL17	S 1 PD12OCT17	5901.00	6477.63	USD	-14,415.75	
12JUL17	S 1 PD12OCT17	5905.00	6477.63	USD	-14,315.75	
12JUL17	S 1 PD12OCT17	5917.00	6477.63	USD	-14,015.75	
12JUL17	B 1 PD12OCT17	5890.00	6477.63	USD	14,690.75	
12JUL17	B 1 PD12OCT17	5897.50	6477.63	USD	14,503.25	
12JUL17	B 2 PD12OCT17	5895.00	6477.63	USD	29,131.50	
12JUL17	S 1 PD12OCT17	5899.00	6477.63	USD	-14,465.75	
12JUL17	B 1 PD12OCT17	5900.00	6477.63	USD	14,440.75	
12JUL17	S 1 PD12OCT17	5919.00	6477.63	USD	-13,965.75	
12JUL17	B 1 PD12OCT17	5900.50	6477.63	USD	14,428.25	
12JUL17	B 1 PD12OCT17	5899.00	6477.63	USD	14,465.75	
12JUL17	S 3 PD12OCT17	5900.00	6477.63	USD	-43,322.25	
	NET 0 AVG BGHT 5898.27 SOLD 5903.23				**1,362.50**	**0.00**
13JUL17	S 1 PD13OCT17	5907.00	6478.90	USD	-14,297.50	
13JUL17	S 1 PD13OCT17	5919.00	6478.90	USD	-13,997.50	
13JUL17	S 1 PD13OCT17	5918.00	6478.90	USD	-14,022.50	
13JUL17	B 1 PD13OCT17	5892.00	6478.90	USD	14,672.50	
13JUL17	S 1 PD13OCT17	5892.00	6478.90	USD	-14,672.50	
13JUL17	B 1 PD13OCT17	5890.00	6478.90	USD	14,722.50	
13JUL17	S 1 PD13OCT17	5890.00	6478.90	USD	-14,722.50	
13JUL17	B 1 PD13OCT17	5880.00	6478.90	USD	14,972.50	
13JUL17	B 1 PD13OCT17	5885.00	6478.90	USD	14,847.50	
13JUL17	B 1 PD13OCT17	5878.00	6478.90	USD	15,022.50	
13JUL17	S 1 PD13OCT17	5880.00	6478.90	USD	-14,972.50	
13JUL17	B 1 PD13OCT17	5875.00	6478.90	USD	15,097.50	
13JUL17	S 2 PD13OCT17	5875.00	6478.90	USD	-30,195.00	
13JUL17	B 1 PD13OCT17	5860.00	6478.90	USD	15,472.50	
13JUL17	S 2 PD13OCT17	5868.00	6478.90	USD	-30,545.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086G

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
14JUL17	S 1 PD13OCT17	5870.00	6478.90	USD	-15,222.50	
14JUL17	B 1 PD13OCT17	5872.00	6478.90	USD	15,172.50	
14JUL17	B 1 PD13OCT17	5875.00	6478.90	USD	15,097.50	
14JUL17	B 2 PD13OCT17	5880.00	6478.90	USD	29,945.00	
14JUL17	B 1 PD13OCT17	5874.50	6478.90	USD	15,110.00	
14JUL17	B 1 PD13OCT17	5881.50	6478.90	USD	14,935.00	
14JUL17	B 1 PD13OCT17	5887.00	6478.90	USD	14,797.50	
14JUL17	B 1 PD13OCT17	5893.00	6478.90	USD	14,647.50	
14JUL17	B 1 PD13OCT17	5896.00	6478.90	USD	14,572.50	
14JUL17	S 1 PD13OCT17	5902.00	6478.90	USD	-14,422.50	
14JUL17	B 1 PD13OCT17	5890.00	6478.90	USD	14,722.50	
14JUL17	S 1 PD13OCT17	5890.50	6478.90	USD	-14,710.00	
14JUL17	S 1 PD13OCT17	5891.00	6478.90	USD	-14,697.50	
14JUL17	B 1 PD13OCT17	5901.00	6478.90	USD	14,447.50	
14JUL17	S 1 PD13OCT17	5888.00	6478.90	USD	-14,772.50	
14JUL17	S 1 PD13OCT17	5897.00	6478.90	USD	-14,547.50	
14JUL17	B 1 PD13OCT17	5898.00	6478.90	USD	14,522.50	
14JUL17	B 1 PD13OCT17	5905.00	6478.90	USD	14,347.50	
14JUL17	S 1 PD13OCT17	5900.00	6478.90	USD	-14,472.50	
14JUL17	S 1 PD13OCT17	5903.00	6478.90	USD	-14,397.50	
14JUL17	S 1 PD13OCT17	5916.00	6478.90	USD	-14,072.50	
14JUL17	B 1 PD13OCT17	5911.00	6478.90	USD	14,197.50	
14JUL17	S 2 PD13OCT17	5885.00	6478.90	USD	-29,695.00	
14JUL17	B 1 PD13OCT17	5923.00	6478.90	USD	13,897.50	
14JUL17	S 1 PD13OCT17	5928.00	6478.90	USD	-13,772.50	
	NET 0 AVG BGHT 5887.59 SOLD 5893.07				**3,012.50**	**0.00**
17JUL17	S 1 PD17OCT17	5949.00	6483.98	USD	-13,374.50	
17JUL17	B 1 PD17OCT17	5961.00	6483.98	USD	13,074.50	
17JUL17	B 1 PD17OCT17	5981.00	6483.98	USD	12,574.50	
17JUL17	S 1 PD17OCT17	5952.00	6483.98	USD	-13,299.50	
17JUL17	S 1 PD17OCT17	5955.00	6483.98	USD	-13,224.50	
17JUL17	S 1 PD17OCT17	5959.50	6483.98	USD	-13,112.00	
17JUL17	S 1 PD17OCT17	5979.50	6483.98	USD	-12,612.00	
17JUL17	B 1 PD17OCT17	5959.50	6483.98	USD	13,112.00	
17JUL17	B 1 PD17OCT17	5979.00	6483.98	USD	12,624.50	
17JUL17	S 1 PD17OCT17	5971.00	6483.98	USD	-12,824.50	
17JUL17	S 2 PD17OCT17	5979.00	6483.98	USD	-25,249.00	
17JUL17	S 1 PD17OCT17	5985.00	6483.98	USD	-12,474.50	
17JUL17	B 2 PD17OCT17	5980.00	6483.98	USD	25,199.00	
17JUL17	B 1 PD17OCT17	6000.00	6483.98	USD	12,099.50	
17JUL17	B 2 PD17OCT17	6001.00	6483.98	USD	24,149.00	
17JUL17	B 1 PD17OCT17	6022.00	6483.98	USD	11,549.50	
17JUL17	S 1 PD17OCT17	6017.00	6483.98	USD	-11,674.50	
	NET 0 AVG BGHT 5986.45 SOLD 5972.60				**-3,462.50**	**0.00**
18JUL17	B 1 PD18OCT17	5971.00	6485.25	USD	12,856.25	
18JUL17	S 1 PD18OCT17	5976.00	6485.25	USD	-12,731.25	
18JUL17	B 1 PD18OCT17	5982.00	6485.25	USD	12,581.25	
18JUL17	S 1 PD18OCT17	5980.00	6485.25	USD	-12,631.25	
18JUL17	S 2 PD18OCT17	5991.00	6485.25	USD	-24,712.50	
18JUL17	S 1 PD18OCT17	5993.00	6485.25	USD	-12,306.25	
18JUL17	B 4 PD18OCT17	5980.00	6485.25	USD	50,525.00	
18JUL17	S 1 PD18OCT17	5987.00	6485.25	USD	-12,456.25	
18JUL17	S 1 PD18OCT17	6010.00	6485.25	USD	-11,881.25	
18JUL17	S 2 PD18OCT17	6005.00	6485.25	USD	-24,012.50	
24JUL17	B 3 PD18OCT17	6027.50	6485.25	USD	34,331.25	
	NET 0 AVG BGHT 5995.06 SOLD 5993.11				**-437.50**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086G

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
19JUL17	B 1 PD19OCT17	5995.00	6486.00	USD	12,275.00	
19JUL17	B 1 PD19OCT17	5989.00	6486.00	USD	12,425.00	
19JUL17	B 1 PD19OCT17	5990.00	6486.00	USD	12,400.00	
19JUL17	B 1 PD19OCT17	6015.00	6486.00	USD	11,775.00	
19JUL17	S 1 PD19OCT17	6009.00	6486.00	USD	-11,925.00	
19JUL17	S 2 PD19OCT17	6014.00	6486.00	USD	-23,600.00	
19JUL17	S 1 PD19OCT17	6017.00	6486.00	USD	-11,725.00	
19JUL17	B 2 PD19OCT17	5997.00	6486.00	USD	24,450.00	
19JUL17	B 1 PD19OCT17	6000.00	6486.00	USD	12,150.00	
19JUL17	S 3 PD19OCT17	5994.00	6486.00	USD	-36,900.00	
19JUL17	B 2 PD19OCT17	5985.00	6486.00	USD	25,050.00	
19JUL17	B 1 PD19OCT17	5991.00	6486.00	USD	12,375.00	
24JUL17	S 3 PD19OCT17	6026.30	6486.00	USD	-34,477.50	
	NET 0 AVG BGHT 5994.40 SOLD 6011.49				**4,272.50**	**0.00**
20JUL17	S 1 PD20OCT17	5971.00	6486.75	USD	-12,893.75	
20JUL17	S 1 PD20OCT17	5984.00	6486.75	USD	-12,568.75	
20JUL17	B 1 PD20OCT17	5981.00	6486.75	USD	12,643.75	
20JUL17	B 1 PD20OCT17	5990.50	6486.75	USD	12,406.25	
20JUL17	S 1 PD20OCT17	5977.00	6486.75	USD	-12,743.75	
20JUL17	S 1 PD20OCT17	5983.00	6486.75	USD	-12,593.75	
20JUL17	S 1 PD20OCT17	5988.00	6486.75	USD	-12,468.75	
20JUL17	S 1 PD20OCT17	5985.00	6486.75	USD	-12,543.75	
20JUL17	B 4 PD20OCT17	5970.00	6486.75	USD	51,675.00	
20JUL17	S 2 PD20OCT17	5974.00	6486.75	USD	-25,637.50	
20JUL17	B 2 PD20OCT17	5984.00	6486.75	USD	25,137.50	
20JUL17	B 1 PD20OCT17	5980.00	6486.75	USD	12,668.75	
20JUL17	S 2 PD20OCT17	5975.00	6486.75	USD	-25,587.50	
20JUL17	S 1 PD20OCT17	5980.00	6486.75	USD	-12,668.75	
20JUL17	S 1 PD20OCT17	5981.00	6486.75	USD	-12,643.75	
20JUL17	B 2 PD20OCT17	5967.00	6486.75	USD	25,987.50	
20JUL17	B 1 PD20OCT17	5968.00	6486.75	USD	12,968.75	
21JUL17	S 1 PD20OCT17	5985.00	6486.75	USD	-12,543.75	
21JUL17	S 1 PD20OCT17	5981.00	6486.75	USD	-12,643.75	
21JUL17	S 2 PD20OCT17	5984.00	6486.75	USD	-25,137.50	
21JUL17	B 2 PD20OCT17	6000.00	6486.75	USD	24,337.50	
21JUL17	B 1 PD20OCT17	6005.00	6486.75	USD	12,043.75	
21JUL17	S 1 PD20OCT17	5999.00	6486.75	USD	-12,193.75	
21JUL17	S 1 PD20OCT17	6006.00	6486.75	USD	-12,018.75	
21JUL17	S 1 PD20OCT17	6008.00	6486.75	USD	-11,968.75	
21JUL17	B 1 PD20OCT17	5983.00	6486.75	USD	12,593.75	
21JUL17	B 1 PD20OCT17	6035.00	6486.75	USD	11,293.75	
21JUL17	S 1 PD20OCT17	6034.50	6486.75	USD	-11,306.25	
07AUG17	B 1 PD20OCT17	6406.50	6486.75	USD	2,006.25	
14AUG17	B 2 PD20OCT17	6387.75	6486.75	USD	4,950.00	
	NET 0 AVG BGHT 6045.33 SOLD 5986.43				**-29,450.00**	**0.00**
24JUL17	B 1 PD24OCT17	6005.00	6489.75	USD	12,118.75	
24JUL17	B 1 PD24OCT17	5991.00	6489.75	USD	12,468.75	
24JUL17	B 1 PD24OCT17	6000.00	6489.75	USD	12,243.75	
24JUL17	S 2 PD24OCT17	6025.00	6489.75	USD	-23,237.50	
24JUL17	B 3 PD24OCT17	6020.00	6489.75	USD	35,231.25	
24JUL17	B 1 PD24OCT17	6022.00	6489.75	USD	11,693.75	
24JUL17	S 1 PD24OCT17	6024.00	6489.75	USD	-11,643.75	
24JUL17	S 1 PD24OCT17	6026.00	6489.75	USD	-11,593.75	
07AUG17	S 1 PD24OCT17	6408.01	6489.75	USD	-2,043.50	
07AUG17	S 2 PD24OCT17	6408.21	6489.75	USD	-4,077.00	
	NET 0 AVG BGHT 6011.14 SOLD 6189.20				**31,160.75**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086G

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
25JUL17	B 2 PD25OCT17	6073.00	6490.29	USD	20,864.50	
25JUL17	B 2 PD25OCT17	6080.00	6490.29	USD	20,514.50	
25JUL17	S 1 PD25OCT17	6048.00	6490.29	USD	-11,057.25	
25JUL17	S 1 PD25OCT17	6063.50	6490.29	USD	-10,669.75	
25JUL17	S 1 PD25OCT17	6067.00	6490.29	USD	-10,582.25	
25JUL17	S 1 PD25OCT17	6075.00	6490.29	USD	-10,382.25	
25JUL17	S 1 PD25OCT17	6079.50	6490.29	USD	-10,269.75	
25JUL17	B 1 PD25OCT17	6085.00	6490.29	USD	10,132.25	
25JUL17	B 1 PD25OCT17	6086.50	6490.29	USD	10,094.75	
25JUL17	B 1 PD25OCT17	6088.00	6490.29	USD	10,057.25	
25JUL17	B 1 PD25OCT17	6089.00	6490.29	USD	10,032.25	
25JUL17	B 2 PD25OCT17	6100.00	6490.29	USD	19,514.50	
25JUL17	B 1 PD25OCT17	6102.00	6490.29	USD	9,707.25	
25JUL17	B 2 PD25OCT17	6103.00	6490.29	USD	19,364.50	
25JUL17	B 1 PD25OCT17	6125.00	6490.29	USD	9,132.25	
25JUL17	S 1 PD25OCT17	6080.00	6490.29	USD	-10,257.25	
25JUL17	S 1 PD25OCT17	6084.50	6490.29	USD	-10,144.75	
25JUL17	S 1 PD25OCT17	6088.00	6490.29	USD	-10,057.25	
25JUL17	S 1 PD25OCT17	6094.50	6490.29	USD	-9,894.75	
25JUL17	S 1 PD25OCT17	6095.00	6490.29	USD	-9,882.25	
25JUL17	S 2 PD25OCT17	6099.00	6490.29	USD	-19,564.50	
25JUL17	S 1 PD25OCT17	6105.50	6490.29	USD	-9,619.75	
25JUL17	S 1 PD25OCT17	6108.00	6490.29	USD	-9,557.25	
25JUL17	S 1 PD25OCT17	6118.00	6490.29	USD	-9,307.25	
25JUL17	S 1 PD25OCT17	6129.00	6490.29	USD	-9,032.25	
25JUL17	B 2 PD25OCT17	6121.00	6490.29	USD	18,464.50	
25JUL17	S 1 PD25OCT17	6124.00	6490.29	USD	-9,157.25	
25JUL17	S 1 PD25OCT17	6139.00	6490.29	USD	-8,782.25	
25JUL17	B 1 PD25OCT17	6155.00	6490.29	USD	8,382.25	
25JUL17	S 1 PD25OCT17	6155.00	6490.29	USD	-8,382.25	
25JUL17	B 1 PD25OCT17	6161.00	6490.29	USD	8,232.25	
25JUL17	B 1 PD25OCT17	6160.50	6490.29	USD	8,244.75	
25JUL17	S 1 PD25OCT17	6164.00	6490.29	USD	-8,157.25	
25JUL17	S 1 PD25OCT17	6184.00	6490.29	USD	-7,657.25	
25JUL17	S 1 PD25OCT17	6058.00	6490.29	USD	-10,807.25	
25JUL17	S 1 PD25OCT17	6123.00	6490.29	USD	-9,182.25	
25JUL17	B 3 PD25OCT17	6180.00	6490.29	USD	23,271.75	
25JUL17	S 1 PD25OCT17	6215.00	6490.29	USD	-6,882.25	
25JUL17	S 1 PD25OCT17	6228.00	6490.29	USD	-6,557.25	
25JUL17	B 1 PD25OCT17	6210.50	6490.29	USD	6,994.75	
25JUL17	B 1 PD25OCT17	6215.00	6490.29	USD	6,882.25	
25JUL17	B 1 PD25OCT17	6215.50	6490.29	USD	6,869.75	
25JUL17	S 1 PD25OCT17	6220.00	6490.29	USD	-6,757.25	
25JUL17	B 1 PD25OCT17	6202.00	6490.29	USD	7,207.25	
25JUL17	B 2 PD25OCT17	6221.00	6490.29	USD	13,464.50	
25JUL17	S 1 PD25OCT17	6209.00	6490.29	USD	-7,032.25	
25JUL17	S 1 PD25OCT17	6199.00	6490.29	USD	-7,282.25	
25JUL17	B 1 PD25OCT17	6209.50	6490.29	USD	7,019.75	
25JUL17	S 1 PD25OCT17	6227.00	6490.29	USD	-6,582.25	
25JUL17	B 1 PD25OCT17	6227.00	6490.29	USD	6,582.25	
25JUL17	S 2 PD25OCT17	6230.00	6490.29	USD	-13,014.50	
25JUL17	B 1 PD25OCT17	6241.00	6490.29	USD	6,232.25	
25JUL17	B 1 PD25OCT17	6266.00	6490.29	USD	5,607.25	
25JUL17	S 1 PD25OCT17	6264.00	6490.29	USD	-5,657.25	
	NET 0 AVG BGHT 6149.20 SOLD 6137.58				**-9,300.00**	**0.00**
26JUL17	B 1 PD26OCT17	6250.00	6490.82	USD	6,020.50	
26JUL17	S 1 PD26OCT17	6245.00	6490.82	USD	-6,145.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086G

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
26JUL17	S 1 PD26OCT17	6249.00	6490.82	USD	-6,045.50	
26JUL17	S 1 PD26OCT17	6255.50	6490.82	USD	-5,883.00	
26JUL17	B 1 PD26OCT17	6335.00	6490.82	USD	3,895.50	
26JUL17	S 1 PD26OCT17	6290.00	6490.82	USD	-5,020.50	
26JUL17	S 1 PD26OCT17	6345.00	6490.82	USD	-3,645.50	
26JUL17	B 1 PD26OCT17	6289.50	6490.82	USD	5,033.00	
26JUL17	B 1 PD26OCT17	6300.00	6490.82	USD	4,770.50	
26JUL17	B 1 PD26OCT17	6311.00	6490.82	USD	4,495.50	
26JUL17	B 1 PD26OCT17	6322.00	6490.82	USD	4,220.50	
26JUL17	B 1 PD26OCT17	6325.00	6490.82	USD	4,145.50	
26JUL17	B 1 PD26OCT17	6333.00	6490.82	USD	3,945.50	
26JUL17	S 1 PD26OCT17	6305.00	6490.82	USD	-4,645.50	
26JUL17	B 1 PD26OCT17	6282.00	6490.82	USD	5,220.50	
26JUL17	S 1 PD26OCT17	6278.00	6490.82	USD	-5,320.50	
26JUL17	B 1 PD26OCT17	6291.50	6490.82	USD	4,983.00	
26JUL17	B 1 PD26OCT17	6272.00	6490.82	USD	5,470.50	
26JUL17	S 1 PD26OCT17	6279.00	6490.82	USD	-5,295.50	
26JUL17	S 1 PD26OCT17	6288.00	6490.82	USD	-5,070.50	
26JUL17	S 1 PD26OCT17	6302.00	6490.82	USD	-4,720.50	
26JUL17	B 1 PD26OCT17	6329.50	6490.82	USD	4,033.00	
26JUL17	S 1 PD26OCT17	6334.00	6490.82	USD	-3,920.50	
26JUL17	S 2 PD26OCT17	6340.50	6490.82	USD	-7,516.00	
26JUL17	S 1 PD26OCT17	6340.00	6490.82	USD	-3,770.50	
26JUL17	B 1 PD26OCT17	6335.50	6490.82	USD	3,883.00	
26JUL17	S 1 PD26OCT17	6337.50	6490.82	USD	-3,833.00	
26JUL17	B 1 PD26OCT17	6311.50	6490.82	USD	4,483.00	
26JUL17	S 1 PD26OCT17	6310.50	6490.82	USD	-4,508.00	
26JUL17	S 1 PD26OCT17	6328.00	6490.82	USD	-4,070.50	
26JUL17	B 1 PD26OCT17	6330.00	6490.82	USD	4,020.50	
26JUL17	B 1 PD26OCT17	6345.00	6490.82	USD	3,645.50	
26JUL17	S 1 PD26OCT17	6338.50	6490.82	USD	-3,808.00	
07AUG17	B 2 PD26OCT17	6409.27	6490.82	USD	4,077.50	
	NET 0 AVG BGHT 6321.17 SOLD 6305.89				**-6,876.00**	**0.00**
27JUL17	S 1 PD27OCT17	6308.00	6491.36	USD	-4,584.00	
27JUL17	B 1 PD27OCT17	6321.00	6491.36	USD	4,259.00	
27JUL17	B 2 PD27OCT17	6324.00	6491.36	USD	8,368.00	
27JUL17	B 1 PD27OCT17	6325.00	6491.36	USD	4,159.00	
27JUL17	B 1 PD27OCT17	6341.00	6491.36	USD	3,759.00	
27JUL17	S 1 PD27OCT17	6341.50	6491.36	USD	-3,746.50	
27JUL17	B 1 PD27OCT17	6340.50	6491.36	USD	3,771.50	
27JUL17	S 2 PD27OCT17	6340.00	6491.36	USD	-7,568.00	
27JUL17	S 1 PD27OCT17	6349.00	6491.36	USD	-3,559.00	
27JUL17	B 1 PD27OCT17	6372.00	6491.36	USD	2,984.00	
27JUL17	S 1 PD27OCT17	6377.00	6491.36	USD	-2,859.00	
27JUL17	B 1 PD27OCT17	6356.00	6491.36	USD	3,384.00	
27JUL17	B 1 PD27OCT17	6345.00	6491.36	USD	3,659.00	
27JUL17	S 1 PD27OCT17	6354.50	6491.36	USD	-3,421.50	
27JUL17	B 3 PD27OCT17	6350.00	6491.36	USD	10,602.00	
27JUL17	B 1 PD27OCT17	6370.00	6491.36	USD	3,034.00	
27JUL17	S 1 PD27OCT17	6362.00	6491.36	USD	-3,234.00	
27JUL17	S 1 PD27OCT17	6368.00	6491.36	USD	-3,084.00	
27JUL17	B 2 PD27OCT17	6363.00	6491.36	USD	6,418.00	
27JUL17	S 3 PD27OCT17	6370.00	6491.36	USD	-9,102.00	
27JUL17	B 1 PD27OCT17	6354.50	6491.36	USD	3,421.50	
27JUL17	B 1 PD27OCT17	6351.00	6491.36	USD	3,509.00	
27JUL17	S 4 PD27OCT17	6350.00	6491.36	USD	-14,136.00	
27JUL17	B 2 PD27OCT17	6371.00	6491.36	USD	6,018.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086G

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
27JUL17	B 1 PD27OCT17	6337.00	6491.36	USD	3,859.00	
27JUL17	S 2 PD27OCT17	6339.00	6491.36	USD	-7,618.00	
27JUL17	S 1 PD27OCT17	6336.00	6491.36	USD	-3,884.00	
27JUL17	B 1 PD27OCT17	6330.00	6491.36	USD	4,034.00	
27JUL17	B 1 PD27OCT17	6331.00	6491.36	USD	4,009.00	
27JUL17	S 1 PD27OCT17	6334.00	6491.36	USD	-3,934.00	
28JUL17	B 2 PD27OCT17	6266.00	6491.36	USD	11,268.00	
28JUL17	B 2 PD27OCT17	6285.00	6491.36	USD	10,318.00	
28JUL17	S 1 PD27OCT17	6260.00	6491.36	USD	-5,784.00	
28JUL17	S 1 PD27OCT17	6269.50	6491.36	USD	-5,546.50	
28JUL17	S 2 PD27OCT17	6330.00	6491.36	USD	-8,068.00	
28JUL17	B 1 PD27OCT17	6310.50	6491.36	USD	4,521.50	
28JUL17	B 1 PD27OCT17	6312.00	6491.36	USD	4,484.00	
28JUL17	S 1 PD27OCT17	6299.00	6491.36	USD	-4,809.00	
28JUL17	S 1 PD27OCT17	6322.50	6491.36	USD	-4,221.50	
28JUL17	B 1 PD27OCT17	6306.00	6491.36	USD	4,634.00	
28JUL17	S 1 PD27OCT17	6309.00	6491.36	USD	-4,559.00	
28JUL17	S 1 PD27OCT17	6324.50	6491.36	USD	-4,171.50	
28JUL17	B 1 PD27OCT17	6330.00	6491.36	USD	4,034.00	
28JUL17	S 1 PD27OCT17	6335.00	6491.36	USD	-3,909.00	
28JUL17	B 1 PD27OCT17	6340.00	6491.36	USD	3,784.00	
28JUL17	B 1 PD27OCT17	6346.00	6491.36	USD	3,634.00	
28JUL17	S 1 PD27OCT17	6342.00	6491.36	USD	-3,734.00	
28JUL17	B 1 PD27OCT17	6319.00	6491.36	USD	4,309.00	
28JUL17	S 1 PD27OCT17	6324.00	6491.36	USD	-4,184.00	
14AUG17	S 2 PD27OCT17	6390.41	6491.36	USD	-5,047.50	
	NET 0 AVG BGHT 6333.50 SOLD 6340.13				**5,470.50**	**0.00**
31JUL17	B 1 PD31OCT17	6370.50	6493.50	USD	3,075.00	
31JUL17	S 1 PD31OCT17	6387.00	6493.50	USD	-2,662.50	
31JUL17	S 1 PD31OCT17	6394.00	6493.50	USD	-2,487.50	
31JUL17	B 1 PD31OCT17	6362.00	6493.50	USD	3,287.50	
31JUL17	B 2 PD31OCT17	6401.50	6493.50	USD	4,600.00	
31JUL17	B 1 PD31OCT17	6404.00	6493.50	USD	2,237.50	
31JUL17	S 1 PD31OCT17	6385.00	6493.50	USD	-2,712.50	
31JUL17	S 1 PD31OCT17	6429.00	6493.50	USD	-1,612.50	
31JUL17	B 1 PD31OCT17	6391.00	6493.50	USD	2,562.50	
31JUL17	S 2 PD31OCT17	6344.00	6493.50	USD	-7,475.00	
	NET 0 AVG BGHT 6388.42 SOLD 6380.50				**-1,187.50**	**0.00**
01AUG17	S 1 PD01NOV17	6356.00	6494.09	USD	-3,452.25	
01AUG17	B 1 PD01NOV17	6375.00	6494.09	USD	2,977.25	
01AUG17	B 2 PD01NOV17	6340.00	6494.09	USD	7,704.50	
01AUG17	S 2 PD01NOV17	6345.00	6494.09	USD	-7,454.50	
01AUG17	B 1 PD01NOV17	6354.00	6494.09	USD	3,502.25	
01AUG17	S 1 PD01NOV17	6359.50	6494.09	USD	-3,364.75	
01AUG17	S 1 PD01NOV17	6360.00	6494.09	USD	-3,352.25	
01AUG17	B 1 PD01NOV17	6362.00	6494.09	USD	3,302.25	
01AUG17	B 1 PD01NOV17	6331.00	6494.09	USD	4,077.25	
01AUG17	B 1 PD01NOV17	6316.00	6494.09	USD	4,452.25	
01AUG17	S 1 PD01NOV17	6324.00	6494.09	USD	-4,252.25	
01AUG17	S 1 PD01NOV17	6328.00	6494.09	USD	-4,152.25	
	NET 0 AVG BGHT 6345.43 SOLD 6345.36				**-12.50**	**0.00**
02AUG17	S 1 PD02NOV17	6349.00	6494.69	USD	-3,642.25	
02AUG17	S 1 PD02NOV17	6340.00	6494.69	USD	-3,867.25	
02AUG17	S 1 PD02NOV17	6329.50	6494.69	USD	-4,129.75	
02AUG17	B 1 PD02NOV17	6336.00	6494.69	USD	3,967.25	
02AUG17	B 2 PD02NOV17	6340.00	6494.69	USD	7,734.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086G

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
02AUG17	S 1 PD02NOV17	6337.00	6494.69	USD	-3,942.25	
02AUG17	B 1 PD02NOV17	6332.00	6494.69	USD	4,067.25	
	NET 0 AVG BGHT 6337.00 SOLD 6338.88				**187.50**	**0.00**
03AUG17	B 1 PD03NOV17	6341.00	6495.28	USD	3,857.00	
03AUG17	B 1 PD03NOV17	6342.00	6495.28	USD	3,832.00	
03AUG17	S 2 PD03NOV17	6370.00	6495.28	USD	-6,264.00	
03AUG17	B 1 PD03NOV17	6335.00	6495.28	USD	4,007.00	
03AUG17	S 1 PD03NOV17	6351.00	6495.28	USD	-3,607.00	
03AUG17	S 1 PD03NOV17	6328.00	6495.28	USD	-4,182.00	
03AUG17	S 1 PD03NOV17	6334.00	6495.28	USD	-4,032.00	
03AUG17	B 2 PD03NOV17	6328.00	6495.28	USD	8,364.00	
03AUG17	B 1 PD03NOV17	6332.00	6495.28	USD	4,082.00	
03AUG17	S 1 PD03NOV17	6329.00	6495.28	USD	-4,157.00	
03AUG17	S 1 PD03NOV17	6339.00	6495.28	USD	-3,907.00	
03AUG17	B 1 PD03NOV17	6338.00	6495.28	USD	3,932.00	
03AUG17	S 1 PD03NOV17	6337.00	6495.28	USD	-3,957.00	
03AUG17	S 1 PD03NOV17	6348.00	6495.28	USD	-3,682.00	
03AUG17	S 2 PD03NOV17	6354.00	6495.28	USD	-7,064.00	
03AUG17	S 1 PD03NOV17	6355.00	6495.28	USD	-3,507.00	
03AUG17	B 1 PD03NOV17	6351.00	6495.28	USD	3,607.00	
03AUG17	B 2 PD03NOV17	6340.00	6495.28	USD	7,764.00	
03AUG17	S 1 PD03NOV17	6345.00	6495.28	USD	-3,757.00	
04AUG17	B 1 PD03NOV17	6345.00	6495.28	USD	3,757.00	
04AUG17	B 1 PD03NOV17	6365.00	6495.28	USD	3,257.00	
04AUG17	B 1 PD03NOV17	6371.00	6495.28	USD	3,107.00	
04AUG17	B 1 PD03NOV17	6374.00	6495.28	USD	3,032.00	
04AUG17	B 1 PD03NOV17	6379.50	6495.28	USD	2,894.50	
04AUG17	B 1 PD03NOV17	6380.00	6495.28	USD	2,882.00	
04AUG17	S 1 PD03NOV17	6369.00	6495.28	USD	-3,157.00	
04AUG17	S 1 PD03NOV17	6375.00	6495.28	USD	-3,007.00	
04AUG17	S 1 PD03NOV17	6379.00	6495.28	USD	-2,907.00	
04AUG17	S 1 PD03NOV17	6380.00	6495.28	USD	-2,882.00	
04AUG17	S 2 PD03NOV17	6366.00	6495.28	USD	-6,464.00	
04AUG17	S 1 PD03NOV17	6363.00	6495.28	USD	-3,307.00	
04AUG17	B 1 PD03NOV17	6330.00	6495.28	USD	4,132.00	
04AUG17	B 1 PD03NOV17	6361.00	6495.28	USD	3,357.00	
04AUG17	B 1 PD03NOV17	6343.00	6495.28	USD	3,807.00	
04AUG17	S 1 PD03NOV17	6348.00	6495.28	USD	-3,682.00	
04AUG17	B 1 PD03NOV17	6342.00	6495.28	USD	3,832.00	
04AUG17	S 1 PD03NOV17	6332.00	6495.28	USD	-4,082.00	
04AUG17	S 1 PD03NOV17	6338.00	6495.28	USD	-3,932.00	
04AUG17	S 1 PD03NOV17	6340.00	6495.28	USD	-3,882.00	
04AUG17	S 1 PD03NOV17	6341.00	6495.28	USD	-3,857.00	
04AUG17	B 1 PD03NOV17	6333.00	6495.28	USD	4,057.00	
04AUG17	B 1 PD03NOV17	6347.00	6495.28	USD	3,707.00	
04AUG17	S 1 PD03NOV17	6352.00	6495.28	USD	-3,582.00	
04AUG17	S 1 PD03NOV17	6365.00	6495.28	USD	-3,257.00	
04AUG17	B 1 PD03NOV17	6381.00	6495.28	USD	2,857.00	
04AUG17	B 2 PD03NOV17	6360.00	6495.28	USD	6,764.00	
14AUG17	B 2 PD03NOV17	6393.09	6495.28	USD	5,109.50	
	NET 0 AVG BGHT 6353.06 SOLD 6352.89				**-117.00**	**0.00**
07AUG17	B 1 PD07NOV17	6340.50	6497.66	USD	3,929.00	
07AUG17	B 1 PD07NOV17	6365.50	6497.66	USD	3,304.00	
07AUG17	S 1 PD07NOV17	6370.00	6497.66	USD	-3,191.50	
07AUG17	B 1 PD07NOV17	6345.00	6497.66	USD	3,816.50	
07AUG17	B 1 PD07NOV17	6390.50	6497.66	USD	2,679.00	
07AUG17	B 1 PD07NOV17	6403.50	6497.66	USD	2,354.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086G

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
07AUG17	S 1 PD07NOV17	6339.00	6497.66	USD	-3,966.50	
07AUG17	S 1 PD07NOV17	6348.50	6497.66	USD	-3,729.00	
07AUG17	S 1 PD07NOV17	6408.00	6497.66	USD	-2,241.50	
07AUG17	S 1 PD07NOV17	6410.50	6497.66	USD	-2,179.00	
07AUG17	B 1 PD07NOV17	6342.50	6497.66	USD	3,879.00	
07AUG17	B 1 PD07NOV17	6362.00	6497.66	USD	3,391.50	
07AUG17	S 1 PD07NOV17	6349.00	6497.66	USD	-3,716.50	
07AUG17	S 2 PD07NOV17	6350.00	6497.66	USD	-7,383.00	
07AUG17	S 1 PD07NOV17	6358.00	6497.66	USD	-3,491.50	
07AUG17	B 2 PD07NOV17	6355.00	6497.66	USD	7,133.00	
07AUG17	B 1 PD07NOV17	6366.00	6497.66	USD	3,291.50	
07AUG17	B 1 PD07NOV17	6341.50	6497.66	USD	3,904.00	
07AUG17	B 1 PD07NOV17	6346.00	6497.66	USD	3,791.50	
07AUG17	S 1 PD07NOV17	6349.50	6497.66	USD	-3,704.00	
07AUG17	S 1 PD07NOV17	6429.00	6497.66	USD	-1,716.50	
07AUG17	S 1 PD07NOV17	6440.00	6497.66	USD	-1,441.50	
07AUG17	S 1 PD07NOV17	6410.00	6497.66	USD	-2,191.50	
07AUG17	B 1 PD07NOV17	6416.00	6497.66	USD	2,041.50	
07AUG17	B 2 PD07NOV17	6413.00	6497.66	USD	4,233.00	
14AUG17	S 2 PD07NOV17	6394.31	6497.66	USD	-5,167.50	
	NET 0 AVG BGHT 6370.33 SOLD 6380.01				**3,628.00**	**0.00**
09AUG17	B 1 PD09NOV17	6503.00	6498.71	USD	-107.25	
09AUG17	S 1 PD09NOV17	6507.00	6498.71	USD	207.25	
09AUG17	S 3 PD09NOV17	6510.00	6498.71	USD	846.75	
09AUG17	S 1 PD09NOV17	6485.00	6498.71	USD	-342.75	
09AUG17	B 1 PD09NOV17	6485.00	6498.71	USD	342.75	
23AUG17	B 3 PD09NOV17	6563.50	6498.71	USD	-4,859.25	
	NET 0 AVG BGHT 6535.70 SOLD 6504.40				**-3,912.50**	**0.00**
10AUG17	B 1 PD10NOV17	6453.00	6499.18	USD	1,154.50	
10AUG17	B 1 PD10NOV17	6463.00	6499.18	USD	904.50	
10AUG17	S 2 PD10NOV17	6458.00	6499.18	USD	-2,059.00	
10AUG17	B 1 PD10NOV17	6405.00	6499.18	USD	2,354.50	
10AUG17	B 2 PD10NOV17	6401.00	6499.18	USD	4,909.00	
10AUG17	B 1 PD10NOV17	6427.00	6499.18	USD	1,804.50	
10AUG17	S 1 PD10NOV17	6432.00	6499.18	USD	-1,679.50	
11AUG17	B 1 PD10NOV17	6345.00	6499.18	USD	3,854.50	
11AUG17	S 1 PD10NOV17	6342.00	6499.18	USD	-3,929.50	
11AUG17	B 1 PD10NOV17	6358.00	6499.18	USD	3,529.50	
11AUG17	S 1 PD10NOV17	6363.00	6499.18	USD	-3,404.50	
11AUG17	B 1 PD10NOV17	6355.00	6499.18	USD	3,604.50	
11AUG17	S 1 PD10NOV17	6360.00	6499.18	USD	-3,479.50	
11AUG17	B 2 PD10NOV17	6372.00	6499.18	USD	6,359.00	
11AUG17	S 1 PD10NOV17	6375.50	6499.18	USD	-3,092.00	
11AUG17	S 1 PD10NOV17	6377.00	6499.18	USD	-3,054.50	
11AUG17	S 1 PD10NOV17	6379.00	6499.18	USD	-3,004.50	
11AUG17	S 2 PD10NOV17	6383.00	6499.18	USD	-5,809.00	
11AUG17	B 1 PD10NOV17	6379.00	6499.18	USD	3,004.50	
11AUG17	B 1 PD10NOV17	6414.00	6499.18	USD	2,129.50	
11AUG17	B 1 PD10NOV17	6415.00	6499.18	USD	2,104.50	
11AUG17	S 1 PD10NOV17	6409.00	6499.18	USD	-2,254.50	
11AUG17	S 1 PD10NOV17	6422.00	6499.18	USD	-1,929.50	
11AUG17	S 1 PD10NOV17	6417.00	6499.18	USD	-2,054.50	
	NET 0 AVG BGHT 6397.14 SOLD 6397.04				**-37.50**	**0.00**
14AUG17	S 1 PD14NOV17	6415.00	6501.04	USD	-2,151.00	
14AUG17	B 1 PD14NOV17	6405.00	6501.04	USD	2,401.00	
	NET 0 AVG BGHT 6405.00 SOLD 6415.00				**250.00**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086G

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT							
15AUG17	S	1	PD15NOV17	6415.00	6501.50	USD	-2,162.50	
15AUG17	B	1	PD15NOV17	6418.00	6501.50	USD	2,087.50	
15AUG17	B	1	PD15NOV17	6430.00	6501.50	USD	1,787.50	
15AUG17	S	1	PD15NOV17	6429.50	6501.50	USD	-1,800.00	
15AUG17	S	1	PD15NOV17	6428.00	6501.50	USD	-1,837.50	
15AUG17	B	1	PD15NOV17	6423.00	6501.50	USD	1,962.50	
15AUG17	S	1	PD15NOV17	6422.00	6501.50	USD	-1,987.50	
15AUG17	B	1	PD15NOV17	6417.00	6501.50	USD	2,112.50	
15AUG17	B	1	PD15NOV17	6397.00	6501.50	USD	2,612.50	
15AUG17	B	1	PD15NOV17	6382.00	6501.50	USD	2,987.50	
15AUG17	S	1	PD15NOV17	6377.00	6501.50	USD	-3,112.50	
15AUG17	S	1	PD15NOV17	6392.00	6501.50	USD	-2,737.50	
15AUG17	S	1	PD15NOV17	6365.00	6501.50	USD	-3,412.50	
15AUG17	B	1	PD15NOV17	6370.00	6501.50	USD	3,287.50	
	NET	**0**	**AVG BGHT 6405.29**	**SOLD 6404.07**			**-212.50**	**0.00**
16AUG17	S	1	PD16NOV17	6476.50	6501.00	USD	-612.50	
16AUG17	S	1	PD16NOV17	6494.00	6501.00	USD	-175.00	
16AUG17	B	1	PD16NOV17	6495.50	6501.00	USD	137.50	
16AUG17	B	1	PD16NOV17	6499.50	6501.00	USD	37.50	
16AUG17	B	1	PD16NOV17	6500.00	6501.00	USD	25.00	
16AUG17	S	2	PD16NOV17	6495.00	6501.00	USD	-300.00	
16AUG17	B	1	PD16NOV17	6490.00	6501.00	USD	275.00	
16AUG17	B	5	PD16NOV17	6492.00	6501.00	USD	1,125.00	
16AUG17	S	3	PD16NOV17	6490.00	6501.00	USD	-825.00	
16AUG17	B	1	PD16NOV17	6505.00	6501.00	USD	-100.00	
16AUG17	B	1	PD16NOV17	6506.00	6501.00	USD	-125.00	
16AUG17	S	1	PD16NOV17	6504.50	6501.00	USD	87.50	
16AUG17	S	1	PD16NOV17	6526.00	6501.00	USD	625.00	
16AUG17	B	1	PD16NOV17	6521.00	6501.00	USD	-500.00	
16AUG17	B	1	PD16NOV17	6538.00	6501.00	USD	-925.00	
16AUG17	B	1	PD16NOV17	6546.00	6501.00	USD	-1,125.00	
16AUG17	S	1	PD16NOV17	6538.00	6501.00	USD	925.00	
16AUG17	S	1	PD16NOV17	6540.00	6501.00	USD	975.00	
16AUG17	S	1	PD16NOV17	6545.00	6501.00	USD	1,100.00	
16AUG17	B	1	PD16NOV17	6545.00	6501.00	USD	-1,100.00	
16AUG17	B	1	PD16NOV17	6562.00	6501.00	USD	-1,525.00	
16AUG17	S	1	PD16NOV17	6556.00	6501.00	USD	1,375.00	
23AUG17	S	3	PD16NOV17	6563.30	6501.00	USD	4,672.50	
	NET	**0**	**AVG BGHT 6510.50**	**SOLD 6520.62**			**4,047.50**	**0.00**
17AUG17	S	1	PD17NOV17	6560.00	6501.60	USD	1,460.00	
17AUG17	B	1	PD17NOV17	6532.00	6501.60	USD	-760.00	
17AUG17	B	1	PD17NOV17	6483.00	6501.60	USD	465.00	
17AUG17	S	1	PD17NOV17	6496.00	6501.60	USD	-140.00	
17AUG17	B	2	PD17NOV17	6482.00	6501.60	USD	980.00	
17AUG17	B	1	PD17NOV17	6491.00	6501.60	USD	265.00	
17AUG17	S	1	PD17NOV17	6485.00	6501.60	USD	-415.00	
17AUG17	S	1	PD17NOV17	6488.00	6501.60	USD	-340.00	
17AUG17	B	2	PD17NOV17	6481.00	6501.60	USD	1,030.00	
17AUG17	S	1	PD17NOV17	6477.00	6501.60	USD	-615.00	
17AUG17	S	2	PD17NOV17	6478.00	6501.60	USD	-1,180.00	
	NET	**0**	**AVG BGHT 6490.29**	**SOLD 6494.57**			**750.00**	**0.00**
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
03AUG17	B	1	PD03NOV17	2350.50	2588.00	USD	5,937.50	
03AUG17	S	1	PD03NOV17	2360.50	2588.00	USD	-5,687.50	
	NET	**0**	**AVG BGHT 2350.50**	**SOLD 2360.50**			**250.00**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086G

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
27JUL17	S 1 PD27OCT17	10165.00	10557.68	USD	-2,356.08	
27JUL17	B 1 PD27OCT17	10210.00	10557.68	USD	2,086.08	
27JUL17	S 1 PD27OCT17	10200.00	10557.68	USD	-2,146.08	
28JUL17	B 1 PD27OCT17	10080.00	10557.68	USD	2,866.08	
	NET 0 AVG BGHT 10145.00 SOLD 10182.50				**450.00**	**0.00**
03AUG17	S 1 PD03NOV17	10290.00	10564.43	USD	-1,646.58	
03AUG17	B 1 PD03NOV17	10290.00	10564.43	USD	1,646.58	
	NET 0 AVG BGHT 10290.00 SOLD 10290.00				**0.00**	**0.00**
10AUG17	S 1 PD10NOV17	10860.00	10571.18	USD	1,732.92	
10AUG17	B 1 PD10NOV17	10910.00	10571.18	USD	-2,032.92	
11AUG17	S 1 PD10NOV17	10710.00	10571.18	USD	832.92	
11AUG17	B 1 PD10NOV17	10660.00	10571.18	USD	-532.92	
11AUG17	B 1 PD10NOV17	10645.00	10571.18	USD	-442.92	
11AUG17	S 1 PD10NOV17	10670.00	10571.18	USD	592.92	
	NET 0 AVG BGHT 10738.33 SOLD 10746.67				**150.00**	**0.00**
16AUG17	S 1 PD16NOV17	10450.00	10576.89	USD	-761.34	
16AUG17	B 1 PD16NOV17	10525.00	10576.89	USD	311.34	
16AUG17	S 1 PD16NOV17	10675.00	10576.89	USD	588.66	
16AUG17	B 1 PD16NOV17	10750.00	10576.89	USD	-1,038.66	
	NET 0 AVG BGHT 10637.50 SOLD 10562.50				**-900.00**	**0.00**
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
10JUL17	B 1 PD10OCT17	19685.00	20949.00	USD	6,320.00	
10JUL17	S 1 PD10OCT17	19935.00	20949.00	USD	-5,070.00	
	NET 0 AVG BGHT 19685.00 SOLD 19935.00				**1,250.00**	**0.00**
20JUL17	S 1 PD20OCT17	20095.00	20924.00	USD	-4,145.00	
20JUL17	B 1 PD20OCT17	20105.00	20924.00	USD	4,095.00	
	NET 0 AVG BGHT 20105.00 SOLD 20095.00				**-50.00**	**0.00**
24JUL17	B 1 PD24OCT17	20130.00	20914.00	USD	3,920.00	
24JUL17	S 1 PD24OCT17	20160.00	20914.00	USD	-3,770.00	
	NET 0 AVG BGHT 20130.00 SOLD 20160.00				**150.00**	**0.00**
26JUL17	B 2 PD26OCT17	20225.00	20904.00	USD	6,790.00	
26JUL17	S 1 PD26OCT17	20300.00	20904.00	USD	-3,020.00	
26JUL17	S 1 PD26OCT17	20335.00	20904.00	USD	-2,845.00	
	NET 0 AVG BGHT 20225.00 SOLD 20317.50				**925.00**	**0.00**
27JUL17	B 1 PD27OCT17	20550.00	20899.00	USD	1,745.00	
27JUL17	S 1 PD27OCT17	20585.00	20899.00	USD	-1,570.00	
27JUL17	S 2 PD27OCT17	20780.00	20899.00	USD	-1,190.00	
27JUL17	B 1 PD27OCT17	20705.00	20899.00	USD	970.00	
27JUL17	B 1 PD27OCT17	20725.00	20899.00	USD	870.00	
27JUL17	B 1 PD27OCT17	20680.00	20899.00	USD	1,095.00	
27JUL17	S 1 PD27OCT17	20665.00	20899.00	USD	-1,170.00	
28JUL17	S 2 PD27OCT17	20725.00	20899.00	USD	-1,740.00	
28JUL17	B 1 PD27OCT17	20810.00	20899.00	USD	445.00	
28JUL17	B 1 PD27OCT17	20825.00	20899.00	USD	370.00	
28JUL17	B 1 PD27OCT17	20620.00	20899.00	USD	1,395.00	
28JUL17	S 1 PD27OCT17	20640.00	20899.00	USD	-1,295.00	
	NET 0 AVG BGHT 20702.14 SOLD 20700.00				**-75.00**	**0.00**
31JUL17	B 1 PD31OCT17	20805.00	20889.00	USD	420.00	
31JUL17	S 1 PD31OCT17	20835.00	20889.00	USD	-270.00	
	NET 0 AVG BGHT 20805.00 SOLD 20835.00				**150.00**	**0.00**
01AUG17	B 1 PD01NOV17	20410.00	20884.00	USD	2,370.00	
01AUG17	S 1 PD01NOV17	20395.00	20884.00	USD	-2,445.00	
	NET 0 AVG BGHT 20410.00 SOLD 20395.00				**-75.00**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086G

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
04AUG17	B 2 PD03NOV17	20700.00	20881.20	USD	1,812.00	
04AUG17	S 2 PD03NOV17	20695.00	20881.20	USD	-1,862.00	
	NET 0 AVG BGHT 20700.00 SOLD 20695.00				**-50.00**	**0.00**
08AUG17	S 1 PD08NOV17	20450.00	20877.00	USD	-2,135.00	
08AUG17	S 1 PD08NOV17	20470.00	20877.00	USD	-2,035.00	
08AUG17	B 2 PD08NOV17	20425.00	20877.00	USD	4,520.00	
	NET 0 AVG BGHT 20425.00 SOLD 20460.00				**350.00**	**0.00**
15AUG17	B 2 PD15NOV17	19570.00	20870.00	USD	13,000.00	
15AUG17	B 2 PD15NOV17	19710.00	20870.00	USD	11,600.00	
15AUG17	S 3 PD15NOV17	19980.00	20870.00	USD	-13,350.00	
15AUG17	S 1 PD15NOV17	20000.00	20870.00	USD	-4,350.00	
15AUG17	S 1 PD15NOV17	19820.00	20870.00	USD	-5,250.00	
15AUG17	B 1 PD15NOV17	19805.00	20870.00	USD	5,325.00	
	NET 0 AVG BGHT 19673.00 SOLD 19952.00				**6,975.00**	**0.00**
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
07JUL17	B 1 PD06OCT17	2800.00	3310.38	USD	12,759.50	
07JUL17	S 1 PD06OCT17	2789.50	3310.38	USD	-13,022.00	
	NET 0 AVG BGHT 2800.00 SOLD 2789.50				**-262.50**	**0.00**
24JUL17	B 1 PD24OCT17	2782.00	3280.50	USD	12,462.50	
24JUL17	S 1 PD24OCT17	2775.00	3280.50	USD	-12,637.50	
	NET 0 AVG BGHT 2782.00 SOLD 2775.00				**-175.00**	**0.00**
28JUL17	S 1 PD27OCT17	2794.50	3277.50	USD	-12,075.00	
28JUL17	B 1 PD27OCT17	2781.00	3277.50	USD	12,412.50	
	NET 0 AVG BGHT 2781.00 SOLD 2794.50				**337.50**	**0.00**
09AUG17	B 2 PD09NOV17	2982.50	3272.00	USD	14,475.00	
09AUG17	S 2 PD09NOV17	2979.00	3272.00	USD	-14,650.00	
	NET 0 AVG BGHT 2982.50 SOLD 2979.00				**-175.00**	**0.00**
16AUG17	S 1 PD16NOV17	3094.00	3267.50	USD	-4,337.50	
16AUG17	B 1 PD16NOV17	3103.00	3267.50	USD	4,112.50	
	NET 0 AVG BGHT 3103.00 SOLD 3094.00				**-225.00**	**0.00**
17AUG17	B 1 PD17NOV17	3102.00	3265.50	USD	4,087.50	
17AUG17	S 1 PD17NOV17	3089.50	3265.50	USD	-4,400.00	
	NET 0 AVG BGHT 3102.00 SOLD 3089.50				**-312.50**	**0.00**

OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	-524.50
LME Lead 25 tonnes. This is an	FUTURES	250.00
LME Nickel 6 tonnes. This is	FUTURES	-300.00
LME Tin 5 tonnes. This is an	FUTURES	9,550.00
LME Zinc 25 tonnes. This is	FUTURES	-812.50

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086G

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards			Amount	Profit / Loss	**Total Amount**	**Total P&L**
COPL	- Futures	USD	-524.50	-524.50		
LEAD	- Futures	USD	250.00	250.00		
NKL	- Futures	USD	-300.00	-300.00		
TIN	- Futures	USD	9,550.00	9,550.00		
ZINC	- Futures	USD	-812.50	-812.50	**8,163.00**	**8,163.00**

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		6,723.40	6,723.40
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**6,723.40**	**6,723.40**
Initial Margins		0.00	0.00
Excess/(Shortage)		**6,723.40**	**6,723.40**
FOR INFORMATION ONLY			
Forward Unrealised P&L		8,163.00	8,163.00
Net Liquidating Value		**14,886.40**	**14,886.40**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086H

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
13JUL17	S 1 PD13OCT17	1935.50	2116.25	USD	-4,518.75	
13JUL17	B 1 PD13OCT17	1935.50	2116.25	USD	4,518.75	
13JUL17	B 1 PD13OCT17	1936.00	2116.25	USD	4,506.25	
13JUL17	S 1 PD13OCT17	1934.50	2116.25	USD	-4,543.75	
	NET 0 AVG BGHT 1935.75 SOLD 1935.00				**-37.50**	**0.00**
14AUG17	B 1 PD14NOV17	2027.00	2117.89	USD	2,272.25	
14AUG17	S 1 PD14NOV17	2028.50	2117.89	USD	-2,234.75	
	NET 0 AVG BGHT 2027.00 SOLD 2028.50				**37.50**	**0.00**
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
04JUL17	S 1 PD04OCT17	5892.50	6467.48	USD	-14,374.50	
04JUL17	S 1 PD04OCT17	5895.00	6467.48	USD	-14,312.00	
04JUL17	S 1 PD04OCT17	5896.50	6467.48	USD	-14,274.50	
04JUL17	B 1 PD04OCT17	5873.50	6467.48	USD	14,849.50	
04JUL17	B 1 PD04OCT17	5874.00	6467.48	USD	14,837.00	
04JUL17	B 1 PD04OCT17	5874.50	6467.48	USD	14,824.50	
	NET 0 AVG BGHT 5874.00 SOLD 5894.67				**1,550.00**	**0.00**
05JUL17	S 1 PD05OCT17	5857.00	6468.75	USD	-15,293.75	
05JUL17	S 1 PD05OCT17	5859.00	6468.75	USD	-15,243.75	
05JUL17	B 1 PD05OCT17	5832.00	6468.75	USD	15,918.75	
05JUL17	B 1 PD05OCT17	5842.00	6468.75	USD	15,668.75	
	NET 0 AVG BGHT 5837.00 SOLD 5858.00				**1,050.00**	**0.00**
06JUL17	S 1 PD06OCT17	5836.00	6470.02	USD	-15,850.50	
06JUL17	S 1 PD06OCT17	5841.50	6470.02	USD	-15,713.00	
06JUL17	B 1 PD06OCT17	5853.00	6470.02	USD	15,425.50	
06JUL17	B 1 PD06OCT17	5852.00	6470.02	USD	15,450.50	
07JUL17	S 1 PD06OCT17	5862.00	6470.02	USD	-15,200.50	
07JUL17	S 1 PD06OCT17	5866.50	6470.02	USD	-15,088.00	
07JUL17	B 1 PD06OCT17	5847.00	6470.02	USD	15,575.50	
07JUL17	B 1 PD06OCT17	5850.00	6470.02	USD	15,500.50	
07JUL17	B 1 PD06OCT17	5835.00	6470.02	USD	15,875.50	
07JUL17	S 1 PD06OCT17	5840.00	6470.02	USD	-15,750.50	
	NET 0 AVG BGHT 5847.40 SOLD 5849.20				**225.00**	**0.00**
11JUL17	S 1 PD11OCT17	5832.00	6476.37	USD	-16,109.25	
11JUL17	S 1 PD11OCT17	5833.00	6476.37	USD	-16,084.25	
11JUL17	B 1 PD11OCT17	5850.50	6476.37	USD	15,646.75	
11JUL17	B 1 PD11OCT17	5825.50	6476.37	USD	16,271.75	
11JUL17	S 1 PD11OCT17	5825.00	6476.37	USD	-16,284.25	
11JUL17	B 1 PD11OCT17	5874.00	6476.37	USD	15,059.25	
	NET 0 AVG BGHT 5850.00 SOLD 5830.00				**-1,500.00**	**0.00**
12JUL17	S 1 PD12OCT17	5907.00	6477.63	USD	-14,265.75	
12JUL17	B 1 PD12OCT17	5890.00	6477.63	USD	14,690.75	
	NET 0 AVG BGHT 5890.00 SOLD 5907.00				**425.00**	**0.00**
13JUL17	S 1 PD13OCT17	5915.00	6478.90	USD	-14,097.50	
13JUL17	S 1 PD13OCT17	5919.00	6478.90	USD	-13,997.50	
13JUL17	B 1 PD13OCT17	5884.50	6478.90	USD	14,860.00	
13JUL17	B 1 PD13OCT17	5891.50	6478.90	USD	14,685.00	
14JUL17	B 1 PD13OCT17	5880.00	6478.90	USD	14,972.50	
14JUL17	S 1 PD13OCT17	5879.00	6478.90	USD	-14,997.50	
14JUL17	B 1 PD13OCT17	5907.00	6478.90	USD	14,297.50	
14JUL17	S 1 PD13OCT17	5916.00	6478.90	USD	-14,072.50	
14JUL17	B 1 PD13OCT17	5931.50	6478.90	USD	13,685.00	
14JUL17	B 1 PD13OCT17	5922.50	6478.90	USD	13,910.00	
14JUL17	S 2 PD13OCT17	5928.50	6478.90	USD	-27,520.00	
	NET 0 AVG BGHT 5902.83 SOLD 5914.33				**1,725.00**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086H

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
17JUL17	S 1 PD17OCT17	5966.50	6483.98	USD	-12,937.00	
17JUL17	S 1 PD17OCT17	5954.50	6483.98	USD	-13,237.00	
17JUL17	S 1 PD17OCT17	5983.50	6483.98	USD	-12,512.00	
17JUL17	B 1 PD17OCT17	6000.50	6483.98	USD	12,087.00	
17JUL17	S 1 PD17OCT17	5999.50	6483.98	USD	-12,112.00	
17JUL17	B 1 PD17OCT17	6001.00	6483.98	USD	12,074.50	
17JUL17	B 1 PD17OCT17	6005.50	6483.98	USD	11,962.00	
17JUL17	S 1 PD17OCT17	6011.50	6483.98	USD	-11,812.00	
17JUL17	B 2 PD17OCT17	6012.50	6483.98	USD	23,574.00	
	NET 0 AVG BGHT 6006.40 SOLD 5983.10				**-2,912.50**	**0.00**
18JUL17	S 1 PD18OCT17	5993.00	6485.25	USD	-12,306.25	
18JUL17	S 1 PD18OCT17	5996.00	6485.25	USD	-12,231.25	
18JUL17	S 1 PD18OCT17	5996.50	6485.25	USD	-12,218.75	
18JUL17	B 3 PD18OCT17	5980.00	6485.25	USD	37,893.75	
18JUL17	S 1 PD18OCT17	6006.00	6485.25	USD	-11,981.25	
18JUL17	S 1 PD18OCT17	6008.00	6485.25	USD	-11,931.25	
24JUL17	B 2 PD18OCT17	6027.50	6485.25	USD	22,887.50	
	NET 0 AVG BGHT 5999.00 SOLD 5999.90				**112.50**	**0.00**
19JUL17	B 1 PD19OCT17	5989.00	6486.00	USD	12,425.00	
19JUL17	B 1 PD19OCT17	5991.50	6486.00	USD	12,362.50	
19JUL17	S 1 PD19OCT17	6015.00	6486.00	USD	-11,775.00	
19JUL17	S 1 PD19OCT17	6018.00	6486.00	USD	-11,700.00	
19JUL17	B 1 PD19OCT17	5998.00	6486.00	USD	12,200.00	
19JUL17	B 1 PD19OCT17	5997.00	6486.00	USD	12,225.00	
19JUL17	S 1 PD19OCT17	5996.50	6486.00	USD	-12,237.50	
19JUL17	S 1 PD19OCT17	5989.50	6486.00	USD	-12,412.50	
19JUL17	S 1 PD19OCT17	5984.00	6486.00	USD	-12,550.00	
24JUL17	B 1 PD19OCT17	6026.50	6486.00	USD	11,487.50	
	NET 0 AVG BGHT 6000.40 SOLD 6000.60				**25.00**	**0.00**
20JUL17	S 1 PD20OCT17	5983.00	6486.75	USD	-12,593.75	
20JUL17	B 1 PD20OCT17	5982.00	6486.75	USD	12,618.75	
20JUL17	B 1 PD20OCT17	5970.00	6486.75	USD	12,918.75	
20JUL17	B 1 PD20OCT17	5972.50	6486.75	USD	12,856.25	
20JUL17	S 1 PD20OCT17	5979.50	6486.75	USD	-12,681.25	
20JUL17	B 3 PD20OCT17	5969.00	6486.75	USD	38,831.25	
20JUL17	S 2 PD20OCT17	5977.00	6486.75	USD	-25,487.50	
20JUL17	S 1 PD20OCT17	5977.50	6486.75	USD	-12,731.25	
20JUL17	S 1 PD20OCT17	5979.00	6486.75	USD	-12,693.75	
20JUL17	B 1 PD20OCT17	5978.50	6486.75	USD	12,706.25	
21JUL17	S 1 PD20OCT17	5983.00	6486.75	USD	-12,593.75	
21JUL17	B 1 PD20OCT17	6000.00	6486.75	USD	12,168.75	
21JUL17	S 1 PD20OCT17	6008.00	6486.75	USD	-11,968.75	
21JUL17	S 1 PD20OCT17	6013.50	6486.75	USD	-11,831.25	
21JUL17	B 1 PD20OCT17	6032.00	6486.75	USD	11,368.75	
21JUL17	B 1 PD20OCT17	5996.00	6486.75	USD	12,268.75	
21JUL17	B 2 PD20OCT17	6000.50	6486.75	USD	24,312.50	
24JUL17	S 3 PD20OCT17	6025.30	6486.75	USD	-34,608.75	
	NET 0 AVG BGHT 5986.58 SOLD 5996.12				**2,860.00**	**0.00**
24JUL17	S 3 PD24OCT17	5996.00	6489.75	USD	-37,031.25	
24JUL17	B 1 PD24OCT17	6000.00	6489.75	USD	12,243.75	
24JUL17	B 1 PD24OCT17	6004.00	6489.75	USD	12,143.75	
24JUL17	B 1 PD24OCT17	6004.50	6489.75	USD	12,131.25	
	NET 0 AVG BGHT 6002.83 SOLD 5996.00				**-512.50**	**0.00**
25JUL17	B 1 PD25OCT17	6073.00	6490.29	USD	10,432.25	
25JUL17	S 1 PD25OCT17	6054.50	6490.29	USD	-10,894.75	
25JUL17	S 1 PD25OCT17	6063.50	6490.29	USD	-10,669.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086H

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
25JUL17	S 1 PD25OCT17	6067.00	6490.29	USD	-10,582.25	
25JUL17	B 1 PD25OCT17	6101.00	6490.29	USD	9,732.25	
25JUL17	B 1 PD25OCT17	6103.00	6490.29	USD	9,682.25	
25JUL17	S 1 PD25OCT17	6096.50	6490.29	USD	-9,844.75	
25JUL17	S 2 PD25OCT17	6127.00	6490.29	USD	-18,164.50	
25JUL17	B 1 PD25OCT17	6160.50	6490.29	USD	8,244.75	
25JUL17	S 1 PD25OCT17	6164.00	6490.29	USD	-8,157.25	
25JUL17	S 1 PD25OCT17	6188.50	6490.29	USD	-7,544.75	
25JUL17	B 1 PD25OCT17	6187.50	6490.29	USD	7,569.75	
25JUL17	B 1 PD25OCT17	6194.00	6490.29	USD	7,407.25	
25JUL17	S 1 PD25OCT17	6195.50	6490.29	USD	-7,369.75	
25JUL17	B 1 PD25OCT17	6182.50	6490.29	USD	7,694.75	
25JUL17	B 1 PD25OCT17	6198.50	6490.29	USD	7,294.75	
25JUL17	S 1 PD25OCT17	6196.50	6490.29	USD	-7,344.75	
25JUL17	B 1 PD25OCT17	6201.50	6490.29	USD	7,219.75	
25JUL17	B 1 PD25OCT17	6230.00	6490.29	USD	6,507.25	
25JUL17	S 1 PD25OCT17	6199.50	6490.29	USD	-7,269.75	
25JUL17	S 1 PD25OCT17	6211.50	6490.29	USD	-6,969.75	
25JUL17	B 1 PD25OCT17	6232.00	6490.29	USD	6,457.25	
25JUL17	B 1 PD25OCT17	6211.50	6490.29	USD	6,969.75	
25JUL17	B 1 PD25OCT17	6215.50	6490.29	USD	6,869.75	
25JUL17	S 1 PD25OCT17	6210.50	6490.29	USD	-6,994.75	
25JUL17	S 1 PD25OCT17	6230.00	6490.29	USD	-6,507.25	
25JUL17	B 1 PD25OCT17	6221.00	6490.29	USD	6,732.25	
	NET 0 AVG BGHT 6179.39 SOLD 6152.25				**-9,500.00**	**0.00**
26JUL17	S 1 PD26OCT17	6263.50	6490.82	USD	-5,683.00	
26JUL17	S 1 PD26OCT17	6276.00	6490.82	USD	-5,370.50	
26JUL17	S 1 PD26OCT17	6301.50	6490.82	USD	-4,733.00	
26JUL17	S 1 PD26OCT17	6338.00	6490.82	USD	-3,820.50	
26JUL17	B 1 PD26OCT17	6290.00	6490.82	USD	5,020.50	
26JUL17	B 1 PD26OCT17	6305.00	6490.82	USD	4,645.50	
26JUL17	B 1 PD26OCT17	6320.00	6490.82	USD	4,270.50	
26JUL17	S 1 PD26OCT17	6315.00	6490.82	USD	-4,395.50	
26JUL17	S 1 PD26OCT17	6341.50	6490.82	USD	-3,733.00	
26JUL17	S 1 PD26OCT17	6327.00	6490.82	USD	-4,095.50	
26JUL17	B 3 PD26OCT17	6330.50	6490.82	USD	12,024.00	
26JUL17	B 1 PD26OCT17	6326.00	6490.82	USD	4,120.50	
	NET 0 AVG BGHT 6318.93 SOLD 6308.93				**-1,750.00**	**0.00**
27JUL17	B 1 PD27OCT17	6321.00	6491.36	USD	4,259.00	
27JUL17	S 1 PD27OCT17	6310.00	6491.36	USD	-4,534.00	
27JUL17	S 1 PD27OCT17	6370.00	6491.36	USD	-3,034.00	
27JUL17	B 1 PD27OCT17	6335.00	6491.36	USD	3,909.00	
28JUL17	B 1 PD27OCT17	6284.00	6491.36	USD	5,184.00	
28JUL17	S 1 PD27OCT17	6330.00	6491.36	USD	-4,034.00	
	NET 0 AVG BGHT 6313.33 SOLD 6336.67				**1,750.00**	**0.00**
31JUL17	S 1 PD31OCT17	6388.00	6493.50	USD	-2,637.50	
31JUL17	B 1 PD31OCT17	6385.00	6493.50	USD	2,712.50	
31JUL17	B 1 PD31OCT17	6402.00	6493.50	USD	2,287.50	
31JUL17	B 1 PD31OCT17	6421.50	6493.50	USD	1,800.00	
31JUL17	B 1 PD31OCT17	6423.50	6493.50	USD	1,750.00	
31JUL17	S 1 PD31OCT17	6345.00	6493.50	USD	-3,712.50	
31JUL17	S 1 PD31OCT17	6418.00	6493.50	USD	-1,887.50	
31JUL17	S 1 PD31OCT17	6429.50	6493.50	USD	-1,600.00	
	NET 0 AVG BGHT 6408.00 SOLD 6395.13				**-1,287.50**	**0.00**
02AUG17	S 1 PD02NOV17	6345.00	6494.69	USD	-3,742.25	
02AUG17	S 1 PD02NOV17	6330.50	6494.69	USD	-4,104.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086H

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
02AUG17	S 1 PD02NOV17	6341.00	6494.69	USD	-3,842.25	
02AUG17	B 1 PD02NOV17	6330.00	6494.69	USD	4,117.25	
02AUG17	B 1 PD02NOV17	6336.00	6494.69	USD	3,967.25	
02AUG17	B 1 PD02NOV17	6341.00	6494.69	USD	3,842.25	
	NET 0 AVG BGHT 6335.67 SOLD 6338.83				**237.50**	**0.00**
03AUG17	S 1 PD03NOV17	6352.00	6495.28	USD	-3,582.00	
03AUG17	S 1 PD03NOV17	6356.00	6495.28	USD	-3,482.00	
04AUG17	B 1 PD03NOV17	6368.00	6495.28	USD	3,182.00	
04AUG17	B 1 PD03NOV17	6376.50	6495.28	USD	2,969.50	
04AUG17	S 2 PD03NOV17	6368.50	6495.28	USD	-6,339.00	
04AUG17	B 1 PD03NOV17	6328.00	6495.28	USD	4,182.00	
04AUG17	B 1 PD03NOV17	6341.00	6495.28	USD	3,857.00	
04AUG17	S 2 PD03NOV17	6338.00	6495.28	USD	-7,864.00	
04AUG17	S 1 PD03NOV17	6339.50	6495.28	USD	-3,894.50	
04AUG17	B 1 PD03NOV17	6361.50	6495.28	USD	3,344.50	
04AUG17	S 1 PD03NOV17	6359.50	6495.28	USD	-3,394.50	
04AUG17	B 1 PD03NOV17	6370.50	6495.28	USD	3,119.50	
14AUG17	B 2 PD03NOV17	6393.09	6495.28	USD	5,109.50	
	NET 0 AVG BGHT 6366.46 SOLD 6352.50				**-2,792.00**	**0.00**
07AUG17	B 1 PD07NOV17	6341.00	6497.66	USD	3,916.50	
07AUG17	B 1 PD07NOV17	6400.50	6497.66	USD	2,429.00	
07AUG17	S 1 PD07NOV17	6417.00	6497.66	USD	-2,016.50	
07AUG17	B 1 PD07NOV17	6361.00	6497.66	USD	3,416.50	
07AUG17	B 1 PD07NOV17	6367.00	6497.66	USD	3,266.50	
07AUG17	B 1 PD07NOV17	6393.50	6497.66	USD	2,604.00	
07AUG17	S 1 PD07NOV17	6341.00	6497.66	USD	-3,916.50	
07AUG17	S 1 PD07NOV17	6369.00	6497.66	USD	-3,216.50	
07AUG17	S 1 PD07NOV17	6408.50	6497.66	USD	-2,229.00	
07AUG17	B 1 PD07NOV17	6344.50	6497.66	USD	3,829.00	
07AUG17	B 1 PD07NOV17	6350.50	6497.66	USD	3,679.00	
07AUG17	B 1 PD07NOV17	6355.50	6497.66	USD	3,554.00	
07AUG17	B 1 PD07NOV17	6361.50	6497.66	USD	3,404.00	
07AUG17	S 1 PD07NOV17	6368.00	6497.66	USD	-3,241.50	
07AUG17	S 2 PD07NOV17	6377.50	6497.66	USD	-6,008.00	
07AUG17	S 1 PD07NOV17	6428.00	6497.66	USD	-1,741.50	
07AUG17	S 1 PD07NOV17	6428.50	6497.66	USD	-1,729.00	
07AUG17	S 1 PD07NOV17	6429.50	6497.66	USD	-1,704.00	
07AUG17	B 3 PD07NOV17	6410.00	6497.66	USD	6,574.50	
14AUG17	S 2 PD07NOV17	6394.31	6497.66	USD	-5,167.50	
	NET 0 AVG BGHT 6375.42 SOLD 6394.43				**5,703.00**	**0.00**
08AUG17	S 1 PD08NOV17	6388.50	6498.25	USD	-2,743.75	
08AUG17	S 2 PD08NOV17	6393.00	6498.25	USD	-5,262.50	
08AUG17	B 1 PD08NOV17	6399.00	6498.25	USD	2,481.25	
08AUG17	B 1 PD08NOV17	6385.50	6498.25	USD	2,818.75	
08AUG17	B 1 PD08NOV17	6392.00	6498.25	USD	2,656.25	
08AUG17	B 1 PD08NOV17	6451.00	6498.25	USD	1,181.25	
08AUG17	S 1 PD08NOV17	6458.50	6498.25	USD	-993.75	
	NET 0 AVG BGHT 6406.88 SOLD 6408.25				**137.50**	**0.00**
09AUG17	S 1 PD09NOV17	6503.00	6498.71	USD	107.25	
09AUG17	S 2 PD09NOV17	6504.00	6498.71	USD	264.50	
23AUG17	B 3 PD09NOV17	6563.50	6498.71	USD	-4,859.25	
	NET 0 AVG BGHT 6563.50 SOLD 6503.67				**-4,487.50**	**0.00**
10AUG17	B 1 PD10NOV17	6425.50	6499.18	USD	1,842.00	
10AUG17	S 1 PD10NOV17	6429.50	6499.18	USD	-1,742.00	
10AUG17	B 1 PD10NOV17	6400.00	6499.18	USD	2,479.50	
10AUG17	B 1 PD10NOV17	6405.00	6499.18	USD	2,354.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086H

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
10AUG17	B 1 PD10NOV17	6403.00	6499.18	USD	2,404.50	
11AUG17	B 1 PD10NOV17	6373.50	6499.18	USD	3,142.00	
11AUG17	S 1 PD10NOV17	6379.00	6499.18	USD	-3,004.50	
11AUG17	S 1 PD10NOV17	6382.00	6499.18	USD	-2,929.50	
11AUG17	S 1 PD10NOV17	6421.00	6499.18	USD	-1,954.50	
11AUG17	S 1 PD10NOV17	6410.00	6499.18	USD	-2,229.50	
	NET 0 AVG BGHT 6401.40 SOLD 6404.30				**362.50**	**0.00**
14AUG17	B 2 PD14NOV17	6382.00	6501.04	USD	5,952.00	
14AUG17	B 1 PD14NOV17	6410.00	6501.04	USD	2,276.00	
23AUG17	S 3 PD14NOV17	6565.30	6501.04	USD	4,819.50	
	NET 0 AVG BGHT 6391.33 SOLD 6565.30				**13,047.50**	**0.00**
15AUG17	S 1 PD15NOV17	6413.00	6501.50	USD	-2,212.50	
15AUG17	S 1 PD15NOV17	6415.50	6501.50	USD	-2,150.00	
15AUG17	S 1 PD15NOV17	6419.50	6501.50	USD	-2,050.00	
15AUG17	B 1 PD15NOV17	6415.00	6501.50	USD	2,162.50	
15AUG17	B 1 PD15NOV17	6372.00	6501.50	USD	3,237.50	
15AUG17	B 1 PD15NOV17	6365.00	6501.50	USD	3,412.50	
	NET 0 AVG BGHT 6384.00 SOLD 6416.00				**2,400.00**	**0.00**
16AUG17	B 1 PD16NOV17	6420.50	6501.00	USD	2,012.50	
16AUG17	S 1 PD16NOV17	6410.00	6501.00	USD	-2,275.00	
16AUG17	S 1 PD16NOV17	6418.00	6501.00	USD	-2,075.00	
16AUG17	B 1 PD16NOV17	6421.00	6501.00	USD	2,000.00	
16AUG17	B 1 PD16NOV17	6424.50	6501.00	USD	1,912.50	
16AUG17	S 1 PD16NOV17	6419.50	6501.00	USD	-2,037.50	
16AUG17	S 1 PD16NOV17	6420.00	6501.00	USD	-2,025.00	
16AUG17	B 1 PD16NOV17	6438.00	6501.00	USD	1,575.00	
16AUG17	S 1 PD16NOV17	6419.00	6501.00	USD	-2,050.00	
16AUG17	B 1 PD16NOV17	6481.50	6501.00	USD	487.50	
16AUG17	B 1 PD16NOV17	6487.00	6501.00	USD	350.00	
16AUG17	B 1 PD16NOV17	6491.00	6501.00	USD	250.00	
16AUG17	S 1 PD16NOV17	6478.50	6501.00	USD	-562.50	
16AUG17	S 1 PD16NOV17	6484.50	6501.00	USD	-412.50	
16AUG17	B 1 PD16NOV17	6480.50	6501.00	USD	512.50	
16AUG17	B 1 PD16NOV17	6491.50	6501.00	USD	237.50	
16AUG17	S 2 PD16NOV17	6489.50	6501.00	USD	-575.00	
16AUG17	B 1 PD16NOV17	6485.50	6501.00	USD	387.50	
16AUG17	B 1 PD16NOV17	6496.00	6501.00	USD	125.00	
16AUG17	S 1 PD16NOV17	6494.50	6501.00	USD	-162.50	
16AUG17	B 1 PD16NOV17	6501.50	6501.00	USD	-12.50	
16AUG17	S 1 PD16NOV17	6497.00	6501.00	USD	-100.00	
16AUG17	S 1 PD16NOV17	6509.50	6501.00	USD	212.50	
16AUG17	B 1 PD16NOV17	6535.50	6501.00	USD	-862.50	
16AUG17	B 1 PD16NOV17	6551.50	6501.00	USD	-1,262.50	
16AUG17	S 1 PD16NOV17	6549.50	6501.00	USD	1,212.50	
16AUG17	S 1 PD16NOV17	6544.00	6501.00	USD	1,075.00	
	NET 0 AVG BGHT 6478.96 SOLD 6473.07				**-2,062.50**	**0.00**
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
06JUL17	S 1 PD06OCT17	2289.50	2585.23	USD	-7,393.25	
06JUL17	B 1 PD06OCT17	2285.50	2585.23	USD	7,493.25	
	NET 0 AVG BGHT 2285.50 SOLD 2289.50				**100.00**	**0.00**
14JUL17	S 1 PD13OCT17	2318.50	2586.85	USD	-6,708.75	
14JUL17	B 1 PD13OCT17	2311.50	2586.85	USD	6,883.75	
	NET 0 AVG BGHT 2311.50 SOLD 2318.50				**175.00**	**0.00**
19JUL17	S 1 PD19OCT17	2233.00	2588.00	USD	-8,875.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086H

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
19JUL17	B 1 PD19OCT17	2228.00	2588.00	USD	9,000.00	
	NET 0 AVG BGHT 2228.00 SOLD 2233.00				**125.00**	**0.00**
16AUG17	S 1 PD16NOV17	2479.00	2588.13	USD	-2,728.25	
16AUG17	B 1 PD16NOV17	2502.00	2588.13	USD	2,153.25	
	NET 0 AVG BGHT 2502.00 SOLD 2479.00				**-575.00**	**0.00**
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
07JUL17	S 1 PD06OCT17	8990.00	10533.77	USD	-9,262.62	
07JUL17	B 1 PD06OCT17	9000.00	10533.77	USD	9,202.62	
	NET 0 AVG BGHT 9000.00 SOLD 8990.00				**-60.00**	**0.00**
17JUL17	S 1 PD17OCT17	9705.00	10547.73	USD	-5,056.38	
17JUL17	B 1 PD17OCT17	9720.00	10547.73	USD	4,966.38	
	NET 0 AVG BGHT 9720.00 SOLD 9705.00				**-90.00**	**0.00**
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
04JUL17	B 1 PD04OCT17	20050.00	20956.50	USD	4,532.50	
04JUL17	S 1 PD04OCT17	20040.00	20956.50	USD	-4,582.50	
	NET 0 AVG BGHT 20050.00 SOLD 20040.00				**-50.00**	**0.00**
05JUL17	S 1 PD05OCT17	20040.00	20954.00	USD	-4,570.00	
05JUL17	B 1 PD05OCT17	19950.00	20954.00	USD	5,020.00	
05JUL17	B 1 PD05OCT17	19965.00	20954.00	USD	4,945.00	
05JUL17	S 1 PD05OCT17	19940.00	20954.00	USD	-5,070.00	
05JUL17	S 1 PD05OCT17	19945.00	20954.00	USD	-5,045.00	
05JUL17	B 1 PD05OCT17	20025.00	20954.00	USD	4,645.00	
05JUL17	B 1 PD05OCT17	19915.00	20954.00	USD	5,195.00	
05JUL17	S 1 PD05OCT17	19900.00	20954.00	USD	-5,270.00	
05JUL17	B 1 PD05OCT17	19855.00	20954.00	USD	5,495.00	
05JUL17	S 1 PD05OCT17	19850.00	20954.00	USD	-5,520.00	
	NET 0 AVG BGHT 19942.00 SOLD 19935.00				**-175.00**	**0.00**
06JUL17	S 1 PD06OCT17	19820.00	20951.50	USD	-5,657.50	
06JUL17	B 1 PD06OCT17	19805.00	20951.50	USD	5,732.50	
06JUL17	S 1 PD06OCT17	19810.00	20951.50	USD	-5,707.50	
06JUL17	B 1 PD06OCT17	19820.00	20951.50	USD	5,657.50	
06JUL17	S 1 PD06OCT17	19845.00	20951.50	USD	-5,532.50	
06JUL17	S 1 PD06OCT17	19840.00	20951.50	USD	-5,557.50	
06JUL17	S 1 PD06OCT17	19880.00	20951.50	USD	-5,357.50	
06JUL17	B 2 PD06OCT17	19850.00	20951.50	USD	11,015.00	
06JUL17	B 1 PD06OCT17	19895.00	20951.50	USD	5,282.50	
07JUL17	S 1 PD06OCT17	19920.00	20951.50	USD	-5,157.50	
07JUL17	B 1 PD06OCT17	19905.00	20951.50	USD	5,232.50	
07JUL17	S 1 PD06OCT17	19875.00	20951.50	USD	-5,382.50	
07JUL17	B 1 PD06OCT17	19875.00	20951.50	USD	5,382.50	
07JUL17	B 1 PD06OCT17	19610.00	20951.50	USD	6,707.50	
07JUL17	B 2 PD06OCT17	19620.00	20951.50	USD	13,315.00	
07JUL17	S 1 PD06OCT17	19630.00	20951.50	USD	-6,607.50	
07JUL17	S 1 PD06OCT17	19645.00	20951.50	USD	-6,532.50	
07JUL17	S 1 PD06OCT17	19675.00	20951.50	USD	-6,382.50	
	NET 0 AVG BGHT 19785.00 SOLD 19794.00				**450.00**	**0.00**
11JUL17	S 1 PD11OCT17	19970.00	20946.50	USD	-4,882.50	
11JUL17	B 2 PD11OCT17	19920.00	20946.50	USD	10,265.00	
11JUL17	S 1 PD11OCT17	19915.00	20946.50	USD	-5,157.50	
11JUL17	B 1 PD11OCT17	19850.00	20946.50	USD	5,482.50	
11JUL17	S 1 PD11OCT17	19860.00	20946.50	USD	-5,432.50	
	NET 0 AVG BGHT 19896.67 SOLD 19915.00				**275.00**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086H

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
12JUL17	B 1 PD12OCT17	19920.00	20944.00	USD	5,120.00	
12JUL17	S 1 PD12OCT17	19950.00	20944.00	USD	-4,970.00	
12JUL17	B 1 PD12OCT17	19905.00	20944.00	USD	5,195.00	
12JUL17	S 1 PD12OCT17	19935.00	20944.00	USD	-5,045.00	
12JUL17	S 2 PD12OCT17	19945.00	20944.00	USD	-9,990.00	
12JUL17	B 1 PD12OCT17	19930.00	20944.00	USD	5,070.00	
12JUL17	B 1 PD12OCT17	19915.00	20944.00	USD	5,145.00	
	NET 0 AVG BGHT 19917.50 SOLD 19943.75				**525.00**	**0.00**
13JUL17	B 1 PD13OCT17	19955.00	20941.50	USD	4,932.50	
13JUL17	S 1 PD13OCT17	19985.00	20941.50	USD	-4,782.50	
13JUL17	S 2 PD13OCT17	19920.00	20941.50	USD	-10,215.00	
13JUL17	B 1 PD13OCT17	19890.00	20941.50	USD	5,257.50	
13JUL17	B 1 PD13OCT17	19930.00	20941.50	USD	5,057.50	
13JUL17	B 1 PD13OCT17	19875.00	20941.50	USD	5,332.50	
13JUL17	S 1 PD13OCT17	19915.00	20941.50	USD	-5,132.50	
14JUL17	S 3 PD13OCT17	19855.00	20941.50	USD	-16,297.50	
14JUL17	B 3 PD13OCT17	19830.00	20941.50	USD	16,672.50	
14JUL17	B 1 PD13OCT17	19860.00	20941.50	USD	5,407.50	
14JUL17	S 1 PD13OCT17	19870.00	20941.50	USD	-5,357.50	
	NET 0 AVG BGHT 19875.00 SOLD 19896.88				**875.00**	**0.00**
17JUL17	B 1 PD17OCT17	19955.00	20936.50	USD	4,907.50	
17JUL17	S 1 PD17OCT17	19990.00	20936.50	USD	-4,732.50	
17JUL17	B 1 PD17OCT17	19960.00	20936.50	USD	4,882.50	
17JUL17	S 1 PD17OCT17	19995.00	20936.50	USD	-4,707.50	
	NET 0 AVG BGHT 19957.50 SOLD 19992.50				**350.00**	**0.00**
18JUL17	S 1 PD18OCT17	19945.00	20934.00	USD	-4,945.00	
18JUL17	B 1 PD18OCT17	19930.00	20934.00	USD	5,020.00	
18JUL17	S 1 PD18OCT17	19995.00	20934.00	USD	-4,695.00	
18JUL17	B 1 PD18OCT17	19990.00	20934.00	USD	4,720.00	
18JUL17	S 1 PD18OCT17	20080.00	20934.00	USD	-4,270.00	
18JUL17	B 1 PD18OCT17	20040.00	20934.00	USD	4,470.00	
18JUL17	S 2 PD18OCT17	20090.00	20934.00	USD	-8,440.00	
18JUL17	B 1 PD18OCT17	20035.00	20934.00	USD	4,495.00	
18JUL17	B 1 PD18OCT17	20045.00	20934.00	USD	4,445.00	
	NET 0 AVG BGHT 20008.00 SOLD 20040.00				**800.00**	**0.00**
19JUL17	B 1 PD19OCT17	20050.00	20929.00	USD	4,395.00	
19JUL17	S 3 PD19OCT17	20095.00	20929.00	USD	-12,510.00	
19JUL17	S 1 PD19OCT17	20115.00	20929.00	USD	-4,070.00	
19JUL17	B 1 PD19OCT17	20095.00	20929.00	USD	4,170.00	
19JUL17	B 1 PD19OCT17	20100.00	20929.00	USD	4,145.00	
19JUL17	S 1 PD19OCT17	20090.00	20929.00	USD	-4,195.00	
19JUL17	S 2 PD19OCT17	20120.00	20929.00	USD	-8,090.00	
19JUL17	S 1 PD19OCT17	20105.00	20929.00	USD	-4,120.00	
19JUL17	B 1 PD19OCT17	20030.00	20929.00	USD	4,495.00	
19JUL17	S 1 PD19OCT17	20110.00	20929.00	USD	-4,095.00	
19JUL17	S 2 PD19OCT17	20135.00	20929.00	USD	-7,940.00	
19JUL17	B 1 PD19OCT17	20075.00	20929.00	USD	4,270.00	
19JUL17	S 1 PD19OCT17	20075.00	20929.00	USD	-4,270.00	
19JUL17	B 2 PD19OCT17	20065.00	20929.00	USD	8,640.00	
19JUL17	B 1 PD19OCT17	20130.00	20929.00	USD	3,995.00	
19JUL17	B 4 PD19OCT17	20070.00	20929.00	USD	17,180.00	
	NET 0 AVG BGHT 20074.17 SOLD 20107.50				**2,000.00**	**0.00**
20JUL17	S 1 PD20OCT17	20095.00	20924.00	USD	-4,145.00	
20JUL17	S 1 PD20OCT17	20115.00	20924.00	USD	-4,045.00	
20JUL17	B 1 PD20OCT17	20100.00	20924.00	USD	4,120.00	
20JUL17	B 1 PD20OCT17	20115.00	20924.00	USD	4,045.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086H

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
21JUL17	B 1 PD20OCT17	20050.00	20924.00	USD	4,370.00	
21JUL17	S 1 PD20OCT17	20090.00	20924.00	USD	-4,170.00	
21JUL17	S 1 PD20OCT17	20115.00	20924.00	USD	-4,045.00	
21JUL17	S 1 PD20OCT17	20150.00	20924.00	USD	-3,870.00	
21JUL17	B 3 PD20OCT17	20135.00	20924.00	USD	11,835.00	
21JUL17	B 1 PD20OCT17	20130.00	20924.00	USD	3,970.00	
21JUL17	S 1 PD20OCT17	20155.00	20924.00	USD	-3,845.00	
21JUL17	S 3 PD20OCT17	20160.00	20924.00	USD	-11,460.00	
21JUL17	S 2 PD20OCT17	20125.00	20924.00	USD	-7,990.00	
21JUL17	S 1 PD20OCT17	20170.00	20924.00	USD	-3,770.00	
21JUL17	B 1 PD20OCT17	20145.00	20924.00	USD	3,895.00	
21JUL17	B 2 PD20OCT17	20150.00	20924.00	USD	7,740.00	
21JUL17	B 1 PD20OCT17	20165.00	20924.00	USD	3,795.00	
21JUL17	B 1 PD20OCT17	20195.00	20924.00	USD	3,645.00	
21JUL17	S 1 PD20OCT17	20215.00	20924.00	USD	-3,545.00	
21JUL17	B 1 PD20OCT17	20215.00	20924.00	USD	3,545.00	
	NET 0 AVG BGHT 20140.00 SOLD 20141.15				**75.00**	**0.00**
24JUL17	B 1 PD24OCT17	20155.00	20914.00	USD	3,795.00	
24JUL17	S 1 PD24OCT17	20145.00	20914.00	USD	-3,845.00	
24JUL17	S 1 PD24OCT17	20245.00	20914.00	USD	-3,345.00	
24JUL17	B 1 PD24OCT17	20230.00	20914.00	USD	3,420.00	
24JUL17	S 1 PD24OCT17	20110.00	20914.00	USD	-4,020.00	
24JUL17	B 1 PD24OCT17	20140.00	20914.00	USD	3,870.00	
	NET 0 AVG BGHT 20175.00 SOLD 20166.67				**-125.00**	**0.00**
25JUL17	S 1 PD25OCT17	20225.00	20909.00	USD	-3,420.00	
25JUL17	S 1 PD25OCT17	20215.00	20909.00	USD	-3,470.00	
25JUL17	S 1 PD25OCT17	20245.00	20909.00	USD	-3,320.00	
25JUL17	B 2 PD25OCT17	20200.00	20909.00	USD	7,090.00	
25JUL17	B 1 PD25OCT17	20275.00	20909.00	USD	3,170.00	
25JUL17	S 1 PD25OCT17	20335.00	20909.00	USD	-2,870.00	
25JUL17	B 1 PD25OCT17	20350.00	20909.00	USD	2,795.00	
	NET 0 AVG BGHT 20256.25 SOLD 20255.00				**-25.00**	**0.00**
26JUL17	S 1 PD26OCT17	20320.00	20904.00	USD	-2,920.00	
26JUL17	S 1 PD26OCT17	20345.00	20904.00	USD	-2,795.00	
26JUL17	B 1 PD26OCT17	20365.00	20904.00	USD	2,695.00	
26JUL17	B 1 PD26OCT17	20335.00	20904.00	USD	2,845.00	
26JUL17	B 1 PD26OCT17	20345.00	20904.00	USD	2,795.00	
26JUL17	B 1 PD26OCT17	20355.00	20904.00	USD	2,745.00	
26JUL17	B 1 PD26OCT17	20375.00	20904.00	USD	2,645.00	
26JUL17	S 3 PD26OCT17	20370.00	20904.00	USD	-8,010.00	
	NET 0 AVG BGHT 20355.00 SOLD 20355.00				**0.00**	**0.00**
27JUL17	B 1 PD27OCT17	20425.00	20899.00	USD	2,370.00	
27JUL17	S 1 PD27OCT17	20470.00	20899.00	USD	-2,145.00	
27JUL17	S 1 PD27OCT17	20490.00	20899.00	USD	-2,045.00	
27JUL17	B 2 PD27OCT17	20500.00	20899.00	USD	3,990.00	
27JUL17	B 1 PD27OCT17	20550.00	20899.00	USD	1,745.00	
27JUL17	B 1 PD27OCT17	20560.00	20899.00	USD	1,695.00	
27JUL17	B 1 PD27OCT17	20600.00	20899.00	USD	1,495.00	
27JUL17	S 1 PD27OCT17	20530.00	20899.00	USD	-1,845.00	
27JUL17	S 1 PD27OCT17	20545.00	20899.00	USD	-1,770.00	
27JUL17	S 1 PD27OCT17	20575.00	20899.00	USD	-1,620.00	
27JUL17	S 1 PD27OCT17	20590.00	20899.00	USD	-1,545.00	
27JUL17	S 1 PD27OCT17	20745.00	20899.00	USD	-770.00	
27JUL17	S 1 PD27OCT17	20780.00	20899.00	USD	-595.00	
27JUL17	B 2 PD27OCT17	20705.00	20899.00	USD	1,940.00	
27JUL17	B 1 PD27OCT17	20630.00	20899.00	USD	1,345.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086H

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
27JUL17	S 1 PD27OCT17	20610.00	20899.00	USD	-1,445.00	
27JUL17	S 1 PD27OCT17	20670.00	20899.00	USD	-1,145.00	
27JUL17	S 1 PD27OCT17	20665.00	20899.00	USD	-1,170.00	
27JUL17	B 1 PD27OCT17	20640.00	20899.00	USD	1,295.00	
27JUL17	B 3 PD27OCT17	20650.00	20899.00	USD	3,735.00	
27JUL17	S 1 PD27OCT17	20710.00	20899.00	USD	-945.00	
27JUL17	S 1 PD27OCT17	20680.00	20899.00	USD	-1,095.00	
27JUL17	S 2 PD27OCT17	20700.00	20899.00	USD	-1,990.00	
27JUL17	B 2 PD27OCT17	20655.00	20899.00	USD	2,440.00	
27JUL17	S 1 PD27OCT17	20740.00	20899.00	USD	-795.00	
27JUL17	B 1 PD27OCT17	20710.00	20899.00	USD	945.00	
27JUL17	S 1 PD27OCT17	20750.00	20899.00	USD	-745.00	
27JUL17	B 1 PD27OCT17	20720.00	20899.00	USD	895.00	
27JUL17	B 1 PD27OCT17	20735.00	20899.00	USD	820.00	
27JUL17	S 1 PD27OCT17	20765.00	20899.00	USD	-670.00	
28JUL17	B 1 PD27OCT17	20810.00	20899.00	USD	445.00	
28JUL17	B 1 PD27OCT17	20840.00	20899.00	USD	295.00	
28JUL17	S 1 PD27OCT17	20875.00	20899.00	USD	-120.00	
28JUL17	S 1 PD27OCT17	20905.00	20899.00	USD	30.00	
28JUL17	S 1 PD27OCT17	20855.00	20899.00	USD	-220.00	
28JUL17	B 1 PD27OCT17	20775.00	20899.00	USD	620.00	
	NET 0 AVG BGHT 20650.71 SOLD 20683.33				**3,425.00**	**0.00**
31JUL17	B 1 PD31OCT17	20800.00	20889.00	USD	445.00	
31JUL17	S 1 PD31OCT17	20790.00	20889.00	USD	-495.00	
31JUL17	B 1 PD31OCT17	20805.00	20889.00	USD	420.00	
31JUL17	B 1 PD31OCT17	20810.00	20889.00	USD	395.00	
31JUL17	S 2 PD31OCT17	20845.00	20889.00	USD	-440.00	
31JUL17	B 1 PD31OCT17	20775.00	20889.00	USD	570.00	
31JUL17	S 1 PD31OCT17	20795.00	20889.00	USD	-470.00	
31JUL17	B 1 PD31OCT17	20790.00	20889.00	USD	495.00	
31JUL17	S 1 PD31OCT17	20830.00	20889.00	USD	-295.00	
31JUL17	B 1 PD31OCT17	20710.00	20889.00	USD	895.00	
31JUL17	S 1 PD31OCT17	20655.00	20889.00	USD	-1,170.00	
	NET 0 AVG BGHT 20781.67 SOLD 20793.33				**350.00**	**0.00**
01AUG17	S 1 PD01NOV17	20620.00	20884.00	USD	-1,320.00	
01AUG17	S 1 PD01NOV17	20565.00	20884.00	USD	-1,595.00	
01AUG17	B 1 PD01NOV17	20550.00	20884.00	USD	1,670.00	
01AUG17	S 1 PD01NOV17	20495.00	20884.00	USD	-1,945.00	
01AUG17	B 1 PD01NOV17	20555.00	20884.00	USD	1,645.00	
01AUG17	S 1 PD01NOV17	20500.00	20884.00	USD	-1,920.00	
01AUG17	B 1 PD01NOV17	20465.00	20884.00	USD	2,095.00	
01AUG17	B 1 PD01NOV17	20410.00	20884.00	USD	2,370.00	
01AUG17	S 1 PD01NOV17	20395.00	20884.00	USD	-2,445.00	
01AUG17	B 1 PD01NOV17	20350.00	20884.00	USD	2,670.00	
01AUG17	S 1 PD01NOV17	20375.00	20884.00	USD	-2,545.00	
01AUG17	B 1 PD01NOV17	20430.00	20884.00	USD	2,270.00	
01AUG17	S 1 PD01NOV17	20450.00	20884.00	USD	-2,170.00	
01AUG17	S 1 PD01NOV17	20480.00	20884.00	USD	-2,020.00	
01AUG17	S 1 PD01NOV17	20490.00	20884.00	USD	-1,970.00	
01AUG17	B 3 PD01NOV17	20500.00	20884.00	USD	5,760.00	
	NET 0 AVG BGHT 20473.33 SOLD 20485.56				**550.00**	**0.00**
02AUG17	S 1 PD02NOV17	20565.00	20882.60	USD	-1,588.00	
02AUG17	B 1 PD02NOV17	20600.00	20882.60	USD	1,413.00	
	NET 0 AVG BGHT 20600.00 SOLD 20565.00				**-175.00**	**0.00**
03AUG17	S 1 PD03NOV17	20580.00	20881.20	USD	-1,506.00	
03AUG17	S 1 PD03NOV17	20640.00	20881.20	USD	-1,206.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086H

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
03AUG17	B 1 PD03NOV17	20620.00	20881.20	USD	1,306.00	
03AUG17	S 1 PD03NOV17	20635.00	20881.20	USD	-1,231.00	
03AUG17	B 1 PD03NOV17	20600.00	20881.20	USD	1,406.00	
03AUG17	B 1 PD03NOV17	20605.00	20881.20	USD	1,381.00	
03AUG17	B 1 PD03NOV17	20595.00	20881.20	USD	1,431.00	
03AUG17	S 1 PD03NOV17	20625.00	20881.20	USD	-1,281.00	
04AUG17	B 4 PD03NOV17	20700.00	20881.20	USD	3,624.00	
04AUG17	S 1 PD03NOV17	20725.00	20881.20	USD	-781.00	
04AUG17	S 1 PD03NOV17	20730.00	20881.20	USD	-756.00	
04AUG17	S 1 PD03NOV17	20695.00	20881.20	USD	-931.00	
04AUG17	S 1 PD03NOV17	20690.00	20881.20	USD	-956.00	
04AUG17	S 2 PD03NOV17	20685.00	20881.20	USD	-1,962.00	
04AUG17	B 1 PD03NOV17	20625.00	20881.20	USD	1,281.00	
04AUG17	B 1 PD03NOV17	20620.00	20881.20	USD	1,306.00	
04AUG17	B 1 PD03NOV17	20645.00	20881.20	USD	1,181.00	
04AUG17	S 1 PD03NOV17	20620.00	20881.20	USD	-1,306.00	
04AUG17	B 1 PD03NOV17	20550.00	20881.20	USD	1,656.00	
04AUG17	S 1 PD03NOV17	20545.00	20881.20	USD	-1,681.00	
	NET 0 AVG BGHT 20638.33 SOLD 20654.58				**975.00**	**0.00**
08AUG17	B 1 PD08NOV17	20430.00	20877.00	USD	2,235.00	
08AUG17	S 1 PD08NOV17	20535.00	20877.00	USD	-1,710.00	
08AUG17	B 1 PD08NOV17	20415.00	20877.00	USD	2,310.00	
08AUG17	B 1 PD08NOV17	20405.00	20877.00	USD	2,360.00	
08AUG17	B 2 PD08NOV17	20370.00	20877.00	USD	5,070.00	
08AUG17	B 1 PD08NOV17	20310.00	20877.00	USD	2,835.00	
08AUG17	S 3 PD08NOV17	20305.00	20877.00	USD	-8,580.00	
08AUG17	S 1 PD08NOV17	20325.00	20877.00	USD	-2,760.00	
08AUG17	B 1 PD08NOV17	20285.00	20877.00	USD	2,960.00	
08AUG17	B 1 PD08NOV17	20295.00	20877.00	USD	2,910.00	
08AUG17	B 2 PD08NOV17	20315.00	20877.00	USD	5,620.00	
08AUG17	S 1 PD08NOV17	20250.00	20877.00	USD	-3,135.00	
08AUG17	S 2 PD08NOV17	20270.00	20877.00	USD	-6,070.00	
08AUG17	S 1 PD08NOV17	20245.00	20877.00	USD	-3,160.00	
08AUG17	B 1 PD08NOV17	20215.00	20877.00	USD	3,310.00	
08AUG17	S 1 PD08NOV17	20230.00	20877.00	USD	-3,235.00	
08AUG17	B 1 PD08NOV17	20240.00	20877.00	USD	3,185.00	
08AUG17	S 1 PD08NOV17	20235.00	20877.00	USD	-3,210.00	
08AUG17	S 2 PD08NOV17	20275.00	20877.00	USD	-6,020.00	
08AUG17	B 3 PD08NOV17	20210.00	20877.00	USD	10,005.00	
08AUG17	B 1 PD08NOV17	20220.00	20877.00	USD	3,285.00	
08AUG17	S 1 PD08NOV17	20320.00	20877.00	USD	-2,785.00	
08AUG17	S 1 PD08NOV17	20345.00	20877.00	USD	-2,660.00	
08AUG17	S 1 PD08NOV17	20350.00	20877.00	USD	-2,635.00	
08AUG17	S 1 PD08NOV17	20330.00	20877.00	USD	-2,735.00	
08AUG17	B 1 PD08NOV17	20275.00	20877.00	USD	3,010.00	
	NET 0 AVG BGHT 20299.41 SOLD 20304.12				**400.00**	**0.00**
09AUG17	B 2 PD09NOV17	20450.00	20875.60	USD	4,256.00	
09AUG17	S 1 PD09NOV17	20445.00	20875.60	USD	-2,153.00	
09AUG17	S 1 PD09NOV17	20375.00	20875.60	USD	-2,503.00	
09AUG17	B 1 PD09NOV17	20390.00	20875.60	USD	2,428.00	
09AUG17	S 1 PD09NOV17	20495.00	20875.60	USD	-1,903.00	
	NET 0 AVG BGHT 20430.00 SOLD 20438.33				**125.00**	**0.00**
10AUG17	S 1 PD10NOV17	20380.00	20874.20	USD	-2,471.00	
10AUG17	B 1 PD10NOV17	20260.00	20874.20	USD	3,071.00	
10AUG17	B 1 PD10NOV17	20400.00	20874.20	USD	2,371.00	
10AUG17	B 1 PD10NOV17	20345.00	20874.20	USD	2,646.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086H

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
10AUG17	S 1 PD10NOV17	20335.00	20874.20	USD	-2,696.00	
10AUG17	S 2 PD10NOV17	20345.00	20874.20	USD	-5,292.00	
10AUG17	B 1 PD10NOV17	20450.00	20874.20	USD	2,121.00	
10AUG17	B 1 PD10NOV17	20245.00	20874.20	USD	3,146.00	
10AUG17	S 1 PD10NOV17	20280.00	20874.20	USD	-2,971.00	
11AUG17	B 1 PD10NOV17	20230.00	20874.20	USD	3,221.00	
11AUG17	S 1 PD10NOV17	20250.00	20874.20	USD	-3,121.00	
11AUG17	B 1 PD10NOV17	20305.00	20874.20	USD	2,846.00	
11AUG17	B 1 PD10NOV17	20345.00	20874.20	USD	2,646.00	
11AUG17	S 1 PD10NOV17	20310.00	20874.20	USD	-2,821.00	
11AUG17	S 1 PD10NOV17	20345.00	20874.20	USD	-2,646.00	
	NET 0 AVG BGHT 20322.50 SOLD 20323.75				**50.00**	**0.00**
14AUG17	S 1 PD14NOV17	20325.00	20871.40	USD	-2,732.00	
14AUG17	B 1 PD14NOV17	20380.00	20871.40	USD	2,457.00	
14AUG17	B 1 PD14NOV17	20275.00	20871.40	USD	2,982.00	
14AUG17	S 1 PD14NOV17	20280.00	20871.40	USD	-2,957.00	
14AUG17	B 1 PD14NOV17	20295.00	20871.40	USD	2,882.00	
14AUG17	S 1 PD14NOV17	20295.00	20871.40	USD	-2,882.00	
	NET 0 AVG BGHT 20316.67 SOLD 20300.00				**-250.00**	**0.00**
15AUG17	S 1 PD15NOV17	20340.00	20870.00	USD	-2,650.00	
15AUG17	S 1 PD15NOV17	20370.00	20870.00	USD	-2,500.00	
15AUG17	B 2 PD15NOV17	20295.00	20870.00	USD	5,750.00	
15AUG17	B 1 PD15NOV17	20210.00	20870.00	USD	3,300.00	
15AUG17	B 1 PD15NOV17	20220.00	20870.00	USD	3,250.00	
15AUG17	S 1 PD15NOV17	20200.00	20870.00	USD	-3,350.00	
15AUG17	S 1 PD15NOV17	20215.00	20870.00	USD	-3,275.00	
15AUG17	B 1 PD15NOV17	20320.00	20870.00	USD	2,750.00	
15AUG17	S 1 PD15NOV17	20345.00	20870.00	USD	-2,625.00	
15AUG17	B 1 PD15NOV17	20170.00	20870.00	USD	3,500.00	
15AUG17	S 1 PD15NOV17	19985.00	20870.00	USD	-4,425.00	
15AUG17	S 1 PD15NOV17	19995.00	20870.00	USD	-4,375.00	
15AUG17	S 1 PD15NOV17	20000.00	20870.00	USD	-4,350.00	
15AUG17	S 1 PD15NOV17	20015.00	20870.00	USD	-4,275.00	
15AUG17	B 4 PD15NOV17	19210.00	20870.00	USD	33,200.00	
15AUG17	S 1 PD15NOV17	19300.00	20870.00	USD	-7,850.00	
	NET 0 AVG BGHT 19835.00 SOLD 20076.50				**12,075.00**	**0.00**
17AUG17	B 1 PD17NOV17	20205.00	20867.50	USD	3,312.50	
17AUG17	S 1 PD17NOV17	20350.00	20867.50	USD	-2,587.50	
17AUG17	B 1 PD17NOV17	20300.00	20867.50	USD	2,837.50	
17AUG17	B 1 PD17NOV17	20255.00	20867.50	USD	3,062.50	
17AUG17	S 1 PD17NOV17	20320.00	20867.50	USD	-2,737.50	
17AUG17	S 2 PD17NOV17	20185.00	20867.50	USD	-6,825.00	
17AUG17	B 1 PD17NOV17	20285.00	20867.50	USD	2,912.50	
17AUG17	S 1 PD17NOV17	20285.00	20867.50	USD	-2,912.50	
17AUG17	S 1 PD17NOV17	20135.00	20867.50	USD	-3,662.50	
17AUG17	B 2 PD17NOV17	20110.00	20867.50	USD	7,575.00	
18AUG17	S 1 PD17NOV17	20350.00	20867.50	USD	-2,587.50	
18AUG17	B 1 PD17NOV17	20225.00	20867.50	USD	3,212.50	
	NET 0 AVG BGHT 20212.86 SOLD 20258.57				**1,600.00**	**0.00**
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
06JUL17	B 1 PD06OCT17	2780.00	3310.38	USD	13,259.50	
06JUL17	S 2 PD06OCT17	2779.50	3310.38	USD	-26,544.00	
06JUL17	B 1 PD06OCT17	2785.50	3310.38	USD	13,122.00	
	NET 0 AVG BGHT 2782.75 SOLD 2779.50				**-162.50**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086H

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
18JUL17	S 1 PD18OCT17	2793.50	3288.50	USD	-12,375.00	
18JUL17	B 1 PD18OCT17	2801.00	3288.50	USD	12,187.50	
	NET 0 AVG BGHT 2801.00 SOLD 2793.50				**-187.50**	**0.00**
20JUL17	B 1 PD20OCT17	2751.00	3282.50	USD	13,287.50	
20JUL17	S 1 PD20OCT17	2758.00	3282.50	USD	-13,112.50	
21JUL17	S 1 PD20OCT17	2765.00	3282.50	USD	-12,937.50	
21JUL17	B 1 PD20OCT17	2770.50	3282.50	USD	12,800.00	
21JUL17	B 1 PD20OCT17	2772.00	3282.50	USD	12,762.50	
21JUL17	S 1 PD20OCT17	2768.50	3282.50	USD	-12,850.00	
	NET 0 AVG BGHT 2764.50 SOLD 2763.83				**-50.00**	**0.00**
18AUG17	B 1 PD17NOV17	3112.50	3265.50	USD	3,825.00	
18AUG17	S 1 PD17NOV17	3109.50	3265.50	USD	-3,900.00	
	NET 0 AVG BGHT 3112.50 SOLD 3109.50				**-75.00**	**0.00**

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	0.00
LME Copper Grade A 25	FUTURES	4,806.00
LME Lead 25 tonnes. This is an	FUTURES	-175.00
LME Nickel 6 tonnes. This is	FUTURES	-150.00
LME Tin 5 tonnes. This is an	FUTURES	24,100.00
LME Zinc 25 tonnes. This is	FUTURES	-475.00

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards			Amount	Profit / Loss	**Total Amount**	**Total P&L**
ALUL	- Futures	USD	0.00	0.00		
COPL	- Futures	USD	4,806.00	4,806.00		
LEAD	- Futures	USD	-175.00	-175.00		
NKL	- Futures	USD	-150.00	-150.00		
TIN	- Futures	USD	24,100.00	24,100.00		
ZINC	- Futures	USD	-475.00	-475.00	**28,106.00**	**28,106.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086H

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		33,606.75	33,606.75
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**33,606.75**	**33,606.75**
Initial Margins		0.00	0.00
Excess/(Shortage)		**33,606.75**	**33,606.75**
FOR INFORMATION ONLY			
Forward Unrealised P&L		28,106.00	28,106.00
Net Liquidating Value		**61,712.75**	**61,712.75**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086I

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
11JUL17	S 1 PD11OCT17	5888.00	6476.37	USD	-14,709.25	
14AUG17	B 1 PD11OCT17	6386.38	6476.37	USD	2,249.75	
	NET 0 AVG BGHT 6386.38 SOLD 5888.00				**-12,459.50**	**0.00**
12JUL17	B 1 PD12OCT17	5902.00	6477.63	USD	14,390.75	
14AUG17	S 1 PD12OCT17	6386.70	6477.63	USD	-2,273.25	
	NET 0 AVG BGHT 5902.00 SOLD 6386.70				**12,117.50**	**0.00**
17JUL17	S 1 PD17OCT17	5918.50	6483.98	USD	-14,137.00	
17JUL17	S 1 PD17OCT17	5988.00	6483.98	USD	-12,399.50	
17JUL17	S 2 PD17OCT17	5990.00	6483.98	USD	-24,699.00	
17JUL17	S 1 PD17OCT17	5989.50	6483.98	USD	-12,362.00	
17JUL17	B 1 PD17OCT17	5980.00	6483.98	USD	12,599.50	
17JUL17	B 1 PD17OCT17	5981.00	6483.98	USD	12,574.50	
17JUL17	B 1 PD17OCT17	6009.00	6483.98	USD	11,874.50	
17JUL17	B 1 PD17OCT17	6010.00	6483.98	USD	11,849.50	
17JUL17	B 1 PD17OCT17	6010.50	6483.98	USD	11,837.00	
	NET 0 AVG BGHT 5998.10 SOLD 5975.20				**-2,862.50**	**0.00**
18JUL17	B 1 PD18OCT17	5979.00	6485.25	USD	12,656.25	
18JUL17	B 1 PD18OCT17	5980.00	6485.25	USD	12,631.25	
18JUL17	S 2 PD18OCT17	5989.50	6485.25	USD	-24,787.50	
	NET 0 AVG BGHT 5979.50 SOLD 5989.50				**500.00**	**0.00**
01AUG17	B 1 PD01NOV17	6343.00	6494.09	USD	3,777.25	
01AUG17	S 1 PD01NOV17	6357.00	6494.09	USD	-3,427.25	
	NET 0 AVG BGHT 6343.00 SOLD 6357.00				**350.00**	**0.00**
02AUG17	S 1 PD02NOV17	6330.00	6494.69	USD	-4,117.25	
02AUG17	S 1 PD02NOV17	6329.00	6494.69	USD	-4,142.25	
02AUG17	B 1 PD02NOV17	6335.00	6494.69	USD	3,992.25	
02AUG17	B 1 PD02NOV17	6338.00	6494.69	USD	3,917.25	
	NET 0 AVG BGHT 6336.50 SOLD 6329.50				**-350.00**	**0.00**

OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	-2,704.50

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards		Amount	Profit / Loss	**Total Amount**	**Total P&L**
COPL - Futures	USD	-2,704.50	-2,704.50	**-2,704.50**	**-2,704.50**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0086I

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		2,745.80	2,745.80
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**2,745.80**	**2,745.80**
Initial Margins		-2,704.50	-2,704.50
Excess/(Shortage)		**41.30**	**41.30**
FOR INFORMATION ONLY			
Forward Unrealised P&L		-2,704.50	-2,704.50
Net Liquidating Value		**41.30**	**41.30**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED
SAP0086
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPG0086**

3rd Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

GROUP FINANCIAL SUMMARY

		USD (1.00000)	NET USD (1.00000)
CASH BALANCE		308,680.10	308,680.10
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**308,680.10**	**308,680.10**
Initial Margins		0.00	0.00
Excess/(Shortage)		**308,680.10**	**308,680.10**
FOR INFORMATION ONLY			
Forward Unrealised P&L		776,344.11	776,344.11
Net Liquidating Value		**1,085,024.21**	**1,085,024.21**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0087A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
04JUL17	S 2 PD04OCT17	5880.50	6467.48	USD	-29,349.00	
17JUL17	B 2 PD04OCT17	5991.56	6467.48	USD	23,796.00	
	NET 0 AVG BGHT 5991.56 SOLD 5880.50				**-5,553.00**	**0.00**
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
22AUG17	S 1 PD22NOV17	11520.00	10582.20	USD	5,626.80	
22AUG17	S 1 PD22NOV17	11405.00	10582.20	USD	4,936.80	
22AUG17	S 1 PD22NOV17	11470.00	10582.20	USD	5,326.80	
29AUG17	B 3 PD22NOV17	11814.00	10582.20	USD	-22,172.40	
	NET 0 AVG BGHT 11814.00 SOLD 11465.00				**-6,282.00**	**0.00**
29AUG17	B 3 PD29NOV17	11850.00	10588.40	USD	-22,708.80	
29AUG17	S 3 PD29NOV17	11835.00	10588.40	USD	22,438.80	
	NET 0 AVG BGHT 11850.00 SOLD 11835.00				**-270.00**	**0.00**

OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	-5,553.00
LME Nickel 6 tonnes. This is	FUTURES	-6,552.00

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards			Amount	Profit / Loss	**Total Amount**	**Total P&L**
COPL	- Futures	USD	-5,553.00	-5,553.00		
NKL	- Futures	USD	-6,552.00	-6,552.00	**-12,105.00**	**-12,105.00**

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		51,635.58	51,635.58
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**51,635.58**	**51,635.58**
Initial Margins		-12,105.00	-12,105.00
Excess/(Shortage)		**39,530.58**	**39,530.58**
FOR INFORMATION ONLY			
Forward Unrealised P&L		-12,105.00	-12,105.00
Net Liquidating Value		**39,530.58**	**39,530.58**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED
SAP0087
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPG0087**

3rd Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

GROUP FINANCIAL SUMMARY

		USD (1.00000)	NET USD (1.00000)
CASH BALANCE		51,635.58	51,635.58
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**51,635.58**	**51,635.58**
Initial Margins		-12,105.00	-12,105.00
Excess/(Shortage)		**39,530.58**	**39,530.58**
FOR INFORMATION ONLY			
Forward Unrealised P&L		-12,105.00	-12,105.00
Net Liquidating Value		**39,530.58**	**39,530.58**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0088

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		EUR	USD	NETT USD
		(1.17550)	(1.00000)	(1.00000)
CASH BALANCE		12.00	453.70	467.81
Variation Margins	- Futures	0.00	0.00	0.00
	- Options	0.00	0.00	0.00
TOTAL EQUITY		**12.00**	**453.70**	**467.81**
Initial Margins		0.00	0.00	0.00
Excess/(Shortage)		**12.00**	**453.70**	**467.81**
FOR INFORMATION ONLY				
Net Liquidating Value		**12.00**	**453.70**	**467.81**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0088A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	DOW JONES EURO STOXX 50 10 ERX					
10AUG17	S 200 OCT17 2500.00 Put	2.40	0.10	EUR	-200.00	4,600.00
	NET -200 AVG SOLD 2.40				**-200.00**	**4,600.00**
10AUG17	S 200 DEC17 2000.00 Put	1.40	0.10	EUR	-200.00	2,600.00
	NET -200 AVG SOLD 1.40				**-200.00**	**2,600.00**
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
05JUL17	B 5 PD05OCT17	5842.00	6468.75	USD	78,343.75	
05JUL17	B 5 PD05OCT17	5820.00	6468.75	USD	81,093.75	
05JUL17	S 5 PD05OCT17	5846.00	6468.75	USD	-77,843.75	
13JUL17	S 5 PD05OCT17	5877.44	6468.75	USD	-73,913.75	
	NET 0 AVG BGHT 5831.00 SOLD 5861.72				**7,680.00**	**0.00**
07JUL17	B 5 PD06OCT17	5832.00	6470.02	USD	79,752.50	
07JUL17	B 5 PD06OCT17	5813.00	6470.02	USD	82,127.50	
07JUL17	S 5 PD06OCT17	5835.50	6470.02	USD	-79,315.00	
13JUL17	S 5 PD06OCT17	5877.31	6470.02	USD	-74,088.75	
	NET 0 AVG BGHT 5822.50 SOLD 5856.41				**8,476.25**	**0.00**
10JUL17	B 5 PD10OCT17	5803.50	6475.10	USD	83,950.00	
10JUL17	S 5 PD10OCT17	5826.50	6475.10	USD	-81,075.00	
	NET 0 AVG BGHT 5803.50 SOLD 5826.50				**2,875.00**	**0.00**
11JUL17	S 4 PD11OCT17	5874.00	6476.37	USD	-60,237.00	
11JUL17	S 1 PD11OCT17	5875.00	6476.37	USD	-15,034.25	
13SEP17	B 5 PD11OCT17	6525.05	6476.37	USD	-6,085.00	
	NET 0 AVG BGHT 6525.05 SOLD 5874.20				**-81,356.25**	**0.00**
12JUL17	S 5 PD12OCT17	5915.00	6477.63	USD	-70,328.75	
13SEP17	B 5 PD12OCT17	6525.61	6477.63	USD	-5,997.50	
	NET 0 AVG BGHT 6525.61 SOLD 5915.00				**-76,326.25**	**0.00**
13JUL17	B 5 PD13OCT17	5874.00	6478.90	USD	75,612.50	
13JUL17	B 2 PD13OCT17	5879.00	6478.90	USD	29,995.00	
13JUL17	B 3 PD13OCT17	5878.00	6478.90	USD	45,067.50	
13JUL17	B 5 PD13OCT17	5862.00	6478.90	USD	77,112.50	
14JUL17	B 5 PD13OCT17	5880.00	6478.90	USD	74,862.50	
14JUL17	S 5 PD13OCT17	5884.00	6478.90	USD	-74,362.50	
14JUL17	S 5 PD13OCT17	5904.00	6478.90	USD	-71,862.50	
14JUL17	S 5 PD13OCT17	5895.50	6478.90	USD	-72,925.00	
14JUL17	S 5 PD13OCT17	5926.50	6478.90	USD	-69,050.00	
	NET 0 AVG BGHT 5873.60 SOLD 5902.50				**14,450.00**	**0.00**
04AUG17	B 5 PD03NOV17	6323.50	6495.28	USD	21,472.50	
04AUG17	S 5 PD03NOV17	6354.00	6495.28	USD	-17,660.00	
	NET 0 AVG BGHT 6323.50 SOLD 6354.00				**3,812.50**	**0.00**
15AUG17	B 5 PD15NOV17	6362.50	6501.50	USD	17,375.00	
15AUG17	B 5 PD15NOV17	6359.00	6501.50	USD	17,812.50	
24AUG17	S 10 PD15NOV17	6688.30	6501.50	USD	46,700.00	
	NET 0 AVG BGHT 6360.75 SOLD 6688.30				**81,887.50**	**0.00**
16AUG17	S 5 PD16NOV17	6443.50	6501.00	USD	-7,187.50	
16AUG17	S 5 PD16NOV17	6444.00	6501.00	USD	-7,125.00	
13SEP17	B 10 PD16NOV17	6542.00	6501.00	USD	-10,250.00	
	NET 0 AVG BGHT 6542.00 SOLD 6443.75				**-24,562.50**	**0.00**
25AUG17	B 5 PD24NOV17	6680.00	6505.80	USD	-21,775.00	
25AUG17	B 5 PD24NOV17	6668.50	6505.80	USD	-20,337.50	
25AUG17	B 5 PD24NOV17	6673.00	6505.80	USD	-20,900.00	
13SEP17	S 15 PD24NOV17	6545.24	6505.80	USD	14,790.00	
	NET 0 AVG BGHT 6673.83 SOLD 6545.24				**-48,222.50**	**0.00**
29AUG17	S 5 PD29NOV17	6807.50	6508.80	USD	37,337.50	
29AUG17	B 5 PD29NOV17	6792.50	6508.80	USD	-35,462.50	
	NET 0 AVG BGHT 6792.50 SOLD 6807.50					**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0088A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT							
							1,875.00	
30AUG17	B	5	PD30NOV17	6782.00	6509.40	USD	-34,075.00	
30AUG17	B	5	PD30NOV17	6771.00	6509.40	USD	-32,700.00	
30AUG17	S	5	PD30NOV17	6772.00	6509.40	USD	32,825.00	
31AUG17	S	4	PD30NOV17	6829.50	6509.40	USD	32,010.00	
31AUG17	S	1	PD30NOV17	6833.00	6509.40	USD	8,090.00	
31AUG17	S	1	PD30NOV17	6843.00	6509.40	USD	8,340.00	
31AUG17	S	4	PD30NOV17	6845.00	6509.40	USD	33,560.00	
31AUG17	B	5	PD30NOV17	6815.00	6509.40	USD	-38,200.00	
31AUG17	B	5	PD30NOV17	6810.00	6509.40	USD	-37,575.00	
31AUG17	B	5	PD30NOV17	6784.00	6509.40	USD	-34,325.00	
31AUG17	S	5	PD30NOV17	6796.00	6509.40	USD	35,825.00	
13SEP17	S	5	PD30NOV17	6547.82	6509.40	USD	4,802.50	
	NET	**0**	**AVG BGHT 6792.40**	**SOLD 6758.12**			**-21,422.50**	**0.00**
01SEP17	B	5	PD01DEC17	6787.00	6510.00	USD	-34,625.00	
01SEP17	S	5	PD01DEC17	6801.50	6510.00	USD	36,437.50	
01SEP17	S	5	PD01DEC17	6818.00	6510.00	USD	38,500.00	
01SEP17	B	5	PD01DEC17	6812.00	6510.00	USD	-37,750.00	
01SEP17	S	5	PD01DEC17	6825.50	6510.00	USD	39,437.50	
01SEP17	B	5	PD01DEC17	6805.00	6510.00	USD	-36,875.00	
01SEP17	S	5	PD01DEC17	6827.00	6510.00	USD	39,625.00	
13SEP17	B	5	PD01DEC17	6548.45	6510.00	USD	-4,806.25	
	NET	**0**	**AVG BGHT 6738.11**	**SOLD 6818.00**			**39,943.75**	**0.00**
05SEP17	B	5	PD05DEC17	6921.00	6511.71	USD	-51,161.25	
05SEP17	B	5	PD05DEC17	6896.00	6511.71	USD	-48,036.25	
05SEP17	B	5	PD05DEC17	6869.50	6511.71	USD	-44,723.75	
05SEP17	B	5	PD05DEC17	6841.50	6511.71	USD	-41,223.75	
05SEP17	S	5	PD05DEC17	6852.50	6511.71	USD	42,598.75	
13SEP17	S	5	PD05DEC17	6549.97	6511.71	USD	4,782.50	
13SEP17	S	10	PD05DEC17	6550.17	6511.71	USD	9,615.00	
	NET	**0**	**AVG BGHT 6882.00**	**SOLD 6625.70**			**-128,148.75**	**0.00**
06SEP17	S	4	PD06DEC17	6908.50	6512.14	USD	39,636.00	
06SEP17	S	3	PD06DEC17	6902.50	6512.14	USD	29,277.00	
06SEP17	S	3	PD06DEC17	6907.50	6512.14	USD	29,652.00	
13SEP17	B	10	PD06DEC17	6550.80	6512.14	USD	-9,665.00	
	NET	**0**	**AVG BGHT 6550.80**	**SOLD 6906.40**			**88,900.00**	**0.00**
07SEP17	B	5	PD07DEC17	6858.00	6512.57	USD	-43,178.75	
07SEP17	S	5	PD07DEC17	6885.50	6512.57	USD	46,616.25	
07SEP17	S	5	PD07DEC17	6895.50	6512.57	USD	47,866.25	
07SEP17	S	5	PD07DEC17	6906.00	6512.57	USD	49,178.75	
07SEP17	B	5	PD07DEC17	6886.00	6512.57	USD	-46,678.75	
07SEP17	B	5	PD07DEC17	6890.00	6512.57	USD	-47,178.75	
	NET	**0**	**AVG BGHT 6878.00**	**SOLD 6895.67**			**6,625.00**	**0.00**
08SEP17	B	5	PD08DEC17	6805.00	6513.00	USD	-36,500.00	
08SEP17	B	5	PD08DEC17	6793.00	6513.00	USD	-35,000.00	
08SEP17	B	4	PD08DEC17	6767.50	6513.00	USD	-25,450.00	
08SEP17	B	4	PD08DEC17	6763.50	6513.00	USD	-25,050.00	
08SEP17	B	5	PD08DEC17	6756.50	6513.00	USD	-30,437.50	
08SEP17	B	2	PD08DEC17	6744.00	6513.00	USD	-11,550.00	
08SEP17	S	5	PD08DEC17	6805.00	6513.00	USD	36,500.00	
13SEP17	S	15	PD08DEC17	6551.46	6513.00	USD	14,422.50	
15SEP17	S	5	PD08DEC17	6504.10	6513.00	USD	-1,112.50	
	NET	**0**	**AVG BGHT 6775.38**	**SOLD 6592.70**			**-114,177.50**	**0.00**
11SEP17	S	5	PD11DEC17	6755.00	6514.19	USD	30,101.25	
11SEP17	S	5	PD11DEC17	6760.50	6514.19	USD	30,788.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0088A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position		Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT						
11SEP17	S 5 PD11DEC17		6743.50	6514.19	USD	28,663.75	
13SEP17	B 15 PD11DEC17		6552.95	6514.19	USD	-14,535.00	
	NET 0 AVG BGHT 6552.95	**SOLD 6753.00**				**75,018.75**	**0.00**
12SEP17	B 5 PD12DEC17		6688.00	6514.58	USD	-21,677.50	
15SEP17	S 5 PD12DEC17		6505.76	6514.58	USD	-1,102.50	
	NET 0 AVG BGHT 6688.00	**SOLD 6505.76**				**-22,780.00**	**0.00**
13SEP17	B 5 PD13DEC17		6597.00	6514.98	USD	-10,252.50	
15SEP17	S 5 PD13DEC17		6506.17	6514.98	USD	-1,101.25	
	NET 0 AVG BGHT 6597.00	**SOLD 6506.17**				**-11,353.75**	**0.00**
14SEP17	S 10 PD14DEC17		6488.50	6515.38	USD	-6,720.00	
14SEP17	S 5 PD14DEC17		6490.50	6515.38	USD	-3,110.00	
14SEP17	S 5 PD14DEC17		6531.50	6515.38	USD	2,015.00	
14SEP17	B 5 PD14DEC17		6519.50	6515.38	USD	-515.00	
15SEP17	B 15 PD14DEC17		6506.79	6515.38	USD	3,221.25	
	NET 0 AVG BGHT 6509.97	**SOLD 6499.75**				**-5,108.75**	**0.00**
	CME EURO FX 125,000 CME						
02OCT17	B 5 DEC17		1.17920	1.17965	USD	281.25	
	NET +5 AVG BGHT 1.17920					**281.25**	**0.00**
	CME E-MINI S&P 500 WEEK 1 OPTIONS 50 CME						
29SEP17	S 5 OCT17	2520.00 Call	5.75	14.60	USD	-3,650.00	-2,212.50
	NET -5 AVG SOLD 5.75					**-3,650.00**	**-2,212.50**
29SEP17	S 5 OCT17	2525.00 Call	3.15	10.40	USD	-2,600.00	-1,812.50
	NET -5 AVG SOLD 3.15					**-2,600.00**	**-1,812.50**
03OCT17	S 5 OCT17	2530.00 Call	5.50	6.80	USD	-1,700.00	-325.00
	NET -5 AVG SOLD 5.50					**-1,700.00**	**-325.00**
25SEP17	S 5 OCT17	2470.00 Put	6.00	0.40	USD	-100.00	1,400.00
25SEP17	S 5 OCT17	2470.00 Put	7.25	0.40	USD	-100.00	1,712.50
	NET -10 AVG SOLD 6.63					**-200.00**	**3,112.50**
	CME STERLING 62,500 CME						
27SEP17	B 5 DEC17		1.34320	1.32740	USD	-4,937.50	
02OCT17	B 5 DEC17		1.33450	1.32740	USD	-2,218.75	
	NET +10 AVG BGHT 1.33885					**-7,156.25**	**0.00**
	CMX GOLD 100 CMX						
28SEP17	S 5 NOV17	1270.0 Put	8.5	10.6	USD	-5,300.00	-1,050.00
	NET -5 AVG SOLD 8.5					**-5,300.00**	**-1,050.00**
26SEP17	B 5 DEC17		1298.4	1274.6	USD	-11,900.00	
26SEP17	B 5 DEC17		1298.3	1274.6	USD	-11,850.00	
29SEP17	B 5 DEC17		1285.4	1274.6	USD	-5,400.00	
29SEP17	B 5 DEC17		1282.6	1274.6	USD	-4,000.00	
	NET +20 AVG BGHT 1291.2					**-33,150.00**	**0.00**
	CME E-MINI S&P 500 50 CME						
28SEP17	S 5 DEC17		2508.00	2532.80	USD	-6,200.00	
29SEP17	S 5 DEC17		2511.00	2532.80	USD	-5,450.00	
29SEP17	S 5 DEC17		2513.75	2532.80	USD	-4,762.50	
03OCT17	S 5 DEC17		2530.00	2532.80	USD	-700.00	
	NET -20 AVG SOLD 2515.69					**-17,112.50**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0088A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

OPEN POSITION VALUE

		EUR	USD
Dow Jones EURO Stoxx 50	OPTIONS	7,600.00	
LME Copper Grade A 25	FUTURES		-201,915.00
CME Euro FX	FUTURES		-737,000.00
CME E-MINI S&P 500 WEEK 1	OPTIONS		6,912.50
CME Sterling	FUTURES		-836,781.25
CMX Gold	FUTURES		-2,582,350.00
CMX Gold	OPTIONS		4,250.00
CME E-Mini S&P 500	FUTURES		2,515,687.50

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options			Amount	Profit / Loss	**Total Amount**	**Total P&L**
EUSD - Futures	USD		281.25	281.25		
GBP - Futures	USD		-7,156.25	-7,156.25		
GOLD - Futures	USD		-33,150.00	-33,150.00		
MSNP - Futures	USD		-17,112.50	-17,112.50	**-57,137.50**	**-57,137.50**
Unrealised Profits & Losses - Forwards			**Amount**	**Profit / Loss**	**Total Amount**	**Total P&L**
COPL - Futures	USD		-201,915.00	-201,915.00	**-201,915.00**	**-201,915.00**
Net Premium Options Market Value						
EDOX - Options	EUR		-400.00	7,200.00	**-400.00**	**7,200.00**
EW1 - Options	USD		-8,150.00	-1,237.50		
GOLD - Options	USD		-5,300.00	-1,050.00	**-13,450.00**	**-2,287.50**

ACCOUNT FINANCIAL SUMMARY

		EUR	GBP	JPY
		(1.17550)	(1.32460)	(112.76500)
CASH BALANCE		73,712.13	85,000.00	240,228
Variation Margins	- Futures	0.00	0.00	0
	- Options	0.00	0.00	0
TOTAL EQUITY		**73,712.13**	**85,000.00**	**240,228**
Initial Margins		-841.59	0.00	0
Excess/(Shortage)		**72,870.54**	**85,000.00**	**240,228**
FOR INFORMATION ONLY				
Net Premium Option Market Value		-400.00	0.00	0
Net Liquidating Value		**73,312.13**	**85,000.00**	**240,228**

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		287,898.24	489,268.19
Variation Margins	- Futures	-57,137.50	-57,137.50
	- Options	0.00	0.00
TOTAL EQUITY		**230,760.74**	**432,130.69**
Initial Margins		-518,445.00	-519,434.29
Excess/(Shortage)		**-287,684.26**	**-87,303.60**
FOR INFORMATION ONLY			
Forward Unrealised P&L		-201,915.00	-201,915.00
Net Premium Option Market Value		-13,450.00	-13,920.20
Net Liquidating Value		**15,395.74**	**216,295.49**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED
SAP0088
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPG0088**

3rd Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

GROUP FINANCIAL SUMMARY

		EUR	GBP	JPY
		(1.17550)	(1.32460)	(112.76500)
CASH BALANCE		73,724.13	85,000.00	240,228
Variation Margins	- Futures	0.00	0.00	0
	- Options	0.00	0.00	0
TOTAL EQUITY		**73,724.13**	**85,000.00**	**240,228**
Initial Margins		-841.59	0.00	0
Excess/(Shortage)		**72,882.54**	**85,000.00**	**240,228**
FOR INFORMATION ONLY				
Net Premium Option Market Value		-400.00	0.00	0
Net Liquidating Value		**73,324.13**	**85,000.00**	**240,228**

		USD	NET USD
		(1.00000)	(1.00000)
CASH BALANCE		288,351.94	489,735.99
Variation Margins	- Futures	-57,137.50	-57,137.50
	- Options	0.00	0.00
TOTAL EQUITY		**231,214.44**	**432,598.49**
Initial Margins		-518,445.00	-519,434.29
Excess/(Shortage)		**-287,230.56**	**-86,835.80**
FOR INFORMATION ONLY			
Forward Unrealised P&L		-201,915.00	-201,915.00
Net Premium Option Market Value		-13,450.00	-13,920.20
Net Liquidating Value		**15,849.44**	**216,763.29**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0089B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
09AUG17	S 5 PD09NOV17	2033.00	2116.11	USD	-10,388.75	
09AUG17	S 5 PD09NOV17	2030.00	2116.11	USD	-10,763.75	
	NET -10 AVG SOLD 2031.50				**-21,152.50**	**0.00**
16AUG17	B 5 PD16NOV17	2067.00	2118.52	USD	6,440.00	
16AUG17	B 3 PD16NOV17	2067.50	2118.52	USD	3,826.50	
16AUG17	B 2 PD16NOV17	2068.00	2118.52	USD	2,526.00	
	NET +10 AVG BGHT 2067.35				**12,792.50**	**0.00**
17AUG17	B 30 PD17NOV17	2073.50	2118.79	USD	33,967.50	
	NET +30 AVG BGHT 2073.50				**33,967.50**	**0.00**
25AUG17	B 20 PD24NOV17	2070.20	2120.69	USD	25,245.00	
	NET +20 AVG BGHT 2070.20				**25,245.00**	**0.00**
08SEP17	S 25 PD08DEC17	2092.50	2124.49	USD	-19,993.75	
	NET -25 AVG SOLD 2092.50				**-19,993.75**	**0.00**
14SEP17	B 1 PD14DEC17	2124.50	2126.12	USD	40.50	
14SEP17	B 24 PD14DEC17	2125.00	2126.12	USD	672.00	
	NET +25 AVG BGHT 2124.98				**712.50**	**0.00**
27SEP17	S 25 PD27DEC17	2132.50	2129.38	USD	1,950.00	
27SEP17	B 10 PD27DEC17	2134.00	2129.38	USD	-1,155.00	
27SEP17	S 10 PD27DEC17	2134.00	2129.38	USD	1,155.00	
	NET -25 AVG BGHT 2134.00 SOLD 2132.93				**1,950.00**	**0.00**
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
04SEP17	B 4 PD04DEC17	6922.50	6511.29	USD	-41,121.00	
04SEP17	B 10 PD04DEC17	6923.00	6511.29	USD	-102,927.50	
	NET +14 AVG BGHT 6922.86				**-144,048.50**	**0.00**
05SEP17	S 3 PD05DEC17	6937.00	6511.71	USD	31,896.75	
05SEP17	S 1 PD05DEC17	6932.00	6511.71	USD	10,507.25	
05SEP17	S 2 PD05DEC17	6935.00	6511.71	USD	21,164.50	
05SEP17	S 3 PD05DEC17	6933.00	6511.71	USD	31,596.75	
05SEP17	S 2 PD05DEC17	6940.00	6511.71	USD	21,414.50	
05SEP17	S 2 PD05DEC17	6941.50	6511.71	USD	21,489.50	
05SEP17	S 1 PD05DEC17	6932.00	6511.71	USD	10,507.25	
	NET -14 AVG SOLD 6936.21				**148,576.50**	**0.00**
27SEP17	S 50 PD27DEC17	6429.00	6517.52	USD	-110,650.00	
27SEP17	B 10 PD27DEC17	6439.00	6517.52	USD	19,630.00	
27SEP17	B 10 PD27DEC17	6441.50	6517.52	USD	19,005.00	
27SEP17	B 10 PD27DEC17	6440.00	6517.52	USD	19,380.00	
27SEP17	B 2 PD27DEC17	6441.00	6517.52	USD	3,826.00	
27SEP17	B 18 PD27DEC17	6442.00	6517.52	USD	33,984.00	
	NET 0 AVG BGHT 6440.86 SOLD 6429.00				**-14,825.00**	**0.00**
	CMX COPPER 25,000 CMX					
30AUG17	B 43 DEC17	309.950	296.350	USD	-146,200.00	
31AUG17	B 9 DEC17	310.450	296.350	USD	-31,725.00	
14SEP17	B 75 DEC17	294.950	296.350	USD	26,250.00	
	NET +127 AVG BGHT 301.127				**-151,675.00**	**0.00**
	ICE COTTON NO.2 500 FIN					
17AUG17	B 2 DEC17	66.96000	67.52000	USD	560.00	
	NET +2 AVG BGHT 66.96000				**560.00**	**0.00**
	CMX GOLD 100 CMX					
28JUL17	B 16 DEC17	1264.9	1274.6	USD	15,520.00	
	NET +16 AVG BGHT 1264.9				**15,520.00**	**0.00**
	LME LEAD 25 TONNES. THIS IS AN					

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0089B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
16AUG17	B 10 PD16NOV17	2422.00	2588.13	USD	41,532.50	
	NET +10 AVG BGHT 2422.00				**41,532.50**	**0.00**
17AUG17	B 30 PD17NOV17	2434.50	2588.26	USD	115,320.00	
	NET +30 AVG BGHT 2434.50				**115,320.00**	**0.00**
25AUG17	B 10 PD24NOV17	2325.20	2589.16	USD	65,990.00	
	NET +10 AVG BGHT 2325.20				**65,990.00**	**0.00**
08SEP17	S 25 PD08DEC17	2302.50	2590.96	USD	-180,287.50	
	NET -25 AVG SOLD 2302.50				**-180,287.50**	**0.00**
19SEP17	B 25 PD19DEC17	2408.50	2592.37	USD	114,918.75	
	NET +25 AVG BGHT 2408.50				**114,918.75**	**0.00**
22SEP17	S 2 PD22DEC17	2477.00	2592.07	USD	-5,753.50	
22SEP17	S 4 PD22DEC17	2474.00	2592.07	USD	-11,807.00	
22SEP17	B 2 PD22DEC17	2485.00	2592.07	USD	5,353.50	
22SEP17	B 4 PD22DEC17	2484.50	2592.07	USD	10,757.00	
	NET 0 AVG BGHT 2484.67 SOLD 2475.00				**-1,450.00**	**0.00**
27SEP17	S 25 PD27DEC17	2451.50	2591.86	USD	-87,725.00	
	NET -25 AVG SOLD 2451.50				**-87,725.00**	**0.00**
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
31JUL17	B 10 PD31OCT17	10216.00	10561.54	USD	20,732.40	
	NET +10 AVG BGHT 10216.00				**20,732.40**	**0.00**
09AUG17	S 10 PD09NOV17	10775.00	10570.21	USD	12,287.40	
	NET -10 AVG SOLD 10775.00				**12,287.40**	**0.00**
10AUG17	S 10 PD10NOV17	10770.00	10571.18	USD	11,929.20	
	NET -10 AVG SOLD 10770.00				**11,929.20**	**0.00**
18AUG17	B 6 PD17NOV17	10865.00	10577.77	USD	-10,340.28	
18AUG17	B 24 PD17NOV17	10870.00	10577.77	USD	-42,081.12	
	NET +30 AVG BGHT 10869.00				**-52,421.40**	**0.00**
25AUG17	B 30 PD24NOV17	11486.00	10583.97	USD	-162,365.40	
	NET +30 AVG BGHT 11486.00				**-162,365.40**	**0.00**
08SEP17	S 25 PD08DEC17	11930.00	10596.37	USD	200,044.50	
	NET -25 AVG SOLD 11930.00				**200,044.50**	**0.00**
19SEP17	B 10 PD19DEC17	10985.00	10606.11	USD	-22,733.40	
19SEP17	B 15 PD19DEC17	10980.00	10606.11	USD	-33,650.10	
	NET +25 AVG BGHT 10982.00				**-56,383.50**	**0.00**
27SEP17	S 24 PD27DEC17	10345.00	10613.58	USD	-38,675.52	
27SEP17	S 1 PD27DEC17	10345.00	10613.58	USD	-1,611.48	
	NET -25 AVG SOLD 10345.00				**-40,287.00**	**0.00**
	CMX SILVER 5,000 CMX					
30AUG17	B 2 DEC17	1749.0	1665.0	USD	-8,400.00	
31AUG17	B 20 DEC17	1742.0	1665.0	USD	-77,000.00	
	NET +22 AVG BGHT 1742.6				**-85,400.00**	**0.00**
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
25SEP17	S 6 PD02NOV17	3101.98	3273.50	USD	-25,728.00	
25SEP17	S 5 PD02NOV17	3101.73	3273.50	USD	-21,471.25	
25SEP17	S 5 PD02NOV17	3100.98	3273.50	USD	-21,565.00	
	NET -16 AVG SOLD 3101.59				**-68,764.25**	**0.00**
16AUG17	B 10 PD16NOV17	3019.50	3267.50	USD	62,000.00	
	NET +10 AVG BGHT 3019.50				**62,000.00**	**0.00**
17AUG17	B 21 PD17NOV17	3087.00	3265.50	USD	93,712.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0089B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
17AUG17	B 9 PD17NOV17	3089.00	3265.50	USD	39,712.50	
	NET +30 AVG BGHT 3087.60				**133,425.00**	**0.00**
25AUG17	B 10 PD24NOV17	3063.70	3264.71	USD	50,252.50	
	NET +10 AVG BGHT 3063.70				**50,252.50**	**0.00**
08SEP17	S 25 PD08DEC17	3087.00	3266.21	USD	-112,006.25	
	NET -25 AVG SOLD 3087.00				**-112,006.25**	**0.00**
19SEP17	B 5 PD19DEC17	3072.50	3267.39	USD	24,361.25	
19SEP17	B 20 PD19DEC17	3075.50	3267.39	USD	95,945.00	
	NET +25 AVG BGHT 3074.90				**120,306.25**	**0.00**
25SEP17	S 2 PD27DEC17	3080.50	3264.00	USD	-9,175.00	
25SEP17	S 2 PD27DEC17	3081.00	3264.00	USD	-9,150.00	
25SEP17	S 1 PD27DEC17	3082.00	3264.00	USD	-4,550.00	
25SEP17	S 1 PD27DEC17	3083.50	3264.00	USD	-4,512.50	
25SEP17	S 4 PD27DEC17	3082.50	3264.00	USD	-18,150.00	
25SEP17	S 2 PD27DEC17	3095.00	3264.00	USD	-8,450.00	
25SEP17	S 2 PD27DEC17	3096.00	3264.00	USD	-8,400.00	
25SEP17	S 2 PD27DEC17	3105.00	3264.00	USD	-7,950.00	
25SEP17	B 6 PD27DEC17	3094.66	3264.00	USD	25,401.00	
25SEP17	B 5 PD27DEC17	3094.41	3264.00	USD	21,198.75	
25SEP17	B 5 PD27DEC17	3093.66	3264.00	USD	21,292.50	
27SEP17	S 25 PD27DEC17	3112.50	3264.00	USD	-94,687.50	
	NET -25 AVG BGHT 3094.27 SOLD 3103.00				**-97,132.75**	**0.00**

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	-1,286,675.00
LME Copper Grade A 25	FUTURES	-10,150.00
CMX Copper	FUTURES	-9,560,787.50
ICE Cotton No.2	FUTURES	-66,960.00
CMX Gold	FUTURES	-2,023,840.00
LME Lead 25 tonnes. This is an	FUTURES	-1,548,187.50
LME Nickel 6 tonnes. This is	FUTURES	-1,650,210.00
CMX Silver	FUTURES	-1,916,900.00
LME Zinc 25 tonnes. This is	FUTURES	-645,434.50

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0089B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options			Amount	Profit / Loss	**Total Amount**	**Total P&L**
COPR	- Futures	USD	-151,675.00	-151,675.00		
COTT	- Futures	USD	560.00	560.00		
GOLD	- Futures	USD	15,520.00	15,520.00		
SILV	- Futures	USD	-85,400.00	-85,400.00	**-220,995.00**	**-220,995.00**
Unrealised Profits & Losses - Forwards			**Amount**	**Profit / Loss**	**Total Amount**	**Total P&L**
ALUL	- Futures	USD	33,521.25	33,521.25		
COPL	- Futures	USD	-10,297.00	-10,297.00		
LEAD	- Futures	USD	68,298.75	68,298.75		
NKL	- Futures	USD	-66,463.80	-66,463.80		
ZINC	- Futures	USD	88,080.50	88,080.50	**113,139.70**	**113,139.70**

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		341,075.58	341,075.58
Variation Margins	- Futures	-220,995.00	-220,995.00
	- Options	0.00	0.00
TOTAL EQUITY		**120,080.58**	**120,080.58**
Initial Margins		-959,722.30	-959,722.30
Excess/(Shortage)		**-839,641.72**	**-839,641.72**
FOR INFORMATION ONLY			
Forward Unrealised P&L		113,139.70	113,139.70
Net Liquidating Value		**233,220.28**	**233,220.28**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0089C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-680.00	-680.00
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**-680.00**	**-680.00**
Initial Margins		0.00	0.00
Excess/(Shortage)		**-680.00**	**-680.00**
FOR INFORMATION ONLY			
Net Liquidating Value		**-680.00**	**-680.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0089R

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	CMX GOLD 100 CMX					
29AUG17	S 4 DEC17	1329.4	1274.6	USD	21,920.00	
29AUG17	S 3 DEC17	1329.5	1274.6	USD	16,470.00	
05SEP17	S 4 DEC17	1339.3	1274.6	USD	25,880.00	
05SEP17	S 1 DEC17	1336.9	1274.6	USD	6,230.00	
05SEP17	S 1 DEC17	1336.5	1274.6	USD	6,190.00	
25SEP17	S 1 DEC17	1312.3	1274.6	USD	3,770.00	
25SEP17	S 1 DEC17	1312.8	1274.6	USD	3,820.00	
28SEP17	S 1 DEC17	1288.9	1274.6	USD	1,430.00	
28SEP17	S 1 DEC17	1288.8	1274.6	USD	1,420.00	
28SEP17	S 1 DEC17	1289.1	1274.6	USD	1,450.00	
28SEP17	S 1 DEC17	1288.2	1274.6	USD	1,360.00	
28SEP17	S 1 DEC17	1288.7	1274.6	USD	1,410.00	
	NET -20 AVG SOLD 1320.3				**91,350.00**	**0.00**
	CMX SILVER 5,000 CMX					
30AUG17	B 2 DEC17	1746.0	1665.0	USD	-8,100.00	
08SEP17	B 5 DEC17	1811.0	1665.0	USD	-36,500.00	
11SEP17	B 5 DEC17	1789.0	1665.0	USD	-31,000.00	
11SEP17	B 1 DEC17	1788.0	1665.0	USD	-6,150.00	
13SEP17	B 10 DEC17	1788.0	1665.0	USD	-61,500.00	
15SEP17	B 2 DEC17	1785.5	1665.0	USD	-12,050.00	
18SEP17	B 4 DEC17	1751.0	1665.0	USD	-17,200.00	
18SEP17	B 2 DEC17	1751.5	1665.0	USD	-8,650.00	
18SEP17	B 6 DEC17	1750.0	1665.0	USD	-25,500.00	
18SEP17	B 3 DEC17	1750.5	1665.0	USD	-12,825.00	
	NET +40 AVG BGHT 1774.7				**-219,475.00**	**0.00**
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
25SEP17	S 6 PD02NOV17	3093.73	3273.50	USD	-26,965.50	
	NET -6 AVG SOLD 3093.73				**-26,965.50**	**0.00**
25SEP17	S 1 PD27DEC17	3095.50	3264.00	USD	-4,212.50	
25SEP17	S 1 PD27DEC17	3097.50	3264.00	USD	-4,162.50	
25SEP17	S 1 PD27DEC17	3095.00	3264.00	USD	-4,225.00	
25SEP17	B 6 PD27DEC17	3086.91	3264.00	USD	26,563.50	
26SEP17	B 3 PD27DEC17	3124.00	3264.00	USD	10,500.00	
	NET +6 AVG BGHT 3099.27 SOLD 3096.00				**24,463.50**	**0.00**

OPEN POSITION VALUE

		USD
CMX Gold	FUTURES	2,640,550.00
CMX Silver	FUTURES	-3,549,475.00
LME Zinc 25 tonnes. This is	FUTURES	-1,077.00

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0089R

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-271,193.61	-271,193.61
Variation Margins	- Futures	-128,125.00	-128,125.00
	- Options	0.00	0.00
TOTAL EQUITY		**-399,318.61**	**-399,318.61**
Initial Margins		-177,248.00	-177,248.00
Excess/(Shortage)		**-576,566.61**	**-576,566.61**
FOR INFORMATION ONLY			
Forward Unrealised P&L		-2,502.00	-2,502.00
Net Liquidating Value		**-401,820.61**	**-401,820.61**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED
SAP0089
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPG0089**

3rd Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

GROUP FINANCIAL SUMMARY

		USD (1.00000)	NET USD (1.00000)
CASH BALANCE		69,201.97	69,201.97
Variation Margins	- Futures	-349,120.00	-349,120.00
	- Options	0.00	0.00
TOTAL EQUITY		**-279,918.03**	**-279,918.03**
Initial Margins		-1,107,872.30	-1,107,872.30
Excess/(Shortage)		**-1,387,790.33**	**-1,387,790.33**
FOR INFORMATION ONLY			
Forward Unrealised P&L		110,637.70	110,637.70
Net Liquidating Value		**-169,280.33**	**-169,280.33**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0090

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		472,100.42	472,100.42
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**472,100.42**	**472,100.42**
Initial Margins		0.00	0.00
Excess/(Shortage)		**472,100.42**	**472,100.42**
FOR INFORMATION ONLY			
Net Liquidating Value		**472,100.42**	**472,100.42**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0090A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
09AUG17	S 5 PD09NOV17	10755.00	10570.21	USD	5,543.70	
18SEP17	B 5 PD09NOV17	11146.01	10570.21	USD	-17,274.00	
	NET 0 AVG BGHT 11146.01 SOLD 10755.00				**-11,730.30**	**0.00**

OPEN POSITION VALUE

		USD
LME Nickel 6 tonnes. This is	FUTURES	-11,730.30

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards			Amount	Profit / Loss	**Total Amount**	**Total P&L**
NKL	- Futures	USD	-11,730.30	-11,730.30	**-11,730.30**	**-11,730.30**

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		26,813.04	26,813.04
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**26,813.04**	**26,813.04**
Initial Margins		-11,730.30	-11,730.30
Excess/(Shortage)		**15,082.74**	**15,082.74**
FOR INFORMATION ONLY			
Forward Unrealised P&L		-11,730.30	-11,730.30
Net Liquidating Value		**15,082.74**	**15,082.74**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED
SAP0090
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPG0090**

3rd Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

GROUP FINANCIAL SUMMARY

		USD (1.00000)	NET USD (1.00000)
CASH BALANCE		498,913.46	498,913.46
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**498,913.46**	**498,913.46**
Initial Margins		-11,730.30	-11,730.30
Excess/(Shortage)		**487,183.16**	**487,183.16**
FOR INFORMATION ONLY			
Forward Unrealised P&L		-11,730.30	-11,730.30
Net Liquidating Value		**487,183.16**	**487,183.16**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		6,986,348.17	6,986,348.17
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**6,986,348.17**	**6,986,348.17**
Initial Margins		0.00	0.00
Excess/(Shortage)		**6,986,348.17**	**6,986,348.17**
FOR INFORMATION ONLY			
Net Liquidating Value		**6,986,348.17**	**6,986,348.17**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.							
04JUL17	S	1	PD04OCT17	1923.00	2113.92	USD	-4,773.00	
04JUL17	B	3	PD04OCT17	1915.50	2113.92	USD	14,881.50	
04JUL17	S	1	PD04OCT17	1915.50	2113.92	USD	-4,960.50	
04JUL17	B	1	PD04OCT17	1916.00	2113.92	USD	4,948.00	
04JUL17	B	1	PD04OCT17	1916.50	2113.92	USD	4,935.50	
04JUL17	S	1	PD04OCT17	1928.00	2113.92	USD	-4,648.00	
12JUL17	S	2	PD04OCT17	1887.86	2113.92	USD	-11,303.00	
	NET	**0**	**AVG BGHT 1915.80**	**SOLD 1908.44**			**-919.50**	**0.00**
05JUL17	S	1	PD05OCT17	1929.00	2114.25	USD	-4,631.25	
05JUL17	S	1	PD05OCT17	1932.50	2114.25	USD	-4,543.75	
05JUL17	B	1	PD05OCT17	1920.00	2114.25	USD	4,856.25	
05JUL17	B	1	PD05OCT17	1920.50	2114.25	USD	4,843.75	
	NET	**0**	**AVG BGHT 1920.25**	**SOLD 1930.75**			**525.00**	**0.00**
06JUL17	B	1	PD06OCT17	1927.00	2114.58	USD	4,689.50	
06JUL17	S	1	PD06OCT17	1943.50	2114.58	USD	-4,277.00	
06JUL17	B	1	PD06OCT17	1940.00	2114.58	USD	4,364.50	
06JUL17	S	1	PD06OCT17	1940.00	2114.58	USD	-4,364.50	
06JUL17	S	1	PD06OCT17	1940.50	2114.58	USD	-4,352.00	
06JUL17	S	1	PD06OCT17	1942.00	2114.58	USD	-4,314.50	
06JUL17	S	1	PD06OCT17	1941.50	2114.58	USD	-4,327.00	
06JUL17	S	1	PD06OCT17	1942.50	2114.58	USD	-4,302.00	
06JUL17	B	1	PD06OCT17	1944.50	2114.58	USD	4,252.00	
06JUL17	S	1	PD06OCT17	1944.50	2114.58	USD	-4,252.00	
06JUL17	B	1	PD06OCT17	1936.00	2114.58	USD	4,464.50	
06JUL17	S	1	PD06OCT17	1936.50	2114.58	USD	-4,452.00	
06JUL17	B	2	PD06OCT17	1936.50	2114.58	USD	8,904.00	
06JUL17	B	1	PD06OCT17	1937.50	2114.58	USD	4,427.00	
06JUL17	B	1	PD06OCT17	1938.50	2114.58	USD	4,402.00	
06JUL17	B	1	PD06OCT17	1935.50	2114.58	USD	4,477.00	
06JUL17	S	2	PD06OCT17	1945.50	2114.58	USD	-8,454.00	
07JUL17	B	1	PD06OCT17	1939.00	2114.58	USD	4,389.50	
07JUL17	B	1	PD06OCT17	1940.00	2114.58	USD	4,364.50	
07JUL17	B	1	PD06OCT17	1941.50	2114.58	USD	4,327.00	
07JUL17	S	1	PD06OCT17	1939.00	2114.58	USD	-4,389.50	
07JUL17	B	1	PD06OCT17	1936.50	2114.58	USD	4,452.00	
07JUL17	B	2	PD06OCT17	1933.00	2114.58	USD	9,079.00	
07JUL17	S	1	PD06OCT17	1935.50	2114.58	USD	-4,477.00	
11JUL17	S	3	PD06OCT17	1899.32	2114.58	USD	-16,144.50	
	NET	**0**	**AVG BGHT 1937.00**	**SOLD 1932.96**			**-1,513.50**	**0.00**
10JUL17	S	1	PD10OCT17	1932.00	2115.92	USD	-4,598.00	
10JUL17	B	2	PD10OCT17	1932.00	2115.92	USD	9,196.00	
10JUL17	B	1	PD10OCT17	1913.00	2115.92	USD	5,073.00	
10JUL17	B	1	PD10OCT17	1913.50	2115.92	USD	5,060.50	
10JUL17	B	1	PD10OCT17	1904.00	2115.92	USD	5,298.00	
10JUL17	B	1	PD10OCT17	1904.50	2115.92	USD	5,285.50	
10JUL17	B	2	PD10OCT17	1902.50	2115.92	USD	10,671.00	
10JUL17	B	1	PD10OCT17	1903.00	2115.92	USD	5,323.00	
10JUL17	B	1	PD10OCT17	1898.50	2115.92	USD	5,435.50	
11JUL17	S	3	PD10OCT17	1899.70	2115.92	USD	-16,216.50	
12JUL17	S	3	PD10OCT17	1888.55	2115.92	USD	-17,052.75	
14JUL17	S	3	PD10OCT17	1926.60	2115.92	USD	-14,199.00	
	NET	**0**	**AVG BGHT 1910.55**	**SOLD 1907.66**			**-723.75**	**0.00**
11JUL17	S	1	PD11OCT17	1905.00	2116.25	USD	-5,281.25	
11JUL17	B	1	PD11OCT17	1888.00	2116.25	USD	5,706.25	
11JUL17	B	1	PD11OCT17	1891.50	2116.25	USD	5,618.75	
11JUL17	S	1	PD11OCT17	1904.50	2116.25	USD	-5,293.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.							
	NET	**0**	**AVG BGHT 1889.75 SOLD 1904.75**				**750.00**	**0.00**
12JUL17	B	3	PD12OCT17	1898.50	2116.25	USD	16,331.25	
12JUL17	B	1	PD12OCT17	1896.50	2116.25	USD	5,493.75	
12JUL17	B	1	PD12OCT17	1897.50	2116.25	USD	5,468.75	
12JUL17	B	1	PD12OCT17	1895.00	2116.25	USD	5,531.25	
12JUL17	B	5	PD12OCT17	1893.50	2116.25	USD	27,843.75	
12JUL17	B	1	PD12OCT17	1884.50	2116.25	USD	5,793.75	
12JUL17	B	1	PD12OCT17	1886.00	2116.25	USD	5,756.25	
12JUL17	B	1	PD12OCT17	1888.00	2116.25	USD	5,706.25	
12JUL17	B	1	PD12OCT17	1888.50	2116.25	USD	5,693.75	
12JUL17	B	2	PD12OCT17	1887.50	2116.25	USD	11,437.50	
12JUL17	S	1	PD12OCT17	1893.50	2116.25	USD	-5,568.75	
12JUL17	B	1	PD12OCT17	1882.50	2116.25	USD	5,843.75	
12JUL17	B	1	PD12OCT17	1883.50	2116.25	USD	5,818.75	
12JUL17	S	5	PD12OCT17	1888.80	2116.25	USD	-28,431.25	
14JUL17	S	12	PD12OCT17	1926.87	2116.25	USD	-56,814.00	
18JUL17	S	1	PD12OCT17	1929.54	2116.25	USD	-4,667.75	
	NET	**0**	**AVG BGHT 1891.58 SOLD 1915.24**				**11,237.00**	**0.00**
13JUL17	S	2	PD13OCT17	1939.00	2116.25	USD	-8,862.50	
13JUL17	S	2	PD13OCT17	1932.50	2116.25	USD	-9,187.50	
13JUL17	S	2	PD13OCT17	1927.50	2116.25	USD	-9,437.50	
13JUL17	S	1	PD13OCT17	1930.50	2116.25	USD	-4,643.75	
13JUL17	S	1	PD13OCT17	1931.50	2116.25	USD	-4,618.75	
13JUL17	S	2	PD13OCT17	1933.00	2116.25	USD	-9,162.50	
13JUL17	S	2	PD13OCT17	1932.00	2116.25	USD	-9,212.50	
13JUL17	S	3	PD13OCT17	1931.00	2116.25	USD	-13,893.75	
13JUL17	S	3	PD13OCT17	1929.00	2116.25	USD	-14,043.75	
13JUL17	B	3	PD13OCT17	1923.20	2116.25	USD	14,478.75	
14JUL17	B	2	PD13OCT17	1920.50	2116.25	USD	9,787.50	
14JUL17	B	15	PD13OCT17	1927.20	2116.25	USD	70,893.75	
18JUL17	S	2	PD13OCT17	1929.95	2116.25	USD	-9,315.00	
	NET	**0**	**AVG BGHT 1925.93 SOLD 1931.50**				**2,782.50**	**0.00**
17JUL17	S	1	PD17OCT17	1938.00	2116.25	USD	-4,456.25	
17JUL17	S	1	PD17OCT17	1939.00	2116.25	USD	-4,431.25	
17JUL17	S	1	PD17OCT17	1940.00	2116.25	USD	-4,406.25	
17JUL17	B	1	PD17OCT17	1899.50	2116.25	USD	5,418.75	
18JUL17	B	2	PD17OCT17	1930.99	2116.25	USD	9,263.00	
	NET	**0**	**AVG BGHT 1920.49 SOLD 1939.00**				**1,388.00**	**0.00**
18JUL17	B	1	PD18OCT17	1900.50	2116.25	USD	5,393.75	
18JUL17	B	1	PD18OCT17	1904.50	2116.25	USD	5,293.75	
18JUL17	B	1	PD18OCT17	1905.00	2116.25	USD	5,281.25	
18JUL17	B	1	PD18OCT17	1906.00	2116.25	USD	5,256.25	
18JUL17	B	1	PD18OCT17	1899.50	2116.25	USD	5,418.75	
18JUL17	B	1	PD18OCT17	1900.00	2116.25	USD	5,406.25	
18JUL17	S	6	PD18OCT17	1930.80	2116.25	USD	-27,817.50	
	NET	**0**	**AVG BGHT 1902.58 SOLD 1930.80**				**4,232.50**	**0.00**
19JUL17	B	1	PD19OCT17	1918.50	2116.64	USD	4,953.50	
19JUL17	B	1	PD19OCT17	1916.50	2116.64	USD	5,003.50	
24JUL17	S	2	PD19OCT17	1911.58	2116.64	USD	-10,253.00	
	NET	**0**	**AVG BGHT 1917.50 SOLD 1911.58**				**-296.00**	**0.00**
21JUL17	S	1	PD20OCT17	1919.00	2117.03	USD	-4,950.75	
21JUL17	S	2	PD20OCT17	1916.50	2117.03	USD	-10,026.50	
24JUL17	B	2	PD20OCT17	1911.87	2117.03	USD	10,258.00	
26JUL17	B	1	PD20OCT17	1940.91	2117.03	USD	4,403.00	
	NET	**0**	**AVG BGHT 1921.55 SOLD 1917.33**				**-316.25**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
24JUL17	S 1 PD24OCT17	1922.00	2118.58	USD	-4,914.50	
24JUL17	S 1 PD24OCT17	1923.00	2118.58	USD	-4,889.50	
24JUL17	S 1 PD24OCT17	1916.00	2118.58	USD	-5,064.50	
24JUL17	S 3 PD24OCT17	1915.00	2118.58	USD	-15,268.50	
25JUL17	B 5 PD24OCT17	1929.99	2118.58	USD	23,573.75	
26JUL17	B 1 PD24OCT17	1941.77	2118.58	USD	4,420.25	
	NET 0 AVG BGHT 1931.95 SOLD 1917.67				**-2,143.00**	**0.00**
25JUL17	S 1 PD25OCT17	1918.50	2118.97	USD	-5,011.75	
25JUL17	S 2 PD25OCT17	1919.00	2118.97	USD	-9,998.50	
25JUL17	S 1 PD25OCT17	1921.00	2118.97	USD	-4,949.25	
25JUL17	S 1 PD25OCT17	1922.00	2118.97	USD	-4,924.25	
25JUL17	S 2 PD25OCT17	1923.00	2118.97	USD	-9,798.50	
25JUL17	S 1 PD25OCT17	1923.50	2118.97	USD	-4,886.75	
25JUL17	S 1 PD25OCT17	1924.00	2118.97	USD	-4,874.25	
25JUL17	S 1 PD25OCT17	1922.50	2118.97	USD	-4,911.75	
25JUL17	S 1 PD25OCT17	1945.50	2118.97	USD	-4,336.75	
26JUL17	B 11 PD25OCT17	1941.99	2118.97	USD	48,669.50	
	NET 0 AVG BGHT 1941.99 SOLD 1923.73				**-5,022.25**	**0.00**
26JUL17	S 1 PD26OCT17	1957.50	2119.36	USD	-4,046.50	
26JUL17	S 1 PD26OCT17	1940.50	2119.36	USD	-4,471.50	
26JUL17	S 2 PD26OCT17	1954.50	2119.36	USD	-8,243.00	
26JUL17	S 1 PD26OCT17	1956.00	2119.36	USD	-4,084.00	
26JUL17	S 1 PD26OCT17	1956.50	2119.36	USD	-4,071.50	
26JUL17	S 1 PD26OCT17	1957.00	2119.36	USD	-4,059.00	
26JUL17	S 1 PD26OCT17	1962.50	2119.36	USD	-3,921.50	
26JUL17	B 1 PD26OCT17	1943.50	2119.36	USD	4,396.50	
26JUL17	B 1 PD26OCT17	1937.50	2119.36	USD	4,546.50	
26JUL17	B 5 PD26OCT17	1942.20	2119.36	USD	22,145.00	
31JUL17	B 1 PD26OCT17	1916.44	2119.36	USD	5,073.00	
	NET 0 AVG BGHT 1938.56 SOLD 1954.88				**3,264.00**	**0.00**
27JUL17	S 1 PD27OCT17	1944.50	2119.75	USD	-4,381.25	
27JUL17	S 1 PD27OCT17	1942.00	2119.75	USD	-4,443.75	
27JUL17	S 1 PD27OCT17	1947.50	2119.75	USD	-4,306.25	
27JUL17	S 1 PD27OCT17	1948.00	2119.75	USD	-4,293.75	
27JUL17	S 1 PD27OCT17	1949.50	2119.75	USD	-4,256.25	
27JUL17	S 1 PD27OCT17	1948.50	2119.75	USD	-4,281.25	
27JUL17	S 3 PD27OCT17	1949.00	2119.75	USD	-12,806.25	
27JUL17	S 1 PD27OCT17	1950.00	2119.75	USD	-4,243.75	
27JUL17	S 2 PD27OCT17	1951.00	2119.75	USD	-8,437.50	
27JUL17	S 1 PD27OCT17	1952.00	2119.75	USD	-4,193.75	
27JUL17	B 1 PD27OCT17	1941.50	2119.75	USD	4,456.25	
27JUL17	B 1 PD27OCT17	1942.50	2119.75	USD	4,431.25	
27JUL17	B 1 PD27OCT17	1944.00	2119.75	USD	4,393.75	
27JUL17	B 1 PD27OCT17	1944.50	2119.75	USD	4,381.25	
27JUL17	B 1 PD27OCT17	1946.00	2119.75	USD	4,343.75	
27JUL17	B 1 PD27OCT17	1938.00	2119.75	USD	4,543.75	
27JUL17	B 1 PD27OCT17	1938.50	2119.75	USD	4,531.25	
27JUL17	B 1 PD27OCT17	1940.00	2119.75	USD	4,493.75	
27JUL17	B 5 PD27OCT17	1937.50	2119.75	USD	22,781.25	
28JUL17	B 1 PD27OCT17	1928.50	2119.75	USD	4,781.25	
28JUL17	B 1 PD27OCT17	1927.50	2119.75	USD	4,806.25	
28JUL17	B 2 PD27OCT17	1928.00	2119.75	USD	9,587.50	
28JUL17	B 1 PD27OCT17	1925.50	2119.75	USD	4,856.25	
28JUL17	B 1 PD27OCT17	1925.00	2119.75	USD	4,868.75	
28JUL17	B 1 PD27OCT17	1916.50	2119.75	USD	5,081.25	
28JUL17	B 1 PD27OCT17	1916.00	2119.75	USD	5,093.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.							
28JUL17	B	2	PD27OCT17	1915.00	2119.75	USD	10,237.50	
28JUL17	B	1	PD27OCT17	1909.50	2119.75	USD	5,256.25	
28JUL17	B	2	PD27OCT17	1913.00	2119.75	USD	10,337.50	
28JUL17	B	1	PD27OCT17	1910.50	2119.75	USD	5,231.25	
28JUL17	S	10	PD27OCT17	1906.80	2119.75	USD	-53,237.50	
31JUL17	S	1	PD27OCT17	1916.55	2119.75	USD	-5,080.00	
03AUG17	S	3	PD27OCT17	1914.25	2119.75	USD	-15,412.50	
	NET	**0**	**AVG BGHT 1929.39 SOLD 1928.09**				**-880.00**	**0.00**
31JUL17	B	1	PD31OCT17	1917.50	2117.75	USD	5,006.25	
31JUL17	S	2	PD31OCT17	1917.00	2117.75	USD	-10,037.50	
31JUL17	S	2	PD31OCT17	1917.50	2117.75	USD	-10,012.50	
31JUL17	S	1	PD31OCT17	1919.00	2117.75	USD	-4,968.75	
31JUL17	B	1	PD31OCT17	1920.50	2117.75	USD	4,931.25	
31JUL17	B	1	PD31OCT17	1919.00	2117.75	USD	4,968.75	
31JUL17	B	2	PD31OCT17	1917.00	2117.75	USD	10,037.50	
	NET	**0**	**AVG BGHT 1918.20 SOLD 1917.60**				**-75.00**	**0.00**
01AUG17	S	1	PD01NOV17	1909.00	2117.42	USD	-5,210.50	
01AUG17	S	1	PD01NOV17	1910.00	2117.42	USD	-5,185.50	
01AUG17	S	1	PD01NOV17	1909.50	2117.42	USD	-5,198.00	
01AUG17	B	1	PD01NOV17	1906.50	2117.42	USD	5,273.00	
01AUG17	B	2	PD01NOV17	1908.00	2117.42	USD	10,471.00	
	NET	**0**	**AVG BGHT 1907.50 SOLD 1909.50**				**150.00**	**0.00**
02AUG17	S	4	PD02NOV17	1914.50	2117.08	USD	-20,258.00	
02AUG17	S	2	PD02NOV17	1915.00	2117.08	USD	-10,104.00	
02AUG17	S	1	PD02NOV17	1927.50	2117.08	USD	-4,739.50	
02AUG17	S	1	PD02NOV17	1928.00	2117.08	USD	-4,727.00	
02AUG17	B	1	PD02NOV17	1920.00	2117.08	USD	4,927.00	
02AUG17	B	1	PD02NOV17	1920.50	2117.08	USD	4,914.50	
02AUG17	S	1	PD02NOV17	1932.00	2117.08	USD	-4,627.00	
02AUG17	S	1	PD02NOV17	1934.00	2117.08	USD	-4,577.00	
02AUG17	S	1	PD02NOV17	1935.50	2117.08	USD	-4,539.50	
02AUG17	S	1	PD02NOV17	1920.50	2117.08	USD	-4,914.50	
02AUG17	S	1	PD02NOV17	1924.00	2117.08	USD	-4,827.00	
02AUG17	S	1	PD02NOV17	1925.50	2117.08	USD	-4,789.50	
02AUG17	B	1	PD02NOV17	1925.00	2117.08	USD	4,802.00	
02AUG17	S	2	PD02NOV17	1915.50	2117.08	USD	-10,079.00	
02AUG17	S	1	PD02NOV17	1923.00	2117.08	USD	-4,852.00	
02AUG17	B	1	PD02NOV17	1923.50	2117.08	USD	4,839.50	
03AUG17	B	3	PD02NOV17	1915.95	2117.08	USD	15,084.75	
04AUG17	B	2	PD02NOV17	1910.23	2117.08	USD	10,342.50	
07AUG17	B	4	PD02NOV17	1962.82	2117.08	USD	15,426.00	
08AUG17	B	4	PD02NOV17	2029.00	2117.08	USD	8,808.00	
	NET	**0**	**AVG BGHT 1954.39 SOLD 1921.71**				**-13,889.75**	**0.00**
03AUG17	B	1	PD03NOV17	1927.50	2116.75	USD	4,731.25	
03AUG17	B	1	PD03NOV17	1910.50	2116.75	USD	5,156.25	
03AUG17	B	1	PD03NOV17	1911.00	2116.75	USD	5,143.75	
03AUG17	S	1	PD03NOV17	1920.50	2116.75	USD	-4,906.25	
04AUG17	B	1	PD03NOV17	1912.50	2116.75	USD	5,106.25	
04AUG17	B	1	PD03NOV17	1904.50	2116.75	USD	5,306.25	
04AUG17	B	2	PD03NOV17	1903.50	2116.75	USD	10,662.50	
04AUG17	S	2	PD03NOV17	1910.30	2116.75	USD	-10,322.50	
07AUG17	S	4	PD03NOV17	1962.85	2116.75	USD	-15,390.00	
	NET	**0**	**AVG BGHT 1910.43 SOLD 1941.79**				**5,487.50**	**0.00**
07AUG17	S	1	PD07NOV17	1921.50	2116.08	USD	-4,864.50	
07AUG17	S	2	PD07NOV17	1929.00	2116.08	USD	-9,354.00	
07AUG17	S	1	PD07NOV17	1930.50	2116.08	USD	-4,639.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.							
07AUG17	S	1	PD07NOV17	1934.50	2116.08	USD	-4,539.50	
07AUG17	S	2	PD07NOV17	1937.50	2116.08	USD	-8,929.00	
07AUG17	S	1	PD07NOV17	1938.00	2116.08	USD	-4,452.00	
07AUG17	S	2	PD07NOV17	1947.00	2116.08	USD	-8,454.00	
07AUG17	S	1	PD07NOV17	1947.50	2116.08	USD	-4,214.50	
07AUG17	S	2	PD07NOV17	1948.00	2116.08	USD	-8,404.00	
07AUG17	S	1	PD07NOV17	1948.50	2116.08	USD	-4,189.50	
07AUG17	S	1	PD07NOV17	1959.50	2116.08	USD	-3,914.50	
07AUG17	S	2	PD07NOV17	1960.00	2116.08	USD	-7,804.00	
07AUG17	S	1	PD07NOV17	1964.00	2116.08	USD	-3,802.00	
08AUG17	B	18	PD07NOV17	2030.00	2116.08	USD	38,736.00	
	NET	**0**	**AVG BGHT 2030.00**	**SOLD 1943.72**			**-38,825.00**	**0.00**
08AUG17	S	1	PD08NOV17	1985.00	2115.75	USD	-3,268.75	
08AUG17	S	1	PD08NOV17	1988.00	2115.75	USD	-3,193.75	
08AUG17	S	1	PD08NOV17	1989.00	2115.75	USD	-3,168.75	
08AUG17	S	1	PD08NOV17	1998.50	2115.75	USD	-2,931.25	
08AUG17	S	1	PD08NOV17	1997.50	2115.75	USD	-2,956.25	
08AUG17	S	1	PD08NOV17	1991.50	2115.75	USD	-3,106.25	
08AUG17	S	1	PD08NOV17	1992.50	2115.75	USD	-3,081.25	
08AUG17	S	1	PD08NOV17	1991.00	2115.75	USD	-3,118.75	
08AUG17	S	2	PD08NOV17	1994.50	2115.75	USD	-6,062.50	
08AUG17	S	1	PD08NOV17	2005.00	2115.75	USD	-2,768.75	
08AUG17	S	1	PD08NOV17	2005.50	2115.75	USD	-2,756.25	
08AUG17	S	1	PD08NOV17	2004.50	2115.75	USD	-2,781.25	
08AUG17	S	1	PD08NOV17	2006.00	2115.75	USD	-2,743.75	
08AUG17	S	1	PD08NOV17	2008.50	2115.75	USD	-2,681.25	
08AUG17	S	1	PD08NOV17	2010.50	2115.75	USD	-2,631.25	
08AUG17	S	1	PD08NOV17	2007.50	2115.75	USD	-2,706.25	
08AUG17	S	1	PD08NOV17	2015.00	2115.75	USD	-2,518.75	
08AUG17	S	1	PD08NOV17	2023.00	2115.75	USD	-2,318.75	
08AUG17	S	2	PD08NOV17	1995.50	2115.75	USD	-6,012.50	
08AUG17	S	2	PD08NOV17	2009.50	2115.75	USD	-5,312.50	
08AUG17	S	2	PD08NOV17	2028.50	2115.75	USD	-4,362.50	
08AUG17	B	11	PD08NOV17	2030.20	2115.75	USD	23,526.25	
09AUG17	B	13	PD08NOV17	2027.52	2115.75	USD	28,674.75	
14AUG17	B	1	PD08NOV17	2022.10	2115.75	USD	2,341.25	
	NET	**0**	**AVG BGHT 2028.48**	**SOLD 2002.98**			**-15,939.00**	**0.00**
09AUG17	B	2	PD09NOV17	2031.50	2116.11	USD	4,230.50	
09AUG17	S	2	PD09NOV17	2030.50	2116.11	USD	-4,280.50	
09AUG17	B	2	PD09NOV17	2026.00	2116.11	USD	4,505.50	
09AUG17	S	1	PD09NOV17	2033.00	2116.11	USD	-2,077.75	
09AUG17	S	2	PD09NOV17	2031.00	2116.11	USD	-4,255.50	
09AUG17	S	1	PD09NOV17	2032.00	2116.11	USD	-2,102.75	
09AUG17	S	1	PD09NOV17	2033.50	2116.11	USD	-2,065.25	
14AUG17	B	3	PD09NOV17	2022.29	2116.11	USD	7,036.50	
	NET	**0**	**AVG BGHT 2025.98**	**SOLD 2031.64**			**990.75**	**0.00**
10AUG17	S	1	PD10NOV17	2039.50	2116.46	USD	-1,924.00	
10AUG17	S	1	PD10NOV17	2040.00	2116.46	USD	-1,911.50	
10AUG17	S	2	PD10NOV17	2042.50	2116.46	USD	-3,698.00	
10AUG17	B	2	PD10NOV17	2040.00	2116.46	USD	3,823.00	
10AUG17	B	1	PD10NOV17	2034.50	2116.46	USD	2,049.00	
10AUG17	B	1	PD10NOV17	2035.00	2116.46	USD	2,036.50	
11AUG17	B	1	PD10NOV17	2022.50	2116.46	USD	2,349.00	
11AUG17	B	2	PD10NOV17	2023.00	2116.46	USD	4,673.00	
11AUG17	B	1	PD10NOV17	2021.00	2116.46	USD	2,386.50	
11AUG17	S	1	PD10NOV17	2044.50	2116.46	USD	-1,799.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
11AUG17	S 2 PD10NOV17	2043.00	2116.46	USD	-3,673.00	
11AUG17	S 1 PD10NOV17	2046.50	2116.46	USD	-1,749.00	
	NET 0 AVG BGHT 2029.88 SOLD 2042.69				**2,562.50**	**0.00**
14AUG17	S 1 PD14NOV17	2035.50	2117.89	USD	-2,059.75	
14AUG17	B 1 PD14NOV17	2025.50	2117.89	USD	2,309.75	
14AUG17	B 1 PD14NOV17	2026.50	2117.89	USD	2,284.75	
14AUG17	B 1 PD14NOV17	2027.50	2117.89	USD	2,259.75	
14AUG17	S 1 PD14NOV17	2034.00	2117.89	USD	-2,097.25	
14AUG17	S 2 PD14NOV17	2034.50	2117.89	USD	-4,169.50	
14AUG17	S 1 PD14NOV17	2035.00	2117.89	USD	-2,072.25	
14AUG17	S 1 PD14NOV17	2041.00	2117.89	USD	-1,922.25	
14AUG17	B 1 PD14NOV17	2026.00	2117.89	USD	2,297.25	
14AUG17	B 1 PD14NOV17	2027.00	2117.89	USD	2,272.25	
14AUG17	B 1 PD14NOV17	2028.00	2117.89	USD	2,247.25	
14AUG17	B 1 PD14NOV17	2030.50	2117.89	USD	2,184.75	
14AUG17	B 2 PD14NOV17	2023.20	2117.89	USD	4,734.50	
14AUG17	S 1 PD14NOV17	2024.50	2117.89	USD	-2,334.75	
14AUG17	S 2 PD14NOV17	2025.00	2117.89	USD	-4,644.50	
14AUG17	S 2 PD14NOV17	2023.50	2117.89	USD	-4,719.50	
14AUG17	S 1 PD14NOV17	2024.00	2117.89	USD	-2,347.25	
15AUG17	B 3 PD14NOV17	2049.01	2117.89	USD	5,166.00	
	NET 0 AVG BGHT 2032.04 SOLD 2030.00				**-610.75**	**0.00**
15AUG17	B 4 PD15NOV17	2049.20	2118.25	USD	6,905.00	
16AUG17	S 2 PD15NOV17	2094.05	2118.25	USD	-1,210.00	
18AUG17	S 2 PD15NOV17	2062.05	2118.25	USD	-2,810.00	
	NET 0 AVG BGHT 2049.20 SOLD 2078.05				**2,885.00**	**0.00**
16AUG17	S 1 PD16NOV17	2060.50	2118.52	USD	-1,450.50	
16AUG17	S 1 PD16NOV17	2061.50	2118.52	USD	-1,425.50	
16AUG17	S 2 PD16NOV17	2062.00	2118.52	USD	-2,826.00	
16AUG17	S 1 PD16NOV17	2065.00	2118.52	USD	-1,338.00	
16AUG17	S 2 PD16NOV17	2061.00	2118.52	USD	-2,876.00	
16AUG17	B 1 PD16NOV17	2088.00	2118.52	USD	763.00	
16AUG17	B 1 PD16NOV17	2089.50	2118.52	USD	725.50	
16AUG17	B 1 PD16NOV17	2092.50	2118.52	USD	650.50	
16AUG17	B 8 PD16NOV17	2094.20	2118.52	USD	4,864.00	
18AUG17	S 4 PD16NOV17	2061.55	2118.52	USD	-5,697.00	
	NET 0 AVG BGHT 2093.05 SOLD 2061.75				**-8,610.00**	**0.00**
17AUG17	B 1 PD17NOV17	2082.00	2118.79	USD	919.75	
17AUG17	B 1 PD17NOV17	2080.50	2118.79	USD	957.25	
17AUG17	B 1 PD17NOV17	2074.50	2118.79	USD	1,107.25	
17AUG17	B 1 PD17NOV17	2079.00	2118.79	USD	994.75	
17AUG17	B 1 PD17NOV17	2080.00	2118.79	USD	969.75	
18AUG17	B 1 PD17NOV17	2074.00	2118.79	USD	1,119.75	
18AUG17	B 1 PD17NOV17	2074.50	2118.79	USD	1,107.25	
18AUG17	B 1 PD17NOV17	2086.50	2118.79	USD	807.25	
18AUG17	B 1 PD17NOV17	2084.50	2118.79	USD	857.25	
18AUG17	B 1 PD17NOV17	2088.50	2118.79	USD	757.25	
18AUG17	B 3 PD17NOV17	2088.00	2118.79	USD	2,309.25	
18AUG17	B 1 PD17NOV17	2063.00	2118.79	USD	1,394.75	
18AUG17	S 8 PD17NOV17	2061.80	2118.79	USD	-11,398.00	
22AUG17	S 1 PD17NOV17	2073.96	2118.79	USD	-1,120.75	
23AUG17	S 2 PD17NOV17	2095.91	2118.79	USD	-1,144.00	
25AUG17	S 3 PD17NOV17	2068.05	2118.79	USD	-3,805.50	
	NET 0 AVG BGHT 2080.79 SOLD 2068.88				**-4,166.75**	**0.00**
21AUG17	S 1 PD21NOV17	2096.50	2119.88	USD	-584.50	
22AUG17	B 1 PD21NOV17	2074.99	2119.88	USD	1,122.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
	NET 0 AVG BGHT 2074.99 SOLD 2096.50				**537.75**	**0.00**
22AUG17	B 1 PD22NOV17	2073.00	2120.15	USD	1,178.75	
22AUG17	S 2 PD22NOV17	2078.50	2120.15	USD	-2,082.50	
22AUG17	S 1 PD22NOV17	2081.50	2120.15	USD	-966.25	
22AUG17	S 1 PD22NOV17	2082.50	2120.15	USD	-941.25	
22AUG17	S 1 PD22NOV17	2084.00	2120.15	USD	-903.75	
22AUG17	S 1 PD22NOV17	2083.50	2120.15	USD	-916.25	
22AUG17	B 1 PD22NOV17	2076.50	2120.15	USD	1,091.25	
22AUG17	B 2 PD22NOV17	2078.00	2120.15	USD	2,107.50	
23AUG17	B 2 PD22NOV17	2096.89	2120.15	USD	1,163.00	
	NET 0 AVG BGHT 2083.21 SOLD 2081.42				**-269.50**	**0.00**
23AUG17	B 1 PD24NOV17	2058.50	2120.69	USD	1,554.75	
23AUG17	B 1 PD24NOV17	2059.00	2120.69	USD	1,542.25	
23AUG17	S 1 PD24NOV17	2075.50	2120.69	USD	-1,129.75	
23AUG17	S 1 PD24NOV17	2077.00	2120.69	USD	-1,092.25	
23AUG17	S 1 PD24NOV17	2078.50	2120.69	USD	-1,054.75	
23AUG17	S 1 PD24NOV17	2082.50	2120.69	USD	-954.75	
23AUG17	S 1 PD24NOV17	2089.50	2120.69	USD	-779.75	
23AUG17	S 1 PD24NOV17	2091.50	2120.69	USD	-729.75	
23AUG17	S 1 PD24NOV17	2094.00	2120.69	USD	-667.25	
23AUG17	S 1 PD24NOV17	2097.50	2120.69	USD	-579.75	
23AUG17	S 1 PD24NOV17	2096.50	2120.69	USD	-604.75	
23AUG17	B 2 PD24NOV17	2097.20	2120.69	USD	1,174.50	
24AUG17	B 2 PD24NOV17	2101.00	2120.69	USD	984.50	
24AUG17	B 1 PD24NOV17	2103.50	2120.69	USD	429.75	
24AUG17	B 1 PD24NOV17	2091.50	2120.69	USD	729.75	
24AUG17	B 1 PD24NOV17	2092.50	2120.69	USD	704.75	
24AUG17	B 1 PD24NOV17	2093.50	2120.69	USD	679.75	
24AUG17	S 1 PD24NOV17	2118.50	2120.69	USD	-54.75	
24AUG17	S 1 PD24NOV17	2120.50	2120.69	USD	-4.75	
24AUG17	B 1 PD24NOV17	2100.00	2120.69	USD	517.25	
24AUG17	B 1 PD24NOV17	2098.50	2120.69	USD	554.75	
25AUG17	B 1 PD24NOV17	2080.00	2120.69	USD	1,017.25	
25AUG17	B 1 PD24NOV17	2070.00	2120.69	USD	1,267.25	
25AUG17	B 1 PD24NOV17	2078.50	2120.69	USD	1,054.75	
25AUG17	B 1 PD24NOV17	2079.00	2120.69	USD	1,042.25	
25AUG17	B 1 PD24NOV17	2067.00	2120.69	USD	1,342.25	
25AUG17	B 1 PD24NOV17	2069.00	2120.69	USD	1,292.25	
25AUG17	S 1 PD24NOV17	2069.80	2120.69	USD	-1,272.25	
25AUG17	S 1 PD24NOV17	2072.00	2120.69	USD	-1,217.25	
25AUG17	S 1 PD24NOV17	2073.00	2120.69	USD	-1,192.25	
05SEP17	S 4 PD24NOV17	2093.20	2120.69	USD	-2,749.00	
	NET 0 AVG BGHT 2085.38 SOLD 2089.39				**1,805.00**	**0.00**
29AUG17	B 1 PD29NOV17	2078.50	2122.05	USD	1,088.75	
29AUG17	B 1 PD29NOV17	2079.00	2122.05	USD	1,076.25	
29AUG17	B 1 PD29NOV17	2080.00	2122.05	USD	1,051.25	
29AUG17	S 1 PD29NOV17	2092.00	2122.05	USD	-751.25	
29AUG17	S 1 PD29NOV17	2095.50	2122.05	USD	-663.75	
29AUG17	S 1 PD29NOV17	2099.50	2122.05	USD	-563.75	
	NET 0 AVG BGHT 2079.17 SOLD 2095.67				**1,237.50**	**0.00**
30AUG17	B 1 PD30NOV17	2091.50	2122.32	USD	770.50	
30AUG17	B 1 PD30NOV17	2092.50	2122.32	USD	745.50	
30AUG17	S 1 PD30NOV17	2095.00	2122.32	USD	-683.00	
30AUG17	S 1 PD30NOV17	2091.00	2122.32	USD	-783.00	
30AUG17	S 1 PD30NOV17	2092.50	2122.32	USD	-745.50	
30AUG17	S 1 PD30NOV17	2094.50	2122.32	USD	-695.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
30AUG17	B 1 PD30NOV17	2090.50	2122.32	USD	795.50	
31AUG17	B 1 PD30NOV17	2130.00	2122.32	USD	-192.00	
31AUG17	B 1 PD30NOV17	2130.50	2122.32	USD	-204.50	
05SEP17	S 1 PD30NOV17	2094.62	2122.32	USD	-692.50	
	NET 0 AVG BGHT 2107.00 SOLD 2093.52				**-1,684.50**	**0.00**
01SEP17	S 1 PD01DEC17	2141.00	2122.59	USD	460.25	
01SEP17	S 1 PD01DEC17	2140.00	2122.59	USD	435.25	
12SEP17	B 2 PD01DEC17	2133.59	2122.59	USD	-550.00	
	NET 0 AVG BGHT 2133.59 SOLD 2140.50				**345.50**	**0.00**
04SEP17	B 1 PD04DEC17	2125.00	2123.41	USD	-39.75	
04SEP17	B 1 PD04DEC17	2126.50	2123.41	USD	-77.25	
04SEP17	B 1 PD04DEC17	2120.00	2123.41	USD	85.25	
04SEP17	B 1 PD04DEC17	2121.50	2123.41	USD	47.75	
04SEP17	B 1 PD04DEC17	2122.00	2123.41	USD	35.25	
04SEP17	B 1 PD04DEC17	2126.00	2123.41	USD	-64.75	
04SEP17	B 1 PD04DEC17	2119.00	2123.41	USD	110.25	
04SEP17	B 1 PD04DEC17	2119.50	2123.41	USD	97.75	
04SEP17	B 1 PD04DEC17	2109.00	2123.41	USD	360.25	
04SEP17	B 1 PD04DEC17	2111.00	2123.41	USD	310.25	
04SEP17	B 2 PD04DEC17	2117.50	2123.41	USD	295.50	
04SEP17	B 1 PD04DEC17	2117.00	2123.41	USD	160.25	
05SEP17	S 2 PD04DEC17	2095.56	2123.41	USD	-1,392.50	
08SEP17	S 11 PD04DEC17	2097.80	2123.41	USD	-7,042.75	
	NET 0 AVG BGHT 2119.35 SOLD 2097.46				**-7,114.50**	**0.00**
05SEP17	B 1 PD05DEC17	2105.50	2123.68	USD	454.50	
05SEP17	B 2 PD05DEC17	2108.50	2123.68	USD	759.00	
05SEP17	B 1 PD05DEC17	2096.50	2123.68	USD	679.50	
08SEP17	S 4 PD05DEC17	2098.05	2123.68	USD	-2,563.00	
	NET 0 AVG BGHT 2104.75 SOLD 2098.05				**-670.00**	**0.00**
06SEP17	B 2 PD06DEC17	2073.00	2123.95	USD	2,547.50	
06SEP17	S 2 PD06DEC17	2106.00	2123.95	USD	-897.50	
06SEP17	S 1 PD06DEC17	2108.50	2123.95	USD	-386.25	
06SEP17	S 2 PD06DEC17	2110.50	2123.95	USD	-672.50	
12SEP17	B 1 PD06DEC17	2135.14	2123.95	USD	-279.75	
27SEP17	B 2 PD06DEC17	2125.30	2123.95	USD	-67.50	
	NET 0 AVG BGHT 2106.35 SOLD 2108.30				**244.00**	**0.00**
07SEP17	S 3 PD07DEC17	2107.00	2124.22	USD	-1,291.50	
07SEP17	B 1 PD07DEC17	2090.00	2124.22	USD	855.50	
07SEP17	B 1 PD07DEC17	2093.00	2124.22	USD	780.50	
07SEP17	S 2 PD07DEC17	2093.00	2124.22	USD	-1,561.00	
27SEP17	B 3 PD07DEC17	2125.60	2124.22	USD	-103.50	
	NET 0 AVG BGHT 2111.96 SOLD 2101.40				**-1,320.00**	**0.00**
08SEP17	B 1 PD08DEC17	2092.00	2124.49	USD	812.25	
08SEP17	B 2 PD08DEC17	2090.00	2124.49	USD	1,724.50	
12SEP17	S 3 PD08DEC17	2135.56	2124.49	USD	830.25	
	NET 0 AVG BGHT 2090.67 SOLD 2135.56				**3,367.00**	**0.00**
11SEP17	S 1 PD11DEC17	2135.00	2125.31	USD	242.25	
11SEP17	S 1 PD11DEC17	2133.00	2125.31	USD	192.25	
11SEP17	S 1 PD11DEC17	2129.50	2125.31	USD	104.75	
11SEP17	S 1 PD11DEC17	2127.50	2125.31	USD	54.75	
11SEP17	S 1 PD11DEC17	2128.00	2125.31	USD	67.25	
11SEP17	S 2 PD11DEC17	2128.50	2125.31	USD	159.50	
11SEP17	S 2 PD11DEC17	2127.00	2125.31	USD	84.50	
11SEP17	B 1 PD11DEC17	2117.50	2125.31	USD	195.25	
11SEP17	B 2 PD11DEC17	2114.50	2125.31	USD	540.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.							
11SEP17	B	1	PD11DEC17	2119.50	2125.31	USD	145.25	
11SEP17	B	2	PD11DEC17	2123.50	2125.31	USD	90.50	
11SEP17	B	1	PD11DEC17	2124.50	2125.31	USD	20.25	
11SEP17	B	1	PD11DEC17	2117.00	2125.31	USD	207.75	
11SEP17	B	2	PD11DEC17	2118.00	2125.31	USD	365.50	
11SEP17	B	3	PD11DEC17	2119.00	2125.31	USD	473.25	
11SEP17	B	3	PD11DEC17	2122.00	2125.31	USD	248.25	
11SEP17	B	1	PD11DEC17	2120.50	2125.31	USD	120.25	
14SEP17	S	8	PD11DEC17	2097.00	2125.31	USD	-5,662.00	
	NET	**0**	**AVG BGHT 2119.65**	**SOLD 2114.12**			**-2,350.00**	**0.00**
12SEP17	B	1	PD12DEC17	2119.50	2125.58	USD	152.00	
12SEP17	B	1	PD12DEC17	2120.00	2125.58	USD	139.50	
14SEP17	S	2	PD12DEC17	2097.27	2125.58	USD	-1,415.50	
	NET	**0**	**AVG BGHT 2119.75**	**SOLD 2097.27**			**-1,124.00**	**0.00**
13SEP17	B	1	PD13DEC17	2117.50	2125.85	USD	208.75	
13SEP17	B	1	PD13DEC17	2118.50	2125.85	USD	183.75	
13SEP17	B	2	PD13DEC17	2119.00	2125.85	USD	342.50	
13SEP17	B	1	PD13DEC17	2116.50	2125.85	USD	233.75	
13SEP17	B	2	PD13DEC17	2118.00	2125.85	USD	392.50	
13SEP17	B	1	PD13DEC17	2108.00	2125.85	USD	446.25	
13SEP17	S	1	PD13DEC17	2108.00	2125.85	USD	-446.25	
27SEP17	S	5	PD13DEC17	2127.20	2125.85	USD	168.75	
27SEP17	S	2	PD13DEC17	2127.40	2125.85	USD	77.50	
	NET	**0**	**AVG BGHT 2116.81**	**SOLD 2124.85**			**1,607.50**	**0.00**
14SEP17	S	2	PD14DEC17	2125.00	2126.12	USD	-56.00	
14SEP17	S	2	PD14DEC17	2125.50	2126.12	USD	-31.00	
14SEP17	S	3	PD14DEC17	2126.50	2126.12	USD	28.50	
14SEP17	B	1	PD14DEC17	2093.50	2126.12	USD	815.50	
14SEP17	B	1	PD14DEC17	2100.50	2126.12	USD	640.50	
14SEP17	B	1	PD14DEC17	2092.50	2126.12	USD	840.50	
14SEP17	B	1	PD14DEC17	2094.00	2126.12	USD	803.00	
27SEP17	B	2	PD14DEC17	2127.90	2126.12	USD	-89.00	
27SEP17	B	1	PD14DEC17	2127.70	2126.12	USD	-39.50	
	NET	**0**	**AVG BGHT 2109.14**	**SOLD 2125.79**			**2,912.50**	**0.00**
15SEP17	B	1	PD15DEC17	2090.00	2126.39	USD	909.75	
15SEP17	B	1	PD15DEC17	2090.50	2126.39	USD	897.25	
15SEP17	B	1	PD15DEC17	2094.00	2126.39	USD	809.75	
15SEP17	B	1	PD15DEC17	2092.50	2126.39	USD	847.25	
15SEP17	B	1	PD15DEC17	2086.50	2126.39	USD	997.25	
15SEP17	B	1	PD15DEC17	2087.50	2126.39	USD	972.25	
15SEP17	B	2	PD15DEC17	2083.50	2126.39	USD	2,144.50	
15SEP17	B	1	PD15DEC17	2084.50	2126.39	USD	1,047.25	
15SEP17	B	1	PD15DEC17	2085.50	2126.39	USD	1,022.25	
15SEP17	B	1	PD15DEC17	2073.50	2126.39	USD	1,322.25	
27SEP17	S	1	PD15DEC17	2127.80	2126.39	USD	35.25	
27SEP17	S	10	PD15DEC17	2128.00	2126.39	USD	402.50	
	NET	**0**	**AVG BGHT 2086.50**	**SOLD 2127.98**			**11,407.50**	**0.00**
18SEP17	B	1	PD18DEC17	2090.50	2127.21	USD	917.75	
18SEP17	B	1	PD18DEC17	2100.00	2127.21	USD	680.25	
18SEP17	S	1	PD18DEC17	2096.50	2127.21	USD	-767.75	
18SEP17	S	1	PD18DEC17	2091.50	2127.21	USD	-892.75	
18SEP17	S	1	PD18DEC17	2091.00	2127.21	USD	-905.25	
18SEP17	S	1	PD18DEC17	2092.00	2127.21	USD	-880.25	
27SEP17	B	2	PD18DEC17	2129.10	2127.21	USD	-94.50	
	NET	**0**	**AVG BGHT 2112.18**	**SOLD 2092.75**			**-1,942.50**	**0.00**
19SEP17	S	1	PD19DEC17	2106.50	2127.48	USD	-524.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.							
19SEP17	S	1	PD19DEC17	2107.00	2127.48	USD	-512.00	
19SEP17	S	2	PD19DEC17	2108.50	2127.48	USD	-949.00	
19SEP17	S	1	PD19DEC17	2110.00	2127.48	USD	-437.00	
19SEP17	S	1	PD19DEC17	2104.00	2127.48	USD	-587.00	
19SEP17	S	1	PD19DEC17	2112.00	2127.48	USD	-387.00	
19SEP17	S	1	PD19DEC17	2118.00	2127.48	USD	-237.00	
19SEP17	S	1	PD19DEC17	2121.50	2127.48	USD	-149.50	
19SEP17	S	2	PD19DEC17	2122.00	2127.48	USD	-274.00	
19SEP17	S	5	PD19DEC17	2121.00	2127.48	USD	-810.00	
19SEP17	S	1	PD19DEC17	2123.50	2127.48	USD	-99.50	
19SEP17	S	1	PD19DEC17	2129.50	2127.48	USD	50.50	
19SEP17	S	1	PD19DEC17	2130.00	2127.48	USD	63.00	
19SEP17	S	1	PD19DEC17	2133.50	2127.48	USD	150.50	
19SEP17	S	1	PD19DEC17	2134.00	2127.48	USD	163.00	
19SEP17	B	1	PD19DEC17	2128.50	2127.48	USD	-25.50	
27SEP17	B	8	PD19DEC17	2129.40	2127.48	USD	-384.00	
27SEP17	B	9	PD19DEC17	2129.20	2127.48	USD	-387.00	
	NET	**-3**	**AVG BGHT 2129.25 SOLD 2118.83**				**-5,336.00**	**0.00**
20SEP17	B	1	PD20DEC17	2158.00	2127.75	USD	-756.25	
20SEP17	B	1	PD20DEC17	2171.00	2127.75	USD	-1,081.25	
27SEP17	S	2	PD20DEC17	2129.30	2127.75	USD	77.50	
	NET	**0**	**AVG BGHT 2164.50 SOLD 2129.30**				**-1,760.00**	**0.00**
21SEP17	S	2	PD21DEC17	2170.00	2127.98	USD	2,101.00	
21SEP17	S	2	PD21DEC17	2171.00	2127.98	USD	2,151.00	
	NET	**-4**	**AVG SOLD 2170.50**				**4,252.00**	**0.00**
22SEP17	S	2	PD22DEC17	2151.50	2128.21	USD	1,164.50	
22SEP17	B	1	PD22DEC17	2163.00	2128.21	USD	-869.75	
22SEP17	B	1	PD22DEC17	2163.50	2128.21	USD	-882.25	
22SEP17	B	1	PD22DEC17	2161.00	2128.21	USD	-819.75	
22SEP17	B	2	PD22DEC17	2162.00	2128.21	USD	-1,689.50	
27SEP17	S	3	PD22DEC17	2129.87	2128.21	USD	124.50	
	NET	**0**	**AVG BGHT 2162.30 SOLD 2138.52**				**-2,972.25**	**0.00**
25SEP17	B	2	PD27DEC17	2153.00	2129.38	USD	-1,181.00	
25SEP17	B	1	PD27DEC17	2145.00	2129.38	USD	-390.50	
25SEP17	B	1	PD27DEC17	2144.00	2129.38	USD	-365.50	
27SEP17	B	1	PD27DEC17	2125.00	2129.38	USD	109.50	
27SEP17	S	4	PD27DEC17	2131.30	2129.38	USD	192.00	
	NET	**+1**	**AVG BGHT 2144.00 SOLD 2131.30**				**-1,635.50**	**0.00**
28SEP17	B	1	PD28DEC17	2124.00	2129.61	USD	140.25	
28SEP17	B	1	PD28DEC17	2123.50	2129.61	USD	152.75	
28SEP17	B	1	PD28DEC17	2118.50	2129.61	USD	277.75	
28SEP17	B	1	PD28DEC17	2121.00	2129.61	USD	215.25	
28SEP17	B	1	PD28DEC17	2137.50	2129.61	USD	-197.25	
29SEP17	S	3	PD28DEC17	2101.52	2129.61	USD	-2,106.75	
	NET	**+2**	**AVG BGHT 2124.90 SOLD 2101.52**				**-1,518.00**	**0.00**
29SEP17	B	1	PD29DEC17	2130.50	2129.84	USD	-16.50	
29SEP17	B	1	PD29DEC17	2132.00	2129.84	USD	-54.00	
29SEP17	B	1	PD29DEC17	2132.50	2129.84	USD	-66.50	
29SEP17	B	1	PD29DEC17	2129.50	2129.84	USD	8.50	
29SEP17	B	1	PD29DEC17	2127.00	2129.84	USD	71.00	
29SEP17	B	1	PD29DEC17	2127.50	2129.84	USD	58.50	
29SEP17	B	1	PD29DEC17	2134.00	2129.84	USD	-104.00	
29SEP17	B	2	PD29DEC17	2131.00	2129.84	USD	-58.00	
29SEP17	B	1	PD29DEC17	2129.00	2129.84	USD	21.00	
29SEP17	B	1	PD29DEC17	2130.00	2129.84	USD	-4.00	
	NET	**+11**	**AVG BGHT 2130.36**					**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
					-144.00	
03OCT17	S 3 PD03JAN18	2127.00	2131.00	USD	-300.00	
03OCT17	S 6 PD03JAN18	2126.50	2131.00	USD	-675.00	
	NET -9 AVG SOLD 2126.67				**-975.00**	**0.00**
	CMX COPPER 25,000 CMX					
27SEP17	S 1 DEC17	292.950	296.350	USD	-850.00	
27SEP17	S 2 DEC17	293.150	296.350	USD	-1,600.00	
27SEP17	S 1 DEC17	293.850	296.350	USD	-625.00	
27SEP17	S 1 DEC17	293.750	296.350	USD	-650.00	
27SEP17	S 1 DEC17	293.600	296.350	USD	-687.50	
27SEP17	S 1 DEC17	293.650	296.350	USD	-675.00	
	NET -7 AVG SOLD 293.443				**-5,087.50**	**0.00**
	CMX GOLD 100 CMX					
18SEP17	B 1 DEC17	1312.8	1274.6	USD	-3,820.00	
	NET +1 AVG BGHT 1312.8				**-3,820.00**	**0.00**
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
04JUL17	B 1 PD04OCT17	2318.50	2584.77	USD	6,656.75	
04JUL17	B 1 PD04OCT17	2319.50	2584.77	USD	6,631.75	
04JUL17	B 2 PD04OCT17	2307.50	2584.77	USD	13,863.50	
04JUL17	B 1 PD04OCT17	2309.50	2584.77	USD	6,881.75	
04JUL17	B 1 PD04OCT17	2307.00	2584.77	USD	6,944.25	
04JUL17	B 1 PD04OCT17	2299.50	2584.77	USD	7,131.75	
04JUL17	B 1 PD04OCT17	2300.50	2584.77	USD	7,106.75	
04JUL17	S 1 PD04OCT17	2299.00	2584.77	USD	-7,144.25	
04JUL17	S 1 PD04OCT17	2301.00	2584.77	USD	-7,094.25	
04JUL17	S 1 PD04OCT17	2296.00	2584.77	USD	-7,219.25	
04JUL17	S 1 PD04OCT17	2297.00	2584.77	USD	-7,194.25	
04JUL17	S 1 PD04OCT17	2306.50	2584.77	USD	-6,956.75	
04JUL17	S 1 PD04OCT17	2306.00	2584.77	USD	-6,969.25	
04JUL17	B 1 PD04OCT17	2296.50	2584.77	USD	7,206.75	
04JUL17	B 1 PD04OCT17	2294.00	2584.77	USD	7,269.25	
04JUL17	S 4 PD04OCT17	2299.80	2584.77	USD	-28,497.00	
04JUL17	S 2 PD04OCT17	2307.50	2584.77	USD	-13,863.50	
04JUL17	B 1 PD04OCT17	2305.00	2584.77	USD	6,994.25	
04JUL17	S 1 PD04OCT17	2305.00	2584.77	USD	-6,994.25	
04JUL17	B 1 PD04OCT17	2294.50	2584.77	USD	7,256.75	
11JUL17	B 1 PD04OCT17	2303.54	2584.77	USD	7,030.75	
	NET 0 AVG BGHT 2304.85 SOLD 2301.90				**-958.50**	**0.00**
05JUL17	B 1 PD05OCT17	2295.00	2585.00	USD	7,250.00	
05JUL17	B 3 PD05OCT17	2295.50	2585.00	USD	21,712.50	
05JUL17	B 1 PD05OCT17	2298.00	2585.00	USD	7,175.00	
05JUL17	S 1 PD05OCT17	2273.50	2585.00	USD	-7,787.50	
05JUL17	S 1 PD05OCT17	2274.00	2585.00	USD	-7,775.00	
05JUL17	S 1 PD05OCT17	2272.50	2585.00	USD	-7,812.50	
05JUL17	S 1 PD05OCT17	2275.50	2585.00	USD	-7,737.50	
05JUL17	S 2 PD05OCT17	2273.00	2585.00	USD	-15,600.00	
11JUL17	B 1 PD05OCT17	2303.78	2585.00	USD	7,030.50	
	NET 0 AVG BGHT 2297.21 SOLD 2273.58				**-3,544.50**	**0.00**
06JUL17	S 1 PD06OCT17	2269.00	2585.23	USD	-7,905.75	
06JUL17	S 1 PD06OCT17	2270.50	2585.23	USD	-7,868.25	
06JUL17	S 1 PD06OCT17	2273.00	2585.23	USD	-7,805.75	
06JUL17	S 2 PD06OCT17	2269.50	2585.23	USD	-15,786.50	
06JUL17	S 1 PD06OCT17	2271.50	2585.23	USD	-7,843.25	
06JUL17	S 1 PD06OCT17	2282.50	2585.23	USD	-7,568.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT								
07JUL17	S	1	PD06OCT17		2296.50	2585.23	USD	-7,218.25	
07JUL17	S	1	PD06OCT17		2294.00	2585.23	USD	-7,280.75	
12JUL17	B	4	PD06OCT17		2326.85	2585.23	USD	25,838.00	
13JUL17	B	4	PD06OCT17		2293.83	2585.23	USD	29,140.00	
17JUL17	B	1	PD06OCT17		2294.87	2585.23	USD	7,259.00	
	NET	**0**	**AVG BGHT 2308.62**	**SOLD 2277.33**				**-7,039.75**	**0.00**
10JUL17	B	1	PD10OCT17		2298.00	2586.15	USD	7,203.75	
10JUL17	B	2	PD10OCT17		2299.00	2586.15	USD	14,357.50	
10JUL17	B	1	PD10OCT17		2301.00	2586.15	USD	7,128.75	
10JUL17	B	2	PD10OCT17		2299.50	2586.15	USD	14,332.50	
10JUL17	S	1	PD10OCT17		2281.00	2586.15	USD	-7,628.75	
10JUL17	S	1	PD10OCT17		2281.50	2586.15	USD	-7,616.25	
10JUL17	S	1	PD10OCT17		2287.00	2586.15	USD	-7,478.75	
10JUL17	S	1	PD10OCT17		2287.50	2586.15	USD	-7,466.25	
10JUL17	B	1	PD10OCT17		2298.50	2586.15	USD	7,191.25	
10JUL17	S	1	PD10OCT17		2284.50	2586.15	USD	-7,541.25	
10JUL17	S	1	PD10OCT17		2290.50	2586.15	USD	-7,391.25	
10JUL17	S	1	PD10OCT17		2291.50	2586.15	USD	-7,366.25	
	NET	**0**	**AVG BGHT 2299.21**	**SOLD 2286.21**				**-2,275.00**	**0.00**
11JUL17	S	1	PD11OCT17		2317.50	2586.38	USD	-6,722.00	
11JUL17	S	1	PD11OCT17		2328.00	2586.38	USD	-6,459.50	
11JUL17	B	1	PD11OCT17		2305.50	2586.38	USD	7,022.00	
11JUL17	B	2	PD11OCT17		2307.00	2586.38	USD	13,969.00	
11JUL17	B	1	PD11OCT17		2308.00	2586.38	USD	6,959.50	
11JUL17	B	1	PD11OCT17		2308.50	2586.38	USD	6,947.00	
11JUL17	B	2	PD11OCT17		2310.00	2586.38	USD	13,819.00	
11JUL17	B	1	PD11OCT17		2311.00	2586.38	USD	6,884.50	
11JUL17	B	2	PD11OCT17		2309.00	2586.38	USD	13,869.00	
11JUL17	B	2	PD11OCT17		2310.50	2586.38	USD	13,794.00	
12JUL17	S	10	PD11OCT17		2327.30	2586.38	USD	-64,770.00	
	NET	**0**	**AVG BGHT 2308.83**	**SOLD 2326.54**				**5,312.50**	**0.00**
12JUL17	B	1	PD12OCT17		2331.00	2586.62	USD	6,390.50	
12JUL17	B	1	PD12OCT17		2323.00	2586.62	USD	6,590.50	
12JUL17	B	1	PD12OCT17		2328.50	2586.62	USD	6,453.00	
12JUL17	B	3	PD12OCT17		2330.50	2586.62	USD	19,209.00	
12JUL17	B	1	PD12OCT17		2320.00	2586.62	USD	6,665.50	
12JUL17	B	1	PD12OCT17		2319.00	2586.62	USD	6,690.50	
12JUL17	S	2	PD12OCT17		2318.00	2586.62	USD	-13,431.00	
12JUL17	S	1	PD12OCT17		2315.50	2586.62	USD	-6,778.00	
12JUL17	S	1	PD12OCT17		2316.00	2586.62	USD	-6,765.50	
13JUL17	S	4	PD12OCT17		2293.93	2586.62	USD	-29,269.00	
	NET	**0**	**AVG BGHT 2326.63**	**SOLD 2305.40**				**-4,244.50**	**0.00**
13JUL17	S	1	PD13OCT17		2327.00	2586.85	USD	-6,496.25	
13JUL17	S	1	PD13OCT17		2320.50	2586.85	USD	-6,658.75	
14JUL17	B	1	PD13OCT17		2283.50	2586.85	USD	7,583.75	
14JUL17	B	1	PD13OCT17		2315.00	2586.85	USD	6,796.25	
14JUL17	B	1	PD13OCT17		2311.00	2586.85	USD	6,896.25	
17JUL17	S	1	PD13OCT17		2295.71	2586.85	USD	-7,278.50	
	NET	**0**	**AVG BGHT 2303.17**	**SOLD 2314.40**				**842.75**	**0.00**
17JUL17	B	1	PD17OCT17		2323.50	2587.77	USD	6,606.75	
17JUL17	S	1	PD17OCT17		2321.00	2587.77	USD	-6,669.25	
17JUL17	B	1	PD17OCT17		2322.00	2587.77	USD	6,644.25	
17JUL17	B	1	PD17OCT17		2295.00	2587.77	USD	7,319.25	
18JUL17	S	2	PD17OCT17		2274.63	2587.77	USD	-15,657.00	
	NET	**0**	**AVG BGHT 2313.50**	**SOLD 2290.09**				**-1,756.00**	**0.00**
18JUL17	B	2	PD18OCT17		2282.00	2588.00	USD	15,300.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
18JUL17	B	6	PD18OCT17	2279.50	2588.00	USD	46,275.00	
18JUL17	B	2	PD18OCT17	2280.50	2588.00	USD	15,375.00	
18JUL17	B	3	PD18OCT17	2278.00	2588.00	USD	23,250.00	
18JUL17	B	2	PD18OCT17	2274.00	2588.00	USD	15,700.00	
18JUL17	B	1	PD18OCT17	2275.00	2588.00	USD	7,825.00	
18JUL17	B	1	PD18OCT17	2276.00	2588.00	USD	7,800.00	
18JUL17	B	1	PD18OCT17	2278.50	2588.00	USD	7,737.50	
18JUL17	B	1	PD18OCT17	2279.00	2588.00	USD	7,725.00	
18JUL17	B	1	PD18OCT17	2265.50	2588.00	USD	8,062.50	
18JUL17	B	1	PD18OCT17	2269.00	2588.00	USD	7,975.00	
18JUL17	S	8	PD18OCT17	2275.35	2588.00	USD	-62,530.00	
18JUL17	S	11	PD18OCT17	2274.80	2588.00	USD	-86,130.00	
19JUL17	S	2	PD18OCT17	2217.30	2588.00	USD	-18,535.00	
	NET	**0**	**AVG BGHT 2277.48**	**SOLD 2269.53**			**-4,170.00**	**0.00**
19JUL17	S	2	PD19OCT17	2218.50	2588.00	USD	-18,475.00	
19JUL17	S	1	PD19OCT17	2217.50	2588.00	USD	-9,262.50	
19JUL17	S	1	PD19OCT17	2210.50	2588.00	USD	-9,437.50	
21JUL17	B	4	PD19OCT17	2239.63	2588.00	USD	34,837.00	
	NET	**0**	**AVG BGHT 2239.63**	**SOLD 2216.25**			**-2,338.00**	**0.00**
20JUL17	S	1	PD20OCT17	2225.50	2588.00	USD	-9,062.50	
20JUL17	S	1	PD20OCT17	2227.00	2588.00	USD	-9,025.00	
20JUL17	S	1	PD20OCT17	2223.50	2588.00	USD	-9,112.50	
20JUL17	S	2	PD20OCT17	2227.50	2588.00	USD	-18,025.00	
20JUL17	B	1	PD20OCT17	2204.50	2588.00	USD	9,587.50	
20JUL17	B	2	PD20OCT17	2211.50	2588.00	USD	18,825.00	
20JUL17	B	3	PD20OCT17	2216.00	2588.00	USD	27,900.00	
20JUL17	B	1	PD20OCT17	2216.50	2588.00	USD	9,287.50	
20JUL17	B	2	PD20OCT17	2217.00	2588.00	USD	18,550.00	
21JUL17	B	1	PD20OCT17	2226.50	2588.00	USD	9,037.50	
21JUL17	B	1	PD20OCT17	2227.50	2588.00	USD	9,012.50	
21JUL17	B	1	PD20OCT17	2228.50	2588.00	USD	8,987.50	
21JUL17	B	1	PD20OCT17	2229.50	2588.00	USD	8,962.50	
21JUL17	S	1	PD20OCT17	2237.00	2588.00	USD	-8,775.00	
21JUL17	S	1	PD20OCT17	2241.50	2588.00	USD	-8,662.50	
21JUL17	S	4	PD20OCT17	2239.30	2588.00	USD	-34,870.00	
26JUL17	S	2	PD20OCT17	2312.36	2588.00	USD	-13,782.00	
	NET	**0**	**AVG BGHT 2218.31**	**SOLD 2245.49**			**8,835.50**	**0.00**
25JUL17	B	1	PD25OCT17	2273.50	2588.00	USD	7,862.50	
25JUL17	S	1	PD25OCT17	2273.00	2588.00	USD	-7,875.00	
25JUL17	S	1	PD25OCT17	2280.50	2588.00	USD	-7,687.50	
25JUL17	S	1	PD25OCT17	2283.00	2588.00	USD	-7,625.00	
25JUL17	S	1	PD25OCT17	2284.50	2588.00	USD	-7,587.50	
25JUL17	S	1	PD25OCT17	2286.50	2588.00	USD	-7,537.50	
25JUL17	S	1	PD25OCT17	2299.50	2588.00	USD	-7,212.50	
25JUL17	S	1	PD25OCT17	2300.50	2588.00	USD	-7,187.50	
25JUL17	S	1	PD25OCT17	2292.50	2588.00	USD	-7,387.50	
25JUL17	S	3	PD25OCT17	2293.00	2588.00	USD	-22,125.00	
25JUL17	S	1	PD25OCT17	2293.50	2588.00	USD	-7,362.50	
25JUL17	S	1	PD25OCT17	2295.00	2588.00	USD	-7,325.00	
25JUL17	S	1	PD25OCT17	2313.50	2588.00	USD	-6,862.50	
25JUL17	S	1	PD25OCT17	2315.50	2588.00	USD	-6,812.50	
25JUL17	S	1	PD25OCT17	2314.00	2588.00	USD	-6,850.00	
25JUL17	S	1	PD25OCT17	2318.00	2588.00	USD	-6,750.00	
25JUL17	B	7	PD25OCT17	2318.20	2588.00	USD	47,215.00	
25JUL17	S	1	PD25OCT17	2318.50	2588.00	USD	-6,737.50	
25JUL17	S	2	PD25OCT17	2316.00	2588.00	USD	-13,600.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
26JUL17	B	12	PD25OCT17	2313.09	2588.00	USD	82,473.00	
	NET	**0**	**AVG BGHT 2312.90 SOLD 2298.95**				**-6,974.50**	**0.00**
26JUL17	S	1	PD26OCT17	2316.00	2588.00	USD	-6,800.00	
26JUL17	S	1	PD26OCT17	2316.50	2588.00	USD	-6,787.50	
26JUL17	S	1	PD26OCT17	2317.50	2588.00	USD	-6,762.50	
26JUL17	S	3	PD26OCT17	2319.50	2588.00	USD	-20,137.50	
26JUL17	S	1	PD26OCT17	2321.00	2588.00	USD	-6,675.00	
26JUL17	S	1	PD26OCT17	2314.50	2588.00	USD	-6,837.50	
26JUL17	S	1	PD26OCT17	2319.00	2588.00	USD	-6,725.00	
26JUL17	S	1	PD26OCT17	2326.00	2588.00	USD	-6,550.00	
26JUL17	S	1	PD26OCT17	2327.00	2588.00	USD	-6,525.00	
26JUL17	S	1	PD26OCT17	2333.00	2588.00	USD	-6,375.00	
26JUL17	S	1	PD26OCT17	2331.00	2588.00	USD	-6,425.00	
26JUL17	B	1	PD26OCT17	2312.00	2588.00	USD	6,900.00	
26JUL17	S	1	PD26OCT17	2311.00	2588.00	USD	-6,925.00	
26JUL17	S	1	PD26OCT17	2312.00	2588.00	USD	-6,900.00	
26JUL17	B	14	PD26OCT17	2313.20	2588.00	USD	96,180.00	
26JUL17	B	1	PD26OCT17	2311.50	2588.00	USD	6,912.50	
26JUL17	S	1	PD26OCT17	2309.00	2588.00	USD	-6,975.00	
26JUL17	S	1	PD26OCT17	2309.50	2588.00	USD	-6,962.50	
26JUL17	S	1	PD26OCT17	2310.00	2588.00	USD	-6,950.00	
26JUL17	B	1	PD26OCT17	2308.50	2588.00	USD	6,987.50	
31JUL17	B	1	PD26OCT17	2332.65	2588.00	USD	6,383.75	
	NET	**0**	**AVG BGHT 2313.86 SOLD 2318.42**				**2,051.25**	**0.00**
27JUL17	S	1	PD27OCT17	2326.00	2588.00	USD	-6,550.00	
27JUL17	S	1	PD27OCT17	2332.00	2588.00	USD	-6,400.00	
27JUL17	S	3	PD27OCT17	2326.50	2588.00	USD	-19,612.50	
27JUL17	B	1	PD27OCT17	2303.00	2588.00	USD	7,125.00	
27JUL17	B	4	PD27OCT17	2304.00	2588.00	USD	28,400.00	
28JUL17	B	1	PD27OCT17	2320.00	2588.00	USD	6,700.00	
31JUL17	S	1	PD27OCT17	2332.72	2588.00	USD	-6,382.00	
	NET	**0**	**AVG BGHT 2306.50 SOLD 2328.37**				**3,280.50**	**0.00**
31JUL17	B	1	PD31OCT17	2329.50	2588.00	USD	6,462.50	
31JUL17	B	2	PD31OCT17	2330.00	2588.00	USD	12,900.00	
31JUL17	B	1	PD31OCT17	2331.00	2588.00	USD	6,425.00	
31JUL17	B	1	PD31OCT17	2328.50	2588.00	USD	6,487.50	
31JUL17	S	4	PD31OCT17	2333.80	2588.00	USD	-25,420.00	
01AUG17	S	1	PD31OCT17	2337.69	2588.00	USD	-6,257.75	
	NET	**0**	**AVG BGHT 2329.80 SOLD 2334.58**				**597.25**	**0.00**
01AUG17	B	1	PD01NOV17	2316.00	2588.00	USD	6,800.00	
01AUG17	B	1	PD01NOV17	2317.00	2588.00	USD	6,775.00	
01AUG17	B	1	PD01NOV17	2313.50	2588.00	USD	6,862.50	
01AUG17	B	1	PD01NOV17	2309.00	2588.00	USD	6,975.00	
01AUG17	B	1	PD01NOV17	2312.00	2588.00	USD	6,900.00	
01AUG17	B	1	PD01NOV17	2308.50	2588.00	USD	6,987.50	
01AUG17	B	1	PD01NOV17	2307.00	2588.00	USD	7,025.00	
01AUG17	B	2	PD01NOV17	2307.50	2588.00	USD	14,025.00	
01AUG17	S	1	PD01NOV17	2325.50	2588.00	USD	-6,562.50	
01AUG17	S	1	PD01NOV17	2323.00	2588.00	USD	-6,625.00	
01AUG17	S	1	PD01NOV17	2335.50	2588.00	USD	-6,312.50	
01AUG17	S	2	PD01NOV17	2337.80	2588.00	USD	-12,510.00	
01AUG17	S	2	PD01NOV17	2336.00	2588.00	USD	-12,600.00	
04AUG17	S	2	PD01NOV17	2360.72	2588.00	USD	-11,364.00	
	NET	**0**	**AVG BGHT 2310.89 SOLD 2339.23**				**6,376.00**	**0.00**
02AUG17	S	1	PD02NOV17	2337.00	2588.00	USD	-6,275.00	
02AUG17	S	1	PD02NOV17	2335.50	2588.00	USD	-6,312.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
02AUG17	S	1	PD02NOV17	2344.00	2588.00	USD	-6,100.00	
02AUG17	S	1	PD02NOV17	2344.50	2588.00	USD	-6,087.50	
02AUG17	S	1	PD02NOV17	2343.00	2588.00	USD	-6,125.00	
02AUG17	S	1	PD02NOV17	2360.50	2588.00	USD	-5,687.50	
02AUG17	S	1	PD02NOV17	2349.50	2588.00	USD	-5,962.50	
02AUG17	S	2	PD02NOV17	2359.50	2588.00	USD	-11,425.00	
02AUG17	S	1	PD02NOV17	2359.00	2588.00	USD	-5,725.00	
04AUG17	B	10	PD02NOV17	2361.06	2588.00	USD	56,735.00	
29SEP17	S	6	PD02NOV17	2492.15	2588.00	USD	-14,377.50	
	NET	**-6**	**AVG BGHT 2361.06**	**SOLD 2402.81**			**-17,342.50**	**0.00**
03AUG17	S	1	PD03NOV17	2374.50	2588.00	USD	-5,337.50	
03AUG17	S	1	PD03NOV17	2367.50	2588.00	USD	-5,512.50	
03AUG17	S	1	PD03NOV17	2369.50	2588.00	USD	-5,462.50	
03AUG17	S	1	PD03NOV17	2375.00	2588.00	USD	-5,325.00	
03AUG17	B	1	PD03NOV17	2350.50	2588.00	USD	5,937.50	
03AUG17	B	1	PD03NOV17	2356.00	2588.00	USD	5,800.00	
03AUG17	B	1	PD03NOV17	2352.50	2588.00	USD	5,887.50	
03AUG17	S	1	PD03NOV17	2362.00	2588.00	USD	-5,650.00	
03AUG17	S	1	PD03NOV17	2363.50	2588.00	USD	-5,612.50	
03AUG17	B	1	PD03NOV17	2363.00	2588.00	USD	5,625.00	
04AUG17	S	2	PD03NOV17	2363.50	2588.00	USD	-11,225.00	
04AUG17	S	1	PD03NOV17	2366.00	2588.00	USD	-5,550.00	
04AUG17	S	1	PD03NOV17	2374.50	2588.00	USD	-5,337.50	
04AUG17	S	1	PD03NOV17	2372.50	2588.00	USD	-5,387.50	
04AUG17	S	1	PD03NOV17	2373.00	2588.00	USD	-5,375.00	
04AUG17	B	1	PD03NOV17	2366.00	2588.00	USD	5,550.00	
04AUG17	S	1	PD03NOV17	2367.50	2588.00	USD	-5,512.50	
04AUG17	B	1	PD03NOV17	2351.50	2588.00	USD	5,912.50	
04AUG17	B	1	PD03NOV17	2352.50	2588.00	USD	5,887.50	
04AUG17	B	1	PD03NOV17	2349.50	2588.00	USD	5,962.50	
04AUG17	B	1	PD03NOV17	2350.00	2588.00	USD	5,950.00	
04AUG17	B	1	PD03NOV17	2353.50	2588.00	USD	5,862.50	
04AUG17	S	2	PD03NOV17	2363.00	2588.00	USD	-11,250.00	
04AUG17	S	1	PD03NOV17	2365.50	2588.00	USD	-5,562.50	
04AUG17	B	1	PD03NOV17	2357.50	2588.00	USD	5,762.50	
04AUG17	B	1	PD03NOV17	2356.00	2588.00	USD	5,800.00	
04AUG17	B	1	PD03NOV17	2354.50	2588.00	USD	5,837.50	
04AUG17	B	2	PD03NOV17	2354.00	2588.00	USD	11,700.00	
04AUG17	B	1	PD03NOV17	2353.00	2588.00	USD	5,875.00	
04AUG17	B	2	PD03NOV17	2361.20	2588.00	USD	11,340.00	
04AUG17	S	1	PD03NOV17	2357.50	2588.00	USD	-5,762.50	
07AUG17	S	1	PD03NOV17	2360.31	2588.00	USD	-5,692.25	
	NET	**0**	**AVG BGHT 2355.36**	**SOLD 2366.77**			**5,135.25**	**0.00**
07AUG17	S	1	PD07NOV17	2354.50	2588.00	USD	-5,837.50	
07AUG17	S	1	PD07NOV17	2358.50	2588.00	USD	-5,737.50	
07AUG17	S	1	PD07NOV17	2359.50	2588.00	USD	-5,712.50	
07AUG17	S	1	PD07NOV17	2368.50	2588.00	USD	-5,487.50	
07AUG17	S	1	PD07NOV17	2369.00	2588.00	USD	-5,475.00	
07AUG17	S	1	PD07NOV17	2373.00	2588.00	USD	-5,375.00	
07AUG17	S	1	PD07NOV17	2375.50	2588.00	USD	-5,312.50	
07AUG17	S	2	PD07NOV17	2375.00	2588.00	USD	-10,650.00	
07AUG17	B	1	PD07NOV17	2362.00	2588.00	USD	5,650.00	
07AUG17	B	1	PD07NOV17	2364.50	2588.00	USD	5,587.50	
07AUG17	S	1	PD07NOV17	2363.00	2588.00	USD	-5,625.00	
07AUG17	B	2	PD07NOV17	2363.00	2588.00	USD	11,250.00	
07AUG17	B	6	PD07NOV17	2361.20	2588.00	USD	34,020.00	
	NET	**0**	**AVG BGHT 2361.97**	**SOLD 2367.15**			**1,295.00**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
08AUG17	B	1	PD08NOV17	2353.00	2588.00	USD	5,875.00	
08AUG17	B	1	PD08NOV17	2355.50	2588.00	USD	5,812.50	
08AUG17	B	1	PD08NOV17	2349.50	2588.00	USD	5,962.50	
08AUG17	B	1	PD08NOV17	2350.50	2588.00	USD	5,937.50	
08AUG17	B	1	PD08NOV17	2384.00	2588.00	USD	5,100.00	
08AUG17	S	8	PD08NOV17	2382.80	2588.00	USD	-41,040.00	
08AUG17	B	1	PD08NOV17	2390.00	2588.00	USD	4,950.00	
10AUG17	B	2	PD08NOV17	2365.58	2588.00	USD	11,121.00	
	NET	**0**	**AVG BGHT 2364.21**	**SOLD 2382.80**			**3,718.50**	**0.00**
09AUG17	B	1	PD09NOV17	2397.50	2588.00	USD	4,762.50	
09AUG17	B	1	PD09NOV17	2398.00	2588.00	USD	4,750.00	
09AUG17	B	2	PD09NOV17	2392.50	2588.00	USD	9,775.00	
09AUG17	B	1	PD09NOV17	2385.50	2588.00	USD	5,062.50	
09AUG17	B	1	PD09NOV17	2391.00	2588.00	USD	4,925.00	
09AUG17	B	3	PD09NOV17	2391.50	2588.00	USD	14,737.50	
09AUG17	B	1	PD09NOV17	2387.00	2588.00	USD	5,025.00	
09AUG17	B	1	PD09NOV17	2388.00	2588.00	USD	5,000.00	
09AUG17	B	1	PD09NOV17	2389.50	2588.00	USD	4,962.50	
09AUG17	B	2	PD09NOV17	2393.00	2588.00	USD	9,750.00	
09AUG17	B	2	PD09NOV17	2390.50	2588.00	USD	9,875.00	
09AUG17	S	14	PD09NOV17	2349.80	2588.00	USD	-83,370.00	
09AUG17	B	1	PD09NOV17	2355.00	2588.00	USD	5,825.00	
10AUG17	S	2	PD09NOV17	2365.60	2588.00	USD	-11,120.00	
11AUG17	S	1	PD09NOV17	2325.51	2588.00	USD	-6,562.25	
	NET	**0**	**AVG BGHT 2389.29**	**SOLD 2350.23**			**-16,602.25**	**0.00**
14AUG17	S	1	PD14NOV17	2346.50	2588.00	USD	-6,037.50	
14AUG17	S	1	PD14NOV17	2340.50	2588.00	USD	-6,187.50	
14AUG17	S	1	PD14NOV17	2342.50	2588.00	USD	-6,137.50	
15AUG17	B	2	PD14NOV17	2381.03	2588.00	USD	10,348.50	
16AUG17	B	1	PD14NOV17	2518.27	2588.00	USD	1,743.25	
	NET	**0**	**AVG BGHT 2426.78**	**SOLD 2343.17**			**-6,270.75**	**0.00**
16AUG17	S	2	PD16NOV17	2388.00	2588.13	USD	-10,006.50	
16AUG17	S	2	PD16NOV17	2388.50	2588.13	USD	-9,981.50	
16AUG17	S	1	PD16NOV17	2424.00	2588.13	USD	-4,103.25	
16AUG17	S	1	PD16NOV17	2422.00	2588.13	USD	-4,153.25	
16AUG17	S	1	PD16NOV17	2421.50	2588.13	USD	-4,165.75	
16AUG17	S	3	PD16NOV17	2423.00	2588.13	USD	-12,384.75	
16AUG17	S	1	PD16NOV17	2427.50	2588.13	USD	-4,015.75	
16AUG17	S	1	PD16NOV17	2428.00	2588.13	USD	-4,003.25	
16AUG17	S	2	PD16NOV17	2423.50	2588.13	USD	-8,231.50	
16AUG17	S	2	PD16NOV17	2422.50	2588.13	USD	-8,281.50	
16AUG17	S	3	PD16NOV17	2387.50	2588.13	USD	-15,047.25	
16AUG17	S	2	PD16NOV17	2429.00	2588.13	USD	-7,956.50	
16AUG17	B	1	PD16NOV17	2466.00	2588.13	USD	3,053.25	
16AUG17	B	1	PD16NOV17	2468.00	2588.13	USD	3,003.25	
16AUG17	B	1	PD16NOV17	2469.00	2588.13	USD	2,978.25	
16AUG17	B	1	PD16NOV17	2470.50	2588.13	USD	2,940.75	
16AUG17	B	13	PD16NOV17	2517.20	2588.13	USD	23,052.25	
21AUG17	B	4	PD16NOV17	2346.00	2588.13	USD	24,213.00	
	NET	**0**	**AVG BGHT 2475.29**	**SOLD 2412.26**			**-33,090.00**	**0.00**
17AUG17	B	2	PD17NOV17	2527.50	2588.26	USD	3,038.00	
17AUG17	B	2	PD17NOV17	2528.00	2588.26	USD	3,013.00	
17AUG17	B	1	PD17NOV17	2464.50	2588.26	USD	3,094.00	
17AUG17	B	1	PD17NOV17	2465.00	2588.26	USD	3,081.50	
17AUG17	B	1	PD17NOV17	2432.50	2588.26	USD	3,894.00	
17AUG17	B	1	PD17NOV17	2433.00	2588.26	USD	3,881.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
17AUG17	B	1	PD17NOV17	2433.50	2588.26	USD	3,869.00	
17AUG17	B	1	PD17NOV17	2436.00	2588.26	USD	3,806.50	
17AUG17	S	4	PD17NOV17	2413.30	2588.26	USD	-17,496.00	
18AUG17	B	1	PD17NOV17	2424.50	2588.26	USD	4,094.00	
18AUG17	S	1	PD17NOV17	2426.50	2588.26	USD	-4,044.00	
18AUG17	B	2	PD17NOV17	2438.50	2588.26	USD	7,488.00	
18AUG17	B	1	PD17NOV17	2439.50	2588.26	USD	3,719.00	
18AUG17	B	1	PD17NOV17	2437.00	2588.26	USD	3,781.50	
18AUG17	B	1	PD17NOV17	2436.00	2588.26	USD	3,806.50	
18AUG17	B	1	PD17NOV17	2418.50	2588.26	USD	4,244.00	
18AUG17	B	1	PD17NOV17	2419.00	2588.26	USD	4,231.50	
18AUG17	B	1	PD17NOV17	2403.00	2588.26	USD	4,631.50	
18AUG17	B	1	PD17NOV17	2412.50	2588.26	USD	4,394.00	
18AUG17	B	1	PD17NOV17	2413.00	2588.26	USD	4,381.50	
18AUG17	B	1	PD17NOV17	2416.00	2588.26	USD	4,306.50	
18AUG17	B	3	PD17NOV17	2415.50	2588.26	USD	12,957.00	
18AUG17	B	2	PD17NOV17	2413.50	2588.26	USD	8,738.00	
18AUG17	B	2	PD17NOV17	2415.00	2588.26	USD	8,663.00	
18AUG17	B	1	PD17NOV17	2409.50	2588.26	USD	4,469.00	
18AUG17	B	2	PD17NOV17	2416.50	2588.26	USD	8,588.00	
18AUG17	B	5	PD17NOV17	2414.00	2588.26	USD	21,782.50	
18AUG17	B	5	PD17NOV17	2411.00	2588.26	USD	22,157.50	
18AUG17	B	3	PD17NOV17	2411.50	2588.26	USD	13,257.00	
18AUG17	B	2	PD17NOV17	2410.50	2588.26	USD	8,888.00	
18AUG17	B	1	PD17NOV17	2408.00	2588.26	USD	4,506.50	
18AUG17	B	1	PD17NOV17	2409.00	2588.26	USD	4,481.50	
18AUG17	B	3	PD17NOV17	2412.00	2588.26	USD	13,219.50	
18AUG17	B	2	PD17NOV17	2362.00	2588.26	USD	11,313.00	
18AUG17	B	1	PD17NOV17	2363.00	2588.26	USD	5,631.50	
18AUG17	B	1	PD17NOV17	2358.50	2588.26	USD	5,744.00	
18AUG17	B	2	PD17NOV17	2362.50	2588.26	USD	11,288.00	
18AUG17	B	1	PD17NOV17	2364.00	2588.26	USD	5,606.50	
18AUG17	S	25	PD17NOV17	2360.80	2588.26	USD	-142,162.50	
21AUG17	S	17	PD17NOV17	2346.00	2588.26	USD	-102,960.50	
24AUG17	S	5	PD17NOV17	2353.80	2588.26	USD	-29,307.50	
07SEP17	S	7	PD17NOV17	2333.88	2588.26	USD	-44,516.50	
	NET	**0**	**AVG BGHT 2420.09**	**SOLD 2357.42**			**-92,441.00**	**0.00**
21AUG17	B	2	PD21NOV17	2363.50	2588.77	USD	11,263.50	
21AUG17	B	1	PD21NOV17	2377.50	2588.77	USD	5,281.75	
21AUG17	B	1	PD21NOV17	2379.50	2588.77	USD	5,231.75	
21AUG17	S	1	PD21NOV17	2377.50	2588.77	USD	-5,281.75	
21AUG17	B	1	PD21NOV17	2361.50	2588.77	USD	5,681.75	
23AUG17	S	4	PD21NOV17	2376.25	2588.77	USD	-21,252.00	
	NET	**0**	**AVG BGHT 2369.10**	**SOLD 2376.50**			**925.00**	**0.00**
22AUG17	B	1	PD22NOV17	2340.50	2588.90	USD	6,210.00	
22AUG17	S	1	PD22NOV17	2398.00	2588.90	USD	-4,772.50	
22AUG17	S	2	PD22NOV17	2403.00	2588.90	USD	-9,295.00	
22AUG17	S	1	PD22NOV17	2403.50	2588.90	USD	-4,635.00	
22AUG17	S	1	PD22NOV17	2412.50	2588.90	USD	-4,410.00	
22AUG17	S	1	PD22NOV17	2417.00	2588.90	USD	-4,297.50	
22AUG17	B	1	PD22NOV17	2415.50	2588.90	USD	4,335.00	
22AUG17	S	1	PD22NOV17	2415.50	2588.90	USD	-4,335.00	
23AUG17	B	4	PD22NOV17	2376.70	2588.90	USD	21,220.00	
30AUG17	B	1	PD22NOV17	2367.86	2588.90	USD	5,526.00	
	NET	**0**	**AVG BGHT 2375.81**	**SOLD 2407.50**			**5,546.00**	**0.00**
23AUG17	B	1	PD24NOV17	2416.50	2589.16	USD	4,316.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
23AUG17	B 1 PD24NOV17	2397.50	2589.16	USD	4,791.50	
23AUG17	B 1 PD24NOV17	2394.50	2589.16	USD	4,866.50	
23AUG17	S 1 PD24NOV17	2415.00	2589.16	USD	-4,354.00	
23AUG17	S 1 PD24NOV17	2413.00	2589.16	USD	-4,404.00	
23AUG17	S 1 PD24NOV17	2412.50	2589.16	USD	-4,416.50	
23AUG17	S 2 PD24NOV17	2413.50	2589.16	USD	-8,783.00	
23AUG17	S 1 PD24NOV17	2414.50	2589.16	USD	-4,366.50	
23AUG17	S 1 PD24NOV17	2410.50	2589.16	USD	-4,466.50	
23AUG17	S 1 PD24NOV17	2405.50	2589.16	USD	-4,591.50	
23AUG17	B 1 PD24NOV17	2402.00	2589.16	USD	4,679.00	
23AUG17	B 1 PD24NOV17	2389.00	2589.16	USD	5,004.00	
23AUG17	B 2 PD24NOV17	2379.00	2589.16	USD	10,508.00	
23AUG17	B 1 PD24NOV17	2381.50	2589.16	USD	5,191.50	
23AUG17	B 1 PD24NOV17	2383.00	2589.16	USD	5,154.00	
24AUG17	B 1 PD24NOV17	2392.50	2589.16	USD	4,916.50	
24AUG17	B 1 PD24NOV17	2396.00	2589.16	USD	4,829.00	
24AUG17	B 1 PD24NOV17	2396.50	2589.16	USD	4,816.50	
24AUG17	B 1 PD24NOV17	2388.50	2589.16	USD	5,016.50	
24AUG17	B 2 PD24NOV17	2394.50	2589.16	USD	9,733.00	
24AUG17	B 1 PD24NOV17	2385.00	2589.16	USD	5,104.00	
24AUG17	B 1 PD24NOV17	2384.00	2589.16	USD	5,129.00	
24AUG17	B 1 PD24NOV17	2383.50	2589.16	USD	5,141.50	
24AUG17	B 2 PD24NOV17	2387.00	2589.16	USD	10,108.00	
24AUG17	B 1 PD24NOV17	2373.00	2589.16	USD	5,404.00	
24AUG17	B 1 PD24NOV17	2373.50	2589.16	USD	5,391.50	
24AUG17	B 1 PD24NOV17	2385.50	2589.16	USD	5,091.50	
24AUG17	B 1 PD24NOV17	2392.00	2589.16	USD	4,929.00	
24AUG17	S 1 PD24NOV17	2385.00	2589.16	USD	-5,104.00	
24AUG17	S 1 PD24NOV17	2361.00	2589.16	USD	-5,704.00	
24AUG17	S 1 PD24NOV17	2370.00	2589.16	USD	-5,479.00	
24AUG17	B 1 PD24NOV17	2350.00	2589.16	USD	5,979.00	
24AUG17	B 1 PD24NOV17	2350.50	2589.16	USD	5,966.50	
24AUG17	S 1 PD24NOV17	2356.50	2589.16	USD	-5,816.50	
24AUG17	S 2 PD24NOV17	2357.00	2589.16	USD	-11,608.00	
24AUG17	S 2 PD24NOV17	2358.50	2589.16	USD	-11,533.00	
25AUG17	S 1 PD24NOV17	2365.00	2589.16	USD	-5,604.00	
25AUG17	S 1 PD24NOV17	2363.50	2589.16	USD	-5,641.50	
25AUG17	S 1 PD24NOV17	2366.50	2589.16	USD	-5,566.50	
25AUG17	S 1 PD24NOV17	2367.00	2589.16	USD	-5,554.00	
25AUG17	S 1 PD24NOV17	2372.00	2589.16	USD	-5,429.00	
25AUG17	S 1 PD24NOV17	2373.50	2589.16	USD	-5,391.50	
25AUG17	S 1 PD24NOV17	2374.00	2589.16	USD	-5,379.00	
25AUG17	B 1 PD24NOV17	2361.00	2589.16	USD	5,704.00	
25AUG17	S 1 PD24NOV17	2363.00	2589.16	USD	-5,654.00	
25AUG17	S 1 PD24NOV17	2335.00	2589.16	USD	-6,354.00	
25AUG17	B 1 PD24NOV17	2325.00	2589.16	USD	6,604.00	
25AUG17	B 1 PD24NOV17	2329.00	2589.16	USD	6,504.00	
25AUG17	B 1 PD24NOV17	2324.50	2589.16	USD	6,616.50	
30AUG17	S 1 PD24NOV17	2368.19	2589.16	USD	-5,524.25	
05SEP17	S 2 PD24NOV17	2330.47	2589.16	USD	-12,934.50	
07SEP17	S 2 PD24NOV17	2336.15	2589.16	USD	-12,650.50	
	NET 0 AVG BGHT 2379.17 SOLD 2372.75				**-4,814.25**	**0.00**
29AUG17	B 1 PD29NOV17	2346.00	2589.80	USD	6,095.00	
29AUG17	S 1 PD29NOV17	2389.00	2589.80	USD	-5,020.00	
29AUG17	S 1 PD29NOV17	2388.00	2589.80	USD	-5,045.00	
29AUG17	S 1 PD29NOV17	2385.50	2589.80	USD	-5,107.50	
29AUG17	S 1 PD29NOV17	2387.50	2589.80	USD	-5,057.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
29AUG17	S	1	PD29NOV17	2392.00	2589.80	USD	-4,945.00	
29AUG17	B	1	PD29NOV17	2343.50	2589.80	USD	6,157.50	
29AUG17	B	1	PD29NOV17	2382.50	2589.80	USD	5,182.50	
29AUG17	B	1	PD29NOV17	2378.00	2589.80	USD	5,295.00	
29AUG17	B	1	PD29NOV17	2381.00	2589.80	USD	5,220.00	
29AUG17	B	1	PD29NOV17	2384.00	2589.80	USD	5,145.00	
29AUG17	B	1	PD29NOV17	2384.50	2589.80	USD	5,132.50	
07SEP17	S	2	PD29NOV17	2337.78	2589.80	USD	-12,601.00	
	NET	**0**	**AVG BGHT 2371.36**	**SOLD 2373.94**			**451.50**	**0.00**
30AUG17	B	1	PD30NOV17	2389.50	2589.93	USD	5,010.75	
30AUG17	B	1	PD30NOV17	2392.50	2589.93	USD	4,935.75	
30AUG17	B	1	PD30NOV17	2393.50	2589.93	USD	4,910.75	
30AUG17	B	1	PD30NOV17	2391.50	2589.93	USD	4,960.75	
30AUG17	B	1	PD30NOV17	2395.00	2589.93	USD	4,873.25	
30AUG17	S	1	PD30NOV17	2379.50	2589.93	USD	-5,260.75	
30AUG17	S	1	PD30NOV17	2380.00	2589.93	USD	-5,248.25	
30AUG17	S	1	PD30NOV17	2377.50	2589.93	USD	-5,310.75	
30AUG17	S	1	PD30NOV17	2392.00	2589.93	USD	-4,948.25	
30AUG17	S	1	PD30NOV17	2404.00	2589.93	USD	-4,648.25	
30AUG17	S	1	PD30NOV17	2405.00	2589.93	USD	-4,623.25	
30AUG17	B	1	PD30NOV17	2376.50	2589.93	USD	5,335.75	
30AUG17	B	2	PD30NOV17	2375.00	2589.93	USD	10,746.50	
30AUG17	S	1	PD30NOV17	2375.00	2589.93	USD	-5,373.25	
30AUG17	B	1	PD30NOV17	2378.50	2589.93	USD	5,285.75	
31AUG17	B	1	PD30NOV17	2377.50	2589.93	USD	5,310.75	
31AUG17	B	1	PD30NOV17	2380.00	2589.93	USD	5,248.25	
31AUG17	S	1	PD30NOV17	2372.00	2589.93	USD	-5,448.25	
31AUG17	S	1	PD30NOV17	2382.00	2589.93	USD	-5,198.25	
31AUG17	S	1	PD30NOV17	2383.00	2589.93	USD	-5,173.25	
31AUG17	S	1	PD30NOV17	2380.00	2589.93	USD	-5,248.25	
31AUG17	S	1	PD30NOV17	2387.00	2589.93	USD	-5,073.25	
31AUG17	S	1	PD30NOV17	2396.00	2589.93	USD	-4,848.25	
31AUG17	S	1	PD30NOV17	2400.00	2589.93	USD	-4,748.25	
31AUG17	S	2	PD30NOV17	2393.50	2589.93	USD	-9,821.50	
31AUG17	S	1	PD30NOV17	2394.00	2589.93	USD	-4,898.25	
31AUG17	S	1	PD30NOV17	2391.50	2589.93	USD	-4,960.75	
31AUG17	S	1	PD30NOV17	2392.00	2589.93	USD	-4,948.25	
31AUG17	S	1	PD30NOV17	2388.50	2589.93	USD	-5,035.75	
31AUG17	S	1	PD30NOV17	2393.00	2589.93	USD	-4,923.25	
31AUG17	S	1	PD30NOV17	2378.00	2589.93	USD	-5,298.25	
31AUG17	S	1	PD30NOV17	2390.00	2589.93	USD	-4,998.25	
31AUG17	B	2	PD30NOV17	2394.20	2589.93	USD	9,786.50	
07SEP17	B	10	PD30NOV17	2338.30	2589.93	USD	62,907.50	
	NET	**0**	**AVG BGHT 2365.04**	**SOLD 2388.13**			**13,277.50**	**0.00**
01SEP17	B	1	PD01DEC17	2400.00	2590.06	USD	4,751.50	
	NET	**+1**	**AVG BGHT 2400.00**				**4,751.50**	**0.00**
05SEP17	B	2	PD05DEC17	2372.00	2590.57	USD	10,928.50	
05SEP17	B	1	PD05DEC17	2372.50	2590.57	USD	5,451.75	
05SEP17	B	1	PD05DEC17	2371.00	2590.57	USD	5,489.25	
05SEP17	B	3	PD05DEC17	2363.50	2590.57	USD	17,030.25	
05SEP17	B	1	PD05DEC17	2356.50	2590.57	USD	5,851.75	
05SEP17	S	1	PD05DEC17	2351.00	2590.57	USD	-5,989.25	
05SEP17	B	1	PD05DEC17	2348.00	2590.57	USD	6,064.25	
05SEP17	B	1	PD05DEC17	2340.50	2590.57	USD	6,251.75	
05SEP17	B	1	PD05DEC17	2341.00	2590.57	USD	6,239.25	
07SEP17	S	10	PD05DEC17	2339.73	2590.57	USD	-62,710.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
	NET	**0**	**AVG BGHT 2360.36 SOLD 2340.75**				**-5,392.50**	**0.00**
07SEP17	B	1	PD07DEC17	2334.00	2590.83	USD	6,420.75	
07SEP17	B	1	PD07DEC17	2332.00	2590.83	USD	6,470.75	
07SEP17	B	1	PD07DEC17	2333.00	2590.83	USD	6,445.75	
07SEP17	B	1	PD07DEC17	2330.50	2590.83	USD	6,508.25	
07SEP17	B	1	PD07DEC17	2328.50	2590.83	USD	6,558.25	
07SEP17	B	2	PD07DEC17	2331.00	2590.83	USD	12,991.50	
07SEP17	B	1	PD07DEC17	2337.50	2590.83	USD	6,333.25	
07SEP17	B	1	PD07DEC17	2342.00	2590.83	USD	6,220.75	
07SEP17	B	1	PD07DEC17	2342.50	2590.83	USD	6,208.25	
07SEP17	B	1	PD07DEC17	2345.50	2590.83	USD	6,133.25	
07SEP17	B	2	PD07DEC17	2346.00	2590.83	USD	12,241.50	
07SEP17	B	1	PD07DEC17	2347.50	2590.83	USD	6,083.25	
08SEP17	S	14	PD07DEC17	2264.80	2590.83	USD	-114,110.50	
	NET	**0**	**AVG BGHT 2337.64 SOLD 2264.80**				**-25,495.00**	**0.00**
08SEP17	B	1	PD08DEC17	2299.50	2590.96	USD	7,286.50	
08SEP17	B	1	PD08DEC17	2298.50	2590.96	USD	7,311.50	
08SEP17	B	1	PD08DEC17	2300.00	2590.96	USD	7,274.00	
08SEP17	B	1	PD08DEC17	2303.00	2590.96	USD	7,199.00	
08SEP17	B	1	PD08DEC17	2303.50	2590.96	USD	7,186.50	
08SEP17	B	1	PD08DEC17	2305.00	2590.96	USD	7,149.00	
08SEP17	B	1	PD08DEC17	2297.50	2590.96	USD	7,336.50	
08SEP17	B	1	PD08DEC17	2294.50	2590.96	USD	7,411.50	
22SEP17	S	6	PD08DEC17	2488.50	2590.96	USD	-15,369.00	
	NET	**+2**	**AVG BGHT 2300.19 SOLD 2488.50**				**42,785.50**	**0.00**
14SEP17	S	1	PD14DEC17	2296.00	2591.73	USD	-7,393.25	
14SEP17	S	1	PD14DEC17	2296.50	2591.73	USD	-7,380.75	
14SEP17	S	1	PD14DEC17	2297.00	2591.73	USD	-7,368.25	
14SEP17	S	1	PD14DEC17	2297.50	2591.73	USD	-7,355.75	
14SEP17	S	1	PD14DEC17	2298.50	2591.73	USD	-7,330.75	
14SEP17	S	2	PD14DEC17	2292.00	2591.73	USD	-14,986.50	
14SEP17	S	1	PD14DEC17	2294.50	2591.73	USD	-7,430.75	
14SEP17	S	1	PD14DEC17	2310.50	2591.73	USD	-7,030.75	
14SEP17	S	2	PD14DEC17	2313.50	2591.73	USD	-13,911.50	
14SEP17	S	2	PD14DEC17	2320.00	2591.73	USD	-13,586.50	
14SEP17	S	3	PD14DEC17	2318.00	2591.73	USD	-20,529.75	
14SEP17	S	3	PD14DEC17	2319.50	2591.73	USD	-20,417.25	
14SEP17	S	1	PD14DEC17	2321.00	2591.73	USD	-6,768.25	
14SEP17	S	2	PD14DEC17	2321.50	2591.73	USD	-13,511.50	
14SEP17	B	1	PD14DEC17	2320.00	2591.73	USD	6,793.25	
14SEP17	B	1	PD14DEC17	2323.00	2591.73	USD	6,718.25	
19SEP17	B	15	PD14DEC17	2420.20	2591.73	USD	64,323.75	
21SEP17	B	5	PD14DEC17	2518.11	2591.73	USD	9,202.50	
	NET	**0**	**AVG BGHT 2433.48 SOLD 2309.91**				**-67,963.75**	**0.00**
15SEP17	S	2	PD15DEC17	2326.00	2591.86	USD	-13,293.00	
15SEP17	S	3	PD15DEC17	2349.50	2591.86	USD	-18,177.00	
15SEP17	S	1	PD15DEC17	2351.00	2591.86	USD	-6,021.50	
15SEP17	S	1	PD15DEC17	2346.00	2591.86	USD	-6,146.50	
15SEP17	S	1	PD15DEC17	2346.50	2591.86	USD	-6,134.00	
15SEP17	S	1	PD15DEC17	2348.00	2591.86	USD	-6,096.50	
15SEP17	S	1	PD15DEC17	2347.50	2591.86	USD	-6,109.00	
15SEP17	S	2	PD15DEC17	2350.00	2591.86	USD	-12,093.00	
15SEP17	S	2	PD15DEC17	2351.50	2591.86	USD	-12,018.00	
21SEP17	B	14	PD15DEC17	2518.13	2591.86	USD	25,805.50	
	NET	**0**	**AVG BGHT 2518.13 SOLD 2345.89**				**-60,283.00**	**0.00**
18SEP17	S	2	PD18DEC17	2393.00	2592.24	USD	-9,962.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
18SEP17	S 1 PD18DEC17	2391.50	2592.24	USD	-5,018.50	
18SEP17	S 1 PD18DEC17	2398.50	2592.24	USD	-4,843.50	
18SEP17	S 1 PD18DEC17	2402.50	2592.24	USD	-4,743.50	
18SEP17	S 1 PD18DEC17	2401.50	2592.24	USD	-4,768.50	
18SEP17	S 1 PD18DEC17	2403.50	2592.24	USD	-4,718.50	
18SEP17	S 1 PD18DEC17	2405.50	2592.24	USD	-4,668.50	
21SEP17	B 8 PD18DEC17	2518.17	2592.24	USD	14,814.00	
	NET 0 AVG BGHT 2518.17 SOLD 2398.63				**-23,909.00**	**0.00**
19SEP17	S 1 PD19DEC17	2385.00	2592.37	USD	-5,184.25	
19SEP17	S 1 PD19DEC17	2387.00	2592.37	USD	-5,134.25	
19SEP17	S 1 PD19DEC17	2394.50	2592.37	USD	-4,946.75	
19SEP17	S 1 PD19DEC17	2393.50	2592.37	USD	-4,971.75	
19SEP17	S 1 PD19DEC17	2392.00	2592.37	USD	-5,009.25	
19SEP17	S 2 PD19DEC17	2393.00	2592.37	USD	-9,968.50	
19SEP17	S 1 PD19DEC17	2399.00	2592.37	USD	-4,834.25	
19SEP17	S 2 PD19DEC17	2404.50	2592.37	USD	-9,393.50	
19SEP17	S 1 PD19DEC17	2401.50	2592.37	USD	-4,771.75	
19SEP17	S 1 PD19DEC17	2402.50	2592.37	USD	-4,746.75	
19SEP17	S 1 PD19DEC17	2403.50	2592.37	USD	-4,721.75	
19SEP17	S 2 PD19DEC17	2404.00	2592.37	USD	-9,418.50	
19SEP17	S 1 PD19DEC17	2406.00	2592.37	USD	-4,659.25	
19SEP17	S 1 PD19DEC17	2415.50	2592.37	USD	-4,421.75	
19SEP17	S 1 PD19DEC17	2412.00	2592.37	USD	-4,509.25	
19SEP17	S 1 PD19DEC17	2416.00	2592.37	USD	-4,409.25	
19SEP17	S 1 PD19DEC17	2436.00	2592.37	USD	-3,909.25	
19SEP17	S 2 PD19DEC17	2409.00	2592.37	USD	-9,168.50	
19SEP17	S 1 PD19DEC17	2410.50	2592.37	USD	-4,546.75	
19SEP17	S 1 PD19DEC17	2411.50	2592.37	USD	-4,521.75	
19SEP17	S 2 PD19DEC17	2447.50	2592.37	USD	-7,243.50	
20SEP17	B 26 PD19DEC17	2460.04	2592.37	USD	86,014.50	
	NET 0 AVG BGHT 2460.04 SOLD 2407.00				**-34,476.00**	**0.00**
20SEP17	S 1 PD20DEC17	2440.00	2592.50	USD	-3,812.50	
20SEP17	S 1 PD20DEC17	2441.00	2592.50	USD	-3,787.50	
20SEP17	S 2 PD20DEC17	2450.00	2592.50	USD	-7,125.00	
20SEP17	S 1 PD20DEC17	2454.50	2592.50	USD	-3,450.00	
20SEP17	S 1 PD20DEC17	2456.00	2592.50	USD	-3,412.50	
20SEP17	S 1 PD20DEC17	2455.00	2592.50	USD	-3,437.50	
20SEP17	S 1 PD20DEC17	2462.50	2592.50	USD	-3,250.00	
20SEP17	S 2 PD20DEC17	2440.50	2592.50	USD	-7,600.00	
20SEP17	S 1 PD20DEC17	2442.00	2592.50	USD	-3,762.50	
21SEP17	B 11 PD20DEC17	2518.20	2592.50	USD	20,432.50	
	NET 0 AVG BGHT 2518.20 SOLD 2448.36				**-19,205.00**	**0.00**
21SEP17	S 1 PD21DEC17	2432.50	2592.29	USD	-3,994.75	
21SEP17	S 1 PD21DEC17	2434.50	2592.29	USD	-3,944.75	
21SEP17	S 1 PD21DEC17	2435.00	2592.29	USD	-3,932.25	
21SEP17	S 1 PD21DEC17	2435.50	2592.29	USD	-3,919.75	
21SEP17	S 1 PD21DEC17	2437.50	2592.29	USD	-3,869.75	
21SEP17	S 1 PD21DEC17	2446.00	2592.29	USD	-3,657.25	
21SEP17	S 1 PD21DEC17	2465.00	2592.29	USD	-3,182.25	
21SEP17	S 1 PD21DEC17	2471.00	2592.29	USD	-3,032.25	
21SEP17	S 1 PD21DEC17	2473.50	2592.29	USD	-2,969.75	
21SEP17	S 3 PD21DEC17	2447.00	2592.29	USD	-10,896.75	
21SEP17	S 3 PD21DEC17	2465.50	2592.29	USD	-9,509.25	
21SEP17	S 1 PD21DEC17	2467.50	2592.29	USD	-3,119.75	
21SEP17	S 1 PD21DEC17	2464.00	2592.29	USD	-3,207.25	
21SEP17	S 3 PD21DEC17	2466.50	2592.29	USD	-9,434.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
21SEP17	S	1	PD21DEC17	2468.00	2592.29	USD	-3,107.25	
21SEP17	S	2	PD21DEC17	2464.50	2592.29	USD	-6,389.50	
21SEP17	B	1	PD21DEC17	2476.50	2592.29	USD	2,894.75	
21SEP17	B	1	PD21DEC17	2458.00	2592.29	USD	3,357.25	
21SEP17	B	1	PD21DEC17	2441.50	2592.29	USD	3,769.75	
21SEP17	B	1	PD21DEC17	2443.50	2592.29	USD	3,719.75	
21SEP17	B	1	PD21DEC17	2448.00	2592.29	USD	3,607.25	
21SEP17	B	11	PD21DEC17	2513.20	2592.29	USD	21,749.75	
21SEP17	B	1	PD21DEC17	2451.50	2592.29	USD	3,519.75	
22SEP17	B	6	PD21DEC17	2485.95	2592.29	USD	15,951.00	
	NET	**0**	**AVG BGHT 2490.43**	**SOLD 2456.35**			**-19,597.50**	**0.00**
22SEP17	B	1	PD22DEC17	2416.00	2592.07	USD	4,401.75	
22SEP17	B	1	PD22DEC17	2416.50	2592.07	USD	4,389.25	
22SEP17	B	1	PD22DEC17	2421.50	2592.07	USD	4,264.25	
22SEP17	B	1	PD22DEC17	2425.50	2592.07	USD	4,164.25	
22SEP17	B	1	PD22DEC17	2398.00	2592.07	USD	4,851.75	
22SEP17	B	1	PD22DEC17	2398.50	2592.07	USD	4,839.25	
22SEP17	B	1	PD22DEC17	2402.00	2592.07	USD	4,751.75	
22SEP17	B	1	PD22DEC17	2402.50	2592.07	USD	4,739.25	
22SEP17	B	1	PD22DEC17	2403.00	2592.07	USD	4,726.75	
22SEP17	B	1	PD22DEC17	2406.50	2592.07	USD	4,639.25	
22SEP17	B	1	PD22DEC17	2374.50	2592.07	USD	5,439.25	
22SEP17	B	1	PD22DEC17	2381.50	2592.07	USD	5,264.25	
22SEP17	B	2	PD22DEC17	2383.50	2592.07	USD	10,428.50	
22SEP17	B	1	PD22DEC17	2384.50	2592.07	USD	5,189.25	
22SEP17	B	1	PD22DEC17	2386.00	2592.07	USD	5,151.75	
22SEP17	B	2	PD22DEC17	2393.00	2592.07	USD	9,953.50	
22SEP17	B	1	PD22DEC17	2397.00	2592.07	USD	4,876.75	
22SEP17	B	2	PD22DEC17	2397.50	2592.07	USD	9,728.50	
22SEP17	S	2	PD22DEC17	2448.00	2592.07	USD	-7,203.50	
22SEP17	S	1	PD22DEC17	2456.50	2592.07	USD	-3,389.25	
22SEP17	B	1	PD22DEC17	2479.50	2592.07	USD	2,814.25	
22SEP17	B	2	PD22DEC17	2415.00	2592.07	USD	8,853.50	
22SEP17	B	1	PD22DEC17	2382.00	2592.07	USD	5,251.75	
22SEP17	B	1	PD22DEC17	2382.50	2592.07	USD	5,239.25	
22SEP17	B	1	PD22DEC17	2400.00	2592.07	USD	4,801.75	
22SEP17	B	1	PD22DEC17	2476.00	2592.07	USD	2,901.75	
22SEP17	S	5	PD22DEC17	2411.55	2592.07	USD	-22,565.00	
22SEP17	S	12	PD22DEC17	2410.55	2592.07	USD	-54,456.00	
22SEP17	B	1	PD22DEC17	2488.00	2592.07	USD	2,601.75	
22SEP17	B	1	PD22DEC17	2488.50	2592.07	USD	2,589.25	
28SEP17	S	2	PD22DEC17	2471.55	2592.07	USD	-6,026.00	
	NET	**+8**	**AVG BGHT 2409.60**	**SOLD 2421.82**			**43,212.75**	**0.00**
25SEP17	B	2	PD27DEC17	2468.00	2591.86	USD	6,193.00	
25SEP17	S	1	PD27DEC17	2500.00	2591.86	USD	-2,296.50	
25SEP17	S	2	PD27DEC17	2506.00	2591.86	USD	-4,293.00	
25SEP17	S	1	PD27DEC17	2503.00	2591.86	USD	-2,221.50	
25SEP17	B	2	PD27DEC17	2473.50	2591.86	USD	5,918.00	
25SEP17	B	1	PD27DEC17	2474.00	2591.86	USD	2,946.50	
26SEP17	B	1	PD27DEC17	2489.50	2591.86	USD	2,559.00	
26SEP17	B	1	PD27DEC17	2467.50	2591.86	USD	3,109.00	
26SEP17	B	1	PD27DEC17	2483.50	2591.86	USD	2,709.00	
26SEP17	B	1	PD27DEC17	2484.00	2591.86	USD	2,696.50	
26SEP17	B	1	PD27DEC17	2484.50	2591.86	USD	2,684.00	
26SEP17	B	1	PD27DEC17	2485.00	2591.86	USD	2,671.50	
26SEP17	S	2	PD27DEC17	2476.00	2591.86	USD	-5,793.00	
26SEP17	S	1	PD27DEC17	2476.50	2591.86	USD	-2,884.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
26SEP17	S 1 PD27DEC17	2479.50	2591.86	USD	-2,809.00	
26SEP17	S 1 PD27DEC17	2481.50	2591.86	USD	-2,759.00	
26SEP17	S 2 PD27DEC17	2482.00	2591.86	USD	-5,493.00	
26SEP17	S 1 PD27DEC17	2480.00	2591.86	USD	-2,796.50	
26SEP17	S 1 PD27DEC17	2483.00	2591.86	USD	-2,721.50	
26SEP17	S 1 PD27DEC17	2483.50	2591.86	USD	-2,709.00	
26SEP17	S 1 PD27DEC17	2484.00	2591.86	USD	-2,696.50	
27SEP17	S 1 PD27DEC17	2479.00	2591.86	USD	-2,821.50	
27SEP17	S 1 PD27DEC17	2468.00	2591.86	USD	-3,096.50	
27SEP17	S 1 PD27DEC17	2468.50	2591.86	USD	-3,084.00	
	NET -7 AVG BGHT 2477.36 SOLD 2484.14				**-16,988.00**	**0.00**
28SEP17	S 1 PD28DEC17	2458.00	2591.64	USD	-3,341.00	
28SEP17	B 1 PD28DEC17	2474.00	2591.64	USD	2,941.00	
28SEP17	B 1 PD28DEC17	2471.50	2591.64	USD	3,003.50	
28SEP17	S 1 PD28DEC17	2472.50	2591.64	USD	-2,978.50	
28SEP17	S 1 PD28DEC17	2469.80	2591.64	USD	-3,046.00	
	NET -1 AVG BGHT 2472.75 SOLD 2466.77				**-3,421.00**	**0.00**
29SEP17	B 2 PD29DEC17	2492.00	2591.43	USD	4,971.50	
29SEP17	B 4 PD29DEC17	2492.50	2591.43	USD	9,893.00	
29SEP17	B 3 PD29DEC17	2495.00	2591.43	USD	7,232.25	
29SEP17	B 1 PD29DEC17	2496.00	2591.43	USD	2,385.75	
29SEP17	B 2 PD29DEC17	2498.00	2591.43	USD	4,671.50	
29SEP17	S 1 PD29DEC17	2509.50	2591.43	USD	-2,048.25	
29SEP17	S 1 PD29DEC17	2512.50	2591.43	USD	-1,973.25	
29SEP17	B 1 PD29DEC17	2497.50	2591.43	USD	2,348.25	
29SEP17	S 1 PD29DEC17	2510.00	2591.43	USD	-2,035.75	
29SEP17	S 1 PD29DEC17	2513.00	2591.43	USD	-1,960.75	
29SEP17	B 1 PD29DEC17	2470.00	2591.43	USD	3,035.75	
29SEP17	B 1 PD29DEC17	2470.50	2591.43	USD	3,023.25	
29SEP17	B 1 PD29DEC17	2475.00	2591.43	USD	2,910.75	
29SEP17	B 1 PD29DEC17	2476.50	2591.43	USD	2,873.25	
29SEP17	S 1 PD29DEC17	2481.50	2591.43	USD	-2,748.25	
29SEP17	S 1 PD29DEC17	2482.00	2591.43	USD	-2,735.75	
	NET +11 AVG BGHT 2489.44 SOLD 2501.42				**29,843.25**	**0.00**
03OCT17	S 1 PD03JAN18	2547.00	2591.00	USD	-1,100.00	
03OCT17	S 1 PD03JAN18	2547.50	2591.00	USD	-1,087.50	
03OCT17	S 1 PD03JAN18	2554.00	2591.00	USD	-925.00	
03OCT17	S 1 PD03JAN18	2558.50	2591.00	USD	-812.50	
03OCT17	S 1 PD03JAN18	2568.00	2591.00	USD	-575.00	
03OCT17	S 1 PD03JAN18	2570.00	2591.00	USD	-525.00	
03OCT17	S 2 PD03JAN18	2569.00	2591.00	USD	-1,100.00	
03OCT17	S 1 PD03JAN18	2585.00	2591.00	USD	-150.00	
03OCT17	S 2 PD03JAN18	2590.00	2591.00	USD	-50.00	
03OCT17	S 1 PD03JAN18	2591.00	2591.00	USD	0.00	
03OCT17	S 1 PD03JAN18	2589.50	2591.00	USD	-37.50	
03OCT17	S 2 PD03JAN18	2589.00	2591.00	USD	-100.00	
03OCT17	S 1 PD03JAN18	2590.50	2591.00	USD	-12.50	
03OCT17	S 2 PD03JAN18	2588.00	2591.00	USD	-150.00	
03OCT17	S 1 PD03JAN18	2584.00	2591.00	USD	-175.00	
	NET -19 AVG SOLD 2576.68				**-6,800.00**	**0.00**
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
04JUL17	B 1 PD04OCT17	9165.00	10531.23	USD	8,197.38	
04JUL17	B 1 PD04OCT17	9170.00	10531.23	USD	8,167.38	
04JUL17	B 2 PD04OCT17	9150.00	10531.23	USD	16,574.76	
25JUL17	S 4 PD04OCT17	9996.25	10531.23	USD	-12,839.52	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
	NET	**0**	**AVG BGHT 9158.75 SOLD 9996.25**				**20,100.00**	**0.00**
18JUL17	B	2	PD18OCT17	9655.00	10549.00	USD	10,728.00	
23AUG17	S	2	PD18OCT17	11637.50	10549.00	USD	13,062.00	
	NET	**0**	**AVG BGHT 9655.00 SOLD 11637.50**				**23,790.00**	**0.00**
24JUL17	S	3	PD24OCT17	9770.00	10554.79	USD	-14,126.22	
25JUL17	B	3	PD24OCT17	10005.83	10554.79	USD	9,881.28	
	NET	**0**	**AVG BGHT 10005.83 SOLD 9770.00**				**-4,244.94**	**0.00**
25JUL17	S	1	PD25OCT17	9895.00	10555.75	USD	-3,964.50	
25JUL17	S	2	PD25OCT17	9945.00	10555.75	USD	-7,329.00	
25JUL17	S	2	PD25OCT17	9940.00	10555.75	USD	-7,389.00	
25JUL17	B	5	PD25OCT17	10006.00	10555.75	USD	16,492.50	
	NET	**0**	**AVG BGHT 10006.00 SOLD 9933.00**				**-2,190.00**	**0.00**
26JUL17	B	2	PD26OCT17	10020.00	10556.71	USD	6,440.52	
26JUL17	B	1	PD26OCT17	10025.00	10556.71	USD	3,190.26	
26JUL17	B	2	PD26OCT17	10035.00	10556.71	USD	6,260.52	
26JUL17	S	1	PD26OCT17	9935.00	10556.71	USD	-3,730.26	
26JUL17	S	3	PD26OCT17	9940.00	10556.71	USD	-11,100.78	
26JUL17	S	1	PD26OCT17	9945.00	10556.71	USD	-3,670.26	
26JUL17	S	1	PD26OCT17	9950.00	10556.71	USD	-3,640.26	
26JUL17	S	1	PD26OCT17	9955.00	10556.71	USD	-3,610.26	
26JUL17	S	1	PD26OCT17	9975.00	10556.71	USD	-3,490.26	
26JUL17	S	1	PD26OCT17	9960.00	10556.71	USD	-3,580.26	
26JUL17	S	2	PD26OCT17	9965.00	10556.71	USD	-7,100.52	
26JUL17	S	1	PD26OCT17	9980.00	10556.71	USD	-3,460.26	
26JUL17	S	1	PD26OCT17	9985.00	10556.71	USD	-3,430.26	
26JUL17	S	1	PD26OCT17	10040.00	10556.71	USD	-3,100.26	
26JUL17	S	1	PD26OCT17	10025.00	10556.71	USD	-3,190.26	
26JUL17	S	2	PD26OCT17	10010.00	10556.71	USD	-6,560.52	
26JUL17	S	1	PD26OCT17	10015.00	10556.71	USD	-3,250.26	
26JUL17	B	15	PD26OCT17	10041.00	10556.71	USD	46,413.90	
31AUG17	S	1	PD26OCT17	11774.73	10556.71	USD	7,308.12	
05SEP17	S	1	PD26OCT17	12052.85	10556.71	USD	8,976.84	
	NET	**0**	**AVG BGHT 10037.50 SOLD 10168.13**				**15,675.48**	**0.00**
27JUL17	B	1	PD27OCT17	10015.00	10557.68	USD	3,256.08	
27JUL17	B	1	PD27OCT17	10055.00	10557.68	USD	3,016.08	
27JUL17	S	1	PD27OCT17	10000.00	10557.68	USD	-3,346.08	
27JUL17	S	1	PD27OCT17	10120.00	10557.68	USD	-2,626.08	
	NET	**0**	**AVG BGHT 10035.00 SOLD 10060.00**				**300.00**	**0.00**
31JUL17	B	1	PD31OCT17	10235.00	10561.54	USD	1,959.24	
31JUL17	B	3	PD31OCT17	10225.00	10561.54	USD	6,057.72	
05SEP17	S	4	PD31OCT17	12056.88	10561.54	USD	35,888.16	
	NET	**0**	**AVG BGHT 10227.50 SOLD 12056.88**				**43,905.12**	**0.00**
01AUG17	B	2	PD01NOV17	10150.00	10562.50	USD	4,950.00	
05SEP17	S	2	PD01NOV17	12057.69	10562.50	USD	17,942.28	
	NET	**0**	**AVG BGHT 10150.00 SOLD 12057.69**				**22,892.28**	**0.00**
29SEP17	B	13	PD02NOV17	10446.50	10563.46	USD	9,122.88	
	NET	**+13**	**AVG BGHT 10446.50**				**9,122.88**	**0.00**
03AUG17	B	1	PD03NOV17	10305.00	10564.43	USD	1,556.58	
03AUG17	B	1	PD03NOV17	10335.00	10564.43	USD	1,376.58	
05SEP17	S	2	PD03NOV17	12059.31	10564.43	USD	17,938.56	
	NET	**0**	**AVG BGHT 10320.00 SOLD 12059.31**				**20,871.72**	**0.00**
07AUG17	S	1	PD07NOV17	10375.00	10568.29	USD	-1,159.74	
07AUG17	S	1	PD07NOV17	10370.00	10568.29	USD	-1,189.74	
08AUG17	B	2	PD07NOV17	10630.60	10568.29	USD	-747.72	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
	NET 0 AVG BGHT 10630.60 SOLD 10372.50				**-3,097.20**	**0.00**
14AUG17	B 1 PD14NOV17	10585.00	10575.04	USD	-59.76	
14AUG17	B 1 PD14NOV17	10550.00	10575.04	USD	150.24	
05SEP17	S 2 PD14NOV17	12068.19	10575.04	USD	17,917.80	
	NET 0 AVG BGHT 10567.50 SOLD 12068.19				**18,008.28**	**0.00**
16AUG17	B 1 PD16NOV17	10425.00	10576.89	USD	911.34	
16AUG17	B 1 PD16NOV17	10445.00	10576.89	USD	791.34	
16AUG17	B 1 PD16NOV17	10450.00	10576.89	USD	761.34	
16AUG17	B 1 PD16NOV17	10665.00	10576.89	USD	-528.66	
16AUG17	B 1 PD16NOV17	10670.00	10576.89	USD	-558.66	
21AUG17	S 5 PD16NOV17	11312.50	10576.89	USD	22,068.30	
	NET 0 AVG BGHT 10531.00 SOLD 11312.50				**23,445.00**	**0.00**
17AUG17	S 1 PD17NOV17	10650.00	10577.77	USD	433.38	
17AUG17	S 1 PD17NOV17	10655.00	10577.77	USD	463.38	
18AUG17	S 4 PD17NOV17	11000.00	10577.77	USD	10,133.52	
21AUG17	B 5 PD17NOV17	11314.00	10577.77	USD	-22,086.90	
22AUG17	B 1 PD17NOV17	11413.92	10577.77	USD	-5,016.90	
	NET 0 AVG BGHT 11330.65 SOLD 10884.17				**-16,073.52**	**0.00**
22AUG17	S 1 PD22NOV17	11470.00	10582.20	USD	5,326.80	
22AUG17	S 1 PD22NOV17	11445.00	10582.20	USD	5,176.80	
22AUG17	S 2 PD22NOV17	11520.00	10582.20	USD	11,253.60	
22AUG17	S 1 PD22NOV17	11535.00	10582.20	USD	5,716.80	
22AUG17	S 1 PD22NOV17	11540.00	10582.20	USD	5,746.80	
22AUG17	B 1 PD22NOV17	11445.00	10582.20	USD	-5,176.80	
23AUG17	B 5 PD22NOV17	11659.75	10582.20	USD	-32,326.50	
	NET 0 AVG BGHT 11623.96 SOLD 11505.00				**-4,282.50**	**0.00**
23AUG17	B 1 PD24NOV17	11480.00	10583.97	USD	-5,376.18	
25AUG17	B 2 PD24NOV17	11430.00	10583.97	USD	-10,152.36	
25AUG17	B 1 PD24NOV17	11445.00	10583.97	USD	-5,166.18	
25AUG17	B 1 PD24NOV17	11425.00	10583.97	USD	-5,046.18	
25AUG17	B 2 PD24NOV17	11420.00	10583.97	USD	-10,032.36	
05SEP17	S 1 PD24NOV17	12072.63	10583.97	USD	8,931.96	
12SEP17	S 6 PD24NOV17	11975.33	10583.97	USD	50,088.96	
	NET 0 AVG BGHT 11435.71 SOLD 11989.23				**23,247.66**	**0.00**
29AUG17	B 1 PD29NOV17	11620.00	10588.40	USD	-6,189.60	
29AUG17	B 1 PD29NOV17	11715.00	10588.40	USD	-6,759.60	
29AUG17	B 1 PD29NOV17	11615.00	10588.40	USD	-6,159.60	
29AUG17	B 1 PD29NOV17	11670.00	10588.40	USD	-6,489.60	
29AUG17	B 1 PD29NOV17	11645.00	10588.40	USD	-6,339.60	
29AUG17	B 1 PD29NOV17	11680.00	10588.40	USD	-6,549.60	
29AUG17	B 1 PD29NOV17	11700.00	10588.40	USD	-6,669.60	
29AUG17	B 1 PD29NOV17	11805.00	10588.40	USD	-7,299.60	
29AUG17	B 1 PD29NOV17	11730.00	10588.40	USD	-6,849.60	
29AUG17	B 2 PD29NOV17	11735.00	10588.40	USD	-13,759.20	
29AUG17	B 1 PD29NOV17	11690.00	10588.40	USD	-6,609.60	
12SEP17	S 12 PD29NOV17	11979.41	10588.40	USD	100,152.72	
	NET 0 AVG BGHT 11695.00 SOLD 11979.41				**20,477.52**	**0.00**
30AUG17	B 2 PD30NOV17	11735.00	10589.29	USD	-13,748.52	
31AUG17	S 1 PD30NOV17	11700.00	10589.29	USD	6,664.26	
31AUG17	S 1 PD30NOV17	11675.00	10589.29	USD	6,514.26	
	NET 0 AVG BGHT 11735.00 SOLD 11687.50				**-570.00**	**0.00**
01SEP17	S 1 PD01DEC17	11880.00	10590.17	USD	7,738.98	
01SEP17	S 1 PD01DEC17	11910.00	10590.17	USD	7,918.98	
01SEP17	S 1 PD01DEC17	11915.00	10590.17	USD	7,948.98	
01SEP17	S 1 PD01DEC17	11925.00	10590.17	USD	8,008.98	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
01SEP17	S	1	PD01DEC17	11945.00	10590.17	USD	8,128.98	
01SEP17	S	1	PD01DEC17	11950.00	10590.17	USD	8,158.98	
01SEP17	S	1	PD01DEC17	11955.00	10590.17	USD	8,188.98	
01SEP17	S	1	PD01DEC17	11980.00	10590.17	USD	8,338.98	
01SEP17	S	2	PD01DEC17	11975.00	10590.17	USD	16,617.96	
01SEP17	S	4	PD01DEC17	11965.00	10590.17	USD	32,995.92	
01SEP17	S	2	PD01DEC17	11970.00	10590.17	USD	16,557.96	
01SEP17	S	1	PD01DEC17	12020.00	10590.17	USD	8,578.98	
01SEP17	S	1	PD01DEC17	12040.00	10590.17	USD	8,698.98	
12SEP17	B	18	PD01DEC17	11982.04	10590.17	USD	-150,321.96	
	NET	**0**	**AVG BGHT 11982.04**	**SOLD 11959.44**			**-2,440.32**	**0.00**
08SEP17	B	1	PD08DEC17	11740.00	10596.37	USD	-6,861.78	
08SEP17	B	2	PD08DEC17	11745.00	10596.37	USD	-13,783.56	
08SEP17	B	1	PD08DEC17	11730.00	10596.37	USD	-6,801.78	
08SEP17	B	1	PD08DEC17	11495.00	10596.37	USD	-5,391.78	
08SEP17	B	1	PD08DEC17	11515.00	10596.37	USD	-5,511.78	
08SEP17	B	1	PD08DEC17	11535.00	10596.37	USD	-5,631.78	
08SEP17	B	2	PD08DEC17	11525.00	10596.37	USD	-11,143.56	
08SEP17	B	2	PD08DEC17	11490.00	10596.37	USD	-10,723.56	
08SEP17	B	3	PD08DEC17	11505.00	10596.37	USD	-16,355.34	
08SEP17	B	2	PD08DEC17	11510.00	10596.37	USD	-10,963.56	
08SEP17	B	1	PD08DEC17	11480.00	10596.37	USD	-5,301.78	
08SEP17	B	3	PD08DEC17	11485.00	10596.37	USD	-15,995.34	
13SEP17	S	15	PD08DEC17	11355.46	10596.37	USD	68,318.10	
14SEP17	S	5	PD08DEC17	11194.24	10596.37	USD	17,936.10	
	NET	**0**	**AVG BGHT 11550.25**	**SOLD 11315.16**			**-28,211.40**	**0.00**
12SEP17	B	1	PD12DEC17	11660.00	10599.91	USD	-6,360.54	
12SEP17	B	1	PD12DEC17	11685.00	10599.91	USD	-6,510.54	
22SEP17	S	2	PD12DEC17	10410.91	10599.91	USD	-2,268.00	
	NET	**0**	**AVG BGHT 11672.50**	**SOLD 10410.91**			**-15,139.08**	**0.00**
13SEP17	B	2	PD13DEC17	11710.00	10600.80	USD	-13,310.40	
13SEP17	B	1	PD13DEC17	11680.00	10600.80	USD	-6,475.20	
13SEP17	B	1	PD13DEC17	11630.00	10600.80	USD	-6,175.20	
13SEP17	B	1	PD13DEC17	11565.00	10600.80	USD	-5,785.20	
13SEP17	B	1	PD13DEC17	11570.00	10600.80	USD	-5,815.20	
13SEP17	B	2	PD13DEC17	11720.00	10600.80	USD	-13,430.40	
13SEP17	B	2	PD13DEC17	11725.00	10600.80	USD	-13,490.40	
14SEP17	S	10	PD13DEC17	11198.21	10600.80	USD	35,844.60	
	NET	**0**	**AVG BGHT 11675.50**	**SOLD 11198.21**			**-28,637.40**	**0.00**
14SEP17	B	1	PD14DEC17	11430.00	10601.69	USD	-4,969.86	
14SEP17	B	1	PD14DEC17	11165.00	10601.69	USD	-3,379.86	
14SEP17	B	1	PD14DEC17	11235.00	10601.69	USD	-3,799.86	
14SEP17	B	1	PD14DEC17	11270.00	10601.69	USD	-4,009.86	
14SEP17	B	1	PD14DEC17	11335.00	10601.69	USD	-4,399.86	
14SEP17	B	1	PD14DEC17	11160.00	10601.69	USD	-3,349.86	
14SEP17	B	1	PD14DEC17	11140.00	10601.69	USD	-3,229.86	
14SEP17	B	2	PD14DEC17	11180.00	10601.69	USD	-6,939.72	
14SEP17	B	1	PD14DEC17	11155.00	10601.69	USD	-3,319.86	
18SEP17	S	10	PD14DEC17	11230.97	10601.69	USD	37,756.80	
	NET	**0**	**AVG BGHT 11225.00**	**SOLD 11230.97**			**358.20**	**0.00**
15SEP17	B	1	PD15DEC17	11130.00	10602.57	USD	-3,164.58	
15SEP17	B	1	PD15DEC17	11135.00	10602.57	USD	-3,194.58	
15SEP17	B	4	PD15DEC17	11140.00	10602.57	USD	-12,898.32	
15SEP17	B	1	PD15DEC17	10945.00	10602.57	USD	-2,054.58	
22SEP17	S	7	PD15DEC17	10413.57	10602.57	USD	-7,938.00	
	NET	**0**	**AVG BGHT 11110.00**	**SOLD 10413.57**			**-29,250.06**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
19SEP17	B 1 PD19DEC17	11070.00	10606.11	USD	-2,783.34	
19SEP17	B 1 PD19DEC17	11075.00	10606.11	USD	-2,813.34	
19SEP17	S 2 PD19DEC17	11070.00	10606.11	USD	5,566.68	
19SEP17	B 1 PD19DEC17	10980.00	10606.11	USD	-2,243.34	
19SEP17	B 1 PD19DEC17	10990.00	10606.11	USD	-2,303.34	
19SEP17	S 1 PD19DEC17	10985.00	10606.11	USD	2,273.34	
19SEP17	B 1 PD19DEC17	11005.00	10606.11	USD	-2,393.34	
22SEP17	S 2 PD19DEC17	10417.11	10606.11	USD	-2,268.00	
	NET 0 AVG BGHT 11024.00 SOLD 10791.84				**-6,964.68**	**0.00**
21SEP17	S 1 PD21DEC17	11100.00	10608.00	USD	2,952.00	
21SEP17	S 3 PD21DEC17	11085.00	10608.00	USD	8,586.00	
21SEP17	S 2 PD21DEC17	11090.00	10608.00	USD	5,784.00	
21SEP17	S 3 PD21DEC17	11095.00	10608.00	USD	8,766.00	
21SEP17	S 2 PD21DEC17	11110.00	10608.00	USD	6,024.00	
21SEP17	S 2 PD21DEC17	11120.00	10608.00	USD	6,144.00	
21SEP17	S 1 PD21DEC17	11125.00	10608.00	USD	3,102.00	
22SEP17	B 11 PD21DEC17	10420.00	10608.00	USD	12,408.00	
29SEP17	B 3 PD21DEC17	10490.50	10608.00	USD	2,115.00	
	NET 0 AVG BGHT 10435.11 SOLD 11100.36				**55,881.00**	**0.00**
22SEP17	B 1 PD22DEC17	10625.00	10609.00	USD	-96.00	
22SEP17	B 2 PD22DEC17	10605.00	10609.00	USD	48.00	
22SEP17	B 2 PD22DEC17	10525.00	10609.00	USD	1,008.00	
29SEP17	S 3 PD22DEC17	10490.50	10609.00	USD	-2,133.00	
29SEP17	S 2 PD22DEC17	10491.50	10609.00	USD	-1,410.00	
	NET 0 AVG BGHT 10577.00 SOLD 10490.90				**-2,583.00**	**0.00**
29SEP17	S 1 PD29DEC17	10440.00	10615.42	USD	-1,052.52	
29SEP17	S 2 PD29DEC17	10435.00	10615.42	USD	-2,165.04	
29SEP17	B 1 PD29DEC17	10430.00	10615.42	USD	1,112.52	
29SEP17	B 1 PD29DEC17	10505.00	10615.42	USD	662.52	
29SEP17	B 1 PD29DEC17	10525.00	10615.42	USD	542.52	
29SEP17	B 1 PD29DEC17	10455.00	10615.42	USD	962.52	
29SEP17	B 1 PD29DEC17	10485.00	10615.42	USD	782.52	
29SEP17	B 1 PD29DEC17	10490.00	10615.42	USD	752.52	
29SEP17	B 1 PD29DEC17	10480.00	10615.42	USD	812.52	
29SEP17	B 2 PD29DEC17	10501.00	10615.42	USD	1,373.04	
	NET +6 AVG BGHT 10485.78 SOLD 10436.67				**3,783.12**	**0.00**
03OCT17	B 1 PD03JAN18	10500.00	10620.00	USD	720.00	
03OCT17	B 1 PD03JAN18	10485.00	10620.00	USD	810.00	
03OCT17	B 2 PD03JAN18	10490.00	10620.00	USD	1,560.00	
03OCT17	S 1 PD03JAN18	10710.00	10620.00	USD	540.00	
	NET +3 AVG BGHT 10491.25 SOLD 10710.00				**3,630.00**	**0.00**
	CMX SILVER 5,000 CMX					
13SEP17	B 1 DEC17	1783.5	1665.0	USD	-5,925.00	
13SEP17	B 1 DEC17	1782.0	1665.0	USD	-5,850.00	
13SEP17	B 1 DEC17	1776.5	1665.0	USD	-5,575.00	
13SEP17	B 1 DEC17	1775.5	1665.0	USD	-5,525.00	
13SEP17	B 1 DEC17	1774.0	1665.0	USD	-5,450.00	
15SEP17	B 1 DEC17	1769.5	1665.0	USD	-5,225.00	
15SEP17	B 1 DEC17	1770.5	1665.0	USD	-5,275.00	
15SEP17	B 1 DEC17	1771.5	1665.0	USD	-5,325.00	
15SEP17	B 1 DEC17	1768.0	1665.0	USD	-5,150.00	
18SEP17	B 1 DEC17	1763.0	1665.0	USD	-4,900.00	
18SEP17	B 1 DEC17	1754.5	1665.0	USD	-4,475.00	
18SEP17	B 1 DEC17	1753.0	1665.0	USD	-4,400.00	
18SEP17	B 1 DEC17	1752.5	1665.0	USD	-4,375.00	
18SEP17	B 1 DEC17	1750.5	1665.0	USD	-4,275.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	CMX SILVER 5,000 CMX					
18SEP17	B 2 DEC17	1748.0	1665.0	USD	-8,300.00	
18SEP17	B 1 DEC17	1747.5	1665.0	USD	-4,125.00	
18SEP17	B 1 DEC17	1742.0	1665.0	USD	-3,850.00	
18SEP17	B 1 DEC17	1742.5	1665.0	USD	-3,875.00	
18SEP17	B 1 DEC17	1744.5	1665.0	USD	-3,975.00	
18SEP17	B 2 DEC17	1738.5	1665.0	USD	-7,350.00	
18SEP17	B 2 DEC17	1731.5	1665.0	USD	-6,650.00	
18SEP17	B 2 DEC17	1732.5	1665.0	USD	-6,750.00	
18SEP17	B 3 DEC17	1732.0	1665.0	USD	-10,050.00	
18SEP17	B 1 DEC17	1731.0	1665.0	USD	-3,300.00	
18SEP17	B 1 DEC17	1730.5	1665.0	USD	-3,275.00	
18SEP17	B 2 DEC17	1726.5	1665.0	USD	-6,150.00	
18SEP17	B 1 DEC17	1726.0	1665.0	USD	-3,050.00	
18SEP17	B 1 DEC17	1725.5	1665.0	USD	-3,025.00	
19SEP17	B 1 DEC17	1719.5	1665.0	USD	-2,725.00	
28SEP17	B 1 DEC17	1676.5	1665.0	USD	-575.00	
28SEP17	B 1 DEC17	1676.0	1665.0	USD	-550.00	
29SEP17	B 1 DEC17	1676.5	1665.0	USD	-575.00	
29SEP17	B 2 DEC17	1676.0	1665.0	USD	-1,100.00	
29SEP17	B 2 DEC17	1675.0	1665.0	USD	-1,000.00	
29SEP17	B 3 DEC17	1674.5	1665.0	USD	-1,425.00	
29SEP17	B 2 DEC17	1674.0	1665.0	USD	-900.00	
29SEP17	B 2 DEC17	1673.5	1665.0	USD	-850.00	
	NET +50 AVG BGHT 1727.1				**-155,150.00**	**0.00**
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
04JUL17	B 2 PD04OCT17	2769.50	3316.62	USD	27,356.00	
04JUL17	B 1 PD04OCT17	2773.00	3316.62	USD	13,590.50	
04JUL17	B 1 PD04OCT17	2779.00	3316.62	USD	13,440.50	
04JUL17	B 1 PD04OCT17	2780.50	3316.62	USD	13,403.00	
04JUL17	S 1 PD04OCT17	2773.00	3316.62	USD	-13,590.50	
04JUL17	B 1 PD04OCT17	2770.00	3316.62	USD	13,665.50	
04JUL17	S 1 PD04OCT17	2777.00	3316.62	USD	-13,490.50	
04JUL17	S 1 PD04OCT17	2778.50	3316.62	USD	-13,453.00	
04JUL17	S 1 PD04OCT17	2779.50	3316.62	USD	-13,428.00	
04JUL17	B 1 PD04OCT17	2776.50	3316.62	USD	13,503.00	
04JUL17	B 2 PD04OCT17	2774.50	3316.62	USD	27,106.00	
04JUL17	B 1 PD04OCT17	2775.00	3316.62	USD	13,540.50	
04JUL17	S 1 PD04OCT17	2774.50	3316.62	USD	-13,553.00	
04JUL17	B 2 PD04OCT17	2779.50	3316.62	USD	26,856.00	
04JUL17	B 4 PD04OCT17	2780.00	3316.62	USD	53,662.00	
04JUL17	B 1 PD04OCT17	2778.50	3316.62	USD	13,453.00	
04JUL17	S 1 PD04OCT17	2786.00	3316.62	USD	-13,265.50	
04JUL17	S 1 PD04OCT17	2788.00	3316.62	USD	-13,215.50	
04JUL17	B 1 PD04OCT17	2788.50	3316.62	USD	13,203.00	
04JUL17	B 2 PD04OCT17	2791.00	3316.62	USD	26,281.00	
11JUL17	S 6 PD04OCT17	2819.22	3316.62	USD	-74,610.00	
11JUL17	S 2 PD04OCT17	2819.42	3316.62	USD	-24,860.00	
12JUL17	S 5 PD04OCT17	2831.89	3316.62	USD	-60,591.25	
	NET 0 AVG BGHT 2778.50 SOLD 2808.51				**15,002.75**	**0.00**
05JUL17	B 1 PD05OCT17	2793.00	3313.50	USD	13,012.50	
05JUL17	B 1 PD05OCT17	2775.50	3313.50	USD	13,450.00	
05JUL17	B 1 PD05OCT17	2775.00	3313.50	USD	13,462.50	
05JUL17	S 1 PD05OCT17	2790.00	3313.50	USD	-13,087.50	
05JUL17	B 1 PD05OCT17	2776.00	3313.50	USD	13,437.50	
05JUL17	B 1 PD05OCT17	2767.00	3313.50	USD	13,662.50	
05JUL17	B 1 PD05OCT17	2768.50	3313.50	USD	13,625.00	
05JUL17	B 1 PD05OCT17	2770.50	3313.50	USD	13,575.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
05JUL17	S 1 PD05OCT17	2774.00	3313.50	USD	-13,487.50	
05JUL17	S 1 PD05OCT17	2768.00	3313.50	USD	-13,637.50	
05JUL17	S 1 PD05OCT17	2768.50	3313.50	USD	-13,625.00	
05JUL17	S 1 PD05OCT17	2769.00	3313.50	USD	-13,612.50	
05JUL17	S 1 PD05OCT17	2776.00	3313.50	USD	-13,437.50	
05JUL17	B 3 PD05OCT17	2797.50	3313.50	USD	38,700.00	
05JUL17	B 2 PD05OCT17	2774.00	3313.50	USD	26,975.00	
05JUL17	S 2 PD05OCT17	2777.50	3313.50	USD	-26,800.00	
05JUL17	S 1 PD05OCT17	2769.50	3313.50	USD	-13,600.00	
05JUL17	S 1 PD05OCT17	2766.00	3313.50	USD	-13,687.50	
05JUL17	B 1 PD05OCT17	2753.50	3313.50	USD	14,000.00	
05JUL17	B 1 PD05OCT17	2752.50	3313.50	USD	14,025.00	
05JUL17	B 1 PD05OCT17	2754.50	3313.50	USD	13,975.00	
05JUL17	S 1 PD05OCT17	2776.50	3313.50	USD	-13,425.00	
05JUL17	S 1 PD05OCT17	2778.00	3313.50	USD	-13,387.50	
05JUL17	S 2 PD05OCT17	2779.00	3313.50	USD	-26,725.00	
05JUL17	S 1 PD05OCT17	2780.50	3313.50	USD	-13,325.00	
05JUL17	S 2 PD05OCT17	2780.00	3313.50	USD	-26,675.00	
11JUL17	B 2 PD05OCT17	2819.78	3313.50	USD	24,686.00	
	NET 0 AVG BGHT 2780.36 SOLD 2775.82				**-1,926.50**	**0.00**
06JUL17	B 1 PD06OCT17	2760.50	3310.38	USD	13,747.00	
06JUL17	B 1 PD06OCT17	2767.00	3310.38	USD	13,584.50	
06JUL17	S 1 PD06OCT17	2773.00	3310.38	USD	-13,434.50	
06JUL17	B 1 PD06OCT17	2786.00	3310.38	USD	13,109.50	
06JUL17	S 1 PD06OCT17	2786.50	3310.38	USD	-13,097.00	
06JUL17	S 1 PD06OCT17	2791.00	3310.38	USD	-12,984.50	
06JUL17	S 1 PD06OCT17	2793.00	3310.38	USD	-12,934.50	
06JUL17	B 1 PD06OCT17	2771.00	3310.38	USD	13,484.50	
06JUL17	B 1 PD06OCT17	2770.50	3310.38	USD	13,497.00	
06JUL17	B 1 PD06OCT17	2793.00	3310.38	USD	12,934.50	
06JUL17	B 2 PD06OCT17	2782.50	3310.38	USD	26,394.00	
06JUL17	B 5 PD06OCT17	2781.50	3310.38	USD	66,110.00	
06JUL17	B 1 PD06OCT17	2783.00	3310.38	USD	13,184.50	
07JUL17	B 1 PD06OCT17	2777.00	3310.38	USD	13,334.50	
07JUL17	B 1 PD06OCT17	2784.50	3310.38	USD	13,147.00	
07JUL17	S 2 PD06OCT17	2774.50	3310.38	USD	-26,794.00	
07JUL17	S 1 PD06OCT17	2775.50	3310.38	USD	-13,372.00	
07JUL17	S 1 PD06OCT17	2777.00	3310.38	USD	-13,334.50	
07JUL17	S 1 PD06OCT17	2798.00	3310.38	USD	-12,809.50	
07JUL17	S 1 PD06OCT17	2799.00	3310.38	USD	-12,784.50	
07JUL17	S 1 PD06OCT17	2799.50	3310.38	USD	-12,772.00	
07JUL17	B 3 PD06OCT17	2785.00	3310.38	USD	39,403.50	
07JUL17	S 1 PD06OCT17	2785.00	3310.38	USD	-13,134.50	
07JUL17	B 1 PD06OCT17	2785.50	3310.38	USD	13,122.00	
07JUL17	S 1 PD06OCT17	2791.50	3310.38	USD	-12,972.00	
07JUL17	S 1 PD06OCT17	2790.50	3310.38	USD	-12,997.00	
07JUL17	S 5 PD06OCT17	2797.50	3310.38	USD	-64,110.00	
07JUL17	S 1 PD06OCT17	2801.50	3310.38	USD	-12,722.00	
07JUL17	B 1 PD06OCT17	2779.00	3310.38	USD	13,284.50	
07JUL17	B 2 PD06OCT17	2781.00	3310.38	USD	26,469.00	
07JUL17	B 1 PD06OCT17	2779.50	3310.38	USD	13,272.00	
07JUL17	B 2 PD06OCT17	2776.50	3310.38	USD	26,694.00	
07JUL17	B 1 PD06OCT17	2776.00	3310.38	USD	13,359.50	
07JUL17	B 1 PD06OCT17	2772.50	3310.38	USD	13,447.00	
12JUL17	S 3 PD06OCT17	2832.17	3310.38	USD	-35,865.75	
13JUL17	S 3 PD06OCT17	2802.42	3310.38	USD	-38,097.00	
18JUL17	S 2 PD06OCT17	2791.71	3310.38	USD	-25,933.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
	NET	**0**	**AVG BGHT 2779.55 SOLD 2795.88**				**11,429.75**	**0.00**
10JUL17	B	1	PD10OCT17	2788.50	3307.25	USD	12,968.75	
10JUL17	S	1	PD10OCT17	2768.50	3307.25	USD	-13,468.75	
10JUL17	S	1	PD10OCT17	2765.00	3307.25	USD	-13,556.25	
10JUL17	S	1	PD10OCT17	2767.50	3307.25	USD	-13,493.75	
10JUL17	S	1	PD10OCT17	2784.00	3307.25	USD	-13,081.25	
10JUL17	B	2	PD10OCT17	2747.00	3307.25	USD	28,012.50	
10JUL17	S	1	PD10OCT17	2775.00	3307.25	USD	-13,306.25	
12JUL17	B	2	PD10OCT17	2832.92	3307.25	USD	23,716.50	
	NET	**0**	**AVG BGHT 2789.67 SOLD 2772.00**				**-2,208.50**	**0.00**
11JUL17	S	1	PD11OCT17	2776.00	3304.13	USD	-13,203.25	
11JUL17	S	1	PD11OCT17	2790.50	3304.13	USD	-12,840.75	
11JUL17	S	1	PD11OCT17	2797.50	3304.13	USD	-12,665.75	
11JUL17	B	1	PD11OCT17	2776.50	3304.13	USD	13,190.75	
11JUL17	B	1	PD11OCT17	2776.00	3304.13	USD	13,203.25	
11JUL17	B	1	PD11OCT17	2775.00	3304.13	USD	13,228.25	
11JUL17	S	1	PD11OCT17	2793.50	3304.13	USD	-12,765.75	
11JUL17	S	1	PD11OCT17	2806.00	3304.13	USD	-12,453.25	
11JUL17	S	1	PD11OCT17	2814.00	3304.13	USD	-12,253.25	
11JUL17	S	1	PD11OCT17	2815.00	3304.13	USD	-12,228.25	
11JUL17	S	2	PD11OCT17	2817.00	3304.13	USD	-24,356.50	
11JUL17	B	1	PD11OCT17	2807.00	3304.13	USD	12,428.25	
11JUL17	S	1	PD11OCT17	2820.50	3304.13	USD	-12,090.75	
12JUL17	B	6	PD11OCT17	2833.06	3304.13	USD	70,660.50	
	NET	**0**	**AVG BGHT 2813.29 SOLD 2804.70**				**-2,146.50**	**0.00**
12JUL17	B	2	PD12OCT17	2836.00	3301.00	USD	23,250.00	
12JUL17	B	1	PD12OCT17	2838.00	3301.00	USD	11,575.00	
12JUL17	S	1	PD12OCT17	2829.50	3301.00	USD	-11,787.50	
12JUL17	S	1	PD12OCT17	2830.50	3301.00	USD	-11,762.50	
12JUL17	S	1	PD12OCT17	2831.50	3301.00	USD	-11,737.50	
12JUL17	S	1	PD12OCT17	2834.50	3301.00	USD	-11,662.50	
12JUL17	S	1	PD12OCT17	2856.50	3301.00	USD	-11,112.50	
12JUL17	S	1	PD12OCT17	2865.00	3301.00	USD	-10,900.00	
12JUL17	S	1	PD12OCT17	2870.50	3301.00	USD	-10,762.50	
12JUL17	S	4	PD12OCT17	2857.00	3301.00	USD	-44,400.00	
12JUL17	S	1	PD12OCT17	2856.00	3301.00	USD	-11,125.00	
12JUL17	B	1	PD12OCT17	2837.00	3301.00	USD	11,600.00	
12JUL17	B	1	PD12OCT17	2837.50	3301.00	USD	11,587.50	
12JUL17	S	1	PD12OCT17	2858.00	3301.00	USD	-11,075.00	
12JUL17	S	1	PD12OCT17	2871.00	3301.00	USD	-10,750.00	
12JUL17	B	1	PD12OCT17	2847.00	3301.00	USD	11,350.00	
12JUL17	B	1	PD12OCT17	2828.00	3301.00	USD	11,825.00	
12JUL17	B	1	PD12OCT17	2829.50	3301.00	USD	11,787.50	
12JUL17	B	1	PD12OCT17	2823.50	3301.00	USD	11,937.50	
12JUL17	B	2	PD12OCT17	2823.00	3301.00	USD	23,900.00	
13JUL17	B	3	PD12OCT17	2803.95	3301.00	USD	37,278.75	
	NET	**0**	**AVG BGHT 2826.45 SOLD 2852.21**				**9,016.25**	**0.00**
13JUL17	S	1	PD13OCT17	2822.50	3297.88	USD	-11,884.50	
13JUL17	S	1	PD13OCT17	2823.00	3297.88	USD	-11,872.00	
13JUL17	B	1	PD13OCT17	2801.00	3297.88	USD	12,422.00	
13JUL17	B	1	PD13OCT17	2805.50	3297.88	USD	12,309.50	
14JUL17	B	1	PD13OCT17	2765.00	3297.88	USD	13,322.00	
14JUL17	B	1	PD13OCT17	2770.00	3297.88	USD	13,197.00	
14JUL17	B	1	PD13OCT17	2762.50	3297.88	USD	13,384.50	
14JUL17	B	2	PD13OCT17	2764.00	3297.88	USD	26,694.00	
14JUL17	B	2	PD13OCT17	2764.50	3297.88	USD	26,669.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
14JUL17	B	2	PD13OCT17	2767.00	3297.88	USD	26,544.00	
14JUL17	B	4	PD13OCT17	2763.00	3297.88	USD	53,488.00	
14JUL17	S	1	PD13OCT17	2788.50	3297.88	USD	-12,734.50	
14JUL17	S	1	PD13OCT17	2794.00	3297.88	USD	-12,597.00	
14JUL17	S	1	PD13OCT17	2795.00	3297.88	USD	-12,572.00	
14JUL17	S	2	PD13OCT17	2790.50	3297.88	USD	-25,369.00	
14JUL17	S	1	PD13OCT17	2786.00	3297.88	USD	-12,797.00	
18JUL17	S	1	PD13OCT17	2793.05	3297.88	USD	-12,620.75	
18JUL17	S	6	PD13OCT17	2792.85	3297.88	USD	-75,754.50	
	NET	**0**	**AVG BGHT 2769.80**	**SOLD 2796.01**			**9,828.75**	**0.00**
17JUL17	S	1	PD17OCT17	2814.00	3291.63	USD	-11,940.75	
17JUL17	S	1	PD17OCT17	2814.50	3291.63	USD	-11,928.25	
17JUL17	S	1	PD17OCT17	2815.50	3291.63	USD	-11,903.25	
17JUL17	S	1	PD17OCT17	2816.50	3291.63	USD	-11,878.25	
17JUL17	S	1	PD17OCT17	2835.50	3291.63	USD	-11,403.25	
17JUL17	S	1	PD17OCT17	2838.50	3291.63	USD	-11,328.25	
17JUL17	S	1	PD17OCT17	2839.00	3291.63	USD	-11,315.75	
17JUL17	B	1	PD17OCT17	2821.50	3291.63	USD	11,753.25	
17JUL17	B	1	PD17OCT17	2823.00	3291.63	USD	11,715.75	
17JUL17	B	2	PD17OCT17	2822.00	3291.63	USD	23,481.50	
17JUL17	S	1	PD17OCT17	2815.00	3291.63	USD	-11,915.75	
17JUL17	B	1	PD17OCT17	2832.50	3291.63	USD	11,478.25	
18JUL17	B	3	PD17OCT17	2794.01	3291.63	USD	37,321.50	
	NET	**0**	**AVG BGHT 2812.88**	**SOLD 2823.56**			**2,136.75**	**0.00**
18JUL17	B	1	PD18OCT17	2821.50	3288.50	USD	11,675.00	
18JUL17	B	1	PD18OCT17	2793.00	3288.50	USD	12,387.50	
18JUL17	B	1	PD18OCT17	2795.50	3288.50	USD	12,325.00	
18JUL17	B	1	PD18OCT17	2778.50	3288.50	USD	12,750.00	
18JUL17	B	2	PD18OCT17	2779.50	3288.50	USD	25,450.00	
18JUL17	B	1	PD18OCT17	2780.00	3288.50	USD	12,712.50	
18JUL17	B	1	PD18OCT17	2776.50	3288.50	USD	12,800.00	
18JUL17	B	1	PD18OCT17	2777.00	3288.50	USD	12,787.50	
18JUL17	B	1	PD18OCT17	2777.50	3288.50	USD	12,775.00	
18JUL17	B	1	PD18OCT17	2778.00	3288.50	USD	12,762.50	
18JUL17	B	2	PD18OCT17	2776.00	3288.50	USD	25,625.00	
18JUL17	B	1	PD18OCT17	2794.00	3288.50	USD	12,362.50	
18JUL17	S	10	PD18OCT17	2778.86	3288.50	USD	-127,410.00	
18JUL17	S	4	PD18OCT17	2793.80	3288.50	USD	-49,470.00	
	NET	**0**	**AVG BGHT 2784.46**	**SOLD 2783.13**			**-467.50**	**0.00**
19JUL17	S	1	PD19OCT17	2792.50	3285.50	USD	-12,325.00	
19JUL17	S	1	PD19OCT17	2795.00	3285.50	USD	-12,262.50	
19JUL17	S	1	PD19OCT17	2796.50	3285.50	USD	-12,225.00	
19JUL17	S	1	PD19OCT17	2797.00	3285.50	USD	-12,212.50	
19JUL17	B	1	PD19OCT17	2772.00	3285.50	USD	12,837.50	
19JUL17	B	1	PD19OCT17	2773.00	3285.50	USD	12,812.50	
19JUL17	B	1	PD19OCT17	2773.50	3285.50	USD	12,800.00	
19JUL17	B	1	PD19OCT17	2766.00	3285.50	USD	12,987.50	
19JUL17	B	2	PD19OCT17	2768.00	3285.50	USD	25,875.00	
19JUL17	B	1	PD19OCT17	2770.50	3285.50	USD	12,875.00	
19JUL17	B	2	PD19OCT17	2774.00	3285.50	USD	25,575.00	
19JUL17	S	1	PD19OCT17	2773.50	3285.50	USD	-12,800.00	
19JUL17	B	1	PD19OCT17	2756.00	3285.50	USD	13,237.50	
19JUL17	B	1	PD19OCT17	2756.50	3285.50	USD	13,225.00	
19JUL17	B	1	PD19OCT17	2759.50	3285.50	USD	13,150.00	
19JUL17	B	1	PD19OCT17	2767.00	3285.50	USD	12,962.50	
19JUL17	B	1	PD19OCT17	2747.00	3285.50	USD	13,462.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
19JUL17	S 1 PD19OCT17	2739.00	3285.50	USD	-13,662.50	
19JUL17	S 1 PD19OCT17	2740.00	3285.50	USD	-13,637.50	
21JUL17	S 5 PD19OCT17	2753.50	3285.50	USD	-66,500.00	
24JUL17	S 2 PD19OCT17	2787.50	3285.50	USD	-24,900.00	
	NET 0 AVG BGHT 2766.07 SOLD 2769.71				**1,275.00**	**0.00**
20JUL17	S 2 PD20OCT17	2735.50	3282.50	USD	-27,350.00	
20JUL17	S 1 PD20OCT17	2726.50	3282.50	USD	-13,900.00	
20JUL17	S 2 PD20OCT17	2725.50	3282.50	USD	-27,850.00	
21JUL17	S 1 PD20OCT17	2754.00	3282.50	USD	-13,212.50	
21JUL17	S 1 PD20OCT17	2756.50	3282.50	USD	-13,150.00	
21JUL17	S 1 PD20OCT17	2758.50	3282.50	USD	-13,100.00	
21JUL17	S 2 PD20OCT17	2766.00	3282.50	USD	-25,825.00	
21JUL17	S 1 PD20OCT17	2764.50	3282.50	USD	-12,950.00	
21JUL17	S 4 PD20OCT17	2765.50	3282.50	USD	-51,700.00	
21JUL17	S 2 PD20OCT17	2766.50	3282.50	USD	-25,800.00	
21JUL17	S 1 PD20OCT17	2763.00	3282.50	USD	-12,987.50	
21JUL17	S 3 PD20OCT17	2765.00	3282.50	USD	-38,812.50	
21JUL17	S 1 PD20OCT17	2762.00	3282.50	USD	-13,012.50	
21JUL17	B 1 PD20OCT17	2756.00	3282.50	USD	13,162.50	
21JUL17	B 1 PD20OCT17	2743.00	3282.50	USD	13,487.50	
21JUL17	B 1 PD20OCT17	2743.50	3282.50	USD	13,475.00	
21JUL17	B 1 PD20OCT17	2744.50	3282.50	USD	13,450.00	
21JUL17	B 1 PD20OCT17	2747.00	3282.50	USD	13,387.50	
21JUL17	B 1 PD20OCT17	2753.00	3282.50	USD	13,237.50	
21JUL17	B 1 PD20OCT17	2755.00	3282.50	USD	13,187.50	
21JUL17	B 1 PD20OCT17	2751.50	3282.50	USD	13,275.00	
21JUL17	B 1 PD20OCT17	2750.50	3282.50	USD	13,300.00	
21JUL17	B 1 PD20OCT17	2751.00	3282.50	USD	13,287.50	
21JUL17	B 4 PD20OCT17	2754.00	3282.50	USD	52,850.00	
21JUL17	B 5 PD20OCT17	2753.70	3282.50	USD	66,100.00	
21JUL17	B 1 PD20OCT17	2757.50	3282.50	USD	13,125.00	
24JUL17	B 2 PD20OCT17	2787.80	3282.50	USD	24,735.00	
	NET 0 AVG BGHT 2755.12 SOLD 2755.86				**410.00**	**0.00**
24JUL17	S 1 PD24OCT17	2776.50	3280.50	USD	-12,600.00	
24JUL17	S 3 PD24OCT17	2777.50	3280.50	USD	-37,725.00	
24JUL17	S 1 PD24OCT17	2779.50	3280.50	USD	-12,525.00	
24JUL17	S 2 PD24OCT17	2777.00	3280.50	USD	-25,175.00	
24JUL17	S 1 PD24OCT17	2778.00	3280.50	USD	-12,562.50	
24JUL17	B 2 PD24OCT17	2768.50	3280.50	USD	25,600.00	
24JUL17	B 1 PD24OCT17	2771.00	3280.50	USD	12,737.50	
24JUL17	B 1 PD24OCT17	2783.00	3280.50	USD	12,437.50	
24JUL17	S 1 PD24OCT17	2787.50	3280.50	USD	-12,325.00	
25JUL17	B 5 PD24OCT17	2836.03	3280.50	USD	55,558.75	
	NET 0 AVG BGHT 2807.91 SOLD 2778.67				**-6,578.75**	**0.00**
25JUL17	S 1 PD25OCT17	2804.50	3279.50	USD	-11,875.00	
25JUL17	S 1 PD25OCT17	2805.00	3279.50	USD	-11,862.50	
25JUL17	S 1 PD25OCT17	2812.50	3279.50	USD	-11,675.00	
25JUL17	S 1 PD25OCT17	2815.50	3279.50	USD	-11,600.00	
25JUL17	S 1 PD25OCT17	2820.00	3279.50	USD	-11,487.50	
25JUL17	S 1 PD25OCT17	2821.00	3279.50	USD	-11,462.50	
25JUL17	S 1 PD25OCT17	2826.50	3279.50	USD	-11,325.00	
25JUL17	S 1 PD25OCT17	2827.00	3279.50	USD	-11,312.50	
25JUL17	S 5 PD25OCT17	2828.00	3279.50	USD	-56,437.50	
25JUL17	S 1 PD25OCT17	2828.50	3279.50	USD	-11,275.00	
25JUL17	S 1 PD25OCT17	2832.50	3279.50	USD	-11,175.00	
25JUL17	S 1 PD25OCT17	2833.00	3279.50	USD	-11,162.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
25JUL17	S 3 PD25OCT17	2833.50	3279.50	USD	-33,450.00	
25JUL17	S 5 PD25OCT17	2831.00	3279.50	USD	-56,062.50	
25JUL17	S 1 PD25OCT17	2831.50	3279.50	USD	-11,200.00	
25JUL17	B 1 PD25OCT17	2827.50	3279.50	USD	11,300.00	
25JUL17	B 2 PD25OCT17	2829.00	3279.50	USD	22,525.00	
25JUL17	B 1 PD25OCT17	2826.00	3279.50	USD	11,337.50	
25JUL17	B 2 PD25OCT17	2826.50	3279.50	USD	22,650.00	
25JUL17	B 2 PD25OCT17	2839.50	3279.50	USD	22,000.00	
25JUL17	B 2 PD25OCT17	2838.00	3279.50	USD	22,075.00	
25JUL17	B 3 PD25OCT17	2839.00	3279.50	USD	33,037.50	
25JUL17	B 1 PD25OCT17	2838.50	3279.50	USD	11,025.00	
25JUL17	B 10 PD25OCT17	2836.20	3279.50	USD	110,825.00	
27JUL17	B 1 PD25OCT17	2800.63	3279.50	USD	11,971.75	
	NET 0 AVG BGHT 2833.51 SOLD 2826.12				**-4,615.75**	**0.00**
26JUL17	B 2 PD26OCT17	2817.00	3278.50	USD	23,075.00	
26JUL17	B 1 PD26OCT17	2822.50	3278.50	USD	11,400.00	
26JUL17	B 1 PD26OCT17	2824.00	3278.50	USD	11,362.50	
26JUL17	B 1 PD26OCT17	2821.50	3278.50	USD	11,425.00	
26JUL17	B 2 PD26OCT17	2818.00	3278.50	USD	23,025.00	
26JUL17	B 2 PD26OCT17	2818.50	3278.50	USD	23,000.00	
26JUL17	B 1 PD26OCT17	2819.00	3278.50	USD	11,487.50	
26JUL17	B 1 PD26OCT17	2814.00	3278.50	USD	11,612.50	
26JUL17	B 1 PD26OCT17	2813.00	3278.50	USD	11,637.50	
26JUL17	B 3 PD26OCT17	2806.00	3278.50	USD	35,437.50	
26JUL17	B 1 PD26OCT17	2808.50	3278.50	USD	11,750.00	
26JUL17	B 1 PD26OCT17	2812.00	3278.50	USD	11,662.50	
26JUL17	B 2 PD26OCT17	2807.50	3278.50	USD	23,550.00	
26JUL17	B 1 PD26OCT17	2808.00	3278.50	USD	11,762.50	
26JUL17	B 2 PD26OCT17	2807.00	3278.50	USD	23,575.00	
26JUL17	B 1 PD26OCT17	2805.50	3278.50	USD	11,825.00	
26JUL17	B 1 PD26OCT17	2805.00	3278.50	USD	11,837.50	
26JUL17	B 1 PD26OCT17	2803.50	3278.50	USD	11,875.00	
26JUL17	S 10 PD26OCT17	2807.80	3278.50	USD	-117,675.00	
26JUL17	B 1 PD26OCT17	2802.00	3278.50	USD	11,912.50	
26JUL17	S 1 PD26OCT17	2800.00	3278.50	USD	-11,962.50	
27JUL17	S 1 PD26OCT17	2800.55	3278.50	USD	-11,948.75	
28JUL17	S 1 PD26OCT17	2775.75	3278.50	USD	-12,568.75	
01AUG17	S 13 PD26OCT17	2778.31	3278.50	USD	-162,561.75	
	NET 0 AVG BGHT 2812.02 SOLD 2791.24				**-13,504.25**	**0.00**
27JUL17	S 1 PD27OCT17	2828.00	3277.50	USD	-11,237.50	
27JUL17	S 1 PD27OCT17	2828.50	3277.50	USD	-11,225.00	
27JUL17	S 1 PD27OCT17	2820.50	3277.50	USD	-11,425.00	
27JUL17	S 1 PD27OCT17	2821.50	3277.50	USD	-11,400.00	
27JUL17	B 1 PD27OCT17	2790.00	3277.50	USD	12,187.50	
27JUL17	B 1 PD27OCT17	2791.00	3277.50	USD	12,162.50	
27JUL17	B 1 PD27OCT17	2792.50	3277.50	USD	12,125.00	
28JUL17	B 2 PD27OCT17	2780.00	3277.50	USD	24,875.00	
28JUL17	B 1 PD27OCT17	2785.50	3277.50	USD	12,300.00	
28JUL17	B 1 PD27OCT17	2772.00	3277.50	USD	12,637.50	
28JUL17	B 1 PD27OCT17	2775.50	3277.50	USD	12,550.00	
28JUL17	B 1 PD27OCT17	2770.50	3277.50	USD	12,675.00	
28JUL17	B 1 PD27OCT17	2773.50	3277.50	USD	12,600.00	
28JUL17	B 1 PD27OCT17	2774.00	3277.50	USD	12,587.50	
28JUL17	B 1 PD27OCT17	2768.50	3277.50	USD	12,725.00	
28JUL17	B 2 PD27OCT17	2769.00	3277.50	USD	25,425.00	
28JUL17	B 2 PD27OCT17	2769.50	3277.50	USD	25,400.00	
28JUL17	B 2 PD27OCT17	2771.50	3277.50	USD	25,300.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
28JUL17	B 3 PD27OCT17	2786.50	3277.50	USD	36,825.00	
28JUL17	B 2 PD27OCT17	2792.00	3277.50	USD	24,275.00	
28JUL17	B 1 PD27OCT17	2793.00	3277.50	USD	12,112.50	
28JUL17	B 1 PD27OCT17	2793.50	3277.50	USD	12,100.00	
28JUL17	B 1 PD27OCT17	2778.50	3277.50	USD	12,475.00	
28JUL17	B 1 PD27OCT17	2780.50	3277.50	USD	12,425.00	
28JUL17	S 1 PD27OCT17	2785.00	3277.50	USD	-12,312.50	
28JUL17	S 1 PD27OCT17	2787.00	3277.50	USD	-12,262.50	
28JUL17	S 1 PD27OCT17	2787.50	3277.50	USD	-12,250.00	
28JUL17	S 1 PD27OCT17	2786.50	3277.50	USD	-12,275.00	
28JUL17	B 1 PD27OCT17	2784.50	3277.50	USD	12,325.00	
28JUL17	S 1 PD27OCT17	2783.50	3277.50	USD	-12,350.00	
28JUL17	S 1 PD27OCT17	2784.00	3277.50	USD	-12,337.50	
28JUL17	S 4 PD27OCT17	2783.00	3277.50	USD	-49,450.00	
28JUL17	S 1 PD27OCT17	2785.50	3277.50	USD	-12,300.00	
28JUL17	B 1 PD27OCT17	2776.20	3277.50	USD	12,532.50	
03AUG17	S 2 PD27OCT17	2790.25	3277.50	USD	-24,362.50	
04AUG17	S 8 PD27OCT17	2810.50	3277.50	USD	-93,400.00	
09AUG17	S 1 PD27OCT17	2929.75	3277.50	USD	-8,693.75	
17AUG17	S 3 PD27OCT17	3060.49	3277.50	USD	-16,275.75	
	NET 0 AVG BGHT 2780.09 SOLD 2831.21				**37,063.00**	**0.00**
31JUL17	S 1 PD31OCT17	2810.50	3275.50	USD	-11,625.00	
31JUL17	S 1 PD31OCT17	2812.00	3275.50	USD	-11,587.50	
31JUL17	B 2 PD31OCT17	2817.00	3275.50	USD	22,925.00	
31JUL17	B 1 PD31OCT17	2819.00	3275.50	USD	11,412.50	
31JUL17	B 1 PD31OCT17	2821.00	3275.50	USD	11,362.50	
31JUL17	S 1 PD31OCT17	2803.00	3275.50	USD	-11,812.50	
31JUL17	S 1 PD31OCT17	2812.50	3275.50	USD	-11,575.00	
31JUL17	S 1 PD31OCT17	2813.00	3275.50	USD	-11,562.50	
31JUL17	S 1 PD31OCT17	2822.50	3275.50	USD	-11,325.00	
31JUL17	S 1 PD31OCT17	2823.00	3275.50	USD	-11,312.50	
31JUL17	S 1 PD31OCT17	2826.00	3275.50	USD	-11,237.50	
31JUL17	S 1 PD31OCT17	2826.50	3275.50	USD	-11,225.00	
31JUL17	B 2 PD31OCT17	2818.50	3275.50	USD	22,850.00	
31JUL17	B 1 PD31OCT17	2812.00	3275.50	USD	11,587.50	
31JUL17	B 1 PD31OCT17	2815.00	3275.50	USD	11,512.50	
31JUL17	B 2 PD31OCT17	2815.50	3275.50	USD	23,000.00	
31JUL17	S 1 PD31OCT17	2807.50	3275.50	USD	-11,700.00	
31JUL17	S 1 PD31OCT17	2798.00	3275.50	USD	-11,937.50	
31JUL17	S 1 PD31OCT17	2800.50	3275.50	USD	-11,875.00	
31JUL17	S 1 PD31OCT17	2796.00	3275.50	USD	-11,987.50	
31JUL17	S 1 PD31OCT17	2794.00	3275.50	USD	-12,037.50	
31JUL17	B 1 PD31OCT17	2798.50	3275.50	USD	11,925.00	
31JUL17	S 3 PD31OCT17	2803.50	3275.50	USD	-35,400.00	
31JUL17	S 2 PD31OCT17	2825.50	3275.50	USD	-22,500.00	
31JUL17	B 2 PD31OCT17	2822.00	3275.50	USD	22,675.00	
31JUL17	B 2 PD31OCT17	2816.00	3275.50	USD	22,975.00	
31JUL17	S 2 PD31OCT17	2792.50	3275.50	USD	-24,150.00	
31JUL17	S 5 PD31OCT17	2798.50	3275.50	USD	-59,625.00	
31JUL17	S 1 PD31OCT17	2811.50	3275.50	USD	-11,600.00	
31JUL17	S 2 PD31OCT17	2807.00	3275.50	USD	-23,425.00	
31JUL17	B 1 PD31OCT17	2794.50	3275.50	USD	12,025.00	
01AUG17	B 13 PD31OCT17	2779.92	3275.50	USD	161,063.50	
	NET 0 AVG BGHT 2799.21 SOLD 2807.22				**5,813.50**	**0.00**
01AUG17	B 1 PD01NOV17	2786.00	3274.50	USD	12,212.50	
01AUG17	B 1 PD01NOV17	2775.00	3274.50	USD	12,487.50	
01AUG17	S 1 PD01NOV17	2784.50	3274.50	USD	-12,250.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
01AUG17	S 1 PD01NOV17	2786.00	3274.50	USD	-12,212.50	
01AUG17	S 1 PD01NOV17	2786.50	3274.50	USD	-12,200.00	
01AUG17	B 1 PD01NOV17	2782.50	3274.50	USD	12,300.00	
	NET 0 AVG BGHT 2781.17 SOLD 2785.67				**337.50**	**0.00**
02AUG17	B 2 PD02NOV17	2769.00	3273.50	USD	25,225.00	
02AUG17	B 1 PD02NOV17	2767.50	3273.50	USD	12,650.00	
02AUG17	B 2 PD02NOV17	2768.00	3273.50	USD	25,275.00	
02AUG17	S 1 PD02NOV17	2785.50	3273.50	USD	-12,200.00	
02AUG17	S 2 PD02NOV17	2801.50	3273.50	USD	-23,600.00	
02AUG17	S 1 PD02NOV17	2802.50	3273.50	USD	-11,775.00	
02AUG17	S 2 PD02NOV17	2804.00	3273.50	USD	-23,475.00	
02AUG17	S 1 PD02NOV17	2804.50	3273.50	USD	-11,725.00	
03AUG17	B 2 PD02NOV17	2791.95	3273.50	USD	24,077.50	
27SEP17	S 6 PD02NOV17	3113.00	3273.50	USD	-24,075.00	
02OCT17	S 2 PD02NOV17	3242.00	3273.50	USD	-1,575.00	
03OCT17	S 10 PD02NOV17	3241.35	3273.50	USD	-8,037.50	
03OCT17	S 20 PD02NOV17	3273.50	3273.50	USD	0.00	
	NET -38 AVG BGHT 2775.06 SOLD 3169.98				**-29,235.00**	**0.00**
03AUG17	B 1 PD03NOV17	2807.00	3272.00	USD	11,625.00	
03AUG17	B 1 PD03NOV17	2817.50	3272.00	USD	11,362.50	
03AUG17	S 1 PD03NOV17	2815.50	3272.00	USD	-11,412.50	
03AUG17	S 1 PD03NOV17	2817.00	3272.00	USD	-11,375.00	
03AUG17	S 1 PD03NOV17	2818.00	3272.00	USD	-11,350.00	
03AUG17	S 1 PD03NOV17	2819.00	3272.00	USD	-11,325.00	
03AUG17	B 2 PD03NOV17	2819.00	3272.00	USD	22,650.00	
03AUG17	S 2 PD03NOV17	2818.50	3272.00	USD	-22,675.00	
03AUG17	S 1 PD03NOV17	2819.50	3272.00	USD	-11,312.50	
03AUG17	S 1 PD03NOV17	2815.00	3272.00	USD	-11,425.00	
03AUG17	S 1 PD03NOV17	2803.00	3272.00	USD	-11,725.00	
03AUG17	S 2 PD03NOV17	2804.50	3272.00	USD	-23,375.00	
03AUG17	S 1 PD03NOV17	2805.00	3272.00	USD	-11,675.00	
03AUG17	S 1 PD03NOV17	2806.50	3272.00	USD	-11,637.50	
03AUG17	S 1 PD03NOV17	2807.00	3272.00	USD	-11,625.00	
03AUG17	B 1 PD03NOV17	2799.50	3272.00	USD	11,812.50	
03AUG17	B 1 PD03NOV17	2798.50	3272.00	USD	11,837.50	
03AUG17	B 1 PD03NOV17	2797.50	3272.00	USD	11,862.50	
03AUG17	B 1 PD03NOV17	2793.00	3272.00	USD	11,975.00	
03AUG17	B 1 PD03NOV17	2793.50	3272.00	USD	11,962.50	
03AUG17	S 1 PD03NOV17	2792.00	3272.00	USD	-12,000.00	
03AUG17	S 1 PD03NOV17	2792.50	3272.00	USD	-11,987.50	
03AUG17	S 1 PD03NOV17	2793.00	3272.00	USD	-11,975.00	
04AUG17	S 2 PD03NOV17	2805.50	3272.00	USD	-23,325.00	
04AUG17	S 1 PD03NOV17	2806.00	3272.00	USD	-11,650.00	
04AUG17	S 1 PD03NOV17	2808.00	3272.00	USD	-11,600.00	
04AUG17	S 1 PD03NOV17	2819.00	3272.00	USD	-11,325.00	
04AUG17	S 1 PD03NOV17	2825.50	3272.00	USD	-11,162.50	
04AUG17	S 1 PD03NOV17	2812.50	3272.00	USD	-11,487.50	
04AUG17	B 1 PD03NOV17	2811.50	3272.00	USD	11,512.50	
04AUG17	B 1 PD03NOV17	2816.00	3272.00	USD	11,400.00	
04AUG17	B 1 PD03NOV17	2818.50	3272.00	USD	11,337.50	
04AUG17	B 1 PD03NOV17	2819.00	3272.00	USD	11,325.00	
04AUG17	B 6 PD03NOV17	2820.00	3272.00	USD	67,800.00	
04AUG17	B 1 PD03NOV17	2808.50	3272.00	USD	11,587.50	
04AUG17	B 1 PD03NOV17	2809.50	3272.00	USD	11,562.50	
04AUG17	B 1 PD03NOV17	2803.00	3272.00	USD	11,725.00	
04AUG17	S 1 PD03NOV17	2813.00	3272.00	USD	-11,475.00	
04AUG17	S 1 PD03NOV17	2816.00	3272.00	USD	-11,400.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
04AUG17	B	8	PD03NOV17	2812.20	3272.00	USD	91,960.00	
04AUG17	B	1	PD03NOV17	2813.00	3272.00	USD	11,475.00	
04AUG17	B	2	PD03NOV17	2813.50	3272.00	USD	22,925.00	
04AUG17	S	1	PD03NOV17	2813.50	3272.00	USD	-11,462.50	
17AUG17	S	2	PD03NOV17	3060.69	3272.00	USD	-10,565.50	
23AUG17	S	3	PD03NOV17	3095.42	3272.00	USD	-13,243.50	
30AUG17	S	1	PD03NOV17	3092.40	3272.00	USD	-4,490.00	
	NET	**0**	**AVG BGHT 2811.76 SOLD 2859.80**				**39,636.00**	**0.00**
07AUG17	S	1	PD07NOV17	2820.00	3272.00	USD	-11,300.00	
07AUG17	S	1	PD07NOV17	2871.50	3272.00	USD	-10,012.50	
07AUG17	B	1	PD07NOV17	2865.00	3272.00	USD	10,175.00	
07AUG17	B	1	PD07NOV17	2863.00	3272.00	USD	10,225.00	
07AUG17	B	1	PD07NOV17	2867.00	3272.00	USD	10,125.00	
07AUG17	B	1	PD07NOV17	2868.50	3272.00	USD	10,087.50	
07AUG17	B	2	PD07NOV17	2868.00	3272.00	USD	20,200.00	
07AUG17	B	8	PD07NOV17	2858.20	3272.00	USD	82,760.00	
29AUG17	S	5	PD07NOV17	3108.50	3272.00	USD	-20,437.50	
30AUG17	S	7	PD07NOV17	3093.00	3272.00	USD	-31,325.00	
	NET	**0**	**AVG BGHT 2861.79 SOLD 3063.21**				**70,497.50**	**0.00**
08AUG17	S	2	PD08NOV17	2877.50	3272.00	USD	-19,725.00	
08AUG17	S	1	PD08NOV17	2879.00	3272.00	USD	-9,825.00	
08AUG17	S	2	PD08NOV17	2880.50	3272.00	USD	-19,575.00	
08AUG17	S	1	PD08NOV17	2881.00	3272.00	USD	-9,775.00	
08AUG17	S	1	PD08NOV17	2881.50	3272.00	USD	-9,762.50	
08AUG17	S	1	PD08NOV17	2904.50	3272.00	USD	-9,187.50	
08AUG17	S	1	PD08NOV17	2922.00	3272.00	USD	-8,750.00	
08AUG17	S	1	PD08NOV17	2923.00	3272.00	USD	-8,725.00	
08AUG17	S	1	PD08NOV17	2928.50	3272.00	USD	-8,587.50	
08AUG17	S	1	PD08NOV17	2929.00	3272.00	USD	-8,575.00	
08AUG17	S	1	PD08NOV17	2930.00	3272.00	USD	-8,550.00	
08AUG17	B	12	PD08NOV17	2925.20	3272.00	USD	104,040.00	
09AUG17	B	1	PD08NOV17	2931.51	3272.00	USD	8,512.25	
	NET	**0**	**AVG BGHT 2925.69 SOLD 2899.58**				**-8,485.25**	**0.00**
09AUG17	S	1	PD09NOV17	2966.50	3272.00	USD	-7,637.50	
09AUG17	S	3	PD09NOV17	2976.50	3272.00	USD	-22,162.50	
09AUG17	S	1	PD09NOV17	2978.00	3272.00	USD	-7,350.00	
09AUG17	S	2	PD09NOV17	2979.50	3272.00	USD	-14,625.00	
09AUG17	S	1	PD09NOV17	2962.00	3272.00	USD	-7,750.00	
09AUG17	S	1	PD09NOV17	2963.00	3272.00	USD	-7,725.00	
09AUG17	S	1	PD09NOV17	2963.50	3272.00	USD	-7,712.50	
09AUG17	S	1	PD09NOV17	2968.00	3272.00	USD	-7,600.00	
09AUG17	B	1	PD09NOV17	2942.50	3272.00	USD	8,237.50	
09AUG17	B	20	PD09NOV17	2931.70	3272.00	USD	170,150.00	
30AUG17	S	2	PD09NOV17	3093.30	3272.00	USD	-8,935.00	
31AUG17	S	7	PD09NOV17	3144.30	3272.00	USD	-22,347.50	
05SEP17	S	1	PD09NOV17	3138.10	3272.00	USD	-3,347.50	
	NET	**0**	**AVG BGHT 2932.21 SOLD 3048.78**				**61,195.00**	**0.00**
11AUG17	B	1	PD10NOV17	2910.50	3272.00	USD	9,037.50	
11AUG17	B	1	PD10NOV17	2892.00	3272.00	USD	9,500.00	
11AUG17	B	1	PD10NOV17	2900.00	3272.00	USD	9,300.00	
11AUG17	S	1	PD10NOV17	2902.00	3272.00	USD	-9,250.00	
11AUG17	S	1	PD10NOV17	2900.00	3272.00	USD	-9,300.00	
05SEP17	S	1	PD10NOV17	3138.19	3272.00	USD	-3,345.25	
	NET	**0**	**AVG BGHT 2900.83 SOLD 2980.06**				**5,942.25**	**0.00**
14AUG17	S	1	PD14NOV17	2919.00	3270.33	USD	-8,783.25	
14AUG17	S	2	PD14NOV17	2917.00	3270.33	USD	-17,666.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
14AUG17	S 1 PD14NOV17	2918.00	3270.33	USD	-8,808.25	
14AUG17	B 1 PD14NOV17	2907.50	3270.33	USD	9,070.75	
14AUG17	B 1 PD14NOV17	2909.00	3270.33	USD	9,033.25	
14AUG17	B 1 PD14NOV17	2903.50	3270.33	USD	9,170.75	
14AUG17	B 1 PD14NOV17	2909.50	3270.33	USD	9,020.75	
14AUG17	B 1 PD14NOV17	2900.00	3270.33	USD	9,258.25	
14AUG17	B 1 PD14NOV17	2900.50	3270.33	USD	9,245.75	
14AUG17	B 2 PD14NOV17	2901.50	3270.33	USD	18,441.50	
14AUG17	B 1 PD14NOV17	2905.00	3270.33	USD	9,133.25	
14AUG17	B 1 PD14NOV17	2908.00	3270.33	USD	9,058.25	
14AUG17	B 1 PD14NOV17	2905.50	3270.33	USD	9,120.75	
14AUG17	B 1 PD14NOV17	2906.50	3270.33	USD	9,095.75	
14AUG17	B 1 PD14NOV17	2904.50	3270.33	USD	9,145.75	
14AUG17	B 2 PD14NOV17	2906.00	3270.33	USD	18,216.50	
14AUG17	S 2 PD14NOV17	2914.00	3270.33	USD	-17,816.50	
14AUG17	S 1 PD14NOV17	2914.50	3270.33	USD	-8,895.75	
14AUG17	S 1 PD14NOV17	2915.00	3270.33	USD	-8,883.25	
14AUG17	S 3 PD14NOV17	2915.50	3270.33	USD	-26,612.25	
14AUG17	S 1 PD14NOV17	2916.50	3270.33	USD	-8,845.75	
23AUG17	S 3 PD14NOV17	3096.68	3270.33	USD	-13,023.75	
30AUG17	B 10 PD14NOV17	3094.45	3270.33	USD	43,970.00	
12SEP17	S 10 PD14NOV17	3057.75	3270.33	USD	-53,145.00	
	NET 0 AVG BGHT 2980.76 SOLD 2994.36				**8,501.00**	**0.00**
16AUG17	S 1 PD16NOV17	2979.00	3267.50	USD	-7,212.50	
16AUG17	S 2 PD16NOV17	2979.50	3267.50	USD	-14,400.00	
16AUG17	S 1 PD16NOV17	2980.50	3267.50	USD	-7,175.00	
16AUG17	S 1 PD16NOV17	2982.50	3267.50	USD	-7,125.00	
16AUG17	S 1 PD16NOV17	2984.00	3267.50	USD	-7,087.50	
16AUG17	S 1 PD16NOV17	2986.00	3267.50	USD	-7,037.50	
16AUG17	S 1 PD16NOV17	2990.00	3267.50	USD	-6,937.50	
16AUG17	S 1 PD16NOV17	2990.50	3267.50	USD	-6,925.00	
16AUG17	S 2 PD16NOV17	2987.00	3267.50	USD	-14,025.00	
16AUG17	S 1 PD16NOV17	2987.50	3267.50	USD	-7,000.00	
16AUG17	S 1 PD16NOV17	2989.00	3267.50	USD	-6,962.50	
16AUG17	S 3 PD16NOV17	2989.50	3267.50	USD	-20,850.00	
16AUG17	S 2 PD16NOV17	2994.00	3267.50	USD	-13,675.00	
16AUG17	S 1 PD16NOV17	3013.00	3267.50	USD	-6,362.50	
16AUG17	S 1 PD16NOV17	3012.00	3267.50	USD	-6,387.50	
16AUG17	S 1 PD16NOV17	3010.00	3267.50	USD	-6,437.50	
16AUG17	S 1 PD16NOV17	3016.50	3267.50	USD	-6,275.00	
16AUG17	S 1 PD16NOV17	3023.00	3267.50	USD	-6,112.50	
16AUG17	S 4 PD16NOV17	2981.00	3267.50	USD	-28,650.00	
16AUG17	S 3 PD16NOV17	3015.50	3267.50	USD	-18,900.00	
16AUG17	S 1 PD16NOV17	3025.00	3267.50	USD	-6,062.50	
16AUG17	S 1 PD16NOV17	3025.50	3267.50	USD	-6,050.00	
16AUG17	B 1 PD16NOV17	3088.00	3267.50	USD	4,487.50	
16AUG17	B 1 PD16NOV17	3097.00	3267.50	USD	4,262.50	
16AUG17	B 1 PD16NOV17	3120.50	3267.50	USD	3,675.00	
16AUG17	B 2 PD16NOV17	3121.50	3267.50	USD	7,300.00	
16AUG17	S 1 PD16NOV17	3024.00	3267.50	USD	-6,087.50	
16AUG17	B 1 PD16NOV17	3106.50	3267.50	USD	4,025.00	
16AUG17	B 1 PD16NOV17	3110.50	3267.50	USD	3,925.00	
16AUG17	B 4 PD16NOV17	3108.50	3267.50	USD	15,900.00	
16AUG17	B 1 PD16NOV17	3109.50	3267.50	USD	3,950.00	
16AUG17	B 2 PD16NOV17	3110.00	3267.50	USD	7,875.00	
16AUG17	B 2 PD16NOV17	3107.50	3267.50	USD	8,000.00	
16AUG17	B 1 PD16NOV17	3108.00	3267.50	USD	3,987.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
16AUG17	B 1 PD16NOV17	3112.00	3267.50	USD	3,887.50	
16AUG17	B 1 PD16NOV17	3116.50	3267.50	USD	3,775.00	
16AUG17	B 2 PD16NOV17	3107.00	3267.50	USD	8,025.00	
16AUG17	B 1 PD16NOV17	3118.00	3267.50	USD	3,737.50	
16AUG17	B 2 PD16NOV17	3121.00	3267.50	USD	7,325.00	
16AUG17	B 5 PD16NOV17	3119.50	3267.50	USD	18,500.00	
16AUG17	B 10 PD16NOV17	3119.20	3267.50	USD	37,075.00	
21AUG17	S 2 PD16NOV17	3124.50	3267.50	USD	-7,150.00	
23AUG17	S 4 PD16NOV17	3094.80	3267.50	USD	-17,270.00	
	NET 0 AVG BGHT 3113.95 SOLD 3012.98				**-98,445.00**	**0.00**
17AUG17	S 1 PD17NOV17	3135.00	3265.50	USD	-3,262.50	
17AUG17	S 1 PD17NOV17	3141.50	3265.50	USD	-3,100.00	
17AUG17	B 2 PD17NOV17	3141.00	3265.50	USD	6,225.00	
17AUG17	S 3 PD17NOV17	3138.00	3265.50	USD	-9,562.50	
17AUG17	S 1 PD17NOV17	3104.00	3265.50	USD	-4,037.50	
17AUG17	S 1 PD17NOV17	3105.00	3265.50	USD	-4,012.50	
17AUG17	S 1 PD17NOV17	3103.00	3265.50	USD	-4,062.50	
17AUG17	B 1 PD17NOV17	3090.50	3265.50	USD	4,375.00	
17AUG17	B 1 PD17NOV17	3091.50	3265.50	USD	4,350.00	
17AUG17	S 1 PD17NOV17	3139.50	3265.50	USD	-3,150.00	
17AUG17	S 1 PD17NOV17	3089.50	3265.50	USD	-4,400.00	
17AUG17	B 1 PD17NOV17	3096.50	3265.50	USD	4,225.00	
17AUG17	B 1 PD17NOV17	3057.00	3265.50	USD	5,212.50	
17AUG17	B 1 PD17NOV17	3049.50	3265.50	USD	5,400.00	
17AUG17	B 1 PD17NOV17	3050.00	3265.50	USD	5,387.50	
17AUG17	B 1 PD17NOV17	3052.00	3265.50	USD	5,337.50	
17AUG17	B 1 PD17NOV17	3054.00	3265.50	USD	5,287.50	
18AUG17	S 1 PD17NOV17	3102.00	3265.50	USD	-4,087.50	
18AUG17	S 1 PD17NOV17	3095.00	3265.50	USD	-4,262.50	
18AUG17	B 1 PD17NOV17	3111.00	3265.50	USD	3,862.50	
18AUG17	S 1 PD17NOV17	3115.00	3265.50	USD	-3,762.50	
21AUG17	B 2 PD17NOV17	3124.20	3265.50	USD	7,065.00	
	NET 0 AVG BGHT 3090.95 SOLD 3118.73				**9,027.50**	**0.00**
22AUG17	S 1 PD22NOV17	3120.00	3264.50	USD	-3,612.50	
22AUG17	S 1 PD22NOV17	3125.00	3264.50	USD	-3,487.50	
22AUG17	S 1 PD22NOV17	3127.00	3264.50	USD	-3,437.50	
22AUG17	B 1 PD22NOV17	3106.00	3264.50	USD	3,962.50	
22AUG17	B 1 PD22NOV17	3101.00	3264.50	USD	4,087.50	
22AUG17	B 1 PD22NOV17	3115.00	3264.50	USD	3,737.50	
22AUG17	B 2 PD22NOV17	3115.50	3264.50	USD	7,450.00	
22AUG17	B 1 PD22NOV17	3117.00	3264.50	USD	3,687.50	
22SEP17	S 3 PD22NOV17	3031.43	3264.50	USD	-17,480.25	
	NET 0 AVG BGHT 3111.67 SOLD 3077.72				**-5,092.75**	**0.00**
23AUG17	S 1 PD24NOV17	3118.00	3264.71	USD	-3,667.75	
23AUG17	S 1 PD24NOV17	3126.00	3264.71	USD	-3,467.75	
23AUG17	S 1 PD24NOV17	3126.50	3264.71	USD	-3,455.25	
23AUG17	S 1 PD24NOV17	3143.00	3264.71	USD	-3,042.75	
23AUG17	S 2 PD24NOV17	3141.50	3264.71	USD	-6,160.50	
23AUG17	B 1 PD24NOV17	3115.00	3264.71	USD	3,742.75	
23AUG17	B 1 PD24NOV17	3090.00	3264.71	USD	4,367.75	
23AUG17	B 1 PD24NOV17	3094.00	3264.71	USD	4,267.75	
23AUG17	B 1 PD24NOV17	3095.50	3264.71	USD	4,230.25	
23AUG17	B 1 PD24NOV17	3097.00	3264.71	USD	4,192.75	
23AUG17	S 2 PD24NOV17	3100.00	3264.71	USD	-8,235.50	
23AUG17	B 1 PD24NOV17	3108.00	3264.71	USD	3,917.75	
23AUG17	B 1 PD24NOV17	3103.50	3264.71	USD	4,030.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
23AUG17	B 1 PD24NOV17	3104.00	3264.71	USD	4,017.75	
23AUG17	B 1 PD24NOV17	3107.00	3264.71	USD	3,942.75	
23AUG17	B 1 PD24NOV17	3107.50	3264.71	USD	3,930.25	
24AUG17	S 1 PD24NOV17	3111.50	3264.71	USD	-3,830.25	
24AUG17	S 1 PD24NOV17	3113.50	3264.71	USD	-3,780.25	
24AUG17	B 1 PD24NOV17	3102.50	3264.71	USD	4,055.25	
24AUG17	B 1 PD24NOV17	3100.00	3264.71	USD	4,117.75	
24AUG17	S 1 PD24NOV17	3108.00	3264.71	USD	-3,917.75	
24AUG17	S 2 PD24NOV17	3112.00	3264.71	USD	-7,635.50	
24AUG17	S 1 PD24NOV17	3118.50	3264.71	USD	-3,655.25	
24AUG17	B 1 PD24NOV17	3107.00	3264.71	USD	3,942.75	
24AUG17	B 1 PD24NOV17	3113.00	3264.71	USD	3,792.75	
24AUG17	B 1 PD24NOV17	3105.00	3264.71	USD	3,992.75	
24AUG17	B 2 PD24NOV17	3106.50	3264.71	USD	7,910.50	
25AUG17	S 1 PD24NOV17	3128.00	3264.71	USD	-3,417.75	
25AUG17	B 1 PD24NOV17	3129.00	3264.71	USD	3,392.75	
25AUG17	S 1 PD24NOV17	3127.00	3264.71	USD	-3,442.75	
25AUG17	S 3 PD24NOV17	3129.50	3264.71	USD	-10,140.75	
25AUG17	S 1 PD24NOV17	3133.00	3264.71	USD	-3,292.75	
25AUG17	B 2 PD24NOV17	3106.50	3264.71	USD	7,910.50	
25AUG17	B 1 PD24NOV17	3096.00	3264.71	USD	4,217.75	
25AUG17	B 1 PD24NOV17	3076.00	3264.71	USD	4,717.75	
25AUG17	B 1 PD24NOV17	3064.50	3264.71	USD	5,005.25	
25AUG17	B 1 PD24NOV17	3056.50	3264.71	USD	5,205.25	
25AUG17	B 1 PD24NOV17	3058.50	3264.71	USD	5,155.25	
25AUG17	B 2 PD24NOV17	3060.00	3264.71	USD	10,235.50	
25AUG17	S 1 PD24NOV17	3063.50	3264.71	USD	-5,030.25	
25AUG17	S 1 PD24NOV17	3072.50	3264.71	USD	-4,805.25	
25AUG17	S 1 PD24NOV17	3066.00	3264.71	USD	-4,967.75	
25AUG17	B 1 PD24NOV17	3069.00	3264.71	USD	4,892.75	
25AUG17	S 1 PD24NOV17	3066.50	3264.71	USD	-4,955.25	
25AUG17	S 1 PD24NOV17	3068.00	3264.71	USD	-4,917.75	
25AUG17	S 2 PD24NOV17	3065.00	3264.71	USD	-9,985.50	
25AUG17	S 1 PD24NOV17	3065.50	3264.71	USD	-4,980.25	
	NET 0 AVG BGHT 3094.45 SOLD 3106.45				**8,400.00**	**0.00**
29AUG17	B 1 PD29NOV17	3102.50	3265.25	USD	4,068.75	
29AUG17	B 1 PD29NOV17	3097.50	3265.25	USD	4,193.75	
29AUG17	B 1 PD29NOV17	3095.50	3265.25	USD	4,243.75	
29AUG17	B 1 PD29NOV17	3091.00	3265.25	USD	4,356.25	
29AUG17	S 1 PD29NOV17	3118.50	3265.25	USD	-3,668.75	
29AUG17	B 1 PD29NOV17	3113.00	3265.25	USD	3,806.25	
29AUG17	B 1 PD29NOV17	3099.00	3265.25	USD	4,156.25	
29AUG17	S 5 PD29NOV17	3109.20	3265.25	USD	-19,506.25	
29AUG17	B 1 PD29NOV17	3111.00	3265.25	USD	3,856.25	
29AUG17	B 1 PD29NOV17	3112.00	3265.25	USD	3,831.25	
29AUG17	B 1 PD29NOV17	3111.50	3265.25	USD	3,843.75	
29AUG17	B 3 PD29NOV17	3112.50	3265.25	USD	11,456.25	
15SEP17	S 6 PD29NOV17	3028.32	3265.25	USD	-35,539.50	
	NET 0 AVG BGHT 3105.88 SOLD 3069.54				**-10,902.00**	**0.00**
30AUG17	S 2 PD30NOV17	3126.00	3265.36	USD	-6,968.00	
30AUG17	S 1 PD30NOV17	3127.00	3265.36	USD	-3,459.00	
30AUG17	S 1 PD30NOV17	3133.50	3265.36	USD	-3,296.50	
30AUG17	S 1 PD30NOV17	3145.00	3265.36	USD	-3,009.00	
30AUG17	S 2 PD30NOV17	3146.00	3265.36	USD	-5,968.00	
30AUG17	B 2 PD30NOV17	3135.50	3265.36	USD	6,493.00	
30AUG17	B 2 PD30NOV17	3131.00	3265.36	USD	6,718.00	
30AUG17	B 1 PD30NOV17	3132.50	3265.36	USD	3,321.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
30AUG17	B 2 PD30NOV17	3133.50	3265.36	USD	6,593.00	
30AUG17	B 1 PD30NOV17	3125.00	3265.36	USD	3,509.00	
30AUG17	B 1 PD30NOV17	3127.50	3265.36	USD	3,446.50	
30AUG17	B 1 PD30NOV17	3121.00	3265.36	USD	3,609.00	
30AUG17	B 1 PD30NOV17	3123.00	3265.36	USD	3,559.00	
30AUG17	S 1 PD30NOV17	3125.00	3265.36	USD	-3,509.00	
30AUG17	B 1 PD30NOV17	3098.50	3265.36	USD	4,171.50	
30AUG17	B 1 PD30NOV17	3103.00	3265.36	USD	4,059.00	
30AUG17	S 1 PD30NOV17	3097.50	3265.36	USD	-4,196.50	
30AUG17	S 1 PD30NOV17	3098.00	3265.36	USD	-4,184.00	
30AUG17	S 1 PD30NOV17	3099.00	3265.36	USD	-4,159.00	
30AUG17	B 1 PD30NOV17	3094.00	3265.36	USD	4,284.00	
30AUG17	S 1 PD30NOV17	3096.50	3265.36	USD	-4,221.50	
30AUG17	B 1 PD30NOV17	3095.50	3265.36	USD	4,246.50	
30AUG17	S 1 PD30NOV17	3091.50	3265.36	USD	-4,346.50	
31AUG17	B 1 PD30NOV17	3099.50	3265.36	USD	4,146.50	
31AUG17	B 1 PD30NOV17	3100.50	3265.36	USD	4,121.50	
31AUG17	B 1 PD30NOV17	3102.00	3265.36	USD	4,084.00	
31AUG17	B 1 PD30NOV17	3105.50	3265.36	USD	3,996.50	
31AUG17	S 1 PD30NOV17	3119.00	3265.36	USD	-3,659.00	
31AUG17	S 2 PD30NOV17	3125.50	3265.36	USD	-6,993.00	
31AUG17	B 1 PD30NOV17	3133.00	3265.36	USD	3,309.00	
31AUG17	S 2 PD30NOV17	3123.50	3265.36	USD	-7,093.00	
31AUG17	B 2 PD30NOV17	3127.00	3265.36	USD	6,918.00	
31AUG17	B 1 PD30NOV17	3126.00	3265.36	USD	3,484.00	
31AUG17	B 3 PD30NOV17	3128.00	3265.36	USD	10,302.00	
31AUG17	S 1 PD30NOV17	3126.50	3265.36	USD	-3,471.50	
31AUG17	S 1 PD30NOV17	3140.50	3265.36	USD	-3,121.50	
31AUG17	S 2 PD30NOV17	3141.00	3265.36	USD	-6,218.00	
31AUG17	S 1 PD30NOV17	3139.50	3265.36	USD	-3,146.50	
31AUG17	B 2 PD30NOV17	3127.50	3265.36	USD	6,893.00	
31AUG17	B 7 PD30NOV17	3146.20	3265.36	USD	20,853.00	
22SEP17	S 12 PD30NOV17	3030.70	3265.36	USD	-70,398.00	
	NET 0 AVG BGHT 3125.80 SOLD 3092.31				**-29,300.00**	**0.00**
01SEP17	S 1 PD01DEC17	3165.00	3265.46	USD	-2,511.50	
01SEP17	S 1 PD01DEC17	3168.50	3265.46	USD	-2,424.00	
01SEP17	B 1 PD01DEC17	3147.00	3265.46	USD	2,961.50	
01SEP17	B 1 PD01DEC17	3150.50	3265.46	USD	2,874.00	
01SEP17	B 1 PD01DEC17	3151.50	3265.46	USD	2,849.00	
01SEP17	B 1 PD01DEC17	3145.50	3265.46	USD	2,999.00	
01SEP17	B 1 PD01DEC17	3152.00	3265.46	USD	2,836.50	
01SEP17	S 1 PD01DEC17	3165.50	3265.46	USD	-2,499.00	
01SEP17	S 1 PD01DEC17	3167.00	3265.46	USD	-2,461.50	
01SEP17	S 1 PD01DEC17	3172.50	3265.46	USD	-2,324.00	
01SEP17	B 1 PD01DEC17	3178.00	3265.46	USD	2,186.50	
01SEP17	S 1 PD01DEC17	3175.00	3265.46	USD	-2,261.50	
01SEP17	S 1 PD01DEC17	3183.00	3265.46	USD	-2,061.50	
01SEP17	S 1 PD01DEC17	3183.50	3265.46	USD	-2,049.00	
01SEP17	S 1 PD01DEC17	3182.00	3265.46	USD	-2,086.50	
01SEP17	S 1 PD01DEC17	3185.50	3265.46	USD	-1,999.00	
01SEP17	S 1 PD01DEC17	3186.50	3265.46	USD	-1,974.00	
01SEP17	S 1 PD01DEC17	3189.50	3265.46	USD	-1,899.00	
01SEP17	S 1 PD01DEC17	3196.00	3265.46	USD	-1,736.50	
01SEP17	B 1 PD01DEC17	3200.00	3265.46	USD	1,636.50	
01SEP17	S 1 PD01DEC17	3199.50	3265.46	USD	-1,649.00	
01SEP17	B 1 PD01DEC17	3204.50	3265.46	USD	1,524.00	
01SEP17	B 1 PD01DEC17	3205.00	3265.46	USD	1,511.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
01SEP17	B 1 PD01DEC17	3209.50	3265.46	USD	1,399.00	
01SEP17	B 2 PD01DEC17	3210.00	3265.46	USD	2,773.00	
01SEP17	B 1 PD01DEC17	3211.00	3265.46	USD	1,361.50	
01SEP17	B 3 PD01DEC17	3208.50	3265.46	USD	4,272.00	
22SEP17	S 2 PD01DEC17	3030.86	3265.46	USD	-11,730.00	
	NET 0 AVG BGHT 3187.50 SOLD 3161.30				**-10,482.00**	**0.00**
04SEP17	S 1 PD04DEC17	3195.00	3265.79	USD	-1,769.75	
04SEP17	S 1 PD04DEC17	3196.50	3265.79	USD	-1,732.25	
04SEP17	S 1 PD04DEC17	3195.50	3265.79	USD	-1,757.25	
04SEP17	B 1 PD04DEC17	3194.00	3265.79	USD	1,794.75	
04SEP17	S 1 PD04DEC17	3200.00	3265.79	USD	-1,644.75	
04SEP17	S 2 PD04DEC17	3200.50	3265.79	USD	-3,264.50	
04SEP17	S 1 PD04DEC17	3201.50	3265.79	USD	-1,607.25	
04SEP17	S 1 PD04DEC17	3198.00	3265.79	USD	-1,694.75	
12SEP17	B 7 PD04DEC17	3059.51	3265.79	USD	36,099.00	
	NET 0 AVG BGHT 3076.32 SOLD 3198.44				**24,423.25**	**0.00**
05SEP17	S 1 PD05DEC17	3204.50	3265.89	USD	-1,534.75	
05SEP17	S 1 PD05DEC17	3206.00	3265.89	USD	-1,497.25	
05SEP17	S 1 PD05DEC17	3206.50	3265.89	USD	-1,484.75	
05SEP17	S 1 PD05DEC17	3201.00	3265.89	USD	-1,622.25	
05SEP17	S 1 PD05DEC17	3200.00	3265.89	USD	-1,647.25	
05SEP17	S 1 PD05DEC17	3198.00	3265.89	USD	-1,697.25	
05SEP17	S 2 PD05DEC17	3197.50	3265.89	USD	-3,419.50	
05SEP17	S 2 PD05DEC17	3203.00	3265.89	USD	-3,144.50	
05SEP17	S 1 PD05DEC17	3192.50	3265.89	USD	-1,834.75	
05SEP17	B 1 PD05DEC17	3131.50	3265.89	USD	3,359.75	
05SEP17	B 1 PD05DEC17	3142.50	3265.89	USD	3,084.75	
05SEP17	B 1 PD05DEC17	3143.50	3265.89	USD	3,059.75	
05SEP17	B 1 PD05DEC17	3140.00	3265.89	USD	3,147.25	
05SEP17	B 2 PD05DEC17	3139.00	3265.89	USD	6,344.50	
05SEP17	B 1 PD05DEC17	3133.00	3265.89	USD	3,322.25	
05SEP17	B 3 PD05DEC17	3133.50	3265.89	USD	9,929.25	
05SEP17	B 1 PD05DEC17	3112.50	3265.89	USD	3,834.75	
05SEP17	B 1 PD05DEC17	3115.50	3265.89	USD	3,759.75	
05SEP17	B 1 PD05DEC17	3105.50	3265.89	USD	4,009.75	
05SEP17	B 1 PD05DEC17	3108.50	3265.89	USD	3,934.75	
05SEP17	B 1 PD05DEC17	3095.50	3265.89	USD	4,259.75	
05SEP17	B 1 PD05DEC17	3096.50	3265.89	USD	4,234.75	
05SEP17	B 1 PD05DEC17	3101.50	3265.89	USD	4,109.75	
05SEP17	B 1 PD05DEC17	3102.00	3265.89	USD	4,097.25	
05SEP17	B 1 PD05DEC17	3103.00	3265.89	USD	4,072.25	
05SEP17	B 1 PD05DEC17	3106.00	3265.89	USD	3,997.25	
22SEP17	S 9 PD05DEC17	3031.48	3265.89	USD	-52,742.25	
	NET 0 AVG BGHT 3120.78 SOLD 3124.64				**1,933.00**	**0.00**
06SEP17	B 1 PD06DEC17	3119.50	3266.00	USD	3,662.50	
06SEP17	B 1 PD06DEC17	3120.00	3266.00	USD	3,650.00	
06SEP17	S 6 PD06DEC17	3119.00	3266.00	USD	-22,050.00	
06SEP17	S 2 PD06DEC17	3120.00	3266.00	USD	-7,300.00	
06SEP17	S 2 PD06DEC17	3120.50	3266.00	USD	-7,275.00	
06SEP17	S 2 PD06DEC17	3123.50	3266.00	USD	-7,125.00	
06SEP17	B 1 PD06DEC17	3101.50	3266.00	USD	4,112.50	
06SEP17	S 1 PD06DEC17	3094.00	3266.00	USD	-4,300.00	
06SEP17	S 2 PD06DEC17	3104.50	3266.00	USD	-8,075.00	
06SEP17	B 1 PD06DEC17	3088.00	3266.00	USD	4,450.00	
06SEP17	B 1 PD06DEC17	3087.00	3266.00	USD	4,475.00	
06SEP17	B 1 PD06DEC17	3087.50	3266.00	USD	4,462.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
06SEP17	B	1	PD06DEC17	3084.50	3266.00	USD	4,537.50	
06SEP17	B	1	PD06DEC17	3103.50	3266.00	USD	4,062.50	
06SEP17	B	1	PD06DEC17	3104.50	3266.00	USD	4,037.50	
06SEP17	B	1	PD06DEC17	3107.00	3266.00	USD	3,975.00	
06SEP17	S	1	PD06DEC17	3106.00	3266.00	USD	-4,000.00	
06SEP17	B	3	PD06DEC17	3106.50	3266.00	USD	11,962.50	
12SEP17	B	3	PD06DEC17	3059.93	3266.00	USD	15,455.25	
	NET	**0**	**AVG BGHT 3093.89**	**SOLD 3115.69**			**8,717.75**	**0.00**
07SEP17	B	1	PD07DEC17	3076.00	3266.11	USD	4,752.75	
07SEP17	B	2	PD07DEC17	3079.00	3266.11	USD	9,355.50	
07SEP17	B	2	PD07DEC17	3081.00	3266.11	USD	9,255.50	
07SEP17	B	2	PD07DEC17	3084.50	3266.11	USD	9,080.50	
07SEP17	S	1	PD07DEC17	3091.00	3266.11	USD	-4,377.75	
07SEP17	S	1	PD07DEC17	3142.50	3266.11	USD	-3,090.25	
07SEP17	S	1	PD07DEC17	3137.00	3266.11	USD	-3,227.75	
07SEP17	S	1	PD07DEC17	3139.50	3266.11	USD	-3,165.25	
22SEP17	S	3	PD07DEC17	3031.79	3266.11	USD	-17,574.00	
	NET	**0**	**AVG BGHT 3080.71**	**SOLD 3086.48**			**1,009.25**	**0.00**
11SEP17	S	1	PD11DEC17	3063.00	3266.54	USD	-5,088.50	
11SEP17	S	1	PD11DEC17	3063.50	3266.54	USD	-5,076.00	
11SEP17	S	1	PD11DEC17	3104.50	3266.54	USD	-4,051.00	
11SEP17	S	1	PD11DEC17	3092.00	3266.54	USD	-4,363.50	
11SEP17	S	1	PD11DEC17	3093.50	3266.54	USD	-4,326.00	
11SEP17	S	1	PD11DEC17	3094.50	3266.54	USD	-4,301.00	
11SEP17	S	1	PD11DEC17	3095.00	3266.54	USD	-4,288.50	
11SEP17	S	2	PD11DEC17	3062.50	3266.54	USD	-10,202.00	
11SEP17	B	1	PD11DEC17	3050.00	3266.54	USD	5,413.50	
11SEP17	B	1	PD11DEC17	3053.50	3266.54	USD	5,326.00	
22SEP17	B	7	PD11DEC17	3032.61	3266.54	USD	40,937.75	
	NET	**0**	**AVG BGHT 3036.86**	**SOLD 3081.22**			**9,980.75**	**0.00**
12SEP17	S	1	PD12DEC17	3083.50	3266.64	USD	-4,578.50	
12SEP17	S	1	PD12DEC17	3084.00	3266.64	USD	-4,566.00	
12SEP17	B	1	PD12DEC17	3063.50	3266.64	USD	5,078.50	
12SEP17	B	1	PD12DEC17	3064.00	3266.64	USD	5,066.00	
12SEP17	B	3	PD12DEC17	3064.50	3266.64	USD	15,160.50	
22SEP17	S	3	PD12DEC17	3032.56	3266.64	USD	-17,556.00	
	NET	**0**	**AVG BGHT 3064.20**	**SOLD 3053.04**			**-1,395.50**	**0.00**
13SEP17	B	2	PD13DEC17	3022.00	3266.75	USD	12,237.50	
13SEP17	B	1	PD13DEC17	3023.00	3266.75	USD	6,093.75	
13SEP17	B	2	PD13DEC17	3025.00	3266.75	USD	12,087.50	
13SEP17	B	1	PD13DEC17	3029.50	3266.75	USD	5,931.25	
13SEP17	B	1	PD13DEC17	3031.00	3266.75	USD	5,893.75	
22SEP17	S	7	PD13DEC17	3032.72	3266.75	USD	-40,955.25	
	NET	**0**	**AVG BGHT 3025.36**	**SOLD 3032.72**			**1,288.50**	**0.00**
14SEP17	B	1	PD14DEC17	3001.50	3266.86	USD	6,634.00	
14SEP17	B	1	PD14DEC17	3002.00	3266.86	USD	6,621.50	
14SEP17	B	1	PD14DEC17	3015.50	3266.86	USD	6,284.00	
14SEP17	B	1	PD14DEC17	2989.00	3266.86	USD	6,946.50	
14SEP17	B	1	PD14DEC17	2999.00	3266.86	USD	6,696.50	
14SEP17	B	1	PD14DEC17	3000.00	3266.86	USD	6,671.50	
14SEP17	B	1	PD14DEC17	2995.50	3266.86	USD	6,784.00	
14SEP17	B	1	PD14DEC17	2996.50	3266.86	USD	6,759.00	
14SEP17	B	1	PD14DEC17	2989.50	3266.86	USD	6,934.00	
14SEP17	B	1	PD14DEC17	2990.50	3266.86	USD	6,909.00	
14SEP17	B	1	PD14DEC17	2992.00	3266.86	USD	6,871.50	
14SEP17	B	1	PD14DEC17	2992.50	3266.86	USD	6,859.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
14SEP17	B	1	PD14DEC17	2993.00	3266.86	USD	6,846.50	
14SEP17	B	3	PD14DEC17	2994.00	3266.86	USD	20,464.50	
14SEP17	B	1	PD14DEC17	2994.50	3266.86	USD	6,809.00	
14SEP17	B	1	PD14DEC17	2988.00	3266.86	USD	6,971.50	
14SEP17	B	1	PD14DEC17	2981.50	3266.86	USD	7,134.00	
14SEP17	B	1	PD14DEC17	2982.00	3266.86	USD	7,121.50	
14SEP17	B	2	PD14DEC17	2980.50	3266.86	USD	14,318.00	
14SEP17	S	1	PD14DEC17	3012.50	3266.86	USD	-6,359.00	
22SEP17	S	21	PD14DEC17	3033.07	3266.86	USD	-122,739.75	
	NET	**0**	**AVG BGHT 2992.98**	**SOLD 3032.14**			**21,536.75**	**0.00**
15SEP17	S	2	PD15DEC17	3012.50	3266.96	USD	-12,723.00	
15SEP17	S	1	PD15DEC17	3013.00	3266.96	USD	-6,349.00	
15SEP17	S	1	PD15DEC17	3013.50	3266.96	USD	-6,336.50	
15SEP17	S	1	PD15DEC17	3015.00	3266.96	USD	-6,299.00	
15SEP17	S	1	PD15DEC17	3017.50	3266.96	USD	-6,236.50	
15SEP17	S	1	PD15DEC17	3027.50	3266.96	USD	-5,986.50	
15SEP17	S	1	PD15DEC17	3027.00	3266.96	USD	-5,999.00	
15SEP17	S	1	PD15DEC17	3018.50	3266.96	USD	-6,211.50	
15SEP17	S	1	PD15DEC17	3036.50	3266.96	USD	-5,761.50	
15SEP17	S	1	PD15DEC17	3039.00	3266.96	USD	-5,699.00	
15SEP17	S	2	PD15DEC17	3039.50	3266.96	USD	-11,373.00	
15SEP17	S	1	PD15DEC17	3041.00	3266.96	USD	-5,649.00	
15SEP17	S	1	PD15DEC17	3045.50	3266.96	USD	-5,536.50	
15SEP17	S	2	PD15DEC17	3029.50	3266.96	USD	-11,873.00	
15SEP17	S	1	PD15DEC17	3030.50	3266.96	USD	-5,911.50	
15SEP17	S	3	PD15DEC17	3031.00	3266.96	USD	-17,697.00	
22SEP17	B	21	PD15DEC17	3033.43	3266.96	USD	122,603.25	
	NET	**0**	**AVG BGHT 3033.43**	**SOLD 3027.64**			**-3,038.25**	**0.00**
18SEP17	S	1	PD18DEC17	3066.00	3267.29	USD	-5,032.25	
18SEP17	B	1	PD18DEC17	3068.00	3267.29	USD	4,982.25	
18SEP17	S	1	PD18DEC17	3087.50	3267.29	USD	-4,494.75	
18SEP17	S	1	PD18DEC17	3084.50	3267.29	USD	-4,569.75	
18SEP17	S	1	PD18DEC17	3084.00	3267.29	USD	-4,582.25	
18SEP17	S	1	PD18DEC17	3088.00	3267.29	USD	-4,482.25	
18SEP17	S	2	PD18DEC17	3085.00	3267.29	USD	-9,114.50	
18SEP17	S	1	PD18DEC17	3091.50	3267.29	USD	-4,394.75	
18SEP17	S	2	PD18DEC17	3086.00	3267.29	USD	-9,064.50	
18SEP17	S	1	PD18DEC17	3087.00	3267.29	USD	-4,507.25	
18SEP17	B	1	PD18DEC17	3065.50	3267.29	USD	5,044.75	
18SEP17	B	2	PD18DEC17	3063.50	3267.29	USD	10,189.50	
18SEP17	B	1	PD18DEC17	3066.00	3267.29	USD	5,032.25	
18SEP17	S	1	PD18DEC17	3082.50	3267.29	USD	-4,619.75	
18SEP17	S	2	PD18DEC17	3092.50	3267.29	USD	-8,739.50	
18SEP17	S	1	PD18DEC17	3093.50	3267.29	USD	-4,344.75	
18SEP17	S	1	PD18DEC17	3098.00	3267.29	USD	-4,232.25	
18SEP17	S	1	PD18DEC17	3098.50	3267.29	USD	-4,219.75	
18SEP17	B	1	PD18DEC17	3104.00	3267.29	USD	4,082.25	
18SEP17	B	1	PD18DEC17	3106.00	3267.29	USD	4,032.25	
18SEP17	S	1	PD18DEC17	3110.50	3267.29	USD	-3,919.75	
18SEP17	B	1	PD18DEC17	3110.50	3267.29	USD	3,919.75	
18SEP17	B	1	PD18DEC17	3111.00	3267.29	USD	3,907.25	
18SEP17	B	2	PD18DEC17	3112.00	3267.29	USD	7,764.50	
22SEP17	B	7	PD18DEC17	3033.69	3267.29	USD	40,880.00	
	NET	**0**	**AVG BGHT 3067.66**	**SOLD 3088.81**			**9,516.75**	**0.00**
19SEP17	B	1	PD19DEC17	3098.50	3267.39	USD	4,222.25	
19SEP17	S	1	PD19DEC17	3096.00	3267.39	USD	-4,284.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
19SEP17	B	2	PD19DEC17	3095.50	3267.39	USD	8,594.50	
19SEP17	B	2	PD19DEC17	3096.00	3267.39	USD	8,569.50	
19SEP17	S	1	PD19DEC17	3095.50	3267.39	USD	-4,297.25	
19SEP17	S	1	PD19DEC17	3104.00	3267.39	USD	-4,084.75	
19SEP17	B	1	PD19DEC17	3111.00	3267.39	USD	3,909.75	
22SEP17	S	3	PD19DEC17	3033.65	3267.39	USD	-17,530.50	
	NET	**0**	**AVG BGHT 3098.75 SOLD 3066.08**				**-4,901.25**	**0.00**
20SEP17	B	1	PD20DEC17	3101.50	3267.50	USD	4,150.00	
20SEP17	B	1	PD20DEC17	3104.00	3267.50	USD	4,087.50	
20SEP17	B	2	PD20DEC17	3123.50	3267.50	USD	7,200.00	
20SEP17	S	1	PD20DEC17	3127.50	3267.50	USD	-3,500.00	
20SEP17	B	1	PD20DEC17	3100.50	3267.50	USD	4,175.00	
20SEP17	B	1	PD20DEC17	3136.00	3267.50	USD	3,287.50	
20SEP17	B	1	PD20DEC17	3119.50	3267.50	USD	3,700.00	
20SEP17	B	1	PD20DEC17	3130.50	3267.50	USD	3,425.00	
22SEP17	S	7	PD20DEC17	3033.80	3267.50	USD	-40,897.50	
	NET	**0**	**AVG BGHT 3117.38 SOLD 3045.51**				**-14,372.50**	**0.00**
21SEP17	B	1	PD21DEC17	3097.00	3264.00	USD	4,175.00	
21SEP17	B	1	PD21DEC17	3097.50	3264.00	USD	4,162.50	
21SEP17	B	2	PD21DEC17	3098.00	3264.00	USD	8,300.00	
21SEP17	B	1	PD21DEC17	3098.50	3264.00	USD	4,137.50	
21SEP17	S	3	PD21DEC17	3098.00	3264.00	USD	-12,450.00	
21SEP17	B	1	PD21DEC17	3066.50	3264.00	USD	4,937.50	
21SEP17	B	1	PD21DEC17	3069.50	3264.00	USD	4,862.50	
21SEP17	B	1	PD21DEC17	3079.50	3264.00	USD	4,612.50	
21SEP17	B	1	PD21DEC17	3081.50	3264.00	USD	4,562.50	
21SEP17	B	1	PD21DEC17	3070.00	3264.00	USD	4,850.00	
21SEP17	B	1	PD21DEC17	3072.50	3264.00	USD	4,787.50	
21SEP17	B	1	PD21DEC17	3073.00	3264.00	USD	4,775.00	
21SEP17	B	2	PD21DEC17	3076.00	3264.00	USD	9,400.00	
21SEP17	B	1	PD21DEC17	3068.50	3264.00	USD	4,887.50	
21SEP17	B	1	PD21DEC17	3084.50	3264.00	USD	4,487.50	
21SEP17	B	1	PD21DEC17	3029.50	3264.00	USD	5,862.50	
21SEP17	B	1	PD21DEC17	3037.50	3264.00	USD	5,662.50	
21SEP17	S	1	PD21DEC17	3044.00	3264.00	USD	-5,500.00	
21SEP17	S	1	PD21DEC17	3043.50	3264.00	USD	-5,512.50	
22SEP17	S	11	PD21DEC17	3032.50	3264.00	USD	-63,662.50	
22SEP17	S	2	PD21DEC17	3032.30	3264.00	USD	-11,585.00	
	NET	**0**	**AVG BGHT 3076.31 SOLD 3044.64**				**-14,247.50**	**0.00**
22SEP17	S	1	PD22DEC17	3029.50	3264.00	USD	-5,862.50	
22SEP17	B	1	PD22DEC17	3004.00	3264.00	USD	6,500.00	
22SEP17	B	1	PD22DEC17	3008.00	3264.00	USD	6,400.00	
22SEP17	S	1	PD22DEC17	3031.00	3264.00	USD	-5,825.00	
22SEP17	S	1	PD22DEC17	3031.50	3264.00	USD	-5,812.50	
22SEP17	S	1	PD22DEC17	3033.00	3264.00	USD	-5,775.00	
22SEP17	S	1	PD22DEC17	3034.00	3264.00	USD	-5,750.00	
22SEP17	S	1	PD22DEC17	3034.50	3264.00	USD	-5,737.50	
22SEP17	S	2	PD22DEC17	3036.00	3264.00	USD	-11,400.00	
22SEP17	S	1	PD22DEC17	3037.00	3264.00	USD	-5,675.00	
22SEP17	S	1	PD22DEC17	3039.50	3264.00	USD	-5,612.50	
22SEP17	S	1	PD22DEC17	3055.00	3264.00	USD	-5,225.00	
22SEP17	B	11	PD22DEC17	3031.20	3264.00	USD	64,020.00	
22SEP17	B	1	PD22DEC17	3063.50	3264.00	USD	5,012.50	
22SEP17	B	1	PD22DEC17	3064.00	3264.00	USD	5,000.00	
	NET	**+4**	**AVG BGHT 3032.18 SOLD 3036.09**				**24,257.50**	**0.00**
25SEP17	S	1	PD27DEC17	3074.00	3264.00	USD	-4,750.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
25SEP17	S 1 PD27DEC17	3073.50	3264.00	USD	-4,762.50	
25SEP17	S 1 PD27DEC17	3084.00	3264.00	USD	-4,500.00	
25SEP17	S 1 PD27DEC17	3084.50	3264.00	USD	-4,487.50	
25SEP17	S 1 PD27DEC17	3085.00	3264.00	USD	-4,475.00	
25SEP17	S 1 PD27DEC17	3082.50	3264.00	USD	-4,537.50	
25SEP17	B 1 PD27DEC17	3095.50	3264.00	USD	4,212.50	
25SEP17	B 1 PD27DEC17	3078.00	3264.00	USD	4,650.00	
25SEP17	B 2 PD27DEC17	3083.00	3264.00	USD	9,050.00	
25SEP17	B 1 PD27DEC17	3088.00	3264.00	USD	4,400.00	
25SEP17	S 1 PD27DEC17	3093.00	3264.00	USD	-4,275.00	
25SEP17	B 1 PD27DEC17	3097.50	3264.00	USD	4,162.50	
25SEP17	B 2 PD27DEC17	3098.39	3264.00	USD	8,280.50	
25SEP17	B 2 PD27DEC17	3101.39	3264.00	USD	8,130.50	
26SEP17	S 1 PD27DEC17	3121.00	3264.00	USD	-3,575.00	
26SEP17	S 1 PD27DEC17	3125.00	3264.00	USD	-3,475.00	
26SEP17	S 1 PD27DEC17	3127.50	3264.00	USD	-3,412.50	
26SEP17	S 1 PD27DEC17	3138.00	3264.00	USD	-3,150.00	
26SEP17	S 2 PD27DEC17	3139.00	3264.00	USD	-6,250.00	
26SEP17	S 1 PD27DEC17	3133.00	3264.00	USD	-3,275.00	
26SEP17	S 1 PD27DEC17	3134.00	3264.00	USD	-3,250.00	
26SEP17	S 1 PD27DEC17	3135.50	3264.00	USD	-3,212.50	
26SEP17	S 1 PD27DEC17	3148.50	3264.00	USD	-2,887.50	
26SEP17	S 1 PD27DEC17	3149.50	3264.00	USD	-2,862.50	
26SEP17	B 1 PD27DEC17	3117.50	3264.00	USD	3,662.50	
26SEP17	B 2 PD27DEC17	3119.00	3264.00	USD	7,250.00	
26SEP17	B 1 PD27DEC17	3119.50	3264.00	USD	3,612.50	
26SEP17	B 2 PD27DEC17	3120.00	3264.00	USD	7,200.00	
26SEP17	B 2 PD27DEC17	3121.50	3264.00	USD	7,125.00	
26SEP17	B 1 PD27DEC17	3122.00	3264.00	USD	3,550.00	
26SEP17	B 1 PD27DEC17	3121.00	3264.00	USD	3,575.00	
26SEP17	B 2 PD27DEC17	3112.00	3264.00	USD	7,600.00	
26SEP17	B 1 PD27DEC17	3112.50	3264.00	USD	3,787.50	
26SEP17	B 2 PD27DEC17	3113.50	3264.00	USD	7,525.00	
26SEP17	B 1 PD27DEC17	3114.50	3264.00	USD	3,737.50	
26SEP17	B 5 PD27DEC17	3115.50	3264.00	USD	18,562.50	
26SEP17	B 5 PD27DEC17	3116.00	3264.00	USD	18,500.00	
26SEP17	B 3 PD27DEC17	3116.50	3264.00	USD	11,062.50	
26SEP17	B 1 PD27DEC17	3107.00	3264.00	USD	3,925.00	
26SEP17	B 2 PD27DEC17	3107.50	3264.00	USD	7,825.00	
26SEP17	B 3 PD27DEC17	3110.50	3264.00	USD	11,512.50	
26SEP17	B 1 PD27DEC17	3108.00	3264.00	USD	3,900.00	
26SEP17	B 2 PD27DEC17	3118.00	3264.00	USD	7,300.00	
26SEP17	B 2 PD27DEC17	3106.00	3264.00	USD	7,900.00	
26SEP17	B 1 PD27DEC17	3115.00	3264.00	USD	3,725.00	
26SEP17	S 15 PD27DEC17	3094.81	3264.00	USD	-63,446.25	
26SEP17	S 2 PD27DEC17	3109.00	3264.00	USD	-7,750.00	
26SEP17	S 2 PD27DEC17	3110.00	3264.00	USD	-7,700.00	
26SEP17	S 1 PD27DEC17	3111.50	3264.00	USD	-3,812.50	
26SEP17	S 1 PD27DEC17	3112.00	3264.00	USD	-3,800.00	
26SEP17	S 1 PD27DEC17	3112.50	3264.00	USD	-3,787.50	
26SEP17	S 2 PD27DEC17	3109.50	3264.00	USD	-7,725.00	
26SEP17	S 3 PD27DEC17	3110.50	3264.00	USD	-11,512.50	
26SEP17	S 3 PD27DEC17	3111.00	3264.00	USD	-11,475.00	
26SEP17	S 1 PD27DEC17	3113.50	3264.00	USD	-3,762.50	
27SEP17	S 1 PD27DEC17	3131.50	3264.00	USD	-3,312.50	
27SEP17	S 1 PD27DEC17	3138.00	3264.00	USD	-3,150.00	
27SEP17	S 1 PD27DEC17	3139.00	3264.00	USD	-3,125.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
27SEP17	S	1	PD27DEC17	3139.50	3264.00	USD	-3,112.50	
27SEP17	S	3	PD27DEC17	3140.00	3264.00	USD	-9,300.00	
27SEP17	S	1	PD27DEC17	3140.50	3264.00	USD	-3,087.50	
27SEP17	S	1	PD27DEC17	3141.00	3264.00	USD	-3,075.00	
27SEP17	S	1	PD27DEC17	3141.50	3264.00	USD	-3,062.50	
27SEP17	S	3	PD27DEC17	3142.00	3264.00	USD	-9,150.00	
27SEP17	S	3	PD27DEC17	3142.50	3264.00	USD	-9,112.50	
27SEP17	S	2	PD27DEC17	3143.00	3264.00	USD	-6,050.00	
27SEP17	S	3	PD27DEC17	3143.50	3264.00	USD	-9,037.50	
27SEP17	S	1	PD27DEC17	3144.00	3264.00	USD	-3,000.00	
27SEP17	S	2	PD27DEC17	3145.00	3264.00	USD	-5,950.00	
27SEP17	S	1	PD27DEC17	3146.00	3264.00	USD	-2,950.00	
27SEP17	S	1	PD27DEC17	3147.50	3264.00	USD	-2,912.50	
27SEP17	S	1	PD27DEC17	3115.50	3264.00	USD	-3,712.50	
27SEP17	B	1	PD27DEC17	3125.00	3264.00	USD	3,475.00	
27SEP17	B	2	PD27DEC17	3126.50	3264.00	USD	6,875.00	
27SEP17	B	2	PD27DEC17	3126.00	3264.00	USD	6,900.00	
27SEP17	B	1	PD27DEC17	3128.50	3264.00	USD	3,387.50	
27SEP17	B	1	PD27DEC17	3127.50	3264.00	USD	3,412.50	
27SEP17	B	1	PD27DEC17	3133.00	3264.00	USD	3,275.00	
27SEP17	B	1	PD27DEC17	3134.00	3264.00	USD	3,250.00	
27SEP17	B	1	PD27DEC17	3116.00	3264.00	USD	3,700.00	
27SEP17	B	2	PD27DEC17	3105.00	3264.00	USD	7,950.00	
27SEP17	B	1	PD27DEC17	3108.00	3264.00	USD	3,900.00	
27SEP17	B	1	PD27DEC17	3116.50	3264.00	USD	3,687.50	
27SEP17	B	1	PD27DEC17	3117.50	3264.00	USD	3,662.50	
27SEP17	B	1	PD27DEC17	3098.00	3264.00	USD	4,150.00	
27SEP17	B	1	PD27DEC17	3102.00	3264.00	USD	4,050.00	
27SEP17	B	12	PD27DEC17	3105.20	3264.00	USD	47,640.00	
27SEP17	B	1	PD27DEC17	3095.50	3264.00	USD	4,212.50	
	NET	**+5**	**AVG BGHT 3111.28**	**SOLD 3119.26**			**34,242.25**	**0.00**
28SEP17	S	1	PD28DEC17	3105.00	3264.00	USD	-3,975.00	
28SEP17	S	1	PD28DEC17	3142.50	3264.00	USD	-3,037.50	
28SEP17	B	1	PD28DEC17	3153.50	3264.00	USD	2,762.50	
	NET	**-1**	**AVG BGHT 3153.50**	**SOLD 3123.75**			**-4,250.00**	**0.00**
29SEP17	B	1	PD29DEC17	3148.50	3264.00	USD	2,887.50	
29SEP17	S	1	PD29DEC17	3144.00	3264.00	USD	-3,000.00	
29SEP17	S	1	PD29DEC17	3146.00	3264.00	USD	-2,950.00	
29SEP17	B	2	PD29DEC17	3145.50	3264.00	USD	5,925.00	
29SEP17	B	1	PD29DEC17	3149.00	3264.00	USD	2,875.00	
29SEP17	B	1	PD29DEC17	3150.50	3264.00	USD	2,837.50	
29SEP17	B	1	PD29DEC17	3150.00	3264.00	USD	2,850.00	
29SEP17	B	1	PD29DEC17	3151.50	3264.00	USD	2,812.50	
29SEP17	S	1	PD29DEC17	3168.00	3264.00	USD	-2,400.00	
29SEP17	S	1	PD29DEC17	3171.00	3264.00	USD	-2,325.00	
29SEP17	B	3	PD29DEC17	3151.00	3264.00	USD	8,475.00	
29SEP17	B	2	PD29DEC17	3147.00	3264.00	USD	5,850.00	
29SEP17	S	2	PD29DEC17	3163.00	3264.00	USD	-5,050.00	
29SEP17	S	1	PD29DEC17	3162.50	3264.00	USD	-2,537.50	
29SEP17	S	1	PD29DEC17	3171.50	3264.00	USD	-2,312.50	
29SEP17	S	1	PD29DEC17	3157.50	3264.00	USD	-2,662.50	
29SEP17	S	1	PD29DEC17	3155.00	3264.00	USD	-2,725.00	
29SEP17	S	1	PD29DEC17	3148.00	3264.00	USD	-2,900.00	
29SEP17	S	1	PD29DEC17	3154.00	3264.00	USD	-2,750.00	
29SEP17	S	1	PD29DEC17	3154.50	3264.00	USD	-2,737.50	
29SEP17	S	2	PD29DEC17	3156.50	3264.00	USD	-5,375.00	
29SEP17	S	1	PD29DEC17	3160.00	3264.00	USD	-2,600.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
29SEP17	S 2 PD29DEC17	3161.50	3264.00	USD	-5,125.00	
29SEP17	S 1 PD29DEC17	3163.50	3264.00	USD	-2,512.50	
29SEP17	S 2 PD29DEC17	3164.00	3264.00	USD	-5,000.00	
29SEP17	S 1 PD29DEC17	3161.00	3264.00	USD	-2,575.00	
29SEP17	S 1 PD29DEC17	3167.50	3264.00	USD	-2,412.50	
02OCT17	B 2 PD29DEC17	3236.20	3264.00	USD	1,390.00	
	NET -9 AVG BGHT 3161.42 SOLD 3159.74				**-24,047.50**	**0.00**
03OCT17	S 2 PD03JAN18	3227.50	3264.00	USD	-1,825.00	
03OCT17	S 2 PD03JAN18	3228.00	3264.00	USD	-1,800.00	
03OCT17	S 1 PD03JAN18	3227.00	3264.00	USD	-925.00	
03OCT17	S 1 PD03JAN18	3224.00	3264.00	USD	-1,000.00	
03OCT17	S 1 PD03JAN18	3225.00	3264.00	USD	-975.00	
03OCT17	S 1 PD03JAN18	3225.50	3264.00	USD	-962.50	
03OCT17	S 3 PD03JAN18	3229.00	3264.00	USD	-2,625.00	
03OCT17	S 1 PD03JAN18	3230.00	3264.00	USD	-850.00	
03OCT17	S 1 PD03JAN18	3231.50	3264.00	USD	-812.50	
03OCT17	S 2 PD03JAN18	3235.00	3264.00	USD	-1,450.00	
03OCT17	S 1 PD03JAN18	3235.50	3264.00	USD	-712.50	
03OCT17	S 1 PD03JAN18	3236.00	3264.00	USD	-700.00	
03OCT17	S 1 PD03JAN18	3236.50	3264.00	USD	-687.50	
03OCT17	S 1 PD03JAN18	3238.50	3264.00	USD	-637.50	
03OCT17	S 2 PD03JAN18	3239.50	3264.00	USD	-1,225.00	
03OCT17	S 1 PD03JAN18	3241.00	3264.00	USD	-575.00	
03OCT17	S 1 PD03JAN18	3242.50	3264.00	USD	-537.50	
03OCT17	S 1 PD03JAN18	3254.50	3264.00	USD	-237.50	
03OCT17	S 2 PD03JAN18	3255.00	3264.00	USD	-450.00	
03OCT17	S 1 PD03JAN18	3259.50	3264.00	USD	-112.50	
03OCT17	S 2 PD03JAN18	3261.00	3264.00	USD	-150.00	
03OCT17	S 1 PD03JAN18	3265.50	3264.00	USD	37.50	
03OCT17	S 1 PD03JAN18	3266.50	3264.00	USD	62.50	
03OCT17	S 1 PD03JAN18	3267.00	3264.00	USD	75.00	
03OCT17	S 1 PD03JAN18	3263.50	3264.00	USD	-12.50	
03OCT17	S 1 PD03JAN18	3259.00	3264.00	USD	-125.00	
03OCT17	S 1 PD03JAN18	3258.00	3264.00	USD	-150.00	
03OCT17	S 2 PD03JAN18	3269.00	3264.00	USD	250.00	
03OCT17	S 2 PD03JAN18	3268.00	3264.00	USD	200.00	
03OCT17	B 1 PD03JAN18	3231.00	3264.00	USD	825.00	
03OCT17	S 1 PD03JAN18	3222.50	3264.00	USD	-1,037.50	
03OCT17	S 1 PD03JAN18	3226.50	3264.00	USD	-937.50	
03OCT17	S 1 PD03JAN18	3242.00	3264.00	USD	-550.00	
03OCT17	S 1 PD03JAN18	3262.00	3264.00	USD	-50.00	
03OCT17	S 1 PD03JAN18	3262.50	3264.00	USD	-37.50	
03OCT17	S 1 PD03JAN18	3268.50	3264.00	USD	112.50	
03OCT17	S 1 PD03JAN18	3264.50	3264.00	USD	12.50	
03OCT17	S 2 PD03JAN18	3267.50	3264.00	USD	175.00	
03OCT17	S 2 PD03JAN18	3266.00	3264.00	USD	100.00	
03OCT17	S 1 PD03JAN18	3271.50	3264.00	USD	187.50	
03OCT17	S 1 PD03JAN18	3272.00	3264.00	USD	200.00	
03OCT17	S 1 PD03JAN18	3275.00	3264.00	USD	275.00	
03OCT17	B 2 PD03JAN18	3287.50	3264.00	USD	-1,175.00	
03OCT17	B 10 PD03JAN18	3237.15	3264.00	USD	6,712.50	
03OCT17	B 1 PD03JAN18	3275.50	3264.00	USD	-287.50	
03OCT17	B 5 PD03JAN18	3278.00	3264.00	USD	-1,750.00	
03OCT17	B 2 PD03JAN18	3279.50	3264.00	USD	-775.00	
03OCT17	B 20 PD03JAN18	3264.20	3264.00	USD	-100.00	
03OCT17	B 4 PD03JAN18	3279.00	3264.00	USD	-1,500.00	
03OCT17	B 3 PD03JAN18	3281.00	3264.00	USD	-1,275.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
03OCT17	B 2 PD03JAN18	3281.50	3264.00	USD	-875.00	
03OCT17	B 2 PD03JAN18	3280.00	3264.00	USD	-800.00	
03OCT17	B 2 PD03JAN18	3283.00	3264.00	USD	-950.00	
	NET +1 AVG BGHT 3265.44 SOLD 3248.56				**-22,412.50**	**0.00**

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	45,637.75
CMX Copper	FUTURES	513,525.00
CMX Gold	FUTURES	-131,280.00
LME Lead 25 tonnes. This is an	FUTURES	402,780.00
LME Nickel 6 tonnes. This is	FUTURES	-1,235,460.84
CMX Silver	FUTURES	-4,317,650.00
LME Zinc 25 tonnes. This is	FUTURES	3,230,188.50

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options			Amount	Profit / Loss	**Total Amount**	**Total P&L**
COPR	- Futures	USD	-5,087.50	-5,087.50		
GOLD	- Futures	USD	-3,820.00	-3,820.00		
SILV	- Futures	USD	-155,150.00	-155,150.00	**-164,057.50**	**-164,057.50**
Unrealised Profits & Losses - Forwards			**Amount**	**Profit / Loss**	**Total Amount**	**Total P&L**
ALUL	- Futures	USD	-60,775.25	-60,775.25		
LEAD	- Futures	USD	-309,154.75	-309,154.75		
NKL	- Futures	USD	161,804.16	161,804.16		
ZINC	- Futures	USD	120,363.50	120,363.50	**-87,762.34**	**-87,762.34**

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		173,875.37	173,875.37
Variation Margins	- Futures	-164,057.50	-164,057.50
	- Options	0.00	0.00
TOTAL EQUITY		**9,817.87**	**9,817.87**
Initial Margins		-847,202.34	-847,202.34
Excess/(Shortage)		**-837,384.47**	**-837,384.47**
FOR INFORMATION ONLY			
Forward Unrealised P&L		-87,762.34	-87,762.34
Net Liquidating Value		**-77,944.47**	**-77,944.47**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094E

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
04JUL17	B 1 PD04OCT17	1916.50	2113.92	USD	4,935.50	
11JUL17	S 1 PD04OCT17	1899.13	2113.92	USD	-5,369.75	
	NET 0 AVG BGHT 1916.50 SOLD 1899.13				**-434.25**	**0.00**
05JUL17	B 1 PD05OCT17	1921.50	2114.25	USD	4,818.75	
05JUL17	S 1 PD05OCT17	1921.50	2114.25	USD	-4,818.75	
05JUL17	B 1 PD05OCT17	1920.00	2114.25	USD	4,856.25	
05JUL17	B 1 PD05OCT17	1920.50	2114.25	USD	4,843.75	
05JUL17	B 2 PD05OCT17	1919.50	2114.25	USD	9,737.50	
11JUL17	S 2 PD05OCT17	1899.42	2114.25	USD	-10,741.50	
11JUL17	S 2 PD05OCT17	1899.22	2114.25	USD	-10,751.50	
	NET 0 AVG BGHT 1920.20 SOLD 1903.76				**-2,055.50**	**0.00**
06JUL17	S 1 PD06OCT17	1938.00	2114.58	USD	-4,414.50	
06JUL17	S 1 PD06OCT17	1942.00	2114.58	USD	-4,314.50	
06JUL17	S 2 PD06OCT17	1940.50	2114.58	USD	-8,704.00	
06JUL17	S 2 PD06OCT17	1941.50	2114.58	USD	-8,654.00	
06JUL17	S 3 PD06OCT17	1943.00	2114.58	USD	-12,868.50	
06JUL17	B 1 PD06OCT17	1940.50	2114.58	USD	4,352.00	
06JUL17	S 1 PD06OCT17	1942.50	2114.58	USD	-4,302.00	
06JUL17	B 2 PD06OCT17	1937.50	2114.58	USD	8,854.00	
06JUL17	S 1 PD06OCT17	1945.00	2114.58	USD	-4,239.50	
07JUL17	B 1 PD06OCT17	1929.00	2114.58	USD	4,639.50	
07JUL17	B 1 PD06OCT17	1929.50	2114.58	USD	4,627.00	
07JUL17	B 3 PD06OCT17	1928.00	2114.58	USD	13,993.50	
07JUL17	B 1 PD06OCT17	1932.50	2114.58	USD	4,552.00	
11JUL17	B 2 PD06OCT17	1899.72	2114.58	USD	10,743.00	
	NET 0 AVG BGHT 1926.36 SOLD 1941.86				**4,264.00**	**0.00**
10JUL17	B 2 PD10OCT17	1933.00	2115.92	USD	9,146.00	
10JUL17	B 2 PD10OCT17	1915.50	2115.92	USD	10,021.00	
10JUL17	B 1 PD10OCT17	1916.00	2115.92	USD	4,998.00	
10JUL17	B 1 PD10OCT17	1913.50	2115.92	USD	5,060.50	
10JUL17	B 1 PD10OCT17	1911.50	2115.92	USD	5,110.50	
10JUL17	B 1 PD10OCT17	1906.00	2115.92	USD	5,248.00	
10JUL17	B 2 PD10OCT17	1906.50	2115.92	USD	10,471.00	
10JUL17	B 1 PD10OCT17	1907.00	2115.92	USD	5,223.00	
10JUL17	B 2 PD10OCT17	1903.50	2115.92	USD	10,621.00	
10JUL17	B 4 PD10OCT17	1903.00	2115.92	USD	21,292.00	
11JUL17	S 8 PD10OCT17	1899.70	2115.92	USD	-43,244.00	
12JUL17	S 9 PD10OCT17	1888.55	2115.92	USD	-51,158.25	
	NET 0 AVG BGHT 1910.76 SOLD 1893.80				**-7,211.25**	**0.00**
11JUL17	S 1 PD11OCT17	1903.00	2116.25	USD	-5,331.25	
11JUL17	S 1 PD11OCT17	1903.50	2116.25	USD	-5,318.75	
11JUL17	B 2 PD11OCT17	1901.00	2116.25	USD	10,762.50	
11JUL17	B 1 PD11OCT17	1897.00	2116.25	USD	5,481.25	
11JUL17	B 1 PD11OCT17	1897.50	2116.25	USD	5,468.75	
11JUL17	B 1 PD11OCT17	1899.00	2116.25	USD	5,431.25	
12JUL17	S 1 PD11OCT17	1888.68	2116.25	USD	-5,689.25	
14JUL17	S 2 PD11OCT17	1926.73	2116.25	USD	-9,476.00	
	NET 0 AVG BGHT 1899.10 SOLD 1909.73				**1,328.50**	**0.00**
12JUL17	B 1 PD12OCT17	1894.00	2116.25	USD	5,556.25	
12JUL17	B 1 PD12OCT17	1894.50	2116.25	USD	5,543.75	
12JUL17	B 1 PD12OCT17	1893.50	2116.25	USD	5,568.75	
14JUL17	S 3 PD12OCT17	1926.87	2116.25	USD	-14,203.50	
	NET 0 AVG BGHT 1894.00 SOLD 1926.87				**2,465.25**	**0.00**
13JUL17	S 1 PD13OCT17	1903.50	2116.25	USD	-5,318.75	
13JUL17	S 1 PD13OCT17	1906.00	2116.25	USD	-5,256.25	
13JUL17	S 1 PD13OCT17	1906.50	2116.25	USD	-5,243.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094E

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
13JUL17	S 1 PD13OCT17	1908.50	2116.25	USD	-5,193.75	
13JUL17	S 1 PD13OCT17	1909.00	2116.25	USD	-5,181.25	
13JUL17	S 1 PD13OCT17	1910.50	2116.25	USD	-5,143.75	
13JUL17	S 1 PD13OCT17	1915.00	2116.25	USD	-5,031.25	
13JUL17	S 1 PD13OCT17	1916.00	2116.25	USD	-5,006.25	
13JUL17	S 2 PD13OCT17	1917.00	2116.25	USD	-9,962.50	
13JUL17	S 1 PD13OCT17	1925.00	2116.25	USD	-4,781.25	
13JUL17	S 1 PD13OCT17	1935.00	2116.25	USD	-4,531.25	
13JUL17	S 1 PD13OCT17	1935.50	2116.25	USD	-4,518.75	
13JUL17	S 1 PD13OCT17	1937.50	2116.25	USD	-4,468.75	
13JUL17	S 1 PD13OCT17	1940.50	2116.25	USD	-4,393.75	
13JUL17	S 1 PD13OCT17	1942.50	2116.25	USD	-4,343.75	
13JUL17	S 1 PD13OCT17	1929.00	2116.25	USD	-4,681.25	
13JUL17	S 1 PD13OCT17	1933.00	2116.25	USD	-4,581.25	
13JUL17	B 2 PD13OCT17	1923.20	2116.25	USD	9,652.50	
13JUL17	B 3 PD13OCT17	1923.50	2116.25	USD	14,456.25	
13JUL17	B 1 PD13OCT17	1923.00	2116.25	USD	4,831.25	
14JUL17	B 1 PD13OCT17	1929.50	2116.25	USD	4,668.75	
14JUL17	S 1 PD13OCT17	1929.00	2116.25	USD	-4,681.25	
14JUL17	B 1 PD13OCT17	1919.50	2116.25	USD	4,918.75	
14JUL17	B 1 PD13OCT17	1921.50	2116.25	USD	4,868.75	
14JUL17	B 1 PD13OCT17	1922.00	2116.25	USD	4,856.25	
14JUL17	B 1 PD13OCT17	1922.50	2116.25	USD	4,843.75	
14JUL17	B 1 PD13OCT17	1925.00	2116.25	USD	4,781.25	
14JUL17	B 1 PD13OCT17	1925.50	2116.25	USD	4,768.75	
14JUL17	B 2 PD13OCT17	1928.50	2116.25	USD	9,387.50	
14JUL17	B 5 PD13OCT17	1920.00	2116.25	USD	24,531.25	
14JUL17	B 1 PD13OCT17	1921.00	2116.25	USD	4,881.25	
14JUL17	B 5 PD13OCT17	1920.50	2116.25	USD	24,468.75	
14JUL17	B 5 PD13OCT17	1927.20	2116.25	USD	23,631.25	
18JUL17	S 12 PD13OCT17	1929.75	2116.25	USD	-55,950.00	
	NET 0 AVG BGHT 1923.29 SOLD 1924.94				**1,277.50**	**0.00**
17JUL17	S 1 PD17OCT17	1935.50	2116.25	USD	-4,518.75	
17JUL17	S 1 PD17OCT17	1939.50	2116.25	USD	-4,418.75	
17JUL17	S 1 PD17OCT17	1938.50	2116.25	USD	-4,443.75	
17JUL17	S 2 PD17OCT17	1940.50	2116.25	USD	-8,787.50	
17JUL17	B 1 PD17OCT17	1924.50	2116.25	USD	4,793.75	
17JUL17	B 1 PD17OCT17	1926.00	2116.25	USD	4,756.25	
17JUL17	B 2 PD17OCT17	1927.00	2116.25	USD	9,462.50	
17JUL17	B 3 PD17OCT17	1927.50	2116.25	USD	14,156.25	
17JUL17	B 1 PD17OCT17	1925.50	2116.25	USD	4,768.75	
17JUL17	B 1 PD17OCT17	1926.50	2116.25	USD	4,743.75	
17JUL17	B 1 PD17OCT17	1914.00	2116.25	USD	5,056.25	
17JUL17	B 1 PD17OCT17	1914.50	2116.25	USD	5,043.75	
17JUL17	B 1 PD17OCT17	1911.50	2116.25	USD	5,118.75	
17JUL17	B 1 PD17OCT17	1913.00	2116.25	USD	5,081.25	
17JUL17	B 1 PD17OCT17	1900.00	2116.25	USD	5,406.25	
17JUL17	B 1 PD17OCT17	1900.50	2116.25	USD	5,393.75	
18JUL17	S 10 PD17OCT17	1930.59	2116.25	USD	-46,415.00	
	NET 0 AVG BGHT 1919.50 SOLD 1933.36				**5,197.50**	**0.00**
18JUL17	B 2 PD18OCT17	1904.00	2116.25	USD	10,612.50	
18JUL17	B 1 PD18OCT17	1904.50	2116.25	USD	5,293.75	
18JUL17	B 1 PD18OCT17	1900.00	2116.25	USD	5,406.25	
18JUL17	B 1 PD18OCT17	1902.50	2116.25	USD	5,343.75	
18JUL17	B 2 PD18OCT17	1903.50	2116.25	USD	10,637.50	
18JUL17	B 3 PD18OCT17	1902.00	2116.25	USD	16,068.75	
18JUL17	B 1 PD18OCT17	1903.00	2116.25	USD	5,331.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094E

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.							
18JUL17	B	1	PD18OCT17	1901.00	2116.25	USD	5,381.25	
18JUL17	B	2	PD18OCT17	1900.50	2116.25	USD	10,787.50	
18JUL17	B	3	PD18OCT17	1901.50	2116.25	USD	16,106.25	
18JUL17	S	12	PD18OCT17	1930.80	2116.25	USD	-55,635.00	
21JUL17	S	5	PD18OCT17	1915.25	2116.25	USD	-25,125.00	
	NET	**0**	**AVG BGHT 1902.21**	**SOLD 1926.23**			**10,208.75**	**0.00**
19JUL17	B	2	PD19OCT17	1926.00	2116.64	USD	9,532.00	
19JUL17	B	2	PD19OCT17	1927.00	2116.64	USD	9,482.00	
19JUL17	S	5	PD19OCT17	1935.00	2116.64	USD	-22,705.00	
19JUL17	B	1	PD19OCT17	1925.50	2116.64	USD	4,778.50	
19JUL17	B	2	PD19OCT17	1926.50	2116.64	USD	9,507.00	
19JUL17	S	1	PD19OCT17	1937.00	2116.64	USD	-4,491.00	
19JUL17	S	1	PD19OCT17	1937.50	2116.64	USD	-4,478.50	
19JUL17	S	4	PD19OCT17	1936.00	2116.64	USD	-18,064.00	
19JUL17	S	1	PD19OCT17	1936.50	2116.64	USD	-4,503.50	
19JUL17	B	1	PD19OCT17	1923.00	2116.64	USD	4,841.00	
19JUL17	S	1	PD19OCT17	1920.00	2116.64	USD	-4,916.00	
21JUL17	B	5	PD19OCT17	1915.33	2116.64	USD	25,163.75	
	NET	**0**	**AVG BGHT 1921.86**	**SOLD 1934.62**			**4,146.25**	**0.00**
20JUL17	S	4	PD20OCT17	1927.00	2117.03	USD	-19,003.00	
20JUL17	S	4	PD20OCT17	1928.00	2117.03	USD	-18,903.00	
20JUL17	S	2	PD20OCT17	1927.50	2117.03	USD	-9,476.50	
20JUL17	B	2	PD20OCT17	1918.50	2117.03	USD	9,926.50	
20JUL17	B	1	PD20OCT17	1919.00	2117.03	USD	4,950.75	
20JUL17	B	1	PD20OCT17	1917.50	2117.03	USD	4,988.25	
20JUL17	B	2	PD20OCT17	1916.50	2117.03	USD	10,026.50	
20JUL17	B	1	PD20OCT17	1920.50	2117.03	USD	4,913.25	
21JUL17	S	1	PD20OCT17	1929.50	2117.03	USD	-4,688.25	
21JUL17	S	1	PD20OCT17	1930.00	2117.03	USD	-4,675.75	
21JUL17	S	1	PD20OCT17	1931.50	2117.03	USD	-4,638.25	
21JUL17	S	1	PD20OCT17	1927.00	2117.03	USD	-4,750.75	
21JUL17	S	1	PD20OCT17	1926.00	2117.03	USD	-4,775.75	
21JUL17	S	1	PD20OCT17	1929.00	2117.03	USD	-4,700.75	
21JUL17	B	1	PD20OCT17	1913.50	2117.03	USD	5,088.25	
21JUL17	B	1	PD20OCT17	1915.50	2117.03	USD	5,038.25	
24JUL17	B	7	PD20OCT17	1911.87	2117.03	USD	35,903.00	
	NET	**0**	**AVG BGHT 1914.94**	**SOLD 1928.00**			**5,222.75**	**0.00**
24JUL17	S	2	PD24OCT17	1923.00	2118.58	USD	-9,779.00	
24JUL17	S	1	PD24OCT17	1924.50	2118.58	USD	-4,852.00	
24JUL17	S	1	PD24OCT17	1922.00	2118.58	USD	-4,914.50	
24JUL17	B	4	PD24OCT17	1912.50	2118.58	USD	20,608.00	
24JUL17	B	1	PD24OCT17	1913.50	2118.58	USD	5,127.00	
24JUL17	B	1	PD24OCT17	1913.00	2118.58	USD	5,139.50	
24JUL17	S	1	PD24OCT17	1914.00	2118.58	USD	-5,114.50	
24JUL17	S	4	PD24OCT17	1915.00	2118.58	USD	-20,358.00	
24JUL17	B	3	PD24OCT17	1912.20	2118.58	USD	15,478.50	
24JUL17	S	1	PD24OCT17	1915.50	2118.58	USD	-5,077.00	
26JUL17	B	1	PD24OCT17	1941.77	2118.58	USD	4,420.25	
	NET	**0**	**AVG BGHT 1915.49**	**SOLD 1918.20**			**678.25**	**0.00**
25JUL17	S	1	PD25OCT17	1919.50	2118.97	USD	-4,986.75	
26JUL17	B	1	PD25OCT17	1941.99	2118.97	USD	4,424.50	
	NET	**0**	**AVG BGHT 1941.99**	**SOLD 1919.50**			**-562.25**	**0.00**
26JUL17	S	1	PD26OCT17	1943.00	2119.36	USD	-4,409.00	
26JUL17	S	1	PD26OCT17	1943.50	2119.36	USD	-4,396.50	
26JUL17	S	1	PD26OCT17	1941.00	2119.36	USD	-4,459.00	
26JUL17	S	1	PD26OCT17	1942.50	2119.36	USD	-4,421.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094E

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
26JUL17	S 1 PD26OCT17	1947.50	2119.36	USD	-4,296.50	
26JUL17	S 1 PD26OCT17	1944.50	2119.36	USD	-4,371.50	
26JUL17	S 1 PD26OCT17	1946.00	2119.36	USD	-4,334.00	
26JUL17	S 1 PD26OCT17	1947.00	2119.36	USD	-4,309.00	
26JUL17	S 1 PD26OCT17	1948.00	2119.36	USD	-4,284.00	
26JUL17	S 1 PD26OCT17	1948.50	2119.36	USD	-4,271.50	
26JUL17	S 2 PD26OCT17	1949.00	2119.36	USD	-8,518.00	
26JUL17	S 1 PD26OCT17	1951.00	2119.36	USD	-4,209.00	
26JUL17	S 1 PD26OCT17	1953.50	2119.36	USD	-4,146.50	
26JUL17	S 1 PD26OCT17	1955.00	2119.36	USD	-4,109.00	
26JUL17	B 1 PD26OCT17	1957.50	2119.36	USD	4,046.50	
26JUL17	S 1 PD26OCT17	1959.50	2119.36	USD	-3,996.50	
26JUL17	B 1 PD26OCT17	1954.50	2119.36	USD	4,121.50	
26JUL17	S 4 PD26OCT17	1956.50	2119.36	USD	-16,286.00	
26JUL17	S 3 PD26OCT17	1957.00	2119.36	USD	-12,177.00	
26JUL17	B 8 PD26OCT17	1942.20	2119.36	USD	35,432.00	
27JUL17	B 5 PD26OCT17	1937.95	2119.36	USD	22,676.25	
28JUL17	B 8 PD26OCT17	1906.63	2119.36	USD	42,546.00	
	NET 0 AVG BGHT 1930.10 SOLD 1950.67				**11,827.75**	**0.00**
27JUL17	B 1 PD27OCT17	1942.00	2119.75	USD	4,443.75	
27JUL17	B 1 PD27OCT17	1934.50	2119.75	USD	4,631.25	
27JUL17	B 1 PD27OCT17	1935.00	2119.75	USD	4,618.75	
27JUL17	B 1 PD27OCT17	1940.00	2119.75	USD	4,493.75	
27JUL17	B 6 PD27OCT17	1942.50	2119.75	USD	26,587.50	
27JUL17	S 2 PD27OCT17	1944.00	2119.75	USD	-8,787.50	
27JUL17	S 1 PD27OCT17	1945.50	2119.75	USD	-4,356.25	
27JUL17	S 1 PD27OCT17	1944.50	2119.75	USD	-4,381.25	
27JUL17	S 4 PD27OCT17	1945.00	2119.75	USD	-17,475.00	
27JUL17	S 1 PD27OCT17	1946.50	2119.75	USD	-4,331.25	
27JUL17	B 5 PD27OCT17	1943.00	2119.75	USD	22,093.75	
27JUL17	B 3 PD27OCT17	1937.50	2119.75	USD	13,668.75	
27JUL17	B 1 PD27OCT17	1938.00	2119.75	USD	4,543.75	
27JUL17	S 1 PD27OCT17	1938.00	2119.75	USD	-4,543.75	
28JUL17	B 1 PD27OCT17	1926.00	2119.75	USD	4,843.75	
28JUL17	B 1 PD27OCT17	1933.50	2119.75	USD	4,656.25	
28JUL17	B 2 PD27OCT17	1928.00	2119.75	USD	9,587.50	
28JUL17	B 2 PD27OCT17	1929.00	2119.75	USD	9,537.50	
28JUL17	B 2 PD27OCT17	1931.50	2119.75	USD	9,412.50	
28JUL17	B 1 PD27OCT17	1932.00	2119.75	USD	4,693.75	
28JUL17	B 3 PD27OCT17	1926.50	2119.75	USD	14,493.75	
28JUL17	S 1 PD27OCT17	1929.00	2119.75	USD	-4,768.75	
28JUL17	B 1 PD27OCT17	1912.50	2119.75	USD	5,181.25	
28JUL17	B 1 PD27OCT17	1913.00	2119.75	USD	5,168.75	
28JUL17	B 2 PD27OCT17	1914.00	2119.75	USD	10,287.50	
28JUL17	B 2 PD27OCT17	1914.50	2119.75	USD	10,262.50	
28JUL17	B 1 PD27OCT17	1913.50	2119.75	USD	5,156.25	
28JUL17	B 1 PD27OCT17	1915.00	2119.75	USD	5,118.75	
28JUL17	B 1 PD27OCT17	1915.50	2119.75	USD	5,106.25	
28JUL17	B 4 PD27OCT17	1916.00	2119.75	USD	20,375.00	
28JUL17	B 1 PD27OCT17	1908.50	2119.75	USD	5,281.25	
28JUL17	B 3 PD27OCT17	1909.50	2119.75	USD	15,768.75	
28JUL17	B 1 PD27OCT17	1910.00	2119.75	USD	5,243.75	
28JUL17	B 4 PD27OCT17	1910.50	2119.75	USD	20,925.00	
28JUL17	B 3 PD27OCT17	1911.00	2119.75	USD	15,656.25	
28JUL17	S 13 PD27OCT17	1906.80	2119.75	USD	-69,208.75	
31JUL17	S 5 PD27OCT17	1916.55	2119.75	USD	-25,400.00	
01AUG17	S 9 PD27OCT17	1905.56	2119.75	USD	-48,192.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094E

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
03AUG17	S 8 PD27OCT17	1914.05	2119.75	USD	-41,140.00	
08AUG17	S 10 PD27OCT17	2027.60	2119.75	USD	-23,037.50	
	NET 0 AVG BGHT 1925.58 SOLD 1937.16				**16,214.75**	**0.00**
31JUL17	S 1 PD31OCT17	1918.00	2117.75	USD	-4,993.75	
31JUL17	S 1 PD31OCT17	1918.50	2117.75	USD	-4,981.25	
31JUL17	S 1 PD31OCT17	1924.00	2117.75	USD	-4,843.75	
31JUL17	S 1 PD31OCT17	1925.50	2117.75	USD	-4,806.25	
31JUL17	S 1 PD31OCT17	1926.00	2117.75	USD	-4,793.75	
31JUL17	S 1 PD31OCT17	1920.50	2117.75	USD	-4,931.25	
31JUL17	S 3 PD31OCT17	1923.50	2117.75	USD	-14,568.75	
31JUL17	S 3 PD31OCT17	1919.50	2117.75	USD	-14,868.75	
31JUL17	B 1 PD31OCT17	1919.50	2117.75	USD	4,956.25	
31JUL17	S 2 PD31OCT17	1924.50	2117.75	USD	-9,662.50	
31JUL17	B 1 PD31OCT17	1915.50	2117.75	USD	5,056.25	
31JUL17	B 1 PD31OCT17	1917.50	2117.75	USD	5,006.25	
31JUL17	B 1 PD31OCT17	1913.50	2117.75	USD	5,106.25	
31JUL17	B 1 PD31OCT17	1917.00	2117.75	USD	5,018.75	
01AUG17	B 9 PD31OCT17	1906.91	2117.75	USD	47,439.00	
	NET 0 AVG BGHT 1910.37 SOLD 1922.18				**4,132.75**	**0.00**
01AUG17	B 1 PD01NOV17	1905.50	2117.42	USD	5,298.00	
01AUG17	B 2 PD01NOV17	1906.00	2117.42	USD	10,571.00	
01AUG17	B 1 PD01NOV17	1907.50	2117.42	USD	5,248.00	
08AUG17	S 4 PD01NOV17	2028.60	2117.42	USD	-8,882.00	
	NET 0 AVG BGHT 1906.25 SOLD 2028.60				**12,235.00**	**0.00**
02AUG17	S 1 PD02NOV17	1914.50	2117.08	USD	-5,064.50	
02AUG17	S 1 PD02NOV17	1927.50	2117.08	USD	-4,739.50	
02AUG17	B 2 PD02NOV17	1920.50	2117.08	USD	9,829.00	
02AUG17	S 1 PD02NOV17	1925.00	2117.08	USD	-4,802.00	
02AUG17	S 1 PD02NOV17	1925.50	2117.08	USD	-4,789.50	
02AUG17	S 1 PD02NOV17	1915.50	2117.08	USD	-5,039.50	
02AUG17	S 1 PD02NOV17	1923.00	2117.08	USD	-4,852.00	
02AUG17	B 1 PD02NOV17	1924.00	2117.08	USD	4,827.00	
02AUG17	B 1 PD02NOV17	1922.50	2117.08	USD	4,864.50	
02AUG17	B 1 PD02NOV17	1923.00	2117.08	USD	4,852.00	
02AUG17	B 1 PD02NOV17	1923.50	2117.08	USD	4,839.50	
11SEP17	S 9 PD02NOV17	2112.79	2117.08	USD	-965.25	
12SEP17	S 7 PD02NOV17	2125.08	2117.08	USD	1,400.00	
20SEP17	S 5 PD02NOV17	2162.54	2117.08	USD	5,682.50	
	NET -21 AVG BGHT 1922.33 SOLD 2082.75				**6,042.25**	**0.00**
03AUG17	B 1 PD03NOV17	1921.50	2116.75	USD	4,881.25	
03AUG17	B 1 PD03NOV17	1918.00	2116.75	USD	4,968.75	
03AUG17	B 3 PD03NOV17	1918.50	2116.75	USD	14,868.75	
03AUG17	B 2 PD03NOV17	1919.00	2116.75	USD	9,887.50	
03AUG17	S 1 PD03NOV17	1918.50	2116.75	USD	-4,956.25	
03AUG17	B 1 PD03NOV17	1911.50	2116.75	USD	5,131.25	
04AUG17	B 1 PD03NOV17	1905.00	2116.75	USD	5,293.75	
04AUG17	B 1 PD03NOV17	1906.50	2116.75	USD	5,256.25	
04AUG17	S 2 PD03NOV17	1913.50	2116.75	USD	-10,162.50	
04AUG17	B 1 PD03NOV17	1914.00	2116.75	USD	5,068.75	
04AUG17	S 5 PD03NOV17	1910.30	2116.75	USD	-25,806.25	
08AUG17	S 3 PD03NOV17	2029.00	2116.75	USD	-6,581.25	
	NET 0 AVG BGHT 1915.45 SOLD 1944.00				**7,850.00**	**0.00**
07AUG17	S 8 PD07NOV17	1922.00	2116.08	USD	-38,816.00	
07AUG17	S 1 PD07NOV17	1922.50	2116.08	USD	-4,839.50	
07AUG17	S 3 PD07NOV17	1923.00	2116.08	USD	-14,481.00	
07AUG17	S 1 PD07NOV17	1924.50	2116.08	USD	-4,789.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094E

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
07AUG17	S 1 PD07NOV17	1928.50	2116.08	USD	-4,689.50	
07AUG17	S 3 PD07NOV17	1929.50	2116.08	USD	-13,993.50	
07AUG17	S 2 PD07NOV17	1930.00	2116.08	USD	-9,304.00	
07AUG17	S 1 PD07NOV17	1930.50	2116.08	USD	-4,639.50	
07AUG17	S 1 PD07NOV17	1936.00	2116.08	USD	-4,502.00	
07AUG17	S 1 PD07NOV17	1937.00	2116.08	USD	-4,477.00	
07AUG17	S 2 PD07NOV17	1929.00	2116.08	USD	-9,354.00	
07AUG17	S 2 PD07NOV17	1933.00	2116.08	USD	-9,154.00	
07AUG17	S 2 PD07NOV17	1923.50	2116.08	USD	-9,629.00	
07AUG17	S 1 PD07NOV17	1931.50	2116.08	USD	-4,614.50	
07AUG17	S 1 PD07NOV17	1934.00	2116.08	USD	-4,552.00	
07AUG17	B 1 PD07NOV17	1959.50	2116.08	USD	3,914.50	
07AUG17	S 1 PD07NOV17	1958.50	2116.08	USD	-3,939.50	
07AUG17	S 1 PD07NOV17	1959.50	2116.08	USD	-3,914.50	
07AUG17	S 1 PD07NOV17	1960.00	2116.08	USD	-3,902.00	
08AUG17	B 32 PD07NOV17	2030.00	2116.08	USD	68,864.00	
	NET 0 AVG BGHT 2027.86 SOLD 1929.91				**-80,812.50**	**0.00**
08AUG17	S 1 PD08NOV17	1988.00	2115.75	USD	-3,193.75	
08AUG17	S 2 PD08NOV17	1990.50	2115.75	USD	-6,262.50	
08AUG17	S 1 PD08NOV17	1991.00	2115.75	USD	-3,118.75	
08AUG17	S 1 PD08NOV17	1997.00	2115.75	USD	-2,968.75	
08AUG17	S 1 PD08NOV17	2005.00	2115.75	USD	-2,768.75	
08AUG17	S 2 PD08NOV17	1999.00	2115.75	USD	-5,837.50	
08AUG17	S 1 PD08NOV17	2001.00	2115.75	USD	-2,868.75	
08AUG17	S 1 PD08NOV17	1998.00	2115.75	USD	-2,943.75	
08AUG17	S 1 PD08NOV17	2004.50	2115.75	USD	-2,781.25	
08AUG17	S 1 PD08NOV17	2006.00	2115.75	USD	-2,743.75	
08AUG17	S 1 PD08NOV17	2011.00	2115.75	USD	-2,618.75	
08AUG17	S 1 PD08NOV17	2010.50	2115.75	USD	-2,631.25	
08AUG17	S 1 PD08NOV17	2012.50	2115.75	USD	-2,581.25	
08AUG17	S 1 PD08NOV17	2009.00	2115.75	USD	-2,668.75	
08AUG17	B 13 PD08NOV17	2030.20	2115.75	USD	27,803.75	
09AUG17	B 3 PD08NOV17	2027.52	2115.75	USD	6,617.25	
	NET 0 AVG BGHT 2029.70 SOLD 2000.78				**-11,566.50**	**0.00**
09AUG17	B 1 PD09NOV17	2030.50	2116.11	USD	2,140.25	
09AUG17	S 1 PD09NOV17	2030.50	2116.11	USD	-2,140.25	
09AUG17	S 2 PD09NOV17	2031.00	2116.11	USD	-4,255.50	
09AUG17	S 1 PD09NOV17	2033.50	2116.11	USD	-2,065.25	
09AUG17	B 2 PD09NOV17	2027.70	2116.11	USD	4,420.50	
11AUG17	B 1 PD09NOV17	2042.56	2116.11	USD	1,838.75	
	NET 0 AVG BGHT 2032.12 SOLD 2031.50				**-61.50**	**0.00**
10AUG17	B 1 PD10NOV17	2019.00	2116.46	USD	2,436.50	
10AUG17	B 1 PD10NOV17	2021.50	2116.46	USD	2,374.00	
10AUG17	B 1 PD10NOV17	2023.50	2116.46	USD	2,324.00	
10AUG17	B 1 PD10NOV17	2024.00	2116.46	USD	2,311.50	
10AUG17	B 2 PD10NOV17	2024.50	2116.46	USD	4,598.00	
10AUG17	B 1 PD10NOV17	2025.00	2116.46	USD	2,286.50	
10AUG17	S 1 PD10NOV17	2022.50	2116.46	USD	-2,349.00	
10AUG17	S 1 PD10NOV17	2023.50	2116.46	USD	-2,324.00	
10AUG17	S 2 PD10NOV17	2037.50	2116.46	USD	-3,948.00	
10AUG17	S 1 PD10NOV17	2038.50	2116.46	USD	-1,949.00	
10AUG17	S 1 PD10NOV17	2040.00	2116.46	USD	-1,911.50	
10AUG17	S 2 PD10NOV17	2040.50	2116.46	USD	-3,798.00	
10AUG17	S 3 PD10NOV17	2039.50	2116.46	USD	-5,772.00	
10AUG17	S 1 PD10NOV17	2041.00	2116.46	USD	-1,886.50	
11AUG17	B 1 PD10NOV17	2023.50	2116.46	USD	2,324.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094E

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
11AUG17	B 1 PD10NOV17	2036.00	2116.46	USD	2,011.50	
11AUG17	S 1 PD10NOV17	2044.50	2116.46	USD	-1,799.00	
11AUG17	S 1 PD10NOV17	2045.00	2116.46	USD	-1,786.50	
11AUG17	S 1 PD10NOV17	2047.00	2116.46	USD	-1,736.50	
11AUG17	B 5 PD10NOV17	2042.70	2116.46	USD	9,220.00	
14AUG17	B 1 PD10NOV17	2022.47	2116.46	USD	2,349.75	
	NET 0 AVG BGHT 2030.50 SOLD 2038.43				**2,975.75**	**0.00**
14AUG17	S 1 PD14NOV17	2039.00	2117.89	USD	-1,972.25	
14AUG17	S 1 PD14NOV17	2039.50	2117.89	USD	-1,959.75	
14AUG17	S 1 PD14NOV17	2038.50	2117.89	USD	-1,984.75	
14AUG17	S 1 PD14NOV17	2043.00	2117.89	USD	-1,872.25	
14AUG17	S 1 PD14NOV17	2034.00	2117.89	USD	-2,097.25	
14AUG17	S 2 PD14NOV17	2035.00	2117.89	USD	-4,144.50	
14AUG17	S 1 PD14NOV17	2040.00	2117.89	USD	-1,947.25	
14AUG17	B 1 PD14NOV17	2025.00	2117.89	USD	2,322.25	
14AUG17	B 2 PD14NOV17	2027.00	2117.89	USD	4,544.50	
14AUG17	B 1 PD14NOV17	2031.00	2117.89	USD	2,172.25	
14AUG17	B 1 PD14NOV17	2031.50	2117.89	USD	2,159.75	
14AUG17	B 6 PD14NOV17	2023.20	2117.89	USD	14,203.50	
17AUG17	S 2 PD14NOV17	2076.00	2117.89	USD	-2,094.50	
18AUG17	S 1 PD14NOV17	2062.00	2117.89	USD	-1,397.25	
	NET 0 AVG BGHT 2025.52 SOLD 2047.09				**5,932.50**	**0.00**
16AUG17	S 4 PD16NOV17	2062.00	2118.52	USD	-5,652.00	
16AUG17	S 1 PD16NOV17	2064.50	2118.52	USD	-1,350.50	
16AUG17	S 3 PD16NOV17	2065.00	2118.52	USD	-4,014.00	
16AUG17	S 1 PD16NOV17	2069.50	2118.52	USD	-1,225.50	
16AUG17	S 1 PD16NOV17	2074.00	2118.52	USD	-1,113.00	
16AUG17	S 2 PD16NOV17	2066.00	2118.52	USD	-2,626.00	
16AUG17	S 1 PD16NOV17	2065.50	2118.52	USD	-1,325.50	
16AUG17	S 1 PD16NOV17	2067.50	2118.52	USD	-1,275.50	
16AUG17	S 3 PD16NOV17	2068.00	2118.52	USD	-3,789.00	
16AUG17	B 1 PD16NOV17	2070.50	2118.52	USD	1,200.50	
16AUG17	B 1 PD16NOV17	2071.00	2118.52	USD	1,188.00	
16AUG17	B 1 PD16NOV17	2071.50	2118.52	USD	1,175.50	
16AUG17	B 1 PD16NOV17	2088.00	2118.52	USD	763.00	
16AUG17	B 1 PD16NOV17	2090.50	2118.52	USD	700.50	
16AUG17	B 1 PD16NOV17	2092.00	2118.52	USD	663.00	
16AUG17	B 8 PD16NOV17	2094.20	2118.52	USD	4,864.00	
16AUG17	B 1 PD16NOV17	2087.00	2118.52	USD	788.00	
17AUG17	B 2 PD16NOV17	2075.95	2118.52	USD	2,128.50	
	NET 0 AVG BGHT 2086.82 SOLD 2065.88				**-8,900.00**	**0.00**
17AUG17	S 2 PD17NOV17	2109.50	2118.79	USD	-464.50	
18AUG17	B 1 PD17NOV17	2089.00	2118.79	USD	744.75	
18AUG17	B 2 PD17NOV17	2090.50	2118.79	USD	1,414.50	
18AUG17	B 1 PD17NOV17	2068.50	2118.79	USD	1,257.25	
18AUG17	S 1 PD17NOV17	2067.50	2118.79	USD	-1,282.25	
18AUG17	B 1 PD17NOV17	2062.50	2118.79	USD	1,407.25	
18AUG17	B 1 PD17NOV17	2063.00	2118.79	USD	1,394.75	
18AUG17	B 1 PD17NOV17	2062.20	2118.79	USD	1,414.75	
23AUG17	S 2 PD17NOV17	2095.91	2118.79	USD	-1,144.00	
30AUG17	S 2 PD17NOV17	2085.00	2118.79	USD	-1,689.50	
	NET 0 AVG BGHT 2075.17 SOLD 2092.62				**3,053.00**	**0.00**
21AUG17	S 1 PD21NOV17	2090.50	2119.88	USD	-734.50	
21AUG17	S 1 PD21NOV17	2091.00	2119.88	USD	-722.00	
21AUG17	S 1 PD21NOV17	2093.00	2119.88	USD	-672.00	
21AUG17	S 1 PD21NOV17	2075.50	2119.88	USD	-1,109.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094E

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
21AUG17	B 1 PD21NOV17	2074.50	2119.88	USD	1,134.50	
21AUG17	B 1 PD21NOV17	2075.00	2119.88	USD	1,122.00	
21AUG17	B 2 PD21NOV17	2078.50	2119.88	USD	2,069.00	
21AUG17	B 2 PD21NOV17	2074.00	2119.88	USD	2,294.00	
21AUG17	S 2 PD21NOV17	2087.00	2119.88	USD	-1,644.00	
	NET 0 AVG BGHT 2075.75 SOLD 2087.33				**1,737.50**	**0.00**
22AUG17	B 2 PD22NOV17	2074.00	2120.15	USD	2,307.50	
22AUG17	S 1 PD22NOV17	2074.00	2120.15	USD	-1,153.75	
22AUG17	B 1 PD22NOV17	2079.50	2120.15	USD	1,016.25	
22AUG17	B 1 PD22NOV17	2080.00	2120.15	USD	1,003.75	
22AUG17	B 1 PD22NOV17	2080.50	2120.15	USD	991.25	
22AUG17	B 1 PD22NOV17	2073.00	2120.15	USD	1,178.75	
22AUG17	S 4 PD22NOV17	2084.00	2120.15	USD	-3,615.00	
22AUG17	S 1 PD22NOV17	2082.50	2120.15	USD	-941.25	
22AUG17	S 1 PD22NOV17	2083.00	2120.15	USD	-928.75	
22AUG17	S 1 PD22NOV17	2083.50	2120.15	USD	-916.25	
23AUG17	B 2 PD22NOV17	2096.89	2120.15	USD	1,163.00	
	NET 0 AVG BGHT 2081.85 SOLD 2082.38				**105.50**	**0.00**
23AUG17	B 1 PD24NOV17	2057.00	2120.69	USD	1,592.25	
23AUG17	B 1 PD24NOV17	2059.00	2120.69	USD	1,542.25	
23AUG17	B 2 PD24NOV17	2060.50	2120.69	USD	3,009.50	
23AUG17	B 1 PD24NOV17	2061.50	2120.69	USD	1,479.75	
23AUG17	B 1 PD24NOV17	2062.00	2120.69	USD	1,467.25	
23AUG17	B 2 PD24NOV17	2066.50	2120.69	USD	2,709.50	
23AUG17	B 1 PD24NOV17	2068.50	2120.69	USD	1,304.75	
23AUG17	B 1 PD24NOV17	2071.00	2120.69	USD	1,242.25	
23AUG17	B 1 PD24NOV17	2071.50	2120.69	USD	1,229.75	
23AUG17	B 2 PD24NOV17	2073.00	2120.69	USD	2,384.50	
23AUG17	S 1 PD24NOV17	2062.00	2120.69	USD	-1,467.25	
23AUG17	S 1 PD24NOV17	2064.00	2120.69	USD	-1,417.25	
23AUG17	S 1 PD24NOV17	2070.50	2120.69	USD	-1,254.75	
23AUG17	S 1 PD24NOV17	2074.00	2120.69	USD	-1,167.25	
23AUG17	S 2 PD24NOV17	2073.00	2120.69	USD	-2,384.50	
23AUG17	S 1 PD24NOV17	2075.50	2120.69	USD	-1,129.75	
23AUG17	S 1 PD24NOV17	2078.00	2120.69	USD	-1,067.25	
23AUG17	S 1 PD24NOV17	2078.50	2120.69	USD	-1,054.75	
23AUG17	S 1 PD24NOV17	2079.00	2120.69	USD	-1,042.25	
23AUG17	S 2 PD24NOV17	2084.00	2120.69	USD	-1,834.50	
23AUG17	S 1 PD24NOV17	2083.50	2120.69	USD	-929.75	
23AUG17	S 1 PD24NOV17	2084.50	2120.69	USD	-904.75	
23AUG17	S 1 PD24NOV17	2094.00	2120.69	USD	-667.25	
23AUG17	S 1 PD24NOV17	2094.50	2120.69	USD	-654.75	
23AUG17	S 1 PD24NOV17	2085.50	2120.69	USD	-879.75	
23AUG17	S 2 PD24NOV17	2097.00	2120.69	USD	-1,184.50	
23AUG17	S 1 PD24NOV17	2098.00	2120.69	USD	-567.25	
23AUG17	S 3 PD24NOV17	2103.50	2120.69	USD	-1,289.25	
23AUG17	B 1 PD24NOV17	2103.50	2120.69	USD	429.75	
23AUG17	S 1 PD24NOV17	2106.00	2120.69	USD	-367.25	
23AUG17	S 1 PD24NOV17	2117.00	2120.69	USD	-92.25	
23AUG17	S 1 PD24NOV17	2118.50	2120.69	USD	-54.75	
23AUG17	S 1 PD24NOV17	2120.00	2120.69	USD	-17.25	
23AUG17	B 2 PD24NOV17	2097.20	2120.69	USD	1,174.50	
24AUG17	B 2 PD24NOV17	2091.50	2120.69	USD	1,459.50	
24AUG17	B 2 PD24NOV17	2090.50	2120.69	USD	1,509.50	
24AUG17	B 1 PD24NOV17	2094.00	2120.69	USD	667.25	
24AUG17	B 1 PD24NOV17	2095.00	2120.69	USD	642.25	
24AUG17	S 1 PD24NOV17	2102.50	2120.69	USD	-454.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094E

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
24AUG17	B 2 PD24NOV17	2100.50	2120.69	USD	1,009.50	
24AUG17	B 2 PD24NOV17	2101.00	2120.69	USD	984.50	
24AUG17	B 1 PD24NOV17	2099.50	2120.69	USD	529.75	
25AUG17	B 1 PD24NOV17	2095.50	2120.69	USD	629.75	
25AUG17	B 2 PD24NOV17	2094.50	2120.69	USD	1,309.50	
25AUG17	B 1 PD24NOV17	2086.50	2120.69	USD	854.75	
25AUG17	B 1 PD24NOV17	2080.00	2120.69	USD	1,017.25	
25AUG17	B 1 PD24NOV17	2075.50	2120.69	USD	1,129.75	
25AUG17	B 1 PD24NOV17	2078.00	2120.69	USD	1,067.25	
25AUG17	B 1 PD24NOV17	2065.50	2120.69	USD	1,379.75	
25AUG17	B 1 PD24NOV17	2067.00	2120.69	USD	1,342.25	
25AUG17	B 1 PD24NOV17	2068.00	2120.69	USD	1,317.25	
30AUG17	S 1 PD24NOV17	2086.35	2120.69	USD	-858.50	
05SEP17	S 2 PD24NOV17	2093.20	2120.69	USD	-1,374.50	
08SEP17	S 6 PD24NOV17	2095.30	2120.69	USD	-3,808.50	
	NET 0 AVG BGHT 2081.32 SOLD 2090.50				**8,491.25**	**0.00**
29AUG17	B 1 PD29NOV17	2073.00	2122.05	USD	1,226.25	
29AUG17	B 1 PD29NOV17	2071.50	2122.05	USD	1,263.75	
29AUG17	S 1 PD29NOV17	2075.50	2122.05	USD	-1,163.75	
29AUG17	S 2 PD29NOV17	2087.50	2122.05	USD	-1,727.50	
29AUG17	S 1 PD29NOV17	2091.00	2122.05	USD	-776.25	
29AUG17	B 1 PD29NOV17	2091.00	2122.05	USD	776.25	
29AUG17	S 2 PD29NOV17	2092.00	2122.05	USD	-1,502.50	
29AUG17	S 1 PD29NOV17	2094.50	2122.05	USD	-688.75	
29AUG17	S 1 PD29NOV17	2101.50	2122.05	USD	-513.75	
29AUG17	S 1 PD29NOV17	2103.50	2122.05	USD	-463.75	
29AUG17	B 1 PD29NOV17	2097.00	2122.05	USD	626.25	
29AUG17	B 1 PD29NOV17	2095.50	2122.05	USD	663.75	
29AUG17	B 1 PD29NOV17	2095.00	2122.05	USD	676.25	
30AUG17	B 3 PD29NOV17	2087.51	2122.05	USD	2,590.50	
	NET 0 AVG BGHT 2087.28 SOLD 2091.67				**986.75**	**0.00**
30AUG17	B 2 PD30NOV17	2092.50	2122.32	USD	1,491.00	
30AUG17	S 1 PD30NOV17	2096.50	2122.32	USD	-645.50	
30AUG17	S 1 PD30NOV17	2095.50	2122.32	USD	-670.50	
30AUG17	S 2 PD30NOV17	2097.00	2122.32	USD	-1,266.00	
30AUG17	B 2 PD30NOV17	2089.00	2122.32	USD	1,666.00	
30AUG17	B 1 PD30NOV17	2088.50	2122.32	USD	845.50	
31AUG17	S 2 PD30NOV17	2095.50	2122.32	USD	-1,341.00	
31AUG17	S 2 PD30NOV17	2097.50	2122.32	USD	-1,241.00	
31AUG17	S 2 PD30NOV17	2098.50	2122.32	USD	-1,191.00	
31AUG17	S 1 PD30NOV17	2099.50	2122.32	USD	-570.50	
31AUG17	S 1 PD30NOV17	2106.50	2122.32	USD	-395.50	
31AUG17	S 1 PD30NOV17	2107.50	2122.32	USD	-370.50	
31AUG17	S 3 PD30NOV17	2135.00	2122.32	USD	951.00	
31AUG17	S 1 PD30NOV17	2099.00	2122.32	USD	-583.00	
31AUG17	S 1 PD30NOV17	2130.50	2122.32	USD	204.50	
31AUG17	S 2 PD30NOV17	2132.00	2122.32	USD	484.00	
31AUG17	B 1 PD30NOV17	2132.00	2122.32	USD	-242.00	
31AUG17	S 1 PD30NOV17	2131.00	2122.32	USD	217.00	
31AUG17	S 1 PD30NOV17	2128.00	2122.32	USD	142.00	
11SEP17	B 9 PD30NOV17	2119.11	2122.32	USD	722.25	
27SEP17	B 7 PD30NOV17	2123.50	2122.32	USD	-206.50	
	NET 0 AVG BGHT 2114.55 SOLD 2110.91				**-1,999.75**	**0.00**
01SEP17	S 1 PD01DEC17	2133.50	2122.59	USD	272.75	
01SEP17	S 1 PD01DEC17	2134.00	2122.59	USD	285.25	
01SEP17	S 2 PD01DEC17	2137.50	2122.59	USD	745.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094E

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
01SEP17	S 1 PD01DEC17	2138.00	2122.59	USD	385.25	
01SEP17	S 1 PD01DEC17	2126.00	2122.59	USD	85.25	
01SEP17	S 1 PD01DEC17	2144.00	2122.59	USD	535.25	
01SEP17	B 1 PD01DEC17	2137.50	2122.59	USD	-372.75	
01SEP17	B 1 PD01DEC17	2138.00	2122.59	USD	-385.25	
12SEP17	B 5 PD01DEC17	2133.59	2122.59	USD	-1,375.00	
	NET 0 AVG BGHT 2134.78 SOLD 2135.79				**176.25**	**0.00**
04SEP17	B 1 PD04DEC17	2122.50	2123.41	USD	22.75	
04SEP17	B 1 PD04DEC17	2124.00	2123.41	USD	-14.75	
04SEP17	S 1 PD04DEC17	2124.50	2123.41	USD	27.25	
04SEP17	S 1 PD04DEC17	2125.00	2123.41	USD	39.75	
04SEP17	B 1 PD04DEC17	2123.00	2123.41	USD	10.25	
04SEP17	B 3 PD04DEC17	2125.50	2123.41	USD	-156.75	
04SEP17	B 1 PD04DEC17	2118.50	2123.41	USD	122.75	
04SEP17	B 2 PD04DEC17	2119.00	2123.41	USD	220.50	
04SEP17	B 1 PD04DEC17	2108.00	2123.41	USD	385.25	
04SEP17	B 2 PD04DEC17	2109.00	2123.41	USD	720.50	
04SEP17	B 1 PD04DEC17	2111.00	2123.41	USD	310.25	
04SEP17	B 2 PD04DEC17	2108.50	2123.41	USD	745.50	
04SEP17	B 1 PD04DEC17	2107.50	2123.41	USD	397.75	
04SEP17	B 1 PD04DEC17	2114.50	2123.41	USD	222.75	
04SEP17	S 1 PD04DEC17	2114.50	2123.41	USD	-222.75	
18SEP17	S 14 PD04DEC17	2085.16	2123.41	USD	-13,387.50	
	NET 0 AVG BGHT 2116.38 SOLD 2091.54				**-10,556.50**	**0.00**
05SEP17	B 1 PD05DEC17	2106.50	2123.68	USD	429.50	
05SEP17	B 1 PD05DEC17	2108.50	2123.68	USD	379.50	
05SEP17	B 1 PD05DEC17	2109.50	2123.68	USD	354.50	
05SEP17	S 1 PD05DEC17	2108.00	2123.68	USD	-392.00	
05SEP17	B 4 PD05DEC17	2107.50	2123.68	USD	1,618.00	
05SEP17	B 2 PD05DEC17	2107.00	2123.68	USD	834.00	
05SEP17	B 1 PD05DEC17	2104.50	2123.68	USD	479.50	
12SEP17	S 5 PD05DEC17	2134.63	2123.68	USD	1,368.75	
12SEP17	S 2 PD05DEC17	2134.83	2123.68	USD	557.50	
27SEP17	S 2 PD05DEC17	2124.80	2123.68	USD	56.00	
	NET 0 AVG BGHT 2107.30 SOLD 2130.04				**5,685.25**	**0.00**
06SEP17	B 2 PD06DEC17	2092.00	2123.95	USD	1,597.50	
06SEP17	B 1 PD06DEC17	2075.50	2123.95	USD	1,211.25	
06SEP17	B 2 PD06DEC17	2076.50	2123.95	USD	2,372.50	
06SEP17	B 1 PD06DEC17	2077.00	2123.95	USD	1,173.75	
06SEP17	B 1 PD06DEC17	2086.00	2123.95	USD	948.75	
06SEP17	B 1 PD06DEC17	2087.00	2123.95	USD	923.75	
06SEP17	S 1 PD06DEC17	2108.00	2123.95	USD	-398.75	
06SEP17	S 1 PD06DEC17	2110.50	2123.95	USD	-336.25	
06SEP17	S 1 PD06DEC17	2109.00	2123.95	USD	-373.75	
06SEP17	S 1 PD06DEC17	2111.50	2123.95	USD	-311.25	
06SEP17	B 1 PD06DEC17	2113.50	2123.95	USD	261.25	
06SEP17	S 1 PD06DEC17	2111.00	2123.95	USD	-323.75	
06SEP17	S 1 PD06DEC17	2112.50	2123.95	USD	-286.25	
06SEP17	S 1 PD06DEC17	2114.50	2123.95	USD	-236.25	
12SEP17	S 1 PD06DEC17	2135.14	2123.95	USD	279.75	
27SEP17	S 1 PD06DEC17	2125.10	2123.95	USD	28.75	
	NET 0 AVG BGHT 2086.22 SOLD 2115.25				**6,531.00**	**0.00**
07SEP17	S 1 PD07DEC17	2105.00	2124.22	USD	-480.50	
07SEP17	S 2 PD07DEC17	2106.50	2124.22	USD	-886.00	
07SEP17	B 2 PD07DEC17	2098.50	2124.22	USD	1,286.00	
07SEP17	S 1 PD07DEC17	2098.50	2124.22	USD	-643.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094E

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
07SEP17	S 1 PD07DEC17	2113.00	2124.22	USD	-280.50	
12SEP17	B 3 PD07DEC17	2135.65	2124.22	USD	-857.25	
	NET 0 AVG BGHT 2120.79 SOLD 2105.90				**-1,861.25**	**0.00**
08SEP17	B 1 PD08DEC17	2102.00	2124.49	USD	562.25	
08SEP17	B 2 PD08DEC17	2096.00	2124.49	USD	1,424.50	
08SEP17	B 1 PD08DEC17	2093.50	2124.49	USD	774.75	
08SEP17	B 1 PD08DEC17	2095.00	2124.49	USD	737.25	
08SEP17	B 1 PD08DEC17	2096.50	2124.49	USD	699.75	
08SEP17	B 1 PD08DEC17	2097.50	2124.49	USD	674.75	
08SEP17	B 1 PD08DEC17	2090.00	2124.49	USD	862.25	
08SEP17	B 2 PD08DEC17	2091.00	2124.49	USD	1,674.50	
08SEP17	B 2 PD08DEC17	2089.50	2124.49	USD	1,749.50	
08SEP17	B 1 PD08DEC17	2091.50	2124.49	USD	824.75	
27SEP17	S 4 PD08DEC17	2125.70	2124.49	USD	121.00	
27SEP17	S 9 PD08DEC17	2125.90	2124.49	USD	317.25	
	NET 0 AVG BGHT 2093.77 SOLD 2125.84				**10,422.50**	**0.00**
11SEP17	S 1 PD11DEC17	2116.00	2125.31	USD	-232.75	
11SEP17	S 1 PD11DEC17	2128.50	2125.31	USD	79.75	
11SEP17	S 1 PD11DEC17	2134.50	2125.31	USD	229.75	
11SEP17	S 2 PD11DEC17	2132.50	2125.31	USD	359.50	
11SEP17	S 1 PD11DEC17	2133.50	2125.31	USD	204.75	
11SEP17	S 2 PD11DEC17	2128.00	2125.31	USD	134.50	
11SEP17	S 1 PD11DEC17	2127.50	2125.31	USD	54.75	
11SEP17	B 1 PD11DEC17	2131.00	2125.31	USD	-142.25	
12SEP17	B 7 PD11DEC17	2136.89	2125.31	USD	-2,026.50	
27SEP17	B 1 PD11DEC17	2127.00	2125.31	USD	-42.25	
	NET 0 AVG BGHT 2135.14 SOLD 2129.00				**-1,380.75**	**0.00**
12SEP17	S 1 PD12DEC17	2126.00	2125.58	USD	10.50	
12SEP17	S 1 PD12DEC17	2126.50	2125.58	USD	23.00	
12SEP17	S 1 PD12DEC17	2125.50	2125.58	USD	-2.00	
12SEP17	S 1 PD12DEC17	2119.50	2125.58	USD	-152.00	
12SEP17	S 1 PD12DEC17	2122.50	2125.58	USD	-77.00	
12SEP17	S 1 PD12DEC17	2135.00	2125.58	USD	235.50	
12SEP17	S 1 PD12DEC17	2135.50	2125.58	USD	248.00	
27SEP17	B 7 PD12DEC17	2127.30	2125.58	USD	-301.00	
	NET 0 AVG BGHT 2127.30 SOLD 2127.21				**-15.00**	**0.00**
13SEP17	B 1 PD13DEC17	2116.50	2125.85	USD	233.75	
13SEP17	B 1 PD13DEC17	2117.00	2125.85	USD	221.25	
13SEP17	B 1 PD13DEC17	2118.50	2125.85	USD	183.75	
13SEP17	B 1 PD13DEC17	2119.00	2125.85	USD	171.25	
13SEP17	B 1 PD13DEC17	2109.50	2125.85	USD	408.75	
13SEP17	B 1 PD13DEC17	2114.00	2125.85	USD	296.25	
13SEP17	S 4 PD13DEC17	2114.50	2125.85	USD	-1,135.00	
13SEP17	B 2 PD13DEC17	2111.50	2125.85	USD	717.50	
13SEP17	B 2 PD13DEC17	2112.50	2125.85	USD	667.50	
13SEP17	S 1 PD13DEC17	2118.50	2125.85	USD	-183.75	
13SEP17	S 1 PD13DEC17	2118.00	2125.85	USD	-196.25	
27SEP17	S 4 PD13DEC17	2127.40	2125.85	USD	155.00	
	NET 0 AVG BGHT 2114.25 SOLD 2120.41				**1,540.00**	**0.00**
14SEP17	S 1 PD14DEC17	2125.50	2126.12	USD	-15.50	
14SEP17	B 1 PD14DEC17	2092.00	2126.12	USD	853.00	
14SEP17	B 3 PD14DEC17	2092.50	2126.12	USD	2,521.50	
14SEP17	B 2 PD14DEC17	2090.00	2126.12	USD	1,806.00	
14SEP17	S 3 PD14DEC17	2126.00	2126.12	USD	-9.00	
14SEP17	B 1 PD14DEC17	2102.00	2126.12	USD	603.00	
14SEP17	S 1 PD14DEC17	2100.00	2126.12	USD	-653.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094E

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
14SEP17	S 1 PD14DEC17	2100.50	2126.12	USD	-640.50	
14SEP17	S 1 PD14DEC17	2095.50	2126.12	USD	-765.50	
14SEP17	S 2 PD14DEC17	2098.00	2126.12	USD	-1,406.00	
27SEP17	B 2 PD14DEC17	2127.90	2126.12	USD	-89.00	
	NET 0 AVG BGHT 2100.81 SOLD 2110.61				**2,205.00**	**0.00**
15SEP17	B 1 PD15DEC17	2093.50	2126.39	USD	822.25	
15SEP17	S 1 PD15DEC17	2093.00	2126.39	USD	-834.75	
15SEP17	B 2 PD15DEC17	2090.00	2126.39	USD	1,819.50	
15SEP17	B 3 PD15DEC17	2089.50	2126.39	USD	2,766.75	
15SEP17	B 2 PD15DEC17	2083.50	2126.39	USD	2,144.50	
15SEP17	B 2 PD15DEC17	2084.50	2126.39	USD	2,094.50	
15SEP17	B 2 PD15DEC17	2073.50	2126.39	USD	2,644.50	
15SEP17	B 1 PD15DEC17	2074.50	2126.39	USD	1,297.25	
15SEP17	B 1 PD15DEC17	2082.50	2126.39	USD	1,097.25	
27SEP17	S 13 PD15DEC17	2128.00	2126.39	USD	523.25	
	NET 0 AVG BGHT 2084.43 SOLD 2125.50				**14,375.00**	**0.00**
18SEP17	B 1 PD18DEC17	2094.00	2127.21	USD	830.25	
18SEP17	B 1 PD18DEC17	2098.00	2127.21	USD	730.25	
18SEP17	B 1 PD18DEC17	2097.50	2127.21	USD	742.75	
18SEP17	B 2 PD18DEC17	2093.00	2127.21	USD	1,710.50	
29SEP17	S 5 PD18DEC17	2098.70	2127.21	USD	-3,563.75	
	NET 0 AVG BGHT 2095.10 SOLD 2098.70				**450.00**	**0.00**
19SEP17	S 3 PD19DEC17	2105.00	2127.48	USD	-1,686.00	
19SEP17	S 2 PD19DEC17	2105.50	2127.48	USD	-1,099.00	
19SEP17	S 2 PD19DEC17	2106.00	2127.48	USD	-1,074.00	
19SEP17	S 1 PD19DEC17	2123.50	2127.48	USD	-99.50	
19SEP17	S 2 PD19DEC17	2123.00	2127.48	USD	-224.00	
19SEP17	S 2 PD19DEC17	2124.50	2127.48	USD	-149.00	
19SEP17	S 1 PD19DEC17	2125.50	2127.48	USD	-49.50	
19SEP17	S 1 PD19DEC17	2125.00	2127.48	USD	-62.00	
19SEP17	B 2 PD19DEC17	2127.00	2127.48	USD	24.00	
20SEP17	B 5 PD19DEC17	2176.92	2127.48	USD	-6,180.00	
27SEP17	B 7 PD19DEC17	2129.40	2127.48	USD	-336.00	
	NET 0 AVG BGHT 2146.03 SOLD 2114.79				**-10,935.00**	**0.00**
20SEP17	S 1 PD20DEC17	2149.50	2127.75	USD	543.75	
20SEP17	B 1 PD20DEC17	2175.50	2127.75	USD	-1,193.75	
20SEP17	S 2 PD20DEC17	2176.00	2127.75	USD	2,412.50	
20SEP17	S 1 PD20DEC17	2179.00	2127.75	USD	1,281.25	
20SEP17	S 1 PD20DEC17	2186.50	2127.75	USD	1,468.75	
20SEP17	S 1 PD20DEC17	2187.50	2127.75	USD	1,493.75	
20SEP17	S 2 PD20DEC17	2184.50	2127.75	USD	2,837.50	
20SEP17	S 2 PD20DEC17	2189.50	2127.75	USD	3,087.50	
27SEP17	B 9 PD20DEC17	2129.70	2127.75	USD	-438.75	
	NET 0 AVG BGHT 2134.28 SOLD 2180.25				**11,492.50**	**0.00**
22SEP17	B 2 PD22DEC17	2134.00	2128.21	USD	-289.50	
22SEP17	S 1 PD22DEC17	2151.50	2128.21	USD	582.25	
29SEP17	S 1 PD22DEC17	2099.86	2128.21	USD	-708.75	
	NET 0 AVG BGHT 2134.00 SOLD 2125.68				**-416.00**	**0.00**
25SEP17	B 2 PD27DEC17	2155.00	2129.38	USD	-1,281.00	
25SEP17	B 1 PD27DEC17	2146.00	2129.38	USD	-415.50	
25SEP17	B 1 PD27DEC17	2155.50	2129.38	USD	-653.00	
25SEP17	S 1 PD27DEC17	2156.50	2129.38	USD	678.00	
25SEP17	S 1 PD27DEC17	2157.00	2129.38	USD	690.50	
25SEP17	S 1 PD27DEC17	2153.50	2129.38	USD	603.00	
27SEP17	B 1 PD27DEC17	2125.00	2129.38	USD	109.50	
29SEP17	S 2 PD27DEC17	2101.24	2129.38	USD	-1,407.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094E

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
	NET 0 AVG BGHT 2147.30 SOLD 2133.90				**-1,675.50**	**0.00**
28SEP17	B 1 PD28DEC17	2123.50	2129.61	USD	152.75	
28SEP17	S 1 PD28DEC17	2122.00	2129.61	USD	-190.25	
29SEP17	S 2 PD28DEC17	2133.00	2129.61	USD	169.50	
	NET -2 AVG BGHT 2123.50 SOLD 2129.33				**132.00**	**0.00**
29SEP17	B 1 PD29DEC17	2131.00	2129.84	USD	-29.00	
29SEP17	B 1 PD29DEC17	2129.00	2129.84	USD	21.00	
29SEP17	B 1 PD29DEC17	2126.50	2129.84	USD	83.50	
29SEP17	B 2 PD29DEC17	2127.50	2129.84	USD	117.00	
29SEP17	B 1 PD29DEC17	2128.00	2129.84	USD	46.00	
29SEP17	B 1 PD29DEC17	2106.00	2129.84	USD	596.00	
29SEP17	S 6 PD29DEC17	2101.80	2129.84	USD	-4,206.00	
	NET +1 AVG BGHT 2125.07 SOLD 2101.80				**-3,371.50**	**0.00**
03OCT17	S 1 PD03JAN18	2125.50	2131.00	USD	-137.50	
	NET -1 AVG SOLD 2125.50				**-137.50**	**0.00**
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
05JUL17	B 1 PD05OCT17	5841.00	6468.75	USD	15,693.75	
05JUL17	B 1 PD05OCT17	5840.50	6468.75	USD	15,706.25	
05JUL17	S 1 PD05OCT17	5834.50	6468.75	USD	-15,856.25	
05JUL17	S 1 PD05OCT17	5837.00	6468.75	USD	-15,793.75	
	NET 0 AVG BGHT 5840.75 SOLD 5835.75				**-250.00**	**0.00**
	CMX COPPER 25,000 CMX					
27SEP17	S 1 DEC17	293.950	296.350	USD	-600.00	
	NET -1 AVG SOLD 293.950				**-600.00**	**0.00**
	CMX GOLD 100 CMX					
19SEP17	S 1 DEC17	1312.6	1274.6	USD	3,800.00	
	NET -1 AVG SOLD 1312.6				**3,800.00**	**0.00**
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
04JUL17	B 1 PD04OCT17	2299.00	2584.77	USD	7,144.25	
04JUL17	B 1 PD04OCT17	2299.50	2584.77	USD	7,131.75	
04JUL17	B 1 PD04OCT17	2297.50	2584.77	USD	7,181.75	
04JUL17	B 1 PD04OCT17	2295.00	2584.77	USD	7,244.25	
04JUL17	B 2 PD04OCT17	2293.00	2584.77	USD	14,588.50	
04JUL17	B 5 PD04OCT17	2294.00	2584.77	USD	36,346.25	
04JUL17	B 1 PD04OCT17	2294.50	2584.77	USD	7,256.75	
04JUL17	B 2 PD04OCT17	2298.00	2584.77	USD	14,338.50	
04JUL17	B 2 PD04OCT17	2296.00	2584.77	USD	14,438.50	
04JUL17	B 1 PD04OCT17	2297.00	2584.77	USD	7,194.25	
04JUL17	S 1 PD04OCT17	2287.00	2584.77	USD	-7,444.25	
04JUL17	S 1 PD04OCT17	2289.00	2584.77	USD	-7,394.25	
04JUL17	S 4 PD04OCT17	2299.80	2584.77	USD	-28,497.00	
11JUL17	S 1 PD04OCT17	2303.14	2584.77	USD	-7,040.75	
12JUL17	S 10 PD04OCT17	2326.38	2584.77	USD	-64,597.50	
	NET 0 AVG BGHT 2295.68 SOLD 2314.24				**7,891.00**	**0.00**
05JUL17	S 1 PD05OCT17	2294.00	2585.00	USD	-7,275.00	
05JUL17	B 2 PD05OCT17	2301.00	2585.00	USD	14,200.00	
05JUL17	B 1 PD05OCT17	2277.50	2585.00	USD	7,687.50	
05JUL17	S 1 PD05OCT17	2293.50	2585.00	USD	-7,287.50	
05JUL17	B 1 PD05OCT17	2242.50	2585.00	USD	8,562.50	
05JUL17	B 1 PD05OCT17	2245.50	2585.00	USD	8,487.50	
05JUL17	B 1 PD05OCT17	2246.50	2585.00	USD	8,462.50	
05JUL17	S 2 PD05OCT17	2271.50	2585.00	USD	-15,675.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094E

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT								
05JUL17	S	1	PD05OCT17		2272.00	2585.00	USD	-7,825.00	
05JUL17	S	1	PD05OCT17		2272.50	2585.00	USD	-7,812.50	
05JUL17	S	1	PD05OCT17		2273.00	2585.00	USD	-7,800.00	
11JUL17	B	1	PD05OCT17		2303.58	2585.00	USD	7,035.50	
	NET	**0**	**AVG BGHT 2273.94**	**SOLD 2278.29**				**760.50**	**0.00**
06JUL17	B	1	PD06OCT17		2278.00	2585.23	USD	7,680.75	
06JUL17	S	2	PD06OCT17		2284.50	2585.23	USD	-15,036.50	
06JUL17	B	2	PD06OCT17		2285.00	2585.23	USD	15,011.50	
07JUL17	B	2	PD06OCT17		2288.50	2585.23	USD	14,836.50	
07JUL17	B	1	PD06OCT17		2287.00	2585.23	USD	7,455.75	
07JUL17	S	1	PD06OCT17		2290.50	2585.23	USD	-7,368.25	
07JUL17	S	1	PD06OCT17		2295.00	2585.23	USD	-7,255.75	
11JUL17	S	1	PD06OCT17		2303.62	2585.23	USD	-7,040.25	
12JUL17	S	1	PD06OCT17		2326.65	2585.23	USD	-6,464.50	
	NET	**0**	**AVG BGHT 2285.33**	**SOLD 2297.46**				**1,819.25**	**0.00**
11JUL17	B	1	PD11OCT17		2306.50	2586.38	USD	6,997.00	
11JUL17	B	1	PD11OCT17		2307.00	2586.38	USD	6,984.50	
11JUL17	B	2	PD11OCT17		2306.00	2586.38	USD	14,019.00	
11JUL17	B	1	PD11OCT17		2304.50	2586.38	USD	7,047.00	
12JUL17	S	5	PD11OCT17		2327.30	2586.38	USD	-32,385.00	
	NET	**0**	**AVG BGHT 2306.00**	**SOLD 2327.30**				**2,662.50**	**0.00**
13JUL17	S	1	PD13OCT17		2326.50	2586.85	USD	-6,508.75	
13JUL17	S	4	PD13OCT17		2327.00	2586.85	USD	-25,985.00	
13JUL17	S	1	PD13OCT17		2324.50	2586.85	USD	-6,558.75	
13JUL17	S	1	PD13OCT17		2293.00	2586.85	USD	-7,346.25	
13JUL17	S	1	PD13OCT17		2293.50	2586.85	USD	-7,333.75	
14JUL17	B	1	PD13OCT17		2282.50	2586.85	USD	7,608.75	
14JUL17	B	1	PD13OCT17		2283.00	2586.85	USD	7,596.25	
14JUL17	B	1	PD13OCT17		2284.00	2586.85	USD	7,571.25	
14JUL17	B	1	PD13OCT17		2280.00	2586.85	USD	7,671.25	
14JUL17	S	1	PD13OCT17		2295.50	2586.85	USD	-7,283.75	
14JUL17	S	1	PD13OCT17		2294.50	2586.85	USD	-7,308.75	
14JUL17	S	1	PD13OCT17		2297.00	2586.85	USD	-7,246.25	
14JUL17	S	1	PD13OCT17		2299.00	2586.85	USD	-7,196.25	
14JUL17	B	1	PD13OCT17		2281.50	2586.85	USD	7,633.75	
14JUL17	B	1	PD13OCT17		2282.00	2586.85	USD	7,621.25	
14JUL17	S	1	PD13OCT17		2300.00	2586.85	USD	-7,171.25	
14JUL17	S	1	PD13OCT17		2305.00	2586.85	USD	-7,046.25	
14JUL17	S	1	PD13OCT17		2306.50	2586.85	USD	-7,008.75	
14JUL17	S	1	PD13OCT17		2307.50	2586.85	USD	-6,983.75	
14JUL17	S	2	PD13OCT17		2312.50	2586.85	USD	-13,717.50	
14JUL17	S	1	PD13OCT17		2315.50	2586.85	USD	-6,783.75	
14JUL17	S	1	PD13OCT17		2317.00	2586.85	USD	-6,746.25	
14JUL17	S	1	PD13OCT17		2318.50	2586.85	USD	-6,708.75	
14JUL17	B	1	PD13OCT17		2312.50	2586.85	USD	6,858.75	
14JUL17	B	1	PD13OCT17		2314.50	2586.85	USD	6,808.75	
14JUL17	B	1	PD13OCT17		2315.50	2586.85	USD	6,783.75	
14JUL17	B	2	PD13OCT17		2311.00	2586.85	USD	13,792.50	
14JUL17	B	1	PD13OCT17		2311.50	2586.85	USD	6,883.75	
14JUL17	B	3	PD13OCT17		2316.00	2586.85	USD	20,313.75	
14JUL17	B	2	PD13OCT17		2316.50	2586.85	USD	13,517.50	
14JUL17	B	1	PD13OCT17		2318.00	2586.85	USD	6,721.25	
18JUL17	B	3	PD13OCT17		2274.15	2586.85	USD	23,452.50	
	NET	**0**	**AVG BGHT 2299.55**	**SOLD 2310.79**				**5,901.25**	**0.00**
17JUL17	B	1	PD17OCT17		2320.00	2587.77	USD	6,694.25	
17JUL17	B	1	PD17OCT17		2322.00	2587.77	USD	6,644.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094E

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
17JUL17	B 2 PD17OCT17	2321.50	2587.77	USD	13,313.50	
17JUL17	B 1 PD17OCT17	2321.00	2587.77	USD	6,669.25	
17JUL17	B 1 PD17OCT17	2319.00	2587.77	USD	6,719.25	
17JUL17	B 1 PD17OCT17	2318.50	2587.77	USD	6,731.75	
17JUL17	B 5 PD17OCT17	2319.50	2587.77	USD	33,533.75	
17JUL17	B 1 PD17OCT17	2316.50	2587.77	USD	6,781.75	
17JUL17	B 2 PD17OCT17	2316.00	2587.77	USD	13,588.50	
17JUL17	B 2 PD17OCT17	2290.00	2587.77	USD	14,888.50	
17JUL17	B 1 PD17OCT17	2292.50	2587.77	USD	7,381.75	
17JUL17	B 4 PD17OCT17	2295.50	2587.77	USD	29,227.00	
17JUL17	S 7 PD17OCT17	2296.30	2587.77	USD	-51,007.25	
17JUL17	S 1 PD17OCT17	2295.00	2587.77	USD	-7,319.25	
17JUL17	B 2 PD17OCT17	2295.00	2587.77	USD	14,638.50	
17JUL17	S 1 PD17OCT17	2295.50	2587.77	USD	-7,306.75	
18JUL17	S 16 PD17OCT17	2274.63	2587.77	USD	-125,256.00	
19JUL17	B 1 PD17OCT17	2217.30	2587.77	USD	9,261.75	
	NET 0 AVG BGHT 2306.05 SOLD 2282.35				**-14,815.50**	**0.00**
18JUL17	S 1 PD18OCT17	2293.50	2588.00	USD	-7,362.50	
18JUL17	B 1 PD18OCT17	2287.50	2588.00	USD	7,512.50	
18JUL17	B 1 PD18OCT17	2301.50	2588.00	USD	7,162.50	
18JUL17	B 1 PD18OCT17	2302.00	2588.00	USD	7,150.00	
18JUL17	S 1 PD18OCT17	2298.50	2588.00	USD	-7,237.50	
18JUL17	S 1 PD18OCT17	2299.50	2588.00	USD	-7,212.50	
18JUL17	S 1 PD18OCT17	2300.00	2588.00	USD	-7,200.00	
18JUL17	B 1 PD18OCT17	2278.50	2588.00	USD	7,737.50	
18JUL17	B 1 PD18OCT17	2279.50	2588.00	USD	7,712.50	
18JUL17	B 1 PD18OCT17	2277.00	2588.00	USD	7,775.00	
18JUL17	B 1 PD18OCT17	2276.50	2588.00	USD	7,787.50	
18JUL17	B 1 PD18OCT17	2272.50	2588.00	USD	7,887.50	
18JUL17	B 1 PD18OCT17	2268.00	2588.00	USD	8,000.00	
18JUL17	B 3 PD18OCT17	2282.00	2588.00	USD	22,950.00	
18JUL17	B 1 PD18OCT17	2269.00	2588.00	USD	7,975.00	
18JUL17	S 3 PD18OCT17	2274.80	2588.00	USD	-23,490.00	
18JUL17	S 1 PD18OCT17	2271.00	2588.00	USD	-7,925.00	
19JUL17	S 5 PD18OCT17	2217.30	2588.00	USD	-46,337.50	
	NET 0 AVG BGHT 2281.38 SOLD 2259.49				**-7,115.00**	**0.00**
19JUL17	B 1 PD19OCT17	2261.50	2588.00	USD	8,162.50	
19JUL17	B 1 PD19OCT17	2262.50	2588.00	USD	8,137.50	
19JUL17	S 1 PD19OCT17	2260.50	2588.00	USD	-8,187.50	
19JUL17	B 1 PD19OCT17	2256.00	2588.00	USD	8,300.00	
19JUL17	B 2 PD19OCT17	2254.50	2588.00	USD	16,675.00	
19JUL17	B 1 PD19OCT17	2255.50	2588.00	USD	8,312.50	
19JUL17	B 1 PD19OCT17	2257.00	2588.00	USD	8,275.00	
19JUL17	B 3 PD19OCT17	2254.00	2588.00	USD	25,050.00	
19JUL17	S 1 PD19OCT17	2261.00	2588.00	USD	-8,175.00	
19JUL17	B 2 PD19OCT17	2240.00	2588.00	USD	17,400.00	
19JUL17	B 2 PD19OCT17	2244.00	2588.00	USD	17,200.00	
19JUL17	B 1 PD19OCT17	2237.00	2588.00	USD	8,775.00	
19JUL17	B 1 PD19OCT17	2241.50	2588.00	USD	8,662.50	
19JUL17	S 1 PD19OCT17	2212.00	2588.00	USD	-9,400.00	
19JUL17	S 5 PD19OCT17	2217.50	2588.00	USD	-46,312.50	
19JUL17	S 1 PD19OCT17	2213.00	2588.00	USD	-9,375.00	
21JUL17	S 7 PD19OCT17	2239.63	2588.00	USD	-60,964.75	
	NET 0 AVG BGHT 2250.63 SOLD 2231.96				**-7,464.75**	**0.00**
20JUL17	S 1 PD20OCT17	2226.00	2588.00	USD	-9,050.00	
20JUL17	S 1 PD20OCT17	2227.00	2588.00	USD	-9,025.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094E

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
20JUL17	S	1	PD20OCT17	2221.50	2588.00	USD	-9,162.50	
20JUL17	S	1	PD20OCT17	2222.00	2588.00	USD	-9,150.00	
20JUL17	S	1	PD20OCT17	2222.50	2588.00	USD	-9,137.50	
20JUL17	S	2	PD20OCT17	2223.50	2588.00	USD	-18,225.00	
20JUL17	S	4	PD20OCT17	2224.00	2588.00	USD	-36,400.00	
21JUL17	B	1	PD20OCT17	2225.00	2588.00	USD	9,075.00	
21JUL17	B	2	PD20OCT17	2227.00	2588.00	USD	18,050.00	
21JUL17	B	2	PD20OCT17	2226.00	2588.00	USD	18,100.00	
21JUL17	B	1	PD20OCT17	2226.50	2588.00	USD	9,037.50	
21JUL17	B	1	PD20OCT17	2228.00	2588.00	USD	9,000.00	
21JUL17	S	1	PD20OCT17	2239.00	2588.00	USD	-8,725.00	
21JUL17	S	2	PD20OCT17	2239.50	2588.00	USD	-17,425.00	
21JUL17	S	1	PD20OCT17	2240.50	2588.00	USD	-8,687.50	
21JUL17	B	7	PD20OCT17	2239.70	2588.00	USD	60,952.50	
25JUL17	B	1	PD20OCT17	2317.17	2588.00	USD	6,770.75	
	NET	**0**	**AVG BGHT 2238.70**	**SOLD 2228.03**			**-4,001.75**	**0.00**
24JUL17	B	3	PD24OCT17	2251.00	2588.00	USD	25,275.00	
25JUL17	S	1	PD24OCT17	2317.63	2588.00	USD	-6,759.25	
27JUL17	S	2	PD24OCT17	2308.53	2588.00	USD	-13,973.50	
	NET	**0**	**AVG BGHT 2251.00**	**SOLD 2311.56**			**4,542.25**	**0.00**
25JUL17	S	1	PD25OCT17	2287.00	2588.00	USD	-7,525.00	
25JUL17	S	1	PD25OCT17	2287.50	2588.00	USD	-7,512.50	
25JUL17	S	1	PD25OCT17	2290.00	2588.00	USD	-7,450.00	
25JUL17	S	2	PD25OCT17	2292.50	2588.00	USD	-14,775.00	
25JUL17	S	1	PD25OCT17	2294.50	2588.00	USD	-7,337.50	
25JUL17	S	4	PD25OCT17	2296.00	2588.00	USD	-29,200.00	
25JUL17	S	2	PD25OCT17	2295.00	2588.00	USD	-14,650.00	
25JUL17	S	1	PD25OCT17	2302.50	2588.00	USD	-7,137.50	
25JUL17	S	1	PD25OCT17	2296.50	2588.00	USD	-7,287.50	
25JUL17	S	1	PD25OCT17	2288.50	2588.00	USD	-7,487.50	
25JUL17	S	1	PD25OCT17	2314.50	2588.00	USD	-6,837.50	
25JUL17	S	1	PD25OCT17	2315.00	2588.00	USD	-6,825.00	
25JUL17	S	1	PD25OCT17	2316.50	2588.00	USD	-6,787.50	
25JUL17	S	1	PD25OCT17	2312.50	2588.00	USD	-6,887.50	
25JUL17	S	2	PD25OCT17	2317.00	2588.00	USD	-13,550.00	
25JUL17	B	19	PD25OCT17	2318.20	2588.00	USD	128,155.00	
25JUL17	S	1	PD25OCT17	2313.50	2588.00	USD	-6,862.50	
26JUL17	B	1	PD25OCT17	2313.09	2588.00	USD	6,872.75	
27JUL17	B	2	PD25OCT17	2308.89	2588.00	USD	13,955.50	
	NET	**0**	**AVG BGHT 2317.12**	**SOLD 2300.52**			**-9,129.25**	**0.00**
26JUL17	S	1	PD26OCT17	2312.00	2588.00	USD	-6,900.00	
26JUL17	S	1	PD26OCT17	2314.50	2588.00	USD	-6,837.50	
26JUL17	S	1	PD26OCT17	2311.00	2588.00	USD	-6,925.00	
26JUL17	S	1	PD26OCT17	2313.00	2588.00	USD	-6,875.00	
26JUL17	S	1	PD26OCT17	2316.00	2588.00	USD	-6,800.00	
26JUL17	S	2	PD26OCT17	2334.00	2588.00	USD	-12,700.00	
26JUL17	S	1	PD26OCT17	2325.00	2588.00	USD	-6,575.00	
26JUL17	B	7	PD26OCT17	2313.20	2588.00	USD	48,090.00	
27JUL17	B	1	PD26OCT17	2309.04	2588.00	USD	6,974.00	
	NET	**0**	**AVG BGHT 2312.68**	**SOLD 2319.94**			**1,451.50**	**0.00**
27JUL17	S	1	PD27OCT17	2324.50	2588.00	USD	-6,587.50	
27JUL17	S	2	PD27OCT17	2326.50	2588.00	USD	-13,075.00	
27JUL17	S	1	PD27OCT17	2327.50	2588.00	USD	-6,512.50	
27JUL17	S	1	PD27OCT17	2331.50	2588.00	USD	-6,412.50	
27JUL17	S	1	PD27OCT17	2331.00	2588.00	USD	-6,425.00	
27JUL17	B	4	PD27OCT17	2309.20	2588.00	USD	27,880.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094E

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
01AUG17	B 2 PD27OCT17	2337.46	2588.00	USD	12,527.00	
	NET 0 AVG BGHT 2318.62 SOLD 2327.92				**1,394.50**	**0.00**
31JUL17	B 2 PD31OCT17	2335.50	2588.00	USD	12,625.00	
31JUL17	B 1 PD31OCT17	2329.00	2588.00	USD	6,475.00	
31JUL17	B 1 PD31OCT17	2326.00	2588.00	USD	6,550.00	
31JUL17	B 1 PD31OCT17	2327.00	2588.00	USD	6,525.00	
31JUL17	B 3 PD31OCT17	2330.50	2588.00	USD	19,312.50	
31JUL17	S 3 PD31OCT17	2333.80	2588.00	USD	-19,065.00	
01AUG17	S 4 PD31OCT17	2337.69	2588.00	USD	-25,031.00	
02AUG17	S 1 PD31OCT17	2352.75	2588.00	USD	-5,881.25	
	NET 0 AVG BGHT 2330.56 SOLD 2338.11				**1,510.25**	**0.00**
01AUG17	S 1 PD01NOV17	2324.00	2588.00	USD	-6,600.00	
01AUG17	S 1 PD01NOV17	2328.00	2588.00	USD	-6,500.00	
01AUG17	S 1 PD01NOV17	2329.50	2588.00	USD	-6,462.50	
01AUG17	S 1 PD01NOV17	2332.00	2588.00	USD	-6,400.00	
01AUG17	S 2 PD01NOV17	2335.00	2588.00	USD	-12,650.00	
01AUG17	S 1 PD01NOV17	2336.00	2588.00	USD	-6,300.00	
01AUG17	S 1 PD01NOV17	2337.00	2588.00	USD	-6,275.00	
02AUG17	B 1 PD01NOV17	2353.08	2588.00	USD	5,873.00	
04AUG17	B 5 PD01NOV17	2360.92	2588.00	USD	28,385.00	
07AUG17	B 2 PD01NOV17	2360.16	2588.00	USD	11,392.00	
	NET 0 AVG BGHT 2359.75 SOLD 2332.06				**-5,537.50**	**0.00**
02AUG17	B 1 PD02NOV17	2342.50	2588.00	USD	6,137.50	
02AUG17	S 1 PD02NOV17	2342.00	2588.00	USD	-6,150.00	
02AUG17	S 1 PD02NOV17	2342.50	2588.00	USD	-6,137.50	
02AUG17	S 1 PD02NOV17	2344.00	2588.00	USD	-6,100.00	
02AUG17	S 1 PD02NOV17	2343.50	2588.00	USD	-6,112.50	
02AUG17	S 1 PD02NOV17	2353.50	2588.00	USD	-5,862.50	
07AUG17	B 4 PD02NOV17	2360.33	2588.00	USD	22,767.00	
28SEP17	S 10 PD02NOV17	2470.79	2588.00	USD	-29,302.50	
29SEP17	S 28 PD02NOV17	2492.15	2588.00	USD	-67,095.00	
	NET -38 AVG BGHT 2356.76 SOLD 2470.08				**-97,855.50**	**0.00**
03AUG17	S 1 PD03NOV17	2364.50	2588.00	USD	-5,587.50	
03AUG17	S 1 PD03NOV17	2368.50	2588.00	USD	-5,487.50	
03AUG17	S 1 PD03NOV17	2369.00	2588.00	USD	-5,475.00	
04AUG17	S 1 PD03NOV17	2368.50	2588.00	USD	-5,487.50	
04AUG17	S 1 PD03NOV17	2373.50	2588.00	USD	-5,362.50	
07AUG17	B 4 PD03NOV17	2360.51	2588.00	USD	22,749.00	
08AUG17	B 1 PD03NOV17	2382.31	2588.00	USD	5,142.25	
	NET 0 AVG BGHT 2364.87 SOLD 2368.80				**491.25**	**0.00**
07AUG17	S 2 PD07NOV17	2363.50	2588.00	USD	-11,225.00	
07AUG17	B 1 PD07NOV17	2366.50	2588.00	USD	5,537.50	
07AUG17	S 1 PD07NOV17	2357.00	2588.00	USD	-5,775.00	
07AUG17	S 1 PD07NOV17	2358.00	2588.00	USD	-5,750.00	
07AUG17	S 1 PD07NOV17	2358.50	2588.00	USD	-5,737.50	
07AUG17	S 1 PD07NOV17	2359.00	2588.00	USD	-5,725.00	
07AUG17	S 1 PD07NOV17	2361.00	2588.00	USD	-5,675.00	
07AUG17	S 2 PD07NOV17	2360.00	2588.00	USD	-11,400.00	
07AUG17	S 1 PD07NOV17	2367.50	2588.00	USD	-5,512.50	
07AUG17	S 1 PD07NOV17	2364.00	2588.00	USD	-5,600.00	
07AUG17	S 1 PD07NOV17	2364.50	2588.00	USD	-5,587.50	
07AUG17	S 1 PD07NOV17	2368.00	2588.00	USD	-5,500.00	
07AUG17	S 1 PD07NOV17	2368.50	2588.00	USD	-5,487.50	
07AUG17	S 1 PD07NOV17	2365.00	2588.00	USD	-5,575.00	
07AUG17	S 1 PD07NOV17	2365.50	2588.00	USD	-5,562.50	
07AUG17	S 1 PD07NOV17	2369.00	2588.00	USD	-5,475.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094E

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
07AUG17	S	1	PD07NOV17	2369.50	2588.00	USD	-5,462.50	
07AUG17	S	1	PD07NOV17	2386.00	2588.00	USD	-5,050.00	
07AUG17	B	1	PD07NOV17	2362.50	2588.00	USD	5,637.50	
07AUG17	B	2	PD07NOV17	2364.00	2588.00	USD	11,200.00	
07AUG17	B	3	PD07NOV17	2361.50	2588.00	USD	16,987.50	
07AUG17	B	1	PD07NOV17	2369.00	2588.00	USD	5,475.00	
07AUG17	B	5	PD07NOV17	2363.00	2588.00	USD	28,125.00	
07AUG17	B	1	PD07NOV17	2362.00	2588.00	USD	5,650.00	
07AUG17	B	1	PD07NOV17	2363.50	2588.00	USD	5,612.50	
08AUG17	B	4	PD07NOV17	2383.00	2588.00	USD	20,500.00	
	NET	**0**	**AVG BGHT 2367.53**	**SOLD 2364.63**			**-1,375.00**	**0.00**
08AUG17	B	3	PD08NOV17	2374.00	2588.00	USD	16,050.00	
08AUG17	B	1	PD08NOV17	2370.00	2588.00	USD	5,450.00	
08AUG17	B	1	PD08NOV17	2365.00	2588.00	USD	5,575.00	
08AUG17	B	1	PD08NOV17	2363.50	2588.00	USD	5,612.50	
08AUG17	B	1	PD08NOV17	2357.00	2588.00	USD	5,775.00	
08AUG17	B	1	PD08NOV17	2357.50	2588.00	USD	5,762.50	
08AUG17	B	2	PD08NOV17	2355.00	2588.00	USD	11,650.00	
08AUG17	B	2	PD08NOV17	2356.00	2588.00	USD	11,600.00	
08AUG17	B	2	PD08NOV17	2353.50	2588.00	USD	11,725.00	
08AUG17	B	1	PD08NOV17	2353.00	2588.00	USD	5,875.00	
08AUG17	B	1	PD08NOV17	2354.00	2588.00	USD	5,850.00	
08AUG17	B	1	PD08NOV17	2346.00	2588.00	USD	6,050.00	
08AUG17	S	1	PD08NOV17	2348.00	2588.00	USD	-6,000.00	
08AUG17	B	2	PD08NOV17	2356.50	2588.00	USD	11,575.00	
08AUG17	B	2	PD08NOV17	2354.50	2588.00	USD	11,675.00	
08AUG17	S	20	PD08NOV17	2382.80	2588.00	USD	-102,600.00	
	NET	**0**	**AVG BGHT 2359.00**	**SOLD 2381.14**			**11,625.00**	**0.00**
09AUG17	B	1	PD09NOV17	2374.50	2588.00	USD	5,337.50	
09AUG17	B	1	PD09NOV17	2352.50	2588.00	USD	5,887.50	
09AUG17	B	3	PD09NOV17	2354.00	2588.00	USD	17,550.00	
11AUG17	S	5	PD09NOV17	2325.51	2588.00	USD	-32,811.25	
	NET	**0**	**AVG BGHT 2357.80**	**SOLD 2325.51**			**-4,036.25**	**0.00**
11AUG17	B	1	PD10NOV17	2332.00	2588.00	USD	6,400.00	
17AUG17	S	1	PD10NOV17	2412.85	2588.00	USD	-4,378.75	
	NET	**0**	**AVG BGHT 2332.00**	**SOLD 2412.85**			**2,021.25**	**0.00**
16AUG17	S	1	PD16NOV17	2389.00	2588.13	USD	-4,978.25	
16AUG17	S	1	PD16NOV17	2402.50	2588.13	USD	-4,640.75	
16AUG17	S	1	PD16NOV17	2422.50	2588.13	USD	-4,140.75	
16AUG17	S	2	PD16NOV17	2387.50	2588.13	USD	-10,031.50	
16AUG17	S	2	PD16NOV17	2388.50	2588.13	USD	-9,981.50	
16AUG17	S	1	PD16NOV17	2480.00	2588.13	USD	-2,703.25	
16AUG17	B	1	PD16NOV17	2463.50	2588.13	USD	3,115.75	
16AUG17	B	1	PD16NOV17	2470.50	2588.13	USD	2,940.75	
16AUG17	B	5	PD16NOV17	2517.20	2588.13	USD	8,866.25	
17AUG17	B	1	PD16NOV17	2413.30	2588.13	USD	4,370.75	
	NET	**0**	**AVG BGHT 2491.66**	**SOLD 2405.75**			**-17,182.50**	**0.00**
17AUG17	S	1	PD17NOV17	2511.50	2588.26	USD	-1,919.00	
17AUG17	S	1	PD17NOV17	2509.50	2588.26	USD	-1,969.00	
17AUG17	S	1	PD17NOV17	2511.00	2588.26	USD	-1,931.50	
17AUG17	B	1	PD17NOV17	2463.50	2588.26	USD	3,119.00	
18AUG17	B	1	PD17NOV17	2428.00	2588.26	USD	4,006.50	
18AUG17	B	1	PD17NOV17	2444.00	2588.26	USD	3,606.50	
18AUG17	B	1	PD17NOV17	2437.00	2588.26	USD	3,781.50	
18AUG17	B	1	PD17NOV17	2363.50	2588.26	USD	5,619.00	
18AUG17	B	3	PD17NOV17	2360.50	2588.26	USD	17,082.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094E

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
18AUG17	B 1 PD17NOV17	2359.00	2588.26	USD	5,731.50	
18AUG17	B 2 PD17NOV17	2359.50	2588.26	USD	11,438.00	
21AUG17	S 8 PD17NOV17	2346.00	2588.26	USD	-48,452.00	
	NET 0 AVG BGHT 2390.50 SOLD 2390.91				**112.50**	**0.00**
21AUG17	B 2 PD21NOV17	2360.00	2588.77	USD	11,438.50	
21AUG17	B 1 PD21NOV17	2360.50	2588.77	USD	5,706.75	
21AUG17	B 1 PD21NOV17	2362.00	2588.77	USD	5,669.25	
21AUG17	B 1 PD21NOV17	2356.50	2588.77	USD	5,806.75	
21AUG17	B 1 PD21NOV17	2363.00	2588.77	USD	5,644.25	
21AUG17	B 2 PD21NOV17	2350.00	2588.77	USD	11,938.50	
23AUG17	S 6 PD21NOV17	2376.25	2588.77	USD	-31,878.00	
24AUG17	S 2 PD21NOV17	2355.51	2588.77	USD	-11,663.00	
	NET 0 AVG BGHT 2357.75 SOLD 2371.07				**2,663.00**	**0.00**
22AUG17	B 1 PD22NOV17	2337.50	2588.90	USD	6,285.00	
22AUG17	B 1 PD22NOV17	2358.00	2588.90	USD	5,772.50	
22AUG17	B 1 PD22NOV17	2357.00	2588.90	USD	5,797.50	
22AUG17	B 1 PD22NOV17	2351.00	2588.90	USD	5,947.50	
22AUG17	B 1 PD22NOV17	2355.50	2588.90	USD	5,835.00	
22AUG17	B 1 PD22NOV17	2348.50	2588.90	USD	6,010.00	
22AUG17	B 1 PD22NOV17	2351.50	2588.90	USD	5,935.00	
22AUG17	S 1 PD22NOV17	2375.50	2588.90	USD	-5,335.00	
22AUG17	S 1 PD22NOV17	2377.00	2588.90	USD	-5,297.50	
22AUG17	S 1 PD22NOV17	2381.00	2588.90	USD	-5,197.50	
22AUG17	S 1 PD22NOV17	2387.00	2588.90	USD	-5,047.50	
22AUG17	S 1 PD22NOV17	2388.50	2588.90	USD	-5,010.00	
22AUG17	S 1 PD22NOV17	2389.50	2588.90	USD	-4,985.00	
22AUG17	S 1 PD22NOV17	2390.00	2588.90	USD	-4,972.50	
22AUG17	S 1 PD22NOV17	2400.50	2588.90	USD	-4,710.00	
22AUG17	S 1 PD22NOV17	2402.00	2588.90	USD	-4,672.50	
22AUG17	S 1 PD22NOV17	2416.50	2588.90	USD	-4,310.00	
22AUG17	S 3 PD22NOV17	2417.00	2588.90	USD	-12,892.50	
23AUG17	B 6 PD22NOV17	2376.70	2588.90	USD	31,830.00	
	NET 0 AVG BGHT 2363.02 SOLD 2396.81				**10,982.50**	**0.00**
23AUG17	B 3 PD24NOV17	2394.50	2589.16	USD	14,599.50	
23AUG17	S 1 PD24NOV17	2396.50	2589.16	USD	-4,816.50	
23AUG17	S 1 PD24NOV17	2408.50	2589.16	USD	-4,516.50	
23AUG17	S 1 PD24NOV17	2413.50	2589.16	USD	-4,391.50	
23AUG17	S 1 PD24NOV17	2414.50	2589.16	USD	-4,366.50	
23AUG17	S 2 PD24NOV17	2408.00	2589.16	USD	-9,058.00	
23AUG17	S 2 PD24NOV17	2409.50	2589.16	USD	-8,983.00	
23AUG17	B 1 PD24NOV17	2379.00	2589.16	USD	5,254.00	
24AUG17	B 1 PD24NOV17	2389.50	2589.16	USD	4,991.50	
24AUG17	B 1 PD24NOV17	2396.00	2589.16	USD	4,829.00	
24AUG17	B 2 PD24NOV17	2398.00	2589.16	USD	9,558.00	
24AUG17	B 1 PD24NOV17	2392.50	2589.16	USD	4,916.50	
24AUG17	B 2 PD24NOV17	2394.00	2589.16	USD	9,758.00	
24AUG17	B 1 PD24NOV17	2396.50	2589.16	USD	4,816.50	
24AUG17	B 1 PD24NOV17	2385.50	2589.16	USD	5,091.50	
24AUG17	B 2 PD24NOV17	2390.00	2589.16	USD	9,958.00	
24AUG17	B 1 PD24NOV17	2385.00	2589.16	USD	5,104.00	
24AUG17	B 1 PD24NOV17	2380.00	2589.16	USD	5,229.00	
24AUG17	B 2 PD24NOV17	2377.50	2589.16	USD	10,583.00	
24AUG17	B 1 PD24NOV17	2372.50	2589.16	USD	5,416.50	
24AUG17	B 1 PD24NOV17	2374.00	2589.16	USD	5,379.00	
24AUG17	B 1 PD24NOV17	2374.50	2589.16	USD	5,366.50	
24AUG17	B 1 PD24NOV17	2376.50	2589.16	USD	5,316.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094E

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
24AUG17	B 1 PD24NOV17	2382.00	2589.16	USD	5,179.00	
24AUG17	S 1 PD24NOV17	2371.00	2589.16	USD	-5,454.00	
24AUG17	S 3 PD24NOV17	2356.80	2589.16	USD	-17,427.00	
25AUG17	S 1 PD24NOV17	2373.50	2589.16	USD	-5,391.50	
25AUG17	S 1 PD24NOV17	2332.50	2589.16	USD	-6,416.50	
25AUG17	B 2 PD24NOV17	2328.00	2589.16	USD	13,058.00	
25AUG17	B 1 PD24NOV17	2326.50	2589.16	USD	6,566.50	
25AUG17	S 2 PD24NOV17	2326.00	2589.16	USD	-13,158.00	
25AUG17	S 1 PD24NOV17	2325.50	2589.16	USD	-6,591.50	
30AUG17	S 3 PD24NOV17	2368.39	2589.16	USD	-16,557.75	
08SEP17	S 7 PD24NOV17	2260.26	2589.16	USD	-57,557.50	
	NET 0 AVG BGHT 2380.31 SOLD 2345.18				**-23,715.25**	**0.00**
29AUG17	B 2 PD29NOV17	2347.50	2589.80	USD	12,115.00	
29AUG17	S 2 PD29NOV17	2388.00	2589.80	USD	-10,090.00	
29AUG17	S 1 PD29NOV17	2386.50	2589.80	USD	-5,082.50	
29AUG17	S 2 PD29NOV17	2388.50	2589.80	USD	-10,065.00	
30AUG17	B 3 PD29NOV17	2369.93	2589.80	USD	16,490.25	
	NET 0 AVG BGHT 2360.96 SOLD 2387.90				**3,367.75**	**0.00**
30AUG17	B 1 PD30NOV17	2390.50	2589.93	USD	4,985.75	
30AUG17	B 3 PD30NOV17	2389.00	2589.93	USD	15,069.75	
30AUG17	B 1 PD30NOV17	2389.50	2589.93	USD	5,010.75	
30AUG17	B 1 PD30NOV17	2391.50	2589.93	USD	4,960.75	
30AUG17	S 1 PD30NOV17	2379.50	2589.93	USD	-5,260.75	
30AUG17	S 1 PD30NOV17	2381.00	2589.93	USD	-5,223.25	
30AUG17	S 1 PD30NOV17	2382.00	2589.93	USD	-5,198.25	
30AUG17	S 1 PD30NOV17	2382.50	2589.93	USD	-5,185.75	
31AUG17	B 1 PD30NOV17	2377.50	2589.93	USD	5,310.75	
31AUG17	B 1 PD30NOV17	2385.00	2589.93	USD	5,123.25	
31AUG17	B 1 PD30NOV17	2376.00	2589.93	USD	5,348.25	
31AUG17	S 1 PD30NOV17	2383.50	2589.93	USD	-5,160.75	
31AUG17	S 1 PD30NOV17	2384.50	2589.93	USD	-5,135.75	
31AUG17	S 1 PD30NOV17	2389.00	2589.93	USD	-5,023.25	
31AUG17	S 2 PD30NOV17	2388.50	2589.93	USD	-10,071.50	
31AUG17	S 3 PD30NOV17	2389.50	2589.93	USD	-15,032.25	
31AUG17	S 1 PD30NOV17	2392.00	2589.93	USD	-4,948.25	
31AUG17	S 1 PD30NOV17	2393.00	2589.93	USD	-4,923.25	
31AUG17	B 1 PD30NOV17	2396.50	2589.93	USD	4,835.75	
31AUG17	B 1 PD30NOV17	2397.00	2589.93	USD	4,823.25	
31AUG17	B 1 PD30NOV17	2397.50	2589.93	USD	4,810.75	
31AUG17	B 8 PD30NOV17	2394.20	2589.93	USD	39,146.00	
08SEP17	S 6 PD30NOV17	2262.30	2589.93	USD	-49,144.50	
	NET 0 AVG BGHT 2391.08 SOLD 2349.32				**-20,882.50**	**0.00**
01SEP17	S 1 PD01DEC17	2409.00	2590.06	USD	-4,526.50	
01SEP17	S 1 PD01DEC17	2402.00	2590.06	USD	-4,701.50	
01SEP17	S 1 PD01DEC17	2407.00	2590.06	USD	-4,576.50	
01SEP17	S 1 PD01DEC17	2407.50	2590.06	USD	-4,564.00	
01SEP17	B 1 PD01DEC17	2395.50	2590.06	USD	4,864.00	
01SEP17	S 1 PD01DEC17	2391.50	2590.06	USD	-4,964.00	
01SEP17	B 2 PD01DEC17	2390.00	2590.06	USD	10,003.00	
01SEP17	B 1 PD01DEC17	2400.00	2590.06	USD	4,751.50	
12SEP17	B 1 PD01DEC17	2308.12	2590.06	USD	7,048.50	
	NET 0 AVG BGHT 2376.72 SOLD 2403.40				**3,334.50**	**0.00**
04SEP17	B 1 PD04DEC17	2397.00	2590.44	USD	4,836.00	
04SEP17	B 1 PD04DEC17	2397.50	2590.44	USD	4,823.50	
04SEP17	B 1 PD04DEC17	2400.00	2590.44	USD	4,761.00	
04SEP17	B 1 PD04DEC17	2401.00	2590.44	USD	4,736.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094E

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
04SEP17	B	2	PD04DEC17	2395.50	2590.44	USD	9,747.00	
04SEP17	B	1	PD04DEC17	2393.00	2590.44	USD	4,936.00	
04SEP17	B	1	PD04DEC17	2393.50	2590.44	USD	4,923.50	
04SEP17	B	1	PD04DEC17	2386.50	2590.44	USD	5,098.50	
04SEP17	B	1	PD04DEC17	2389.50	2590.44	USD	5,023.50	
04SEP17	B	1	PD04DEC17	2386.00	2590.44	USD	5,111.00	
04SEP17	B	2	PD04DEC17	2387.00	2590.44	USD	10,172.00	
04SEP17	B	2	PD04DEC17	2394.00	2590.44	USD	9,822.00	
04SEP17	B	1	PD04DEC17	2398.00	2590.44	USD	4,811.00	
05SEP17	S	16	PD04DEC17	2333.50	2590.44	USD	-102,776.00	
	NET	**0**	**AVG BGHT 2393.44**	**SOLD 2333.50**			**-23,975.00**	**0.00**
05SEP17	B	1	PD05DEC17	2369.00	2590.57	USD	5,539.25	
05SEP17	B	2	PD05DEC17	2370.00	2590.57	USD	11,028.50	
05SEP17	B	1	PD05DEC17	2371.50	2590.57	USD	5,476.75	
12SEP17	S	1	PD05DEC17	2309.15	2590.57	USD	-7,035.50	
22SEP17	S	3	PD05DEC17	2488.50	2590.57	USD	-7,655.25	
	NET	**0**	**AVG BGHT 2370.13**	**SOLD 2443.66**			**7,353.75**	**0.00**
06SEP17	S	1	PD06DEC17	2357.00	2590.70	USD	-5,842.50	
06SEP17	S	1	PD06DEC17	2359.00	2590.70	USD	-5,792.50	
06SEP17	S	1	PD06DEC17	2362.00	2590.70	USD	-5,717.50	
06SEP17	S	1	PD06DEC17	2362.50	2590.70	USD	-5,705.00	
06SEP17	B	1	PD06DEC17	2342.00	2590.70	USD	6,217.50	
06SEP17	B	1	PD06DEC17	2343.50	2590.70	USD	6,180.00	
06SEP17	B	1	PD06DEC17	2344.00	2590.70	USD	6,167.50	
06SEP17	B	1	PD06DEC17	2345.00	2590.70	USD	6,142.50	
06SEP17	B	1	PD06DEC17	2344.50	2590.70	USD	6,155.00	
22SEP17	S	1	PD06DEC17	2488.50	2590.70	USD	-2,555.00	
	NET	**0**	**AVG BGHT 2343.80**	**SOLD 2385.80**			**5,250.00**	**0.00**
07SEP17	B	1	PD07DEC17	2348.00	2590.83	USD	6,070.75	
07SEP17	B	1	PD07DEC17	2348.50	2590.83	USD	6,058.25	
07SEP17	B	1	PD07DEC17	2349.00	2590.83	USD	6,045.75	
07SEP17	B	1	PD07DEC17	2337.50	2590.83	USD	6,333.25	
07SEP17	S	1	PD07DEC17	2331.50	2590.83	USD	-6,483.25	
07SEP17	B	1	PD07DEC17	2331.50	2590.83	USD	6,483.25	
07SEP17	B	1	PD07DEC17	2331.00	2590.83	USD	6,495.75	
07SEP17	B	1	PD07DEC17	2332.50	2590.83	USD	6,458.25	
07SEP17	B	1	PD07DEC17	2333.00	2590.83	USD	6,445.75	
22SEP17	S	7	PD07DEC17	2488.50	2590.83	USD	-17,907.75	
	NET	**0**	**AVG BGHT 2338.88**	**SOLD 2468.88**			**26,000.00**	**0.00**
08SEP17	B	1	PD08DEC17	2347.00	2590.96	USD	6,099.00	
08SEP17	B	1	PD08DEC17	2348.00	2590.96	USD	6,074.00	
08SEP17	B	1	PD08DEC17	2356.00	2590.96	USD	5,874.00	
08SEP17	B	3	PD08DEC17	2358.00	2590.96	USD	17,472.00	
08SEP17	B	1	PD08DEC17	2344.50	2590.96	USD	6,161.50	
08SEP17	B	1	PD08DEC17	2305.50	2590.96	USD	7,136.50	
08SEP17	B	1	PD08DEC17	2307.50	2590.96	USD	7,086.50	
08SEP17	B	1	PD08DEC17	2313.00	2590.96	USD	6,949.00	
08SEP17	B	1	PD08DEC17	2301.50	2590.96	USD	7,236.50	
08SEP17	B	1	PD08DEC17	2302.50	2590.96	USD	7,211.50	
08SEP17	B	1	PD08DEC17	2298.00	2590.96	USD	7,324.00	
08SEP17	S	1	PD08DEC17	2297.50	2590.96	USD	-7,336.50	
08SEP17	B	3	PD08DEC17	2299.50	2590.96	USD	21,859.50	
08SEP17	B	1	PD08DEC17	2294.00	2590.96	USD	7,424.00	
08SEP17	B	1	PD08DEC17	2296.00	2590.96	USD	7,374.00	
08SEP17	B	1	PD08DEC17	2267.50	2590.96	USD	8,086.50	
13SEP17	S	17	PD08DEC17	2288.23	2590.96	USD	-128,660.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094E

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
22SEP17	S 1 PD08DEC17	2488.50	2590.96	USD	-2,561.50	
	NET 0 AVG BGHT 2318.61 SOLD 2299.26				**-9,189.75**	**0.00**
11SEP17	B 1 PD11DEC17	2274.50	2591.34	USD	7,921.00	
11SEP17	B 1 PD11DEC17	2277.50	2591.34	USD	7,846.00	
22SEP17	S 2 PD11DEC17	2488.50	2591.34	USD	-5,142.00	
	NET 0 AVG BGHT 2276.00 SOLD 2488.50				**10,625.00**	**0.00**
14SEP17	S 1 PD14DEC17	2291.00	2591.73	USD	-7,518.25	
14SEP17	S 1 PD14DEC17	2291.50	2591.73	USD	-7,505.75	
14SEP17	S 1 PD14DEC17	2293.00	2591.73	USD	-7,468.25	
14SEP17	S 1 PD14DEC17	2293.50	2591.73	USD	-7,455.75	
14SEP17	S 1 PD14DEC17	2294.00	2591.73	USD	-7,443.25	
14SEP17	S 1 PD14DEC17	2306.50	2591.73	USD	-7,130.75	
14SEP17	S 1 PD14DEC17	2309.00	2591.73	USD	-7,068.25	
14SEP17	S 2 PD14DEC17	2315.50	2591.73	USD	-13,811.50	
14SEP17	S 1 PD14DEC17	2322.50	2591.73	USD	-6,730.75	
14SEP17	S 1 PD14DEC17	2307.00	2591.73	USD	-7,118.25	
14SEP17	S 1 PD14DEC17	2307.50	2591.73	USD	-7,105.75	
14SEP17	S 1 PD14DEC17	2309.50	2591.73	USD	-7,055.75	
14SEP17	S 2 PD14DEC17	2312.00	2591.73	USD	-13,986.50	
14SEP17	S 1 PD14DEC17	2312.50	2591.73	USD	-6,980.75	
14SEP17	S 2 PD14DEC17	2313.00	2591.73	USD	-13,936.50	
14SEP17	S 3 PD14DEC17	2313.50	2591.73	USD	-20,867.25	
14SEP17	B 2 PD14DEC17	2317.50	2591.73	USD	13,711.50	
14SEP17	S 3 PD14DEC17	2319.50	2591.73	USD	-20,417.25	
14SEP17	S 1 PD14DEC17	2320.50	2591.73	USD	-6,780.75	
14SEP17	S 1 PD14DEC17	2321.50	2591.73	USD	-6,755.75	
14SEP17	S 1 PD14DEC17	2320.00	2591.73	USD	-6,793.25	
18SEP17	B 5 PD14DEC17	2389.14	2591.73	USD	25,323.75	
19SEP17	B 20 PD14DEC17	2383.71	2591.73	USD	104,010.00	
	NET 0 AVG BGHT 2379.81 SOLD 2310.35				**-46,885.00**	**0.00**
15SEP17	S 2 PD15DEC17	2324.00	2591.86	USD	-13,393.00	
15SEP17	S 3 PD15DEC17	2326.00	2591.86	USD	-19,939.50	
15SEP17	S 1 PD15DEC17	2350.00	2591.86	USD	-6,046.50	
15SEP17	S 1 PD15DEC17	2350.50	2591.86	USD	-6,034.00	
15SEP17	S 2 PD15DEC17	2351.00	2591.86	USD	-12,043.00	
15SEP17	S 1 PD15DEC17	2352.00	2591.86	USD	-5,996.50	
15SEP17	S 1 PD15DEC17	2352.50	2591.86	USD	-5,984.00	
15SEP17	S 2 PD15DEC17	2327.00	2591.86	USD	-13,243.00	
15SEP17	S 1 PD15DEC17	2325.50	2591.86	USD	-6,659.00	
15SEP17	S 1 PD15DEC17	2326.50	2591.86	USD	-6,634.00	
15SEP17	S 1 PD15DEC17	2351.50	2591.86	USD	-6,009.00	
15SEP17	S 1 PD15DEC17	2360.50	2591.86	USD	-5,784.00	
18SEP17	B 17 PD15DEC17	2389.40	2591.86	USD	86,045.50	
	NET 0 AVG BGHT 2389.40 SOLD 2338.29				**-21,720.00**	**0.00**
18SEP17	S 1 PD18DEC17	2393.00	2592.24	USD	-4,981.00	
18SEP17	S 1 PD18DEC17	2392.00	2592.24	USD	-5,006.00	
18SEP17	S 1 PD18DEC17	2390.00	2592.24	USD	-5,056.00	
18SEP17	S 1 PD18DEC17	2397.50	2592.24	USD	-4,868.50	
18SEP17	S 1 PD18DEC17	2398.00	2592.24	USD	-4,856.00	
18SEP17	S 1 PD18DEC17	2402.50	2592.24	USD	-4,743.50	
18SEP17	S 1 PD18DEC17	2401.50	2592.24	USD	-4,768.50	
18SEP17	S 1 PD18DEC17	2402.00	2592.24	USD	-4,756.00	
18SEP17	S 1 PD18DEC17	2388.00	2592.24	USD	-5,106.00	
20SEP17	B 4 PD18DEC17	2459.89	2592.24	USD	13,235.00	
22SEP17	B 5 PD18DEC17	2488.70	2592.24	USD	12,942.50	
	NET 0 AVG BGHT 2475.90 SOLD 2396.06				**-17,964.00**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094E

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
19SEP17	S	1	PD19DEC17	2392.50	2592.37	USD	-4,996.75	
19SEP17	S	1	PD19DEC17	2393.50	2592.37	USD	-4,971.75	
19SEP17	S	2	PD19DEC17	2396.50	2592.37	USD	-9,793.50	
19SEP17	S	2	PD19DEC17	2402.00	2592.37	USD	-9,518.50	
19SEP17	S	1	PD19DEC17	2403.50	2592.37	USD	-4,721.75	
19SEP17	S	1	PD19DEC17	2404.00	2592.37	USD	-4,709.25	
19SEP17	S	2	PD19DEC17	2405.00	2592.37	USD	-9,368.50	
19SEP17	S	5	PD19DEC17	2405.50	2592.37	USD	-23,358.75	
19SEP17	S	3	PD19DEC17	2438.00	2592.37	USD	-11,577.75	
21SEP17	B	18	PD19DEC17	2518.19	2592.37	USD	33,381.00	
	NET	**0**	**AVG BGHT 2518.19**	**SOLD 2407.89**			**-49,635.50**	**0.00**
20SEP17	S	1	PD20DEC17	2441.00	2592.50	USD	-3,787.50	
20SEP17	S	1	PD20DEC17	2451.50	2592.50	USD	-3,525.00	
20SEP17	S	1	PD20DEC17	2453.50	2592.50	USD	-3,475.00	
20SEP17	S	2	PD20DEC17	2456.50	2592.50	USD	-6,800.00	
20SEP17	S	1	PD20DEC17	2452.00	2592.50	USD	-3,512.50	
20SEP17	S	2	PD20DEC17	2461.50	2592.50	USD	-6,550.00	
20SEP17	S	1	PD20DEC17	2462.00	2592.50	USD	-3,262.50	
21SEP17	B	9	PD20DEC17	2518.20	2592.50	USD	16,717.50	
	NET	**0**	**AVG BGHT 2518.20**	**SOLD 2455.11**			**-14,195.00**	**0.00**
21SEP17	S	1	PD21DEC17	2438.00	2592.29	USD	-3,857.25	
21SEP17	S	1	PD21DEC17	2439.00	2592.29	USD	-3,832.25	
21SEP17	S	1	PD21DEC17	2441.00	2592.29	USD	-3,782.25	
21SEP17	S	2	PD21DEC17	2438.50	2592.29	USD	-7,689.50	
21SEP17	S	1	PD21DEC17	2433.00	2592.29	USD	-3,982.25	
21SEP17	S	2	PD21DEC17	2472.00	2592.29	USD	-6,014.50	
21SEP17	S	1	PD21DEC17	2470.50	2592.29	USD	-3,044.75	
21SEP17	S	1	PD21DEC17	2472.50	2592.29	USD	-2,994.75	
21SEP17	S	2	PD21DEC17	2468.50	2592.29	USD	-6,189.50	
21SEP17	S	2	PD21DEC17	2473.50	2592.29	USD	-5,939.50	
21SEP17	S	2	PD21DEC17	2470.00	2592.29	USD	-6,114.50	
21SEP17	S	4	PD21DEC17	2464.00	2592.29	USD	-12,829.00	
21SEP17	S	1	PD21DEC17	2463.50	2592.29	USD	-3,219.75	
21SEP17	S	2	PD21DEC17	2476.50	2592.29	USD	-5,789.50	
21SEP17	S	1	PD21DEC17	2477.50	2592.29	USD	-2,869.75	
21SEP17	S	2	PD21DEC17	2464.50	2592.29	USD	-6,389.50	
21SEP17	S	3	PD21DEC17	2465.50	2592.29	USD	-9,509.25	
21SEP17	S	2	PD21DEC17	2465.00	2592.29	USD	-6,364.50	
21SEP17	S	1	PD21DEC17	2501.50	2592.29	USD	-2,269.75	
21SEP17	S	1	PD21DEC17	2502.50	2592.29	USD	-2,244.75	
21SEP17	S	1	PD21DEC17	2504.50	2592.29	USD	-2,194.75	
21SEP17	B	1	PD21DEC17	2475.00	2592.29	USD	2,932.25	
21SEP17	B	1	PD21DEC17	2477.00	2592.29	USD	2,882.25	
21SEP17	B	1	PD21DEC17	2471.50	2592.29	USD	3,019.75	
21SEP17	B	1	PD21DEC17	2472.50	2592.29	USD	2,994.75	
21SEP17	B	2	PD21DEC17	2468.50	2592.29	USD	6,189.50	
21SEP17	B	1	PD21DEC17	2462.50	2592.29	USD	3,244.75	
21SEP17	B	1	PD21DEC17	2444.00	2592.29	USD	3,707.25	
21SEP17	B	1	PD21DEC17	2445.00	2592.29	USD	3,682.25	
21SEP17	B	1	PD21DEC17	2447.00	2592.29	USD	3,632.25	
21SEP17	B	1	PD21DEC17	2449.50	2592.29	USD	3,569.75	
21SEP17	B	1	PD21DEC17	2450.00	2592.29	USD	3,557.25	
21SEP17	B	5	PD21DEC17	2491.65	2592.29	USD	12,580.00	
21SEP17	B	2	PD21DEC17	2455.00	2592.29	USD	6,864.50	
21SEP17	B	1	PD21DEC17	2464.00	2592.29	USD	3,207.25	
21SEP17	S	1	PD21DEC17	2510.00	2592.29	USD	-2,057.25	
22SEP17	B	9	PD21DEC17	2485.95	2592.29	USD	23,926.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094E

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
	NET -6 AVG BGHT 2473.68 SOLD 2467.51				**-23,188.50**	**0.00**
22SEP17	S 1 PD22DEC17	2455.00	2592.07	USD	-3,426.75	
22SEP17	S 1 PD22DEC17	2455.50	2592.07	USD	-3,414.25	
22SEP17	S 1 PD22DEC17	2481.50	2592.07	USD	-2,764.25	
	NET -3 AVG SOLD 2464.00				**-9,605.25**	**0.00**
25SEP17	B 1 PD27DEC17	2468.00	2591.86	USD	3,096.50	
25SEP17	S 1 PD27DEC17	2484.50	2591.86	USD	-2,684.00	
25SEP17	S 1 PD27DEC17	2485.50	2591.86	USD	-2,659.00	
25SEP17	B 1 PD27DEC17	2481.50	2591.86	USD	2,759.00	
25SEP17	B 4 PD27DEC17	2482.00	2591.86	USD	10,986.00	
26SEP17	B 1 PD27DEC17	2482.50	2591.86	USD	2,734.00	
26SEP17	B 1 PD27DEC17	2483.50	2591.86	USD	2,709.00	
26SEP17	S 1 PD27DEC17	2511.00	2591.86	USD	-2,021.50	
26SEP17	B 1 PD27DEC17	2487.00	2591.86	USD	2,621.50	
26SEP17	B 1 PD27DEC17	2483.00	2591.86	USD	2,721.50	
26SEP17	B 1 PD27DEC17	2485.50	2591.86	USD	2,659.00	
26SEP17	S 1 PD27DEC17	2477.00	2591.86	USD	-2,871.50	
26SEP17	S 1 PD27DEC17	2482.00	2591.86	USD	-2,746.50	
26SEP17	B 3 PD27DEC17	2484.00	2591.86	USD	8,089.50	
26SEP17	S 1 PD27DEC17	2484.00	2591.86	USD	-2,696.50	
27SEP17	B 1 PD27DEC17	2478.50	2591.86	USD	2,834.00	
27SEP17	S 1 PD27DEC17	2478.50	2591.86	USD	-2,834.00	
27SEP17	S 1 PD27DEC17	2468.00	2591.86	USD	-3,096.50	
27SEP17	S 1 PD27DEC17	2461.00	2591.86	USD	-3,271.50	
	NET +6 AVG BGHT 2481.97 SOLD 2481.28				**16,329.00**	**0.00**
28SEP17	S 1 PD28DEC17	2475.00	2591.64	USD	-2,916.00	
28SEP17	S 2 PD28DEC17	2467.00	2591.64	USD	-6,232.00	
28SEP17	S 2 PD28DEC17	2476.00	2591.64	USD	-5,782.00	
28SEP17	S 1 PD28DEC17	2478.00	2591.64	USD	-2,841.00	
28SEP17	S 1 PD28DEC17	2479.00	2591.64	USD	-2,816.00	
28SEP17	S 1 PD28DEC17	2483.50	2591.64	USD	-2,703.50	
28SEP17	S 1 PD28DEC17	2474.00	2591.64	USD	-2,941.00	
28SEP17	S 2 PD28DEC17	2472.50	2591.64	USD	-5,957.00	
28SEP17	S 1 PD28DEC17	2473.50	2591.64	USD	-2,953.50	
28SEP17	B 10 PD28DEC17	2470.20	2591.64	USD	30,360.00	
28SEP17	B 1 PD28DEC17	2485.50	2591.64	USD	2,653.50	
	NET -1 AVG BGHT 2471.59 SOLD 2474.50				**-2,128.50**	**0.00**
29SEP17	B 2 PD29DEC17	2493.00	2591.43	USD	4,921.50	
29SEP17	S 1 PD29DEC17	2507.00	2591.43	USD	-2,110.75	
29SEP17	S 1 PD29DEC17	2509.00	2591.43	USD	-2,060.75	
29SEP17	S 2 PD29DEC17	2513.00	2591.43	USD	-3,921.50	
29SEP17	S 1 PD29DEC17	2506.50	2591.43	USD	-2,123.25	
29SEP17	S 1 PD29DEC17	2511.00	2591.43	USD	-2,010.75	
29SEP17	S 2 PD29DEC17	2512.00	2591.43	USD	-3,971.50	
29SEP17	B 1 PD29DEC17	2468.00	2591.43	USD	3,085.75	
29SEP17	B 1 PD29DEC17	2474.00	2591.43	USD	2,935.75	
	NET -4 AVG BGHT 2482.00 SOLD 2510.44				**-5,255.50**	**0.00**
03OCT17	S 1 PD03JAN18	2525.00	2591.00	USD	-1,650.00	
03OCT17	S 1 PD03JAN18	2525.50	2591.00	USD	-1,637.50	
03OCT17	S 1 PD03JAN18	2541.50	2591.00	USD	-1,237.50	
03OCT17	S 1 PD03JAN18	2544.50	2591.00	USD	-1,162.50	
03OCT17	S 1 PD03JAN18	2547.50	2591.00	USD	-1,087.50	
03OCT17	S 1 PD03JAN18	2548.00	2591.00	USD	-1,075.00	
03OCT17	S 1 PD03JAN18	2563.00	2591.00	USD	-700.00	
03OCT17	S 1 PD03JAN18	2556.00	2591.00	USD	-875.00	
03OCT17	S 1 PD03JAN18	2561.50	2591.00	USD	-737.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094E

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
03OCT17	S 1 PD03JAN18	2564.50	2591.00	USD	-662.50	
03OCT17	S 1 PD03JAN18	2568.00	2591.00	USD	-575.00	
03OCT17	B 1 PD03JAN18	2596.50	2591.00	USD	-137.50	
03OCT17	S 1 PD03JAN18	2554.50	2591.00	USD	-912.50	
	NET -11 AVG BGHT 2596.50 SOLD 2549.96				**-12,450.00**	**0.00**
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
04JUL17	B 1 PD04OCT17	9140.00	10531.23	USD	8,347.38	
28JUL17	S 1 PD04OCT17	10191.25	10531.23	USD	-2,039.88	
	NET 0 AVG BGHT 9140.00 SOLD 10191.25				**6,307.50**	**0.00**
10JUL17	B 2 PD10OCT17	8920.00	10538.85	USD	19,426.20	
10JUL17	B 1 PD10OCT17	8875.00	10538.85	USD	9,983.10	
10JUL17	B 1 PD10OCT17	8895.00	10538.85	USD	9,863.10	
10JUL17	B 1 PD10OCT17	8925.00	10538.85	USD	9,683.10	
08AUG17	S 2 PD10OCT17	10615.93	10538.85	USD	924.96	
11AUG17	S 2 PD10OCT17	10639.78	10538.85	USD	1,211.16	
22AUG17	S 1 PD10OCT17	11389.43	10538.85	USD	5,103.48	
	NET 0 AVG BGHT 8907.00 SOLD 10780.17				**56,195.10**	**0.00**
11JUL17	B 1 PD11OCT17	8990.00	10540.12	USD	9,300.72	
22AUG17	S 1 PD11OCT17	11390.19	10540.12	USD	5,100.42	
	NET 0 AVG BGHT 8990.00 SOLD 11390.19				**14,401.14**	**0.00**
14JUL17	S 1 PD13OCT17	9390.00	10542.65	USD	-6,915.90	
14JUL17	S 3 PD13OCT17	9385.00	10542.65	USD	-20,837.70	
17JUL17	B 4 PD13OCT17	9598.36	10542.65	USD	22,662.96	
	NET 0 AVG BGHT 9598.36 SOLD 9386.25				**-5,090.64**	**0.00**
18JUL17	B 1 PD18OCT17	9580.00	10549.00	USD	5,814.00	
18JUL17	B 2 PD18OCT17	9645.00	10549.00	USD	10,848.00	
22AUG17	S 1 PD18OCT17	11395.50	10549.00	USD	5,079.00	
05SEP17	S 2 PD18OCT17	12047.00	10549.00	USD	17,976.00	
	NET 0 AVG BGHT 9623.33 SOLD 11829.83				**39,717.00**	**0.00**
21JUL17	B 2 PD20OCT17	9530.00	10550.93	USD	12,251.16	
21JUL17	B 1 PD20OCT17	9535.00	10550.93	USD	6,095.58	
21JUL17	B 1 PD20OCT17	9545.00	10550.93	USD	6,035.58	
05SEP17	S 4 PD20OCT17	12048.00	10550.93	USD	35,929.68	
	NET 0 AVG BGHT 9535.00 SOLD 12048.00				**60,312.00**	**0.00**
24JUL17	B 1 PD24OCT17	9625.00	10554.79	USD	5,578.74	
24JUL17	B 1 PD24OCT17	9620.00	10554.79	USD	5,608.74	
24JUL17	B 2 PD24OCT17	9655.00	10554.79	USD	10,797.48	
24JUL17	S 1 PD24OCT17	9765.00	10554.79	USD	-4,738.74	
05SEP17	S 3 PD24OCT17	12051.23	10554.79	USD	26,935.92	
	NET 0 AVG BGHT 9638.75 SOLD 11479.67				**44,182.14**	**0.00**
25JUL17	S 1 PD25OCT17	9945.00	10555.75	USD	-3,664.50	
26JUL17	B 1 PD25OCT17	10041.33	10555.75	USD	3,086.52	
	NET 0 AVG BGHT 10041.33 SOLD 9945.00				**-577.98**	**0.00**
26JUL17	B 1 PD26OCT17	10015.00	10556.71	USD	3,250.26	
26JUL17	B 1 PD26OCT17	9940.00	10556.71	USD	3,700.26	
26JUL17	S 1 PD26OCT17	10045.00	10556.71	USD	-3,070.26	
26JUL17	S 1 PD26OCT17	10065.00	10556.71	USD	-2,950.26	
26JUL17	B 1 PD26OCT17	9875.00	10556.71	USD	4,090.26	
26JUL17	B 1 PD26OCT17	9895.00	10556.71	USD	3,970.26	
26JUL17	B 1 PD26OCT17	9910.00	10556.71	USD	3,880.26	
26JUL17	B 1 PD26OCT17	9945.00	10556.71	USD	3,670.26	
26JUL17	S 2 PD26OCT17	9925.00	10556.71	USD	-7,580.52	
26JUL17	S 1 PD26OCT17	9935.00	10556.71	USD	-3,730.26	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094E

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
26JUL17	S 2 PD26OCT17	9940.00	10556.71	USD	-7,400.52	
28JUL17	B 1 PD26OCT17	10200.94	10556.71	USD	2,134.62	
	NET 0 AVG BGHT 9968.71 SOLD 9967.86				**-35.64**	**0.00**
31JUL17	B 1 PD31OCT17	10220.00	10561.54	USD	2,049.24	
31JUL17	B 2 PD31OCT17	10250.00	10561.54	USD	3,738.48	
31JUL17	B 1 PD31OCT17	10210.00	10561.54	USD	2,109.24	
05SEP17	S 4 PD31OCT17	12056.88	10561.54	USD	35,888.16	
	NET 0 AVG BGHT 10232.50 SOLD 12056.88				**43,785.12**	**0.00**
01AUG17	B 2 PD01NOV17	10150.00	10562.50	USD	4,950.00	
05SEP17	S 2 PD01NOV17	12057.69	10562.50	USD	17,942.28	
	NET 0 AVG BGHT 10150.00 SOLD 12057.69				**22,892.28**	**0.00**
04AUG17	S 1 PD03NOV17	10280.00	10564.43	USD	-1,706.58	
08AUG17	B 1 PD03NOV17	10628.98	10564.43	USD	-387.30	
	NET 0 AVG BGHT 10628.98 SOLD 10280.00				**-2,093.88**	**0.00**
07AUG17	S 1 PD07NOV17	10365.00	10568.29	USD	-1,219.74	
08AUG17	B 1 PD07NOV17	10630.60	10568.29	USD	-373.86	
	NET 0 AVG BGHT 10630.60 SOLD 10365.00				**-1,593.60**	**0.00**
10AUG17	S 1 PD10NOV17	10850.00	10571.18	USD	1,672.92	
10AUG17	S 1 PD10NOV17	10835.00	10571.18	USD	1,582.92	
11AUG17	B 2 PD10NOV17	10661.00	10571.18	USD	-1,077.84	
	NET 0 AVG BGHT 10661.00 SOLD 10842.50				**2,178.00**	**0.00**
14AUG17	B 1 PD14NOV17	10575.00	10575.04	USD	0.24	
14AUG17	B 3 PD14NOV17	10570.00	10575.04	USD	90.72	
14AUG17	B 1 PD14NOV17	10560.00	10575.04	USD	90.24	
14AUG17	B 2 PD14NOV17	10550.00	10575.04	USD	300.48	
14AUG17	B 1 PD14NOV17	10540.00	10575.04	USD	210.24	
14AUG17	B 1 PD14NOV17	10500.00	10575.04	USD	450.24	
21AUG17	S 6 PD14NOV17	11313.81	10575.04	USD	26,595.72	
05SEP17	S 3 PD14NOV17	12068.19	10575.04	USD	26,876.70	
	NET 0 AVG BGHT 10553.89 SOLD 11565.27				**54,614.58**	**0.00**
16AUG17	B 1 PD16NOV17	10440.00	10576.89	USD	821.34	
16AUG17	B 1 PD16NOV17	10460.00	10576.89	USD	701.34	
21AUG17	S 2 PD16NOV17	11312.50	10576.89	USD	8,827.32	
	NET 0 AVG BGHT 10450.00 SOLD 11312.50				**10,350.00**	**0.00**
17AUG17	S 1 PD17NOV17	10650.00	10577.77	USD	433.38	
17AUG17	S 1 PD17NOV17	10665.00	10577.77	USD	523.38	
17AUG17	S 2 PD17NOV17	10660.00	10577.77	USD	986.76	
17AUG17	S 1 PD17NOV17	10670.00	10577.77	USD	553.38	
18AUG17	S 2 PD17NOV17	11000.00	10577.77	USD	5,066.76	
18AUG17	S 1 PD17NOV17	10990.00	10577.77	USD	2,473.38	
21AUG17	B 8 PD17NOV17	11314.00	10577.77	USD	-35,339.04	
	NET 0 AVG BGHT 11314.00 SOLD 10786.88				**-25,302.00**	**0.00**
21AUG17	S 1 PD21NOV17	11245.00	10581.31	USD	3,982.14	
21AUG17	S 1 PD21NOV17	11265.00	10581.31	USD	4,102.14	
21AUG17	S 1 PD21NOV17	11335.00	10581.31	USD	4,522.14	
22AUG17	B 3 PD21NOV17	11415.58	10581.31	USD	-15,016.86	
	NET 0 AVG BGHT 11415.58 SOLD 11281.67				**-2,410.44**	**0.00**
22AUG17	S 1 PD22NOV17	11410.00	10582.20	USD	4,966.80	
22AUG17	S 1 PD22NOV17	11445.00	10582.20	USD	5,176.80	
22AUG17	S 1 PD22NOV17	11440.00	10582.20	USD	5,146.80	
22AUG17	S 1 PD22NOV17	11475.00	10582.20	USD	5,356.80	
22AUG17	B 1 PD22NOV17	11420.00	10582.20	USD	-5,026.80	
22AUG17	B 1 PD22NOV17	11430.00	10582.20	USD	-5,086.80	
22AUG17	B 1 PD22NOV17	11340.00	10582.20	USD	-4,546.80	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094E

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
22AUG17	B 1 PD22NOV17	11355.00	10582.20	USD	-4,636.80	
	NET 0 AVG BGHT 11386.25 SOLD 11442.50				**1,350.00**	**0.00**
23AUG17	B 1 PD24NOV17	11330.00	10583.97	USD	-4,476.18	
23AUG17	B 1 PD24NOV17	11335.00	10583.97	USD	-4,506.18	
23AUG17	S 1 PD24NOV17	11480.00	10583.97	USD	5,376.18	
23AUG17	S 4 PD24NOV17	11545.00	10583.97	USD	23,064.72	
23AUG17	S 1 PD24NOV17	11560.00	10583.97	USD	5,856.18	
23AUG17	S 1 PD24NOV17	11515.00	10583.97	USD	5,586.18	
24AUG17	B 2 PD24NOV17	11710.00	10583.97	USD	-13,512.36	
24AUG17	B 2 PD24NOV17	11715.00	10583.97	USD	-13,572.36	
24AUG17	B 1 PD24NOV17	11665.00	10583.97	USD	-6,486.18	
25AUG17	B 1 PD24NOV17	11530.00	10583.97	USD	-5,676.18	
25AUG17	B 1 PD24NOV17	11515.00	10583.97	USD	-5,586.18	
25AUG17	B 1 PD24NOV17	11450.00	10583.97	USD	-5,196.18	
05SEP17	S 1 PD24NOV17	12072.63	10583.97	USD	8,931.96	
12SEP17	S 2 PD24NOV17	11975.33	10583.97	USD	16,696.32	
	NET 0 AVG BGHT 11567.50 SOLD 11675.83				**6,499.74**	**0.00**
29AUG17	B 1 PD29NOV17	11675.00	10588.40	USD	-6,519.60	
29AUG17	B 1 PD29NOV17	11680.00	10588.40	USD	-6,549.60	
29AUG17	B 1 PD29NOV17	11705.00	10588.40	USD	-6,699.60	
29AUG17	B 2 PD29NOV17	11715.00	10588.40	USD	-13,519.20	
29AUG17	B 1 PD29NOV17	11735.00	10588.40	USD	-6,879.60	
12SEP17	S 6 PD29NOV17	11979.41	10588.40	USD	50,076.36	
	NET 0 AVG BGHT 11704.17 SOLD 11979.41				**9,908.76**	**0.00**
30AUG17	B 1 PD30NOV17	11720.00	10589.29	USD	-6,784.26	
30AUG17	B 1 PD30NOV17	11675.00	10589.29	USD	-6,514.26	
30AUG17	B 1 PD30NOV17	11670.00	10589.29	USD	-6,484.26	
30AUG17	B 1 PD30NOV17	11680.00	10589.29	USD	-6,544.26	
30AUG17	S 1 PD30NOV17	11640.00	10589.29	USD	6,304.26	
31AUG17	S 1 PD30NOV17	11780.00	10589.29	USD	7,144.26	
31AUG17	S 1 PD30NOV17	11790.00	10589.29	USD	7,204.26	
12SEP17	S 1 PD30NOV17	11980.22	10589.29	USD	8,345.58	
	NET 0 AVG BGHT 11686.25 SOLD 11797.56				**2,671.32**	**0.00**
01SEP17	S 1 PD01DEC17	11945.00	10590.17	USD	8,128.98	
01SEP17	S 3 PD01DEC17	11975.00	10590.17	USD	24,926.94	
01SEP17	S 2 PD01DEC17	11960.00	10590.17	USD	16,437.96	
01SEP17	S 2 PD01DEC17	11965.00	10590.17	USD	16,497.96	
01SEP17	S 1 PD01DEC17	12015.00	10590.17	USD	8,548.98	
12SEP17	B 9 PD01DEC17	11982.04	10590.17	USD	-75,160.98	
	NET 0 AVG BGHT 11982.04 SOLD 11970.56				**-620.16**	**0.00**
13SEP17	B 2 PD13DEC17	11725.00	10600.80	USD	-13,490.40	
13SEP17	B 1 PD13DEC17	11730.00	10600.80	USD	-6,775.20	
13SEP17	B 1 PD13DEC17	11720.00	10600.80	USD	-6,715.20	
14SEP17	S 4 PD13DEC17	11198.21	10600.80	USD	14,337.84	
	NET 0 AVG BGHT 11725.00 SOLD 11198.21				**-12,642.96**	**0.00**
14SEP17	B 1 PD14DEC17	11430.00	10601.69	USD	-4,969.86	
14SEP17	S 1 PD14DEC17	11425.00	10601.69	USD	4,939.86	
14SEP17	B 1 PD14DEC17	11175.00	10601.69	USD	-3,439.86	
14SEP17	B 1 PD14DEC17	11225.00	10601.69	USD	-3,739.86	
14SEP17	B 1 PD14DEC17	11165.00	10601.69	USD	-3,379.86	
14SEP17	B 1 PD14DEC17	11160.00	10601.69	USD	-3,349.86	
14SEP17	B 1 PD14DEC17	11170.00	10601.69	USD	-3,409.86	
14SEP17	B 1 PD14DEC17	11190.00	10601.69	USD	-3,529.86	
14SEP17	B 1 PD14DEC17	11200.00	10601.69	USD	-3,589.86	
18SEP17	S 7 PD14DEC17	11230.97	10601.69	USD	26,429.76	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094E

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
	NET 0 AVG BGHT 11214.38 SOLD 11255.22				**1,960.74**	**0.00**
15SEP17	B 4 PD15DEC17	11140.00	10602.57	USD	-12,898.32	
15SEP17	B 1 PD15DEC17	11150.00	10602.57	USD	-3,284.58	
15SEP17	B 1 PD15DEC17	11155.00	10602.57	USD	-3,314.58	
22SEP17	S 2 PD15DEC17	10413.57	10602.57	USD	-2,268.00	
	NET +4 AVG BGHT 11144.17 SOLD 10413.57				**-21,765.48**	**0.00**
18SEP17	B 1 PD18DEC17	11170.00	10605.23	USD	-3,388.62	
	NET +1 AVG BGHT 11170.00				**-3,388.62**	**0.00**
19SEP17	S 1 PD19DEC17	11135.00	10606.11	USD	3,173.34	
19SEP17	S 1 PD19DEC17	11140.00	10606.11	USD	3,203.34	
22SEP17	B 2 PD19DEC17	10418.11	10606.11	USD	2,256.00	
	NET 0 AVG BGHT 10418.11 SOLD 11137.50				**8,632.68**	**0.00**
21SEP17	S 1 PD21DEC17	11105.00	10608.00	USD	2,982.00	
21SEP17	B 1 PD21DEC17	11115.00	10608.00	USD	-3,042.00	
	NET 0 AVG BGHT 11115.00 SOLD 11105.00				**-60.00**	**0.00**
22SEP17	B 2 PD22DEC17	10610.00	10609.00	USD	-12.00	
22SEP17	B 3 PD22DEC17	10530.00	10609.00	USD	1,422.00	
22SEP17	B 1 PD22DEC17	10545.00	10609.00	USD	384.00	
22SEP17	B 2 PD22DEC17	10535.00	10609.00	USD	888.00	
22SEP17	S 1 PD22DEC17	10560.00	10609.00	USD	-294.00	
22SEP17	S 1 PD22DEC17	10555.00	10609.00	USD	-324.00	
22SEP17	S 1 PD22DEC17	10565.00	10609.00	USD	-264.00	
22SEP17	S 1 PD22DEC17	10600.00	10609.00	USD	-54.00	
22SEP17	S 1 PD22DEC17	10615.00	10609.00	USD	36.00	
22SEP17	S 1 PD22DEC17	10625.00	10609.00	USD	96.00	
22SEP17	S 1 PD22DEC17	10620.00	10609.00	USD	66.00	
22SEP17	S 1 PD22DEC17	10640.00	10609.00	USD	186.00	
	NET 0 AVG BGHT 10553.13 SOLD 10597.50				**2,130.00**	**0.00**
29SEP17	B 1 PD29DEC17	10420.00	10615.42	USD	1,172.52	
29SEP17	B 2 PD29DEC17	10425.00	10615.42	USD	2,285.04	
29SEP17	B 1 PD29DEC17	10430.00	10615.42	USD	1,112.52	
29SEP17	B 1 PD29DEC17	10490.00	10615.42	USD	752.52	
	NET +5 AVG BGHT 10438.00				**5,322.60**	**0.00**
03OCT17	B 1 PD03JAN18	10475.00	10620.00	USD	870.00	
03OCT17	B 1 PD03JAN18	10480.00	10620.00	USD	840.00	
03OCT17	B 1 PD03JAN18	10435.00	10620.00	USD	1,110.00	
03OCT17	B 2 PD03JAN18	10455.00	10620.00	USD	1,980.00	
03OCT17	S 3 PD03JAN18	10700.00	10620.00	USD	1,440.00	
	NET +2 AVG BGHT 10460.00 SOLD 10700.00				**6,240.00**	**0.00**
	CMX SILVER 5,000 CMX					
15SEP17	B 1 DEC17	1770.5	1665.0	USD	-5,275.00	
19SEP17	B 1 DEC17	1718.5	1665.0	USD	-2,675.00	
19SEP17	B 2 DEC17	1721.0	1665.0	USD	-5,600.00	
19SEP17	B 1 DEC17	1719.0	1665.0	USD	-2,700.00	
29SEP17	B 1 DEC17	1679.0	1665.0	USD	-700.00	
03OCT17	B 1 DEC17	1661.5	1665.0	USD	175.00	
03OCT17	B 1 DEC17	1661.0	1665.0	USD	200.00	
	NET +8 AVG BGHT 1706.4				**-16,575.00**	**0.00**
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
04JUL17	B 1 PD04OCT17	2780.00	3316.62	USD	13,415.50	
04JUL17	S 1 PD04OCT17	2781.50	3316.62	USD	-13,378.00	
04JUL17	S 1 PD04OCT17	2782.00	3316.62	USD	-13,365.50	
04JUL17	B 2 PD04OCT17	2781.50	3316.62	USD	26,756.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094E

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
04JUL17	B 2 PD04OCT17	2780.50	3316.62	USD	26,806.00	
04JUL17	B 2 PD04OCT17	2782.00	3316.62	USD	26,731.00	
04JUL17	S 2 PD04OCT17	2783.50	3316.62	USD	-26,656.00	
04JUL17	S 1 PD04OCT17	2784.50	3316.62	USD	-13,303.00	
04JUL17	S 1 PD04OCT17	2785.50	3316.62	USD	-13,278.00	
04JUL17	S 1 PD04OCT17	2788.00	3316.62	USD	-13,215.50	
04JUL17	S 1 PD04OCT17	2790.00	3316.62	USD	-13,165.50	
04JUL17	S 2 PD04OCT17	2794.50	3316.62	USD	-26,106.00	
04JUL17	S 1 PD04OCT17	2795.00	3316.62	USD	-13,040.50	
04JUL17	B 2 PD04OCT17	2792.00	3316.62	USD	26,231.00	
12JUL17	B 2 PD04OCT17	2831.89	3316.62	USD	24,236.50	
	NET 0 AVG BGHT 2792.34 SOLD 2787.50				**-1,332.00**	**0.00**
05JUL17	B 1 PD05OCT17	2794.50	3313.50	USD	12,975.00	
05JUL17	B 1 PD05OCT17	2797.00	3313.50	USD	12,912.50	
05JUL17	B 1 PD05OCT17	2797.50	3313.50	USD	12,900.00	
05JUL17	B 3 PD05OCT17	2798.00	3313.50	USD	38,662.50	
05JUL17	S 1 PD05OCT17	2794.50	3313.50	USD	-12,975.00	
05JUL17	S 1 PD05OCT17	2775.50	3313.50	USD	-13,450.00	
05JUL17	S 1 PD05OCT17	2776.50	3313.50	USD	-13,425.00	
05JUL17	S 1 PD05OCT17	2777.50	3313.50	USD	-13,400.00	
05JUL17	S 1 PD05OCT17	2778.00	3313.50	USD	-13,387.50	
05JUL17	S 2 PD05OCT17	2761.00	3313.50	USD	-27,625.00	
05JUL17	S 1 PD05OCT17	2762.00	3313.50	USD	-13,787.50	
05JUL17	B 1 PD05OCT17	2755.50	3313.50	USD	13,950.00	
05JUL17	B 1 PD05OCT17	2756.50	3313.50	USD	13,925.00	
05JUL17	B 1 PD05OCT17	2753.50	3313.50	USD	14,000.00	
05JUL17	B 1 PD05OCT17	2754.00	3313.50	USD	13,987.50	
05JUL17	B 1 PD05OCT17	2751.50	3313.50	USD	14,050.00	
05JUL17	B 2 PD05OCT17	2752.00	3313.50	USD	28,075.00	
11JUL17	S 5 PD05OCT17	2819.38	3313.50	USD	-61,765.00	
	NET 0 AVG BGHT 2773.69 SOLD 2790.99				**5,622.50**	**0.00**
06JUL17	B 2 PD06OCT17	2759.50	3310.38	USD	27,544.00	
06JUL17	B 1 PD06OCT17	2748.50	3310.38	USD	14,047.00	
06JUL17	B 2 PD06OCT17	2750.50	3310.38	USD	27,994.00	
06JUL17	S 1 PD06OCT17	2759.00	3310.38	USD	-13,784.50	
06JUL17	S 1 PD06OCT17	2763.00	3310.38	USD	-13,684.50	
06JUL17	S 2 PD06OCT17	2766.50	3310.38	USD	-27,194.00	
06JUL17	S 1 PD06OCT17	2767.00	3310.38	USD	-13,584.50	
06JUL17	S 1 PD06OCT17	2770.50	3310.38	USD	-13,497.00	
06JUL17	S 1 PD06OCT17	2773.50	3310.38	USD	-13,422.00	
07JUL17	B 1 PD06OCT17	2778.00	3310.38	USD	13,309.50	
07JUL17	B 1 PD06OCT17	2778.50	3310.38	USD	13,297.00	
07JUL17	B 1 PD06OCT17	2786.00	3310.38	USD	13,109.50	
07JUL17	B 1 PD06OCT17	2787.00	3310.38	USD	13,084.50	
07JUL17	S 1 PD06OCT17	2783.00	3310.38	USD	-13,184.50	
07JUL17	S 2 PD06OCT17	2796.00	3310.38	USD	-25,719.00	
07JUL17	S 2 PD06OCT17	2799.00	3310.38	USD	-25,569.00	
07JUL17	S 2 PD06OCT17	2800.00	3310.38	USD	-25,519.00	
07JUL17	S 1 PD06OCT17	2801.00	3310.38	USD	-12,734.50	
07JUL17	S 1 PD06OCT17	2801.50	3310.38	USD	-12,722.00	
07JUL17	B 1 PD06OCT17	2783.00	3310.38	USD	13,184.50	
07JUL17	B 2 PD06OCT17	2784.50	3310.38	USD	26,294.00	
07JUL17	B 3 PD06OCT17	2785.00	3310.38	USD	39,403.50	
07JUL17	B 1 PD06OCT17	2780.50	3310.38	USD	13,247.00	
07JUL17	S 2 PD06OCT17	2787.50	3310.38	USD	-26,144.00	
07JUL17	B 2 PD06OCT17	2780.00	3310.38	USD	26,519.00	
07JUL17	B 1 PD06OCT17	2782.00	3310.38	USD	13,209.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094E

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
07JUL17	S	1	PD06OCT17	2777.50	3310.38	USD	-13,322.00	
07JUL17	S	1	PD06OCT17	2798.00	3310.38	USD	-12,809.50	
07JUL17	S	1	PD06OCT17	2788.50	3310.38	USD	-13,047.00	
07JUL17	S	1	PD06OCT17	2789.50	3310.38	USD	-13,022.00	
07JUL17	S	1	PD06OCT17	2791.50	3310.38	USD	-12,972.00	
07JUL17	S	1	PD06OCT17	2797.00	3310.38	USD	-12,834.50	
07JUL17	S	1	PD06OCT17	2803.00	3310.38	USD	-12,684.50	
07JUL17	S	1	PD06OCT17	2803.50	3310.38	USD	-12,672.00	
07JUL17	S	1	PD06OCT17	2794.00	3310.38	USD	-12,909.50	
12JUL17	B	2	PD06OCT17	2832.17	3310.38	USD	23,910.50	
13JUL17	B	6	PD06OCT17	2802.42	3310.38	USD	76,194.00	
	NET	**0**	**AVG BGHT 2785.42**	**SOLD 2787.37**			**1,316.00**	**0.00**
10JUL17	B	1	PD10OCT17	2789.00	3307.25	USD	12,956.25	
10JUL17	B	1	PD10OCT17	2787.50	3307.25	USD	12,993.75	
10JUL17	B	1	PD10OCT17	2767.50	3307.25	USD	13,493.75	
10JUL17	B	1	PD10OCT17	2776.50	3307.25	USD	13,268.75	
10JUL17	B	1	PD10OCT17	2780.00	3307.25	USD	13,181.25	
10JUL17	B	1	PD10OCT17	2764.00	3307.25	USD	13,581.25	
10JUL17	B	3	PD10OCT17	2766.00	3307.25	USD	40,593.75	
10JUL17	S	2	PD10OCT17	2765.50	3307.25	USD	-27,087.50	
10JUL17	S	1	PD10OCT17	2771.00	3307.25	USD	-13,406.25	
10JUL17	S	1	PD10OCT17	2773.00	3307.25	USD	-13,356.25	
10JUL17	B	3	PD10OCT17	2751.50	3307.25	USD	41,681.25	
10JUL17	B	1	PD10OCT17	2755.00	3307.25	USD	13,806.25	
10JUL17	B	1	PD10OCT17	2746.50	3307.25	USD	14,018.75	
10JUL17	B	1	PD10OCT17	2752.00	3307.25	USD	13,881.25	
10JUL17	S	1	PD10OCT17	2774.50	3307.25	USD	-13,318.75	
12JUL17	S	10	PD10OCT17	2832.52	3307.25	USD	-118,682.50	
	NET	**0**	**AVG BGHT 2764.70**	**SOLD 2811.65**			**17,605.00**	**0.00**
11JUL17	S	1	PD11OCT17	2791.50	3304.13	USD	-12,815.75	
11JUL17	B	2	PD11OCT17	2775.50	3304.13	USD	26,431.50	
11JUL17	S	2	PD11OCT17	2774.00	3304.13	USD	-26,506.50	
11JUL17	B	2	PD11OCT17	2774.50	3304.13	USD	26,481.50	
11JUL17	S	4	PD11OCT17	2774.50	3304.13	USD	-52,963.00	
11JUL17	S	1	PD11OCT17	2793.50	3304.13	USD	-12,765.75	
11JUL17	S	1	PD11OCT17	2794.00	3304.13	USD	-12,753.25	
11JUL17	S	1	PD11OCT17	2802.50	3304.13	USD	-12,540.75	
11JUL17	S	1	PD11OCT17	2805.50	3304.13	USD	-12,465.75	
11JUL17	S	1	PD11OCT17	2806.00	3304.13	USD	-12,453.25	
11JUL17	S	1	PD11OCT17	2811.50	3304.13	USD	-12,315.75	
11JUL17	S	2	PD11OCT17	2811.00	3304.13	USD	-24,656.50	
11JUL17	S	1	PD11OCT17	2812.50	3304.13	USD	-12,290.75	
11JUL17	S	2	PD11OCT17	2813.50	3304.13	USD	-24,531.50	
11JUL17	S	1	PD11OCT17	2815.00	3304.13	USD	-12,228.25	
11JUL17	S	2	PD11OCT17	2821.00	3304.13	USD	-24,156.50	
11JUL17	S	1	PD11OCT17	2823.50	3304.13	USD	-12,015.75	
11JUL17	S	1	PD11OCT17	2825.00	3304.13	USD	-11,978.25	
13JUL17	B	10	PD11OCT17	2803.53	3304.13	USD	125,150.00	
17JUL17	B	9	PD11OCT17	2813.90	3304.13	USD	110,301.75	
	NET	**0**	**AVG BGHT 2802.63**	**SOLD 2800.76**			**-1,072.50**	**0.00**
12JUL17	B	2	PD12OCT17	2818.00	3301.00	USD	24,150.00	
12JUL17	B	1	PD12OCT17	2819.00	3301.00	USD	12,050.00	
12JUL17	B	1	PD12OCT17	2820.00	3301.00	USD	12,025.00	
12JUL17	B	1	PD12OCT17	2826.00	3301.00	USD	11,875.00	
12JUL17	B	1	PD12OCT17	2833.00	3301.00	USD	11,700.00	
12JUL17	S	1	PD12OCT17	2827.00	3301.00	USD	-11,850.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094E

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
12JUL17	S 1 PD12OCT17	2856.50	3301.00	USD	-11,112.50	
12JUL17	S 1 PD12OCT17	2857.50	3301.00	USD	-11,087.50	
12JUL17	S 1 PD12OCT17	2860.00	3301.00	USD	-11,025.00	
12JUL17	B 1 PD12OCT17	2849.50	3301.00	USD	11,287.50	
12JUL17	B 1 PD12OCT17	2847.00	3301.00	USD	11,350.00	
12JUL17	B 2 PD12OCT17	2852.50	3301.00	USD	22,425.00	
12JUL17	B 1 PD12OCT17	2843.50	3301.00	USD	11,437.50	
12JUL17	B 1 PD12OCT17	2845.00	3301.00	USD	11,400.00	
12JUL17	B 1 PD12OCT17	2844.50	3301.00	USD	11,412.50	
12JUL17	B 3 PD12OCT17	2842.50	3301.00	USD	34,387.50	
12JUL17	B 1 PD12OCT17	2837.50	3301.00	USD	11,587.50	
12JUL17	B 1 PD12OCT17	2832.50	3301.00	USD	11,712.50	
12JUL17	B 1 PD12OCT17	2834.50	3301.00	USD	11,662.50	
12JUL17	B 3 PD12OCT17	2835.00	3301.00	USD	34,950.00	
12JUL17	B 1 PD12OCT17	2836.50	3301.00	USD	11,612.50	
12JUL17	S 1 PD12OCT17	2839.00	3301.00	USD	-11,550.00	
12JUL17	B 1 PD12OCT17	2838.00	3301.00	USD	11,575.00	
12JUL17	B 1 PD12OCT17	2830.50	3301.00	USD	11,762.50	
12JUL17	B 1 PD12OCT17	2831.50	3301.00	USD	11,737.50	
12JUL17	B 1 PD12OCT17	2829.50	3301.00	USD	11,787.50	
12JUL17	B 1 PD12OCT17	2827.50	3301.00	USD	11,837.50	
12JUL17	B 1 PD12OCT17	2825.00	3301.00	USD	11,900.00	
12JUL17	B 1 PD12OCT17	2824.50	3301.00	USD	11,912.50	
12JUL17	S 3 PD12OCT17	2823.50	3301.00	USD	-35,812.50	
12JUL17	S 3 PD12OCT17	2823.00	3301.00	USD	-35,850.00	
12JUL17	S 3 PD12OCT17	2819.50	3301.00	USD	-36,112.50	
13JUL17	S 16 PD12OCT17	2803.55	3301.00	USD	-198,980.00	
	NET 0 AVG BGHT 2834.95 SOLD 2816.49				**-13,842.50**	**0.00**
13JUL17	S 2 PD13OCT17	2827.00	3297.88	USD	-23,544.00	
13JUL17	S 1 PD13OCT17	2828.00	3297.88	USD	-11,747.00	
13JUL17	S 2 PD13OCT17	2835.00	3297.88	USD	-23,144.00	
13JUL17	S 1 PD13OCT17	2835.50	3297.88	USD	-11,559.50	
13JUL17	S 1 PD13OCT17	2827.50	3297.88	USD	-11,759.50	
13JUL17	B 1 PD13OCT17	2803.50	3297.88	USD	12,359.50	
13JUL17	B 1 PD13OCT17	2804.50	3297.88	USD	12,334.50	
13JUL17	B 1 PD13OCT17	2806.00	3297.88	USD	12,297.00	
13JUL17	B 1 PD13OCT17	2809.50	3297.88	USD	12,209.50	
13JUL17	B 1 PD13OCT17	2810.50	3297.88	USD	12,184.50	
14JUL17	S 1 PD13OCT17	2790.00	3297.88	USD	-12,697.00	
14JUL17	S 1 PD13OCT17	2791.00	3297.88	USD	-12,672.00	
14JUL17	B 2 PD13OCT17	2773.00	3297.88	USD	26,244.00	
14JUL17	B 1 PD13OCT17	2769.00	3297.88	USD	13,222.00	
14JUL17	B 1 PD13OCT17	2766.00	3297.88	USD	13,297.00	
14JUL17	B 1 PD13OCT17	2767.00	3297.88	USD	13,272.00	
14JUL17	B 1 PD13OCT17	2763.50	3297.88	USD	13,359.50	
14JUL17	B 1 PD13OCT17	2765.00	3297.88	USD	13,322.00	
14JUL17	B 2 PD13OCT17	2765.50	3297.88	USD	26,619.00	
14JUL17	B 1 PD13OCT17	2767.50	3297.88	USD	13,259.50	
14JUL17	B 4 PD13OCT17	2763.00	3297.88	USD	53,488.00	
14JUL17	B 1 PD13OCT17	2758.50	3297.88	USD	13,484.50	
14JUL17	B 1 PD13OCT17	2759.50	3297.88	USD	13,459.50	
14JUL17	B 1 PD13OCT17	2760.00	3297.88	USD	13,447.00	
14JUL17	B 1 PD13OCT17	2755.00	3297.88	USD	13,572.00	
14JUL17	B 1 PD13OCT17	2756.00	3297.88	USD	13,547.00	
14JUL17	B 1 PD13OCT17	2755.50	3297.88	USD	13,559.50	
14JUL17	S 1 PD13OCT17	2794.50	3297.88	USD	-12,584.50	
14JUL17	S 1 PD13OCT17	2790.50	3297.88	USD	-12,684.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094E

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
14JUL17	S 1 PD13OCT17	2791.50	3297.88	USD	-12,659.50	
14JUL17	S 1 PD13OCT17	2788.50	3297.88	USD	-12,734.50	
14JUL17	S 1 PD13OCT17	2789.00	3297.88	USD	-12,722.00	
14JUL17	S 1 PD13OCT17	2792.50	3297.88	USD	-12,634.50	
14JUL17	S 1 PD13OCT17	2794.00	3297.88	USD	-12,597.00	
17JUL17	S 9 PD13OCT17	2814.00	3297.88	USD	-108,873.00	
	NET 0 AVG BGHT 2772.22 SOLD 2810.50				**23,925.00**	**0.00**
17JUL17	S 1 PD17OCT17	2824.50	3291.63	USD	-11,678.25	
17JUL17	S 1 PD17OCT17	2815.00	3291.63	USD	-11,915.75	
17JUL17	S 1 PD17OCT17	2816.50	3291.63	USD	-11,878.25	
17JUL17	S 1 PD17OCT17	2823.00	3291.63	USD	-11,715.75	
17JUL17	S 1 PD17OCT17	2814.00	3291.63	USD	-11,940.75	
17JUL17	S 1 PD17OCT17	2825.00	3291.63	USD	-11,665.75	
17JUL17	S 1 PD17OCT17	2835.50	3291.63	USD	-11,403.25	
17JUL17	S 1 PD17OCT17	2836.50	3291.63	USD	-11,378.25	
17JUL17	S 1 PD17OCT17	2840.00	3291.63	USD	-11,290.75	
17JUL17	S 2 PD17OCT17	2841.00	3291.63	USD	-22,531.50	
17JUL17	S 1 PD17OCT17	2842.50	3291.63	USD	-11,228.25	
17JUL17	S 1 PD17OCT17	2843.00	3291.63	USD	-11,215.75	
17JUL17	B 2 PD17OCT17	2822.50	3291.63	USD	23,456.50	
17JUL17	B 1 PD17OCT17	2823.50	3291.63	USD	11,703.25	
17JUL17	B 2 PD17OCT17	2821.50	3291.63	USD	23,506.50	
17JUL17	S 2 PD17OCT17	2816.00	3291.63	USD	-23,781.50	
17JUL17	S 1 PD17OCT17	2814.50	3291.63	USD	-11,928.25	
17JUL17	S 1 PD17OCT17	2824.00	3291.63	USD	-11,690.75	
17JUL17	B 1 PD17OCT17	2832.00	3291.63	USD	11,490.75	
17JUL17	B 1 PD17OCT17	2832.50	3291.63	USD	11,478.25	
17JUL17	B 2 PD17OCT17	2831.50	3291.63	USD	23,006.50	
19JUL17	B 8 PD17OCT17	2747.54	3291.63	USD	108,818.00	
	NET 0 AVG BGHT 2789.37 SOLD 2827.53				**16,217.00**	**0.00**
18JUL17	B 1 PD18OCT17	2799.50	3288.50	USD	12,225.00	
18JUL17	B 2 PD18OCT17	2792.00	3288.50	USD	24,825.00	
18JUL17	B 1 PD18OCT17	2793.00	3288.50	USD	12,387.50	
18JUL17	B 2 PD18OCT17	2795.00	3288.50	USD	24,675.00	
18JUL17	B 2 PD18OCT17	2795.50	3288.50	USD	24,650.00	
18JUL17	B 1 PD18OCT17	2796.50	3288.50	USD	12,300.00	
18JUL17	B 3 PD18OCT17	2800.00	3288.50	USD	36,637.50	
18JUL17	B 1 PD18OCT17	2801.00	3288.50	USD	12,187.50	
18JUL17	B 2 PD18OCT17	2779.00	3288.50	USD	25,475.00	
18JUL17	B 2 PD18OCT17	2777.00	3288.50	USD	25,575.00	
18JUL17	B 1 PD18OCT17	2777.50	3288.50	USD	12,775.00	
18JUL17	B 4 PD18OCT17	2778.00	3288.50	USD	51,050.00	
18JUL17	B 1 PD18OCT17	2774.50	3288.50	USD	12,850.00	
18JUL17	B 1 PD18OCT17	2775.50	3288.50	USD	12,825.00	
18JUL17	B 4 PD18OCT17	2776.50	3288.50	USD	51,200.00	
18JUL17	B 1 PD18OCT17	2773.00	3288.50	USD	12,887.50	
18JUL17	B 2 PD18OCT17	2773.50	3288.50	USD	25,750.00	
18JUL17	B 1 PD18OCT17	2775.00	3288.50	USD	12,837.50	
18JUL17	B 2 PD18OCT17	2771.00	3288.50	USD	25,875.00	
18JUL17	B 5 PD18OCT17	2771.50	3288.50	USD	64,625.00	
18JUL17	B 1 PD18OCT17	2772.50	3288.50	USD	12,900.00	
18JUL17	B 1 PD18OCT17	2798.00	3288.50	USD	12,262.50	
18JUL17	B 1 PD18OCT17	2800.50	3288.50	USD	12,200.00	
18JUL17	B 1 PD18OCT17	2774.00	3288.50	USD	12,862.50	
18JUL17	B 1 PD18OCT17	2772.00	3288.50	USD	12,912.50	
18JUL17	B 1 PD18OCT17	2781.00	3288.50	USD	12,687.50	
18JUL17	B 2 PD18OCT17	2780.00	3288.50	USD	25,425.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094E

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
18JUL17	B 2 PD18OCT17	2780.50	3288.50	USD	25,400.00	
18JUL17	B 4 PD18OCT17	2779.50	3288.50	USD	50,900.00	
18JUL17	S 1 PD18OCT17	2794.00	3288.50	USD	-12,362.50	
18JUL17	S 2 PD18OCT17	2794.50	3288.50	USD	-24,700.00	
18JUL17	S 3 PD18OCT17	2795.00	3288.50	USD	-37,012.50	
18JUL17	S 30 PD18OCT17	2793.80	3288.50	USD	-371,025.00	
19JUL17	S 8 PD18OCT17	2747.55	3288.50	USD	-108,190.00	
21JUL17	S 9 PD18OCT17	2755.50	3288.50	USD	-119,925.00	
	NET 0 AVG BGHT 2781.96 SOLD 2780.41				**-2,052.50**	**0.00**
19JUL17	S 2 PD19OCT17	2795.50	3285.50	USD	-24,500.00	
19JUL17	S 2 PD19OCT17	2796.00	3285.50	USD	-24,475.00	
19JUL17	S 1 PD19OCT17	2796.50	3285.50	USD	-12,225.00	
19JUL17	B 1 PD19OCT17	2770.00	3285.50	USD	12,887.50	
19JUL17	B 1 PD19OCT17	2769.00	3285.50	USD	12,912.50	
19JUL17	B 1 PD19OCT17	2767.50	3285.50	USD	12,950.00	
19JUL17	B 1 PD19OCT17	2755.00	3285.50	USD	13,262.50	
19JUL17	B 1 PD19OCT17	2755.50	3285.50	USD	13,250.00	
19JUL17	B 1 PD19OCT17	2756.00	3285.50	USD	13,237.50	
19JUL17	B 1 PD19OCT17	2758.00	3285.50	USD	13,187.50	
19JUL17	B 1 PD19OCT17	2759.00	3285.50	USD	13,162.50	
19JUL17	B 1 PD19OCT17	2765.50	3285.50	USD	13,000.00	
19JUL17	B 1 PD19OCT17	2747.00	3285.50	USD	13,462.50	
19JUL17	B 1 PD19OCT17	2748.50	3285.50	USD	13,425.00	
19JUL17	S 1 PD19OCT17	2748.00	3285.50	USD	-13,437.50	
19JUL17	B 1 PD19OCT17	2742.50	3285.50	USD	13,575.00	
19JUL17	B 2 PD19OCT17	2744.00	3285.50	USD	27,075.00	
19JUL17	B 2 PD19OCT17	2737.50	3285.50	USD	27,400.00	
19JUL17	B 1 PD19OCT17	2741.50	3285.50	USD	13,600.00	
19JUL17	B 1 PD19OCT17	2743.00	3285.50	USD	13,562.50	
19JUL17	S 3 PD19OCT17	2739.00	3285.50	USD	-40,987.50	
19JUL17	S 6 PD19OCT17	2735.00	3285.50	USD	-82,575.00	
21JUL17	S 3 PD19OCT17	2753.50	3285.50	USD	-39,900.00	
	NET 0 AVG BGHT 2752.28 SOLD 2756.39				**1,850.00**	**0.00**
20JUL17	S 1 PD20OCT17	2737.50	3282.50	USD	-13,625.00	
20JUL17	S 1 PD20OCT17	2738.50	3282.50	USD	-13,600.00	
20JUL17	S 1 PD20OCT17	2739.00	3282.50	USD	-13,587.50	
20JUL17	S 1 PD20OCT17	2743.50	3282.50	USD	-13,475.00	
20JUL17	S 2 PD20OCT17	2738.00	3282.50	USD	-27,225.00	
20JUL17	S 1 PD20OCT17	2752.00	3282.50	USD	-13,262.50	
20JUL17	S 3 PD20OCT17	2752.50	3282.50	USD	-39,750.00	
20JUL17	S 1 PD20OCT17	2753.50	3282.50	USD	-13,225.00	
20JUL17	S 1 PD20OCT17	2755.50	3282.50	USD	-13,175.00	
20JUL17	S 1 PD20OCT17	2756.00	3282.50	USD	-13,162.50	
20JUL17	S 2 PD20OCT17	2757.50	3282.50	USD	-26,250.00	
20JUL17	S 2 PD20OCT17	2749.00	3282.50	USD	-26,675.00	
20JUL17	S 2 PD20OCT17	2746.50	3282.50	USD	-26,800.00	
20JUL17	S 5 PD20OCT17	2741.00	3282.50	USD	-67,687.50	
20JUL17	B 1 PD20OCT17	2744.50	3282.50	USD	13,450.00	
20JUL17	S 1 PD20OCT17	2742.50	3282.50	USD	-13,500.00	
20JUL17	S 2 PD20OCT17	2744.50	3282.50	USD	-26,900.00	
20JUL17	S 2 PD20OCT17	2745.50	3282.50	USD	-26,850.00	
20JUL17	S 1 PD20OCT17	2747.00	3282.50	USD	-13,387.50	
20JUL17	S 1 PD20OCT17	2747.50	3282.50	USD	-13,375.00	
20JUL17	B 1 PD20OCT17	2727.00	3282.50	USD	13,887.50	
21JUL17	S 1 PD20OCT17	2747.00	3282.50	USD	-13,387.50	
21JUL17	S 1 PD20OCT17	2748.00	3282.50	USD	-13,362.50	
21JUL17	S 2 PD20OCT17	2749.50	3282.50	USD	-26,650.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094E

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
21JUL17	B 1 PD20OCT17	2749.50	3282.50	USD	13,325.00	
21JUL17	S 2 PD20OCT17	2757.50	3282.50	USD	-26,250.00	
21JUL17	S 1 PD20OCT17	2762.50	3282.50	USD	-13,000.00	
21JUL17	S 1 PD20OCT17	2763.00	3282.50	USD	-12,987.50	
21JUL17	S 1 PD20OCT17	2749.00	3282.50	USD	-13,337.50	
21JUL17	S 1 PD20OCT17	2751.50	3282.50	USD	-13,275.00	
21JUL17	S 1 PD20OCT17	2752.00	3282.50	USD	-13,262.50	
21JUL17	S 1 PD20OCT17	2767.00	3282.50	USD	-12,887.50	
21JUL17	S 1 PD20OCT17	2765.00	3282.50	USD	-12,937.50	
21JUL17	S 2 PD20OCT17	2761.50	3282.50	USD	-26,050.00	
21JUL17	S 4 PD20OCT17	2764.00	3282.50	USD	-51,850.00	
21JUL17	B 1 PD20OCT17	2764.00	3282.50	USD	12,962.50	
21JUL17	S 1 PD20OCT17	2766.00	3282.50	USD	-12,912.50	
21JUL17	S 1 PD20OCT17	2766.50	3282.50	USD	-12,900.00	
21JUL17	S 1 PD20OCT17	2769.50	3282.50	USD	-12,825.00	
21JUL17	S 1 PD20OCT17	2770.50	3282.50	USD	-12,800.00	
21JUL17	S 2 PD20OCT17	2771.00	3282.50	USD	-25,575.00	
21JUL17	S 1 PD20OCT17	2776.00	3282.50	USD	-12,662.50	
21JUL17	S 1 PD20OCT17	2772.50	3282.50	USD	-12,750.00	
21JUL17	S 1 PD20OCT17	2773.50	3282.50	USD	-12,725.00	
21JUL17	B 2 PD20OCT17	2768.50	3282.50	USD	25,700.00	
21JUL17	B 2 PD20OCT17	2769.50	3282.50	USD	25,650.00	
21JUL17	S 2 PD20OCT17	2771.50	3282.50	USD	-25,550.00	
21JUL17	B 1 PD20OCT17	2772.00	3282.50	USD	12,762.50	
21JUL17	B 1 PD20OCT17	2754.50	3282.50	USD	13,200.00	
21JUL17	B 1 PD20OCT17	2744.50	3282.50	USD	13,450.00	
21JUL17	B 2 PD20OCT17	2742.50	3282.50	USD	27,000.00	
21JUL17	B 1 PD20OCT17	2744.00	3282.50	USD	13,462.50	
21JUL17	B 2 PD20OCT17	2753.00	3282.50	USD	26,475.00	
21JUL17	B 1 PD20OCT17	2753.50	3282.50	USD	13,225.00	
21JUL17	B 1 PD20OCT17	2750.50	3282.50	USD	13,300.00	
21JUL17	B 2 PD20OCT17	2751.00	3282.50	USD	26,575.00	
21JUL17	B 1 PD20OCT17	2752.50	3282.50	USD	13,250.00	
21JUL17	B 22 PD20OCT17	2753.70	3282.50	USD	290,840.00	
21JUL17	B 3 PD20OCT17	2751.50	3282.50	USD	39,825.00	
24JUL17	B 7 PD20OCT17	2787.80	3282.50	USD	86,572.50	
25JUL17	B 8 PD20OCT17	2835.37	3282.50	USD	89,426.00	
	NET 0 AVG BGHT 2768.18 SOLD 2754.34				**-21,111.50**	**0.00**
24JUL17	S 3 PD24OCT17	2783.00	3280.50	USD	-37,312.50	
24JUL17	S 2 PD24OCT17	2784.00	3280.50	USD	-24,825.00	
24JUL17	S 1 PD24OCT17	2785.00	3280.50	USD	-12,387.50	
24JUL17	S 1 PD24OCT17	2787.00	3280.50	USD	-12,337.50	
24JUL17	S 1 PD24OCT17	2776.00	3280.50	USD	-12,612.50	
24JUL17	S 1 PD24OCT17	2776.50	3280.50	USD	-12,600.00	
24JUL17	S 2 PD24OCT17	2777.50	3280.50	USD	-25,150.00	
24JUL17	S 2 PD24OCT17	2779.50	3280.50	USD	-25,050.00	
24JUL17	S 2 PD24OCT17	2778.50	3280.50	USD	-25,100.00	
24JUL17	S 1 PD24OCT17	2781.00	3280.50	USD	-12,487.50	
24JUL17	S 1 PD24OCT17	2781.50	3280.50	USD	-12,475.00	
24JUL17	S 2 PD24OCT17	2780.00	3280.50	USD	-25,025.00	
24JUL17	S 3 PD24OCT17	2780.50	3280.50	USD	-37,500.00	
24JUL17	S 1 PD24OCT17	2782.00	3280.50	USD	-12,462.50	
24JUL17	S 1 PD24OCT17	2791.00	3280.50	USD	-12,237.50	
24JUL17	S 1 PD24OCT17	2792.00	3280.50	USD	-12,212.50	
24JUL17	S 1 PD24OCT17	2793.50	3280.50	USD	-12,175.00	
24JUL17	S 1 PD24OCT17	2794.00	3280.50	USD	-12,162.50	
24JUL17	S 1 PD24OCT17	2795.00	3280.50	USD	-12,137.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094E

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
24JUL17	S 1 PD24OCT17	2795.50	3280.50	USD	-12,125.00	
24JUL17	S 3 PD24OCT17	2796.00	3280.50	USD	-36,337.50	
24JUL17	S 3 PD24OCT17	2779.00	3280.50	USD	-37,612.50	
24JUL17	B 1 PD24OCT17	2775.00	3280.50	USD	12,637.50	
24JUL17	B 1 PD24OCT17	2775.50	3280.50	USD	12,625.00	
24JUL17	B 1 PD24OCT17	2767.50	3280.50	USD	12,825.00	
24JUL17	B 1 PD24OCT17	2768.50	3280.50	USD	12,800.00	
24JUL17	B 1 PD24OCT17	2769.00	3280.50	USD	12,787.50	
24JUL17	B 2 PD24OCT17	2773.00	3280.50	USD	25,375.00	
24JUL17	B 1 PD24OCT17	2773.50	3280.50	USD	12,675.00	
24JUL17	B 2 PD24OCT17	2771.50	3280.50	USD	25,450.00	
24JUL17	S 1 PD24OCT17	2782.50	3280.50	USD	-12,450.00	
24JUL17	S 4 PD24OCT17	2785.50	3280.50	USD	-49,500.00	
24JUL17	S 4 PD24OCT17	2786.50	3280.50	USD	-49,400.00	
24JUL17	B 1 PD24OCT17	2784.00	3280.50	USD	12,412.50	
24JUL17	B 2 PD24OCT17	2785.00	3280.50	USD	24,775.00	
25JUL17	B 10 PD24OCT17	2836.03	3280.50	USD	111,117.50	
26JUL17	S 2 PD24OCT17	2807.71	3280.50	USD	-23,639.50	
27JUL17	B 14 PD24OCT17	2800.70	3280.50	USD	167,930.00	
31JUL17	B 5 PD24OCT17	2793.45	3280.50	USD	60,881.25	
02AUG17	B 4 PD24OCT17	2799.00	3280.50	USD	48,150.00	
	NET 0 AVG BGHT 2800.12 SOLD 2785.44				**-16,873.25**	**0.00**
25JUL17	S 2 PD25OCT17	2805.00	3279.50	USD	-23,725.00	
25JUL17	S 1 PD25OCT17	2811.00	3279.50	USD	-11,712.50	
25JUL17	S 2 PD25OCT17	2813.00	3279.50	USD	-23,325.00	
25JUL17	S 1 PD25OCT17	2813.50	3279.50	USD	-11,650.00	
25JUL17	S 1 PD25OCT17	2816.50	3279.50	USD	-11,575.00	
25JUL17	S 1 PD25OCT17	2818.50	3279.50	USD	-11,525.00	
25JUL17	S 1 PD25OCT17	2819.50	3279.50	USD	-11,500.00	
25JUL17	S 3 PD25OCT17	2820.00	3279.50	USD	-34,462.50	
25JUL17	S 2 PD25OCT17	2821.50	3279.50	USD	-22,900.00	
25JUL17	S 1 PD25OCT17	2822.00	3279.50	USD	-11,437.50	
25JUL17	S 2 PD25OCT17	2824.50	3279.50	USD	-22,750.00	
25JUL17	S 1 PD25OCT17	2820.50	3279.50	USD	-11,475.00	
25JUL17	S 2 PD25OCT17	2821.00	3279.50	USD	-22,925.00	
25JUL17	S 2 PD25OCT17	2822.50	3279.50	USD	-22,850.00	
25JUL17	S 2 PD25OCT17	2823.00	3279.50	USD	-22,825.00	
25JUL17	S 1 PD25OCT17	2825.50	3279.50	USD	-11,350.00	
25JUL17	S 1 PD25OCT17	2826.00	3279.50	USD	-11,337.50	
25JUL17	S 2 PD25OCT17	2827.00	3279.50	USD	-22,625.00	
25JUL17	S 1 PD25OCT17	2827.50	3279.50	USD	-11,300.00	
25JUL17	S 2 PD25OCT17	2836.00	3279.50	USD	-22,175.00	
25JUL17	S 2 PD25OCT17	2837.00	3279.50	USD	-22,125.00	
25JUL17	S 1 PD25OCT17	2834.50	3279.50	USD	-11,125.00	
25JUL17	S 1 PD25OCT17	2835.00	3279.50	USD	-11,112.50	
25JUL17	S 1 PD25OCT17	2830.00	3279.50	USD	-11,237.50	
25JUL17	S 3 PD25OCT17	2805.50	3279.50	USD	-35,550.00	
25JUL17	S 2 PD25OCT17	2804.50	3279.50	USD	-23,750.00	
25JUL17	B 1 PD25OCT17	2827.50	3279.50	USD	11,300.00	
25JUL17	B 2 PD25OCT17	2825.50	3279.50	USD	22,700.00	
25JUL17	B 1 PD25OCT17	2823.00	3279.50	USD	11,412.50	
25JUL17	S 3 PD25OCT17	2831.00	3279.50	USD	-33,637.50	
25JUL17	S 1 PD25OCT17	2832.00	3279.50	USD	-11,187.50	
25JUL17	S 1 PD25OCT17	2830.50	3279.50	USD	-11,225.00	
25JUL17	S 1 PD25OCT17	2838.00	3279.50	USD	-11,037.50	
25JUL17	S 1 PD25OCT17	2839.00	3279.50	USD	-11,012.50	
25JUL17	S 1 PD25OCT17	2842.50	3279.50	USD	-10,925.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094E

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
25JUL17	S 1 PD25OCT17	2844.50	3279.50	USD	-10,875.00	
25JUL17	S 1 PD25OCT17	2846.50	3279.50	USD	-10,825.00	
25JUL17	S 1 PD25OCT17	2847.00	3279.50	USD	-10,812.50	
25JUL17	S 1 PD25OCT17	2854.50	3279.50	USD	-10,625.00	
25JUL17	B 1 PD25OCT17	2844.50	3279.50	USD	10,875.00	
25JUL17	B 1 PD25OCT17	2836.50	3279.50	USD	11,075.00	
25JUL17	B 1 PD25OCT17	2838.50	3279.50	USD	11,025.00	
25JUL17	B 1 PD25OCT17	2839.00	3279.50	USD	11,012.50	
25JUL17	B 1 PD25OCT17	2845.50	3279.50	USD	10,850.00	
25JUL17	B 1 PD25OCT17	2846.00	3279.50	USD	10,837.50	
25JUL17	B 2 PD25OCT17	2838.00	3279.50	USD	22,075.00	
25JUL17	B 6 PD25OCT17	2837.50	3279.50	USD	66,300.00	
25JUL17	B 2 PD25OCT17	2837.00	3279.50	USD	22,125.00	
25JUL17	B 10 PD25OCT17	2834.15	3279.50	USD	111,337.50	
25JUL17	B 4 PD25OCT17	2839.50	3279.50	USD	44,000.00	
25JUL17	B 3 PD25OCT17	2840.00	3279.50	USD	32,962.50	
25JUL17	B 1 PD25OCT17	2840.50	3279.50	USD	10,975.00	
25JUL17	B 1 PD25OCT17	2841.50	3279.50	USD	10,950.00	
25JUL17	B 1 PD25OCT17	2842.50	3279.50	USD	10,925.00	
25JUL17	B 3 PD25OCT17	2843.50	3279.50	USD	32,700.00	
25JUL17	B 5 PD25OCT17	2836.20	3279.50	USD	55,412.50	
26JUL17	B 2 PD25OCT17	2808.06	3279.50	USD	23,572.00	
02AUG17	B 3 PD25OCT17	2799.28	3279.50	USD	36,016.50	
	NET 0 AVG BGHT 2833.89 SOLD 2824.79				**-12,049.00**	**0.00**
26JUL17	B 2 PD26OCT17	2846.00	3278.50	USD	21,625.00	
26JUL17	B 1 PD26OCT17	2846.50	3278.50	USD	10,800.00	
26JUL17	B 2 PD26OCT17	2848.00	3278.50	USD	21,525.00	
26JUL17	B 1 PD26OCT17	2848.50	3278.50	USD	10,750.00	
26JUL17	B 1 PD26OCT17	2849.00	3278.50	USD	10,737.50	
26JUL17	B 1 PD26OCT17	2821.50	3278.50	USD	11,425.00	
26JUL17	B 1 PD26OCT17	2822.50	3278.50	USD	11,400.00	
26JUL17	B 1 PD26OCT17	2824.50	3278.50	USD	11,350.00	
26JUL17	B 3 PD26OCT17	2820.00	3278.50	USD	34,387.50	
26JUL17	B 1 PD26OCT17	2808.50	3278.50	USD	11,750.00	
26JUL17	B 1 PD26OCT17	2809.50	3278.50	USD	11,725.00	
26JUL17	B 1 PD26OCT17	2810.00	3278.50	USD	11,712.50	
26JUL17	B 1 PD26OCT17	2806.50	3278.50	USD	11,800.00	
26JUL17	B 3 PD26OCT17	2808.00	3278.50	USD	35,287.50	
26JUL17	B 3 PD26OCT17	2809.00	3278.50	USD	35,212.50	
26JUL17	B 1 PD26OCT17	2810.50	3278.50	USD	11,700.00	
26JUL17	B 1 PD26OCT17	2847.00	3278.50	USD	10,787.50	
26JUL17	B 1 PD26OCT17	2805.50	3278.50	USD	11,825.00	
26JUL17	B 1 PD26OCT17	2813.50	3278.50	USD	11,625.00	
26JUL17	B 1 PD26OCT17	2806.00	3278.50	USD	11,812.50	
26JUL17	S 2 PD26OCT17	2820.00	3278.50	USD	-22,925.00	
26JUL17	S 2 PD26OCT17	2820.50	3278.50	USD	-22,900.00	
26JUL17	S 3 PD26OCT17	2822.00	3278.50	USD	-34,237.50	
26JUL17	B 2 PD26OCT17	2805.00	3278.50	USD	23,675.00	
26JUL17	B 2 PD26OCT17	2811.50	3278.50	USD	23,350.00	
26JUL17	B 2 PD26OCT17	2814.50	3278.50	USD	23,200.00	
26JUL17	B 1 PD26OCT17	2816.00	3278.50	USD	11,562.50	
26JUL17	B 1 PD26OCT17	2817.50	3278.50	USD	11,525.00	
26JUL17	B 3 PD26OCT17	2807.50	3278.50	USD	35,325.00	
26JUL17	S 3 PD26OCT17	2812.35	3278.50	USD	-34,961.25	
26JUL17	S 1 PD26OCT17	2811.85	3278.50	USD	-11,666.25	
26JUL17	S 1 PD26OCT17	2810.35	3278.50	USD	-11,703.75	
26JUL17	S 30 PD26OCT17	2807.80	3278.50	USD	-353,025.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094E

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
27JUL17	B 3 PD26OCT17	2800.95	3278.50	USD	35,816.25	
	NET 0 AVG BGHT 2817.84 SOLD 2810.48				**-7,727.50**	**0.00**
27JUL17	B 2 PD27OCT17	2811.00	3277.50	USD	23,325.00	
27JUL17	B 1 PD27OCT17	2812.50	3277.50	USD	11,625.00	
27JUL17	B 2 PD27OCT17	2813.50	3277.50	USD	23,200.00	
27JUL17	S 1 PD27OCT17	2824.00	3277.50	USD	-11,337.50	
27JUL17	S 1 PD27OCT17	2827.50	3277.50	USD	-11,250.00	
27JUL17	S 1 PD27OCT17	2829.50	3277.50	USD	-11,200.00	
27JUL17	S 1 PD27OCT17	2830.50	3277.50	USD	-11,175.00	
27JUL17	S 1 PD27OCT17	2832.50	3277.50	USD	-11,125.00	
27JUL17	S 1 PD27OCT17	2833.00	3277.50	USD	-11,112.50	
27JUL17	S 1 PD27OCT17	2832.00	3277.50	USD	-11,137.50	
27JUL17	S 1 PD27OCT17	2833.50	3277.50	USD	-11,100.00	
27JUL17	S 2 PD27OCT17	2831.50	3277.50	USD	-22,300.00	
27JUL17	S 4 PD27OCT17	2821.00	3277.50	USD	-45,650.00	
27JUL17	S 2 PD27OCT17	2815.00	3277.50	USD	-23,125.00	
27JUL17	S 1 PD27OCT17	2830.00	3277.50	USD	-11,187.50	
27JUL17	S 1 PD27OCT17	2812.50	3277.50	USD	-11,625.00	
27JUL17	B 1 PD27OCT17	2820.00	3277.50	USD	11,437.50	
27JUL17	S 2 PD27OCT17	2820.00	3277.50	USD	-22,875.00	
27JUL17	S 2 PD27OCT17	2820.50	3277.50	USD	-22,850.00	
27JUL17	S 1 PD27OCT17	2822.00	3277.50	USD	-11,387.50	
27JUL17	S 1 PD27OCT17	2824.50	3277.50	USD	-11,325.00	
27JUL17	S 1 PD27OCT17	2825.50	3277.50	USD	-11,300.00	
27JUL17	S 3 PD27OCT17	2823.50	3277.50	USD	-34,050.00	
27JUL17	B 1 PD27OCT17	2803.50	3277.50	USD	11,850.00	
27JUL17	B 2 PD27OCT17	2804.50	3277.50	USD	23,650.00	
27JUL17	B 1 PD27OCT17	2802.50	3277.50	USD	11,875.00	
27JUL17	B 1 PD27OCT17	2789.00	3277.50	USD	12,212.50	
27JUL17	B 1 PD27OCT17	2789.50	3277.50	USD	12,200.00	
27JUL17	B 1 PD27OCT17	2792.50	3277.50	USD	12,125.00	
27JUL17	B 1 PD27OCT17	2795.50	3277.50	USD	12,050.00	
27JUL17	B 1 PD27OCT17	2796.00	3277.50	USD	12,037.50	
27JUL17	B 3 PD27OCT17	2794.50	3277.50	USD	36,225.00	
27JUL17	B 1 PD27OCT17	2793.50	3277.50	USD	12,100.00	
27JUL17	B 1 PD27OCT17	2797.00	3277.50	USD	12,012.50	
28JUL17	B 1 PD27OCT17	2776.00	3277.50	USD	12,537.50	
28JUL17	B 1 PD27OCT17	2776.50	3277.50	USD	12,525.00	
28JUL17	B 1 PD27OCT17	2781.00	3277.50	USD	12,412.50	
28JUL17	B 2 PD27OCT17	2783.00	3277.50	USD	24,725.00	
28JUL17	B 1 PD27OCT17	2773.50	3277.50	USD	12,600.00	
28JUL17	B 1 PD27OCT17	2774.50	3277.50	USD	12,575.00	
28JUL17	B 2 PD27OCT17	2777.00	3277.50	USD	25,025.00	
28JUL17	B 3 PD27OCT17	2771.50	3277.50	USD	37,950.00	
28JUL17	B 3 PD27OCT17	2769.50	3277.50	USD	38,100.00	
28JUL17	B 4 PD27OCT17	2770.00	3277.50	USD	50,750.00	
28JUL17	B 2 PD27OCT17	2759.50	3277.50	USD	25,900.00	
28JUL17	B 1 PD27OCT17	2763.00	3277.50	USD	12,862.50	
28JUL17	B 1 PD27OCT17	2763.50	3277.50	USD	12,850.00	
28JUL17	B 1 PD27OCT17	2765.00	3277.50	USD	12,812.50	
28JUL17	B 1 PD27OCT17	2768.00	3277.50	USD	12,737.50	
28JUL17	B 1 PD27OCT17	2772.50	3277.50	USD	12,625.00	
28JUL17	B 1 PD27OCT17	2773.00	3277.50	USD	12,612.50	
28JUL17	B 2 PD27OCT17	2774.00	3277.50	USD	25,175.00	
28JUL17	B 2 PD27OCT17	2772.00	3277.50	USD	25,275.00	
28JUL17	B 1 PD27OCT17	2790.00	3277.50	USD	12,187.50	
28JUL17	B 1 PD27OCT17	2789.50	3277.50	USD	12,200.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094E

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
28JUL17	B 4 PD27OCT17	2775.00	3277.50	USD	50,250.00	
28JUL17	B 2 PD27OCT17	2779.00	3277.50	USD	24,925.00	
28JUL17	B 1 PD27OCT17	2788.50	3277.50	USD	12,225.00	
28JUL17	B 1 PD27OCT17	2780.00	3277.50	USD	12,437.50	
28JUL17	B 1 PD27OCT17	2779.50	3277.50	USD	12,450.00	
28JUL17	S 35 PD27OCT17	2775.80	3277.50	USD	-438,987.50	
02AUG17	B 1 PD27OCT17	2799.83	3277.50	USD	11,941.75	
	NET 0 AVG BGHT 2783.16 SOLD 2797.44				**22,491.75**	**0.00**
31JUL17	B 1 PD31OCT17	2804.50	3275.50	USD	11,775.00	
31JUL17	B 1 PD31OCT17	2805.50	3275.50	USD	11,750.00	
31JUL17	S 1 PD31OCT17	2810.00	3275.50	USD	-11,637.50	
31JUL17	S 1 PD31OCT17	2810.50	3275.50	USD	-11,625.00	
31JUL17	B 1 PD31OCT17	2805.00	3275.50	USD	11,762.50	
31JUL17	B 1 PD31OCT17	2806.00	3275.50	USD	11,737.50	
31JUL17	B 2 PD31OCT17	2809.50	3275.50	USD	23,300.00	
31JUL17	B 1 PD31OCT17	2816.00	3275.50	USD	11,487.50	
31JUL17	B 3 PD31OCT17	2817.00	3275.50	USD	34,387.50	
31JUL17	S 4 PD31OCT17	2803.50	3275.50	USD	-47,200.00	
31JUL17	S 1 PD31OCT17	2811.00	3275.50	USD	-11,612.50	
31JUL17	S 1 PD31OCT17	2819.00	3275.50	USD	-11,412.50	
31JUL17	S 1 PD31OCT17	2819.50	3275.50	USD	-11,400.00	
31JUL17	S 1 PD31OCT17	2821.00	3275.50	USD	-11,362.50	
31JUL17	S 2 PD31OCT17	2821.50	3275.50	USD	-22,700.00	
31JUL17	S 1 PD31OCT17	2825.50	3275.50	USD	-11,250.00	
31JUL17	S 1 PD31OCT17	2826.00	3275.50	USD	-11,237.50	
31JUL17	S 2 PD31OCT17	2826.50	3275.50	USD	-22,450.00	
31JUL17	S 1 PD31OCT17	2827.50	3275.50	USD	-11,200.00	
31JUL17	B 1 PD31OCT17	2812.50	3275.50	USD	11,575.00	
31JUL17	S 1 PD31OCT17	2815.00	3275.50	USD	-11,512.50	
31JUL17	S 1 PD31OCT17	2806.00	3275.50	USD	-11,737.50	
31JUL17	B 1 PD31OCT17	2797.50	3275.50	USD	11,950.00	
31JUL17	B 1 PD31OCT17	2792.50	3275.50	USD	12,075.00	
31JUL17	B 1 PD31OCT17	2800.00	3275.50	USD	11,887.50	
31JUL17	B 1 PD31OCT17	2799.50	3275.50	USD	11,900.00	
31JUL17	B 3 PD31OCT17	2803.50	3275.50	USD	35,400.00	
31JUL17	B 1 PD31OCT17	2798.50	3275.50	USD	11,925.00	
31JUL17	B 1 PD31OCT17	2799.00	3275.50	USD	11,912.50	
31JUL17	S 1 PD31OCT17	2806.50	3275.50	USD	-11,725.00	
31JUL17	B 1 PD31OCT17	2811.50	3275.50	USD	11,600.00	
31JUL17	S 1 PD31OCT17	2800.00	3275.50	USD	-11,887.50	
31JUL17	S 1 PD31OCT17	2802.50	3275.50	USD	-11,825.00	
31JUL17	S 1 PD31OCT17	2812.50	3275.50	USD	-11,575.00	
31JUL17	B 1 PD31OCT17	2811.00	3275.50	USD	11,612.50	
31JUL17	B 2 PD31OCT17	2794.50	3275.50	USD	24,050.00	
31JUL17	B 1 PD31OCT17	2795.50	3275.50	USD	12,000.00	
31JUL17	S 13 PD31OCT17	2794.80	3275.50	USD	-156,227.50	
31JUL17	B 1 PD31OCT17	2793.00	3275.50	USD	12,062.50	
02AUG17	B 1 PD31OCT17	2800.94	3275.50	USD	11,864.00	
04AUG17	B 7 PD31OCT17	2810.70	3275.50	USD	81,340.00	
07AUG17	B 2 PD31OCT17	2856.70	3275.50	USD	20,940.00	
	NET 0 AVG BGHT 2808.51 SOLD 2807.08				**-1,283.50**	**0.00**
01AUG17	B 2 PD01NOV17	2786.00	3274.50	USD	24,425.00	
01AUG17	B 1 PD01NOV17	2789.50	3274.50	USD	12,125.00	
01AUG17	B 1 PD01NOV17	2773.50	3274.50	USD	12,525.00	
01AUG17	B 1 PD01NOV17	2774.00	3274.50	USD	12,512.50	
01AUG17	B 1 PD01NOV17	2774.50	3274.50	USD	12,500.00	
01AUG17	B 1 PD01NOV17	2769.50	3274.50	USD	12,625.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094E

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
01AUG17	B 1 PD01NOV17	2766.50	3274.50	USD	12,700.00	
01AUG17	B 1 PD01NOV17	2787.50	3274.50	USD	12,175.00	
02AUG17	S 9 PD01NOV17	2801.02	3274.50	USD	-106,533.00	
	NET 0 AVG BGHT 2778.56 SOLD 2801.02				**5,054.50**	**0.00**
02AUG17	S 1 PD02NOV17	2762.50	3273.50	USD	-12,775.00	
02AUG17	S 1 PD02NOV17	2763.00	3273.50	USD	-12,762.50	
02AUG17	S 1 PD02NOV17	2763.50	3273.50	USD	-12,750.00	
02AUG17	S 1 PD02NOV17	2769.50	3273.50	USD	-12,600.00	
02AUG17	S 1 PD02NOV17	2771.50	3273.50	USD	-12,550.00	
02AUG17	S 1 PD02NOV17	2772.50	3273.50	USD	-12,525.00	
02AUG17	S 1 PD02NOV17	2775.00	3273.50	USD	-12,462.50	
02AUG17	B 2 PD02NOV17	2768.00	3273.50	USD	25,275.00	
02AUG17	B 3 PD02NOV17	2763.00	3273.50	USD	38,287.50	
02AUG17	B 1 PD02NOV17	2766.00	3273.50	USD	12,687.50	
02AUG17	B 1 PD02NOV17	2766.50	3273.50	USD	12,675.00	
02AUG17	B 2 PD02NOV17	2767.50	3273.50	USD	25,300.00	
02AUG17	B 1 PD02NOV17	2765.50	3273.50	USD	12,700.00	
02AUG17	B 1 PD02NOV17	2764.50	3273.50	USD	12,725.00	
02AUG17	S 1 PD02NOV17	2786.50	3273.50	USD	-12,175.00	
02AUG17	S 1 PD02NOV17	2801.50	3273.50	USD	-11,800.00	
02AUG17	S 1 PD02NOV17	2802.00	3273.50	USD	-11,787.50	
02AUG17	S 1 PD02NOV17	2800.00	3273.50	USD	-11,837.50	
02AUG17	S 2 PD02NOV17	2803.50	3273.50	USD	-23,500.00	
07AUG17	B 2 PD02NOV17	2857.13	3273.50	USD	20,818.50	
27SEP17	S 6 PD02NOV17	3113.00	3273.50	USD	-24,075.00	
28SEP17	S 9 PD02NOV17	3154.50	3273.50	USD	-26,775.00	
28SEP17	S 45 PD02NOV17	3154.30	3273.50	USD	-134,100.00	
02OCT17	S 12 PD02NOV17	3242.00	3273.50	USD	-9,450.00	
03OCT17	S 20 PD02NOV17	3273.50	3273.50	USD	0.00	
	NET -92 AVG BGHT 2779.75 SOLD 3138.67				**-193,456.50**	**0.00**
03AUG17	S 1 PD03NOV17	2828.50	3272.00	USD	-11,087.50	
03AUG17	S 1 PD03NOV17	2830.00	3272.00	USD	-11,050.00	
03AUG17	S 1 PD03NOV17	2830.50	3272.00	USD	-11,037.50	
03AUG17	B 1 PD03NOV17	2811.00	3272.00	USD	11,525.00	
03AUG17	B 1 PD03NOV17	2811.50	3272.00	USD	11,512.50	
03AUG17	B 1 PD03NOV17	2813.50	3272.00	USD	11,462.50	
03AUG17	B 1 PD03NOV17	2818.00	3272.00	USD	11,350.00	
03AUG17	S 1 PD03NOV17	2817.00	3272.00	USD	-11,375.00	
03AUG17	S 1 PD03NOV17	2817.50	3272.00	USD	-11,362.50	
03AUG17	S 1 PD03NOV17	2818.00	3272.00	USD	-11,350.00	
03AUG17	S 1 PD03NOV17	2821.00	3272.00	USD	-11,275.00	
03AUG17	S 5 PD03NOV17	2819.50	3272.00	USD	-56,562.50	
03AUG17	S 1 PD03NOV17	2820.00	3272.00	USD	-11,300.00	
03AUG17	S 4 PD03NOV17	2829.00	3272.00	USD	-44,300.00	
03AUG17	S 2 PD03NOV17	2805.50	3272.00	USD	-23,325.00	
03AUG17	S 1 PD03NOV17	2806.50	3272.00	USD	-11,637.50	
03AUG17	S 1 PD03NOV17	2807.50	3272.00	USD	-11,612.50	
03AUG17	B 1 PD03NOV17	2794.00	3272.00	USD	11,950.00	
03AUG17	B 1 PD03NOV17	2794.50	3272.00	USD	11,937.50	
04AUG17	S 1 PD03NOV17	2805.50	3272.00	USD	-11,662.50	
04AUG17	S 5 PD03NOV17	2806.00	3272.00	USD	-58,250.00	
04AUG17	S 1 PD03NOV17	2807.50	3272.00	USD	-11,612.50	
04AUG17	S 1 PD03NOV17	2812.00	3272.00	USD	-11,500.00	
04AUG17	S 1 PD03NOV17	2822.00	3272.00	USD	-11,250.00	
04AUG17	S 3 PD03NOV17	2828.00	3272.00	USD	-33,300.00	
04AUG17	S 1 PD03NOV17	2829.00	3272.00	USD	-11,075.00	
04AUG17	S 1 PD03NOV17	2831.50	3272.00	USD	-11,012.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094E

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
04AUG17	S 1 PD03NOV17	2832.00	3272.00	USD	-11,000.00	
04AUG17	S 2 PD03NOV17	2833.00	3272.00	USD	-21,950.00	
04AUG17	S 1 PD03NOV17	2825.00	3272.00	USD	-11,175.00	
04AUG17	B 1 PD03NOV17	2812.00	3272.00	USD	11,500.00	
04AUG17	B 1 PD03NOV17	2814.00	3272.00	USD	11,450.00	
04AUG17	B 3 PD03NOV17	2816.50	3272.00	USD	34,162.50	
04AUG17	B 2 PD03NOV17	2817.00	3272.00	USD	22,750.00	
04AUG17	B 3 PD03NOV17	2809.50	3272.00	USD	34,687.50	
04AUG17	B 2 PD03NOV17	2804.00	3272.00	USD	23,400.00	
04AUG17	B 1 PD03NOV17	2797.00	3272.00	USD	11,875.00	
04AUG17	B 2 PD03NOV17	2802.00	3272.00	USD	23,500.00	
04AUG17	B 1 PD03NOV17	2802.50	3272.00	USD	11,737.50	
04AUG17	B 2 PD03NOV17	2803.00	3272.00	USD	23,450.00	
04AUG17	B 1 PD03NOV17	2798.50	3272.00	USD	11,837.50	
04AUG17	S 1 PD03NOV17	2816.00	3272.00	USD	-11,400.00	
04AUG17	S 2 PD03NOV17	2816.50	3272.00	USD	-22,775.00	
04AUG17	S 2 PD03NOV17	2817.00	3272.00	USD	-22,750.00	
04AUG17	B 2 PD03NOV17	2813.50	3272.00	USD	22,925.00	
04AUG17	S 4 PD03NOV17	2813.50	3272.00	USD	-45,850.00	
04AUG17	S 2 PD03NOV17	2814.50	3272.00	USD	-22,875.00	
04AUG17	S 2 PD03NOV17	2812.50	3272.00	USD	-22,975.00	
04AUG17	S 1 PD03NOV17	2814.00	3272.00	USD	-11,450.00	
04AUG17	S 3 PD03NOV17	2815.00	3272.00	USD	-34,275.00	
04AUG17	S 1 PD03NOV17	2815.50	3272.00	USD	-11,412.50	
04AUG17	S 1 PD03NOV17	2818.00	3272.00	USD	-11,350.00	
07AUG17	B 19 PD03NOV17	2857.34	3272.00	USD	196,963.50	
08AUG17	B 1 PD03NOV17	2924.70	3272.00	USD	8,682.50	
09AUG17	B 11 PD03NOV17	2930.53	3272.00	USD	93,904.25	
	NET 0 AVG BGHT 2849.54 SOLD 2818.09				**-45,612.25**	**0.00**
07AUG17	S 1 PD07NOV17	2817.00	3272.00	USD	-11,375.00	
07AUG17	S 3 PD07NOV17	2819.50	3272.00	USD	-33,937.50	
07AUG17	S 2 PD07NOV17	2820.00	3272.00	USD	-22,600.00	
07AUG17	S 1 PD07NOV17	2822.00	3272.00	USD	-11,250.00	
07AUG17	B 1 PD07NOV17	2826.00	3272.00	USD	11,150.00	
07AUG17	S 3 PD07NOV17	2826.50	3272.00	USD	-33,412.50	
07AUG17	S 1 PD07NOV17	2827.50	3272.00	USD	-11,112.50	
07AUG17	S 1 PD07NOV17	2826.00	3272.00	USD	-11,150.00	
07AUG17	S 3 PD07NOV17	2825.50	3272.00	USD	-33,487.50	
07AUG17	S 1 PD07NOV17	2831.50	3272.00	USD	-11,012.50	
07AUG17	S 3 PD07NOV17	2831.00	3272.00	USD	-33,075.00	
07AUG17	S 1 PD07NOV17	2833.00	3272.00	USD	-10,975.00	
07AUG17	S 4 PD07NOV17	2821.00	3272.00	USD	-45,100.00	
07AUG17	S 1 PD07NOV17	2859.00	3272.00	USD	-10,325.00	
07AUG17	S 1 PD07NOV17	2862.00	3272.00	USD	-10,250.00	
07AUG17	S 1 PD07NOV17	2863.00	3272.00	USD	-10,225.00	
07AUG17	S 1 PD07NOV17	2864.50	3272.00	USD	-10,187.50	
07AUG17	B 1 PD07NOV17	2862.00	3272.00	USD	10,250.00	
07AUG17	S 1 PD07NOV17	2863.50	3272.00	USD	-10,212.50	
07AUG17	S 1 PD07NOV17	2865.50	3272.00	USD	-10,162.50	
07AUG17	S 1 PD07NOV17	2874.50	3272.00	USD	-9,937.50	
07AUG17	S 1 PD07NOV17	2875.00	3272.00	USD	-9,925.00	
07AUG17	B 1 PD07NOV17	2878.50	3272.00	USD	9,837.50	
07AUG17	B 1 PD07NOV17	2883.50	3272.00	USD	9,712.50	
07AUG17	B 1 PD07NOV17	2884.50	3272.00	USD	9,687.50	
07AUG17	S 2 PD07NOV17	2881.00	3272.00	USD	-19,550.00	
07AUG17	B 1 PD07NOV17	2875.00	3272.00	USD	9,925.00	
07AUG17	B 1 PD07NOV17	2879.00	3272.00	USD	9,825.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094E

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
07AUG17	B 2 PD07NOV17	2880.50	3272.00	USD	19,575.00	
07AUG17	B 1 PD07NOV17	2881.00	3272.00	USD	9,775.00	
07AUG17	B 1 PD07NOV17	2864.00	3272.00	USD	10,200.00	
07AUG17	B 1 PD07NOV17	2864.50	3272.00	USD	10,187.50	
07AUG17	B 3 PD07NOV17	2871.00	3272.00	USD	30,075.00	
07AUG17	B 1 PD07NOV17	2868.00	3272.00	USD	10,100.00	
07AUG17	B 1 PD07NOV17	2868.50	3272.00	USD	10,087.50	
07AUG17	B 2 PD07NOV17	2869.50	3272.00	USD	20,125.00	
07AUG17	B 3 PD07NOV17	2871.50	3272.00	USD	30,037.50	
07AUG17	B 2 PD07NOV17	2872.00	3272.00	USD	20,000.00	
07AUG17	B 1 PD07NOV17	2872.50	3272.00	USD	9,987.50	
09AUG17	B 9 PD07NOV17	2931.31	3272.00	USD	76,655.25	
	NET 0 AVG BGHT 2887.07 SOLD 2837.57				**-42,069.75**	**0.00**
08AUG17	B 1 PD08NOV17	2871.00	3272.00	USD	10,025.00	
08AUG17	B 1 PD08NOV17	2872.50	3272.00	USD	9,987.50	
08AUG17	B 1 PD08NOV17	2873.50	3272.00	USD	9,962.50	
08AUG17	B 2 PD08NOV17	2874.00	3272.00	USD	19,900.00	
08AUG17	B 2 PD08NOV17	2875.00	3272.00	USD	19,850.00	
08AUG17	B 1 PD08NOV17	2878.50	3272.00	USD	9,837.50	
08AUG17	S 1 PD08NOV17	2875.00	3272.00	USD	-9,925.00	
08AUG17	S 1 PD08NOV17	2877.00	3272.00	USD	-9,875.00	
08AUG17	S 1 PD08NOV17	2877.50	3272.00	USD	-9,862.50	
08AUG17	S 2 PD08NOV17	2872.50	3272.00	USD	-19,975.00	
08AUG17	S 1 PD08NOV17	2873.00	3272.00	USD	-9,975.00	
08AUG17	S 1 PD08NOV17	2875.50	3272.00	USD	-9,912.50	
08AUG17	S 1 PD08NOV17	2876.00	3272.00	USD	-9,900.00	
08AUG17	S 3 PD08NOV17	2882.00	3272.00	USD	-29,250.00	
08AUG17	S 2 PD08NOV17	2886.00	3272.00	USD	-19,300.00	
08AUG17	S 2 PD08NOV17	2887.50	3272.00	USD	-19,225.00	
08AUG17	S 1 PD08NOV17	2883.50	3272.00	USD	-9,712.50	
08AUG17	B 2 PD08NOV17	2870.50	3272.00	USD	20,075.00	
08AUG17	S 1 PD08NOV17	2884.50	3272.00	USD	-9,687.50	
08AUG17	S 2 PD08NOV17	2880.00	3272.00	USD	-19,600.00	
08AUG17	S 1 PD08NOV17	2882.50	3272.00	USD	-9,737.50	
08AUG17	S 1 PD08NOV17	2876.50	3272.00	USD	-9,887.50	
08AUG17	B 3 PD08NOV17	2877.50	3272.00	USD	29,587.50	
08AUG17	S 3 PD08NOV17	2878.50	3272.00	USD	-29,512.50	
08AUG17	S 1 PD08NOV17	2879.00	3272.00	USD	-9,825.00	
08AUG17	S 2 PD08NOV17	2880.50	3272.00	USD	-19,575.00	
08AUG17	S 1 PD08NOV17	2904.00	3272.00	USD	-9,200.00	
08AUG17	S 1 PD08NOV17	2919.00	3272.00	USD	-8,825.00	
08AUG17	S 2 PD08NOV17	2921.00	3272.00	USD	-17,550.00	
08AUG17	S 1 PD08NOV17	2921.50	3272.00	USD	-8,762.50	
08AUG17	S 3 PD08NOV17	2881.00	3272.00	USD	-29,325.00	
08AUG17	S 1 PD08NOV17	2931.00	3272.00	USD	-8,525.00	
08AUG17	S 3 PD08NOV17	2926.00	3272.00	USD	-25,950.00	
08AUG17	S 3 PD08NOV17	2929.00	3272.00	USD	-25,725.00	
08AUG17	S 2 PD08NOV17	2930.50	3272.00	USD	-17,075.00	
08AUG17	S 1 PD08NOV17	2933.00	3272.00	USD	-8,475.00	
08AUG17	S 1 PD08NOV17	2934.00	3272.00	USD	-8,450.00	
08AUG17	S 1 PD08NOV17	2934.50	3272.00	USD	-8,437.50	
08AUG17	S 1 PD08NOV17	2938.00	3272.00	USD	-8,350.00	
08AUG17	S 2 PD08NOV17	2925.50	3272.00	USD	-17,325.00	
08AUG17	S 1 PD08NOV17	2929.50	3272.00	USD	-8,562.50	
09AUG17	B 25 PD08NOV17	2931.51	3272.00	USD	212,806.25	
10AUG17	B 2 PD08NOV17	2937.84	3272.00	USD	16,708.00	
11AUG17	B 8 PD08NOV17	2896.14	3272.00	USD	75,172.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094E

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
15AUG17	B 3 PD08NOV17	2958.76	3272.00	USD	23,493.00	
	NET 0 AVG BGHT 2913.25 SOLD 2899.24				**-17,870.75**	**0.00**
09AUG17	S 1 PD09NOV17	2936.00	3272.00	USD	-8,400.00	
09AUG17	S 1 PD09NOV17	2936.50	3272.00	USD	-8,387.50	
09AUG17	S 1 PD09NOV17	2943.00	3272.00	USD	-8,225.00	
09AUG17	S 2 PD09NOV17	2943.50	3272.00	USD	-16,425.00	
09AUG17	S 1 PD09NOV17	2944.00	3272.00	USD	-8,200.00	
09AUG17	S 1 PD09NOV17	2945.00	3272.00	USD	-8,175.00	
09AUG17	S 1 PD09NOV17	2945.50	3272.00	USD	-8,162.50	
09AUG17	S 1 PD09NOV17	2950.00	3272.00	USD	-8,050.00	
09AUG17	S 1 PD09NOV17	2951.50	3272.00	USD	-8,012.50	
09AUG17	S 1 PD09NOV17	2953.00	3272.00	USD	-7,975.00	
09AUG17	S 1 PD09NOV17	2955.50	3272.00	USD	-7,912.50	
09AUG17	S 1 PD09NOV17	2968.50	3272.00	USD	-7,587.50	
09AUG17	S 1 PD09NOV17	2970.00	3272.00	USD	-7,550.00	
09AUG17	S 2 PD09NOV17	2975.50	3272.00	USD	-14,825.00	
09AUG17	S 1 PD09NOV17	2976.00	3272.00	USD	-7,400.00	
09AUG17	S 3 PD09NOV17	2976.50	3272.00	USD	-22,162.50	
09AUG17	S 1 PD09NOV17	2977.00	3272.00	USD	-7,375.00	
09AUG17	S 2 PD09NOV17	2979.50	3272.00	USD	-14,625.00	
09AUG17	S 1 PD09NOV17	2982.00	3272.00	USD	-7,250.00	
09AUG17	S 2 PD09NOV17	2937.50	3272.00	USD	-16,725.00	
09AUG17	S 3 PD09NOV17	2937.00	3272.00	USD	-25,125.00	
09AUG17	S 2 PD09NOV17	2978.00	3272.00	USD	-14,700.00	
09AUG17	S 1 PD09NOV17	2964.00	3272.00	USD	-7,700.00	
09AUG17	S 1 PD09NOV17	2966.00	3272.00	USD	-7,650.00	
09AUG17	B 1 PD09NOV17	2940.50	3272.00	USD	8,287.50	
09AUG17	B 1 PD09NOV17	2942.00	3272.00	USD	8,250.00	
09AUG17	B 1 PD09NOV17	2945.00	3272.00	USD	8,175.00	
09AUG17	B 1 PD09NOV17	2957.50	3272.00	USD	7,862.50	
09AUG17	S 2 PD09NOV17	2956.00	3272.00	USD	-15,800.00	
09AUG17	B 4 PD09NOV17	2934.00	3272.00	USD	33,800.00	
09AUG17	B 1 PD09NOV17	2937.00	3272.00	USD	8,375.00	
15AUG17	B 7 PD09NOV17	2958.89	3272.00	USD	54,794.25	
16AUG17	B 2 PD09NOV17	3120.01	3272.00	USD	7,599.50	
18AUG17	B 14 PD09NOV17	3125.69	3272.00	USD	51,208.50	
29AUG17	B 3 PD09NOV17	3109.20	3272.00	USD	12,210.00	
	NET 0 AVG BGHT 3042.79 SOLD 2958.40				**-73,837.75**	**0.00**
10AUG17	B 1 PD10NOV17	2945.50	3272.00	USD	8,162.50	
10AUG17	B 1 PD10NOV17	2946.00	3272.00	USD	8,150.00	
10AUG17	B 1 PD10NOV17	2946.50	3272.00	USD	8,137.50	
10AUG17	B 1 PD10NOV17	2947.00	3272.00	USD	8,125.00	
10AUG17	S 2 PD10NOV17	2947.50	3272.00	USD	-16,225.00	
10AUG17	S 1 PD10NOV17	2948.50	3272.00	USD	-8,087.50	
10AUG17	S 1 PD10NOV17	2949.50	3272.00	USD	-8,062.50	
10AUG17	B 2 PD10NOV17	2947.50	3272.00	USD	16,225.00	
10AUG17	B 1 PD10NOV17	2920.50	3272.00	USD	8,787.50	
10AUG17	B 1 PD10NOV17	2924.00	3272.00	USD	8,700.00	
10AUG17	S 1 PD10NOV17	2924.00	3272.00	USD	-8,700.00	
10AUG17	B 1 PD10NOV17	2933.00	3272.00	USD	8,475.00	
10AUG17	B 2 PD10NOV17	2930.50	3272.00	USD	17,075.00	
10AUG17	B 1 PD10NOV17	2929.00	3272.00	USD	8,575.00	
10AUG17	B 1 PD10NOV17	2929.50	3272.00	USD	8,562.50	
11AUG17	B 1 PD10NOV17	2901.00	3272.00	USD	9,275.00	
11AUG17	B 1 PD10NOV17	2909.00	3272.00	USD	9,075.00	
11AUG17	S 1 PD10NOV17	2905.00	3272.00	USD	-9,175.00	
11AUG17	S 1 PD10NOV17	2908.00	3272.00	USD	-9,100.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094E

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT								
11AUG17	S	1	PD10NOV17		2910.50	3272.00	USD	-9,037.50	
11AUG17	B	1	PD10NOV17		2889.00	3272.00	USD	9,575.00	
11AUG17	B	2	PD10NOV17		2890.50	3272.00	USD	19,075.00	
11AUG17	B	1	PD10NOV17		2891.00	3272.00	USD	9,525.00	
11AUG17	B	1	PD10NOV17		2892.50	3272.00	USD	9,487.50	
11AUG17	B	1	PD10NOV17		2894.50	3272.00	USD	9,437.50	
11AUG17	B	1	PD10NOV17		2896.00	3272.00	USD	9,400.00	
11AUG17	B	1	PD10NOV17		2903.00	3272.00	USD	9,225.00	
11AUG17	B	2	PD10NOV17		2904.50	3272.00	USD	18,375.00	
11AUG17	B	2	PD10NOV17		2907.50	3272.00	USD	18,225.00	
11AUG17	S	1	PD10NOV17		2893.00	3272.00	USD	-9,475.00	
11AUG17	B	2	PD10NOV17		2897.00	3272.00	USD	18,750.00	
11AUG17	B	1	PD10NOV17		2900.00	3272.00	USD	9,300.00	
11AUG17	S	1	PD10NOV17		2897.00	3272.00	USD	-9,375.00	
11AUG17	S	1	PD10NOV17		2926.00	3272.00	USD	-8,650.00	
11AUG17	S	2	PD10NOV17		2899.00	3272.00	USD	-18,650.00	
11AUG17	S	1	PD10NOV17		2909.50	3272.00	USD	-9,062.50	
11AUG17	S	1	PD10NOV17		2925.00	3272.00	USD	-8,675.00	
11AUG17	B	1	PD10NOV17		2906.00	3272.00	USD	9,150.00	
11AUG17	B	1	PD10NOV17		2909.50	3272.00	USD	9,062.50	
11AUG17	B	3	PD10NOV17		2911.00	3272.00	USD	27,075.00	
11AUG17	B	1	PD10NOV17		2905.50	3272.00	USD	9,162.50	
11AUG17	B	1	PD10NOV17		2891.50	3272.00	USD	9,512.50	
11AUG17	B	1	PD10NOV17		2895.50	3272.00	USD	9,412.50	
11AUG17	B	2	PD10NOV17		2898.50	3272.00	USD	18,675.00	
11AUG17	B	1	PD10NOV17		2899.50	3272.00	USD	9,312.50	
11AUG17	S	8	PD10NOV17		2896.30	3272.00	USD	-75,140.00	
11AUG17	S	3	PD10NOV17		2902.00	3272.00	USD	-27,750.00	
14AUG17	S	15	PD10NOV17		2916.16	3272.00	USD	-133,440.00	
	NET	**0**	**AVG BGHT 2911.94**	**SOLD 2912.39**				**457.50**	**0.00**
14AUG17	S	2	PD14NOV17		2917.00	3270.33	USD	-17,666.50	
14AUG17	S	1	PD14NOV17		2920.00	3270.33	USD	-8,758.25	
14AUG17	S	1	PD14NOV17		2918.00	3270.33	USD	-8,808.25	
14AUG17	S	2	PD14NOV17		2914.00	3270.33	USD	-17,816.50	
14AUG17	B	1	PD14NOV17		2899.50	3270.33	USD	9,270.75	
14AUG17	B	2	PD14NOV17		2905.50	3270.33	USD	18,241.50	
14AUG17	S	1	PD14NOV17		2913.50	3270.33	USD	-8,920.75	
14AUG17	S	1	PD14NOV17		2915.50	3270.33	USD	-8,870.75	
14AUG17	S	8	PD14NOV17		2916.80	3270.33	USD	-70,706.00	
14AUG17	S	3	PD14NOV17		2914.50	3270.33	USD	-26,687.25	
14AUG17	S	6	PD14NOV17		2915.00	3270.33	USD	-53,299.50	
29AUG17	B	7	PD14NOV17		3109.95	3270.33	USD	28,066.50	
31AUG17	B	2	PD14NOV17		3145.45	3270.33	USD	6,244.00	
05SEP17	B	13	PD14NOV17		3138.95	3270.33	USD	42,698.50	
	NET	**0**	**AVG BGHT 3103.10**	**SOLD 2915.88**				**-117,012.50**	**0.00**
16AUG17	S	1	PD16NOV17		2980.00	3267.50	USD	-7,187.50	
16AUG17	S	2	PD16NOV17		2982.00	3267.50	USD	-14,275.00	
16AUG17	S	1	PD16NOV17		2984.00	3267.50	USD	-7,087.50	
16AUG17	S	1	PD16NOV17		2985.00	3267.50	USD	-7,062.50	
16AUG17	S	1	PD16NOV17		2986.00	3267.50	USD	-7,037.50	
16AUG17	S	1	PD16NOV17		2986.50	3267.50	USD	-7,025.00	
16AUG17	S	2	PD16NOV17		2988.50	3267.50	USD	-13,950.00	
16AUG17	S	1	PD16NOV17		2990.00	3267.50	USD	-6,937.50	
16AUG17	S	1	PD16NOV17		2991.50	3267.50	USD	-6,900.00	
16AUG17	S	1	PD16NOV17		2995.00	3267.50	USD	-6,812.50	
16AUG17	S	1	PD16NOV17		2995.50	3267.50	USD	-6,800.00	
16AUG17	S	1	PD16NOV17		2997.50	3267.50	USD	-6,750.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094E

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
16AUG17	S 1 PD16NOV17	3011.00	3267.50	USD	-6,412.50	
16AUG17	S 1 PD16NOV17	3013.50	3267.50	USD	-6,350.00	
16AUG17	S 1 PD16NOV17	3010.00	3267.50	USD	-6,437.50	
16AUG17	S 1 PD16NOV17	3012.50	3267.50	USD	-6,375.00	
16AUG17	S 2 PD16NOV17	3013.00	3267.50	USD	-12,725.00	
16AUG17	S 1 PD16NOV17	3012.00	3267.50	USD	-6,387.50	
16AUG17	S 2 PD16NOV17	3019.50	3267.50	USD	-12,400.00	
16AUG17	S 2 PD16NOV17	3020.50	3267.50	USD	-12,350.00	
16AUG17	S 2 PD16NOV17	2981.00	3267.50	USD	-14,325.00	
16AUG17	S 1 PD16NOV17	3030.50	3267.50	USD	-5,925.00	
16AUG17	S 1 PD16NOV17	3031.00	3267.50	USD	-5,912.50	
16AUG17	S 1 PD16NOV17	3033.00	3267.50	USD	-5,862.50	
16AUG17	S 1 PD16NOV17	3034.50	3267.50	USD	-5,825.00	
16AUG17	S 1 PD16NOV17	3035.50	3267.50	USD	-5,800.00	
16AUG17	B 1 PD16NOV17	3106.50	3267.50	USD	4,025.00	
16AUG17	B 1 PD16NOV17	3111.50	3267.50	USD	3,900.00	
16AUG17	B 1 PD16NOV17	3113.50	3267.50	USD	3,850.00	
16AUG17	B 2 PD16NOV17	3107.00	3267.50	USD	8,025.00	
16AUG17	B 1 PD16NOV17	3108.00	3267.50	USD	3,987.50	
16AUG17	B 1 PD16NOV17	3108.50	3267.50	USD	3,975.00	
16AUG17	B 1 PD16NOV17	3109.50	3267.50	USD	3,950.00	
16AUG17	B 5 PD16NOV17	3020.16	3267.50	USD	30,917.50	
16AUG17	B 5 PD16NOV17	3019.66	3267.50	USD	30,980.00	
16AUG17	B 1 PD16NOV17	3109.00	3267.50	USD	3,962.50	
16AUG17	B 1 PD16NOV17	3110.50	3267.50	USD	3,925.00	
16AUG17	B 1 PD16NOV17	3111.00	3267.50	USD	3,912.50	
16AUG17	B 1 PD16NOV17	3119.00	3267.50	USD	3,712.50	
16AUG17	B 1 PD16NOV17	3119.50	3267.50	USD	3,700.00	
16AUG17	B 1 PD16NOV17	3120.50	3267.50	USD	3,675.00	
16AUG17	B 1 PD16NOV17	3121.00	3267.50	USD	3,662.50	
16AUG17	B 4 PD16NOV17	3120.00	3267.50	USD	14,750.00	
29AUG17	B 3 PD16NOV17	3107.50	3267.50	USD	12,000.00	
	NET 0 AVG BGHT 3083.86 SOLD 3003.86				**-64,002.50**	**0.00**
17AUG17	B 2 PD17NOV17	3141.00	3265.50	USD	6,225.00	
17AUG17	B 2 PD17NOV17	3141.50	3265.50	USD	6,200.00	
17AUG17	B 7 PD17NOV17	3142.00	3265.50	USD	21,612.50	
17AUG17	B 1 PD17NOV17	3114.00	3265.50	USD	3,787.50	
17AUG17	B 1 PD17NOV17	3115.00	3265.50	USD	3,762.50	
17AUG17	B 1 PD17NOV17	3118.50	3265.50	USD	3,675.00	
17AUG17	B 1 PD17NOV17	3119.50	3265.50	USD	3,650.00	
17AUG17	S 1 PD17NOV17	3105.50	3265.50	USD	-4,000.00	
17AUG17	B 1 PD17NOV17	3094.00	3265.50	USD	4,287.50	
17AUG17	S 1 PD17NOV17	3087.00	3265.50	USD	-4,462.50	
17AUG17	S 1 PD17NOV17	3090.00	3265.50	USD	-4,387.50	
17AUG17	S 1 PD17NOV17	3092.00	3265.50	USD	-4,337.50	
17AUG17	B 1 PD17NOV17	3103.00	3265.50	USD	4,062.50	
17AUG17	B 1 PD17NOV17	3056.50	3265.50	USD	5,225.00	
18AUG17	B 1 PD17NOV17	3094.50	3265.50	USD	4,275.00	
18AUG17	S 1 PD17NOV17	3093.00	3265.50	USD	-4,312.50	
18AUG17	B 1 PD17NOV17	3114.50	3265.50	USD	3,775.00	
18AUG17	B 1 PD17NOV17	3111.50	3265.50	USD	3,850.00	
18AUG17	B 1 PD17NOV17	3112.00	3265.50	USD	3,837.50	
18AUG17	S 2 PD17NOV17	3109.50	3265.50	USD	-7,800.00	
18AUG17	S 2 PD17NOV17	3094.50	3265.50	USD	-8,550.00	
18AUG17	S 1 PD17NOV17	3118.00	3265.50	USD	-3,687.50	
18AUG17	S 1 PD17NOV17	3120.50	3265.50	USD	-3,625.00	
18AUG17	S 1 PD17NOV17	3124.50	3265.50	USD	-3,525.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094E

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
18AUG17	S	1	PD17NOV17	3126.00	3265.50	USD	-3,487.50	
18AUG17	S	1	PD17NOV17	3123.00	3265.50	USD	-3,562.50	
18AUG17	S	2	PD17NOV17	3124.00	3265.50	USD	-7,075.00	
18AUG17	B	1	PD17NOV17	3113.50	3265.50	USD	3,800.00	
18AUG17	B	1	PD17NOV17	3114.00	3265.50	USD	3,787.50	
18AUG17	B	1	PD17NOV17	3109.50	3265.50	USD	3,900.00	
18AUG17	S	1	PD17NOV17	3125.50	3265.50	USD	-3,500.00	
18AUG17	S	14	PD17NOV17	3123.80	3265.50	USD	-49,595.00	
23AUG17	B	2	PD17NOV17	3094.70	3265.50	USD	8,540.00	
29AUG17	B	4	PD17NOV17	3107.00	3265.50	USD	15,850.00	
	NET	**0**	**AVG BGHT 3118.27**	**SOLD 3115.94**			**-1,805.00**	**0.00**
21AUG17	B	2	PD21NOV17	3174.50	3264.83	USD	4,516.50	
21AUG17	B	1	PD21NOV17	3127.00	3264.83	USD	3,445.75	
29AUG17	S	3	PD21NOV17	3107.94	3264.83	USD	-11,766.75	
	NET	**0**	**AVG BGHT 3158.67**	**SOLD 3107.94**			**-3,804.50**	**0.00**
22AUG17	S	1	PD22NOV17	3118.00	3264.50	USD	-3,662.50	
22AUG17	S	1	PD22NOV17	3121.00	3264.50	USD	-3,587.50	
22AUG17	B	1	PD22NOV17	3101.50	3264.50	USD	4,075.00	
22AUG17	B	1	PD22NOV17	3104.00	3264.50	USD	4,012.50	
22AUG17	S	1	PD22NOV17	3105.00	3264.50	USD	-3,987.50	
22AUG17	S	2	PD22NOV17	3120.50	3264.50	USD	-7,200.00	
22AUG17	S	1	PD22NOV17	3123.00	3264.50	USD	-3,537.50	
22AUG17	S	1	PD22NOV17	3119.00	3264.50	USD	-3,637.50	
22AUG17	S	2	PD22NOV17	3121.50	3264.50	USD	-7,150.00	
22AUG17	S	1	PD22NOV17	3119.50	3264.50	USD	-3,625.00	
23AUG17	B	8	PD22NOV17	3096.13	3264.50	USD	33,674.00	
	NET	**0**	**AVG BGHT 3097.45**	**SOLD 3118.95**			**5,374.00**	**0.00**
23AUG17	B	1	PD24NOV17	3118.00	3264.71	USD	3,667.75	
23AUG17	B	1	PD24NOV17	3118.50	3264.71	USD	3,655.25	
23AUG17	B	1	PD24NOV17	3120.50	3264.71	USD	3,605.25	
23AUG17	S	2	PD24NOV17	3118.50	3264.71	USD	-7,310.50	
23AUG17	S	2	PD24NOV17	3119.00	3264.71	USD	-7,285.50	
23AUG17	S	1	PD24NOV17	3139.00	3264.71	USD	-3,142.75	
23AUG17	S	2	PD24NOV17	3143.00	3264.71	USD	-6,085.50	
23AUG17	B	1	PD24NOV17	3115.00	3264.71	USD	3,742.75	
23AUG17	B	1	PD24NOV17	3115.50	3264.71	USD	3,730.25	
23AUG17	B	1	PD24NOV17	3116.50	3264.71	USD	3,705.25	
23AUG17	B	1	PD24NOV17	3107.00	3264.71	USD	3,942.75	
23AUG17	B	1	PD24NOV17	3108.00	3264.71	USD	3,917.75	
23AUG17	B	1	PD24NOV17	3090.00	3264.71	USD	4,367.75	
23AUG17	B	3	PD24NOV17	3094.00	3264.71	USD	12,803.25	
23AUG17	B	1	PD24NOV17	3097.50	3264.71	USD	4,180.25	
23AUG17	B	1	PD24NOV17	3098.00	3264.71	USD	4,167.75	
23AUG17	B	1	PD24NOV17	3096.50	3264.71	USD	4,205.25	
23AUG17	B	1	PD24NOV17	3099.50	3264.71	USD	4,130.25	
23AUG17	S	1	PD24NOV17	3099.00	3264.71	USD	-4,142.75	
23AUG17	S	4	PD24NOV17	3100.00	3264.71	USD	-16,471.00	
23AUG17	S	2	PD24NOV17	3101.00	3264.71	USD	-8,185.50	
24AUG17	B	2	PD24NOV17	3104.50	3264.71	USD	8,010.50	
24AUG17	B	2	PD24NOV17	3107.50	3264.71	USD	7,860.50	
24AUG17	S	1	PD24NOV17	3108.50	3264.71	USD	-3,905.25	
24AUG17	B	1	PD24NOV17	3106.50	3264.71	USD	3,955.25	
24AUG17	S	1	PD24NOV17	3106.50	3264.71	USD	-3,955.25	
24AUG17	S	1	PD24NOV17	3105.00	3264.71	USD	-3,992.75	
24AUG17	S	1	PD24NOV17	3107.00	3264.71	USD	-3,942.75	
24AUG17	B	1	PD24NOV17	3109.00	3264.71	USD	3,892.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094E

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
24AUG17	S 1 PD24NOV17	3111.00	3264.71	USD	-3,842.75	
24AUG17	B 2 PD24NOV17	3105.50	3264.71	USD	7,960.50	
24AUG17	B 1 PD24NOV17	3106.00	3264.71	USD	3,967.75	
25AUG17	S 1 PD24NOV17	3126.00	3264.71	USD	-3,467.75	
25AUG17	S 2 PD24NOV17	3129.00	3264.71	USD	-6,785.50	
25AUG17	B 2 PD24NOV17	3072.50	3264.71	USD	9,610.50	
25AUG17	B 1 PD24NOV17	3065.00	3264.71	USD	4,992.75	
25AUG17	B 2 PD24NOV17	3066.50	3264.71	USD	9,910.50	
25AUG17	B 1 PD24NOV17	3052.50	3264.71	USD	5,305.25	
25AUG17	S 2 PD24NOV17	3063.00	3264.71	USD	-10,085.50	
25AUG17	S 1 PD24NOV17	3070.00	3264.71	USD	-4,867.75	
25AUG17	S 1 PD24NOV17	3069.00	3264.71	USD	-4,892.75	
29AUG17	S 4 PD24NOV17	3108.80	3264.71	USD	-15,591.00	
30AUG17	B 1 PD24NOV17	3094.00	3264.71	USD	4,267.75	
31AUG17	S 2 PD24NOV17	3144.80	3264.71	USD	-5,995.50	
	NET 0 AVG BGHT 3097.77 SOLD 3109.78				**9,607.50**	**0.00**
29AUG17	S 1 PD29NOV17	3106.00	3265.25	USD	-3,981.25	
29AUG17	S 1 PD29NOV17	3107.50	3265.25	USD	-3,943.75	
29AUG17	S 2 PD29NOV17	3107.00	3265.25	USD	-7,912.50	
29AUG17	S 1 PD29NOV17	3097.50	3265.25	USD	-4,193.75	
29AUG17	S 1 PD29NOV17	3099.00	3265.25	USD	-4,156.25	
29AUG17	S 1 PD29NOV17	3093.50	3265.25	USD	-4,293.75	
29AUG17	S 1 PD29NOV17	3102.50	3265.25	USD	-4,068.75	
29AUG17	S 1 PD29NOV17	3103.50	3265.25	USD	-4,043.75	
29AUG17	S 1 PD29NOV17	3104.00	3265.25	USD	-4,031.25	
29AUG17	S 1 PD29NOV17	3104.50	3265.25	USD	-4,018.75	
29AUG17	S 1 PD29NOV17	3109.50	3265.25	USD	-3,893.75	
29AUG17	S 2 PD29NOV17	3115.00	3265.25	USD	-7,512.50	
29AUG17	S 1 PD29NOV17	3116.00	3265.25	USD	-3,731.25	
29AUG17	S 1 PD29NOV17	3113.00	3265.25	USD	-3,806.25	
29AUG17	S 1 PD29NOV17	3118.00	3265.25	USD	-3,681.25	
29AUG17	S 2 PD29NOV17	3117.50	3265.25	USD	-7,387.50	
29AUG17	S 1 PD29NOV17	3120.50	3265.25	USD	-3,618.75	
29AUG17	S 1 PD29NOV17	3120.00	3265.25	USD	-3,631.25	
29AUG17	S 1 PD29NOV17	3122.00	3265.25	USD	-3,581.25	
29AUG17	S 1 PD29NOV17	3124.00	3265.25	USD	-3,531.25	
29AUG17	B 1 PD29NOV17	3095.00	3265.25	USD	4,256.25	
29AUG17	B 1 PD29NOV17	3104.00	3265.25	USD	4,031.25	
29AUG17	B 1 PD29NOV17	3106.00	3265.25	USD	3,981.25	
29AUG17	B 1 PD29NOV17	3106.50	3265.25	USD	3,968.75	
29AUG17	B 1 PD29NOV17	3107.00	3265.25	USD	3,956.25	
29AUG17	B 1 PD29NOV17	3108.00	3265.25	USD	3,931.25	
29AUG17	B 2 PD29NOV17	3110.50	3265.25	USD	7,737.50	
30AUG17	S 1 PD29NOV17	3094.63	3265.25	USD	-4,265.50	
31AUG17	B 13 PD29NOV17	3146.03	3265.25	USD	38,746.50	
12SEP17	B 3 PD29NOV17	3058.25	3265.25	USD	15,525.00	
	NET 0 AVG BGHT 3121.69 SOLD 3109.78				**-7,150.25**	**0.00**
30AUG17	S 1 PD30NOV17	3126.50	3265.36	USD	-3,471.50	
30AUG17	S 2 PD30NOV17	3128.50	3265.36	USD	-6,843.00	
30AUG17	S 1 PD30NOV17	3139.00	3265.36	USD	-3,159.00	
30AUG17	S 1 PD30NOV17	3145.00	3265.36	USD	-3,009.00	
30AUG17	S 1 PD30NOV17	3147.00	3265.36	USD	-2,959.00	
30AUG17	S 1 PD30NOV17	3148.50	3265.36	USD	-2,921.50	
30AUG17	S 2 PD30NOV17	3150.50	3265.36	USD	-5,743.00	
30AUG17	S 1 PD30NOV17	3151.00	3265.36	USD	-2,859.00	
30AUG17	S 1 PD30NOV17	3151.50	3265.36	USD	-2,846.50	
30AUG17	B 1 PD30NOV17	3134.50	3265.36	USD	3,271.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094E

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
30AUG17	B 1 PD30NOV17	3134.00	3265.36	USD	3,284.00	
30AUG17	B 1 PD30NOV17	3130.50	3265.36	USD	3,371.50	
30AUG17	B 3 PD30NOV17	3132.50	3265.36	USD	9,964.50	
30AUG17	B 3 PD30NOV17	3131.50	3265.36	USD	10,039.50	
30AUG17	B 1 PD30NOV17	3126.50	3265.36	USD	3,471.50	
30AUG17	B 1 PD30NOV17	3128.50	3265.36	USD	3,421.50	
30AUG17	B 1 PD30NOV17	3103.00	3265.36	USD	4,059.00	
30AUG17	S 1 PD30NOV17	3108.00	3265.36	USD	-3,934.00	
30AUG17	S 1 PD30NOV17	3109.50	3265.36	USD	-3,896.50	
30AUG17	S 1 PD30NOV17	3106.00	3265.36	USD	-3,984.00	
30AUG17	S 2 PD30NOV17	3110.50	3265.36	USD	-7,743.00	
30AUG17	S 1 PD30NOV17	3110.00	3265.36	USD	-3,884.00	
30AUG17	B 2 PD30NOV17	3103.50	3265.36	USD	8,093.00	
30AUG17	B 1 PD30NOV17	3106.50	3265.36	USD	3,971.50	
30AUG17	B 1 PD30NOV17	3099.50	3265.36	USD	4,146.50	
30AUG17	B 1 PD30NOV17	3102.00	3265.36	USD	4,084.00	
30AUG17	S 1 PD30NOV17	3099.00	3265.36	USD	-4,159.00	
30AUG17	S 1 PD30NOV17	3099.50	3265.36	USD	-4,146.50	
30AUG17	S 5 PD30NOV17	3127.35	3265.36	USD	-17,251.25	
30AUG17	S 5 PD30NOV17	3131.85	3265.36	USD	-16,688.75	
30AUG17	S 5 PD30NOV17	3132.35	3265.36	USD	-16,626.25	
30AUG17	S 5 PD30NOV17	3132.84	3265.36	USD	-16,565.00	
30AUG17	B 2 PD30NOV17	3095.00	3265.36	USD	8,518.00	
30AUG17	B 1 PD30NOV17	3095.50	3265.36	USD	4,246.50	
30AUG17	S 1 PD30NOV17	3095.50	3265.36	USD	-4,246.50	
31AUG17	B 1 PD30NOV17	3108.00	3265.36	USD	3,934.00	
31AUG17	B 3 PD30NOV17	3109.00	3265.36	USD	11,727.00	
31AUG17	S 1 PD30NOV17	3109.50	3265.36	USD	-3,896.50	
31AUG17	B 1 PD30NOV17	3103.00	3265.36	USD	4,059.00	
31AUG17	B 1 PD30NOV17	3107.00	3265.36	USD	3,959.00	
31AUG17	S 1 PD30NOV17	3106.50	3265.36	USD	-3,971.50	
31AUG17	S 1 PD30NOV17	3120.50	3265.36	USD	-3,621.50	
31AUG17	S 1 PD30NOV17	3114.00	3265.36	USD	-3,784.00	
31AUG17	S 1 PD30NOV17	3115.00	3265.36	USD	-3,759.00	
31AUG17	S 1 PD30NOV17	3116.50	3265.36	USD	-3,721.50	
31AUG17	B 2 PD30NOV17	3130.00	3265.36	USD	6,768.00	
31AUG17	B 1 PD30NOV17	3128.00	3265.36	USD	3,434.00	
31AUG17	B 3 PD30NOV17	3126.00	3265.36	USD	10,452.00	
31AUG17	S 3 PD30NOV17	3108.50	3265.36	USD	-11,764.50	
31AUG17	B 1 PD30NOV17	3125.00	3265.36	USD	3,509.00	
31AUG17	B 1 PD30NOV17	3126.50	3265.36	USD	3,471.50	
31AUG17	S 2 PD30NOV17	3108.00	3265.36	USD	-7,868.00	
31AUG17	S 1 PD30NOV17	3125.00	3265.36	USD	-3,509.00	
31AUG17	S 1 PD30NOV17	3141.00	3265.36	USD	-3,109.00	
31AUG17	S 2 PD30NOV17	3142.00	3265.36	USD	-6,168.00	
31AUG17	S 1 PD30NOV17	3135.00	3265.36	USD	-3,259.00	
31AUG17	S 1 PD30NOV17	3155.00	3265.36	USD	-2,759.00	
31AUG17	S 5 PD30NOV17	3127.60	3265.36	USD	-17,220.00	
31AUG17	S 5 PD30NOV17	3126.35	3265.36	USD	-17,376.25	
31AUG17	S 5 PD30NOV17	3127.10	3265.36	USD	-17,282.50	
31AUG17	S 13 PD30NOV17	3145.80	3265.36	USD	-38,857.00	
05SEP17	B 7 PD30NOV17	3139.63	3265.36	USD	22,002.75	
12SEP17	B 19 PD30NOV17	3058.46	3265.36	USD	98,277.50	
22SEP17	B 25 PD30NOV17	3031.10	3265.36	USD	146,412.50	
	NET 0 AVG BGHT 3080.91 SOLD 3129.42				**103,086.25**	**0.00**
01SEP17	S 1 PD01DEC17	3167.00	3265.46	USD	-2,461.50	
01SEP17	S 1 PD01DEC17	3148.00	3265.46	USD	-2,936.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094E

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
01SEP17	B 1 PD01DEC17	3146.50	3265.46	USD	2,974.00	
01SEP17	B 2 PD01DEC17	3148.00	3265.46	USD	5,873.00	
01SEP17	B 1 PD01DEC17	3153.00	3265.46	USD	2,811.50	
01SEP17	B 1 PD01DEC17	3154.00	3265.46	USD	2,786.50	
01SEP17	B 1 PD01DEC17	3147.00	3265.46	USD	2,961.50	
01SEP17	S 1 PD01DEC17	3166.00	3265.46	USD	-2,486.50	
01SEP17	S 1 PD01DEC17	3167.50	3265.46	USD	-2,449.00	
01SEP17	S 1 PD01DEC17	3172.00	3265.46	USD	-2,336.50	
01SEP17	S 1 PD01DEC17	3173.00	3265.46	USD	-2,311.50	
01SEP17	B 1 PD01DEC17	3149.50	3265.46	USD	2,899.00	
01SEP17	S 1 PD01DEC17	3176.50	3265.46	USD	-2,224.00	
01SEP17	B 1 PD01DEC17	3207.50	3265.46	USD	1,449.00	
01SEP17	B 1 PD01DEC17	3208.00	3265.46	USD	1,436.50	
01SEP17	B 1 PD01DEC17	3209.00	3265.46	USD	1,411.50	
12SEP17	S 3 PD01DEC17	3058.47	3265.46	USD	-15,524.25	
	NET 0 AVG BGHT 3167.05 SOLD 3134.54				**-8,127.25**	**0.00**
04SEP17	B 1 PD04DEC17	3196.50	3265.79	USD	1,732.25	
04SEP17	S 1 PD04DEC17	3198.00	3265.79	USD	-1,694.75	
04SEP17	S 1 PD04DEC17	3199.00	3265.79	USD	-1,669.75	
04SEP17	S 1 PD04DEC17	3199.50	3265.79	USD	-1,657.25	
04SEP17	S 1 PD04DEC17	3200.00	3265.79	USD	-1,644.75	
04SEP17	S 1 PD04DEC17	3200.50	3265.79	USD	-1,632.25	
04SEP17	S 1 PD04DEC17	3212.00	3265.79	USD	-1,344.75	
04SEP17	B 1 PD04DEC17	3208.00	3265.79	USD	1,444.75	
04SEP17	B 1 PD04DEC17	3209.00	3265.79	USD	1,419.75	
22SEP17	B 3 PD04DEC17	3031.52	3265.79	USD	17,570.25	
	NET 0 AVG BGHT 3118.01 SOLD 3201.50				**12,523.50**	**0.00**
05SEP17	S 1 PD05DEC17	3204.00	3265.89	USD	-1,547.25	
05SEP17	S 1 PD05DEC17	3205.50	3265.89	USD	-1,509.75	
05SEP17	S 1 PD05DEC17	3206.50	3265.89	USD	-1,484.75	
05SEP17	S 2 PD05DEC17	3195.00	3265.89	USD	-3,544.50	
05SEP17	B 1 PD05DEC17	3195.50	3265.89	USD	1,759.75	
05SEP17	S 2 PD05DEC17	3199.00	3265.89	USD	-3,344.50	
05SEP17	S 1 PD05DEC17	3200.00	3265.89	USD	-1,647.25	
05SEP17	S 2 PD05DEC17	3202.00	3265.89	USD	-3,194.50	
05SEP17	S 1 PD05DEC17	3202.50	3265.89	USD	-1,584.75	
05SEP17	S 1 PD05DEC17	3189.50	3265.89	USD	-1,909.75	
05SEP17	B 1 PD05DEC17	3132.50	3265.89	USD	3,334.75	
05SEP17	B 1 PD05DEC17	3143.00	3265.89	USD	3,072.25	
05SEP17	B 1 PD05DEC17	3145.00	3265.89	USD	3,022.25	
05SEP17	B 1 PD05DEC17	3140.00	3265.89	USD	3,147.25	
05SEP17	B 1 PD05DEC17	3138.00	3265.89	USD	3,197.25	
05SEP17	B 1 PD05DEC17	3138.50	3265.89	USD	3,184.75	
05SEP17	B 1 PD05DEC17	3133.50	3265.89	USD	3,309.75	
05SEP17	B 1 PD05DEC17	3134.00	3265.89	USD	3,297.25	
05SEP17	B 1 PD05DEC17	3110.50	3265.89	USD	3,884.75	
05SEP17	B 1 PD05DEC17	3110.00	3265.89	USD	3,897.25	
05SEP17	B 1 PD05DEC17	3106.00	3265.89	USD	3,997.25	
05SEP17	B 1 PD05DEC17	3105.00	3265.89	USD	4,022.25	
12SEP17	S 1 PD05DEC17	3059.32	3265.89	USD	-5,164.25	
	NET 0 AVG BGHT 3133.19 SOLD 3189.18				**18,195.50**	**0.00**
06SEP17	B 1 PD06DEC17	3120.50	3266.00	USD	3,637.50	
06SEP17	S 2 PD06DEC17	3120.00	3266.00	USD	-7,300.00	
06SEP17	S 1 PD06DEC17	3123.00	3266.00	USD	-3,575.00	
06SEP17	S 1 PD06DEC17	3123.50	3266.00	USD	-3,562.50	
06SEP17	S 1 PD06DEC17	3126.50	3266.00	USD	-3,487.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094E

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
06SEP17	S 1 PD06DEC17	3089.50	3266.00	USD	-4,412.50	
06SEP17	S 2 PD06DEC17	3122.00	3266.00	USD	-7,200.00	
06SEP17	S 1 PD06DEC17	3109.00	3266.00	USD	-3,925.00	
06SEP17	B 1 PD06DEC17	3082.50	3266.00	USD	4,587.50	
06SEP17	B 1 PD06DEC17	3083.00	3266.00	USD	4,575.00	
06SEP17	B 1 PD06DEC17	3085.50	3266.00	USD	4,512.50	
06SEP17	B 1 PD06DEC17	3084.50	3266.00	USD	4,537.50	
22SEP17	B 4 PD06DEC17	3031.83	3266.00	USD	23,417.00	
	NET 0 AVG BGHT 3064.81 SOLD 3117.28				**11,804.50**	**0.00**
07SEP17	B 1 PD07DEC17	3075.50	3266.11	USD	4,765.25	
07SEP17	B 1 PD07DEC17	3078.00	3266.11	USD	4,702.75	
07SEP17	B 2 PD07DEC17	3078.50	3266.11	USD	9,380.50	
07SEP17	B 1 PD07DEC17	3079.00	3266.11	USD	4,677.75	
07SEP17	B 1 PD07DEC17	3080.50	3266.11	USD	4,640.25	
07SEP17	B 1 PD07DEC17	3081.00	3266.11	USD	4,627.75	
07SEP17	B 1 PD07DEC17	3083.50	3266.11	USD	4,565.25	
07SEP17	B 1 PD07DEC17	3084.50	3266.11	USD	4,540.25	
07SEP17	B 1 PD07DEC17	3088.00	3266.11	USD	4,452.75	
07SEP17	B 1 PD07DEC17	3089.00	3266.11	USD	4,427.75	
07SEP17	B 1 PD07DEC17	3076.00	3266.11	USD	4,752.75	
07SEP17	S 1 PD07DEC17	3141.50	3266.11	USD	-3,115.25	
07SEP17	S 1 PD07DEC17	3135.50	3266.11	USD	-3,265.25	
07SEP17	S 2 PD07DEC17	3137.50	3266.11	USD	-6,430.50	
07SEP17	S 2 PD07DEC17	3140.00	3266.11	USD	-6,305.50	
07SEP17	S 1 PD07DEC17	3141.00	3266.11	USD	-3,127.75	
07SEP17	S 1 PD07DEC17	3142.50	3266.11	USD	-3,090.25	
07SEP17	S 1 PD07DEC17	3142.00	3266.11	USD	-3,102.75	
07SEP17	B 1 PD07DEC17	3134.50	3266.11	USD	3,290.25	
07SEP17	B 1 PD07DEC17	3134.00	3266.11	USD	3,302.75	
07SEP17	B 1 PD07DEC17	3136.00	3266.11	USD	3,252.75	
12SEP17	S 6 PD07DEC17	3059.74	3266.11	USD	-30,955.50	
	NET 0 AVG BGHT 3091.77 SOLD 3107.73				**5,986.00**	**0.00**
08SEP17	B 1 PD08DEC17	3087.00	3266.21	USD	4,480.25	
08SEP17	B 1 PD08DEC17	3086.50	3266.21	USD	4,492.75	
08SEP17	B 2 PD08DEC17	3089.50	3266.21	USD	8,835.50	
08SEP17	B 1 PD08DEC17	3081.00	3266.21	USD	4,630.25	
08SEP17	B 1 PD08DEC17	3082.50	3266.21	USD	4,592.75	
08SEP17	B 3 PD08DEC17	3084.00	3266.21	USD	13,665.75	
08SEP17	B 1 PD08DEC17	3084.50	3266.21	USD	4,542.75	
08SEP17	B 2 PD08DEC17	3085.50	3266.21	USD	9,035.50	
08SEP17	B 1 PD08DEC17	3088.00	3266.21	USD	4,455.25	
08SEP17	S 1 PD08DEC17	3038.00	3266.21	USD	-5,705.25	
12SEP17	S 12 PD08DEC17	3059.95	3266.21	USD	-61,878.00	
	NET 0 AVG BGHT 3085.50 SOLD 3058.26				**-8,852.50**	**0.00**
11SEP17	S 1 PD11DEC17	3058.50	3266.54	USD	-5,201.00	
11SEP17	S 1 PD11DEC17	3056.00	3266.54	USD	-5,263.50	
11SEP17	S 1 PD11DEC17	3056.50	3266.54	USD	-5,251.00	
11SEP17	S 1 PD11DEC17	3057.50	3266.54	USD	-5,226.00	
11SEP17	S 1 PD11DEC17	3058.00	3266.54	USD	-5,213.50	
11SEP17	S 1 PD11DEC17	3060.50	3266.54	USD	-5,151.00	
11SEP17	S 1 PD11DEC17	3055.00	3266.54	USD	-5,288.50	
11SEP17	S 1 PD11DEC17	3071.00	3266.54	USD	-4,888.50	
11SEP17	S 1 PD11DEC17	3089.00	3266.54	USD	-4,438.50	
11SEP17	S 1 PD11DEC17	3093.50	3266.54	USD	-4,326.00	
11SEP17	S 1 PD11DEC17	3100.50	3266.54	USD	-4,151.00	
11SEP17	S 1 PD11DEC17	3102.50	3266.54	USD	-4,101.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094E

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
11SEP17	S 2 PD11DEC17	3092.00	3266.54	USD	-8,727.00	
11SEP17	B 2 PD11DEC17	3050.50	3266.54	USD	10,802.00	
11SEP17	B 1 PD11DEC17	3083.00	3266.54	USD	4,588.50	
11SEP17	B 2 PD11DEC17	3084.50	3266.54	USD	9,102.00	
11SEP17	B 1 PD11DEC17	3085.00	3266.54	USD	4,538.50	
11SEP17	B 3 PD11DEC17	3083.50	3266.54	USD	13,728.00	
11SEP17	B 2 PD11DEC17	3084.00	3266.54	USD	9,127.00	
11SEP17	B 2 PD11DEC17	3086.00	3266.54	USD	9,027.00	
11SEP17	B 1 PD11DEC17	3087.50	3266.54	USD	4,476.00	
11SEP17	B 2 PD11DEC17	3088.00	3266.54	USD	8,927.00	
15SEP17	S 2 PD11DEC17	3030.18	3266.54	USD	-11,818.00	
	NET 0 AVG BGHT 3080.75 SOLD 3068.93				**-4,728.50**	**0.00**
12SEP17	S 1 PD12DEC17	3082.50	3266.64	USD	-4,603.50	
12SEP17	S 1 PD12DEC17	3083.00	3266.64	USD	-4,591.00	
12SEP17	S 6 PD12DEC17	3083.50	3266.64	USD	-27,471.00	
12SEP17	S 4 PD12DEC17	3084.00	3266.64	USD	-18,264.00	
12SEP17	S 1 PD12DEC17	3084.50	3266.64	USD	-4,553.50	
12SEP17	S 1 PD12DEC17	3085.50	3266.64	USD	-4,528.50	
12SEP17	B 1 PD12DEC17	3060.50	3266.64	USD	5,153.50	
12SEP17	B 1 PD12DEC17	3053.00	3266.64	USD	5,341.00	
12SEP17	B 1 PD12DEC17	3055.50	3266.64	USD	5,278.50	
12SEP17	B 1 PD12DEC17	3057.00	3266.64	USD	5,241.00	
12SEP17	B 1 PD12DEC17	3058.00	3266.64	USD	5,216.00	
12SEP17	B 1 PD12DEC17	3058.50	3266.64	USD	5,203.50	
12SEP17	B 1 PD12DEC17	3053.50	3266.64	USD	5,328.50	
12SEP17	B 3 PD12DEC17	3054.00	3266.64	USD	15,948.00	
12SEP17	S 1 PD12DEC17	3057.00	3266.64	USD	-5,241.00	
12SEP17	B 1 PD12DEC17	3063.50	3266.64	USD	5,078.50	
12SEP17	B 2 PD12DEC17	3064.00	3266.64	USD	10,132.00	
22SEP17	B 2 PD12DEC17	3032.76	3266.64	USD	11,694.00	
	NET 0 AVG BGHT 3054.33 SOLD 3081.97				**10,362.00**	**0.00**
13SEP17	S 1 PD13DEC17	3055.00	3266.75	USD	-5,293.75	
13SEP17	S 1 PD13DEC17	3055.50	3266.75	USD	-5,281.25	
13SEP17	S 1 PD13DEC17	3056.00	3266.75	USD	-5,268.75	
13SEP17	S 1 PD13DEC17	3058.00	3266.75	USD	-5,218.75	
13SEP17	S 1 PD13DEC17	3059.00	3266.75	USD	-5,193.75	
13SEP17	S 1 PD13DEC17	3060.00	3266.75	USD	-5,168.75	
13SEP17	B 1 PD13DEC17	3047.50	3266.75	USD	5,481.25	
13SEP17	B 2 PD13DEC17	3048.00	3266.75	USD	10,937.50	
13SEP17	B 1 PD13DEC17	3043.00	3266.75	USD	5,593.75	
13SEP17	B 1 PD13DEC17	3043.50	3266.75	USD	5,581.25	
13SEP17	S 1 PD13DEC17	3048.50	3266.75	USD	-5,456.25	
13SEP17	B 1 PD13DEC17	3034.50	3266.75	USD	5,806.25	
13SEP17	B 1 PD13DEC17	3036.00	3266.75	USD	5,768.75	
13SEP17	B 1 PD13DEC17	3036.50	3266.75	USD	5,756.25	
13SEP17	S 1 PD13DEC17	3032.00	3266.75	USD	-5,868.75	
13SEP17	B 2 PD13DEC17	3030.00	3266.75	USD	11,837.50	
13SEP17	B 1 PD13DEC17	3030.50	3266.75	USD	5,906.25	
13SEP17	B 1 PD13DEC17	3027.00	3266.75	USD	5,993.75	
13SEP17	B 1 PD13DEC17	3028.00	3266.75	USD	5,968.75	
13SEP17	B 1 PD13DEC17	3024.00	3266.75	USD	6,068.75	
13SEP17	B 1 PD13DEC17	3025.00	3266.75	USD	6,043.75	
13SEP17	B 1 PD13DEC17	3023.00	3266.75	USD	6,093.75	
13SEP17	B 2 PD13DEC17	3029.50	3266.75	USD	11,862.50	
13SEP17	B 1 PD13DEC17	3028.50	3266.75	USD	5,956.25	
13SEP17	B 1 PD13DEC17	3029.00	3266.75	USD	5,943.75	
15SEP17	S 12 PD13DEC17	3030.49	3266.75	USD	-70,878.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094E

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
	NET	**0**	**AVG BGHT 3033.55 SOLD 3039.49**				**2,972.00**	**0.00**
14SEP17	B	1	PD14DEC17	3001.00	3266.86	USD	6,646.50	
14SEP17	B	2	PD14DEC17	3002.00	3266.86	USD	13,243.00	
14SEP17	B	1	PD14DEC17	2990.00	3266.86	USD	6,921.50	
14SEP17	B	2	PD14DEC17	2992.00	3266.86	USD	13,743.00	
14SEP17	B	1	PD14DEC17	2996.00	3266.86	USD	6,771.50	
14SEP17	B	1	PD14DEC17	2998.50	3266.86	USD	6,709.00	
14SEP17	B	2	PD14DEC17	2999.00	3266.86	USD	13,393.00	
14SEP17	B	2	PD14DEC17	2999.50	3266.86	USD	13,368.00	
14SEP17	B	1	PD14DEC17	3000.00	3266.86	USD	6,671.50	
14SEP17	B	1	PD14DEC17	2995.50	3266.86	USD	6,784.00	
14SEP17	B	1	PD14DEC17	2988.00	3266.86	USD	6,971.50	
14SEP17	B	1	PD14DEC17	2981.50	3266.86	USD	7,134.00	
14SEP17	B	1	PD14DEC17	2982.50	3266.86	USD	7,109.00	
14SEP17	B	1	PD14DEC17	2985.50	3266.86	USD	7,034.00	
14SEP17	B	1	PD14DEC17	2980.00	3266.86	USD	7,171.50	
22SEP17	S	9	PD14DEC17	3032.87	3266.86	USD	-52,647.75	
22SEP17	S	10	PD14DEC17	3033.07	3266.86	USD	-58,447.50	
	NET	**0**	**AVG BGHT 2993.87 SOLD 3032.98**				**18,575.75**	**0.00**
15SEP17	S	1	PD15DEC17	3015.00	3266.96	USD	-6,299.00	
15SEP17	S	1	PD15DEC17	3017.00	3266.96	USD	-6,249.00	
15SEP17	S	1	PD15DEC17	3020.00	3266.96	USD	-6,174.00	
15SEP17	S	1	PD15DEC17	3021.00	3266.96	USD	-6,149.00	
15SEP17	S	2	PD15DEC17	3020.50	3266.96	USD	-12,323.00	
15SEP17	S	1	PD15DEC17	3029.00	3266.96	USD	-5,949.00	
15SEP17	S	1	PD15DEC17	3030.00	3266.96	USD	-5,924.00	
15SEP17	S	1	PD15DEC17	3027.50	3266.96	USD	-5,986.50	
15SEP17	S	2	PD15DEC17	3028.00	3266.96	USD	-11,948.00	
15SEP17	S	3	PD15DEC17	3026.50	3266.96	USD	-18,034.50	
15SEP17	S	2	PD15DEC17	3032.50	3266.96	USD	-11,723.00	
15SEP17	S	1	PD15DEC17	3034.50	3266.96	USD	-5,811.50	
15SEP17	S	1	PD15DEC17	3036.00	3266.96	USD	-5,774.00	
15SEP17	S	1	PD15DEC17	3033.00	3266.96	USD	-5,849.00	
15SEP17	S	2	PD15DEC17	3035.00	3266.96	USD	-11,598.00	
15SEP17	S	1	PD15DEC17	3037.00	3266.96	USD	-5,749.00	
15SEP17	S	1	PD15DEC17	3038.00	3266.96	USD	-5,724.00	
15SEP17	S	1	PD15DEC17	3038.50	3266.96	USD	-5,711.50	
15SEP17	S	1	PD15DEC17	3039.00	3266.96	USD	-5,699.00	
15SEP17	S	1	PD15DEC17	3040.00	3266.96	USD	-5,674.00	
15SEP17	S	1	PD15DEC17	3045.50	3266.96	USD	-5,536.50	
15SEP17	S	1	PD15DEC17	3030.50	3266.96	USD	-5,911.50	
15SEP17	S	1	PD15DEC17	3031.00	3266.96	USD	-5,899.00	
15SEP17	S	2	PD15DEC17	3031.50	3266.96	USD	-11,773.00	
15SEP17	B	3	PD15DEC17	3032.00	3266.96	USD	17,622.00	
15SEP17	B	1	PD15DEC17	3033.50	3266.96	USD	5,836.50	
18SEP17	B	22	PD15DEC17	3097.85	3266.96	USD	93,010.50	
22SEP17	B	5	PD15DEC17	3033.23	3266.96	USD	29,216.25	
	NET	**0**	**AVG BGHT 3078.98 SOLD 3030.23**				**-37,783.75**	**0.00**
18SEP17	S	1	PD18DEC17	3072.50	3267.29	USD	-4,869.75	
18SEP17	B	1	PD18DEC17	3072.50	3267.29	USD	4,869.75	
18SEP17	S	1	PD18DEC17	3070.50	3267.29	USD	-4,919.75	
18SEP17	S	1	PD18DEC17	3085.50	3267.29	USD	-4,544.75	
18SEP17	S	1	PD18DEC17	3083.50	3267.29	USD	-4,594.75	
18SEP17	S	1	PD18DEC17	3085.00	3267.29	USD	-4,557.25	
18SEP17	S	1	PD18DEC17	3086.00	3267.29	USD	-4,532.25	
18SEP17	S	1	PD18DEC17	3087.50	3267.29	USD	-4,494.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094E

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
18SEP17	S 1 PD18DEC17	3090.00	3267.29	USD	-4,432.25	
18SEP17	S 1 PD18DEC17	3092.00	3267.29	USD	-4,382.25	
18SEP17	S 1 PD18DEC17	3094.00	3267.29	USD	-4,332.25	
18SEP17	S 2 PD18DEC17	3088.00	3267.29	USD	-8,964.50	
18SEP17	B 1 PD18DEC17	3063.50	3267.29	USD	5,094.75	
18SEP17	B 2 PD18DEC17	3065.00	3267.29	USD	10,114.50	
18SEP17	B 2 PD18DEC17	3065.50	3267.29	USD	10,089.50	
18SEP17	S 1 PD18DEC17	3086.50	3267.29	USD	-4,519.75	
18SEP17	S 1 PD18DEC17	3095.00	3267.29	USD	-4,307.25	
18SEP17	S 1 PD18DEC17	3097.00	3267.29	USD	-4,257.25	
18SEP17	B 1 PD18DEC17	3100.00	3267.29	USD	4,182.25	
18SEP17	S 2 PD18DEC17	3101.50	3267.29	USD	-8,289.50	
18SEP17	B 2 PD18DEC17	3111.50	3267.29	USD	7,789.50	
18SEP17	B 2 PD18DEC17	3110.50	3267.29	USD	7,839.50	
18SEP17	S 2 PD18DEC17	3094.50	3267.29	USD	-8,639.50	
22SEP17	B 8 PD18DEC17	3033.89	3267.29	USD	46,680.00	
	NET 0 AVG BGHT 3063.80 SOLD 3089.11				**12,022.00**	**0.00**
19SEP17	B 1 PD19DEC17	3099.50	3267.39	USD	4,197.25	
19SEP17	S 1 PD19DEC17	3094.00	3267.39	USD	-4,334.75	
19SEP17	B 2 PD19DEC17	3095.50	3267.39	USD	8,594.50	
19SEP17	S 1 PD19DEC17	3109.50	3267.39	USD	-3,947.25	
19SEP17	B 1 PD19DEC17	3110.50	3267.39	USD	3,922.25	
22SEP17	S 2 PD19DEC17	3033.85	3267.39	USD	-11,677.00	
	NET 0 AVG BGHT 3100.25 SOLD 3067.80				**-3,245.00**	**0.00**
20SEP17	B 2 PD20DEC17	3104.00	3267.50	USD	8,175.00	
20SEP17	B 1 PD20DEC17	3143.00	3267.50	USD	3,112.50	
20SEP17	S 1 PD20DEC17	3146.00	3267.50	USD	-3,037.50	
20SEP17	S 1 PD20DEC17	3148.00	3267.50	USD	-2,987.50	
20SEP17	B 2 PD20DEC17	3138.00	3267.50	USD	6,475.00	
20SEP17	B 2 PD20DEC17	3137.00	3267.50	USD	6,525.00	
20SEP17	B 1 PD20DEC17	3144.00	3267.50	USD	3,087.50	
20SEP17	B 1 PD20DEC17	3131.50	3267.50	USD	3,400.00	
20SEP17	B 1 PD20DEC17	3132.50	3267.50	USD	3,375.00	
20SEP17	B 1 PD20DEC17	3135.00	3267.50	USD	3,312.50	
22SEP17	S 9 PD20DEC17	3034.00	3267.50	USD	-52,537.50	
	NET 0 AVG BGHT 3131.27 SOLD 3054.55				**-21,100.00**	**0.00**
21SEP17	B 1 PD21DEC17	3097.50	3264.00	USD	4,162.50	
21SEP17	B 1 PD21DEC17	3098.00	3264.00	USD	4,150.00	
21SEP17	B 1 PD21DEC17	3099.00	3264.00	USD	4,125.00	
21SEP17	B 1 PD21DEC17	3065.00	3264.00	USD	4,975.00	
21SEP17	B 2 PD21DEC17	3065.50	3264.00	USD	9,925.00	
21SEP17	B 2 PD21DEC17	3069.50	3264.00	USD	9,725.00	
21SEP17	B 1 PD21DEC17	3075.50	3264.00	USD	4,712.50	
21SEP17	B 1 PD21DEC17	3080.50	3264.00	USD	4,587.50	
21SEP17	B 1 PD21DEC17	3081.00	3264.00	USD	4,575.00	
21SEP17	S 1 PD21DEC17	3079.00	3264.00	USD	-4,625.00	
21SEP17	S 2 PD21DEC17	3080.50	3264.00	USD	-9,175.00	
21SEP17	S 1 PD21DEC17	3081.50	3264.00	USD	-4,562.50	
21SEP17	S 2 PD21DEC17	3082.00	3264.00	USD	-9,100.00	
21SEP17	S 1 PD21DEC17	3077.00	3264.00	USD	-4,675.00	
21SEP17	S 2 PD21DEC17	3078.00	3264.00	USD	-9,300.00	
21SEP17	S 1 PD21DEC17	3078.50	3264.00	USD	-4,637.50	
21SEP17	B 1 PD21DEC17	3094.00	3264.00	USD	4,250.00	
21SEP17	B 1 PD21DEC17	3081.50	3264.00	USD	4,562.50	
21SEP17	B 1 PD21DEC17	3084.50	3264.00	USD	4,487.50	
21SEP17	B 1 PD21DEC17	3086.00	3264.00	USD	4,450.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094E

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
21SEP17	B 1 PD21DEC17	3046.50	3264.00	USD	5,437.50	
21SEP17	B 1 PD21DEC17	3047.00	3264.00	USD	5,425.00	
21SEP17	B 1 PD21DEC17	3047.50	3264.00	USD	5,412.50	
21SEP17	B 1 PD21DEC17	3030.00	3264.00	USD	5,850.00	
21SEP17	B 1 PD21DEC17	3038.00	3264.00	USD	5,650.00	
21SEP17	B 2 PD21DEC17	3039.00	3264.00	USD	11,250.00	
21SEP17	B 1 PD21DEC17	3042.50	3264.00	USD	5,537.50	
21SEP17	B 1 PD21DEC17	3045.50	3264.00	USD	5,462.50	
21SEP17	B 1 PD21DEC17	3026.50	3264.00	USD	5,937.50	
21SEP17	B 1 PD21DEC17	3027.50	3264.00	USD	5,912.50	
21SEP17	B 1 PD21DEC17	3029.50	3264.00	USD	5,862.50	
21SEP17	S 1 PD21DEC17	3043.00	3264.00	USD	-5,525.00	
22SEP17	S 5 PD21DEC17	3032.30	3264.00	USD	-28,962.50	
22SEP17	S 11 PD21DEC17	3032.50	3264.00	USD	-63,662.50	
	NET 0 AVG BGHT 3061.89 SOLD 3050.33				**-7,800.00**	**0.00**
22SEP17	S 2 PD22DEC17	3033.00	3264.00	USD	-11,550.00	
22SEP17	S 2 PD22DEC17	3033.50	3264.00	USD	-11,525.00	
22SEP17	B 1 PD22DEC17	3006.00	3264.00	USD	6,450.00	
22SEP17	B 1 PD22DEC17	3009.00	3264.00	USD	6,375.00	
22SEP17	B 1 PD22DEC17	3012.50	3264.00	USD	6,287.50	
22SEP17	B 1 PD22DEC17	3032.00	3264.00	USD	5,800.00	
22SEP17	B 1 PD22DEC17	3033.50	3264.00	USD	5,762.50	
22SEP17	S 1 PD22DEC17	3032.00	3264.00	USD	-5,800.00	
22SEP17	S 1 PD22DEC17	3032.50	3264.00	USD	-5,787.50	
22SEP17	S 1 PD22DEC17	3037.50	3264.00	USD	-5,662.50	
22SEP17	S 1 PD22DEC17	3040.50	3264.00	USD	-5,587.50	
22SEP17	S 1 PD22DEC17	3042.00	3264.00	USD	-5,550.00	
22SEP17	S 1 PD22DEC17	3035.00	3264.00	USD	-5,725.00	
22SEP17	S 2 PD22DEC17	3034.00	3264.00	USD	-11,500.00	
22SEP17	S 2 PD22DEC17	3036.00	3264.00	USD	-11,400.00	
22SEP17	S 2 PD22DEC17	3038.00	3264.00	USD	-11,300.00	
22SEP17	S 3 PD22DEC17	3039.50	3264.00	USD	-16,837.50	
22SEP17	S 1 PD22DEC17	3064.00	3264.00	USD	-5,000.00	
22SEP17	S 2 PD22DEC17	3067.00	3264.00	USD	-9,850.00	
22SEP17	S 1 PD22DEC17	3069.00	3264.00	USD	-4,875.00	
22SEP17	S 1 PD22DEC17	3070.00	3264.00	USD	-4,850.00	
22SEP17	S 1 PD22DEC17	3074.00	3264.00	USD	-4,750.00	
22SEP17	S 1 PD22DEC17	3075.00	3264.00	USD	-4,725.00	
22SEP17	S 1 PD22DEC17	3077.00	3264.00	USD	-4,675.00	
22SEP17	S 2 PD22DEC17	3036.50	3264.00	USD	-11,375.00	
22SEP17	B 2 PD22DEC17	3011.00	3264.00	USD	12,650.00	
22SEP17	S 1 PD22DEC17	3068.50	3264.00	USD	-4,887.50	
22SEP17	S 3 PD22DEC17	3066.50	3264.00	USD	-14,812.50	
22SEP17	S 2 PD22DEC17	3067.50	3264.00	USD	-9,825.00	
22SEP17	S 1 PD22DEC17	3052.00	3264.00	USD	-5,300.00	
22SEP17	S 2 PD22DEC17	3052.50	3264.00	USD	-10,575.00	
22SEP17	S 2 PD22DEC17	3053.00	3264.00	USD	-10,550.00	
22SEP17	S 1 PD22DEC17	3053.50	3264.00	USD	-5,262.50	
22SEP17	S 1 PD22DEC17	3043.50	3264.00	USD	-5,512.50	
22SEP17	S 1 PD22DEC17	3068.00	3264.00	USD	-4,900.00	
22SEP17	B 36 PD22DEC17	3031.20	3264.00	USD	209,520.00	
	NET 0 AVG BGHT 3028.80 SOLD 3050.09				**22,895.00**	**0.00**
25SEP17	B 1 PD27DEC17	3086.00	3264.00	USD	4,450.00	
25SEP17	S 1 PD27DEC17	3085.50	3264.00	USD	-4,462.50	
25SEP17	S 2 PD27DEC17	3084.50	3264.00	USD	-8,975.00	
25SEP17	S 1 PD27DEC17	3095.50	3264.00	USD	-4,212.50	
25SEP17	S 1 PD27DEC17	3097.00	3264.00	USD	-4,175.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094E

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
25SEP17	S 1 PD27DEC17	3098.50	3264.00	USD	-4,137.50	
25SEP17	S 1 PD27DEC17	3105.50	3264.00	USD	-3,962.50	
25SEP17	B 1 PD27DEC17	3078.00	3264.00	USD	4,650.00	
25SEP17	B 1 PD27DEC17	3078.50	3264.00	USD	4,637.50	
25SEP17	B 1 PD27DEC17	3081.50	3264.00	USD	4,562.50	
25SEP17	B 1 PD27DEC17	3083.50	3264.00	USD	4,512.50	
25SEP17	S 1 PD27DEC17	3100.50	3264.00	USD	-4,087.50	
25SEP17	B 1 PD27DEC17	3095.00	3264.00	USD	4,225.00	
25SEP17	B 1 PD27DEC17	3095.50	3264.00	USD	4,212.50	
25SEP17	S 1 PD27DEC17	3097.50	3264.00	USD	-4,162.50	
25SEP17	S 3 PD27DEC17	3098.00	3264.00	USD	-12,450.00	
26SEP17	S 1 PD27DEC17	3123.00	3264.00	USD	-3,525.00	
26SEP17	S 1 PD27DEC17	3126.00	3264.00	USD	-3,450.00	
26SEP17	S 1 PD27DEC17	3135.50	3264.00	USD	-3,212.50	
26SEP17	S 1 PD27DEC17	3137.50	3264.00	USD	-3,162.50	
26SEP17	S 2 PD27DEC17	3139.00	3264.00	USD	-6,250.00	
26SEP17	S 1 PD27DEC17	3149.00	3264.00	USD	-2,875.00	
26SEP17	S 1 PD27DEC17	3149.50	3264.00	USD	-2,862.50	
26SEP17	B 1 PD27DEC17	3148.50	3264.00	USD	2,887.50	
26SEP17	S 4 PD27DEC17	3146.50	3264.00	USD	-11,750.00	
26SEP17	S 2 PD27DEC17	3148.50	3264.00	USD	-5,775.00	
26SEP17	B 1 PD27DEC17	3117.00	3264.00	USD	3,675.00	
26SEP17	B 1 PD27DEC17	3117.50	3264.00	USD	3,662.50	
26SEP17	B 1 PD27DEC17	3118.50	3264.00	USD	3,637.50	
26SEP17	B 1 PD27DEC17	3120.00	3264.00	USD	3,600.00	
26SEP17	B 1 PD27DEC17	3105.50	3264.00	USD	3,962.50	
26SEP17	B 1 PD27DEC17	3109.50	3264.00	USD	3,862.50	
26SEP17	B 1 PD27DEC17	3111.00	3264.00	USD	3,825.00	
26SEP17	B 1 PD27DEC17	3112.50	3264.00	USD	3,787.50	
26SEP17	B 1 PD27DEC17	3111.50	3264.00	USD	3,812.50	
26SEP17	B 1 PD27DEC17	3112.00	3264.00	USD	3,800.00	
26SEP17	B 1 PD27DEC17	3113.50	3264.00	USD	3,762.50	
26SEP17	B 1 PD27DEC17	3114.00	3264.00	USD	3,750.00	
26SEP17	B 1 PD27DEC17	3114.50	3264.00	USD	3,737.50	
26SEP17	B 1 PD27DEC17	3115.00	3264.00	USD	3,725.00	
26SEP17	B 3 PD27DEC17	3115.50	3264.00	USD	11,137.50	
26SEP17	S 1 PD27DEC17	3112.00	3264.00	USD	-3,800.00	
26SEP17	B 1 PD27DEC17	3106.00	3264.00	USD	3,950.00	
26SEP17	B 2 PD27DEC17	3107.50	3264.00	USD	7,825.00	
26SEP17	B 2 PD27DEC17	3108.50	3264.00	USD	7,775.00	
26SEP17	S 1 PD27DEC17	3109.50	3264.00	USD	-3,862.50	
26SEP17	S 1 PD27DEC17	3111.00	3264.00	USD	-3,825.00	
26SEP17	S 2 PD27DEC17	3112.50	3264.00	USD	-7,575.00	
27SEP17	S 1 PD27DEC17	3137.50	3264.00	USD	-3,162.50	
27SEP17	S 2 PD27DEC17	3140.50	3264.00	USD	-6,175.00	
27SEP17	S 1 PD27DEC17	3142.00	3264.00	USD	-3,050.00	
27SEP17	S 3 PD27DEC17	3143.50	3264.00	USD	-9,037.50	
27SEP17	S 1 PD27DEC17	3144.00	3264.00	USD	-3,000.00	
27SEP17	S 2 PD27DEC17	3145.00	3264.00	USD	-5,950.00	
27SEP17	S 1 PD27DEC17	3145.50	3264.00	USD	-2,962.50	
27SEP17	S 2 PD27DEC17	3146.00	3264.00	USD	-5,900.00	
27SEP17	S 1 PD27DEC17	3147.00	3264.00	USD	-2,925.00	
27SEP17	B 1 PD27DEC17	3123.00	3264.00	USD	3,525.00	
27SEP17	B 1 PD27DEC17	3105.50	3264.00	USD	3,962.50	
27SEP17	B 1 PD27DEC17	3107.00	3264.00	USD	3,925.00	
27SEP17	B 1 PD27DEC17	3117.00	3264.00	USD	3,675.00	
27SEP17	B 6 PD27DEC17	3105.20	3264.00	USD	23,820.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094E

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
28SEP17	B 5 PD27DEC17	3147.45	3264.00	USD	14,568.75	
	NET 0 AVG BGHT 3112.09 SOLD 3126.48				**16,188.75**	**0.00**
28SEP17	S 1 PD28DEC17	3106.00	3264.00	USD	-3,950.00	
28SEP17	S 1 PD28DEC17	3109.50	3264.00	USD	-3,862.50	
28SEP17	S 1 PD28DEC17	3103.50	3264.00	USD	-4,012.50	
28SEP17	S 1 PD28DEC17	3109.00	3264.00	USD	-3,875.00	
28SEP17	S 1 PD28DEC17	3110.50	3264.00	USD	-3,837.50	
28SEP17	S 1 PD28DEC17	3112.00	3264.00	USD	-3,800.00	
28SEP17	S 1 PD28DEC17	3131.00	3264.00	USD	-3,325.00	
28SEP17	S 1 PD28DEC17	3130.00	3264.00	USD	-3,350.00	
28SEP17	S 1 PD28DEC17	3145.00	3264.00	USD	-2,975.00	
28SEP17	S 1 PD28DEC17	3128.50	3264.00	USD	-3,387.50	
28SEP17	S 1 PD28DEC17	3128.00	3264.00	USD	-3,400.00	
28SEP17	S 1 PD28DEC17	3134.50	3264.00	USD	-3,237.50	
28SEP17	B 2 PD28DEC17	3159.50	3264.00	USD	5,225.00	
28SEP17	B 1 PD28DEC17	3154.50	3264.00	USD	2,737.50	
28SEP17	B 1 PD28DEC17	3158.00	3264.00	USD	2,650.00	
28SEP17	B 4 PD28DEC17	3147.20	3264.00	USD	11,680.00	
02OCT17	B 4 PD28DEC17	3236.20	3264.00	USD	2,780.00	
	NET 0 AVG BGHT 3180.43 SOLD 3120.63				**-17,940.00**	**0.00**
29SEP17	B 1 PD29DEC17	3152.50	3264.00	USD	2,787.50	
29SEP17	B 1 PD29DEC17	3150.00	3264.00	USD	2,850.00	
29SEP17	B 2 PD29DEC17	3148.00	3264.00	USD	5,800.00	
29SEP17	S 1 PD29DEC17	3167.00	3264.00	USD	-2,425.00	
29SEP17	B 1 PD29DEC17	3147.50	3264.00	USD	2,912.50	
29SEP17	B 1 PD29DEC17	3149.00	3264.00	USD	2,875.00	
29SEP17	S 2 PD29DEC17	3149.00	3264.00	USD	-5,750.00	
29SEP17	S 2 PD29DEC17	3163.00	3264.00	USD	-5,050.00	
29SEP17	S 1 PD29DEC17	3168.00	3264.00	USD	-2,400.00	
29SEP17	S 4 PD29DEC17	3146.85	3264.00	USD	-11,715.00	
29SEP17	S 1 PD29DEC17	3150.50	3264.00	USD	-2,837.50	
29SEP17	S 1 PD29DEC17	3151.00	3264.00	USD	-2,825.00	
29SEP17	S 1 PD29DEC17	3155.00	3264.00	USD	-2,725.00	
29SEP17	S 1 PD29DEC17	3155.50	3264.00	USD	-2,712.50	
02OCT17	B 8 PD29DEC17	3236.20	3264.00	USD	5,560.00	
	NET 0 AVG BGHT 3198.90 SOLD 3154.17				**-15,655.00**	**0.00**
03OCT17	S 1 PD03JAN18	3227.50	3264.00	USD	-912.50	
03OCT17	S 2 PD03JAN18	3228.50	3264.00	USD	-1,775.00	
03OCT17	S 1 PD03JAN18	3224.00	3264.00	USD	-1,000.00	
03OCT17	S 3 PD03JAN18	3225.00	3264.00	USD	-2,925.00	
03OCT17	S 1 PD03JAN18	3253.50	3264.00	USD	-262.50	
03OCT17	S 1 PD03JAN18	3262.50	3264.00	USD	-37.50	
03OCT17	S 2 PD03JAN18	3266.50	3264.00	USD	125.00	
03OCT17	S 1 PD03JAN18	3259.00	3264.00	USD	-125.00	
03OCT17	S 1 PD03JAN18	3258.50	3264.00	USD	-137.50	
03OCT17	S 1 PD03JAN18	3260.00	3264.00	USD	-100.00	
03OCT17	S 2 PD03JAN18	3260.50	3264.00	USD	-175.00	
03OCT17	S 2 PD03JAN18	3264.50	3264.00	USD	25.00	
03OCT17	S 2 PD03JAN18	3267.50	3264.00	USD	175.00	
03OCT17	S 2 PD03JAN18	3269.00	3264.00	USD	250.00	
03OCT17	S 1 PD03JAN18	3244.00	3264.00	USD	-500.00	
03OCT17	S 1 PD03JAN18	3246.50	3264.00	USD	-437.50	
03OCT17	S 1 PD03JAN18	3268.50	3264.00	USD	112.50	
03OCT17	S 1 PD03JAN18	3270.00	3264.00	USD	150.00	
03OCT17	S 2 PD03JAN18	3263.00	3264.00	USD	-50.00	
03OCT17	S 1 PD03JAN18	3263.50	3264.00	USD	-12.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094E

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
03OCT17	S 2 PD03JAN18	3265.00	3264.00	USD	50.00	
03OCT17	S 2 PD03JAN18	3262.00	3264.00	USD	-100.00	
03OCT17	S 2 PD03JAN18	3264.00	3264.00	USD	0.00	
03OCT17	S 1 PD03JAN18	3266.00	3264.00	USD	50.00	
03OCT17	B 1 PD03JAN18	3276.00	3264.00	USD	-300.00	
03OCT17	S 1 PD03JAN18	3270.50	3264.00	USD	162.50	
03OCT17	S 1 PD03JAN18	3279.50	3264.00	USD	387.50	
03OCT17	S 1 PD03JAN18	3280.50	3264.00	USD	412.50	
03OCT17	B 1 PD03JAN18	3287.50	3264.00	USD	-587.50	
03OCT17	S 3 PD03JAN18	3275.00	3264.00	USD	825.00	
03OCT17	B 1 PD03JAN18	3275.00	3264.00	USD	-275.00	
03OCT17	B 20 PD03JAN18	3264.20	3264.00	USD	-100.00	
	NET -19 AVG BGHT 3266.20 SOLD 3258.45				**-7,087.50**	**0.00**

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	1,243,407.00
LME Copper Grade A 25	FUTURES	-250.00
CMX Copper	FUTURES	73,487.50
CMX Gold	FUTURES	131,260.00
LME Lead 25 tonnes. This is an	FUTURES	3,368,314.50
LME Nickel 6 tonnes. This is	FUTURES	-439,926.36
CMX Silver	FUTURES	-682,575.00
LME Zinc 25 tonnes. This is	FUTURES	8,647,296.50

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options			Amount	Profit / Loss	**Total Amount**	**Total P&L**
COPR	- Futures	USD	-600.00	-600.00		
GOLD	- Futures	USD	3,800.00	3,800.00		
SILV	- Futures	USD	-16,575.00	-16,575.00	**-13,375.00**	**-13,375.00**
Unrealised Profits & Losses - Forwards			**Amount**	**Profit / Loss**	**Total Amount**	**Total P&L**
ALUL	- Futures	USD	25,430.50	25,430.50		
COPL	- Futures	USD	-250.00	-250.00		
LEAD	- Futures	USD	-321,214.25	-321,214.25		
NKL	- Futures	USD	324,069.30	324,069.30		
ZINC	- Futures	USD	-432,153.50	-432,153.50	**-404,117.95**	**-404,117.95**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094E

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-698,433.48	-698,433.48
Variation Margins	- Futures	-13,375.00	-13,375.00
	- Options	0.00	0.00
TOTAL EQUITY		**-711,808.48**	**-711,808.48**
Initial Margins		-1,443,139.95	-1,443,139.95
Excess/(Shortage)		**-2,154,948.43**	**-2,154,948.43**
FOR INFORMATION ONLY			
Forward Unrealised P&L		-404,117.95	-404,117.95
Net Liquidating Value		**-1,115,926.43**	**-1,115,926.43**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094F

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	CME JAPANESE YEN 12,500,000 CME					
12SEP17	S 4 DEC17	91.335	88.850	USD	12,425.00	
	NET -4 AVG SOLD 91.335				**12,425.00**	**0.00**
	CME E-MINI JAPANESE YEN 6,250,000 CME					
12SEP17	S 1 DEC17	0.091360	0.088850	USD	1,568.75	
	NET -1 AVG SOLD 0.091360				**1,568.75**	**0.00**

OPEN POSITION VALUE

		USD
CME Japanese Yen	FUTURES	456,675.00
CME E-mini Japanese Yen	FUTURES	57,100.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options		Amount	Profit / Loss	**Total Amount**	**Total P&L**
JPY - Futures	USD	12,425.00	12,425.00		
MJY - Futures	USD	1,568.75	1,568.75	**13,993.75**	**13,993.75**

ACCOUNT FINANCIAL SUMMARY

		JPY	USD	NETT USD
		(112.76500)	(1.00000)	(1.00000)
CASH BALANCE		54,868,575	-498,866.16	-12,291.65
Variation Margins	- Futures	0	13,993.75	13,993.75
	- Options	0	0.00	0.00
TOTAL EQUITY		**54,868,575**	**-484,872.41**	**1,702.10**
Initial Margins		0	-12,375.00	-12,375.00
Excess/(Shortage)		**54,868,575**	**-497,247.41**	**-10,672.90**
FOR INFORMATION ONLY				
Net Liquidating Value		**54,868,575**	**-484,872.41**	**1,702.10**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094G

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
28JUL17	B 1 PD27OCT17	1913.50	2119.75	USD	5,156.25	
28JUL17	S 1 PD27OCT17	1911.50	2119.75	USD	-5,206.25	
	NET 0 AVG BGHT 1913.50 SOLD 1911.50				**-50.00**	**0.00**
31JUL17	S 1 PD31OCT17	1924.50	2117.75	USD	-4,831.25	
31JUL17	B 1 PD31OCT17	1918.20	2117.75	USD	4,988.75	
	NET 0 AVG BGHT 1918.20 SOLD 1924.50				**157.50**	**0.00**
16AUG17	S 1 PD01NOV17	2091.30	2117.42	USD	-653.00	
01SEP17	B 1 PD01NOV17	2131.13	2117.42	USD	-342.75	
	NET 0 AVG BGHT 2131.13 SOLD 2091.30				**-995.75**	**0.00**
02AUG17	B 1 PD02NOV17	1925.50	2117.08	USD	4,789.50	
01SEP17	S 1 PD02NOV17	2131.17	2117.08	USD	352.25	
	NET 0 AVG BGHT 1925.50 SOLD 2131.17				**5,141.75**	**0.00**
03AUG17	B 1 PD03NOV17	1913.50	2116.75	USD	5,081.25	
03AUG17	S 1 PD03NOV17	1921.00	2116.75	USD	-4,893.75	
03AUG17	B 1 PD03NOV17	1911.00	2116.75	USD	5,143.75	
16AUG17	S 1 PD03NOV17	2091.62	2116.75	USD	-628.25	
	NET 0 AVG BGHT 1912.25 SOLD 2006.31				**4,703.00**	**0.00**
07AUG17	S 1 PD07NOV17	1923.00	2116.08	USD	-4,827.00	
07AUG17	S 1 PD07NOV17	1923.50	2116.08	USD	-4,814.50	
07AUG17	B 1 PD07NOV17	1928.50	2116.08	USD	4,689.50	
07AUG17	S 1 PD07NOV17	1930.00	2116.08	USD	-4,652.00	
07AUG17	S 4 PD07NOV17	1929.00	2116.08	USD	-18,708.00	
07AUG17	S 1 PD07NOV17	1931.50	2116.08	USD	-4,614.50	
07AUG17	S 1 PD07NOV17	1963.00	2116.08	USD	-3,827.00	
07AUG17	S 1 PD07NOV17	1964.00	2116.08	USD	-3,802.00	
16AUG17	B 7 PD07NOV17	2092.25	2116.08	USD	4,170.25	
16AUG17	B 2 PD07NOV17	2092.45	2116.08	USD	1,181.50	
	NET 0 AVG BGHT 2075.92 SOLD 1935.10				**-35,203.75**	**0.00**
08AUG17	S 1 PD08NOV17	2008.00	2115.75	USD	-2,693.75	
08AUG17	S 1 PD08NOV17	2009.00	2115.75	USD	-2,668.75	
16AUG17	B 1 PD08NOV17	2092.50	2115.75	USD	581.25	
01SEP17	B 1 PD08NOV17	2132.81	2115.75	USD	-426.50	
	NET 0 AVG BGHT 2112.66 SOLD 2008.50				**-5,207.75**	**0.00**
09AUG17	B 1 PD09NOV17	2031.00	2116.11	USD	2,127.75	
09AUG17	B 1 PD09NOV17	2031.50	2116.11	USD	2,115.25	
09AUG17	S 1 PD09NOV17	2031.50	2116.11	USD	-2,115.25	
09AUG17	B 1 PD09NOV17	2027.00	2116.11	USD	2,227.75	
09AUG17	B 1 PD09NOV17	2028.00	2116.11	USD	2,202.75	
09AUG17	B 1 PD09NOV17	2021.50	2116.11	USD	2,365.25	
16AUG17	S 4 PD09NOV17	2092.55	2116.11	USD	-2,356.00	
	NET 0 AVG BGHT 2027.80 SOLD 2080.34				**6,567.50**	**0.00**
10AUG17	S 1 PD10NOV17	2038.50	2116.46	USD	-1,949.00	
10AUG17	S 1 PD10NOV17	2040.00	2116.46	USD	-1,911.50	
10AUG17	B 1 PD10NOV17	2040.00	2116.46	USD	1,911.50	
11AUG17	B 1 PD10NOV17	2043.00	2116.46	USD	1,836.50	
11AUG17	B 4 PD10NOV17	2044.00	2116.46	USD	7,246.00	
16AUG17	S 4 PD10NOV17	2092.80	2116.46	USD	-2,366.00	
	NET 0 AVG BGHT 2043.17 SOLD 2074.95				**4,767.50**	**0.00**
14AUG17	B 1 PD14NOV17	2029.00	2117.89	USD	2,222.25	
14AUG17	S 1 PD14NOV17	2031.00	2117.89	USD	-2,172.25	
	NET 0 AVG BGHT 2029.00 SOLD 2031.00				**50.00**	**0.00**
16AUG17	B 1 PD16NOV17	2076.50	2118.52	USD	1,050.50	
01SEP17	S 1 PD16NOV17	2133.55	2118.52	USD	375.75	
	NET 0 AVG BGHT 2076.50 SOLD 2133.55				**1,426.25**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094G

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
17AUG17	S 1 PD17NOV17	2107.00	2118.79	USD	-294.75	
01SEP17	B 1 PD17NOV17	2133.00	2118.79	USD	-355.25	
	NET 0 AVG BGHT 2133.00 SOLD 2107.00				**-650.00**	**0.00**
31AUG17	B 1 PD30NOV17	2128.50	2122.32	USD	-154.50	
01SEP17	S 1 PD30NOV17	2135.59	2122.32	USD	331.75	
	NET 0 AVG BGHT 2128.50 SOLD 2135.59				**177.25**	**0.00**
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
31JUL17	B 1 PD31OCT17	2334.50	2588.00	USD	6,337.50	
16AUG17	S 1 PD31OCT17	2515.31	2588.00	USD	-1,817.25	
	NET 0 AVG BGHT 2334.50 SOLD 2515.31				**4,520.25**	**0.00**
07AUG17	B 1 PD07NOV17	2370.00	2588.00	USD	5,450.00	
07AUG17	B 1 PD07NOV17	2370.50	2588.00	USD	5,437.50	
07AUG17	S 1 PD07NOV17	2367.50	2588.00	USD	-5,512.50	
16AUG17	S 1 PD07NOV17	2516.79	2588.00	USD	-1,780.25	
	NET 0 AVG BGHT 2370.25 SOLD 2442.15				**3,594.75**	**0.00**
08AUG17	B 1 PD08NOV17	2349.50	2588.00	USD	5,962.50	
08AUG17	S 1 PD08NOV17	2378.00	2588.00	USD	-5,250.00	
08AUG17	S 1 PD08NOV17	2382.00	2588.00	USD	-5,150.00	
08AUG17	B 1 PD08NOV17	2386.00	2588.00	USD	5,050.00	
	NET 0 AVG BGHT 2367.75 SOLD 2380.00				**612.50**	**0.00**
09AUG17	B 1 PD09NOV17	2399.00	2588.00	USD	4,725.00	
09AUG17	S 1 PD09NOV17	2405.00	2588.00	USD	-4,575.00	
09AUG17	B 1 PD09NOV17	2378.00	2588.00	USD	5,250.00	
09AUG17	S 1 PD09NOV17	2371.00	2588.00	USD	-5,425.00	
09AUG17	B 1 PD09NOV17	2367.50	2588.00	USD	5,512.50	
01SEP17	S 1 PD09NOV17	2388.87	2588.00	USD	-4,978.25	
	NET 0 AVG BGHT 2381.50 SOLD 2388.29				**509.25**	**0.00**
10AUG17	S 1 PD10NOV17	2379.50	2588.00	USD	-5,212.50	
10AUG17	S 1 PD10NOV17	2366.50	2588.00	USD	-5,537.50	
10AUG17	B 1 PD10NOV17	2369.00	2588.00	USD	5,475.00	
11AUG17	S 1 PD10NOV17	2342.00	2588.00	USD	-6,150.00	
11AUG17	B 1 PD10NOV17	2344.00	2588.00	USD	6,100.00	
11AUG17	S 1 PD10NOV17	2356.00	2588.00	USD	-5,800.00	
11AUG17	B 1 PD10NOV17	2340.50	2588.00	USD	6,187.50	
16AUG17	B 1 PD10NOV17	2517.54	2588.00	USD	1,761.50	
	NET 0 AVG BGHT 2392.76 SOLD 2361.00				**-3,176.00**	**0.00**
16AUG17	B 1 PD16NOV17	2432.50	2588.13	USD	3,890.75	
16AUG17	S 1 PD16NOV17	2512.00	2588.13	USD	-1,903.25	
	NET 0 AVG BGHT 2432.50 SOLD 2512.00				**1,987.50**	**0.00**
17AUG17	S 1 PD17NOV17	2531.00	2588.26	USD	-1,431.50	
01SEP17	B 1 PD17NOV17	2391.23	2588.26	USD	4,925.75	
	NET 0 AVG BGHT 2391.23 SOLD 2531.00				**3,494.25**	**0.00**
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
28JUL17	S 1 PD27OCT17	10210.00	10557.68	USD	-2,086.08	
28JUL17	S 1 PD27OCT17	10195.00	10557.68	USD	-2,176.08	
28JUL17	S 1 PD27OCT17	10215.00	10557.68	USD	-2,056.08	
28JUL17	B 3 PD27OCT17	10201.00	10557.68	USD	6,420.24	
	NET 0 AVG BGHT 10201.00 SOLD 10206.67				**102.00**	**0.00**
31JUL17	B 1 PD31OCT17	10260.00	10561.54	USD	1,809.24	
31JUL17	B 1 PD31OCT17	10275.00	10561.54	USD	1,719.24	
31JUL17	B 1 PD31OCT17	10285.00	10561.54	USD	1,659.24	
31JUL17	B 2 PD31OCT17	10280.00	10561.54	USD	3,378.48	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094G

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
01SEP17	S 5 PD31OCT17	12013.74	10561.54	USD	43,566.00	
	NET 0 AVG BGHT 10276.00 SOLD 12013.74				**52,132.20**	**0.00**
03AUG17	S 1 PD03NOV17	10365.00	10564.43	USD	-1,196.58	
03AUG17	S 3 PD03NOV17	10370.00	10564.43	USD	-3,499.74	
16AUG17	B 1 PD03NOV17	10753.69	10564.43	USD	-1,135.56	
01SEP17	B 3 PD03NOV17	12017.19	10564.43	USD	-26,149.68	
	NET 0 AVG BGHT 11701.32 SOLD 10368.75				**-31,981.56**	**0.00**
10AUG17	S 1 PD10NOV17	10935.00	10571.18	USD	2,182.92	
11AUG17	B 1 PD10NOV17	10655.00	10571.18	USD	-502.92	
	NET 0 AVG BGHT 10655.00 SOLD 10935.00				**1,680.00**	**0.00**
14AUG17	B 1 PD14NOV17	10505.00	10575.04	USD	420.24	
14AUG17	B 1 PD14NOV17	10490.00	10575.04	USD	510.24	
14AUG17	B 1 PD14NOV17	10415.00	10575.04	USD	960.24	
14AUG17	B 1 PD14NOV17	10420.00	10575.04	USD	930.24	
01SEP17	S 4 PD14NOV17	12025.18	10575.04	USD	34,803.36	
	NET 0 AVG BGHT 10457.50 SOLD 12025.18				**37,624.32**	**0.00**
17AUG17	S 2 PD17NOV17	10775.00	10577.77	USD	2,366.76	
01SEP17	B 2 PD17NOV17	12026.67	10577.77	USD	-17,386.80	
	NET 0 AVG BGHT 12026.67 SOLD 10775.00				**-15,020.04**	**0.00**
21AUG17	S 1 PD21NOV17	11260.00	10581.31	USD	4,072.14	
21AUG17	S 1 PD21NOV17	11265.00	10581.31	USD	4,102.14	
21AUG17	S 1 PD21NOV17	11330.00	10581.31	USD	4,492.14	
01SEP17	B 3 PD21NOV17	12029.33	10581.31	USD	-26,064.36	
	NET 0 AVG BGHT 12029.33 SOLD 11285.00				**-13,397.94**	**0.00**
23AUG17	S 1 PD24NOV17	11685.00	10583.97	USD	6,606.18	
01SEP17	B 1 PD24NOV17	12031.33	10583.97	USD	-8,684.16	
	NET 0 AVG BGHT 12031.33 SOLD 11685.00				**-2,077.98**	**0.00**
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
28JUL17	B 1 PD27OCT17	2768.00	3277.50	USD	12,737.50	
28JUL17	B 2 PD27OCT17	2772.00	3277.50	USD	25,275.00	
28JUL17	B 1 PD27OCT17	2771.00	3277.50	USD	12,662.50	
28JUL17	S 2 PD27OCT17	2787.00	3277.50	USD	-24,525.00	
28JUL17	S 1 PD27OCT17	2798.00	3277.50	USD	-11,987.50	
28JUL17	S 1 PD27OCT17	2775.80	3277.50	USD	-12,542.50	
	NET 0 AVG BGHT 2770.75 SOLD 2786.95				**1,620.00**	**0.00**
31JUL17	B 1 PD31OCT17	2812.00	3275.50	USD	11,587.50	
31JUL17	S 1 PD31OCT17	2811.00	3275.50	USD	-11,612.50	
31JUL17	S 1 PD31OCT17	2806.00	3275.50	USD	-11,737.50	
31JUL17	S 1 PD31OCT17	2806.50	3275.50	USD	-11,725.00	
31JUL17	S 2 PD31OCT17	2807.00	3275.50	USD	-23,425.00	
16AUG17	B 4 PD31OCT17	3118.77	3275.50	USD	15,673.00	
	NET 0 AVG BGHT 3057.42 SOLD 2807.50				**-31,239.50**	**0.00**
01AUG17	S 1 PD01NOV17	2785.00	3274.50	USD	-12,237.50	
16AUG17	B 1 PD01NOV17	3118.88	3274.50	USD	3,890.50	
	NET 0 AVG BGHT 3118.88 SOLD 2785.00				**-8,347.00**	**0.00**
02AUG17	B 1 PD02NOV17	2766.00	3273.50	USD	12,687.50	
02AUG17	S 1 PD02NOV17	2785.50	3273.50	USD	-12,200.00	
	NET 0 AVG BGHT 2766.00 SOLD 2785.50				**487.50**	**0.00**
04AUG17	B 1 PD03NOV17	2804.00	3272.00	USD	11,700.00	
04AUG17	B 2 PD03NOV17	2812.50	3272.00	USD	22,975.00	
16AUG17	S 3 PD03NOV17	3118.92	3272.00	USD	-11,481.00	
	NET 0 AVG BGHT 2809.67 SOLD 3118.92				**23,194.00**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094G

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
07AUG17	S	1	PD07NOV17	2826.50	3272.00	USD	-11,137.50	
07AUG17	S	1	PD07NOV17	2826.00	3272.00	USD	-11,150.00	
07AUG17	S	1	PD07NOV17	2828.00	3272.00	USD	-11,100.00	
07AUG17	S	1	PD07NOV17	2824.50	3272.00	USD	-11,187.50	
07AUG17	S	1	PD07NOV17	2825.00	3272.00	USD	-11,175.00	
07AUG17	S	2	PD07NOV17	2825.50	3272.00	USD	-22,325.00	
07AUG17	S	2	PD07NOV17	2830.50	3272.00	USD	-22,075.00	
07AUG17	S	1	PD07NOV17	2831.50	3272.00	USD	-11,012.50	
07AUG17	B	1	PD07NOV17	2886.50	3272.00	USD	9,637.50	
07AUG17	B	1	PD07NOV17	2879.50	3272.00	USD	9,812.50	
07AUG17	B	1	PD07NOV17	2881.00	3272.00	USD	9,775.00	
07AUG17	B	1	PD07NOV17	2884.00	3272.00	USD	9,700.00	
07AUG17	B	1	PD07NOV17	2871.00	3272.00	USD	10,025.00	
07AUG17	B	1	PD07NOV17	2867.00	3272.00	USD	10,125.00	
07AUG17	B	1	PD07NOV17	2868.00	3272.00	USD	10,100.00	
07AUG17	B	1	PD07NOV17	2872.50	3272.00	USD	9,987.50	
07AUG17	B	1	PD07NOV17	2867.50	3272.00	USD	10,112.50	
16AUG17	B	1	PD07NOV17	3119.58	3272.00	USD	3,810.50	
	NET	**0**	**AVG BGHT 2899.66**	**SOLD 2827.35**			**-18,077.00**	**0.00**
08AUG17	S	1	PD08NOV17	2880.00	3272.00	USD	-9,800.00	
08AUG17	B	1	PD08NOV17	2895.50	3272.00	USD	9,412.50	
08AUG17	B	1	PD08NOV17	2897.50	3272.00	USD	9,362.50	
08AUG17	S	1	PD08NOV17	2913.00	3272.00	USD	-8,975.00	
08AUG17	B	1	PD08NOV17	2914.50	3272.00	USD	8,937.50	
08AUG17	S	1	PD08NOV17	2913.50	3272.00	USD	-8,962.50	
08AUG17	S	2	PD08NOV17	2914.50	3272.00	USD	-17,875.00	
08AUG17	S	1	PD08NOV17	2926.50	3272.00	USD	-8,637.50	
16AUG17	B	3	PD08NOV17	3119.69	3272.00	USD	11,423.25	
	NET	**0**	**AVG BGHT 3011.10**	**SOLD 2910.33**			**-15,114.25**	**0.00**
09AUG17	S	1	PD09NOV17	2947.50	3272.00	USD	-8,112.50	
09AUG17	S	1	PD09NOV17	2979.50	3272.00	USD	-7,312.50	
09AUG17	S	1	PD09NOV17	2974.00	3272.00	USD	-7,450.00	
09AUG17	S	1	PD09NOV17	2973.50	3272.00	USD	-7,462.50	
09AUG17	S	1	PD09NOV17	2961.50	3272.00	USD	-7,762.50	
09AUG17	B	1	PD09NOV17	2957.00	3272.00	USD	7,875.00	
09AUG17	B	3	PD09NOV17	2956.00	3272.00	USD	23,700.00	
09AUG17	B	2	PD09NOV17	2950.00	3272.00	USD	16,100.00	
09AUG17	B	1	PD09NOV17	2951.00	3272.00	USD	8,025.00	
09AUG17	S	1	PD09NOV17	2954.00	3272.00	USD	-7,950.00	
09AUG17	S	1	PD09NOV17	2955.00	3272.00	USD	-7,925.00	
09AUG17	S	1	PD09NOV17	2967.00	3272.00	USD	-7,625.00	
09AUG17	S	1	PD09NOV17	2965.50	3272.00	USD	-7,662.50	
09AUG17	S	1	PD09NOV17	2975.00	3272.00	USD	-7,425.00	
09AUG17	B	1	PD09NOV17	2972.50	3272.00	USD	7,487.50	
09AUG17	S	2	PD09NOV17	2953.00	3272.00	USD	-15,950.00	
09AUG17	B	1	PD09NOV17	2941.00	3272.00	USD	8,275.00	
09AUG17	S	1	PD09NOV17	2946.50	3272.00	USD	-8,137.50	
09AUG17	S	1	PD09NOV17	2956.50	3272.00	USD	-7,887.50	
16AUG17	B	4	PD09NOV17	3119.81	3272.00	USD	15,219.00	
01SEP17	B	1	PD09NOV17	3183.90	3272.00	USD	2,202.50	
	NET	**0**	**AVG BGHT 3018.05**	**SOLD 2961.54**			**-19,778.50**	**0.00**
10AUG17	B	1	PD10NOV17	2943.00	3272.00	USD	8,225.00	
10AUG17	B	1	PD10NOV17	2949.50	3272.00	USD	8,062.50	
10AUG17	B	1	PD10NOV17	2921.00	3272.00	USD	8,775.00	
10AUG17	S	1	PD10NOV17	2939.50	3272.00	USD	-8,312.50	
11AUG17	B	1	PD10NOV17	2895.00	3272.00	USD	9,425.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094G

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
11AUG17	S	1	PD10NOV17	2911.00	3272.00	USD	-9,025.00	
11AUG17	B	1	PD10NOV17	2911.00	3272.00	USD	9,025.00	
11AUG17	S	1	PD10NOV17	2907.00	3272.00	USD	-9,125.00	
11AUG17	B	1	PD10NOV17	2905.00	3272.00	USD	9,175.00	
11AUG17	B	1	PD10NOV17	2899.00	3272.00	USD	9,325.00	
11AUG17	B	1	PD10NOV17	2901.50	3272.00	USD	9,262.50	
11AUG17	B	1	PD10NOV17	2902.50	3272.00	USD	9,237.50	
11AUG17	B	1	PD10NOV17	2897.00	3272.00	USD	9,375.00	
11AUG17	B	1	PD10NOV17	2898.50	3272.00	USD	9,337.50	
11AUG17	B	1	PD10NOV17	2899.50	3272.00	USD	9,312.50	
11AUG17	B	1	PD10NOV17	2900.50	3272.00	USD	9,287.50	
16AUG17	S	10	PD10NOV17	3119.72	3272.00	USD	-38,070.00	
	NET	**0**	**AVG BGHT 2909.46**	**SOLD 3073.44**			**53,292.50**	**0.00**
14AUG17	S	1	PD14NOV17	2916.00	3270.33	USD	-8,858.25	
14AUG17	S	1	PD14NOV17	2917.00	3270.33	USD	-8,833.25	
01SEP17	B	2	PD14NOV17	3184.25	3270.33	USD	4,304.00	
	NET	**0**	**AVG BGHT 3184.25**	**SOLD 2916.50**			**-13,387.50**	**0.00**
16AUG17	S	1	PD16NOV17	3023.00	3267.50	USD	-6,112.50	
16AUG17	S	1	PD16NOV17	3023.50	3267.50	USD	-6,100.00	
16AUG17	S	3	PD16NOV17	3022.00	3267.50	USD	-18,412.50	
16AUG17	S	1	PD16NOV17	3024.00	3267.50	USD	-6,087.50	
16AUG17	S	1	PD16NOV17	3028.50	3267.50	USD	-5,975.00	
16AUG17	S	1	PD16NOV17	3030.00	3267.50	USD	-5,937.50	
16AUG17	B	1	PD16NOV17	3022.00	3267.50	USD	6,137.50	
16AUG17	S	1	PD16NOV17	3035.00	3267.50	USD	-5,812.50	
16AUG17	S	1	PD16NOV17	3050.00	3267.50	USD	-5,437.50	
16AUG17	B	1	PD16NOV17	3114.50	3267.50	USD	3,825.00	
16AUG17	B	1	PD16NOV17	3111.50	3267.50	USD	3,900.00	
16AUG17	B	1	PD16NOV17	3121.00	3267.50	USD	3,662.50	
16AUG17	B	7	PD16NOV17	3119.20	3267.50	USD	25,952.50	
01SEP17	S	1	PD16NOV17	3181.80	3267.50	USD	-2,142.50	
	NET	**0**	**AVG BGHT 3109.40**	**SOLD 3041.98**			**-18,540.00**	**0.00**
17AUG17	B	1	PD17NOV17	3142.00	3265.50	USD	3,087.50	
17AUG17	B	1	PD17NOV17	3134.00	3265.50	USD	3,287.50	
01SEP17	S	2	PD17NOV17	3181.80	3265.50	USD	-4,185.00	
	NET	**0**	**AVG BGHT 3138.00**	**SOLD 3181.80**			**2,190.00**	**0.00**
21AUG17	S	1	PD21NOV17	3139.50	3264.83	USD	-3,133.25	
21AUG17	B	1	PD21NOV17	3125.70	3264.83	USD	3,478.25	
	NET	**0**	**AVG BGHT 3125.70**	**SOLD 3139.50**			**345.00**	**0.00**
24AUG17	B	1	PD24NOV17	3111.50	3264.71	USD	3,830.25	
25AUG17	S	1	PD24NOV17	3090.50	3264.71	USD	-4,355.25	
	NET	**0**	**AVG BGHT 3111.50**	**SOLD 3090.50**			**-525.00**	**0.00**
29AUG17	S	1	PD29NOV17	3107.50	3265.25	USD	-3,943.75	
29AUG17	B	1	PD29NOV17	3093.50	3265.25	USD	4,293.75	
29AUG17	B	1	PD29NOV17	3109.00	3265.25	USD	3,906.25	
29AUG17	S	1	PD29NOV17	3119.00	3265.25	USD	-3,656.25	
	NET	**0**	**AVG BGHT 3101.25**	**SOLD 3113.25**			**600.00**	**0.00**
31AUG17	B	1	PD30NOV17	3127.50	3265.36	USD	3,446.50	
31AUG17	B	3	PD30NOV17	3127.00	3265.36	USD	10,377.00	
31AUG17	B	2	PD30NOV17	3125.00	3265.36	USD	7,018.00	
31AUG17	B	1	PD30NOV17	3128.00	3265.36	USD	3,434.00	
31AUG17	B	2	PD30NOV17	3128.50	3265.36	USD	6,843.00	
31AUG17	S	1	PD30NOV17	3141.50	3265.36	USD	-3,096.50	
31AUG17	B	1	PD30NOV17	3158.50	3265.36	USD	2,671.50	
31AUG17	B	5	PD30NOV17	3126.50	3265.36	USD	17,357.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094G

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
31AUG17	S 5 PD30NOV17	3127.60	3265.36	USD	-17,220.00	
31AUG17	S 3 PD30NOV17	3125.60	3265.36	USD	-10,482.00	
31AUG17	S 6 PD30NOV17	3145.80	3265.36	USD	-17,934.00	
	NET 0 AVG BGHT 3128.97 SOLD 3135.41				**2,415.00**	**0.00**

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	-19,116.50
LME Lead 25 tonnes. This is an	FUTURES	11,542.50
LME Nickel 6 tonnes. This is	FUTURES	29,061.00
LME Zinc 25 tonnes. This is	FUTURES	-40,864.75

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards			Amount	Profit / Loss	**Total Amount**	**Total P&L**
ALUL	- Futures	USD	-19,116.50	-19,116.50		
LEAD	- Futures	USD	11,542.50	11,542.50		
NKL	- Futures	USD	29,061.00	29,061.00		
ZINC	- Futures	USD	-40,864.75	-40,864.75	**-19,377.75**	**-19,377.75**

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		39,358.92	39,358.92
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**39,358.92**	**39,358.92**
Initial Margins		-19,377.75	-19,377.75
Excess/(Shortage)		**19,981.17**	**19,981.17**
FOR INFORMATION ONLY			
Forward Unrealised P&L		-19,377.75	-19,377.75
Net Liquidating Value		**19,981.17**	**19,981.17**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094H

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
10JUL17	B 1 PD10OCT17	1899.50	2115.92	USD	5,410.50	
31JUL17	S 1 PD10OCT17	1912.96	2115.92	USD	-5,074.00	
	NET 0 AVG BGHT 1899.50 SOLD 1912.96				**336.50**	**0.00**
13JUL17	S 1 PD13OCT17	1930.50	2116.25	USD	-4,643.75	
13JUL17	S 1 PD13OCT17	1934.00	2116.25	USD	-4,556.25	
13JUL17	S 1 PD13OCT17	1922.80	2116.25	USD	-4,836.25	
31JUL17	B 2 PD13OCT17	1913.83	2116.25	USD	10,121.00	
31JUL17	B 1 PD13OCT17	1913.63	2116.25	USD	5,065.50	
	NET 0 AVG BGHT 1913.76 SOLD 1929.10				**1,150.25**	**0.00**
17JUL17	B 1 PD17OCT17	1905.00	2116.25	USD	5,281.25	
17JUL17	B 1 PD17OCT17	1913.00	2116.25	USD	5,081.25	
17JUL17	B 1 PD17OCT17	1900.50	2116.25	USD	5,393.75	
31JUL17	S 1 PD17OCT17	1914.33	2116.25	USD	-5,048.00	
31JUL17	S 2 PD17OCT17	1914.53	2116.25	USD	-10,086.00	
	NET 0 AVG BGHT 1906.17 SOLD 1914.46				**622.25**	**0.00**
18JUL17	S 1 PD18OCT17	1918.50	2116.25	USD	-4,943.75	
18JUL17	S 1 PD18OCT17	1921.50	2116.25	USD	-4,868.75	
18JUL17	S 1 PD18OCT17	1924.00	2116.25	USD	-4,806.25	
18JUL17	S 1 PD18OCT17	1933.00	2116.25	USD	-4,581.25	
31JUL17	B 2 PD18OCT17	1914.95	2116.25	USD	10,065.00	
31JUL17	B 2 PD18OCT17	1914.75	2116.25	USD	10,075.00	
	NET 0 AVG BGHT 1914.85 SOLD 1924.25				**940.00**	**0.00**
19JUL17	S 1 PD19OCT17	1934.00	2116.64	USD	-4,566.00	
19JUL17	S 1 PD19OCT17	1935.50	2116.64	USD	-4,528.50	
19JUL17	S 1 PD19OCT17	1939.00	2116.64	USD	-4,441.00	
19JUL17	B 1 PD19OCT17	1921.50	2116.64	USD	4,878.50	
19JUL17	B 2 PD19OCT17	1921.00	2116.64	USD	9,782.00	
19JUL17	S 1 PD19OCT17	1920.50	2116.64	USD	-4,903.50	
31JUL17	B 1 PD19OCT17	1914.25	2116.64	USD	5,059.75	
	NET 0 AVG BGHT 1919.44 SOLD 1932.25				**1,281.25**	**0.00**
21JUL17	B 1 PD20OCT17	1916.00	2117.03	USD	5,025.75	
21JUL17	B 1 PD20OCT17	1915.50	2117.03	USD	5,038.25	
31JUL17	S 2 PD20OCT17	1914.36	2117.03	USD	-10,133.50	
	NET 0 AVG BGHT 1915.75 SOLD 1914.36				**-69.50**	**0.00**
24JUL17	B 1 PD24OCT17	1911.00	2118.58	USD	5,189.50	
31JUL17	S 1 PD24OCT17	1915.61	2118.58	USD	-5,074.25	
	NET 0 AVG BGHT 1911.00 SOLD 1915.61				**115.25**	**0.00**
25JUL17	S 2 PD25OCT17	1925.50	2118.97	USD	-9,673.50	
25JUL17	S 1 PD25OCT17	1931.50	2118.97	USD	-4,686.75	
25JUL17	S 1 PD25OCT17	1948.50	2118.97	USD	-4,261.75	
25JUL17	S 1 PD25OCT17	1945.00	2118.97	USD	-4,349.25	
31JUL17	B 5 PD25OCT17	1916.13	2118.97	USD	25,355.00	
	NET 0 AVG BGHT 1916.13 SOLD 1935.20				**2,383.75**	**0.00**
26JUL17	S 1 PD26OCT17	1959.00	2119.36	USD	-4,009.00	
26JUL17	S 1 PD26OCT17	1960.00	2119.36	USD	-3,984.00	
26JUL17	B 1 PD26OCT17	1962.50	2119.36	USD	3,921.50	
26JUL17	S 2 PD26OCT17	1957.50	2119.36	USD	-8,093.00	
26JUL17	S 2 PD26OCT17	1961.50	2119.36	USD	-7,893.00	
26JUL17	S 4 PD26OCT17	1962.00	2119.36	USD	-15,736.00	
26JUL17	B 2 PD26OCT17	1936.00	2119.36	USD	9,168.00	
26JUL17	B 1 PD26OCT17	1937.50	2119.36	USD	4,546.50	
26JUL17	B 1 PD26OCT17	1934.50	2119.36	USD	4,621.50	
26JUL17	B 1 PD26OCT17	1935.00	2119.36	USD	4,609.00	
26JUL17	B 2 PD26OCT17	1935.50	2119.36	USD	9,193.00	
26JUL17	B 1 PD26OCT17	1933.00	2119.36	USD	4,659.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094H

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
26JUL17	B 1 PD26OCT17	1933.50	2119.36	USD	4,646.50	
26JUL17	B 1 PD26OCT17	1958.00	2119.36	USD	4,034.00	
26JUL17	B 1 PD26OCT17	1958.50	2119.36	USD	4,021.50	
26JUL17	B 1 PD26OCT17	1931.50	2119.36	USD	4,696.50	
26JUL17	S 1 PD26OCT17	1956.50	2119.36	USD	-4,071.50	
26JUL17	S 1 PD26OCT17	1957.00	2119.36	USD	-4,059.00	
26JUL17	S 1 PD26OCT17	1960.50	2119.36	USD	-3,971.50	
26JUL17	B 1 PD26OCT17	1938.00	2119.36	USD	4,534.00	
26JUL17	S 1 PD26OCT17	1937.50	2119.36	USD	-4,546.50	
26JUL17	B 1 PD26OCT17	1936.50	2119.36	USD	4,571.50	
26JUL17	S 1 PD26OCT17	1938.50	2119.36	USD	-4,521.50	
	NET 0 AVG BGHT 1940.10 SOLD 1957.00				**6,337.50**	**0.00**
27JUL17	S 1 PD27OCT17	1953.50	2119.75	USD	-4,156.25	
27JUL17	B 1 PD27OCT17	1940.50	2119.75	USD	4,481.25	
27JUL17	B 1 PD27OCT17	1934.50	2119.75	USD	4,631.25	
27JUL17	B 1 PD27OCT17	1935.50	2119.75	USD	4,606.25	
27JUL17	S 1 PD27OCT17	1934.50	2119.75	USD	-4,631.25	
28JUL17	B 1 PD27OCT17	1934.00	2119.75	USD	4,643.75	
28JUL17	S 1 PD27OCT17	1932.00	2119.75	USD	-4,693.75	
28JUL17	B 1 PD27OCT17	1929.00	2119.75	USD	4,768.75	
28JUL17	B 1 PD27OCT17	1927.00	2119.75	USD	4,818.75	
28JUL17	B 1 PD27OCT17	1925.50	2119.75	USD	4,856.25	
28JUL17	B 1 PD27OCT17	1922.00	2119.75	USD	4,943.75	
28JUL17	B 1 PD27OCT17	1913.50	2119.75	USD	5,156.25	
31JUL17	S 6 PD27OCT17	1916.55	2119.75	USD	-30,480.00	
	NET 0 AVG BGHT 1929.06 SOLD 1924.37				**-1,055.00**	**0.00**
31JUL17	B 2 PD31OCT17	1913.50	2117.75	USD	10,212.50	
31JUL17	B 1 PD31OCT17	1912.50	2117.75	USD	5,131.25	
31JUL17	B 2 PD31OCT17	1913.00	2117.75	USD	10,237.50	
31JUL17	S 5 PD31OCT17	1917.80	2117.75	USD	-24,993.75	
	NET 0 AVG BGHT 1913.10 SOLD 1917.80				**587.50**	**0.00**
01AUG17	B 2 PD01NOV17	1916.00	2117.42	USD	10,071.00	
03AUG17	S 2 PD01NOV17	1915.30	2117.42	USD	-10,106.00	
	NET 0 AVG BGHT 1916.00 SOLD 1915.30				**-35.00**	**0.00**
02AUG17	B 1 PD02NOV17	1922.00	2117.08	USD	4,877.00	
03AUG17	S 1 PD02NOV17	1915.55	2117.08	USD	-5,038.25	
21SEP17	S 3 PD02NOV17	2157.11	2117.08	USD	3,002.25	
25SEP17	S 1 PD02NOV17	2132.91	2117.08	USD	395.75	
27SEP17	S 1 PD02NOV17	2114.82	2117.08	USD	-56.50	
	NET -5 AVG BGHT 1922.00 SOLD 2105.77				**3,180.25**	**0.00**
03AUG17	B 1 PD03NOV17	1914.00	2116.75	USD	5,068.75	
03AUG17	B 1 PD03NOV17	1914.50	2116.75	USD	5,056.25	
03AUG17	B 1 PD03NOV17	1915.00	2116.75	USD	5,043.75	
03AUG17	B 1 PD03NOV17	1912.50	2116.75	USD	5,106.25	
03AUG17	B 3 PD03NOV17	1913.50	2116.75	USD	15,243.75	
03AUG17	S 4 PD03NOV17	1915.80	2116.75	USD	-20,095.00	
09AUG17	S 3 PD03NOV17	2026.43	2116.75	USD	-6,774.00	
	NET 0 AVG BGHT 1913.79 SOLD 1963.21				**8,649.75**	**0.00**
07AUG17	S 2 PD07NOV17	1928.50	2116.08	USD	-9,379.00	
07AUG17	S 1 PD07NOV17	1929.50	2116.08	USD	-4,664.50	
07AUG17	B 1 PD07NOV17	1937.00	2116.08	USD	4,477.00	
07AUG17	S 1 PD07NOV17	1934.50	2116.08	USD	-4,539.50	
07AUG17	S 1 PD07NOV17	1945.00	2116.08	USD	-4,277.00	
07AUG17	S 1 PD07NOV17	1946.00	2116.08	USD	-4,252.00	
07AUG17	S 1 PD07NOV17	1947.00	2116.08	USD	-4,227.00	
07AUG17	S 1 PD07NOV17	1951.50	2116.08	USD	-4,114.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094H

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
07AUG17	S 1 PD07NOV17	1952.50	2116.08	USD	-4,089.50	
07AUG17	S 1 PD07NOV17	1953.50	2116.08	USD	-4,064.50	
07AUG17	B 1 PD07NOV17	1956.50	2116.08	USD	3,989.50	
07AUG17	S 1 PD07NOV17	1954.50	2116.08	USD	-4,039.50	
07AUG17	S 3 PD07NOV17	1955.00	2116.08	USD	-12,081.00	
07AUG17	S 1 PD07NOV17	1955.50	2116.08	USD	-4,014.50	
07AUG17	S 1 PD07NOV17	1956.50	2116.08	USD	-3,989.50	
07AUG17	S 1 PD07NOV17	1951.00	2116.08	USD	-4,127.00	
07AUG17	S 1 PD07NOV17	1954.00	2116.08	USD	-4,052.00	
07AUG17	S 1 PD07NOV17	1963.50	2116.08	USD	-3,814.50	
07AUG17	S 1 PD07NOV17	1964.50	2116.08	USD	-3,789.50	
07AUG17	S 3 PD07NOV17	1968.50	2116.08	USD	-11,068.50	
07AUG17	S 1 PD07NOV17	1969.00	2116.08	USD	-3,677.00	
07AUG17	S 1 PD07NOV17	1971.50	2116.08	USD	-3,614.50	
07AUG17	S 1 PD07NOV17	1972.00	2116.08	USD	-3,602.00	
07AUG17	B 1 PD07NOV17	1975.00	2116.08	USD	3,527.00	
07AUG17	S 3 PD07NOV17	1977.50	2116.08	USD	-10,393.50	
07AUG17	B 1 PD07NOV17	1976.50	2116.08	USD	3,489.50	
09AUG17	B 23 PD07NOV17	2027.34	2116.08	USD	51,025.50	
11AUG17	B 2 PD07NOV17	2042.29	2116.08	USD	3,689.50	
	NET 0 AVG BGHT 2019.26 SOLD 1956.26				**-45,672.50**	**0.00**
08AUG17	S 1 PD08NOV17	1984.50	2115.75	USD	-3,281.25	
08AUG17	S 1 PD08NOV17	1985.00	2115.75	USD	-3,268.75	
08AUG17	S 1 PD08NOV17	1987.00	2115.75	USD	-3,218.75	
08AUG17	S 1 PD08NOV17	1989.00	2115.75	USD	-3,168.75	
08AUG17	S 1 PD08NOV17	1992.50	2115.75	USD	-3,081.25	
08AUG17	S 1 PD08NOV17	1993.00	2115.75	USD	-3,068.75	
08AUG17	S 1 PD08NOV17	1998.00	2115.75	USD	-2,943.75	
08AUG17	S 2 PD08NOV17	2003.00	2115.75	USD	-5,637.50	
08AUG17	S 1 PD08NOV17	2004.00	2115.75	USD	-2,793.75	
08AUG17	S 1 PD08NOV17	1997.00	2115.75	USD	-2,968.75	
08AUG17	S 2 PD08NOV17	1998.50	2115.75	USD	-5,862.50	
08AUG17	S 2 PD08NOV17	2001.50	2115.75	USD	-5,712.50	
08AUG17	S 1 PD08NOV17	2002.50	2115.75	USD	-2,831.25	
08AUG17	S 1 PD08NOV17	2003.50	2115.75	USD	-2,806.25	
08AUG17	S 1 PD08NOV17	2004.50	2115.75	USD	-2,781.25	
08AUG17	B 2 PD08NOV17	2034.00	2115.75	USD	4,087.50	
08AUG17	B 1 PD08NOV17	2034.50	2115.75	USD	2,031.25	
08AUG17	B 1 PD08NOV17	2032.50	2115.75	USD	2,081.25	
08AUG17	B 1 PD08NOV17	2027.00	2115.75	USD	2,218.75	
08AUG17	B 1 PD08NOV17	2025.50	2115.75	USD	2,256.25	
08AUG17	B 4 PD08NOV17	2027.50	2115.75	USD	8,825.00	
08AUG17	B 1 PD08NOV17	2028.00	2115.75	USD	2,193.75	
11AUG17	B 7 PD08NOV17	2042.43	2115.75	USD	12,831.00	
	NET 0 AVG BGHT 2034.58 SOLD 1997.03				**-16,900.25**	**0.00**
09AUG17	S 1 PD09NOV17	2030.50	2116.11	USD	-2,140.25	
09AUG17	S 1 PD09NOV17	2032.50	2116.11	USD	-2,090.25	
09AUG17	S 1 PD09NOV17	2033.50	2116.11	USD	-2,065.25	
09AUG17	S 2 PD09NOV17	2028.50	2116.11	USD	-4,380.50	
09AUG17	S 3 PD09NOV17	2029.50	2116.11	USD	-6,495.75	
09AUG17	S 1 PD09NOV17	2032.00	2116.11	USD	-2,102.75	
09AUG17	S 1 PD09NOV17	2037.00	2116.11	USD	-1,977.75	
09AUG17	S 1 PD09NOV17	2038.00	2116.11	USD	-1,952.75	
09AUG17	S 1 PD09NOV17	2041.50	2116.11	USD	-1,865.25	
09AUG17	B 1 PD09NOV17	2034.50	2116.11	USD	2,040.25	
09AUG17	B 1 PD09NOV17	2026.50	2116.11	USD	2,240.25	
11AUG17	B 10 PD09NOV17	2042.56	2116.11	USD	18,387.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094H

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.							
	NET	**0**	**AVG BGHT 2040.55 SOLD 2032.54**				**-2,402.50**	**0.00**
10AUG17	S	1	PD10NOV17	2031.50	2116.46	USD	-2,124.00	
10AUG17	S	1	PD10NOV17	2032.00	2116.46	USD	-2,111.50	
10AUG17	S	2	PD10NOV17	2033.00	2116.46	USD	-4,173.00	
10AUG17	B	1	PD10NOV17	2031.00	2116.46	USD	2,136.50	
10AUG17	S	1	PD10NOV17	2042.00	2116.46	USD	-1,861.50	
10AUG17	S	2	PD10NOV17	2043.00	2116.46	USD	-3,673.00	
10AUG17	B	1	PD10NOV17	2038.50	2116.46	USD	1,949.00	
10AUG17	B	2	PD10NOV17	2040.00	2116.46	USD	3,823.00	
10AUG17	S	8	PD10NOV17	2039.50	2116.46	USD	-15,392.00	
10AUG17	S	3	PD10NOV17	2040.00	2116.46	USD	-5,734.50	
10AUG17	S	1	PD10NOV17	2040.50	2116.46	USD	-1,899.00	
10AUG17	B	1	PD10NOV17	2033.50	2116.46	USD	2,074.00	
10AUG17	B	6	PD10NOV17	2034.00	2116.46	USD	12,369.00	
10AUG17	B	2	PD10NOV17	2034.50	2116.46	USD	4,098.00	
10AUG17	B	2	PD10NOV17	2035.50	2116.46	USD	4,048.00	
10AUG17	B	3	PD10NOV17	2040.50	2116.46	USD	5,697.00	
10AUG17	B	3	PD10NOV17	2039.00	2116.46	USD	5,809.50	
10AUG17	B	4	PD10NOV17	2035.00	2116.46	USD	8,146.00	
10AUG17	B	1	PD10NOV17	2032.50	2116.46	USD	2,099.00	
10AUG17	S	1	PD10NOV17	2039.00	2116.46	USD	-1,936.50	
10AUG17	S	2	PD10NOV17	2033.50	2116.46	USD	-4,148.00	
11AUG17	S	1	PD10NOV17	2026.00	2116.46	USD	-2,261.50	
11AUG17	S	1	PD10NOV17	2029.00	2116.46	USD	-2,186.50	
11AUG17	S	1	PD10NOV17	2032.50	2116.46	USD	-2,099.00	
11AUG17	S	1	PD10NOV17	2035.00	2116.46	USD	-2,036.50	
11AUG17	S	1	PD10NOV17	2037.50	2116.46	USD	-1,974.00	
11AUG17	S	1	PD10NOV17	2037.00	2116.46	USD	-1,986.50	
11AUG17	S	3	PD10NOV17	2040.50	2116.46	USD	-5,697.00	
11AUG17	S	1	PD10NOV17	2042.50	2116.46	USD	-1,849.00	
11AUG17	S	1	PD10NOV17	2039.50	2116.46	USD	-1,924.00	
11AUG17	B	1	PD10NOV17	2043.50	2116.46	USD	1,824.00	
11AUG17	S	1	PD10NOV17	2045.50	2116.46	USD	-1,774.00	
11AUG17	S	2	PD10NOV17	2046.00	2116.46	USD	-3,523.00	
11AUG17	B	1	PD10NOV17	2044.00	2116.46	USD	1,811.50	
30AUG17	B	8	PD10NOV17	2084.50	2116.46	USD	6,392.00	
	NET	**0**	**AVG BGHT 2047.26 SOLD 2038.28**				**-8,087.50**	**0.00**
14AUG17	B	1	PD14NOV17	2033.50	2117.89	USD	2,109.75	
14AUG17	B	1	PD14NOV17	2038.50	2117.89	USD	1,984.75	
14AUG17	B	2	PD14NOV17	2034.50	2117.89	USD	4,169.50	
14AUG17	B	1	PD14NOV17	2034.00	2117.89	USD	2,097.25	
14AUG17	B	2	PD14NOV17	2035.00	2117.89	USD	4,144.50	
14AUG17	B	1	PD14NOV17	2035.50	2117.89	USD	2,059.75	
14AUG17	B	1	PD14NOV17	2026.00	2117.89	USD	2,297.25	
14AUG17	B	1	PD14NOV17	2028.50	2117.89	USD	2,234.75	
14AUG17	S	1	PD14NOV17	2028.00	2117.89	USD	-2,247.25	
14AUG17	S	1	PD14NOV17	2029.00	2117.89	USD	-2,222.25	
14AUG17	S	1	PD14NOV17	2027.50	2117.89	USD	-2,259.75	
14AUG17	B	3	PD14NOV17	2025.50	2117.89	USD	6,929.25	
14AUG17	B	2	PD14NOV17	2025.00	2117.89	USD	4,644.50	
14AUG17	B	4	PD14NOV17	2023.50	2117.89	USD	9,439.00	
14AUG17	B	1	PD14NOV17	2024.50	2117.89	USD	2,334.75	
14AUG17	B	2	PD14NOV17	2027.50	2117.89	USD	4,519.50	
14AUG17	B	4	PD14NOV17	2024.00	2117.89	USD	9,389.00	
30AUG17	S	18	PD14NOV17	2085.90	2117.89	USD	-14,395.50	
31AUG17	S	2	PD14NOV17	2115.40	2117.89	USD	-124.50	
31AUG17	S	3	PD14NOV17	2115.60	2117.89	USD	-171.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094H

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
	NET 0 AVG BGHT 2028.12 SOLD 2084.93				**36,932.50**	**0.00**
16AUG17	S 1 PD16NOV17	2062.00	2118.52	USD	-1,413.00	
16AUG17	S 1 PD16NOV17	2063.00	2118.52	USD	-1,388.00	
16AUG17	S 2 PD16NOV17	2063.50	2118.52	USD	-2,751.00	
16AUG17	S 1 PD16NOV17	2064.00	2118.52	USD	-1,363.00	
16AUG17	B 1 PD16NOV17	2061.00	2118.52	USD	1,438.00	
16AUG17	B 3 PD16NOV17	2061.50	2118.52	USD	4,276.50	
16AUG17	B 1 PD16NOV17	2062.50	2118.52	USD	1,400.50	
16AUG17	B 1 PD16NOV17	2091.00	2118.52	USD	688.00	
30AUG17	S 1 PD16NOV17	2085.75	2118.52	USD	-819.25	
	NET 0 AVG BGHT 2066.50 SOLD 2066.96				**68.75**	**0.00**
17AUG17	B 2 PD17NOV17	2109.50	2118.79	USD	464.50	
17AUG17	S 2 PD17NOV17	2110.50	2118.79	USD	-414.50	
17AUG17	S 1 PD17NOV17	2111.50	2118.79	USD	-182.25	
17AUG17	B 1 PD17NOV17	2081.00	2118.79	USD	944.75	
17AUG17	B 1 PD17NOV17	2081.50	2118.79	USD	932.25	
17AUG17	B 1 PD17NOV17	2065.50	2118.79	USD	1,332.25	
17AUG17	B 1 PD17NOV17	2068.50	2118.79	USD	1,257.25	
18AUG17	B 1 PD17NOV17	2085.00	2118.79	USD	844.75	
18AUG17	B 1 PD17NOV17	2093.50	2118.79	USD	632.25	
18AUG17	B 1 PD17NOV17	2070.00	2118.79	USD	1,219.75	
18AUG17	B 1 PD17NOV17	2070.50	2118.79	USD	1,207.25	
18AUG17	B 1 PD17NOV17	2074.00	2118.79	USD	1,119.75	
18AUG17	B 1 PD17NOV17	2058.50	2118.79	USD	1,507.25	
18AUG17	B 1 PD17NOV17	2059.50	2118.79	USD	1,482.25	
18AUG17	B 1 PD17NOV17	2067.00	2118.79	USD	1,294.75	
18AUG17	B 1 PD17NOV17	2061.50	2118.79	USD	1,432.25	
18AUG17	B 2 PD17NOV17	2062.00	2118.79	USD	2,839.50	
18AUG17	S 1 PD17NOV17	2059.00	2118.79	USD	-1,494.75	
18AUG17	S 1 PD17NOV17	2061.00	2118.79	USD	-1,444.75	
18AUG17	S 1 PD17NOV17	2063.00	2118.79	USD	-1,394.75	
18AUG17	S 1 PD17NOV17	2064.00	2118.79	USD	-1,369.75	
31AUG17	S 10 PD17NOV17	2114.25	2118.79	USD	-1,135.00	
	NET 0 AVG BGHT 2075.24 SOLD 2101.29				**11,075.00**	**0.00**
21AUG17	S 1 PD21NOV17	2094.00	2119.88	USD	-647.00	
21AUG17	B 1 PD21NOV17	2094.50	2119.88	USD	634.50	
21AUG17	B 1 PD21NOV17	2074.50	2119.88	USD	1,134.50	
21AUG17	B 1 PD21NOV17	2080.50	2119.88	USD	984.50	
21AUG17	S 1 PD21NOV17	2086.00	2119.88	USD	-847.00	
30AUG17	S 1 PD21NOV17	2085.77	2119.88	USD	-852.75	
	NET 0 AVG BGHT 2083.17 SOLD 2088.59				**406.75**	**0.00**
22AUG17	B 1 PD22NOV17	2071.00	2120.15	USD	1,228.75	
22AUG17	S 1 PD22NOV17	2080.50	2120.15	USD	-991.25	
22AUG17	S 1 PD22NOV17	2084.50	2120.15	USD	-891.25	
22AUG17	B 2 PD22NOV17	2072.50	2120.15	USD	2,382.50	
22AUG17	B 1 PD22NOV17	2074.00	2120.15	USD	1,153.75	
22AUG17	B 3 PD22NOV17	2071.50	2120.15	USD	3,648.75	
22AUG17	B 2 PD22NOV17	2076.50	2120.15	USD	2,182.50	
22AUG17	S 2 PD22NOV17	2079.50	2120.15	USD	-2,032.50	
22AUG17	B 1 PD22NOV17	2078.00	2120.15	USD	1,053.75	
22AUG17	S 1 PD22NOV17	2075.50	2120.15	USD	-1,116.25	
30AUG17	S 5 PD22NOV17	2085.96	2120.15	USD	-4,273.75	
	NET 0 AVG BGHT 2073.55 SOLD 2082.93				**2,345.00**	**0.00**
23AUG17	B 1 PD24NOV17	2058.50	2120.69	USD	1,554.75	
23AUG17	S 1 PD24NOV17	2072.50	2120.69	USD	-1,204.75	
23AUG17	S 1 PD24NOV17	2074.50	2120.69	USD	-1,154.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094H

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
23AUG17	S 1 PD24NOV17	2080.50	2120.69	USD	-1,004.75	
23AUG17	S 1 PD24NOV17	2082.00	2120.69	USD	-967.25	
23AUG17	S 1 PD24NOV17	2082.50	2120.69	USD	-954.75	
23AUG17	S 1 PD24NOV17	2088.50	2120.69	USD	-804.75	
23AUG17	S 1 PD24NOV17	2089.00	2120.69	USD	-792.25	
23AUG17	S 1 PD24NOV17	2093.50	2120.69	USD	-679.75	
23AUG17	S 1 PD24NOV17	2103.50	2120.69	USD	-429.75	
23AUG17	B 1 PD24NOV17	2106.50	2120.69	USD	354.75	
23AUG17	B 1 PD24NOV17	2106.00	2120.69	USD	367.25	
23AUG17	S 1 PD24NOV17	2105.00	2120.69	USD	-392.25	
24AUG17	B 2 PD24NOV17	2092.00	2120.69	USD	1,434.50	
24AUG17	S 1 PD24NOV17	2106.50	2120.69	USD	-354.75	
25AUG17	B 1 PD24NOV17	2066.50	2120.69	USD	1,354.75	
30AUG17	B 5 PD24NOV17	2086.55	2120.69	USD	4,267.50	
	NET 0 AVG BGHT 2086.75 SOLD 2088.91				**593.75**	**0.00**
29AUG17	S 1 PD29NOV17	2091.00	2122.05	USD	-776.25	
29AUG17	S 1 PD29NOV17	2091.50	2122.05	USD	-763.75	
30AUG17	B 2 PD29NOV17	2087.51	2122.05	USD	1,727.00	
	NET 0 AVG BGHT 2087.51 SOLD 2091.25				**187.00**	**0.00**
30AUG17	S 1 PD30NOV17	2101.50	2122.32	USD	-520.50	
30AUG17	S 1 PD30NOV17	2101.00	2122.32	USD	-533.00	
30AUG17	S 1 PD30NOV17	2102.00	2122.32	USD	-508.00	
30AUG17	S 1 PD30NOV17	2102.50	2122.32	USD	-495.50	
30AUG17	S 1 PD30NOV17	2091.00	2122.32	USD	-783.00	
30AUG17	S 2 PD30NOV17	2088.50	2122.32	USD	-1,691.00	
30AUG17	S 1 PD30NOV17	2089.00	2122.32	USD	-833.00	
30AUG17	S 2 PD30NOV17	2090.50	2122.32	USD	-1,591.00	
30AUG17	S 1 PD30NOV17	2098.00	2122.32	USD	-608.00	
31AUG17	S 1 PD30NOV17	2096.50	2122.32	USD	-645.50	
31AUG17	S 1 PD30NOV17	2101.00	2122.32	USD	-533.00	
31AUG17	S 1 PD30NOV17	2105.00	2122.32	USD	-433.00	
31AUG17	S 1 PD30NOV17	2107.50	2122.32	USD	-370.50	
31AUG17	S 1 PD30NOV17	2106.50	2122.32	USD	-395.50	
31AUG17	S 1 PD30NOV17	2112.00	2122.32	USD	-258.00	
31AUG17	S 1 PD30NOV17	2116.50	2122.32	USD	-145.50	
31AUG17	S 1 PD30NOV17	2115.00	2122.32	USD	-183.00	
31AUG17	S 1 PD30NOV17	2134.50	2122.32	USD	304.50	
31AUG17	S 1 PD30NOV17	2137.00	2122.32	USD	367.00	
31AUG17	S 1 PD30NOV17	2135.00	2122.32	USD	317.00	
31AUG17	B 22 PD30NOV17	2117.20	2122.32	USD	2,816.00	
	NET 0 AVG BGHT 2117.20 SOLD 2104.98				**-6,722.50**	**0.00**
04SEP17	B 1 PD04DEC17	2125.50	2123.41	USD	-52.25	
04SEP17	B 1 PD04DEC17	2121.00	2123.41	USD	60.25	
04SEP17	B 1 PD04DEC17	2108.00	2123.41	USD	385.25	
13SEP17	S 2 PD04DEC17	2107.39	2123.41	USD	-801.00	
22SEP17	S 1 PD04DEC17	2154.59	2123.41	USD	779.50	
	NET 0 AVG BGHT 2118.17 SOLD 2123.12				**371.75**	**0.00**
05SEP17	B 1 PD05DEC17	2107.00	2123.68	USD	417.00	
22SEP17	S 1 PD05DEC17	2154.88	2123.68	USD	780.00	
	NET 0 AVG BGHT 2107.00 SOLD 2154.88				**1,197.00**	**0.00**
06SEP17	S 1 PD06DEC17	2113.00	2123.95	USD	-273.75	
22SEP17	B 1 PD06DEC17	2155.38	2123.95	USD	-785.75	
	NET 0 AVG BGHT 2155.38 SOLD 2113.00				**-1,059.50**	**0.00**
07SEP17	B 1 PD07DEC17	2091.50	2124.22	USD	818.00	
07SEP17	S 1 PD07DEC17	2117.00	2124.22	USD	-180.50	
	NET 0 AVG BGHT 2091.50 SOLD 2117.00					**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094H

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
					637.50	
12SEP17	S 1 PD12DEC17	2130.50	2125.58	USD	123.00	
12SEP17	S 1 PD12DEC17	2140.00	2125.58	USD	360.50	
22SEP17	B 1 PD12DEC17	2157.14	2125.58	USD	-789.00	
22SEP17	B 1 PD12DEC17	2156.94	2125.58	USD	-784.00	
	NET 0 AVG BGHT 2157.04 SOLD 2135.25				**-1,089.50**	**0.00**
13SEP17	B 1 PD13DEC17	2105.50	2125.85	USD	508.75	
22SEP17	S 1 PD13DEC17	2157.04	2125.85	USD	779.75	
	NET 0 AVG BGHT 2105.50 SOLD 2157.04				**1,288.50**	**0.00**
14SEP17	B 1 PD14DEC17	2090.50	2126.12	USD	890.50	
14SEP17	B 1 PD14DEC17	2100.50	2126.12	USD	640.50	
14SEP17	B 1 PD14DEC17	2095.50	2126.12	USD	765.50	
14SEP17	B 1 PD14DEC17	2091.50	2126.12	USD	865.50	
14SEP17	B 1 PD14DEC17	2089.00	2126.12	USD	928.00	
14SEP17	B 2 PD14DEC17	2089.50	2126.12	USD	1,831.00	
14SEP17	S 7 PD14DEC17	2097.80	2126.12	USD	-4,956.00	
	NET 0 AVG BGHT 2092.29 SOLD 2097.80				**965.00**	**0.00**
15SEP17	B 1 PD15DEC17	2089.50	2126.39	USD	922.25	
15SEP17	B 1 PD15DEC17	2091.00	2126.39	USD	884.75	
15SEP17	B 1 PD15DEC17	2072.50	2126.39	USD	1,347.25	
18SEP17	S 3 PD15DEC17	2088.41	2126.39	USD	-2,848.50	
	NET 0 AVG BGHT 2084.33 SOLD 2088.41				**305.75**	**0.00**
18SEP17	B 1 PD18DEC17	2095.00	2127.21	USD	805.25	
18SEP17	B 1 PD18DEC17	2091.00	2127.21	USD	905.25	
18SEP17	S 2 PD18DEC17	2089.30	2127.21	USD	-1,895.50	
	NET 0 AVG BGHT 2093.00 SOLD 2089.30				**-185.00**	**0.00**
19SEP17	S 1 PD19DEC17	2126.00	2127.48	USD	-37.00	
19SEP17	B 1 PD19DEC17	2124.00	2127.48	USD	87.00	
19SEP17	S 1 PD19DEC17	2124.00	2127.48	USD	-87.00	
19SEP17	B 1 PD19DEC17	2127.00	2127.48	USD	12.00	
	NET 0 AVG BGHT 2125.50 SOLD 2125.00				**-25.00**	**0.00**
21SEP17	S 1 PD21DEC17	2171.00	2127.98	USD	1,075.50	
21SEP17	S 1 PD21DEC17	2167.50	2127.98	USD	988.00	
21SEP17	S 1 PD21DEC17	2165.00	2127.98	USD	925.50	
21SEP17	B 3 PD21DEC17	2171.20	2127.98	USD	-3,241.50	
	NET 0 AVG BGHT 2171.20 SOLD 2167.83				**-252.50**	**0.00**
22SEP17	S 1 PD22DEC17	2162.00	2128.21	USD	844.75	
	NET -1 AVG SOLD 2162.00				**844.75**	**0.00**
25SEP17	B 1 PD27DEC17	2143.00	2129.38	USD	-340.50	
26SEP17	B 1 PD27DEC17	2148.00	2129.38	USD	-465.50	
26SEP17	S 1 PD27DEC17	2125.80	2129.38	USD	-89.50	
27SEP17	S 1 PD27DEC17	2142.00	2129.38	USD	315.50	
27SEP17	B 1 PD27DEC17	2131.70	2129.38	USD	-58.00	
	NET +1 AVG BGHT 2140.90 SOLD 2133.90				**-638.00**	**0.00**
28SEP17	B 1 PD28DEC17	2119.00	2129.61	USD	265.25	
28SEP17	S 1 PD28DEC17	2118.50	2129.61	USD	-277.75	
	NET 0 AVG BGHT 2119.00 SOLD 2118.50				**-12.50**	**0.00**
29SEP17	B 3 PD29DEC17	2128.00	2129.84	USD	138.00	
29SEP17	B 1 PD29DEC17	2130.00	2129.84	USD	-4.00	
29SEP17	B 3 PD29DEC17	2127.50	2129.84	USD	175.50	
29SEP17	B 1 PD29DEC17	2129.50	2129.84	USD	8.50	
29SEP17	S 1 PD29DEC17	2130.00	2129.84	USD	4.00	
29SEP17	S 1 PD29DEC17	2126.10	2129.84	USD	-93.50	
29SEP17	S 1 PD29DEC17	2127.60	2129.84	USD	-56.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094H

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
29SEP17	S 1 PD29DEC17	2127.10	2129.84	USD	-68.50	
29SEP17	S 4 PD29DEC17	2127.85	2129.84	USD	-199.00	
	NET 0 AVG BGHT 2128.25 SOLD 2127.78				**-95.00**	**0.00**
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
18JUL17	B 1 PD18OCT17	2274.00	2588.00	USD	7,850.00	
18JUL17	B 1 PD18OCT17	2274.50	2588.00	USD	7,837.50	
31JUL17	S 2 PD18OCT17	2331.30	2588.00	USD	-12,835.00	
	NET 0 AVG BGHT 2274.25 SOLD 2331.30				**2,852.50**	**0.00**
19JUL17	B 1 PD19OCT17	2227.50	2588.00	USD	9,012.50	
31JUL17	S 1 PD19OCT17	2330.75	2588.00	USD	-6,431.25	
	NET 0 AVG BGHT 2227.50 SOLD 2330.75				**2,581.25**	**0.00**
21JUL17	S 2 PD20OCT17	2233.50	2588.00	USD	-17,725.00	
21JUL17	S 1 PD20OCT17	2236.50	2588.00	USD	-8,787.50	
31JUL17	B 1 PD20OCT17	2331.22	2588.00	USD	6,419.50	
31JUL17	B 2 PD20OCT17	2331.02	2588.00	USD	12,849.00	
	NET 0 AVG BGHT 2331.09 SOLD 2234.50				**-7,244.00**	**0.00**
26JUL17	S 1 PD26OCT17	2318.50	2588.00	USD	-6,737.50	
26JUL17	S 1 PD26OCT17	2321.50	2588.00	USD	-6,662.50	
27JUL17	B 2 PD26OCT17	2309.04	2588.00	USD	13,948.00	
	NET 0 AVG BGHT 2309.04 SOLD 2320.00				**548.00**	**0.00**
28JUL17	B 1 PD27OCT17	2320.00	2588.00	USD	6,700.00	
31JUL17	S 1 PD27OCT17	2332.72	2588.00	USD	-6,382.00	
	NET 0 AVG BGHT 2320.00 SOLD 2332.72				**318.00**	**0.00**
31JUL17	B 1 PD31OCT17	2335.50	2588.00	USD	6,312.50	
31JUL17	B 1 PD31OCT17	2328.50	2588.00	USD	6,487.50	
31JUL17	B 1 PD31OCT17	2329.50	2588.00	USD	6,462.50	
31JUL17	B 1 PD31OCT17	2330.00	2588.00	USD	6,450.00	
31JUL17	S 4 PD31OCT17	2333.80	2588.00	USD	-25,420.00	
	NET 0 AVG BGHT 2330.88 SOLD 2333.80				**292.50**	**0.00**
08AUG17	B 2 PD08NOV17	2352.00	2588.00	USD	11,800.00	
08AUG17	S 1 PD08NOV17	2390.00	2588.00	USD	-4,950.00	
30AUG17	S 1 PD08NOV17	2364.41	2588.00	USD	-5,589.75	
	NET 0 AVG BGHT 2352.00 SOLD 2377.21				**1,260.25**	**0.00**
09AUG17	S 1 PD09NOV17	2393.50	2588.00	USD	-4,862.50	
30AUG17	B 1 PD09NOV17	2364.91	2588.00	USD	5,577.25	
	NET 0 AVG BGHT 2364.91 SOLD 2393.50				**714.75**	**0.00**
25AUG17	B 1 PD24NOV17	2326.00	2589.16	USD	6,579.00	
25AUG17	S 1 PD24NOV17	2325.50	2589.16	USD	-6,591.50	
	NET 0 AVG BGHT 2326.00 SOLD 2325.50				**-12.50**	**0.00**
07SEP17	B 1 PD07DEC17	2331.50	2590.83	USD	6,483.25	
07SEP17	B 1 PD07DEC17	2322.50	2590.83	USD	6,708.25	
07SEP17	S 1 PD07DEC17	2345.00	2590.83	USD	-6,145.75	
22SEP17	S 1 PD07DEC17	2488.50	2590.83	USD	-2,558.25	
	NET 0 AVG BGHT 2327.00 SOLD 2416.75				**4,487.50**	**0.00**
08SEP17	B 1 PD08DEC17	2329.50	2590.96	USD	6,536.50	
08SEP17	B 1 PD08DEC17	2315.00	2590.96	USD	6,899.00	
08SEP17	S 1 PD08DEC17	2261.00	2590.96	USD	-8,249.00	
08SEP17	S 1 PD08DEC17	2268.50	2590.96	USD	-8,061.50	
08SEP17	S 1 PD08DEC17	2266.00	2590.96	USD	-8,124.00	
22SEP17	B 1 PD08DEC17	2488.70	2590.96	USD	2,556.50	
	NET 0 AVG BGHT 2377.73 SOLD 2265.17				**-8,442.50**	**0.00**
11SEP17	B 1 PD11DEC17	2274.00	2591.34	USD	7,933.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094H

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
11SEP17	S 1 PD11DEC17	2273.50	2591.34	USD	-7,946.00	
	NET 0 AVG BGHT 2274.00 SOLD 2273.50				**-12.50**	**0.00**
18SEP17	S 1 PD18DEC17	2389.00	2592.24	USD	-5,081.00	
18SEP17	B 1 PD18DEC17	2395.50	2592.24	USD	4,918.50	
	NET 0 AVG BGHT 2395.50 SOLD 2389.00				**-162.50**	**0.00**
19SEP17	S 1 PD19DEC17	2385.00	2592.37	USD	-5,184.25	
19SEP17	S 1 PD19DEC17	2393.50	2592.37	USD	-4,971.75	
19SEP17	S 1 PD19DEC17	2392.50	2592.37	USD	-4,996.75	
19SEP17	B 1 PD19DEC17	2423.50	2592.37	USD	4,221.75	
19SEP17	B 1 PD19DEC17	2422.50	2592.37	USD	4,246.75	
19SEP17	B 1 PD19DEC17	2424.00	2592.37	USD	4,209.25	
	NET 0 AVG BGHT 2423.33 SOLD 2390.33				**-2,475.00**	**0.00**
20SEP17	S 1 PD20DEC17	2440.00	2592.50	USD	-3,812.50	
20SEP17	S 1 PD20DEC17	2442.00	2592.50	USD	-3,762.50	
20SEP17	S 1 PD20DEC17	2456.50	2592.50	USD	-3,400.00	
20SEP17	S 1 PD20DEC17	2452.50	2592.50	USD	-3,500.00	
20SEP17	S 1 PD20DEC17	2456.00	2592.50	USD	-3,412.50	
20SEP17	B 1 PD20DEC17	2463.50	2592.50	USD	3,225.00	
20SEP17	B 1 PD20DEC17	2465.00	2592.50	USD	3,187.50	
22SEP17	B 2 PD20DEC17	2488.50	2592.50	USD	5,200.00	
	NET -1 AVG BGHT 2476.38 SOLD 2449.40				**-6,275.00**	**0.00**
21SEP17	S 1 PD21DEC17	2449.00	2592.29	USD	-3,582.25	
21SEP17	S 1 PD21DEC17	2460.00	2592.29	USD	-3,307.25	
21SEP17	B 1 PD21DEC17	2477.00	2592.29	USD	2,882.25	
21SEP17	B 1 PD21DEC17	2478.50	2592.29	USD	2,844.75	
21SEP17	B 1 PD21DEC17	2439.50	2592.29	USD	3,819.75	
21SEP17	B 1 PD21DEC17	2457.00	2592.29	USD	3,382.25	
22SEP17	S 2 PD21DEC17	2485.55	2592.29	USD	-5,337.00	
	NET 0 AVG BGHT 2463.00 SOLD 2470.03				**702.50**	**0.00**
22SEP17	S 1 PD22DEC17	2453.50	2592.07	USD	-3,464.25	
22SEP17	B 1 PD22DEC17	2455.50	2592.07	USD	3,414.25	
22SEP17	B 1 PD22DEC17	2412.50	2592.07	USD	4,489.25	
	NET +1 AVG BGHT 2434.00 SOLD 2453.50				**4,439.25**	**0.00**
03OCT17	S 1 PD03JAN18	2589.00	2591.00	USD	-50.00	
03OCT17	S 1 PD03JAN18	2590.00	2591.00	USD	-25.00	
03OCT17	B 1 PD03JAN18	2594.00	2591.00	USD	-75.00	
03OCT17	B 1 PD03JAN18	2597.00	2591.00	USD	-150.00	
	NET 0 AVG BGHT 2595.50 SOLD 2589.50				**-300.00**	**0.00**
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
28JUL17	B 1 PD27OCT17	10020.00	10557.68	USD	3,226.08	
28JUL17	B 1 PD27OCT17	10045.00	10557.68	USD	3,076.08	
28JUL17	B 1 PD27OCT17	10060.00	10557.68	USD	2,986.08	
28JUL17	B 1 PD27OCT17	10090.00	10557.68	USD	2,806.08	
28JUL17	S 1 PD27OCT17	10250.00	10557.68	USD	-1,846.08	
28JUL17	S 1 PD27OCT17	10255.00	10557.68	USD	-1,816.08	
28JUL17	S 1 PD27OCT17	10260.00	10557.68	USD	-1,786.08	
09AUG17	S 1 PD27OCT17	10753.50	10557.68	USD	1,174.92	
	NET 0 AVG BGHT 10053.75 SOLD 10379.63				**7,821.00**	**0.00**
04AUG17	S 1 PD03NOV17	10315.00	10564.43	USD	-1,496.58	
09AUG17	B 1 PD03NOV17	10758.00	10564.43	USD	-1,161.42	
	NET 0 AVG BGHT 10758.00 SOLD 10315.00				**-2,658.00**	**0.00**
29AUG17	B 1 PD29NOV17	11800.00	10588.40	USD	-7,269.60	
29AUG17	S 1 PD29NOV17	11815.00	10588.40	USD	7,359.60	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094H

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
29AUG17	B 2 PD29NOV17	11810.00	10588.40	USD	-14,659.20	
29AUG17	S 1 PD29NOV17	11795.00	10588.40	USD	7,239.60	
29AUG17	B 5 PD29NOV17	11820.00	10588.40	USD	-36,948.00	
29AUG17	S 1 PD29NOV17	11820.00	10588.40	USD	7,389.60	
29AUG17	S 3 PD29NOV17	11800.00	10588.40	USD	21,808.80	
29AUG17	S 1 PD29NOV17	11665.00	10588.40	USD	6,459.60	
31AUG17	S 1 PD29NOV17	11799.32	10588.40	USD	7,265.52	
	NET 0 AVG BGHT 11815.00 SOLD 11786.79				**-1,354.08**	**0.00**
30AUG17	S 1 PD30NOV17	11630.00	10589.29	USD	6,244.26	
31AUG17	B 1 PD30NOV17	11801.00	10589.29	USD	-7,270.26	
	NET 0 AVG BGHT 11801.00 SOLD 11630.00				**-1,026.00**	**0.00**
13SEP17	B 1 PD13DEC17	11725.00	10600.80	USD	-6,745.20	
22SEP17	S 1 PD13DEC17	10411.80	10600.80	USD	-1,134.00	
	NET 0 AVG BGHT 11725.00 SOLD 10411.80				**-7,879.20**	**0.00**
18SEP17	S 1 PD18DEC17	11170.00	10605.23	USD	3,388.62	
22SEP17	B 1 PD18DEC17	10417.23	10605.23	USD	1,128.00	
	NET 0 AVG BGHT 10417.23 SOLD 11170.00				**4,516.62**	**0.00**
22SEP17	B 2 PD22DEC17	10530.00	10609.00	USD	948.00	
22SEP17	S 1 PD22DEC17	10620.00	10609.00	USD	66.00	
	NET +1 AVG BGHT 10530.00 SOLD 10620.00				**1,014.00**	**0.00**
25SEP17	B 1 PD27DEC17	10490.00	10613.58	USD	741.48	
25SEP17	S 1 PD27DEC17	10605.00	10613.58	USD	-51.48	
27SEP17	S 1 PD27DEC17	10315.00	10613.58	USD	-1,791.48	
	NET -1 AVG BGHT 10490.00 SOLD 10460.00				**-1,101.48**	**0.00**
29SEP17	B 1 PD29DEC17	10425.00	10615.42	USD	1,142.52	
29SEP17	B 1 PD29DEC17	10415.00	10615.42	USD	1,202.52	
	NET +2 AVG BGHT 10420.00				**2,345.04**	**0.00**
	CMX SILVER 5,000 CMX					
18SEP17	B 1 DEC17	1731.5	1665.0	USD	-3,325.00	
28SEP17	B 1 DEC17	1676.0	1665.0	USD	-550.00	
	NET +2 AVG BGHT 1703.8				**-3,875.00**	**0.00**
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
17JUL17	B 1 PD17OCT17	2815.50	3291.63	USD	11,903.25	
17JUL17	S 1 PD17OCT17	2814.00	3291.63	USD	-11,940.75	
17JUL17	S 1 PD17OCT17	2815.00	3291.63	USD	-11,915.75	
17JUL17	B 1 PD17OCT17	2825.50	3291.63	USD	11,653.25	
	NET 0 AVG BGHT 2820.50 SOLD 2814.50				**-300.00**	**0.00**
19JUL17	B 1 PD19OCT17	2766.50	3285.50	USD	12,975.00	
19JUL17	B 1 PD19OCT17	2757.00	3285.50	USD	13,212.50	
19JUL17	B 1 PD19OCT17	2753.00	3285.50	USD	13,312.50	
19JUL17	S 2 PD19OCT17	2735.00	3285.50	USD	-27,525.00	
19JUL17	S 1 PD19OCT17	2737.00	3285.50	USD	-13,712.50	
19JUL17	B 1 PD19OCT17	2735.50	3285.50	USD	13,750.00	
21JUL17	S 1 PD19OCT17	2753.30	3285.50	USD	-13,305.00	
	NET 0 AVG BGHT 2753.00 SOLD 2740.08				**-1,292.50**	**0.00**
24JUL17	S 2 PD24OCT17	2777.00	3280.50	USD	-25,175.00	
24JUL17	S 1 PD24OCT17	2776.50	3280.50	USD	-12,600.00	
24JUL17	B 2 PD24OCT17	2777.00	3280.50	USD	25,175.00	
24JUL17	B 1 PD24OCT17	2776.00	3280.50	USD	12,612.50	
	NET 0 AVG BGHT 2776.67 SOLD 2776.83				**12.50**	**0.00**
25JUL17	S 1 PD25OCT17	2805.00	3279.50	USD	-11,862.50	
25JUL17	S 1 PD25OCT17	2807.00	3279.50	USD	-11,812.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094H

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
25JUL17	S 1 PD25OCT17	2807.50	3279.50	USD	-11,800.00	
25JUL17	S 1 PD25OCT17	2810.00	3279.50	USD	-11,737.50	
25JUL17	S 1 PD25OCT17	2814.50	3279.50	USD	-11,625.00	
25JUL17	S 1 PD25OCT17	2817.00	3279.50	USD	-11,562.50	
25JUL17	S 1 PD25OCT17	2819.50	3279.50	USD	-11,500.00	
25JUL17	S 1 PD25OCT17	2821.50	3279.50	USD	-11,450.00	
25JUL17	S 1 PD25OCT17	2822.50	3279.50	USD	-11,425.00	
25JUL17	S 1 PD25OCT17	2823.50	3279.50	USD	-11,400.00	
25JUL17	S 1 PD25OCT17	2824.50	3279.50	USD	-11,375.00	
25JUL17	S 1 PD25OCT17	2821.00	3279.50	USD	-11,462.50	
25JUL17	S 1 PD25OCT17	2827.50	3279.50	USD	-11,300.00	
25JUL17	B 1 PD25OCT17	2832.50	3279.50	USD	11,175.00	
25JUL17	S 1 PD25OCT17	2833.00	3279.50	USD	-11,162.50	
25JUL17	S 1 PD25OCT17	2834.00	3279.50	USD	-11,137.50	
25JUL17	B 1 PD25OCT17	2840.00	3279.50	USD	10,987.50	
25JUL17	B 2 PD25OCT17	2838.50	3279.50	USD	22,050.00	
25JUL17	B 3 PD25OCT17	2838.00	3279.50	USD	33,112.50	
27JUL17	B 7 PD25OCT17	2800.83	3279.50	USD	83,767.25	
31JUL17	B 1 PD25OCT17	2793.50	3279.50	USD	12,150.00	
	NET 0 AVG BGHT 2817.52 SOLD 2819.20				**629.75**	**0.00**
26JUL17	B 1 PD26OCT17	2846.50	3278.50	USD	10,800.00	
26JUL17	B 1 PD26OCT17	2842.00	3278.50	USD	10,912.50	
26JUL17	B 1 PD26OCT17	2844.00	3278.50	USD	10,862.50	
26JUL17	B 1 PD26OCT17	2845.50	3278.50	USD	10,825.00	
26JUL17	B 1 PD26OCT17	2848.00	3278.50	USD	10,762.50	
26JUL17	B 2 PD26OCT17	2849.00	3278.50	USD	21,475.00	
26JUL17	B 1 PD26OCT17	2807.50	3278.50	USD	11,775.00	
26JUL17	B 1 PD26OCT17	2812.50	3278.50	USD	11,650.00	
26JUL17	B 1 PD26OCT17	2808.20	3278.50	USD	11,757.50	
31JUL17	S 10 PD26OCT17	2793.55	3278.50	USD	-121,237.50	
	NET 0 AVG BGHT 2835.22 SOLD 2793.55				**-10,417.50**	**0.00**
27JUL17	S 1 PD27OCT17	2816.50	3277.50	USD	-11,525.00	
27JUL17	S 1 PD27OCT17	2818.50	3277.50	USD	-11,475.00	
27JUL17	S 3 PD27OCT17	2823.00	3277.50	USD	-34,087.50	
27JUL17	S 1 PD27OCT17	2825.50	3277.50	USD	-11,300.00	
27JUL17	S 1 PD27OCT17	2826.00	3277.50	USD	-11,287.50	
27JUL17	S 1 PD27OCT17	2827.00	3277.50	USD	-11,262.50	
27JUL17	S 1 PD27OCT17	2833.00	3277.50	USD	-11,112.50	
27JUL17	B 2 PD27OCT17	2812.00	3277.50	USD	23,275.00	
27JUL17	S 1 PD27OCT17	2819.00	3277.50	USD	-11,462.50	
27JUL17	S 1 PD27OCT17	2822.50	3277.50	USD	-11,375.00	
27JUL17	B 1 PD27OCT17	2811.50	3277.50	USD	11,650.00	
27JUL17	B 1 PD27OCT17	2800.50	3277.50	USD	11,925.00	
27JUL17	B 1 PD27OCT17	2793.00	3277.50	USD	12,112.50	
27JUL17	B 1 PD27OCT17	2798.00	3277.50	USD	11,987.50	
28JUL17	B 1 PD27OCT17	2782.00	3277.50	USD	12,387.50	
28JUL17	B 1 PD27OCT17	2784.00	3277.50	USD	12,337.50	
28JUL17	B 1 PD27OCT17	2785.50	3277.50	USD	12,300.00	
28JUL17	S 3 PD27OCT17	2787.00	3277.50	USD	-36,787.50	
28JUL17	B 1 PD27OCT17	2780.00	3277.50	USD	12,437.50	
28JUL17	B 1 PD27OCT17	2782.50	3277.50	USD	12,375.00	
28JUL17	B 1 PD27OCT17	2783.00	3277.50	USD	12,362.50	
28JUL17	B 1 PD27OCT17	2781.50	3277.50	USD	12,400.00	
28JUL17	B 1 PD27OCT17	2772.50	3277.50	USD	12,625.00	
28JUL17	B 1 PD27OCT17	2773.50	3277.50	USD	12,600.00	
28JUL17	B 1 PD27OCT17	2771.00	3277.50	USD	12,662.50	
28JUL17	B 1 PD27OCT17	2773.00	3277.50	USD	12,612.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094H

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
28JUL17	B 3 PD27OCT17	2788.00	3277.50	USD	36,712.50	
28JUL17	S 1 PD27OCT17	2788.00	3277.50	USD	-12,237.50	
28JUL17	S 1 PD27OCT17	2789.00	3277.50	USD	-12,212.50	
28JUL17	S 1 PD27OCT17	2791.50	3277.50	USD	-12,150.00	
28JUL17	S 1 PD27OCT17	2793.50	3277.50	USD	-12,100.00	
28JUL17	S 1 PD27OCT17	2797.00	3277.50	USD	-12,012.50	
28JUL17	S 1 PD27OCT17	2792.50	3277.50	USD	-12,125.00	
28JUL17	B 1 PD27OCT17	2777.00	3277.50	USD	12,512.50	
28JUL17	B 2 PD27OCT17	2781.00	3277.50	USD	24,825.00	
28JUL17	B 1 PD27OCT17	2779.50	3277.50	USD	12,450.00	
28JUL17	B 1 PD27OCT17	2780.50	3277.50	USD	12,425.00	
28JUL17	S 1 PD27OCT17	2790.00	3277.50	USD	-12,187.50	
28JUL17	B 2 PD27OCT17	2776.50	3277.50	USD	25,050.00	
28JUL17	B 1 PD27OCT17	2778.00	3277.50	USD	12,487.50	
28JUL17	B 1 PD27OCT17	2785.00	3277.50	USD	12,312.50	
31JUL17	S 8 PD27OCT17	2793.80	3277.50	USD	-96,740.00	
	NET 0 AVG BGHT 2785.33 SOLD 2803.79				**13,385.00**	**0.00**
31JUL17	B 1 PD31OCT17	2803.00	3275.50	USD	11,812.50	
31JUL17	B 1 PD31OCT17	2806.00	3275.50	USD	11,737.50	
31JUL17	B 1 PD31OCT17	2807.00	3275.50	USD	11,712.50	
31JUL17	B 1 PD31OCT17	2807.50	3275.50	USD	11,700.00	
31JUL17	B 1 PD31OCT17	2808.50	3275.50	USD	11,675.00	
31JUL17	B 2 PD31OCT17	2805.00	3275.50	USD	23,525.00	
31JUL17	B 4 PD31OCT17	2804.50	3275.50	USD	47,100.00	
31JUL17	B 1 PD31OCT17	2804.00	3275.50	USD	11,787.50	
31JUL17	B 1 PD31OCT17	2794.50	3275.50	USD	12,025.00	
31JUL17	S 12 PD31OCT17	2794.80	3275.50	USD	-144,210.00	
09AUG17	S 1 PD31OCT17	2929.75	3275.50	USD	-8,643.75	
	NET 0 AVG BGHT 2804.50 SOLD 2805.18				**221.25**	**0.00**
01AUG17	B 2 PD01NOV17	2785.00	3274.50	USD	24,475.00	
01AUG17	B 1 PD01NOV17	2772.50	3274.50	USD	12,550.00	
01AUG17	B 1 PD01NOV17	2775.00	3274.50	USD	12,487.50	
01AUG17	S 1 PD01NOV17	2782.00	3274.50	USD	-12,312.50	
01AUG17	S 2 PD01NOV17	2781.50	3274.50	USD	-24,650.00	
09AUG17	S 1 PD01NOV17	2929.94	3274.50	USD	-8,614.00	
	NET 0 AVG BGHT 2779.38 SOLD 2818.74				**3,936.00**	**0.00**
02AUG17	S 1 PD02NOV17	2763.50	3273.50	USD	-12,750.00	
02AUG17	S 1 PD02NOV17	2765.00	3273.50	USD	-12,712.50	
02AUG17	S 1 PD02NOV17	2773.00	3273.50	USD	-12,512.50	
02AUG17	S 2 PD02NOV17	2775.50	3273.50	USD	-24,900.00	
02AUG17	B 1 PD02NOV17	2763.00	3273.50	USD	12,762.50	
02AUG17	B 2 PD02NOV17	2766.50	3273.50	USD	25,350.00	
02AUG17	B 1 PD02NOV17	2765.50	3273.50	USD	12,700.00	
02AUG17	S 1 PD02NOV17	2794.50	3273.50	USD	-11,975.00	
02AUG17	S 1 PD02NOV17	2801.50	3273.50	USD	-11,800.00	
02AUG17	S 1 PD02NOV17	2804.00	3273.50	USD	-11,737.50	
02AUG17	S 1 PD02NOV17	2804.50	3273.50	USD	-11,725.00	
02AUG17	S 1 PD02NOV17	2805.00	3273.50	USD	-11,712.50	
02AUG17	B 2 PD02NOV17	2805.00	3273.50	USD	23,425.00	
02AUG17	B 1 PD02NOV17	2806.50	3273.50	USD	11,675.00	
09AUG17	B 2 PD02NOV17	2930.34	3273.50	USD	17,158.00	
09AUG17	B 1 PD02NOV17	2930.14	3273.50	USD	8,584.00	
29SEP17	S 3 PD02NOV17	3170.25	3273.50	USD	-7,743.75	
29SEP17	S 4 PD02NOV17	3170.05	3273.50	USD	-10,345.00	
	NET -7 AVG BGHT 2826.88 SOLD 2944.29				**-28,259.25**	**0.00**
03AUG17	B 1 PD03NOV17	2807.50	3272.00	USD	11,612.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094H

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
03AUG17	B 1 PD03NOV17	2810.00	3272.00	USD	11,550.00	
03AUG17	B 1 PD03NOV17	2815.50	3272.00	USD	11,412.50	
03AUG17	B 1 PD03NOV17	2798.00	3272.00	USD	11,850.00	
03AUG17	B 1 PD03NOV17	2795.00	3272.00	USD	11,925.00	
03AUG17	B 1 PD03NOV17	2793.00	3272.00	USD	11,975.00	
03AUG17	B 2 PD03NOV17	2794.00	3272.00	USD	23,900.00	
04AUG17	S 1 PD03NOV17	2819.50	3272.00	USD	-11,312.50	
04AUG17	S 1 PD03NOV17	2821.00	3272.00	USD	-11,275.00	
04AUG17	S 1 PD03NOV17	2822.00	3272.00	USD	-11,250.00	
04AUG17	S 1 PD03NOV17	2832.50	3272.00	USD	-10,987.50	
04AUG17	S 1 PD03NOV17	2830.50	3272.00	USD	-11,037.50	
04AUG17	S 1 PD03NOV17	2831.00	3272.00	USD	-11,025.00	
04AUG17	S 1 PD03NOV17	2828.00	3272.00	USD	-11,100.00	
04AUG17	S 1 PD03NOV17	2824.50	3272.00	USD	-11,187.50	
04AUG17	B 1 PD03NOV17	2802.00	3272.00	USD	11,750.00	
04AUG17	B 1 PD03NOV17	2805.50	3272.00	USD	11,662.50	
04AUG17	B 1 PD03NOV17	2798.00	3272.00	USD	11,850.00	
04AUG17	B 1 PD03NOV17	2801.50	3272.00	USD	11,762.50	
04AUG17	B 1 PD03NOV17	2803.00	3272.00	USD	11,725.00	
04AUG17	B 1 PD03NOV17	2798.50	3272.00	USD	11,837.50	
07AUG17	S 5 PD03NOV17	2856.94	3272.00	USD	-51,882.50	
09AUG17	S 1 PD03NOV17	2930.13	3272.00	USD	-8,546.75	
	NET 0 AVG BGHT 2801.11 SOLD 2844.56				**15,208.25**	**0.00**
07AUG17	S 1 PD07NOV17	2824.50	3272.00	USD	-11,187.50	
07AUG17	S 1 PD07NOV17	2827.50	3272.00	USD	-11,112.50	
07AUG17	S 4 PD07NOV17	2826.00	3272.00	USD	-44,600.00	
07AUG17	S 1 PD07NOV17	2831.00	3272.00	USD	-11,025.00	
07AUG17	S 4 PD07NOV17	2825.00	3272.00	USD	-44,700.00	
07AUG17	S 1 PD07NOV17	2833.50	3272.00	USD	-10,962.50	
07AUG17	B 1 PD07NOV17	2831.50	3272.00	USD	11,012.50	
07AUG17	S 1 PD07NOV17	2860.00	3272.00	USD	-10,300.00	
07AUG17	S 1 PD07NOV17	2864.00	3272.00	USD	-10,200.00	
07AUG17	S 1 PD07NOV17	2866.00	3272.00	USD	-10,150.00	
07AUG17	S 1 PD07NOV17	2874.50	3272.00	USD	-9,937.50	
07AUG17	S 1 PD07NOV17	2867.50	3272.00	USD	-10,112.50	
07AUG17	S 1 PD07NOV17	2886.50	3272.00	USD	-9,637.50	
07AUG17	S 1 PD07NOV17	2889.50	3272.00	USD	-9,562.50	
07AUG17	B 1 PD07NOV17	2862.50	3272.00	USD	10,237.50	
07AUG17	B 1 PD07NOV17	2869.00	3272.00	USD	10,075.00	
07AUG17	B 2 PD07NOV17	2871.50	3272.00	USD	20,025.00	
07AUG17	B 2 PD07NOV17	2873.00	3272.00	USD	19,950.00	
07AUG17	B 1 PD07NOV17	2872.00	3272.00	USD	10,000.00	
07AUG17	B 1 PD07NOV17	2874.50	3272.00	USD	9,937.50	
07AUG17	S 1 PD07NOV17	2872.50	3272.00	USD	-9,987.50	
09AUG17	B 10 PD07NOV17	2931.31	3272.00	USD	85,172.50	
14AUG17	B 1 PD07NOV17	2915.88	3272.00	USD	8,903.00	
	NET 0 AVG BGHT 2901.37 SOLD 2845.05				**-28,162.00**	**0.00**
08AUG17	S 1 PD08NOV17	2880.00	3272.00	USD	-9,800.00	
08AUG17	S 1 PD08NOV17	2881.50	3272.00	USD	-9,762.50	
08AUG17	S 2 PD08NOV17	2872.50	3272.00	USD	-19,975.00	
08AUG17	S 1 PD08NOV17	2884.00	3272.00	USD	-9,700.00	
08AUG17	B 1 PD08NOV17	2869.50	3272.00	USD	10,062.50	
08AUG17	B 1 PD08NOV17	2873.00	3272.00	USD	9,975.00	
08AUG17	S 1 PD08NOV17	2915.00	3272.00	USD	-8,925.00	
08AUG17	S 1 PD08NOV17	2936.00	3272.00	USD	-8,400.00	
14AUG17	B 5 PD08NOV17	2916.04	3272.00	USD	44,495.00	
	NET 0 AVG BGHT 2903.24 SOLD 2891.64					**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094H

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
					-2,030.00	
09AUG17	B 1 PD09NOV17	2964.00	3272.00	USD	7,700.00	
09AUG17	S 1 PD09NOV17	2963.50	3272.00	USD	-7,712.50	
09AUG17	B 2 PD09NOV17	2953.50	3272.00	USD	15,925.00	
09AUG17	B 1 PD09NOV17	2952.00	3272.00	USD	8,000.00	
09AUG17	B 1 PD09NOV17	2950.50	3272.00	USD	8,037.50	
09AUG17	B 1 PD09NOV17	2940.50	3272.00	USD	8,287.50	
09AUG17	B 1 PD09NOV17	2943.50	3272.00	USD	8,212.50	
09AUG17	B 1 PD09NOV17	2951.50	3272.00	USD	8,012.50	
09AUG17	B 1 PD09NOV17	2932.50	3272.00	USD	8,487.50	
09AUG17	B 1 PD09NOV17	2935.00	3272.00	USD	8,425.00	
14AUG17	S 9 PD09NOV17	2916.00	3272.00	USD	-80,100.00	
	NET 0 AVG BGHT 2947.65 SOLD 2920.75				**-6,725.00**	**0.00**
10AUG17	S 1 PD10NOV17	2943.00	3272.00	USD	-8,225.00	
10AUG17	S 1 PD10NOV17	2946.50	3272.00	USD	-8,137.50	
10AUG17	B 1 PD10NOV17	2918.50	3272.00	USD	8,837.50	
10AUG17	B 1 PD10NOV17	2915.00	3272.00	USD	8,925.00	
10AUG17	B 1 PD10NOV17	2923.00	3272.00	USD	8,725.00	
10AUG17	B 1 PD10NOV17	2923.50	3272.00	USD	8,712.50	
10AUG17	B 1 PD10NOV17	2947.50	3272.00	USD	8,112.50	
10AUG17	B 1 PD10NOV17	2936.50	3272.00	USD	8,387.50	
10AUG17	B 1 PD10NOV17	2937.00	3272.00	USD	8,375.00	
10AUG17	S 1 PD10NOV17	2936.00	3272.00	USD	-8,400.00	
10AUG17	B 4 PD10NOV17	2928.50	3272.00	USD	34,350.00	
10AUG17	B 1 PD10NOV17	2938.00	3272.00	USD	8,350.00	
11AUG17	S 1 PD10NOV17	2917.00	3272.00	USD	-8,875.00	
11AUG17	B 1 PD10NOV17	2892.00	3272.00	USD	9,500.00	
11AUG17	B 1 PD10NOV17	2893.50	3272.00	USD	9,462.50	
11AUG17	B 1 PD10NOV17	2899.00	3272.00	USD	9,325.00	
11AUG17	B 1 PD10NOV17	2891.00	3272.00	USD	9,525.00	
11AUG17	S 1 PD10NOV17	2903.50	3272.00	USD	-9,212.50	
11AUG17	S 1 PD10NOV17	2900.50	3272.00	USD	-9,287.50	
11AUG17	S 1 PD10NOV17	2901.50	3272.00	USD	-9,262.50	
11AUG17	S 3 PD10NOV17	2896.30	3272.00	USD	-28,177.50	
14AUG17	S 6 PD10NOV17	2916.16	3272.00	USD	-53,376.00	
	NET 0 AVG BGHT 2920.53 SOLD 2914.62				**-2,366.00**	**0.00**
14AUG17	S 3 PD14NOV17	2911.00	3270.33	USD	-26,949.75	
14AUG17	S 1 PD14NOV17	2912.00	3270.33	USD	-8,958.25	
14AUG17	S 1 PD14NOV17	2913.50	3270.33	USD	-8,920.75	
14AUG17	S 1 PD14NOV17	2915.00	3270.33	USD	-8,883.25	
14AUG17	S 2 PD14NOV17	2914.00	3270.33	USD	-17,816.50	
14AUG17	S 2 PD14NOV17	2914.50	3270.33	USD	-17,791.50	
18AUG17	B 7 PD14NOV17	3126.57	3270.33	USD	25,158.00	
30AUG17	B 3 PD14NOV17	3094.25	3270.33	USD	13,206.00	
	NET 0 AVG BGHT 3116.87 SOLD 2913.05				**-50,956.00**	**0.00**
16AUG17	B 1 PD16NOV17	2985.00	3267.50	USD	7,062.50	
16AUG17	S 1 PD16NOV17	2979.00	3267.50	USD	-7,212.50	
16AUG17	S 1 PD16NOV17	2979.50	3267.50	USD	-7,200.00	
16AUG17	S 1 PD16NOV17	2980.50	3267.50	USD	-7,175.00	
16AUG17	S 1 PD16NOV17	2982.00	3267.50	USD	-7,137.50	
16AUG17	S 1 PD16NOV17	2984.00	3267.50	USD	-7,087.50	
16AUG17	S 1 PD16NOV17	2985.50	3267.50	USD	-7,050.00	
16AUG17	S 1 PD16NOV17	2990.00	3267.50	USD	-6,937.50	
16AUG17	S 1 PD16NOV17	2992.00	3267.50	USD	-6,887.50	
16AUG17	S 1 PD16NOV17	2982.50	3267.50	USD	-7,125.00	
16AUG17	B 1 PD16NOV17	2981.50	3267.50	USD	7,150.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094H

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
16AUG17	S 1 PD16NOV17	2980.00	3267.50	USD	-7,187.50	
16AUG17	B 1 PD16NOV17	3081.00	3267.50	USD	4,662.50	
16AUG17	B 1 PD16NOV17	3085.00	3267.50	USD	4,562.50	
16AUG17	B 1 PD16NOV17	3084.00	3267.50	USD	4,587.50	
16AUG17	B 2 PD16NOV17	3121.00	3267.50	USD	7,325.00	
16AUG17	B 1 PD16NOV17	3125.00	3267.50	USD	3,562.50	
16AUG17	B 1 PD16NOV17	3075.00	3267.50	USD	4,812.50	
16AUG17	B 2 PD16NOV17	3109.50	3267.50	USD	7,900.00	
16AUG17	B 1 PD16NOV17	3116.50	3267.50	USD	3,775.00	
16AUG17	B 1 PD16NOV17	3107.50	3267.50	USD	4,000.00	
16AUG17	B 1 PD16NOV17	3108.00	3267.50	USD	3,987.50	
16AUG17	B 1 PD16NOV17	3113.00	3267.50	USD	3,862.50	
16AUG17	B 1 PD16NOV17	3114.50	3267.50	USD	3,825.00	
16AUG17	B 1 PD16NOV17	3120.50	3267.50	USD	3,675.00	
29AUG17	S 7 PD16NOV17	3107.30	3267.50	USD	-28,035.00	
	NET 0 AVG BGHT 3091.62 SOLD 3034.48				**-24,285.00**	**0.00**
17AUG17	S 1 PD17NOV17	3139.50	3265.50	USD	-3,150.00	
17AUG17	S 1 PD17NOV17	3140.00	3265.50	USD	-3,137.50	
17AUG17	S 2 PD17NOV17	3140.50	3265.50	USD	-6,250.00	
17AUG17	S 1 PD17NOV17	3132.00	3265.50	USD	-3,337.50	
17AUG17	S 1 PD17NOV17	3133.50	3265.50	USD	-3,300.00	
17AUG17	S 1 PD17NOV17	3135.00	3265.50	USD	-3,262.50	
17AUG17	S 1 PD17NOV17	3138.50	3265.50	USD	-3,175.00	
17AUG17	B 1 PD17NOV17	3068.00	3265.50	USD	4,937.50	
17AUG17	B 1 PD17NOV17	3063.00	3265.50	USD	5,062.50	
17AUG17	B 1 PD17NOV17	3065.50	3265.50	USD	5,000.00	
17AUG17	B 1 PD17NOV17	3054.50	3265.50	USD	5,275.00	
17AUG17	B 1 PD17NOV17	3056.00	3265.50	USD	5,237.50	
17AUG17	S 1 PD17NOV17	3056.00	3265.50	USD	-5,237.50	
17AUG17	S 1 PD17NOV17	3057.00	3265.50	USD	-5,212.50	
17AUG17	B 1 PD17NOV17	3047.50	3265.50	USD	5,450.00	
17AUG17	B 1 PD17NOV17	3056.50	3265.50	USD	5,225.00	
17AUG17	B 1 PD17NOV17	3057.00	3265.50	USD	5,212.50	
18AUG17	B 1 PD17NOV17	3123.00	3265.50	USD	3,562.50	
18AUG17	B 1 PD17NOV17	3127.00	3265.50	USD	3,462.50	
18AUG17	S 1 PD17NOV17	3116.00	3265.50	USD	-3,737.50	
18AUG17	S 1 PD17NOV17	3118.00	3265.50	USD	-3,687.50	
18AUG17	S 1 PD17NOV17	3118.50	3265.50	USD	-3,675.00	
18AUG17	S 1 PD17NOV17	3119.50	3265.50	USD	-3,650.00	
18AUG17	S 1 PD17NOV17	3120.50	3265.50	USD	-3,625.00	
18AUG17	S 1 PD17NOV17	3135.50	3265.50	USD	-3,250.00	
18AUG17	B 1 PD17NOV17	3115.00	3265.50	USD	3,762.50	
18AUG17	B 1 PD17NOV17	3114.50	3265.50	USD	3,775.00	
18AUG17	S 1 PD17NOV17	3115.50	3265.50	USD	-3,750.00	
18AUG17	B 1 PD17NOV17	3135.50	3265.50	USD	3,250.00	
18AUG17	S 1 PD17NOV17	3125.50	3265.50	USD	-3,500.00	
18AUG17	S 1 PD17NOV17	3126.00	3265.50	USD	-3,487.50	
18AUG17	B 1 PD17NOV17	3140.00	3265.50	USD	3,137.50	
23AUG17	B 1 PD17NOV17	3094.70	3265.50	USD	4,270.00	
31AUG17	B 4 PD17NOV17	3143.00	3265.50	USD	12,250.00	
	NET 0 AVG BGHT 3099.46 SOLD 3121.45				**10,445.00**	**0.00**
21AUG17	S 1 PD21NOV17	3149.50	3264.83	USD	-2,883.25	
21AUG17	S 1 PD21NOV17	3150.00	3264.83	USD	-2,870.75	
21AUG17	B 1 PD21NOV17	3125.50	3264.83	USD	3,483.25	
21AUG17	B 1 PD21NOV17	3128.00	3264.83	USD	3,420.75	
21AUG17	B 1 PD21NOV17	3123.50	3264.83	USD	3,533.25	
21AUG17	B 1 PD21NOV17	3127.00	3264.83	USD	3,445.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094H

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
21AUG17	S 1 PD21NOV17	3122.50	3264.83	USD	-3,558.25	
29AUG17	S 1 PD21NOV17	3107.94	3264.83	USD	-3,922.25	
	NET 0 AVG BGHT 3126.00 SOLD 3132.49				**648.50**	**0.00**
22AUG17	B 1 PD22NOV17	3143.00	3264.50	USD	3,037.50	
22AUG17	S 2 PD22NOV17	3138.00	3264.50	USD	-6,325.00	
22AUG17	S 1 PD22NOV17	3138.50	3264.50	USD	-3,150.00	
22AUG17	S 1 PD22NOV17	3148.00	3264.50	USD	-2,912.50	
22AUG17	B 1 PD22NOV17	3121.50	3264.50	USD	3,575.00	
22AUG17	B 1 PD22NOV17	3116.50	3264.50	USD	3,700.00	
22AUG17	B 1 PD22NOV17	3110.50	3264.50	USD	3,850.00	
	NET 0 AVG BGHT 3122.88 SOLD 3140.63				**1,775.00**	**0.00**
23AUG17	S 1 PD24NOV17	3140.50	3264.71	USD	-3,105.25	
23AUG17	S 1 PD24NOV17	3109.00	3264.71	USD	-3,892.75	
23AUG17	B 1 PD24NOV17	3110.00	3264.71	USD	3,867.75	
24AUG17	S 1 PD24NOV17	3110.00	3264.71	USD	-3,867.75	
24AUG17	S 1 PD24NOV17	3105.00	3264.71	USD	-3,992.75	
24AUG17	S 1 PD24NOV17	3118.50	3264.71	USD	-3,655.25	
24AUG17	B 1 PD24NOV17	3108.50	3264.71	USD	3,905.25	
25AUG17	B 1 PD24NOV17	3071.50	3264.71	USD	4,830.25	
25AUG17	B 1 PD24NOV17	3066.50	3264.71	USD	4,955.25	
25AUG17	B 1 PD24NOV17	3056.50	3264.71	USD	5,205.25	
25AUG17	B 1 PD24NOV17	3060.00	3264.71	USD	5,117.75	
25AUG17	B 1 PD24NOV17	3059.00	3264.71	USD	5,142.75	
25AUG17	B 1 PD24NOV17	3065.00	3264.71	USD	4,992.75	
25AUG17	B 1 PD24NOV17	3068.50	3264.71	USD	4,905.25	
25AUG17	S 1 PD24NOV17	3065.00	3264.71	USD	-4,992.75	
30AUG17	S 3 PD24NOV17	3093.80	3264.71	USD	-12,818.25	
	NET 0 AVG BGHT 3073.94 SOLD 3103.27				**6,597.50**	**0.00**
29AUG17	B 1 PD29NOV17	3109.00	3265.25	USD	3,906.25	
29AUG17	S 1 PD29NOV17	3093.00	3265.25	USD	-4,306.25	
29AUG17	B 5 PD29NOV17	3094.00	3265.25	USD	21,406.25	
29AUG17	B 1 PD29NOV17	3118.50	3265.25	USD	3,668.75	
29AUG17	B 1 PD29NOV17	3096.50	3265.25	USD	4,218.75	
29AUG17	S 1 PD29NOV17	3093.50	3265.25	USD	-4,293.75	
29AUG17	S 1 PD29NOV17	3094.00	3265.25	USD	-4,281.25	
29AUG17	B 1 PD29NOV17	3118.00	3265.25	USD	3,681.25	
29AUG17	S 1 PD29NOV17	3118.50	3265.25	USD	-3,668.75	
29AUG17	S 1 PD29NOV17	3116.50	3265.25	USD	-3,718.75	
29AUG17	S 1 PD29NOV17	3121.50	3265.25	USD	-3,593.75	
29AUG17	S 3 PD29NOV17	3121.00	3265.25	USD	-10,818.75	
29AUG17	S 3 PD29NOV17	3119.00	3265.25	USD	-10,968.75	
29AUG17	S 1 PD29NOV17	3107.00	3265.25	USD	-3,956.25	
31AUG17	B 4 PD29NOV17	3145.83	3265.25	USD	11,942.00	
	NET 0 AVG BGHT 3115.02 SOLD 3112.62				**-783.00**	**0.00**
30AUG17	B 1 PD30NOV17	3098.50	3265.36	USD	4,171.50	
30AUG17	B 1 PD30NOV17	3103.50	3265.36	USD	4,046.50	
30AUG17	B 1 PD30NOV17	3104.50	3265.36	USD	4,021.50	
30AUG17	B 1 PD30NOV17	3111.00	3265.36	USD	3,859.00	
30AUG17	B 1 PD30NOV17	3096.50	3265.36	USD	4,221.50	
30AUG17	B 2 PD30NOV17	3094.50	3265.36	USD	8,543.00	
30AUG17	B 1 PD30NOV17	3084.50	3265.36	USD	4,521.50	
31AUG17	B 2 PD30NOV17	3100.00	3265.36	USD	8,268.00	
31AUG17	B 1 PD30NOV17	3104.50	3265.36	USD	4,021.50	
31AUG17	B 1 PD30NOV17	3106.50	3265.36	USD	3,971.50	
31AUG17	B 1 PD30NOV17	3108.00	3265.36	USD	3,934.00	
31AUG17	S 1 PD30NOV17	3106.00	3265.36	USD	-3,984.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094H

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
31AUG17	S	1	PD30NOV17	3113.50	3265.36	USD	-3,796.50	
31AUG17	S	2	PD30NOV17	3114.50	3265.36	USD	-7,543.00	
31AUG17	S	2	PD30NOV17	3119.00	3265.36	USD	-7,318.00	
31AUG17	B	1	PD30NOV17	3130.00	3265.36	USD	3,384.00	
31AUG17	B	1	PD30NOV17	3128.00	3265.36	USD	3,434.00	
31AUG17	B	1	PD30NOV17	3124.50	3265.36	USD	3,521.50	
31AUG17	B	1	PD30NOV17	3124.00	3265.36	USD	3,534.00	
31AUG17	B	5	PD30NOV17	3126.00	3265.36	USD	17,420.00	
31AUG17	B	3	PD30NOV17	3125.00	3265.36	USD	10,527.00	
31AUG17	B	1	PD30NOV17	3108.50	3265.36	USD	3,921.50	
31AUG17	B	2	PD30NOV17	3125.50	3265.36	USD	6,993.00	
31AUG17	B	1	PD30NOV17	3126.50	3265.36	USD	3,471.50	
31AUG17	B	1	PD30NOV17	3127.00	3265.36	USD	3,459.00	
31AUG17	S	8	PD30NOV17	3145.80	3265.36	USD	-23,912.00	
04SEP17	S	16	PD30NOV17	3204.30	3265.36	USD	-24,424.00	
	NET	**0**	**AVG BGHT 3114.37**	**SOLD 3170.72**			**42,267.50**	**0.00**
05SEP17	S	1	PD05DEC17	3195.00	3265.89	USD	-1,772.25	
22SEP17	B	1	PD05DEC17	3031.68	3265.89	USD	5,855.25	
	NET	**0**	**AVG BGHT 3031.68**	**SOLD 3195.00**			**4,083.00**	**0.00**
06SEP17	B	1	PD06DEC17	3089.00	3266.00	USD	4,425.00	
06SEP17	B	1	PD06DEC17	3081.00	3266.00	USD	4,625.00	
06SEP17	S	1	PD06DEC17	3102.50	3266.00	USD	-4,087.50	
22SEP17	S	1	PD06DEC17	3031.63	3266.00	USD	-5,859.25	
	NET	**0**	**AVG BGHT 3085.00**	**SOLD 3067.07**			**-896.75**	**0.00**
11SEP17	S	1	PD11DEC17	3093.00	3266.54	USD	-4,338.50	
11SEP17	S	1	PD11DEC17	3101.50	3266.54	USD	-4,126.00	
11SEP17	B	1	PD11DEC17	3085.00	3266.54	USD	4,538.50	
22SEP17	B	1	PD11DEC17	3032.61	3266.54	USD	5,848.25	
	NET	**0**	**AVG BGHT 3058.81**	**SOLD 3097.25**			**1,922.25**	**0.00**
12SEP17	B	1	PD12DEC17	3077.50	3266.64	USD	4,728.50	
22SEP17	S	1	PD12DEC17	3032.56	3266.64	USD	-5,852.00	
	NET	**0**	**AVG BGHT 3077.50**	**SOLD 3032.56**			**-1,123.50**	**0.00**
21SEP17	S	1	PD21DEC17	3078.00	3264.00	USD	-4,650.00	
21SEP17	S	1	PD21DEC17	3080.00	3264.00	USD	-4,600.00	
21SEP17	B	2	PD21DEC17	3095.46	3264.00	USD	8,427.00	
	NET	**0**	**AVG BGHT 3095.46**	**SOLD 3079.00**			**-823.00**	**0.00**
26SEP17	S	1	PD27DEC17	3142.00	3264.00	USD	-3,050.00	
26SEP17	B	1	PD27DEC17	3142.50	3264.00	USD	3,037.50	
27SEP17	B	1	PD27DEC17	3101.00	3264.00	USD	4,075.00	
27SEP17	S	1	PD27DEC17	3114.00	3264.00	USD	-3,750.00	
	NET	**0**	**AVG BGHT 3121.75**	**SOLD 3128.00**			**312.50**	**0.00**
29SEP17	B	1	PD29DEC17	3145.50	3264.00	USD	2,962.50	
29SEP17	B	1	PD29DEC17	3149.50	3264.00	USD	2,862.50	
29SEP17	B	1	PD29DEC17	3148.00	3264.00	USD	2,900.00	
29SEP17	S	1	PD29DEC17	3169.00	3264.00	USD	-2,375.00	
29SEP17	S	1	PD29DEC17	3163.00	3264.00	USD	-2,525.00	
29SEP17	S	1	PD29DEC17	3161.50	3264.00	USD	-2,562.50	
29SEP17	S	1	PD29DEC17	3147.35	3264.00	USD	-2,916.25	
29SEP17	S	1	PD29DEC17	3147.85	3264.00	USD	-2,903.75	
29SEP17	S	1	PD29DEC17	3143.85	3264.00	USD	-3,003.75	
29SEP17	B	3	PD29DEC17	3162.20	3264.00	USD	7,635.00	
	NET	**0**	**AVG BGHT 3154.93**	**SOLD 3155.43**			**73.75**	**0.00**
03OCT17	S	1	PD03JAN18	3219.00	3264.00	USD	-1,125.00	
03OCT17	S	1	PD03JAN18	3240.00	3264.00	USD	-600.00	
03OCT17	S	1	PD03JAN18	3241.50	3264.00	USD	-562.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094H

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
03OCT17	B 1 PD03JAN18	3288.00	3264.00	USD	-600.00	
03OCT17	B 1 PD03JAN18	3265.00	3264.00	USD	-25.00	
03OCT17	B 1 PD03JAN18	3263.50	3264.00	USD	12.50	
	NET 0 AVG BGHT 3272.17 SOLD 3233.50				**-2,900.00**	**0.00**

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	263,107.25
LME Lead 25 tonnes. This is an	FUTURES	-6,716.75
LME Nickel 6 tonnes. This is	FUTURES	-125,679.66
CMX Silver	FUTURES	-170,375.00
LME Zinc 25 tonnes. This is	FUTURES	513,060.75

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options			Amount	Profit / Loss	**Total Amount**	**Total P&L**
SILV	- Futures	USD	-3,875.00	-3,875.00	**-3,875.00**	**-3,875.00**
Unrealised Profits & Losses - Forwards			**Amount**	**Profit / Loss**	**Total Amount**	**Total P&L**
ALUL	- Futures	USD	-1,498.50	-1,498.50		
LEAD	- Futures	USD	-6,727.50	-6,727.50		
NKL	- Futures	USD	1,677.90	1,677.90		
ZINC	- Futures	USD	-59,801.75	-59,801.75	**-66,349.85**	**-66,349.85**

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-40,537.03	-40,537.03
Variation Margins	- Futures	-3,875.00	-3,875.00
	- Options	0.00	0.00
TOTAL EQUITY		**-44,412.03**	**-44,412.03**
Initial Margins		-143,986.85	-143,986.85
Excess/(Shortage)		**-188,398.88**	**-188,398.88**
FOR INFORMATION ONLY			
Forward Unrealised P&L		-66,349.85	-66,349.85
Net Liquidating Value		**-110,761.88**	**-110,761.88**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094J

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
05JUL17	B 5 PD05OCT17	1929.50	2114.25	USD	23,093.75	
21JUL17	S 5 PD05OCT17	1912.48	2114.25	USD	-25,221.25	
	NET 0 AVG BGHT 1929.50 SOLD 1912.48				**-2,127.50**	**0.00**
11JUL17	B 2 PD11OCT17	1898.50	2116.25	USD	10,887.50	
11JUL17	B 3 PD11OCT17	1899.00	2116.25	USD	16,293.75	
11JUL17	B 2 PD11OCT17	1902.00	2116.25	USD	10,712.50	
11JUL17	B 3 PD11OCT17	1903.50	2116.25	USD	15,956.25	
11JUL17	B 10 PD11OCT17	1897.50	2116.25	USD	54,687.50	
21JUL17	S 20 PD11OCT17	1913.67	2116.25	USD	-101,290.00	
	NET 0 AVG BGHT 1899.18 SOLD 1913.67				**7,247.50**	**0.00**
12JUL17	B 10 PD12OCT17	1899.00	2116.25	USD	54,312.50	
12JUL17	B 10 PD12OCT17	1898.00	2116.25	USD	54,562.50	
12JUL17	B 5 PD12OCT17	1900.00	2116.25	USD	27,031.25	
12JUL17	B 10 PD12OCT17	1891.50	2116.25	USD	56,187.50	
12JUL17	B 5 PD12OCT17	1892.50	2116.25	USD	27,968.75	
12JUL17	B 10 PD12OCT17	1887.50	2116.25	USD	57,187.50	
12JUL17	B 10 PD12OCT17	1888.00	2116.25	USD	57,062.50	
12JUL17	B 8 PD12OCT17	1883.50	2116.25	USD	46,550.00	
12JUL17	B 10 PD12OCT17	1884.00	2116.25	USD	58,062.50	
21JUL17	S 78 PD12OCT17	1913.87	2116.25	USD	-394,641.00	
	NET 0 AVG BGHT 1891.16 SOLD 1913.87				**44,284.00**	**0.00**
17JUL17	B 1 PD17OCT17	1919.50	2116.25	USD	4,918.75	
21JUL17	S 1 PD17OCT17	1914.85	2116.25	USD	-5,035.00	
	NET 0 AVG BGHT 1919.50 SOLD 1914.85				**-116.25**	**0.00**
18JUL17	B 5 PD18OCT17	1917.50	2116.25	USD	24,843.75	
18JUL17	S 5 PD18OCT17	1917.00	2116.25	USD	-24,906.25	
18JUL17	B 10 PD18OCT17	1917.00	2116.25	USD	49,812.50	
18JUL17	B 20 PD18OCT17	1922.00	2116.25	USD	97,125.00	
18JUL17	B 8 PD18OCT17	1923.50	2116.25	USD	38,550.00	
18JUL17	B 2 PD18OCT17	1924.00	2116.25	USD	9,612.50	
18JUL17	B 10 PD18OCT17	1925.50	2116.25	USD	47,687.50	
18JUL17	B 10 PD18OCT17	1926.00	2116.25	USD	47,562.50	
21JUL17	S 60 PD18OCT17	1915.05	2116.25	USD	-301,800.00	
	NET 0 AVG BGHT 1922.28 SOLD 1915.20				**-11,512.50**	**0.00**
19JUL17	B 5 PD19OCT17	1938.50	2116.64	USD	22,267.50	
19JUL17	B 10 PD19OCT17	1939.00	2116.64	USD	44,410.00	
19JUL17	B 20 PD19OCT17	1934.00	2116.64	USD	91,320.00	
19JUL17	B 5 PD19OCT17	1928.00	2116.64	USD	23,580.00	
19JUL17	B 14 PD19OCT17	1933.50	2116.64	USD	64,099.00	
19JUL17	B 16 PD19OCT17	1933.00	2116.64	USD	73,456.00	
21JUL17	S 70 PD19OCT17	1914.93	2116.64	USD	-352,992.50	
	NET 0 AVG BGHT 1934.28 SOLD 1914.93				**-33,860.00**	**0.00**
20JUL17	B 6 PD20OCT17	1925.00	2117.03	USD	28,804.50	
20JUL17	B 4 PD20OCT17	1926.00	2117.03	USD	19,103.00	
20JUL17	B 19 PD20OCT17	1927.00	2117.03	USD	90,264.25	
20JUL17	B 20 PD20OCT17	1927.50	2117.03	USD	94,765.00	
20JUL17	B 2 PD20OCT17	1929.00	2117.03	USD	9,401.50	
20JUL17	B 3 PD20OCT17	1929.50	2117.03	USD	14,064.75	
20JUL17	B 12 PD20OCT17	1926.50	2117.03	USD	57,159.00	
21JUL17	S 61 PD20OCT17	1914.80	2117.03	USD	-308,400.75	
03AUG17	S 5 PD20OCT17	1912.50	2117.03	USD	-25,566.25	
	NET 0 AVG BGHT 1926.99 SOLD 1914.63				**-20,405.00**	**0.00**
26JUL17	S 1 PD26OCT17	1959.50	2119.36	USD	-3,996.50	
26JUL17	S 4 PD26OCT17	1958.50	2119.36	USD	-16,086.00	
03AUG17	B 5 PD26OCT17	1914.20	2119.36	USD	25,645.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094J

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
	NET 0 AVG BGHT 1914.20 SOLD 1958.70				**5,562.50**	**0.00**
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
04JUL17	S 5 PD04OCT17	5876.00	6467.48	USD	-73,935.00	
03AUG17	B 5 PD04OCT17	6342.75	6467.48	USD	15,591.25	
	NET 0 AVG BGHT 6342.75 SOLD 5876.00				**-58,343.75**	**0.00**
05JUL17	B 1 PD05OCT17	5846.50	6468.75	USD	15,556.25	
03AUG17	S 1 PD05OCT17	6343.10	6468.75	USD	-3,141.25	
	NET 0 AVG BGHT 5846.50 SOLD 6343.10				**12,415.00**	**0.00**
07JUL17	B 1 PD06OCT17	5842.50	6470.02	USD	15,688.00	
07JUL17	S 1 PD06OCT17	5841.50	6470.02	USD	-15,713.00	
	NET 0 AVG BGHT 5842.50 SOLD 5841.50				**-25.00**	**0.00**
14JUL17	B 1 PD13OCT17	5891.00	6478.90	USD	14,697.50	
14JUL17	B 7 PD13OCT17	5891.50	6478.90	USD	102,795.00	
14JUL17	B 2 PD13OCT17	5892.50	6478.90	USD	29,320.00	
14JUL17	B 4 PD13OCT17	5893.00	6478.90	USD	58,590.00	
14JUL17	B 2 PD13OCT17	5893.50	6478.90	USD	29,270.00	
14JUL17	S 3 PD13OCT17	5893.00	6478.90	USD	-43,942.50	
03AUG17	S 7 PD13OCT17	6347.50	6478.90	USD	-22,995.00	
22AUG17	S 6 PD13OCT17	6567.75	6478.90	USD	13,327.50	
	NET 0 AVG BGHT 5892.22 SOLD 6344.88				**181,062.50**	**0.00**
17JUL17	S 5 PD17OCT17	6011.50	6483.98	USD	-59,060.00	
03AUG17	B 5 PD17OCT17	6349.20	6483.98	USD	16,847.50	
	NET 0 AVG BGHT 6349.20 SOLD 6011.50				**-42,212.50**	**0.00**
18JUL17	S 5 PD18OCT17	6000.50	6485.25	USD	-60,593.75	
18JUL17	S 5 PD18OCT17	6001.50	6485.25	USD	-60,468.75	
18JUL17	S 5 PD18OCT17	6004.00	6485.25	USD	-60,156.25	
18JUL17	B 5 PD18OCT17	5998.00	6485.25	USD	60,906.25	
03AUG17	B 10 PD18OCT17	6349.20	6485.25	USD	34,012.50	
	NET 0 AVG BGHT 6232.13 SOLD 6002.00				**-86,300.00**	**0.00**
19JUL17	B 5 PD19OCT17	6001.00	6486.00	USD	60,625.00	
19JUL17	S 10 PD19OCT17	6000.00	6486.00	USD	-121,500.00	
19JUL17	S 10 PD19OCT17	6000.50	6486.00	USD	-121,375.00	
19JUL17	B 5 PD19OCT17	6001.50	6486.00	USD	60,562.50	
03AUG17	B 10 PD19OCT17	6348.00	6486.00	USD	34,500.00	
	NET 0 AVG BGHT 6174.63 SOLD 6000.25				**-87,187.50**	**0.00**
20JUL17	B 5 PD20OCT17	5968.00	6486.75	USD	64,843.75	
20JUL17	B 1 PD20OCT17	5986.50	6486.75	USD	12,506.25	
20JUL17	B 4 PD20OCT17	5987.00	6486.75	USD	49,975.00	
03AUG17	S 10 PD20OCT17	6346.80	6486.75	USD	-34,987.50	
	NET 0 AVG BGHT 5977.45 SOLD 6346.80				**92,337.50**	**0.00**
10AUG17	S 4 PD10NOV17	6420.00	6499.18	USD	-7,918.00	
10AUG17	S 2 PD10NOV17	6420.50	6499.18	USD	-3,934.00	
22AUG17	B 6 PD10NOV17	6579.45	6499.18	USD	-12,040.50	
	NET 0 AVG BGHT 6579.45 SOLD 6420.17				**-23,892.50**	**0.00**
	CMX GOLD 100 CMX					
28JUL17	B 1 DEC17	1270.4	1274.6	USD	420.00	
	NET +1 AVG BGHT 1270.4				**420.00**	**0.00**
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
04JUL17	S 5 PD04OCT17	2315.00	2584.77	USD	-33,721.25	
04JUL17	B 5 PD04OCT17	2301.00	2584.77	USD	35,471.25	
	NET 0 AVG BGHT 2301.00 SOLD 2315.00				**1,750.00**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094J

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
05JUL17	S 5 PD05OCT17	2280.50	2585.00	USD	-38,062.50	
05JUL17	S 10 PD05OCT17	2281.00	2585.00	USD	-76,000.00	
05JUL17	S 5 PD05OCT17	2280.00	2585.00	USD	-38,125.00	
03AUG17	B 20 PD05OCT17	2362.33	2585.00	USD	111,335.00	
	NET 0 AVG BGHT 2362.33 SOLD 2280.63				**-40,852.50**	**0.00**
10JUL17	S 1 PD10OCT17	2288.50	2586.15	USD	-7,441.25	
10JUL17	S 2 PD10OCT17	2289.00	2586.15	USD	-14,857.50	
10JUL17	S 1 PD10OCT17	2289.50	2586.15	USD	-7,416.25	
10JUL17	S 1 PD10OCT17	2290.50	2586.15	USD	-7,391.25	
10JUL17	S 5 PD10OCT17	2314.50	2586.15	USD	-33,956.25	
03AUG17	B 10 PD10OCT17	2363.24	2586.15	USD	55,727.50	
	NET 0 AVG BGHT 2363.24 SOLD 2301.90				**-15,335.00**	**0.00**
11JUL17	B 5 PD11OCT17	2329.50	2586.38	USD	32,110.00	
11JUL17	B 5 PD11OCT17	2310.00	2586.38	USD	34,547.50	
22AUG17	S 10 PD11OCT17	2406.46	2586.38	USD	-44,980.00	
	NET 0 AVG BGHT 2319.75 SOLD 2406.46				**21,677.50**	**0.00**
20JUL17	B 5 PD20OCT17	2223.00	2588.00	USD	45,625.00	
22AUG17	S 5 PD20OCT17	2408.25	2588.00	USD	-22,468.75	
	NET 0 AVG BGHT 2223.00 SOLD 2408.25				**23,156.25**	**0.00**
07AUG17	S 5 PD07NOV17	2355.00	2588.00	USD	-29,125.00	
07AUG17	S 22 PD07NOV17	2356.00	2588.00	USD	-127,600.00	
07AUG17	S 2 PD07NOV17	2356.50	2588.00	USD	-11,575.00	
07AUG17	S 11 PD07NOV17	2357.00	2588.00	USD	-63,525.00	
07AUG17	B 1 PD07NOV17	2358.50	2588.00	USD	5,737.50	
07AUG17	S 3 PD07NOV17	2382.50	2588.00	USD	-15,412.50	
22AUG17	B 42 PD07NOV17	2412.95	2588.00	USD	183,802.50	
	NET 0 AVG BGHT 2411.68 SOLD 2358.01				**-57,697.50**	**0.00**
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
17JUL17	S 5 PD17OCT17	9600.00	10547.73	USD	-28,431.90	
03AUG17	B 5 PD17OCT17	10371.80	10547.73	USD	5,277.90	
	NET 0 AVG BGHT 10371.80 SOLD 9600.00				**-23,154.00**	**0.00**
26JUL17	S 5 PD26OCT17	10005.00	10556.71	USD	-16,551.30	
26JUL17	S 5 PD26OCT17	10010.00	10556.71	USD	-16,401.30	
26JUL17	S 25 PD26OCT17	10015.00	10556.71	USD	-81,256.50	
26JUL17	S 5 PD26OCT17	10020.00	10556.71	USD	-16,101.30	
26JUL17	S 5 PD26OCT17	10050.00	10556.71	USD	-15,201.30	
03AUG17	B 45 PD26OCT17	10378.72	10556.71	USD	48,057.30	
	NET 0 AVG BGHT 10378.72 SOLD 10017.78				**-97,454.40**	**0.00**
11SEP17	B 4 PD11DEC17	11485.00	10599.03	USD	-21,263.28	
11SEP17	B 4 PD11DEC17	11515.00	10599.03	USD	-21,983.28	
11SEP17	B 4 PD11DEC17	11500.00	10599.03	USD	-21,623.28	
	NET +12 AVG BGHT 11500.00				**-64,869.84**	**0.00**
21SEP17	B 2 PD21DEC17	10925.00	10608.00	USD	-3,804.00	
21SEP17	B 2 PD21DEC17	10945.00	10608.00	USD	-4,044.00	
21SEP17	B 2 PD21DEC17	11065.00	10608.00	USD	-5,484.00	
21SEP17	S 1 PD21DEC17	11065.00	10608.00	USD	2,742.00	
	NET +5 AVG BGHT 10978.33 SOLD 11065.00				**-10,590.00**	**0.00**
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
06JUL17	S 1 PD06OCT17	19710.00	20951.50	USD	-6,207.50	
06JUL17	B 1 PD06OCT17	19905.00	20951.50	USD	5,232.50	
	NET 0 AVG BGHT 19905.00 SOLD 19710.00				**-975.00**	**0.00**
	LME ZINC 25 TONNES. THIS IS AN LME					

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094J

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	REGISTERED CLIENT CONTRACT					
29AUG17	S 1 PD29NOV17	3099.50	3265.25	USD	-4,143.75	
29AUG17	S 1 PD29NOV17	3094.50	3265.25	USD	-4,268.75	
29AUG17	B 1 PD29NOV17	3106.50	3265.25	USD	3,968.75	
29AUG17	B 2 PD29NOV17	3113.00	3265.25	USD	7,612.50	
29AUG17	S 1 PD29NOV17	3112.50	3265.25	USD	-3,818.75	
	NET 0 AVG BGHT 3110.83 SOLD 3102.17				**-650.00**	**0.00**

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	-10,927.25
LME Copper Grade A 25	FUTURES	-12,146.25
CMX Gold	FUTURES	-127,040.00
LME Lead 25 tonnes. This is an	FUTURES	-67,301.25
LME Nickel 6 tonnes. This is	FUTURES	-1,277,438.40
LME Tin 5 tonnes. This is an	FUTURES	-975.00
LME Zinc 25 tonnes. This is	FUTURES	-650.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options			Amount	Profit / Loss	**Total Amount**	**Total P&L**
GOLD	- Futures	USD	420.00	420.00	**420.00**	**420.00**
Unrealised Profits & Losses - Forwards			**Amount**	**Profit / Loss**	**Total Amount**	**Total P&L**
ALUL	- Futures	USD	-10,927.25	-10,927.25		
COPL	- Futures	USD	-12,146.25	-12,146.25		
LEAD	- Futures	USD	-67,301.25	-67,301.25		
NKL	- Futures	USD	-196,068.24	-196,068.24		
TIN	- Futures	USD	-975.00	-975.00		
ZINC	- Futures	USD	-650.00	-650.00	**-288,067.99**	**-288,067.99**

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		1,066,685.12	1,066,685.12
Variation Margins	- Futures	420.00	420.00
	- Options	0.00	0.00
TOTAL EQUITY		**1,067,105.12**	**1,067,105.12**
Initial Margins		-405,090.99	-405,090.99
Excess/(Shortage)		**662,014.13**	**662,014.13**
FOR INFORMATION ONLY			
Forward Unrealised P&L		-288,067.99	-288,067.99
Net Liquidating Value		**779,037.13**	**779,037.13**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094K

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
08AUG17	B 1 PD08NOV17	6395.50	6498.25	USD	2,568.75	
08AUG17	S 2 PD08NOV17	6395.50	6498.25	USD	-5,137.50	
08AUG17	B 1 PD08NOV17	6394.00	6498.25	USD	2,606.25	
	NET 0 AVG BGHT 6394.75 SOLD 6395.50				**37.50**	**0.00**
09AUG17	S 1 PD09NOV17	6487.00	6498.71	USD	-292.75	
09AUG17	B 1 PD09NOV17	6495.00	6498.71	USD	92.75	
	NET 0 AVG BGHT 6495.00 SOLD 6487.00				**-200.00**	**0.00**
24AUG17	S 1 PD24NOV17	6720.50	6505.80	USD	5,367.50	
24AUG17	S 3 PD24NOV17	6721.50	6505.80	USD	16,177.50	
24AUG17	B 1 PD24NOV17	6706.50	6505.80	USD	-5,017.50	
24AUG17	B 1 PD24NOV17	6705.50	6505.80	USD	-4,992.50	
24AUG17	B 2 PD24NOV17	6699.00	6505.80	USD	-9,660.00	
24AUG17	B 1 PD24NOV17	6698.00	6505.80	USD	-4,805.00	
24AUG17	S 1 PD24NOV17	6721.50	6505.80	USD	5,392.50	
25AUG17	B 1 PD24NOV17	6728.00	6505.80	USD	-5,555.00	
25AUG17	B 1 PD24NOV17	6725.50	6505.80	USD	-5,492.50	
25AUG17	B 2 PD24NOV17	6723.50	6505.80	USD	-10,885.00	
25AUG17	B 1 PD24NOV17	6722.50	6505.80	USD	-5,417.50	
25AUG17	S 5 PD24NOV17	6680.00	6505.80	USD	21,775.00	
	NET 0 AVG BGHT 6713.10 SOLD 6700.65				**-3,112.50**	**0.00**

OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	-3,275.00

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards		Amount	Profit / Loss	**Total Amount**	**Total P&L**
COPL - Futures	USD	-3,275.00	-3,275.00	**-3,275.00**	**-3,275.00**

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		2,444.50	2,444.50
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**2,444.50**	**2,444.50**
Initial Margins		-3,275.00	-3,275.00
Excess/(Shortage)		**-830.50**	**-830.50**
FOR INFORMATION ONLY			
Forward Unrealised P&L		-3,275.00	-3,275.00
Net Liquidating Value		**-830.50**	**-830.50**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094L

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
04JUL17	S 3 PD04OCT17	1922.00	2113.92	USD	-14,394.00	
04JUL17	B 2 PD04OCT17	1921.50	2113.92	USD	9,621.00	
04JUL17	B 1 PD04OCT17	1921.50	2113.92	USD	4,810.50	
	NET 0 AVG BGHT 1921.50 SOLD 1922.00				**37.50**	**0.00**
14JUL17	S 1 PD13OCT17	1925.50	2116.25	USD	-4,768.75	
14JUL17	S 1 PD13OCT17	1925.00	2116.25	USD	-4,781.25	
13SEP17	B 2 PD13OCT17	2088.83	2116.25	USD	1,371.00	
	NET 0 AVG BGHT 2088.83 SOLD 1925.25				**-8,179.00**	**0.00**
17JUL17	B 1 PD17OCT17	1914.00	2116.25	USD	5,056.25	
13SEP17	B 1 PD17OCT17	2090.37	2116.25	USD	647.00	
19SEP17	S 2 PD17OCT17	2103.45	2116.25	USD	-640.00	
	NET 0 AVG BGHT 2002.19 SOLD 2103.45				**5,063.25**	**0.00**
18JUL17	S 1 PD18OCT17	1917.00	2116.25	USD	-4,981.25	
18JUL17	S 1 PD18OCT17	1910.00	2116.25	USD	-5,156.25	
18JUL17	B 1 PD18OCT17	1926.00	2116.25	USD	4,756.25	
18JUL17	B 1 PD18OCT17	1931.50	2116.25	USD	4,618.75	
	NET 0 AVG BGHT 1928.75 SOLD 1913.50				**-762.50**	**0.00**
20JUL17	B 1 PD20OCT17	1927.50	2117.03	USD	4,738.25	
13SEP17	S 1 PD20OCT17	2091.59	2117.03	USD	-636.00	
	NET 0 AVG BGHT 1927.50 SOLD 2091.59				**4,102.25**	**0.00**
26JUL17	S 1 PD26OCT17	1943.50	2119.36	USD	-4,396.50	
26JUL17	S 1 PD26OCT17	1953.00	2119.36	USD	-4,159.00	
26JUL17	B 1 PD26OCT17	1941.50	2119.36	USD	4,446.50	
26JUL17	S 1 PD26OCT17	1947.50	2119.36	USD	-4,296.50	
26JUL17	S 1 PD26OCT17	1956.50	2119.36	USD	-4,071.50	
26JUL17	S 1 PD26OCT17	1956.00	2119.36	USD	-4,084.00	
26JUL17	B 1 PD26OCT17	1949.50	2119.36	USD	4,246.50	
26JUL17	B 1 PD26OCT17	1943.50	2119.36	USD	4,396.50	
26JUL17	B 1 PD26OCT17	1938.00	2119.36	USD	4,534.00	
08AUG17	B 2 PD26OCT17	2027.40	2119.36	USD	4,598.00	
09AUG17	S 1 PD26OCT17	2025.00	2119.36	USD	-2,359.00	
	NET 0 AVG BGHT 1971.22 SOLD 1963.58				**-1,145.00**	**0.00**
27JUL17	B 1 PD27OCT17	1944.00	2119.75	USD	4,393.75	
27JUL17	S 1 PD27OCT17	1943.50	2119.75	USD	-4,406.25	
27JUL17	S 2 PD27OCT17	1950.00	2119.75	USD	-8,487.50	
27JUL17	B 2 PD27OCT17	1937.50	2119.75	USD	9,112.50	
28JUL17	S 1 PD27OCT17	1931.00	2119.75	USD	-4,718.75	
28JUL17	S 1 PD27OCT17	1929.00	2119.75	USD	-4,768.75	
28JUL17	B 1 PD27OCT17	1917.50	2119.75	USD	5,056.25	
28JUL17	B 1 PD27OCT17	1917.00	2119.75	USD	5,068.75	
28JUL17	B 1 PD27OCT17	1915.50	2119.75	USD	5,106.25	
28JUL17	S 1 PD27OCT17	1925.00	2119.75	USD	-4,868.75	
28JUL17	B 1 PD27OCT17	1913.50	2119.75	USD	5,156.25	
08AUG17	S 2 PD27OCT17	2027.40	2119.75	USD	-4,617.50	
09AUG17	B 1 PD27OCT17	2025.38	2119.75	USD	2,359.25	
	NET 0 AVG BGHT 1938.49 SOLD 1960.41				**4,385.50**	**0.00**
02AUG17	B 1 PD02NOV17	1920.50	2117.08	USD	4,914.50	
02AUG17	B 2 PD02NOV17	1923.00	2117.08	USD	9,704.00	
02AUG17	B 1 PD02NOV17	1929.00	2117.08	USD	4,702.00	
02AUG17	B 1 PD02NOV17	1924.50	2117.08	USD	4,814.50	
02AUG17	B 1 PD02NOV17	1925.00	2117.08	USD	4,802.00	
02AUG17	B 4 PD02NOV17	1926.50	2117.08	USD	19,058.00	
08AUG17	S 12 PD02NOV17	2028.80	2117.08	USD	-26,484.00	
09AUG17	B 5 PD02NOV17	2026.25	2117.08	USD	11,353.75	
13SEP17	S 3 PD02NOV17	2097.04	2117.08	USD	-1,503.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094L

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.							
	NET	**0 AVG BGHT 1958.82**	**SOLD 2042.45**				**31,361.75**	**0.00**
04AUG17	B	3 PD03NOV17		1918.00	2116.75	USD	14,906.25	
04AUG17	B	1 PD03NOV17		1914.50	2116.75	USD	5,056.25	
23AUG17	S	3 PD03NOV17		2093.36	2116.75	USD	-1,754.25	
13SEP17	S	1 PD03NOV17		2097.46	2116.75	USD	-482.25	
	NET	**0 AVG BGHT 1917.13**	**SOLD 2094.39**				**17,726.00**	**0.00**
07AUG17	S	1 PD07NOV17		1922.50	2116.08	USD	-4,839.50	
07AUG17	B	1 PD07NOV17		1917.50	2116.08	USD	4,964.50	
07AUG17	B	1 PD07NOV17		1919.00	2116.08	USD	4,927.00	
07AUG17	B	2 PD07NOV17		1918.00	2116.08	USD	9,904.00	
07AUG17	S	1 PD07NOV17		1924.00	2116.08	USD	-4,802.00	
07AUG17	S	1 PD07NOV17		1924.50	2116.08	USD	-4,789.50	
07AUG17	S	1 PD07NOV17		1926.50	2116.08	USD	-4,739.50	
07AUG17	S	1 PD07NOV17		1930.00	2116.08	USD	-4,652.00	
07AUG17	S	3 PD07NOV17		1929.00	2116.08	USD	-14,031.00	
07AUG17	S	2 PD07NOV17		1926.00	2116.08	USD	-9,504.00	
07AUG17	S	1 PD07NOV17		1925.50	2116.08	USD	-4,764.50	
07AUG17	S	1 PD07NOV17		1944.00	2116.08	USD	-4,302.00	
07AUG17	S	1 PD07NOV17		1969.00	2116.08	USD	-3,677.00	
07AUG17	S	1 PD07NOV17		1968.50	2116.08	USD	-3,689.50	
08AUG17	B	12 PD07NOV17		2030.00	2116.08	USD	25,824.00	
09AUG17	S	5 PD07NOV17		2026.94	2116.08	USD	-11,142.50	
23AUG17	S	1 PD07NOV17		2094.32	2116.08	USD	-544.00	
13SEP17	B	4 PD07NOV17		2099.34	2116.08	USD	1,674.00	
	NET	**0 AVG BGHT 2021.49**	**SOLD 1965.13**				**-28,183.50**	**0.00**
08AUG17	B	1 PD08NOV17		1982.50	2115.75	USD	3,331.25	
08AUG17	S	1 PD08NOV17		1984.00	2115.75	USD	-3,293.75	
08AUG17	B	1 PD08NOV17		1974.50	2115.75	USD	3,531.25	
08AUG17	B	1 PD08NOV17		1977.00	2115.75	USD	3,468.75	
08AUG17	B	1 PD08NOV17		1979.50	2115.75	USD	3,406.25	
08AUG17	S	1 PD08NOV17		1995.00	2115.75	USD	-3,018.75	
08AUG17	S	3 PD08NOV17		1994.00	2115.75	USD	-9,131.25	
08AUG17	B	2 PD08NOV17		1999.00	2115.75	USD	5,837.50	
08AUG17	B	2 PD08NOV17		1998.00	2115.75	USD	5,887.50	
08AUG17	B	3 PD08NOV17		1999.50	2115.75	USD	8,718.75	
08AUG17	B	2 PD08NOV17		2000.00	2115.75	USD	5,787.50	
08AUG17	B	1 PD08NOV17		2003.50	2115.75	USD	2,806.25	
08AUG17	B	1 PD08NOV17		2001.50	2115.75	USD	2,856.25	
08AUG17	B	1 PD08NOV17		2004.50	2115.75	USD	2,781.25	
08AUG17	B	1 PD08NOV17		2010.00	2115.75	USD	2,643.75	
08AUG17	B	4 PD08NOV17		2018.00	2115.75	USD	9,775.00	
08AUG17	B	1 PD08NOV17		2022.00	2115.75	USD	2,343.75	
08AUG17	S	1 PD08NOV17		2027.00	2115.75	USD	-2,218.75	
08AUG17	B	1 PD08NOV17		2029.00	2115.75	USD	2,168.75	
08AUG17	S	1 PD08NOV17		2028.50	2115.75	USD	-2,181.25	
23AUG17	S	2 PD08NOV17		2094.56	2115.75	USD	-1,059.50	
13SEP17	S	6 PD08NOV17		2099.56	2115.75	USD	-2,428.50	
13SEP17	S	2 PD08NOV17		2099.36	2115.75	USD	-819.50	
	NET	**+6 AVG BGHT 2002.11**	**SOLD 2058.92**				**41,192.50**	**0.00**
09AUG17	B	1 PD09NOV17		2037.00	2116.11	USD	1,977.75	
09AUG17	S	1 PD09NOV17		2037.00	2116.11	USD	-1,977.75	
	NET	**0 AVG BGHT 2037.00**	**SOLD 2037.00**				**0.00**	**0.00**
10AUG17	B	1 PD10NOV17		2040.00	2116.46	USD	1,911.50	
10AUG17	S	1 PD10NOV17		2037.50	2116.46	USD	-1,974.00	
10AUG17	S	1 PD10NOV17		2038.00	2116.46	USD	-1,961.50	
10AUG17	B	1 PD10NOV17		2038.50	2116.46	USD	1,949.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094L

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
11AUG17	S 2 PD10NOV17	2021.50	2116.46	USD	-4,748.00	
11AUG17	S 1 PD10NOV17	2038.50	2116.46	USD	-1,949.00	
23AUG17	B 2 PD10NOV17	2095.25	2116.46	USD	1,060.50	
13SEP17	B 1 PD10NOV17	2100.40	2116.46	USD	401.50	
	NET 0 AVG BGHT 2073.88 SOLD 2031.40				**-5,310.00**	**0.00**
14AUG17	S 1 PD14NOV17	2030.50	2117.89	USD	-2,184.75	
14AUG17	S 1 PD14NOV17	2037.00	2117.89	USD	-2,022.25	
14AUG17	S 1 PD14NOV17	2033.00	2117.89	USD	-2,122.25	
14AUG17	S 1 PD14NOV17	2033.50	2117.89	USD	-2,109.75	
14AUG17	B 1 PD14NOV17	2031.00	2117.89	USD	2,172.25	
14AUG17	S 1 PD14NOV17	2033.50	2117.89	USD	-2,109.75	
23AUG17	B 2 PD14NOV17	2096.21	2117.89	USD	1,084.00	
13SEP17	B 2 PD14NOV17	2102.08	2117.89	USD	790.50	
	NET 0 AVG BGHT 2085.52 SOLD 2033.50				**-6,502.00**	**0.00**
15AUG17	S 2 PD15NOV17	2023.00	2118.25	USD	-4,762.50	
15AUG17	S 1 PD15NOV17	2028.00	2118.25	USD	-2,256.25	
15AUG17	S 1 PD15NOV17	2030.00	2118.25	USD	-2,206.25	
23AUG17	B 2 PD15NOV17	2096.45	2118.25	USD	1,090.00	
13SEP17	S 1 PD15NOV17	2102.30	2118.25	USD	-398.75	
13SEP17	B 1 PD15NOV17	2102.70	2118.25	USD	388.75	
19SEP17	B 2 PD15NOV17	2115.45	2118.25	USD	140.00	
	NET 0 AVG BGHT 2105.30 SOLD 2041.26				**-8,005.00**	**0.00**
16AUG17	B 1 PD16NOV17	2053.50	2118.52	USD	1,625.50	
16AUG17	B 1 PD16NOV17	2054.00	2118.52	USD	1,613.00	
16AUG17	B 1 PD16NOV17	2055.00	2118.52	USD	1,588.00	
16AUG17	B 1 PD16NOV17	2067.50	2118.52	USD	1,275.50	
16AUG17	S 1 PD16NOV17	2090.50	2118.52	USD	-700.50	
16AUG17	B 1 PD16NOV17	2098.00	2118.52	USD	513.00	
16AUG17	B 1 PD16NOV17	2096.50	2118.52	USD	550.50	
16AUG17	B 1 PD16NOV17	2089.50	2118.52	USD	725.50	
13SEP17	S 2 PD16NOV17	2102.57	2118.52	USD	-797.50	
13SEP17	S 1 PD16NOV17	2102.77	2118.52	USD	-393.75	
	NET +3 AVG BGHT 2073.43 SOLD 2099.60				**5,999.25**	**0.00**
17AUG17	B 1 PD17NOV17	2096.00	2118.79	USD	569.75	
17AUG17	S 1 PD17NOV17	2100.00	2118.79	USD	-469.75	
17AUG17	S 1 PD17NOV17	2106.00	2118.79	USD	-319.75	
13SEP17	B 1 PD17NOV17	2103.24	2118.79	USD	388.75	
	NET 0 AVG BGHT 2099.62 SOLD 2103.00				**169.00**	**0.00**
21AUG17	B 1 PD21NOV17	2072.00	2119.88	USD	1,197.00	
	NET +1 AVG BGHT 2072.00				**1,197.00**	**0.00**
22AUG17	S 1 PD22NOV17	2075.00	2120.15	USD	-1,128.75	
22AUG17	S 1 PD22NOV17	2077.50	2120.15	USD	-1,066.25	
22AUG17	S 1 PD22NOV17	2068.00	2120.15	USD	-1,303.75	
22AUG17	S 1 PD22NOV17	2070.50	2120.15	USD	-1,241.25	
13SEP17	B 4 PD22NOV17	2104.58	2120.15	USD	1,557.00	
	NET 0 AVG BGHT 2104.58 SOLD 2072.75				**-3,183.00**	**0.00**
23AUG17	B 1 PD24NOV17	2084.00	2120.69	USD	917.25	
23AUG17	B 1 PD24NOV17	2089.00	2120.69	USD	792.25	
23AUG17	B 1 PD24NOV17	2094.50	2120.69	USD	654.75	
24AUG17	S 1 PD24NOV17	2103.00	2120.69	USD	-442.25	
24AUG17	S 1 PD24NOV17	2102.00	2120.69	USD	-467.25	
24AUG17	S 1 PD24NOV17	2100.00	2120.69	USD	-517.25	
24AUG17	S 1 PD24NOV17	2091.00	2120.69	USD	-742.25	
24AUG17	S 2 PD24NOV17	2101.50	2120.69	USD	-959.50	
24AUG17	S 1 PD24NOV17	2120.00	2120.69	USD	-17.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094L

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
25AUG17	B 1 PD24NOV17	2096.00	2120.69	USD	617.25	
25AUG17	B 1 PD24NOV17	2097.00	2120.69	USD	592.25	
25AUG17	B 1 PD24NOV17	2097.50	2120.69	USD	579.75	
25AUG17	S 1 PD24NOV17	2086.00	2120.69	USD	-867.25	
13SEP17	B 2 PD24NOV17	2105.11	2120.69	USD	779.00	
	NET 0 AVG BGHT 2096.03 SOLD 2100.63				**919.50**	**0.00**
29AUG17	B 1 PD29NOV17	2076.50	2122.05	USD	1,138.75	
29AUG17	S 1 PD29NOV17	2098.50	2122.05	USD	-588.75	
29AUG17	S 2 PD29NOV17	2095.00	2122.05	USD	-1,352.50	
29AUG17	S 1 PD29NOV17	2096.00	2122.05	USD	-651.25	
29AUG17	S 1 PD29NOV17	2092.00	2122.05	USD	-751.25	
07SEP17	B 4 PD29NOV17	2105.00	2122.05	USD	1,705.00	
	NET 0 AVG BGHT 2099.30 SOLD 2095.30				**-500.00**	**0.00**
31AUG17	B 1 PD30NOV17	2092.00	2122.32	USD	758.00	
31AUG17	B 2 PD30NOV17	2097.00	2122.32	USD	1,266.00	
31AUG17	B 2 PD30NOV17	2098.00	2122.32	USD	1,216.00	
31AUG17	S 1 PD30NOV17	2131.00	2122.32	USD	217.00	
31AUG17	B 1 PD30NOV17	2127.00	2122.32	USD	-117.00	
07SEP17	S 4 PD30NOV17	2105.05	2122.32	USD	-1,727.00	
	NET +1 AVG BGHT 2101.50 SOLD 2110.24				**1,613.00**	**0.00**
01SEP17	B 1 PD01DEC17	2127.00	2122.59	USD	-110.25	
13SEP17	S 1 PD01DEC17	2106.79	2122.59	USD	-395.00	
	NET 0 AVG BGHT 2127.00 SOLD 2106.79				**-505.25**	**0.00**
05SEP17	S 1 PD05DEC17	2117.00	2123.68	USD	-167.00	
05SEP17	S 1 PD05DEC17	2098.00	2123.68	USD	-642.00	
13SEP17	B 2 PD05DEC17	2107.86	2123.68	USD	791.00	
	NET 0 AVG BGHT 2107.86 SOLD 2107.50				**-18.00**	**0.00**
06SEP17	B 1 PD06DEC17	2095.50	2123.95	USD	711.25	
06SEP17	S 1 PD06DEC17	2086.50	2123.95	USD	-936.25	
	NET 0 AVG BGHT 2095.50 SOLD 2086.50				**-225.00**	**0.00**
07SEP17	S 1 PD07DEC17	2117.00	2124.22	USD	-180.50	
	NET -1 AVG SOLD 2117.00				**-180.50**	**0.00**
08SEP17	S 1 PD08DEC17	2109.50	2124.49	USD	-374.75	
08SEP17	S 1 PD08DEC17	2110.00	2124.49	USD	-362.25	
08SEP17	S 1 PD08DEC17	2105.50	2124.49	USD	-474.75	
08SEP17	S 1 PD08DEC17	2101.00	2124.49	USD	-587.25	
08SEP17	S 1 PD08DEC17	2094.50	2124.49	USD	-749.75	
08SEP17	S 1 PD08DEC17	2095.50	2124.49	USD	-724.75	
08SEP17	S 2 PD08DEC17	2096.50	2124.49	USD	-1,399.50	
08SEP17	S 1 PD08DEC17	2096.00	2124.49	USD	-712.25	
13SEP17	B 1 PD08DEC17	2108.86	2124.49	USD	390.75	
	NET -8 AVG BGHT 2108.86 SOLD 2100.56				**-4,994.50**	**0.00**
11SEP17	B 1 PD11DEC17	2102.50	2125.31	USD	570.25	
11SEP17	B 1 PD11DEC17	2126.00	2125.31	USD	-17.25	
11SEP17	B 1 PD11DEC17	2122.50	2125.31	USD	70.25	
13SEP17	S 3 PD11DEC17	2109.26	2125.31	USD	-1,203.75	
	NET 0 AVG BGHT 2117.00 SOLD 2109.26				**-580.50**	**0.00**
13SEP17	S 1 PD13DEC17	2141.50	2125.85	USD	391.25	
19SEP17	B 1 PD13DEC17	2123.28	2125.85	USD	64.25	
	NET 0 AVG BGHT 2123.28 SOLD 2141.50				**455.50**	**0.00**
14SEP17	B 1 PD14DEC17	2101.00	2126.12	USD	628.00	
19SEP17	S 1 PD14DEC17	2123.37	2126.12	USD	-68.75	
	NET 0 AVG BGHT 2101.00 SOLD 2123.37				**559.25**	**0.00**
15SEP17	S 1 PD15DEC17	2088.00	2126.39	USD	-959.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094L

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
15SEP17	S 1 PD15DEC17	2095.50	2126.39	USD	-772.25	
19SEP17	B 2 PD15DEC17	2123.85	2126.39	USD	127.00	
	NET 0 AVG BGHT 2123.85 SOLD 2091.75				**-1,605.00**	**0.00**
18SEP17	B 1 PD18DEC17	2097.00	2127.21	USD	755.25	
18SEP17	S 1 PD18DEC17	2090.50	2127.21	USD	-917.75	
18SEP17	B 1 PD18DEC17	2090.00	2127.21	USD	930.25	
18SEP17	B 1 PD18DEC17	2092.50	2127.21	USD	867.75	
19SEP17	S 2 PD18DEC17	2124.51	2127.21	USD	-135.00	
	NET 0 AVG BGHT 2093.17 SOLD 2113.17				**1,500.50**	**0.00**
19SEP17	S 1 PD19DEC17	2127.00	2127.48	USD	-12.00	
19SEP17	S 1 PD19DEC17	2124.00	2127.48	USD	-87.00	
	NET -2 AVG SOLD 2125.50				**-99.00**	**0.00**
20SEP17	B 1 PD20DEC17	2123.00	2127.75	USD	118.75	
20SEP17	B 1 PD20DEC17	2150.00	2127.75	USD	-556.25	
20SEP17	B 1 PD20DEC17	2165.00	2127.75	USD	-931.25	
20SEP17	B 1 PD20DEC17	2157.50	2127.75	USD	-743.75	
20SEP17	S 1 PD20DEC17	2175.00	2127.75	USD	1,181.25	
20SEP17	B 1 PD20DEC17	2138.50	2127.75	USD	-268.75	
20SEP17	S 1 PD20DEC17	2164.00	2127.75	USD	906.25	
20SEP17	S 1 PD20DEC17	2181.00	2127.75	USD	1,331.25	
	NET +2 AVG BGHT 2146.80 SOLD 2173.33				**1,037.50**	**0.00**
21SEP17	S 1 PD21DEC17	2176.50	2127.98	USD	1,213.00	
21SEP17	S 1 PD21DEC17	2162.50	2127.98	USD	863.00	
21SEP17	B 1 PD21DEC17	2182.00	2127.98	USD	-1,350.50	
21SEP17	S 1 PD21DEC17	2161.00	2127.98	USD	825.50	
21SEP17	S 1 PD21DEC17	2145.50	2127.98	USD	438.00	
21SEP17	B 1 PD21DEC17	2145.00	2127.98	USD	-425.50	
21SEP17	S 1 PD21DEC17	2171.00	2127.98	USD	1,075.50	
	NET -3 AVG BGHT 2163.50 SOLD 2163.30				**2,639.00**	**0.00**
22SEP17	B 1 PD22DEC17	2138.50	2128.21	USD	-257.25	
22SEP17	S 1 PD22DEC17	2127.00	2128.21	USD	-30.25	
22SEP17	S 1 PD22DEC17	2126.50	2128.21	USD	-42.75	
22SEP17	S 1 PD22DEC17	2126.00	2128.21	USD	-55.25	
22SEP17	B 1 PD22DEC17	2125.50	2128.21	USD	67.75	
22SEP17	B 1 PD22DEC17	2123.00	2128.21	USD	130.25	
22SEP17	B 1 PD22DEC17	2120.50	2128.21	USD	192.75	
22SEP17	S 1 PD22DEC17	2154.50	2128.21	USD	657.25	
22SEP17	S 1 PD22DEC17	2152.00	2128.21	USD	594.75	
	NET -1 AVG BGHT 2126.88 SOLD 2137.20				**1,257.25**	**0.00**
25SEP17	B 1 PD27DEC17	2170.00	2129.38	USD	-1,015.50	
25SEP17	S 1 PD27DEC17	2161.00	2129.38	USD	790.50	
25SEP17	B 1 PD27DEC17	2151.00	2129.38	USD	-540.50	
25SEP17	B 1 PD27DEC17	2143.50	2129.38	USD	-353.00	
26SEP17	S 1 PD27DEC17	2158.50	2129.38	USD	728.00	
26SEP17	B 1 PD27DEC17	2127.00	2129.38	USD	59.50	
27SEP17	S 1 PD27DEC17	2140.50	2129.38	USD	278.00	
27SEP17	B 1 PD27DEC17	2126.50	2129.38	USD	72.00	
	NET +2 AVG BGHT 2143.60 SOLD 2153.33				**19.00**	**0.00**
28SEP17	S 1 PD28DEC17	2121.50	2129.61	USD	-202.75	
	NET -1 AVG SOLD 2121.50				**-202.75**	**0.00**
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
05JUL17	B 1 PD05OCT17	5890.50	6468.75	USD	14,456.25	
05JUL17	B 5 PD05OCT17	5889.00	6468.75	USD	72,468.75	
05JUL17	S 1 PD05OCT17	5886.50	6468.75	USD	-14,556.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094L

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
21JUL17	S 5 PD05OCT17	6000.25	6468.75	USD	-58,562.50	
	NET 0 AVG BGHT 5889.25 SOLD 5981.29				**13,806.25**	**0.00**
06JUL17	B 2 PD06OCT17	5844.00	6470.02	USD	31,301.00	
06JUL17	B 2 PD06OCT17	5842.50	6470.02	USD	31,376.00	
06JUL17	B 1 PD06OCT17	5838.00	6470.02	USD	15,800.50	
08AUG17	S 10 PD06OCT17	6468.82	6470.02	USD	-300.00	
09AUG17	B 5 PD06OCT17	6443.07	6470.02	USD	3,368.75	
	NET 0 AVG BGHT 6142.64 SOLD 6468.82				**81,546.25**	**0.00**
11JUL17	B 5 PD11OCT17	5829.50	6476.37	USD	80,858.75	
11JUL17	B 1 PD11OCT17	5830.50	6476.37	USD	16,146.75	
08AUG17	S 10 PD11OCT17	6471.98	6476.37	USD	-1,097.50	
09AUG17	B 5 PD11OCT17	6446.23	6476.37	USD	3,767.50	
13SEP17	S 1 PD11OCT17	6525.05	6476.37	USD	1,217.00	
	NET 0 AVG BGHT 6109.92 SOLD 6476.80				**100,892.50**	**0.00**
12JUL17	S 2 PD12OCT17	5927.00	6477.63	USD	-27,531.50	
12JUL17	S 2 PD12OCT17	5928.50	6477.63	USD	-27,456.50	
12JUL17	S 1 PD12OCT17	5926.50	6477.63	USD	-13,778.25	
12JUL17	S 1 PD12OCT17	5931.50	6477.63	USD	-13,653.25	
12JUL17	S 1 PD12OCT17	5928.50	6477.63	USD	-13,728.25	
18JUL17	B 5 PD12OCT17	6004.52	6477.63	USD	59,138.75	
13SEP17	B 1 PD12OCT17	6525.81	6477.63	USD	-1,204.50	
13SEP17	B 1 PD12OCT17	6525.61	6477.63	USD	-1,199.50	
	NET 0 AVG BGHT 6153.43 SOLD 5928.21				**-39,413.00**	**0.00**
13JUL17	B 2 PD13OCT17	5915.50	6478.90	USD	28,170.00	
13JUL17	S 2 PD13OCT17	5913.50	6478.90	USD	-28,270.00	
13JUL17	B 1 PD13OCT17	5882.00	6478.90	USD	14,922.50	
13JUL17	B 1 PD13OCT17	5877.50	6478.90	USD	15,035.00	
13JUL17	B 1 PD13OCT17	5878.50	6478.90	USD	15,010.00	
13JUL17	B 2 PD13OCT17	5875.00	6478.90	USD	30,195.00	
13JUL17	B 1 PD13OCT17	5876.50	6478.90	USD	15,060.00	
13JUL17	B 1 PD13OCT17	5874.50	6478.90	USD	15,110.00	
18JUL17	S 5 PD13OCT17	6004.73	6478.90	USD	-59,271.25	
13SEP17	S 1 PD13OCT17	6525.98	6478.90	USD	1,177.00	
	NET +1 AVG BGHT 5885.56 SOLD 6047.08				**47,138.25**	**0.00**
17JUL17	B 1 PD17OCT17	5950.00	6483.98	USD	13,349.50	
17JUL17	S 1 PD17OCT17	5977.00	6483.98	USD	-12,674.50	
17JUL17	S 1 PD17OCT17	5981.00	6483.98	USD	-12,574.50	
17JUL17	S 1 PD17OCT17	5918.00	6483.98	USD	-14,149.50	
17JUL17	B 1 PD17OCT17	5921.50	6483.98	USD	14,062.00	
17JUL17	B 1 PD17OCT17	5989.00	6483.98	USD	12,374.50	
17JUL17	S 1 PD17OCT17	5984.00	6483.98	USD	-12,499.50	
17JUL17	B 1 PD17OCT17	6010.00	6483.98	USD	11,849.50	
17JUL17	S 1 PD17OCT17	6018.00	6483.98	USD	-11,649.50	
19SEP17	B 1 PD17OCT17	6508.25	6483.98	USD	-606.75	
	NET 0 AVG BGHT 6075.75 SOLD 5975.60				**-12,518.75**	**0.00**
18JUL17	S 1 PD18OCT17	5989.50	6485.25	USD	-12,393.75	
18JUL17	B 1 PD18OCT17	5995.00	6485.25	USD	12,256.25	
18JUL17	S 1 PD18OCT17	6005.00	6485.25	USD	-12,006.25	
19SEP17	B 1 PD18OCT17	6508.75	6485.25	USD	-587.50	
	NET 0 AVG BGHT 6251.88 SOLD 5997.25				**-12,731.25**	**0.00**
19JUL17	S 5 PD19OCT17	5999.50	6486.00	USD	-60,812.50	
19JUL17	S 1 PD19OCT17	6000.50	6486.00	USD	-12,137.50	
19JUL17	B 1 PD19OCT17	6008.00	6486.00	USD	11,950.00	
19JUL17	B 1 PD19OCT17	5997.00	6486.00	USD	12,225.00	
19JUL17	B 1 PD19OCT17	5996.00	6486.00	USD	12,250.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094L

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT							
21JUL17	B	5	PD19OCT17	6005.20	6486.00	USD	60,100.00	
19SEP17	S	2	PD19OCT17	6508.75	6486.00	USD	1,137.50	
	NET	**0**	**AVG BGHT 6003.38 SOLD 6126.94**				**24,712.50**	**0.00**
20JUL17	B	1	PD20OCT17	5986.50	6486.75	USD	12,506.25	
20JUL17	S	1	PD20OCT17	5973.00	6486.75	USD	-12,843.75	
21JUL17	S	1	PD20OCT17	6001.00	6486.75	USD	-12,143.75	
21JUL17	S	1	PD20OCT17	5991.50	6486.75	USD	-12,381.25	
19SEP17	B	2	PD20OCT17	6509.75	6486.75	USD	-1,150.00	
	NET	**0**	**AVG BGHT 6335.33 SOLD 5988.50**				**-26,012.50**	**0.00**
24JUL17	S	1	PD24OCT17	5994.00	6489.75	USD	-12,393.75	
24JUL17	B	1	PD24OCT17	5995.00	6489.75	USD	12,368.75	
24JUL17	S	1	PD24OCT17	5995.00	6489.75	USD	-12,368.75	
24JUL17	S	1	PD24OCT17	5999.00	6489.75	USD	-12,268.75	
24JUL17	S	1	PD24OCT17	6016.00	6489.75	USD	-11,843.75	
24JUL17	S	1	PD24OCT17	6009.00	6489.75	USD	-12,018.75	
24JUL17	S	1	PD24OCT17	6015.50	6489.75	USD	-11,856.25	
24JUL17	S	1	PD24OCT17	6027.00	6489.75	USD	-11,568.75	
24JUL17	S	1	PD24OCT17	6027.50	6489.75	USD	-11,556.25	
08AUG17	B	10	PD24OCT17	6474.27	6489.75	USD	3,870.00	
09AUG17	S	5	PD24OCT17	6448.51	6489.75	USD	-5,155.00	
19SEP17	B	2	PD24OCT17	6512.75	6489.75	USD	-1,150.00	
	NET	**0**	**AVG BGHT 6443.32 SOLD 6178.89**				**-85,941.25**	**0.00**
25JUL17	S	1	PD25OCT17	6050.00	6490.29	USD	-11,007.25	
25JUL17	S	1	PD25OCT17	6071.00	6490.29	USD	-10,482.25	
25JUL17	B	1	PD25OCT17	6098.50	6490.29	USD	9,794.75	
25JUL17	B	1	PD25OCT17	6099.50	6490.29	USD	9,769.75	
25JUL17	S	1	PD25OCT17	6178.00	6490.29	USD	-7,807.25	
25JUL17	S	1	PD25OCT17	6218.50	6490.29	USD	-6,794.75	
25JUL17	S	1	PD25OCT17	6194.50	6490.29	USD	-7,394.75	
25JUL17	S	1	PD25OCT17	6199.50	6490.29	USD	-7,269.75	
25JUL17	S	1	PD25OCT17	6202.00	6490.29	USD	-7,207.25	
25JUL17	B	2	PD25OCT17	6206.00	6490.29	USD	14,214.50	
25JUL17	S	1	PD25OCT17	6198.50	6490.29	USD	-7,294.75	
25JUL17	B	2	PD25OCT17	6210.00	6490.29	USD	14,014.50	
08AUG17	B	10	PD25OCT17	6474.66	6490.29	USD	3,907.50	
09AUG17	S	5	PD25OCT17	6448.94	6490.29	USD	-5,168.75	
19SEP17	S	3	PD25OCT17	6512.91	6490.29	USD	1,696.50	
	NET	**0**	**AVG BGHT 6361.04 SOLD 6318.46**				**-17,029.25**	**0.00**
26JUL17	S	1	PD26OCT17	6370.00	6490.82	USD	-3,020.50	
26JUL17	B	1	PD26OCT17	6383.00	6490.82	USD	2,695.50	
	NET	**0**	**AVG BGHT 6383.00 SOLD 6370.00**				**-325.00**	**0.00**
27JUL17	S	1	PD27OCT17	6341.50	6491.36	USD	-3,746.50	
27JUL17	S	1	PD27OCT17	6342.50	6491.36	USD	-3,721.50	
27JUL17	S	1	PD27OCT17	6353.50	6491.36	USD	-3,446.50	
27JUL17	S	1	PD27OCT17	6355.50	6491.36	USD	-3,396.50	
27JUL17	S	1	PD27OCT17	6354.50	6491.36	USD	-3,421.50	
27JUL17	B	2	PD27OCT17	6355.00	6491.36	USD	6,818.00	
27JUL17	B	3	PD27OCT17	6360.00	6491.36	USD	9,852.00	
	NET	**0**	**AVG BGHT 6358.00 SOLD 6349.50**				**-1,062.50**	**0.00**
08AUG17	B	1	PD08NOV17	6480.50	6498.25	USD	443.75	
08AUG17	S	1	PD08NOV17	6480.00	6498.25	USD	-456.25	
	NET	**0**	**AVG BGHT 6480.50 SOLD 6480.00**				**-12.50**	**0.00**
10AUG17	S	1	PD10NOV17	6414.50	6499.18	USD	-2,117.00	
10AUG17	B	1	PD10NOV17	6421.00	6499.18	USD	1,954.50	
11AUG17	B	1	PD10NOV17	6400.50	6499.18	USD	2,467.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094L

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT							
11AUG17	B	1	PD10NOV17	6346.00	6499.18	USD	3,829.50	
11AUG17	S	1	PD10NOV17	6366.00	6499.18	USD	-3,329.50	
11AUG17	S	1	PD10NOV17	6367.00	6499.18	USD	-3,304.50	
11AUG17	S	1	PD10NOV17	6362.00	6499.18	USD	-3,429.50	
11AUG17	S	1	PD10NOV17	6370.50	6499.18	USD	-3,217.00	
11AUG17	S	1	PD10NOV17	6371.00	6499.18	USD	-3,204.50	
11AUG17	S	3	PD10NOV17	6361.00	6499.18	USD	-10,363.50	
11AUG17	S	1	PD10NOV17	6346.00	6499.18	USD	-3,829.50	
23AUG17	B	4	PD10NOV17	6564.00	6499.18	USD	-6,482.00	
19SEP17	B	3	PD10NOV17	6521.58	6499.18	USD	-1,680.00	
	NET	**0**	**AVG BGHT 6498.82**	**SOLD 6368.00**			**-32,706.00**	**0.00**
14AUG17	S	1	PD14NOV17	6406.00	6501.04	USD	-2,376.00	
14AUG17	S	1	PD14NOV17	6412.00	6501.04	USD	-2,226.00	
14AUG17	S	2	PD14NOV17	6405.50	6501.04	USD	-4,777.00	
14AUG17	S	1	PD14NOV17	6400.00	6501.04	USD	-2,526.00	
23AUG17	B	3	PD14NOV17	6565.50	6501.04	USD	-4,834.50	
19SEP17	B	2	PD14NOV17	6524.12	6501.04	USD	-1,154.00	
	NET	**0**	**AVG BGHT 6548.95**	**SOLD 6405.80**			**-17,893.50**	**0.00**
15AUG17	B	1	PD15NOV17	6420.00	6501.50	USD	2,037.50	
15AUG17	S	1	PD15NOV17	6416.50	6501.50	USD	-2,125.00	
	NET	**0**	**AVG BGHT 6420.00**	**SOLD 6416.50**			**-87.50**	**0.00**
16AUG17	B	1	PD16NOV17	6521.00	6501.00	USD	-500.00	
16AUG17	B	1	PD16NOV17	6514.00	6501.00	USD	-325.00	
16AUG17	S	1	PD16NOV17	6544.00	6501.00	USD	1,075.00	
	NET	**+1**	**AVG BGHT 6517.50**	**SOLD 6544.00**			**250.00**	**0.00**
17AUG17	B	1	PD17NOV17	6497.00	6501.60	USD	115.00	
18AUG17	B	1	PD17NOV17	6515.50	6501.60	USD	-347.50	
18AUG17	B	1	PD17NOV17	6473.00	6501.60	USD	715.00	
18AUG17	B	2	PD17NOV17	6468.50	6501.60	USD	1,655.00	
18AUG17	B	2	PD17NOV17	6470.00	6501.60	USD	1,580.00	
18AUG17	B	1	PD17NOV17	6470.50	6501.60	USD	777.50	
23AUG17	S	7	PD17NOV17	6563.49	6501.60	USD	10,830.75	
19SEP17	S	1	PD17NOV17	6524.48	6501.60	USD	572.00	
	NET	**0**	**AVG BGHT 6479.13**	**SOLD 6558.61**			**15,897.75**	**0.00**
21AUG17	B	1	PD21NOV17	6558.00	6504.00	USD	-1,350.00	
21AUG17	B	1	PD21NOV17	6571.50	6504.00	USD	-1,687.50	
21AUG17	B	1	PD21NOV17	6580.50	6504.00	USD	-1,912.50	
21AUG17	B	1	PD21NOV17	6565.00	6504.00	USD	-1,525.00	
21AUG17	B	1	PD21NOV17	6571.00	6504.00	USD	-1,675.00	
21AUG17	B	1	PD21NOV17	6590.50	6504.00	USD	-2,162.50	
19SEP17	S	6	PD21NOV17	6526.42	6504.00	USD	3,363.00	
	NET	**0**	**AVG BGHT 6572.75**	**SOLD 6526.42**			**-6,949.50**	**0.00**
22AUG17	B	1	PD22NOV17	6620.50	6504.60	USD	-2,897.50	
22AUG17	S	1	PD22NOV17	6599.50	6504.60	USD	2,372.50	
	NET	**0**	**AVG BGHT 6620.50**	**SOLD 6599.50**			**-525.00**	**0.00**
23AUG17	B	1	PD24NOV17	6562.50	6505.80	USD	-1,417.50	
23AUG17	B	1	PD24NOV17	6553.50	6505.80	USD	-1,192.50	
23AUG17	B	1	PD24NOV17	6569.00	6505.80	USD	-1,580.00	
24AUG17	S	1	PD24NOV17	6606.00	6505.80	USD	2,505.00	
24AUG17	B	1	PD24NOV17	6629.00	6505.80	USD	-3,080.00	
24AUG17	S	1	PD24NOV17	6605.50	6505.80	USD	2,492.50	
24AUG17	S	1	PD24NOV17	6631.00	6505.80	USD	3,130.00	
24AUG17	S	1	PD24NOV17	6668.50	6505.80	USD	4,067.50	
24AUG17	S	1	PD24NOV17	6710.50	6505.80	USD	5,117.50	
24AUG17	S	1	PD24NOV17	6711.00	6505.80	USD	5,130.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094L

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
25AUG17	S 1 PD24NOV17	6706.50	6505.80	USD	5,017.50	
19SEP17	B 3 PD24NOV17	6527.72	6505.80	USD	-1,644.00	
	NET 0 AVG BGHT 6556.74 SOLD 6662.71				**18,546.00**	**0.00**
29AUG17	S 1 PD29NOV17	6755.50	6508.80	USD	6,167.50	
19SEP17	B 1 PD29NOV17	6529.88	6508.80	USD	-527.00	
	NET 0 AVG BGHT 6529.88 SOLD 6755.50				**5,640.50**	**0.00**
30AUG17	B 1 PD30NOV17	6772.00	6509.40	USD	-6,565.00	
19SEP17	S 1 PD30NOV17	6530.12	6509.40	USD	518.00	
	NET 0 AVG BGHT 6772.00 SOLD 6530.12				**-6,047.00**	**0.00**
01SEP17	B 1 PD01DEC17	6812.50	6510.00	USD	-7,562.50	
13SEP17	S 1 PD01DEC17	6548.45	6510.00	USD	961.25	
	NET 0 AVG BGHT 6812.50 SOLD 6548.45				**-6,601.25**	**0.00**
04SEP17	B 2 PD04DEC17	6894.50	6511.29	USD	-19,160.50	
04SEP17	B 2 PD04DEC17	6893.00	6511.29	USD	-19,085.50	
04SEP17	B 1 PD04DEC17	6892.00	6511.29	USD	-9,517.75	
04SEP17	S 3 PD04DEC17	6905.00	6511.29	USD	29,528.25	
04SEP17	B 3 PD04DEC17	6921.00	6511.29	USD	-30,728.25	
13SEP17	S 5 PD04DEC17	6549.74	6511.29	USD	4,806.25	
	NET 0 AVG BGHT 6903.75 SOLD 6682.96				**-44,157.50**	**0.00**
05SEP17	S 1 PD05DEC17	6932.00	6511.71	USD	10,507.25	
05SEP17	S 1 PD05DEC17	6930.50	6511.71	USD	10,469.75	
05SEP17	S 1 PD05DEC17	6923.00	6511.71	USD	10,282.25	
05SEP17	B 1 PD05DEC17	6941.50	6511.71	USD	-10,744.75	
05SEP17	S 1 PD05DEC17	6933.50	6511.71	USD	10,544.75	
05SEP17	S 1 PD05DEC17	6932.50	6511.71	USD	10,519.75	
05SEP17	B 1 PD05DEC17	6964.50	6511.71	USD	-11,319.75	
05SEP17	B 1 PD05DEC17	6962.00	6511.71	USD	-11,257.25	
05SEP17	S 1 PD05DEC17	6961.50	6511.71	USD	11,244.75	
05SEP17	B 2 PD05DEC17	6963.50	6511.71	USD	-22,589.50	
05SEP17	S 1 PD05DEC17	6930.50	6511.71	USD	10,469.75	
05SEP17	B 1 PD05DEC17	6917.00	6511.71	USD	-10,132.25	
05SEP17	B 1 PD05DEC17	6892.50	6511.71	USD	-9,519.75	
05SEP17	B 1 PD05DEC17	6886.50	6511.71	USD	-9,369.75	
05SEP17	B 1 PD05DEC17	6885.50	6511.71	USD	-9,344.75	
05SEP17	B 1 PD05DEC17	6885.00	6511.71	USD	-9,332.25	
05SEP17	B 1 PD05DEC17	6886.00	6511.71	USD	-9,357.25	
05SEP17	B 2 PD05DEC17	6888.50	6511.71	USD	-18,839.50	
05SEP17	B 1 PD05DEC17	6874.00	6511.71	USD	-9,057.25	
13SEP17	S 1 PD05DEC17	6550.17	6511.71	USD	961.50	
20SEP17	S 6 PD05DEC17	6521.50	6511.71	USD	1,468.50	
	NET 0 AVG BGHT 6914.18 SOLD 6730.19				**-64,395.75**	**0.00**
06SEP17	B 1 PD06DEC17	6879.50	6512.14	USD	-9,184.00	
06SEP17	S 1 PD06DEC17	6909.50	6512.14	USD	9,934.00	
06SEP17	S 1 PD06DEC17	6912.00	6512.14	USD	9,996.50	
06SEP17	S 2 PD06DEC17	6911.50	6512.14	USD	19,968.00	
06SEP17	S 2 PD06DEC17	6910.00	6512.14	USD	19,893.00	
06SEP17	S 1 PD06DEC17	6906.00	6512.14	USD	9,846.50	
06SEP17	S 1 PD06DEC17	6908.50	6512.14	USD	9,909.00	
06SEP17	S 2 PD06DEC17	6908.00	6512.14	USD	19,793.00	
06SEP17	S 1 PD06DEC17	6907.50	6512.14	USD	9,884.00	
06SEP17	B 1 PD06DEC17	6890.00	6512.14	USD	-9,446.50	
06SEP17	B 1 PD06DEC17	6891.00	6512.14	USD	-9,471.50	
06SEP17	B 1 PD06DEC17	6900.00	6512.14	USD	-9,696.50	
06SEP17	B 1 PD06DEC17	6896.50	6512.14	USD	-9,609.00	
06SEP17	B 1 PD06DEC17	6888.50	6512.14	USD	-9,409.00	
06SEP17	B 1 PD06DEC17	6875.50	6512.14	USD	-9,084.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094L

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT							
06SEP17	B	1	PD06DEC17	6872.50	6512.14	USD	-9,009.00	
06SEP17	B	1	PD06DEC17	6892.00	6512.14	USD	-9,496.50	
13SEP17	B	1	PD06DEC17	6550.80	6512.14	USD	-966.50	
20SEP17	B	1	PD06DEC17	6522.20	6512.14	USD	-251.50	
	NET	**0**	**AVG BGHT 6823.50 SOLD 6909.32**				**23,600.00**	**0.00**
07SEP17	B	1	PD07DEC17	6925.00	6512.57	USD	-10,310.75	
07SEP17	S	1	PD07DEC17	6875.50	6512.57	USD	9,073.25	
07SEP17	S	1	PD07DEC17	6875.00	6512.57	USD	9,060.75	
07SEP17	S	1	PD07DEC17	6865.00	6512.57	USD	8,810.75	
07SEP17	S	1	PD07DEC17	6868.50	6512.57	USD	8,898.25	
07SEP17	S	1	PD07DEC17	6869.50	6512.57	USD	8,923.25	
07SEP17	S	1	PD07DEC17	6864.00	6512.57	USD	8,785.75	
07SEP17	S	1	PD07DEC17	6860.00	6512.57	USD	8,685.75	
07SEP17	S	1	PD07DEC17	6861.50	6512.57	USD	8,723.25	
07SEP17	S	1	PD07DEC17	6859.50	6512.57	USD	8,673.25	
07SEP17	S	1	PD07DEC17	6858.00	6512.57	USD	8,635.75	
07SEP17	S	1	PD07DEC17	6875.50	6512.57	USD	9,073.25	
07SEP17	B	1	PD07DEC17	6893.50	6512.57	USD	-9,523.25	
07SEP17	B	1	PD07DEC17	6897.50	6512.57	USD	-9,623.25	
07SEP17	B	1	PD07DEC17	6901.00	6512.57	USD	-9,710.75	
07SEP17	B	1	PD07DEC17	6900.50	6512.57	USD	-9,698.25	
07SEP17	B	1	PD07DEC17	6898.50	6512.57	USD	-9,648.25	
07SEP17	B	1	PD07DEC17	6896.00	6512.57	USD	-9,585.75	
07SEP17	B	1	PD07DEC17	6896.50	6512.57	USD	-9,598.25	
07SEP17	B	1	PD07DEC17	6904.50	6512.57	USD	-9,798.25	
07SEP17	B	1	PD07DEC17	6889.50	6512.57	USD	-9,423.25	
07SEP17	B	1	PD07DEC17	6886.50	6512.57	USD	-9,348.25	
07SEP17	S	2	PD07DEC17	6879.00	6512.57	USD	18,321.50	
07SEP17	S	1	PD07DEC17	6871.50	6512.57	USD	8,973.25	
07SEP17	S	1	PD07DEC17	6876.00	6512.57	USD	9,085.75	
07SEP17	S	1	PD07DEC17	6880.00	6512.57	USD	9,185.75	
07SEP17	S	1	PD07DEC17	6878.00	6512.57	USD	9,135.75	
07SEP17	S	2	PD07DEC17	6878.50	6512.57	USD	18,296.50	
07SEP17	S	1	PD07DEC17	6883.00	6512.57	USD	9,260.75	
07SEP17	S	1	PD07DEC17	6902.00	6512.57	USD	9,735.75	
07SEP17	S	1	PD07DEC17	6900.50	6512.57	USD	9,698.25	
13SEP17	B	6	PD07DEC17	6551.23	6512.57	USD	-5,799.00	
	NET	**-5**	**AVG BGHT 6776.26 SOLD 6874.45**				**86,969.25**	**0.00**
08SEP17	B	1	PD08DEC17	6948.00	6513.00	USD	-10,875.00	
08SEP17	S	2	PD08DEC17	6923.00	6513.00	USD	20,500.00	
08SEP17	B	1	PD08DEC17	6918.50	6513.00	USD	-10,137.50	
08SEP17	S	1	PD08DEC17	6801.50	6513.00	USD	7,212.50	
08SEP17	B	1	PD08DEC17	6739.00	6513.00	USD	-5,650.00	
08SEP17	S	1	PD08DEC17	6702.50	6513.00	USD	4,737.50	
08SEP17	S	1	PD08DEC17	6695.00	6513.00	USD	4,550.00	
08SEP17	B	1	PD08DEC17	6695.00	6513.00	USD	-4,550.00	
20SEP17	B	5	PD08DEC17	6523.01	6513.00	USD	-1,251.25	
20SEP17	B	1	PD08DEC17	6522.81	6513.00	USD	-245.25	
	NET	**+5**	**AVG BGHT 6643.84 SOLD 6809.00**				**4,291.00**	**0.00**
11SEP17	B	1	PD11DEC17	6725.00	6514.19	USD	-5,270.25	
20SEP17	S	1	PD11DEC17	6523.55	6514.19	USD	234.00	
	NET	**0**	**AVG BGHT 6725.00 SOLD 6523.55**				**-5,036.25**	**0.00**
12SEP17	B	1	PD12DEC17	6670.00	6514.58	USD	-3,885.50	
	NET	**+1**	**AVG BGHT 6670.00**				**-3,885.50**	**0.00**
13SEP17	B	1	PD13DEC17	6674.50	6514.98	USD	-3,988.00	
13SEP17	B	1	PD13DEC17	6644.50	6514.98	USD	-3,238.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094L

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
13SEP17	B 1 PD13DEC17	6629.50	6514.98	USD	-2,863.00	
13SEP17	B 1 PD13DEC17	6562.50	6514.98	USD	-1,188.00	
13SEP17	B 1 PD13DEC17	6566.50	6514.98	USD	-1,288.00	
13SEP17	B 1 PD13DEC17	6564.50	6514.98	USD	-1,238.00	
13SEP17	B 1 PD13DEC17	6571.50	6514.98	USD	-1,413.00	
13SEP17	B 1 PD13DEC17	6565.50	6514.98	USD	-1,263.00	
13SEP17	S 1 PD13DEC17	6563.50	6514.98	USD	1,213.00	
13SEP17	B 1 PD13DEC17	6567.00	6514.98	USD	-1,300.50	
19SEP17	S 8 PD13DEC17	6536.43	6514.98	USD	4,290.00	
	NET 0 AVG BGHT 6594.00 SOLD 6539.44				**-12,276.50**	**0.00**
14SEP17	B 1 PD14DEC17	6510.00	6515.38	USD	134.50	
	NET +1 AVG BGHT 6510.00				**134.50**	**0.00**
15SEP17	S 1 PD15DEC17	6470.50	6515.77	USD	-1,131.75	
15SEP17	S 1 PD15DEC17	6469.00	6515.77	USD	-1,169.25	
15SEP17	B 1 PD15DEC17	6500.00	6515.77	USD	394.25	
15SEP17	B 1 PD15DEC17	6501.50	6515.77	USD	356.75	
15SEP17	B 1 PD15DEC17	6507.50	6515.77	USD	206.75	
	NET +1 AVG BGHT 6503.00 SOLD 6469.75				**-1,343.25**	**0.00**
18SEP17	S 1 PD18DEC17	6519.00	6516.96	USD	51.00	
18SEP17	S 1 PD18DEC17	6541.00	6516.96	USD	601.00	
18SEP17	S 1 PD18DEC17	6507.50	6516.96	USD	-236.50	
18SEP17	S 1 PD18DEC17	6548.50	6516.96	USD	788.50	
18SEP17	S 1 PD18DEC17	6556.50	6516.96	USD	988.50	
18SEP17	S 1 PD18DEC17	6535.00	6516.96	USD	451.00	
19SEP17	B 6 PD18DEC17	6538.80	6516.96	USD	-3,276.00	
	NET 0 AVG BGHT 6538.80 SOLD 6534.58				**-632.50**	**0.00**
19SEP17	S 1 PD19DEC17	6529.00	6517.35	USD	291.25	
19SEP17	B 1 PD19DEC17	6518.00	6517.35	USD	-16.25	
	NET 0 AVG BGHT 6518.00 SOLD 6529.00				**275.00**	**0.00**
20SEP17	S 1 PD20DEC17	6545.50	6517.75	USD	693.75	
20SEP17	S 1 PD20DEC17	6526.00	6517.75	USD	206.25	
	NET -2 AVG SOLD 6535.75				**900.00**	**0.00**
21SEP17	S 1 PD21DEC17	6465.50	6516.75	USD	-1,281.25	
21SEP17	B 1 PD21DEC17	6436.50	6516.75	USD	2,006.25	
21SEP17	B 1 PD21DEC17	6460.00	6516.75	USD	1,418.75	
21SEP17	B 1 PD21DEC17	6465.50	6516.75	USD	1,281.25	
21SEP17	S 1 PD21DEC17	6440.00	6516.75	USD	-1,918.75	
21SEP17	S 1 PD21DEC17	6432.00	6516.75	USD	-2,118.75	
21SEP17	S 1 PD21DEC17	6427.50	6516.75	USD	-2,231.25	
21SEP17	S 1 PD21DEC17	6426.50	6516.75	USD	-2,256.25	
21SEP17	B 1 PD21DEC17	6441.50	6516.75	USD	1,881.25	
21SEP17	B 1 PD21DEC17	6445.50	6516.75	USD	1,781.25	
	NET 0 AVG BGHT 6449.80 SOLD 6438.30				**-1,437.50**	**0.00**
22SEP17	S 1 PD22DEC17	6400.50	6515.75	USD	-2,881.25	
22SEP17	B 1 PD22DEC17	6389.50	6515.75	USD	3,156.25	
22SEP17	S 1 PD22DEC17	6388.50	6515.75	USD	-3,181.25	
22SEP17	B 1 PD22DEC17	6466.00	6515.75	USD	1,243.75	
	NET 0 AVG BGHT 6427.75 SOLD 6394.50				**-1,662.50**	**0.00**
25SEP17	S 1 PD27DEC17	6464.00	6517.52	USD	-1,338.00	
26SEP17	B 1 PD27DEC17	6499.50	6517.52	USD	450.50	
26SEP17	B 1 PD27DEC17	6441.50	6517.52	USD	1,900.50	
26SEP17	B 1 PD27DEC17	6425.50	6517.52	USD	2,300.50	
27SEP17	S 1 PD27DEC17	6449.00	6517.52	USD	-1,713.00	
27SEP17	S 1 PD27DEC17	6436.00	6517.52	USD	-2,038.00	
27SEP17	S 1 PD27DEC17	6422.50	6517.52	USD	-2,375.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094L

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
27SEP17	S 1 PD27DEC17	6441.00	6517.52	USD	-1,913.00	
27SEP17	S 1 PD27DEC17	6442.50	6517.52	USD	-1,875.50	
	NET -3 AVG BGHT 6455.50 SOLD 6442.50				**-6,601.50**	**0.00**
28SEP17	B 1 PD28DEC17	6475.00	6517.88	USD	1,072.00	
28SEP17	B 1 PD28DEC17	6461.50	6517.88	USD	1,409.50	
28SEP17	B 1 PD28DEC17	6511.00	6517.88	USD	172.00	
28SEP17	B 1 PD28DEC17	6515.00	6517.88	USD	72.00	
28SEP17	S 1 PD28DEC17	6554.50	6517.88	USD	915.50	
	NET +3 AVG BGHT 6490.63 SOLD 6554.50				**3,641.00**	**0.00**
29SEP17	S 1 PD29DEC17	6540.50	6518.23	USD	556.75	
29SEP17	S 1 PD29DEC17	6532.00	6518.23	USD	344.25	
29SEP17	S 1 PD29DEC17	6527.00	6518.23	USD	219.25	
29SEP17	B 2 PD29DEC17	6568.00	6518.23	USD	-2,488.50	
29SEP17	B 1 PD29DEC17	6562.00	6518.23	USD	-1,094.25	
29SEP17	B 1 PD29DEC17	6568.50	6518.23	USD	-1,256.75	
29SEP17	B 2 PD29DEC17	6570.00	6518.23	USD	-2,588.50	
29SEP17	B 1 PD29DEC17	6574.50	6518.23	USD	-1,406.75	
29SEP17	B 1 PD29DEC17	6569.50	6518.23	USD	-1,281.75	
	NET +5 AVG BGHT 6568.81 SOLD 6533.17				**-8,996.25**	**0.00**
	CMX GOLD 100 CMX					
27SEP17	B 1 DEC17	1286.9	1274.6	USD	-1,230.00	
27SEP17	B 1 DEC17	1286.7	1274.6	USD	-1,210.00	
	NET +2 AVG BGHT 1286.8				**-2,440.00**	**0.00**
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
11JUL17	B 1 PD11OCT17	2339.00	2586.38	USD	6,184.50	
13SEP17	S 1 PD11OCT17	2267.99	2586.38	USD	-7,959.75	
	NET 0 AVG BGHT 2339.00 SOLD 2267.99				**-1,775.25**	**0.00**
17JUL17	B 1 PD17OCT17	2326.00	2587.77	USD	6,544.25	
13SEP17	S 1 PD17OCT17	2270.36	2587.77	USD	-7,935.25	
	NET 0 AVG BGHT 2326.00 SOLD 2270.36				**-1,391.00**	**0.00**
18JUL17	S 1 PD18OCT17	2284.50	2588.00	USD	-7,587.50	
18JUL17	B 1 PD18OCT17	2273.00	2588.00	USD	7,875.00	
	NET 0 AVG BGHT 2273.00 SOLD 2284.50				**287.50**	**0.00**
19JUL17	B 1 PD19OCT17	2240.00	2588.00	USD	8,700.00	
19JUL17	S 1 PD19OCT17	2217.50	2588.00	USD	-9,262.50	
	NET 0 AVG BGHT 2240.00 SOLD 2217.50				**-562.50**	**0.00**
20JUL17	S 1 PD20OCT17	2218.50	2588.00	USD	-9,237.50	
21JUL17	B 1 PD20OCT17	2244.00	2588.00	USD	8,600.00	
21JUL17	S 1 PD20OCT17	2228.00	2588.00	USD	-9,000.00	
13SEP17	B 1 PD20OCT17	2270.70	2588.00	USD	7,932.50	
	NET 0 AVG BGHT 2257.35 SOLD 2223.25				**-1,705.00**	**0.00**
24JUL17	B 1 PD24OCT17	2252.00	2588.00	USD	8,400.00	
24JUL17	S 1 PD24OCT17	2245.50	2588.00	USD	-8,562.50	
24JUL17	S 1 PD24OCT17	2256.50	2588.00	USD	-8,287.50	
13SEP17	B 1 PD24OCT17	2272.19	2588.00	USD	7,895.25	
	NET 0 AVG BGHT 2262.10 SOLD 2251.00				**-554.75**	**0.00**
25JUL17	B 1 PD25OCT17	2271.00	2588.00	USD	7,925.00	
25JUL17	S 1 PD25OCT17	2312.50	2588.00	USD	-6,887.50	
	NET 0 AVG BGHT 2271.00 SOLD 2312.50				**1,037.50**	**0.00**
27JUL17	B 1 PD27OCT17	2324.50	2588.00	USD	6,587.50	
27JUL17	B 1 PD27OCT17	2304.00	2588.00	USD	7,100.00	
28JUL17	S 1 PD27OCT17	2318.50	2588.00	USD	-6,737.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094L

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
13SEP17	S 1 PD27OCT17	2273.46	2588.00	USD	-7,863.50	
	NET 0 AVG BGHT 2314.25 SOLD 2295.98				**-913.50**	**0.00**
31JUL17	B 1 PD31OCT17	2329.00	2588.00	USD	6,475.00	
23AUG17	S 1 PD31OCT17	2371.50	2588.00	USD	-5,412.50	
	NET 0 AVG BGHT 2329.00 SOLD 2371.50				**1,062.50**	**0.00**
13SEP17	S 1 PD02NOV17	2276.00	2588.00	USD	-7,800.00	
	NET -1 AVG SOLD 2276.00				**-7,800.00**	**0.00**
03AUG17	S 1 PD03NOV17	2377.50	2588.00	USD	-5,262.50	
03AUG17	B 1 PD03NOV17	2357.50	2588.00	USD	5,762.50	
04AUG17	B 1 PD03NOV17	2354.00	2588.00	USD	5,850.00	
	NET +1 AVG BGHT 2355.75 SOLD 2377.50				**6,350.00**	**0.00**
07AUG17	B 1 PD07NOV17	2352.00	2588.00	USD	5,900.00	
07AUG17	S 1 PD07NOV17	2350.00	2588.00	USD	-5,950.00	
07AUG17	S 1 PD07NOV17	2351.00	2588.00	USD	-5,925.00	
07AUG17	B 1 PD07NOV17	2387.50	2588.00	USD	5,012.50	
	NET 0 AVG BGHT 2369.75 SOLD 2350.50				**-962.50**	**0.00**
08AUG17	S 1 PD08NOV17	2350.00	2588.00	USD	-5,950.00	
08AUG17	S 1 PD08NOV17	2388.50	2588.00	USD	-4,987.50	
13SEP17	B 2 PD08NOV17	2278.54	2588.00	USD	15,473.00	
	NET 0 AVG BGHT 2278.54 SOLD 2369.25				**4,535.50**	**0.00**
09AUG17	B 1 PD09NOV17	2389.50	2588.00	USD	4,962.50	
09AUG17	S 1 PD09NOV17	2376.50	2588.00	USD	-5,287.50	
09AUG17	B 1 PD09NOV17	2394.00	2588.00	USD	4,850.00	
09AUG17	B 1 PD09NOV17	2388.50	2588.00	USD	4,987.50	
13SEP17	S 2 PD09NOV17	2278.76	2588.00	USD	-15,462.00	
	NET 0 AVG BGHT 2390.67 SOLD 2311.34				**-5,949.50**	**0.00**
10AUG17	S 1 PD10NOV17	2378.00	2588.00	USD	-5,250.00	
10AUG17	S 1 PD10NOV17	2369.00	2588.00	USD	-5,475.00	
10AUG17	B 1 PD10NOV17	2365.00	2588.00	USD	5,575.00	
11AUG17	S 1 PD10NOV17	2361.50	2588.00	USD	-5,662.50	
11AUG17	B 1 PD10NOV17	2357.50	2588.00	USD	5,762.50	
11AUG17	S 1 PD10NOV17	2339.00	2588.00	USD	-6,225.00	
11AUG17	B 1 PD10NOV17	2345.00	2588.00	USD	6,075.00	
11AUG17	B 1 PD10NOV17	2351.00	2588.00	USD	5,925.00	
11AUG17	S 1 PD10NOV17	2350.50	2588.00	USD	-5,937.50	
11AUG17	S 1 PD10NOV17	2354.50	2588.00	USD	-5,837.50	
11AUG17	B 1 PD10NOV17	2342.00	2588.00	USD	6,150.00	
11AUG17	B 1 PD10NOV17	2333.50	2588.00	USD	6,362.50	
11AUG17	B 1 PD10NOV17	2326.00	2588.00	USD	6,550.00	
11AUG17	B 1 PD10NOV17	2350.50	2588.00	USD	5,937.50	
13SEP17	S 1 PD10NOV17	2279.38	2588.00	USD	-7,715.50	
20SEP17	S 1 PD10NOV17	2453.88	2588.00	USD	-3,353.00	
	NET 0 AVG BGHT 2346.31 SOLD 2360.72				**2,881.50**	**0.00**
14AUG17	S 1 PD14NOV17	2336.00	2588.00	USD	-6,300.00	
14AUG17	S 1 PD14NOV17	2340.00	2588.00	USD	-6,200.00	
14AUG17	S 1 PD14NOV17	2346.50	2588.00	USD	-6,037.50	
14AUG17	B 1 PD14NOV17	2345.50	2588.00	USD	6,062.50	
13SEP17	B 1 PD14NOV17	2281.28	2588.00	USD	7,668.00	
13SEP17	B 1 PD14NOV17	2281.08	2588.00	USD	7,673.00	
	NET 0 AVG BGHT 2302.62 SOLD 2340.83				**2,866.00**	**0.00**
15AUG17	S 1 PD15NOV17	2342.50	2588.00	USD	-6,137.50	
15AUG17	B 1 PD15NOV17	2354.00	2588.00	USD	5,850.00	
15AUG17	S 1 PD15NOV17	2387.00	2588.00	USD	-5,025.00	
15AUG17	B 1 PD15NOV17	2360.50	2588.00	USD	5,687.50	
	NET 0 AVG BGHT 2357.25 SOLD 2364.75				**375.00**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094L

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT								
16AUG17	B	1	PD16NOV17		2393.00	2588.13	USD	4,878.25	
16AUG17	S	1	PD16NOV17		2388.50	2588.13	USD	-4,990.75	
16AUG17	S	1	PD16NOV17		2390.00	2588.13	USD	-4,953.25	
16AUG17	S	1	PD16NOV17		2389.00	2588.13	USD	-4,978.25	
16AUG17	B	1	PD16NOV17		2392.50	2588.13	USD	4,890.75	
16AUG17	S	1	PD16NOV17		2408.00	2588.13	USD	-4,503.25	
16AUG17	S	1	PD16NOV17		2418.50	2588.13	USD	-4,240.75	
16AUG17	B	1	PD16NOV17		2420.00	2588.13	USD	4,203.25	
16AUG17	B	1	PD16NOV17		2422.50	2588.13	USD	4,140.75	
16AUG17	B	1	PD16NOV17		2468.00	2588.13	USD	3,003.25	
23AUG17	B	1	PD16NOV17		2375.20	2588.13	USD	5,323.25	
13SEP17	S	1	PD16NOV17		2281.50	2588.13	USD	-7,665.75	
	NET	**0**	**AVG BGHT 2411.87**	**SOLD 2379.25**				**-4,892.50**	**0.00**
17AUG17	S	1	PD17NOV17		2534.00	2588.26	USD	-1,356.50	
17AUG17	B	1	PD17NOV17		2512.50	2588.26	USD	1,894.00	
17AUG17	S	1	PD17NOV17		2495.00	2588.26	USD	-2,331.50	
17AUG17	B	1	PD17NOV17		2467.50	2588.26	USD	3,019.00	
17AUG17	S	1	PD17NOV17		2413.00	2588.26	USD	-4,381.50	
18AUG17	S	1	PD17NOV17		2392.50	2588.26	USD	-4,894.00	
13SEP17	B	2	PD17NOV17		2282.01	2588.26	USD	15,312.50	
	NET	**0**	**AVG BGHT 2386.01**	**SOLD 2458.63**				**7,262.00**	**0.00**
21AUG17	B	1	PD21NOV17		2363.50	2588.77	USD	5,631.75	
13SEP17	S	1	PD21NOV17		2283.07	2588.77	USD	-7,642.50	
	NET	**0**	**AVG BGHT 2363.50**	**SOLD 2283.07**				**-2,010.75**	**0.00**
22AUG17	S	1	PD22NOV17		2341.50	2588.90	USD	-6,185.00	
13SEP17	B	1	PD22NOV17		2283.59	2588.90	USD	7,632.75	
	NET	**0**	**AVG BGHT 2283.59**	**SOLD 2341.50**				**1,447.75**	**0.00**
25AUG17	B	1	PD24NOV17		2357.00	2589.16	USD	5,804.00	
25AUG17	S	1	PD24NOV17		2353.00	2589.16	USD	-5,904.00	
25AUG17	B	1	PD24NOV17		2374.00	2589.16	USD	5,379.00	
25AUG17	S	1	PD24NOV17		2359.50	2589.16	USD	-5,741.50	
25AUG17	S	1	PD24NOV17		2325.00	2589.16	USD	-6,604.00	
13SEP17	B	1	PD24NOV17		2284.22	2589.16	USD	7,623.50	
	NET	**0**	**AVG BGHT 2338.41**	**SOLD 2345.83**				**557.00**	**0.00**
29AUG17	B	1	PD29NOV17		2344.00	2589.80	USD	6,145.00	
29AUG17	B	1	PD29NOV17		2348.00	2589.80	USD	6,045.00	
29AUG17	S	1	PD29NOV17		2408.00	2589.80	USD	-4,545.00	
13SEP17	S	1	PD29NOV17		2285.39	2589.80	USD	-7,610.25	
	NET	**0**	**AVG BGHT 2346.00**	**SOLD 2346.70**				**34.75**	**0.00**
30AUG17	B	1	PD30NOV17		2392.00	2589.93	USD	4,948.25	
30AUG17	B	1	PD30NOV17		2377.50	2589.93	USD	5,310.75	
31AUG17	S	1	PD30NOV17		2382.00	2589.93	USD	-5,198.25	
31AUG17	B	2	PD30NOV17		2388.00	2589.93	USD	10,096.50	
31AUG17	S	1	PD30NOV17		2377.00	2589.93	USD	-5,323.25	
	NET	**+2**	**AVG BGHT 2386.38**	**SOLD 2379.50**				**9,834.00**	**0.00**
01SEP17	S	1	PD01DEC17		2387.00	2590.06	USD	-5,076.50	
01SEP17	B	1	PD01DEC17		2402.50	2590.06	USD	4,689.00	
	NET	**0**	**AVG BGHT 2402.50**	**SOLD 2387.00**				**-387.50**	**0.00**
05SEP17	S	1	PD05DEC17		2334.00	2590.57	USD	-6,414.25	
05SEP17	S	1	PD05DEC17		2332.00	2590.57	USD	-6,464.25	
05SEP17	S	1	PD05DEC17		2324.50	2590.57	USD	-6,651.75	
13SEP17	B	1	PD05DEC17		2287.68	2590.57	USD	7,572.25	
13SEP17	B	1	PD05DEC17		2287.48	2590.57	USD	7,577.25	
20SEP17	B	1	PD05DEC17		2457.84	2590.57	USD	3,318.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094L

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
	NET 0 AVG BGHT 2344.33 SOLD 2330.17				**-1,062.50**	**0.00**
06SEP17	B 1 PD06DEC17	2325.50	2590.70	USD	6,630.00	
06SEP17	S 1 PD06DEC17	2357.50	2590.70	USD	-5,830.00	
06SEP17	B 1 PD06DEC17	2345.50	2590.70	USD	6,130.00	
13SEP17	S 1 PD06DEC17	2287.60	2590.70	USD	-7,577.50	
	NET 0 AVG BGHT 2335.50 SOLD 2322.55				**-647.50**	**0.00**
07SEP17	S 1 PD07DEC17	2345.50	2590.83	USD	-6,133.25	
07SEP17	B 1 PD07DEC17	2340.50	2590.83	USD	6,258.25	
07SEP17	S 1 PD07DEC17	2345.00	2590.83	USD	-6,145.75	
13SEP17	B 1 PD07DEC17	2288.11	2590.83	USD	7,568.00	
	NET 0 AVG BGHT 2314.31 SOLD 2345.25				**1,547.25**	**0.00**
08SEP17	B 1 PD08DEC17	2350.50	2590.96	USD	6,011.50	
08SEP17	S 1 PD08DEC17	2332.00	2590.96	USD	-6,474.00	
08SEP17	B 1 PD08DEC17	2294.00	2590.96	USD	7,424.00	
08SEP17	S 1 PD08DEC17	2296.00	2590.96	USD	-7,374.00	
08SEP17	B 1 PD08DEC17	2270.00	2590.96	USD	8,024.00	
13SEP17	S 1 PD08DEC17	2288.23	2590.96	USD	-7,568.25	
	NET 0 AVG BGHT 2304.83 SOLD 2305.41				**43.25**	**0.00**
11SEP17	S 1 PD11DEC17	2267.00	2591.34	USD	-8,108.50	
11SEP17	B 1 PD11DEC17	2275.00	2591.34	USD	7,908.50	
11SEP17	B 1 PD11DEC17	2281.50	2591.34	USD	7,746.00	
13SEP17	S 1 PD11DEC17	2289.17	2591.34	USD	-7,554.25	
	NET 0 AVG BGHT 2278.25 SOLD 2278.09				**-8.25**	**0.00**
12SEP17	S 1 PD12DEC17	2277.00	2591.47	USD	-7,861.75	
12SEP17	B 1 PD12DEC17	2258.00	2591.47	USD	8,336.75	
	NET 0 AVG BGHT 2258.00 SOLD 2277.00				**475.00**	**0.00**
13SEP17	S 1 PD13DEC17	2297.00	2591.60	USD	-7,365.00	
13SEP17	B 1 PD13DEC17	2299.00	2591.60	USD	7,315.00	
13SEP17	S 1 PD13DEC17	2294.00	2591.60	USD	-7,440.00	
	NET -1 AVG BGHT 2299.00 SOLD 2295.50				**-7,490.00**	**0.00**
15SEP17	S 1 PD15DEC17	2321.00	2591.86	USD	-6,771.50	
15SEP17	S 1 PD15DEC17	2350.00	2591.86	USD	-6,046.50	
	NET -2 AVG SOLD 2335.50				**-12,818.00**	**0.00**
18SEP17	B 1 PD18DEC17	2377.00	2592.24	USD	5,381.00	
18SEP17	B 1 PD18DEC17	2386.00	2592.24	USD	5,156.00	
	NET +2 AVG BGHT 2381.50				**10,537.00**	**0.00**
19SEP17	S 1 PD19DEC17	2415.00	2592.37	USD	-4,434.25	
19SEP17	B 1 PD19DEC17	2428.00	2592.37	USD	4,109.25	
	NET 0 AVG BGHT 2428.00 SOLD 2415.00				**-325.00**	**0.00**
20SEP17	B 1 PD20DEC17	2444.00	2592.50	USD	3,712.50	
	NET +1 AVG BGHT 2444.00				**3,712.50**	**0.00**
21SEP17	S 1 PD21DEC17	2490.00	2592.29	USD	-2,557.25	
21SEP17	S 1 PD21DEC17	2479.00	2592.29	USD	-2,832.25	
	NET -2 AVG SOLD 2484.50				**-5,389.50**	**0.00**
22SEP17	B 1 PD22DEC17	2418.00	2592.07	USD	4,351.75	
22SEP17	S 1 PD22DEC17	2464.00	2592.07	USD	-3,201.75	
22SEP17	B 1 PD22DEC17	2476.50	2592.07	USD	2,889.25	
22SEP17	S 1 PD22DEC17	2480.50	2592.07	USD	-2,789.25	
	NET 0 AVG BGHT 2447.25 SOLD 2472.25				**1,250.00**	**0.00**
25SEP17	S 1 PD27DEC17	2478.50	2591.86	USD	-2,834.00	
25SEP17	B 1 PD27DEC17	2507.50	2591.86	USD	2,109.00	
25SEP17	B 1 PD27DEC17	2505.00	2591.86	USD	2,171.50	
25SEP17	S 1 PD27DEC17	2499.50	2591.86	USD	-2,309.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094L

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
	NET 0 AVG BGHT 2506.25 SOLD 2489.00				**-862.50**	**0.00**
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
10JUL17	B 1 PD10OCT17	8920.00	10538.85	USD	9,713.10	
10JUL17	B 1 PD10OCT17	8915.00	10538.85	USD	9,743.10	
10JUL17	B 1 PD10OCT17	8925.00	10538.85	USD	9,683.10	
	NET +3 AVG BGHT 8920.00				**29,139.30**	**0.00**
11JUL17	S 1 PD11OCT17	8985.00	10540.12	USD	-9,330.72	
	NET -1 AVG SOLD 8985.00				**-9,330.72**	**0.00**
12JUL17	B 1 PD12OCT17	9170.00	10541.38	USD	8,228.28	
12JUL17	B 2 PD12OCT17	9185.00	10541.38	USD	16,276.56	
12JUL17	B 1 PD12OCT17	9215.00	10541.38	USD	7,958.28	
12JUL17	B 1 PD12OCT17	9260.00	10541.38	USD	7,688.28	
12JUL17	B 1 PD12OCT17	9220.00	10541.38	USD	7,928.28	
12JUL17	B 1 PD12OCT17	9205.00	10541.38	USD	8,018.28	
19SEP17	S 5 PD12OCT17	11085.06	10541.38	USD	16,310.40	
	NET +2 AVG BGHT 9205.71 SOLD 11085.06				**72,408.36**	**0.00**
13JUL17	S 1 PD13OCT17	9195.00	10542.65	USD	-8,085.90	
13JUL17	B 1 PD13OCT17	9205.00	10542.65	USD	8,025.90	
	NET 0 AVG BGHT 9205.00 SOLD 9195.00				**-60.00**	**0.00**
17JUL17	S 1 PD17OCT17	9585.00	10547.73	USD	-5,776.38	
17JUL17	S 1 PD17OCT17	9570.00	10547.73	USD	-5,866.38	
17JUL17	S 1 PD17OCT17	9580.00	10547.73	USD	-5,806.38	
17JUL17	S 1 PD17OCT17	9615.00	10547.73	USD	-5,596.38	
17JUL17	B 1 PD17OCT17	9640.00	10547.73	USD	5,446.38	
	NET -3 AVG BGHT 9640.00 SOLD 9587.50				**-17,599.14**	**0.00**
19JUL17	S 1 PD19OCT17	9720.00	10549.96	USD	-4,979.76	
19JUL17	B 1 PD19OCT17	9740.00	10549.96	USD	4,859.76	
19JUL17	S 1 PD19OCT17	9725.00	10549.96	USD	-4,949.76	
	NET -1 AVG BGHT 9740.00 SOLD 9722.50				**-5,069.76**	**0.00**
20JUL17	S 1 PD20OCT17	9565.00	10550.93	USD	-5,915.58	
20JUL17	B 1 PD20OCT17	9560.00	10550.93	USD	5,945.58	
20JUL17	B 1 PD20OCT17	9580.00	10550.93	USD	5,825.58	
20JUL17	S 1 PD20OCT17	9575.00	10550.93	USD	-5,855.58	
20JUL17	B 1 PD20OCT17	9575.00	10550.93	USD	5,855.58	
20JUL17	B 1 PD20OCT17	9570.00	10550.93	USD	5,885.58	
20JUL17	B 1 PD20OCT17	9495.00	10550.93	USD	6,335.58	
21JUL17	S 1 PD20OCT17	9575.00	10550.93	USD	-5,855.58	
21JUL17	S 1 PD20OCT17	9655.00	10550.93	USD	-5,375.58	
21JUL17	S 1 PD20OCT17	9685.00	10550.93	USD	-5,195.58	
21JUL17	S 1 PD20OCT17	9620.00	10550.93	USD	-5,585.58	
	NET -1 AVG BGHT 9556.00 SOLD 9612.50				**-3,935.58**	**0.00**
24JUL17	B 1 PD24OCT17	9530.00	10554.79	USD	6,148.74	
24JUL17	S 1 PD24OCT17	9625.00	10554.79	USD	-5,578.74	
24JUL17	B 3 PD24OCT17	9630.00	10554.79	USD	16,646.22	
24JUL17	B 1 PD24OCT17	9635.00	10554.79	USD	5,518.74	
24JUL17	B 2 PD24OCT17	9640.00	10554.79	USD	10,977.48	
24JUL17	B 2 PD24OCT17	9655.00	10554.79	USD	10,797.48	
24JUL17	B 1 PD24OCT17	9675.00	10554.79	USD	5,278.74	
08AUG17	S 10 PD24OCT17	10623.93	10554.79	USD	4,148.40	
09AUG17	B 5 PD24OCT17	10752.00	10554.79	USD	-5,916.30	
	NET +4 AVG BGHT 10005.33 SOLD 10533.12				**48,020.76**	**0.00**
25JUL17	S 1 PD25OCT17	9830.00	10555.75	USD	-4,354.50	
25JUL17	B 2 PD25OCT17	9860.00	10555.75	USD	8,349.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094L

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
25JUL17	S 1 PD25OCT17	9870.00	10555.75	USD	-4,114.50	
25JUL17	B 1 PD25OCT17	9905.00	10555.75	USD	3,904.50	
25JUL17	S 2 PD25OCT17	9920.00	10555.75	USD	-7,629.00	
25JUL17	B 1 PD25OCT17	9920.00	10555.75	USD	3,814.50	
25JUL17	S 2 PD25OCT17	9965.00	10555.75	USD	-7,089.00	
25JUL17	S 1 PD25OCT17	9950.00	10555.75	USD	-3,634.50	
25JUL17	S 1 PD25OCT17	9955.00	10555.75	USD	-3,604.50	
25JUL17	S 1 PD25OCT17	9980.00	10555.75	USD	-3,454.50	
25JUL17	S 1 PD25OCT17	9975.00	10555.75	USD	-3,484.50	
25JUL17	S 1 PD25OCT17	9990.00	10555.75	USD	-3,394.50	
25JUL17	B 1 PD25OCT17	9990.00	10555.75	USD	3,394.50	
25JUL17	S 1 PD25OCT17	10000.00	10555.75	USD	-3,334.50	
25JUL17	B 1 PD25OCT17	10000.00	10555.75	USD	3,334.50	
25JUL17	S 1 PD25OCT17	9995.00	10555.75	USD	-3,364.50	
25JUL17	S 2 PD25OCT17	10005.00	10555.75	USD	-6,609.00	
08AUG17	B 10 PD25OCT17	10625.33	10555.75	USD	-4,174.80	
09AUG17	S 5 PD25OCT17	10751.50	10555.75	USD	5,872.50	
	NET -4 AVG BGHT 10361.77 SOLD 10154.13				**-29,572.80**	**0.00**
26JUL17	B 1 PD26OCT17	10005.00	10556.71	USD	3,310.26	
26JUL17	B 1 PD26OCT17	10080.00	10556.71	USD	2,860.26	
26JUL17	S 1 PD26OCT17	10005.00	10556.71	USD	-3,310.26	
26JUL17	B 1 PD26OCT17	9930.00	10556.71	USD	3,760.26	
26JUL17	B 1 PD26OCT17	9940.00	10556.71	USD	3,700.26	
26JUL17	B 1 PD26OCT17	9925.00	10556.71	USD	3,790.26	
26JUL17	S 1 PD26OCT17	9885.00	10556.71	USD	-4,030.26	
26JUL17	B 1 PD26OCT17	9900.00	10556.71	USD	3,940.26	
26JUL17	S 1 PD26OCT17	9900.00	10556.71	USD	-3,940.26	
26JUL17	S 1 PD26OCT17	10040.00	10556.71	USD	-3,100.26	
26JUL17	S 2 PD26OCT17	9955.00	10556.71	USD	-7,220.52	
26JUL17	S 1 PD26OCT17	9960.00	10556.71	USD	-3,580.26	
26JUL17	S 1 PD26OCT17	9940.00	10556.71	USD	-3,700.26	
26JUL17	S 2 PD26OCT17	9945.00	10556.71	USD	-7,340.52	
26JUL17	S 1 PD26OCT17	10030.00	10556.71	USD	-3,160.26	
26JUL17	B 1 PD26OCT17	9980.00	10556.71	USD	3,460.26	
26JUL17	S 1 PD26OCT17	10020.00	10556.71	USD	-3,220.26	
26JUL17	B 1 PD26OCT17	10020.00	10556.71	USD	3,220.26	
26JUL17	B 1 PD26OCT17	9980.00	10556.71	USD	3,460.26	
	NET -3 AVG BGHT 9973.33 SOLD 9965.00				**-11,100.78**	**0.00**
27JUL17	B 1 PD27OCT17	9990.00	10557.68	USD	3,406.08	
27JUL17	B 2 PD27OCT17	9985.00	10557.68	USD	6,872.16	
27JUL17	B 1 PD27OCT17	10005.00	10557.68	USD	3,316.08	
27JUL17	B 3 PD27OCT17	10015.00	10557.68	USD	9,768.24	
27JUL17	B 1 PD27OCT17	10020.00	10557.68	USD	3,226.08	
27JUL17	B 2 PD27OCT17	10025.00	10557.68	USD	6,392.16	
27JUL17	B 1 PD27OCT17	9995.00	10557.68	USD	3,376.08	
27JUL17	S 3 PD27OCT17	10050.00	10557.68	USD	-9,138.24	
27JUL17	S 1 PD27OCT17	10075.00	10557.68	USD	-2,896.08	
27JUL17	S 1 PD27OCT17	10090.00	10557.68	USD	-2,806.08	
27JUL17	S 1 PD27OCT17	10145.00	10557.68	USD	-2,476.08	
27JUL17	S 2 PD27OCT17	10140.00	10557.68	USD	-5,012.16	
27JUL17	S 1 PD27OCT17	10135.00	10557.68	USD	-2,536.08	
27JUL17	S 2 PD27OCT17	10150.00	10557.68	USD	-4,892.16	
27JUL17	S 1 PD27OCT17	10185.00	10557.68	USD	-2,236.08	
27JUL17	S 2 PD27OCT17	10140.00	10557.68	USD	-5,012.16	
27JUL17	S 1 PD27OCT17	10175.00	10557.68	USD	-2,296.08	
27JUL17	S 1 PD27OCT17	10190.00	10557.68	USD	-2,206.08	
27JUL17	B 1 PD27OCT17	10170.00	10557.68	USD	2,326.08	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094L

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
27JUL17	B 1 PD27OCT17	10165.00	10557.68	USD	2,356.08	
28JUL17	B 1 PD27OCT17	10150.00	10557.68	USD	2,446.08	
28JUL17	S 1 PD27OCT17	10135.00	10557.68	USD	-2,536.08	
28JUL17	S 1 PD27OCT17	10090.00	10557.68	USD	-2,806.08	
28JUL17	S 1 PD27OCT17	10060.00	10557.68	USD	-2,986.08	
28JUL17	B 1 PD27OCT17	10055.00	10557.68	USD	3,016.08	
28JUL17	S 1 PD27OCT17	10020.00	10557.68	USD	-3,226.08	
28JUL17	B 3 PD27OCT17	10050.00	10557.68	USD	9,138.24	
28JUL17	B 1 PD27OCT17	10140.00	10557.68	USD	2,506.08	
28JUL17	B 1 PD27OCT17	10035.00	10557.68	USD	3,136.08	
28JUL17	S 1 PD27OCT17	10240.00	10557.68	USD	-1,906.08	
28JUL17	S 1 PD27OCT17	10255.00	10557.68	USD	-1,816.08	
28JUL17	S 2 PD27OCT17	10245.00	10557.68	USD	-3,752.16	
28JUL17	S 1 PD27OCT17	10220.00	10557.68	USD	-2,026.08	
28JUL17	B 1 PD27OCT17	10225.00	10557.68	USD	1,996.08	
28JUL17	S 1 PD27OCT17	10245.00	10557.68	USD	-1,876.08	
28JUL17	B 2 PD27OCT17	10230.00	10557.68	USD	3,932.16	
28JUL17	B 1 PD27OCT17	10220.00	10557.68	USD	2,026.08	
28JUL17	B 1 PD27OCT17	10210.00	10557.68	USD	2,086.08	
28JUL17	B 1 PD27OCT17	10205.00	10557.68	USD	2,116.08	
28JUL17	S 3 PD27OCT17	10250.00	10557.68	USD	-5,538.24	
28JUL17	S 1 PD27OCT17	10260.00	10557.68	USD	-1,786.08	
08AUG17	B 2 PD27OCT17	10625.14	10557.68	USD	-809.52	
23AUG17	S 1 PD27OCT17	11643.48	10557.68	USD	6,514.80	
	NET -3 AVG BGHT 10125.37 SOLD 10206.89				**7,380.96**	**0.00**
31JUL17	B 1 PD31OCT17	10280.00	10561.54	USD	1,689.24	
31JUL17	B 1 PD31OCT17	10345.00	10561.54	USD	1,299.24	
31JUL17	B 1 PD31OCT17	10250.00	10561.54	USD	1,869.24	
31JUL17	B 1 PD31OCT17	10230.00	10561.54	USD	1,989.24	
31JUL17	B 1 PD31OCT17	10225.00	10561.54	USD	2,019.24	
31JUL17	B 1 PD31OCT17	10240.00	10561.54	USD	1,929.24	
31JUL17	B 1 PD31OCT17	10260.00	10561.54	USD	1,809.24	
31JUL17	B 1 PD31OCT17	10215.00	10561.54	USD	2,079.24	
08AUG17	S 8 PD31OCT17	10626.76	10561.54	USD	3,130.56	
09AUG17	B 4 PD31OCT17	10755.50	10561.54	USD	-4,655.04	
	NET +4 AVG BGHT 10422.25 SOLD 10626.76				**13,159.44**	**0.00**
01AUG17	S 1 PD01NOV17	10120.00	10562.50	USD	-2,655.00	
01AUG17	S 1 PD01NOV17	10105.00	10562.50	USD	-2,745.00	
01AUG17	S 1 PD01NOV17	10115.00	10562.50	USD	-2,685.00	
01AUG17	B 1 PD01NOV17	10100.00	10562.50	USD	2,775.00	
01AUG17	B 2 PD01NOV17	10105.00	10562.50	USD	5,490.00	
01AUG17	B 1 PD01NOV17	10125.00	10562.50	USD	2,625.00	
01AUG17	B 1 PD01NOV17	10175.00	10562.50	USD	2,325.00	
01AUG17	S 2 PD01NOV17	10110.00	10562.50	USD	-5,430.00	
01AUG17	S 2 PD01NOV17	10100.00	10562.50	USD	-5,550.00	
01AUG17	B 1 PD01NOV17	10120.00	10562.50	USD	2,655.00	
01AUG17	S 1 PD01NOV17	10205.00	10562.50	USD	-2,145.00	
01AUG17	S 1 PD01NOV17	10200.00	10562.50	USD	-2,175.00	
01AUG17	S 2 PD01NOV17	10190.00	10562.50	USD	-4,470.00	
01AUG17	S 2 PD01NOV17	10195.00	10562.50	USD	-4,410.00	
01AUG17	S 3 PD01NOV17	10200.00	10562.50	USD	-6,525.00	
01AUG17	S 1 PD01NOV17	10195.00	10562.50	USD	-2,205.00	
01AUG17	B 1 PD01NOV17	10255.00	10562.50	USD	1,845.00	
08AUG17	B 4 PD01NOV17	10627.17	10562.50	USD	-1,552.08	
08AUG17	B 8 PD01NOV17	10628.17	10562.50	USD	-3,152.16	
09AUG17	S 4 PD01NOV17	10755.00	10562.50	USD	4,620.00	
23AUG17	S 2 PD01NOV17	11647.04	10562.50	USD	13,014.48	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094L

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
	NET	**-4**	**AVG BGHT 10448.37 SOLD 10393.22**				**-10,349.76**	**0.00**
02AUG17	B	1	PD02NOV17	10210.00	10563.46	USD	2,120.76	
02AUG17	S	1	PD02NOV17	10210.00	10563.46	USD	-2,120.76	
02AUG17	B	1	PD02NOV17	10325.00	10563.46	USD	1,430.76	
02AUG17	B	1	PD02NOV17	10320.00	10563.46	USD	1,460.76	
08AUG17	S	2	PD02NOV17	10626.57	10563.46	USD	757.32	
	NET	**0**	**AVG BGHT 10285.00 SOLD 10487.71**				**3,648.84**	**0.00**
03AUG17	S	1	PD03NOV17	10340.00	10564.43	USD	-1,346.58	
03AUG17	B	1	PD03NOV17	10300.00	10564.43	USD	1,586.58	
04AUG17	B	1	PD03NOV17	10350.00	10564.43	USD	1,286.58	
04AUG17	B	1	PD03NOV17	10380.00	10564.43	USD	1,106.58	
04AUG17	B	1	PD03NOV17	10390.00	10564.43	USD	1,046.58	
04AUG17	S	1	PD03NOV17	10365.00	10564.43	USD	-1,196.58	
04AUG17	S	2	PD03NOV17	10310.00	10564.43	USD	-3,053.16	
04AUG17	S	1	PD03NOV17	10305.00	10564.43	USD	-1,556.58	
04AUG17	B	1	PD03NOV17	10335.00	10564.43	USD	1,376.58	
04AUG17	B	1	PD03NOV17	10345.00	10564.43	USD	1,316.58	
04AUG17	B	1	PD03NOV17	10360.00	10564.43	USD	1,226.58	
04AUG17	B	1	PD03NOV17	10340.00	10564.43	USD	1,346.58	
04AUG17	B	1	PD03NOV17	10300.00	10564.43	USD	1,586.58	
04AUG17	S	1	PD03NOV17	10330.00	10564.43	USD	-1,406.58	
04AUG17	B	1	PD03NOV17	10310.00	10564.43	USD	1,526.58	
04AUG17	S	2	PD03NOV17	10300.00	10564.43	USD	-3,173.16	
04AUG17	B	1	PD03NOV17	10275.00	10564.43	USD	1,736.58	
	NET	**+3**	**AVG BGHT 10335.00 SOLD 10320.00**				**3,409.74**	**0.00**
07AUG17	B	1	PD07NOV17	10385.00	10568.29	USD	1,099.74	
07AUG17	B	1	PD07NOV17	10370.00	10568.29	USD	1,189.74	
07AUG17	S	1	PD07NOV17	10335.00	10568.29	USD	-1,399.74	
07AUG17	S	1	PD07NOV17	10340.00	10568.29	USD	-1,369.74	
07AUG17	S	1	PD07NOV17	10300.00	10568.29	USD	-1,609.74	
07AUG17	S	2	PD07NOV17	10260.00	10568.29	USD	-3,699.48	
07AUG17	B	1	PD07NOV17	10255.00	10568.29	USD	1,879.74	
07AUG17	B	1	PD07NOV17	10345.00	10568.29	USD	1,339.74	
07AUG17	B	1	PD07NOV17	10375.00	10568.29	USD	1,159.74	
07AUG17	B	1	PD07NOV17	10350.00	10568.29	USD	1,309.74	
07AUG17	B	1	PD07NOV17	10290.00	10568.29	USD	1,669.74	
07AUG17	B	1	PD07NOV17	10355.00	10568.29	USD	1,279.74	
07AUG17	B	1	PD07NOV17	10340.00	10568.29	USD	1,369.74	
07AUG17	B	2	PD07NOV17	10360.00	10568.29	USD	2,499.48	
07AUG17	B	1	PD07NOV17	10380.00	10568.29	USD	1,129.74	
07AUG17	B	1	PD07NOV17	10395.00	10568.29	USD	1,039.74	
07AUG17	S	1	PD07NOV17	10375.00	10568.29	USD	-1,159.74	
08AUG17	S	4	PD07NOV17	10628.60	10568.29	USD	1,447.44	
	NET	**+3**	**AVG BGHT 10350.77 SOLD 10438.44**				**9,175.62**	**0.00**
08AUG17	B	2	PD08NOV17	10355.00	10569.25	USD	2,571.00	
08AUG17	S	1	PD08NOV17	10300.00	10569.25	USD	-1,615.50	
08AUG17	B	1	PD08NOV17	10325.00	10569.25	USD	1,465.50	
08AUG17	S	1	PD08NOV17	10295.00	10569.25	USD	-1,645.50	
08AUG17	S	2	PD08NOV17	10330.00	10569.25	USD	-2,871.00	
08AUG17	B	1	PD08NOV17	10535.00	10569.25	USD	205.50	
08AUG17	B	1	PD08NOV17	10585.00	10569.25	USD	-94.50	
08AUG17	S	1	PD08NOV17	10580.00	10569.25	USD	64.50	
08AUG17	B	2	PD08NOV17	10580.00	10569.25	USD	-129.00	
08AUG17	S	2	PD08NOV17	10610.00	10569.25	USD	489.00	
08AUG17	B	1	PD08NOV17	10615.00	10569.25	USD	-274.50	
08AUG17	B	1	PD08NOV17	10470.00	10569.25	USD	595.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094L

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
08AUG17	B 1 PD08NOV17	10755.00	10569.25	USD	-1,114.50	
08AUG17	B 1 PD08NOV17	10745.00	10569.25	USD	-1,054.50	
08AUG17	B 1 PD08NOV17	10740.00	10569.25	USD	-1,024.50	
08AUG17	S 1 PD08NOV17	10735.00	10569.25	USD	994.50	
08AUG17	S 1 PD08NOV17	10700.00	10569.25	USD	784.50	
23AUG17	S 3 PD08NOV17	11652.02	10569.25	USD	19,489.86	
	NET 0 AVG BGHT 10553.33 SOLD 10787.17				**16,836.36**	**0.00**
09AUG17	S 1 PD09NOV17	10785.00	10570.21	USD	1,288.74	
09AUG17	S 1 PD09NOV17	10780.00	10570.21	USD	1,258.74	
09AUG17	B 1 PD09NOV17	10790.00	10570.21	USD	-1,318.74	
09AUG17	S 1 PD09NOV17	10795.00	10570.21	USD	1,348.74	
09AUG17	B 1 PD09NOV17	10800.00	10570.21	USD	-1,378.74	
09AUG17	S 1 PD09NOV17	10800.00	10570.21	USD	1,378.74	
09AUG17	S 1 PD09NOV17	10735.00	10570.21	USD	988.74	
09AUG17	S 2 PD09NOV17	10745.00	10570.21	USD	2,097.48	
09AUG17	B 2 PD09NOV17	10740.00	10570.21	USD	-2,037.48	
23AUG17	B 2 PD09NOV17	11653.73	10570.21	USD	-13,002.24	
	NET -1 AVG BGHT 11062.91 SOLD 10769.29				**-9,376.02**	**0.00**
10AUG17	B 1 PD10NOV17	10820.00	10571.18	USD	-1,492.92	
10AUG17	S 1 PD10NOV17	10830.00	10571.18	USD	1,552.92	
10AUG17	S 1 PD10NOV17	10790.00	10571.18	USD	1,312.92	
10AUG17	S 1 PD10NOV17	10785.00	10571.18	USD	1,282.92	
10AUG17	B 1 PD10NOV17	10790.00	10571.18	USD	-1,312.92	
10AUG17	S 1 PD10NOV17	10740.00	10571.18	USD	1,012.92	
10AUG17	B 1 PD10NOV17	10770.00	10571.18	USD	-1,192.92	
10AUG17	B 2 PD10NOV17	10780.00	10571.18	USD	-2,505.84	
10AUG17	B 1 PD10NOV17	10850.00	10571.18	USD	-1,672.92	
10AUG17	S 1 PD10NOV17	10930.00	10571.18	USD	2,152.92	
10AUG17	B 1 PD10NOV17	10975.00	10571.18	USD	-2,422.92	
10AUG17	B 1 PD10NOV17	10945.00	10571.18	USD	-2,242.92	
10AUG17	S 1 PD10NOV17	10905.00	10571.18	USD	2,002.92	
10AUG17	S 1 PD10NOV17	10900.00	10571.18	USD	1,972.92	
11AUG17	S 1 PD10NOV17	10845.00	10571.18	USD	1,642.92	
11AUG17	S 1 PD10NOV17	10810.00	10571.18	USD	1,432.92	
11AUG17	S 1 PD10NOV17	10820.00	10571.18	USD	1,492.92	
11AUG17	S 1 PD10NOV17	10835.00	10571.18	USD	1,582.92	
11AUG17	S 1 PD10NOV17	10745.00	10571.18	USD	1,042.92	
11AUG17	B 1 PD10NOV17	10755.00	10571.18	USD	-1,102.92	
11AUG17	S 1 PD10NOV17	10660.00	10571.18	USD	532.92	
11AUG17	S 1 PD10NOV17	10605.00	10571.18	USD	202.92	
11AUG17	B 1 PD10NOV17	10600.00	10571.18	USD	-172.92	
11AUG17	B 1 PD10NOV17	10595.00	10571.18	USD	-142.92	
11AUG17	B 3 PD10NOV17	10625.00	10571.18	USD	-968.76	
11AUG17	S 2 PD10NOV17	10620.00	10571.18	USD	585.84	
11AUG17	B 1 PD10NOV17	10615.00	10571.18	USD	-262.92	
11AUG17	S 1 PD10NOV17	10810.00	10571.18	USD	1,432.92	
11AUG17	B 1 PD10NOV17	10855.00	10571.18	USD	-1,702.92	
11AUG17	S 1 PD10NOV17	10710.00	10571.18	USD	832.92	
11AUG17	B 1 PD10NOV17	10675.00	10571.18	USD	-622.92	
11AUG17	B 1 PD10NOV17	10660.00	10571.18	USD	-532.92	
11AUG17	B 1 PD10NOV17	10685.00	10571.18	USD	-682.92	
11AUG17	B 3 PD10NOV17	10670.00	10571.18	USD	-1,778.76	
11AUG17	S 1 PD10NOV17	10675.00	10571.18	USD	622.92	
11AUG17	S 3 PD10NOV17	10610.00	10571.18	USD	698.76	
11AUG17	B 1 PD10NOV17	10635.00	10571.18	USD	-382.92	
11AUG17	S 3 PD10NOV17	10630.00	10571.18	USD	1,058.76	
11AUG17	B 2 PD10NOV17	10650.00	10571.18	USD	-945.84	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094L

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
11AUG17	B 1 PD10NOV17	10570.00	10571.18	USD	7.08	
11AUG17	B 1 PD10NOV17	10630.00	10571.18	USD	-352.92	
11AUG17	S 1 PD10NOV17	10665.00	10571.18	USD	562.92	
23AUG17	S 1 PD10NOV17	11653.44	10571.18	USD	6,493.56	
	NET 0 AVG BGHT 10710.00 SOLD 10765.68				**9,020.64**	**0.00**
14AUG17	B 1 PD14NOV17	10665.00	10575.04	USD	-539.76	
14AUG17	S 1 PD14NOV17	10660.00	10575.04	USD	509.76	
14AUG17	S 1 PD14NOV17	10540.00	10575.04	USD	-210.24	
14AUG17	S 1 PD14NOV17	10530.00	10575.04	USD	-270.24	
14AUG17	S 1 PD14NOV17	10515.00	10575.04	USD	-360.24	
14AUG17	S 1 PD14NOV17	10510.00	10575.04	USD	-390.24	
14AUG17	S 2 PD14NOV17	10495.00	10575.04	USD	-960.48	
14AUG17	S 1 PD14NOV17	10475.00	10575.04	USD	-600.24	
14AUG17	B 2 PD14NOV17	10490.00	10575.04	USD	1,020.48	
14AUG17	B 2 PD14NOV17	10500.00	10575.04	USD	900.48	
14AUG17	S 1 PD14NOV17	10450.00	10575.04	USD	-750.24	
14AUG17	B 2 PD14NOV17	10435.00	10575.04	USD	1,680.48	
14AUG17	B 1 PD14NOV17	10420.00	10575.04	USD	930.24	
14AUG17	B 1 PD14NOV17	10515.00	10575.04	USD	360.24	
14AUG17	S 1 PD14NOV17	10420.00	10575.04	USD	-930.24	
14AUG17	S 3 PD14NOV17	10415.00	10575.04	USD	-2,880.72	
14AUG17	S 1 PD14NOV17	10410.00	10575.04	USD	-990.24	
14AUG17	S 1 PD14NOV17	10440.00	10575.04	USD	-810.24	
14AUG17	B 1 PD14NOV17	10410.00	10575.04	USD	990.24	
23AUG17	B 3 PD14NOV17	11657.29	10575.04	USD	-19,480.50	
	NET -2 AVG BGHT 10756.30 SOLD 10479.00				**-22,781.70**	**0.00**
15AUG17	S 1 PD15NOV17	10365.00	10576.00	USD	-1,266.00	
15AUG17	S 1 PD15NOV17	10360.00	10576.00	USD	-1,296.00	
15AUG17	B 1 PD15NOV17	10375.00	10576.00	USD	1,206.00	
15AUG17	B 1 PD15NOV17	10385.00	10576.00	USD	1,146.00	
15AUG17	B 1 PD15NOV17	10390.00	10576.00	USD	1,116.00	
15AUG17	S 1 PD15NOV17	10485.00	10576.00	USD	-546.00	
15AUG17	S 1 PD15NOV17	10410.00	10576.00	USD	-996.00	
15AUG17	S 1 PD15NOV17	10405.00	10576.00	USD	-1,026.00	
15AUG17	B 1 PD15NOV17	10405.00	10576.00	USD	1,026.00	
15AUG17	B 1 PD15NOV17	10410.00	10576.00	USD	996.00	
15AUG17	B 1 PD15NOV17	10395.00	10576.00	USD	1,086.00	
15AUG17	B 3 PD15NOV17	10400.00	10576.00	USD	3,168.00	
15AUG17	B 2 PD15NOV17	10380.00	10576.00	USD	2,352.00	
15AUG17	B 2 PD15NOV17	10355.00	10576.00	USD	2,652.00	
15AUG17	S 1 PD15NOV17	10375.00	10576.00	USD	-1,206.00	
15AUG17	S 1 PD15NOV17	10355.00	10576.00	USD	-1,326.00	
15AUG17	B 1 PD15NOV17	10360.00	10576.00	USD	1,296.00	
23AUG17	S 5 PD15NOV17	11657.00	10576.00	USD	32,430.00	
	NET +2 AVG BGHT 10385.00 SOLD 10920.00				**40,812.00**	**0.00**
16AUG17	S 2 PD16NOV17	10555.00	10576.89	USD	-262.68	
16AUG17	S 2 PD16NOV17	10560.00	10576.89	USD	-202.68	
16AUG17	S 2 PD16NOV17	10565.00	10576.89	USD	-142.68	
16AUG17	S 2 PD16NOV17	10570.00	10576.89	USD	-82.68	
16AUG17	S 1 PD16NOV17	10650.00	10576.89	USD	438.66	
16AUG17	S 1 PD16NOV17	10675.00	10576.89	USD	588.66	
16AUG17	B 3 PD16NOV17	10685.00	10576.89	USD	-1,945.98	
16AUG17	B 1 PD16NOV17	10680.00	10576.89	USD	-618.66	
16AUG17	S 1 PD16NOV17	10750.00	10576.89	USD	1,038.66	
16AUG17	S 1 PD16NOV17	10760.00	10576.89	USD	1,098.66	
16AUG17	B 3 PD16NOV17	10750.00	10576.89	USD	-3,115.98	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094L

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
16AUG17	B 1 PD16NOV17	10730.00	10576.89	USD	-918.66	
16AUG17	B 1 PD16NOV17	10715.00	10576.89	USD	-828.66	
16AUG17	B 1 PD16NOV17	10725.00	10576.89	USD	-888.66	
16AUG17	S 3 PD16NOV17	10555.00	10576.89	USD	-394.02	
16AUG17	S 1 PD16NOV17	10820.00	10576.89	USD	1,458.66	
16AUG17	S 3 PD16NOV17	10815.00	10576.89	USD	4,285.98	
16AUG17	B 3 PD16NOV17	10755.00	10576.89	USD	-3,205.98	
16AUG17	B 1 PD16NOV17	10760.00	10576.89	USD	-1,098.66	
16AUG17	S 1 PD16NOV17	10765.00	10576.89	USD	1,128.66	
23AUG17	B 4 PD16NOV17	11656.00	10576.89	USD	-25,898.64	
	NET -2 AVG BGHT 10933.56 SOLD 10651.50				**-29,566.68**	**0.00**
17AUG17	B 1 PD17NOV17	10790.00	10577.77	USD	-1,273.38	
17AUG17	B 1 PD17NOV17	10805.00	10577.77	USD	-1,363.38	
17AUG17	S 1 PD17NOV17	10785.00	10577.77	USD	1,243.38	
17AUG17	S 1 PD17NOV17	10780.00	10577.77	USD	1,213.38	
17AUG17	S 1 PD17NOV17	10770.00	10577.77	USD	1,153.38	
17AUG17	S 1 PD17NOV17	10765.00	10577.77	USD	1,123.38	
17AUG17	S 1 PD17NOV17	10845.00	10577.77	USD	1,603.38	
17AUG17	S 3 PD17NOV17	10865.00	10577.77	USD	5,170.14	
17AUG17	S 1 PD17NOV17	10815.00	10577.77	USD	1,423.38	
17AUG17	B 1 PD17NOV17	10780.00	10577.77	USD	-1,213.38	
17AUG17	B 1 PD17NOV17	10800.00	10577.77	USD	-1,333.38	
17AUG17	B 1 PD17NOV17	10735.00	10577.77	USD	-943.38	
17AUG17	B 2 PD17NOV17	10750.00	10577.77	USD	-2,066.76	
17AUG17	B 1 PD17NOV17	10760.00	10577.77	USD	-1,093.38	
17AUG17	B 1 PD17NOV17	10680.00	10577.77	USD	-613.38	
18AUG17	S 1 PD17NOV17	10715.00	10577.77	USD	823.38	
18AUG17	B 1 PD17NOV17	10855.00	10577.77	USD	-1,663.38	
18AUG17	B 1 PD17NOV17	10880.00	10577.77	USD	-1,813.38	
18AUG17	B 1 PD17NOV17	10945.00	10577.77	USD	-2,203.38	
18AUG17	B 1 PD17NOV17	10860.00	10577.77	USD	-1,693.38	
18AUG17	S 1 PD17NOV17	10870.00	10577.77	USD	1,753.38	
18AUG17	B 1 PD17NOV17	10960.00	10577.77	USD	-2,293.38	
18AUG17	B 1 PD17NOV17	10970.00	10577.77	USD	-2,353.38	
18AUG17	B 1 PD17NOV17	10980.00	10577.77	USD	-2,413.38	
23AUG17	B 3 PD17NOV17	11656.63	10577.77	USD	-19,419.48	
19SEP17	S 7 PD17NOV17	11115.53	10577.77	USD	22,585.92	
	NET +1 AVG BGHT 10961.57 SOLD 10930.48				**-5,660.46**	**0.00**
21AUG17	S 1 PD21NOV17	11130.00	10581.31	USD	3,292.14	
21AUG17	S 1 PD21NOV17	11195.00	10581.31	USD	3,682.14	
21AUG17	S 1 PD21NOV17	11255.00	10581.31	USD	4,042.14	
21AUG17	S 1 PD21NOV17	11240.00	10581.31	USD	3,952.14	
21AUG17	B 1 PD21NOV17	11295.00	10581.31	USD	-4,282.14	
21AUG17	S 1 PD21NOV17	11285.00	10581.31	USD	4,222.14	
	NET -4 AVG BGHT 11295.00 SOLD 11221.00				**14,908.56**	**0.00**
22AUG17	S 1 PD22NOV17	11270.00	10582.20	USD	4,126.80	
22AUG17	S 1 PD22NOV17	11250.00	10582.20	USD	4,006.80	
22AUG17	B 1 PD22NOV17	11305.00	10582.20	USD	-4,336.80	
22AUG17	S 1 PD22NOV17	11300.00	10582.20	USD	4,306.80	
22AUG17	B 1 PD22NOV17	11390.00	10582.20	USD	-4,846.80	
22AUG17	S 1 PD22NOV17	11395.00	10582.20	USD	4,876.80	
22AUG17	B 1 PD22NOV17	11405.00	10582.20	USD	-4,936.80	
22AUG17	S 1 PD22NOV17	11515.00	10582.20	USD	5,596.80	
22AUG17	S 1 PD22NOV17	11495.00	10582.20	USD	5,476.80	
22AUG17	S 1 PD22NOV17	11485.00	10582.20	USD	5,416.80	
22AUG17	S 1 PD22NOV17	11410.00	10582.20	USD	4,966.80	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094L

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
22AUG17	S 1 PD22NOV17	11430.00	10582.20	USD	5,086.80	
22AUG17	B 1 PD22NOV17	11495.00	10582.20	USD	-5,476.80	
22AUG17	B 1 PD22NOV17	11490.00	10582.20	USD	-5,446.80	
22AUG17	B 1 PD22NOV17	11470.00	10582.20	USD	-5,326.80	
22AUG17	B 1 PD22NOV17	11415.00	10582.20	USD	-4,996.80	
22AUG17	S 1 PD22NOV17	11330.00	10582.20	USD	4,486.80	
22AUG17	S 1 PD22NOV17	11385.00	10582.20	USD	4,816.80	
22AUG17	S 1 PD22NOV17	11400.00	10582.20	USD	4,906.80	
22AUG17	S 1 PD22NOV17	11405.00	10582.20	USD	4,936.80	
19SEP17	B 6 PD22NOV17	11119.35	10582.20	USD	-19,337.40	
	NET 0 AVG BGHT 11283.55 SOLD 11390.00				**8,303.40**	**0.00**
23AUG17	S 1 PD24NOV17	11350.00	10583.97	USD	4,596.18	
23AUG17	B 1 PD24NOV17	11270.00	10583.97	USD	-4,116.18	
23AUG17	B 1 PD24NOV17	11360.00	10583.97	USD	-4,656.18	
23AUG17	B 1 PD24NOV17	11415.00	10583.97	USD	-4,986.18	
23AUG17	S 1 PD24NOV17	11465.00	10583.97	USD	5,286.18	
23AUG17	S 1 PD24NOV17	11500.00	10583.97	USD	5,496.18	
23AUG17	B 1 PD24NOV17	11460.00	10583.97	USD	-5,256.18	
23AUG17	S 1 PD24NOV17	11515.00	10583.97	USD	5,586.18	
23AUG17	S 1 PD24NOV17	11580.00	10583.97	USD	5,976.18	
23AUG17	B 1 PD24NOV17	11530.00	10583.97	USD	-5,676.18	
23AUG17	B 1 PD24NOV17	11585.00	10583.97	USD	-6,006.18	
23AUG17	S 1 PD24NOV17	11685.00	10583.97	USD	6,606.18	
23AUG17	S 1 PD24NOV17	11690.00	10583.97	USD	6,636.18	
23AUG17	B 2 PD24NOV17	11690.00	10583.97	USD	-13,272.36	
23AUG17	S 1 PD24NOV17	11730.00	10583.97	USD	6,876.18	
23AUG17	B 1 PD24NOV17	11720.00	10583.97	USD	-6,816.18	
24AUG17	S 1 PD24NOV17	11720.00	10583.97	USD	6,816.18	
24AUG17	B 1 PD24NOV17	11630.00	10583.97	USD	-6,276.18	
24AUG17	S 1 PD24NOV17	11645.00	10583.97	USD	6,366.18	
24AUG17	S 1 PD24NOV17	11710.00	10583.97	USD	6,756.18	
24AUG17	S 1 PD24NOV17	11680.00	10583.97	USD	6,576.18	
24AUG17	B 1 PD24NOV17	11690.00	10583.97	USD	-6,636.18	
24AUG17	S 1 PD24NOV17	11770.00	10583.97	USD	7,116.18	
24AUG17	B 1 PD24NOV17	11765.00	10583.97	USD	-7,086.18	
25AUG17	B 1 PD24NOV17	11610.00	10583.97	USD	-6,156.18	
25AUG17	B 1 PD24NOV17	11705.00	10583.97	USD	-6,726.18	
25AUG17	B 1 PD24NOV17	11650.00	10583.97	USD	-6,396.18	
25AUG17	S 1 PD24NOV17	11550.00	10583.97	USD	5,796.18	
25AUG17	S 1 PD24NOV17	11435.00	10583.97	USD	5,106.18	
25AUG17	S 1 PD24NOV17	11460.00	10583.97	USD	5,256.18	
25AUG17	B 1 PD24NOV17	11440.00	10583.97	USD	-5,136.18	
	NET 0 AVG BGHT 11575.63 SOLD 11592.81				**1,650.00**	**0.00**
29AUG17	B 1 PD29NOV17	11830.00	10588.40	USD	-7,449.60	
29AUG17	B 3 PD29NOV17	11815.00	10588.40	USD	-22,078.80	
29AUG17	B 2 PD29NOV17	11805.00	10588.40	USD	-14,599.20	
29AUG17	B 2 PD29NOV17	11800.00	10588.40	USD	-14,539.20	
29AUG17	S 1 PD29NOV17	11645.00	10588.40	USD	6,339.60	
29AUG17	B 1 PD29NOV17	11730.00	10588.40	USD	-6,849.60	
29AUG17	S 1 PD29NOV17	11850.00	10588.40	USD	7,569.60	
29AUG17	S 1 PD29NOV17	11855.00	10588.40	USD	7,599.60	
29AUG17	S 1 PD29NOV17	11820.00	10588.40	USD	7,389.60	
29AUG17	S 1 PD29NOV17	11685.00	10588.40	USD	6,579.60	
29AUG17	S 1 PD29NOV17	11720.00	10588.40	USD	6,789.60	
29AUG17	S 1 PD29NOV17	11700.00	10588.40	USD	6,669.60	
29AUG17	S 1 PD29NOV17	11660.00	10588.40	USD	6,429.60	
	NET +1 AVG BGHT 11801.67 SOLD 11741.88				**-10,149.60**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094L

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
30AUG17	S	1	PD30NOV17	11680.00	10589.29	USD	6,544.26	
30AUG17	S	1	PD30NOV17	11685.00	10589.29	USD	6,574.26	
30AUG17	B	1	PD30NOV17	11680.00	10589.29	USD	-6,544.26	
30AUG17	B	1	PD30NOV17	11690.00	10589.29	USD	-6,604.26	
30AUG17	B	1	PD30NOV17	11705.00	10589.29	USD	-6,694.26	
30AUG17	B	1	PD30NOV17	11735.00	10589.29	USD	-6,874.26	
30AUG17	B	1	PD30NOV17	11760.00	10589.29	USD	-7,024.26	
30AUG17	B	1	PD30NOV17	11620.00	10589.29	USD	-6,184.26	
30AUG17	B	1	PD30NOV17	11655.00	10589.29	USD	-6,394.26	
31AUG17	B	1	PD30NOV17	11665.00	10589.29	USD	-6,454.26	
31AUG17	B	1	PD30NOV17	11655.00	10589.29	USD	-6,394.26	
31AUG17	S	1	PD30NOV17	11710.00	10589.29	USD	6,724.26	
31AUG17	B	1	PD30NOV17	11690.00	10589.29	USD	-6,604.26	
31AUG17	S	1	PD30NOV17	11725.00	10589.29	USD	6,814.26	
31AUG17	S	1	PD30NOV17	11775.00	10589.29	USD	7,114.26	
31AUG17	S	1	PD30NOV17	11785.00	10589.29	USD	7,174.26	
31AUG17	S	1	PD30NOV17	11805.00	10589.29	USD	7,294.26	
31AUG17	S	1	PD30NOV17	11820.00	10589.29	USD	7,384.26	
31AUG17	S	2	PD30NOV17	11800.00	10589.29	USD	14,528.52	
31AUG17	B	1	PD30NOV17	11770.00	10589.29	USD	-7,084.26	
31AUG17	B	1	PD30NOV17	11765.00	10589.29	USD	-7,054.26	
	NET	**+2**	**AVG BGHT 11699.17**	**SOLD 11758.50**			**-9,758.52**	**0.00**
01SEP17	B	1	PD01DEC17	11785.00	10590.17	USD	-7,168.98	
01SEP17	S	4	PD01DEC17	12030.00	10590.17	USD	34,555.92	
	NET	**-3**	**AVG BGHT 11785.00**	**SOLD 12030.00**			**27,386.94**	**0.00**
04SEP17	B	1	PD04DEC17	12235.00	10592.83	USD	-9,853.02	
04SEP17	B	1	PD04DEC17	12250.00	10592.83	USD	-9,943.02	
04SEP17	B	1	PD04DEC17	12230.00	10592.83	USD	-9,823.02	
04SEP17	B	1	PD04DEC17	12215.00	10592.83	USD	-9,733.02	
04SEP17	B	1	PD04DEC17	12190.00	10592.83	USD	-9,583.02	
04SEP17	S	1	PD04DEC17	12260.00	10592.83	USD	10,003.02	
04SEP17	S	1	PD04DEC17	12265.00	10592.83	USD	10,033.02	
	NET	**+3**	**AVG BGHT 12224.00**	**SOLD 12262.50**			**-28,899.06**	**0.00**
05SEP17	S	1	PD05DEC17	12265.00	10593.71	USD	10,027.74	
05SEP17	S	1	PD05DEC17	12320.00	10593.71	USD	10,357.74	
05SEP17	S	1	PD05DEC17	12250.00	10593.71	USD	9,937.74	
05SEP17	B	1	PD05DEC17	12230.00	10593.71	USD	-9,817.74	
05SEP17	B	2	PD05DEC17	12210.00	10593.71	USD	-19,395.48	
05SEP17	B	1	PD05DEC17	12165.00	10593.71	USD	-9,427.74	
05SEP17	S	1	PD05DEC17	12235.00	10593.71	USD	9,847.74	
05SEP17	S	1	PD05DEC17	12255.00	10593.71	USD	9,967.74	
05SEP17	S	1	PD05DEC17	12175.00	10593.71	USD	9,487.74	
05SEP17	S	1	PD05DEC17	12080.00	10593.71	USD	8,917.74	
05SEP17	B	1	PD05DEC17	12040.00	10593.71	USD	-8,677.74	
05SEP17	B	1	PD05DEC17	11955.00	10593.71	USD	-8,167.74	
	NET	**-1**	**AVG BGHT 12135.00**	**SOLD 12225.71**			**13,057.74**	**0.00**
06SEP17	B	1	PD06DEC17	12025.00	10594.60	USD	-8,582.40	
06SEP17	B	3	PD06DEC17	12010.00	10594.60	USD	-25,477.20	
06SEP17	B	1	PD06DEC17	12015.00	10594.60	USD	-8,522.40	
06SEP17	B	1	PD06DEC17	12080.00	10594.60	USD	-8,912.40	
06SEP17	B	1	PD06DEC17	12035.00	10594.60	USD	-8,642.40	
06SEP17	B	1	PD06DEC17	12040.00	10594.60	USD	-8,672.40	
06SEP17	B	1	PD06DEC17	12030.00	10594.60	USD	-8,612.40	
06SEP17	B	1	PD06DEC17	12020.00	10594.60	USD	-8,552.40	
06SEP17	S	1	PD06DEC17	12065.00	10594.60	USD	8,822.40	
06SEP17	S	1	PD06DEC17	12070.00	10594.60	USD	8,852.40	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094L

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
06SEP17	S 1 PD06DEC17	12060.00	10594.60	USD	8,792.40	
06SEP17	S 4 PD06DEC17	12075.00	10594.60	USD	35,529.60	
06SEP17	S 1 PD06DEC17	12080.00	10594.60	USD	8,912.40	
06SEP17	S 1 PD06DEC17	12095.00	10594.60	USD	9,002.40	
06SEP17	S 1 PD06DEC17	12110.00	10594.60	USD	9,092.40	
06SEP17	S 1 PD06DEC17	12135.00	10594.60	USD	9,242.40	
06SEP17	S 1 PD06DEC17	12185.00	10594.60	USD	9,542.40	
	NET -2 AVG BGHT 12027.50 SOLD 12091.67				**21,814.80**	**0.00**
07SEP17	B 1 PD07DEC17	12260.00	10595.49	USD	-9,987.06	
07SEP17	B 2 PD07DEC17	12230.00	10595.49	USD	-19,614.12	
07SEP17	S 1 PD07DEC17	12205.00	10595.49	USD	9,657.06	
07SEP17	B 1 PD07DEC17	12190.00	10595.49	USD	-9,567.06	
07SEP17	B 2 PD07DEC17	12185.00	10595.49	USD	-19,074.12	
07SEP17	B 1 PD07DEC17	12180.00	10595.49	USD	-9,507.06	
07SEP17	B 2 PD07DEC17	12150.00	10595.49	USD	-18,654.12	
07SEP17	B 4 PD07DEC17	12110.00	10595.49	USD	-36,348.24	
07SEP17	B 1 PD07DEC17	12055.00	10595.49	USD	-8,757.06	
07SEP17	S 1 PD07DEC17	12140.00	10595.49	USD	9,267.06	
07SEP17	S 1 PD07DEC17	12155.00	10595.49	USD	9,357.06	
07SEP17	S 1 PD07DEC17	12170.00	10595.49	USD	9,447.06	
07SEP17	B 1 PD07DEC17	12200.00	10595.49	USD	-9,627.06	
13SEP17	S 11 PD07DEC17	11355.62	10595.49	USD	50,168.58	
	NET 0 AVG BGHT 12163.67 SOLD 11572.12				**-53,239.08**	**0.00**
08SEP17	S 1 PD08DEC17	12275.00	10596.37	USD	10,071.78	
08SEP17	S 1 PD08DEC17	12285.00	10596.37	USD	10,131.78	
08SEP17	S 1 PD08DEC17	12295.00	10596.37	USD	10,191.78	
08SEP17	B 2 PD08DEC17	12330.00	10596.37	USD	-20,803.56	
08SEP17	B 1 PD08DEC17	12315.00	10596.37	USD	-10,311.78	
08SEP17	S 1 PD08DEC17	12265.00	10596.37	USD	10,011.78	
08SEP17	S 1 PD08DEC17	12180.00	10596.37	USD	9,501.78	
08SEP17	S 1 PD08DEC17	12160.00	10596.37	USD	9,381.78	
08SEP17	S 1 PD08DEC17	12185.00	10596.37	USD	9,531.78	
08SEP17	S 1 PD08DEC17	12095.00	10596.37	USD	8,991.78	
08SEP17	S 1 PD08DEC17	12070.00	10596.37	USD	8,841.78	
08SEP17	S 1 PD08DEC17	12060.00	10596.37	USD	8,781.78	
08SEP17	S 1 PD08DEC17	12055.00	10596.37	USD	8,751.78	
08SEP17	S 1 PD08DEC17	12010.00	10596.37	USD	8,481.78	
08SEP17	S 2 PD08DEC17	11985.00	10596.37	USD	16,663.56	
08SEP17	S 2 PD08DEC17	11955.00	10596.37	USD	16,303.56	
08SEP17	S 3 PD08DEC17	11940.00	10596.37	USD	24,185.34	
08SEP17	S 4 PD08DEC17	11960.00	10596.37	USD	32,727.12	
08SEP17	S 3 PD08DEC17	11965.00	10596.37	USD	24,635.34	
08SEP17	B 1 PD08DEC17	11960.00	10596.37	USD	-8,181.78	
08SEP17	B 1 PD08DEC17	11945.00	10596.37	USD	-8,091.78	
08SEP17	B 2 PD08DEC17	11940.00	10596.37	USD	-16,123.56	
08SEP17	B 1 PD08DEC17	11955.00	10596.37	USD	-8,151.78	
08SEP17	B 1 PD08DEC17	11920.00	10596.37	USD	-7,941.78	
08SEP17	S 1 PD08DEC17	12170.00	10596.37	USD	9,441.78	
08SEP17	S 1 PD08DEC17	11885.00	10596.37	USD	7,731.78	
08SEP17	S 1 PD08DEC17	11865.00	10596.37	USD	7,611.78	
08SEP17	S 1 PD08DEC17	11850.00	10596.37	USD	7,521.78	
08SEP17	S 3 PD08DEC17	11840.00	10596.37	USD	22,385.34	
08SEP17	S 1 PD08DEC17	11835.00	10596.37	USD	7,431.78	
08SEP17	B 2 PD08DEC17	11795.00	10596.37	USD	-14,383.56	
08SEP17	B 1 PD08DEC17	11790.00	10596.37	USD	-7,161.78	
08SEP17	B 1 PD08DEC17	11780.00	10596.37	USD	-7,101.78	
08SEP17	B 2 PD08DEC17	11770.00	10596.37	USD	-14,083.56	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094L

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
08SEP17	B 1 PD08DEC17	11730.00	10596.37	USD	-6,801.78	
08SEP17	B 1 PD08DEC17	11720.00	10596.37	USD	-6,741.78	
08SEP17	B 1 PD08DEC17	11715.00	10596.37	USD	-6,711.78	
08SEP17	B 1 PD08DEC17	11710.00	10596.37	USD	-6,681.78	
08SEP17	S 1 PD08DEC17	11765.00	10596.37	USD	7,011.78	
08SEP17	S 1 PD08DEC17	11760.00	10596.37	USD	6,981.78	
08SEP17	S 1 PD08DEC17	11735.00	10596.37	USD	6,831.78	
08SEP17	B 2 PD08DEC17	11700.00	10596.37	USD	-13,243.56	
08SEP17	B 1 PD08DEC17	11690.00	10596.37	USD	-6,561.78	
08SEP17	B 1 PD08DEC17	11540.00	10596.37	USD	-5,661.78	
08SEP17	S 1 PD08DEC17	11580.00	10596.37	USD	5,901.78	
08SEP17	B 1 PD08DEC17	11570.00	10596.37	USD	-5,841.78	
08SEP17	B 1 PD08DEC17	11565.00	10596.37	USD	-5,811.78	
08SEP17	B 1 PD08DEC17	11505.00	10596.37	USD	-5,451.78	
08SEP17	S 2 PD08DEC17	11565.00	10596.37	USD	11,623.56	
08SEP17	S 1 PD08DEC17	11575.00	10596.37	USD	5,871.78	
08SEP17	S 1 PD08DEC17	11590.00	10596.37	USD	5,961.78	
08SEP17	S 1 PD08DEC17	11570.00	10596.37	USD	5,841.78	
08SEP17	S 1 PD08DEC17	11560.00	10596.37	USD	5,781.78	
13SEP17	B 11 PD08DEC17	11357.46	10596.37	USD	-50,231.94	
19SEP17	B 7 PD08DEC17	11132.59	10596.37	USD	-22,521.24	
	NET 0 AVG BGHT 11598.64 SOLD 11926.36				**86,518.86**	**0.00**
11SEP17	B 4 PD11DEC17	11480.00	10599.03	USD	-21,143.28	
11SEP17	B 1 PD11DEC17	11510.00	10599.03	USD	-5,465.82	
11SEP17	B 1 PD11DEC17	11575.00	10599.03	USD	-5,855.82	
11SEP17	B 2 PD11DEC17	11535.00	10599.03	USD	-11,231.64	
11SEP17	B 1 PD11DEC17	11655.00	10599.03	USD	-6,335.82	
11SEP17	S 1 PD11DEC17	11800.00	10599.03	USD	7,205.82	
11SEP17	S 1 PD11DEC17	11820.00	10599.03	USD	7,325.82	
11SEP17	S 1 PD11DEC17	11830.00	10599.03	USD	7,385.82	
19SEP17	S 6 PD11DEC17	11132.88	10599.03	USD	19,218.60	
	NET 0 AVG BGHT 11525.56 SOLD 11360.81				**-8,896.32**	**0.00**
12SEP17	B 1 PD12DEC17	11675.00	10599.91	USD	-6,450.54	
12SEP17	B 1 PD12DEC17	11665.00	10599.91	USD	-6,390.54	
12SEP17	B 2 PD12DEC17	11670.00	10599.91	USD	-12,841.08	
12SEP17	B 1 PD12DEC17	11705.00	10599.91	USD	-6,630.54	
12SEP17	B 1 PD12DEC17	11695.00	10599.91	USD	-6,570.54	
12SEP17	S 1 PD12DEC17	11695.00	10599.91	USD	6,570.54	
12SEP17	S 1 PD12DEC17	11760.00	10599.91	USD	6,960.54	
12SEP17	S 1 PD12DEC17	11885.00	10599.91	USD	7,710.54	
12SEP17	S 1 PD12DEC17	11890.00	10599.91	USD	7,740.54	
12SEP17	B 1 PD12DEC17	11880.00	10599.91	USD	-7,680.54	
12SEP17	S 2 PD12DEC17	11970.00	10599.91	USD	16,441.08	
12SEP17	B 1 PD12DEC17	11925.00	10599.91	USD	-7,950.54	
12SEP17	B 1 PD12DEC17	11930.00	10599.91	USD	-7,980.54	
12SEP17	B 1 PD12DEC17	11910.00	10599.91	USD	-7,860.54	
	NET +4 AVG BGHT 11772.50 SOLD 11861.67				**-24,932.16**	**0.00**
13SEP17	B 1 PD13DEC17	11930.00	10600.80	USD	-7,975.20	
13SEP17	B 1 PD13DEC17	11795.00	10600.80	USD	-7,165.20	
13SEP17	B 1 PD13DEC17	11575.00	10600.80	USD	-5,845.20	
13SEP17	B 1 PD13DEC17	11555.00	10600.80	USD	-5,725.20	
13SEP17	B 1 PD13DEC17	11595.00	10600.80	USD	-5,965.20	
13SEP17	B 2 PD13DEC17	11580.00	10600.80	USD	-11,750.40	
13SEP17	B 1 PD13DEC17	11455.00	10600.80	USD	-5,125.20	
13SEP17	B 1 PD13DEC17	11445.00	10600.80	USD	-5,065.20	
13SEP17	B 1 PD13DEC17	11405.00	10600.80	USD	-4,825.20	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094L

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
19SEP17	S 10 PD13DEC17	11135.41	10600.80	USD	32,076.60	
	NET 0 AVG BGHT 11591.50 SOLD 11135.41				**-27,365.40**	**0.00**
14SEP17	S 1 PD14DEC17	11435.00	10601.69	USD	4,999.86	
14SEP17	S 3 PD14DEC17	11440.00	10601.69	USD	15,089.58	
14SEP17	S 1 PD14DEC17	11495.00	10601.69	USD	5,359.86	
14SEP17	S 1 PD14DEC17	11460.00	10601.69	USD	5,149.86	
14SEP17	S 1 PD14DEC17	11330.00	10601.69	USD	4,369.86	
14SEP17	B 1 PD14DEC17	11285.00	10601.69	USD	-4,099.86	
14SEP17	B 1 PD14DEC17	11280.00	10601.69	USD	-4,069.86	
14SEP17	B 1 PD14DEC17	11255.00	10601.69	USD	-3,919.86	
14SEP17	B 1 PD14DEC17	11265.00	10601.69	USD	-3,979.86	
14SEP17	B 1 PD14DEC17	11225.00	10601.69	USD	-3,739.86	
14SEP17	B 1 PD14DEC17	11210.00	10601.69	USD	-3,649.86	
14SEP17	B 1 PD14DEC17	11195.00	10601.69	USD	-3,559.86	
14SEP17	B 1 PD14DEC17	11200.00	10601.69	USD	-3,589.86	
14SEP17	S 1 PD14DEC17	11435.00	10601.69	USD	4,999.86	
14SEP17	S 2 PD14DEC17	11275.00	10601.69	USD	8,079.72	
14SEP17	S 3 PD14DEC17	11280.00	10601.69	USD	12,209.58	
14SEP17	S 3 PD14DEC17	11300.00	10601.69	USD	12,569.58	
14SEP17	S 1 PD14DEC17	11225.00	10601.69	USD	3,739.86	
14SEP17	S 2 PD14DEC17	11285.00	10601.69	USD	8,199.72	
14SEP17	S 1 PD14DEC17	11290.00	10601.69	USD	4,129.86	
14SEP17	B 1 PD14DEC17	11260.00	10601.69	USD	-3,949.86	
14SEP17	S 1 PD14DEC17	11285.00	10601.69	USD	4,099.86	
14SEP17	B 1 PD14DEC17	11220.00	10601.69	USD	-3,709.86	
14SEP17	B 1 PD14DEC17	11205.00	10601.69	USD	-3,619.86	
19SEP17	B 10 PD14DEC17	11137.18	10601.69	USD	-32,129.40	
	NET 0 AVG BGHT 11189.13 SOLD 11339.76				**18,979.20**	**0.00**
15SEP17	B 1 PD15DEC17	11225.00	10602.57	USD	-3,734.58	
15SEP17	S 1 PD15DEC17	11260.00	10602.57	USD	3,944.58	
15SEP17	B 1 PD15DEC17	11160.00	10602.57	USD	-3,344.58	
15SEP17	S 1 PD15DEC17	10995.00	10602.57	USD	2,354.58	
15SEP17	S 1 PD15DEC17	10935.00	10602.57	USD	1,994.58	
15SEP17	S 1 PD15DEC17	10940.00	10602.57	USD	2,024.58	
15SEP17	S 1 PD15DEC17	11040.00	10602.57	USD	2,624.58	
15SEP17	S 1 PD15DEC17	11050.00	10602.57	USD	2,684.58	
15SEP17	S 1 PD15DEC17	11000.00	10602.57	USD	2,384.58	
19SEP17	B 5 PD15DEC17	11137.94	10602.57	USD	-16,061.10	
	NET 0 AVG BGHT 11153.53 SOLD 11031.43				**-5,128.20**	**0.00**
18SEP17	B 1 PD18DEC17	11115.00	10605.23	USD	-3,058.62	
18SEP17	B 1 PD18DEC17	11110.00	10605.23	USD	-3,028.62	
18SEP17	B 1 PD18DEC17	11195.00	10605.23	USD	-3,538.62	
18SEP17	S 1 PD18DEC17	11185.00	10605.23	USD	3,478.62	
18SEP17	S 1 PD18DEC17	11180.00	10605.23	USD	3,448.62	
	NET +1 AVG BGHT 11140.00 SOLD 11182.50				**-2,698.62**	**0.00**
19SEP17	B 1 PD19DEC17	11140.00	10606.11	USD	-3,203.34	
19SEP17	B 1 PD19DEC17	11130.00	10606.11	USD	-3,143.34	
19SEP17	B 2 PD19DEC17	11100.00	10606.11	USD	-5,926.68	
19SEP17	B 1 PD19DEC17	11095.00	10606.11	USD	-2,933.34	
19SEP17	B 1 PD19DEC17	11035.00	10606.11	USD	-2,573.34	
19SEP17	S 1 PD19DEC17	11005.00	10606.11	USD	2,393.34	
19SEP17	B 1 PD19DEC17	11110.00	10606.11	USD	-3,023.34	
19SEP17	B 1 PD19DEC17	10965.00	10606.11	USD	-2,153.34	
19SEP17	B 1 PD19DEC17	10980.00	10606.11	USD	-2,243.34	
19SEP17	B 1 PD19DEC17	11005.00	10606.11	USD	-2,393.34	
19SEP17	S 1 PD19DEC17	11210.00	10606.11	USD	3,623.34	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094L

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
19SEP17	S 1 PD19DEC17	11210.00	10606.11	USD	3,623.34	
	NET +7 AVG BGHT 11066.00 SOLD 11141.67				**-17,953.38**	**0.00**
20SEP17	B 2 PD20DEC17	11285.00	10607.00	USD	-8,136.00	
20SEP17	B 1 PD20DEC17	11385.00	10607.00	USD	-4,668.00	
20SEP17	S 1 PD20DEC17	11375.00	10607.00	USD	4,608.00	
20SEP17	S 3 PD20DEC17	11380.00	10607.00	USD	13,914.00	
20SEP17	S 1 PD20DEC17	11355.00	10607.00	USD	4,488.00	
20SEP17	S 1 PD20DEC17	11305.00	10607.00	USD	4,188.00	
20SEP17	S 1 PD20DEC17	11345.00	10607.00	USD	4,428.00	
20SEP17	S 1 PD20DEC17	11350.00	10607.00	USD	4,458.00	
20SEP17	S 3 PD20DEC17	11365.00	10607.00	USD	13,644.00	
	NET -8 AVG BGHT 11318.33 SOLD 11360.45				**36,924.00**	**0.00**
21SEP17	S 1 PD21DEC17	11255.00	10608.00	USD	3,882.00	
21SEP17	B 1 PD21DEC17	11200.00	10608.00	USD	-3,552.00	
21SEP17	B 1 PD21DEC17	11205.00	10608.00	USD	-3,582.00	
21SEP17	B 2 PD21DEC17	11210.00	10608.00	USD	-7,224.00	
21SEP17	S 1 PD21DEC17	11115.00	10608.00	USD	3,042.00	
21SEP17	B 1 PD21DEC17	11120.00	10608.00	USD	-3,072.00	
21SEP17	B 1 PD21DEC17	11035.00	10608.00	USD	-2,562.00	
21SEP17	S 1 PD21DEC17	11035.00	10608.00	USD	2,562.00	
21SEP17	B 2 PD21DEC17	10980.00	10608.00	USD	-4,464.00	
21SEP17	B 1 PD21DEC17	10985.00	10608.00	USD	-2,262.00	
21SEP17	B 1 PD21DEC17	10995.00	10608.00	USD	-2,322.00	
21SEP17	B 3 PD21DEC17	11005.00	10608.00	USD	-7,146.00	
21SEP17	B 1 PD21DEC17	10945.00	10608.00	USD	-2,022.00	
21SEP17	B 2 PD21DEC17	11080.00	10608.00	USD	-5,664.00	
21SEP17	B 2 PD21DEC17	11110.00	10608.00	USD	-6,024.00	
21SEP17	B 2 PD21DEC17	11090.00	10608.00	USD	-5,784.00	
21SEP17	B 2 PD21DEC17	11085.00	10608.00	USD	-5,724.00	
21SEP17	B 1 PD21DEC17	11015.00	10608.00	USD	-2,442.00	
21SEP17	B 1 PD21DEC17	10920.00	10608.00	USD	-1,872.00	
21SEP17	B 1 PD21DEC17	10845.00	10608.00	USD	-1,422.00	
21SEP17	S 1 PD21DEC17	10805.00	10608.00	USD	1,182.00	
21SEP17	S 1 PD21DEC17	10720.00	10608.00	USD	672.00	
21SEP17	S 1 PD21DEC17	10640.00	10608.00	USD	192.00	
21SEP17	S 1 PD21DEC17	10645.00	10608.00	USD	222.00	
21SEP17	S 1 PD21DEC17	10650.00	10608.00	USD	252.00	
21SEP17	S 1 PD21DEC17	10765.00	10608.00	USD	942.00	
21SEP17	S 1 PD21DEC17	10830.00	10608.00	USD	1,332.00	
21SEP17	S 1 PD21DEC17	10750.00	10608.00	USD	852.00	
21SEP17	S 1 PD21DEC17	10725.00	10608.00	USD	702.00	
	NET +13 AVG BGHT 11055.60 SOLD 10827.92				**-51,306.00**	**0.00**
22SEP17	B 1 PD22DEC17	10725.00	10609.00	USD	-696.00	
22SEP17	S 1 PD22DEC17	10775.00	10609.00	USD	996.00	
22SEP17	B 1 PD22DEC17	10795.00	10609.00	USD	-1,116.00	
22SEP17	B 2 PD22DEC17	10770.00	10609.00	USD	-1,932.00	
22SEP17	S 1 PD22DEC17	10695.00	10609.00	USD	516.00	
22SEP17	B 1 PD22DEC17	10655.00	10609.00	USD	-276.00	
22SEP17	S 1 PD22DEC17	10610.00	10609.00	USD	6.00	
22SEP17	S 1 PD22DEC17	10540.00	10609.00	USD	-414.00	
22SEP17	S 3 PD22DEC17	10535.00	10609.00	USD	-1,332.00	
22SEP17	S 1 PD22DEC17	10535.00	10609.00	USD	-444.00	
22SEP17	S 2 PD22DEC17	10585.00	10609.00	USD	-288.00	
22SEP17	B 1 PD22DEC17	10570.00	10609.00	USD	234.00	
22SEP17	B 1 PD22DEC17	10600.00	10609.00	USD	54.00	
22SEP17	B 1 PD22DEC17	10585.00	10609.00	USD	144.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094L

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
22SEP17	B 2 PD22DEC17	10605.00	10609.00	USD	48.00	
22SEP17	B 1 PD22DEC17	10595.00	10609.00	USD	84.00	
22SEP17	B 3 PD22DEC17	10580.00	10609.00	USD	522.00	
22SEP17	B 2 PD22DEC17	10575.00	10609.00	USD	408.00	
22SEP17	B 1 PD22DEC17	10530.00	10609.00	USD	474.00	
22SEP17	B 1 PD22DEC17	10470.00	10609.00	USD	834.00	
22SEP17	B 1 PD22DEC17	10455.00	10609.00	USD	924.00	
22SEP17	B 1 PD22DEC17	10535.00	10609.00	USD	444.00	
	NET +10 AVG BGHT 10607.75 SOLD 10593.00				**-810.00**	**0.00**
25SEP17	S 1 PD27DEC17	10585.00	10613.58	USD	-171.48	
25SEP17	S 1 PD27DEC17	10535.00	10613.58	USD	-471.48	
25SEP17	S 1 PD27DEC17	10625.00	10613.58	USD	68.52	
25SEP17	S 6 PD27DEC17	10620.00	10613.58	USD	231.12	
25SEP17	S 1 PD27DEC17	10520.00	10613.58	USD	-561.48	
25SEP17	B 1 PD27DEC17	10510.00	10613.58	USD	621.48	
25SEP17	B 1 PD27DEC17	10520.00	10613.58	USD	561.48	
25SEP17	B 1 PD27DEC17	10495.00	10613.58	USD	711.48	
25SEP17	B 2 PD27DEC17	10525.00	10613.58	USD	1,062.96	
25SEP17	B 2 PD27DEC17	10530.00	10613.58	USD	1,002.96	
25SEP17	S 1 PD27DEC17	10550.00	10613.58	USD	-381.48	
25SEP17	S 2 PD27DEC17	10560.00	10613.58	USD	-642.96	
25SEP17	S 1 PD27DEC17	10565.00	10613.58	USD	-291.48	
25SEP17	S 1 PD27DEC17	10590.00	10613.58	USD	-141.48	
25SEP17	S 3 PD27DEC17	10570.00	10613.58	USD	-784.44	
25SEP17	S 1 PD27DEC17	10575.00	10613.58	USD	-231.48	
25SEP17	B 1 PD27DEC17	10630.00	10613.58	USD	-98.52	
25SEP17	S 2 PD27DEC17	10595.00	10613.58	USD	-222.96	
25SEP17	B 1 PD27DEC17	10585.00	10613.58	USD	171.48	
25SEP17	S 1 PD27DEC17	10575.00	10613.58	USD	-231.48	
26SEP17	B 1 PD27DEC17	10615.00	10613.58	USD	-8.52	
26SEP17	B 1 PD27DEC17	10630.00	10613.58	USD	-98.52	
26SEP17	S 1 PD27DEC17	10660.00	10613.58	USD	278.52	
26SEP17	S 2 PD27DEC17	10655.00	10613.58	USD	497.04	
26SEP17	S 1 PD27DEC17	10640.00	10613.58	USD	158.52	
26SEP17	S 1 PD27DEC17	10620.00	10613.58	USD	38.52	
26SEP17	B 1 PD27DEC17	10490.00	10613.58	USD	741.48	
26SEP17	B 1 PD27DEC17	10480.00	10613.58	USD	801.48	
26SEP17	B 2 PD27DEC17	10475.00	10613.58	USD	1,662.96	
26SEP17	B 1 PD27DEC17	10465.00	10613.58	USD	891.48	
26SEP17	B 1 PD27DEC17	10445.00	10613.58	USD	1,011.48	
26SEP17	B 2 PD27DEC17	10450.00	10613.58	USD	1,962.96	
26SEP17	B 1 PD27DEC17	10415.00	10613.58	USD	1,191.48	
26SEP17	B 2 PD27DEC17	10505.00	10613.58	USD	1,302.96	
26SEP17	B 3 PD27DEC17	10500.00	10613.58	USD	2,044.44	
26SEP17	B 3 PD27DEC17	10495.00	10613.58	USD	2,134.44	
27SEP17	B 1 PD27DEC17	10500.00	10613.58	USD	681.48	
27SEP17	S 2 PD27DEC17	10485.00	10613.58	USD	-1,542.96	
27SEP17	S 1 PD27DEC17	10450.00	10613.58	USD	-981.48	
27SEP17	S 1 PD27DEC17	10445.00	10613.58	USD	-1,011.48	
27SEP17	S 1 PD27DEC17	10460.00	10613.58	USD	-921.48	
27SEP17	S 1 PD27DEC17	10475.00	10613.58	USD	-831.48	
27SEP17	B 1 PD27DEC17	10475.00	10613.58	USD	831.48	
27SEP17	S 1 PD27DEC17	10490.00	10613.58	USD	-741.48	
27SEP17	S 2 PD27DEC17	10505.00	10613.58	USD	-1,302.96	
27SEP17	S 1 PD27DEC17	10515.00	10613.58	USD	-591.48	
27SEP17	S 1 PD27DEC17	10520.00	10613.58	USD	-561.48	
27SEP17	S 1 PD27DEC17	10560.00	10613.58	USD	-321.48	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094L

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
27SEP17	S 1 PD27DEC17	10575.00	10613.58	USD	-231.48	
27SEP17	S 1 PD27DEC17	10590.00	10613.58	USD	-141.48	
27SEP17	S 1 PD27DEC17	10595.00	10613.58	USD	-111.48	
27SEP17	S 1 PD27DEC17	10480.00	10613.58	USD	-801.48	
27SEP17	S 1 PD27DEC17	10485.00	10613.58	USD	-771.48	
27SEP17	S 1 PD27DEC17	10530.00	10613.58	USD	-501.48	
27SEP17	S 1 PD27DEC17	10535.00	10613.58	USD	-471.48	
27SEP17	S 2 PD27DEC17	10550.00	10613.58	USD	-762.96	
27SEP17	B 2 PD27DEC17	10490.00	10613.58	USD	1,482.96	
27SEP17	B 1 PD27DEC17	10460.00	10613.58	USD	921.48	
27SEP17	S 1 PD27DEC17	10410.00	10613.58	USD	-1,221.48	
27SEP17	S 1 PD27DEC17	10380.00	10613.58	USD	-1,401.48	
27SEP17	S 1 PD27DEC17	10375.00	10613.58	USD	-1,431.48	
27SEP17	S 1 PD27DEC17	10365.00	10613.58	USD	-1,491.48	
27SEP17	B 1 PD27DEC17	10350.00	10613.58	USD	1,581.48	
27SEP17	B 2 PD27DEC17	10360.00	10613.58	USD	3,042.96	
27SEP17	S 1 PD27DEC17	10340.00	10613.58	USD	-1,641.48	
27SEP17	S 1 PD27DEC17	10325.00	10613.58	USD	-1,731.48	
27SEP17	B 1 PD27DEC17	10390.00	10613.58	USD	1,341.48	
27SEP17	B 1 PD27DEC17	10395.00	10613.58	USD	1,311.48	
27SEP17	S 1 PD27DEC17	10560.00	10613.58	USD	-321.48	
27SEP17	S 1 PD27DEC17	10300.00	10613.58	USD	-1,881.48	
27SEP17	B 1 PD27DEC17	10315.00	10613.58	USD	1,791.48	
27SEP17	S 1 PD27DEC17	10290.00	10613.58	USD	-1,941.48	
27SEP17	S 1 PD27DEC17	10270.00	10613.58	USD	-2,061.48	
27SEP17	S 2 PD27DEC17	10265.00	10613.58	USD	-4,182.96	
27SEP17	B 1 PD27DEC17	10220.00	10613.58	USD	2,361.48	
27SEP17	B 1 PD27DEC17	10235.00	10613.58	USD	2,271.48	
27SEP17	B 1 PD27DEC17	10225.00	10613.58	USD	2,331.48	
27SEP17	B 1 PD27DEC17	10230.00	10613.58	USD	2,301.48	
27SEP17	S 1 PD27DEC17	10255.00	10613.58	USD	-2,151.48	
27SEP17	B 1 PD27DEC17	10370.00	10613.58	USD	1,461.48	
27SEP17	B 2 PD27DEC17	10365.00	10613.58	USD	2,982.96	
27SEP17	B 1 PD27DEC17	10345.00	10613.58	USD	1,611.48	
	NET -14 AVG BGHT 10450.53 SOLD 10512.70				**9,059.28**	**0.00**
28SEP17	B 1 PD28DEC17	10300.00	10614.50	USD	1,887.00	
28SEP17	S 1 PD28DEC17	10280.00	10614.50	USD	-2,007.00	
28SEP17	B 1 PD28DEC17	10330.00	10614.50	USD	1,707.00	
28SEP17	B 1 PD28DEC17	10350.00	10614.50	USD	1,587.00	
28SEP17	B 1 PD28DEC17	10280.00	10614.50	USD	2,007.00	
28SEP17	B 2 PD28DEC17	10285.00	10614.50	USD	3,954.00	
28SEP17	S 2 PD28DEC17	10235.00	10614.50	USD	-4,554.00	
28SEP17	S 3 PD28DEC17	10255.00	10614.50	USD	-6,471.00	
28SEP17	S 2 PD28DEC17	10260.00	10614.50	USD	-4,254.00	
28SEP17	B 2 PD28DEC17	10290.00	10614.50	USD	3,894.00	
28SEP17	B 1 PD28DEC17	10325.00	10614.50	USD	1,737.00	
28SEP17	B 3 PD28DEC17	10310.00	10614.50	USD	5,481.00	
28SEP17	B 2 PD28DEC17	10335.00	10614.50	USD	3,354.00	
28SEP17	B 1 PD28DEC17	10445.00	10614.50	USD	1,017.00	
	NET +7 AVG BGHT 10318.67 SOLD 10254.38				**9,339.00**	**0.00**
29SEP17	S 2 PD29DEC17	10515.00	10615.42	USD	-1,205.04	
29SEP17	S 1 PD29DEC17	10485.00	10615.42	USD	-782.52	
29SEP17	S 3 PD29DEC17	10475.00	10615.42	USD	-2,527.56	
29SEP17	S 3 PD29DEC17	10480.00	10615.42	USD	-2,437.56	
29SEP17	S 1 PD29DEC17	10470.00	10615.42	USD	-872.52	
29SEP17	B 1 PD29DEC17	10450.00	10615.42	USD	992.52	
29SEP17	B 1 PD29DEC17	10445.00	10615.42	USD	1,022.52	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094L

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
29SEP17	B 1 PD29DEC17	10435.00	10615.42	USD	1,082.52	
29SEP17	B 1 PD29DEC17	10430.00	10615.42	USD	1,112.52	
29SEP17	B 1 PD29DEC17	10465.00	10615.42	USD	902.52	
29SEP17	B 1 PD29DEC17	10485.00	10615.42	USD	782.52	
29SEP17	B 1 PD29DEC17	10475.00	10615.42	USD	842.52	
29SEP17	B 1 PD29DEC17	10480.00	10615.42	USD	812.52	
29SEP17	B 2 PD29DEC17	10490.00	10615.42	USD	1,505.04	
29SEP17	B 2 PD29DEC17	10470.00	10615.42	USD	1,745.04	
	NET +2 AVG BGHT 10465.42 SOLD 10485.00				**2,975.04**	**0.00**
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
11JUL17	S 1 PD11OCT17	19850.00	20946.50	USD	-5,482.50	
11JUL17	B 1 PD11OCT17	19845.00	20946.50	USD	5,507.50	
	NET 0 AVG BGHT 19845.00 SOLD 19850.00				**25.00**	**0.00**
20JUL17	S 1 PD20OCT17	20090.00	20924.00	USD	-4,170.00	
20JUL17	S 1 PD20OCT17	20105.00	20924.00	USD	-4,095.00	
20JUL17	B 1 PD20OCT17	20095.00	20924.00	USD	4,145.00	
21JUL17	S 1 PD20OCT17	20150.00	20924.00	USD	-3,870.00	
08AUG17	B 2 PD20OCT17	20225.60	20924.00	USD	6,984.00	
09AUG17	S 1 PD20OCT17	20226.88	20924.00	USD	-3,485.60	
13SEP17	B 1 PD20OCT17	20567.50	20924.00	USD	1,782.50	
	NET 0 AVG BGHT 20278.43 SOLD 20142.97				**-2,709.10**	**0.00**
24JUL17	B 2 PD24OCT17	20250.00	20914.00	USD	6,640.00	
24JUL17	B 1 PD24OCT17	20155.00	20914.00	USD	3,795.00	
24JUL17	B 1 PD24OCT17	20075.00	20914.00	USD	4,195.00	
24JUL17	B 1 PD24OCT17	20220.00	20914.00	USD	3,470.00	
08AUG17	S 4 PD24OCT17	20223.20	20914.00	USD	-13,816.00	
08AUG17	S 2 PD24OCT17	20222.20	20914.00	USD	-6,918.00	
09AUG17	B 1 PD24OCT17	20224.75	20914.00	USD	3,446.25	
09AUG17	B 3 PD24OCT17	20223.75	20914.00	USD	10,353.75	
13SEP17	S 1 PD24OCT17	20564.00	20914.00	USD	-1,750.00	
13SEP17	S 2 PD24OCT17	20565.00	20914.00	USD	-3,490.00	
	NET 0 AVG BGHT 20205.11 SOLD 20336.80				**5,926.00**	**0.00**
25JUL17	B 1 PD25OCT17	20185.00	20909.00	USD	3,620.00	
25JUL17	S 1 PD25OCT17	20330.00	20909.00	USD	-2,895.00	
25JUL17	B 1 PD25OCT17	20340.00	20909.00	USD	2,845.00	
13SEP17	S 1 PD25OCT17	20563.75	20909.00	USD	-1,726.25	
	NET 0 AVG BGHT 20262.50 SOLD 20446.88				**1,843.75**	**0.00**
26JUL17	S 1 PD26OCT17	20285.00	20904.00	USD	-3,095.00	
26JUL17	S 2 PD26OCT17	20325.00	20904.00	USD	-5,790.00	
26JUL17	B 1 PD26OCT17	20225.00	20904.00	USD	3,395.00	
26JUL17	S 1 PD26OCT17	20300.00	20904.00	USD	-3,020.00	
26JUL17	S 1 PD26OCT17	20315.00	20904.00	USD	-2,945.00	
26JUL17	S 1 PD26OCT17	20310.00	20904.00	USD	-2,970.00	
26JUL17	B 1 PD26OCT17	20370.00	20904.00	USD	2,670.00	
26JUL17	B 1 PD26OCT17	20375.00	20904.00	USD	2,645.00	
26JUL17	B 2 PD26OCT17	20370.00	20904.00	USD	5,340.00	
26JUL17	S 1 PD26OCT17	20360.00	20904.00	USD	-2,720.00	
26JUL17	B 1 PD26OCT17	20365.00	20904.00	USD	2,695.00	
26JUL17	S 1 PD26OCT17	20345.00	20904.00	USD	-2,795.00	
13SEP17	B 1 PD26OCT17	20562.50	20904.00	USD	1,707.50	
13SEP17	B 1 PD26OCT17	20563.50	20904.00	USD	1,702.50	
	NET 0 AVG BGHT 20400.13 SOLD 20320.63				**-3,180.00**	**0.00**
27JUL17	B 2 PD27OCT17	20400.00	20899.00	USD	4,990.00	
27JUL17	S 1 PD27OCT17	20740.00	20899.00	USD	-795.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094L

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
27JUL17	S 1 PD27OCT17	20750.00	20899.00	USD	-745.00	
28JUL17	S 1 PD27OCT17	20740.00	20899.00	USD	-795.00	
28JUL17	S 1 PD27OCT17	20695.00	20899.00	USD	-1,020.00	
28JUL17	S 1 PD27OCT17	20755.00	20899.00	USD	-720.00	
28JUL17	B 1 PD27OCT17	20755.00	20899.00	USD	720.00	
28JUL17	S 1 PD27OCT17	20820.00	20899.00	USD	-395.00	
28JUL17	S 1 PD27OCT17	20855.00	20899.00	USD	-220.00	
28JUL17	B 2 PD27OCT17	20700.00	20899.00	USD	1,990.00	
28JUL17	B 1 PD27OCT17	20625.00	20899.00	USD	1,370.00	
28JUL17	S 1 PD27OCT17	20635.00	20899.00	USD	-1,320.00	
08AUG17	B 4 PD27OCT17	20220.60	20899.00	USD	13,568.00	
09AUG17	S 3 PD27OCT17	20218.06	20899.00	USD	-10,214.10	
13SEP17	B 1 PD27OCT17	20561.25	20899.00	USD	1,688.75	
	NET 0 AVG BGHT 20456.70 SOLD 20604.02				**8,102.65**	**0.00**
31JUL17	S 1 PD31OCT17	20845.00	20889.00	USD	-220.00	
31JUL17	S 1 PD31OCT17	20835.00	20889.00	USD	-270.00	
31JUL17	B 2 PD31OCT17	20635.00	20889.00	USD	2,540.00	
	NET 0 AVG BGHT 20635.00 SOLD 20840.00				**2,050.00**	**0.00**
01AUG17	S 1 PD01NOV17	20630.00	20884.00	USD	-1,270.00	
01AUG17	S 1 PD01NOV17	20635.00	20884.00	USD	-1,245.00	
01AUG17	B 1 PD01NOV17	20485.00	20884.00	USD	1,995.00	
01AUG17	B 1 PD01NOV17	20380.00	20884.00	USD	2,520.00	
01AUG17	B 2 PD01NOV17	20500.00	20884.00	USD	3,840.00	
01AUG17	S 1 PD01NOV17	20490.00	20884.00	USD	-1,970.00	
01AUG17	S 1 PD01NOV17	20525.00	20884.00	USD	-1,795.00	
	NET 0 AVG BGHT 20466.25 SOLD 20570.00				**2,075.00**	**0.00**
03AUG17	S 1 PD03NOV17	20625.00	20881.20	USD	-1,281.00	
03AUG17	B 1 PD03NOV17	20650.00	20881.20	USD	1,156.00	
	NET 0 AVG BGHT 20650.00 SOLD 20625.00				**-125.00**	**0.00**
07AUG17	B 1 PD07NOV17	20600.00	20878.40	USD	1,392.00	
07AUG17	B 1 PD07NOV17	20605.00	20878.40	USD	1,367.00	
23AUG17	S 1 PD07NOV17	20566.92	20878.40	USD	-1,557.40	
13SEP17	S 1 PD07NOV17	20552.50	20878.40	USD	-1,629.50	
	NET 0 AVG BGHT 20602.50 SOLD 20559.71				**-427.90**	**0.00**
08AUG17	B 1 PD08NOV17	20350.00	20877.00	USD	2,635.00	
08AUG17	B 1 PD08NOV17	20240.00	20877.00	USD	3,185.00	
08AUG17	B 1 PD08NOV17	20340.00	20877.00	USD	2,685.00	
08AUG17	S 1 PD08NOV17	20210.00	20877.00	USD	-3,335.00	
23AUG17	S 1 PD08NOV17	20565.77	20877.00	USD	-1,556.15	
13SEP17	S 1 PD08NOV17	20551.25	20877.00	USD	-1,628.75	
	NET 0 AVG BGHT 20310.00 SOLD 20442.34				**1,985.10**	**0.00**
09AUG17	B 1 PD09NOV17	20370.00	20875.60	USD	2,528.00	
13SEP17	S 1 PD09NOV17	20550.00	20875.60	USD	-1,628.00	
	NET 0 AVG BGHT 20370.00 SOLD 20550.00				**900.00**	**0.00**
10AUG17	B 1 PD10NOV17	20300.00	20874.20	USD	2,871.00	
10AUG17	S 1 PD10NOV17	20315.00	20874.20	USD	-2,796.00	
10AUG17	S 1 PD10NOV17	20325.00	20874.20	USD	-2,746.00	
11AUG17	S 1 PD10NOV17	20185.00	20874.20	USD	-3,446.00	
11AUG17	S 1 PD10NOV17	20210.00	20874.20	USD	-3,321.00	
23AUG17	B 1 PD10NOV17	20564.46	20874.20	USD	1,548.70	
13SEP17	B 2 PD10NOV17	20549.75	20874.20	USD	3,244.50	
	NET 0 AVG BGHT 20490.99 SOLD 20258.75				**-4,644.80**	**0.00**
14AUG17	S 1 PD14NOV17	20260.00	20871.40	USD	-3,057.00	
13SEP17	B 1 PD14NOV17	20546.25	20871.40	USD	1,625.75	
	NET 0 AVG BGHT 20546.25 SOLD 20260.00				**-1,431.25**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094L

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
15AUG17	S 1 PD15NOV17	20350.00	20870.00	USD	-2,600.00	
15AUG17	B 1 PD15NOV17	20210.00	20870.00	USD	3,300.00	
15AUG17	B 1 PD15NOV17	19800.00	20870.00	USD	5,350.00	
15AUG17	B 1 PD15NOV17	20010.00	20870.00	USD	4,300.00	
15AUG17	S 1 PD15NOV17	19980.00	20870.00	USD	-4,450.00	
15AUG17	B 1 PD15NOV17	19970.00	20870.00	USD	4,500.00	
13SEP17	S 1 PD15NOV17	20544.00	20870.00	USD	-1,630.00	
13SEP17	S 1 PD15NOV17	20545.00	20870.00	USD	-1,625.00	
	NET 0 AVG BGHT 19997.50 SOLD 20354.75				**7,145.00**	**0.00**
16AUG17	S 1 PD16NOV17	19940.00	20868.75	USD	-4,643.75	
16AUG17	B 1 PD16NOV17	19985.00	20868.75	USD	4,418.75	
	NET 0 AVG BGHT 19985.00 SOLD 19940.00				**-225.00**	**0.00**
17AUG17	S 1 PD17NOV17	20155.00	20867.50	USD	-3,562.50	
17AUG17	S 1 PD17NOV17	20200.00	20867.50	USD	-3,337.50	
18AUG17	S 1 PD17NOV17	20350.00	20867.50	USD	-2,587.50	
18AUG17	B 1 PD17NOV17	20160.00	20867.50	USD	3,537.50	
23AUG17	B 1 PD17NOV17	20549.33	20867.50	USD	1,590.85	
13SEP17	B 1 PD17NOV17	20542.84	20867.50	USD	1,623.30	
	NET 0 AVG BGHT 20417.39 SOLD 20235.00				**-2,735.85**	**0.00**
21AUG17	S 1 PD21NOV17	20400.00	20865.00	USD	-2,325.00	
13SEP17	B 1 PD21NOV17	20539.68	20865.00	USD	1,626.60	
	NET 0 AVG BGHT 20539.68 SOLD 20400.00				**-698.40**	**0.00**
22AUG17	S 1 PD22NOV17	20425.00	20863.75	USD	-2,193.75	
13SEP17	B 1 PD22NOV17	20538.11	20863.75	USD	1,628.20	
	NET 0 AVG BGHT 20538.11 SOLD 20425.00				**-565.55**	**0.00**
24AUG17	B 1 PD24NOV17	20465.00	20862.50	USD	1,987.50	
25AUG17	S 1 PD24NOV17	20375.00	20862.50	USD	-2,437.50	
25AUG17	B 1 PD24NOV17	20300.00	20862.50	USD	2,812.50	
13SEP17	S 1 PD24NOV17	20534.53	20862.50	USD	-1,639.85	
	NET 0 AVG BGHT 20382.50 SOLD 20454.77				**722.65**	**0.00**
29AUG17	B 1 PD29NOV17	20525.00	20858.75	USD	1,668.75	
13SEP17	S 1 PD29NOV17	20529.79	20858.75	USD	-1,644.80	
	NET 0 AVG BGHT 20525.00 SOLD 20529.79				**23.95**	**0.00**
30AUG17	S 1 PD30NOV17	20570.00	20857.50	USD	-1,437.50	
	NET -1 AVG SOLD 20570.00				**-1,437.50**	**0.00**
01SEP17	B 1 PD01DEC17	20685.00	20856.25	USD	856.25	
13SEP17	S 1 PD01DEC17	20527.63	20856.25	USD	-1,643.10	
	NET 0 AVG BGHT 20685.00 SOLD 20527.63				**-786.85**	**0.00**
05SEP17	S 1 PD05DEC17	20740.00	20853.75	USD	-568.75	
13SEP17	B 1 PD05DEC17	20525.47	20853.75	USD	1,641.40	
	NET 0 AVG BGHT 20525.47 SOLD 20740.00				**1,072.65**	**0.00**
06SEP17	S 1 PD06DEC17	20650.00	20852.50	USD	-1,012.50	
06SEP17	S 1 PD06DEC17	20760.00	20852.50	USD	-462.50	
13SEP17	B 1 PD06DEC17	20522.89	20852.50	USD	1,648.05	
13SEP17	B 1 PD06DEC17	20523.89	20852.50	USD	1,643.05	
	NET 0 AVG BGHT 20523.39 SOLD 20705.00				**1,816.10**	**0.00**
07SEP17	B 1 PD07DEC17	20725.00	20851.25	USD	631.25	
13SEP17	S 1 PD07DEC17	20520.32	20851.25	USD	-1,654.65	
	NET 0 AVG BGHT 20725.00 SOLD 20520.32				**-1,023.40**	**0.00**
08SEP17	B 1 PD08DEC17	20750.00	20850.00	USD	500.00	
08SEP17	S 1 PD08DEC17	20520.00	20850.00	USD	-1,650.00	
	NET 0 AVG BGHT 20750.00 SOLD 20520.00				**-1,150.00**	**0.00**
12SEP17	S 1 PD12DEC17	20675.00	20847.50	USD	-862.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094L

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
12SEP17	B 1 PD12DEC17	20700.00	20847.50	USD	737.50	
	NET 0 AVG BGHT 20700.00 SOLD 20675.00				**-125.00**	**0.00**
14SEP17	B 1 PD14DEC17	20675.00	20845.00	USD	850.00	
14SEP17	S 1 PD14DEC17	20650.00	20845.00	USD	-975.00	
14SEP17	B 1 PD14DEC17	20620.00	20845.00	USD	1,125.00	
	NET +1 AVG BGHT 20647.50 SOLD 20650.00				**1,000.00**	**0.00**
15SEP17	S 1 PD15DEC17	20555.00	20843.75	USD	-1,443.75	
15SEP17	S 1 PD15DEC17	20505.00	20843.75	USD	-1,693.75	
15SEP17	B 1 PD15DEC17	20430.00	20843.75	USD	2,068.75	
	NET -1 AVG BGHT 20430.00 SOLD 20530.00				**-1,068.75**	**0.00**
18SEP17	S 1 PD18DEC17	20640.00	20842.50	USD	-1,012.50	
	NET -1 AVG SOLD 20640.00				**-1,012.50**	**0.00**
19SEP17	B 1 PD19DEC17	20700.00	20841.25	USD	706.25	
	NET +1 AVG BGHT 20700.00				**706.25**	**0.00**
20SEP17	B 1 PD20DEC17	20765.00	20840.00	USD	375.00	
	NET +1 AVG BGHT 20765.00				**375.00**	**0.00**
21SEP17	S 1 PD21DEC17	20575.00	20833.40	USD	-1,292.00	
21SEP17	B 1 PD21DEC17	20420.00	20833.40	USD	2,067.00	
21SEP17	S 1 PD21DEC17	20390.00	20833.40	USD	-2,217.00	
	NET -1 AVG BGHT 20420.00 SOLD 20482.50				**-1,442.00**	**0.00**
22SEP17	S 1 PD22DEC17	20470.00	20826.80	USD	-1,784.00	
22SEP17	B 1 PD22DEC17	20450.00	20826.80	USD	1,884.00	
	NET 0 AVG BGHT 20450.00 SOLD 20470.00				**100.00**	**0.00**
28SEP17	S 1 PD28DEC17	20620.00	20813.60	USD	-968.00	
28SEP17	B 1 PD28DEC17	20620.00	20813.60	USD	968.00	
	NET 0 AVG BGHT 20620.00 SOLD 20620.00				**0.00**	**0.00**
29SEP17	S 1 PD29DEC17	20695.00	20807.00	USD	-560.00	
	NET -1 AVG SOLD 20695.00				**-560.00**	**0.00**
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
11JUL17	B 1 PD11OCT17	2817.50	3304.13	USD	12,165.75	
11JUL17	S 1 PD11OCT17	2823.00	3304.13	USD	-12,028.25	
	NET 0 AVG BGHT 2817.50 SOLD 2823.00				**137.50**	**0.00**
12JUL17	S 1 PD12OCT17	2847.50	3301.00	USD	-11,337.50	
13SEP17	B 1 PD12OCT17	3019.05	3301.00	USD	7,048.75	
	NET 0 AVG BGHT 3019.05 SOLD 2847.50				**-4,288.75**	**0.00**
14JUL17	B 1 PD13OCT17	2795.00	3297.88	USD	12,572.00	
13SEP17	S 1 PD13OCT17	3018.88	3297.88	USD	-6,975.00	
	NET 0 AVG BGHT 2795.00 SOLD 3018.88				**5,597.00**	**0.00**
17JUL17	B 1 PD17OCT17	2818.00	3291.63	USD	11,840.75	
17JUL17	S 1 PD17OCT17	2805.50	3291.63	USD	-12,153.25	
17JUL17	S 1 PD17OCT17	2816.50	3291.63	USD	-11,878.25	
17JUL17	B 1 PD17OCT17	2836.50	3291.63	USD	11,378.25	
17JUL17	S 1 PD17OCT17	2839.00	3291.63	USD	-11,315.75	
13SEP17	B 1 PD17OCT17	3019.22	3291.63	USD	6,810.25	
	NET 0 AVG BGHT 2891.24 SOLD 2820.33				**-5,318.00**	**0.00**
18JUL17	B 1 PD18OCT17	2781.50	3288.50	USD	12,675.00	
18JUL17	S 1 PD18OCT17	2789.00	3288.50	USD	-12,487.50	
18JUL17	B 1 PD18OCT17	2784.00	3288.50	USD	12,612.50	
13SEP17	S 1 PD18OCT17	3019.25	3288.50	USD	-6,731.25	
	NET 0 AVG BGHT 2782.75 SOLD 2904.13				**6,068.75**	**0.00**
19JUL17	S 1 PD19OCT17	2779.00	3285.50	USD	-12,662.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094L

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
19JUL17	B 1 PD19OCT17	2790.50	3285.50	USD	12,375.00	
19JUL17	S 1 PD19OCT17	2791.00	3285.50	USD	-12,362.50	
19JUL17	B 1 PD19OCT17	2775.50	3285.50	USD	12,750.00	
19JUL17	S 1 PD19OCT17	2772.00	3285.50	USD	-12,837.50	
19JUL17	B 1 PD19OCT17	2738.00	3285.50	USD	13,687.50	
	NET 0 AVG BGHT 2768.00 SOLD 2780.67				**950.00**	**0.00**
20JUL17	B 1 PD20OCT17	2731.00	3282.50	USD	13,787.50	
21JUL17	S 1 PD20OCT17	2773.50	3282.50	USD	-12,725.00	
21JUL17	S 1 PD20OCT17	2773.00	3282.50	USD	-12,737.50	
21JUL17	B 1 PD20OCT17	2743.00	3282.50	USD	13,487.50	
	NET 0 AVG BGHT 2737.00 SOLD 2773.25				**1,812.50**	**0.00**
24JUL17	B 1 PD24OCT17	2759.50	3280.50	USD	13,025.00	
24JUL17	S 1 PD24OCT17	2780.50	3280.50	USD	-12,500.00	
24JUL17	B 1 PD24OCT17	2776.50	3280.50	USD	12,600.00	
13SEP17	S 1 PD24OCT17	3018.23	3280.50	USD	-6,556.75	
	NET 0 AVG BGHT 2768.00 SOLD 2899.37				**6,568.25**	**0.00**
25JUL17	B 1 PD25OCT17	2786.50	3279.50	USD	12,325.00	
25JUL17	S 1 PD25OCT17	2805.50	3279.50	USD	-11,850.00	
25JUL17	S 1 PD25OCT17	2804.00	3279.50	USD	-11,887.50	
25JUL17	S 1 PD25OCT17	2800.50	3279.50	USD	-11,975.00	
25JUL17	B 1 PD25OCT17	2833.50	3279.50	USD	11,150.00	
25JUL17	B 1 PD25OCT17	2834.00	3279.50	USD	11,137.50	
25JUL17	S 1 PD25OCT17	2818.50	3279.50	USD	-11,525.00	
25JUL17	S 1 PD25OCT17	2822.50	3279.50	USD	-11,425.00	
25JUL17	B 1 PD25OCT17	2839.00	3279.50	USD	11,012.50	
13SEP17	B 1 PD25OCT17	3018.55	3279.50	USD	6,523.75	
	NET 0 AVG BGHT 2862.31 SOLD 2810.20				**-6,513.75**	**0.00**
26JUL17	B 1 PD26OCT17	2812.00	3278.50	USD	11,662.50	
26JUL17	B 1 PD26OCT17	2811.00	3278.50	USD	11,687.50	
08AUG17	S 2 PD26OCT17	2924.00	3278.50	USD	-17,725.00	
09AUG17	B 1 PD26OCT17	2929.75	3278.50	USD	8,718.75	
13SEP17	S 1 PD26OCT17	3018.47	3278.50	USD	-6,500.75	
	NET 0 AVG BGHT 2850.92 SOLD 2955.49				**7,843.00**	**0.00**
27JUL17	S 1 PD27OCT17	2822.00	3277.50	USD	-11,387.50	
28JUL17	S 1 PD27OCT17	2805.00	3277.50	USD	-11,812.50	
28JUL17	S 1 PD27OCT17	2804.00	3277.50	USD	-11,837.50	
28JUL17	B 1 PD27OCT17	2780.50	3277.50	USD	12,425.00	
28JUL17	B 1 PD27OCT17	2781.50	3277.50	USD	12,400.00	
28JUL17	B 1 PD27OCT17	2780.00	3277.50	USD	12,437.50	
	NET 0 AVG BGHT 2780.67 SOLD 2810.33				**2,225.00**	**0.00**
01AUG17	S 1 PD01NOV17	2778.00	3274.50	USD	-12,412.50	
01AUG17	S 1 PD01NOV17	2776.50	3274.50	USD	-12,450.00	
08AUG17	B 2 PD01NOV17	2924.37	3274.50	USD	17,506.50	
09AUG17	S 1 PD01NOV17	2929.74	3274.50	USD	-8,619.00	
13SEP17	B 1 PD01NOV17	3019.19	3274.50	USD	6,382.75	
	NET 0 AVG BGHT 2955.98 SOLD 2828.08				**-9,592.25**	**0.00**
03AUG17	B 1 PD03NOV17	2794.50	3272.00	USD	11,937.50	
03AUG17	B 1 PD03NOV17	2794.00	3272.00	USD	11,950.00	
04AUG17	S 1 PD03NOV17	2816.00	3272.00	USD	-11,400.00	
07SEP17	S 1 PD03NOV17	3125.97	3272.00	USD	-3,650.75	
	NET 0 AVG BGHT 2794.25 SOLD 2970.99				**8,836.75**	**0.00**
07AUG17	B 1 PD07NOV17	2792.00	3272.00	USD	12,000.00	
07AUG17	S 1 PD07NOV17	2879.50	3272.00	USD	-9,812.50	
07AUG17	B 1 PD07NOV17	2866.00	3272.00	USD	10,150.00	
23AUG17	S 1 PD07NOV17	3096.08	3272.00	USD	-4,398.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094L

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT								
	NET	**0**	**AVG BGHT 2829.00**	**SOLD 2987.79**				**7,939.50**	**0.00**
08AUG17	S	1	PD08NOV17		2881.50	3272.00	USD	-9,762.50	
08AUG17	B	1	PD08NOV17		2909.50	3272.00	USD	9,062.50	
08AUG17	B	1	PD08NOV17		2929.00	3272.00	USD	8,575.00	
13SEP17	S	1	PD08NOV17		3020.03	3272.00	USD	-6,299.25	
	NET	**0**	**AVG BGHT 2919.25**	**SOLD 2950.77**				**1,575.75**	**0.00**
09AUG17	S	1	PD09NOV17		2953.50	3272.00	USD	-7,962.50	
09AUG17	S	1	PD09NOV17		2974.00	3272.00	USD	-7,450.00	
09AUG17	S	1	PD09NOV17		2972.00	3272.00	USD	-7,500.00	
09AUG17	S	1	PD09NOV17		2957.00	3272.00	USD	-7,875.00	
09AUG17	B	1	PD09NOV17		2954.50	3272.00	USD	7,937.50	
23AUG17	B	1	PD09NOV17		3096.51	3272.00	USD	4,387.25	
13SEP17	B	1	PD09NOV17		3020.35	3272.00	USD	6,291.25	
13SEP17	B	1	PD09NOV17		3020.15	3272.00	USD	6,296.25	
	NET	**0**	**AVG BGHT 3022.88**	**SOLD 2964.13**				**-5,875.25**	**0.00**
10AUG17	B	1	PD10NOV17		2947.00	3272.00	USD	8,125.00	
10AUG17	S	1	PD10NOV17		2942.00	3272.00	USD	-8,250.00	
10AUG17	B	1	PD10NOV17		2950.00	3272.00	USD	8,050.00	
10AUG17	B	1	PD10NOV17		2929.00	3272.00	USD	8,575.00	
10AUG17	B	1	PD10NOV17		2912.00	3272.00	USD	9,000.00	
10AUG17	B	1	PD10NOV17		2923.50	3272.00	USD	8,712.50	
10AUG17	S	1	PD10NOV17		2948.00	3272.00	USD	-8,100.00	
10AUG17	S	1	PD10NOV17		2939.50	3272.00	USD	-8,312.50	
10AUG17	B	1	PD10NOV17		2930.50	3272.00	USD	8,537.50	
10AUG17	B	1	PD10NOV17		2930.00	3272.00	USD	8,550.00	
10AUG17	S	1	PD10NOV17		2929.50	3272.00	USD	-8,562.50	
11AUG17	B	1	PD10NOV17		2916.00	3272.00	USD	8,900.00	
11AUG17	S	1	PD10NOV17		2930.00	3272.00	USD	-8,550.00	
11AUG17	S	1	PD10NOV17		2912.50	3272.00	USD	-8,987.50	
11AUG17	S	1	PD10NOV17		2911.50	3272.00	USD	-9,012.50	
11AUG17	B	1	PD10NOV17		2896.50	3272.00	USD	9,387.50	
11AUG17	S	1	PD10NOV17		2915.50	3272.00	USD	-8,912.50	
11AUG17	S	1	PD10NOV17		2922.50	3272.00	USD	-8,737.50	
11AUG17	B	1	PD10NOV17		2908.00	3272.00	USD	9,100.00	
11AUG17	B	1	PD10NOV17		2906.50	3272.00	USD	9,137.50	
11AUG17	S	1	PD10NOV17		2903.00	3272.00	USD	-9,225.00	
11AUG17	S	1	PD10NOV17		2904.50	3272.00	USD	-9,187.50	
	NET	**0**	**AVG BGHT 2922.64**	**SOLD 2923.50**				**237.50**	**0.00**
14AUG17	B	1	PD14NOV17		2915.00	3270.33	USD	8,883.25	
14AUG17	B	1	PD14NOV17		2913.50	3270.33	USD	8,920.75	
14AUG17	S	1	PD14NOV17		2911.50	3270.33	USD	-8,970.75	
14AUG17	S	1	PD14NOV17		2916.00	3270.33	USD	-8,858.25	
14AUG17	S	1	PD14NOV17		2923.50	3270.33	USD	-8,670.75	
23AUG17	B	1	PD14NOV17		3096.88	3270.33	USD	4,336.25	
	NET	**0**	**AVG BGHT 2975.13**	**SOLD 2917.00**				**-4,359.50**	**0.00**
15AUG17	B	1	PD15NOV17		2914.00	3269.50	USD	8,887.50	
15AUG17	S	1	PD15NOV17		2932.00	3269.50	USD	-8,437.50	
15AUG17	B	1	PD15NOV17		2962.00	3269.50	USD	7,687.50	
15AUG17	S	1	PD15NOV17		2939.00	3269.50	USD	-8,262.50	
	NET	**0**	**AVG BGHT 2938.00**	**SOLD 2935.50**				**-125.00**	**0.00**
16AUG17	S	1	PD16NOV17		2980.00	3267.50	USD	-7,187.50	
16AUG17	B	2	PD16NOV17		2980.50	3267.50	USD	14,350.00	
16AUG17	S	1	PD16NOV17		2981.00	3267.50	USD	-7,162.50	
16AUG17	B	1	PD16NOV17		3002.00	3267.50	USD	6,637.50	
16AUG17	B	1	PD16NOV17		3009.00	3267.50	USD	6,462.50	
16AUG17	S	2	PD16NOV17		3008.00	3267.50	USD	-12,975.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094L

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
16AUG17	S 1 PD16NOV17	3021.50	3267.50	USD	-6,150.00	
16AUG17	B 1 PD16NOV17	3049.50	3267.50	USD	5,450.00	
16AUG17	B 1 PD16NOV17	3050.50	3267.50	USD	5,425.00	
16AUG17	S 1 PD16NOV17	3088.50	3267.50	USD	-4,475.00	
16AUG17	B 1 PD16NOV17	3094.50	3267.50	USD	4,325.00	
16AUG17	B 1 PD16NOV17	3108.50	3267.50	USD	3,975.00	
16AUG17	B 1 PD16NOV17	3109.00	3267.50	USD	3,962.50	
13SEP17	S 1 PD16NOV17	3018.30	3267.50	USD	-6,230.00	
20SEP17	S 2 PD16NOV17	3128.25	3267.50	USD	-6,962.50	
	NET 0 AVG BGHT 3042.67 SOLD 3040.20				**-555.00**	**0.00**
17AUG17	B 1 PD17NOV17	3121.00	3265.50	USD	3,612.50	
17AUG17	B 1 PD17NOV17	3135.00	3265.50	USD	3,262.50	
17AUG17	S 1 PD17NOV17	3135.00	3265.50	USD	-3,262.50	
17AUG17	B 1 PD17NOV17	3098.00	3265.50	USD	4,187.50	
17AUG17	S 1 PD17NOV17	3091.00	3265.50	USD	-4,362.50	
17AUG17	B 1 PD17NOV17	3097.00	3265.50	USD	4,212.50	
17AUG17	B 1 PD17NOV17	3064.00	3265.50	USD	5,037.50	
18AUG17	S 1 PD17NOV17	3115.00	3265.50	USD	-3,762.50	
18AUG17	B 1 PD17NOV17	3092.50	3265.50	USD	4,325.00	
18AUG17	S 1 PD17NOV17	3099.00	3265.50	USD	-4,162.50	
23AUG17	S 1 PD17NOV17	3094.30	3265.50	USD	-4,280.00	
13SEP17	S 1 PD17NOV17	3018.64	3265.50	USD	-6,171.50	
	NET 0 AVG BGHT 3101.25 SOLD 3092.16				**-1,364.00**	**0.00**
22AUG17	S 1 PD22NOV17	3138.50	3264.50	USD	-3,150.00	
22AUG17	S 1 PD22NOV17	3101.50	3264.50	USD	-4,075.00	
07SEP17	B 1 PD22NOV17	3126.88	3264.50	USD	3,440.50	
13SEP17	B 1 PD22NOV17	3020.74	3264.50	USD	6,094.00	
	NET 0 AVG BGHT 3073.81 SOLD 3120.00				**2,309.50**	**0.00**
23AUG17	B 1 PD24NOV17	3109.00	3264.71	USD	3,892.75	
24AUG17	B 1 PD24NOV17	3127.00	3264.71	USD	3,442.75	
24AUG17	S 1 PD24NOV17	3119.00	3264.71	USD	-3,642.75	
25AUG17	B 1 PD24NOV17	3134.00	3264.71	USD	3,267.75	
25AUG17	S 1 PD24NOV17	3133.50	3264.71	USD	-3,280.25	
25AUG17	B 1 PD24NOV17	3129.50	3264.71	USD	3,380.25	
25AUG17	S 1 PD24NOV17	3125.50	3264.71	USD	-3,480.25	
07SEP17	S 1 PD24NOV17	3127.25	3264.71	USD	-3,436.50	
	NET 0 AVG BGHT 3124.88 SOLD 3126.31				**143.75**	**0.00**
29AUG17	S 1 PD29NOV17	3118.50	3265.25	USD	-3,668.75	
07SEP17	B 1 PD29NOV17	3127.45	3265.25	USD	3,445.00	
	NET 0 AVG BGHT 3127.45 SOLD 3118.50				**-223.75**	**0.00**
31AUG17	S 1 PD30NOV17	3153.50	3265.36	USD	-2,796.50	
31AUG17	B 1 PD30NOV17	3138.00	3265.36	USD	3,184.00	
31AUG17	S 1 PD30NOV17	3154.00	3265.36	USD	-2,784.00	
13SEP17	B 1 PD30NOV17	3023.45	3265.36	USD	6,047.75	
	NET 0 AVG BGHT 3080.73 SOLD 3153.75				**3,651.25**	**0.00**
01SEP17	B 1 PD01DEC17	3140.00	3265.46	USD	3,136.50	
01SEP17	B 1 PD01DEC17	3138.50	3265.46	USD	3,174.00	
13SEP17	S 2 PD01DEC17	3023.31	3265.46	USD	-12,107.50	
	NET 0 AVG BGHT 3139.25 SOLD 3023.31				**-5,797.00**	**0.00**
05SEP17	S 1 PD05DEC17	3202.50	3265.89	USD	-1,584.75	
05SEP17	S 1 PD05DEC17	3155.00	3265.89	USD	-2,772.25	
05SEP17	S 1 PD05DEC17	3154.50	3265.89	USD	-2,784.75	
05SEP17	S 1 PD05DEC17	3144.50	3265.89	USD	-3,034.75	
13SEP17	B 2 PD05DEC17	3023.76	3265.89	USD	12,106.50	
20SEP17	B 2 PD05DEC17	3128.22	3265.89	USD	6,883.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094L

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
	NET 0 AVG BGHT 3075.99 SOLD 3164.13				**8,813.50**	**0.00**
06SEP17	B 1 PD06DEC17	3101.50	3266.00	USD	4,112.50	
13SEP17	S 1 PD06DEC17	3023.43	3266.00	USD	-6,064.25	
	NET 0 AVG BGHT 3101.50 SOLD 3023.43				**-1,951.75**	**0.00**
07SEP17	S 1 PD07DEC17	3139.00	3266.11	USD	-3,177.75	
13SEP17	B 1 PD07DEC17	3023.69	3266.11	USD	6,060.50	
	NET 0 AVG BGHT 3023.69 SOLD 3139.00				**2,882.75**	**0.00**
08SEP17	B 1 PD08DEC17	3144.50	3266.21	USD	3,042.75	
08SEP17	S 1 PD08DEC17	3094.00	3266.21	USD	-4,305.25	
08SEP17	B 1 PD08DEC17	3083.00	3266.21	USD	4,580.25	
08SEP17	S 1 PD08DEC17	3121.00	3266.21	USD	-3,630.25	
08SEP17	B 1 PD08DEC17	3060.00	3266.21	USD	5,155.25	
08SEP17	S 1 PD08DEC17	3052.50	3266.21	USD	-5,342.75	
08SEP17	B 1 PD08DEC17	3031.50	3266.21	USD	5,867.75	
13SEP17	S 1 PD08DEC17	3023.55	3266.21	USD	-6,066.50	
	NET 0 AVG BGHT 3079.75 SOLD 3072.76				**-698.75**	**0.00**
12SEP17	B 1 PD12DEC17	3061.50	3266.64	USD	5,128.50	
	NET +1 AVG BGHT 3061.50				**5,128.50**	**0.00**
13SEP17	S 1 PD13DEC17	3056.00	3266.75	USD	-5,268.75	
	NET -1 AVG SOLD 3056.00				**-5,268.75**	**0.00**
14SEP17	B 1 PD14DEC17	3000.00	3266.86	USD	6,671.50	
	NET +1 AVG BGHT 3000.00				**6,671.50**	**0.00**
15SEP17	S 1 PD15DEC17	3000.00	3266.96	USD	-6,674.00	
	NET -1 AVG SOLD 3000.00				**-6,674.00**	**0.00**
18SEP17	B 1 PD18DEC17	3047.00	3267.29	USD	5,507.25	
18SEP17	B 1 PD18DEC17	3072.50	3267.29	USD	4,869.75	
18SEP17	S 1 PD18DEC17	3077.50	3267.29	USD	-4,744.75	
18SEP17	B 1 PD18DEC17	3089.50	3267.29	USD	4,444.75	
	NET +2 AVG BGHT 3069.67 SOLD 3077.50				**10,077.00**	**0.00**
19SEP17	S 1 PD19DEC17	3108.00	3267.39	USD	-3,984.75	
19SEP17	S 1 PD19DEC17	3083.00	3267.39	USD	-4,609.75	
19SEP17	B 1 PD19DEC17	3104.00	3267.39	USD	4,084.75	
	NET -1 AVG BGHT 3104.00 SOLD 3095.50				**-4,509.75**	**0.00**
20SEP17	B 1 PD20DEC17	3096.00	3267.50	USD	4,287.50	
20SEP17	S 1 PD20DEC17	3137.00	3267.50	USD	-3,262.50	
	NET 0 AVG BGHT 3096.00 SOLD 3137.00				**1,025.00**	**0.00**
21SEP17	S 1 PD21DEC17	3069.00	3264.00	USD	-4,875.00	
21SEP17	B 1 PD21DEC17	3108.50	3264.00	USD	3,887.50	
21SEP17	S 1 PD21DEC17	3077.00	3264.00	USD	-4,675.00	
	NET -1 AVG BGHT 3108.50 SOLD 3073.00				**-5,662.50**	**0.00**
25SEP17	B 1 PD27DEC17	3096.50	3264.00	USD	4,187.50	
26SEP17	S 1 PD27DEC17	3115.00	3264.00	USD	-3,725.00	
26SEP17	B 1 PD27DEC17	3110.00	3264.00	USD	3,850.00	
27SEP17	B 1 PD27DEC17	3139.00	3264.00	USD	3,125.00	
27SEP17	S 1 PD27DEC17	3109.00	3264.00	USD	-3,875.00	
27SEP17	S 1 PD27DEC17	3114.00	3264.00	USD	-3,750.00	
	NET 0 AVG BGHT 3115.17 SOLD 3112.67				**-187.50**	**0.00**
28SEP17	B 1 PD28DEC17	3136.00	3264.00	USD	3,200.00	
28SEP17	S 1 PD28DEC17	3135.50	3264.00	USD	-3,212.50	
28SEP17	S 1 PD28DEC17	3121.00	3264.00	USD	-3,575.00	
28SEP17	B 1 PD28DEC17	3148.00	3264.00	USD	2,900.00	
28SEP17	B 1 PD28DEC17	3150.00	3264.00	USD	2,850.00	
28SEP17	S 1 PD28DEC17	3156.50	3264.00	USD	-2,687.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094L

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
	NET 0 AVG BGHT 3144.67 SOLD 3137.67				**-525.00**	**0.00**
29SEP17	B 1 PD29DEC17	3141.00	3264.00	USD	3,075.00	
29SEP17	B 1 PD29DEC17	3140.50	3264.00	USD	3,087.50	
29SEP17	S 2 PD29DEC17	3139.00	3264.00	USD	-6,250.00	
29SEP17	S 1 PD29DEC17	3137.00	3264.00	USD	-3,175.00	
29SEP17	B 1 PD29DEC17	3158.50	3264.00	USD	2,637.50	
29SEP17	B 1 PD29DEC17	3160.00	3264.00	USD	2,600.00	
	NET +1 AVG BGHT 3150.00 SOLD 3138.33				**1,975.00**	**0.00**

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	106,312.50
LME Copper Grade A 25	FUTURES	-1,290,152.75
CMX Gold	FUTURES	-257,360.00
LME Lead 25 tonnes. This is an	FUTURES	-1,335.50
LME Nickel 6 tonnes. This is	FUTURES	-847,487.64
LME Tin 5 tonnes. This is an	FUTURES	218,809.75
LME Zinc 25 tonnes. This is	FUTURES	-58,695.50

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options			Amount	Profit / Loss	**Total Amount**	**Total P&L**
GOLD	- Futures	USD	-2,440.00	-2,440.00	**-2,440.00**	**-2,440.00**
Unrealised Profits & Losses - Forwards			**Amount**	**Profit / Loss**	**Total Amount**	**Total P&L**
ALUL	- Futures	USD	51,054.00	51,054.00		
COPL	- Futures	USD	11,960.00	11,960.00		
LEAD	- Futures	USD	-1,412.00	-1,412.00		
NKL	- Futures	USD	108,389.10	108,389.10		
TIN	- Futures	USD	10,520.25	10,520.25		
ZINC	- Futures	USD	22,979.00	22,979.00	**203,490.35**	**203,490.35**

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-204,443.45	-204,443.45
Variation Margins	- Futures	-2,440.00	-2,440.00
	- Options	0.00	0.00
TOTAL EQUITY		**-206,883.45**	**-206,883.45**
Initial Margins		-43,646.65	-43,646.65
Excess/(Shortage)		**-250,530.10**	**-250,530.10**
FOR INFORMATION ONLY			
Forward Unrealised P&L		203,490.35	203,490.35
Net Liquidating Value		**-3,393.10**	**-3,393.10**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094M

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
04JUL17	S 2 PD04OCT17	1920.50	2113.92	USD	-9,671.00	
04JUL17	B 1 PD04OCT17	1921.00	2113.92	USD	4,823.00	
04JUL17	B 1 PD04OCT17	1920.50	2113.92	USD	4,835.50	
	NET 0 AVG BGHT 1920.75 SOLD 1920.50				**-12.50**	**0.00**
	NYM LIGHT SWEET CRUDE OIL 1,000 NYM					
03OCT17	B 1 NOV17	50.41	50.42	USD	10.00	
03OCT17	B 2 NOV17	50.44	50.42	USD	-40.00	
	NET +3 AVG BGHT 50.43				**-30.00**	**0.00**
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
05JUL17	B 3 PD05OCT17	5887.50	6468.75	USD	43,593.75	
08AUG17	S 3 PD05OCT17	6468.18	6468.75	USD	-42.75	
	NET 0 AVG BGHT 5887.50 SOLD 6468.18				**43,551.00**	**0.00**
06JUL17	B 1 PD06OCT17	5844.00	6470.02	USD	15,650.50	
06JUL17	B 1 PD06OCT17	5845.00	6470.02	USD	15,625.50	
06JUL17	B 1 PD06OCT17	5843.00	6470.02	USD	15,675.50	
08AUG17	S 3 PD06OCT17	6468.82	6470.02	USD	-90.00	
	NET 0 AVG BGHT 5844.00 SOLD 6468.82				**46,861.50**	**0.00**
11JUL17	B 2 PD11OCT17	5828.00	6476.37	USD	32,418.50	
11JUL17	B 1 PD11OCT17	5828.00	6476.37	USD	16,209.25	
18JUL17	S 3 PD11OCT17	6004.11	6476.37	USD	-35,419.50	
	NET 0 AVG BGHT 5828.00 SOLD 6004.11				**13,208.25**	**0.00**
12JUL17	S 3 PD12OCT17	5928.00	6477.63	USD	-41,222.25	
18JUL17	B 3 PD12OCT17	6004.72	6477.63	USD	35,468.25	
	NET 0 AVG BGHT 6004.72 SOLD 5928.00				**-5,754.00**	**0.00**
13JUL17	B 1 PD13OCT17	5878.50	6478.90	USD	15,010.00	
13JUL17	B 1 PD13OCT17	5875.50	6478.90	USD	15,085.00	
13JUL17	B 1 PD13OCT17	5877.00	6478.90	USD	15,047.50	
18JUL17	S 3 PD13OCT17	6004.93	6478.90	USD	-35,547.75	
	NET 0 AVG BGHT 5877.00 SOLD 6004.93				**9,594.75**	**0.00**
17JUL17	S 3 PD17OCT17	6017.00	6483.98	USD	-35,023.50	
18JUL17	B 3 PD17OCT17	6006.79	6483.98	USD	35,789.25	
	NET 0 AVG BGHT 6006.79 SOLD 6017.00				**765.75**	**0.00**
25JUL17	S 1 PD25OCT17	6122.50	6490.29	USD	-9,194.75	
25JUL17	S 2 PD25OCT17	6125.00	6490.29	USD	-18,264.50	
25JUL17	S 1 PD25OCT17	6125.50	6490.29	USD	-9,119.75	
25JUL17	S 1 PD25OCT17	6127.00	6490.29	USD	-9,082.25	
25JUL17	S 1 PD25OCT17	6119.50	6490.29	USD	-9,269.75	
08AUG17	B 6 PD25OCT17	6474.66	6490.29	USD	2,344.50	
	NET 0 AVG BGHT 6474.66 SOLD 6124.08				**-52,586.50**	**0.00**
	CMX COPPER 25,000 CMX					
03OCT17	B 1 DEC17	296.250	296.350	USD	25.00	
	NET +1 AVG BGHT 296.250				**25.00**	**0.00**
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
20SEP17	S 3 PD20DEC17	11460.00	10607.00	USD	15,354.00	
20SEP17	S 4 PD20DEC17	11455.00	10607.00	USD	20,352.00	
20SEP17	S 1 PD20DEC17	11395.00	10607.00	USD	4,728.00	
20SEP17	B 1 PD20DEC17	11370.00	10607.00	USD	-4,578.00	
20SEP17	B 1 PD20DEC17	11340.00	10607.00	USD	-4,398.00	
20SEP17	S 2 PD20DEC17	11305.00	10607.00	USD	8,376.00	
20SEP17	S 4 PD20DEC17	11315.00	10607.00	USD	16,992.00	
20SEP17	B 1 PD20DEC17	11305.00	10607.00	USD	-4,188.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094M

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
20SEP17	S 1 PD20DEC17	11295.00	10607.00	USD	4,128.00	
20SEP17	S 1 PD20DEC17	11300.00	10607.00	USD	4,158.00	
20SEP17	B 1 PD20DEC17	11375.00	10607.00	USD	-4,608.00	
20SEP17	S 2 PD20DEC17	11370.00	10607.00	USD	9,156.00	
	NET -14 AVG BGHT 11347.50 SOLD 11377.78				**65,472.00**	**0.00**
21SEP17	B 1 PD21DEC17	11160.00	10608.00	USD	-3,312.00	
21SEP17	B 1 PD21DEC17	11030.00	10608.00	USD	-2,532.00	
21SEP17	B 1 PD21DEC17	11060.00	10608.00	USD	-2,712.00	
21SEP17	B 1 PD21DEC17	11050.00	10608.00	USD	-2,652.00	
21SEP17	B 1 PD21DEC17	11055.00	10608.00	USD	-2,682.00	
21SEP17	B 1 PD21DEC17	11035.00	10608.00	USD	-2,562.00	
21SEP17	B 1 PD21DEC17	11025.00	10608.00	USD	-2,502.00	
21SEP17	B 1 PD21DEC17	11155.00	10608.00	USD	-3,282.00	
21SEP17	B 1 PD21DEC17	10940.00	10608.00	USD	-1,992.00	
21SEP17	B 1 PD21DEC17	10945.00	10608.00	USD	-2,022.00	
21SEP17	B 1 PD21DEC17	10955.00	10608.00	USD	-2,082.00	
21SEP17	B 3 PD21DEC17	10950.00	10608.00	USD	-6,156.00	
21SEP17	S 1 PD21DEC17	11010.00	10608.00	USD	2,412.00	
21SEP17	S 1 PD21DEC17	11040.00	10608.00	USD	2,592.00	
21SEP17	S 1 PD21DEC17	11030.00	10608.00	USD	2,532.00	
21SEP17	S 1 PD21DEC17	11035.00	10608.00	USD	2,562.00	
21SEP17	S 3 PD21DEC17	11080.00	10608.00	USD	8,496.00	
21SEP17	S 1 PD21DEC17	11100.00	10608.00	USD	2,952.00	
21SEP17	S 1 PD21DEC17	11085.00	10608.00	USD	2,862.00	
21SEP17	S 1 PD21DEC17	11105.00	10608.00	USD	2,982.00	
21SEP17	S 1 PD21DEC17	11120.00	10608.00	USD	3,072.00	
21SEP17	S 2 PD21DEC17	11140.00	10608.00	USD	6,384.00	
21SEP17	S 1 PD21DEC17	11125.00	10608.00	USD	3,102.00	
21SEP17	S 2 PD21DEC17	11115.00	10608.00	USD	6,084.00	
21SEP17	S 1 PD21DEC17	11130.00	10608.00	USD	3,132.00	
21SEP17	S 1 PD21DEC17	11095.00	10608.00	USD	2,922.00	
21SEP17	S 1 PD21DEC17	11075.00	10608.00	USD	2,802.00	
21SEP17	B 2 PD21DEC17	11115.00	10608.00	USD	-6,084.00	
21SEP17	B 1 PD21DEC17	11100.00	10608.00	USD	-2,952.00	
21SEP17	B 1 PD21DEC17	11075.00	10608.00	USD	-2,802.00	
21SEP17	B 3 PD21DEC17	11045.00	10608.00	USD	-7,866.00	
21SEP17	B 1 PD21DEC17	10985.00	10608.00	USD	-2,262.00	
21SEP17	B 3 PD21DEC17	10960.00	10608.00	USD	-6,336.00	
21SEP17	B 4 PD21DEC17	10935.00	10608.00	USD	-7,848.00	
21SEP17	B 1 PD21DEC17	10920.00	10608.00	USD	-1,872.00	
21SEP17	B 1 PD21DEC17	10915.00	10608.00	USD	-1,842.00	
21SEP17	B 1 PD21DEC17	10905.00	10608.00	USD	-1,782.00	
21SEP17	B 1 PD21DEC17	10885.00	10608.00	USD	-1,662.00	
21SEP17	B 1 PD21DEC17	10880.00	10608.00	USD	-1,632.00	
21SEP17	B 1 PD21DEC17	10865.00	10608.00	USD	-1,542.00	
21SEP17	B 1 PD21DEC17	10890.00	10608.00	USD	-1,692.00	
21SEP17	B 1 PD21DEC17	10870.00	10608.00	USD	-1,572.00	
21SEP17	B 1 PD21DEC17	10830.00	10608.00	USD	-1,332.00	
21SEP17	B 2 PD21DEC17	10820.00	10608.00	USD	-2,544.00	
21SEP17	B 1 PD21DEC17	10815.00	10608.00	USD	-1,242.00	
21SEP17	B 1 PD21DEC17	10730.00	10608.00	USD	-732.00	
21SEP17	B 1 PD21DEC17	10745.00	10608.00	USD	-822.00	
21SEP17	B 1 PD21DEC17	10735.00	10608.00	USD	-762.00	
21SEP17	B 1 PD21DEC17	10680.00	10608.00	USD	-432.00	
21SEP17	B 1 PD21DEC17	10675.00	10608.00	USD	-402.00	
21SEP17	B 1 PD21DEC17	10685.00	10608.00	USD	-462.00	
21SEP17	S 1 PD21DEC17	10735.00	10608.00	USD	762.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094M

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
21SEP17	B 1 PD21DEC17	10715.00	10608.00	USD	-642.00	
21SEP17	B 2 PD21DEC17	11035.00	10608.00	USD	-5,124.00	
21SEP17	B 1 PD21DEC17	10890.00	10608.00	USD	-1,692.00	
	NET +31 AVG BGHT 10936.18 SOLD 11071.75				**-44,772.00**	**0.00**
22SEP17	S 2 PD22DEC17	10600.00	10609.00	USD	-108.00	
22SEP17	S 1 PD22DEC17	10585.00	10609.00	USD	-144.00	
22SEP17	S 1 PD22DEC17	10635.00	10609.00	USD	156.00	
22SEP17	S 1 PD22DEC17	10645.00	10609.00	USD	216.00	
22SEP17	B 2 PD22DEC17	10530.00	10609.00	USD	948.00	
22SEP17	S 1 PD22DEC17	10530.00	10609.00	USD	-474.00	
22SEP17	S 4 PD22DEC17	10580.00	10609.00	USD	-696.00	
22SEP17	B 1 PD22DEC17	10585.00	10609.00	USD	144.00	
22SEP17	S 1 PD22DEC17	10585.00	10609.00	USD	-144.00	
22SEP17	B 1 PD22DEC17	10605.00	10609.00	USD	24.00	
22SEP17	B 1 PD22DEC17	10575.00	10609.00	USD	204.00	
22SEP17	B 1 PD22DEC17	10520.00	10609.00	USD	534.00	
22SEP17	B 1 PD22DEC17	10540.00	10609.00	USD	414.00	
22SEP17	B 2 PD22DEC17	10525.00	10609.00	USD	1,008.00	
22SEP17	B 1 PD22DEC17	10515.00	10609.00	USD	564.00	
22SEP17	B 1 PD22DEC17	10465.00	10609.00	USD	864.00	
22SEP17	B 1 PD22DEC17	10475.00	10609.00	USD	804.00	
22SEP17	S 1 PD22DEC17	10515.00	10609.00	USD	-564.00	
22SEP17	S 1 PD22DEC17	10495.00	10609.00	USD	-684.00	
22SEP17	B 1 PD22DEC17	10440.00	10609.00	USD	1,014.00	
22SEP17	B 1 PD22DEC17	10455.00	10609.00	USD	924.00	
22SEP17	B 1 PD22DEC17	10445.00	10609.00	USD	984.00	
22SEP17	B 2 PD22DEC17	10450.00	10609.00	USD	1,908.00	
22SEP17	S 1 PD22DEC17	10520.00	10609.00	USD	-534.00	
22SEP17	S 2 PD22DEC17	10565.00	10609.00	USD	-528.00	
22SEP17	S 1 PD22DEC17	10620.00	10609.00	USD	66.00	
22SEP17	S 2 PD22DEC17	10625.00	10609.00	USD	192.00	
22SEP17	B 1 PD22DEC17	10530.00	10609.00	USD	474.00	
	NET -1 AVG BGHT 10508.89 SOLD 10580.53				**7,566.00**	**0.00**
25SEP17	S 1 PD27DEC17	10550.00	10613.58	USD	-381.48	
25SEP17	S 1 PD27DEC17	10530.00	10613.58	USD	-501.48	
25SEP17	S 1 PD27DEC17	10540.00	10613.58	USD	-441.48	
25SEP17	S 1 PD27DEC17	10540.00	10613.58	USD	-441.48	
25SEP17	S 1 PD27DEC17	10560.00	10613.58	USD	-321.48	
25SEP17	S 2 PD27DEC17	10565.00	10613.58	USD	-582.96	
25SEP17	S 1 PD27DEC17	10610.00	10613.58	USD	-21.48	
25SEP17	S 3 PD27DEC17	10615.00	10613.58	USD	25.56	
26SEP17	S 1 PD27DEC17	10610.00	10613.58	USD	-21.48	
26SEP17	S 1 PD27DEC17	10520.00	10613.58	USD	-561.48	
26SEP17	S 1 PD27DEC17	10535.00	10613.58	USD	-471.48	
26SEP17	S 1 PD27DEC17	10530.00	10613.58	USD	-501.48	
26SEP17	S 1 PD27DEC17	10465.00	10613.58	USD	-891.48	
27SEP17	S 1 PD27DEC17	10535.00	10613.58	USD	-471.48	
27SEP17	S 1 PD27DEC17	10550.00	10613.58	USD	-381.48	
27SEP17	S 1 PD27DEC17	10565.00	10613.58	USD	-291.48	
27SEP17	B 1 PD27DEC17	10370.00	10613.58	USD	1,461.48	
27SEP17	B 1 PD27DEC17	10360.00	10613.58	USD	1,521.48	
27SEP17	B 1 PD27DEC17	10355.00	10613.58	USD	1,551.48	
	NET -16 AVG BGHT 10361.67 SOLD 10558.68				**-1,723.68**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094M

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	-12.50
NYM Light Sweet Crude Oil	FUTURES	-151,290.00
LME Copper Grade A 25	FUTURES	55,640.75
CMX Copper	FUTURES	-74,062.50
LME Nickel 6 tonnes. This is	FUTURES	27,000.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options			Amount	Profit / Loss	Total Amount	Total P&L
COIL	- Futures	USD	-30.00	-30.00		
COPR	- Futures	USD	25.00	25.00	**-5.00**	**-5.00**
Unrealised Profits & Losses - Forwards			**Amount**	**Profit / Loss**	**Total Amount**	**Total P&L**
ALUL	- Futures	USD	-12.50	-12.50		
COPL	- Futures	USD	55,640.75	55,640.75		
NKL	- Futures	USD	26,542.32	26,542.32	**82,170.57**	**82,170.57**

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-57,433.70	-57,433.70
Variation Margins	- Futures	-5.00	-5.00
	- Options	0.00	0.00
TOTAL EQUITY		**-57,438.70**	**-57,438.70**
Initial Margins		-10,890.00	-10,890.00
Excess/(Shortage)		**-68,328.70**	**-68,328.70**
FOR INFORMATION ONLY			
Forward Unrealised P&L		82,170.57	82,170.57
Net Liquidating Value		**24,731.87**	**24,731.87**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094N

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-925.00	-925.00
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**-925.00**	**-925.00**
Initial Margins		0.00	0.00
Excess/(Shortage)		**-925.00**	**-925.00**
FOR INFORMATION ONLY			
Net Liquidating Value		**-925.00**	**-925.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094O

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.							
04JUL17	S	6	PD04OCT17	1922.00	2113.92	USD	-28,788.00	
04JUL17	B	1	PD04OCT17	1921.00	2113.92	USD	4,823.00	
04JUL17	B	4	PD04OCT17	1925.00	2113.92	USD	18,892.00	
04JUL17	B	1	PD04OCT17	1924.50	2113.92	USD	4,735.50	
	NET	**0**	**AVG BGHT 1924.25** **SOLD 1922.00**				**-337.50**	**0.00**
26JUL17	S	2	PD26OCT17	1943.50	2119.36	USD	-8,793.00	
26JUL17	S	2	PD26OCT17	1957.50	2119.36	USD	-8,093.00	
26JUL17	B	2	PD26OCT17	1943.50	2119.36	USD	8,793.00	
08AUG17	B	2	PD26OCT17	2027.40	2119.36	USD	4,598.00	
	NET	**0**	**AVG BGHT 1985.45** **SOLD 1950.50**				**-3,495.00**	**0.00**
27JUL17	S	2	PD27OCT17	1950.00	2119.75	USD	-8,487.50	
27JUL17	B	1	PD27OCT17	1938.00	2119.75	USD	4,543.75	
27JUL17	B	1	PD27OCT17	1939.00	2119.75	USD	4,518.75	
28JUL17	S	1	PD27OCT17	1929.00	2119.75	USD	-4,768.75	
28JUL17	S	1	PD27OCT17	1932.00	2119.75	USD	-4,693.75	
28JUL17	B	1	PD27OCT17	1917.50	2119.75	USD	5,056.25	
28JUL17	B	1	PD27OCT17	1916.50	2119.75	USD	5,081.25	
28JUL17	S	1	PD27OCT17	1924.50	2119.75	USD	-4,881.25	
28JUL17	S	1	PD27OCT17	1923.50	2119.75	USD	-4,906.25	
28JUL17	B	1	PD27OCT17	1915.00	2119.75	USD	5,118.75	
28JUL17	B	1	PD27OCT17	1915.50	2119.75	USD	5,106.25	
28JUL17	B	1	PD27OCT17	1913.50	2119.75	USD	5,156.25	
28JUL17	B	1	PD27OCT17	1914.00	2119.75	USD	5,143.75	
08AUG17	S	2	PD27OCT17	2027.40	2119.75	USD	-4,617.50	
	NET	**0**	**AVG BGHT 1921.13** **SOLD 1957.98**				**7,370.00**	**0.00**
02AUG17	B	2	PD02NOV17	1923.00	2117.08	USD	9,704.00	
02AUG17	B	1	PD02NOV17	1924.50	2117.08	USD	4,814.50	
02AUG17	B	1	PD02NOV17	1924.50	2117.08	USD	4,814.50	
02AUG17	B	1	PD02NOV17	1925.50	2117.08	USD	4,789.50	
02AUG17	B	4	PD02NOV17	1926.00	2117.08	USD	19,108.00	
02AUG17	B	4	PD02NOV17	1926.50	2117.08	USD	19,058.00	
02AUG17	B	1	PD02NOV17	1927.00	2117.08	USD	4,752.00	
08AUG17	S	12	PD02NOV17	2028.80	2117.08	USD	-26,484.00	
07SEP17	S	2	PD02NOV17	2099.50	2117.08	USD	-879.00	
	NET	**0**	**AVG BGHT 1925.54** **SOLD 2038.90**				**39,677.50**	**0.00**
04AUG17	B	4	PD03NOV17	1918.00	2116.75	USD	19,875.00	
04AUG17	B	2	PD03NOV17	1917.00	2116.75	USD	9,987.50	
07SEP17	S	6	PD03NOV17	2099.79	2116.75	USD	-2,544.00	
	NET	**0**	**AVG BGHT 1917.67** **SOLD 2099.79**				**27,318.50**	**0.00**
07AUG17	B	1	PD07NOV17	1919.50	2116.08	USD	4,914.50	
07AUG17	B	2	PD07NOV17	1920.50	2116.08	USD	9,779.00	
07AUG17	B	2	PD07NOV17	1920.00	2116.08	USD	9,804.00	
07AUG17	B	2	PD07NOV17	1931.50	2116.08	USD	9,229.00	
07AUG17	S	8	PD07NOV17	1926.00	2116.08	USD	-38,016.00	
07AUG17	S	4	PD07NOV17	1925.00	2116.08	USD	-19,108.00	
07AUG17	S	4	PD07NOV17	1925.50	2116.08	USD	-19,058.00	
07AUG17	B	4	PD07NOV17	1925.00	2116.08	USD	19,108.00	
07AUG17	S	1	PD07NOV17	1920.00	2116.08	USD	-4,902.00	
07AUG17	S	2	PD07NOV17	1969.00	2116.08	USD	-7,354.00	
07AUG17	S	2	PD07NOV17	1969.50	2116.08	USD	-7,329.00	
07AUG17	S	2	PD07NOV17	1970.00	2116.08	USD	-7,304.00	
08AUG17	B	12	PD07NOV17	2030.00	2116.08	USD	25,824.00	
	NET	**0**	**AVG BGHT 1979.28** **SOLD 1936.83**				**-24,412.50**	**0.00**
08AUG17	B	2	PD08NOV17	1979.50	2115.75	USD	6,812.50	
08AUG17	S	4	PD08NOV17	1994.00	2115.75	USD	-12,175.00	
08AUG17	B	2	PD08NOV17	1997.50	2115.75	USD	5,912.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094O

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
08AUG17	B 2 PD08NOV17	1998.00	2115.75	USD	5,887.50	
08AUG17	B 6 PD08NOV17	2000.00	2115.75	USD	17,362.50	
08AUG17	B 2 PD08NOV17	2003.50	2115.75	USD	5,612.50	
08AUG17	B 2 PD08NOV17	2010.50	2115.75	USD	5,262.50	
08AUG17	B 4 PD08NOV17	2020.00	2115.75	USD	9,575.00	
23AUG17	S 12 PD08NOV17	2094.56	2115.75	USD	-6,357.00	
07SEP17	S 4 PD08NOV17	2101.23	2115.75	USD	-1,452.00	
	NET 0 AVG BGHT 2002.90 SOLD 2075.78				**36,441.00**	**0.00**
11AUG17	S 2 PD10NOV17	2025.50	2116.46	USD	-4,548.00	
11AUG17	S 2 PD10NOV17	2038.50	2116.46	USD	-3,898.00	
23AUG17	B 4 PD10NOV17	2095.25	2116.46	USD	2,121.00	
	NET 0 AVG BGHT 2095.25 SOLD 2032.00				**-6,325.00**	**0.00**
14AUG17	S 4 PD14NOV17	2027.00	2117.89	USD	-9,089.00	
14AUG17	B 2 PD14NOV17	2027.50	2117.89	USD	4,519.50	
14AUG17	S 2 PD14NOV17	2033.00	2117.89	USD	-4,244.50	
14AUG17	S 1 PD14NOV17	2030.00	2117.89	USD	-2,197.25	
14AUG17	S 1 PD14NOV17	2031.00	2117.89	USD	-2,172.25	
14AUG17	B 2 PD14NOV17	2041.50	2117.89	USD	3,819.50	
23AUG17	B 4 PD14NOV17	2096.21	2117.89	USD	2,168.00	
	NET 0 AVG BGHT 2065.36 SOLD 2029.38				**-7,196.00**	**0.00**
15AUG17	S 2 PD15NOV17	2023.00	2118.25	USD	-4,762.50	
15AUG17	S 1 PD15NOV17	2032.00	2118.25	USD	-2,156.25	
15AUG17	S 1 PD15NOV17	2031.50	2118.25	USD	-2,168.75	
23AUG17	B 4 PD15NOV17	2096.45	2118.25	USD	2,180.00	
	NET 0 AVG BGHT 2096.45 SOLD 2027.38				**-6,907.50**	**0.00**
16AUG17	B 1 PD16NOV17	2053.50	2118.52	USD	1,625.50	
16AUG17	B 1 PD16NOV17	2053.00	2118.52	USD	1,638.00	
16AUG17	B 2 PD16NOV17	2054.50	2118.52	USD	3,201.00	
16AUG17	B 2 PD16NOV17	2096.50	2118.52	USD	1,101.00	
07SEP17	S 6 PD16NOV17	2102.63	2118.52	USD	-2,383.50	
	NET 0 AVG BGHT 2068.08 SOLD 2102.63				**5,182.00**	**0.00**
17AUG17	B 2 PD17NOV17	2096.00	2118.79	USD	1,139.50	
17AUG17	S 2 PD17NOV17	2100.00	2118.79	USD	-939.50	
	NET 0 AVG BGHT 2096.00 SOLD 2100.00				**200.00**	**0.00**
21AUG17	B 2 PD21NOV17	2084.50	2119.88	USD	1,769.00	
07SEP17	S 2 PD21NOV17	2103.00	2119.88	USD	-844.00	
	NET 0 AVG BGHT 2084.50 SOLD 2103.00				**925.00**	**0.00**
22AUG17	S 1 PD22NOV17	2079.50	2120.15	USD	-1,016.25	
22AUG17	S 1 PD22NOV17	2079.00	2120.15	USD	-1,028.75	
22AUG17	S 2 PD22NOV17	2078.00	2120.15	USD	-2,107.50	
22AUG17	S 2 PD22NOV17	2068.00	2120.15	USD	-2,607.50	
22AUG17	S 2 PD22NOV17	2070.50	2120.15	USD	-2,482.50	
22AUG17	S 2 PD22NOV17	2071.50	2120.15	USD	-2,432.50	
07SEP17	B 10 PD22NOV17	2103.45	2120.15	USD	4,175.00	
	NET 0 AVG BGHT 2103.45 SOLD 2073.45				**-7,500.00**	**0.00**
23AUG17	B 2 PD24NOV17	2085.50	2120.69	USD	1,759.50	
23AUG17	B 2 PD24NOV17	2090.00	2120.69	USD	1,534.50	
23AUG17	B 2 PD24NOV17	2095.00	2120.69	USD	1,284.50	
23AUG17	B 2 PD24NOV17	2084.50	2120.69	USD	1,809.50	
24AUG17	S 2 PD24NOV17	2103.50	2120.69	USD	-859.50	
24AUG17	S 1 PD24NOV17	2098.50	2120.69	USD	-554.75	
24AUG17	S 1 PD24NOV17	2098.00	2120.69	USD	-567.25	
24AUG17	S 1 PD24NOV17	2099.00	2120.69	USD	-542.25	
24AUG17	S 1 PD24NOV17	2095.50	2120.69	USD	-629.75	
24AUG17	S 1 PD24NOV17	2095.00	2120.69	USD	-642.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094O

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
24AUG17	S 2 PD24NOV17	2094.00	2120.69	USD	-1,334.50	
24AUG17	S 2 PD24NOV17	2091.50	2120.69	USD	-1,459.50	
24AUG17	S 2 PD24NOV17	2092.00	2120.69	USD	-1,434.50	
24AUG17	S 1 PD24NOV17	2099.50	2120.69	USD	-529.75	
07SEP17	B 6 PD24NOV17	2103.95	2120.69	USD	2,511.00	
	NET 0 AVG BGHT 2095.26 SOLD 2096.25				**345.00**	**0.00**
29AUG17	B 2 PD29NOV17	2077.00	2122.05	USD	2,252.50	
29AUG17	S 2 PD29NOV17	2098.50	2122.05	USD	-1,177.50	
29AUG17	S 4 PD29NOV17	2097.00	2122.05	USD	-2,505.00	
29AUG17	B 2 PD29NOV17	2095.00	2122.05	USD	1,352.50	
29AUG17	S 2 PD29NOV17	2093.00	2122.05	USD	-1,452.50	
07SEP17	B 4 PD29NOV17	2105.20	2122.05	USD	1,685.00	
	NET 0 AVG BGHT 2095.60 SOLD 2096.38				**155.00**	**0.00**
30AUG17	B 2 PD30NOV17	2088.50	2122.32	USD	1,691.00	
30AUG17	S 2 PD30NOV17	2086.00	2122.32	USD	-1,816.00	
31AUG17	B 2 PD30NOV17	2089.00	2122.32	USD	1,666.00	
31AUG17	B 2 PD30NOV17	2098.00	2122.32	USD	1,216.00	
31AUG17	S 2 PD30NOV17	2130.00	2122.32	USD	384.00	
31AUG17	S 2 PD30NOV17	2131.50	2122.32	USD	459.00	
31AUG17	B 2 PD30NOV17	2124.00	2122.32	USD	-84.00	
13SEP17	S 2 PD30NOV17	2106.52	2122.32	USD	-790.00	
	NET 0 AVG BGHT 2099.88 SOLD 2113.51				**2,726.00**	**0.00**
01SEP17	B 2 PD01DEC17	2128.50	2122.59	USD	-295.50	
13SEP17	S 2 PD01DEC17	2106.79	2122.59	USD	-790.00	
	NET 0 AVG BGHT 2128.50 SOLD 2106.79				**-1,085.50**	**0.00**
05SEP17	S 2 PD05DEC17	2106.00	2123.68	USD	-884.00	
13SEP17	B 2 PD05DEC17	2108.06	2123.68	USD	781.00	
	NET 0 AVG BGHT 2108.06 SOLD 2106.00				**-103.00**	**0.00**
06SEP17	S 2 PD06DEC17	2087.00	2123.95	USD	-1,847.50	
13SEP17	B 2 PD06DEC17	2108.33	2123.95	USD	781.00	
	NET 0 AVG BGHT 2108.33 SOLD 2087.00				**-1,066.50**	**0.00**
08SEP17	S 2 PD08DEC17	2097.50	2124.49	USD	-1,349.50	
13SEP17	B 2 PD08DEC17	2108.66	2124.49	USD	791.50	
	NET 0 AVG BGHT 2108.66 SOLD 2097.50				**-558.00**	**0.00**
11SEP17	B 2 PD11DEC17	2103.00	2125.31	USD	1,115.50	
11SEP17	B 2 PD11DEC17	2104.00	2125.31	USD	1,065.50	
11SEP17	B 2 PD11DEC17	2126.00	2125.31	USD	-34.50	
13SEP17	S 2 PD11DEC17	2109.26	2125.31	USD	-802.50	
20SEP17	S 4 PD11DEC17	2174.49	2125.31	USD	4,918.00	
	NET 0 AVG BGHT 2111.00 SOLD 2152.75				**6,262.00**	**0.00**
13SEP17	S 2 PD13DEC17	2131.00	2125.85	USD	257.50	
20SEP17	B 2 PD13DEC17	2175.25	2125.85	USD	-2,470.00	
	NET 0 AVG BGHT 2175.25 SOLD 2131.00				**-2,212.50**	**0.00**
14SEP17	B 2 PD14DEC17	2096.50	2126.12	USD	1,481.00	
14SEP17	B 2 PD14DEC17	2098.00	2126.12	USD	1,406.00	
14SEP17	S 2 PD14DEC17	2105.00	2126.12	USD	-1,056.00	
14SEP17	S 2 PD14DEC17	2105.50	2126.12	USD	-1,031.00	
14SEP17	B 4 PD14DEC17	2105.50	2126.12	USD	2,062.00	
20SEP17	S 4 PD14DEC17	2175.33	2126.12	USD	4,921.00	
	NET 0 AVG BGHT 2101.38 SOLD 2140.29				**7,783.00**	**0.00**
15SEP17	S 2 PD15DEC17	2092.00	2126.39	USD	-1,719.50	
15SEP17	S 1 PD15DEC17	2090.50	2126.39	USD	-897.25	
15SEP17	S 3 PD15DEC17	2090.00	2126.39	USD	-2,729.25	
20SEP17	B 6 PD15DEC17	2175.81	2126.39	USD	-7,413.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094O

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
	NET 0 AVG BGHT 2175.81 SOLD 2090.75				**-12,759.00**	**0.00**
18SEP17	B 2 PD18DEC17	2090.50	2127.21	USD	1,835.50	
18SEP17	S 2 PD18DEC17	2090.50	2127.21	USD	-1,835.50	
18SEP17	B 2 PD18DEC17	2090.00	2127.21	USD	1,860.50	
18SEP17	B 1 PD18DEC17	2092.00	2127.21	USD	880.25	
18SEP17	B 1 PD18DEC17	2093.00	2127.21	USD	855.25	
20SEP17	S 2 PD18DEC17	2176.44	2127.21	USD	2,461.50	
29SEP17	S 2 PD18DEC17	2098.90	2127.21	USD	-1,415.50	
	NET 0 AVG BGHT 2091.00 SOLD 2121.95				**4,642.00**	**0.00**
19SEP17	B 2 PD19DEC17	2105.00	2127.48	USD	1,124.00	
19SEP17	S 2 PD19DEC17	2108.00	2127.48	USD	-974.00	
19SEP17	S 2 PD19DEC17	2126.50	2127.48	USD	-49.00	
19SEP17	S 2 PD19DEC17	2124.00	2127.48	USD	-174.00	
19SEP17	B 2 PD19DEC17	2130.00	2127.48	USD	-126.00	
20SEP17	B 2 PD19DEC17	2176.92	2127.48	USD	-2,472.00	
	NET 0 AVG BGHT 2137.31 SOLD 2119.50				**-2,671.00**	**0.00**
20SEP17	B 2 PD20DEC17	2130.00	2127.75	USD	-112.50	
20SEP17	B 2 PD20DEC17	2147.00	2127.75	USD	-962.50	
29SEP17	S 4 PD20DEC17	2099.50	2127.75	USD	-2,825.00	
	NET 0 AVG BGHT 2138.50 SOLD 2099.50				**-3,900.00**	**0.00**
21SEP17	S 2 PD21DEC17	2169.00	2127.98	USD	2,051.00	
21SEP17	S 2 PD21DEC17	2163.00	2127.98	USD	1,751.00	
21SEP17	S 2 PD21DEC17	2181.50	2127.98	USD	2,676.00	
21SEP17	B 2 PD21DEC17	2179.00	2127.98	USD	-2,551.00	
21SEP17	S 2 PD21DEC17	2164.00	2127.98	USD	1,801.00	
21SEP17	S 2 PD21DEC17	2145.50	2127.98	USD	876.00	
21SEP17	B 1 PD21DEC17	2145.50	2127.98	USD	-438.00	
21SEP17	B 1 PD21DEC17	2145.00	2127.98	USD	-425.50	
29SEP17	B 6 PD21DEC17	2099.98	2127.98	USD	4,200.00	
	NET 0 AVG BGHT 2124.84 SOLD 2164.60				**9,940.50**	**0.00**
22SEP17	S 2 PD22DEC17	2165.00	2128.21	USD	1,839.50	
	NET -2 AVG SOLD 2165.00				**1,839.50**	**0.00**
25SEP17	S 2 PD27DEC17	2144.00	2129.38	USD	731.00	
25SEP17	B 2 PD27DEC17	2168.00	2129.38	USD	-1,931.00	
25SEP17	B 2 PD27DEC17	2142.50	2129.38	USD	-656.00	
27SEP17	S 2 PD27DEC17	2138.00	2129.38	USD	431.00	
	NET 0 AVG BGHT 2155.25 SOLD 2141.00				**-1,425.00**	**0.00**
28SEP17	S 2 PD28DEC17	2127.50	2129.61	USD	-105.50	
	NET -2 AVG SOLD 2127.50				**-105.50**	**0.00**
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
05JUL17	B 10 PD05OCT17	5886.50	6468.75	USD	145,562.50	
21JUL17	S 10 PD05OCT17	6000.25	6468.75	USD	-117,125.00	
	NET 0 AVG BGHT 5886.50 SOLD 6000.25				**28,437.50**	**0.00**
06JUL17	B 10 PD06OCT17	5842.00	6470.02	USD	157,005.00	
06JUL17	S 1 PD06OCT17	5849.50	6470.02	USD	-15,513.00	
08AUG17	S 9 PD06OCT17	6468.82	6470.02	USD	-270.00	
	NET 0 AVG BGHT 5842.00 SOLD 6406.89				**141,222.00**	**0.00**
11JUL17	B 5 PD11OCT17	5830.50	6476.37	USD	80,733.75	
11JUL17	B 5 PD11OCT17	5830.00	6476.37	USD	80,796.25	
11JUL17	B 1 PD11OCT17	5836.50	6476.37	USD	15,996.75	
08AUG17	S 11 PD11OCT17	6471.98	6476.37	USD	-1,207.25	
	NET 0 AVG BGHT 5830.82 SOLD 6471.98				**176,319.50**	**0.00**
12JUL17	S 3 PD12OCT17	5927.50	6477.63	USD	-41,259.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094O

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT							
12JUL17	S	3	PD12OCT17	5929.50	6477.63	USD	-41,109.75	
12JUL17	S	3	PD12OCT17	5926.50	6477.63	USD	-41,334.75	
12JUL17	S	1	PD12OCT17	5927.50	6477.63	USD	-13,753.25	
18JUL17	B	10	PD12OCT17	6004.52	6477.63	USD	118,277.50	
	NET	**0**	**AVG BGHT 6004.52**	**SOLD 5927.80**			**-19,180.00**	**0.00**
13JUL17	B	1	PD13OCT17	5882.00	6478.90	USD	14,922.50	
13JUL17	B	3	PD13OCT17	5879.00	6478.90	USD	44,992.50	
13JUL17	B	1	PD13OCT17	5874.00	6478.90	USD	15,122.50	
13JUL17	B	2	PD13OCT17	5875.50	6478.90	USD	30,170.00	
13JUL17	B	1	PD13OCT17	5877.50	6478.90	USD	15,035.00	
13JUL17	B	3	PD13OCT17	5875.00	6478.90	USD	45,292.50	
13JUL17	S	1	PD13OCT17	5875.00	6478.90	USD	-15,097.50	
18JUL17	S	10	PD13OCT17	6004.73	6478.90	USD	-118,542.50	
	NET	**0**	**AVG BGHT 5876.95**	**SOLD 5992.94**			**31,895.00**	**0.00**
19JUL17	S	3	PD19OCT17	6001.00	6486.00	USD	-36,375.00	
19JUL17	S	3	PD19OCT17	6000.50	6486.00	USD	-36,412.50	
19JUL17	S	3	PD19OCT17	6000.00	6486.00	USD	-36,450.00	
19JUL17	S	1	PD19OCT17	5999.50	6486.00	USD	-12,162.50	
19JUL17	B	3	PD19OCT17	5999.50	6486.00	USD	36,487.50	
19JUL17	B	2	PD19OCT17	5996.00	6486.00	USD	24,500.00	
19JUL17	B	4	PD19OCT17	5996.50	6486.00	USD	48,950.00	
19JUL17	B	1	PD19OCT17	5996.00	6486.00	USD	12,250.00	
	NET	**0**	**AVG BGHT 5997.25**	**SOLD 6000.40**			**787.50**	**0.00**
20JUL17	S	3	PD20OCT17	5971.50	6486.75	USD	-38,643.75	
20JUL17	S	3	PD20OCT17	5972.00	6486.75	USD	-38,606.25	
20JUL17	S	4	PD20OCT17	5976.00	6486.75	USD	-51,075.00	
21JUL17	B	10	PD20OCT17	6004.20	6486.75	USD	120,637.50	
	NET	**0**	**AVG BGHT 6004.20**	**SOLD 5973.45**			**-7,687.50**	**0.00**
24JUL17	S	2	PD24OCT17	6015.50	6489.75	USD	-23,712.50	
24JUL17	S	1	PD24OCT17	6016.00	6489.75	USD	-11,843.75	
24JUL17	S	2	PD24OCT17	6012.00	6489.75	USD	-23,887.50	
24JUL17	S	1	PD24OCT17	6025.00	6489.75	USD	-11,618.75	
24JUL17	S	1	PD24OCT17	6024.50	6489.75	USD	-11,631.25	
24JUL17	S	1	PD24OCT17	6025.50	6489.75	USD	-11,606.25	
24JUL17	S	1	PD24OCT17	6026.00	6489.75	USD	-11,593.75	
08AUG17	B	9	PD24OCT17	6474.27	6489.75	USD	3,483.00	
	NET	**0**	**AVG BGHT 6474.27**	**SOLD 6019.11**			**-102,410.75**	**0.00**
25JUL17	S	1	PD25OCT17	6070.50	6490.29	USD	-10,494.75	
25JUL17	B	1	PD25OCT17	6094.50	6490.29	USD	9,894.75	
25JUL17	S	1	PD25OCT17	6217.50	6490.29	USD	-6,819.75	
25JUL17	S	2	PD25OCT17	6215.50	6490.29	USD	-13,739.50	
25JUL17	S	1	PD25OCT17	6217.00	6490.29	USD	-6,832.25	
25JUL17	S	1	PD25OCT17	6206.00	6490.29	USD	-7,107.25	
25JUL17	S	1	PD25OCT17	6210.50	6490.29	USD	-6,994.75	
25JUL17	S	2	PD25OCT17	6210.00	6490.29	USD	-14,014.50	
25JUL17	S	2	PD25OCT17	6209.00	6490.29	USD	-14,064.50	
25JUL17	S	1	PD25OCT17	6198.50	6490.29	USD	-7,294.75	
08AUG17	B	11	PD25OCT17	6474.66	6490.29	USD	4,298.25	
	NET	**0**	**AVG BGHT 6442.98**	**SOLD 6199.08**			**-73,169.00**	**0.00**
27JUL17	S	1	PD27OCT17	6342.50	6491.36	USD	-3,721.50	
27JUL17	S	1	PD27OCT17	6344.00	6491.36	USD	-3,684.00	
27JUL17	B	2	PD27OCT17	6373.50	6491.36	USD	5,893.00	
	NET	**0**	**AVG BGHT 6373.50**	**SOLD 6343.25**			**-1,512.50**	**0.00**
08AUG17	B	1	PD08NOV17	6481.00	6498.25	USD	431.25	
08AUG17	S	1	PD08NOV17	6481.00	6498.25	USD	-431.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094O

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
	NET 0 AVG BGHT 6481.00 SOLD 6481.00				**0.00**	**0.00**
09AUG17	B 1 PD09NOV17	6489.00	6498.71	USD	242.75	
09AUG17	B 1 PD09NOV17	6489.50	6498.71	USD	230.25	
09AUG17	S 2 PD09NOV17	6491.50	6498.71	USD	-360.50	
	NET 0 AVG BGHT 6489.25 SOLD 6491.50				**112.50**	**0.00**
11AUG17	B 1 PD10NOV17	6359.50	6499.18	USD	3,492.00	
11AUG17	B 1 PD10NOV17	6360.00	6499.18	USD	3,479.50	
11AUG17	S 4 PD10NOV17	6362.00	6499.18	USD	-13,718.00	
11AUG17	S 2 PD10NOV17	6359.50	6499.18	USD	-6,984.00	
11AUG17	S 2 PD10NOV17	6361.50	6499.18	USD	-6,884.00	
11AUG17	S 2 PD10NOV17	6363.50	6499.18	USD	-6,784.00	
23AUG17	B 8 PD10NOV17	6564.00	6499.18	USD	-12,964.00	
	NET 0 AVG BGHT 6523.15 SOLD 6361.70				**-40,362.50**	**0.00**
14AUG17	S 2 PD14NOV17	6412.00	6501.04	USD	-4,452.00	
14AUG17	S 2 PD14NOV17	6406.50	6501.04	USD	-4,727.00	
14AUG17	S 2 PD14NOV17	6403.50	6501.04	USD	-4,877.00	
23AUG17	B 6 PD14NOV17	6565.50	6501.04	USD	-9,669.00	
	NET 0 AVG BGHT 6565.50 SOLD 6407.33				**-23,725.00**	**0.00**
16AUG17	B 2 PD16NOV17	6389.50	6501.00	USD	5,575.00	
16AUG17	S 2 PD16NOV17	6382.50	6501.00	USD	-5,925.00	
	NET 0 AVG BGHT 6389.50 SOLD 6382.50				**-350.00**	**0.00**
17AUG17	B 2 PD17NOV17	6498.00	6501.60	USD	180.00	
18AUG17	B 2 PD17NOV17	6526.00	6501.60	USD	-1,220.00	
18AUG17	B 2 PD17NOV17	6469.50	6501.60	USD	1,605.00	
23AUG17	S 6 PD17NOV17	6563.49	6501.60	USD	9,283.50	
	NET 0 AVG BGHT 6497.83 SOLD 6563.49				**9,848.50**	**0.00**
21AUG17	B 2 PD21NOV17	6486.50	6504.00	USD	875.00	
21AUG17	B 2 PD21NOV17	6564.50	6504.00	USD	-3,025.00	
21AUG17	B 2 PD21NOV17	6580.00	6504.00	USD	-3,800.00	
21AUG17	B 2 PD21NOV17	6589.00	6504.00	USD	-4,250.00	
21AUG17	B 4 PD21NOV17	6588.00	6504.00	USD	-8,400.00	
21AUG17	S 2 PD21NOV17	6541.50	6504.00	USD	1,875.00	
21AUG17	S 2 PD21NOV17	6541.00	6504.00	USD	1,850.00	
21AUG17	B 1 PD21NOV17	6569.00	6504.00	USD	-1,625.00	
21AUG17	B 1 PD21NOV17	6567.50	6504.00	USD	-1,587.50	
21AUG17	B 2 PD21NOV17	6575.00	6504.00	USD	-3,550.00	
21AUG17	B 2 PD21NOV17	6587.50	6504.00	USD	-4,175.00	
21AUG17	B 2 PD21NOV17	6568.50	6504.00	USD	-3,225.00	
21AUG17	B 2 PD21NOV17	6567.00	6504.00	USD	-3,150.00	
21AUG17	B 2 PD21NOV17	6621.00	6504.00	USD	-5,850.00	
21AUG17	S 2 PD21NOV17	6576.50	6504.00	USD	3,625.00	
23AUG17	S 8 PD21NOV17	6564.24	6504.00	USD	12,048.00	
07SEP17	S 10 PD21NOV17	6892.40	6504.00	USD	97,100.00	
	NET 0 AVG BGHT 6573.60 SOLD 6698.16				**74,735.50**	**0.00**
22AUG17	S 2 PD22NOV17	6577.00	6504.60	USD	3,620.00	
22AUG17	B 2 PD22NOV17	6588.50	6504.60	USD	-4,195.00	
22AUG17	B 2 PD22NOV17	6639.50	6504.60	USD	-6,745.00	
22AUG17	B 2 PD22NOV17	6639.00	6504.60	USD	-6,720.00	
22AUG17	S 2 PD22NOV17	6607.50	6504.60	USD	5,145.00	
22AUG17	S 1 PD22NOV17	6606.50	6504.60	USD	2,547.50	
22AUG17	S 1 PD22NOV17	6608.50	6504.60	USD	2,597.50	
	NET 0 AVG BGHT 6622.33 SOLD 6597.33				**-3,750.00**	**0.00**
23AUG17	B 2 PD24NOV17	6566.00	6505.80	USD	-3,010.00	
24AUG17	S 2 PD24NOV17	6605.00	6505.80	USD	4,960.00	
24AUG17	B 2 PD24NOV17	6621.50	6505.80	USD	-5,785.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094O

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
24AUG17	S 1 PD24NOV17	6624.50	6505.80	USD	2,967.50	
24AUG17	S 1 PD24NOV17	6627.00	6505.80	USD	3,030.00	
24AUG17	S 2 PD24NOV17	6633.50	6505.80	USD	6,385.00	
24AUG17	S 1 PD24NOV17	6667.50	6505.80	USD	4,042.50	
24AUG17	S 1 PD24NOV17	6667.50	6505.80	USD	4,042.50	
24AUG17	S 2 PD24NOV17	6700.00	6505.80	USD	9,710.00	
25AUG17	S 2 PD24NOV17	6727.00	6505.80	USD	11,060.00	
25AUG17	B 2 PD24NOV17	6706.50	6505.80	USD	-10,035.00	
25AUG17	S 2 PD24NOV17	6676.50	6505.80	USD	8,535.00	
07SEP17	B 8 PD24NOV17	6893.75	6505.80	USD	-77,590.00	
	NET 0 AVG BGHT 6781.29 SOLD 6662.18				**-41,687.50**	**0.00**
29AUG17	S 2 PD29NOV17	6804.50	6508.80	USD	14,785.00	
07SEP17	B 2 PD29NOV17	6895.65	6508.80	USD	-19,342.50	
	NET 0 AVG BGHT 6895.65 SOLD 6804.50				**-4,557.50**	**0.00**
31AUG17	B 2 PD30NOV17	6784.50	6509.40	USD	-13,755.00	
13SEP17	S 2 PD30NOV17	6548.02	6509.40	USD	1,931.00	
	NET 0 AVG BGHT 6784.50 SOLD 6548.02				**-11,824.00**	**0.00**
01SEP17	B 2 PD01DEC17	6811.00	6510.00	USD	-15,050.00	
01SEP17	B 2 PD01DEC17	6842.00	6510.00	USD	-16,600.00	
13SEP17	S 4 PD01DEC17	6548.45	6510.00	USD	3,845.00	
	NET 0 AVG BGHT 6826.50 SOLD 6548.45				**-27,805.00**	**0.00**
04SEP17	B 2 PD04DEC17	6912.00	6511.29	USD	-20,035.50	
13SEP17	S 2 PD04DEC17	6549.74	6511.29	USD	1,922.50	
	NET 0 AVG BGHT 6912.00 SOLD 6549.74				**-18,113.00**	**0.00**
05SEP17	S 2 PD05DEC17	6930.50	6511.71	USD	20,939.50	
05SEP17	S 2 PD05DEC17	6925.00	6511.71	USD	20,664.50	
05SEP17	B 2 PD05DEC17	6937.00	6511.71	USD	-21,264.50	
05SEP17	B 2 PD05DEC17	6940.00	6511.71	USD	-21,414.50	
05SEP17	S 2 PD05DEC17	6941.50	6511.71	USD	21,489.50	
05SEP17	S 2 PD05DEC17	6932.50	6511.71	USD	21,039.50	
05SEP17	B 2 PD05DEC17	6964.00	6511.71	USD	-22,614.50	
05SEP17	B 1 PD05DEC17	6964.50	6511.71	USD	-11,319.75	
05SEP17	B 1 PD05DEC17	6965.00	6511.71	USD	-11,332.25	
05SEP17	B 2 PD05DEC17	6958.00	6511.71	USD	-22,314.50	
05SEP17	B 2 PD05DEC17	6917.00	6511.71	USD	-20,264.50	
05SEP17	B 2 PD05DEC17	6905.50	6511.71	USD	-19,689.50	
05SEP17	B 2 PD05DEC17	6903.50	6511.71	USD	-19,589.50	
05SEP17	B 2 PD05DEC17	6902.50	6511.71	USD	-19,539.50	
13SEP17	S 10 PD05DEC17	6550.17	6511.71	USD	9,615.00	
	NET 0 AVG BGHT 6932.47 SOLD 6720.04				**-95,595.00**	**0.00**
06SEP17	B 2 PD06DEC17	6874.00	6512.14	USD	-18,093.00	
06SEP17	B 2 PD06DEC17	6877.00	6512.14	USD	-18,243.00	
06SEP17	S 2 PD06DEC17	6911.50	6512.14	USD	19,968.00	
06SEP17	S 4 PD06DEC17	6909.00	6512.14	USD	39,686.00	
06SEP17	S 2 PD06DEC17	6908.50	6512.14	USD	19,818.00	
06SEP17	S 2 PD06DEC17	6905.00	6512.14	USD	19,643.00	
06SEP17	S 1 PD06DEC17	6913.00	6512.14	USD	10,021.50	
06SEP17	S 1 PD06DEC17	6907.50	6512.14	USD	9,884.00	
06SEP17	S 2 PD06DEC17	6908.00	6512.14	USD	19,793.00	
06SEP17	B 1 PD06DEC17	6895.00	6512.14	USD	-9,571.50	
06SEP17	B 1 PD06DEC17	6900.50	6512.14	USD	-9,709.00	
06SEP17	B 2 PD06DEC17	6876.00	6512.14	USD	-18,193.00	
06SEP17	B 4 PD06DEC17	6889.50	6512.14	USD	-37,736.00	
06SEP17	B 4 PD06DEC17	6868.50	6512.14	USD	-35,636.00	
06SEP17	B 1 PD06DEC17	6875.00	6512.14	USD	-9,071.50	
06SEP17	S 1 PD06DEC17	6873.00	6512.14	USD	9,021.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094O

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
06SEP17	B 2 PD06DEC17	6886.00	6512.14	USD	-18,693.00	
13SEP17	S 4 PD06DEC17	6550.60	6512.14	USD	3,846.00	
	NET 0 AVG BGHT 6880.45 SOLD 6831.47				**-23,265.00**	**0.00**
07SEP17	B 2 PD07DEC17	6924.50	6512.57	USD	-20,596.50	
07SEP17	B 2 PD07DEC17	6924.00	6512.57	USD	-20,571.50	
07SEP17	B 2 PD07DEC17	6920.00	6512.57	USD	-20,371.50	
07SEP17	S 4 PD07DEC17	6875.50	6512.57	USD	36,293.00	
07SEP17	S 2 PD07DEC17	6875.00	6512.57	USD	18,121.50	
07SEP17	S 2 PD07DEC17	6872.50	6512.57	USD	17,996.50	
07SEP17	B 1 PD07DEC17	6868.50	6512.57	USD	-8,898.25	
07SEP17	S 3 PD07DEC17	6868.00	6512.57	USD	26,657.25	
07SEP17	S 1 PD07DEC17	6868.50	6512.57	USD	8,898.25	
07SEP17	S 3 PD07DEC17	6869.50	6512.57	USD	26,769.75	
07SEP17	S 4 PD07DEC17	6867.00	6512.57	USD	35,443.00	
07SEP17	S 2 PD07DEC17	6858.00	6512.57	USD	17,271.50	
07SEP17	B 1 PD07DEC17	6893.00	6512.57	USD	-9,510.75	
07SEP17	B 1 PD07DEC17	6893.50	6512.57	USD	-9,523.25	
07SEP17	B 2 PD07DEC17	6894.50	6512.57	USD	-19,096.50	
07SEP17	B 2 PD07DEC17	6902.00	6512.57	USD	-19,471.50	
07SEP17	B 2 PD07DEC17	6896.50	6512.57	USD	-19,196.50	
07SEP17	B 3 PD07DEC17	6901.00	6512.57	USD	-29,132.25	
07SEP17	B 2 PD07DEC17	6894.00	6512.57	USD	-19,071.50	
07SEP17	B 2 PD07DEC17	6889.50	6512.57	USD	-18,846.50	
07SEP17	B 2 PD07DEC17	6886.50	6512.57	USD	-18,696.50	
07SEP17	S 4 PD07DEC17	6876.00	6512.57	USD	36,343.00	
07SEP17	S 2 PD07DEC17	6880.50	6512.57	USD	18,396.50	
07SEP17	S 1 PD07DEC17	6884.50	6512.57	USD	9,298.25	
07SEP17	S 1 PD07DEC17	6885.00	6512.57	USD	9,310.75	
07SEP17	S 2 PD07DEC17	6879.00	6512.57	USD	18,321.50	
07SEP17	S 2 PD07DEC17	6878.00	6512.57	USD	18,271.50	
07SEP17	S 2 PD07DEC17	6901.50	6512.57	USD	19,446.50	
07SEP17	S 2 PD07DEC17	6905.00	6512.57	USD	19,621.50	
07SEP17	S 2 PD07DEC17	6905.50	6512.57	USD	19,646.50	
07SEP17	S 1 PD07DEC17	6901.00	6512.57	USD	9,710.75	
13SEP17	B 16 PD07DEC17	6551.23	6512.57	USD	-15,464.00	
	NET 0 AVG BGHT 6761.02 SOLD 6878.39				**117,370.50**	**0.00**
08SEP17	B 4 PD08DEC17	6929.00	6513.00	USD	-41,600.00	
08SEP17	S 1 PD08DEC17	6926.50	6513.00	USD	10,337.50	
08SEP17	S 3 PD08DEC17	6926.00	6513.00	USD	30,975.00	
08SEP17	S 1 PD08DEC17	6902.50	6513.00	USD	9,737.50	
08SEP17	S 1 PD08DEC17	6895.00	6513.00	USD	9,550.00	
08SEP17	S 2 PD08DEC17	6898.50	6513.00	USD	19,275.00	
08SEP17	S 2 PD08DEC17	6900.50	6513.00	USD	19,375.00	
08SEP17	S 1 PD08DEC17	6853.50	6513.00	USD	8,512.50	
08SEP17	B 2 PD08DEC17	6835.50	6513.00	USD	-16,125.00	
08SEP17	S 1 PD08DEC17	6854.00	6513.00	USD	8,525.00	
08SEP17	S 1 PD08DEC17	6802.50	6513.00	USD	7,237.50	
08SEP17	S 1 PD08DEC17	6802.00	6513.00	USD	7,225.00	
08SEP17	S 2 PD08DEC17	6757.50	6513.00	USD	12,225.00	
08SEP17	B 2 PD08DEC17	6739.50	6513.00	USD	-11,325.00	
08SEP17	B 2 PD08DEC17	6737.50	6513.00	USD	-11,225.00	
13SEP17	B 6 PD08DEC17	6551.66	6513.00	USD	-5,799.00	
	NET 0 AVG BGHT 6728.19 SOLD 6870.44				**56,901.00**	**0.00**
12SEP17	B 2 PD12DEC17	6669.50	6514.58	USD	-7,746.00	
29SEP17	S 2 PD12DEC17	6475.52	6514.58	USD	-1,953.00	
	NET 0 AVG BGHT 6669.50 SOLD 6475.52				**-9,699.00**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094O

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
13SEP17	B 2 PD13DEC17	6685.00	6514.98	USD	-8,501.00	
13SEP17	B 2 PD13DEC17	6630.50	6514.98	USD	-5,776.00	
13SEP17	B 1 PD13DEC17	6564.00	6514.98	USD	-1,225.50	
13SEP17	B 1 PD13DEC17	6565.00	6514.98	USD	-1,250.50	
13SEP17	B 1 PD13DEC17	6565.50	6514.98	USD	-1,263.00	
13SEP17	B 1 PD13DEC17	6566.00	6514.98	USD	-1,275.50	
13SEP17	S 1 PD13DEC17	6564.50	6514.98	USD	1,238.00	
13SEP17	B 1 PD13DEC17	6564.50	6514.98	USD	-1,238.00	
13SEP17	B 3 PD13DEC17	6571.00	6514.98	USD	-4,201.50	
13SEP17	B 2 PD13DEC17	6574.50	6514.98	USD	-2,976.00	
13SEP17	B 2 PD13DEC17	6573.50	6514.98	USD	-2,926.00	
13SEP17	B 1 PD13DEC17	6566.50	6514.98	USD	-1,288.00	
13SEP17	B 1 PD13DEC17	6567.00	6514.98	USD	-1,300.50	
13SEP17	B 2 PD13DEC17	6557.50	6514.98	USD	-2,126.00	
13SEP17	B 1 PD13DEC17	6564.50	6514.98	USD	-1,238.00	
20SEP17	S 10 PD13DEC17	6524.38	6514.98	USD	2,350.00	
29SEP17	S 10 PD13DEC17	6475.98	6514.98	USD	-9,750.00	
	NET 0 AVG BGHT 6584.67 SOLD 6503.24				**-42,747.50**	**0.00**
14SEP17	B 2 PD14DEC17	6561.50	6515.38	USD	-2,306.00	
14SEP17	B 2 PD14DEC17	6525.00	6515.38	USD	-481.00	
14SEP17	B 2 PD14DEC17	6498.00	6515.38	USD	869.00	
14SEP17	B 2 PD14DEC17	6507.00	6515.38	USD	419.00	
14SEP17	B 2 PD14DEC17	6506.00	6515.38	USD	469.00	
14SEP17	B 2 PD14DEC17	6505.50	6515.38	USD	494.00	
	NET +12 AVG BGHT 6517.17				**-536.00**	**0.00**
15SEP17	S 4 PD15DEC17	6510.50	6515.77	USD	-527.00	
15SEP17	B 1 PD15DEC17	6478.00	6515.77	USD	944.25	
15SEP17	B 1 PD15DEC17	6477.50	6515.77	USD	956.75	
15SEP17	S 2 PD15DEC17	6461.00	6515.77	USD	-2,738.50	
15SEP17	S 2 PD15DEC17	6455.00	6515.77	USD	-3,038.50	
15SEP17	B 2 PD15DEC17	6501.00	6515.77	USD	738.50	
15SEP17	B 2 PD15DEC17	6501.50	6515.77	USD	713.50	
15SEP17	B 2 PD15DEC17	6498.50	6515.77	USD	863.50	
15SEP17	B 1 PD15DEC17	6500.00	6515.77	USD	394.25	
15SEP17	B 1 PD15DEC17	6499.50	6515.77	USD	406.75	
	NET +2 AVG BGHT 6495.70 SOLD 6484.25				**-1,286.50**	**0.00**
18SEP17	S 2 PD18DEC17	6545.00	6516.96	USD	1,402.00	
18SEP17	S 8 PD18DEC17	6541.00	6516.96	USD	4,808.00	
20SEP17	B 10 PD18DEC17	6526.14	6516.96	USD	-2,295.00	
	NET 0 AVG BGHT 6526.14 SOLD 6541.80				**3,915.00**	**0.00**
19SEP17	S 2 PD19DEC17	6528.50	6517.35	USD	557.50	
19SEP17	S 2 PD19DEC17	6531.00	6517.35	USD	682.50	
19SEP17	B 2 PD19DEC17	6519.50	6517.35	USD	-107.50	
19SEP17	B 2 PD19DEC17	6517.50	6517.35	USD	-7.50	
19SEP17	B 2 PD19DEC17	6514.00	6517.35	USD	167.50	
	NET +2 AVG BGHT 6517.00 SOLD 6529.75				**1,292.50**	**0.00**
20SEP17	S 2 PD20DEC17	6574.00	6517.75	USD	2,812.50	
20SEP17	S 2 PD20DEC17	6549.50	6517.75	USD	1,587.50	
20SEP17	S 2 PD20DEC17	6546.00	6517.75	USD	1,412.50	
20SEP17	S 1 PD20DEC17	6535.50	6517.75	USD	443.75	
20SEP17	S 1 PD20DEC17	6535.00	6517.75	USD	431.25	
29SEP17	B 8 PD20DEC17	6479.45	6517.75	USD	7,660.00	
	NET 0 AVG BGHT 6479.45 SOLD 6551.19				**14,347.50**	**0.00**
21SEP17	B 1 PD21DEC17	6466.00	6516.75	USD	1,268.75	
21SEP17	B 1 PD21DEC17	6466.50	6516.75	USD	1,256.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094O

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT							
21SEP17	S	2	PD21DEC17	6427.00	6516.75	USD	-4,487.50	
21SEP17	S	2	PD21DEC17	6431.50	6516.75	USD	-4,262.50	
21SEP17	S	2	PD21DEC17	6434.00	6516.75	USD	-4,137.50	
21SEP17	B	2	PD21DEC17	6444.50	6516.75	USD	3,612.50	
21SEP17	B	2	PD21DEC17	6453.50	6516.75	USD	3,162.50	
21SEP17	S	1	PD21DEC17	6431.00	6516.75	USD	-2,143.75	
21SEP17	S	1	PD21DEC17	6430.00	6516.75	USD	-2,168.75	
29SEP17	B	2	PD21DEC17	6478.45	6516.75	USD	1,915.00	
	NET	**0**	**AVG BGHT 6460.68**	**SOLD 6430.75**			**-5,985.00**	**0.00**
22SEP17	S	2	PD22DEC17	6428.00	6515.75	USD	-4,387.50	
22SEP17	B	2	PD22DEC17	6434.50	6515.75	USD	4,062.50	
22SEP17	B	4	PD22DEC17	6416.00	6515.75	USD	9,975.00	
22SEP17	S	2	PD22DEC17	6400.50	6515.75	USD	-5,762.50	
22SEP17	S	2	PD22DEC17	6403.00	6515.75	USD	-5,637.50	
22SEP17	S	2	PD22DEC17	6405.50	6515.75	USD	-5,512.50	
22SEP17	S	2	PD22DEC17	6370.50	6515.75	USD	-7,262.50	
22SEP17	B	2	PD22DEC17	6473.00	6515.75	USD	2,137.50	
22SEP17	B	2	PD22DEC17	6456.00	6515.75	USD	2,987.50	
22SEP17	B	2	PD22DEC17	6479.00	6515.75	USD	1,837.50	
22SEP17	B	2	PD22DEC17	6477.50	6515.75	USD	1,912.50	
22SEP17	S	2	PD22DEC17	6476.50	6515.75	USD	-1,962.50	
29SEP17	S	2	PD22DEC17	6477.25	6515.75	USD	-1,925.00	
	NET	**0**	**AVG BGHT 6450.29**	**SOLD 6423.04**			**-9,537.50**	**0.00**
25SEP17	S	2	PD27DEC17	6478.50	6517.52	USD	-1,951.00	
25SEP17	S	2	PD27DEC17	6466.00	6517.52	USD	-2,576.00	
25SEP17	S	2	PD27DEC17	6474.50	6517.52	USD	-2,151.00	
25SEP17	S	2	PD27DEC17	6458.50	6517.52	USD	-2,951.00	
26SEP17	B	2	PD27DEC17	6499.00	6517.52	USD	926.00	
26SEP17	B	2	PD27DEC17	6439.50	6517.52	USD	3,901.00	
26SEP17	B	1	PD27DEC17	6436.50	6517.52	USD	2,025.50	
26SEP17	B	1	PD27DEC17	6437.00	6517.52	USD	2,013.00	
26SEP17	B	2	PD27DEC17	6438.50	6517.52	USD	3,951.00	
26SEP17	B	2	PD27DEC17	6422.50	6517.52	USD	4,751.00	
27SEP17	S	2	PD27DEC17	6423.50	6517.52	USD	-4,701.00	
27SEP17	S	2	PD27DEC17	6416.00	6517.52	USD	-5,076.00	
27SEP17	S	2	PD27DEC17	6418.00	6517.52	USD	-4,976.00	
27SEP17	S	2	PD27DEC17	6420.00	6517.52	USD	-4,876.00	
29SEP17	B	4	PD27DEC17	6480.13	6517.52	USD	3,739.00	
29SEP17	B	2	PD27DEC17	6479.93	6517.52	USD	1,879.50	
	NET	**0**	**AVG BGHT 6459.56**	**SOLD 6444.38**			**-6,072.00**	**0.00**
28SEP17	S	2	PD28DEC17	6441.50	6517.88	USD	-3,819.00	
28SEP17	S	2	PD28DEC17	6439.50	6517.88	USD	-3,919.00	
28SEP17	S	2	PD28DEC17	6411.50	6517.88	USD	-5,319.00	
28SEP17	S	1	PD28DEC17	6410.00	6517.88	USD	-2,697.00	
28SEP17	S	1	PD28DEC17	6408.50	6517.88	USD	-2,734.50	
28SEP17	S	2	PD28DEC17	6408.00	6517.88	USD	-5,494.00	
28SEP17	B	2	PD28DEC17	6438.00	6517.88	USD	3,994.00	
28SEP17	B	2	PD28DEC17	6440.00	6517.88	USD	3,894.00	
28SEP17	B	2	PD28DEC17	6459.00	6517.88	USD	2,944.00	
28SEP17	B	2	PD28DEC17	6462.50	6517.88	USD	2,769.00	
28SEP17	B	2	PD28DEC17	6485.00	6517.88	USD	1,644.00	
28SEP17	B	2	PD28DEC17	6518.00	6517.88	USD	-6.00	
29SEP17	S	2	PD28DEC17	6480.26	6517.88	USD	-1,881.00	
	NET	**0**	**AVG BGHT 6467.08**	**SOLD 6431.67**			**-10,624.50**	**0.00**
29SEP17	S	2	PD29DEC17	6534.50	6518.23	USD	813.50	
29SEP17	S	2	PD29DEC17	6534.00	6518.23	USD	788.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094O

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT							
29SEP17	S	8	PD29DEC17	6525.00	6518.23	USD	1,354.00	
29SEP17	S	3	PD29DEC17	6525.50	6518.23	USD	545.25	
29SEP17	S	1	PD29DEC17	6524.00	6518.23	USD	144.25	
29SEP17	B	2	PD29DEC17	6568.00	6518.23	USD	-2,488.50	
29SEP17	B	6	PD29DEC17	6570.00	6518.23	USD	-7,765.50	
29SEP17	B	2	PD29DEC17	6563.50	6518.23	USD	-2,263.50	
29SEP17	B	2	PD29DEC17	6570.50	6518.23	USD	-2,613.50	
29SEP17	B	1	PD29DEC17	6571.00	6518.23	USD	-1,319.25	
29SEP17	B	1	PD29DEC17	6571.50	6518.23	USD	-1,331.75	
29SEP17	B	2	PD29DEC17	6574.00	6518.23	USD	-2,788.50	
29SEP17	B	2	PD29DEC17	6573.00	6518.23	USD	-2,738.50	
29SEP17	S	2	PD29DEC17	6565.50	6518.23	USD	2,363.50	
	NET	**0**	**AVG BGHT 6570.03**	**SOLD 6531.58**			**-17,300.00**	**0.00**
	CMX GOLD 100 CMX							
26SEP17	B	2	DEC17	1304.1	1274.6	USD	-5,900.00	
26SEP17	B	2	DEC17	1304.0	1274.6	USD	-5,880.00	
27SEP17	B	2	DEC17	1288.6	1274.6	USD	-2,800.00	
29SEP17	B	2	DEC17	1286.7	1274.6	USD	-2,420.00	
	NET	**+8**	**AVG BGHT 1295.9**				**-17,000.00**	**0.00**
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
31JUL17	B	1	PD31OCT17	2329.50	2588.00	USD	6,462.50	
31JUL17	B	1	PD31OCT17	2330.00	2588.00	USD	6,450.00	
07SEP17	S	2	PD31OCT17	2327.94	2588.00	USD	-13,003.00	
	NET	**0**	**AVG BGHT 2329.75**	**SOLD 2327.94**			**-90.50**	**0.00**
03AUG17	S	1	PD03NOV17	2380.50	2588.00	USD	-5,187.50	
03AUG17	S	1	PD03NOV17	2381.00	2588.00	USD	-5,175.00	
03AUG17	B	1	PD03NOV17	2359.50	2588.00	USD	5,712.50	
03AUG17	B	2	PD03NOV17	2358.50	2588.00	USD	11,475.00	
03AUG17	S	2	PD03NOV17	2351.00	2588.00	USD	-11,850.00	
03AUG17	S	2	PD03NOV17	2351.50	2588.00	USD	-11,825.00	
03AUG17	B	2	PD03NOV17	2353.00	2588.00	USD	11,750.00	
03AUG17	B	1	PD03NOV17	2359.50	2588.00	USD	5,712.50	
	NET	**0**	**AVG BGHT 2357.00**	**SOLD 2361.08**			**612.50**	**0.00**
07AUG17	B	2	PD07NOV17	2352.00	2588.00	USD	11,800.00	
07AUG17	S	2	PD07NOV17	2353.00	2588.00	USD	-11,750.00	
	NET	**0**	**AVG BGHT 2352.00**	**SOLD 2353.00**			**50.00**	**0.00**
11AUG17	B	2	PD10NOV17	2356.00	2588.00	USD	11,600.00	
23AUG17	S	2	PD10NOV17	2374.00	2588.00	USD	-10,700.00	
	NET	**0**	**AVG BGHT 2356.00**	**SOLD 2374.00**			**900.00**	**0.00**
16AUG17	S	2	PD16NOV17	2389.00	2588.13	USD	-9,956.50	
23AUG17	B	2	PD16NOV17	2375.20	2588.13	USD	10,646.50	
	NET	**0**	**AVG BGHT 2375.20**	**SOLD 2389.00**			**690.00**	**0.00**
17AUG17	B	1	PD17NOV17	2517.50	2588.26	USD	1,769.00	
17AUG17	B	2	PD17NOV17	2414.50	2588.26	USD	8,688.00	
17AUG17	S	1	PD17NOV17	2415.00	2588.26	USD	-4,331.50	
18AUG17	S	2	PD17NOV17	2402.00	2588.26	USD	-9,313.00	
18AUG17	S	2	PD17NOV17	2372.50	2588.26	USD	-10,788.00	
18AUG17	B	2	PD17NOV17	2379.50	2588.26	USD	10,438.00	
	NET	**0**	**AVG BGHT 2421.10**	**SOLD 2392.80**			**-3,537.50**	**0.00**
21AUG17	B	2	PD21NOV17	2361.50	2588.77	USD	11,363.50	
07SEP17	S	2	PD21NOV17	2335.38	2588.77	USD	-12,669.50	
	NET	**0**	**AVG BGHT 2361.50**	**SOLD 2335.38**			**-1,306.00**	**0.00**
22AUG17	S	2	PD22NOV17	2347.00	2588.90	USD	-12,095.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094O

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
07SEP17	B 2 PD22NOV17	2335.90	2588.90	USD	12,650.00	
	NET 0 AVG BGHT 2335.90 SOLD 2347.00				**555.00**	**0.00**
24AUG17	S 2 PD24NOV17	2388.50	2589.16	USD	-10,033.00	
24AUG17	B 2 PD24NOV17	2355.50	2589.16	USD	11,683.00	
25AUG17	B 2 PD24NOV17	2374.00	2589.16	USD	10,758.00	
25AUG17	S 1 PD24NOV17	2348.00	2589.16	USD	-6,029.00	
25AUG17	S 1 PD24NOV17	2347.00	2589.16	USD	-6,054.00	
25AUG17	S 2 PD24NOV17	2345.50	2589.16	USD	-12,183.00	
07SEP17	B 2 PD24NOV17	2336.55	2589.16	USD	12,630.50	
	NET 0 AVG BGHT 2355.35 SOLD 2360.50				**772.50**	**0.00**
29AUG17	B 2 PD29NOV17	2351.50	2589.80	USD	11,915.00	
29AUG17	S 2 PD29NOV17	2408.00	2589.80	USD	-9,090.00	
	NET 0 AVG BGHT 2351.50 SOLD 2408.00				**2,825.00**	**0.00**
30AUG17	B 2 PD30NOV17	2393.00	2589.93	USD	9,846.50	
13SEP17	S 2 PD30NOV17	2285.91	2589.93	USD	-15,201.00	
	NET 0 AVG BGHT 2393.00 SOLD 2285.91				**-5,354.50**	**0.00**
01SEP17	B 2 PD01DEC17	2397.50	2590.06	USD	9,628.00	
13SEP17	S 2 PD01DEC17	2286.22	2590.06	USD	-15,192.00	
	NET 0 AVG BGHT 2397.50 SOLD 2286.22				**-5,564.00**	**0.00**
05SEP17	S 2 PD05DEC17	2327.50	2590.57	USD	-13,153.50	
13SEP17	B 2 PD05DEC17	2287.68	2590.57	USD	15,144.50	
	NET 0 AVG BGHT 2287.68 SOLD 2327.50				**1,991.00**	**0.00**
06SEP17	B 2 PD06DEC17	2332.00	2590.70	USD	12,935.00	
06SEP17	S 2 PD06DEC17	2347.00	2590.70	USD	-12,185.00	
06SEP17	B 2 PD06DEC17	2342.50	2590.70	USD	12,410.00	
20SEP17	S 2 PD06DEC17	2457.80	2590.70	USD	-6,645.00	
	NET 0 AVG BGHT 2337.25 SOLD 2402.40				**6,515.00**	**0.00**
07SEP17	S 2 PD07DEC17	2345.50	2590.83	USD	-12,266.50	
13SEP17	B 2 PD07DEC17	2288.31	2590.83	USD	15,126.00	
	NET 0 AVG BGHT 2288.31 SOLD 2345.50				**2,859.50**	**0.00**
08SEP17	B 2 PD08DEC17	2351.00	2590.96	USD	11,998.00	
08SEP17	S 2 PD08DEC17	2331.50	2590.96	USD	-12,973.00	
08SEP17	B 2 PD08DEC17	2269.00	2590.96	USD	16,098.00	
20SEP17	S 2 PD08DEC17	2458.11	2590.96	USD	-6,642.50	
	NET 0 AVG BGHT 2310.00 SOLD 2394.81				**8,480.50**	**0.00**
11SEP17	S 2 PD11DEC17	2265.50	2591.34	USD	-16,292.00	
11SEP17	B 2 PD11DEC17	2281.50	2591.34	USD	15,492.00	
11SEP17	B 2 PD11DEC17	2275.00	2591.34	USD	15,817.00	
20SEP17	S 2 PD11DEC17	2458.59	2591.34	USD	-6,637.50	
	NET 0 AVG BGHT 2278.25 SOLD 2362.05				**8,379.50**	**0.00**
12SEP17	S 2 PD12DEC17	2277.00	2591.47	USD	-15,723.50	
12SEP17	B 2 PD12DEC17	2282.50	2591.47	USD	15,448.50	
	NET 0 AVG BGHT 2282.50 SOLD 2277.00				**-275.00**	**0.00**
13SEP17	S 2 PD13DEC17	2290.00	2591.60	USD	-15,080.00	
13SEP17	B 2 PD13DEC17	2300.00	2591.60	USD	14,580.00	
	NET 0 AVG BGHT 2300.00 SOLD 2290.00				**-500.00**	**0.00**
15SEP17	S 1 PD15DEC17	2327.50	2591.86	USD	-6,609.00	
15SEP17	S 1 PD15DEC17	2327.00	2591.86	USD	-6,621.50	
15SEP17	S 1 PD15DEC17	2346.00	2591.86	USD	-6,146.50	
15SEP17	S 1 PD15DEC17	2345.00	2591.86	USD	-6,171.50	
15SEP17	S 2 PD15DEC17	2335.00	2591.86	USD	-12,843.00	
20SEP17	B 6 PD15DEC17	2459.41	2591.86	USD	19,867.50	
	NET 0 AVG BGHT 2459.41 SOLD 2335.92				**-18,524.00**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094O

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
18SEP17	B 2 PD18DEC17	2395.50	2592.24	USD	9,837.00	
29SEP17	S 2 PD18DEC17	2490.08	2592.24	USD	-5,108.00	
	NET 0 AVG BGHT 2395.50 SOLD 2490.08				**4,729.00**	**0.00**
19SEP17	B 2 PD19DEC17	2384.00	2592.37	USD	10,418.50	
19SEP17	S 2 PD19DEC17	2410.50	2592.37	USD	-9,093.50	
19SEP17	B 2 PD19DEC17	2421.50	2592.37	USD	8,543.50	
19SEP17	B 2 PD19DEC17	2419.50	2592.37	USD	8,643.50	
29SEP17	S 4 PD19DEC17	2490.04	2592.37	USD	-10,233.00	
	NET 0 AVG BGHT 2408.33 SOLD 2463.53				**8,279.00**	**0.00**
20SEP17	B 1 PD20DEC17	2448.50	2592.50	USD	3,600.00	
20SEP17	B 1 PD20DEC17	2449.00	2592.50	USD	3,587.50	
29SEP17	S 2 PD20DEC17	2490.00	2592.50	USD	-5,125.00	
	NET 0 AVG BGHT 2448.75 SOLD 2490.00				**2,062.50**	**0.00**
21SEP17	S 2 PD21DEC17	2491.50	2592.29	USD	-5,039.50	
21SEP17	S 2 PD21DEC17	2487.00	2592.29	USD	-5,264.50	
21SEP17	S 2 PD21DEC17	2473.50	2592.29	USD	-5,939.50	
21SEP17	S 1 PD21DEC17	2466.50	2592.29	USD	-3,144.75	
21SEP17	S 1 PD21DEC17	2464.00	2592.29	USD	-3,207.25	
29SEP17	B 8 PD21DEC17	2489.20	2592.29	USD	20,618.00	
	NET 0 AVG BGHT 2489.20 SOLD 2479.31				**-1,977.50**	**0.00**
22SEP17	B 2 PD22DEC17	2434.00	2592.07	USD	7,903.50	
22SEP17	B 2 PD22DEC17	2390.00	2592.07	USD	10,103.50	
22SEP17	S 1 PD22DEC17	2463.00	2592.07	USD	-3,226.75	
22SEP17	S 1 PD22DEC17	2462.50	2592.07	USD	-3,239.25	
22SEP17	B 2 PD22DEC17	2476.00	2592.07	USD	5,803.50	
22SEP17	S 1 PD22DEC17	2482.50	2592.07	USD	-2,739.25	
22SEP17	S 2 PD22DEC17	2475.50	2592.07	USD	-5,828.50	
22SEP17	S 1 PD22DEC17	2484.50	2592.07	USD	-2,689.25	
	NET 0 AVG BGHT 2433.33 SOLD 2473.92				**6,087.50**	**0.00**
25SEP17	S 2 PD27DEC17	2489.50	2591.86	USD	-5,118.00	
25SEP17	B 2 PD27DEC17	2501.00	2591.86	USD	4,543.00	
25SEP17	B 2 PD27DEC17	2502.00	2591.86	USD	4,493.00	
25SEP17	S 2 PD27DEC17	2503.00	2591.86	USD	-4,443.00	
25SEP17	B 2 PD27DEC17	2507.00	2591.86	USD	4,243.00	
25SEP17	S 2 PD27DEC17	2476.00	2591.86	USD	-5,793.00	
	NET 0 AVG BGHT 2503.33 SOLD 2489.50				**-2,075.00**	**0.00**
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
20JUL17	B 2 PD20OCT17	9490.00	10550.93	USD	12,731.16	
20JUL17	B 1 PD20OCT17	9470.00	10550.93	USD	6,485.58	
20JUL17	B 1 PD20OCT17	9495.00	10550.93	USD	6,335.58	
20JUL17	B 2 PD20OCT17	9505.00	10550.93	USD	12,551.16	
21JUL17	S 1 PD20OCT17	9665.00	10550.93	USD	-5,315.58	
21JUL17	S 1 PD20OCT17	9670.00	10550.93	USD	-5,285.58	
21JUL17	S 1 PD20OCT17	9640.00	10550.93	USD	-5,465.58	
21JUL17	B 1 PD20OCT17	9645.00	10550.93	USD	5,435.58	
21JUL17	S 1 PD20OCT17	9610.00	10550.93	USD	-5,645.58	
21JUL17	B 1 PD20OCT17	9615.00	10550.93	USD	5,615.58	
21JUL17	S 1 PD20OCT17	9600.00	10550.93	USD	-5,705.58	
21JUL17	S 3 PD20OCT17	9605.00	10550.93	USD	-17,026.74	
	NET 0 AVG BGHT 9526.88 SOLD 9625.00				**4,710.00**	**0.00**
24JUL17	B 1 PD24OCT17	9625.00	10554.79	USD	5,578.74	
24JUL17	B 1 PD24OCT17	9620.00	10554.79	USD	5,608.74	
24JUL17	B 2 PD24OCT17	9640.00	10554.79	USD	10,977.48	
24JUL17	B 1 PD24OCT17	9645.00	10554.79	USD	5,458.74	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094O

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
24JUL17	B 1 PD24OCT17	9650.00	10554.79	USD	5,428.74	
24JUL17	B 1 PD24OCT17	9655.00	10554.79	USD	5,398.74	
24JUL17	B 1 PD24OCT17	9675.00	10554.79	USD	5,278.74	
24JUL17	B 1 PD24OCT17	9670.00	10554.79	USD	5,308.74	
24JUL17	B 1 PD24OCT17	9655.00	10554.79	USD	5,398.74	
08AUG17	S 10 PD24OCT17	10623.93	10554.79	USD	4,148.40	
	NET 0 AVG BGHT 9647.50 SOLD 10623.93				**58,585.80**	**0.00**
25JUL17	B 1 PD25OCT17	9860.00	10555.75	USD	4,174.50	
25JUL17	S 1 PD25OCT17	9875.00	10555.75	USD	-4,084.50	
25JUL17	S 1 PD25OCT17	9965.00	10555.75	USD	-3,544.50	
25JUL17	S 1 PD25OCT17	9960.00	10555.75	USD	-3,574.50	
25JUL17	S 1 PD25OCT17	9950.00	10555.75	USD	-3,634.50	
25JUL17	S 1 PD25OCT17	9945.00	10555.75	USD	-3,664.50	
25JUL17	S 1 PD25OCT17	9955.00	10555.75	USD	-3,604.50	
25JUL17	S 1 PD25OCT17	9975.00	10555.75	USD	-3,484.50	
25JUL17	S 2 PD25OCT17	10000.00	10555.75	USD	-6,669.00	
25JUL17	S 1 PD25OCT17	10010.00	10555.75	USD	-3,274.50	
25JUL17	S 1 PD25OCT17	10015.00	10555.75	USD	-3,244.50	
25JUL17	B 1 PD25OCT17	10010.00	10555.75	USD	3,274.50	
25JUL17	S 1 PD25OCT17	10005.00	10555.75	USD	-3,304.50	
08AUG17	B 10 PD25OCT17	10625.33	10555.75	USD	-4,174.80	
	NET 0 AVG BGHT 10510.28 SOLD 9971.25				**-38,809.80**	**0.00**
26JUL17	B 1 PD26OCT17	10080.00	10556.71	USD	2,860.26	
26JUL17	S 1 PD26OCT17	10010.00	10556.71	USD	-3,280.26	
26JUL17	S 1 PD26OCT17	9995.00	10556.71	USD	-3,370.26	
26JUL17	B 1 PD26OCT17	9930.00	10556.71	USD	3,760.26	
26JUL17	S 1 PD26OCT17	9955.00	10556.71	USD	-3,610.26	
26JUL17	B 1 PD26OCT17	10080.00	10556.71	USD	2,860.26	
	NET 0 AVG BGHT 10030.00 SOLD 9986.67				**-780.00**	**0.00**
27JUL17	B 1 PD27OCT17	10000.00	10557.68	USD	3,346.08	
27JUL17	B 1 PD27OCT17	9995.00	10557.68	USD	3,376.08	
27JUL17	B 1 PD27OCT17	9990.00	10557.68	USD	3,406.08	
27JUL17	B 1 PD27OCT17	9980.00	10557.68	USD	3,466.08	
27JUL17	B 1 PD27OCT17	10005.00	10557.68	USD	3,316.08	
27JUL17	B 2 PD27OCT17	10015.00	10557.68	USD	6,512.16	
27JUL17	B 1 PD27OCT17	10030.00	10557.68	USD	3,166.08	
27JUL17	B 6 PD27OCT17	10025.00	10557.68	USD	19,176.48	
27JUL17	S 2 PD27OCT17	10050.00	10557.68	USD	-6,092.16	
27JUL17	S 2 PD27OCT17	10055.00	10557.68	USD	-6,032.16	
27JUL17	S 1 PD27OCT17	10090.00	10557.68	USD	-2,806.08	
27JUL17	S 1 PD27OCT17	10150.00	10557.68	USD	-2,446.08	
27JUL17	S 1 PD27OCT17	10140.00	10557.68	USD	-2,506.08	
27JUL17	S 1 PD27OCT17	10135.00	10557.68	USD	-2,536.08	
27JUL17	S 2 PD27OCT17	10130.00	10557.68	USD	-5,132.16	
27JUL17	S 4 PD27OCT17	10125.00	10557.68	USD	-10,384.32	
28JUL17	S 1 PD27OCT17	10090.00	10557.68	USD	-2,806.08	
28JUL17	S 1 PD27OCT17	10060.00	10557.68	USD	-2,986.08	
28JUL17	B 1 PD27OCT17	10055.00	10557.68	USD	3,016.08	
28JUL17	S 1 PD27OCT17	10025.00	10557.68	USD	-3,196.08	
28JUL17	B 1 PD27OCT17	10060.00	10557.68	USD	2,986.08	
28JUL17	B 2 PD27OCT17	10050.00	10557.68	USD	6,092.16	
28JUL17	B 1 PD27OCT17	10105.00	10557.68	USD	2,716.08	
28JUL17	B 1 PD27OCT17	10130.00	10557.68	USD	2,566.08	
28JUL17	S 1 PD27OCT17	10245.00	10557.68	USD	-1,876.08	
28JUL17	S 1 PD27OCT17	10240.00	10557.68	USD	-1,906.08	
28JUL17	S 1 PD27OCT17	10250.00	10557.68	USD	-1,846.08	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094O

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
28JUL17	S	1	PD27OCT17	10255.00	10557.68	USD	-1,816.08	
28JUL17	S	1	PD27OCT17	10275.00	10557.68	USD	-1,696.08	
28JUL17	S	1	PD27OCT17	10285.00	10557.68	USD	-1,636.08	
28JUL17	S	2	PD27OCT17	10280.00	10557.68	USD	-3,332.16	
28JUL17	B	1	PD27OCT17	10205.00	10557.68	USD	2,116.08	
28JUL17	B	1	PD27OCT17	10200.00	10557.68	USD	2,146.08	
28JUL17	B	2	PD27OCT17	10245.00	10557.68	USD	3,752.16	
28JUL17	B	2	PD27OCT17	10250.00	10557.68	USD	3,692.16	
28JUL17	S	3	PD27OCT17	10260.00	10557.68	USD	-5,358.24	
08AUG17	B	2	PD27OCT17	10625.14	10557.68	USD	-809.52	
	NET	**0**	**AVG BGHT 10116.97**	**SOLD 10162.50**			**7,648.32**	**0.00**
31JUL17	B	2	PD31OCT17	10250.00	10561.54	USD	3,738.48	
31JUL17	B	2	PD31OCT17	10240.00	10561.54	USD	3,858.48	
31JUL17	B	3	PD31OCT17	10225.00	10561.54	USD	6,057.72	
31JUL17	B	1	PD31OCT17	10225.00	10561.54	USD	2,019.24	
08AUG17	S	8	PD31OCT17	10626.76	10561.54	USD	3,130.56	
	NET	**0**	**AVG BGHT 10235.00**	**SOLD 10626.76**			**18,804.48**	**0.00**
01AUG17	S	2	PD01NOV17	10095.00	10562.50	USD	-5,610.00	
01AUG17	S	2	PD01NOV17	10110.00	10562.50	USD	-5,430.00	
01AUG17	B	1	PD01NOV17	10105.00	10562.50	USD	2,745.00	
01AUG17	B	1	PD01NOV17	10110.00	10562.50	USD	2,715.00	
01AUG17	B	2	PD01NOV17	10155.00	10562.50	USD	4,890.00	
01AUG17	S	2	PD01NOV17	10100.00	10562.50	USD	-5,550.00	
01AUG17	S	2	PD01NOV17	10090.00	10562.50	USD	-5,670.00	
01AUG17	S	2	PD01NOV17	10200.00	10562.50	USD	-4,350.00	
01AUG17	S	4	PD01NOV17	10175.00	10562.50	USD	-9,300.00	
01AUG17	S	2	PD01NOV17	10190.00	10562.50	USD	-4,470.00	
08AUG17	B	4	PD01NOV17	10627.17	10562.50	USD	-1,552.08	
08AUG17	B	8	PD01NOV17	10628.17	10562.50	USD	-3,152.16	
	NET	**0**	**AVG BGHT 10503.69**	**SOLD 10141.88**			**-34,734.24**	**0.00**
02AUG17	B	2	PD02NOV17	10210.00	10563.46	USD	4,241.52	
02AUG17	S	2	PD02NOV17	10210.00	10563.46	USD	-4,241.52	
02AUG17	B	2	PD02NOV17	10335.00	10563.46	USD	2,741.52	
08AUG17	S	2	PD02NOV17	10626.57	10563.46	USD	757.32	
	NET	**0**	**AVG BGHT 10272.50**	**SOLD 10418.29**			**3,498.84**	**0.00**
07AUG17	S	2	PD07NOV17	10300.00	10568.29	USD	-3,219.48	
07AUG17	B	2	PD07NOV17	10345.00	10568.29	USD	2,679.48	
07AUG17	B	2	PD07NOV17	10380.00	10568.29	USD	2,259.48	
07AUG17	B	1	PD07NOV17	10370.00	10568.29	USD	1,189.74	
07AUG17	B	1	PD07NOV17	10365.00	10568.29	USD	1,219.74	
07AUG17	B	3	PD07NOV17	10355.00	10568.29	USD	3,839.22	
07AUG17	B	3	PD07NOV17	10360.00	10568.29	USD	3,749.22	
07AUG17	B	2	PD07NOV17	10385.00	10568.29	USD	2,199.48	
07AUG17	S	1	PD07NOV17	10385.00	10568.29	USD	-1,099.74	
08AUG17	S	4	PD07NOV17	10628.60	10568.29	USD	1,447.44	
23AUG17	S	7	PD07NOV17	11651.31	10568.29	USD	45,486.84	
	NET	**0**	**AVG BGHT 10364.29**	**SOLD 11075.61**			**59,751.42**	**0.00**
08AUG17	S	2	PD08NOV17	10360.00	10569.25	USD	-2,511.00	
08AUG17	S	2	PD08NOV17	10365.00	10569.25	USD	-2,451.00	
08AUG17	B	2	PD08NOV17	10400.00	10569.25	USD	2,031.00	
08AUG17	B	2	PD08NOV17	10530.00	10569.25	USD	471.00	
08AUG17	B	2	PD08NOV17	10535.00	10569.25	USD	411.00	
08AUG17	B	2	PD08NOV17	10655.00	10569.25	USD	-1,029.00	
08AUG17	S	1	PD08NOV17	10770.00	10569.25	USD	1,204.50	
08AUG17	S	1	PD08NOV17	10755.00	10569.25	USD	1,114.50	
08AUG17	B	1	PD08NOV17	10755.00	10569.25	USD	-1,114.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094O

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
08AUG17	S 1 PD08NOV17	10755.00	10569.25	USD	1,114.50	
23AUG17	S 2 PD08NOV17	11652.02	10569.25	USD	12,993.24	
	NET 0 AVG BGHT 10555.00 SOLD 10781.56				**12,234.24**	**0.00**
09AUG17	S 2 PD09NOV17	10805.00	10570.21	USD	2,817.48	
09AUG17	B 1 PD09NOV17	10755.00	10570.21	USD	-1,108.74	
09AUG17	S 1 PD09NOV17	10755.00	10570.21	USD	1,108.74	
09AUG17	B 2 PD09NOV17	10775.00	10570.21	USD	-2,457.48	
09AUG17	S 2 PD09NOV17	10765.00	10570.21	USD	2,337.48	
09AUG17	B 4 PD09NOV17	10740.00	10570.21	USD	-4,074.96	
09AUG17	S 1 PD09NOV17	10735.00	10570.21	USD	988.74	
09AUG17	S 3 PD09NOV17	10740.00	10570.21	USD	3,056.22	
09AUG17	B 2 PD09NOV17	10735.00	10570.21	USD	-1,977.48	
	NET 0 AVG BGHT 10748.33 SOLD 10761.11				**690.00**	**0.00**
10AUG17	S 1 PD10NOV17	10800.00	10571.18	USD	1,372.92	
10AUG17	S 3 PD10NOV17	10795.00	10571.18	USD	4,028.76	
10AUG17	B 2 PD10NOV17	10800.00	10571.18	USD	-2,745.84	
10AUG17	B 2 PD10NOV17	10795.00	10571.18	USD	-2,685.84	
10AUG17	B 2 PD10NOV17	10780.00	10571.18	USD	-2,505.84	
10AUG17	S 1 PD10NOV17	10915.00	10571.18	USD	2,062.92	
10AUG17	S 1 PD10NOV17	10925.00	10571.18	USD	2,122.92	
11AUG17	S 1 PD10NOV17	10820.00	10571.18	USD	1,492.92	
11AUG17	S 1 PD10NOV17	10815.00	10571.18	USD	1,462.92	
11AUG17	S 4 PD10NOV17	10835.00	10571.18	USD	6,331.68	
11AUG17	S 2 PD10NOV17	10735.00	10571.18	USD	1,965.84	
11AUG17	S 2 PD10NOV17	10635.00	10571.18	USD	765.84	
11AUG17	S 4 PD10NOV17	10655.00	10571.18	USD	2,011.68	
11AUG17	B 2 PD10NOV17	10660.00	10571.18	USD	-1,065.84	
11AUG17	S 4 PD10NOV17	10660.00	10571.18	USD	2,131.68	
11AUG17	B 7 PD10NOV17	10655.00	10571.18	USD	-3,520.44	
11AUG17	B 12 PD10NOV17	10680.00	10571.18	USD	-7,835.04	
11AUG17	S 2 PD10NOV17	10605.00	10571.18	USD	405.84	
11AUG17	S 2 PD10NOV17	10650.00	10571.18	USD	945.84	
11AUG17	B 1 PD10NOV17	10655.00	10571.18	USD	-502.92	
	NET 0 AVG BGHT 10695.36 SOLD 10732.50				**6,240.00**	**0.00**
14AUG17	S 4 PD14NOV17	10490.00	10575.04	USD	-2,040.96	
14AUG17	B 2 PD14NOV17	10515.00	10575.04	USD	720.48	
14AUG17	B 2 PD14NOV17	10525.00	10575.04	USD	600.48	
14AUG17	B 2 PD14NOV17	10490.00	10575.04	USD	1,020.48	
14AUG17	B 2 PD14NOV17	10435.00	10575.04	USD	1,680.48	
14AUG17	S 2 PD14NOV17	10440.00	10575.04	USD	-1,620.48	
14AUG17	S 2 PD14NOV17	10415.00	10575.04	USD	-1,920.48	
14AUG17	S 2 PD14NOV17	10420.00	10575.04	USD	-1,860.48	
14AUG17	S 2 PD14NOV17	10410.00	10575.04	USD	-1,980.48	
14AUG17	S 2 PD14NOV17	10430.00	10575.04	USD	-1,740.48	
23AUG17	B 6 PD14NOV17	11657.29	10575.04	USD	-38,961.00	
	NET 0 AVG BGHT 10990.98 SOLD 10442.14				**-46,102.44**	**0.00**
15AUG17	B 2 PD15NOV17	10415.00	10576.00	USD	1,932.00	
15AUG17	B 4 PD15NOV17	10455.00	10576.00	USD	2,904.00	
15AUG17	S 2 PD15NOV17	10495.00	10576.00	USD	-972.00	
15AUG17	S 2 PD15NOV17	10410.00	10576.00	USD	-1,992.00	
15AUG17	B 4 PD15NOV17	10410.00	10576.00	USD	3,984.00	
15AUG17	B 2 PD15NOV17	10355.00	10576.00	USD	2,652.00	
23AUG17	S 8 PD15NOV17	11657.00	10576.00	USD	51,888.00	
	NET 0 AVG BGHT 10416.67 SOLD 11255.50				**60,396.00**	**0.00**
16AUG17	S 4 PD16NOV17	10555.00	10576.89	USD	-525.36	
16AUG17	S 2 PD16NOV17	10560.00	10576.89	USD	-202.68	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094O

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
16AUG17	S 1 PD16NOV17	10565.00	10576.89	USD	-71.34	
16AUG17	S 4 PD16NOV17	10570.00	10576.89	USD	-165.36	
16AUG17	S 6 PD16NOV17	10575.00	10576.89	USD	-68.04	
16AUG17	B 2 PD16NOV17	10690.00	10576.89	USD	-1,357.32	
16AUG17	B 4 PD16NOV17	10685.00	10576.89	USD	-2,594.64	
16AUG17	B 4 PD16NOV17	10680.00	10576.89	USD	-2,474.64	
16AUG17	B 2 PD16NOV17	10705.00	10576.89	USD	-1,537.32	
16AUG17	S 2 PD16NOV17	10750.00	10576.89	USD	2,077.32	
16AUG17	B 4 PD16NOV17	10720.00	10576.89	USD	-3,434.64	
16AUG17	S 1 PD16NOV17	10565.00	10576.89	USD	-71.34	
16AUG17	S 2 PD16NOV17	10570.00	10576.89	USD	-82.68	
16AUG17	S 2 PD16NOV17	10815.00	10576.89	USD	2,857.32	
23AUG17	B 8 PD16NOV17	11656.00	10576.89	USD	-51,797.28	
	NET 0 AVG BGHT 11015.75 SOLD 10602.92				**-59,448.00**	**0.00**
17AUG17	B 4 PD17NOV17	10805.00	10577.77	USD	-5,453.52	
17AUG17	S 2 PD17NOV17	10780.00	10577.77	USD	2,426.76	
17AUG17	S 2 PD17NOV17	10825.00	10577.77	USD	2,966.76	
17AUG17	S 2 PD17NOV17	10850.00	10577.77	USD	3,266.76	
17AUG17	S 2 PD17NOV17	10865.00	10577.77	USD	3,446.76	
17AUG17	S 2 PD17NOV17	10830.00	10577.77	USD	3,026.76	
17AUG17	S 2 PD17NOV17	10815.00	10577.77	USD	2,846.76	
17AUG17	S 1 PD17NOV17	10810.00	10577.77	USD	1,393.38	
17AUG17	S 1 PD17NOV17	10800.00	10577.77	USD	1,333.38	
17AUG17	S 2 PD17NOV17	10790.00	10577.77	USD	2,546.76	
17AUG17	B 2 PD17NOV17	10810.00	10577.77	USD	-2,786.76	
17AUG17	B 2 PD17NOV17	10725.00	10577.77	USD	-1,766.76	
17AUG17	B 1 PD17NOV17	10730.00	10577.77	USD	-913.38	
17AUG17	B 4 PD17NOV17	10770.00	10577.77	USD	-4,613.52	
17AUG17	B 9 PD17NOV17	10725.00	10577.77	USD	-7,950.42	
17AUG17	B 2 PD17NOV17	10740.00	10577.77	USD	-1,946.76	
18AUG17	B 1 PD17NOV17	10890.00	10577.77	USD	-1,873.38	
18AUG17	B 4 PD17NOV17	10915.00	10577.77	USD	-8,093.52	
18AUG17	B 2 PD17NOV17	11020.00	10577.77	USD	-5,306.76	
18AUG17	B 2 PD17NOV17	11005.00	10577.77	USD	-5,126.76	
18AUG17	S 2 PD17NOV17	10895.00	10577.77	USD	3,806.76	
18AUG17	B 2 PD17NOV17	10875.00	10577.77	USD	-3,566.76	
18AUG17	S 2 PD17NOV17	10865.00	10577.77	USD	3,446.76	
18AUG17	S 1 PD17NOV17	10845.00	10577.77	USD	1,603.38	
18AUG17	S 1 PD17NOV17	10815.00	10577.77	USD	1,423.38	
18AUG17	B 2 PD17NOV17	10865.00	10577.77	USD	-3,446.76	
18AUG17	B 1 PD17NOV17	10855.00	10577.77	USD	-1,663.38	
18AUG17	B 2 PD17NOV17	10975.00	10577.77	USD	-4,766.76	
18AUG17	B 2 PD17NOV17	10970.00	10577.77	USD	-4,706.76	
23AUG17	S 11 PD17NOV17	11655.63	10577.77	USD	71,138.76	
07SEP17	S 9 PD17NOV17	12138.69	10577.77	USD	84,289.68	
	NET 0 AVG BGHT 10831.67 SOLD 11327.62				**124,980.84**	**0.00**
21AUG17	S 1 PD21NOV17	11225.00	10581.31	USD	3,862.14	
21AUG17	S 1 PD21NOV17	11220.00	10581.31	USD	3,832.14	
21AUG17	S 2 PD21NOV17	11110.00	10581.31	USD	6,344.28	
21AUG17	S 2 PD21NOV17	11090.00	10581.31	USD	6,104.28	
21AUG17	B 1 PD21NOV17	11225.00	10581.31	USD	-3,862.14	
21AUG17	S 4 PD21NOV17	11015.00	10581.31	USD	10,408.56	
21AUG17	S 2 PD21NOV17	11120.00	10581.31	USD	6,464.28	
21AUG17	S 2 PD21NOV17	11115.00	10581.31	USD	6,404.28	
21AUG17	S 2 PD21NOV17	11005.00	10581.31	USD	5,084.28	
21AUG17	B 2 PD21NOV17	11175.00	10581.31	USD	-7,124.28	
21AUG17	S 2 PD21NOV17	11200.00	10581.31	USD	7,424.28	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094O

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
21AUG17	S 2 PD21NOV17	11160.00	10581.31	USD	6,944.28	
21AUG17	B 3 PD21NOV17	11220.00	10581.31	USD	-11,496.42	
21AUG17	B 2 PD21NOV17	11155.00	10581.31	USD	-6,884.28	
21AUG17	S 2 PD21NOV17	11195.00	10581.31	USD	7,364.28	
21AUG17	B 2 PD21NOV17	11140.00	10581.31	USD	-6,704.28	
21AUG17	S 2 PD21NOV17	11220.00	10581.31	USD	7,664.28	
21AUG17	B 2 PD21NOV17	11295.00	10581.31	USD	-8,564.28	
21AUG17	B 2 PD21NOV17	11265.00	10581.31	USD	-8,204.28	
21AUG17	S 2 PD21NOV17	11280.00	10581.31	USD	8,384.28	
21AUG17	S 2 PD21NOV17	11270.00	10581.31	USD	8,264.28	
23AUG17	B 14 PD21NOV17	11659.13	10581.31	USD	-90,536.88	
	NET 0 AVG BGHT 11434.74 SOLD 11144.11				**-48,826.92**	**0.00**
22AUG17	S 4 PD22NOV17	11480.00	10582.20	USD	21,547.20	
22AUG17	S 4 PD22NOV17	11475.00	10582.20	USD	21,427.20	
22AUG17	S 2 PD22NOV17	11430.00	10582.20	USD	10,173.60	
22AUG17	S 2 PD22NOV17	11410.00	10582.20	USD	9,933.60	
22AUG17	B 2 PD22NOV17	11475.00	10582.20	USD	-10,713.60	
22AUG17	B 4 PD22NOV17	11450.00	10582.20	USD	-20,827.20	
22AUG17	B 2 PD22NOV17	11425.00	10582.20	USD	-10,113.60	
22AUG17	B 2 PD22NOV17	11400.00	10582.20	USD	-9,813.60	
22AUG17	S 2 PD22NOV17	11415.00	10582.20	USD	9,993.60	
22AUG17	S 2 PD22NOV17	11420.00	10582.20	USD	10,053.60	
22AUG17	S 2 PD22NOV17	11425.00	10582.20	USD	10,113.60	
22AUG17	B 4 PD22NOV17	11405.00	10582.20	USD	-19,747.20	
22AUG17	S 2 PD22NOV17	11395.00	10582.20	USD	9,753.60	
22AUG17	S 2 PD22NOV17	11330.00	10582.20	USD	8,973.60	
22AUG17	S 4 PD22NOV17	11390.00	10582.20	USD	19,387.20	
22AUG17	S 2 PD22NOV17	11405.00	10582.20	USD	9,873.60	
07SEP17	B 5 PD22NOV17	12141.67	10582.20	USD	-46,784.10	
07SEP17	B 9 PD22NOV17	12142.67	10582.20	USD	-84,265.38	
	NET 0 AVG BGHT 11786.16 SOLD 11422.86				**-61,034.28**	**0.00**
23AUG17	S 1 PD24NOV17	11340.00	10583.97	USD	4,536.18	
23AUG17	S 1 PD24NOV17	11345.00	10583.97	USD	4,566.18	
23AUG17	B 2 PD24NOV17	11345.00	10583.97	USD	-9,132.36	
23AUG17	B 2 PD24NOV17	11365.00	10583.97	USD	-9,372.36	
23AUG17	B 1 PD24NOV17	11380.00	10583.97	USD	-4,776.18	
23AUG17	B 1 PD24NOV17	11400.00	10583.97	USD	-4,896.18	
23AUG17	B 2 PD24NOV17	11385.00	10583.97	USD	-9,612.36	
23AUG17	S 1 PD24NOV17	11455.00	10583.97	USD	5,226.18	
23AUG17	S 2 PD24NOV17	11440.00	10583.97	USD	10,272.36	
23AUG17	B 2 PD24NOV17	11450.00	10583.97	USD	-10,392.36	
23AUG17	S 2 PD24NOV17	11450.00	10583.97	USD	10,392.36	
23AUG17	S 2 PD24NOV17	11580.00	10583.97	USD	11,952.36	
23AUG17	B 2 PD24NOV17	11550.00	10583.97	USD	-11,592.36	
23AUG17	S 1 PD24NOV17	11455.00	10583.97	USD	5,226.18	
23AUG17	S 2 PD24NOV17	11685.00	10583.97	USD	13,212.36	
23AUG17	B 2 PD24NOV17	11690.00	10583.97	USD	-13,272.36	
24AUG17	S 2 PD24NOV17	11750.00	10583.97	USD	13,992.36	
24AUG17	S 2 PD24NOV17	11660.00	10583.97	USD	12,912.36	
25AUG17	B 2 PD24NOV17	11680.00	10583.97	USD	-13,152.36	
25AUG17	B 4 PD24NOV17	11690.00	10583.97	USD	-26,544.72	
25AUG17	B 2 PD24NOV17	11520.00	10583.97	USD	-11,232.36	
25AUG17	S 2 PD24NOV17	11540.00	10583.97	USD	11,472.36	
25AUG17	S 2 PD24NOV17	11435.00	10583.97	USD	10,212.36	
25AUG17	S 2 PD24NOV17	11445.00	10583.97	USD	10,332.36	
25AUG17	S 1 PD24NOV17	11465.00	10583.97	USD	5,286.18	
25AUG17	B 2 PD24NOV17	11405.00	10583.97	USD	-9,852.36	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094O

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
25AUG17	B 2 PD24NOV17	11420.00	10583.97	USD	-10,032.36	
25AUG17	S 2 PD24NOV17	11410.00	10583.97	USD	9,912.36	
25AUG17	S 1 PD24NOV17	11465.00	10583.97	USD	5,286.18	
	NET 0 AVG BGHT 11506.15 SOLD 11512.12				**930.00**	**0.00**
29AUG17	S 2 PD29NOV17	11615.00	10588.40	USD	12,319.20	
29AUG17	S 1 PD29NOV17	11835.00	10588.40	USD	7,479.60	
29AUG17	B 1 PD29NOV17	11830.00	10588.40	USD	-7,449.60	
29AUG17	B 1 PD29NOV17	11790.00	10588.40	USD	-7,209.60	
29AUG17	B 3 PD29NOV17	11795.00	10588.40	USD	-21,718.80	
29AUG17	S 3 PD29NOV17	11810.00	10588.40	USD	21,988.80	
29AUG17	B 2 PD29NOV17	11805.00	10588.40	USD	-14,599.20	
29AUG17	B 3 PD29NOV17	11810.00	10588.40	USD	-21,988.80	
29AUG17	B 2 PD29NOV17	11825.00	10588.40	USD	-14,839.20	
29AUG17	S 2 PD29NOV17	11600.00	10588.40	USD	12,139.20	
29AUG17	B 4 PD29NOV17	11835.00	10588.40	USD	-29,918.40	
29AUG17	S 2 PD29NOV17	11640.00	10588.40	USD	12,619.20	
29AUG17	B 4 PD29NOV17	11730.00	10588.40	USD	-27,398.40	
29AUG17	B 4 PD29NOV17	11725.00	10588.40	USD	-27,278.40	
29AUG17	S 2 PD29NOV17	11875.00	10588.40	USD	15,439.20	
29AUG17	S 2 PD29NOV17	11855.00	10588.40	USD	15,199.20	
29AUG17	S 2 PD29NOV17	11805.00	10588.40	USD	14,599.20	
29AUG17	S 2 PD29NOV17	11865.00	10588.40	USD	15,319.20	
29AUG17	S 2 PD29NOV17	11695.00	10588.40	USD	13,279.20	
07SEP17	S 4 PD29NOV17	12144.83	10588.40	USD	37,354.32	
	NET 0 AVG BGHT 11785.63 SOLD 11822.68				**5,335.92**	**0.00**
30AUG17	B 2 PD30NOV17	11760.00	10589.29	USD	-14,048.52	
30AUG17	S 2 PD30NOV17	11715.00	10589.29	USD	13,508.52	
30AUG17	B 1 PD30NOV17	11580.00	10589.29	USD	-5,944.26	
30AUG17	B 1 PD30NOV17	11585.00	10589.29	USD	-5,974.26	
30AUG17	B 4 PD30NOV17	11620.00	10589.29	USD	-24,737.04	
30AUG17	B 2 PD30NOV17	11645.00	10589.29	USD	-12,668.52	
30AUG17	B 2 PD30NOV17	11650.00	10589.29	USD	-12,728.52	
31AUG17	B 1 PD30NOV17	11665.00	10589.29	USD	-6,454.26	
31AUG17	S 1 PD30NOV17	11665.00	10589.29	USD	6,454.26	
31AUG17	B 1 PD30NOV17	11675.00	10589.29	USD	-6,514.26	
31AUG17	B 1 PD30NOV17	11675.00	10589.29	USD	-6,514.26	
31AUG17	B 2 PD30NOV17	11655.00	10589.29	USD	-12,788.52	
31AUG17	B 4 PD30NOV17	11670.00	10589.29	USD	-25,937.04	
31AUG17	B 2 PD30NOV17	11715.00	10589.29	USD	-13,508.52	
31AUG17	S 2 PD30NOV17	11735.00	10589.29	USD	13,748.52	
31AUG17	S 2 PD30NOV17	11715.00	10589.29	USD	13,508.52	
31AUG17	S 2 PD30NOV17	11720.00	10589.29	USD	13,568.52	
31AUG17	S 2 PD30NOV17	11770.00	10589.29	USD	14,168.52	
31AUG17	S 2 PD30NOV17	11795.00	10589.29	USD	14,468.52	
31AUG17	S 4 PD30NOV17	11820.00	10589.29	USD	29,537.04	
31AUG17	S 6 PD30NOV17	11785.00	10589.29	USD	43,045.56	
31AUG17	S 2 PD30NOV17	11800.00	10589.29	USD	14,528.52	
31AUG17	B 2 PD30NOV17	11775.00	10589.29	USD	-14,228.52	
	NET 0 AVG BGHT 11669.60 SOLD 11766.20				**14,490.00**	**0.00**
01SEP17	B 4 PD01DEC17	11815.00	10590.17	USD	-29,395.92	
01SEP17	B 2 PD01DEC17	11805.00	10590.17	USD	-14,577.96	
01SEP17	S 4 PD01DEC17	12000.00	10590.17	USD	33,835.92	
01SEP17	S 4 PD01DEC17	11995.00	10590.17	USD	33,715.92	
01SEP17	S 2 PD01DEC17	11985.00	10590.17	USD	16,737.96	
01SEP17	S 2 PD01DEC17	11990.00	10590.17	USD	16,797.96	
01SEP17	B 2 PD01DEC17	12040.00	10590.17	USD	-17,397.96	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094O

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
01SEP17	B	4	PD01DEC17	12045.00	10590.17	USD	-34,915.92	
01SEP17	B	2	PD01DEC17	12030.00	10590.17	USD	-17,277.96	
01SEP17	S	2	PD01DEC17	11995.00	10590.17	USD	16,857.96	
	NET	**0**	**AVG BGHT 11942.14**	**SOLD 11994.29**			**4,380.00**	**0.00**
04SEP17	B	2	PD04DEC17	12225.00	10592.83	USD	-19,586.04	
04SEP17	B	2	PD04DEC17	12210.00	10592.83	USD	-19,406.04	
04SEP17	S	2	PD04DEC17	12250.00	10592.83	USD	19,886.04	
07SEP17	S	1	PD04DEC17	12147.81	10592.83	USD	9,329.88	
13SEP17	S	1	PD04DEC17	11353.08	10592.83	USD	4,561.50	
	NET	**0**	**AVG BGHT 12217.50**	**SOLD 12000.22**			**-5,214.66**	**0.00**
05SEP17	S	2	PD05DEC17	12270.00	10593.71	USD	20,115.48	
05SEP17	S	2	PD05DEC17	12235.00	10593.71	USD	19,695.48	
05SEP17	S	2	PD05DEC17	12225.00	10593.71	USD	19,575.48	
05SEP17	B	2	PD05DEC17	12225.00	10593.71	USD	-19,575.48	
05SEP17	S	2	PD05DEC17	12215.00	10593.71	USD	19,455.48	
05SEP17	B	4	PD05DEC17	12215.00	10593.71	USD	-38,910.96	
05SEP17	B	4	PD05DEC17	12210.00	10593.71	USD	-38,790.96	
05SEP17	S	2	PD05DEC17	12255.00	10593.71	USD	19,935.48	
05SEP17	S	2	PD05DEC17	12075.00	10593.71	USD	17,775.48	
05SEP17	S	2	PD05DEC17	12080.00	10593.71	USD	17,835.48	
13SEP17	B	1	PD05DEC17	11354.92	10593.71	USD	-4,567.26	
13SEP17	B	3	PD05DEC17	11353.92	10593.71	USD	-13,683.78	
	NET	**0**	**AVG BGHT 11969.05**	**SOLD 12193.57**			**18,859.92**	**0.00**
06SEP17	B	2	PD06DEC17	11995.00	10594.60	USD	-16,804.80	
06SEP17	B	8	PD06DEC17	12000.00	10594.60	USD	-67,459.20	
06SEP17	B	4	PD06DEC17	12010.00	10594.60	USD	-33,969.60	
06SEP17	S	1	PD06DEC17	12070.00	10594.60	USD	8,852.40	
06SEP17	S	3	PD06DEC17	12065.00	10594.60	USD	26,467.20	
06SEP17	S	2	PD06DEC17	12075.00	10594.60	USD	17,764.80	
06SEP17	S	2	PD06DEC17	12080.00	10594.60	USD	17,824.80	
06SEP17	S	2	PD06DEC17	12095.00	10594.60	USD	18,004.80	
06SEP17	S	2	PD06DEC17	12115.00	10594.60	USD	18,244.80	
06SEP17	S	2	PD06DEC17	12130.00	10594.60	USD	18,424.80	
	NET	**0**	**AVG BGHT 12002.14**	**SOLD 12089.64**			**7,350.00**	**0.00**
07SEP17	B	2	PD07DEC17	12250.00	10595.49	USD	-19,854.12	
07SEP17	B	2	PD07DEC17	12230.00	10595.49	USD	-19,614.12	
07SEP17	S	2	PD07DEC17	12290.00	10595.49	USD	20,334.12	
07SEP17	B	2	PD07DEC17	12105.00	10595.49	USD	-18,114.12	
07SEP17	S	1	PD07DEC17	12075.00	10595.49	USD	8,877.06	
07SEP17	S	1	PD07DEC17	12075.00	10595.49	USD	8,877.06	
07SEP17	B	4	PD07DEC17	12110.00	10595.49	USD	-36,348.24	
07SEP17	S	1	PD07DEC17	12150.00	10595.49	USD	9,327.06	
07SEP17	S	1	PD07DEC17	12155.00	10595.49	USD	9,357.06	
07SEP17	S	4	PD07DEC17	12165.00	10595.49	USD	37,668.24	
07SEP17	S	2	PD07DEC17	12170.00	10595.49	USD	18,894.12	
07SEP17	B	2	PD07DEC17	12175.00	10595.49	USD	-18,954.12	
07SEP17	B	18	PD07DEC17	12180.00	10595.49	USD	-171,127.08	
07SEP17	B	4	PD07DEC17	12200.00	10595.49	USD	-38,508.24	
07SEP17	B	2	PD07DEC17	12205.00	10595.49	USD	-19,314.12	
13SEP17	S	3	PD07DEC17	11354.62	10595.49	USD	13,664.34	
13SEP17	S	21	PD07DEC17	11355.62	10595.49	USD	95,776.38	
	NET	**0**	**AVG BGHT 12178.06**	**SOLD 11626.86**			**-119,058.72**	**0.00**
08SEP17	S	2	PD08DEC17	12275.00	10596.37	USD	20,143.56	
08SEP17	S	2	PD08DEC17	12280.00	10596.37	USD	20,203.56	
08SEP17	S	3	PD08DEC17	12290.00	10596.37	USD	30,485.34	
08SEP17	B	1	PD08DEC17	12285.00	10596.37	USD	-10,131.78	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094O

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
08SEP17	S 2 PD08DEC17	12260.00	10596.37	USD	19,963.56	
08SEP17	S 2 PD08DEC17	12255.00	10596.37	USD	19,903.56	
08SEP17	B 1 PD08DEC17	12345.00	10596.37	USD	-10,491.78	
08SEP17	B 1 PD08DEC17	12350.00	10596.37	USD	-10,521.78	
08SEP17	B 4 PD08DEC17	12340.00	10596.37	USD	-41,847.12	
08SEP17	B 3 PD08DEC17	12335.00	10596.37	USD	-31,295.34	
08SEP17	B 1 PD08DEC17	12330.00	10596.37	USD	-10,401.78	
08SEP17	S 2 PD08DEC17	12160.00	10596.37	USD	18,763.56	
08SEP17	S 4 PD08DEC17	12175.00	10596.37	USD	37,887.12	
08SEP17	S 4 PD08DEC17	12155.00	10596.37	USD	37,407.12	
08SEP17	S 2 PD08DEC17	12130.00	10596.37	USD	18,403.56	
08SEP17	S 2 PD08DEC17	12105.00	10596.37	USD	18,103.56	
08SEP17	S 1 PD08DEC17	12090.00	10596.37	USD	8,961.78	
08SEP17	S 3 PD08DEC17	12095.00	10596.37	USD	26,975.34	
08SEP17	S 2 PD08DEC17	12060.00	10596.37	USD	17,563.56	
08SEP17	S 2 PD08DEC17	12030.00	10596.37	USD	17,203.56	
08SEP17	S 2 PD08DEC17	11985.00	10596.37	USD	16,663.56	
08SEP17	S 2 PD08DEC17	11980.00	10596.37	USD	16,603.56	
08SEP17	S 2 PD08DEC17	11960.00	10596.37	USD	16,363.56	
08SEP17	S 2 PD08DEC17	11950.00	10596.37	USD	16,243.56	
08SEP17	S 3 PD08DEC17	11940.00	10596.37	USD	24,185.34	
08SEP17	S 1 PD08DEC17	11935.00	10596.37	USD	8,031.78	
08SEP17	B 2 PD08DEC17	11955.00	10596.37	USD	-16,303.56	
08SEP17	B 4 PD08DEC17	11940.00	10596.37	USD	-32,247.12	
08SEP17	S 2 PD08DEC17	11845.00	10596.37	USD	14,983.56	
08SEP17	S 1 PD08DEC17	11835.00	10596.37	USD	7,431.78	
08SEP17	S 5 PD08DEC17	11840.00	10596.37	USD	37,308.90	
08SEP17	S 6 PD08DEC17	11815.00	10596.37	USD	43,870.68	
08SEP17	S 2 PD08DEC17	11820.00	10596.37	USD	14,683.56	
08SEP17	S 2 PD08DEC17	11805.00	10596.37	USD	14,503.56	
08SEP17	S 2 PD08DEC17	11765.00	10596.37	USD	14,023.56	
08SEP17	S 2 PD08DEC17	11760.00	10596.37	USD	13,963.56	
08SEP17	B 2 PD08DEC17	11775.00	10596.37	USD	-14,143.56	
08SEP17	B 4 PD08DEC17	11780.00	10596.37	USD	-28,407.12	
08SEP17	B 2 PD08DEC17	11770.00	10596.37	USD	-14,083.56	
08SEP17	B 8 PD08DEC17	11765.00	10596.37	USD	-56,094.24	
08SEP17	B 2 PD08DEC17	11760.00	10596.37	USD	-13,963.56	
08SEP17	B 4 PD08DEC17	11755.00	10596.37	USD	-27,807.12	
08SEP17	S 2 PD08DEC17	11755.00	10596.37	USD	13,903.56	
08SEP17	B 2 PD08DEC17	11670.00	10596.37	USD	-12,883.56	
08SEP17	B 2 PD08DEC17	11520.00	10596.37	USD	-11,083.56	
08SEP17	S 2 PD08DEC17	11565.00	10596.37	USD	11,623.56	
08SEP17	B 2 PD08DEC17	11535.00	10596.37	USD	-11,263.56	
08SEP17	S 2 PD08DEC17	11575.00	10596.37	USD	11,743.56	
08SEP17	B 2 PD08DEC17	11540.00	10596.37	USD	-11,323.56	
08SEP17	S 12 PD08DEC17	11590.00	10596.37	USD	71,541.36	
13SEP17	B 21 PD08DEC17	11357.46	10596.37	USD	-95,897.34	
13SEP17	B 17 PD08DEC17	11356.46	10596.37	USD	-77,529.18	
	NET 0 AVG BGHT 11650.72 SOLD 11929.00				**141,921.12**	**0.00**
11SEP17	B 1 PD11DEC17	11490.00	10599.03	USD	-5,345.82	
11SEP17	B 2 PD11DEC17	11480.00	10599.03	USD	-10,571.64	
11SEP17	B 5 PD11DEC17	11485.00	10599.03	USD	-26,579.10	
11SEP17	B 6 PD11DEC17	11495.00	10599.03	USD	-32,254.92	
11SEP17	B 2 PD11DEC17	11615.00	10599.03	USD	-12,191.64	
11SEP17	B 2 PD11DEC17	11655.00	10599.03	USD	-12,671.64	
11SEP17	B 2 PD11DEC17	11750.00	10599.03	USD	-13,811.64	
11SEP17	S 2 PD11DEC17	11815.00	10599.03	USD	14,591.64	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094O

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
11SEP17	S	2	PD11DEC17	11820.00	10599.03	USD	14,651.64	
13SEP17	S	16	PD11DEC17	11358.00	10599.03	USD	72,861.12	
	NET	**0**	**AVG BGHT 11544.25 SOLD 11449.90**				**-11,322.00**	**0.00**
12SEP17	B	2	PD12DEC17	11660.00	10599.91	USD	-12,721.08	
12SEP17	B	2	PD12DEC17	11670.00	10599.91	USD	-12,841.08	
12SEP17	B	1	PD12DEC17	11680.00	10599.91	USD	-6,480.54	
12SEP17	B	1	PD12DEC17	11675.00	10599.91	USD	-6,450.54	
12SEP17	B	2	PD12DEC17	11700.00	10599.91	USD	-13,201.08	
12SEP17	S	2	PD12DEC17	11735.00	10599.91	USD	13,621.08	
12SEP17	S	4	PD12DEC17	11885.00	10599.91	USD	30,842.16	
12SEP17	B	2	PD12DEC17	11885.00	10599.91	USD	-15,421.08	
12SEP17	S	2	PD12DEC17	11925.00	10599.91	USD	15,901.08	
12SEP17	S	2	PD12DEC17	11955.00	10599.91	USD	16,261.08	
12SEP17	B	2	PD12DEC17	11930.00	10599.91	USD	-15,961.08	
13SEP17	S	1	PD12DEC17	11358.50	10599.91	USD	4,551.54	
20SEP17	S	1	PD12DEC17	11373.83	10599.91	USD	4,643.52	
	NET	**0**	**AVG BGHT 11753.75 SOLD 11791.86**				**2,743.98**	**0.00**
13SEP17	B	1	PD13DEC17	11890.00	10600.80	USD	-7,735.20	
13SEP17	B	1	PD13DEC17	11900.00	10600.80	USD	-7,795.20	
13SEP17	B	1	PD13DEC17	11930.00	10600.80	USD	-7,975.20	
13SEP17	S	1	PD13DEC17	11930.00	10600.80	USD	7,975.20	
13SEP17	B	2	PD13DEC17	11800.00	10600.80	USD	-14,390.40	
13SEP17	B	2	PD13DEC17	11830.00	10600.80	USD	-14,750.40	
13SEP17	B	2	PD13DEC17	11585.00	10600.80	USD	-11,810.40	
13SEP17	B	8	PD13DEC17	11590.00	10600.80	USD	-47,481.60	
13SEP17	B	1	PD13DEC17	11600.00	10600.80	USD	-5,995.20	
13SEP17	B	3	PD13DEC17	11605.00	10600.80	USD	-18,075.60	
13SEP17	S	1	PD13DEC17	11425.00	10600.80	USD	4,945.20	
13SEP17	B	2	PD13DEC17	11400.00	10600.80	USD	-9,590.40	
13SEP17	B	2	PD13DEC17	11395.00	10600.80	USD	-9,530.40	
13SEP17	S	1	PD13DEC17	11425.00	10600.80	USD	4,945.20	
13SEP17	B	2	PD13DEC17	11390.00	10600.80	USD	-9,470.40	
13SEP17	S	1	PD13DEC17	11385.00	10600.80	USD	4,705.20	
13SEP17	B	4	PD13DEC17	11380.00	10600.80	USD	-18,700.80	
13SEP17	B	2	PD13DEC17	11375.00	10600.80	USD	-9,290.40	
13SEP17	B	1	PD13DEC17	11380.00	10600.80	USD	-4,675.20	
20SEP17	S	30	PD13DEC17	11374.60	10600.80	USD	139,284.00	
	NET	**0**	**AVG BGHT 11567.79 SOLD 11394.21**				**-35,412.00**	**0.00**
14SEP17	S	8	PD14DEC17	11440.00	10601.69	USD	40,238.88	
14SEP17	S	6	PD14DEC17	11465.00	10601.69	USD	31,079.16	
14SEP17	S	2	PD14DEC17	11455.00	10601.69	USD	10,239.72	
14SEP17	B	2	PD14DEC17	11180.00	10601.69	USD	-6,939.72	
14SEP17	B	2	PD14DEC17	11170.00	10601.69	USD	-6,819.72	
14SEP17	B	4	PD14DEC17	11165.00	10601.69	USD	-13,519.44	
14SEP17	B	6	PD14DEC17	11185.00	10601.69	USD	-20,999.16	
14SEP17	S	2	PD14DEC17	11490.00	10601.69	USD	10,659.72	
14SEP17	B	1	PD14DEC17	11185.00	10601.69	USD	-3,499.86	
14SEP17	B	3	PD14DEC17	11180.00	10601.69	USD	-10,409.58	
14SEP17	S	2	PD14DEC17	11280.00	10601.69	USD	8,139.72	
14SEP17	S	2	PD14DEC17	11270.00	10601.69	USD	8,019.72	
14SEP17	S	4	PD14DEC17	11300.00	10601.69	USD	16,759.44	
14SEP17	S	4	PD14DEC17	11295.00	10601.69	USD	16,639.44	
14SEP17	S	9	PD14DEC17	11275.00	10601.69	USD	36,358.74	
14SEP17	S	1	PD14DEC17	11255.00	10601.69	USD	3,919.86	
14SEP17	S	4	PD14DEC17	11260.00	10601.69	USD	15,799.44	
14SEP17	S	2	PD14DEC17	11285.00	10601.69	USD	8,199.72	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094O

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
14SEP17	S	2	PD14DEC17	11290.00	10601.69	USD	8,259.72	
14SEP17	S	1	PD14DEC17	11275.00	10601.69	USD	4,039.86	
14SEP17	S	1	PD14DEC17	11255.00	10601.69	USD	3,919.86	
20SEP17	B	31	PD14DEC17	11376.37	10601.69	USD	-144,090.48	
20SEP17	B	1	PD14DEC17	11375.37	10601.69	USD	-4,642.08	
	NET	**0**	**AVG BGHT 11304.76**	**SOLD 11342.60**			**11,352.96**	**0.00**
15SEP17	B	3	PD15DEC17	11265.00	10602.57	USD	-11,923.74	
15SEP17	B	1	PD15DEC17	11260.00	10602.57	USD	-3,944.58	
15SEP17	S	2	PD15DEC17	11155.00	10602.57	USD	6,629.16	
15SEP17	S	2	PD15DEC17	11145.00	10602.57	USD	6,509.16	
15SEP17	B	2	PD15DEC17	11125.00	10602.57	USD	-6,269.16	
15SEP17	S	2	PD15DEC17	10890.00	10602.57	USD	3,449.16	
15SEP17	S	1	PD15DEC17	10885.00	10602.57	USD	1,694.58	
15SEP17	S	2	PD15DEC17	11035.00	10602.57	USD	5,189.16	
15SEP17	S	4	PD15DEC17	11050.00	10602.57	USD	10,738.32	
15SEP17	B	3	PD15DEC17	11135.00	10602.57	USD	-9,583.74	
15SEP17	B	1	PD15DEC17	11130.00	10602.57	USD	-3,164.58	
15SEP17	S	1	PD15DEC17	10890.00	10602.57	USD	1,724.58	
20SEP17	B	4	PD15DEC17	11376.14	10602.57	USD	-18,565.68	
	NET	**0**	**AVG BGHT 11238.90**	**SOLD 11030.36**			**-17,517.36**	**0.00**
18SEP17	B	1	PD18DEC17	11170.00	10605.23	USD	-3,388.62	
18SEP17	B	3	PD18DEC17	11160.00	10605.23	USD	-9,985.86	
18SEP17	S	4	PD18DEC17	11175.00	10605.23	USD	13,674.48	
	NET	**0**	**AVG BGHT 11162.50**	**SOLD 11175.00**			**300.00**	**0.00**
19SEP17	B	1	PD19DEC17	11145.00	10606.11	USD	-3,233.34	
19SEP17	B	3	PD19DEC17	11140.00	10606.11	USD	-9,610.02	
19SEP17	B	6	PD19DEC17	11100.00	10606.11	USD	-17,780.04	
19SEP17	B	2	PD19DEC17	11055.00	10606.11	USD	-5,386.68	
19SEP17	B	2	PD19DEC17	11030.00	10606.11	USD	-5,086.68	
19SEP17	S	2	PD19DEC17	11010.00	10606.11	USD	4,846.68	
19SEP17	S	4	PD19DEC17	11000.00	10606.11	USD	9,453.36	
19SEP17	B	2	PD19DEC17	10925.00	10606.11	USD	-3,826.68	
19SEP17	B	2	PD19DEC17	10935.00	10606.11	USD	-3,946.68	
19SEP17	B	4	PD19DEC17	10930.00	10606.11	USD	-7,773.36	
19SEP17	B	2	PD19DEC17	10985.00	10606.11	USD	-4,546.68	
19SEP17	S	4	PD19DEC17	11200.00	10606.11	USD	14,253.36	
19SEP17	S	3	PD19DEC17	11210.00	10606.11	USD	10,870.02	
19SEP17	B	2	PD19DEC17	11175.00	10606.11	USD	-6,826.68	
19SEP17	B	4	PD19DEC17	11165.00	10606.11	USD	-13,413.36	
19SEP17	S	1	PD19DEC17	11210.00	10606.11	USD	3,623.34	
19SEP17	B	2	PD19DEC17	11170.00	10606.11	USD	-6,766.68	
20SEP17	S	5	PD19DEC17	11378.23	10606.11	USD	23,163.60	
29SEP17	S	13	PD19DEC17	10488.61	10606.11	USD	-9,165.00	
	NET	**0**	**AVG BGHT 11065.47**	**SOLD 10903.22**			**-31,151.52**	**0.00**
20SEP17	B	1	PD20DEC17	11390.00	10607.00	USD	-4,698.00	
20SEP17	B	1	PD20DEC17	11375.00	10607.00	USD	-4,608.00	
20SEP17	B	1	PD20DEC17	11390.00	10607.00	USD	-4,698.00	
20SEP17	S	2	PD20DEC17	11390.00	10607.00	USD	9,396.00	
20SEP17	S	2	PD20DEC17	11370.00	10607.00	USD	9,156.00	
20SEP17	S	3	PD20DEC17	11375.00	10607.00	USD	13,824.00	
20SEP17	S	2	PD20DEC17	11345.00	10607.00	USD	8,856.00	
20SEP17	S	2	PD20DEC17	11350.00	10607.00	USD	8,916.00	
20SEP17	S	12	PD20DEC17	11380.00	10607.00	USD	55,656.00	
29SEP17	B	13	PD20DEC17	10490.50	10607.00	USD	9,087.00	
29SEP17	B	7	PD20DEC17	10489.50	10607.00	USD	4,935.00	
	NET	**0**	**AVG BGHT 10606.87**	**SOLD 11373.70**			**105,822.00**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094O

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
21SEP17	S 2 PD21DEC17	11265.00	10608.00	USD	7,884.00	
21SEP17	B 1 PD21DEC17	11210.00	10608.00	USD	-3,612.00	
21SEP17	B 5 PD21DEC17	11205.00	10608.00	USD	-17,910.00	
21SEP17	B 2 PD21DEC17	11200.00	10608.00	USD	-7,104.00	
21SEP17	B 2 PD21DEC17	10980.00	10608.00	USD	-4,464.00	
21SEP17	B 3 PD21DEC17	11000.00	10608.00	USD	-7,056.00	
21SEP17	B 9 PD21DEC17	11005.00	10608.00	USD	-21,438.00	
21SEP17	B 6 PD21DEC17	11105.00	10608.00	USD	-17,892.00	
21SEP17	S 2 PD21DEC17	11090.00	10608.00	USD	5,784.00	
21SEP17	B 6 PD21DEC17	11100.00	10608.00	USD	-17,712.00	
21SEP17	B 2 PD21DEC17	11090.00	10608.00	USD	-5,784.00	
21SEP17	B 2 PD21DEC17	11080.00	10608.00	USD	-5,664.00	
21SEP17	S 2 PD21DEC17	11080.00	10608.00	USD	5,664.00	
21SEP17	B 2 PD21DEC17	11085.00	10608.00	USD	-5,724.00	
21SEP17	B 2 PD21DEC17	11005.00	10608.00	USD	-4,764.00	
21SEP17	S 4 PD21DEC17	10805.00	10608.00	USD	4,728.00	
21SEP17	S 2 PD21DEC17	10720.00	10608.00	USD	1,344.00	
21SEP17	S 2 PD21DEC17	10715.00	10608.00	USD	1,284.00	
21SEP17	S 2 PD21DEC17	10710.00	10608.00	USD	1,224.00	
21SEP17	S 2 PD21DEC17	10650.00	10608.00	USD	504.00	
21SEP17	S 2 PD21DEC17	10640.00	10608.00	USD	384.00	
21SEP17	S 2 PD21DEC17	10830.00	10608.00	USD	2,664.00	
21SEP17	S 2 PD21DEC17	10750.00	10608.00	USD	1,704.00	
21SEP17	S 2 PD21DEC17	10720.00	10608.00	USD	1,344.00	
29SEP17	S 7 PD21DEC17	10489.50	10608.00	USD	-4,977.00	
29SEP17	S 9 PD21DEC17	10490.50	10608.00	USD	-6,345.00	
	NET 0 AVG BGHT 11080.71 SOLD 10700.02				**-95,934.00**	**0.00**
22SEP17	B 4 PD22DEC17	10790.00	10609.00	USD	-4,344.00	
22SEP17	B 2 PD22DEC17	10800.00	10609.00	USD	-2,292.00	
22SEP17	B 4 PD22DEC17	10795.00	10609.00	USD	-4,464.00	
22SEP17	S 2 PD22DEC17	10715.00	10609.00	USD	1,272.00	
22SEP17	S 2 PD22DEC17	10690.00	10609.00	USD	972.00	
22SEP17	S 3 PD22DEC17	10700.00	10609.00	USD	1,638.00	
22SEP17	S 12 PD22DEC17	10695.00	10609.00	USD	6,192.00	
22SEP17	S 2 PD22DEC17	10670.00	10609.00	USD	732.00	
22SEP17	S 6 PD22DEC17	10675.00	10609.00	USD	2,376.00	
22SEP17	B 3 PD22DEC17	10695.00	10609.00	USD	-1,548.00	
22SEP17	B 2 PD22DEC17	10710.00	10609.00	USD	-1,212.00	
22SEP17	S 2 PD22DEC17	10705.00	10609.00	USD	1,152.00	
22SEP17	B 2 PD22DEC17	10705.00	10609.00	USD	-1,152.00	
22SEP17	B 2 PD22DEC17	10715.00	10609.00	USD	-1,272.00	
22SEP17	B 2 PD22DEC17	10675.00	10609.00	USD	-792.00	
22SEP17	B 2 PD22DEC17	10665.00	10609.00	USD	-672.00	
22SEP17	B 2 PD22DEC17	10660.00	10609.00	USD	-612.00	
22SEP17	B 2 PD22DEC17	10610.00	10609.00	USD	-12.00	
22SEP17	B 2 PD22DEC17	10635.00	10609.00	USD	-312.00	
22SEP17	S 2 PD22DEC17	10590.00	10609.00	USD	-228.00	
22SEP17	S 2 PD22DEC17	10585.00	10609.00	USD	-288.00	
22SEP17	B 1 PD22DEC17	10555.00	10609.00	USD	324.00	
22SEP17	S 6 PD22DEC17	10565.00	10609.00	USD	-1,584.00	
22SEP17	S 2 PD22DEC17	10555.00	10609.00	USD	-648.00	
22SEP17	S 1 PD22DEC17	10560.00	10609.00	USD	-294.00	
22SEP17	B 4 PD22DEC17	10585.00	10609.00	USD	576.00	
22SEP17	B 4 PD22DEC17	10575.00	10609.00	USD	816.00	
22SEP17	B 8 PD22DEC17	10570.00	10609.00	USD	1,872.00	
22SEP17	B 2 PD22DEC17	10605.00	10609.00	USD	48.00	
22SEP17	B 6 PD22DEC17	10595.00	10609.00	USD	504.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094O

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
22SEP17	B 8 PD22DEC17	10580.00	10609.00	USD	1,392.00	
22SEP17	B 2 PD22DEC17	10470.00	10609.00	USD	1,668.00	
22SEP17	B 4 PD22DEC17	10465.00	10609.00	USD	3,456.00	
22SEP17	B 4 PD22DEC17	10455.00	10609.00	USD	3,696.00	
29SEP17	S 20 PD22DEC17	10491.50	10609.00	USD	-14,100.00	
	NET +10 AVG BGHT 10619.03 SOLD 10601.45				**-7,140.00**	**0.00**
25SEP17	S 4 PD27DEC17	10630.00	10613.58	USD	394.08	
25SEP17	S 1 PD27DEC17	10500.00	10613.58	USD	-681.48	
25SEP17	S 1 PD27DEC17	10655.00	10613.58	USD	248.52	
25SEP17	S 3 PD27DEC17	10650.00	10613.58	USD	655.56	
25SEP17	S 2 PD27DEC17	10555.00	10613.58	USD	-702.96	
25SEP17	S 3 PD27DEC17	10565.00	10613.58	USD	-874.44	
25SEP17	B 1 PD27DEC17	10570.00	10613.58	USD	261.48	
25SEP17	S 2 PD27DEC17	10495.00	10613.58	USD	-1,422.96	
25SEP17	S 4 PD27DEC17	10635.00	10613.58	USD	514.08	
25SEP17	S 2 PD27DEC17	10625.00	10613.58	USD	137.04	
25SEP17	S 2 PD27DEC17	10600.00	10613.58	USD	-162.96	
25SEP17	S 1 PD27DEC17	10505.00	10613.58	USD	-651.48	
25SEP17	S 6 PD27DEC17	10525.00	10613.58	USD	-3,188.88	
25SEP17	B 2 PD27DEC17	10525.00	10613.58	USD	1,062.96	
25SEP17	B 1 PD27DEC17	10510.00	10613.58	USD	621.48	
25SEP17	B 1 PD27DEC17	10515.00	10613.58	USD	591.48	
25SEP17	S 2 PD27DEC17	10520.00	10613.58	USD	-1,122.96	
25SEP17	B 8 PD27DEC17	10520.00	10613.58	USD	4,491.84	
25SEP17	B 2 PD27DEC17	10530.00	10613.58	USD	1,002.96	
25SEP17	B 2 PD27DEC17	10470.00	10613.58	USD	1,722.96	
25SEP17	S 6 PD27DEC17	10550.00	10613.58	USD	-2,288.88	
25SEP17	S 2 PD27DEC17	10560.00	10613.58	USD	-642.96	
25SEP17	S 6 PD27DEC17	10590.00	10613.58	USD	-848.88	
25SEP17	S 8 PD27DEC17	10570.00	10613.58	USD	-2,091.84	
25SEP17	S 2 PD27DEC17	10560.00	10613.58	USD	-642.96	
26SEP17	B 2 PD27DEC17	10595.00	10613.58	USD	222.96	
26SEP17	B 1 PD27DEC17	10610.00	10613.58	USD	21.48	
26SEP17	B 2 PD27DEC17	10470.00	10613.58	USD	1,722.96	
26SEP17	B 11 PD27DEC17	10475.00	10613.58	USD	9,146.28	
26SEP17	B 2 PD27DEC17	10445.00	10613.58	USD	2,022.96	
26SEP17	B 4 PD27DEC17	10430.00	10613.58	USD	4,405.92	
26SEP17	B 4 PD27DEC17	10425.00	10613.58	USD	4,525.92	
26SEP17	B 2 PD27DEC17	10450.00	10613.58	USD	1,962.96	
26SEP17	S 2 PD27DEC17	10440.00	10613.58	USD	-2,082.96	
26SEP17	B 2 PD27DEC17	10515.00	10613.58	USD	1,182.96	
26SEP17	B 2 PD27DEC17	10520.00	10613.58	USD	1,122.96	
26SEP17	B 2 PD27DEC17	10525.00	10613.58	USD	1,062.96	
26SEP17	B 4 PD27DEC17	10510.00	10613.58	USD	2,485.92	
26SEP17	B 2 PD27DEC17	10495.00	10613.58	USD	1,422.96	
26SEP17	S 1 PD27DEC17	10495.00	10613.58	USD	-711.48	
26SEP17	B 3 PD27DEC17	10490.00	10613.58	USD	2,224.44	
26SEP17	B 2 PD27DEC17	10500.00	10613.58	USD	1,362.96	
26SEP17	B 6 PD27DEC17	10480.00	10613.58	USD	4,808.88	
26SEP17	B 1 PD27DEC17	10485.00	10613.58	USD	771.48	
27SEP17	B 2 PD27DEC17	10505.00	10613.58	USD	1,302.96	
27SEP17	S 2 PD27DEC17	10460.00	10613.58	USD	-1,842.96	
27SEP17	S 4 PD27DEC17	10450.00	10613.58	USD	-3,925.92	
27SEP17	S 4 PD27DEC17	10455.00	10613.58	USD	-3,805.92	
27SEP17	B 2 PD27DEC17	10450.00	10613.58	USD	1,962.96	
27SEP17	S 2 PD27DEC17	10475.00	10613.58	USD	-1,662.96	
27SEP17	B 1 PD27DEC17	10475.00	10613.58	USD	831.48	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094O

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
27SEP17	S 5 PD27DEC17	10470.00	10613.58	USD	-4,307.40	
27SEP17	S 4 PD27DEC17	10490.00	10613.58	USD	-2,965.92	
27SEP17	S 4 PD27DEC17	10505.00	10613.58	USD	-2,605.92	
27SEP17	S 4 PD27DEC17	10510.00	10613.58	USD	-2,485.92	
27SEP17	S 4 PD27DEC17	10515.00	10613.58	USD	-2,365.92	
27SEP17	S 2 PD27DEC17	10495.00	10613.58	USD	-1,422.96	
27SEP17	S 2 PD27DEC17	10525.00	10613.58	USD	-1,062.96	
27SEP17	S 2 PD27DEC17	10530.00	10613.58	USD	-1,002.96	
27SEP17	S 2 PD27DEC17	10540.00	10613.58	USD	-882.96	
27SEP17	S 2 PD27DEC17	10545.00	10613.58	USD	-822.96	
27SEP17	S 4 PD27DEC17	10550.00	10613.58	USD	-1,525.92	
27SEP17	B 2 PD27DEC17	10395.00	10613.58	USD	2,622.96	
27SEP17	B 2 PD27DEC17	10375.00	10613.58	USD	2,862.96	
27SEP17	B 1 PD27DEC17	10295.00	10613.58	USD	1,911.48	
27SEP17	S 2 PD27DEC17	10285.00	10613.58	USD	-3,942.96	
27SEP17	S 2 PD27DEC17	10275.00	10613.58	USD	-4,062.96	
27SEP17	B 2 PD27DEC17	10325.00	10613.58	USD	3,462.96	
27SEP17	S 1 PD27DEC17	10370.00	10613.58	USD	-1,461.48	
27SEP17	B 3 PD27DEC17	10365.00	10613.58	USD	4,474.44	
27SEP17	B 2 PD27DEC17	10345.00	10613.58	USD	3,222.96	
27SEP17	B 4 PD27DEC17	10370.00	10613.58	USD	5,845.92	
27SEP17	S 4 PD27DEC17	10365.00	10613.58	USD	-5,965.92	
27SEP17	B 4 PD27DEC17	10360.00	10613.58	USD	6,085.92	
27SEP17	S 2 PD27DEC17	10290.00	10613.58	USD	-3,882.96	
27SEP17	S 5 PD27DEC17	10295.00	10613.58	USD	-9,557.40	
29SEP17	B 29 PD27DEC17	10498.57	10613.58	USD	20,011.74	
	NET 0 AVG BGHT 10471.53 SOLD 10508.25				**27,098.82**	**0.00**
28SEP17	S 2 PD28DEC17	10280.00	10614.50	USD	-4,014.00	
28SEP17	S 2 PD28DEC17	10295.00	10614.50	USD	-3,834.00	
28SEP17	S 1 PD28DEC17	10325.00	10614.50	USD	-1,737.00	
28SEP17	S 1 PD28DEC17	10350.00	10614.50	USD	-1,587.00	
28SEP17	S 1 PD28DEC17	10290.00	10614.50	USD	-1,947.00	
28SEP17	B 2 PD28DEC17	10280.00	10614.50	USD	4,014.00	
28SEP17	B 5 PD28DEC17	10285.00	10614.50	USD	9,885.00	
28SEP17	B 2 PD28DEC17	10300.00	10614.50	USD	3,774.00	
28SEP17	S 6 PD28DEC17	10255.00	10614.50	USD	-12,942.00	
28SEP17	S 2 PD28DEC17	10260.00	10614.50	USD	-4,254.00	
28SEP17	S 7 PD28DEC17	10250.00	10614.50	USD	-15,309.00	
28SEP17	S 3 PD28DEC17	10245.00	10614.50	USD	-6,651.00	
28SEP17	S 2 PD28DEC17	10235.00	10614.50	USD	-4,554.00	
28SEP17	B 2 PD28DEC17	10245.00	10614.50	USD	4,434.00	
28SEP17	B 6 PD28DEC17	10290.00	10614.50	USD	11,682.00	
28SEP17	B 2 PD28DEC17	10305.00	10614.50	USD	3,714.00	
28SEP17	B 6 PD28DEC17	10340.00	10614.50	USD	9,882.00	
28SEP17	B 3 PD28DEC17	10335.00	10614.50	USD	5,031.00	
28SEP17	B 9 PD28DEC17	10330.00	10614.50	USD	15,363.00	
28SEP17	B 2 PD28DEC17	10320.00	10614.50	USD	3,534.00	
28SEP17	B 1 PD28DEC17	10480.00	10614.50	USD	807.00	
28SEP17	S 1 PD28DEC17	10490.00	10614.50	USD	-747.00	
28SEP17	S 2 PD28DEC17	10485.00	10614.50	USD	-1,554.00	
	NET +10 AVG BGHT 10314.00 SOLD 10286.00				**12,990.00**	**0.00**
29SEP17	S 2 PD29DEC17	10515.00	10615.42	USD	-1,205.04	
29SEP17	S 2 PD29DEC17	10490.00	10615.42	USD	-1,505.04	
29SEP17	S 2 PD29DEC17	10480.00	10615.42	USD	-1,625.04	
29SEP17	S 4 PD29DEC17	10470.00	10615.42	USD	-3,490.08	
29SEP17	S 13 PD29DEC17	10475.00	10615.42	USD	-10,952.76	
29SEP17	B 2 PD29DEC17	10435.00	10615.42	USD	2,165.04	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094O

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
29SEP17	B 4 PD29DEC17	10430.00	10615.42	USD	4,450.08	
29SEP17	B 4 PD29DEC17	10450.00	10615.42	USD	3,970.08	
29SEP17	B 2 PD29DEC17	10475.00	10615.42	USD	1,685.04	
29SEP17	B 1 PD29DEC17	10465.00	10615.42	USD	902.52	
29SEP17	B 3 PD29DEC17	10490.00	10615.42	USD	2,257.56	
29SEP17	S 1 PD29DEC17	10485.00	10615.42	USD	-782.52	
29SEP17	B 5 PD29DEC17	10470.00	10615.42	USD	4,362.60	
29SEP17	B 1 PD29DEC17	10470.00	10615.42	USD	872.52	
29SEP17	B 2 PD29DEC17	10495.00	10615.42	USD	1,445.04	
	NET 0 AVG BGHT 10461.88 SOLD 10479.58				**2,550.00**	**0.00**
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
21JUL17	S 1 PD20OCT17	20090.00	20924.00	USD	-4,170.00	
21JUL17	S 1 PD20OCT17	20145.00	20924.00	USD	-3,895.00	
08AUG17	B 2 PD20OCT17	20225.60	20924.00	USD	6,984.00	
	NET 0 AVG BGHT 20225.60 SOLD 20117.50				**-1,081.00**	**0.00**
24JUL17	B 1 PD24OCT17	20255.00	20914.00	USD	3,295.00	
24JUL17	B 1 PD24OCT17	20250.00	20914.00	USD	3,320.00	
24JUL17	B 1 PD24OCT17	20160.00	20914.00	USD	3,770.00	
24JUL17	B 1 PD24OCT17	20220.00	20914.00	USD	3,470.00	
08AUG17	S 4 PD24OCT17	20223.20	20914.00	USD	-13,816.00	
	NET 0 AVG BGHT 20221.25 SOLD 20223.20				**39.00**	**0.00**
26JUL17	B 1 PD26OCT17	20370.00	20904.00	USD	2,670.00	
26JUL17	S 1 PD26OCT17	20325.00	20904.00	USD	-2,895.00	
26JUL17	S 2 PD26OCT17	20310.00	20904.00	USD	-5,940.00	
26JUL17	S 1 PD26OCT17	20335.00	20904.00	USD	-2,845.00	
26JUL17	B 3 PD26OCT17	20375.00	20904.00	USD	7,935.00	
	NET 0 AVG BGHT 20373.75 SOLD 20320.00				**-1,075.00**	**0.00**
27JUL17	B 2 PD27OCT17	20425.00	20899.00	USD	4,740.00	
27JUL17	S 1 PD27OCT17	20485.00	20899.00	USD	-2,070.00	
27JUL17	B 1 PD27OCT17	20485.00	20899.00	USD	2,070.00	
27JUL17	S 2 PD27OCT17	20750.00	20899.00	USD	-1,490.00	
28JUL17	S 1 PD27OCT17	20695.00	20899.00	USD	-1,020.00	
28JUL17	S 1 PD27OCT17	20685.00	20899.00	USD	-1,070.00	
28JUL17	S 1 PD27OCT17	20845.00	20899.00	USD	-270.00	
28JUL17	S 1 PD27OCT17	20830.00	20899.00	USD	-345.00	
28JUL17	B 2 PD27OCT17	20700.00	20899.00	USD	1,990.00	
08AUG17	B 2 PD27OCT17	20219.60	20899.00	USD	6,794.00	
	NET 0 AVG BGHT 20453.46 SOLD 20720.00				**9,329.00**	**0.00**
31JUL17	S 1 PD31OCT17	20845.00	20889.00	USD	-220.00	
31JUL17	S 1 PD31OCT17	20805.00	20889.00	USD	-420.00	
31JUL17	B 1 PD31OCT17	20635.00	20889.00	USD	1,270.00	
31JUL17	B 1 PD31OCT17	20650.00	20889.00	USD	1,195.00	
	NET 0 AVG BGHT 20642.50 SOLD 20825.00				**1,825.00**	**0.00**
01AUG17	S 2 PD01NOV17	20615.00	20884.00	USD	-2,690.00	
01AUG17	S 1 PD01NOV17	20615.00	20884.00	USD	-1,345.00	
01AUG17	B 1 PD01NOV17	20650.00	20884.00	USD	1,170.00	
01AUG17	B 1 PD01NOV17	20500.00	20884.00	USD	1,920.00	
01AUG17	S 2 PD01NOV17	20525.00	20884.00	USD	-3,590.00	
01AUG17	S 1 PD01NOV17	20495.00	20884.00	USD	-1,945.00	
08AUG17	B 4 PD01NOV17	20217.00	20884.00	USD	13,340.00	
	NET 0 AVG BGHT 20336.33 SOLD 20565.00				**6,860.00**	**0.00**
02AUG17	B 1 PD02NOV17	20515.00	20882.60	USD	1,838.00	
02AUG17	B 1 PD02NOV17	20495.00	20882.60	USD	1,938.00	
08AUG17	S 2 PD02NOV17	20213.80	20882.60	USD	-6,688.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094O

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
	NET 0 AVG BGHT 20505.00 SOLD 20213.80				**-2,912.00**	**0.00**
03AUG17	B 1 PD03NOV17	20620.00	20881.20	USD	1,306.00	
03AUG17	B 1 PD03NOV17	20600.00	20881.20	USD	1,406.00	
03AUG17	B 2 PD03NOV17	20630.00	20881.20	USD	2,512.00	
03AUG17	S 2 PD03NOV17	20625.00	20881.20	USD	-2,562.00	
08AUG17	S 2 PD03NOV17	20212.60	20881.20	USD	-6,686.00	
	NET 0 AVG BGHT 20620.00 SOLD 20418.80				**-4,024.00**	**0.00**
07AUG17	S 1 PD07NOV17	20610.00	20878.40	USD	-1,342.00	
07AUG17	B 1 PD07NOV17	20665.00	20878.40	USD	1,067.00	
07AUG17	B 2 PD07NOV17	20600.00	20878.40	USD	2,784.00	
07AUG17	S 1 PD07NOV17	20605.00	20878.40	USD	-1,367.00	
07AUG17	B 1 PD07NOV17	20655.00	20878.40	USD	1,117.00	
23AUG17	S 2 PD07NOV17	20566.92	20878.40	USD	-3,114.80	
	NET 0 AVG BGHT 20630.00 SOLD 20587.21				**-855.80**	**0.00**
08AUG17	B 1 PD08NOV17	20345.00	20877.00	USD	2,660.00	
08AUG17	B 1 PD08NOV17	20340.00	20877.00	USD	2,685.00	
23AUG17	S 2 PD08NOV17	20565.77	20877.00	USD	-3,112.30	
	NET 0 AVG BGHT 20342.50 SOLD 20565.77				**2,232.70**	**0.00**
10AUG17	B 1 PD10NOV17	20300.00	20874.20	USD	2,871.00	
10AUG17	S 1 PD10NOV17	20325.00	20874.20	USD	-2,746.00	
10AUG17	S 1 PD10NOV17	20345.00	20874.20	USD	-2,646.00	
10AUG17	B 1 PD10NOV17	20320.00	20874.20	USD	2,771.00	
11AUG17	S 1 PD10NOV17	20190.00	20874.20	USD	-3,421.00	
11AUG17	S 1 PD10NOV17	20200.00	20874.20	USD	-3,371.00	
11AUG17	S 1 PD10NOV17	20205.00	20874.20	USD	-3,346.00	
11AUG17	B 1 PD10NOV17	20325.00	20874.20	USD	2,746.00	
23AUG17	B 2 PD10NOV17	20564.46	20874.20	USD	3,097.40	
	NET 0 AVG BGHT 20414.78 SOLD 20253.00				**-4,044.60**	**0.00**
15AUG17	S 1 PD15NOV17	20310.00	20870.00	USD	-2,800.00	
15AUG17	B 1 PD15NOV17	19640.00	20870.00	USD	6,150.00	
15AUG17	S 1 PD15NOV17	19980.00	20870.00	USD	-4,450.00	
15AUG17	B 1 PD15NOV17	19970.00	20870.00	USD	4,500.00	
15AUG17	B 1 PD15NOV17	19965.00	20870.00	USD	4,525.00	
23AUG17	S 1 PD15NOV17	20560.00	20870.00	USD	-1,550.00	
	NET 0 AVG BGHT 19858.33 SOLD 20283.33				**6,375.00**	**0.00**
18AUG17	S 1 PD17NOV17	20175.00	20867.50	USD	-3,462.50	
18AUG17	S 1 PD17NOV17	20170.00	20867.50	USD	-3,487.50	
18AUG17	S 1 PD17NOV17	20280.00	20867.50	USD	-2,937.50	
18AUG17	B 2 PD17NOV17	20160.00	20867.50	USD	7,075.00	
23AUG17	B 1 PD17NOV17	20549.33	20867.50	USD	1,590.85	
	NET 0 AVG BGHT 20289.78 SOLD 20208.33				**-1,221.65**	**0.00**
21AUG17	S 1 PD21NOV17	20330.00	20865.00	USD	-2,675.00	
21AUG17	S 1 PD21NOV17	20335.00	20865.00	USD	-2,650.00	
23AUG17	B 2 PD21NOV17	20537.67	20865.00	USD	3,273.30	
	NET 0 AVG BGHT 20537.67 SOLD 20332.50				**-2,051.70**	**0.00**
22AUG17	S 1 PD22NOV17	20450.00	20863.75	USD	-2,068.75	
22AUG17	S 1 PD22NOV17	20420.00	20863.75	USD	-2,218.75	
07SEP17	B 2 PD22NOV17	20765.33	20863.75	USD	984.20	
	NET 0 AVG BGHT 20765.33 SOLD 20435.00				**-3,303.30**	**0.00**
29AUG17	B 1 PD29NOV17	20550.00	20858.75	USD	1,543.75	
29AUG17	B 1 PD29NOV17	20675.00	20858.75	USD	918.75	
29AUG17	B 1 PD29NOV17	20490.00	20858.75	USD	1,843.75	
29AUG17	B 1 PD29NOV17	20470.00	20858.75	USD	1,943.75	
07SEP17	S 2 PD29NOV17	20758.20	20858.75	USD	-1,005.50	
07SEP17	S 2 PD29NOV17	20759.20	20858.75	USD	-995.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094O

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
	NET 0 AVG BGHT 20546.25 SOLD 20758.70				**4,249.00**	**0.00**
30AUG17	S 2 PD30NOV17	20365.00	20857.50	USD	-4,925.00	
07SEP17	B 2 PD30NOV17	20758.67	20857.50	USD	988.30	
	NET 0 AVG BGHT 20758.67 SOLD 20365.00				**-3,936.70**	**0.00**
04SEP17	B 1 PD04DEC17	20755.00	20855.00	USD	500.00	
04SEP17	B 2 PD04DEC17	20760.00	20855.00	USD	950.00	
04SEP17	S 1 PD04DEC17	20735.00	20855.00	USD	-600.00	
13SEP17	S 2 PD04DEC17	20526.05	20855.00	USD	-3,289.50	
	NET 0 AVG BGHT 20758.33 SOLD 20595.70				**-2,439.50**	**0.00**
05SEP17	S 2 PD05DEC17	20740.00	20853.75	USD	-1,137.50	
05SEP17	S 1 PD05DEC17	20765.00	20853.75	USD	-443.75	
05SEP17	B 1 PD05DEC17	20740.00	20853.75	USD	568.75	
13SEP17	B 2 PD05DEC17	20525.47	20853.75	USD	3,282.80	
	NET 0 AVG BGHT 20596.98 SOLD 20748.33				**2,270.30**	**0.00**
06SEP17	S 1 PD06DEC17	20605.00	20852.50	USD	-1,237.50	
06SEP17	S 1 PD06DEC17	20610.00	20852.50	USD	-1,212.50	
20SEP17	B 2 PD06DEC17	20641.67	20852.50	USD	2,108.30	
	NET 0 AVG BGHT 20641.67 SOLD 20607.50				**-341.70**	**0.00**
07SEP17	S 1 PD07DEC17	20775.00	20851.25	USD	-381.25	
07SEP17	S 1 PD07DEC17	20755.00	20851.25	USD	-481.25	
07SEP17	B 2 PD07DEC17	20730.00	20851.25	USD	1,212.50	
	NET 0 AVG BGHT 20730.00 SOLD 20765.00				**350.00**	**0.00**
08SEP17	B 2 PD08DEC17	20785.00	20850.00	USD	650.00	
08SEP17	S 2 PD08DEC17	20700.00	20850.00	USD	-1,500.00	
	NET 0 AVG BGHT 20785.00 SOLD 20700.00				**-850.00**	**0.00**
11SEP17	S 2 PD11DEC17	20700.00	20848.75	USD	-1,487.50	
20SEP17	B 2 PD11DEC17	20636.67	20848.75	USD	2,120.80	
	NET 0 AVG BGHT 20636.67 SOLD 20700.00				**633.30**	**0.00**
13SEP17	B 2 PD13DEC17	20665.00	20846.25	USD	1,812.50	
20SEP17	S 2 PD13DEC17	20632.33	20846.25	USD	-2,139.20	
	NET 0 AVG BGHT 20665.00 SOLD 20632.33				**-326.70**	**0.00**
14SEP17	B 1 PD14DEC17	20570.00	20845.00	USD	1,375.00	
14SEP17	B 1 PD14DEC17	20565.00	20845.00	USD	1,400.00	
20SEP17	S 2 PD14DEC17	20630.67	20845.00	USD	-2,143.30	
	NET 0 AVG BGHT 20567.50 SOLD 20630.67				**631.70**	**0.00**
15SEP17	S 1 PD15DEC17	20560.00	20843.75	USD	-1,418.75	
15SEP17	S 1 PD15DEC17	20555.00	20843.75	USD	-1,443.75	
15SEP17	S 1 PD15DEC17	20505.00	20843.75	USD	-1,693.75	
15SEP17	S 1 PD15DEC17	20510.00	20843.75	USD	-1,668.75	
20SEP17	B 2 PD15DEC17	20630.00	20843.75	USD	2,137.50	
29SEP17	B 2 PD15DEC17	20726.25	20843.75	USD	1,175.00	
	NET 0 AVG BGHT 20678.13 SOLD 20532.50				**-2,912.50**	**0.00**
19SEP17	B 2 PD19DEC17	20675.00	20841.25	USD	1,662.50	
20SEP17	S 2 PD19DEC17	20625.67	20841.25	USD	-2,155.80	
	NET 0 AVG BGHT 20675.00 SOLD 20625.67				**-493.30**	**0.00**
20SEP17	B 2 PD20DEC17	20655.00	20840.00	USD	1,850.00	
29SEP17	S 2 PD20DEC17	20719.00	20840.00	USD	-1,210.00	
	NET 0 AVG BGHT 20655.00 SOLD 20719.00				**640.00**	**0.00**
21SEP17	S 2 PD21DEC17	20600.00	20833.40	USD	-2,334.00	
	NET -2 AVG SOLD 20600.00				**-2,334.00**	**0.00**
28SEP17	S 1 PD28DEC17	20625.00	20813.60	USD	-943.00	
28SEP17	S 1 PD28DEC17	20620.00	20813.60	USD	-968.00	
28SEP17	B 2 PD28DEC17	20660.00	20813.60	USD	1,536.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094O

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
	NET 0 AVG BGHT 20660.00 SOLD 20622.50				**-375.00**	**0.00**
29SEP17	S 2 PD29DEC17	20695.00	20807.00	USD	-1,120.00	
	NET -2 AVG SOLD 20695.00				**-1,120.00**	**0.00**
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
26JUL17	B 1 PD26OCT17	2809.50	3278.50	USD	11,725.00	
26JUL17	B 1 PD26OCT17	2809.00	3278.50	USD	11,737.50	
08AUG17	S 2 PD26OCT17	2924.00	3278.50	USD	-17,725.00	
	NET 0 AVG BGHT 2809.25 SOLD 2924.00				**5,737.50**	**0.00**
28JUL17	S 1 PD27OCT17	2805.00	3277.50	USD	-11,812.50	
28JUL17	S 1 PD27OCT17	2806.00	3277.50	USD	-11,787.50	
28JUL17	B 1 PD27OCT17	2780.00	3277.50	USD	12,437.50	
28JUL17	B 1 PD27OCT17	2779.00	3277.50	USD	12,462.50	
	NET 0 AVG BGHT 2779.50 SOLD 2805.50				**1,300.00**	**0.00**
01AUG17	S 2 PD01NOV17	2778.00	3274.50	USD	-24,825.00	
08AUG17	B 2 PD01NOV17	2924.37	3274.50	USD	17,506.50	
	NET 0 AVG BGHT 2924.37 SOLD 2778.00				**-7,318.50**	**0.00**
03AUG17	B 2 PD03NOV17	2794.00	3272.00	USD	23,900.00	
23AUG17	S 2 PD03NOV17	3095.62	3272.00	USD	-8,819.00	
	NET 0 AVG BGHT 2794.00 SOLD 3095.62				**15,081.00**	**0.00**
07AUG17	B 1 PD07NOV17	2866.00	3272.00	USD	10,150.00	
07AUG17	B 1 PD07NOV17	2861.00	3272.00	USD	10,275.00	
23AUG17	S 2 PD07NOV17	3096.08	3272.00	USD	-8,796.00	
	NET 0 AVG BGHT 2863.50 SOLD 3096.08				**11,629.00**	**0.00**
08AUG17	S 2 PD08NOV17	2883.00	3272.00	USD	-19,450.00	
08AUG17	B 2 PD08NOV17	2914.00	3272.00	USD	17,900.00	
	NET 0 AVG BGHT 2914.00 SOLD 2883.00				**-1,550.00**	**0.00**
09AUG17	S 2 PD09NOV17	2972.50	3272.00	USD	-14,975.00	
23AUG17	B 2 PD09NOV17	3096.51	3272.00	USD	8,774.50	
	NET 0 AVG BGHT 3096.51 SOLD 2972.50				**-6,200.50**	**0.00**
10AUG17	B 1 PD10NOV17	2943.00	3272.00	USD	8,225.00	
10AUG17	B 1 PD10NOV17	2945.00	3272.00	USD	8,175.00	
10AUG17	S 1 PD10NOV17	2947.50	3272.00	USD	-8,112.50	
10AUG17	S 1 PD10NOV17	2947.00	3272.00	USD	-8,125.00	
10AUG17	B 2 PD10NOV17	2912.00	3272.00	USD	18,000.00	
11AUG17	S 2 PD10NOV17	2913.00	3272.00	USD	-17,950.00	
	NET 0 AVG BGHT 2928.00 SOLD 2930.13				**212.50**	**0.00**
14AUG17	S 2 PD14NOV17	2913.50	3270.33	USD	-17,841.50	
23AUG17	B 2 PD14NOV17	3097.08	3270.33	USD	8,662.50	
	NET 0 AVG BGHT 3097.08 SOLD 2913.50				**-9,179.00**	**0.00**
16AUG17	B 2 PD16NOV17	3051.00	3267.50	USD	10,825.00	
16AUG17	B 2 PD16NOV17	3108.50	3267.50	USD	7,950.00	
07SEP17	S 4 PD16NOV17	3125.25	3267.50	USD	-14,225.00	
	NET 0 AVG BGHT 3079.75 SOLD 3125.25				**4,550.00**	**0.00**
17AUG17	B 1 PD17NOV17	3118.50	3265.50	USD	3,675.00	
17AUG17	B 1 PD17NOV17	3119.00	3265.50	USD	3,662.50	
17AUG17	S 1 PD17NOV17	3137.00	3265.50	USD	-3,212.50	
17AUG17	B 1 PD17NOV17	3066.00	3265.50	USD	4,987.50	
18AUG17	B 2 PD17NOV17	3113.00	3265.50	USD	7,625.00	
18AUG17	S 2 PD17NOV17	3112.50	3265.50	USD	-7,650.00	
18AUG17	S 2 PD17NOV17	3113.50	3265.50	USD	-7,600.00	
	NET 0 AVG BGHT 3105.90 SOLD 3117.80				**1,487.50**	**0.00**
21AUG17	S 2 PD21NOV17	3133.50	3264.83	USD	-6,566.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094O

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
21AUG17	B 2 PD21NOV17	3132.50	3264.83	USD	6,616.50	
	NET 0 AVG BGHT 3132.50 SOLD 3133.50				**50.00**	**0.00**
22AUG17	S 2 PD22NOV17	3149.00	3264.50	USD	-5,775.00	
22AUG17	S 2 PD22NOV17	3101.50	3264.50	USD	-8,150.00	
07SEP17	B 4 PD22NOV17	3126.88	3264.50	USD	13,762.00	
	NET 0 AVG BGHT 3126.88 SOLD 3125.25				**-163.00**	**0.00**
23AUG17	B 2 PD24NOV17	3108.50	3264.71	USD	7,810.50	
25AUG17	S 2 PD24NOV17	3068.00	3264.71	USD	-9,835.50	
	NET 0 AVG BGHT 3108.50 SOLD 3068.00				**-2,025.00**	**0.00**
31AUG17	B 2 PD30NOV17	3103.00	3265.36	USD	8,118.00	
31AUG17	S 2 PD30NOV17	3131.50	3265.36	USD	-6,693.00	
31AUG17	B 2 PD30NOV17	3155.00	3265.36	USD	5,518.00	
31AUG17	S 2 PD30NOV17	3154.00	3265.36	USD	-5,568.00	
	NET 0 AVG BGHT 3129.00 SOLD 3142.75				**1,375.00**	**0.00**
01SEP17	B 2 PD01DEC17	3142.00	3265.46	USD	6,173.00	
01SEP17	B 2 PD01DEC17	3179.50	3265.46	USD	4,298.00	
07SEP17	S 2 PD01DEC17	3127.69	3265.46	USD	-6,888.50	
13SEP17	S 2 PD01DEC17	3023.31	3265.46	USD	-12,107.50	
	NET 0 AVG BGHT 3160.75 SOLD 3075.50				**-8,525.00**	**0.00**
04SEP17	S 2 PD04DEC17	3188.50	3265.79	USD	-3,864.50	
07SEP17	B 2 PD04DEC17	3128.54	3265.79	USD	6,862.50	
	NET 0 AVG BGHT 3128.54 SOLD 3188.50				**2,998.00**	**0.00**
05SEP17	S 2 PD05DEC17	3181.00	3265.89	USD	-4,244.50	
05SEP17	S 2 PD05DEC17	3147.50	3265.89	USD	-5,919.50	
13SEP17	B 2 PD05DEC17	3023.76	3265.89	USD	12,106.50	
13SEP17	B 2 PD05DEC17	3023.56	3265.89	USD	12,116.50	
	NET 0 AVG BGHT 3023.66 SOLD 3164.25				**14,059.00**	**0.00**
06SEP17	B 2 PD06DEC17	3099.00	3266.00	USD	8,350.00	
13SEP17	S 2 PD06DEC17	3023.43	3266.00	USD	-12,128.50	
	NET 0 AVG BGHT 3099.00 SOLD 3023.43				**-3,778.50**	**0.00**
07SEP17	S 2 PD07DEC17	3079.00	3266.11	USD	-9,355.50	
13SEP17	B 2 PD07DEC17	3023.69	3266.11	USD	12,121.00	
	NET 0 AVG BGHT 3023.69 SOLD 3079.00				**2,765.50**	**0.00**
08SEP17	S 2 PD08DEC17	3135.00	3266.21	USD	-6,560.50	
08SEP17	B 2 PD08DEC17	3145.00	3266.21	USD	6,060.50	
	NET 0 AVG BGHT 3145.00 SOLD 3135.00				**-500.00**	**0.00**
11SEP17	B 1 PD11DEC17	3058.00	3266.54	USD	5,213.50	
11SEP17	B 1 PD11DEC17	3058.00	3266.54	USD	5,213.50	
11SEP17	B 2 PD11DEC17	3059.00	3266.54	USD	10,377.00	
13SEP17	S 2 PD11DEC17	3023.47	3266.54	USD	-12,153.50	
20SEP17	S 2 PD11DEC17	3129.61	3266.54	USD	-6,846.50	
	NET 0 AVG BGHT 3058.50 SOLD 3076.54				**1,804.00**	**0.00**
13SEP17	B 2 PD13DEC17	3068.00	3266.75	USD	9,937.50	
13SEP17	S 2 PD13DEC17	3058.00	3266.75	USD	-10,437.50	
	NET 0 AVG BGHT 3068.00 SOLD 3058.00				**-500.00**	**0.00**
14SEP17	B 1 PD14DEC17	3007.50	3266.86	USD	6,484.00	
14SEP17	B 1 PD14DEC17	3007.50	3266.86	USD	6,484.00	
29SEP17	S 2 PD14DEC17	3165.63	3266.86	USD	-5,061.50	
	NET 0 AVG BGHT 3007.50 SOLD 3165.63				**7,906.50**	**0.00**
15SEP17	S 1 PD15DEC17	3001.50	3266.96	USD	-6,636.50	
15SEP17	S 1 PD15DEC17	3000.00	3266.96	USD	-6,674.00	
20SEP17	B 2 PD15DEC17	3130.87	3266.96	USD	6,804.50	
	NET 0 AVG BGHT 3130.87 SOLD 3000.75				**-6,506.00**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094O

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
18SEP17	B 2 PD18DEC17	3071.00	3267.29	USD	9,814.50	
18SEP17	S 2 PD18DEC17	3079.00	3267.29	USD	-9,414.50	
18SEP17	B 2 PD18DEC17	3089.50	3267.29	USD	8,889.50	
18SEP17	S 1 PD18DEC17	3101.50	3267.29	USD	-4,144.75	
18SEP17	B 2 PD18DEC17	3102.00	3267.29	USD	8,264.50	
18SEP17	B 1 PD18DEC17	3099.50	3267.29	USD	4,194.75	
29SEP17	S 4 PD18DEC17	3165.88	3267.29	USD	-10,141.00	
	NET 0 AVG BGHT 3089.21 SOLD 3131.86				**7,463.00**	**0.00**
19SEP17	S 2 PD19DEC17	3108.00	3267.39	USD	-7,969.50	
19SEP17	S 2 PD19DEC17	3104.50	3267.39	USD	-8,144.50	
19SEP17	B 4 PD19DEC17	3112.50	3267.39	USD	15,489.00	
19SEP17	B 2 PD19DEC17	3109.00	3267.39	USD	7,919.50	
29SEP17	S 2 PD19DEC17	3165.94	3267.39	USD	-5,072.50	
	NET 0 AVG BGHT 3111.33 SOLD 3126.15				**2,222.00**	**0.00**
20SEP17	S 2 PD20DEC17	3136.00	3267.50	USD	-6,575.00	
20SEP17	S 2 PD20DEC17	3136.50	3267.50	USD	-6,550.00	
29SEP17	B 4 PD20DEC17	3166.20	3267.50	USD	10,130.00	
	NET 0 AVG BGHT 3166.20 SOLD 3136.25				**-2,995.00**	**0.00**
21SEP17	S 2 PD21DEC17	3078.00	3264.00	USD	-9,300.00	
21SEP17	S 2 PD21DEC17	3027.50	3264.00	USD	-11,825.00	
29SEP17	B 4 PD21DEC17	3162.95	3264.00	USD	10,105.00	
	NET 0 AVG BGHT 3162.95 SOLD 3052.75				**-11,020.00**	**0.00**
26SEP17	B 2 PD27DEC17	3127.00	3264.00	USD	6,850.00	
26SEP17	S 1 PD27DEC17	3126.50	3264.00	USD	-3,437.50	
26SEP17	B 1 PD27DEC17	3126.50	3264.00	USD	3,437.50	
26SEP17	S 2 PD27DEC17	3112.00	3264.00	USD	-7,600.00	
27SEP17	B 2 PD27DEC17	3138.50	3264.00	USD	6,275.00	
27SEP17	S 2 PD27DEC17	3112.50	3264.00	USD	-7,575.00	
	NET 0 AVG BGHT 3131.50 SOLD 3115.10				**-2,050.00**	**0.00**
28SEP17	B 2 PD28DEC17	3141.00	3264.00	USD	6,150.00	
28SEP17	S 2 PD28DEC17	3156.50	3264.00	USD	-5,375.00	
	NET 0 AVG BGHT 3141.00 SOLD 3156.50				**775.00**	**0.00**
29SEP17	B 2 PD29DEC17	3159.00	3264.00	USD	5,250.00	
	NET +2 AVG BGHT 3159.00				**5,250.00**	**0.00**

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	281,638.50
LME Copper Grade A 25	FUTURES	-2,547,867.75
CMX Gold	FUTURES	-1,036,680.00
LME Lead 25 tonnes. This is an	FUTURES	16,584.50
LME Nickel 6 tonnes. This is	FUTURES	-1,172,231.28
LME Tin 5 tonnes. This is an	FUTURES	416,140.55
LME Zinc 25 tonnes. This is	FUTURES	-138,845.00

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094O

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options			Amount	Profit / Loss	**Total Amount**	**Total P&L**
GOLD	- Futures	USD	-17,000.00	-17,000.00	**-17,000.00**	**-17,000.00**
Unrealised Profits & Losses - Forwards			**Amount**	**Profit / Loss**	**Total Amount**	**Total P&L**
ALUL	- Futures	USD	68,747.50	68,747.50		
COPL	- Futures	USD	58,402.25	58,402.25		
LEAD	- Futures	USD	16,584.50	16,584.50		
NKL	- Futures	USD	101,178.72	101,178.72		
TIN	- Futures	USD	-263.45	-263.45		
ZINC	- Futures	USD	24,355.00	24,355.00	**269,004.52**	**269,004.52**

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-362,949.60	-362,949.60
Variation Margins	- Futures	-17,000.00	-17,000.00
	- Options	0.00	0.00
TOTAL EQUITY		**-379,949.60**	**-379,949.60**
Initial Margins		-163,374.48	-163,374.48
Excess/(Shortage)		**-543,324.08**	**-543,324.08**
FOR INFORMATION ONLY			
Forward Unrealised P&L		269,004.52	269,004.52
Net Liquidating Value		**-110,945.08**	**-110,945.08**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094Q

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
04JUL17	S 1 PD04OCT17	1923.00	2113.92	USD	-4,773.00	
04JUL17	S 1 PD04OCT17	1929.50	2113.92	USD	-4,610.50	
20JUL17	B 2 PD04OCT17	1913.88	2113.92	USD	10,002.00	
	NET 0 AVG BGHT 1913.88 SOLD 1926.25				**618.50**	**0.00**
10JUL17	B 1 PD10OCT17	1907.00	2115.92	USD	5,223.00	
20JUL17	S 1 PD10OCT17	1915.11	2115.92	USD	-5,020.25	
	NET 0 AVG BGHT 1907.00 SOLD 1915.11				**202.75**	**0.00**
11JUL17	B 1 PD11OCT17	1905.50	2116.25	USD	5,268.75	
11JUL17	S 1 PD11OCT17	1893.50	2116.25	USD	-5,568.75	
	NET 0 AVG BGHT 1905.50 SOLD 1893.50				**-300.00**	**0.00**
13JUL17	S 1 PD13OCT17	1936.00	2116.25	USD	-4,506.25	
20JUL17	B 1 PD13OCT17	1916.02	2116.25	USD	5,005.75	
	NET 0 AVG BGHT 1916.02 SOLD 1936.00				**499.50**	**0.00**
31JUL17	B 1 PD31OCT17	1915.00	2117.75	USD	5,068.75	
31JUL17	S 1 PD31OCT17	1916.50	2117.75	USD	-5,031.25	
	NET 0 AVG BGHT 1915.00 SOLD 1916.50				**37.50**	**0.00**
01AUG17	B 2 PD01NOV17	1907.00	2117.42	USD	10,521.00	
08AUG17	S 2 PD01NOV17	2028.60	2117.42	USD	-4,441.00	
	NET 0 AVG BGHT 1907.00 SOLD 2028.60				**6,080.00**	**0.00**
02AUG17	S 1 PD02NOV17	1912.00	2117.08	USD	-5,127.00	
02AUG17	S 1 PD02NOV17	1931.50	2117.08	USD	-4,639.50	
08AUG17	B 2 PD02NOV17	2029.00	2117.08	USD	4,404.00	
	NET 0 AVG BGHT 2029.00 SOLD 1921.75				**-5,362.50**	**0.00**
03AUG17	B 1 PD03NOV17	1928.00	2116.75	USD	4,718.75	
03AUG17	S 1 PD03NOV17	1914.00	2116.75	USD	-5,068.75	
04AUG17	B 1 PD03NOV17	1905.00	2116.75	USD	5,293.75	
04AUG17	S 1 PD03NOV17	1913.50	2116.75	USD	-5,081.25	
	NET 0 AVG BGHT 1916.50 SOLD 1913.75				**-137.50**	**0.00**
07AUG17	B 1 PD07NOV17	1952.50	2116.08	USD	4,089.50	
07AUG17	S 1 PD07NOV17	1964.50	2116.08	USD	-3,789.50	
	NET 0 AVG BGHT 1952.50 SOLD 1964.50				**300.00**	**0.00**
09AUG17	B 1 PD09NOV17	2036.00	2116.11	USD	2,002.75	
09AUG17	S 1 PD09NOV17	2017.50	2116.11	USD	-2,465.25	
	NET 0 AVG BGHT 2036.00 SOLD 2017.50				**-462.50**	**0.00**
16AUG17	B 1 PD16NOV17	2067.50	2118.52	USD	1,275.50	
	NET +1 AVG BGHT 2067.50				**1,275.50**	**0.00**
18AUG17	S 1 PD17NOV17	2063.50	2118.79	USD	-1,382.25	
	NET -1 AVG SOLD 2063.50				**-1,382.25**	**0.00**
22AUG17	B 1 PD22NOV17	2081.00	2120.15	USD	978.75	
22AUG17	B 1 PD22NOV17	2077.00	2120.15	USD	1,078.75	
	NET +2 AVG BGHT 2079.00				**2,057.50**	**0.00**
23AUG17	S 1 PD24NOV17	2072.50	2120.69	USD	-1,204.75	
23AUG17	S 1 PD24NOV17	2079.00	2120.69	USD	-1,042.25	
25AUG17	B 1 PD24NOV17	2068.00	2120.69	USD	1,317.25	
	NET -1 AVG BGHT 2068.00 SOLD 2075.75				**-929.75**	**0.00**
30AUG17	S 1 PD30NOV17	2094.00	2122.32	USD	-708.00	
	NET -1 AVG SOLD 2094.00				**-708.00**	**0.00**
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
05JUL17	B 1 PD05OCT17	5851.50	6468.75	USD	15,431.25	
05JUL17	S 1 PD05OCT17	5844.00	6468.75	USD	-15,618.75	
	NET 0 AVG BGHT 5851.50 SOLD 5844.00				**-187.50**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094Q

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
07JUL17	B 1 PD06OCT17	5825.50	6470.02	USD	16,113.00	
07JUL17	B 1 PD06OCT17	5813.00	6470.02	USD	16,425.50	
07JUL17	S 1 PD06OCT17	5825.50	6470.02	USD	-16,113.00	
07JUL17	S 1 PD06OCT17	5834.50	6470.02	USD	-15,888.00	
	NET 0 AVG BGHT 5819.25 SOLD 5830.00				**537.50**	**0.00**
17JUL17	S 1 PD17OCT17	5987.50	6483.98	USD	-12,412.00	
17JUL17	B 1 PD17OCT17	6004.50	6483.98	USD	11,987.00	
17JUL17	S 1 PD17OCT17	6004.50	6483.98	USD	-11,987.00	
17JUL17	B 1 PD17OCT17	5996.50	6483.98	USD	12,187.00	
	NET 0 AVG BGHT 6000.50 SOLD 5996.00				**-225.00**	**0.00**
25JUL17	S 1 PD25OCT17	6211.00	6490.29	USD	-6,982.25	
25JUL17	B 1 PD25OCT17	6218.00	6490.29	USD	6,807.25	
	NET 0 AVG BGHT 6218.00 SOLD 6211.00				**-175.00**	**0.00**
26JUL17	B 1 PD26OCT17	6299.50	6490.82	USD	4,783.00	
26JUL17	S 1 PD26OCT17	6329.50	6490.82	USD	-4,033.00	
	NET 0 AVG BGHT 6299.50 SOLD 6329.50				**750.00**	**0.00**
27JUL17	S 1 PD27OCT17	6370.50	6491.36	USD	-3,021.50	
27JUL17	B 1 PD27OCT17	6369.50	6491.36	USD	3,046.50	
	NET 0 AVG BGHT 6369.50 SOLD 6370.50				**25.00**	**0.00**
29AUG17	S 1 PD29NOV17	6752.00	6508.80	USD	6,080.00	
29AUG17	S 1 PD29NOV17	6755.00	6508.80	USD	6,155.00	
29AUG17	B 2 PD29NOV17	6752.50	6508.80	USD	-12,185.00	
	NET 0 AVG BGHT 6752.50 SOLD 6753.50				**50.00**	**0.00**
31AUG17	S 1 PD30NOV17	6834.00	6509.40	USD	8,115.00	
31AUG17	B 1 PD30NOV17	6830.50	6509.40	USD	-8,027.50	
	NET 0 AVG BGHT 6830.50 SOLD 6834.00				**87.50**	**0.00**
12SEP17	B 1 PD12DEC17	6672.50	6514.58	USD	-3,948.00	
12SEP17	S 1 PD12DEC17	6678.00	6514.58	USD	4,085.50	
12SEP17	B 1 PD12DEC17	6653.00	6514.58	USD	-3,460.50	
12SEP17	B 1 PD12DEC17	6641.00	6514.58	USD	-3,160.50	
12SEP17	S 1 PD12DEC17	6653.00	6514.58	USD	3,460.50	
12SEP17	S 1 PD12DEC17	6652.00	6514.58	USD	3,435.50	
	NET 0 AVG BGHT 6655.50 SOLD 6661.00				**412.50**	**0.00**
13SEP17	B 1 PD13DEC17	6570.50	6514.98	USD	-1,388.00	
13SEP17	B 1 PD13DEC17	6553.00	6514.98	USD	-950.50	
13SEP17	S 1 PD13DEC17	6550.00	6514.98	USD	875.50	
13SEP17	S 1 PD13DEC17	6564.50	6514.98	USD	1,238.00	
	NET 0 AVG BGHT 6561.75 SOLD 6557.25				**-225.00**	**0.00**
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
04JUL17	B 1 PD04OCT17	2308.00	2584.77	USD	6,919.25	
04JUL17	B 1 PD04OCT17	2296.50	2584.77	USD	7,206.75	
04JUL17	B 1 PD04OCT17	2300.50	2584.77	USD	7,106.75	
20JUL17	S 1 PD04OCT17	2210.05	2584.77	USD	-9,368.00	
20JUL17	S 2 PD04OCT17	2210.25	2584.77	USD	-18,726.00	
	NET 0 AVG BGHT 2301.67 SOLD 2210.18				**-6,861.25**	**0.00**
05JUL17	B 1 PD05OCT17	2243.00	2585.00	USD	8,550.00	
05JUL17	S 1 PD05OCT17	2251.50	2585.00	USD	-8,337.50	
05JUL17	B 1 PD05OCT17	2246.00	2585.00	USD	8,475.00	
05JUL17	B 1 PD05OCT17	2247.50	2585.00	USD	8,437.50	
05JUL17	B 1 PD05OCT17	2271.00	2585.00	USD	7,850.00	
05JUL17	S 1 PD05OCT17	2270.00	2585.00	USD	-7,875.00	
05JUL17	S 1 PD05OCT17	2273.00	2585.00	USD	-7,800.00	
20JUL17	S 1 PD05OCT17	2210.26	2585.00	USD	-9,368.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094Q

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
	NET 0 AVG BGHT 2251.88 SOLD 2251.19				**-68.50**	**0.00**
06JUL17	S 1 PD06OCT17	2277.00	2585.23	USD	-7,705.75	
07JUL17	B 1 PD06OCT17	2276.00	2585.23	USD	7,730.75	
07JUL17	S 1 PD06OCT17	2291.00	2585.23	USD	-7,355.75	
07JUL17	B 1 PD06OCT17	2299.00	2585.23	USD	7,155.75	
	NET 0 AVG BGHT 2287.50 SOLD 2284.00				**-175.00**	**0.00**
10JUL17	S 1 PD10OCT17	2292.50	2586.15	USD	-7,341.25	
10JUL17	S 1 PD10OCT17	2310.00	2586.15	USD	-6,903.75	
20JUL17	B 2 PD10OCT17	2211.74	2586.15	USD	18,720.50	
	NET 0 AVG BGHT 2211.74 SOLD 2301.25				**4,475.50**	**0.00**
11JUL17	B 1 PD11OCT17	2330.00	2586.38	USD	6,409.50	
11JUL17	B 1 PD11OCT17	2331.50	2586.38	USD	6,372.00	
11JUL17	B 1 PD11OCT17	2305.50	2586.38	USD	7,022.00	
20JUL17	S 3 PD11OCT17	2211.75	2586.38	USD	-28,097.25	
	NET 0 AVG BGHT 2322.33 SOLD 2211.75				**-8,293.75**	**0.00**
12JUL17	B 1 PD12OCT17	2316.00	2586.62	USD	6,765.50	
20JUL17	S 1 PD12OCT17	2211.96	2586.62	USD	-9,366.50	
	NET 0 AVG BGHT 2316.00 SOLD 2211.96				**-2,601.00**	**0.00**
14JUL17	S 1 PD13OCT17	2298.50	2586.85	USD	-7,208.75	
14JUL17	S 2 PD13OCT17	2315.50	2586.85	USD	-13,567.50	
20JUL17	B 3 PD13OCT17	2212.38	2586.85	USD	28,085.25	
	NET 0 AVG BGHT 2212.38 SOLD 2309.83				**7,309.00**	**0.00**
17JUL17	B 1 PD17OCT17	2315.50	2587.77	USD	6,806.75	
17JUL17	B 1 PD17OCT17	2325.00	2587.77	USD	6,569.25	
17JUL17	B 1 PD17OCT17	2299.00	2587.77	USD	7,219.25	
08AUG17	S 3 PD17OCT17	2378.96	2587.77	USD	-15,660.75	
	NET 0 AVG BGHT 2313.17 SOLD 2378.96				**4,934.50**	**0.00**
18JUL17	S 1 PD18OCT17	2292.00	2588.00	USD	-7,400.00	
18JUL17	S 1 PD18OCT17	2293.00	2588.00	USD	-7,375.00	
18JUL17	B 1 PD18OCT17	2270.00	2588.00	USD	7,950.00	
20JUL17	B 1 PD18OCT17	2213.45	2588.00	USD	9,363.75	
	NET 0 AVG BGHT 2241.73 SOLD 2292.50				**2,538.75**	**0.00**
19JUL17	B 1 PD19OCT17	2217.50	2588.00	USD	9,262.50	
19JUL17	S 1 PD19OCT17	2218.50	2588.00	USD	-9,237.50	
	NET 0 AVG BGHT 2217.50 SOLD 2218.50				**25.00**	**0.00**
20JUL17	S 1 PD20OCT17	2226.00	2588.00	USD	-9,050.00	
20JUL17	B 1 PD20OCT17	2203.50	2588.00	USD	9,612.50	
20JUL17	S 1 PD20OCT17	2206.50	2588.00	USD	-9,537.50	
20JUL17	S 1 PD20OCT17	2213.00	2588.00	USD	-9,375.00	
21JUL17	B 1 PD20OCT17	2242.00	2588.00	USD	8,650.00	
21JUL17	S 1 PD20OCT17	2239.50	2588.00	USD	-8,712.50	
08AUG17	B 2 PD20OCT17	2378.95	2588.00	USD	10,452.50	
	NET 0 AVG BGHT 2300.85 SOLD 2221.25				**-7,960.00**	**0.00**
24JUL17	B 1 PD24OCT17	2245.50	2588.00	USD	8,562.50	
24JUL17	S 1 PD24OCT17	2256.50	2588.00	USD	-8,287.50	
	NET 0 AVG BGHT 2245.50 SOLD 2256.50				**275.00**	**0.00**
25JUL17	B 1 PD25OCT17	2275.00	2588.00	USD	7,825.00	
08AUG17	S 1 PD25OCT17	2380.75	2588.00	USD	-5,181.25	
	NET 0 AVG BGHT 2275.00 SOLD 2380.75				**2,643.75**	**0.00**
26JUL17	S 1 PD26OCT17	2314.50	2588.00	USD	-6,837.50	
26JUL17	S 1 PD26OCT17	2329.50	2588.00	USD	-6,462.50	
08AUG17	B 2 PD26OCT17	2381.12	2588.00	USD	10,344.00	
	NET 0 AVG BGHT 2381.12 SOLD 2322.00				**-2,956.00**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094Q

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
01AUG17	S 2 PD01NOV17	2335.00	2588.00	USD	-12,650.00	
08AUG17	B 2 PD01NOV17	2381.96	2588.00	USD	10,302.00	
	NET 0 AVG BGHT 2381.96 SOLD 2335.00				**-2,348.00**	**0.00**
02AUG17	B 1 PD02NOV17	2328.50	2588.00	USD	6,487.50	
02AUG17	B 1 PD02NOV17	2339.50	2588.00	USD	6,212.50	
08AUG17	S 2 PD02NOV17	2381.93	2588.00	USD	-10,303.50	
	NET 0 AVG BGHT 2334.00 SOLD 2381.93				**2,396.50**	**0.00**
07AUG17	B 1 PD07NOV17	2385.50	2588.00	USD	5,062.50	
07AUG17	B 1 PD07NOV17	2384.50	2588.00	USD	5,087.50	
07AUG17	S 1 PD07NOV17	2363.00	2588.00	USD	-5,625.00	
07AUG17	B 1 PD07NOV17	2362.50	2588.00	USD	5,637.50	
	NET +2 AVG BGHT 2377.50 SOLD 2363.00				**10,162.50**	**0.00**
08AUG17	B 1 PD08NOV17	2355.00	2588.00	USD	5,825.00	
	NET +1 AVG BGHT 2355.00				**5,825.00**	**0.00**
09AUG17	B 1 PD09NOV17	2416.50	2588.00	USD	4,287.50	
	NET +1 AVG BGHT 2416.50				**4,287.50**	**0.00**
11AUG17	B 1 PD10NOV17	2335.00	2588.00	USD	6,325.00	
	NET +1 AVG BGHT 2335.00				**6,325.00**	**0.00**
15AUG17	S 1 PD15NOV17	2366.50	2588.00	USD	-5,537.50	
	NET -1 AVG SOLD 2366.50				**-5,537.50**	**0.00**
16AUG17	S 1 PD16NOV17	2480.00	2588.13	USD	-2,703.25	
	NET -1 AVG SOLD 2480.00				**-2,703.25**	**0.00**
17AUG17	B 1 PD17NOV17	2436.50	2588.26	USD	3,794.00	
18AUG17	S 2 PD17NOV17	2420.00	2588.26	USD	-8,413.00	
18AUG17	S 2 PD17NOV17	2370.00	2588.26	USD	-10,913.00	
	NET -3 AVG BGHT 2436.50 SOLD 2395.00				**-15,532.00**	**0.00**
22AUG17	B 1 PD22NOV17	2325.00	2588.90	USD	6,597.50	
22AUG17	S 1 PD22NOV17	2356.00	2588.90	USD	-5,822.50	
22AUG17	B 1 PD22NOV17	2388.00	2588.90	USD	5,022.50	
22AUG17	S 1 PD22NOV17	2390.00	2588.90	USD	-4,972.50	
22AUG17	S 1 PD22NOV17	2418.00	2588.90	USD	-4,272.50	
	NET -1 AVG BGHT 2356.50 SOLD 2388.00				**-3,447.50**	**0.00**
23AUG17	B 1 PD24NOV17	2397.00	2589.16	USD	4,804.00	
25AUG17	B 1 PD24NOV17	2327.00	2589.16	USD	6,554.00	
	NET +2 AVG BGHT 2362.00				**11,358.00**	**0.00**
29AUG17	S 1 PD29NOV17	2395.50	2589.80	USD	-4,857.50	
	NET -1 AVG SOLD 2395.50				**-4,857.50**	**0.00**
30AUG17	B 1 PD30NOV17	2395.00	2589.93	USD	4,873.25	
30AUG17	B 1 PD30NOV17	2370.00	2589.93	USD	5,498.25	
30AUG17	S 1 PD30NOV17	2369.00	2589.93	USD	-5,523.25	
30AUG17	S 1 PD30NOV17	2369.50	2589.93	USD	-5,510.75	
31AUG17	B 1 PD30NOV17	2400.00	2589.93	USD	4,748.25	
	NET +1 AVG BGHT 2388.33 SOLD 2369.25				**4,085.75**	**0.00**
01SEP17	S 1 PD01DEC17	2400.50	2590.06	USD	-4,739.00	
01SEP17	B 1 PD01DEC17	2394.00	2590.06	USD	4,901.50	
01SEP17	S 1 PD01DEC17	2399.00	2590.06	USD	-4,776.50	
	NET -1 AVG BGHT 2394.00 SOLD 2399.75				**-4,614.00**	**0.00**
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
05JUL17	B 1 PD05OCT17	9120.00	10532.50	USD	8,475.00	
05JUL17	S 1 PD05OCT17	9100.00	10532.50	USD	-8,595.00	
	NET 0 AVG BGHT 9120.00 SOLD 9100.00				**-120.00**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094Q

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
06JUL17	B 1 PD06OCT17	9090.00	10533.77	USD	8,662.62	
07JUL17	S 1 PD06OCT17	8930.00	10533.77	USD	-9,622.62	
	NET 0 AVG BGHT 9090.00 SOLD 8930.00				**-960.00**	**0.00**
10JUL17	B 1 PD10OCT17	8960.00	10538.85	USD	9,473.10	
10JUL17	B 1 PD10OCT17	8920.00	10538.85	USD	9,713.10	
10JUL17	S 1 PD10OCT17	8955.00	10538.85	USD	-9,503.10	
20JUL17	S 1 PD10OCT17	9490.71	10538.85	USD	-6,288.84	
	NET 0 AVG BGHT 8940.00 SOLD 9222.86				**3,394.26**	**0.00**
11JUL17	B 1 PD11OCT17	9100.00	10540.12	USD	8,640.72	
11JUL17	S 1 PD11OCT17	9090.00	10540.12	USD	-8,700.72	
11JUL17	S 1 PD11OCT17	9160.00	10540.12	USD	-8,280.72	
11JUL17	S 2 PD11OCT17	9150.00	10540.12	USD	-16,681.44	
11JUL17	S 2 PD11OCT17	9135.00	10540.12	USD	-16,861.44	
20JUL17	B 1 PD11OCT17	9492.38	10540.12	USD	6,286.44	
20JUL17	B 4 PD11OCT17	9491.38	10540.12	USD	25,169.76	
	NET 0 AVG BGHT 9426.32 SOLD 9136.67				**-10,427.40**	**0.00**
12JUL17	B 1 PD12OCT17	9170.00	10541.38	USD	8,228.28	
12JUL17	B 1 PD12OCT17	9195.00	10541.38	USD	8,078.28	
20JUL17	S 2 PD12OCT17	9491.04	10541.38	USD	-12,604.08	
	NET 0 AVG BGHT 9182.50 SOLD 9491.04				**3,702.48**	**0.00**
13JUL17	B 1 PD13OCT17	9155.00	10542.65	USD	8,325.90	
14JUL17	S 1 PD13OCT17	9380.00	10542.65	USD	-6,975.90	
14JUL17	B 1 PD13OCT17	9425.00	10542.65	USD	6,705.90	
14JUL17	B 2 PD13OCT17	9550.00	10542.65	USD	11,911.80	
14JUL17	B 1 PD13OCT17	9560.00	10542.65	USD	5,895.90	
14JUL17	S 1 PD13OCT17	9550.00	10542.65	USD	-5,955.90	
14JUL17	S 1 PD13OCT17	9560.00	10542.65	USD	-5,895.90	
20JUL17	S 2 PD13OCT17	9491.70	10542.65	USD	-12,611.40	
	NET 0 AVG BGHT 9448.00 SOLD 9494.68				**1,400.40**	**0.00**
25JUL17	S 1 PD25OCT17	9990.00	10555.75	USD	-3,394.50	
25JUL17	B 1 PD25OCT17	9990.00	10555.75	USD	3,394.50	
	NET 0 AVG BGHT 9990.00 SOLD 9990.00				**0.00**	**0.00**
27JUL17	S 1 PD27OCT17	10185.00	10557.68	USD	-2,236.08	
27JUL17	B 1 PD27OCT17	10160.00	10557.68	USD	2,386.08	
28JUL17	B 1 PD27OCT17	10205.00	10557.68	USD	2,116.08	
28JUL17	S 1 PD27OCT17	10205.00	10557.68	USD	-2,116.08	
	NET 0 AVG BGHT 10182.50 SOLD 10195.00				**150.00**	**0.00**
01AUG17	S 1 PD01NOV17	10175.00	10562.50	USD	-2,325.00	
08AUG17	B 1 PD01NOV17	10627.17	10562.50	USD	-388.02	
	NET 0 AVG BGHT 10627.17 SOLD 10175.00				**-2,713.02**	**0.00**
03AUG17	B 1 PD03NOV17	10305.00	10564.43	USD	1,556.58	
08AUG17	S 1 PD03NOV17	10626.98	10564.43	USD	375.30	
	NET 0 AVG BGHT 10305.00 SOLD 10626.98				**1,931.88**	**0.00**
07AUG17	S 2 PD07NOV17	10365.00	10568.29	USD	-2,439.48	
07AUG17	B 1 PD07NOV17	10355.00	10568.29	USD	1,279.74	
07AUG17	B 1 PD07NOV17	10380.00	10568.29	USD	1,129.74	
	NET 0 AVG BGHT 10367.50 SOLD 10365.00				**-30.00**	**0.00**
08AUG17	S 1 PD08NOV17	10325.00	10569.25	USD	-1,465.50	
08AUG17	S 1 PD08NOV17	10535.00	10569.25	USD	-205.50	
08AUG17	B 1 PD08NOV17	10610.00	10569.25	USD	-244.50	
08AUG17	S 1 PD08NOV17	10600.00	10569.25	USD	184.50	
08AUG17	S 1 PD08NOV17	10685.00	10569.25	USD	694.50	
08AUG17	S 1 PD08NOV17	10760.00	10569.25	USD	1,144.50	
08AUG17	B 1 PD08NOV17	10710.00	10569.25	USD	-844.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094Q

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
08AUG17	S 1 PD08NOV17	10745.00	10569.25	USD	1,054.50	
08AUG17	B 1 PD08NOV17	10740.00	10569.25	USD	-1,024.50	
	NET -3 AVG BGHT 10686.67 SOLD 10608.33				**-706.50**	**0.00**
09AUG17	B 1 PD09NOV17	10745.00	10570.21	USD	-1,048.74	
	NET +1 AVG BGHT 10745.00				**-1,048.74**	**0.00**
10AUG17	S 1 PD10NOV17	10880.00	10571.18	USD	1,852.92	
10AUG17	B 1 PD10NOV17	10900.00	10571.18	USD	-1,972.92	
	NET 0 AVG BGHT 10900.00 SOLD 10880.00				**-120.00**	**0.00**
14AUG17	B 1 PD14NOV17	10600.00	10575.04	USD	-149.76	
	NET +1 AVG BGHT 10600.00				**-149.76**	**0.00**
16AUG17	S 1 PD16NOV17	10595.00	10576.89	USD	108.66	
16AUG17	S 1 PD16NOV17	10760.00	10576.89	USD	1,098.66	
16AUG17	B 1 PD16NOV17	10720.00	10576.89	USD	-858.66	
16AUG17	S 1 PD16NOV17	10765.00	10576.89	USD	1,128.66	
	NET -2 AVG BGHT 10720.00 SOLD 10706.67				**1,477.32**	**0.00**
17AUG17	B 1 PD17NOV17	10845.00	10577.77	USD	-1,603.38	
	NET +1 AVG BGHT 10845.00				**-1,603.38**	**0.00**
23AUG17	B 1 PD24NOV17	11655.00	10583.97	USD	-6,426.18	
23AUG17	B 1 PD24NOV17	11660.00	10583.97	USD	-6,456.18	
23AUG17	S 2 PD24NOV17	11660.00	10583.97	USD	12,912.36	
25AUG17	B 1 PD24NOV17	11655.00	10583.97	USD	-6,426.18	
25AUG17	B 1 PD24NOV17	11455.00	10583.97	USD	-5,226.18	
25AUG17	B 1 PD24NOV17	11460.00	10583.97	USD	-5,256.18	
25AUG17	B 1 PD24NOV17	11405.00	10583.97	USD	-4,926.18	
25AUG17	S 2 PD24NOV17	11435.00	10583.97	USD	10,212.36	
25AUG17	S 1 PD24NOV17	11445.00	10583.97	USD	5,166.18	
	NET +1 AVG BGHT 11548.33 SOLD 11527.00				**-6,426.18**	**0.00**
29AUG17	S 1 PD29NOV17	11820.00	10588.40	USD	7,389.60	
29AUG17	B 1 PD29NOV17	11805.00	10588.40	USD	-7,299.60	
29AUG17	B 1 PD29NOV17	11800.00	10588.40	USD	-7,269.60	
29AUG17	S 1 PD29NOV17	11825.00	10588.40	USD	7,419.60	
29AUG17	B 1 PD29NOV17	11825.00	10588.40	USD	-7,419.60	
29AUG17	S 1 PD29NOV17	11800.00	10588.40	USD	7,269.60	
	NET 0 AVG BGHT 11810.00 SOLD 11815.00				**90.00**	**0.00**
05SEP17	B 1 PD05DEC17	12030.00	10593.71	USD	-8,617.74	
05SEP17	S 1 PD05DEC17	12070.00	10593.71	USD	8,857.74	
05SEP17	B 1 PD05DEC17	12010.00	10593.71	USD	-8,497.74	
05SEP17	S 1 PD05DEC17	12010.00	10593.71	USD	8,497.74	
	NET 0 AVG BGHT 12020.00 SOLD 12040.00				**240.00**	**0.00**
06SEP17	S 1 PD06DEC17	12210.00	10594.60	USD	9,692.40	
06SEP17	S 1 PD06DEC17	12225.00	10594.60	USD	9,782.40	
	NET -2 AVG SOLD 12217.50				**19,474.80**	**0.00**
07SEP17	B 2 PD07DEC17	12225.00	10595.49	USD	-19,554.12	
07SEP17	B 1 PD07DEC17	12010.00	10595.49	USD	-8,487.06	
	NET +3 AVG BGHT 12153.33				**-28,041.18**	**0.00**
08SEP17	B 1 PD08DEC17	11930.00	10596.37	USD	-8,001.78	
08SEP17	B 1 PD08DEC17	11740.00	10596.37	USD	-6,861.78	
08SEP17	S 1 PD08DEC17	11725.00	10596.37	USD	6,771.78	
08SEP17	B 1 PD08DEC17	11575.00	10596.37	USD	-5,871.78	
	NET +2 AVG BGHT 11748.33 SOLD 11725.00				**-13,963.56**	**0.00**
11SEP17	S 1 PD11DEC17	11740.00	10599.03	USD	6,845.82	
11SEP17	S 1 PD11DEC17	11825.00	10599.03	USD	7,355.82	
11SEP17	B 1 PD11DEC17	11795.00	10599.03	USD	-7,175.82	
	NET -1 AVG BGHT 11795.00 SOLD 11782.50				**7,025.82**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094Q

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
12SEP17	S	1 PD12DEC17		11865.00	10599.91	USD	7,590.54	
	NET	**-1 AVG SOLD 11865.00**					**7,590.54**	**0.00**
13SEP17	B	1 PD13DEC17		11585.00	10600.80	USD	-5,905.20	
13SEP17	S	1 PD13DEC17		11485.00	10600.80	USD	5,305.20	
13SEP17	B	1 PD13DEC17		11405.00	10600.80	USD	-4,825.20	
	NET	**+1 AVG BGHT 11495.00**	**SOLD 11485.00**				**-5,425.20**	**0.00**
14SEP17	B	1 PD14DEC17		11155.00	10601.69	USD	-3,319.86	
14SEP17	S	1 PD14DEC17		11245.00	10601.69	USD	3,859.86	
	NET	**0 AVG BGHT 11155.00**	**SOLD 11245.00**				**540.00**	**0.00**
15SEP17	S	1 PD15DEC17		11130.00	10602.57	USD	3,164.58	
15SEP17	B	1 PD15DEC17		11005.00	10602.57	USD	-2,414.58	
15SEP17	S	1 PD15DEC17		11045.00	10602.57	USD	2,654.58	
15SEP17	S	1 PD15DEC17		11085.00	10602.57	USD	2,894.58	
15SEP17	B	1 PD15DEC17		11065.00	10602.57	USD	-2,774.58	
15SEP17	S	1 PD15DEC17		11145.00	10602.57	USD	3,254.58	
15SEP17	B	2 PD15DEC17		11090.00	10602.57	USD	-5,849.16	
15SEP17	B	1 PD15DEC17		11110.00	10602.57	USD	-3,044.58	
15SEP17	S	2 PD15DEC17		11150.00	10602.57	USD	6,569.16	
15SEP17	B	2 PD15DEC17		11125.00	10602.57	USD	-6,269.16	
15SEP17	S	1 PD15DEC17		11110.00	10602.57	USD	3,044.58	
	NET	**0 AVG BGHT 11087.14**	**SOLD 11116.43**				**1,230.00**	**0.00**
18SEP17	B	1 PD18DEC17		11130.00	10605.23	USD	-3,148.62	
18SEP17	S	1 PD18DEC17		11255.00	10605.23	USD	3,898.62	
	NET	**0 AVG BGHT 11130.00**	**SOLD 11255.00**				**750.00**	**0.00**
19SEP17	B	1 PD19DEC17		10965.00	10606.11	USD	-2,153.34	
19SEP17	S	1 PD19DEC17		11105.00	10606.11	USD	2,993.34	
19SEP17	S	1 PD19DEC17		11180.00	10606.11	USD	3,443.34	
19SEP17	B	1 PD19DEC17		11160.00	10606.11	USD	-3,323.34	
19SEP17	B	1 PD19DEC17		11150.00	10606.11	USD	-3,263.34	
19SEP17	S	1 PD19DEC17		11150.00	10606.11	USD	3,263.34	
	NET	**0 AVG BGHT 11091.67**	**SOLD 11145.00**				**960.00**	**0.00**
20SEP17	S	1 PD20DEC17		11360.00	10607.00	USD	4,518.00	
	NET	**-1 AVG SOLD 11360.00**					**4,518.00**	**0.00**
21SEP17	B	1 PD21DEC17		10975.00	10608.00	USD	-2,202.00	
21SEP17	B	1 PD21DEC17		11010.00	10608.00	USD	-2,412.00	
21SEP17	B	1 PD21DEC17		10935.00	10608.00	USD	-1,962.00	
21SEP17	B	1 PD21DEC17		10905.00	10608.00	USD	-1,782.00	
21SEP17	B	1 PD21DEC17		10830.00	10608.00	USD	-1,332.00	
	NET	**+5 AVG BGHT 10931.00**					**-9,690.00**	**0.00**
22SEP17	S	1 PD22DEC17		10770.00	10609.00	USD	966.00	
22SEP17	S	1 PD22DEC17		10780.00	10609.00	USD	1,026.00	
22SEP17	B	1 PD22DEC17		10585.00	10609.00	USD	144.00	
22SEP17	B	1 PD22DEC17		10455.00	10609.00	USD	924.00	
22SEP17	S	1 PD22DEC17		10625.00	10609.00	USD	96.00	
	NET	**-1 AVG BGHT 10520.00**	**SOLD 10725.00**				**3,156.00**	**0.00**
27SEP17	B	1 PD27DEC17		10595.00	10613.58	USD	111.48	
27SEP17	B	1 PD27DEC17		10605.00	10613.58	USD	51.48	
27SEP17	S	1 PD27DEC17		10575.00	10613.58	USD	-231.48	
27SEP17	S	1 PD27DEC17		10580.00	10613.58	USD	-201.48	
27SEP17	S	1 PD27DEC17		10610.00	10613.58	USD	-21.48	
27SEP17	S	1 PD27DEC17		10615.00	10613.58	USD	8.52	
	NET	**-2 AVG BGHT 10600.00**	**SOLD 10595.00**				**-282.96**	**0.00**
03OCT17	S	1 PD03JAN18		10595.00	10620.00	USD	-150.00	
03OCT17	S	1 PD03JAN18		10670.00	10620.00	USD	300.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094Q

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	NET -2 AVG SOLD 10632.50						**150.00**	**0.00**
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
04JUL17	B 1	PD04OCT17		20005.00	20956.50	USD	4,757.50	
04JUL17	S 1	PD04OCT17		20045.00	20956.50	USD	-4,557.50	
04JUL17	B 1	PD04OCT17		20050.00	20956.50	USD	4,532.50	
04JUL17	S 1	PD04OCT17		20065.00	20956.50	USD	-4,457.50	
	NET 0 AVG BGHT 20027.50		**SOLD 20055.00**				**275.00**	**0.00**
05JUL17	S 1	PD05OCT17		19890.00	20954.00	USD	-5,320.00	
05JUL17	B 1	PD05OCT17		19855.00	20954.00	USD	5,495.00	
05JUL17	S 2	PD05OCT17		19850.00	20954.00	USD	-11,040.00	
05JUL17	B 1	PD05OCT17		19820.00	20954.00	USD	5,670.00	
05JUL17	B 1	PD05OCT17		19860.00	20954.00	USD	5,470.00	
05JUL17	S 2	PD05OCT17		19700.00	20954.00	USD	-12,540.00	
05JUL17	S 1	PD05OCT17		19795.00	20954.00	USD	-5,795.00	
05JUL17	B 1	PD05OCT17		19710.00	20954.00	USD	6,220.00	
05JUL17	B 1	PD05OCT17		19735.00	20954.00	USD	6,095.00	
05JUL17	B 1	PD05OCT17		19615.00	20954.00	USD	6,695.00	
05JUL17	B 1	PD05OCT17		19660.00	20954.00	USD	6,470.00	
05JUL17	S 2	PD05OCT17		19600.00	20954.00	USD	-13,540.00	
05JUL17	B 2	PD05OCT17		19560.00	20954.00	USD	13,940.00	
05JUL17	B 3	PD05OCT17		19570.00	20954.00	USD	20,760.00	
05JUL17	S 2	PD05OCT17		19550.00	20954.00	USD	-14,040.00	
05JUL17	S 2	PD05OCT17		19640.00	20954.00	USD	-13,140.00	
	NET 0 AVG BGHT 19673.75		**SOLD 19697.08**				**1,400.00**	**0.00**
06JUL17	S 1	PD06OCT17		19835.00	20951.50	USD	-5,582.50	
06JUL17	S 1	PD06OCT17		19895.00	20951.50	USD	-5,282.50	
07JUL17	B 1	PD06OCT17		19815.00	20951.50	USD	5,682.50	
07JUL17	B 1	PD06OCT17		19555.00	20951.50	USD	6,982.50	
07JUL17	S 1	PD06OCT17		19610.00	20951.50	USD	-6,707.50	
07JUL17	S 2	PD06OCT17		19675.00	20951.50	USD	-12,765.00	
07JUL17	B 3	PD06OCT17		19645.00	20951.50	USD	19,597.50	
07JUL17	S 1	PD06OCT17		19645.00	20951.50	USD	-6,532.50	
20JUL17	B 1	PD06OCT17		20101.05	20951.50	USD	4,252.25	
	NET 0 AVG BGHT 19734.34		**SOLD 19722.50**				**-355.25**	**0.00**
10JUL17	S 2	PD10OCT17		19735.00	20949.00	USD	-12,140.00	
10JUL17	S 1	PD10OCT17		19790.00	20949.00	USD	-5,795.00	
10JUL17	S 2	PD10OCT17		19765.00	20949.00	USD	-11,840.00	
10JUL17	B 1	PD10OCT17		19740.00	20949.00	USD	6,045.00	
10JUL17	B 2	PD10OCT17		19690.00	20949.00	USD	12,590.00	
10JUL17	S 1	PD10OCT17		19690.00	20949.00	USD	-6,295.00	
10JUL17	B 1	PD10OCT17		19715.00	20949.00	USD	6,170.00	
10JUL17	B 1	PD10OCT17		19730.00	20949.00	USD	6,095.00	
10JUL17	S 3	PD10OCT17		19850.00	20949.00	USD	-16,485.00	
10JUL17	B 3	PD10OCT17		19810.00	20949.00	USD	17,085.00	
20JUL17	B 1	PD10OCT17		20099.47	20949.00	USD	4,247.65	
	NET 0 AVG BGHT 19788.27		**SOLD 19781.11**				**-322.35**	**0.00**
11JUL17	B 2	PD11OCT17		19850.00	20946.50	USD	10,965.00	
11JUL17	S 1	PD11OCT17		19850.00	20946.50	USD	-5,482.50	
20JUL17	S 1	PD11OCT17		20096.89	20946.50	USD	-4,248.05	
	NET 0 AVG BGHT 19850.00		**SOLD 19973.45**				**1,234.45**	**0.00**
12JUL17	B 1	PD12OCT17		19825.00	20944.00	USD	5,595.00	
20JUL17	S 1	PD12OCT17		20095.32	20944.00	USD	-4,243.40	
	NET 0 AVG BGHT 19825.00		**SOLD 20095.32**				**1,351.60**	**0.00**
13JUL17	B 1	PD13OCT17		19830.00	20941.50	USD	5,557.50	
13JUL17	B 1	PD13OCT17		19855.00	20941.50	USD	5,432.50	
13JUL17	S 2	PD13OCT17		19860.00	20941.50	USD	-10,815.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094Q

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
	NET 0 AVG BGHT 19842.50 SOLD 19860.00				**175.00**	**0.00**
17JUL17	B 1 PD17OCT17	20050.00	20936.50	USD	4,432.50	
17JUL17	S 1 PD17OCT17	20070.00	20936.50	USD	-4,332.50	
17JUL17	B 1 PD17OCT17	19900.00	20936.50	USD	5,182.50	
08AUG17	S 1 PD17OCT17	20228.79	20936.50	USD	-3,538.55	
	NET 0 AVG BGHT 19975.00 SOLD 20149.40				**1,743.95**	**0.00**
18JUL17	S 1 PD18OCT17	19970.00	20934.00	USD	-4,820.00	
08AUG17	B 1 PD18OCT17	20229.00	20934.00	USD	3,525.00	
	NET 0 AVG BGHT 20229.00 SOLD 19970.00				**-1,295.00**	**0.00**
19JUL17	B 1 PD19OCT17	20100.00	20929.00	USD	4,145.00	
19JUL17	S 1 PD19OCT17	20120.00	20929.00	USD	-4,045.00	
19JUL17	S 1 PD19OCT17	20110.00	20929.00	USD	-4,095.00	
19JUL17	B 1 PD19OCT17	20050.00	20929.00	USD	4,395.00	
19JUL17	B 1 PD19OCT17	20080.00	20929.00	USD	4,245.00	
19JUL17	S 1 PD19OCT17	20075.00	20929.00	USD	-4,270.00	
19JUL17	S 1 PD19OCT17	20105.00	20929.00	USD	-4,120.00	
19JUL17	S 1 PD19OCT17	20085.00	20929.00	USD	-4,220.00	
19JUL17	S 1 PD19OCT17	20095.00	20929.00	USD	-4,170.00	
19JUL17	S 1 PD19OCT17	20125.00	20929.00	USD	-4,020.00	
08AUG17	B 4 PD19OCT17	20226.80	20929.00	USD	14,044.00	
	NET 0 AVG BGHT 20162.46 SOLD 20102.14				**-2,111.00**	**0.00**
20JUL17	S 3 PD20OCT17	20095.00	20924.00	USD	-12,435.00	
20JUL17	B 3 PD20OCT17	20100.00	20924.00	USD	12,360.00	
20JUL17	B 1 PD20OCT17	20110.00	20924.00	USD	4,070.00	
20JUL17	S 1 PD20OCT17	20090.00	20924.00	USD	-4,170.00	
20JUL17	B 1 PD20OCT17	20080.00	20924.00	USD	4,220.00	
20JUL17	S 1 PD20OCT17	20080.00	20924.00	USD	-4,220.00	
20JUL17	S 1 PD20OCT17	20100.00	20924.00	USD	-4,120.00	
20JUL17	B 3 PD20OCT17	20105.00	20924.00	USD	12,285.00	
20JUL17	B 2 PD20OCT17	20075.00	20924.00	USD	8,490.00	
20JUL17	B 1 PD20OCT17	20065.00	20924.00	USD	4,295.00	
20JUL17	S 1 PD20OCT17	20065.00	20924.00	USD	-4,295.00	
08AUG17	S 4 PD20OCT17	20224.60	20924.00	USD	-13,988.00	
	NET 0 AVG BGHT 20092.73 SOLD 20138.04				**2,492.00**	**0.00**
25JUL17	S 1 PD25OCT17	20305.00	20909.00	USD	-3,020.00	
25JUL17	B 1 PD25OCT17	20285.00	20909.00	USD	3,120.00	
25JUL17	B 1 PD25OCT17	20300.00	20909.00	USD	3,045.00	
25JUL17	S 2 PD25OCT17	20350.00	20909.00	USD	-5,590.00	
08AUG17	B 1 PD25OCT17	20222.00	20909.00	USD	3,435.00	
	NET 0 AVG BGHT 20269.00 SOLD 20335.00				**990.00**	**0.00**
26JUL17	S 1 PD26OCT17	20350.00	20904.00	USD	-2,770.00	
26JUL17	B 1 PD26OCT17	20350.00	20904.00	USD	2,770.00	
26JUL17	S 2 PD26OCT17	20325.00	20904.00	USD	-5,790.00	
26JUL17	B 3 PD26OCT17	20305.00	20904.00	USD	8,985.00	
08AUG17	S 1 PD26OCT17	20219.80	20904.00	USD	-3,421.00	
	NET 0 AVG BGHT 20316.25 SOLD 20304.95				**-226.00**	**0.00**
27JUL17	B 1 PD27OCT17	20550.00	20899.00	USD	1,745.00	
27JUL17	S 1 PD27OCT17	20595.00	20899.00	USD	-1,520.00	
27JUL17	B 2 PD27OCT17	20705.00	20899.00	USD	1,940.00	
27JUL17	S 2 PD27OCT17	20730.00	20899.00	USD	-1,690.00	
27JUL17	B 1 PD27OCT17	20685.00	20899.00	USD	1,070.00	
27JUL17	S 1 PD27OCT17	20695.00	20899.00	USD	-1,020.00	
27JUL17	S 2 PD27OCT17	20655.00	20899.00	USD	-2,440.00	
27JUL17	S 2 PD27OCT17	20600.00	20899.00	USD	-2,990.00	
27JUL17	S 1 PD27OCT17	20670.00	20899.00	USD	-1,145.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094Q

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
27JUL17	B 3 PD27OCT17	20615.00	20899.00	USD	4,260.00	
28JUL17	B 1 PD27OCT17	20595.00	20899.00	USD	1,520.00	
28JUL17	S 2 PD27OCT17	20695.00	20899.00	USD	-2,040.00	
28JUL17	S 1 PD27OCT17	20710.00	20899.00	USD	-945.00	
28JUL17	B 1 PD27OCT17	20715.00	20899.00	USD	920.00	
28JUL17	B 1 PD27OCT17	20615.00	20899.00	USD	1,420.00	
28JUL17	B 1 PD27OCT17	20710.00	20899.00	USD	945.00	
28JUL17	S 3 PD27OCT17	20725.00	20899.00	USD	-2,610.00	
28JUL17	B 1 PD27OCT17	20755.00	20899.00	USD	720.00	
28JUL17	B 1 PD27OCT17	20800.00	20899.00	USD	495.00	
28JUL17	B 1 PD27OCT17	20795.00	20899.00	USD	520.00	
28JUL17	B 1 PD27OCT17	20760.00	20899.00	USD	695.00	
28JUL17	B 1 PD27OCT17	20685.00	20899.00	USD	1,070.00	
28JUL17	B 2 PD27OCT17	20640.00	20899.00	USD	2,590.00	
28JUL17	B 1 PD27OCT17	20605.00	20899.00	USD	1,470.00	
28JUL17	S 2 PD27OCT17	20645.00	20899.00	USD	-2,540.00	
28JUL17	S 1 PD27OCT17	20670.00	20899.00	USD	-1,145.00	
28JUL17	S 2 PD27OCT17	20750.00	20899.00	USD	-1,490.00	
28JUL17	S 2 PD27OCT17	20650.00	20899.00	USD	-2,490.00	
28JUL17	B 3 PD27OCT17	20625.00	20899.00	USD	4,110.00	
28JUL17	B 1 PD27OCT17	20750.00	20899.00	USD	745.00	
28JUL17	S 1 PD27OCT17	20665.00	20899.00	USD	-1,170.00	
	NET 0 AVG BGHT 20670.87 SOLD 20679.57				**1,000.00**	**0.00**
01AUG17	B 1 PD01NOV17	20400.00	20884.00	USD	2,420.00	
08AUG17	S 1 PD01NOV17	20216.00	20884.00	USD	-3,340.00	
	NET 0 AVG BGHT 20400.00 SOLD 20216.00				**-920.00**	**0.00**
03AUG17	S 1 PD03NOV17	20600.00	20881.20	USD	-1,406.00	
04AUG17	S 1 PD03NOV17	20735.00	20881.20	USD	-731.00	
04AUG17	B 1 PD03NOV17	20665.00	20881.20	USD	1,081.00	
04AUG17	B 1 PD03NOV17	20540.00	20881.20	USD	1,706.00	
04AUG17	S 1 PD03NOV17	20595.00	20881.20	USD	-1,431.00	
08AUG17	B 1 PD03NOV17	20214.60	20881.20	USD	3,333.00	
	NET 0 AVG BGHT 20473.20 SOLD 20643.33				**2,552.00**	**0.00**
07AUG17	B 2 PD07NOV17	20570.00	20878.40	USD	3,084.00	
07AUG17	S 1 PD07NOV17	20615.00	20878.40	USD	-1,317.00	
07AUG17	S 1 PD07NOV17	20655.00	20878.40	USD	-1,117.00	
	NET 0 AVG BGHT 20570.00 SOLD 20635.00				**650.00**	**0.00**
08AUG17	B 1 PD08NOV17	20445.00	20877.00	USD	2,160.00	
08AUG17	B 1 PD08NOV17	20340.00	20877.00	USD	2,685.00	
08AUG17	B 1 PD08NOV17	20165.00	20877.00	USD	3,560.00	
08AUG17	B 1 PD08NOV17	20175.00	20877.00	USD	3,510.00	
08AUG17	B 1 PD08NOV17	20215.00	20877.00	USD	3,310.00	
08AUG17	B 1 PD08NOV17	20220.00	20877.00	USD	3,285.00	
08AUG17	S 3 PD08NOV17	20200.00	20877.00	USD	-10,155.00	
08AUG17	S 1 PD08NOV17	20350.00	20877.00	USD	-2,635.00	
	NET +2 AVG BGHT 20260.00 SOLD 20237.50				**5,720.00**	**0.00**
09AUG17	B 1 PD09NOV17	20305.00	20875.60	USD	2,853.00	
09AUG17	S 1 PD09NOV17	20365.00	20875.60	USD	-2,553.00	
	NET 0 AVG BGHT 20305.00 SOLD 20365.00				**300.00**	**0.00**
10AUG17	S 1 PD10NOV17	20325.00	20874.20	USD	-2,746.00	
10AUG17	B 1 PD10NOV17	20320.00	20874.20	USD	2,771.00	
10AUG17	S 2 PD10NOV17	20290.00	20874.20	USD	-5,842.00	
10AUG17	B 1 PD10NOV17	20300.00	20874.20	USD	2,871.00	
10AUG17	B 1 PD10NOV17	20325.00	20874.20	USD	2,746.00	
11AUG17	B 2 PD10NOV17	20305.00	20874.20	USD	5,692.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094Q

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
11AUG17	S 1 PD10NOV17	20320.00	20874.20	USD	-2,771.00	
11AUG17	S 1 PD10NOV17	20345.00	20874.20	USD	-2,646.00	
	NET 0 AVG BGHT 20311.00 SOLD 20314.00				**75.00**	**0.00**
14AUG17	S 1 PD14NOV17	20380.00	20871.40	USD	-2,457.00	
14AUG17	S 2 PD14NOV17	20290.00	20871.40	USD	-5,814.00	
	NET -3 AVG SOLD 20320.00				**-8,271.00**	**0.00**
15AUG17	B 1 PD15NOV17	20215.00	20870.00	USD	3,275.00	
15AUG17	B 1 PD15NOV17	20240.00	20870.00	USD	3,150.00	
15AUG17	B 1 PD15NOV17	19670.00	20870.00	USD	6,000.00	
15AUG17	B 1 PD15NOV17	19900.00	20870.00	USD	4,850.00	
15AUG17	B 1 PD15NOV17	19990.00	20870.00	USD	4,400.00	
15AUG17	B 2 PD15NOV17	20045.00	20870.00	USD	8,250.00	
15AUG17	S 1 PD15NOV17	19795.00	20870.00	USD	-5,375.00	
15AUG17	S 1 PD15NOV17	19985.00	20870.00	USD	-4,425.00	
	NET +5 AVG BGHT 20015.00 SOLD 19890.00				**20,125.00**	**0.00**
16AUG17	S 1 PD16NOV17	20050.00	20868.75	USD	-4,093.75	
16AUG17	B 2 PD16NOV17	20010.00	20868.75	USD	8,587.50	
16AUG17	S 2 PD16NOV17	19970.00	20868.75	USD	-8,987.50	
16AUG17	B 2 PD16NOV17	20045.00	20868.75	USD	8,237.50	
16AUG17	S 1 PD16NOV17	20055.00	20868.75	USD	-4,068.75	
16AUG17	S 1 PD16NOV17	20035.00	20868.75	USD	-4,168.75	
	NET -1 AVG BGHT 20027.50 SOLD 20016.00				**-4,493.75**	**0.00**
17AUG17	S 1 PD17NOV17	20280.00	20867.50	USD	-2,937.50	
17AUG17	S 2 PD17NOV17	20100.00	20867.50	USD	-7,675.00	
17AUG17	B 2 PD17NOV17	20110.00	20867.50	USD	7,575.00	
18AUG17	B 1 PD17NOV17	20200.00	20867.50	USD	3,337.50	
18AUG17	S 1 PD17NOV17	20275.00	20867.50	USD	-2,962.50	
	NET -1 AVG BGHT 20140.00 SOLD 20188.75				**-2,662.50**	**0.00**
21AUG17	S 1 PD21NOV17	20380.00	20865.00	USD	-2,425.00	
21AUG17	S 1 PD21NOV17	20460.00	20865.00	USD	-2,025.00	
21AUG17	S 1 PD21NOV17	20470.00	20865.00	USD	-1,975.00	
	NET -3 AVG SOLD 20436.67				**-6,425.00**	**0.00**
22AUG17	B 2 PD22NOV17	20425.00	20863.75	USD	4,387.50	
22AUG17	B 1 PD22NOV17	20390.00	20863.75	USD	2,368.75	
22AUG17	B 2 PD22NOV17	20335.00	20863.75	USD	5,287.50	
22AUG17	S 1 PD22NOV17	20335.00	20863.75	USD	-2,643.75	
	NET +4 AVG BGHT 20382.00 SOLD 20335.00				**9,400.00**	**0.00**
23AUG17	S 1 PD24NOV17	20385.00	20862.50	USD	-2,387.50	
23AUG17	B 1 PD24NOV17	20490.00	20862.50	USD	1,862.50	
23AUG17	S 1 PD24NOV17	20490.00	20862.50	USD	-1,862.50	
24AUG17	B 1 PD24NOV17	20500.00	20862.50	USD	1,812.50	
24AUG17	B 1 PD24NOV17	20400.00	20862.50	USD	2,312.50	
24AUG17	S 2 PD24NOV17	20480.00	20862.50	USD	-3,825.00	
24AUG17	S 1 PD24NOV17	20490.00	20862.50	USD	-1,862.50	
24AUG17	B 1 PD24NOV17	20450.00	20862.50	USD	2,062.50	
24AUG17	B 1 PD24NOV17	20475.00	20862.50	USD	1,937.50	
24AUG17	S 1 PD24NOV17	20475.00	20862.50	USD	-1,937.50	
25AUG17	S 1 PD24NOV17	20490.00	20862.50	USD	-1,862.50	
25AUG17	S 1 PD24NOV17	20375.00	20862.50	USD	-2,437.50	
25AUG17	S 1 PD24NOV17	20400.00	20862.50	USD	-2,312.50	
25AUG17	B 1 PD24NOV17	20385.00	20862.50	USD	2,387.50	
25AUG17	B 1 PD24NOV17	20360.00	20862.50	USD	2,512.50	
25AUG17	B 3 PD24NOV17	20300.00	20862.50	USD	8,437.50	
25AUG17	S 1 PD24NOV17	20345.00	20862.50	USD	-2,587.50	
25AUG17	S 1 PD24NOV17	20300.00	20862.50	USD	-2,812.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094Q

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
25AUG17	S 1 PD24NOV17	20315.00	20862.50	USD	-2,737.50	
	NET -2 AVG BGHT 20396.00 SOLD 20418.75				**-3,300.00**	**0.00**
29AUG17	B 1 PD29NOV17	20400.00	20858.75	USD	2,293.75	
29AUG17	S 1 PD29NOV17	20450.00	20858.75	USD	-2,043.75	
	NET 0 AVG BGHT 20400.00 SOLD 20450.00				**250.00**	**0.00**
30AUG17	S 1 PD30NOV17	20450.00	20857.50	USD	-2,037.50	
30AUG17	S 2 PD30NOV17	20500.00	20857.50	USD	-3,575.00	
30AUG17	S 1 PD30NOV17	20555.00	20857.50	USD	-1,512.50	
30AUG17	S 1 PD30NOV17	20545.00	20857.50	USD	-1,562.50	
31AUG17	B 2 PD30NOV17	20580.00	20857.50	USD	2,775.00	
31AUG17	S 2 PD30NOV17	20680.00	20857.50	USD	-1,775.00	
31AUG17	B 1 PD30NOV17	20665.00	20857.50	USD	962.50	
31AUG17	B 4 PD30NOV17	20650.00	20857.50	USD	4,150.00	
	NET 0 AVG BGHT 20632.14 SOLD 20558.57				**-2,575.00**	**0.00**
01SEP17	S 2 PD01DEC17	20695.00	20856.25	USD	-1,612.50	
01SEP17	B 1 PD01DEC17	20715.00	20856.25	USD	706.25	
01SEP17	B 1 PD01DEC17	20690.00	20856.25	USD	831.25	
01SEP17	B 2 PD01DEC17	20665.00	20856.25	USD	1,912.50	
01SEP17	S 2 PD01DEC17	20675.00	20856.25	USD	-1,812.50	
01SEP17	S 2 PD01DEC17	20685.00	20856.25	USD	-1,712.50	
	NET -2 AVG BGHT 20683.75 SOLD 20685.00				**-1,687.50**	**0.00**
04SEP17	B 1 PD04DEC17	20690.00	20855.00	USD	825.00	
	NET +1 AVG BGHT 20690.00				**825.00**	**0.00**
05SEP17	B 1 PD05DEC17	20705.00	20853.75	USD	743.75	
05SEP17	S 1 PD05DEC17	20710.00	20853.75	USD	-718.75	
05SEP17	B 1 PD05DEC17	20725.00	20853.75	USD	643.75	
05SEP17	S 1 PD05DEC17	20735.00	20853.75	USD	-593.75	
05SEP17	B 1 PD05DEC17	20700.00	20853.75	USD	768.75	
	NET +1 AVG BGHT 20710.00 SOLD 20722.50				**843.75**	**0.00**
06SEP17	S 1 PD06DEC17	20630.00	20852.50	USD	-1,112.50	
06SEP17	S 1 PD06DEC17	20715.00	20852.50	USD	-687.50	
	NET -2 AVG SOLD 20672.50				**-1,800.00**	**0.00**
07SEP17	B 1 PD07DEC17	20680.00	20851.25	USD	856.25	
	NET +1 AVG BGHT 20680.00				**856.25**	**0.00**
08SEP17	S 1 PD08DEC17	20675.00	20850.00	USD	-875.00	
08SEP17	S 1 PD08DEC17	20665.00	20850.00	USD	-925.00	
08SEP17	B 1 PD08DEC17	20520.00	20850.00	USD	1,650.00	
08SEP17	S 1 PD08DEC17	20525.00	20850.00	USD	-1,625.00	
	NET -2 AVG BGHT 20520.00 SOLD 20621.67				**-1,775.00**	**0.00**
11SEP17	B 1 PD11DEC17	20645.00	20848.75	USD	1,018.75	
11SEP17	B 1 PD11DEC17	20630.00	20848.75	USD	1,093.75	
11SEP17	S 1 PD11DEC17	20645.00	20848.75	USD	-1,018.75	
	NET +1 AVG BGHT 20637.50 SOLD 20645.00				**1,093.75**	**0.00**
12SEP17	B 1 PD12DEC17	20720.00	20847.50	USD	637.50	
	NET +1 AVG BGHT 20720.00				**637.50**	**0.00**
13SEP17	S 1 PD13DEC17	20680.00	20846.25	USD	-831.25	
13SEP17	B 1 PD13DEC17	20595.00	20846.25	USD	1,256.25	
13SEP17	S 1 PD13DEC17	20590.00	20846.25	USD	-1,281.25	
	NET -1 AVG BGHT 20595.00 SOLD 20635.00				**-856.25**	**0.00**
14SEP17	B 1 PD14DEC17	20575.00	20845.00	USD	1,350.00	
14SEP17	S 1 PD14DEC17	20595.00	20845.00	USD	-1,250.00	
	NET 0 AVG BGHT 20575.00 SOLD 20595.00				**100.00**	**0.00**
15SEP17	S 2 PD15DEC17	20530.00	20843.75	USD	-3,137.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094Q

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
15SEP17	S 3 PD15DEC17	20520.00	20843.75	USD	-4,856.25	
15SEP17	B 4 PD15DEC17	20505.00	20843.75	USD	6,775.00	
	NET -1 AVG BGHT 20505.00 SOLD 20524.00				**-1,218.75**	**0.00**
18SEP17	B 1 PD18DEC17	20675.00	20842.50	USD	837.50	
	NET +1 AVG BGHT 20675.00				**837.50**	**0.00**
19SEP17	S 1 PD19DEC17	20645.00	20841.25	USD	-981.25	
19SEP17	B 1 PD19DEC17	20700.00	20841.25	USD	706.25	
	NET 0 AVG BGHT 20700.00 SOLD 20645.00				**-275.00**	**0.00**
20SEP17	B 1 PD20DEC17	20740.00	20840.00	USD	500.00	
20SEP17	B 1 PD20DEC17	20665.00	20840.00	USD	875.00	
20SEP17	S 1 PD20DEC17	20685.00	20840.00	USD	-775.00	
	NET +1 AVG BGHT 20702.50 SOLD 20685.00				**600.00**	**0.00**
21SEP17	B 1 PD21DEC17	20470.00	20833.40	USD	1,817.00	
21SEP17	S 3 PD21DEC17	20450.00	20833.40	USD	-5,751.00	
21SEP17	S 2 PD21DEC17	20410.00	20833.40	USD	-4,234.00	
21SEP17	S 2 PD21DEC17	20400.00	20833.40	USD	-4,334.00	
21SEP17	B 1 PD21DEC17	20410.00	20833.40	USD	2,117.00	
21SEP17	B 1 PD21DEC17	20400.00	20833.40	USD	2,167.00	
21SEP17	S 1 PD21DEC17	20415.00	20833.40	USD	-2,092.00	
	NET -5 AVG BGHT 20426.67 SOLD 20423.13				**-10,310.00**	**0.00**
22SEP17	B 1 PD22DEC17	20360.00	20826.80	USD	2,334.00	
22SEP17	S 2 PD22DEC17	20455.00	20826.80	USD	-3,718.00	
22SEP17	B 1 PD22DEC17	20405.00	20826.80	USD	2,109.00	
22SEP17	S 1 PD22DEC17	20550.00	20826.80	USD	-1,384.00	
22SEP17	B 1 PD22DEC17	20550.00	20826.80	USD	1,384.00	
22SEP17	B 1 PD22DEC17	20575.00	20826.80	USD	1,259.00	
	NET +1 AVG BGHT 20472.50 SOLD 20486.67				**1,984.00**	**0.00**
27SEP17	B 2 PD27DEC17	20700.00	20820.20	USD	1,202.00	
	NET +2 AVG BGHT 20700.00				**1,202.00**	**0.00**
03OCT17	B 2 PD03JAN18	20700.00	20800.00	USD	1,000.00	
	NET +2 AVG BGHT 20700.00				**1,000.00**	**0.00**
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
04JUL17	B 1 PD04OCT17	2796.50	3316.62	USD	13,003.00	
04JUL17	S 1 PD04OCT17	2796.50	3316.62	USD	-13,003.00	
04JUL17	S 1 PD04OCT17	2796.00	3316.62	USD	-13,015.50	
20JUL17	B 1 PD04OCT17	2725.34	3316.62	USD	14,782.00	
	NET 0 AVG BGHT 2760.92 SOLD 2796.25				**1,766.50**	**0.00**
05JUL17	S 1 PD05OCT17	2748.00	3313.50	USD	-14,137.50	
05JUL17	B 1 PD05OCT17	2745.00	3313.50	USD	14,212.50	
05JUL17	B 1 PD05OCT17	2770.00	3313.50	USD	13,587.50	
05JUL17	S 1 PD05OCT17	2751.50	3313.50	USD	-14,050.00	
05JUL17	S 1 PD05OCT17	2753.50	3313.50	USD	-14,000.00	
08AUG17	B 1 PD05OCT17	2922.25	3313.50	USD	9,781.25	
	NET 0 AVG BGHT 2812.42 SOLD 2751.00				**-4,606.25**	**0.00**
06JUL17	B 1 PD06OCT17	2771.00	3310.38	USD	13,484.50	
07JUL17	S 1 PD06OCT17	2777.50	3310.38	USD	-13,322.00	
07JUL17	B 1 PD06OCT17	2785.50	3310.38	USD	13,122.00	
07JUL17	S 1 PD06OCT17	2780.00	3310.38	USD	-13,259.50	
07JUL17	B 1 PD06OCT17	2782.00	3310.38	USD	13,209.50	
07JUL17	S 1 PD06OCT17	2788.50	3310.38	USD	-13,047.00	
	NET 0 AVG BGHT 2779.50 SOLD 2782.00				**187.50**	**0.00**
10JUL17	B 1 PD10OCT17	2773.00	3307.25	USD	13,356.25	
10JUL17	S 1 PD10OCT17	2778.00	3307.25	USD	-13,231.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094Q

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
10JUL17	B 1 PD10OCT17	2774.50	3307.25	USD	13,318.75	
20JUL17	S 1 PD10OCT17	2726.56	3307.25	USD	-14,517.25	
	NET 0 AVG BGHT 2773.75 SOLD 2752.28				**-1,073.50**	**0.00**
11JUL17	S 1 PD11OCT17	2796.00	3304.13	USD	-12,703.25	
11JUL17	B 1 PD11OCT17	2776.00	3304.13	USD	13,203.25	
11JUL17	S 1 PD11OCT17	2811.50	3304.13	USD	-12,315.75	
11JUL17	S 1 PD11OCT17	2816.50	3304.13	USD	-12,190.75	
11JUL17	S 1 PD11OCT17	2804.00	3304.13	USD	-12,503.25	
08AUG17	B 3 PD11OCT17	2923.29	3304.13	USD	28,563.00	
	NET 0 AVG BGHT 2886.47 SOLD 2807.00				**-7,946.75**	**0.00**
12JUL17	S 1 PD12OCT17	2830.00	3301.00	USD	-11,775.00	
08AUG17	B 1 PD12OCT17	2923.46	3301.00	USD	9,438.50	
	NET 0 AVG BGHT 2923.46 SOLD 2830.00				**-2,336.50**	**0.00**
13JUL17	B 1 PD13OCT17	2805.50	3297.88	USD	12,309.50	
14JUL17	B 1 PD13OCT17	2778.50	3297.88	USD	12,984.50	
14JUL17	B 2 PD13OCT17	2786.00	3297.88	USD	25,594.00	
08AUG17	S 4 PD13OCT17	2923.43	3297.88	USD	-37,445.00	
	NET 0 AVG BGHT 2789.00 SOLD 2923.43				**13,443.00**	**0.00**
17JUL17	S 1 PD17OCT17	2806.00	3291.63	USD	-12,140.75	
17JUL17	S 1 PD17OCT17	2838.00	3291.63	USD	-11,340.75	
17JUL17	S 1 PD17OCT17	2818.00	3291.63	USD	-11,840.75	
08AUG17	B 3 PD17OCT17	2924.33	3291.63	USD	27,547.50	
	NET 0 AVG BGHT 2924.33 SOLD 2820.67				**-7,774.75**	**0.00**
18JUL17	B 1 PD18OCT17	2798.50	3288.50	USD	12,250.00	
18JUL17	B 1 PD18OCT17	2796.50	3288.50	USD	12,300.00	
18JUL17	S 1 PD18OCT17	2784.00	3288.50	USD	-12,612.50	
08AUG17	S 1 PD18OCT17	2924.30	3288.50	USD	-9,105.00	
	NET 0 AVG BGHT 2797.50 SOLD 2854.15				**2,832.50**	**0.00**
19JUL17	S 1 PD19OCT17	2748.50	3285.50	USD	-13,425.00	
19JUL17	B 1 PD19OCT17	2739.50	3285.50	USD	13,650.00	
	NET 0 AVG BGHT 2739.50 SOLD 2748.50				**225.00**	**0.00**
20JUL17	B 1 PD20OCT17	2737.50	3282.50	USD	13,625.00	
20JUL17	S 1 PD20OCT17	2727.00	3282.50	USD	-13,887.50	
20JUL17	S 1 PD20OCT17	2727.50	3282.50	USD	-13,875.00	
20JUL17	B 1 PD20OCT17	2720.00	3282.50	USD	14,062.50	
20JUL17	B 1 PD20OCT17	2721.00	3282.50	USD	14,037.50	
20JUL17	B 1 PD20OCT17	2726.00	3282.50	USD	13,912.50	
20JUL17	B 1 PD20OCT17	2724.00	3282.50	USD	13,962.50	
20JUL17	S 1 PD20OCT17	2721.00	3282.50	USD	-14,037.50	
21JUL17	S 1 PD20OCT17	2772.00	3282.50	USD	-12,762.50	
21JUL17	B 1 PD20OCT17	2748.50	3282.50	USD	13,350.00	
08AUG17	S 2 PD20OCT17	2922.80	3282.50	USD	-17,985.00	
	NET 0 AVG BGHT 2729.50 SOLD 2798.85				**10,402.50**	**0.00**
24JUL17	S 1 PD24OCT17	2779.00	3280.50	USD	-12,537.50	
24JUL17	B 1 PD24OCT17	2776.50	3280.50	USD	12,600.00	
	NET 0 AVG BGHT 2776.50 SOLD 2779.00				**62.50**	**0.00**
25JUL17	S 1 PD25OCT17	2805.00	3279.50	USD	-11,862.50	
25JUL17	B 1 PD25OCT17	2833.50	3279.50	USD	11,150.00	
25JUL17	B 1 PD25OCT17	2834.00	3279.50	USD	11,137.50	
25JUL17	S 1 PD25OCT17	2842.00	3279.50	USD	-10,937.50	
25JUL17	S 1 PD25OCT17	2842.50	3279.50	USD	-10,925.00	
08AUG17	B 1 PD25OCT17	2924.00	3279.50	USD	8,887.50	
	NET 0 AVG BGHT 2863.83 SOLD 2829.83				**-2,550.00**	**0.00**
26JUL17	B 1 PD26OCT17	2834.50	3278.50	USD	11,100.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094Q

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
26JUL17	S 1 PD26OCT17	2817.00	3278.50	USD	-11,537.50	
26JUL17	B 1 PD26OCT17	2825.00	3278.50	USD	11,337.50	
26JUL17	S 1 PD26OCT17	2822.00	3278.50	USD	-11,412.50	
26JUL17	B 2 PD26OCT17	2820.00	3278.50	USD	22,925.00	
08AUG17	S 2 PD26OCT17	2923.80	3278.50	USD	-17,735.00	
	NET 0 AVG BGHT 2824.88 SOLD 2871.65				**4,677.50**	**0.00**
31JUL17	S 1 PD31OCT17	2804.50	3275.50	USD	-11,775.00	
31JUL17	B 1 PD31OCT17	2795.50	3275.50	USD	12,000.00	
	NET 0 AVG BGHT 2795.50 SOLD 2804.50				**225.00**	**0.00**
03AUG17	S 1 PD03NOV17	2836.50	3272.00	USD	-10,887.50	
03AUG17	B 1 PD03NOV17	2793.50	3272.00	USD	11,962.50	
04AUG17	S 1 PD03NOV17	2827.00	3272.00	USD	-11,125.00	
04AUG17	B 1 PD03NOV17	2816.00	3272.00	USD	11,400.00	
	NET 0 AVG BGHT 2804.75 SOLD 2831.75				**1,350.00**	**0.00**
07AUG17	S 1 PD07NOV17	2871.50	3272.00	USD	-10,012.50	
07AUG17	S 1 PD07NOV17	2873.50	3272.00	USD	-9,962.50	
07AUG17	S 1 PD07NOV17	2872.50	3272.00	USD	-9,987.50	
07AUG17	B 1 PD07NOV17	2861.00	3272.00	USD	10,275.00	
07AUG17	S 1 PD07NOV17	2868.50	3272.00	USD	-10,087.50	
07AUG17	B 1 PD07NOV17	2868.00	3272.00	USD	10,100.00	
	NET -2 AVG BGHT 2864.50 SOLD 2871.50				**-19,675.00**	**0.00**
08AUG17	S 1 PD08NOV17	2874.00	3272.00	USD	-9,950.00	
08AUG17	S 1 PD08NOV17	2918.00	3272.00	USD	-8,850.00	
08AUG17	B 1 PD08NOV17	2935.50	3272.00	USD	8,412.50	
	NET -1 AVG BGHT 2935.50 SOLD 2896.00				**-10,387.50**	**0.00**
09AUG17	S 1 PD09NOV17	2974.50	3272.00	USD	-7,437.50	
09AUG17	S 1 PD09NOV17	2972.50	3272.00	USD	-7,487.50	
09AUG17	B 1 PD09NOV17	2932.00	3272.00	USD	8,500.00	
09AUG17	B 1 PD09NOV17	2934.50	3272.00	USD	8,437.50	
09AUG17	S 1 PD09NOV17	2932.00	3272.00	USD	-8,500.00	
	NET -1 AVG BGHT 2933.25 SOLD 2959.67				**-6,487.50**	**0.00**
11AUG17	S 1 PD10NOV17	2910.00	3272.00	USD	-9,050.00	
	NET -1 AVG SOLD 2910.00				**-9,050.00**	**0.00**
15AUG17	B 1 PD15NOV17	2937.00	3269.50	USD	8,312.50	
	NET +1 AVG BGHT 2937.00				**8,312.50**	**0.00**
16AUG17	S 1 PD16NOV17	3007.50	3267.50	USD	-6,500.00	
16AUG17	B 1 PD16NOV17	3081.00	3267.50	USD	4,662.50	
16AUG17	B 1 PD16NOV17	3120.00	3267.50	USD	3,687.50	
16AUG17	S 1 PD16NOV17	3115.50	3267.50	USD	-3,800.00	
	NET 0 AVG BGHT 3100.50 SOLD 3061.50				**-1,950.00**	**0.00**
17AUG17	B 1 PD17NOV17	3139.50	3265.50	USD	3,150.00	
17AUG17	S 1 PD17NOV17	3139.50	3265.50	USD	-3,150.00	
17AUG17	S 1 PD17NOV17	3088.50	3265.50	USD	-4,425.00	
18AUG17	B 2 PD17NOV17	3109.00	3265.50	USD	7,825.00	
18AUG17	B 1 PD17NOV17	3135.00	3265.50	USD	3,262.50	
18AUG17	B 2 PD17NOV17	3142.00	3265.50	USD	6,175.00	
18AUG17	S 3 PD17NOV17	3147.00	3265.50	USD	-8,887.50	
18AUG17	B 3 PD17NOV17	3123.00	3265.50	USD	10,687.50	
	NET +4 AVG BGHT 3127.28 SOLD 3133.80				**14,637.50**	**0.00**
22AUG17	S 1 PD22NOV17	3101.00	3264.50	USD	-4,087.50	
22AUG17	B 1 PD22NOV17	3126.50	3264.50	USD	3,450.00	
22AUG17	B 1 PD22NOV17	3130.50	3264.50	USD	3,350.00	
22AUG17	S 1 PD22NOV17	3119.00	3264.50	USD	-3,637.50	
22AUG17	S 1 PD22NOV17	3120.00	3264.50	USD	-3,612.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094Q

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
	NET -1 AVG BGHT 3128.50 SOLD 3113.33				**-4,537.50**	**0.00**
23AUG17	B 1 PD24NOV17	3094.00	3264.71	USD	4,267.75	
24AUG17	B 1 PD24NOV17	3126.50	3264.71	USD	3,455.25	
24AUG17	B 1 PD24NOV17	3127.00	3264.71	USD	3,442.75	
24AUG17	S 1 PD24NOV17	3134.00	3264.71	USD	-3,267.75	
24AUG17	S 1 PD24NOV17	3125.00	3264.71	USD	-3,492.75	
25AUG17	S 1 PD24NOV17	3063.50	3264.71	USD	-5,030.25	
25AUG17	S 1 PD24NOV17	3064.00	3264.71	USD	-5,017.75	
	NET -1 AVG BGHT 3115.83 SOLD 3096.63				**-5,642.75**	**0.00**
29AUG17	B 1 PD29NOV17	3119.00	3265.25	USD	3,656.25	
	NET +1 AVG BGHT 3119.00				**3,656.25**	**0.00**
30AUG17	S 1 PD30NOV17	3150.00	3265.36	USD	-2,884.00	
30AUG17	B 1 PD30NOV17	3100.50	3265.36	USD	4,121.50	
30AUG17	B 1 PD30NOV17	3095.50	3265.36	USD	4,246.50	
31AUG17	S 1 PD30NOV17	3139.00	3265.36	USD	-3,159.00	
	NET 0 AVG BGHT 3098.00 SOLD 3144.50				**2,325.00**	**0.00**
01SEP17	B 1 PD01DEC17	3165.00	3265.46	USD	2,511.50	
01SEP17	S 1 PD01DEC17	3183.00	3265.46	USD	-2,061.50	
01SEP17	B 1 PD01DEC17	3196.50	3265.46	USD	1,724.00	
	NET +1 AVG BGHT 3180.75 SOLD 3183.00				**2,174.00**	**0.00**

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	1,863.25
LME Copper Grade A 25	FUTURES	1,050.00
LME Lead 25 tonnes. This is an	FUTURES	-1,278.00
LME Nickel 6 tonnes. This is	FUTURES	-23,891.40
LME Tin 5 tonnes. This is an	FUTURES	9,234.40
LME Zinc 25 tonnes. This is	FUTURES	-17,065.75

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards			Amount	Profit / Loss	**Total Amount**	**Total P&L**
ALUL	- Futures	USD	1,788.75	1,788.75		
COPL	- Futures	USD	1,050.00	1,050.00		
LEAD	- Futures	USD	-1,313.50	-1,313.50		
NKL	- Futures	USD	-23,926.38	-23,926.38		
TIN	- Futures	USD	8,834.40	8,834.40		
ZINC	- Futures	USD	-17,740.75	-17,740.75	**-31,307.48**	**-31,307.48**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094Q

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-2,468.27	-2,468.27
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**-2,468.27**	**-2,468.27**
Initial Margins		-45,510.48	-45,510.48
Excess/(Shortage)		**-47,978.75**	**-47,978.75**
FOR INFORMATION ONLY			
Forward Unrealised P&L		-31,307.48	-31,307.48
Net Liquidating Value		**-33,775.75**	**-33,775.75**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094R

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
04JUL17	S 1 PD04OCT17	1920.50	2113.92	USD	-4,835.50	
04JUL17	S 1 PD04OCT17	1921.50	2113.92	USD	-4,810.50	
20JUL17	B 2 PD04OCT17	1913.88	2113.92	USD	10,002.00	
	NET 0 AVG BGHT 1913.88 SOLD 1921.00				**356.00**	**0.00**
01AUG17	S 1 PD01NOV17	1909.00	2117.42	USD	-5,210.50	
01AUG17	B 1 PD01NOV17	1907.00	2117.42	USD	5,260.50	
	NET 0 AVG BGHT 1907.00 SOLD 1909.00				**50.00**	**0.00**
04AUG17	B 1 PD03NOV17	1913.00	2116.75	USD	5,093.75	
04AUG17	S 1 PD03NOV17	1913.50	2116.75	USD	-5,081.25	
	NET 0 AVG BGHT 1913.00 SOLD 1913.50				**12.50**	**0.00**
08AUG17	B 1 PD08NOV17	2026.00	2115.75	USD	2,243.75	
	NET +1 AVG BGHT 2026.00				**2,243.75**	**0.00**
09AUG17	B 1 PD09NOV17	2034.50	2116.11	USD	2,040.25	
09AUG17	S 1 PD09NOV17	2028.00	2116.11	USD	-2,202.75	
	NET 0 AVG BGHT 2034.50 SOLD 2028.00				**-162.50**	**0.00**
10AUG17	B 1 PD10NOV17	2025.00	2116.46	USD	2,286.50	
10AUG17	S 1 PD10NOV17	2031.00	2116.46	USD	-2,136.50	
10AUG17	B 2 PD10NOV17	2035.50	2116.46	USD	4,048.00	
10AUG17	S 2 PD10NOV17	2038.50	2116.46	USD	-3,898.00	
11AUG17	S 1 PD10NOV17	2031.00	2116.46	USD	-2,136.50	
	NET -1 AVG BGHT 2032.00 SOLD 2034.75				**-1,836.50**	**0.00**
15AUG17	B 1 PD15NOV17	2030.00	2118.25	USD	2,206.25	
15AUG17	B 1 PD15NOV17	2035.00	2118.25	USD	2,081.25	
15AUG17	S 1 PD15NOV17	2039.00	2118.25	USD	-1,981.25	
15AUG17	S 1 PD15NOV17	2041.00	2118.25	USD	-1,931.25	
15AUG17	B 1 PD15NOV17	2046.00	2118.25	USD	1,806.25	
15AUG17	B 1 PD15NOV17	2042.00	2118.25	USD	1,906.25	
15AUG17	S 1 PD15NOV17	2049.50	2118.25	USD	-1,718.75	
	NET +1 AVG BGHT 2038.25 SOLD 2043.17				**2,368.75**	**0.00**
16AUG17	S 1 PD16NOV17	2059.00	2118.52	USD	-1,488.00	
16AUG17	B 1 PD16NOV17	2064.00	2118.52	USD	1,363.00	
16AUG17	B 1 PD16NOV17	2065.00	2118.52	USD	1,338.00	
16AUG17	B 1 PD16NOV17	2084.00	2118.52	USD	863.00	
	NET +2 AVG BGHT 2071.00 SOLD 2059.00				**2,076.00**	**0.00**
17AUG17	S 1 PD17NOV17	2077.50	2118.79	USD	-1,032.25	
	NET -1 AVG SOLD 2077.50				**-1,032.25**	**0.00**
21AUG17	S 1 PD21NOV17	2077.00	2119.88	USD	-1,072.00	
	NET -1 AVG SOLD 2077.00				**-1,072.00**	**0.00**
22AUG17	S 1 PD22NOV17	2071.50	2120.15	USD	-1,216.25	
22AUG17	S 1 PD22NOV17	2076.00	2120.15	USD	-1,103.75	
22AUG17	B 3 PD22NOV17	2076.00	2120.15	USD	3,311.25	
22AUG17	B 1 PD22NOV17	2082.00	2120.15	USD	953.75	
	NET +2 AVG BGHT 2077.50 SOLD 2073.75				**1,945.00**	**0.00**
23AUG17	S 1 PD24NOV17	2076.50	2120.69	USD	-1,104.75	
23AUG17	S 1 PD24NOV17	2072.00	2120.69	USD	-1,217.25	
23AUG17	S 1 PD24NOV17	2078.00	2120.69	USD	-1,067.25	
25AUG17	B 1 PD24NOV17	2070.00	2120.69	USD	1,267.25	
	NET -2 AVG BGHT 2070.00 SOLD 2075.50				**-2,122.00**	**0.00**
29AUG17	B 1 PD29NOV17	2081.00	2122.05	USD	1,026.25	
	NET +1 AVG BGHT 2081.00				**1,026.25**	**0.00**
30AUG17	S 1 PD30NOV17	2087.00	2122.32	USD	-883.00	
30AUG17	S 1 PD30NOV17	2091.50	2122.32	USD	-770.50	
31AUG17	B 1 PD30NOV17	2126.00	2122.32	USD	-92.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094R

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
31AUG17	S 1 PD30NOV17	2118.00	2122.32	USD	-108.00	
	NET -2 AVG BGHT 2126.00 SOLD 2098.83				**-1,853.50**	**0.00**
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
07JUL17	B 1 PD06OCT17	5814.00	6470.02	USD	16,400.50	
07JUL17	S 1 PD06OCT17	5826.50	6470.02	USD	-16,088.00	
	NET 0 AVG BGHT 5814.00 SOLD 5826.50				**312.50**	**0.00**
21JUL17	B 1 PD20OCT17	6001.50	6486.75	USD	12,131.25	
21JUL17	S 1 PD20OCT17	6014.00	6486.75	USD	-11,818.75	
21JUL17	S 1 PD20OCT17	6032.00	6486.75	USD	-11,368.75	
21JUL17	B 1 PD20OCT17	6034.00	6486.75	USD	11,318.75	
21JUL17	S 1 PD20OCT17	6039.50	6486.75	USD	-11,181.25	
21JUL17	S 1 PD20OCT17	6048.00	6486.75	USD	-10,968.75	
21JUL17	B 1 PD20OCT17	6033.00	6486.75	USD	11,343.75	
21JUL17	B 1 PD20OCT17	6031.00	6486.75	USD	11,393.75	
	NET 0 AVG BGHT 6024.88 SOLD 6033.38				**850.00**	**0.00**
24JUL17	S 1 PD24OCT17	6022.00	6489.75	USD	-11,693.75	
24JUL17	B 1 PD24OCT17	6016.00	6489.75	USD	11,843.75	
	NET 0 AVG BGHT 6016.00 SOLD 6022.00				**150.00**	**0.00**
25JUL17	S 1 PD25OCT17	6155.50	6490.29	USD	-8,369.75	
25JUL17	S 1 PD25OCT17	6158.00	6490.29	USD	-8,307.25	
25JUL17	S 1 PD25OCT17	6178.00	6490.29	USD	-7,807.25	
25JUL17	S 1 PD25OCT17	6184.50	6490.29	USD	-7,644.75	
25JUL17	B 1 PD25OCT17	6221.50	6490.29	USD	6,719.75	
25JUL17	B 1 PD25OCT17	6201.50	6490.29	USD	7,219.75	
25JUL17	S 1 PD25OCT17	6240.00	6490.29	USD	-6,257.25	
25JUL17	S 1 PD25OCT17	6210.00	6490.29	USD	-7,007.25	
08AUG17	B 4 PD25OCT17	6474.46	6490.29	USD	1,583.00	
	NET 0 AVG BGHT 6386.81 SOLD 6187.67				**-29,871.00**	**0.00**
26JUL17	B 1 PD26OCT17	6325.00	6490.82	USD	4,145.50	
26JUL17	B 1 PD26OCT17	6347.00	6490.82	USD	3,595.50	
26JUL17	B 1 PD26OCT17	6350.00	6490.82	USD	3,520.50	
26JUL17	B 2 PD26OCT17	6370.00	6490.82	USD	6,041.00	
26JUL17	B 1 PD26OCT17	6399.00	6490.82	USD	2,295.50	
26JUL17	B 1 PD26OCT17	6399.50	6490.82	USD	2,283.00	
26JUL17	B 1 PD26OCT17	6400.00	6490.82	USD	2,270.50	
26JUL17	S 4 PD26OCT17	6390.00	6490.82	USD	-10,082.00	
26JUL17	S 1 PD26OCT17	6330.00	6490.82	USD	-4,020.50	
08AUG17	S 3 PD26OCT17	6474.66	6490.82	USD	-1,212.00	
	NET 0 AVG BGHT 6370.06 SOLD 6414.25				**8,837.00**	**0.00**
27JUL17	S 1 PD27OCT17	6370.00	6491.36	USD	-3,034.00	
27JUL17	B 1 PD27OCT17	6352.00	6491.36	USD	3,484.00	
	NET 0 AVG BGHT 6352.00 SOLD 6370.00				**450.00**	**0.00**
02AUG17	B 1 PD02NOV17	6330.00	6494.69	USD	4,117.25	
08AUG17	S 1 PD02NOV17	6477.43	6494.69	USD	-431.50	
	NET 0 AVG BGHT 6330.00 SOLD 6477.43				**3,685.75**	**0.00**
12SEP17	B 1 PD12DEC17	6659.50	6514.58	USD	-3,623.00	
12SEP17	S 1 PD12DEC17	6650.00	6514.58	USD	3,385.50	
12SEP17	B 1 PD12DEC17	6644.00	6514.58	USD	-3,235.50	
12SEP17	B 1 PD12DEC17	6650.50	6514.58	USD	-3,398.00	
12SEP17	S 1 PD12DEC17	6653.00	6514.58	USD	3,460.50	
12SEP17	S 1 PD12DEC17	6658.00	6514.58	USD	3,585.50	
	NET 0 AVG BGHT 6651.33 SOLD 6653.67				**175.00**	**0.00**
13SEP17	B 1 PD13DEC17	6550.50	6514.98	USD	-888.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094R

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
13SEP17	S 1 PD13DEC17	6567.00	6514.98	USD	1,300.50	
	NET 0 AVG BGHT 6550.50 SOLD 6567.00				**412.50**	**0.00**
20SEP17	B 1 PD20DEC17	6548.00	6517.75	USD	-756.25	
20SEP17	S 1 PD20DEC17	6547.00	6517.75	USD	731.25	
	NET 0 AVG BGHT 6548.00 SOLD 6547.00				**-25.00**	**0.00**
26SEP17	B 1 PD27DEC17	6454.00	6517.52	USD	1,588.00	
26SEP17	S 1 PD27DEC17	6455.00	6517.52	USD	-1,563.00	
	NET 0 AVG BGHT 6454.00 SOLD 6455.00				**25.00**	**0.00**
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
04JUL17	B 1 PD04OCT17	2303.50	2584.77	USD	7,031.75	
04JUL17	B 1 PD04OCT17	2306.50	2584.77	USD	6,956.75	
04JUL17	S 1 PD04OCT17	2305.50	2584.77	USD	-6,981.75	
04JUL17	B 1 PD04OCT17	2296.50	2584.77	USD	7,206.75	
04JUL17	B 1 PD04OCT17	2300.00	2584.77	USD	7,119.25	
04JUL17	S 1 PD04OCT17	2299.00	2584.77	USD	-7,144.25	
20JUL17	S 2 PD04OCT17	2210.05	2584.77	USD	-18,736.00	
	NET 0 AVG BGHT 2301.63 SOLD 2256.15				**-4,547.50**	**0.00**
05JUL17	B 1 PD05OCT17	2270.50	2585.00	USD	7,862.50	
05JUL17	B 1 PD05OCT17	2255.00	2585.00	USD	8,250.00	
05JUL17	S 1 PD05OCT17	2253.00	2585.00	USD	-8,300.00	
05JUL17	B 1 PD05OCT17	2245.50	2585.00	USD	8,487.50	
05JUL17	B 1 PD05OCT17	2272.50	2585.00	USD	7,812.50	
05JUL17	S 2 PD05OCT17	2273.00	2585.00	USD	-15,600.00	
20JUL17	S 1 PD05OCT17	2210.46	2585.00	USD	-9,363.50	
	NET 0 AVG BGHT 2260.88 SOLD 2252.37				**-851.00**	**0.00**
06JUL17	S 1 PD06OCT17	2276.50	2585.23	USD	-7,718.25	
06JUL17	B 1 PD06OCT17	2286.00	2585.23	USD	7,480.75	
07JUL17	S 1 PD06OCT17	2295.50	2585.23	USD	-7,243.25	
20JUL17	B 1 PD06OCT17	2210.88	2585.23	USD	9,358.75	
	NET 0 AVG BGHT 2248.44 SOLD 2286.00				**1,878.00**	**0.00**
12JUL17	B 1 PD12OCT17	2320.50	2586.62	USD	6,653.00	
12JUL17	S 1 PD12OCT17	2320.00	2586.62	USD	-6,665.50	
	NET 0 AVG BGHT 2320.50 SOLD 2320.00				**-12.50**	**0.00**
13JUL17	B 1 PD13OCT17	2327.00	2586.85	USD	6,496.25	
14JUL17	S 1 PD13OCT17	2282.50	2586.85	USD	-7,608.75	
	NET 0 AVG BGHT 2327.00 SOLD 2282.50				**-1,112.50**	**0.00**
17JUL17	B 1 PD17OCT17	2325.00	2587.77	USD	6,569.25	
17JUL17	B 1 PD17OCT17	2324.50	2587.77	USD	6,581.75	
17JUL17	B 1 PD17OCT17	2328.50	2587.77	USD	6,481.75	
17JUL17	B 1 PD17OCT17	2320.50	2587.77	USD	6,681.75	
17JUL17	S 1 PD17OCT17	2317.00	2587.77	USD	-6,769.25	
08AUG17	S 3 PD17OCT17	2378.96	2587.77	USD	-15,660.75	
	NET 0 AVG BGHT 2324.63 SOLD 2363.47				**3,884.50**	**0.00**
18JUL17	S 1 PD18OCT17	2286.00	2588.00	USD	-7,550.00	
18JUL17	B 1 PD18OCT17	2267.50	2588.00	USD	8,012.50	
	NET 0 AVG BGHT 2267.50 SOLD 2286.00				**462.50**	**0.00**
20JUL17	S 1 PD20OCT17	2213.00	2588.00	USD	-9,375.00	
20JUL17	S 1 PD20OCT17	2217.00	2588.00	USD	-9,275.00	
21JUL17	S 1 PD20OCT17	2247.00	2588.00	USD	-8,525.00	
21JUL17	B 1 PD20OCT17	2240.00	2588.00	USD	8,700.00	
21JUL17	S 1 PD20OCT17	2238.50	2588.00	USD	-8,737.50	
08AUG17	B 3 PD20OCT17	2378.95	2588.00	USD	15,678.75	
	NET 0 AVG BGHT 2344.21 SOLD 2228.88				**-11,533.75**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094R

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
24JUL17	B 1 PD24OCT17	2252.50	2588.00	USD	8,387.50	
08AUG17	S 1 PD24OCT17	2380.35	2588.00	USD	-5,191.25	
	NET 0 AVG BGHT 2252.50 SOLD 2380.35				**3,196.25**	**0.00**
25JUL17	B 1 PD25OCT17	2299.50	2588.00	USD	7,212.50	
25JUL17	S 1 PD25OCT17	2294.50	2588.00	USD	-7,337.50	
25JUL17	S 1 PD25OCT17	2298.50	2588.00	USD	-7,237.50	
08AUG17	B 1 PD25OCT17	2380.95	2588.00	USD	5,176.25	
	NET 0 AVG BGHT 2340.23 SOLD 2296.50				**-2,186.25**	**0.00**
27JUL17	S 1 PD27OCT17	2314.00	2588.00	USD	-6,850.00	
08AUG17	B 1 PD27OCT17	2381.10	2588.00	USD	5,172.50	
	NET 0 AVG BGHT 2381.10 SOLD 2314.00				**-1,677.50**	**0.00**
31JUL17	B 1 PD31OCT17	2329.50	2588.00	USD	6,462.50	
08AUG17	S 1 PD31OCT17	2381.59	2588.00	USD	-5,160.25	
	NET 0 AVG BGHT 2329.50 SOLD 2381.59				**1,302.25**	**0.00**
01AUG17	S 1 PD01NOV17	2336.00	2588.00	USD	-6,300.00	
01AUG17	B 1 PD01NOV17	2338.00	2588.00	USD	6,250.00	
	NET 0 AVG BGHT 2338.00 SOLD 2336.00				**-50.00**	**0.00**
07AUG17	B 1 PD07NOV17	2382.00	2588.00	USD	5,150.00	
07AUG17	B 1 PD07NOV17	2384.00	2588.00	USD	5,100.00	
	NET +2 AVG BGHT 2383.00				**10,250.00**	**0.00**
09AUG17	B 1 PD09NOV17	2402.50	2588.00	USD	4,637.50	
	NET +1 AVG BGHT 2402.50				**4,637.50**	**0.00**
11AUG17	B 1 PD10NOV17	2341.00	2588.00	USD	6,175.00	
11AUG17	B 1 PD10NOV17	2346.50	2588.00	USD	6,037.50	
11AUG17	S 1 PD10NOV17	2341.00	2588.00	USD	-6,175.00	
11AUG17	B 1 PD10NOV17	2330.00	2588.00	USD	6,450.00	
	NET +2 AVG BGHT 2339.17 SOLD 2341.00				**12,487.50**	**0.00**
14AUG17	S 1 PD14NOV17	2331.00	2588.00	USD	-6,425.00	
14AUG17	S 1 PD14NOV17	2332.00	2588.00	USD	-6,400.00	
	NET -2 AVG SOLD 2331.50				**-12,825.00**	**0.00**
16AUG17	B 1 PD16NOV17	2472.00	2588.13	USD	2,903.25	
16AUG17	S 1 PD16NOV17	2472.00	2588.13	USD	-2,903.25	
16AUG17	S 1 PD16NOV17	2479.00	2588.13	USD	-2,728.25	
	NET -1 AVG BGHT 2472.00 SOLD 2475.50				**-2,728.25**	**0.00**
17AUG17	B 1 PD17NOV17	2416.00	2588.26	USD	4,306.50	
18AUG17	S 1 PD17NOV17	2416.00	2588.26	USD	-4,306.50	
18AUG17	S 1 PD17NOV17	2420.50	2588.26	USD	-4,194.00	
18AUG17	S 1 PD17NOV17	2370.50	2588.26	USD	-5,444.00	
	NET -2 AVG BGHT 2416.00 SOLD 2402.33				**-9,638.00**	**0.00**
21AUG17	B 1 PD21NOV17	2375.00	2588.77	USD	5,344.25	
	NET +1 AVG BGHT 2375.00				**5,344.25**	**0.00**
22AUG17	S 1 PD22NOV17	2358.00	2588.90	USD	-5,772.50	
22AUG17	B 1 PD22NOV17	2354.00	2588.90	USD	5,872.50	
22AUG17	S 1 PD22NOV17	2355.00	2588.90	USD	-5,847.50	
22AUG17	S 1 PD22NOV17	2390.00	2588.90	USD	-4,972.50	
22AUG17	S 1 PD22NOV17	2409.00	2588.90	USD	-4,497.50	
22AUG17	B 1 PD22NOV17	2395.00	2588.90	USD	4,847.50	
	NET -2 AVG BGHT 2374.50 SOLD 2378.00				**-10,370.00**	**0.00**
23AUG17	B 1 PD24NOV17	2392.50	2589.16	USD	4,916.50	
23AUG17	S 1 PD24NOV17	2407.50	2589.16	USD	-4,541.50	
23AUG17	B 1 PD24NOV17	2400.50	2589.16	USD	4,716.50	
25AUG17	B 1 PD24NOV17	2335.00	2589.16	USD	6,354.00	
25AUG17	S 1 PD24NOV17	2335.00	2589.16	USD	-6,354.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094R

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
25AUG17	B 1 PD24NOV17	2328.50	2589.16	USD	6,516.50	
	NET +2 AVG BGHT 2364.13 SOLD 2371.25				**11,608.00**	**0.00**
29AUG17	S 1 PD29NOV17	2388.00	2589.80	USD	-5,045.00	
	NET -1 AVG SOLD 2388.00				**-5,045.00**	**0.00**
30AUG17	B 1 PD30NOV17	2400.00	2589.93	USD	4,748.25	
30AUG17	S 1 PD30NOV17	2366.00	2589.93	USD	-5,598.25	
31AUG17	B 1 PD30NOV17	2390.00	2589.93	USD	4,998.25	
	NET +1 AVG BGHT 2395.00 SOLD 2366.00				**4,148.25**	**0.00**
01SEP17	S 1 PD01DEC17	2398.00	2590.06	USD	-4,801.50	
	NET -1 AVG SOLD 2398.00				**-4,801.50**	**0.00**
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
04JUL17	B 1 PD04OCT17	9275.00	10531.23	USD	7,537.38	
04JUL17	S 2 PD04OCT17	9260.00	10531.23	USD	-15,254.76	
04JUL17	B 1 PD04OCT17	9180.00	10531.23	USD	8,107.38	
04JUL17	S 2 PD04OCT17	9140.00	10531.23	USD	-16,694.76	
04JUL17	B 1 PD04OCT17	9125.00	10531.23	USD	8,437.38	
04JUL17	B 1 PD04OCT17	9115.00	10531.23	USD	8,497.38	
04JUL17	S 1 PD04OCT17	9145.00	10531.23	USD	-8,317.38	
04JUL17	S 1 PD04OCT17	9155.00	10531.23	USD	-8,257.38	
04JUL17	B 1 PD04OCT17	9230.00	10531.23	USD	7,807.38	
20JUL17	B 1 PD04OCT17	9487.75	10531.23	USD	6,260.88	
	NET 0 AVG BGHT 9235.46 SOLD 9183.33				**-1,876.50**	**0.00**
05JUL17	S 1 PD05OCT17	9135.00	10532.50	USD	-8,385.00	
05JUL17	B 1 PD05OCT17	9115.00	10532.50	USD	8,505.00	
	NET 0 AVG BGHT 9115.00 SOLD 9135.00				**120.00**	**0.00**
06JUL17	B 1 PD06OCT17	9120.00	10533.77	USD	8,482.62	
06JUL17	S 1 PD06OCT17	9130.00	10533.77	USD	-8,422.62	
06JUL17	S 1 PD06OCT17	9145.00	10533.77	USD	-8,332.62	
06JUL17	B 2 PD06OCT17	9110.00	10533.77	USD	17,085.24	
06JUL17	S 1 PD06OCT17	9115.00	10533.77	USD	-8,512.62	
06JUL17	B 2 PD06OCT17	9090.00	10533.77	USD	17,325.24	
06JUL17	S 1 PD06OCT17	9080.00	10533.77	USD	-8,722.62	
06JUL17	S 1 PD06OCT17	9095.00	10533.77	USD	-8,632.62	
06JUL17	B 2 PD06OCT17	9080.00	10533.77	USD	17,445.24	
07JUL17	S 2 PD06OCT17	9070.00	10533.77	USD	-17,565.24	
07JUL17	B 1 PD06OCT17	9005.00	10533.77	USD	9,172.62	
07JUL17	S 1 PD06OCT17	9010.00	10533.77	USD	-9,142.62	
	NET 0 AVG BGHT 9085.63 SOLD 9089.38				**180.00**	**0.00**
17JUL17	S 1 PD17OCT17	9650.00	10547.73	USD	-5,386.38	
17JUL17	B 1 PD17OCT17	9640.00	10547.73	USD	5,446.38	
	NET 0 AVG BGHT 9640.00 SOLD 9650.00				**60.00**	**0.00**
18JUL17	S 1 PD18OCT17	9650.00	10549.00	USD	-5,394.00	
18JUL17	B 1 PD18OCT17	9625.00	10549.00	USD	5,544.00	
18JUL17	S 1 PD18OCT17	9760.00	10549.00	USD	-4,734.00	
18JUL17	B 1 PD18OCT17	9750.00	10549.00	USD	4,794.00	
18JUL17	B 1 PD18OCT17	9740.00	10549.00	USD	4,854.00	
18JUL17	S 1 PD18OCT17	9735.00	10549.00	USD	-4,884.00	
	NET 0 AVG BGHT 9705.00 SOLD 9715.00				**180.00**	**0.00**
19JUL17	S 1 PD19OCT17	9745.00	10549.96	USD	-4,829.76	
19JUL17	B 1 PD19OCT17	9730.00	10549.96	USD	4,919.76	
19JUL17	B 1 PD19OCT17	9740.00	10549.96	USD	4,859.76	
19JUL17	S 1 PD19OCT17	9765.00	10549.96	USD	-4,709.76	
	NET 0 AVG BGHT 9735.00 SOLD 9755.00				**240.00**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094R

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
21JUL17	S 1 PD20OCT17	9585.00	10550.93	USD	-5,795.58	
21JUL17	S 1 PD20OCT17	9620.00	10550.93	USD	-5,585.58	
21JUL17	S 1 PD20OCT17	9630.00	10550.93	USD	-5,525.58	
21JUL17	S 1 PD20OCT17	9645.00	10550.93	USD	-5,435.58	
21JUL17	B 1 PD20OCT17	9640.00	10550.93	USD	5,465.58	
21JUL17	B 1 PD20OCT17	9610.00	10550.93	USD	5,645.58	
21JUL17	B 1 PD20OCT17	9555.00	10550.93	USD	5,975.58	
21JUL17	B 1 PD20OCT17	9520.00	10550.93	USD	6,185.58	
	NET 0 AVG BGHT 9581.25 SOLD 9620.00				**930.00**	**0.00**
24JUL17	S 1 PD24OCT17	9725.00	10554.79	USD	-4,978.74	
24JUL17	S 2 PD24OCT17	9760.00	10554.79	USD	-9,537.48	
24JUL17	S 1 PD24OCT17	9775.00	10554.79	USD	-4,678.74	
24JUL17	B 1 PD24OCT17	9750.00	10554.79	USD	4,828.74	
24JUL17	B 1 PD24OCT17	9760.00	10554.79	USD	4,768.74	
08AUG17	B 2 PD24OCT17	10623.93	10554.79	USD	-829.68	
	NET 0 AVG BGHT 10189.47 SOLD 9755.00				**-10,427.16**	**0.00**
25JUL17	B 1 PD25OCT17	9740.00	10555.75	USD	4,894.50	
25JUL17	B 1 PD25OCT17	9750.00	10555.75	USD	4,834.50	
25JUL17	S 1 PD25OCT17	9940.00	10555.75	USD	-3,694.50	
25JUL17	B 1 PD25OCT17	9890.00	10555.75	USD	3,994.50	
25JUL17	B 1 PD25OCT17	9980.00	10555.75	USD	3,454.50	
25JUL17	S 1 PD25OCT17	10000.00	10555.75	USD	-3,334.50	
08AUG17	S 2 PD25OCT17	10623.33	10555.75	USD	810.96	
	NET 0 AVG BGHT 9840.00 SOLD 10296.67				**10,959.96**	**0.00**
27JUL17	S 2 PD27OCT17	10180.00	10557.68	USD	-4,532.16	
27JUL17	B 1 PD27OCT17	10145.00	10557.68	USD	2,476.08	
27JUL17	B 1 PD27OCT17	10155.00	10557.68	USD	2,416.08	
28JUL17	S 1 PD27OCT17	10260.00	10557.68	USD	-1,786.08	
08AUG17	B 1 PD27OCT17	10625.14	10557.68	USD	-404.76	
	NET 0 AVG BGHT 10308.38 SOLD 10206.67				**-1,830.84**	**0.00**
31JUL17	B 1 PD31OCT17	10255.00	10561.54	USD	1,839.24	
31JUL17	B 1 PD31OCT17	10230.00	10561.54	USD	1,989.24	
31JUL17	S 1 PD31OCT17	10225.00	10561.54	USD	-2,019.24	
08AUG17	S 1 PD31OCT17	10625.76	10561.54	USD	385.32	
	NET 0 AVG BGHT 10242.50 SOLD 10425.38				**2,194.56**	**0.00**
01AUG17	S 1 PD01NOV17	10175.00	10562.50	USD	-2,325.00	
01AUG17	S 1 PD01NOV17	10195.00	10562.50	USD	-2,205.00	
01AUG17	S 1 PD01NOV17	10295.00	10562.50	USD	-1,605.00	
01AUG17	B 1 PD01NOV17	10240.00	10562.50	USD	1,935.00	
01AUG17	S 1 PD01NOV17	10280.00	10562.50	USD	-1,695.00	
01AUG17	B 1 PD01NOV17	10260.00	10562.50	USD	1,815.00	
08AUG17	B 2 PD01NOV17	10627.17	10562.50	USD	-776.04	
	NET 0 AVG BGHT 10438.59 SOLD 10236.25				**-4,856.04**	**0.00**
02AUG17	S 1 PD02NOV17	10195.00	10563.46	USD	-2,210.76	
02AUG17	B 2 PD02NOV17	10160.00	10563.46	USD	4,841.52	
08AUG17	S 1 PD02NOV17	10626.57	10563.46	USD	378.66	
	NET 0 AVG BGHT 10160.00 SOLD 10410.79				**3,009.42**	**0.00**
03AUG17	B 1 PD03NOV17	10280.00	10564.43	USD	1,706.58	
04AUG17	B 1 PD03NOV17	10200.00	10564.43	USD	2,186.58	
04AUG17	S 1 PD03NOV17	10250.00	10564.43	USD	-1,886.58	
04AUG17	B 1 PD03NOV17	10260.00	10564.43	USD	1,826.58	
04AUG17	B 1 PD03NOV17	10330.00	10564.43	USD	1,406.58	
04AUG17	S 1 PD03NOV17	10275.00	10564.43	USD	-1,736.58	
04AUG17	S 1 PD03NOV17	10285.00	10564.43	USD	-1,676.58	
08AUG17	S 1 PD03NOV17	10626.98	10564.43	USD	375.30	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094R

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT								
	NET	**0**	**AVG BGHT 10267.50**	**SOLD 10359.25**				**2,201.88**	**0.00**
07AUG17	S	1	PD07NOV17		10365.00	10568.29	USD	-1,219.74	
07AUG17	B	1	PD07NOV17		10330.00	10568.29	USD	1,429.74	
	NET	**0**	**AVG BGHT 10330.00**	**SOLD 10365.00**				**210.00**	**0.00**
08AUG17	S	1	PD08NOV17		10345.00	10569.25	USD	-1,345.50	
08AUG17	S	1	PD08NOV17		10330.00	10569.25	USD	-1,435.50	
08AUG17	S	1	PD08NOV17		10340.00	10569.25	USD	-1,375.50	
08AUG17	S	1	PD08NOV17		10350.00	10569.25	USD	-1,315.50	
08AUG17	B	1	PD08NOV17		10340.00	10569.25	USD	1,375.50	
08AUG17	B	2	PD08NOV17		10500.00	10569.25	USD	831.00	
08AUG17	S	1	PD08NOV17		10370.00	10569.25	USD	-1,195.50	
08AUG17	S	1	PD08NOV17		10445.00	10569.25	USD	-745.50	
08AUG17	S	1	PD08NOV17		10520.00	10569.25	USD	-295.50	
08AUG17	S	1	PD08NOV17		10530.00	10569.25	USD	-235.50	
	NET	**-5**	**AVG BGHT 10446.67**	**SOLD 10403.75**				**-5,737.50**	**0.00**
09AUG17	B	1	PD09NOV17		10780.00	10570.21	USD	-1,258.74	
09AUG17	B	2	PD09NOV17		10800.00	10570.21	USD	-2,757.48	
09AUG17	B	1	PD09NOV17		10805.00	10570.21	USD	-1,408.74	
09AUG17	S	1	PD09NOV17		10755.00	10570.21	USD	1,108.74	
09AUG17	S	2	PD09NOV17		10805.00	10570.21	USD	2,817.48	
09AUG17	S	1	PD09NOV17		10780.00	10570.21	USD	1,258.74	
09AUG17	S	1	PD09NOV17		10750.00	10570.21	USD	1,078.74	
09AUG17	B	3	PD09NOV17		10755.00	10570.21	USD	-3,326.22	
09AUG17	S	2	PD09NOV17		10745.00	10570.21	USD	2,097.48	
09AUG17	S	1	PD09NOV17		10760.00	10570.21	USD	1,138.74	
09AUG17	B	3	PD09NOV17		10750.00	10570.21	USD	-3,236.22	
09AUG17	S	1	PD09NOV17		10785.00	10570.21	USD	1,288.74	
09AUG17	B	1	PD09NOV17		10735.00	10570.21	USD	-988.74	
	NET	**+2**	**AVG BGHT 10766.82**	**SOLD 10770.00**				**-2,187.48**	**0.00**
10AUG17	S	1	PD10NOV17		10780.00	10571.18	USD	1,252.92	
10AUG17	B	1	PD10NOV17		10780.00	10571.18	USD	-1,252.92	
10AUG17	B	2	PD10NOV17		10900.00	10571.18	USD	-3,945.84	
10AUG17	S	2	PD10NOV17		10905.00	10571.18	USD	4,005.84	
10AUG17	B	1	PD10NOV17		10925.00	10571.18	USD	-2,122.92	
10AUG17	B	1	PD10NOV17		10875.00	10571.18	USD	-1,822.92	
11AUG17	B	1	PD10NOV17		10835.00	10571.18	USD	-1,582.92	
11AUG17	S	1	PD10NOV17		10850.00	10571.18	USD	1,672.92	
11AUG17	S	2	PD10NOV17		10870.00	10571.18	USD	3,585.84	
11AUG17	B	1	PD10NOV17		10635.00	10571.18	USD	-382.92	
11AUG17	S	1	PD10NOV17		10625.00	10571.18	USD	322.92	
11AUG17	B	1	PD10NOV17		10640.00	10571.18	USD	-412.92	
	NET	**+1**	**AVG BGHT 10811.25**	**SOLD 10829.29**				**-682.92**	**0.00**
15AUG17	B	1	PD15NOV17		10375.00	10576.00	USD	1,206.00	
15AUG17	B	1	PD15NOV17		10355.00	10576.00	USD	1,326.00	
15AUG17	S	1	PD15NOV17		10350.00	10576.00	USD	-1,356.00	
	NET	**+1**	**AVG BGHT 10365.00**	**SOLD 10350.00**				**1,176.00**	**0.00**
16AUG17	S	1	PD16NOV17		10470.00	10576.89	USD	-641.34	
16AUG17	S	1	PD16NOV17		10530.00	10576.89	USD	-281.34	
16AUG17	B	1	PD16NOV17		10530.00	10576.89	USD	281.34	
16AUG17	S	1	PD16NOV17		10625.00	10576.89	USD	288.66	
16AUG17	B	1	PD16NOV17		10555.00	10576.89	USD	131.34	
16AUG17	S	2	PD16NOV17		10770.00	10576.89	USD	2,317.32	
16AUG17	S	1	PD16NOV17		10780.00	10576.89	USD	1,218.66	
16AUG17	S	1	PD16NOV17		10775.00	10576.89	USD	1,188.66	
16AUG17	B	4	PD16NOV17		10755.00	10576.89	USD	-4,274.64	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094R

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
	NET -1 AVG BGHT 10684.17 SOLD 10674.29				**228.66**	**0.00**
17AUG17	B 1 PD17NOV17	10850.00	10577.77	USD	-1,633.38	
17AUG17	S 1 PD17NOV17	10790.00	10577.77	USD	1,273.38	
18AUG17	S 1 PD17NOV17	11000.00	10577.77	USD	2,533.38	
18AUG17	B 1 PD17NOV17	10975.00	10577.77	USD	-2,383.38	
18AUG17	S 1 PD17NOV17	10995.00	10577.77	USD	2,503.38	
18AUG17	B 1 PD17NOV17	10985.00	10577.77	USD	-2,443.38	
	NET 0 AVG BGHT 10936.67 SOLD 10928.33				**-150.00**	**0.00**
21AUG17	B 1 PD21NOV17	11090.00	10581.31	USD	-3,052.14	
21AUG17	S 1 PD21NOV17	11130.00	10581.31	USD	3,292.14	
21AUG17	S 1 PD21NOV17	11215.00	10581.31	USD	3,802.14	
21AUG17	B 1 PD21NOV17	11215.00	10581.31	USD	-3,802.14	
21AUG17	B 1 PD21NOV17	11240.00	10581.31	USD	-3,952.14	
21AUG17	S 1 PD21NOV17	11240.00	10581.31	USD	3,952.14	
21AUG17	S 1 PD21NOV17	11250.00	10581.31	USD	4,012.14	
21AUG17	S 1 PD21NOV17	11290.00	10581.31	USD	4,252.14	
21AUG17	B 1 PD21NOV17	11280.00	10581.31	USD	-4,192.14	
21AUG17	B 1 PD21NOV17	11255.00	10581.31	USD	-4,042.14	
21AUG17	S 2 PD21NOV17	11300.00	10581.31	USD	8,624.28	
21AUG17	S 1 PD21NOV17	11310.00	10581.31	USD	4,372.14	
	NET -3 AVG BGHT 11216.00 SOLD 11254.38				**13,266.42**	**0.00**
22AUG17	B 1 PD22NOV17	11280.00	10582.20	USD	-4,186.80	
22AUG17	B 1 PD22NOV17	11320.00	10582.20	USD	-4,426.80	
22AUG17	S 1 PD22NOV17	11490.00	10582.20	USD	5,446.80	
22AUG17	B 1 PD22NOV17	11430.00	10582.20	USD	-5,086.80	
22AUG17	S 1 PD22NOV17	11475.00	10582.20	USD	5,356.80	
22AUG17	S 1 PD22NOV17	11480.00	10582.20	USD	5,386.80	
22AUG17	B 2 PD22NOV17	11325.00	10582.20	USD	-8,913.60	
22AUG17	B 1 PD22NOV17	11490.00	10582.20	USD	-5,446.80	
22AUG17	S 1 PD22NOV17	11400.00	10582.20	USD	4,906.80	
22AUG17	S 1 PD22NOV17	11440.00	10582.20	USD	5,146.80	
	NET +1 AVG BGHT 11361.67 SOLD 11457.00				**-1,816.80**	**0.00**
23AUG17	B 1 PD24NOV17	11375.00	10583.97	USD	-4,746.18	
23AUG17	B 1 PD24NOV17	11410.00	10583.97	USD	-4,956.18	
23AUG17	S 1 PD24NOV17	11440.00	10583.97	USD	5,136.18	
23AUG17	S 1 PD24NOV17	11480.00	10583.97	USD	5,376.18	
23AUG17	S 1 PD24NOV17	11535.00	10583.97	USD	5,706.18	
23AUG17	B 1 PD24NOV17	11525.00	10583.97	USD	-5,646.18	
23AUG17	B 1 PD24NOV17	11475.00	10583.97	USD	-5,346.18	
23AUG17	B 1 PD24NOV17	11505.00	10583.97	USD	-5,526.18	
23AUG17	S 1 PD24NOV17	11660.00	10583.97	USD	6,456.18	
23AUG17	S 1 PD24NOV17	11730.00	10583.97	USD	6,876.18	
23AUG17	S 1 PD24NOV17	11665.00	10583.97	USD	6,486.18	
24AUG17	B 1 PD24NOV17	11690.00	10583.97	USD	-6,636.18	
24AUG17	B 2 PD24NOV17	11705.00	10583.97	USD	-13,452.36	
24AUG17	B 1 PD24NOV17	11640.00	10583.97	USD	-6,336.18	
24AUG17	S 1 PD24NOV17	11745.00	10583.97	USD	6,966.18	
24AUG17	B 1 PD24NOV17	11755.00	10583.97	USD	-7,026.18	
24AUG17	B 1 PD24NOV17	11670.00	10583.97	USD	-6,516.18	
24AUG17	S 1 PD24NOV17	11720.00	10583.97	USD	6,816.18	
25AUG17	B 1 PD24NOV17	11445.00	10583.97	USD	-5,166.18	
25AUG17	B 2 PD24NOV17	11405.00	10583.97	USD	-9,852.36	
25AUG17	S 2 PD24NOV17	11430.00	10583.97	USD	10,152.36	
25AUG17	S 1 PD24NOV17	11475.00	10583.97	USD	5,346.18	
25AUG17	B 1 PD24NOV17	11465.00	10583.97	USD	-5,286.18	
25AUG17	S 1 PD24NOV17	11445.00	10583.97	USD	5,166.18	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094R

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
	NET +3 AVG BGHT 11545.00 SOLD 11562.92				**-16,008.54**	**0.00**
29AUG17	S 1 PD29NOV17	11790.00	10588.40	USD	7,209.60	
29AUG17	S 1 PD29NOV17	11800.00	10588.40	USD	7,269.60	
29AUG17	B 1 PD29NOV17	11765.00	10588.40	USD	-7,059.60	
29AUG17	S 1 PD29NOV17	11775.00	10588.40	USD	7,119.60	
29AUG17	B 2 PD29NOV17	11805.00	10588.40	USD	-14,599.20	
29AUG17	B 1 PD29NOV17	11840.00	10588.40	USD	-7,509.60	
29AUG17	S 1 PD29NOV17	11835.00	10588.40	USD	7,479.60	
	NET 0 AVG BGHT 11803.75 SOLD 11800.00				**-90.00**	**0.00**
05SEP17	B 1 PD05DEC17	12035.00	10593.71	USD	-8,647.74	
05SEP17	B 1 PD05DEC17	12025.00	10593.71	USD	-8,587.74	
05SEP17	B 1 PD05DEC17	11985.00	10593.71	USD	-8,347.74	
05SEP17	B 1 PD05DEC17	11930.00	10593.71	USD	-8,017.74	
05SEP17	S 1 PD05DEC17	11980.00	10593.71	USD	8,317.74	
	NET +3 AVG BGHT 11993.75 SOLD 11980.00				**-25,283.22**	**0.00**
06SEP17	S 1 PD06DEC17	12020.00	10594.60	USD	8,552.40	
06SEP17	S 1 PD06DEC17	12060.00	10594.60	USD	8,792.40	
06SEP17	S 1 PD06DEC17	12200.00	10594.60	USD	9,632.40	
06SEP17	S 1 PD06DEC17	12230.00	10594.60	USD	9,812.40	
06SEP17	S 1 PD06DEC17	12245.00	10594.60	USD	9,902.40	
	NET -5 AVG SOLD 12151.00				**46,692.00**	**0.00**
07SEP17	B 1 PD07DEC17	12220.00	10595.49	USD	-9,747.06	
07SEP17	B 1 PD07DEC17	12250.00	10595.49	USD	-9,927.06	
07SEP17	B 1 PD07DEC17	12135.00	10595.49	USD	-9,237.06	
07SEP17	S 1 PD07DEC17	12185.00	10595.49	USD	9,537.06	
07SEP17	B 1 PD07DEC17	12130.00	10595.49	USD	-9,207.06	
	NET +3 AVG BGHT 12183.75 SOLD 12185.00				**-28,581.18**	**0.00**
08SEP17	B 1 PD08DEC17	11920.00	10596.37	USD	-7,941.78	
08SEP17	B 1 PD08DEC17	11725.00	10596.37	USD	-6,771.78	
08SEP17	B 1 PD08DEC17	11750.00	10596.37	USD	-6,921.78	
08SEP17	S 1 PD08DEC17	11720.00	10596.37	USD	6,741.78	
08SEP17	S 2 PD08DEC17	11760.00	10596.37	USD	13,963.56	
08SEP17	B 1 PD08DEC17	11595.00	10596.37	USD	-5,991.78	
08SEP17	B 1 PD08DEC17	11510.00	10596.37	USD	-5,481.78	
	NET +2 AVG BGHT 11700.00 SOLD 11746.67				**-12,403.56**	**0.00**
11SEP17	S 1 PD11DEC17	11665.00	10599.03	USD	6,395.82	
11SEP17	B 1 PD11DEC17	11670.00	10599.03	USD	-6,425.82	
11SEP17	S 1 PD11DEC17	11745.00	10599.03	USD	6,875.82	
11SEP17	S 1 PD11DEC17	11810.00	10599.03	USD	7,265.82	
11SEP17	S 1 PD11DEC17	11825.00	10599.03	USD	7,355.82	
11SEP17	B 1 PD11DEC17	11790.00	10599.03	USD	-7,145.82	
	NET -2 AVG BGHT 11730.00 SOLD 11761.25				**14,321.64**	**0.00**
12SEP17	B 1 PD12DEC17	11685.00	10599.91	USD	-6,510.54	
12SEP17	B 1 PD12DEC17	11705.00	10599.91	USD	-6,630.54	
12SEP17	B 1 PD12DEC17	11620.00	10599.91	USD	-6,120.54	
12SEP17	S 1 PD12DEC17	11650.00	10599.91	USD	6,300.54	
12SEP17	B 1 PD12DEC17	11630.00	10599.91	USD	-6,180.54	
12SEP17	S 1 PD12DEC17	11660.00	10599.91	USD	6,360.54	
12SEP17	S 2 PD12DEC17	11670.00	10599.91	USD	12,841.08	
12SEP17	B 1 PD12DEC17	11700.00	10599.91	USD	-6,600.54	
12SEP17	S 1 PD12DEC17	11600.00	10599.91	USD	6,000.54	
	NET 0 AVG BGHT 11668.00 SOLD 11650.00				**-540.00**	**0.00**
13SEP17	B 1 PD13DEC17	11820.00	10600.80	USD	-7,315.20	
13SEP17	S 1 PD13DEC17	11800.00	10600.80	USD	7,195.20	
13SEP17	B 1 PD13DEC17	11710.00	10600.80	USD	-6,655.20	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094R

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
13SEP17	S 1 PD13DEC17	11785.00	10600.80	USD	7,105.20	
13SEP17	B 1 PD13DEC17	11570.00	10600.80	USD	-5,815.20	
13SEP17	B 1 PD13DEC17	11465.00	10600.80	USD	-5,185.20	
13SEP17	S 2 PD13DEC17	11500.00	10600.80	USD	10,790.40	
13SEP17	S 1 PD13DEC17	11435.00	10600.80	USD	5,005.20	
	NET -1 AVG BGHT 11641.25 SOLD 11604.00				**5,125.20**	**0.00**
14SEP17	B 1 PD14DEC17	11465.00	10601.69	USD	-5,179.86	
14SEP17	B 1 PD14DEC17	11225.00	10601.69	USD	-3,739.86	
14SEP17	S 1 PD14DEC17	11325.00	10601.69	USD	4,339.86	
14SEP17	B 1 PD14DEC17	11210.00	10601.69	USD	-3,649.86	
14SEP17	S 1 PD14DEC17	11235.00	10601.69	USD	3,799.86	
14SEP17	B 1 PD14DEC17	11205.00	10601.69	USD	-3,619.86	
14SEP17	S 1 PD14DEC17	11230.00	10601.69	USD	3,769.86	
	NET +1 AVG BGHT 11276.25 SOLD 11263.33				**-4,279.86**	**0.00**
15SEP17	B 1 PD15DEC17	11060.00	10602.57	USD	-2,744.58	
15SEP17	B 1 PD15DEC17	11105.00	10602.57	USD	-3,014.58	
15SEP17	B 1 PD15DEC17	11065.00	10602.57	USD	-2,774.58	
15SEP17	S 2 PD15DEC17	11095.00	10602.57	USD	5,909.16	
15SEP17	S 1 PD15DEC17	11135.00	10602.57	USD	3,194.58	
15SEP17	B 1 PD15DEC17	10980.00	10602.57	USD	-2,264.58	
15SEP17	B 1 PD15DEC17	10970.00	10602.57	USD	-2,204.58	
15SEP17	S 1 PD15DEC17	11075.00	10602.57	USD	2,834.58	
15SEP17	S 2 PD15DEC17	11125.00	10602.57	USD	6,269.16	
15SEP17	S 1 PD15DEC17	11150.00	10602.57	USD	3,284.58	
15SEP17	B 1 PD15DEC17	11115.00	10602.57	USD	-3,074.58	
	NET -1 AVG BGHT 11049.17 SOLD 11114.29				**5,414.58**	**0.00**
18SEP17	B 1 PD18DEC17	11110.00	10605.23	USD	-3,028.62	
18SEP17	B 1 PD18DEC17	11140.00	10605.23	USD	-3,208.62	
18SEP17	B 1 PD18DEC17	11070.00	10605.23	USD	-2,788.62	
18SEP17	S 1 PD18DEC17	11110.00	10605.23	USD	3,028.62	
18SEP17	S 1 PD18DEC17	11135.00	10605.23	USD	3,178.62	
18SEP17	B 1 PD18DEC17	11130.00	10605.23	USD	-3,148.62	
18SEP17	S 1 PD18DEC17	11165.00	10605.23	USD	3,358.62	
18SEP17	B 2 PD18DEC17	11155.00	10605.23	USD	-6,597.24	
18SEP17	S 2 PD18DEC17	11180.00	10605.23	USD	6,897.24	
	NET +1 AVG BGHT 11126.67 SOLD 11154.00				**-2,308.62**	**0.00**
19SEP17	B 1 PD19DEC17	11015.00	10606.11	USD	-2,453.34	
19SEP17	B 1 PD19DEC17	11075.00	10606.11	USD	-2,813.34	
19SEP17	S 1 PD19DEC17	11065.00	10606.11	USD	2,753.34	
19SEP17	B 1 PD19DEC17	10980.00	10606.11	USD	-2,243.34	
19SEP17	S 1 PD19DEC17	10960.00	10606.11	USD	2,123.34	
19SEP17	B 1 PD19DEC17	10925.00	10606.11	USD	-1,913.34	
19SEP17	S 1 PD19DEC17	10975.00	10606.11	USD	2,213.34	
19SEP17	S 1 PD19DEC17	11020.00	10606.11	USD	2,483.34	
19SEP17	S 1 PD19DEC17	11185.00	10606.11	USD	3,473.34	
19SEP17	S 1 PD19DEC17	11180.00	10606.11	USD	3,443.34	
19SEP17	B 1 PD19DEC17	11155.00	10606.11	USD	-3,293.34	
19SEP17	B 1 PD19DEC17	11160.00	10606.11	USD	-3,323.34	
	NET 0 AVG BGHT 11051.67 SOLD 11064.17				**450.00**	**0.00**
20SEP17	B 1 PD20DEC17	11325.00	10607.00	USD	-4,308.00	
20SEP17	S 1 PD20DEC17	11385.00	10607.00	USD	4,668.00	
20SEP17	S 1 PD20DEC17	11380.00	10607.00	USD	4,638.00	
20SEP17	S 1 PD20DEC17	11440.00	10607.00	USD	4,998.00	
20SEP17	B 1 PD20DEC17	11415.00	10607.00	USD	-4,848.00	
20SEP17	B 1 PD20DEC17	11420.00	10607.00	USD	-4,878.00	
20SEP17	S 1 PD20DEC17	11395.00	10607.00	USD	4,728.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094R

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
20SEP17	B 1 PD20DEC17	11365.00	10607.00	USD	-4,548.00	
	NET 0 AVG BGHT 11381.25 SOLD 11400.00				**450.00**	**0.00**
21SEP17	B 1 PD21DEC17	10960.00	10608.00	USD	-2,112.00	
21SEP17	B 1 PD21DEC17	10970.00	10608.00	USD	-2,172.00	
21SEP17	B 1 PD21DEC17	11010.00	10608.00	USD	-2,412.00	
21SEP17	B 1 PD21DEC17	10930.00	10608.00	USD	-1,932.00	
21SEP17	B 2 PD21DEC17	10850.00	10608.00	USD	-2,904.00	
21SEP17	B 1 PD21DEC17	10860.00	10608.00	USD	-1,512.00	
21SEP17	S 2 PD21DEC17	10845.00	10608.00	USD	2,844.00	
	NET +5 AVG BGHT 10918.57 SOLD 10845.00				**-10,200.00**	**0.00**
22SEP17	S 2 PD22DEC17	10780.00	10609.00	USD	2,052.00	
22SEP17	B 1 PD22DEC17	10560.00	10609.00	USD	294.00	
22SEP17	B 1 PD22DEC17	10635.00	10609.00	USD	-156.00	
22SEP17	S 1 PD22DEC17	10625.00	10609.00	USD	96.00	
22SEP17	B 1 PD22DEC17	10605.00	10609.00	USD	24.00	
22SEP17	S 1 PD22DEC17	10600.00	10609.00	USD	-54.00	
22SEP17	B 1 PD22DEC17	10575.00	10609.00	USD	204.00	
22SEP17	S 1 PD22DEC17	10550.00	10609.00	USD	-354.00	
22SEP17	B 1 PD22DEC17	10565.00	10609.00	USD	264.00	
22SEP17	S 1 PD22DEC17	10495.00	10609.00	USD	-684.00	
22SEP17	S 1 PD22DEC17	10545.00	10609.00	USD	-384.00	
22SEP17	S 1 PD22DEC17	10590.00	10609.00	USD	-114.00	
22SEP17	S 2 PD22DEC17	10615.00	10609.00	USD	72.00	
22SEP17	S 1 PD22DEC17	10645.00	10609.00	USD	216.00	
	NET -6 AVG BGHT 10588.00 SOLD 10621.82				**1,476.00**	**0.00**
25SEP17	B 1 PD27DEC17	10535.00	10613.58	USD	471.48	
25SEP17	S 1 PD27DEC17	10550.00	10613.58	USD	-381.48	
25SEP17	B 1 PD27DEC17	10615.00	10613.58	USD	-8.52	
25SEP17	B 2 PD27DEC17	10605.00	10613.58	USD	102.96	
25SEP17	B 1 PD27DEC17	10500.00	10613.58	USD	681.48	
25SEP17	S 1 PD27DEC17	10510.00	10613.58	USD	-621.48	
25SEP17	S 2 PD27DEC17	10545.00	10613.58	USD	-822.96	
25SEP17	B 2 PD27DEC17	10505.00	10613.58	USD	1,302.96	
25SEP17	B 2 PD27DEC17	10465.00	10613.58	USD	1,782.96	
25SEP17	S 1 PD27DEC17	10595.00	10613.58	USD	-111.48	
25SEP17	S 1 PD27DEC17	10610.00	10613.58	USD	-21.48	
25SEP17	S 2 PD27DEC17	10630.00	10613.58	USD	197.04	
26SEP17	B 1 PD27DEC17	10620.00	10613.58	USD	-38.52	
26SEP17	B 1 PD27DEC17	10575.00	10613.58	USD	231.48	
26SEP17	S 1 PD27DEC17	10575.00	10613.58	USD	-231.48	
26SEP17	S 1 PD27DEC17	10625.00	10613.58	USD	68.52	
26SEP17	B 1 PD27DEC17	10430.00	10613.58	USD	1,101.48	
26SEP17	S 1 PD27DEC17	10485.00	10613.58	USD	-771.48	
26SEP17	B 1 PD27DEC17	10500.00	10613.58	USD	681.48	
26SEP17	B 1 PD27DEC17	10485.00	10613.58	USD	771.48	
26SEP17	S 1 PD27DEC17	10505.00	10613.58	USD	-651.48	
26SEP17	S 1 PD27DEC17	10510.00	10613.58	USD	-621.48	
26SEP17	B 1 PD27DEC17	10465.00	10613.58	USD	891.48	
27SEP17	S 1 PD27DEC17	10625.00	10613.58	USD	68.52	
27SEP17	B 1 PD27DEC17	10545.00	10613.58	USD	411.48	
27SEP17	B 1 PD27DEC17	10540.00	10613.58	USD	441.48	
27SEP17	B 1 PD27DEC17	10465.00	10613.58	USD	891.48	
27SEP17	B 1 PD27DEC17	10370.00	10613.58	USD	1,461.48	
27SEP17	B 2 PD27DEC17	10295.00	10613.58	USD	3,822.96	
27SEP17	S 2 PD27DEC17	10300.00	10613.58	USD	-3,762.96	
27SEP17	B 1 PD27DEC17	10350.00	10613.58	USD	1,581.48	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094R

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
27SEP17	S 1 PD27DEC17	10290.00	10613.58	USD	-1,941.48	
27SEP17	S 1 PD27DEC17	10370.00	10613.58	USD	-1,461.48	
	NET +4 AVG BGHT 10487.95 SOLD 10511.11				**5,515.92**	**0.00**
28SEP17	S 1 PD28DEC17	10340.00	10614.50	USD	-1,647.00	
28SEP17	B 1 PD28DEC17	10255.00	10614.50	USD	2,157.00	
28SEP17	S 2 PD28DEC17	10310.00	10614.50	USD	-3,654.00	
28SEP17	S 1 PD28DEC17	10345.00	10614.50	USD	-1,617.00	
28SEP17	B 2 PD28DEC17	10380.00	10614.50	USD	2,814.00	
28SEP17	S 2 PD28DEC17	10365.00	10614.50	USD	-2,994.00	
	NET -3 AVG BGHT 10338.33 SOLD 10339.17				**-4,941.00**	**0.00**
29SEP17	S 1 PD29DEC17	10580.00	10615.42	USD	-212.52	
29SEP17	S 1 PD29DEC17	10620.00	10615.42	USD	27.48	
29SEP17	S 1 PD29DEC17	10680.00	10615.42	USD	387.48	
29SEP17	B 1 PD29DEC17	10640.00	10615.42	USD	-147.48	
29SEP17	S 1 PD29DEC17	10710.00	10615.42	USD	567.48	
29SEP17	B 1 PD29DEC17	10670.00	10615.42	USD	-327.48	
29SEP17	S 1 PD29DEC17	10675.00	10615.42	USD	357.48	
29SEP17	B 1 PD29DEC17	10725.00	10615.42	USD	-657.48	
29SEP17	B 1 PD29DEC17	10575.00	10615.42	USD	242.52	
29SEP17	B 1 PD29DEC17	10525.00	10615.42	USD	542.52	
	NET 0 AVG BGHT 10627.00 SOLD 10653.00				**780.00**	**0.00**
02OCT17	B 1 PD02JAN18	10370.00	10619.08	USD	1,494.48	
02OCT17	B 1 PD02JAN18	10380.00	10619.08	USD	1,434.48	
02OCT17	S 1 PD02JAN18	10460.00	10619.08	USD	-954.48	
02OCT17	S 1 PD02JAN18	10450.00	10619.08	USD	-1,014.48	
	NET 0 AVG BGHT 10375.00 SOLD 10455.00				**960.00**	**0.00**
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
04JUL17	B 1 PD04OCT17	20110.00	20956.50	USD	4,232.50	
04JUL17	B 2 PD04OCT17	20005.00	20956.50	USD	9,515.00	
04JUL17	S 1 PD04OCT17	20035.00	20956.50	USD	-4,607.50	
04JUL17	S 1 PD04OCT17	20040.00	20956.50	USD	-4,582.50	
04JUL17	B 1 PD04OCT17	20020.00	20956.50	USD	4,682.50	
04JUL17	S 2 PD04OCT17	20070.00	20956.50	USD	-8,865.00	
	NET 0 AVG BGHT 20035.00 SOLD 20053.75				**375.00**	**0.00**
05JUL17	B 1 PD05OCT17	19885.00	20954.00	USD	5,345.00	
05JUL17	B 1 PD05OCT17	19860.00	20954.00	USD	5,470.00	
05JUL17	B 1 PD05OCT17	19820.00	20954.00	USD	5,670.00	
05JUL17	S 2 PD05OCT17	19840.00	20954.00	USD	-11,140.00	
05JUL17	S 1 PD05OCT17	19810.00	20954.00	USD	-5,720.00	
05JUL17	S 1 PD05OCT17	19790.00	20954.00	USD	-5,820.00	
05JUL17	B 1 PD05OCT17	19755.00	20954.00	USD	5,995.00	
05JUL17	B 1 PD05OCT17	19570.00	20954.00	USD	6,920.00	
05JUL17	B 1 PD05OCT17	19690.00	20954.00	USD	6,320.00	
05JUL17	S 2 PD05OCT17	19600.00	20954.00	USD	-13,540.00	
05JUL17	S 1 PD05OCT17	19770.00	20954.00	USD	-5,920.00	
05JUL17	B 1 PD05OCT17	19560.00	20954.00	USD	6,970.00	
05JUL17	B 3 PD05OCT17	19565.00	20954.00	USD	20,835.00	
05JUL17	B 1 PD05OCT17	19600.00	20954.00	USD	6,770.00	
05JUL17	S 2 PD05OCT17	19550.00	20954.00	USD	-14,040.00	
05JUL17	S 1 PD05OCT17	19645.00	20954.00	USD	-6,545.00	
05JUL17	S 1 PD05OCT17	19650.00	20954.00	USD	-6,520.00	
05JUL17	S 1 PD05OCT17	19635.00	20954.00	USD	-6,595.00	
05JUL17	S 1 PD05OCT17	19675.00	20954.00	USD	-6,395.00	
05JUL17	S 1 PD05OCT17	19745.00	20954.00	USD	-6,045.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094R

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
05JUL17	S 1 PD05OCT17	19755.00	20954.00	USD	-5,995.00	
05JUL17	S 1 PD05OCT17	19775.00	20954.00	USD	-5,895.00	
20JUL17	B 5 PD05OCT17	20102.63	20954.00	USD	21,284.25	
	NET 0 AVG BGHT 19809.26 SOLD 19701.88				**-8,590.75**	**0.00**
06JUL17	B 1 PD06OCT17	19720.00	20951.50	USD	6,157.50	
06JUL17	S 1 PD06OCT17	19755.00	20951.50	USD	-5,982.50	
06JUL17	B 2 PD06OCT17	19715.00	20951.50	USD	12,365.00	
06JUL17	B 1 PD06OCT17	19690.00	20951.50	USD	6,307.50	
06JUL17	S 1 PD06OCT17	19790.00	20951.50	USD	-5,807.50	
06JUL17	B 3 PD06OCT17	19830.00	20951.50	USD	16,822.50	
06JUL17	S 1 PD06OCT17	19840.00	20951.50	USD	-5,557.50	
06JUL17	B 1 PD06OCT17	19825.00	20951.50	USD	5,632.50	
06JUL17	S 3 PD06OCT17	19845.00	20951.50	USD	-16,597.50	
06JUL17	S 2 PD06OCT17	19855.00	20951.50	USD	-10,965.00	
06JUL17	B 2 PD06OCT17	19820.00	20951.50	USD	11,315.00	
06JUL17	B 1 PD06OCT17	19770.00	20951.50	USD	5,907.50	
06JUL17	S 1 PD06OCT17	19770.00	20951.50	USD	-5,907.50	
06JUL17	S 1 PD06OCT17	19820.00	20951.50	USD	-5,657.50	
06JUL17	B 2 PD06OCT17	19895.00	20951.50	USD	10,565.00	
06JUL17	S 2 PD06OCT17	19900.00	20951.50	USD	-10,515.00	
07JUL17	B 2 PD06OCT17	19855.00	20951.50	USD	10,965.00	
07JUL17	B 1 PD06OCT17	19895.00	20951.50	USD	5,282.50	
07JUL17	S 2 PD06OCT17	19895.00	20951.50	USD	-10,565.00	
07JUL17	B 1 PD06OCT17	19860.00	20951.50	USD	5,457.50	
07JUL17	B 1 PD06OCT17	19875.00	20951.50	USD	5,382.50	
07JUL17	B 2 PD06OCT17	19870.00	20951.50	USD	10,815.00	
07JUL17	S 2 PD06OCT17	19910.00	20951.50	USD	-10,415.00	
07JUL17	B 1 PD06OCT17	19890.00	20951.50	USD	5,307.50	
20JUL17	S 5 PD06OCT17	20100.05	20951.50	USD	-21,286.25	
	NET 0 AVG BGHT 19825.00 SOLD 19910.96				**9,026.25**	**0.00**
17JUL17	B 1 PD17OCT17	20050.00	20936.50	USD	4,432.50	
17JUL17	S 1 PD17OCT17	20070.00	20936.50	USD	-4,332.50	
17JUL17	B 2 PD17OCT17	19945.00	20936.50	USD	9,915.00	
20JUL17	S 2 PD17OCT17	20091.58	20936.50	USD	-8,449.20	
	NET 0 AVG BGHT 19980.00 SOLD 20084.39				**1,565.80**	**0.00**
18JUL17	S 1 PD18OCT17	19910.00	20934.00	USD	-5,120.00	
18JUL17	S 3 PD18OCT17	19950.00	20934.00	USD	-14,760.00	
18JUL17	S 1 PD18OCT17	19965.00	20934.00	USD	-4,845.00	
18JUL17	S 1 PD18OCT17	20035.00	20934.00	USD	-4,495.00	
18JUL17	S 1 PD18OCT17	20040.00	20934.00	USD	-4,470.00	
18JUL17	B 2 PD18OCT17	19930.00	20934.00	USD	10,040.00	
18JUL17	B 1 PD18OCT17	20060.00	20934.00	USD	4,370.00	
18JUL17	S 1 PD18OCT17	20010.00	20934.00	USD	-4,620.00	
20JUL17	B 2 PD18OCT17	20091.00	20934.00	USD	8,430.00	
08AUG17	B 3 PD18OCT17	20228.00	20934.00	USD	10,590.00	
	NET 0 AVG BGHT 20098.25 SOLD 19976.25				**-4,880.00**	**0.00**
19JUL17	S 1 PD19OCT17	20110.00	20929.00	USD	-4,095.00	
19JUL17	B 1 PD19OCT17	20045.00	20929.00	USD	4,420.00	
19JUL17	S 1 PD19OCT17	20105.00	20929.00	USD	-4,120.00	
19JUL17	B 1 PD19OCT17	19990.00	20929.00	USD	4,695.00	
19JUL17	B 1 PD19OCT17	20035.00	20929.00	USD	4,470.00	
19JUL17	S 1 PD19OCT17	20055.00	20929.00	USD	-4,370.00	
19JUL17	B 4 PD19OCT17	20055.00	20929.00	USD	17,480.00	
19JUL17	S 1 PD19OCT17	20045.00	20929.00	USD	-4,420.00	
19JUL17	B 1 PD19OCT17	20085.00	20929.00	USD	4,220.00	
19JUL17	S 2 PD19OCT17	20090.00	20929.00	USD	-8,390.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094R

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
19JUL17	S 1 PD19OCT17	20145.00	20929.00	USD	-3,920.00	
19JUL17	S 1 PD19OCT17	20095.00	20929.00	USD	-4,170.00	
	NET 0 AVG BGHT 20046.88 SOLD 20091.88				**1,800.00**	**0.00**
20JUL17	B 2 PD20OCT17	20105.00	20924.00	USD	8,190.00	
20JUL17	B 1 PD20OCT17	20100.00	20924.00	USD	4,120.00	
20JUL17	B 1 PD20OCT17	20085.00	20924.00	USD	4,195.00	
20JUL17	B 1 PD20OCT17	20075.00	20924.00	USD	4,245.00	
20JUL17	S 1 PD20OCT17	20105.00	20924.00	USD	-4,095.00	
20JUL17	B 1 PD20OCT17	20080.00	20924.00	USD	4,220.00	
20JUL17	S 1 PD20OCT17	20095.00	20924.00	USD	-4,145.00	
21JUL17	S 1 PD20OCT17	20120.00	20924.00	USD	-4,020.00	
21JUL17	B 2 PD20OCT17	20100.00	20924.00	USD	8,240.00	
21JUL17	S 1 PD20OCT17	20125.00	20924.00	USD	-3,995.00	
21JUL17	B 2 PD20OCT17	20150.00	20924.00	USD	7,740.00	
21JUL17	S 1 PD20OCT17	20180.00	20924.00	USD	-3,720.00	
21JUL17	B 2 PD20OCT17	20130.00	20924.00	USD	7,940.00	
21JUL17	S 1 PD20OCT17	20160.00	20924.00	USD	-3,820.00	
21JUL17	B 1 PD20OCT17	20135.00	20924.00	USD	3,945.00	
21JUL17	S 1 PD20OCT17	20155.00	20924.00	USD	-3,845.00	
21JUL17	S 1 PD20OCT17	20140.00	20924.00	USD	-3,920.00	
21JUL17	S 1 PD20OCT17	20170.00	20924.00	USD	-3,770.00	
21JUL17	B 1 PD20OCT17	20200.00	20924.00	USD	3,620.00	
21JUL17	S 1 PD20OCT17	20235.00	20924.00	USD	-3,445.00	
21JUL17	S 1 PD20OCT17	20245.00	20924.00	USD	-3,395.00	
08AUG17	S 3 PD20OCT17	20224.60	20924.00	USD	-10,491.00	
	NET 0 AVG BGHT 20117.50 SOLD 20171.70				**3,794.00**	**0.00**
24JUL17	S 1 PD24OCT17	20225.00	20914.00	USD	-3,445.00	
24JUL17	S 1 PD24OCT17	20250.00	20914.00	USD	-3,320.00	
24JUL17	B 2 PD24OCT17	20230.00	20914.00	USD	6,840.00	
24JUL17	B 2 PD24OCT17	20225.00	20914.00	USD	6,890.00	
24JUL17	B 3 PD24OCT17	20160.00	20914.00	USD	11,310.00	
24JUL17	S 1 PD24OCT17	20160.00	20914.00	USD	-3,770.00	
24JUL17	S 1 PD24OCT17	20170.00	20914.00	USD	-3,720.00	
24JUL17	S 1 PD24OCT17	20195.00	20914.00	USD	-3,595.00	
24JUL17	S 3 PD24OCT17	20230.00	20914.00	USD	-10,260.00	
08AUG17	B 1 PD24OCT17	20223.20	20914.00	USD	3,454.00	
	NET 0 AVG BGHT 20201.65 SOLD 20211.25				**384.00**	**0.00**
25JUL17	B 1 PD25OCT17	20250.00	20909.00	USD	3,295.00	
25JUL17	B 1 PD25OCT17	20255.00	20909.00	USD	3,270.00	
25JUL17	B 1 PD25OCT17	20160.00	20909.00	USD	3,745.00	
25JUL17	S 1 PD25OCT17	20295.00	20909.00	USD	-3,070.00	
25JUL17	S 1 PD25OCT17	20340.00	20909.00	USD	-2,845.00	
25JUL17	S 2 PD25OCT17	20350.00	20909.00	USD	-5,590.00	
08AUG17	B 1 PD25OCT17	20222.00	20909.00	USD	3,435.00	
	NET 0 AVG BGHT 20221.75 SOLD 20333.75				**2,240.00**	**0.00**
26JUL17	B 1 PD26OCT17	20295.00	20904.00	USD	3,045.00	
26JUL17	B 1 PD26OCT17	20310.00	20904.00	USD	2,970.00	
26JUL17	S 3 PD26OCT17	20275.00	20904.00	USD	-9,435.00	
26JUL17	B 2 PD26OCT17	20375.00	20904.00	USD	5,290.00	
26JUL17	S 1 PD26OCT17	20375.00	20904.00	USD	-2,645.00	
26JUL17	B 5 PD26OCT17	20390.00	20904.00	USD	12,850.00	
26JUL17	S 3 PD26OCT17	20370.00	20904.00	USD	-8,010.00	
26JUL17	S 3 PD26OCT17	20385.00	20904.00	USD	-7,785.00	
08AUG17	B 1 PD26OCT17	20220.80	20904.00	USD	3,416.00	
	NET 0 AVG BGHT 20352.58 SOLD 20346.50				**-304.00**	**0.00**
27JUL17	B 1 PD27OCT17	20605.00	20899.00	USD	1,470.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094R

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
27JUL17	S 1 PD27OCT17	20585.00	20899.00	USD	-1,570.00	
27JUL17	S 1 PD27OCT17	20595.00	20899.00	USD	-1,520.00	
27JUL17	B 1 PD27OCT17	20625.00	20899.00	USD	1,370.00	
27JUL17	S 1 PD27OCT17	20695.00	20899.00	USD	-1,020.00	
27JUL17	B 1 PD27OCT17	20645.00	20899.00	USD	1,270.00	
27JUL17	B 1 PD27OCT17	20720.00	20899.00	USD	895.00	
27JUL17	S 1 PD27OCT17	20745.00	20899.00	USD	-770.00	
27JUL17	S 3 PD27OCT17	20740.00	20899.00	USD	-2,385.00	
27JUL17	B 1 PD27OCT17	20675.00	20899.00	USD	1,120.00	
27JUL17	S 3 PD27OCT17	20755.00	20899.00	USD	-2,160.00	
27JUL17	B 3 PD27OCT17	20655.00	20899.00	USD	3,660.00	
27JUL17	B 3 PD27OCT17	20680.00	20899.00	USD	3,285.00	
27JUL17	S 1 PD27OCT17	20655.00	20899.00	USD	-1,220.00	
27JUL17	B 1 PD27OCT17	20670.00	20899.00	USD	1,145.00	
27JUL17	B 1 PD27OCT17	20575.00	20899.00	USD	1,620.00	
27JUL17	B 2 PD27OCT17	20615.00	20899.00	USD	2,840.00	
27JUL17	S 2 PD27OCT17	20680.00	20899.00	USD	-2,190.00	
27JUL17	S 1 PD27OCT17	20700.00	20899.00	USD	-995.00	
28JUL17	B 1 PD27OCT17	20630.00	20899.00	USD	1,345.00	
28JUL17	B 1 PD27OCT17	20685.00	20899.00	USD	1,070.00	
28JUL17	S 2 PD27OCT17	20725.00	20899.00	USD	-1,740.00	
28JUL17	S 1 PD27OCT17	20755.00	20899.00	USD	-720.00	
28JUL17	B 2 PD27OCT17	20855.00	20899.00	USD	440.00	
28JUL17	S 1 PD27OCT17	20900.00	20899.00	USD	5.00	
28JUL17	S 1 PD27OCT17	20855.00	20899.00	USD	-220.00	
28JUL17	B 1 PD27OCT17	20805.00	20899.00	USD	470.00	
28JUL17	B 1 PD27OCT17	20770.00	20899.00	USD	645.00	
28JUL17	B 1 PD27OCT17	20745.00	20899.00	USD	770.00	
28JUL17	B 1 PD27OCT17	20625.00	20899.00	USD	1,370.00	
28JUL17	B 1 PD27OCT17	20675.00	20899.00	USD	1,120.00	
28JUL17	B 1 PD27OCT17	20710.00	20899.00	USD	945.00	
28JUL17	B 1 PD27OCT17	20590.00	20899.00	USD	1,545.00	
28JUL17	B 1 PD27OCT17	20605.00	20899.00	USD	1,470.00	
28JUL17	S 3 PD27OCT17	20650.00	20899.00	USD	-3,735.00	
28JUL17	S 1 PD27OCT17	20675.00	20899.00	USD	-1,120.00	
28JUL17	S 1 PD27OCT17	20680.00	20899.00	USD	-1,095.00	
28JUL17	S 1 PD27OCT17	20695.00	20899.00	USD	-1,020.00	
28JUL17	B 1 PD27OCT17	20650.00	20899.00	USD	1,245.00	
28JUL17	S 2 PD27OCT17	20690.00	20899.00	USD	-2,090.00	
28JUL17	B 1 PD27OCT17	20665.00	20899.00	USD	1,170.00	
28JUL17	S 1 PD27OCT17	20660.00	20899.00	USD	-1,195.00	
08AUG17	S 1 PD27OCT17	20218.60	20899.00	USD	-3,402.00	
	NET 0 AVG BGHT 20676.38 SOLD 20690.99				**2,118.00**	**0.00**
31JUL17	B 1 PD31OCT17	20800.00	20889.00	USD	445.00	
31JUL17	B 2 PD31OCT17	20710.00	20889.00	USD	1,790.00	
31JUL17	B 1 PD31OCT17	20755.00	20889.00	USD	670.00	
31JUL17	B 1 PD31OCT17	20625.00	20889.00	USD	1,320.00	
31JUL17	S 1 PD31OCT17	20645.00	20889.00	USD	-1,220.00	
31JUL17	S 1 PD31OCT17	20690.00	20889.00	USD	-995.00	
31JUL17	S 1 PD31OCT17	20710.00	20889.00	USD	-895.00	
31JUL17	B 2 PD31OCT17	20655.00	20889.00	USD	2,340.00	
31JUL17	S 1 PD31OCT17	20720.00	20889.00	USD	-845.00	
08AUG17	S 2 PD31OCT17	20216.20	20889.00	USD	-6,728.00	
08AUG17	S 1 PD31OCT17	20217.20	20889.00	USD	-3,359.00	
	NET 0 AVG BGHT 20701.43 SOLD 20487.80				**-7,477.00**	**0.00**
01AUG17	B 1 PD01NOV17	20620.00	20884.00	USD	1,320.00	
01AUG17	S 2 PD01NOV17	20620.00	20884.00	USD	-2,640.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094R

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
01AUG17	B	1 PD01NOV17		20515.00	20884.00	USD	1,845.00	
01AUG17	B	1 PD01NOV17		20615.00	20884.00	USD	1,345.00	
01AUG17	S	1 PD01NOV17		20550.00	20884.00	USD	-1,670.00	
01AUG17	B	2 PD01NOV17		20465.00	20884.00	USD	4,190.00	
01AUG17	B	1 PD01NOV17		20405.00	20884.00	USD	2,395.00	
01AUG17	S	1 PD01NOV17		20450.00	20884.00	USD	-2,170.00	
01AUG17	S	1 PD01NOV17		20485.00	20884.00	USD	-1,995.00	
01AUG17	S	1 PD01NOV17		20510.00	20884.00	USD	-1,870.00	
01AUG17	S	1 PD01NOV17		20525.00	20884.00	USD	-1,795.00	
01AUG17	B	1 PD01NOV17		20495.00	20884.00	USD	1,945.00	
	NET	**0**	**AVG BGHT 20511.43**	**SOLD 20537.14**			**900.00**	**0.00**
02AUG17	B	1 PD02NOV17		20455.00	20882.60	USD	2,138.00	
02AUG17	S	1 PD02NOV17		20495.00	20882.60	USD	-1,938.00	
	NET	**0**	**AVG BGHT 20455.00**	**SOLD 20495.00**			**200.00**	**0.00**
03AUG17	B	1 PD03NOV17		20545.00	20881.20	USD	1,681.00	
03AUG17	S	1 PD03NOV17		20595.00	20881.20	USD	-1,431.00	
03AUG17	S	1 PD03NOV17		20630.00	20881.20	USD	-1,256.00	
03AUG17	S	2 PD03NOV17		20600.00	20881.20	USD	-2,812.00	
03AUG17	S	1 PD03NOV17		20645.00	20881.20	USD	-1,181.00	
03AUG17	S	1 PD03NOV17		20670.00	20881.20	USD	-1,056.00	
04AUG17	B	1 PD03NOV17		20670.00	20881.20	USD	1,056.00	
04AUG17	S	1 PD03NOV17		20735.00	20881.20	USD	-731.00	
04AUG17	B	1 PD03NOV17		20645.00	20881.20	USD	1,181.00	
04AUG17	S	1 PD03NOV17		20645.00	20881.20	USD	-1,181.00	
04AUG17	S	1 PD03NOV17		20795.00	20881.20	USD	-431.00	
04AUG17	B	1 PD03NOV17		20665.00	20881.20	USD	1,081.00	
04AUG17	B	1 PD03NOV17		20700.00	20881.20	USD	906.00	
04AUG17	S	1 PD03NOV17		20685.00	20881.20	USD	-981.00	
04AUG17	S	1 PD03NOV17		20635.00	20881.20	USD	-1,231.00	
04AUG17	B	1 PD03NOV17		20610.00	20881.20	USD	1,356.00	
04AUG17	B	2 PD03NOV17		20730.00	20881.20	USD	1,512.00	
04AUG17	B	1 PD03NOV17		20680.00	20881.20	USD	1,006.00	
04AUG17	B	1 PD03NOV17		20685.00	20881.20	USD	981.00	
04AUG17	S	1 PD03NOV17		20665.00	20881.20	USD	-1,081.00	
04AUG17	S	1 PD03NOV17		20730.00	20881.20	USD	-756.00	
04AUG17	B	1 PD03NOV17		20585.00	20881.20	USD	1,481.00	
04AUG17	B	1 PD03NOV17		20550.00	20881.20	USD	1,656.00	
04AUG17	B	1 PD03NOV17		20525.00	20881.20	USD	1,781.00	
04AUG17	B	1 PD03NOV17		20540.00	20881.20	USD	1,706.00	
04AUG17	S	1 PD03NOV17		20560.00	20881.20	USD	-1,606.00	
04AUG17	S	1 PD03NOV17		20570.00	20881.20	USD	-1,556.00	
08AUG17	B	1 PD03NOV17		20214.60	20881.20	USD	3,333.00	
	NET	**0**	**AVG BGHT 20604.97**	**SOLD 20650.67**			**3,427.00**	**0.00**
07AUG17	B	1 PD07NOV17		20560.00	20878.40	USD	1,592.00	
07AUG17	S	1 PD07NOV17		20575.00	20878.40	USD	-1,517.00	
07AUG17	B	1 PD07NOV17		20590.00	20878.40	USD	1,442.00	
07AUG17	S	1 PD07NOV17		20585.00	20878.40	USD	-1,467.00	
07AUG17	B	1 PD07NOV17		20565.00	20878.40	USD	1,567.00	
07AUG17	S	1 PD07NOV17		20595.00	20878.40	USD	-1,417.00	
07AUG17	S	1 PD07NOV17		20610.00	20878.40	USD	-1,342.00	
07AUG17	S	1 PD07NOV17		20685.00	20878.40	USD	-967.00	
07AUG17	S	1 PD07NOV17		20600.00	20878.40	USD	-1,392.00	
07AUG17	S	1 PD07NOV17		20690.00	20878.40	USD	-942.00	
07AUG17	B	1 PD07NOV17		20625.00	20878.40	USD	1,267.00	
07AUG17	B	3 PD07NOV17		20605.00	20878.40	USD	4,101.00	
	NET	**0**	**AVG BGHT 20593.57**	**SOLD 20620.00**			**925.00**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094R

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
08AUG17	B	2	PD08NOV17	20440.00	20877.00	USD	4,370.00	
08AUG17	S	1	PD08NOV17	20530.00	20877.00	USD	-1,735.00	
08AUG17	B	1	PD08NOV17	20510.00	20877.00	USD	1,835.00	
08AUG17	B	1	PD08NOV17	20475.00	20877.00	USD	2,010.00	
08AUG17	S	1	PD08NOV17	20320.00	20877.00	USD	-2,785.00	
08AUG17	B	1	PD08NOV17	20235.00	20877.00	USD	3,210.00	
08AUG17	S	5	PD08NOV17	20255.00	20877.00	USD	-15,550.00	
08AUG17	B	1	PD08NOV17	20215.00	20877.00	USD	3,310.00	
08AUG17	B	2	PD08NOV17	20210.00	20877.00	USD	6,670.00	
08AUG17	S	1	PD08NOV17	20195.00	20877.00	USD	-3,410.00	
08AUG17	B	1	PD08NOV17	20220.00	20877.00	USD	3,285.00	
08AUG17	B	2	PD08NOV17	20160.00	20877.00	USD	7,170.00	
08AUG17	B	1	PD08NOV17	20325.00	20877.00	USD	2,760.00	
08AUG17	B	1	PD08NOV17	20430.00	20877.00	USD	2,235.00	
08AUG17	B	1	PD08NOV17	20465.00	20877.00	USD	2,060.00	
08AUG17	S	2	PD08NOV17	20265.00	20877.00	USD	-6,120.00	
08AUG17	B	1	PD08NOV17	20270.00	20877.00	USD	3,035.00	
08AUG17	S	1	PD08NOV17	20330.00	20877.00	USD	-2,735.00	
08AUG17	S	1	PD08NOV17	20345.00	20877.00	USD	-2,660.00	
	NET	**+3**	**AVG BGHT 20317.67**	**SOLD 20293.75**			**6,955.00**	**0.00**
09AUG17	B	1	PD09NOV17	20410.00	20875.60	USD	2,328.00	
09AUG17	B	1	PD09NOV17	20415.00	20875.60	USD	2,303.00	
09AUG17	S	2	PD09NOV17	20410.00	20875.60	USD	-4,656.00	
09AUG17	B	2	PD09NOV17	20420.00	20875.60	USD	4,556.00	
09AUG17	S	2	PD09NOV17	20390.00	20875.60	USD	-4,856.00	
09AUG17	B	1	PD09NOV17	20370.00	20875.60	USD	2,528.00	
09AUG17	B	1	PD09NOV17	20315.00	20875.60	USD	2,803.00	
09AUG17	S	1	PD09NOV17	20265.00	20875.60	USD	-3,053.00	
09AUG17	B	1	PD09NOV17	20235.00	20875.60	USD	3,203.00	
09AUG17	B	1	PD09NOV17	20365.00	20875.60	USD	2,553.00	
	NET	**+3**	**AVG BGHT 20368.75**	**SOLD 20373.00**			**7,709.00**	**0.00**
10AUG17	B	1	PD10NOV17	20400.00	20874.20	USD	2,371.00	
10AUG17	S	1	PD10NOV17	20390.00	20874.20	USD	-2,421.00	
10AUG17	S	1	PD10NOV17	20395.00	20874.20	USD	-2,396.00	
10AUG17	B	1	PD10NOV17	20355.00	20874.20	USD	2,596.00	
10AUG17	S	1	PD10NOV17	20365.00	20874.20	USD	-2,546.00	
10AUG17	S	1	PD10NOV17	20260.00	20874.20	USD	-3,071.00	
10AUG17	S	1	PD10NOV17	20375.00	20874.20	USD	-2,496.00	
10AUG17	S	1	PD10NOV17	20305.00	20874.20	USD	-2,846.00	
10AUG17	S	1	PD10NOV17	20290.00	20874.20	USD	-2,921.00	
10AUG17	B	1	PD10NOV17	20240.00	20874.20	USD	3,171.00	
10AUG17	S	1	PD10NOV17	20240.00	20874.20	USD	-3,171.00	
10AUG17	S	1	PD10NOV17	20445.00	20874.20	USD	-2,146.00	
10AUG17	B	1	PD10NOV17	20360.00	20874.20	USD	2,571.00	
10AUG17	B	1	PD10NOV17	20300.00	20874.20	USD	2,871.00	
10AUG17	B	1	PD10NOV17	20305.00	20874.20	USD	2,846.00	
11AUG17	S	1	PD10NOV17	20295.00	20874.20	USD	-2,896.00	
11AUG17	S	1	PD10NOV17	20370.00	20874.20	USD	-2,521.00	
11AUG17	B	2	PD10NOV17	20305.00	20874.20	USD	5,692.00	
11AUG17	B	2	PD10NOV17	20290.00	20874.20	USD	5,842.00	
11AUG17	S	1	PD10NOV17	20330.00	20874.20	USD	-2,721.00	
11AUG17	B	1	PD10NOV17	20320.00	20874.20	USD	2,771.00	
	NET	**-1**	**AVG BGHT 20315.45**	**SOLD 20338.33**			**-1,421.00**	**0.00**
14AUG17	S	1	PD14NOV17	20295.00	20871.40	USD	-2,882.00	
14AUG17	S	1	PD14NOV17	20310.00	20871.40	USD	-2,807.00	
14AUG17	B	1	PD14NOV17	20310.00	20871.40	USD	2,807.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094R

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
14AUG17	B 1 PD14NOV17	20320.00	20871.40	USD	2,757.00	
14AUG17	B 2 PD14NOV17	20270.00	20871.40	USD	6,014.00	
14AUG17	B 1 PD14NOV17	20275.00	20871.40	USD	2,982.00	
14AUG17	S 1 PD14NOV17	20300.00	20871.40	USD	-2,857.00	
14AUG17	S 2 PD14NOV17	20320.00	20871.40	USD	-5,514.00	
14AUG17	B 1 PD14NOV17	20315.00	20871.40	USD	2,782.00	
14AUG17	S 1 PD14NOV17	20315.00	20871.40	USD	-2,782.00	
14AUG17	S 1 PD14NOV17	20330.00	20871.40	USD	-2,707.00	
14AUG17	S 1 PD14NOV17	20325.00	20871.40	USD	-2,732.00	
	NET -2 AVG BGHT 20293.33 SOLD 20314.38				**-4,939.00**	**0.00**
15AUG17	S 1 PD15NOV17	20355.00	20870.00	USD	-2,575.00	
15AUG17	B 1 PD15NOV17	20270.00	20870.00	USD	3,000.00	
15AUG17	B 1 PD15NOV17	20250.00	20870.00	USD	3,100.00	
15AUG17	B 2 PD15NOV17	19710.00	20870.00	USD	11,600.00	
15AUG17	B 1 PD15NOV17	20190.00	20870.00	USD	3,400.00	
15AUG17	S 1 PD15NOV17	19980.00	20870.00	USD	-4,450.00	
15AUG17	B 1 PD15NOV17	19810.00	20870.00	USD	5,300.00	
15AUG17	S 1 PD15NOV17	19895.00	20870.00	USD	-4,875.00	
15AUG17	S 1 PD15NOV17	19985.00	20870.00	USD	-4,425.00	
	NET +2 AVG BGHT 19990.00 SOLD 20053.75				**10,075.00**	**0.00**
16AUG17	S 1 PD16NOV17	19970.00	20868.75	USD	-4,493.75	
16AUG17	S 1 PD16NOV17	20010.00	20868.75	USD	-4,293.75	
16AUG17	S 2 PD16NOV17	20095.00	20868.75	USD	-7,737.50	
16AUG17	B 1 PD16NOV17	20015.00	20868.75	USD	4,268.75	
16AUG17	S 1 PD16NOV17	20015.00	20868.75	USD	-4,268.75	
16AUG17	S 2 PD16NOV17	20045.00	20868.75	USD	-8,237.50	
16AUG17	S 1 PD16NOV17	20065.00	20868.75	USD	-4,018.75	
16AUG17	B 3 PD16NOV17	19970.00	20868.75	USD	13,481.25	
16AUG17	B 2 PD16NOV17	20060.00	20868.75	USD	8,087.50	
16AUG17	S 2 PD16NOV17	20040.00	20868.75	USD	-8,287.50	
16AUG17	B 1 PD16NOV17	20045.00	20868.75	USD	4,118.75	
	NET -3 AVG BGHT 20012.86 SOLD 20042.00				**-11,381.25**	**0.00**
17AUG17	S 1 PD17NOV17	20295.00	20867.50	USD	-2,862.50	
17AUG17	B 1 PD17NOV17	20140.00	20867.50	USD	3,637.50	
17AUG17	B 1 PD17NOV17	20020.00	20867.50	USD	4,237.50	
17AUG17	S 1 PD17NOV17	20180.00	20867.50	USD	-3,437.50	
17AUG17	B 1 PD17NOV17	20150.00	20867.50	USD	3,587.50	
17AUG17	S 1 PD17NOV17	20110.00	20867.50	USD	-3,787.50	
18AUG17	S 1 PD17NOV17	20295.00	20867.50	USD	-2,862.50	
18AUG17	S 1 PD17NOV17	20280.00	20867.50	USD	-2,937.50	
18AUG17	B 4 PD17NOV17	20300.00	20867.50	USD	11,350.00	
18AUG17	S 1 PD17NOV17	20335.00	20867.50	USD	-2,662.50	
18AUG17	B 1 PD17NOV17	20260.00	20867.50	USD	3,037.50	
18AUG17	S 2 PD17NOV17	20305.00	20867.50	USD	-5,625.00	
18AUG17	B 1 PD17NOV17	20225.00	20867.50	USD	3,212.50	
18AUG17	B 2 PD17NOV17	20160.00	20867.50	USD	7,075.00	
18AUG17	B 1 PD17NOV17	20175.00	20867.50	USD	3,462.50	
18AUG17	S 1 PD17NOV17	20155.00	20867.50	USD	-3,562.50	
18AUG17	B 1 PD17NOV17	20200.00	20867.50	USD	3,337.50	
18AUG17	S 1 PD17NOV17	20230.00	20867.50	USD	-3,187.50	
18AUG17	S 1 PD17NOV17	20240.00	20867.50	USD	-3,137.50	
18AUG17	S 1 PD17NOV17	20255.00	20867.50	USD	-3,062.50	
18AUG17	S 2 PD17NOV17	20270.00	20867.50	USD	-5,975.00	
18AUG17	B 2 PD17NOV17	20250.00	20867.50	USD	6,175.00	
18AUG17	S 1 PD17NOV17	20250.00	20867.50	USD	-3,087.50	
	NET 0 AVG BGHT 20212.67 SOLD 20251.67				**2,925.00**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094R

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
21AUG17	S 1 PD21NOV17	20375.00	20865.00	USD	-2,450.00	
21AUG17	B 1 PD21NOV17	20315.00	20865.00	USD	2,750.00	
21AUG17	S 1 PD21NOV17	20315.00	20865.00	USD	-2,750.00	
21AUG17	S 1 PD21NOV17	20410.00	20865.00	USD	-2,275.00	
21AUG17	B 1 PD21NOV17	20395.00	20865.00	USD	2,350.00	
21AUG17	S 1 PD21NOV17	20380.00	20865.00	USD	-2,425.00	
21AUG17	S 1 PD21NOV17	20435.00	20865.00	USD	-2,150.00	
21AUG17	B 2 PD21NOV17	20480.00	20865.00	USD	3,850.00	
21AUG17	S 1 PD21NOV17	20460.00	20865.00	USD	-2,025.00	
21AUG17	S 1 PD21NOV17	20480.00	20865.00	USD	-1,925.00	
21AUG17	B 1 PD21NOV17	20465.00	20865.00	USD	2,000.00	
	NET -2 AVG BGHT 20427.00 SOLD 20407.86				**-5,050.00**	**0.00**
22AUG17	B 1 PD22NOV17	20440.00	20863.75	USD	2,118.75	
22AUG17	B 1 PD22NOV17	20475.00	20863.75	USD	1,943.75	
22AUG17	B 2 PD22NOV17	20500.00	20863.75	USD	3,637.50	
22AUG17	S 1 PD22NOV17	20420.00	20863.75	USD	-2,218.75	
22AUG17	S 1 PD22NOV17	20450.00	20863.75	USD	-2,068.75	
22AUG17	B 3 PD22NOV17	20420.00	20863.75	USD	6,656.25	
22AUG17	S 1 PD22NOV17	20425.00	20863.75	USD	-2,193.75	
22AUG17	B 1 PD22NOV17	20330.00	20863.75	USD	2,668.75	
22AUG17	S 2 PD22NOV17	20275.00	20863.75	USD	-5,887.50	
22AUG17	S 1 PD22NOV17	20495.00	20863.75	USD	-1,843.75	
22AUG17	S 1 PD22NOV17	20340.00	20863.75	USD	-2,618.75	
22AUG17	S 1 PD22NOV17	20330.00	20863.75	USD	-2,668.75	
22AUG17	B 2 PD22NOV17	20280.00	20863.75	USD	5,837.50	
	NET +2 AVG BGHT 20406.50 SOLD 20376.25				**3,362.50**	**0.00**
23AUG17	S 1 PD24NOV17	20400.00	20862.50	USD	-2,312.50	
23AUG17	B 1 PD24NOV17	20305.00	20862.50	USD	2,787.50	
23AUG17	B 1 PD24NOV17	20330.00	20862.50	USD	2,662.50	
23AUG17	S 1 PD24NOV17	20370.00	20862.50	USD	-2,462.50	
23AUG17	B 1 PD24NOV17	20325.00	20862.50	USD	2,687.50	
23AUG17	S 1 PD24NOV17	20410.00	20862.50	USD	-2,262.50	
23AUG17	B 2 PD24NOV17	20335.00	20862.50	USD	5,275.00	
23AUG17	B 2 PD24NOV17	20400.00	20862.50	USD	4,625.00	
23AUG17	B 1 PD24NOV17	20320.00	20862.50	USD	2,712.50	
23AUG17	S 2 PD24NOV17	20320.00	20862.50	USD	-5,425.00	
23AUG17	S 1 PD24NOV17	20335.00	20862.50	USD	-2,637.50	
23AUG17	S 3 PD24NOV17	20390.00	20862.50	USD	-7,087.50	
23AUG17	S 1 PD24NOV17	20380.00	20862.50	USD	-2,412.50	
23AUG17	B 1 PD24NOV17	20365.00	20862.50	USD	2,487.50	
23AUG17	S 1 PD24NOV17	20455.00	20862.50	USD	-2,037.50	
23AUG17	S 1 PD24NOV17	20500.00	20862.50	USD	-1,812.50	
23AUG17	B 1 PD24NOV17	20515.00	20862.50	USD	1,737.50	
23AUG17	B 1 PD24NOV17	20460.00	20862.50	USD	2,012.50	
24AUG17	B 3 PD24NOV17	20550.00	20862.50	USD	4,687.50	
24AUG17	S 2 PD24NOV17	20525.00	20862.50	USD	-3,375.00	
24AUG17	S 1 PD24NOV17	20510.00	20862.50	USD	-1,762.50	
24AUG17	B 1 PD24NOV17	20510.00	20862.50	USD	1,762.50	
24AUG17	B 1 PD24NOV17	20460.00	20862.50	USD	2,012.50	
24AUG17	B 1 PD24NOV17	20420.00	20862.50	USD	2,212.50	
24AUG17	S 1 PD24NOV17	20485.00	20862.50	USD	-1,887.50	
24AUG17	S 1 PD24NOV17	20495.00	20862.50	USD	-1,837.50	
24AUG17	B 1 PD24NOV17	20455.00	20862.50	USD	2,037.50	
24AUG17	B 1 PD24NOV17	20465.00	20862.50	USD	1,987.50	
24AUG17	B 1 PD24NOV17	20475.00	20862.50	USD	1,937.50	
24AUG17	S 2 PD24NOV17	20465.00	20862.50	USD	-3,975.00	
24AUG17	S 1 PD24NOV17	20490.00	20862.50	USD	-1,862.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094R

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
24AUG17	S	2	PD24NOV17	20470.00	20862.50	USD	-3,925.00	
24AUG17	B	1	PD24NOV17	20430.00	20862.50	USD	2,162.50	
25AUG17	S	2	PD24NOV17	20375.00	20862.50	USD	-4,875.00	
25AUG17	B	1	PD24NOV17	20385.00	20862.50	USD	2,387.50	
25AUG17	B	1	PD24NOV17	20405.00	20862.50	USD	2,287.50	
25AUG17	B	2	PD24NOV17	20350.00	20862.50	USD	5,125.00	
25AUG17	S	1	PD24NOV17	20345.00	20862.50	USD	-2,587.50	
25AUG17	S	1	PD24NOV17	20360.00	20862.50	USD	-2,512.50	
	NET	**-1**	**AVG BGHT 20417.80 SOLD 20423.65**				**-1,462.50**	**0.00**
29AUG17	S	1	PD29NOV17	20680.00	20858.75	USD	-893.75	
29AUG17	S	1	PD29NOV17	20640.00	20858.75	USD	-1,093.75	
29AUG17	B	1	PD29NOV17	20545.00	20858.75	USD	1,568.75	
29AUG17	B	1	PD29NOV17	20555.00	20858.75	USD	1,518.75	
29AUG17	S	1	PD29NOV17	20595.00	20858.75	USD	-1,318.75	
29AUG17	S	1	PD29NOV17	20570.00	20858.75	USD	-1,443.75	
29AUG17	B	1	PD29NOV17	20430.00	20858.75	USD	2,143.75	
29AUG17	B	1	PD29NOV17	20480.00	20858.75	USD	1,893.75	
29AUG17	B	1	PD29NOV17	20540.00	20858.75	USD	1,593.75	
29AUG17	S	1	PD29NOV17	20490.00	20858.75	USD	-1,843.75	
29AUG17	B	1	PD29NOV17	20615.00	20858.75	USD	1,218.75	
29AUG17	B	2	PD29NOV17	20500.00	20858.75	USD	3,587.50	
29AUG17	S	1	PD29NOV17	20450.00	20858.75	USD	-2,043.75	
29AUG17	S	1	PD29NOV17	20470.00	20858.75	USD	-1,943.75	
29AUG17	S	1	PD29NOV17	20495.00	20858.75	USD	-1,818.75	
29AUG17	B	1	PD29NOV17	20420.00	20858.75	USD	2,193.75	
29AUG17	B	1	PD29NOV17	20365.00	20858.75	USD	2,468.75	
29AUG17	S	2	PD29NOV17	20435.00	20858.75	USD	-4,237.50	
	NET	**0**	**AVG BGHT 20495.00 SOLD 20526.00**				**1,550.00**	**0.00**
30AUG17	B	2	PD30NOV17	20350.00	20857.50	USD	5,075.00	
30AUG17	S	1	PD30NOV17	20355.00	20857.50	USD	-2,512.50	
30AUG17	S	2	PD30NOV17	20350.00	20857.50	USD	-5,075.00	
30AUG17	S	1	PD30NOV17	20445.00	20857.50	USD	-2,062.50	
30AUG17	B	1	PD30NOV17	20380.00	20857.50	USD	2,387.50	
30AUG17	B	1	PD30NOV17	20395.00	20857.50	USD	2,312.50	
30AUG17	S	1	PD30NOV17	20440.00	20857.50	USD	-2,087.50	
30AUG17	S	1	PD30NOV17	20490.00	20857.50	USD	-1,837.50	
30AUG17	S	2	PD30NOV17	20500.00	20857.50	USD	-3,575.00	
30AUG17	S	1	PD30NOV17	20655.00	20857.50	USD	-1,012.50	
31AUG17	B	1	PD30NOV17	20600.00	20857.50	USD	1,287.50	
31AUG17	B	1	PD30NOV17	20575.00	20857.50	USD	1,412.50	
31AUG17	S	1	PD30NOV17	20575.00	20857.50	USD	-1,412.50	
31AUG17	S	1	PD30NOV17	20620.00	20857.50	USD	-1,187.50	
31AUG17	B	1	PD30NOV17	20570.00	20857.50	USD	1,437.50	
31AUG17	S	1	PD30NOV17	20645.00	20857.50	USD	-1,062.50	
31AUG17	B	5	PD30NOV17	20650.00	20857.50	USD	5,187.50	
31AUG17	B	1	PD30NOV17	20690.00	20857.50	USD	837.50	
31AUG17	B	1	PD30NOV17	20695.00	20857.50	USD	812.50	
31AUG17	S	1	PD30NOV17	20695.00	20857.50	USD	-812.50	
31AUG17	S	1	PD30NOV17	20680.00	20857.50	USD	-887.50	
	NET	**0**	**AVG BGHT 20561.07 SOLD 20521.43**				**-2,775.00**	**0.00**
01SEP17	B	2	PD01DEC17	20745.00	20856.25	USD	1,112.50	
01SEP17	S	1	PD01DEC17	20725.00	20856.25	USD	-656.25	
01SEP17	S	1	PD01DEC17	20740.00	20856.25	USD	-581.25	
01SEP17	S	1	PD01DEC17	20685.00	20856.25	USD	-856.25	
01SEP17	S	1	PD01DEC17	20705.00	20856.25	USD	-756.25	
01SEP17	B	1	PD01DEC17	20690.00	20856.25	USD	831.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094R

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
01SEP17	B 1 PD01DEC17	20665.00	20856.25	USD	956.25	
01SEP17	B 1 PD01DEC17	20640.00	20856.25	USD	1,081.25	
01SEP17	S 1 PD01DEC17	20640.00	20856.25	USD	-1,081.25	
01SEP17	S 1 PD01DEC17	20675.00	20856.25	USD	-906.25	
01SEP17	S 1 PD01DEC17	20700.00	20856.25	USD	-781.25	
	NET -2 AVG BGHT 20697.00 SOLD 20695.71				**-1,637.50**	**0.00**
04SEP17	B 2 PD04DEC17	20715.00	20855.00	USD	1,400.00	
	NET +2 AVG BGHT 20715.00				**1,400.00**	**0.00**
05SEP17	S 1 PD05DEC17	20740.00	20853.75	USD	-568.75	
05SEP17	S 1 PD05DEC17	20750.00	20853.75	USD	-518.75	
05SEP17	B 1 PD05DEC17	20700.00	20853.75	USD	768.75	
05SEP17	S 2 PD05DEC17	20710.00	20853.75	USD	-1,437.50	
05SEP17	S 1 PD05DEC17	20720.00	20853.75	USD	-668.75	
05SEP17	S 1 PD05DEC17	20730.00	20853.75	USD	-618.75	
05SEP17	B 2 PD05DEC17	20705.00	20853.75	USD	1,487.50	
05SEP17	S 1 PD05DEC17	20705.00	20853.75	USD	-743.75	
05SEP17	S 1 PD05DEC17	20735.00	20853.75	USD	-593.75	
05SEP17	B 1 PD05DEC17	20685.00	20853.75	USD	843.75	
05SEP17	B 1 PD05DEC17	20690.00	20853.75	USD	818.75	
05SEP17	B 1 PD05DEC17	20695.00	20853.75	USD	793.75	
05SEP17	B 1 PD05DEC17	20710.00	20853.75	USD	718.75	
	NET -1 AVG BGHT 20698.57 SOLD 20725.00				**281.25**	**0.00**
06SEP17	S 1 PD06DEC17	20655.00	20852.50	USD	-987.50	
06SEP17	B 1 PD06DEC17	20650.00	20852.50	USD	1,012.50	
06SEP17	S 1 PD06DEC17	20665.00	20852.50	USD	-937.50	
06SEP17	S 1 PD06DEC17	20640.00	20852.50	USD	-1,062.50	
06SEP17	S 1 PD06DEC17	20725.00	20852.50	USD	-637.50	
06SEP17	S 1 PD06DEC17	20765.00	20852.50	USD	-437.50	
	NET -4 AVG BGHT 20650.00 SOLD 20690.00				**-3,050.00**	**0.00**
07SEP17	B 1 PD07DEC17	20750.00	20851.25	USD	506.25	
07SEP17	B 1 PD07DEC17	20760.00	20851.25	USD	456.25	
07SEP17	S 1 PD07DEC17	20745.00	20851.25	USD	-531.25	
07SEP17	B 1 PD07DEC17	20700.00	20851.25	USD	756.25	
07SEP17	B 1 PD07DEC17	20740.00	20851.25	USD	556.25	
07SEP17	B 1 PD07DEC17	20705.00	20851.25	USD	731.25	
07SEP17	S 1 PD07DEC17	20780.00	20851.25	USD	-356.25	
	NET +3 AVG BGHT 20731.00 SOLD 20762.50				**2,118.75**	**0.00**
08SEP17	B 1 PD08DEC17	20800.00	20850.00	USD	250.00	
08SEP17	S 1 PD08DEC17	20755.00	20850.00	USD	-475.00	
08SEP17	S 1 PD08DEC17	20790.00	20850.00	USD	-300.00	
08SEP17	B 1 PD08DEC17	20695.00	20850.00	USD	775.00	
08SEP17	B 1 PD08DEC17	20545.00	20850.00	USD	1,525.00	
08SEP17	S 1 PD08DEC17	20560.00	20850.00	USD	-1,450.00	
08SEP17	S 1 PD08DEC17	20500.00	20850.00	USD	-1,750.00	
	NET -1 AVG BGHT 20680.00 SOLD 20651.25				**-1,425.00**	**0.00**
11SEP17	S 1 PD11DEC17	20690.00	20848.75	USD	-793.75	
11SEP17	B 2 PD11DEC17	20630.00	20848.75	USD	2,187.50	
11SEP17	B 1 PD11DEC17	20725.00	20848.75	USD	618.75	
	NET +2 AVG BGHT 20661.67 SOLD 20690.00				**2,012.50**	**0.00**
12SEP17	S 1 PD12DEC17	20680.00	20847.50	USD	-837.50	
12SEP17	B 1 PD12DEC17	20690.00	20847.50	USD	787.50	
12SEP17	B 1 PD12DEC17	20680.00	20847.50	USD	837.50	
12SEP17	B 1 PD12DEC17	20700.00	20847.50	USD	737.50	
12SEP17	S 1 PD12DEC17	20700.00	20847.50	USD	-737.50	
12SEP17	B 1 PD12DEC17	20715.00	20847.50	USD	662.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094R

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
12SEP17	S	2	PD12DEC17	20695.00	20847.50	USD	-1,525.00	
	NET	**0**	**AVG BGHT 20696.25 SOLD 20692.50**				**-75.00**	**0.00**
13SEP17	S	1	PD13DEC17	20680.00	20846.25	USD	-831.25	
13SEP17	B	1	PD13DEC17	20650.00	20846.25	USD	981.25	
13SEP17	B	1	PD13DEC17	20585.00	20846.25	USD	1,306.25	
13SEP17	S	2	PD13DEC17	20550.00	20846.25	USD	-2,962.50	
13SEP17	S	2	PD13DEC17	20605.00	20846.25	USD	-2,412.50	
	NET	**-3**	**AVG BGHT 20617.50 SOLD 20598.00**				**-3,918.75**	**0.00**
14SEP17	B	1	PD14DEC17	20560.00	20845.00	USD	1,425.00	
14SEP17	S	2	PD14DEC17	20600.00	20845.00	USD	-2,450.00	
14SEP17	B	2	PD14DEC17	20575.00	20845.00	USD	2,700.00	
14SEP17	B	1	PD14DEC17	20595.00	20845.00	USD	1,250.00	
14SEP17	S	1	PD14DEC17	20575.00	20845.00	USD	-1,350.00	
14SEP17	S	1	PD14DEC17	20615.00	20845.00	USD	-1,150.00	
14SEP17	S	1	PD14DEC17	20680.00	20845.00	USD	-825.00	
14SEP17	B	3	PD14DEC17	20615.00	20845.00	USD	3,450.00	
14SEP17	B	1	PD14DEC17	20635.00	20845.00	USD	1,050.00	
14SEP17	B	1	PD14DEC17	20540.00	20845.00	USD	1,525.00	
14SEP17	S	1	PD14DEC17	20570.00	20845.00	USD	-1,375.00	
	NET	**+3**	**AVG BGHT 20591.67 SOLD 20606.67**				**4,250.00**	**0.00**
15SEP17	S	1	PD15DEC17	20560.00	20843.75	USD	-1,418.75	
15SEP17	B	1	PD15DEC17	20580.00	20843.75	USD	1,318.75	
15SEP17	S	1	PD15DEC17	20610.00	20843.75	USD	-1,168.75	
15SEP17	B	1	PD15DEC17	20565.00	20843.75	USD	1,393.75	
15SEP17	S	1	PD15DEC17	20505.00	20843.75	USD	-1,693.75	
15SEP17	S	2	PD15DEC17	20500.00	20843.75	USD	-3,437.50	
15SEP17	B	1	PD15DEC17	20505.00	20843.75	USD	1,693.75	
15SEP17	B	1	PD15DEC17	20500.00	20843.75	USD	1,718.75	
	NET	**-1**	**AVG BGHT 20537.50 SOLD 20535.00**				**-1,593.75**	**0.00**
18SEP17	S	1	PD18DEC17	20735.00	20842.50	USD	-537.50	
18SEP17	B	1	PD18DEC17	20585.00	20842.50	USD	1,287.50	
18SEP17	S	1	PD18DEC17	20670.00	20842.50	USD	-862.50	
18SEP17	B	2	PD18DEC17	20635.00	20842.50	USD	2,075.00	
	NET	**+1**	**AVG BGHT 20618.33 SOLD 20702.50**				**1,962.50**	**0.00**
19SEP17	S	1	PD19DEC17	20620.00	20841.25	USD	-1,106.25	
19SEP17	S	1	PD19DEC17	20675.00	20841.25	USD	-831.25	
19SEP17	B	1	PD19DEC17	20650.00	20841.25	USD	956.25	
19SEP17	S	1	PD19DEC17	20700.00	20841.25	USD	-706.25	
19SEP17	B	1	PD19DEC17	20705.00	20841.25	USD	681.25	
19SEP17	B	2	PD19DEC17	20695.00	20841.25	USD	1,462.50	
19SEP17	B	1	PD19DEC17	20700.00	20841.25	USD	706.25	
19SEP17	B	2	PD19DEC17	20670.00	20841.25	USD	1,712.50	
19SEP17	S	1	PD19DEC17	20680.00	20841.25	USD	-806.25	
19SEP17	S	1	PD19DEC17	20665.00	20841.25	USD	-881.25	
19SEP17	S	1	PD19DEC17	20685.00	20841.25	USD	-781.25	
	NET	**+1**	**AVG BGHT 20683.57 SOLD 20670.83**				**406.25**	**0.00**
20SEP17	B	1	PD20DEC17	20770.00	20840.00	USD	350.00	
20SEP17	S	1	PD20DEC17	20725.00	20840.00	USD	-575.00	
20SEP17	S	1	PD20DEC17	20720.00	20840.00	USD	-600.00	
20SEP17	S	1	PD20DEC17	20745.00	20840.00	USD	-475.00	
20SEP17	S	1	PD20DEC17	20760.00	20840.00	USD	-400.00	
20SEP17	B	1	PD20DEC17	20655.00	20840.00	USD	925.00	
20SEP17	B	1	PD20DEC17	20665.00	20840.00	USD	875.00	
	NET	**-1**	**AVG BGHT 20696.67 SOLD 20737.50**				**100.00**	**0.00**
21SEP17	S	1	PD21DEC17	20595.00	20833.40	USD	-1,192.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094R

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
21SEP17	B 1 PD21DEC17	20550.00	20833.40	USD	1,417.00	
21SEP17	B 1 PD21DEC17	20575.00	20833.40	USD	1,292.00	
21SEP17	S 1 PD21DEC17	20625.00	20833.40	USD	-1,042.00	
21SEP17	S 1 PD21DEC17	20470.00	20833.40	USD	-1,817.00	
21SEP17	S 1 PD21DEC17	20475.00	20833.40	USD	-1,792.00	
21SEP17	S 1 PD21DEC17	20420.00	20833.40	USD	-2,067.00	
21SEP17	B 1 PD21DEC17	20415.00	20833.40	USD	2,092.00	
	NET -2 AVG BGHT 20513.33 SOLD 20517.00				**-3,109.00**	**0.00**
22SEP17	S 1 PD22DEC17	20495.00	20826.80	USD	-1,659.00	
22SEP17	S 1 PD22DEC17	20450.00	20826.80	USD	-1,884.00	
22SEP17	S 1 PD22DEC17	20465.00	20826.80	USD	-1,809.00	
	NET -3 AVG SOLD 20470.00				**-5,352.00**	**0.00**
25SEP17	B 1 PD27DEC17	20590.00	20820.20	USD	1,151.00	
25SEP17	B 2 PD27DEC17	20585.00	20820.20	USD	2,352.00	
25SEP17	B 1 PD27DEC17	20605.00	20820.20	USD	1,076.00	
25SEP17	S 1 PD27DEC17	20600.00	20820.20	USD	-1,101.00	
25SEP17	B 1 PD27DEC17	20655.00	20820.20	USD	826.00	
25SEP17	B 1 PD27DEC17	20630.00	20820.20	USD	951.00	
25SEP17	S 1 PD27DEC17	20675.00	20820.20	USD	-726.00	
25SEP17	S 1 PD27DEC17	20690.00	20820.20	USD	-651.00	
25SEP17	S 1 PD27DEC17	20725.00	20820.20	USD	-476.00	
25SEP17	B 1 PD27DEC17	20645.00	20820.20	USD	876.00	
26SEP17	B 1 PD27DEC17	20705.00	20820.20	USD	576.00	
26SEP17	S 1 PD27DEC17	20680.00	20820.20	USD	-701.00	
26SEP17	S 1 PD27DEC17	20705.00	20820.20	USD	-576.00	
26SEP17	B 1 PD27DEC17	20625.00	20820.20	USD	976.00	
26SEP17	B 1 PD27DEC17	20630.00	20820.20	USD	951.00	
26SEP17	S 1 PD27DEC17	20650.00	20820.20	USD	-851.00	
26SEP17	B 1 PD27DEC17	20650.00	20820.20	USD	851.00	
26SEP17	B 3 PD27DEC17	20660.00	20820.20	USD	2,403.00	
26SEP17	S 2 PD27DEC17	20655.00	20820.20	USD	-1,652.00	
26SEP17	S 2 PD27DEC17	20660.00	20820.20	USD	-1,602.00	
26SEP17	B 3 PD27DEC17	20665.00	20820.20	USD	2,328.00	
26SEP17	S 2 PD27DEC17	20695.00	20820.20	USD	-1,252.00	
26SEP17	B 1 PD27DEC17	20655.00	20820.20	USD	826.00	
26SEP17	S 1 PD27DEC17	20690.00	20820.20	USD	-651.00	
27SEP17	B 2 PD27DEC17	20660.00	20820.20	USD	1,602.00	
27SEP17	S 1 PD27DEC17	20720.00	20820.20	USD	-501.00	
27SEP17	S 1 PD27DEC17	20675.00	20820.20	USD	-726.00	
27SEP17	S 2 PD27DEC17	20670.00	20820.20	USD	-1,502.00	
27SEP17	B 1 PD27DEC17	20655.00	20820.20	USD	826.00	
27SEP17	S 2 PD27DEC17	20685.00	20820.20	USD	-1,352.00	
	NET +1 AVG BGHT 20643.33 SOLD 20677.00				**4,251.00**	**0.00**
28SEP17	B 1 PD28DEC17	20630.00	20813.60	USD	918.00	
28SEP17	S 1 PD28DEC17	20690.00	20813.60	USD	-618.00	
28SEP17	S 2 PD28DEC17	20700.00	20813.60	USD	-1,136.00	
28SEP17	B 1 PD28DEC17	20700.00	20813.60	USD	568.00	
28SEP17	B 1 PD28DEC17	20705.00	20813.60	USD	543.00	
28SEP17	B 1 PD28DEC17	20695.00	20813.60	USD	593.00	
28SEP17	B 2 PD28DEC17	20680.00	20813.60	USD	1,336.00	
28SEP17	B 1 PD28DEC17	20650.00	20813.60	USD	818.00	
28SEP17	S 1 PD28DEC17	20650.00	20813.60	USD	-818.00	
28SEP17	S 1 PD28DEC17	20655.00	20813.60	USD	-793.00	
28SEP17	S 1 PD28DEC17	20680.00	20813.60	USD	-668.00	
28SEP17	S 1 PD28DEC17	20670.00	20813.60	USD	-718.00	
28SEP17	S 1 PD28DEC17	20685.00	20813.60	USD	-643.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094R

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
28SEP17	S 1 PD28DEC17	20730.00	20813.60	USD	-418.00	
28SEP17	B 1 PD28DEC17	20625.00	20813.60	USD	943.00	
	NET -1 AVG BGHT 20670.63 SOLD 20684.44				**-93.00**	**0.00**
29SEP17	S 1 PD29DEC17	20770.00	20807.00	USD	-185.00	
29SEP17	B 1 PD29DEC17	20675.00	20807.00	USD	660.00	
29SEP17	B 1 PD29DEC17	20685.00	20807.00	USD	610.00	
29SEP17	B 2 PD29DEC17	20745.00	20807.00	USD	620.00	
29SEP17	S 1 PD29DEC17	20745.00	20807.00	USD	-310.00	
29SEP17	S 1 PD29DEC17	20760.00	20807.00	USD	-235.00	
29SEP17	B 2 PD29DEC17	20730.00	20807.00	USD	770.00	
	NET +3 AVG BGHT 20718.33 SOLD 20758.33				**1,930.00**	**0.00**
02OCT17	B 2 PD02JAN18	20755.00	20803.50	USD	485.00	
	NET +2 AVG BGHT 20755.00				**485.00**	**0.00**
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
04JUL17	S 1 PD04OCT17	2797.00	3316.62	USD	-12,990.50	
04JUL17	B 1 PD04OCT17	2794.50	3316.62	USD	13,053.00	
	NET 0 AVG BGHT 2794.50 SOLD 2797.00				**62.50**	**0.00**
05JUL17	S 1 PD05OCT17	2776.00	3313.50	USD	-13,437.50	
05JUL17	B 1 PD05OCT17	2742.50	3313.50	USD	14,275.00	
05JUL17	S 1 PD05OCT17	2757.00	3313.50	USD	-13,912.50	
05JUL17	B 1 PD05OCT17	2768.00	3313.50	USD	13,637.50	
05JUL17	S 1 PD05OCT17	2756.50	3313.50	USD	-13,925.00	
20JUL17	B 1 PD05OCT17	2725.58	3313.50	USD	14,698.00	
	NET 0 AVG BGHT 2745.36 SOLD 2763.17				**1,335.50**	**0.00**
06JUL17	B 1 PD06OCT17	2769.50	3310.38	USD	13,522.00	
06JUL17	S 1 PD06OCT17	2783.00	3310.38	USD	-13,184.50	
07JUL17	B 1 PD06OCT17	2781.00	3310.38	USD	13,234.50	
20JUL17	S 1 PD06OCT17	2725.62	3310.38	USD	-14,619.00	
	NET 0 AVG BGHT 2775.25 SOLD 2754.31				**-1,047.00**	**0.00**
12JUL17	S 1 PD12OCT17	2836.50	3301.00	USD	-11,612.50	
12JUL17	B 1 PD12OCT17	2824.50	3301.00	USD	11,912.50	
	NET 0 AVG BGHT 2824.50 SOLD 2836.50				**300.00**	**0.00**
13JUL17	S 1 PD13OCT17	2840.50	3297.88	USD	-11,434.50	
14JUL17	B 1 PD13OCT17	2786.00	3297.88	USD	12,797.00	
	NET 0 AVG BGHT 2786.00 SOLD 2840.50				**1,362.50**	**0.00**
17JUL17	S 1 PD17OCT17	2822.00	3291.63	USD	-11,740.75	
17JUL17	S 1 PD17OCT17	2831.50	3291.63	USD	-11,503.25	
17JUL17	S 1 PD17OCT17	2834.00	3291.63	USD	-11,440.75	
17JUL17	S 1 PD17OCT17	2844.00	3291.63	USD	-11,190.75	
17JUL17	B 1 PD17OCT17	2822.00	3291.63	USD	11,740.75	
08AUG17	B 3 PD17OCT17	2924.33	3291.63	USD	27,547.50	
	NET 0 AVG BGHT 2898.75 SOLD 2832.88				**-6,587.25**	**0.00**
18JUL17	B 1 PD18OCT17	2786.00	3288.50	USD	12,562.50	
18JUL17	S 1 PD18OCT17	2784.00	3288.50	USD	-12,612.50	
	NET 0 AVG BGHT 2786.00 SOLD 2784.00				**-50.00**	**0.00**
20JUL17	B 1 PD20OCT17	2723.00	3282.50	USD	13,987.50	
20JUL17	B 1 PD20OCT17	2727.00	3282.50	USD	13,887.50	
21JUL17	B 1 PD20OCT17	2764.00	3282.50	USD	12,962.50	
21JUL17	S 1 PD20OCT17	2763.00	3282.50	USD	-12,987.50	
21JUL17	B 1 PD20OCT17	2765.00	3282.50	USD	12,937.50	
21JUL17	S 1 PD20OCT17	2771.00	3282.50	USD	-12,787.50	
21JUL17	B 1 PD20OCT17	2755.00	3282.50	USD	13,187.50	
08AUG17	S 3 PD20OCT17	2922.80	3282.50	USD	-26,977.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094R

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
	NET 0 AVG BGHT 2746.80 SOLD 2860.48				**14,210.00**	**0.00**
24JUL17	S 1 PD24OCT17	2783.00	3280.50	USD	-12,437.50	
08AUG17	B 1 PD24OCT17	2923.80	3280.50	USD	8,917.50	
	NET 0 AVG BGHT 2923.80 SOLD 2783.00				**-3,520.00**	**0.00**
25JUL17	S 1 PD25OCT17	2837.50	3279.50	USD	-11,050.00	
25JUL17	S 1 PD25OCT17	2823.00	3279.50	USD	-11,412.50	
25JUL17	B 1 PD25OCT17	2820.50	3279.50	USD	11,475.00	
25JUL17	B 1 PD25OCT17	2818.50	3279.50	USD	11,525.00	
25JUL17	B 1 PD25OCT17	2822.00	3279.50	USD	11,437.50	
08AUG17	S 1 PD25OCT17	2923.80	3279.50	USD	-8,892.50	
	NET 0 AVG BGHT 2820.33 SOLD 2861.43				**3,082.50**	**0.00**
26JUL17	B 1 PD26OCT17	2849.00	3278.50	USD	10,737.50	
26JUL17	S 1 PD26OCT17	2849.00	3278.50	USD	-10,737.50	
26JUL17	B 1 PD26OCT17	2818.00	3278.50	USD	11,512.50	
26JUL17	B 1 PD26OCT17	2822.00	3278.50	USD	11,412.50	
08AUG17	S 2 PD26OCT17	2924.00	3278.50	USD	-17,725.00	
	NET 0 AVG BGHT 2829.67 SOLD 2899.00				**5,200.00**	**0.00**
27JUL17	B 1 PD27OCT17	2814.00	3277.50	USD	11,587.50	
28JUL17	S 1 PD27OCT17	2770.00	3277.50	USD	-12,687.50	
28JUL17	B 1 PD27OCT17	2770.00	3277.50	USD	12,687.50	
28JUL17	S 1 PD27OCT17	2772.00	3277.50	USD	-12,637.50	
28JUL17	S 1 PD27OCT17	2779.50	3277.50	USD	-12,450.00	
28JUL17	S 1 PD27OCT17	2786.50	3277.50	USD	-12,275.00	
28JUL17	B 1 PD27OCT17	2785.00	3277.50	USD	12,312.50	
28JUL17	B 2 PD27OCT17	2790.00	3277.50	USD	24,375.00	
28JUL17	S 2 PD27OCT17	2789.00	3277.50	USD	-24,425.00	
28JUL17	B 2 PD27OCT17	2775.50	3277.50	USD	25,100.00	
08AUG17	S 1 PD27OCT17	2924.00	3277.50	USD	-8,837.50	
	NET 0 AVG BGHT 2785.71 SOLD 2801.43				**2,750.00**	**0.00**
31JUL17	B 1 PD31OCT17	2783.00	3275.50	USD	12,312.50	
31JUL17	S 1 PD31OCT17	2780.50	3275.50	USD	-12,375.00	
31JUL17	S 1 PD31OCT17	2782.00	3275.50	USD	-12,337.50	
31JUL17	S 1 PD31OCT17	2801.50	3275.50	USD	-11,850.00	
31JUL17	B 1 PD31OCT17	2816.00	3275.50	USD	11,487.50	
31JUL17	S 1 PD31OCT17	2786.00	3275.50	USD	-12,237.50	
31JUL17	S 1 PD31OCT17	2827.00	3275.50	USD	-11,212.50	
31JUL17	B 1 PD31OCT17	2796.50	3275.50	USD	11,975.00	
31JUL17	B 1 PD31OCT17	2821.00	3275.50	USD	11,362.50	
08AUG17	B 1 PD31OCT17	2924.20	3275.50	USD	8,782.50	
	NET 0 AVG BGHT 2828.14 SOLD 2795.40				**-4,092.50**	**0.00**
02AUG17	S 1 PD02NOV17	2783.00	3273.50	USD	-12,262.50	
02AUG17	S 1 PD02NOV17	2784.00	3273.50	USD	-12,237.50	
08AUG17	B 2 PD02NOV17	2924.53	3273.50	USD	17,448.50	
	NET 0 AVG BGHT 2924.53 SOLD 2783.50				**-7,051.50**	**0.00**
04AUG17	S 1 PD03NOV17	2823.50	3272.00	USD	-11,212.50	
04AUG17	S 1 PD03NOV17	2833.00	3272.00	USD	-10,975.00	
04AUG17	B 1 PD03NOV17	2826.00	3272.00	USD	11,150.00	
04AUG17	B 1 PD03NOV17	2814.00	3272.00	USD	11,450.00	
	NET 0 AVG BGHT 2820.00 SOLD 2828.25				**412.50**	**0.00**
07AUG17	S 1 PD07NOV17	2875.00	3272.00	USD	-9,925.00	
07AUG17	B 1 PD07NOV17	2868.00	3272.00	USD	10,100.00	
07AUG17	S 1 PD07NOV17	2880.50	3272.00	USD	-9,787.50	
07AUG17	S 1 PD07NOV17	2884.50	3272.00	USD	-9,687.50	
	NET -2 AVG BGHT 2868.00 SOLD 2880.00				**-19,300.00**	**0.00**
08AUG17	S 1 PD08NOV17	2924.00	3272.00	USD	-8,700.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094R

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
08AUG17	B 1 PD08NOV17	2925.00	3272.00	USD	8,675.00	
08AUG17	S 1 PD08NOV17	2929.00	3272.00	USD	-8,575.00	
	NET -1 AVG BGHT 2925.00 SOLD 2926.50				**-8,600.00**	**0.00**
09AUG17	S 1 PD09NOV17	2967.00	3272.00	USD	-7,625.00	
09AUG17	S 1 PD09NOV17	2975.00	3272.00	USD	-7,425.00	
09AUG17	B 2 PD09NOV17	2935.00	3272.00	USD	16,850.00	
09AUG17	S 1 PD09NOV17	2935.00	3272.00	USD	-8,425.00	
	NET -1 AVG BGHT 2935.00 SOLD 2959.00				**-6,625.00**	**0.00**
10AUG17	S 1 PD10NOV17	2947.00	3272.00	USD	-8,125.00	
10AUG17	B 1 PD10NOV17	2940.50	3272.00	USD	8,287.50	
10AUG17	B 1 PD10NOV17	2924.00	3272.00	USD	8,700.00	
10AUG17	S 1 PD10NOV17	2938.00	3272.00	USD	-8,350.00	
10AUG17	B 1 PD10NOV17	2938.00	3272.00	USD	8,350.00	
10AUG17	S 2 PD10NOV17	2937.00	3272.00	USD	-16,750.00	
10AUG17	B 1 PD10NOV17	2930.50	3272.00	USD	8,537.50	
10AUG17	S 1 PD10NOV17	2931.00	3272.00	USD	-8,525.00	
10AUG17	B 1 PD10NOV17	2931.50	3272.00	USD	8,512.50	
11AUG17	B 1 PD10NOV17	2917.00	3272.00	USD	8,875.00	
11AUG17	S 1 PD10NOV17	2925.00	3272.00	USD	-8,675.00	
11AUG17	S 1 PD10NOV17	2905.00	3272.00	USD	-9,175.00	
11AUG17	B 1 PD10NOV17	2900.00	3272.00	USD	9,300.00	
11AUG17	S 1 PD10NOV17	2900.00	3272.00	USD	-9,300.00	
	NET -1 AVG BGHT 2925.93 SOLD 2927.50				**-8,337.50**	**0.00**
14AUG17	B 1 PD14NOV17	2897.00	3270.33	USD	9,333.25	
14AUG17	B 1 PD14NOV17	2901.00	3270.33	USD	9,233.25	
14AUG17	S 1 PD14NOV17	2914.50	3270.33	USD	-8,895.75	
14AUG17	B 1 PD14NOV17	2914.50	3270.33	USD	8,895.75	
	NET +2 AVG BGHT 2904.17 SOLD 2914.50				**18,566.50**	**0.00**
15AUG17	S 1 PD15NOV17	2933.50	3269.50	USD	-8,400.00	
15AUG17	S 1 PD15NOV17	2936.00	3269.50	USD	-8,337.50	
15AUG17	B 1 PD15NOV17	2932.50	3269.50	USD	8,425.00	
15AUG17	B 1 PD15NOV17	2936.00	3269.50	USD	8,337.50	
15AUG17	S 1 PD15NOV17	2952.00	3269.50	USD	-7,937.50	
15AUG17	S 1 PD15NOV17	2956.00	3269.50	USD	-7,837.50	
15AUG17	B 1 PD15NOV17	2954.50	3269.50	USD	7,875.00	
	NET -1 AVG BGHT 2941.00 SOLD 2944.38				**-7,875.00**	**0.00**
16AUG17	B 1 PD16NOV17	2953.00	3267.50	USD	7,862.50	
16AUG17	S 1 PD16NOV17	2979.00	3267.50	USD	-7,212.50	
16AUG17	S 1 PD16NOV17	2983.00	3267.50	USD	-7,112.50	
16AUG17	B 2 PD16NOV17	3035.00	3267.50	USD	11,625.00	
16AUG17	S 1 PD16NOV17	3033.00	3267.50	USD	-5,862.50	
16AUG17	S 1 PD16NOV17	3034.00	3267.50	USD	-5,837.50	
16AUG17	B 1 PD16NOV17	3078.00	3267.50	USD	4,737.50	
16AUG17	S 1 PD16NOV17	3084.00	3267.50	USD	-4,587.50	
16AUG17	B 1 PD16NOV17	3114.00	3267.50	USD	3,837.50	
16AUG17	S 1 PD16NOV17	3110.00	3267.50	USD	-3,937.50	
	NET -1 AVG BGHT 3043.00 SOLD 3037.17				**-6,487.50**	**0.00**
17AUG17	B 1 PD17NOV17	3137.50	3265.50	USD	3,200.00	
17AUG17	S 1 PD17NOV17	3137.00	3265.50	USD	-3,212.50	
17AUG17	B 1 PD17NOV17	3086.00	3265.50	USD	4,487.50	
17AUG17	B 1 PD17NOV17	3076.00	3265.50	USD	4,737.50	
17AUG17	S 2 PD17NOV17	3076.00	3265.50	USD	-9,475.00	
17AUG17	B 2 PD17NOV17	3061.50	3265.50	USD	10,200.00	
17AUG17	S 1 PD17NOV17	3060.00	3265.50	USD	-5,137.50	
17AUG17	S 1 PD17NOV17	3068.50	3265.50	USD	-4,925.00	
18AUG17	B 1 PD17NOV17	3108.00	3265.50	USD	3,937.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094R

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
18AUG17	B	2	PD17NOV17	3110.00	3265.50	USD	7,775.00	
18AUG17	B	1	PD17NOV17	3112.00	3265.50	USD	3,837.50	
18AUG17	S	1	PD17NOV17	3108.00	3265.50	USD	-3,937.50	
18AUG17	B	1	PD17NOV17	3123.00	3265.50	USD	3,562.50	
18AUG17	S	1	PD17NOV17	3129.50	3265.50	USD	-3,400.00	
18AUG17	S	1	PD17NOV17	3109.00	3265.50	USD	-3,912.50	
18AUG17	B	1	PD17NOV17	3121.50	3265.50	USD	3,600.00	
	NET	**+3**	**AVG BGHT 3100.64**	**SOLD 3095.50**			**11,337.50**	**0.00**
21AUG17	B	1	PD21NOV17	3137.00	3264.83	USD	3,195.75	
21AUG17	S	1	PD21NOV17	3140.00	3264.83	USD	-3,120.75	
	NET	**0**	**AVG BGHT 3137.00**	**SOLD 3140.00**			**75.00**	**0.00**
22AUG17	B	1	PD22NOV17	3105.50	3264.50	USD	3,975.00	
22AUG17	S	1	PD22NOV17	3107.50	3264.50	USD	-3,925.00	
22AUG17	B	1	PD22NOV17	3101.00	3264.50	USD	4,087.50	
22AUG17	B	1	PD22NOV17	3101.50	3264.50	USD	4,075.00	
22AUG17	S	1	PD22NOV17	3099.50	3264.50	USD	-4,125.00	
22AUG17	S	1	PD22NOV17	3140.00	3264.50	USD	-3,112.50	
22AUG17	S	1	PD22NOV17	3156.00	3264.50	USD	-2,712.50	
22AUG17	B	2	PD22NOV17	3142.00	3264.50	USD	6,125.00	
22AUG17	B	1	PD22NOV17	3144.00	3264.50	USD	3,012.50	
22AUG17	B	1	PD22NOV17	3130.00	3264.50	USD	3,362.50	
22AUG17	S	1	PD22NOV17	3114.00	3264.50	USD	-3,762.50	
22AUG17	S	1	PD22NOV17	3115.00	3264.50	USD	-3,737.50	
22AUG17	S	1	PD22NOV17	3120.00	3264.50	USD	-3,612.50	
22AUG17	B	1	PD22NOV17	3115.00	3264.50	USD	3,737.50	
22AUG17	S	1	PD22NOV17	3109.00	3264.50	USD	-3,887.50	
	NET	**0**	**AVG BGHT 3122.63**	**SOLD 3120.13**			**-500.00**	**0.00**
23AUG17	S	1	PD24NOV17	3119.50	3264.71	USD	-3,630.25	
23AUG17	B	1	PD24NOV17	3116.50	3264.71	USD	3,705.25	
23AUG17	B	1	PD24NOV17	3119.50	3264.71	USD	3,630.25	
23AUG17	B	1	PD24NOV17	3090.00	3264.71	USD	4,367.75	
25AUG17	S	1	PD24NOV17	3066.00	3264.71	USD	-4,967.75	
25AUG17	S	1	PD24NOV17	3069.50	3264.71	USD	-4,880.25	
	NET	**0**	**AVG BGHT 3108.67**	**SOLD 3085.00**			**-1,775.00**	**0.00**
29AUG17	S	1	PD29NOV17	3092.50	3265.25	USD	-4,318.75	
29AUG17	B	1	PD29NOV17	3110.50	3265.25	USD	3,868.75	
29AUG17	B	1	PD29NOV17	3112.50	3265.25	USD	3,818.75	
29AUG17	S	1	PD29NOV17	3125.00	3265.25	USD	-3,506.25	
	NET	**0**	**AVG BGHT 3111.50**	**SOLD 3108.75**			**-137.50**	**0.00**
30AUG17	S	1	PD30NOV17	3145.00	3265.36	USD	-3,009.00	
30AUG17	B	1	PD30NOV17	3107.00	3265.36	USD	3,959.00	
30AUG17	B	1	PD30NOV17	3095.00	3265.36	USD	4,259.00	
30AUG17	B	1	PD30NOV17	3087.50	3265.36	USD	4,446.50	
30AUG17	B	1	PD30NOV17	3090.50	3265.36	USD	4,371.50	
30AUG17	S	1	PD30NOV17	3087.00	3265.36	USD	-4,459.00	
31AUG17	S	1	PD30NOV17	3114.00	3265.36	USD	-3,784.00	
31AUG17	B	1	PD30NOV17	3125.50	3265.36	USD	3,496.50	
31AUG17	S	1	PD30NOV17	3129.00	3265.36	USD	-3,409.00	
31AUG17	S	1	PD30NOV17	3142.00	3265.36	USD	-3,084.00	
31AUG17	S	1	PD30NOV17	3157.50	3265.36	USD	-2,696.50	
31AUG17	B	1	PD30NOV17	3126.00	3265.36	USD	3,484.00	
31AUG17	B	1	PD30NOV17	3135.00	3265.36	USD	3,259.00	
	NET	**+1**	**AVG BGHT 3109.50**	**SOLD 3129.08**			**6,834.00**	**0.00**
01SEP17	B	1	PD01DEC17	3162.50	3265.46	USD	2,574.00	
	NET	**+1**	**AVG BGHT 3162.50**				**2,574.00**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094R

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	2,193.50
LME Copper Grade A 25	FUTURES	-14,998.25
LME Lead 25 tonnes. This is an	FUTURES	-8,147.50
LME Nickel 6 tonnes. This is	FUTURES	-18,324.72
LME Tin 5 tonnes. This is an	FUTURES	10,353.30
LME Zinc 25 tonnes. This is	FUTURES	-13,157.75

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards			Amount	Profit / Loss	**Total Amount**	**Total P&L**
ALUL	- Futures	USD	1,999.50	1,999.50		
COPL	- Futures	USD	-14,998.25	-14,998.25		
LEAD	- Futures	USD	-8,179.75	-8,179.75		
NKL	- Futures	USD	-18,058.98	-18,058.98		
TIN	- Futures	USD	9,994.30	9,994.30		
ZINC	- Futures	USD	-13,883.25	-13,883.25	**-43,126.43**	**-43,126.43**

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-3,224.49	-3,224.49
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**-3,224.49**	**-3,224.49**
Initial Margins		-60,767.43	-60,767.43
Excess/(Shortage)		**-63,991.92**	**-63,991.92**
FOR INFORMATION ONLY			
Forward Unrealised P&L		-43,126.43	-43,126.43
Net Liquidating Value		**-46,350.92**	**-46,350.92**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094S

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.								
07JUL17	B	1	PD06OCT17		1941.50	2114.58	USD	4,327.00	
07JUL17	S	1	PD06OCT17		1941.50	2114.58	USD	-4,327.00	
07JUL17	B	1	PD06OCT17		1936.50	2114.58	USD	4,452.00	
07JUL17	S	1	PD06OCT17		1933.50	2114.58	USD	-4,527.00	
	NET	**0**	**AVG BGHT 1939.00**	**SOLD 1937.50**				**-75.00**	**0.00**
10JUL17	S	1	PD10OCT17		1927.00	2115.92	USD	-4,723.00	
10JUL17	B	1	PD10OCT17		1921.50	2115.92	USD	4,860.50	
10JUL17	S	1	PD10OCT17		1923.00	2115.92	USD	-4,823.00	
10JUL17	B	1	PD10OCT17		1929.00	2115.92	USD	4,673.00	
10JUL17	B	2	PD10OCT17		1905.50	2115.92	USD	10,521.00	
10JUL17	B	1	PD10OCT17		1903.00	2115.92	USD	5,323.00	
10JUL17	B	1	PD10OCT17		1904.50	2115.92	USD	5,285.50	
10JUL17	B	1	PD10OCT17		1899.00	2115.92	USD	5,423.00	
10JUL17	B	1	PD10OCT17		1899.50	2115.92	USD	5,410.50	
10JUL17	B	1	PD10OCT17		1895.50	2115.92	USD	5,510.50	
10JUL17	S	1	PD10OCT17		1896.00	2115.92	USD	-5,498.00	
17JUL17	S	6	PD10OCT17		1916.71	2115.92	USD	-29,881.50	
	NET	**0**	**AVG BGHT 1907.00**	**SOLD 1916.25**				**2,081.50**	**0.00**
11JUL17	B	1	PD11OCT17		1904.50	2116.25	USD	5,293.75	
11JUL17	S	2	PD11OCT17		1900.50	2116.25	USD	-10,787.50	
17JUL17	B	1	PD11OCT17		1917.09	2116.25	USD	4,979.00	
	NET	**0**	**AVG BGHT 1910.80**	**SOLD 1900.50**				**-514.75**	**0.00**
12JUL17	B	1	PD12OCT17		1889.00	2116.25	USD	5,681.25	
12JUL17	S	1	PD12OCT17		1889.00	2116.25	USD	-5,681.25	
	NET	**0**	**AVG BGHT 1889.00**	**SOLD 1889.00**				**0.00**	**0.00**
13JUL17	S	1	PD13OCT17		1899.00	2116.25	USD	-5,431.25	
13JUL17	S	1	PD13OCT17		1898.00	2116.25	USD	-5,456.25	
13JUL17	S	1	PD13OCT17		1902.50	2116.25	USD	-5,343.75	
13JUL17	S	1	PD13OCT17		1914.00	2116.25	USD	-5,056.25	
13JUL17	S	2	PD13OCT17		1914.50	2116.25	USD	-10,087.50	
13JUL17	S	1	PD13OCT17		1916.50	2116.25	USD	-4,993.75	
13JUL17	S	1	PD13OCT17		1917.00	2116.25	USD	-4,981.25	
13JUL17	S	1	PD13OCT17		1921.00	2116.25	USD	-4,881.25	
13JUL17	S	1	PD13OCT17		1924.00	2116.25	USD	-4,806.25	
13JUL17	S	1	PD13OCT17		1937.00	2116.25	USD	-4,481.25	
13JUL17	S	2	PD13OCT17		1940.00	2116.25	USD	-8,812.50	
13JUL17	S	1	PD13OCT17		1935.50	2116.25	USD	-4,518.75	
13JUL17	S	2	PD13OCT17		1934.50	2116.25	USD	-9,087.50	
13JUL17	B	4	PD13OCT17		1923.50	2116.25	USD	19,275.00	
13JUL17	B	2	PD13OCT17		1923.00	2116.25	USD	9,662.50	
14JUL17	B	1	PD13OCT17		1921.00	2116.25	USD	4,881.25	
14JUL17	B	2	PD13OCT17		1921.50	2116.25	USD	9,737.50	
14JUL17	B	1	PD13OCT17		1922.00	2116.25	USD	4,856.25	
14JUL17	B	1	PD13OCT17		1922.50	2116.25	USD	4,843.75	
17JUL17	B	5	PD13OCT17		1917.46	2116.25	USD	24,848.75	
	NET	**0**	**AVG BGHT 1920.99**	**SOLD 1921.41**				**167.50**	**0.00**
17JUL17	B	1	PD17OCT17		1899.00	2116.25	USD	5,431.25	
17JUL17	B	1	PD17OCT17		1899.50	2116.25	USD	5,418.75	
17JUL17	B	1	PD17OCT17		1900.00	2116.25	USD	5,406.25	
17JUL17	S	1	PD17OCT17		1911.00	2116.25	USD	-5,131.25	
26JUL17	S	2	PD17OCT17		1940.80	2116.25	USD	-8,772.50	
	NET	**0**	**AVG BGHT 1899.50**	**SOLD 1930.87**				**2,352.50**	**0.00**
18JUL17	S	2	PD18OCT17		1908.50	2116.25	USD	-10,387.50	
18JUL17	S	2	PD18OCT17		1931.00	2116.25	USD	-9,262.50	
18JUL17	S	1	PD18OCT17		1930.00	2116.25	USD	-4,656.25	
26JUL17	B	5	PD18OCT17		1941.00	2116.25	USD	21,906.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094S

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
	NET 0 AVG BGHT 1941.00 SOLD 1921.80				**-2,400.00**	**0.00**
19JUL17	S 1 PD19OCT17	1934.50	2116.64	USD	-4,553.50	
19JUL17	S 2 PD19OCT17	1933.50	2116.64	USD	-9,157.00	
19JUL17	S 1 PD19OCT17	1935.50	2116.64	USD	-4,528.50	
19JUL17	S 2 PD19OCT17	1936.00	2116.64	USD	-9,032.00	
19JUL17	B 3 PD19OCT17	1919.00	2116.64	USD	14,823.00	
19JUL17	S 1 PD19OCT17	1925.00	2116.64	USD	-4,791.00	
19JUL17	B 2 PD19OCT17	1920.50	2116.64	USD	9,807.00	
26JUL17	B 2 PD19OCT17	1940.70	2116.64	USD	8,797.00	
	NET 0 AVG BGHT 1925.63 SOLD 1933.43				**1,365.00**	**0.00**
20JUL17	B 1 PD20OCT17	1925.00	2117.03	USD	4,800.75	
20JUL17	B 1 PD20OCT17	1922.00	2117.03	USD	4,875.75	
20JUL17	B 1 PD20OCT17	1921.00	2117.03	USD	4,900.75	
20JUL17	B 1 PD20OCT17	1916.50	2117.03	USD	5,013.25	
20JUL17	B 1 PD20OCT17	1918.00	2117.03	USD	4,975.75	
21JUL17	S 1 PD20OCT17	1923.50	2117.03	USD	-4,838.25	
21JUL17	S 1 PD20OCT17	1918.00	2117.03	USD	-4,975.75	
21JUL17	S 2 PD20OCT17	1919.00	2117.03	USD	-9,901.50	
26JUL17	S 1 PD20OCT17	1940.51	2117.03	USD	-4,413.00	
	NET 0 AVG BGHT 1920.50 SOLD 1924.00				**437.75**	**0.00**
24JUL17	S 1 PD24OCT17	1922.50	2118.58	USD	-4,902.00	
24JUL17	S 1 PD24OCT17	1921.00	2118.58	USD	-4,939.50	
24JUL17	S 1 PD24OCT17	1921.50	2118.58	USD	-4,927.00	
24JUL17	B 3 PD24OCT17	1912.50	2118.58	USD	15,456.00	
24JUL17	B 2 PD24OCT17	1915.00	2118.58	USD	10,179.00	
24JUL17	B 1 PD24OCT17	1911.50	2118.58	USD	5,177.00	
24JUL17	B 1 PD24OCT17	1913.00	2118.58	USD	5,139.50	
26JUL17	S 4 PD24OCT17	1941.37	2118.58	USD	-17,721.00	
	NET 0 AVG BGHT 1913.14 SOLD 1932.93				**3,462.00**	**0.00**
25JUL17	S 1 PD25OCT17	1919.00	2118.97	USD	-4,999.25	
25JUL17	S 1 PD25OCT17	1933.50	2118.97	USD	-4,636.75	
25JUL17	S 1 PD25OCT17	1935.00	2118.97	USD	-4,599.25	
25JUL17	S 1 PD25OCT17	1938.00	2118.97	USD	-4,524.25	
25JUL17	S 1 PD25OCT17	1939.50	2118.97	USD	-4,486.75	
25JUL17	S 2 PD25OCT17	1940.00	2118.97	USD	-8,948.50	
25JUL17	S 1 PD25OCT17	1941.50	2118.97	USD	-4,436.75	
25JUL17	S 1 PD25OCT17	1942.00	2118.97	USD	-4,424.25	
27JUL17	B 7 PD25OCT17	1937.50	2118.97	USD	31,757.25	
28JUL17	B 2 PD25OCT17	1906.25	2118.97	USD	10,636.00	
	NET 0 AVG BGHT 1930.56 SOLD 1936.50				**1,337.50**	**0.00**
26JUL17	S 1 PD26OCT17	1960.00	2119.36	USD	-3,984.00	
26JUL17	S 1 PD26OCT17	1961.50	2119.36	USD	-3,946.50	
26JUL17	B 1 PD26OCT17	1935.50	2119.36	USD	4,596.50	
26JUL17	B 1 PD26OCT17	1933.00	2119.36	USD	4,659.00	
26JUL17	B 2 PD26OCT17	1934.50	2119.36	USD	9,243.00	
26JUL17	B 1 PD26OCT17	1933.50	2119.36	USD	4,646.50	
26JUL17	B 1 PD26OCT17	1935.00	2119.36	USD	4,609.00	
26JUL17	B 1 PD26OCT17	1932.00	2119.36	USD	4,684.00	
26JUL17	B 1 PD26OCT17	1934.00	2119.36	USD	4,634.00	
26JUL17	B 1 PD26OCT17	1937.00	2119.36	USD	4,559.00	
27JUL17	S 7 PD26OCT17	1937.55	2119.36	USD	-31,816.75	
	NET 0 AVG BGHT 1934.33 SOLD 1942.71				**1,883.75**	**0.00**
27JUL17	S 2 PD27OCT17	1949.00	2119.75	USD	-8,537.50	
27JUL17	S 1 PD27OCT17	1950.00	2119.75	USD	-4,243.75	
27JUL17	B 1 PD27OCT17	1942.00	2119.75	USD	4,443.75	
27JUL17	B 1 PD27OCT17	1943.50	2119.75	USD	4,406.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094S

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
27JUL17	B 1 PD27OCT17	1937.50	2119.75	USD	4,556.25	
27JUL17	B 1 PD27OCT17	1938.50	2119.75	USD	4,531.25	
27JUL17	B 1 PD27OCT17	1937.00	2119.75	USD	4,568.75	
28JUL17	B 1 PD27OCT17	1915.00	2119.75	USD	5,118.75	
28JUL17	B 1 PD27OCT17	1916.50	2119.75	USD	5,081.25	
28JUL17	B 2 PD27OCT17	1910.50	2119.75	USD	10,462.50	
28JUL17	B 1 PD27OCT17	1912.00	2119.75	USD	5,193.75	
28JUL17	B 1 PD27OCT17	1914.00	2119.75	USD	5,143.75	
28JUL17	S 1 PD27OCT17	1924.00	2119.75	USD	-4,893.75	
28JUL17	S 2 PD27OCT17	1924.50	2119.75	USD	-9,762.50	
28JUL17	B 2 PD27OCT17	1915.50	2119.75	USD	10,212.50	
28JUL17	B 1 PD27OCT17	1916.00	2119.75	USD	5,093.75	
28JUL17	S 2 PD27OCT17	1906.80	2119.75	USD	-10,647.50	
28JUL17	S 5 PD27OCT17	1911.00	2119.75	USD	-26,093.75	
28JUL17	S 1 PD27OCT17	1911.50	2119.75	USD	-5,206.25	
28JUL17	B 1 PD27OCT17	1909.00	2119.75	USD	5,268.75	
09AUG17	S 1 PD27OCT17	2025.18	2119.75	USD	-2,364.25	
	NET 0 AVG BGHT 1922.20 SOLD 1928.42				**2,332.00**	**0.00**
31JUL17	S 1 PD31OCT17	1917.00	2117.75	USD	-5,018.75	
31JUL17	S 2 PD31OCT17	1921.00	2117.75	USD	-9,837.50	
31JUL17	S 1 PD31OCT17	1924.50	2117.75	USD	-4,831.25	
31JUL17	B 1 PD31OCT17	1914.50	2117.75	USD	5,081.25	
31JUL17	B 1 PD31OCT17	1915.50	2117.75	USD	5,056.25	
31JUL17	B 1 PD31OCT17	1916.50	2117.75	USD	5,031.25	
31JUL17	S 1 PD31OCT17	1917.50	2117.75	USD	-5,006.25	
31JUL17	S 1 PD31OCT17	1918.50	2117.75	USD	-4,981.25	
31JUL17	B 3 PD31OCT17	1918.20	2117.75	USD	14,966.25	
	NET 0 AVG BGHT 1916.85 SOLD 1919.92				**460.00**	**0.00**
01AUG17	B 1 PD01NOV17	1910.00	2117.42	USD	5,185.50	
01AUG17	B 1 PD01NOV17	1909.00	2117.42	USD	5,210.50	
01AUG17	B 2 PD01NOV17	1907.00	2117.42	USD	10,521.00	
09AUG17	S 4 PD01NOV17	2026.07	2117.42	USD	-9,135.00	
	NET 0 AVG BGHT 1908.25 SOLD 2026.07				**11,782.00**	**0.00**
02AUG17	S 1 PD02NOV17	1916.00	2117.08	USD	-5,027.00	
02AUG17	S 1 PD02NOV17	1923.00	2117.08	USD	-4,852.00	
02AUG17	S 1 PD02NOV17	1923.50	2117.08	USD	-4,839.50	
02AUG17	S 1 PD02NOV17	1927.50	2117.08	USD	-4,739.50	
02AUG17	S 1 PD02NOV17	1928.00	2117.08	USD	-4,727.00	
09AUG17	B 5 PD02NOV17	2026.45	2117.08	USD	11,328.75	
	NET 0 AVG BGHT 2026.45 SOLD 1923.60				**-12,856.25**	**0.00**
03AUG17	B 2 PD03NOV17	1910.50	2116.75	USD	10,312.50	
03AUG17	S 1 PD03NOV17	1913.00	2116.75	USD	-5,093.75	
03AUG17	S 1 PD03NOV17	1913.50	2116.75	USD	-5,081.25	
	NET 0 AVG BGHT 1910.50 SOLD 1913.25				**137.50**	**0.00**
07AUG17	S 1 PD07NOV17	1929.50	2116.08	USD	-4,664.50	
07AUG17	S 1 PD07NOV17	1930.00	2116.08	USD	-4,652.00	
07AUG17	S 2 PD07NOV17	1929.00	2116.08	USD	-9,354.00	
07AUG17	S 1 PD07NOV17	1951.50	2116.08	USD	-4,114.50	
09AUG17	B 2 PD07NOV17	2027.34	2116.08	USD	4,437.00	
23AUG17	B 3 PD07NOV17	2094.32	2116.08	USD	1,632.00	
	NET 0 AVG BGHT 2067.53 SOLD 1933.80				**-16,716.00**	**0.00**
08AUG17	S 2 PD08NOV17	1999.50	2115.75	USD	-5,812.50	
08AUG17	S 1 PD08NOV17	2002.50	2115.75	USD	-2,831.25	
08AUG17	B 1 PD08NOV17	2006.50	2115.75	USD	2,731.25	
08AUG17	S 3 PD08NOV17	2004.50	2115.75	USD	-8,343.75	
08AUG17	S 1 PD08NOV17	2006.00	2115.75	USD	-2,743.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094S

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
08AUG17	S 1 PD08NOV17	2006.50	2115.75	USD	-2,731.25	
08AUG17	S 1 PD08NOV17	2009.00	2115.75	USD	-2,668.75	
09AUG17	B 8 PD08NOV17	2027.52	2115.75	USD	17,646.00	
	NET 0 AVG BGHT 2025.18 SOLD 2004.06				**-4,754.00**	**0.00**
09AUG17	B 1 PD09NOV17	2016.00	2116.11	USD	2,502.75	
09AUG17	B 1 PD09NOV17	2020.00	2116.11	USD	2,402.75	
23AUG17	S 2 PD09NOV17	2094.61	2116.11	USD	-1,075.00	
	NET 0 AVG BGHT 2018.00 SOLD 2094.61				**3,830.50**	**0.00**
16AUG17	S 1 PD16NOV17	2060.50	2118.52	USD	-1,450.50	
16AUG17	S 1 PD16NOV17	2061.00	2118.52	USD	-1,438.00	
16AUG17	S 1 PD16NOV17	2062.00	2118.52	USD	-1,413.00	
16AUG17	S 1 PD16NOV17	2063.00	2118.52	USD	-1,388.00	
16AUG17	S 1 PD16NOV17	2065.50	2118.52	USD	-1,325.50	
16AUG17	B 1 PD16NOV17	2093.50	2118.52	USD	625.50	
16AUG17	B 2 PD16NOV17	2094.00	2118.52	USD	1,226.00	
16AUG17	B 1 PD16NOV17	2091.50	2118.52	USD	675.50	
16AUG17	B 1 PD16NOV17	2093.00	2118.52	USD	638.00	
	NET 0 AVG BGHT 2093.20 SOLD 2062.40				**-3,850.00**	**0.00**
18AUG17	B 1 PD17NOV17	2065.50	2118.79	USD	1,332.25	
18AUG17	B 1 PD17NOV17	2063.00	2118.79	USD	1,394.75	
23AUG17	S 1 PD17NOV17	2095.71	2118.79	USD	-577.00	
23AUG17	S 1 PD17NOV17	2095.91	2118.79	USD	-572.00	
	NET 0 AVG BGHT 2064.25 SOLD 2095.81				**1,578.00**	**0.00**
21AUG17	B 1 PD21NOV17	2070.00	2119.88	USD	1,247.00	
21AUG17	B 4 PD21NOV17	2074.50	2119.88	USD	4,538.00	
21AUG17	B 1 PD21NOV17	2071.50	2119.88	USD	1,209.50	
21AUG17	B 1 PD21NOV17	2073.00	2119.88	USD	1,172.00	
21AUG17	B 1 PD21NOV17	2076.00	2119.88	USD	1,097.00	
21AUG17	B 1 PD21NOV17	2077.00	2119.88	USD	1,072.00	
21AUG17	S 1 PD21NOV17	2082.50	2119.88	USD	-934.50	
21AUG17	S 1 PD21NOV17	2083.00	2119.88	USD	-922.00	
21AUG17	S 3 PD21NOV17	2083.50	2119.88	USD	-2,728.50	
21AUG17	S 1 PD21NOV17	2078.50	2119.88	USD	-1,034.50	
21AUG17	S 1 PD21NOV17	2079.00	2119.88	USD	-1,022.00	
21AUG17	S 1 PD21NOV17	2086.00	2119.88	USD	-847.00	
21AUG17	S 1 PD21NOV17	2086.50	2119.88	USD	-834.50	
	NET 0 AVG BGHT 2073.94 SOLD 2082.89				**2,012.50**	**0.00**
22AUG17	S 1 PD22NOV17	2073.50	2120.15	USD	-1,166.25	
22AUG17	S 1 PD22NOV17	2078.50	2120.15	USD	-1,041.25	
22AUG17	S 1 PD22NOV17	2079.50	2120.15	USD	-1,016.25	
22AUG17	S 1 PD22NOV17	2083.50	2120.15	USD	-916.25	
22AUG17	S 2 PD22NOV17	2085.00	2120.15	USD	-1,757.50	
22AUG17	S 5 PD22NOV17	2070.60	2120.15	USD	-6,193.75	
22AUG17	B 1 PD22NOV17	2076.50	2120.15	USD	1,091.25	
22AUG17	B 2 PD22NOV17	2076.00	2120.15	USD	2,207.50	
23AUG17	B 6 PD22NOV17	2096.89	2120.15	USD	3,489.00	
30AUG17	B 2 PD22NOV17	2085.96	2120.15	USD	1,709.50	
	NET 0 AVG BGHT 2089.25 SOLD 2076.18				**-3,594.00**	**0.00**
23AUG17	B 1 PD24NOV17	2063.00	2120.69	USD	1,442.25	
23AUG17	B 1 PD24NOV17	2062.50	2120.69	USD	1,454.75	
24AUG17	B 1 PD24NOV17	2092.00	2120.69	USD	717.25	
24AUG17	S 1 PD24NOV17	2120.50	2120.69	USD	-4.75	
25AUG17	B 2 PD24NOV17	2096.00	2120.69	USD	1,234.50	
25AUG17	B 1 PD24NOV17	2096.50	2120.69	USD	604.75	
25AUG17	S 1 PD24NOV17	2096.50	2120.69	USD	-604.75	
25AUG17	B 1 PD24NOV17	2091.50	2120.69	USD	729.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094S

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
25AUG17	B 1 PD24NOV17	2079.00	2120.69	USD	1,042.25	
30AUG17	S 2 PD24NOV17	2086.15	2120.69	USD	-1,727.00	
30AUG17	S 4 PD24NOV17	2086.35	2120.69	USD	-3,434.00	
	NET 0 AVG BGHT 2084.56 SOLD 2091.84				**1,455.00**	**0.00**
29AUG17	B 1 PD29NOV17	2078.50	2122.05	USD	1,088.75	
29AUG17	S 1 PD29NOV17	2077.00	2122.05	USD	-1,126.25	
29AUG17	B 1 PD29NOV17	2077.00	2122.05	USD	1,126.25	
29AUG17	B 1 PD29NOV17	2073.50	2122.05	USD	1,213.75	
29AUG17	S 1 PD29NOV17	2078.50	2122.05	USD	-1,088.75	
29AUG17	S 1 PD29NOV17	2079.50	2122.05	USD	-1,063.75	
29AUG17	S 1 PD29NOV17	2084.00	2122.05	USD	-951.25	
29AUG17	S 1 PD29NOV17	2084.50	2122.05	USD	-938.75	
29AUG17	S 1 PD29NOV17	2086.50	2122.05	USD	-888.75	
29AUG17	S 1 PD29NOV17	2089.00	2122.05	USD	-826.25	
30AUG17	B 4 PD29NOV17	2087.51	2122.05	USD	3,454.00	
	NET 0 AVG BGHT 2082.72 SOLD 2082.71				**-1.00**	**0.00**
30AUG17	S 1 PD30NOV17	2096.50	2122.32	USD	-645.50	
30AUG17	B 1 PD30NOV17	2089.00	2122.32	USD	833.00	
30AUG17	B 1 PD30NOV17	2092.50	2122.32	USD	745.50	
31AUG17	S 1 PD30NOV17	2100.00	2122.32	USD	-558.00	
31AUG17	S 1 PD30NOV17	2117.50	2122.32	USD	-120.50	
31AUG17	B 1 PD30NOV17	2126.00	2122.32	USD	-92.00	
31AUG17	B 1 PD30NOV17	2127.00	2122.32	USD	-117.00	
31AUG17	B 1 PD30NOV17	2131.00	2122.32	USD	-217.00	
31AUG17	B 1 PD30NOV17	2131.50	2122.32	USD	-229.50	
31AUG17	B 2 PD30NOV17	2129.50	2122.32	USD	-359.00	
31AUG17	B 1 PD30NOV17	2130.00	2122.32	USD	-192.00	
31AUG17	B 1 PD30NOV17	2127.50	2122.32	USD	-129.50	
31AUG17	B 1 PD30NOV17	2115.50	2122.32	USD	170.50	
31AUG17	S 1 PD30NOV17	2131.50	2122.32	USD	229.50	
31AUG17	S 3 PD30NOV17	2132.50	2122.32	USD	763.50	
31AUG17	B 1 PD30NOV17	2117.50	2122.32	USD	120.50	
31AUG17	S 2 PD30NOV17	2127.10	2122.32	USD	239.00	
31AUG17	S 2 PD30NOV17	2135.10	2122.32	USD	639.00	
31AUG17	B 1 PD30NOV17	2125.50	2122.32	USD	-79.50	
31AUG17	S 3 PD30NOV17	2116.80	2122.32	USD	-414.00	
06SEP17	B 1 PD30NOV17	2103.08	2122.32	USD	481.00	
	NET 0 AVG BGHT 2119.65 SOLD 2122.70				**1,068.00**	**0.00**
01SEP17	B 1 PD01DEC17	2126.50	2122.59	USD	-97.75	
06SEP17	S 1 PD01DEC17	2103.12	2122.59	USD	-486.75	
	NET 0 AVG BGHT 2126.50 SOLD 2103.12				**-584.50**	**0.00**
04SEP17	B 1 PD04DEC17	2107.00	2123.41	USD	410.25	
04SEP17	B 1 PD04DEC17	2108.50	2123.41	USD	372.75	
06SEP17	S 2 PD04DEC17	2103.83	2123.41	USD	-979.00	
	NET 0 AVG BGHT 2107.75 SOLD 2103.83				**-196.00**	**0.00**
05SEP17	B 1 PD05DEC17	2109.00	2123.68	USD	367.00	
06SEP17	S 1 PD05DEC17	2104.06	2123.68	USD	-490.50	
	NET 0 AVG BGHT 2109.00 SOLD 2104.06				**-123.50**	**0.00**
12SEP17	S 1 PD12DEC17	2135.50	2125.58	USD	248.00	
12SEP17	S 2 PD12DEC17	2134.50	2125.58	USD	446.00	
14SEP17	B 3 PD12DEC17	2097.47	2125.58	USD	2,108.25	
	NET 0 AVG BGHT 2097.47 SOLD 2134.83				**2,802.25**	**0.00**
13SEP17	B 1 PD13DEC17	2132.00	2125.85	USD	-153.75	
13SEP17	B 1 PD13DEC17	2133.50	2125.85	USD	-191.25	
13SEP17	B 1 PD13DEC17	2134.00	2125.85	USD	-203.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094S

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
14SEP17	S 3 PD13DEC17	2097.53	2125.85	USD	-2,124.00	
	NET 0 AVG BGHT 2133.17 SOLD 2097.53				**-2,672.75**	**0.00**
19SEP17	S 1 PD19DEC17	2118.00	2127.48	USD	-237.00	
19SEP17	S 1 PD19DEC17	2118.50	2127.48	USD	-224.50	
19SEP17	S 1 PD19DEC17	2121.50	2127.48	USD	-149.50	
21SEP17	B 2 PD19DEC17	2171.22	2127.48	USD	-2,187.00	
26SEP17	B 1 PD19DEC17	2123.94	2127.48	USD	88.50	
	NET 0 AVG BGHT 2155.46 SOLD 2119.33				**-2,709.50**	**0.00**
20SEP17	B 1 PD20DEC17	2136.00	2127.75	USD	-206.25	
20SEP17	B 1 PD20DEC17	2185.50	2127.75	USD	-1,443.75	
21SEP17	S 2 PD20DEC17	2171.30	2127.75	USD	2,177.50	
	NET 0 AVG BGHT 2160.75 SOLD 2171.30				**527.50**	**0.00**
21SEP17	B 1 PD21DEC17	2182.00	2127.98	USD	-1,350.50	
26SEP17	S 1 PD21DEC17	2124.30	2127.98	USD	-92.00	
	NET 0 AVG BGHT 2182.00 SOLD 2124.30				**-1,442.50**	**0.00**
29SEP17	S 2 PD29DEC17	2131.00	2129.84	USD	58.00	
29SEP17	B 2 PD29DEC17	2128.50	2129.84	USD	67.00	
	NET 0 AVG BGHT 2128.50 SOLD 2131.00				**125.00**	**0.00**
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
05JUL17	B 1 PD05OCT17	5848.50	6468.75	USD	15,506.25	
05JUL17	S 1 PD05OCT17	5849.00	6468.75	USD	-15,493.75	
	NET 0 AVG BGHT 5848.50 SOLD 5849.00				**12.50**	**0.00**
17JUL17	B 1 PD17OCT17	5974.50	6483.98	USD	12,737.00	
17JUL17	B 1 PD17OCT17	5975.50	6483.98	USD	12,712.00	
17JUL17	B 1 PD17OCT17	5976.00	6483.98	USD	12,699.50	
17JUL17	S 5 PD17OCT17	5980.00	6483.98	USD	-62,997.50	
17JUL17	B 2 PD17OCT17	5977.00	6483.98	USD	25,349.00	
17JUL17	S 1 PD17OCT17	5993.50	6483.98	USD	-12,262.00	
17JUL17	B 1 PD17OCT17	6002.00	6483.98	USD	12,049.50	
17JUL17	B 1 PD17OCT17	6006.50	6483.98	USD	11,937.00	
17JUL17	S 1 PD17OCT17	6014.00	6483.98	USD	-11,749.50	
	NET 0 AVG BGHT 5984.07 SOLD 5986.79				**475.00**	**0.00**
19JUL17	S 1 PD19OCT17	6018.50	6486.00	USD	-11,687.50	
19JUL17	B 1 PD19OCT17	6011.00	6486.00	USD	11,875.00	
19JUL17	S 2 PD19OCT17	6018.00	6486.00	USD	-23,400.00	
19JUL17	B 1 PD19OCT17	6012.00	6486.00	USD	11,850.00	
19JUL17	B 1 PD19OCT17	6013.00	6486.00	USD	11,825.00	
19JUL17	S 2 PD19OCT17	6012.00	6486.00	USD	-23,700.00	
19JUL17	B 1 PD19OCT17	6004.50	6486.00	USD	12,037.50	
19JUL17	B 1 PD19OCT17	6006.00	6486.00	USD	12,000.00	
19JUL17	B 1 PD19OCT17	5986.00	6486.00	USD	12,500.00	
19JUL17	S 1 PD19OCT17	5993.50	6486.00	USD	-12,312.50	
	NET 0 AVG BGHT 6005.42 SOLD 6012.00				**987.50**	**0.00**
25JUL17	S 1 PD25OCT17	6143.00	6490.29	USD	-8,682.25	
25JUL17	S 1 PD25OCT17	6143.50	6490.29	USD	-8,669.75	
25JUL17	S 1 PD25OCT17	6166.50	6490.29	USD	-8,094.75	
25JUL17	S 1 PD25OCT17	6167.50	6490.29	USD	-8,069.75	
25JUL17	S 2 PD25OCT17	6163.50	6490.29	USD	-16,339.50	
25JUL17	B 1 PD25OCT17	6180.00	6490.29	USD	7,757.25	
25JUL17	B 1 PD25OCT17	6181.00	6490.29	USD	7,732.25	
25JUL17	B 2 PD25OCT17	6155.50	6490.29	USD	16,739.50	
25JUL17	S 1 PD25OCT17	6185.50	6490.29	USD	-7,619.75	
25JUL17	S 1 PD25OCT17	6186.00	6490.29	USD	-7,607.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094S

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
25JUL17	B 1 PD25OCT17	6190.50	6490.29	USD	7,494.75	
25JUL17	B 1 PD25OCT17	6191.50	6490.29	USD	7,469.75	
25JUL17	S 2 PD25OCT17	6191.00	6490.29	USD	-14,964.50	
25JUL17	B 4 PD25OCT17	6192.00	6490.29	USD	29,829.00	
25JUL17	B 3 PD25OCT17	6192.50	6490.29	USD	22,334.25	
25JUL17	B 3 PD25OCT17	6197.00	6490.29	USD	21,996.75	
25JUL17	B 1 PD25OCT17	6201.00	6490.29	USD	7,232.25	
25JUL17	S 1 PD25OCT17	6220.00	6490.29	USD	-6,757.25	
25JUL17	S 1 PD25OCT17	6222.50	6490.29	USD	-6,694.75	
25JUL17	S 1 PD25OCT17	6224.50	6490.29	USD	-6,644.75	
25JUL17	S 1 PD25OCT17	6229.00	6490.29	USD	-6,532.25	
25JUL17	B 1 PD25OCT17	6214.50	6490.29	USD	6,894.75	
25JUL17	B 2 PD25OCT17	6215.00	6490.29	USD	13,764.50	
25JUL17	B 1 PD25OCT17	6216.50	6490.29	USD	6,844.75	
25JUL17	S 5 PD25OCT17	6207.00	6490.29	USD	-35,411.25	
25JUL17	B 2 PD25OCT17	6211.50	6490.29	USD	13,939.50	
25JUL17	B 3 PD25OCT17	6212.00	6490.29	USD	20,871.75	
25JUL17	B 1 PD25OCT17	6221.50	6490.29	USD	6,719.75	
25JUL17	S 5 PD25OCT17	6217.00	6490.29	USD	-34,161.25	
25JUL17	B 1 PD25OCT17	6224.00	6490.29	USD	6,657.25	
25JUL17	B 1 PD25OCT17	6225.00	6490.29	USD	6,632.25	
25JUL17	B 2 PD25OCT17	6230.50	6490.29	USD	12,989.50	
25JUL17	S 7 PD25OCT17	6190.50	6490.29	USD	-52,463.25	
25JUL17	B 1 PD25OCT17	6249.50	6490.29	USD	6,019.75	
25JUL17	B 1 PD25OCT17	6263.50	6490.29	USD	5,669.75	
25JUL17	B 1 PD25OCT17	6265.00	6490.29	USD	5,632.25	
25JUL17	S 5 PD25OCT17	6248.00	6490.29	USD	-30,286.25	
25JUL17	B 1 PD25OCT17	6247.50	6490.29	USD	6,069.75	
25JUL17	B 1 PD25OCT17	6267.00	6490.29	USD	5,582.25	
	NET 0 AVG BGHT 6209.32 SOLD 6202.51				**-6,125.00**	**0.00**
26JUL17	B 1 PD26OCT17	6277.50	6490.82	USD	5,333.00	
26JUL17	S 1 PD26OCT17	6279.50	6490.82	USD	-5,283.00	
26JUL17	B 1 PD26OCT17	6282.00	6490.82	USD	5,220.50	
26JUL17	S 1 PD26OCT17	6280.00	6490.82	USD	-5,270.50	
26JUL17	S 5 PD26OCT17	6299.50	6490.82	USD	-23,915.00	
26JUL17	B 1 PD26OCT17	6298.00	6490.82	USD	4,820.50	
26JUL17	B 1 PD26OCT17	6299.50	6490.82	USD	4,783.00	
26JUL17	B 2 PD26OCT17	6302.00	6490.82	USD	9,441.00	
26JUL17	B 1 PD26OCT17	6304.50	6490.82	USD	4,658.00	
26JUL17	S 5 PD26OCT17	6300.00	6490.82	USD	-23,852.50	
26JUL17	B 4 PD26OCT17	6305.50	6490.82	USD	18,532.00	
26JUL17	B 1 PD26OCT17	6315.00	6490.82	USD	4,395.50	
	NET 0 AVG BGHT 6300.21 SOLD 6296.42				**-1,137.50**	**0.00**
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
14JUL17	S 1 PD13OCT17	2297.50	2586.85	USD	-7,233.75	
14JUL17	S 1 PD13OCT17	2299.00	2586.85	USD	-7,196.25	
14JUL17	S 1 PD13OCT17	2300.00	2586.85	USD	-7,171.25	
14JUL17	S 1 PD13OCT17	2306.00	2586.85	USD	-7,021.25	
14JUL17	S 1 PD13OCT17	2307.00	2586.85	USD	-6,996.25	
14JUL17	S 1 PD13OCT17	2318.00	2586.85	USD	-6,721.25	
14JUL17	B 1 PD13OCT17	2311.00	2586.85	USD	6,896.25	
14JUL17	S 1 PD13OCT17	2317.00	2586.85	USD	-6,746.25	
26JUL17	B 6 PD13OCT17	2311.44	2586.85	USD	41,311.50	
	NET 0 AVG BGHT 2311.38 SOLD 2306.36				**-878.50**	**0.00**
17JUL17	B 1 PD17OCT17	2319.00	2587.77	USD	6,719.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094S

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
17JUL17	B	2	PD17OCT17	2320.00	2587.77	USD	13,388.50	
17JUL17	B	2	PD17OCT17	2322.00	2587.77	USD	13,288.50	
17JUL17	B	1	PD17OCT17	2324.00	2587.77	USD	6,594.25	
17JUL17	B	2	PD17OCT17	2318.50	2587.77	USD	13,463.50	
17JUL17	B	1	PD17OCT17	2297.50	2587.77	USD	7,256.75	
26JUL17	S	6	PD17OCT17	2312.49	2587.77	USD	-41,292.00	
26JUL17	S	3	PD17OCT17	2312.69	2587.77	USD	-20,631.00	
	NET	**0**	**AVG BGHT 2317.94**	**SOLD 2312.56**			**-1,212.25**	**0.00**
18JUL17	S	1	PD18OCT17	2287.50	2588.00	USD	-7,512.50	
18JUL17	S	1	PD18OCT17	2290.00	2588.00	USD	-7,450.00	
18JUL17	S	1	PD18OCT17	2286.00	2588.00	USD	-7,550.00	
18JUL17	B	2	PD18OCT17	2271.50	2588.00	USD	15,825.00	
18JUL17	B	1	PD18OCT17	2272.00	2588.00	USD	7,900.00	
18JUL17	B	1	PD18OCT17	2272.50	2588.00	USD	7,887.50	
18JUL17	S	1	PD18OCT17	2270.00	2588.00	USD	-7,950.00	
18JUL17	B	1	PD18OCT17	2265.50	2588.00	USD	8,062.50	
18JUL17	S	2	PD18OCT17	2275.00	2588.00	USD	-15,650.00	
18JUL17	S	1	PD18OCT17	2274.50	2588.00	USD	-7,837.50	
18JUL17	S	1	PD18OCT17	2276.00	2588.00	USD	-7,800.00	
26JUL17	B	3	PD18OCT17	2313.20	2588.00	USD	20,610.00	
	NET	**0**	**AVG BGHT 2286.58**	**SOLD 2279.25**			**-1,465.00**	**0.00**
19JUL17	B	2	PD19OCT17	2241.00	2588.00	USD	17,350.00	
19JUL17	B	1	PD19OCT17	2238.50	2588.00	USD	8,737.50	
19JUL17	S	1	PD19OCT17	2211.50	2588.00	USD	-9,412.50	
26JUL17	S	2	PD19OCT17	2312.25	2588.00	USD	-13,787.50	
	NET	**0**	**AVG BGHT 2240.17**	**SOLD 2278.67**			**2,887.50**	**0.00**
20JUL17	S	1	PD20OCT17	2226.00	2588.00	USD	-9,050.00	
20JUL17	S	1	PD20OCT17	2227.50	2588.00	USD	-9,012.50	
26JUL17	B	2	PD20OCT17	2312.56	2588.00	USD	13,772.00	
	NET	**0**	**AVG BGHT 2312.56**	**SOLD 2226.75**			**-4,290.50**	**0.00**
24JUL17	B	2	PD24OCT17	2258.00	2588.00	USD	16,500.00	
24JUL17	S	1	PD24OCT17	2258.00	2588.00	USD	-8,250.00	
24JUL17	S	1	PD24OCT17	2260.00	2588.00	USD	-8,200.00	
	NET	**0**	**AVG BGHT 2258.00**	**SOLD 2259.00**			**50.00**	**0.00**
25JUL17	S	1	PD25OCT17	2312.00	2588.00	USD	-6,900.00	
25JUL17	S	1	PD25OCT17	2313.50	2588.00	USD	-6,862.50	
25JUL17	S	1	PD25OCT17	2313.00	2588.00	USD	-6,875.00	
25JUL17	S	1	PD25OCT17	2314.00	2588.00	USD	-6,850.00	
25JUL17	S	1	PD25OCT17	2317.00	2588.00	USD	-6,775.00	
25JUL17	S	1	PD25OCT17	2320.00	2588.00	USD	-6,700.00	
25JUL17	S	1	PD25OCT17	2321.50	2588.00	USD	-6,662.50	
27JUL17	B	5	PD25OCT17	2308.89	2588.00	USD	34,888.75	
28JUL17	B	2	PD25OCT17	2319.75	2588.00	USD	13,412.50	
	NET	**0**	**AVG BGHT 2311.99**	**SOLD 2315.86**			**676.25**	**0.00**
26JUL17	S	2	PD26OCT17	2333.00	2588.00	USD	-12,750.00	
26JUL17	S	1	PD26OCT17	2333.50	2588.00	USD	-6,362.50	
26JUL17	B	1	PD26OCT17	2316.50	2588.00	USD	6,787.50	
26JUL17	S	1	PD26OCT17	2318.00	2588.00	USD	-6,750.00	
26JUL17	S	1	PD26OCT17	2319.00	2588.00	USD	-6,725.00	
26JUL17	S	1	PD26OCT17	2322.00	2588.00	USD	-6,650.00	
26JUL17	B	1	PD26OCT17	2314.50	2588.00	USD	6,837.50	
26JUL17	B	2	PD26OCT17	2316.00	2588.00	USD	13,600.00	
26JUL17	B	1	PD26OCT17	2318.50	2588.00	USD	6,737.50	
26JUL17	S	1	PD26OCT17	2316.00	2588.00	USD	-6,800.00	
26JUL17	S	1	PD26OCT17	2316.50	2588.00	USD	-6,787.50	
26JUL17	S	2	PD26OCT17	2317.50	2588.00	USD	-13,525.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094S

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
26JUL17	S	1	PD26OCT17	2318.50	2588.00	USD	-6,737.50	
26JUL17	S	1	PD26OCT17	2320.50	2588.00	USD	-6,687.50	
26JUL17	B	1	PD26OCT17	2307.00	2588.00	USD	7,025.00	
26JUL17	B	1	PD26OCT17	2309.50	2588.00	USD	6,962.50	
26JUL17	B	3	PD26OCT17	2311.50	2588.00	USD	20,737.50	
26JUL17	B	1	PD26OCT17	2310.00	2588.00	USD	6,950.00	
26JUL17	S	4	PD26OCT17	2313.00	2588.00	USD	-27,500.00	
26JUL17	B	4	PD26OCT17	2311.00	2588.00	USD	27,700.00	
26JUL17	B	1	PD26OCT17	2307.50	2588.00	USD	7,012.50	
	NET	**0**	**AVG BGHT 2312.13**	**SOLD 2319.81**			**3,075.00**	**0.00**
27JUL17	B	1	PD27OCT17	2332.00	2588.00	USD	6,400.00	
27JUL17	B	1	PD27OCT17	2330.50	2588.00	USD	6,437.50	
28JUL17	S	2	PD27OCT17	2319.80	2588.00	USD	-13,410.00	
	NET	**0**	**AVG BGHT 2331.25**	**SOLD 2319.80**			**-572.50**	**0.00**
31JUL17	B	1	PD31OCT17	2327.00	2588.00	USD	6,525.00	
31JUL17	B	1	PD31OCT17	2330.00	2588.00	USD	6,450.00	
31JUL17	B	1	PD31OCT17	2333.00	2588.00	USD	6,375.00	
31JUL17	B	1	PD31OCT17	2336.50	2588.00	USD	6,287.50	
31JUL17	B	1	PD31OCT17	2326.00	2588.00	USD	6,550.00	
11AUG17	S	5	PD31OCT17	2322.94	2588.00	USD	-33,132.50	
	NET	**0**	**AVG BGHT 2330.50**	**SOLD 2322.94**			**-945.00**	**0.00**
01AUG17	S	2	PD01NOV17	2323.00	2588.00	USD	-13,250.00	
01AUG17	S	2	PD01NOV17	2323.50	2588.00	USD	-13,225.00	
01AUG17	S	1	PD01NOV17	2324.50	2588.00	USD	-6,587.50	
09AUG17	B	5	PD01NOV17	2348.73	2588.00	USD	29,908.75	
	NET	**0**	**AVG BGHT 2348.73**	**SOLD 2323.50**			**-3,153.75**	**0.00**
02AUG17	S	1	PD02NOV17	2360.50	2588.00	USD	-5,687.50	
02AUG17	S	1	PD02NOV17	2364.00	2588.00	USD	-5,600.00	
09AUG17	B	2	PD02NOV17	2348.94	2588.00	USD	11,953.00	
29SEP17	S	16	PD02NOV17	2492.15	2588.00	USD	-38,340.00	
	NET	**-16**	**AVG BGHT 2348.94**	**SOLD 2477.72**			**-37,674.50**	**0.00**
03AUG17	B	1	PD03NOV17	2373.00	2588.00	USD	5,375.00	
03AUG17	B	1	PD03NOV17	2374.00	2588.00	USD	5,350.00	
03AUG17	S	2	PD03NOV17	2355.50	2588.00	USD	-11,625.00	
03AUG17	B	1	PD03NOV17	2364.50	2588.00	USD	5,587.50	
04AUG17	S	1	PD03NOV17	2372.50	2588.00	USD	-5,387.50	
04AUG17	S	1	PD03NOV17	2373.00	2588.00	USD	-5,375.00	
04AUG17	B	1	PD03NOV17	2351.50	2588.00	USD	5,912.50	
04AUG17	B	2	PD03NOV17	2353.00	2588.00	USD	11,750.00	
09AUG17	S	2	PD03NOV17	2348.95	2588.00	USD	-11,952.50	
	NET	**0**	**AVG BGHT 2361.50**	**SOLD 2359.07**			**-365.00**	**0.00**
07AUG17	B	1	PD07NOV17	2363.00	2588.00	USD	5,625.00	
07AUG17	S	1	PD07NOV17	2365.00	2588.00	USD	-5,575.00	
	NET	**0**	**AVG BGHT 2363.00**	**SOLD 2365.00**			**50.00**	**0.00**
08AUG17	B	1	PD08NOV17	2355.00	2588.00	USD	5,825.00	
08AUG17	B	1	PD08NOV17	2352.50	2588.00	USD	5,887.50	
08AUG17	B	1	PD08NOV17	2353.00	2588.00	USD	5,875.00	
08AUG17	B	1	PD08NOV17	2354.00	2588.00	USD	5,850.00	
08AUG17	B	1	PD08NOV17	2356.00	2588.00	USD	5,800.00	
09AUG17	S	5	PD08NOV17	2349.80	2588.00	USD	-29,775.00	
	NET	**0**	**AVG BGHT 2354.10**	**SOLD 2349.80**			**-537.50**	**0.00**
18AUG17	B	1	PD17NOV17	2362.50	2588.26	USD	5,644.00	
18AUG17	B	1	PD17NOV17	2358.50	2588.26	USD	5,744.00	
18AUG17	B	2	PD17NOV17	2359.00	2588.26	USD	11,463.00	
18AUG17	S	1	PD17NOV17	2358.00	2588.26	USD	-5,756.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094S

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
23AUG17	S 3 PD17NOV17	2375.25	2588.26	USD	-15,975.75	
	NET 0 AVG BGHT 2359.75 SOLD 2370.94				**1,118.75**	**0.00**
21AUG17	B 1 PD21NOV17	2357.50	2588.77	USD	5,781.75	
21AUG17	B 2 PD21NOV17	2360.00	2588.77	USD	11,438.50	
21AUG17	B 1 PD21NOV17	2355.50	2588.77	USD	5,831.75	
21AUG17	S 1 PD21NOV17	2349.50	2588.77	USD	-5,981.75	
21AUG17	S 1 PD21NOV17	2344.50	2588.77	USD	-6,106.75	
21AUG17	S 1 PD21NOV17	2348.00	2588.77	USD	-6,019.25	
23AUG17	S 1 PD21NOV17	2376.25	2588.77	USD	-5,313.00	
	NET 0 AVG BGHT 2358.25 SOLD 2354.56				**-368.75**	**0.00**
22AUG17	B 1 PD22NOV17	2339.00	2588.90	USD	6,247.50	
22AUG17	B 1 PD22NOV17	2351.00	2588.90	USD	5,947.50	
22AUG17	S 1 PD22NOV17	2371.00	2588.90	USD	-5,447.50	
22AUG17	S 1 PD22NOV17	2371.50	2588.90	USD	-5,435.00	
22AUG17	S 1 PD22NOV17	2374.50	2588.90	USD	-5,360.00	
22AUG17	S 1 PD22NOV17	2375.00	2588.90	USD	-5,347.50	
22AUG17	S 1 PD22NOV17	2377.50	2588.90	USD	-5,285.00	
22AUG17	S 1 PD22NOV17	2381.50	2588.90	USD	-5,185.00	
23AUG17	B 4 PD22NOV17	2376.70	2588.90	USD	21,220.00	
	NET 0 AVG BGHT 2366.13 SOLD 2375.17				**1,355.00**	**0.00**
24AUG17	B 1 PD24NOV17	2362.00	2589.16	USD	5,679.00	
24AUG17	B 1 PD24NOV17	2361.00	2589.16	USD	5,704.00	
24AUG17	S 1 PD24NOV17	2360.00	2589.16	USD	-5,729.00	
24AUG17	S 1 PD24NOV17	2357.00	2589.16	USD	-5,804.00	
	NET 0 AVG BGHT 2361.50 SOLD 2358.50				**-150.00**	**0.00**
29AUG17	B 1 PD29NOV17	2343.00	2589.80	USD	6,170.00	
29AUG17	B 1 PD29NOV17	2344.00	2589.80	USD	6,145.00	
29AUG17	B 1 PD29NOV17	2347.00	2589.80	USD	6,070.00	
29AUG17	B 1 PD29NOV17	2351.00	2589.80	USD	5,970.00	
29AUG17	B 1 PD29NOV17	2345.00	2589.80	USD	6,120.00	
29AUG17	S 1 PD29NOV17	2350.50	2589.80	USD	-5,982.50	
29AUG17	S 1 PD29NOV17	2353.50	2589.80	USD	-5,907.50	
29AUG17	S 1 PD29NOV17	2372.50	2589.80	USD	-5,432.50	
29AUG17	S 1 PD29NOV17	2374.00	2589.80	USD	-5,395.00	
29AUG17	S 1 PD29NOV17	2375.50	2589.80	USD	-5,357.50	
	NET 0 AVG BGHT 2346.00 SOLD 2365.20				**2,400.00**	**0.00**
01SEP17	B 1 PD01DEC17	2402.00	2590.06	USD	4,701.50	
06SEP17	S 1 PD01DEC17	2338.63	2590.06	USD	-6,285.75	
	NET 0 AVG BGHT 2402.00 SOLD 2338.63				**-1,584.25**	**0.00**
04SEP17	S 1 PD04DEC17	2393.00	2590.44	USD	-4,936.00	
06SEP17	B 1 PD04DEC17	2339.65	2590.44	USD	6,269.75	
	NET 0 AVG BGHT 2339.65 SOLD 2393.00				**1,333.75**	**0.00**
05SEP17	B 1 PD05DEC17	2396.50	2590.57	USD	4,851.75	
05SEP17	B 1 PD05DEC17	2399.00	2590.57	USD	4,789.25	
05SEP17	B 1 PD05DEC17	2339.00	2590.57	USD	6,289.25	
05SEP17	S 2 PD05DEC17	2328.00	2590.57	USD	-13,128.50	
05SEP17	S 1 PD05DEC17	2331.50	2590.57	USD	-6,476.75	
	NET 0 AVG BGHT 2378.17 SOLD 2329.17				**-3,675.00**	**0.00**
07SEP17	B 1 PD07DEC17	2331.50	2590.83	USD	6,483.25	
07SEP17	B 2 PD07DEC17	2330.50	2590.83	USD	13,016.50	
07SEP17	B 1 PD07DEC17	2332.00	2590.83	USD	6,470.75	
07SEP17	B 1 PD07DEC17	2332.50	2590.83	USD	6,458.25	
07SEP17	B 1 PD07DEC17	2345.50	2590.83	USD	6,133.25	
07SEP17	B 1 PD07DEC17	2346.00	2590.83	USD	6,120.75	
14SEP17	S 7 PD07DEC17	2305.01	2590.83	USD	-50,018.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094S

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
	NET 0 AVG BGHT 2335.50 SOLD 2305.01				**-5,335.75**	**0.00**
08SEP17	B 1 PD08DEC17	2295.50	2590.96	USD	7,386.50	
08SEP17	B 1 PD08DEC17	2281.00	2590.96	USD	7,749.00	
08SEP17	B 1 PD08DEC17	2281.50	2590.96	USD	7,736.50	
08SEP17	S 2 PD08DEC17	2270.00	2590.96	USD	-16,048.00	
08SEP17	S 1 PD08DEC17	2266.50	2590.96	USD	-8,111.50	
08SEP17	S 2 PD08DEC17	2267.00	2590.96	USD	-16,198.00	
08SEP17	S 2 PD08DEC17	2265.00	2590.96	USD	-16,298.00	
14SEP17	B 4 PD08DEC17	2305.49	2590.96	USD	28,547.00	
	NET 0 AVG BGHT 2297.14 SOLD 2267.21				**-5,236.50**	**0.00**
11SEP17	B 1 PD11DEC17	2271.50	2591.34	USD	7,996.00	
11SEP17	B 1 PD11DEC17	2272.00	2591.34	USD	7,983.50	
11SEP17	B 1 PD11DEC17	2276.00	2591.34	USD	7,883.50	
11SEP17	B 1 PD11DEC17	2277.50	2591.34	USD	7,846.00	
11SEP17	B 1 PD11DEC17	2282.00	2591.34	USD	7,733.50	
11SEP17	S 1 PD11DEC17	2287.50	2591.34	USD	-7,596.00	
11SEP17	S 1 PD11DEC17	2277.50	2591.34	USD	-7,846.00	
11SEP17	S 1 PD11DEC17	2279.50	2591.34	USD	-7,796.00	
14SEP17	S 2 PD11DEC17	2306.15	2591.34	USD	-14,259.50	
	NET 0 AVG BGHT 2275.80 SOLD 2291.36				**1,945.00**	**0.00**
12SEP17	S 2 PD12DEC17	2279.50	2591.47	USD	-15,598.50	
12SEP17	S 1 PD12DEC17	2280.00	2591.47	USD	-7,786.75	
12SEP17	S 2 PD12DEC17	2285.50	2591.47	USD	-15,298.50	
12SEP17	S 1 PD12DEC17	2301.50	2591.47	USD	-7,249.25	
12SEP17	S 1 PD12DEC17	2304.00	2591.47	USD	-7,186.75	
12SEP17	B 2 PD12DEC17	2306.00	2591.47	USD	14,273.50	
14SEP17	B 5 PD12DEC17	2306.63	2591.47	USD	35,605.00	
	NET 0 AVG BGHT 2306.45 SOLD 2287.93				**-3,241.25**	**0.00**
13SEP17	B 2 PD13DEC17	2290.00	2591.60	USD	15,080.00	
13SEP17	B 1 PD13DEC17	2276.00	2591.60	USD	7,890.00	
13SEP17	B 1 PD13DEC17	2277.50	2591.60	USD	7,852.50	
13SEP17	S 2 PD13DEC17	2295.50	2591.60	USD	-14,805.00	
13SEP17	S 2 PD13DEC17	2296.00	2591.60	USD	-14,780.00	
	NET 0 AVG BGHT 2283.38 SOLD 2295.75				**1,237.50**	**0.00**
14SEP17	S 1 PD14DEC17	2304.00	2591.73	USD	-7,193.25	
14SEP17	S 1 PD14DEC17	2307.50	2591.73	USD	-7,105.75	
14SEP17	S 1 PD14DEC17	2308.00	2591.73	USD	-7,093.25	
14SEP17	B 1 PD14DEC17	2323.00	2591.73	USD	6,718.25	
14SEP17	B 1 PD14DEC17	2324.00	2591.73	USD	6,693.25	
21SEP17	B 1 PD14DEC17	2517.91	2591.73	USD	1,845.50	
	NET 0 AVG BGHT 2388.30 SOLD 2306.50				**-6,135.25**	**0.00**
15SEP17	B 1 PD15DEC17	2323.50	2591.86	USD	6,709.00	
15SEP17	S 1 PD15DEC17	2348.50	2591.86	USD	-6,084.00	
15SEP17	S 1 PD15DEC17	2349.00	2591.86	USD	-6,071.50	
15SEP17	S 1 PD15DEC17	2360.50	2591.86	USD	-5,784.00	
15SEP17	S 1 PD15DEC17	2350.00	2591.86	USD	-6,046.50	
15SEP17	S 1 PD15DEC17	2361.00	2591.86	USD	-5,771.50	
15SEP17	B 2 PD15DEC17	2366.00	2591.86	USD	11,293.00	
15SEP17	B 2 PD15DEC17	2366.50	2591.86	USD	11,268.00	
	NET 0 AVG BGHT 2357.70 SOLD 2353.80				**-487.50**	**0.00**
18SEP17	B 1 PD18DEC17	2388.00	2592.24	USD	5,106.00	
21SEP17	S 1 PD18DEC17	2517.77	2592.24	USD	-1,861.75	
	NET 0 AVG BGHT 2388.00 SOLD 2517.77				**3,244.25**	**0.00**
19SEP17	S 1 PD19DEC17	2411.50	2592.37	USD	-4,521.75	
19SEP17	S 1 PD19DEC17	2413.50	2592.37	USD	-4,471.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094S

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
19SEP17	S	3	PD19DEC17	2415.00	2592.37	USD	-13,302.75	
19SEP17	S	1	PD19DEC17	2416.00	2592.37	USD	-4,409.25	
19SEP17	S	1	PD19DEC17	2447.50	2592.37	USD	-3,621.75	
19SEP17	B	1	PD19DEC17	2423.50	2592.37	USD	4,221.75	
19SEP17	B	2	PD19DEC17	2424.50	2592.37	USD	8,393.50	
19SEP17	B	4	PD19DEC17	2424.00	2592.37	USD	16,837.00	
	NET	**0**	**AVG BGHT 2424.07**	**SOLD 2419.07**			**-875.00**	**0.00**
20SEP17	S	1	PD20DEC17	2442.00	2592.50	USD	-3,762.50	
20SEP17	S	1	PD20DEC17	2442.50	2592.50	USD	-3,750.00	
20SEP17	S	1	PD20DEC17	2464.00	2592.50	USD	-3,212.50	
20SEP17	S	2	PD20DEC17	2440.50	2592.50	USD	-7,600.00	
20SEP17	S	1	PD20DEC17	2457.50	2592.50	USD	-3,375.00	
20SEP17	S	1	PD20DEC17	2458.50	2592.50	USD	-3,350.00	
20SEP17	S	1	PD20DEC17	2465.00	2592.50	USD	-3,187.50	
20SEP17	S	1	PD20DEC17	2469.50	2592.50	USD	-3,075.00	
20SEP17	S	1	PD20DEC17	2453.50	2592.50	USD	-3,475.00	
21SEP17	B	10	PD20DEC17	2518.20	2592.50	USD	18,575.00	
	NET	**0**	**AVG BGHT 2518.20**	**SOLD 2453.35**			**-16,212.50**	**0.00**
21SEP17	S	1	PD21DEC17	2446.50	2592.29	USD	-3,644.75	
21SEP17	S	1	PD21DEC17	2447.00	2592.29	USD	-3,632.25	
21SEP17	S	1	PD21DEC17	2445.00	2592.29	USD	-3,682.25	
21SEP17	S	1	PD21DEC17	2445.50	2592.29	USD	-3,669.75	
21SEP17	S	1	PD21DEC17	2464.50	2592.29	USD	-3,194.75	
21SEP17	S	1	PD21DEC17	2473.50	2592.29	USD	-2,969.75	
21SEP17	S	1	PD21DEC17	2443.00	2592.29	USD	-3,732.25	
21SEP17	S	1	PD21DEC17	2475.50	2592.29	USD	-2,919.75	
21SEP17	S	1	PD21DEC17	2476.50	2592.29	USD	-2,894.75	
21SEP17	S	1	PD21DEC17	2474.50	2592.29	USD	-2,944.75	
21SEP17	S	1	PD21DEC17	2470.50	2592.29	USD	-3,044.75	
21SEP17	B	1	PD21DEC17	2467.00	2592.29	USD	3,132.25	
21SEP17	B	1	PD21DEC17	2473.00	2592.29	USD	2,982.25	
21SEP17	B	1	PD21DEC17	2475.50	2592.29	USD	2,919.75	
21SEP17	B	1	PD21DEC17	2476.50	2592.29	USD	2,894.75	
21SEP17	B	1	PD21DEC17	2479.00	2592.29	USD	2,832.25	
21SEP17	B	1	PD21DEC17	2480.50	2592.29	USD	2,794.75	
21SEP17	B	1	PD21DEC17	2481.00	2592.29	USD	2,782.25	
21SEP17	B	1	PD21DEC17	2484.00	2592.29	USD	2,707.25	
21SEP17	B	1	PD21DEC17	2469.00	2592.29	USD	3,082.25	
21SEP17	B	2	PD21DEC17	2472.00	2592.29	USD	6,014.50	
21SEP17	B	1	PD21DEC17	2456.50	2592.29	USD	3,394.75	
21SEP17	B	1	PD21DEC17	2459.00	2592.29	USD	3,332.25	
21SEP17	S	2	PD21DEC17	2462.50	2592.29	USD	-6,489.50	
	NET	**0**	**AVG BGHT 2472.69**	**SOLD 2460.54**			**-3,950.00**	**0.00**
22SEP17	B	1	PD22DEC17	2415.00	2592.07	USD	4,426.75	
22SEP17	B	2	PD22DEC17	2416.00	2592.07	USD	8,803.50	
22SEP17	B	1	PD22DEC17	2383.00	2592.07	USD	5,226.75	
22SEP17	B	1	PD22DEC17	2385.50	2592.07	USD	5,164.25	
22SEP17	B	1	PD22DEC17	2386.00	2592.07	USD	5,151.75	
22SEP17	B	1	PD22DEC17	2392.00	2592.07	USD	5,001.75	
22SEP17	B	1	PD22DEC17	2392.50	2592.07	USD	4,989.25	
22SEP17	S	2	PD22DEC17	2419.50	2592.07	USD	-8,628.50	
22SEP17	S	1	PD22DEC17	2420.50	2592.07	USD	-4,289.25	
22SEP17	S	1	PD22DEC17	2421.50	2592.07	USD	-4,264.25	
22SEP17	S	1	PD22DEC17	2435.50	2592.07	USD	-3,914.25	
22SEP17	S	1	PD22DEC17	2443.50	2592.07	USD	-3,714.25	
22SEP17	S	1	PD22DEC17	2444.00	2592.07	USD	-3,701.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094S

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
22SEP17	S 1 PD22DEC17	2447.00	2592.07	USD	-3,626.75	
22SEP17	S 1 PD22DEC17	2449.50	2592.07	USD	-3,564.25	
22SEP17	S 1 PD22DEC17	2452.00	2592.07	USD	-3,501.75	
22SEP17	B 2 PD22DEC17	2416.50	2592.07	USD	8,778.50	
22SEP17	S 1 PD22DEC17	2482.00	2592.07	USD	-2,751.75	
22SEP17	S 1 PD22DEC17	2481.50	2592.07	USD	-2,764.25	
22SEP17	S 1 PD22DEC17	2473.50	2592.07	USD	-2,964.25	
22SEP17	B 1 PD22DEC17	2483.50	2592.07	USD	2,714.25	
22SEP17	B 1 PD22DEC17	2484.00	2592.07	USD	2,701.75	
22SEP17	B 1 PD22DEC17	2489.00	2592.07	USD	2,576.75	
22SEP17	B 1 PD22DEC17	2488.00	2592.07	USD	2,601.75	
22SEP17	S 1 PD22DEC17	2475.50	2592.07	USD	-2,914.25	
	NET 0 AVG BGHT 2425.96 SOLD 2447.50				**7,537.50**	**0.00**
25SEP17	B 1 PD27DEC17	2483.50	2591.86	USD	2,709.00	
25SEP17	B 1 PD27DEC17	2484.50	2591.86	USD	2,684.00	
25SEP17	B 1 PD27DEC17	2481.50	2591.86	USD	2,759.00	
25SEP17	B 1 PD27DEC17	2477.50	2591.86	USD	2,859.00	
25SEP17	B 1 PD27DEC17	2480.50	2591.86	USD	2,784.00	
26SEP17	B 1 PD27DEC17	2484.00	2591.86	USD	2,696.50	
26SEP17	B 2 PD27DEC17	2488.50	2591.86	USD	5,168.00	
26SEP17	B 2 PD27DEC17	2490.00	2591.86	USD	5,093.00	
26SEP17	S 1 PD27DEC17	2502.50	2591.86	USD	-2,234.00	
26SEP17	S 1 PD27DEC17	2509.00	2591.86	USD	-2,071.50	
26SEP17	S 1 PD27DEC17	2510.00	2591.86	USD	-2,046.50	
26SEP17	B 3 PD27DEC17	2494.00	2591.86	USD	7,339.50	
26SEP17	S 10 PD27DEC17	2487.80	2591.86	USD	-26,015.00	
27SEP17	B 1 PD27DEC17	2479.50	2591.86	USD	2,809.00	
27SEP17	B 1 PD27DEC17	2480.00	2591.86	USD	2,796.50	
27SEP17	S 1 PD27DEC17	2457.50	2591.86	USD	-3,359.00	
27SEP17	S 1 PD27DEC17	2452.00	2591.86	USD	-3,496.50	
	NET 0 AVG BGHT 2486.00 SOLD 2487.27				**475.00**	**0.00**
28SEP17	S 1 PD28DEC17	2458.50	2591.64	USD	-3,328.50	
28SEP17	S 1 PD28DEC17	2460.50	2591.64	USD	-3,278.50	
28SEP17	S 1 PD28DEC17	2465.00	2591.64	USD	-3,166.00	
28SEP17	S 1 PD28DEC17	2465.50	2591.64	USD	-3,153.50	
28SEP17	S 1 PD28DEC17	2467.00	2591.64	USD	-3,116.00	
28SEP17	B 2 PD28DEC17	2464.50	2591.64	USD	6,357.00	
28SEP17	B 1 PD28DEC17	2468.00	2591.64	USD	3,091.00	
28SEP17	B 1 PD28DEC17	2472.50	2591.64	USD	2,978.50	
28SEP17	B 1 PD28DEC17	2473.00	2591.64	USD	2,966.00	
28SEP17	S 1 PD28DEC17	2475.00	2591.64	USD	-2,916.00	
28SEP17	S 1 PD28DEC17	2473.50	2591.64	USD	-2,953.50	
28SEP17	B 1 PD28DEC17	2459.50	2591.64	USD	3,303.50	
28SEP17	B 1 PD28DEC17	2460.50	2591.64	USD	3,278.50	
28SEP17	B 1 PD28DEC17	2461.00	2591.64	USD	3,266.00	
28SEP17	B 1 PD28DEC17	2465.50	2591.64	USD	3,153.50	
28SEP17	B 1 PD28DEC17	2462.50	2591.64	USD	3,228.50	
28SEP17	S 1 PD28DEC17	2471.50	2591.64	USD	-3,003.50	
28SEP17	S 3 PD28DEC17	2473.00	2591.64	USD	-8,898.00	
28SEP17	S 4 PD28DEC17	2474.00	2591.64	USD	-11,764.00	
28SEP17	S 1 PD28DEC17	2483.00	2591.64	USD	-2,716.00	
28SEP17	S 1 PD28DEC17	2484.50	2591.64	USD	-2,678.50	
28SEP17	S 1 PD28DEC17	2479.50	2591.64	USD	-2,803.50	
28SEP17	S 2 PD28DEC17	2485.00	2591.64	USD	-5,332.00	
28SEP17	S 1 PD28DEC17	2487.00	2591.64	USD	-2,616.00	
	NET -11 AVG BGHT 2465.15 SOLD 2474.07				**-30,101.00**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094S

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
29SEP17	S 1 PD29DEC17	2487.00	2591.43	USD	-2,610.75	
29SEP17	S 1 PD29DEC17	2491.50	2591.43	USD	-2,498.25	
29SEP17	S 1 PD29DEC17	2503.00	2591.43	USD	-2,210.75	
29SEP17	S 1 PD29DEC17	2491.00	2591.43	USD	-2,510.75	
29SEP17	B 1 PD29DEC17	2495.50	2591.43	USD	2,398.25	
29SEP17	B 1 PD29DEC17	2495.00	2591.43	USD	2,410.75	
29SEP17	B 1 PD29DEC17	2496.00	2591.43	USD	2,385.75	
29SEP17	B 3 PD29DEC17	2497.50	2591.43	USD	7,044.75	
29SEP17	B 1 PD29DEC17	2496.50	2591.43	USD	2,373.25	
29SEP17	B 1 PD29DEC17	2487.00	2591.43	USD	2,610.75	
29SEP17	B 3 PD29DEC17	2488.00	2591.43	USD	7,757.25	
29SEP17	B 2 PD29DEC17	2489.00	2591.43	USD	5,121.50	
	NET +9 AVG BGHT 2492.65 SOLD 2493.13				**22,271.75**	**0.00**
03OCT17	S 1 PD03JAN18	2542.50	2591.00	USD	-1,212.50	
03OCT17	S 1 PD03JAN18	2563.50	2591.00	USD	-687.50	
03OCT17	S 1 PD03JAN18	2564.00	2591.00	USD	-675.00	
03OCT17	B 1 PD03JAN18	2594.50	2591.00	USD	-87.50	
03OCT17	B 2 PD03JAN18	2597.00	2591.00	USD	-300.00	
	NET 0 AVG BGHT 2596.17 SOLD 2556.67				**-2,962.50**	**0.00**
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
04JUL17	B 1 PD04OCT17	9195.00	10531.23	USD	8,017.38	
17JUL17	S 1 PD04OCT17	9591.42	10531.23	USD	-5,638.86	
	NET 0 AVG BGHT 9195.00 SOLD 9591.42				**2,378.52**	**0.00**
07JUL17	B 1 PD06OCT17	8975.00	10533.77	USD	9,352.62	
07JUL17	B 1 PD06OCT17	8970.00	10533.77	USD	9,382.62	
27JUL17	S 2 PD06OCT17	10123.14	10533.77	USD	-4,927.56	
	NET 0 AVG BGHT 8972.50 SOLD 10123.14				**13,807.68**	**0.00**
10JUL17	S 1 PD10OCT17	9010.00	10538.85	USD	-9,173.10	
17JUL17	B 1 PD10OCT17	9596.38	10538.85	USD	5,654.82	
	NET 0 AVG BGHT 9596.38 SOLD 9010.00				**-3,518.28**	**0.00**
11JUL17	S 1 PD11OCT17	9170.00	10540.12	USD	-8,220.72	
11JUL17	S 2 PD11OCT17	9175.00	10540.12	USD	-16,381.44	
17JUL17	B 3 PD11OCT17	9597.04	10540.12	USD	16,975.44	
	NET 0 AVG BGHT 9597.04 SOLD 9173.33				**-7,626.72**	**0.00**
12JUL17	B 2 PD12OCT17	9220.00	10541.38	USD	15,856.56	
12JUL17	B 1 PD12OCT17	9210.00	10541.38	USD	7,988.28	
27JUL17	S 3 PD12OCT17	10126.57	10541.38	USD	-7,466.58	
	NET 0 AVG BGHT 9216.67 SOLD 10126.57				**16,378.26**	**0.00**
18JUL17	S 1 PD18OCT17	9635.00	10549.00	USD	-5,484.00	
18JUL17	S 1 PD18OCT17	9640.00	10549.00	USD	-5,454.00	
27JUL17	B 2 PD18OCT17	10131.00	10549.00	USD	5,016.00	
	NET 0 AVG BGHT 10131.00 SOLD 9637.50				**-5,922.00**	**0.00**
19JUL17	S 2 PD19OCT17	9755.00	10549.96	USD	-9,539.52	
19JUL17	S 1 PD19OCT17	9760.00	10549.96	USD	-4,739.76	
27JUL17	B 3 PD19OCT17	10131.00	10549.96	USD	7,541.28	
	NET 0 AVG BGHT 10131.00 SOLD 9756.67				**-6,738.00**	**0.00**
28JUL17	B 1 PD27OCT17	10050.00	10557.68	USD	3,046.08	
09AUG17	S 1 PD27OCT17	10753.50	10557.68	USD	1,174.92	
	NET 0 AVG BGHT 10050.00 SOLD 10753.50				**4,221.00**	**0.00**
01AUG17	B 1 PD01NOV17	10130.00	10562.50	USD	2,595.00	
09AUG17	S 1 PD01NOV17	10756.00	10562.50	USD	1,161.00	
	NET 0 AVG BGHT 10130.00 SOLD 10756.00				**3,756.00**	**0.00**
02AUG17	S 1 PD02NOV17	10180.00	10563.46	USD	-2,300.76	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094S

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
02AUG17	S 1 PD02NOV17	10190.00	10563.46	USD	-2,240.76	
09AUG17	B 2 PD02NOV17	10757.50	10563.46	USD	-2,328.48	
	NET 0 AVG BGHT 10757.50 SOLD 10185.00				**-6,870.00**	**0.00**
13SEP17	B 2 PD13DEC17	11685.00	10600.80	USD	-13,010.40	
13SEP17	B 1 PD13DEC17	11635.00	10600.80	USD	-6,205.20	
13SEP17	B 1 PD13DEC17	11615.00	10600.80	USD	-6,085.20	
13SEP17	S 1 PD13DEC17	11555.00	10600.80	USD	5,725.20	
13SEP17	B 1 PD13DEC17	11570.00	10600.80	USD	-5,815.20	
13SEP17	S 1 PD13DEC17	11560.00	10600.80	USD	5,755.20	
21SEP17	S 3 PD13DEC17	10998.70	10600.80	USD	7,162.20	
	NET 0 AVG BGHT 11638.00 SOLD 11222.22				**-12,473.40**	**0.00**
20SEP17	S 1 PD20DEC17	11365.00	10607.00	USD	4,548.00	
20SEP17	S 1 PD20DEC17	11360.00	10607.00	USD	4,518.00	
20SEP17	S 1 PD20DEC17	11370.00	10607.00	USD	4,578.00	
21SEP17	B 3 PD20DEC17	11005.50	10607.00	USD	-7,173.00	
	NET 0 AVG BGHT 11005.50 SOLD 11365.00				**6,471.00**	**0.00**
22SEP17	B 1 PD22DEC17	10625.00	10609.00	USD	-96.00	
22SEP17	B 1 PD22DEC17	10630.00	10609.00	USD	-126.00	
22SEP17	B 1 PD22DEC17	10610.00	10609.00	USD	-6.00	
22SEP17	B 1 PD22DEC17	10530.00	10609.00	USD	474.00	
22SEP17	B 1 PD22DEC17	10540.00	10609.00	USD	414.00	
22SEP17	S 1 PD22DEC17	10620.00	10609.00	USD	66.00	
22SEP17	S 1 PD22DEC17	10625.00	10609.00	USD	96.00	
22SEP17	S 1 PD22DEC17	10630.00	10609.00	USD	126.00	
22SEP17	S 2 PD22DEC17	10640.00	10609.00	USD	372.00	
	NET 0 AVG BGHT 10587.00 SOLD 10631.00				**1,320.00**	**0.00**
26SEP17	B 1 PD27DEC17	10645.00	10613.58	USD	-188.52	
26SEP17	B 2 PD27DEC17	10650.00	10613.58	USD	-437.04	
26SEP17	B 1 PD27DEC17	10575.00	10613.58	USD	231.48	
27SEP17	S 1 PD27DEC17	10565.00	10613.58	USD	-291.48	
27SEP17	S 1 PD27DEC17	10570.00	10613.58	USD	-261.48	
27SEP17	S 1 PD27DEC17	10325.00	10613.58	USD	-1,731.48	
27SEP17	S 1 PD27DEC17	10315.00	10613.58	USD	-1,791.48	
	NET 0 AVG BGHT 10630.00 SOLD 10443.75				**-4,470.00**	**0.00**
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
04JUL17	B 1 PD04OCT17	2766.50	3316.62	USD	13,753.00	
04JUL17	B 1 PD04OCT17	2773.50	3316.62	USD	13,578.00	
04JUL17	B 1 PD04OCT17	2779.50	3316.62	USD	13,428.00	
04JUL17	B 3 PD04OCT17	2780.00	3316.62	USD	40,246.50	
04JUL17	S 2 PD04OCT17	2781.00	3316.62	USD	-26,781.00	
04JUL17	S 1 PD04OCT17	2787.00	3316.62	USD	-13,240.50	
04JUL17	B 3 PD04OCT17	2781.00	3316.62	USD	40,171.50	
04JUL17	B 2 PD04OCT17	2780.50	3316.62	USD	26,806.00	
04JUL17	S 1 PD04OCT17	2773.50	3316.62	USD	-13,578.00	
04JUL17	S 1 PD04OCT17	2774.50	3316.62	USD	-13,553.00	
04JUL17	S 2 PD04OCT17	2783.50	3316.62	USD	-26,656.00	
04JUL17	S 1 PD04OCT17	2784.50	3316.62	USD	-13,303.00	
04JUL17	S 1 PD04OCT17	2788.00	3316.62	USD	-13,215.50	
04JUL17	B 1 PD04OCT17	2792.00	3316.62	USD	13,115.50	
04JUL17	B 1 PD04OCT17	2793.00	3316.62	USD	13,090.50	
04JUL17	B 3 PD04OCT17	2792.50	3316.62	USD	39,309.00	
17JUL17	S 7 PD04OCT17	2813.00	3316.62	USD	-88,133.50	
	NET 0 AVG BGHT 2782.88 SOLD 2795.47				**5,037.50**	**0.00**
05JUL17	S 3 PD05OCT17	2789.00	3313.50	USD	-39,337.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094S

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
05JUL17	S 1 PD05OCT17	2790.00	3313.50	USD	-13,087.50	
05JUL17	B 1 PD05OCT17	2771.50	3313.50	USD	13,550.00	
05JUL17	B 1 PD05OCT17	2772.50	3313.50	USD	13,525.00	
05JUL17	B 1 PD05OCT17	2770.00	3313.50	USD	13,587.50	
05JUL17	B 1 PD05OCT17	2771.00	3313.50	USD	13,562.50	
05JUL17	B 1 PD05OCT17	2758.50	3313.50	USD	13,875.00	
05JUL17	B 1 PD05OCT17	2756.50	3313.50	USD	13,925.00	
05JUL17	S 1 PD05OCT17	2749.50	3313.50	USD	-14,100.00	
05JUL17	S 1 PD05OCT17	2752.50	3313.50	USD	-14,025.00	
05JUL17	S 1 PD05OCT17	2757.50	3313.50	USD	-13,900.00	
05JUL17	S 2 PD05OCT17	2766.00	3313.50	USD	-27,375.00	
05JUL17	S 1 PD05OCT17	2772.00	3313.50	USD	-13,537.50	
05JUL17	S 1 PD05OCT17	2777.50	3313.50	USD	-13,400.00	
05JUL17	B 2 PD05OCT17	2777.00	3313.50	USD	26,825.00	
17JUL17	B 3 PD05OCT17	2813.30	3313.50	USD	37,515.00	
	NET 0 AVG BGHT 2781.26 SOLD 2772.55				**-2,397.50**	**0.00**
06JUL17	B 1 PD06OCT17	2758.50	3310.38	USD	13,797.00	
06JUL17	B 1 PD06OCT17	2759.50	3310.38	USD	13,772.00	
06JUL17	B 1 PD06OCT17	2761.00	3310.38	USD	13,734.50	
06JUL17	B 2 PD06OCT17	2751.50	3310.38	USD	27,944.00	
06JUL17	S 1 PD06OCT17	2752.00	3310.38	USD	-13,959.50	
06JUL17	S 1 PD06OCT17	2757.50	3310.38	USD	-13,822.00	
06JUL17	S 1 PD06OCT17	2759.00	3310.38	USD	-13,784.50	
06JUL17	S 1 PD06OCT17	2767.00	3310.38	USD	-13,584.50	
06JUL17	S 1 PD06OCT17	2768.00	3310.38	USD	-13,559.50	
06JUL17	S 1 PD06OCT17	2769.00	3310.38	USD	-13,534.50	
06JUL17	S 1 PD06OCT17	2769.50	3310.38	USD	-13,522.00	
06JUL17	S 1 PD06OCT17	2773.50	3310.38	USD	-13,422.00	
06JUL17	B 1 PD06OCT17	2784.00	3310.38	USD	13,159.50	
06JUL17	S 1 PD06OCT17	2787.00	3310.38	USD	-13,084.50	
06JUL17	B 1 PD06OCT17	2759.00	3310.38	USD	13,784.50	
06JUL17	S 1 PD06OCT17	2770.50	3310.38	USD	-13,497.00	
06JUL17	B 1 PD06OCT17	2782.50	3310.38	USD	13,197.00	
06JUL17	B 2 PD06OCT17	2781.50	3310.38	USD	26,444.00	
06JUL17	B 2 PD06OCT17	2781.00	3310.38	USD	26,469.00	
07JUL17	B 1 PD06OCT17	2796.50	3310.38	USD	12,847.00	
07JUL17	S 1 PD06OCT17	2774.00	3310.38	USD	-13,409.50	
07JUL17	S 1 PD06OCT17	2797.50	3310.38	USD	-12,822.00	
07JUL17	S 1 PD06OCT17	2800.00	3310.38	USD	-12,759.50	
07JUL17	S 1 PD06OCT17	2800.50	3310.38	USD	-12,747.00	
07JUL17	B 2 PD06OCT17	2786.00	3310.38	USD	26,219.00	
07JUL17	B 1 PD06OCT17	2783.00	3310.38	USD	13,184.50	
07JUL17	B 1 PD06OCT17	2775.50	3310.38	USD	13,372.00	
07JUL17	S 1 PD06OCT17	2802.50	3310.38	USD	-12,697.00	
07JUL17	S 1 PD06OCT17	2804.50	3310.38	USD	-12,647.00	
07JUL17	S 1 PD06OCT17	2806.00	3310.38	USD	-12,609.50	
07JUL17	B 1 PD06OCT17	2795.00	3310.38	USD	12,884.50	
07JUL17	B 1 PD06OCT17	2771.50	3310.38	USD	13,472.00	
07JUL17	B 1 PD06OCT17	2773.50	3310.38	USD	13,422.00	
07JUL17	S 1 PD06OCT17	2769.50	3310.38	USD	-13,522.00	
07JUL17	S 1 PD06OCT17	2773.00	3310.38	USD	-13,434.50	
07JUL17	B 2 PD06OCT17	2787.00	3310.38	USD	26,169.00	
17JUL17	S 3 PD06OCT17	2813.20	3310.38	USD	-37,288.50	
	NET 0 AVG BGHT 2776.07 SOLD 2783.64				**4,165.00**	**0.00**
10JUL17	B 1 PD10OCT17	2772.00	3307.25	USD	13,381.25	
10JUL17	S 1 PD10OCT17	2769.00	3307.25	USD	-13,456.25	
10JUL17	S 1 PD10OCT17	2778.50	3307.25	USD	-13,218.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094S

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
10JUL17	S 1 PD10OCT17	2764.50	3307.25	USD	-13,568.75	
10JUL17	B 1 PD10OCT17	2767.00	3307.25	USD	13,506.25	
10JUL17	B 1 PD10OCT17	2765.50	3307.25	USD	13,543.75	
	NET 0 AVG BGHT 2768.17 SOLD 2770.67				**187.50**	**0.00**
11JUL17	B 1 PD11OCT17	2792.00	3304.13	USD	12,803.25	
11JUL17	S 1 PD11OCT17	2793.50	3304.13	USD	-12,765.75	
11JUL17	S 1 PD11OCT17	2796.00	3304.13	USD	-12,703.25	
11JUL17	B 1 PD11OCT17	2786.50	3304.13	USD	12,940.75	
11JUL17	S 1 PD11OCT17	2788.50	3304.13	USD	-12,890.75	
11JUL17	S 2 PD11OCT17	2790.50	3304.13	USD	-25,681.50	
11JUL17	B 5 PD11OCT17	2775.50	3304.13	USD	66,078.75	
11JUL17	S 2 PD11OCT17	2803.00	3304.13	USD	-25,056.50	
11JUL17	S 1 PD11OCT17	2805.00	3304.13	USD	-12,478.25	
11JUL17	S 1 PD11OCT17	2805.50	3304.13	USD	-12,465.75	
11JUL17	S 1 PD11OCT17	2806.50	3304.13	USD	-12,440.75	
11JUL17	S 1 PD11OCT17	2817.00	3304.13	USD	-12,178.25	
17JUL17	B 4 PD11OCT17	2813.90	3304.13	USD	49,023.00	
	NET 0 AVG BGHT 2791.96 SOLD 2799.91				**2,185.00**	**0.00**
12JUL17	B 1 PD12OCT17	2825.50	3301.00	USD	11,887.50	
12JUL17	S 2 PD12OCT17	2835.00	3301.00	USD	-23,300.00	
12JUL17	B 1 PD12OCT17	2848.50	3301.00	USD	11,312.50	
12JUL17	B 1 PD12OCT17	2846.00	3301.00	USD	11,375.00	
12JUL17	B 1 PD12OCT17	2840.50	3301.00	USD	11,512.50	
12JUL17	B 1 PD12OCT17	2834.50	3301.00	USD	11,662.50	
12JUL17	B 1 PD12OCT17	2832.00	3301.00	USD	11,725.00	
12JUL17	B 5 PD12OCT17	2820.00	3301.00	USD	60,125.00	
12JUL17	S 2 PD12OCT17	2819.00	3301.00	USD	-24,100.00	
12JUL17	S 3 PD12OCT17	2819.50	3301.00	USD	-36,112.50	
17JUL17	S 4 PD12OCT17	2813.80	3301.00	USD	-48,720.00	
	NET 0 AVG BGHT 2829.73 SOLD 2820.15				**-2,632.50**	**0.00**
13JUL17	S 1 PD13OCT17	2832.00	3297.88	USD	-11,647.00	
13JUL17	S 1 PD13OCT17	2833.50	3297.88	USD	-11,609.50	
13JUL17	S 1 PD13OCT17	2834.00	3297.88	USD	-11,597.00	
13JUL17	S 1 PD13OCT17	2839.00	3297.88	USD	-11,472.00	
13JUL17	S 1 PD13OCT17	2841.00	3297.88	USD	-11,422.00	
13JUL17	B 2 PD13OCT17	2830.00	3297.88	USD	23,394.00	
13JUL17	B 1 PD13OCT17	2837.00	3297.88	USD	11,522.00	
13JUL17	B 1 PD13OCT17	2831.50	3297.88	USD	11,659.50	
13JUL17	B 1 PD13OCT17	2833.00	3297.88	USD	11,622.00	
14JUL17	B 1 PD13OCT17	2769.00	3297.88	USD	13,222.00	
14JUL17	B 2 PD13OCT17	2773.00	3297.88	USD	26,244.00	
14JUL17	B 1 PD13OCT17	2771.50	3297.88	USD	13,159.50	
14JUL17	B 1 PD13OCT17	2765.50	3297.88	USD	13,309.50	
14JUL17	B 1 PD13OCT17	2768.00	3297.88	USD	13,247.00	
14JUL17	B 1 PD13OCT17	2764.00	3297.88	USD	13,347.00	
14JUL17	S 1 PD13OCT17	2793.00	3297.88	USD	-12,622.00	
14JUL17	S 1 PD13OCT17	2793.50	3297.88	USD	-12,609.50	
14JUL17	S 1 PD13OCT17	2795.50	3297.88	USD	-12,559.50	
14JUL17	S 1 PD13OCT17	2791.50	3297.88	USD	-12,659.50	
14JUL17	S 1 PD13OCT17	2789.00	3297.88	USD	-12,722.00	
14JUL17	S 1 PD13OCT17	2794.00	3297.88	USD	-12,597.00	
14JUL17	S 1 PD13OCT17	2791.00	3297.88	USD	-12,672.00	
	NET 0 AVG BGHT 2795.46 SOLD 2810.58				**4,537.50**	**0.00**
17JUL17	S 2 PD17OCT17	2824.00	3291.63	USD	-23,381.50	
17JUL17	S 1 PD17OCT17	2835.50	3291.63	USD	-11,403.25	
17JUL17	S 1 PD17OCT17	2837.00	3291.63	USD	-11,365.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094S

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
17JUL17	B 2 PD17OCT17	2824.50	3291.63	USD	23,356.50	
17JUL17	B 1 PD17OCT17	2823.50	3291.63	USD	11,703.25	
17JUL17	S 1 PD17OCT17	2835.00	3291.63	USD	-11,415.75	
17JUL17	S 1 PD17OCT17	2836.50	3291.63	USD	-11,378.25	
17JUL17	B 1 PD17OCT17	2819.50	3291.63	USD	11,803.25	
17JUL17	B 2 PD17OCT17	2822.50	3291.63	USD	23,456.50	
	NET 0 AVG BGHT 2822.83 SOLD 2832.00				**1,375.00**	**0.00**
18JUL17	B 1 PD18OCT17	2802.00	3288.50	USD	12,162.50	
18JUL17	S 1 PD18OCT17	2793.00	3288.50	USD	-12,387.50	
18JUL17	B 1 PD18OCT17	2792.50	3288.50	USD	12,400.00	
18JUL17	B 2 PD18OCT17	2793.00	3288.50	USD	24,775.00	
18JUL17	B 1 PD18OCT17	2794.50	3288.50	USD	12,350.00	
18JUL17	S 3 PD18OCT17	2795.50	3288.50	USD	-36,975.00	
18JUL17	S 1 PD18OCT17	2795.00	3288.50	USD	-12,337.50	
18JUL17	S 2 PD18OCT17	2789.50	3288.50	USD	-24,950.00	
18JUL17	S 1 PD18OCT17	2788.50	3288.50	USD	-12,500.00	
26JUL17	B 3 PD18OCT17	2809.00	3288.50	USD	35,962.50	
	NET 0 AVG BGHT 2800.25 SOLD 2792.75				**-1,500.00**	**0.00**
19JUL17	B 1 PD19OCT17	2793.00	3285.50	USD	12,312.50	
19JUL17	B 1 PD19OCT17	2794.00	3285.50	USD	12,287.50	
19JUL17	B 1 PD19OCT17	2795.50	3285.50	USD	12,250.00	
19JUL17	B 1 PD19OCT17	2796.00	3285.50	USD	12,237.50	
19JUL17	B 1 PD19OCT17	2797.50	3285.50	USD	12,200.00	
19JUL17	S 5 PD19OCT17	2795.50	3285.50	USD	-61,250.00	
19JUL17	B 1 PD19OCT17	2766.50	3285.50	USD	12,975.00	
19JUL17	B 4 PD19OCT17	2773.50	3285.50	USD	51,200.00	
19JUL17	B 2 PD19OCT17	2774.00	3285.50	USD	25,575.00	
19JUL17	B 2 PD19OCT17	2766.00	3285.50	USD	25,975.00	
19JUL17	B 1 PD19OCT17	2767.00	3285.50	USD	12,962.50	
19JUL17	S 1 PD19OCT17	2767.50	3285.50	USD	-12,950.00	
19JUL17	S 3 PD19OCT17	2735.00	3285.50	USD	-41,287.50	
19JUL17	S 2 PD19OCT17	2734.50	3285.50	USD	-27,550.00	
19JUL17	S 1 PD19OCT17	2735.50	3285.50	USD	-13,750.00	
26JUL17	S 3 PD19OCT17	2806.80	3285.50	USD	-35,902.50	
	NET 0 AVG BGHT 2778.90 SOLD 2771.66				**-2,715.00**	**0.00**
20JUL17	B 1 PD20OCT17	2730.00	3282.50	USD	13,812.50	
20JUL17	B 2 PD20OCT17	2731.00	3282.50	USD	27,575.00	
20JUL17	B 2 PD20OCT17	2737.00	3282.50	USD	27,275.00	
20JUL17	S 1 PD20OCT17	2736.50	3282.50	USD	-13,650.00	
20JUL17	S 2 PD20OCT17	2749.00	3282.50	USD	-26,675.00	
20JUL17	S 2 PD20OCT17	2749.50	3282.50	USD	-26,650.00	
20JUL17	B 2 PD20OCT17	2744.00	3282.50	USD	26,925.00	
20JUL17	B 1 PD20OCT17	2743.50	3282.50	USD	13,475.00	
20JUL17	B 1 PD20OCT17	2741.50	3282.50	USD	13,525.00	
21JUL17	S 2 PD20OCT17	2740.00	3282.50	USD	-27,125.00	
21JUL17	S 1 PD20OCT17	2749.00	3282.50	USD	-13,337.50	
21JUL17	B 1 PD20OCT17	2764.50	3282.50	USD	12,950.00	
21JUL17	B 1 PD20OCT17	2765.50	3282.50	USD	12,925.00	
21JUL17	S 1 PD20OCT17	2763.50	3282.50	USD	-12,975.00	
21JUL17	S 1 PD20OCT17	2761.50	3282.50	USD	-13,025.00	
21JUL17	S 2 PD20OCT17	2763.00	3282.50	USD	-25,975.00	
21JUL17	S 2 PD20OCT17	2762.50	3282.50	USD	-26,000.00	
21JUL17	S 3 PD20OCT17	2764.00	3282.50	USD	-38,887.50	
21JUL17	S 2 PD20OCT17	2764.50	3282.50	USD	-25,900.00	
21JUL17	S 1 PD20OCT17	2758.50	3282.50	USD	-13,100.00	
21JUL17	S 1 PD20OCT17	2760.00	3282.50	USD	-13,062.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094S

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
21JUL17	S 1 PD20OCT17	2741.00	3282.50	USD	-13,537.50	
21JUL17	B 1 PD20OCT17	2765.00	3282.50	USD	12,937.50	
21JUL17	S 1 PD20OCT17	2772.50	3282.50	USD	-12,750.00	
21JUL17	S 1 PD20OCT17	2767.50	3282.50	USD	-12,875.00	
21JUL17	B 1 PD20OCT17	2744.50	3282.50	USD	13,450.00	
21JUL17	B 1 PD20OCT17	2747.50	3282.50	USD	13,375.00	
21JUL17	B 1 PD20OCT17	2748.00	3282.50	USD	13,362.50	
21JUL17	B 1 PD20OCT17	2750.00	3282.50	USD	13,312.50	
21JUL17	B 1 PD20OCT17	2743.00	3282.50	USD	13,487.50	
21JUL17	B 4 PD20OCT17	2743.50	3282.50	USD	53,900.00	
21JUL17	B 1 PD20OCT17	2745.00	3282.50	USD	13,437.50	
21JUL17	B 1 PD20OCT17	2742.00	3282.50	USD	13,512.50	
21JUL17	B 1 PD20OCT17	2745.50	3282.50	USD	13,425.00	
	NET 0 AVG BGHT 2744.73 SOLD 2756.63				**7,137.50**	**0.00**
24JUL17	S 1 PD24OCT17	2779.50	3280.50	USD	-12,525.00	
24JUL17	S 1 PD24OCT17	2787.00	3280.50	USD	-12,337.50	
24JUL17	S 1 PD24OCT17	2779.00	3280.50	USD	-12,537.50	
24JUL17	S 2 PD24OCT17	2786.50	3280.50	USD	-24,700.00	
24JUL17	S 1 PD24OCT17	2778.50	3280.50	USD	-12,550.00	
24JUL17	S 5 PD24OCT17	2777.50	3280.50	USD	-62,875.00	
24JUL17	B 1 PD24OCT17	2777.50	3280.50	USD	12,575.00	
24JUL17	B 1 PD24OCT17	2775.00	3280.50	USD	12,637.50	
24JUL17	B 2 PD24OCT17	2775.50	3280.50	USD	25,250.00	
24JUL17	B 1 PD24OCT17	2776.00	3280.50	USD	12,612.50	
24JUL17	B 1 PD24OCT17	2774.50	3280.50	USD	12,650.00	
24JUL17	B 1 PD24OCT17	2776.50	3280.50	USD	12,600.00	
24JUL17	B 1 PD24OCT17	2777.00	3280.50	USD	12,587.50	
24JUL17	B 2 PD24OCT17	2770.50	3280.50	USD	25,500.00	
24JUL17	S 1 PD24OCT17	2783.00	3280.50	USD	-12,437.50	
24JUL17	S 1 PD24OCT17	2783.50	3280.50	USD	-12,425.00	
24JUL17	S 1 PD24OCT17	2787.50	3280.50	USD	-12,325.00	
26JUL17	B 3 PD24OCT17	2807.71	3280.50	USD	35,459.25	
27JUL17	B 1 PD24OCT17	2800.50	3280.50	USD	12,000.00	
	NET 0 AVG BGHT 2783.72 SOLD 2781.32				**-840.75**	**0.00**
25JUL17	B 1 PD25OCT17	2802.00	3279.50	USD	11,937.50	
25JUL17	B 2 PD25OCT17	2802.50	3279.50	USD	23,850.00	
25JUL17	S 2 PD25OCT17	2812.00	3279.50	USD	-23,375.00	
25JUL17	S 2 PD25OCT17	2812.50	3279.50	USD	-23,350.00	
25JUL17	S 1 PD25OCT17	2814.00	3279.50	USD	-11,637.50	
25JUL17	S 1 PD25OCT17	2816.50	3279.50	USD	-11,575.00	
25JUL17	S 1 PD25OCT17	2817.50	3279.50	USD	-11,550.00	
25JUL17	S 1 PD25OCT17	2819.00	3279.50	USD	-11,512.50	
25JUL17	S 1 PD25OCT17	2822.50	3279.50	USD	-11,425.00	
25JUL17	S 1 PD25OCT17	2823.00	3279.50	USD	-11,412.50	
25JUL17	S 1 PD25OCT17	2828.00	3279.50	USD	-11,287.50	
25JUL17	B 1 PD25OCT17	2832.00	3279.50	USD	11,187.50	
25JUL17	S 1 PD25OCT17	2813.00	3279.50	USD	-11,662.50	
25JUL17	S 2 PD25OCT17	2820.50	3279.50	USD	-22,950.00	
25JUL17	B 1 PD25OCT17	2825.50	3279.50	USD	11,350.00	
25JUL17	B 2 PD25OCT17	2826.00	3279.50	USD	22,675.00	
25JUL17	B 1 PD25OCT17	2821.00	3279.50	USD	11,462.50	
25JUL17	B 1 PD25OCT17	2822.00	3279.50	USD	11,437.50	
25JUL17	S 2 PD25OCT17	2821.00	3279.50	USD	-22,925.00	
25JUL17	B 1 PD25OCT17	2842.50	3279.50	USD	10,925.00	
25JUL17	B 1 PD25OCT17	2843.50	3279.50	USD	10,900.00	
25JUL17	B 1 PD25OCT17	2836.50	3279.50	USD	11,075.00	
25JUL17	B 2 PD25OCT17	2837.00	3279.50	USD	22,125.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094S

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
25JUL17	B	2	PD25OCT17	2838.00	3279.50	USD	22,075.00	
25JUL17	B	1	PD25OCT17	2835.50	3279.50	USD	11,100.00	
25JUL17	B	1	PD25OCT17	2836.00	3279.50	USD	11,087.50	
25JUL17	B	1	PD25OCT17	2840.50	3279.50	USD	10,975.00	
26JUL17	S	3	PD25OCT17	2807.66	3279.50	USD	-35,388.00	
	NET	**0**	**AVG BGHT 2828.63**	**SOLD 2816.24**			**-5,888.00**	**0.00**
26JUL17	B	1	PD26OCT17	2810.00	3278.50	USD	11,712.50	
26JUL17	S	1	PD26OCT17	2805.50	3278.50	USD	-11,825.00	
26JUL17	S	1	PD26OCT17	2820.00	3278.50	USD	-11,462.50	
26JUL17	S	1	PD26OCT17	2821.50	3278.50	USD	-11,425.00	
26JUL17	B	1	PD26OCT17	2810.50	3278.50	USD	11,700.00	
26JUL17	B	1	PD26OCT17	2821.00	3278.50	USD	11,437.50	
26JUL17	B	1	PD26OCT17	2805.50	3278.50	USD	11,825.00	
26JUL17	B	1	PD26OCT17	2806.00	3278.50	USD	11,812.50	
26JUL17	B	1	PD26OCT17	2806.50	3278.50	USD	11,800.00	
27JUL17	S	1	PD26OCT17	2800.55	3278.50	USD	-11,948.75	
28JUL17	S	2	PD26OCT17	2775.75	3278.50	USD	-25,137.50	
	NET	**0**	**AVG BGHT 2809.92**	**SOLD 2799.84**			**-1,511.25**	**0.00**
27JUL17	S	2	PD27OCT17	2817.00	3277.50	USD	-23,025.00	
27JUL17	S	1	PD27OCT17	2818.00	3277.50	USD	-11,487.50	
27JUL17	S	1	PD27OCT17	2824.00	3277.50	USD	-11,337.50	
27JUL17	S	1	PD27OCT17	2823.50	3277.50	USD	-11,350.00	
27JUL17	S	1	PD27OCT17	2824.50	3277.50	USD	-11,325.00	
27JUL17	S	1	PD27OCT17	2825.00	3277.50	USD	-11,312.50	
27JUL17	B	1	PD27OCT17	2811.00	3277.50	USD	11,662.50	
27JUL17	B	1	PD27OCT17	2812.50	3277.50	USD	11,625.00	
27JUL17	B	1	PD27OCT17	2813.00	3277.50	USD	11,612.50	
27JUL17	B	2	PD27OCT17	2801.20	3277.50	USD	23,815.00	
28JUL17	B	1	PD27OCT17	2777.50	3277.50	USD	12,500.00	
28JUL17	B	1	PD27OCT17	2781.00	3277.50	USD	12,412.50	
28JUL17	B	1	PD27OCT17	2781.50	3277.50	USD	12,400.00	
28JUL17	B	1	PD27OCT17	2780.50	3277.50	USD	12,425.00	
28JUL17	B	2	PD27OCT17	2760.00	3277.50	USD	25,875.00	
28JUL17	B	1	PD27OCT17	2772.00	3277.50	USD	12,637.50	
28JUL17	S	1	PD27OCT17	2781.50	3277.50	USD	-12,400.00	
28JUL17	S	1	PD27OCT17	2783.00	3277.50	USD	-12,362.50	
28JUL17	S	1	PD27OCT17	2783.50	3277.50	USD	-12,350.00	
28JUL17	S	1	PD27OCT17	2785.50	3277.50	USD	-12,300.00	
28JUL17	S	1	PD27OCT17	2786.00	3277.50	USD	-12,287.50	
28JUL17	S	1	PD27OCT17	2786.50	3277.50	USD	-12,275.00	
28JUL17	S	1	PD27OCT17	2787.00	3277.50	USD	-12,262.50	
28JUL17	S	2	PD27OCT17	2787.50	3277.50	USD	-24,500.00	
28JUL17	S	1	PD27OCT17	2797.00	3277.50	USD	-12,012.50	
28JUL17	S	1	PD27OCT17	2798.50	3277.50	USD	-11,975.00	
28JUL17	B	1	PD27OCT17	2778.50	3277.50	USD	12,475.00	
28JUL17	B	2	PD27OCT17	2775.50	3277.50	USD	25,100.00	
28JUL17	B	2	PD27OCT17	2776.50	3277.50	USD	25,050.00	
28JUL17	B	3	PD27OCT17	2779.00	3277.50	USD	37,387.50	
28JUL17	B	2	PD27OCT17	2776.20	3277.50	USD	25,065.00	
31JUL17	S	4	PD27OCT17	2793.80	3277.50	USD	-48,370.00	
	NET	**0**	**AVG BGHT 2782.88**	**SOLD 2799.44**			**9,110.00**	**0.00**
31JUL17	S	2	PD31OCT17	2809.50	3275.50	USD	-23,300.00	
31JUL17	S	2	PD31OCT17	2814.00	3275.50	USD	-23,075.00	
31JUL17	B	1	PD31OCT17	2814.00	3275.50	USD	11,537.50	
31JUL17	B	1	PD31OCT17	2815.50	3275.50	USD	11,500.00	
31JUL17	B	1	PD31OCT17	2806.00	3275.50	USD	11,737.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094S

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
31JUL17	B 1 PD31OCT17	2809.00	3275.50	USD	11,662.50	
31JUL17	B 1 PD31OCT17	2809.50	3275.50	USD	11,650.00	
31JUL17	B 2 PD31OCT17	2807.50	3275.50	USD	23,400.00	
31JUL17	B 1 PD31OCT17	2800.50	3275.50	USD	11,875.00	
31JUL17	B 2 PD31OCT17	2806.50	3275.50	USD	23,450.00	
31JUL17	S 1 PD31OCT17	2807.00	3275.50	USD	-11,712.50	
31JUL17	S 1 PD31OCT17	2802.50	3275.50	USD	-11,825.00	
31JUL17	S 1 PD31OCT17	2804.00	3275.50	USD	-11,787.50	
31JUL17	S 2 PD31OCT17	2804.50	3275.50	USD	-23,550.00	
31JUL17	S 1 PD31OCT17	2806.00	3275.50	USD	-11,737.50	
31JUL17	S 2 PD31OCT17	2807.50	3275.50	USD	-23,400.00	
31JUL17	S 6 PD31OCT17	2794.80	3275.50	USD	-72,105.00	
09AUG17	B 8 PD31OCT17	2929.75	3275.50	USD	69,150.00	
	NET 0 AVG BGHT 2862.25 SOLD 2803.29				**-26,530.00**	**0.00**
01AUG17	B 1 PD01NOV17	2771.00	3274.50	USD	12,587.50	
01AUG17	B 1 PD01NOV17	2774.50	3274.50	USD	12,500.00	
01AUG17	B 1 PD01NOV17	2775.50	3274.50	USD	12,475.00	
01AUG17	B 1 PD01NOV17	2774.00	3274.50	USD	12,512.50	
01AUG17	B 1 PD01NOV17	2777.50	3274.50	USD	12,425.00	
01AUG17	B 1 PD01NOV17	2781.00	3274.50	USD	12,337.50	
01AUG17	B 1 PD01NOV17	2782.00	3274.50	USD	12,312.50	
01AUG17	B 1 PD01NOV17	2770.50	3274.50	USD	12,600.00	
01AUG17	B 1 PD01NOV17	2784.00	3274.50	USD	12,262.50	
01AUG17	B 1 PD01NOV17	2784.50	3274.50	USD	12,250.00	
01AUG17	B 1 PD01NOV17	2772.00	3274.50	USD	12,562.50	
01AUG17	B 1 PD01NOV17	2773.50	3274.50	USD	12,525.00	
01AUG17	S 1 PD01NOV17	2778.50	3274.50	USD	-12,400.00	
01AUG17	S 1 PD01NOV17	2779.50	3274.50	USD	-12,375.00	
01AUG17	S 1 PD01NOV17	2786.50	3274.50	USD	-12,200.00	
01AUG17	S 2 PD01NOV17	2787.50	3274.50	USD	-24,350.00	
01AUG17	S 2 PD01NOV17	2779.00	3274.50	USD	-24,775.00	
09AUG17	S 5 PD01NOV17	2929.74	3274.50	USD	-43,095.00	
	NET 0 AVG BGHT 2776.67 SOLD 2843.85				**20,155.00**	**0.00**
02AUG17	B 1 PD02NOV17	2773.00	3273.50	USD	12,512.50	
02AUG17	B 1 PD02NOV17	2766.50	3273.50	USD	12,675.00	
02AUG17	B 1 PD02NOV17	2761.00	3273.50	USD	12,812.50	
02AUG17	B 1 PD02NOV17	2790.50	3273.50	USD	12,075.00	
02AUG17	S 1 PD02NOV17	2790.50	3273.50	USD	-12,075.00	
02AUG17	S 2 PD02NOV17	2792.00	3273.50	USD	-24,075.00	
02AUG17	S 1 PD02NOV17	2789.00	3273.50	USD	-12,112.50	
02AUG17	S 1 PD02NOV17	2790.00	3273.50	USD	-12,087.50	
02AUG17	B 2 PD02NOV17	2807.50	3273.50	USD	23,300.00	
02AUG17	B 1 PD02NOV17	2805.00	3273.50	USD	11,712.50	
02AUG17	B 1 PD02NOV17	2805.50	3273.50	USD	11,700.00	
02AUG17	B 1 PD02NOV17	2806.00	3273.50	USD	11,687.50	
02AUG17	B 1 PD02NOV17	2806.50	3273.50	USD	11,675.00	
09AUG17	S 3 PD02NOV17	2929.94	3273.50	USD	-25,767.00	
09AUG17	S 2 PD02NOV17	2930.14	3273.50	USD	-17,168.00	
28SEP17	S 7 PD02NOV17	3154.30	3273.50	USD	-20,860.00	
	NET -7 AVG BGHT 2792.90 SOLD 2981.39				**-3,995.00**	**0.00**
03AUG17	S 1 PD03NOV17	2822.00	3272.00	USD	-11,250.00	
03AUG17	S 1 PD03NOV17	2820.00	3272.00	USD	-11,300.00	
03AUG17	S 1 PD03NOV17	2821.00	3272.00	USD	-11,275.00	
03AUG17	S 1 PD03NOV17	2821.50	3272.00	USD	-11,262.50	
03AUG17	B 1 PD03NOV17	2797.00	3272.00	USD	11,875.00	
03AUG17	S 1 PD03NOV17	2802.00	3272.00	USD	-11,750.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094S

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
03AUG17	B 1 PD03NOV17	2794.50	3272.00	USD	11,937.50	
03AUG17	S 1 PD03NOV17	2805.50	3272.00	USD	-11,662.50	
03AUG17	B 1 PD03NOV17	2806.00	3272.00	USD	11,650.00	
03AUG17	S 2 PD03NOV17	2806.00	3272.00	USD	-23,300.00	
04AUG17	B 1 PD03NOV17	2812.00	3272.00	USD	11,500.00	
04AUG17	B 1 PD03NOV17	2816.50	3272.00	USD	11,387.50	
04AUG17	B 2 PD03NOV17	2805.50	3272.00	USD	23,325.00	
04AUG17	B 1 PD03NOV17	2813.00	3272.00	USD	11,475.00	
	NET 0 AVG BGHT 2806.25 SOLD 2813.00				**1,350.00**	**0.00**
07AUG17	S 1 PD07NOV17	2817.00	3272.00	USD	-11,375.00	
07AUG17	S 2 PD07NOV17	2818.00	3272.00	USD	-22,700.00	
07AUG17	S 1 PD07NOV17	2818.50	3272.00	USD	-11,337.50	
07AUG17	S 1 PD07NOV17	2819.50	3272.00	USD	-11,312.50	
07AUG17	S 2 PD07NOV17	2834.50	3272.00	USD	-21,875.00	
07AUG17	S 3 PD07NOV17	2835.50	3272.00	USD	-32,737.50	
07AUG17	B 1 PD07NOV17	2863.50	3272.00	USD	10,212.50	
07AUG17	B 3 PD07NOV17	2868.00	3272.00	USD	30,300.00	
09AUG17	B 6 PD07NOV17	2931.31	3272.00	USD	51,103.50	
	NET 0 AVG BGHT 2905.54 SOLD 2826.65				**-19,721.50**	**0.00**
09AUG17	S 1 PD09NOV17	2962.50	3272.00	USD	-7,737.50	
09AUG17	S 1 PD09NOV17	2963.50	3272.00	USD	-7,712.50	
09AUG17	S 1 PD09NOV17	2963.00	3272.00	USD	-7,725.00	
09AUG17	S 1 PD09NOV17	2942.50	3272.00	USD	-8,237.50	
09AUG17	S 1 PD09NOV17	2943.50	3272.00	USD	-8,212.50	
09AUG17	B 1 PD09NOV17	2931.70	3272.00	USD	8,507.50	
17AUG17	B 3 PD09NOV17	3061.63	3272.00	USD	15,777.75	
23AUG17	B 1 PD09NOV17	3096.31	3272.00	USD	4,392.25	
	NET 0 AVG BGHT 3042.58 SOLD 2955.00				**-10,947.50**	**0.00**
11AUG17	B 1 PD10NOV17	2908.00	3272.00	USD	9,100.00	
11AUG17	B 1 PD10NOV17	2903.50	3272.00	USD	9,212.50	
11AUG17	S 1 PD10NOV17	2901.50	3272.00	USD	-9,262.50	
17AUG17	S 1 PD10NOV17	3061.74	3272.00	USD	-5,256.50	
	NET 0 AVG BGHT 2905.75 SOLD 2981.62				**3,793.50**	**0.00**
16AUG17	B 1 PD16NOV17	2992.00	3267.50	USD	6,887.50	
16AUG17	B 1 PD16NOV17	2996.00	3267.50	USD	6,787.50	
16AUG17	S 1 PD16NOV17	2987.50	3267.50	USD	-7,000.00	
16AUG17	S 1 PD16NOV17	2988.50	3267.50	USD	-6,975.00	
16AUG17	S 3 PD16NOV17	2990.50	3267.50	USD	-20,775.00	
16AUG17	S 2 PD16NOV17	2991.50	3267.50	USD	-13,800.00	
16AUG17	S 1 PD16NOV17	3029.50	3267.50	USD	-5,950.00	
16AUG17	B 1 PD16NOV17	3094.50	3267.50	USD	4,325.00	
16AUG17	B 3 PD16NOV17	3120.50	3267.50	USD	11,025.00	
16AUG17	B 1 PD16NOV17	3125.50	3267.50	USD	3,550.00	
16AUG17	B 1 PD16NOV17	3110.50	3267.50	USD	3,925.00	
16AUG17	B 2 PD16NOV17	3121.00	3267.50	USD	7,325.00	
17AUG17	S 2 PD16NOV17	3062.05	3267.50	USD	-10,272.50	
	NET 0 AVG BGHT 3092.20 SOLD 3008.41				**-20,947.50**	**0.00**
21AUG17	S 2 PD21NOV17	3173.00	3264.83	USD	-4,591.50	
21AUG17	S 1 PD21NOV17	3149.50	3264.83	USD	-2,883.25	
21AUG17	S 1 PD21NOV17	3153.00	3264.83	USD	-2,795.75	
21AUG17	B 1 PD21NOV17	3126.50	3264.83	USD	3,458.25	
21AUG17	B 1 PD21NOV17	3129.00	3264.83	USD	3,395.75	
21AUG17	B 2 PD21NOV17	3127.00	3264.83	USD	6,891.50	
21AUG17	B 2 PD21NOV17	3128.50	3264.83	USD	6,816.50	
23AUG17	S 1 PD21NOV17	3095.44	3264.83	USD	-4,234.75	
30AUG17	S 1 PD21NOV17	3093.14	3264.83	USD	-4,292.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094S

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT								
	NET	**0**	**AVG BGHT 3127.75**	**SOLD 3139.51**				**1,764.50**	**0.00**
22AUG17	S	1	PD22NOV17		3117.00	3264.50	USD	-3,687.50	
22AUG17	S	1	PD22NOV17		3120.00	3264.50	USD	-3,612.50	
22AUG17	B	1	PD22NOV17		3117.50	3264.50	USD	3,675.00	
22AUG17	B	1	PD22NOV17		3125.50	3264.50	USD	3,475.00	
22AUG17	B	1	PD22NOV17		3115.50	3264.50	USD	3,725.00	
22AUG17	B	1	PD22NOV17		3113.50	3264.50	USD	3,775.00	
22AUG17	B	1	PD22NOV17		3101.00	3264.50	USD	4,087.50	
30AUG17	S	3	PD22NOV17		3093.43	3264.50	USD	-12,830.25	
	NET	**0**	**AVG BGHT 3114.60**	**SOLD 3103.46**				**-1,392.75**	**0.00**
23AUG17	S	1	PD24NOV17		3128.50	3264.71	USD	-3,405.25	
23AUG17	S	1	PD24NOV17		3129.00	3264.71	USD	-3,392.75	
23AUG17	S	2	PD24NOV17		3129.50	3264.71	USD	-6,760.50	
23AUG17	S	1	PD24NOV17		3134.00	3264.71	USD	-3,267.75	
23AUG17	S	1	PD24NOV17		3135.00	3264.71	USD	-3,242.75	
23AUG17	S	2	PD24NOV17		3141.50	3264.71	USD	-6,160.50	
23AUG17	S	1	PD24NOV17		3145.50	3264.71	USD	-2,980.25	
23AUG17	S	1	PD24NOV17		3143.50	3264.71	USD	-3,030.25	
23AUG17	B	1	PD24NOV17		3100.50	3264.71	USD	4,105.25	
23AUG17	B	1	PD24NOV17		3097.00	3264.71	USD	4,192.75	
23AUG17	B	1	PD24NOV17		3103.00	3264.71	USD	4,042.75	
23AUG17	B	2	PD24NOV17		3098.50	3264.71	USD	8,310.50	
23AUG17	B	1	PD24NOV17		3096.50	3264.71	USD	4,205.25	
24AUG17	S	1	PD24NOV17		3116.00	3264.71	USD	-3,717.75	
24AUG17	S	1	PD24NOV17		3115.00	3264.71	USD	-3,742.75	
24AUG17	S	1	PD24NOV17		3108.50	3264.71	USD	-3,905.25	
24AUG17	S	2	PD24NOV17		3108.00	3264.71	USD	-7,835.50	
24AUG17	S	1	PD24NOV17		3112.00	3264.71	USD	-3,817.75	
24AUG17	B	1	PD24NOV17		3110.00	3264.71	USD	3,867.75	
24AUG17	B	1	PD24NOV17		3110.50	3264.71	USD	3,855.25	
24AUG17	B	1	PD24NOV17		3129.00	3264.71	USD	3,392.75	
24AUG17	B	1	PD24NOV17		3124.00	3264.71	USD	3,517.75	
24AUG17	B	2	PD24NOV17		3106.50	3264.71	USD	7,910.50	
24AUG17	B	2	PD24NOV17		3105.50	3264.71	USD	7,960.50	
24AUG17	B	1	PD24NOV17		3107.00	3264.71	USD	3,942.75	
24AUG17	B	1	PD24NOV17		3102.50	3264.71	USD	4,055.25	
24AUG17	B	1	PD24NOV17		3105.00	3264.71	USD	3,992.75	
24AUG17	B	1	PD24NOV17		3108.00	3264.71	USD	3,917.75	
24AUG17	B	1	PD24NOV17		3107.50	3264.71	USD	3,930.25	
25AUG17	S	1	PD24NOV17		3127.00	3264.71	USD	-3,442.75	
25AUG17	S	1	PD24NOV17		3127.50	3264.71	USD	-3,430.25	
25AUG17	S	1	PD24NOV17		3129.00	3264.71	USD	-3,392.75	
25AUG17	S	1	PD24NOV17		3129.50	3264.71	USD	-3,380.25	
25AUG17	S	2	PD24NOV17		3130.00	3264.71	USD	-6,735.50	
25AUG17	S	1	PD24NOV17		3130.50	3264.71	USD	-3,355.25	
25AUG17	B	1	PD24NOV17		3130.00	3264.71	USD	3,367.75	
25AUG17	B	1	PD24NOV17		3072.50	3264.71	USD	4,805.25	
25AUG17	B	1	PD24NOV17		3075.50	3264.71	USD	4,730.25	
25AUG17	B	1	PD24NOV17		3066.50	3264.71	USD	4,955.25	
25AUG17	B	2	PD24NOV17		3067.50	3264.71	USD	9,860.50	
25AUG17	S	1	PD24NOV17		3090.50	3264.71	USD	-4,355.25	
25AUG17	B	1	PD24NOV17		3071.50	3264.71	USD	4,830.25	
25AUG17	B	1	PD24NOV17		3078.50	3264.71	USD	4,655.25	
25AUG17	B	1	PD24NOV17		3056.00	3264.71	USD	5,217.75	
30AUG17	S	4	PD24NOV17		3094.00	3264.71	USD	-17,071.00	
	NET	**0**	**AVG BGHT 3096.68**	**SOLD 3121.25**				**17,200.00**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094S

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
29AUG17	B 1 PD29NOV17	3116.50	3265.25	USD	3,718.75	
29AUG17	B 2 PD29NOV17	3124.00	3265.25	USD	7,062.50	
29AUG17	B 1 PD29NOV17	3097.00	3265.25	USD	4,206.25	
29AUG17	B 1 PD29NOV17	3127.00	3265.25	USD	3,456.25	
29AUG17	B 1 PD29NOV17	3096.50	3265.25	USD	4,218.75	
29AUG17	S 1 PD29NOV17	3104.50	3265.25	USD	-4,018.75	
29AUG17	S 1 PD29NOV17	3108.50	3265.25	USD	-3,918.75	
29AUG17	S 1 PD29NOV17	3114.50	3265.25	USD	-3,768.75	
29AUG17	S 1 PD29NOV17	3115.50	3265.25	USD	-3,743.75	
29AUG17	S 2 PD29NOV17	3115.00	3265.25	USD	-7,512.50	
29AUG17	S 2 PD29NOV17	3117.00	3265.25	USD	-7,412.50	
29AUG17	B 1 PD29NOV17	3122.50	3265.25	USD	3,568.75	
29AUG17	S 1 PD29NOV17	3119.50	3265.25	USD	-3,643.75	
29AUG17	S 1 PD29NOV17	3123.00	3265.25	USD	-3,556.25	
29AUG17	S 1 PD29NOV17	3123.50	3265.25	USD	-3,543.75	
29AUG17	B 1 PD29NOV17	3123.00	3265.25	USD	3,556.25	
29AUG17	S 1 PD29NOV17	3122.00	3265.25	USD	-3,581.25	
29AUG17	S 2 PD29NOV17	3119.00	3265.25	USD	-7,312.50	
29AUG17	S 1 PD29NOV17	3120.50	3265.25	USD	-3,618.75	
29AUG17	S 1 PD29NOV17	3112.50	3265.25	USD	-3,818.75	
30AUG17	B 8 PD29NOV17	3095.03	3265.25	USD	34,044.00	
	NET 0 AVG BGHT 3105.67 SOLD 3116.63				**4,381.50**	**0.00**
30AUG17	B 1 PD30NOV17	3149.50	3265.36	USD	2,896.50	
30AUG17	S 1 PD30NOV17	3145.50	3265.36	USD	-2,996.50	
30AUG17	S 1 PD30NOV17	3147.50	3265.36	USD	-2,946.50	
30AUG17	S 1 PD30NOV17	3149.50	3265.36	USD	-2,896.50	
30AUG17	S 1 PD30NOV17	3150.50	3265.36	USD	-2,871.50	
30AUG17	S 1 PD30NOV17	3151.50	3265.36	USD	-2,846.50	
30AUG17	B 1 PD30NOV17	3127.50	3265.36	USD	3,446.50	
30AUG17	B 1 PD30NOV17	3128.50	3265.36	USD	3,421.50	
30AUG17	S 1 PD30NOV17	3128.50	3265.36	USD	-3,421.50	
30AUG17	B 2 PD30NOV17	3127.00	3265.36	USD	6,918.00	
30AUG17	B 1 PD30NOV17	3106.00	3265.36	USD	3,984.00	
30AUG17	B 1 PD30NOV17	3107.50	3265.36	USD	3,946.50	
30AUG17	B 1 PD30NOV17	3105.00	3265.36	USD	4,009.00	
30AUG17	B 1 PD30NOV17	3111.50	3265.36	USD	3,846.50	
30AUG17	S 3 PD30NOV17	3094.80	3265.36	USD	-12,792.00	
31AUG17	S 1 PD30NOV17	3126.50	3265.36	USD	-3,471.50	
31AUG17	B 1 PD30NOV17	3128.00	3265.36	USD	3,434.00	
31AUG17	S 3 PD30NOV17	3126.00	3265.36	USD	-10,452.00	
31AUG17	S 1 PD30NOV17	3125.00	3265.36	USD	-3,509.00	
31AUG17	S 2 PD30NOV17	3142.00	3265.36	USD	-6,168.00	
31AUG17	S 1 PD30NOV17	3141.50	3265.36	USD	-3,096.50	
31AUG17	S 1 PD30NOV17	3128.50	3265.36	USD	-3,421.50	
31AUG17	S 1 PD30NOV17	3160.00	3265.36	USD	-2,634.00	
31AUG17	S 1 PD30NOV17	3159.00	3265.36	USD	-2,659.00	
31AUG17	S 2 PD30NOV17	3159.50	3265.36	USD	-5,293.00	
31AUG17	B 1 PD30NOV17	3159.00	3265.36	USD	2,659.00	
31AUG17	B 1 PD30NOV17	3160.00	3265.36	USD	2,634.00	
04SEP17	B 5 PD30NOV17	3204.70	3265.36	USD	7,582.50	
05SEP17	B 3 PD30NOV17	3139.63	3265.36	USD	9,429.75	
06SEP17	B 2 PD30NOV17	3093.50	3265.36	USD	8,593.00	
	NET 0 AVG BGHT 3143.90 SOLD 3135.40				**-4,674.75**	**0.00**
01SEP17	S 1 PD01DEC17	3162.00	3265.46	USD	-2,586.50	
01SEP17	B 1 PD01DEC17	3148.50	3265.46	USD	2,924.00	
01SEP17	B 1 PD01DEC17	3155.50	3265.46	USD	2,749.00	
01SEP17	B 1 PD01DEC17	3156.00	3265.46	USD	2,736.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094S

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
01SEP17	S 1 PD01DEC17	3159.50	3265.46	USD	-2,649.00	
01SEP17	S 1 PD01DEC17	3160.00	3265.46	USD	-2,636.50	
01SEP17	S 3 PD01DEC17	3164.00	3265.46	USD	-7,609.50	
01SEP17	B 1 PD01DEC17	3205.00	3265.46	USD	1,511.50	
01SEP17	B 1 PD01DEC17	3210.00	3265.46	USD	1,386.50	
01SEP17	B 2 PD01DEC17	3207.50	3265.46	USD	2,898.00	
06SEP17	S 1 PD01DEC17	3093.55	3265.46	USD	-4,297.75	
	NET 0 AVG BGHT 3184.29 SOLD 3152.44				**-5,573.75**	**0.00**
04SEP17	S 1 PD04DEC17	3194.50	3265.79	USD	-1,782.25	
04SEP17	S 2 PD04DEC17	3197.00	3265.79	USD	-3,439.50	
04SEP17	S 1 PD04DEC17	3194.00	3265.79	USD	-1,794.75	
04SEP17	S 1 PD04DEC17	3197.50	3265.79	USD	-1,707.25	
04SEP17	S 1 PD04DEC17	3198.00	3265.79	USD	-1,694.75	
04SEP17	B 1 PD04DEC17	3205.00	3265.79	USD	1,519.75	
04SEP17	B 1 PD04DEC17	3207.50	3265.79	USD	1,457.25	
04SEP17	B 1 PD04DEC17	3208.00	3265.79	USD	1,444.75	
04SEP17	B 3 PD04DEC17	3209.50	3265.79	USD	4,221.75	
04SEP17	B 1 PD04DEC17	3210.00	3265.79	USD	1,394.75	
06SEP17	S 1 PD04DEC17	3094.30	3265.79	USD	-4,287.25	
	NET 0 AVG BGHT 3208.43 SOLD 3181.76				**-4,667.50**	**0.00**
05SEP17	S 1 PD05DEC17	3199.00	3265.89	USD	-1,672.25	
05SEP17	S 2 PD05DEC17	3199.50	3265.89	USD	-3,319.50	
05SEP17	B 1 PD05DEC17	3162.00	3265.89	USD	2,597.25	
05SEP17	B 1 PD05DEC17	3166.50	3265.89	USD	2,484.75	
05SEP17	B 1 PD05DEC17	3168.00	3265.89	USD	2,447.25	
05SEP17	B 1 PD05DEC17	3105.50	3265.89	USD	4,009.75	
05SEP17	B 1 PD05DEC17	3098.50	3265.89	USD	4,184.75	
05SEP17	B 1 PD05DEC17	3102.50	3265.89	USD	4,084.75	
14SEP17	S 3 PD05DEC17	3004.71	3265.89	USD	-19,588.50	
	NET 0 AVG BGHT 3133.83 SOLD 3102.02				**-4,771.75**	**0.00**
06SEP17	S 1 PD06DEC17	3123.00	3266.00	USD	-3,575.00	
06SEP17	S 1 PD06DEC17	3126.50	3266.00	USD	-3,487.50	
06SEP17	S 1 PD06DEC17	3120.50	3266.00	USD	-3,637.50	
06SEP17	B 3 PD06DEC17	3087.50	3266.00	USD	13,387.50	
06SEP17	B 1 PD06DEC17	3090.50	3266.00	USD	4,387.50	
06SEP17	B 1 PD06DEC17	3081.00	3266.00	USD	4,625.00	
06SEP17	S 1 PD06DEC17	3095.00	3266.00	USD	-4,275.00	
06SEP17	S 1 PD06DEC17	3097.00	3266.00	USD	-4,225.00	
06SEP17	S 1 PD06DEC17	3104.00	3266.00	USD	-4,050.00	
14SEP17	B 1 PD06DEC17	3005.06	3266.00	USD	6,523.50	
	NET 0 AVG BGHT 3073.18 SOLD 3111.00				**5,673.50**	**0.00**
07SEP17	S 1 PD07DEC17	3103.00	3266.11	USD	-4,077.75	
07SEP17	B 1 PD07DEC17	3106.00	3266.11	USD	4,002.75	
07SEP17	B 1 PD07DEC17	3108.50	3266.11	USD	3,940.25	
07SEP17	B 1 PD07DEC17	3125.50	3266.11	USD	3,515.25	
07SEP17	B 2 PD07DEC17	3136.00	3266.11	USD	6,505.50	
14SEP17	S 4 PD07DEC17	3005.00	3266.11	USD	-26,111.00	
	NET 0 AVG BGHT 3122.40 SOLD 3024.60				**-12,225.00**	**0.00**
08SEP17	B 1 PD08DEC17	3077.50	3266.21	USD	4,717.75	
08SEP17	B 1 PD08DEC17	3079.00	3266.21	USD	4,680.25	
08SEP17	S 2 PD08DEC17	3037.50	3266.21	USD	-11,435.50	
08SEP17	S 1 PD08DEC17	3032.50	3266.21	USD	-5,842.75	
08SEP17	S 1 PD08DEC17	3033.00	3266.21	USD	-5,830.25	
08SEP17	S 1 PD08DEC17	3025.00	3266.21	USD	-6,030.25	
14SEP17	B 3 PD08DEC17	3005.34	3266.21	USD	19,565.25	
	NET 0 AVG BGHT 3034.50 SOLD 3033.10				**-175.50**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094S

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
11SEP17	S	1	PD11DEC17	3061.50	3266.54	USD	-5,126.00	
11SEP17	S	1	PD11DEC17	3057.50	3266.54	USD	-5,226.00	
11SEP17	S	1	PD11DEC17	3058.00	3266.54	USD	-5,213.50	
11SEP17	S	1	PD11DEC17	3058.50	3266.54	USD	-5,201.00	
11SEP17	S	1	PD11DEC17	3060.50	3266.54	USD	-5,151.00	
11SEP17	B	1	PD11DEC17	3061.00	3266.54	USD	5,138.50	
11SEP17	B	1	PD11DEC17	3061.50	3266.54	USD	5,126.00	
11SEP17	B	1	PD11DEC17	3049.50	3266.54	USD	5,426.00	
11SEP17	B	1	PD11DEC17	3050.00	3266.54	USD	5,413.50	
14SEP17	B	1	PD11DEC17	3005.77	3266.54	USD	6,519.25	
	NET	**0**	**AVG BGHT 3045.55**	**SOLD 3059.20**			**1,705.75**	**0.00**
12SEP17	S	1	PD12DEC17	3083.00	3266.64	USD	-4,591.00	
12SEP17	S	1	PD12DEC17	3084.00	3266.64	USD	-4,566.00	
12SEP17	S	2	PD12DEC17	3084.50	3266.64	USD	-9,107.00	
12SEP17	S	1	PD12DEC17	3085.00	3266.64	USD	-4,541.00	
12SEP17	B	1	PD12DEC17	3060.50	3266.64	USD	5,153.50	
12SEP17	B	1	PD12DEC17	3064.50	3266.64	USD	5,053.50	
12SEP17	B	1	PD12DEC17	3060.00	3266.64	USD	5,166.00	
12SEP17	B	1	PD12DEC17	3055.50	3266.64	USD	5,278.50	
12SEP17	B	1	PD12DEC17	3054.00	3266.64	USD	5,316.00	
12SEP17	B	1	PD12DEC17	3055.00	3266.64	USD	5,291.00	
12SEP17	S	1	PD12DEC17	3078.00	3266.64	USD	-4,716.00	
	NET	**0**	**AVG BGHT 3058.25**	**SOLD 3083.17**			**3,737.50**	**0.00**
13SEP17	B	1	PD13DEC17	3055.00	3266.75	USD	5,293.75	
13SEP17	S	1	PD13DEC17	3056.50	3266.75	USD	-5,256.25	
13SEP17	S	1	PD13DEC17	3057.50	3266.75	USD	-5,231.25	
13SEP17	S	1	PD13DEC17	3059.50	3266.75	USD	-5,181.25	
13SEP17	B	1	PD13DEC17	3023.50	3266.75	USD	6,081.25	
13SEP17	B	1	PD13DEC17	3022.00	3266.75	USD	6,118.75	
13SEP17	S	1	PD13DEC17	3037.50	3266.75	USD	-5,731.25	
13SEP17	S	1	PD13DEC17	3038.00	3266.75	USD	-5,718.75	
14SEP17	B	2	PD13DEC17	3006.06	3266.75	USD	13,034.50	
	NET	**0**	**AVG BGHT 3022.52**	**SOLD 3049.80**			**3,409.50**	**0.00**
14SEP17	B	1	PD14DEC17	2993.00	3266.86	USD	6,846.50	
14SEP17	B	1	PD14DEC17	2980.00	3266.86	USD	7,171.50	
14SEP17	B	1	PD14DEC17	2982.00	3266.86	USD	7,121.50	
14SEP17	B	1	PD14DEC17	2977.50	3266.86	USD	7,234.00	
14SEP17	B	1	PD14DEC17	2988.50	3266.86	USD	6,959.00	
14SEP17	S	1	PD14DEC17	3015.00	3266.86	USD	-6,296.50	
14SEP17	S	1	PD14DEC17	3015.50	3266.86	USD	-6,284.00	
14SEP17	S	3	PD14DEC17	3016.50	3266.86	USD	-18,777.00	
14SEP17	B	2	PD14DEC17	3005.00	3266.86	USD	13,093.00	
21SEP17	S	2	PD14DEC17	3100.43	3266.86	USD	-8,321.50	
	NET	**0**	**AVG BGHT 2990.14**	**SOLD 3040.12**			**8,746.50**	**0.00**
15SEP17	S	2	PD15DEC17	3012.00	3266.96	USD	-12,748.00	
15SEP17	B	2	PD15DEC17	3001.00	3266.96	USD	13,298.00	
15SEP17	B	1	PD15DEC17	3000.00	3266.96	USD	6,674.00	
15SEP17	S	1	PD15DEC17	3030.00	3266.96	USD	-5,924.00	
15SEP17	S	1	PD15DEC17	3032.00	3266.96	USD	-5,874.00	
15SEP17	S	3	PD15DEC17	3030.80	3266.96	USD	-17,712.00	
15SEP17	B	2	PD15DEC17	3032.50	3266.96	USD	11,723.00	
15SEP17	S	1	PD15DEC17	3031.00	3266.96	USD	-5,899.00	
21SEP17	B	1	PD15DEC17	3100.69	3266.96	USD	4,156.75	
21SEP17	B	2	PD15DEC17	3100.89	3266.96	USD	8,303.50	
	NET	**0**	**AVG BGHT 3046.18**	**SOLD 3026.18**			**-4,001.75**	**0.00**
18SEP17	S	1	PD18DEC17	3084.50	3267.29	USD	-4,569.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094S

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
18SEP17	S 1 PD18DEC17	3065.00	3267.29	USD	-5,057.25	
18SEP17	S 1 PD18DEC17	3066.00	3267.29	USD	-5,032.25	
18SEP17	S 2 PD18DEC17	3068.50	3267.29	USD	-9,939.50	
18SEP17	S 1 PD18DEC17	3073.00	3267.29	USD	-4,857.25	
18SEP17	S 1 PD18DEC17	3074.00	3267.29	USD	-4,832.25	
18SEP17	B 1 PD18DEC17	3066.00	3267.29	USD	5,032.25	
18SEP17	S 1 PD18DEC17	3087.50	3267.29	USD	-4,494.75	
18SEP17	S 1 PD18DEC17	3088.50	3267.29	USD	-4,469.75	
18SEP17	S 1 PD18DEC17	3081.50	3267.29	USD	-4,644.75	
18SEP17	S 2 PD18DEC17	3081.00	3267.29	USD	-9,314.50	
18SEP17	S 1 PD18DEC17	3094.50	3267.29	USD	-4,319.75	
18SEP17	B 1 PD18DEC17	3096.50	3267.29	USD	4,269.75	
18SEP17	B 1 PD18DEC17	3098.00	3267.29	USD	4,232.25	
18SEP17	B 1 PD18DEC17	3101.00	3267.29	USD	4,157.25	
18SEP17	B 1 PD18DEC17	3105.00	3267.29	USD	4,057.25	
18SEP17	B 2 PD18DEC17	3111.50	3267.29	USD	7,789.50	
18SEP17	B 4 PD18DEC17	3110.50	3267.29	USD	15,679.00	
18SEP17	B 2 PD18DEC17	3111.00	3267.29	USD	7,814.50	
	NET 0 AVG BGHT 3104.12 SOLD 3077.96				**-8,500.00**	**0.00**
19SEP17	S 1 PD19DEC17	3104.00	3267.39	USD	-4,084.75	
19SEP17	S 1 PD19DEC17	3106.00	3267.39	USD	-4,034.75	
19SEP17	S 1 PD19DEC17	3106.50	3267.39	USD	-4,022.25	
19SEP17	S 1 PD19DEC17	3100.50	3267.39	USD	-4,172.25	
19SEP17	S 1 PD19DEC17	3102.50	3267.39	USD	-4,122.25	
19SEP17	S 1 PD19DEC17	3105.00	3267.39	USD	-4,059.75	
19SEP17	S 1 PD19DEC17	3102.00	3267.39	USD	-4,134.75	
19SEP17	B 1 PD19DEC17	3088.00	3267.39	USD	4,484.75	
19SEP17	B 1 PD19DEC17	3088.50	3267.39	USD	4,472.25	
19SEP17	B 1 PD19DEC17	3085.50	3267.39	USD	4,547.25	
19SEP17	B 1 PD19DEC17	3087.50	3267.39	USD	4,497.25	
19SEP17	B 1 PD19DEC17	3078.00	3267.39	USD	4,734.75	
19SEP17	B 1 PD19DEC17	3081.50	3267.39	USD	4,647.25	
19SEP17	B 1 PD19DEC17	3079.00	3267.39	USD	4,709.75	
19SEP17	B 1 PD19DEC17	3080.50	3267.39	USD	4,672.25	
21SEP17	S 1 PD19DEC17	3101.54	3267.39	USD	-4,146.25	
	NET 0 AVG BGHT 3083.56 SOLD 3103.51				**3,988.50**	**0.00**
20SEP17	S 1 PD20DEC17	3149.50	3267.50	USD	-2,950.00	
20SEP17	S 2 PD20DEC17	3150.50	3267.50	USD	-5,850.00	
20SEP17	S 1 PD20DEC17	3147.00	3267.50	USD	-3,012.50	
20SEP17	B 1 PD20DEC17	3126.00	3267.50	USD	3,537.50	
20SEP17	B 1 PD20DEC17	3129.00	3267.50	USD	3,462.50	
20SEP17	B 1 PD20DEC17	3129.50	3267.50	USD	3,450.00	
20SEP17	B 1 PD20DEC17	3130.50	3267.50	USD	3,425.00	
	NET 0 AVG BGHT 3128.75 SOLD 3149.38				**2,062.50**	**0.00**
21SEP17	B 1 PD21DEC17	3026.50	3264.00	USD	5,937.50	
21SEP17	B 1 PD21DEC17	3027.00	3264.00	USD	5,925.00	
21SEP17	B 1 PD21DEC17	3038.00	3264.00	USD	5,650.00	
21SEP17	S 1 PD21DEC17	3044.00	3264.00	USD	-5,500.00	
21SEP17	S 1 PD21DEC17	3044.50	3264.00	USD	-5,487.50	
26SEP17	S 1 PD21DEC17	3118.00	3264.00	USD	-3,650.00	
	NET 0 AVG BGHT 3030.50 SOLD 3068.83				**2,875.00**	**0.00**
22SEP17	B 1 PD22DEC17	3046.00	3264.00	USD	5,450.00	
22SEP17	S 2 PD22DEC17	3035.00	3264.00	USD	-11,450.00	
22SEP17	B 1 PD22DEC17	3061.50	3264.00	USD	5,062.50	
22SEP17	B 1 PD22DEC17	3062.00	3264.00	USD	5,050.00	
22SEP17	B 1 PD22DEC17	3065.00	3264.00	USD	4,975.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094S

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
22SEP17	S 1 PD22DEC17	3050.50	3264.00	USD	-5,337.50	
22SEP17	S 1 PD22DEC17	3052.00	3264.00	USD	-5,300.00	
22SEP17	S 1 PD22DEC17	3053.50	3264.00	USD	-5,262.50	
22SEP17	S 1 PD22DEC17	3054.00	3264.00	USD	-5,250.00	
22SEP17	B 1 PD22DEC17	3063.50	3264.00	USD	5,012.50	
22SEP17	B 1 PD22DEC17	3064.00	3264.00	USD	5,000.00	
	NET 0 AVG BGHT 3060.33 SOLD 3046.67				**-2,050.00**	**0.00**
25SEP17	S 1 PD27DEC17	3095.00	3264.00	USD	-4,225.00	
25SEP17	S 1 PD27DEC17	3090.00	3264.00	USD	-4,350.00	
25SEP17	S 1 PD27DEC17	3094.00	3264.00	USD	-4,250.00	
25SEP17	S 1 PD27DEC17	3089.00	3264.00	USD	-4,375.00	
25SEP17	S 1 PD27DEC17	3091.50	3264.00	USD	-4,312.50	
25SEP17	S 1 PD27DEC17	3085.50	3264.00	USD	-4,462.50	
25SEP17	S 2 PD27DEC17	3086.00	3264.00	USD	-8,900.00	
25SEP17	S 1 PD27DEC17	3089.50	3264.00	USD	-4,362.50	
25SEP17	S 1 PD27DEC17	3092.00	3264.00	USD	-4,300.00	
25SEP17	B 2 PD27DEC17	3097.50	3264.00	USD	8,325.00	
25SEP17	B 1 PD27DEC17	3099.00	3264.00	USD	4,125.00	
25SEP17	B 1 PD27DEC17	3098.50	3264.00	USD	4,137.50	
25SEP17	B 1 PD27DEC17	3104.50	3264.00	USD	3,987.50	
25SEP17	B 1 PD27DEC17	3114.00	3264.00	USD	3,750.00	
25SEP17	B 2 PD27DEC17	3113.50	3264.00	USD	7,525.00	
26SEP17	S 1 PD27DEC17	3131.50	3264.00	USD	-3,312.50	
26SEP17	S 1 PD27DEC17	3133.50	3264.00	USD	-3,262.50	
26SEP17	S 1 PD27DEC17	3141.50	3264.00	USD	-3,062.50	
26SEP17	S 1 PD27DEC17	3142.00	3264.00	USD	-3,050.00	
26SEP17	S 1 PD27DEC17	3147.50	3264.00	USD	-2,912.50	
26SEP17	S 1 PD27DEC17	3149.50	3264.00	USD	-2,862.50	
26SEP17	S 2 PD27DEC17	3142.50	3264.00	USD	-6,075.00	
26SEP17	S 1 PD27DEC17	3143.50	3264.00	USD	-3,012.50	
26SEP17	S 1 PD27DEC17	3144.00	3264.00	USD	-3,000.00	
26SEP17	B 1 PD27DEC17	3120.50	3264.00	USD	3,587.50	
26SEP17	B 1 PD27DEC17	3136.00	3264.00	USD	3,200.00	
26SEP17	B 1 PD27DEC17	3113.00	3264.00	USD	3,775.00	
26SEP17	B 3 PD27DEC17	3118.50	3264.00	USD	10,912.50	
26SEP17	B 1 PD27DEC17	3119.00	3264.00	USD	3,625.00	
26SEP17	B 1 PD27DEC17	3119.50	3264.00	USD	3,612.50	
26SEP17	B 2 PD27DEC17	3120.00	3264.00	USD	7,200.00	
26SEP17	B 1 PD27DEC17	3112.50	3264.00	USD	3,787.50	
26SEP17	B 1 PD27DEC17	3115.50	3264.00	USD	3,712.50	
26SEP17	B 1 PD27DEC17	3114.50	3264.00	USD	3,737.50	
26SEP17	B 1 PD27DEC17	3115.00	3264.00	USD	3,725.00	
26SEP17	S 2 PD27DEC17	3133.00	3264.00	USD	-6,550.00	
26SEP17	S 1 PD27DEC17	3129.00	3264.00	USD	-3,375.00	
26SEP17	B 1 PD27DEC17	3112.00	3264.00	USD	3,800.00	
26SEP17	S 1 PD27DEC17	3113.50	3264.00	USD	-3,762.50	
26SEP17	B 1 PD27DEC17	3116.20	3264.00	USD	3,695.00	
27SEP17	B 2 PD27DEC17	3116.00	3264.00	USD	7,400.00	
27SEP17	B 1 PD27DEC17	3117.00	3264.00	USD	3,675.00	
27SEP17	B 1 PD27DEC17	3135.00	3264.00	USD	3,225.00	
27SEP17	S 1 PD27DEC17	3116.00	3264.00	USD	-3,700.00	
27SEP17	S 2 PD27DEC17	3116.50	3264.00	USD	-7,375.00	
27SEP17	S 3 PD27DEC17	3139.50	3264.00	USD	-9,337.50	
27SEP17	S 1 PD27DEC17	3140.00	3264.00	USD	-3,100.00	
27SEP17	S 1 PD27DEC17	3141.00	3264.00	USD	-3,075.00	
27SEP17	B 1 PD27DEC17	3136.50	3264.00	USD	3,187.50	
27SEP17	B 1 PD27DEC17	3137.50	3264.00	USD	3,162.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094S

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
27SEP17	B 1 PD27DEC17	3139.00	3264.00	USD	3,125.00	
27SEP17	B 1 PD27DEC17	3141.00	3264.00	USD	3,075.00	
27SEP17	B 1 PD27DEC17	3096.50	3264.00	USD	4,187.50	
27SEP17	B 1 PD27DEC17	3097.50	3264.00	USD	4,162.50	
27SEP17	B 1 PD27DEC17	3098.00	3264.00	USD	4,150.00	
27SEP17	B 1 PD27DEC17	3105.00	3264.00	USD	3,975.00	
27SEP17	B 1 PD27DEC17	3106.50	3264.00	USD	3,937.50	
27SEP17	B 1 PD27DEC17	3107.50	3264.00	USD	3,912.50	
27SEP17	S 2 PD27DEC17	3113.50	3264.00	USD	-7,525.00	
27SEP17	S 1 PD27DEC17	3114.00	3264.00	USD	-3,750.00	
27SEP17	S 1 PD27DEC17	3115.00	3264.00	USD	-3,725.00	
27SEP17	S 4 PD27DEC17	3113.00	3264.00	USD	-15,100.00	
27SEP17	B 1 PD27DEC17	3122.00	3264.00	USD	3,550.00	
27SEP17	B 1 PD27DEC17	3102.50	3264.00	USD	4,037.50	
27SEP17	B 1 PD27DEC17	3103.50	3264.00	USD	4,012.50	
27SEP17	B 1 PD27DEC17	3123.00	3264.00	USD	3,525.00	
29SEP17	S 2 PD27DEC17	3162.38	3264.00	USD	-5,081.00	
	NET 0 AVG BGHT 3114.93 SOLD 3121.58				**6,976.50**	**0.00**
28SEP17	S 1 PD28DEC17	3111.00	3264.00	USD	-3,825.00	
28SEP17	S 1 PD28DEC17	3110.00	3264.00	USD	-3,850.00	
28SEP17	S 1 PD28DEC17	3134.00	3264.00	USD	-3,250.00	
28SEP17	S 2 PD28DEC17	3124.00	3264.00	USD	-7,000.00	
28SEP17	S 1 PD28DEC17	3125.00	3264.00	USD	-3,475.00	
28SEP17	S 2 PD28DEC17	3126.00	3264.00	USD	-6,900.00	
28SEP17	B 1 PD28DEC17	3131.50	3264.00	USD	3,312.50	
28SEP17	S 1 PD28DEC17	3132.50	3264.00	USD	-3,287.50	
28SEP17	S 1 PD28DEC17	3138.00	3264.00	USD	-3,150.00	
28SEP17	S 1 PD28DEC17	3137.50	3264.00	USD	-3,162.50	
28SEP17	B 2 PD28DEC17	3136.00	3264.00	USD	6,400.00	
28SEP17	B 1 PD28DEC17	3114.50	3264.00	USD	3,737.50	
28SEP17	B 1 PD28DEC17	3117.00	3264.00	USD	3,675.00	
28SEP17	B 1 PD28DEC17	3119.00	3264.00	USD	3,625.00	
28SEP17	B 1 PD28DEC17	3130.00	3264.00	USD	3,350.00	
28SEP17	B 1 PD28DEC17	3130.50	3264.00	USD	3,337.50	
28SEP17	S 1 PD28DEC17	3147.00	3264.00	USD	-2,925.00	
28SEP17	B 1 PD28DEC17	3138.00	3264.00	USD	3,150.00	
28SEP17	B 1 PD28DEC17	3145.50	3264.00	USD	2,962.50	
28SEP17	S 1 PD28DEC17	3151.00	3264.00	USD	-2,825.00	
28SEP17	S 1 PD28DEC17	3152.00	3264.00	USD	-2,800.00	
28SEP17	S 1 PD28DEC17	3154.50	3264.00	USD	-2,737.50	
28SEP17	S 2 PD28DEC17	3154.00	3264.00	USD	-5,500.00	
28SEP17	B 2 PD28DEC17	3156.50	3264.00	USD	5,375.00	
28SEP17	B 1 PD28DEC17	3156.00	3264.00	USD	2,700.00	
29SEP17	B 2 PD28DEC17	3162.39	3264.00	USD	5,080.50	
	NET -2 AVG BGHT 3139.45 SOLD 3135.32				**-7,982.00**	**0.00**
29SEP17	B 1 PD29DEC17	3148.00	3264.00	USD	2,900.00	
29SEP17	B 1 PD29DEC17	3148.50	3264.00	USD	2,887.50	
29SEP17	B 1 PD29DEC17	3146.00	3264.00	USD	2,950.00	
29SEP17	B 2 PD29DEC17	3147.00	3264.00	USD	5,850.00	
29SEP17	S 1 PD29DEC17	3147.00	3264.00	USD	-2,925.00	
29SEP17	B 3 PD29DEC17	3150.00	3264.00	USD	8,550.00	
29SEP17	B 1 PD29DEC17	3149.00	3264.00	USD	2,875.00	
29SEP17	B 2 PD29DEC17	3151.00	3264.00	USD	5,650.00	
29SEP17	S 11 PD29DEC17	3161.80	3264.00	USD	-28,105.00	
29SEP17	B 1 PD29DEC17	3160.00	3264.00	USD	2,600.00	
29SEP17	B 1 PD29DEC17	3161.00	3264.00	USD	2,575.00	
29SEP17	B 1 PD29DEC17	3168.00	3264.00	USD	2,400.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094S

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
	NET +2 AVG BGHT 3151.89 SOLD 3160.57				**8,207.50**	**0.00**
03OCT17	S 1 PD03JAN18	3226.00	3264.00	USD	-950.00	
03OCT17	S 1 PD03JAN18	3228.00	3264.00	USD	-900.00	
03OCT17	S 1 PD03JAN18	3229.50	3264.00	USD	-862.50	
03OCT17	S 1 PD03JAN18	3230.00	3264.00	USD	-850.00	
03OCT17	S 1 PD03JAN18	3236.50	3264.00	USD	-687.50	
03OCT17	B 1 PD03JAN18	3288.00	3264.00	USD	-600.00	
03OCT17	B 1 PD03JAN18	3288.50	3264.00	USD	-612.50	
03OCT17	B 3 PD03JAN18	3289.50	3264.00	USD	-1,912.50	
03OCT17	S 1 PD03JAN18	3282.00	3264.00	USD	450.00	
03OCT17	S 1 PD03JAN18	3283.50	3264.00	USD	487.50	
03OCT17	B 1 PD03JAN18	3262.00	3264.00	USD	50.00	
03OCT17	B 1 PD03JAN18	3263.50	3264.00	USD	12.50	
03OCT17	B 1 PD03JAN18	3278.00	3264.00	USD	-350.00	
03OCT17	B 1 PD03JAN18	3281.50	3264.00	USD	-437.50	
	NET +2 AVG BGHT 3281.11 SOLD 3245.07				**-7,162.50**	**0.00**

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	-11,292.00
LME Copper Grade A 25	FUTURES	-5,787.50
LME Lead 25 tonnes. This is an	FUTURES	1,083,076.75
LME Nickel 6 tonnes. This is	FUTURES	714.06
LME Zinc 25 tonnes. This is	FUTURES	376,621.00

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards			Amount	Profit / Loss	**Total Amount**	**Total P&L**
ALUL	- Futures	USD	-11,292.00	-11,292.00		
COPL	- Futures	USD	-5,787.50	-5,787.50		
LEAD	- Futures	USD	-81,752.50	-81,752.50		
NKL	- Futures	USD	714.06	714.06		
ZINC	- Futures	USD	-33,041.50	-33,041.50	**-131,159.44**	**-131,159.44**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094S

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-20,951.13	-20,951.13
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**-20,951.13**	**-20,951.13**
Initial Margins		-248,544.44	-248,544.44
Excess/(Shortage)		**-269,495.57**	**-269,495.57**
FOR INFORMATION ONLY			
Forward Unrealised P&L		-131,159.44	-131,159.44
Net Liquidating Value		**-152,110.57**	**-152,110.57**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094T

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		EUR	USD	NETT USD
		(1.17550)	(1.00000)	(1.00000)
CASH BALANCE		-330.00	-321.80	-709.71
Variation Margins	- Futures	0.00	0.00	0.00
	- Options	0.00	0.00	0.00
TOTAL EQUITY		**-330.00**	**-321.80**	**-709.71**
Initial Margins		0.00	0.00	0.00
Excess/(Shortage)		**-330.00**	**-321.80**	**-709.71**
FOR INFORMATION ONLY				
Net Liquidating Value		**-330.00**	**-321.80**	**-709.71**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094U

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
13JUL17	S 1 PD13OCT17	1925.00	2116.25	USD	-4,781.25	
13JUL17	S 1 PD13OCT17	1925.50	2116.25	USD	-4,768.75	
13JUL17	S 1 PD13OCT17	1935.00	2116.25	USD	-4,531.25	
14JUL17	B 1 PD13OCT17	1922.50	2116.25	USD	4,843.75	
14JUL17	B 1 PD13OCT17	1920.50	2116.25	USD	4,893.75	
14JUL17	B 1 PD13OCT17	1921.00	2116.25	USD	4,881.25	
	NET 0 AVG BGHT 1921.33 SOLD 1928.50				**537.50**	**0.00**
19JUL17	S 1 PD19OCT17	1920.00	2116.64	USD	-4,916.00	
19JUL17	S 1 PD19OCT17	1922.00	2116.64	USD	-4,866.00	
26JUL17	B 2 PD19OCT17	1940.50	2116.64	USD	8,807.00	
	NET 0 AVG BGHT 1940.50 SOLD 1921.00				**-975.00**	**0.00**
20JUL17	B 1 PD20OCT17	1918.00	2117.03	USD	4,975.75	
20JUL17	B 1 PD20OCT17	1916.00	2117.03	USD	5,025.75	
26JUL17	S 2 PD20OCT17	1940.51	2117.03	USD	-8,826.00	
	NET 0 AVG BGHT 1917.00 SOLD 1940.51				**1,175.50**	**0.00**
24JUL17	S 1 PD24OCT17	1923.50	2118.58	USD	-4,877.00	
24JUL17	B 1 PD24OCT17	1911.00	2118.58	USD	5,189.50	
	NET 0 AVG BGHT 1911.00 SOLD 1923.50				**312.50**	**0.00**
25JUL17	S 1 PD25OCT17	1919.50	2118.97	USD	-4,986.75	
28JUL17	B 1 PD25OCT17	1906.25	2118.97	USD	5,318.00	
	NET 0 AVG BGHT 1906.25 SOLD 1919.50				**331.25**	**0.00**
26JUL17	S 1 PD26OCT17	1961.50	2119.36	USD	-3,946.50	
28JUL17	B 1 PD26OCT17	1906.63	2119.36	USD	5,318.25	
	NET 0 AVG BGHT 1906.63 SOLD 1961.50				**1,371.75**	**0.00**
27JUL17	B 1 PD27OCT17	1941.50	2119.75	USD	4,456.25	
27JUL17	B 1 PD27OCT17	1941.00	2119.75	USD	4,468.75	
28JUL17	B 3 PD27OCT17	1914.00	2119.75	USD	15,431.25	
28JUL17	B 2 PD27OCT17	1913.00	2119.75	USD	10,337.50	
28JUL17	S 2 PD27OCT17	1906.80	2119.75	USD	-10,647.50	
28JUL17	S 1 PD27OCT17	1911.00	2119.75	USD	-5,218.75	
28JUL17	S 2 PD27OCT17	1911.50	2119.75	USD	-10,412.50	
28JUL17	B 1 PD27OCT17	1911.50	2119.75	USD	5,206.25	
01AUG17	S 3 PD27OCT17	1905.56	2119.75	USD	-16,064.25	
	NET 0 AVG BGHT 1920.25 SOLD 1908.04				**-2,443.00**	**0.00**
31JUL17	S 3 PD31OCT17	1917.00	2117.75	USD	-15,056.25	
31JUL17	S 3 PD31OCT17	1921.00	2117.75	USD	-14,756.25	
31JUL17	S 1 PD31OCT17	1923.00	2117.75	USD	-4,868.75	
31JUL17	S 1 PD31OCT17	1924.00	2117.75	USD	-4,843.75	
31JUL17	S 1 PD31OCT17	1924.50	2117.75	USD	-4,831.25	
31JUL17	B 6 PD31OCT17	1918.20	2117.75	USD	29,932.50	
01AUG17	B 3 PD31OCT17	1906.91	2117.75	USD	15,813.00	
	NET 0 AVG BGHT 1914.44 SOLD 1920.61				**1,389.25**	**0.00**
01AUG17	B 1 PD01NOV17	1909.00	2117.42	USD	5,210.50	
01AUG17	B 2 PD01NOV17	1909.50	2117.42	USD	10,396.00	
09AUG17	S 3 PD01NOV17	2026.07	2117.42	USD	-6,851.25	
	NET 0 AVG BGHT 1909.33 SOLD 2026.07				**8,755.25**	**0.00**
02AUG17	S 1 PD02NOV17	1935.00	2117.08	USD	-4,552.00	
02AUG17	S 1 PD02NOV17	1933.00	2117.08	USD	-4,602.00	
02AUG17	S 1 PD02NOV17	1936.00	2117.08	USD	-4,527.00	
09AUG17	B 3 PD02NOV17	2026.45	2117.08	USD	6,797.25	
	NET 0 AVG BGHT 2026.45 SOLD 1934.67				**-6,883.75**	**0.00**
04AUG17	B 1 PD03NOV17	1907.50	2116.75	USD	5,231.25	
04AUG17	B 1 PD03NOV17	1907.00	2116.75	USD	5,243.75	
09AUG17	S 2 PD03NOV17	2026.43	2116.75	USD	-4,516.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094U

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
	NET 0 AVG BGHT 1907.25 SOLD 2026.43				**5,959.00**	**0.00**
07AUG17	S 2 PD07NOV17	1923.00	2116.08	USD	-9,654.00	
07AUG17	S 1 PD07NOV17	1923.50	2116.08	USD	-4,814.50	
07AUG17	S 1 PD07NOV17	1953.50	2116.08	USD	-4,064.50	
07AUG17	S 1 PD07NOV17	1954.00	2116.08	USD	-4,052.00	
07AUG17	S 1 PD07NOV17	1954.50	2116.08	USD	-4,039.50	
07AUG17	S 2 PD07NOV17	1955.50	2116.08	USD	-8,029.00	
09AUG17	B 8 PD07NOV17	2027.34	2116.08	USD	17,748.00	
	NET 0 AVG BGHT 2027.34 SOLD 1942.81				**-16,905.50**	**0.00**
08AUG17	S 1 PD08NOV17	2009.50	2115.75	USD	-2,656.25	
08AUG17	S 1 PD08NOV17	2010.00	2115.75	USD	-2,643.75	
08AUG17	S 3 PD08NOV17	2010.50	2115.75	USD	-7,893.75	
09AUG17	B 5 PD08NOV17	2027.52	2115.75	USD	11,028.75	
	NET 0 AVG BGHT 2027.52 SOLD 2010.20				**-2,165.00**	**0.00**
16AUG17	S 1 PD16NOV17	2060.00	2118.52	USD	-1,463.00	
16AUG17	S 1 PD16NOV17	2061.00	2118.52	USD	-1,438.00	
16AUG17	B 1 PD16NOV17	2085.00	2118.52	USD	838.00	
16AUG17	B 1 PD16NOV17	2085.50	2118.52	USD	825.50	
	NET 0 AVG BGHT 2085.25 SOLD 2060.50				**-1,237.50**	**0.00**
17AUG17	B 3 PD17NOV17	2074.50	2118.79	USD	3,321.75	
17AUG17	B 2 PD17NOV17	2076.00	2118.79	USD	2,139.50	
17AUG17	S 5 PD17NOV17	2075.80	2118.79	USD	-5,373.75	
18AUG17	B 1 PD17NOV17	2060.50	2118.79	USD	1,457.25	
18AUG17	B 1 PD17NOV17	2061.50	2118.79	USD	1,432.25	
18AUG17	B 1 PD17NOV17	2065.00	2118.79	USD	1,344.75	
18AUG17	B 2 PD17NOV17	2062.50	2118.79	USD	2,814.50	
18AUG17	B 1 PD17NOV17	2066.00	2118.79	USD	1,319.75	
18AUG17	S 5 PD17NOV17	2061.80	2118.79	USD	-7,123.75	
30AUG17	S 1 PD17NOV17	2085.00	2118.79	USD	-844.75	
	NET 0 AVG BGHT 2068.50 SOLD 2070.27				**487.50**	**0.00**
23AUG17	B 1 PD24NOV17	2062.50	2120.69	USD	1,454.75	
23AUG17	S 2 PD24NOV17	2076.50	2120.69	USD	-2,209.50	
25AUG17	B 1 PD24NOV17	2067.50	2120.69	USD	1,329.75	
25AUG17	S 1 PD24NOV17	2068.00	2120.69	USD	-1,317.25	
25AUG17	S 1 PD24NOV17	2071.00	2120.69	USD	-1,242.25	
30AUG17	B 1 PD24NOV17	2086.55	2120.69	USD	853.50	
31AUG17	B 1 PD24NOV17	2115.93	2120.69	USD	119.00	
	NET 0 AVG BGHT 2083.12 SOLD 2073.00				**-1,012.00**	**0.00**
30AUG17	B 1 PD30NOV17	2098.50	2122.32	USD	595.50	
30AUG17	S 1 PD30NOV17	2099.00	2122.32	USD	-583.00	
30AUG17	S 1 PD30NOV17	2099.50	2122.32	USD	-570.50	
30AUG17	S 1 PD30NOV17	2096.50	2122.32	USD	-645.50	
31AUG17	S 1 PD30NOV17	2127.50	2122.32	USD	129.50	
31AUG17	S 1 PD30NOV17	2125.00	2122.32	USD	67.00	
31AUG17	S 1 PD30NOV17	2128.50	2122.32	USD	154.50	
31AUG17	S 2 PD30NOV17	2131.50	2122.32	USD	459.00	
31AUG17	S 2 PD30NOV17	2132.00	2122.32	USD	484.00	
31AUG17	S 1 PD30NOV17	2132.50	2122.32	USD	254.50	
31AUG17	S 1 PD30NOV17	2133.00	2122.32	USD	267.00	
31AUG17	B 1 PD30NOV17	2133.00	2122.32	USD	-267.00	
31AUG17	B 4 PD30NOV17	2117.20	2122.32	USD	512.00	
06SEP17	B 6 PD30NOV17	2103.08	2122.32	USD	2,886.00	
	NET 0 AVG BGHT 2109.90 SOLD 2122.38				**3,743.00**	**0.00**
01SEP17	B 1 PD01DEC17	2126.50	2122.59	USD	-97.75	
01SEP17	B 1 PD01DEC17	2126.00	2122.59	USD	-85.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094U

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
06SEP17	S 2 PD01DEC17	2103.12	2122.59	USD	-973.50	
	NET 0 AVG BGHT 2126.25 SOLD 2103.12				**-1,156.50**	**0.00**
04SEP17	B 1 PD04DEC17	2107.00	2123.41	USD	410.25	
04SEP17	B 1 PD04DEC17	2106.50	2123.41	USD	422.75	
04SEP17	B 1 PD04DEC17	2118.00	2123.41	USD	135.25	
04SEP17	S 1 PD04DEC17	2118.50	2123.41	USD	-122.75	
06SEP17	S 2 PD04DEC17	2103.83	2123.41	USD	-979.00	
	NET 0 AVG BGHT 2110.50 SOLD 2108.72				**-133.50**	**0.00**
05SEP17	B 1 PD05DEC17	2095.50	2123.68	USD	704.50	
05SEP17	B 2 PD05DEC17	2097.50	2123.68	USD	1,309.00	
05SEP17	B 1 PD05DEC17	2088.50	2123.68	USD	879.50	
05SEP17	B 1 PD05DEC17	2105.50	2123.68	USD	454.50	
06SEP17	S 2 PD05DEC17	2104.06	2123.68	USD	-981.00	
14SEP17	S 3 PD05DEC17	2095.59	2123.68	USD	-2,106.75	
	NET 0 AVG BGHT 2096.90 SOLD 2098.98				**259.75**	**0.00**
06SEP17	S 1 PD06DEC17	2093.00	2123.95	USD	-773.75	
06SEP17	S 1 PD06DEC17	2093.50	2123.95	USD	-761.25	
06SEP17	S 2 PD06DEC17	2107.00	2123.95	USD	-847.50	
06SEP17	B 1 PD06DEC17	2107.50	2123.95	USD	411.25	
14SEP17	B 3 PD06DEC17	2096.06	2123.95	USD	2,091.75	
	NET 0 AVG BGHT 2098.92 SOLD 2100.13				**120.50**	**0.00**
14SEP17	B 1 PD14DEC17	2091.50	2126.12	USD	865.50	
14SEP17	B 2 PD14DEC17	2092.00	2126.12	USD	1,706.00	
14SEP17	B 2 PD14DEC17	2092.50	2126.12	USD	1,681.00	
14SEP17	S 1 PD14DEC17	2102.00	2126.12	USD	-603.00	
14SEP17	S 1 PD14DEC17	2101.00	2126.12	USD	-628.00	
14SEP17	S 3 PD14DEC17	2097.80	2126.12	USD	-2,124.00	
	NET 0 AVG BGHT 2092.10 SOLD 2099.28				**897.50**	**0.00**
15SEP17	B 1 PD15DEC17	2075.50	2126.39	USD	1,272.25	
15SEP17	S 1 PD15DEC17	2075.00	2126.39	USD	-1,284.75	
	NET 0 AVG BGHT 2075.50 SOLD 2075.00				**-12.50**	**0.00**
18SEP17	B 2 PD18DEC17	2090.00	2127.21	USD	1,860.50	
20SEP17	S 2 PD18DEC17	2176.44	2127.21	USD	2,461.50	
	NET 0 AVG BGHT 2090.00 SOLD 2176.44				**4,322.00**	**0.00**
19SEP17	B 1 PD19DEC17	2116.00	2127.48	USD	287.00	
19SEP17	S 1 PD19DEC17	2115.50	2127.48	USD	-299.50	
19SEP17	S 1 PD19DEC17	2116.00	2127.48	USD	-287.00	
19SEP17	S 1 PD19DEC17	2119.50	2127.48	USD	-199.50	
20SEP17	B 2 PD19DEC17	2176.92	2127.48	USD	-2,472.00	
	NET 0 AVG BGHT 2156.61 SOLD 2117.00				**-2,971.00**	**0.00**
20SEP17	S 1 PD20DEC17	2187.00	2127.75	USD	1,481.25	
20SEP17	B 1 PD20DEC17	2185.50	2127.75	USD	-1,443.75	
	NET 0 AVG BGHT 2185.50 SOLD 2187.00				**37.50**	**0.00**
21SEP17	B 2 PD21DEC17	2178.50	2127.98	USD	-2,526.00	
21SEP17	S 1 PD21DEC17	2178.00	2127.98	USD	1,250.50	
21SEP17	S 1 PD21DEC17	2170.80	2127.98	USD	1,070.50	
	NET 0 AVG BGHT 2178.50 SOLD 2174.40				**-205.00**	**0.00**
22SEP17	B 1 PD22DEC17	2134.00	2128.21	USD	-144.75	
22SEP17	S 1 PD22DEC17	2164.00	2128.21	USD	894.75	
	NET 0 AVG BGHT 2134.00 SOLD 2164.00				**750.00**	**0.00**
27SEP17	B 1 PD27DEC17	2130.00	2129.38	USD	-15.50	
28SEP17	S 1 PD27DEC17	2130.52	2129.38	USD	28.50	
	NET 0 AVG BGHT 2130.00 SOLD 2130.52				**13.00**	**0.00**
	LME LEAD 25 TONNES. THIS IS AN					

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094U

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME REGISTERED CLIENT CONTRACT.							
19JUL17	B	2	PD19OCT17	2222.50	2588.00	USD	18,275.00	
26JUL17	S	2	PD19OCT17	2312.25	2588.00	USD	-13,787.50	
	NET	**0**	**AVG BGHT 2222.50 SOLD 2312.25**				**4,487.50**	**0.00**
20JUL17	S	1	PD20OCT17	2226.00	2588.00	USD	-9,050.00	
20JUL17	S	1	PD20OCT17	2227.00	2588.00	USD	-9,025.00	
26JUL17	B	2	PD20OCT17	2312.56	2588.00	USD	13,772.00	
	NET	**0**	**AVG BGHT 2312.56 SOLD 2226.50**				**-4,303.00**	**0.00**
26JUL17	S	1	PD26OCT17	2324.50	2588.00	USD	-6,587.50	
26JUL17	S	1	PD26OCT17	2333.00	2588.00	USD	-6,375.00	
26JUL17	S	1	PD26OCT17	2314.50	2588.00	USD	-6,837.50	
26JUL17	S	1	PD26OCT17	2317.00	2588.00	USD	-6,775.00	
28JUL17	B	4	PD26OCT17	2319.88	2588.00	USD	26,812.00	
	NET	**0**	**AVG BGHT 2319.88 SOLD 2322.25**				**237.00**	**0.00**
27JUL17	B	2	PD27OCT17	2331.00	2588.00	USD	12,850.00	
27JUL17	B	1	PD27OCT17	2302.00	2588.00	USD	7,150.00	
27JUL17	B	1	PD27OCT17	2304.00	2588.00	USD	7,100.00	
28JUL17	S	4	PD27OCT17	2319.80	2588.00	USD	-26,820.00	
	NET	**0**	**AVG BGHT 2317.00 SOLD 2319.80**				**280.00**	**0.00**
29SEP17	S	12	PD02NOV17	2492.15	2588.00	USD	-28,755.00	
	NET	**-12**	**AVG SOLD 2492.15**				**-28,755.00**	**0.00**
04AUG17	B	1	PD03NOV17	2371.00	2588.00	USD	5,425.00	
04AUG17	S	1	PD03NOV17	2364.50	2588.00	USD	-5,587.50	
04AUG17	S	1	PD03NOV17	2364.00	2588.00	USD	-5,600.00	
04AUG17	S	1	PD03NOV17	2366.00	2588.00	USD	-5,550.00	
04AUG17	B	1	PD03NOV17	2350.50	2588.00	USD	5,937.50	
04AUG17	B	1	PD03NOV17	2351.00	2588.00	USD	5,925.00	
	NET	**0**	**AVG BGHT 2357.50 SOLD 2364.83**				**550.00**	**0.00**
18AUG17	B	3	PD17NOV17	2361.50	2588.26	USD	17,007.00	
18AUG17	B	1	PD17NOV17	2359.50	2588.26	USD	5,719.00	
23AUG17	S	4	PD17NOV17	2375.25	2588.26	USD	-21,301.00	
	NET	**0**	**AVG BGHT 2361.00 SOLD 2375.25**				**1,425.00**	**0.00**
22AUG17	S	1	PD22NOV17	2379.50	2588.90	USD	-5,235.00	
22AUG17	S	1	PD22NOV17	2391.50	2588.90	USD	-4,935.00	
22AUG17	S	2	PD22NOV17	2381.00	2588.90	USD	-10,395.00	
23AUG17	B	4	PD22NOV17	2376.70	2588.90	USD	21,220.00	
	NET	**0**	**AVG BGHT 2376.70 SOLD 2383.25**				**655.00**	**0.00**
29AUG17	B	1	PD29NOV17	2348.50	2589.80	USD	6,032.50	
29AUG17	B	1	PD29NOV17	2342.00	2589.80	USD	6,195.00	
29AUG17	S	1	PD29NOV17	2382.50	2589.80	USD	-5,182.50	
31AUG17	S	1	PD29NOV17	2393.71	2589.80	USD	-4,902.25	
	NET	**0**	**AVG BGHT 2345.25 SOLD 2388.11**				**2,142.75**	**0.00**
30AUG17	S	1	PD30NOV17	2384.00	2589.93	USD	-5,148.25	
31AUG17	S	1	PD30NOV17	2391.50	2589.93	USD	-4,960.75	
31AUG17	S	1	PD30NOV17	2393.00	2589.93	USD	-4,923.25	
31AUG17	B	3	PD30NOV17	2394.20	2589.93	USD	14,679.75	
	NET	**0**	**AVG BGHT 2394.20 SOLD 2389.50**				**-352.50**	**0.00**
05SEP17	B	1	PD05DEC17	2388.50	2590.57	USD	5,051.75	
05SEP17	B	1	PD05DEC17	2389.00	2590.57	USD	5,039.25	
05SEP17	B	1	PD05DEC17	2390.00	2590.57	USD	5,014.25	
06SEP17	S	3	PD05DEC17	2339.53	2590.57	USD	-18,828.00	
	NET	**0**	**AVG BGHT 2389.17 SOLD 2339.53**				**-3,722.75**	**0.00**
07SEP17	B	1	PD07DEC17	2351.00	2590.83	USD	5,995.75	
07SEP17	B	1	PD07DEC17	2344.50	2590.83	USD	6,158.25	
07SEP17	B	2	PD07DEC17	2348.50	2590.83	USD	12,116.50	
07SEP17	S	1	PD07DEC17	2348.00	2590.83	USD	-6,070.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094U

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
07SEP17	B 2 PD07DEC17	2343.50	2590.83	USD	12,366.50	
14SEP17	S 5 PD07DEC17	2305.01	2590.83	USD	-35,727.50	
	NET 0 AVG BGHT 2346.58 SOLD 2312.18				**-5,161.25**	**0.00**
08SEP17	S 1 PD08DEC17	2265.00	2590.96	USD	-8,149.00	
14SEP17	B 1 PD08DEC17	2305.49	2590.96	USD	7,136.75	
	NET 0 AVG BGHT 2305.49 SOLD 2265.00				**-1,012.25**	**0.00**
12SEP17	B 1 PD12DEC17	2285.50	2591.47	USD	7,649.25	
12SEP17	S 2 PD12DEC17	2284.00	2591.47	USD	-15,373.50	
12SEP17	S 1 PD12DEC17	2285.00	2591.47	USD	-7,661.75	
12SEP17	S 1 PD12DEC17	2283.00	2591.47	USD	-7,711.75	
12SEP17	S 1 PD12DEC17	2285.50	2591.47	USD	-7,649.25	
12SEP17	S 1 PD12DEC17	2301.50	2591.47	USD	-7,249.25	
12SEP17	S 1 PD12DEC17	2304.00	2591.47	USD	-7,186.75	
12SEP17	S 1 PD12DEC17	2308.50	2591.47	USD	-7,074.25	
13SEP17	B 2 PD12DEC17	2289.89	2591.47	USD	15,079.00	
14SEP17	B 5 PD12DEC17	2306.63	2591.47	USD	35,605.00	
	NET 0 AVG BGHT 2299.80 SOLD 2291.94				**-1,573.25**	**0.00**
13SEP17	B 2 PD13DEC17	2287.50	2591.60	USD	15,205.00	
13SEP17	B 1 PD13DEC17	2288.50	2591.60	USD	7,577.50	
13SEP17	S 1 PD13DEC17	2300.00	2591.60	USD	-7,290.00	
13SEP17	S 1 PD13DEC17	2298.50	2591.60	USD	-7,327.50	
13SEP17	S 1 PD13DEC17	2302.50	2591.60	USD	-7,227.50	
	NET 0 AVG BGHT 2287.83 SOLD 2300.33				**937.50**	**0.00**
15SEP17	S 2 PD15DEC17	2323.00	2591.86	USD	-13,443.00	
26SEP17	B 2 PD15DEC17	2489.52	2591.86	USD	5,117.00	
	NET 0 AVG BGHT 2489.52 SOLD 2323.00				**-8,326.00**	**0.00**
18SEP17	S 1 PD18DEC17	2391.00	2592.24	USD	-5,031.00	
18SEP17	S 1 PD18DEC17	2393.00	2592.24	USD	-4,981.00	
18SEP17	S 1 PD18DEC17	2392.00	2592.24	USD	-5,006.00	
18SEP17	B 1 PD18DEC17	2391.50	2592.24	USD	5,018.50	
18SEP17	B 1 PD18DEC17	2394.00	2592.24	USD	4,956.00	
18SEP17	B 1 PD18DEC17	2395.00	2592.24	USD	4,931.00	
18SEP17	B 1 PD18DEC17	2395.50	2592.24	USD	4,918.50	
20SEP17	S 1 PD18DEC17	2459.69	2592.24	USD	-3,313.75	
	NET 0 AVG BGHT 2394.00 SOLD 2408.92				**1,492.25**	**0.00**
19SEP17	S 1 PD19DEC17	2431.50	2592.37	USD	-4,021.75	
19SEP17	S 1 PD19DEC17	2433.00	2592.37	USD	-3,984.25	
19SEP17	S 1 PD19DEC17	2436.50	2592.37	USD	-3,896.75	
19SEP17	S 2 PD19DEC17	2437.00	2592.37	USD	-7,768.50	
19SEP17	B 1 PD19DEC17	2422.50	2592.37	USD	4,246.75	
19SEP17	B 1 PD19DEC17	2421.50	2592.37	USD	4,271.75	
19SEP17	B 1 PD19DEC17	2422.00	2592.37	USD	4,259.25	
20SEP17	B 1 PD19DEC17	2460.04	2592.37	USD	3,308.25	
26SEP17	B 1 PD19DEC17	2489.90	2592.37	USD	2,561.75	
	NET 0 AVG BGHT 2443.19 SOLD 2435.00				**-1,023.50**	**0.00**
20SEP17	S 1 PD20DEC17	2444.00	2592.50	USD	-3,712.50	
20SEP17	S 1 PD20DEC17	2455.50	2592.50	USD	-3,425.00	
20SEP17	S 1 PD20DEC17	2456.00	2592.50	USD	-3,412.50	
20SEP17	S 1 PD20DEC17	2456.50	2592.50	USD	-3,400.00	
20SEP17	S 1 PD20DEC17	2459.50	2592.50	USD	-3,325.00	
20SEP17	S 1 PD20DEC17	2462.50	2592.50	USD	-3,250.00	
20SEP17	S 1 PD20DEC17	2466.00	2592.50	USD	-3,162.50	
20SEP17	S 1 PD20DEC17	2468.50	2592.50	USD	-3,100.00	
20SEP17	S 1 PD20DEC17	2468.00	2592.50	USD	-3,112.50	
20SEP17	S 1 PD20DEC17	2469.00	2592.50	USD	-3,087.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094U

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
21SEP17	B 10 PD20DEC17	2518.20	2592.50	USD	18,575.00	
	NET 0 AVG BGHT 2518.20 SOLD 2460.55				**-14,412.50**	**0.00**
21SEP17	S 1 PD21DEC17	2473.50	2592.29	USD	-2,969.75	
21SEP17	B 1 PD21DEC17	2476.00	2592.29	USD	2,907.25	
21SEP17	B 1 PD21DEC17	2476.50	2592.29	USD	2,894.75	
21SEP17	B 1 PD21DEC17	2477.00	2592.29	USD	2,882.25	
21SEP17	B 1 PD21DEC17	2482.50	2592.29	USD	2,744.75	
26SEP17	S 3 PD21DEC17	2489.13	2592.29	USD	-7,737.00	
	NET 0 AVG BGHT 2478.00 SOLD 2485.22				**722.25**	**0.00**
22SEP17	B 1 PD22DEC17	2390.50	2592.07	USD	5,039.25	
22SEP17	B 1 PD22DEC17	2403.00	2592.07	USD	4,726.75	
22SEP17	S 1 PD22DEC17	2448.00	2592.07	USD	-3,601.75	
22SEP17	S 1 PD22DEC17	2448.50	2592.07	USD	-3,589.25	
22SEP17	S 1 PD22DEC17	2450.00	2592.07	USD	-3,551.75	
22SEP17	B 1 PD22DEC17	2388.00	2592.07	USD	5,101.75	
	NET 0 AVG BGHT 2393.83 SOLD 2448.83				**4,125.00**	**0.00**
25SEP17	B 1 PD27DEC17	2479.00	2591.86	USD	2,821.50	
25SEP17	B 1 PD27DEC17	2479.50	2591.86	USD	2,809.00	
25SEP17	B 1 PD27DEC17	2506.50	2591.86	USD	2,134.00	
25SEP17	S 1 PD27DEC17	2500.00	2591.86	USD	-2,296.50	
25SEP17	S 1 PD27DEC17	2505.00	2591.86	USD	-2,171.50	
25SEP17	S 1 PD27DEC17	2507.00	2591.86	USD	-2,121.50	
25SEP17	B 1 PD27DEC17	2484.00	2591.86	USD	2,696.50	
25SEP17	B 1 PD27DEC17	2487.50	2591.86	USD	2,609.00	
25SEP17	B 1 PD27DEC17	2488.00	2591.86	USD	2,596.50	
25SEP17	B 1 PD27DEC17	2476.50	2591.86	USD	2,884.00	
25SEP17	B 1 PD27DEC17	2480.00	2591.86	USD	2,796.50	
26SEP17	S 5 PD27DEC17	2487.80	2591.86	USD	-13,007.50	
27SEP17	B 1 PD27DEC17	2453.50	2591.86	USD	3,459.00	
27SEP17	B 1 PD27DEC17	2461.50	2591.86	USD	3,259.00	
29SEP17	S 2 PD27DEC17	2487.00	2591.86	USD	-5,243.00	
	NET 0 AVG BGHT 2479.60 SOLD 2492.50				**3,225.00**	**0.00**
28SEP17	S 2 PD28DEC17	2458.50	2591.64	USD	-6,657.00	
28SEP17	B 1 PD28DEC17	2485.00	2591.64	USD	2,666.00	
28SEP17	S 1 PD28DEC17	2479.00	2591.64	USD	-2,816.00	
28SEP17	S 1 PD28DEC17	2481.00	2591.64	USD	-2,766.00	
28SEP17	S 1 PD28DEC17	2485.50	2591.64	USD	-2,653.50	
28SEP17	B 1 PD28DEC17	2485.50	2591.64	USD	2,653.50	
29SEP17	B 2 PD28DEC17	2486.20	2591.64	USD	5,272.00	
	NET -1 AVG BGHT 2485.73 SOLD 2472.50				**-4,301.00**	**0.00**
29SEP17	S 1 PD29DEC17	2488.50	2591.43	USD	-2,573.25	
29SEP17	S 1 PD29DEC17	2491.00	2591.43	USD	-2,510.75	
29SEP17	S 1 PD29DEC17	2492.00	2591.43	USD	-2,485.75	
29SEP17	S 1 PD29DEC17	2494.50	2591.43	USD	-2,423.25	
29SEP17	S 1 PD29DEC17	2497.00	2591.43	USD	-2,360.75	
29SEP17	S 1 PD29DEC17	2495.00	2591.43	USD	-2,410.75	
29SEP17	B 1 PD29DEC17	2496.00	2591.43	USD	2,385.75	
29SEP17	B 1 PD29DEC17	2496.50	2591.43	USD	2,373.25	
29SEP17	B 1 PD29DEC17	2497.50	2591.43	USD	2,348.25	
29SEP17	B 2 PD29DEC17	2495.00	2591.43	USD	4,821.50	
29SEP17	B 1 PD29DEC17	2497.00	2591.43	USD	2,360.75	
29SEP17	B 2 PD29DEC17	2498.00	2591.43	USD	4,671.50	
29SEP17	S 1 PD29DEC17	2484.80	2591.43	USD	-2,665.75	
	NET +1 AVG BGHT 2496.63 SOLD 2491.83				**1,530.75**	**0.00**
03OCT17	S 1 PD03JAN18	2590.00	2591.00	USD	-25.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094U

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
03OCT17	S 1 PD03JAN18	2591.00	2591.00	USD	0.00	
03OCT17	B 1 PD03JAN18	2588.00	2591.00	USD	75.00	
03OCT17	S 1 PD03JAN18	2592.00	2591.00	USD	25.00	
	NET -2 AVG BGHT 2588.00 SOLD 2591.00				**75.00**	**0.00**
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
13SEP17	B 3 PD13DEC17	11590.00	10600.80	USD	-17,805.60	
13SEP17	S 1 PD13DEC17	11600.00	10600.80	USD	5,995.20	
21SEP17	S 2 PD13DEC17	10998.70	10600.80	USD	4,774.80	
	NET 0 AVG BGHT 11590.00 SOLD 11199.13				**-7,035.60**	**0.00**
20SEP17	S 1 PD20DEC17	11335.00	10607.00	USD	4,368.00	
20SEP17	S 1 PD20DEC17	11370.00	10607.00	USD	4,578.00	
21SEP17	B 2 PD20DEC17	11005.50	10607.00	USD	-4,782.00	
	NET 0 AVG BGHT 11005.50 SOLD 11352.50				**4,164.00**	**0.00**
	CMX SILVER 5,000 CMX					
28SEP17	B 2 DEC17	1676.5	1665.0	USD	-1,150.00	
	NET +2 AVG BGHT 1676.5				**-1,150.00**	**0.00**
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
05JUL17	S 1 PD05OCT17	2777.50	3313.50	USD	-13,400.00	
05JUL17	B 1 PD05OCT17	2753.50	3313.50	USD	14,000.00	
	NET 0 AVG BGHT 2753.50 SOLD 2777.50				**600.00**	**0.00**
06JUL17	S 1 PD06OCT17	2771.00	3310.38	USD	-13,484.50	
06JUL17	S 1 PD06OCT17	2780.00	3310.38	USD	-13,259.50	
06JUL17	S 1 PD06OCT17	2795.00	3310.38	USD	-12,884.50	
17JUL17	B 3 PD06OCT17	2813.40	3310.38	USD	37,273.50	
	NET 0 AVG BGHT 2813.40 SOLD 2782.00				**-2,355.00**	**0.00**
10JUL17	B 1 PD10OCT17	2788.50	3307.25	USD	12,968.75	
10JUL17	B 2 PD10OCT17	2789.00	3307.25	USD	25,912.50	
17JUL17	S 3 PD10OCT17	2813.60	3307.25	USD	-37,023.75	
	NET 0 AVG BGHT 2788.83 SOLD 2813.60				**1,857.50**	**0.00**
11JUL17	S 1 PD11OCT17	2788.50	3304.13	USD	-12,890.75	
11JUL17	S 1 PD11OCT17	2789.50	3304.13	USD	-12,865.75	
17JUL17	B 2 PD11OCT17	2813.90	3304.13	USD	24,511.50	
	NET 0 AVG BGHT 2813.90 SOLD 2789.00				**-1,245.00**	**0.00**
12JUL17	B 1 PD12OCT17	2831.00	3301.00	USD	11,750.00	
12JUL17	B 1 PD12OCT17	2829.00	3301.00	USD	11,800.00	
17JUL17	S 2 PD12OCT17	2813.80	3301.00	USD	-24,360.00	
	NET 0 AVG BGHT 2830.00 SOLD 2813.80				**-810.00**	**0.00**
14JUL17	B 1 PD13OCT17	2758.50	3297.88	USD	13,484.50	
14JUL17	B 1 PD13OCT17	2759.50	3297.88	USD	13,459.50	
14JUL17	B 1 PD13OCT17	2755.00	3297.88	USD	13,572.00	
14JUL17	S 1 PD13OCT17	2788.50	3297.88	USD	-12,734.50	
26JUL17	S 2 PD13OCT17	2807.93	3297.88	USD	-24,497.50	
	NET 0 AVG BGHT 2757.67 SOLD 2801.45				**3,284.00**	**0.00**
17JUL17	S 1 PD17OCT17	2815.00	3291.63	USD	-11,915.75	
17JUL17	S 1 PD17OCT17	2814.50	3291.63	USD	-11,928.25	
26JUL17	B 2 PD17OCT17	2808.99	3291.63	USD	24,132.00	
	NET 0 AVG BGHT 2808.99 SOLD 2814.75				**288.00**	**0.00**
18JUL17	B 1 PD18OCT17	2796.50	3288.50	USD	12,300.00	
18JUL17	B 1 PD18OCT17	2797.00	3288.50	USD	12,287.50	
18JUL17	B 1 PD18OCT17	2795.00	3288.50	USD	12,337.50	
18JUL17	S 2 PD18OCT17	2793.00	3288.50	USD	-24,775.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094U

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
18JUL17	S 1 PD18OCT17	2794.00	3288.50	USD	-12,362.50	
	NET 0 AVG BGHT 2796.17 SOLD 2793.33				**-212.50**	**0.00**
19JUL17	B 1 PD19OCT17	2745.00	3285.50	USD	13,512.50	
19JUL17	B 1 PD19OCT17	2742.50	3285.50	USD	13,575.00	
19JUL17	B 1 PD19OCT17	2737.00	3285.50	USD	13,712.50	
19JUL17	S 1 PD19OCT17	2737.00	3285.50	USD	-13,712.50	
19JUL17	S 1 PD19OCT17	2738.00	3285.50	USD	-13,687.50	
26JUL17	S 1 PD19OCT17	2807.00	3285.50	USD	-11,962.50	
	NET 0 AVG BGHT 2741.50 SOLD 2760.67				**1,437.50**	**0.00**
20JUL17	B 1 PD20OCT17	2731.00	3282.50	USD	13,787.50	
20JUL17	B 1 PD20OCT17	2741.00	3282.50	USD	13,537.50	
20JUL17	B 1 PD20OCT17	2718.50	3282.50	USD	14,100.00	
21JUL17	S 2 PD20OCT17	2747.50	3282.50	USD	-26,750.00	
21JUL17	S 1 PD20OCT17	2749.00	3282.50	USD	-13,337.50	
21JUL17	S 1 PD20OCT17	2750.00	3282.50	USD	-13,312.50	
21JUL17	S 1 PD20OCT17	2772.00	3282.50	USD	-12,762.50	
21JUL17	S 2 PD20OCT17	2772.50	3282.50	USD	-25,500.00	
21JUL17	S 1 PD20OCT17	2773.00	3282.50	USD	-12,737.50	
21JUL17	S 1 PD20OCT17	2770.50	3282.50	USD	-12,800.00	
21JUL17	B 2 PD20OCT17	2753.50	3282.50	USD	26,450.00	
21JUL17	B 1 PD20OCT17	2742.50	3282.50	USD	13,500.00	
21JUL17	B 1 PD20OCT17	2743.00	3282.50	USD	13,487.50	
21JUL17	B 1 PD20OCT17	2757.50	3282.50	USD	13,125.00	
26JUL17	B 1 PD20OCT17	2807.34	3282.50	USD	11,879.00	
	NET 0 AVG BGHT 2749.76 SOLD 2761.61				**2,666.50**	**0.00**
24JUL17	S 1 PD24OCT17	2777.00	3280.50	USD	-12,587.50	
24JUL17	S 1 PD24OCT17	2779.50	3280.50	USD	-12,525.00	
24JUL17	S 1 PD24OCT17	2776.00	3280.50	USD	-12,612.50	
24JUL17	B 1 PD24OCT17	2775.50	3280.50	USD	12,625.00	
24JUL17	B 1 PD24OCT17	2774.00	3280.50	USD	12,662.50	
24JUL17	B 1 PD24OCT17	2771.50	3280.50	USD	12,725.00	
	NET 0 AVG BGHT 2773.67 SOLD 2777.50				**287.50**	**0.00**
25JUL17	B 1 PD25OCT17	2823.50	3279.50	USD	11,400.00	
25JUL17	S 1 PD25OCT17	2820.50	3279.50	USD	-11,475.00	
25JUL17	S 1 PD25OCT17	2821.50	3279.50	USD	-11,450.00	
25JUL17	S 2 PD25OCT17	2822.50	3279.50	USD	-22,850.00	
25JUL17	S 2 PD25OCT17	2823.50	3279.50	USD	-22,800.00	
25JUL17	S 1 PD25OCT17	2837.00	3279.50	USD	-11,062.50	
25JUL17	S 1 PD25OCT17	2832.00	3279.50	USD	-11,187.50	
25JUL17	B 2 PD25OCT17	2838.00	3279.50	USD	22,075.00	
25JUL17	B 1 PD25OCT17	2836.50	3279.50	USD	11,075.00	
25JUL17	B 1 PD25OCT17	2840.00	3279.50	USD	10,987.50	
25JUL17	B 1 PD25OCT17	2839.00	3279.50	USD	11,012.50	
27JUL17	B 2 PD25OCT17	2800.63	3279.50	USD	23,943.50	
	NET 0 AVG BGHT 2827.03 SOLD 2825.38				**-331.50**	**0.00**
26JUL17	B 1 PD26OCT17	2845.00	3278.50	USD	10,837.50	
26JUL17	B 1 PD26OCT17	2845.50	3278.50	USD	10,825.00	
26JUL17	B 1 PD26OCT17	2848.00	3278.50	USD	10,762.50	
26JUL17	B 1 PD26OCT17	2848.50	3278.50	USD	10,750.00	
26JUL17	S 2 PD26OCT17	2824.50	3278.50	USD	-22,700.00	
27JUL17	S 2 PD26OCT17	2800.55	3278.50	USD	-23,897.50	
	NET 0 AVG BGHT 2846.75 SOLD 2812.53				**-3,422.50**	**0.00**
27JUL17	S 1 PD27OCT17	2827.50	3277.50	USD	-11,250.00	
27JUL17	S 1 PD27OCT17	2827.00	3277.50	USD	-11,262.50	
27JUL17	B 1 PD27OCT17	2822.00	3277.50	USD	11,387.50	
27JUL17	B 1 PD27OCT17	2814.50	3277.50	USD	11,575.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094U

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
28JUL17	B 1 PD27OCT17	2773.50	3277.50	USD	12,600.00	
28JUL17	B 1 PD27OCT17	2769.50	3277.50	USD	12,700.00	
28JUL17	B 1 PD27OCT17	2770.00	3277.50	USD	12,687.50	
28JUL17	B 1 PD27OCT17	2768.00	3277.50	USD	12,737.50	
28JUL17	S 1 PD27OCT17	2786.00	3277.50	USD	-12,287.50	
28JUL17	S 1 PD27OCT17	2787.50	3277.50	USD	-12,250.00	
28JUL17	S 1 PD27OCT17	2788.50	3277.50	USD	-12,225.00	
28JUL17	S 1 PD27OCT17	2794.50	3277.50	USD	-12,075.00	
28JUL17	B 1 PD27OCT17	2775.50	3277.50	USD	12,550.00	
28JUL17	B 2 PD27OCT17	2779.50	3277.50	USD	24,900.00	
01AUG17	S 3 PD27OCT17	2778.59	3277.50	USD	-37,418.25	
	NET 0 AVG BGHT 2783.56 SOLD 2794.09				**2,369.25**	**0.00**
31JUL17	B 1 PD31OCT17	2802.50	3275.50	USD	11,825.00	
31JUL17	S 1 PD31OCT17	2803.50	3275.50	USD	-11,800.00	
31JUL17	S 1 PD31OCT17	2813.00	3275.50	USD	-11,562.50	
31JUL17	B 1 PD31OCT17	2803.00	3275.50	USD	11,812.50	
31JUL17	S 1 PD31OCT17	2814.00	3275.50	USD	-11,537.50	
31JUL17	S 1 PD31OCT17	2817.50	3275.50	USD	-11,450.00	
31JUL17	S 1 PD31OCT17	2819.00	3275.50	USD	-11,412.50	
31JUL17	S 2 PD31OCT17	2823.00	3275.50	USD	-22,625.00	
31JUL17	S 1 PD31OCT17	2826.00	3275.50	USD	-11,237.50	
31JUL17	B 2 PD31OCT17	2807.50	3275.50	USD	23,400.00	
31JUL17	B 1 PD31OCT17	2809.50	3275.50	USD	11,650.00	
31JUL17	B 3 PD31OCT17	2806.50	3275.50	USD	35,175.00	
31JUL17	B 1 PD31OCT17	2798.00	3275.50	USD	11,937.50	
31JUL17	B 1 PD31OCT17	2799.00	3275.50	USD	11,912.50	
31JUL17	B 1 PD31OCT17	2803.50	3275.50	USD	11,800.00	
31JUL17	S 1 PD31OCT17	2802.50	3275.50	USD	-11,825.00	
31JUL17	S 1 PD31OCT17	2807.50	3275.50	USD	-11,700.00	
31JUL17	S 1 PD31OCT17	2805.00	3275.50	USD	-11,762.50	
31JUL17	S 7 PD31OCT17	2794.80	3275.50	USD	-84,122.50	
01AUG17	B 7 PD31OCT17	2779.92	3275.50	USD	86,726.50	
	NET 0 AVG BGHT 2794.97 SOLD 2806.53				**5,204.00**	**0.00**
29SEP17	S 5 PD02NOV17	3170.05	3273.50	USD	-12,931.25	
	NET -5 AVG SOLD 3170.05				**-12,931.25**	**0.00**
04AUG17	S 1 PD03NOV17	2806.00	3272.00	USD	-11,650.00	
04AUG17	S 1 PD03NOV17	2806.50	3272.00	USD	-11,637.50	
04AUG17	S 1 PD03NOV17	2807.50	3272.00	USD	-11,612.50	
04AUG17	S 1 PD03NOV17	2815.50	3272.00	USD	-11,412.50	
04AUG17	S 1 PD03NOV17	2817.50	3272.00	USD	-11,362.50	
04AUG17	B 1 PD03NOV17	2813.00	3272.00	USD	11,475.00	
04AUG17	B 3 PD03NOV17	2813.50	3272.00	USD	34,387.50	
04AUG17	S 1 PD03NOV17	2813.50	3272.00	USD	-11,462.50	
04AUG17	S 1 PD03NOV17	2814.00	3272.00	USD	-11,450.00	
09AUG17	B 3 PD03NOV17	2930.33	3272.00	USD	25,625.25	
	NET 0 AVG BGHT 2863.50 SOLD 2811.50				**-9,099.75**	**0.00**
07AUG17	S 1 PD07NOV17	2819.50	3272.00	USD	-11,312.50	
07AUG17	S 1 PD07NOV17	2820.50	3272.00	USD	-11,287.50	
07AUG17	S 1 PD07NOV17	2831.50	3272.00	USD	-11,012.50	
07AUG17	B 1 PD07NOV17	2872.50	3272.00	USD	9,987.50	
07AUG17	B 1 PD07NOV17	2874.50	3272.00	USD	9,937.50	
07AUG17	B 1 PD07NOV17	2871.00	3272.00	USD	10,025.00	
07AUG17	B 1 PD07NOV17	2872.00	3272.00	USD	10,000.00	
07AUG17	B 1 PD07NOV17	2873.00	3272.00	USD	9,975.00	
07AUG17	B 1 PD07NOV17	2881.50	3272.00	USD	9,762.50	
09AUG17	S 3 PD07NOV17	2930.91	3272.00	USD	-25,581.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094U

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
	NET	**0 AVG BGHT 2874.08**	**SOLD 2877.37**				**493.25**	**0.00**
09AUG17	S	1 PD09NOV17		2974.50	3272.00	USD	-7,437.50	
09AUG17	S	2 PD09NOV17		2975.00	3272.00	USD	-14,850.00	
09AUG17	S	1 PD09NOV17		2974.00	3272.00	USD	-7,450.00	
09AUG17	S	1 PD09NOV17		2961.50	3272.00	USD	-7,762.50	
09AUG17	S	1 PD09NOV17		2961.00	3272.00	USD	-7,775.00	
09AUG17	B	5 PD09NOV17		2931.70	3272.00	USD	42,537.50	
17AUG17	B	1 PD09NOV17		3061.63	3272.00	USD	5,259.25	
	NET	**0 AVG BGHT 2953.36**	**SOLD 2970.17**				**2,521.75**	**0.00**
16AUG17	S	1 PD16NOV17		2979.00	3267.50	USD	-7,212.50	
16AUG17	S	2 PD16NOV17		2979.50	3267.50	USD	-14,400.00	
16AUG17	B	1 PD16NOV17		3087.50	3267.50	USD	4,500.00	
16AUG17	B	1 PD16NOV17		3091.50	3267.50	USD	4,400.00	
16AUG17	S	1 PD16NOV17		3089.00	3267.50	USD	-4,462.50	
16AUG17	S	1 PD16NOV17		3090.50	3267.50	USD	-4,425.00	
16AUG17	B	1 PD16NOV17		3094.00	3267.50	USD	4,337.50	
16AUG17	S	1 PD16NOV17		3094.00	3267.50	USD	-4,337.50	
16AUG17	B	1 PD16NOV17		3114.00	3267.50	USD	3,837.50	
16AUG17	B	1 PD16NOV17		3115.00	3267.50	USD	3,812.50	
16AUG17	B	1 PD16NOV17		3117.00	3267.50	USD	3,762.50	
16AUG17	B	1 PD16NOV17		3118.50	3267.50	USD	3,725.00	
17AUG17	S	1 PD16NOV17		3062.05	3267.50	USD	-5,136.25	
	NET	**0 AVG BGHT 3105.36**	**SOLD 3039.08**				**-11,598.75**	**0.00**
22AUG17	S	1 PD22NOV17		3117.50	3264.50	USD	-3,675.00	
22AUG17	S	1 PD22NOV17		3119.50	3264.50	USD	-3,625.00	
22AUG17	B	1 PD22NOV17		3113.50	3264.50	USD	3,775.00	
22AUG17	B	1 PD22NOV17		3118.50	3264.50	USD	3,650.00	
22AUG17	B	1 PD22NOV17		3123.00	3264.50	USD	3,537.50	
22AUG17	B	1 PD22NOV17		3111.50	3264.50	USD	3,825.00	
22AUG17	B	1 PD22NOV17		3110.50	3264.50	USD	3,850.00	
22AUG17	B	1 PD22NOV17		3101.50	3264.50	USD	4,075.00	
30AUG17	S	2 PD22NOV17		3093.43	3264.50	USD	-8,553.50	
30AUG17	S	2 PD22NOV17		3093.23	3264.50	USD	-8,563.50	
	NET	**0 AVG BGHT 3113.08**	**SOLD 3101.72**				**-1,704.50**	**0.00**
23AUG17	S	1 PD24NOV17		3116.50	3264.71	USD	-3,705.25	
23AUG17	S	1 PD24NOV17		3117.50	3264.71	USD	-3,680.25	
23AUG17	S	2 PD24NOV17		3120.50	3264.71	USD	-7,210.50	
23AUG17	S	1 PD24NOV17		3143.00	3264.71	USD	-3,042.75	
23AUG17	S	3 PD24NOV17		3143.50	3264.71	USD	-9,090.75	
23AUG17	B	1 PD24NOV17		3099.50	3264.71	USD	4,130.25	
23AUG17	B	1 PD24NOV17		3109.50	3264.71	USD	3,880.25	
23AUG17	B	1 PD24NOV17		3105.50	3264.71	USD	3,980.25	
23AUG17	B	1 PD24NOV17		3107.00	3264.71	USD	3,942.75	
25AUG17	B	1 PD24NOV17		3065.50	3264.71	USD	4,980.25	
25AUG17	B	1 PD24NOV17		3066.50	3264.71	USD	4,955.25	
25AUG17	B	1 PD24NOV17		3062.50	3264.71	USD	5,055.25	
25AUG17	B	2 PD24NOV17		3063.50	3264.71	USD	10,060.50	
25AUG17	S	1 PD24NOV17		3067.50	3264.71	USD	-4,930.25	
25AUG17	S	1 PD24NOV17		3065.00	3264.71	USD	-4,992.75	
30AUG17	B	1 PD24NOV17		3094.20	3264.71	USD	4,262.75	
	NET	**0 AVG BGHT 3083.72**	**SOLD 3118.10**				**8,595.00**	**0.00**
29AUG17	B	5 PD29NOV17		3087.00	3265.25	USD	22,281.25	
29AUG17	S	1 PD29NOV17		3120.50	3265.25	USD	-3,618.75	
29AUG17	S	3 PD29NOV17		3122.50	3265.25	USD	-10,706.25	
29AUG17	S	2 PD29NOV17		3117.50	3265.25	USD	-7,387.50	
30AUG17	B	1 PD29NOV17		3095.03	3265.25	USD	4,255.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094U

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT								
	NET	**0**	**AVG BGHT 3088.34**	**SOLD 3120.50**				**4,824.25**	**0.00**
30AUG17	S	1	PD30NOV17		3137.50	3265.36	USD	-3,196.50	
30AUG17	S	1	PD30NOV17		3147.00	3265.36	USD	-2,959.00	
30AUG17	S	1	PD30NOV17		3150.50	3265.36	USD	-2,871.50	
30AUG17	S	1	PD30NOV17		3138.00	3265.36	USD	-3,184.00	
30AUG17	S	1	PD30NOV17		3138.50	3265.36	USD	-3,171.50	
30AUG17	B	1	PD30NOV17		3120.50	3265.36	USD	3,621.50	
30AUG17	B	1	PD30NOV17		3122.50	3265.36	USD	3,571.50	
30AUG17	B	1	PD30NOV17		3121.00	3265.36	USD	3,609.00	
30AUG17	B	1	PD30NOV17		3102.50	3265.36	USD	4,071.50	
30AUG17	B	1	PD30NOV17		3110.00	3265.36	USD	3,884.00	
30AUG17	B	1	PD30NOV17		3108.00	3265.36	USD	3,934.00	
30AUG17	B	1	PD30NOV17		3110.50	3265.36	USD	3,871.50	
31AUG17	S	1	PD30NOV17		3122.50	3265.36	USD	-3,571.50	
31AUG17	S	1	PD30NOV17		3123.00	3265.36	USD	-3,559.00	
31AUG17	S	1	PD30NOV17		3126.50	3265.36	USD	-3,471.50	
31AUG17	B	4	PD30NOV17		3127.00	3265.36	USD	13,836.00	
31AUG17	B	1	PD30NOV17		3128.00	3265.36	USD	3,434.00	
31AUG17	B	1	PD30NOV17		3125.50	3265.36	USD	3,496.50	
31AUG17	B	1	PD30NOV17		3126.50	3265.36	USD	3,471.50	
31AUG17	S	1	PD30NOV17		3125.50	3265.36	USD	-3,496.50	
31AUG17	B	3	PD30NOV17		3127.50	3265.36	USD	10,339.50	
31AUG17	S	1	PD30NOV17		3140.00	3265.36	USD	-3,134.00	
31AUG17	B	1	PD30NOV17		3155.50	3265.36	USD	2,746.50	
31AUG17	B	1	PD30NOV17		3156.50	3265.36	USD	2,721.50	
31AUG17	S	11	PD30NOV17		3145.80	3265.36	USD	-32,879.00	
14SEP17	B	2	PD30NOV17		3004.00	3265.36	USD	13,068.00	
	NET	**0**	**AVG BGHT 3113.60**	**SOLD 3140.61**				**14,182.50**	**0.00**
01SEP17	S	1	PD01DEC17		3169.00	3265.46	USD	-2,411.50	
01SEP17	S	1	PD01DEC17		3169.50	3265.46	USD	-2,399.00	
01SEP17	B	1	PD01DEC17		3138.00	3265.46	USD	3,186.50	
01SEP17	B	1	PD01DEC17		3144.00	3265.46	USD	3,036.50	
01SEP17	S	1	PD01DEC17		3163.50	3265.46	USD	-2,549.00	
01SEP17	B	1	PD01DEC17		3174.50	3265.46	USD	2,274.00	
01SEP17	S	1	PD01DEC17		3179.50	3265.46	USD	-2,149.00	
01SEP17	S	1	PD01DEC17		3185.00	3265.46	USD	-2,011.50	
14SEP17	B	2	PD01DEC17		3004.14	3265.46	USD	13,066.00	
	NET	**0**	**AVG BGHT 3092.96**	**SOLD 3173.30**				**10,043.00**	**0.00**
04SEP17	S	1	PD04DEC17		3194.00	3265.79	USD	-1,794.75	
04SEP17	S	1	PD04DEC17		3185.00	3265.79	USD	-2,019.75	
04SEP17	S	1	PD04DEC17		3181.00	3265.79	USD	-2,119.75	
04SEP17	B	1	PD04DEC17		3203.50	3265.79	USD	1,557.25	
04SEP17	B	1	PD04DEC17		3200.50	3265.79	USD	1,632.25	
04SEP17	B	1	PD04DEC17		3204.00	3265.79	USD	1,544.75	
	NET	**0**	**AVG BGHT 3202.67**	**SOLD 3186.67**				**-1,200.00**	**0.00**
05SEP17	S	1	PD05DEC17		3198.50	3265.89	USD	-1,684.75	
05SEP17	S	1	PD05DEC17		3199.00	3265.89	USD	-1,672.25	
05SEP17	B	1	PD05DEC17		3111.50	3265.89	USD	3,859.75	
05SEP17	B	1	PD05DEC17		3116.00	3265.89	USD	3,747.25	
	NET	**0**	**AVG BGHT 3113.75**	**SOLD 3198.75**				**4,250.00**	**0.00**
06SEP17	B	1	PD06DEC17		3078.50	3266.00	USD	4,687.50	
14SEP17	S	1	PD06DEC17		3004.86	3266.00	USD	-6,528.50	
	NET	**0**	**AVG BGHT 3078.50**	**SOLD 3004.86**				**-1,841.00**	**0.00**
07SEP17	B	2	PD07DEC17		3085.00	3266.11	USD	9,055.50	
07SEP17	B	1	PD07DEC17		3127.50	3266.11	USD	3,465.25	
07SEP17	B	1	PD07DEC17		3134.00	3266.11	USD	3,302.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094U

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT								
07SEP17	B	1	PD07DEC17		3135.00	3266.11	USD	3,277.75	
07SEP17	S	1	PD07DEC17		3138.00	3266.11	USD	-3,202.75	
14SEP17	S	4	PD07DEC17		3004.80	3266.11	USD	-26,131.00	
	NET	**0**	**AVG BGHT 3113.30**	**SOLD 3031.44**				**-10,232.50**	**0.00**
11SEP17	S	1	PD11DEC17		3058.50	3266.54	USD	-5,201.00	
11SEP17	S	1	PD11DEC17		3100.00	3266.54	USD	-4,163.50	
11SEP17	S	1	PD11DEC17		3101.00	3266.54	USD	-4,138.50	
11SEP17	S	1	PD11DEC17		3102.00	3266.54	USD	-4,113.50	
11SEP17	B	1	PD11DEC17		3059.00	3266.54	USD	5,188.50	
11SEP17	B	1	PD11DEC17		3060.00	3266.54	USD	5,163.50	
11SEP17	B	1	PD11DEC17		3061.50	3266.54	USD	5,126.00	
14SEP17	B	1	PD11DEC17		3005.77	3266.54	USD	6,519.25	
	NET	**0**	**AVG BGHT 3046.57**	**SOLD 3090.38**				**4,380.75**	**0.00**
12SEP17	S	1	PD12DEC17		3083.00	3266.64	USD	-4,591.00	
12SEP17	S	2	PD12DEC17		3084.00	3266.64	USD	-9,132.00	
12SEP17	B	1	PD12DEC17		3055.50	3266.64	USD	5,278.50	
12SEP17	B	1	PD12DEC17		3076.00	3266.64	USD	4,766.00	
12SEP17	B	1	PD12DEC17		3078.50	3266.64	USD	4,703.50	
	NET	**0**	**AVG BGHT 3070.00**	**SOLD 3083.67**				**1,025.00**	**0.00**
13SEP17	S	1	PD13DEC17		3049.00	3266.75	USD	-5,443.75	
13SEP17	S	1	PD13DEC17		3053.00	3266.75	USD	-5,343.75	
13SEP17	B	1	PD13DEC17		3028.00	3266.75	USD	5,968.75	
13SEP17	B	1	PD13DEC17		3028.50	3266.75	USD	5,956.25	
	NET	**0**	**AVG BGHT 3028.25**	**SOLD 3051.00**				**1,137.50**	**0.00**
15SEP17	S	2	PD15DEC17		3011.50	3266.96	USD	-12,773.00	
15SEP17	S	1	PD15DEC17		3012.50	3266.96	USD	-6,361.50	
15SEP17	B	1	PD15DEC17		3031.50	3266.96	USD	5,886.50	
15SEP17	B	2	PD15DEC17		3035.00	3266.96	USD	11,598.00	
	NET	**0**	**AVG BGHT 3033.83**	**SOLD 3011.83**				**-1,650.00**	**0.00**
18SEP17	S	1	PD18DEC17		3066.00	3267.29	USD	-5,032.25	
18SEP17	S	1	PD18DEC17		3065.50	3267.29	USD	-5,044.75	
18SEP17	S	1	PD18DEC17		3085.50	3267.29	USD	-4,544.75	
18SEP17	S	2	PD18DEC17		3068.00	3267.29	USD	-9,964.50	
18SEP17	B	1	PD18DEC17		3081.50	3267.29	USD	4,644.75	
18SEP17	S	1	PD18DEC17		3081.50	3267.29	USD	-4,644.75	
18SEP17	S	1	PD18DEC17		3084.50	3267.29	USD	-4,569.75	
18SEP17	S	2	PD18DEC17		3086.50	3267.29	USD	-9,039.50	
18SEP17	S	2	PD18DEC17		3094.50	3267.29	USD	-8,639.50	
18SEP17	B	1	PD18DEC17		3105.50	3267.29	USD	4,044.75	
18SEP17	B	1	PD18DEC17		3111.00	3267.29	USD	3,907.25	
18SEP17	B	1	PD18DEC17		3111.50	3267.29	USD	3,894.75	
18SEP17	S	2	PD18DEC17		3093.50	3267.29	USD	-8,689.50	
18SEP17	B	1	PD18DEC17		3105.00	3267.29	USD	4,057.25	
20SEP17	B	8	PD18DEC17		3131.47	3267.29	USD	27,164.00	
	NET	**0**	**AVG BGHT 3120.48**	**SOLD 3082.15**				**-12,456.50**	**0.00**
19SEP17	B	1	PD19DEC17		3090.00	3267.39	USD	4,434.75	
19SEP17	B	1	PD19DEC17		3082.00	3267.39	USD	4,634.75	
19SEP17	B	1	PD19DEC17		3082.50	3267.39	USD	4,622.25	
19SEP17	B	2	PD19DEC17		3078.50	3267.39	USD	9,444.50	
19SEP17	B	1	PD19DEC17		3065.50	3267.39	USD	5,047.25	
19SEP17	B	1	PD19DEC17		3076.50	3267.39	USD	4,772.25	
19SEP17	B	1	PD19DEC17		3078.00	3267.39	USD	4,734.75	
19SEP17	S	1	PD19DEC17		3076.00	3267.39	USD	-4,784.75	
19SEP17	B	1	PD19DEC17		3066.50	3267.39	USD	5,022.25	
20SEP17	S	8	PD19DEC17		3131.53	3267.39	USD	-27,172.00	
	NET	**0**	**AVG BGHT 3077.56**	**SOLD 3125.36**					**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094U

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
							10,756.00	
20SEP17	B	1	PD20DEC17	3137.00	3267.50	USD	3,262.50	
26SEP17	S	1	PD20DEC17	3119.00	3267.50	USD	-3,712.50	
	NET	**0**	**AVG BGHT 3137.00 SOLD 3119.00**				**-450.00**	**0.00**
21SEP17	S	1	PD21DEC17	3119.00	3264.00	USD	-3,625.00	
26SEP17	B	1	PD21DEC17	3118.20	3264.00	USD	3,645.00	
	NET	**0**	**AVG BGHT 3118.20 SOLD 3119.00**				**20.00**	**0.00**
25SEP17	B	1	PD27DEC17	3074.50	3264.00	USD	4,737.50	
25SEP17	S	2	PD27DEC17	3075.00	3264.00	USD	-9,450.00	
25SEP17	S	3	PD27DEC17	3074.00	3264.00	USD	-14,250.00	
25SEP17	S	1	PD27DEC17	3087.50	3264.00	USD	-4,412.50	
25SEP17	S	1	PD27DEC17	3084.50	3264.00	USD	-4,487.50	
25SEP17	S	1	PD27DEC17	3085.50	3264.00	USD	-4,462.50	
25SEP17	B	1	PD27DEC17	3097.50	3264.00	USD	4,162.50	
25SEP17	B	1	PD27DEC17	3102.00	3264.00	USD	4,050.00	
25SEP17	B	1	PD27DEC17	3100.00	3264.00	USD	4,100.00	
25SEP17	B	1	PD27DEC17	3105.50	3264.00	USD	3,962.50	
25SEP17	B	1	PD27DEC17	3109.00	3264.00	USD	3,875.00	
25SEP17	B	1	PD27DEC17	3109.50	3264.00	USD	3,862.50	
25SEP17	B	1	PD27DEC17	3115.00	3264.00	USD	3,725.00	
26SEP17	B	1	PD27DEC17	3136.00	3264.00	USD	3,200.00	
26SEP17	S	1	PD27DEC17	3136.00	3264.00	USD	-3,200.00	
26SEP17	B	1	PD27DEC17	3109.50	3264.00	USD	3,862.50	
26SEP17	B	1	PD27DEC17	3112.00	3264.00	USD	3,800.00	
26SEP17	S	1	PD27DEC17	3111.00	3264.00	USD	-3,825.00	
26SEP17	S	1	PD27DEC17	3115.00	3264.00	USD	-3,725.00	
26SEP17	B	1	PD27DEC17	3106.50	3264.00	USD	3,937.50	
26SEP17	B	1	PD27DEC17	3107.50	3264.00	USD	3,912.50	
26SEP17	B	2	PD27DEC17	3115.00	3264.00	USD	7,450.00	
26SEP17	S	4	PD27DEC17	3110.10	3264.00	USD	-15,390.00	
27SEP17	B	1	PD27DEC17	3096.50	3264.00	USD	4,187.50	
27SEP17	B	1	PD27DEC17	3100.50	3264.00	USD	4,087.50	
27SEP17	S	1	PD27DEC17	3115.50	3264.00	USD	-3,712.50	
29SEP17	S	1	PD27DEC17	3162.18	3264.00	USD	-2,545.50	
	NET	**0**	**AVG BGHT 3106.56 SOLD 3100.56**				**-2,548.00**	**0.00**
28SEP17	S	1	PD28DEC17	3110.50	3264.00	USD	-3,837.50	
28SEP17	S	1	PD28DEC17	3144.50	3264.00	USD	-2,987.50	
28SEP17	S	1	PD28DEC17	3146.50	3264.00	USD	-2,937.50	
28SEP17	B	1	PD28DEC17	3143.50	3264.00	USD	3,012.50	
28SEP17	S	1	PD28DEC17	3141.00	3264.00	USD	-3,075.00	
28SEP17	S	2	PD28DEC17	3143.00	3264.00	USD	-6,050.00	
28SEP17	S	1	PD28DEC17	3144.00	3264.00	USD	-3,000.00	
28SEP17	B	1	PD28DEC17	3135.50	3264.00	USD	3,212.50	
28SEP17	S	1	PD28DEC17	3136.00	3264.00	USD	-3,200.00	
28SEP17	S	1	PD28DEC17	3151.00	3264.00	USD	-2,825.00	
28SEP17	S	2	PD28DEC17	3153.50	3264.00	USD	-5,525.00	
28SEP17	B	1	PD28DEC17	3159.50	3264.00	USD	2,612.50	
28SEP17	B	1	PD28DEC17	3160.50	3264.00	USD	2,587.50	
	NET	**-7**	**AVG BGHT 3149.75 SOLD 3142.41**				**-22,012.50**	**0.00**
29SEP17	B	1	PD29DEC17	3149.50	3264.00	USD	2,862.50	
29SEP17	B	1	PD29DEC17	3146.50	3264.00	USD	2,937.50	
29SEP17	B	6	PD29DEC17	3150.00	3264.00	USD	17,100.00	
29SEP17	S	1	PD29DEC17	3150.50	3264.00	USD	-2,837.50	
29SEP17	S	1	PD29DEC17	3151.00	3264.00	USD	-2,825.00	
29SEP17	B	1	PD29DEC17	3147.50	3264.00	USD	2,912.50	
29SEP17	S	2	PD29DEC17	3147.00	3264.00	USD	-5,850.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094U

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
29SEP17	S	2	PD29DEC17	3161.80	3264.00	USD	-5,110.00	
	NET	**+3**	**AVG BGHT 3149.28** **SOLD 3153.18**				**9,190.00**	**0.00**
03OCT17	S	3	PD03JAN18	3230.00	3264.00	USD	-2,550.00	
03OCT17	S	1	PD03JAN18	3230.50	3264.00	USD	-837.50	
03OCT17	B	1	PD03JAN18	3236.50	3264.00	USD	687.50	
03OCT17	S	1	PD03JAN18	3234.50	3264.00	USD	-737.50	
03OCT17	S	1	PD03JAN18	3236.50	3264.00	USD	-687.50	
03OCT17	B	1	PD03JAN18	3267.50	3264.00	USD	-87.50	
03OCT17	B	1	PD03JAN18	3268.50	3264.00	USD	-112.50	
03OCT17	B	1	PD03JAN18	3262.00	3264.00	USD	50.00	
03OCT17	B	2	PD03JAN18	3262.50	3264.00	USD	75.00	
03OCT17	B	4	PD03JAN18	3264.00	3264.00	USD	0.00	
	NET	**+4**	**AVG BGHT 3261.55** **SOLD 3231.92**				**-4,200.00**	**0.00**

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	-5,637.50
LME Lead 25 tonnes. This is an	FUTURES	854,897.25
LME Nickel 6 tonnes. This is	FUTURES	-2,871.60
CMX Silver	FUTURES	-167,650.00
LME Zinc 25 tonnes. This is	FUTURES	398,299.50

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options			Amount	Profit / Loss	**Total Amount**	**Total P&L**
SILV	- Futures	USD	-1,150.00	-1,150.00	**-1,150.00**	**-1,150.00**
Unrealised Profits & Losses - Forwards			**Amount**	**Profit / Loss**	**Total Amount**	**Total P&L**
ALUL	- Futures	USD	-5,637.50	-5,637.50		
LEAD	- Futures	USD	-51,058.00	-51,058.00		
NKL	- Futures	USD	-2,871.60	-2,871.60		
ZINC	- Futures	USD	-10,888.00	-10,888.00	**-70,455.10**	**-70,455.10**

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-52,861.58	-52,861.58
Variation Margins	- Futures	-1,150.00	-1,150.00
	- Options	0.00	0.00
TOTAL EQUITY		**-54,011.58**	**-54,011.58**
Initial Margins		-180,820.10	-180,820.10
Excess/(Shortage)		**-234,831.68**	**-234,831.68**
FOR INFORMATION ONLY			
Forward Unrealised P&L		-70,455.10	-70,455.10
Net Liquidating Value		**-124,466.68**	**-124,466.68**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094V

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
31JUL17	S 1 PD31OCT17	1916.50	2117.75	USD	-5,031.25	
31AUG17	B 1 PD31OCT17	2112.27	2117.75	USD	137.00	
	NET 0 AVG BGHT 2112.27 SOLD 1916.50				**-4,894.25**	**0.00**
08AUG17	S 1 PD08NOV17	1994.00	2115.75	USD	-3,043.75	
31AUG17	B 1 PD08NOV17	2113.81	2115.75	USD	48.50	
	NET 0 AVG BGHT 2113.81 SOLD 1994.00				**-2,995.25**	**0.00**
31AUG17	B 1 PD30NOV17	2117.20	2122.32	USD	128.00	
27SEP17	S 1 PD30NOV17	2123.50	2122.32	USD	29.50	
	NET 0 AVG BGHT 2117.20 SOLD 2123.50				**157.50**	**0.00**
05SEP17	B 1 PD05DEC17	2096.20	2123.68	USD	687.00	
27SEP17	S 1 PD05DEC17	2125.00	2123.68	USD	33.00	
	NET 0 AVG BGHT 2096.20 SOLD 2125.00				**720.00**	**0.00**
06SEP17	S 1 PD06DEC17	2092.50	2123.95	USD	-786.25	
06SEP17	B 1 PD06DEC17	2092.50	2123.95	USD	786.25	
06SEP17	B 1 PD06DEC17	2093.00	2123.95	USD	773.75	
06SEP17	S 1 PD06DEC17	2103.00	2123.95	USD	-523.75	
06SEP17	S 1 PD06DEC17	2108.00	2123.95	USD	-398.75	
06SEP17	S 1 PD06DEC17	2108.50	2123.95	USD	-386.25	
06SEP17	S 1 PD06DEC17	2110.50	2123.95	USD	-336.25	
06SEP17	S 1 PD06DEC17	2109.00	2123.95	USD	-373.75	
06SEP17	S 1 PD06DEC17	2111.00	2123.95	USD	-323.75	
06SEP17	S 2 PD06DEC17	2111.50	2123.95	USD	-622.50	
06SEP17	S 1 PD06DEC17	2113.00	2123.95	USD	-273.75	
06SEP17	S 5 PD06DEC17	2113.50	2123.95	USD	-1,306.25	
06SEP17	S 1 PD06DEC17	2112.50	2123.95	USD	-286.25	
27SEP17	B 2 PD06DEC17	2125.50	2123.95	USD	-77.50	
27SEP17	B 12 PD06DEC17	2125.30	2123.95	USD	-405.00	
	NET 0 AVG BGHT 2121.26 SOLD 2109.91				**-4,540.00**	**0.00**
07SEP17	B 2 PD07DEC17	2092.00	2124.22	USD	1,611.00	
07SEP17	B 1 PD07DEC17	2093.50	2124.22	USD	768.00	
07SEP17	B 1 PD07DEC17	2094.00	2124.22	USD	755.50	
07SEP17	B 1 PD07DEC17	2097.00	2124.22	USD	680.50	
07SEP17	B 1 PD07DEC17	2098.00	2124.22	USD	655.50	
07SEP17	B 1 PD07DEC17	2100.00	2124.22	USD	605.50	
07SEP17	B 4 PD07DEC17	2092.50	2124.22	USD	3,172.00	
07SEP17	B 1 PD07DEC17	2093.00	2124.22	USD	780.50	
27SEP17	S 12 PD07DEC17	2125.40	2124.22	USD	354.00	
	NET 0 AVG BGHT 2094.13 SOLD 2125.40				**9,382.50**	**0.00**
	ICE COTTON NO.2 500 FIN					
07JUL17	S 2 MAR18	68.00000	66.81000	USD	1,190.00	
07JUL17	S 10 MAR18	67.88000	66.81000	USD	5,350.00	
07JUL17	S 7 MAR18	67.93000	66.81000	USD	3,920.00	
07JUL17	S 4 MAR18	67.87000	66.81000	USD	2,120.00	
07JUL17	S 7 MAR18	68.02000	66.81000	USD	4,235.00	
10JUL17	S 3 MAR18	68.35000	66.81000	USD	2,310.00	
10JUL17	S 1 MAR18	68.38000	66.81000	USD	785.00	
12JUL17	S 1 MAR18	67.15000	66.81000	USD	170.00	
12JUL17	S 1 MAR18	67.14000	66.81000	USD	165.00	
12JUL17	S 3 MAR18	67.28000	66.81000	USD	705.00	
12JUL17	S 31 MAR18	67.29000	66.81000	USD	7,440.00	
	NET -70 AVG SOLD 67.62114				**28,390.00**	**0.00**
07JUL17	B 1 MAY18	68.41000	67.54000	USD	-435.00	
07JUL17	B 2 MAY18	68.39000	67.54000	USD	-850.00	
07JUL17	B 10 MAY18	68.26000	67.54000	USD	-3,600.00	
07JUL17	B 7 MAY18	68.32000	67.54000	USD	-2,730.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094V

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	ICE COTTON NO.2 500 FIN							
07JUL17	B 4 MAY18			68.23000	67.54000	USD	-1,380.00	
07JUL17	B 6 MAY18			68.38000	67.54000	USD	-2,520.00	
10JUL17	B 3 MAY18			68.71000	67.54000	USD	-1,755.00	
10JUL17	B 1 MAY18			68.74000	67.54000	USD	-600.00	
12JUL17	B 1 MAY18			67.50000	67.54000	USD	20.00	
12JUL17	B 1 MAY18			67.51000	67.54000	USD	15.00	
12JUL17	B 3 MAY18			67.64000	67.54000	USD	-150.00	
12JUL17	B 31 MAY18			67.65000	67.54000	USD	-1,705.00	
	NET +70 AVG BGHT 67.98829						**-15,690.00**	**0.00**
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
05JUL17	S 8 PD05OCT17			2264.35	2585.00	USD	-64,130.00	
12JUL17	B 8 PD05OCT17			2326.91	2585.00	USD	51,618.00	
	NET 0 AVG BGHT 2326.91	**SOLD 2264.35**					**-12,512.00**	**0.00**
10JUL17	B 1 PD10OCT17			2298.50	2586.15	USD	7,191.25	
10JUL17	S 1 PD10OCT17			2284.50	2586.15	USD	-7,541.25	
10JUL17	B 1 PD10OCT17			2284.50	2586.15	USD	7,541.25	
10JUL17	S 1 PD10OCT17			2298.50	2586.15	USD	-7,191.25	
	NET 0 AVG BGHT 2291.50	**SOLD 2291.50**					**0.00**	**0.00**
25JUL17	S 30 PD25OCT17			2314.50	2588.00	USD	-205,125.00	
26JUL17	B 30 PD25OCT17			2313.09	2588.00	USD	206,182.50	
	NET 0 AVG BGHT 2313.09	**SOLD 2314.50**					**1,057.50**	**0.00**
27JUL17	S 1 PD27OCT17			2330.50	2588.00	USD	-6,437.50	
27JUL17	B 1 PD27OCT17			2331.00	2588.00	USD	6,425.00	
	NET 0 AVG BGHT 2331.00	**SOLD 2330.50**					**-12.50**	**0.00**
08AUG17	S 1 PD08NOV17			2366.00	2588.00	USD	-5,550.00	
08AUG17	S 1 PD08NOV17			2366.50	2588.00	USD	-5,537.50	
08AUG17	S 1 PD08NOV17			2369.00	2588.00	USD	-5,475.00	
18AUG17	B 3 PD08NOV17			2359.38	2588.00	USD	17,146.50	
	NET 0 AVG BGHT 2359.38	**SOLD 2367.17**					**584.00**	**0.00**
17AUG17	B 1 PD17NOV17			2468.50	2588.26	USD	2,994.00	
17AUG17	B 1 PD17NOV17			2465.00	2588.26	USD	3,081.50	
17AUG17	B 1 PD17NOV17			2466.00	2588.26	USD	3,056.50	
18AUG17	B 1 PD17NOV17			2411.00	2588.26	USD	4,431.50	
18AUG17	S 1 PD17NOV17			2410.50	2588.26	USD	-4,444.00	
18AUG17	B 2 PD17NOV17			2360.50	2588.26	USD	11,388.00	
18AUG17	S 1 PD17NOV17			2364.00	2588.26	USD	-5,606.50	
18AUG17	S 1 PD17NOV17			2362.50	2588.26	USD	-5,644.00	
18AUG17	S 3 PD17NOV17			2360.80	2588.26	USD	-17,059.50	
	NET 0 AVG BGHT 2421.92	**SOLD 2369.90**					**-7,802.50**	**0.00**
22AUG17	S 1 PD22NOV17			2415.50	2588.90	USD	-4,335.00	
22AUG17	B 1 PD22NOV17			2415.50	2588.90	USD	4,335.00	
	NET 0 AVG BGHT 2415.50	**SOLD 2415.50**					**0.00**	**0.00**
24AUG17	B 1 PD24NOV17			2393.00	2589.16	USD	4,904.00	
24AUG17	S 1 PD24NOV17			2392.00	2589.16	USD	-4,929.00	
24AUG17	B 1 PD24NOV17			2382.50	2589.16	USD	5,166.50	
24AUG17	B 1 PD24NOV17			2385.00	2589.16	USD	5,104.00	
24AUG17	S 1 PD24NOV17			2382.00	2589.16	USD	-5,179.00	
24AUG17	S 1 PD24NOV17			2385.50	2589.16	USD	-5,091.50	
24AUG17	B 1 PD24NOV17			2361.00	2589.16	USD	5,704.00	
24AUG17	S 10 PD24NOV17			2359.85	2589.16	USD	-57,327.50	
25AUG17	B 1 PD24NOV17			2363.00	2589.16	USD	5,654.00	
25AUG17	S 1 PD24NOV17			2361.00	2589.16	USD	-5,704.00	
29SEP17	B 3 PD24NOV17			2490.95	2589.16	USD	7,365.75	
	NET -6 AVG BGHT 2419.67	**SOLD 2365.64**					**-44,332.75**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094V

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
29AUG17	B 1 PD29NOV17	2344.50	2589.80	USD	6,132.50	
29AUG17	S 1 PD29NOV17	2343.50	2589.80	USD	-6,157.50	
	NET 0 AVG BGHT 2344.50 SOLD 2343.50				**-25.00**	**0.00**
31AUG17	S 1 PD30NOV17	2390.00	2589.93	USD	-4,998.25	
31AUG17	B 1 PD30NOV17	2378.00	2589.93	USD	5,298.25	
31AUG17	B 1 PD30NOV17	2389.50	2589.93	USD	5,010.75	
31AUG17	B 1 PD30NOV17	2390.00	2589.93	USD	4,998.25	
31AUG17	S 1 PD30NOV17	2393.80	2589.93	USD	-4,903.25	
05SEP17	S 1 PD30NOV17	2332.29	2589.93	USD	-6,441.00	
	NET 0 AVG BGHT 2385.83 SOLD 2372.03				**-1,035.25**	**0.00**
05SEP17	S 1 PD05DEC17	2333.80	2590.57	USD	-6,419.25	
	NET -1 AVG SOLD 2333.80				**-6,419.25**	**0.00**
06SEP17	B 1 PD06DEC17	2343.00	2590.70	USD	6,192.50	
06SEP17	B 1 PD06DEC17	2346.50	2590.70	USD	6,105.00	
06SEP17	B 1 PD06DEC17	2345.50	2590.70	USD	6,130.00	
06SEP17	B 1 PD06DEC17	2353.00	2590.70	USD	5,942.50	
06SEP17	B 1 PD06DEC17	2352.50	2590.70	USD	5,955.00	
06SEP17	B 1 PD06DEC17	2354.00	2590.70	USD	5,917.50	
	NET +6 AVG BGHT 2349.08				**36,242.50**	**0.00**
07SEP17	B 2 PD07DEC17	2346.50	2590.83	USD	12,216.50	
07SEP17	B 1 PD07DEC17	2348.00	2590.83	USD	6,070.75	
28SEP17	S 2 PD07DEC17	2472.46	2590.83	USD	-5,918.50	
	NET +1 AVG BGHT 2347.00 SOLD 2472.46				**12,368.75**	**0.00**
14SEP17	S 1 PD14DEC17	2295.50	2591.73	USD	-7,405.75	
14SEP17	B 1 PD14DEC17	2297.50	2591.73	USD	7,355.75	
18SEP17	B 15 PD14DEC17	2390.00	2591.73	USD	75,648.75	
21SEP17	S 15 PD14DEC17	2517.71	2591.73	USD	-27,757.50	
	NET 0 AVG BGHT 2384.22 SOLD 2503.82				**47,841.25**	**0.00**
18SEP17	B 10 PD15DEC17	2390.00	2591.86	USD	50,465.00	
21SEP17	S 10 PD15DEC17	2517.73	2591.86	USD	-18,532.50	
	NET 0 AVG BGHT 2390.00 SOLD 2517.73				**31,932.50**	**0.00**
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
31JUL17	B 1 PD31OCT17	10225.00	10561.54	USD	2,019.24	
31JUL17	S 1 PD31OCT17	10225.00	10561.54	USD	-2,019.24	
	NET 0 AVG BGHT 10225.00 SOLD 10225.00				**0.00**	**0.00**
01AUG17	B 1 PD01NOV17	10155.00	10562.50	USD	2,445.00	
18AUG17	S 1 PD01NOV17	10972.58	10562.50	USD	2,460.48	
	NET 0 AVG BGHT 10155.00 SOLD 10972.58				**4,905.48**	**0.00**
17AUG17	B 2 PD17NOV17	10825.00	10577.77	USD	-2,966.76	
17AUG17	S 2 PD17NOV17	10775.00	10577.77	USD	2,366.76	
17AUG17	S 1 PD17NOV17	10645.00	10577.77	USD	403.38	
18AUG17	B 1 PD17NOV17	10981.00	10577.77	USD	-2,419.38	
	NET 0 AVG BGHT 10877.00 SOLD 10731.67				**-2,616.00**	**0.00**
29AUG17	B 1 PD29NOV17	11830.00	10588.40	USD	-7,449.60	
29AUG17	B 1 PD29NOV17	11780.00	10588.40	USD	-7,149.60	
29AUG17	B 1 PD29NOV17	11795.00	10588.40	USD	-7,239.60	
29AUG17	B 2 PD29NOV17	11785.00	10588.40	USD	-14,359.20	
29AUG17	B 1 PD29NOV17	11810.00	10588.40	USD	-7,329.60	
31AUG17	S 6 PD29NOV17	11798.32	10588.40	USD	43,557.12	
	NET 0 AVG BGHT 11797.50 SOLD 11798.32				**29.52**	**0.00**
31AUG17	S 1 PD30NOV17	11799.00	10589.29	USD	7,258.26	
05SEP17	B 1 PD30NOV17	12078.11	10589.29	USD	-8,932.92	
	NET 0 AVG BGHT 12078.11 SOLD 11799.00				**-1,674.66**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094V

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
10JUL17	S	2	PD10OCT17	2766.50	3307.25	USD	-27,037.50	
10JUL17	B	2	PD10OCT17	2767.50	3307.25	USD	26,987.50	
	NET	**0**	**AVG BGHT 2767.50 SOLD 2766.50**				**-50.00**	**0.00**
12JUL17	B	1	PD12OCT17	2836.50	3301.00	USD	11,612.50	
12JUL17	S	2	PD12OCT17	2837.50	3301.00	USD	-23,175.00	
12JUL17	B	2	PD12OCT17	2837.50	3301.00	USD	23,175.00	
12JUL17	S	1	PD12OCT17	2837.00	3301.00	USD	-11,600.00	
	NET	**0**	**AVG BGHT 2837.17 SOLD 2837.33**				**12.50**	**0.00**
25JUL17	B	10	PD25OCT17	2831.65	3279.50	USD	111,962.50	
25JUL17	B	1	PD25OCT17	2840.50	3279.50	USD	10,975.00	
25JUL17	B	4	PD25OCT17	2841.00	3279.50	USD	43,850.00	
25JUL17	B	5	PD25OCT17	2843.00	3279.50	USD	54,562.50	
25JUL17	S	10	PD25OCT17	2835.80	3279.50	USD	-110,925.00	
25JUL17	B	10	PD25OCT17	2839.50	3279.50	USD	110,000.00	
25JUL17	B	7	PD25OCT17	2837.00	3279.50	USD	77,437.50	
25JUL17	B	3	PD25OCT17	2837.50	3279.50	USD	33,150.00	
26JUL17	S	30	PD25OCT17	2807.66	3279.50	USD	-353,880.00	
	NET	**0**	**AVG BGHT 2837.56 SOLD 2814.70**				**-22,867.50**	**0.00**
31JUL17	B	1	PD31OCT17	2816.00	3275.50	USD	11,487.50	
31JUL17	S	1	PD31OCT17	2803.50	3275.50	USD	-11,800.00	
31JUL17	S	1	PD31OCT17	2816.00	3275.50	USD	-11,487.50	
31JUL17	B	1	PD31OCT17	2795.20	3275.50	USD	12,007.50	
	NET	**0**	**AVG BGHT 2805.60 SOLD 2809.75**				**207.50**	**0.00**
04AUG17	B	1	PD03NOV17	2813.50	3272.00	USD	11,462.50	
04AUG17	B	1	PD03NOV17	2815.00	3272.00	USD	11,425.00	
04AUG17	S	1	PD03NOV17	2813.50	3272.00	USD	-11,462.50	
08AUG17	S	1	PD03NOV17	2924.50	3272.00	USD	-8,687.50	
	NET	**0**	**AVG BGHT 2814.25 SOLD 2869.00**				**2,737.50**	**0.00**
07AUG17	B	1	PD07NOV17	2821.50	3272.00	USD	11,262.50	
07AUG17	S	3	PD07NOV17	2801.50	3272.00	USD	-35,287.50	
07AUG17	B	1	PD07NOV17	2819.50	3272.00	USD	11,312.50	
08AUG17	B	1	PD07NOV17	2925.10	3272.00	USD	8,672.50	
	NET	**0**	**AVG BGHT 2855.37 SOLD 2801.50**				**-4,040.00**	**0.00**
09AUG17	B	1	PD09NOV17	2937.50	3272.00	USD	8,362.50	
09AUG17	S	1	PD09NOV17	2937.50	3272.00	USD	-8,362.50	
09AUG17	S	1	PD09NOV17	2953.50	3272.00	USD	-7,962.50	
17AUG17	B	1	PD09NOV17	3061.63	3272.00	USD	5,259.25	
	NET	**0**	**AVG BGHT 2999.57 SOLD 2945.50**				**-2,703.25**	**0.00**
11AUG17	S	1	PD10NOV17	2921.50	3272.00	USD	-8,762.50	
11AUG17	S	1	PD10NOV17	2909.00	3272.00	USD	-9,075.00	
17AUG17	B	2	PD10NOV17	3061.94	3272.00	USD	10,503.00	
	NET	**0**	**AVG BGHT 3061.94 SOLD 2915.25**				**-7,334.50**	**0.00**
14AUG17	S	1	PD14NOV17	2914.00	3270.33	USD	-8,908.25	
31AUG17	B	1	PD14NOV17	3145.45	3270.33	USD	3,122.00	
	NET	**0**	**AVG BGHT 3145.45 SOLD 2914.00**				**-5,786.25**	**0.00**
16AUG17	B	3	PD16NOV17	3108.50	3267.50	USD	11,925.00	
17AUG17	S	3	PD16NOV17	3062.05	3267.50	USD	-15,408.75	
	NET	**0**	**AVG BGHT 3108.50 SOLD 3062.05**				**-3,483.75**	**0.00**
29AUG17	B	1	PD29NOV17	3097.00	3265.25	USD	4,206.25	
29AUG17	B	1	PD29NOV17	3112.50	3265.25	USD	3,818.75	
29AUG17	S	2	PD29NOV17	3113.00	3265.25	USD	-7,612.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094V

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
05SEP17	S 2 PD29NOV17	3139.12	3265.25	USD	-6,306.50	
	NET -2 AVG BGHT 3104.75 SOLD 3126.06				**-5,894.00**	**0.00**
06SEP17	B 1 PD06DEC17	3090.00	3266.00	USD	4,400.00	
06SEP17	B 1 PD06DEC17	3092.00	3266.00	USD	4,350.00	
06SEP17	B 1 PD06DEC17	3086.50	3266.00	USD	4,487.50	
06SEP17	B 1 PD06DEC17	3088.00	3266.00	USD	4,450.00	
06SEP17	B 1 PD06DEC17	3084.50	3266.00	USD	4,537.50	
06SEP17	B 1 PD06DEC17	3086.00	3266.00	USD	4,500.00	
	NET +6 AVG BGHT 3087.83				**26,725.00**	**0.00**
07SEP17	B 1 PD07DEC17	3077.00	3266.11	USD	4,727.75	
07SEP17	B 2 PD07DEC17	3078.00	3266.11	USD	9,405.50	
07SEP17	S 1 PD07DEC17	3087.50	3266.11	USD	-4,465.25	
07SEP17	S 1 PD07DEC17	3088.00	3266.11	USD	-4,452.75	
07SEP17	S 1 PD07DEC17	3086.50	3266.11	USD	-4,490.25	
	NET 0 AVG BGHT 3077.67 SOLD 3087.33				**725.00**	**0.00**

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	-2,169.50
ICE Cotton No.2	FUTURES	-12,850.00
LME Lead 25 tonnes. This is an	FUTURES	57,649.75
LME Nickel 6 tonnes. This is	FUTURES	644.34
LME Zinc 25 tonnes. This is	FUTURES	-348,389.25

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options			Amount	Profit / Loss	**Total Amount**	**Total P&L**
COTT	- Futures	USD	12,700.00	12,700.00	**12,700.00**	**12,700.00**
Unrealised Profits & Losses - Forwards			**Amount**	**Profit / Loss**	**Total Amount**	**Total P&L**
ALUL	- Futures	USD	-2,169.50	-2,169.50		
LEAD	- Futures	USD	57,887.25	57,887.25		
NKL	- Futures	USD	644.34	644.34		
ZINC	- Futures	USD	-21,751.75	-21,751.75	**34,610.34**	**34,610.34**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094V

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-65,178.96	-65,178.96
Variation Margins	- Futures	12,700.00	12,700.00
	- Options	0.00	0.00
TOTAL EQUITY		**-52,478.96**	**-52,478.96**
Initial Margins		-42,000.00	-42,000.00
Excess/(Shortage)		**-94,478.96**	**-94,478.96**
FOR INFORMATION ONLY			
Forward Unrealised P&L		34,610.34	34,610.34
Net Liquidating Value		**-17,868.62**	**-17,868.62**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
04JUL17	S 1 PD04OCT17	1923.00	2113.92	USD	-4,773.00	
04JUL17	S 1 PD04OCT17	1925.50	2113.92	USD	-4,710.50	
04JUL17	S 1 PD04OCT17	1922.50	2113.92	USD	-4,785.50	
04JUL17	S 1 PD04OCT17	1921.50	2113.92	USD	-4,810.50	
04JUL17	B 1 PD04OCT17	1915.00	2113.92	USD	4,973.00	
04JUL17	S 1 PD04OCT17	1917.00	2113.92	USD	-4,923.00	
04JUL17	B 1 PD04OCT17	1917.50	2113.92	USD	4,910.50	
04JUL17	S 1 PD04OCT17	1919.00	2113.92	USD	-4,873.00	
04JUL17	S 2 PD04OCT17	1922.00	2113.92	USD	-9,596.00	
04JUL17	S 2 PD04OCT17	1921.00	2113.92	USD	-9,646.00	
04JUL17	S 1 PD04OCT17	1928.00	2113.92	USD	-4,648.00	
04JUL17	B 1 PD04OCT17	1928.00	2113.92	USD	4,648.00	
04JUL17	B 1 PD04OCT17	1926.50	2113.92	USD	4,685.50	
04JUL17	B 1 PD04OCT17	1930.00	2113.92	USD	4,598.00	
12JUL17	B 3 PD04OCT17	1888.06	2113.92	USD	16,939.50	
17JUL17	B 2 PD04OCT17	1915.61	2113.92	USD	9,915.50	
28JUL17	B 1 PD04OCT17	1901.38	2113.92	USD	5,313.50	
28JUL17	B 1 PD04OCT17	1901.18	2113.92	USD	5,318.50	
08AUG17	S 1 PD04OCT17	2023.55	2113.92	USD	-2,259.25	
	NET 0 AVG BGHT 1909.58 SOLD 1930.50				**6,277.25**	**0.00**
05JUL17	B 1 PD05OCT17	1925.50	2114.25	USD	4,718.75	
05JUL17	B 1 PD05OCT17	1926.00	2114.25	USD	4,706.25	
05JUL17	B 1 PD05OCT17	1928.00	2114.25	USD	4,656.25	
05JUL17	B 1 PD05OCT17	1930.50	2114.25	USD	4,593.75	
05JUL17	B 1 PD05OCT17	1920.00	2114.25	USD	4,856.25	
05JUL17	S 2 PD05OCT17	1922.00	2114.25	USD	-9,612.50	
05JUL17	B 1 PD05OCT17	1923.00	2114.25	USD	4,781.25	
05JUL17	B 1 PD05OCT17	1924.00	2114.25	USD	4,756.25	
05JUL17	B 1 PD05OCT17	1922.00	2114.25	USD	4,806.25	
05JUL17	B 2 PD05OCT17	1919.50	2114.25	USD	9,737.50	
12JUL17	S 1 PD05OCT17	1887.96	2114.25	USD	-5,657.25	
17JUL17	S 2 PD05OCT17	1915.59	2114.25	USD	-9,933.00	
28JUL17	S 1 PD05OCT17	1901.22	2114.25	USD	-5,325.75	
08AUG17	S 2 PD05OCT17	2023.80	2114.25	USD	-4,522.50	
09AUG17	S 2 PD05OCT17	2022.03	2114.25	USD	-4,611.00	
	NET 0 AVG BGHT 1923.80 SOLD 1955.60				**7,950.50**	**0.00**
06JUL17	S 1 PD06OCT17	1926.50	2114.58	USD	-4,702.00	
06JUL17	S 1 PD06OCT17	1924.50	2114.58	USD	-4,752.00	
06JUL17	S 1 PD06OCT17	1924.00	2114.58	USD	-4,764.50	
06JUL17	S 1 PD06OCT17	1927.00	2114.58	USD	-4,689.50	
06JUL17	S 2 PD06OCT17	1930.50	2114.58	USD	-9,204.00	
06JUL17	S 1 PD06OCT17	1929.00	2114.58	USD	-4,639.50	
06JUL17	B 1 PD06OCT17	1937.50	2114.58	USD	4,427.00	
06JUL17	B 1 PD06OCT17	1938.00	2114.58	USD	4,414.50	
06JUL17	S 1 PD06OCT17	1936.00	2114.58	USD	-4,464.50	
06JUL17	S 4 PD06OCT17	1937.00	2114.58	USD	-17,758.00	
06JUL17	S 4 PD06OCT17	1938.00	2114.58	USD	-17,658.00	
06JUL17	S 7 PD06OCT17	1937.50	2114.58	USD	-30,989.00	
06JUL17	S 1 PD06OCT17	1940.00	2114.58	USD	-4,364.50	
06JUL17	S 2 PD06OCT17	1939.50	2114.58	USD	-8,754.00	
06JUL17	S 1 PD06OCT17	1942.00	2114.58	USD	-4,314.50	
06JUL17	S 1 PD06OCT17	1942.50	2114.58	USD	-4,302.00	
06JUL17	B 2 PD06OCT17	1944.50	2114.58	USD	8,504.00	
06JUL17	B 1 PD06OCT17	1945.50	2114.58	USD	4,227.00	
07JUL17	S 1 PD06OCT17	1939.00	2114.58	USD	-4,389.50	
07JUL17	B 1 PD06OCT17	1939.50	2114.58	USD	4,377.00	
07JUL17	S 1 PD06OCT17	1939.50	2114.58	USD	-4,377.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
07JUL17	B 1 PD06OCT17	1942.00	2114.58	USD	4,314.50	
07JUL17	B 1 PD06OCT17	1930.00	2114.58	USD	4,614.50	
07JUL17	S 1 PD06OCT17	1934.50	2114.58	USD	-4,502.00	
07JUL17	B 1 PD06OCT17	1933.50	2114.58	USD	4,527.00	
07JUL17	B 1 PD06OCT17	1936.00	2114.58	USD	4,464.50	
07JUL17	B 1 PD06OCT17	1935.50	2114.58	USD	4,477.00	
12JUL17	B 2 PD06OCT17	1888.25	2114.58	USD	11,316.50	
17JUL17	B 2 PD06OCT17	1915.97	2114.58	USD	9,930.50	
19JUL17	B 4 PD06OCT17	1917.57	2114.58	USD	19,701.00	
21JUL17	B 5 PD06OCT17	1912.88	2114.58	USD	25,212.50	
28JUL17	B 2 PD06OCT17	1901.66	2114.58	USD	10,646.00	
08AUG17	B 3 PD06OCT17	2024.25	2114.58	USD	6,774.75	
09AUG17	B 2 PD06OCT17	2022.44	2114.58	USD	4,607.00	
	NET 0 AVG BGHT 1938.41 SOLD 1935.71				**-2,089.25**	**0.00**
10JUL17	B 1 PD10OCT17	1932.50	2115.92	USD	4,585.50	
10JUL17	S 1 PD10OCT17	1932.50	2115.92	USD	-4,585.50	
10JUL17	S 2 PD10OCT17	1928.50	2115.92	USD	-9,371.00	
10JUL17	S 2 PD10OCT17	1928.00	2115.92	USD	-9,396.00	
10JUL17	S 1 PD10OCT17	1930.00	2115.92	USD	-4,648.00	
10JUL17	S 2 PD10OCT17	1931.00	2115.92	USD	-9,246.00	
10JUL17	S 3 PD10OCT17	1930.50	2115.92	USD	-13,906.50	
10JUL17	S 4 PD10OCT17	1927.00	2115.92	USD	-18,892.00	
10JUL17	S 1 PD10OCT17	1927.50	2115.92	USD	-4,710.50	
10JUL17	S 3 PD10OCT17	1932.00	2115.92	USD	-13,794.00	
10JUL17	B 1 PD10OCT17	1931.00	2115.92	USD	4,623.00	
10JUL17	S 1 PD10OCT17	1931.50	2115.92	USD	-4,610.50	
10JUL17	B 2 PD10OCT17	1921.50	2115.92	USD	9,721.00	
10JUL17	S 1 PD10OCT17	1921.50	2115.92	USD	-4,860.50	
10JUL17	B 1 PD10OCT17	1925.50	2115.92	USD	4,760.50	
10JUL17	B 1 PD10OCT17	1924.00	2115.92	USD	4,798.00	
10JUL17	B 1 PD10OCT17	1924.50	2115.92	USD	4,785.50	
10JUL17	S 1 PD10OCT17	1929.00	2115.92	USD	-4,673.00	
10JUL17	S 1 PD10OCT17	1930.50	2115.92	USD	-4,635.50	
10JUL17	B 1 PD10OCT17	1915.00	2115.92	USD	5,023.00	
10JUL17	S 1 PD10OCT17	1917.00	2115.92	USD	-4,973.00	
10JUL17	B 1 PD10OCT17	1918.00	2115.92	USD	4,948.00	
10JUL17	B 1 PD10OCT17	1916.50	2115.92	USD	4,985.50	
10JUL17	S 1 PD10OCT17	1916.00	2115.92	USD	-4,998.00	
10JUL17	B 2 PD10OCT17	1915.50	2115.92	USD	10,021.00	
10JUL17	S 2 PD10OCT17	1915.50	2115.92	USD	-10,021.00	
10JUL17	B 1 PD10OCT17	1912.50	2115.92	USD	5,085.50	
10JUL17	B 2 PD10OCT17	1917.00	2115.92	USD	9,946.00	
10JUL17	B 1 PD10OCT17	1914.50	2115.92	USD	5,035.50	
10JUL17	B 1 PD10OCT17	1913.50	2115.92	USD	5,060.50	
10JUL17	S 1 PD10OCT17	1914.00	2115.92	USD	-5,048.00	
10JUL17	B 4 PD10OCT17	1914.00	2115.92	USD	20,192.00	
10JUL17	B 2 PD10OCT17	1910.50	2115.92	USD	10,271.00	
10JUL17	B 10 PD10OCT17	1911.00	2115.92	USD	51,230.00	
10JUL17	B 1 PD10OCT17	1907.50	2115.92	USD	5,210.50	
10JUL17	B 2 PD10OCT17	1906.00	2115.92	USD	10,496.00	
10JUL17	S 1 PD10OCT17	1906.50	2115.92	USD	-5,235.50	
10JUL17	B 1 PD10OCT17	1905.50	2115.92	USD	5,260.50	
10JUL17	B 2 PD10OCT17	1907.00	2115.92	USD	10,446.00	
10JUL17	B 10 PD10OCT17	1906.50	2115.92	USD	52,355.00	
10JUL17	B 1 PD10OCT17	1902.00	2115.92	USD	5,348.00	
10JUL17	B 2 PD10OCT17	1904.50	2115.92	USD	10,571.00	
10JUL17	B 2 PD10OCT17	1904.00	2115.92	USD	10,596.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
10JUL17	B 1 PD10OCT17	1903.00	2115.92	USD	5,323.00	
10JUL17	B 1 PD10OCT17	1901.00	2115.92	USD	5,373.00	
10JUL17	B 2 PD10OCT17	1899.50	2115.92	USD	10,821.00	
10JUL17	S 2 PD10OCT17	1897.50	2115.92	USD	-10,921.00	
10JUL17	S 2 PD10OCT17	1897.00	2115.92	USD	-10,946.00	
10JUL17	B 1 PD10OCT17	1898.50	2115.92	USD	5,435.50	
12JUL17	S 6 PD10OCT17	1888.55	2115.92	USD	-34,105.50	
17JUL17	S 2 PD10OCT17	1916.51	2115.92	USD	-9,970.50	
17JUL17	S 2 PD10OCT17	1916.71	2115.92	USD	-9,960.50	
21JUL17	S 5 PD10OCT17	1913.47	2115.92	USD	-25,306.25	
28JUL17	S 2 PD10OCT17	1902.41	2115.92	USD	-10,675.50	
03AUG17	S 2 PD10OCT17	1910.95	2115.92	USD	-10,248.50	
08AUG17	S 3 PD10OCT17	2025.05	2115.92	USD	-6,815.25	
09AUG17	S 4 PD10OCT17	2023.08	2115.92	USD	-9,284.00	
	NET 0 AVG BGHT 1910.97 SOLD 1928.91				**26,469.50**	**0.00**
11JUL17	S 1 PD11OCT17	1901.00	2116.25	USD	-5,381.25	
11JUL17	B 1 PD11OCT17	1904.50	2116.25	USD	5,293.75	
11JUL17	B 1 PD11OCT17	1891.00	2116.25	USD	5,631.25	
11JUL17	B 2 PD11OCT17	1893.50	2116.25	USD	11,137.50	
11JUL17	S 2 PD11OCT17	1893.50	2116.25	USD	-11,137.50	
11JUL17	S 1 PD11OCT17	1894.00	2116.25	USD	-5,556.25	
11JUL17	B 1 PD11OCT17	1895.00	2116.25	USD	5,531.25	
11JUL17	B 2 PD11OCT17	1898.00	2116.25	USD	10,912.50	
11JUL17	B 1 PD11OCT17	1899.50	2116.25	USD	5,418.75	
11JUL17	B 1 PD11OCT17	1901.00	2116.25	USD	5,381.25	
11JUL17	B 2 PD11OCT17	1900.50	2116.25	USD	10,787.50	
11JUL17	S 3 PD11OCT17	1898.00	2116.25	USD	-16,368.75	
11JUL17	B 1 PD11OCT17	1897.50	2116.25	USD	5,468.75	
11JUL17	B 2 PD11OCT17	1903.00	2116.25	USD	10,662.50	
11JUL17	B 1 PD11OCT17	1902.50	2116.25	USD	5,343.75	
11JUL17	S 1 PD11OCT17	1899.50	2116.25	USD	-5,418.75	
11JUL17	S 3 PD11OCT17	1900.50	2116.25	USD	-16,181.25	
12JUL17	S 2 PD11OCT17	1888.68	2116.25	USD	-11,378.50	
28JUL17	S 2 PD11OCT17	1902.84	2116.25	USD	-10,670.50	
	NET 0 AVG BGHT 1898.73 SOLD 1897.34				**-524.00**	**0.00**
12JUL17	B 2 PD12OCT17	1897.00	2116.25	USD	10,962.50	
12JUL17	S 2 PD12OCT17	1898.50	2116.25	USD	-10,887.50	
12JUL17	B 1 PD12OCT17	1902.00	2116.25	USD	5,356.25	
12JUL17	B 1 PD12OCT17	1902.50	2116.25	USD	5,343.75	
12JUL17	B 1 PD12OCT17	1900.00	2116.25	USD	5,406.25	
12JUL17	B 1 PD12OCT17	1899.50	2116.25	USD	5,418.75	
12JUL17	S 3 PD12OCT17	1900.50	2116.25	USD	-16,181.25	
12JUL17	S 1 PD12OCT17	1893.50	2116.25	USD	-5,568.75	
12JUL17	B 1 PD12OCT17	1898.00	2116.25	USD	5,456.25	
12JUL17	B 3 PD12OCT17	1893.50	2116.25	USD	16,706.25	
12JUL17	S 1 PD12OCT17	1894.00	2116.25	USD	-5,556.25	
12JUL17	B 1 PD12OCT17	1892.50	2116.25	USD	5,593.75	
12JUL17	S 1 PD12OCT17	1893.00	2116.25	USD	-5,581.25	
12JUL17	B 1 PD12OCT17	1890.50	2116.25	USD	5,643.75	
12JUL17	B 1 PD12OCT17	1889.00	2116.25	USD	5,681.25	
12JUL17	B 1 PD12OCT17	1890.00	2116.25	USD	5,656.25	
12JUL17	B 2 PD12OCT17	1889.50	2116.25	USD	11,337.50	
12JUL17	B 1 PD12OCT17	1888.50	2116.25	USD	5,693.75	
12JUL17	B 1 PD12OCT17	1887.00	2116.25	USD	5,731.25	
12JUL17	S 1 PD12OCT17	1892.50	2116.25	USD	-5,593.75	
12JUL17	S 1 PD12OCT17	1893.00	2116.25	USD	-5,581.25	
14JUL17	S 5 PD12OCT17	1926.67	2116.25	USD	-23,697.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.							
17JUL17	B	2	PD12OCT17	1917.28	2116.25	USD	9,948.50	
19JUL17	S	2	PD12OCT17	1918.71	2116.25	USD	-9,877.00	
19JUL17	S	1	PD12OCT17	1918.91	2116.25	USD	-4,933.50	
08AUG17	S	2	PD12OCT17	2025.55	2116.25	USD	-4,535.00	
	NET	**0**	**AVG BGHT 1896.38 SOLD 1920.26**				**11,943.00**	**0.00**
13JUL17	S	1	PD13OCT17	1901.00	2116.25	USD	-5,381.25	
13JUL17	S	2	PD13OCT17	1900.00	2116.25	USD	-10,812.50	
13JUL17	S	1	PD13OCT17	1938.50	2116.25	USD	-4,443.75	
13JUL17	S	1	PD13OCT17	1923.50	2116.25	USD	-4,818.75	
13JUL17	S	2	PD13OCT17	1924.50	2116.25	USD	-9,587.50	
13JUL17	S	1	PD13OCT17	1925.50	2116.25	USD	-4,768.75	
13JUL17	S	2	PD13OCT17	1930.50	2116.25	USD	-9,287.50	
13JUL17	B	1	PD13OCT17	1927.50	2116.25	USD	4,718.75	
13JUL17	B	6	PD13OCT17	1928.00	2116.25	USD	28,237.50	
13JUL17	B	3	PD13OCT17	1930.00	2116.25	USD	13,968.75	
13JUL17	S	1	PD13OCT17	1927.00	2116.25	USD	-4,731.25	
13JUL17	S	6	PD13OCT17	1927.50	2116.25	USD	-28,312.50	
13JUL17	S	7	PD13OCT17	1928.00	2116.25	USD	-32,943.75	
13JUL17	S	7	PD13OCT17	1930.00	2116.25	USD	-32,593.75	
13JUL17	S	1	PD13OCT17	1929.50	2116.25	USD	-4,668.75	
13JUL17	S	8	PD13OCT17	1929.00	2116.25	USD	-37,450.00	
13JUL17	B	1	PD13OCT17	1925.50	2116.25	USD	4,768.75	
13JUL17	S	2	PD13OCT17	1933.00	2116.25	USD	-9,162.50	
13JUL17	S	1	PD13OCT17	1923.50	2116.25	USD	-4,818.75	
13JUL17	B	2	PD13OCT17	1930.00	2116.25	USD	9,312.50	
13JUL17	S	2	PD13OCT17	1928.00	2116.25	USD	-9,412.50	
13JUL17	B	1	PD13OCT17	1932.50	2116.25	USD	4,593.75	
13JUL17	B	1	PD13OCT17	1934.50	2116.25	USD	4,543.75	
13JUL17	B	1	PD13OCT17	1921.50	2116.25	USD	4,868.75	
14JUL17	S	1	PD13OCT17	1928.50	2116.25	USD	-4,693.75	
14JUL17	S	1	PD13OCT17	1926.00	2116.25	USD	-4,756.25	
14JUL17	S	1	PD13OCT17	1927.00	2116.25	USD	-4,731.25	
14JUL17	S	2	PD13OCT17	1927.50	2116.25	USD	-9,437.50	
14JUL17	S	1	PD13OCT17	1928.00	2116.25	USD	-4,706.25	
14JUL17	B	1	PD13OCT17	1929.50	2116.25	USD	4,668.75	
14JUL17	S	1	PD13OCT17	1931.00	2116.25	USD	-4,631.25	
14JUL17	S	2	PD13OCT17	1926.50	2116.25	USD	-9,487.50	
19JUL17	B	1	PD13OCT17	1919.33	2116.25	USD	4,923.00	
19JUL17	B	22	PD13OCT17	1919.13	2116.25	USD	108,416.00	
21JUL17	B	2	PD13OCT17	1914.26	2116.25	USD	10,099.50	
26JUL17	B	3	PD13OCT17	1940.01	2116.25	USD	13,218.00	
27JUL17	B	5	PD13OCT17	1935.38	2116.25	USD	22,608.75	
28JUL17	B	2	PD13OCT17	1903.52	2116.25	USD	10,636.50	
10AUG17	B	2	PD13OCT17	2033.21	2116.25	USD	4,152.00	
	NET	**0**	**AVG BGHT 1928.30 SOLD 1926.89**				**-1,902.50**	**0.00**
17JUL17	B	10	PD17OCT17	1932.50	2116.25	USD	45,937.50	
17JUL17	B	5	PD17OCT17	1930.00	2116.25	USD	23,281.25	
17JUL17	B	1	PD17OCT17	1928.50	2116.25	USD	4,693.75	
17JUL17	B	3	PD17OCT17	1928.00	2116.25	USD	14,118.75	
17JUL17	S	1	PD17OCT17	1938.00	2116.25	USD	-4,456.25	
17JUL17	B	5	PD17OCT17	1923.00	2116.25	USD	24,156.25	
17JUL17	B	1	PD17OCT17	1924.50	2116.25	USD	4,793.75	
17JUL17	B	1	PD17OCT17	1925.50	2116.25	USD	4,768.75	
17JUL17	B	2	PD17OCT17	1925.00	2116.25	USD	9,562.50	
17JUL17	B	2	PD17OCT17	1923.50	2116.25	USD	9,637.50	
17JUL17	B	2	PD17OCT17	1924.00	2116.25	USD	9,612.50	
17JUL17	S	5	PD17OCT17	1926.00	2116.25	USD	-23,781.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
17JUL17	B 3 PD17OCT17	1927.50	2116.25	USD	14,156.25	
17JUL17	B 4 PD17OCT17	1927.00	2116.25	USD	18,925.00	
17JUL17	S 6 PD17OCT17	1927.00	2116.25	USD	-28,387.50	
17JUL17	S 1 PD17OCT17	1927.50	2116.25	USD	-4,718.75	
17JUL17	S 1 PD17OCT17	1928.00	2116.25	USD	-4,706.25	
17JUL17	S 2 PD17OCT17	1925.00	2116.25	USD	-9,562.50	
17JUL17	B 2 PD17OCT17	1926.00	2116.25	USD	9,512.50	
17JUL17	S 1 PD17OCT17	1929.00	2116.25	USD	-4,681.25	
17JUL17	B 1 PD17OCT17	1919.50	2116.25	USD	4,918.75	
17JUL17	S 1 PD17OCT17	1926.50	2116.25	USD	-4,743.75	
17JUL17	B 3 PD17OCT17	1913.50	2116.25	USD	15,206.25	
17JUL17	B 1 PD17OCT17	1913.00	2116.25	USD	5,081.25	
17JUL17	B 1 PD17OCT17	1908.00	2116.25	USD	5,206.25	
17JUL17	B 1 PD17OCT17	1905.00	2116.25	USD	5,281.25	
17JUL17	B 1 PD17OCT17	1904.00	2116.25	USD	5,306.25	
17JUL17	B 3 PD17OCT17	1910.50	2116.25	USD	15,431.25	
17JUL17	B 1 PD17OCT17	1911.00	2116.25	USD	5,131.25	
17JUL17	B 1 PD17OCT17	1910.00	2116.25	USD	5,156.25	
19JUL17	S 28 PD17OCT17	1919.83	2116.25	USD	-137,494.00	
21JUL17	S 2 PD17OCT17	1914.85	2116.25	USD	-10,070.00	
26JUL17	S 3 PD17OCT17	1940.60	2116.25	USD	-13,173.75	
10AUG17	S 2 PD17OCT17	2034.05	2116.25	USD	-4,110.00	
10AUG17	S 1 PD17OCT17	2034.25	2116.25	USD	-2,050.00	
	NET 0 AVG BGHT 1923.75 SOLD 1929.63				**7,939.75**	**0.00**
18JUL17	S 1 PD18OCT17	1915.50	2116.25	USD	-5,018.75	
18JUL17	S 1 PD18OCT17	1913.50	2116.25	USD	-5,068.75	
18JUL17	S 1 PD18OCT17	1914.00	2116.25	USD	-5,056.25	
18JUL17	S 4 PD18OCT17	1912.50	2116.25	USD	-20,375.00	
18JUL17	S 3 PD18OCT17	1913.00	2116.25	USD	-15,243.75	
18JUL17	S 1 PD18OCT17	1907.00	2116.25	USD	-5,231.25	
18JUL17	S 1 PD18OCT17	1921.00	2116.25	USD	-4,881.25	
18JUL17	B 4 PD18OCT17	1918.50	2116.25	USD	19,775.00	
18JUL17	B 1 PD18OCT17	1917.50	2116.25	USD	4,968.75	
18JUL17	B 1 PD18OCT17	1923.50	2116.25	USD	4,818.75	
18JUL17	B 9 PD18OCT17	1922.00	2116.25	USD	43,706.25	
18JUL17	B 4 PD18OCT17	1922.50	2116.25	USD	19,375.00	
18JUL17	B 25 PD18OCT17	1923.00	2116.25	USD	120,781.25	
18JUL17	B 3 PD18OCT17	1917.00	2116.25	USD	14,943.75	
18JUL17	B 1 PD18OCT17	1923.00	2116.25	USD	4,831.25	
18JUL17	B 4 PD18OCT17	1924.50	2116.25	USD	19,175.00	
18JUL17	B 1 PD18OCT17	1925.50	2116.25	USD	4,768.75	
18JUL17	B 14 PD18OCT17	1926.00	2116.25	USD	66,587.50	
19JUL17	S 60 PD18OCT17	1920.05	2116.25	USD	-294,300.00	
27JUL17	B 1 PD18OCT17	1936.50	2116.25	USD	4,493.75	
28JUL17	B 3 PD18OCT17	1904.50	2116.25	USD	15,881.25	
10AUG17	B 1 PD18OCT17	2033.95	2116.25	USD	2,057.50	
	NET 0 AVG BGHT 1923.94 SOLD 1918.93				**-9,011.25**	**0.00**
19JUL17	S 1 PD19OCT17	1930.00	2116.64	USD	-4,666.00	
19JUL17	S 1 PD19OCT17	1927.50	2116.64	USD	-4,728.50	
19JUL17	S 1 PD19OCT17	1934.00	2116.64	USD	-4,566.00	
19JUL17	B 1 PD19OCT17	1934.50	2116.64	USD	4,553.50	
19JUL17	B 1 PD19OCT17	1935.00	2116.64	USD	4,541.00	
19JUL17	B 2 PD19OCT17	1935.50	2116.64	USD	9,057.00	
19JUL17	B 5 PD19OCT17	1934.00	2116.64	USD	22,830.00	
19JUL17	B 1 PD19OCT17	1930.00	2116.64	USD	4,666.00	
19JUL17	B 1 PD19OCT17	1925.00	2116.64	USD	4,791.00	
19JUL17	B 1 PD19OCT17	1932.00	2116.64	USD	4,616.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
19JUL17	B 1 PD19OCT17	1915.50	2116.64	USD	5,028.50	
27JUL17	S 7 PD19OCT17	1935.80	2116.64	USD	-31,647.00	
28JUL17	S 3 PD19OCT17	1903.80	2116.64	USD	-15,963.00	
	NET 0 AVG BGHT 1931.77 SOLD 1927.19				**-1,487.50**	**0.00**
20JUL17	B 1 PD20OCT17	1927.50	2117.03	USD	4,738.25	
20JUL17	B 11 PD20OCT17	1927.00	2117.03	USD	52,258.25	
20JUL17	B 11 PD20OCT17	1929.00	2117.03	USD	51,708.25	
20JUL17	B 8 PD20OCT17	1928.00	2117.03	USD	37,806.00	
20JUL17	S 1 PD20OCT17	1927.50	2117.03	USD	-4,738.25	
20JUL17	S 1 PD20OCT17	1923.50	2117.03	USD	-4,838.25	
20JUL17	B 1 PD20OCT17	1919.50	2117.03	USD	4,938.25	
20JUL17	B 1 PD20OCT17	1920.00	2117.03	USD	4,925.75	
20JUL17	S 1 PD20OCT17	1918.00	2117.03	USD	-4,975.75	
20JUL17	S 1 PD20OCT17	1918.50	2117.03	USD	-4,963.25	
21JUL17	S 1 PD20OCT17	1931.00	2117.03	USD	-4,650.75	
21JUL17	B 1 PD20OCT17	1933.50	2117.03	USD	4,588.25	
21JUL17	B 1 PD20OCT17	1927.00	2117.03	USD	4,750.75	
21JUL17	B 1 PD20OCT17	1927.50	2117.03	USD	4,738.25	
21JUL17	B 1 PD20OCT17	1926.50	2117.03	USD	4,763.25	
21JUL17	B 1 PD20OCT17	1922.50	2117.03	USD	4,863.25	
21JUL17	B 1 PD20OCT17	1921.00	2117.03	USD	4,900.75	
21JUL17	S 1 PD20OCT17	1924.00	2117.03	USD	-4,825.75	
21JUL17	S 1 PD20OCT17	1924.50	2117.03	USD	-4,813.25	
21JUL17	S 1 PD20OCT17	1919.00	2117.03	USD	-4,950.75	
27JUL17	S 31 PD20OCT17	1936.05	2117.03	USD	-140,259.50	
	NET 0 AVG BGHT 1927.31 SOLD 1933.42				**5,963.75**	**0.00**
24JUL17	B 4 PD24OCT17	1912.50	2118.58	USD	20,608.00	
24JUL17	B 1 PD24OCT17	1912.00	2118.58	USD	5,164.50	
24JUL17	S 4 PD24OCT17	1913.50	2118.58	USD	-20,508.00	
24JUL17	B 1 PD24OCT17	1910.00	2118.58	USD	5,214.50	
08AUG17	S 2 PD24OCT17	2027.00	2118.58	USD	-4,579.00	
	NET 0 AVG BGHT 1912.00 SOLD 1951.33				**5,900.00**	**0.00**
25JUL17	S 1 PD25OCT17	1914.50	2118.97	USD	-5,111.75	
25JUL17	S 1 PD25OCT17	1915.50	2118.97	USD	-5,086.75	
25JUL17	B 1 PD25OCT17	1920.00	2118.97	USD	4,974.25	
25JUL17	S 1 PD25OCT17	1935.50	2118.97	USD	-4,586.75	
25JUL17	S 1 PD25OCT17	1933.00	2118.97	USD	-4,649.25	
25JUL17	S 1 PD25OCT17	1940.50	2118.97	USD	-4,461.75	
25JUL17	S 1 PD25OCT17	1947.00	2118.97	USD	-4,299.25	
25JUL17	S 1 PD25OCT17	1945.50	2118.97	USD	-4,336.75	
25JUL17	S 1 PD25OCT17	1945.00	2118.97	USD	-4,349.25	
08AUG17	B 2 PD25OCT17	2027.40	2118.97	USD	4,578.50	
08AUG17	B 3 PD25OCT17	2027.20	2118.97	USD	6,882.75	
12SEP17	B 2 PD25OCT17	2121.75	2118.97	USD	-139.00	
	NET 0 AVG BGHT 2037.49 SOLD 1934.56				**-20,585.00**	**0.00**
26JUL17	S 2 PD26OCT17	1936.00	2119.36	USD	-9,168.00	
26JUL17	B 1 PD26OCT17	1937.00	2119.36	USD	4,559.00	
26JUL17	S 1 PD26OCT17	1947.50	2119.36	USD	-4,296.50	
26JUL17	S 3 PD26OCT17	1953.00	2119.36	USD	-12,477.00	
26JUL17	B 1 PD26OCT17	1957.50	2119.36	USD	4,046.50	
26JUL17	B 2 PD26OCT17	1934.50	2119.36	USD	9,243.00	
26JUL17	B 1 PD26OCT17	1933.50	2119.36	USD	4,646.50	
26JUL17	S 1 PD26OCT17	1937.50	2119.36	USD	-4,546.50	
26JUL17	S 2 PD26OCT17	1938.00	2119.36	USD	-9,068.00	
26JUL17	B 1 PD26OCT17	1941.50	2119.36	USD	4,446.50	
26JUL17	B 1 PD26OCT17	1942.50	2119.36	USD	4,421.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.								
26JUL17	S	2	PD26OCT17		1951.00	2119.36	USD	-8,418.00	
26JUL17	S	1	PD26OCT17		1952.50	2119.36	USD	-4,171.50	
26JUL17	S	1	PD26OCT17		1958.00	2119.36	USD	-4,034.00	
26JUL17	S	1	PD26OCT17		1959.00	2119.36	USD	-4,009.00	
26JUL17	S	2	PD26OCT17		1959.50	2119.36	USD	-7,993.00	
26JUL17	B	1	PD26OCT17		1947.50	2119.36	USD	4,296.50	
26JUL17	B	2	PD26OCT17		1946.50	2119.36	USD	8,643.00	
26JUL17	B	1	PD26OCT17		1946.00	2119.36	USD	4,334.00	
26JUL17	B	1	PD26OCT17		1937.50	2119.36	USD	4,546.50	
08AUG17	B	2	PD26OCT17		2027.40	2119.36	USD	4,598.00	
10AUG17	B	4	PD26OCT17		2034.44	2119.36	USD	8,492.00	
12SEP17	S	2	PD26OCT17		2121.97	2119.36	USD	130.50	
	NET	**0**	**AVG BGHT 1972.09**	**SOLD 1968.14**				**-1,778.00**	**0.00**
27JUL17	B	1	PD27OCT17		1941.50	2119.75	USD	4,456.25	
27JUL17	S	1	PD27OCT17		1942.00	2119.75	USD	-4,443.75	
27JUL17	B	2	PD27OCT17		1933.00	2119.75	USD	9,337.50	
27JUL17	S	1	PD27OCT17		1950.50	2119.75	USD	-4,231.25	
27JUL17	B	1	PD27OCT17		1941.00	2119.75	USD	4,468.75	
27JUL17	S	1	PD27OCT17		1949.00	2119.75	USD	-4,268.75	
27JUL17	S	1	PD27OCT17		1955.00	2119.75	USD	-4,118.75	
27JUL17	S	1	PD27OCT17		1954.50	2119.75	USD	-4,131.25	
27JUL17	B	1	PD27OCT17		1938.50	2119.75	USD	4,531.25	
28JUL17	B	1	PD27OCT17		1925.50	2119.75	USD	4,856.25	
28JUL17	S	2	PD27OCT17		1929.00	2119.75	USD	-9,537.50	
28JUL17	S	2	PD27OCT17		1931.00	2119.75	USD	-9,437.50	
28JUL17	B	2	PD27OCT17		1917.50	2119.75	USD	10,112.50	
28JUL17	B	1	PD27OCT17		1943.00	2119.75	USD	4,418.75	
28JUL17	B	1	PD27OCT17		1912.00	2119.75	USD	5,193.75	
28JUL17	B	1	PD27OCT17		1911.50	2119.75	USD	5,206.25	
28JUL17	B	1	PD27OCT17		1912.50	2119.75	USD	5,181.25	
28JUL17	B	1	PD27OCT17		1913.00	2119.75	USD	5,168.75	
28JUL17	B	4	PD27OCT17		1914.00	2119.75	USD	20,575.00	
28JUL17	B	1	PD27OCT17		1914.50	2119.75	USD	5,131.25	
28JUL17	B	2	PD27OCT17		1916.00	2119.75	USD	10,187.50	
28JUL17	B	4	PD27OCT17		1917.00	2119.75	USD	20,275.00	
28JUL17	S	10	PD27OCT17		1916.50	2119.75	USD	-50,812.50	
28JUL17	S	1	PD27OCT17		1914.50	2119.75	USD	-5,131.25	
28JUL17	S	12	PD27OCT17		1915.00	2119.75	USD	-61,425.00	
28JUL17	B	6	PD27OCT17		1915.00	2119.75	USD	30,712.50	
28JUL17	B	6	PD27OCT17		1915.50	2119.75	USD	30,637.50	
28JUL17	B	4	PD27OCT17		1916.50	2119.75	USD	20,325.00	
28JUL17	S	1	PD27OCT17		1917.50	2119.75	USD	-5,056.25	
28JUL17	S	1	PD27OCT17		1915.00	2119.75	USD	-5,118.75	
28JUL17	B	2	PD27OCT17		1915.50	2119.75	USD	10,212.50	
28JUL17	S	1	PD27OCT17		1915.50	2119.75	USD	-5,106.25	
28JUL17	B	1	PD27OCT17		1909.50	2119.75	USD	5,256.25	
28JUL17	B	1	PD27OCT17		1910.00	2119.75	USD	5,243.75	
07AUG17	B	1	PD27OCT17		1961.39	2119.75	USD	3,959.00	
08AUG17	S	5	PD27OCT17		2027.40	2119.75	USD	-11,543.75	
09AUG17	S	2	PD27OCT17		2025.18	2119.75	USD	-4,728.50	
10AUG17	S	2	PD27OCT17		2034.41	2119.75	USD	-4,267.00	
12SEP17	S	1	PD27OCT17		2122.58	2119.75	USD	70.75	
	NET	**0**	**AVG BGHT 1919.35**	**SOLD 1947.94**				**32,159.25**	**0.00**
31JUL17	B	2	PD31OCT17		1917.00	2117.75	USD	10,037.50	
31JUL17	B	1	PD31OCT17		1917.50	2117.75	USD	5,006.25	
31JUL17	B	1	PD31OCT17		1919.00	2117.75	USD	4,968.75	
31JUL17	B	1	PD31OCT17		1918.50	2117.75	USD	4,981.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.							
31JUL17	B	4	PD31OCT17	1921.00	2117.75	USD	19,675.00	
31JUL17	S	4	PD31OCT17	1919.00	2117.75	USD	-19,875.00	
31JUL17	B	1	PD31OCT17	1912.50	2117.75	USD	5,131.25	
31JUL17	S	1	PD31OCT17	1916.00	2117.75	USD	-5,043.75	
31JUL17	S	1	PD31OCT17	1918.00	2117.75	USD	-4,993.75	
07AUG17	S	1	PD31OCT17	1962.14	2117.75	USD	-3,890.25	
08AUG17	S	1	PD31OCT17	2028.40	2117.75	USD	-2,233.75	
09AUG17	B	1	PD31OCT17	2026.09	2117.75	USD	2,291.50	
10AUG17	S	2	PD31OCT17	2035.10	2117.75	USD	-4,132.50	
10AUG17	S	1	PD31OCT17	2035.30	2117.75	USD	-2,061.25	
	NET	**0**	**AVG BGHT 1928.33**	**SOLD 1964.19**			**9,861.25**	**0.00**
01AUG17	S	1	PD01NOV17	1914.00	2117.42	USD	-5,085.50	
01AUG17	B	1	PD01NOV17	1911.50	2117.42	USD	5,148.00	
01AUG17	B	1	PD01NOV17	1910.50	2117.42	USD	5,173.00	
01AUG17	B	1	PD01NOV17	1911.00	2117.42	USD	5,160.50	
01AUG17	B	1	PD01NOV17	1909.50	2117.42	USD	5,198.00	
01AUG17	B	2	PD01NOV17	1907.50	2117.42	USD	10,496.00	
01AUG17	B	1	PD01NOV17	1907.00	2117.42	USD	5,260.50	
01AUG17	S	1	PD01NOV17	1905.50	2117.42	USD	-5,298.00	
01AUG17	S	1	PD01NOV17	1909.50	2117.42	USD	-5,198.00	
01AUG17	S	1	PD01NOV17	1908.00	2117.42	USD	-5,235.50	
01AUG17	S	2	PD01NOV17	1908.50	2117.42	USD	-10,446.00	
01AUG17	B	1	PD01NOV17	1907.00	2117.42	USD	5,260.50	
08AUG17	S	1	PD01NOV17	2028.60	2117.42	USD	-2,220.50	
09AUG17	B	1	PD01NOV17	2026.27	2117.42	USD	2,278.75	
11SEP17	S	1	PD01NOV17	2112.50	2117.42	USD	-123.00	
12SEP17	S	1	PD01NOV17	2124.67	2117.42	USD	181.25	
	NET	**0**	**AVG BGHT 1921.97**	**SOLD 1968.86**			**10,550.00**	**0.00**
02AUG17	S	1	PD02NOV17	1909.00	2117.08	USD	-5,202.00	
02AUG17	S	1	PD02NOV17	1912.50	2117.08	USD	-5,114.50	
02AUG17	B	1	PD02NOV17	1914.00	2117.08	USD	5,077.00	
02AUG17	B	1	PD02NOV17	1918.00	2117.08	USD	4,977.00	
02AUG17	S	1	PD02NOV17	1928.00	2117.08	USD	-4,727.00	
02AUG17	B	1	PD02NOV17	1920.00	2117.08	USD	4,927.00	
02AUG17	B	3	PD02NOV17	1920.50	2117.08	USD	14,743.50	
02AUG17	B	1	PD02NOV17	1923.00	2117.08	USD	4,852.00	
02AUG17	B	1	PD02NOV17	1922.00	2117.08	USD	4,877.00	
02AUG17	B	3	PD02NOV17	1926.50	2117.08	USD	14,293.50	
02AUG17	B	1	PD02NOV17	1929.50	2117.08	USD	4,689.50	
02AUG17	B	1	PD02NOV17	1924.00	2117.08	USD	4,827.00	
02AUG17	B	2	PD02NOV17	1925.00	2117.08	USD	9,604.00	
02AUG17	B	1	PD02NOV17	1928.00	2117.08	USD	4,727.00	
02AUG17	S	1	PD02NOV17	1927.00	2117.08	USD	-4,752.00	
02AUG17	B	1	PD02NOV17	1927.00	2117.08	USD	4,752.00	
02AUG17	B	1	PD02NOV17	1922.50	2117.08	USD	4,864.50	
02AUG17	B	1	PD02NOV17	1924.50	2117.08	USD	4,814.50	
02AUG17	B	4	PD02NOV17	1926.00	2117.08	USD	19,108.00	
08AUG17	S	1	PD02NOV17	2028.80	2117.08	USD	-2,207.00	
09AUG17	S	1	PD02NOV17	2026.25	2117.08	USD	-2,270.75	
10AUG17	S	3	PD02NOV17	2035.64	2117.08	USD	-6,108.00	
17AUG17	S	1	PD02NOV17	2073.33	2117.08	USD	-1,093.75	
23AUG17	S	5	PD02NOV17	2093.12	2117.08	USD	-2,995.00	
30AUG17	S	2	PD02NOV17	2082.95	2117.08	USD	-1,706.50	
11SEP17	S	2	PD02NOV17	2112.79	2117.08	USD	-214.50	
12SEP17	S	2	PD02NOV17	2125.08	2117.08	USD	400.00	
25SEP17	S	2	PD02NOV17	2133.11	2117.08	USD	801.50	
	NET	**0**	**AVG BGHT 1923.80**	**SOLD 2055.88**			**75,944.00**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.							
03AUG17	B	1	PD03NOV17	1929.00	2116.75	USD	4,693.75	
03AUG17	B	2	PD03NOV17	1930.00	2116.75	USD	9,337.50	
03AUG17	B	1	PD03NOV17	1931.00	2116.75	USD	4,643.75	
03AUG17	S	1	PD03NOV17	1927.00	2116.75	USD	-4,743.75	
03AUG17	B	1	PD03NOV17	1927.50	2116.75	USD	4,731.25	
03AUG17	B	1	PD03NOV17	1927.00	2116.75	USD	4,743.75	
03AUG17	S	1	PD03NOV17	1924.50	2116.75	USD	-4,806.25	
03AUG17	S	2	PD03NOV17	1926.00	2116.75	USD	-9,537.50	
03AUG17	S	2	PD03NOV17	1926.50	2116.75	USD	-9,512.50	
03AUG17	S	1	PD03NOV17	1924.00	2116.75	USD	-4,818.75	
03AUG17	S	1	PD03NOV17	1923.00	2116.75	USD	-4,843.75	
03AUG17	S	1	PD03NOV17	1922.50	2116.75	USD	-4,856.25	
03AUG17	S	1	PD03NOV17	1922.00	2116.75	USD	-4,868.75	
03AUG17	B	2	PD03NOV17	1916.50	2116.75	USD	10,012.50	
03AUG17	B	1	PD03NOV17	1917.00	2116.75	USD	4,993.75	
03AUG17	B	1	PD03NOV17	1914.50	2116.75	USD	5,056.25	
03AUG17	S	2	PD03NOV17	1918.00	2116.75	USD	-9,937.50	
03AUG17	S	2	PD03NOV17	1918.50	2116.75	USD	-9,912.50	
03AUG17	B	2	PD03NOV17	1917.50	2116.75	USD	9,962.50	
03AUG17	S	2	PD03NOV17	1917.50	2116.75	USD	-9,962.50	
04AUG17	B	1	PD03NOV17	1915.00	2116.75	USD	5,043.75	
04AUG17	B	1	PD03NOV17	1917.00	2116.75	USD	4,993.75	
04AUG17	B	1	PD03NOV17	1918.00	2116.75	USD	4,968.75	
04AUG17	B	2	PD03NOV17	1917.50	2116.75	USD	9,962.50	
04AUG17	B	1	PD03NOV17	1918.50	2116.75	USD	4,956.25	
04AUG17	B	1	PD03NOV17	1913.50	2116.75	USD	5,081.25	
04AUG17	B	2	PD03NOV17	1913.00	2116.75	USD	10,187.50	
04AUG17	S	1	PD03NOV17	1913.50	2116.75	USD	-5,081.25	
04AUG17	S	1	PD03NOV17	1908.50	2116.75	USD	-5,206.25	
04AUG17	B	1	PD03NOV17	1906.50	2116.75	USD	5,256.25	
04AUG17	S	2	PD03NOV17	1907.00	2116.75	USD	-10,487.50	
04AUG17	B	1	PD03NOV17	1909.50	2116.75	USD	5,181.25	
04AUG17	S	1	PD03NOV17	1909.00	2116.75	USD	-5,193.75	
04AUG17	B	2	PD03NOV17	1908.00	2116.75	USD	10,437.50	
04AUG17	B	1	PD03NOV17	1906.00	2116.75	USD	5,268.75	
08AUG17	S	1	PD03NOV17	2029.00	2116.75	USD	-2,193.75	
09AUG17	S	6	PD03NOV17	2026.43	2116.75	USD	-13,548.00	
10AUG17	B	2	PD03NOV17	2036.01	2116.75	USD	4,037.00	
	NET	**0**	**AVG BGHT 1925.97** **SOLD 1946.02**				**14,039.00**	**0.00**
07AUG17	S	1	PD07NOV17	1914.00	2116.08	USD	-5,052.00	
07AUG17	S	1	PD07NOV17	1916.00	2116.08	USD	-5,002.00	
07AUG17	S	1	PD07NOV17	1909.50	2116.08	USD	-5,164.50	
07AUG17	B	1	PD07NOV17	1918.50	2116.08	USD	4,939.50	
07AUG17	B	1	PD07NOV17	1917.00	2116.08	USD	4,977.00	
07AUG17	B	1	PD07NOV17	1916.50	2116.08	USD	4,989.50	
07AUG17	S	2	PD07NOV17	1922.50	2116.08	USD	-9,679.00	
07AUG17	B	1	PD07NOV17	1931.50	2116.08	USD	4,614.50	
07AUG17	B	1	PD07NOV17	1922.50	2116.08	USD	4,839.50	
07AUG17	B	1	PD07NOV17	1931.50	2116.08	USD	4,614.50	
07AUG17	S	1	PD07NOV17	1922.50	2116.08	USD	-4,839.50	
07AUG17	B	1	PD07NOV17	1919.00	2116.08	USD	4,927.00	
07AUG17	S	1	PD07NOV17	1948.50	2116.08	USD	-4,189.50	
07AUG17	S	1	PD07NOV17	1946.00	2116.08	USD	-4,252.00	
07AUG17	S	1	PD07NOV17	1944.50	2116.08	USD	-4,289.50	
07AUG17	S	4	PD07NOV17	1942.00	2116.08	USD	-17,408.00	
07AUG17	B	1	PD07NOV17	1943.50	2116.08	USD	4,314.50	
07AUG17	S	1	PD07NOV17	1943.00	2116.08	USD	-4,327.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
07AUG17	S 3 PD07NOV17	1942.50	2116.08	USD	-13,018.50	
07AUG17	S 1 PD07NOV17	1943.50	2116.08	USD	-4,314.50	
07AUG17	B 1 PD07NOV17	1944.50	2116.08	USD	4,289.50	
07AUG17	B 1 PD07NOV17	1948.50	2116.08	USD	4,189.50	
07AUG17	B 4 PD07NOV17	1951.50	2116.08	USD	16,458.00	
07AUG17	S 1 PD07NOV17	1953.50	2116.08	USD	-4,064.50	
07AUG17	B 3 PD07NOV17	1951.00	2116.08	USD	12,381.00	
07AUG17	B 20 PD07NOV17	1952.50	2116.08	USD	81,790.00	
07AUG17	S 1 PD07NOV17	1955.00	2116.08	USD	-4,027.00	
07AUG17	B 4 PD07NOV17	1953.50	2116.08	USD	16,258.00	
07AUG17	B 21 PD07NOV17	1952.00	2116.08	USD	86,142.00	
07AUG17	B 9 PD07NOV17	1953.00	2116.08	USD	36,693.00	
07AUG17	B 1 PD07NOV17	1954.50	2116.08	USD	4,039.50	
07AUG17	B 4 PD07NOV17	1954.00	2116.08	USD	16,208.00	
07AUG17	S 1 PD07NOV17	1943.50	2116.08	USD	-4,314.50	
07AUG17	B 1 PD07NOV17	1943.50	2116.08	USD	4,314.50	
07AUG17	S 1 PD07NOV17	1943.00	2116.08	USD	-4,327.00	
07AUG17	S 1 PD07NOV17	1956.00	2116.08	USD	-4,002.00	
07AUG17	S 1 PD07NOV17	1964.50	2116.08	USD	-3,789.50	
07AUG17	S 1 PD07NOV17	1970.50	2116.08	USD	-3,639.50	
07AUG17	S 1 PD07NOV17	1968.50	2116.08	USD	-3,689.50	
07AUG17	S 1 PD07NOV17	1971.50	2116.08	USD	-3,614.50	
07AUG17	S 3 PD07NOV17	1976.50	2116.08	USD	-10,468.50	
08AUG17	B 4 PD07NOV17	2030.00	2116.08	USD	8,608.00	
09AUG17	B 7 PD07NOV17	2027.34	2116.08	USD	15,529.50	
10AUG17	B 1 PD07NOV17	2036.69	2116.08	USD	1,984.75	
22AUG17	S 60 PD07NOV17	2072.25	2116.08	USD	-65,745.00	
05SEP17	B 1 PD07NOV17	2090.93	2116.08	USD	628.75	
	NET 0 AVG BGHT 1961.53 SOLD 2030.21				**154,512.50**	**0.00**
08AUG17	B 1 PD08NOV17	1981.00	2115.75	USD	3,368.75	
08AUG17	B 2 PD08NOV17	1982.50	2115.75	USD	6,662.50	
08AUG17	B 2 PD08NOV17	1981.50	2115.75	USD	6,712.50	
08AUG17	S 1 PD08NOV17	1983.00	2115.75	USD	-3,318.75	
08AUG17	S 2 PD08NOV17	1979.50	2115.75	USD	-6,812.50	
08AUG17	B 1 PD08NOV17	1975.00	2115.75	USD	3,518.75	
08AUG17	B 3 PD08NOV17	1976.00	2115.75	USD	10,481.25	
08AUG17	B 1 PD08NOV17	1974.00	2115.75	USD	3,543.75	
08AUG17	S 1 PD08NOV17	1999.50	2115.75	USD	-2,906.25	
08AUG17	S 1 PD08NOV17	1998.00	2115.75	USD	-2,943.75	
08AUG17	S 1 PD08NOV17	2006.00	2115.75	USD	-2,743.75	
08AUG17	S 1 PD08NOV17	1996.00	2115.75	USD	-2,993.75	
08AUG17	B 1 PD08NOV17	1989.00	2115.75	USD	3,168.75	
08AUG17	S 1 PD08NOV17	1993.00	2115.75	USD	-3,068.75	
08AUG17	S 1 PD08NOV17	1993.50	2115.75	USD	-3,056.25	
08AUG17	S 2 PD08NOV17	1997.00	2115.75	USD	-5,937.50	
08AUG17	S 2 PD08NOV17	1995.50	2115.75	USD	-6,012.50	
08AUG17	B 4 PD08NOV17	1994.50	2115.75	USD	12,125.00	
08AUG17	B 2 PD08NOV17	1995.00	2115.75	USD	6,037.50	
08AUG17	S 3 PD08NOV17	1994.50	2115.75	USD	-9,093.75	
08AUG17	S 1 PD08NOV17	1994.00	2115.75	USD	-3,043.75	
08AUG17	B 1 PD08NOV17	1997.00	2115.75	USD	2,968.75	
08AUG17	B 4 PD08NOV17	1998.00	2115.75	USD	11,775.00	
08AUG17	B 2 PD08NOV17	1998.50	2115.75	USD	5,862.50	
08AUG17	B 1 PD08NOV17	1996.50	2115.75	USD	2,981.25	
08AUG17	B 3 PD08NOV17	1999.50	2115.75	USD	8,718.75	
08AUG17	B 2 PD08NOV17	2000.00	2115.75	USD	5,787.50	
08AUG17	B 6 PD08NOV17	2001.00	2115.75	USD	17,212.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
08AUG17	B 4 PD08NOV17	2002.50	2115.75	USD	11,325.00	
08AUG17	B 3 PD08NOV17	2003.50	2115.75	USD	8,418.75	
08AUG17	B 1 PD08NOV17	2002.00	2115.75	USD	2,843.75	
08AUG17	B 4 PD08NOV17	1999.00	2115.75	USD	11,675.00	
08AUG17	B 1 PD08NOV17	2006.00	2115.75	USD	2,743.75	
08AUG17	B 6 PD08NOV17	2005.00	2115.75	USD	16,612.50	
08AUG17	S 1 PD08NOV17	2009.00	2115.75	USD	-2,668.75	
08AUG17	B 1 PD08NOV17	2010.00	2115.75	USD	2,643.75	
08AUG17	B 11 PD08NOV17	2017.00	2115.75	USD	27,156.25	
08AUG17	B 2 PD08NOV17	2016.00	2115.75	USD	4,987.50	
08AUG17	B 1 PD08NOV17	2019.00	2115.75	USD	2,418.75	
08AUG17	B 5 PD08NOV17	2020.00	2115.75	USD	11,968.75	
08AUG17	B 2 PD08NOV17	2020.50	2115.75	USD	4,762.50	
08AUG17	B 8 PD08NOV17	2021.00	2115.75	USD	18,950.00	
08AUG17	B 4 PD08NOV17	2005.00	2115.75	USD	11,075.00	
08AUG17	S 1 PD08NOV17	1993.00	2115.75	USD	-3,068.75	
08AUG17	S 1 PD08NOV17	2029.00	2115.75	USD	-2,168.75	
17AUG17	S 7 PD08NOV17	2074.50	2115.75	USD	-7,218.75	
22AUG17	S 26 PD08NOV17	2072.50	2115.75	USD	-28,112.50	
23AUG17	S 5 PD08NOV17	2094.56	2115.75	USD	-2,648.75	
30AUG17	S 1 PD08NOV17	2084.11	2115.75	USD	-791.00	
05SEP17	S 1 PD08NOV17	2090.99	2115.75	USD	-619.00	
05SEP17	S 5 PD08NOV17	2091.19	2115.75	USD	-3,070.00	
11SEP17	S 5 PD08NOV17	2114.50	2115.75	USD	-156.25	
12SEP17	S 3 PD08NOV17	2127.58	2115.75	USD	887.25	
14SEP17	S 15 PD08NOV17	2087.25	2115.75	USD	-10,687.50	
15SEP17	S 1 PD08NOV17	2074.75	2115.75	USD	-1,025.00	
	NET 0 AVG BGHT 2004.06 SOLD 2064.84				**135,227.25**	**0.00**
09AUG17	S 1 PD09NOV17	2030.50	2116.11	USD	-2,140.25	
09AUG17	B 2 PD09NOV17	2031.00	2116.11	USD	4,255.50	
09AUG17	S 1 PD09NOV17	2025.00	2116.11	USD	-2,277.75	
09AUG17	S 1 PD09NOV17	2030.00	2116.11	USD	-2,152.75	
09AUG17	B 1 PD09NOV17	2029.00	2116.11	USD	2,177.75	
09AUG17	B 4 PD09NOV17	2035.00	2116.11	USD	8,111.00	
09AUG17	B 1 PD09NOV17	2037.00	2116.11	USD	1,977.75	
09AUG17	B 8 PD09NOV17	2040.50	2116.11	USD	15,122.00	
09AUG17	B 2 PD09NOV17	2042.00	2116.11	USD	3,705.50	
09AUG17	S 1 PD09NOV17	2036.50	2116.11	USD	-1,990.25	
09AUG17	B 1 PD09NOV17	2034.50	2116.11	USD	2,040.25	
09AUG17	S 1 PD09NOV17	2036.00	2116.11	USD	-2,002.75	
09AUG17	S 2 PD09NOV17	2035.50	2116.11	USD	-4,030.50	
09AUG17	S 1 PD09NOV17	2035.00	2116.11	USD	-2,027.75	
09AUG17	S 1 PD09NOV17	2034.00	2116.11	USD	-2,052.75	
09AUG17	S 1 PD09NOV17	2033.50	2116.11	USD	-2,065.25	
09AUG17	S 2 PD09NOV17	2028.50	2116.11	USD	-4,380.50	
09AUG17	B 1 PD09NOV17	2021.50	2116.11	USD	2,365.25	
09AUG17	B 1 PD09NOV17	2015.00	2116.11	USD	2,527.75	
09AUG17	B 1 PD09NOV17	2016.50	2116.11	USD	2,490.25	
09AUG17	S 1 PD09NOV17	2021.00	2116.11	USD	-2,377.75	
09AUG17	B 1 PD09NOV17	2026.00	2116.11	USD	2,252.75	
09AUG17	S 1 PD09NOV17	2024.00	2116.11	USD	-2,302.75	
10AUG17	B 1 PD09NOV17	2037.03	2116.11	USD	1,977.00	
22AUG17	S 11 PD09NOV17	2072.75	2116.11	USD	-11,924.00	
30AUG17	S 2 PD09NOV17	2084.31	2116.11	USD	-1,590.00	
05SEP17	B 1 PD09NOV17	2091.64	2116.11	USD	611.75	
15SEP17	B 1 PD09NOV17	2075.38	2116.11	USD	1,018.25	
15SEP17	B 1 PD09NOV17	2075.18	2116.11	USD	1,023.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
	NET 0 AVG BGHT 2039.58 SOLD 2051.94				**8,341.00**	**0.00**
10AUG17	B 1 PD10NOV17	2034.50	2116.46	USD	2,049.00	
10AUG17	B 1 PD10NOV17	2030.50	2116.46	USD	2,149.00	
10AUG17	B 1 PD10NOV17	2034.00	2116.46	USD	2,061.50	
10AUG17	B 1 PD10NOV17	2039.00	2116.46	USD	1,936.50	
10AUG17	S 1 PD10NOV17	2032.50	2116.46	USD	-2,099.00	
10AUG17	B 1 PD10NOV17	2026.50	2116.46	USD	2,249.00	
10AUG17	S 1 PD10NOV17	2039.50	2116.46	USD	-1,924.00	
10AUG17	S 1 PD10NOV17	2036.50	2116.46	USD	-1,999.00	
10AUG17	S 2 PD10NOV17	2035.00	2116.46	USD	-4,073.00	
10AUG17	B 1 PD10NOV17	2037.50	2116.46	USD	1,974.00	
10AUG17	S 2 PD10NOV17	2032.00	2116.46	USD	-4,223.00	
10AUG17	S 1 PD10NOV17	2029.50	2116.46	USD	-2,174.00	
10AUG17	S 2 PD10NOV17	2025.00	2116.46	USD	-4,573.00	
10AUG17	S 2 PD10NOV17	2033.50	2116.46	USD	-4,148.00	
10AUG17	S 1 PD10NOV17	2034.50	2116.46	USD	-2,049.00	
10AUG17	B 2 PD10NOV17	2035.50	2116.46	USD	4,048.00	
10AUG17	B 1 PD10NOV17	2036.50	2116.46	USD	1,999.00	
10AUG17	B 2 PD10NOV17	2038.50	2116.46	USD	3,898.00	
10AUG17	B 2 PD10NOV17	2040.00	2116.46	USD	3,823.00	
10AUG17	B 1 PD10NOV17	2041.00	2116.46	USD	1,886.50	
10AUG17	S 1 PD10NOV17	2042.00	2116.46	USD	-1,861.50	
10AUG17	S 1 PD10NOV17	2045.50	2116.46	USD	-1,774.00	
10AUG17	B 1 PD10NOV17	2045.00	2116.46	USD	1,786.50	
10AUG17	S 1 PD10NOV17	2043.50	2116.46	USD	-1,824.00	
10AUG17	S 1 PD10NOV17	2039.00	2116.46	USD	-1,936.50	
10AUG17	B 1 PD10NOV17	2033.50	2116.46	USD	2,074.00	
11AUG17	S 1 PD10NOV17	2031.00	2116.46	USD	-2,136.50	
11AUG17	S 1 PD10NOV17	2026.50	2116.46	USD	-2,249.00	
11AUG17	S 1 PD10NOV17	2022.00	2116.46	USD	-2,361.50	
11AUG17	S 1 PD10NOV17	2030.50	2116.46	USD	-2,149.00	
11AUG17	S 2 PD10NOV17	2030.00	2116.46	USD	-4,323.00	
11AUG17	S 1 PD10NOV17	2029.50	2116.46	USD	-2,174.00	
11AUG17	B 1 PD10NOV17	2023.50	2116.46	USD	2,324.00	
11AUG17	S 1 PD10NOV17	2024.00	2116.46	USD	-2,311.50	
11AUG17	S 1 PD10NOV17	2023.50	2116.46	USD	-2,324.00	
11AUG17	S 2 PD10NOV17	2021.50	2116.46	USD	-4,748.00	
11AUG17	B 3 PD10NOV17	2024.50	2116.46	USD	6,897.00	
11AUG17	B 1 PD10NOV17	2029.00	2116.46	USD	2,186.50	
11AUG17	B 1 PD10NOV17	2036.00	2116.46	USD	2,011.50	
11AUG17	B 1 PD10NOV17	2027.00	2116.46	USD	2,236.50	
11AUG17	B 1 PD10NOV17	2044.50	2116.46	USD	1,799.00	
11AUG17	S 2 PD10NOV17	2040.00	2116.46	USD	-3,823.00	
11AUG17	S 1 PD10NOV17	2042.00	2116.46	USD	-1,861.50	
11AUG17	S 2 PD10NOV17	2041.00	2116.46	USD	-3,773.00	
11AUG17	S 1 PD10NOV17	2038.50	2116.46	USD	-1,949.00	
11AUG17	B 1 PD10NOV17	2045.00	2116.46	USD	1,786.50	
17AUG17	B 3 PD10NOV17	2075.20	2116.46	USD	3,094.50	
22AUG17	B 2 PD10NOV17	2073.20	2116.46	USD	2,163.00	
05SEP17	B 2 PD10NOV17	2091.90	2116.46	USD	1,228.00	
11SEP17	B 1 PD10NOV17	2115.27	2116.46	USD	29.75	
12SEP17	B 2 PD10NOV17	2128.62	2116.46	USD	-608.00	
15SEP17	S 1 PD10NOV17	2075.41	2116.46	USD	-1,026.25	
	NET 0 AVG BGHT 2051.22 SOLD 2034.33				**-14,785.00**	**0.00**
14AUG17	S 1 PD14NOV17	2041.50	2117.89	USD	-1,909.75	
14AUG17	S 1 PD14NOV17	2040.50	2117.89	USD	-1,934.75	
14AUG17	B 1 PD14NOV17	2029.00	2117.89	USD	2,222.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
14AUG17	S 1 PD14NOV17	2040.00	2117.89	USD	-1,947.25	
14AUG17	S 1 PD14NOV17	2036.00	2117.89	USD	-2,047.25	
14AUG17	S 1 PD14NOV17	2045.00	2117.89	USD	-1,822.25	
14AUG17	B 1 PD14NOV17	2045.50	2117.89	USD	1,809.75	
14AUG17	S 4 PD14NOV17	2030.50	2117.89	USD	-8,739.00	
14AUG17	B 1 PD14NOV17	2028.00	2117.89	USD	2,247.25	
14AUG17	B 1 PD14NOV17	2027.50	2117.89	USD	2,259.75	
14AUG17	S 5 PD14NOV17	2029.00	2117.89	USD	-11,111.25	
14AUG17	S 1 PD14NOV17	2036.50	2117.89	USD	-2,034.75	
14AUG17	S 1 PD14NOV17	2032.00	2117.89	USD	-2,147.25	
14AUG17	B 3 PD14NOV17	2043.50	2117.89	USD	5,579.25	
14AUG17	S 2 PD14NOV17	2031.00	2117.89	USD	-4,344.50	
14AUG17	S 6 PD14NOV17	2033.00	2117.89	USD	-12,733.50	
14AUG17	B 1 PD14NOV17	2032.50	2117.89	USD	2,134.75	
14AUG17	S 1 PD14NOV17	2033.50	2117.89	USD	-2,109.75	
14AUG17	S 1 PD14NOV17	2034.50	2117.89	USD	-2,084.75	
14AUG17	S 2 PD14NOV17	2034.00	2117.89	USD	-4,194.50	
14AUG17	S 1 PD14NOV17	2032.50	2117.89	USD	-2,134.75	
14AUG17	B 3 PD14NOV17	2033.00	2117.89	USD	6,366.75	
14AUG17	S 3 PD14NOV17	2030.00	2117.89	USD	-6,591.75	
14AUG17	B 1 PD14NOV17	2030.50	2117.89	USD	2,184.75	
14AUG17	B 1 PD14NOV17	2031.50	2117.89	USD	2,159.75	
14AUG17	B 1 PD14NOV17	2029.50	2117.89	USD	2,209.75	
14AUG17	B 1 PD14NOV17	2024.00	2117.89	USD	2,347.25	
17AUG17	B 5 PD14NOV17	2076.20	2117.89	USD	5,211.25	
22AUG17	B 5 PD14NOV17	2074.20	2117.89	USD	5,461.25	
05SEP17	B 2 PD14NOV17	2092.74	2117.89	USD	1,257.50	
05SEP17	B 2 PD14NOV17	2092.94	2117.89	USD	1,247.50	
11SEP17	B 2 PD14NOV17	2116.41	2117.89	USD	74.00	
12SEP17	B 2 PD14NOV17	2130.28	2117.89	USD	-619.50	
19SEP17	S 1 PD14NOV17	2114.83	2117.89	USD	-76.50	
	NET 0 AVG BGHT 2064.37 SOLD 2035.51				**-23,810.25**	**0.00**
15AUG17	S 15 PD15NOV17	2028.00	2118.25	USD	-33,843.75	
15AUG17	S 1 PD15NOV17	2026.00	2118.25	USD	-2,306.25	
15AUG17	S 5 PD15NOV17	2025.50	2118.25	USD	-11,593.75	
15AUG17	S 1 PD15NOV17	2022.50	2118.25	USD	-2,393.75	
15AUG17	B 1 PD15NOV17	2030.00	2118.25	USD	2,206.25	
15AUG17	B 1 PD15NOV17	2031.00	2118.25	USD	2,181.25	
15AUG17	B 1 PD15NOV17	2032.00	2118.25	USD	2,156.25	
15AUG17	B 1 PD15NOV17	2034.00	2118.25	USD	2,106.25	
15AUG17	B 1 PD15NOV17	2035.50	2118.25	USD	2,068.75	
15AUG17	S 1 PD15NOV17	2054.00	2118.25	USD	-1,606.25	
15AUG17	S 1 PD15NOV17	2053.50	2118.25	USD	-1,618.75	
15AUG17	S 1 PD15NOV17	2051.50	2118.25	USD	-1,668.75	
15AUG17	S 2 PD15NOV17	2050.00	2118.25	USD	-3,412.50	
15AUG17	S 1 PD15NOV17	2052.00	2118.25	USD	-1,656.25	
15AUG17	B 7 PD15NOV17	2052.00	2118.25	USD	11,593.75	
15AUG17	B 1 PD15NOV17	2053.50	2118.25	USD	1,618.75	
15AUG17	S 2 PD15NOV17	2051.00	2118.25	USD	-3,362.50	
15AUG17	S 1 PD15NOV17	2048.00	2118.25	USD	-1,756.25	
15AUG17	B 1 PD15NOV17	2044.50	2118.25	USD	1,843.75	
15AUG17	B 1 PD15NOV17	2046.00	2118.25	USD	1,806.25	
15AUG17	S 1 PD15NOV17	2046.00	2118.25	USD	-1,806.25	
15AUG17	B 1 PD15NOV17	2043.50	2118.25	USD	1,868.75	
15AUG17	B 1 PD15NOV17	2044.00	2118.25	USD	1,856.25	
15AUG17	S 2 PD15NOV17	2049.50	2118.25	USD	-3,437.50	
15AUG17	S 3 PD15NOV17	2050.50	2118.25	USD	-5,081.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
22AUG17	B 20 PD15NOV17	2074.45	2118.25	USD	21,900.00	
05SEP17	S 2 PD15NOV17	2092.80	2118.25	USD	-1,272.50	
11SEP17	B 2 PD15NOV17	2116.70	2118.25	USD	77.50	
12SEP17	S 2 PD15NOV17	2130.50	2118.25	USD	612.50	
12SEP17	B 1 PD15NOV17	2130.70	2118.25	USD	-311.25	
19SEP17	B 1 PD15NOV17	2115.45	2118.25	USD	70.00	
	NET 0 AVG BGHT 2066.50 SOLD 2043.90				**-23,161.25**	**0.00**
16AUG17	S 1 PD16NOV17	2051.00	2118.52	USD	-1,688.00	
16AUG17	B 1 PD16NOV17	2053.50	2118.52	USD	1,625.50	
16AUG17	B 1 PD16NOV17	2055.00	2118.52	USD	1,588.00	
16AUG17	S 1 PD16NOV17	2061.00	2118.52	USD	-1,438.00	
16AUG17	S 1 PD16NOV17	2064.00	2118.52	USD	-1,363.00	
16AUG17	B 2 PD16NOV17	2064.00	2118.52	USD	2,726.00	
16AUG17	B 1 PD16NOV17	2061.00	2118.52	USD	1,438.00	
16AUG17	B 1 PD16NOV17	2065.00	2118.52	USD	1,338.00	
16AUG17	S 1 PD16NOV17	2061.50	2118.52	USD	-1,425.50	
16AUG17	B 2 PD16NOV17	2060.00	2118.52	USD	2,926.00	
16AUG17	B 2 PD16NOV17	2064.50	2118.52	USD	2,701.00	
16AUG17	B 1 PD16NOV17	2067.50	2118.52	USD	1,275.50	
16AUG17	B 1 PD16NOV17	2074.50	2118.52	USD	1,100.50	
16AUG17	B 1 PD16NOV17	2068.00	2118.52	USD	1,263.00	
16AUG17	B 1 PD16NOV17	2068.50	2118.52	USD	1,250.50	
16AUG17	B 1 PD16NOV17	2071.00	2118.52	USD	1,188.00	
16AUG17	B 1 PD16NOV17	2072.00	2118.52	USD	1,163.00	
16AUG17	B 1 PD16NOV17	2070.50	2118.52	USD	1,200.50	
16AUG17	S 2 PD16NOV17	2074.00	2118.52	USD	-2,226.00	
16AUG17	B 2 PD16NOV17	2076.50	2118.52	USD	2,101.00	
16AUG17	B 1 PD16NOV17	2080.00	2118.52	USD	963.00	
16AUG17	B 1 PD16NOV17	2091.50	2118.52	USD	675.50	
16AUG17	B 1 PD16NOV17	2092.00	2118.52	USD	663.00	
16AUG17	S 1 PD16NOV17	2090.50	2118.52	USD	-700.50	
16AUG17	S 1 PD16NOV17	2089.00	2118.52	USD	-738.00	
16AUG17	S 1 PD16NOV17	2088.50	2118.52	USD	-750.50	
16AUG17	B 1 PD16NOV17	2096.00	2118.52	USD	563.00	
16AUG17	S 1 PD16NOV17	2094.50	2118.52	USD	-600.50	
16AUG17	B 1 PD16NOV17	2096.50	2118.52	USD	550.50	
16AUG17	B 2 PD16NOV17	2098.00	2118.52	USD	1,026.00	
16AUG17	B 2 PD16NOV17	2095.00	2118.52	USD	1,176.00	
16AUG17	B 1 PD16NOV17	2101.50	2118.52	USD	425.50	
16AUG17	B 2 PD16NOV17	2094.00	2118.52	USD	1,226.00	
16AUG17	B 1 PD16NOV17	2087.00	2118.52	USD	788.00	
30AUG17	S 4 PD16NOV17	2085.75	2118.52	USD	-3,277.00	
05SEP17	S 6 PD16NOV17	2092.38	2118.52	USD	-3,921.00	
06SEP17	S 2 PD16NOV17	2100.63	2118.52	USD	-894.50	
11SEP17	S 5 PD16NOV17	2115.88	2118.52	USD	-330.00	
12SEP17	B 1 PD16NOV17	2129.88	2118.52	USD	-284.00	
12SEP17	S 4 PD16NOV17	2129.88	2118.52	USD	1,136.00	
20SEP17	S 2 PD16NOV17	2167.53	2118.52	USD	2,450.50	
	NET 0 AVG BGHT 2078.94 SOLD 2099.41				**16,891.00**	**0.00**
17AUG17	S 1 PD17NOV17	2091.50	2118.79	USD	-682.25	
17AUG17	B 1 PD17NOV17	2090.50	2118.79	USD	707.25	
17AUG17	B 1 PD17NOV17	2094.00	2118.79	USD	619.75	
17AUG17	S 1 PD17NOV17	2097.50	2118.79	USD	-532.25	
17AUG17	S 1 PD17NOV17	2109.50	2118.79	USD	-232.25	
17AUG17	S 1 PD17NOV17	2109.00	2118.79	USD	-244.75	
17AUG17	S 1 PD17NOV17	2101.00	2118.79	USD	-444.75	
17AUG17	B 1 PD17NOV17	2101.00	2118.79	USD	444.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
17AUG17	S 2 PD17NOV17	2107.00	2118.79	USD	-589.50	
17AUG17	S 1 PD17NOV17	2080.00	2118.79	USD	-969.75	
17AUG17	S 1 PD17NOV17	2107.50	2118.79	USD	-282.25	
17AUG17	B 3 PD17NOV17	2081.00	2118.79	USD	2,834.25	
17AUG17	B 1 PD17NOV17	2082.00	2118.79	USD	919.75	
17AUG17	B 1 PD17NOV17	2082.50	2118.79	USD	907.25	
17AUG17	S 5 PD17NOV17	2082.50	2118.79	USD	-4,536.25	
17AUG17	B 1 PD17NOV17	2083.50	2118.79	USD	882.25	
17AUG17	B 1 PD17NOV17	2084.00	2118.79	USD	869.75	
17AUG17	B 1 PD17NOV17	2067.50	2118.79	USD	1,282.25	
17AUG17	S 1 PD17NOV17	2076.00	2118.79	USD	-1,069.75	
17AUG17	S 1 PD17NOV17	2069.50	2118.79	USD	-1,232.25	
17AUG17	S 3 PD17NOV17	2071.00	2118.79	USD	-3,584.25	
17AUG17	B 1 PD17NOV17	2076.00	2118.79	USD	1,069.75	
17AUG17	S 1 PD17NOV17	2077.00	2118.79	USD	-1,044.75	
17AUG17	S 2 PD17NOV17	2074.00	2118.79	USD	-2,239.50	
17AUG17	S 2 PD17NOV17	2078.00	2118.79	USD	-2,039.50	
17AUG17	B 1 PD17NOV17	2073.50	2118.79	USD	1,132.25	
17AUG17	S 3 PD17NOV17	2068.50	2118.79	USD	-3,771.75	
18AUG17	S 1 PD17NOV17	2065.00	2118.79	USD	-1,344.75	
18AUG17	B 1 PD17NOV17	2068.50	2118.79	USD	1,257.25	
18AUG17	B 1 PD17NOV17	2071.00	2118.79	USD	1,194.75	
18AUG17	S 2 PD17NOV17	2072.50	2118.79	USD	-2,314.50	
18AUG17	S 11 PD17NOV17	2071.00	2118.79	USD	-13,142.25	
18AUG17	S 25 PD17NOV17	2072.00	2118.79	USD	-29,243.75	
18AUG17	S 29 PD17NOV17	2071.50	2118.79	USD	-34,285.25	
18AUG17	B 1 PD17NOV17	2081.50	2118.79	USD	932.25	
18AUG17	B 1 PD17NOV17	2085.50	2118.79	USD	832.25	
18AUG17	B 1 PD17NOV17	2084.50	2118.79	USD	857.25	
18AUG17	S 1 PD17NOV17	2084.00	2118.79	USD	-869.75	
18AUG17	S 1 PD17NOV17	2082.50	2118.79	USD	-907.25	
18AUG17	S 1 PD17NOV17	2082.00	2118.79	USD	-919.75	
18AUG17	S 1 PD17NOV17	2071.00	2118.79	USD	-1,194.75	
18AUG17	S 2 PD17NOV17	2071.50	2118.79	USD	-2,364.50	
18AUG17	B 1 PD17NOV17	2084.50	2118.79	USD	857.25	
18AUG17	B 1 PD17NOV17	2086.50	2118.79	USD	807.25	
18AUG17	S 1 PD17NOV17	2088.50	2118.79	USD	-757.25	
18AUG17	B 1 PD17NOV17	2087.50	2118.79	USD	782.25	
18AUG17	S 1 PD17NOV17	2087.50	2118.79	USD	-782.25	
18AUG17	S 1 PD17NOV17	2079.50	2118.79	USD	-982.25	
18AUG17	S 1 PD17NOV17	2069.50	2118.79	USD	-1,232.25	
18AUG17	B 2 PD17NOV17	2063.00	2118.79	USD	2,789.50	
18AUG17	S 1 PD17NOV17	2062.00	2118.79	USD	-1,419.75	
22AUG17	B 70 PD17NOV17	2074.16	2118.79	USD	78,102.50	
23AUG17	B 7 PD17NOV17	2096.11	2118.79	USD	3,969.00	
05SEP17	B 4 PD17NOV17	2091.95	2118.79	USD	2,684.00	
11SEP17	B 2 PD17NOV17	2115.45	2118.79	USD	167.00	
12SEP17	B 1 PD17NOV17	2129.45	2118.79	USD	-266.50	
12SEP17	S 1 PD17NOV17	2129.05	2118.79	USD	256.50	
15SEP17	S 1 PD17NOV17	2078.32	2118.79	USD	-1,011.75	
20SEP17	B 2 PD17NOV17	2168.01	2118.79	USD	-2,461.00	
	NET +1 AVG BGHT 2080.56 SOLD 2075.82				**-11,837.00**	**0.00**
21AUG17	B 1 PD21NOV17	2096.50	2119.88	USD	584.50	
21AUG17	S 1 PD21NOV17	2087.50	2119.88	USD	-809.50	
21AUG17	S 1 PD21NOV17	2076.00	2119.88	USD	-1,097.00	
21AUG17	B 1 PD21NOV17	2074.50	2119.88	USD	1,134.50	
21AUG17	S 1 PD21NOV17	2076.50	2119.88	USD	-1,084.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
21AUG17	B 1 PD21NOV17	2073.50	2119.88	USD	1,159.50	
21AUG17	B 1 PD21NOV17	2077.50	2119.88	USD	1,059.50	
21AUG17	B 1 PD21NOV17	2076.50	2119.88	USD	1,084.50	
05SEP17	S 2 PD21NOV17	2092.69	2119.88	USD	-1,359.50	
11SEP17	S 1 PD21NOV17	2116.38	2119.88	USD	-87.50	
12SEP17	B 2 PD21NOV17	2130.69	2119.88	USD	-540.50	
	NET +1 AVG BGHT 2094.27 SOLD 2090.29				**44.00**	**0.00**
22AUG17	S 1 PD22NOV17	2071.00	2120.15	USD	-1,228.75	
22AUG17	S 1 PD22NOV17	2070.00	2120.15	USD	-1,253.75	
22AUG17	S 1 PD22NOV17	2075.50	2120.15	USD	-1,116.25	
22AUG17	S 1 PD22NOV17	2075.00	2120.15	USD	-1,128.75	
22AUG17	S 3 PD22NOV17	2074.00	2120.15	USD	-3,461.25	
22AUG17	S 3 PD22NOV17	2074.50	2120.15	USD	-3,423.75	
22AUG17	S 1 PD22NOV17	2073.50	2120.15	USD	-1,166.25	
22AUG17	S 3 PD22NOV17	2077.50	2120.15	USD	-3,198.75	
22AUG17	B 1 PD22NOV17	2082.00	2120.15	USD	953.75	
22AUG17	B 1 PD22NOV17	2080.50	2120.15	USD	991.25	
22AUG17	S 1 PD22NOV17	2078.50	2120.15	USD	-1,041.25	
22AUG17	B 1 PD22NOV17	2079.00	2120.15	USD	1,028.75	
22AUG17	B 1 PD22NOV17	2080.00	2120.15	USD	1,003.75	
22AUG17	B 1 PD22NOV17	2083.00	2120.15	USD	928.75	
22AUG17	B 1 PD22NOV17	2081.00	2120.15	USD	978.75	
22AUG17	B 1 PD22NOV17	2076.00	2120.15	USD	1,103.75	
22AUG17	B 3 PD22NOV17	2077.00	2120.15	USD	3,236.25	
22AUG17	B 1 PD22NOV17	2075.50	2120.15	USD	1,116.25	
22AUG17	S 1 PD22NOV17	2072.50	2120.15	USD	-1,191.25	
22AUG17	S 1 PD22NOV17	2071.50	2120.15	USD	-1,216.25	
22AUG17	B 1 PD22NOV17	2074.00	2120.15	USD	1,153.75	
22AUG17	S 1 PD22NOV17	2069.00	2120.15	USD	-1,278.75	
22AUG17	S 2 PD22NOV17	2083.50	2120.15	USD	-1,832.50	
22AUG17	S 2 PD22NOV17	2081.00	2120.15	USD	-1,957.50	
22AUG17	S 5 PD22NOV17	2076.00	2120.15	USD	-5,518.75	
22AUG17	S 1 PD22NOV17	2077.00	2120.15	USD	-1,078.75	
22AUG17	S 4 PD22NOV17	2076.50	2120.15	USD	-4,365.00	
22AUG17	B 1 PD22NOV17	2079.50	2120.15	USD	1,016.25	
23AUG17	B 3 PD22NOV17	2096.89	2120.15	USD	1,744.50	
05SEP17	B 3 PD22NOV17	2093.13	2120.15	USD	2,026.50	
14SEP17	B 10 PD22NOV17	2092.32	2120.15	USD	6,957.50	
15SEP17	B 1 PD22NOV17	2079.93	2120.15	USD	1,005.50	
	NET -2 AVG BGHT 2086.49 SOLD 2075.83				**-10,212.25**	**0.00**
23AUG17	S 1 PD24NOV17	2066.00	2120.69	USD	-1,367.25	
23AUG17	S 1 PD24NOV17	2067.00	2120.69	USD	-1,342.25	
23AUG17	B 1 PD24NOV17	2069.50	2120.69	USD	1,279.75	
23AUG17	S 1 PD24NOV17	2074.00	2120.69	USD	-1,167.25	
23AUG17	S 1 PD24NOV17	2072.50	2120.69	USD	-1,204.75	
23AUG17	S 1 PD24NOV17	2072.00	2120.69	USD	-1,217.25	
23AUG17	B 1 PD24NOV17	2080.50	2120.69	USD	1,004.75	
23AUG17	B 1 PD24NOV17	2078.00	2120.69	USD	1,067.25	
23AUG17	B 1 PD24NOV17	2082.50	2120.69	USD	954.75	
23AUG17	B 3 PD24NOV17	2083.00	2120.69	USD	2,826.75	
23AUG17	S 1 PD24NOV17	2083.50	2120.69	USD	-929.75	
23AUG17	S 1 PD24NOV17	2087.50	2120.69	USD	-829.75	
23AUG17	B 2 PD24NOV17	2090.00	2120.69	USD	1,534.50	
23AUG17	B 2 PD24NOV17	2089.00	2120.69	USD	1,584.50	
23AUG17	B 1 PD24NOV17	2094.00	2120.69	USD	667.25	
23AUG17	B 1 PD24NOV17	2092.00	2120.69	USD	717.25	
23AUG17	B 2 PD24NOV17	2093.00	2120.69	USD	1,384.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
23AUG17	B 1 PD24NOV17	2093.50	2120.69	USD	679.75	
23AUG17	S 1 PD24NOV17	2094.50	2120.69	USD	-654.75	
23AUG17	B 2 PD24NOV17	2094.50	2120.69	USD	1,309.50	
23AUG17	B 1 PD24NOV17	2090.50	2120.69	USD	754.75	
23AUG17	B 2 PD24NOV17	2089.50	2120.69	USD	1,559.50	
23AUG17	S 1 PD24NOV17	2092.50	2120.69	USD	-704.75	
23AUG17	B 1 PD24NOV17	2085.50	2120.69	USD	879.75	
23AUG17	S 1 PD24NOV17	2101.00	2120.69	USD	-492.25	
23AUG17	S 1 PD24NOV17	2105.50	2120.69	USD	-379.75	
23AUG17	S 1 PD24NOV17	2103.50	2120.69	USD	-429.75	
23AUG17	S 1 PD24NOV17	2117.50	2120.69	USD	-79.75	
23AUG17	B 2 PD24NOV17	2110.00	2120.69	USD	534.50	
23AUG17	S 1 PD24NOV17	2106.00	2120.69	USD	-367.25	
24AUG17	B 1 PD24NOV17	2103.00	2120.69	USD	442.25	
24AUG17	S 3 PD24NOV17	2097.00	2120.69	USD	-1,776.75	
24AUG17	S 2 PD24NOV17	2096.00	2120.69	USD	-1,234.50	
24AUG17	S 1 PD24NOV17	2096.50	2120.69	USD	-604.75	
24AUG17	S 1 PD24NOV17	2100.50	2120.69	USD	-504.75	
24AUG17	S 2 PD24NOV17	2099.00	2120.69	USD	-1,084.50	
24AUG17	S 2 PD24NOV17	2095.00	2120.69	USD	-1,284.50	
24AUG17	S 1 PD24NOV17	2094.00	2120.69	USD	-667.25	
24AUG17	S 1 PD24NOV17	2090.50	2120.69	USD	-754.75	
24AUG17	S 1 PD24NOV17	2092.00	2120.69	USD	-717.25	
24AUG17	B 1 PD24NOV17	2092.50	2120.69	USD	704.75	
24AUG17	B 1 PD24NOV17	2102.00	2120.69	USD	467.25	
24AUG17	S 2 PD24NOV17	2103.50	2120.69	USD	-859.50	
24AUG17	S 1 PD24NOV17	2103.00	2120.69	USD	-442.25	
24AUG17	S 5 PD24NOV17	2102.50	2120.69	USD	-2,273.75	
24AUG17	S 1 PD24NOV17	2101.50	2120.69	USD	-479.75	
24AUG17	S 1 PD24NOV17	2100.00	2120.69	USD	-517.25	
24AUG17	S 3 PD24NOV17	2102.00	2120.69	USD	-1,401.75	
24AUG17	S 1 PD24NOV17	2102.00	2120.69	USD	-467.25	
24AUG17	S 1 PD24NOV17	2119.00	2120.69	USD	-42.25	
25AUG17	S 1 PD24NOV17	2109.00	2120.69	USD	-292.25	
25AUG17	B 1 PD24NOV17	2102.00	2120.69	USD	467.25	
25AUG17	B 1 PD24NOV17	2112.00	2120.69	USD	217.25	
25AUG17	B 1 PD24NOV17	2100.00	2120.69	USD	517.25	
25AUG17	B 1 PD24NOV17	2098.50	2120.69	USD	554.75	
25AUG17	B 1 PD24NOV17	2097.50	2120.69	USD	579.75	
25AUG17	B 1 PD24NOV17	2096.00	2120.69	USD	617.25	
25AUG17	B 1 PD24NOV17	2095.50	2120.69	USD	629.75	
25AUG17	B 1 PD24NOV17	2095.00	2120.69	USD	642.25	
25AUG17	B 1 PD24NOV17	2094.50	2120.69	USD	654.75	
25AUG17	B 1 PD24NOV17	2093.50	2120.69	USD	679.75	
25AUG17	B 1 PD24NOV17	2094.00	2120.69	USD	667.25	
25AUG17	S 1 PD24NOV17	2096.00	2120.69	USD	-617.25	
25AUG17	S 1 PD24NOV17	2095.50	2120.69	USD	-629.75	
25AUG17	S 1 PD24NOV17	2094.50	2120.69	USD	-654.75	
25AUG17	B 1 PD24NOV17	2098.00	2120.69	USD	567.25	
25AUG17	B 3 PD24NOV17	2097.00	2120.69	USD	1,776.75	
25AUG17	B 1 PD24NOV17	2086.50	2120.69	USD	854.75	
25AUG17	S 1 PD24NOV17	2086.50	2120.69	USD	-854.75	
25AUG17	S 1 PD24NOV17	2086.00	2120.69	USD	-867.25	
25AUG17	S 1 PD24NOV17	2085.00	2120.69	USD	-892.25	
25AUG17	B 1 PD24NOV17	2085.50	2120.69	USD	879.75	
25AUG17	B 1 PD24NOV17	2091.00	2120.69	USD	742.25	
25AUG17	S 1 PD24NOV17	2093.00	2120.69	USD	-692.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
25AUG17	S 4 PD24NOV17	2096.00	2120.69	USD	-2,469.00	
25AUG17	S 2 PD24NOV17	2095.50	2120.69	USD	-1,259.50	
25AUG17	S 1 PD24NOV17	2095.00	2120.69	USD	-642.25	
25AUG17	S 1 PD24NOV17	2076.50	2120.69	USD	-1,104.75	
25AUG17	B 2 PD24NOV17	2071.00	2120.69	USD	2,484.50	
30AUG17	B 8 PD24NOV17	2086.55	2120.69	USD	6,828.00	
05SEP17	S 1 PD24NOV17	2093.40	2120.69	USD	-682.25	
11SEP17	B 1 PD24NOV17	2117.42	2120.69	USD	81.75	
12SEP17	B 5 PD24NOV17	2131.62	2120.69	USD	-1,366.25	
15SEP17	S 1 PD24NOV17	2080.29	2120.69	USD	-1,010.00	
	NET 0 AVG BGHT 2094.83 SOLD 2095.15				**481.75**	**0.00**
29AUG17	B 2 PD29NOV17	2076.00	2122.05	USD	2,302.50	
29AUG17	B 1 PD29NOV17	2077.00	2122.05	USD	1,126.25	
29AUG17	B 1 PD29NOV17	2080.00	2122.05	USD	1,051.25	
29AUG17	B 1 PD29NOV17	2075.50	2122.05	USD	1,163.75	
29AUG17	S 1 PD29NOV17	2071.50	2122.05	USD	-1,263.75	
29AUG17	S 1 PD29NOV17	2071.00	2122.05	USD	-1,276.25	
29AUG17	B 1 PD29NOV17	2072.00	2122.05	USD	1,251.25	
29AUG17	B 1 PD29NOV17	2082.50	2122.05	USD	988.75	
29AUG17	S 1 PD29NOV17	2099.50	2122.05	USD	-563.75	
29AUG17	S 1 PD29NOV17	2098.00	2122.05	USD	-601.25	
29AUG17	S 1 PD29NOV17	2098.50	2122.05	USD	-588.75	
29AUG17	S 1 PD29NOV17	2097.50	2122.05	USD	-613.75	
29AUG17	S 2 PD29NOV17	2099.00	2122.05	USD	-1,152.50	
29AUG17	S 3 PD29NOV17	2095.00	2122.05	USD	-2,028.75	
29AUG17	S 1 PD29NOV17	2094.00	2122.05	USD	-701.25	
30AUG17	B 1 PD29NOV17	2087.51	2122.05	USD	863.50	
05SEP17	B 1 PD29NOV17	2094.78	2122.05	USD	681.75	
12SEP17	B 2 PD29NOV17	2132.97	2122.05	USD	-546.00	
15SEP17	B 1 PD29NOV17	2081.91	2122.05	USD	1,003.50	
	NET 0 AVG BGHT 2089.10 SOLD 2092.75				**1,096.50**	**0.00**
30AUG17	B 1 PD30NOV17	2093.50	2122.32	USD	720.50	
30AUG17	B 1 PD30NOV17	2097.00	2122.32	USD	633.00	
30AUG17	B 1 PD30NOV17	2086.50	2122.32	USD	895.50	
30AUG17	B 1 PD30NOV17	2087.00	2122.32	USD	883.00	
30AUG17	B 1 PD30NOV17	2094.00	2122.32	USD	708.00	
30AUG17	B 2 PD30NOV17	2087.50	2122.32	USD	1,741.00	
30AUG17	B 2 PD30NOV17	2086.00	2122.32	USD	1,816.00	
30AUG17	B 2 PD30NOV17	2088.50	2122.32	USD	1,691.00	
30AUG17	S 5 PD30NOV17	2088.00	2122.32	USD	-4,290.00	
30AUG17	S 1 PD30NOV17	2090.50	2122.32	USD	-795.50	
30AUG17	S 1 PD30NOV17	2090.00	2122.32	USD	-808.00	
30AUG17	S 1 PD30NOV17	2086.50	2122.32	USD	-895.50	
30AUG17	B 1 PD30NOV17	2089.00	2122.32	USD	833.00	
30AUG17	S 2 PD30NOV17	2089.00	2122.32	USD	-1,666.00	
31AUG17	B 3 PD30NOV17	2093.00	2122.32	USD	2,199.00	
31AUG17	B 2 PD30NOV17	2093.50	2122.32	USD	1,441.00	
31AUG17	B 7 PD30NOV17	2094.00	2122.32	USD	4,956.00	
31AUG17	B 3 PD30NOV17	2095.00	2122.32	USD	2,049.00	
31AUG17	B 2 PD30NOV17	2096.00	2122.32	USD	1,316.00	
31AUG17	B 1 PD30NOV17	2098.00	2122.32	USD	608.00	
31AUG17	B 1 PD30NOV17	2101.00	2122.32	USD	533.00	
31AUG17	S 1 PD30NOV17	2102.00	2122.32	USD	-508.00	
31AUG17	B 1 PD30NOV17	2099.50	2122.32	USD	570.50	
31AUG17	B 1 PD30NOV17	2099.00	2122.32	USD	583.00	
31AUG17	S 1 PD30NOV17	2131.50	2122.32	USD	229.50	
31AUG17	S 3 PD30NOV17	2132.00	2122.32	USD	726.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
31AUG17	S 1 PD30NOV17	2132.50	2122.32	USD	254.50	
31AUG17	S 2 PD30NOV17	2129.50	2122.32	USD	359.00	
31AUG17	B 5 PD30NOV17	2131.00	2122.32	USD	-1,085.00	
31AUG17	B 3 PD30NOV17	2130.50	2122.32	USD	-613.50	
31AUG17	S 4 PD30NOV17	2130.00	2122.32	USD	768.00	
31AUG17	S 1 PD30NOV17	2128.00	2122.32	USD	142.00	
31AUG17	B 1 PD30NOV17	2127.50	2122.32	USD	-129.50	
31AUG17	B 1 PD30NOV17	2124.00	2122.32	USD	-42.00	
31AUG17	B 2 PD30NOV17	2118.00	2122.32	USD	216.00	
31AUG17	B 2 PD30NOV17	2118.50	2122.32	USD	191.00	
31AUG17	B 2 PD30NOV17	2119.00	2122.32	USD	166.00	
31AUG17	S 2 PD30NOV17	2131.00	2122.32	USD	434.00	
31AUG17	S 1 PD30NOV17	2131.50	2122.32	USD	229.50	
31AUG17	B 1 PD30NOV17	2116.00	2122.32	USD	158.00	
06SEP17	S 2 PD30NOV17	2103.08	2122.32	USD	-962.00	
11SEP17	S 1 PD30NOV17	2118.91	2122.32	USD	-85.25	
12SEP17	S 3 PD30NOV17	2133.28	2122.32	USD	822.00	
14SEP17	S 15 PD30NOV17	2094.26	2122.32	USD	-10,522.50	
15SEP17	S 2 PD30NOV17	2081.99	2122.32	USD	-2,016.50	
19SEP17	S 1 PD30NOV17	2119.55	2122.32	USD	-69.25	
	NET 0 AVG BGHT 2103.89 SOLD 2107.40				**4,383.50**	**0.00**
01SEP17	B 1 PD01DEC17	2128.00	2122.59	USD	-135.25	
01SEP17	S 1 PD01DEC17	2140.00	2122.59	USD	435.25	
01SEP17	S 1 PD01DEC17	2144.00	2122.59	USD	535.25	
01SEP17	S 1 PD01DEC17	2142.00	2122.59	USD	485.25	
01SEP17	B 1 PD01DEC17	2124.00	2122.59	USD	-35.25	
01SEP17	B 3 PD01DEC17	2122.50	2122.59	USD	6.75	
01SEP17	S 2 PD01DEC17	2125.00	2122.59	USD	120.50	
01SEP17	B 1 PD01DEC17	2129.00	2122.59	USD	-160.25	
01SEP17	B 2 PD01DEC17	2128.50	2122.59	USD	-295.50	
01SEP17	B 2 PD01DEC17	2127.00	2122.59	USD	-220.50	
01SEP17	B 3 PD01DEC17	2126.00	2122.59	USD	-255.75	
01SEP17	B 1 PD01DEC17	2130.50	2122.59	USD	-197.75	
01SEP17	B 1 PD01DEC17	2131.00	2122.59	USD	-210.25	
01SEP17	B 1 PD01DEC17	2136.50	2122.59	USD	-347.75	
06SEP17	B 1 PD01DEC17	2103.52	2122.59	USD	476.75	
06SEP17	S 2 PD01DEC17	2103.32	2122.59	USD	-963.50	
11SEP17	S 2 PD01DEC17	2119.19	2122.59	USD	-170.00	
12SEP17	S 2 PD01DEC17	2133.39	2122.59	USD	540.00	
15SEP17	S 4 PD01DEC17	2082.27	2122.59	USD	-4,032.00	
19SEP17	S 2 PD01DEC17	2119.84	2122.59	USD	-137.50	
	NET 0 AVG BGHT 2125.82 SOLD 2115.09				**-4,561.50**	**0.00**
04SEP17	S 1 PD04DEC17	2136.00	2123.41	USD	314.75	
04SEP17	B 1 PD04DEC17	2138.50	2123.41	USD	-377.25	
04SEP17	B 2 PD04DEC17	2138.00	2123.41	USD	-729.50	
04SEP17	B 1 PD04DEC17	2140.00	2123.41	USD	-414.75	
04SEP17	B 1 PD04DEC17	2141.00	2123.41	USD	-439.75	
04SEP17	S 1 PD04DEC17	2134.50	2123.41	USD	277.25	
04SEP17	S 1 PD04DEC17	2133.50	2123.41	USD	252.25	
04SEP17	S 1 PD04DEC17	2131.50	2123.41	USD	202.25	
04SEP17	S 1 PD04DEC17	2124.00	2123.41	USD	14.75	
04SEP17	S 1 PD04DEC17	2122.00	2123.41	USD	-35.25	
04SEP17	S 1 PD04DEC17	2124.50	2123.41	USD	27.25	
04SEP17	S 1 PD04DEC17	2126.50	2123.41	USD	77.25	
04SEP17	S 2 PD04DEC17	2123.50	2123.41	USD	4.50	
04SEP17	S 3 PD04DEC17	2125.50	2123.41	USD	156.75	
04SEP17	S 1 PD04DEC17	2125.50	2123.41	USD	52.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
04SEP17	B 1 PD04DEC17	2109.00	2123.41	USD	360.25	
04SEP17	B 2 PD04DEC17	2110.00	2123.41	USD	670.50	
04SEP17	B 1 PD04DEC17	2113.50	2123.41	USD	247.75	
04SEP17	B 1 PD04DEC17	2112.50	2123.41	USD	272.75	
04SEP17	S 3 PD04DEC17	2113.50	2123.41	USD	-743.25	
04SEP17	S 3 PD04DEC17	2114.00	2123.41	USD	-705.75	
04SEP17	S 1 PD04DEC17	2116.50	2123.41	USD	-172.75	
04SEP17	B 2 PD04DEC17	2116.50	2123.41	USD	345.50	
04SEP17	B 1 PD04DEC17	2117.00	2123.41	USD	160.25	
04SEP17	B 1 PD04DEC17	2118.00	2123.41	USD	135.25	
04SEP17	B 2 PD04DEC17	2111.00	2123.41	USD	620.50	
05SEP17	B 1 PD04DEC17	2095.96	2123.41	USD	686.25	
06SEP17	B 2 PD04DEC17	2104.23	2123.41	USD	959.00	
11SEP17	B 2 PD04DEC17	2120.23	2123.41	USD	159.00	
11SEP17	S 2 PD04DEC17	2120.03	2123.41	USD	-169.00	
12SEP17	B 1 PD04DEC17	2134.72	2123.41	USD	-282.75	
15SEP17	B 1 PD04DEC17	2083.32	2123.41	USD	1,002.25	
	NET 0 AVG BGHT 2117.54 SOLD 2122.63				**2,928.50**	**0.00**
05SEP17	S 1 PD05DEC17	2119.00	2123.68	USD	-117.00	
05SEP17	B 1 PD05DEC17	2108.00	2123.68	USD	392.00	
05SEP17	S 2 PD05DEC17	2105.00	2123.68	USD	-934.00	
05SEP17	B 1 PD05DEC17	2111.00	2123.68	USD	317.00	
05SEP17	S 2 PD05DEC17	2115.00	2123.68	USD	-434.00	
05SEP17	S 2 PD05DEC17	2106.50	2123.68	USD	-859.00	
05SEP17	S 1 PD05DEC17	2097.50	2123.68	USD	-654.50	
05SEP17	S 1 PD05DEC17	2103.50	2123.68	USD	-504.50	
05SEP17	S 1 PD05DEC17	2098.50	2123.68	USD	-629.50	
05SEP17	S 1 PD05DEC17	2098.00	2123.68	USD	-642.00	
06SEP17	B 3 PD05DEC17	2104.46	2123.68	USD	1,441.50	
12SEP17	B 2 PD05DEC17	2135.03	2123.68	USD	-567.50	
15SEP17	B 2 PD05DEC17	2083.60	2123.68	USD	2,004.00	
19SEP17	B 1 PD05DEC17	2121.19	2123.68	USD	62.25	
20SEP17	B 1 PD05DEC17	2172.82	2123.68	USD	-1,228.50	
	NET 0 AVG BGHT 2114.88 SOLD 2106.32				**-2,353.75**	**0.00**
06SEP17	B 1 PD06DEC17	2093.00	2123.95	USD	773.75	
06SEP17	S 1 PD06DEC17	2092.50	2123.95	USD	-786.25	
06SEP17	B 1 PD06DEC17	2092.50	2123.95	USD	786.25	
06SEP17	B 1 PD06DEC17	2091.00	2123.95	USD	823.75	
06SEP17	B 1 PD06DEC17	2091.50	2123.95	USD	811.25	
06SEP17	B 2 PD06DEC17	2095.50	2123.95	USD	1,422.50	
06SEP17	S 1 PD06DEC17	2091.00	2123.95	USD	-823.75	
06SEP17	S 1 PD06DEC17	2089.00	2123.95	USD	-873.75	
06SEP17	S 1 PD06DEC17	2086.50	2123.95	USD	-936.25	
06SEP17	S 2 PD06DEC17	2086.00	2123.95	USD	-1,897.50	
06SEP17	S 1 PD06DEC17	2085.50	2123.95	USD	-961.25	
06SEP17	S 1 PD06DEC17	2087.00	2123.95	USD	-923.75	
06SEP17	S 2 PD06DEC17	2090.00	2123.95	USD	-1,697.50	
06SEP17	S 1 PD06DEC17	2103.50	2123.95	USD	-511.25	
06SEP17	S 2 PD06DEC17	2108.00	2123.95	USD	-797.50	
11SEP17	B 2 PD06DEC17	2120.79	2123.95	USD	158.00	
12SEP17	B 2 PD06DEC17	2135.14	2123.95	USD	-559.50	
15SEP17	B 2 PD06DEC17	2083.88	2123.95	USD	2,003.50	
19SEP17	B 1 PD06DEC17	2121.47	2123.95	USD	62.00	
	NET 0 AVG BGHT 2104.62 SOLD 2092.54				**-3,927.25**	**0.00**
07SEP17	B 1 PD07DEC17	2110.50	2124.22	USD	343.00	
07SEP17	B 1 PD07DEC17	2104.50	2124.22	USD	493.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
07SEP17	S 1 PD07DEC17	2097.50	2124.22	USD	-668.00	
07SEP17	S 1 PD07DEC17	2098.50	2124.22	USD	-643.00	
07SEP17	S 8 PD07DEC17	2098.00	2124.22	USD	-5,244.00	
07SEP17	S 2 PD07DEC17	2091.00	2124.22	USD	-1,661.00	
07SEP17	S 6 PD07DEC17	2091.50	2124.22	USD	-4,908.00	
07SEP17	B 2 PD07DEC17	2092.50	2124.22	USD	1,586.00	
07SEP17	B 1 PD07DEC17	2089.00	2124.22	USD	880.50	
07SEP17	B 1 PD07DEC17	2090.50	2124.22	USD	843.00	
07SEP17	S 3 PD07DEC17	2094.00	2124.22	USD	-2,266.50	
07SEP17	S 1 PD07DEC17	2098.00	2124.22	USD	-655.50	
07SEP17	S 1 PD07DEC17	2092.50	2124.22	USD	-793.00	
07SEP17	S 1 PD07DEC17	2108.00	2124.22	USD	-405.50	
07SEP17	S 1 PD07DEC17	2109.00	2124.22	USD	-380.50	
07SEP17	S 1 PD07DEC17	2111.00	2124.22	USD	-330.50	
07SEP17	S 3 PD07DEC17	2110.00	2124.22	USD	-1,066.50	
07SEP17	S 4 PD07DEC17	2110.50	2124.22	USD	-1,372.00	
07SEP17	B 1 PD07DEC17	2106.50	2124.22	USD	443.00	
07SEP17	S 2 PD07DEC17	2109.50	2124.22	USD	-736.00	
11SEP17	B 5 PD07DEC17	2121.08	2124.22	USD	392.50	
14SEP17	B 20 PD07DEC17	2096.33	2124.22	USD	13,945.00	
15SEP17	B 1 PD07DEC17	2084.17	2124.22	USD	1,001.25	
19SEP17	B 1 PD07DEC17	2121.76	2124.22	USD	61.50	
20SEP17	B 1 PD07DEC17	2173.38	2124.22	USD	-1,229.00	
	NET 0 AVG BGHT 2102.78 SOLD 2100.07				**-2,370.25**	**0.00**
08SEP17	B 1 PD08DEC17	2117.00	2124.49	USD	187.25	
08SEP17	B 3 PD08DEC17	2119.50	2124.49	USD	374.25	
08SEP17	B 3 PD08DEC17	2119.00	2124.49	USD	411.75	
08SEP17	S 1 PD08DEC17	2121.50	2124.49	USD	-74.75	
08SEP17	S 1 PD08DEC17	2116.00	2124.49	USD	-212.25	
08SEP17	S 3 PD08DEC17	2111.00	2124.49	USD	-1,011.75	
08SEP17	S 1 PD08DEC17	2110.50	2124.49	USD	-349.75	
08SEP17	S 1 PD08DEC17	2109.00	2124.49	USD	-387.25	
08SEP17	S 1 PD08DEC17	2109.50	2124.49	USD	-374.75	
08SEP17	S 1 PD08DEC17	2095.00	2124.49	USD	-737.25	
08SEP17	B 1 PD08DEC17	2098.00	2124.49	USD	662.25	
08SEP17	S 1 PD08DEC17	2093.00	2124.49	USD	-787.25	
08SEP17	B 1 PD08DEC17	2088.50	2124.49	USD	899.75	
08SEP17	S 1 PD08DEC17	2086.50	2124.49	USD	-949.75	
08SEP17	B 1 PD08DEC17	2095.00	2124.49	USD	737.25	
08SEP17	S 1 PD08DEC17	2106.00	2124.49	USD	-462.25	
08SEP17	B 1 PD08DEC17	2094.00	2124.49	USD	762.25	
08SEP17	B 1 PD08DEC17	2093.00	2124.49	USD	787.25	
08SEP17	S 1 PD08DEC17	2104.00	2124.49	USD	-512.25	
08SEP17	S 1 PD08DEC17	2103.50	2124.49	USD	-524.75	
08SEP17	S 1 PD08DEC17	2101.50	2124.49	USD	-574.75	
08SEP17	S 2 PD08DEC17	2102.50	2124.49	USD	-1,099.50	
08SEP17	S 1 PD08DEC17	2103.00	2124.49	USD	-537.25	
08SEP17	S 2 PD08DEC17	2101.00	2124.49	USD	-1,174.50	
08SEP17	S 4 PD08DEC17	2100.00	2124.49	USD	-2,449.00	
08SEP17	S 3 PD08DEC17	2102.00	2124.49	USD	-1,686.75	
08SEP17	B 9 PD08DEC17	2100.00	2124.49	USD	5,510.25	
08SEP17	S 1 PD08DEC17	2096.50	2124.49	USD	-699.75	
08SEP17	S 5 PD08DEC17	2097.00	2124.49	USD	-3,436.25	
08SEP17	S 2 PD08DEC17	2100.50	2124.49	USD	-1,199.50	
08SEP17	B 1 PD08DEC17	2090.50	2124.49	USD	849.75	
08SEP17	B 1 PD08DEC17	2093.00	2124.49	USD	787.25	
08SEP17	S 1 PD08DEC17	2097.50	2124.49	USD	-674.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
08SEP17	S 2 PD08DEC17	2095.50	2124.49	USD	-1,449.50	
08SEP17	B 2 PD08DEC17	2095.00	2124.49	USD	1,474.50	
08SEP17	S 2 PD08DEC17	2090.00	2124.49	USD	-1,724.50	
08SEP17	S 2 PD08DEC17	2100.00	2124.49	USD	-1,224.50	
08SEP17	B 1 PD08DEC17	2100.00	2124.49	USD	612.25	
08SEP17	S 1 PD08DEC17	2096.00	2124.49	USD	-712.25	
08SEP17	B 3 PD08DEC17	2096.00	2124.49	USD	2,136.75	
11SEP17	B 2 PD08DEC17	2121.36	2124.49	USD	156.50	
14SEP17	B 7 PD08DEC17	2096.40	2124.49	USD	4,915.75	
19SEP17	B 2 PD08DEC17	2121.85	2124.49	USD	132.00	
20SEP17	B 1 PD08DEC17	2173.66	2124.49	USD	-1,229.25	
	NET -2 AVG BGHT 2104.81 SOLD 2101.21				**-4,859.00**	**0.00**
11SEP17	B 1 PD11DEC17	2096.00	2125.31	USD	732.75	
11SEP17	B 3 PD11DEC17	2098.00	2125.31	USD	2,048.25	
11SEP17	B 1 PD11DEC17	2101.50	2125.31	USD	595.25	
11SEP17	B 5 PD11DEC17	2102.00	2125.31	USD	2,913.75	
11SEP17	B 3 PD11DEC17	2103.00	2125.31	USD	1,673.25	
11SEP17	B 3 PD11DEC17	2102.50	2125.31	USD	1,710.75	
11SEP17	B 3 PD11DEC17	2103.50	2125.31	USD	1,635.75	
11SEP17	B 2 PD11DEC17	2105.00	2125.31	USD	1,015.50	
11SEP17	B 1 PD11DEC17	2109.50	2125.31	USD	395.25	
11SEP17	B 1 PD11DEC17	2112.00	2125.31	USD	332.75	
11SEP17	B 2 PD11DEC17	2112.50	2125.31	USD	640.50	
11SEP17	S 1 PD11DEC17	2127.50	2125.31	USD	54.75	
11SEP17	S 1 PD11DEC17	2125.50	2125.31	USD	4.75	
11SEP17	S 1 PD11DEC17	2127.00	2125.31	USD	42.25	
11SEP17	S 1 PD11DEC17	2126.50	2125.31	USD	29.75	
11SEP17	B 2 PD11DEC17	2126.00	2125.31	USD	-34.50	
11SEP17	B 1 PD11DEC17	2121.00	2125.31	USD	107.75	
11SEP17	B 4 PD11DEC17	2122.00	2125.31	USD	331.00	
11SEP17	B 1 PD11DEC17	2121.50	2125.31	USD	95.25	
11SEP17	B 1 PD11DEC17	2123.00	2125.31	USD	57.75	
11SEP17	B 3 PD11DEC17	2126.50	2125.31	USD	-89.25	
11SEP17	B 3 PD11DEC17	2127.00	2125.31	USD	-126.75	
11SEP17	B 3 PD11DEC17	2128.50	2125.31	USD	-239.25	
11SEP17	B 2 PD11DEC17	2127.50	2125.31	USD	-109.50	
11SEP17	B 5 PD11DEC17	2128.00	2125.31	USD	-336.25	
11SEP17	B 3 PD11DEC17	2125.50	2125.31	USD	-14.25	
11SEP17	B 3 PD11DEC17	2125.00	2125.31	USD	23.25	
11SEP17	B 2 PD11DEC17	2124.50	2125.31	USD	40.50	
11SEP17	B 2 PD11DEC17	2124.00	2125.31	USD	65.50	
11SEP17	B 1 PD11DEC17	2122.50	2125.31	USD	70.25	
11SEP17	B 2 PD11DEC17	2120.00	2125.31	USD	265.50	
11SEP17	B 1 PD11DEC17	2116.50	2125.31	USD	220.25	
11SEP17	B 1 PD11DEC17	2118.00	2125.31	USD	182.75	
11SEP17	B 1 PD11DEC17	2119.00	2125.31	USD	157.75	
12SEP17	S 2 PD11DEC17	2136.49	2125.31	USD	559.00	
14SEP17	S 7 PD11DEC17	2097.00	2125.31	USD	-4,954.25	
14SEP17	S 17 PD11DEC17	2097.20	2125.31	USD	-11,946.75	
15SEP17	S 3 PD11DEC17	2085.00	2125.31	USD	-3,023.25	
18SEP17	S 4 PD11DEC17	2087.43	2125.31	USD	-3,788.00	
19SEP17	S 2 PD11DEC17	2122.51	2125.31	USD	-140.00	
19SEP17	S 4 PD11DEC17	2122.71	2125.31	USD	-260.00	
20SEP17	S 3 PD11DEC17	2174.29	2125.31	USD	3,673.50	
20SEP17	S 9 PD11DEC17	2174.49	2125.31	USD	11,065.50	
21SEP17	S 4 PD11DEC17	2168.99	2125.31	USD	4,368.00	
	NET +7 AVG BGHT 2116.61 SOLD 2122.38				**10,046.75**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
12SEP17	S 2 PD12DEC17	2118.00	2125.58	USD	-379.00	
12SEP17	S 2 PD12DEC17	2116.00	2125.58	USD	-479.00	
12SEP17	S 1 PD12DEC17	2115.50	2125.58	USD	-252.00	
12SEP17	S 1 PD12DEC17	2114.00	2125.58	USD	-289.50	
12SEP17	S 2 PD12DEC17	2114.50	2125.58	USD	-554.00	
12SEP17	B 2 PD12DEC17	2120.00	2125.58	USD	279.00	
12SEP17	S 1 PD12DEC17	2124.50	2125.58	USD	-27.00	
12SEP17	B 1 PD12DEC17	2115.00	2125.58	USD	264.50	
12SEP17	B 2 PD12DEC17	2121.00	2125.58	USD	229.00	
12SEP17	B 1 PD12DEC17	2122.50	2125.58	USD	77.00	
12SEP17	B 2 PD12DEC17	2123.50	2125.58	USD	104.00	
12SEP17	B 1 PD12DEC17	2123.00	2125.58	USD	64.50	
12SEP17	B 2 PD12DEC17	2125.00	2125.58	USD	29.00	
12SEP17	S 1 PD12DEC17	2135.50	2125.58	USD	248.00	
12SEP17	S 1 PD12DEC17	2129.00	2125.58	USD	85.50	
12SEP17	S 2 PD12DEC17	2140.00	2125.58	USD	721.00	
12SEP17	S 1 PD12DEC17	2140.50	2125.58	USD	373.00	
14SEP17	B 1 PD12DEC17	2097.67	2125.58	USD	697.75	
20SEP17	B 1 PD12DEC17	2174.97	2125.58	USD	-1,234.75	
	NET -1 AVG BGHT 2124.01 SOLD 2124.00				**-43.00**	**0.00**
13SEP17	S 1 PD13DEC17	2136.50	2125.85	USD	266.25	
13SEP17	S 1 PD13DEC17	2140.50	2125.85	USD	366.25	
13SEP17	S 1 PD13DEC17	2139.00	2125.85	USD	328.75	
13SEP17	S 1 PD13DEC17	2142.00	2125.85	USD	403.75	
13SEP17	S 1 PD13DEC17	2138.50	2125.85	USD	316.25	
13SEP17	S 1 PD13DEC17	2135.50	2125.85	USD	241.25	
13SEP17	S 5 PD13DEC17	2135.00	2125.85	USD	1,143.75	
13SEP17	S 5 PD13DEC17	2134.00	2125.85	USD	1,018.75	
13SEP17	S 2 PD13DEC17	2131.00	2125.85	USD	257.50	
13SEP17	S 2 PD13DEC17	2129.50	2125.85	USD	182.50	
13SEP17	S 3 PD13DEC17	2131.50	2125.85	USD	423.75	
13SEP17	S 1 PD13DEC17	2132.00	2125.85	USD	153.75	
13SEP17	B 2 PD13DEC17	2112.00	2125.85	USD	692.50	
13SEP17	B 2 PD13DEC17	2111.50	2125.85	USD	717.50	
13SEP17	S 1 PD13DEC17	2116.00	2125.85	USD	-246.25	
13SEP17	S 1 PD13DEC17	2116.50	2125.85	USD	-233.75	
13SEP17	S 5 PD13DEC17	2114.50	2125.85	USD	-1,418.75	
13SEP17	S 2 PD13DEC17	2114.00	2125.85	USD	-592.50	
13SEP17	S 1 PD13DEC17	2107.00	2125.85	USD	-471.25	
13SEP17	B 1 PD13DEC17	2113.00	2125.85	USD	321.25	
14SEP17	B 16 PD13DEC17	2097.93	2125.85	USD	11,168.00	
15SEP17	B 3 PD13DEC17	2085.70	2125.85	USD	3,011.25	
19SEP17	B 2 PD13DEC17	2123.48	2125.85	USD	118.50	
20SEP17	B 4 PD13DEC17	2175.25	2125.85	USD	-4,940.00	
25SEP17	B 2 PD13DEC17	2146.14	2125.85	USD	-1,014.50	
	NET -2 AVG BGHT 2113.26 SOLD 2128.37				**12,214.50**	**0.00**
14SEP17	B 1 PD14DEC17	2119.00	2126.12	USD	178.00	
14SEP17	S 1 PD14DEC17	2111.50	2126.12	USD	-365.50	
14SEP17	B 1 PD14DEC17	2112.50	2126.12	USD	340.50	
14SEP17	B 1 PD14DEC17	2113.00	2126.12	USD	328.00	
14SEP17	S 1 PD14DEC17	2112.50	2126.12	USD	-340.50	
14SEP17	B 5 PD14DEC17	2117.50	2126.12	USD	1,077.50	
14SEP17	B 1 PD14DEC17	2112.00	2126.12	USD	353.00	
14SEP17	B 4 PD14DEC17	2111.00	2126.12	USD	1,512.00	
14SEP17	B 1 PD14DEC17	2110.00	2126.12	USD	403.00	
14SEP17	B 1 PD14DEC17	2081.00	2126.12	USD	1,128.00	
14SEP17	B 1 PD14DEC17	2080.50	2126.12	USD	1,140.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
14SEP17	B 1 PD14DEC17	2082.00	2126.12	USD	1,103.00	
14SEP17	B 1 PD14DEC17	2084.00	2126.12	USD	1,053.00	
14SEP17	B 1 PD14DEC17	2086.50	2126.12	USD	990.50	
14SEP17	S 2 PD14DEC17	2094.50	2126.12	USD	-1,581.00	
14SEP17	S 1 PD14DEC17	2095.50	2126.12	USD	-765.50	
14SEP17	B 2 PD14DEC17	2094.50	2126.12	USD	1,581.00	
14SEP17	B 1 PD14DEC17	2095.00	2126.12	USD	778.00	
14SEP17	B 1 PD14DEC17	2095.50	2126.12	USD	765.50	
14SEP17	B 1 PD14DEC17	2101.50	2126.12	USD	615.50	
14SEP17	B 1 PD14DEC17	2102.50	2126.12	USD	590.50	
14SEP17	B 1 PD14DEC17	2102.00	2126.12	USD	603.00	
14SEP17	S 2 PD14DEC17	2093.50	2126.12	USD	-1,631.00	
14SEP17	S 2 PD14DEC17	2096.00	2126.12	USD	-1,506.00	
14SEP17	S 4 PD14DEC17	2093.00	2126.12	USD	-3,312.00	
14SEP17	S 1 PD14DEC17	2096.50	2126.12	USD	-740.50	
14SEP17	B 1 PD14DEC17	2100.00	2126.12	USD	653.00	
14SEP17	B 4 PD14DEC17	2097.00	2126.12	USD	2,912.00	
21SEP17	S 11 PD14DEC17	2169.83	2126.12	USD	12,020.25	
25SEP17	S 2 PD14DEC17	2146.24	2126.12	USD	1,006.00	
	NET +4 AVG BGHT 2102.76 SOLD 2130.24				**20,889.75**	**0.00**
15SEP17	B 2 PD15DEC17	2104.00	2126.39	USD	1,119.50	
15SEP17	B 2 PD15DEC17	2104.50	2126.39	USD	1,094.50	
15SEP17	B 1 PD15DEC17	2106.00	2126.39	USD	509.75	
15SEP17	B 2 PD15DEC17	2107.00	2126.39	USD	969.50	
15SEP17	S 1 PD15DEC17	2105.00	2126.39	USD	-534.75	
15SEP17	S 1 PD15DEC17	2104.00	2126.39	USD	-559.75	
15SEP17	S 1 PD15DEC17	2100.00	2126.39	USD	-659.75	
15SEP17	S 1 PD15DEC17	2097.00	2126.39	USD	-734.75	
15SEP17	S 3 PD15DEC17	2092.00	2126.39	USD	-2,579.25	
15SEP17	S 2 PD15DEC17	2087.50	2126.39	USD	-1,944.50	
15SEP17	S 8 PD15DEC17	2087.00	2126.39	USD	-7,878.00	
15SEP17	S 1 PD15DEC17	2086.50	2126.39	USD	-997.25	
15SEP17	S 2 PD15DEC17	2086.00	2126.39	USD	-2,019.50	
15SEP17	S 1 PD15DEC17	2085.00	2126.39	USD	-1,034.75	
15SEP17	B 1 PD15DEC17	2086.00	2126.39	USD	1,009.75	
15SEP17	S 2 PD15DEC17	2092.50	2126.39	USD	-1,694.50	
15SEP17	S 4 PD15DEC17	2088.00	2126.39	USD	-3,839.00	
15SEP17	S 4 PD15DEC17	2090.00	2126.39	USD	-3,639.00	
15SEP17	S 1 PD15DEC17	2090.50	2126.39	USD	-897.25	
15SEP17	S 1 PD15DEC17	2092.50	2126.39	USD	-847.25	
15SEP17	S 1 PD15DEC17	2093.50	2126.39	USD	-822.25	
15SEP17	S 3 PD15DEC17	2095.00	2126.39	USD	-2,354.25	
15SEP17	S 3 PD15DEC17	2095.50	2126.39	USD	-2,316.75	
15SEP17	S 1 PD15DEC17	2077.50	2126.39	USD	-1,222.25	
15SEP17	S 1 PD15DEC17	2079.00	2126.39	USD	-1,184.75	
15SEP17	B 1 PD15DEC17	2088.50	2126.39	USD	947.25	
15SEP17	B 2 PD15DEC17	2089.00	2126.39	USD	1,869.50	
15SEP17	B 1 PD15DEC17	2089.50	2126.39	USD	922.25	
15SEP17	B 1 PD15DEC17	2087.00	2126.39	USD	984.75	
15SEP17	S 1 PD15DEC17	2085.50	2126.39	USD	-1,022.25	
18SEP17	B 4 PD15DEC17	2088.81	2126.39	USD	3,758.00	
19SEP17	B 2 PD15DEC17	2124.05	2126.39	USD	117.00	
20SEP17	B 3 PD15DEC17	2175.81	2126.39	USD	-3,706.50	
21SEP17	B 14 PD15DEC17	2170.31	2126.39	USD	-15,372.00	
25SEP17	B 2 PD15DEC17	2146.74	2126.39	USD	-1,017.50	
	NET -5 AVG BGHT 2133.54 SOLD 2090.31				**-45,576.00**	**0.00**
18SEP17	B 1 PD18DEC17	2100.50	2127.21	USD	667.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
18SEP17	S 1 PD18DEC17	2094.50	2127.21	USD	-817.75	
18SEP17	B 1 PD18DEC17	2096.50	2127.21	USD	767.75	
18SEP17	B 1 PD18DEC17	2099.00	2127.21	USD	705.25	
18SEP17	S 1 PD18DEC17	2097.50	2127.21	USD	-742.75	
18SEP17	S 1 PD18DEC17	2090.00	2127.21	USD	-930.25	
18SEP17	B 4 PD18DEC17	2097.00	2127.21	USD	3,021.00	
18SEP17	S 1 PD18DEC17	2096.50	2127.21	USD	-767.75	
18SEP17	B 1 PD18DEC17	2091.50	2127.21	USD	892.75	
18SEP17	B 1 PD18DEC17	2090.50	2127.21	USD	917.75	
18SEP17	B 1 PD18DEC17	2100.00	2127.21	USD	680.25	
18SEP17	S 1 PD18DEC17	2098.00	2127.21	USD	-730.25	
18SEP17	S 1 PD18DEC17	2091.00	2127.21	USD	-905.25	
18SEP17	S 1 PD18DEC17	2090.50	2127.21	USD	-917.75	
18SEP17	S 1 PD18DEC17	2091.00	2127.21	USD	-905.25	
18SEP17	B 1 PD18DEC17	2088.00	2127.21	USD	980.25	
18SEP17	B 1 PD18DEC17	2089.50	2127.21	USD	942.75	
18SEP17	B 7 PD18DEC17	2092.50	2127.21	USD	6,074.25	
18SEP17	B 1 PD18DEC17	2092.00	2127.21	USD	880.25	
18SEP17	B 14 PD18DEC17	2093.00	2127.21	USD	11,973.50	
18SEP17	B 6 PD18DEC17	2095.00	2127.21	USD	4,831.50	
18SEP17	B 1 PD18DEC17	2094.50	2127.21	USD	817.75	
20SEP17	S 7 PD18DEC17	2176.44	2127.21	USD	8,615.25	
21SEP17	S 12 PD18DEC17	2170.94	2127.21	USD	13,119.00	
25SEP17	S 11 PD18DEC17	2147.45	2127.21	USD	5,566.00	
03OCT17	S 1 PD18DEC17	2127.21	2127.21	USD	0.00	
	NET +2 AVG BGHT 2093.89 SOLD 2148.32				**54,736.00**	**0.00**
19SEP17	B 1 PD19DEC17	2108.00	2127.48	USD	487.00	
19SEP17	S 1 PD19DEC17	2106.00	2127.48	USD	-537.00	
19SEP17	S 1 PD19DEC17	2108.00	2127.48	USD	-487.00	
19SEP17	B 2 PD19DEC17	2108.50	2127.48	USD	949.00	
19SEP17	B 1 PD19DEC17	2109.50	2127.48	USD	449.50	
19SEP17	S 1 PD19DEC17	2109.50	2127.48	USD	-449.50	
19SEP17	B 2 PD19DEC17	2105.50	2127.48	USD	1,099.00	
19SEP17	B 1 PD19DEC17	2106.00	2127.48	USD	537.00	
19SEP17	S 1 PD19DEC17	2104.50	2127.48	USD	-574.50	
19SEP17	S 2 PD19DEC17	2102.50	2127.48	USD	-1,249.00	
19SEP17	S 1 PD19DEC17	2103.00	2127.48	USD	-612.00	
19SEP17	B 1 PD19DEC17	2103.00	2127.48	USD	612.00	
19SEP17	B 1 PD19DEC17	2103.50	2127.48	USD	599.50	
19SEP17	B 1 PD19DEC17	2106.50	2127.48	USD	524.50	
19SEP17	B 1 PD19DEC17	2105.50	2127.48	USD	549.50	
19SEP17	B 1 PD19DEC17	2105.00	2127.48	USD	562.00	
19SEP17	S 1 PD19DEC17	2131.50	2127.48	USD	100.50	
19SEP17	S 1 PD19DEC17	2129.50	2127.48	USD	50.50	
19SEP17	S 2 PD19DEC17	2129.00	2127.48	USD	76.00	
19SEP17	S 3 PD19DEC17	2128.50	2127.48	USD	76.50	
19SEP17	S 7 PD19DEC17	2128.00	2127.48	USD	91.00	
19SEP17	B 1 PD19DEC17	2128.00	2127.48	USD	-13.00	
19SEP17	S 8 PD19DEC17	2127.50	2127.48	USD	4.00	
19SEP17	S 4 PD19DEC17	2127.00	2127.48	USD	-48.00	
19SEP17	S 10 PD19DEC17	2126.50	2127.48	USD	-245.00	
19SEP17	S 7 PD19DEC17	2124.50	2127.48	USD	-521.50	
19SEP17	S 4 PD19DEC17	2124.00	2127.48	USD	-348.00	
19SEP17	B 8 PD19DEC17	2124.00	2127.48	USD	696.00	
19SEP17	B 7 PD19DEC17	2124.50	2127.48	USD	521.50	
19SEP17	B 1 PD19DEC17	2126.00	2127.48	USD	37.00	
19SEP17	S 2 PD19DEC17	2121.50	2127.48	USD	-299.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
19SEP17	S 4 PD19DEC17	2121.00	2127.48	USD	-648.00	
19SEP17	B 5 PD19DEC17	2115.50	2127.48	USD	1,497.50	
19SEP17	B 5 PD19DEC17	2117.50	2127.48	USD	1,247.50	
19SEP17	B 2 PD19DEC17	2124.50	2127.48	USD	149.00	
19SEP17	S 2 PD19DEC17	2124.50	2127.48	USD	-149.00	
19SEP17	B 5 PD19DEC17	2124.00	2127.48	USD	435.00	
19SEP17	S 3 PD19DEC17	2124.00	2127.48	USD	-261.00	
19SEP17	B 1 PD19DEC17	2127.50	2127.48	USD	-0.50	
19SEP17	B 3 PD19DEC17	2127.00	2127.48	USD	36.00	
19SEP17	B 1 PD19DEC17	2126.50	2127.48	USD	24.50	
20SEP17	B 8 PD19DEC17	2176.92	2127.48	USD	-9,888.00	
21SEP17	B 3 PD19DEC17	2171.42	2127.48	USD	-3,295.50	
25SEP17	B 1 PD19DEC17	2147.95	2127.48	USD	-511.75	
	NET -2 AVG BGHT 2129.19 SOLD 2123.77				**-8,725.75**	**0.00**
20SEP17	B 4 PD20DEC17	2126.50	2127.75	USD	125.00	
20SEP17	B 1 PD20DEC17	2127.00	2127.75	USD	18.75	
20SEP17	B 2 PD20DEC17	2129.50	2127.75	USD	-87.50	
20SEP17	B 1 PD20DEC17	2130.00	2127.75	USD	-56.25	
20SEP17	B 1 PD20DEC17	2137.50	2127.75	USD	-243.75	
20SEP17	B 1 PD20DEC17	2140.50	2127.75	USD	-318.75	
20SEP17	B 2 PD20DEC17	2147.00	2127.75	USD	-962.50	
20SEP17	B 9 PD20DEC17	2146.50	2127.75	USD	-4,218.75	
20SEP17	B 1 PD20DEC17	2147.50	2127.75	USD	-493.75	
20SEP17	B 1 PD20DEC17	2149.00	2127.75	USD	-531.25	
20SEP17	B 1 PD20DEC17	2149.50	2127.75	USD	-543.75	
20SEP17	B 1 PD20DEC17	2151.00	2127.75	USD	-581.25	
20SEP17	B 1 PD20DEC17	2155.00	2127.75	USD	-681.25	
20SEP17	B 1 PD20DEC17	2155.50	2127.75	USD	-693.75	
20SEP17	B 4 PD20DEC17	2156.50	2127.75	USD	-2,875.00	
20SEP17	B 1 PD20DEC17	2157.00	2127.75	USD	-731.25	
20SEP17	B 1 PD20DEC17	2157.50	2127.75	USD	-743.75	
20SEP17	B 2 PD20DEC17	2158.00	2127.75	USD	-1,512.50	
20SEP17	B 2 PD20DEC17	2161.50	2127.75	USD	-1,687.50	
20SEP17	B 5 PD20DEC17	2166.00	2127.75	USD	-4,781.25	
20SEP17	B 2 PD20DEC17	2163.50	2127.75	USD	-1,787.50	
20SEP17	B 3 PD20DEC17	2164.00	2127.75	USD	-2,718.75	
20SEP17	B 1 PD20DEC17	2163.00	2127.75	USD	-881.25	
20SEP17	B 4 PD20DEC17	2164.50	2127.75	USD	-3,675.00	
20SEP17	B 2 PD20DEC17	2165.50	2127.75	USD	-1,887.50	
20SEP17	B 1 PD20DEC17	2159.50	2127.75	USD	-793.75	
20SEP17	B 4 PD20DEC17	2156.00	2127.75	USD	-2,825.00	
20SEP17	S 1 PD20DEC17	2172.00	2127.75	USD	1,106.25	
20SEP17	S 1 PD20DEC17	2177.00	2127.75	USD	1,231.25	
20SEP17	S 1 PD20DEC17	2175.00	2127.75	USD	1,181.25	
20SEP17	S 1 PD20DEC17	2175.50	2127.75	USD	1,193.75	
20SEP17	B 1 PD20DEC17	2179.50	2127.75	USD	-1,293.75	
20SEP17	B 1 PD20DEC17	2175.50	2127.75	USD	-1,193.75	
20SEP17	B 1 PD20DEC17	2174.50	2127.75	USD	-1,168.75	
20SEP17	S 1 PD20DEC17	2172.50	2127.75	USD	1,118.75	
20SEP17	B 1 PD20DEC17	2172.00	2127.75	USD	-1,106.25	
20SEP17	B 1 PD20DEC17	2170.00	2127.75	USD	-1,056.25	
20SEP17	B 1 PD20DEC17	2168.50	2127.75	USD	-1,018.75	
20SEP17	B 1 PD20DEC17	2155.50	2127.75	USD	-693.75	
20SEP17	B 1 PD20DEC17	2157.00	2127.75	USD	-731.25	
20SEP17	B 2 PD20DEC17	2166.00	2127.75	USD	-1,912.50	
20SEP17	S 1 PD20DEC17	2171.50	2127.75	USD	1,093.75	
20SEP17	B 1 PD20DEC17	2138.50	2127.75	USD	-268.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
20SEP17	S 1 PD20DEC17	2190.50	2127.75	USD	1,568.75	
20SEP17	S 1 PD20DEC17	2189.00	2127.75	USD	1,531.25	
20SEP17	S 1 PD20DEC17	2191.50	2127.75	USD	1,593.75	
20SEP17	S 1 PD20DEC17	2184.50	2127.75	USD	1,418.75	
20SEP17	B 2 PD20DEC17	2181.50	2127.75	USD	-2,687.50	
20SEP17	B 2 PD20DEC17	2182.00	2127.75	USD	-2,712.50	
20SEP17	S 4 PD20DEC17	2180.50	2127.75	USD	5,275.00	
20SEP17	B 5 PD20DEC17	2186.50	2127.75	USD	-7,343.75	
20SEP17	S 8 PD20DEC17	2180.00	2127.75	USD	10,450.00	
20SEP17	B 7 PD20DEC17	2186.00	2127.75	USD	-10,193.75	
20SEP17	B 1 PD20DEC17	2185.50	2127.75	USD	-1,443.75	
20SEP17	S 2 PD20DEC17	2176.00	2127.75	USD	2,412.50	
20SEP17	S 5 PD20DEC17	2179.50	2127.75	USD	6,468.75	
20SEP17	S 3 PD20DEC17	2182.50	2127.75	USD	4,106.25	
20SEP17	S 2 PD20DEC17	2181.50	2127.75	USD	2,687.50	
20SEP17	S 2 PD20DEC17	2181.00	2127.75	USD	2,662.50	
20SEP17	S 1 PD20DEC17	2177.50	2127.75	USD	1,243.75	
20SEP17	S 9 PD20DEC17	2163.00	2127.75	USD	7,931.25	
20SEP17	S 4 PD20DEC17	2162.50	2127.75	USD	3,475.00	
20SEP17	S 1 PD20DEC17	2162.00	2127.75	USD	856.25	
20SEP17	S 4 PD20DEC17	2164.50	2127.75	USD	3,675.00	
20SEP17	S 2 PD20DEC17	2165.00	2127.75	USD	1,862.50	
20SEP17	S 1 PD20DEC17	2173.50	2127.75	USD	1,143.75	
20SEP17	S 18 PD20DEC17	2182.00	2127.75	USD	24,412.50	
20SEP17	S 1 PD20DEC17	2182.00	2127.75	USD	1,356.25	
25SEP17	S 21 PD20DEC17	2147.50	2127.75	USD	10,368.75	
26SEP17	S 8 PD20DEC17	2124.25	2127.75	USD	-700.00	
29SEP17	S 2 PD20DEC17	2099.50	2127.75	USD	-1,412.50	
	NET -21 AVG BGHT 2160.39 SOLD 2165.27				**30,318.75**	**0.00**
21SEP17	S 1 PD21DEC17	2179.50	2127.98	USD	1,288.00	
21SEP17	S 1 PD21DEC17	2179.00	2127.98	USD	1,275.50	
21SEP17	S 1 PD21DEC17	2176.50	2127.98	USD	1,213.00	
21SEP17	S 2 PD21DEC17	2176.00	2127.98	USD	2,401.00	
21SEP17	S 5 PD21DEC17	2181.00	2127.98	USD	6,627.50	
21SEP17	S 5 PD21DEC17	2175.50	2127.98	USD	5,940.00	
21SEP17	S 14 PD21DEC17	2175.00	2127.98	USD	16,457.00	
21SEP17	S 1 PD21DEC17	2161.00	2127.98	USD	825.50	
21SEP17	S 1 PD21DEC17	2167.00	2127.98	USD	975.50	
21SEP17	B 1 PD21DEC17	2177.50	2127.98	USD	-1,238.00	
21SEP17	S 3 PD21DEC17	2182.00	2127.98	USD	4,051.50	
21SEP17	B 1 PD21DEC17	2189.50	2127.98	USD	-1,538.00	
21SEP17	B 1 PD21DEC17	2190.00	2127.98	USD	-1,550.50	
21SEP17	B 1 PD21DEC17	2178.00	2127.98	USD	-1,250.50	
21SEP17	B 2 PD21DEC17	2182.00	2127.98	USD	-2,701.00	
21SEP17	B 5 PD21DEC17	2173.50	2127.98	USD	-5,690.00	
21SEP17	S 1 PD21DEC17	2170.00	2127.98	USD	1,050.50	
21SEP17	S 1 PD21DEC17	2171.00	2127.98	USD	1,075.50	
21SEP17	S 3 PD21DEC17	2171.50	2127.98	USD	3,264.00	
21SEP17	S 1 PD21DEC17	2166.00	2127.98	USD	950.50	
21SEP17	S 2 PD21DEC17	2165.00	2127.98	USD	1,851.00	
21SEP17	S 2 PD21DEC17	2163.00	2127.98	USD	1,751.00	
21SEP17	S 1 PD21DEC17	2162.50	2127.98	USD	863.00	
21SEP17	S 6 PD21DEC17	2162.00	2127.98	USD	5,103.00	
21SEP17	B 1 PD21DEC17	2160.50	2127.98	USD	-813.00	
21SEP17	S 1 PD21DEC17	2157.00	2127.98	USD	725.50	
21SEP17	S 1 PD21DEC17	2154.00	2127.98	USD	650.50	
21SEP17	S 5 PD21DEC17	2149.50	2127.98	USD	2,690.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.							
21SEP17	S	5	PD21DEC17	2151.00	2127.98	USD	2,877.50	
21SEP17	S	1	PD21DEC17	2145.00	2127.98	USD	425.50	
21SEP17	B	1	PD21DEC17	2142.00	2127.98	USD	-350.50	
21SEP17	B	10	PD21DEC17	2171.20	2127.98	USD	-10,805.00	
25SEP17	B	1	PD21DEC17	2146.70	2127.98	USD	-468.00	
26SEP17	B	8	PD21DEC17	2124.70	2127.98	USD	656.00	
28SEP17	S	8	PD21DEC17	2129.03	2127.98	USD	210.00	
03OCT17	B	1	PD21DEC17	2128.18	2127.98	USD	-5.00	
03OCT17	B	1	PD21DEC17	2127.98	2127.98	USD	0.00	
	NET	**-38**	**AVG BGHT 2158.28**	**SOLD 2163.84**			**38,788.50**	**0.00**
22SEP17	S	1	PD22DEC17	2140.00	2128.21	USD	294.75	
22SEP17	B	2	PD22DEC17	2115.00	2128.21	USD	660.50	
22SEP17	B	2	PD22DEC17	2121.50	2128.21	USD	335.50	
22SEP17	B	1	PD22DEC17	2124.50	2128.21	USD	92.75	
22SEP17	S	1	PD22DEC17	2125.50	2128.21	USD	-67.75	
22SEP17	S	1	PD22DEC17	2125.00	2128.21	USD	-80.25	
22SEP17	S	1	PD22DEC17	2155.50	2128.21	USD	682.25	
22SEP17	S	1	PD22DEC17	2161.50	2128.21	USD	832.25	
22SEP17	S	1	PD22DEC17	2125.00	2128.21	USD	-80.25	
22SEP17	B	1	PD22DEC17	2137.00	2128.21	USD	-219.75	
22SEP17	S	1	PD22DEC17	2160.00	2128.21	USD	794.75	
22SEP17	S	1	PD22DEC17	2157.50	2128.21	USD	732.25	
22SEP17	S	1	PD22DEC17	2158.00	2128.21	USD	744.75	
22SEP17	S	1	PD22DEC17	2155.00	2128.21	USD	669.75	
22SEP17	S	1	PD22DEC17	2156.50	2128.21	USD	707.25	
22SEP17	B	5	PD22DEC17	2151.00	2128.21	USD	-2,848.75	
22SEP17	B	1	PD22DEC17	2154.00	2128.21	USD	-644.75	
22SEP17	B	2	PD22DEC17	2152.50	2128.21	USD	-1,214.50	
22SEP17	S	2	PD22DEC17	2147.00	2128.21	USD	939.50	
22SEP17	S	3	PD22DEC17	2149.50	2128.21	USD	1,596.75	
22SEP17	S	5	PD22DEC17	2151.50	2128.21	USD	2,911.25	
22SEP17	S	5	PD22DEC17	2151.00	2128.21	USD	2,848.75	
22SEP17	S	5	PD22DEC17	2150.50	2128.21	USD	2,786.25	
22SEP17	S	5	PD22DEC17	2141.00	2128.21	USD	1,598.75	
22SEP17	S	5	PD22DEC17	2141.50	2128.21	USD	1,661.25	
22SEP17	S	1	PD22DEC17	2146.50	2128.21	USD	457.25	
22SEP17	S	2	PD22DEC17	2156.00	2128.21	USD	1,389.50	
22SEP17	S	1	PD22DEC17	2168.50	2128.21	USD	1,007.25	
22SEP17	B	1	PD22DEC17	2166.50	2128.21	USD	-957.25	
22SEP17	B	1	PD22DEC17	2154.00	2128.21	USD	-644.75	
25SEP17	B	30	PD22DEC17	2146.95	2128.21	USD	-14,055.00	
	NET	**+1**	**AVG BGHT 2145.16**	**SOLD 2148.14**			**2,930.25**	**0.00**
25SEP17	B	1	PD27DEC17	2151.00	2129.38	USD	-540.50	
25SEP17	S	1	PD27DEC17	2163.50	2129.38	USD	853.00	
25SEP17	B	1	PD27DEC17	2167.50	2129.38	USD	-953.00	
25SEP17	S	1	PD27DEC17	2144.00	2129.38	USD	365.50	
25SEP17	B	2	PD27DEC17	2141.50	2129.38	USD	-606.00	
25SEP17	B	1	PD27DEC17	2142.00	2129.38	USD	-315.50	
25SEP17	B	2	PD27DEC17	2137.50	2129.38	USD	-406.00	
25SEP17	S	2	PD27DEC17	2141.50	2129.38	USD	606.00	
25SEP17	S	2	PD27DEC17	2142.50	2129.38	USD	656.00	
25SEP17	S	2	PD27DEC17	2144.50	2129.38	USD	756.00	
25SEP17	S	1	PD27DEC17	2149.50	2129.38	USD	503.00	
25SEP17	S	1	PD27DEC17	2146.50	2129.38	USD	428.00	
25SEP17	B	1	PD27DEC17	2147.00	2129.38	USD	-440.50	
25SEP17	B	1	PD27DEC17	2147.50	2129.38	USD	-453.00	
25SEP17	B	7	PD27DEC17	2144.00	2129.38	USD	-2,558.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
25SEP17	B 7 PD27DEC17	2143.50	2129.38	USD	-2,471.00	
25SEP17	B 1 PD27DEC17	2142.50	2129.38	USD	-328.00	
26SEP17	B 9 PD27DEC17	2128.00	2129.38	USD	310.50	
27SEP17	B 1 PD27DEC17	2132.00	2129.38	USD	-65.50	
27SEP17	S 1 PD27DEC17	2139.50	2129.38	USD	253.00	
27SEP17	S 1 PD27DEC17	2140.50	2129.38	USD	278.00	
27SEP17	S 1 PD27DEC17	2138.00	2129.38	USD	215.50	
27SEP17	B 1 PD27DEC17	2138.00	2129.38	USD	-215.50	
27SEP17	S 1 PD27DEC17	2132.00	2129.38	USD	65.50	
27SEP17	S 1 PD27DEC17	2127.50	2129.38	USD	-47.00	
27SEP17	B 1 PD27DEC17	2126.00	2129.38	USD	84.50	
28SEP17	B 8 PD27DEC17	2130.92	2129.38	USD	-308.00	
	NET +29 AVG BGHT 2137.80 SOLD 2142.53				**-4,333.50**	**0.00**
28SEP17	B 1 PD28DEC17	2130.00	2129.61	USD	-9.75	
28SEP17	B 1 PD28DEC17	2119.00	2129.61	USD	265.25	
28SEP17	S 1 PD28DEC17	2119.00	2129.61	USD	-265.25	
28SEP17	S 1 PD28DEC17	2129.00	2129.61	USD	-15.25	
28SEP17	S 1 PD28DEC17	2128.00	2129.61	USD	-40.25	
28SEP17	S 1 PD28DEC17	2143.50	2129.61	USD	347.25	
28SEP17	S 2 PD28DEC17	2136.00	2129.61	USD	319.50	
28SEP17	S 3 PD28DEC17	2134.50	2129.61	USD	366.75	
28SEP17	S 7 PD28DEC17	2135.50	2129.61	USD	1,030.75	
28SEP17	B 1 PD28DEC17	2137.00	2129.61	USD	-184.75	
28SEP17	B 1 PD28DEC17	2136.00	2129.61	USD	-159.75	
28SEP17	B 1 PD28DEC17	2137.50	2129.61	USD	-197.25	
29SEP17	B 2 PD28DEC17	2101.92	2129.61	USD	1,384.50	
	NET -9 AVG BGHT 2123.33 SOLD 2133.97				**2,841.75**	**0.00**
29SEP17	B 1 PD29DEC17	2136.50	2129.84	USD	-166.50	
03OCT17	S 1 PD29DEC17	2129.64	2129.84	USD	-5.00	
	NET 0 AVG BGHT 2136.50 SOLD 2129.64				**-171.50**	**0.00**
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
04JUL17	B 1 PD04OCT17	5912.00	6467.48	USD	13,887.00	
04JUL17	S 1 PD04OCT17	5880.50	6467.48	USD	-14,674.50	
04JUL17	S 1 PD04OCT17	5875.50	6467.48	USD	-14,799.50	
04JUL17	S 1 PD04OCT17	5873.00	6467.48	USD	-14,862.00	
04JUL17	S 1 PD04OCT17	5870.00	6467.48	USD	-14,937.00	
04JUL17	S 1 PD04OCT17	5876.50	6467.48	USD	-14,774.50	
04JUL17	B 2 PD04OCT17	5894.50	6467.48	USD	28,649.00	
04JUL17	S 2 PD04OCT17	5894.00	6467.48	USD	-28,674.00	
04JUL17	S 1 PD04OCT17	5893.00	6467.48	USD	-14,362.00	
04JUL17	S 1 PD04OCT17	5905.50	6467.48	USD	-14,049.50	
04JUL17	B 1 PD04OCT17	5910.00	6467.48	USD	13,937.00	
04JUL17	B 1 PD04OCT17	5891.00	6467.48	USD	14,412.00	
17JUL17	B 2 PD04OCT17	5991.36	6467.48	USD	23,806.00	
19JUL17	S 4 PD04OCT17	5961.59	6467.48	USD	-50,589.00	
19JUL17	B 3 PD04OCT17	5961.79	6467.48	USD	37,926.75	
27JUL17	B 1 PD04OCT17	6324.45	6467.48	USD	3,575.75	
08AUG17	B 1 PD04OCT17	6467.55	6467.48	USD	-1.75	
15AUG17	B 1 PD04OCT17	6363.32	6467.48	USD	2,604.00	
15AUG17	B 1 PD04OCT17	6363.12	6467.48	USD	2,609.00	
05SEP17	S 1 PD04OCT17	6876.54	6467.48	USD	10,226.50	
	NET 0 AVG BGHT 6063.47 SOLD 5977.49				**-30,090.75**	**0.00**
05JUL17	B 1 PD05OCT17	5897.50	6468.75	USD	14,281.25	
05JUL17	B 2 PD05OCT17	5897.00	6468.75	USD	28,587.50	
05JUL17	B 2 PD05OCT17	5898.00	6468.75	USD	28,537.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
05JUL17	B 1 PD05OCT17	5894.50	6468.75	USD	14,356.25	
05JUL17	S 1 PD05OCT17	5897.50	6468.75	USD	-14,281.25	
05JUL17	B 3 PD05OCT17	5894.00	6468.75	USD	43,106.25	
05JUL17	B 1 PD05OCT17	5893.00	6468.75	USD	14,393.75	
05JUL17	B 9 PD05OCT17	5892.50	6468.75	USD	129,656.25	
05JUL17	B 1 PD05OCT17	5892.00	6468.75	USD	14,418.75	
05JUL17	B 5 PD05OCT17	5891.50	6468.75	USD	72,156.25	
05JUL17	B 3 PD05OCT17	5887.00	6468.75	USD	43,631.25	
05JUL17	S 1 PD05OCT17	5885.00	6468.75	USD	-14,593.75	
05JUL17	B 2 PD05OCT17	5890.00	6468.75	USD	28,937.50	
05JUL17	B 6 PD05OCT17	5890.50	6468.75	USD	86,737.50	
05JUL17	B 6 PD05OCT17	5891.00	6468.75	USD	86,662.50	
05JUL17	B 3 PD05OCT17	5887.50	6468.75	USD	43,593.75	
05JUL17	B 4 PD05OCT17	5889.00	6468.75	USD	57,975.00	
05JUL17	B 2 PD05OCT17	5888.00	6468.75	USD	29,037.50	
05JUL17	S 1 PD05OCT17	5888.00	6468.75	USD	-14,518.75	
05JUL17	B 1 PD05OCT17	5862.00	6468.75	USD	15,168.75	
05JUL17	B 1 PD05OCT17	5865.50	6468.75	USD	15,081.25	
05JUL17	B 1 PD05OCT17	5843.00	6468.75	USD	15,643.75	
05JUL17	S 1 PD05OCT17	5851.00	6468.75	USD	-15,443.75	
05JUL17	B 4 PD05OCT17	5840.50	6468.75	USD	62,825.00	
05JUL17	B 2 PD05OCT17	5842.00	6468.75	USD	31,337.50	
05JUL17	B 4 PD05OCT17	5841.00	6468.75	USD	62,775.00	
05JUL17	S 4 PD05OCT17	5836.50	6468.75	USD	-63,225.00	
05JUL17	S 3 PD05OCT17	5835.50	6468.75	USD	-47,493.75	
05JUL17	S 4 PD05OCT17	5836.00	6468.75	USD	-63,275.00	
05JUL17	S 2 PD05OCT17	5834.50	6468.75	USD	-31,712.50	
05JUL17	B 2 PD05OCT17	5830.50	6468.75	USD	31,912.50	
05JUL17	S 5 PD05OCT17	5830.50	6468.75	USD	-79,781.25	
05JUL17	S 1 PD05OCT17	5835.00	6468.75	USD	-15,843.75	
05JUL17	S 1 PD05OCT17	5844.50	6468.75	USD	-15,606.25	
05JUL17	B 1 PD05OCT17	5846.00	6468.75	USD	15,568.75	
05JUL17	B 1 PD05OCT17	5844.50	6468.75	USD	15,606.25	
05JUL17	B 1 PD05OCT17	5843.50	6468.75	USD	15,631.25	
05JUL17	B 1 PD05OCT17	5849.00	6468.75	USD	15,493.75	
05JUL17	B 1 PD05OCT17	5850.50	6468.75	USD	15,456.25	
05JUL17	B 1 PD05OCT17	5845.50	6468.75	USD	15,581.25	
05JUL17	B 3 PD05OCT17	5845.00	6468.75	USD	46,781.25	
05JUL17	B 3 PD05OCT17	5833.00	6468.75	USD	47,681.25	
05JUL17	B 2 PD05OCT17	5832.00	6468.75	USD	31,837.50	
05JUL17	B 8 PD05OCT17	5841.50	6468.75	USD	125,450.00	
05JUL17	B 4 PD05OCT17	5839.50	6468.75	USD	62,925.00	
05JUL17	B 2 PD05OCT17	5838.00	6468.75	USD	31,537.50	
05JUL17	B 1 PD05OCT17	5836.00	6468.75	USD	15,818.75	
05JUL17	B 2 PD05OCT17	5835.00	6468.75	USD	31,687.50	
05JUL17	B 3 PD05OCT17	5834.00	6468.75	USD	47,606.25	
05JUL17	B 1 PD05OCT17	5824.00	6468.75	USD	16,118.75	
05JUL17	S 1 PD05OCT17	5819.50	6468.75	USD	-16,231.25	
05JUL17	B 1 PD05OCT17	5817.50	6468.75	USD	16,281.25	
05JUL17	B 1 PD05OCT17	5818.00	6468.75	USD	16,268.75	
05JUL17	S 1 PD05OCT17	5826.50	6468.75	USD	-16,056.25	
05JUL17	S 2 PD05OCT17	5839.00	6468.75	USD	-31,487.50	
05JUL17	B 1 PD05OCT17	5842.00	6468.75	USD	15,668.75	
05JUL17	B 1 PD05OCT17	5841.50	6468.75	USD	15,681.25	
05JUL17	B 1 PD05OCT17	5835.00	6468.75	USD	15,843.75	
05JUL17	B 1 PD05OCT17	5843.00	6468.75	USD	15,643.75	
05JUL17	B 1 PD05OCT17	5841.00	6468.75	USD	15,693.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
05JUL17	B 5 PD05OCT17	5828.50	6468.75	USD	80,031.25	
05JUL17	B 1 PD05OCT17	5827.00	6468.75	USD	16,043.75	
05JUL17	B 1 PD05OCT17	5823.50	6468.75	USD	16,131.25	
05JUL17	B 2 PD05OCT17	5823.00	6468.75	USD	32,287.50	
05JUL17	B 3 PD05OCT17	5821.00	6468.75	USD	48,581.25	
05JUL17	B 1 PD05OCT17	5821.50	6468.75	USD	16,181.25	
05JUL17	B 1 PD05OCT17	5817.00	6468.75	USD	16,293.75	
05JUL17	B 1 PD05OCT17	5826.50	6468.75	USD	16,056.25	
05JUL17	B 2 PD05OCT17	5828.00	6468.75	USD	32,037.50	
05JUL17	B 1 PD05OCT17	5829.50	6468.75	USD	15,981.25	
05JUL17	B 4 PD05OCT17	5833.50	6468.75	USD	63,525.00	
05JUL17	B 2 PD05OCT17	5832.50	6468.75	USD	31,812.50	
05JUL17	B 1 PD05OCT17	5840.00	6468.75	USD	15,718.75	
05JUL17	B 2 PD05OCT17	5835.50	6468.75	USD	31,662.50	
05JUL17	B 2 PD05OCT17	5848.50	6468.75	USD	31,012.50	
17JUL17	S 2 PD05OCT17	5991.51	6468.75	USD	-23,862.00	
17JUL17	S 4 PD05OCT17	5991.71	6468.75	USD	-47,704.00	
19JUL17	S 31 PD05OCT17	5962.07	6468.75	USD	-392,677.00	
28JUL17	S 15 PD05OCT17	6318.80	6468.75	USD	-56,231.25	
03AUG17	S 2 PD05OCT17	6343.10	6468.75	USD	-6,282.50	
08AUG17	S 2 PD05OCT17	6467.98	6468.75	USD	-38.50	
08AUG17	S 6 PD05OCT17	6468.18	6468.75	USD	-85.50	
09AUG17	S 9 PD05OCT17	6442.43	6468.75	USD	-5,922.00	
10AUG17	S 7 PD05OCT17	6408.85	6468.75	USD	-10,482.50	
15AUG17	S 1 PD05OCT17	6363.43	6468.75	USD	-2,633.00	
15AUG17	S 9 PD05OCT17	6363.63	6468.75	USD	-23,652.00	
22AUG17	S 18 PD05OCT17	6563.75	6468.75	USD	42,750.00	
05SEP17	S 3 PD05OCT17	6877.00	6468.75	USD	30,618.75	
	NET 0 AVG BGHT 5856.77 SOLD 6195.54				**1,160,279.75**	**0.00**
06JUL17	S 2 PD06OCT17	5842.00	6470.02	USD	-31,401.00	
06JUL17	S 5 PD06OCT17	5842.50	6470.02	USD	-78,440.00	
06JUL17	S 2 PD06OCT17	5844.50	6470.02	USD	-31,276.00	
06JUL17	S 3 PD06OCT17	5845.00	6470.02	USD	-46,876.50	
06JUL17	S 1 PD06OCT17	5844.00	6470.02	USD	-15,650.50	
06JUL17	S 2 PD06OCT17	5843.00	6470.02	USD	-31,351.00	
06JUL17	S 4 PD06OCT17	5846.50	6470.02	USD	-62,352.00	
06JUL17	S 2 PD06OCT17	5850.00	6470.02	USD	-31,001.00	
06JUL17	S 4 PD06OCT17	5846.00	6470.02	USD	-62,402.00	
06JUL17	S 3 PD06OCT17	5843.50	6470.02	USD	-46,989.00	
06JUL17	S 1 PD06OCT17	5850.50	6470.02	USD	-15,488.00	
06JUL17	B 1 PD06OCT17	5839.00	6470.02	USD	15,775.50	
06JUL17	B 1 PD06OCT17	5843.50	6470.02	USD	15,663.00	
06JUL17	B 1 PD06OCT17	5840.50	6470.02	USD	15,738.00	
06JUL17	B 1 PD06OCT17	5839.50	6470.02	USD	15,763.00	
06JUL17	B 1 PD06OCT17	5834.50	6470.02	USD	15,888.00	
06JUL17	S 5 PD06OCT17	5841.50	6470.02	USD	-78,565.00	
06JUL17	S 2 PD06OCT17	5840.50	6470.02	USD	-31,476.00	
06JUL17	S 2 PD06OCT17	5848.50	6470.02	USD	-31,076.00	
06JUL17	B 2 PD06OCT17	5841.00	6470.02	USD	31,451.00	
06JUL17	S 4 PD06OCT17	5841.00	6470.02	USD	-62,902.00	
06JUL17	B 1 PD06OCT17	5835.00	6470.02	USD	15,875.50	
06JUL17	B 2 PD06OCT17	5838.00	6470.02	USD	31,601.00	
06JUL17	B 2 PD06OCT17	5837.50	6470.02	USD	31,626.00	
06JUL17	S 7 PD06OCT17	5849.00	6470.02	USD	-108,678.50	
06JUL17	S 1 PD06OCT17	5849.50	6470.02	USD	-15,513.00	
06JUL17	S 2 PD06OCT17	5855.00	6470.02	USD	-30,751.00	
06JUL17	S 1 PD06OCT17	5851.00	6470.02	USD	-15,475.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
06JUL17	S 1 PD06OCT17	5852.50	6470.02	USD	-15,438.00	
06JUL17	S 6 PD06OCT17	5847.50	6470.02	USD	-93,378.00	
06JUL17	S 2 PD06OCT17	5854.00	6470.02	USD	-30,801.00	
07JUL17	S 2 PD06OCT17	5836.00	6470.02	USD	-31,701.00	
07JUL17	S 1 PD06OCT17	5837.50	6470.02	USD	-15,813.00	
07JUL17	S 2 PD06OCT17	5839.50	6470.02	USD	-31,526.00	
07JUL17	B 1 PD06OCT17	5861.00	6470.02	USD	15,225.50	
07JUL17	S 1 PD06OCT17	5861.50	6470.02	USD	-15,213.00	
07JUL17	B 3 PD06OCT17	5866.00	6470.02	USD	45,301.50	
07JUL17	B 2 PD06OCT17	5815.00	6470.02	USD	32,751.00	
07JUL17	B 1 PD06OCT17	5814.00	6470.02	USD	16,400.50	
07JUL17	B 1 PD06OCT17	5825.00	6470.02	USD	16,125.50	
07JUL17	S 1 PD06OCT17	5832.00	6470.02	USD	-15,950.50	
07JUL17	B 1 PD06OCT17	5839.50	6470.02	USD	15,763.00	
07JUL17	B 1 PD06OCT17	5840.50	6470.02	USD	15,738.00	
07JUL17	B 3 PD06OCT17	5834.00	6470.02	USD	47,701.50	
07JUL17	S 5 PD06OCT17	5837.00	6470.02	USD	-79,127.50	
07JUL17	S 1 PD06OCT17	5843.50	6470.02	USD	-15,663.00	
07JUL17	S 2 PD06OCT17	5843.00	6470.02	USD	-31,351.00	
07JUL17	S 3 PD06OCT17	5842.50	6470.02	USD	-47,064.00	
07JUL17	S 1 PD06OCT17	5842.00	6470.02	USD	-15,700.50	
07JUL17	B 1 PD06OCT17	5838.50	6470.02	USD	15,788.00	
07JUL17	B 1 PD06OCT17	5837.50	6470.02	USD	15,813.00	
07JUL17	B 4 PD06OCT17	5833.50	6470.02	USD	63,652.00	
07JUL17	B 1 PD06OCT17	5836.00	6470.02	USD	15,850.50	
07JUL17	B 5 PD06OCT17	5834.50	6470.02	USD	79,440.00	
07JUL17	B 1 PD06OCT17	5831.00	6470.02	USD	15,975.50	
07JUL17	B 1 PD06OCT17	5827.50	6470.02	USD	16,063.00	
07JUL17	B 1 PD06OCT17	5829.50	6470.02	USD	16,013.00	
07JUL17	B 2 PD06OCT17	5823.00	6470.02	USD	32,351.00	
07JUL17	B 1 PD06OCT17	5822.50	6470.02	USD	16,188.00	
07JUL17	S 2 PD06OCT17	5834.00	6470.02	USD	-31,801.00	
07JUL17	S 3 PD06OCT17	5834.50	6470.02	USD	-47,664.00	
07JUL17	S 5 PD06OCT17	5835.00	6470.02	USD	-79,377.50	
07JUL17	S 2 PD06OCT17	5829.50	6470.02	USD	-32,026.00	
07JUL17	B 1 PD06OCT17	5838.00	6470.02	USD	15,800.50	
07JUL17	S 3 PD06OCT17	5829.00	6470.02	USD	-48,076.50	
07JUL17	S 1 PD06OCT17	5827.50	6470.02	USD	-16,063.00	
07JUL17	S 2 PD06OCT17	5825.00	6470.02	USD	-32,251.00	
07JUL17	S 2 PD06OCT17	5828.50	6470.02	USD	-32,076.00	
07JUL17	S 2 PD06OCT17	5830.50	6470.02	USD	-31,976.00	
07JUL17	S 1 PD06OCT17	5831.00	6470.02	USD	-15,975.50	
07JUL17	S 2 PD06OCT17	5828.00	6470.02	USD	-32,101.00	
07JUL17	S 1 PD06OCT17	5830.00	6470.02	USD	-16,000.50	
17JUL17	B 2 PD06OCT17	5992.27	6470.02	USD	23,887.50	
19JUL17	S 1 PD06OCT17	5962.55	6470.02	USD	-12,686.75	
27JUL17	B 2 PD06OCT17	6325.45	6470.02	USD	7,228.50	
27JUL17	B 20 PD06OCT17	6325.25	6470.02	USD	72,385.00	
28JUL17	B 9 PD06OCT17	6319.70	6470.02	USD	33,822.00	
03AUG17	B 10 PD06OCT17	6343.85	6470.02	USD	31,542.50	
08AUG17	B 6 PD06OCT17	6469.02	6470.02	USD	150.00	
09AUG17	B 5 PD06OCT17	6443.27	6470.02	USD	3,343.75	
15AUG17	B 5 PD06OCT17	6364.34	6470.02	USD	13,210.00	
05SEP17	B 4 PD06OCT17	6877.66	6470.02	USD	-40,764.00	
06SEP17	B 1 PD06OCT17	6878.87	6470.02	USD	-10,221.25	
	NET 0 AVG BGHT 6161.91 SOLD 5842.44				**-862,559.25**	**0.00**
10JUL17	S 3 PD10OCT17	5846.00	6475.10	USD	-47,182.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
10JUL17	S 1 PD10OCT17	5845.50	6475.10	USD	-15,740.00	
10JUL17	S 1 PD10OCT17	5846.50	6475.10	USD	-15,715.00	
10JUL17	S 2 PD10OCT17	5845.00	6475.10	USD	-31,505.00	
10JUL17	S 2 PD10OCT17	5826.00	6475.10	USD	-32,455.00	
10JUL17	S 1 PD10OCT17	5827.00	6475.10	USD	-16,202.50	
10JUL17	S 1 PD10OCT17	5839.00	6475.10	USD	-15,902.50	
10JUL17	S 1 PD10OCT17	5831.00	6475.10	USD	-16,102.50	
10JUL17	B 1 PD10OCT17	5831.00	6475.10	USD	16,102.50	
10JUL17	B 2 PD10OCT17	5801.00	6475.10	USD	33,705.00	
10JUL17	B 1 PD10OCT17	5803.00	6475.10	USD	16,802.50	
10JUL17	S 2 PD10OCT17	5803.50	6475.10	USD	-33,580.00	
10JUL17	S 1 PD10OCT17	5804.00	6475.10	USD	-16,777.50	
10JUL17	B 1 PD10OCT17	5793.00	6475.10	USD	17,052.50	
10JUL17	S 2 PD10OCT17	5805.00	6475.10	USD	-33,505.00	
10JUL17	S 1 PD10OCT17	5807.00	6475.10	USD	-16,702.50	
10JUL17	S 1 PD10OCT17	5808.00	6475.10	USD	-16,677.50	
10JUL17	S 3 PD10OCT17	5811.00	6475.10	USD	-49,807.50	
10JUL17	S 1 PD10OCT17	5812.00	6475.10	USD	-16,577.50	
10JUL17	S 3 PD10OCT17	5810.00	6475.10	USD	-49,882.50	
10JUL17	S 1 PD10OCT17	5817.00	6475.10	USD	-16,452.50	
10JUL17	S 3 PD10OCT17	5818.00	6475.10	USD	-49,282.50	
10JUL17	B 1 PD10OCT17	5803.50	6475.10	USD	16,790.00	
17JUL17	B 2 PD10OCT17	5993.70	6475.10	USD	24,070.00	
19JUL17	B 4 PD10OCT17	5964.42	6475.10	USD	51,068.00	
28JUL17	B 4 PD10OCT17	6321.50	6475.10	USD	15,360.00	
28JUL17	B 2 PD10OCT17	6321.70	6475.10	USD	7,670.00	
07AUG17	B 1 PD10OCT17	6405.60	6475.10	USD	1,737.50	
09AUG17	B 2 PD10OCT17	6445.80	6475.10	USD	1,465.00	
10AUG17	B 5 PD10OCT17	6412.03	6475.10	USD	7,883.75	
15AUG17	B 4 PD10OCT17	6366.38	6475.10	USD	10,872.00	
	NET 0 AVG BGHT 6181.00 SOLD 5821.70				**-269,471.25**	**0.00**
11JUL17	S 1 PD11OCT17	5844.50	6476.37	USD	-15,796.75	
11JUL17	S 1 PD11OCT17	5822.50	6476.37	USD	-16,346.75	
11JUL17	S 1 PD11OCT17	5832.50	6476.37	USD	-16,096.75	
11JUL17	B 1 PD11OCT17	5830.00	6476.37	USD	16,159.25	
11JUL17	S 1 PD11OCT17	5825.00	6476.37	USD	-16,284.25	
11JUL17	S 2 PD11OCT17	5851.00	6476.37	USD	-31,268.50	
11JUL17	S 1 PD11OCT17	5852.00	6476.37	USD	-15,609.25	
11JUL17	S 2 PD11OCT17	5852.50	6476.37	USD	-31,193.50	
11JUL17	B 1 PD11OCT17	5821.50	6476.37	USD	16,371.75	
11JUL17	B 1 PD11OCT17	5824.50	6476.37	USD	16,296.75	
11JUL17	B 1 PD11OCT17	5825.00	6476.37	USD	16,284.25	
11JUL17	B 1 PD11OCT17	5828.00	6476.37	USD	16,209.25	
11JUL17	B 2 PD11OCT17	5829.50	6476.37	USD	32,343.50	
11JUL17	S 2 PD11OCT17	5828.50	6476.37	USD	-32,393.50	
11JUL17	S 1 PD11OCT17	5829.00	6476.37	USD	-16,184.25	
11JUL17	B 2 PD11OCT17	5826.50	6476.37	USD	32,493.50	
11JUL17	B 1 PD11OCT17	5835.00	6476.37	USD	16,034.25	
11JUL17	B 1 PD11OCT17	5834.50	6476.37	USD	16,046.75	
11JUL17	B 1 PD11OCT17	5832.50	6476.37	USD	16,096.75	
11JUL17	B 1 PD11OCT17	5833.50	6476.37	USD	16,071.75	
11JUL17	B 1 PD11OCT17	5839.50	6476.37	USD	15,921.75	
11JUL17	B 2 PD11OCT17	5834.00	6476.37	USD	32,118.50	
11JUL17	S 1 PD11OCT17	5861.00	6476.37	USD	-15,384.25	
11JUL17	S 2 PD11OCT17	5864.00	6476.37	USD	-30,618.50	
11JUL17	S 1 PD11OCT17	5863.50	6476.37	USD	-15,321.75	
11JUL17	S 1 PD11OCT17	5865.50	6476.37	USD	-15,271.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
11JUL17	S 1 PD11OCT17	5866.00	6476.37	USD	-15,259.25	
11JUL17	S 1 PD11OCT17	5867.50	6476.37	USD	-15,221.75	
11JUL17	B 1 PD11OCT17	5868.00	6476.37	USD	15,209.25	
11JUL17	S 1 PD11OCT17	5877.50	6476.37	USD	-14,971.75	
11JUL17	S 1 PD11OCT17	5878.00	6476.37	USD	-14,959.25	
11JUL17	B 1 PD11OCT17	5882.00	6476.37	USD	14,859.25	
11JUL17	B 1 PD11OCT17	5875.50	6476.37	USD	15,021.75	
17JUL17	S 1 PD11OCT17	5993.86	6476.37	USD	-12,062.75	
19JUL17	S 1 PD11OCT17	5964.63	6476.37	USD	-12,793.50	
28JUL17	B 1 PD11OCT17	6322.20	6476.37	USD	3,854.25	
09AUG17	B 2 PD11OCT17	6446.43	6476.37	USD	1,497.00	
10AUG17	B 1 PD11OCT17	6412.62	6476.37	USD	1,593.75	
	NET 0 AVG BGHT 5936.40 SOLD 5862.39				**-42,554.75**	**0.00**
12JUL17	B 2 PD12OCT17	5888.00	6477.63	USD	29,481.50	
12JUL17	B 1 PD12OCT17	5892.50	6477.63	USD	14,628.25	
12JUL17	B 1 PD12OCT17	5893.50	6477.63	USD	14,603.25	
12JUL17	B 1 PD12OCT17	5891.50	6477.63	USD	14,653.25	
12JUL17	S 1 PD12OCT17	5899.00	6477.63	USD	-14,465.75	
12JUL17	S 1 PD12OCT17	5897.00	6477.63	USD	-14,515.75	
12JUL17	S 3 PD12OCT17	5900.00	6477.63	USD	-43,322.25	
12JUL17	B 1 PD12OCT17	5898.00	6477.63	USD	14,490.75	
12JUL17	B 1 PD12OCT17	5897.00	6477.63	USD	14,515.75	
12JUL17	S 1 PD12OCT17	5906.00	6477.63	USD	-14,290.75	
12JUL17	S 1 PD12OCT17	5914.00	6477.63	USD	-14,090.75	
12JUL17	S 6 PD12OCT17	5899.50	6477.63	USD	-86,719.50	
12JUL17	S 1 PD12OCT17	5896.00	6477.63	USD	-14,540.75	
12JUL17	S 7 PD12OCT17	5897.50	6477.63	USD	-101,522.75	
12JUL17	S 1 PD12OCT17	5898.00	6477.63	USD	-14,490.75	
12JUL17	S 2 PD12OCT17	5918.00	6477.63	USD	-27,981.50	
12JUL17	B 1 PD12OCT17	5904.50	6477.63	USD	14,328.25	
12JUL17	B 1 PD12OCT17	5905.00	6477.63	USD	14,315.75	
12JUL17	S 3 PD12OCT17	5910.50	6477.63	USD	-42,534.75	
12JUL17	S 1 PD12OCT17	5918.50	6477.63	USD	-13,978.25	
12JUL17	S 2 PD12OCT17	5916.00	6477.63	USD	-28,081.50	
12JUL17	B 2 PD12OCT17	5921.00	6477.63	USD	27,831.50	
12JUL17	B 1 PD12OCT17	5920.00	6477.63	USD	13,940.75	
12JUL17	B 1 PD12OCT17	5919.50	6477.63	USD	13,953.25	
12JUL17	S 1 PD12OCT17	5919.50	6477.63	USD	-13,953.25	
12JUL17	S 1 PD12OCT17	5919.00	6477.63	USD	-13,965.75	
12JUL17	S 1 PD12OCT17	5920.00	6477.63	USD	-13,940.75	
12JUL17	B 1 PD12OCT17	5916.50	6477.63	USD	14,028.25	
12JUL17	B 1 PD12OCT17	5917.00	6477.63	USD	14,015.75	
12JUL17	B 2 PD12OCT17	5917.50	6477.63	USD	28,006.50	
12JUL17	B 1 PD12OCT17	5915.00	6477.63	USD	14,065.75	
12JUL17	B 1 PD12OCT17	5915.50	6477.63	USD	14,053.25	
12JUL17	S 1 PD12OCT17	5925.00	6477.63	USD	-13,815.75	
12JUL17	S 2 PD12OCT17	5925.50	6477.63	USD	-27,606.50	
12JUL17	S 1 PD12OCT17	5926.50	6477.63	USD	-13,778.25	
12JUL17	S 1 PD12OCT17	5927.50	6477.63	USD	-13,753.25	
12JUL17	S 1 PD12OCT17	5930.00	6477.63	USD	-13,690.75	
12JUL17	S 1 PD12OCT17	5909.00	6477.63	USD	-14,215.75	
12JUL17	B 1 PD12OCT17	5899.50	6477.63	USD	14,453.25	
12JUL17	S 2 PD12OCT17	5919.50	6477.63	USD	-27,906.50	
12JUL17	B 1 PD12OCT17	5903.00	6477.63	USD	14,365.75	
12JUL17	S 1 PD12OCT17	5903.00	6477.63	USD	-14,365.75	
12JUL17	B 1 PD12OCT17	5905.20	6477.63	USD	14,310.75	
17JUL17	B 1 PD12OCT17	5994.41	6477.63	USD	12,080.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
17JUL17	B 3 PD12OCT17	5994.21	6477.63	USD	36,256.50	
03AUG17	B 2 PD12OCT17	6347.15	6477.63	USD	6,524.00	
09AUG17	B 2 PD12OCT17	6446.87	6477.63	USD	1,538.00	
10AUG17	B 1 PD12OCT17	6413.22	6477.63	USD	1,610.25	
10AUG17	B 2 PD12OCT17	6413.02	6477.63	USD	3,230.50	
15AUG17	B 5 PD12OCT17	6367.20	6477.63	USD	13,803.75	
14SEP17	B 5 PD12OCT17	6469.89	6477.63	USD	967.50	
	NET 0 AVG BGHT 6114.79 SOLD 5908.77				**-221,474.75**	**0.00**
13JUL17	B 1 PD13OCT17	5904.50	6478.90	USD	14,360.00	
13JUL17	B 2 PD13OCT17	5912.50	6478.90	USD	28,320.00	
13JUL17	S 1 PD13OCT17	5925.00	6478.90	USD	-13,847.50	
13JUL17	B 1 PD13OCT17	5921.50	6478.90	USD	13,935.00	
13JUL17	S 1 PD13OCT17	5925.50	6478.90	USD	-13,835.00	
13JUL17	S 1 PD13OCT17	5922.50	6478.90	USD	-13,910.00	
13JUL17	B 1 PD13OCT17	5899.00	6478.90	USD	14,497.50	
13JUL17	B 1 PD13OCT17	5887.50	6478.90	USD	14,785.00	
13JUL17	B 1 PD13OCT17	5883.00	6478.90	USD	14,897.50	
13JUL17	B 1 PD13OCT17	5882.50	6478.90	USD	14,910.00	
13JUL17	B 1 PD13OCT17	5882.00	6478.90	USD	14,922.50	
13JUL17	B 1 PD13OCT17	5887.00	6478.90	USD	14,797.50	
13JUL17	B 2 PD13OCT17	5885.00	6478.90	USD	29,695.00	
13JUL17	B 4 PD13OCT17	5880.00	6478.90	USD	59,890.00	
13JUL17	B 6 PD13OCT17	5878.50	6478.90	USD	90,060.00	
13JUL17	B 1 PD13OCT17	5877.50	6478.90	USD	15,035.00	
13JUL17	B 2 PD13OCT17	5876.00	6478.90	USD	30,145.00	
13JUL17	B 1 PD13OCT17	5876.50	6478.90	USD	15,060.00	
13JUL17	B 5 PD13OCT17	5875.50	6478.90	USD	75,425.00	
13JUL17	B 1 PD13OCT17	5878.00	6478.90	USD	15,022.50	
13JUL17	B 1 PD13OCT17	5875.00	6478.90	USD	15,097.50	
13JUL17	B 1 PD13OCT17	5871.50	6478.90	USD	15,185.00	
13JUL17	B 2 PD13OCT17	5869.50	6478.90	USD	30,470.00	
13JUL17	B 1 PD13OCT17	5869.00	6478.90	USD	15,247.50	
13JUL17	B 2 PD13OCT17	5861.50	6478.90	USD	30,870.00	
13JUL17	B 1 PD13OCT17	5863.50	6478.90	USD	15,385.00	
14JUL17	S 1 PD13OCT17	5880.00	6478.90	USD	-14,972.50	
14JUL17	B 1 PD13OCT17	5881.00	6478.90	USD	14,947.50	
14JUL17	B 2 PD13OCT17	5882.00	6478.90	USD	29,845.00	
14JUL17	B 1 PD13OCT17	5881.50	6478.90	USD	14,935.00	
14JUL17	B 4 PD13OCT17	5881.50	6478.90	USD	59,740.00	
14JUL17	B 1 PD13OCT17	5880.00	6478.90	USD	14,972.50	
14JUL17	B 2 PD13OCT17	5883.00	6478.90	USD	29,795.00	
14JUL17	B 1 PD13OCT17	5883.50	6478.90	USD	14,885.00	
14JUL17	B 1 PD13OCT17	5887.50	6478.90	USD	14,785.00	
14JUL17	B 2 PD13OCT17	5887.00	6478.90	USD	29,595.00	
14JUL17	S 1 PD13OCT17	5886.50	6478.90	USD	-14,810.00	
14JUL17	B 1 PD13OCT17	5886.00	6478.90	USD	14,822.50	
14JUL17	S 1 PD13OCT17	5888.00	6478.90	USD	-14,772.50	
14JUL17	B 1 PD13OCT17	5893.00	6478.90	USD	14,647.50	
14JUL17	B 2 PD13OCT17	5900.00	6478.90	USD	28,945.00	
14JUL17	B 1 PD13OCT17	5899.50	6478.90	USD	14,485.00	
14JUL17	B 1 PD13OCT17	5896.00	6478.90	USD	14,572.50	
14JUL17	S 1 PD13OCT17	5913.50	6478.90	USD	-14,135.00	
14JUL17	S 1 PD13OCT17	5914.50	6478.90	USD	-14,110.00	
14JUL17	S 1 PD13OCT17	5915.00	6478.90	USD	-14,097.50	
14JUL17	B 1 PD13OCT17	5915.50	6478.90	USD	14,085.00	
14JUL17	S 1 PD13OCT17	5918.50	6478.90	USD	-14,010.00	
14JUL17	S 1 PD13OCT17	5916.00	6478.90	USD	-14,072.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
14JUL17	S 3 PD13OCT17	5917.00	6478.90	USD	-42,142.50	
14JUL17	S 1 PD13OCT17	5918.00	6478.90	USD	-14,022.50	
14JUL17	S 1 PD13OCT17	5926.50	6478.90	USD	-13,810.00	
14JUL17	S 1 PD13OCT17	5927.00	6478.90	USD	-13,797.50	
14JUL17	S 2 PD13OCT17	5931.50	6478.90	USD	-27,370.00	
14JUL17	S 2 PD13OCT17	5929.00	6478.90	USD	-27,495.00	
14JUL17	S 1 PD13OCT17	5928.50	6478.90	USD	-13,760.00	
14JUL17	S 1 PD13OCT17	5927.50	6478.90	USD	-13,785.00	
14JUL17	S 1 PD13OCT17	5924.00	6478.90	USD	-13,872.50	
14JUL17	S 1 PD13OCT17	5929.00	6478.90	USD	-13,747.50	
17JUL17	S 3 PD13OCT17	5994.37	6478.90	USD	-36,339.75	
19JUL17	S 3 PD13OCT17	5965.47	6478.90	USD	-38,507.25	
27JUL17	S 17 PD13OCT17	6328.19	6478.90	USD	-64,051.75	
09AUG17	S 2 PD13OCT17	6447.30	6478.90	USD	-1,580.00	
09AUG17	S 1 PD13OCT17	6447.50	6478.90	USD	-785.00	
10AUG17	S 2 PD13OCT17	6413.42	6478.90	USD	-3,274.00	
10AUG17	S 7 PD13OCT17	6413.62	6478.90	USD	-11,424.00	
15AUG17	S 2 PD13OCT17	6367.51	6478.90	USD	-5,569.50	
	NET 0 AVG BGHT 5883.37 SOLD 6148.64				**411,163.75**	**0.00**
17JUL17	B 1 PD17OCT17	5959.50	6483.98	USD	13,112.00	
17JUL17	S 1 PD17OCT17	5918.00	6483.98	USD	-14,149.50	
17JUL17	B 2 PD17OCT17	5950.00	6483.98	USD	26,699.00	
17JUL17	B 1 PD17OCT17	5921.00	6483.98	USD	14,074.50	
17JUL17	B 1 PD17OCT17	5948.50	6483.98	USD	13,387.00	
17JUL17	B 1 PD17OCT17	5949.50	6483.98	USD	13,362.00	
17JUL17	B 1 PD17OCT17	5951.00	6483.98	USD	13,324.50	
17JUL17	B 2 PD17OCT17	5918.00	6483.98	USD	28,299.00	
17JUL17	B 1 PD17OCT17	5960.00	6483.98	USD	13,099.50	
17JUL17	S 1 PD17OCT17	5922.00	6483.98	USD	-14,049.50	
17JUL17	S 1 PD17OCT17	5982.00	6483.98	USD	-12,549.50	
17JUL17	S 5 PD17OCT17	5981.00	6483.98	USD	-62,872.50	
17JUL17	B 1 PD17OCT17	5974.00	6483.98	USD	12,749.50	
17JUL17	S 1 PD17OCT17	5922.50	6483.98	USD	-14,037.00	
17JUL17	S 1 PD17OCT17	5925.00	6483.98	USD	-13,974.50	
17JUL17	S 2 PD17OCT17	5913.00	6483.98	USD	-28,549.00	
17JUL17	S 2 PD17OCT17	5923.50	6483.98	USD	-28,024.00	
17JUL17	S 1 PD17OCT17	5920.00	6483.98	USD	-14,099.50	
17JUL17	B 2 PD17OCT17	5950.50	6483.98	USD	26,674.00	
17JUL17	S 1 PD17OCT17	5980.00	6483.98	USD	-12,599.50	
17JUL17	S 2 PD17OCT17	5980.50	6483.98	USD	-25,174.00	
17JUL17	S 1 PD17OCT17	5983.00	6483.98	USD	-12,524.50	
17JUL17	S 1 PD17OCT17	5982.50	6483.98	USD	-12,537.00	
17JUL17	S 1 PD17OCT17	5977.00	6483.98	USD	-12,674.50	
17JUL17	S 3 PD17OCT17	5981.50	6483.98	USD	-37,686.00	
17JUL17	S 2 PD17OCT17	5985.00	6483.98	USD	-24,949.00	
17JUL17	S 1 PD17OCT17	5985.50	6483.98	USD	-12,462.00	
17JUL17	B 1 PD17OCT17	6006.00	6483.98	USD	11,949.50	
17JUL17	B 2 PD17OCT17	6004.00	6483.98	USD	23,999.00	
17JUL17	S 1 PD17OCT17	6010.50	6483.98	USD	-11,837.00	
17JUL17	S 2 PD17OCT17	6009.50	6483.98	USD	-23,724.00	
17JUL17	S 1 PD17OCT17	6010.00	6483.98	USD	-11,849.50	
17JUL17	S 2 PD17OCT17	6011.50	6483.98	USD	-23,624.00	
17JUL17	S 1 PD17OCT17	6012.00	6483.98	USD	-11,799.50	
17JUL17	S 4 PD17OCT17	6013.00	6483.98	USD	-47,098.00	
17JUL17	S 1 PD17OCT17	6019.00	6483.98	USD	-11,624.50	
17JUL17	S 1 PD17OCT17	6016.00	6483.98	USD	-11,699.50	
17JUL17	S 2 PD17OCT17	6016.50	6483.98	USD	-23,374.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT							
17JUL17	S	2	PD17OCT17	6018.00	6483.98	USD	-23,299.00	
17JUL17	S	2	PD17OCT17	6015.50	6483.98	USD	-23,424.00	
17JUL17	S	1	PD17OCT17	6007.50	6483.98	USD	-11,912.00	
17JUL17	S	1	PD17OCT17	6001.00	6483.98	USD	-12,074.50	
17JUL17	S	1	PD17OCT17	6003.00	6483.98	USD	-12,024.50	
17JUL17	S	1	PD17OCT17	6004.50	6483.98	USD	-11,987.00	
17JUL17	B	1	PD17OCT17	6001.50	6483.98	USD	12,062.00	
28JUL17	B	2	PD17OCT17	6324.14	6483.98	USD	7,992.00	
03AUG17	B	12	PD17OCT17	6349.20	6483.98	USD	40,434.00	
07AUG17	B	3	PD17OCT17	6408.50	6483.98	USD	5,661.00	
09AUG17	B	1	PD17OCT17	6448.95	6483.98	USD	875.75	
09AUG17	B	1	PD17OCT17	6448.75	6483.98	USD	880.75	
10AUG17	B	7	PD17OCT17	6416.20	6483.98	USD	11,861.50	
10AUG17	B	7	PD17OCT17	6416.00	6483.98	USD	11,896.50	
	NET	**0**	**AVG BGHT 6242.07**	**SOLD 5984.57**			**-321,869.50**	**0.00**
18JUL17	S	1	PD18OCT17	6002.50	6485.25	USD	-12,068.75	
18JUL17	B	1	PD18OCT17	5997.50	6485.25	USD	12,193.75	
18JUL17	S	1	PD18OCT17	6010.00	6485.25	USD	-11,881.25	
18JUL17	B	1	PD18OCT17	6013.00	6485.25	USD	11,806.25	
18JUL17	S	2	PD18OCT17	5997.00	6485.25	USD	-24,412.50	
18JUL17	B	2	PD18OCT17	5981.00	6485.25	USD	25,212.50	
18JUL17	S	1	PD18OCT17	5987.50	6485.25	USD	-12,443.75	
18JUL17	S	3	PD18OCT17	5996.50	6485.25	USD	-36,656.25	
18JUL17	B	1	PD18OCT17	5991.00	6485.25	USD	12,356.25	
18JUL17	B	1	PD18OCT17	5991.50	6485.25	USD	12,343.75	
18JUL17	B	1	PD18OCT17	5994.50	6485.25	USD	12,268.75	
18JUL17	B	1	PD18OCT17	5996.00	6485.25	USD	12,231.25	
18JUL17	B	1	PD18OCT17	5995.00	6485.25	USD	12,256.25	
18JUL17	B	1	PD18OCT17	5994.00	6485.25	USD	12,281.25	
18JUL17	B	1	PD18OCT17	6002.00	6485.25	USD	12,081.25	
18JUL17	S	1	PD18OCT17	6003.50	6485.25	USD	-12,043.75	
18JUL17	S	1	PD18OCT17	5998.00	6485.25	USD	-12,181.25	
18JUL17	S	1	PD18OCT17	6003.00	6485.25	USD	-12,056.25	
18JUL17	B	1	PD18OCT17	6004.00	6485.25	USD	12,031.25	
18JUL17	B	1	PD18OCT17	5993.50	6485.25	USD	12,293.75	
18JUL17	S	1	PD18OCT17	6011.50	6485.25	USD	-11,843.75	
18JUL17	S	1	PD18OCT17	6007.00	6485.25	USD	-11,956.25	
18JUL17	S	1	PD18OCT17	6009.00	6485.25	USD	-11,906.25	
28JUL17	B	2	PD18OCT17	6324.50	6485.25	USD	8,037.50	
10AUG17	B	1	PD18OCT17	6416.25	6485.25	USD	1,725.00	
10AUG17	S	1	PD18OCT17	6416.05	6485.25	USD	-1,730.00	
15AUG17	S	1	PD18OCT17	6369.80	6485.25	USD	-2,886.25	
	NET	**0**	**AVG BGHT 6062.45**	**SOLD 6050.08**			**-4,947.50**	**0.00**
19JUL17	S	3	PD19OCT17	5998.50	6486.00	USD	-36,562.50	
19JUL17	S	1	PD19OCT17	5999.00	6486.00	USD	-12,175.00	
19JUL17	S	4	PD19OCT17	5999.50	6486.00	USD	-48,650.00	
19JUL17	S	1	PD19OCT17	6000.00	6486.00	USD	-12,150.00	
19JUL17	S	1	PD19OCT17	6001.00	6486.00	USD	-12,125.00	
19JUL17	S	1	PD19OCT17	6001.50	6486.00	USD	-12,112.50	
19JUL17	B	1	PD19OCT17	5995.00	6486.00	USD	12,275.00	
19JUL17	S	2	PD19OCT17	5994.00	6486.00	USD	-24,600.00	
19JUL17	B	1	PD19OCT17	6008.00	6486.00	USD	11,950.00	
19JUL17	S	1	PD19OCT17	6014.50	6486.00	USD	-11,787.50	
19JUL17	S	1	PD19OCT17	6018.00	6486.00	USD	-11,700.00	
19JUL17	B	1	PD19OCT17	6016.00	6486.00	USD	11,750.00	
19JUL17	B	1	PD19OCT17	6007.50	6486.00	USD	11,962.50	
19JUL17	B	1	PD19OCT17	6003.00	6486.00	USD	12,075.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
19JUL17	B 1 PD19OCT17	6001.00	6486.00	USD	12,125.00	
19JUL17	B 1 PD19OCT17	5995.50	6486.00	USD	12,262.50	
19JUL17	S 1 PD19OCT17	6008.00	6486.00	USD	-11,950.00	
19JUL17	S 1 PD19OCT17	6007.50	6486.00	USD	-11,962.50	
19JUL17	S 1 PD19OCT17	6008.50	6486.00	USD	-11,937.50	
19JUL17	B 2 PD19OCT17	6005.00	6486.00	USD	24,050.00	
19JUL17	B 1 PD19OCT17	5997.00	6486.00	USD	12,225.00	
19JUL17	B 2 PD19OCT17	5996.50	6486.00	USD	24,475.00	
19JUL17	B 2 PD19OCT17	6000.00	6486.00	USD	24,300.00	
19JUL17	B 2 PD19OCT17	5999.50	6486.00	USD	24,325.00	
19JUL17	B 1 PD19OCT17	5992.50	6486.00	USD	12,337.50	
19JUL17	B 1 PD19OCT17	5994.00	6486.00	USD	12,300.00	
19JUL17	B 2 PD19OCT17	5993.50	6486.00	USD	24,625.00	
19JUL17	B 2 PD19OCT17	5991.50	6486.00	USD	24,725.00	
19JUL17	B 1 PD19OCT17	5993.00	6486.00	USD	12,325.00	
19JUL17	B 1 PD19OCT17	5998.50	6486.00	USD	12,187.50	
19JUL17	B 1 PD19OCT17	5997.50	6486.00	USD	12,212.50	
19JUL17	B 1 PD19OCT17	5992.00	6486.00	USD	12,350.00	
19JUL17	B 2 PD19OCT17	5991.00	6486.00	USD	24,750.00	
19JUL17	B 3 PD19OCT17	5989.50	6486.00	USD	37,237.50	
19JUL17	B 1 PD19OCT17	5975.00	6486.00	USD	12,775.00	
19JUL17	B 2 PD19OCT17	5978.50	6486.00	USD	25,375.00	
19JUL17	B 1 PD19OCT17	5977.50	6486.00	USD	12,712.50	
19JUL17	S 3 PD19OCT17	6008.00	6486.00	USD	-35,850.00	
19JUL17	B 2 PD19OCT17	5973.50	6486.00	USD	25,625.00	
19JUL17	B 2 PD19OCT17	5972.00	6486.00	USD	25,700.00	
19JUL17	B 1 PD19OCT17	5971.50	6486.00	USD	12,862.50	
19JUL17	S 1 PD19OCT17	5974.50	6486.00	USD	-12,787.50	
19JUL17	S 1 PD19OCT17	5975.00	6486.00	USD	-12,775.00	
27JUL17	S 1 PD19OCT17	6329.00	6486.00	USD	-3,925.00	
28JUL17	S 8 PD19OCT17	6323.30	6486.00	USD	-32,540.00	
03AUG17	B 1 PD19OCT17	6348.20	6486.00	USD	3,445.00	
09AUG17	S 1 PD19OCT17	6447.80	6486.00	USD	-955.00	
10AUG17	S 5 PD19OCT17	6415.05	6486.00	USD	-8,868.75	
15AUG17	S 2 PD19OCT17	6368.80	6486.00	USD	-5,860.00	
06SEP17	S 1 PD19OCT17	6883.80	6486.00	USD	9,945.00	
	NET 0 AVG BGHT 6000.81 SOLD 6172.51				**175,991.25**	**0.00**
20JUL17	B 1 PD20OCT17	5974.00	6486.75	USD	12,818.75	
20JUL17	B 1 PD20OCT17	5974.50	6486.75	USD	12,806.25	
20JUL17	B 5 PD20OCT17	5968.50	6486.75	USD	64,781.25	
20JUL17	B 1 PD20OCT17	5967.50	6486.75	USD	12,981.25	
20JUL17	B 1 PD20OCT17	5977.50	6486.75	USD	12,731.25	
20JUL17	B 1 PD20OCT17	5978.50	6486.75	USD	12,706.25	
20JUL17	B 1 PD20OCT17	5979.00	6486.75	USD	12,693.75	
20JUL17	B 4 PD20OCT17	5985.00	6486.75	USD	50,175.00	
20JUL17	B 1 PD20OCT17	5986.00	6486.75	USD	12,518.75	
20JUL17	S 1 PD20OCT17	5985.50	6486.75	USD	-12,531.25	
20JUL17	S 1 PD20OCT17	5986.00	6486.75	USD	-12,518.75	
20JUL17	S 1 PD20OCT17	5974.00	6486.75	USD	-12,818.75	
20JUL17	B 1 PD20OCT17	5975.50	6486.75	USD	12,781.25	
20JUL17	B 4 PD20OCT17	5981.00	6486.75	USD	50,575.00	
20JUL17	B 2 PD20OCT17	5987.00	6486.75	USD	24,987.50	
20JUL17	S 1 PD20OCT17	5989.00	6486.75	USD	-12,443.75	
20JUL17	B 1 PD20OCT17	5972.50	6486.75	USD	12,856.25	
20JUL17	B 2 PD20OCT17	5984.00	6486.75	USD	25,137.50	
20JUL17	B 1 PD20OCT17	5981.50	6486.75	USD	12,631.25	
20JUL17	S 1 PD20OCT17	5982.00	6486.75	USD	-12,618.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
20JUL17	B 1 PD20OCT17	5983.00	6486.75	USD	12,593.75	
20JUL17	S 1 PD20OCT17	5970.00	6486.75	USD	-12,918.75	
20JUL17	B 1 PD20OCT17	5973.00	6486.75	USD	12,843.75	
20JUL17	B 4 PD20OCT17	5968.00	6486.75	USD	51,875.00	
20JUL17	B 3 PD20OCT17	5967.00	6486.75	USD	38,981.25	
20JUL17	B 1 PD20OCT17	5966.00	6486.75	USD	13,018.75	
20JUL17	B 1 PD20OCT17	5965.50	6486.75	USD	13,031.25	
20JUL17	B 1 PD20OCT17	5966.50	6486.75	USD	13,006.25	
20JUL17	B 1 PD20OCT17	5965.00	6486.75	USD	13,043.75	
20JUL17	B 1 PD20OCT17	5970.50	6486.75	USD	12,906.25	
20JUL17	S 2 PD20OCT17	5983.00	6486.75	USD	-25,187.50	
20JUL17	S 1 PD20OCT17	5982.50	6486.75	USD	-12,606.25	
20JUL17	S 1 PD20OCT17	5983.00	6486.75	USD	-12,593.75	
20JUL17	B 1 PD20OCT17	5983.00	6486.75	USD	12,593.75	
20JUL17	S 1 PD20OCT17	5975.00	6486.75	USD	-12,793.75	
20JUL17	S 1 PD20OCT17	5948.50	6486.75	USD	-13,456.25	
20JUL17	B 3 PD20OCT17	5978.00	6486.75	USD	38,156.25	
20JUL17	S 1 PD20OCT17	5980.00	6486.75	USD	-12,668.75	
20JUL17	S 1 PD20OCT17	5984.00	6486.75	USD	-12,568.75	
20JUL17	B 3 PD20OCT17	5980.00	6486.75	USD	38,006.25	
20JUL17	S 2 PD20OCT17	5978.50	6486.75	USD	-25,412.50	
20JUL17	B 4 PD20OCT17	5980.50	6486.75	USD	50,625.00	
20JUL17	S 2 PD20OCT17	5976.00	6486.75	USD	-25,537.50	
20JUL17	B 6 PD20OCT17	5976.00	6486.75	USD	76,612.50	
20JUL17	B 2 PD20OCT17	5976.50	6486.75	USD	25,512.50	
20JUL17	B 3 PD20OCT17	5975.00	6486.75	USD	38,381.25	
21JUL17	B 1 PD20OCT17	5988.00	6486.75	USD	12,468.75	
21JUL17	B 1 PD20OCT17	5996.50	6486.75	USD	12,256.25	
21JUL17	B 1 PD20OCT17	6001.00	6486.75	USD	12,143.75	
21JUL17	S 1 PD20OCT17	6004.00	6486.75	USD	-12,068.75	
21JUL17	B 1 PD20OCT17	6009.00	6486.75	USD	11,943.75	
21JUL17	S 1 PD20OCT17	6001.50	6486.75	USD	-12,131.25	
21JUL17	B 1 PD20OCT17	6024.00	6486.75	USD	11,568.75	
21JUL17	B 1 PD20OCT17	6032.00	6486.75	USD	11,368.75	
21JUL17	S 1 PD20OCT17	6033.50	6486.75	USD	-11,331.25	
21JUL17	S 1 PD20OCT17	6040.00	6486.75	USD	-11,168.75	
21JUL17	S 1 PD20OCT17	6049.50	6486.75	USD	-10,931.25	
21JUL17	S 2 PD20OCT17	6034.00	6486.75	USD	-22,637.50	
21JUL17	S 1 PD20OCT17	6036.00	6486.75	USD	-11,268.75	
21JUL17	S 1 PD20OCT17	6032.00	6486.75	USD	-11,368.75	
21JUL17	S 1 PD20OCT17	6034.50	6486.75	USD	-11,306.25	
21JUL17	B 2 PD20OCT17	6035.50	6486.75	USD	22,562.50	
21JUL17	B 2 PD20OCT17	6034.00	6486.75	USD	22,637.50	
21JUL17	B 1 PD20OCT17	6033.50	6486.75	USD	11,331.25	
21JUL17	B 2 PD20OCT17	6032.50	6486.75	USD	22,712.50	
21JUL17	S 2 PD20OCT17	6030.50	6486.75	USD	-22,812.50	
21JUL17	B 2 PD20OCT17	6031.00	6486.75	USD	22,787.50	
21JUL17	S 1 PD20OCT17	6027.00	6486.75	USD	-11,493.75	
21JUL17	S 1 PD20OCT17	6026.50	6486.75	USD	-11,506.25	
21JUL17	S 2 PD20OCT17	6027.50	6486.75	USD	-22,962.50	
21JUL17	S 2 PD20OCT17	6028.00	6486.75	USD	-22,937.50	
21JUL17	S 2 PD20OCT17	6030.00	6486.75	USD	-22,837.50	
21JUL17	S 3 PD20OCT17	6032.50	6486.75	USD	-34,068.75	
21JUL17	S 3 PD20OCT17	6029.50	6486.75	USD	-34,293.75	
21JUL17	S 1 PD20OCT17	6025.00	6486.75	USD	-11,543.75	
21JUL17	S 3 PD20OCT17	6012.50	6486.75	USD	-35,568.75	
21JUL17	S 2 PD20OCT17	6009.00	6486.75	USD	-23,887.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
21JUL17	S 4 PD20OCT17	6014.50	6486.75	USD	-47,225.00	
21JUL17	S 1 PD20OCT17	6013.00	6486.75	USD	-11,843.75	
21JUL17	S 1 PD20OCT17	6015.50	6486.75	USD	-11,781.25	
21JUL17	S 7 PD20OCT17	6015.00	6486.75	USD	-82,556.25	
21JUL17	S 1 PD20OCT17	5999.50	6486.75	USD	-12,181.25	
21JUL17	S 1 PD20OCT17	6009.00	6486.75	USD	-11,943.75	
21JUL17	S 1 PD20OCT17	5991.50	6486.75	USD	-12,381.25	
21JUL17	S 3 PD20OCT17	5992.50	6486.75	USD	-37,068.75	
21JUL17	S 2 PD20OCT17	5992.00	6486.75	USD	-24,737.50	
21JUL17	B 1 PD20OCT17	5995.00	6486.75	USD	12,293.75	
21JUL17	S 1 PD20OCT17	5996.00	6486.75	USD	-12,268.75	
27JUL17	S 2 PD20OCT17	6327.80	6486.75	USD	-7,947.50	
27JUL17	S 14 PD20OCT17	6328.00	6486.75	USD	-55,562.50	
28JUL17	B 2 PD20OCT17	6322.50	6486.75	USD	8,212.50	
08AUG17	B 1 PD20OCT17	6472.50	6486.75	USD	356.25	
10AUG17	S 2 PD20OCT17	6414.05	6486.75	USD	-3,635.00	
10AUG17	B 2 PD20OCT17	6414.25	6486.75	USD	3,625.00	
15AUG17	B 2 PD20OCT17	6368.00	6486.75	USD	5,937.50	
05SEP17	B 1 PD20OCT17	6881.70	6486.75	USD	-9,873.75	
14SEP17	B 3 PD20OCT17	6472.95	6486.75	USD	1,035.00	
	NET 0 AVG BGHT 6042.42 SOLD 6074.34				**71,803.75**	**0.00**
24JUL17	B 1 PD24OCT17	5991.00	6489.75	USD	12,468.75	
24JUL17	S 1 PD24OCT17	5992.50	6489.75	USD	-12,431.25	
24JUL17	S 1 PD24OCT17	5989.00	6489.75	USD	-12,518.75	
24JUL17	B 1 PD24OCT17	6008.50	6489.75	USD	12,031.25	
24JUL17	B 1 PD24OCT17	5995.00	6489.75	USD	12,368.75	
24JUL17	B 2 PD24OCT17	5995.50	6489.75	USD	24,712.50	
24JUL17	S 1 PD24OCT17	5988.50	6489.75	USD	-12,531.25	
24JUL17	B 1 PD24OCT17	5991.50	6489.75	USD	12,456.25	
24JUL17	S 3 PD24OCT17	5994.00	6489.75	USD	-37,181.25	
24JUL17	S 3 PD24OCT17	5994.50	6489.75	USD	-37,143.75	
24JUL17	S 1 PD24OCT17	5995.00	6489.75	USD	-12,368.75	
24JUL17	S 2 PD24OCT17	5995.50	6489.75	USD	-24,712.50	
24JUL17	S 2 PD24OCT17	5997.50	6489.75	USD	-24,612.50	
24JUL17	S 1 PD24OCT17	5999.50	6489.75	USD	-12,256.25	
24JUL17	S 1 PD24OCT17	5999.00	6489.75	USD	-12,268.75	
24JUL17	B 1 PD24OCT17	6000.00	6489.75	USD	12,243.75	
24JUL17	S 1 PD24OCT17	5998.50	6489.75	USD	-12,281.25	
24JUL17	B 1 PD24OCT17	5989.00	6489.75	USD	12,518.75	
24JUL17	S 1 PD24OCT17	6022.00	6489.75	USD	-11,693.75	
24JUL17	S 1 PD24OCT17	6018.00	6489.75	USD	-11,793.75	
24JUL17	S 1 PD24OCT17	6023.00	6489.75	USD	-11,668.75	
24JUL17	B 1 PD24OCT17	6020.00	6489.75	USD	11,743.75	
24JUL17	S 1 PD24OCT17	6011.50	6489.75	USD	-11,956.25	
24JUL17	S 2 PD24OCT17	6021.50	6489.75	USD	-23,412.50	
24JUL17	S 1 PD24OCT17	6021.00	6489.75	USD	-11,718.75	
24JUL17	S 5 PD24OCT17	6019.00	6489.75	USD	-58,843.75	
24JUL17	S 3 PD24OCT17	6016.50	6489.75	USD	-35,493.75	
24JUL17	S 1 PD24OCT17	6019.50	6489.75	USD	-11,756.25	
24JUL17	S 2 PD24OCT17	6018.00	6489.75	USD	-23,587.50	
24JUL17	S 1 PD24OCT17	6026.50	6489.75	USD	-11,581.25	
24JUL17	S 3 PD24OCT17	6026.00	6489.75	USD	-34,781.25	
24JUL17	S 1 PD24OCT17	6027.50	6489.75	USD	-11,556.25	
24JUL17	S 2 PD24OCT17	6028.00	6489.75	USD	-23,087.50	
27JUL17	B 14 PD24OCT17	6329.34	6489.75	USD	56,143.50	
28JUL17	B 2 PD24OCT17	6323.93	6489.75	USD	8,291.00	
03AUG17	B 1 PD24OCT17	6348.91	6489.75	USD	3,521.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
08AUG17	B 1 PD24OCT17	6474.07	6489.75	USD	392.00	
09AUG17	B 1 PD24OCT17	6448.71	6489.75	USD	1,026.00	
10AUG17	B 8 PD24OCT17	6415.96	6489.75	USD	14,758.00	
17AUG17	B 4 PD24OCT17	6481.58	6489.75	USD	817.00	
22AUG17	B 2 PD24OCT17	6570.33	6489.75	USD	-4,029.00	
	NET 0 AVG BGHT 6307.40 SOLD 6010.48				**-311,774.25**	**0.00**
25JUL17	S 1 PD25OCT17	6033.50	6490.29	USD	-11,419.75	
25JUL17	S 1 PD25OCT17	6028.50	6490.29	USD	-11,544.75	
25JUL17	S 1 PD25OCT17	6026.50	6490.29	USD	-11,594.75	
25JUL17	S 1 PD25OCT17	6029.00	6490.29	USD	-11,532.25	
25JUL17	S 3 PD25OCT17	6028.00	6490.29	USD	-34,671.75	
25JUL17	S 1 PD25OCT17	6035.00	6490.29	USD	-11,382.25	
25JUL17	S 1 PD25OCT17	6034.50	6490.29	USD	-11,394.75	
25JUL17	S 2 PD25OCT17	6042.50	6490.29	USD	-22,389.50	
25JUL17	S 1 PD25OCT17	6043.00	6490.29	USD	-11,182.25	
25JUL17	S 2 PD25OCT17	6044.00	6490.29	USD	-22,314.50	
25JUL17	S 1 PD25OCT17	6050.00	6490.29	USD	-11,007.25	
25JUL17	S 1 PD25OCT17	6059.50	6490.29	USD	-10,769.75	
25JUL17	S 1 PD25OCT17	6066.00	6490.29	USD	-10,607.25	
25JUL17	S 1 PD25OCT17	6091.50	6490.29	USD	-9,969.75	
25JUL17	B 1 PD25OCT17	6096.00	6490.29	USD	9,857.25	
25JUL17	B 1 PD25OCT17	6094.00	6490.29	USD	9,907.25	
25JUL17	B 3 PD25OCT17	6086.00	6490.29	USD	30,321.75	
25JUL17	B 2 PD25OCT17	6086.50	6490.29	USD	20,189.50	
25JUL17	S 1 PD25OCT17	6085.50	6490.29	USD	-10,119.75	
25JUL17	S 1 PD25OCT17	6086.50	6490.29	USD	-10,094.75	
25JUL17	S 2 PD25OCT17	6087.00	6490.29	USD	-20,164.50	
25JUL17	B 2 PD25OCT17	6087.00	6490.29	USD	20,164.50	
25JUL17	B 1 PD25OCT17	6088.00	6490.29	USD	10,057.25	
25JUL17	S 1 PD25OCT17	6085.00	6490.29	USD	-10,132.25	
25JUL17	S 1 PD25OCT17	6084.50	6490.29	USD	-10,144.75	
25JUL17	S 1 PD25OCT17	6108.00	6490.29	USD	-9,557.25	
25JUL17	S 1 PD25OCT17	6116.00	6490.29	USD	-9,357.25	
25JUL17	S 1 PD25OCT17	6158.00	6490.29	USD	-8,307.25	
25JUL17	S 2 PD25OCT17	6176.00	6490.29	USD	-15,714.50	
25JUL17	S 1 PD25OCT17	6181.50	6490.29	USD	-7,719.75	
25JUL17	B 1 PD25OCT17	6186.50	6490.29	USD	7,594.75	
25JUL17	S 1 PD25OCT17	6189.00	6490.29	USD	-7,532.25	
25JUL17	S 1 PD25OCT17	6189.50	6490.29	USD	-7,519.75	
25JUL17	B 1 PD25OCT17	6089.00	6490.29	USD	10,032.25	
25JUL17	S 1 PD25OCT17	6086.50	6490.29	USD	-10,094.75	
25JUL17	S 1 PD25OCT17	6086.00	6490.29	USD	-10,107.25	
25JUL17	S 2 PD25OCT17	6181.00	6490.29	USD	-15,464.50	
25JUL17	B 1 PD25OCT17	6183.00	6490.29	USD	7,682.25	
25JUL17	S 1 PD25OCT17	6174.50	6490.29	USD	-7,894.75	
25JUL17	S 1 PD25OCT17	6180.50	6490.29	USD	-7,744.75	
25JUL17	S 1 PD25OCT17	6178.50	6490.29	USD	-7,794.75	
25JUL17	S 2 PD25OCT17	6180.00	6490.29	USD	-15,514.50	
25JUL17	S 1 PD25OCT17	6186.00	6490.29	USD	-7,607.25	
25JUL17	S 1 PD25OCT17	6185.50	6490.29	USD	-7,619.75	
25JUL17	S 9 PD25OCT17	6185.00	6490.29	USD	-68,690.25	
25JUL17	S 11 PD25OCT17	6184.00	6490.29	USD	-84,229.75	
25JUL17	S 2 PD25OCT17	6183.00	6490.29	USD	-15,364.50	
25JUL17	S 1 PD25OCT17	6182.50	6490.29	USD	-7,694.75	
25JUL17	S 1 PD25OCT17	6182.00	6490.29	USD	-7,707.25	
25JUL17	B 3 PD25OCT17	6180.00	6490.29	USD	23,271.75	
25JUL17	B 2 PD25OCT17	6180.50	6490.29	USD	15,489.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
25JUL17	S 2 PD25OCT17	6178.00	6490.29	USD	-15,614.50	
25JUL17	B 1 PD25OCT17	6178.50	6490.29	USD	7,794.75	
25JUL17	B 6 PD25OCT17	6179.00	6490.29	USD	46,693.50	
25JUL17	B 6 PD25OCT17	6182.50	6490.29	USD	46,168.50	
25JUL17	S 1 PD25OCT17	6191.00	6490.29	USD	-7,482.25	
25JUL17	B 2 PD25OCT17	6190.00	6490.29	USD	15,014.50	
25JUL17	B 1 PD25OCT17	6197.50	6490.29	USD	7,319.75	
25JUL17	B 1 PD25OCT17	6199.50	6490.29	USD	7,269.75	
25JUL17	S 1 PD25OCT17	6198.50	6490.29	USD	-7,294.75	
25JUL17	B 1 PD25OCT17	6198.50	6490.29	USD	7,294.75	
25JUL17	S 1 PD25OCT17	6199.50	6490.29	USD	-7,269.75	
25JUL17	S 1 PD25OCT17	6215.00	6490.29	USD	-6,882.25	
25JUL17	S 1 PD25OCT17	6220.00	6490.29	USD	-6,757.25	
25JUL17	B 1 PD25OCT17	6223.50	6490.29	USD	6,669.75	
25JUL17	B 1 PD25OCT17	6222.00	6490.29	USD	6,707.25	
25JUL17	S 1 PD25OCT17	6228.00	6490.29	USD	-6,557.25	
25JUL17	B 1 PD25OCT17	6225.00	6490.29	USD	6,632.25	
25JUL17	B 1 PD25OCT17	6232.00	6490.29	USD	6,457.25	
25JUL17	B 2 PD25OCT17	6220.00	6490.29	USD	13,514.50	
25JUL17	B 1 PD25OCT17	6215.00	6490.29	USD	6,882.25	
25JUL17	B 1 PD25OCT17	6209.00	6490.29	USD	7,032.25	
25JUL17	B 1 PD25OCT17	6212.00	6490.29	USD	6,957.25	
25JUL17	S 2 PD25OCT17	6217.50	6490.29	USD	-13,639.50	
25JUL17	S 2 PD25OCT17	6219.00	6490.29	USD	-13,564.50	
25JUL17	S 1 PD25OCT17	6222.00	6490.29	USD	-6,707.25	
25JUL17	S 1 PD25OCT17	6225.50	6490.29	USD	-6,619.75	
25JUL17	S 1 PD25OCT17	6225.00	6490.29	USD	-6,632.25	
25JUL17	S 1 PD25OCT17	6230.50	6490.29	USD	-6,494.75	
25JUL17	B 2 PD25OCT17	6224.00	6490.29	USD	13,314.50	
25JUL17	B 1 PD25OCT17	6201.00	6490.29	USD	7,232.25	
25JUL17	B 6 PD25OCT17	6192.00	6490.29	USD	44,743.50	
25JUL17	S 1 PD25OCT17	6192.50	6490.29	USD	-7,444.75	
25JUL17	B 2 PD25OCT17	6194.00	6490.29	USD	14,814.50	
25JUL17	S 1 PD25OCT17	6207.50	6490.29	USD	-7,069.75	
25JUL17	S 1 PD25OCT17	6211.00	6490.29	USD	-6,982.25	
25JUL17	S 2 PD25OCT17	6212.50	6490.29	USD	-13,889.50	
25JUL17	B 4 PD25OCT17	6178.50	6490.29	USD	31,179.00	
25JUL17	B 1 PD25OCT17	6192.00	6490.29	USD	7,457.25	
25JUL17	S 1 PD25OCT17	6198.50	6490.29	USD	-7,294.75	
25JUL17	S 1 PD25OCT17	6230.50	6490.29	USD	-6,494.75	
25JUL17	S 1 PD25OCT17	6203.00	6490.29	USD	-7,182.25	
25JUL17	S 1 PD25OCT17	6233.00	6490.29	USD	-6,432.25	
25JUL17	S 1 PD25OCT17	6244.50	6490.29	USD	-6,144.75	
25JUL17	S 1 PD25OCT17	6253.50	6490.29	USD	-5,919.75	
25JUL17	B 1 PD25OCT17	6257.00	6490.29	USD	5,832.25	
25JUL17	B 1 PD25OCT17	6265.50	6490.29	USD	5,619.75	
25JUL17	S 1 PD25OCT17	6266.00	6490.29	USD	-5,607.25	
25JUL17	S 3 PD25OCT17	6279.00	6490.29	USD	-15,846.75	
25JUL17	B 1 PD25OCT17	6257.50	6490.29	USD	5,819.75	
25JUL17	B 1 PD25OCT17	6258.00	6490.29	USD	5,807.25	
25JUL17	S 1 PD25OCT17	6263.50	6490.29	USD	-5,669.75	
25JUL17	S 1 PD25OCT17	6264.00	6490.29	USD	-5,657.25	
25JUL17	S 1 PD25OCT17	6263.00	6490.29	USD	-5,682.25	
25JUL17	B 1 PD25OCT17	6252.50	6490.29	USD	5,944.75	
25JUL17	B 1 PD25OCT17	6253.00	6490.29	USD	5,932.25	
25JUL17	S 1 PD25OCT17	6247.50	6490.29	USD	-6,069.75	
27JUL17	B 1 PD25OCT17	6329.63	6490.29	USD	4,016.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
09AUG17	B 2 PD25OCT17	6449.14	6490.29	USD	2,057.50	
10AUG17	B 3 PD25OCT17	6416.39	6490.29	USD	5,542.50	
17AUG17	B 4 PD25OCT17	6482.17	6490.29	USD	812.00	
22AUG17	B 5 PD25OCT17	6570.92	6490.29	USD	-10,078.75	
22AUG17	B 7 PD25OCT17	6571.12	6490.29	USD	-14,145.25	
06SEP17	B 3 PD25OCT17	6885.86	6490.29	USD	-29,667.75	
11SEP17	B 6 PD25OCT17	6727.29	6490.29	USD	-35,550.00	
12SEP17	B 4 PD25OCT17	6645.29	6490.29	USD	-15,500.00	
	NET 0 AVG BGHT 6323.95 SOLD 6163.79				**-408,417.00**	**0.00**
26JUL17	S 1 PD26OCT17	6267.50	6490.82	USD	-5,583.00	
26JUL17	S 1 PD26OCT17	6256.50	6490.82	USD	-5,858.00	
26JUL17	S 1 PD26OCT17	6257.00	6490.82	USD	-5,845.50	
26JUL17	S 1 PD26OCT17	6260.00	6490.82	USD	-5,770.50	
26JUL17	S 2 PD26OCT17	6263.00	6490.82	USD	-11,391.00	
26JUL17	B 1 PD26OCT17	6264.00	6490.82	USD	5,670.50	
26JUL17	S 1 PD26OCT17	6265.00	6490.82	USD	-5,645.50	
26JUL17	S 1 PD26OCT17	6254.00	6490.82	USD	-5,920.50	
26JUL17	B 1 PD26OCT17	6246.50	6490.82	USD	6,108.00	
26JUL17	S 1 PD26OCT17	6237.00	6490.82	USD	-6,345.50	
26JUL17	S 1 PD26OCT17	6235.00	6490.82	USD	-6,395.50	
26JUL17	S 2 PD26OCT17	6275.00	6490.82	USD	-10,791.00	
26JUL17	S 1 PD26OCT17	6277.00	6490.82	USD	-5,345.50	
26JUL17	S 1 PD26OCT17	6278.00	6490.82	USD	-5,320.50	
26JUL17	S 1 PD26OCT17	6279.00	6490.82	USD	-5,295.50	
26JUL17	S 2 PD26OCT17	6281.50	6490.82	USD	-10,466.00	
26JUL17	S 1 PD26OCT17	6292.50	6490.82	USD	-4,958.00	
26JUL17	S 2 PD26OCT17	6293.00	6490.82	USD	-9,891.00	
26JUL17	S 1 PD26OCT17	6300.50	6490.82	USD	-4,758.00	
26JUL17	B 1 PD26OCT17	6331.00	6490.82	USD	3,995.50	
26JUL17	B 1 PD26OCT17	6331.50	6490.82	USD	3,983.00	
26JUL17	S 1 PD26OCT17	6334.50	6490.82	USD	-3,908.00	
26JUL17	S 1 PD26OCT17	6337.50	6490.82	USD	-3,833.00	
26JUL17	S 2 PD26OCT17	6343.50	6490.82	USD	-7,366.00	
26JUL17	B 1 PD26OCT17	6360.00	6490.82	USD	3,270.50	
26JUL17	S 1 PD26OCT17	6350.00	6490.82	USD	-3,520.50	
26JUL17	S 1 PD26OCT17	6346.50	6490.82	USD	-3,608.00	
26JUL17	B 1 PD26OCT17	6352.50	6490.82	USD	3,458.00	
26JUL17	B 1 PD26OCT17	6350.00	6490.82	USD	3,520.50	
26JUL17	S 2 PD26OCT17	6366.50	6490.82	USD	-6,216.00	
26JUL17	S 1 PD26OCT17	6369.50	6490.82	USD	-3,033.00	
26JUL17	B 1 PD26OCT17	6395.00	6490.82	USD	2,395.50	
26JUL17	S 1 PD26OCT17	6386.00	6490.82	USD	-2,620.50	
26JUL17	B 1 PD26OCT17	6366.00	6490.82	USD	3,120.50	
26JUL17	S 1 PD26OCT17	6336.00	6490.82	USD	-3,870.50	
26JUL17	S 1 PD26OCT17	6336.50	6490.82	USD	-3,858.00	
26JUL17	S 1 PD26OCT17	6340.00	6490.82	USD	-3,770.50	
26JUL17	S 1 PD26OCT17	6327.50	6490.82	USD	-4,083.00	
26JUL17	B 1 PD26OCT17	6318.00	6490.82	USD	4,320.50	
26JUL17	B 1 PD26OCT17	6314.50	6490.82	USD	4,408.00	
26JUL17	S 3 PD26OCT17	6304.50	6490.82	USD	-13,974.00	
26JUL17	B 1 PD26OCT17	6274.00	6490.82	USD	5,420.50	
26JUL17	B 1 PD26OCT17	6301.00	6490.82	USD	4,745.50	
26JUL17	B 1 PD26OCT17	6281.00	6490.82	USD	5,245.50	
26JUL17	B 1 PD26OCT17	6388.00	6490.82	USD	2,570.50	
26JUL17	S 1 PD26OCT17	6310.00	6490.82	USD	-4,520.50	
26JUL17	B 2 PD26OCT17	6272.00	6490.82	USD	10,941.00	
26JUL17	B 1 PD26OCT17	6292.50	6490.82	USD	4,958.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
26JUL17	B 1 PD26OCT17	6286.50	6490.82	USD	5,108.00	
26JUL17	B 1 PD26OCT17	6295.50	6490.82	USD	4,883.00	
26JUL17	S 1 PD26OCT17	6295.50	6490.82	USD	-4,883.00	
26JUL17	S 2 PD26OCT17	6301.50	6490.82	USD	-9,466.00	
26JUL17	B 1 PD26OCT17	6299.50	6490.82	USD	4,783.00	
26JUL17	S 1 PD26OCT17	6296.50	6490.82	USD	-4,858.00	
26JUL17	S 1 PD26OCT17	6297.50	6490.82	USD	-4,833.00	
26JUL17	B 1 PD26OCT17	6298.00	6490.82	USD	4,820.50	
26JUL17	B 1 PD26OCT17	6303.00	6490.82	USD	4,695.50	
26JUL17	B 1 PD26OCT17	6302.50	6490.82	USD	4,708.00	
26JUL17	B 1 PD26OCT17	6305.00	6490.82	USD	4,645.50	
26JUL17	B 2 PD26OCT17	6297.00	6490.82	USD	9,691.00	
26JUL17	B 1 PD26OCT17	6304.50	6490.82	USD	4,658.00	
26JUL17	B 1 PD26OCT17	6311.00	6490.82	USD	4,495.50	
26JUL17	S 1 PD26OCT17	6338.00	6490.82	USD	-3,820.50	
26JUL17	S 1 PD26OCT17	6322.50	6490.82	USD	-4,208.00	
26JUL17	B 2 PD26OCT17	6323.00	6490.82	USD	8,391.00	
27JUL17	S 1 PD26OCT17	6329.51	6490.82	USD	-4,032.75	
08AUG17	S 1 PD26OCT17	6474.66	6490.82	USD	-404.00	
09AUG17	B 3 PD26OCT17	6449.57	6490.82	USD	3,093.75	
10AUG17	B 1 PD26OCT17	6416.82	6490.82	USD	1,850.00	
17AUG17	B 3 PD26OCT17	6482.75	6490.82	USD	605.25	
22AUG17	B 4 PD26OCT17	6571.70	6490.82	USD	-8,088.00	
12SEP17	B 6 PD26OCT17	6645.57	6490.82	USD	-23,212.50	
	NET 0 AVG BGHT 6396.44 SOLD 6307.26				**-107,008.25**	**0.00**
27JUL17	B 1 PD27OCT17	6343.50	6491.36	USD	3,696.50	
27JUL17	B 1 PD27OCT17	6346.00	6491.36	USD	3,634.00	
27JUL17	B 1 PD27OCT17	6353.00	6491.36	USD	3,459.00	
27JUL17	B 2 PD27OCT17	6317.50	6491.36	USD	8,693.00	
27JUL17	S 1 PD27OCT17	6362.50	6491.36	USD	-3,221.50	
27JUL17	S 1 PD27OCT17	6356.00	6491.36	USD	-3,384.00	
27JUL17	S 1 PD27OCT17	6354.50	6491.36	USD	-3,421.50	
27JUL17	S 1 PD27OCT17	6352.50	6491.36	USD	-3,471.50	
27JUL17	B 2 PD27OCT17	6351.50	6491.36	USD	6,993.00	
27JUL17	B 6 PD27OCT17	6351.00	6491.36	USD	21,054.00	
27JUL17	S 1 PD27OCT17	6349.00	6491.36	USD	-3,559.00	
27JUL17	B 2 PD27OCT17	6349.00	6491.36	USD	7,118.00	
27JUL17	B 1 PD27OCT17	6348.50	6491.36	USD	3,571.50	
27JUL17	S 1 PD27OCT17	6340.00	6491.36	USD	-3,784.00	
27JUL17	B 1 PD27OCT17	6344.00	6491.36	USD	3,684.00	
27JUL17	S 2 PD27OCT17	6344.00	6491.36	USD	-7,368.00	
27JUL17	S 2 PD27OCT17	6353.50	6491.36	USD	-6,893.00	
27JUL17	S 2 PD27OCT17	6353.00	6491.36	USD	-6,918.00	
27JUL17	S 1 PD27OCT17	6351.50	6491.36	USD	-3,496.50	
27JUL17	B 1 PD27OCT17	6365.50	6491.36	USD	3,146.50	
27JUL17	B 1 PD27OCT17	6370.50	6491.36	USD	3,021.50	
27JUL17	B 1 PD27OCT17	6369.00	6491.36	USD	3,059.00	
27JUL17	S 2 PD27OCT17	6358.00	6491.36	USD	-6,668.00	
27JUL17	S 4 PD27OCT17	6357.00	6491.36	USD	-13,436.00	
27JUL17	B 1 PD27OCT17	6361.50	6491.36	USD	3,246.50	
27JUL17	S 2 PD27OCT17	6345.00	6491.36	USD	-7,318.00	
27JUL17	S 1 PD27OCT17	6357.50	6491.36	USD	-3,346.50	
27JUL17	S 1 PD27OCT17	6355.00	6491.36	USD	-3,409.00	
27JUL17	S 4 PD27OCT17	6352.50	6491.36	USD	-13,886.00	
27JUL17	S 1 PD27OCT17	6351.00	6491.36	USD	-3,509.00	
27JUL17	B 1 PD27OCT17	6351.00	6491.36	USD	3,509.00	
27JUL17	S 1 PD27OCT17	6343.50	6491.36	USD	-3,696.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT							
27JUL17	S	1	PD27OCT17	6348.50	6491.36	USD	-3,571.50	
27JUL17	B	1	PD27OCT17	6336.50	6491.36	USD	3,871.50	
27JUL17	B	2	PD27OCT17	6337.00	6491.36	USD	7,718.00	
28JUL17	B	1	PD27OCT17	6315.50	6491.36	USD	4,396.50	
28JUL17	B	2	PD27OCT17	6314.50	6491.36	USD	8,843.00	
28JUL17	B	1	PD27OCT17	6313.50	6491.36	USD	4,446.50	
28JUL17	B	1	PD27OCT17	6313.00	6491.36	USD	4,459.00	
28JUL17	B	1	PD27OCT17	6317.50	6491.36	USD	4,346.50	
28JUL17	S	2	PD27OCT17	6319.50	6491.36	USD	-8,593.00	
28JUL17	S	2	PD27OCT17	6318.00	6491.36	USD	-8,668.00	
28JUL17	S	2	PD27OCT17	6319.00	6491.36	USD	-8,618.00	
28JUL17	B	1	PD27OCT17	6320.50	6491.36	USD	4,271.50	
28JUL17	B	1	PD27OCT17	6319.50	6491.36	USD	4,296.50	
28JUL17	B	1	PD27OCT17	6324.00	6491.36	USD	4,184.00	
28JUL17	B	1	PD27OCT17	6315.00	6491.36	USD	4,409.00	
28JUL17	S	1	PD27OCT17	6326.50	6491.36	USD	-4,121.50	
28JUL17	B	1	PD27OCT17	6320.00	6491.36	USD	4,284.00	
28JUL17	B	1	PD27OCT17	6280.00	6491.36	USD	5,284.00	
28JUL17	B	1	PD27OCT17	6280.50	6491.36	USD	5,271.50	
28JUL17	S	1	PD27OCT17	6298.50	6491.36	USD	-4,821.50	
28JUL17	B	1	PD27OCT17	6309.50	6491.36	USD	4,546.50	
28JUL17	S	1	PD27OCT17	6295.50	6491.36	USD	-4,896.50	
28JUL17	B	1	PD27OCT17	6324.00	6491.36	USD	4,184.00	
28JUL17	S	1	PD27OCT17	6324.00	6491.36	USD	-4,184.00	
28JUL17	S	1	PD27OCT17	6334.00	6491.36	USD	-3,934.00	
28JUL17	S	1	PD27OCT17	6326.00	6491.36	USD	-4,134.00	
28JUL17	B	3	PD27OCT17	6324.50	6491.36	USD	12,514.50	
28JUL17	B	1	PD27OCT17	6325.50	6491.36	USD	4,146.50	
28JUL17	S	1	PD27OCT17	6333.00	6491.36	USD	-3,959.00	
28JUL17	S	1	PD27OCT17	6337.00	6491.36	USD	-3,859.00	
28JUL17	S	4	PD27OCT17	6324.80	6491.36	USD	-16,656.00	
09AUG17	B	2	PD27OCT17	6450.00	6491.36	USD	2,068.00	
10AUG17	B	1	PD27OCT17	6417.25	6491.36	USD	1,852.75	
10AUG17	S	1	PD27OCT17	6417.05	6491.36	USD	-1,857.75	
22AUG17	B	1	PD27OCT17	6572.22	6491.36	USD	-2,021.50	
06SEP17	B	1	PD27OCT17	6886.57	6491.36	USD	-9,880.25	
	NET	**0**	**AVG BGHT 6356.36**	**SOLD 6342.25**			**-17,282.25**	**0.00**
31JUL17	B	1	PD31OCT17	6397.50	6493.50	USD	2,400.00	
31JUL17	B	1	PD31OCT17	6375.00	6493.50	USD	2,962.50	
31JUL17	B	1	PD31OCT17	6383.00	6493.50	USD	2,762.50	
31JUL17	S	1	PD31OCT17	6348.50	6493.50	USD	-3,625.00	
31JUL17	B	1	PD31OCT17	6347.50	6493.50	USD	3,650.00	
31JUL17	S	1	PD31OCT17	6345.50	6493.50	USD	-3,700.00	
31JUL17	S	1	PD31OCT17	6375.50	6493.50	USD	-2,950.00	
31JUL17	S	2	PD31OCT17	6346.00	6493.50	USD	-7,375.00	
31JUL17	B	1	PD31OCT17	6354.00	6493.50	USD	3,487.50	
31JUL17	B	1	PD31OCT17	6389.00	6493.50	USD	2,612.50	
31JUL17	S	1	PD31OCT17	6382.00	6493.50	USD	-2,787.50	
31JUL17	B	1	PD31OCT17	6393.50	6493.50	USD	2,500.00	
31JUL17	B	3	PD31OCT17	6399.00	6493.50	USD	7,087.50	
31JUL17	B	3	PD31OCT17	6402.00	6493.50	USD	6,862.50	
31JUL17	B	1	PD31OCT17	6401.00	6493.50	USD	2,312.50	
31JUL17	B	1	PD31OCT17	6401.50	6493.50	USD	2,300.00	
31JUL17	S	1	PD31OCT17	6418.00	6493.50	USD	-1,887.50	
31JUL17	B	1	PD31OCT17	6419.00	6493.50	USD	1,862.50	
31JUL17	B	1	PD31OCT17	6419.50	6493.50	USD	1,850.00	
31JUL17	B	2	PD31OCT17	6418.50	6493.50	USD	3,750.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
31JUL17	B 2 PD31OCT17	6420.00	6493.50	USD	3,675.00	
31JUL17	B 4 PD31OCT17	6418.00	6493.50	USD	7,550.00	
31JUL17	B 1 PD31OCT17	6425.50	6493.50	USD	1,700.00	
31JUL17	S 1 PD31OCT17	6409.00	6493.50	USD	-2,112.50	
31JUL17	B 1 PD31OCT17	6385.00	6493.50	USD	2,712.50	
31JUL17	B 1 PD31OCT17	6382.00	6493.50	USD	2,787.50	
31JUL17	B 1 PD31OCT17	6384.50	6493.50	USD	2,725.00	
31JUL17	B 1 PD31OCT17	6386.50	6493.50	USD	2,675.00	
31JUL17	B 1 PD31OCT17	6371.50	6493.50	USD	3,050.00	
31JUL17	B 1 PD31OCT17	6370.50	6493.50	USD	3,075.00	
31JUL17	B 2 PD31OCT17	6374.50	6493.50	USD	5,950.00	
31JUL17	B 3 PD31OCT17	6375.50	6493.50	USD	8,850.00	
31JUL17	S 2 PD31OCT17	6376.50	6493.50	USD	-5,850.00	
31JUL17	S 5 PD31OCT17	6376.00	6493.50	USD	-14,687.50	
31JUL17	S 1 PD31OCT17	6371.50	6493.50	USD	-3,050.00	
31JUL17	S 1 PD31OCT17	6370.00	6493.50	USD	-3,087.50	
31JUL17	S 1 PD31OCT17	6368.50	6493.50	USD	-3,125.00	
31JUL17	B 1 PD31OCT17	6377.00	6493.50	USD	2,912.50	
31JUL17	B 1 PD31OCT17	6347.00	6493.50	USD	3,662.50	
31JUL17	S 1 PD31OCT17	6363.50	6493.50	USD	-3,250.00	
31JUL17	S 2 PD31OCT17	6364.50	6493.50	USD	-6,450.00	
31JUL17	S 3 PD31OCT17	6363.00	6493.50	USD	-9,787.50	
31JUL17	S 3 PD31OCT17	6364.00	6493.50	USD	-9,712.50	
31JUL17	S 1 PD31OCT17	6366.50	6493.50	USD	-3,175.00	
31JUL17	S 1 PD31OCT17	6373.50	6493.50	USD	-3,000.00	
31JUL17	S 1 PD31OCT17	6374.00	6493.50	USD	-2,987.50	
31JUL17	S 1 PD31OCT17	6373.00	6493.50	USD	-3,012.50	
08AUG17	S 1 PD31OCT17	6476.63	6493.50	USD	-421.75	
09AUG17	S 3 PD31OCT17	6451.34	6493.50	USD	-3,162.00	
10AUG17	S 9 PD31OCT17	6418.69	6493.50	USD	-16,832.25	
15AUG17	S 1 PD31OCT17	6373.47	6493.50	USD	-3,000.75	
22AUG17	B 6 PD31OCT17	6574.30	6493.50	USD	-12,120.00	
	NET 0 AVG BGHT 6417.41 SOLD 6387.70				**-33,424.25**	**0.00**
01AUG17	B 1 PD01NOV17	6372.00	6494.09	USD	3,052.25	
01AUG17	B 1 PD01NOV17	6371.50	6494.09	USD	3,064.75	
01AUG17	S 1 PD01NOV17	6371.00	6494.09	USD	-3,077.25	
01AUG17	S 1 PD01NOV17	6373.50	6494.09	USD	-3,014.75	
01AUG17	B 2 PD01NOV17	6380.50	6494.09	USD	5,679.50	
01AUG17	B 2 PD01NOV17	6380.00	6494.09	USD	5,704.50	
01AUG17	S 3 PD01NOV17	6376.00	6494.09	USD	-8,856.75	
01AUG17	S 1 PD01NOV17	6375.00	6494.09	USD	-2,977.25	
01AUG17	S 1 PD01NOV17	6379.00	6494.09	USD	-2,877.25	
01AUG17	B 2 PD01NOV17	6341.00	6494.09	USD	7,654.50	
01AUG17	B 1 PD01NOV17	6341.50	6494.09	USD	3,814.75	
01AUG17	B 2 PD01NOV17	6342.50	6494.09	USD	7,579.50	
01AUG17	B 1 PD01NOV17	6343.50	6494.09	USD	3,764.75	
01AUG17	B 1 PD01NOV17	6339.00	6494.09	USD	3,877.25	
01AUG17	S 1 PD01NOV17	6340.00	6494.09	USD	-3,852.25	
01AUG17	S 3 PD01NOV17	6339.00	6494.09	USD	-11,631.75	
01AUG17	S 1 PD01NOV17	6343.00	6494.09	USD	-3,777.25	
01AUG17	B 1 PD01NOV17	6348.00	6494.09	USD	3,652.25	
01AUG17	B 1 PD01NOV17	6350.50	6494.09	USD	3,589.75	
01AUG17	B 1 PD01NOV17	6322.00	6494.09	USD	4,302.25	
01AUG17	B 1 PD01NOV17	6318.00	6494.09	USD	4,402.25	
01AUG17	B 1 PD01NOV17	6327.00	6494.09	USD	4,177.25	
01AUG17	S 1 PD01NOV17	6345.50	6494.09	USD	-3,714.75	
01AUG17	S 2 PD01NOV17	6346.50	6494.09	USD	-7,379.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
01AUG17	B 1 PD01NOV17	6350.00	6494.09	USD	3,602.25	
07AUG17	S 3 PD01NOV17	6411.35	6494.09	USD	-6,205.50	
15AUG17	S 1 PD01NOV17	6373.90	6494.09	USD	-3,004.75	
	NET 0 AVG BGHT 6351.11 SOLD 6367.00				**7,548.75**	**0.00**
02AUG17	B 1 PD02NOV17	6335.00	6494.69	USD	3,992.25	
02AUG17	S 1 PD02NOV17	6350.00	6494.69	USD	-3,617.25	
02AUG17	S 1 PD02NOV17	6327.00	6494.69	USD	-4,192.25	
02AUG17	S 1 PD02NOV17	6326.00	6494.69	USD	-4,217.25	
02AUG17	B 1 PD02NOV17	6341.00	6494.69	USD	3,842.25	
02AUG17	B 1 PD02NOV17	6339.00	6494.69	USD	3,892.25	
02AUG17	S 1 PD02NOV17	6363.50	6494.69	USD	-3,279.75	
02AUG17	B 1 PD02NOV17	6353.00	6494.69	USD	3,542.25	
09AUG17	B 1 PD02NOV17	6452.31	6494.69	USD	1,059.50	
10AUG17	S 1 PD02NOV17	6419.51	6494.69	USD	-1,879.50	
22AUG17	S 1 PD02NOV17	6575.13	6494.69	USD	2,011.00	
30AUG17	B 1 PD02NOV17	6761.02	6494.69	USD	-6,658.25	
	NET 0 AVG BGHT 6430.22 SOLD 6393.52				**-5,504.75**	**0.00**
03AUG17	S 1 PD03NOV17	6346.50	6495.28	USD	-3,719.50	
03AUG17	S 1 PD03NOV17	6347.00	6495.28	USD	-3,707.00	
03AUG17	B 1 PD03NOV17	6344.50	6495.28	USD	3,769.50	
03AUG17	S 1 PD03NOV17	6361.00	6495.28	USD	-3,357.00	
03AUG17	S 1 PD03NOV17	6359.50	6495.28	USD	-3,394.50	
03AUG17	S 2 PD03NOV17	6365.00	6495.28	USD	-6,514.00	
03AUG17	S 1 PD03NOV17	6370.00	6495.28	USD	-3,132.00	
03AUG17	B 1 PD03NOV17	6325.00	6495.28	USD	4,257.00	
03AUG17	B 1 PD03NOV17	6320.50	6495.28	USD	4,369.50	
03AUG17	B 1 PD03NOV17	6305.50	6495.28	USD	4,744.50	
03AUG17	S 1 PD03NOV17	6307.50	6495.28	USD	-4,694.50	
03AUG17	S 1 PD03NOV17	6313.50	6495.28	USD	-4,544.50	
03AUG17	S 1 PD03NOV17	6318.50	6495.28	USD	-4,419.50	
03AUG17	S 1 PD03NOV17	6316.50	6495.28	USD	-4,469.50	
03AUG17	B 1 PD03NOV17	6308.00	6495.28	USD	4,682.00	
03AUG17	S 1 PD03NOV17	6329.00	6495.28	USD	-4,157.00	
03AUG17	S 1 PD03NOV17	6329.50	6495.28	USD	-4,144.50	
03AUG17	S 1 PD03NOV17	6339.00	6495.28	USD	-3,907.00	
03AUG17	S 1 PD03NOV17	6343.00	6495.28	USD	-3,807.00	
03AUG17	S 3 PD03NOV17	6342.50	6495.28	USD	-11,458.50	
03AUG17	S 2 PD03NOV17	6344.00	6495.28	USD	-7,564.00	
03AUG17	S 17 PD03NOV17	6342.00	6495.28	USD	-65,144.00	
03AUG17	B 14 PD03NOV17	6341.50	6495.28	USD	53,823.00	
03AUG17	B 5 PD03NOV17	6340.50	6495.28	USD	19,347.50	
03AUG17	B 3 PD03NOV17	6342.00	6495.28	USD	11,496.00	
03AUG17	B 2 PD03NOV17	6342.50	6495.28	USD	7,639.00	
03AUG17	B 2 PD03NOV17	6341.00	6495.28	USD	7,714.00	
03AUG17	S 1 PD03NOV17	6341.00	6495.28	USD	-3,857.00	
03AUG17	S 5 PD03NOV17	6330.50	6495.28	USD	-20,597.50	
03AUG17	S 1 PD03NOV17	6327.50	6495.28	USD	-4,194.50	
03AUG17	S 1 PD03NOV17	6338.00	6495.28	USD	-3,932.00	
03AUG17	S 1 PD03NOV17	6336.50	6495.28	USD	-3,969.50	
03AUG17	S 1 PD03NOV17	6340.00	6495.28	USD	-3,882.00	
03AUG17	S 3 PD03NOV17	6341.50	6495.28	USD	-11,533.50	
03AUG17	B 1 PD03NOV17	6351.50	6495.28	USD	3,594.50	
03AUG17	B 2 PD03NOV17	6346.50	6495.28	USD	7,439.00	
04AUG17	B 1 PD03NOV17	6373.00	6495.28	USD	3,057.00	
04AUG17	B 1 PD03NOV17	6366.00	6495.28	USD	3,232.00	
04AUG17	B 1 PD03NOV17	6371.50	6495.28	USD	3,094.50	
04AUG17	B 1 PD03NOV17	6379.50	6495.28	USD	2,894.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
04AUG17	B 1 PD03NOV17	6380.50	6495.28	USD	2,869.50	
04AUG17	B 2 PD03NOV17	6380.00	6495.28	USD	5,764.00	
04AUG17	S 1 PD03NOV17	6356.00	6495.28	USD	-3,482.00	
04AUG17	B 3 PD03NOV17	6334.00	6495.28	USD	12,096.00	
04AUG17	B 1 PD03NOV17	6340.50	6495.28	USD	3,869.50	
04AUG17	B 1 PD03NOV17	6355.00	6495.28	USD	3,507.00	
04AUG17	S 1 PD03NOV17	6369.00	6495.28	USD	-3,157.00	
04AUG17	B 1 PD03NOV17	6360.00	6495.28	USD	3,382.00	
04AUG17	S 1 PD03NOV17	6360.00	6495.28	USD	-3,382.00	
07AUG17	S 1 PD03NOV17	6412.16	6495.28	USD	-2,078.00	
09AUG17	S 3 PD03NOV17	6452.49	6495.28	USD	-3,209.25	
10AUG17	S 1 PD03NOV17	6419.93	6495.28	USD	-1,883.75	
17AUG17	S 1 PD03NOV17	6486.86	6495.28	USD	-210.50	
22AUG17	B 1 PD03NOV17	6575.85	6495.28	USD	-2,014.25	
22AUG17	B 8 PD03NOV17	6575.65	6495.28	USD	-16,074.00	
06SEP17	B 1 PD03NOV17	6889.70	6495.28	USD	-9,860.50	
11SEP17	B 2 PD03NOV17	6731.00	6495.28	USD	-11,786.00	
	NET 0 AVG BGHT 6402.46 SOLD 6351.89				**-74,595.75**	**0.00**
07AUG17	S 1 PD07NOV17	6361.00	6497.66	USD	-3,416.50	
07AUG17	B 1 PD07NOV17	6349.00	6497.66	USD	3,716.50	
07AUG17	B 1 PD07NOV17	6350.00	6497.66	USD	3,691.50	
07AUG17	B 1 PD07NOV17	6349.50	6497.66	USD	3,704.00	
07AUG17	S 1 PD07NOV17	6391.50	6497.66	USD	-2,654.00	
07AUG17	S 1 PD07NOV17	6369.50	6497.66	USD	-3,204.00	
07AUG17	S 1 PD07NOV17	6368.50	6497.66	USD	-3,229.00	
07AUG17	S 1 PD07NOV17	6346.00	6497.66	USD	-3,791.50	
07AUG17	B 1 PD07NOV17	6390.50	6497.66	USD	2,679.00	
07AUG17	S 1 PD07NOV17	6371.50	6497.66	USD	-3,154.00	
07AUG17	S 1 PD07NOV17	6366.50	6497.66	USD	-3,279.00	
07AUG17	S 1 PD07NOV17	6350.00	6497.66	USD	-3,691.50	
07AUG17	S 1 PD07NOV17	6366.00	6497.66	USD	-3,291.50	
07AUG17	B 1 PD07NOV17	6389.00	6497.66	USD	2,716.50	
07AUG17	S 1 PD07NOV17	6367.00	6497.66	USD	-3,266.50	
07AUG17	S 1 PD07NOV17	6372.00	6497.66	USD	-3,141.50	
07AUG17	S 1 PD07NOV17	6365.00	6497.66	USD	-3,316.50	
07AUG17	B 2 PD07NOV17	6346.50	6497.66	USD	7,558.00	
07AUG17	S 1 PD07NOV17	6345.00	6497.66	USD	-3,816.50	
07AUG17	S 1 PD07NOV17	6357.50	6497.66	USD	-3,504.00	
07AUG17	B 1 PD07NOV17	6423.00	6497.66	USD	1,866.50	
07AUG17	S 2 PD07NOV17	6373.00	6497.66	USD	-6,233.00	
07AUG17	B 1 PD07NOV17	6347.50	6497.66	USD	3,754.00	
07AUG17	S 1 PD07NOV17	6355.00	6497.66	USD	-3,566.50	
07AUG17	B 3 PD07NOV17	6366.00	6497.66	USD	9,874.50	
07AUG17	B 1 PD07NOV17	6357.00	6497.66	USD	3,516.50	
07AUG17	B 1 PD07NOV17	6367.50	6497.66	USD	3,254.00	
07AUG17	B 1 PD07NOV17	6362.00	6497.66	USD	3,391.50	
07AUG17	S 1 PD07NOV17	6372.50	6497.66	USD	-3,129.00	
07AUG17	B 1 PD07NOV17	6349.50	6497.66	USD	3,704.00	
07AUG17	B 1 PD07NOV17	6380.00	6497.66	USD	2,941.50	
07AUG17	B 2 PD07NOV17	6373.50	6497.66	USD	6,208.00	
07AUG17	B 2 PD07NOV17	6386.50	6497.66	USD	5,558.00	
07AUG17	B 1 PD07NOV17	6383.50	6497.66	USD	2,854.00	
07AUG17	B 1 PD07NOV17	6384.50	6497.66	USD	2,829.00	
07AUG17	S 1 PD07NOV17	6398.50	6497.66	USD	-2,479.00	
07AUG17	B 2 PD07NOV17	6420.00	6497.66	USD	3,883.00	
07AUG17	B 1 PD07NOV17	6419.00	6497.66	USD	1,966.50	
07AUG17	B 1 PD07NOV17	6418.00	6497.66	USD	1,991.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
07AUG17	B 1 PD07NOV17	6424.00	6497.66	USD	1,841.50	
07AUG17	S 1 PD07NOV17	6447.00	6497.66	USD	-1,266.50	
07AUG17	B 1 PD07NOV17	6440.50	6497.66	USD	1,429.00	
07AUG17	B 1 PD07NOV17	6439.00	6497.66	USD	1,466.50	
07AUG17	B 2 PD07NOV17	6438.00	6497.66	USD	2,983.00	
07AUG17	B 3 PD07NOV17	6439.50	6497.66	USD	4,362.00	
07AUG17	S 1 PD07NOV17	6439.00	6497.66	USD	-1,466.50	
07AUG17	S 1 PD07NOV17	6431.00	6497.66	USD	-1,666.50	
07AUG17	S 1 PD07NOV17	6419.50	6497.66	USD	-1,954.00	
07AUG17	B 1 PD07NOV17	6414.00	6497.66	USD	2,091.50	
07AUG17	S 1 PD07NOV17	6420.50	6497.66	USD	-1,929.00	
07AUG17	B 1 PD07NOV17	6422.00	6497.66	USD	1,891.50	
07AUG17	B 1 PD07NOV17	6403.00	6497.66	USD	2,366.50	
07AUG17	S 1 PD07NOV17	6405.00	6497.66	USD	-2,316.50	
07AUG17	S 1 PD07NOV17	6414.00	6497.66	USD	-2,091.50	
07AUG17	S 1 PD07NOV17	6410.00	6497.66	USD	-2,191.50	
09AUG17	S 1 PD07NOV17	6454.03	6497.66	USD	-1,090.75	
10AUG17	S 3 PD07NOV17	6421.57	6497.66	USD	-5,706.75	
17AUG17	S 1 PD07NOV17	6489.01	6497.66	USD	-216.25	
22AUG17	S 6 PD07NOV17	6577.53	6497.66	USD	11,980.50	
06SEP17	S 1 PD07NOV17	6891.77	6497.66	USD	9,852.75	
12SEP17	B 1 PD07NOV17	6651.78	6497.66	USD	-3,853.00	
	NET 0 AVG BGHT 6398.96 SOLD 6433.84				**34,010.50**	**0.00**
08AUG17	B 1 PD08NOV17	6431.00	6498.25	USD	1,681.25	
08AUG17	B 1 PD08NOV17	6426.50	6498.25	USD	1,793.75	
08AUG17	B 1 PD08NOV17	6405.00	6498.25	USD	2,331.25	
08AUG17	B 1 PD08NOV17	6409.50	6498.25	USD	2,218.75	
08AUG17	S 1 PD08NOV17	6396.00	6498.25	USD	-2,556.25	
08AUG17	S 1 PD08NOV17	6398.00	6498.25	USD	-2,506.25	
08AUG17	B 1 PD08NOV17	6401.00	6498.25	USD	2,431.25	
08AUG17	B 3 PD08NOV17	6403.50	6498.25	USD	7,106.25	
08AUG17	S 2 PD08NOV17	6406.00	6498.25	USD	-4,612.50	
08AUG17	S 2 PD08NOV17	6405.50	6498.25	USD	-4,637.50	
08AUG17	S 1 PD08NOV17	6410.50	6498.25	USD	-2,193.75	
08AUG17	B 1 PD08NOV17	6410.00	6498.25	USD	2,206.25	
08AUG17	B 1 PD08NOV17	6408.00	6498.25	USD	2,256.25	
08AUG17	S 1 PD08NOV17	6384.50	6498.25	USD	-2,843.75	
08AUG17	S 2 PD08NOV17	6393.50	6498.25	USD	-5,237.50	
08AUG17	B 1 PD08NOV17	6402.00	6498.25	USD	2,406.25	
08AUG17	B 1 PD08NOV17	6404.00	6498.25	USD	2,356.25	
08AUG17	S 4 PD08NOV17	6393.00	6498.25	USD	-10,525.00	
08AUG17	B 2 PD08NOV17	6407.50	6498.25	USD	4,537.50	
08AUG17	S 2 PD08NOV17	6408.50	6498.25	USD	-4,487.50	
08AUG17	B 2 PD08NOV17	6404.00	6498.25	USD	4,712.50	
08AUG17	S 1 PD08NOV17	6407.00	6498.25	USD	-2,281.25	
08AUG17	B 1 PD08NOV17	6399.50	6498.25	USD	2,468.75	
08AUG17	B 1 PD08NOV17	6414.50	6498.25	USD	2,093.75	
08AUG17	B 1 PD08NOV17	6417.00	6498.25	USD	2,031.25	
08AUG17	B 3 PD08NOV17	6419.00	6498.25	USD	5,943.75	
08AUG17	B 2 PD08NOV17	6418.50	6498.25	USD	3,987.50	
08AUG17	B 2 PD08NOV17	6418.00	6498.25	USD	4,012.50	
08AUG17	B 4 PD08NOV17	6419.50	6498.25	USD	7,875.00	
08AUG17	B 1 PD08NOV17	6425.00	6498.25	USD	1,831.25	
08AUG17	B 4 PD08NOV17	6431.50	6498.25	USD	6,675.00	
08AUG17	B 2 PD08NOV17	6436.00	6498.25	USD	3,112.50	
08AUG17	B 1 PD08NOV17	6437.00	6498.25	USD	1,531.25	
08AUG17	B 2 PD08NOV17	6436.50	6498.25	USD	3,087.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
08AUG17	B 3 PD08NOV17	6438.00	6498.25	USD	4,518.75	
08AUG17	B 1 PD08NOV17	6442.00	6498.25	USD	1,406.25	
08AUG17	B 1 PD08NOV17	6441.00	6498.25	USD	1,431.25	
08AUG17	B 1 PD08NOV17	6450.00	6498.25	USD	1,206.25	
08AUG17	B 2 PD08NOV17	6449.00	6498.25	USD	2,462.50	
08AUG17	B 1 PD08NOV17	6458.00	6498.25	USD	1,006.25	
08AUG17	B 1 PD08NOV17	6462.50	6498.25	USD	893.75	
08AUG17	B 3 PD08NOV17	6469.00	6498.25	USD	2,193.75	
08AUG17	B 1 PD08NOV17	6464.00	6498.25	USD	856.25	
08AUG17	B 1 PD08NOV17	6471.50	6498.25	USD	668.75	
08AUG17	B 2 PD08NOV17	6469.50	6498.25	USD	1,437.50	
08AUG17	B 3 PD08NOV17	6480.00	6498.25	USD	1,368.75	
08AUG17	B 3 PD08NOV17	6474.50	6498.25	USD	1,781.25	
08AUG17	B 2 PD08NOV17	6476.50	6498.25	USD	1,087.50	
08AUG17	B 2 PD08NOV17	6488.00	6498.25	USD	512.50	
08AUG17	B 1 PD08NOV17	6483.50	6498.25	USD	368.75	
08AUG17	B 1 PD08NOV17	6482.00	6498.25	USD	406.25	
08AUG17	S 1 PD08NOV17	6474.00	6498.25	USD	-606.25	
09AUG17	S 2 PD08NOV17	6454.42	6498.25	USD	-2,191.50	
17AUG17	S 6 PD08NOV17	6489.55	6498.25	USD	-1,305.00	
22AUG17	S 4 PD08NOV17	6578.05	6498.25	USD	7,980.00	
22AUG17	S 5 PD08NOV17	6578.25	6498.25	USD	10,000.00	
30AUG17	S 1 PD08NOV17	6764.26	6498.25	USD	6,650.25	
06SEP17	S 4 PD08NOV17	6892.33	6498.25	USD	39,408.00	
11SEP17	S 8 PD08NOV17	6734.13	6498.25	USD	47,176.00	
11SEP17	S 12 PD08NOV17	6734.33	6498.25	USD	70,824.00	
12SEP17	S 9 PD08NOV17	6652.27	6498.25	USD	34,654.50	
	NET 0 AVG BGHT 6437.79 SOLD 6597.21				**275,002.50**	**0.00**
09AUG17	S 1 PD09NOV17	6456.50	6498.71	USD	-1,055.25	
09AUG17	S 1 PD09NOV17	6458.00	6498.71	USD	-1,017.75	
09AUG17	S 2 PD09NOV17	6465.50	6498.71	USD	-1,660.50	
09AUG17	S 1 PD09NOV17	6483.00	6498.71	USD	-392.75	
09AUG17	B 1 PD09NOV17	6485.50	6498.71	USD	330.25	
09AUG17	B 1 PD09NOV17	6481.00	6498.71	USD	442.75	
09AUG17	B 1 PD09NOV17	6478.00	6498.71	USD	517.75	
09AUG17	B 1 PD09NOV17	6498.00	6498.71	USD	17.75	
09AUG17	B 2 PD09NOV17	6497.50	6498.71	USD	60.50	
09AUG17	B 1 PD09NOV17	6509.50	6498.71	USD	-269.75	
09AUG17	B 1 PD09NOV17	6510.00	6498.71	USD	-282.25	
09AUG17	B 1 PD09NOV17	6513.00	6498.71	USD	-357.25	
09AUG17	B 1 PD09NOV17	6488.00	6498.71	USD	267.75	
09AUG17	B 1 PD09NOV17	6489.50	6498.71	USD	230.25	
09AUG17	B 7 PD09NOV17	6486.00	6498.71	USD	2,224.25	
09AUG17	S 2 PD09NOV17	6486.00	6498.71	USD	-635.50	
09AUG17	S 4 PD09NOV17	6487.00	6498.71	USD	-1,171.00	
09AUG17	S 1 PD09NOV17	6491.00	6498.71	USD	-192.75	
09AUG17	B 1 PD09NOV17	6480.50	6498.71	USD	455.25	
09AUG17	B 1 PD09NOV17	6492.50	6498.71	USD	155.25	
09AUG17	B 2 PD09NOV17	6474.50	6498.71	USD	1,210.50	
09AUG17	B 1 PD09NOV17	6476.50	6498.71	USD	555.25	
09AUG17	B 1 PD09NOV17	6472.50	6498.71	USD	655.25	
09AUG17	B 1 PD09NOV17	6470.50	6498.71	USD	705.25	
09AUG17	S 1 PD09NOV17	6443.00	6498.71	USD	-1,392.75	
09AUG17	S 1 PD09NOV17	6450.50	6498.71	USD	-1,205.25	
09AUG17	S 1 PD09NOV17	6453.50	6498.71	USD	-1,130.25	
09AUG17	S 1 PD09NOV17	6455.00	6498.71	USD	-1,092.75	
09AUG17	S 1 PD09NOV17	6450.00	6498.71	USD	-1,217.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
09AUG17	S 1 PD09NOV17	6452.00	6498.71	USD	-1,167.75	
09AUG17	S 1 PD09NOV17	6452.50	6498.71	USD	-1,155.25	
17AUG17	S 3 PD09NOV17	6490.05	6498.71	USD	-649.50	
17AUG17	B 1 PD09NOV17	6490.25	6498.71	USD	211.50	
30AUG17	S 1 PD09NOV17	6764.60	6498.71	USD	6,647.25	
11SEP17	S 1 PD09NOV17	6735.00	6498.71	USD	5,907.25	
12SEP17	S 2 PD09NOV17	6653.15	6498.71	USD	7,722.00	
	NET 0 AVG BGHT 6487.74 SOLD 6506.62				**12,270.00**	**0.00**
10AUG17	B 1 PD10NOV17	6459.00	6499.18	USD	1,004.50	
10AUG17	S 2 PD10NOV17	6456.50	6499.18	USD	-2,134.00	
10AUG17	S 1 PD10NOV17	6459.50	6499.18	USD	-992.00	
10AUG17	B 1 PD10NOV17	6455.00	6499.18	USD	1,104.50	
10AUG17	B 1 PD10NOV17	6446.50	6499.18	USD	1,317.00	
10AUG17	B 1 PD10NOV17	6454.00	6499.18	USD	1,129.50	
10AUG17	B 1 PD10NOV17	6451.50	6499.18	USD	1,192.00	
10AUG17	B 2 PD10NOV17	6450.50	6499.18	USD	2,434.00	
10AUG17	B 1 PD10NOV17	6447.00	6499.18	USD	1,304.50	
10AUG17	B 5 PD10NOV17	6447.50	6499.18	USD	6,460.00	
10AUG17	B 11 PD10NOV17	6448.00	6499.18	USD	14,074.50	
10AUG17	S 5 PD10NOV17	6446.50	6499.18	USD	-6,585.00	
10AUG17	S 10 PD10NOV17	6443.50	6499.18	USD	-13,920.00	
10AUG17	S 5 PD10NOV17	6445.00	6499.18	USD	-6,772.50	
10AUG17	S 1 PD10NOV17	6402.00	6499.18	USD	-2,429.50	
10AUG17	S 1 PD10NOV17	6402.50	6499.18	USD	-2,417.00	
10AUG17	S 1 PD10NOV17	6406.50	6499.18	USD	-2,317.00	
10AUG17	S 1 PD10NOV17	6413.50	6499.18	USD	-2,142.00	
10AUG17	S 2 PD10NOV17	6411.50	6499.18	USD	-4,384.00	
10AUG17	S 5 PD10NOV17	6416.00	6499.18	USD	-10,397.50	
11AUG17	B 1 PD10NOV17	6397.00	6499.18	USD	2,554.50	
11AUG17	S 1 PD10NOV17	6392.50	6499.18	USD	-2,667.00	
11AUG17	B 1 PD10NOV17	6393.00	6499.18	USD	2,654.50	
11AUG17	B 2 PD10NOV17	6388.50	6499.18	USD	5,534.00	
11AUG17	S 1 PD10NOV17	6389.00	6499.18	USD	-2,754.50	
11AUG17	S 1 PD10NOV17	6390.50	6499.18	USD	-2,717.00	
11AUG17	S 1 PD10NOV17	6391.00	6499.18	USD	-2,704.50	
11AUG17	S 1 PD10NOV17	6393.00	6499.18	USD	-2,654.50	
11AUG17	B 1 PD10NOV17	6392.00	6499.18	USD	2,679.50	
11AUG17	B 1 PD10NOV17	6395.50	6499.18	USD	2,592.00	
11AUG17	B 1 PD10NOV17	6398.50	6499.18	USD	2,517.00	
11AUG17	B 1 PD10NOV17	6399.50	6499.18	USD	2,492.00	
11AUG17	B 4 PD10NOV17	6398.00	6499.18	USD	10,118.00	
11AUG17	B 1 PD10NOV17	6391.00	6499.18	USD	2,704.50	
11AUG17	S 2 PD10NOV17	6370.00	6499.18	USD	-6,459.00	
11AUG17	S 1 PD10NOV17	6367.00	6499.18	USD	-3,304.50	
11AUG17	S 2 PD10NOV17	6361.50	6499.18	USD	-6,884.00	
11AUG17	S 1 PD10NOV17	6360.00	6499.18	USD	-3,479.50	
11AUG17	S 1 PD10NOV17	6361.00	6499.18	USD	-3,454.50	
11AUG17	S 1 PD10NOV17	6355.50	6499.18	USD	-3,592.00	
11AUG17	S 1 PD10NOV17	6346.50	6499.18	USD	-3,817.00	
11AUG17	S 1 PD10NOV17	6347.50	6499.18	USD	-3,792.00	
11AUG17	S 1 PD10NOV17	6349.50	6499.18	USD	-3,742.00	
11AUG17	S 1 PD10NOV17	6353.50	6499.18	USD	-3,642.00	
11AUG17	S 1 PD10NOV17	6348.00	6499.18	USD	-3,779.50	
11AUG17	S 2 PD10NOV17	6365.50	6499.18	USD	-6,684.00	
11AUG17	S 6 PD10NOV17	6363.00	6499.18	USD	-20,427.00	
11AUG17	S 1 PD10NOV17	6362.00	6499.18	USD	-3,429.50	
11AUG17	S 4 PD10NOV17	6363.50	6499.18	USD	-13,568.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
11AUG17	S 1 PD10NOV17	6367.50	6499.18	USD	-3,292.00	
11AUG17	S 1 PD10NOV17	6364.00	6499.18	USD	-3,379.50	
11AUG17	S 3 PD10NOV17	6369.50	6499.18	USD	-9,726.00	
11AUG17	S 1 PD10NOV17	6370.50	6499.18	USD	-3,217.00	
11AUG17	S 6 PD10NOV17	6365.00	6499.18	USD	-20,127.00	
11AUG17	S 2 PD10NOV17	6364.50	6499.18	USD	-6,734.00	
11AUG17	B 1 PD10NOV17	6364.50	6499.18	USD	3,367.00	
11AUG17	B 2 PD10NOV17	6361.00	6499.18	USD	6,909.00	
11AUG17	S 3 PD10NOV17	6354.50	6499.18	USD	-10,851.00	
11AUG17	S 1 PD10NOV17	6354.00	6499.18	USD	-3,629.50	
11AUG17	S 1 PD10NOV17	6356.00	6499.18	USD	-3,579.50	
11AUG17	B 1 PD10NOV17	6354.00	6499.18	USD	3,629.50	
11AUG17	B 1 PD10NOV17	6358.50	6499.18	USD	3,517.00	
11AUG17	S 4 PD10NOV17	6359.50	6499.18	USD	-13,968.00	
11AUG17	S 3 PD10NOV17	6360.50	6499.18	USD	-10,401.00	
11AUG17	B 2 PD10NOV17	6357.50	6499.18	USD	7,084.00	
11AUG17	B 2 PD10NOV17	6356.00	6499.18	USD	7,159.00	
11AUG17	S 3 PD10NOV17	6349.00	6499.18	USD	-11,263.50	
11AUG17	S 2 PD10NOV17	6350.00	6499.18	USD	-7,459.00	
11AUG17	S 1 PD10NOV17	6351.00	6499.18	USD	-3,704.50	
11AUG17	S 2 PD10NOV17	6359.00	6499.18	USD	-7,009.00	
11AUG17	S 2 PD10NOV17	6345.50	6499.18	USD	-7,684.00	
11AUG17	S 4 PD10NOV17	6350.50	6499.18	USD	-14,868.00	
11AUG17	S 1 PD10NOV17	6352.00	6499.18	USD	-3,679.50	
11AUG17	S 1 PD10NOV17	6342.50	6499.18	USD	-3,917.00	
11AUG17	S 1 PD10NOV17	6341.00	6499.18	USD	-3,954.50	
11AUG17	S 1 PD10NOV17	6345.00	6499.18	USD	-3,854.50	
11AUG17	S 2 PD10NOV17	6346.00	6499.18	USD	-7,659.00	
11AUG17	S 1 PD10NOV17	6382.50	6499.18	USD	-2,917.00	
11AUG17	B 1 PD10NOV17	6384.00	6499.18	USD	2,879.50	
11AUG17	S 1 PD10NOV17	6381.50	6499.18	USD	-2,942.00	
11AUG17	B 1 PD10NOV17	6356.50	6499.18	USD	3,567.00	
11AUG17	S 1 PD10NOV17	6345.00	6499.18	USD	-3,854.50	
11AUG17	S 1 PD10NOV17	6397.00	6499.18	USD	-2,554.50	
11AUG17	S 1 PD10NOV17	6396.00	6499.18	USD	-2,579.50	
11AUG17	S 1 PD10NOV17	6409.50	6499.18	USD	-2,242.00	
11AUG17	S 1 PD10NOV17	6405.50	6499.18	USD	-2,342.00	
11AUG17	S 1 PD10NOV17	6402.00	6499.18	USD	-2,429.50	
11AUG17	S 1 PD10NOV17	6403.00	6499.18	USD	-2,404.50	
11AUG17	S 1 PD10NOV17	6399.50	6499.18	USD	-2,492.00	
11AUG17	B 5 PD10NOV17	6400.00	6499.18	USD	12,397.50	
11AUG17	S 1 PD10NOV17	6399.00	6499.18	USD	-2,504.50	
11AUG17	B 2 PD10NOV17	6400.50	6499.18	USD	4,934.00	
11AUG17	B 3 PD10NOV17	6399.00	6499.18	USD	7,513.50	
11AUG17	B 1 PD10NOV17	6396.00	6499.18	USD	2,579.50	
11AUG17	S 1 PD10NOV17	6407.50	6499.18	USD	-2,292.00	
11AUG17	S 2 PD10NOV17	6411.00	6499.18	USD	-4,409.00	
11AUG17	S 1 PD10NOV17	6409.00	6499.18	USD	-2,254.50	
11AUG17	S 2 PD10NOV17	6420.50	6499.18	USD	-3,934.00	
11AUG17	S 2 PD10NOV17	6421.00	6499.18	USD	-3,909.00	
11AUG17	S 2 PD10NOV17	6421.50	6499.18	USD	-3,884.00	
11AUG17	S 1 PD10NOV17	6415.00	6499.18	USD	-2,104.50	
11AUG17	S 3 PD10NOV17	6415.50	6499.18	USD	-6,276.00	
11AUG17	S 1 PD10NOV17	6413.50	6499.18	USD	-2,142.00	
11AUG17	S 1 PD10NOV17	6427.00	6499.18	USD	-1,804.50	
11AUG17	S 1 PD10NOV17	6431.50	6499.18	USD	-1,692.00	
11AUG17	S 1 PD10NOV17	6426.00	6499.18	USD	-1,829.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT							
11AUG17	S	1	PD10NOV17	6399.00	6499.18	USD	-2,504.50	
17AUG17	B	5	PD10NOV17	6490.75	6499.18	USD	1,053.75	
22AUG17	B	5	PD10NOV17	6579.45	6499.18	USD	-10,033.75	
22AUG17	B	7	PD10NOV17	6579.25	6499.18	USD	-14,012.25	
30AUG17	B	1	PD10NOV17	6765.54	6499.18	USD	-6,659.00	
30AUG17	B	17	PD10NOV17	6765.34	6499.18	USD	-113,118.00	
06SEP17	B	2	PD10NOV17	6893.67	6499.18	USD	-19,724.50	
11SEP17	B	10	PD10NOV17	6735.67	6499.18	USD	-59,122.50	
11SEP17	B	13	PD10NOV17	6735.87	6499.18	USD	-76,924.25	
12SEP17	B	2	PD10NOV17	6654.03	6499.18	USD	-7,742.50	
12SEP17	B	20	PD10NOV17	6653.83	6499.18	USD	-77,325.00	
	NET	**0**	**AVG BGHT 6571.29**	**SOLD 6388.46**			**-644,502.00**	**0.00**
14AUG17	S	2	PD14NOV17	6405.00	6501.04	USD	-4,802.00	
14AUG17	B	1	PD14NOV17	6436.50	6501.04	USD	1,613.50	
14AUG17	S	1	PD14NOV17	6437.50	6501.04	USD	-1,588.50	
14AUG17	S	1	PD14NOV17	6438.00	6501.04	USD	-1,576.00	
14AUG17	B	1	PD14NOV17	6418.50	6501.04	USD	2,063.50	
14AUG17	S	1	PD14NOV17	6413.00	6501.04	USD	-2,201.00	
14AUG17	S	1	PD14NOV17	6418.50	6501.04	USD	-2,063.50	
14AUG17	S	3	PD14NOV17	6405.50	6501.04	USD	-7,165.50	
14AUG17	S	1	PD14NOV17	6420.50	6501.04	USD	-2,013.50	
14AUG17	S	1	PD14NOV17	6423.50	6501.04	USD	-1,938.50	
14AUG17	S	1	PD14NOV17	6424.00	6501.04	USD	-1,926.00	
14AUG17	S	2	PD14NOV17	6407.00	6501.04	USD	-4,702.00	
14AUG17	S	2	PD14NOV17	6412.50	6501.04	USD	-4,427.00	
14AUG17	B	1	PD14NOV17	6434.50	6501.04	USD	1,663.50	
14AUG17	S	1	PD14NOV17	6414.50	6501.04	USD	-2,163.50	
14AUG17	S	2	PD14NOV17	6408.00	6501.04	USD	-4,652.00	
14AUG17	S	1	PD14NOV17	6408.50	6501.04	USD	-2,313.50	
14AUG17	S	2	PD14NOV17	6406.50	6501.04	USD	-4,727.00	
14AUG17	S	1	PD14NOV17	6404.00	6501.04	USD	-2,426.00	
14AUG17	S	1	PD14NOV17	6404.50	6501.04	USD	-2,413.50	
14AUG17	B	2	PD14NOV17	6403.00	6501.04	USD	4,902.00	
14AUG17	B	1	PD14NOV17	6402.50	6501.04	USD	2,463.50	
14AUG17	B	1	PD14NOV17	6403.50	6501.04	USD	2,438.50	
14AUG17	B	2	PD14NOV17	6406.00	6501.04	USD	4,752.00	
14AUG17	B	1	PD14NOV17	6405.00	6501.04	USD	2,401.00	
14AUG17	S	2	PD14NOV17	6406.00	6501.04	USD	-4,752.00	
14AUG17	S	1	PD14NOV17	6397.50	6501.04	USD	-2,588.50	
14AUG17	S	1	PD14NOV17	6401.50	6501.04	USD	-2,488.50	
14AUG17	S	2	PD14NOV17	6411.00	6501.04	USD	-4,502.00	
14AUG17	S	3	PD14NOV17	6413.50	6501.04	USD	-6,565.50	
14AUG17	S	4	PD14NOV17	6416.00	6501.04	USD	-8,504.00	
14AUG17	B	1	PD14NOV17	6391.50	6501.04	USD	2,738.50	
30AUG17	B	10	PD14NOV17	6767.50	6501.04	USD	-66,615.00	
06SEP17	B	1	PD14NOV17	6895.93	6501.04	USD	-9,872.25	
11SEP17	B	6	PD14NOV17	6738.33	6501.04	USD	-35,593.50	
12SEP17	B	9	PD14NOV17	6656.57	6501.04	USD	-34,994.25	
	NET	**0**	**AVG BGHT 6632.97**	**SOLD 6411.85**			**-204,538.50**	**0.00**
15AUG17	S	1	PD15NOV17	6395.50	6501.50	USD	-2,650.00	
15AUG17	B	2	PD15NOV17	6418.00	6501.50	USD	4,175.00	
15AUG17	B	1	PD15NOV17	6420.00	6501.50	USD	2,037.50	
15AUG17	B	1	PD15NOV17	6415.00	6501.50	USD	2,162.50	
15AUG17	S	4	PD15NOV17	6416.50	6501.50	USD	-8,500.00	
15AUG17	S	5	PD15NOV17	6418.00	6501.50	USD	-10,437.50	
15AUG17	B	2	PD15NOV17	6413.00	6501.50	USD	4,425.00	
15AUG17	B	2	PD15NOV17	6414.50	6501.50	USD	4,350.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
15AUG17	S 1 PD15NOV17	6412.00	6501.50	USD	-2,237.50	
15AUG17	B 8 PD15NOV17	6414.00	6501.50	USD	17,500.00	
15AUG17	S 1 PD15NOV17	6413.00	6501.50	USD	-2,212.50	
15AUG17	S 2 PD15NOV17	6417.50	6501.50	USD	-4,200.00	
15AUG17	S 1 PD15NOV17	6419.00	6501.50	USD	-2,062.50	
15AUG17	S 1 PD15NOV17	6389.00	6501.50	USD	-2,812.50	
15AUG17	S 1 PD15NOV17	6381.50	6501.50	USD	-3,000.00	
15AUG17	B 1 PD15NOV17	6367.50	6501.50	USD	3,350.00	
15AUG17	B 1 PD15NOV17	6364.50	6501.50	USD	3,425.00	
17AUG17	S 2 PD15NOV17	6491.80	6501.50	USD	-485.00	
30AUG17	B 1 PD15NOV17	6767.50	6501.50	USD	-6,650.00	
	NET 0 AVG BGHT 6428.29 SOLD 6420.24				**-3,822.50**	**0.00**
16AUG17	B 1 PD16NOV17	6358.50	6501.00	USD	3,562.50	
16AUG17	S 1 PD16NOV17	6357.50	6501.00	USD	-3,587.50	
16AUG17	B 1 PD16NOV17	6365.50	6501.00	USD	3,387.50	
16AUG17	B 1 PD16NOV17	6371.00	6501.00	USD	3,250.00	
16AUG17	B 2 PD16NOV17	6385.00	6501.00	USD	5,800.00	
16AUG17	B 5 PD16NOV17	6384.50	6501.00	USD	14,562.50	
16AUG17	B 1 PD16NOV17	6389.50	6501.00	USD	2,787.50	
16AUG17	B 1 PD16NOV17	6384.00	6501.00	USD	2,925.00	
16AUG17	B 1 PD16NOV17	6390.00	6501.00	USD	2,775.00	
16AUG17	B 1 PD16NOV17	6363.50	6501.00	USD	3,437.50	
16AUG17	S 1 PD16NOV17	6466.00	6501.00	USD	-875.00	
16AUG17	S 1 PD16NOV17	6461.00	6501.00	USD	-1,000.00	
16AUG17	B 1 PD16NOV17	6467.50	6501.00	USD	837.50	
16AUG17	S 1 PD16NOV17	6460.00	6501.00	USD	-1,025.00	
16AUG17	S 2 PD16NOV17	6457.00	6501.00	USD	-2,200.00	
16AUG17	S 1 PD16NOV17	6449.50	6501.00	USD	-1,287.50	
16AUG17	S 1 PD16NOV17	6450.00	6501.00	USD	-1,275.00	
16AUG17	S 1 PD16NOV17	6447.00	6501.00	USD	-1,350.00	
16AUG17	S 7 PD16NOV17	6445.50	6501.00	USD	-9,712.50	
16AUG17	S 10 PD16NOV17	6445.00	6501.00	USD	-14,000.00	
16AUG17	S 1 PD16NOV17	6444.50	6501.00	USD	-1,412.50	
16AUG17	B 10 PD16NOV17	6442.50	6501.00	USD	14,625.00	
16AUG17	B 10 PD16NOV17	6446.00	6501.00	USD	13,750.00	
16AUG17	B 3 PD16NOV17	6448.50	6501.00	USD	3,937.50	
16AUG17	B 1 PD16NOV17	6449.50	6501.00	USD	1,287.50	
16AUG17	S 2 PD16NOV17	6476.50	6501.00	USD	-1,225.00	
16AUG17	B 1 PD16NOV17	6487.50	6501.00	USD	337.50	
16AUG17	B 2 PD16NOV17	6508.50	6501.00	USD	-375.00	
16AUG17	B 1 PD16NOV17	6509.50	6501.00	USD	-212.50	
16AUG17	B 1 PD16NOV17	6526.50	6501.00	USD	-637.50	
16AUG17	B 1 PD16NOV17	6520.00	6501.00	USD	-475.00	
16AUG17	B 2 PD16NOV17	6522.50	6501.00	USD	-1,075.00	
16AUG17	B 1 PD16NOV17	6516.50	6501.00	USD	-387.50	
16AUG17	B 1 PD16NOV17	6512.00	6501.00	USD	-275.00	
16AUG17	B 2 PD16NOV17	6517.00	6501.00	USD	-800.00	
16AUG17	B 1 PD16NOV17	6519.00	6501.00	USD	-450.00	
16AUG17	B 1 PD16NOV17	6516.00	6501.00	USD	-375.00	
16AUG17	S 2 PD16NOV17	6541.00	6501.00	USD	2,000.00	
16AUG17	S 1 PD16NOV17	6536.00	6501.00	USD	875.00	
16AUG17	S 4 PD16NOV17	6537.50	6501.00	USD	3,650.00	
16AUG17	S 1 PD16NOV17	6492.00	6501.00	USD	-225.00	
16AUG17	S 1 PD16NOV17	6542.00	6501.00	USD	1,025.00	
17AUG17	S 4 PD16NOV17	6489.80	6501.00	USD	-1,120.00	
06SEP17	S 1 PD16NOV17	6893.30	6501.00	USD	9,807.50	
11SEP17	S 2 PD16NOV17	6736.30	6501.00	USD	11,765.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
12SEP17	S 8 PD16NOV17	6656.05	6501.00	USD	31,010.00	
	NET 0 AVG BGHT 6446.51 SOLD 6515.97				**92,037.50**	**0.00**
17AUG17	B 1 PD17NOV17	6549.00	6501.60	USD	-1,185.00	
17AUG17	B 1 PD17NOV17	6554.00	6501.60	USD	-1,310.00	
17AUG17	B 1 PD17NOV17	6565.50	6501.60	USD	-1,597.50	
17AUG17	B 1 PD17NOV17	6565.00	6501.60	USD	-1,585.00	
17AUG17	B 1 PD17NOV17	6575.00	6501.60	USD	-1,835.00	
17AUG17	B 1 PD17NOV17	6580.00	6501.60	USD	-1,960.00	
17AUG17	B 1 PD17NOV17	6569.00	6501.60	USD	-1,685.00	
17AUG17	B 1 PD17NOV17	6574.50	6501.60	USD	-1,822.50	
17AUG17	B 2 PD17NOV17	6549.50	6501.60	USD	-2,395.00	
17AUG17	B 1 PD17NOV17	6507.50	6501.60	USD	-147.50	
17AUG17	B 1 PD17NOV17	6495.50	6501.60	USD	152.50	
17AUG17	B 1 PD17NOV17	6498.00	6501.60	USD	90.00	
17AUG17	B 1 PD17NOV17	6502.00	6501.60	USD	-10.00	
17AUG17	B 3 PD17NOV17	6503.50	6501.60	USD	-142.50	
17AUG17	B 4 PD17NOV17	6504.00	6501.60	USD	-240.00	
17AUG17	B 3 PD17NOV17	6500.50	6501.60	USD	82.50	
17AUG17	B 2 PD17NOV17	6502.50	6501.60	USD	-45.00	
17AUG17	B 3 PD17NOV17	6503.00	6501.60	USD	-105.00	
17AUG17	B 7 PD17NOV17	6501.50	6501.60	USD	17.50	
17AUG17	S 3 PD17NOV17	6500.00	6501.60	USD	-120.00	
17AUG17	S 7 PD17NOV17	6499.00	6501.60	USD	-455.00	
17AUG17	S 1 PD17NOV17	6504.00	6501.60	USD	60.00	
17AUG17	S 3 PD17NOV17	6503.00	6501.60	USD	105.00	
17AUG17	S 1 PD17NOV17	6500.50	6501.60	USD	-27.50	
17AUG17	S 7 PD17NOV17	6501.00	6501.60	USD	-105.00	
17AUG17	S 1 PD17NOV17	6502.00	6501.60	USD	10.00	
17AUG17	S 1 PD17NOV17	6501.50	6501.60	USD	-2.50	
17AUG17	S 2 PD17NOV17	6503.50	6501.60	USD	95.00	
17AUG17	B 4 PD17NOV17	6500.00	6501.60	USD	160.00	
17AUG17	B 1 PD17NOV17	6504.50	6501.60	USD	-72.50	
17AUG17	B 1 PD17NOV17	6515.50	6501.60	USD	-347.50	
17AUG17	B 2 PD17NOV17	6510.00	6501.60	USD	-420.00	
17AUG17	B 2 PD17NOV17	6499.50	6501.60	USD	105.00	
17AUG17	B 1 PD17NOV17	6499.00	6501.60	USD	65.00	
17AUG17	B 1 PD17NOV17	6494.50	6501.60	USD	177.50	
17AUG17	B 1 PD17NOV17	6497.00	6501.60	USD	115.00	
17AUG17	B 1 PD17NOV17	6484.00	6501.60	USD	440.00	
17AUG17	B 1 PD17NOV17	6481.50	6501.60	USD	502.50	
17AUG17	B 2 PD17NOV17	6485.00	6501.60	USD	830.00	
17AUG17	B 1 PD17NOV17	6483.50	6501.60	USD	452.50	
17AUG17	B 2 PD17NOV17	6503.00	6501.60	USD	-70.00	
17AUG17	S 1 PD17NOV17	6502.00	6501.60	USD	10.00	
17AUG17	B 4 PD17NOV17	6500.50	6501.60	USD	110.00	
17AUG17	B 1 PD17NOV17	6489.50	6501.60	USD	302.50	
17AUG17	B 1 PD17NOV17	6488.00	6501.60	USD	340.00	
17AUG17	B 2 PD17NOV17	6487.00	6501.60	USD	730.00	
17AUG17	B 1 PD17NOV17	6473.50	6501.60	USD	702.50	
17AUG17	B 1 PD17NOV17	6476.50	6501.60	USD	627.50	
18AUG17	B 1 PD17NOV17	6473.50	6501.60	USD	702.50	
18AUG17	B 1 PD17NOV17	6483.50	6501.60	USD	452.50	
18AUG17	S 1 PD17NOV17	6490.00	6501.60	USD	-290.00	
18AUG17	S 1 PD17NOV17	6493.00	6501.60	USD	-215.00	
18AUG17	S 2 PD17NOV17	6493.50	6501.60	USD	-405.00	
18AUG17	B 1 PD17NOV17	6459.50	6501.60	USD	1,052.50	
18AUG17	B 1 PD17NOV17	6496.00	6501.60	USD	140.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
18AUG17	B 2 PD17NOV17	6504.50	6501.60	USD	-145.00	
18AUG17	B 5 PD17NOV17	6508.50	6501.60	USD	-862.50	
18AUG17	B 4 PD17NOV17	6508.00	6501.60	USD	-640.00	
18AUG17	B 5 PD17NOV17	6507.00	6501.60	USD	-675.00	
18AUG17	S 1 PD17NOV17	6510.50	6501.60	USD	222.50	
18AUG17	S 9 PD17NOV17	6510.00	6501.60	USD	1,890.00	
18AUG17	B 1 PD17NOV17	6511.00	6501.60	USD	-235.00	
18AUG17	S 1 PD17NOV17	6507.50	6501.60	USD	147.50	
18AUG17	S 7 PD17NOV17	6508.50	6501.60	USD	1,207.50	
18AUG17	B 1 PD17NOV17	6520.00	6501.60	USD	-460.00	
18AUG17	B 1 PD17NOV17	6526.00	6501.60	USD	-610.00	
18AUG17	B 1 PD17NOV17	6518.50	6501.60	USD	-422.50	
18AUG17	B 1 PD17NOV17	6475.50	6501.60	USD	652.50	
18AUG17	B 2 PD17NOV17	6474.50	6501.60	USD	1,355.00	
18AUG17	B 2 PD17NOV17	6473.00	6501.60	USD	1,430.00	
18AUG17	B 1 PD17NOV17	6461.00	6501.60	USD	1,015.00	
18AUG17	B 1 PD17NOV17	6461.50	6501.60	USD	1,002.50	
18AUG17	B 3 PD17NOV17	6462.00	6501.60	USD	2,970.00	
18AUG17	B 1 PD17NOV17	6466.50	6501.60	USD	877.50	
18AUG17	B 1 PD17NOV17	6476.50	6501.60	USD	627.50	
18AUG17	B 1 PD17NOV17	6460.50	6501.60	USD	1,027.50	
18AUG17	B 1 PD17NOV17	6468.50	6501.60	USD	827.50	
18AUG17	B 2 PD17NOV17	6467.50	6501.60	USD	1,705.00	
18AUG17	B 1 PD17NOV17	6508.00	6501.60	USD	-160.00	
18AUG17	B 1 PD17NOV17	6489.00	6501.60	USD	315.00	
18AUG17	B 3 PD17NOV17	6488.00	6501.60	USD	1,020.00	
22AUG17	S 7 PD17NOV17	6578.76	6501.60	USD	13,503.00	
30AUG17	S 17 PD17NOV17	6765.55	6501.60	USD	112,178.75	
06SEP17	S 2 PD17NOV17	6893.68	6501.60	USD	19,604.00	
06SEP17	S 2 PD17NOV17	6893.88	6501.60	USD	19,614.00	
11SEP17	S 11 PD17NOV17	6736.73	6501.60	USD	64,660.75	
12SEP17	S 14 PD17NOV17	6656.27	6501.60	USD	54,134.50	
19SEP17	S 1 PD17NOV17	6524.68	6501.60	USD	577.00	
	NET +8 AVG BGHT 6500.88 SOLD 6612.82				**288,389.50**	**0.00**
21AUG17	B 1 PD21NOV17	6529.50	6504.00	USD	-637.50	
21AUG17	B 1 PD21NOV17	6508.00	6504.00	USD	-100.00	
21AUG17	B 1 PD21NOV17	6490.00	6504.00	USD	350.00	
21AUG17	B 2 PD21NOV17	6529.00	6504.00	USD	-1,250.00	
21AUG17	S 1 PD21NOV17	6484.00	6504.00	USD	-500.00	
21AUG17	B 1 PD21NOV17	6512.50	6504.00	USD	-212.50	
21AUG17	B 2 PD21NOV17	6479.00	6504.00	USD	1,250.00	
21AUG17	S 1 PD21NOV17	6488.50	6504.00	USD	-387.50	
21AUG17	S 1 PD21NOV17	6489.00	6504.00	USD	-375.00	
21AUG17	B 1 PD21NOV17	6479.50	6504.00	USD	612.50	
21AUG17	B 1 PD21NOV17	6493.00	6504.00	USD	275.00	
21AUG17	S 1 PD21NOV17	6483.50	6504.00	USD	-512.50	
21AUG17	B 1 PD21NOV17	6481.50	6504.00	USD	562.50	
21AUG17	S 1 PD21NOV17	6514.00	6504.00	USD	250.00	
21AUG17	B 1 PD21NOV17	6535.00	6504.00	USD	-775.00	
21AUG17	B 2 PD21NOV17	6559.00	6504.00	USD	-2,750.00	
21AUG17	B 1 PD21NOV17	6558.50	6504.00	USD	-1,362.50	
21AUG17	B 2 PD21NOV17	6556.50	6504.00	USD	-2,625.00	
21AUG17	B 1 PD21NOV17	6558.00	6504.00	USD	-1,350.00	
21AUG17	B 1 PD21NOV17	6561.00	6504.00	USD	-1,425.00	
21AUG17	B 2 PD21NOV17	6569.00	6504.00	USD	-3,250.00	
21AUG17	B 1 PD21NOV17	6572.00	6504.00	USD	-1,700.00	
21AUG17	B 1 PD21NOV17	6571.50	6504.00	USD	-1,687.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
21AUG17	B 2 PD21NOV17	6571.00	6504.00	USD	-3,350.00	
21AUG17	B 1 PD21NOV17	6585.00	6504.00	USD	-2,025.00	
21AUG17	B 2 PD21NOV17	6584.50	6504.00	USD	-4,025.00	
21AUG17	B 1 PD21NOV17	6583.00	6504.00	USD	-1,975.00	
21AUG17	B 1 PD21NOV17	6590.50	6504.00	USD	-2,162.50	
21AUG17	B 1 PD21NOV17	6592.00	6504.00	USD	-2,200.00	
21AUG17	B 2 PD21NOV17	6591.50	6504.00	USD	-4,375.00	
21AUG17	B 1 PD21NOV17	6582.50	6504.00	USD	-1,962.50	
21AUG17	S 1 PD21NOV17	6541.50	6504.00	USD	937.50	
21AUG17	S 1 PD21NOV17	6541.00	6504.00	USD	925.00	
21AUG17	S 1 PD21NOV17	6559.50	6504.00	USD	1,387.50	
21AUG17	B 5 PD21NOV17	6560.00	6504.00	USD	-7,000.00	
21AUG17	S 1 PD21NOV17	6543.00	6504.00	USD	975.00	
21AUG17	B 1 PD21NOV17	6479.00	6504.00	USD	625.00	
21AUG17	B 1 PD21NOV17	6551.50	6504.00	USD	-1,187.50	
21AUG17	B 1 PD21NOV17	6554.50	6504.00	USD	-1,262.50	
21AUG17	B 1 PD21NOV17	6551.00	6504.00	USD	-1,175.00	
21AUG17	B 2 PD21NOV17	6555.50	6504.00	USD	-2,575.00	
21AUG17	B 1 PD21NOV17	6556.00	6504.00	USD	-1,300.00	
21AUG17	B 4 PD21NOV17	6557.00	6504.00	USD	-5,300.00	
21AUG17	B 4 PD21NOV17	6557.50	6504.00	USD	-5,350.00	
21AUG17	B 2 PD21NOV17	6565.50	6504.00	USD	-3,075.00	
21AUG17	B 4 PD21NOV17	6563.50	6504.00	USD	-5,950.00	
21AUG17	B 5 PD21NOV17	6563.00	6504.00	USD	-7,375.00	
21AUG17	B 5 PD21NOV17	6564.00	6504.00	USD	-7,500.00	
21AUG17	S 1 PD21NOV17	6563.50	6504.00	USD	1,487.50	
21AUG17	S 2 PD21NOV17	6562.50	6504.00	USD	2,925.00	
21AUG17	B 2 PD21NOV17	6564.50	6504.00	USD	-3,025.00	
21AUG17	B 3 PD21NOV17	6566.50	6504.00	USD	-4,687.50	
21AUG17	B 2 PD21NOV17	6570.00	6504.00	USD	-3,300.00	
21AUG17	B 1 PD21NOV17	6570.50	6504.00	USD	-1,662.50	
21AUG17	S 2 PD21NOV17	6567.50	6504.00	USD	3,175.00	
21AUG17	S 4 PD21NOV17	6563.00	6504.00	USD	5,900.00	
21AUG17	B 1 PD21NOV17	6587.50	6504.00	USD	-2,087.50	
21AUG17	B 3 PD21NOV17	6590.00	6504.00	USD	-6,450.00	
21AUG17	B 4 PD21NOV17	6591.00	6504.00	USD	-8,700.00	
21AUG17	B 1 PD21NOV17	6586.00	6504.00	USD	-2,050.00	
21AUG17	S 1 PD21NOV17	6568.00	6504.00	USD	1,600.00	
21AUG17	S 1 PD21NOV17	6553.50	6504.00	USD	1,237.50	
21AUG17	S 1 PD21NOV17	6554.00	6504.00	USD	1,250.00	
21AUG17	B 4 PD21NOV17	6599.00	6504.00	USD	-9,500.00	
21AUG17	B 1 PD21NOV17	6614.50	6504.00	USD	-2,762.50	
21AUG17	B 1 PD21NOV17	6620.50	6504.00	USD	-2,912.50	
21AUG17	B 2 PD21NOV17	6609.50	6504.00	USD	-5,275.00	
21AUG17	B 2 PD21NOV17	6621.50	6504.00	USD	-5,875.00	
21AUG17	B 2 PD21NOV17	6622.50	6504.00	USD	-5,925.00	
21AUG17	B 2 PD21NOV17	6622.00	6504.00	USD	-5,900.00	
21AUG17	S 1 PD21NOV17	6577.00	6504.00	USD	1,825.00	
21AUG17	S 1 PD21NOV17	6576.00	6504.00	USD	1,800.00	
21AUG17	S 2 PD21NOV17	6579.00	6504.00	USD	3,750.00	
21AUG17	B 1 PD21NOV17	6600.00	6504.00	USD	-2,400.00	
21AUG17	B 1 PD21NOV17	6588.00	6504.00	USD	-2,100.00	
21AUG17	S 5 PD21NOV17	6578.00	6504.00	USD	9,250.00	
21AUG17	S 1 PD21NOV17	6569.00	6504.00	USD	1,625.00	
22AUG17	S 5 PD21NOV17	6579.59	6504.00	USD	9,448.75	
30AUG17	S 11 PD21NOV17	6766.55	6504.00	USD	72,201.25	
30AUG17	S 15 PD21NOV17	6766.75	6504.00	USD	98,531.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT							
06SEP17	B	1	PD21NOV17	6895.58	6504.00	USD	-9,789.50	
11SEP17	S	3	PD21NOV17	6738.47	6504.00	USD	17,585.25	
11SEP17	S	9	PD21NOV17	6738.67	6504.00	USD	52,800.75	
12SEP17	S	9	PD21NOV17	6657.14	6504.00	USD	34,456.50	
12SEP17	S	19	PD21NOV17	6657.34	6504.00	USD	72,836.50	
	NET	**+2**	**AVG BGHT 6569.76** **SOLD 6659.45**				**225,408.25**	**0.00**
22AUG17	S	1	PD22NOV17	6576.00	6504.60	USD	1,785.00	
22AUG17	B	1	PD22NOV17	6571.50	6504.60	USD	-1,672.50	
22AUG17	B	1	PD22NOV17	6588.50	6504.60	USD	-2,097.50	
22AUG17	B	2	PD22NOV17	6590.00	6504.60	USD	-4,270.00	
22AUG17	B	1	PD22NOV17	6591.50	6504.60	USD	-2,172.50	
22AUG17	B	1	PD22NOV17	6587.50	6504.60	USD	-2,072.50	
22AUG17	B	1	PD22NOV17	6640.50	6504.60	USD	-3,397.50	
22AUG17	B	2	PD22NOV17	6637.50	6504.60	USD	-6,645.00	
22AUG17	B	1	PD22NOV17	6611.50	6504.60	USD	-2,672.50	
22AUG17	B	2	PD22NOV17	6617.00	6504.60	USD	-5,620.00	
22AUG17	B	2	PD22NOV17	6621.00	6504.60	USD	-5,820.00	
22AUG17	S	1	PD22NOV17	6623.50	6504.60	USD	2,972.50	
22AUG17	B	1	PD22NOV17	6645.00	6504.60	USD	-3,510.00	
22AUG17	B	1	PD22NOV17	6646.50	6504.60	USD	-3,547.50	
22AUG17	B	1	PD22NOV17	6647.00	6504.60	USD	-3,560.00	
22AUG17	B	1	PD22NOV17	6647.50	6504.60	USD	-3,572.50	
22AUG17	S	1	PD22NOV17	6646.00	6504.60	USD	3,535.00	
22AUG17	B	1	PD22NOV17	6644.50	6504.60	USD	-3,497.50	
22AUG17	B	1	PD22NOV17	6642.00	6504.60	USD	-3,435.00	
22AUG17	B	1	PD22NOV17	6639.00	6504.60	USD	-3,360.00	
22AUG17	S	1	PD22NOV17	6613.50	6504.60	USD	2,722.50	
22AUG17	S	1	PD22NOV17	6604.50	6504.60	USD	2,497.50	
22AUG17	S	1	PD22NOV17	6606.50	6504.60	USD	2,547.50	
22AUG17	S	1	PD22NOV17	6607.00	6504.60	USD	2,560.00	
22AUG17	S	1	PD22NOV17	6610.50	6504.60	USD	2,647.50	
22AUG17	S	1	PD22NOV17	6614.50	6504.60	USD	2,747.50	
22AUG17	S	1	PD22NOV17	6597.00	6504.60	USD	2,310.00	
22AUG17	S	1	PD22NOV17	6608.50	6504.60	USD	2,597.50	
22AUG17	S	1	PD22NOV17	6596.50	6504.60	USD	2,297.50	
22AUG17	S	3	PD22NOV17	6598.50	6504.60	USD	7,042.50	
22AUG17	B	3	PD22NOV17	6583.00	6504.60	USD	-5,880.00	
22AUG17	B	1	PD22NOV17	6586.00	6504.60	USD	-2,035.00	
22AUG17	S	1	PD22NOV17	6557.00	6504.60	USD	1,310.00	
22AUG17	S	1	PD22NOV17	6571.50	6504.60	USD	1,672.50	
22AUG17	S	1	PD22NOV17	6623.50	6504.60	USD	2,972.50	
22AUG17	S	1	PD22NOV17	6579.00	6504.60	USD	1,860.00	
22AUG17	S	2	PD22NOV17	6578.00	6504.60	USD	3,670.00	
06SEP17	B	1	PD22NOV17	6895.95	6504.60	USD	-9,783.75	
06SEP17	S	1	PD22NOV17	6895.75	6504.60	USD	9,778.75	
12SEP17	B	1	PD22NOV17	6657.56	6504.60	USD	-3,824.00	
15SEP17	S	3	PD22NOV17	6497.48	6504.60	USD	-534.00	
19SEP17	B	1	PD22NOV17	6527.05	6504.60	USD	-561.25	
20SEP17	S	1	PD22NOV17	6515.60	6504.60	USD	275.00	
	NET	**+2**	**AVG BGHT 6623.18** **SOLD 6595.78**				**-23,739.25**	**0.00**
23AUG17	B	2	PD24NOV17	6559.00	6505.80	USD	-2,660.00	
23AUG17	B	2	PD24NOV17	6554.50	6505.80	USD	-2,435.00	
23AUG17	B	2	PD24NOV17	6553.00	6505.80	USD	-2,360.00	
23AUG17	S	1	PD24NOV17	6569.00	6505.80	USD	1,580.00	
23AUG17	B	1	PD24NOV17	6563.00	6505.80	USD	-1,430.00	
23AUG17	S	2	PD24NOV17	6579.00	6505.80	USD	3,660.00	
23AUG17	B	2	PD24NOV17	6565.00	6505.80	USD	-2,960.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
23AUG17	B 3 PD24NOV17	6569.00	6505.80	USD	-4,740.00	
23AUG17	S 1 PD24NOV17	6573.50	6505.80	USD	1,692.50	
23AUG17	B 1 PD24NOV17	6587.50	6505.80	USD	-2,042.50	
23AUG17	B 1 PD24NOV17	6566.00	6505.80	USD	-1,505.00	
23AUG17	B 1 PD24NOV17	6570.00	6505.80	USD	-1,605.00	
23AUG17	S 1 PD24NOV17	6579.50	6505.80	USD	1,842.50	
23AUG17	B 1 PD24NOV17	6581.00	6505.80	USD	-1,880.00	
24AUG17	B 1 PD24NOV17	6589.00	6505.80	USD	-2,080.00	
24AUG17	B 1 PD24NOV17	6587.00	6505.80	USD	-2,030.00	
24AUG17	S 1 PD24NOV17	6609.00	6505.80	USD	2,580.00	
24AUG17	S 1 PD24NOV17	6599.00	6505.80	USD	2,330.00	
24AUG17	B 1 PD24NOV17	6635.50	6505.80	USD	-3,242.50	
24AUG17	B 1 PD24NOV17	6632.50	6505.80	USD	-3,167.50	
24AUG17	B 1 PD24NOV17	6621.50	6505.80	USD	-2,892.50	
24AUG17	B 1 PD24NOV17	6626.00	6505.80	USD	-3,005.00	
24AUG17	B 1 PD24NOV17	6620.50	6505.80	USD	-2,867.50	
24AUG17	S 1 PD24NOV17	6602.50	6505.80	USD	2,417.50	
24AUG17	B 3 PD24NOV17	6625.00	6505.80	USD	-8,940.00	
24AUG17	S 6 PD24NOV17	6610.00	6505.80	USD	15,630.00	
24AUG17	B 4 PD24NOV17	6611.00	6505.80	USD	-10,520.00	
24AUG17	B 1 PD24NOV17	6613.50	6505.80	USD	-2,692.50	
24AUG17	S 4 PD24NOV17	6604.50	6505.80	USD	9,870.00	
24AUG17	S 1 PD24NOV17	6603.00	6505.80	USD	2,430.00	
24AUG17	S 1 PD24NOV17	6607.00	6505.80	USD	2,530.00	
24AUG17	S 7 PD24NOV17	6612.00	6505.80	USD	18,585.00	
24AUG17	S 3 PD24NOV17	6613.50	6505.80	USD	8,077.50	
24AUG17	S 1 PD24NOV17	6617.50	6505.80	USD	2,792.50	
24AUG17	S 2 PD24NOV17	6616.00	6505.80	USD	5,510.00	
24AUG17	S 2 PD24NOV17	6619.00	6505.80	USD	5,660.00	
24AUG17	S 2 PD24NOV17	6627.00	6505.80	USD	6,060.00	
24AUG17	S 1 PD24NOV17	6627.50	6505.80	USD	3,042.50	
24AUG17	S 1 PD24NOV17	6628.00	6505.80	USD	3,055.00	
24AUG17	S 2 PD24NOV17	6629.50	6505.80	USD	6,185.00	
24AUG17	S 1 PD24NOV17	6630.00	6505.80	USD	3,105.00	
24AUG17	S 3 PD24NOV17	6631.00	6505.80	USD	9,390.00	
24AUG17	S 2 PD24NOV17	6629.00	6505.80	USD	6,160.00	
24AUG17	S 1 PD24NOV17	6657.50	6505.80	USD	3,792.50	
24AUG17	S 3 PD24NOV17	6658.00	6505.80	USD	11,415.00	
24AUG17	S 1 PD24NOV17	6664.00	6505.80	USD	3,955.00	
24AUG17	S 3 PD24NOV17	6665.50	6505.80	USD	11,977.50	
24AUG17	S 1 PD24NOV17	6666.50	6505.80	USD	4,017.50	
24AUG17	S 1 PD24NOV17	6668.00	6505.80	USD	4,055.00	
24AUG17	S 2 PD24NOV17	6667.50	6505.80	USD	8,085.00	
24AUG17	S 1 PD24NOV17	6667.00	6505.80	USD	4,030.00	
24AUG17	S 1 PD24NOV17	6678.00	6505.80	USD	4,305.00	
24AUG17	S 1 PD24NOV17	6683.00	6505.80	USD	4,430.00	
24AUG17	S 2 PD24NOV17	6682.50	6505.80	USD	8,835.00	
24AUG17	B 1 PD24NOV17	6670.00	6505.80	USD	-4,105.00	
24AUG17	S 1 PD24NOV17	6676.50	6505.80	USD	4,267.50	
24AUG17	S 1 PD24NOV17	6677.50	6505.80	USD	4,292.50	
24AUG17	S 1 PD24NOV17	6680.50	6505.80	USD	4,367.50	
24AUG17	S 1 PD24NOV17	6687.50	6505.80	USD	4,542.50	
24AUG17	S 1 PD24NOV17	6694.50	6505.80	USD	4,717.50	
24AUG17	S 1 PD24NOV17	6695.00	6505.80	USD	4,730.00	
24AUG17	S 4 PD24NOV17	6700.50	6505.80	USD	19,470.00	
24AUG17	S 1 PD24NOV17	6709.00	6505.80	USD	5,080.00	
24AUG17	S 1 PD24NOV17	6709.50	6505.80	USD	5,092.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
24AUG17	S 1 PD24NOV17	6710.00	6505.80	USD	5,105.00	
24AUG17	S 1 PD24NOV17	6712.00	6505.80	USD	5,155.00	
24AUG17	S 2 PD24NOV17	6708.50	6505.80	USD	10,135.00	
24AUG17	S 2 PD24NOV17	6711.00	6505.80	USD	10,260.00	
24AUG17	S 1 PD24NOV17	6704.50	6505.80	USD	4,967.50	
24AUG17	S 1 PD24NOV17	6706.00	6505.80	USD	5,005.00	
24AUG17	S 1 PD24NOV17	6707.50	6505.80	USD	5,042.50	
24AUG17	B 1 PD24NOV17	6700.50	6505.80	USD	-4,867.50	
24AUG17	S 1 PD24NOV17	6710.50	6505.80	USD	5,117.50	
24AUG17	S 1 PD24NOV17	6715.50	6505.80	USD	5,242.50	
24AUG17	S 3 PD24NOV17	6716.50	6505.80	USD	15,802.50	
24AUG17	S 1 PD24NOV17	6729.50	6505.80	USD	5,592.50	
24AUG17	B 2 PD24NOV17	6710.50	6505.80	USD	-10,235.00	
24AUG17	S 1 PD24NOV17	6697.50	6505.80	USD	4,792.50	
24AUG17	S 1 PD24NOV17	6702.50	6505.80	USD	4,917.50	
24AUG17	S 1 PD24NOV17	6696.50	6505.80	USD	4,767.50	
24AUG17	S 2 PD24NOV17	6697.00	6505.80	USD	9,560.00	
24AUG17	S 1 PD24NOV17	6698.00	6505.80	USD	4,805.00	
24AUG17	S 1 PD24NOV17	6696.00	6505.80	USD	4,755.00	
25AUG17	S 1 PD24NOV17	6702.50	6505.80	USD	4,917.50	
25AUG17	B 1 PD24NOV17	6701.00	6505.80	USD	-4,880.00	
25AUG17	B 1 PD24NOV17	6712.50	6505.80	USD	-5,167.50	
25AUG17	S 2 PD24NOV17	6703.00	6505.80	USD	9,860.00	
25AUG17	S 1 PD24NOV17	6714.00	6505.80	USD	5,205.00	
25AUG17	B 1 PD24NOV17	6714.00	6505.80	USD	-5,205.00	
25AUG17	S 1 PD24NOV17	6729.00	6505.80	USD	5,580.00	
25AUG17	S 2 PD24NOV17	6730.50	6505.80	USD	11,235.00	
25AUG17	S 1 PD24NOV17	6724.00	6505.80	USD	5,455.00	
25AUG17	S 1 PD24NOV17	6732.00	6505.80	USD	5,655.00	
25AUG17	B 1 PD24NOV17	6734.00	6505.80	USD	-5,705.00	
25AUG17	B 1 PD24NOV17	6727.00	6505.80	USD	-5,530.00	
25AUG17	B 1 PD24NOV17	6731.50	6505.80	USD	-5,642.50	
25AUG17	B 1 PD24NOV17	6733.50	6505.80	USD	-5,692.50	
25AUG17	B 1 PD24NOV17	6732.50	6505.80	USD	-5,667.50	
25AUG17	S 3 PD24NOV17	6741.00	6505.80	USD	17,640.00	
25AUG17	B 1 PD24NOV17	6735.00	6505.80	USD	-5,730.00	
25AUG17	B 1 PD24NOV17	6733.00	6505.80	USD	-5,680.00	
25AUG17	B 1 PD24NOV17	6731.00	6505.80	USD	-5,630.00	
25AUG17	S 1 PD24NOV17	6735.50	6505.80	USD	5,742.50	
25AUG17	S 1 PD24NOV17	6738.50	6505.80	USD	5,817.50	
25AUG17	S 1 PD24NOV17	6740.50	6505.80	USD	5,867.50	
25AUG17	S 1 PD24NOV17	6739.00	6505.80	USD	5,830.00	
25AUG17	B 1 PD24NOV17	6736.00	6505.80	USD	-5,755.00	
25AUG17	B 1 PD24NOV17	6738.00	6505.80	USD	-5,805.00	
25AUG17	B 1 PD24NOV17	6715.50	6505.80	USD	-5,242.50	
25AUG17	B 1 PD24NOV17	6706.00	6505.80	USD	-5,005.00	
25AUG17	B 1 PD24NOV17	6705.50	6505.80	USD	-4,992.50	
25AUG17	B 1 PD24NOV17	6709.50	6505.80	USD	-5,092.50	
25AUG17	B 1 PD24NOV17	6713.50	6505.80	USD	-5,192.50	
25AUG17	B 1 PD24NOV17	6691.50	6505.80	USD	-4,642.50	
25AUG17	S 2 PD24NOV17	6675.50	6505.80	USD	8,485.00	
25AUG17	S 1 PD24NOV17	6675.00	6505.80	USD	4,230.00	
25AUG17	S 1 PD24NOV17	6676.00	6505.80	USD	4,255.00	
25AUG17	S 1 PD24NOV17	6677.00	6505.80	USD	4,280.00	
25AUG17	S 1 PD24NOV17	6697.00	6505.80	USD	4,780.00	
25AUG17	B 1 PD24NOV17	6697.00	6505.80	USD	-4,780.00	
30AUG17	B 15 PD24NOV17	6767.70	6505.80	USD	-98,212.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
06SEP17	B 1 PD24NOV17	6896.70	6505.80	USD	-9,772.50	
11SEP17	B 7 PD24NOV17	6740.17	6505.80	USD	-41,014.75	
12SEP17	B 14 PD24NOV17	6658.20	6505.80	USD	-53,340.00	
14SEP17	B 6 PD24NOV17	6489.79	6505.80	USD	2,401.50	
15SEP17	B 3 PD24NOV17	6498.51	6505.80	USD	546.75	
15SEP17	B 7 PD24NOV17	6498.31	6505.80	USD	1,310.75	
	NET -12 AVG BGHT 6650.02 SOLD 6664.30				**86,119.25**	**0.00**
29AUG17	B 1 PD29NOV17	6800.00	6508.80	USD	-7,280.00	
29AUG17	S 1 PD29NOV17	6787.00	6508.80	USD	6,955.00	
29AUG17	S 1 PD29NOV17	6789.50	6508.80	USD	7,017.50	
29AUG17	B 1 PD29NOV17	6806.00	6508.80	USD	-7,430.00	
29AUG17	S 3 PD29NOV17	6756.00	6508.80	USD	18,540.00	
29AUG17	S 1 PD29NOV17	6758.00	6508.80	USD	6,230.00	
29AUG17	S 1 PD29NOV17	6798.50	6508.80	USD	7,242.50	
29AUG17	S 1 PD29NOV17	6817.50	6508.80	USD	7,717.50	
29AUG17	S 1 PD29NOV17	6804.50	6508.80	USD	7,392.50	
29AUG17	S 1 PD29NOV17	6809.00	6508.80	USD	7,505.00	
29AUG17	S 1 PD29NOV17	6819.00	6508.80	USD	7,755.00	
29AUG17	S 1 PD29NOV17	6821.50	6508.80	USD	7,817.50	
29AUG17	S 1 PD29NOV17	6830.00	6508.80	USD	8,030.00	
29AUG17	S 1 PD29NOV17	6833.50	6508.80	USD	8,117.50	
29AUG17	S 1 PD29NOV17	6832.50	6508.80	USD	8,092.50	
29AUG17	B 1 PD29NOV17	6816.00	6508.80	USD	-7,680.00	
29AUG17	B 1 PD29NOV17	6810.50	6508.80	USD	-7,542.50	
29AUG17	B 1 PD29NOV17	6822.50	6508.80	USD	-7,842.50	
29AUG17	S 3 PD29NOV17	6793.50	6508.80	USD	21,352.50	
29AUG17	S 1 PD29NOV17	6803.50	6508.80	USD	7,367.50	
29AUG17	S 2 PD29NOV17	6804.00	6508.80	USD	14,760.00	
29AUG17	S 2 PD29NOV17	6803.00	6508.80	USD	14,710.00	
29AUG17	B 2 PD29NOV17	6803.50	6508.80	USD	-14,735.00	
29AUG17	B 4 PD29NOV17	6802.50	6508.80	USD	-29,370.00	
29AUG17	S 2 PD29NOV17	6802.00	6508.80	USD	14,660.00	
06SEP17	B 6 PD29NOV17	6898.38	6508.80	USD	-58,437.00	
12SEP17	B 5 PD29NOV17	6660.98	6508.80	USD	-19,022.50	
15SEP17	B 3 PD29NOV17	6500.38	6508.80	USD	631.50	
	NET 0 AVG BGHT 6762.73 SOLD 6798.82				**22,554.50**	**0.00**
30AUG17	B 1 PD30NOV17	6815.50	6509.40	USD	-7,652.50	
30AUG17	B 1 PD30NOV17	6799.50	6509.40	USD	-7,252.50	
30AUG17	S 1 PD30NOV17	6800.50	6509.40	USD	7,277.50	
30AUG17	B 1 PD30NOV17	6775.00	6509.40	USD	-6,640.00	
30AUG17	B 2 PD30NOV17	6772.50	6509.40	USD	-13,155.00	
30AUG17	B 1 PD30NOV17	6772.00	6509.40	USD	-6,565.00	
30AUG17	S 5 PD30NOV17	6763.50	6509.40	USD	31,762.50	
30AUG17	S 1 PD30NOV17	6778.00	6509.40	USD	6,715.00	
30AUG17	B 2 PD30NOV17	6778.00	6509.40	USD	-13,430.00	
30AUG17	B 2 PD30NOV17	6765.50	6509.40	USD	-12,805.00	
31AUG17	S 1 PD30NOV17	6802.50	6509.40	USD	7,327.50	
31AUG17	B 1 PD30NOV17	6799.00	6509.40	USD	-7,240.00	
31AUG17	B 1 PD30NOV17	6806.00	6509.40	USD	-7,415.00	
31AUG17	S 1 PD30NOV17	6812.00	6509.40	USD	7,565.00	
31AUG17	B 4 PD30NOV17	6813.00	6509.40	USD	-30,360.00	
31AUG17	B 1 PD30NOV17	6813.50	6509.40	USD	-7,602.50	
31AUG17	B 1 PD30NOV17	6811.50	6509.40	USD	-7,552.50	
31AUG17	S 1 PD30NOV17	6811.00	6509.40	USD	7,540.00	
31AUG17	S 1 PD30NOV17	6807.00	6509.40	USD	7,440.00	
31AUG17	S 1 PD30NOV17	6806.00	6509.40	USD	7,415.00	
31AUG17	S 1 PD30NOV17	6801.00	6509.40	USD	7,290.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
31AUG17	B 3 PD30NOV17	6792.00	6509.40	USD	-21,195.00	
31AUG17	B 1 PD30NOV17	6791.50	6509.40	USD	-7,052.50	
31AUG17	S 1 PD30NOV17	6796.00	6509.40	USD	7,165.00	
31AUG17	B 1 PD30NOV17	6788.00	6509.40	USD	-6,965.00	
31AUG17	B 1 PD30NOV17	6787.00	6509.40	USD	-6,940.00	
31AUG17	B 2 PD30NOV17	6784.00	6509.40	USD	-13,730.00	
31AUG17	B 2 PD30NOV17	6784.50	6509.40	USD	-13,755.00	
31AUG17	B 1 PD30NOV17	6775.50	6509.40	USD	-6,652.50	
31AUG17	B 2 PD30NOV17	6791.00	6509.40	USD	-14,080.00	
31AUG17	B 4 PD30NOV17	6789.00	6509.40	USD	-27,960.00	
31AUG17	B 1 PD30NOV17	6789.50	6509.40	USD	-7,002.50	
31AUG17	B 2 PD30NOV17	6787.50	6509.40	USD	-13,905.00	
31AUG17	S 1 PD30NOV17	6789.00	6509.40	USD	6,990.00	
06SEP17	S 3 PD30NOV17	6898.55	6509.40	USD	29,186.25	
06SEP17	S 2 PD30NOV17	6898.75	6509.40	USD	19,467.50	
11SEP17	S 6 PD30NOV17	6742.57	6509.40	USD	34,975.50	
12SEP17	S 1 PD30NOV17	6661.13	6509.40	USD	3,793.25	
12SEP17	S 1 PD30NOV17	6661.33	6509.40	USD	3,798.25	
14SEP17	S 2 PD30NOV17	6492.05	6509.40	USD	-867.50	
15SEP17	S 5 PD30NOV17	6500.59	6509.40	USD	-1,101.25	
19SEP17	S 1 PD30NOV17	6530.32	6509.40	USD	523.00	
20SEP17	S 2 PD30NOV17	6519.07	6509.40	USD	483.50	
	NET 0 AVG BGHT 6790.36 SOLD 6714.40				**-72,161.50**	**0.00**
01SEP17	B 1 PD01DEC17	6799.00	6510.00	USD	-7,225.00	
01SEP17	S 1 PD01DEC17	6802.50	6510.00	USD	7,312.50	
01SEP17	B 1 PD01DEC17	6805.00	6510.00	USD	-7,375.00	
01SEP17	B 1 PD01DEC17	6803.00	6510.00	USD	-7,325.00	
01SEP17	B 1 PD01DEC17	6803.50	6510.00	USD	-7,337.50	
01SEP17	S 1 PD01DEC17	6800.50	6510.00	USD	7,262.50	
01SEP17	B 1 PD01DEC17	6802.50	6510.00	USD	-7,312.50	
01SEP17	B 1 PD01DEC17	6789.50	6510.00	USD	-6,987.50	
01SEP17	B 1 PD01DEC17	6800.50	6510.00	USD	-7,262.50	
01SEP17	B 3 PD01DEC17	6801.00	6510.00	USD	-21,825.00	
01SEP17	S 1 PD01DEC17	6812.50	6510.00	USD	7,562.50	
01SEP17	S 2 PD01DEC17	6811.00	6510.00	USD	15,050.00	
01SEP17	S 2 PD01DEC17	6810.50	6510.00	USD	15,025.00	
01SEP17	B 2 PD01DEC17	6815.00	6510.00	USD	-15,250.00	
01SEP17	B 3 PD01DEC17	6813.50	6510.00	USD	-22,762.50	
01SEP17	S 1 PD01DEC17	6815.50	6510.00	USD	7,637.50	
01SEP17	B 2 PD01DEC17	6814.50	6510.00	USD	-15,225.00	
01SEP17	B 1 PD01DEC17	6814.00	6510.00	USD	-7,600.00	
01SEP17	B 2 PD01DEC17	6812.50	6510.00	USD	-15,125.00	
01SEP17	B 2 PD01DEC17	6812.00	6510.00	USD	-15,100.00	
01SEP17	B 5 PD01DEC17	6811.50	6510.00	USD	-37,687.50	
01SEP17	B 5 PD01DEC17	6813.00	6510.00	USD	-37,875.00	
01SEP17	B 1 PD01DEC17	6811.00	6510.00	USD	-7,525.00	
01SEP17	B 3 PD01DEC17	6810.50	6510.00	USD	-22,537.50	
01SEP17	B 2 PD01DEC17	6810.00	6510.00	USD	-15,000.00	
01SEP17	B 1 PD01DEC17	6817.50	6510.00	USD	-7,687.50	
01SEP17	S 1 PD01DEC17	6825.00	6510.00	USD	7,875.00	
01SEP17	B 1 PD01DEC17	6818.00	6510.00	USD	-7,700.00	
01SEP17	B 1 PD01DEC17	6807.00	6510.00	USD	-7,425.00	
01SEP17	S 1 PD01DEC17	6831.50	6510.00	USD	8,037.50	
01SEP17	B 1 PD01DEC17	6836.00	6510.00	USD	-8,150.00	
01SEP17	B 1 PD01DEC17	6837.50	6510.00	USD	-8,187.50	
01SEP17	B 1 PD01DEC17	6789.00	6510.00	USD	-6,975.00	
01SEP17	B 2 PD01DEC17	6798.50	6510.00	USD	-14,425.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT							
01SEP17	S	2	PD01DEC17	6812.50	6510.00	USD	15,125.00	
06SEP17	S	3	PD01DEC17	6898.93	6510.00	USD	29,169.75	
06SEP17	S	1	PD01DEC17	6899.13	6510.00	USD	9,728.25	
11SEP17	S	10	PD01DEC17	6743.00	6510.00	USD	58,250.00	
12SEP17	S	2	PD01DEC17	6661.89	6510.00	USD	7,594.50	
14SEP17	S	6	PD01DEC17	6492.66	6510.00	USD	-2,601.00	
15SEP17	S	5	PD01DEC17	6501.01	6510.00	USD	-1,123.75	
15SEP17	S	1	PD01DEC17	6501.21	6510.00	USD	-219.75	
19SEP17	S	1	PD01DEC17	6530.75	6510.00	USD	518.75	
20SEP17	S	5	PD01DEC17	6519.50	6510.00	USD	1,187.50	
	NET	**0**	**AVG BGHT 6809.90**	**SOLD 6678.17**			**-151,495.75**	**0.00**
04SEP17	S	1	PD04DEC17	6868.50	6511.29	USD	8,930.25	
04SEP17	S	1	PD04DEC17	6892.00	6511.29	USD	9,517.75	
04SEP17	B	1	PD04DEC17	6906.50	6511.29	USD	-9,880.25	
04SEP17	S	1	PD04DEC17	6899.50	6511.29	USD	9,705.25	
04SEP17	B	1	PD04DEC17	6886.00	6511.29	USD	-9,367.75	
04SEP17	S	1	PD04DEC17	6882.50	6511.29	USD	9,280.25	
04SEP17	S	2	PD04DEC17	6880.00	6511.29	USD	18,435.50	
04SEP17	B	1	PD04DEC17	6882.50	6511.29	USD	-9,280.25	
04SEP17	S	1	PD04DEC17	6889.00	6511.29	USD	9,442.75	
04SEP17	S	1	PD04DEC17	6908.00	6511.29	USD	9,917.75	
04SEP17	S	1	PD04DEC17	6907.50	6511.29	USD	9,905.25	
04SEP17	B	3	PD04DEC17	6905.00	6511.29	USD	-29,528.25	
04SEP17	B	1	PD04DEC17	6897.00	6511.29	USD	-9,642.75	
04SEP17	B	2	PD04DEC17	6900.50	6511.29	USD	-19,460.50	
04SEP17	B	2	PD04DEC17	6896.00	6511.29	USD	-19,235.50	
04SEP17	B	3	PD04DEC17	6901.00	6511.29	USD	-29,228.25	
04SEP17	B	2	PD04DEC17	6901.50	6511.29	USD	-19,510.50	
04SEP17	B	3	PD04DEC17	6902.00	6511.29	USD	-29,303.25	
04SEP17	B	3	PD04DEC17	6902.50	6511.29	USD	-29,340.75	
04SEP17	S	1	PD04DEC17	6906.50	6511.29	USD	9,880.25	
04SEP17	S	4	PD04DEC17	6906.00	6511.29	USD	39,471.00	
04SEP17	S	1	PD04DEC17	6910.50	6511.29	USD	9,980.25	
04SEP17	S	4	PD04DEC17	6910.00	6511.29	USD	39,871.00	
04SEP17	S	3	PD04DEC17	6911.50	6511.29	USD	30,015.75	
04SEP17	B	1	PD04DEC17	6910.50	6511.29	USD	-9,980.25	
04SEP17	B	1	PD04DEC17	6915.50	6511.29	USD	-10,105.25	
04SEP17	B	1	PD04DEC17	6922.50	6511.29	USD	-10,280.25	
04SEP17	B	4	PD04DEC17	6909.00	6511.29	USD	-39,771.00	
04SEP17	B	1	PD04DEC17	6911.50	6511.29	USD	-10,005.25	
04SEP17	B	1	PD04DEC17	6920.50	6511.29	USD	-10,230.25	
04SEP17	B	3	PD04DEC17	6921.00	6511.29	USD	-30,728.25	
06SEP17	B	2	PD04DEC17	6900.45	6511.29	USD	-19,458.00	
11SEP17	S	8	PD04DEC17	6744.50	6511.29	USD	46,642.00	
14SEP17	S	4	PD04DEC17	6493.89	6511.29	USD	-1,740.00	
15SEP17	S	1	PD04DEC17	6502.45	6511.29	USD	-221.00	
25SEP17	S	1	PD04DEC17	6441.50	6511.29	USD	-1,744.75	
	NET	**0**	**AVG BGHT 6905.00**	**SOLD 6797.17**			**-97,047.25**	**0.00**
05SEP17	S	2	PD05DEC17	6930.50	6511.71	USD	20,939.50	
05SEP17	S	1	PD05DEC17	6925.00	6511.71	USD	10,332.25	
05SEP17	S	1	PD05DEC17	6924.50	6511.71	USD	10,319.75	
05SEP17	S	1	PD05DEC17	6922.00	6511.71	USD	10,257.25	
05SEP17	S	1	PD05DEC17	6924.00	6511.71	USD	10,307.25	
05SEP17	S	5	PD05DEC17	6925.50	6511.71	USD	51,723.75	
05SEP17	S	1	PD05DEC17	6927.50	6511.71	USD	10,394.75	
05SEP17	B	1	PD05DEC17	6938.00	6511.71	USD	-10,657.25	
05SEP17	B	1	PD05DEC17	6943.50	6511.71	USD	-10,794.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
05SEP17	B 1 PD05DEC17	6943.00	6511.71	USD	-10,782.25	
05SEP17	B 2 PD05DEC17	6942.00	6511.71	USD	-21,514.50	
05SEP17	B 1 PD05DEC17	6939.00	6511.71	USD	-10,682.25	
05SEP17	B 1 PD05DEC17	6938.50	6511.71	USD	-10,669.75	
05SEP17	B 1 PD05DEC17	6941.50	6511.71	USD	-10,744.75	
05SEP17	B 1 PD05DEC17	6941.00	6511.71	USD	-10,732.25	
05SEP17	B 2 PD05DEC17	6942.50	6511.71	USD	-21,539.50	
05SEP17	S 1 PD05DEC17	6942.00	6511.71	USD	10,757.25	
05SEP17	S 2 PD05DEC17	6938.50	6511.71	USD	21,339.50	
05SEP17	S 1 PD05DEC17	6939.00	6511.71	USD	10,682.25	
05SEP17	S 1 PD05DEC17	6941.50	6511.71	USD	10,744.75	
05SEP17	S 1 PD05DEC17	6940.00	6511.71	USD	10,707.25	
05SEP17	S 3 PD05DEC17	6935.50	6511.71	USD	31,784.25	
05SEP17	S 1 PD05DEC17	6933.50	6511.71	USD	10,544.75	
05SEP17	S 4 PD05DEC17	6932.50	6511.71	USD	42,079.00	
05SEP17	S 11 PD05DEC17	6932.00	6511.71	USD	115,579.75	
05SEP17	S 1 PD05DEC17	6943.50	6511.71	USD	10,794.75	
05SEP17	S 2 PD05DEC17	6940.50	6511.71	USD	21,439.50	
05SEP17	B 1 PD05DEC17	6940.00	6511.71	USD	-10,707.25	
05SEP17	B 1 PD05DEC17	6951.00	6511.71	USD	-10,982.25	
05SEP17	B 1 PD05DEC17	6951.50	6511.71	USD	-10,994.75	
05SEP17	B 1 PD05DEC17	6955.00	6511.71	USD	-11,082.25	
05SEP17	B 1 PD05DEC17	6965.50	6511.71	USD	-11,344.75	
05SEP17	B 1 PD05DEC17	6966.50	6511.71	USD	-11,369.75	
05SEP17	B 5 PD05DEC17	6963.50	6511.71	USD	-56,473.75	
05SEP17	B 4 PD05DEC17	6963.00	6511.71	USD	-45,129.00	
05SEP17	B 2 PD05DEC17	6964.00	6511.71	USD	-22,614.50	
05SEP17	B 1 PD05DEC17	6957.50	6511.71	USD	-11,144.75	
05SEP17	B 2 PD05DEC17	6957.00	6511.71	USD	-22,264.50	
05SEP17	B 7 PD05DEC17	6959.00	6511.71	USD	-78,275.75	
05SEP17	B 2 PD05DEC17	6954.00	6511.71	USD	-22,114.50	
05SEP17	B 1 PD05DEC17	6922.50	6511.71	USD	-10,269.75	
05SEP17	B 1 PD05DEC17	6922.00	6511.71	USD	-10,257.25	
05SEP17	B 1 PD05DEC17	6924.50	6511.71	USD	-10,319.75	
05SEP17	S 4 PD05DEC17	6926.50	6511.71	USD	41,479.00	
05SEP17	B 2 PD05DEC17	6914.00	6511.71	USD	-20,114.50	
05SEP17	B 1 PD05DEC17	6919.50	6511.71	USD	-10,194.75	
05SEP17	B 1 PD05DEC17	6925.50	6511.71	USD	-10,344.75	
05SEP17	B 2 PD05DEC17	6917.50	6511.71	USD	-20,289.50	
05SEP17	B 1 PD05DEC17	6904.50	6511.71	USD	-9,819.75	
05SEP17	B 2 PD05DEC17	6900.50	6511.71	USD	-19,439.50	
05SEP17	B 4 PD05DEC17	6906.00	6511.71	USD	-39,429.00	
05SEP17	B 1 PD05DEC17	6922.00	6511.71	USD	-10,257.25	
05SEP17	B 1 PD05DEC17	6899.50	6511.71	USD	-9,694.75	
05SEP17	B 1 PD05DEC17	6898.50	6511.71	USD	-9,669.75	
05SEP17	B 1 PD05DEC17	6897.50	6511.71	USD	-9,644.75	
05SEP17	B 2 PD05DEC17	6885.00	6511.71	USD	-18,664.50	
05SEP17	B 1 PD05DEC17	6884.50	6511.71	USD	-9,319.75	
05SEP17	B 2 PD05DEC17	6884.00	6511.71	USD	-18,614.50	
05SEP17	B 3 PD05DEC17	6886.00	6511.71	USD	-28,071.75	
05SEP17	B 1 PD05DEC17	6888.50	6511.71	USD	-9,419.75	
05SEP17	S 1 PD05DEC17	6848.00	6511.71	USD	8,407.25	
05SEP17	B 1 PD05DEC17	6836.00	6511.71	USD	-8,107.25	
05SEP17	S 1 PD05DEC17	6854.00	6511.71	USD	8,557.25	
06SEP17	B 1 PD05DEC17	6900.83	6511.71	USD	-9,728.00	
11SEP17	S 7 PD05DEC17	6745.00	6511.71	USD	40,825.75	
12SEP17	S 2 PD05DEC17	6664.11	6511.71	USD	7,620.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
14SEP17	S 3 PD05DEC17	6494.30	6511.71	USD	-1,305.75	
15SEP17	S 5 PD05DEC17	6502.86	6511.71	USD	-1,106.25	
19SEP17	S 1 PD05DEC17	6533.08	6511.71	USD	534.25	
20SEP17	S 7 PD05DEC17	6521.50	6511.71	USD	1,713.25	
	NET 0 AVG BGHT 6931.03 SOLD 6808.87				**-216,833.25**	**0.00**
06SEP17	S 1 PD06DEC17	6873.50	6512.14	USD	9,034.00	
06SEP17	S 1 PD06DEC17	6872.00	6512.14	USD	8,996.50	
06SEP17	S 1 PD06DEC17	6884.00	6512.14	USD	9,296.50	
06SEP17	S 1 PD06DEC17	6889.00	6512.14	USD	9,421.50	
06SEP17	B 1 PD06DEC17	6895.50	6512.14	USD	-9,584.00	
06SEP17	B 1 PD06DEC17	6897.00	6512.14	USD	-9,621.50	
06SEP17	B 1 PD06DEC17	6899.50	6512.14	USD	-9,684.00	
06SEP17	S 1 PD06DEC17	6902.00	6512.14	USD	9,746.50	
06SEP17	S 1 PD06DEC17	6897.00	6512.14	USD	9,621.50	
06SEP17	S 1 PD06DEC17	6899.00	6512.14	USD	9,671.50	
06SEP17	S 1 PD06DEC17	6898.50	6512.14	USD	9,659.00	
06SEP17	S 2 PD06DEC17	6898.00	6512.14	USD	19,293.00	
06SEP17	S 2 PD06DEC17	6904.00	6512.14	USD	19,593.00	
06SEP17	S 2 PD06DEC17	6904.50	6512.14	USD	19,618.00	
06SEP17	S 2 PD06DEC17	6908.00	6512.14	USD	19,793.00	
06SEP17	S 2 PD06DEC17	6909.00	6512.14	USD	19,843.00	
06SEP17	S 2 PD06DEC17	6909.50	6512.14	USD	19,868.00	
06SEP17	S 2 PD06DEC17	6913.00	6512.14	USD	20,043.00	
06SEP17	S 3 PD06DEC17	6913.50	6512.14	USD	30,102.00	
06SEP17	S 1 PD06DEC17	6914.00	6512.14	USD	10,046.50	
06SEP17	S 2 PD06DEC17	6910.50	6512.14	USD	19,918.00	
06SEP17	S 7 PD06DEC17	6911.50	6512.14	USD	69,888.00	
06SEP17	S 1 PD06DEC17	6911.00	6512.14	USD	9,971.50	
06SEP17	S 2 PD06DEC17	6912.50	6512.14	USD	20,018.00	
06SEP17	S 3 PD06DEC17	6912.00	6512.14	USD	29,989.50	
06SEP17	S 1 PD06DEC17	6905.00	6512.14	USD	9,821.50	
06SEP17	S 2 PD06DEC17	6906.00	6512.14	USD	19,693.00	
06SEP17	S 3 PD06DEC17	6908.50	6512.14	USD	29,727.00	
06SEP17	S 11 PD06DEC17	6910.00	6512.14	USD	109,411.50	
06SEP17	S 4 PD06DEC17	6907.50	6512.14	USD	39,536.00	
06SEP17	S 2 PD06DEC17	6906.50	6512.14	USD	19,718.00	
06SEP17	B 1 PD06DEC17	6893.50	6512.14	USD	-9,534.00	
06SEP17	B 1 PD06DEC17	6883.00	6512.14	USD	-9,271.50	
06SEP17	B 1 PD06DEC17	6878.50	6512.14	USD	-9,159.00	
06SEP17	B 1 PD06DEC17	6892.00	6512.14	USD	-9,496.50	
06SEP17	B 1 PD06DEC17	6886.50	6512.14	USD	-9,359.00	
06SEP17	B 1 PD06DEC17	6887.00	6512.14	USD	-9,371.50	
06SEP17	B 1 PD06DEC17	6888.50	6512.14	USD	-9,409.00	
06SEP17	B 1 PD06DEC17	6889.50	6512.14	USD	-9,434.00	
06SEP17	B 1 PD06DEC17	6889.00	6512.14	USD	-9,421.50	
06SEP17	S 3 PD06DEC17	6889.50	6512.14	USD	28,302.00	
06SEP17	B 1 PD06DEC17	6890.50	6512.14	USD	-9,459.00	
06SEP17	S 1 PD06DEC17	6894.00	6512.14	USD	9,546.50	
06SEP17	S 2 PD06DEC17	6893.50	6512.14	USD	19,068.00	
06SEP17	B 1 PD06DEC17	6895.00	6512.14	USD	-9,571.50	
06SEP17	B 1 PD06DEC17	6896.00	6512.14	USD	-9,596.50	
06SEP17	S 1 PD06DEC17	6895.00	6512.14	USD	9,571.50	
06SEP17	B 1 PD06DEC17	6896.50	6512.14	USD	-9,609.00	
06SEP17	B 2 PD06DEC17	6898.50	6512.14	USD	-19,318.00	
06SEP17	B 2 PD06DEC17	6898.00	6512.14	USD	-19,293.00	
06SEP17	B 6 PD06DEC17	6890.00	6512.14	USD	-56,679.00	
06SEP17	B 1 PD06DEC17	6876.00	6512.14	USD	-9,096.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
06SEP17	B 1 PD06DEC17	6876.50	6512.14	USD	-9,109.00	
06SEP17	S 3 PD06DEC17	6894.50	6512.14	USD	28,677.00	
06SEP17	B 1 PD06DEC17	6877.50	6512.14	USD	-9,134.00	
06SEP17	B 1 PD06DEC17	6885.00	6512.14	USD	-9,321.50	
06SEP17	S 2 PD06DEC17	6889.50	6512.14	USD	18,868.00	
06SEP17	B 1 PD06DEC17	6880.50	6512.14	USD	-9,209.00	
06SEP17	B 2 PD06DEC17	6880.00	6512.14	USD	-18,393.00	
06SEP17	B 2 PD06DEC17	6891.00	6512.14	USD	-18,943.00	
06SEP17	B 2 PD06DEC17	6893.00	6512.14	USD	-19,043.00	
06SEP17	B 1 PD06DEC17	6905.50	6512.14	USD	-9,834.00	
06SEP17	B 1 PD06DEC17	6908.00	6512.14	USD	-9,896.50	
06SEP17	B 1 PD06DEC17	6907.50	6512.14	USD	-9,884.00	
06SEP17	S 2 PD06DEC17	6919.00	6512.14	USD	20,343.00	
06SEP17	B 1 PD06DEC17	6893.00	6512.14	USD	-9,521.50	
11SEP17	B 15 PD06DEC17	6745.70	6512.14	USD	-87,585.00	
12SEP17	B 1 PD06DEC17	6664.87	6512.14	USD	-3,818.25	
14SEP17	B 6 PD06DEC17	6494.91	6512.14	USD	2,584.50	
15SEP17	B 7 PD06DEC17	6503.48	6512.14	USD	1,515.50	
20SEP17	B 7 PD06DEC17	6522.20	6512.14	USD	-1,760.50	
20SEP17	B 1 PD06DEC17	6522.00	6512.14	USD	-246.50	
	NET 0 AVG BGHT 6757.05 SOLD 6904.81				**288,147.75**	**0.00**
07SEP17	B 1 PD07DEC17	6925.00	6512.57	USD	-10,310.75	
07SEP17	S 1 PD07DEC17	6913.50	6512.57	USD	10,023.25	
07SEP17	S 1 PD07DEC17	6919.00	6512.57	USD	10,160.75	
07SEP17	S 1 PD07DEC17	6918.00	6512.57	USD	10,135.75	
07SEP17	S 1 PD07DEC17	6917.50	6512.57	USD	10,123.25	
07SEP17	S 2 PD07DEC17	6909.00	6512.57	USD	19,821.50	
07SEP17	S 1 PD07DEC17	6889.50	6512.57	USD	9,423.25	
07SEP17	S 1 PD07DEC17	6880.50	6512.57	USD	9,198.25	
07SEP17	S 5 PD07DEC17	6876.00	6512.57	USD	45,428.75	
07SEP17	S 2 PD07DEC17	6875.00	6512.57	USD	18,121.50	
07SEP17	S 1 PD07DEC17	6868.50	6512.57	USD	8,898.25	
07SEP17	S 3 PD07DEC17	6868.00	6512.57	USD	26,657.25	
07SEP17	S 1 PD07DEC17	6857.50	6512.57	USD	8,623.25	
07SEP17	B 1 PD07DEC17	6871.00	6512.57	USD	-8,960.75	
07SEP17	S 3 PD07DEC17	6869.00	6512.57	USD	26,732.25	
07SEP17	B 1 PD07DEC17	6875.50	6512.57	USD	-9,073.25	
07SEP17	B 2 PD07DEC17	6864.00	6512.57	USD	-17,571.50	
07SEP17	B 1 PD07DEC17	6857.00	6512.57	USD	-8,610.75	
07SEP17	B 1 PD07DEC17	6863.00	6512.57	USD	-8,760.75	
07SEP17	B 2 PD07DEC17	6865.00	6512.57	USD	-17,621.50	
07SEP17	B 1 PD07DEC17	6869.50	6512.57	USD	-8,923.25	
07SEP17	B 1 PD07DEC17	6872.50	6512.57	USD	-8,998.25	
07SEP17	B 2 PD07DEC17	6870.50	6512.57	USD	-17,896.50	
07SEP17	B 1 PD07DEC17	6876.50	6512.57	USD	-9,098.25	
07SEP17	B 8 PD07DEC17	6880.00	6512.57	USD	-73,486.00	
07SEP17	B 1 PD07DEC17	6882.00	6512.57	USD	-9,235.75	
07SEP17	B 5 PD07DEC17	6884.50	6512.57	USD	-46,491.25	
07SEP17	B 4 PD07DEC17	6884.00	6512.57	USD	-37,143.00	
07SEP17	B 4 PD07DEC17	6881.50	6512.57	USD	-36,893.00	
07SEP17	B 2 PD07DEC17	6883.00	6512.57	USD	-18,521.50	
07SEP17	B 2 PD07DEC17	6883.50	6512.57	USD	-18,546.50	
07SEP17	B 3 PD07DEC17	6885.00	6512.57	USD	-27,932.25	
07SEP17	B 1 PD07DEC17	6885.50	6512.57	USD	-9,323.25	
07SEP17	B 2 PD07DEC17	6891.50	6512.57	USD	-18,946.50	
07SEP17	B 2 PD07DEC17	6893.00	6512.57	USD	-19,021.50	
07SEP17	B 2 PD07DEC17	6893.50	6512.57	USD	-19,046.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
07SEP17	S 5 PD07DEC17	6892.50	6512.57	USD	47,491.25	
07SEP17	S 2 PD07DEC17	6892.00	6512.57	USD	18,971.50	
07SEP17	S 1 PD07DEC17	6891.50	6512.57	USD	9,473.25	
07SEP17	S 1 PD07DEC17	6891.00	6512.57	USD	9,460.75	
07SEP17	S 1 PD07DEC17	6890.50	6512.57	USD	9,448.25	
07SEP17	S 5 PD07DEC17	6898.00	6512.57	USD	48,178.75	
07SEP17	S 3 PD07DEC17	6897.00	6512.57	USD	28,832.25	
07SEP17	S 1 PD07DEC17	6896.50	6512.57	USD	9,598.25	
07SEP17	B 1 PD07DEC17	6899.00	6512.57	USD	-9,660.75	
07SEP17	S 5 PD07DEC17	6900.00	6512.57	USD	48,428.75	
07SEP17	B 1 PD07DEC17	6903.00	6512.57	USD	-9,760.75	
07SEP17	B 2 PD07DEC17	6902.50	6512.57	USD	-19,496.50	
07SEP17	B 1 PD07DEC17	6904.00	6512.57	USD	-9,785.75	
07SEP17	B 3 PD07DEC17	6902.00	6512.57	USD	-29,207.25	
07SEP17	B 2 PD07DEC17	6901.50	6512.57	USD	-19,446.50	
07SEP17	B 1 PD07DEC17	6901.00	6512.57	USD	-9,710.75	
07SEP17	B 2 PD07DEC17	6900.50	6512.57	USD	-19,396.50	
07SEP17	B 1 PD07DEC17	6898.00	6512.57	USD	-9,635.75	
07SEP17	B 2 PD07DEC17	6898.50	6512.57	USD	-19,296.50	
07SEP17	B 2 PD07DEC17	6897.50	6512.57	USD	-19,246.50	
07SEP17	B 3 PD07DEC17	6897.00	6512.57	USD	-28,832.25	
07SEP17	B 2 PD07DEC17	6896.00	6512.57	USD	-19,171.50	
07SEP17	B 1 PD07DEC17	6909.00	6512.57	USD	-9,910.75	
07SEP17	B 1 PD07DEC17	6906.00	6512.57	USD	-9,835.75	
07SEP17	B 2 PD07DEC17	6904.50	6512.57	USD	-19,596.50	
07SEP17	B 2 PD07DEC17	6895.00	6512.57	USD	-19,121.50	
07SEP17	S 1 PD07DEC17	6874.00	6512.57	USD	9,035.75	
07SEP17	S 1 PD07DEC17	6877.50	6512.57	USD	9,123.25	
07SEP17	S 2 PD07DEC17	6879.00	6512.57	USD	18,321.50	
07SEP17	S 11 PD07DEC17	6878.00	6512.57	USD	100,493.25	
07SEP17	S 6 PD07DEC17	6872.00	6512.57	USD	53,914.50	
07SEP17	S 4 PD07DEC17	6872.50	6512.57	USD	35,993.00	
07SEP17	S 6 PD07DEC17	6870.50	6512.57	USD	53,689.50	
07SEP17	S 6 PD07DEC17	6870.00	6512.57	USD	53,614.50	
07SEP17	S 2 PD07DEC17	6871.00	6512.57	USD	17,921.50	
07SEP17	S 2 PD07DEC17	6882.50	6512.57	USD	18,496.50	
07SEP17	S 2 PD07DEC17	6877.00	6512.57	USD	18,221.50	
07SEP17	S 1 PD07DEC17	6876.50	6512.57	USD	9,098.25	
07SEP17	S 3 PD07DEC17	6880.00	6512.57	USD	27,557.25	
07SEP17	S 3 PD07DEC17	6879.50	6512.57	USD	27,519.75	
07SEP17	S 7 PD07DEC17	6878.50	6512.57	USD	64,037.75	
07SEP17	S 1 PD07DEC17	6883.00	6512.57	USD	9,260.75	
07SEP17	S 3 PD07DEC17	6885.00	6512.57	USD	27,932.25	
07SEP17	S 2 PD07DEC17	6884.00	6512.57	USD	18,571.50	
07SEP17	S 3 PD07DEC17	6885.50	6512.57	USD	27,969.75	
07SEP17	S 3 PD07DEC17	6900.50	6512.57	USD	29,094.75	
07SEP17	S 3 PD07DEC17	6902.00	6512.57	USD	29,207.25	
07SEP17	S 3 PD07DEC17	6901.00	6512.57	USD	29,132.25	
07SEP17	S 6 PD07DEC17	6902.50	6512.57	USD	58,489.50	
07SEP17	B 1 PD07DEC17	6869.50	6512.57	USD	-8,923.25	
07SEP17	B 1 PD07DEC17	6885.00	6512.57	USD	-9,310.75	
07SEP17	B 1 PD07DEC17	6893.00	6512.57	USD	-9,510.75	
07SEP17	S 1 PD07DEC17	6898.00	6512.57	USD	9,635.75	
07SEP17	S 1 PD07DEC17	6901.50	6512.57	USD	9,723.25	
07SEP17	B 1 PD07DEC17	6901.50	6512.57	USD	-9,723.25	
07SEP17	S 3 PD07DEC17	6903.00	6512.57	USD	29,282.25	
07SEP17	B 1 PD07DEC17	6898.00	6512.57	USD	-9,635.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
07SEP17	B 1 PD07DEC17	6899.00	6512.57	USD	-9,660.75	
07SEP17	B 1 PD07DEC17	6889.00	6512.57	USD	-9,410.75	
07SEP17	B 1 PD07DEC17	6887.50	6512.57	USD	-9,373.25	
07SEP17	B 1 PD07DEC17	6894.00	6512.57	USD	-9,535.75	
11SEP17	B 12 PD07DEC17	6746.20	6512.57	USD	-70,089.00	
12SEP17	B 2 PD07DEC17	6665.42	6512.57	USD	-7,642.50	
14SEP17	B 7 PD07DEC17	6495.33	6512.57	USD	3,017.00	
14SEP17	B 4 PD07DEC17	6495.13	6512.57	USD	1,744.00	
15SEP17	B 1 PD07DEC17	6503.69	6512.57	USD	222.00	
19SEP17	B 3 PD07DEC17	6534.45	6512.57	USD	-1,641.00	
20SEP17	B 7 PD07DEC17	6522.70	6512.57	USD	-1,772.75	
20SEP17	B 8 PD07DEC17	6522.50	6512.57	USD	-1,986.00	
21SEP17	S 1 PD07DEC17	6478.00	6512.57	USD	-864.25	
25SEP17	B 1 PD07DEC17	6443.45	6512.57	USD	1,728.00	
	NET -1 AVG BGHT 6784.16 SOLD 6882.03				**334,674.25**	**0.00**
08SEP17	S 1 PD08DEC17	6907.00	6513.00	USD	9,850.00	
08SEP17	S 2 PD08DEC17	6906.00	6513.00	USD	19,650.00	
08SEP17	S 2 PD08DEC17	6902.50	6513.00	USD	19,475.00	
08SEP17	S 2 PD08DEC17	6894.00	6513.00	USD	19,050.00	
08SEP17	B 1 PD08DEC17	6925.00	6513.00	USD	-10,300.00	
08SEP17	B 2 PD08DEC17	6926.00	6513.00	USD	-20,650.00	
08SEP17	B 2 PD08DEC17	6922.00	6513.00	USD	-20,450.00	
08SEP17	B 3 PD08DEC17	6928.50	6513.00	USD	-31,162.50	
08SEP17	B 1 PD08DEC17	6931.00	6513.00	USD	-10,450.00	
08SEP17	B 2 PD08DEC17	6930.50	6513.00	USD	-20,875.00	
08SEP17	B 6 PD08DEC17	6930.00	6513.00	USD	-62,550.00	
08SEP17	B 7 PD08DEC17	6929.00	6513.00	USD	-72,800.00	
08SEP17	B 1 PD08DEC17	6935.00	6513.00	USD	-10,550.00	
08SEP17	B 4 PD08DEC17	6947.50	6513.00	USD	-43,450.00	
08SEP17	B 1 PD08DEC17	6948.50	6513.00	USD	-10,887.50	
08SEP17	S 1 PD08DEC17	6941.50	6513.00	USD	10,712.50	
08SEP17	S 1 PD08DEC17	6937.50	6513.00	USD	10,612.50	
08SEP17	S 1 PD08DEC17	6935.00	6513.00	USD	10,550.00	
08SEP17	S 1 PD08DEC17	6931.50	6513.00	USD	10,462.50	
08SEP17	S 4 PD08DEC17	6927.00	6513.00	USD	41,400.00	
08SEP17	S 1 PD08DEC17	6918.50	6513.00	USD	10,137.50	
08SEP17	S 3 PD08DEC17	6910.50	6513.00	USD	29,812.50	
08SEP17	S 6 PD08DEC17	6901.50	6513.00	USD	58,275.00	
08SEP17	S 5 PD08DEC17	6902.00	6513.00	USD	48,625.00	
08SEP17	S 1 PD08DEC17	6894.50	6513.00	USD	9,537.50	
08SEP17	S 1 PD08DEC17	6895.50	6513.00	USD	9,562.50	
08SEP17	S 3 PD08DEC17	6895.00	6513.00	USD	28,650.00	
08SEP17	S 1 PD08DEC17	6896.50	6513.00	USD	9,587.50	
08SEP17	S 1 PD08DEC17	6898.50	6513.00	USD	9,637.50	
08SEP17	S 2 PD08DEC17	6905.00	6513.00	USD	19,600.00	
08SEP17	S 2 PD08DEC17	6898.00	6513.00	USD	19,250.00	
08SEP17	B 1 PD08DEC17	6892.00	6513.00	USD	-9,475.00	
08SEP17	S 1 PD08DEC17	6890.50	6513.00	USD	9,437.50	
08SEP17	S 1 PD08DEC17	6883.50	6513.00	USD	9,262.50	
08SEP17	B 1 PD08DEC17	6884.00	6513.00	USD	-9,275.00	
08SEP17	S 1 PD08DEC17	6880.00	6513.00	USD	9,175.00	
08SEP17	S 3 PD08DEC17	6880.50	6513.00	USD	27,562.50	
08SEP17	S 1 PD08DEC17	6878.50	6513.00	USD	9,137.50	
08SEP17	B 2 PD08DEC17	6880.00	6513.00	USD	-18,350.00	
08SEP17	S 3 PD08DEC17	6876.00	6513.00	USD	27,225.00	
08SEP17	S 1 PD08DEC17	6870.00	6513.00	USD	8,925.00	
08SEP17	S 3 PD08DEC17	6870.50	6513.00	USD	26,812.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
08SEP17	S 3 PD08DEC17	6865.00	6513.00	USD	26,400.00	
08SEP17	S 1 PD08DEC17	6863.50	6513.00	USD	8,762.50	
08SEP17	S 1 PD08DEC17	6864.00	6513.00	USD	8,775.00	
08SEP17	S 1 PD08DEC17	6856.00	6513.00	USD	8,575.00	
08SEP17	S 1 PD08DEC17	6853.00	6513.00	USD	8,500.00	
08SEP17	S 2 PD08DEC17	6854.50	6513.00	USD	17,075.00	
08SEP17	S 1 PD08DEC17	6854.00	6513.00	USD	8,525.00	
08SEP17	S 1 PD08DEC17	6850.50	6513.00	USD	8,437.50	
08SEP17	S 1 PD08DEC17	6856.50	6513.00	USD	8,587.50	
08SEP17	S 5 PD08DEC17	6857.00	6513.00	USD	43,000.00	
08SEP17	S 1 PD08DEC17	6852.50	6513.00	USD	8,487.50	
08SEP17	S 2 PD08DEC17	6851.50	6513.00	USD	16,925.00	
08SEP17	S 1 PD08DEC17	6846.50	6513.00	USD	8,337.50	
08SEP17	S 1 PD08DEC17	6848.00	6513.00	USD	8,375.00	
08SEP17	S 2 PD08DEC17	6848.50	6513.00	USD	16,775.00	
08SEP17	S 1 PD08DEC17	6847.50	6513.00	USD	8,362.50	
08SEP17	S 1 PD08DEC17	6850.00	6513.00	USD	8,425.00	
08SEP17	S 2 PD08DEC17	6843.50	6513.00	USD	16,525.00	
08SEP17	S 2 PD08DEC17	6846.00	6513.00	USD	16,650.00	
08SEP17	S 1 PD08DEC17	6830.00	6513.00	USD	7,925.00	
08SEP17	B 5 PD08DEC17	6830.00	6513.00	USD	-39,625.00	
08SEP17	S 1 PD08DEC17	6827.00	6513.00	USD	7,850.00	
08SEP17	S 1 PD08DEC17	6828.00	6513.00	USD	7,875.00	
08SEP17	S 1 PD08DEC17	6829.00	6513.00	USD	7,900.00	
08SEP17	S 3 PD08DEC17	6820.00	6513.00	USD	23,025.00	
08SEP17	B 2 PD08DEC17	6829.00	6513.00	USD	-15,800.00	
08SEP17	B 2 PD08DEC17	6812.00	6513.00	USD	-14,950.00	
08SEP17	S 1 PD08DEC17	6809.00	6513.00	USD	7,400.00	
08SEP17	S 1 PD08DEC17	6802.00	6513.00	USD	7,225.00	
08SEP17	B 1 PD08DEC17	6783.00	6513.00	USD	-6,750.00	
08SEP17	S 1 PD08DEC17	6794.00	6513.00	USD	7,025.00	
08SEP17	S 1 PD08DEC17	6870.00	6513.00	USD	8,925.00	
08SEP17	S 5 PD08DEC17	6803.50	6513.00	USD	36,312.50	
08SEP17	S 1 PD08DEC17	6800.00	6513.00	USD	7,175.00	
08SEP17	S 1 PD08DEC17	6799.50	6513.00	USD	7,162.50	
08SEP17	S 4 PD08DEC17	6799.00	6513.00	USD	28,600.00	
08SEP17	S 1 PD08DEC17	6803.00	6513.00	USD	7,250.00	
08SEP17	B 5 PD08DEC17	6803.00	6513.00	USD	-36,250.00	
08SEP17	B 2 PD08DEC17	6800.50	6513.00	USD	-14,375.00	
08SEP17	S 1 PD08DEC17	6801.00	6513.00	USD	7,200.00	
08SEP17	B 2 PD08DEC17	6787.00	6513.00	USD	-13,700.00	
08SEP17	B 3 PD08DEC17	6782.50	6513.00	USD	-20,212.50	
08SEP17	B 2 PD08DEC17	6777.00	6513.00	USD	-13,200.00	
08SEP17	B 2 PD08DEC17	6779.50	6513.00	USD	-13,325.00	
08SEP17	B 2 PD08DEC17	6778.50	6513.00	USD	-13,275.00	
08SEP17	S 1 PD08DEC17	6782.50	6513.00	USD	6,737.50	
08SEP17	S 1 PD08DEC17	6783.50	6513.00	USD	6,762.50	
08SEP17	B 1 PD08DEC17	6781.00	6513.00	USD	-6,700.00	
08SEP17	B 1 PD08DEC17	6779.00	6513.00	USD	-6,650.00	
08SEP17	S 3 PD08DEC17	6755.00	6513.00	USD	18,150.00	
08SEP17	S 3 PD08DEC17	6750.00	6513.00	USD	17,775.00	
08SEP17	S 1 PD08DEC17	6749.00	6513.00	USD	5,900.00	
08SEP17	S 1 PD08DEC17	6746.50	6513.00	USD	5,837.50	
08SEP17	S 1 PD08DEC17	6737.50	6513.00	USD	5,612.50	
08SEP17	S 2 PD08DEC17	6739.00	6513.00	USD	11,300.00	
08SEP17	B 1 PD08DEC17	6736.50	6513.00	USD	-5,587.50	
08SEP17	B 2 PD08DEC17	6739.50	6513.00	USD	-11,325.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
08SEP17	B 2 PD08DEC17	6739.00	6513.00	USD	-11,300.00	
08SEP17	B 1 PD08DEC17	6738.00	6513.00	USD	-5,625.00	
08SEP17	B 1 PD08DEC17	6743.00	6513.00	USD	-5,750.00	
08SEP17	B 1 PD08DEC17	6729.50	6513.00	USD	-5,412.50	
08SEP17	S 1 PD08DEC17	6706.50	6513.00	USD	4,837.50	
08SEP17	S 1 PD08DEC17	6706.00	6513.00	USD	4,825.00	
08SEP17	B 1 PD08DEC17	6706.00	6513.00	USD	-4,825.00	
08SEP17	B 2 PD08DEC17	6698.00	6513.00	USD	-9,250.00	
08SEP17	B 1 PD08DEC17	6695.50	6513.00	USD	-4,562.50	
08SEP17	B 1 PD08DEC17	6677.00	6513.00	USD	-4,100.00	
08SEP17	B 1 PD08DEC17	6677.50	6513.00	USD	-4,112.50	
08SEP17	B 1 PD08DEC17	6679.00	6513.00	USD	-4,150.00	
08SEP17	B 2 PD08DEC17	6685.00	6513.00	USD	-8,600.00	
08SEP17	B 1 PD08DEC17	6684.50	6513.00	USD	-4,287.50	
08SEP17	B 1 PD08DEC17	6685.50	6513.00	USD	-4,312.50	
08SEP17	B 2 PD08DEC17	6686.50	6513.00	USD	-8,675.00	
08SEP17	B 1 PD08DEC17	6687.50	6513.00	USD	-4,362.50	
08SEP17	B 1 PD08DEC17	6686.00	6513.00	USD	-4,325.00	
11SEP17	B 6 PD08DEC17	6746.70	6513.00	USD	-35,055.00	
12SEP17	B 2 PD08DEC17	6665.98	6513.00	USD	-7,649.00	
14SEP17	B 17 PD08DEC17	6495.54	6513.00	USD	7,420.50	
15SEP17	B 6 PD08DEC17	6504.10	6513.00	USD	1,335.00	
19SEP17	B 2 PD08DEC17	6534.65	6513.00	USD	-1,082.50	
20SEP17	B 2 PD08DEC17	6522.81	6513.00	USD	-490.50	
20SEP17	S 1 PD08DEC17	6522.61	6513.00	USD	240.25	
25SEP17	B 1 PD08DEC17	6443.71	6513.00	USD	1,732.25	
	NET -2 AVG BGHT 6744.02 SOLD 6850.38				**343,913.50**	**0.00**
11SEP17	S 1 PD11DEC17	6702.50	6514.19	USD	4,707.75	
11SEP17	S 1 PD11DEC17	6703.00	6514.19	USD	4,720.25	
11SEP17	S 1 PD11DEC17	6710.50	6514.19	USD	4,907.75	
11SEP17	S 2 PD11DEC17	6711.50	6514.19	USD	9,865.50	
11SEP17	S 1 PD11DEC17	6712.00	6514.19	USD	4,945.25	
11SEP17	S 1 PD11DEC17	6758.00	6514.19	USD	6,095.25	
11SEP17	S 1 PD11DEC17	6758.50	6514.19	USD	6,107.75	
11SEP17	S 1 PD11DEC17	6752.00	6514.19	USD	5,945.25	
11SEP17	S 6 PD11DEC17	6748.00	6514.19	USD	35,071.50	
11SEP17	S 4 PD11DEC17	6748.50	6514.19	USD	23,431.00	
11SEP17	S 2 PD11DEC17	6746.50	6514.19	USD	11,615.50	
11SEP17	B 3 PD11DEC17	6747.00	6514.19	USD	-17,460.75	
11SEP17	B 5 PD11DEC17	6745.00	6514.19	USD	-28,851.25	
11SEP17	B 3 PD11DEC17	6743.00	6514.19	USD	-17,160.75	
11SEP17	S 1 PD11DEC17	6746.00	6514.19	USD	5,795.25	
11SEP17	S 1 PD11DEC17	6751.50	6514.19	USD	5,932.75	
11SEP17	B 1 PD11DEC17	6751.00	6514.19	USD	-5,920.25	
11SEP17	B 4 PD11DEC17	6747.50	6514.19	USD	-23,331.00	
11SEP17	B 1 PD11DEC17	6724.00	6514.19	USD	-5,245.25	
11SEP17	B 2 PD11DEC17	6725.50	6514.19	USD	-10,565.50	
11SEP17	B 4 PD11DEC17	6725.00	6514.19	USD	-21,081.00	
11SEP17	B 1 PD11DEC17	6726.00	6514.19	USD	-5,295.25	
11SEP17	S 2 PD11DEC17	6748.00	6514.19	USD	11,690.50	
11SEP17	B 1 PD11DEC17	6744.50	6514.19	USD	-5,757.75	
11SEP17	B 2 PD11DEC17	6748.00	6514.19	USD	-11,690.50	
11SEP17	B 1 PD11DEC17	6746.50	6514.19	USD	-5,807.75	
11SEP17	B 2 PD11DEC17	6745.50	6514.19	USD	-11,565.50	
11SEP17	B 4 PD11DEC17	6748.50	6514.19	USD	-23,431.00	
11SEP17	B 2 PD11DEC17	6749.50	6514.19	USD	-11,765.50	
11SEP17	B 3 PD11DEC17	6740.00	6514.19	USD	-16,935.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
14SEP17	S 2 PD11DEC17	6496.57	6514.19	USD	-881.00	
15SEP17	S 1 PD11DEC17	6505.14	6514.19	USD	-226.25	
19SEP17	S 1 PD11DEC17	6535.63	6514.19	USD	536.00	
20SEP17	S 2 PD11DEC17	6523.55	6514.19	USD	468.00	
20SEP17	S 1 PD11DEC17	6523.75	6514.19	USD	239.00	
21SEP17	S 2 PD11DEC17	6479.33	6514.19	USD	-1,743.00	
25SEP17	S 1 PD11DEC17	6444.88	6514.19	USD	-1,732.75	
	NET +4 AVG BGHT 6741.74 SOLD 6671.32				**-84,373.50**	**0.00**
12SEP17	S 1 PD12DEC17	6748.50	6514.58	USD	5,848.00	
12SEP17	S 1 PD12DEC17	6749.50	6514.58	USD	5,873.00	
12SEP17	S 1 PD12DEC17	6750.50	6514.58	USD	5,898.00	
12SEP17	S 1 PD12DEC17	6748.00	6514.58	USD	5,835.50	
12SEP17	B 1 PD12DEC17	6742.00	6514.58	USD	-5,685.50	
12SEP17	S 1 PD12DEC17	6731.50	6514.58	USD	5,423.00	
12SEP17	S 1 PD12DEC17	6731.00	6514.58	USD	5,410.50	
12SEP17	S 7 PD12DEC17	6736.00	6514.58	USD	38,748.50	
12SEP17	S 1 PD12DEC17	6725.50	6514.58	USD	5,273.00	
12SEP17	S 1 PD12DEC17	6711.50	6514.58	USD	4,923.00	
12SEP17	S 2 PD12DEC17	6708.50	6514.58	USD	9,696.00	
12SEP17	S 1 PD12DEC17	6712.00	6514.58	USD	4,935.50	
12SEP17	S 2 PD12DEC17	6713.50	6514.58	USD	9,946.00	
12SEP17	S 1 PD12DEC17	6707.00	6514.58	USD	4,810.50	
12SEP17	S 2 PD12DEC17	6705.50	6514.58	USD	9,546.00	
12SEP17	S 2 PD12DEC17	6706.00	6514.58	USD	9,571.00	
12SEP17	S 1 PD12DEC17	6703.50	6514.58	USD	4,723.00	
12SEP17	S 1 PD12DEC17	6703.00	6514.58	USD	4,710.50	
12SEP17	S 1 PD12DEC17	6709.50	6514.58	USD	4,873.00	
12SEP17	B 1 PD12DEC17	6708.00	6514.58	USD	-4,835.50	
12SEP17	B 2 PD12DEC17	6717.50	6514.58	USD	-10,146.00	
12SEP17	B 2 PD12DEC17	6719.50	6514.58	USD	-10,246.00	
12SEP17	B 4 PD12DEC17	6720.00	6514.58	USD	-20,542.00	
12SEP17	B 1 PD12DEC17	6718.00	6514.58	USD	-5,085.50	
12SEP17	B 3 PD12DEC17	6724.00	6514.58	USD	-15,706.50	
12SEP17	B 1 PD12DEC17	6728.50	6514.58	USD	-5,348.00	
12SEP17	B 1 PD12DEC17	6727.00	6514.58	USD	-5,310.50	
12SEP17	B 1 PD12DEC17	6736.50	6514.58	USD	-5,548.00	
12SEP17	B 1 PD12DEC17	6738.00	6514.58	USD	-5,585.50	
12SEP17	B 3 PD12DEC17	6730.00	6514.58	USD	-16,156.50	
12SEP17	B 2 PD12DEC17	6732.00	6514.58	USD	-10,871.00	
12SEP17	B 1 PD12DEC17	6736.00	6514.58	USD	-5,535.50	
12SEP17	B 1 PD12DEC17	6731.00	6514.58	USD	-5,410.50	
12SEP17	B 1 PD12DEC17	6687.50	6514.58	USD	-4,323.00	
12SEP17	B 1 PD12DEC17	6652.00	6514.58	USD	-3,435.50	
12SEP17	B 1 PD12DEC17	6737.00	6514.58	USD	-5,560.50	
12SEP17	B 1 PD12DEC17	6645.50	6514.58	USD	-3,273.00	
12SEP17	B 1 PD12DEC17	6643.00	6514.58	USD	-3,210.50	
12SEP17	S 3 PD12DEC17	6638.50	6514.58	USD	9,294.00	
12SEP17	B 1 PD12DEC17	6639.00	6514.58	USD	-3,110.50	
12SEP17	S 1 PD12DEC17	6640.00	6514.58	USD	3,135.50	
12SEP17	B 1 PD12DEC17	6641.00	6514.58	USD	-3,160.50	
12SEP17	B 3 PD12DEC17	6639.50	6514.58	USD	-9,369.00	
12SEP17	B 3 PD12DEC17	6642.00	6514.58	USD	-9,556.50	
12SEP17	B 5 PD12DEC17	6641.50	6514.58	USD	-15,865.00	
12SEP17	B 1 PD12DEC17	6648.00	6514.58	USD	-3,335.50	
12SEP17	S 1 PD12DEC17	6653.50	6514.58	USD	3,473.00	
12SEP17	B 2 PD12DEC17	6653.50	6514.58	USD	-6,946.00	
12SEP17	B 3 PD12DEC17	6651.50	6514.58	USD	-10,269.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
12SEP17	B 3 PD12DEC17	6651.00	6514.58	USD	-10,231.50	
12SEP17	B 1 PD12DEC17	6657.50	6514.58	USD	-3,573.00	
12SEP17	B 1 PD12DEC17	6653.00	6514.58	USD	-3,460.50	
12SEP17	B 11 PD12DEC17	6654.00	6514.58	USD	-38,340.50	
12SEP17	B 1 PD12DEC17	6655.00	6514.58	USD	-3,510.50	
12SEP17	B 3 PD12DEC17	6664.50	6514.58	USD	-11,244.00	
12SEP17	B 1 PD12DEC17	6667.50	6514.58	USD	-3,823.00	
12SEP17	B 1 PD12DEC17	6675.50	6514.58	USD	-4,023.00	
12SEP17	B 12 PD12DEC17	6672.00	6514.58	USD	-47,226.00	
12SEP17	S 1 PD12DEC17	6637.50	6514.58	USD	3,073.00	
12SEP17	B 1 PD12DEC17	6654.00	6514.58	USD	-3,485.50	
12SEP17	B 2 PD12DEC17	6656.50	6514.58	USD	-7,096.00	
12SEP17	B 4 PD12DEC17	6671.50	6514.58	USD	-15,692.00	
12SEP17	B 1 PD12DEC17	6671.00	6514.58	USD	-3,910.50	
12SEP17	B 5 PD12DEC17	6670.50	6514.58	USD	-19,490.00	
12SEP17	B 2 PD12DEC17	6668.50	6514.58	USD	-7,696.00	
12SEP17	S 1 PD12DEC17	6669.00	6514.58	USD	3,860.50	
12SEP17	B 1 PD12DEC17	6666.50	6514.58	USD	-3,798.00	
12SEP17	B 3 PD12DEC17	6667.00	6514.58	USD	-11,431.50	
12SEP17	B 2 PD12DEC17	6669.50	6514.58	USD	-7,746.00	
14SEP17	S 15 PD12DEC17	6496.98	6514.58	USD	-6,600.00	
15SEP17	S 6 PD12DEC17	6505.56	6514.58	USD	-1,353.00	
19SEP17	S 1 PD12DEC17	6536.03	6514.58	USD	536.25	
19SEP17	S 4 PD12DEC17	6536.23	6514.58	USD	2,165.00	
20SEP17	S 8 PD12DEC17	6523.86	6514.58	USD	1,856.00	
20SEP17	S 17 PD12DEC17	6524.06	6514.58	USD	4,029.00	
21SEP17	S 8 PD12DEC17	6479.67	6514.58	USD	-6,982.00	
25SEP17	S 1 PD12DEC17	6445.54	6514.58	USD	-1,726.00	
	NET +9 AVG BGHT 6675.81 SOLD 6582.29				**-258,399.25**	**0.00**
13SEP17	B 1 PD13DEC17	6685.50	6514.98	USD	-4,263.00	
13SEP17	B 1 PD13DEC17	6647.00	6514.98	USD	-3,300.50	
13SEP17	B 1 PD13DEC17	6653.00	6514.98	USD	-3,450.50	
13SEP17	B 1 PD13DEC17	6645.00	6514.98	USD	-3,250.50	
13SEP17	B 2 PD13DEC17	6630.50	6514.98	USD	-5,776.00	
13SEP17	B 1 PD13DEC17	6628.50	6514.98	USD	-2,838.00	
13SEP17	B 2 PD13DEC17	6622.50	6514.98	USD	-5,376.00	
13SEP17	B 1 PD13DEC17	6553.00	6514.98	USD	-950.50	
13SEP17	B 1 PD13DEC17	6554.00	6514.98	USD	-975.50	
13SEP17	B 1 PD13DEC17	6552.00	6514.98	USD	-925.50	
13SEP17	B 1 PD13DEC17	6557.50	6514.98	USD	-1,063.00	
13SEP17	B 1 PD13DEC17	6560.50	6514.98	USD	-1,138.00	
13SEP17	B 10 PD13DEC17	6561.00	6514.98	USD	-11,505.00	
13SEP17	B 1 PD13DEC17	6561.50	6514.98	USD	-1,163.00	
13SEP17	B 2 PD13DEC17	6562.00	6514.98	USD	-2,351.00	
13SEP17	B 1 PD13DEC17	6563.00	6514.98	USD	-1,200.50	
13SEP17	B 1 PD13DEC17	6564.00	6514.98	USD	-1,225.50	
13SEP17	B 2 PD13DEC17	6564.50	6514.98	USD	-2,476.00	
13SEP17	S 1 PD13DEC17	6565.50	6514.98	USD	1,263.00	
13SEP17	S 1 PD13DEC17	6565.00	6514.98	USD	1,250.50	
13SEP17	B 1 PD13DEC17	6567.00	6514.98	USD	-1,300.50	
13SEP17	B 2 PD13DEC17	6566.50	6514.98	USD	-2,576.00	
13SEP17	S 1 PD13DEC17	6567.00	6514.98	USD	1,300.50	
13SEP17	S 3 PD13DEC17	6566.50	6514.98	USD	3,864.00	
13SEP17	S 8 PD13DEC17	6564.50	6514.98	USD	9,904.00	
13SEP17	S 2 PD13DEC17	6564.00	6514.98	USD	2,451.00	
13SEP17	B 4 PD13DEC17	6570.00	6514.98	USD	-5,502.00	
13SEP17	S 1 PD13DEC17	6570.50	6514.98	USD	1,388.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
13SEP17	B 1 PD13DEC17	6571.00	6514.98	USD	-1,400.50	
13SEP17	B 2 PD13DEC17	6569.50	6514.98	USD	-2,726.00	
13SEP17	B 2 PD13DEC17	6570.50	6514.98	USD	-2,776.00	
13SEP17	S 1 PD13DEC17	6574.00	6514.98	USD	1,475.50	
13SEP17	S 1 PD13DEC17	6570.00	6514.98	USD	1,375.50	
13SEP17	S 3 PD13DEC17	6571.50	6514.98	USD	4,239.00	
13SEP17	B 3 PD13DEC17	6571.50	6514.98	USD	-4,239.00	
13SEP17	B 4 PD13DEC17	6563.50	6514.98	USD	-4,852.00	
13SEP17	B 2 PD13DEC17	6568.00	6514.98	USD	-2,651.00	
13SEP17	B 1 PD13DEC17	6565.00	6514.98	USD	-1,250.50	
13SEP17	S 1 PD13DEC17	6552.00	6514.98	USD	925.50	
13SEP17	B 1 PD13DEC17	6564.50	6514.98	USD	-1,238.00	
13SEP17	S 1 PD13DEC17	6566.50	6514.98	USD	1,288.00	
13SEP17	B 1 PD13DEC17	6556.00	6514.98	USD	-1,025.50	
13SEP17	B 3 PD13DEC17	6554.50	6514.98	USD	-2,964.00	
13SEP17	B 1 PD13DEC17	6552.50	6514.98	USD	-938.00	
14SEP17	S 8 PD13DEC17	6497.39	6514.98	USD	-3,518.00	
20SEP17	S 2 PD13DEC17	6524.38	6514.98	USD	470.00	
21SEP17	S 11 PD13DEC17	6480.00	6514.98	USD	-9,619.50	
25SEP17	S 2 PD13DEC17	6446.00	6514.98	USD	-3,449.00	
03OCT17	S 1 PD13DEC17	6514.98	6514.98	USD	0.00	
	NET +11 AVG BGHT 6575.09 SOLD 6527.15				**-74,059.00**	**0.00**
14SEP17	B 1 PD14DEC17	6560.00	6515.38	USD	-1,115.50	
14SEP17	B 1 PD14DEC17	6563.50	6515.38	USD	-1,203.00	
14SEP17	B 1 PD14DEC17	6564.00	6515.38	USD	-1,215.50	
14SEP17	B 3 PD14DEC17	6565.50	6515.38	USD	-3,759.00	
14SEP17	B 4 PD14DEC17	6563.00	6515.38	USD	-4,762.00	
14SEP17	B 1 PD14DEC17	6561.00	6515.38	USD	-1,140.50	
14SEP17	B 3 PD14DEC17	6561.50	6515.38	USD	-3,459.00	
14SEP17	B 1 PD14DEC17	6552.00	6515.38	USD	-915.50	
14SEP17	B 1 PD14DEC17	6543.50	6515.38	USD	-703.00	
14SEP17	B 1 PD14DEC17	6527.50	6515.38	USD	-303.00	
14SEP17	B 1 PD14DEC17	6525.50	6515.38	USD	-253.00	
14SEP17	B 1 PD14DEC17	6537.50	6515.38	USD	-553.00	
14SEP17	B 1 PD14DEC17	6538.50	6515.38	USD	-578.00	
14SEP17	B 1 PD14DEC17	6543.00	6515.38	USD	-690.50	
14SEP17	S 1 PD14DEC17	6543.00	6515.38	USD	690.50	
14SEP17	B 2 PD14DEC17	6540.00	6515.38	USD	-1,231.00	
14SEP17	B 1 PD14DEC17	6540.50	6515.38	USD	-628.00	
14SEP17	S 1 PD14DEC17	6535.00	6515.38	USD	490.50	
14SEP17	S 1 PD14DEC17	6536.00	6515.38	USD	515.50	
14SEP17	B 1 PD14DEC17	6536.00	6515.38	USD	-515.50	
14SEP17	B 1 PD14DEC17	6457.50	6515.38	USD	1,447.00	
14SEP17	B 1 PD14DEC17	6465.50	6515.38	USD	1,247.00	
14SEP17	B 1 PD14DEC17	6470.50	6515.38	USD	1,122.00	
14SEP17	S 1 PD14DEC17	6519.50	6515.38	USD	103.00	
14SEP17	S 1 PD14DEC17	6487.00	6515.38	USD	-709.50	
14SEP17	S 1 PD14DEC17	6488.50	6515.38	USD	-672.00	
14SEP17	S 1 PD14DEC17	6497.00	6515.38	USD	-459.50	
14SEP17	B 1 PD14DEC17	6516.00	6515.38	USD	-15.50	
14SEP17	S 1 PD14DEC17	6524.50	6515.38	USD	228.00	
14SEP17	S 1 PD14DEC17	6515.00	6515.38	USD	-9.50	
14SEP17	S 1 PD14DEC17	6516.00	6515.38	USD	15.50	
14SEP17	S 1 PD14DEC17	6516.50	6515.38	USD	28.00	
14SEP17	S 2 PD14DEC17	6517.00	6515.38	USD	81.00	
14SEP17	B 1 PD14DEC17	6524.00	6515.38	USD	-215.50	
14SEP17	B 3 PD14DEC17	6520.00	6515.38	USD	-346.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
14SEP17	B 1 PD14DEC17	6518.50	6515.38	USD	-78.00	
14SEP17	B 1 PD14DEC17	6512.00	6515.38	USD	84.50	
14SEP17	B 1 PD14DEC17	6513.00	6515.38	USD	59.50	
14SEP17	B 3 PD14DEC17	6506.50	6515.38	USD	666.00	
14SEP17	B 1 PD14DEC17	6500.00	6515.38	USD	384.50	
14SEP17	B 1 PD14DEC17	6500.50	6515.38	USD	372.00	
14SEP17	B 1 PD14DEC17	6499.50	6515.38	USD	397.00	
14SEP17	B 1 PD14DEC17	6494.00	6515.38	USD	534.50	
14SEP17	S 1 PD14DEC17	6498.50	6515.38	USD	-422.00	
14SEP17	S 1 PD14DEC17	6499.00	6515.38	USD	-409.50	
14SEP17	B 1 PD14DEC17	6504.50	6515.38	USD	272.00	
21SEP17	S 16 PD14DEC17	6480.33	6515.38	USD	-14,020.00	
25SEP17	S 2 PD14DEC17	6446.46	6515.38	USD	-3,446.00	
26SEP17	S 3 PD14DEC17	6407.06	6515.38	USD	-8,124.00	
28SEP17	S 1 PD14DEC17	6517.20	6515.38	USD	45.50	
03OCT17	S 1 PD14DEC17	6515.38	6515.38	USD	0.00	
	NET +6 AVG BGHT 6530.92 SOLD 6487.93				**-43,169.00**	**0.00**
15SEP17	B 1 PD15DEC17	6502.00	6515.77	USD	344.25	
15SEP17	S 1 PD15DEC17	6505.50	6515.77	USD	-256.75	
15SEP17	S 1 PD15DEC17	6504.50	6515.77	USD	-281.75	
15SEP17	S 1 PD15DEC17	6507.50	6515.77	USD	-206.75	
15SEP17	S 1 PD15DEC17	6510.00	6515.77	USD	-144.25	
15SEP17	B 1 PD15DEC17	6510.00	6515.77	USD	144.25	
15SEP17	B 1 PD15DEC17	6515.50	6515.77	USD	6.75	
15SEP17	B 1 PD15DEC17	6519.00	6515.77	USD	-80.75	
15SEP17	B 2 PD15DEC17	6520.00	6515.77	USD	-211.50	
15SEP17	S 1 PD15DEC17	6520.00	6515.77	USD	105.75	
15SEP17	S 1 PD15DEC17	6524.50	6515.77	USD	218.25	
15SEP17	S 1 PD15DEC17	6526.50	6515.77	USD	268.25	
15SEP17	S 1 PD15DEC17	6522.00	6515.77	USD	155.75	
15SEP17	S 3 PD15DEC17	6506.50	6515.77	USD	-695.25	
15SEP17	S 4 PD15DEC17	6513.00	6515.77	USD	-277.00	
15SEP17	S 1 PD15DEC17	6492.00	6515.77	USD	-594.25	
15SEP17	B 2 PD15DEC17	6525.00	6515.77	USD	-461.50	
15SEP17	B 1 PD15DEC17	6505.00	6515.77	USD	269.25	
15SEP17	B 1 PD15DEC17	6504.00	6515.77	USD	294.25	
15SEP17	S 1 PD15DEC17	6509.00	6515.77	USD	-169.25	
15SEP17	B 1 PD15DEC17	6497.00	6515.77	USD	469.25	
15SEP17	B 1 PD15DEC17	6496.00	6515.77	USD	494.25	
15SEP17	B 1 PD15DEC17	6498.00	6515.77	USD	444.25	
15SEP17	B 1 PD15DEC17	6495.50	6515.77	USD	506.75	
15SEP17	B 1 PD15DEC17	6485.50	6515.77	USD	756.75	
15SEP17	B 1 PD15DEC17	6488.50	6515.77	USD	681.75	
15SEP17	S 5 PD15DEC17	6493.00	6515.77	USD	-2,846.25	
15SEP17	S 2 PD15DEC17	6494.00	6515.77	USD	-1,088.50	
15SEP17	S 1 PD15DEC17	6495.50	6515.77	USD	-506.75	
15SEP17	S 5 PD15DEC17	6495.00	6515.77	USD	-2,596.25	
15SEP17	S 2 PD15DEC17	6496.50	6515.77	USD	-963.50	
15SEP17	B 4 PD15DEC17	6489.50	6515.77	USD	2,627.00	
15SEP17	B 2 PD15DEC17	6491.50	6515.77	USD	1,213.50	
15SEP17	B 1 PD15DEC17	6478.00	6515.77	USD	944.25	
15SEP17	B 1 PD15DEC17	6479.00	6515.77	USD	919.25	
15SEP17	B 1 PD15DEC17	6477.50	6515.77	USD	956.75	
15SEP17	B 2 PD15DEC17	6476.50	6515.77	USD	1,963.50	
15SEP17	B 2 PD15DEC17	6474.50	6515.77	USD	2,063.50	
15SEP17	B 2 PD15DEC17	6478.50	6515.77	USD	1,863.50	
15SEP17	B 2 PD15DEC17	6477.00	6515.77	USD	1,938.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
15SEP17	S 1 PD15DEC17	6470.00	6515.77	USD	-1,144.25	
15SEP17	S 2 PD15DEC17	6468.00	6515.77	USD	-2,388.50	
15SEP17	S 2 PD15DEC17	6467.50	6515.77	USD	-2,413.50	
15SEP17	S 3 PD15DEC17	6466.50	6515.77	USD	-3,695.25	
15SEP17	S 3 PD15DEC17	6467.00	6515.77	USD	-3,657.75	
15SEP17	S 1 PD15DEC17	6476.50	6515.77	USD	-981.75	
15SEP17	S 1 PD15DEC17	6475.00	6515.77	USD	-1,019.25	
15SEP17	S 1 PD15DEC17	6463.00	6515.77	USD	-1,319.25	
15SEP17	S 3 PD15DEC17	6462.50	6515.77	USD	-3,995.25	
15SEP17	S 1 PD15DEC17	6461.50	6515.77	USD	-1,356.75	
15SEP17	S 2 PD15DEC17	6462.00	6515.77	USD	-2,688.50	
15SEP17	S 3 PD15DEC17	6466.00	6515.77	USD	-3,732.75	
15SEP17	S 1 PD15DEC17	6460.00	6515.77	USD	-1,394.25	
15SEP17	S 2 PD15DEC17	6461.00	6515.77	USD	-2,738.50	
15SEP17	S 1 PD15DEC17	6455.50	6515.77	USD	-1,506.75	
15SEP17	S 2 PD15DEC17	6455.00	6515.77	USD	-3,038.50	
15SEP17	S 1 PD15DEC17	6456.00	6515.77	USD	-1,494.25	
15SEP17	B 1 PD15DEC17	6490.50	6515.77	USD	631.75	
15SEP17	B 2 PD15DEC17	6494.00	6515.77	USD	1,088.50	
15SEP17	S 3 PD15DEC17	6494.00	6515.77	USD	-1,632.75	
15SEP17	B 1 PD15DEC17	6496.00	6515.77	USD	494.25	
15SEP17	B 1 PD15DEC17	6477.00	6515.77	USD	969.25	
15SEP17	B 1 PD15DEC17	6479.50	6515.77	USD	906.75	
15SEP17	S 1 PD15DEC17	6468.50	6515.77	USD	-1,181.75	
15SEP17	S 1 PD15DEC17	6464.50	6515.77	USD	-1,281.75	
15SEP17	S 1 PD15DEC17	6463.50	6515.77	USD	-1,306.75	
15SEP17	B 2 PD15DEC17	6488.00	6515.77	USD	1,388.50	
15SEP17	B 10 PD15DEC17	6490.00	6515.77	USD	6,442.50	
15SEP17	B 3 PD15DEC17	6490.50	6515.77	USD	1,895.25	
15SEP17	B 1 PD15DEC17	6493.50	6515.77	USD	556.75	
15SEP17	B 5 PD15DEC17	6494.00	6515.77	USD	2,721.25	
15SEP17	B 2 PD15DEC17	6500.00	6515.77	USD	788.50	
15SEP17	B 4 PD15DEC17	6497.50	6515.77	USD	1,827.00	
15SEP17	B 6 PD15DEC17	6502.50	6515.77	USD	1,990.50	
15SEP17	B 2 PD15DEC17	6500.50	6515.77	USD	763.50	
15SEP17	B 2 PD15DEC17	6501.50	6515.77	USD	713.50	
15SEP17	B 2 PD15DEC17	6517.00	6515.77	USD	-61.50	
15SEP17	B 1 PD15DEC17	6512.50	6515.77	USD	81.75	
15SEP17	B 1 PD15DEC17	6511.00	6515.77	USD	119.25	
15SEP17	B 1 PD15DEC17	6508.00	6515.77	USD	194.25	
15SEP17	B 1 PD15DEC17	6507.50	6515.77	USD	206.75	
15SEP17	S 1 PD15DEC17	6498.00	6515.77	USD	-444.25	
15SEP17	S 1 PD15DEC17	6493.50	6515.77	USD	-556.75	
15SEP17	S 1 PD15DEC17	6491.00	6515.77	USD	-619.25	
15SEP17	B 1 PD15DEC17	6481.50	6515.77	USD	856.75	
21SEP17	B 1 PD15DEC17	6480.87	6515.77	USD	872.50	
25SEP17	B 1 PD15DEC17	6447.12	6515.77	USD	1,716.25	
25SEP17	S 8 PD15DEC17	6446.92	6515.77	USD	-13,770.00	
	NET +6 AVG BGHT 6494.45 SOLD 6480.71				**-23,925.25**	**0.00**
18SEP17	S 1 PD18DEC17	6541.50	6516.96	USD	613.50	
18SEP17	S 1 PD18DEC17	6555.00	6516.96	USD	951.00	
18SEP17	S 1 PD18DEC17	6545.00	6516.96	USD	701.00	
18SEP17	S 1 PD18DEC17	6554.00	6516.96	USD	926.00	
18SEP17	B 1 PD18DEC17	6514.00	6516.96	USD	74.00	
18SEP17	S 1 PD18DEC17	6519.00	6516.96	USD	51.00	
18SEP17	S 1 PD18DEC17	6505.50	6516.96	USD	-286.50	
18SEP17	S 3 PD18DEC17	6552.00	6516.96	USD	2,628.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
18SEP17	B 1 PD18DEC17	6516.00	6516.96	USD	24.00	
18SEP17	S 1 PD18DEC17	6544.00	6516.96	USD	676.00	
18SEP17	S 1 PD18DEC17	6563.00	6516.96	USD	1,151.00	
18SEP17	S 1 PD18DEC17	6562.00	6516.96	USD	1,126.00	
18SEP17	S 1 PD18DEC17	6554.50	6516.96	USD	938.50	
18SEP17	S 1 PD18DEC17	6556.00	6516.96	USD	976.00	
18SEP17	S 2 PD18DEC17	6553.00	6516.96	USD	1,802.00	
18SEP17	S 1 PD18DEC17	6563.50	6516.96	USD	1,163.50	
18SEP17	B 1 PD18DEC17	6567.00	6516.96	USD	-1,251.00	
18SEP17	S 1 PD18DEC17	6560.50	6516.96	USD	1,088.50	
18SEP17	S 3 PD18DEC17	6541.00	6516.96	USD	1,803.00	
18SEP17	S 2 PD18DEC17	6550.50	6516.96	USD	1,677.00	
18SEP17	S 1 PD18DEC17	6557.50	6516.96	USD	1,013.50	
18SEP17	S 3 PD18DEC17	6565.00	6516.96	USD	3,603.00	
18SEP17	B 1 PD18DEC17	6513.00	6516.96	USD	99.00	
18SEP17	S 3 PD18DEC17	6550.00	6516.96	USD	2,478.00	
18SEP17	S 2 PD18DEC17	6505.00	6516.96	USD	-598.00	
18SEP17	B 1 PD18DEC17	6562.00	6516.96	USD	-1,126.00	
18SEP17	B 1 PD18DEC17	6549.50	6516.96	USD	-813.50	
18SEP17	S 1 PD18DEC17	6555.50	6516.96	USD	963.50	
18SEP17	B 1 PD18DEC17	6564.00	6516.96	USD	-1,176.00	
18SEP17	B 1 PD18DEC17	6556.50	6516.96	USD	-988.50	
18SEP17	B 1 PD18DEC17	6550.00	6516.96	USD	-826.00	
18SEP17	S 1 PD18DEC17	6551.00	6516.96	USD	851.00	
18SEP17	B 1 PD18DEC17	6514.50	6516.96	USD	61.50	
18SEP17	S 1 PD18DEC17	6544.50	6516.96	USD	688.50	
18SEP17	S 1 PD18DEC17	6553.50	6516.96	USD	913.50	
18SEP17	S 1 PD18DEC17	6507.00	6516.96	USD	-249.00	
18SEP17	S 1 PD18DEC17	6565.50	6516.96	USD	1,213.50	
18SEP17	S 1 PD18DEC17	6542.00	6516.96	USD	626.00	
18SEP17	B 2 PD18DEC17	6553.50	6516.96	USD	-1,827.00	
18SEP17	S 1 PD18DEC17	6552.00	6516.96	USD	876.00	
18SEP17	S 1 PD18DEC17	6555.50	6516.96	USD	963.50	
18SEP17	S 1 PD18DEC17	6552.50	6516.96	USD	888.50	
18SEP17	S 3 PD18DEC17	6547.00	6516.96	USD	2,253.00	
18SEP17	S 3 PD18DEC17	6549.50	6516.96	USD	2,440.50	
18SEP17	S 2 PD18DEC17	6537.50	6516.96	USD	1,027.00	
18SEP17	S 1 PD18DEC17	6537.00	6516.96	USD	501.00	
18SEP17	S 3 PD18DEC17	6536.50	6516.96	USD	1,465.50	
18SEP17	B 4 PD18DEC17	6536.50	6516.96	USD	-1,954.00	
18SEP17	S 1 PD18DEC17	6535.00	6516.96	USD	451.00	
18SEP17	S 1 PD18DEC17	6531.50	6516.96	USD	363.50	
18SEP17	S 1 PD18DEC17	6525.50	6516.96	USD	213.50	
18SEP17	B 2 PD18DEC17	6548.00	6516.96	USD	-1,552.00	
18SEP17	B 3 PD18DEC17	6541.00	6516.96	USD	-1,803.00	
18SEP17	B 1 PD18DEC17	6524.50	6516.96	USD	-188.50	
18SEP17	B 1 PD18DEC17	6520.50	6516.96	USD	-88.50	
18SEP17	B 1 PD18DEC17	6517.50	6516.96	USD	-13.50	
18SEP17	B 1 PD18DEC17	6518.50	6516.96	USD	-38.50	
18SEP17	B 1 PD18DEC17	6536.00	6516.96	USD	-476.00	
18SEP17	S 3 PD18DEC17	6535.50	6516.96	USD	1,390.50	
18SEP17	S 1 PD18DEC17	6530.50	6516.96	USD	338.50	
18SEP17	S 2 PD18DEC17	6536.00	6516.96	USD	952.00	
18SEP17	S 1 PD18DEC17	6527.50	6516.96	USD	263.50	
18SEP17	S 1 PD18DEC17	6529.00	6516.96	USD	301.00	
18SEP17	B 2 PD18DEC17	6531.50	6516.96	USD	-727.00	
18SEP17	S 1 PD18DEC17	6536.50	6516.96	USD	488.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
19SEP17	B 4 PD18DEC17	6538.80	6516.96	USD	-2,184.00	
20SEP17	B 22 PD18DEC17	6526.14	6516.96	USD	-5,049.00	
21SEP17	B 2 PD18DEC17	6481.87	6516.96	USD	1,754.50	
	NET -10 AVG BGHT 6531.30 SOLD 6544.03				**24,597.00**	**0.00**
19SEP17	S 1 PD19DEC17	6545.00	6517.35	USD	691.25	
19SEP17	B 1 PD19DEC17	6545.50	6517.35	USD	-703.75	
19SEP17	S 1 PD19DEC17	6548.50	6517.35	USD	778.75	
19SEP17	S 1 PD19DEC17	6539.00	6517.35	USD	541.25	
19SEP17	S 2 PD19DEC17	6538.00	6517.35	USD	1,032.50	
19SEP17	S 1 PD19DEC17	6536.00	6517.35	USD	466.25	
19SEP17	S 1 PD19DEC17	6540.50	6517.35	USD	578.75	
19SEP17	S 2 PD19DEC17	6525.00	6517.35	USD	382.50	
19SEP17	S 3 PD19DEC17	6525.50	6517.35	USD	611.25	
19SEP17	S 4 PD19DEC17	6534.00	6517.35	USD	1,665.00	
19SEP17	S 1 PD19DEC17	6526.00	6517.35	USD	216.25	
19SEP17	S 1 PD19DEC17	6532.50	6517.35	USD	378.75	
19SEP17	S 1 PD19DEC17	6535.00	6517.35	USD	441.25	
19SEP17	S 1 PD19DEC17	6536.50	6517.35	USD	478.75	
19SEP17	S 2 PD19DEC17	6537.50	6517.35	USD	1,007.50	
19SEP17	S 2 PD19DEC17	6537.00	6517.35	USD	982.50	
19SEP17	S 4 PD19DEC17	6538.50	6517.35	USD	2,115.00	
19SEP17	S 1 PD19DEC17	6530.00	6517.35	USD	316.25	
19SEP17	S 3 PD19DEC17	6531.00	6517.35	USD	1,023.75	
19SEP17	B 2 PD19DEC17	6521.00	6517.35	USD	-182.50	
19SEP17	B 1 PD19DEC17	6519.50	6517.35	USD	-53.75	
19SEP17	B 4 PD19DEC17	6518.50	6517.35	USD	-115.00	
19SEP17	B 2 PD19DEC17	6514.00	6517.35	USD	167.50	
19SEP17	B 1 PD19DEC17	6530.00	6517.35	USD	-316.25	
19SEP17	B 2 PD19DEC17	6532.50	6517.35	USD	-757.50	
19SEP17	B 1 PD19DEC17	6534.50	6517.35	USD	-428.75	
19SEP17	B 4 PD19DEC17	6535.00	6517.35	USD	-1,765.00	
19SEP17	B 3 PD19DEC17	6542.00	6517.35	USD	-1,848.75	
20SEP17	B 1 PD19DEC17	6526.45	6517.35	USD	-227.50	
21SEP17	B 10 PD19DEC17	6482.20	6517.35	USD	8,787.50	
25SEP17	B 1 PD19DEC17	6448.95	6517.35	USD	1,710.00	
26SEP17	S 5 PD19DEC17	6409.93	6517.35	USD	-13,427.50	
	NET -4 AVG BGHT 6512.18 SOLD 6517.65				**4,546.25**	**0.00**
20SEP17	S 1 PD20DEC17	6546.50	6517.75	USD	718.75	
20SEP17	B 1 PD20DEC17	6546.50	6517.75	USD	-718.75	
20SEP17	S 1 PD20DEC17	6551.50	6517.75	USD	843.75	
20SEP17	S 1 PD20DEC17	6553.00	6517.75	USD	881.25	
20SEP17	S 1 PD20DEC17	6552.00	6517.75	USD	856.25	
20SEP17	S 1 PD20DEC17	6553.50	6517.75	USD	893.75	
20SEP17	S 2 PD20DEC17	6552.50	6517.75	USD	1,737.50	
20SEP17	S 1 PD20DEC17	6554.50	6517.75	USD	918.75	
20SEP17	S 1 PD20DEC17	6556.50	6517.75	USD	968.75	
20SEP17	S 1 PD20DEC17	6565.00	6517.75	USD	1,181.25	
20SEP17	S 1 PD20DEC17	6570.50	6517.75	USD	1,318.75	
20SEP17	S 3 PD20DEC17	6549.50	6517.75	USD	2,381.25	
20SEP17	S 1 PD20DEC17	6546.00	6517.75	USD	706.25	
20SEP17	S 3 PD20DEC17	6549.00	6517.75	USD	2,343.75	
20SEP17	S 2 PD20DEC17	6545.00	6517.75	USD	1,362.50	
20SEP17	S 1 PD20DEC17	6544.00	6517.75	USD	656.25	
20SEP17	S 1 PD20DEC17	6543.50	6517.75	USD	643.75	
20SEP17	S 2 PD20DEC17	6547.00	6517.75	USD	1,462.50	
20SEP17	S 4 PD20DEC17	6542.50	6517.75	USD	2,475.00	
20SEP17	S 2 PD20DEC17	6542.00	6517.75	USD	1,212.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
20SEP17	S 1 PD20DEC17	6522.00	6517.75	USD	106.25	
20SEP17	S 1 PD20DEC17	6515.00	6517.75	USD	-68.75	
21SEP17	B 25 PD20DEC17	6482.20	6517.75	USD	22,218.75	
25SEP17	B 3 PD20DEC17	6448.95	6517.75	USD	5,160.00	
	NET -3 AVG BGHT 6480.98 SOLD 6547.25				**50,260.00**	**0.00**
21SEP17	S 1 PD21DEC17	6509.00	6516.75	USD	-193.75	
21SEP17	B 1 PD21DEC17	6507.50	6516.75	USD	231.25	
21SEP17	S 1 PD21DEC17	6490.50	6516.75	USD	-656.25	
21SEP17	S 1 PD21DEC17	6491.00	6516.75	USD	-643.75	
21SEP17	S 1 PD21DEC17	6492.00	6516.75	USD	-618.75	
21SEP17	S 1 PD21DEC17	6492.50	6516.75	USD	-606.25	
21SEP17	S 1 PD21DEC17	6479.50	6516.75	USD	-931.25	
21SEP17	S 1 PD21DEC17	6470.50	6516.75	USD	-1,156.25	
21SEP17	S 1 PD21DEC17	6465.50	6516.75	USD	-1,281.25	
21SEP17	B 1 PD21DEC17	6453.00	6516.75	USD	1,593.75	
21SEP17	B 1 PD21DEC17	6441.00	6516.75	USD	1,893.75	
21SEP17	B 2 PD21DEC17	6440.50	6516.75	USD	3,812.50	
21SEP17	B 1 PD21DEC17	6447.00	6516.75	USD	1,743.75	
21SEP17	S 1 PD21DEC17	6453.00	6516.75	USD	-1,593.75	
21SEP17	S 1 PD21DEC17	6454.50	6516.75	USD	-1,556.25	
21SEP17	B 1 PD21DEC17	6457.50	6516.75	USD	1,481.25	
21SEP17	B 1 PD21DEC17	6460.00	6516.75	USD	1,418.75	
21SEP17	S 1 PD21DEC17	6466.00	6516.75	USD	-1,268.75	
21SEP17	S 1 PD21DEC17	6440.50	6516.75	USD	-1,906.25	
21SEP17	S 2 PD21DEC17	6432.00	6516.75	USD	-4,237.50	
21SEP17	S 1 PD21DEC17	6436.50	6516.75	USD	-2,006.25	
21SEP17	B 2 PD21DEC17	6442.50	6516.75	USD	3,712.50	
21SEP17	S 1 PD21DEC17	6428.00	6516.75	USD	-2,218.75	
21SEP17	S 1 PD21DEC17	6434.50	6516.75	USD	-2,056.25	
21SEP17	S 3 PD21DEC17	6426.50	6516.75	USD	-6,768.75	
21SEP17	S 1 PD21DEC17	6427.00	6516.75	USD	-2,243.75	
21SEP17	S 2 PD21DEC17	6433.50	6516.75	USD	-4,162.50	
25SEP17	B 4 PD21DEC17	6446.95	6516.75	USD	6,980.00	
26SEP17	B 3 PD21DEC17	6409.20	6516.75	USD	8,066.25	
	NET -6 AVG BGHT 6443.96 SOLD 6453.96				**-5,172.50**	**0.00**
22SEP17	S 1 PD22DEC17	6426.50	6515.75	USD	-2,231.25	
22SEP17	B 2 PD22DEC17	6442.50	6515.75	USD	3,662.50	
22SEP17	B 3 PD22DEC17	6432.00	6515.75	USD	6,281.25	
22SEP17	S 1 PD22DEC17	6401.00	6515.75	USD	-2,868.75	
22SEP17	S 1 PD22DEC17	6401.50	6515.75	USD	-2,856.25	
22SEP17	S 1 PD22DEC17	6400.00	6515.75	USD	-2,893.75	
22SEP17	S 4 PD22DEC17	6400.50	6515.75	USD	-11,525.00	
22SEP17	S 2 PD22DEC17	6402.00	6515.75	USD	-5,687.50	
22SEP17	S 1 PD22DEC17	6405.00	6515.75	USD	-2,768.75	
22SEP17	S 1 PD22DEC17	6389.50	6515.75	USD	-3,156.25	
22SEP17	S 2 PD22DEC17	6388.50	6515.75	USD	-6,362.50	
22SEP17	S 2 PD22DEC17	6383.00	6515.75	USD	-6,637.50	
22SEP17	S 1 PD22DEC17	6380.50	6515.75	USD	-3,381.25	
22SEP17	S 1 PD22DEC17	6381.50	6515.75	USD	-3,356.25	
22SEP17	S 1 PD22DEC17	6373.00	6515.75	USD	-3,568.75	
22SEP17	S 1 PD22DEC17	6402.00	6515.75	USD	-2,843.75	
22SEP17	B 1 PD22DEC17	6478.50	6515.75	USD	931.25	
22SEP17	S 1 PD22DEC17	6476.00	6515.75	USD	-993.75	
22SEP17	B 1 PD22DEC17	6480.50	6515.75	USD	881.25	
22SEP17	S 1 PD22DEC17	6478.50	6515.75	USD	-931.25	
25SEP17	B 4 PD22DEC17	6447.49	6515.75	USD	6,826.00	
03OCT17	B 1 PD22DEC17	6515.95	6515.75	USD	-5.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
	NET -10 AVG BGHT 6453.83 SOLD 6402.91				**-43,485.25**	**0.00**
25SEP17	S 1 PD27DEC17	6478.00	6517.52	USD	-988.00	
25SEP17	B 1 PD27DEC17	6472.00	6517.52	USD	1,138.00	
25SEP17	S 2 PD27DEC17	6478.50	6517.52	USD	-1,951.00	
25SEP17	B 1 PD27DEC17	6477.50	6517.52	USD	1,000.50	
25SEP17	S 1 PD27DEC17	6458.00	6517.52	USD	-1,488.00	
25SEP17	S 1 PD27DEC17	6458.50	6517.52	USD	-1,475.50	
25SEP17	B 1 PD27DEC17	6461.00	6517.52	USD	1,413.00	
25SEP17	S 1 PD27DEC17	6457.50	6517.52	USD	-1,500.50	
25SEP17	B 1 PD27DEC17	6445.50	6517.52	USD	1,800.50	
26SEP17	S 3 PD27DEC17	6479.00	6517.52	USD	-2,889.00	
26SEP17	B 1 PD27DEC17	6480.50	6517.52	USD	925.50	
26SEP17	B 2 PD27DEC17	6479.00	6517.52	USD	1,926.00	
26SEP17	B 1 PD27DEC17	6477.00	6517.52	USD	1,013.00	
26SEP17	S 1 PD27DEC17	6479.00	6517.52	USD	-963.00	
26SEP17	B 1 PD27DEC17	6454.50	6517.52	USD	1,575.50	
26SEP17	B 2 PD27DEC17	6458.00	6517.52	USD	2,976.00	
26SEP17	S 1 PD27DEC17	6457.50	6517.52	USD	-1,500.50	
26SEP17	S 1 PD27DEC17	6455.00	6517.52	USD	-1,563.00	
26SEP17	B 1 PD27DEC17	6442.50	6517.52	USD	1,875.50	
26SEP17	B 1 PD27DEC17	6440.50	6517.52	USD	1,925.50	
26SEP17	B 1 PD27DEC17	6439.00	6517.52	USD	1,963.00	
26SEP17	B 1 PD27DEC17	6437.50	6517.52	USD	2,000.50	
26SEP17	B 2 PD27DEC17	6439.50	6517.52	USD	3,901.00	
26SEP17	B 1 PD27DEC17	6435.00	6517.52	USD	2,063.00	
26SEP17	B 1 PD27DEC17	6429.50	6517.52	USD	2,200.50	
26SEP17	B 1 PD27DEC17	6429.00	6517.52	USD	2,213.00	
26SEP17	B 1 PD27DEC17	6427.50	6517.52	USD	2,250.50	
26SEP17	B 1 PD27DEC17	6418.00	6517.52	USD	2,488.00	
26SEP17	B 1 PD27DEC17	6424.50	6517.52	USD	2,325.50	
26SEP17	B 2 PD27DEC17	6416.50	6517.52	USD	5,051.00	
26SEP17	S 1 PD27DEC17	6412.00	6517.52	USD	-2,638.00	
26SEP17	B 5 PD27DEC17	6413.20	6517.52	USD	13,040.00	
26SEP17	B 1 PD27DEC17	6418.50	6517.52	USD	2,475.50	
27SEP17	B 1 PD27DEC17	6453.00	6517.52	USD	1,613.00	
27SEP17	B 1 PD27DEC17	6450.50	6517.52	USD	1,675.50	
27SEP17	B 1 PD27DEC17	6463.50	6517.52	USD	1,350.50	
27SEP17	B 2 PD27DEC17	6470.50	6517.52	USD	2,351.00	
27SEP17	S 1 PD27DEC17	6458.00	6517.52	USD	-1,488.00	
27SEP17	S 1 PD27DEC17	6466.00	6517.52	USD	-1,288.00	
27SEP17	S 1 PD27DEC17	6462.00	6517.52	USD	-1,388.00	
27SEP17	S 2 PD27DEC17	6457.00	6517.52	USD	-3,026.00	
27SEP17	S 2 PD27DEC17	6456.50	6517.52	USD	-3,051.00	
27SEP17	S 7 PD27DEC17	6456.00	6517.52	USD	-10,766.00	
27SEP17	B 14 PD27DEC17	6454.50	6517.52	USD	22,057.00	
27SEP17	S 1 PD27DEC17	6455.00	6517.52	USD	-1,563.00	
27SEP17	S 1 PD27DEC17	6449.00	6517.52	USD	-1,713.00	
27SEP17	S 2 PD27DEC17	6448.00	6517.52	USD	-3,476.00	
27SEP17	S 1 PD27DEC17	6432.00	6517.52	USD	-2,138.00	
27SEP17	S 2 PD27DEC17	6430.00	6517.52	USD	-4,376.00	
27SEP17	S 1 PD27DEC17	6427.50	6517.52	USD	-2,250.50	
27SEP17	B 1 PD27DEC17	6454.00	6517.52	USD	1,588.00	
27SEP17	S 1 PD27DEC17	6428.00	6517.52	USD	-2,238.00	
27SEP17	S 1 PD27DEC17	6443.50	6517.52	USD	-1,850.50	
27SEP17	S 1 PD27DEC17	6441.50	6517.52	USD	-1,900.50	
27SEP17	S 1 PD27DEC17	6434.00	6517.52	USD	-2,088.00	
27SEP17	S 3 PD27DEC17	6444.50	6517.52	USD	-5,476.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
27SEP17	S 2 PD27DEC17	6446.50	6517.52	USD	-3,551.00	
27SEP17	S 1 PD27DEC17	6426.00	6517.52	USD	-2,288.00	
28SEP17	B 1 PD27DEC17	6521.53	6517.52	USD	-100.25	
03OCT17	B 1 PD27DEC17	6517.72	6517.52	USD	-5.00	
	NET +8 AVG BGHT 6449.54 SOLD 6452.74				**17,197.75**	**0.00**
28SEP17	B 1 PD28DEC17	6436.50	6517.88	USD	2,034.50	
28SEP17	B 1 PD28DEC17	6425.50	6517.88	USD	2,309.50	
28SEP17	S 1 PD28DEC17	6423.50	6517.88	USD	-2,359.50	
28SEP17	S 1 PD28DEC17	6411.50	6517.88	USD	-2,659.50	
28SEP17	S 1 PD28DEC17	6407.50	6517.88	USD	-2,759.50	
28SEP17	S 1 PD28DEC17	6407.00	6517.88	USD	-2,772.00	
28SEP17	S 2 PD28DEC17	6408.00	6517.88	USD	-5,494.00	
28SEP17	S 4 PD28DEC17	6409.00	6517.88	USD	-10,888.00	
28SEP17	S 7 PD28DEC17	6408.50	6517.88	USD	-19,141.50	
28SEP17	S 1 PD28DEC17	6401.00	6517.88	USD	-2,922.00	
28SEP17	S 2 PD28DEC17	6402.00	6517.88	USD	-5,794.00	
28SEP17	S 2 PD28DEC17	6400.50	6517.88	USD	-5,869.00	
28SEP17	S 1 PD28DEC17	6415.00	6517.88	USD	-2,572.00	
28SEP17	B 1 PD28DEC17	6423.50	6517.88	USD	2,359.50	
28SEP17	B 2 PD28DEC17	6429.50	6517.88	USD	4,419.00	
28SEP17	B 2 PD28DEC17	6436.00	6517.88	USD	4,094.00	
28SEP17	B 4 PD28DEC17	6441.00	6517.88	USD	7,688.00	
28SEP17	B 1 PD28DEC17	6440.50	6517.88	USD	1,934.50	
28SEP17	B 2 PD28DEC17	6438.00	6517.88	USD	3,994.00	
28SEP17	B 2 PD28DEC17	6437.50	6517.88	USD	4,019.00	
28SEP17	B 3 PD28DEC17	6434.00	6517.88	USD	6,291.00	
28SEP17	B 2 PD28DEC17	6433.00	6517.88	USD	4,244.00	
28SEP17	B 1 PD28DEC17	6433.50	6517.88	USD	2,109.50	
28SEP17	S 1 PD28DEC17	6436.00	6517.88	USD	-2,047.00	
28SEP17	B 1 PD28DEC17	6466.50	6517.88	USD	1,284.50	
28SEP17	B 1 PD28DEC17	6476.00	6517.88	USD	1,047.00	
28SEP17	B 1 PD28DEC17	6476.50	6517.88	USD	1,034.50	
28SEP17	B 1 PD28DEC17	6467.00	6517.88	USD	1,272.00	
28SEP17	B 1 PD28DEC17	6470.50	6517.88	USD	1,184.50	
28SEP17	B 1 PD28DEC17	6469.50	6517.88	USD	1,209.50	
28SEP17	B 1 PD28DEC17	6470.00	6517.88	USD	1,197.00	
28SEP17	B 1 PD28DEC17	6462.50	6517.88	USD	1,384.50	
28SEP17	B 1 PD28DEC17	6489.00	6517.88	USD	722.00	
28SEP17	B 2 PD28DEC17	6488.00	6517.88	USD	1,494.00	
28SEP17	B 1 PD28DEC17	6513.00	6517.88	USD	122.00	
28SEP17	B 1 PD28DEC17	6514.50	6517.88	USD	84.50	
28SEP17	B 2 PD28DEC17	6514.00	6517.88	USD	194.00	
28SEP17	B 1 PD28DEC17	6528.50	6517.88	USD	-265.50	
28SEP17	S 2 PD28DEC17	6512.00	6517.88	USD	-294.00	
28SEP17	S 1 PD28DEC17	6510.00	6517.88	USD	-197.00	
28SEP17	S 1 PD28DEC17	6515.00	6517.88	USD	-72.00	
28SEP17	S 1 PD28DEC17	6520.50	6517.88	USD	65.50	
28SEP17	S 1 PD28DEC17	6520.00	6517.88	USD	53.00	
28SEP17	S 2 PD28DEC17	6573.00	6517.88	USD	2,756.00	
28SEP17	S 1 PD28DEC17	6555.00	6517.88	USD	928.00	
	NET +5 AVG BGHT 6457.39 SOLD 6442.68				**-4,577.50**	**0.00**
29SEP17	S 2 PD29DEC17	6530.00	6518.23	USD	588.50	
29SEP17	S 2 PD29DEC17	6533.50	6518.23	USD	763.50	
29SEP17	S 1 PD29DEC17	6535.00	6518.23	USD	419.25	
29SEP17	S 3 PD29DEC17	6525.00	6518.23	USD	507.75	
29SEP17	S 2 PD29DEC17	6525.50	6518.23	USD	363.50	
29SEP17	S 1 PD29DEC17	6529.50	6518.23	USD	281.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
29SEP17	S 2 PD29DEC17	6528.00	6518.23	USD	488.50	
29SEP17	B 1 PD29DEC17	6553.00	6518.23	USD	-869.25	
29SEP17	B 1 PD29DEC17	6567.00	6518.23	USD	-1,219.25	
29SEP17	B 1 PD29DEC17	6568.00	6518.23	USD	-1,244.25	
29SEP17	B 1 PD29DEC17	6560.00	6518.23	USD	-1,044.25	
29SEP17	B 2 PD29DEC17	6571.50	6518.23	USD	-2,663.50	
29SEP17	B 1 PD29DEC17	6571.00	6518.23	USD	-1,319.25	
29SEP17	B 2 PD29DEC17	6570.00	6518.23	USD	-2,588.50	
29SEP17	B 4 PD29DEC17	6569.00	6518.23	USD	-5,077.00	
29SEP17	B 2 PD29DEC17	6562.00	6518.23	USD	-2,188.50	
29SEP17	B 1 PD29DEC17	6568.50	6518.23	USD	-1,256.75	
29SEP17	B 2 PD29DEC17	6572.50	6518.23	USD	-2,713.50	
29SEP17	B 4 PD29DEC17	6572.00	6518.23	USD	-5,377.00	
29SEP17	B 1 PD29DEC17	6574.00	6518.23	USD	-1,394.25	
29SEP17	B 2 PD29DEC17	6574.50	6518.23	USD	-2,813.50	
29SEP17	B 4 PD29DEC17	6575.00	6518.23	USD	-5,677.00	
29SEP17	B 3 PD29DEC17	6573.00	6518.23	USD	-4,107.75	
29SEP17	B 1 PD29DEC17	6573.50	6518.23	USD	-1,381.75	
29SEP17	S 1 PD29DEC17	6567.50	6518.23	USD	1,231.75	
29SEP17	B 5 PD29DEC17	6579.50	6518.23	USD	-7,658.75	
	NET +24 AVG BGHT 6571.49 SOLD 6531.50				**-45,949.50**	**0.00**
	CMX COPPER 25,000 CMX					
14SEP17	B 1 DEC17	296.500	296.350	USD	-37.50	
14SEP17	B 1 DEC17	296.000	296.350	USD	87.50	
14SEP17	B 2 DEC17	295.800	296.350	USD	275.00	
14SEP17	B 1 DEC17	295.650	296.350	USD	175.00	
14SEP17	B 1 DEC17	295.700	296.350	USD	162.50	
15SEP17	B 1 DEC17	295.700	296.350	USD	162.50	
15SEP17	B 1 DEC17	296.000	296.350	USD	87.50	
15SEP17	B 2 DEC17	295.950	296.350	USD	200.00	
26SEP17	B 1 DEC17	292.650	296.350	USD	925.00	
26SEP17	B 4 DEC17	292.600	296.350	USD	3,750.00	
26SEP17	B 2 DEC17	292.500	296.350	USD	1,925.00	
26SEP17	B 1 DEC17	292.550	296.350	USD	950.00	
26SEP17	B 1 DEC17	292.350	296.350	USD	1,000.00	
26SEP17	B 1 DEC17	292.100	296.350	USD	1,062.50	
26SEP17	B 1 DEC17	291.900	296.350	USD	1,112.50	
26SEP17	B 1 DEC17	291.850	296.350	USD	1,125.00	
26SEP17	B 1 DEC17	291.950	296.350	USD	1,100.00	
26SEP17	B 1 DEC17	291.350	296.350	USD	1,250.00	
26SEP17	B 1 DEC17	291.500	296.350	USD	1,212.50	
28SEP17	B 1 DEC17	293.750	296.350	USD	650.00	
28SEP17	B 2 DEC17	294.850	296.350	USD	750.00	
29SEP17	B 1 DEC17	298.550	296.350	USD	-550.00	
29SEP17	B 1 DEC17	298.350	296.350	USD	-500.00	
29SEP17	B 1 DEC17	298.850	296.350	USD	-625.00	
29SEP17	B 1 DEC17	298.900	296.350	USD	-637.50	
29SEP17	B 1 DEC17	298.950	296.350	USD	-650.00	
	NET +33 AVG BGHT 294.536				**14,962.50**	**0.00**
	CMX GOLD 100 CMX					
15SEP17	B 4 DEC17	1329.9	1274.6	USD	-22,120.00	
15SEP17	B 1 DEC17	1328.3	1274.6	USD	-5,370.00	
15SEP17	B 1 DEC17	1328.1	1274.6	USD	-5,350.00	
15SEP17	B 5 DEC17	1327.6	1274.6	USD	-26,500.00	
15SEP17	B 1 DEC17	1327.4	1274.6	USD	-5,280.00	
15SEP17	B 1 DEC17	1327.0	1274.6	USD	-5,240.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position		Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	CMX GOLD 100 CMX						
15SEP17	B 1 DEC17		1326.7	1274.6	USD	-5,210.00	
15SEP17	B 1 DEC17		1326.3	1274.6	USD	-5,170.00	
15SEP17	B 1 DEC17		1325.1	1274.6	USD	-5,050.00	
15SEP17	B 1 DEC17		1326.6	1274.6	USD	-5,200.00	
15SEP17	B 1 DEC17		1325.9	1274.6	USD	-5,130.00	
15SEP17	B 1 DEC17		1325.7	1274.6	USD	-5,110.00	
21SEP17	B 1 DEC17		1297.3	1274.6	USD	-2,270.00	
21SEP17	B 1 DEC17		1292.9	1274.6	USD	-1,830.00	
21SEP17	B 1 DEC17		1293.5	1274.6	USD	-1,890.00	
21SEP17	B 1 DEC17		1293.7	1274.6	USD	-1,910.00	
21SEP17	B 1 DEC17		1292.6	1274.6	USD	-1,800.00	
21SEP17	B 1 DEC17		1292.4	1274.6	USD	-1,780.00	
21SEP17	B 2 DEC17		1296.9	1274.6	USD	-4,460.00	
27SEP17	B 1 DEC17		1295.9	1274.6	USD	-2,130.00	
27SEP17	B 3 DEC17		1296.3	1274.6	USD	-6,510.00	
27SEP17	B 1 DEC17		1297.8	1274.6	USD	-2,320.00	
27SEP17	B 2 DEC17		1287.8	1274.6	USD	-2,640.00	
	NET +34 AVG BGHT 1312.9					**-130,270.00**	**0.00**
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT						
04JUL17	S 1 PD04OCT17		2325.50	2584.77	USD	-6,481.75	
04JUL17	B 1 PD04OCT17		2306.00	2584.77	USD	6,969.25	
04JUL17	B 1 PD04OCT17		2291.00	2584.77	USD	7,344.25	
04JUL17	B 1 PD04OCT17		2297.00	2584.77	USD	7,194.25	
04JUL17	B 1 PD04OCT17		2296.50	2584.77	USD	7,206.75	
04JUL17	B 1 PD04OCT17		2296.00	2584.77	USD	7,219.25	
04JUL17	B 1 PD04OCT17		2295.50	2584.77	USD	7,231.75	
04JUL17	B 1 PD04OCT17		2297.50	2584.77	USD	7,181.75	
04JUL17	S 1 PD04OCT17		2296.50	2584.77	USD	-7,206.75	
04JUL17	S 1 PD04OCT17		2297.00	2584.77	USD	-7,194.25	
04JUL17	S 2 PD04OCT17		2296.50	2584.77	USD	-14,413.50	
04JUL17	S 2 PD04OCT17		2297.00	2584.77	USD	-14,388.50	
12JUL17	S 1 PD04OCT17		2326.58	2584.77	USD	-6,454.75	
14JUL17	B 11 PD04OCT17		2315.22	2584.77	USD	74,126.25	
19JUL17	S 5 PD04OCT17		2214.75	2584.77	USD	-46,252.50	
28JUL17	S 2 PD04OCT17		2316.80	2584.77	USD	-13,398.50	
28JUL17	S 1 PD04OCT17		2317.00	2584.77	USD	-6,694.25	
08AUG17	S 2 PD04OCT17		2375.21	2584.77	USD	-10,478.00	
	NET 0 AVG BGHT 2308.16	**SOLD 2289.30**				**-8,489.25**	**0.00**
05JUL17	S 1 PD05OCT17		2280.50	2585.00	USD	-7,612.50	
05JUL17	S 1 PD05OCT17		2281.50	2585.00	USD	-7,587.50	
05JUL17	S 3 PD05OCT17		2282.00	2585.00	USD	-22,725.00	
05JUL17	B 1 PD05OCT17		2274.00	2585.00	USD	7,775.00	
05JUL17	B 2 PD05OCT17		2265.50	2585.00	USD	15,975.00	
05JUL17	S 2 PD05OCT17		2264.00	2585.00	USD	-16,050.00	
05JUL17	B 1 PD05OCT17		2251.50	2585.00	USD	8,337.50	
05JUL17	B 1 PD05OCT17		2272.50	2585.00	USD	7,812.50	
19JUL17	S 1 PD05OCT17		2214.95	2585.00	USD	-9,251.25	
19JUL17	B 5 PD05OCT17		2215.15	2585.00	USD	46,231.25	
28JUL17	S 1 PD05OCT17		2317.20	2585.00	USD	-6,695.00	
08AUG17	S 1 PD05OCT17		2375.50	2585.00	USD	-5,237.50	
	NET 0 AVG BGHT 2240.48	**SOLD 2284.37**				**10,972.50**	**0.00**
06JUL17	S 1 PD06OCT17		2273.00	2585.23	USD	-7,805.75	
06JUL17	B 1 PD06OCT17		2262.50	2585.23	USD	8,068.25	
06JUL17	B 1 PD06OCT17		2275.00	2585.23	USD	7,755.75	
06JUL17	S 1 PD06OCT17		2272.50	2585.23	USD	-7,818.25	
06JUL17	S 1 PD06OCT17		2279.00	2585.23	USD	-7,655.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
06JUL17	S	1	PD06OCT17	2285.00	2585.23	USD	-7,505.75	
06JUL17	B	1	PD06OCT17	2286.50	2585.23	USD	7,468.25	
06JUL17	B	1	PD06OCT17	2286.00	2585.23	USD	7,480.75	
07JUL17	S	1	PD06OCT17	2288.50	2585.23	USD	-7,418.25	
07JUL17	S	1	PD06OCT17	2295.00	2585.23	USD	-7,255.75	
28JUL17	B	1	PD06OCT17	2317.59	2585.23	USD	6,691.00	
08AUG17	B	1	PD06OCT17	2375.99	2585.23	USD	5,231.00	
	NET	**0**	**AVG BGHT 2300.60**	**SOLD 2282.17**			**-2,764.50**	**0.00**
10JUL17	B	1	PD10OCT17	2282.00	2586.15	USD	7,603.75	
10JUL17	S	1	PD10OCT17	2271.50	2586.15	USD	-7,866.25	
10JUL17	S	1	PD10OCT17	2302.00	2586.15	USD	-7,103.75	
10JUL17	S	1	PD10OCT17	2305.00	2586.15	USD	-7,028.75	
10JUL17	S	1	PD10OCT17	2316.50	2586.15	USD	-6,741.25	
10JUL17	S	1	PD10OCT17	2313.50	2586.15	USD	-6,816.25	
12JUL17	B	1	PD10OCT17	2327.57	2586.15	USD	6,464.50	
28JUL17	B	1	PD10OCT17	2318.38	2586.15	USD	6,694.25	
08AUG17	B	2	PD10OCT17	2377.14	2586.15	USD	10,450.50	
	NET	**0**	**AVG BGHT 2336.45**	**SOLD 2301.70**			**-4,343.25**	**0.00**
11JUL17	S	2	PD11OCT17	2321.50	2586.38	USD	-13,244.00	
11JUL17	B	1	PD11OCT17	2310.00	2586.38	USD	6,909.50	
11JUL17	B	2	PD11OCT17	2309.50	2586.38	USD	13,844.00	
11JUL17	B	1	PD11OCT17	2331.50	2586.38	USD	6,372.00	
11JUL17	B	1	PD11OCT17	2325.50	2586.38	USD	6,522.00	
11JUL17	B	1	PD11OCT17	2320.00	2586.38	USD	6,659.50	
11JUL17	B	2	PD11OCT17	2328.00	2586.38	USD	12,919.00	
11JUL17	S	1	PD11OCT17	2323.00	2586.38	USD	-6,584.50	
11JUL17	S	1	PD11OCT17	2340.50	2586.38	USD	-6,147.00	
11JUL17	B	1	PD11OCT17	2326.50	2586.38	USD	6,497.00	
11JUL17	B	1	PD11OCT17	2307.00	2586.38	USD	6,984.50	
11JUL17	B	3	PD11OCT17	2306.00	2586.38	USD	21,028.50	
11JUL17	B	1	PD11OCT17	2310.50	2586.38	USD	6,897.00	
11JUL17	S	1	PD11OCT17	2306.50	2586.38	USD	-6,997.00	
11JUL17	B	1	PD11OCT17	2304.50	2586.38	USD	7,047.00	
11JUL17	S	1	PD11OCT17	2308.50	2586.38	USD	-6,947.00	
14JUL17	S	1	PD11OCT17	2315.78	2586.38	USD	-6,765.00	
17JUL17	S	2	PD11OCT17	2295.61	2586.38	USD	-14,538.50	
19JUL17	S	3	PD11OCT17	2216.13	2586.38	USD	-27,768.75	
28JUL17	S	1	PD11OCT17	2318.38	2586.38	USD	-6,700.00	
10AUG17	S	2	PD11OCT17	2358.71	2586.38	USD	-11,383.50	
	NET	**0**	**AVG BGHT 2315.23**	**SOLD 2300.85**			**-5,395.25**	**0.00**
12JUL17	S	1	PD12OCT17	2318.50	2586.62	USD	-6,703.00	
12JUL17	S	1	PD12OCT17	2314.50	2586.62	USD	-6,803.00	
12JUL17	B	2	PD12OCT17	2321.00	2586.62	USD	13,281.00	
12JUL17	B	1	PD12OCT17	2326.50	2586.62	USD	6,503.00	
12JUL17	B	2	PD12OCT17	2327.00	2586.62	USD	12,981.00	
12JUL17	B	1	PD12OCT17	2338.50	2586.62	USD	6,203.00	
12JUL17	B	1	PD12OCT17	2328.50	2586.62	USD	6,453.00	
12JUL17	B	1	PD12OCT17	2318.00	2586.62	USD	6,715.50	
12JUL17	S	1	PD12OCT17	2332.50	2586.62	USD	-6,353.00	
12JUL17	B	1	PD12OCT17	2330.00	2586.62	USD	6,415.50	
12JUL17	B	1	PD12OCT17	2329.00	2586.62	USD	6,440.50	
12JUL17	S	1	PD12OCT17	2325.50	2586.62	USD	-6,528.00	
12JUL17	S	1	PD12OCT17	2322.00	2586.62	USD	-6,615.50	
14JUL17	B	1	PD12OCT17	2316.09	2586.62	USD	6,763.25	
19JUL17	S	5	PD12OCT17	2216.32	2586.62	USD	-46,287.50	
08AUG17	S	1	PD12OCT17	2377.52	2586.62	USD	-5,227.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
	NET 0 AVG BGHT 2325.69 SOLD 2279.28				**-12,761.75**	**0.00**
13JUL17	B 1 PD13OCT17	2314.50	2586.85	USD	6,808.75	
13JUL17	B 1 PD13OCT17	2317.50	2586.85	USD	6,733.75	
13JUL17	B 1 PD13OCT17	2285.00	2586.85	USD	7,546.25	
13JUL17	B 1 PD13OCT17	2292.50	2586.85	USD	7,358.75	
13JUL17	S 1 PD13OCT17	2290.50	2586.85	USD	-7,408.75	
14JUL17	S 1 PD13OCT17	2283.00	2586.85	USD	-7,596.25	
14JUL17	S 1 PD13OCT17	2286.00	2586.85	USD	-7,521.25	
14JUL17	S 3 PD13OCT17	2285.00	2586.85	USD	-22,638.75	
14JUL17	S 2 PD13OCT17	2284.50	2586.85	USD	-15,117.50	
14JUL17	S 1 PD13OCT17	2283.50	2586.85	USD	-7,583.75	
14JUL17	S 1 PD13OCT17	2284.00	2586.85	USD	-7,571.25	
14JUL17	S 1 PD13OCT17	2302.50	2586.85	USD	-7,108.75	
14JUL17	S 1 PD13OCT17	2308.00	2586.85	USD	-6,971.25	
14JUL17	S 1 PD13OCT17	2316.00	2586.85	USD	-6,771.25	
14JUL17	S 1 PD13OCT17	2313.00	2586.85	USD	-6,846.25	
14JUL17	B 1 PD13OCT17	2314.00	2586.85	USD	6,821.25	
14JUL17	S 1 PD13OCT17	2311.00	2586.85	USD	-6,896.25	
17JUL17	B 2 PD13OCT17	2296.11	2586.85	USD	14,537.00	
19JUL17	B 9 PD13OCT17	2216.72	2586.85	USD	83,279.25	
10AUG17	B 1 PD13OCT17	2359.37	2586.85	USD	5,687.00	
14SEP17	S 2 PD13OCT17	2286.56	2586.85	USD	-15,014.50	
	NET 0 AVG BGHT 2260.33 SOLD 2292.62				**13,726.25**	**0.00**
17JUL17	B 1 PD17OCT17	2319.00	2587.77	USD	6,719.25	
17JUL17	S 3 PD17OCT17	2325.00	2587.77	USD	-19,707.75	
17JUL17	B 1 PD17OCT17	2312.50	2587.77	USD	6,881.75	
17JUL17	B 1 PD17OCT17	2324.00	2587.77	USD	6,594.25	
17JUL17	B 1 PD17OCT17	2323.50	2587.77	USD	6,606.75	
17JUL17	B 2 PD17OCT17	2320.00	2587.77	USD	13,388.50	
17JUL17	S 2 PD17OCT17	2312.00	2587.77	USD	-13,788.50	
17JUL17	S 1 PD17OCT17	2313.00	2587.77	USD	-6,869.25	
17JUL17	S 1 PD17OCT17	2324.00	2587.77	USD	-6,594.25	
17JUL17	B 3 PD17OCT17	2325.00	2587.77	USD	19,707.75	
17JUL17	B 1 PD17OCT17	2325.50	2587.77	USD	6,556.75	
17JUL17	B 1 PD17OCT17	2330.50	2587.77	USD	6,431.75	
17JUL17	B 1 PD17OCT17	2325.00	2587.77	USD	6,569.25	
17JUL17	B 1 PD17OCT17	2298.50	2587.77	USD	7,231.75	
17JUL17	B 1 PD17OCT17	2296.50	2587.77	USD	7,281.75	
17JUL17	B 2 PD17OCT17	2291.00	2587.77	USD	14,838.50	
17JUL17	S 1 PD17OCT17	2294.50	2587.77	USD	-7,331.75	
17JUL17	B 1 PD17OCT17	2298.50	2587.77	USD	7,231.75	
19JUL17	B 1 PD17OCT17	2217.50	2587.77	USD	9,256.75	
26JUL17	S 3 PD17OCT17	2312.69	2587.77	USD	-20,631.00	
28JUL17	S 1 PD17OCT17	2319.55	2587.77	USD	-6,705.50	
08AUG17	S 4 PD17OCT17	2378.96	2587.77	USD	-20,881.00	
10AUG17	S 1 PD17OCT17	2360.47	2587.77	USD	-5,682.50	
10AUG17	S 1 PD17OCT17	2360.67	2587.77	USD	-5,677.50	
	NET 0 AVG BGHT 2309.33 SOLD 2334.73				**11,427.50**	**0.00**
18JUL17	S 1 PD18OCT17	2293.00	2588.00	USD	-7,375.00	
18JUL17	S 1 PD18OCT17	2291.50	2588.00	USD	-7,412.50	
18JUL17	S 1 PD18OCT17	2287.50	2588.00	USD	-7,512.50	
18JUL17	S 1 PD18OCT17	2287.00	2588.00	USD	-7,525.00	
18JUL17	S 1 PD18OCT17	2283.00	2588.00	USD	-7,625.00	
18JUL17	B 1 PD18OCT17	2281.00	2588.00	USD	7,675.00	
18JUL17	B 1 PD18OCT17	2280.00	2588.00	USD	7,700.00	
18JUL17	B 1 PD18OCT17	2277.50	2588.00	USD	7,762.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT								
18JUL17	B	1	PD18OCT17		2272.50	2588.00	USD	7,887.50	
18JUL17	B	1	PD18OCT17		2270.00	2588.00	USD	7,950.00	
18JUL17	S	1	PD18OCT17		2269.50	2588.00	USD	-7,962.50	
18JUL17	S	2	PD18OCT17		2269.00	2588.00	USD	-15,950.00	
18JUL17	S	1	PD18OCT17		2268.00	2588.00	USD	-8,000.00	
18JUL17	S	1	PD18OCT17		2274.50	2588.00	USD	-7,837.50	
18JUL17	B	3	PD18OCT17		2271.50	2588.00	USD	23,737.50	
18JUL17	B	1	PD18OCT17		2272.00	2588.00	USD	7,900.00	
18JUL17	B	1	PD18OCT17		2274.00	2588.00	USD	7,850.00	
	NET	**0**	**AVG BGHT 2274.15**	**SOLD 2279.20**				**1,262.50**	**0.00**
19JUL17	B	1	PD19OCT17		2242.00	2588.00	USD	8,650.00	
19JUL17	B	1	PD19OCT17		2243.00	2588.00	USD	8,625.00	
19JUL17	B	1	PD19OCT17		2245.50	2588.00	USD	8,562.50	
19JUL17	S	1	PD19OCT17		2245.50	2588.00	USD	-8,562.50	
19JUL17	S	1	PD19OCT17		2245.00	2588.00	USD	-8,575.00	
19JUL17	S	1	PD19OCT17		2242.50	2588.00	USD	-8,637.50	
	NET	**0**	**AVG BGHT 2243.50**	**SOLD 2244.33**				**62.50**	**0.00**
20JUL17	S	1	PD20OCT17		2224.00	2588.00	USD	-9,100.00	
20JUL17	B	1	PD20OCT17		2226.00	2588.00	USD	9,050.00	
20JUL17	S	1	PD20OCT17		2207.50	2588.00	USD	-9,512.50	
20JUL17	S	1	PD20OCT17		2212.00	2588.00	USD	-9,400.00	
20JUL17	S	1	PD20OCT17		2211.50	2588.00	USD	-9,412.50	
20JUL17	S	1	PD20OCT17		2213.50	2588.00	USD	-9,362.50	
20JUL17	S	2	PD20OCT17		2217.50	2588.00	USD	-18,525.00	
21JUL17	S	1	PD20OCT17		2244.50	2588.00	USD	-8,587.50	
21JUL17	S	1	PD20OCT17		2247.50	2588.00	USD	-8,512.50	
21JUL17	B	1	PD20OCT17		2246.50	2588.00	USD	8,537.50	
21JUL17	B	1	PD20OCT17		2244.00	2588.00	USD	8,600.00	
21JUL17	B	1	PD20OCT17		2242.00	2588.00	USD	8,650.00	
21JUL17	B	1	PD20OCT17		2237.00	2588.00	USD	8,775.00	
21JUL17	S	1	PD20OCT17		2236.00	2588.00	USD	-8,800.00	
21JUL17	S	1	PD20OCT17		2237.50	2588.00	USD	-8,762.50	
21JUL17	S	1	PD20OCT17		2232.00	2588.00	USD	-8,900.00	
21JUL17	S	1	PD20OCT17		2233.50	2588.00	USD	-8,862.50	
21JUL17	S	5	PD20OCT17		2239.00	2588.00	USD	-43,625.00	
21JUL17	S	1	PD20OCT17		2240.50	2588.00	USD	-8,687.50	
21JUL17	S	1	PD20OCT17		2239.50	2588.00	USD	-8,712.50	
21JUL17	S	4	PD20OCT17		2241.00	2588.00	USD	-34,700.00	
26JUL17	B	3	PD20OCT17		2312.56	2588.00	USD	20,658.00	
27JUL17	B	10	PD20OCT17		2308.11	2588.00	USD	69,972.50	
08AUG17	B	4	PD20OCT17		2378.95	2588.00	USD	20,905.00	
10AUG17	B	2	PD20OCT17		2360.70	2588.00	USD	11,365.00	
	NET	**0**	**AVG BGHT 2310.48**	**SOLD 2232.23**				**-46,949.50**	**0.00**
24JUL17	B	1	PD24OCT17		2257.50	2588.00	USD	8,262.50	
24JUL17	B	1	PD24OCT17		2258.00	2588.00	USD	8,250.00	
24JUL17	S	1	PD24OCT17		2239.50	2588.00	USD	-8,712.50	
24JUL17	B	1	PD24OCT17		2256.50	2588.00	USD	8,287.50	
24JUL17	B	1	PD24OCT17		2256.00	2588.00	USD	8,300.00	
24JUL17	B	2	PD24OCT17		2244.50	2588.00	USD	17,175.00	
24JUL17	S	1	PD24OCT17		2255.50	2588.00	USD	-8,312.50	
28JUL17	S	1	PD24OCT17		2319.63	2588.00	USD	-6,709.25	
08AUG17	S	3	PD24OCT17		2380.35	2588.00	USD	-15,573.75	
	NET	**0**	**AVG BGHT 2252.83**	**SOLD 2325.95**				**10,967.00**	**0.00**
25JUL17	B	1	PD25OCT17		2265.50	2588.00	USD	8,062.50	
25JUL17	B	1	PD25OCT17		2280.00	2588.00	USD	7,700.00	
25JUL17	B	1	PD25OCT17		2272.00	2588.00	USD	7,900.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
25JUL17	B 1 PD25OCT17	2305.00	2588.00	USD	7,075.00	
25JUL17	S 1 PD25OCT17	2312.50	2588.00	USD	-6,887.50	
25JUL17	S 1 PD25OCT17	2311.50	2588.00	USD	-6,912.50	
25JUL17	S 1 PD25OCT17	2313.00	2588.00	USD	-6,875.00	
25JUL17	S 1 PD25OCT17	2312.00	2588.00	USD	-6,900.00	
25JUL17	S 1 PD25OCT17	2322.50	2588.00	USD	-6,637.50	
28JUL17	S 1 PD25OCT17	2319.75	2588.00	USD	-6,706.25	
08AUG17	B 2 PD25OCT17	2380.95	2588.00	USD	10,352.50	
	NET 0 AVG BGHT 2314.07 SOLD 2315.21				**171.25**	**0.00**
26JUL17	S 2 PD26OCT17	2321.00	2588.00	USD	-13,350.00	
26JUL17	S 1 PD26OCT17	2317.50	2588.00	USD	-6,762.50	
26JUL17	S 1 PD26OCT17	2336.50	2588.00	USD	-6,287.50	
26JUL17	S 1 PD26OCT17	2337.50	2588.00	USD	-6,262.50	
26JUL17	S 1 PD26OCT17	2325.50	2588.00	USD	-6,562.50	
26JUL17	S 1 PD26OCT17	2310.00	2588.00	USD	-6,950.00	
26JUL17	S 1 PD26OCT17	2312.00	2588.00	USD	-6,900.00	
26JUL17	S 1 PD26OCT17	2310.50	2588.00	USD	-6,937.50	
26JUL17	S 1 PD26OCT17	2313.00	2588.00	USD	-6,875.00	
26JUL17	S 2 PD26OCT17	2317.00	2588.00	USD	-13,550.00	
26JUL17	S 1 PD26OCT17	2316.50	2588.00	USD	-6,787.50	
26JUL17	B 1 PD26OCT17	2312.00	2588.00	USD	6,900.00	
26JUL17	S 1 PD26OCT17	2314.00	2588.00	USD	-6,850.00	
26JUL17	S 2 PD26OCT17	2316.00	2588.00	USD	-13,600.00	
26JUL17	B 1 PD26OCT17	2315.00	2588.00	USD	6,825.00	
26JUL17	B 1 PD26OCT17	2313.00	2588.00	USD	6,875.00	
26JUL17	S 1 PD26OCT17	2312.50	2588.00	USD	-6,887.50	
26JUL17	S 2 PD26OCT17	2310.50	2588.00	USD	-13,875.00	
26JUL17	B 2 PD26OCT17	2317.50	2588.00	USD	13,525.00	
26JUL17	S 2 PD26OCT17	2316.00	2588.00	USD	-13,600.00	
26JUL17	B 1 PD26OCT17	2320.50	2588.00	USD	6,687.50	
26JUL17	S 1 PD26OCT17	2318.50	2588.00	USD	-6,737.50	
26JUL17	B 1 PD26OCT17	2316.50	2588.00	USD	6,787.50	
26JUL17	S 1 PD26OCT17	2314.50	2588.00	USD	-6,837.50	
26JUL17	S 1 PD26OCT17	2311.50	2588.00	USD	-6,912.50	
26JUL17	B 1 PD26OCT17	2316.00	2588.00	USD	6,800.00	
26JUL17	S 1 PD26OCT17	2330.50	2588.00	USD	-6,437.50	
26JUL17	S 2 PD26OCT17	2335.50	2588.00	USD	-12,625.00	
26JUL17	S 1 PD26OCT17	2323.50	2588.00	USD	-6,612.50	
26JUL17	S 1 PD26OCT17	2322.00	2588.00	USD	-6,650.00	
26JUL17	S 1 PD26OCT17	2323.00	2588.00	USD	-6,625.00	
26JUL17	S 1 PD26OCT17	2324.00	2588.00	USD	-6,600.00	
26JUL17	S 1 PD26OCT17	2322.50	2588.00	USD	-6,637.50	
26JUL17	B 3 PD26OCT17	2317.50	2588.00	USD	20,287.50	
26JUL17	B 1 PD26OCT17	2312.50	2588.00	USD	6,887.50	
26JUL17	S 1 PD26OCT17	2311.00	2588.00	USD	-6,925.00	
26JUL17	S 3 PD26OCT17	2311.50	2588.00	USD	-20,737.50	
26JUL17	S 1 PD26OCT17	2323.00	2588.00	USD	-6,625.00	
26JUL17	B 4 PD26OCT17	2313.00	2588.00	USD	27,500.00	
26JUL17	S 6 PD26OCT17	2311.00	2588.00	USD	-41,550.00	
28JUL17	B 4 PD26OCT17	2320.08	2588.00	USD	26,792.00	
03AUG17	B 19 PD26OCT17	2366.09	2588.00	USD	105,407.25	
08AUG17	B 3 PD26OCT17	2381.12	2588.00	USD	15,516.00	
10AUG17	B 1 PD26OCT17	2362.92	2588.00	USD	5,627.00	
	NET 0 AVG BGHT 2343.89 SOLD 2317.72				**-28,132.75**	**0.00**
27JUL17	B 1 PD27OCT17	2306.00	2588.00	USD	7,050.00	
28JUL17	B 1 PD27OCT17	2296.00	2588.00	USD	7,300.00	
28JUL17	S 1 PD27OCT17	2292.50	2588.00	USD	-7,387.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
28JUL17	S	1	PD27OCT17	2302.00	2588.00	USD	-7,150.00	
	NET	**0**	**AVG BGHT 2301.00**	**SOLD 2297.25**			**-187.50**	**0.00**
31JUL17	B	1	PD31OCT17	2344.50	2588.00	USD	6,087.50	
31JUL17	S	1	PD31OCT17	2341.00	2588.00	USD	-6,175.00	
31JUL17	B	1	PD31OCT17	2343.50	2588.00	USD	6,112.50	
31JUL17	S	1	PD31OCT17	2339.50	2588.00	USD	-6,212.50	
	NET	**0**	**AVG BGHT 2344.00**	**SOLD 2340.25**			**-187.50**	**0.00**
01AUG17	S	1	PD01NOV17	2311.50	2588.00	USD	-6,912.50	
01AUG17	S	1	PD01NOV17	2322.50	2588.00	USD	-6,637.50	
01AUG17	B	1	PD01NOV17	2320.00	2588.00	USD	6,700.00	
01AUG17	S	1	PD01NOV17	2330.00	2588.00	USD	-6,450.00	
01AUG17	S	1	PD01NOV17	2337.00	2588.00	USD	-6,275.00	
01AUG17	S	2	PD01NOV17	2335.50	2588.00	USD	-12,625.00	
01AUG17	B	2	PD01NOV17	2335.50	2588.00	USD	12,625.00	
01AUG17	B	1	PD01NOV17	2338.00	2588.00	USD	6,250.00	
01AUG17	B	2	PD01NOV17	2337.00	2588.00	USD	12,550.00	
01AUG17	S	1	PD01NOV17	2336.00	2588.00	USD	-6,300.00	
10AUG17	B	1	PD01NOV17	2364.04	2588.00	USD	5,599.00	
	NET	**0**	**AVG BGHT 2338.15**	**SOLD 2329.71**			**-1,476.00**	**0.00**
02AUG17	B	1	PD02NOV17	2328.50	2588.00	USD	6,487.50	
02AUG17	S	1	PD02NOV17	2363.00	2588.00	USD	-5,625.00	
02AUG17	B	1	PD02NOV17	2355.50	2588.00	USD	5,812.50	
02AUG17	B	1	PD02NOV17	2356.00	2588.00	USD	5,800.00	
09AUG17	S	1	PD02NOV17	2348.94	2588.00	USD	-5,976.50	
10AUG17	S	1	PD02NOV17	2364.06	2588.00	USD	-5,598.50	
	NET	**0**	**AVG BGHT 2346.67**	**SOLD 2358.67**			**900.00**	**0.00**
03AUG17	S	1	PD03NOV17	2375.00	2588.00	USD	-5,325.00	
03AUG17	B	1	PD03NOV17	2374.00	2588.00	USD	5,350.00	
03AUG17	B	1	PD03NOV17	2363.50	2588.00	USD	5,612.50	
09AUG17	S	1	PD03NOV17	2349.15	2588.00	USD	-5,971.25	
	NET	**0**	**AVG BGHT 2368.75**	**SOLD 2362.08**			**-333.75**	**0.00**
07AUG17	S	2	PD07NOV17	2360.00	2588.00	USD	-11,400.00	
07AUG17	S	2	PD07NOV17	2358.50	2588.00	USD	-11,475.00	
07AUG17	S	2	PD07NOV17	2359.00	2588.00	USD	-11,450.00	
07AUG17	S	4	PD07NOV17	2358.00	2588.00	USD	-23,000.00	
07AUG17	S	4	PD07NOV17	2356.50	2588.00	USD	-23,150.00	
07AUG17	S	1	PD07NOV17	2357.00	2588.00	USD	-5,775.00	
07AUG17	B	1	PD07NOV17	2387.50	2588.00	USD	5,012.50	
07AUG17	B	1	PD07NOV17	2384.50	2588.00	USD	5,087.50	
07AUG17	B	1	PD07NOV17	2382.00	2588.00	USD	5,150.00	
07AUG17	B	1	PD07NOV17	2383.00	2588.00	USD	5,125.00	
07AUG17	B	1	PD07NOV17	2381.50	2588.00	USD	5,162.50	
07AUG17	B	1	PD07NOV17	2367.00	2588.00	USD	5,525.00	
07AUG17	B	1	PD07NOV17	2363.50	2588.00	USD	5,612.50	
09AUG17	S	1	PD07NOV17	2350.00	2588.00	USD	-5,950.00	
22AUG17	B	12	PD07NOV17	2412.95	2588.00	USD	52,515.00	
22AUG17	B	3	PD07NOV17	2412.75	2588.00	USD	13,143.75	
23AUG17	S	1	PD07NOV17	2373.25	2588.00	USD	-5,368.75	
06SEP17	S	1	PD07NOV17	2331.52	2588.00	USD	-6,412.00	
11SEP17	S	2	PD07NOV17	2265.54	2588.00	USD	-16,123.00	
12SEP17	S	2	PD07NOV17	2299.88	2588.00	USD	-14,406.00	
	NET	**0**	**AVG BGHT 2401.94**	**SOLD 2343.44**			**-32,176.00**	**0.00**
08AUG17	S	1	PD08NOV17	2377.00	2588.00	USD	-5,275.00	
08AUG17	B	5	PD08NOV17	2370.00	2588.00	USD	27,250.00	
08AUG17	S	1	PD08NOV17	2377.00	2588.00	USD	-5,275.00	
08AUG17	B	1	PD08NOV17	2355.00	2588.00	USD	5,825.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
08AUG17	B 1 PD08NOV17	2354.50	2588.00	USD	5,837.50	
08AUG17	B 1 PD08NOV17	2349.50	2588.00	USD	5,962.50	
08AUG17	S 1 PD08NOV17	2381.50	2588.00	USD	-5,162.50	
08AUG17	S 1 PD08NOV17	2385.00	2588.00	USD	-5,075.00	
08AUG17	B 2 PD08NOV17	2380.00	2588.00	USD	10,400.00	
08AUG17	B 1 PD08NOV17	2385.00	2588.00	USD	5,075.00	
08AUG17	S 1 PD08NOV17	2355.00	2588.00	USD	-5,825.00	
08AUG17	S 3 PD08NOV17	2388.50	2588.00	USD	-14,962.50	
08AUG17	S 3 PD08NOV17	2388.00	2588.00	USD	-15,000.00	
08AUG17	B 1 PD08NOV17	2394.50	2588.00	USD	4,837.50	
08AUG17	S 1 PD08NOV17	2392.00	2588.00	USD	-4,900.00	
09AUG17	B 3 PD08NOV17	2350.20	2588.00	USD	17,835.00	
22AUG17	S 5 PD08NOV17	2413.00	2588.00	USD	-21,875.00	
06SEP17	B 3 PD08NOV17	2331.92	2588.00	USD	19,206.00	
11SEP17	S 1 PD08NOV17	2265.97	2588.00	USD	-8,050.75	
	NET 0 AVG BGHT 2360.83 SOLD 2384.89				**10,827.75**	**0.00**
09AUG17	B 1 PD09NOV17	2410.00	2588.00	USD	4,450.00	
09AUG17	B 1 PD09NOV17	2416.50	2588.00	USD	4,287.50	
09AUG17	B 1 PD09NOV17	2400.00	2588.00	USD	4,700.00	
09AUG17	B 1 PD09NOV17	2386.00	2588.00	USD	5,050.00	
09AUG17	B 1 PD09NOV17	2387.50	2588.00	USD	5,012.50	
09AUG17	S 5 PD09NOV17	2377.50	2588.00	USD	-26,312.50	
09AUG17	S 2 PD09NOV17	2378.00	2588.00	USD	-10,500.00	
09AUG17	B 1 PD09NOV17	2382.00	2588.00	USD	5,150.00	
09AUG17	B 1 PD09NOV17	2383.00	2588.00	USD	5,125.00	
09AUG17	B 1 PD09NOV17	2381.00	2588.00	USD	5,175.00	
09AUG17	S 1 PD09NOV17	2384.50	2588.00	USD	-5,087.50	
09AUG17	B 1 PD09NOV17	2394.00	2588.00	USD	4,850.00	
09AUG17	B 1 PD09NOV17	2352.00	2588.00	USD	5,900.00	
09AUG17	B 1 PD09NOV17	2351.00	2588.00	USD	5,925.00	
09AUG17	S 1 PD09NOV17	2355.00	2588.00	USD	-5,825.00	
09AUG17	B 1 PD09NOV17	2367.00	2588.00	USD	5,525.00	
09AUG17	B 1 PD09NOV17	2365.00	2588.00	USD	5,575.00	
15AUG17	S 1 PD09NOV17	2379.96	2588.00	USD	-5,201.00	
22AUG17	B 5 PD09NOV17	2413.45	2588.00	USD	21,818.75	
22AUG17	B 2 PD09NOV17	2413.25	2588.00	USD	8,737.50	
23AUG17	S 4 PD09NOV17	2373.75	2588.00	USD	-21,425.00	
06SEP17	S 3 PD09NOV17	2332.13	2588.00	USD	-19,190.25	
11SEP17	S 1 PD09NOV17	2266.40	2588.00	USD	-8,040.00	
12SEP17	S 2 PD09NOV17	2300.79	2588.00	USD	-14,360.50	
	NET 0 AVG BGHT 2393.44 SOLD 2356.12				**-18,660.50**	**0.00**
10AUG17	S 1 PD10NOV17	2377.00	2588.00	USD	-5,275.00	
10AUG17	S 3 PD10NOV17	2378.50	2588.00	USD	-15,712.50	
10AUG17	S 1 PD10NOV17	2374.00	2588.00	USD	-5,350.00	
10AUG17	S 1 PD10NOV17	2359.50	2588.00	USD	-5,712.50	
10AUG17	B 1 PD10NOV17	2359.00	2588.00	USD	5,725.00	
10AUG17	B 1 PD10NOV17	2355.00	2588.00	USD	5,825.00	
10AUG17	B 1 PD10NOV17	2383.00	2588.00	USD	5,125.00	
10AUG17	B 1 PD10NOV17	2367.00	2588.00	USD	5,525.00	
10AUG17	S 1 PD10NOV17	2364.50	2588.00	USD	-5,587.50	
11AUG17	S 1 PD10NOV17	2364.00	2588.00	USD	-5,600.00	
11AUG17	B 1 PD10NOV17	2355.00	2588.00	USD	5,825.00	
11AUG17	B 1 PD10NOV17	2358.00	2588.00	USD	5,750.00	
11AUG17	S 1 PD10NOV17	2355.00	2588.00	USD	-5,825.00	
11AUG17	B 1 PD10NOV17	2337.00	2588.00	USD	6,275.00	
11AUG17	S 1 PD10NOV17	2339.50	2588.00	USD	-6,212.50	
11AUG17	B 1 PD10NOV17	2340.50	2588.00	USD	6,187.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
11AUG17	B	1	PD10NOV17	2347.00	2588.00	USD	6,025.00	
11AUG17	B	1	PD10NOV17	2348.50	2588.00	USD	5,987.50	
11AUG17	B	1	PD10NOV17	2350.00	2588.00	USD	5,950.00	
11AUG17	S	1	PD10NOV17	2338.50	2588.00	USD	-6,237.50	
11AUG17	S	1	PD10NOV17	2340.00	2588.00	USD	-6,200.00	
11AUG17	S	1	PD10NOV17	2340.50	2588.00	USD	-6,187.50	
11AUG17	S	1	PD10NOV17	2350.50	2588.00	USD	-5,937.50	
11AUG17	S	1	PD10NOV17	2352.50	2588.00	USD	-5,887.50	
11AUG17	B	1	PD10NOV17	2339.50	2588.00	USD	6,212.50	
11AUG17	B	2	PD10NOV17	2334.00	2588.00	USD	12,700.00	
11AUG17	B	1	PD10NOV17	2331.50	2588.00	USD	6,412.50	
11AUG17	B	1	PD10NOV17	2327.50	2588.00	USD	6,512.50	
11AUG17	B	1	PD10NOV17	2330.50	2588.00	USD	6,437.50	
11AUG17	B	1	PD10NOV17	2329.50	2588.00	USD	6,462.50	
15AUG17	S	2	PD10NOV17	2380.13	2588.00	USD	-10,393.50	
06SEP17	S	1	PD10NOV17	2332.73	2588.00	USD	-6,381.75	
	NET	**0**	**AVG BGHT 2345.92**	**SOLD 2360.22**			**6,437.25**	**0.00**
14AUG17	S	1	PD14NOV17	2336.00	2588.00	USD	-6,300.00	
14AUG17	S	1	PD14NOV17	2333.00	2588.00	USD	-6,375.00	
14AUG17	S	1	PD14NOV17	2346.00	2588.00	USD	-6,050.00	
14AUG17	S	1	PD14NOV17	2329.00	2588.00	USD	-6,475.00	
14AUG17	B	1	PD14NOV17	2339.00	2588.00	USD	6,225.00	
15AUG17	B	3	PD14NOV17	2381.03	2588.00	USD	15,522.75	
	NET	**0**	**AVG BGHT 2370.52**	**SOLD 2336.00**			**-3,452.25**	**0.00**
15AUG17	B	1	PD15NOV17	2369.50	2588.00	USD	5,462.50	
15AUG17	B	1	PD15NOV17	2370.00	2588.00	USD	5,450.00	
15AUG17	S	1	PD15NOV17	2366.00	2588.00	USD	-5,550.00	
15AUG17	B	1	PD15NOV17	2362.50	2588.00	USD	5,637.50	
15AUG17	B	1	PD15NOV17	2354.00	2588.00	USD	5,850.00	
15AUG17	S	1	PD15NOV17	2369.50	2588.00	USD	-5,462.50	
15AUG17	S	1	PD15NOV17	2386.00	2588.00	USD	-5,050.00	
15AUG17	B	1	PD15NOV17	2379.50	2588.00	USD	5,212.50	
15AUG17	B	1	PD15NOV17	2378.50	2588.00	USD	5,237.50	
15AUG17	S	1	PD15NOV17	2370.00	2588.00	USD	-5,450.00	
15AUG17	S	1	PD15NOV17	2368.00	2588.00	USD	-5,500.00	
15AUG17	S	1	PD15NOV17	2367.50	2588.00	USD	-5,512.50	
15AUG17	B	1	PD15NOV17	2367.50	2588.00	USD	5,512.50	
15AUG17	B	1	PD15NOV17	2366.00	2588.00	USD	5,550.00	
15AUG17	B	1	PD15NOV17	2364.00	2588.00	USD	5,600.00	
15AUG17	B	1	PD15NOV17	2372.00	2588.00	USD	5,400.00	
15AUG17	S	1	PD15NOV17	2378.00	2588.00	USD	-5,250.00	
15AUG17	S	1	PD15NOV17	2382.50	2588.00	USD	-5,137.50	
23AUG17	S	2	PD15NOV17	2375.25	2588.00	USD	-10,637.50	
	NET	**0**	**AVG BGHT 2368.35**	**SOLD 2373.80**			**1,362.50**	**0.00**
16AUG17	B	2	PD16NOV17	2392.00	2588.13	USD	9,806.50	
16AUG17	B	1	PD16NOV17	2419.50	2588.13	USD	4,215.75	
16AUG17	B	1	PD16NOV17	2415.00	2588.13	USD	4,328.25	
16AUG17	B	1	PD16NOV17	2451.00	2588.13	USD	3,428.25	
16AUG17	S	1	PD16NOV17	2477.50	2588.13	USD	-2,765.75	
16AUG17	S	1	PD16NOV17	2479.00	2588.13	USD	-2,728.25	
16AUG17	S	1	PD16NOV17	2479.50	2588.13	USD	-2,715.75	
16AUG17	S	1	PD16NOV17	2482.50	2588.13	USD	-2,640.75	
16AUG17	S	1	PD16NOV17	2488.00	2588.13	USD	-2,503.25	
16AUG17	B	1	PD16NOV17	2477.50	2588.13	USD	2,765.75	
16AUG17	B	1	PD16NOV17	2476.50	2588.13	USD	2,790.75	
16AUG17	B	1	PD16NOV17	2465.00	2588.13	USD	3,078.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
16AUG17	B 1 PD16NOV17	2488.00	2588.13	USD	2,503.25	
16AUG17	B 1 PD16NOV17	2488.50	2588.13	USD	2,490.75	
16AUG17	B 1 PD16NOV17	2492.50	2588.13	USD	2,390.75	
16AUG17	S 1 PD16NOV17	2500.00	2588.13	USD	-2,203.25	
16AUG17	S 1 PD16NOV17	2499.50	2588.13	USD	-2,215.75	
16AUG17	S 1 PD16NOV17	2499.00	2588.13	USD	-2,228.25	
16AUG17	S 1 PD16NOV17	2506.50	2588.13	USD	-2,040.75	
16AUG17	S 1 PD16NOV17	2512.00	2588.13	USD	-1,903.25	
16AUG17	S 1 PD16NOV17	2515.00	2588.13	USD	-1,828.25	
16AUG17	S 1 PD16NOV17	2504.00	2588.13	USD	-2,103.25	
11SEP17	B 1 PD16NOV17	2269.20	2588.13	USD	7,973.25	
	NET 0 AVG BGHT 2435.56 SOLD 2495.21				**17,895.00**	**0.00**
17AUG17	B 1 PD17NOV17	2507.50	2588.26	USD	2,019.00	
17AUG17	S 1 PD17NOV17	2519.00	2588.26	USD	-1,731.50	
17AUG17	S 1 PD17NOV17	2533.00	2588.26	USD	-1,381.50	
17AUG17	S 1 PD17NOV17	2535.00	2588.26	USD	-1,331.50	
17AUG17	B 1 PD17NOV17	2519.00	2588.26	USD	1,731.50	
17AUG17	S 1 PD17NOV17	2510.00	2588.26	USD	-1,956.50	
17AUG17	S 1 PD17NOV17	2495.00	2588.26	USD	-2,331.50	
17AUG17	B 2 PD17NOV17	2469.00	2588.26	USD	5,963.00	
17AUG17	B 1 PD17NOV17	2468.50	2588.26	USD	2,994.00	
17AUG17	B 1 PD17NOV17	2469.50	2588.26	USD	2,969.00	
17AUG17	B 2 PD17NOV17	2466.00	2588.26	USD	6,113.00	
17AUG17	B 2 PD17NOV17	2466.50	2588.26	USD	6,088.00	
17AUG17	B 1 PD17NOV17	2465.00	2588.26	USD	3,081.50	
17AUG17	B 1 PD17NOV17	2463.00	2588.26	USD	3,131.50	
17AUG17	B 1 PD17NOV17	2464.50	2588.26	USD	3,094.00	
17AUG17	S 3 PD17NOV17	2458.00	2588.26	USD	-9,769.50	
17AUG17	S 1 PD17NOV17	2458.50	2588.26	USD	-3,244.00	
17AUG17	B 1 PD17NOV17	2457.00	2588.26	USD	3,281.50	
17AUG17	S 2 PD17NOV17	2460.00	2588.26	USD	-6,413.00	
17AUG17	B 1 PD17NOV17	2449.00	2588.26	USD	3,481.50	
17AUG17	S 1 PD17NOV17	2458.00	2588.26	USD	-3,256.50	
17AUG17	S 1 PD17NOV17	2408.00	2588.26	USD	-4,506.50	
18AUG17	B 1 PD17NOV17	2390.50	2588.26	USD	4,944.00	
18AUG17	B 1 PD17NOV17	2390.00	2588.26	USD	4,956.50	
18AUG17	B 1 PD17NOV17	2391.50	2588.26	USD	4,919.00	
18AUG17	S 1 PD17NOV17	2418.00	2588.26	USD	-4,256.50	
18AUG17	S 1 PD17NOV17	2448.00	2588.26	USD	-3,506.50	
18AUG17	S 1 PD17NOV17	2443.00	2588.26	USD	-3,631.50	
18AUG17	B 1 PD17NOV17	2443.50	2588.26	USD	3,619.00	
18AUG17	S 1 PD17NOV17	2405.50	2588.26	USD	-4,569.00	
18AUG17	S 3 PD17NOV17	2406.00	2588.26	USD	-13,669.50	
18AUG17	S 1 PD17NOV17	2404.50	2588.26	USD	-4,594.00	
18AUG17	S 1 PD17NOV17	2413.00	2588.26	USD	-4,381.50	
18AUG17	S 3 PD17NOV17	2400.00	2588.26	USD	-14,119.50	
18AUG17	S 1 PD17NOV17	2399.00	2588.26	USD	-4,731.50	
18AUG17	S 1 PD17NOV17	2390.00	2588.26	USD	-4,956.50	
18AUG17	B 1 PD17NOV17	2383.50	2588.26	USD	5,119.00	
18AUG17	S 1 PD17NOV17	2373.00	2588.26	USD	-5,381.50	
18AUG17	S 1 PD17NOV17	2374.00	2588.26	USD	-5,356.50	
18AUG17	S 2 PD17NOV17	2361.00	2588.26	USD	-11,363.00	
18AUG17	B 1 PD17NOV17	2394.50	2588.26	USD	4,844.00	
18AUG17	S 2 PD17NOV17	2379.50	2588.26	USD	-10,438.00	
18AUG17	B 1 PD17NOV17	2370.00	2588.26	USD	5,456.50	
18AUG17	B 1 PD17NOV17	2362.00	2588.26	USD	5,656.50	
18AUG17	S 1 PD17NOV17	2358.50	2588.26	USD	-5,744.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
18AUG17	S 1 PD17NOV17	2364.50	2588.26	USD	-5,594.00	
18AUG17	S 2 PD17NOV17	2359.50	2588.26	USD	-11,438.00	
18AUG17	S 1 PD17NOV17	2360.50	2588.26	USD	-5,694.00	
18AUG17	B 1 PD17NOV17	2364.50	2588.26	USD	5,594.00	
23AUG17	B 7 PD17NOV17	2375.45	2588.26	USD	37,241.75	
06SEP17	B 2 PD17NOV17	2334.98	2588.26	USD	12,664.00	
11SEP17	B 3 PD17NOV17	2269.52	2588.26	USD	23,905.50	
12SEP17	B 2 PD17NOV17	2304.01	2588.26	USD	14,212.50	
12SEP17	B 1 PD17NOV17	2303.81	2588.26	USD	7,111.25	
	NET +1 AVG BGHT 2399.35 SOLD 2420.53				**24,844.00**	**0.00**
21AUG17	B 1 PD21NOV17	2367.00	2588.77	USD	5,544.25	
21AUG17	B 1 PD21NOV17	2366.00	2588.77	USD	5,569.25	
21AUG17	B 6 PD21NOV17	2390.00	2588.77	USD	29,815.50	
21AUG17	B 6 PD21NOV17	2365.00	2588.77	USD	33,565.50	
21AUG17	B 7 PD21NOV17	2365.50	2588.77	USD	39,072.25	
21AUG17	B 2 PD21NOV17	2396.00	2588.77	USD	9,638.50	
21AUG17	B 2 PD21NOV17	2361.50	2588.77	USD	11,363.50	
21AUG17	B 3 PD21NOV17	2362.50	2588.77	USD	16,970.25	
21AUG17	B 1 PD21NOV17	2389.50	2588.77	USD	4,981.75	
21AUG17	B 1 PD21NOV17	2380.50	2588.77	USD	5,206.75	
21AUG17	B 3 PD21NOV17	2383.00	2588.77	USD	15,432.75	
21AUG17	B 3 PD21NOV17	2381.50	2588.77	USD	15,545.25	
21AUG17	B 2 PD21NOV17	2381.00	2588.77	USD	10,388.50	
21AUG17	B 1 PD21NOV17	2394.00	2588.77	USD	4,869.25	
21AUG17	S 1 PD21NOV17	2381.00	2588.77	USD	-5,194.25	
21AUG17	B 1 PD21NOV17	2381.00	2588.77	USD	5,194.25	
21AUG17	S 1 PD21NOV17	2375.50	2588.77	USD	-5,331.75	
21AUG17	B 1 PD21NOV17	2375.00	2588.77	USD	5,344.25	
21AUG17	B 1 PD21NOV17	2372.50	2588.77	USD	5,406.75	
21AUG17	B 1 PD21NOV17	2345.50	2588.77	USD	6,081.75	
22AUG17	S 5 PD21NOV17	2415.55	2588.77	USD	-21,652.50	
12SEP17	S 1 PD21NOV17	2304.84	2588.77	USD	-7,098.25	
14SEP17	S 35 PD21NOV17	2300.46	2588.77	USD	-252,271.25	
	NET 0 AVG BGHT 2374.83 SOLD 2317.56				**-61,557.75**	**0.00**
22AUG17	S 1 PD22NOV17	2364.00	2588.90	USD	-5,622.50	
22AUG17	S 1 PD22NOV17	2336.50	2588.90	USD	-6,310.00	
22AUG17	B 1 PD22NOV17	2325.00	2588.90	USD	6,597.50	
22AUG17	B 1 PD22NOV17	2324.00	2588.90	USD	6,622.50	
22AUG17	S 1 PD22NOV17	2355.50	2588.90	USD	-5,835.00	
22AUG17	S 1 PD22NOV17	2352.00	2588.90	USD	-5,922.50	
22AUG17	S 1 PD22NOV17	2372.00	2588.90	USD	-5,422.50	
22AUG17	S 1 PD22NOV17	2400.50	2588.90	USD	-4,710.00	
22AUG17	S 1 PD22NOV17	2397.00	2588.90	USD	-4,797.50	
22AUG17	S 1 PD22NOV17	2394.00	2588.90	USD	-4,872.50	
22AUG17	S 1 PD22NOV17	2417.00	2588.90	USD	-4,297.50	
22AUG17	S 1 PD22NOV17	2419.00	2588.90	USD	-4,247.50	
22AUG17	S 1 PD22NOV17	2415.50	2588.90	USD	-4,335.00	
22AUG17	S 1 PD22NOV17	2415.00	2588.90	USD	-4,347.50	
22AUG17	B 1 PD22NOV17	2394.00	2588.90	USD	4,872.50	
22AUG17	B 1 PD22NOV17	2416.00	2588.90	USD	4,322.50	
22AUG17	B 1 PD22NOV17	2410.50	2588.90	USD	4,460.00	
22AUG17	B 1 PD22NOV17	2407.50	2588.90	USD	4,535.00	
22AUG17	B 1 PD22NOV17	2408.00	2588.90	USD	4,522.50	
22AUG17	S 1 PD22NOV17	2415.50	2588.90	USD	-4,335.00	
12SEP17	B 2 PD22NOV17	2305.55	2588.90	USD	14,167.50	
12SEP17	B 3 PD22NOV17	2305.35	2588.90	USD	21,266.25	
	NET -1 AVG BGHT 2351.01 SOLD 2388.73				**6,311.25**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
23AUG17	B 1 PD24NOV17	2406.50	2589.16	USD	4,566.50	
23AUG17	B 1 PD24NOV17	2396.50	2589.16	USD	4,816.50	
23AUG17	B 1 PD24NOV17	2401.00	2589.16	USD	4,704.00	
23AUG17	B 1 PD24NOV17	2391.50	2589.16	USD	4,941.50	
23AUG17	B 3 PD24NOV17	2392.50	2589.16	USD	14,749.50	
23AUG17	B 8 PD24NOV17	2392.00	2589.16	USD	39,432.00	
23AUG17	B 8 PD24NOV17	2391.00	2589.16	USD	39,632.00	
23AUG17	B 6 PD24NOV17	2390.50	2589.16	USD	29,799.00	
23AUG17	B 8 PD24NOV17	2390.00	2589.16	USD	39,832.00	
23AUG17	B 2 PD24NOV17	2389.00	2589.16	USD	10,008.00	
23AUG17	B 4 PD24NOV17	2393.50	2589.16	USD	19,566.00	
23AUG17	B 1 PD24NOV17	2378.00	2589.16	USD	5,279.00	
23AUG17	B 1 PD24NOV17	2379.00	2589.16	USD	5,254.00	
23AUG17	S 1 PD24NOV17	2384.50	2589.16	USD	-5,116.50	
23AUG17	S 1 PD24NOV17	2388.00	2589.16	USD	-5,029.00	
24AUG17	S 8 PD24NOV17	2399.00	2589.16	USD	-38,032.00	
24AUG17	S 2 PD24NOV17	2396.50	2589.16	USD	-9,633.00	
24AUG17	B 1 PD24NOV17	2380.50	2589.16	USD	5,216.50	
24AUG17	B 1 PD24NOV17	2377.00	2589.16	USD	5,304.00	
24AUG17	S 1 PD24NOV17	2392.00	2589.16	USD	-4,929.00	
24AUG17	B 1 PD24NOV17	2353.00	2589.16	USD	5,904.00	
24AUG17	B 1 PD24NOV17	2350.50	2589.16	USD	5,966.50	
24AUG17	S 1 PD24NOV17	2352.50	2589.16	USD	-5,916.50	
24AUG17	B 1 PD24NOV17	2373.50	2589.16	USD	5,391.50	
24AUG17	B 2 PD24NOV17	2370.00	2589.16	USD	10,958.00	
24AUG17	B 3 PD24NOV17	2369.50	2589.16	USD	16,474.50	
24AUG17	B 2 PD24NOV17	2368.00	2589.16	USD	11,058.00	
24AUG17	B 1 PD24NOV17	2358.00	2589.16	USD	5,779.00	
24AUG17	S 1 PD24NOV17	2355.00	2589.16	USD	-5,854.00	
24AUG17	B 1 PD24NOV17	2356.00	2589.16	USD	5,829.00	
24AUG17	S 1 PD24NOV17	2357.00	2589.16	USD	-5,804.00	
24AUG17	B 2 PD24NOV17	2371.50	2589.16	USD	10,883.00	
24AUG17	S 1 PD24NOV17	2349.00	2589.16	USD	-6,004.00	
25AUG17	B 1 PD24NOV17	2369.00	2589.16	USD	5,504.00	
25AUG17	B 1 PD24NOV17	2368.50	2589.16	USD	5,516.50	
25AUG17	S 1 PD24NOV17	2361.00	2589.16	USD	-5,704.00	
25AUG17	S 1 PD24NOV17	2355.50	2589.16	USD	-5,841.50	
25AUG17	S 1 PD24NOV17	2349.00	2589.16	USD	-6,004.00	
25AUG17	S 1 PD24NOV17	2342.00	2589.16	USD	-6,179.00	
25AUG17	S 2 PD24NOV17	2344.00	2589.16	USD	-12,258.00	
25AUG17	S 1 PD24NOV17	2342.50	2589.16	USD	-6,166.50	
25AUG17	S 2 PD24NOV17	2341.00	2589.16	USD	-12,408.00	
25AUG17	S 4 PD24NOV17	2341.50	2589.16	USD	-24,766.00	
25AUG17	S 1 PD24NOV17	2342.00	2589.16	USD	-6,179.00	
25AUG17	S 1 PD24NOV17	2321.00	2589.16	USD	-6,704.00	
25AUG17	S 1 PD24NOV17	2324.00	2589.16	USD	-6,629.00	
25AUG17	S 2 PD24NOV17	2324.50	2589.16	USD	-13,233.00	
25AUG17	S 1 PD24NOV17	2328.00	2589.16	USD	-6,529.00	
25AUG17	B 1 PD24NOV17	2327.00	2589.16	USD	6,554.00	
25AUG17	B 1 PD24NOV17	2330.00	2589.16	USD	6,479.00	
25AUG17	B 2 PD24NOV17	2326.00	2589.16	USD	13,158.00	
25AUG17	S 1 PD24NOV17	2326.50	2589.16	USD	-6,566.50	
25AUG17	S 3 PD24NOV17	2327.00	2589.16	USD	-19,662.00	
25AUG17	S 4 PD24NOV17	2325.00	2589.16	USD	-26,416.00	
30AUG17	S 1 PD24NOV17	2368.39	2589.16	USD	-5,519.25	
12SEP17	S 2 PD24NOV17	2305.76	2589.16	USD	-14,170.00	
14SEP17	S 20 PD24NOV17	2301.31	2589.16	USD	-143,925.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
	NET 0 AVG BGHT 2381.07 SOLD 2337.71				**-72,622.25**	**0.00**
29AUG17	B 1 PD29NOV17	2344.00	2589.80	USD	6,145.00	
29AUG17	B 2 PD29NOV17	2343.00	2589.80	USD	12,340.00	
29AUG17	B 1 PD29NOV17	2346.00	2589.80	USD	6,095.00	
29AUG17	S 1 PD29NOV17	2341.00	2589.80	USD	-6,220.00	
29AUG17	B 1 PD29NOV17	2341.00	2589.80	USD	6,220.00	
29AUG17	S 1 PD29NOV17	2340.50	2589.80	USD	-6,232.50	
29AUG17	B 1 PD29NOV17	2347.50	2589.80	USD	6,057.50	
29AUG17	S 1 PD29NOV17	2353.00	2589.80	USD	-5,920.00	
29AUG17	S 1 PD29NOV17	2350.00	2589.80	USD	-5,995.00	
29AUG17	S 1 PD29NOV17	2389.00	2589.80	USD	-5,020.00	
29AUG17	S 1 PD29NOV17	2344.50	2589.80	USD	-6,132.50	
29AUG17	S 4 PD29NOV17	2395.50	2589.80	USD	-19,430.00	
29AUG17	S 4 PD29NOV17	2395.00	2589.80	USD	-19,480.00	
29AUG17	S 2 PD29NOV17	2393.50	2589.80	USD	-9,815.00	
29AUG17	S 1 PD29NOV17	2394.50	2589.80	USD	-4,882.50	
30AUG17	B 1 PD29NOV17	2369.93	2589.80	USD	5,496.75	
05SEP17	B 10 PD29NOV17	2332.38	2589.80	USD	64,355.00	
	NET 0 AVG BGHT 2338.72 SOLD 2380.09				**17,581.75**	**0.00**
30AUG17	B 2 PD30NOV17	2392.00	2589.93	USD	9,896.50	
30AUG17	B 1 PD30NOV17	2393.50	2589.93	USD	4,910.75	
30AUG17	B 2 PD30NOV17	2394.00	2589.93	USD	9,796.50	
30AUG17	B 4 PD30NOV17	2390.00	2589.93	USD	19,993.00	
30AUG17	B 2 PD30NOV17	2391.50	2589.93	USD	9,921.50	
30AUG17	B 3 PD30NOV17	2393.00	2589.93	USD	14,769.75	
30AUG17	B 1 PD30NOV17	2398.50	2589.93	USD	4,785.75	
30AUG17	B 1 PD30NOV17	2396.00	2589.93	USD	4,848.25	
30AUG17	B 1 PD30NOV17	2395.00	2589.93	USD	4,873.25	
30AUG17	B 1 PD30NOV17	2375.00	2589.93	USD	5,373.25	
30AUG17	S 1 PD30NOV17	2370.50	2589.93	USD	-5,485.75	
30AUG17	S 1 PD30NOV17	2365.00	2589.93	USD	-5,623.25	
30AUG17	S 1 PD30NOV17	2383.00	2589.93	USD	-5,173.25	
30AUG17	S 1 PD30NOV17	2392.50	2589.93	USD	-4,935.75	
30AUG17	B 1 PD30NOV17	2381.50	2589.93	USD	5,210.75	
30AUG17	B 1 PD30NOV17	2379.00	2589.93	USD	5,273.25	
30AUG17	B 1 PD30NOV17	2377.00	2589.93	USD	5,323.25	
30AUG17	S 1 PD30NOV17	2378.00	2589.93	USD	-5,298.25	
30AUG17	B 1 PD30NOV17	2378.50	2589.93	USD	5,285.75	
31AUG17	S 1 PD30NOV17	2385.50	2589.93	USD	-5,110.75	
31AUG17	S 1 PD30NOV17	2384.00	2589.93	USD	-5,148.25	
31AUG17	B 1 PD30NOV17	2389.00	2589.93	USD	5,023.25	
31AUG17	S 1 PD30NOV17	2377.50	2589.93	USD	-5,310.75	
31AUG17	S 1 PD30NOV17	2374.50	2589.93	USD	-5,385.75	
31AUG17	S 1 PD30NOV17	2385.00	2589.93	USD	-5,123.25	
31AUG17	B 1 PD30NOV17	2386.50	2589.93	USD	5,085.75	
31AUG17	B 1 PD30NOV17	2386.00	2589.93	USD	5,098.25	
31AUG17	B 1 PD30NOV17	2396.00	2589.93	USD	4,848.25	
31AUG17	B 1 PD30NOV17	2382.50	2589.93	USD	5,185.75	
31AUG17	S 2 PD30NOV17	2386.00	2589.93	USD	-10,196.50	
06SEP17	S 2 PD30NOV17	2338.35	2589.93	USD	-12,579.00	
11SEP17	S 1 PD30NOV17	2273.48	2589.93	USD	-7,911.25	
12SEP17	S 1 PD30NOV17	2307.61	2589.93	USD	-7,058.00	
12SEP17	S 1 PD30NOV17	2307.81	2589.93	USD	-7,053.00	
14SEP17	S 4 PD30NOV17	2303.02	2589.93	USD	-28,691.00	
21SEP17	S 2 PD30NOV17	2517.71	2589.93	USD	-3,611.00	
25SEP17	S 2 PD30NOV17	2474.14	2589.93	USD	-5,789.50	
29SEP17	S 2 PD30NOV17	2490.58	2589.93	USD	-4,967.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
	NET	**0 AVG BGHT 2389.19**	**SOLD 2381.85**				**-4,949.00**	**0.00**
01SEP17	B	1 PD01DEC17		2406.00	2590.06	USD	4,601.50	
01SEP17	S	1 PD01DEC17		2393.00	2590.06	USD	-4,926.50	
01SEP17	B	1 PD01DEC17		2406.50	2590.06	USD	4,589.00	
01SEP17	B	1 PD01DEC17		2408.50	2590.06	USD	4,539.00	
01SEP17	B	1 PD01DEC17		2404.00	2590.06	USD	4,651.50	
01SEP17	B	1 PD01DEC17		2407.00	2590.06	USD	4,576.50	
01SEP17	B	1 PD01DEC17		2394.00	2590.06	USD	4,901.50	
01SEP17	B	1 PD01DEC17		2391.50	2590.06	USD	4,964.00	
01SEP17	S	1 PD01DEC17		2399.00	2590.06	USD	-4,776.50	
11SEP17	S	1 PD01DEC17		2273.80	2590.06	USD	-7,906.50	
12SEP17	S	2 PD01DEC17		2308.12	2590.06	USD	-14,097.00	
19SEP17	S	2 PD01DEC17		2416.75	2590.06	USD	-8,665.50	
	NET	**0 AVG BGHT 2402.50**	**SOLD 2359.36**				**-7,549.00**	**0.00**
04SEP17	S	1 PD04DEC17		2413.00	2590.44	USD	-4,436.00	
04SEP17	B	1 PD04DEC17		2417.00	2590.44	USD	4,336.00	
04SEP17	S	1 PD04DEC17		2398.00	2590.44	USD	-4,811.00	
19SEP17	B	1 PD04DEC17		2417.70	2590.44	USD	4,318.50	
	NET	**0 AVG BGHT 2417.35**	**SOLD 2405.50**				**-592.50**	**0.00**
05SEP17	B	1 PD05DEC17		2363.50	2590.57	USD	5,676.75	
05SEP17	S	1 PD05DEC17		2370.00	2590.57	USD	-5,514.25	
05SEP17	B	1 PD05DEC17		2346.00	2590.57	USD	6,114.25	
05SEP17	B	1 PD05DEC17		2344.00	2590.57	USD	6,164.25	
05SEP17	S	1 PD05DEC17		2327.00	2590.57	USD	-6,589.25	
05SEP17	B	1 PD05DEC17		2337.00	2590.57	USD	6,339.25	
05SEP17	S	1 PD05DEC17		2333.50	2590.57	USD	-6,426.75	
05SEP17	S	1 PD05DEC17		2334.00	2590.57	USD	-6,414.25	
05SEP17	S	3 PD05DEC17		2325.00	2590.57	USD	-19,917.75	
05SEP17	B	1 PD05DEC17		2328.00	2590.57	USD	6,564.25	
05SEP17	S	2 PD05DEC17		2327.50	2590.57	USD	-13,153.50	
05SEP17	S	1 PD05DEC17		2332.00	2590.57	USD	-6,464.25	
06SEP17	B	2 PD05DEC17		2339.93	2590.57	USD	12,532.00	
11SEP17	B	1 PD05DEC17		2275.28	2590.57	USD	7,882.25	
12SEP17	B	2 PD05DEC17		2309.55	2590.57	USD	14,051.00	
	NET	**0 AVG BGHT 2329.27**	**SOLD 2332.65**				**844.00**	**0.00**
06SEP17	B	1 PD06DEC17		2328.50	2590.70	USD	6,555.00	
06SEP17	B	1 PD06DEC17		2328.00	2590.70	USD	6,567.50	
06SEP17	B	1 PD06DEC17		2336.50	2590.70	USD	6,355.00	
06SEP17	S	1 PD06DEC17		2322.00	2590.70	USD	-6,717.50	
06SEP17	S	1 PD06DEC17		2348.00	2590.70	USD	-6,067.50	
06SEP17	B	1 PD06DEC17		2346.00	2590.70	USD	6,117.50	
06SEP17	B	1 PD06DEC17		2343.00	2590.70	USD	6,192.50	
06SEP17	B	1 PD06DEC17		2342.00	2590.70	USD	6,217.50	
06SEP17	B	1 PD06DEC17		2343.50	2590.70	USD	6,180.00	
06SEP17	S	1 PD06DEC17		2342.50	2590.70	USD	-6,205.00	
06SEP17	S	1 PD06DEC17		2353.50	2590.70	USD	-5,930.00	
11SEP17	S	1 PD06DEC17		2275.40	2590.70	USD	-7,882.50	
12SEP17	S	1 PD06DEC17		2309.65	2590.70	USD	-7,026.25	
20SEP17	S	1 PD06DEC17		2457.80	2590.70	USD	-3,322.50	
	NET	**0 AVG BGHT 2338.21**	**SOLD 2344.12**				**1,033.75**	**0.00**
07SEP17	S	1 PD07DEC17		2345.50	2590.83	USD	-6,133.25	
07SEP17	B	1 PD07DEC17		2344.50	2590.83	USD	6,158.25	
07SEP17	S	1 PD07DEC17		2341.50	2590.83	USD	-6,233.25	
07SEP17	S	1 PD07DEC17		2335.50	2590.83	USD	-6,383.25	
07SEP17	S	1 PD07DEC17		2334.50	2590.83	USD	-6,408.25	
07SEP17	S	2 PD07DEC17		2334.00	2590.83	USD	-12,841.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
07SEP17	B 1 PD07DEC17	2334.00	2590.83	USD	6,420.75	
07SEP17	B 1 PD07DEC17	2333.50	2590.83	USD	6,433.25	
07SEP17	B 1 PD07DEC17	2336.50	2590.83	USD	6,358.25	
07SEP17	S 1 PD07DEC17	2348.00	2590.83	USD	-6,070.75	
07SEP17	S 1 PD07DEC17	2354.00	2590.83	USD	-5,920.75	
07SEP17	B 1 PD07DEC17	2363.00	2590.83	USD	5,695.75	
07SEP17	B 1 PD07DEC17	2350.00	2590.83	USD	6,020.75	
07SEP17	B 1 PD07DEC17	2346.00	2590.83	USD	6,120.75	
07SEP17	B 1 PD07DEC17	2341.00	2590.83	USD	6,245.75	
07SEP17	B 1 PD07DEC17	2341.50	2590.83	USD	6,233.25	
07SEP17	S 1 PD07DEC17	2342.50	2590.83	USD	-6,208.25	
07SEP17	S 1 PD07DEC17	2337.50	2590.83	USD	-6,333.25	
11SEP17	B 1 PD07DEC17	2275.92	2590.83	USD	7,872.75	
12SEP17	B 1 PD07DEC17	2310.16	2590.83	USD	7,016.75	
19SEP17	S 1 PD07DEC17	2418.25	2590.83	USD	-4,314.50	
	NET 0 AVG BGHT 2334.19 SOLD 2347.75				**3,729.25**	**0.00**
08SEP17	B 1 PD08DEC17	2344.50	2590.96	USD	6,161.50	
08SEP17	S 1 PD08DEC17	2343.50	2590.96	USD	-6,186.50	
08SEP17	S 4 PD08DEC17	2344.50	2590.96	USD	-24,646.00	
08SEP17	S 2 PD08DEC17	2343.00	2590.96	USD	-12,398.00	
08SEP17	S 1 PD08DEC17	2342.50	2590.96	USD	-6,211.50	
08SEP17	S 6 PD08DEC17	2342.00	2590.96	USD	-37,344.00	
08SEP17	S 1 PD08DEC17	2341.50	2590.96	USD	-6,236.50	
08SEP17	S 5 PD08DEC17	2337.00	2590.96	USD	-31,745.00	
08SEP17	S 5 PD08DEC17	2338.00	2590.96	USD	-31,620.00	
08SEP17	S 4 PD08DEC17	2338.50	2590.96	USD	-25,246.00	
08SEP17	B 1 PD08DEC17	2349.50	2590.96	USD	6,036.50	
08SEP17	B 1 PD08DEC17	2354.00	2590.96	USD	5,924.00	
08SEP17	B 1 PD08DEC17	2355.00	2590.96	USD	5,899.00	
08SEP17	S 1 PD08DEC17	2333.50	2590.96	USD	-6,436.50	
08SEP17	S 1 PD08DEC17	2335.50	2590.96	USD	-6,386.50	
08SEP17	S 1 PD08DEC17	2331.50	2590.96	USD	-6,486.50	
08SEP17	S 1 PD08DEC17	2328.50	2590.96	USD	-6,561.50	
08SEP17	S 1 PD08DEC17	2320.00	2590.96	USD	-6,774.00	
08SEP17	S 1 PD08DEC17	2317.50	2590.96	USD	-6,836.50	
08SEP17	S 1 PD08DEC17	2314.00	2590.96	USD	-6,924.00	
08SEP17	S 7 PD08DEC17	2313.50	2590.96	USD	-48,555.50	
08SEP17	S 1 PD08DEC17	2316.00	2590.96	USD	-6,874.00	
08SEP17	B 1 PD08DEC17	2316.50	2590.96	USD	6,861.50	
08SEP17	B 2 PD08DEC17	2294.50	2590.96	USD	14,823.00	
08SEP17	S 4 PD08DEC17	2301.00	2590.96	USD	-28,996.00	
08SEP17	S 5 PD08DEC17	2304.50	2590.96	USD	-35,807.50	
08SEP17	S 5 PD08DEC17	2295.50	2590.96	USD	-36,932.50	
08SEP17	S 1 PD08DEC17	2295.00	2590.96	USD	-7,399.00	
08SEP17	S 4 PD08DEC17	2294.50	2590.96	USD	-29,646.00	
08SEP17	S 1 PD08DEC17	2281.50	2590.96	USD	-7,736.50	
08SEP17	B 1 PD08DEC17	2265.50	2590.96	USD	8,136.50	
08SEP17	S 1 PD08DEC17	2264.00	2590.96	USD	-8,174.00	
11SEP17	B 1 PD08DEC17	2276.24	2590.96	USD	7,868.00	
12SEP17	B 1 PD08DEC17	2310.47	2590.96	USD	7,012.25	
14SEP17	B 54 PD08DEC17	2305.49	2590.96	USD	385,384.50	
19SEP17	B 1 PD08DEC17	2418.70	2590.96	USD	4,306.50	
	NET 0 AVG BGHT 2308.86 SOLD 2321.32				**20,253.25**	**0.00**
11SEP17	B 1 PD11DEC17	2272.00	2591.34	USD	7,983.50	
11SEP17	B 1 PD11DEC17	2270.50	2591.34	USD	8,021.00	
11SEP17	B 1 PD11DEC17	2285.50	2591.34	USD	7,646.00	
11SEP17	B 1 PD11DEC17	2279.50	2591.34	USD	7,796.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
11SEP17	B	1 PD11DEC17		2274.50	2591.34	USD	7,921.00	
11SEP17	B	1 PD11DEC17		2279.00	2591.34	USD	7,808.50	
11SEP17	B	1 PD11DEC17		2272.50	2591.34	USD	7,971.00	
11SEP17	B	1 PD11DEC17		2267.00	2591.34	USD	8,108.50	
11SEP17	B	1 PD11DEC17		2273.00	2591.34	USD	7,958.50	
11SEP17	B	1 PD11DEC17		2274.00	2591.34	USD	7,933.50	
11SEP17	S	1 PD11DEC17		2278.50	2591.34	USD	-7,821.00	
11SEP17	B	1 PD11DEC17		2278.00	2591.34	USD	7,833.50	
19SEP17	S	3 PD11DEC17		2419.25	2591.34	USD	-12,906.75	
20SEP17	S	4 PD11DEC17		2458.59	2591.34	USD	-13,275.00	
	NET	**+3**	**AVG BGHT 2275.05**	**SOLD 2421.33**			**52,978.25**	**0.00**
12SEP17	S	1 PD12DEC17		2278.50	2591.47	USD	-7,824.25	
12SEP17	S	1 PD12DEC17		2269.50	2591.47	USD	-8,049.25	
12SEP17	B	1 PD12DEC17		2272.00	2591.47	USD	7,986.75	
12SEP17	S	2 PD12DEC17		2268.00	2591.47	USD	-16,173.50	
12SEP17	B	1 PD12DEC17		2289.50	2591.47	USD	7,549.25	
12SEP17	S	1 PD12DEC17		2258.50	2591.47	USD	-8,324.25	
12SEP17	S	2 PD12DEC17		2273.50	2591.47	USD	-15,898.50	
12SEP17	B	1 PD12DEC17		2275.50	2591.47	USD	7,899.25	
12SEP17	B	1 PD12DEC17		2273.50	2591.47	USD	7,949.25	
12SEP17	S	3 PD12DEC17		2275.00	2591.47	USD	-23,735.25	
12SEP17	B	2 PD12DEC17		2283.00	2591.47	USD	15,423.50	
12SEP17	S	1 PD12DEC17		2286.50	2591.47	USD	-7,624.25	
12SEP17	S	1 PD12DEC17		2303.50	2591.47	USD	-7,199.25	
12SEP17	B	1 PD12DEC17		2309.50	2591.47	USD	7,049.25	
12SEP17	S	1 PD12DEC17		2304.50	2591.47	USD	-7,174.25	
14SEP17	B	5 PD12DEC17		2306.63	2591.47	USD	35,605.00	
19SEP17	B	1 PD12DEC17		2419.70	2591.47	USD	4,294.25	
	NET	**0**	**AVG BGHT 2302.99**	**SOLD 2277.62**			**-8,246.25**	**0.00**
13SEP17	B	1 PD13DEC17		2308.50	2591.60	USD	7,077.50	
13SEP17	S	1 PD13DEC17		2310.00	2591.60	USD	-7,040.00	
13SEP17	S	1 PD13DEC17		2324.50	2591.60	USD	-6,677.50	
13SEP17	B	1 PD13DEC17		2290.50	2591.60	USD	7,527.50	
13SEP17	B	1 PD13DEC17		2288.00	2591.60	USD	7,590.00	
13SEP17	S	2 PD13DEC17		2301.00	2591.60	USD	-14,530.00	
13SEP17	S	1 PD13DEC17		2307.00	2591.60	USD	-7,115.00	
13SEP17	S	1 PD13DEC17		2294.00	2591.60	USD	-7,440.00	
13SEP17	S	2 PD13DEC17		2294.00	2591.60	USD	-14,880.00	
13SEP17	B	1 PD13DEC17		2299.50	2591.60	USD	7,302.50	
13SEP17	B	1 PD13DEC17		2299.00	2591.60	USD	7,315.00	
13SEP17	B	2 PD13DEC17		2291.00	2591.60	USD	15,030.00	
13SEP17	S	4 PD13DEC17		2295.00	2591.60	USD	-29,660.00	
19SEP17	B	1 PD13DEC17		2419.95	2591.60	USD	4,291.25	
20SEP17	B	3 PD13DEC17		2459.10	2591.60	USD	9,937.50	
	NET	**-1**	**AVG BGHT 2351.34**	**SOLD 2300.46**			**-21,271.25**	**0.00**
14SEP17	B	2 PD14DEC17		2302.00	2591.73	USD	14,486.50	
14SEP17	S	1 PD14DEC17		2294.00	2591.73	USD	-7,443.25	
14SEP17	B	1 PD14DEC17		2294.50	2591.73	USD	7,430.75	
14SEP17	S	1 PD14DEC17		2288.50	2591.73	USD	-7,580.75	
14SEP17	S	1 PD14DEC17		2287.50	2591.73	USD	-7,605.75	
14SEP17	S	1 PD14DEC17		2309.00	2591.73	USD	-7,068.25	
14SEP17	B	1 PD14DEC17		2309.00	2591.73	USD	7,068.25	
14SEP17	B	1 PD14DEC17		2306.50	2591.73	USD	7,130.75	
14SEP17	S	2 PD14DEC17		2308.50	2591.73	USD	-14,161.50	
14SEP17	S	1 PD14DEC17		2311.00	2591.73	USD	-7,018.25	
14SEP17	S	2 PD14DEC17		2322.50	2591.73	USD	-13,461.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
14SEP17	S	1	PD14DEC17	2323.50	2591.73	USD	-6,705.75	
19SEP17	B	1	PD14DEC17	2420.20	2591.73	USD	4,288.25	
20SEP17	B	2	PD14DEC17	2459.26	2591.73	USD	6,623.50	
21SEP17	B	2	PD14DEC17	2518.11	2591.73	USD	3,681.00	
	NET	**0**	**AVG BGHT 2388.89** **SOLD 2307.55**				**-20,336.00**	**0.00**
15SEP17	B	1	PD15DEC17	2329.00	2591.86	USD	6,571.50	
15SEP17	B	1	PD15DEC17	2335.50	2591.86	USD	6,409.00	
15SEP17	B	3	PD15DEC17	2334.50	2591.86	USD	19,302.00	
15SEP17	B	2	PD15DEC17	2333.50	2591.86	USD	12,918.00	
15SEP17	B	6	PD15DEC17	2332.50	2591.86	USD	38,904.00	
15SEP17	S	1	PD15DEC17	2330.00	2591.86	USD	-6,546.50	
15SEP17	S	2	PD15DEC17	2331.00	2591.86	USD	-13,043.00	
15SEP17	S	2	PD15DEC17	2328.00	2591.86	USD	-13,193.00	
15SEP17	S	2	PD15DEC17	2325.50	2591.86	USD	-13,318.00	
15SEP17	S	2	PD15DEC17	2324.50	2591.86	USD	-13,368.00	
15SEP17	S	1	PD15DEC17	2324.00	2591.86	USD	-6,696.50	
15SEP17	S	1	PD15DEC17	2327.50	2591.86	USD	-6,609.00	
15SEP17	S	2	PD15DEC17	2325.00	2591.86	USD	-13,343.00	
15SEP17	S	1	PD15DEC17	2319.50	2591.86	USD	-6,809.00	
15SEP17	S	1	PD15DEC17	2320.50	2591.86	USD	-6,784.00	
15SEP17	B	1	PD15DEC17	2318.50	2591.86	USD	6,834.00	
15SEP17	B	1	PD15DEC17	2314.50	2591.86	USD	6,934.00	
15SEP17	S	1	PD15DEC17	2320.00	2591.86	USD	-6,796.50	
15SEP17	S	2	PD15DEC17	2319.00	2591.86	USD	-13,643.00	
15SEP17	S	2	PD15DEC17	2329.00	2591.86	USD	-13,143.00	
15SEP17	S	1	PD15DEC17	2328.00	2591.86	USD	-6,596.50	
15SEP17	B	1	PD15DEC17	2313.50	2591.86	USD	6,959.00	
15SEP17	S	1	PD15DEC17	2312.50	2591.86	USD	-6,984.00	
15SEP17	S	1	PD15DEC17	2348.50	2591.86	USD	-6,084.00	
15SEP17	S	1	PD15DEC17	2346.00	2591.86	USD	-6,146.50	
15SEP17	S	1	PD15DEC17	2346.50	2591.86	USD	-6,134.00	
15SEP17	S	2	PD15DEC17	2348.00	2591.86	USD	-12,193.00	
15SEP17	S	2	PD15DEC17	2349.00	2591.86	USD	-12,143.00	
15SEP17	B	2	PD15DEC17	2351.50	2591.86	USD	12,018.00	
15SEP17	B	2	PD15DEC17	2349.50	2591.86	USD	12,118.00	
15SEP17	B	1	PD15DEC17	2349.00	2591.86	USD	6,071.50	
15SEP17	B	2	PD15DEC17	2345.50	2591.86	USD	12,318.00	
15SEP17	B	1	PD15DEC17	2342.50	2591.86	USD	6,234.00	
15SEP17	S	1	PD15DEC17	2355.00	2591.86	USD	-5,921.50	
15SEP17	B	1	PD15DEC17	2338.00	2591.86	USD	6,346.50	
15SEP17	S	1	PD15DEC17	2350.50	2591.86	USD	-6,034.00	
15SEP17	S	3	PD15DEC17	2350.00	2591.86	USD	-18,139.50	
15SEP17	S	1	PD15DEC17	2360.50	2591.86	USD	-5,784.00	
15SEP17	B	1	PD15DEC17	2361.00	2591.86	USD	5,771.50	
19SEP17	B	1	PD15DEC17	2420.45	2591.86	USD	4,285.25	
20SEP17	B	4	PD15DEC17	2459.21	2591.86	USD	13,265.00	
	NET	**-4**	**AVG BGHT 2355.40** **SOLD 2334.20**				**-42,193.25**	**0.00**
18SEP17	B	1	PD18DEC17	2395.00	2592.24	USD	4,931.00	
18SEP17	B	2	PD18DEC17	2396.00	2592.24	USD	9,812.00	
18SEP17	B	2	PD18DEC17	2405.00	2592.24	USD	9,362.00	
18SEP17	B	1	PD18DEC17	2403.00	2592.24	USD	4,731.00	
18SEP17	B	1	PD18DEC17	2388.00	2592.24	USD	5,106.00	
18SEP17	S	1	PD18DEC17	2400.50	2592.24	USD	-4,793.50	
18SEP17	B	2	PD18DEC17	2392.00	2592.24	USD	10,012.00	
18SEP17	B	1	PD18DEC17	2391.00	2592.24	USD	5,031.00	
18SEP17	S	1	PD18DEC17	2384.50	2592.24	USD	-5,193.50	
18SEP17	S	1	PD18DEC17	2388.00	2592.24	USD	-5,106.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
20SEP17	S 2 PD18DEC17	2459.49	2592.24	USD	-6,637.50	
03OCT17	S 1 PD18DEC17	2592.24	2592.24	USD	0.00	
	NET +4 AVG BGHT 2396.30 SOLD 2447.37				**27,254.50**	**0.00**
19SEP17	B 1 PD19DEC17	2384.00	2592.37	USD	5,209.25	
19SEP17	B 2 PD19DEC17	2391.00	2592.37	USD	10,068.50	
19SEP17	S 1 PD19DEC17	2389.50	2592.37	USD	-5,071.75	
19SEP17	S 2 PD19DEC17	2385.50	2592.37	USD	-10,343.50	
19SEP17	S 1 PD19DEC17	2411.00	2592.37	USD	-4,534.25	
19SEP17	S 1 PD19DEC17	2412.00	2592.37	USD	-4,509.25	
19SEP17	S 1 PD19DEC17	2411.50	2592.37	USD	-4,521.75	
19SEP17	B 1 PD19DEC17	2438.00	2592.37	USD	3,859.25	
19SEP17	B 1 PD19DEC17	2437.00	2592.37	USD	3,884.25	
19SEP17	S 1 PD19DEC17	2411.00	2592.37	USD	-4,534.25	
19SEP17	B 1 PD19DEC17	2437.50	2592.37	USD	3,871.75	
19SEP17	B 1 PD19DEC17	2430.00	2592.37	USD	4,059.25	
19SEP17	B 1 PD19DEC17	2433.00	2592.37	USD	3,984.25	
19SEP17	B 2 PD19DEC17	2424.00	2592.37	USD	8,418.50	
19SEP17	B 2 PD19DEC17	2422.00	2592.37	USD	8,518.50	
19SEP17	S 1 PD19DEC17	2422.00	2592.37	USD	-4,259.25	
19SEP17	S 1 PD19DEC17	2421.00	2592.37	USD	-4,284.25	
20SEP17	S 2 PD19DEC17	2459.64	2592.37	USD	-6,636.50	
	NET +1 AVG BGHT 2419.46 SOLD 2415.30				**3,178.75**	**0.00**
20SEP17	B 1 PD20DEC17	2438.00	2592.50	USD	3,862.50	
20SEP17	B 1 PD20DEC17	2444.00	2592.50	USD	3,712.50	
20SEP17	B 1 PD20DEC17	2446.50	2592.50	USD	3,650.00	
20SEP17	B 1 PD20DEC17	2454.50	2592.50	USD	3,450.00	
20SEP17	S 1 PD20DEC17	2454.50	2592.50	USD	-3,450.00	
20SEP17	B 1 PD20DEC17	2453.00	2592.50	USD	3,487.50	
20SEP17	B 1 PD20DEC17	2467.00	2592.50	USD	3,137.50	
20SEP17	S 1 PD20DEC17	2460.00	2592.50	USD	-3,312.50	
20SEP17	S 1 PD20DEC17	2452.00	2592.50	USD	-3,512.50	
20SEP17	B 1 PD20DEC17	2459.50	2592.50	USD	3,325.00	
03OCT17	S 1 PD20DEC17	2592.50	2592.50	USD	0.00	
	NET +3 AVG BGHT 2451.79 SOLD 2489.75				**14,350.00**	**0.00**
21SEP17	B 1 PD21DEC17	2452.00	2592.29	USD	3,507.25	
21SEP17	B 1 PD21DEC17	2443.00	2592.29	USD	3,732.25	
21SEP17	S 1 PD21DEC17	2439.00	2592.29	USD	-3,832.25	
21SEP17	B 1 PD21DEC17	2442.50	2592.29	USD	3,744.75	
21SEP17	S 1 PD21DEC17	2433.50	2592.29	USD	-3,969.75	
21SEP17	S 1 PD21DEC17	2432.50	2592.29	USD	-3,994.75	
21SEP17	B 1 PD21DEC17	2429.50	2592.29	USD	4,069.75	
21SEP17	B 1 PD21DEC17	2432.00	2592.29	USD	4,007.25	
21SEP17	S 1 PD21DEC17	2435.50	2592.29	USD	-3,919.75	
21SEP17	B 1 PD21DEC17	2430.00	2592.29	USD	4,057.25	
21SEP17	S 2 PD21DEC17	2480.00	2592.29	USD	-5,614.50	
21SEP17	S 1 PD21DEC17	2473.50	2592.29	USD	-2,969.75	
21SEP17	B 1 PD21DEC17	2480.50	2592.29	USD	2,794.75	
21SEP17	B 2 PD21DEC17	2481.50	2592.29	USD	5,539.50	
21SEP17	S 1 PD21DEC17	2483.50	2592.29	USD	-2,719.75	
21SEP17	S 1 PD21DEC17	2491.00	2592.29	USD	-2,532.25	
21SEP17	S 1 PD21DEC17	2499.50	2592.29	USD	-2,319.75	
21SEP17	S 1 PD21DEC17	2478.00	2592.29	USD	-2,857.25	
21SEP17	S 1 PD21DEC17	2471.50	2592.29	USD	-3,019.75	
21SEP17	S 1 PD21DEC17	2506.00	2592.29	USD	-2,157.25	
21SEP17	S 1 PD21DEC17	2482.50	2592.29	USD	-2,744.75	
21SEP17	S 3 PD21DEC17	2474.00	2592.29	USD	-8,871.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
21SEP17	S 1 PD21DEC17	2463.50	2592.29	USD	-3,219.75	
21SEP17	B 1 PD21DEC17	2440.50	2592.29	USD	3,794.75	
21SEP17	B 1 PD21DEC17	2453.00	2592.29	USD	3,482.25	
21SEP17	S 1 PD21DEC17	2451.50	2592.29	USD	-3,519.75	
21SEP17	S 1 PD21DEC17	2450.00	2592.29	USD	-3,557.25	
25SEP17	B 2 PD21DEC17	2474.20	2592.29	USD	5,904.50	
03OCT17	B 2 PD21DEC17	2592.49	2592.29	USD	-10.00	
	NET -5 AVG BGHT 2473.29 SOLD 2468.65				**-17,195.75**	**0.00**
22SEP17	B 1 PD22DEC17	2438.00	2592.07	USD	3,851.75	
22SEP17	B 2 PD22DEC17	2435.00	2592.07	USD	7,853.50	
22SEP17	B 1 PD22DEC17	2413.50	2592.07	USD	4,464.25	
22SEP17	S 1 PD22DEC17	2421.00	2592.07	USD	-4,276.75	
22SEP17	S 1 PD22DEC17	2426.00	2592.07	USD	-4,151.75	
22SEP17	B 2 PD22DEC17	2424.50	2592.07	USD	8,378.50	
22SEP17	B 1 PD22DEC17	2426.50	2592.07	USD	4,139.25	
22SEP17	B 1 PD22DEC17	2401.50	2592.07	USD	4,764.25	
22SEP17	S 1 PD22DEC17	2478.00	2592.07	USD	-2,851.75	
22SEP17	B 1 PD22DEC17	2415.50	2592.07	USD	4,414.25	
22SEP17	S 1 PD22DEC17	2423.50	2592.07	USD	-4,214.25	
22SEP17	S 1 PD22DEC17	2425.00	2592.07	USD	-4,176.75	
22SEP17	B 1 PD22DEC17	2426.00	2592.07	USD	4,151.75	
22SEP17	S 1 PD22DEC17	2476.00	2592.07	USD	-2,901.75	
22SEP17	S 1 PD22DEC17	2475.00	2592.07	USD	-2,926.75	
22SEP17	S 1 PD22DEC17	2469.00	2592.07	USD	-3,076.75	
22SEP17	S 1 PD22DEC17	2464.00	2592.07	USD	-3,201.75	
22SEP17	S 1 PD22DEC17	2465.00	2592.07	USD	-3,176.75	
22SEP17	B 4 PD22DEC17	2458.50	2592.07	USD	13,357.00	
22SEP17	B 2 PD22DEC17	2458.00	2592.07	USD	6,703.50	
22SEP17	S 2 PD22DEC17	2458.00	2592.07	USD	-6,703.50	
22SEP17	S 1 PD22DEC17	2470.00	2592.07	USD	-3,051.75	
22SEP17	S 1 PD22DEC17	2474.50	2592.07	USD	-2,939.25	
22SEP17	B 1 PD22DEC17	2488.50	2592.07	USD	2,589.25	
26SEP17	B 1 PD22DEC17	2488.67	2592.07	USD	2,585.00	
	NET +4 AVG BGHT 2442.62 SOLD 2455.93				**19,602.75**	**0.00**
25SEP17	B 1 PD27DEC17	2495.00	2591.86	USD	2,421.50	
25SEP17	S 3 PD27DEC17	2499.00	2591.86	USD	-6,964.50	
25SEP17	S 1 PD27DEC17	2497.00	2591.86	USD	-2,371.50	
25SEP17	S 1 PD27DEC17	2503.50	2591.86	USD	-2,209.00	
25SEP17	B 1 PD27DEC17	2503.00	2591.86	USD	2,221.50	
25SEP17	B 1 PD27DEC17	2502.50	2591.86	USD	2,234.00	
25SEP17	B 2 PD27DEC17	2503.50	2591.86	USD	4,418.00	
25SEP17	S 1 PD27DEC17	2492.00	2591.86	USD	-2,496.50	
25SEP17	B 1 PD27DEC17	2502.50	2591.86	USD	2,234.00	
25SEP17	B 1 PD27DEC17	2479.00	2591.86	USD	2,821.50	
26SEP17	B 1 PD27DEC17	2496.50	2591.86	USD	2,384.00	
26SEP17	S 1 PD27DEC17	2466.50	2591.86	USD	-3,134.00	
26SEP17	B 1 PD27DEC17	2485.00	2591.86	USD	2,671.50	
26SEP17	S 1 PD27DEC17	2484.00	2591.86	USD	-2,696.50	
26SEP17	S 1 PD27DEC17	2487.80	2591.86	USD	-2,601.50	
27SEP17	B 3 PD27DEC17	2464.00	2591.86	USD	9,589.50	
	NET +3 AVG BGHT 2488.54 SOLD 2491.98				**8,522.00**	**0.00**
28SEP17	B 1 PD28DEC17	2488.50	2591.64	USD	2,578.50	
28SEP17	S 4 PD28DEC17	2461.00	2591.64	USD	-13,064.00	
28SEP17	B 2 PD28DEC17	2460.00	2591.64	USD	6,582.00	
28SEP17	B 2 PD28DEC17	2460.50	2591.64	USD	6,557.00	
28SEP17	S 1 PD28DEC17	2458.00	2591.64	USD	-3,341.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
28SEP17	B 1 PD28DEC17	2477.00	2591.64	USD	2,866.00	
28SEP17	S 2 PD28DEC17	2480.50	2591.64	USD	-5,557.00	
29SEP17	B 2 PD28DEC17	2486.20	2591.64	USD	5,272.00	
	NET +1 AVG BGHT 2472.36 SOLD 2466.14				**1,893.50**	**0.00**
29SEP17	S 1 PD29DEC17	2483.50	2591.43	USD	-2,698.25	
29SEP17	B 2 PD29DEC17	2503.50	2591.43	USD	4,396.50	
29SEP17	B 1 PD29DEC17	2503.50	2591.43	USD	2,198.25	
	NET +2 AVG BGHT 2503.50 SOLD 2483.50				**3,896.50**	**0.00**
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
04JUL17	S 1 PD04OCT17	9300.00	10531.23	USD	-7,387.38	
04JUL17	S 1 PD04OCT17	9240.00	10531.23	USD	-7,747.38	
04JUL17	S 1 PD04OCT17	9235.00	10531.23	USD	-7,777.38	
04JUL17	S 1 PD04OCT17	9200.00	10531.23	USD	-7,987.38	
04JUL17	S 3 PD04OCT17	9160.00	10531.23	USD	-24,682.14	
04JUL17	S 3 PD04OCT17	9195.00	10531.23	USD	-24,052.14	
04JUL17	S 2 PD04OCT17	9155.00	10531.23	USD	-16,514.76	
04JUL17	S 1 PD04OCT17	9150.00	10531.23	USD	-8,287.38	
04JUL17	S 4 PD04OCT17	9145.00	10531.23	USD	-33,269.52	
04JUL17	S 1 PD04OCT17	9140.00	10531.23	USD	-8,347.38	
04JUL17	S 2 PD04OCT17	9170.00	10531.23	USD	-16,334.76	
04JUL17	S 2 PD04OCT17	9160.00	10531.23	USD	-16,454.76	
04JUL17	S 2 PD04OCT17	9165.00	10531.23	USD	-16,394.76	
04JUL17	S 1 PD04OCT17	9175.00	10531.23	USD	-8,137.38	
04JUL17	S 2 PD04OCT17	9205.00	10531.23	USD	-15,914.76	
04JUL17	B 2 PD04OCT17	9200.00	10531.23	USD	15,974.76	
04JUL17	B 8 PD04OCT17	9195.00	10531.23	USD	64,139.04	
04JUL17	B 1 PD04OCT17	9185.00	10531.23	USD	8,077.38	
04JUL17	B 1 PD04OCT17	9190.00	10531.23	USD	8,047.38	
04JUL17	B 3 PD04OCT17	9180.00	10531.23	USD	24,322.14	
04JUL17	B 2 PD04OCT17	9175.00	10531.23	USD	16,274.76	
10JUL17	B 2 PD04OCT17	8997.75	10531.23	USD	18,401.76	
12JUL17	S 8 PD04OCT17	9198.93	10531.23	USD	-63,950.40	
14JUL17	B 22 PD04OCT17	9569.45	10531.23	USD	126,954.96	
17JUL17	S 2 PD04OCT17	9591.42	10531.23	USD	-11,277.72	
19JUL17	S 2 PD04OCT17	9642.50	10531.23	USD	-10,664.76	
26JUL17	B 2 PD04OCT17	10035.00	10531.23	USD	5,954.76	
27JUL17	S 2 PD04OCT17	10122.00	10531.23	USD	-4,910.76	
28JUL17	B 1 PD04OCT17	10191.25	10531.23	USD	2,039.88	
28JUL17	S 1 PD04OCT17	10190.25	10531.23	USD	-2,045.88	
28JUL17	S 1 PD04OCT17	10191.25	10531.23	USD	-2,039.88	
08AUG17	S 1 PD04OCT17	10611.75	10531.23	USD	483.12	
	NET 0 AVG BGHT 9432.04 SOLD 9342.99				**-23,508.72**	**0.00**
05JUL17	B 1 PD05OCT17	9170.00	10532.50	USD	8,175.00	
05JUL17	B 2 PD05OCT17	9175.00	10532.50	USD	16,290.00	
05JUL17	B 4 PD05OCT17	9180.00	10532.50	USD	32,460.00	
05JUL17	S 1 PD05OCT17	9170.00	10532.50	USD	-8,175.00	
05JUL17	B 1 PD05OCT17	9165.00	10532.50	USD	8,205.00	
05JUL17	B 1 PD05OCT17	9155.00	10532.50	USD	8,265.00	
05JUL17	B 9 PD05OCT17	9150.00	10532.50	USD	74,655.00	
05JUL17	B 2 PD05OCT17	9145.00	10532.50	USD	16,650.00	
05JUL17	S 5 PD05OCT17	9150.00	10532.50	USD	-41,475.00	
05JUL17	S 1 PD05OCT17	9140.00	10532.50	USD	-8,355.00	
05JUL17	S 1 PD05OCT17	9145.00	10532.50	USD	-8,325.00	
05JUL17	B 4 PD05OCT17	9140.00	10532.50	USD	33,420.00	
05JUL17	B 1 PD05OCT17	9135.00	10532.50	USD	8,385.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
05JUL17	B 1 PD05OCT17	9110.00	10532.50	USD	8,535.00	
05JUL17	B 1 PD05OCT17	9100.00	10532.50	USD	8,595.00	
05JUL17	B 3 PD05OCT17	9115.00	10532.50	USD	25,515.00	
05JUL17	S 1 PD05OCT17	9090.00	10532.50	USD	-8,655.00	
05JUL17	S 7 PD05OCT17	9095.00	10532.50	USD	-60,375.00	
05JUL17	B 4 PD05OCT17	9110.00	10532.50	USD	34,140.00	
05JUL17	B 2 PD05OCT17	9125.00	10532.50	USD	16,890.00	
05JUL17	B 1 PD05OCT17	9120.00	10532.50	USD	8,475.00	
05JUL17	B 4 PD05OCT17	9105.00	10532.50	USD	34,260.00	
05JUL17	S 1 PD05OCT17	9110.00	10532.50	USD	-8,535.00	
05JUL17	B 2 PD05OCT17	9095.00	10532.50	USD	17,250.00	
05JUL17	B 9 PD05OCT17	9090.00	10532.50	USD	77,895.00	
05JUL17	S 5 PD05OCT17	9075.00	10532.50	USD	-43,725.00	
05JUL17	S 2 PD05OCT17	9100.00	10532.50	USD	-17,190.00	
05JUL17	S 1 PD05OCT17	9105.00	10532.50	USD	-8,565.00	
05JUL17	S 2 PD05OCT17	9115.00	10532.50	USD	-17,010.00	
05JUL17	S 5 PD05OCT17	9120.00	10532.50	USD	-42,375.00	
05JUL17	S 4 PD05OCT17	9130.00	10532.50	USD	-33,660.00	
05JUL17	S 9 PD05OCT17	9135.00	10532.50	USD	-75,465.00	
05JUL17	S 3 PD05OCT17	9155.00	10532.50	USD	-24,795.00	
12JUL17	S 2 PD05OCT17	9200.71	10532.50	USD	-15,981.48	
12JUL17	S 1 PD05OCT17	9199.71	10532.50	USD	-7,996.74	
17JUL17	B 1 PD05OCT17	9593.08	10532.50	USD	5,636.52	
19JUL17	S 2 PD05OCT17	9643.18	10532.50	USD	-10,671.84	
28JUL17	B 1 PD05OCT17	10192.84	10532.50	USD	2,037.96	
10AUG17	S 1 PD05OCT17	10961.15	10532.50	USD	2,571.90	
	NET 0 AVG BGHT 9156.78 SOLD 9178.31				**6,976.32**	**0.00**
06JUL17	B 5 PD06OCT17	9160.00	10533.77	USD	41,213.10	
06JUL17	B 4 PD06OCT17	9125.00	10533.77	USD	33,810.48	
06JUL17	B 2 PD06OCT17	9140.00	10533.77	USD	16,725.24	
06JUL17	S 7 PD06OCT17	9125.00	10533.77	USD	-59,168.34	
06JUL17	S 2 PD06OCT17	9130.00	10533.77	USD	-16,845.24	
06JUL17	B 7 PD06OCT17	9130.00	10533.77	USD	58,958.34	
06JUL17	B 9 PD06OCT17	9135.00	10533.77	USD	75,533.58	
06JUL17	B 4 PD06OCT17	9155.00	10533.77	USD	33,090.48	
06JUL17	B 17 PD06OCT17	9120.00	10533.77	USD	144,204.54	
06JUL17	B 1 PD06OCT17	9095.00	10533.77	USD	8,632.62	
06JUL17	B 7 PD06OCT17	9110.00	10533.77	USD	59,798.34	
06JUL17	B 4 PD06OCT17	9105.00	10533.77	USD	34,290.48	
06JUL17	B 5 PD06OCT17	9105.00	10533.77	USD	42,863.10	
06JUL17	B 1 PD06OCT17	9115.00	10533.77	USD	8,512.62	
06JUL17	B 1 PD06OCT17	9120.00	10533.77	USD	8,482.62	
06JUL17	B 1 PD06OCT17	9100.00	10533.77	USD	8,602.62	
06JUL17	B 9 PD06OCT17	9115.00	10533.77	USD	76,613.58	
06JUL17	B 2 PD06OCT17	9100.00	10533.77	USD	17,205.24	
06JUL17	B 1 PD06OCT17	9085.00	10533.77	USD	8,692.62	
06JUL17	B 18 PD06OCT17	9080.00	10533.77	USD	157,007.16	
06JUL17	B 1 PD06OCT17	9090.00	10533.77	USD	8,662.62	
06JUL17	S 6 PD06OCT17	9085.00	10533.77	USD	-52,155.72	
06JUL17	S 3 PD06OCT17	9080.00	10533.77	USD	-26,167.86	
06JUL17	S 1 PD06OCT17	9090.00	10533.77	USD	-8,662.62	
06JUL17	S 3 PD06OCT17	9095.00	10533.77	USD	-25,897.86	
06JUL17	S 1 PD06OCT17	9080.00	10533.77	USD	-8,722.62	
07JUL17	S 1 PD06OCT17	9070.00	10533.77	USD	-8,782.62	
07JUL17	S 3 PD06OCT17	9050.00	10533.77	USD	-26,707.86	
07JUL17	S 1 PD06OCT17	9060.00	10533.77	USD	-8,842.62	
07JUL17	S 2 PD06OCT17	9065.00	10533.77	USD	-17,625.24	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
07JUL17	S 1 PD06OCT17	9035.00	10533.77	USD	-8,992.62	
07JUL17	S 5 PD06OCT17	9030.00	10533.77	USD	-45,113.10	
07JUL17	S 2 PD06OCT17	9040.00	10533.77	USD	-17,925.24	
07JUL17	B 1 PD06OCT17	9030.00	10533.77	USD	9,022.62	
07JUL17	B 1 PD06OCT17	9045.00	10533.77	USD	8,932.62	
07JUL17	S 4 PD06OCT17	9055.00	10533.77	USD	-35,490.48	
07JUL17	B 5 PD06OCT17	9055.00	10533.77	USD	44,363.10	
07JUL17	S 11 PD06OCT17	9025.00	10533.77	USD	-99,578.82	
07JUL17	S 23 PD06OCT17	9020.00	10533.77	USD	-208,900.26	
07JUL17	B 9 PD06OCT17	9010.00	10533.77	USD	82,283.58	
07JUL17	B 9 PD06OCT17	9015.00	10533.77	USD	82,013.58	
07JUL17	B 4 PD06OCT17	9020.00	10533.77	USD	36,330.48	
07JUL17	B 3 PD06OCT17	9025.00	10533.77	USD	27,157.86	
07JUL17	B 4 PD06OCT17	9005.00	10533.77	USD	36,690.48	
07JUL17	B 3 PD06OCT17	9000.00	10533.77	USD	27,607.86	
07JUL17	B 7 PD06OCT17	8995.00	10533.77	USD	64,628.34	
07JUL17	B 5 PD06OCT17	8990.00	10533.77	USD	46,313.10	
07JUL17	B 1 PD06OCT17	8985.00	10533.77	USD	9,292.62	
07JUL17	S 6 PD06OCT17	8920.00	10533.77	USD	-58,095.72	
07JUL17	S 2 PD06OCT17	8925.00	10533.77	USD	-19,305.24	
07JUL17	S 1 PD06OCT17	8945.00	10533.77	USD	-9,532.62	
07JUL17	S 2 PD06OCT17	8950.00	10533.77	USD	-19,005.24	
07JUL17	B 1 PD06OCT17	8950.00	10533.77	USD	9,502.62	
07JUL17	B 1 PD06OCT17	8955.00	10533.77	USD	9,472.62	
07JUL17	S 2 PD06OCT17	8960.00	10533.77	USD	-18,885.24	
07JUL17	S 2 PD06OCT17	8970.00	10533.77	USD	-18,765.24	
07JUL17	B 1 PD06OCT17	8925.00	10533.77	USD	9,652.62	
07JUL17	S 1 PD06OCT17	8970.00	10533.77	USD	-9,382.62	
12JUL17	S 8 PD06OCT17	9201.50	10533.77	USD	-63,948.96	
19JUL17	S 18 PD06OCT17	9643.86	10533.77	USD	-96,110.28	
26JUL17	S 5 PD06OCT17	10035.14	10533.77	USD	-14,958.90	
27JUL17	S 4 PD06OCT17	10123.14	10533.77	USD	-9,855.12	
28JUL17	S 9 PD06OCT17	10192.43	10533.77	USD	-18,432.36	
03AUG17	S 1 PD06OCT17	10363.14	10533.77	USD	-1,023.78	
08AUG17	S 6 PD06OCT17	10612.14	10533.77	USD	2,821.32	
09AUG17	S 2 PD06OCT17	10739.67	10533.77	USD	2,470.80	
10AUG17	S 8 PD06OCT17	10961.94	10533.77	USD	20,552.16	
22AUG17	S 1 PD06OCT17	11386.39	10533.77	USD	5,115.72	
	NET 0 AVG BGHT 9076.88 SOLD 9449.44				**344,243.04**	**0.00**
10JUL17	S 1 PD10OCT17	8975.00	10538.85	USD	-9,383.10	
10JUL17	S 1 PD10OCT17	8940.00	10538.85	USD	-9,593.10	
10JUL17	S 1 PD10OCT17	8950.00	10538.85	USD	-9,533.10	
10JUL17	S 9 PD10OCT17	8930.00	10538.85	USD	-86,877.90	
10JUL17	S 5 PD10OCT17	8945.00	10538.85	USD	-47,815.50	
10JUL17	B 1 PD10OCT17	8930.00	10538.85	USD	9,653.10	
10JUL17	B 2 PD10OCT17	8940.00	10538.85	USD	19,186.20	
10JUL17	B 2 PD10OCT17	8875.00	10538.85	USD	19,966.20	
10JUL17	S 1 PD10OCT17	8910.00	10538.85	USD	-9,773.10	
10JUL17	S 1 PD10OCT17	8915.00	10538.85	USD	-9,743.10	
10JUL17	B 3 PD10OCT17	8915.00	10538.85	USD	29,229.30	
10JUL17	B 12 PD10OCT17	8905.00	10538.85	USD	117,637.20	
10JUL17	B 1 PD10OCT17	8900.00	10538.85	USD	9,833.10	
10JUL17	B 3 PD10OCT17	8895.00	10538.85	USD	29,589.30	
10JUL17	S 2 PD10OCT17	8925.00	10538.85	USD	-19,366.20	
10JUL17	B 7 PD10OCT17	8910.00	10538.85	USD	68,411.70	
10JUL17	B 4 PD10OCT17	8920.00	10538.85	USD	38,852.40	
10JUL17	S 2 PD10OCT17	8955.00	10538.85	USD	-19,006.20	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
10JUL17	S 10 PD10OCT17	8970.00	10538.85	USD	-94,131.00	
10JUL17	B 1 PD10OCT17	8950.00	10538.85	USD	9,533.10	
10JUL17	B 1 PD10OCT17	8955.00	10538.85	USD	9,503.10	
10JUL17	S 1 PD10OCT17	8980.00	10538.85	USD	-9,353.10	
10JUL17	S 9 PD10OCT17	8990.00	10538.85	USD	-83,637.90	
10JUL17	B 3 PD10OCT17	8995.00	10538.85	USD	27,789.30	
10JUL17	B 1 PD10OCT17	9000.00	10538.85	USD	9,233.10	
10JUL17	S 2 PD10OCT17	9010.00	10538.85	USD	-18,346.20	
10JUL17	S 6 PD10OCT17	9005.00	10538.85	USD	-55,218.60	
10JUL17	S 4 PD10OCT17	8995.00	10538.85	USD	-37,052.40	
10JUL17	S 4 PD10OCT17	9000.00	10538.85	USD	-36,932.40	
10JUL17	S 1 PD10OCT17	8990.00	10538.85	USD	-9,293.10	
12JUL17	B 10 PD10OCT17	9204.90	10538.85	USD	80,037.00	
14JUL17	B 5 PD10OCT17	9573.82	10538.85	USD	28,950.90	
17JUL17	B 1 PD10OCT17	9596.38	10538.85	USD	5,654.82	
19JUL17	S 2 PD10OCT17	9646.57	10538.85	USD	-10,707.36	
26JUL17	B 1 PD10OCT17	10038.43	10538.85	USD	3,002.52	
28JUL17	B 2 PD10OCT17	10195.79	10538.85	USD	4,116.72	
28JUL17	B 1 PD10OCT17	10194.79	10538.85	USD	2,064.36	
08AUG17	B 1 PD10OCT17	10616.93	10538.85	USD	-468.48	
	NET 0 AVG BGHT 9136.23 SOLD 8991.10				**-53,988.42**	**0.00**
11JUL17	S 1 PD11OCT17	8995.00	10540.12	USD	-9,270.72	
11JUL17	B 2 PD11OCT17	8995.00	10540.12	USD	18,541.44	
11JUL17	S 1 PD11OCT17	9020.00	10540.12	USD	-9,120.72	
11JUL17	B 1 PD11OCT17	9020.00	10540.12	USD	9,120.72	
11JUL17	B 3 PD11OCT17	9015.00	10540.12	USD	27,452.16	
11JUL17	B 8 PD11OCT17	9020.00	10540.12	USD	72,965.76	
11JUL17	S 2 PD11OCT17	9020.00	10540.12	USD	-18,241.44	
11JUL17	S 1 PD11OCT17	9025.00	10540.12	USD	-9,090.72	
11JUL17	B 10 PD11OCT17	9025.00	10540.12	USD	90,907.20	
11JUL17	B 4 PD11OCT17	9035.00	10540.12	USD	36,122.88	
11JUL17	S 1 PD11OCT17	9085.00	10540.12	USD	-8,730.72	
11JUL17	S 1 PD11OCT17	9090.00	10540.12	USD	-8,700.72	
11JUL17	B 4 PD11OCT17	9095.00	10540.12	USD	34,682.88	
11JUL17	S 1 PD11OCT17	9095.00	10540.12	USD	-8,670.72	
11JUL17	B 2 PD11OCT17	9085.00	10540.12	USD	17,461.44	
11JUL17	B 1 PD11OCT17	9180.00	10540.12	USD	8,160.72	
11JUL17	S 9 PD11OCT17	9165.00	10540.12	USD	-74,256.48	
11JUL17	B 6 PD11OCT17	9155.00	10540.12	USD	49,864.32	
11JUL17	B 10 PD11OCT17	9140.00	10540.12	USD	84,007.20	
11JUL17	B 2 PD11OCT17	9150.00	10540.12	USD	16,681.44	
11JUL17	B 1 PD11OCT17	9145.00	10540.12	USD	8,370.72	
11JUL17	S 7 PD11OCT17	9170.00	10540.12	USD	-57,545.04	
11JUL17	B 2 PD11OCT17	9175.00	10540.12	USD	16,381.44	
11JUL17	S 5 PD11OCT17	9175.00	10540.12	USD	-40,953.60	
11JUL17	B 2 PD11OCT17	9185.00	10540.12	USD	16,261.44	
11JUL17	S 5 PD11OCT17	9205.00	10540.12	USD	-40,053.60	
11JUL17	B 1 PD11OCT17	9200.00	10540.12	USD	8,040.72	
11JUL17	S 4 PD11OCT17	9190.00	10540.12	USD	-32,402.88	
11JUL17	S 4 PD11OCT17	9180.00	10540.12	USD	-32,642.88	
11JUL17	B 1 PD11OCT17	9170.00	10540.12	USD	8,220.72	
11JUL17	B 2 PD11OCT17	9160.00	10540.12	USD	16,561.44	
11JUL17	B 2 PD11OCT17	9135.00	10540.12	USD	16,861.44	
19JUL17	S 14 PD11OCT17	9647.25	10540.12	USD	-75,001.08	
27JUL17	S 1 PD11OCT17	10126.00	10540.12	USD	-2,484.72	
28JUL17	S 1 PD11OCT17	10195.38	10540.12	USD	-2,068.44	
28JUL17	S 1 PD11OCT17	10194.38	10540.12	USD	-2,074.44	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
03AUG17	S 2 PD11OCT17	10366.63	10540.12	USD	-2,081.88	
10AUG17	S 1 PD11OCT17	10965.93	10540.12	USD	2,554.86	
17AUG17	S 2 PD11OCT17	10702.80	10540.12	USD	1,952.16	
	NET 0 AVG BGHT 9090.47 SOLD 9423.24				**127,782.30**	**0.00**
12JUL17	B 5 PD12OCT17	9195.00	10541.38	USD	40,391.40	
12JUL17	B 4 PD12OCT17	9175.00	10541.38	USD	32,793.12	
12JUL17	B 1 PD12OCT17	9205.00	10541.38	USD	8,018.28	
12JUL17	B 10 PD12OCT17	9215.00	10541.38	USD	79,582.80	
12JUL17	B 6 PD12OCT17	9245.00	10541.38	USD	46,669.68	
12JUL17	B 4 PD12OCT17	9185.00	10541.38	USD	32,553.12	
12JUL17	S 1 PD12OCT17	9180.00	10541.38	USD	-8,168.28	
12JUL17	B 4 PD12OCT17	9190.00	10541.38	USD	32,433.12	
12JUL17	S 1 PD12OCT17	9175.00	10541.38	USD	-8,198.28	
12JUL17	S 1 PD12OCT17	9205.00	10541.38	USD	-8,018.28	
12JUL17	B 1 PD12OCT17	9180.00	10541.38	USD	8,168.28	
12JUL17	B 1 PD12OCT17	9190.00	10541.38	USD	8,108.28	
12JUL17	B 1 PD12OCT17	9225.00	10541.38	USD	7,898.28	
12JUL17	S 1 PD12OCT17	9225.00	10541.38	USD	-7,898.28	
12JUL17	B 6 PD12OCT17	9275.00	10541.38	USD	45,589.68	
12JUL17	B 5 PD12OCT17	9280.00	10541.38	USD	37,841.40	
12JUL17	S 2 PD12OCT17	9265.00	10541.38	USD	-15,316.56	
12JUL17	S 3 PD12OCT17	9260.00	10541.38	USD	-23,064.84	
12JUL17	S 1 PD12OCT17	9255.00	10541.38	USD	-7,718.28	
12JUL17	S 1 PD12OCT17	9250.00	10541.38	USD	-7,748.28	
12JUL17	S 3 PD12OCT17	9240.00	10541.38	USD	-23,424.84	
12JUL17	S 2 PD12OCT17	9245.00	10541.38	USD	-15,556.56	
12JUL17	S 2 PD12OCT17	9235.00	10541.38	USD	-15,676.56	
12JUL17	S 2 PD12OCT17	9230.00	10541.38	USD	-15,736.56	
12JUL17	B 7 PD12OCT17	9230.00	10541.38	USD	55,077.96	
12JUL17	B 4 PD12OCT17	9240.00	10541.38	USD	31,233.12	
12JUL17	B 9 PD12OCT17	9225.00	10541.38	USD	71,084.52	
12JUL17	B 4 PD12OCT17	9220.00	10541.38	USD	31,713.12	
12JUL17	B 9 PD12OCT17	9235.00	10541.38	USD	70,544.52	
12JUL17	S 5 PD12OCT17	9225.00	10541.38	USD	-39,491.40	
12JUL17	S 12 PD12OCT17	9215.00	10541.38	USD	-95,499.36	
12JUL17	S 5 PD12OCT17	9220.00	10541.38	USD	-39,641.40	
12JUL17	S 3 PD12OCT17	9210.00	10541.38	USD	-23,964.84	
12JUL17	B 7 PD12OCT17	9210.00	10541.38	USD	55,917.96	
12JUL17	B 2 PD12OCT17	9206.00	10541.38	USD	16,024.56	
12JUL17	S 2 PD12OCT17	9200.00	10541.38	USD	-16,096.56	
19JUL17	S 7 PD12OCT17	9647.93	10541.38	USD	-37,524.90	
27JUL17	S 11 PD12OCT17	10126.57	10541.38	USD	-27,377.46	
28JUL17	S 1 PD12OCT17	10194.96	10541.38	USD	-2,078.52	
28JUL17	S 5 PD12OCT17	10195.96	10541.38	USD	-10,362.60	
03AUG17	S 8 PD12OCT17	10367.32	10541.38	USD	-8,354.88	
10AUG17	S 9 PD12OCT17	10966.72	10541.38	USD	22,968.36	
17AUG17	S 2 PD12OCT17	10703.61	10541.38	USD	1,946.76	
	NET 0 AVG BGHT 9223.52 SOLD 9741.38				**279,640.80**	**0.00**
13JUL17	S 2 PD13OCT17	9205.00	10542.65	USD	-16,051.80	
13JUL17	B 1 PD13OCT17	9255.00	10542.65	USD	7,725.90	
13JUL17	B 2 PD13OCT17	9270.00	10542.65	USD	15,271.80	
13JUL17	S 1 PD13OCT17	9265.00	10542.65	USD	-7,665.90	
13JUL17	B 1 PD13OCT17	9240.00	10542.65	USD	7,815.90	
13JUL17	S 1 PD13OCT17	9265.00	10542.65	USD	-7,665.90	
13JUL17	B 4 PD13OCT17	9230.00	10542.65	USD	31,503.60	
13JUL17	B 3 PD13OCT17	9235.00	10542.65	USD	23,537.70	
13JUL17	S 5 PD13OCT17	9245.00	10542.65	USD	-38,929.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
13JUL17	S 1 PD13OCT17	9240.00	10542.65	USD	-7,815.90	
13JUL17	S 1 PD13OCT17	9260.00	10542.65	USD	-7,695.90	
13JUL17	S 1 PD13OCT17	9230.00	10542.65	USD	-7,875.90	
13JUL17	S 3 PD13OCT17	9220.00	10542.65	USD	-23,807.70	
13JUL17	S 1 PD13OCT17	9210.00	10542.65	USD	-7,995.90	
13JUL17	S 7 PD13OCT17	9215.00	10542.65	USD	-55,761.30	
13JUL17	B 1 PD13OCT17	9200.00	10542.65	USD	8,055.90	
13JUL17	S 5 PD13OCT17	9160.00	10542.65	USD	-41,479.50	
13JUL17	S 2 PD13OCT17	9200.00	10542.65	USD	-16,111.80	
13JUL17	B 1 PD13OCT17	9220.00	10542.65	USD	7,935.90	
13JUL17	B 2 PD13OCT17	9215.00	10542.65	USD	15,931.80	
13JUL17	B 3 PD13OCT17	9205.00	10542.65	USD	24,077.70	
13JUL17	B 1 PD13OCT17	9210.00	10542.65	USD	7,995.90	
13JUL17	S 4 PD13OCT17	9225.00	10542.65	USD	-31,623.60	
13JUL17	B 2 PD13OCT17	9195.00	10542.65	USD	16,171.80	
13JUL17	S 1 PD13OCT17	9180.00	10542.65	USD	-8,175.90	
13JUL17	S 1 PD13OCT17	9195.00	10542.65	USD	-8,085.90	
13JUL17	S 2 PD13OCT17	9185.00	10542.65	USD	-16,291.80	
13JUL17	B 3 PD13OCT17	9185.00	10542.65	USD	24,437.70	
13JUL17	B 2 PD13OCT17	9211.00	10542.65	USD	15,979.80	
14JUL17	B 2 PD13OCT17	9250.00	10542.65	USD	15,511.80	
14JUL17	S 1 PD13OCT17	9280.00	10542.65	USD	-7,575.90	
14JUL17	B 3 PD13OCT17	9280.00	10542.65	USD	22,727.70	
14JUL17	S 1 PD13OCT17	9290.00	10542.65	USD	-7,515.90	
14JUL17	S 7 PD13OCT17	9295.00	10542.65	USD	-52,401.30	
14JUL17	B 2 PD13OCT17	9325.00	10542.65	USD	14,611.80	
14JUL17	B 1 PD13OCT17	9305.00	10542.65	USD	7,425.90	
14JUL17	S 2 PD13OCT17	9310.00	10542.65	USD	-14,791.80	
14JUL17	S 3 PD13OCT17	9305.00	10542.65	USD	-22,277.70	
14JUL17	B 7 PD13OCT17	9295.00	10542.65	USD	52,401.30	
14JUL17	B 4 PD13OCT17	9290.00	10542.65	USD	30,063.60	
14JUL17	S 1 PD13OCT17	9400.00	10542.65	USD	-6,855.90	
14JUL17	S 2 PD13OCT17	9405.00	10542.65	USD	-13,651.80	
14JUL17	B 1 PD13OCT17	9395.00	10542.65	USD	6,885.90	
14JUL17	B 1 PD13OCT17	9315.00	10542.65	USD	7,365.90	
14JUL17	S 1 PD13OCT17	9375.00	10542.65	USD	-7,005.90	
14JUL17	B 2 PD13OCT17	9375.00	10542.65	USD	14,011.80	
14JUL17	S 2 PD13OCT17	9365.00	10542.65	USD	-14,131.80	
14JUL17	B 4 PD13OCT17	9370.00	10542.65	USD	28,143.60	
14JUL17	B 1 PD13OCT17	9360.00	10542.65	USD	7,095.90	
14JUL17	B 1 PD13OCT17	9380.00	10542.65	USD	6,975.90	
14JUL17	S 3 PD13OCT17	9385.00	10542.65	USD	-20,837.70	
14JUL17	S 6 PD13OCT17	9390.00	10542.65	USD	-41,495.40	
14JUL17	S 3 PD13OCT17	9395.00	10542.65	USD	-20,657.70	
14JUL17	S 1 PD13OCT17	9380.00	10542.65	USD	-6,975.90	
14JUL17	S 2 PD13OCT17	9420.00	10542.65	USD	-13,471.80	
14JUL17	S 1 PD13OCT17	9435.00	10542.65	USD	-6,645.90	
14JUL17	B 1 PD13OCT17	9450.00	10542.65	USD	6,555.90	
14JUL17	B 5 PD13OCT17	9445.00	10542.65	USD	32,929.50	
14JUL17	S 1 PD13OCT17	9450.00	10542.65	USD	-6,555.90	
14JUL17	B 1 PD13OCT17	9460.00	10542.65	USD	6,495.90	
14JUL17	S 1 PD13OCT17	9485.00	10542.65	USD	-6,345.90	
14JUL17	B 1 PD13OCT17	9370.00	10542.65	USD	7,035.90	
14JUL17	S 1 PD13OCT17	9480.00	10542.65	USD	-6,375.90	
14JUL17	S 2 PD13OCT17	9490.00	10542.65	USD	-12,631.80	
14JUL17	S 1 PD13OCT17	9505.00	10542.65	USD	-6,225.90	
14JUL17	S 1 PD13OCT17	9535.00	10542.65	USD	-6,045.90	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
14JUL17	B 2 PD13OCT17	9535.00	10542.65	USD	12,091.80	
14JUL17	S 2 PD13OCT17	9550.00	10542.65	USD	-11,911.80	
14JUL17	S 1 PD13OCT17	9565.00	10542.65	USD	-5,865.90	
14JUL17	S 10 PD13OCT17	9560.00	10542.65	USD	-58,959.00	
14JUL17	B 7 PD13OCT17	9576.00	10542.65	USD	40,599.30	
14JUL17	S 1 PD13OCT17	9560.00	10542.65	USD	-5,895.90	
14JUL17	B 1 PD13OCT17	9555.00	10542.65	USD	5,925.90	
14JUL17	B 1 PD13OCT17	9570.00	10542.65	USD	5,835.90	
14JUL17	B 1 PD13OCT17	9565.00	10542.65	USD	5,865.90	
19JUL17	B 23 PD13OCT17	9649.61	10542.65	USD	123,239.52	
26JUL17	B 5 PD13OCT17	10040.14	10542.65	USD	15,075.30	
03AUG17	S 2 PD13OCT17	10368.02	10542.65	USD	-2,095.56	
10AUG17	S 6 PD13OCT17	10967.52	10542.65	USD	15,295.32	
	NET 0 AVG BGHT 9440.20 SOLD 9453.75				**8,372.58**	**0.00**
17JUL17	B 1 PD17OCT17	9530.00	10547.73	USD	6,106.38	
17JUL17	B 1 PD17OCT17	9555.00	10547.73	USD	5,956.38	
17JUL17	B 1 PD17OCT17	9545.00	10547.73	USD	6,016.38	
17JUL17	S 6 PD17OCT17	9595.00	10547.73	USD	-34,298.28	
17JUL17	S 2 PD17OCT17	9565.00	10547.73	USD	-11,792.76	
17JUL17	B 2 PD17OCT17	9580.00	10547.73	USD	11,612.76	
17JUL17	B 1 PD17OCT17	9525.00	10547.73	USD	6,136.38	
17JUL17	B 2 PD17OCT17	9590.00	10547.73	USD	11,492.76	
17JUL17	S 8 PD17OCT17	9585.00	10547.73	USD	-46,211.04	
17JUL17	S 5 PD17OCT17	9580.00	10547.73	USD	-29,031.90	
17JUL17	B 10 PD17OCT17	9595.00	10547.73	USD	57,163.80	
17JUL17	B 1 PD17OCT17	9560.00	10547.73	USD	5,926.38	
17JUL17	S 1 PD17OCT17	9550.00	10547.73	USD	-5,986.38	
17JUL17	B 1 PD17OCT17	9550.00	10547.73	USD	5,986.38	
17JUL17	S 7 PD17OCT17	9560.00	10547.73	USD	-41,484.66	
17JUL17	S 1 PD17OCT17	9555.00	10547.73	USD	-5,956.38	
17JUL17	B 2 PD17OCT17	9570.00	10547.73	USD	11,732.76	
17JUL17	S 9 PD17OCT17	9570.00	10547.73	USD	-52,797.42	
17JUL17	B 3 PD17OCT17	9615.00	10547.73	USD	16,789.14	
17JUL17	B 4 PD17OCT17	9585.00	10547.73	USD	23,105.52	
17JUL17	S 17 PD17OCT17	9600.00	10547.73	USD	-96,668.46	
17JUL17	S 19 PD17OCT17	9605.00	10547.73	USD	-107,471.22	
17JUL17	B 2 PD17OCT17	9615.00	10547.73	USD	11,192.76	
17JUL17	B 1 PD17OCT17	9655.00	10547.73	USD	5,356.38	
17JUL17	B 1 PD17OCT17	9685.00	10547.73	USD	5,176.38	
17JUL17	B 1 PD17OCT17	9695.00	10547.73	USD	5,116.38	
17JUL17	B 1 PD17OCT17	9690.00	10547.73	USD	5,146.38	
17JUL17	B 1 PD17OCT17	9675.00	10547.73	USD	5,236.38	
17JUL17	B 5 PD17OCT17	9610.00	10547.73	USD	28,131.90	
17JUL17	S 9 PD17OCT17	9620.00	10547.73	USD	-50,097.42	
17JUL17	B 3 PD17OCT17	9620.00	10547.73	USD	16,699.14	
17JUL17	S 4 PD17OCT17	9625.00	10547.73	USD	-22,145.52	
17JUL17	S 8 PD17OCT17	9615.00	10547.73	USD	-44,771.04	
17JUL17	S 8 PD17OCT17	9610.00	10547.73	USD	-45,011.04	
17JUL17	B 1 PD17OCT17	9625.00	10547.73	USD	5,536.38	
17JUL17	B 2 PD17OCT17	9601.00	10547.73	USD	11,360.76	
17JUL17	S 1 PD17OCT17	9615.00	10547.73	USD	-5,596.38	
19JUL17	B 1 PD17OCT17	9651.32	10547.73	USD	5,378.46	
19JUL17	B 54 PD17OCT17	9652.32	10547.73	USD	290,112.84	
27JUL17	S 1 PD17OCT17	10129.43	10547.73	USD	-2,509.80	
28JUL17	S 1 PD17OCT17	10197.91	10547.73	USD	-2,098.92	
03AUG17	B 3 PD17OCT17	10371.80	10547.73	USD	3,166.74	
15AUG17	B 2 PD17OCT17	10332.72	10547.73	USD	2,580.12	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
	NET	**0 AVG BGHT 9662.66**	**SOLD 9607.03**				**-35,712.60**	**0.00**
18JUL17	B	1	PD18OCT17	9635.00	10549.00	USD	5,484.00	
18JUL17	B	4	PD18OCT17	9640.00	10549.00	USD	21,816.00	
18JUL17	B	2	PD18OCT17	9630.00	10549.00	USD	11,028.00	
18JUL17	B	1	PD18OCT17	9660.00	10549.00	USD	5,334.00	
18JUL17	S	2	PD18OCT17	9590.00	10549.00	USD	-11,508.00	
18JUL17	S	1	PD18OCT17	9640.00	10549.00	USD	-5,454.00	
18JUL17	B	2	PD18OCT17	9670.00	10549.00	USD	10,548.00	
18JUL17	B	1	PD18OCT17	9680.00	10549.00	USD	5,214.00	
18JUL17	B	1	PD18OCT17	9675.00	10549.00	USD	5,244.00	
18JUL17	S	1	PD18OCT17	9740.00	10549.00	USD	-4,854.00	
18JUL17	S	1	PD18OCT17	9770.00	10549.00	USD	-4,674.00	
18JUL17	S	3	PD18OCT17	9765.00	10549.00	USD	-14,112.00	
18JUL17	S	3	PD18OCT17	9715.00	10549.00	USD	-15,012.00	
18JUL17	S	1	PD18OCT17	9730.00	10549.00	USD	-4,914.00	
18JUL17	B	2	PD18OCT17	9781.00	10549.00	USD	9,216.00	
19JUL17	S	1	PD18OCT17	9651.00	10549.00	USD	-5,388.00	
28JUL17	S	2	PD18OCT17	10199.50	10549.00	USD	-4,194.00	
28JUL17	B	3	PD18OCT17	10200.50	10549.00	USD	6,273.00	
03AUG17	S	5	PD18OCT17	10371.50	10549.00	USD	-5,325.00	
10AUG17	B	3	PD18OCT17	10972.50	10549.00	USD	-7,623.00	
	NET	**0 AVG BGHT 9944.55**	**SOLD 9920.38**				**-2,901.00**	**0.00**
19JUL17	S	1	PD19OCT17	9710.00	10549.96	USD	-5,039.76	
19JUL17	S	4	PD19OCT17	9695.00	10549.96	USD	-20,519.04	
19JUL17	S	1	PD19OCT17	9705.00	10549.96	USD	-5,069.76	
19JUL17	S	1	PD19OCT17	9720.00	10549.96	USD	-4,979.76	
19JUL17	S	3	PD19OCT17	9715.00	10549.96	USD	-15,029.28	
19JUL17	S	1	PD19OCT17	9765.00	10549.96	USD	-4,709.76	
19JUL17	S	2	PD19OCT17	9770.00	10549.96	USD	-9,359.52	
19JUL17	S	1	PD19OCT17	9775.00	10549.96	USD	-4,649.76	
19JUL17	B	1	PD19OCT17	9765.00	10549.96	USD	4,709.76	
19JUL17	B	1	PD19OCT17	9735.00	10549.96	USD	4,889.76	
19JUL17	S	3	PD19OCT17	9735.00	10549.96	USD	-14,669.28	
19JUL17	B	1	PD19OCT17	9710.00	10549.96	USD	5,039.76	
19JUL17	S	2	PD19OCT17	9690.00	10549.96	USD	-10,319.52	
19JUL17	S	1	PD19OCT17	9700.00	10549.96	USD	-5,099.76	
19JUL17	B	1	PD19OCT17	9720.00	10549.96	USD	4,979.76	
19JUL17	B	3	PD19OCT17	9730.00	10549.96	USD	14,759.28	
19JUL17	S	6	PD19OCT17	9680.00	10549.96	USD	-31,318.56	
19JUL17	B	1	PD19OCT17	9670.00	10549.96	USD	5,279.76	
19JUL17	S	1	PD19OCT17	9670.00	10549.96	USD	-5,279.76	
19JUL17	S	3	PD19OCT17	9655.00	10549.96	USD	-16,109.28	
19JUL17	S	2	PD19OCT17	9650.00	10549.96	USD	-10,799.52	
19JUL17	S	1	PD19OCT17	9650.00	10549.96	USD	-5,399.76	
19JUL17	S	1	PD19OCT17	9655.00	10549.96	USD	-5,369.76	
27JUL17	S	4	PD19OCT17	10130.00	10549.96	USD	-10,079.04	
27JUL17	B	9	PD19OCT17	10131.00	10549.96	USD	22,623.84	
28JUL17	B	4	PD19OCT17	10200.56	10549.96	USD	8,385.60	
03AUG17	B	5	PD19OCT17	10373.28	10549.96	USD	5,300.40	
10AUG17	B	12	PD19OCT17	10973.09	10549.96	USD	-30,465.36	
	NET	**0 AVG BGHT 10350.39**	**SOLD 9743.82**				**-138,298.32**	**0.00**
20JUL17	B	1	PD20OCT17	9665.00	10550.93	USD	5,315.58	
20JUL17	B	1	PD20OCT17	9600.00	10550.93	USD	5,705.58	
20JUL17	S	1	PD20OCT17	9665.00	10550.93	USD	-5,315.58	
20JUL17	S	1	PD20OCT17	9610.00	10550.93	USD	-5,645.58	
20JUL17	S	3	PD20OCT17	9625.00	10550.93	USD	-16,666.74	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
20JUL17	B 1 PD20OCT17	9595.00	10550.93	USD	5,735.58	
20JUL17	S 2 PD20OCT17	9560.00	10550.93	USD	-11,891.16	
20JUL17	B 1 PD20OCT17	9565.00	10550.93	USD	5,915.58	
20JUL17	B 2 PD20OCT17	9580.00	10550.93	USD	11,651.16	
20JUL17	S 4 PD20OCT17	9570.00	10550.93	USD	-23,542.32	
20JUL17	B 3 PD20OCT17	9570.00	10550.93	USD	17,656.74	
20JUL17	B 1 PD20OCT17	9575.00	10550.93	USD	5,855.58	
20JUL17	S 2 PD20OCT17	9555.00	10550.93	USD	-11,951.16	
20JUL17	S 1 PD20OCT17	9530.00	10550.93	USD	-6,125.58	
20JUL17	S 2 PD20OCT17	9520.00	10550.93	USD	-12,371.16	
20JUL17	S 5 PD20OCT17	9515.00	10550.93	USD	-31,077.90	
20JUL17	S 1 PD20OCT17	9525.00	10550.93	USD	-6,155.58	
20JUL17	B 2 PD20OCT17	9525.00	10550.93	USD	12,311.16	
20JUL17	B 2 PD20OCT17	9520.00	10550.93	USD	12,371.16	
20JUL17	B 1 PD20OCT17	9480.00	10550.93	USD	6,425.58	
20JUL17	B 3 PD20OCT17	9470.00	10550.93	USD	19,456.74	
20JUL17	S 2 PD20OCT17	9500.00	10550.93	USD	-12,611.16	
20JUL17	B 1 PD20OCT17	9500.00	10550.93	USD	6,305.58	
20JUL17	B 1 PD20OCT17	9495.00	10550.93	USD	6,335.58	
20JUL17	S 2 PD20OCT17	9495.00	10550.93	USD	-12,671.16	
20JUL17	B 1 PD20OCT17	9505.00	10550.93	USD	6,275.58	
20JUL17	B 1 PD20OCT17	9510.00	10550.93	USD	6,245.58	
20JUL17	B 2 PD20OCT17	9490.00	10550.93	USD	12,731.16	
21JUL17	S 1 PD20OCT17	9500.00	10550.93	USD	-6,305.58	
21JUL17	S 1 PD20OCT17	9510.00	10550.93	USD	-6,245.58	
21JUL17	B 1 PD20OCT17	9555.00	10550.93	USD	5,975.58	
21JUL17	B 4 PD20OCT17	9560.00	10550.93	USD	23,782.32	
21JUL17	S 2 PD20OCT17	9585.00	10550.93	USD	-11,591.16	
21JUL17	B 1 PD20OCT17	9585.00	10550.93	USD	5,795.58	
21JUL17	S 1 PD20OCT17	9590.00	10550.93	USD	-5,765.58	
21JUL17	S 1 PD20OCT17	9570.00	10550.93	USD	-5,885.58	
21JUL17	S 3 PD20OCT17	9575.00	10550.93	USD	-17,566.74	
21JUL17	B 1 PD20OCT17	9610.00	10550.93	USD	5,645.58	
21JUL17	S 1 PD20OCT17	9525.00	10550.93	USD	-6,155.58	
21JUL17	S 1 PD20OCT17	9530.00	10550.93	USD	-6,125.58	
21JUL17	B 1 PD20OCT17	9560.00	10550.93	USD	5,945.58	
21JUL17	S 2 PD20OCT17	9620.00	10550.93	USD	-11,171.16	
21JUL17	B 4 PD20OCT17	9615.00	10550.93	USD	22,462.32	
21JUL17	S 3 PD20OCT17	9615.00	10550.93	USD	-16,846.74	
21JUL17	B 1 PD20OCT17	9625.00	10550.93	USD	5,555.58	
21JUL17	B 1 PD20OCT17	9620.00	10550.93	USD	5,585.58	
21JUL17	S 1 PD20OCT17	9655.00	10550.93	USD	-5,375.58	
21JUL17	S 1 PD20OCT17	9675.00	10550.93	USD	-5,255.58	
21JUL17	B 2 PD20OCT17	9660.00	10550.93	USD	10,691.16	
21JUL17	S 2 PD20OCT17	9625.00	10550.93	USD	-11,111.16	
21JUL17	S 4 PD20OCT17	9610.00	10550.93	USD	-22,582.32	
21JUL17	S 1 PD20OCT17	9605.00	10550.93	USD	-5,675.58	
21JUL17	S 2 PD20OCT17	9565.00	10550.93	USD	-11,831.16	
21JUL17	B 4 PD20OCT17	9565.00	10550.93	USD	23,662.32	
21JUL17	S 3 PD20OCT17	9555.00	10550.93	USD	-17,926.74	
21JUL17	B 3 PD20OCT17	9535.00	10550.93	USD	18,286.74	
21JUL17	S 5 PD20OCT17	9545.00	10550.93	USD	-30,177.90	
21JUL17	S 1 PD20OCT17	9530.00	10550.93	USD	-6,125.58	
21JUL17	S 1 PD20OCT17	9515.00	10550.93	USD	-6,215.58	
21JUL17	S 3 PD20OCT17	9525.00	10550.93	USD	-18,466.74	
21JUL17	B 7 PD20OCT17	9525.00	10550.93	USD	43,089.06	
21JUL17	B 1 PD20OCT17	9520.00	10550.93	USD	6,185.58	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
21JUL17	S 1 PD20OCT17	9540.00	10550.93	USD	-6,065.58	
27JUL17	B 8 PD20OCT17	10131.00	10550.93	USD	20,156.64	
27JUL17	S 6 PD20OCT17	10130.00	10550.93	USD	-15,153.48	
28JUL17	S 2 PD20OCT17	10199.61	10550.93	USD	-4,215.84	
28JUL17	B 3 PD20OCT17	10200.61	10550.93	USD	6,305.76	
03AUG17	B 2 PD20OCT17	10374.06	10550.93	USD	2,122.44	
10AUG17	B 6 PD20OCT17	10973.67	10550.93	USD	-15,218.64	
15AUG17	B 1 PD20OCT17	10334.75	10550.93	USD	1,297.08	
	NET 0 AVG BGHT 9787.33 SOLD 9626.79				**-72,243.00**	**0.00**
24JUL17	B 2 PD24OCT17	9600.00	10554.79	USD	11,457.48	
24JUL17	B 1 PD24OCT17	9555.00	10554.79	USD	5,998.74	
24JUL17	S 1 PD24OCT17	9595.00	10554.79	USD	-5,758.74	
24JUL17	B 2 PD24OCT17	9635.00	10554.79	USD	11,037.48	
24JUL17	S 3 PD24OCT17	9615.00	10554.79	USD	-16,916.22	
24JUL17	S 4 PD24OCT17	9620.00	10554.79	USD	-22,434.96	
24JUL17	B 2 PD24OCT17	9630.00	10554.79	USD	11,097.48	
24JUL17	B 1 PD24OCT17	9615.00	10554.79	USD	5,638.74	
24JUL17	B 5 PD24OCT17	9620.00	10554.79	USD	28,043.70	
24JUL17	B 5 PD24OCT17	9615.00	10554.79	USD	28,193.70	
24JUL17	B 2 PD24OCT17	9610.00	10554.79	USD	11,337.48	
24JUL17	B 6 PD24OCT17	9625.00	10554.79	USD	33,472.44	
24JUL17	S 7 PD24OCT17	9625.00	10554.79	USD	-39,051.18	
24JUL17	S 3 PD24OCT17	9630.00	10554.79	USD	-16,646.22	
24JUL17	S 4 PD24OCT17	9635.00	10554.79	USD	-22,074.96	
24JUL17	B 6 PD24OCT17	9640.00	10554.79	USD	32,932.44	
24JUL17	B 2 PD24OCT17	9645.00	10554.79	USD	10,917.48	
24JUL17	B 3 PD24OCT17	9650.00	10554.79	USD	16,286.22	
24JUL17	S 1 PD24OCT17	9660.00	10554.79	USD	-5,368.74	
24JUL17	B 7 PD24OCT17	9665.00	10554.79	USD	37,371.18	
24JUL17	B 2 PD24OCT17	9655.00	10554.79	USD	10,797.48	
24JUL17	B 2 PD24OCT17	9680.00	10554.79	USD	10,497.48	
24JUL17	B 2 PD24OCT17	9670.00	10554.79	USD	10,617.48	
24JUL17	B 2 PD24OCT17	9660.00	10554.79	USD	10,737.48	
24JUL17	S 1 PD24OCT17	9710.00	10554.79	USD	-5,068.74	
24JUL17	S 4 PD24OCT17	9720.00	10554.79	USD	-20,034.96	
24JUL17	S 1 PD24OCT17	9715.00	10554.79	USD	-5,038.74	
24JUL17	S 1 PD24OCT17	9630.00	10554.79	USD	-5,548.74	
24JUL17	S 1 PD24OCT17	9730.00	10554.79	USD	-4,948.74	
24JUL17	B 4 PD24OCT17	9720.00	10554.79	USD	20,034.96	
24JUL17	B 4 PD24OCT17	9750.00	10554.79	USD	19,314.96	
24JUL17	S 1 PD24OCT17	9750.00	10554.79	USD	-4,828.74	
24JUL17	B 3 PD24OCT17	9760.00	10554.79	USD	14,306.22	
24JUL17	B 2 PD24OCT17	9755.00	10554.79	USD	9,597.48	
24JUL17	B 2 PD24OCT17	9765.00	10554.79	USD	9,477.48	
24JUL17	S 1 PD24OCT17	9760.00	10554.79	USD	-4,768.74	
24JUL17	S 1 PD24OCT17	9775.00	10554.79	USD	-4,678.74	
24JUL17	S 2 PD24OCT17	9770.00	10554.79	USD	-9,417.48	
24JUL17	S 1 PD24OCT17	9765.00	10554.79	USD	-4,738.74	
24JUL17	S 5 PD24OCT17	9755.00	10554.79	USD	-23,993.70	
24JUL17	B 2 PD24OCT17	9781.00	10554.79	USD	9,285.48	
24JUL17	S 1 PD24OCT17	9760.00	10554.79	USD	-4,768.74	
27JUL17	S 10 PD24OCT17	10130.00	10554.79	USD	-25,487.40	
28JUL17	B 1 PD24OCT17	10200.83	10554.79	USD	2,123.76	
28JUL17	S 3 PD24OCT17	10199.83	10554.79	USD	-6,389.28	
03AUG17	S 4 PD24OCT17	10376.17	10554.79	USD	-4,286.88	
10AUG17	S 12 PD24OCT17	10975.02	10554.79	USD	30,256.56	
15AUG17	B 2 PD24OCT17	10337.25	10554.79	USD	2,610.48	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
	NET	**0**	**AVG BGHT 9690.94 SOLD 10017.77**				**141,192.48**	**0.00**
25JUL17	S	1	PD25OCT17	9745.00	10555.75	USD	-4,864.50	
25JUL17	S	2	PD25OCT17	9760.00	10555.75	USD	-9,549.00	
25JUL17	B	2	PD25OCT17	9795.00	10555.75	USD	9,129.00	
25JUL17	B	1	PD25OCT17	9790.00	10555.75	USD	4,594.50	
25JUL17	B	1	PD25OCT17	9800.00	10555.75	USD	4,534.50	
25JUL17	B	4	PD25OCT17	9815.00	10555.75	USD	17,778.00	
25JUL17	B	1	PD25OCT17	9820.00	10555.75	USD	4,414.50	
25JUL17	S	3	PD25OCT17	9845.00	10555.75	USD	-12,793.50	
25JUL17	B	1	PD25OCT17	9840.00	10555.75	USD	4,294.50	
25JUL17	B	4	PD25OCT17	9855.00	10555.75	USD	16,818.00	
25JUL17	S	5	PD25OCT17	9840.00	10555.75	USD	-21,472.50	
25JUL17	B	4	PD25OCT17	9835.00	10555.75	USD	17,298.00	
25JUL17	B	1	PD25OCT17	9850.00	10555.75	USD	4,234.50	
25JUL17	B	4	PD25OCT17	9860.00	10555.75	USD	16,698.00	
25JUL17	S	6	PD25OCT17	9875.00	10555.75	USD	-24,507.00	
25JUL17	S	2	PD25OCT17	9880.00	10555.75	USD	-8,109.00	
25JUL17	B	2	PD25OCT17	9870.00	10555.75	USD	8,229.00	
25JUL17	B	1	PD25OCT17	9875.00	10555.75	USD	4,084.50	
25JUL17	B	1	PD25OCT17	9890.00	10555.75	USD	3,994.50	
25JUL17	B	5	PD25OCT17	9905.00	10555.75	USD	19,522.50	
25JUL17	S	1	PD25OCT17	9940.00	10555.75	USD	-3,694.50	
25JUL17	S	1	PD25OCT17	9835.00	10555.75	USD	-4,324.50	
25JUL17	S	1	PD25OCT17	9895.00	10555.75	USD	-3,964.50	
25JUL17	B	1	PD25OCT17	9895.00	10555.75	USD	3,964.50	
25JUL17	B	1	PD25OCT17	9900.00	10555.75	USD	3,934.50	
25JUL17	S	5	PD25OCT17	9925.00	10555.75	USD	-18,922.50	
25JUL17	B	2	PD25OCT17	9915.00	10555.75	USD	7,689.00	
25JUL17	S	1	PD25OCT17	9915.00	10555.75	USD	-3,844.50	
25JUL17	B	1	PD25OCT17	9925.00	10555.75	USD	3,784.50	
25JUL17	S	1	PD25OCT17	9930.00	10555.75	USD	-3,754.50	
25JUL17	S	4	PD25OCT17	9920.00	10555.75	USD	-15,258.00	
25JUL17	B	1	PD25OCT17	9945.00	10555.75	USD	3,664.50	
25JUL17	B	1	PD25OCT17	9930.00	10555.75	USD	3,754.50	
25JUL17	S	6	PD25OCT17	9945.00	10555.75	USD	-21,987.00	
25JUL17	B	4	PD25OCT17	9950.00	10555.75	USD	14,538.00	
25JUL17	S	6	PD25OCT17	9950.00	10555.75	USD	-21,807.00	
25JUL17	S	4	PD25OCT17	9960.00	10555.75	USD	-14,298.00	
25JUL17	S	9	PD25OCT17	9955.00	10555.75	USD	-32,440.50	
25JUL17	S	2	PD25OCT17	9975.00	10555.75	USD	-6,969.00	
25JUL17	S	1	PD25OCT17	9970.00	10555.75	USD	-3,514.50	
25JUL17	S	2	PD25OCT17	9985.00	10555.75	USD	-6,849.00	
25JUL17	S	1	PD25OCT17	10000.00	10555.75	USD	-3,334.50	
25JUL17	S	2	PD25OCT17	10005.00	10555.75	USD	-6,609.00	
25JUL17	S	3	PD25OCT17	9995.00	10555.75	USD	-10,093.50	
25JUL17	S	1	PD25OCT17	10015.00	10555.75	USD	-3,244.50	
25JUL17	S	1	PD25OCT17	10005.00	10555.75	USD	-3,304.50	
27JUL17	S	2	PD25OCT17	10130.00	10555.75	USD	-5,109.00	
27JUL17	B	10	PD25OCT17	10131.00	10555.75	USD	25,485.00	
28JUL17	B	5	PD25OCT17	10200.89	10555.75	USD	10,645.80	
03AUG17	B	5	PD25OCT17	10377.94	10555.75	USD	5,334.30	
09AUG17	B	2	PD25OCT17	10753.50	10555.75	USD	-2,373.00	
09AUG17	S	3	PD25OCT17	10752.50	10555.75	USD	3,541.50	
10AUG17	B	12	PD25OCT17	10976.61	10555.75	USD	-30,301.92	
17AUG17	S	1	PD25OCT17	10712.87	10555.75	USD	942.72	
	NET	**0**	**AVG BGHT 10153.71 SOLD 9971.04**				**-84,390.60**	**0.00**
26JUL17	S	1	PD26OCT17	9925.00	10556.71	USD	-3,790.26	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
26JUL17	S 1 PD26OCT17	10035.00	10556.71	USD	-3,130.26	
26JUL17	B 1 PD26OCT17	10025.00	10556.71	USD	3,190.26	
26JUL17	S 1 PD26OCT17	10070.00	10556.71	USD	-2,920.26	
26JUL17	S 3 PD26OCT17	10060.00	10556.71	USD	-8,940.78	
26JUL17	S 1 PD26OCT17	10030.00	10556.71	USD	-3,160.26	
26JUL17	B 4 PD26OCT17	9925.00	10556.71	USD	15,161.04	
26JUL17	B 2 PD26OCT17	9915.00	10556.71	USD	7,700.52	
26JUL17	S 3 PD26OCT17	9910.00	10556.71	USD	-11,640.78	
26JUL17	B 1 PD26OCT17	9895.00	10556.71	USD	3,970.26	
26JUL17	S 1 PD26OCT17	9900.00	10556.71	USD	-3,940.26	
26JUL17	S 4 PD26OCT17	9965.00	10556.71	USD	-14,201.04	
26JUL17	S 1 PD26OCT17	9985.00	10556.71	USD	-3,430.26	
26JUL17	B 4 PD26OCT17	9940.00	10556.71	USD	14,801.04	
26JUL17	S 1 PD26OCT17	9940.00	10556.71	USD	-3,700.26	
26JUL17	B 4 PD26OCT17	9945.00	10556.71	USD	14,681.04	
26JUL17	B 3 PD26OCT17	9960.00	10556.71	USD	10,740.78	
26JUL17	B 3 PD26OCT17	9950.00	10556.71	USD	10,920.78	
26JUL17	B 3 PD26OCT17	9955.00	10556.71	USD	10,830.78	
26JUL17	S 8 PD26OCT17	9960.00	10556.71	USD	-28,642.08	
26JUL17	B 1 PD26OCT17	9935.00	10556.71	USD	3,730.26	
26JUL17	S 5 PD26OCT17	9945.00	10556.71	USD	-18,351.30	
26JUL17	S 3 PD26OCT17	9930.00	10556.71	USD	-11,280.78	
26JUL17	S 4 PD26OCT17	9935.00	10556.71	USD	-14,921.04	
26JUL17	S 1 PD26OCT17	9950.00	10556.71	USD	-3,640.26	
26JUL17	S 3 PD26OCT17	9955.00	10556.71	USD	-10,830.78	
26JUL17	B 2 PD26OCT17	9985.00	10556.71	USD	6,860.52	
26JUL17	S 2 PD26OCT17	9990.00	10556.71	USD	-6,800.52	
26JUL17	S 4 PD26OCT17	9995.00	10556.71	USD	-13,481.04	
26JUL17	B 2 PD26OCT17	10035.00	10556.71	USD	6,260.52	
26JUL17	B 1 PD26OCT17	10040.00	10556.71	USD	3,100.26	
26JUL17	S 1 PD26OCT17	9940.00	10556.71	USD	-3,700.26	
26JUL17	B 2 PD26OCT17	9940.00	10556.71	USD	7,400.52	
26JUL17	S 1 PD26OCT17	9930.00	10556.71	USD	-3,760.26	
26JUL17	S 6 PD26OCT17	10015.00	10556.71	USD	-19,501.56	
26JUL17	S 2 PD26OCT17	10045.00	10556.71	USD	-6,140.52	
26JUL17	B 1 PD26OCT17	10020.00	10556.71	USD	3,220.26	
26JUL17	B 2 PD26OCT17	10015.00	10556.71	USD	6,500.52	
26JUL17	B 2 PD26OCT17	10010.00	10556.71	USD	6,560.52	
26JUL17	B 1 PD26OCT17	10005.00	10556.71	USD	3,310.26	
26JUL17	B 3 PD26OCT17	10000.00	10556.71	USD	10,020.78	
26JUL17	B 1 PD26OCT17	9995.00	10556.71	USD	3,370.26	
26JUL17	S 5 PD26OCT17	9975.00	10556.71	USD	-17,451.30	
26JUL17	S 5 PD26OCT17	9980.00	10556.71	USD	-17,301.30	
26JUL17	S 12 PD26OCT17	9970.00	10556.71	USD	-42,243.12	
26JUL17	S 1 PD26OCT17	9980.00	10556.71	USD	-3,460.26	
27JUL17	B 14 PD26OCT17	10131.00	10556.71	USD	35,759.64	
28JUL17	B 3 PD26OCT17	10200.94	10556.71	USD	6,403.86	
28JUL17	S 2 PD26OCT17	10199.94	10556.71	USD	-4,281.24	
09AUG17	B 2 PD26OCT17	10754.00	10556.71	USD	-2,367.48	
10AUG17	B 5 PD26OCT17	10977.20	10556.71	USD	-12,614.70	
10AUG17	B 12 PD26OCT17	10976.20	10556.71	USD	-30,203.28	
15AUG17	B 2 PD26OCT17	10338.50	10556.71	USD	2,618.52	
17AUG17	B 1 PD26OCT17	10714.54	10556.71	USD	-946.98	
	NET 0 AVG BGHT 10249.84 SOLD 9978.17				**-133,661.28**	**0.00**
27JUL17	B 5 PD27OCT17	10025.00	10557.68	USD	15,980.40	
27JUL17	B 1 PD27OCT17	10005.00	10557.68	USD	3,316.08	
27JUL17	B 9 PD27OCT17	10020.00	10557.68	USD	29,034.72	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
27JUL17	B 5 PD27OCT17	10010.00	10557.68	USD	16,430.40	
27JUL17	B 3 PD27OCT17	9995.00	10557.68	USD	10,128.24	
27JUL17	B 1 PD27OCT17	9985.00	10557.68	USD	3,436.08	
27JUL17	B 7 PD27OCT17	10000.00	10557.68	USD	23,422.56	
27JUL17	B 8 PD27OCT17	10015.00	10557.68	USD	26,048.64	
27JUL17	B 3 PD27OCT17	10040.00	10557.68	USD	9,318.24	
27JUL17	S 3 PD27OCT17	10045.00	10557.68	USD	-9,228.24	
27JUL17	S 3 PD27OCT17	10050.00	10557.68	USD	-9,138.24	
27JUL17	S 1 PD27OCT17	10060.00	10557.68	USD	-2,986.08	
27JUL17	S 1 PD27OCT17	10075.00	10557.68	USD	-2,896.08	
27JUL17	S 4 PD27OCT17	10090.00	10557.68	USD	-11,224.32	
27JUL17	B 1 PD27OCT17	10080.00	10557.68	USD	2,866.08	
27JUL17	S 1 PD27OCT17	10095.00	10557.68	USD	-2,776.08	
27JUL17	B 1 PD27OCT17	10115.00	10557.68	USD	2,656.08	
27JUL17	B 1 PD27OCT17	10110.00	10557.68	USD	2,686.08	
27JUL17	S 1 PD27OCT17	10115.00	10557.68	USD	-2,656.08	
27JUL17	S 1 PD27OCT17	10165.00	10557.68	USD	-2,356.08	
27JUL17	B 3 PD27OCT17	10180.00	10557.68	USD	6,798.24	
27JUL17	B 1 PD27OCT17	10165.00	10557.68	USD	2,356.08	
27JUL17	S 1 PD27OCT17	10170.00	10557.68	USD	-2,326.08	
27JUL17	S 2 PD27OCT17	9995.00	10557.68	USD	-6,752.16	
27JUL17	S 3 PD27OCT17	10040.00	10557.68	USD	-9,318.24	
27JUL17	S 3 PD27OCT17	10145.00	10557.68	USD	-7,428.24	
27JUL17	S 3 PD27OCT17	10150.00	10557.68	USD	-7,338.24	
27JUL17	B 5 PD27OCT17	10145.00	10557.68	USD	12,380.40	
27JUL17	S 1 PD27OCT17	10135.00	10557.68	USD	-2,536.08	
27JUL17	B 1 PD27OCT17	10135.00	10557.68	USD	2,536.08	
27JUL17	S 1 PD27OCT17	10130.00	10557.68	USD	-2,566.08	
27JUL17	S 4 PD27OCT17	10140.00	10557.68	USD	-10,024.32	
27JUL17	S 1 PD27OCT17	10160.00	10557.68	USD	-2,386.08	
27JUL17	B 9 PD27OCT17	10185.00	10557.68	USD	20,124.72	
27JUL17	S 2 PD27OCT17	10210.00	10557.68	USD	-4,172.16	
27JUL17	B 4 PD27OCT17	10190.00	10557.68	USD	8,824.32	
27JUL17	B 2 PD27OCT17	10200.00	10557.68	USD	4,292.16	
27JUL17	B 6 PD27OCT17	10140.00	10557.68	USD	15,036.48	
27JUL17	B 1 PD27OCT17	10150.00	10557.68	USD	2,446.08	
27JUL17	S 3 PD27OCT17	10140.00	10557.68	USD	-7,518.24	
27JUL17	S 1 PD27OCT17	10125.00	10557.68	USD	-2,596.08	
27JUL17	S 2 PD27OCT17	10150.00	10557.68	USD	-4,892.16	
27JUL17	S 2 PD27OCT17	10155.00	10557.68	USD	-4,832.16	
27JUL17	S 3 PD27OCT17	10185.00	10557.68	USD	-6,708.24	
27JUL17	S 10 PD27OCT17	10190.00	10557.68	USD	-22,060.80	
27JUL17	S 10 PD27OCT17	10185.00	10557.68	USD	-22,360.80	
27JUL17	S 8 PD27OCT17	10170.00	10557.68	USD	-18,608.64	
27JUL17	S 5 PD27OCT17	10175.00	10557.68	USD	-11,480.40	
27JUL17	B 1 PD27OCT17	10155.00	10557.68	USD	2,416.08	
27JUL17	B 2 PD27OCT17	10130.00	10557.68	USD	5,132.16	
27JUL17	S 3 PD27OCT17	10180.00	10557.68	USD	-6,798.24	
27JUL17	S 7 PD27OCT17	10190.00	10557.68	USD	-15,442.56	
27JUL17	S 3 PD27OCT17	10155.00	10557.68	USD	-7,248.24	
27JUL17	B 5 PD27OCT17	10160.00	10557.68	USD	11,930.40	
28JUL17	B 1 PD27OCT17	10150.00	10557.68	USD	2,446.08	
28JUL17	B 2 PD27OCT17	10155.00	10557.68	USD	4,832.16	
28JUL17	S 1 PD27OCT17	10150.00	10557.68	USD	-2,446.08	
28JUL17	S 1 PD27OCT17	10090.00	10557.68	USD	-2,806.08	
28JUL17	B 1 PD27OCT17	10095.00	10557.68	USD	2,776.08	
28JUL17	S 2 PD27OCT17	10060.00	10557.68	USD	-5,972.16	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
28JUL17	B 3 PD27OCT17	10045.00	10557.68	USD	9,228.24	
28JUL17	B 2 PD27OCT17	10055.00	10557.68	USD	6,032.16	
28JUL17	S 1 PD27OCT17	10025.00	10557.68	USD	-3,196.08	
28JUL17	B 1 PD27OCT17	10035.00	10557.68	USD	3,136.08	
28JUL17	B 4 PD27OCT17	10050.00	10557.68	USD	12,184.32	
28JUL17	B 1 PD27OCT17	10110.00	10557.68	USD	2,686.08	
28JUL17	B 1 PD27OCT17	10120.00	10557.68	USD	2,626.08	
28JUL17	B 1 PD27OCT17	10130.00	10557.68	USD	2,566.08	
28JUL17	S 1 PD27OCT17	10120.00	10557.68	USD	-2,626.08	
28JUL17	S 2 PD27OCT17	10105.00	10557.68	USD	-5,432.16	
28JUL17	B 1 PD27OCT17	10145.00	10557.68	USD	2,476.08	
28JUL17	B 2 PD27OCT17	10140.00	10557.68	USD	5,012.16	
28JUL17	B 1 PD27OCT17	10160.00	10557.68	USD	2,386.08	
28JUL17	S 1 PD27OCT17	10155.00	10557.68	USD	-2,416.08	
28JUL17	B 1 PD27OCT17	10155.00	10557.68	USD	2,416.08	
28JUL17	B 1 PD27OCT17	10130.00	10557.68	USD	2,566.08	
28JUL17	B 1 PD27OCT17	10145.00	10557.68	USD	2,476.08	
28JUL17	S 2 PD27OCT17	10215.00	10557.68	USD	-4,112.16	
28JUL17	S 2 PD27OCT17	10230.00	10557.68	USD	-3,932.16	
28JUL17	S 2 PD27OCT17	10240.00	10557.68	USD	-3,812.16	
28JUL17	B 3 PD27OCT17	10245.00	10557.68	USD	5,628.24	
28JUL17	B 7 PD27OCT17	10255.00	10557.68	USD	12,712.56	
28JUL17	S 2 PD27OCT17	10245.00	10557.68	USD	-3,752.16	
28JUL17	B 2 PD27OCT17	10250.00	10557.68	USD	3,692.16	
28JUL17	S 4 PD27OCT17	10285.00	10557.68	USD	-6,544.32	
28JUL17	S 7 PD27OCT17	10280.00	10557.68	USD	-11,662.56	
28JUL17	B 1 PD27OCT17	10270.00	10557.68	USD	1,726.08	
28JUL17	B 1 PD27OCT17	10265.00	10557.68	USD	1,756.08	
28JUL17	B 2 PD27OCT17	10240.00	10557.68	USD	3,812.16	
28JUL17	S 5 PD27OCT17	10220.00	10557.68	USD	-10,130.40	
28JUL17	B 2 PD27OCT17	10200.00	10557.68	USD	4,292.16	
28JUL17	B 1 PD27OCT17	10195.00	10557.68	USD	2,176.08	
28JUL17	S 1 PD27OCT17	10185.00	10557.68	USD	-2,236.08	
28JUL17	B 1 PD27OCT17	10210.00	10557.68	USD	2,086.08	
28JUL17	B 1 PD27OCT17	10245.00	10557.68	USD	1,876.08	
28JUL17	S 3 PD27OCT17	10245.00	10557.68	USD	-5,628.24	
28JUL17	B 1 PD27OCT17	10255.00	10557.68	USD	1,816.08	
28JUL17	S 2 PD27OCT17	10225.00	10557.68	USD	-3,992.16	
28JUL17	S 6 PD27OCT17	10225.00	10557.68	USD	-11,976.48	
28JUL17	S 6 PD27OCT17	10255.00	10557.68	USD	-10,896.48	
28JUL17	S 4 PD27OCT17	10275.00	10557.68	USD	-6,784.32	
28JUL17	B 2 PD27OCT17	10235.00	10557.68	USD	3,872.16	
28JUL17	B 2 PD27OCT17	10230.00	10557.68	USD	3,932.16	
28JUL17	B 2 PD27OCT17	10225.00	10557.68	USD	3,992.16	
28JUL17	B 1 PD27OCT17	10220.00	10557.68	USD	2,026.08	
28JUL17	B 1 PD27OCT17	10215.00	10557.68	USD	2,056.08	
28JUL17	B 3 PD27OCT17	10205.00	10557.68	USD	6,348.24	
28JUL17	S 3 PD27OCT17	10250.00	10557.68	USD	-5,538.24	
28JUL17	S 1 PD27OCT17	10260.00	10557.68	USD	-1,786.08	
28JUL17	S 2 PD27OCT17	10265.00	10557.68	USD	-3,512.16	
28JUL17	B 5 PD27OCT17	10201.00	10557.68	USD	10,700.40	
03AUG17	B 7 PD27OCT17	10379.50	10557.68	USD	7,483.56	
07AUG17	B 1 PD27OCT17	10395.05	10557.68	USD	975.78	
09AUG17	B 2 PD27OCT17	10754.50	10557.68	USD	-2,361.84	
10AUG17	S 2 PD27OCT17	10976.78	10557.68	USD	5,029.20	
10AUG17	S 6 PD27OCT17	10975.78	10557.68	USD	15,051.60	
14AUG17	B 8 PD27OCT17	10439.33	10557.68	USD	5,680.80	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
15AUG17	S 3 PD27OCT17	10338.13	10557.68	USD	-3,951.90	
05SEP17	B 2 PD27OCT17	12055.65	10557.68	USD	-17,975.64	
12SEP17	S 2 PD27OCT17	11949.00	10557.68	USD	16,695.84	
	NET 0 AVG BGHT 10184.68 SOLD 10241.29				**56,724.84**	**0.00**
31JUL17	B 1 PD31OCT17	10300.00	10561.54	USD	1,569.24	
31JUL17	B 2 PD31OCT17	10350.00	10561.54	USD	2,538.48	
31JUL17	B 1 PD31OCT17	10305.00	10561.54	USD	1,539.24	
31JUL17	B 3 PD31OCT17	10325.00	10561.54	USD	4,257.72	
31JUL17	B 3 PD31OCT17	10320.00	10561.54	USD	4,347.72	
31JUL17	B 1 PD31OCT17	10285.00	10561.54	USD	1,659.24	
31JUL17	S 3 PD31OCT17	10300.00	10561.54	USD	-4,707.72	
31JUL17	S 4 PD31OCT17	10315.00	10561.54	USD	-5,916.96	
31JUL17	B 3 PD31OCT17	10315.00	10561.54	USD	4,437.72	
31JUL17	B 4 PD31OCT17	10290.00	10561.54	USD	6,516.96	
31JUL17	B 2 PD31OCT17	10295.00	10561.54	USD	3,198.48	
31JUL17	S 3 PD31OCT17	10230.00	10561.54	USD	-5,967.72	
31JUL17	S 2 PD31OCT17	10265.00	10561.54	USD	-3,558.48	
31JUL17	S 3 PD31OCT17	10270.00	10561.54	USD	-5,247.72	
31JUL17	B 1 PD31OCT17	10320.00	10561.54	USD	1,449.24	
31JUL17	S 1 PD31OCT17	10320.00	10561.54	USD	-1,449.24	
31JUL17	B 2 PD31OCT17	10275.00	10561.54	USD	3,438.48	
31JUL17	S 1 PD31OCT17	10250.00	10561.54	USD	-1,869.24	
31JUL17	S 2 PD31OCT17	10245.00	10561.54	USD	-3,798.48	
31JUL17	S 3 PD31OCT17	10260.00	10561.54	USD	-5,427.72	
31JUL17	S 4 PD31OCT17	10240.00	10561.54	USD	-7,716.96	
31JUL17	B 2 PD31OCT17	10180.00	10561.54	USD	4,578.48	
31JUL17	S 2 PD31OCT17	10280.00	10561.54	USD	-3,378.48	
31JUL17	S 4 PD31OCT17	10275.00	10561.54	USD	-6,876.96	
31JUL17	B 8 PD31OCT17	10280.00	10561.54	USD	13,513.92	
31JUL17	B 14 PD31OCT17	10275.00	10561.54	USD	24,069.36	
31JUL17	B 2 PD31OCT17	10270.00	10561.54	USD	3,498.48	
31JUL17	B 3 PD31OCT17	10255.00	10561.54	USD	5,517.72	
31JUL17	B 5 PD31OCT17	10250.00	10561.54	USD	9,346.20	
31JUL17	B 3 PD31OCT17	10245.00	10561.54	USD	5,697.72	
31JUL17	B 1 PD31OCT17	10240.00	10561.54	USD	1,929.24	
31JUL17	B 4 PD31OCT17	10225.00	10561.54	USD	8,076.96	
31JUL17	B 1 PD31OCT17	10220.00	10561.54	USD	2,049.24	
31JUL17	B 5 PD31OCT17	10230.00	10561.54	USD	9,946.20	
31JUL17	S 1 PD31OCT17	10265.00	10561.54	USD	-1,779.24	
31JUL17	B 5 PD31OCT17	10235.00	10561.54	USD	9,796.20	
31JUL17	B 3 PD31OCT17	10215.00	10561.54	USD	6,237.72	
31JUL17	S 2 PD31OCT17	10225.00	10561.54	USD	-4,038.48	
31JUL17	S 3 PD31OCT17	10220.00	10561.54	USD	-6,147.72	
31JUL17	S 2 PD31OCT17	10225.00	10561.54	USD	-4,038.48	
03AUG17	S 2 PD31OCT17	10379.36	10561.54	USD	-2,186.16	
07AUG17	S 11 PD31OCT17	10396.85	10561.54	USD	-10,869.54	
08AUG17	S 2 PD31OCT17	10625.76	10561.54	USD	770.64	
08AUG17	S 2 PD31OCT17	10626.76	10561.54	USD	782.64	
09AUG17	S 6 PD31OCT17	10755.50	10561.54	USD	6,982.56	
10AUG17	S 6 PD31OCT17	10978.13	10561.54	USD	14,997.24	
10AUG17	S 8 PD31OCT17	10979.13	10561.54	USD	20,044.32	
22AUG17	S 1 PD31OCT17	11404.12	10561.54	USD	5,055.48	
	NET +1 AVG BGHT 10267.85 SOLD 10483.89				**102,867.54**	**0.00**
01AUG17	B 2 PD01NOV17	10205.00	10562.50	USD	4,290.00	
01AUG17	S 4 PD01NOV17	10125.00	10562.50	USD	-10,500.00	
01AUG17	S 2 PD01NOV17	10110.00	10562.50	USD	-5,430.00	
01AUG17	B 1 PD01NOV17	10105.00	10562.50	USD	2,745.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
01AUG17	B 2 PD01NOV17	10120.00	10562.50	USD	5,310.00	
01AUG17	B 4 PD01NOV17	10130.00	10562.50	USD	10,380.00	
01AUG17	B 2 PD01NOV17	10150.00	10562.50	USD	4,950.00	
01AUG17	S 2 PD01NOV17	10105.00	10562.50	USD	-5,490.00	
01AUG17	S 4 PD01NOV17	10095.00	10562.50	USD	-11,220.00	
01AUG17	S 1 PD01NOV17	10115.00	10562.50	USD	-2,685.00	
01AUG17	B 1 PD01NOV17	10105.00	10562.50	USD	2,745.00	
01AUG17	S 2 PD01NOV17	10115.00	10562.50	USD	-5,370.00	
01AUG17	B 1 PD01NOV17	10150.00	10562.50	USD	2,475.00	
01AUG17	S 1 PD01NOV17	10215.00	10562.50	USD	-2,085.00	
01AUG17	S 10 PD01NOV17	10210.00	10562.50	USD	-21,150.00	
01AUG17	S 16 PD01NOV17	10205.00	10562.50	USD	-34,320.00	
01AUG17	S 10 PD01NOV17	10200.00	10562.50	USD	-21,750.00	
01AUG17	B 9 PD01NOV17	10195.00	10562.50	USD	19,845.00	
01AUG17	S 6 PD01NOV17	10190.00	10562.50	USD	-13,410.00	
01AUG17	B 2 PD01NOV17	10190.00	10562.50	USD	4,470.00	
01AUG17	S 4 PD01NOV17	10175.00	10562.50	USD	-9,300.00	
01AUG17	S 3 PD01NOV17	10195.00	10562.50	USD	-6,615.00	
01AUG17	S 1 PD01NOV17	10180.00	10562.50	USD	-2,295.00	
01AUG17	S 4 PD01NOV17	10185.00	10562.50	USD	-9,060.00	
01AUG17	S 1 PD01NOV17	10240.00	10562.50	USD	-1,935.00	
01AUG17	S 2 PD01NOV17	10200.00	10562.50	USD	-4,350.00	
01AUG17	S 2 PD01NOV17	10190.00	10562.50	USD	-4,470.00	
01AUG17	B 1 PD01NOV17	10195.00	10562.50	USD	2,205.00	
01AUG17	S 2 PD01NOV17	10295.00	10562.50	USD	-3,210.00	
01AUG17	S 2 PD01NOV17	10300.00	10562.50	USD	-3,150.00	
01AUG17	S 1 PD01NOV17	10245.00	10562.50	USD	-1,905.00	
01AUG17	S 2 PD01NOV17	10255.00	10562.50	USD	-3,690.00	
01AUG17	S 2 PD01NOV17	10260.00	10562.50	USD	-3,630.00	
01AUG17	S 5 PD01NOV17	10265.00	10562.50	USD	-8,925.00	
01AUG17	S 4 PD01NOV17	10280.00	10562.50	USD	-6,780.00	
01AUG17	B 1 PD01NOV17	10255.00	10562.50	USD	1,845.00	
03AUG17	B 2 PD01NOV17	10380.57	10562.50	USD	2,183.16	
07AUG17	B 11 PD01NOV17	10398.30	10562.50	USD	10,837.20	
08AUG17	B 2 PD01NOV17	10628.17	10562.50	USD	-788.04	
08AUG17	B 5 PD01NOV17	10627.17	10562.50	USD	-1,940.10	
09AUG17	B 11 PD01NOV17	10757.00	10562.50	USD	-12,837.00	
09AUG17	B 5 PD01NOV17	10756.00	10562.50	USD	-5,805.00	
10AUG17	B 8 PD01NOV17	10980.72	10562.50	USD	-20,074.56	
10AUG17	B 5 PD01NOV17	10979.72	10562.50	USD	-12,516.60	
14AUG17	B 8 PD01NOV17	10442.57	10562.50	USD	5,756.64	
15AUG17	B 3 PD01NOV17	10342.25	10562.50	USD	3,964.50	
12SEP17	B 7 PD01NOV17	11954.00	10562.50	USD	-58,443.00	
	NET 0 AVG BGHT 10613.40 SOLD 10199.19				**-231,127.80**	**0.00**
02AUG17	B 1 PD02NOV17	10185.00	10563.46	USD	2,270.76	
02AUG17	S 2 PD02NOV17	10150.00	10563.46	USD	-4,961.52	
02AUG17	B 2 PD02NOV17	10145.00	10563.46	USD	5,021.52	
02AUG17	B 3 PD02NOV17	10205.00	10563.46	USD	6,452.28	
02AUG17	S 2 PD02NOV17	10190.00	10563.46	USD	-4,481.52	
02AUG17	B 1 PD02NOV17	10170.00	10563.46	USD	2,360.76	
02AUG17	S 1 PD02NOV17	10200.00	10563.46	USD	-2,180.76	
02AUG17	S 2 PD02NOV17	10255.00	10563.46	USD	-3,701.52	
02AUG17	S 2 PD02NOV17	10260.00	10563.46	USD	-3,641.52	
02AUG17	S 2 PD02NOV17	10265.00	10563.46	USD	-3,581.52	
02AUG17	B 1 PD02NOV17	10245.00	10563.46	USD	1,910.76	
02AUG17	S 3 PD02NOV17	10240.00	10563.46	USD	-5,822.28	
02AUG17	S 5 PD02NOV17	10245.00	10563.46	USD	-9,553.80	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
02AUG17	B 10 PD02NOV17	10215.00	10563.46	USD	20,907.60	
02AUG17	B 5 PD02NOV17	10220.00	10563.46	USD	10,303.80	
02AUG17	B 1 PD02NOV17	10250.00	10563.46	USD	1,880.76	
02AUG17	B 2 PD02NOV17	10265.00	10563.46	USD	3,581.52	
02AUG17	S 2 PD02NOV17	10285.00	10563.46	USD	-3,341.52	
02AUG17	B 2 PD02NOV17	10270.00	10563.46	USD	3,521.52	
02AUG17	B 1 PD02NOV17	10275.00	10563.46	USD	1,730.76	
02AUG17	S 2 PD02NOV17	10310.00	10563.46	USD	-3,041.52	
02AUG17	S 1 PD02NOV17	10335.00	10563.46	USD	-1,370.76	
02AUG17	B 2 PD02NOV17	10330.00	10563.46	USD	2,801.52	
02AUG17	B 1 PD02NOV17	10325.00	10563.46	USD	1,430.76	
02AUG17	B 1 PD02NOV17	10335.00	10563.46	USD	1,370.76	
08AUG17	S 2 PD02NOV17	10626.57	10563.46	USD	757.32	
09AUG17	S 3 PD02NOV17	10755.50	10563.46	USD	3,456.72	
09AUG17	S 2 PD02NOV17	10756.50	10563.46	USD	2,316.48	
10AUG17	S 1 PD02NOV17	10979.30	10563.46	USD	2,495.04	
17AUG17	S 1 PD02NOV17	10718.25	10563.46	USD	928.74	
	NET 0 AVG BGHT 10232.42 SOLD 10383.04				**29,821.14**	**0.00**
03AUG17	S 1 PD03NOV17	10445.00	10564.43	USD	-716.58	
03AUG17	B 2 PD03NOV17	10425.00	10564.43	USD	1,673.16	
03AUG17	S 2 PD03NOV17	10430.00	10564.43	USD	-1,613.16	
03AUG17	S 2 PD03NOV17	10425.00	10564.43	USD	-1,673.16	
03AUG17	B 2 PD03NOV17	10435.00	10564.43	USD	1,553.16	
03AUG17	B 1 PD03NOV17	10430.00	10564.43	USD	806.58	
03AUG17	S 1 PD03NOV17	10435.00	10564.43	USD	-776.58	
03AUG17	S 2 PD03NOV17	10395.00	10564.43	USD	-2,033.16	
03AUG17	S 1 PD03NOV17	10335.00	10564.43	USD	-1,376.58	
03AUG17	S 3 PD03NOV17	10315.00	10564.43	USD	-4,489.74	
03AUG17	S 3 PD03NOV17	10275.00	10564.43	USD	-5,209.74	
03AUG17	S 1 PD03NOV17	10265.00	10564.43	USD	-1,796.58	
03AUG17	B 1 PD03NOV17	10260.00	10564.43	USD	1,826.58	
03AUG17	B 1 PD03NOV17	10270.00	10564.43	USD	1,766.58	
03AUG17	S 1 PD03NOV17	10425.00	10564.43	USD	-836.58	
03AUG17	S 1 PD03NOV17	10280.00	10564.43	USD	-1,706.58	
03AUG17	B 1 PD03NOV17	10270.00	10564.43	USD	1,766.58	
03AUG17	B 2 PD03NOV17	10300.00	10564.43	USD	3,173.16	
03AUG17	S 4 PD03NOV17	10300.00	10564.43	USD	-6,346.32	
03AUG17	S 3 PD03NOV17	10305.00	10564.43	USD	-4,669.74	
03AUG17	S 4 PD03NOV17	10295.00	10564.43	USD	-6,466.32	
03AUG17	S 1 PD03NOV17	10285.00	10564.43	USD	-1,676.58	
03AUG17	B 5 PD03NOV17	10290.00	10564.43	USD	8,232.90	
03AUG17	B 4 PD03NOV17	10285.00	10564.43	USD	6,706.32	
03AUG17	B 1 PD03NOV17	10280.00	10564.43	USD	1,706.58	
03AUG17	B 1 PD03NOV17	10325.00	10564.43	USD	1,436.58	
03AUG17	S 1 PD03NOV17	10350.00	10564.43	USD	-1,286.58	
03AUG17	B 1 PD03NOV17	10375.00	10564.43	USD	1,136.58	
04AUG17	B 2 PD03NOV17	10325.00	10564.43	USD	2,873.16	
04AUG17	B 1 PD03NOV17	10375.00	10564.43	USD	1,136.58	
04AUG17	B 1 PD03NOV17	10400.00	10564.43	USD	986.58	
04AUG17	B 1 PD03NOV17	10395.00	10564.43	USD	1,016.58	
04AUG17	S 1 PD03NOV17	10380.00	10564.43	USD	-1,106.58	
04AUG17	S 2 PD03NOV17	10385.00	10564.43	USD	-2,153.16	
04AUG17	B 5 PD03NOV17	10380.00	10564.43	USD	5,532.90	
04AUG17	B 4 PD03NOV17	10390.00	10564.43	USD	4,186.32	
04AUG17	B 2 PD03NOV17	10385.00	10564.43	USD	2,153.16	
04AUG17	B 1 PD03NOV17	10365.00	10564.43	USD	1,196.58	
04AUG17	S 4 PD03NOV17	10310.00	10564.43	USD	-6,106.32	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
04AUG17	B 1 PD03NOV17	10305.00	10564.43	USD	1,556.58	
04AUG17	S 1 PD03NOV17	10325.00	10564.43	USD	-1,436.58	
04AUG17	B 1 PD03NOV17	10345.00	10564.43	USD	1,316.58	
04AUG17	B 2 PD03NOV17	10355.00	10564.43	USD	2,513.16	
04AUG17	S 1 PD03NOV17	10340.00	10564.43	USD	-1,346.58	
04AUG17	S 1 PD03NOV17	10300.00	10564.43	USD	-1,586.58	
04AUG17	S 5 PD03NOV17	10315.00	10564.43	USD	-7,482.90	
04AUG17	B 2 PD03NOV17	10290.00	10564.43	USD	3,293.16	
04AUG17	B 1 PD03NOV17	10250.00	10564.43	USD	1,886.58	
04AUG17	B 1 PD03NOV17	10245.00	10564.43	USD	1,916.58	
04AUG17	B 1 PD03NOV17	10235.00	10564.43	USD	1,976.58	
04AUG17	B 1 PD03NOV17	10240.00	10564.43	USD	1,946.58	
04AUG17	B 1 PD03NOV17	10205.00	10564.43	USD	2,156.58	
04AUG17	S 2 PD03NOV17	10205.00	10564.43	USD	-4,313.16	
04AUG17	B 2 PD03NOV17	10215.00	10564.43	USD	4,193.16	
04AUG17	B 1 PD03NOV17	10200.00	10564.43	USD	2,186.58	
04AUG17	S 1 PD03NOV17	10275.00	10564.43	USD	-1,736.58	
04AUG17	B 2 PD03NOV17	10200.00	10564.43	USD	4,373.16	
04AUG17	S 1 PD03NOV17	10295.00	10564.43	USD	-1,616.58	
04AUG17	S 2 PD03NOV17	10285.00	10564.43	USD	-3,353.16	
04AUG17	S 3 PD03NOV17	10290.00	10564.43	USD	-4,939.74	
04AUG17	B 1 PD03NOV17	10280.00	10564.43	USD	1,706.58	
04AUG17	B 3 PD03NOV17	10270.00	10564.43	USD	5,299.74	
04AUG17	B 1 PD03NOV17	10265.00	10564.43	USD	1,796.58	
04AUG17	B 2 PD03NOV17	10320.00	10564.43	USD	2,933.16	
04AUG17	B 2 PD03NOV17	10310.00	10564.43	USD	3,053.16	
04AUG17	S 4 PD03NOV17	10305.00	10564.43	USD	-6,226.32	
04AUG17	B 1 PD03NOV17	10275.00	10564.43	USD	1,736.58	
07AUG17	S 1 PD03NOV17	10397.20	10564.43	USD	-1,003.38	
08AUG17	S 2 PD03NOV17	10626.98	10564.43	USD	750.60	
09AUG17	B 1 PD03NOV17	10758.00	10564.43	USD	-1,161.42	
10AUG17	B 2 PD03NOV17	10981.89	10564.43	USD	-5,009.52	
17AUG17	S 5 PD03NOV17	10718.92	10564.43	USD	4,634.70	
22AUG17	B 1 PD03NOV17	11406.19	10564.43	USD	-5,050.56	
12SEP17	S 2 PD03NOV17	11955.00	10564.43	USD	16,686.84	
	NET 0 AVG BGHT 10357.94 SOLD 10407.40				**20,476.74**	**0.00**
07AUG17	S 2 PD07NOV17	10290.00	10568.29	USD	-3,339.48	
07AUG17	S 2 PD07NOV17	10355.00	10568.29	USD	-2,559.48	
07AUG17	S 1 PD07NOV17	10330.00	10568.29	USD	-1,429.74	
07AUG17	B 1 PD07NOV17	10400.00	10568.29	USD	1,009.74	
07AUG17	S 2 PD07NOV17	10300.00	10568.29	USD	-3,219.48	
07AUG17	S 3 PD07NOV17	10335.00	10568.29	USD	-4,199.22	
07AUG17	S 1 PD07NOV17	10410.00	10568.29	USD	-949.74	
07AUG17	S 1 PD07NOV17	10295.00	10568.29	USD	-1,639.74	
07AUG17	B 2 PD07NOV17	10375.00	10568.29	USD	2,319.48	
07AUG17	B 5 PD07NOV17	10345.00	10568.29	USD	6,698.70	
07AUG17	S 1 PD07NOV17	10360.00	10568.29	USD	-1,249.74	
07AUG17	B 3 PD07NOV17	10340.00	10568.29	USD	4,109.22	
07AUG17	B 3 PD07NOV17	10370.00	10568.29	USD	3,569.22	
07AUG17	B 1 PD07NOV17	10390.00	10568.29	USD	1,069.74	
07AUG17	B 7 PD07NOV17	10350.00	10568.29	USD	9,168.18	
07AUG17	B 1 PD07NOV17	10355.00	10568.29	USD	1,279.74	
07AUG17	B 4 PD07NOV17	10385.00	10568.29	USD	4,398.96	
07AUG17	B 3 PD07NOV17	10380.00	10568.29	USD	3,389.22	
07AUG17	B 3 PD07NOV17	10365.00	10568.29	USD	3,659.22	
07AUG17	B 6 PD07NOV17	10360.00	10568.29	USD	7,498.44	
07AUG17	S 3 PD07NOV17	10380.00	10568.29	USD	-3,389.22	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
07AUG17	S 1 PD07NOV17	10385.00	10568.29	USD	-1,099.74	
08AUG17	S 1 PD07NOV17	10628.60	10568.29	USD	361.86	
09AUG17	S 4 PD07NOV17	10759.00	10568.29	USD	4,577.04	
09AUG17	S 2 PD07NOV17	10758.00	10568.29	USD	2,276.52	
10AUG17	S 4 PD07NOV17	10982.24	10568.29	USD	9,934.80	
22AUG17	S 2 PD07NOV17	11408.96	10568.29	USD	10,088.04	
05SEP17	S 1 PD07NOV17	12063.54	10568.29	USD	8,971.50	
11SEP17	S 4 PD07NOV17	11740.62	10568.29	USD	28,135.92	
12SEP17	S 4 PD07NOV17	11959.00	10568.29	USD	33,377.04	
	NET 0 AVG BGHT 10362.44 SOLD 10887.29				**122,817.00**	**0.00**
08AUG17	B 2 PD08NOV17	10355.00	10569.25	USD	2,571.00	
08AUG17	B 2 PD08NOV17	10360.00	10569.25	USD	2,511.00	
08AUG17	B 1 PD08NOV17	10375.00	10569.25	USD	1,165.50	
08AUG17	S 2 PD08NOV17	10290.00	10569.25	USD	-3,351.00	
08AUG17	B 2 PD08NOV17	10295.00	10569.25	USD	3,291.00	
08AUG17	B 1 PD08NOV17	10340.00	10569.25	USD	1,375.50	
08AUG17	B 3 PD08NOV17	10310.00	10569.25	USD	4,666.50	
08AUG17	B 8 PD08NOV17	10290.00	10569.25	USD	13,404.00	
08AUG17	S 1 PD08NOV17	10280.00	10569.25	USD	-1,735.50	
08AUG17	S 10 PD08NOV17	10295.00	10569.25	USD	-16,455.00	
08AUG17	B 1 PD08NOV17	10305.00	10569.25	USD	1,585.50	
08AUG17	B 1 PD08NOV17	10295.00	10569.25	USD	1,645.50	
08AUG17	S 1 PD08NOV17	10330.00	10569.25	USD	-1,435.50	
08AUG17	B 3 PD08NOV17	10330.00	10569.25	USD	4,306.50	
08AUG17	S 2 PD08NOV17	10335.00	10569.25	USD	-2,811.00	
08AUG17	S 6 PD08NOV17	10330.00	10569.25	USD	-8,613.00	
08AUG17	S 10 PD08NOV17	10325.00	10569.25	USD	-14,655.00	
08AUG17	B 1 PD08NOV17	10365.00	10569.25	USD	1,225.50	
08AUG17	S 1 PD08NOV17	10370.00	10569.25	USD	-1,195.50	
08AUG17	S 5 PD08NOV17	10340.00	10569.25	USD	-6,877.50	
08AUG17	B 3 PD08NOV17	10350.00	10569.25	USD	3,946.50	
08AUG17	S 1 PD08NOV17	10350.00	10569.25	USD	-1,315.50	
08AUG17	S 1 PD08NOV17	10355.00	10569.25	USD	-1,285.50	
08AUG17	S 4 PD08NOV17	10360.00	10569.25	USD	-5,022.00	
08AUG17	S 3 PD08NOV17	10365.00	10569.25	USD	-3,676.50	
08AUG17	B 3 PD08NOV17	10395.00	10569.25	USD	3,136.50	
08AUG17	B 1 PD08NOV17	10425.00	10569.25	USD	865.50	
08AUG17	B 2 PD08NOV17	10445.00	10569.25	USD	1,491.00	
08AUG17	B 2 PD08NOV17	10480.00	10569.25	USD	1,071.00	
08AUG17	B 2 PD08NOV17	10475.00	10569.25	USD	1,131.00	
08AUG17	S 1 PD08NOV17	10470.00	10569.25	USD	-595.50	
08AUG17	B 2 PD08NOV17	10490.00	10569.25	USD	951.00	
08AUG17	B 1 PD08NOV17	10515.00	10569.25	USD	325.50	
08AUG17	B 1 PD08NOV17	10530.00	10569.25	USD	235.50	
08AUG17	B 1 PD08NOV17	10525.00	10569.25	USD	265.50	
08AUG17	S 2 PD08NOV17	10535.00	10569.25	USD	-411.00	
08AUG17	B 2 PD08NOV17	10585.00	10569.25	USD	-189.00	
08AUG17	S 2 PD08NOV17	10595.00	10569.25	USD	309.00	
08AUG17	S 1 PD08NOV17	10605.00	10569.25	USD	214.50	
08AUG17	B 2 PD08NOV17	10565.00	10569.25	USD	51.00	
08AUG17	B 1 PD08NOV17	10580.00	10569.25	USD	-64.50	
08AUG17	S 2 PD08NOV17	10630.00	10569.25	USD	729.00	
08AUG17	B 1 PD08NOV17	10625.00	10569.25	USD	-334.50	
08AUG17	B 1 PD08NOV17	10610.00	10569.25	USD	-244.50	
08AUG17	B 2 PD08NOV17	10605.00	10569.25	USD	-429.00	
08AUG17	S 1 PD08NOV17	10600.00	10569.25	USD	184.50	
08AUG17	S 1 PD08NOV17	10625.00	10569.25	USD	334.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
08AUG17	S 1 PD08NOV17	10620.00	10569.25	USD	304.50	
08AUG17	B 2 PD08NOV17	10640.00	10569.25	USD	-849.00	
08AUG17	B 1 PD08NOV17	10645.00	10569.25	USD	-454.50	
08AUG17	B 1 PD08NOV17	10650.00	10569.25	USD	-484.50	
08AUG17	B 2 PD08NOV17	10670.00	10569.25	USD	-1,209.00	
08AUG17	B 2 PD08NOV17	10680.00	10569.25	USD	-1,329.00	
08AUG17	B 3 PD08NOV17	10690.00	10569.25	USD	-2,173.50	
08AUG17	S 1 PD08NOV17	10695.00	10569.25	USD	754.50	
08AUG17	S 1 PD08NOV17	10720.00	10569.25	USD	904.50	
08AUG17	B 1 PD08NOV17	10735.00	10569.25	USD	-994.50	
08AUG17	B 1 PD08NOV17	10760.00	10569.25	USD	-1,144.50	
08AUG17	B 2 PD08NOV17	10755.00	10569.25	USD	-2,229.00	
08AUG17	B 2 PD08NOV17	10745.00	10569.25	USD	-2,109.00	
08AUG17	S 1 PD08NOV17	10715.00	10569.25	USD	874.50	
09AUG17	B 6 PD08NOV17	10760.50	10569.25	USD	-6,885.00	
17AUG17	S 1 PD08NOV17	10722.29	10569.25	USD	918.24	
22AUG17	S 3 PD08NOV17	11409.65	10569.25	USD	15,127.20	
05SEP17	S 2 PD08NOV17	12064.35	10569.25	USD	17,941.20	
11SEP17	S 2 PD08NOV17	11741.54	10569.25	USD	14,067.48	
12SEP17	S 3 PD08NOV17	11961.00	10569.25	USD	25,051.50	
15SEP17	S 3 PD08NOV17	11059.25	10569.25	USD	8,820.00	
	NET 0 AVG BGHT 10502.37 SOLD 10607.25				**47,194.62**	**0.00**
09AUG17	S 2 PD09NOV17	10790.00	10570.21	USD	2,637.48	
09AUG17	S 2 PD09NOV17	10750.00	10570.21	USD	2,157.48	
09AUG17	S 1 PD09NOV17	10760.00	10570.21	USD	1,138.74	
09AUG17	S 1 PD09NOV17	10690.00	10570.21	USD	718.74	
09AUG17	S 2 PD09NOV17	10710.00	10570.21	USD	1,677.48	
09AUG17	B 1 PD09NOV17	10785.00	10570.21	USD	-1,288.74	
09AUG17	B 3 PD09NOV17	10810.00	10570.21	USD	-4,316.22	
09AUG17	B 1 PD09NOV17	10835.00	10570.21	USD	-1,588.74	
09AUG17	B 2 PD09NOV17	10805.00	10570.21	USD	-2,817.48	
09AUG17	B 1 PD09NOV17	10795.00	10570.21	USD	-1,348.74	
09AUG17	B 1 PD09NOV17	10750.00	10570.21	USD	-1,078.74	
09AUG17	B 2 PD09NOV17	10800.00	10570.21	USD	-2,757.48	
09AUG17	B 1 PD09NOV17	10725.00	10570.21	USD	-928.74	
09AUG17	B 1 PD09NOV17	10690.00	10570.21	USD	-718.74	
09AUG17	S 1 PD09NOV17	10690.00	10570.21	USD	718.74	
09AUG17	S 3 PD09NOV17	10740.00	10570.21	USD	3,056.22	
09AUG17	B 4 PD09NOV17	10770.00	10570.21	USD	-4,794.96	
09AUG17	S 3 PD09NOV17	10765.00	10570.21	USD	3,506.22	
09AUG17	S 1 PD09NOV17	10785.00	10570.21	USD	1,288.74	
09AUG17	S 1 PD09NOV17	10795.00	10570.21	USD	1,348.74	
09AUG17	S 3 PD09NOV17	10820.00	10570.21	USD	4,496.22	
09AUG17	S 3 PD09NOV17	10730.00	10570.21	USD	2,876.22	
09AUG17	S 2 PD09NOV17	10725.00	10570.21	USD	1,857.48	
09AUG17	S 1 PD09NOV17	10755.00	10570.21	USD	1,108.74	
09AUG17	S 2 PD09NOV17	10735.00	10570.21	USD	1,977.48	
09AUG17	B 5 PD09NOV17	10755.00	10570.21	USD	-5,543.70	
09AUG17	S 1 PD09NOV17	10775.00	10570.21	USD	1,228.74	
09AUG17	B 1 PD09NOV17	10735.00	10570.21	USD	-988.74	
09AUG17	B 1 PD09NOV17	10745.00	10570.21	USD	-1,048.74	
09AUG17	B 3 PD09NOV17	10740.00	10570.21	USD	-3,056.22	
09AUG17	B 1 PD09NOV17	10730.00	10570.21	USD	-958.74	
17AUG17	B 1 PD09NOV17	10722.96	10570.21	USD	-916.50	
17AUG17	B 4 PD09NOV17	10723.96	10570.21	USD	-3,690.00	
05SEP17	S 1 PD09NOV17	12065.15	10570.21	USD	8,969.64	
12SEP17	S 1 PD09NOV17	11961.00	10570.21	USD	8,344.74	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
12SEP17	S 2 PD09NOV17	11962.00	10570.21	USD	16,701.48	
	NET 0 AVG BGHT 10761.33 SOLD 10902.58				**27,968.10**	**0.00**
10AUG17	B 1 PD10NOV17	10765.00	10571.18	USD	-1,162.92	
10AUG17	S 1 PD10NOV17	10770.00	10571.18	USD	1,192.92	
10AUG17	S 5 PD10NOV17	10830.00	10571.18	USD	7,764.60	
10AUG17	S 1 PD10NOV17	10815.00	10571.18	USD	1,462.92	
10AUG17	S 1 PD10NOV17	10820.00	10571.18	USD	1,492.92	
10AUG17	S 2 PD10NOV17	10800.00	10571.18	USD	2,745.84	
10AUG17	S 2 PD10NOV17	10785.00	10571.18	USD	2,565.84	
10AUG17	S 1 PD10NOV17	10805.00	10571.18	USD	1,402.92	
10AUG17	S 1 PD10NOV17	10795.00	10571.18	USD	1,342.92	
10AUG17	B 1 PD10NOV17	10805.00	10571.18	USD	-1,402.92	
10AUG17	S 1 PD10NOV17	10825.00	10571.18	USD	1,522.92	
10AUG17	S 2 PD10NOV17	10810.00	10571.18	USD	2,865.84	
10AUG17	B 1 PD10NOV17	10835.00	10571.18	USD	-1,582.92	
10AUG17	B 2 PD10NOV17	10795.00	10571.18	USD	-2,685.84	
10AUG17	S 2 PD10NOV17	10720.00	10571.18	USD	1,785.84	
10AUG17	S 1 PD10NOV17	10735.00	10571.18	USD	982.92	
10AUG17	S 1 PD10NOV17	10740.00	10571.18	USD	1,012.92	
10AUG17	B 2 PD10NOV17	10770.00	10571.18	USD	-2,385.84	
10AUG17	B 3 PD10NOV17	10760.00	10571.18	USD	-3,398.76	
10AUG17	B 14 PD10NOV17	10780.00	10571.18	USD	-17,540.88	
10AUG17	B 7 PD10NOV17	10775.00	10571.18	USD	-8,560.44	
10AUG17	S 2 PD10NOV17	10790.00	10571.18	USD	2,625.84	
10AUG17	B 2 PD10NOV17	10855.00	10571.18	USD	-3,405.84	
10AUG17	B 3 PD10NOV17	10850.00	10571.18	USD	-5,018.76	
10AUG17	B 2 PD10NOV17	10835.00	10571.18	USD	-3,165.84	
10AUG17	S 5 PD10NOV17	10930.00	10571.18	USD	10,764.60	
10AUG17	S 2 PD10NOV17	10925.00	10571.18	USD	4,245.84	
10AUG17	S 3 PD10NOV17	10940.00	10571.18	USD	6,638.76	
10AUG17	B 1 PD10NOV17	10955.00	10571.18	USD	-2,302.92	
10AUG17	B 2 PD10NOV17	10950.00	10571.18	USD	-4,545.84	
10AUG17	B 1 PD10NOV17	10930.00	10571.18	USD	-2,152.92	
10AUG17	S 1 PD10NOV17	10910.00	10571.18	USD	2,032.92	
10AUG17	S 3 PD10NOV17	10900.00	10571.18	USD	5,918.76	
10AUG17	S 1 PD10NOV17	10885.00	10571.18	USD	1,882.92	
10AUG17	B 1 PD10NOV17	10890.00	10571.18	USD	-1,912.92	
11AUG17	S 1 PD10NOV17	10805.00	10571.18	USD	1,402.92	
11AUG17	S 2 PD10NOV17	10830.00	10571.18	USD	3,105.84	
11AUG17	S 1 PD10NOV17	10840.00	10571.18	USD	1,612.92	
11AUG17	S 2 PD10NOV17	10815.00	10571.18	USD	2,925.84	
11AUG17	S 2 PD10NOV17	10810.00	10571.18	USD	2,865.84	
11AUG17	S 2 PD10NOV17	10845.00	10571.18	USD	3,285.84	
11AUG17	S 4 PD10NOV17	10835.00	10571.18	USD	6,331.68	
11AUG17	S 4 PD10NOV17	10820.00	10571.18	USD	5,971.68	
11AUG17	B 1 PD10NOV17	10820.00	10571.18	USD	-1,492.92	
11AUG17	S 1 PD10NOV17	10740.00	10571.18	USD	1,012.92	
11AUG17	B 1 PD10NOV17	10760.00	10571.18	USD	-1,132.92	
11AUG17	S 4 PD10NOV17	10735.00	10571.18	USD	3,931.68	
11AUG17	B 1 PD10NOV17	10740.00	10571.18	USD	-1,012.92	
11AUG17	B 1 PD10NOV17	10745.00	10571.18	USD	-1,042.92	
11AUG17	B 1 PD10NOV17	10750.00	10571.18	USD	-1,072.92	
11AUG17	S 3 PD10NOV17	10745.00	10571.18	USD	3,128.76	
11AUG17	S 1 PD10NOV17	10750.00	10571.18	USD	1,072.92	
11AUG17	S 1 PD10NOV17	10755.00	10571.18	USD	1,102.92	
11AUG17	S 2 PD10NOV17	10715.00	10571.18	USD	1,725.84	
11AUG17	B 2 PD10NOV17	10715.00	10571.18	USD	-1,725.84	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
11AUG17	B 1 PD10NOV17	10710.00	10571.18	USD	-832.92	
11AUG17	S 1 PD10NOV17	10675.00	10571.18	USD	622.92	
11AUG17	S 3 PD10NOV17	10665.00	10571.18	USD	1,688.76	
11AUG17	S 2 PD10NOV17	10670.00	10571.18	USD	1,185.84	
11AUG17	S 1 PD10NOV17	10620.00	10571.18	USD	292.92	
11AUG17	S 4 PD10NOV17	10625.00	10571.18	USD	1,291.68	
11AUG17	S 1 PD10NOV17	10635.00	10571.18	USD	382.92	
11AUG17	S 9 PD10NOV17	10630.00	10571.18	USD	3,176.28	
11AUG17	B 1 PD10NOV17	10600.00	10571.18	USD	-172.92	
11AUG17	B 3 PD10NOV17	10665.00	10571.18	USD	-1,688.76	
11AUG17	S 4 PD10NOV17	10640.00	10571.18	USD	1,651.68	
11AUG17	B 1 PD10NOV17	10635.00	10571.18	USD	-382.92	
11AUG17	B 1 PD10NOV17	10595.00	10571.18	USD	-142.92	
11AUG17	S 2 PD10NOV17	10700.00	10571.18	USD	1,545.84	
11AUG17	S 1 PD10NOV17	10745.00	10571.18	USD	1,042.92	
11AUG17	S 1 PD10NOV17	10640.00	10571.18	USD	412.92	
11AUG17	S 1 PD10NOV17	10630.00	10571.18	USD	352.92	
11AUG17	S 1 PD10NOV17	10675.00	10571.18	USD	622.92	
11AUG17	S 1 PD10NOV17	10670.00	10571.18	USD	592.92	
11AUG17	B 2 PD10NOV17	10610.00	10571.18	USD	-465.84	
11AUG17	B 1 PD10NOV17	10650.00	10571.18	USD	-472.92	
11AUG17	S 1 PD10NOV17	10655.00	10571.18	USD	502.92	
11AUG17	B 2 PD10NOV17	10695.00	10571.18	USD	-1,485.84	
11AUG17	B 9 PD10NOV17	10685.00	10571.18	USD	-6,146.28	
11AUG17	B 1 PD10NOV17	10675.00	10571.18	USD	-622.92	
11AUG17	B 4 PD10NOV17	10670.00	10571.18	USD	-2,371.68	
11AUG17	S 1 PD10NOV17	10660.00	10571.18	USD	532.92	
11AUG17	B 5 PD10NOV17	10655.00	10571.18	USD	-2,514.60	
11AUG17	B 1 PD10NOV17	10645.00	10571.18	USD	-442.92	
11AUG17	B 1 PD10NOV17	10625.00	10571.18	USD	-322.92	
11AUG17	B 1 PD10NOV17	10620.00	10571.18	USD	-292.92	
11AUG17	B 1 PD10NOV17	10585.00	10571.18	USD	-82.92	
11AUG17	B 1 PD10NOV17	10560.00	10571.18	USD	67.08	
11AUG17	B 1 PD10NOV17	10550.00	10571.18	USD	127.08	
11AUG17	B 1 PD10NOV17	10645.00	10571.18	USD	-442.92	
11AUG17	B 1 PD10NOV17	10650.00	10571.18	USD	-472.92	
11AUG17	B 1 PD10NOV17	10655.00	10571.18	USD	-502.92	
11AUG17	B 2 PD10NOV17	10660.00	10571.18	USD	-1,065.84	
11AUG17	B 1 PD10NOV17	10640.00	10571.18	USD	-412.92	
11AUG17	B 2 PD10NOV17	10630.00	10571.18	USD	-705.84	
17AUG17	B 4 PD10NOV17	10724.63	10571.18	USD	-3,682.80	
17AUG17	S 1 PD10NOV17	10722.63	10571.18	USD	908.70	
22AUG17	B 2 PD10NOV17	11412.04	10571.18	USD	-10,090.32	
23AUG17	S 1 PD10NOV17	11653.44	10571.18	USD	6,493.56	
05SEP17	S 2 PD10NOV17	12065.96	10571.18	USD	17,937.36	
11SEP17	B 4 PD10NOV17	11744.38	10571.18	USD	-28,156.80	
12SEP17	B 1 PD10NOV17	11963.00	10571.18	USD	-8,350.92	
	NET 0 AVG BGHT 10792.62 SOLD 10795.97				**2,129.22**	**0.00**
14AUG17	S 1 PD14NOV17	10615.00	10575.04	USD	239.76	
14AUG17	B 1 PD14NOV17	10610.00	10575.04	USD	-209.76	
14AUG17	S 1 PD14NOV17	10635.00	10575.04	USD	359.76	
14AUG17	B 1 PD14NOV17	10660.00	10575.04	USD	-509.76	
14AUG17	S 6 PD14NOV17	10645.00	10575.04	USD	2,518.56	
14AUG17	B 7 PD14NOV17	10650.00	10575.04	USD	-3,148.32	
14AUG17	S 1 PD14NOV17	10640.00	10575.04	USD	389.76	
14AUG17	S 3 PD14NOV17	10650.00	10575.04	USD	1,349.28	
14AUG17	B 2 PD14NOV17	10600.00	10575.04	USD	-299.52	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
14AUG17	S 1 PD14NOV17	10640.00	10575.04	USD	389.76	
14AUG17	S 1 PD14NOV17	10545.00	10575.04	USD	-180.24	
14AUG17	S 1 PD14NOV17	10550.00	10575.04	USD	-150.24	
14AUG17	S 3 PD14NOV17	10505.00	10575.04	USD	-1,260.72	
14AUG17	S 1 PD14NOV17	10510.00	10575.04	USD	-390.24	
14AUG17	S 6 PD14NOV17	10500.00	10575.04	USD	-2,701.44	
14AUG17	S 7 PD14NOV17	10495.00	10575.04	USD	-3,361.68	
14AUG17	S 2 PD14NOV17	10480.00	10575.04	USD	-1,140.48	
14AUG17	S 1 PD14NOV17	10485.00	10575.04	USD	-540.24	
14AUG17	B 1 PD14NOV17	10495.00	10575.04	USD	480.24	
14AUG17	B 1 PD14NOV17	10485.00	10575.04	USD	540.24	
14AUG17	B 5 PD14NOV17	10490.00	10575.04	USD	2,551.20	
14AUG17	B 2 PD14NOV17	10500.00	10575.04	USD	900.48	
14AUG17	B 1 PD14NOV17	10480.00	10575.04	USD	570.24	
14AUG17	B 1 PD14NOV17	10455.00	10575.04	USD	720.24	
14AUG17	B 1 PD14NOV17	10510.00	10575.04	USD	390.24	
14AUG17	B 1 PD14NOV17	10515.00	10575.04	USD	360.24	
14AUG17	S 5 PD14NOV17	10415.00	10575.04	USD	-4,801.20	
14AUG17	S 2 PD14NOV17	10410.00	10575.04	USD	-1,980.48	
14AUG17	B 6 PD14NOV17	10420.00	10575.04	USD	5,581.44	
14AUG17	S 1 PD14NOV17	10420.00	10575.04	USD	-930.24	
14AUG17	S 2 PD14NOV17	10430.00	10575.04	USD	-1,740.48	
14AUG17	S 1 PD14NOV17	10425.00	10575.04	USD	-900.24	
14AUG17	S 1 PD14NOV17	10435.00	10575.04	USD	-840.24	
14AUG17	S 3 PD14NOV17	10445.00	10575.04	USD	-2,340.72	
14AUG17	S 8 PD14NOV17	10440.00	10575.04	USD	-6,481.92	
14AUG17	B 1 PD14NOV17	10405.00	10575.04	USD	1,020.24	
14AUG17	B 2 PD14NOV17	10410.00	10575.04	USD	1,980.48	
14AUG17	B 3 PD14NOV17	10440.00	10575.04	USD	2,430.72	
14AUG17	B 2 PD14NOV17	10435.00	10575.04	USD	1,680.48	
14AUG17	S 1 PD14NOV17	10435.00	10575.04	USD	-840.24	
17AUG17	B 2 PD14NOV17	10726.33	10575.04	USD	-1,815.48	
17AUG17	S 1 PD14NOV17	10726.33	10575.04	USD	907.74	
17AUG17	B 5 PD14NOV17	10727.33	10575.04	USD	-4,568.70	
22AUG17	B 2 PD14NOV17	11414.81	10575.04	USD	-10,077.24	
05SEP17	B 4 PD14NOV17	12070.19	10575.04	USD	-35,883.60	
11SEP17	B 1 PD14NOV17	11747.08	10575.04	USD	-7,032.24	
11SEP17	B 2 PD14NOV17	11748.08	10575.04	USD	-14,076.48	
12SEP17	B 2 PD14NOV17	11968.00	10575.04	USD	-16,715.52	
12SEP17	B 4 PD14NOV17	11967.00	10575.04	USD	-33,407.04	
	NET 0 AVG BGHT 10876.53 SOLD 10507.19				**-132,963.60**	**0.00**
15AUG17	S 2 PD15NOV17	10360.00	10576.00	USD	-2,592.00	
15AUG17	B 1 PD15NOV17	10430.00	10576.00	USD	876.00	
15AUG17	B 1 PD15NOV17	10460.00	10576.00	USD	696.00	
15AUG17	B 1 PD15NOV17	10450.00	10576.00	USD	756.00	
15AUG17	S 7 PD15NOV17	10405.00	10576.00	USD	-7,182.00	
15AUG17	B 2 PD15NOV17	10390.00	10576.00	USD	2,232.00	
15AUG17	S 1 PD15NOV17	10420.00	10576.00	USD	-936.00	
15AUG17	B 3 PD15NOV17	10415.00	10576.00	USD	2,898.00	
15AUG17	S 6 PD15NOV17	10415.00	10576.00	USD	-5,796.00	
15AUG17	B 2 PD15NOV17	10420.00	10576.00	USD	1,872.00	
15AUG17	B 3 PD15NOV17	10405.00	10576.00	USD	3,078.00	
15AUG17	B 4 PD15NOV17	10410.00	10576.00	USD	3,984.00	
15AUG17	B 13 PD15NOV17	10400.00	10576.00	USD	13,728.00	
15AUG17	B 3 PD15NOV17	10395.00	10576.00	USD	3,258.00	
15AUG17	B 2 PD15NOV17	10385.00	10576.00	USD	2,292.00	
15AUG17	B 6 PD15NOV17	10380.00	10576.00	USD	7,056.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
15AUG17	B 3 PD15NOV17	10350.00	10576.00	USD	4,068.00	
15AUG17	B 2 PD15NOV17	10360.00	10576.00	USD	2,592.00	
15AUG17	B 3 PD15NOV17	10370.00	10576.00	USD	3,708.00	
15AUG17	B 1 PD15NOV17	10365.00	10576.00	USD	1,266.00	
15AUG17	S 1 PD15NOV17	10365.00	10576.00	USD	-1,266.00	
15AUG17	S 6 PD15NOV17	10370.00	10576.00	USD	-7,416.00	
15AUG17	S 2 PD15NOV17	10355.00	10576.00	USD	-2,652.00	
15AUG17	B 2 PD15NOV17	10355.00	10576.00	USD	2,652.00	
15AUG17	S 1 PD15NOV17	10415.00	10576.00	USD	-966.00	
15AUG17	B 1 PD15NOV17	10415.00	10576.00	USD	966.00	
15AUG17	B 2 PD15NOV17	10360.00	10576.00	USD	2,592.00	
17AUG17	S 2 PD15NOV17	10726.00	10576.00	USD	1,800.00	
17AUG17	S 11 PD15NOV17	10727.00	10576.00	USD	9,966.00	
23AUG17	S 3 PD15NOV17	11657.00	10576.00	USD	19,458.00	
05SEP17	S 2 PD15NOV17	12070.00	10576.00	USD	17,928.00	
11SEP17	S 1 PD15NOV17	11747.00	10576.00	USD	7,026.00	
12SEP17	S 1 PD15NOV17	11967.00	10576.00	USD	8,346.00	
12SEP17	S 7 PD15NOV17	11968.00	10576.00	USD	58,464.00	
14SEP17	S 2 PD15NOV17	11177.00	10576.00	USD	7,212.00	
	NET 0 AVG BGHT 10392.45 SOLD 10883.25				**161,964.00**	**0.00**
16AUG17	B 1 PD16NOV17	10390.00	10576.89	USD	1,121.34	
16AUG17	S 3 PD16NOV17	10475.00	10576.89	USD	-1,834.02	
16AUG17	S 1 PD16NOV17	10555.00	10576.89	USD	-131.34	
16AUG17	S 1 PD16NOV17	10620.00	10576.89	USD	258.66	
16AUG17	S 1 PD16NOV17	10590.00	10576.89	USD	78.66	
16AUG17	S 2 PD16NOV17	10595.00	10576.89	USD	217.32	
16AUG17	S 2 PD16NOV17	10605.00	10576.89	USD	337.32	
16AUG17	S 3 PD16NOV17	10600.00	10576.89	USD	415.98	
16AUG17	S 2 PD16NOV17	10585.00	10576.89	USD	97.32	
16AUG17	S 10 PD16NOV17	10575.00	10576.89	USD	-113.40	
16AUG17	S 5 PD16NOV17	10565.00	10576.89	USD	-356.70	
16AUG17	S 6 PD16NOV17	10560.00	10576.89	USD	-608.04	
16AUG17	B 14 PD16NOV17	10565.00	10576.89	USD	998.76	
16AUG17	S 4 PD16NOV17	10570.00	10576.89	USD	-165.36	
16AUG17	B 1 PD16NOV17	10580.00	10576.89	USD	-18.66	
16AUG17	B 1 PD16NOV17	10575.00	10576.89	USD	11.34	
16AUG17	S 1 PD16NOV17	10615.00	10576.89	USD	228.66	
16AUG17	S 6 PD16NOV17	10610.00	10576.89	USD	1,191.96	
16AUG17	S 3 PD16NOV17	10655.00	10576.89	USD	1,405.98	
16AUG17	S 1 PD16NOV17	10670.00	10576.89	USD	558.66	
16AUG17	B 3 PD16NOV17	10685.00	10576.89	USD	-1,945.98	
16AUG17	B 1 PD16NOV17	10680.00	10576.89	USD	-618.66	
16AUG17	B 1 PD16NOV17	10690.00	10576.89	USD	-678.66	
16AUG17	S 4 PD16NOV17	10730.00	10576.89	USD	3,674.64	
16AUG17	S 2 PD16NOV17	10720.00	10576.89	USD	1,717.32	
16AUG17	S 3 PD16NOV17	10750.00	10576.89	USD	3,115.98	
16AUG17	S 1 PD16NOV17	10755.00	10576.89	USD	1,068.66	
16AUG17	B 3 PD16NOV17	10755.00	10576.89	USD	-3,205.98	
16AUG17	B 1 PD16NOV17	10745.00	10576.89	USD	-1,008.66	
16AUG17	B 1 PD16NOV17	10740.00	10576.89	USD	-978.66	
16AUG17	B 4 PD16NOV17	10715.00	10576.89	USD	-3,314.64	
16AUG17	B 7 PD16NOV17	10730.00	10576.89	USD	-6,430.62	
16AUG17	B 6 PD16NOV17	10725.00	10576.89	USD	-5,331.96	
16AUG17	B 2 PD16NOV17	10720.00	10576.89	USD	-1,717.32	
16AUG17	B 2 PD16NOV17	10565.00	10576.89	USD	142.68	
16AUG17	S 3 PD16NOV17	10565.00	10576.89	USD	-214.02	
16AUG17	B 1 PD16NOV17	10795.00	10576.89	USD	-1,308.66	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
16AUG17	S 1 PD16NOV17	10815.00	10576.89	USD	1,428.66	
16AUG17	S 1 PD16NOV17	10825.00	10576.89	USD	1,488.66	
16AUG17	S 4 PD16NOV17	10820.00	10576.89	USD	5,834.64	
16AUG17	B 3 PD16NOV17	10770.00	10576.89	USD	-3,475.98	
17AUG17	B 12 PD16NOV17	10726.00	10576.89	USD	-10,735.92	
22AUG17	B 3 PD16NOV17	11413.50	10576.89	USD	-15,058.98	
05SEP17	B 1 PD16NOV17	12070.00	10576.89	USD	-8,958.66	
11SEP17	B 2 PD16NOV17	11748.65	10576.89	USD	-14,061.12	
	NET 0 AVG BGHT 10759.21 SOLD 10623.79				**-56,878.80**	**0.00**
17AUG17	B 1 PD17NOV17	10755.00	10577.77	USD	-1,063.38	
17AUG17	B 2 PD17NOV17	10785.00	10577.77	USD	-2,486.76	
17AUG17	S 1 PD17NOV17	10770.00	10577.77	USD	1,153.38	
17AUG17	B 1 PD17NOV17	10825.00	10577.77	USD	-1,483.38	
17AUG17	S 1 PD17NOV17	10850.00	10577.77	USD	1,633.38	
17AUG17	B 1 PD17NOV17	10850.00	10577.77	USD	-1,633.38	
17AUG17	S 1 PD17NOV17	10870.00	10577.77	USD	1,753.38	
17AUG17	S 7 PD17NOV17	10865.00	10577.77	USD	12,063.66	
17AUG17	S 1 PD17NOV17	10835.00	10577.77	USD	1,543.38	
17AUG17	S 6 PD17NOV17	10830.00	10577.77	USD	9,080.28	
17AUG17	S 1 PD17NOV17	10820.00	10577.77	USD	1,453.38	
17AUG17	S 1 PD17NOV17	10805.00	10577.77	USD	1,363.38	
17AUG17	S 1 PD17NOV17	10800.00	10577.77	USD	1,333.38	
17AUG17	S 3 PD17NOV17	10785.00	10577.77	USD	3,730.14	
17AUG17	S 1 PD17NOV17	10795.00	10577.77	USD	1,303.38	
17AUG17	S 7 PD17NOV17	10790.00	10577.77	USD	8,913.66	
17AUG17	B 2 PD17NOV17	10800.00	10577.77	USD	-2,666.76	
17AUG17	B 2 PD17NOV17	10725.00	10577.77	USD	-1,766.76	
17AUG17	B 2 PD17NOV17	10795.00	10577.77	USD	-2,606.76	
17AUG17	B 2 PD17NOV17	10800.00	10577.77	USD	-2,666.76	
17AUG17	S 5 PD17NOV17	10810.00	10577.77	USD	6,966.90	
17AUG17	S 3 PD17NOV17	10815.00	10577.77	USD	4,270.14	
17AUG17	B 13 PD17NOV17	10770.00	10577.77	USD	-14,993.94	
17AUG17	B 5 PD17NOV17	10760.00	10577.77	USD	-5,466.90	
17AUG17	B 1 PD17NOV17	10725.00	10577.77	USD	-883.38	
17AUG17	B 1 PD17NOV17	10720.00	10577.77	USD	-853.38	
17AUG17	B 5 PD17NOV17	10780.00	10577.77	USD	-6,066.90	
17AUG17	B 10 PD17NOV17	10775.00	10577.77	USD	-11,833.80	
17AUG17	B 10 PD17NOV17	10765.00	10577.77	USD	-11,233.80	
17AUG17	S 2 PD17NOV17	10750.00	10577.77	USD	2,066.76	
17AUG17	B 11 PD17NOV17	10750.00	10577.77	USD	-11,367.18	
17AUG17	B 1 PD17NOV17	10745.00	10577.77	USD	-1,003.38	
17AUG17	B 7 PD17NOV17	10740.00	10577.77	USD	-6,813.66	
17AUG17	B 9 PD17NOV17	10735.00	10577.77	USD	-8,490.42	
17AUG17	S 1 PD17NOV17	10740.00	10577.77	USD	973.38	
17AUG17	B 1 PD17NOV17	10685.00	10577.77	USD	-643.38	
17AUG17	B 1 PD17NOV17	10665.00	10577.77	USD	-523.38	
18AUG17	S 2 PD17NOV17	10630.00	10577.77	USD	626.76	
18AUG17	S 2 PD17NOV17	10665.00	10577.77	USD	1,046.76	
18AUG17	S 1 PD17NOV17	10710.00	10577.77	USD	793.38	
18AUG17	S 4 PD17NOV17	10810.00	10577.77	USD	5,573.52	
18AUG17	S 3 PD17NOV17	10815.00	10577.77	USD	4,270.14	
18AUG17	B 1 PD17NOV17	10865.00	10577.77	USD	-1,723.38	
18AUG17	B 5 PD17NOV17	10870.00	10577.77	USD	-8,766.90	
18AUG17	B 6 PD17NOV17	10875.00	10577.77	USD	-10,700.28	
18AUG17	B 14 PD17NOV17	10880.00	10577.77	USD	-25,387.32	
18AUG17	S 2 PD17NOV17	10920.00	10577.77	USD	4,106.76	
18AUG17	B 3 PD17NOV17	10920.00	10577.77	USD	-6,160.14	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
18AUG17	B 2 PD17NOV17	10945.00	10577.77	USD	-4,406.76	
18AUG17	B 1 PD17NOV17	10960.00	10577.77	USD	-2,293.38	
18AUG17	B 3 PD17NOV17	10970.00	10577.77	USD	-7,060.14	
18AUG17	B 3 PD17NOV17	10975.00	10577.77	USD	-7,150.14	
18AUG17	S 1 PD17NOV17	10995.00	10577.77	USD	2,503.38	
18AUG17	S 1 PD17NOV17	11000.00	10577.77	USD	2,533.38	
18AUG17	S 2 PD17NOV17	11005.00	10577.77	USD	5,126.76	
18AUG17	S 1 PD17NOV17	11050.00	10577.77	USD	2,833.38	
18AUG17	B 1 PD17NOV17	10995.00	10577.77	USD	-2,503.38	
18AUG17	B 3 PD17NOV17	10915.00	10577.77	USD	-6,070.14	
18AUG17	B 7 PD17NOV17	10910.00	10577.77	USD	-13,953.66	
18AUG17	B 7 PD17NOV17	10860.00	10577.77	USD	-11,853.66	
18AUG17	S 1 PD17NOV17	10870.00	10577.77	USD	1,753.38	
18AUG17	S 1 PD17NOV17	10895.00	10577.77	USD	1,903.38	
18AUG17	S 3 PD17NOV17	10890.00	10577.77	USD	5,620.14	
18AUG17	B 2 PD17NOV17	10850.00	10577.77	USD	-3,266.76	
18AUG17	S 5 PD17NOV17	10855.00	10577.77	USD	8,316.90	
18AUG17	B 2 PD17NOV17	10845.00	10577.77	USD	-3,206.76	
18AUG17	S 2 PD17NOV17	10850.00	10577.77	USD	3,266.76	
18AUG17	S 5 PD17NOV17	10900.00	10577.77	USD	9,666.90	
18AUG17	B 1 PD17NOV17	10855.00	10577.77	USD	-1,663.38	
18AUG17	S 3 PD17NOV17	10930.00	10577.77	USD	6,340.14	
18AUG17	B 1 PD17NOV17	10990.00	10577.77	USD	-2,473.38	
18AUG17	S 2 PD17NOV17	10940.00	10577.77	USD	4,346.76	
18AUG17	S 1 PD17NOV17	10950.00	10577.77	USD	2,233.38	
18AUG17	B 1 PD17NOV17	10860.00	10577.77	USD	-1,693.38	
18AUG17	S 1 PD17NOV17	11025.00	10577.77	USD	2,683.38	
18AUG17	S 3 PD17NOV17	11020.00	10577.77	USD	7,960.14	
18AUG17	B 1 PD17NOV17	11005.00	10577.77	USD	-2,563.38	
18AUG17	S 1 PD17NOV17	10990.00	10577.77	USD	2,473.38	
18AUG17	S 4 PD17NOV17	10975.00	10577.77	USD	9,533.52	
18AUG17	S 3 PD17NOV17	10970.00	10577.77	USD	7,060.14	
18AUG17	S 5 PD17NOV17	10960.00	10577.77	USD	11,466.90	
18AUG17	S 1 PD17NOV17	10960.00	10577.77	USD	2,293.38	
23AUG17	S 8 PD17NOV17	11655.63	10577.77	USD	51,737.28	
05SEP17	S 5 PD17NOV17	12069.58	10577.77	USD	44,754.30	
11SEP17	S 2 PD17NOV17	11748.31	10577.77	USD	14,046.48	
11SEP17	S 13 PD17NOV17	11749.31	10577.77	USD	91,380.12	
12SEP17	S 4 PD17NOV17	11968.63	10577.77	USD	33,380.64	
12SEP17	S 7 PD17NOV17	11969.63	10577.77	USD	58,458.12	
15SEP17	S 7 PD17NOV17	11067.60	10577.77	USD	20,572.86	
	NET +4 AVG BGHT 10818.39 SOLD 11129.87				**270,820.80**	**0.00**
21AUG17	B 2 PD21NOV17	11030.00	10581.31	USD	-5,384.28	
21AUG17	S 1 PD21NOV17	11080.00	10581.31	USD	2,992.14	
21AUG17	S 1 PD21NOV17	11130.00	10581.31	USD	3,292.14	
21AUG17	S 3 PD21NOV17	11205.00	10581.31	USD	11,226.42	
21AUG17	S 1 PD21NOV17	11210.00	10581.31	USD	3,772.14	
21AUG17	S 2 PD21NOV17	11000.00	10581.31	USD	5,024.28	
21AUG17	B 3 PD21NOV17	11095.00	10581.31	USD	-9,246.42	
21AUG17	S 1 PD21NOV17	11185.00	10581.31	USD	3,622.14	
21AUG17	S 1 PD21NOV17	11020.00	10581.31	USD	2,632.14	
21AUG17	S 6 PD21NOV17	11125.00	10581.31	USD	19,572.84	
21AUG17	B 1 PD21NOV17	11150.00	10581.31	USD	-3,412.14	
21AUG17	B 3 PD21NOV17	11155.00	10581.31	USD	-10,326.42	
21AUG17	S 3 PD21NOV17	11120.00	10581.31	USD	9,696.42	
21AUG17	S 3 PD21NOV17	11015.00	10581.31	USD	7,806.42	
21AUG17	S 4 PD21NOV17	11195.00	10581.31	USD	14,728.56	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
21AUG17	S 2 PD21NOV17	11105.00	10581.31	USD	6,284.28	
21AUG17	S 1 PD21NOV17	11215.00	10581.31	USD	3,802.14	
21AUG17	B 1 PD21NOV17	11215.00	10581.31	USD	-3,802.14	
21AUG17	B 2 PD21NOV17	11170.00	10581.31	USD	-7,064.28	
21AUG17	S 1 PD21NOV17	11190.00	10581.31	USD	3,652.14	
21AUG17	B 1 PD21NOV17	11165.00	10581.31	USD	-3,502.14	
21AUG17	B 2 PD21NOV17	11025.00	10581.31	USD	-5,324.28	
21AUG17	S 1 PD21NOV17	11260.00	10581.31	USD	4,072.14	
21AUG17	B 1 PD21NOV17	11275.00	10581.31	USD	-4,162.14	
21AUG17	B 5 PD21NOV17	11245.00	10581.31	USD	-19,910.70	
21AUG17	S 7 PD21NOV17	11250.00	10581.31	USD	28,084.98	
21AUG17	S 2 PD21NOV17	11255.00	10581.31	USD	8,084.28	
21AUG17	S 1 PD21NOV17	11235.00	10581.31	USD	3,922.14	
21AUG17	S 6 PD21NOV17	11240.00	10581.31	USD	23,712.84	
21AUG17	B 1 PD21NOV17	11240.00	10581.31	USD	-3,952.14	
21AUG17	S 6 PD21NOV17	11245.00	10581.31	USD	23,892.84	
21AUG17	S 2 PD21NOV17	11340.00	10581.31	USD	9,104.28	
21AUG17	S 1 PD21NOV17	11345.00	10581.31	USD	4,582.14	
21AUG17	S 3 PD21NOV17	11330.00	10581.31	USD	13,476.42	
21AUG17	B 1 PD21NOV17	11345.00	10581.31	USD	-4,582.14	
21AUG17	B 3 PD21NOV17	11315.00	10581.31	USD	-13,206.42	
21AUG17	B 1 PD21NOV17	11285.00	10581.31	USD	-4,222.14	
21AUG17	S 2 PD21NOV17	11315.00	10581.31	USD	8,804.28	
21AUG17	S 2 PD21NOV17	11245.00	10581.31	USD	7,964.28	
21AUG17	B 3 PD21NOV17	11310.00	10581.31	USD	-13,116.42	
21AUG17	B 3 PD21NOV17	11300.00	10581.31	USD	-12,936.42	
21AUG17	B 8 PD21NOV17	11290.00	10581.31	USD	-34,017.12	
21AUG17	B 5 PD21NOV17	11295.00	10581.31	USD	-21,410.70	
21AUG17	S 1 PD21NOV17	11305.00	10581.31	USD	4,342.14	
21AUG17	B 4 PD21NOV17	11265.00	10581.31	USD	-16,408.56	
21AUG17	S 1 PD21NOV17	11265.00	10581.31	USD	4,102.14	
21AUG17	S 3 PD21NOV17	11275.00	10581.31	USD	12,486.42	
21AUG17	S 2 PD21NOV17	11280.00	10581.31	USD	8,384.28	
21AUG17	S 2 PD21NOV17	11285.00	10581.31	USD	8,444.28	
21AUG17	S 4 PD21NOV17	11300.00	10581.31	USD	17,248.56	
21AUG17	B 2 PD21NOV17	11305.00	10581.31	USD	-8,684.28	
21AUG17	S 1 PD21NOV17	11335.00	10581.31	USD	4,522.14	
21AUG17	S 2 PD21NOV17	11280.00	10581.31	USD	8,384.28	
22AUG17	S 1 PD21NOV17	11413.58	10581.31	USD	4,993.62	
23AUG17	B 7 PD21NOV17	11659.13	10581.31	USD	-45,268.44	
05SEP17	B 3 PD21NOV17	12072.89	10581.31	USD	-26,848.44	
11SEP17	B 6 PD21NOV17	11752.92	10581.31	USD	-42,177.96	
12SEP17	B 10 PD21NOV17	11973.89	10581.31	USD	-83,554.80	
	NET -2 AVG BGHT 11441.40 SOLD 11220.29				**-95,808.24**	**0.00**
22AUG17	S 1 PD22NOV17	11365.00	10582.20	USD	4,696.80	
22AUG17	B 1 PD22NOV17	11360.00	10582.20	USD	-4,666.80	
22AUG17	B 1 PD22NOV17	11350.00	10582.20	USD	-4,606.80	
22AUG17	B 1 PD22NOV17	11340.00	10582.20	USD	-4,546.80	
22AUG17	B 1 PD22NOV17	11325.00	10582.20	USD	-4,456.80	
22AUG17	S 1 PD22NOV17	11270.00	10582.20	USD	4,126.80	
22AUG17	S 1 PD22NOV17	11275.00	10582.20	USD	4,156.80	
22AUG17	S 1 PD22NOV17	11235.00	10582.20	USD	3,916.80	
22AUG17	S 2 PD22NOV17	11245.00	10582.20	USD	7,953.60	
22AUG17	S 1 PD22NOV17	11265.00	10582.20	USD	4,096.80	
22AUG17	B 3 PD22NOV17	11305.00	10582.20	USD	-13,010.40	
22AUG17	S 1 PD22NOV17	11325.00	10582.20	USD	4,456.80	
22AUG17	S 1 PD22NOV17	11320.00	10582.20	USD	4,426.80	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
22AUG17	B 2 PD22NOV17	11395.00	10582.20	USD	-9,753.60	
22AUG17	S 5 PD22NOV17	11355.00	10582.20	USD	23,184.00	
22AUG17	S 1 PD22NOV17	11445.00	10582.20	USD	5,176.80	
22AUG17	S 1 PD22NOV17	11365.00	10582.20	USD	4,696.80	
22AUG17	S 3 PD22NOV17	11470.00	10582.20	USD	15,980.40	
22AUG17	B 1 PD22NOV17	11535.00	10582.20	USD	-5,716.80	
22AUG17	S 1 PD22NOV17	11520.00	10582.20	USD	5,626.80	
22AUG17	B 1 PD22NOV17	11540.00	10582.20	USD	-5,746.80	
22AUG17	B 1 PD22NOV17	11550.00	10582.20	USD	-5,806.80	
22AUG17	S 1 PD22NOV17	11540.00	10582.20	USD	5,746.80	
22AUG17	S 1 PD22NOV17	11530.00	10582.20	USD	5,686.80	
22AUG17	S 1 PD22NOV17	11515.00	10582.20	USD	5,596.80	
22AUG17	B 7 PD22NOV17	11510.00	10582.20	USD	-38,967.60	
22AUG17	S 3 PD22NOV17	11510.00	10582.20	USD	16,700.40	
22AUG17	S 1 PD22NOV17	11505.00	10582.20	USD	5,536.80	
22AUG17	B 1 PD22NOV17	11505.00	10582.20	USD	-5,536.80	
22AUG17	S 4 PD22NOV17	11490.00	10582.20	USD	21,787.20	
22AUG17	S 4 PD22NOV17	11495.00	10582.20	USD	21,907.20	
22AUG17	S 1 PD22NOV17	11500.00	10582.20	USD	5,506.80	
22AUG17	S 4 PD22NOV17	11485.00	10582.20	USD	21,667.20	
22AUG17	S 5 PD22NOV17	11480.00	10582.20	USD	26,934.00	
22AUG17	S 2 PD22NOV17	11475.00	10582.20	USD	10,713.60	
22AUG17	S 5 PD22NOV17	11425.00	10582.20	USD	25,284.00	
22AUG17	B 1 PD22NOV17	11430.00	10582.20	USD	-5,086.80	
22AUG17	S 1 PD22NOV17	11455.00	10582.20	USD	5,236.80	
22AUG17	S 1 PD22NOV17	11465.00	10582.20	USD	5,296.80	
22AUG17	B 1 PD22NOV17	11490.00	10582.20	USD	-5,446.80	
22AUG17	B 4 PD22NOV17	11485.00	10582.20	USD	-21,667.20	
22AUG17	B 1 PD22NOV17	11475.00	10582.20	USD	-5,356.80	
22AUG17	B 2 PD22NOV17	11470.00	10582.20	USD	-10,653.60	
22AUG17	B 1 PD22NOV17	11455.00	10582.20	USD	-5,236.80	
22AUG17	B 2 PD22NOV17	11450.00	10582.20	USD	-10,413.60	
22AUG17	B 2 PD22NOV17	11440.00	10582.20	USD	-10,293.60	
22AUG17	B 1 PD22NOV17	11420.00	10582.20	USD	-5,026.80	
22AUG17	S 1 PD22NOV17	11435.00	10582.20	USD	5,116.80	
22AUG17	B 1 PD22NOV17	11410.00	10582.20	USD	-4,966.80	
22AUG17	B 8 PD22NOV17	11405.00	10582.20	USD	-39,494.40	
22AUG17	S 4 PD22NOV17	11430.00	10582.20	USD	20,347.20	
22AUG17	S 5 PD22NOV17	11420.00	10582.20	USD	25,134.00	
22AUG17	B 7 PD22NOV17	11415.00	10582.20	USD	-34,977.60	
22AUG17	B 8 PD22NOV17	11400.00	10582.20	USD	-39,254.40	
22AUG17	S 2 PD22NOV17	11375.00	10582.20	USD	9,513.60	
22AUG17	S 2 PD22NOV17	11345.00	10582.20	USD	9,153.60	
22AUG17	S 4 PD22NOV17	11330.00	10582.20	USD	17,947.20	
22AUG17	S 3 PD22NOV17	11335.00	10582.20	USD	13,550.40	
22AUG17	S 1 PD22NOV17	11525.00	10582.20	USD	5,656.80	
22AUG17	S 2 PD22NOV17	11380.00	10582.20	USD	9,573.60	
22AUG17	B 2 PD22NOV17	11365.00	10582.20	USD	-9,393.60	
22AUG17	S 4 PD22NOV17	11385.00	10582.20	USD	19,267.20	
22AUG17	S 3 PD22NOV17	11390.00	10582.20	USD	14,540.40	
22AUG17	S 9 PD22NOV17	11400.00	10582.20	USD	44,161.20	
22AUG17	S 7 PD22NOV17	11405.00	10582.20	USD	34,557.60	
22AUG17	S 11 PD22NOV17	11410.00	10582.20	USD	54,634.80	
22AUG17	S 6 PD22NOV17	11395.00	10582.20	USD	29,260.80	
22AUG17	B 6 PD22NOV17	11445.00	10582.20	USD	-31,060.80	
23AUG17	B 5 PD22NOV17	11659.75	10582.20	USD	-32,326.50	
30AUG17	B 4 PD22NOV17	11579.00	10582.20	USD	-23,923.20	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
05SEP17	B 3 PD22NOV17	12073.47	10582.20	USD	-26,842.86	
06SEP17	B 2 PD22NOV17	12156.60	10582.20	USD	-18,892.80	
11SEP17	B 3 PD22NOV17	11752.58	10582.20	USD	-21,066.84	
11SEP17	B 7 PD22NOV17	11753.58	10582.20	USD	-49,197.96	
12SEP17	B 8 PD22NOV17	11973.70	10582.20	USD	-66,792.00	
12SEP17	B 10 PD22NOV17	11974.70	10582.20	USD	-83,550.00	
14SEP17	B 1 PD22NOV17	11182.55	10582.20	USD	-3,602.10	
14SEP17	B 2 PD22NOV17	11183.55	10582.20	USD	-7,216.20	
15SEP17	B 4 PD22NOV17	11072.60	10582.20	USD	-11,769.60	
	NET -2 AVG BGHT 11568.30 SOLD 11413.43				**-97,813.26**	**0.00**
23AUG17	S 2 PD24NOV17	11355.00	10583.97	USD	9,252.36	
23AUG17	B 2 PD24NOV17	11355.00	10583.97	USD	-9,252.36	
23AUG17	S 1 PD24NOV17	11320.00	10583.97	USD	4,416.18	
23AUG17	B 1 PD24NOV17	11350.00	10583.97	USD	-4,596.18	
23AUG17	S 3 PD24NOV17	11265.00	10583.97	USD	12,258.54	
23AUG17	B 2 PD24NOV17	11270.00	10583.97	USD	-8,232.36	
23AUG17	S 2 PD24NOV17	11275.00	10583.97	USD	8,292.36	
23AUG17	B 2 PD24NOV17	11305.00	10583.97	USD	-8,652.36	
23AUG17	S 2 PD24NOV17	11365.00	10583.97	USD	9,372.36	
23AUG17	B 2 PD24NOV17	11370.00	10583.97	USD	-9,432.36	
23AUG17	B 5 PD24NOV17	11360.00	10583.97	USD	-23,280.90	
23AUG17	B 2 PD24NOV17	11385.00	10583.97	USD	-9,612.36	
23AUG17	B 4 PD24NOV17	11415.00	10583.97	USD	-19,944.72	
23AUG17	B 1 PD24NOV17	11425.00	10583.97	USD	-5,046.18	
23AUG17	B 2 PD24NOV17	11420.00	10583.97	USD	-10,032.36	
23AUG17	S 1 PD24NOV17	11415.00	10583.97	USD	4,986.18	
23AUG17	B 3 PD24NOV17	11375.00	10583.97	USD	-14,238.54	
23AUG17	B 1 PD24NOV17	11365.00	10583.97	USD	-4,686.18	
23AUG17	S 1 PD24NOV17	11540.00	10583.97	USD	5,736.18	
23AUG17	B 1 PD24NOV17	11415.00	10583.97	USD	-4,986.18	
23AUG17	B 1 PD24NOV17	11345.00	10583.97	USD	-4,566.18	
23AUG17	S 1 PD24NOV17	11550.00	10583.97	USD	5,796.18	
23AUG17	S 1 PD24NOV17	11510.00	10583.97	USD	5,556.18	
23AUG17	B 1 PD24NOV17	11475.00	10583.97	USD	-5,346.18	
23AUG17	S 1 PD24NOV17	11485.00	10583.97	USD	5,406.18	
23AUG17	S 2 PD24NOV17	11480.00	10583.97	USD	10,752.36	
23AUG17	S 1 PD24NOV17	11470.00	10583.97	USD	5,316.18	
23AUG17	B 4 PD24NOV17	11450.00	10583.97	USD	-20,784.72	
23AUG17	S 2 PD24NOV17	11450.00	10583.97	USD	10,392.36	
23AUG17	S 7 PD24NOV17	11425.00	10583.97	USD	35,323.26	
23AUG17	S 1 PD24NOV17	11435.00	10583.97	USD	5,106.18	
23AUG17	B 1 PD24NOV17	11430.00	10583.97	USD	-5,076.18	
23AUG17	S 3 PD24NOV17	11455.00	10583.97	USD	15,678.54	
23AUG17	S 4 PD24NOV17	11460.00	10583.97	USD	21,024.72	
23AUG17	S 2 PD24NOV17	11490.00	10583.97	USD	10,872.36	
23AUG17	S 2 PD24NOV17	11475.00	10583.97	USD	10,692.36	
23AUG17	S 2 PD24NOV17	11495.00	10583.97	USD	10,932.36	
23AUG17	S 5 PD24NOV17	11500.00	10583.97	USD	27,480.90	
23AUG17	B 1 PD24NOV17	11460.00	10583.97	USD	-5,256.18	
23AUG17	S 6 PD24NOV17	11580.00	10583.97	USD	35,857.08	
23AUG17	S 3 PD24NOV17	11585.00	10583.97	USD	18,018.54	
23AUG17	S 3 PD24NOV17	11570.00	10583.97	USD	17,748.54	
23AUG17	B 2 PD24NOV17	11555.00	10583.97	USD	-11,652.36	
23AUG17	B 2 PD24NOV17	11550.00	10583.97	USD	-11,592.36	
23AUG17	B 1 PD24NOV17	11540.00	10583.97	USD	-5,736.18	
23AUG17	B 1 PD24NOV17	11530.00	10583.97	USD	-5,676.18	
23AUG17	B 1 PD24NOV17	11520.00	10583.97	USD	-5,616.18	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
23AUG17	B 2 PD24NOV17	11495.00	10583.97	USD	-10,932.36	
23AUG17	B 3 PD24NOV17	11490.00	10583.97	USD	-16,308.54	
23AUG17	B 1 PD24NOV17	11485.00	10583.97	USD	-5,406.18	
23AUG17	S 4 PD24NOV17	11455.00	10583.97	USD	20,904.72	
23AUG17	B 5 PD24NOV17	11455.00	10583.97	USD	-26,130.90	
23AUG17	S 2 PD24NOV17	11465.00	10583.97	USD	10,572.36	
23AUG17	B 1 PD24NOV17	11570.00	10583.97	USD	-5,916.18	
23AUG17	B 5 PD24NOV17	11585.00	10583.97	USD	-30,030.90	
23AUG17	B 2 PD24NOV17	11600.00	10583.97	USD	-12,192.36	
23AUG17	S 3 PD24NOV17	11615.00	10583.97	USD	18,558.54	
23AUG17	S 3 PD24NOV17	11685.00	10583.97	USD	19,818.54	
23AUG17	B 2 PD24NOV17	11650.00	10583.97	USD	-12,792.36	
23AUG17	S 4 PD24NOV17	11670.00	10583.97	USD	26,064.72	
23AUG17	S 2 PD24NOV17	11690.00	10583.97	USD	13,272.36	
23AUG17	S 1 PD24NOV17	11675.00	10583.97	USD	6,546.18	
23AUG17	B 6 PD24NOV17	11690.00	10583.97	USD	-39,817.08	
23AUG17	S 1 PD24NOV17	11700.00	10583.97	USD	6,696.18	
23AUG17	S 1 PD24NOV17	11720.00	10583.97	USD	6,816.18	
23AUG17	S 2 PD24NOV17	11725.00	10583.97	USD	13,692.36	
23AUG17	S 1 PD24NOV17	11735.00	10583.97	USD	6,906.18	
23AUG17	S 6 PD24NOV17	11730.00	10583.97	USD	41,257.08	
23AUG17	S 1 PD24NOV17	11740.00	10583.97	USD	6,936.18	
23AUG17	B 3 PD24NOV17	11720.00	10583.97	USD	-20,448.54	
23AUG17	S 1 PD24NOV17	11715.00	10583.97	USD	6,786.18	
23AUG17	S 1 PD24NOV17	11710.00	10583.97	USD	6,756.18	
23AUG17	B 1 PD24NOV17	11715.00	10583.97	USD	-6,786.18	
23AUG17	B 6 PD24NOV17	11725.00	10583.97	USD	-41,077.08	
23AUG17	S 1 PD24NOV17	11750.00	10583.97	USD	6,996.18	
23AUG17	S 2 PD24NOV17	11680.00	10583.97	USD	13,152.36	
23AUG17	S 4 PD24NOV17	11685.00	10583.97	USD	26,424.72	
23AUG17	B 1 PD24NOV17	11695.00	10583.97	USD	-6,666.18	
24AUG17	S 2 PD24NOV17	11760.00	10583.97	USD	14,112.36	
24AUG17	B 2 PD24NOV17	11720.00	10583.97	USD	-13,632.36	
24AUG17	S 2 PD24NOV17	11670.00	10583.97	USD	13,032.36	
24AUG17	S 3 PD24NOV17	11735.00	10583.97	USD	20,718.54	
24AUG17	S 2 PD24NOV17	11720.00	10583.97	USD	13,632.36	
24AUG17	B 2 PD24NOV17	11695.00	10583.97	USD	-13,332.36	
24AUG17	S 2 PD24NOV17	11705.00	10583.97	USD	13,452.36	
24AUG17	S 1 PD24NOV17	11680.00	10583.97	USD	6,576.18	
24AUG17	B 2 PD24NOV17	11640.00	10583.97	USD	-12,672.36	
24AUG17	B 4 PD24NOV17	11630.00	10583.97	USD	-25,104.72	
24AUG17	S 5 PD24NOV17	11625.00	10583.97	USD	31,230.90	
24AUG17	B 9 PD24NOV17	11635.00	10583.97	USD	-56,755.62	
24AUG17	S 3 PD24NOV17	11635.00	10583.97	USD	18,918.54	
24AUG17	S 5 PD24NOV17	11645.00	10583.97	USD	31,830.90	
24AUG17	S 3 PD24NOV17	11640.00	10583.97	USD	19,008.54	
24AUG17	S 3 PD24NOV17	11655.00	10583.97	USD	19,278.54	
24AUG17	B 1 PD24NOV17	11665.00	10583.97	USD	-6,486.18	
24AUG17	S 2 PD24NOV17	11710.00	10583.97	USD	13,512.36	
24AUG17	S 2 PD24NOV17	11695.00	10583.97	USD	13,332.36	
24AUG17	S 2 PD24NOV17	11700.00	10583.97	USD	13,392.36	
24AUG17	S 3 PD24NOV17	11685.00	10583.97	USD	19,818.54	
24AUG17	S 5 PD24NOV17	11665.00	10583.97	USD	32,430.90	
24AUG17	S 4 PD24NOV17	11660.00	10583.97	USD	25,824.72	
24AUG17	B 2 PD24NOV17	11700.00	10583.97	USD	-13,392.36	
24AUG17	B 1 PD24NOV17	11690.00	10583.97	USD	-6,636.18	
24AUG17	S 3 PD24NOV17	11690.00	10583.97	USD	19,908.54	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
24AUG17	B 1 PD24NOV17	11670.00	10583.97	USD	-6,516.18	
24AUG17	B 3 PD24NOV17	11655.00	10583.97	USD	-19,278.54	
24AUG17	B 4 PD24NOV17	11645.00	10583.97	USD	-25,464.72	
24AUG17	B 3 PD24NOV17	11660.00	10583.97	USD	-19,368.54	
24AUG17	B 2 PD24NOV17	11705.00	10583.97	USD	-13,452.36	
24AUG17	S 1 PD24NOV17	11730.00	10583.97	USD	6,876.18	
24AUG17	S 1 PD24NOV17	11740.00	10583.97	USD	6,936.18	
24AUG17	S 1 PD24NOV17	11750.00	10583.97	USD	6,996.18	
24AUG17	S 6 PD24NOV17	11770.00	10583.97	USD	42,697.08	
24AUG17	S 1 PD24NOV17	11765.00	10583.97	USD	7,086.18	
24AUG17	B 3 PD24NOV17	11765.00	10583.97	USD	-21,258.54	
24AUG17	B 3 PD24NOV17	11710.00	10583.97	USD	-20,268.54	
24AUG17	B 5 PD24NOV17	11680.00	10583.97	USD	-32,880.90	
25AUG17	S 2 PD24NOV17	11665.00	10583.97	USD	12,972.36	
25AUG17	B 2 PD24NOV17	11630.00	10583.97	USD	-12,552.36	
25AUG17	B 1 PD24NOV17	11615.00	10583.97	USD	-6,186.18	
25AUG17	B 2 PD24NOV17	11610.00	10583.97	USD	-12,312.36	
25AUG17	B 3 PD24NOV17	11680.00	10583.97	USD	-19,728.54	
25AUG17	B 1 PD24NOV17	11705.00	10583.97	USD	-6,726.18	
25AUG17	B 6 PD24NOV17	11685.00	10583.97	USD	-39,637.08	
25AUG17	B 1 PD24NOV17	11675.00	10583.97	USD	-6,546.18	
25AUG17	B 1 PD24NOV17	11655.00	10583.97	USD	-6,426.18	
25AUG17	S 4 PD24NOV17	11655.00	10583.97	USD	25,704.72	
25AUG17	B 9 PD24NOV17	11650.00	10583.97	USD	-57,565.62	
25AUG17	S 1 PD24NOV17	11645.00	10583.97	USD	6,366.18	
25AUG17	S 3 PD24NOV17	11650.00	10583.97	USD	19,188.54	
25AUG17	B 6 PD24NOV17	11665.00	10583.97	USD	-38,917.08	
25AUG17	B 5 PD24NOV17	11670.00	10583.97	USD	-32,580.90	
25AUG17	B 8 PD24NOV17	11660.00	10583.97	USD	-51,649.44	
25AUG17	B 1 PD24NOV17	11645.00	10583.97	USD	-6,366.18	
25AUG17	B 3 PD24NOV17	11625.00	10583.97	USD	-18,738.54	
25AUG17	B 1 PD24NOV17	11595.00	10583.97	USD	-6,066.18	
25AUG17	B 1 PD24NOV17	11580.00	10583.97	USD	-5,976.18	
25AUG17	B 4 PD24NOV17	11505.00	10583.97	USD	-22,104.72	
25AUG17	S 2 PD24NOV17	11500.00	10583.97	USD	10,992.36	
25AUG17	S 2 PD24NOV17	11540.00	10583.97	USD	11,472.36	
25AUG17	B 3 PD24NOV17	11500.00	10583.97	USD	-16,488.54	
25AUG17	B 1 PD24NOV17	11525.00	10583.97	USD	-5,646.18	
25AUG17	B 4 PD24NOV17	11555.00	10583.97	USD	-23,304.72	
25AUG17	B 2 PD24NOV17	11520.00	10583.97	USD	-11,232.36	
25AUG17	S 2 PD24NOV17	11560.00	10583.97	USD	11,712.36	
25AUG17	B 1 PD24NOV17	11560.00	10583.97	USD	-5,856.18	
25AUG17	S 2 PD24NOV17	11530.00	10583.97	USD	11,352.36	
25AUG17	S 1 PD24NOV17	11510.00	10583.97	USD	5,556.18	
25AUG17	S 2 PD24NOV17	11515.00	10583.97	USD	11,172.36	
25AUG17	S 3 PD24NOV17	11550.00	10583.97	USD	17,388.54	
25AUG17	B 1 PD24NOV17	11465.00	10583.97	USD	-5,286.18	
25AUG17	B 2 PD24NOV17	11445.00	10583.97	USD	-10,332.36	
25AUG17	S 1 PD24NOV17	11420.00	10583.97	USD	5,016.18	
25AUG17	S 8 PD24NOV17	11435.00	10583.97	USD	40,849.44	
25AUG17	S 3 PD24NOV17	11440.00	10583.97	USD	15,408.54	
25AUG17	S 2 PD24NOV17	11445.00	10583.97	USD	10,332.36	
25AUG17	S 1 PD24NOV17	11455.00	10583.97	USD	5,226.18	
25AUG17	S 1 PD24NOV17	11460.00	10583.97	USD	5,256.18	
25AUG17	S 3 PD24NOV17	11465.00	10583.97	USD	15,858.54	
25AUG17	B 1 PD24NOV17	11440.00	10583.97	USD	-5,136.18	
25AUG17	B 2 PD24NOV17	11425.00	10583.97	USD	-10,092.36	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
25AUG17	B 1 PD24NOV17	11410.00	10583.97	USD	-4,956.18	
25AUG17	S 1 PD24NOV17	11425.00	10583.97	USD	5,046.18	
25AUG17	S 4 PD24NOV17	11410.00	10583.97	USD	19,824.72	
25AUG17	S 1 PD24NOV17	11475.00	10583.97	USD	5,346.18	
25AUG17	S 2 PD24NOV17	11445.00	10583.97	USD	10,332.36	
25AUG17	B 4 PD24NOV17	11445.00	10583.97	USD	-20,664.72	
30AUG17	B 1 PD24NOV17	11580.50	10583.97	USD	-5,979.18	
30AUG17	S 1 PD24NOV17	11579.50	10583.97	USD	5,973.18	
05SEP17	B 2 PD24NOV17	12074.63	10583.97	USD	-17,887.92	
06SEP17	B 3 PD24NOV17	12157.80	10583.97	USD	-28,328.94	
11SEP17	S 3 PD24NOV17	11752.88	10583.97	USD	21,040.38	
12SEP17	S 6 PD24NOV17	11974.33	10583.97	USD	50,052.96	
14SEP17	B 1 PD24NOV17	11184.14	10583.97	USD	-3,601.02	
15SEP17	B 6 PD24NOV17	11074.20	10583.97	USD	-17,648.28	
25SEP17	B 3 PD24NOV17	10546.49	10583.97	USD	674.64	
	NET 0 AVG BGHT 11561.46 SOLD 11590.36				**38,322.90**	**0.00**
29AUG17	B 1 PD29NOV17	11660.00	10588.40	USD	-6,429.60	
29AUG17	B 1 PD29NOV17	11635.00	10588.40	USD	-6,279.60	
29AUG17	B 7 PD29NOV17	11800.00	10588.40	USD	-50,887.20	
29AUG17	S 1 PD29NOV17	11615.00	10588.40	USD	6,159.60	
29AUG17	B 1 PD29NOV17	11665.00	10588.40	USD	-6,459.60	
29AUG17	B 1 PD29NOV17	11770.00	10588.40	USD	-7,089.60	
29AUG17	B 5 PD29NOV17	11835.00	10588.40	USD	-37,398.00	
29AUG17	B 1 PD29NOV17	11760.00	10588.40	USD	-7,029.60	
29AUG17	S 2 PD29NOV17	11605.00	10588.40	USD	12,199.20	
29AUG17	S 3 PD29NOV17	11610.00	10588.40	USD	18,388.80	
29AUG17	B 5 PD29NOV17	11750.00	10588.40	USD	-34,848.00	
29AUG17	B 1 PD29NOV17	11825.00	10588.40	USD	-7,419.60	
29AUG17	B 5 PD29NOV17	11805.00	10588.40	USD	-36,498.00	
29AUG17	B 1 PD29NOV17	11690.00	10588.40	USD	-6,609.60	
29AUG17	B 5 PD29NOV17	11830.00	10588.40	USD	-37,248.00	
29AUG17	B 2 PD29NOV17	11780.00	10588.40	USD	-14,299.20	
29AUG17	B 1 PD29NOV17	11775.00	10588.40	USD	-7,119.60	
29AUG17	B 2 PD29NOV17	11715.00	10588.40	USD	-13,519.20	
29AUG17	B 11 PD29NOV17	11820.00	10588.40	USD	-81,285.60	
29AUG17	B 5 PD29NOV17	11815.00	10588.40	USD	-36,798.00	
29AUG17	S 1 PD29NOV17	11645.00	10588.40	USD	6,339.60	
29AUG17	S 2 PD29NOV17	11635.00	10588.40	USD	12,559.20	
29AUG17	B 3 PD29NOV17	11720.00	10588.40	USD	-20,368.80	
29AUG17	S 1 PD29NOV17	11730.00	10588.40	USD	6,849.60	
29AUG17	S 1 PD29NOV17	11735.00	10588.40	USD	6,879.60	
29AUG17	S 3 PD29NOV17	11795.00	10588.40	USD	21,718.80	
29AUG17	B 1 PD29NOV17	11785.00	10588.40	USD	-7,179.60	
29AUG17	B 1 PD29NOV17	11800.00	10588.40	USD	-7,269.60	
29AUG17	B 3 PD29NOV17	11755.00	10588.40	USD	-20,998.80	
29AUG17	B 1 PD29NOV17	11730.00	10588.40	USD	-6,849.60	
29AUG17	B 1 PD29NOV17	11705.00	10588.40	USD	-6,699.60	
29AUG17	B 7 PD29NOV17	11810.00	10588.40	USD	-51,307.20	
29AUG17	S 1 PD29NOV17	11845.00	10588.40	USD	7,539.60	
29AUG17	B 4 PD29NOV17	11840.00	10588.40	USD	-30,038.40	
29AUG17	S 1 PD29NOV17	11855.00	10588.40	USD	7,599.60	
29AUG17	S 4 PD29NOV17	11860.00	10588.40	USD	30,518.40	
29AUG17	S 4 PD29NOV17	11835.00	10588.40	USD	29,918.40	
29AUG17	S 7 PD29NOV17	11805.00	10588.40	USD	51,097.20	
29AUG17	S 1 PD29NOV17	11830.00	10588.40	USD	7,449.60	
29AUG17	S 2 PD29NOV17	11825.00	10588.40	USD	14,839.20	
29AUG17	S 6 PD29NOV17	11820.00	10588.40	USD	44,337.60	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
29AUG17	S 5 PD29NOV17	11815.00	10588.40	USD	36,798.00	
29AUG17	S 2 PD29NOV17	11810.00	10588.40	USD	14,659.20	
29AUG17	S 2 PD29NOV17	11845.00	10588.40	USD	15,079.20	
29AUG17	S 2 PD29NOV17	11850.00	10588.40	USD	15,139.20	
29AUG17	S 1 PD29NOV17	11785.00	10588.40	USD	7,179.60	
29AUG17	B 3 PD29NOV17	11785.00	10588.40	USD	-21,538.80	
29AUG17	S 1 PD29NOV17	11745.00	10588.40	USD	6,939.60	
29AUG17	S 2 PD29NOV17	11675.00	10588.40	USD	13,039.20	
29AUG17	S 1 PD29NOV17	11690.00	10588.40	USD	6,609.60	
29AUG17	S 4 PD29NOV17	11685.00	10588.40	USD	26,318.40	
29AUG17	S 9 PD29NOV17	11710.00	10588.40	USD	60,566.40	
29AUG17	S 4 PD29NOV17	11725.00	10588.40	USD	27,278.40	
29AUG17	S 7 PD29NOV17	11680.00	10588.40	USD	45,847.20	
29AUG17	S 9 PD29NOV17	11700.00	10588.40	USD	60,026.40	
29AUG17	S 10 PD29NOV17	11705.00	10588.40	USD	66,996.00	
29AUG17	S 3 PD29NOV17	11715.00	10588.40	USD	20,278.80	
29AUG17	B 2 PD29NOV17	11685.00	10588.40	USD	-13,159.20	
29AUG17	B 8 PD29NOV17	11680.00	10588.40	USD	-52,396.80	
29AUG17	B 2 PD29NOV17	11675.00	10588.40	USD	-13,039.20	
29AUG17	B 2 PD29NOV17	11670.00	10588.40	USD	-12,979.20	
29AUG17	S 1 PD29NOV17	11655.00	10588.40	USD	6,399.60	
29AUG17	S 3 PD29NOV17	11660.00	10588.40	USD	19,288.80	
29AUG17	S 12 PD29NOV17	11695.00	10588.40	USD	79,675.20	
29AUG17	B 2 PD29NOV17	11695.00	10588.40	USD	-13,279.20	
29AUG17	B 4 PD29NOV17	11700.00	10588.40	USD	-26,678.40	
29AUG17	B 4 PD29NOV17	11705.00	10588.40	USD	-26,798.40	
29AUG17	B 4 PD29NOV17	11725.00	10588.40	USD	-27,278.40	
29AUG17	B 6 PD29NOV17	11730.00	10588.40	USD	-41,097.60	
29AUG17	B 1 PD29NOV17	11735.00	10588.40	USD	-6,879.60	
30AUG17	S 4 PD29NOV17	11583.25	10588.40	USD	23,876.40	
05SEP17	B 7 PD29NOV17	12076.53	10588.40	USD	-62,501.46	
11SEP17	B 4 PD29NOV17	11757.15	10588.40	USD	-28,050.00	
12SEP17	S 2 PD29NOV17	11978.41	10588.40	USD	16,680.12	
12SEP17	S 3 PD29NOV17	11979.41	10588.40	USD	25,038.18	
14SEP17	B 2 PD29NOV17	11188.10	10588.40	USD	-7,196.40	
	NET 0 AVG BGHT 11770.56 SOLD 11740.77				**-22,694.76**	**0.00**
30AUG17	S 1 PD30NOV17	11680.00	10589.29	USD	6,544.26	
30AUG17	S 1 PD30NOV17	11690.00	10589.29	USD	6,604.26	
30AUG17	S 3 PD30NOV17	11645.00	10589.29	USD	19,002.78	
30AUG17	B 2 PD30NOV17	11685.00	10589.29	USD	-13,148.52	
30AUG17	B 1 PD30NOV17	11680.00	10589.29	USD	-6,544.26	
30AUG17	B 4 PD30NOV17	11690.00	10589.29	USD	-26,417.04	
30AUG17	B 3 PD30NOV17	11710.00	10589.29	USD	-20,172.78	
30AUG17	B 5 PD30NOV17	11700.00	10589.29	USD	-33,321.30	
30AUG17	B 2 PD30NOV17	11715.00	10589.29	USD	-13,508.52	
30AUG17	B 1 PD30NOV17	11725.00	10589.29	USD	-6,814.26	
30AUG17	B 4 PD30NOV17	11730.00	10589.29	USD	-27,377.04	
30AUG17	B 4 PD30NOV17	11705.00	10589.29	USD	-26,777.04	
30AUG17	B 3 PD30NOV17	11720.00	10589.29	USD	-20,352.78	
30AUG17	B 6 PD30NOV17	11735.00	10589.29	USD	-41,245.56	
30AUG17	B 2 PD30NOV17	11755.00	10589.29	USD	-13,988.52	
30AUG17	B 5 PD30NOV17	11760.00	10589.29	USD	-35,121.30	
30AUG17	B 4 PD30NOV17	11775.00	10589.29	USD	-28,457.04	
30AUG17	B 3 PD30NOV17	11780.00	10589.29	USD	-21,432.78	
30AUG17	B 3 PD30NOV17	11790.00	10589.29	USD	-21,612.78	
30AUG17	S 2 PD30NOV17	11700.00	10589.29	USD	13,328.52	
30AUG17	S 1 PD30NOV17	11705.00	10589.29	USD	6,694.26	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
30AUG17	S 2 PD30NOV17	11710.00	10589.29	USD	13,448.52	
30AUG17	S 9 PD30NOV17	11715.00	10589.29	USD	60,788.34	
30AUG17	B 1 PD30NOV17	11630.00	10589.29	USD	-6,244.26	
30AUG17	B 2 PD30NOV17	11640.00	10589.29	USD	-12,608.52	
30AUG17	S 2 PD30NOV17	11620.00	10589.29	USD	12,368.52	
30AUG17	S 6 PD30NOV17	11615.00	10589.29	USD	36,925.56	
30AUG17	B 4 PD30NOV17	11580.00	10589.29	USD	-23,777.04	
30AUG17	B 2 PD30NOV17	11575.00	10589.29	USD	-11,828.52	
30AUG17	B 6 PD30NOV17	11635.00	10589.29	USD	-37,645.56	
30AUG17	B 4 PD30NOV17	11625.00	10589.29	USD	-24,857.04	
30AUG17	B 7 PD30NOV17	11620.00	10589.29	USD	-43,289.82	
30AUG17	B 1 PD30NOV17	11600.00	10589.29	USD	-6,064.26	
30AUG17	B 7 PD30NOV17	11610.00	10589.29	USD	-42,869.82	
30AUG17	B 3 PD30NOV17	11645.00	10589.29	USD	-19,002.78	
30AUG17	B 1 PD30NOV17	11655.00	10589.29	USD	-6,394.26	
30AUG17	B 1 PD30NOV17	11650.00	10589.29	USD	-6,364.26	
30AUG17	B 2 PD30NOV17	11605.00	10589.29	USD	-12,188.52	
30AUG17	S 4 PD30NOV17	11580.00	10589.29	USD	23,777.04	
30AUG17	B 2 PD30NOV17	11585.00	10589.29	USD	-11,948.52	
30AUG17	S 2 PD30NOV17	11600.00	10589.29	USD	12,128.52	
30AUG17	B 1 PD30NOV17	11595.00	10589.29	USD	-6,034.26	
30AUG17	B 1 PD30NOV17	11580.00	10589.29	USD	-5,944.26	
31AUG17	B 1 PD30NOV17	11615.00	10589.29	USD	-6,154.26	
31AUG17	B 1 PD30NOV17	11620.00	10589.29	USD	-6,184.26	
31AUG17	S 1 PD30NOV17	11620.00	10589.29	USD	6,184.26	
31AUG17	B 1 PD30NOV17	11655.00	10589.29	USD	-6,394.26	
31AUG17	S 1 PD30NOV17	11685.00	10589.29	USD	6,574.26	
31AUG17	B 1 PD30NOV17	11645.00	10589.29	USD	-6,334.26	
31AUG17	S 1 PD30NOV17	11660.00	10589.29	USD	6,424.26	
31AUG17	B 5 PD30NOV17	11660.00	10589.29	USD	-32,121.30	
31AUG17	B 10 PD30NOV17	11665.00	10589.29	USD	-64,542.60	
31AUG17	B 2 PD30NOV17	11670.00	10589.29	USD	-12,968.52	
31AUG17	S 1 PD30NOV17	11650.00	10589.29	USD	6,364.26	
31AUG17	B 4 PD30NOV17	11650.00	10589.29	USD	-25,457.04	
31AUG17	B 3 PD30NOV17	11690.00	10589.29	USD	-19,812.78	
31AUG17	B 3 PD30NOV17	11695.00	10589.29	USD	-19,902.78	
31AUG17	S 3 PD30NOV17	11735.00	10589.29	USD	20,622.78	
31AUG17	S 2 PD30NOV17	11715.00	10589.29	USD	13,508.52	
31AUG17	B 1 PD30NOV17	11660.00	10589.29	USD	-6,424.26	
31AUG17	B 1 PD30NOV17	11695.00	10589.29	USD	-6,634.26	
31AUG17	S 8 PD30NOV17	11720.00	10589.29	USD	54,274.08	
31AUG17	S 1 PD30NOV17	11725.00	10589.29	USD	6,814.26	
31AUG17	S 3 PD30NOV17	11730.00	10589.29	USD	20,532.78	
31AUG17	S 2 PD30NOV17	11740.00	10589.29	USD	13,808.52	
31AUG17	B 1 PD30NOV17	11730.00	10589.29	USD	-6,844.26	
31AUG17	S 1 PD30NOV17	11750.00	10589.29	USD	6,964.26	
31AUG17	S 1 PD30NOV17	11755.00	10589.29	USD	6,994.26	
31AUG17	S 4 PD30NOV17	11770.00	10589.29	USD	28,337.04	
31AUG17	S 3 PD30NOV17	11775.00	10589.29	USD	21,342.78	
31AUG17	S 3 PD30NOV17	11780.00	10589.29	USD	21,432.78	
31AUG17	S 1 PD30NOV17	11785.00	10589.29	USD	7,174.26	
31AUG17	S 8 PD30NOV17	11820.00	10589.29	USD	59,074.08	
31AUG17	S 1 PD30NOV17	11810.00	10589.29	USD	7,324.26	
31AUG17	B 1 PD30NOV17	11790.00	10589.29	USD	-7,204.26	
31AUG17	S 12 PD30NOV17	11790.00	10589.29	USD	86,451.12	
31AUG17	S 6 PD30NOV17	11795.00	10589.29	USD	43,405.56	
31AUG17	S 15 PD30NOV17	11800.00	10589.29	USD	108,963.90	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
31AUG17	B 3 PD30NOV17	11770.00	10589.29	USD	-21,252.78	
31AUG17	B 2 PD30NOV17	11765.00	10589.29	USD	-14,108.52	
31AUG17	B 6 PD30NOV17	11775.00	10589.29	USD	-42,685.56	
31AUG17	S 1 PD30NOV17	11780.00	10589.29	USD	7,144.26	
05SEP17	S 7 PD30NOV17	12076.11	10589.29	USD	62,446.44	
06SEP17	B 5 PD30NOV17	12161.40	10589.29	USD	-47,163.30	
06SEP17	S 3 PD30NOV17	12160.40	10589.29	USD	28,279.98	
06SEP17	S 10 PD30NOV17	12161.40	10589.29	USD	94,326.60	
11SEP17	S 4 PD30NOV17	11756.81	10589.29	USD	28,020.48	
11SEP17	S 5 PD30NOV17	11757.81	10589.29	USD	35,055.60	
12SEP17	S 4 PD30NOV17	11980.22	10589.29	USD	33,382.32	
14SEP17	S 2 PD30NOV17	11187.90	10589.29	USD	7,183.32	
20SEP17	S 1 PD30NOV17	11364.57	10589.29	USD	4,651.68	
	NET 0 AVG BGHT 11699.14 SOLD 11788.25				**79,131.06**	**0.00**
01SEP17	B 2 PD01DEC17	11790.00	10590.17	USD	-14,397.96	
01SEP17	B 1 PD01DEC17	11800.00	10590.17	USD	-7,258.98	
01SEP17	B 1 PD01DEC17	11805.00	10590.17	USD	-7,288.98	
01SEP17	B 1 PD01DEC17	11810.00	10590.17	USD	-7,318.98	
01SEP17	B 1 PD01DEC17	11775.00	10590.17	USD	-7,108.98	
01SEP17	B 2 PD01DEC17	11765.00	10590.17	USD	-14,097.96	
01SEP17	S 2 PD01DEC17	11810.00	10590.17	USD	14,637.96	
01SEP17	B 2 PD01DEC17	11780.00	10590.17	USD	-14,277.96	
01SEP17	S 3 PD01DEC17	11830.00	10590.17	USD	22,316.94	
01SEP17	B 2 PD01DEC17	11885.00	10590.17	USD	-15,537.96	
01SEP17	B 2 PD01DEC17	11890.00	10590.17	USD	-15,597.96	
01SEP17	B 2 PD01DEC17	11915.00	10590.17	USD	-15,897.96	
01SEP17	S 1 PD01DEC17	11905.00	10590.17	USD	7,888.98	
01SEP17	S 1 PD01DEC17	11910.00	10590.17	USD	7,918.98	
01SEP17	B 2 PD01DEC17	11910.00	10590.17	USD	-15,837.96	
01SEP17	B 1 PD01DEC17	11950.00	10590.17	USD	-8,158.98	
01SEP17	S 1 PD01DEC17	12080.00	10590.17	USD	8,938.98	
01SEP17	B 1 PD01DEC17	11995.00	10590.17	USD	-8,428.98	
01SEP17	S 9 PD01DEC17	11990.00	10590.17	USD	75,590.82	
01SEP17	S 2 PD01DEC17	12005.00	10590.17	USD	16,977.96	
01SEP17	B 7 PD01DEC17	12050.00	10590.17	USD	-61,312.86	
01SEP17	S 2 PD01DEC17	12035.00	10590.17	USD	17,337.96	
01SEP17	S 16 PD01DEC17	12010.00	10590.17	USD	136,303.68	
01SEP17	S 3 PD01DEC17	12020.00	10590.17	USD	25,736.94	
01SEP17	B 6 PD01DEC17	12000.00	10590.17	USD	-50,753.88	
01SEP17	S 2 PD01DEC17	11975.00	10590.17	USD	16,617.96	
01SEP17	S 3 PD01DEC17	11985.00	10590.17	USD	25,106.94	
01SEP17	B 1 PD01DEC17	11980.00	10590.17	USD	-8,338.98	
01SEP17	S 6 PD01DEC17	11930.00	10590.17	USD	48,233.88	
01SEP17	S 2 PD01DEC17	11950.00	10590.17	USD	16,317.96	
01SEP17	S 3 PD01DEC17	11965.00	10590.17	USD	24,746.94	
01SEP17	S 11 PD01DEC17	12015.00	10590.17	USD	94,038.78	
01SEP17	B 2 PD01DEC17	12010.00	10590.17	USD	-17,037.96	
01SEP17	B 7 PD01DEC17	12060.00	10590.17	USD	-61,732.86	
01SEP17	B 4 PD01DEC17	12055.00	10590.17	USD	-35,155.92	
01SEP17	B 2 PD01DEC17	12070.00	10590.17	USD	-17,757.96	
01SEP17	B 1 PD01DEC17	12075.00	10590.17	USD	-8,908.98	
01SEP17	S 3 PD01DEC17	12010.00	10590.17	USD	25,556.94	
01SEP17	S 4 PD01DEC17	12000.00	10590.17	USD	33,835.92	
01SEP17	S 6 PD01DEC17	12005.00	10590.17	USD	50,933.88	
01SEP17	S 1 PD01DEC17	12020.00	10590.17	USD	8,578.98	
01SEP17	S 1 PD01DEC17	12065.00	10590.17	USD	8,848.98	
01SEP17	B 3 PD01DEC17	12040.00	10590.17	USD	-26,096.94	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
01SEP17	B 7 PD01DEC17	12045.00	10590.17	USD	-61,102.86	
01SEP17	B 2 PD01DEC17	12035.00	10590.17	USD	-17,337.96	
01SEP17	B 4 PD01DEC17	12030.00	10590.17	USD	-34,555.92	
01SEP17	B 4 PD01DEC17	12025.00	10590.17	USD	-34,435.92	
01SEP17	S 2 PD01DEC17	12045.00	10590.17	USD	17,457.96	
01SEP17	S 12 PD01DEC17	12040.00	10590.17	USD	104,387.76	
01SEP17	S 9 PD01DEC17	12050.00	10590.17	USD	78,830.82	
01SEP17	S 1 PD01DEC17	12055.00	10590.17	USD	8,788.98	
01SEP17	S 6 PD01DEC17	12060.00	10590.17	USD	52,913.88	
01SEP17	B 1 PD01DEC17	12080.00	10590.17	USD	-8,938.98	
01SEP17	B 1 PD01DEC17	12055.00	10590.17	USD	-8,788.98	
06SEP17	S 3 PD01DEC17	12161.00	10590.17	USD	28,274.94	
06SEP17	B 10 PD01DEC17	12163.00	10590.17	USD	-94,369.80	
06SEP17	B 7 PD01DEC17	12162.00	10590.17	USD	-66,016.86	
11SEP17	B 12 PD01DEC17	11758.46	10590.17	USD	-84,116.88	
12SEP17	B 5 PD01DEC17	11981.04	10590.17	USD	-41,726.10	
12SEP17	B 6 PD01DEC17	11982.04	10590.17	USD	-50,107.32	
14SEP17	B 2 PD01DEC17	11189.69	10590.17	USD	-7,194.24	
20SEP17	B 1 PD01DEC17	11365.34	10590.17	USD	-4,651.02	
	NET 0 AVG BGHT 11969.37 SOLD 12006.29				**25,471.92**	**0.00**
04SEP17	S 1 PD04DEC17	12370.00	10592.83	USD	10,663.02	
04SEP17	S 2 PD04DEC17	12350.00	10592.83	USD	21,086.04	
04SEP17	S 1 PD04DEC17	12355.00	10592.83	USD	10,573.02	
04SEP17	S 1 PD04DEC17	12270.00	10592.83	USD	10,063.02	
04SEP17	S 1 PD04DEC17	12280.00	10592.83	USD	10,123.02	
04SEP17	S 1 PD04DEC17	12310.00	10592.83	USD	10,303.02	
04SEP17	S 2 PD04DEC17	12340.00	10592.83	USD	20,966.04	
04SEP17	S 1 PD04DEC17	12345.00	10592.83	USD	10,513.02	
04SEP17	B 1 PD04DEC17	12260.00	10592.83	USD	-10,003.02	
04SEP17	B 2 PD04DEC17	12280.00	10592.83	USD	-20,246.04	
04SEP17	B 1 PD04DEC17	12325.00	10592.83	USD	-10,393.02	
04SEP17	B 1 PD04DEC17	12350.00	10592.83	USD	-10,543.02	
04SEP17	B 1 PD04DEC17	12345.00	10592.83	USD	-10,513.02	
04SEP17	B 1 PD04DEC17	12340.00	10592.83	USD	-10,483.02	
04SEP17	S 2 PD04DEC17	12305.00	10592.83	USD	20,546.04	
04SEP17	B 3 PD04DEC17	12305.00	10592.83	USD	-30,819.06	
04SEP17	B 6 PD04DEC17	12315.00	10592.83	USD	-61,998.12	
04SEP17	B 2 PD04DEC17	12330.00	10592.83	USD	-20,846.04	
04SEP17	B 5 PD04DEC17	12310.00	10592.83	USD	-51,515.10	
04SEP17	B 4 PD04DEC17	12300.00	10592.83	USD	-40,972.08	
04SEP17	B 4 PD04DEC17	12295.00	10592.83	USD	-40,852.08	
04SEP17	B 1 PD04DEC17	12290.00	10592.83	USD	-10,183.02	
04SEP17	B 2 PD04DEC17	12285.00	10592.83	USD	-20,306.04	
04SEP17	S 4 PD04DEC17	12295.00	10592.83	USD	40,852.08	
04SEP17	S 3 PD04DEC17	12300.00	10592.83	USD	30,729.06	
04SEP17	B 3 PD04DEC17	12250.00	10592.83	USD	-29,829.06	
04SEP17	B 2 PD04DEC17	12265.00	10592.83	USD	-20,066.04	
04SEP17	B 2 PD04DEC17	12225.00	10592.83	USD	-19,586.04	
04SEP17	S 1 PD04DEC17	12160.00	10592.83	USD	9,403.02	
04SEP17	S 1 PD04DEC17	12300.00	10592.83	USD	10,243.02	
04SEP17	B 2 PD04DEC17	12185.00	10592.83	USD	-19,106.04	
04SEP17	B 2 PD04DEC17	12180.00	10592.83	USD	-19,046.04	
04SEP17	B 2 PD04DEC17	12175.00	10592.83	USD	-18,986.04	
04SEP17	B 2 PD04DEC17	12195.00	10592.83	USD	-19,226.04	
04SEP17	B 2 PD04DEC17	12200.00	10592.83	USD	-19,286.04	
04SEP17	S 1 PD04DEC17	12210.00	10592.83	USD	9,703.02	
04SEP17	B 3 PD04DEC17	12210.00	10592.83	USD	-29,109.06	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
04SEP17	B 2 PD04DEC17	12215.00	10592.83	USD	-19,466.04	
04SEP17	B 5 PD04DEC17	12190.00	10592.83	USD	-47,915.10	
04SEP17	B 3 PD04DEC17	12205.00	10592.83	USD	-29,019.06	
04SEP17	B 1 PD04DEC17	12155.00	10592.83	USD	-9,373.02	
04SEP17	S 3 PD04DEC17	12175.00	10592.83	USD	28,479.06	
04SEP17	S 2 PD04DEC17	12215.00	10592.83	USD	19,466.04	
04SEP17	S 4 PD04DEC17	12220.00	10592.83	USD	39,052.08	
04SEP17	S 3 PD04DEC17	12225.00	10592.83	USD	29,379.06	
04SEP17	B 6 PD04DEC17	12230.00	10592.83	USD	-58,938.12	
04SEP17	B 12 PD04DEC17	12220.00	10592.83	USD	-117,156.24	
04SEP17	B 8 PD04DEC17	12240.00	10592.83	USD	-79,064.16	
04SEP17	B 10 PD04DEC17	12235.00	10592.83	USD	-98,530.20	
04SEP17	B 4 PD04DEC17	12245.00	10592.83	USD	-39,652.08	
04SEP17	B 4 PD04DEC17	12255.00	10592.83	USD	-39,892.08	
04SEP17	B 1 PD04DEC17	12205.00	10592.83	USD	-9,673.02	
04SEP17	S 1 PD04DEC17	12205.00	10592.83	USD	9,673.02	
04SEP17	S 2 PD04DEC17	12245.00	10592.83	USD	19,826.04	
04SEP17	S 4 PD04DEC17	12250.00	10592.83	USD	39,772.08	
04SEP17	S 2 PD04DEC17	12255.00	10592.83	USD	19,946.04	
04SEP17	S 10 PD04DEC17	12260.00	10592.83	USD	100,030.20	
04SEP17	S 1 PD04DEC17	12265.00	10592.83	USD	10,033.02	
04SEP17	S 1 PD04DEC17	12260.00	10592.83	USD	10,003.02	
06SEP17	S 11 PD04DEC17	12162.80	10592.83	USD	103,618.02	
06SEP17	S 5 PD04DEC17	12163.80	10592.83	USD	47,129.10	
11SEP17	S 12 PD04DEC17	11759.42	10592.83	USD	83,994.48	
11SEP17	S 5 PD04DEC17	11760.42	10592.83	USD	35,027.70	
12SEP17	S 5 PD04DEC17	11982.48	10592.83	USD	41,689.50	
14SEP17	B 1 PD04DEC17	11192.07	10592.83	USD	-3,595.44	
14SEP17	S 7 PD04DEC17	11192.07	10592.83	USD	25,168.08	
19SEP17	S 8 PD04DEC17	11128.53	10592.83	USD	25,713.60	
25SEP17	S 3 PD04DEC17	10554.91	10592.83	USD	-682.56	
	NET 0 AVG BGHT 12238.76 SOLD 11963.83				**-183,103.62**	**0.00**
05SEP17	S 1 PD05DEC17	12275.00	10593.71	USD	10,087.74	
05SEP17	S 3 PD05DEC17	12270.00	10593.71	USD	30,173.22	
05SEP17	S 2 PD05DEC17	12265.00	10593.71	USD	20,055.48	
05SEP17	S 1 PD05DEC17	12315.00	10593.71	USD	10,327.74	
05SEP17	S 1 PD05DEC17	12310.00	10593.71	USD	10,297.74	
05SEP17	S 4 PD05DEC17	12290.00	10593.71	USD	40,710.96	
05SEP17	S 2 PD05DEC17	12235.00	10593.71	USD	19,695.48	
05SEP17	S 1 PD05DEC17	12230.00	10593.71	USD	9,817.74	
05SEP17	S 9 PD05DEC17	12225.00	10593.71	USD	88,089.66	
05SEP17	S 2 PD05DEC17	12245.00	10593.71	USD	19,815.48	
05SEP17	B 4 PD05DEC17	12225.00	10593.71	USD	-39,150.96	
05SEP17	B 5 PD05DEC17	12215.00	10593.71	USD	-48,638.70	
05SEP17	B 6 PD05DEC17	12220.00	10593.71	USD	-58,546.44	
05SEP17	B 1 PD05DEC17	12205.00	10593.71	USD	-9,667.74	
05SEP17	B 1 PD05DEC17	12235.00	10593.71	USD	-9,847.74	
05SEP17	B 7 PD05DEC17	12230.00	10593.71	USD	-68,724.18	
05SEP17	S 1 PD05DEC17	12200.00	10593.71	USD	9,637.74	
05SEP17	B 1 PD05DEC17	12155.00	10593.71	USD	-9,367.74	
05SEP17	B 5 PD05DEC17	12190.00	10593.71	USD	-47,888.70	
05SEP17	S 1 PD05DEC17	12240.00	10593.71	USD	9,877.74	
05SEP17	S 1 PD05DEC17	12195.00	10593.71	USD	9,607.74	
05SEP17	B 7 PD05DEC17	12210.00	10593.71	USD	-67,884.18	
05SEP17	B 2 PD05DEC17	12200.00	10593.71	USD	-19,275.48	
05SEP17	B 2 PD05DEC17	12185.00	10593.71	USD	-19,095.48	
05SEP17	B 4 PD05DEC17	12175.00	10593.71	USD	-37,950.96	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
05SEP17	B 2 PD05DEC17	12195.00	10593.71	USD	-19,215.48	
05SEP17	B 1 PD05DEC17	12170.00	10593.71	USD	-9,457.74	
05SEP17	B 5 PD05DEC17	12165.00	10593.71	USD	-47,138.70	
05SEP17	B 1 PD05DEC17	12180.00	10593.71	USD	-9,517.74	
05SEP17	S 3 PD05DEC17	12210.00	10593.71	USD	29,093.22	
05SEP17	S 4 PD05DEC17	12215.00	10593.71	USD	38,910.96	
05SEP17	S 2 PD05DEC17	12220.00	10593.71	USD	19,515.48	
05SEP17	S 13 PD05DEC17	12240.00	10593.71	USD	128,410.62	
05SEP17	S 5 PD05DEC17	12255.00	10593.71	USD	49,838.70	
05SEP17	S 2 PD05DEC17	12260.00	10593.71	USD	19,995.48	
05SEP17	S 11 PD05DEC17	12250.00	10593.71	USD	109,315.14	
05SEP17	B 1 PD05DEC17	12210.00	10593.71	USD	-9,697.74	
05SEP17	S 1 PD05DEC17	12175.00	10593.71	USD	9,487.74	
05SEP17	S 2 PD05DEC17	12180.00	10593.71	USD	19,035.48	
05SEP17	B 1 PD05DEC17	12080.00	10593.71	USD	-8,917.74	
05SEP17	B 1 PD05DEC17	12075.00	10593.71	USD	-8,887.74	
05SEP17	B 4 PD05DEC17	12070.00	10593.71	USD	-35,430.96	
05SEP17	B 2 PD05DEC17	12085.00	10593.71	USD	-17,895.48	
05SEP17	S 1 PD05DEC17	12060.00	10593.71	USD	8,797.74	
05SEP17	S 5 PD05DEC17	12070.00	10593.71	USD	44,288.70	
05SEP17	S 6 PD05DEC17	12080.00	10593.71	USD	53,506.44	
05SEP17	S 12 PD05DEC17	12075.00	10593.71	USD	106,652.88	
05SEP17	B 1 PD05DEC17	12060.00	10593.71	USD	-8,797.74	
05SEP17	B 2 PD05DEC17	12035.00	10593.71	USD	-17,295.48	
05SEP17	B 4 PD05DEC17	12030.00	10593.71	USD	-34,470.96	
05SEP17	B 5 PD05DEC17	12040.00	10593.71	USD	-43,388.70	
05SEP17	B 4 PD05DEC17	12000.00	10593.71	USD	-33,750.96	
05SEP17	B 1 PD05DEC17	11985.00	10593.71	USD	-8,347.74	
05SEP17	B 6 PD05DEC17	11980.00	10593.71	USD	-49,906.44	
05SEP17	B 2 PD05DEC17	11975.00	10593.71	USD	-16,575.48	
05SEP17	B 1 PD05DEC17	11965.00	10593.71	USD	-8,227.74	
05SEP17	B 10 PD05DEC17	11955.00	10593.71	USD	-81,677.40	
05SEP17	S 2 PD05DEC17	11955.00	10593.71	USD	16,335.48	
05SEP17	B 1 PD05DEC17	11930.00	10593.71	USD	-8,017.74	
05SEP17	B 1 PD05DEC17	11935.00	10593.71	USD	-8,047.74	
05SEP17	S 1 PD05DEC17	11975.00	10593.71	USD	8,287.74	
05SEP17	S 1 PD05DEC17	11965.00	10593.71	USD	8,227.74	
05SEP17	S 1 PD05DEC17	11970.00	10593.71	USD	8,257.74	
05SEP17	S 1 PD05DEC17	12010.00	10593.71	USD	8,497.74	
05SEP17	B 1 PD05DEC17	11985.00	10593.71	USD	-8,347.74	
06SEP17	B 5 PD05DEC17	12165.40	10593.71	USD	-47,150.70	
11SEP17	B 5 PD05DEC17	11762.08	10593.71	USD	-35,051.10	
12SEP17	B 1 PD05DEC17	11985.30	10593.71	USD	-8,349.54	
14SEP17	S 5 PD05DEC17	11191.86	10593.71	USD	17,944.50	
20SEP17	S 1 PD05DEC17	11367.43	10593.71	USD	4,642.32	
20SEP17	S 5 PD05DEC17	11368.43	10593.71	USD	23,241.60	
	NET 0 AVG BGHT 12097.55 SOLD 12098.84				**877.08**	**0.00**
06SEP17	B 5 PD06DEC17	11995.00	10594.60	USD	-42,012.00	
06SEP17	S 1 PD06DEC17	12020.00	10594.60	USD	8,552.40	
06SEP17	B 2 PD06DEC17	12025.00	10594.60	USD	-17,164.80	
06SEP17	S 1 PD06DEC17	12000.00	10594.60	USD	8,432.40	
06SEP17	B 4 PD06DEC17	12005.00	10594.60	USD	-33,849.60	
06SEP17	B 18 PD06DEC17	12010.00	10594.60	USD	-152,863.20	
06SEP17	S 2 PD06DEC17	12010.00	10594.60	USD	16,984.80	
06SEP17	S 1 PD06DEC17	12030.00	10594.60	USD	8,612.40	
06SEP17	S 1 PD06DEC17	12040.00	10594.60	USD	8,672.40	
06SEP17	B 2 PD06DEC17	12040.00	10594.60	USD	-17,344.80	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
06SEP17	B 3 PD06DEC17	12035.00	10594.60	USD	-25,927.20	
06SEP17	B 1 PD06DEC17	12050.00	10594.60	USD	-8,732.40	
06SEP17	B 2 PD06DEC17	12055.00	10594.60	USD	-17,524.80	
06SEP17	B 2 PD06DEC17	12080.00	10594.60	USD	-17,824.80	
06SEP17	B 2 PD06DEC17	12010.00	10594.60	USD	-16,984.80	
06SEP17	B 3 PD06DEC17	12065.00	10594.60	USD	-26,467.20	
06SEP17	B 7 PD06DEC17	12015.00	10594.60	USD	-59,656.80	
06SEP17	B 2 PD06DEC17	12030.00	10594.60	USD	-17,224.80	
06SEP17	S 1 PD06DEC17	11985.00	10594.60	USD	8,342.40	
06SEP17	S 5 PD06DEC17	12015.00	10594.60	USD	42,612.00	
06SEP17	S 2 PD06DEC17	12005.00	10594.60	USD	16,924.80	
06SEP17	S 2 PD06DEC17	12055.00	10594.60	USD	17,524.80	
06SEP17	S 5 PD06DEC17	12070.00	10594.60	USD	44,262.00	
06SEP17	S 1 PD06DEC17	12090.00	10594.60	USD	8,972.40	
06SEP17	S 10 PD06DEC17	12065.00	10594.60	USD	88,224.00	
06SEP17	S 3 PD06DEC17	12060.00	10594.60	USD	26,377.20	
06SEP17	S 3 PD06DEC17	12045.00	10594.60	USD	26,107.20	
06SEP17	S 3 PD06DEC17	12080.00	10594.60	USD	26,737.20	
06SEP17	S 11 PD06DEC17	12075.00	10594.60	USD	97,706.40	
06SEP17	S 1 PD06DEC17	12085.00	10594.60	USD	8,942.40	
06SEP17	S 2 PD06DEC17	12095.00	10594.60	USD	18,004.80	
06SEP17	S 5 PD06DEC17	12105.00	10594.60	USD	45,312.00	
06SEP17	S 3 PD06DEC17	12110.00	10594.60	USD	27,277.20	
06SEP17	S 1 PD06DEC17	12115.00	10594.60	USD	9,122.40	
06SEP17	S 3 PD06DEC17	12135.00	10594.60	USD	27,727.20	
06SEP17	S 4 PD06DEC17	12130.00	10594.60	USD	36,849.60	
06SEP17	B 1 PD06DEC17	12150.00	10594.60	USD	-9,332.40	
06SEP17	S 1 PD06DEC17	12170.00	10594.60	USD	9,452.40	
06SEP17	S 9 PD06DEC17	12165.00	10594.60	USD	84,801.60	
06SEP17	S 3 PD06DEC17	12175.00	10594.60	USD	28,447.20	
06SEP17	S 1 PD06DEC17	12185.00	10594.60	USD	9,542.40	
06SEP17	S 8 PD06DEC17	12180.00	10594.60	USD	76,099.20	
06SEP17	S 1 PD06DEC17	12190.00	10594.60	USD	9,572.40	
06SEP17	B 1 PD06DEC17	12205.00	10594.60	USD	-9,662.40	
06SEP17	B 2 PD06DEC17	12210.00	10594.60	USD	-19,384.80	
06SEP17	S 1 PD06DEC17	12240.00	10594.60	USD	9,872.40	
06SEP17	S 1 PD06DEC17	12245.00	10594.60	USD	9,902.40	
06SEP17	S 2 PD06DEC17	12170.00	10594.60	USD	18,904.80	
06SEP17	S 1 PD06DEC17	12210.00	10594.60	USD	9,692.40	
11SEP17	B 5 PD06DEC17	11762.73	10594.60	USD	-35,043.90	
12SEP17	B 2 PD06DEC17	11985.11	10594.60	USD	-16,686.12	
14SEP17	B 2 PD06DEC17	11193.66	10594.60	USD	-7,188.72	
14SEP17	B 4 PD06DEC17	11194.66	10594.60	USD	-14,401.44	
19SEP17	B 5 PD06DEC17	11131.06	10594.60	USD	-16,093.80	
20SEP17	B 6 PD06DEC17	11370.20	10594.60	USD	-27,921.60	
20SEP17	B 18 PD06DEC17	11369.20	10594.60	USD	-83,656.80	
	NET 0 AVG BGHT 11761.18 SOLD 12100.61				**201,618.42**	**0.00**
07SEP17	B 2 PD07DEC17	12265.00	10595.49	USD	-20,034.12	
07SEP17	B 2 PD07DEC17	12240.00	10595.49	USD	-19,734.12	
07SEP17	B 1 PD07DEC17	12235.00	10595.49	USD	-9,837.06	
07SEP17	B 14 PD07DEC17	12230.00	10595.49	USD	-137,298.84	
07SEP17	B 1 PD07DEC17	12260.00	10595.49	USD	-9,987.06	
07SEP17	B 1 PD07DEC17	12310.00	10595.49	USD	-10,287.06	
07SEP17	S 1 PD07DEC17	12285.00	10595.49	USD	10,137.06	
07SEP17	B 1 PD07DEC17	12275.00	10595.49	USD	-10,077.06	
07SEP17	B 1 PD07DEC17	12250.00	10595.49	USD	-9,927.06	
07SEP17	B 1 PD07DEC17	12220.00	10595.49	USD	-9,747.06	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
07SEP17	S 1 PD07DEC17	12225.00	10595.49	USD	9,777.06	
07SEP17	S 1 PD07DEC17	12250.00	10595.49	USD	9,927.06	
07SEP17	S 1 PD07DEC17	12245.00	10595.49	USD	9,897.06	
07SEP17	B 6 PD07DEC17	12225.00	10595.49	USD	-58,662.36	
07SEP17	B 1 PD07DEC17	12160.00	10595.49	USD	-9,387.06	
07SEP17	B 6 PD07DEC17	12085.00	10595.49	USD	-53,622.36	
07SEP17	S 1 PD07DEC17	12075.00	10595.49	USD	8,877.06	
07SEP17	S 2 PD07DEC17	12095.00	10595.49	USD	17,994.12	
07SEP17	B 3 PD07DEC17	12095.00	10595.49	USD	-26,991.18	
07SEP17	B 4 PD07DEC17	12120.00	10595.49	USD	-36,588.24	
07SEP17	B 1 PD07DEC17	12070.00	10595.49	USD	-8,847.06	
07SEP17	B 6 PD07DEC17	12165.00	10595.49	USD	-56,502.36	
07SEP17	B 6 PD07DEC17	12170.00	10595.49	USD	-56,682.36	
07SEP17	B 3 PD07DEC17	12145.00	10595.49	USD	-27,891.18	
07SEP17	B 11 PD07DEC17	12175.00	10595.49	USD	-104,247.66	
07SEP17	B 1 PD07DEC17	12185.00	10595.49	USD	-9,537.06	
07SEP17	B 8 PD07DEC17	12155.00	10595.49	USD	-74,856.48	
07SEP17	B 10 PD07DEC17	12150.00	10595.49	USD	-93,270.60	
07SEP17	B 8 PD07DEC17	12105.00	10595.49	USD	-72,456.48	
07SEP17	B 3 PD07DEC17	12100.00	10595.49	USD	-27,081.18	
07SEP17	B 9 PD07DEC17	12115.00	10595.49	USD	-82,053.54	
07SEP17	B 24 PD07DEC17	12110.00	10595.49	USD	-218,089.44	
07SEP17	B 1 PD07DEC17	12065.00	10595.49	USD	-8,817.06	
07SEP17	B 1 PD07DEC17	12035.00	10595.49	USD	-8,637.06	
07SEP17	S 3 PD07DEC17	12035.00	10595.49	USD	25,911.18	
07SEP17	S 2 PD07DEC17	12005.00	10595.49	USD	16,914.12	
07SEP17	S 1 PD07DEC17	12020.00	10595.49	USD	8,547.06	
07SEP17	B 5 PD07DEC17	12080.00	10595.49	USD	-44,535.30	
07SEP17	B 1 PD07DEC17	12075.00	10595.49	USD	-8,877.06	
07SEP17	S 1 PD07DEC17	12120.00	10595.49	USD	9,147.06	
07SEP17	S 6 PD07DEC17	12135.00	10595.49	USD	55,422.36	
07SEP17	S 1 PD07DEC17	12155.00	10595.49	USD	9,357.06	
07SEP17	S 2 PD07DEC17	12015.00	10595.49	USD	17,034.12	
07SEP17	B 3 PD07DEC17	12130.00	10595.49	USD	-27,621.18	
07SEP17	S 2 PD07DEC17	12125.00	10595.49	USD	18,354.12	
07SEP17	S 8 PD07DEC17	12145.00	10595.49	USD	74,376.48	
07SEP17	S 1 PD07DEC17	12150.00	10595.49	USD	9,327.06	
07SEP17	S 4 PD07DEC17	12140.00	10595.49	USD	37,068.24	
07SEP17	S 4 PD07DEC17	12155.00	10595.49	USD	37,428.24	
07SEP17	S 15 PD07DEC17	12165.00	10595.49	USD	141,255.90	
07SEP17	S 8 PD07DEC17	12170.00	10595.49	USD	75,576.48	
07SEP17	S 1 PD07DEC17	12175.00	10595.49	USD	9,477.06	
07SEP17	B 5 PD07DEC17	12180.00	10595.49	USD	-47,535.30	
07SEP17	S 9 PD07DEC17	12205.00	10595.49	USD	86,913.54	
07SEP17	B 2 PD07DEC17	12200.00	10595.49	USD	-19,254.12	
07SEP17	B 5 PD07DEC17	12205.00	10595.49	USD	-48,285.30	
07SEP17	S 1 PD07DEC17	12145.00	10595.49	USD	9,297.06	
11SEP17	S 10 PD07DEC17	11762.38	10595.49	USD	70,013.40	
12SEP17	S 2 PD07DEC17	11984.93	10595.49	USD	16,673.28	
14SEP17	S 2 PD07DEC17	11193.45	10595.49	USD	7,175.52	
14SEP17	S 6 PD07DEC17	11194.45	10595.49	USD	21,562.56	
15SEP17	S 1 PD07DEC17	11083.60	10595.49	USD	2,928.66	
19SEP17	S 14 PD07DEC17	11130.82	10595.49	USD	44,967.72	
20SEP17	S 6 PD07DEC17	11368.97	10595.49	USD	27,845.28	
20SEP17	S 32 PD07DEC17	11369.97	10595.49	USD	148,700.16	
21SEP17	S 4 PD07DEC17	10993.73	10595.49	USD	9,557.76	
25SEP17	S 4 PD07DEC17	10558.74	10595.49	USD	-882.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
	NET 0 AVG BGHT 12153.09 SOLD 11717.10				**-410,699.52**	**0.00**
08SEP17	S 1 PD08DEC17	12240.00	10596.37	USD	9,861.78	
08SEP17	S 1 PD08DEC17	12245.00	10596.37	USD	9,891.78	
08SEP17	S 1 PD08DEC17	12270.00	10596.37	USD	10,041.78	
08SEP17	S 3 PD08DEC17	12280.00	10596.37	USD	30,305.34	
08SEP17	S 1 PD08DEC17	12285.00	10596.37	USD	10,131.78	
08SEP17	S 1 PD08DEC17	12290.00	10596.37	USD	10,161.78	
08SEP17	S 1 PD08DEC17	12295.00	10596.37	USD	10,191.78	
08SEP17	S 2 PD08DEC17	12275.00	10596.37	USD	20,143.56	
08SEP17	S 1 PD08DEC17	12255.00	10596.37	USD	9,951.78	
08SEP17	B 1 PD08DEC17	12275.00	10596.37	USD	-10,071.78	
08SEP17	B 1 PD08DEC17	12270.00	10596.37	USD	-10,041.78	
08SEP17	B 1 PD08DEC17	12300.00	10596.37	USD	-10,221.78	
08SEP17	B 1 PD08DEC17	12310.00	10596.37	USD	-10,281.78	
08SEP17	B 1 PD08DEC17	12350.00	10596.37	USD	-10,521.78	
08SEP17	B 4 PD08DEC17	12340.00	10596.37	USD	-41,847.12	
08SEP17	B 8 PD08DEC17	12335.00	10596.37	USD	-83,454.24	
08SEP17	B 5 PD08DEC17	12330.00	10596.37	USD	-52,008.90	
08SEP17	B 3 PD08DEC17	12315.00	10596.37	USD	-30,935.34	
08SEP17	B 16 PD08DEC17	12320.00	10596.37	USD	-165,468.48	
08SEP17	B 6 PD08DEC17	12325.00	10596.37	USD	-62,230.68	
08SEP17	S 8 PD08DEC17	12250.00	10596.37	USD	79,374.24	
08SEP17	S 9 PD08DEC17	12265.00	10596.37	USD	90,106.02	
08SEP17	S 1 PD08DEC17	12260.00	10596.37	USD	9,981.78	
08SEP17	S 4 PD08DEC17	12170.00	10596.37	USD	37,767.12	
08SEP17	S 2 PD08DEC17	12165.00	10596.37	USD	18,823.56	
08SEP17	S 1 PD08DEC17	12145.00	10596.37	USD	9,291.78	
08SEP17	S 9 PD08DEC17	12150.00	10596.37	USD	83,896.02	
08SEP17	S 1 PD08DEC17	12180.00	10596.37	USD	9,501.78	
08SEP17	S 5 PD08DEC17	12185.00	10596.37	USD	47,658.90	
08SEP17	S 13 PD08DEC17	12160.00	10596.37	USD	121,963.14	
08SEP17	S 4 PD08DEC17	12175.00	10596.37	USD	37,887.12	
08SEP17	S 3 PD08DEC17	12155.00	10596.37	USD	28,055.34	
08SEP17	S 4 PD08DEC17	12135.00	10596.37	USD	36,927.12	
08SEP17	S 1 PD08DEC17	12125.00	10596.37	USD	9,171.78	
08SEP17	S 6 PD08DEC17	12130.00	10596.37	USD	55,210.68	
08SEP17	S 2 PD08DEC17	12115.00	10596.37	USD	18,223.56	
08SEP17	S 4 PD08DEC17	12090.00	10596.37	USD	35,847.12	
08SEP17	S 4 PD08DEC17	12100.00	10596.37	USD	36,087.12	
08SEP17	S 1 PD08DEC17	12095.00	10596.37	USD	8,991.78	
08SEP17	S 1 PD08DEC17	12080.00	10596.37	USD	8,901.78	
08SEP17	S 1 PD08DEC17	12075.00	10596.37	USD	8,871.78	
08SEP17	S 3 PD08DEC17	12070.00	10596.37	USD	26,525.34	
08SEP17	S 9 PD08DEC17	12065.00	10596.37	USD	79,306.02	
08SEP17	S 2 PD08DEC17	12060.00	10596.37	USD	17,563.56	
08SEP17	S 6 PD08DEC17	12055.00	10596.37	USD	52,510.68	
08SEP17	S 3 PD08DEC17	12045.00	10596.37	USD	26,075.34	
08SEP17	S 9 PD08DEC17	12050.00	10596.37	USD	78,496.02	
08SEP17	S 1 PD08DEC17	12035.00	10596.37	USD	8,631.78	
08SEP17	S 1 PD08DEC17	12015.00	10596.37	USD	8,511.78	
08SEP17	S 4 PD08DEC17	11990.00	10596.37	USD	33,447.12	
08SEP17	S 1 PD08DEC17	12005.00	10596.37	USD	8,451.78	
08SEP17	S 10 PD08DEC17	12010.00	10596.37	USD	84,817.80	
08SEP17	B 1 PD08DEC17	11995.00	10596.37	USD	-8,391.78	
08SEP17	S 9 PD08DEC17	11955.00	10596.37	USD	73,366.02	
08SEP17	S 2 PD08DEC17	11965.00	10596.37	USD	16,423.56	
08SEP17	S 23 PD08DEC17	11960.00	10596.37	USD	188,180.94	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
08SEP17	S 5 PD08DEC17	11995.00	10596.37	USD	41,958.90	
08SEP17	S 4 PD08DEC17	11985.00	10596.37	USD	33,327.12	
08SEP17	B 2 PD08DEC17	11980.00	10596.37	USD	-16,603.56	
08SEP17	B 13 PD08DEC17	11975.00	10596.37	USD	-107,533.14	
08SEP17	B 6 PD08DEC17	11970.00	10596.37	USD	-49,450.68	
08SEP17	B 1 PD08DEC17	11965.00	10596.37	USD	-8,211.78	
08SEP17	S 2 PD08DEC17	11950.00	10596.37	USD	16,243.56	
08SEP17	S 16 PD08DEC17	11935.00	10596.37	USD	128,508.48	
08SEP17	S 4 PD08DEC17	11945.00	10596.37	USD	32,367.12	
08SEP17	S 1 PD08DEC17	11930.00	10596.37	USD	8,001.78	
08SEP17	S 2 PD08DEC17	11920.00	10596.37	USD	15,883.56	
08SEP17	B 5 PD08DEC17	11935.00	10596.37	USD	-40,158.90	
08SEP17	B 4 PD08DEC17	11955.00	10596.37	USD	-32,607.12	
08SEP17	B 4 PD08DEC17	11945.00	10596.37	USD	-32,367.12	
08SEP17	B 6 PD08DEC17	11950.00	10596.37	USD	-48,730.68	
08SEP17	S 3 PD08DEC17	11970.00	10596.37	USD	24,725.34	
08SEP17	B 8 PD08DEC17	11960.00	10596.37	USD	-65,454.24	
08SEP17	S 13 PD08DEC17	11940.00	10596.37	USD	104,803.14	
08SEP17	B 4 PD08DEC17	11920.00	10596.37	USD	-31,767.12	
08SEP17	B 5 PD08DEC17	11925.00	10596.37	USD	-39,858.90	
08SEP17	B 5 PD08DEC17	11940.00	10596.37	USD	-40,308.90	
08SEP17	S 1 PD08DEC17	11925.00	10596.37	USD	7,971.78	
08SEP17	S 1 PD08DEC17	12255.00	10596.37	USD	9,951.78	
08SEP17	S 1 PD08DEC17	12170.00	10596.37	USD	9,441.78	
08SEP17	S 1 PD08DEC17	12150.00	10596.37	USD	9,321.78	
08SEP17	B 1 PD08DEC17	12150.00	10596.37	USD	-9,321.78	
08SEP17	S 1 PD08DEC17	12115.00	10596.37	USD	9,111.78	
08SEP17	B 1 PD08DEC17	12010.00	10596.37	USD	-8,481.78	
08SEP17	S 2 PD08DEC17	12010.00	10596.37	USD	16,963.56	
08SEP17	S 1 PD08DEC17	12005.00	10596.37	USD	8,451.78	
08SEP17	S 1 PD08DEC17	11970.00	10596.37	USD	8,241.78	
08SEP17	B 1 PD08DEC17	11970.00	10596.37	USD	-8,241.78	
08SEP17	B 1 PD08DEC17	11950.00	10596.37	USD	-8,121.78	
08SEP17	S 1 PD08DEC17	11935.00	10596.37	USD	8,031.78	
08SEP17	S 1 PD08DEC17	11930.00	10596.37	USD	8,001.78	
08SEP17	S 2 PD08DEC17	11880.00	10596.37	USD	15,403.56	
08SEP17	S 5 PD08DEC17	11865.00	10596.37	USD	38,058.90	
08SEP17	S 3 PD08DEC17	11860.00	10596.37	USD	22,745.34	
08SEP17	S 5 PD08DEC17	11855.00	10596.37	USD	37,758.90	
08SEP17	S 3 PD08DEC17	11850.00	10596.37	USD	22,565.34	
08SEP17	S 15 PD08DEC17	11845.00	10596.37	USD	112,376.70	
08SEP17	S 6 PD08DEC17	11885.00	10596.37	USD	46,390.68	
08SEP17	S 8 PD08DEC17	11840.00	10596.37	USD	59,694.24	
08SEP17	S 2 PD08DEC17	11835.00	10596.37	USD	14,863.56	
08SEP17	S 1 PD08DEC17	11830.00	10596.37	USD	7,401.78	
08SEP17	S 2 PD08DEC17	11825.00	10596.37	USD	14,743.56	
08SEP17	S 2 PD08DEC17	11800.00	10596.37	USD	14,443.56	
08SEP17	S 2 PD08DEC17	11805.00	10596.37	USD	14,503.56	
08SEP17	S 2 PD08DEC17	11810.00	10596.37	USD	14,563.56	
08SEP17	S 1 PD08DEC17	11790.00	10596.37	USD	7,161.78	
08SEP17	B 1 PD08DEC17	11780.00	10596.37	USD	-7,101.78	
08SEP17	B 1 PD08DEC17	11775.00	10596.37	USD	-7,071.78	
08SEP17	S 2 PD08DEC17	11775.00	10596.37	USD	14,143.56	
08SEP17	S 1 PD08DEC17	11765.00	10596.37	USD	7,011.78	
08SEP17	B 4 PD08DEC17	11770.00	10596.37	USD	-28,167.12	
08SEP17	B 11 PD08DEC17	11785.00	10596.37	USD	-78,449.58	
08SEP17	B 3 PD08DEC17	11765.00	10596.37	USD	-21,035.34	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
08SEP17	B 4 PD08DEC17	11740.00	10596.37	USD	-27,447.12	
08SEP17	B 3 PD08DEC17	11760.00	10596.37	USD	-20,945.34	
08SEP17	B 8 PD08DEC17	11755.00	10596.37	USD	-55,614.24	
08SEP17	B 5 PD08DEC17	11750.00	10596.37	USD	-34,608.90	
08SEP17	B 1 PD08DEC17	11720.00	10596.37	USD	-6,741.78	
08SEP17	B 5 PD08DEC17	11715.00	10596.37	USD	-33,558.90	
08SEP17	S 6 PD08DEC17	11750.00	10596.37	USD	41,530.68	
08SEP17	S 1 PD08DEC17	11760.00	10596.37	USD	6,981.78	
08SEP17	S 3 PD08DEC17	11725.00	10596.37	USD	20,315.34	
08SEP17	S 1 PD08DEC17	11720.00	10596.37	USD	6,741.78	
08SEP17	B 2 PD08DEC17	11710.00	10596.37	USD	-13,363.56	
08SEP17	S 6 PD08DEC17	11735.00	10596.37	USD	40,990.68	
08SEP17	S 1 PD08DEC17	11740.00	10596.37	USD	6,861.78	
08SEP17	B 2 PD08DEC17	11705.00	10596.37	USD	-13,303.56	
08SEP17	B 4 PD08DEC17	11700.00	10596.37	USD	-26,487.12	
08SEP17	B 2 PD08DEC17	11690.00	10596.37	USD	-13,123.56	
08SEP17	B 2 PD08DEC17	11685.00	10596.37	USD	-13,063.56	
08SEP17	B 1 PD08DEC17	11620.00	10596.37	USD	-6,141.78	
08SEP17	B 1 PD08DEC17	11535.00	10596.37	USD	-5,631.78	
08SEP17	B 2 PD08DEC17	11545.00	10596.37	USD	-11,383.56	
08SEP17	B 2 PD08DEC17	11540.00	10596.37	USD	-11,323.56	
08SEP17	S 1 PD08DEC17	11555.00	10596.37	USD	5,751.78	
08SEP17	B 5 PD08DEC17	11570.00	10596.37	USD	-29,208.90	
08SEP17	B 4 PD08DEC17	11505.00	10596.37	USD	-21,807.12	
08SEP17	B 2 PD08DEC17	11495.00	10596.37	USD	-10,783.56	
08SEP17	S 2 PD08DEC17	11535.00	10596.37	USD	11,263.56	
08SEP17	S 4 PD08DEC17	11550.00	10596.37	USD	22,887.12	
08SEP17	S 3 PD08DEC17	11565.00	10596.37	USD	17,435.34	
08SEP17	B 5 PD08DEC17	11560.00	10596.37	USD	-28,908.90	
08SEP17	B 4 PD08DEC17	11575.00	10596.37	USD	-23,487.12	
08SEP17	S 6 PD08DEC17	11575.00	10596.37	USD	35,230.68	
08SEP17	S 6 PD08DEC17	11580.00	10596.37	USD	35,410.68	
08SEP17	S 3 PD08DEC17	11595.00	10596.37	USD	17,975.34	
08SEP17	S 11 PD08DEC17	11590.00	10596.37	USD	65,579.58	
08SEP17	B 1 PD08DEC17	11590.00	10596.37	USD	-5,961.78	
08SEP17	S 3 PD08DEC17	11600.00	10596.37	USD	18,065.34	
08SEP17	S 1 PD08DEC17	11605.00	10596.37	USD	6,051.78	
08SEP17	S 5 PD08DEC17	11570.00	10596.37	USD	29,208.90	
08SEP17	S 1 PD08DEC17	11585.00	10596.37	USD	5,931.78	
08SEP17	S 6 PD08DEC17	11515.00	10596.37	USD	33,070.68	
08SEP17	B 8 PD08DEC17	11525.00	10596.37	USD	-44,574.24	
08SEP17	B 2 PD08DEC17	11520.00	10596.37	USD	-11,083.56	
08SEP17	S 1 PD08DEC17	11505.00	10596.37	USD	5,451.78	
08SEP17	B 10 PD08DEC17	11510.00	10596.37	USD	-54,817.80	
08SEP17	B 6 PD08DEC17	11515.00	10596.37	USD	-33,070.68	
08SEP17	S 2 PD08DEC17	11500.00	10596.37	USD	10,843.56	
08SEP17	S 1 PD08DEC17	11590.00	10596.37	USD	5,961.78	
08SEP17	B 1 PD08DEC17	11590.00	10596.37	USD	-5,961.78	
11SEP17	B 10 PD08DEC17	11764.04	10596.37	USD	-70,060.20	
14SEP17	B 17 PD08DEC17	11195.24	10596.37	USD	-61,084.74	
14SEP17	B 7 PD08DEC17	11196.24	10596.37	USD	-25,194.54	
14SEP17	S 15 PD08DEC17	11195.24	10596.37	USD	53,898.30	
15SEP17	B 1 PD08DEC17	11085.40	10596.37	USD	-2,934.18	
15SEP17	B 1 PD08DEC17	11084.40	10596.37	USD	-2,928.18	
18SEP17	B 30 PD08DEC17	11227.42	10596.37	USD	-113,589.00	
19SEP17	B 17 PD08DEC17	11132.59	10596.37	USD	-54,694.44	
19SEP17	B 10 PD08DEC17	11131.59	10596.37	USD	-32,113.20	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
20SEP17	B 18 PD08DEC17	11370.74	10596.37	USD	-83,631.96	
20SEP17	B 32 PD08DEC17	11371.74	10596.37	USD	-148,871.04	
25SEP17	B 4 PD08DEC17	10560.69	10596.37	USD	856.32	
25SEP17	B 6 PD08DEC17	10559.69	10596.37	USD	1,320.48	
	NET -11 AVG BGHT 11622.62 SOLD 11910.99				**744,258.84**	**0.00**
11SEP17	S 1 PD11DEC17	11510.00	10599.03	USD	5,465.82	
11SEP17	S 1 PD11DEC17	11585.00	10599.03	USD	5,915.82	
11SEP17	S 1 PD11DEC17	11505.00	10599.03	USD	5,435.82	
11SEP17	B 3 PD11DEC17	11570.00	10599.03	USD	-17,477.46	
11SEP17	B 7 PD11DEC17	11485.00	10599.03	USD	-37,210.74	
11SEP17	B 4 PD11DEC17	11495.00	10599.03	USD	-21,503.28	
11SEP17	B 1 PD11DEC17	11520.00	10599.03	USD	-5,525.82	
11SEP17	B 3 PD11DEC17	11500.00	10599.03	USD	-16,217.46	
11SEP17	B 2 PD11DEC17	11505.00	10599.03	USD	-10,871.64	
11SEP17	B 1 PD11DEC17	11545.00	10599.03	USD	-5,675.82	
11SEP17	B 1 PD11DEC17	11560.00	10599.03	USD	-5,765.82	
11SEP17	B 5 PD11DEC17	11515.00	10599.03	USD	-27,479.10	
11SEP17	B 2 PD11DEC17	11510.00	10599.03	USD	-10,931.64	
11SEP17	B 1 PD11DEC17	11580.00	10599.03	USD	-5,885.82	
11SEP17	B 11 PD11DEC17	11535.00	10599.03	USD	-61,774.02	
11SEP17	B 2 PD11DEC17	11595.00	10599.03	USD	-11,951.64	
11SEP17	B 4 PD11DEC17	11590.00	10599.03	USD	-23,783.28	
11SEP17	B 2 PD11DEC17	11600.00	10599.03	USD	-12,011.64	
11SEP17	S 2 PD11DEC17	11595.00	10599.03	USD	11,951.64	
11SEP17	S 2 PD11DEC17	11580.00	10599.03	USD	11,771.64	
11SEP17	S 1 PD11DEC17	11570.00	10599.03	USD	5,825.82	
11SEP17	S 2 PD11DEC17	11575.00	10599.03	USD	11,711.64	
11SEP17	B 9 PD11DEC17	11575.00	10599.03	USD	-52,702.38	
11SEP17	B 2 PD11DEC17	11555.00	10599.03	USD	-11,471.64	
11SEP17	B 2 PD11DEC17	11565.00	10599.03	USD	-11,591.64	
11SEP17	B 16 PD11DEC17	11540.00	10599.03	USD	-90,333.12	
11SEP17	B 1 PD11DEC17	11595.00	10599.03	USD	-5,975.82	
11SEP17	S 2 PD11DEC17	11660.00	10599.03	USD	12,731.64	
11SEP17	B 1 PD11DEC17	11650.00	10599.03	USD	-6,305.82	
11SEP17	S 3 PD11DEC17	11655.00	10599.03	USD	19,007.46	
11SEP17	S 3 PD11DEC17	11685.00	10599.03	USD	19,547.46	
11SEP17	S 3 PD11DEC17	11715.00	10599.03	USD	20,087.46	
11SEP17	S 6 PD11DEC17	11710.00	10599.03	USD	39,994.92	
11SEP17	S 4 PD11DEC17	11705.00	10599.03	USD	26,543.28	
11SEP17	S 1 PD11DEC17	11700.00	10599.03	USD	6,605.82	
11SEP17	S 1 PD11DEC17	11730.00	10599.03	USD	6,785.82	
11SEP17	S 1 PD11DEC17	11745.00	10599.03	USD	6,875.82	
11SEP17	B 3 PD11DEC17	11745.00	10599.03	USD	-20,627.46	
11SEP17	B 6 PD11DEC17	11700.00	10599.03	USD	-39,634.92	
11SEP17	B 2 PD11DEC17	11685.00	10599.03	USD	-13,031.64	
11SEP17	B 1 PD11DEC17	11695.00	10599.03	USD	-6,575.82	
11SEP17	B 3 PD11DEC17	11705.00	10599.03	USD	-19,907.46	
11SEP17	B 1 PD11DEC17	11710.00	10599.03	USD	-6,665.82	
11SEP17	S 1 PD11DEC17	11770.00	10599.03	USD	7,025.82	
11SEP17	B 1 PD11DEC17	11615.00	10599.03	USD	-6,095.82	
11SEP17	B 3 PD11DEC17	11655.00	10599.03	USD	-19,007.46	
11SEP17	B 3 PD11DEC17	11665.00	10599.03	USD	-19,187.46	
11SEP17	B 2 PD11DEC17	11725.00	10599.03	USD	-13,511.64	
11SEP17	B 6 PD11DEC17	11730.00	10599.03	USD	-40,714.92	
11SEP17	B 2 PD11DEC17	11770.00	10599.03	USD	-14,051.64	
11SEP17	S 5 PD11DEC17	11795.00	10599.03	USD	35,879.10	
11SEP17	S 1 PD11DEC17	11805.00	10599.03	USD	7,235.82	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
11SEP17	S 1 PD11DEC17	11835.00	10599.03	USD	7,415.82	
11SEP17	S 1 PD11DEC17	11790.00	10599.03	USD	7,145.82	
11SEP17	S 15 PD11DEC17	11800.00	10599.03	USD	108,087.30	
11SEP17	B 1 PD11DEC17	11800.00	10599.03	USD	-7,205.82	
11SEP17	S 14 PD11DEC17	11820.00	10599.03	USD	102,561.48	
11SEP17	S 11 PD11DEC17	11825.00	10599.03	USD	80,914.02	
11SEP17	S 4 PD11DEC17	11830.00	10599.03	USD	29,543.28	
14SEP17	B 7 PD11DEC17	11197.62	10599.03	USD	-25,140.78	
14SEP17	S 4 PD11DEC17	11197.62	10599.03	USD	14,366.16	
14SEP17	B 2 PD11DEC17	11198.62	10599.03	USD	-7,195.08	
15SEP17	S 1 PD11DEC17	11085.80	10599.03	USD	2,920.62	
19SEP17	S 5 PD11DEC17	11132.88	10599.03	USD	16,015.50	
20SEP17	S 10 PD11DEC17	11372.06	10599.03	USD	46,381.80	
25SEP17	S 6 PD11DEC17	10561.51	10599.03	USD	-1,350.72	
	NET +10 AVG BGHT 11562.44 SOLD 11602.57				**-30,599.64**	**0.00**
12SEP17	S 2 PD12DEC17	11755.00	10599.91	USD	13,861.08	
12SEP17	S 1 PD12DEC17	11695.00	10599.91	USD	6,570.54	
12SEP17	S 1 PD12DEC17	11690.00	10599.91	USD	6,540.54	
12SEP17	B 1 PD12DEC17	11665.00	10599.91	USD	-6,390.54	
12SEP17	B 3 PD12DEC17	11680.00	10599.91	USD	-19,441.62	
12SEP17	B 5 PD12DEC17	11660.00	10599.91	USD	-31,802.70	
12SEP17	B 30 PD12DEC17	11670.00	10599.91	USD	-192,616.20	
12SEP17	B 9 PD12DEC17	11650.00	10599.91	USD	-56,704.86	
12SEP17	S 2 PD12DEC17	11670.00	10599.91	USD	12,841.08	
12SEP17	B 8 PD12DEC17	11645.00	10599.91	USD	-50,164.32	
12SEP17	B 15 PD12DEC17	11675.00	10599.91	USD	-96,758.10	
12SEP17	B 2 PD12DEC17	11725.00	10599.91	USD	-13,501.08	
12SEP17	B 2 PD12DEC17	11710.00	10599.91	USD	-13,321.08	
12SEP17	B 8 PD12DEC17	11700.00	10599.91	USD	-52,804.32	
12SEP17	B 1 PD12DEC17	11620.00	10599.91	USD	-6,120.54	
12SEP17	S 1 PD12DEC17	11590.00	10599.91	USD	5,940.54	
12SEP17	B 3 PD12DEC17	11595.00	10599.91	USD	-17,911.62	
12SEP17	B 5 PD12DEC17	11635.00	10599.91	USD	-31,052.70	
12SEP17	B 6 PD12DEC17	11640.00	10599.91	USD	-37,443.24	
12SEP17	B 11 PD12DEC17	11625.00	10599.91	USD	-67,655.94	
12SEP17	B 1 PD12DEC17	11630.00	10599.91	USD	-6,180.54	
12SEP17	B 3 PD12DEC17	11685.00	10599.91	USD	-19,531.62	
12SEP17	S 2 PD12DEC17	11720.00	10599.91	USD	13,441.08	
12SEP17	S 2 PD12DEC17	11735.00	10599.91	USD	13,621.08	
12SEP17	S 3 PD12DEC17	11730.00	10599.91	USD	20,341.62	
12SEP17	S 1 PD12DEC17	11745.00	10599.91	USD	6,870.54	
12SEP17	B 3 PD12DEC17	11740.00	10599.91	USD	-20,521.62	
12SEP17	B 1 PD12DEC17	11760.00	10599.91	USD	-6,960.54	
12SEP17	B 4 PD12DEC17	11780.00	10599.91	USD	-28,322.16	
12SEP17	B 2 PD12DEC17	11770.00	10599.91	USD	-14,041.08	
12SEP17	S 4 PD12DEC17	11770.00	10599.91	USD	28,082.16	
12SEP17	S 1 PD12DEC17	11780.00	10599.91	USD	7,080.54	
12SEP17	S 1 PD12DEC17	11795.00	10599.91	USD	7,170.54	
12SEP17	S 1 PD12DEC17	11855.00	10599.91	USD	7,530.54	
12SEP17	S 6 PD12DEC17	11880.00	10599.91	USD	46,083.24	
12SEP17	S 5 PD12DEC17	11890.00	10599.91	USD	38,702.70	
12SEP17	S 9 PD12DEC17	11885.00	10599.91	USD	69,394.86	
12SEP17	S 2 PD12DEC17	11900.00	10599.91	USD	15,601.08	
12SEP17	B 2 PD12DEC17	11855.00	10599.91	USD	-15,061.08	
12SEP17	S 7 PD12DEC17	11925.00	10599.91	USD	55,653.78	
12SEP17	S 2 PD12DEC17	11940.00	10599.91	USD	16,081.08	
12SEP17	S 3 PD12DEC17	11935.00	10599.91	USD	24,031.62	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
12SEP17	S 1 PD12DEC17	11950.00	10599.91	USD	8,100.54	
12SEP17	S 3 PD12DEC17	11955.00	10599.91	USD	24,391.62	
12SEP17	S 19 PD12DEC17	11960.00	10599.91	USD	155,050.26	
12SEP17	S 4 PD12DEC17	11975.00	10599.91	USD	33,002.16	
12SEP17	S 17 PD12DEC17	11965.00	10599.91	USD	139,239.18	
12SEP17	S 19 PD12DEC17	11970.00	10599.91	USD	156,190.26	
12SEP17	S 1 PD12DEC17	11940.00	10599.91	USD	8,040.54	
12SEP17	S 3 PD12DEC17	11955.00	10599.91	USD	24,391.62	
12SEP17	B 1 PD12DEC17	11930.00	10599.91	USD	-7,980.54	
12SEP17	B 2 PD12DEC17	11915.00	10599.91	USD	-15,781.08	
12SEP17	B 1 PD12DEC17	11905.00	10599.91	USD	-7,830.54	
12SEP17	B 6 PD12DEC17	11910.00	10599.91	USD	-47,163.24	
12SEP17	B 16 PD12DEC17	11925.00	10599.91	USD	-127,208.64	
12SEP17	B 4 PD12DEC17	11920.00	10599.91	USD	-31,682.16	
14SEP17	S 15 PD12DEC17	11197.41	10599.91	USD	53,775.00	
14SEP17	B 4 PD12DEC17	11199.41	10599.91	USD	-14,388.00	
19SEP17	S 5 PD12DEC17	11133.65	10599.91	USD	16,012.20	
19SEP17	S 1 PD12DEC17	11134.65	10599.91	USD	3,208.44	
20SEP17	S 12 PD12DEC17	11372.83	10599.91	USD	55,650.24	
21SEP17	S 4 PD12DEC17	10997.87	10599.91	USD	9,551.04	
	NET -1 AVG BGHT 11707.19 SOLD 11747.87				**45,701.64**	**0.00**
13SEP17	B 2 PD13DEC17	11895.00	10600.80	USD	-15,530.40	
13SEP17	B 3 PD13DEC17	11885.00	10600.80	USD	-23,115.60	
13SEP17	B 11 PD13DEC17	11890.00	10600.80	USD	-85,087.20	
13SEP17	B 2 PD13DEC17	11905.00	10600.80	USD	-15,650.40	
13SEP17	B 8 PD13DEC17	11920.00	10600.80	USD	-63,321.60	
13SEP17	B 6 PD13DEC17	11930.00	10600.80	USD	-47,851.20	
13SEP17	B 3 PD13DEC17	11900.00	10600.80	USD	-23,385.60	
13SEP17	B 1 PD13DEC17	11850.00	10600.80	USD	-7,495.20	
13SEP17	B 2 PD13DEC17	11860.00	10600.80	USD	-15,110.40	
13SEP17	B 2 PD13DEC17	11790.00	10600.80	USD	-14,270.40	
13SEP17	B 2 PD13DEC17	11795.00	10600.80	USD	-14,330.40	
13SEP17	B 16 PD13DEC17	11815.00	10600.80	USD	-116,563.20	
13SEP17	B 3 PD13DEC17	11830.00	10600.80	USD	-22,125.60	
13SEP17	B 7 PD13DEC17	11825.00	10600.80	USD	-51,416.40	
13SEP17	B 5 PD13DEC17	11770.00	10600.80	USD	-35,076.00	
13SEP17	B 1 PD13DEC17	11765.00	10600.80	USD	-6,985.20	
13SEP17	B 1 PD13DEC17	11735.00	10600.80	USD	-6,805.20	
13SEP17	B 1 PD13DEC17	11745.00	10600.80	USD	-6,865.20	
13SEP17	B 3 PD13DEC17	11710.00	10600.80	USD	-19,965.60	
13SEP17	B 3 PD13DEC17	11730.00	10600.80	USD	-20,325.60	
13SEP17	B 16 PD13DEC17	11740.00	10600.80	USD	-109,363.20	
13SEP17	B 2 PD13DEC17	11775.00	10600.80	USD	-14,090.40	
13SEP17	B 1 PD13DEC17	11720.00	10600.80	USD	-6,715.20	
13SEP17	S 2 PD13DEC17	11760.00	10600.80	USD	13,910.40	
13SEP17	B 1 PD13DEC17	11725.00	10600.80	USD	-6,745.20	
13SEP17	B 1 PD13DEC17	11550.00	10600.80	USD	-5,695.20	
13SEP17	B 1 PD13DEC17	11560.00	10600.80	USD	-5,755.20	
13SEP17	S 1 PD13DEC17	11755.00	10600.80	USD	6,925.20	
13SEP17	B 1 PD13DEC17	11755.00	10600.80	USD	-6,925.20	
13SEP17	S 1 PD13DEC17	11730.00	10600.80	USD	6,775.20	
13SEP17	B 1 PD13DEC17	11530.00	10600.80	USD	-5,575.20	
13SEP17	B 3 PD13DEC17	11535.00	10600.80	USD	-16,815.60	
13SEP17	B 1 PD13DEC17	11545.00	10600.80	USD	-5,665.20	
13SEP17	B 5 PD13DEC17	11560.00	10600.80	USD	-28,776.00	
13SEP17	B 11 PD13DEC17	11565.00	10600.80	USD	-63,637.20	
13SEP17	B 18 PD13DEC17	11555.00	10600.80	USD	-103,053.60	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
13SEP17	S 5 PD13DEC17	11560.00	10600.80	USD	28,776.00	
13SEP17	S 1 PD13DEC17	11575.00	10600.80	USD	5,845.20	
13SEP17	S 13 PD13DEC17	11585.00	10600.80	USD	76,767.60	
13SEP17	S 4 PD13DEC17	11580.00	10600.80	USD	23,500.80	
13SEP17	B 3 PD13DEC17	11585.00	10600.80	USD	-17,715.60	
13SEP17	B 4 PD13DEC17	11590.00	10600.80	USD	-23,740.80	
13SEP17	B 2 PD13DEC17	11605.00	10600.80	USD	-12,050.40	
13SEP17	B 4 PD13DEC17	11595.00	10600.80	USD	-23,860.80	
13SEP17	B 19 PD13DEC17	11580.00	10600.80	USD	-111,628.80	
13SEP17	B 12 PD13DEC17	11575.00	10600.80	USD	-70,142.40	
13SEP17	B 4 PD13DEC17	11570.00	10600.80	USD	-23,260.80	
13SEP17	S 1 PD13DEC17	11400.00	10600.80	USD	4,795.20	
13SEP17	B 1 PD13DEC17	11420.00	10600.80	USD	-4,915.20	
13SEP17	B 4 PD13DEC17	11370.00	10600.80	USD	-18,460.80	
13SEP17	B 4 PD13DEC17	11400.00	10600.80	USD	-19,180.80	
13SEP17	S 4 PD13DEC17	11405.00	10600.80	USD	19,300.80	
13SEP17	B 5 PD13DEC17	11365.00	10600.80	USD	-22,926.00	
13SEP17	S 1 PD13DEC17	11370.00	10600.80	USD	4,615.20	
13SEP17	S 2 PD13DEC17	11365.00	10600.80	USD	9,170.40	
13SEP17	S 3 PD13DEC17	11355.00	10600.80	USD	13,575.60	
13SEP17	S 2 PD13DEC17	11340.00	10600.80	USD	8,870.40	
13SEP17	S 4 PD13DEC17	11335.00	10600.80	USD	17,620.80	
13SEP17	S 5 PD13DEC17	11360.00	10600.80	USD	22,776.00	
13SEP17	B 1 PD13DEC17	11355.00	10600.80	USD	-4,525.20	
13SEP17	B 3 PD13DEC17	11395.00	10600.80	USD	-14,295.60	
13SEP17	B 4 PD13DEC17	11380.00	10600.80	USD	-18,700.80	
13SEP17	B 1 PD13DEC17	11565.00	10600.80	USD	-5,785.20	
13SEP17	B 1 PD13DEC17	11580.00	10600.80	USD	-5,875.20	
13SEP17	S 1 PD13DEC17	11580.00	10600.80	USD	5,875.20	
13SEP17	B 1 PD13DEC17	11595.00	10600.80	USD	-5,965.20	
13SEP17	S 1 PD13DEC17	11585.00	10600.80	USD	5,905.20	
13SEP17	B 4 PD13DEC17	11570.00	10600.80	USD	-23,260.80	
13SEP17	B 5 PD13DEC17	11390.00	10600.80	USD	-23,676.00	
13SEP17	S 3 PD13DEC17	11490.00	10600.80	USD	16,005.60	
13SEP17	B 5 PD13DEC17	11455.00	10600.80	USD	-25,626.00	
13SEP17	S 3 PD13DEC17	11455.00	10600.80	USD	15,375.60	
13SEP17	B 2 PD13DEC17	11450.00	10600.80	USD	-10,190.40	
13SEP17	B 1 PD13DEC17	11375.00	10600.80	USD	-4,645.20	
13SEP17	B 3 PD13DEC17	11385.00	10600.80	USD	-14,115.60	
13SEP17	S 2 PD13DEC17	11435.00	10600.80	USD	10,010.40	
13SEP17	S 2 PD13DEC17	11410.00	10600.80	USD	9,710.40	
13SEP17	S 6 PD13DEC17	11425.00	10600.80	USD	29,671.20	
13SEP17	S 4 PD13DEC17	11430.00	10600.80	USD	19,900.80	
13SEP17	S 4 PD13DEC17	11420.00	10600.80	USD	19,660.80	
13SEP17	S 10 PD13DEC17	11415.00	10600.80	USD	48,852.00	
14SEP17	B 15 PD13DEC17	11200.21	10600.80	USD	-53,946.90	
15SEP17	S 3 PD13DEC17	11088.40	10600.80	USD	8,776.80	
18SEP17	S 30 PD13DEC17	11230.21	10600.80	USD	113,293.80	
18SEP17	S 23 PD13DEC17	11231.21	10600.80	USD	86,996.58	
19SEP17	S 18 PD13DEC17	11135.41	10600.80	USD	57,737.88	
20SEP17	S 8 PD13DEC17	11373.60	10600.80	USD	37,094.40	
20SEP17	S 39 PD13DEC17	11374.60	10600.80	USD	181,069.20	
21SEP17	S 22 PD13DEC17	10998.70	10600.80	USD	52,522.80	
25SEP17	S 7 PD13DEC17	10564.40	10600.80	USD	-1,528.80	
	NET +17 AVG BGHT 11624.99 SOLD 11295.95				**-568,424.64**	**0.00**
14SEP17	S 2 PD14DEC17	11435.00	10601.69	USD	9,999.72	
14SEP17	S 1 PD14DEC17	11445.00	10601.69	USD	5,059.86	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
14SEP17	S 1 PD14DEC17	11465.00	10601.69	USD	5,179.86	
14SEP17	S 3 PD14DEC17	11495.00	10601.69	USD	16,079.58	
14SEP17	B 2 PD14DEC17	11500.00	10601.69	USD	-10,779.72	
14SEP17	S 2 PD14DEC17	11505.00	10601.69	USD	10,839.72	
14SEP17	S 4 PD14DEC17	11500.00	10601.69	USD	21,559.44	
14SEP17	S 3 PD14DEC17	11485.00	10601.69	USD	15,899.58	
14SEP17	B 1 PD14DEC17	11465.00	10601.69	USD	-5,179.86	
14SEP17	S 3 PD14DEC17	11475.00	10601.69	USD	15,719.58	
14SEP17	S 11 PD14DEC17	11470.00	10601.69	USD	57,308.46	
14SEP17	S 1 PD14DEC17	11440.00	10601.69	USD	5,029.86	
14SEP17	S 2 PD14DEC17	11455.00	10601.69	USD	10,239.72	
14SEP17	S 3 PD14DEC17	11450.00	10601.69	USD	15,269.58	
14SEP17	S 3 PD14DEC17	11380.00	10601.69	USD	14,009.58	
14SEP17	S 3 PD14DEC17	11375.00	10601.69	USD	13,919.58	
14SEP17	B 1 PD14DEC17	11305.00	10601.69	USD	-4,219.86	
14SEP17	B 1 PD14DEC17	11260.00	10601.69	USD	-3,949.86	
14SEP17	B 2 PD14DEC17	11270.00	10601.69	USD	-8,019.72	
14SEP17	B 1 PD14DEC17	11255.00	10601.69	USD	-3,919.86	
14SEP17	S 1 PD14DEC17	11195.00	10601.69	USD	3,559.86	
14SEP17	S 2 PD14DEC17	11230.00	10601.69	USD	7,539.72	
14SEP17	B 3 PD14DEC17	11185.00	10601.69	USD	-10,499.58	
14SEP17	B 3 PD14DEC17	11160.00	10601.69	USD	-10,049.58	
14SEP17	B 1 PD14DEC17	11155.00	10601.69	USD	-3,319.86	
14SEP17	B 6 PD14DEC17	11165.00	10601.69	USD	-20,279.16	
14SEP17	S 2 PD14DEC17	11165.00	10601.69	USD	6,759.72	
14SEP17	S 1 PD14DEC17	11155.00	10601.69	USD	3,319.86	
14SEP17	S 1 PD14DEC17	11160.00	10601.69	USD	3,349.86	
14SEP17	S 3 PD14DEC17	11150.00	10601.69	USD	9,869.58	
14SEP17	S 1 PD14DEC17	11145.00	10601.69	USD	3,259.86	
14SEP17	B 1 PD14DEC17	11235.00	10601.69	USD	-3,799.86	
14SEP17	S 2 PD14DEC17	11445.00	10601.69	USD	10,119.72	
14SEP17	S 2 PD14DEC17	11455.00	10601.69	USD	10,239.72	
14SEP17	S 1 PD14DEC17	11515.00	10601.69	USD	5,479.86	
14SEP17	S 1 PD14DEC17	11320.00	10601.69	USD	4,309.86	
14SEP17	S 1 PD14DEC17	11245.00	10601.69	USD	3,859.86	
14SEP17	S 1 PD14DEC17	11180.00	10601.69	USD	3,469.86	
14SEP17	S 1 PD14DEC17	11185.00	10601.69	USD	3,499.86	
14SEP17	S 1 PD14DEC17	11320.00	10601.69	USD	4,309.86	
14SEP17	S 1 PD14DEC17	11405.00	10601.69	USD	4,819.86	
14SEP17	S 1 PD14DEC17	11350.00	10601.69	USD	4,489.86	
14SEP17	S 2 PD14DEC17	11330.00	10601.69	USD	8,739.72	
14SEP17	S 5 PD14DEC17	11335.00	10601.69	USD	21,999.30	
14SEP17	S 2 PD14DEC17	11355.00	10601.69	USD	9,039.72	
14SEP17	S 2 PD14DEC17	11345.00	10601.69	USD	8,919.72	
14SEP17	S 2 PD14DEC17	11340.00	10601.69	USD	8,859.72	
14SEP17	S 3 PD14DEC17	11325.00	10601.69	USD	13,019.58	
14SEP17	B 3 PD14DEC17	11300.00	10601.69	USD	-12,569.58	
14SEP17	S 14 PD14DEC17	11280.00	10601.69	USD	56,978.04	
14SEP17	S 5 PD14DEC17	11315.00	10601.69	USD	21,399.30	
14SEP17	S 20 PD14DEC17	11300.00	10601.69	USD	83,797.20	
14SEP17	S 1 PD14DEC17	11305.00	10601.69	USD	4,219.86	
14SEP17	S 1 PD14DEC17	11250.00	10601.69	USD	3,889.86	
14SEP17	S 2 PD14DEC17	11255.00	10601.69	USD	7,839.72	
14SEP17	S 7 PD14DEC17	11270.00	10601.69	USD	28,069.02	
14SEP17	S 16 PD14DEC17	11275.00	10601.69	USD	64,637.76	
14SEP17	S 2 PD14DEC17	11220.00	10601.69	USD	7,419.72	
14SEP17	S 5 PD14DEC17	11225.00	10601.69	USD	18,699.30	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
14SEP17	S 1 PD14DEC17	11215.00	10601.69	USD	3,679.86	
14SEP17	S 11 PD14DEC17	11295.00	10601.69	USD	45,758.46	
14SEP17	S 9 PD14DEC17	11285.00	10601.69	USD	36,898.74	
14SEP17	S 9 PD14DEC17	11290.00	10601.69	USD	37,168.74	
14SEP17	B 8 PD14DEC17	11290.00	10601.69	USD	-33,038.88	
14SEP17	B 12 PD14DEC17	11280.00	10601.69	USD	-48,838.32	
14SEP17	B 5 PD14DEC17	11275.00	10601.69	USD	-20,199.30	
14SEP17	B 4 PD14DEC17	11175.00	10601.69	USD	-13,759.44	
14SEP17	B 5 PD14DEC17	11180.00	10601.69	USD	-17,349.30	
14SEP17	S 1 PD14DEC17	11385.00	10601.69	USD	4,699.86	
14SEP17	S 2 PD14DEC17	11310.00	10601.69	USD	8,499.72	
14SEP17	B 1 PD14DEC17	11280.00	10601.69	USD	-4,069.86	
14SEP17	S 1 PD14DEC17	11275.00	10601.69	USD	4,039.86	
14SEP17	S 2 PD14DEC17	11280.00	10601.69	USD	8,139.72	
14SEP17	S 1 PD14DEC17	11290.00	10601.69	USD	4,129.86	
14SEP17	S 1 PD14DEC17	11225.00	10601.69	USD	3,739.86	
14SEP17	S 1 PD14DEC17	11240.00	10601.69	USD	3,829.86	
14SEP17	S 4 PD14DEC17	11265.00	10601.69	USD	15,919.44	
14SEP17	B 4 PD14DEC17	11245.00	10601.69	USD	-15,439.44	
14SEP17	B 10 PD14DEC17	11200.00	10601.69	USD	-35,898.60	
14SEP17	B 2 PD14DEC17	11210.00	10601.69	USD	-7,299.72	
14SEP17	B 5 PD14DEC17	11205.00	10601.69	USD	-18,099.30	
14SEP17	B 3 PD14DEC17	11225.00	10601.69	USD	-11,219.58	
14SEP17	B 4 PD14DEC17	11215.00	10601.69	USD	-14,719.44	
14SEP17	B 12 PD14DEC17	11220.00	10601.69	USD	-44,518.32	
14SEP17	S 9 PD14DEC17	11199.00	10601.69	USD	32,254.74	
14SEP17	B 1 PD14DEC17	11205.00	10601.69	USD	-3,619.86	
14SEP17	B 1 PD14DEC17	11195.00	10601.69	USD	-3,559.86	
14SEP17	B 3 PD14DEC17	11215.00	10601.69	USD	-11,039.58	
15SEP17	B 3 PD14DEC17	11090.20	10601.69	USD	-8,793.18	
18SEP17	B 23 PD14DEC17	11232.97	10601.69	USD	-87,116.64	
19SEP17	B 11 PD14DEC17	11137.18	10601.69	USD	-35,342.34	
20SEP17	B 16 PD14DEC17	11376.37	10601.69	USD	-74,369.28	
20SEP17	B 8 PD14DEC17	11375.37	10601.69	USD	-37,136.64	
21SEP17	B 22 PD14DEC17	11000.53	10601.69	USD	-52,646.88	
25SEP17	B 4 PD14DEC17	10566.34	10601.69	USD	848.40	
	NET -14 AVG BGHT 11203.96 SOLD 11317.43				**190,845.30**	**0.00**
15SEP17	B 2 PD15DEC17	11220.00	10602.57	USD	-7,409.16	
15SEP17	B 1 PD15DEC17	11225.00	10602.57	USD	-3,734.58	
15SEP17	B 1 PD15DEC17	11210.00	10602.57	USD	-3,644.58	
15SEP17	S 2 PD15DEC17	11235.00	10602.57	USD	7,589.16	
15SEP17	S 1 PD15DEC17	11230.00	10602.57	USD	3,764.58	
15SEP17	S 1 PD15DEC17	11200.00	10602.57	USD	3,584.58	
15SEP17	S 1 PD15DEC17	11170.00	10602.57	USD	3,404.58	
15SEP17	B 1 PD15DEC17	11145.00	10602.57	USD	-3,254.58	
15SEP17	B 2 PD15DEC17	11155.00	10602.57	USD	-6,629.16	
15SEP17	B 8 PD15DEC17	11170.00	10602.57	USD	-27,236.64	
15SEP17	S 6 PD15DEC17	11165.00	10602.57	USD	20,247.48	
15SEP17	S 3 PD15DEC17	11190.00	10602.57	USD	10,573.74	
15SEP17	B 1 PD15DEC17	11075.00	10602.57	USD	-2,834.58	
15SEP17	S 1 PD15DEC17	11085.00	10602.57	USD	2,894.58	
15SEP17	S 2 PD15DEC17	11105.00	10602.57	USD	6,029.16	
15SEP17	S 6 PD15DEC17	11120.00	10602.57	USD	18,627.48	
15SEP17	S 1 PD15DEC17	11130.00	10602.57	USD	3,164.58	
15SEP17	B 1 PD15DEC17	11090.00	10602.57	USD	-2,924.58	
15SEP17	B 5 PD15DEC17	11070.00	10602.57	USD	-14,022.90	
15SEP17	S 7 PD15DEC17	11115.00	10602.57	USD	21,522.06	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
15SEP17	B 2 PD15DEC17	11130.00	10602.57	USD	-6,329.16	
15SEP17	B 3 PD15DEC17	11140.00	10602.57	USD	-9,673.74	
15SEP17	B 3 PD15DEC17	11160.00	10602.57	USD	-10,033.74	
15SEP17	B 6 PD15DEC17	11135.00	10602.57	USD	-19,167.48	
15SEP17	S 1 PD15DEC17	11015.00	10602.57	USD	2,474.58	
15SEP17	S 1 PD15DEC17	11005.00	10602.57	USD	2,414.58	
15SEP17	B 2 PD15DEC17	10980.00	10602.57	USD	-4,529.16	
15SEP17	S 2 PD15DEC17	10970.00	10602.57	USD	4,409.16	
15SEP17	S 1 PD15DEC17	10945.00	10602.57	USD	2,054.58	
15SEP17	S 1 PD15DEC17	10930.00	10602.57	USD	1,964.58	
15SEP17	S 9 PD15DEC17	10935.00	10602.57	USD	17,951.22	
15SEP17	S 5 PD15DEC17	10940.00	10602.57	USD	10,122.90	
15SEP17	S 2 PD15DEC17	10890.00	10602.57	USD	3,449.16	
15SEP17	S 2 PD15DEC17	10885.00	10602.57	USD	3,389.16	
15SEP17	S 6 PD15DEC17	10880.00	10602.57	USD	9,987.48	
15SEP17	S 3 PD15DEC17	10850.00	10602.57	USD	4,453.74	
15SEP17	B 1 PD15DEC17	10970.00	10602.57	USD	-2,204.58	
15SEP17	S 2 PD15DEC17	10995.00	10602.57	USD	4,709.16	
15SEP17	S 2 PD15DEC17	10985.00	10602.57	USD	4,589.16	
15SEP17	S 1 PD15DEC17	11010.00	10602.57	USD	2,444.58	
15SEP17	S 1 PD15DEC17	11025.00	10602.57	USD	2,534.58	
15SEP17	S 1 PD15DEC17	11030.00	10602.57	USD	2,564.58	
15SEP17	S 6 PD15DEC17	11035.00	10602.57	USD	15,567.48	
15SEP17	S 2 PD15DEC17	11040.00	10602.57	USD	5,249.16	
15SEP17	S 9 PD15DEC17	11050.00	10602.57	USD	24,161.22	
15SEP17	S 3 PD15DEC17	11045.00	10602.57	USD	7,963.74	
15SEP17	B 1 PD15DEC17	11050.00	10602.57	USD	-2,684.58	
15SEP17	B 2 PD15DEC17	11085.00	10602.57	USD	-5,789.16	
15SEP17	B 2 PD15DEC17	11080.00	10602.57	USD	-5,729.16	
15SEP17	S 1 PD15DEC17	11080.00	10602.57	USD	2,864.58	
15SEP17	S 7 PD15DEC17	11090.00	10602.57	USD	20,472.06	
15SEP17	S 4 PD15DEC17	11095.00	10602.57	USD	11,818.32	
15SEP17	B 8 PD15DEC17	11125.00	10602.57	USD	-25,076.64	
15SEP17	B 6 PD15DEC17	11120.00	10602.57	USD	-18,627.48	
15SEP17	S 2 PD15DEC17	11150.00	10602.57	USD	6,569.16	
15SEP17	B 2 PD15DEC17	11110.00	10602.57	USD	-6,089.16	
15SEP17	B 4 PD15DEC17	11100.00	10602.57	USD	-11,938.32	
15SEP17	B 10 PD15DEC17	11105.00	10602.57	USD	-30,145.80	
15SEP17	B 1 PD15DEC17	11110.00	10602.57	USD	-3,044.58	
15SEP17	S 2 PD15DEC17	10995.00	10602.57	USD	4,709.16	
15SEP17	S 6 PD15DEC17	11000.00	10602.57	USD	14,307.48	
19SEP17	B 8 PD15DEC17	11137.94	10602.57	USD	-25,697.76	
20SEP17	B 2 PD15DEC17	11376.14	10602.57	USD	-9,282.84	
20SEP17	B 14 PD15DEC17	11377.14	10602.57	USD	-65,063.88	
21SEP17	B 8 PD15DEC17	11001.36	10602.57	USD	-19,141.92	
21SEP17	B 2 PD15DEC17	11000.36	10602.57	USD	-4,773.48	
25SEP17	B 2 PD15DEC17	10567.29	10602.57	USD	423.36	
	NET -2 AVG BGHT 11137.54 SOLD 11037.08				**-61,692.48**	**0.00**
18SEP17	B 2 PD18DEC17	11155.00	10605.23	USD	-6,597.24	
18SEP17	S 1 PD18DEC17	11140.00	10605.23	USD	3,208.62	
18SEP17	B 2 PD18DEC17	11220.00	10605.23	USD	-7,377.24	
18SEP17	B 1 PD18DEC17	11225.00	10605.23	USD	-3,718.62	
18SEP17	B 5 PD18DEC17	11115.00	10605.23	USD	-15,293.10	
18SEP17	B 5 PD18DEC17	11195.00	10605.23	USD	-17,693.10	
18SEP17	B 5 PD18DEC17	11110.00	10605.23	USD	-15,143.10	
18SEP17	B 1 PD18DEC17	11100.00	10605.23	USD	-2,968.62	
18SEP17	S 1 PD18DEC17	11185.00	10605.23	USD	3,478.62	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
18SEP17	B 1 PD18DEC17	11185.00	10605.23	USD	-3,478.62	
18SEP17	S 5 PD18DEC17	11215.00	10605.23	USD	18,293.10	
18SEP17	S 1 PD18DEC17	11195.00	10605.23	USD	3,538.62	
18SEP17	B 1 PD18DEC17	11190.00	10605.23	USD	-3,508.62	
18SEP17	B 1 PD18DEC17	11185.00	10605.23	USD	-3,478.62	
18SEP17	S 2 PD18DEC17	11200.00	10605.23	USD	7,137.24	
18SEP17	S 3 PD18DEC17	11230.00	10605.23	USD	11,245.86	
18SEP17	S 1 PD18DEC17	11255.00	10605.23	USD	3,898.62	
18SEP17	S 1 PD18DEC17	11250.00	10605.23	USD	3,868.62	
18SEP17	B 1 PD18DEC17	11245.00	10605.23	USD	-3,838.62	
18SEP17	B 1 PD18DEC17	11170.00	10605.23	USD	-3,388.62	
18SEP17	B 1 PD18DEC17	11175.00	10605.23	USD	-3,418.62	
18SEP17	B 1 PD18DEC17	11150.00	10605.23	USD	-3,268.62	
18SEP17	B 2 PD18DEC17	11205.00	10605.23	USD	-7,197.24	
18SEP17	B 2 PD18DEC17	11190.00	10605.23	USD	-7,017.24	
18SEP17	S 1 PD18DEC17	11210.00	10605.23	USD	3,628.62	
18SEP17	S 2 PD18DEC17	11220.00	10605.23	USD	7,377.24	
18SEP17	S 3 PD18DEC17	11225.00	10605.23	USD	11,155.86	
18SEP17	S 1 PD18DEC17	11205.00	10605.23	USD	3,598.62	
18SEP17	S 2 PD18DEC17	11190.00	10605.23	USD	7,017.24	
18SEP17	S 3 PD18DEC17	11185.00	10605.23	USD	10,435.86	
18SEP17	S 7 PD18DEC17	11175.00	10605.23	USD	23,930.34	
18SEP17	S 11 PD18DEC17	11180.00	10605.23	USD	37,934.82	
18SEP17	S 2 PD18DEC17	11160.00	10605.23	USD	6,657.24	
20SEP17	B 9 PD18DEC17	11379.46	10605.23	USD	-41,808.42	
20SEP17	B 2 PD18DEC17	11378.46	10605.23	USD	-9,278.76	
21SEP17	B 5 PD18DEC17	11002.84	10605.23	USD	-11,928.30	
21SEP17	S 2 PD18DEC17	11001.84	10605.23	USD	4,759.32	
25SEP17	B 1 PD18DEC17	10570.11	10605.23	USD	210.72	
	NET 0 AVG BGHT 11184.11 SOLD 11187.42				**973.86**	**0.00**
19SEP17	B 1 PD19DEC17	11225.00	10606.11	USD	-3,713.34	
19SEP17	B 1 PD19DEC17	11215.00	10606.11	USD	-3,653.34	
19SEP17	B 18 PD19DEC17	11140.00	10606.11	USD	-57,660.12	
19SEP17	B 6 PD19DEC17	11135.00	10606.11	USD	-19,040.04	
19SEP17	B 3 PD19DEC17	11110.00	10606.11	USD	-9,070.02	
19SEP17	B 3 PD19DEC17	11115.00	10606.11	USD	-9,160.02	
19SEP17	B 3 PD19DEC17	11080.00	10606.11	USD	-8,530.02	
19SEP17	B 5 PD19DEC17	11100.00	10606.11	USD	-14,816.70	
19SEP17	B 10 PD19DEC17	11095.00	10606.11	USD	-29,333.40	
19SEP17	B 1 PD19DEC17	11090.00	10606.11	USD	-2,903.34	
19SEP17	B 2 PD19DEC17	11060.00	10606.11	USD	-5,446.68	
19SEP17	B 3 PD19DEC17	11050.00	10606.11	USD	-7,990.02	
19SEP17	B 3 PD19DEC17	11040.00	10606.11	USD	-7,810.02	
19SEP17	B 7 PD19DEC17	11035.00	10606.11	USD	-18,013.38	
19SEP17	B 2 PD19DEC17	11030.00	10606.11	USD	-5,086.68	
19SEP17	B 2 PD19DEC17	11045.00	10606.11	USD	-5,266.68	
19SEP17	B 1 PD19DEC17	10995.00	10606.11	USD	-2,333.34	
19SEP17	B 1 PD19DEC17	10965.00	10606.11	USD	-2,153.34	
19SEP17	S 2 PD19DEC17	11020.00	10606.11	USD	4,966.68	
19SEP17	S 1 PD19DEC17	11040.00	10606.11	USD	2,603.34	
19SEP17	S 2 PD19DEC17	11015.00	10606.11	USD	4,906.68	
19SEP17	S 3 PD19DEC17	11000.00	10606.11	USD	7,090.02	
19SEP17	S 4 PD19DEC17	11010.00	10606.11	USD	9,693.36	
19SEP17	S 1 PD19DEC17	11015.00	10606.11	USD	2,453.34	
19SEP17	S 1 PD19DEC17	11005.00	10606.11	USD	2,393.34	
19SEP17	B 2 PD19DEC17	11145.00	10606.11	USD	-6,466.68	
19SEP17	B 1 PD19DEC17	11115.00	10606.11	USD	-3,053.34	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
19SEP17	B 12 PD19DEC17	11110.00	10606.11	USD	-36,280.08	
19SEP17	B 1 PD19DEC17	11100.00	10606.11	USD	-2,963.34	
19SEP17	S 1 PD19DEC17	10985.00	10606.11	USD	2,273.34	
19SEP17	B 1 PD19DEC17	10970.00	10606.11	USD	-2,183.34	
19SEP17	S 1 PD19DEC17	10985.00	10606.11	USD	2,273.34	
19SEP17	S 4 PD19DEC17	10995.00	10606.11	USD	9,333.36	
19SEP17	B 2 PD19DEC17	10900.00	10606.11	USD	-3,526.68	
19SEP17	B 1 PD19DEC17	10935.00	10606.11	USD	-1,973.34	
19SEP17	B 4 PD19DEC17	10930.00	10606.11	USD	-7,773.36	
19SEP17	B 4 PD19DEC17	10990.00	10606.11	USD	-9,213.36	
19SEP17	B 4 PD19DEC17	10985.00	10606.11	USD	-9,093.36	
19SEP17	B 4 PD19DEC17	10980.00	10606.11	USD	-8,973.36	
19SEP17	B 2 PD19DEC17	11020.00	10606.11	USD	-4,966.68	
19SEP17	B 8 PD19DEC17	11005.00	10606.11	USD	-19,146.72	
19SEP17	S 1 PD19DEC17	11025.00	10606.11	USD	2,513.34	
19SEP17	S 1 PD19DEC17	11055.00	10606.11	USD	2,693.34	
19SEP17	S 1 PD19DEC17	11065.00	10606.11	USD	2,753.34	
19SEP17	S 1 PD19DEC17	11070.00	10606.11	USD	2,783.34	
19SEP17	B 1 PD19DEC17	11025.00	10606.11	USD	-2,513.34	
19SEP17	S 6 PD19DEC17	11005.00	10606.11	USD	14,360.04	
19SEP17	B 2 PD19DEC17	11105.00	10606.11	USD	-5,986.68	
19SEP17	S 2 PD19DEC17	11140.00	10606.11	USD	6,406.68	
19SEP17	S 1 PD19DEC17	11185.00	10606.11	USD	3,473.34	
19SEP17	S 1 PD19DEC17	11190.00	10606.11	USD	3,503.34	
19SEP17	S 1 PD19DEC17	11215.00	10606.11	USD	3,653.34	
19SEP17	S 3 PD19DEC17	11205.00	10606.11	USD	10,780.02	
19SEP17	S 11 PD19DEC17	11210.00	10606.11	USD	39,856.74	
19SEP17	S 1 PD19DEC17	11040.00	10606.11	USD	2,603.34	
19SEP17	B 2 PD19DEC17	11150.00	10606.11	USD	-6,526.68	
19SEP17	B 5 PD19DEC17	11145.00	10606.11	USD	-16,166.70	
19SEP17	B 1 PD19DEC17	11160.00	10606.11	USD	-3,323.34	
19SEP17	S 1 PD19DEC17	11150.00	10606.11	USD	3,263.34	
19SEP17	S 3 PD19DEC17	11210.00	10606.11	USD	10,870.02	
19SEP17	B 1 PD19DEC17	11150.00	10606.11	USD	-3,263.34	
19SEP17	B 1 PD19DEC17	11170.00	10606.11	USD	-3,383.34	
19SEP17	B 4 PD19DEC17	11155.00	10606.11	USD	-13,173.36	
20SEP17	S 12 PD19DEC17	11378.23	10606.11	USD	55,592.64	
21SEP17	S 5 PD19DEC17	11002.67	10606.11	USD	11,896.80	
21SEP17	S 12 PD19DEC17	11003.67	10606.11	USD	28,624.32	
25SEP17	S 3 PD19DEC17	10570.06	10606.11	USD	-648.90	
03OCT17	S 10 PD19DEC17	10606.11	10606.11	USD	0.00	
	NET +39 AVG BGHT 11077.63 SOLD 11045.29				**-128,965.68**	**0.00**
20SEP17	S 1 PD20DEC17	11235.00	10607.00	USD	3,768.00	
20SEP17	S 1 PD20DEC17	11215.00	10607.00	USD	3,648.00	
20SEP17	S 1 PD20DEC17	11205.00	10607.00	USD	3,588.00	
20SEP17	B 3 PD20DEC17	11280.00	10607.00	USD	-12,114.00	
20SEP17	B 2 PD20DEC17	11295.00	10607.00	USD	-8,256.00	
20SEP17	B 4 PD20DEC17	11290.00	10607.00	USD	-16,392.00	
20SEP17	B 1 PD20DEC17	11285.00	10607.00	USD	-4,068.00	
20SEP17	B 1 PD20DEC17	11380.00	10607.00	USD	-4,638.00	
20SEP17	B 2 PD20DEC17	11385.00	10607.00	USD	-9,336.00	
20SEP17	B 1 PD20DEC17	11390.00	10607.00	USD	-4,698.00	
20SEP17	S 1 PD20DEC17	11405.00	10607.00	USD	4,788.00	
20SEP17	S 1 PD20DEC17	11425.00	10607.00	USD	4,908.00	
20SEP17	S 1 PD20DEC17	11450.00	10607.00	USD	5,058.00	
20SEP17	S 1 PD20DEC17	11480.00	10607.00	USD	5,238.00	
20SEP17	S 1 PD20DEC17	11380.00	10607.00	USD	4,638.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
20SEP17	B	1	PD20DEC17	11380.00	10607.00	USD	-4,638.00	
20SEP17	S	1	PD20DEC17	11445.00	10607.00	USD	5,028.00	
20SEP17	B	1	PD20DEC17	11435.00	10607.00	USD	-4,968.00	
20SEP17	S	1	PD20DEC17	11435.00	10607.00	USD	4,968.00	
20SEP17	S	1	PD20DEC17	11430.00	10607.00	USD	4,938.00	
20SEP17	S	2	PD20DEC17	11410.00	10607.00	USD	9,636.00	
20SEP17	S	1	PD20DEC17	11400.00	10607.00	USD	4,758.00	
20SEP17	S	1	PD20DEC17	11390.00	10607.00	USD	4,698.00	
20SEP17	S	2	PD20DEC17	11385.00	10607.00	USD	9,336.00	
20SEP17	B	1	PD20DEC17	11375.00	10607.00	USD	-4,608.00	
20SEP17	B	2	PD20DEC17	11365.00	10607.00	USD	-9,096.00	
20SEP17	B	4	PD20DEC17	11360.00	10607.00	USD	-18,072.00	
20SEP17	B	5	PD20DEC17	11355.00	10607.00	USD	-22,440.00	
20SEP17	S	3	PD20DEC17	11395.00	10607.00	USD	14,184.00	
20SEP17	S	8	PD20DEC17	11375.00	10607.00	USD	36,864.00	
20SEP17	B	2	PD20DEC17	11265.00	10607.00	USD	-7,896.00	
20SEP17	S	1	PD20DEC17	11310.00	10607.00	USD	4,218.00	
20SEP17	S	1	PD20DEC17	11385.00	10607.00	USD	4,668.00	
20SEP17	S	1	PD20DEC17	11365.00	10607.00	USD	4,548.00	
20SEP17	S	2	PD20DEC17	11325.00	10607.00	USD	8,616.00	
20SEP17	S	14	PD20DEC17	11330.00	10607.00	USD	60,732.00	
20SEP17	S	3	PD20DEC17	11335.00	10607.00	USD	13,104.00	
20SEP17	S	3	PD20DEC17	11340.00	10607.00	USD	13,194.00	
20SEP17	S	4	PD20DEC17	11345.00	10607.00	USD	17,712.00	
20SEP17	S	5	PD20DEC17	11350.00	10607.00	USD	22,290.00	
20SEP17	S	13	PD20DEC17	11355.00	10607.00	USD	58,344.00	
20SEP17	S	13	PD20DEC17	11360.00	10607.00	USD	58,734.00	
20SEP17	S	8	PD20DEC17	11380.00	10607.00	USD	37,104.00	
20SEP17	S	14	PD20DEC17	11365.00	10607.00	USD	63,672.00	
20SEP17	S	7	PD20DEC17	11370.00	10607.00	USD	32,046.00	
20SEP17	S	2	PD20DEC17	11325.00	10607.00	USD	8,616.00	
20SEP17	S	1	PD20DEC17	11340.00	10607.00	USD	4,398.00	
21SEP17	B	12	PD20DEC17	11005.50	10607.00	USD	-28,692.00	
25SEP17	B	3	PD20DEC17	10572.00	10607.00	USD	630.00	
25SEP17	B	1	PD20DEC17	10571.00	10607.00	USD	216.00	
26SEP17	B	5	PD20DEC17	10471.00	10607.00	USD	4,080.00	
03OCT17	B	10	PD20DEC17	10608.00	10607.00	USD	-60.00	
03OCT17	B	6	PD20DEC17	10607.00	10607.00	USD	0.00	
	NET	**-53**	**AVG BGHT 10992.69 SOLD 11359.83**				**386,994.00**	**0.00**
21SEP17	S	1	PD21DEC17	11285.00	10608.00	USD	4,062.00	
21SEP17	S	1	PD21DEC17	11280.00	10608.00	USD	4,032.00	
21SEP17	S	1	PD21DEC17	11260.00	10608.00	USD	3,912.00	
21SEP17	S	2	PD21DEC17	11200.00	10608.00	USD	7,104.00	
21SEP17	B	6	PD21DEC17	11200.00	10608.00	USD	-21,312.00	
21SEP17	B	10	PD21DEC17	11210.00	10608.00	USD	-36,120.00	
21SEP17	B	1	PD21DEC17	11215.00	10608.00	USD	-3,642.00	
21SEP17	S	1	PD21DEC17	11155.00	10608.00	USD	3,282.00	
21SEP17	S	4	PD21DEC17	11165.00	10608.00	USD	13,368.00	
21SEP17	S	4	PD21DEC17	11110.00	10608.00	USD	12,048.00	
21SEP17	B	1	PD21DEC17	11160.00	10608.00	USD	-3,312.00	
21SEP17	B	1	PD21DEC17	11150.00	10608.00	USD	-3,252.00	
21SEP17	B	9	PD21DEC17	11085.00	10608.00	USD	-25,758.00	
21SEP17	B	8	PD21DEC17	11080.00	10608.00	USD	-22,656.00	
21SEP17	B	1	PD21DEC17	11055.00	10608.00	USD	-2,682.00	
21SEP17	B	2	PD21DEC17	11030.00	10608.00	USD	-5,064.00	
21SEP17	B	5	PD21DEC17	11040.00	10608.00	USD	-12,960.00	
21SEP17	S	1	PD21DEC17	11090.00	10608.00	USD	2,892.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
21SEP17	B 1 PD21DEC17	11160.00	10608.00	USD	-3,312.00	
21SEP17	B 2 PD21DEC17	10935.00	10608.00	USD	-3,924.00	
21SEP17	B 4 PD21DEC17	10940.00	10608.00	USD	-7,968.00	
21SEP17	B 1 PD21DEC17	10930.00	10608.00	USD	-1,932.00	
21SEP17	B 4 PD21DEC17	10950.00	10608.00	USD	-8,208.00	
21SEP17	B 3 PD21DEC17	10960.00	10608.00	USD	-6,336.00	
21SEP17	B 4 PD21DEC17	10965.00	10608.00	USD	-8,568.00	
21SEP17	B 1 PD21DEC17	10970.00	10608.00	USD	-2,172.00	
21SEP17	S 1 PD21DEC17	10970.00	10608.00	USD	2,172.00	
21SEP17	B 5 PD21DEC17	10975.00	10608.00	USD	-11,010.00	
21SEP17	S 3 PD21DEC17	10995.00	10608.00	USD	6,966.00	
21SEP17	S 4 PD21DEC17	11005.00	10608.00	USD	9,528.00	
21SEP17	S 8 PD21DEC17	11000.00	10608.00	USD	18,816.00	
21SEP17	B 10 PD21DEC17	11005.00	10608.00	USD	-23,820.00	
21SEP17	S 3 PD21DEC17	10990.00	10608.00	USD	6,876.00	
21SEP17	B 2 PD21DEC17	10990.00	10608.00	USD	-4,584.00	
21SEP17	B 7 PD21DEC17	10995.00	10608.00	USD	-16,254.00	
21SEP17	B 8 PD21DEC17	11000.00	10608.00	USD	-18,816.00	
21SEP17	B 3 PD21DEC17	10945.00	10608.00	USD	-6,066.00	
21SEP17	B 7 PD21DEC17	10980.00	10608.00	USD	-15,624.00	
21SEP17	B 8 PD21DEC17	11070.00	10608.00	USD	-22,176.00	
21SEP17	B 1 PD21DEC17	11120.00	10608.00	USD	-3,072.00	
21SEP17	S 1 PD21DEC17	11095.00	10608.00	USD	2,922.00	
21SEP17	B 4 PD21DEC17	11095.00	10608.00	USD	-11,688.00	
21SEP17	B 1 PD21DEC17	10945.00	10608.00	USD	-2,022.00	
21SEP17	B 2 PD21DEC17	10960.00	10608.00	USD	-4,224.00	
21SEP17	B 1 PD21DEC17	10980.00	10608.00	USD	-2,232.00	
21SEP17	S 2 PD21DEC17	11005.00	10608.00	USD	4,764.00	
21SEP17	S 5 PD21DEC17	11010.00	10608.00	USD	12,060.00	
21SEP17	S 1 PD21DEC17	11000.00	10608.00	USD	2,352.00	
21SEP17	B 2 PD21DEC17	11000.00	10608.00	USD	-4,704.00	
21SEP17	B 3 PD21DEC17	11105.00	10608.00	USD	-8,946.00	
21SEP17	B 5 PD21DEC17	11090.00	10608.00	USD	-14,460.00	
21SEP17	B 6 PD21DEC17	11060.00	10608.00	USD	-16,272.00	
21SEP17	B 2 PD21DEC17	11075.00	10608.00	USD	-5,604.00	
21SEP17	B 2 PD21DEC17	11010.00	10608.00	USD	-4,824.00	
21SEP17	B 1 PD21DEC17	10985.00	10608.00	USD	-2,262.00	
21SEP17	B 1 PD21DEC17	10910.00	10608.00	USD	-1,812.00	
21SEP17	S 2 PD21DEC17	10880.00	10608.00	USD	3,264.00	
21SEP17	S 2 PD21DEC17	10890.00	10608.00	USD	3,384.00	
21SEP17	B 1 PD21DEC17	10835.00	10608.00	USD	-1,362.00	
21SEP17	S 2 PD21DEC17	10830.00	10608.00	USD	2,664.00	
21SEP17	S 1 PD21DEC17	10810.00	10608.00	USD	1,212.00	
21SEP17	S 1 PD21DEC17	10745.00	10608.00	USD	822.00	
21SEP17	B 1 PD21DEC17	10735.00	10608.00	USD	-762.00	
21SEP17	S 2 PD21DEC17	10720.00	10608.00	USD	1,344.00	
21SEP17	B 1 PD21DEC17	10725.00	10608.00	USD	-702.00	
21SEP17	S 2 PD21DEC17	10750.00	10608.00	USD	1,704.00	
21SEP17	B 1 PD21DEC17	10685.00	10608.00	USD	-462.00	
21SEP17	B 1 PD21DEC17	10715.00	10608.00	USD	-642.00	
21SEP17	B 1 PD21DEC17	10635.00	10608.00	USD	-162.00	
21SEP17	S 2 PD21DEC17	10650.00	10608.00	USD	504.00	
21SEP17	S 1 PD21DEC17	10630.00	10608.00	USD	132.00	
21SEP17	S 1 PD21DEC17	10695.00	10608.00	USD	522.00	
21SEP17	B 2 PD21DEC17	10650.00	10608.00	USD	-504.00	
21SEP17	S 1 PD21DEC17	10740.00	10608.00	USD	792.00	
21SEP17	B 6 PD21DEC17	11110.00	10608.00	USD	-18,072.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
21SEP17	S 6 PD21DEC17	10830.00	10608.00	USD	7,992.00	
21SEP17	S 2 PD21DEC17	10725.00	10608.00	USD	1,404.00	
21SEP17	S 2 PD21DEC17	10815.00	10608.00	USD	2,484.00	
21SEP17	S 2 PD21DEC17	10750.00	10608.00	USD	1,704.00	
21SEP17	S 1 PD21DEC17	10630.00	10608.00	USD	132.00	
25SEP17	S 1 PD21DEC17	10570.75	10608.00	USD	-223.50	
26SEP17	S 5 PD21DEC17	10470.75	10608.00	USD	-4,117.50	
26SEP17	S 15 PD21DEC17	10471.75	10608.00	USD	-12,262.50	
28SEP17	S 2 PD21DEC17	10431.00	10608.00	USD	-2,124.00	
03OCT17	S 6 PD21DEC17	10607.00	10608.00	USD	-36.00	
03OCT17	S 5 PD21DEC17	10608.00	10608.00	USD	0.00	
	NET +52 AVG BGHT 11029.72 SOLD 10808.08				**-273,865.50**	**0.00**
22SEP17	S 3 PD22DEC17	10745.00	10609.00	USD	2,448.00	
22SEP17	B 2 PD22DEC17	10745.00	10609.00	USD	-1,632.00	
22SEP17	B 1 PD22DEC17	10730.00	10609.00	USD	-726.00	
22SEP17	B 1 PD22DEC17	10725.00	10609.00	USD	-696.00	
22SEP17	B 3 PD22DEC17	10735.00	10609.00	USD	-2,268.00	
22SEP17	S 2 PD22DEC17	10670.00	10609.00	USD	732.00	
22SEP17	B 1 PD22DEC17	10765.00	10609.00	USD	-936.00	
22SEP17	B 1 PD22DEC17	10760.00	10609.00	USD	-906.00	
22SEP17	B 5 PD22DEC17	10790.00	10609.00	USD	-5,430.00	
22SEP17	B 1 PD22DEC17	10775.00	10609.00	USD	-996.00	
22SEP17	S 1 PD22DEC17	10785.00	10609.00	USD	1,056.00	
22SEP17	B 4 PD22DEC17	10770.00	10609.00	USD	-3,864.00	
22SEP17	S 4 PD22DEC17	10695.00	10609.00	USD	2,064.00	
22SEP17	S 2 PD22DEC17	10675.00	10609.00	USD	792.00	
22SEP17	B 2 PD22DEC17	10660.00	10609.00	USD	-612.00	
22SEP17	B 2 PD22DEC17	10655.00	10609.00	USD	-552.00	
22SEP17	B 1 PD22DEC17	10650.00	10609.00	USD	-246.00	
22SEP17	B 2 PD22DEC17	10610.00	10609.00	USD	-12.00	
22SEP17	B 1 PD22DEC17	10605.00	10609.00	USD	24.00	
22SEP17	B 2 PD22DEC17	10590.00	10609.00	USD	228.00	
22SEP17	B 1 PD22DEC17	10595.00	10609.00	USD	84.00	
22SEP17	S 1 PD22DEC17	10635.00	10609.00	USD	156.00	
22SEP17	B 3 PD22DEC17	10630.00	10609.00	USD	-378.00	
22SEP17	B 3 PD22DEC17	10635.00	10609.00	USD	-468.00	
22SEP17	S 7 PD22DEC17	10585.00	10609.00	USD	-1,008.00	
22SEP17	S 3 PD22DEC17	10550.00	10609.00	USD	-1,062.00	
22SEP17	S 17 PD22DEC17	10535.00	10609.00	USD	-7,548.00	
22SEP17	B 1 PD22DEC17	10510.00	10609.00	USD	594.00	
22SEP17	S 1 PD22DEC17	10765.00	10609.00	USD	936.00	
22SEP17	B 1 PD22DEC17	10570.00	10609.00	USD	234.00	
22SEP17	S 1 PD22DEC17	10590.00	10609.00	USD	-114.00	
22SEP17	S 1 PD22DEC17	10565.00	10609.00	USD	-264.00	
22SEP17	S 1 PD22DEC17	10570.00	10609.00	USD	-234.00	
22SEP17	B 3 PD22DEC17	10580.00	10609.00	USD	522.00	
22SEP17	B 1 PD22DEC17	10460.00	10609.00	USD	894.00	
22SEP17	B 2 PD22DEC17	10485.00	10609.00	USD	1,488.00	
22SEP17	B 6 PD22DEC17	10475.00	10609.00	USD	4,824.00	
22SEP17	B 1 PD22DEC17	10480.00	10609.00	USD	774.00	
22SEP17	B 1 PD22DEC17	10455.00	10609.00	USD	924.00	
22SEP17	B 1 PD22DEC17	10440.00	10609.00	USD	1,014.00	
22SEP17	B 3 PD22DEC17	10435.00	10609.00	USD	3,132.00	
22SEP17	B 2 PD22DEC17	10445.00	10609.00	USD	1,968.00	
22SEP17	B 1 PD22DEC17	10490.00	10609.00	USD	714.00	
22SEP17	S 1 PD22DEC17	10510.00	10609.00	USD	-594.00	
22SEP17	S 4 PD22DEC17	10540.00	10609.00	USD	-1,656.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
22SEP17	S 1 PD22DEC17	10525.00	10609.00	USD	-504.00	
22SEP17	S 1 PD22DEC17	10530.00	10609.00	USD	-474.00	
22SEP17	B 1 PD22DEC17	10555.00	10609.00	USD	324.00	
22SEP17	S 1 PD22DEC17	10595.00	10609.00	USD	-84.00	
22SEP17	S 1 PD22DEC17	10605.00	10609.00	USD	-24.00	
22SEP17	B 1 PD22DEC17	10625.00	10609.00	USD	-96.00	
22SEP17	S 1 PD22DEC17	10530.00	10609.00	USD	-474.00	
26SEP17	S 6 PD22DEC17	10472.50	10609.00	USD	-4,914.00	
28SEP17	S 6 PD22DEC17	10432.00	10609.00	USD	-6,372.00	
03OCT17	S 3 PD22DEC17	10609.00	10609.00	USD	0.00	
	NET -8 AVG BGHT 10614.67 SOLD 10567.59				**-19,218.00**	**0.00**
25SEP17	B 1 PD27DEC17	10635.00	10613.58	USD	-128.52	
25SEP17	S 2 PD27DEC17	10515.00	10613.58	USD	-1,182.96	
25SEP17	B 1 PD27DEC17	10625.00	10613.58	USD	-68.52	
25SEP17	B 2 PD27DEC17	10490.00	10613.58	USD	1,482.96	
25SEP17	S 2 PD27DEC17	10620.00	10613.58	USD	77.04	
25SEP17	S 3 PD27DEC17	10610.00	10613.58	USD	-64.44	
25SEP17	B 2 PD27DEC17	10540.00	10613.58	USD	882.96	
25SEP17	S 1 PD27DEC17	10615.00	10613.58	USD	8.52	
25SEP17	S 4 PD27DEC17	10525.00	10613.58	USD	-2,125.92	
25SEP17	S 2 PD27DEC17	10510.00	10613.58	USD	-1,242.96	
25SEP17	B 1 PD27DEC17	10520.00	10613.58	USD	561.48	
25SEP17	B 1 PD27DEC17	10495.00	10613.58	USD	711.48	
25SEP17	B 5 PD27DEC17	10525.00	10613.58	USD	2,657.40	
25SEP17	B 4 PD27DEC17	10500.00	10613.58	USD	2,725.92	
25SEP17	S 2 PD27DEC17	10505.00	10613.58	USD	-1,302.96	
25SEP17	S 1 PD27DEC17	10550.00	10613.58	USD	-381.48	
25SEP17	S 3 PD27DEC17	10560.00	10613.58	USD	-964.44	
25SEP17	S 2 PD27DEC17	10575.00	10613.58	USD	-462.96	
25SEP17	S 1 PD27DEC17	10575.00	10613.58	USD	-231.48	
25SEP17	S 1 PD27DEC17	10640.00	10613.58	USD	158.52	
26SEP17	S 1 PD27DEC17	10665.00	10613.58	USD	308.52	
26SEP17	B 1 PD27DEC17	10660.00	10613.58	USD	-278.52	
26SEP17	S 2 PD27DEC17	10660.00	10613.58	USD	557.04	
26SEP17	S 1 PD27DEC17	10620.00	10613.58	USD	38.52	
26SEP17	S 1 PD27DEC17	10640.00	10613.58	USD	158.52	
26SEP17	B 1 PD27DEC17	10640.00	10613.58	USD	-158.52	
26SEP17	S 1 PD27DEC17	10575.00	10613.58	USD	-231.48	
26SEP17	B 1 PD27DEC17	10570.00	10613.58	USD	261.48	
26SEP17	B 1 PD27DEC17	10660.00	10613.58	USD	-278.52	
26SEP17	B 1 PD27DEC17	10480.00	10613.58	USD	801.48	
26SEP17	B 1 PD27DEC17	10485.00	10613.58	USD	771.48	
26SEP17	B 3 PD27DEC17	10475.00	10613.58	USD	2,494.44	
26SEP17	B 5 PD27DEC17	10465.00	10613.58	USD	4,457.40	
26SEP17	B 1 PD27DEC17	10440.00	10613.58	USD	1,041.48	
26SEP17	B 2 PD27DEC17	10420.00	10613.58	USD	2,322.96	
26SEP17	B 2 PD27DEC17	10410.00	10613.58	USD	2,442.96	
26SEP17	B 1 PD27DEC17	10415.00	10613.58	USD	1,191.48	
26SEP17	B 2 PD27DEC17	10455.00	10613.58	USD	1,902.96	
26SEP17	B 5 PD27DEC17	10445.00	10613.58	USD	5,057.40	
26SEP17	B 2 PD27DEC17	10550.00	10613.58	USD	762.96	
26SEP17	B 1 PD27DEC17	10510.00	10613.58	USD	621.48	
26SEP17	B 1 PD27DEC17	10505.00	10613.58	USD	651.48	
26SEP17	B 4 PD27DEC17	10500.00	10613.58	USD	2,725.92	
26SEP17	S 2 PD27DEC17	10500.00	10613.58	USD	-1,362.96	
26SEP17	S 1 PD27DEC17	10485.00	10613.58	USD	-771.48	
26SEP17	S 4 PD27DEC17	10490.00	10613.58	USD	-2,965.92	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
26SEP17	S 3 PD27DEC17	10495.00	10613.58	USD	-2,134.44	
26SEP17	B 21 PD27DEC17	10481.00	10613.58	USD	16,705.08	
27SEP17	S 1 PD27DEC17	10590.00	10613.58	USD	-141.48	
27SEP17	S 3 PD27DEC17	10600.00	10613.58	USD	-244.44	
27SEP17	S 3 PD27DEC17	10595.00	10613.58	USD	-334.44	
27SEP17	S 2 PD27DEC17	10545.00	10613.58	USD	-822.96	
27SEP17	S 3 PD27DEC17	10570.00	10613.58	USD	-784.44	
27SEP17	S 1 PD27DEC17	10580.00	10613.58	USD	-201.48	
27SEP17	S 6 PD27DEC17	10565.00	10613.58	USD	-1,748.88	
27SEP17	S 4 PD27DEC17	10555.00	10613.58	USD	-1,405.92	
27SEP17	S 4 PD27DEC17	10560.00	10613.58	USD	-1,285.92	
27SEP17	B 5 PD27DEC17	10555.00	10613.58	USD	1,757.40	
27SEP17	B 6 PD27DEC17	10550.00	10613.58	USD	2,288.88	
27SEP17	B 2 PD27DEC17	10545.00	10613.58	USD	822.96	
27SEP17	S 7 PD27DEC17	10550.00	10613.58	USD	-2,670.36	
27SEP17	B 2 PD27DEC17	10500.00	10613.58	USD	1,362.96	
27SEP17	B 1 PD27DEC17	10480.00	10613.58	USD	801.48	
27SEP17	B 2 PD27DEC17	10460.00	10613.58	USD	1,842.96	
27SEP17	S 1 PD27DEC17	10415.00	10613.58	USD	-1,191.48	
27SEP17	S 1 PD27DEC17	10390.00	10613.58	USD	-1,341.48	
27SEP17	S 1 PD27DEC17	10365.00	10613.58	USD	-1,491.48	
27SEP17	S 1 PD27DEC17	10355.00	10613.58	USD	-1,551.48	
27SEP17	S 1 PD27DEC17	10345.00	10613.58	USD	-1,611.48	
27SEP17	B 1 PD27DEC17	10335.00	10613.58	USD	1,671.48	
27SEP17	B 2 PD27DEC17	10355.00	10613.58	USD	3,102.96	
27SEP17	B 1 PD27DEC17	10380.00	10613.58	USD	1,401.48	
27SEP17	B 2 PD27DEC17	10385.00	10613.58	USD	2,742.96	
27SEP17	B 5 PD27DEC17	10375.00	10613.58	USD	7,157.40	
27SEP17	S 2 PD27DEC17	10375.00	10613.58	USD	-2,862.96	
27SEP17	S 2 PD27DEC17	10350.00	10613.58	USD	-3,162.96	
27SEP17	S 3 PD27DEC17	10560.00	10613.58	USD	-964.44	
27SEP17	S 2 PD27DEC17	10300.00	10613.58	USD	-3,762.96	
27SEP17	B 1 PD27DEC17	10305.00	10613.58	USD	1,851.48	
27SEP17	B 2 PD27DEC17	10295.00	10613.58	USD	3,822.96	
27SEP17	S 4 PD27DEC17	10265.00	10613.58	USD	-8,365.92	
27SEP17	B 1 PD27DEC17	10215.00	10613.58	USD	2,391.48	
27SEP17	B 5 PD27DEC17	10230.00	10613.58	USD	11,507.40	
27SEP17	S 1 PD27DEC17	10245.00	10613.58	USD	-2,211.48	
27SEP17	S 1 PD27DEC17	10250.00	10613.58	USD	-2,181.48	
27SEP17	S 2 PD27DEC17	10275.00	10613.58	USD	-4,062.96	
27SEP17	B 3 PD27DEC17	10360.00	10613.58	USD	4,564.44	
27SEP17	B 3 PD27DEC17	10370.00	10613.58	USD	4,384.44	
27SEP17	B 1 PD27DEC17	10345.00	10613.58	USD	1,611.48	
27SEP17	B 4 PD27DEC17	10365.00	10613.58	USD	5,965.92	
27SEP17	S 1 PD27DEC17	10335.00	10613.58	USD	-1,671.48	
27SEP17	S 1 PD27DEC17	10320.00	10613.58	USD	-1,761.48	
27SEP17	S 2 PD27DEC17	10325.00	10613.58	USD	-3,462.96	
27SEP17	S 1 PD27DEC17	10280.00	10613.58	USD	-2,001.48	
27SEP17	S 2 PD27DEC17	10285.00	10613.58	USD	-3,942.96	
27SEP17	S 2 PD27DEC17	10290.00	10613.58	USD	-3,882.96	
27SEP17	S 2 PD27DEC17	10295.00	10613.58	USD	-3,822.96	
27SEP17	B 1 PD27DEC17	10315.00	10613.58	USD	1,791.48	
27SEP17	B 1 PD27DEC17	10330.00	10613.58	USD	1,701.48	
27SEP17	B 1 PD27DEC17	10325.00	10613.58	USD	1,731.48	
27SEP17	B 1 PD27DEC17	10285.00	10613.58	USD	1,971.48	
27SEP17	S 1 PD27DEC17	10320.00	10613.58	USD	-1,761.48	
28SEP17	B 8 PD27DEC17	10439.67	10613.58	USD	8,347.68	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
03OCT17	B 3 PD27DEC17	10614.58	10613.58	USD	-18.00	
	NET +29 AVG BGHT 10456.77 SOLD 10488.84				**48,070.32**	**0.00**
28SEP17	B 3 PD28DEC17	10305.00	10614.50	USD	5,571.00	
28SEP17	B 2 PD28DEC17	10310.00	10614.50	USD	3,654.00	
28SEP17	S 1 PD28DEC17	10310.00	10614.50	USD	-1,827.00	
28SEP17	S 1 PD28DEC17	10305.00	10614.50	USD	-1,857.00	
28SEP17	S 1 PD28DEC17	10295.00	10614.50	USD	-1,917.00	
28SEP17	S 1 PD28DEC17	10285.00	10614.50	USD	-1,977.00	
28SEP17	S 1 PD28DEC17	10280.00	10614.50	USD	-2,007.00	
28SEP17	B 1 PD28DEC17	10325.00	10614.50	USD	1,737.00	
28SEP17	B 1 PD28DEC17	10300.00	10614.50	USD	1,887.00	
28SEP17	S 1 PD28DEC17	10320.00	10614.50	USD	-1,767.00	
28SEP17	B 1 PD28DEC17	10330.00	10614.50	USD	1,707.00	
28SEP17	B 2 PD28DEC17	10340.00	10614.50	USD	3,294.00	
28SEP17	B 1 PD28DEC17	10355.00	10614.50	USD	1,557.00	
28SEP17	S 1 PD28DEC17	10290.00	10614.50	USD	-1,947.00	
28SEP17	B 1 PD28DEC17	10285.00	10614.50	USD	1,977.00	
28SEP17	B 4 PD28DEC17	10275.00	10614.50	USD	8,148.00	
28SEP17	S 1 PD28DEC17	10255.00	10614.50	USD	-2,157.00	
28SEP17	S 2 PD28DEC17	10260.00	10614.50	USD	-4,254.00	
28SEP17	S 1 PD28DEC17	10245.00	10614.50	USD	-2,217.00	
28SEP17	S 2 PD28DEC17	10265.00	10614.50	USD	-4,194.00	
28SEP17	S 2 PD28DEC17	10280.00	10614.50	USD	-4,014.00	
28SEP17	B 2 PD28DEC17	10445.00	10614.50	USD	2,034.00	
28SEP17	S 2 PD28DEC17	10460.00	10614.50	USD	-1,854.00	
28SEP17	S 2 PD28DEC17	10495.00	10614.50	USD	-1,434.00	
28SEP17	B 1 PD28DEC17	10470.00	10614.50	USD	867.00	
	NET 0 AVG BGHT 10330.00 SOLD 10321.32				**-990.00**	**0.00**
29SEP17	S 1 PD29DEC17	10495.00	10615.42	USD	-722.52	
03OCT17	B 5 PD29DEC17	10616.42	10615.42	USD	-30.00	
	NET +4 AVG BGHT 10616.42 SOLD 10495.00				**-752.52**	**0.00**
	CMX SILVER 5,000 CMX					
29SEP17	S 1 DEC17	1684.5	1665.0	USD	975.00	
	NET -1 AVG SOLD 1684.5				**975.00**	**0.00**
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
04JUL17	B 1 PD04OCT17	20070.00	20956.50	USD	4,432.50	
04JUL17	S 1 PD04OCT17	20050.00	20956.50	USD	-4,532.50	
04JUL17	B 1 PD04OCT17	20050.00	20956.50	USD	4,532.50	
04JUL17	S 1 PD04OCT17	20065.00	20956.50	USD	-4,457.50	
04JUL17	B 1 PD04OCT17	20040.00	20956.50	USD	4,582.50	
04JUL17	B 1 PD04OCT17	20000.00	20956.50	USD	4,782.50	
04JUL17	B 1 PD04OCT17	19970.00	20956.50	USD	4,932.50	
14JUL17	S 1 PD04OCT17	19818.38	20956.50	USD	-5,690.60	
28JUL17	S 1 PD04OCT17	20635.84	20956.50	USD	-1,603.30	
08AUG17	S 1 PD04OCT17	20235.11	20956.50	USD	-3,606.95	
	NET 0 AVG BGHT 20026.00 SOLD 20160.87				**3,371.65**	**0.00**
05JUL17	B 1 PD05OCT17	20000.00	20954.00	USD	4,770.00	
05JUL17	B 1 PD05OCT17	19740.00	20954.00	USD	6,070.00	
05JUL17	B 1 PD05OCT17	19730.00	20954.00	USD	6,120.00	
05JUL17	B 1 PD05OCT17	19665.00	20954.00	USD	6,445.00	
05JUL17	B 1 PD05OCT17	19660.00	20954.00	USD	6,470.00	
05JUL17	B 1 PD05OCT17	19625.00	20954.00	USD	6,645.00	
05JUL17	B 2 PD05OCT17	19580.00	20954.00	USD	13,740.00	
05JUL17	B 1 PD05OCT17	19530.00	20954.00	USD	7,120.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
05JUL17	B 1 PD05OCT17	19540.00	20954.00	USD	7,070.00	
05JUL17	B 2 PD05OCT17	19535.00	20954.00	USD	14,190.00	
12JUL17	S 1 PD05OCT17	19906.67	20954.00	USD	-5,236.65	
14JUL17	S 5 PD05OCT17	19817.81	20954.00	USD	-28,404.75	
17JUL17	S 2 PD05OCT17	19934.72	20954.00	USD	-10,192.80	
28JUL17	S 1 PD05OCT17	20634.53	20954.00	USD	-1,597.35	
08AUG17	S 1 PD05OCT17	20233.32	20954.00	USD	-3,603.40	
08AUG17	S 1 PD05OCT17	20234.32	20954.00	USD	-3,598.40	
09AUG17	S 1 PD05OCT17	20238.42	20954.00	USD	-3,577.90	
	NET 0 AVG BGHT 19643.33 SOLD 20017.15				**22,428.75**	**0.00**
06JUL17	S 1 PD06OCT17	19755.00	20951.50	USD	-5,982.50	
06JUL17	S 1 PD06OCT17	19735.00	20951.50	USD	-6,082.50	
06JUL17	S 1 PD06OCT17	19725.00	20951.50	USD	-6,132.50	
06JUL17	S 1 PD06OCT17	19700.00	20951.50	USD	-6,257.50	
06JUL17	S 1 PD06OCT17	19715.00	20951.50	USD	-6,182.50	
06JUL17	S 1 PD06OCT17	19715.00	20951.50	USD	-6,182.50	
06JUL17	S 1 PD06OCT17	19720.00	20951.50	USD	-6,157.50	
06JUL17	S 1 PD06OCT17	19850.00	20951.50	USD	-5,507.50	
06JUL17	S 1 PD06OCT17	19835.00	20951.50	USD	-5,582.50	
06JUL17	B 1 PD06OCT17	19835.00	20951.50	USD	5,582.50	
06JUL17	S 1 PD06OCT17	19870.00	20951.50	USD	-5,407.50	
06JUL17	S 1 PD06OCT17	19860.00	20951.50	USD	-5,457.50	
06JUL17	S 2 PD06OCT17	19845.00	20951.50	USD	-11,065.00	
06JUL17	S 1 PD06OCT17	19900.00	20951.50	USD	-5,257.50	
06JUL17	S 1 PD06OCT17	19915.00	20951.50	USD	-5,182.50	
06JUL17	S 1 PD06OCT17	19920.00	20951.50	USD	-5,157.50	
06JUL17	S 1 PD06OCT17	19905.00	20951.50	USD	-5,232.50	
06JUL17	S 1 PD06OCT17	19930.00	20951.50	USD	-5,107.50	
07JUL17	S 1 PD06OCT17	19890.00	20951.50	USD	-5,307.50	
07JUL17	S 1 PD06OCT17	19910.00	20951.50	USD	-5,207.50	
07JUL17	S 1 PD06OCT17	19920.00	20951.50	USD	-5,157.50	
07JUL17	B 1 PD06OCT17	19655.00	20951.50	USD	6,482.50	
07JUL17	B 1 PD06OCT17	19650.00	20951.50	USD	6,507.50	
07JUL17	B 1 PD06OCT17	19630.00	20951.50	USD	6,607.50	
07JUL17	B 1 PD06OCT17	19610.00	20951.50	USD	6,707.50	
07JUL17	B 1 PD06OCT17	19555.00	20951.50	USD	6,982.50	
07JUL17	S 1 PD06OCT17	19715.00	20951.50	USD	-6,182.50	
07JUL17	S 1 PD06OCT17	19740.00	20951.50	USD	-6,057.50	
07JUL17	S 1 PD06OCT17	19695.00	20951.50	USD	-6,282.50	
12JUL17	B 1 PD06OCT17	19906.00	20951.50	USD	5,227.50	
12JUL17	B 1 PD06OCT17	19905.00	20951.50	USD	5,232.50	
14JUL17	B 5 PD06OCT17	19817.25	20951.50	USD	28,356.25	
14JUL17	B 2 PD06OCT17	19816.25	20951.50	USD	11,352.50	
17JUL17	B 2 PD06OCT17	19934.33	20951.50	USD	10,171.70	
17JUL17	B 1 PD06OCT17	19933.33	20951.50	USD	5,090.85	
28JUL17	B 1 PD06OCT17	20634.21	20951.50	USD	1,586.45	
08AUG17	B 3 PD06OCT17	20233.53	20951.50	USD	10,769.55	
08AUG17	B 1 PD06OCT17	20234.53	20951.50	USD	3,584.85	
09AUG17	B 1 PD06OCT17	20238.37	20951.50	USD	3,565.65	
	NET 0 AVG BGHT 19919.77 SOLD 19817.08				**-12,322.20**	**0.00**
10JUL17	S 1 PD10OCT17	19560.00	20949.00	USD	-6,945.00	
10JUL17	S 1 PD10OCT17	19690.00	20949.00	USD	-6,295.00	
10JUL17	S 1 PD10OCT17	19755.00	20949.00	USD	-5,970.00	
10JUL17	S 1 PD10OCT17	19755.00	20949.00	USD	-5,970.00	
10JUL17	S 1 PD10OCT17	19735.00	20949.00	USD	-6,070.00	
10JUL17	S 1 PD10OCT17	19765.00	20949.00	USD	-5,920.00	
10JUL17	S 1 PD10OCT17	19850.00	20949.00	USD	-5,495.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
10JUL17	S 2 PD10OCT17	19950.00	20949.00	USD	-9,990.00	
10JUL17	S 1 PD10OCT17	19960.00	20949.00	USD	-4,945.00	
10JUL17	S 1 PD10OCT17	19940.00	20949.00	USD	-5,045.00	
10JUL17	B 1 PD10OCT17	19920.00	20949.00	USD	5,145.00	
10JUL17	B 1 PD10OCT17	19875.00	20949.00	USD	5,370.00	
10JUL17	B 2 PD10OCT17	19820.00	20949.00	USD	11,290.00	
10JUL17	S 1 PD10OCT17	19895.00	20949.00	USD	-5,270.00	
10JUL17	B 1 PD10OCT17	19800.00	20949.00	USD	5,745.00	
10JUL17	B 1 PD10OCT17	19795.00	20949.00	USD	5,770.00	
17JUL17	B 3 PD10OCT17	19931.94	20949.00	USD	15,255.90	
08AUG17	B 1 PD10OCT17	20232.74	20949.00	USD	3,581.30	
08AUG17	B 1 PD10OCT17	20233.74	20949.00	USD	3,576.30	
10AUG17	B 1 PD10OCT17	20346.32	20949.00	USD	3,013.40	
	NET 0 AVG BGHT 19969.89 SOLD 19817.08				**-9,168.10**	**0.00**
11JUL17	B 1 PD11OCT17	19885.00	20946.50	USD	5,307.50	
11JUL17	B 1 PD11OCT17	19960.00	20946.50	USD	4,932.50	
11JUL17	B 1 PD11OCT17	19875.00	20946.50	USD	5,357.50	
11JUL17	B 1 PD11OCT17	19850.00	20946.50	USD	5,482.50	
11JUL17	B 1 PD11OCT17	19855.00	20946.50	USD	5,457.50	
11JUL17	B 4 PD11OCT17	19860.00	20946.50	USD	21,730.00	
11JUL17	B 1 PD11OCT17	19935.00	20946.50	USD	5,057.50	
11JUL17	B 1 PD11OCT17	19865.00	20946.50	USD	5,407.50	
11JUL17	B 1 PD11OCT17	19845.00	20946.50	USD	5,507.50	
12JUL17	S 1 PD11OCT17	19900.67	20946.50	USD	-5,229.15	
14JUL17	S 2 PD11OCT17	19812.13	20946.50	USD	-11,343.70	
17JUL17	S 4 PD11OCT17	19929.56	20946.50	USD	-20,338.80	
27JUL17	S 1 PD11OCT17	20701.84	20946.50	USD	-1,223.30	
28JUL17	S 1 PD11OCT17	20630.58	20946.50	USD	-1,579.60	
08AUG17	S 3 PD11OCT17	20230.95	20946.50	USD	-10,733.25	
	NET 0 AVG BGHT 19875.83 SOLD 20105.70				**13,792.20**	**0.00**
12JUL17	B 1 PD12OCT17	19920.00	20944.00	USD	5,120.00	
12JUL17	B 1 PD12OCT17	19900.00	20944.00	USD	5,220.00	
12JUL17	S 1 PD12OCT17	19925.00	20944.00	USD	-5,095.00	
12JUL17	B 2 PD12OCT17	19925.00	20944.00	USD	10,190.00	
27JUL17	S 1 PD12OCT17	20698.47	20944.00	USD	-1,227.65	
08AUG17	S 1 PD12OCT17	20230.16	20944.00	USD	-3,569.20	
10AUG17	S 1 PD12OCT17	20343.21	20944.00	USD	-3,003.95	
	NET 0 AVG BGHT 19917.50 SOLD 20299.21				**7,634.20**	**0.00**
13JUL17	S 1 PD13OCT17	19890.00	20941.50	USD	-5,257.50	
13JUL17	S 2 PD13OCT17	19915.00	20941.50	USD	-10,265.00	
14JUL17	B 1 PD13OCT17	19830.00	20941.50	USD	5,557.50	
14JUL17	S 2 PD13OCT17	19830.00	20941.50	USD	-11,115.00	
14JUL17	S 2 PD13OCT17	19830.00	20941.50	USD	-11,115.00	
14JUL17	B 1 PD13OCT17	19830.00	20941.50	USD	5,557.50	
14JUL17	B 1 PD13OCT17	19815.00	20941.50	USD	5,632.50	
14JUL17	B 1 PD13OCT17	19860.00	20941.50	USD	5,407.50	
14JUL17	S 1 PD13OCT17	19890.00	20941.50	USD	-5,257.50	
14JUL17	B 2 PD13OCT17	19835.00	20941.50	USD	11,065.00	
14JUL17	B 1 PD13OCT17	19880.00	20941.50	USD	5,307.50	
26JUL17	B 1 PD13OCT17	20366.32	20941.50	USD	2,875.90	
	NET 0 AVG BGHT 19906.42 SOLD 19866.25				**-1,606.60**	**0.00**
17JUL17	B 1 PD17OCT17	19955.00	20936.50	USD	4,907.50	
17JUL17	B 1 PD17OCT17	19960.00	20936.50	USD	4,882.50	
17JUL17	B 1 PD17OCT17	19985.00	20936.50	USD	4,757.50	
26JUL17	S 1 PD17OCT17	20361.11	20936.50	USD	-2,876.95	
27JUL17	S 1 PD17OCT17	20692.37	20936.50	USD	-1,220.65	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
10AUG17	S 1 PD17OCT17	20341.05	20936.50	USD	-2,977.25	
	NET 0 AVG BGHT 19966.67 SOLD 20464.84				**7,472.65**	**0.00**
18JUL17	B 1 PD18OCT17	19950.00	20934.00	USD	4,920.00	
18JUL17	B 1 PD18OCT17	19915.00	20934.00	USD	5,095.00	
18JUL17	B 2 PD18OCT17	19975.00	20934.00	USD	9,590.00	
18JUL17	B 2 PD18OCT17	20000.00	20934.00	USD	9,340.00	
18JUL17	B 1 PD18OCT17	20045.00	20934.00	USD	4,445.00	
18JUL17	B 1 PD18OCT17	20030.00	20934.00	USD	4,520.00	
18JUL17	S 1 PD18OCT17	20045.00	20934.00	USD	-4,445.00	
18JUL17	B 2 PD18OCT17	20095.00	20934.00	USD	8,390.00	
18JUL17	B 1 PD18OCT17	19990.00	20934.00	USD	4,720.00	
18JUL17	B 1 PD18OCT17	20065.00	20934.00	USD	4,345.00	
18JUL17	B 1 PD18OCT17	20060.00	20934.00	USD	4,370.00	
18JUL17	B 1 PD18OCT17	20040.00	20934.00	USD	4,470.00	
18JUL17	B 2 PD18OCT17	20035.00	20934.00	USD	8,990.00	
18JUL17	S 1 PD18OCT17	20030.00	20934.00	USD	-4,520.00	
18JUL17	B 2 PD18OCT17	20050.00	20934.00	USD	8,840.00	
18JUL17	S 1 PD18OCT17	20000.00	20934.00	USD	-4,670.00	
18JUL17	S 1 PD18OCT17	20000.00	20934.00	USD	-4,670.00	
18JUL17	S 1 PD18OCT17	20005.00	20934.00	USD	-4,645.00	
27JUL17	S 4 PD18OCT17	20690.00	20934.00	USD	-4,880.00	
28JUL17	S 4 PD18OCT17	20625.00	20934.00	USD	-6,180.00	
08AUG17	S 2 PD18OCT17	20228.00	20934.00	USD	-7,060.00	
10AUG17	S 2 PD18OCT17	20340.00	20934.00	USD	-5,940.00	
17AUG17	S 1 PD18OCT17	20240.00	20934.00	USD	-3,470.00	
	NET 0 AVG BGHT 20022.50 SOLD 20373.11				**31,555.00**	**0.00**
19JUL17	S 1 PD19OCT17	20065.00	20929.00	USD	-4,320.00	
19JUL17	S 2 PD19OCT17	20050.00	20929.00	USD	-8,790.00	
19JUL17	S 1 PD19OCT17	20120.00	20929.00	USD	-4,045.00	
19JUL17	S 1 PD19OCT17	20030.00	20929.00	USD	-4,495.00	
19JUL17	S 1 PD19OCT17	20100.00	20929.00	USD	-4,145.00	
19JUL17	B 1 PD19OCT17	20065.00	20929.00	USD	4,320.00	
19JUL17	B 1 PD19OCT17	20070.00	20929.00	USD	4,295.00	
19JUL17	S 1 PD19OCT17	20120.00	20929.00	USD	-4,045.00	
19JUL17	B 1 PD19OCT17	20105.00	20929.00	USD	4,120.00	
27JUL17	B 1 PD19OCT17	20685.29	20929.00	USD	1,218.55	
28JUL17	B 1 PD19OCT17	20622.43	20929.00	USD	1,532.85	
08AUG17	B 1 PD19OCT17	20227.80	20929.00	USD	3,506.00	
10AUG17	B 1 PD19OCT17	20340.12	20929.00	USD	2,944.40	
	NET 0 AVG BGHT 20302.23 SOLD 20076.43				**-7,903.20**	**0.00**
20JUL17	S 1 PD20OCT17	20125.00	20924.00	USD	-3,995.00	
20JUL17	S 4 PD20OCT17	20095.00	20924.00	USD	-16,580.00	
20JUL17	S 2 PD20OCT17	20100.00	20924.00	USD	-8,240.00	
20JUL17	S 1 PD20OCT17	20115.00	20924.00	USD	-4,045.00	
20JUL17	S 4 PD20OCT17	20110.00	20924.00	USD	-16,280.00	
20JUL17	S 1 PD20OCT17	20105.00	20924.00	USD	-4,095.00	
20JUL17	B 1 PD20OCT17	20090.00	20924.00	USD	4,170.00	
20JUL17	S 1 PD20OCT17	20100.00	20924.00	USD	-4,120.00	
20JUL17	S 2 PD20OCT17	20105.00	20924.00	USD	-8,190.00	
20JUL17	S 1 PD20OCT17	20070.00	20924.00	USD	-4,270.00	
20JUL17	S 1 PD20OCT17	20080.00	20924.00	USD	-4,220.00	
21JUL17	B 1 PD20OCT17	20090.00	20924.00	USD	4,170.00	
21JUL17	B 1 PD20OCT17	20085.00	20924.00	USD	4,195.00	
21JUL17	B 1 PD20OCT17	20010.00	20924.00	USD	4,570.00	
21JUL17	B 1 PD20OCT17	20025.00	20924.00	USD	4,495.00	
21JUL17	B 1 PD20OCT17	20145.00	20924.00	USD	3,895.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
21JUL17	B 1 PD20OCT17	20150.00	20924.00	USD	3,870.00	
21JUL17	S 1 PD20OCT17	20165.00	20924.00	USD	-3,795.00	
21JUL17	S 1 PD20OCT17	20185.00	20924.00	USD	-3,695.00	
21JUL17	S 1 PD20OCT17	20195.00	20924.00	USD	-3,645.00	
21JUL17	S 1 PD20OCT17	20180.00	20924.00	USD	-3,720.00	
21JUL17	S 1 PD20OCT17	20200.00	20924.00	USD	-3,620.00	
21JUL17	S 1 PD20OCT17	20225.00	20924.00	USD	-3,495.00	
21JUL17	S 1 PD20OCT17	20215.00	20924.00	USD	-3,545.00	
21JUL17	S 1 PD20OCT17	20185.00	20924.00	USD	-3,695.00	
27JUL17	B 2 PD20OCT17	20678.57	20924.00	USD	2,454.30	
27JUL17	B 5 PD20OCT17	20679.57	20924.00	USD	6,110.75	
28JUL17	B 3 PD20OCT17	20618.86	20924.00	USD	4,577.10	
08AUG17	B 1 PD20OCT17	20225.60	20924.00	USD	3,492.00	
08AUG17	B 1 PD20OCT17	20226.60	20924.00	USD	3,487.00	
10AUG17	B 2 PD20OCT17	20339.24	20924.00	USD	5,847.60	
10AUG17	B 1 PD20OCT17	20338.24	20924.00	USD	2,928.80	
10AUG17	S 1 PD20OCT17	20338.24	20924.00	USD	-2,928.80	
17AUG17	B 1 PD20OCT17	20239.00	20924.00	USD	3,425.00	
17AUG17	B 1 PD20OCT17	20238.00	20924.00	USD	3,430.00	
22AUG17	B 3 PD20OCT17	20405.32	20924.00	USD	7,780.20	
	NET 0 AVG BGHT 20384.02 SOLD 20137.53				**-33,276.05**	**0.00**
24JUL17	S 1 PD24OCT17	20245.00	20914.00	USD	-3,345.00	
24JUL17	B 1 PD24OCT17	20175.00	20914.00	USD	3,695.00	
24JUL17	B 1 PD24OCT17	20150.00	20914.00	USD	3,820.00	
24JUL17	B 1 PD24OCT17	20135.00	20914.00	USD	3,895.00	
24JUL17	B 1 PD24OCT17	20140.00	20914.00	USD	3,870.00	
24JUL17	B 1 PD24OCT17	20145.00	20914.00	USD	3,845.00	
24JUL17	B 3 PD24OCT17	20255.00	20914.00	USD	9,885.00	
24JUL17	B 5 PD24OCT17	20245.00	20914.00	USD	16,725.00	
24JUL17	B 1 PD24OCT17	20225.00	20914.00	USD	3,445.00	
24JUL17	B 2 PD24OCT17	20195.00	20914.00	USD	7,190.00	
24JUL17	B 2 PD24OCT17	20130.00	20914.00	USD	7,840.00	
24JUL17	B 5 PD24OCT17	20075.00	20914.00	USD	20,975.00	
24JUL17	B 1 PD24OCT17	20140.00	20914.00	USD	3,870.00	
24JUL17	S 1 PD24OCT17	20145.00	20914.00	USD	-3,845.00	
27JUL17	S 1 PD24OCT17	20666.14	20914.00	USD	-1,239.30	
27JUL17	S 3 PD24OCT17	20667.14	20914.00	USD	-3,702.90	
28JUL17	S 6 PD24OCT17	20610.71	20914.00	USD	-9,098.70	
07AUG17	S 4 PD24OCT17	20621.43	20914.00	USD	-5,851.40	
08AUG17	S 1 PD24OCT17	20222.20	20914.00	USD	-3,459.00	
10AUG17	S 1 PD24OCT17	20335.47	20914.00	USD	-2,892.65	
10AUG17	S 2 PD24OCT17	20336.47	20914.00	USD	-5,775.30	
17AUG17	S 1 PD24OCT17	20235.00	20914.00	USD	-3,395.00	
17AUG17	S 3 PD24OCT17	20236.00	20914.00	USD	-10,170.00	
	NET 0 AVG BGHT 20171.88 SOLD 20474.21				**36,280.75**	**0.00**
25JUL17	B 1 PD25OCT17	20200.00	20909.00	USD	3,545.00	
25JUL17	S 1 PD25OCT17	20160.00	20909.00	USD	-3,745.00	
25JUL17	B 1 PD25OCT17	20200.00	20909.00	USD	3,545.00	
25JUL17	S 1 PD25OCT17	20200.00	20909.00	USD	-3,545.00	
25JUL17	S 1 PD25OCT17	20325.00	20909.00	USD	-2,920.00	
25JUL17	S 1 PD25OCT17	20330.00	20909.00	USD	-2,895.00	
25JUL17	B 1 PD25OCT17	20250.00	20909.00	USD	3,295.00	
25JUL17	S 1 PD25OCT17	20345.00	20909.00	USD	-2,820.00	
25JUL17	S 1 PD25OCT17	20355.00	20909.00	USD	-2,770.00	
27JUL17	S 1 PD25OCT17	20660.43	20909.00	USD	-1,242.85	
27JUL17	B 2 PD25OCT17	20662.43	20909.00	USD	2,465.70	
28JUL17	B 2 PD25OCT17	20608.14	20909.00	USD	3,008.60	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
10AUG17	S 1 PD25OCT17	20335.59	20909.00	USD	-2,867.05	
17AUG17	B 1 PD25OCT17	20236.00	20909.00	USD	3,365.00	
	NET 0 AVG BGHT 20428.39 SOLD 20338.88				**-3,580.60**	**0.00**
26JUL17	S 1 PD26OCT17	20290.00	20904.00	USD	-3,070.00	
26JUL17	S 2 PD26OCT17	20325.00	20904.00	USD	-5,790.00	
26JUL17	S 2 PD26OCT17	20320.00	20904.00	USD	-5,840.00	
26JUL17	S 1 PD26OCT17	20330.00	20904.00	USD	-2,870.00	
26JUL17	S 1 PD26OCT17	20360.00	20904.00	USD	-2,720.00	
26JUL17	B 2 PD26OCT17	20370.00	20904.00	USD	5,340.00	
26JUL17	B 2 PD26OCT17	20375.00	20904.00	USD	5,290.00	
27JUL17	B 1 PD26OCT17	20656.71	20904.00	USD	1,236.45	
28JUL17	B 3 PD26OCT17	20604.57	20904.00	USD	4,491.45	
10AUG17	S 1 PD26OCT17	20334.71	20904.00	USD	-2,846.45	
	NET 0 AVG BGHT 20495.05 SOLD 20325.59				**-6,778.55**	**0.00**
27JUL17	B 1 PD27OCT17	20370.00	20899.00	USD	2,645.00	
27JUL17	S 1 PD27OCT17	20395.00	20899.00	USD	-2,520.00	
27JUL17	B 1 PD27OCT17	20395.00	20899.00	USD	2,520.00	
27JUL17	B 3 PD27OCT17	20410.00	20899.00	USD	7,335.00	
27JUL17	S 1 PD27OCT17	20480.00	20899.00	USD	-2,095.00	
27JUL17	S 1 PD27OCT17	20490.00	20899.00	USD	-2,045.00	
27JUL17	B 1 PD27OCT17	20560.00	20899.00	USD	1,695.00	
27JUL17	S 1 PD27OCT17	20690.00	20899.00	USD	-1,045.00	
27JUL17	B 1 PD27OCT17	20615.00	20899.00	USD	1,420.00	
27JUL17	B 1 PD27OCT17	20405.00	20899.00	USD	2,470.00	
27JUL17	S 1 PD27OCT17	20485.00	20899.00	USD	-2,070.00	
27JUL17	S 2 PD27OCT17	20560.00	20899.00	USD	-3,390.00	
27JUL17	B 1 PD27OCT17	20480.00	20899.00	USD	2,095.00	
27JUL17	B 1 PD27OCT17	20555.00	20899.00	USD	1,720.00	
27JUL17	S 1 PD27OCT17	20760.00	20899.00	USD	-695.00	
27JUL17	S 1 PD27OCT17	20675.00	20899.00	USD	-1,120.00	
27JUL17	B 1 PD27OCT17	20750.00	20899.00	USD	745.00	
27JUL17	S 1 PD27OCT17	20740.00	20899.00	USD	-795.00	
27JUL17	S 1 PD27OCT17	20745.00	20899.00	USD	-770.00	
27JUL17	S 1 PD27OCT17	20765.00	20899.00	USD	-670.00	
27JUL17	S 1 PD27OCT17	20735.00	20899.00	USD	-820.00	
27JUL17	S 1 PD27OCT17	20700.00	20899.00	USD	-995.00	
27JUL17	S 1 PD27OCT17	20665.00	20899.00	USD	-1,170.00	
27JUL17	S 1 PD27OCT17	20680.00	20899.00	USD	-1,095.00	
27JUL17	S 1 PD27OCT17	20655.00	20899.00	USD	-1,220.00	
27JUL17	S 2 PD27OCT17	20650.00	20899.00	USD	-2,490.00	
27JUL17	B 2 PD27OCT17	20580.00	20899.00	USD	3,190.00	
27JUL17	S 1 PD27OCT17	20635.00	20899.00	USD	-1,320.00	
27JUL17	S 1 PD27OCT17	20660.00	20899.00	USD	-1,195.00	
27JUL17	S 1 PD27OCT17	20620.00	20899.00	USD	-1,395.00	
27JUL17	S 2 PD27OCT17	20700.00	20899.00	USD	-1,990.00	
27JUL17	S 1 PD27OCT17	20655.00	20899.00	USD	-1,220.00	
27JUL17	S 1 PD27OCT17	20620.00	20899.00	USD	-1,395.00	
28JUL17	S 1 PD27OCT17	20580.00	20899.00	USD	-1,595.00	
28JUL17	S 1 PD27OCT17	20595.00	20899.00	USD	-1,520.00	
28JUL17	S 1 PD27OCT17	20585.00	20899.00	USD	-1,570.00	
28JUL17	B 1 PD27OCT17	20460.00	20899.00	USD	2,195.00	
28JUL17	S 1 PD27OCT17	20575.00	20899.00	USD	-1,620.00	
28JUL17	B 1 PD27OCT17	20695.00	20899.00	USD	1,020.00	
28JUL17	S 1 PD27OCT17	20730.00	20899.00	USD	-845.00	
28JUL17	S 1 PD27OCT17	20615.00	20899.00	USD	-1,420.00	
28JUL17	S 1 PD27OCT17	20565.00	20899.00	USD	-1,670.00	
28JUL17	S 2 PD27OCT17	20740.00	20899.00	USD	-1,590.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
28JUL17	S 1 PD27OCT17	20745.00	20899.00	USD	-770.00	
28JUL17	S 1 PD27OCT17	20755.00	20899.00	USD	-720.00	
28JUL17	S 1 PD27OCT17	20750.00	20899.00	USD	-745.00	
28JUL17	B 1 PD27OCT17	20760.00	20899.00	USD	695.00	
28JUL17	S 1 PD27OCT17	20800.00	20899.00	USD	-495.00	
28JUL17	S 1 PD27OCT17	20850.00	20899.00	USD	-245.00	
28JUL17	S 1 PD27OCT17	20865.00	20899.00	USD	-170.00	
28JUL17	S 1 PD27OCT17	20900.00	20899.00	USD	5.00	
28JUL17	S 1 PD27OCT17	20845.00	20899.00	USD	-270.00	
28JUL17	S 1 PD27OCT17	20795.00	20899.00	USD	-520.00	
28JUL17	S 1 PD27OCT17	20825.00	20899.00	USD	-370.00	
28JUL17	B 2 PD27OCT17	20775.00	20899.00	USD	1,240.00	
28JUL17	B 1 PD27OCT17	20755.00	20899.00	USD	720.00	
28JUL17	B 1 PD27OCT17	20735.00	20899.00	USD	820.00	
28JUL17	B 1 PD27OCT17	20725.00	20899.00	USD	870.00	
28JUL17	B 3 PD27OCT17	20685.00	20899.00	USD	3,210.00	
28JUL17	B 2 PD27OCT17	20655.00	20899.00	USD	2,440.00	
28JUL17	B 1 PD27OCT17	20635.00	20899.00	USD	1,320.00	
28JUL17	B 1 PD27OCT17	20630.00	20899.00	USD	1,345.00	
28JUL17	B 8 PD27OCT17	20625.00	20899.00	USD	10,960.00	
28JUL17	S 1 PD27OCT17	20650.00	20899.00	USD	-1,245.00	
28JUL17	S 2 PD27OCT17	20635.00	20899.00	USD	-2,640.00	
28JUL17	S 2 PD27OCT17	20645.00	20899.00	USD	-2,540.00	
28JUL17	S 2 PD27OCT17	20660.00	20899.00	USD	-2,390.00	
28JUL17	S 2 PD27OCT17	20695.00	20899.00	USD	-2,040.00	
28JUL17	S 1 PD27OCT17	20680.00	20899.00	USD	-1,095.00	
28JUL17	B 1 PD27OCT17	20601.00	20899.00	USD	1,490.00	
28JUL17	B 1 PD27OCT17	20650.00	20899.00	USD	1,245.00	
28JUL17	B 1 PD27OCT17	20630.00	20899.00	USD	1,345.00	
28JUL17	S 1 PD27OCT17	20625.00	20899.00	USD	-1,370.00	
28JUL17	B 1 PD27OCT17	20575.00	20899.00	USD	1,620.00	
07AUG17	B 3 PD27OCT17	20616.00	20899.00	USD	4,245.00	
08AUG17	S 1 PD27OCT17	20219.60	20899.00	USD	-3,397.00	
10AUG17	B 5 PD27OCT17	20334.82	20899.00	USD	14,104.50	
10AUG17	B 3 PD27OCT17	20333.82	20899.00	USD	8,477.70	
17AUG17	B 2 PD27OCT17	20234.00	20899.00	USD	6,650.00	
17AUG17	B 2 PD27OCT17	20233.00	20899.00	USD	6,660.00	
22AUG17	B 2 PD27OCT17	20396.89	20899.00	USD	5,021.10	
	NET 0 AVG BGHT 20535.74 SOLD 20666.13				**37,161.30**	**0.00**
31JUL17	S 1 PD31OCT17	20720.00	20889.00	USD	-845.00	
31JUL17	S 1 PD31OCT17	20825.00	20889.00	USD	-320.00	
31JUL17	B 2 PD31OCT17	20795.00	20889.00	USD	940.00	
31JUL17	B 1 PD31OCT17	20775.00	20889.00	USD	570.00	
31JUL17	S 1 PD31OCT17	20740.00	20889.00	USD	-745.00	
31JUL17	S 1 PD31OCT17	20765.00	20889.00	USD	-620.00	
31JUL17	S 1 PD31OCT17	20805.00	20889.00	USD	-420.00	
31JUL17	S 4 PD31OCT17	20845.00	20889.00	USD	-880.00	
31JUL17	S 1 PD31OCT17	20810.00	20889.00	USD	-395.00	
31JUL17	S 1 PD31OCT17	20835.00	20889.00	USD	-270.00	
31JUL17	S 1 PD31OCT17	20840.00	20889.00	USD	-245.00	
31JUL17	S 1 PD31OCT17	20825.00	20889.00	USD	-320.00	
31JUL17	B 1 PD31OCT17	20725.00	20889.00	USD	820.00	
31JUL17	B 1 PD31OCT17	20710.00	20889.00	USD	895.00	
31JUL17	B 1 PD31OCT17	20680.00	20889.00	USD	1,045.00	
31JUL17	B 1 PD31OCT17	20655.00	20889.00	USD	1,170.00	
31JUL17	B 1 PD31OCT17	20655.00	20889.00	USD	1,170.00	
07AUG17	B 1 PD31OCT17	20611.71	20889.00	USD	1,386.45	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
07AUG17	B 1 PD31OCT17	20610.71	20889.00	USD	1,391.45	
08AUG17	B 1 PD31OCT17	20218.20	20889.00	USD	3,354.00	
09AUG17	B 1 PD31OCT17	20215.94	20889.00	USD	3,365.30	
09AUG17	S 1 PD31OCT17	20215.94	20889.00	USD	-3,365.30	
10AUG17	B 1 PD31OCT17	20332.06	20889.00	USD	2,784.70	
12SEP17	B 1 PD31OCT17	20734.25	20889.00	USD	773.75	
	NET 0 AVG BGHT 20608.06 SOLD 20768.64				**11,240.35**	**0.00**
01AUG17	S 1 PD01NOV17	20645.00	20884.00	USD	-1,195.00	
01AUG17	S 1 PD01NOV17	20615.00	20884.00	USD	-1,345.00	
01AUG17	B 1 PD01NOV17	20630.00	20884.00	USD	1,270.00	
01AUG17	S 1 PD01NOV17	20620.00	20884.00	USD	-1,320.00	
01AUG17	S 2 PD01NOV17	20610.00	20884.00	USD	-2,740.00	
01AUG17	S 1 PD01NOV17	20555.00	20884.00	USD	-1,645.00	
01AUG17	S 1 PD01NOV17	20590.00	20884.00	USD	-1,470.00	
01AUG17	B 1 PD01NOV17	20550.00	20884.00	USD	1,670.00	
01AUG17	B 1 PD01NOV17	20515.00	20884.00	USD	1,845.00	
01AUG17	S 1 PD01NOV17	20615.00	20884.00	USD	-1,345.00	
01AUG17	S 1 PD01NOV17	20555.00	20884.00	USD	-1,645.00	
01AUG17	S 1 PD01NOV17	20580.00	20884.00	USD	-1,520.00	
01AUG17	B 1 PD01NOV17	20500.00	20884.00	USD	1,920.00	
01AUG17	B 3 PD01NOV17	20465.00	20884.00	USD	6,285.00	
01AUG17	B 1 PD01NOV17	20480.00	20884.00	USD	2,020.00	
01AUG17	B 1 PD01NOV17	20460.00	20884.00	USD	2,120.00	
01AUG17	S 1 PD01NOV17	20435.00	20884.00	USD	-2,245.00	
01AUG17	B 1 PD01NOV17	20385.00	20884.00	USD	2,495.00	
01AUG17	B 3 PD01NOV17	20380.00	20884.00	USD	7,560.00	
01AUG17	B 2 PD01NOV17	20390.00	20884.00	USD	4,940.00	
01AUG17	S 1 PD01NOV17	20445.00	20884.00	USD	-2,195.00	
01AUG17	B 1 PD01NOV17	20450.00	20884.00	USD	2,170.00	
01AUG17	B 1 PD01NOV17	20470.00	20884.00	USD	2,070.00	
01AUG17	B 1 PD01NOV17	20485.00	20884.00	USD	1,995.00	
01AUG17	S 1 PD01NOV17	20485.00	20884.00	USD	-1,995.00	
01AUG17	B 1 PD01NOV17	20490.00	20884.00	USD	1,970.00	
01AUG17	B 2 PD01NOV17	20500.00	20884.00	USD	3,840.00	
01AUG17	S 1 PD01NOV17	20520.00	20884.00	USD	-1,820.00	
01AUG17	S 3 PD01NOV17	20470.00	20884.00	USD	-6,210.00	
01AUG17	S 1 PD01NOV17	20525.00	20884.00	USD	-1,795.00	
01AUG17	S 1 PD01NOV17	20490.00	20884.00	USD	-1,970.00	
07AUG17	S 1 PD01NOV17	20607.57	20884.00	USD	-1,382.15	
09AUG17	B 1 PD01NOV17	20214.38	20884.00	USD	3,348.10	
09AUG17	S 1 PD01NOV17	20214.38	20884.00	USD	-3,348.10	
10AUG17	B 1 PD01NOV17	20331.18	20884.00	USD	2,764.10	
10AUG17	S 1 PD01NOV17	20330.18	20884.00	USD	-2,769.10	
17AUG17	S 2 PD01NOV17	20230.00	20884.00	USD	-6,540.00	
17AUG17	S 2 PD01NOV17	20229.00	20884.00	USD	-6,550.00	
22AUG17	B 3 PD01NOV17	20391.84	20884.00	USD	7,382.40	
	NET 0 AVG BGHT 20440.43 SOLD 20475.97				**4,620.25**	**0.00**
02AUG17	S 1 PD02NOV17	20500.00	20882.60	USD	-1,913.00	
02AUG17	S 1 PD02NOV17	20485.00	20882.60	USD	-1,988.00	
02AUG17	S 1 PD02NOV17	20460.00	20882.60	USD	-2,113.00	
02AUG17	B 1 PD02NOV17	20395.00	20882.60	USD	2,438.00	
02AUG17	S 1 PD02NOV17	20540.00	20882.60	USD	-1,713.00	
02AUG17	S 1 PD02NOV17	20475.00	20882.60	USD	-2,038.00	
02AUG17	B 1 PD02NOV17	20495.00	20882.60	USD	1,938.00	
02AUG17	S 1 PD02NOV17	20515.00	20882.60	USD	-1,838.00	
02AUG17	S 1 PD02NOV17	20495.00	20882.60	USD	-1,938.00	
02AUG17	B 1 PD02NOV17	20425.00	20882.60	USD	2,288.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
02AUG17	S 1 PD02NOV17	20530.00	20882.60	USD	-1,763.00	
02AUG17	B 1 PD02NOV17	20525.00	20882.60	USD	1,788.00	
02AUG17	B 1 PD02NOV17	20540.00	20882.60	USD	1,713.00	
02AUG17	B 1 PD02NOV17	20570.00	20882.60	USD	1,563.00	
08AUG17	B 1 PD02NOV17	20214.80	20882.60	USD	3,339.00	
09AUG17	B 1 PD02NOV17	20213.81	20882.60	USD	3,343.95	
	NET 0 AVG BGHT 20422.33 SOLD 20500.00				**3,106.95**	**0.00**
03AUG17	B 1 PD03NOV17	20645.00	20881.20	USD	1,181.00	
03AUG17	B 1 PD03NOV17	20590.00	20881.20	USD	1,456.00	
03AUG17	S 1 PD03NOV17	20565.00	20881.20	USD	-1,581.00	
03AUG17	S 1 PD03NOV17	20560.00	20881.20	USD	-1,606.00	
03AUG17	S 1 PD03NOV17	20575.00	20881.20	USD	-1,531.00	
03AUG17	S 1 PD03NOV17	20580.00	20881.20	USD	-1,506.00	
03AUG17	B 1 PD03NOV17	20645.00	20881.20	USD	1,181.00	
03AUG17	S 2 PD03NOV17	20565.00	20881.20	USD	-3,162.00	
03AUG17	S 1 PD03NOV17	20635.00	20881.20	USD	-1,231.00	
03AUG17	B 1 PD03NOV17	20640.00	20881.20	USD	1,206.00	
03AUG17	B 1 PD03NOV17	20600.00	20881.20	USD	1,406.00	
03AUG17	B 1 PD03NOV17	20625.00	20881.20	USD	1,281.00	
03AUG17	S 1 PD03NOV17	20690.00	20881.20	USD	-956.00	
03AUG17	S 1 PD03NOV17	20630.00	20881.20	USD	-1,256.00	
03AUG17	B 3 PD03NOV17	20625.00	20881.20	USD	3,843.00	
03AUG17	B 1 PD03NOV17	20655.00	20881.20	USD	1,131.00	
03AUG17	B 1 PD03NOV17	20610.00	20881.20	USD	1,356.00	
03AUG17	S 1 PD03NOV17	20665.00	20881.20	USD	-1,081.00	
03AUG17	S 2 PD03NOV17	20670.00	20881.20	USD	-2,112.00	
03AUG17	B 1 PD03NOV17	20600.00	20881.20	USD	1,406.00	
03AUG17	S 1 PD03NOV17	20655.00	20881.20	USD	-1,131.00	
04AUG17	S 2 PD03NOV17	20655.00	20881.20	USD	-2,262.00	
04AUG17	B 2 PD03NOV17	20695.00	20881.20	USD	1,862.00	
04AUG17	B 1 PD03NOV17	20700.00	20881.20	USD	906.00	
04AUG17	S 1 PD03NOV17	20660.00	20881.20	USD	-1,106.00	
04AUG17	B 1 PD03NOV17	20715.00	20881.20	USD	831.00	
04AUG17	S 1 PD03NOV17	20760.00	20881.20	USD	-606.00	
04AUG17	S 1 PD03NOV17	20770.00	20881.20	USD	-556.00	
04AUG17	S 2 PD03NOV17	20725.00	20881.20	USD	-1,562.00	
04AUG17	S 1 PD03NOV17	20730.00	20881.20	USD	-756.00	
04AUG17	S 1 PD03NOV17	20650.00	20881.20	USD	-1,156.00	
04AUG17	S 1 PD03NOV17	20640.00	20881.20	USD	-1,206.00	
04AUG17	S 1 PD03NOV17	20605.00	20881.20	USD	-1,381.00	
04AUG17	S 1 PD03NOV17	20635.00	20881.20	USD	-1,231.00	
04AUG17	B 1 PD03NOV17	20600.00	20881.20	USD	1,406.00	
04AUG17	B 1 PD03NOV17	20585.00	20881.20	USD	1,481.00	
04AUG17	S 1 PD03NOV17	20780.00	20881.20	USD	-506.00	
04AUG17	S 1 PD03NOV17	20730.00	20881.20	USD	-756.00	
04AUG17	S 1 PD03NOV17	20675.00	20881.20	USD	-1,031.00	
04AUG17	S 2 PD03NOV17	20685.00	20881.20	USD	-1,962.00	
04AUG17	S 1 PD03NOV17	20645.00	20881.20	USD	-1,181.00	
04AUG17	B 1 PD03NOV17	20560.00	20881.20	USD	1,606.00	
04AUG17	B 4 PD03NOV17	20550.00	20881.20	USD	6,624.00	
04AUG17	B 2 PD03NOV17	20540.00	20881.20	USD	3,412.00	
04AUG17	B 3 PD03NOV17	20525.00	20881.20	USD	5,343.00	
04AUG17	B 1 PD03NOV17	20530.00	20881.20	USD	1,756.00	
04AUG17	B 1 PD03NOV17	20545.00	20881.20	USD	1,681.00	
08AUG17	S 1 PD03NOV17	20212.60	20881.20	USD	-3,343.00	
09AUG17	B 1 PD03NOV17	20212.25	20881.20	USD	3,344.75	
10AUG17	B 1 PD03NOV17	20329.41	20881.20	USD	2,758.95	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
	NET 0 AVG BGHT 20578.33 SOLD 20645.24				**10,704.70**	**0.00**
07AUG17	B 1 PD07NOV17	20600.00	20878.40	USD	1,392.00	
07AUG17	B 2 PD07NOV17	20620.00	20878.40	USD	2,584.00	
07AUG17	S 1 PD07NOV17	20655.00	20878.40	USD	-1,117.00	
07AUG17	S 1 PD07NOV17	20695.00	20878.40	USD	-917.00	
07AUG17	S 1 PD07NOV17	20665.00	20878.40	USD	-1,067.00	
07AUG17	S 1 PD07NOV17	20670.00	20878.40	USD	-1,042.00	
07AUG17	S 1 PD07NOV17	20605.00	20878.40	USD	-1,367.00	
07AUG17	B 1 PD07NOV17	20615.00	20878.40	USD	1,317.00	
07AUG17	S 1 PD07NOV17	20600.00	20878.40	USD	-1,392.00	
07AUG17	B 2 PD07NOV17	20605.00	20878.40	USD	2,734.00	
07AUG17	S 1 PD07NOV17	20615.00	20878.40	USD	-1,317.00	
07AUG17	B 1 PD07NOV17	20615.00	20878.40	USD	1,317.00	
07AUG17	B 2 PD07NOV17	20570.00	20878.40	USD	3,084.00	
07AUG17	B 1 PD07NOV17	20565.00	20878.40	USD	1,567.00	
07AUG17	B 2 PD07NOV17	20560.00	20878.40	USD	3,184.00	
07AUG17	S 1 PD07NOV17	20550.00	20878.40	USD	-1,642.00	
17AUG17	S 2 PD07NOV17	20226.00	20878.40	USD	-6,524.00	
22AUG17	S 1 PD07NOV17	20384.11	20878.40	USD	-2,471.45	
05SEP17	S 1 PD07NOV17	20774.00	20878.40	USD	-522.00	
	NET 0 AVG BGHT 20592.08 SOLD 20555.43				**-2,199.45**	**0.00**
08AUG17	B 1 PD08NOV17	20510.00	20877.00	USD	1,835.00	
08AUG17	S 1 PD08NOV17	20480.00	20877.00	USD	-1,985.00	
08AUG17	B 2 PD08NOV17	20470.00	20877.00	USD	4,070.00	
08AUG17	B 1 PD08NOV17	20400.00	20877.00	USD	2,385.00	
08AUG17	B 1 PD08NOV17	20440.00	20877.00	USD	2,185.00	
08AUG17	S 1 PD08NOV17	20530.00	20877.00	USD	-1,735.00	
08AUG17	B 1 PD08NOV17	20465.00	20877.00	USD	2,060.00	
08AUG17	B 1 PD08NOV17	20585.00	20877.00	USD	1,460.00	
08AUG17	B 1 PD08NOV17	20445.00	20877.00	USD	2,160.00	
08AUG17	B 2 PD08NOV17	20425.00	20877.00	USD	4,520.00	
08AUG17	B 2 PD08NOV17	20415.00	20877.00	USD	4,620.00	
08AUG17	B 2 PD08NOV17	20405.00	20877.00	USD	4,720.00	
08AUG17	B 1 PD08NOV17	20385.00	20877.00	USD	2,460.00	
08AUG17	B 1 PD08NOV17	20375.00	20877.00	USD	2,510.00	
08AUG17	B 2 PD08NOV17	20365.00	20877.00	USD	5,120.00	
08AUG17	B 3 PD08NOV17	20355.00	20877.00	USD	7,830.00	
08AUG17	B 2 PD08NOV17	20325.00	20877.00	USD	5,520.00	
08AUG17	B 3 PD08NOV17	20320.00	20877.00	USD	8,355.00	
08AUG17	B 3 PD08NOV17	20345.00	20877.00	USD	7,980.00	
08AUG17	B 1 PD08NOV17	20315.00	20877.00	USD	2,810.00	
08AUG17	B 1 PD08NOV17	20310.00	20877.00	USD	2,835.00	
08AUG17	B 2 PD08NOV17	20290.00	20877.00	USD	5,870.00	
08AUG17	S 1 PD08NOV17	20295.00	20877.00	USD	-2,910.00	
08AUG17	B 1 PD08NOV17	20250.00	20877.00	USD	3,135.00	
08AUG17	B 1 PD08NOV17	20245.00	20877.00	USD	3,160.00	
08AUG17	B 1 PD08NOV17	20210.00	20877.00	USD	3,335.00	
08AUG17	B 1 PD08NOV17	20215.00	20877.00	USD	3,310.00	
08AUG17	B 1 PD08NOV17	20195.00	20877.00	USD	3,410.00	
08AUG17	B 1 PD08NOV17	20185.00	20877.00	USD	3,460.00	
08AUG17	B 1 PD08NOV17	20190.00	20877.00	USD	3,435.00	
08AUG17	B 1 PD08NOV17	20165.00	20877.00	USD	3,560.00	
08AUG17	S 1 PD08NOV17	20230.00	20877.00	USD	-3,235.00	
08AUG17	B 1 PD08NOV17	20320.00	20877.00	USD	2,785.00	
08AUG17	B 2 PD08NOV17	20310.00	20877.00	USD	5,670.00	
08AUG17	B 1 PD08NOV17	20285.00	20877.00	USD	2,960.00	
08AUG17	B 1 PD08NOV17	20280.00	20877.00	USD	2,985.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
08AUG17	B 1 PD08NOV17	20275.00	20877.00	USD	3,010.00	
08AUG17	B 1 PD08NOV17	20200.00	20877.00	USD	3,385.00	
08AUG17	B 1 PD08NOV17	20255.00	20877.00	USD	3,110.00	
09AUG17	S 2 PD08NOV17	20205.56	20877.00	USD	-6,714.40	
10AUG17	S 5 PD08NOV17	20325.76	20877.00	USD	-13,781.00	
17AUG17	S 19 PD08NOV17	20225.00	20877.00	USD	-61,940.00	
22AUG17	S 6 PD08NOV17	20382.42	20877.00	USD	-14,837.40	
23AUG17	S 1 PD08NOV17	20565.77	20877.00	USD	-1,556.15	
05SEP17	S 5 PD08NOV17	20772.50	20877.00	USD	-2,612.50	
11SEP17	S 2 PD08NOV17	20795.00	20877.00	USD	-820.00	
12SEP17	S 5 PD08NOV17	20723.75	20877.00	USD	-3,831.25	
	NET 0 AVG BGHT 20338.16 SOLD 20403.70				**16,057.30**	**0.00**
09AUG17	S 1 PD09NOV17	20285.00	20875.60	USD	-2,953.00	
09AUG17	S 1 PD09NOV17	20365.00	20875.60	USD	-2,553.00	
09AUG17	B 1 PD09NOV17	20310.00	20875.60	USD	2,828.00	
09AUG17	B 1 PD09NOV17	20355.00	20875.60	USD	2,603.00	
09AUG17	B 1 PD09NOV17	20450.00	20875.60	USD	2,128.00	
09AUG17	B 1 PD09NOV17	20370.00	20875.60	USD	2,528.00	
09AUG17	S 2 PD09NOV17	20390.00	20875.60	USD	-4,856.00	
09AUG17	S 1 PD09NOV17	20490.00	20875.60	USD	-1,928.00	
09AUG17	B 1 PD09NOV17	20290.00	20875.60	USD	2,928.00	
09AUG17	B 1 PD09NOV17	20250.00	20875.60	USD	3,128.00	
09AUG17	B 2 PD09NOV17	20230.00	20875.60	USD	6,456.00	
09AUG17	B 1 PD09NOV17	20235.00	20875.60	USD	3,203.00	
22AUG17	S 1 PD09NOV17	20381.74	20875.60	USD	-2,469.30	
05SEP17	B 1 PD09NOV17	20772.00	20875.60	USD	518.00	
11SEP17	S 1 PD09NOV17	20793.00	20875.60	USD	-413.00	
12SEP17	S 2 PD09NOV17	20722.00	20875.60	USD	-1,536.00	
12SEP17	S 1 PD09NOV17	20721.00	20875.60	USD	-773.00	
	NET 0 AVG BGHT 20349.20 SOLD 20525.97				**8,838.70**	**0.00**
10AUG17	B 1 PD10NOV17	20300.00	20874.20	USD	2,871.00	
10AUG17	S 1 PD10NOV17	20400.00	20874.20	USD	-2,371.00	
10AUG17	S 1 PD10NOV17	20435.00	20874.20	USD	-2,196.00	
10AUG17	S 1 PD10NOV17	20355.00	20874.20	USD	-2,596.00	
10AUG17	B 1 PD10NOV17	20260.00	20874.20	USD	3,071.00	
10AUG17	B 1 PD10NOV17	20260.00	20874.20	USD	3,071.00	
10AUG17	B 2 PD10NOV17	20290.00	20874.20	USD	5,842.00	
10AUG17	S 1 PD10NOV17	20285.00	20874.20	USD	-2,946.00	
10AUG17	B 1 PD10NOV17	20280.00	20874.20	USD	2,971.00	
10AUG17	S 2 PD10NOV17	20245.00	20874.20	USD	-6,292.00	
10AUG17	B 1 PD10NOV17	20245.00	20874.20	USD	3,146.00	
10AUG17	B 1 PD10NOV17	20275.00	20874.20	USD	2,996.00	
10AUG17	B 1 PD10NOV17	20285.00	20874.20	USD	2,946.00	
10AUG17	S 1 PD10NOV17	20445.00	20874.20	USD	-2,146.00	
10AUG17	S 2 PD10NOV17	20450.00	20874.20	USD	-4,242.00	
10AUG17	B 1 PD10NOV17	20360.00	20874.20	USD	2,571.00	
10AUG17	B 1 PD10NOV17	20350.00	20874.20	USD	2,621.00	
10AUG17	B 1 PD10NOV17	20325.00	20874.20	USD	2,746.00	
10AUG17	B 3 PD10NOV17	20320.00	20874.20	USD	8,313.00	
10AUG17	B 1 PD10NOV17	20305.00	20874.20	USD	2,846.00	
10AUG17	S 1 PD10NOV17	20320.00	20874.20	USD	-2,771.00	
11AUG17	S 1 PD10NOV17	20240.00	20874.20	USD	-3,171.00	
11AUG17	S 2 PD10NOV17	20210.00	20874.20	USD	-6,642.00	
11AUG17	S 1 PD10NOV17	20225.00	20874.20	USD	-3,246.00	
11AUG17	S 1 PD10NOV17	20200.00	20874.20	USD	-3,371.00	
11AUG17	S 1 PD10NOV17	20220.00	20874.20	USD	-3,271.00	
11AUG17	S 1 PD10NOV17	20265.00	20874.20	USD	-3,046.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
11AUG17	S 1 PD10NOV17	20270.00	20874.20	USD	-3,021.00	
11AUG17	S 2 PD10NOV17	20230.00	20874.20	USD	-6,442.00	
11AUG17	S 1 PD10NOV17	20220.00	20874.20	USD	-3,271.00	
11AUG17	S 1 PD10NOV17	20285.00	20874.20	USD	-2,946.00	
11AUG17	S 1 PD10NOV17	20235.00	20874.20	USD	-3,196.00	
11AUG17	S 1 PD10NOV17	20230.00	20874.20	USD	-3,221.00	
11AUG17	S 1 PD10NOV17	20340.00	20874.20	USD	-2,671.00	
11AUG17	S 1 PD10NOV17	20335.00	20874.20	USD	-2,696.00	
11AUG17	S 1 PD10NOV17	20355.00	20874.20	USD	-2,596.00	
11AUG17	S 1 PD10NOV17	20345.00	20874.20	USD	-2,646.00	
11AUG17	B 1 PD10NOV17	20305.00	20874.20	USD	2,846.00	
11AUG17	S 1 PD10NOV17	20290.00	20874.20	USD	-2,921.00	
11AUG17	S 1 PD10NOV17	20340.00	20874.20	USD	-2,671.00	
11AUG17	S 1 PD10NOV17	20370.00	20874.20	USD	-2,521.00	
11AUG17	S 2 PD10NOV17	20355.00	20874.20	USD	-5,192.00	
11AUG17	B 1 PD10NOV17	20345.00	20874.20	USD	2,646.00	
11AUG17	S 1 PD10NOV17	20315.00	20874.20	USD	-2,796.00	
11AUG17	S 1 PD10NOV17	20290.00	20874.20	USD	-2,921.00	
17AUG17	B 9 PD10NOV17	20224.00	20874.20	USD	29,259.00	
22AUG17	B 1 PD10NOV17	20381.05	20874.20	USD	2,465.75	
05SEP17	B 4 PD10NOV17	20770.50	20874.20	USD	2,074.00	
11SEP17	S 1 PD10NOV17	20791.00	20874.20	USD	-416.00	
12SEP17	B 4 PD10NOV17	20721.25	20874.20	USD	3,059.00	
	NET 0 AVG BGHT 20383.31 SOLD 20316.14				**-12,090.25**	**0.00**
14AUG17	S 1 PD14NOV17	20230.00	20871.40	USD	-3,207.00	
14AUG17	S 1 PD14NOV17	20235.00	20871.40	USD	-3,182.00	
14AUG17	S 2 PD14NOV17	20250.00	20871.40	USD	-6,214.00	
14AUG17	S 2 PD14NOV17	20255.00	20871.40	USD	-6,164.00	
14AUG17	S 1 PD14NOV17	20295.00	20871.40	USD	-2,882.00	
14AUG17	S 1 PD14NOV17	20285.00	20871.40	USD	-2,932.00	
14AUG17	S 1 PD14NOV17	20380.00	20871.40	USD	-2,457.00	
14AUG17	S 1 PD14NOV17	20375.00	20871.40	USD	-2,482.00	
14AUG17	S 1 PD14NOV17	20260.00	20871.40	USD	-3,057.00	
14AUG17	S 1 PD14NOV17	20305.00	20871.40	USD	-2,832.00	
14AUG17	S 2 PD14NOV17	20340.00	20871.40	USD	-5,314.00	
14AUG17	S 1 PD14NOV17	20310.00	20871.40	USD	-2,807.00	
14AUG17	S 1 PD14NOV17	20275.00	20871.40	USD	-2,982.00	
14AUG17	S 1 PD14NOV17	20280.00	20871.40	USD	-2,957.00	
14AUG17	B 1 PD14NOV17	20255.00	20871.40	USD	3,082.00	
14AUG17	S 1 PD14NOV17	20265.00	20871.40	USD	-3,032.00	
14AUG17	B 1 PD14NOV17	20275.00	20871.40	USD	2,982.00	
14AUG17	S 1 PD14NOV17	20320.00	20871.40	USD	-2,757.00	
14AUG17	S 2 PD14NOV17	20290.00	20871.40	USD	-5,814.00	
14AUG17	S 1 PD14NOV17	20325.00	20871.40	USD	-2,732.00	
14AUG17	B 1 PD14NOV17	20320.00	20871.40	USD	2,757.00	
14AUG17	B 1 PD14NOV17	20300.00	20871.40	USD	2,857.00	
14AUG17	S 1 PD14NOV17	20300.00	20871.40	USD	-2,857.00	
17AUG17	B 14 PD14NOV17	20222.00	20871.40	USD	45,458.00	
17AUG17	B 1 PD14NOV17	20221.00	20871.40	USD	3,252.00	
05SEP17	B 1 PD14NOV17	20766.50	20871.40	USD	524.50	
05SEP17	B 1 PD14NOV17	20767.50	20871.40	USD	519.50	
11SEP17	B 3 PD14NOV17	20788.00	20871.40	USD	1,251.00	
12SEP17	S 1 PD14NOV17	20716.75	20871.40	USD	-773.25	
	NET 0 AVG BGHT 20349.04 SOLD 20309.45				**-4,751.25**	**0.00**
15AUG17	S 1 PD15NOV17	20375.00	20870.00	USD	-2,475.00	
15AUG17	S 1 PD15NOV17	20255.00	20870.00	USD	-3,075.00	
15AUG17	B 1 PD15NOV17	20240.00	20870.00	USD	3,150.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
15AUG17	B 1 PD15NOV17	20235.00	20870.00	USD	3,175.00	
15AUG17	B 1 PD15NOV17	20225.00	20870.00	USD	3,225.00	
15AUG17	B 1 PD15NOV17	20190.00	20870.00	USD	3,400.00	
15AUG17	B 1 PD15NOV17	20185.00	20870.00	USD	3,425.00	
15AUG17	B 1 PD15NOV17	20180.00	20870.00	USD	3,450.00	
15AUG17	B 1 PD15NOV17	20115.00	20870.00	USD	3,775.00	
15AUG17	B 3 PD15NOV17	19640.00	20870.00	USD	18,450.00	
15AUG17	B 2 PD15NOV17	19320.00	20870.00	USD	15,500.00	
15AUG17	B 1 PD15NOV17	19310.00	20870.00	USD	7,800.00	
15AUG17	B 1 PD15NOV17	19305.00	20870.00	USD	7,825.00	
15AUG17	B 1 PD15NOV17	19210.00	20870.00	USD	8,300.00	
15AUG17	B 1 PD15NOV17	19650.00	20870.00	USD	6,100.00	
15AUG17	B 3 PD15NOV17	19670.00	20870.00	USD	18,000.00	
15AUG17	B 1 PD15NOV17	19700.00	20870.00	USD	5,850.00	
15AUG17	B 1 PD15NOV17	19710.00	20870.00	USD	5,800.00	
15AUG17	S 1 PD15NOV17	20140.00	20870.00	USD	-3,650.00	
15AUG17	S 1 PD15NOV17	19980.00	20870.00	USD	-4,450.00	
15AUG17	S 1 PD15NOV17	20035.00	20870.00	USD	-4,175.00	
15AUG17	B 1 PD15NOV17	19925.00	20870.00	USD	4,725.00	
15AUG17	B 1 PD15NOV17	19900.00	20870.00	USD	4,850.00	
15AUG17	B 1 PD15NOV17	19890.00	20870.00	USD	4,900.00	
15AUG17	S 1 PD15NOV17	19900.00	20870.00	USD	-4,850.00	
15AUG17	B 1 PD15NOV17	19845.00	20870.00	USD	5,125.00	
15AUG17	B 1 PD15NOV17	19835.00	20870.00	USD	5,175.00	
15AUG17	B 1 PD15NOV17	19805.00	20870.00	USD	5,325.00	
15AUG17	B 1 PD15NOV17	19770.00	20870.00	USD	5,500.00	
15AUG17	B 1 PD15NOV17	19750.00	20870.00	USD	5,600.00	
15AUG17	S 1 PD15NOV17	19825.00	20870.00	USD	-5,225.00	
15AUG17	B 1 PD15NOV17	19830.00	20870.00	USD	5,200.00	
15AUG17	S 1 PD15NOV17	19870.00	20870.00	USD	-5,000.00	
15AUG17	S 1 PD15NOV17	19925.00	20870.00	USD	-4,725.00	
15AUG17	S 2 PD15NOV17	19945.00	20870.00	USD	-9,250.00	
15AUG17	S 1 PD15NOV17	19950.00	20870.00	USD	-4,600.00	
15AUG17	B 1 PD15NOV17	19995.00	20870.00	USD	4,375.00	
15AUG17	S 1 PD15NOV17	19995.00	20870.00	USD	-4,375.00	
15AUG17	B 1 PD15NOV17	19800.00	20870.00	USD	5,350.00	
17AUG17	S 1 PD15NOV17	20219.00	20870.00	USD	-3,255.00	
22AUG17	S 4 PD15NOV17	20375.00	20870.00	USD	-9,900.00	
23AUG17	S 1 PD15NOV17	20560.00	20870.00	USD	-1,550.00	
05SEP17	S 3 PD15NOV17	20765.00	20870.00	USD	-1,575.00	
11SEP17	S 3 PD15NOV17	20785.00	20870.00	USD	-1,275.00	
12SEP17	S 6 PD15NOV17	20715.00	20870.00	USD	-4,650.00	
15SEP17	S 1 PD15NOV17	20580.00	20870.00	USD	-1,450.00	
	NET 0 AVG BGHT 19786.56 SOLD 20373.09				**93,845.00**	**0.00**
16AUG17	S 1 PD16NOV17	19940.00	20868.75	USD	-4,643.75	
16AUG17	S 1 PD16NOV17	19985.00	20868.75	USD	-4,418.75	
16AUG17	S 1 PD16NOV17	19990.00	20868.75	USD	-4,393.75	
16AUG17	S 2 PD16NOV17	19970.00	20868.75	USD	-8,987.50	
16AUG17	B 1 PD16NOV17	19980.00	20868.75	USD	4,443.75	
16AUG17	B 1 PD16NOV17	19995.00	20868.75	USD	4,368.75	
16AUG17	B 1 PD16NOV17	20005.00	20868.75	USD	4,318.75	
16AUG17	B 2 PD16NOV17	20030.00	20868.75	USD	8,387.50	
16AUG17	B 1 PD16NOV17	20030.00	20868.75	USD	4,193.75	
16AUG17	B 1 PD16NOV17	20040.00	20868.75	USD	4,143.75	
16AUG17	B 1 PD16NOV17	20035.00	20868.75	USD	4,168.75	
22AUG17	B 1 PD16NOV17	20371.00	20868.75	USD	2,488.75	
23AUG17	S 1 PD16NOV17	20554.17	20868.75	USD	-1,572.90	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
05SEP17	S 1 PD16NOV17	20761.31	20868.75	USD	-537.20	
12SEP17	S 2 PD16NOV17	20712.78	20868.75	USD	-1,559.70	
	NET 0 AVG BGHT 20057.33 SOLD 20288.45				**10,400.20**	**0.00**
17AUG17	B 1 PD17NOV17	20095.00	20867.50	USD	3,862.50	
17AUG17	B 2 PD17NOV17	20100.00	20867.50	USD	7,675.00	
17AUG17	S 1 PD17NOV17	20145.00	20867.50	USD	-3,612.50	
17AUG17	S 1 PD17NOV17	20195.00	20867.50	USD	-3,362.50	
17AUG17	S 2 PD17NOV17	20210.00	20867.50	USD	-6,575.00	
17AUG17	S 3 PD17NOV17	20230.00	20867.50	USD	-9,562.50	
17AUG17	B 4 PD17NOV17	20260.00	20867.50	USD	12,150.00	
17AUG17	S 2 PD17NOV17	20235.00	20867.50	USD	-6,325.00	
17AUG17	S 1 PD17NOV17	20320.00	20867.50	USD	-2,737.50	
17AUG17	S 1 PD17NOV17	20290.00	20867.50	USD	-2,887.50	
17AUG17	S 2 PD17NOV17	20270.00	20867.50	USD	-5,975.00	
17AUG17	S 2 PD17NOV17	20295.00	20867.50	USD	-5,725.00	
17AUG17	S 2 PD17NOV17	20255.00	20867.50	USD	-6,125.00	
17AUG17	S 1 PD17NOV17	20350.00	20867.50	USD	-2,587.50	
17AUG17	S 1 PD17NOV17	20345.00	20867.50	USD	-2,612.50	
17AUG17	B 1 PD17NOV17	20135.00	20867.50	USD	3,662.50	
17AUG17	B 1 PD17NOV17	20135.00	20867.50	USD	3,662.50	
17AUG17	B 2 PD17NOV17	20020.00	20867.50	USD	8,475.00	
17AUG17	S 1 PD17NOV17	20180.00	20867.50	USD	-3,437.50	
17AUG17	S 1 PD17NOV17	20185.00	20867.50	USD	-3,412.50	
17AUG17	S 1 PD17NOV17	20200.00	20867.50	USD	-3,337.50	
17AUG17	S 1 PD17NOV17	20215.00	20867.50	USD	-3,262.50	
17AUG17	S 1 PD17NOV17	20185.00	20867.50	USD	-3,412.50	
17AUG17	S 1 PD17NOV17	20215.00	20867.50	USD	-3,262.50	
17AUG17	S 1 PD17NOV17	20205.00	20867.50	USD	-3,312.50	
18AUG17	S 1 PD17NOV17	20170.00	20867.50	USD	-3,487.50	
18AUG17	S 1 PD17NOV17	20350.00	20867.50	USD	-2,587.50	
18AUG17	S 1 PD17NOV17	20140.00	20867.50	USD	-3,637.50	
18AUG17	B 1 PD17NOV17	20275.00	20867.50	USD	2,962.50	
18AUG17	B 4 PD17NOV17	20290.00	20867.50	USD	11,550.00	
18AUG17	S 2 PD17NOV17	20295.00	20867.50	USD	-5,725.00	
18AUG17	B 1 PD17NOV17	20150.00	20867.50	USD	3,587.50	
18AUG17	B 1 PD17NOV17	20160.00	20867.50	USD	3,537.50	
18AUG17	B 1 PD17NOV17	20145.00	20867.50	USD	3,612.50	
18AUG17	B 1 PD17NOV17	20155.00	20867.50	USD	3,562.50	
22AUG17	B 3 PD17NOV17	20366.00	20867.50	USD	7,522.50	
22AUG17	S 1 PD17NOV17	20364.00	20867.50	USD	-2,517.50	
23AUG17	B 2 PD17NOV17	20549.33	20867.50	USD	3,181.70	
05SEP17	B 2 PD17NOV17	20760.62	20867.50	USD	1,068.80	
12SEP17	B 5 PD17NOV17	20711.56	20867.50	USD	3,898.50	
	NET 0 AVG BGHT 20342.68 SOLD 20245.75				**-15,508.50**	**0.00**
21AUG17	B 1 PD21NOV17	20320.00	20865.00	USD	2,725.00	
21AUG17	S 1 PD21NOV17	20320.00	20865.00	USD	-2,725.00	
21AUG17	S 2 PD21NOV17	20360.00	20865.00	USD	-5,050.00	
21AUG17	S 2 PD21NOV17	20365.00	20865.00	USD	-5,000.00	
21AUG17	S 1 PD21NOV17	20275.00	20865.00	USD	-2,950.00	
21AUG17	B 1 PD21NOV17	20275.00	20865.00	USD	2,950.00	
21AUG17	S 2 PD21NOV17	20375.00	20865.00	USD	-4,900.00	
21AUG17	S 1 PD21NOV17	20395.00	20865.00	USD	-2,350.00	
21AUG17	S 1 PD21NOV17	20430.00	20865.00	USD	-2,175.00	
21AUG17	S 1 PD21NOV17	20395.00	20865.00	USD	-2,350.00	
21AUG17	S 1 PD21NOV17	20375.00	20865.00	USD	-2,450.00	
21AUG17	S 1 PD21NOV17	20385.00	20865.00	USD	-2,400.00	
21AUG17	S 1 PD21NOV17	20475.00	20865.00	USD	-1,950.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
21AUG17	B 1 PD21NOV17	20480.00	20865.00	USD	1,925.00	
21AUG17	S 2 PD21NOV17	20480.00	20865.00	USD	-3,850.00	
21AUG17	S 1 PD21NOV17	20450.00	20865.00	USD	-2,075.00	
21AUG17	S 2 PD21NOV17	20465.00	20865.00	USD	-4,000.00	
23AUG17	B 1 PD21NOV17	20537.67	20865.00	USD	1,636.65	
05SEP17	B 1 PD21NOV17	20755.23	20865.00	USD	548.85	
06SEP17	B 1 PD21NOV17	20811.43	20865.00	USD	267.85	
11SEP17	B 1 PD21NOV17	20777.76	20865.00	USD	436.20	
12SEP17	B 4 PD21NOV17	20707.11	20865.00	USD	3,157.80	
14SEP17	B 7 PD21NOV17	20559.00	20865.00	USD	10,710.00	
15SEP17	B 1 PD21NOV17	20573.38	20865.00	USD	1,458.10	
	NET 0 AVG BGHT 20593.26 SOLD 20399.47				**-18,409.55**	**0.00**
22AUG17	S 1 PD22NOV17	20435.00	20863.75	USD	-2,143.75	
22AUG17	S 2 PD22NOV17	20430.00	20863.75	USD	-4,337.50	
22AUG17	S 1 PD22NOV17	20425.00	20863.75	USD	-2,193.75	
22AUG17	B 1 PD22NOV17	20435.00	20863.75	USD	2,143.75	
22AUG17	S 1 PD22NOV17	20420.00	20863.75	USD	-2,218.75	
22AUG17	S 1 PD22NOV17	20430.00	20863.75	USD	-2,168.75	
22AUG17	S 1 PD22NOV17	20445.00	20863.75	USD	-2,093.75	
22AUG17	S 1 PD22NOV17	20425.00	20863.75	USD	-2,193.75	
22AUG17	B 1 PD22NOV17	20485.00	20863.75	USD	1,893.75	
22AUG17	S 2 PD22NOV17	20460.00	20863.75	USD	-4,037.50	
22AUG17	S 3 PD22NOV17	20450.00	20863.75	USD	-6,206.25	
22AUG17	S 1 PD22NOV17	20440.00	20863.75	USD	-2,118.75	
22AUG17	B 1 PD22NOV17	20270.00	20863.75	USD	2,968.75	
22AUG17	S 1 PD22NOV17	20270.00	20863.75	USD	-2,968.75	
22AUG17	S 1 PD22NOV17	20280.00	20863.75	USD	-2,918.75	
11SEP17	B 1 PD22NOV17	20775.71	20863.75	USD	440.20	
12SEP17	B 3 PD22NOV17	20704.89	20863.75	USD	2,382.90	
12SEP17	B 2 PD22NOV17	20703.89	20863.75	USD	1,598.60	
14SEP17	B 6 PD22NOV17	20557.50	20863.75	USD	9,187.50	
	NET -1 AVG BGHT 20588.88 SOLD 20418.75				**-14,984.55**	**0.00**
23AUG17	S 1 PD24NOV17	20310.00	20862.50	USD	-2,762.50	
23AUG17	S 1 PD24NOV17	20335.00	20862.50	USD	-2,637.50	
23AUG17	S 1 PD24NOV17	20400.00	20862.50	USD	-2,312.50	
23AUG17	S 2 PD24NOV17	20350.00	20862.50	USD	-5,125.00	
23AUG17	S 1 PD24NOV17	20390.00	20862.50	USD	-2,362.50	
23AUG17	S 1 PD24NOV17	20375.00	20862.50	USD	-2,437.50	
23AUG17	B 1 PD24NOV17	20355.00	20862.50	USD	2,537.50	
23AUG17	B 1 PD24NOV17	20325.00	20862.50	USD	2,687.50	
23AUG17	B 1 PD24NOV17	20350.00	20862.50	USD	2,562.50	
23AUG17	B 1 PD24NOV17	20455.00	20862.50	USD	2,037.50	
23AUG17	S 1 PD24NOV17	20395.00	20862.50	USD	-2,337.50	
23AUG17	S 1 PD24NOV17	20425.00	20862.50	USD	-2,187.50	
23AUG17	S 1 PD24NOV17	20445.00	20862.50	USD	-2,087.50	
23AUG17	B 1 PD24NOV17	20470.00	20862.50	USD	1,962.50	
23AUG17	B 2 PD24NOV17	20515.00	20862.50	USD	3,475.00	
23AUG17	B 1 PD24NOV17	20540.00	20862.50	USD	1,612.50	
24AUG17	S 1 PD24NOV17	20530.00	20862.50	USD	-1,662.50	
24AUG17	B 1 PD24NOV17	20535.00	20862.50	USD	1,637.50	
24AUG17	B 1 PD24NOV17	20430.00	20862.50	USD	2,162.50	
24AUG17	B 1 PD24NOV17	20390.00	20862.50	USD	2,362.50	
24AUG17	S 1 PD24NOV17	20450.00	20862.50	USD	-2,062.50	
24AUG17	B 1 PD24NOV17	20510.00	20862.50	USD	1,762.50	
24AUG17	B 2 PD24NOV17	20465.00	20862.50	USD	3,975.00	
24AUG17	S 1 PD24NOV17	20455.00	20862.50	USD	-2,037.50	
24AUG17	B 1 PD24NOV17	20460.00	20862.50	USD	2,012.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
24AUG17	B 1 PD24NOV17	20425.00	20862.50	USD	2,187.50	
24AUG17	B 1 PD24NOV17	20410.00	20862.50	USD	2,262.50	
24AUG17	B 1 PD24NOV17	20385.00	20862.50	USD	2,387.50	
24AUG17	B 2 PD24NOV17	20380.00	20862.50	USD	4,825.00	
24AUG17	B 3 PD24NOV17	20400.00	20862.50	USD	6,937.50	
24AUG17	S 2 PD24NOV17	20490.00	20862.50	USD	-3,725.00	
24AUG17	B 2 PD24NOV17	20450.00	20862.50	USD	4,125.00	
24AUG17	S 1 PD24NOV17	20445.00	20862.50	USD	-2,087.50	
24AUG17	S 1 PD24NOV17	20430.00	20862.50	USD	-2,162.50	
25AUG17	S 1 PD24NOV17	20410.00	20862.50	USD	-2,262.50	
25AUG17	S 1 PD24NOV17	20485.00	20862.50	USD	-1,887.50	
25AUG17	S 1 PD24NOV17	20475.00	20862.50	USD	-1,937.50	
25AUG17	S 1 PD24NOV17	20430.00	20862.50	USD	-2,162.50	
25AUG17	S 2 PD24NOV17	20425.00	20862.50	USD	-4,375.00	
25AUG17	S 1 PD24NOV17	20420.00	20862.50	USD	-2,212.50	
25AUG17	S 3 PD24NOV17	20405.00	20862.50	USD	-6,862.50	
25AUG17	S 1 PD24NOV17	20410.00	20862.50	USD	-2,262.50	
25AUG17	S 1 PD24NOV17	20375.00	20862.50	USD	-2,437.50	
05SEP17	B 2 PD24NOV17	20748.85	20862.50	USD	1,136.50	
11SEP17	B 1 PD24NOV17	20773.65	20862.50	USD	444.25	
14SEP17	B 1 PD24NOV17	20556.00	20862.50	USD	1,532.50	
20SEP17	B 1 PD24NOV17	20655.00	20862.50	USD	1,037.50	
	NET +1 AVG BGHT 20478.08 SOLD 20418.45				**-6,724.25**	**0.00**
29AUG17	B 1 PD29NOV17	20445.00	20858.75	USD	2,068.75	
29AUG17	S 1 PD29NOV17	20680.00	20858.75	USD	-893.75	
29AUG17	B 1 PD29NOV17	20450.00	20858.75	USD	2,043.75	
29AUG17	B 1 PD29NOV17	20535.00	20858.75	USD	1,618.75	
29AUG17	B 5 PD29NOV17	20550.00	20858.75	USD	7,718.75	
29AUG17	B 1 PD29NOV17	20530.00	20858.75	USD	1,643.75	
29AUG17	B 2 PD29NOV17	20415.00	20858.75	USD	4,437.50	
29AUG17	B 1 PD29NOV17	20505.00	20858.75	USD	1,768.75	
29AUG17	B 1 PD29NOV17	20435.00	20858.75	USD	2,118.75	
29AUG17	B 1 PD29NOV17	20430.00	20858.75	USD	2,143.75	
29AUG17	B 1 PD29NOV17	20545.00	20858.75	USD	1,568.75	
29AUG17	B 1 PD29NOV17	20640.00	20858.75	USD	1,093.75	
29AUG17	S 1 PD29NOV17	20465.00	20858.75	USD	-1,968.75	
29AUG17	B 1 PD29NOV17	20495.00	20858.75	USD	1,818.75	
29AUG17	B 1 PD29NOV17	20475.00	20858.75	USD	1,918.75	
29AUG17	B 2 PD29NOV17	20500.00	20858.75	USD	3,587.50	
29AUG17	B 1 PD29NOV17	20450.00	20858.75	USD	2,043.75	
29AUG17	B 1 PD29NOV17	20470.00	20858.75	USD	1,943.75	
29AUG17	S 1 PD29NOV17	20450.00	20858.75	USD	-2,043.75	
29AUG17	B 3 PD29NOV17	20490.00	20858.75	USD	5,531.25	
29AUG17	B 1 PD29NOV17	20465.00	20858.75	USD	1,968.75	
29AUG17	B 1 PD29NOV17	20420.00	20858.75	USD	2,193.75	
29AUG17	B 1 PD29NOV17	20370.00	20858.75	USD	2,443.75	
29AUG17	B 2 PD29NOV17	20400.00	20858.75	USD	4,587.50	
29AUG17	S 1 PD29NOV17	20520.00	20858.75	USD	-1,693.75	
29AUG17	B 1 PD29NOV17	20520.00	20858.75	USD	1,693.75	
29AUG17	B 4 PD29NOV17	20495.00	20858.75	USD	7,275.00	
29AUG17	B 1 PD29NOV17	20435.00	20858.75	USD	2,118.75	
29AUG17	B 1 PD29NOV17	20420.00	20858.75	USD	2,193.75	
05SEP17	S 2 PD29NOV17	20739.77	20858.75	USD	-1,189.80	
06SEP17	S 1 PD29NOV17	20799.71	20858.75	USD	-295.20	
11SEP17	S 3 PD29NOV17	20766.47	20858.75	USD	-1,384.20	
12SEP17	S 2 PD29NOV17	20694.00	20858.75	USD	-1,647.50	
12SEP17	S 10 PD29NOV17	20695.00	20858.75	USD	-8,187.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
14SEP17	S	14	PD29NOV17	20550.50	20858.75	USD	-21,577.50	
14SEP17	S	1	PD29NOV17	20551.50	20858.75	USD	-1,536.25	
	NET	**0**	**AVG BGHT 20482.84**	**SOLD 20629.46**			**27,125.80**	**0.00**
30AUG17	B	1	PD30NOV17	20450.00	20857.50	USD	2,037.50	
30AUG17	B	1	PD30NOV17	20430.00	20857.50	USD	2,137.50	
30AUG17	B	1	PD30NOV17	20445.00	20857.50	USD	2,062.50	
30AUG17	B	1	PD30NOV17	20395.00	20857.50	USD	2,312.50	
30AUG17	S	1	PD30NOV17	20445.00	20857.50	USD	-2,062.50	
30AUG17	S	1	PD30NOV17	20450.00	20857.50	USD	-2,037.50	
30AUG17	S	2	PD30NOV17	20530.00	20857.50	USD	-3,275.00	
30AUG17	S	1	PD30NOV17	20535.00	20857.50	USD	-1,612.50	
30AUG17	S	1	PD30NOV17	20545.00	20857.50	USD	-1,562.50	
30AUG17	S	1	PD30NOV17	20650.00	20857.50	USD	-1,037.50	
30AUG17	S	1	PD30NOV17	20545.00	20857.50	USD	-1,562.50	
30AUG17	S	1	PD30NOV17	20635.00	20857.50	USD	-1,112.50	
31AUG17	B	1	PD30NOV17	20635.00	20857.50	USD	1,112.50	
31AUG17	S	1	PD30NOV17	20625.00	20857.50	USD	-1,162.50	
31AUG17	S	1	PD30NOV17	20600.00	20857.50	USD	-1,287.50	
05SEP17	B	2	PD30NOV17	20738.08	20857.50	USD	1,194.20	
11SEP17	B	2	PD30NOV17	20765.41	20857.50	USD	920.90	
12SEP17	B	2	PD30NOV17	20692.78	20857.50	USD	1,647.20	
	NET	**0**	**AVG BGHT 20613.41**	**SOLD 20553.64**			**-3,287.70**	**0.00**
01SEP17	S	1	PD01DEC17	20655.00	20856.25	USD	-1,006.25	
01SEP17	S	2	PD01DEC17	20650.00	20856.25	USD	-2,062.50	
01SEP17	S	2	PD01DEC17	20700.00	20856.25	USD	-1,562.50	
01SEP17	S	1	PD01DEC17	20715.00	20856.25	USD	-706.25	
01SEP17	B	1	PD01DEC17	20740.00	20856.25	USD	581.25	
01SEP17	B	1	PD01DEC17	20730.00	20856.25	USD	631.25	
01SEP17	B	1	PD01DEC17	20755.00	20856.25	USD	506.25	
01SEP17	S	1	PD01DEC17	20710.00	20856.25	USD	-731.25	
01SEP17	B	1	PD01DEC17	20750.00	20856.25	USD	531.25	
01SEP17	B	1	PD01DEC17	20700.00	20856.25	USD	781.25	
01SEP17	S	1	PD01DEC17	20770.00	20856.25	USD	-431.25	
01SEP17	B	1	PD01DEC17	20735.00	20856.25	USD	606.25	
01SEP17	B	2	PD01DEC17	20740.00	20856.25	USD	1,162.50	
01SEP17	B	1	PD01DEC17	20680.00	20856.25	USD	881.25	
01SEP17	B	1	PD01DEC17	20675.00	20856.25	USD	906.25	
01SEP17	B	1	PD01DEC17	20665.00	20856.25	USD	956.25	
01SEP17	B	1	PD01DEC17	20695.00	20856.25	USD	806.25	
01SEP17	B	1	PD01DEC17	20690.00	20856.25	USD	831.25	
01SEP17	B	1	PD01DEC17	20675.00	20856.25	USD	906.25	
05SEP17	S	2	PD01DEC17	20734.38	20856.25	USD	-1,218.70	
11SEP17	S	2	PD01DEC17	20762.35	20856.25	USD	-939.00	
12SEP17	S	2	PD01DEC17	20689.56	20856.25	USD	-1,666.90	
14SEP17	B	3	PD01DEC17	20548.50	20856.25	USD	4,616.25	
14SEP17	S	3	PD01DEC17	20548.50	20856.25	USD	-4,616.25	
	NET	**0**	**AVG BGHT 20683.26**	**SOLD 20680.48**			**-237.10**	**0.00**
04SEP17	B	1	PD04DEC17	20750.00	20855.00	USD	525.00	
04SEP17	B	1	PD04DEC17	20755.00	20855.00	USD	500.00	
04SEP17	B	2	PD04DEC17	20760.00	20855.00	USD	950.00	
04SEP17	B	1	PD04DEC17	20745.00	20855.00	USD	550.00	
04SEP17	B	1	PD04DEC17	20725.00	20855.00	USD	650.00	
04SEP17	S	1	PD04DEC17	20735.00	20855.00	USD	-600.00	
04SEP17	S	1	PD04DEC17	20675.00	20855.00	USD	-900.00	
04SEP17	S	1	PD04DEC17	20730.00	20855.00	USD	-625.00	
04SEP17	S	1	PD04DEC17	20750.00	20855.00	USD	-525.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
11SEP17	S 1 PD04DEC17	20760.29	20855.00	USD	-473.55	
14SEP17	S 3 PD04DEC17	20546.00	20855.00	USD	-4,635.00	
14SEP17	B 3 PD04DEC17	20548.00	20855.00	USD	4,605.00	
15SEP17	S 1 PD04DEC17	20557.14	20855.00	USD	-1,489.30	
	NET 0 AVG BGHT 20682.11 SOLD 20649.49				**-1,467.85**	**0.00**
05SEP17	S 1 PD05DEC17	20770.00	20853.75	USD	-418.75	
05SEP17	S 2 PD05DEC17	20700.00	20853.75	USD	-1,537.50	
05SEP17	S 2 PD05DEC17	20705.00	20853.75	USD	-1,487.50	
05SEP17	S 1 PD05DEC17	20685.00	20853.75	USD	-843.75	
05SEP17	B 1 PD05DEC17	20715.00	20853.75	USD	693.75	
05SEP17	S 1 PD05DEC17	20750.00	20853.75	USD	-518.75	
05SEP17	S 1 PD05DEC17	20780.00	20853.75	USD	-368.75	
05SEP17	S 1 PD05DEC17	20745.00	20853.75	USD	-543.75	
05SEP17	S 1 PD05DEC17	20735.00	20853.75	USD	-593.75	
05SEP17	S 1 PD05DEC17	20720.00	20853.75	USD	-668.75	
05SEP17	S 1 PD05DEC17	20715.00	20853.75	USD	-693.75	
05SEP17	B 1 PD05DEC17	20715.00	20853.75	USD	693.75	
05SEP17	S 1 PD05DEC17	20705.00	20853.75	USD	-743.75	
05SEP17	S 6 PD05DEC17	20710.00	20853.75	USD	-4,312.50	
05SEP17	S 1 PD05DEC17	20680.00	20853.75	USD	-868.75	
05SEP17	S 2 PD05DEC17	20730.00	20853.75	USD	-1,237.50	
05SEP17	S 4 PD05DEC17	20715.00	20853.75	USD	-2,775.00	
05SEP17	S 2 PD05DEC17	20710.00	20853.75	USD	-1,437.50	
05SEP17	S 1 PD05DEC17	20695.00	20853.75	USD	-793.75	
05SEP17	S 3 PD05DEC17	20700.00	20853.75	USD	-2,306.25	
11SEP17	B 2 PD05DEC17	20758.24	20853.75	USD	955.10	
11SEP17	B 4 PD05DEC17	20759.24	20853.75	USD	1,890.20	
12SEP17	B 8 PD05DEC17	20687.11	20853.75	USD	6,665.60	
14SEP17	B 5 PD05DEC17	20545.50	20853.75	USD	7,706.25	
15SEP17	B 1 PD05DEC17	20556.24	20853.75	USD	1,487.55	
18SEP17	B 1 PD05DEC17	20716.36	20853.75	USD	686.95	
19SEP17	B 2 PD05DEC17	20667.39	20853.75	USD	1,863.60	
21SEP17	B 7 PD05DEC17	20482.92	20853.75	USD	12,979.05	
	NET 0 AVG BGHT 20631.11 SOLD 20715.31				**13,471.80**	**0.00**
06SEP17	B 1 PD06DEC17	20630.00	20852.50	USD	1,112.50	
06SEP17	S 1 PD06DEC17	20640.00	20852.50	USD	-1,062.50	
06SEP17	S 1 PD06DEC17	20630.00	20852.50	USD	-1,112.50	
06SEP17	S 3 PD06DEC17	20635.00	20852.50	USD	-3,262.50	
06SEP17	S 1 PD06DEC17	20680.00	20852.50	USD	-862.50	
06SEP17	S 1 PD06DEC17	20760.00	20852.50	USD	-462.50	
06SEP17	S 2 PD06DEC17	20765.00	20852.50	USD	-875.00	
06SEP17	B 1 PD06DEC17	20775.00	20852.50	USD	387.50	
11SEP17	B 1 PD06DEC17	20757.18	20852.50	USD	476.60	
12SEP17	B 2 PD06DEC17	20684.89	20852.50	USD	1,676.10	
12SEP17	B 1 PD06DEC17	20683.89	20852.50	USD	843.05	
14SEP17	B 1 PD06DEC17	20545.00	20852.50	USD	1,537.50	
20SEP17	B 2 PD06DEC17	20641.67	20852.50	USD	2,108.30	
	NET 0 AVG BGHT 20671.58 SOLD 20682.78				**504.05**	**0.00**
07SEP17	B 1 PD07DEC17	20750.00	20851.25	USD	506.25	
07SEP17	S 1 PD07DEC17	20765.00	20851.25	USD	-431.25	
07SEP17	S 1 PD07DEC17	20740.00	20851.25	USD	-556.25	
07SEP17	B 2 PD07DEC17	20715.00	20851.25	USD	1,362.50	
07SEP17	B 1 PD07DEC17	20730.00	20851.25	USD	606.25	
07SEP17	B 2 PD07DEC17	20755.00	20851.25	USD	962.50	
07SEP17	S 1 PD07DEC17	20700.00	20851.25	USD	-756.25	
07SEP17	B 1 PD07DEC17	20775.00	20851.25	USD	381.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
07SEP17	B 1 PD07DEC17	20785.00	20851.25	USD	331.25	
11SEP17	S 1 PD07DEC17	20753.12	20851.25	USD	-490.65	
12SEP17	S 1 PD07DEC17	20680.67	20851.25	USD	-852.90	
14SEP17	S 2 PD07DEC17	20541.50	20851.25	USD	-3,097.50	
20SEP17	S 1 PD07DEC17	20639.00	20851.25	USD	-1,061.25	
	NET 0 AVG BGHT 20747.50 SOLD 20670.10				**-3,096.05**	**0.00**
08SEP17	B 2 PD08DEC17	20790.00	20850.00	USD	600.00	
08SEP17	B 1 PD08DEC17	20795.00	20850.00	USD	275.00	
08SEP17	B 2 PD08DEC17	20800.00	20850.00	USD	500.00	
08SEP17	B 1 PD08DEC17	20810.00	20850.00	USD	200.00	
08SEP17	S 1 PD08DEC17	20800.00	20850.00	USD	-250.00	
08SEP17	B 1 PD08DEC17	20740.00	20850.00	USD	550.00	
08SEP17	B 4 PD08DEC17	20750.00	20850.00	USD	2,000.00	
08SEP17	S 1 PD08DEC17	20705.00	20850.00	USD	-725.00	
08SEP17	S 3 PD08DEC17	20680.00	20850.00	USD	-2,550.00	
08SEP17	S 1 PD08DEC17	20695.00	20850.00	USD	-775.00	
08SEP17	S 1 PD08DEC17	20675.00	20850.00	USD	-875.00	
08SEP17	S 1 PD08DEC17	20690.00	20850.00	USD	-800.00	
08SEP17	B 1 PD08DEC17	20785.00	20850.00	USD	325.00	
08SEP17	B 1 PD08DEC17	20790.00	20850.00	USD	300.00	
08SEP17	S 1 PD08DEC17	20755.00	20850.00	USD	-475.00	
08SEP17	B 1 PD08DEC17	20745.00	20850.00	USD	525.00	
08SEP17	S 1 PD08DEC17	20710.00	20850.00	USD	-700.00	
08SEP17	S 1 PD08DEC17	20750.00	20850.00	USD	-500.00	
08SEP17	S 2 PD08DEC17	20700.00	20850.00	USD	-1,500.00	
08SEP17	S 1 PD08DEC17	20665.00	20850.00	USD	-925.00	
08SEP17	B 1 PD08DEC17	20560.00	20850.00	USD	1,450.00	
08SEP17	B 1 PD08DEC17	20590.00	20850.00	USD	1,300.00	
08SEP17	B 2 PD08DEC17	20545.00	20850.00	USD	3,050.00	
08SEP17	B 1 PD08DEC17	20525.00	20850.00	USD	1,625.00	
08SEP17	S 1 PD08DEC17	20630.00	20850.00	USD	-1,100.00	
08SEP17	S 1 PD08DEC17	20620.00	20850.00	USD	-1,150.00	
08SEP17	S 1 PD08DEC17	20575.00	20850.00	USD	-1,375.00	
08SEP17	S 1 PD08DEC17	20570.00	20850.00	USD	-1,400.00	
08SEP17	S 1 PD08DEC17	20520.00	20850.00	USD	-1,650.00	
08SEP17	S 1 PD08DEC17	20675.00	20850.00	USD	-875.00	
08SEP17	S 1 PD08DEC17	20665.00	20850.00	USD	-925.00	
08SEP17	S 1 PD08DEC17	20525.00	20850.00	USD	-1,625.00	
08SEP17	B 1 PD08DEC17	20525.00	20850.00	USD	1,625.00	
08SEP17	S 2 PD08DEC17	20550.00	20850.00	USD	-3,000.00	
11SEP17	S 1 PD08DEC17	20751.06	20850.00	USD	-494.70	
12SEP17	S 1 PD08DEC17	20679.44	20850.00	USD	-852.80	
21SEP17	B 6 PD08DEC17	20476.67	20850.00	USD	11,199.90	
	NET 0 AVG BGHT 20653.65 SOLD 20661.37				**1,002.40**	**0.00**
11SEP17	S 1 PD11DEC17	20735.00	20848.75	USD	-568.75	
11SEP17	S 1 PD11DEC17	20795.00	20848.75	USD	-268.75	
11SEP17	B 1 PD11DEC17	20710.00	20848.75	USD	693.75	
11SEP17	S 1 PD11DEC17	20765.00	20848.75	USD	-418.75	
11SEP17	S 1 PD11DEC17	20685.00	20848.75	USD	-818.75	
11SEP17	S 1 PD11DEC17	20670.00	20848.75	USD	-893.75	
11SEP17	S 3 PD11DEC17	20660.00	20848.75	USD	-2,831.25	
11SEP17	S 1 PD11DEC17	20655.00	20848.75	USD	-968.75	
11SEP17	B 1 PD11DEC17	20665.00	20848.75	USD	918.75	
11SEP17	B 1 PD11DEC17	20680.00	20848.75	USD	843.75	
11SEP17	S 2 PD11DEC17	20650.00	20848.75	USD	-1,987.50	
11SEP17	S 2 PD11DEC17	20700.00	20848.75	USD	-1,487.50	
11SEP17	B 1 PD11DEC17	20730.00	20848.75	USD	593.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
12SEP17	B 1 PD11DEC17	20678.22	20848.75	USD	852.65	
20SEP17	B 3 PD11DEC17	20636.67	20848.75	USD	3,181.20	
28SEP17	B 1 PD11DEC17	20653.75	20848.75	USD	975.00	
29SEP17	B 1 PD11DEC17	20734.58	20848.75	USD	570.85	
	NET -3 AVG BGHT 20676.16 SOLD 20691.15				**-1,614.05**	**0.00**
12SEP17	S 1 PD12DEC17	20715.00	20847.50	USD	-662.50	
12SEP17	S 1 PD12DEC17	20700.00	20847.50	USD	-737.50	
12SEP17	S 1 PD12DEC17	20690.00	20847.50	USD	-787.50	
12SEP17	S 3 PD12DEC17	20675.00	20847.50	USD	-2,587.50	
12SEP17	S 1 PD12DEC17	20695.00	20847.50	USD	-762.50	
12SEP17	B 1 PD12DEC17	20695.00	20847.50	USD	762.50	
12SEP17	B 1 PD12DEC17	20720.00	20847.50	USD	637.50	
12SEP17	B 2 PD12DEC17	20700.00	20847.50	USD	1,475.00	
12SEP17	S 1 PD12DEC17	20730.00	20847.50	USD	-587.50	
15SEP17	B 1 PD12DEC17	20545.71	20847.50	USD	1,508.95	
19SEP17	S 2 PD12DEC17	20657.70	20847.50	USD	-1,898.00	
	NET -5 AVG BGHT 20672.14 SOLD 20687.04				**-3,639.05**	**0.00**
13SEP17	B 1 PD13DEC17	20695.00	20846.25	USD	756.25	
13SEP17	B 2 PD13DEC17	20700.00	20846.25	USD	1,462.50	
13SEP17	S 1 PD13DEC17	20660.00	20846.25	USD	-931.25	
13SEP17	B 1 PD13DEC17	20665.00	20846.25	USD	906.25	
13SEP17	S 1 PD13DEC17	20655.00	20846.25	USD	-956.25	
13SEP17	S 1 PD13DEC17	20650.00	20846.25	USD	-981.25	
13SEP17	S 1 PD13DEC17	20705.00	20846.25	USD	-706.25	
13SEP17	S 1 PD13DEC17	20720.00	20846.25	USD	-631.25	
13SEP17	B 1 PD13DEC17	20525.00	20846.25	USD	1,606.25	
13SEP17	S 3 PD13DEC17	20575.00	20846.25	USD	-4,068.75	
14SEP17	S 3 PD13DEC17	20535.50	20846.25	USD	-4,661.25	
21SEP17	B 2 PD13DEC17	20470.42	20846.25	USD	3,758.30	
	NET -4 AVG BGHT 20603.69 SOLD 20611.05				**-4,446.70**	**0.00**
14SEP17	S 1 PD14DEC17	20630.00	20845.00	USD	-1,075.00	
14SEP17	B 1 PD14DEC17	20665.00	20845.00	USD	900.00	
14SEP17	B 1 PD14DEC17	20680.00	20845.00	USD	825.00	
14SEP17	B 4 PD14DEC17	20675.00	20845.00	USD	3,400.00	
14SEP17	S 1 PD14DEC17	20625.00	20845.00	USD	-1,100.00	
14SEP17	B 1 PD14DEC17	20620.00	20845.00	USD	1,125.00	
14SEP17	S 1 PD14DEC17	20600.00	20845.00	USD	-1,225.00	
14SEP17	S 1 PD14DEC17	20615.00	20845.00	USD	-1,150.00	
14SEP17	S 1 PD14DEC17	20600.00	20845.00	USD	-1,225.00	
14SEP17	B 1 PD14DEC17	20630.00	20845.00	USD	1,075.00	
14SEP17	S 1 PD14DEC17	20675.00	20845.00	USD	-850.00	
14SEP17	B 1 PD14DEC17	20615.00	20845.00	USD	1,150.00	
14SEP17	B 2 PD14DEC17	20600.00	20845.00	USD	2,450.00	
14SEP17	B 1 PD14DEC17	20595.00	20845.00	USD	1,250.00	
14SEP17	B 1 PD14DEC17	20665.00	20845.00	USD	900.00	
14SEP17	S 1 PD14DEC17	20655.00	20845.00	USD	-950.00	
14SEP17	B 1 PD14DEC17	20550.00	20845.00	USD	1,475.00	
14SEP17	S 1 PD14DEC17	20575.00	20845.00	USD	-1,350.00	
15SEP17	S 1 PD14DEC17	20540.90	20845.00	USD	-1,520.50	
18SEP17	S 1 PD14DEC17	20702.64	20845.00	USD	-711.80	
20SEP17	S 2 PD14DEC17	20630.67	20845.00	USD	-2,143.30	
21SEP17	S 1 PD14DEC17	20467.33	20845.00	USD	-1,888.35	
	NET +1 AVG BGHT 20637.14 SOLD 20611.32				**-638.95**	**0.00**
15SEP17	S 1 PD15DEC17	20570.00	20843.75	USD	-1,368.75	
15SEP17	S 1 PD15DEC17	20555.00	20843.75	USD	-1,443.75	
15SEP17	S 1 PD15DEC17	20550.00	20843.75	USD	-1,468.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
15SEP17	S 1 PD15DEC17	20515.00	20843.75	USD	-1,643.75	
15SEP17	S 1 PD15DEC17	20505.00	20843.75	USD	-1,693.75	
15SEP17	S 2 PD15DEC17	20500.00	20843.75	USD	-3,437.50	
15SEP17	S 1 PD15DEC17	20525.00	20843.75	USD	-1,593.75	
15SEP17	S 1 PD15DEC17	20530.00	20843.75	USD	-1,568.75	
15SEP17	S 1 PD15DEC17	20520.00	20843.75	USD	-1,618.75	
15SEP17	S 1 PD15DEC17	20515.00	20843.75	USD	-1,643.75	
15SEP17	S 2 PD15DEC17	20540.00	20843.75	USD	-3,037.50	
15SEP17	S 1 PD15DEC17	20535.00	20843.75	USD	-1,543.75	
15SEP17	B 1 PD15DEC17	20475.00	20843.75	USD	1,843.75	
15SEP17	B 2 PD15DEC17	20440.00	20843.75	USD	4,037.50	
26SEP17	B 1 PD15DEC17	20743.50	20843.75	USD	501.25	
03OCT17	B 1 PD15DEC17	20843.75	20843.75	USD	0.00	
	NET -9 AVG BGHT 20588.45 SOLD 20528.57				**-15,680.00**	**0.00**
18SEP17	B 2 PD18DEC17	20600.00	20842.50	USD	2,425.00	
18SEP17	B 1 PD18DEC17	20590.00	20842.50	USD	1,262.50	
18SEP17	S 1 PD18DEC17	20685.00	20842.50	USD	-787.50	
18SEP17	B 1 PD18DEC17	20605.00	20842.50	USD	1,187.50	
18SEP17	S 1 PD18DEC17	20650.00	20842.50	USD	-962.50	
18SEP17	S 1 PD18DEC17	20670.00	20842.50	USD	-862.50	
18SEP17	S 1 PD18DEC17	20700.00	20842.50	USD	-712.50	
18SEP17	S 1 PD18DEC17	20680.00	20842.50	USD	-812.50	
18SEP17	S 1 PD18DEC17	20660.00	20842.50	USD	-912.50	
18SEP17	S 1 PD18DEC17	20655.00	20842.50	USD	-937.50	
18SEP17	S 1 PD18DEC17	20630.00	20842.50	USD	-1,062.50	
18SEP17	B 1 PD18DEC17	20640.00	20842.50	USD	1,012.50	
18SEP17	B 1 PD18DEC17	20645.00	20842.50	USD	987.50	
18SEP17	S 1 PD18DEC17	20645.00	20842.50	USD	-987.50	
18SEP17	S 1 PD18DEC17	20635.00	20842.50	USD	-1,037.50	
18SEP17	B 1 PD18DEC17	20635.00	20842.50	USD	1,037.50	
18SEP17	B 1 PD18DEC17	20670.00	20842.50	USD	862.50	
18SEP17	S 1 PD18DEC17	20670.00	20842.50	USD	-862.50	
18SEP17	S 1 PD18DEC17	20675.00	20842.50	USD	-837.50	
18SEP17	S 1 PD18DEC17	20655.00	20842.50	USD	-937.50	
18SEP17	B 1 PD18DEC17	20650.00	20842.50	USD	962.50	
26SEP17	B 1 PD18DEC17	20741.00	20842.50	USD	507.50	
	NET -3 AVG BGHT 20637.60 SOLD 20662.31				**-1,467.50**	**0.00**
19SEP17	S 3 PD19DEC17	20645.00	20841.25	USD	-2,943.75	
19SEP17	B 1 PD19DEC17	20670.00	20841.25	USD	856.25	
19SEP17	B 1 PD19DEC17	20695.00	20841.25	USD	731.25	
19SEP17	B 1 PD19DEC17	20695.00	20841.25	USD	731.25	
19SEP17	B 10 PD19DEC17	20700.00	20841.25	USD	7,062.50	
20SEP17	S 3 PD19DEC17	20625.67	20841.25	USD	-3,233.70	
21SEP17	S 5 PD19DEC17	20461.08	20841.25	USD	-9,504.25	
	NET +2 AVG BGHT 20696.92 SOLD 20556.13				**-6,300.45**	**0.00**
20SEP17	B 1 PD20DEC17	20720.00	20840.00	USD	600.00	
20SEP17	B 1 PD20DEC17	20705.00	20840.00	USD	675.00	
20SEP17	B 2 PD20DEC17	20715.00	20840.00	USD	1,250.00	
20SEP17	B 1 PD20DEC17	20745.00	20840.00	USD	475.00	
20SEP17	B 1 PD20DEC17	20770.00	20840.00	USD	350.00	
20SEP17	B 2 PD20DEC17	20765.00	20840.00	USD	750.00	
20SEP17	S 1 PD20DEC17	20720.00	20840.00	USD	-600.00	
20SEP17	B 1 PD20DEC17	20720.00	20840.00	USD	600.00	
20SEP17	B 2 PD20DEC17	20730.00	20840.00	USD	1,100.00	
20SEP17	B 1 PD20DEC17	20760.00	20840.00	USD	400.00	
20SEP17	B 2 PD20DEC17	20745.00	20840.00	USD	950.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
20SEP17	S 1 PD20DEC17	20745.00	20840.00	USD	-475.00	
20SEP17	B 1 PD20DEC17	20750.00	20840.00	USD	450.00	
20SEP17	S 1 PD20DEC17	20730.00	20840.00	USD	-550.00	
20SEP17	B 2 PD20DEC17	20655.00	20840.00	USD	1,850.00	
20SEP17	B 2 PD20DEC17	20710.00	20840.00	USD	1,300.00	
20SEP17	B 1 PD20DEC17	20675.00	20840.00	USD	825.00	
20SEP17	B 1 PD20DEC17	20670.00	20840.00	USD	850.00	
21SEP17	S 9 PD20DEC17	20459.00	20840.00	USD	-17,145.00	
	NET +9 AVG BGHT 20721.67 SOLD 20527.17				**-6,345.00**	**0.00**
21SEP17	S 1 PD21DEC17	20630.00	20833.40	USD	-1,017.00	
21SEP17	S 1 PD21DEC17	20595.00	20833.40	USD	-1,192.00	
21SEP17	S 1 PD21DEC17	20560.00	20833.40	USD	-1,367.00	
21SEP17	S 1 PD21DEC17	20535.00	20833.40	USD	-1,492.00	
21SEP17	S 1 PD21DEC17	20555.00	20833.40	USD	-1,392.00	
21SEP17	B 1 PD21DEC17	20580.00	20833.40	USD	1,267.00	
21SEP17	B 1 PD21DEC17	20570.00	20833.40	USD	1,317.00	
21SEP17	S 1 PD21DEC17	20630.00	20833.40	USD	-1,017.00	
21SEP17	S 1 PD21DEC17	20580.00	20833.40	USD	-1,267.00	
21SEP17	S 1 PD21DEC17	20535.00	20833.40	USD	-1,492.00	
21SEP17	B 1 PD21DEC17	20585.00	20833.40	USD	1,242.00	
21SEP17	S 1 PD21DEC17	20600.00	20833.40	USD	-1,167.00	
21SEP17	B 1 PD21DEC17	20500.00	20833.40	USD	1,667.00	
21SEP17	B 1 PD21DEC17	20480.00	20833.40	USD	1,767.00	
21SEP17	S 1 PD21DEC17	20410.00	20833.40	USD	-2,117.00	
21SEP17	S 1 PD21DEC17	20380.00	20833.40	USD	-2,267.00	
21SEP17	B 1 PD21DEC17	20395.00	20833.40	USD	2,192.00	
21SEP17	B 1 PD21DEC17	20420.00	20833.40	USD	2,067.00	
21SEP17	B 1 PD21DEC17	20400.00	20833.40	USD	2,167.00	
21SEP17	B 1 PD21DEC17	20385.00	20833.40	USD	2,242.00	
21SEP17	B 2 PD21DEC17	20390.00	20833.40	USD	4,434.00	
21SEP17	S 1 PD21DEC17	20400.00	20833.40	USD	-2,167.00	
21SEP17	B 1 PD21DEC17	20390.00	20833.40	USD	2,217.00	
26SEP17	S 2 PD21DEC17	20726.33	20833.40	USD	-1,070.70	
03OCT17	B 1 PD21DEC17	20833.40	20833.40	USD	0.00	
	NET -1 AVG BGHT 20486.03 SOLD 20561.62				**3,554.30**	**0.00**
22SEP17	S 1 PD22DEC17	20455.00	20826.80	USD	-1,859.00	
22SEP17	S 1 PD22DEC17	20470.00	20826.80	USD	-1,784.00	
22SEP17	S 2 PD22DEC17	20425.00	20826.80	USD	-4,018.00	
22SEP17	S 1 PD22DEC17	20565.00	20826.80	USD	-1,309.00	
22SEP17	B 1 PD22DEC17	20565.00	20826.80	USD	1,309.00	
22SEP17	B 1 PD22DEC17	20580.00	20826.80	USD	1,234.00	
22SEP17	S 1 PD22DEC17	20560.00	20826.80	USD	-1,334.00	
22SEP17	B 1 PD22DEC17	20575.00	20826.80	USD	1,259.00	
22SEP17	S 3 PD22DEC17	20570.00	20826.80	USD	-3,852.00	
22SEP17	B 1 PD22DEC17	20590.00	20826.80	USD	1,184.00	
22SEP17	S 1 PD22DEC17	20555.00	20826.80	USD	-1,359.00	
22SEP17	B 1 PD22DEC17	20595.00	20826.80	USD	1,159.00	
22SEP17	S 2 PD22DEC17	20580.00	20826.80	USD	-2,468.00	
22SEP17	S 1 PD22DEC17	20570.00	20826.80	USD	-1,284.00	
22SEP17	B 1 PD22DEC17	20570.00	20826.80	USD	1,284.00	
	NET -7 AVG BGHT 20579.17 SOLD 20530.38				**-11,838.00**	**0.00**
25SEP17	S 1 PD27DEC17	20635.00	20820.20	USD	-926.00	
25SEP17	B 1 PD27DEC17	20595.00	20820.20	USD	1,126.00	
25SEP17	B 2 PD27DEC17	20600.00	20820.20	USD	2,202.00	
25SEP17	B 1 PD27DEC17	20590.00	20820.20	USD	1,151.00	
25SEP17	S 1 PD27DEC17	20600.00	20820.20	USD	-1,101.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
25SEP17	S 1 PD27DEC17	20640.00	20820.20	USD	-901.00	
25SEP17	S 1 PD27DEC17	20600.00	20820.20	USD	-1,101.00	
25SEP17	B 1 PD27DEC17	20655.00	20820.20	USD	826.00	
25SEP17	S 1 PD27DEC17	20705.00	20820.20	USD	-576.00	
25SEP17	S 1 PD27DEC17	20700.00	20820.20	USD	-601.00	
25SEP17	B 1 PD27DEC17	20645.00	20820.20	USD	876.00	
26SEP17	B 1 PD27DEC17	20735.00	20820.20	USD	426.00	
26SEP17	S 2 PD27DEC17	20720.00	20820.20	USD	-1,002.00	
26SEP17	B 2 PD27DEC17	20730.00	20820.20	USD	902.00	
26SEP17	S 1 PD27DEC17	20655.00	20820.20	USD	-826.00	
27SEP17	B 1 PD27DEC17	20660.00	20820.20	USD	801.00	
27SEP17	B 1 PD27DEC17	20720.00	20820.20	USD	501.00	
27SEP17	S 1 PD27DEC17	20660.00	20820.20	USD	-801.00	
27SEP17	S 2 PD27DEC17	20650.00	20820.20	USD	-1,702.00	
27SEP17	S 2 PD27DEC17	20680.00	20820.20	USD	-1,402.00	
28SEP17	S 1 PD27DEC17	20610.25	20820.20	USD	-1,049.75	
	NET -4 AVG BGHT 20660.00 SOLD 20660.35				**-3,177.75**	**0.00**
28SEP17	S 1 PD28DEC17	20610.00	20813.60	USD	-1,018.00	
28SEP17	B 1 PD28DEC17	20680.00	20813.60	USD	668.00	
29SEP17	S 1 PD28DEC17	20683.00	20813.60	USD	-653.00	
03OCT17	S 1 PD28DEC17	20812.60	20813.60	USD	-5.00	
	NET -2 AVG BGHT 20680.00 SOLD 20701.87				**-1,008.00**	**0.00**
29SEP17	B 1 PD29DEC17	20720.00	20807.00	USD	435.00	
29SEP17	S 1 PD29DEC17	20675.00	20807.00	USD	-660.00	
03OCT17	S 1 PD29DEC17	20806.00	20807.00	USD	-5.00	
	NET -1 AVG BGHT 20720.00 SOLD 20740.50				**-230.00**	**0.00**
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
04JUL17	B 1 PD04OCT17	2801.00	3316.62	USD	12,890.50	
04JUL17	B 1 PD04OCT17	2795.50	3316.62	USD	13,028.00	
04JUL17	B 1 PD04OCT17	2789.50	3316.62	USD	13,178.00	
04JUL17	S 1 PD04OCT17	2789.50	3316.62	USD	-13,178.00	
04JUL17	B 1 PD04OCT17	2778.00	3316.62	USD	13,465.50	
04JUL17	B 1 PD04OCT17	2787.00	3316.62	USD	13,240.50	
04JUL17	S 1 PD04OCT17	2785.50	3316.62	USD	-13,278.00	
04JUL17	S 1 PD04OCT17	2785.00	3316.62	USD	-13,290.50	
04JUL17	S 1 PD04OCT17	2784.00	3316.62	USD	-13,315.50	
04JUL17	B 1 PD04OCT17	2794.50	3316.62	USD	13,053.00	
04JUL17	B 1 PD04OCT17	2788.50	3316.62	USD	13,203.00	
12JUL17	S 2 PD04OCT17	2831.89	3316.62	USD	-24,236.50	
17JUL17	S 3 PD04OCT17	2813.20	3316.62	USD	-37,756.50	
28JUL17	B 1 PD04OCT17	2771.71	3316.62	USD	13,622.75	
08AUG17	B 1 PD04OCT17	2922.08	3316.62	USD	9,863.50	
	NET 0 AVG BGHT 2803.09 SOLD 2805.26				**489.75**	**0.00**
05JUL17	S 1 PD05OCT17	2778.00	3313.50	USD	-13,387.50	
05JUL17	S 1 PD05OCT17	2776.00	3313.50	USD	-13,437.50	
05JUL17	S 1 PD05OCT17	2776.50	3313.50	USD	-13,425.00	
05JUL17	B 1 PD05OCT17	2763.00	3313.50	USD	13,762.50	
05JUL17	B 2 PD05OCT17	2767.50	3313.50	USD	27,300.00	
05JUL17	S 1 PD05OCT17	2768.00	3313.50	USD	-13,637.50	
05JUL17	S 2 PD05OCT17	2765.00	3313.50	USD	-27,425.00	
05JUL17	B 1 PD05OCT17	2748.00	3313.50	USD	14,137.50	
05JUL17	B 1 PD05OCT17	2750.00	3313.50	USD	14,087.50	
05JUL17	B 1 PD05OCT17	2743.00	3313.50	USD	14,262.50	
05JUL17	S 1 PD05OCT17	2755.50	3313.50	USD	-13,950.00	
05JUL17	S 1 PD05OCT17	2755.00	3313.50	USD	-13,962.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
05JUL17	S 1 PD05OCT17	2756.50	3313.50	USD	-13,925.00	
05JUL17	S 1 PD05OCT17	2769.50	3313.50	USD	-13,600.00	
05JUL17	S 1 PD05OCT17	2773.00	3313.50	USD	-13,512.50	
05JUL17	S 1 PD05OCT17	2777.00	3313.50	USD	-13,412.50	
05JUL17	S 1 PD05OCT17	2777.50	3313.50	USD	-13,400.00	
05JUL17	S 1 PD05OCT17	2781.00	3313.50	USD	-13,312.50	
05JUL17	B 1 PD05OCT17	2779.50	3313.50	USD	13,350.00	
14JUL17	B 1 PD05OCT17	2784.69	3313.50	USD	13,220.25	
17JUL17	B 3 PD05OCT17	2813.50	3313.50	USD	37,500.00	
28JUL17	B 1 PD05OCT17	2772.00	3313.50	USD	13,537.50	
08AUG17	B 2 PD05OCT17	2922.25	3313.50	USD	19,562.50	
	NET 0 AVG BGHT 2797.16 SOLD 2769.54				**-9,667.25**	**0.00**
06JUL17	B 1 PD06OCT17	2766.50	3310.38	USD	13,597.00	
06JUL17	B 1 PD06OCT17	2763.50	3310.38	USD	13,672.00	
06JUL17	B 1 PD06OCT17	2763.00	3310.38	USD	13,684.50	
06JUL17	S 1 PD06OCT17	2752.00	3310.38	USD	-13,959.50	
06JUL17	B 1 PD06OCT17	2764.00	3310.38	USD	13,659.50	
06JUL17	S 1 PD06OCT17	2766.50	3310.38	USD	-13,597.00	
06JUL17	B 1 PD06OCT17	2771.00	3310.38	USD	13,484.50	
06JUL17	B 1 PD06OCT17	2768.50	3310.38	USD	13,547.00	
06JUL17	S 1 PD06OCT17	2778.50	3310.38	USD	-13,297.00	
06JUL17	B 1 PD06OCT17	2766.50	3310.38	USD	13,597.00	
06JUL17	B 1 PD06OCT17	2770.00	3310.38	USD	13,509.50	
06JUL17	S 1 PD06OCT17	2782.00	3310.38	USD	-13,209.50	
06JUL17	S 1 PD06OCT17	2787.50	3310.38	USD	-13,072.00	
06JUL17	B 1 PD06OCT17	2775.00	3310.38	USD	13,384.50	
06JUL17	S 1 PD06OCT17	2781.50	3310.38	USD	-13,222.00	
06JUL17	S 1 PD06OCT17	2786.00	3310.38	USD	-13,109.50	
06JUL17	S 1 PD06OCT17	2785.00	3310.38	USD	-13,134.50	
06JUL17	B 1 PD06OCT17	2779.00	3310.38	USD	13,284.50	
06JUL17	S 1 PD06OCT17	2783.00	3310.38	USD	-13,184.50	
06JUL17	S 1 PD06OCT17	2783.50	3310.38	USD	-13,172.00	
06JUL17	S 1 PD06OCT17	2785.00	3310.38	USD	-13,134.50	
07JUL17	B 1 PD06OCT17	2778.00	3310.38	USD	13,309.50	
07JUL17	B 1 PD06OCT17	2788.00	3310.38	USD	13,059.50	
07JUL17	B 1 PD06OCT17	2792.00	3310.38	USD	12,959.50	
07JUL17	B 1 PD06OCT17	2801.00	3310.38	USD	12,734.50	
07JUL17	B 3 PD06OCT17	2802.00	3310.38	USD	38,128.50	
07JUL17	B 1 PD06OCT17	2800.50	3310.38	USD	12,747.00	
07JUL17	B 1 PD06OCT17	2796.50	3310.38	USD	12,847.00	
07JUL17	S 1 PD06OCT17	2783.00	3310.38	USD	-13,184.50	
07JUL17	S 1 PD06OCT17	2781.50	3310.38	USD	-13,222.00	
07JUL17	B 1 PD06OCT17	2780.50	3310.38	USD	13,247.00	
07JUL17	B 1 PD06OCT17	2769.50	3310.38	USD	13,522.00	
07JUL17	B 1 PD06OCT17	2780.50	3310.38	USD	13,247.00	
14JUL17	S 2 PD06OCT17	2784.72	3310.38	USD	-26,283.00	
17JUL17	S 2 PD06OCT17	2813.40	3310.38	USD	-24,849.00	
21JUL17	S 1 PD06OCT17	2754.21	3310.38	USD	-13,904.25	
28JUL17	S 1 PD06OCT17	2772.09	3310.38	USD	-13,457.25	
08AUG17	S 3 PD06OCT17	2922.22	3310.38	USD	-29,112.00	
	NET 0 AVG BGHT 2780.89 SOLD 2801.10				**11,117.50**	**0.00**
10JUL17	B 1 PD10OCT17	2788.00	3307.25	USD	12,981.25	
10JUL17	S 1 PD10OCT17	2756.00	3307.25	USD	-13,781.25	
10JUL17	S 1 PD10OCT17	2760.00	3307.25	USD	-13,681.25	
10JUL17	B 1 PD10OCT17	2759.50	3307.25	USD	13,693.75	
10JUL17	S 1 PD10OCT17	2765.00	3307.25	USD	-13,556.25	
10JUL17	S 1 PD10OCT17	2771.50	3307.25	USD	-13,393.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
10JUL17	S 1 PD10OCT17	2772.50	3307.25	USD	-13,368.75	
10JUL17	S 1 PD10OCT17	2775.50	3307.25	USD	-13,293.75	
10JUL17	S 1 PD10OCT17	2777.00	3307.25	USD	-13,256.25	
10JUL17	S 3 PD10OCT17	2767.00	3307.25	USD	-40,518.75	
10JUL17	B 1 PD10OCT17	2752.50	3307.25	USD	13,868.75	
10JUL17	B 1 PD10OCT17	2746.50	3307.25	USD	14,018.75	
10JUL17	B 2 PD10OCT17	2750.50	3307.25	USD	27,837.50	
10JUL17	S 1 PD10OCT17	2763.00	3307.25	USD	-13,606.25	
10JUL17	S 1 PD10OCT17	2762.50	3307.25	USD	-13,618.75	
10JUL17	S 1 PD10OCT17	2768.00	3307.25	USD	-13,481.25	
10JUL17	S 1 PD10OCT17	2777.50	3307.25	USD	-13,243.75	
10JUL17	S 1 PD10OCT17	2767.00	3307.25	USD	-13,506.25	
10JUL17	S 1 PD10OCT17	2755.50	3307.25	USD	-13,793.75	
10JUL17	S 2 PD10OCT17	2775.00	3307.25	USD	-26,612.50	
10JUL17	B 1 PD10OCT17	2772.50	3307.25	USD	13,368.75	
10JUL17	B 1 PD10OCT17	2775.00	3307.25	USD	13,306.25	
10JUL17	B 1 PD10OCT17	2774.50	3307.25	USD	13,318.75	
10JUL17	B 1 PD10OCT17	2775.50	3307.25	USD	13,293.75	
10JUL17	B 1 PD10OCT17	2777.00	3307.25	USD	13,256.25	
10JUL17	B 2 PD10OCT17	2776.00	3307.25	USD	26,562.50	
10JUL17	S 1 PD10OCT17	2776.50	3307.25	USD	-13,268.75	
10JUL17	B 1 PD10OCT17	2776.50	3307.25	USD	13,268.75	
12JUL17	B 2 PD10OCT17	2832.92	3307.25	USD	23,716.50	
14JUL17	B 1 PD10OCT17	2785.81	3307.25	USD	13,036.00	
17JUL17	B 1 PD10OCT17	2814.00	3307.25	USD	12,331.25	
21JUL17	B 1 PD10OCT17	2754.84	3307.25	USD	13,810.25	
08AUG17	B 1 PD10OCT17	2923.12	3307.25	USD	9,603.25	
08AUG17	S 1 PD10OCT17	2922.92	3307.25	USD	-9,608.25	
	NET 0 AVG BGHT 2784.71 SOLD 2776.07				**-4,317.25**	**0.00**
11JUL17	B 1 PD11OCT17	2772.50	3304.13	USD	13,290.75	
11JUL17	B 1 PD11OCT17	2773.50	3304.13	USD	13,265.75	
11JUL17	S 1 PD11OCT17	2787.50	3304.13	USD	-12,915.75	
11JUL17	B 1 PD11OCT17	2769.00	3304.13	USD	13,378.25	
11JUL17	S 1 PD11OCT17	2795.00	3304.13	USD	-12,728.25	
11JUL17	S 1 PD11OCT17	2794.00	3304.13	USD	-12,753.25	
11JUL17	B 1 PD11OCT17	2791.50	3304.13	USD	12,815.75	
11JUL17	B 1 PD11OCT17	2793.50	3304.13	USD	12,765.75	
11JUL17	B 2 PD11OCT17	2774.00	3304.13	USD	26,506.50	
11JUL17	B 1 PD11OCT17	2777.00	3304.13	USD	13,178.25	
11JUL17	B 2 PD11OCT17	2776.00	3304.13	USD	26,406.50	
11JUL17	S 1 PD11OCT17	2783.00	3304.13	USD	-13,028.25	
11JUL17	S 1 PD11OCT17	2782.50	3304.13	USD	-13,040.75	
11JUL17	B 1 PD11OCT17	2781.50	3304.13	USD	13,065.75	
11JUL17	B 1 PD11OCT17	2785.00	3304.13	USD	12,978.25	
11JUL17	S 1 PD11OCT17	2789.50	3304.13	USD	-12,865.75	
11JUL17	B 1 PD11OCT17	2793.00	3304.13	USD	12,778.25	
11JUL17	S 1 PD11OCT17	2802.00	3304.13	USD	-12,553.25	
11JUL17	B 1 PD11OCT17	2803.00	3304.13	USD	12,528.25	
11JUL17	B 1 PD11OCT17	2802.00	3304.13	USD	12,553.25	
11JUL17	S 1 PD11OCT17	2810.50	3304.13	USD	-12,340.75	
11JUL17	S 1 PD11OCT17	2812.00	3304.13	USD	-12,303.25	
11JUL17	S 2 PD11OCT17	2815.00	3304.13	USD	-24,456.50	
11JUL17	B 1 PD11OCT17	2786.00	3304.13	USD	12,953.25	
11JUL17	B 1 PD11OCT17	2782.00	3304.13	USD	13,053.25	
11JUL17	S 1 PD11OCT17	2823.00	3304.13	USD	-12,028.25	
11JUL17	S 1 PD11OCT17	2824.50	3304.13	USD	-11,990.75	
11JUL17	S 1 PD11OCT17	2821.00	3304.13	USD	-12,078.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
11JUL17	B 1 PD11OCT17	2813.50	3304.13	USD	12,265.75	
11JUL17	B 1 PD11OCT17	2812.50	3304.13	USD	12,290.75	
11JUL17	B 1 PD11OCT17	2806.50	3304.13	USD	12,440.75	
11JUL17	B 1 PD11OCT17	2804.50	3304.13	USD	12,490.75	
11JUL17	S 2 PD11OCT17	2805.00	3304.13	USD	-24,956.50	
11JUL17	B 1 PD11OCT17	2805.50	3304.13	USD	12,465.75	
11JUL17	S 1 PD11OCT17	2816.00	3304.13	USD	-12,203.25	
11JUL17	S 2 PD11OCT17	2818.00	3304.13	USD	-24,306.50	
11JUL17	S 2 PD11OCT17	2820.00	3304.13	USD	-24,206.50	
11JUL17	B 2 PD11OCT17	2824.50	3304.13	USD	23,981.50	
11JUL17	S 1 PD11OCT17	2818.00	3304.13	USD	-12,153.25	
14JUL17	S 4 PD11OCT17	2785.83	3304.13	USD	-51,830.00	
17JUL17	B 1 PD11OCT17	2814.10	3304.13	USD	12,250.75	
21JUL17	S 2 PD11OCT17	2754.75	3304.13	USD	-27,469.00	
28JUL17	B 3 PD11OCT17	2773.73	3304.13	USD	39,780.00	
	NET 0 AVG BGHT 2790.58 SOLD 2800.98				**7,275.75**	**0.00**
12JUL17	B 1 PD12OCT17	2823.00	3301.00	USD	11,950.00	
12JUL17	B 1 PD12OCT17	2836.50	3301.00	USD	11,612.50	
12JUL17	S 1 PD12OCT17	2827.50	3301.00	USD	-11,837.50	
12JUL17	S 1 PD12OCT17	2819.50	3301.00	USD	-12,037.50	
12JUL17	S 1 PD12OCT17	2831.50	3301.00	USD	-11,737.50	
12JUL17	S 1 PD12OCT17	2831.00	3301.00	USD	-11,750.00	
12JUL17	S 1 PD12OCT17	2835.50	3301.00	USD	-11,637.50	
12JUL17	S 1 PD12OCT17	2839.00	3301.00	USD	-11,550.00	
12JUL17	S 1 PD12OCT17	2839.50	3301.00	USD	-11,537.50	
12JUL17	S 1 PD12OCT17	2840.50	3301.00	USD	-11,512.50	
12JUL17	S 2 PD12OCT17	2841.00	3301.00	USD	-23,000.00	
12JUL17	S 3 PD12OCT17	2847.00	3301.00	USD	-34,050.00	
12JUL17	B 1 PD12OCT17	2847.00	3301.00	USD	11,350.00	
12JUL17	S 1 PD12OCT17	2858.00	3301.00	USD	-11,075.00	
12JUL17	S 1 PD12OCT17	2820.50	3301.00	USD	-12,012.50	
12JUL17	B 1 PD12OCT17	2856.00	3301.00	USD	11,125.00	
12JUL17	B 1 PD12OCT17	2857.00	3301.00	USD	11,100.00	
12JUL17	S 2 PD12OCT17	2856.00	3301.00	USD	-22,250.00	
12JUL17	B 1 PD12OCT17	2848.50	3301.00	USD	11,312.50	
12JUL17	S 1 PD12OCT17	2845.50	3301.00	USD	-11,387.50	
12JUL17	S 1 PD12OCT17	2850.00	3301.00	USD	-11,275.00	
12JUL17	S 1 PD12OCT17	2846.50	3301.00	USD	-11,362.50	
12JUL17	S 1 PD12OCT17	2849.50	3301.00	USD	-11,287.50	
12JUL17	B 1 PD12OCT17	2830.50	3301.00	USD	11,762.50	
12JUL17	B 1 PD12OCT17	2849.00	3301.00	USD	11,300.00	
12JUL17	B 1 PD12OCT17	2830.00	3301.00	USD	11,775.00	
12JUL17	B 1 PD12OCT17	2828.50	3301.00	USD	11,812.50	
12JUL17	B 1 PD12OCT17	2827.50	3301.00	USD	11,837.50	
12JUL17	B 1 PD12OCT17	2824.50	3301.00	USD	11,912.50	
12JUL17	B 1 PD12OCT17	2823.00	3301.00	USD	11,950.00	
19JUL17	B 1 PD12OCT17	2746.46	3301.00	USD	13,863.50	
03AUG17	B 6 PD12OCT17	2787.88	3301.00	USD	76,968.00	
08AUG17	B 1 PD12OCT17	2923.46	3301.00	USD	9,438.50	
	NET 0 AVG BGHT 2822.77 SOLD 2841.38				**9,770.00**	**0.00**
13JUL17	S 1 PD13OCT17	2814.50	3297.88	USD	-12,084.50	
13JUL17	B 1 PD13OCT17	2823.50	3297.88	USD	11,859.50	
13JUL17	S 1 PD13OCT17	2839.50	3297.88	USD	-11,459.50	
13JUL17	S 1 PD13OCT17	2841.50	3297.88	USD	-11,409.50	
13JUL17	S 1 PD13OCT17	2840.00	3297.88	USD	-11,447.00	
13JUL17	S 2 PD13OCT17	2842.50	3297.88	USD	-22,769.00	
13JUL17	B 1 PD13OCT17	2840.00	3297.88	USD	11,447.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
13JUL17	B 1 PD13OCT17	2828.50	3297.88	USD	11,734.50	
13JUL17	B 2 PD13OCT17	2833.50	3297.88	USD	23,219.00	
13JUL17	S 1 PD13OCT17	2830.50	3297.88	USD	-11,684.50	
13JUL17	S 2 PD13OCT17	2831.00	3297.88	USD	-23,344.00	
13JUL17	S 2 PD13OCT17	2831.50	3297.88	USD	-23,319.00	
13JUL17	S 1 PD13OCT17	2832.50	3297.88	USD	-11,634.50	
13JUL17	S 1 PD13OCT17	2807.00	3297.88	USD	-12,272.00	
13JUL17	S 1 PD13OCT17	2810.00	3297.88	USD	-12,197.00	
13JUL17	B 1 PD13OCT17	2804.50	3297.88	USD	12,334.50	
13JUL17	B 1 PD13OCT17	2804.00	3297.88	USD	12,347.00	
13JUL17	S 1 PD13OCT17	2804.00	3297.88	USD	-12,347.00	
14JUL17	S 1 PD13OCT17	2785.50	3297.88	USD	-12,809.50	
14JUL17	B 1 PD13OCT17	2793.00	3297.88	USD	12,622.00	
14JUL17	S 1 PD13OCT17	2793.00	3297.88	USD	-12,622.00	
14JUL17	B 2 PD13OCT17	2788.00	3297.88	USD	25,494.00	
14JUL17	B 1 PD13OCT17	2765.00	3297.88	USD	13,322.00	
14JUL17	B 2 PD13OCT17	2764.50	3297.88	USD	26,669.00	
14JUL17	B 2 PD13OCT17	2763.50	3297.88	USD	26,719.00	
14JUL17	B 1 PD13OCT17	2764.00	3297.88	USD	13,347.00	
14JUL17	B 1 PD13OCT17	2766.50	3297.88	USD	13,284.50	
14JUL17	B 1 PD13OCT17	2788.50	3297.88	USD	12,734.50	
14JUL17	B 1 PD13OCT17	2774.00	3297.88	USD	13,097.00	
14JUL17	B 1 PD13OCT17	2772.50	3297.88	USD	13,134.50	
14JUL17	B 1 PD13OCT17	2773.00	3297.88	USD	13,122.00	
14JUL17	B 1 PD13OCT17	2770.50	3297.88	USD	13,184.50	
14JUL17	B 1 PD13OCT17	2780.50	3297.88	USD	12,934.50	
14JUL17	S 6 PD13OCT17	2780.50	3297.88	USD	-77,607.00	
14JUL17	B 2 PD13OCT17	2783.00	3297.88	USD	25,744.00	
14JUL17	S 1 PD13OCT17	2781.00	3297.88	USD	-12,922.00	
14JUL17	B 1 PD13OCT17	2780.00	3297.88	USD	12,947.00	
14JUL17	B 3 PD13OCT17	2781.00	3297.88	USD	38,766.00	
14JUL17	B 4 PD13OCT17	2782.00	3297.88	USD	51,588.00	
14JUL17	B 1 PD13OCT17	2786.00	3297.88	USD	12,797.00	
14JUL17	B 1 PD13OCT17	2782.50	3297.88	USD	12,884.50	
14JUL17	B 1 PD13OCT17	2772.50	3297.88	USD	13,134.50	
14JUL17	B 1 PD13OCT17	2773.00	3297.88	USD	13,122.00	
14JUL17	B 1 PD13OCT17	2782.00	3297.88	USD	12,897.00	
14JUL17	B 1 PD13OCT17	2794.50	3297.88	USD	12,584.50	
14JUL17	B 1 PD13OCT17	2789.00	3297.88	USD	12,722.00	
14JUL17	B 2 PD13OCT17	2790.00	3297.88	USD	25,394.00	
14JUL17	B 1 PD13OCT17	2789.50	3297.88	USD	12,709.50	
19JUL17	S 3 PD13OCT17	2746.48	3297.88	USD	-41,355.00	
26JUL17	S 4 PD13OCT17	2807.93	3297.88	USD	-48,995.00	
27JUL17	S 5 PD13OCT17	2799.76	3297.88	USD	-62,265.00	
28JUL17	S 5 PD13OCT17	2774.11	3297.88	USD	-65,471.25	
08AUG17	S 1 PD13OCT17	2923.43	3297.88	USD	-9,361.25	
10AUG17	S 1 PD13OCT17	2934.79	3297.88	USD	-9,077.25	
	NET 0 AVG BGHT 2786.35 SOLD 2806.30				**21,443.25**	**0.00**
17JUL17	S 1 PD17OCT17	2788.00	3291.63	USD	-12,590.75	
17JUL17	S 1 PD17OCT17	2817.50	3291.63	USD	-11,853.25	
17JUL17	S 1 PD17OCT17	2816.50	3291.63	USD	-11,878.25	
17JUL17	S 1 PD17OCT17	2817.00	3291.63	USD	-11,865.75	
17JUL17	S 1 PD17OCT17	2813.00	3291.63	USD	-11,965.75	
17JUL17	S 2 PD17OCT17	2823.50	3291.63	USD	-23,406.50	
17JUL17	S 1 PD17OCT17	2819.00	3291.63	USD	-11,815.75	
17JUL17	B 1 PD17OCT17	2823.50	3291.63	USD	11,703.25	
17JUL17	B 3 PD17OCT17	2783.00	3291.63	USD	38,147.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
17JUL17	S 2 PD17OCT17	2788.50	3291.63	USD	-25,156.50	
17JUL17	B 1 PD17OCT17	2815.00	3291.63	USD	11,915.75	
17JUL17	S 1 PD17OCT17	2809.50	3291.63	USD	-12,053.25	
17JUL17	S 1 PD17OCT17	2818.50	3291.63	USD	-11,828.25	
17JUL17	S 2 PD17OCT17	2804.50	3291.63	USD	-24,356.50	
17JUL17	S 1 PD17OCT17	2823.00	3291.63	USD	-11,715.75	
17JUL17	B 1 PD17OCT17	2828.50	3291.63	USD	11,578.25	
17JUL17	S 2 PD17OCT17	2830.50	3291.63	USD	-23,056.50	
17JUL17	S 1 PD17OCT17	2836.50	3291.63	USD	-11,378.25	
17JUL17	B 1 PD17OCT17	2824.50	3291.63	USD	11,678.25	
17JUL17	S 1 PD17OCT17	2843.00	3291.63	USD	-11,215.75	
17JUL17	S 1 PD17OCT17	2843.50	3291.63	USD	-11,203.25	
17JUL17	B 1 PD17OCT17	2824.00	3291.63	USD	11,690.75	
17JUL17	B 1 PD17OCT17	2825.50	3291.63	USD	11,653.25	
17JUL17	S 1 PD17OCT17	2822.00	3291.63	USD	-11,740.75	
17JUL17	B 1 PD17OCT17	2835.00	3291.63	USD	11,415.75	
17JUL17	B 1 PD17OCT17	2826.50	3291.63	USD	11,628.25	
17JUL17	S 1 PD17OCT17	2829.00	3291.63	USD	-11,565.75	
17JUL17	B 1 PD17OCT17	2832.50	3291.63	USD	11,478.25	
17JUL17	S 1 PD17OCT17	2832.50	3291.63	USD	-11,478.25	
17JUL17	B 2 PD17OCT17	2829.00	3291.63	USD	23,131.50	
17JUL17	B 1 PD17OCT17	2830.50	3291.63	USD	11,528.25	
17JUL17	B 1 PD17OCT17	2831.00	3291.63	USD	11,515.75	
17JUL17	S 2 PD17OCT17	2836.00	3291.63	USD	-22,781.50	
17JUL17	S 1 PD17OCT17	2831.00	3291.63	USD	-11,515.75	
17JUL17	B 1 PD17OCT17	2833.50	3291.63	USD	11,453.25	
17JUL17	B 1 PD17OCT17	2818.50	3291.63	USD	11,828.25	
17JUL17	S 1 PD17OCT17	2818.00	3291.63	USD	-11,840.75	
17JUL17	B 2 PD17OCT17	2817.00	3291.63	USD	23,731.50	
17JUL17	B 2 PD17OCT17	2816.00	3291.63	USD	23,781.50	
17JUL17	B 1 PD17OCT17	2812.00	3291.63	USD	11,990.75	
17JUL17	S 2 PD17OCT17	2814.00	3291.63	USD	-23,881.50	
17JUL17	B 2 PD17OCT17	2815.50	3291.63	USD	23,806.50	
17JUL17	S 1 PD17OCT17	2820.00	3291.63	USD	-11,790.75	
17JUL17	S 2 PD17OCT17	2819.00	3291.63	USD	-23,631.50	
19JUL17	B 1 PD17OCT17	2747.54	3291.63	USD	13,602.25	
19JUL17	S 2 PD17OCT17	2747.34	3291.63	USD	-27,214.50	
26JUL17	B 4 PD17OCT17	2808.99	3291.63	USD	48,264.00	
26JUL17	B 1 PD17OCT17	2808.79	3291.63	USD	12,071.00	
27JUL17	S 1 PD17OCT17	2800.95	3291.63	USD	-12,267.00	
03AUG17	S 3 PD17OCT17	2789.03	3291.63	USD	-37,695.00	
08AUG17	B 1 PD17OCT17	2924.33	3291.63	USD	9,182.50	
10AUG17	B 1 PD17OCT17	2935.76	3291.63	USD	8,896.75	
10AUG17	B 5 PD17OCT17	2935.56	3291.63	USD	44,508.75	
	NET 0 AVG BGHT 2836.70 SOLD 2812.95				**-22,561.50**	**0.00**
18JUL17	B 1 PD18OCT17	2825.00	3288.50	USD	11,587.50	
18JUL17	S 1 PD18OCT17	2799.00	3288.50	USD	-12,237.50	
18JUL17	B 1 PD18OCT17	2798.50	3288.50	USD	12,250.00	
18JUL17	S 1 PD18OCT17	2790.50	3288.50	USD	-12,450.00	
18JUL17	B 1 PD18OCT17	2797.00	3288.50	USD	12,287.50	
18JUL17	B 1 PD18OCT17	2792.50	3288.50	USD	12,400.00	
18JUL17	B 1 PD18OCT17	2774.50	3288.50	USD	12,850.00	
18JUL17	B 1 PD18OCT17	2797.50	3288.50	USD	12,275.00	
18JUL17	S 1 PD18OCT17	2801.50	3288.50	USD	-12,175.00	
18JUL17	S 1 PD18OCT17	2795.00	3288.50	USD	-12,337.50	
18JUL17	B 1 PD18OCT17	2776.00	3288.50	USD	12,812.50	
18JUL17	B 2 PD18OCT17	2778.00	3288.50	USD	25,525.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
18JUL17	B 1 PD18OCT17	2786.00	3288.50	USD	12,562.50	
18JUL17	B 2 PD18OCT17	2785.50	3288.50	USD	25,150.00	
18JUL17	B 2 PD18OCT17	2783.00	3288.50	USD	25,275.00	
18JUL17	B 1 PD18OCT17	2780.00	3288.50	USD	12,712.50	
18JUL17	B 1 PD18OCT17	2781.50	3288.50	USD	12,675.00	
18JUL17	B 1 PD18OCT17	2782.00	3288.50	USD	12,662.50	
18JUL17	B 1 PD18OCT17	2780.50	3288.50	USD	12,700.00	
18JUL17	B 1 PD18OCT17	2784.50	3288.50	USD	12,600.00	
18JUL17	B 1 PD18OCT17	2784.00	3288.50	USD	12,612.50	
18JUL17	B 2 PD18OCT17	2788.00	3288.50	USD	25,025.00	
18JUL17	S 1 PD18OCT17	2788.50	3288.50	USD	-12,500.00	
18JUL17	S 3 PD18OCT17	2788.00	3288.50	USD	-37,537.50	
18JUL17	S 2 PD18OCT17	2787.50	3288.50	USD	-25,050.00	
18JUL17	B 1 PD18OCT17	2788.50	3288.50	USD	12,500.00	
18JUL17	S 3 PD18OCT17	2787.00	3288.50	USD	-37,612.50	
18JUL17	S 4 PD18OCT17	2786.50	3288.50	USD	-50,200.00	
18JUL17	S 1 PD18OCT17	2784.50	3288.50	USD	-12,600.00	
18JUL17	B 1 PD18OCT17	2792.00	3288.50	USD	12,412.50	
18JUL17	S 1 PD18OCT17	2791.50	3288.50	USD	-12,425.00	
18JUL17	S 5 PD18OCT17	2791.00	3288.50	USD	-62,187.50	
18JUL17	B 2 PD18OCT17	2783.50	3288.50	USD	25,250.00	
18JUL17	B 1 PD18OCT17	2784.00	3288.50	USD	12,612.50	
18JUL17	B 1 PD18OCT17	2782.00	3288.50	USD	12,662.50	
18JUL17	B 1 PD18OCT17	2784.50	3288.50	USD	12,600.00	
18JUL17	S 1 PD18OCT17	2787.00	3288.50	USD	-12,537.50	
18JUL17	S 2 PD18OCT17	2795.00	3288.50	USD	-24,675.00	
18JUL17	S 1 PD18OCT17	2793.00	3288.50	USD	-12,387.50	
19JUL17	S 1 PD18OCT17	2747.55	3288.50	USD	-13,523.75	
26JUL17	B 2 PD18OCT17	2809.00	3288.50	USD	23,975.00	
28JUL17	S 3 PD18OCT17	2775.75	3288.50	USD	-38,456.25	
03AUG17	B 2 PD18OCT17	2789.50	3288.50	USD	24,950.00	
10AUG17	S 1 PD18OCT17	2935.55	3288.50	USD	-8,823.75	
10AUG17	B 1 PD18OCT17	2935.95	3288.50	USD	8,813.75	
15AUG17	S 1 PD18OCT17	2957.50	3288.50	USD	-8,275.00	
	NET 0 AVG BGHT 2792.34 SOLD 2796.75				**3,747.50**	**0.00**
19JUL17	B 1 PD19OCT17	2778.50	3285.50	USD	12,675.00	
19JUL17	B 1 PD19OCT17	2778.00	3285.50	USD	12,687.50	
19JUL17	S 1 PD19OCT17	2778.00	3285.50	USD	-12,687.50	
19JUL17	B 1 PD19OCT17	2790.00	3285.50	USD	12,387.50	
19JUL17	S 1 PD19OCT17	2791.00	3285.50	USD	-12,362.50	
19JUL17	B 1 PD19OCT17	2794.50	3285.50	USD	12,275.00	
19JUL17	S 1 PD19OCT17	2798.00	3285.50	USD	-12,187.50	
19JUL17	B 1 PD19OCT17	2775.00	3285.50	USD	12,762.50	
19JUL17	B 2 PD19OCT17	2773.00	3285.50	USD	25,625.00	
19JUL17	B 1 PD19OCT17	2775.00	3285.50	USD	12,762.50	
19JUL17	B 1 PD19OCT17	2772.00	3285.50	USD	12,837.50	
19JUL17	B 3 PD19OCT17	2773.50	3285.50	USD	38,400.00	
19JUL17	S 3 PD19OCT17	2776.00	3285.50	USD	-38,212.50	
19JUL17	S 3 PD19OCT17	2774.00	3285.50	USD	-38,362.50	
19JUL17	S 3 PD19OCT17	2775.50	3285.50	USD	-38,250.00	
19JUL17	B 1 PD19OCT17	2776.50	3285.50	USD	12,725.00	
19JUL17	S 1 PD19OCT17	2773.50	3285.50	USD	-12,800.00	
19JUL17	B 2 PD19OCT17	2775.50	3285.50	USD	25,500.00	
19JUL17	B 1 PD19OCT17	2776.00	3285.50	USD	12,737.50	
19JUL17	B 1 PD19OCT17	2763.50	3285.50	USD	13,050.00	
19JUL17	S 1 PD19OCT17	2758.00	3285.50	USD	-13,187.50	
19JUL17	B 1 PD19OCT17	2748.00	3285.50	USD	13,437.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
19JUL17	B 1 PD19OCT17	2773.50	3285.50	USD	12,800.00	
19JUL17	B 1 PD19OCT17	2774.00	3285.50	USD	12,787.50	
19JUL17	B 1 PD19OCT17	2777.00	3285.50	USD	12,712.50	
19JUL17	S 2 PD19OCT17	2774.00	3285.50	USD	-25,575.00	
19JUL17	B 2 PD19OCT17	2743.50	3285.50	USD	27,100.00	
19JUL17	B 1 PD19OCT17	2736.00	3285.50	USD	13,737.50	
19JUL17	S 1 PD19OCT17	2737.00	3285.50	USD	-13,712.50	
27JUL17	S 1 PD19OCT17	2800.13	3285.50	USD	-12,134.25	
03AUG17	S 3 PD19OCT17	2788.55	3285.50	USD	-37,271.25	
10AUG17	S 2 PD19OCT17	2934.80	3285.50	USD	-17,535.00	
15AUG17	S 1 PD19OCT17	2956.75	3285.50	USD	-8,218.75	
	NET 0 AVG BGHT 2770.50 SOLD 2798.01				**16,503.25**	**0.00**
20JUL17	S 1 PD20OCT17	2743.00	3282.50	USD	-13,487.50	
20JUL17	B 1 PD20OCT17	2745.00	3282.50	USD	13,437.50	
20JUL17	B 1 PD20OCT17	2745.50	3282.50	USD	13,425.00	
20JUL17	S 1 PD20OCT17	2736.50	3282.50	USD	-13,650.00	
20JUL17	S 1 PD20OCT17	2737.00	3282.50	USD	-13,637.50	
20JUL17	B 1 PD20OCT17	2732.00	3282.50	USD	13,762.50	
20JUL17	B 1 PD20OCT17	2729.50	3282.50	USD	13,825.00	
20JUL17	B 1 PD20OCT17	2736.00	3282.50	USD	13,662.50	
20JUL17	B 1 PD20OCT17	2746.50	3282.50	USD	13,400.00	
20JUL17	S 2 PD20OCT17	2749.00	3282.50	USD	-26,675.00	
20JUL17	B 1 PD20OCT17	2749.00	3282.50	USD	13,337.50	
20JUL17	S 1 PD20OCT17	2751.00	3282.50	USD	-13,287.50	
20JUL17	S 1 PD20OCT17	2752.00	3282.50	USD	-13,262.50	
20JUL17	B 1 PD20OCT17	2752.50	3282.50	USD	13,250.00	
20JUL17	S 1 PD20OCT17	2746.00	3282.50	USD	-13,412.50	
20JUL17	S 2 PD20OCT17	2747.50	3282.50	USD	-26,750.00	
20JUL17	B 1 PD20OCT17	2740.50	3282.50	USD	13,550.00	
20JUL17	B 2 PD20OCT17	2740.00	3282.50	USD	27,125.00	
20JUL17	B 2 PD20OCT17	2743.50	3282.50	USD	26,950.00	
20JUL17	S 3 PD20OCT17	2743.50	3282.50	USD	-40,425.00	
20JUL17	S 2 PD20OCT17	2744.00	3282.50	USD	-26,925.00	
20JUL17	B 1 PD20OCT17	2744.50	3282.50	USD	13,450.00	
20JUL17	S 1 PD20OCT17	2733.00	3282.50	USD	-13,737.50	
20JUL17	S 1 PD20OCT17	2728.00	3282.50	USD	-13,862.50	
20JUL17	B 1 PD20OCT17	2720.00	3282.50	USD	14,062.50	
20JUL17	B 2 PD20OCT17	2722.50	3282.50	USD	28,000.00	
20JUL17	S 1 PD20OCT17	2726.50	3282.50	USD	-13,900.00	
20JUL17	B 1 PD20OCT17	2726.50	3282.50	USD	13,900.00	
20JUL17	B 1 PD20OCT17	2729.00	3282.50	USD	13,837.50	
20JUL17	B 1 PD20OCT17	2731.00	3282.50	USD	13,787.50	
20JUL17	B 1 PD20OCT17	2727.50	3282.50	USD	13,875.00	
21JUL17	B 1 PD20OCT17	2747.50	3282.50	USD	13,375.00	
21JUL17	S 1 PD20OCT17	2748.00	3282.50	USD	-13,362.50	
21JUL17	S 1 PD20OCT17	2747.50	3282.50	USD	-13,375.00	
21JUL17	S 1 PD20OCT17	2757.00	3282.50	USD	-13,137.50	
21JUL17	B 1 PD20OCT17	2764.00	3282.50	USD	12,962.50	
21JUL17	B 1 PD20OCT17	2762.00	3282.50	USD	13,012.50	
21JUL17	B 1 PD20OCT17	2757.50	3282.50	USD	13,125.00	
21JUL17	S 1 PD20OCT17	2759.00	3282.50	USD	-13,087.50	
21JUL17	S 1 PD20OCT17	2766.50	3282.50	USD	-12,900.00	
21JUL17	S 1 PD20OCT17	2766.00	3282.50	USD	-12,912.50	
21JUL17	B 1 PD20OCT17	2766.00	3282.50	USD	12,912.50	
21JUL17	S 1 PD20OCT17	2775.00	3282.50	USD	-12,687.50	
21JUL17	S 1 PD20OCT17	2773.50	3282.50	USD	-12,725.00	
21JUL17	S 2 PD20OCT17	2771.50	3282.50	USD	-25,550.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
21JUL17	S 1 PD20OCT17	2771.00	3282.50	USD	-12,787.50	
21JUL17	S 2 PD20OCT17	2773.00	3282.50	USD	-25,475.00	
21JUL17	S 1 PD20OCT17	2772.00	3282.50	USD	-12,762.50	
21JUL17	S 1 PD20OCT17	2765.00	3282.50	USD	-12,937.50	
21JUL17	B 1 PD20OCT17	2759.00	3282.50	USD	13,087.50	
21JUL17	B 1 PD20OCT17	2759.50	3282.50	USD	13,075.00	
21JUL17	B 1 PD20OCT17	2758.50	3282.50	USD	13,100.00	
21JUL17	B 1 PD20OCT17	2745.00	3282.50	USD	13,437.50	
21JUL17	B 3 PD20OCT17	2746.00	3282.50	USD	40,237.50	
21JUL17	S 1 PD20OCT17	2750.00	3282.50	USD	-13,312.50	
21JUL17	B 1 PD20OCT17	2753.50	3282.50	USD	13,225.00	
21JUL17	B 2 PD20OCT17	2752.50	3282.50	USD	26,500.00	
21JUL17	B 1 PD20OCT17	2752.00	3282.50	USD	13,262.50	
21JUL17	B 2 PD20OCT17	2751.50	3282.50	USD	26,550.00	
21JUL17	B 3 PD20OCT17	2754.00	3282.50	USD	39,637.50	
21JUL17	B 1 PD20OCT17	2754.50	3282.50	USD	13,200.00	
21JUL17	B 2 PD20OCT17	2753.70	3282.50	USD	26,440.00	
26JUL17	S 3 PD20OCT17	2806.94	3282.50	USD	-35,667.00	
27JUL17	S 10 PD20OCT17	2798.80	3282.50	USD	-120,925.00	
27JUL17	B 1 PD20OCT17	2799.00	3282.50	USD	12,087.50	
27JUL17	B 4 PD20OCT17	2799.20	3282.50	USD	48,330.00	
28JUL17	B 1 PD20OCT17	2773.95	3282.50	USD	12,713.75	
08AUG17	S 1 PD20OCT17	2922.80	3282.50	USD	-8,992.50	
10AUG17	S 2 PD20OCT17	2934.25	3282.50	USD	-17,412.50	
10AUG17	S 2 PD20OCT17	2934.05	3282.50	USD	-17,422.50	
15AUG17	B 1 PD20OCT17	2956.20	3282.50	USD	8,157.50	
	NET 0 AVG BGHT 2755.53 SOLD 2782.16				**34,621.75**	**0.00**
24JUL17	S 1 PD24OCT17	2773.50	3280.50	USD	-12,675.00	
24JUL17	B 1 PD24OCT17	2745.00	3280.50	USD	13,387.50	
24JUL17	B 1 PD24OCT17	2767.50	3280.50	USD	12,825.00	
24JUL17	B 1 PD24OCT17	2779.00	3280.50	USD	12,537.50	
24JUL17	B 1 PD24OCT17	2778.00	3280.50	USD	12,562.50	
24JUL17	B 1 PD24OCT17	2771.50	3280.50	USD	12,725.00	
24JUL17	S 2 PD24OCT17	2783.50	3280.50	USD	-24,850.00	
24JUL17	S 1 PD24OCT17	2777.50	3280.50	USD	-12,575.00	
24JUL17	B 1 PD24OCT17	2779.50	3280.50	USD	12,525.00	
24JUL17	B 1 PD24OCT17	2777.00	3280.50	USD	12,587.50	
24JUL17	S 1 PD24OCT17	2777.00	3280.50	USD	-12,587.50	
24JUL17	B 1 PD24OCT17	2775.00	3280.50	USD	12,637.50	
24JUL17	S 1 PD24OCT17	2773.00	3280.50	USD	-12,687.50	
24JUL17	S 1 PD24OCT17	2776.00	3280.50	USD	-12,612.50	
24JUL17	S 1 PD24OCT17	2775.50	3280.50	USD	-12,625.00	
24JUL17	B 4 PD24OCT17	2778.50	3280.50	USD	50,200.00	
24JUL17	B 1 PD24OCT17	2777.50	3280.50	USD	12,575.00	
24JUL17	B 2 PD24OCT17	2775.50	3280.50	USD	25,250.00	
24JUL17	S 3 PD24OCT17	2784.50	3280.50	USD	-37,200.00	
24JUL17	S 3 PD24OCT17	2785.50	3280.50	USD	-37,125.00	
24JUL17	S 4 PD24OCT17	2786.00	3280.50	USD	-49,450.00	
24JUL17	S 1 PD24OCT17	2787.00	3280.50	USD	-12,337.50	
24JUL17	B 4 PD24OCT17	2784.00	3280.50	USD	49,650.00	
24JUL17	S 1 PD24OCT17	2784.00	3280.50	USD	-12,412.50	
27JUL17	S 1 PD24OCT17	2800.50	3280.50	USD	-12,000.00	
08AUG17	B 1 PD24OCT17	2923.80	3280.50	USD	8,917.50	
10AUG17	B 2 PD24OCT17	2934.96	3280.50	USD	17,277.00	
15AUG17	S 1 PD24OCT17	2956.54	3280.50	USD	-8,099.00	
	NET 0 AVG BGHT 2797.49 SOLD 2790.98				**-3,579.50**	**0.00**
25JUL17	B 1 PD25OCT17	2786.00	3279.50	USD	12,337.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
25JUL17	B 1 PD25OCT17	2785.50	3279.50	USD	12,350.00	
25JUL17	B 1 PD25OCT17	2786.50	3279.50	USD	12,325.00	
25JUL17	S 1 PD25OCT17	2781.50	3279.50	USD	-12,450.00	
25JUL17	S 1 PD25OCT17	2778.50	3279.50	USD	-12,525.00	
25JUL17	S 1 PD25OCT17	2777.50	3279.50	USD	-12,550.00	
25JUL17	S 1 PD25OCT17	2791.50	3279.50	USD	-12,200.00	
25JUL17	S 1 PD25OCT17	2793.00	3279.50	USD	-12,162.50	
25JUL17	S 1 PD25OCT17	2805.50	3279.50	USD	-11,850.00	
25JUL17	S 1 PD25OCT17	2801.00	3279.50	USD	-11,962.50	
25JUL17	S 1 PD25OCT17	2809.00	3279.50	USD	-11,762.50	
25JUL17	S 2 PD25OCT17	2805.00	3279.50	USD	-23,725.00	
25JUL17	S 1 PD25OCT17	2815.00	3279.50	USD	-11,612.50	
25JUL17	S 1 PD25OCT17	2817.50	3279.50	USD	-11,550.00	
25JUL17	S 1 PD25OCT17	2824.50	3279.50	USD	-11,375.00	
25JUL17	B 2 PD25OCT17	2787.00	3279.50	USD	24,625.00	
25JUL17	B 2 PD25OCT17	2834.00	3279.50	USD	22,275.00	
25JUL17	S 1 PD25OCT17	2823.00	3279.50	USD	-11,412.50	
25JUL17	S 1 PD25OCT17	2831.50	3279.50	USD	-11,200.00	
25JUL17	B 2 PD25OCT17	2831.00	3279.50	USD	22,425.00	
25JUL17	S 2 PD25OCT17	2833.00	3279.50	USD	-22,325.00	
25JUL17	S 1 PD25OCT17	2839.50	3279.50	USD	-11,000.00	
25JUL17	S 1 PD25OCT17	2845.00	3279.50	USD	-10,862.50	
25JUL17	S 1 PD25OCT17	2845.50	3279.50	USD	-10,850.00	
25JUL17	S 1 PD25OCT17	2851.00	3279.50	USD	-10,712.50	
25JUL17	B 2 PD25OCT17	2838.50	3279.50	USD	22,050.00	
25JUL17	B 2 PD25OCT17	2838.00	3279.50	USD	22,075.00	
25JUL17	B 1 PD25OCT17	2837.00	3279.50	USD	11,062.50	
25JUL17	B 1 PD25OCT17	2836.50	3279.50	USD	11,075.00	
25JUL17	B 2 PD25OCT17	2839.50	3279.50	USD	22,000.00	
25JUL17	B 1 PD25OCT17	2845.00	3279.50	USD	10,862.50	
27JUL17	B 4 PD25OCT17	2800.83	3279.50	USD	47,867.00	
28JUL17	B 1 PD25OCT17	2775.70	3279.50	USD	12,595.00	
08AUG17	S 2 PD25OCT17	2923.80	3279.50	USD	-17,785.00	
10AUG17	S 2 PD25OCT17	2935.14	3279.50	USD	-17,218.00	
10AUG17	B 1 PD25OCT17	2935.14	3279.50	USD	8,609.00	
15AUG17	B 1 PD25OCT17	2956.87	3279.50	USD	8,065.75	
	NET 0 AVG BGHT 2827.34 SOLD 2832.96				**3,508.75**	**0.00**
26JUL17	S 1 PD26OCT17	2844.50	3278.50	USD	-10,850.00	
26JUL17	S 1 PD26OCT17	2847.50	3278.50	USD	-10,775.00	
26JUL17	S 1 PD26OCT17	2846.00	3278.50	USD	-10,812.50	
26JUL17	B 1 PD26OCT17	2830.00	3278.50	USD	11,212.50	
26JUL17	B 1 PD26OCT17	2836.00	3278.50	USD	11,062.50	
26JUL17	B 2 PD26OCT17	2837.00	3278.50	USD	22,075.00	
26JUL17	B 1 PD26OCT17	2832.50	3278.50	USD	11,150.00	
26JUL17	S 1 PD26OCT17	2849.00	3278.50	USD	-10,737.50	
26JUL17	B 1 PD26OCT17	2843.00	3278.50	USD	10,887.50	
26JUL17	B 2 PD26OCT17	2845.00	3278.50	USD	21,675.00	
26JUL17	B 1 PD26OCT17	2828.00	3278.50	USD	11,262.50	
26JUL17	B 2 PD26OCT17	2819.00	3278.50	USD	22,975.00	
26JUL17	B 1 PD26OCT17	2818.00	3278.50	USD	11,512.50	
26JUL17	B 2 PD26OCT17	2817.00	3278.50	USD	23,075.00	
26JUL17	B 3 PD26OCT17	2818.50	3278.50	USD	34,500.00	
26JUL17	B 1 PD26OCT17	2820.00	3278.50	USD	11,462.50	
26JUL17	B 2 PD26OCT17	2819.50	3278.50	USD	22,950.00	
26JUL17	B 1 PD26OCT17	2825.00	3278.50	USD	11,337.50	
26JUL17	S 1 PD26OCT17	2820.50	3278.50	USD	-11,450.00	
26JUL17	B 1 PD26OCT17	2816.00	3278.50	USD	11,562.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
26JUL17	S 1 PD26OCT17	2819.50	3278.50	USD	-11,475.00	
26JUL17	S 1 PD26OCT17	2820.00	3278.50	USD	-11,462.50	
26JUL17	S 1 PD26OCT17	2825.50	3278.50	USD	-11,325.00	
26JUL17	S 2 PD26OCT17	2825.00	3278.50	USD	-22,675.00	
26JUL17	B 1 PD26OCT17	2820.00	3278.50	USD	11,462.50	
26JUL17	S 1 PD26OCT17	2827.50	3278.50	USD	-11,275.00	
26JUL17	B 2 PD26OCT17	2821.00	3278.50	USD	22,875.00	
26JUL17	B 1 PD26OCT17	2825.50	3278.50	USD	11,325.00	
26JUL17	B 2 PD26OCT17	2820.50	3278.50	USD	22,900.00	
26JUL17	B 2 PD26OCT17	2826.00	3278.50	USD	22,625.00	
26JUL17	B 1 PD26OCT17	2815.50	3278.50	USD	11,575.00	
26JUL17	B 1 PD26OCT17	2813.00	3278.50	USD	11,637.50	
26JUL17	B 2 PD26OCT17	2814.00	3278.50	USD	23,225.00	
26JUL17	B 1 PD26OCT17	2813.50	3278.50	USD	11,625.00	
26JUL17	S 1 PD26OCT17	2817.50	3278.50	USD	-11,525.00	
26JUL17	B 2 PD26OCT17	2815.00	3278.50	USD	23,175.00	
26JUL17	B 1 PD26OCT17	2811.00	3278.50	USD	11,687.50	
26JUL17	B 1 PD26OCT17	2806.00	3278.50	USD	11,812.50	
26JUL17	B 1 PD26OCT17	2805.50	3278.50	USD	11,825.00	
26JUL17	B 1 PD26OCT17	2805.00	3278.50	USD	11,837.50	
27JUL17	S 1 PD26OCT17	2800.55	3278.50	USD	-11,948.75	
03AUG17	S 20 PD26OCT17	2789.80	3278.50	USD	-244,350.00	
07AUG17	S 2 PD26OCT17	2856.50	3278.50	USD	-21,100.00	
10AUG17	S 3 PD26OCT17	2935.12	3278.50	USD	-25,753.50	
10AUG17	S 3 PD26OCT17	2935.32	3278.50	USD	-25,738.50	
	NET 0 AVG BGHT 2821.63 SOLD 2826.55				**5,034.25**	**0.00**
27JUL17	B 1 PD27OCT17	2806.00	3277.50	USD	11,787.50	
27JUL17	B 1 PD27OCT17	2805.50	3277.50	USD	11,800.00	
27JUL17	S 1 PD27OCT17	2808.50	3277.50	USD	-11,725.00	
27JUL17	B 1 PD27OCT17	2815.00	3277.50	USD	11,562.50	
27JUL17	S 1 PD27OCT17	2816.00	3277.50	USD	-11,537.50	
27JUL17	B 1 PD27OCT17	2808.00	3277.50	USD	11,737.50	
27JUL17	S 1 PD27OCT17	2814.50	3277.50	USD	-11,575.00	
27JUL17	S 1 PD27OCT17	2818.00	3277.50	USD	-11,487.50	
27JUL17	S 3 PD27OCT17	2832.50	3277.50	USD	-33,375.00	
27JUL17	B 1 PD27OCT17	2822.00	3277.50	USD	11,387.50	
27JUL17	S 1 PD27OCT17	2808.50	3277.50	USD	-11,725.00	
27JUL17	B 2 PD27OCT17	2808.50	3277.50	USD	23,450.00	
27JUL17	B 1 PD27OCT17	2816.00	3277.50	USD	11,537.50	
27JUL17	B 3 PD27OCT17	2804.50	3277.50	USD	35,475.00	
27JUL17	S 1 PD27OCT17	2797.50	3277.50	USD	-12,000.00	
27JUL17	S 1 PD27OCT17	2795.00	3277.50	USD	-12,062.50	
27JUL17	S 1 PD27OCT17	2798.50	3277.50	USD	-11,975.00	
27JUL17	S 1 PD27OCT17	2799.00	3277.50	USD	-11,962.50	
27JUL17	B 3 PD27OCT17	2799.00	3277.50	USD	35,887.50	
28JUL17	S 1 PD27OCT17	2805.00	3277.50	USD	-11,812.50	
28JUL17	S 1 PD27OCT17	2804.00	3277.50	USD	-11,837.50	
28JUL17	S 1 PD27OCT17	2786.00	3277.50	USD	-12,287.50	
28JUL17	S 3 PD27OCT17	2786.50	3277.50	USD	-36,825.00	
28JUL17	S 3 PD27OCT17	2787.50	3277.50	USD	-36,750.00	
28JUL17	B 2 PD27OCT17	2773.50	3277.50	USD	25,200.00	
28JUL17	B 1 PD27OCT17	2762.50	3277.50	USD	12,875.00	
28JUL17	B 1 PD27OCT17	2768.00	3277.50	USD	12,737.50	
28JUL17	B 1 PD27OCT17	2773.00	3277.50	USD	12,612.50	
28JUL17	S 1 PD27OCT17	2785.00	3277.50	USD	-12,312.50	
28JUL17	S 1 PD27OCT17	2772.00	3277.50	USD	-12,637.50	
28JUL17	B 2 PD27OCT17	2772.50	3277.50	USD	25,250.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
28JUL17	B 2 PD27OCT17	2774.50	3277.50	USD	25,150.00	
28JUL17	B 1 PD27OCT17	2774.00	3277.50	USD	12,587.50	
28JUL17	B 1 PD27OCT17	2777.00	3277.50	USD	12,512.50	
28JUL17	S 1 PD27OCT17	2782.00	3277.50	USD	-12,387.50	
28JUL17	S 5 PD27OCT17	2783.00	3277.50	USD	-61,812.50	
28JUL17	S 1 PD27OCT17	2788.00	3277.50	USD	-12,237.50	
28JUL17	S 1 PD27OCT17	2785.50	3277.50	USD	-12,300.00	
28JUL17	B 1 PD27OCT17	2789.00	3277.50	USD	12,212.50	
28JUL17	B 1 PD27OCT17	2788.00	3277.50	USD	12,237.50	
28JUL17	B 1 PD27OCT17	2779.50	3277.50	USD	12,450.00	
28JUL17	B 1 PD27OCT17	2784.00	3277.50	USD	12,337.50	
28JUL17	B 1 PD27OCT17	2780.00	3277.50	USD	12,437.50	
28JUL17	B 1 PD27OCT17	2778.50	3277.50	USD	12,475.00	
28JUL17	B 1 PD27OCT17	2776.20	3277.50	USD	12,532.50	
07AUG17	S 1 PD27OCT17	2856.50	3277.50	USD	-10,525.00	
09AUG17	B 2 PD27OCT17	2929.75	3277.50	USD	17,387.50	
10AUG17	S 2 PD27OCT17	2935.50	3277.50	USD	-17,100.00	
10AUG17	B 2 PD27OCT17	2935.70	3277.50	USD	17,090.00	
22AUG17	S 1 PD27OCT17	3116.94	3277.50	USD	-4,014.00	
14SEP17	S 1 PD27OCT17	3002.45	3277.50	USD	-6,876.25	
	NET 0 AVG BGHT 2805.60 SOLD 2820.68				**13,569.75**	**0.00**
31JUL17	S 1 PD31OCT17	2810.50	3275.50	USD	-11,625.00	
31JUL17	B 1 PD31OCT17	2803.00	3275.50	USD	11,812.50	
31JUL17	B 1 PD31OCT17	2791.00	3275.50	USD	12,112.50	
31JUL17	B 1 PD31OCT17	2790.50	3275.50	USD	12,125.00	
31JUL17	S 1 PD31OCT17	2814.00	3275.50	USD	-11,537.50	
31JUL17	S 1 PD31OCT17	2824.00	3275.50	USD	-11,287.50	
31JUL17	S 1 PD31OCT17	2827.00	3275.50	USD	-11,212.50	
31JUL17	S 1 PD31OCT17	2821.00	3275.50	USD	-11,362.50	
31JUL17	S 1 PD31OCT17	2822.50	3275.50	USD	-11,325.00	
31JUL17	B 1 PD31OCT17	2794.00	3275.50	USD	12,037.50	
31JUL17	S 1 PD31OCT17	2813.50	3275.50	USD	-11,550.00	
31JUL17	B 1 PD31OCT17	2804.50	3275.50	USD	11,775.00	
31JUL17	B 1 PD31OCT17	2809.00	3275.50	USD	11,662.50	
31JUL17	B 1 PD31OCT17	2808.00	3275.50	USD	11,687.50	
31JUL17	B 1 PD31OCT17	2801.50	3275.50	USD	11,850.00	
31JUL17	B 1 PD31OCT17	2802.00	3275.50	USD	11,837.50	
31JUL17	B 3 PD31OCT17	2800.00	3275.50	USD	35,662.50	
31JUL17	S 2 PD31OCT17	2802.00	3275.50	USD	-23,675.00	
31JUL17	S 2 PD31OCT17	2801.00	3275.50	USD	-23,725.00	
31JUL17	S 1 PD31OCT17	2800.50	3275.50	USD	-11,875.00	
31JUL17	S 3 PD31OCT17	2802.50	3275.50	USD	-35,475.00	
31JUL17	S 1 PD31OCT17	2804.50	3275.50	USD	-11,775.00	
31JUL17	B 1 PD31OCT17	2791.50	3275.50	USD	12,100.00	
31JUL17	B 1 PD31OCT17	2800.50	3275.50	USD	11,875.00	
31JUL17	B 1 PD31OCT17	2797.00	3275.50	USD	11,962.50	
31JUL17	B 1 PD31OCT17	2795.00	3275.50	USD	12,012.50	
31JUL17	B 1 PD31OCT17	2793.00	3275.50	USD	12,062.50	
31JUL17	B 1 PD31OCT17	2807.50	3275.50	USD	11,700.00	
07AUG17	B 1 PD31OCT17	2856.70	3275.50	USD	10,470.00	
09AUG17	S 2 PD31OCT17	2929.55	3275.50	USD	-17,297.50	
09AUG17	S 1 PD31OCT17	2929.75	3275.50	USD	-8,643.75	
	NET 0 AVG BGHT 2802.35 SOLD 2828.41				**12,378.75**	**0.00**
01AUG17	B 1 PD01NOV17	2780.50	3274.50	USD	12,350.00	
01AUG17	B 1 PD01NOV17	2782.00	3274.50	USD	12,312.50	
01AUG17	S 1 PD01NOV17	2780.50	3274.50	USD	-12,350.00	
01AUG17	B 2 PD01NOV17	2786.00	3274.50	USD	24,425.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
01AUG17	B 1 PD01NOV17	2774.00	3274.50	USD	12,512.50	
01AUG17	B 1 PD01NOV17	2773.50	3274.50	USD	12,525.00	
01AUG17	B 2 PD01NOV17	2771.00	3274.50	USD	25,175.00	
01AUG17	S 1 PD01NOV17	2772.00	3274.50	USD	-12,562.50	
01AUG17	S 1 PD01NOV17	2772.50	3274.50	USD	-12,550.00	
01AUG17	S 1 PD01NOV17	2765.50	3274.50	USD	-12,725.00	
01AUG17	S 2 PD01NOV17	2765.00	3274.50	USD	-25,475.00	
01AUG17	S 2 PD01NOV17	2766.50	3274.50	USD	-25,400.00	
01AUG17	S 1 PD01NOV17	2772.00	3274.50	USD	-12,562.50	
01AUG17	B 1 PD01NOV17	2770.50	3274.50	USD	12,600.00	
01AUG17	S 1 PD01NOV17	2779.50	3274.50	USD	-12,375.00	
01AUG17	S 1 PD01NOV17	2781.00	3274.50	USD	-12,337.50	
01AUG17	S 1 PD01NOV17	2781.50	3274.50	USD	-12,325.00	
01AUG17	S 2 PD01NOV17	2782.00	3274.50	USD	-24,625.00	
01AUG17	S 2 PD01NOV17	2778.00	3274.50	USD	-24,825.00	
01AUG17	B 2 PD01NOV17	2775.00	3274.50	USD	24,975.00	
01AUG17	B 3 PD01NOV17	2776.50	3274.50	USD	37,350.00	
01AUG17	S 1 PD01NOV17	2773.00	3274.50	USD	-12,537.50	
01AUG17	S 1 PD01NOV17	2778.50	3274.50	USD	-12,400.00	
01AUG17	S 1 PD01NOV17	2786.00	3274.50	USD	-12,212.50	
01AUG17	B 1 PD01NOV17	2788.00	3274.50	USD	12,162.50	
01AUG17	B 1 PD01NOV17	2788.50	3274.50	USD	12,150.00	
01AUG17	B 1 PD01NOV17	2790.00	3274.50	USD	12,112.50	
01AUG17	S 1 PD01NOV17	2775.00	3274.50	USD	-12,487.50	
01AUG17	S 1 PD01NOV17	2774.50	3274.50	USD	-12,500.00	
01AUG17	S 2 PD01NOV17	2774.00	3274.50	USD	-25,025.00	
01AUG17	S 1 PD01NOV17	2788.00	3274.50	USD	-12,162.50	
03AUG17	B 1 PD01NOV17	2791.50	3274.50	USD	12,075.00	
07AUG17	B 2 PD01NOV17	2856.91	3274.50	USD	20,879.50	
09AUG17	B 1 PD01NOV17	2930.14	3274.50	USD	8,609.00	
15AUG17	B 1 PD01NOV17	2957.82	3274.50	USD	7,917.00	
15AUG17	B 1 PD01NOV17	2957.62	3274.50	USD	7,922.00	
05SEP17	B 1 PD01NOV17	3137.58	3274.50	USD	3,423.00	
	NET 0 AVG BGHT 2822.04 SOLD 2775.44				**-27,962.00**	**0.00**
02AUG17	B 1 PD02NOV17	2778.50	3273.50	USD	12,375.00	
02AUG17	S 1 PD02NOV17	2762.50	3273.50	USD	-12,775.00	
02AUG17	B 1 PD02NOV17	2771.00	3273.50	USD	12,562.50	
02AUG17	B 1 PD02NOV17	2770.00	3273.50	USD	12,587.50	
02AUG17	B 1 PD02NOV17	2772.50	3273.50	USD	12,525.00	
02AUG17	S 1 PD02NOV17	2769.00	3273.50	USD	-12,612.50	
02AUG17	B 1 PD02NOV17	2762.00	3273.50	USD	12,787.50	
02AUG17	B 1 PD02NOV17	2764.50	3273.50	USD	12,725.00	
02AUG17	S 1 PD02NOV17	2768.50	3273.50	USD	-12,625.00	
02AUG17	B 1 PD02NOV17	2763.00	3273.50	USD	12,762.50	
02AUG17	S 1 PD02NOV17	2767.00	3273.50	USD	-12,662.50	
02AUG17	S 1 PD02NOV17	2770.50	3273.50	USD	-12,575.00	
02AUG17	S 1 PD02NOV17	2787.00	3273.50	USD	-12,162.50	
02AUG17	S 1 PD02NOV17	2785.50	3273.50	USD	-12,200.00	
02AUG17	S 1 PD02NOV17	2789.50	3273.50	USD	-12,100.00	
02AUG17	S 1 PD02NOV17	2799.00	3273.50	USD	-11,862.50	
02AUG17	S 1 PD02NOV17	2803.00	3273.50	USD	-11,762.50	
02AUG17	S 1 PD02NOV17	2804.00	3273.50	USD	-11,737.50	
02AUG17	S 1 PD02NOV17	2799.50	3273.50	USD	-11,850.00	
02AUG17	B 1 PD02NOV17	2801.50	3273.50	USD	11,800.00	
02AUG17	B 1 PD02NOV17	2805.00	3273.50	USD	11,712.50	
02AUG17	S 1 PD02NOV17	2806.50	3273.50	USD	-11,675.00	
02AUG17	S 3 PD02NOV17	2806.00	3273.50	USD	-35,062.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
02AUG17	S 1 PD02NOV17	2805.00	3273.50	USD	-11,712.50	
02AUG17	B 1 PD02NOV17	2805.50	3273.50	USD	11,700.00	
02AUG17	B 1 PD02NOV17	2803.50	3273.50	USD	11,750.00	
02AUG17	B 1 PD02NOV17	2806.50	3273.50	USD	11,675.00	
03AUG17	S 1 PD02NOV17	2791.55	3273.50	USD	-12,048.75	
09AUG17	B 3 PD02NOV17	2930.14	3273.50	USD	25,752.00	
10AUG17	B 4 PD02NOV17	2936.77	3273.50	USD	33,673.00	
10AUG17	B 1 PD02NOV17	2936.57	3273.50	USD	8,423.25	
15AUG17	S 1 PD02NOV17	2957.55	3273.50	USD	-7,898.75	
15AUG17	S 1 PD02NOV17	2957.75	3273.50	USD	-7,893.75	
17AUG17	S 1 PD02NOV17	3060.86	3273.50	USD	-5,316.00	
12SEP17	B 1 PD02NOV17	3056.07	3273.50	USD	5,435.75	
	NET 0 AVG BGHT 2853.98 SOLD 2819.15				**-18,285.75**	**0.00**
03AUG17	S 1 PD03NOV17	2814.00	3272.00	USD	-11,450.00	
03AUG17	B 1 PD03NOV17	2825.00	3272.00	USD	11,175.00	
03AUG17	B 1 PD03NOV17	2825.50	3272.00	USD	11,162.50	
03AUG17	S 1 PD03NOV17	2824.00	3272.00	USD	-11,200.00	
03AUG17	S 1 PD03NOV17	2826.50	3272.00	USD	-11,137.50	
03AUG17	S 1 PD03NOV17	2831.00	3272.00	USD	-11,025.00	
03AUG17	B 1 PD03NOV17	2835.50	3272.00	USD	10,912.50	
03AUG17	S 1 PD03NOV17	2842.50	3272.00	USD	-10,737.50	
03AUG17	S 1 PD03NOV17	2840.50	3272.00	USD	-10,787.50	
03AUG17	S 1 PD03NOV17	2838.50	3272.00	USD	-10,837.50	
03AUG17	B 1 PD03NOV17	2832.00	3272.00	USD	11,000.00	
03AUG17	B 3 PD03NOV17	2829.50	3272.00	USD	33,187.50	
03AUG17	S 1 PD03NOV17	2814.50	3272.00	USD	-11,437.50	
03AUG17	S 1 PD03NOV17	2815.00	3272.00	USD	-11,425.00	
03AUG17	S 2 PD03NOV17	2812.50	3272.00	USD	-22,975.00	
03AUG17	S 1 PD03NOV17	2813.50	3272.00	USD	-11,462.50	
03AUG17	B 1 PD03NOV17	2812.00	3272.00	USD	11,500.00	
03AUG17	B 1 PD03NOV17	2821.50	3272.00	USD	11,262.50	
03AUG17	B 1 PD03NOV17	2820.00	3272.00	USD	11,300.00	
03AUG17	B 1 PD03NOV17	2819.50	3272.00	USD	11,312.50	
03AUG17	B 1 PD03NOV17	2820.50	3272.00	USD	11,287.50	
03AUG17	S 1 PD03NOV17	2820.50	3272.00	USD	-11,287.50	
03AUG17	B 1 PD03NOV17	2811.50	3272.00	USD	11,512.50	
03AUG17	B 2 PD03NOV17	2812.50	3272.00	USD	22,975.00	
03AUG17	B 1 PD03NOV17	2807.50	3272.00	USD	11,612.50	
03AUG17	S 1 PD03NOV17	2798.00	3272.00	USD	-11,850.00	
03AUG17	B 1 PD03NOV17	2800.00	3272.00	USD	11,800.00	
03AUG17	S 1 PD03NOV17	2795.00	3272.00	USD	-11,925.00	
03AUG17	B 1 PD03NOV17	2803.50	3272.00	USD	11,712.50	
03AUG17	B 1 PD03NOV17	2795.50	3272.00	USD	11,912.50	
03AUG17	B 1 PD03NOV17	2796.50	3272.00	USD	11,887.50	
03AUG17	B 1 PD03NOV17	2793.50	3272.00	USD	11,962.50	
04AUG17	B 1 PD03NOV17	2797.50	3272.00	USD	11,862.50	
04AUG17	S 1 PD03NOV17	2823.00	3272.00	USD	-11,225.00	
04AUG17	S 1 PD03NOV17	2833.50	3272.00	USD	-10,962.50	
04AUG17	S 1 PD03NOV17	2833.00	3272.00	USD	-10,975.00	
04AUG17	B 1 PD03NOV17	2832.50	3272.00	USD	10,987.50	
04AUG17	S 1 PD03NOV17	2834.00	3272.00	USD	-10,950.00	
04AUG17	B 1 PD03NOV17	2825.00	3272.00	USD	11,175.00	
04AUG17	B 1 PD03NOV17	2828.00	3272.00	USD	11,100.00	
04AUG17	B 1 PD03NOV17	2814.50	3272.00	USD	11,437.50	
04AUG17	B 2 PD03NOV17	2815.50	3272.00	USD	22,825.00	
04AUG17	B 1 PD03NOV17	2816.00	3272.00	USD	11,400.00	
04AUG17	B 1 PD03NOV17	2818.50	3272.00	USD	11,337.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
04AUG17	S 1 PD03NOV17	2821.50	3272.00	USD	-11,262.50	
04AUG17	B 1 PD03NOV17	2819.00	3272.00	USD	11,325.00	
04AUG17	S 1 PD03NOV17	2817.50	3272.00	USD	-11,362.50	
04AUG17	S 1 PD03NOV17	2816.00	3272.00	USD	-11,400.00	
04AUG17	S 1 PD03NOV17	2818.00	3272.00	USD	-11,350.00	
04AUG17	S 1 PD03NOV17	2819.50	3272.00	USD	-11,312.50	
04AUG17	S 1 PD03NOV17	2808.50	3272.00	USD	-11,587.50	
04AUG17	B 1 PD03NOV17	2810.50	3272.00	USD	11,537.50	
04AUG17	B 1 PD03NOV17	2809.50	3272.00	USD	11,562.50	
04AUG17	S 1 PD03NOV17	2812.50	3272.00	USD	-11,487.50	
04AUG17	B 2 PD03NOV17	2813.00	3272.00	USD	22,950.00	
04AUG17	S 1 PD03NOV17	2804.00	3272.00	USD	-11,700.00	
04AUG17	S 1 PD03NOV17	2814.00	3272.00	USD	-11,450.00	
04AUG17	B 1 PD03NOV17	2801.00	3272.00	USD	11,775.00	
04AUG17	B 1 PD03NOV17	2800.00	3272.00	USD	11,800.00	
04AUG17	S 1 PD03NOV17	2821.50	3272.00	USD	-11,262.50	
04AUG17	S 1 PD03NOV17	2820.50	3272.00	USD	-11,287.50	
04AUG17	B 1 PD03NOV17	2813.50	3272.00	USD	11,462.50	
04AUG17	B 1 PD03NOV17	2814.00	3272.00	USD	11,450.00	
04AUG17	B 1 PD03NOV17	2809.00	3272.00	USD	11,575.00	
04AUG17	S 2 PD03NOV17	2815.00	3272.00	USD	-22,850.00	
09AUG17	S 2 PD03NOV17	2930.33	3272.00	USD	-17,083.50	
10AUG17	S 1 PD03NOV17	2936.55	3272.00	USD	-8,386.25	
15AUG17	S 2 PD03NOV17	2957.88	3272.00	USD	-15,706.00	
17AUG17	S 1 PD03NOV17	3060.89	3272.00	USD	-5,277.75	
14SEP17	S 2 PD03NOV17	3003.15	3272.00	USD	-13,442.50	
	NET 0 AVG BGHT 2814.96 SOLD 2850.14				**35,179.00**	**0.00**
07AUG17	S 2 PD07NOV17	2813.00	3272.00	USD	-22,950.00	
07AUG17	B 1 PD07NOV17	2791.00	3272.00	USD	12,025.00	
07AUG17	S 1 PD07NOV17	2813.50	3272.00	USD	-11,462.50	
07AUG17	B 1 PD07NOV17	2807.00	3272.00	USD	11,625.00	
07AUG17	S 1 PD07NOV17	2807.50	3272.00	USD	-11,612.50	
07AUG17	B 1 PD07NOV17	2805.50	3272.00	USD	11,662.50	
07AUG17	S 1 PD07NOV17	2816.50	3272.00	USD	-11,387.50	
07AUG17	S 1 PD07NOV17	2833.00	3272.00	USD	-10,975.00	
07AUG17	B 1 PD07NOV17	2833.00	3272.00	USD	10,975.00	
07AUG17	B 1 PD07NOV17	2835.00	3272.00	USD	10,925.00	
07AUG17	B 3 PD07NOV17	2835.50	3272.00	USD	32,737.50	
07AUG17	B 1 PD07NOV17	2837.00	3272.00	USD	10,875.00	
07AUG17	S 1 PD07NOV17	2838.50	3272.00	USD	-10,837.50	
07AUG17	S 2 PD07NOV17	2851.00	3272.00	USD	-21,050.00	
07AUG17	S 2 PD07NOV17	2852.50	3272.00	USD	-20,975.00	
07AUG17	B 1 PD07NOV17	2865.00	3272.00	USD	10,175.00	
07AUG17	S 1 PD07NOV17	2865.50	3272.00	USD	-10,162.50	
07AUG17	S 1 PD07NOV17	2873.00	3272.00	USD	-9,975.00	
07AUG17	S 1 PD07NOV17	2874.50	3272.00	USD	-9,937.50	
07AUG17	S 1 PD07NOV17	2876.50	3272.00	USD	-9,887.50	
07AUG17	S 1 PD07NOV17	2878.50	3272.00	USD	-9,837.50	
07AUG17	B 2 PD07NOV17	2879.00	3272.00	USD	19,650.00	
07AUG17	B 2 PD07NOV17	2872.50	3272.00	USD	19,975.00	
07AUG17	S 1 PD07NOV17	2872.50	3272.00	USD	-9,987.50	
07AUG17	S 2 PD07NOV17	2867.00	3272.00	USD	-20,250.00	
07AUG17	S 2 PD07NOV17	2871.00	3272.00	USD	-20,050.00	
07AUG17	S 2 PD07NOV17	2872.00	3272.00	USD	-20,000.00	
07AUG17	S 1 PD07NOV17	2879.50	3272.00	USD	-9,812.50	
07AUG17	S 1 PD07NOV17	2881.50	3272.00	USD	-9,762.50	
07AUG17	S 2 PD07NOV17	2876.00	3272.00	USD	-19,800.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
07AUG17	B 1 PD07NOV17	2883.50	3272.00	USD	9,712.50	
07AUG17	B 3 PD07NOV17	2880.00	3272.00	USD	29,400.00	
07AUG17	S 3 PD07NOV17	2874.00	3272.00	USD	-29,850.00	
07AUG17	S 1 PD07NOV17	2873.50	3272.00	USD	-9,962.50	
07AUG17	B 2 PD07NOV17	2871.00	3272.00	USD	20,050.00	
07AUG17	B 1 PD07NOV17	2866.00	3272.00	USD	10,150.00	
07AUG17	B 2 PD07NOV17	2870.50	3272.00	USD	20,075.00	
07AUG17	B 1 PD07NOV17	2869.50	3272.00	USD	10,062.50	
07AUG17	B 1 PD07NOV17	2868.50	3272.00	USD	10,087.50	
07AUG17	B 1 PD07NOV17	2868.00	3272.00	USD	10,100.00	
07AUG17	B 1 PD07NOV17	2867.00	3272.00	USD	10,125.00	
07AUG17	B 1 PD07NOV17	2864.00	3272.00	USD	10,200.00	
09AUG17	B 2 PD07NOV17	2931.31	3272.00	USD	17,034.50	
10AUG17	B 2 PD07NOV17	2937.66	3272.00	USD	16,717.00	
15AUG17	B 3 PD07NOV17	2958.62	3272.00	USD	23,503.50	
17AUG17	S 1 PD07NOV17	3061.00	3272.00	USD	-5,275.00	
11SEP17	B 2 PD07NOV17	3080.19	3272.00	USD	9,590.50	
14SEP17	S 5 PD07NOV17	3003.55	3272.00	USD	-33,556.25	
	NET 0 AVG BGHT 2885.59 SOLD 2883.51				**-1,923.25**	**0.00**
08AUG17	S 1 PD08NOV17	2882.50	3272.00	USD	-9,737.50	
08AUG17	S 2 PD08NOV17	2877.50	3272.00	USD	-19,725.00	
08AUG17	B 2 PD08NOV17	2878.00	3272.00	USD	19,700.00	
08AUG17	S 1 PD08NOV17	2872.00	3272.00	USD	-10,000.00	
08AUG17	B 2 PD08NOV17	2863.00	3272.00	USD	20,450.00	
08AUG17	S 1 PD08NOV17	2879.00	3272.00	USD	-9,825.00	
08AUG17	S 1 PD08NOV17	2878.00	3272.00	USD	-9,850.00	
08AUG17	B 4 PD08NOV17	2872.00	3272.00	USD	40,000.00	
08AUG17	S 1 PD08NOV17	2882.00	3272.00	USD	-9,750.00	
08AUG17	B 1 PD08NOV17	2871.00	3272.00	USD	10,025.00	
08AUG17	B 1 PD08NOV17	2872.00	3272.00	USD	10,000.00	
08AUG17	B 1 PD08NOV17	2884.00	3272.00	USD	9,700.00	
08AUG17	B 2 PD08NOV17	2875.00	3272.00	USD	19,850.00	
08AUG17	B 1 PD08NOV17	2874.50	3272.00	USD	9,937.50	
08AUG17	S 2 PD08NOV17	2875.00	3272.00	USD	-19,850.00	
08AUG17	S 1 PD08NOV17	2871.50	3272.00	USD	-10,012.50	
08AUG17	S 1 PD08NOV17	2879.50	3272.00	USD	-9,812.50	
08AUG17	B 2 PD08NOV17	2883.00	3272.00	USD	19,450.00	
08AUG17	B 1 PD08NOV17	2876.50	3272.00	USD	9,887.50	
08AUG17	S 1 PD08NOV17	2894.00	3272.00	USD	-9,450.00	
08AUG17	B 2 PD08NOV17	2897.00	3272.00	USD	18,750.00	
08AUG17	B 1 PD08NOV17	2898.50	3272.00	USD	9,337.50	
08AUG17	B 3 PD08NOV17	2896.50	3272.00	USD	28,162.50	
08AUG17	B 1 PD08NOV17	2899.00	3272.00	USD	9,325.00	
08AUG17	B 1 PD08NOV17	2915.50	3272.00	USD	8,912.50	
08AUG17	B 1 PD08NOV17	2914.50	3272.00	USD	8,937.50	
08AUG17	S 1 PD08NOV17	2910.00	3272.00	USD	-9,050.00	
08AUG17	S 1 PD08NOV17	2913.00	3272.00	USD	-8,975.00	
08AUG17	S 1 PD08NOV17	2918.00	3272.00	USD	-8,850.00	
08AUG17	S 1 PD08NOV17	2920.00	3272.00	USD	-8,800.00	
08AUG17	S 1 PD08NOV17	2921.00	3272.00	USD	-8,775.00	
08AUG17	S 2 PD08NOV17	2930.00	3272.00	USD	-17,100.00	
08AUG17	B 2 PD08NOV17	2927.00	3272.00	USD	17,250.00	
08AUG17	B 5 PD08NOV17	2924.00	3272.00	USD	43,500.00	
08AUG17	B 1 PD08NOV17	2935.00	3272.00	USD	8,425.00	
08AUG17	S 1 PD08NOV17	2936.50	3272.00	USD	-8,387.50	
08AUG17	B 1 PD08NOV17	2933.50	3272.00	USD	8,462.50	
08AUG17	B 1 PD08NOV17	2928.50	3272.00	USD	8,587.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
09AUG17	S 3 PD08NOV17	2931.11	3272.00	USD	-25,566.75	
10AUG17	B 1 PD08NOV17	2937.84	3272.00	USD	8,354.00	
17AUG17	S 2 PD08NOV17	3061.31	3272.00	USD	-10,534.50	
22AUG17	S 10 PD08NOV17	3118.56	3272.00	USD	-38,360.00	
05SEP17	S 3 PD08NOV17	3138.21	3272.00	USD	-10,034.25	
12SEP17	B 2 PD08NOV17	3056.91	3272.00	USD	10,754.50	
12SEP17	S 1 PD08NOV17	3056.91	3272.00	USD	-5,377.25	
	NET 0 AVG BGHT 2905.07 SOLD 2987.05				**79,935.75**	**0.00**
09AUG17	S 1 PD09NOV17	2945.00	3272.00	USD	-8,175.00	
09AUG17	S 1 PD09NOV17	2969.00	3272.00	USD	-7,575.00	
09AUG17	S 1 PD09NOV17	2974.00	3272.00	USD	-7,450.00	
09AUG17	S 1 PD09NOV17	2975.00	3272.00	USD	-7,425.00	
09AUG17	S 2 PD09NOV17	2963.00	3272.00	USD	-15,450.00	
09AUG17	B 1 PD09NOV17	2948.00	3272.00	USD	8,100.00	
09AUG17	B 2 PD09NOV17	2956.50	3272.00	USD	15,775.00	
09AUG17	S 1 PD09NOV17	2951.50	3272.00	USD	-8,012.50	
09AUG17	S 1 PD09NOV17	2952.50	3272.00	USD	-7,987.50	
09AUG17	S 1 PD09NOV17	2954.00	3272.00	USD	-7,950.00	
09AUG17	B 1 PD09NOV17	2957.00	3272.00	USD	7,875.00	
09AUG17	B 6 PD09NOV17	2954.00	3272.00	USD	47,700.00	
09AUG17	B 1 PD09NOV17	2953.50	3272.00	USD	7,962.50	
09AUG17	B 1 PD09NOV17	2958.50	3272.00	USD	7,837.50	
09AUG17	S 1 PD09NOV17	2958.00	3272.00	USD	-7,850.00	
09AUG17	S 1 PD09NOV17	2961.00	3272.00	USD	-7,775.00	
09AUG17	S 1 PD09NOV17	2965.50	3272.00	USD	-7,662.50	
09AUG17	S 1 PD09NOV17	2965.00	3272.00	USD	-7,675.00	
09AUG17	S 2 PD09NOV17	2964.50	3272.00	USD	-15,375.00	
09AUG17	S 1 PD09NOV17	2974.50	3272.00	USD	-7,437.50	
09AUG17	S 1 PD09NOV17	2976.00	3272.00	USD	-7,400.00	
09AUG17	S 2 PD09NOV17	2973.00	3272.00	USD	-14,950.00	
09AUG17	S 1 PD09NOV17	2960.00	3272.00	USD	-7,800.00	
09AUG17	S 1 PD09NOV17	2952.50	3272.00	USD	-7,987.50	
09AUG17	B 1 PD09NOV17	2958.00	3272.00	USD	7,850.00	
09AUG17	S 1 PD09NOV17	2946.00	3272.00	USD	-8,150.00	
09AUG17	S 1 PD09NOV17	2944.00	3272.00	USD	-8,200.00	
09AUG17	S 1 PD09NOV17	2940.50	3272.00	USD	-8,287.50	
09AUG17	B 2 PD09NOV17	2932.50	3272.00	USD	16,975.00	
09AUG17	B 1 PD09NOV17	2935.00	3272.00	USD	8,425.00	
09AUG17	B 1 PD09NOV17	2934.50	3272.00	USD	8,437.50	
09AUG17	S 2 PD09NOV17	2934.50	3272.00	USD	-16,875.00	
09AUG17	S 1 PD09NOV17	2935.00	3272.00	USD	-8,425.00	
17AUG17	B 5 PD09NOV17	3061.83	3272.00	USD	26,271.25	
17AUG17	B 2 PD09NOV17	3061.63	3272.00	USD	10,518.50	
23AUG17	B 1 PD09NOV17	3096.31	3272.00	USD	4,392.25	
05SEP17	B 3 PD09NOV17	3138.50	3272.00	USD	10,012.50	
11SEP17	B 1 PD09NOV17	3080.35	3272.00	USD	4,791.25	
12SEP17	B 1 PD09NOV17	3057.25	3272.00	USD	5,368.75	
12SEP17	B 4 PD09NOV17	3057.05	3272.00	USD	21,495.00	
14SEP17	S 7 PD09NOV17	3003.75	3272.00	USD	-46,943.75	
	NET 0 AVG BGHT 3013.43 SOLD 2967.51				**-39,031.75**	**0.00**
10AUG17	B 1 PD10NOV17	2949.00	3272.00	USD	8,075.00	
10AUG17	B 1 PD10NOV17	2948.50	3272.00	USD	8,087.50	
10AUG17	B 1 PD10NOV17	2948.00	3272.00	USD	8,100.00	
10AUG17	B 1 PD10NOV17	2946.50	3272.00	USD	8,137.50	
10AUG17	S 1 PD10NOV17	2946.50	3272.00	USD	-8,137.50	
10AUG17	B 1 PD10NOV17	2936.50	3272.00	USD	8,387.50	
10AUG17	B 1 PD10NOV17	2924.00	3272.00	USD	8,700.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
10AUG17	B 1 PD10NOV17	2910.50	3272.00	USD	9,037.50	
10AUG17	B 1 PD10NOV17	2912.00	3272.00	USD	9,000.00	
10AUG17	B 1 PD10NOV17	2906.50	3272.00	USD	9,137.50	
10AUG17	B 1 PD10NOV17	2907.00	3272.00	USD	9,125.00	
10AUG17	S 1 PD10NOV17	2913.50	3272.00	USD	-8,962.50	
10AUG17	B 1 PD10NOV17	2917.50	3272.00	USD	8,862.50	
10AUG17	S 1 PD10NOV17	2918.00	3272.00	USD	-8,850.00	
10AUG17	B 2 PD10NOV17	2938.00	3272.00	USD	16,700.00	
10AUG17	S 2 PD10NOV17	2938.50	3272.00	USD	-16,675.00	
10AUG17	S 1 PD10NOV17	2941.50	3272.00	USD	-8,262.50	
10AUG17	S 1 PD10NOV17	2949.00	3272.00	USD	-8,075.00	
10AUG17	S 1 PD10NOV17	2946.00	3272.00	USD	-8,150.00	
10AUG17	B 1 PD10NOV17	2943.50	3272.00	USD	8,212.50	
10AUG17	B 1 PD10NOV17	2939.00	3272.00	USD	8,325.00	
10AUG17	B 1 PD10NOV17	2940.00	3272.00	USD	8,300.00	
10AUG17	B 1 PD10NOV17	2937.50	3272.00	USD	8,362.50	
10AUG17	B 2 PD10NOV17	2936.00	3272.00	USD	16,800.00	
10AUG17	B 1 PD10NOV17	2932.00	3272.00	USD	8,500.00	
10AUG17	B 1 PD10NOV17	2933.50	3272.00	USD	8,462.50	
10AUG17	S 1 PD10NOV17	2930.00	3272.00	USD	-8,550.00	
10AUG17	S 1 PD10NOV17	2928.50	3272.00	USD	-8,587.50	
11AUG17	B 1 PD10NOV17	2923.50	3272.00	USD	8,712.50	
11AUG17	B 1 PD10NOV17	2919.00	3272.00	USD	8,825.00	
11AUG17	B 1 PD10NOV17	2918.50	3272.00	USD	8,837.50	
11AUG17	S 1 PD10NOV17	2918.50	3272.00	USD	-8,837.50	
11AUG17	S 1 PD10NOV17	2920.00	3272.00	USD	-8,800.00	
11AUG17	S 1 PD10NOV17	2926.50	3272.00	USD	-8,637.50	
11AUG17	S 1 PD10NOV17	2922.50	3272.00	USD	-8,737.50	
11AUG17	S 1 PD10NOV17	2923.50	3272.00	USD	-8,712.50	
11AUG17	S 1 PD10NOV17	2930.50	3272.00	USD	-8,537.50	
11AUG17	S 2 PD10NOV17	2932.00	3272.00	USD	-17,000.00	
11AUG17	S 2 PD10NOV17	2927.00	3272.00	USD	-17,250.00	
11AUG17	S 1 PD10NOV17	2923.00	3272.00	USD	-8,725.00	
11AUG17	B 2 PD10NOV17	2916.00	3272.00	USD	17,800.00	
11AUG17	S 1 PD10NOV17	2910.00	3272.00	USD	-9,050.00	
11AUG17	S 3 PD10NOV17	2908.00	3272.00	USD	-27,300.00	
11AUG17	B 1 PD10NOV17	2899.50	3272.00	USD	9,312.50	
11AUG17	B 1 PD10NOV17	2891.00	3272.00	USD	9,525.00	
11AUG17	B 1 PD10NOV17	2889.50	3272.00	USD	9,562.50	
11AUG17	B 1 PD10NOV17	2894.50	3272.00	USD	9,437.50	
11AUG17	S 1 PD10NOV17	2903.50	3272.00	USD	-9,212.50	
11AUG17	S 1 PD10NOV17	2906.50	3272.00	USD	-9,137.50	
11AUG17	S 1 PD10NOV17	2917.50	3272.00	USD	-8,862.50	
11AUG17	S 1 PD10NOV17	2916.50	3272.00	USD	-8,887.50	
11AUG17	S 2 PD10NOV17	2922.00	3272.00	USD	-17,500.00	
11AUG17	S 2 PD10NOV17	2919.00	3272.00	USD	-17,650.00	
11AUG17	S 1 PD10NOV17	2917.00	3272.00	USD	-8,875.00	
11AUG17	S 1 PD10NOV17	2914.00	3272.00	USD	-8,950.00	
11AUG17	B 1 PD10NOV17	2903.00	3272.00	USD	9,225.00	
11AUG17	B 1 PD10NOV17	2904.50	3272.00	USD	9,187.50	
11AUG17	S 1 PD10NOV17	2909.00	3272.00	USD	-9,075.00	
11AUG17	S 1 PD10NOV17	2915.00	3272.00	USD	-8,925.00	
11AUG17	B 1 PD10NOV17	2910.50	3272.00	USD	9,037.50	
11AUG17	B 1 PD10NOV17	2910.00	3272.00	USD	9,050.00	
11AUG17	B 1 PD10NOV17	2911.50	3272.00	USD	9,012.50	
11AUG17	B 1 PD10NOV17	2909.50	3272.00	USD	9,062.50	
11AUG17	B 2 PD10NOV17	2903.50	3272.00	USD	18,425.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
11AUG17	B 2 PD10NOV17	2905.00	3272.00	USD	18,350.00	
11AUG17	B 1 PD10NOV17	2901.00	3272.00	USD	9,275.00	
11AUG17	S 2 PD10NOV17	2904.00	3272.00	USD	-18,400.00	
11AUG17	S 2 PD10NOV17	2902.50	3272.00	USD	-18,475.00	
11AUG17	S 1 PD10NOV17	2904.50	3272.00	USD	-9,187.50	
11AUG17	S 1 PD10NOV17	2905.50	3272.00	USD	-9,162.50	
15AUG17	B 2 PD10NOV17	2958.83	3272.00	USD	15,658.50	
17AUG17	S 2 PD10NOV17	3061.94	3272.00	USD	-10,503.00	
17AUG17	S 2 PD10NOV17	3061.74	3272.00	USD	-10,513.00	
22AUG17	B 6 PD10NOV17	3119.03	3272.00	USD	22,945.50	
05SEP17	B 1 PD10NOV17	3138.39	3272.00	USD	3,340.25	
11SEP17	S 1 PD10NOV17	3080.23	3272.00	USD	-4,794.25	
12SEP17	S 2 PD10NOV17	3056.99	3272.00	USD	-10,750.50	
	NET 0 AVG BGHT 2949.68 SOLD 2940.24				**-11,804.00**	**0.00**
14AUG17	S 1 PD14NOV17	2915.50	3270.33	USD	-8,870.75	
14AUG17	B 1 PD14NOV17	2908.50	3270.33	USD	9,045.75	
14AUG17	B 1 PD14NOV17	2904.00	3270.33	USD	9,158.25	
14AUG17	B 1 PD14NOV17	2908.00	3270.33	USD	9,058.25	
14AUG17	B 1 PD14NOV17	2901.50	3270.33	USD	9,220.75	
14AUG17	B 1 PD14NOV17	2914.50	3270.33	USD	8,895.75	
14AUG17	B 1 PD14NOV17	2909.00	3270.33	USD	9,033.25	
14AUG17	S 2 PD14NOV17	2913.50	3270.33	USD	-17,841.50	
14AUG17	S 3 PD14NOV17	2916.00	3270.33	USD	-26,574.75	
14AUG17	S 6 PD14NOV17	2915.00	3270.33	USD	-53,299.50	
14AUG17	S 5 PD14NOV17	2914.00	3270.33	USD	-44,541.25	
15AUG17	S 2 PD14NOV17	2959.17	3270.33	USD	-15,558.00	
17AUG17	B 2 PD14NOV17	3063.39	3270.33	USD	10,347.00	
22AUG17	B 10 PD14NOV17	3119.57	3270.33	USD	37,690.00	
23AUG17	B 1 PD14NOV17	3096.88	3270.33	USD	4,336.25	
	NET 0 AVG BGHT 3045.52 SOLD 2919.41				**-59,900.50**	**0.00**
15AUG17	S 1 PD15NOV17	2933.00	3269.50	USD	-8,412.50	
15AUG17	S 1 PD15NOV17	2939.00	3269.50	USD	-8,262.50	
15AUG17	S 1 PD15NOV17	2933.50	3269.50	USD	-8,400.00	
15AUG17	B 1 PD15NOV17	2945.50	3269.50	USD	8,100.00	
15AUG17	B 1 PD15NOV17	2949.00	3269.50	USD	8,012.50	
15AUG17	S 1 PD15NOV17	2949.50	3269.50	USD	-8,000.00	
15AUG17	S 1 PD15NOV17	2953.50	3269.50	USD	-7,900.00	
15AUG17	B 1 PD15NOV17	2939.00	3269.50	USD	8,262.50	
15AUG17	S 1 PD15NOV17	2940.00	3269.50	USD	-8,237.50	
15AUG17	S 1 PD15NOV17	2937.00	3269.50	USD	-8,312.50	
15AUG17	B 1 PD15NOV17	2947.00	3269.50	USD	8,062.50	
15AUG17	B 1 PD15NOV17	2961.00	3269.50	USD	7,712.50	
15AUG17	S 2 PD15NOV17	2956.50	3269.50	USD	-15,650.00	
15AUG17	B 1 PD15NOV17	2944.00	3269.50	USD	8,137.50	
15AUG17	B 1 PD15NOV17	2940.00	3269.50	USD	8,237.50	
15AUG17	B 1 PD15NOV17	2939.50	3269.50	USD	8,250.00	
15AUG17	B 1 PD15NOV17	2934.50	3269.50	USD	8,375.00	
15AUG17	B 1 PD15NOV17	2931.50	3269.50	USD	8,450.00	
15AUG17	S 2 PD15NOV17	2944.50	3269.50	USD	-16,250.00	
15AUG17	S 2 PD15NOV17	2944.00	3269.50	USD	-16,275.00	
15AUG17	S 4 PD15NOV17	2947.50	3269.50	USD	-32,200.00	
15AUG17	S 1 PD15NOV17	2950.00	3269.50	USD	-7,987.50	
15AUG17	S 1 PD15NOV17	2955.50	3269.50	USD	-7,850.00	
15AUG17	S 1 PD15NOV17	2958.00	3269.50	USD	-7,787.50	
15AUG17	S 1 PD15NOV17	2964.00	3269.50	USD	-7,637.50	
15AUG17	B 1 PD15NOV17	2955.50	3269.50	USD	7,850.00	
15AUG17	B 1 PD15NOV17	2955.00	3269.50	USD	7,862.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
15AUG17	B 1 PD15NOV17	2954.00	3269.50	USD	7,887.50	
15AUG17	B 1 PD15NOV17	2956.00	3269.50	USD	7,837.50	
17AUG17	B 2 PD15NOV17	3063.50	3269.50	USD	10,300.00	
23AUG17	B 3 PD15NOV17	3097.00	3269.50	USD	12,937.50	
05SEP17	B 1 PD15NOV17	3138.50	3269.50	USD	3,275.00	
12SEP17	B 2 PD15NOV17	3057.50	3269.50	USD	10,600.00	
12SEP17	S 1 PD15NOV17	3057.30	3269.50	USD	-5,305.00	
	NET 0 AVG BGHT 2996.50 SOLD 2952.29				**-24,317.50**	**0.00**
16AUG17	B 1 PD16NOV17	2952.00	3267.50	USD	7,887.50	
16AUG17	B 1 PD16NOV17	2954.00	3267.50	USD	7,837.50	
16AUG17	B 1 PD16NOV17	2955.00	3267.50	USD	7,812.50	
16AUG17	S 1 PD16NOV17	2970.00	3267.50	USD	-7,437.50	
16AUG17	S 1 PD16NOV17	2974.50	3267.50	USD	-7,325.00	
16AUG17	S 1 PD16NOV17	2977.00	3267.50	USD	-7,262.50	
16AUG17	S 2 PD16NOV17	2978.00	3267.50	USD	-14,475.00	
16AUG17	S 1 PD16NOV17	2981.00	3267.50	USD	-7,162.50	
16AUG17	S 1 PD16NOV17	2988.50	3267.50	USD	-6,975.00	
16AUG17	S 1 PD16NOV17	2988.00	3267.50	USD	-6,987.50	
16AUG17	S 1 PD16NOV17	2990.00	3267.50	USD	-6,937.50	
16AUG17	S 1 PD16NOV17	3004.50	3267.50	USD	-6,575.00	
16AUG17	S 2 PD16NOV17	3011.00	3267.50	USD	-12,825.00	
16AUG17	S 1 PD16NOV17	3021.50	3267.50	USD	-6,150.00	
16AUG17	S 1 PD16NOV17	3016.00	3267.50	USD	-6,287.50	
16AUG17	S 1 PD16NOV17	3023.00	3267.50	USD	-6,112.50	
16AUG17	S 1 PD16NOV17	3020.00	3267.50	USD	-6,187.50	
16AUG17	S 1 PD16NOV17	3032.00	3267.50	USD	-5,887.50	
16AUG17	S 1 PD16NOV17	3031.50	3267.50	USD	-5,900.00	
16AUG17	B 1 PD16NOV17	2979.50	3267.50	USD	7,200.00	
16AUG17	S 1 PD16NOV17	3025.50	3267.50	USD	-6,050.00	
16AUG17	S 1 PD16NOV17	3019.50	3267.50	USD	-6,200.00	
16AUG17	B 1 PD16NOV17	3023.00	3267.50	USD	6,112.50	
16AUG17	S 1 PD16NOV17	3024.50	3267.50	USD	-6,075.00	
16AUG17	S 1 PD16NOV17	3029.00	3267.50	USD	-5,962.50	
16AUG17	S 1 PD16NOV17	3028.00	3267.50	USD	-5,987.50	
16AUG17	B 3 PD16NOV17	3030.50	3267.50	USD	17,775.00	
16AUG17	B 1 PD16NOV17	3032.00	3267.50	USD	5,887.50	
16AUG17	B 1 PD16NOV17	3035.00	3267.50	USD	5,812.50	
16AUG17	B 1 PD16NOV17	3039.00	3267.50	USD	5,712.50	
16AUG17	S 1 PD16NOV17	3045.50	3267.50	USD	-5,550.00	
16AUG17	B 1 PD16NOV17	3048.00	3267.50	USD	5,487.50	
16AUG17	B 1 PD16NOV17	3050.00	3267.50	USD	5,437.50	
16AUG17	B 2 PD16NOV17	3050.50	3267.50	USD	10,850.00	
16AUG17	B 1 PD16NOV17	3056.50	3267.50	USD	5,275.00	
16AUG17	S 1 PD16NOV17	3060.00	3267.50	USD	-5,187.50	
16AUG17	B 1 PD16NOV17	3063.00	3267.50	USD	5,112.50	
16AUG17	B 1 PD16NOV17	3067.50	3267.50	USD	5,000.00	
16AUG17	B 1 PD16NOV17	3067.00	3267.50	USD	5,012.50	
16AUG17	S 1 PD16NOV17	3068.50	3267.50	USD	-4,975.00	
16AUG17	S 1 PD16NOV17	3080.50	3267.50	USD	-4,675.00	
16AUG17	S 1 PD16NOV17	3085.00	3267.50	USD	-4,562.50	
16AUG17	B 1 PD16NOV17	3082.50	3267.50	USD	4,625.00	
16AUG17	B 1 PD16NOV17	3074.00	3267.50	USD	4,837.50	
16AUG17	B 1 PD16NOV17	3076.50	3267.50	USD	4,775.00	
16AUG17	B 1 PD16NOV17	3078.50	3267.50	USD	4,725.00	
16AUG17	B 1 PD16NOV17	3079.50	3267.50	USD	4,700.00	
16AUG17	B 2 PD16NOV17	3079.00	3267.50	USD	9,425.00	
16AUG17	B 1 PD16NOV17	3080.00	3267.50	USD	4,687.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
16AUG17	B 1 PD16NOV17	3095.50	3267.50	USD	4,300.00	
16AUG17	S 1 PD16NOV17	3095.50	3267.50	USD	-4,300.00	
16AUG17	S 1 PD16NOV17	3095.00	3267.50	USD	-4,312.50	
16AUG17	B 1 PD16NOV17	3093.50	3267.50	USD	4,350.00	
16AUG17	S 1 PD16NOV17	3088.00	3267.50	USD	-4,487.50	
16AUG17	B 1 PD16NOV17	3103.00	3267.50	USD	4,112.50	
16AUG17	S 1 PD16NOV17	3121.50	3267.50	USD	-3,650.00	
16AUG17	S 1 PD16NOV17	3126.00	3267.50	USD	-3,537.50	
16AUG17	S 1 PD16NOV17	3129.00	3267.50	USD	-3,462.50	
16AUG17	S 1 PD16NOV17	3123.50	3267.50	USD	-3,600.00	
16AUG17	B 1 PD16NOV17	3027.00	3267.50	USD	6,012.50	
16AUG17	B 1 PD16NOV17	3107.50	3267.50	USD	4,000.00	
16AUG17	B 1 PD16NOV17	3118.00	3267.50	USD	3,737.50	
16AUG17	B 1 PD16NOV17	3115.50	3267.50	USD	3,800.00	
16AUG17	B 1 PD16NOV17	3115.00	3267.50	USD	3,812.50	
16AUG17	B 1 PD16NOV17	3118.50	3267.50	USD	3,725.00	
16AUG17	B 1 PD16NOV17	3117.00	3267.50	USD	3,762.50	
16AUG17	B 1 PD16NOV17	3119.50	3267.50	USD	3,700.00	
16AUG17	B 1 PD16NOV17	3113.50	3267.50	USD	3,850.00	
16AUG17	B 1 PD16NOV17	3110.00	3267.50	USD	3,937.50	
16AUG17	B 1 PD16NOV17	3109.00	3267.50	USD	3,962.50	
16AUG17	S 1 PD16NOV17	3120.00	3267.50	USD	-3,687.50	
17AUG17	S 2 PD16NOV17	3062.05	3267.50	USD	-10,272.50	
22AUG17	S 4 PD16NOV17	3117.50	3267.50	USD	-15,000.00	
05SEP17	B 4 PD16NOV17	3136.50	3267.50	USD	13,100.00	
12SEP17	B 3 PD16NOV17	3055.50	3267.50	USD	15,900.00	
12SEP17	S 3 PD16NOV17	3055.50	3267.50	USD	-15,900.00	
15SEP17	S 3 PD16NOV17	3026.50	3267.50	USD	-18,075.00	
	NET 0 AVG BGHT 3069.13 SOLD 3045.84				**-27,947.50**	**0.00**
17AUG17	B 1 PD17NOV17	3129.00	3265.50	USD	3,412.50	
17AUG17	B 1 PD17NOV17	3126.50	3265.50	USD	3,475.00	
17AUG17	S 1 PD17NOV17	3132.00	3265.50	USD	-3,337.50	
17AUG17	B 1 PD17NOV17	3140.50	3265.50	USD	3,125.00	
17AUG17	B 1 PD17NOV17	3141.50	3265.50	USD	3,100.00	
17AUG17	B 1 PD17NOV17	3127.50	3265.50	USD	3,450.00	
17AUG17	B 1 PD17NOV17	3116.50	3265.50	USD	3,725.00	
17AUG17	B 2 PD17NOV17	3131.00	3265.50	USD	6,725.00	
17AUG17	B 2 PD17NOV17	3135.50	3265.50	USD	6,500.00	
17AUG17	B 1 PD17NOV17	3135.00	3265.50	USD	3,262.50	
17AUG17	B 1 PD17NOV17	3132.50	3265.50	USD	3,325.00	
17AUG17	S 1 PD17NOV17	3139.50	3265.50	USD	-3,150.00	
17AUG17	S 1 PD17NOV17	3143.50	3265.50	USD	-3,050.00	
17AUG17	B 1 PD17NOV17	3142.00	3265.50	USD	3,087.50	
17AUG17	B 1 PD17NOV17	3115.00	3265.50	USD	3,762.50	
17AUG17	B 1 PD17NOV17	3122.00	3265.50	USD	3,587.50	
17AUG17	B 1 PD17NOV17	3098.00	3265.50	USD	4,187.50	
17AUG17	S 1 PD17NOV17	3100.50	3265.50	USD	-4,125.00	
17AUG17	B 2 PD17NOV17	3100.00	3265.50	USD	8,275.00	
17AUG17	B 1 PD17NOV17	3090.50	3265.50	USD	4,375.00	
17AUG17	B 1 PD17NOV17	3090.00	3265.50	USD	4,387.50	
17AUG17	B 2 PD17NOV17	3091.00	3265.50	USD	8,725.00	
17AUG17	S 1 PD17NOV17	3129.50	3265.50	USD	-3,400.00	
17AUG17	B 1 PD17NOV17	3093.50	3265.50	USD	4,300.00	
17AUG17	B 2 PD17NOV17	3093.00	3265.50	USD	8,625.00	
17AUG17	B 2 PD17NOV17	3094.00	3265.50	USD	8,575.00	
17AUG17	S 3 PD17NOV17	3093.50	3265.50	USD	-12,900.00	
17AUG17	B 10 PD17NOV17	3095.00	3265.50	USD	42,625.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
17AUG17	B 6 PD17NOV17	3094.50	3265.50	USD	25,650.00	
17AUG17	B 1 PD17NOV17	3097.00	3265.50	USD	4,212.50	
17AUG17	B 12 PD17NOV17	3096.50	3265.50	USD	50,700.00	
17AUG17	B 2 PD17NOV17	3095.50	3265.50	USD	8,500.00	
17AUG17	B 6 PD17NOV17	3097.50	3265.50	USD	25,200.00	
17AUG17	S 22 PD17NOV17	3096.00	3265.50	USD	-93,225.00	
17AUG17	S 8 PD17NOV17	3096.50	3265.50	USD	-33,800.00	
17AUG17	S 1 PD17NOV17	3095.50	3265.50	USD	-4,250.00	
17AUG17	B 2 PD17NOV17	3096.00	3265.50	USD	8,475.00	
17AUG17	B 2 PD17NOV17	3106.00	3265.50	USD	7,975.00	
17AUG17	B 1 PD17NOV17	3104.50	3265.50	USD	4,025.00	
17AUG17	S 1 PD17NOV17	3104.00	3265.50	USD	-4,037.50	
17AUG17	S 3 PD17NOV17	3092.00	3265.50	USD	-13,012.50	
17AUG17	S 2 PD17NOV17	3091.50	3265.50	USD	-8,700.00	
17AUG17	S 1 PD17NOV17	3085.00	3265.50	USD	-4,512.50	
17AUG17	S 1 PD17NOV17	3099.00	3265.50	USD	-4,162.50	
17AUG17	S 5 PD17NOV17	3097.00	3265.50	USD	-21,062.50	
17AUG17	B 1 PD17NOV17	3079.50	3265.50	USD	4,650.00	
17AUG17	S 1 PD17NOV17	3082.00	3265.50	USD	-4,587.50	
17AUG17	S 1 PD17NOV17	3081.50	3265.50	USD	-4,600.00	
17AUG17	S 1 PD17NOV17	3090.00	3265.50	USD	-4,387.50	
17AUG17	B 1 PD17NOV17	3094.50	3265.50	USD	4,275.00	
17AUG17	S 2 PD17NOV17	3096.00	3265.50	USD	-8,475.00	
17AUG17	S 2 PD17NOV17	3094.00	3265.50	USD	-8,575.00	
17AUG17	S 1 PD17NOV17	3077.00	3265.50	USD	-4,712.50	
17AUG17	B 1 PD17NOV17	3076.00	3265.50	USD	4,737.50	
17AUG17	B 1 PD17NOV17	3071.50	3265.50	USD	4,850.00	
17AUG17	B 1 PD17NOV17	3069.50	3265.50	USD	4,900.00	
17AUG17	B 1 PD17NOV17	3069.00	3265.50	USD	4,912.50	
17AUG17	B 1 PD17NOV17	3055.50	3265.50	USD	5,250.00	
17AUG17	B 1 PD17NOV17	3059.50	3265.50	USD	5,150.00	
17AUG17	S 1 PD17NOV17	3067.50	3265.50	USD	-4,950.00	
17AUG17	B 1 PD17NOV17	3056.00	3265.50	USD	5,237.50	
17AUG17	B 1 PD17NOV17	3050.50	3265.50	USD	5,375.00	
17AUG17	B 1 PD17NOV17	3067.00	3265.50	USD	4,962.50	
17AUG17	B 1 PD17NOV17	3058.00	3265.50	USD	5,187.50	
18AUG17	S 2 PD17NOV17	3078.50	3265.50	USD	-9,350.00	
18AUG17	S 2 PD17NOV17	3081.00	3265.50	USD	-9,225.00	
18AUG17	S 1 PD17NOV17	3080.00	3265.50	USD	-4,637.50	
18AUG17	S 1 PD17NOV17	3083.00	3265.50	USD	-4,562.50	
18AUG17	S 1 PD17NOV17	3087.00	3265.50	USD	-4,462.50	
18AUG17	B 1 PD17NOV17	3104.50	3265.50	USD	4,025.00	
18AUG17	S 2 PD17NOV17	3111.00	3265.50	USD	-7,725.00	
18AUG17	S 1 PD17NOV17	3119.00	3265.50	USD	-3,662.50	
18AUG17	B 1 PD17NOV17	3121.00	3265.50	USD	3,612.50	
18AUG17	S 1 PD17NOV17	3116.00	3265.50	USD	-3,737.50	
18AUG17	S 2 PD17NOV17	3117.50	3265.50	USD	-7,400.00	
18AUG17	B 1 PD17NOV17	3118.50	3265.50	USD	3,675.00	
18AUG17	S 1 PD17NOV17	3123.50	3265.50	USD	-3,550.00	
18AUG17	B 1 PD17NOV17	3128.00	3265.50	USD	3,437.50	
18AUG17	S 1 PD17NOV17	3133.00	3265.50	USD	-3,312.50	
18AUG17	B 1 PD17NOV17	3110.50	3265.50	USD	3,875.00	
18AUG17	B 1 PD17NOV17	3112.00	3265.50	USD	3,837.50	
18AUG17	B 1 PD17NOV17	3113.00	3265.50	USD	3,812.50	
18AUG17	B 1 PD17NOV17	3119.50	3265.50	USD	3,650.00	
18AUG17	B 1 PD17NOV17	3120.50	3265.50	USD	3,625.00	
18AUG17	B 1 PD17NOV17	3121.50	3265.50	USD	3,600.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
18AUG17	B 2 PD17NOV17	3114.00	3265.50	USD	7,575.00	
18AUG17	B 2 PD17NOV17	3117.00	3265.50	USD	7,425.00	
18AUG17	S 1 PD17NOV17	3118.50	3265.50	USD	-3,675.00	
18AUG17	S 1 PD17NOV17	3113.00	3265.50	USD	-3,812.50	
18AUG17	B 1 PD17NOV17	3133.50	3265.50	USD	3,300.00	
18AUG17	B 1 PD17NOV17	3112.50	3265.50	USD	3,825.00	
18AUG17	S 1 PD17NOV17	3114.00	3265.50	USD	-3,787.50	
18AUG17	B 2 PD17NOV17	3125.00	3265.50	USD	7,025.00	
18AUG17	B 1 PD17NOV17	3128.50	3265.50	USD	3,425.00	
18AUG17	B 1 PD17NOV17	3130.00	3265.50	USD	3,387.50	
18AUG17	S 1 PD17NOV17	3125.00	3265.50	USD	-3,512.50	
18AUG17	S 1 PD17NOV17	3131.00	3265.50	USD	-3,362.50	
18AUG17	S 2 PD17NOV17	3120.00	3265.50	USD	-7,275.00	
18AUG17	S 1 PD17NOV17	3116.50	3265.50	USD	-3,725.00	
18AUG17	B 1 PD17NOV17	3104.00	3265.50	USD	4,037.50	
18AUG17	B 1 PD17NOV17	3093.00	3265.50	USD	4,312.50	
18AUG17	S 1 PD17NOV17	3088.50	3265.50	USD	-4,425.00	
18AUG17	B 1 PD17NOV17	3079.50	3265.50	USD	4,650.00	
18AUG17	S 1 PD17NOV17	3079.00	3265.50	USD	-4,662.50	
18AUG17	S 1 PD17NOV17	3097.50	3265.50	USD	-4,200.00	
18AUG17	S 1 PD17NOV17	3103.00	3265.50	USD	-4,062.50	
18AUG17	S 2 PD17NOV17	3107.00	3265.50	USD	-7,925.00	
18AUG17	S 2 PD17NOV17	3115.00	3265.50	USD	-7,525.00	
18AUG17	B 2 PD17NOV17	3124.00	3265.50	USD	7,075.00	
18AUG17	B 1 PD17NOV17	3123.50	3265.50	USD	3,550.00	
18AUG17	S 2 PD17NOV17	3119.50	3265.50	USD	-7,300.00	
18AUG17	B 2 PD17NOV17	3113.50	3265.50	USD	7,600.00	
18AUG17	B 1 PD17NOV17	3109.00	3265.50	USD	3,912.50	
18AUG17	B 1 PD17NOV17	3106.00	3265.50	USD	3,987.50	
18AUG17	S 1 PD17NOV17	3122.00	3265.50	USD	-3,587.50	
18AUG17	S 1 PD17NOV17	3121.50	3265.50	USD	-3,600.00	
18AUG17	S 1 PD17NOV17	3123.00	3265.50	USD	-3,562.50	
18AUG17	B 2 PD17NOV17	3126.50	3265.50	USD	6,950.00	
18AUG17	B 1 PD17NOV17	3127.00	3265.50	USD	3,462.50	
18AUG17	B 1 PD17NOV17	3132.00	3265.50	USD	3,337.50	
18AUG17	S 1 PD17NOV17	3137.00	3265.50	USD	-3,212.50	
22AUG17	B 4 PD17NOV17	3117.20	3265.50	USD	14,830.00	
23AUG17	S 5 PD17NOV17	3094.30	3265.50	USD	-21,400.00	
23AUG17	S 4 PD17NOV17	3094.50	3265.50	USD	-17,100.00	
05SEP17	S 7 PD17NOV17	3136.30	3265.50	USD	-22,610.00	
11SEP17	S 2 PD17NOV17	3078.48	3265.50	USD	-9,351.00	
11SEP17	S 2 PD17NOV17	3078.68	3265.50	USD	-9,341.00	
12SEP17	S 4 PD17NOV17	3055.51	3265.50	USD	-20,999.00	
12SEP17	B 3 PD17NOV17	3055.91	3265.50	USD	15,719.25	
	NET 0 AVG BGHT 3103.08 SOLD 3100.70				**-7,276.75**	**0.00**
21AUG17	B 1 PD21NOV17	3144.50	3264.83	USD	3,008.25	
21AUG17	S 1 PD21NOV17	3152.00	3264.83	USD	-2,820.75	
21AUG17	B 1 PD21NOV17	3136.50	3264.83	USD	3,208.25	
21AUG17	B 1 PD21NOV17	3151.00	3264.83	USD	2,845.75	
21AUG17	B 1 PD21NOV17	3137.50	3264.83	USD	3,183.25	
21AUG17	B 2 PD21NOV17	3127.00	3264.83	USD	6,891.50	
21AUG17	B 1 PD21NOV17	3141.00	3264.83	USD	3,095.75	
21AUG17	S 1 PD21NOV17	3139.50	3264.83	USD	-3,133.25	
21AUG17	S 1 PD21NOV17	3140.50	3264.83	USD	-3,108.25	
21AUG17	B 1 PD21NOV17	3116.00	3264.83	USD	3,720.75	
21AUG17	S 1 PD21NOV17	3114.50	3264.83	USD	-3,758.25	
21AUG17	S 1 PD21NOV17	3125.50	3264.83	USD	-3,483.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
12SEP17	S 3 PD21NOV17	3056.36	3264.83	USD	-15,635.25	
	NET 0 AVG BGHT 3135.06 SOLD 3105.14				**-5,985.50**	**0.00**
22AUG17	B 1 PD22NOV17	3124.50	3264.50	USD	3,500.00	
22AUG17	S 1 PD22NOV17	3098.50	3264.50	USD	-4,150.00	
22AUG17	S 1 PD22NOV17	3104.00	3264.50	USD	-4,012.50	
22AUG17	S 1 PD22NOV17	3103.50	3264.50	USD	-4,025.00	
22AUG17	S 1 PD22NOV17	3111.50	3264.50	USD	-3,825.00	
22AUG17	B 1 PD22NOV17	3156.00	3264.50	USD	2,712.50	
22AUG17	S 1 PD22NOV17	3149.00	3264.50	USD	-2,887.50	
22AUG17	S 1 PD22NOV17	3129.50	3264.50	USD	-3,375.00	
22AUG17	S 1 PD22NOV17	3125.50	3264.50	USD	-3,475.00	
22AUG17	B 1 PD22NOV17	3122.00	3264.50	USD	3,562.50	
22AUG17	B 1 PD22NOV17	3133.00	3264.50	USD	3,287.50	
22AUG17	S 1 PD22NOV17	3124.00	3264.50	USD	-3,512.50	
22AUG17	B 1 PD22NOV17	3127.00	3264.50	USD	3,437.50	
22AUG17	S 1 PD22NOV17	3122.00	3264.50	USD	-3,562.50	
22AUG17	S 3 PD22NOV17	3122.50	3264.50	USD	-10,650.00	
22AUG17	S 1 PD22NOV17	3117.00	3264.50	USD	-3,687.50	
22AUG17	S 1 PD22NOV17	3125.00	3264.50	USD	-3,487.50	
22AUG17	S 4 PD22NOV17	3114.50	3264.50	USD	-15,000.00	
22AUG17	S 1 PD22NOV17	3119.00	3264.50	USD	-3,637.50	
22AUG17	S 4 PD22NOV17	3121.00	3264.50	USD	-14,350.00	
22AUG17	B 1 PD22NOV17	3112.50	3264.50	USD	3,800.00	
22AUG17	S 1 PD22NOV17	3118.00	3264.50	USD	-3,662.50	
22AUG17	S 1 PD22NOV17	3102.00	3264.50	USD	-4,062.50	
22AUG17	B 1 PD22NOV17	3108.50	3264.50	USD	3,900.00	
22AUG17	B 3 PD22NOV17	3109.00	3264.50	USD	11,662.50	
22AUG17	B 2 PD22NOV17	3109.50	3264.50	USD	7,750.00	
22AUG17	B 4 PD22NOV17	3110.00	3264.50	USD	15,450.00	
22AUG17	S 1 PD22NOV17	3127.50	3264.50	USD	-3,425.00	
22AUG17	B 2 PD22NOV17	3118.50	3264.50	USD	7,300.00	
22AUG17	B 1 PD22NOV17	3119.50	3264.50	USD	3,625.00	
23AUG17	B 4 PD22NOV17	3096.13	3264.50	USD	16,837.00	
06SEP17	B 2 PD22NOV17	3092.61	3264.50	USD	8,594.50	
11SEP17	B 1 PD22NOV17	3079.78	3264.50	USD	4,618.00	
12SEP17	S 2 PD22NOV17	3056.57	3264.50	USD	-10,396.50	
14SEP17	B 2 PD22NOV17	3003.06	3264.50	USD	13,072.00	
	NET 0 AVG BGHT 3102.92 SOLD 3114.24				**7,925.00**	**0.00**
23AUG17	S 1 PD24NOV17	3108.00	3264.71	USD	-3,917.75	
23AUG17	S 1 PD24NOV17	3115.50	3264.71	USD	-3,730.25	
23AUG17	B 1 PD24NOV17	3122.00	3264.71	USD	3,567.75	
23AUG17	S 1 PD24NOV17	3125.00	3264.71	USD	-3,492.75	
23AUG17	S 1 PD24NOV17	3124.50	3264.71	USD	-3,505.25	
23AUG17	S 3 PD24NOV17	3126.00	3264.71	USD	-10,403.25	
23AUG17	S 3 PD24NOV17	3127.00	3264.71	USD	-10,328.25	
23AUG17	S 3 PD24NOV17	3127.50	3264.71	USD	-10,290.75	
23AUG17	S 2 PD24NOV17	3125.50	3264.71	USD	-6,960.50	
23AUG17	B 1 PD24NOV17	3098.50	3264.71	USD	4,155.25	
23AUG17	B 1 PD24NOV17	3093.00	3264.71	USD	4,292.75	
23AUG17	B 1 PD24NOV17	3094.50	3264.71	USD	4,255.25	
23AUG17	B 1 PD24NOV17	3090.00	3264.71	USD	4,367.75	
23AUG17	S 1 PD24NOV17	3104.50	3264.71	USD	-4,005.25	
23AUG17	S 1 PD24NOV17	3107.50	3264.71	USD	-3,930.25	
23AUG17	B 1 PD24NOV17	3112.00	3264.71	USD	3,817.75	
23AUG17	B 1 PD24NOV17	3103.50	3264.71	USD	4,030.25	
23AUG17	B 1 PD24NOV17	3105.00	3264.71	USD	3,992.75	
23AUG17	S 1 PD24NOV17	3106.00	3264.71	USD	-3,967.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
23AUG17	B 1 PD24NOV17	3108.00	3264.71	USD	3,917.75	
23AUG17	S 1 PD24NOV17	3106.00	3264.71	USD	-3,967.75	
23AUG17	B 1 PD24NOV17	3096.00	3264.71	USD	4,217.75	
23AUG17	B 2 PD24NOV17	3098.00	3264.71	USD	8,335.50	
23AUG17	S 1 PD24NOV17	3099.00	3264.71	USD	-4,142.75	
23AUG17	B 1 PD24NOV17	3105.50	3264.71	USD	3,980.25	
23AUG17	S 1 PD24NOV17	3105.00	3264.71	USD	-3,992.75	
23AUG17	B 1 PD24NOV17	3109.00	3264.71	USD	3,892.75	
23AUG17	B 1 PD24NOV17	3099.50	3264.71	USD	4,130.25	
24AUG17	B 1 PD24NOV17	3113.50	3264.71	USD	3,780.25	
24AUG17	S 1 PD24NOV17	3138.00	3264.71	USD	-3,167.75	
24AUG17	B 2 PD24NOV17	3109.50	3264.71	USD	7,760.50	
24AUG17	B 1 PD24NOV17	3114.50	3264.71	USD	3,755.25	
24AUG17	B 1 PD24NOV17	3117.00	3264.71	USD	3,692.75	
24AUG17	B 1 PD24NOV17	3113.00	3264.71	USD	3,792.75	
24AUG17	S 1 PD24NOV17	3110.00	3264.71	USD	-3,867.75	
24AUG17	S 1 PD24NOV17	3104.00	3264.71	USD	-4,017.75	
24AUG17	S 1 PD24NOV17	3111.50	3264.71	USD	-3,830.25	
24AUG17	S 1 PD24NOV17	3111.00	3264.71	USD	-3,842.75	
24AUG17	B 1 PD24NOV17	3107.50	3264.71	USD	3,930.25	
24AUG17	S 1 PD24NOV17	3104.50	3264.71	USD	-4,005.25	
24AUG17	S 2 PD24NOV17	3105.00	3264.71	USD	-7,985.50	
24AUG17	B 1 PD24NOV17	3115.00	3264.71	USD	3,742.75	
24AUG17	B 1 PD24NOV17	3114.00	3264.71	USD	3,767.75	
24AUG17	B 1 PD24NOV17	3125.00	3264.71	USD	3,492.75	
24AUG17	B 1 PD24NOV17	3126.00	3264.71	USD	3,467.75	
24AUG17	B 1 PD24NOV17	3119.00	3264.71	USD	3,642.75	
24AUG17	B 1 PD24NOV17	3111.00	3264.71	USD	3,842.75	
24AUG17	S 1 PD24NOV17	3116.50	3264.71	USD	-3,705.25	
24AUG17	S 2 PD24NOV17	3112.00	3264.71	USD	-7,635.50	
25AUG17	B 1 PD24NOV17	3117.00	3264.71	USD	3,692.75	
25AUG17	S 1 PD24NOV17	3110.00	3264.71	USD	-3,867.75	
25AUG17	S 1 PD24NOV17	3105.50	3264.71	USD	-3,980.25	
25AUG17	S 1 PD24NOV17	3104.50	3264.71	USD	-4,005.25	
25AUG17	B 1 PD24NOV17	3129.50	3264.71	USD	3,380.25	
25AUG17	S 2 PD24NOV17	3127.00	3264.71	USD	-6,885.50	
25AUG17	B 1 PD24NOV17	3126.00	3264.71	USD	3,467.75	
25AUG17	B 1 PD24NOV17	3130.50	3264.71	USD	3,355.25	
25AUG17	S 2 PD24NOV17	3129.50	3264.71	USD	-6,760.50	
25AUG17	B 1 PD24NOV17	3134.00	3264.71	USD	3,267.75	
25AUG17	S 1 PD24NOV17	3123.50	3264.71	USD	-3,530.25	
25AUG17	B 1 PD24NOV17	3104.00	3264.71	USD	4,017.75	
25AUG17	S 1 PD24NOV17	3089.50	3264.71	USD	-4,380.25	
25AUG17	B 1 PD24NOV17	3081.50	3264.71	USD	4,580.25	
25AUG17	S 1 PD24NOV17	3075.00	3264.71	USD	-4,742.75	
25AUG17	B 1 PD24NOV17	3070.00	3264.71	USD	4,867.75	
25AUG17	S 1 PD24NOV17	3070.00	3264.71	USD	-4,867.75	
25AUG17	B 1 PD24NOV17	3065.00	3264.71	USD	4,992.75	
25AUG17	S 1 PD24NOV17	3079.50	3264.71	USD	-4,630.25	
25AUG17	S 1 PD24NOV17	3069.50	3264.71	USD	-4,880.25	
25AUG17	S 1 PD24NOV17	3081.00	3264.71	USD	-4,592.75	
25AUG17	S 1 PD24NOV17	3090.00	3264.71	USD	-4,367.75	
25AUG17	B 1 PD24NOV17	3122.00	3264.71	USD	3,567.75	
25AUG17	S 2 PD24NOV17	3065.50	3264.71	USD	-9,960.50	
25AUG17	S 2 PD24NOV17	3068.00	3264.71	USD	-9,835.50	
25AUG17	S 1 PD24NOV17	3064.00	3264.71	USD	-5,017.75	
25AUG17	S 1 PD24NOV17	3068.50	3264.71	USD	-4,905.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
30AUG17	B 3 PD24NOV17	3094.00	3264.71	USD	12,803.25	
06SEP17	B 1 PD24NOV17	3093.25	3264.71	USD	4,286.50	
11SEP17	S 1 PD24NOV17	3079.94	3264.71	USD	-4,619.25	
12SEP17	B 1 PD24NOV17	3057.19	3264.71	USD	5,188.00	
14SEP17	B 10 PD24NOV17	3003.34	3264.71	USD	65,342.50	
15SEP17	B 1 PD24NOV17	3027.94	3264.71	USD	5,919.25	
	NET 0 AVG BGHT 3085.19 SOLD 3105.80				**27,829.00**	**0.00**
29AUG17	S 1 PD29NOV17	3119.00	3265.25	USD	-3,656.25	
29AUG17	S 1 PD29NOV17	3116.00	3265.25	USD	-3,731.25	
29AUG17	S 1 PD29NOV17	3116.50	3265.25	USD	-3,718.75	
29AUG17	B 1 PD29NOV17	3111.00	3265.25	USD	3,856.25	
29AUG17	B 1 PD29NOV17	3094.00	3265.25	USD	4,281.25	
29AUG17	S 1 PD29NOV17	3120.00	3265.25	USD	-3,631.25	
29AUG17	B 1 PD29NOV17	3115.00	3265.25	USD	3,756.25	
29AUG17	S 1 PD29NOV17	3119.50	3265.25	USD	-3,643.75	
29AUG17	B 1 PD29NOV17	3105.50	3265.25	USD	3,993.75	
29AUG17	S 2 PD29NOV17	3110.00	3265.25	USD	-7,762.50	
29AUG17	B 1 PD29NOV17	3091.50	3265.25	USD	4,343.75	
29AUG17	B 1 PD29NOV17	3114.00	3265.25	USD	3,781.25	
29AUG17	B 1 PD29NOV17	3111.50	3265.25	USD	3,843.75	
29AUG17	S 1 PD29NOV17	3117.00	3265.25	USD	-3,706.25	
29AUG17	B 2 PD29NOV17	3120.50	3265.25	USD	7,237.50	
29AUG17	S 1 PD29NOV17	3118.50	3265.25	USD	-3,668.75	
29AUG17	B 1 PD29NOV17	3119.00	3265.25	USD	3,656.25	
29AUG17	B 1 PD29NOV17	3100.00	3265.25	USD	4,131.25	
29AUG17	S 1 PD29NOV17	3103.00	3265.25	USD	-4,056.25	
30AUG17	S 3 PD29NOV17	3094.63	3265.25	USD	-12,796.50	
06SEP17	B 2 PD29NOV17	3093.25	3265.25	USD	8,600.00	
11SEP17	B 1 PD29NOV17	3081.04	3265.25	USD	4,605.25	
12SEP17	S 1 PD29NOV17	3058.05	3265.25	USD	-5,180.00	
	NET 0 AVG BGHT 3105.00 SOLD 3106.53				**535.00**	**0.00**
30AUG17	B 1 PD30NOV17	3099.00	3265.36	USD	4,159.00	
30AUG17	B 1 PD30NOV17	3126.00	3265.36	USD	3,484.00	
30AUG17	B 1 PD30NOV17	3125.50	3265.36	USD	3,496.50	
30AUG17	B 1 PD30NOV17	3135.00	3265.36	USD	3,259.00	
30AUG17	S 2 PD30NOV17	3137.00	3265.36	USD	-6,418.00	
30AUG17	B 1 PD30NOV17	3149.00	3265.36	USD	2,909.00	
30AUG17	S 1 PD30NOV17	3151.50	3265.36	USD	-2,846.50	
30AUG17	S 1 PD30NOV17	3150.00	3265.36	USD	-2,884.00	
30AUG17	B 1 PD30NOV17	3124.50	3265.36	USD	3,521.50	
30AUG17	B 1 PD30NOV17	3123.00	3265.36	USD	3,559.00	
30AUG17	B 2 PD30NOV17	3121.50	3265.36	USD	7,193.00	
30AUG17	B 1 PD30NOV17	3119.00	3265.36	USD	3,659.00	
30AUG17	B 1 PD30NOV17	3117.50	3265.36	USD	3,696.50	
30AUG17	S 1 PD30NOV17	3116.50	3265.36	USD	-3,721.50	
30AUG17	S 3 PD30NOV17	3116.00	3265.36	USD	-11,202.00	
30AUG17	S 1 PD30NOV17	3115.00	3265.36	USD	-3,759.00	
30AUG17	B 1 PD30NOV17	3115.50	3265.36	USD	3,746.50	
30AUG17	S 3 PD30NOV17	3114.50	3265.36	USD	-11,314.50	
30AUG17	B 1 PD30NOV17	3106.50	3265.36	USD	3,971.50	
30AUG17	B 1 PD30NOV17	3104.50	3265.36	USD	4,021.50	
30AUG17	B 1 PD30NOV17	3105.00	3265.36	USD	4,009.00	
30AUG17	B 1 PD30NOV17	3095.50	3265.36	USD	4,246.50	
30AUG17	B 1 PD30NOV17	3108.00	3265.36	USD	3,934.00	
30AUG17	B 1 PD30NOV17	3122.00	3265.36	USD	3,584.00	
30AUG17	B 1 PD30NOV17	3117.00	3265.36	USD	3,709.00	
30AUG17	B 1 PD30NOV17	3090.00	3265.36	USD	4,384.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT								
31AUG17	S	1	PD30NOV17		3119.00	3265.36	USD	-3,659.00	
31AUG17	S	2	PD30NOV17		3140.50	3265.36	USD	-6,243.00	
31AUG17	S	1	PD30NOV17		3139.50	3265.36	USD	-3,146.50	
31AUG17	S	1	PD30NOV17		3138.50	3265.36	USD	-3,171.50	
31AUG17	S	1	PD30NOV17		3136.50	3265.36	USD	-3,221.50	
31AUG17	B	1	PD30NOV17		3133.00	3265.36	USD	3,309.00	
31AUG17	B	4	PD30NOV17		3132.50	3265.36	USD	13,286.00	
31AUG17	S	1	PD30NOV17		3134.50	3265.36	USD	-3,271.50	
31AUG17	S	1	PD30NOV17		3139.00	3265.36	USD	-3,159.00	
31AUG17	B	1	PD30NOV17		3148.50	3265.36	USD	2,921.50	
31AUG17	S	1	PD30NOV17		3152.50	3265.36	USD	-2,821.50	
31AUG17	B	1	PD30NOV17		3154.50	3265.36	USD	2,771.50	
31AUG17	B	1	PD30NOV17		3136.00	3265.36	USD	3,234.00	
05SEP17	B	1	PD30NOV17		3139.43	3265.36	USD	3,148.25	
06SEP17	S	5	PD30NOV17		3093.30	3265.36	USD	-21,507.50	
06SEP17	S	4	PD30NOV17		3093.50	3265.36	USD	-17,186.00	
11SEP17	S	1	PD30NOV17		3081.02	3265.36	USD	-4,608.50	
12SEP17	S	2	PD30NOV17		3058.46	3265.36	USD	-10,345.00	
20SEP17	B	4	PD30NOV17		3127.84	3265.36	USD	13,752.00	
	NET	**0**	**AVG BGHT 3123.58**	**SOLD 3114.47**				**-7,521.25**	**0.00**
01SEP17	B	1	PD01DEC17		3159.00	3265.46	USD	2,661.50	
01SEP17	B	1	PD01DEC17		3157.00	3265.46	USD	2,711.50	
01SEP17	B	1	PD01DEC17		3144.00	3265.46	USD	3,036.50	
01SEP17	B	2	PD01DEC17		3144.50	3265.46	USD	6,048.00	
01SEP17	B	2	PD01DEC17		3148.50	3265.46	USD	5,848.00	
01SEP17	B	1	PD01DEC17		3147.50	3265.46	USD	2,949.00	
01SEP17	S	5	PD01DEC17		3155.50	3265.46	USD	-13,745.00	
01SEP17	S	2	PD01DEC17		3153.00	3265.46	USD	-5,623.00	
01SEP17	B	1	PD01DEC17		3173.50	3265.46	USD	2,299.00	
01SEP17	B	1	PD01DEC17		3179.00	3265.46	USD	2,161.50	
01SEP17	B	2	PD01DEC17		3148.50	3265.46	USD	5,848.00	
01SEP17	S	1	PD01DEC17		3184.50	3265.46	USD	-2,024.00	
01SEP17	S	1	PD01DEC17		3202.00	3265.46	USD	-1,586.50	
01SEP17	B	1	PD01DEC17		3203.00	3265.46	USD	1,561.50	
01SEP17	S	1	PD01DEC17		3203.50	3265.46	USD	-1,549.00	
01SEP17	B	1	PD01DEC17		3205.00	3265.46	USD	1,511.50	
05SEP17	S	1	PD01DEC17		3139.35	3265.46	USD	-3,152.75	
06SEP17	S	2	PD01DEC17		3093.75	3265.46	USD	-8,585.50	
11SEP17	S	1	PD01DEC17		3081.20	3265.46	USD	-4,606.50	
12SEP17	B	1	PD01DEC17		3058.87	3265.46	USD	5,164.75	
15SEP17	S	1	PD01DEC17		3028.83	3265.46	USD	-5,915.75	
19SEP17	B	2	PD01DEC17		3103.52	3265.46	USD	8,097.00	
20SEP17	S	2	PD01DEC17		3127.60	3265.46	USD	-6,893.00	
	NET	**0**	**AVG BGHT 3148.05**	**SOLD 3139.15**				**-3,783.25**	**0.00**
04SEP17	S	1	PD04DEC17		3210.00	3265.79	USD	-1,394.75	
04SEP17	S	2	PD04DEC17		3210.50	3265.79	USD	-2,764.50	
04SEP17	B	1	PD04DEC17		3193.50	3265.79	USD	1,807.25	
04SEP17	B	1	PD04DEC17		3185.50	3265.79	USD	2,007.25	
04SEP17	S	1	PD04DEC17		3183.50	3265.79	USD	-2,057.25	
04SEP17	S	1	PD04DEC17		3194.50	3265.79	USD	-1,782.25	
04SEP17	S	1	PD04DEC17		3190.00	3265.79	USD	-1,894.75	
04SEP17	S	1	PD04DEC17		3197.00	3265.79	USD	-1,719.75	
06SEP17	B	4	PD04DEC17		3094.70	3265.79	USD	17,109.00	
11SEP17	B	1	PD04DEC17		3081.94	3265.79	USD	4,596.25	
12SEP17	B	1	PD04DEC17		3059.51	3265.79	USD	5,157.00	
15SEP17	B	1	PD04DEC17		3029.49	3265.79	USD	5,907.50	
20SEP17	S	2	PD04DEC17		3127.55	3265.79	USD	-6,912.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
	NET	**0**	**AVG BGHT 3103.19 SOLD 3183.46**				**18,059.00**	**0.00**
05SEP17	S	1	PD05DEC17	3201.50	3265.89	USD	-1,609.75	
05SEP17	S	1	PD05DEC17	3181.50	3265.89	USD	-2,109.75	
05SEP17	S	1	PD05DEC17	3189.00	3265.89	USD	-1,922.25	
05SEP17	S	1	PD05DEC17	3176.50	3265.89	USD	-2,234.75	
05SEP17	S	1	PD05DEC17	3172.50	3265.89	USD	-2,334.75	
05SEP17	S	1	PD05DEC17	3160.00	3265.89	USD	-2,647.25	
05SEP17	S	1	PD05DEC17	3145.50	3265.89	USD	-3,009.75	
05SEP17	B	1	PD05DEC17	3140.00	3265.89	USD	3,147.25	
05SEP17	S	1	PD05DEC17	3144.50	3265.89	USD	-3,034.75	
05SEP17	S	1	PD05DEC17	3125.00	3265.89	USD	-3,522.25	
05SEP17	B	1	PD05DEC17	3102.00	3265.89	USD	4,097.25	
05SEP17	S	1	PD05DEC17	3092.00	3265.89	USD	-4,347.25	
05SEP17	B	1	PD05DEC17	3100.50	3265.89	USD	4,134.75	
05SEP17	S	1	PD05DEC17	3100.00	3265.89	USD	-4,147.25	
05SEP17	B	2	PD05DEC17	3101.00	3265.89	USD	8,244.50	
05SEP17	B	1	PD05DEC17	3103.50	3265.89	USD	4,059.75	
05SEP17	B	1	PD05DEC17	3101.50	3265.89	USD	4,109.75	
05SEP17	S	3	PD05DEC17	3101.50	3265.89	USD	-12,329.25	
05SEP17	S	1	PD05DEC17	3102.50	3265.89	USD	-4,084.75	
05SEP17	S	1	PD05DEC17	3100.50	3265.89	USD	-4,134.75	
05SEP17	S	1	PD05DEC17	3125.00	3265.89	USD	-3,522.25	
06SEP17	B	2	PD05DEC17	3094.95	3265.89	USD	8,547.00	
11SEP17	B	2	PD05DEC17	3081.92	3265.89	USD	9,198.50	
11SEP17	B	2	PD05DEC17	3082.12	3265.89	USD	9,188.50	
14SEP17	B	3	PD05DEC17	3004.71	3265.89	USD	19,588.50	
15SEP17	B	2	PD05DEC17	3029.65	3265.89	USD	11,812.00	
19SEP17	S	1	PD05DEC17	3103.51	3265.89	USD	-4,059.50	
	NET	**0**	**AVG BGHT 3074.50 SOLD 3134.67**				**27,077.50**	**0.00**
06SEP17	B	1	PD06DEC17	3102.50	3266.00	USD	4,087.50	
06SEP17	B	1	PD06DEC17	3101.50	3266.00	USD	4,112.50	
06SEP17	B	1	PD06DEC17	3107.00	3266.00	USD	3,975.00	
06SEP17	B	2	PD06DEC17	3102.00	3266.00	USD	8,200.00	
06SEP17	B	1	PD06DEC17	3111.00	3266.00	USD	3,875.00	
06SEP17	B	2	PD06DEC17	3101.00	3266.00	USD	8,250.00	
06SEP17	S	2	PD06DEC17	3090.00	3266.00	USD	-8,800.00	
06SEP17	B	1	PD06DEC17	3075.00	3266.00	USD	4,775.00	
06SEP17	B	1	PD06DEC17	3081.00	3266.00	USD	4,625.00	
06SEP17	S	1	PD06DEC17	3088.00	3266.00	USD	-4,450.00	
06SEP17	B	1	PD06DEC17	3100.00	3266.00	USD	4,150.00	
06SEP17	B	1	PD06DEC17	3083.00	3266.00	USD	4,575.00	
06SEP17	S	1	PD06DEC17	3103.00	3266.00	USD	-4,075.00	
11SEP17	S	2	PD06DEC17	3081.90	3266.00	USD	-9,205.00	
14SEP17	S	3	PD06DEC17	3004.66	3266.00	USD	-19,600.50	
15SEP17	S	2	PD06DEC17	3029.60	3266.00	USD	-11,820.00	
19SEP17	S	1	PD06DEC17	3103.73	3266.00	USD	-4,056.75	
	NET	**0**	**AVG BGHT 3097.25 SOLD 3059.31**				**-11,382.25**	**0.00**
07SEP17	S	1	PD07DEC17	3107.50	3266.11	USD	-3,965.25	
07SEP17	B	1	PD07DEC17	3112.50	3266.11	USD	3,840.25	
07SEP17	B	1	PD07DEC17	3076.50	3266.11	USD	4,740.25	
07SEP17	S	1	PD07DEC17	3077.50	3266.11	USD	-4,715.25	
07SEP17	S	1	PD07DEC17	3096.50	3266.11	USD	-4,240.25	
07SEP17	S	1	PD07DEC17	3115.00	3266.11	USD	-3,777.75	
07SEP17	S	1	PD07DEC17	3137.00	3266.11	USD	-3,227.75	
07SEP17	B	1	PD07DEC17	3124.00	3266.11	USD	3,552.75	
07SEP17	S	1	PD07DEC17	3129.00	3266.11	USD	-3,427.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
07SEP17	S 1 PD07DEC17	3131.50	3266.11	USD	-3,365.25	
07SEP17	S 1 PD07DEC17	3130.50	3266.11	USD	-3,390.25	
07SEP17	S 1 PD07DEC17	3134.50	3266.11	USD	-3,290.25	
14SEP17	B 1 PD07DEC17	3005.00	3266.11	USD	6,527.75	
14SEP17	B 3 PD07DEC17	3005.20	3266.11	USD	19,568.25	
15SEP17	B 1 PD07DEC17	3029.96	3266.11	USD	5,903.75	
19SEP17	B 1 PD07DEC17	3104.14	3266.11	USD	4,049.25	
	NET 0 AVG BGHT 3051.97 SOLD 3117.67				**14,782.50**	**0.00**
08SEP17	S 1 PD08DEC17	3142.50	3266.21	USD	-3,092.75	
08SEP17	S 1 PD08DEC17	3132.00	3266.21	USD	-3,355.25	
08SEP17	S 2 PD08DEC17	3139.00	3266.21	USD	-6,360.50	
08SEP17	B 1 PD08DEC17	3144.00	3266.21	USD	3,055.25	
08SEP17	B 1 PD08DEC17	3146.00	3266.21	USD	3,005.25	
08SEP17	B 1 PD08DEC17	3147.00	3266.21	USD	2,980.25	
08SEP17	B 1 PD08DEC17	3146.50	3266.21	USD	2,992.75	
08SEP17	B 1 PD08DEC17	3153.00	3266.21	USD	2,830.25	
08SEP17	S 1 PD08DEC17	3120.00	3266.21	USD	-3,655.25	
08SEP17	S 1 PD08DEC17	3116.00	3266.21	USD	-3,755.25	
08SEP17	S 1 PD08DEC17	3115.00	3266.21	USD	-3,780.25	
08SEP17	S 1 PD08DEC17	3106.00	3266.21	USD	-4,005.25	
08SEP17	S 1 PD08DEC17	3102.00	3266.21	USD	-4,105.25	
08SEP17	S 1 PD08DEC17	3092.50	3266.21	USD	-4,342.75	
08SEP17	B 1 PD08DEC17	3085.50	3266.21	USD	4,517.75	
08SEP17	B 1 PD08DEC17	3088.50	3266.21	USD	4,442.75	
08SEP17	B 1 PD08DEC17	3086.50	3266.21	USD	4,492.75	
08SEP17	S 1 PD08DEC17	3103.50	3266.21	USD	-4,067.75	
08SEP17	S 1 PD08DEC17	3105.00	3266.21	USD	-4,030.25	
08SEP17	S 1 PD08DEC17	3093.50	3266.21	USD	-4,317.75	
08SEP17	B 1 PD08DEC17	3072.50	3266.21	USD	4,842.75	
08SEP17	B 2 PD08DEC17	3069.50	3266.21	USD	9,835.50	
08SEP17	B 1 PD08DEC17	3059.50	3266.21	USD	5,167.75	
08SEP17	B 1 PD08DEC17	3056.50	3266.21	USD	5,242.75	
08SEP17	B 1 PD08DEC17	3055.50	3266.21	USD	5,267.75	
08SEP17	S 1 PD08DEC17	3054.50	3266.21	USD	-5,292.75	
08SEP17	S 1 PD08DEC17	3053.00	3266.21	USD	-5,330.25	
08SEP17	S 1 PD08DEC17	3032.50	3266.21	USD	-5,842.75	
08SEP17	B 1 PD08DEC17	3031.50	3266.21	USD	5,867.75	
14SEP17	B 1 PD08DEC17	3005.14	3266.21	USD	6,526.75	
14SEP17	S 1 PD08DEC17	3004.94	3266.21	USD	-6,531.75	
15SEP17	B 1 PD08DEC17	3030.11	3266.21	USD	5,902.50	
19SEP17	S 1 PD08DEC17	3104.16	3266.21	USD	-4,051.25	
20SEP17	B 1 PD08DEC17	3128.81	3266.21	USD	3,435.00	
	NET 0 AVG BGHT 3087.53 SOLD 3097.51				**4,488.50**	**0.00**
11SEP17	B 1 PD11DEC17	3056.00	3266.54	USD	5,263.50	
11SEP17	S 1 PD11DEC17	3053.00	3266.54	USD	-5,338.50	
11SEP17	B 2 PD11DEC17	3057.00	3266.54	USD	10,477.00	
11SEP17	S 1 PD11DEC17	3063.00	3266.54	USD	-5,088.50	
11SEP17	B 1 PD11DEC17	3064.50	3266.54	USD	5,051.00	
11SEP17	S 1 PD11DEC17	3083.00	3266.54	USD	-4,588.50	
11SEP17	B 1 PD11DEC17	3098.00	3266.54	USD	4,213.50	
11SEP17	B 1 PD11DEC17	3099.50	3266.54	USD	4,176.00	
11SEP17	S 1 PD11DEC17	3088.00	3266.54	USD	-4,463.50	
11SEP17	B 1 PD11DEC17	3078.00	3266.54	USD	4,713.50	
11SEP17	B 1 PD11DEC17	3055.00	3266.54	USD	5,288.50	
11SEP17	B 1 PD11DEC17	3071.50	3266.54	USD	4,876.00	
11SEP17	B 2 PD11DEC17	3065.00	3266.54	USD	10,077.00	
11SEP17	B 1 PD11DEC17	3063.00	3266.54	USD	5,088.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
11SEP17	B 1 PD11DEC17	3052.00	3266.54	USD	5,363.50	
11SEP17	S 1 PD11DEC17	3085.00	3266.54	USD	-4,538.50	
11SEP17	B 1 PD11DEC17	3087.50	3266.54	USD	4,476.00	
11SEP17	B 1 PD11DEC17	3090.00	3266.54	USD	4,413.50	
14SEP17	S 2 PD11DEC17	3005.57	3266.54	USD	-13,048.50	
15SEP17	S 1 PD11DEC17	3030.38	3266.54	USD	-5,904.00	
18SEP17	S 3 PD11DEC17	3097.19	3266.54	USD	-12,701.25	
20SEP17	S 1 PD11DEC17	3129.41	3266.54	USD	-3,428.25	
20SEP17	S 1 PD11DEC17	3129.61	3266.54	USD	-3,423.25	
	NET +2 AVG BGHT 3070.60 SOLD 3074.16				**10,954.75**	**0.00**
12SEP17	S 1 PD12DEC17	3082.00	3266.64	USD	-4,616.00	
12SEP17	B 1 PD12DEC17	3091.50	3266.64	USD	4,378.50	
12SEP17	B 1 PD12DEC17	3093.50	3266.64	USD	4,328.50	
12SEP17	B 1 PD12DEC17	3088.00	3266.64	USD	4,466.00	
12SEP17	B 1 PD12DEC17	3092.00	3266.64	USD	4,366.00	
12SEP17	B 1 PD12DEC17	3053.50	3266.64	USD	5,328.50	
12SEP17	B 1 PD12DEC17	3054.00	3266.64	USD	5,316.00	
12SEP17	S 1 PD12DEC17	3055.50	3266.64	USD	-5,278.50	
12SEP17	S 1 PD12DEC17	3056.50	3266.64	USD	-5,253.50	
12SEP17	B 2 PD12DEC17	3059.50	3266.64	USD	10,357.00	
12SEP17	B 1 PD12DEC17	3077.00	3266.64	USD	4,741.00	
12SEP17	B 1 PD12DEC17	3069.00	3266.64	USD	4,941.00	
12SEP17	B 1 PD12DEC17	3063.00	3266.64	USD	5,091.00	
12SEP17	B 1 PD12DEC17	3054.50	3266.64	USD	5,303.50	
14SEP17	S 2 PD12DEC17	3005.71	3266.64	USD	-13,046.50	
18SEP17	S 1 PD12DEC17	3097.31	3266.64	USD	-4,233.25	
19SEP17	S 2 PD12DEC17	3105.21	3266.64	USD	-8,071.50	
20SEP17	S 1 PD12DEC17	3129.88	3266.64	USD	-3,419.00	
21SEP17	S 1 PD12DEC17	3099.90	3266.64	USD	-4,168.50	
	NET +2 AVG BGHT 3071.25 SOLD 3074.29				**10,530.25**	**0.00**
13SEP17	B 1 PD13DEC17	3067.50	3266.75	USD	4,981.25	
13SEP17	S 1 PD13DEC17	3057.50	3266.75	USD	-5,231.25	
13SEP17	S 3 PD13DEC17	3056.00	3266.75	USD	-15,806.25	
13SEP17	S 1 PD13DEC17	3055.00	3266.75	USD	-5,293.75	
13SEP17	S 1 PD13DEC17	3052.50	3266.75	USD	-5,356.25	
13SEP17	S 1 PD13DEC17	3061.00	3266.75	USD	-5,143.75	
13SEP17	S 1 PD13DEC17	3061.50	3266.75	USD	-5,131.25	
13SEP17	B 1 PD13DEC17	3048.50	3266.75	USD	5,456.25	
13SEP17	S 1 PD13DEC17	3043.50	3266.75	USD	-5,581.25	
14SEP17	S 1 PD13DEC17	3005.66	3266.75	USD	-6,527.25	
14SEP17	B 4 PD13DEC17	3006.06	3266.75	USD	26,069.00	
15SEP17	B 1 PD13DEC17	3030.89	3266.75	USD	5,896.50	
18SEP17	B 2 PD13DEC17	3097.62	3266.75	USD	8,456.50	
20SEP17	B 1 PD13DEC17	3130.34	3266.75	USD	3,410.25	
	NET 0 AVG BGHT 3049.67 SOLD 3050.47				**198.75**	**0.00**
14SEP17	B 1 PD14DEC17	3035.00	3266.86	USD	5,796.50	
14SEP17	S 1 PD14DEC17	3044.00	3266.86	USD	-5,571.50	
14SEP17	B 1 PD14DEC17	3038.50	3266.86	USD	5,709.00	
14SEP17	S 1 PD14DEC17	3031.50	3266.86	USD	-5,884.00	
14SEP17	B 1 PD14DEC17	3031.00	3266.86	USD	5,896.50	
14SEP17	S 1 PD14DEC17	3030.00	3266.86	USD	-5,921.50	
14SEP17	S 1 PD14DEC17	3026.00	3266.86	USD	-6,021.50	
14SEP17	B 1 PD14DEC17	3012.00	3266.86	USD	6,371.50	
14SEP17	S 1 PD14DEC17	3010.00	3266.86	USD	-6,421.50	
14SEP17	B 1 PD14DEC17	3002.50	3266.86	USD	6,609.00	
14SEP17	B 1 PD14DEC17	2982.50	3266.86	USD	7,109.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
14SEP17	S 1 PD14DEC17	2996.50	3266.86	USD	-6,759.00	
14SEP17	S 1 PD14DEC17	2996.00	3266.86	USD	-6,771.50	
14SEP17	B 1 PD14DEC17	2998.50	3266.86	USD	6,709.00	
14SEP17	B 1 PD14DEC17	2998.00	3266.86	USD	6,721.50	
14SEP17	B 1 PD14DEC17	3003.50	3266.86	USD	6,584.00	
14SEP17	S 1 PD14DEC17	3004.00	3266.86	USD	-6,571.50	
14SEP17	B 2 PD14DEC17	3013.50	3266.86	USD	12,668.00	
14SEP17	B 1 PD14DEC17	3014.50	3266.86	USD	6,309.00	
14SEP17	B 1 PD14DEC17	3004.50	3266.86	USD	6,559.00	
14SEP17	B 1 PD14DEC17	3010.00	3266.86	USD	6,421.50	
14SEP17	B 1 PD14DEC17	3009.50	3266.86	USD	6,434.00	
14SEP17	B 1 PD14DEC17	3006.00	3266.86	USD	6,521.50	
20SEP17	S 1 PD14DEC17	3130.41	3266.86	USD	-3,411.25	
21SEP17	S 5 PD14DEC17	3100.43	3266.86	USD	-20,803.75	
	NET +2 AVG BGHT 3010.81 SOLD 3055.04				**28,282.00**	**0.00**
15SEP17	B 1 PD15DEC17	3032.00	3266.96	USD	5,874.00	
15SEP17	S 1 PD15DEC17	3037.00	3266.96	USD	-5,749.00	
15SEP17	S 1 PD15DEC17	3035.00	3266.96	USD	-5,799.00	
15SEP17	S 2 PD15DEC17	3033.50	3266.96	USD	-11,673.00	
15SEP17	S 1 PD15DEC17	3032.00	3266.96	USD	-5,874.00	
15SEP17	S 1 PD15DEC17	3027.50	3266.96	USD	-5,986.50	
15SEP17	S 1 PD15DEC17	3022.00	3266.96	USD	-6,124.00	
15SEP17	S 1 PD15DEC17	3016.00	3266.96	USD	-6,274.00	
15SEP17	B 1 PD15DEC17	3019.50	3266.96	USD	6,186.50	
15SEP17	B 1 PD15DEC17	3018.00	3266.96	USD	6,224.00	
15SEP17	S 1 PD15DEC17	3021.00	3266.96	USD	-6,149.00	
15SEP17	S 1 PD15DEC17	3012.50	3266.96	USD	-6,361.50	
15SEP17	S 1 PD15DEC17	3004.00	3266.96	USD	-6,574.00	
15SEP17	S 1 PD15DEC17	3007.50	3266.96	USD	-6,486.50	
15SEP17	B 1 PD15DEC17	3031.00	3266.96	USD	5,899.00	
15SEP17	S 1 PD15DEC17	3042.00	3266.96	USD	-5,624.00	
15SEP17	S 1 PD15DEC17	3040.50	3266.96	USD	-5,661.50	
15SEP17	S 2 PD15DEC17	3039.50	3266.96	USD	-11,373.00	
15SEP17	S 1 PD15DEC17	3036.00	3266.96	USD	-5,774.00	
15SEP17	S 1 PD15DEC17	3031.50	3266.96	USD	-5,886.50	
15SEP17	S 1 PD15DEC17	3026.00	3266.96	USD	-6,024.00	
15SEP17	S 1 PD15DEC17	3034.00	3266.96	USD	-5,824.00	
15SEP17	S 1 PD15DEC17	3018.50	3266.96	USD	-6,211.50	
15SEP17	S 2 PD15DEC17	3019.50	3266.96	USD	-12,373.00	
15SEP17	S 1 PD15DEC17	3011.50	3266.96	USD	-6,386.50	
15SEP17	S 1 PD15DEC17	2993.00	3266.96	USD	-6,849.00	
15SEP17	S 1 PD15DEC17	2990.00	3266.96	USD	-6,924.00	
15SEP17	S 2 PD15DEC17	2994.00	3266.96	USD	-13,648.00	
15SEP17	S 1 PD15DEC17	3003.50	3266.96	USD	-6,586.50	
15SEP17	S 1 PD15DEC17	2996.50	3266.96	USD	-6,761.50	
15SEP17	B 1 PD15DEC17	3029.00	3266.96	USD	5,949.00	
15SEP17	B 1 PD15DEC17	3024.00	3266.96	USD	6,074.00	
15SEP17	B 1 PD15DEC17	3034.00	3266.96	USD	5,824.00	
15SEP17	B 1 PD15DEC17	3031.00	3266.96	USD	5,899.00	
15SEP17	B 7 PD15DEC17	3029.50	3266.96	USD	41,555.50	
15SEP17	B 1 PD15DEC17	3033.00	3266.96	USD	5,849.00	
15SEP17	S 1 PD15DEC17	2991.00	3266.96	USD	-6,899.00	
18SEP17	B 2 PD15DEC17	3097.85	3266.96	USD	8,455.50	
19SEP17	B 2 PD15DEC17	3106.06	3266.96	USD	8,045.00	
20SEP17	B 2 PD15DEC17	3130.87	3266.96	USD	6,804.50	
21SEP17	B 5 PD15DEC17	3100.89	3266.96	USD	20,758.75	
	NET -4 AVG BGHT 3060.44 SOLD 3019.40				**-52,458.75**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
18SEP17	B 1 PD18DEC17	3046.50	3267.29	USD	5,519.75	
18SEP17	B 1 PD18DEC17	3073.00	3267.29	USD	4,857.25	
18SEP17	B 1 PD18DEC17	3068.50	3267.29	USD	4,969.75	
18SEP17	B 2 PD18DEC17	3060.00	3267.29	USD	10,364.50	
18SEP17	B 1 PD18DEC17	3073.50	3267.29	USD	4,844.75	
18SEP17	B 1 PD18DEC17	3077.50	3267.29	USD	4,744.75	
18SEP17	S 1 PD18DEC17	3039.00	3267.29	USD	-5,707.25	
18SEP17	B 1 PD18DEC17	3075.00	3267.29	USD	4,807.25	
18SEP17	B 1 PD18DEC17	3082.50	3267.29	USD	4,619.75	
18SEP17	B 1 PD18DEC17	3063.00	3267.29	USD	5,107.25	
18SEP17	B 1 PD18DEC17	3050.50	3267.29	USD	5,419.75	
18SEP17	B 2 PD18DEC17	3086.00	3267.29	USD	9,064.50	
18SEP17	B 1 PD18DEC17	3069.50	3267.29	USD	4,944.75	
18SEP17	B 3 PD18DEC17	3065.00	3267.29	USD	15,171.75	
18SEP17	B 1 PD18DEC17	3073.50	3267.29	USD	4,844.75	
18SEP17	S 1 PD18DEC17	3087.00	3267.29	USD	-4,507.25	
18SEP17	S 1 PD18DEC17	3088.00	3267.29	USD	-4,482.25	
18SEP17	B 1 PD18DEC17	3089.00	3267.29	USD	4,457.25	
18SEP17	S 1 PD18DEC17	3085.50	3267.29	USD	-4,544.75	
18SEP17	S 2 PD18DEC17	3086.50	3267.29	USD	-9,039.50	
18SEP17	B 1 PD18DEC17	3090.00	3267.29	USD	4,432.25	
18SEP17	S 1 PD18DEC17	3086.00	3267.29	USD	-4,532.25	
18SEP17	S 1 PD18DEC17	3089.00	3267.29	USD	-4,457.25	
18SEP17	S 1 PD18DEC17	3088.50	3267.29	USD	-4,469.75	
18SEP17	S 2 PD18DEC17	3087.50	3267.29	USD	-8,989.50	
18SEP17	S 1 PD18DEC17	3083.00	3267.29	USD	-4,607.25	
18SEP17	S 1 PD18DEC17	3084.50	3267.29	USD	-4,569.75	
18SEP17	S 1 PD18DEC17	3085.00	3267.29	USD	-4,557.25	
18SEP17	S 1 PD18DEC17	3083.50	3267.29	USD	-4,594.75	
18SEP17	S 2 PD18DEC17	3084.00	3267.29	USD	-9,164.50	
18SEP17	S 1 PD18DEC17	3082.00	3267.29	USD	-4,632.25	
18SEP17	B 4 PD18DEC17	3081.00	3267.29	USD	18,629.00	
18SEP17	B 1 PD18DEC17	3079.50	3267.29	USD	4,694.75	
18SEP17	S 2 PD18DEC17	3070.00	3267.29	USD	-9,864.50	
18SEP17	S 1 PD18DEC17	3077.50	3267.29	USD	-4,744.75	
18SEP17	S 2 PD18DEC17	3072.00	3267.29	USD	-9,764.50	
18SEP17	S 1 PD18DEC17	3071.50	3267.29	USD	-4,894.75	
18SEP17	B 5 PD18DEC17	3080.00	3267.29	USD	23,411.25	
18SEP17	S 1 PD18DEC17	3079.00	3267.29	USD	-4,707.25	
18SEP17	B 6 PD18DEC17	3081.50	3267.29	USD	27,868.50	
18SEP17	B 1 PD18DEC17	3089.50	3267.29	USD	4,444.75	
18SEP17	B 1 PD18DEC17	3091.00	3267.29	USD	4,407.25	
18SEP17	B 2 PD18DEC17	3093.50	3267.29	USD	8,689.50	
18SEP17	S 1 PD18DEC17	3105.50	3267.29	USD	-4,044.75	
18SEP17	S 1 PD18DEC17	3110.50	3267.29	USD	-3,919.75	
18SEP17	B 1 PD18DEC17	3110.50	3267.29	USD	3,919.75	
18SEP17	B 1 PD18DEC17	3086.00	3267.29	USD	4,532.25	
18SEP17	B 1 PD18DEC17	3090.00	3267.29	USD	4,432.25	
18SEP17	B 1 PD18DEC17	3093.50	3267.29	USD	4,344.75	
21SEP17	S 7 PD18DEC17	3101.48	3267.29	USD	-29,016.75	
25SEP17	S 1 PD18DEC17	3101.26	3267.29	USD	-4,150.75	
26SEP17	S 2 PD18DEC17	3119.00	3267.29	USD	-7,414.50	
03OCT17	S 1 PD18DEC17	3267.29	3267.29	USD	0.00	
	NET +6 AVG BGHT 3078.61 SOLD 3093.21				**42,166.25**	**0.00**
19SEP17	S 1 PD19DEC17	3114.00	3267.39	USD	-3,834.75	
19SEP17	S 1 PD19DEC17	3109.50	3267.39	USD	-3,947.25	
19SEP17	S 1 PD19DEC17	3109.00	3267.39	USD	-3,959.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
19SEP17	S 1 PD19DEC17	3115.00	3267.39	USD	-3,809.75	
19SEP17	S 1 PD19DEC17	3115.50	3267.39	USD	-3,797.25	
19SEP17	S 1 PD19DEC17	3113.50	3267.39	USD	-3,847.25	
19SEP17	B 1 PD19DEC17	3102.50	3267.39	USD	4,122.25	
19SEP17	B 1 PD19DEC17	3101.00	3267.39	USD	4,159.75	
19SEP17	S 1 PD19DEC17	3102.50	3267.39	USD	-4,122.25	
19SEP17	S 1 PD19DEC17	3102.00	3267.39	USD	-4,134.75	
19SEP17	S 1 PD19DEC17	3112.00	3267.39	USD	-3,884.75	
19SEP17	B 1 PD19DEC17	3086.50	3267.39	USD	4,522.25	
19SEP17	B 1 PD19DEC17	3089.50	3267.39	USD	4,447.25	
19SEP17	B 1 PD19DEC17	3088.00	3267.39	USD	4,484.75	
19SEP17	B 1 PD19DEC17	3088.50	3267.39	USD	4,472.25	
19SEP17	B 4 PD19DEC17	3089.00	3267.39	USD	17,839.00	
19SEP17	S 1 PD19DEC17	3087.00	3267.39	USD	-4,509.75	
19SEP17	S 1 PD19DEC17	3084.50	3267.39	USD	-4,572.25	
19SEP17	S 2 PD19DEC17	3084.00	3267.39	USD	-9,169.50	
19SEP17	S 2 PD19DEC17	3086.00	3267.39	USD	-9,069.50	
19SEP17	S 1 PD19DEC17	3087.50	3267.39	USD	-4,497.25	
19SEP17	S 1 PD19DEC17	3069.50	3267.39	USD	-4,947.25	
19SEP17	S 1 PD19DEC17	3075.00	3267.39	USD	-4,809.75	
19SEP17	B 1 PD19DEC17	3100.50	3267.39	USD	4,172.25	
19SEP17	B 1 PD19DEC17	3105.50	3267.39	USD	4,047.25	
19SEP17	B 1 PD19DEC17	3109.50	3267.39	USD	3,947.25	
19SEP17	B 1 PD19DEC17	3112.50	3267.39	USD	3,872.25	
19SEP17	B 1 PD19DEC17	3108.50	3267.39	USD	3,972.25	
19SEP17	S 1 PD19DEC17	3085.50	3267.39	USD	-4,547.25	
19SEP17	B 1 PD19DEC17	3109.00	3267.39	USD	3,959.75	
21SEP17	B 1 PD19DEC17	3101.94	3267.39	USD	4,136.25	
	NET -2 AVG BGHT 3097.61 SOLD 3095.89				**-9,305.50**	**0.00**
20SEP17	B 1 PD20DEC17	3109.50	3267.50	USD	3,950.00	
20SEP17	B 1 PD20DEC17	3100.00	3267.50	USD	4,187.50	
20SEP17	B 2 PD20DEC17	3123.50	3267.50	USD	7,200.00	
20SEP17	B 1 PD20DEC17	3119.50	3267.50	USD	3,700.00	
20SEP17	S 2 PD20DEC17	3151.00	3267.50	USD	-5,825.00	
20SEP17	S 1 PD20DEC17	3149.50	3267.50	USD	-2,950.00	
20SEP17	S 1 PD20DEC17	3148.00	3267.50	USD	-2,987.50	
20SEP17	S 1 PD20DEC17	3147.50	3267.50	USD	-3,000.00	
20SEP17	S 1 PD20DEC17	3146.50	3267.50	USD	-3,025.00	
20SEP17	S 1 PD20DEC17	3147.00	3267.50	USD	-3,012.50	
20SEP17	S 3 PD20DEC17	3146.00	3267.50	USD	-9,112.50	
20SEP17	S 3 PD20DEC17	3145.00	3267.50	USD	-9,187.50	
20SEP17	S 1 PD20DEC17	3144.50	3267.50	USD	-3,075.00	
20SEP17	S 1 PD20DEC17	3144.00	3267.50	USD	-3,087.50	
20SEP17	B 5 PD20DEC17	3141.00	3267.50	USD	15,812.50	
20SEP17	B 1 PD20DEC17	3142.00	3267.50	USD	3,137.50	
20SEP17	S 1 PD20DEC17	3141.00	3267.50	USD	-3,162.50	
20SEP17	B 7 PD20DEC17	3141.50	3267.50	USD	22,050.00	
20SEP17	S 1 PD20DEC17	3140.50	3267.50	USD	-3,175.00	
20SEP17	S 1 PD20DEC17	3139.00	3267.50	USD	-3,212.50	
20SEP17	S 8 PD20DEC17	3136.50	3267.50	USD	-26,200.00	
20SEP17	S 1 PD20DEC17	3140.00	3267.50	USD	-3,187.50	
20SEP17	S 1 PD20DEC17	3133.50	3267.50	USD	-3,350.00	
20SEP17	S 1 PD20DEC17	3136.00	3267.50	USD	-3,287.50	
20SEP17	S 1 PD20DEC17	3141.50	3267.50	USD	-3,150.00	
20SEP17	S 2 PD20DEC17	3135.00	3267.50	USD	-6,625.00	
20SEP17	S 4 PD20DEC17	3137.00	3267.50	USD	-13,050.00	
20SEP17	S 1 PD20DEC17	3128.50	3267.50	USD	-3,475.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
20SEP17	S 1 PD20DEC17	3124.50	3267.50	USD	-3,575.00	
20SEP17	S 1 PD20DEC17	3132.00	3267.50	USD	-3,387.50	
20SEP17	S 1 PD20DEC17	3126.50	3267.50	USD	-3,525.00	
20SEP17	S 1 PD20DEC17	3119.50	3267.50	USD	-3,700.00	
21SEP17	B 7 PD20DEC17	3102.20	3267.50	USD	28,927.50	
25SEP17	B 1 PD20DEC17	3101.70	3267.50	USD	4,145.00	
28SEP17	B 8 PD20DEC17	3151.00	3267.50	USD	23,300.00	
29SEP17	B 2 PD20DEC17	3166.00	3267.50	USD	5,075.00	
	NET -5 AVG BGHT 3132.52 SOLD 3139.38				**-9,840.00**	**0.00**
21SEP17	S 2 PD21DEC17	3122.50	3264.00	USD	-7,075.00	
21SEP17	B 1 PD21DEC17	3119.50	3264.00	USD	3,612.50	
21SEP17	B 1 PD21DEC17	3120.50	3264.00	USD	3,587.50	
21SEP17	B 1 PD21DEC17	3093.00	3264.00	USD	4,275.00	
21SEP17	S 1 PD21DEC17	3092.00	3264.00	USD	-4,300.00	
21SEP17	S 1 PD21DEC17	3068.00	3264.00	USD	-4,900.00	
21SEP17	S 1 PD21DEC17	3076.50	3264.00	USD	-4,687.50	
21SEP17	B 1 PD21DEC17	3105.50	3264.00	USD	3,962.50	
21SEP17	S 1 PD21DEC17	3077.50	3264.00	USD	-4,662.50	
21SEP17	S 2 PD21DEC17	3058.50	3264.00	USD	-10,275.00	
21SEP17	B 1 PD21DEC17	3040.50	3264.00	USD	5,587.50	
21SEP17	B 1 PD21DEC17	3035.50	3264.00	USD	5,712.50	
26SEP17	B 2 PD21DEC17	3118.20	3264.00	USD	7,290.00	
03OCT17	B 1 PD21DEC17	3264.20	3264.00	USD	-5.00	
	NET +1 AVG BGHT 3112.79 SOLD 3084.50				**-1,877.50**	**0.00**
22SEP17	S 1 PD22DEC17	3040.50	3264.00	USD	-5,587.50	
22SEP17	S 1 PD22DEC17	3011.50	3264.00	USD	-6,312.50	
22SEP17	S 1 PD22DEC17	3075.00	3264.00	USD	-4,725.00	
22SEP17	S 1 PD22DEC17	3015.50	3264.00	USD	-6,212.50	
22SEP17	S 1 PD22DEC17	3072.00	3264.00	USD	-4,800.00	
22SEP17	S 1 PD22DEC17	3064.00	3264.00	USD	-5,000.00	
22SEP17	S 1 PD22DEC17	3069.50	3264.00	USD	-4,862.50	
22SEP17	S 1 PD22DEC17	3065.00	3264.00	USD	-4,975.00	
22SEP17	S 1 PD22DEC17	3064.50	3264.00	USD	-4,987.50	
22SEP17	S 2 PD22DEC17	3066.00	3264.00	USD	-9,900.00	
22SEP17	S 2 PD22DEC17	3061.50	3264.00	USD	-10,125.00	
22SEP17	B 1 PD22DEC17	3062.00	3264.00	USD	5,050.00	
22SEP17	B 2 PD22DEC17	3062.50	3264.00	USD	10,075.00	
22SEP17	B 2 PD22DEC17	3060.50	3264.00	USD	10,175.00	
22SEP17	B 4 PD22DEC17	3061.00	3264.00	USD	20,300.00	
22SEP17	B 3 PD22DEC17	3060.00	3264.00	USD	15,300.00	
22SEP17	S 9 PD22DEC17	3055.00	3264.00	USD	-47,025.00	
22SEP17	S 1 PD22DEC17	3063.50	3264.00	USD	-5,012.50	
22SEP17	S 1 PD22DEC17	3061.00	3264.00	USD	-5,075.00	
22SEP17	B 1 PD22DEC17	3072.50	3264.00	USD	4,787.50	
22SEP17	B 1 PD22DEC17	3069.50	3264.00	USD	4,862.50	
22SEP17	S 1 PD22DEC17	3013.00	3264.00	USD	-6,275.00	
22SEP17	S 1 PD22DEC17	3072.00	3264.00	USD	-4,800.00	
28SEP17	B 1 PD22DEC17	3147.50	3264.00	USD	2,912.50	
29SEP17	B 9 PD22DEC17	3162.56	3264.00	USD	22,824.00	
	NET -2 AVG BGHT 3103.52 SOLD 3055.27				**-39,388.50**	**0.00**
25SEP17	B 1 PD27DEC17	3075.00	3264.00	USD	4,725.00	
25SEP17	B 1 PD27DEC17	3101.00	3264.00	USD	4,075.00	
25SEP17	S 1 PD27DEC17	3098.50	3264.00	USD	-4,137.50	
25SEP17	S 2 PD27DEC17	3095.50	3264.00	USD	-8,425.00	
25SEP17	S 1 PD27DEC17	3094.50	3264.00	USD	-4,237.50	
25SEP17	S 1 PD27DEC17	3092.50	3264.00	USD	-4,287.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
25SEP17	S 1 PD27DEC17	3093.00	3264.00	USD	-4,275.00	
25SEP17	S 4 PD27DEC17	3095.00	3264.00	USD	-16,900.00	
25SEP17	S 6 PD27DEC17	3096.50	3264.00	USD	-25,125.00	
25SEP17	S 1 PD27DEC17	3093.50	3264.00	USD	-4,262.50	
25SEP17	S 3 PD27DEC17	3094.00	3264.00	USD	-12,750.00	
25SEP17	B 2 PD27DEC17	3091.00	3264.00	USD	8,650.00	
25SEP17	B 6 PD27DEC17	3090.50	3264.00	USD	26,025.00	
25SEP17	B 11 PD27DEC17	3091.50	3264.00	USD	47,437.50	
25SEP17	B 1 PD27DEC17	3095.00	3264.00	USD	4,225.00	
25SEP17	B 1 PD27DEC17	3098.50	3264.00	USD	4,137.50	
25SEP17	B 1 PD27DEC17	3104.00	3264.00	USD	4,000.00	
25SEP17	S 1 PD27DEC17	3113.00	3264.00	USD	-3,775.00	
25SEP17	S 1 PD27DEC17	3114.00	3264.00	USD	-3,750.00	
26SEP17	B 1 PD27DEC17	3109.50	3264.00	USD	3,862.50	
26SEP17	B 1 PD27DEC17	3109.00	3264.00	USD	3,875.00	
26SEP17	B 1 PD27DEC17	3124.00	3264.00	USD	3,500.00	
26SEP17	B 1 PD27DEC17	3127.50	3264.00	USD	3,412.50	
26SEP17	S 1 PD27DEC17	3125.50	3264.00	USD	-3,462.50	
26SEP17	S 1 PD27DEC17	3124.00	3264.00	USD	-3,500.00	
26SEP17	S 1 PD27DEC17	3127.00	3264.00	USD	-3,425.00	
26SEP17	S 1 PD27DEC17	3129.00	3264.00	USD	-3,375.00	
26SEP17	B 1 PD27DEC17	3126.50	3264.00	USD	3,437.50	
26SEP17	S 2 PD27DEC17	3147.00	3264.00	USD	-5,850.00	
26SEP17	S 1 PD27DEC17	3142.00	3264.00	USD	-3,050.00	
26SEP17	S 1 PD27DEC17	3138.50	3264.00	USD	-3,137.50	
26SEP17	B 1 PD27DEC17	3133.00	3264.00	USD	3,275.00	
26SEP17	B 1 PD27DEC17	3132.50	3264.00	USD	3,287.50	
26SEP17	B 1 PD27DEC17	3122.00	3264.00	USD	3,550.00	
26SEP17	B 1 PD27DEC17	3120.50	3264.00	USD	3,587.50	
26SEP17	B 1 PD27DEC17	3121.00	3264.00	USD	3,575.00	
26SEP17	B 1 PD27DEC17	3128.50	3264.00	USD	3,387.50	
26SEP17	B 1 PD27DEC17	3122.50	3264.00	USD	3,537.50	
26SEP17	B 1 PD27DEC17	3112.00	3264.00	USD	3,800.00	
26SEP17	B 1 PD27DEC17	3109.50	3264.00	USD	3,862.50	
27SEP17	B 1 PD27DEC17	3108.00	3264.00	USD	3,900.00	
27SEP17	B 1 PD27DEC17	3108.50	3264.00	USD	3,887.50	
27SEP17	S 1 PD27DEC17	3106.00	3264.00	USD	-3,950.00	
27SEP17	S 1 PD27DEC17	3107.50	3264.00	USD	-3,912.50	
27SEP17	S 1 PD27DEC17	3109.00	3264.00	USD	-3,875.00	
27SEP17	B 1 PD27DEC17	3109.00	3264.00	USD	3,875.00	
27SEP17	B 1 PD27DEC17	3136.00	3264.00	USD	3,200.00	
27SEP17	B 1 PD27DEC17	3145.00	3264.00	USD	2,975.00	
27SEP17	B 1 PD27DEC17	3140.50	3264.00	USD	3,087.50	
27SEP17	S 1 PD27DEC17	3142.50	3264.00	USD	-3,037.50	
27SEP17	B 2 PD27DEC17	3139.00	3264.00	USD	6,250.00	
27SEP17	B 1 PD27DEC17	3144.50	3264.00	USD	2,987.50	
27SEP17	S 1 PD27DEC17	3115.00	3264.00	USD	-3,725.00	
27SEP17	B 1 PD27DEC17	3124.00	3264.00	USD	3,500.00	
27SEP17	S 1 PD27DEC17	3113.50	3264.00	USD	-3,762.50	
27SEP17	S 1 PD27DEC17	3114.00	3264.00	USD	-3,750.00	
27SEP17	S 1 PD27DEC17	3108.50	3264.00	USD	-3,887.50	
27SEP17	S 1 PD27DEC17	3102.50	3264.00	USD	-4,037.50	
27SEP17	B 1 PD27DEC17	3109.50	3264.00	USD	3,862.50	
27SEP17	S 1 PD27DEC17	3121.50	3264.00	USD	-3,562.50	
27SEP17	B 1 PD27DEC17	3121.50	3264.00	USD	3,562.50	
28SEP17	S 9 PD27DEC17	3147.05	3264.00	USD	-26,313.75	
	NET +1 AVG BGHT 3108.55 SOLD 3115.81				**12,773.75**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
28SEP17	B 1 PD28DEC17	3120.00	3264.00	USD	3,600.00	
28SEP17	B 1 PD28DEC17	3117.00	3264.00	USD	3,675.00	
28SEP17	S 1 PD28DEC17	3113.50	3264.00	USD	-3,762.50	
28SEP17	B 1 PD28DEC17	3114.00	3264.00	USD	3,750.00	
28SEP17	S 1 PD28DEC17	3107.50	3264.00	USD	-3,912.50	
28SEP17	B 1 PD28DEC17	3136.00	3264.00	USD	3,200.00	
28SEP17	B 1 PD28DEC17	3138.50	3264.00	USD	3,137.50	
28SEP17	B 1 PD28DEC17	3137.50	3264.00	USD	3,162.50	
28SEP17	B 2 PD28DEC17	3138.00	3264.00	USD	6,300.00	
28SEP17	B 1 PD28DEC17	3139.50	3264.00	USD	3,112.50	
28SEP17	B 3 PD28DEC17	3142.00	3264.00	USD	9,150.00	
28SEP17	B 3 PD28DEC17	3143.00	3264.00	USD	9,075.00	
28SEP17	B 3 PD28DEC17	3141.50	3264.00	USD	9,187.50	
28SEP17	S 1 PD28DEC17	3147.00	3264.00	USD	-2,925.00	
28SEP17	S 2 PD28DEC17	3144.00	3264.00	USD	-6,000.00	
28SEP17	S 3 PD28DEC17	3144.50	3264.00	USD	-8,962.50	
28SEP17	S 1 PD28DEC17	3135.50	3264.00	USD	-3,212.50	
28SEP17	B 1 PD28DEC17	3150.00	3264.00	USD	2,850.00	
28SEP17	B 2 PD28DEC17	3146.50	3264.00	USD	5,875.00	
29SEP17	S 11 PD28DEC17	3161.99	3264.00	USD	-28,052.75	
	NET +1 AVG BGHT 3138.14 SOLD 3150.34				**9,247.25**	**0.00**
29SEP17	S 1 PD29DEC17	3139.00	3264.00	USD	-3,125.00	
29SEP17	B 2 PD29DEC17	3163.50	3264.00	USD	5,025.00	
29SEP17	B 3 PD29DEC17	3163.00	3264.00	USD	7,575.00	
	NET +4 AVG BGHT 3163.20 SOLD 3139.00				**9,475.00**	**0.00**

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	2,481,339.25
LME Copper Grade A 25	FUTURES	-6,809,267.25
CMX Copper	FUTURES	-2,429,925.00
CMX Gold	FUTURES	-4,463,910.00
LME Lead 25 tonnes. This is an	FUTURES	-842,289.25
LME Nickel 6 tonnes. This is	FUTURES	-3,357,610.20
CMX Silver	FUTURES	84,225.00
LME Tin 5 tonnes. This is an	FUTURES	2,963,089.80
LME Zinc 25 tonnes. This is	FUTURES	-410,810.00

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0094X

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options			Amount	Profit / Loss	**Total Amount**	**Total P&L**
COPR	- Futures	USD	14,962.50	14,962.50		
GOLD	- Futures	USD	-130,270.00	-130,270.00		
SILV	- Futures	USD	975.00	975.00	**-114,332.50**	**-114,332.50**
Unrealised Profits & Losses - Forwards			**Amount**	**Profit / Loss**	**Total Amount**	**Total P&L**
ALUL	- Futures	USD	513,564.75	513,564.75		
COPL	- Futures	USD	-782,309.25	-782,309.25		
LEAD	- Futures	USD	-129,537.25	-129,537.25		
NKL	- Futures	USD	652,954.86	652,954.86		
TIN	- Futures	USD	150,391.05	150,391.05		
ZINC	- Futures	USD	78,782.50	78,782.50	**483,846.66**	**483,846.66**

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		263,765.30	263,765.30
Variation Margins	- Futures	-114,332.50	-114,332.50
	- Options	0.00	0.00
TOTAL EQUITY		**149,432.80**	**149,432.80**
Initial Margins		-1,161,613.34	-1,161,613.34
Excess/(Shortage)		**-1,012,180.54**	**-1,012,180.54**
FOR INFORMATION ONLY			
Forward Unrealised P&L		483,846.66	483,846.66
Net Liquidating Value		**633,279.46**	**633,279.46**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP094AA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-170.00	-170.00
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**-170.00**	**-170.00**
Initial Margins		0.00	0.00
Excess/(Shortage)		**-170.00**	**-170.00**
FOR INFORMATION ONLY			
Net Liquidating Value		**-170.00**	**-170.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP094AB

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
25JUL17	B 2 PD25OCT17	1920.50	2118.97	USD	9,923.50	
25JUL17	B 2 PD25OCT17	1920.00	2118.97	USD	9,948.50	
25JUL17	B 1 PD25OCT17	1919.00	2118.97	USD	4,999.25	
25JUL17	S 3 PD25OCT17	1947.50	2118.97	USD	-12,860.25	
25JUL17	S 2 PD25OCT17	1947.00	2118.97	USD	-8,598.50	
	NET 0 AVG BGHT 1920.00 SOLD 1947.30				**3,412.50**	**0.00**
02AUG17	B 11 PD02NOV17	1920.00	2117.08	USD	54,197.00	
02AUG17	B 2 PD02NOV17	1921.00	2117.08	USD	9,804.00	
02AUG17	B 7 PD02NOV17	1920.50	2117.08	USD	34,401.50	
02AUG17	B 8 PD02NOV17	1922.50	2117.08	USD	38,916.00	
02AUG17	B 9 PD02NOV17	1923.00	2117.08	USD	43,668.00	
02AUG17	B 4 PD02NOV17	1924.50	2117.08	USD	19,258.00	
02AUG17	B 5 PD02NOV17	1924.00	2117.08	USD	24,135.00	
02AUG17	B 9 PD02NOV17	1923.50	2117.08	USD	43,555.50	
02AUG17	B 5 PD02NOV17	1928.50	2117.08	USD	23,572.50	
02AUG17	B 1 PD02NOV17	1927.50	2117.08	USD	4,739.50	
02AUG17	S 1 PD02NOV17	1928.00	2117.08	USD	-4,727.00	
02AUG17	B 5 PD02NOV17	1927.00	2117.08	USD	23,760.00	
22AUG17	S 65 PD02NOV17	2071.13	2117.08	USD	-74,668.75	
	NET 0 AVG BGHT 1923.14 SOLD 2068.96				**240,611.25**	**0.00**
08AUG17	S 1 PD08NOV17	1994.00	2115.75	USD	-3,043.75	
08AUG17	B 1 PD08NOV17	1997.00	2115.75	USD	2,968.75	
08AUG17	B 1 PD08NOV17	1998.00	2115.75	USD	2,943.75	
08AUG17	B 3 PD08NOV17	1998.50	2115.75	USD	8,793.75	
08AUG17	B 1 PD08NOV17	1999.50	2115.75	USD	2,906.25	
08AUG17	B 10 PD08NOV17	2000.00	2115.75	USD	28,937.50	
08AUG17	B 8 PD08NOV17	2010.00	2115.75	USD	21,150.00	
08AUG17	B 1 PD08NOV17	2003.50	2115.75	USD	2,806.25	
08AUG17	B 2 PD08NOV17	2017.00	2115.75	USD	4,937.50	
08AUG17	B 1 PD08NOV17	2019.50	2115.75	USD	2,406.25	
08AUG17	B 6 PD08NOV17	2020.00	2115.75	USD	14,362.50	
08AUG17	B 7 PD08NOV17	2020.50	2115.75	USD	16,668.75	
08AUG17	B 23 PD08NOV17	2021.00	2115.75	USD	54,481.25	
08AUG17	B 6 PD08NOV17	2021.00	2115.75	USD	14,212.50	
08AUG17	B 2 PD08NOV17	2020.50	2115.75	USD	4,762.50	
22AUG17	S 6 PD08NOV17	2072.50	2115.75	USD	-6,487.50	
11SEP17	S 65 PD08NOV17	2114.50	2115.75	USD	-2,031.25	
	NET 0 AVG BGHT 2014.45 SOLD 2109.33				**170,775.00**	**0.00**
10AUG17	B 2 PD10NOV17	2024.00	2116.46	USD	4,623.00	
10AUG17	B 3 PD10NOV17	2024.50	2116.46	USD	6,897.00	
10AUG17	B 6 PD10NOV17	2042.00	2116.46	USD	11,169.00	
10AUG17	B 4 PD10NOV17	2042.50	2116.46	USD	7,396.00	
10AUG17	B 12 PD10NOV17	2040.00	2116.46	USD	22,938.00	
10AUG17	B 12 PD10NOV17	2039.50	2116.46	USD	23,088.00	
10AUG17	B 2 PD10NOV17	2038.50	2116.46	USD	3,898.00	
10AUG17	B 7 PD10NOV17	2039.00	2116.46	USD	13,555.50	
10AUG17	B 1 PD10NOV17	2037.50	2116.46	USD	1,974.00	
10AUG17	B 4 PD10NOV17	2038.00	2116.46	USD	7,846.00	
10AUG17	B 1 PD10NOV17	2038.00	2116.46	USD	1,961.50	
11AUG17	S 2 PD10NOV17	2028.00	2116.46	USD	-4,423.00	
11AUG17	S 2 PD10NOV17	2026.00	2116.46	USD	-4,523.00	
11AUG17	S 1 PD10NOV17	2025.50	2116.46	USD	-2,274.00	
11AUG17	B 1 PD10NOV17	2024.00	2116.46	USD	2,311.50	
11AUG17	B 2 PD10NOV17	2025.00	2116.46	USD	4,573.00	
11AUG17	B 4 PD10NOV17	2024.50	2116.46	USD	9,196.00	
11AUG17	B 2 PD10NOV17	2025.50	2116.46	USD	4,548.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP094AB

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.							
11AUG17	B	1	PD10NOV17	2026.00	2116.46	USD	2,261.50	
11AUG17	S	1	PD10NOV17	2040.00	2116.46	USD	-1,911.50	
11AUG17	S	2	PD10NOV17	2037.50	2116.46	USD	-3,948.00	
11AUG17	S	2	PD10NOV17	2043.00	2116.46	USD	-3,673.00	
11AUG17	S	2	PD10NOV17	2041.00	2116.46	USD	-3,773.00	
11AUG17	S	1	PD10NOV17	2041.50	2116.46	USD	-1,874.00	
11AUG17	S	5	PD10NOV17	2037.00	2116.46	USD	-9,932.50	
11AUG17	S	2	PD10NOV17	2038.00	2116.46	USD	-3,923.00	
11SEP17	S	44	PD10NOV17	2115.07	2116.46	USD	-1,529.00	
	NET	**0**	**AVG BGHT 2036.31 SOLD 2090.35**				**86,452.00**	**0.00**
15AUG17	S	5	PD15NOV17	2024.00	2118.25	USD	-11,781.25	
15AUG17	S	15	PD15NOV17	2024.50	2118.25	USD	-35,156.25	
15AUG17	S	3	PD15NOV17	2026.00	2118.25	USD	-6,918.75	
15AUG17	S	1	PD15NOV17	2024.00	2118.25	USD	-2,356.25	
15AUG17	S	5	PD15NOV17	2025.00	2118.25	USD	-11,656.25	
15AUG17	S	6	PD15NOV17	2025.50	2118.25	USD	-13,912.50	
15AUG17	S	5	PD15NOV17	2024.50	2118.25	USD	-11,718.75	
22AUG17	B	40	PD15NOV17	2074.45	2118.25	USD	43,800.00	
	NET	**0**	**AVG BGHT 2074.45 SOLD 2024.75**				**-49,700.00**	**0.00**
16AUG17	S	1	PD16NOV17	2091.00	2118.52	USD	-688.00	
16AUG17	B	1	PD16NOV17	2088.00	2118.52	USD	763.00	
16AUG17	B	1	PD16NOV17	2095.00	2118.52	USD	588.00	
16AUG17	B	2	PD16NOV17	2098.00	2118.52	USD	1,026.00	
16AUG17	B	1	PD16NOV17	2104.00	2118.52	USD	363.00	
16AUG17	B	1	PD16NOV17	2093.50	2118.52	USD	625.50	
16AUG17	S	1	PD16NOV17	2085.50	2118.52	USD	-825.50	
16AUG17	S	2	PD16NOV17	2086.00	2118.52	USD	-1,626.00	
12SEP17	S	2	PD16NOV17	2129.88	2118.52	USD	568.00	
	NET	**0**	**AVG BGHT 2096.08 SOLD 2101.38**				**794.00**	**0.00**
17AUG17	B	2	PD17NOV17	2097.50	2118.79	USD	1,064.50	
17AUG17	B	3	PD17NOV17	2096.50	2118.79	USD	1,671.75	
17AUG17	B	3	PD17NOV17	2106.00	2118.79	USD	959.25	
17AUG17	B	2	PD17NOV17	2106.50	2118.79	USD	614.50	
17AUG17	S	2	PD17NOV17	2100.50	2118.79	USD	-914.50	
17AUG17	S	8	PD17NOV17	2101.00	2118.79	USD	-3,558.00	
17AUG17	S	1	PD17NOV17	2073.00	2118.79	USD	-1,144.75	
18AUG17	S	4	PD17NOV17	2073.50	2118.79	USD	-4,529.00	
18AUG17	S	1	PD17NOV17	2075.00	2118.79	USD	-1,094.75	
18AUG17	S	1	PD17NOV17	2074.50	2118.79	USD	-1,107.25	
18AUG17	S	1	PD17NOV17	2084.50	2118.79	USD	-857.25	
18AUG17	S	13	PD17NOV17	2084.00	2118.79	USD	-11,306.75	
18AUG17	S	2	PD17NOV17	2087.50	2118.79	USD	-1,564.50	
18AUG17	S	5	PD17NOV17	2088.00	2118.79	USD	-3,848.75	
18AUG17	S	3	PD17NOV17	2080.00	2118.79	USD	-2,909.25	
22AUG17	B	31	PD17NOV17	2074.16	2118.79	USD	34,588.25	
	NET	**0**	**AVG BGHT 2080.84 SOLD 2086.76**				**6,063.50**	**0.00**
22AUG17	S	6	PD22NOV17	2080.00	2120.15	USD	-6,022.50	
22AUG17	S	3	PD22NOV17	2080.50	2120.15	USD	-2,973.75	
22AUG17	S	1	PD22NOV17	2080.50	2120.15	USD	-991.25	
22AUG17	S	2	PD22NOV17	2083.00	2120.15	USD	-1,857.50	
22AUG17	S	4	PD22NOV17	2083.50	2120.15	USD	-3,665.00	
22AUG17	S	3	PD22NOV17	2084.00	2120.15	USD	-2,711.25	
11SEP17	B	19	PD22NOV17	2116.86	2120.15	USD	1,562.75	
	NET	**0**	**AVG BGHT 2116.86 SOLD 2081.79**				**-16,658.50**	**0.00**
23AUG17	S	1	PD24NOV17	2076.00	2120.69	USD	-1,117.25	
23AUG17	S	2	PD24NOV17	2072.00	2120.69	USD	-2,434.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP094AB

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
23AUG17	S 9 PD24NOV17	2072.50	2120.69	USD	-10,842.75	
23AUG17	S 6 PD24NOV17	2071.50	2120.69	USD	-7,378.50	
23AUG17	B 1 PD24NOV17	2090.50	2120.69	USD	754.75	
23AUG17	B 1 PD24NOV17	2094.00	2120.69	USD	667.25	
23AUG17	S 1 PD24NOV17	2089.00	2120.69	USD	-792.25	
24AUG17	S 1 PD24NOV17	2097.00	2120.69	USD	-592.25	
24AUG17	S 3 PD24NOV17	2101.50	2120.69	USD	-1,439.25	
24AUG17	S 3 PD24NOV17	2121.00	2120.69	USD	23.25	
24AUG17	S 3 PD24NOV17	2121.50	2120.69	USD	60.75	
24AUG17	S 3 PD24NOV17	2117.50	2120.69	USD	-239.25	
24AUG17	S 6 PD24NOV17	2118.00	2120.69	USD	-403.50	
24AUG17	S 3 PD24NOV17	2118.50	2120.69	USD	-164.25	
24AUG17	S 3 PD24NOV17	2119.50	2120.69	USD	-89.25	
24AUG17	S 3 PD24NOV17	2119.00	2120.69	USD	-126.75	
24AUG17	S 8 PD24NOV17	2120.00	2120.69	USD	-138.00	
24AUG17	S 4 PD24NOV17	2120.50	2120.69	USD	-19.00	
24AUG17	B 1 PD24NOV17	2105.00	2120.69	USD	392.25	
24AUG17	B 4 PD24NOV17	2105.50	2120.69	USD	1,519.00	
25AUG17	B 3 PD24NOV17	2110.00	2120.69	USD	801.75	
25AUG17	B 1 PD24NOV17	2111.50	2120.69	USD	229.75	
25AUG17	B 6 PD24NOV17	2112.00	2120.69	USD	1,303.50	
25AUG17	B 1 PD24NOV17	2095.00	2120.69	USD	642.25	
25AUG17	B 1 PD24NOV17	2098.50	2120.69	USD	554.75	
25AUG17	S 1 PD24NOV17	2097.50	2120.69	USD	-579.75	
25AUG17	B 14 PD24NOV17	2097.00	2120.69	USD	8,291.50	
25AUG17	B 1 PD24NOV17	2094.50	2120.69	USD	654.75	
25AUG17	B 2 PD24NOV17	2093.50	2120.69	USD	1,359.50	
25AUG17	B 2 PD24NOV17	2092.50	2120.69	USD	1,409.50	
25AUG17	B 2 PD24NOV17	2096.00	2120.69	USD	1,234.50	
25AUG17	B 2 PD24NOV17	2096.50	2120.69	USD	1,209.50	
25AUG17	B 1 PD24NOV17	2095.50	2120.69	USD	629.75	
25AUG17	S 6 PD24NOV17	2094.00	2120.69	USD	-4,003.50	
25AUG17	S 3 PD24NOV17	2091.00	2120.69	USD	-2,226.75	
25AUG17	S 3 PD24NOV17	2090.50	2120.69	USD	-2,264.25	
25AUG17	S 1 PD24NOV17	2090.00	2120.69	USD	-767.25	
25AUG17	S 2 PD24NOV17	2089.00	2120.69	USD	-1,584.50	
25AUG17	S 3 PD24NOV17	2088.50	2120.69	USD	-2,414.25	
25AUG17	S 3 PD24NOV17	2087.50	2120.69	USD	-2,489.25	
25AUG17	S 2 PD24NOV17	2086.50	2120.69	USD	-1,709.50	
25AUG17	S 2 PD24NOV17	2086.00	2120.69	USD	-1,734.50	
05SEP17	B 35 PD24NOV17	2093.60	2120.69	USD	23,703.75	
11SEP17	B 7 PD24NOV17	2117.42	2120.69	USD	572.25	
	NET 0 AVG BGHT 2099.08 SOLD 2099.29				**464.00**	**0.00**
29AUG17	B 2 PD29NOV17	2076.00	2122.05	USD	2,302.50	
29AUG17	B 3 PD29NOV17	2075.50	2122.05	USD	3,491.25	
29AUG17	B 3 PD29NOV17	2076.50	2122.05	USD	3,416.25	
29AUG17	B 12 PD29NOV17	2077.00	2122.05	USD	13,515.00	
29AUG17	S 3 PD29NOV17	2096.00	2122.05	USD	-1,953.75	
29AUG17	S 4 PD29NOV17	2096.50	2122.05	USD	-2,555.00	
29AUG17	S 2 PD29NOV17	2097.00	2122.05	USD	-1,252.50	
29AUG17	S 2 PD29NOV17	2097.50	2122.05	USD	-1,227.50	
29AUG17	S 4 PD29NOV17	2098.00	2122.05	USD	-2,405.00	
29AUG17	S 1 PD29NOV17	2098.50	2122.05	USD	-588.75	
29AUG17	S 3 PD29NOV17	2099.00	2122.05	USD	-1,728.75	
29AUG17	S 2 PD29NOV17	2103.00	2122.05	USD	-952.50	
29AUG17	S 2 PD29NOV17	2103.50	2122.05	USD	-927.50	
29AUG17	S 6 PD29NOV17	2101.00	2122.05	USD	-3,157.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP094AB

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.							
29AUG17	S	3	PD29NOV17	2104.00	2122.05	USD	-1,353.75	
29AUG17	S	3	PD29NOV17	2102.00	2122.05	USD	-1,503.75	
29AUG17	S	3	PD29NOV17	2097.00	2122.05	USD	-1,878.75	
29AUG17	S	2	PD29NOV17	2098.00	2122.05	USD	-1,202.50	
29AUG17	S	2	PD29NOV17	2093.50	2122.05	USD	-1,427.50	
29AUG17	S	5	PD29NOV17	2092.50	2122.05	USD	-3,693.75	
29AUG17	S	4	PD29NOV17	2092.00	2122.05	USD	-3,005.00	
29AUG17	S	1	PD29NOV17	2091.50	2122.05	USD	-763.75	
29AUG17	S	2	PD29NOV17	2093.00	2122.05	USD	-1,452.50	
29AUG17	S	1	PD29NOV17	2092.50	2122.05	USD	-738.75	
11SEP17	B	35	PD29NOV17	2118.83	2122.05	USD	2,817.50	
	NET	**0**	**AVG BGHT 2103.47**	**SOLD 2097.49**			**-8,226.25**	**0.00**
31AUG17	B	1	PD30NOV17	2096.00	2122.32	USD	658.00	
31AUG17	B	1	PD30NOV17	2098.00	2122.32	USD	608.00	
31AUG17	B	6	PD30NOV17	2099.00	2122.32	USD	3,498.00	
31AUG17	B	3	PD30NOV17	2099.50	2122.32	USD	1,711.50	
31AUG17	B	2	PD30NOV17	2102.50	2122.32	USD	991.00	
31AUG17	B	3	PD30NOV17	2103.50	2122.32	USD	1,411.50	
31AUG17	B	2	PD30NOV17	2100.50	2122.32	USD	1,091.00	
31AUG17	B	1	PD30NOV17	2095.50	2122.32	USD	670.50	
31AUG17	B	6	PD30NOV17	2094.50	2122.32	USD	4,173.00	
31AUG17	B	1	PD30NOV17	2095.00	2122.32	USD	683.00	
31AUG17	S	1	PD30NOV17	2129.50	2122.32	USD	179.50	
31AUG17	S	13	PD30NOV17	2130.00	2122.32	USD	2,496.00	
31AUG17	S	2	PD30NOV17	2130.50	2122.32	USD	409.00	
31AUG17	S	4	PD30NOV17	2132.00	2122.32	USD	968.00	
31AUG17	S	1	PD30NOV17	2131.50	2122.32	USD	229.50	
31AUG17	S	7	PD30NOV17	2128.00	2122.32	USD	994.00	
31AUG17	S	3	PD30NOV17	2127.50	2122.32	USD	388.50	
31AUG17	B	1	PD30NOV17	2123.00	2122.32	USD	-17.00	
31AUG17	B	3	PD30NOV17	2118.00	2122.32	USD	324.00	
31AUG17	B	3	PD30NOV17	2117.50	2122.32	USD	361.50	
31AUG17	B	6	PD30NOV17	2119.00	2122.32	USD	498.00	
31AUG17	B	3	PD30NOV17	2116.00	2122.32	USD	474.00	
12SEP17	S	11	PD30NOV17	2133.28	2122.32	USD	3,014.00	
	NET	**0**	**AVG BGHT 2106.00**	**SOLD 2130.59**			**25,814.50**	**0.00**
01SEP17	B	3	PD01DEC17	2124.50	2122.59	USD	-143.25	
01SEP17	B	3	PD01DEC17	2125.50	2122.59	USD	-218.25	
01SEP17	B	15	PD01DEC17	2127.00	2122.59	USD	-1,653.75	
01SEP17	B	16	PD01DEC17	2128.00	2122.59	USD	-2,164.00	
01SEP17	B	12	PD01DEC17	2129.00	2122.59	USD	-1,923.00	
01SEP17	B	6	PD01DEC17	2128.50	2122.59	USD	-886.50	
01SEP17	B	10	PD01DEC17	2126.00	2122.59	USD	-852.50	
01SEP17	B	1	PD01DEC17	2130.50	2122.59	USD	-197.75	
12SEP17	S	66	PD01DEC17	2133.59	2122.59	USD	18,150.00	
	NET	**0**	**AVG BGHT 2127.46**	**SOLD 2133.59**			**10,111.00**	**0.00**
04SEP17	S	1	PD04DEC17	2124.00	2123.41	USD	14.75	
04SEP17	S	6	PD04DEC17	2122.00	2123.41	USD	-211.50	
04SEP17	S	2	PD04DEC17	2123.50	2123.41	USD	4.50	
04SEP17	S	2	PD04DEC17	2123.00	2123.41	USD	-20.50	
04SEP17	S	6	PD04DEC17	2124.50	2123.41	USD	163.50	
04SEP17	S	2	PD04DEC17	2125.00	2123.41	USD	79.50	
04SEP17	S	1	PD04DEC17	2123.50	2123.41	USD	2.25	
04SEP17	S	1	PD04DEC17	2123.00	2123.41	USD	-10.25	
04SEP17	B	7	PD04DEC17	2117.50	2123.41	USD	1,034.25	
04SEP17	B	4	PD04DEC17	2117.00	2123.41	USD	641.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP094AB

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.							
04SEP17	B	4	PD04DEC17	2114.00	2123.41	USD	941.00	
04SEP17	B	5	PD04DEC17	2114.50	2123.41	USD	1,113.75	
04SEP17	B	1	PD04DEC17	2112.50	2123.41	USD	272.75	
	NET	**0**	**AVG BGHT 2115.79 SOLD 2123.45**				**4,025.00**	**0.00**
05SEP17	B	1	PD05DEC17	2114.00	2123.68	USD	242.00	
05SEP17	S	1	PD05DEC17	2106.50	2123.68	USD	-429.50	
05SEP17	S	5	PD05DEC17	2098.00	2123.68	USD	-3,210.00	
05SEP17	S	2	PD05DEC17	2098.50	2123.68	USD	-1,259.00	
05SEP17	S	3	PD05DEC17	2100.00	2123.68	USD	-1,776.00	
05SEP17	S	1	PD05DEC17	2103.00	2123.68	USD	-517.00	
11SEP17	B	11	PD05DEC17	2120.51	2123.68	USD	871.75	
	NET	**0**	**AVG BGHT 2119.97 SOLD 2099.71**				**-6,077.75**	**0.00**
06SEP17	B	6	PD06DEC17	2093.00	2123.95	USD	4,642.50	
06SEP17	B	1	PD06DEC17	2096.00	2123.95	USD	698.75	
06SEP17	B	4	PD06DEC17	2095.50	2123.95	USD	2,845.00	
06SEP17	S	1	PD06DEC17	2088.50	2123.95	USD	-886.25	
06SEP17	S	2	PD06DEC17	2085.00	2123.95	USD	-1,947.50	
06SEP17	S	1	PD06DEC17	2086.00	2123.95	USD	-948.75	
06SEP17	S	6	PD06DEC17	2085.50	2123.95	USD	-5,767.50	
06SEP17	S	9	PD06DEC17	2086.50	2123.95	USD	-8,426.25	
06SEP17	S	2	PD06DEC17	2087.00	2123.95	USD	-1,847.50	
06SEP17	S	6	PD06DEC17	2109.00	2123.95	USD	-2,242.50	
06SEP17	S	10	PD06DEC17	2108.00	2123.95	USD	-3,987.50	
06SEP17	S	1	PD06DEC17	2108.50	2123.95	USD	-386.25	
06SEP17	S	2	PD06DEC17	2110.00	2123.95	USD	-697.50	
06SEP17	S	1	PD06DEC17	2110.50	2123.95	USD	-336.25	
11SEP17	B	30	PD06DEC17	2120.79	2123.95	USD	2,370.00	
	NET	**0**	**AVG BGHT 2113.65 SOLD 2097.15**				**-16,917.50**	**0.00**
07SEP17	S	1	PD07DEC17	2092.00	2124.22	USD	-805.50	
07SEP17	S	4	PD07DEC17	2091.50	2124.22	USD	-3,272.00	
07SEP17	S	4	PD07DEC17	2091.00	2124.22	USD	-3,322.00	
07SEP17	S	6	PD07DEC17	2093.00	2124.22	USD	-4,683.00	
07SEP17	S	5	PD07DEC17	2092.50	2124.22	USD	-3,965.00	
07SEP17	S	1	PD07DEC17	2091.50	2124.22	USD	-818.00	
07SEP17	S	2	PD07DEC17	2107.00	2124.22	USD	-861.00	
07SEP17	S	7	PD07DEC17	2106.50	2124.22	USD	-3,101.00	
07SEP17	S	9	PD07DEC17	2106.00	2124.22	USD	-4,099.50	
07SEP17	S	2	PD07DEC17	2111.00	2124.22	USD	-661.00	
07SEP17	S	8	PD07DEC17	2110.50	2124.22	USD	-2,744.00	
07SEP17	S	2	PD07DEC17	2109.50	2124.22	USD	-736.00	
07SEP17	S	14	PD07DEC17	2109.00	2124.22	USD	-5,327.00	
07SEP17	S	22	PD07DEC17	2108.50	2124.22	USD	-8,646.00	
11SEP17	B	7	PD07DEC17	2121.08	2124.22	USD	549.50	
12SEP17	B	79	PD07DEC17	2135.65	2124.22	USD	-22,574.25	
14SEP17	B	1	PD07DEC17	2096.13	2124.22	USD	702.25	
	NET	**0**	**AVG BGHT 2134.02 SOLD 2104.43**				**-64,363.50**	**0.00**
08SEP17	B	10	PD08DEC17	2119.50	2124.49	USD	1,247.50	
08SEP17	S	1	PD08DEC17	2111.00	2124.49	USD	-337.25	
08SEP17	S	4	PD08DEC17	2110.00	2124.49	USD	-1,449.00	
08SEP17	S	3	PD08DEC17	2109.00	2124.49	USD	-1,161.75	
08SEP17	S	2	PD08DEC17	2108.50	2124.49	USD	-799.50	
08SEP17	S	1	PD08DEC17	2100.00	2124.49	USD	-612.25	
08SEP17	B	1	PD08DEC17	2095.00	2124.49	USD	737.25	
08SEP17	B	1	PD08DEC17	2094.50	2124.49	USD	749.75	
14SEP17	S	1	PD08DEC17	2096.20	2124.49	USD	-707.25	
	NET	**0**	**AVG BGHT 2115.38 SOLD 2107.60**				**-2,332.50**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP094AB

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.							
11SEP17	B	1	PD11DEC17	2103.00	2125.31	USD	557.75	
11SEP17	B	1	PD11DEC17	2123.50	2125.31	USD	45.25	
11SEP17	B	1	PD11DEC17	2124.50	2125.31	USD	20.25	
11SEP17	B	4	PD11DEC17	2116.50	2125.31	USD	881.00	
11SEP17	B	1	PD11DEC17	2117.50	2125.31	USD	195.25	
11SEP17	B	6	PD11DEC17	2117.00	2125.31	USD	1,246.50	
11SEP17	B	1	PD11DEC17	2115.50	2125.31	USD	245.25	
11SEP17	B	1	PD11DEC17	2120.50	2125.31	USD	120.25	
11SEP17	B	1	PD11DEC17	2118.00	2125.31	USD	182.75	
11SEP17	B	1	PD11DEC17	2119.50	2125.31	USD	145.25	
11SEP17	S	1	PD11DEC17	2127.00	2125.31	USD	42.25	
11SEP17	B	7	PD11DEC17	2118.50	2125.31	USD	1,191.75	
14SEP17	S	12	PD11DEC17	2097.20	2125.31	USD	-8,433.00	
19SEP17	S	12	PD11DEC17	2122.71	2125.31	USD	-780.00	
	NET	**0**	**AVG BGHT 2117.58**	**SOLD 2110.64**			**-4,339.50**	**0.00**
12SEP17	S	1	PD12DEC17	2119.50	2125.58	USD	-152.00	
12SEP17	S	1	PD12DEC17	2118.00	2125.58	USD	-189.50	
12SEP17	S	10	PD12DEC17	2115.00	2125.58	USD	-2,645.00	
12SEP17	B	3	PD12DEC17	2115.50	2125.58	USD	756.00	
12SEP17	B	7	PD12DEC17	2116.00	2125.58	USD	1,676.50	
12SEP17	B	1	PD12DEC17	2121.00	2125.58	USD	114.50	
12SEP17	S	1	PD12DEC17	2140.00	2125.58	USD	360.50	
19SEP17	B	2	PD12DEC17	2123.19	2125.58	USD	119.50	
	NET	**0**	**AVG BGHT 2117.38**	**SOLD 2117.50**			**40.50**	**0.00**
13SEP17	S	1	PD13DEC17	2134.50	2125.85	USD	216.25	
13SEP17	S	1	PD13DEC17	2134.00	2125.85	USD	203.75	
13SEP17	S	1	PD13DEC17	2133.50	2125.85	USD	191.25	
13SEP17	S	3	PD13DEC17	2132.00	2125.85	USD	461.25	
13SEP17	S	5	PD13DEC17	2131.50	2125.85	USD	706.25	
13SEP17	S	9	PD13DEC17	2128.00	2125.85	USD	483.75	
13SEP17	S	2	PD13DEC17	2128.00	2125.85	USD	107.50	
13SEP17	B	3	PD13DEC17	2116.00	2125.85	USD	738.75	
13SEP17	B	7	PD13DEC17	2117.00	2125.85	USD	1,548.75	
13SEP17	S	3	PD13DEC17	2114.00	2125.85	USD	-888.75	
13SEP17	S	3	PD13DEC17	2111.00	2125.85	USD	-1,113.75	
13SEP17	S	4	PD13DEC17	2111.50	2125.85	USD	-1,435.00	
13SEP17	B	6	PD13DEC17	2112.00	2125.85	USD	2,077.50	
13SEP17	B	4	PD13DEC17	2111.00	2125.85	USD	1,485.00	
14SEP17	B	12	PD13DEC17	2097.93	2125.85	USD	8,376.00	
	NET	**0**	**AVG BGHT 2108.07**	**SOLD 2124.52**			**13,158.50**	**0.00**
14SEP17	B	1	PD14DEC17	2120.00	2126.12	USD	153.00	
14SEP17	B	7	PD14DEC17	2099.50	2126.12	USD	4,658.50	
14SEP17	B	1	PD14DEC17	2100.50	2126.12	USD	640.50	
14SEP17	B	6	PD14DEC17	2101.00	2126.12	USD	3,768.00	
14SEP17	B	15	PD14DEC17	2100.00	2126.12	USD	9,795.00	
14SEP17	B	1	PD14DEC17	2096.00	2126.12	USD	753.00	
14SEP17	B	1	PD14DEC17	2095.00	2126.12	USD	778.00	
14SEP17	B	2	PD14DEC17	2094.00	2126.12	USD	1,606.00	
14SEP17	B	1	PD14DEC17	2099.50	2126.12	USD	665.50	
14SEP17	B	3	PD14DEC17	2097.50	2126.12	USD	2,146.50	
14SEP17	B	3	PD14DEC17	2098.50	2126.12	USD	2,071.50	
19SEP17	S	31	PD14DEC17	2123.57	2126.12	USD	-1,976.25	
21SEP17	S	10	PD14DEC17	2169.83	2126.12	USD	10,927.50	
	NET	**0**	**AVG BGHT 2099.74**	**SOLD 2134.85**			**35,986.75**	**0.00**
15SEP17	B	1	PD15DEC17	2104.50	2126.39	USD	547.25	
15SEP17	B	2	PD15DEC17	2105.50	2126.39	USD	1,044.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP094AB

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.							
15SEP17	B	4	PD15DEC17	2107.50	2126.39	USD	1,889.00	
15SEP17	B	19	PD15DEC17	2107.00	2126.39	USD	9,210.25	
15SEP17	B	11	PD15DEC17	2106.50	2126.39	USD	5,469.75	
15SEP17	S	1	PD15DEC17	2105.00	2126.39	USD	-534.75	
15SEP17	S	5	PD15DEC17	2093.00	2126.39	USD	-4,173.75	
15SEP17	S	5	PD15DEC17	2092.50	2126.39	USD	-4,236.25	
15SEP17	S	28	PD15DEC17	2092.00	2126.39	USD	-24,073.00	
15SEP17	S	5	PD15DEC17	2088.00	2126.39	USD	-4,798.75	
15SEP17	S	5	PD15DEC17	2088.50	2126.39	USD	-4,736.25	
15SEP17	S	10	PD15DEC17	2087.50	2126.39	USD	-9,722.50	
15SEP17	S	4	PD15DEC17	2095.00	2126.39	USD	-3,139.00	
15SEP17	S	7	PD15DEC17	2094.00	2126.39	USD	-5,668.25	
15SEP17	S	4	PD15DEC17	2090.50	2126.39	USD	-3,589.00	
15SEP17	S	5	PD15DEC17	2090.00	2126.39	USD	-4,548.75	
15SEP17	B	1	PD15DEC17	2106.50	2126.39	USD	497.25	
15SEP17	S	1	PD15DEC17	2092.50	2126.39	USD	-847.25	
15SEP17	B	1	PD15DEC17	2073.00	2126.39	USD	1,334.75	
19SEP17	B	41	PD15DEC17	2124.05	2126.39	USD	2,398.50	
	NET	**0**	**AVG BGHT 2115.19**	**SOLD 2091.36**			**-47,676.25**	**0.00**
18SEP17	S	1	PD18DEC17	2091.00	2127.21	USD	-905.25	
18SEP17	S	4	PD18DEC17	2095.00	2127.21	USD	-3,221.00	
18SEP17	S	2	PD18DEC17	2092.00	2127.21	USD	-1,760.50	
18SEP17	S	5	PD18DEC17	2091.50	2127.21	USD	-4,463.75	
18SEP17	B	3	PD18DEC17	2099.00	2127.21	USD	2,115.75	
18SEP17	B	9	PD18DEC17	2101.00	2127.21	USD	5,897.25	
18SEP17	B	3	PD18DEC17	2100.00	2127.21	USD	2,040.75	
18SEP17	S	4	PD18DEC17	2094.50	2127.21	USD	-3,271.00	
18SEP17	S	2	PD18DEC17	2095.50	2127.21	USD	-1,585.50	
18SEP17	B	1	PD18DEC17	2098.50	2127.21	USD	717.75	
18SEP17	B	4	PD18DEC17	2098.00	2127.21	USD	2,921.00	
18SEP17	S	2	PD18DEC17	2093.00	2127.21	USD	-1,710.50	
18SEP17	B	8	PD18DEC17	2100.50	2127.21	USD	5,342.00	
18SEP17	B	2	PD18DEC17	2100.00	2127.21	USD	1,360.50	
18SEP17	B	2	PD18DEC17	2090.00	2127.21	USD	1,860.50	
21SEP17	S	12	PD18DEC17	2170.94	2127.21	USD	13,119.00	
	NET	**0**	**AVG BGHT 2099.39**	**SOLD 2122.46**			**18,457.00**	**0.00**
19SEP17	S	5	PD19DEC17	2124.50	2127.48	USD	-372.50	
19SEP17	S	1	PD19DEC17	2123.00	2127.48	USD	-112.00	
19SEP17	S	2	PD19DEC17	2123.50	2127.48	USD	-199.00	
19SEP17	B	6	PD19DEC17	2127.50	2127.48	USD	-3.00	
19SEP17	B	2	PD19DEC17	2118.00	2127.48	USD	474.00	
19SEP17	B	2	PD19DEC17	2121.00	2127.48	USD	324.00	
19SEP17	S	4	PD19DEC17	2124.00	2127.48	USD	-348.00	
19SEP17	B	3	PD19DEC17	2127.00	2127.48	USD	36.00	
19SEP17	B	10	PD19DEC17	2126.00	2127.48	USD	370.00	
19SEP17	B	5	PD19DEC17	2126.50	2127.48	USD	122.50	
19SEP17	B	2	PD19DEC17	2125.50	2127.48	USD	99.00	
25SEP17	S	18	PD19DEC17	2147.75	2127.48	USD	9,121.50	
	NET	**0**	**AVG BGHT 2125.58**	**SOLD 2138.27**			**9,512.50**	**0.00**
20SEP17	B	21	PD20DEC17	2130.00	2127.75	USD	-1,181.25	
20SEP17	S	11	PD20DEC17	2137.00	2127.75	USD	2,543.75	
20SEP17	B	1	PD20DEC17	2151.50	2127.75	USD	-593.75	
20SEP17	B	4	PD20DEC17	2152.50	2127.75	USD	-2,475.00	
20SEP17	B	1	PD20DEC17	2156.00	2127.75	USD	-706.25	
20SEP17	B	1	PD20DEC17	2163.00	2127.75	USD	-881.25	
20SEP17	B	5	PD20DEC17	2165.00	2127.75	USD	-4,656.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP094AB

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.							
20SEP17	B	1	PD20DEC17	2166.00	2127.75	USD	-956.25	
20SEP17	B	4	PD20DEC17	2166.50	2127.75	USD	-3,875.00	
20SEP17	B	2	PD20DEC17	2157.50	2127.75	USD	-1,487.50	
20SEP17	S	2	PD20DEC17	2175.50	2127.75	USD	2,387.50	
20SEP17	B	5	PD20DEC17	2148.50	2127.75	USD	-2,593.75	
20SEP17	S	7	PD20DEC17	2180.00	2127.75	USD	9,143.75	
20SEP17	B	10	PD20DEC17	2186.50	2127.75	USD	-14,687.50	
20SEP17	B	10	PD20DEC17	2186.00	2127.75	USD	-14,562.50	
20SEP17	S	1	PD20DEC17	2177.00	2127.75	USD	1,231.25	
20SEP17	S	5	PD20DEC17	2176.50	2127.75	USD	6,093.75	
20SEP17	S	6	PD20DEC17	2176.00	2127.75	USD	7,237.50	
25SEP17	S	33	PD20DEC17	2147.50	2127.75	USD	16,293.75	
	NET	**0**	**AVG BGHT 2157.69**	**SOLD 2155.40**			**-3,725.00**	**0.00**
21SEP17	S	2	PD21DEC17	2176.00	2127.98	USD	2,401.00	
21SEP17	S	3	PD21DEC17	2172.50	2127.98	USD	3,339.00	
21SEP17	S	1	PD21DEC17	2173.50	2127.98	USD	1,138.00	
21SEP17	S	5	PD21DEC17	2173.00	2127.98	USD	5,627.50	
21SEP17	S	8	PD21DEC17	2170.50	2127.98	USD	8,504.00	
21SEP17	S	1	PD21DEC17	2175.00	2127.98	USD	1,175.50	
21SEP17	S	1	PD21DEC17	2161.50	2127.98	USD	838.00	
21SEP17	S	1	PD21DEC17	2161.00	2127.98	USD	825.50	
21SEP17	S	1	PD21DEC17	2177.50	2127.98	USD	1,238.00	
21SEP17	S	2	PD21DEC17	2181.50	2127.98	USD	2,676.00	
21SEP17	S	2	PD21DEC17	2180.50	2127.98	USD	2,626.00	
21SEP17	B	5	PD21DEC17	2193.50	2127.98	USD	-8,190.00	
21SEP17	S	1	PD21DEC17	2181.50	2127.98	USD	1,338.00	
21SEP17	B	4	PD21DEC17	2179.00	2127.98	USD	-5,102.00	
21SEP17	S	5	PD21DEC17	2180.00	2127.98	USD	6,502.50	
21SEP17	B	2	PD21DEC17	2175.00	2127.98	USD	-2,351.00	
21SEP17	B	2	PD21DEC17	2174.00	2127.98	USD	-2,301.00	
21SEP17	B	9	PD21DEC17	2173.50	2127.98	USD	-10,242.00	
21SEP17	S	5	PD21DEC17	2170.00	2127.98	USD	5,252.50	
21SEP17	S	22	PD21DEC17	2168.00	2127.98	USD	22,011.00	
21SEP17	S	3	PD21DEC17	2167.50	2127.98	USD	2,964.00	
21SEP17	S	5	PD21DEC17	2167.00	2127.98	USD	4,877.50	
21SEP17	S	1	PD21DEC17	2171.00	2127.98	USD	1,075.50	
21SEP17	S	1	PD21DEC17	2163.00	2127.98	USD	875.50	
21SEP17	S	4	PD21DEC17	2164.00	2127.98	USD	3,602.00	
21SEP17	S	19	PD21DEC17	2162.00	2127.98	USD	16,159.50	
21SEP17	S	5	PD21DEC17	2160.50	2127.98	USD	4,065.00	
21SEP17	S	5	PD21DEC17	2160.00	2127.98	USD	4,002.50	
21SEP17	S	2	PD21DEC17	2149.00	2127.98	USD	1,051.00	
21SEP17	B	22	PD21DEC17	2171.20	2127.98	USD	-23,771.00	
25SEP17	B	51	PD21DEC17	2146.70	2127.98	USD	-23,868.00	
28SEP17	B	8	PD21DEC17	2129.03	2127.98	USD	-210.00	
	NET	**-2**	**AVG BGHT 2157.51**	**SOLD 2167.66**			**28,130.00**	**0.00**
22SEP17	B	1	PD22DEC17	2139.50	2128.21	USD	-282.25	
22SEP17	B	4	PD22DEC17	2138.50	2128.21	USD	-1,029.00	
22SEP17	B	2	PD22DEC17	2138.00	2128.21	USD	-489.50	
22SEP17	B	9	PD22DEC17	2140.00	2128.21	USD	-2,652.75	
22SEP17	B	4	PD22DEC17	2140.50	2128.21	USD	-1,229.00	
22SEP17	S	6	PD22DEC17	2144.00	2128.21	USD	2,368.50	
22SEP17	S	6	PD22DEC17	2141.00	2128.21	USD	1,918.50	
22SEP17	S	4	PD22DEC17	2141.50	2128.21	USD	1,329.00	
22SEP17	S	2	PD22DEC17	2142.50	2128.21	USD	714.50	
22SEP17	S	12	PD22DEC17	2142.00	2128.21	USD	4,137.00	
	NET	**-10**	**AVG BGHT 2139.58**	**SOLD 2142.17**			**4,785.00**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP094AB

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.							
25SEP17	S	1	PD27DEC17	2152.00	2129.38	USD	565.50	
25SEP17	B	1	PD27DEC17	2153.50	2129.38	USD	-603.00	
26SEP17	B	9	PD27DEC17	2152.00	2129.38	USD	-5,089.50	
26SEP17	B	1	PD27DEC17	2152.50	2129.38	USD	-578.00	
26SEP17	B	5	PD27DEC17	2145.00	2129.38	USD	-1,952.50	
26SEP17	B	2	PD27DEC17	2143.50	2129.38	USD	-706.00	
26SEP17	B	3	PD27DEC17	2128.00	2129.38	USD	103.50	
27SEP17	S	2	PD27DEC17	2127.50	2129.38	USD	-94.00	
27SEP17	S	3	PD27DEC17	2140.50	2129.38	USD	834.00	
27SEP17	S	5	PD27DEC17	2140.00	2129.38	USD	1,327.50	
27SEP17	S	1	PD27DEC17	2138.00	2129.38	USD	215.50	
27SEP17	S	2	PD27DEC17	2140.50	2129.38	USD	556.00	
27SEP17	S	1	PD27DEC17	2127.00	2129.38	USD	-59.50	
27SEP17	B	1	PD27DEC17	2127.00	2129.38	USD	59.50	
27SEP17	B	1	PD27DEC17	2130.00	2129.38	USD	-15.50	
28SEP17	S	8	PD27DEC17	2130.52	2129.38	USD	228.00	
	NET	**0**	**AVG BGHT 2144.65**	**SOLD 2135.59**			**-5,208.50**	**0.00**
28SEP17	B	5	PD28DEC17	2138.00	2129.61	USD	-1,048.75	
28SEP17	S	1	PD28DEC17	2131.00	2129.61	USD	34.75	
28SEP17	S	2	PD28DEC17	2130.50	2129.61	USD	44.50	
28SEP17	S	3	PD28DEC17	2129.50	2129.61	USD	-8.25	
28SEP17	B	1	PD28DEC17	2130.50	2129.61	USD	-22.25	
28SEP17	S	1	PD28DEC17	2127.50	2129.61	USD	-52.75	
28SEP17	S	1	PD28DEC17	2129.00	2129.61	USD	-15.25	
28SEP17	B	2	PD28DEC17	2131.50	2129.61	USD	-94.50	
	NET	**0**	**AVG BGHT 2135.44**	**SOLD 2129.63**			**-1,162.50**	**0.00**
29SEP17	B	2	PD29DEC17	2135.00	2129.84	USD	-258.00	
	NET	**+2**	**AVG BGHT 2135.00**				**-258.00**	**0.00**
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT							
21JUL17	S	1	PD20OCT17	5987.50	6486.75	USD	-12,481.25	
21JUL17	B	1	PD20OCT17	5984.50	6486.75	USD	12,556.25	
21JUL17	B	1	PD20OCT17	5996.50	6486.75	USD	12,256.25	
21JUL17	B	1	PD20OCT17	5998.00	6486.75	USD	12,218.75	
21JUL17	S	1	PD20OCT17	6002.50	6486.75	USD	-12,106.25	
21JUL17	S	1	PD20OCT17	6008.00	6486.75	USD	-11,968.75	
21JUL17	S	1	PD20OCT17	6013.00	6486.75	USD	-11,843.75	
21JUL17	B	1	PD20OCT17	5985.00	6486.75	USD	12,543.75	
21JUL17	S	1	PD20OCT17	6000.00	6486.75	USD	-12,168.75	
03AUG17	B	1	PD20OCT17	6347.00	6486.75	USD	3,493.75	
	NET	**0**	**AVG BGHT 6062.20**	**SOLD 6002.20**			**-7,500.00**	**0.00**
24JUL17	S	1	PD24OCT17	5996.00	6489.75	USD	-12,343.75	
24JUL17	S	1	PD24OCT17	5996.50	6489.75	USD	-12,331.25	
24JUL17	S	1	PD24OCT17	5997.50	6489.75	USD	-12,306.25	
24JUL17	S	1	PD24OCT17	6019.50	6489.75	USD	-11,756.25	
24JUL17	S	1	PD24OCT17	6026.50	6489.75	USD	-11,581.25	
24JUL17	S	1	PD24OCT17	6023.50	6489.75	USD	-11,656.25	
24JUL17	S	2	PD24OCT17	6025.00	6489.75	USD	-23,237.50	
24JUL17	S	7	PD24OCT17	6025.50	6489.75	USD	-81,243.75	
24JUL17	S	3	PD24OCT17	6026.00	6489.75	USD	-34,781.25	
24JUL17	S	3	PD24OCT17	6027.00	6489.75	USD	-34,706.25	
24JUL17	S	1	PD24OCT17	6026.50	6489.75	USD	-11,581.25	
24JUL17	S	1	PD24OCT17	6025.50	6489.75	USD	-11,606.25	
03AUG17	B	23	PD24OCT17	6348.71	6489.75	USD	81,098.00	
	NET	**0**	**AVG BGHT 6348.71**	**SOLD 6021.70**			**-188,033.25**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP094AB

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
25JUL17	S 1 PD25OCT17	6091.50	6490.29	USD	-9,969.75	
25JUL17	B 1 PD25OCT17	6101.00	6490.29	USD	9,732.25	
25JUL17	S 1 PD25OCT17	6174.50	6490.29	USD	-7,894.75	
25JUL17	B 1 PD25OCT17	6181.00	6490.29	USD	7,732.25	
25JUL17	B 1 PD25OCT17	6190.50	6490.29	USD	7,494.75	
25JUL17	S 1 PD25OCT17	6221.50	6490.29	USD	-6,719.75	
25JUL17	S 1 PD25OCT17	6227.50	6490.29	USD	-6,569.75	
25JUL17	S 2 PD25OCT17	6229.00	6490.29	USD	-13,064.50	
25JUL17	S 3 PD25OCT17	6230.50	6490.29	USD	-19,484.25	
25JUL17	S 1 PD25OCT17	6231.00	6490.29	USD	-6,482.25	
25JUL17	S 1 PD25OCT17	6210.00	6490.29	USD	-7,007.25	
25JUL17	S 2 PD25OCT17	6209.00	6490.29	USD	-14,064.50	
25JUL17	S 2 PD25OCT17	6211.50	6490.29	USD	-13,939.50	
25JUL17	S 3 PD25OCT17	6210.50	6490.29	USD	-20,984.25	
25JUL17	S 1 PD25OCT17	6211.00	6490.29	USD	-6,982.25	
25JUL17	S 2 PD25OCT17	6212.50	6490.29	USD	-13,889.50	
25JUL17	S 1 PD25OCT17	6175.00	6490.29	USD	-7,882.25	
25JUL17	S 1 PD25OCT17	6209.50	6490.29	USD	-7,019.75	
03AUG17	B 20 PD25OCT17	6349.14	6490.29	USD	70,575.00	
	NET 0 AVG BGHT 6324.14 SOLD 6208.63				**-66,420.00**	**0.00**
26JUL17	S 1 PD26OCT17	6262.50	6490.82	USD	-5,708.00	
26JUL17	S 5 PD26OCT17	6243.00	6490.82	USD	-30,977.50	
26JUL17	S 5 PD26OCT17	6238.00	6490.82	USD	-31,602.50	
26JUL17	S 6 PD26OCT17	6235.00	6490.82	USD	-38,373.00	
26JUL17	S 1 PD26OCT17	6236.50	6490.82	USD	-6,358.00	
26JUL17	S 1 PD26OCT17	6251.50	6490.82	USD	-5,983.00	
26JUL17	S 1 PD26OCT17	6275.00	6490.82	USD	-5,395.50	
26JUL17	S 1 PD26OCT17	6276.00	6490.82	USD	-5,370.50	
26JUL17	S 2 PD26OCT17	6278.00	6490.82	USD	-10,641.00	
26JUL17	S 2 PD26OCT17	6277.00	6490.82	USD	-10,691.00	
26JUL17	S 5 PD26OCT17	6287.50	6490.82	USD	-25,415.00	
26JUL17	S 1 PD26OCT17	6391.00	6490.82	USD	-2,495.50	
26JUL17	S 1 PD26OCT17	6385.50	6490.82	USD	-2,633.00	
26JUL17	S 4 PD26OCT17	6385.00	6490.82	USD	-10,582.00	
26JUL17	S 1 PD26OCT17	6388.00	6490.82	USD	-2,570.50	
26JUL17	S 6 PD26OCT17	6375.00	6490.82	USD	-17,373.00	
26JUL17	S 1 PD26OCT17	6347.00	6490.82	USD	-3,595.50	
26JUL17	S 1 PD26OCT17	6349.50	6490.82	USD	-3,533.00	
26JUL17	S 1 PD26OCT17	6351.50	6490.82	USD	-3,483.00	
26JUL17	S 1 PD26OCT17	6326.00	6490.82	USD	-4,120.50	
26JUL17	S 1 PD26OCT17	6323.50	6490.82	USD	-4,183.00	
26JUL17	S 2 PD26OCT17	6325.00	6490.82	USD	-8,291.00	
26JUL17	S 1 PD26OCT17	6326.50	6490.82	USD	-4,108.00	
26JUL17	S 1 PD26OCT17	6323.00	6490.82	USD	-4,195.50	
26JUL17	S 2 PD26OCT17	6322.50	6490.82	USD	-8,416.00	
26JUL17	S 1 PD26OCT17	6321.50	6490.82	USD	-4,233.00	
26JUL17	B 1 PD26OCT17	6293.00	6490.82	USD	4,945.50	
26JUL17	S 1 PD26OCT17	6297.00	6490.82	USD	-4,845.50	
26JUL17	B 2 PD26OCT17	6296.50	6490.82	USD	9,716.00	
26JUL17	B 2 PD26OCT17	6297.00	6490.82	USD	9,691.00	
26JUL17	B 1 PD26OCT17	6298.50	6490.82	USD	4,808.00	
26JUL17	B 6 PD26OCT17	6302.00	6490.82	USD	28,323.00	
26JUL17	B 3 PD26OCT17	6303.50	6490.82	USD	14,049.00	
26JUL17	B 2 PD26OCT17	6312.50	6490.82	USD	8,916.00	
26JUL17	B 2 PD26OCT17	6317.00	6490.82	USD	8,691.00	
26JUL17	B 2 PD26OCT17	6316.00	6490.82	USD	8,741.00	
26JUL17	B 2 PD26OCT17	6314.50	6490.82	USD	8,816.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP094AB

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT							
26JUL17	B	2	PD26OCT17	6315.50	6490.82	USD	8,766.00	
26JUL17	B	1	PD26OCT17	6297.00	6490.82	USD	4,845.50	
03AUG17	B	29	PD26OCT17	6349.57	6490.82	USD	102,406.25	
22AUG17	B	1	PD26OCT17	6571.50	6490.82	USD	-2,017.00	
	NET	**0**	**AVG BGHT 6333.18**	**SOLD 6301.41**			**-44,475.75**	**0.00**
27JUL17	B	3	PD27OCT17	6360.00	6491.36	USD	9,852.00	
27JUL17	B	3	PD27OCT17	6360.50	6491.36	USD	9,814.50	
27JUL17	B	2	PD27OCT17	6358.00	6491.36	USD	6,668.00	
27JUL17	B	3	PD27OCT17	6353.00	6491.36	USD	10,377.00	
27JUL17	B	3	PD27OCT17	6350.50	6491.36	USD	10,564.50	
27JUL17	B	1	PD27OCT17	6358.00	6491.36	USD	3,334.00	
03AUG17	S	15	PD27OCT17	6349.80	6491.36	USD	-53,085.00	
	NET	**0**	**AVG BGHT 6356.40**	**SOLD 6349.80**			**-2,475.00**	**0.00**
31JUL17	B	4	PD31OCT17	6386.50	6493.50	USD	10,700.00	
31JUL17	B	5	PD31OCT17	6383.50	6493.50	USD	13,750.00	
31JUL17	S	5	PD31OCT17	6346.00	6493.50	USD	-18,437.50	
31JUL17	B	4	PD31OCT17	6383.00	6493.50	USD	11,050.00	
31JUL17	B	1	PD31OCT17	6384.50	6493.50	USD	2,725.00	
31JUL17	S	5	PD31OCT17	6347.00	6493.50	USD	-18,312.50	
31JUL17	B	4	PD31OCT17	6385.00	6493.50	USD	10,850.00	
31JUL17	S	5	PD31OCT17	6345.00	6493.50	USD	-18,562.50	
31JUL17	B	2	PD31OCT17	6402.00	6493.50	USD	4,575.00	
31JUL17	B	3	PD31OCT17	6401.00	6493.50	USD	6,937.50	
31JUL17	B	1	PD31OCT17	6403.50	6493.50	USD	2,250.00	
31JUL17	B	2	PD31OCT17	6418.50	6493.50	USD	3,750.00	
31JUL17	B	1	PD31OCT17	6419.00	6493.50	USD	1,862.50	
31JUL17	B	1	PD31OCT17	6419.50	6493.50	USD	1,850.00	
31JUL17	B	5	PD31OCT17	6418.00	6493.50	USD	9,437.50	
31JUL17	B	2	PD31OCT17	6417.00	6493.50	USD	3,825.00	
31JUL17	B	2	PD31OCT17	6406.00	6493.50	USD	4,375.00	
31JUL17	S	1	PD31OCT17	6408.50	6493.50	USD	-2,125.00	
31JUL17	S	1	PD31OCT17	6407.00	6493.50	USD	-2,162.50	
31JUL17	B	1	PD31OCT17	6386.50	6493.50	USD	2,675.00	
31JUL17	B	1	PD31OCT17	6383.00	6493.50	USD	2,762.50	
31JUL17	B	1	PD31OCT17	6382.00	6493.50	USD	2,787.50	
31JUL17	B	1	PD31OCT17	6389.00	6493.50	USD	2,612.50	
31JUL17	B	8	PD31OCT17	6381.50	6493.50	USD	22,400.00	
31JUL17	B	1	PD31OCT17	6374.00	6493.50	USD	2,987.50	
31JUL17	B	1	PD31OCT17	6373.50	6493.50	USD	3,000.00	
31JUL17	B	3	PD31OCT17	6376.50	6493.50	USD	8,775.00	
31JUL17	S	1	PD31OCT17	6373.00	6493.50	USD	-3,012.50	
31JUL17	S	1	PD31OCT17	6373.50	6493.50	USD	-3,000.00	
31JUL17	S	1	PD31OCT17	6369.50	6493.50	USD	-3,100.00	
31JUL17	S	1	PD31OCT17	6375.00	6493.50	USD	-2,962.50	
31JUL17	B	1	PD31OCT17	6377.00	6493.50	USD	2,912.50	
31JUL17	B	4	PD31OCT17	6376.00	6493.50	USD	11,750.00	
31JUL17	B	5	PD31OCT17	6347.50	6493.50	USD	18,250.00	
31JUL17	S	5	PD31OCT17	6371.50	6493.50	USD	-15,250.00	
31JUL17	B	5	PD31OCT17	6365.50	6493.50	USD	16,000.00	
03AUG17	S	43	PD31OCT17	6350.94	6493.50	USD	-153,252.00	
	NET	**0**	**AVG BGHT 6386.34**	**SOLD 6354.27**			**-55,327.00**	**0.00**
01AUG17	B	3	PD01NOV17	6342.00	6494.09	USD	11,406.75	
01AUG17	B	1	PD01NOV17	6341.50	6494.09	USD	3,814.75	
01AUG17	S	2	PD01NOV17	6341.50	6494.09	USD	-7,629.50	
01AUG17	S	1	PD01NOV17	6344.00	6494.09	USD	-3,752.25	
01AUG17	S	1	PD01NOV17	6342.50	6494.09	USD	-3,789.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP094AB

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
	NET 0 AVG BGHT 6341.88 SOLD 6342.38				**50.00**	**0.00**
02AUG17	B 5 PD02NOV17	6343.50	6494.69	USD	18,898.75	
02AUG17	B 5 PD02NOV17	6346.50	6494.69	USD	18,523.75	
02AUG17	B 5 PD02NOV17	6353.00	6494.69	USD	17,711.25	
02AUG17	S 2 PD02NOV17	6315.00	6494.69	USD	-8,984.50	
02AUG17	B 2 PD02NOV17	6326.50	6494.69	USD	8,409.50	
03AUG17	S 15 PD02NOV17	6351.51	6494.69	USD	-53,692.50	
	NET 0 AVG BGHT 6345.18 SOLD 6347.21				**866.25**	**0.00**
03AUG17	B 1 PD03NOV17	6362.50	6495.28	USD	3,319.50	
03AUG17	B 1 PD03NOV17	6363.00	6495.28	USD	3,307.00	
03AUG17	B 1 PD03NOV17	6361.50	6495.28	USD	3,344.50	
03AUG17	B 1 PD03NOV17	6357.50	6495.28	USD	3,444.50	
03AUG17	B 1 PD03NOV17	6356.50	6495.28	USD	3,469.50	
04AUG17	B 2 PD03NOV17	6350.50	6495.28	USD	7,239.00	
04AUG17	B 1 PD03NOV17	6350.00	6495.28	USD	3,632.00	
04AUG17	B 1 PD03NOV17	6357.00	6495.28	USD	3,457.00	
04AUG17	B 1 PD03NOV17	6355.50	6495.28	USD	3,494.50	
22AUG17	S 10 PD03NOV17	6575.65	6495.28	USD	20,092.50	
	NET 0 AVG BGHT 6356.45 SOLD 6575.65				**54,800.00**	**0.00**
08AUG17	S 1 PD08NOV17	6408.50	6498.25	USD	-2,243.75	
08AUG17	B 1 PD08NOV17	6398.50	6498.25	USD	2,493.75	
	NET 0 AVG BGHT 6398.50 SOLD 6408.50				**250.00**	**0.00**
10AUG17	S 8 PD10NOV17	6417.50	6499.18	USD	-16,336.00	
10AUG17	S 1 PD10NOV17	6416.50	6499.18	USD	-2,067.00	
11AUG17	S 2 PD10NOV17	6353.50	6499.18	USD	-7,284.00	
11AUG17	S 1 PD10NOV17	6353.00	6499.18	USD	-3,654.50	
11AUG17	S 3 PD10NOV17	6343.00	6499.18	USD	-11,713.50	
11AUG17	S 2 PD10NOV17	6349.00	6499.18	USD	-7,509.00	
11AUG17	S 1 PD10NOV17	6350.00	6499.18	USD	-3,729.50	
11AUG17	S 1 PD10NOV17	6349.50	6499.18	USD	-3,742.00	
11AUG17	S 1 PD10NOV17	6420.50	6499.18	USD	-1,967.00	
11AUG17	S 1 PD10NOV17	6411.50	6499.18	USD	-2,192.00	
11AUG17	S 2 PD10NOV17	6415.00	6499.18	USD	-4,209.00	
11AUG17	S 4 PD10NOV17	6423.00	6499.18	USD	-7,618.00	
11AUG17	S 1 PD10NOV17	6424.50	6499.18	USD	-1,867.00	
11AUG17	S 1 PD10NOV17	6425.50	6499.18	USD	-1,842.00	
22AUG17	B 10 PD10NOV17	6579.45	6499.18	USD	-20,067.50	
22AUG17	B 19 PD10NOV17	6579.25	6499.18	USD	-38,033.25	
	NET 0 AVG BGHT 6579.32 SOLD 6394.72				**-133,831.25**	**0.00**
14AUG17	S 10 PD14NOV17	6418.50	6501.04	USD	-20,635.00	
14AUG17	S 5 PD14NOV17	6412.50	6501.04	USD	-11,067.50	
14AUG17	B 5 PD14NOV17	6395.00	6501.04	USD	13,255.00	
14AUG17	S 4 PD14NOV17	6405.00	6501.04	USD	-9,604.00	
14AUG17	S 1 PD14NOV17	6411.00	6501.04	USD	-2,251.00	
22AUG17	B 15 PD14NOV17	6580.75	6501.04	USD	-29,891.25	
	NET 0 AVG BGHT 6534.31 SOLD 6413.93				**-60,193.75**	**0.00**
15AUG17	B 1 PD15NOV17	6416.50	6501.50	USD	2,125.00	
15AUG17	B 2 PD15NOV17	6416.00	6501.50	USD	4,275.00	
15AUG17	B 3 PD15NOV17	6420.00	6501.50	USD	6,112.50	
15AUG17	B 1 PD15NOV17	6419.50	6501.50	USD	2,050.00	
15AUG17	B 1 PD15NOV17	6414.00	6501.50	USD	2,187.50	
22AUG17	S 8 PD15NOV17	6580.55	6501.50	USD	15,810.00	
	NET 0 AVG BGHT 6417.75 SOLD 6580.55				**32,560.00**	**0.00**
16AUG17	B 1 PD16NOV17	6390.00	6501.00	USD	2,775.00	
16AUG17	S 1 PD16NOV17	6485.50	6501.00	USD	-387.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP094AB

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT							
16AUG17	S	1	PD16NOV17	6487.50	6501.00	USD	-337.50	
16AUG17	S	1	PD16NOV17	6490.00	6501.00	USD	-275.00	
16AUG17	B	1	PD16NOV17	6489.00	6501.00	USD	300.00	
16AUG17	B	1	PD16NOV17	6494.50	6501.00	USD	162.50	
16AUG17	S	1	PD16NOV17	6504.50	6501.00	USD	87.50	
16AUG17	B	1	PD16NOV17	6509.50	6501.00	USD	-212.50	
16AUG17	B	1	PD16NOV17	6524.50	6501.00	USD	-587.50	
16AUG17	B	1	PD16NOV17	6526.50	6501.00	USD	-637.50	
16AUG17	B	2	PD16NOV17	6521.00	6501.00	USD	-1,000.00	
16AUG17	S	1	PD16NOV17	6527.00	6501.00	USD	650.00	
16AUG17	S	2	PD16NOV17	6524.00	6501.00	USD	1,150.00	
16AUG17	S	5	PD16NOV17	6536.00	6501.00	USD	4,375.00	
16AUG17	B	5	PD16NOV17	6543.50	6501.00	USD	-5,312.50	
16AUG17	B	1	PD16NOV17	6530.00	6501.00	USD	-725.00	
16AUG17	S	1	PD16NOV17	6537.50	6501.00	USD	912.50	
22AUG17	S	1	PD16NOV17	6578.55	6501.00	USD	1,938.75	
	NET	**0**	**AVG BGHT 6515.96**	**SOLD 6524.18**			**2,876.25**	**0.00**
17AUG17	B	1	PD17NOV17	6555.50	6501.60	USD	-1,347.50	
17AUG17	B	5	PD17NOV17	6580.00	6501.60	USD	-9,800.00	
17AUG17	S	1	PD17NOV17	6545.00	6501.60	USD	1,085.00	
17AUG17	S	1	PD17NOV17	6545.50	6501.60	USD	1,097.50	
17AUG17	S	1	PD17NOV17	6546.00	6501.60	USD	1,110.00	
17AUG17	S	1	PD17NOV17	6534.50	6501.60	USD	822.50	
17AUG17	S	1	PD17NOV17	6532.00	6501.60	USD	760.00	
17AUG17	S	2	PD17NOV17	6528.50	6501.60	USD	1,345.00	
17AUG17	B	1	PD17NOV17	6542.50	6501.60	USD	-1,022.50	
17AUG17	B	1	PD17NOV17	6541.50	6501.60	USD	-997.50	
17AUG17	B	1	PD17NOV17	6543.00	6501.60	USD	-1,035.00	
17AUG17	B	1	PD17NOV17	6547.50	6501.60	USD	-1,147.50	
17AUG17	S	4	PD17NOV17	6545.00	6501.60	USD	4,340.00	
17AUG17	B	1	PD17NOV17	6547.00	6501.60	USD	-1,135.00	
17AUG17	B	1	PD17NOV17	6510.00	6501.60	USD	-210.00	
17AUG17	B	5	PD17NOV17	6515.50	6501.60	USD	-1,737.50	
17AUG17	B	5	PD17NOV17	6506.50	6501.60	USD	-612.50	
17AUG17	B	1	PD17NOV17	6492.00	6501.60	USD	240.00	
17AUG17	B	1	PD17NOV17	6495.00	6501.60	USD	165.00	
17AUG17	B	2	PD17NOV17	6494.50	6501.60	USD	355.00	
17AUG17	B	2	PD17NOV17	6498.50	6501.60	USD	155.00	
17AUG17	B	1	PD17NOV17	6483.50	6501.60	USD	452.50	
18AUG17	S	1	PD17NOV17	6462.00	6501.60	USD	-990.00	
18AUG17	B	2	PD17NOV17	6529.00	6501.60	USD	-1,370.00	
18AUG17	B	2	PD17NOV17	6516.00	6501.60	USD	-720.00	
18AUG17	B	2	PD17NOV17	6513.00	6501.60	USD	-570.00	
18AUG17	B	2	PD17NOV17	6512.50	6501.60	USD	-545.00	
18AUG17	B	1	PD17NOV17	6504.50	6501.60	USD	-72.50	
18AUG17	B	1	PD17NOV17	6475.00	6501.60	USD	665.00	
18AUG17	B	1	PD17NOV17	6490.50	6501.60	USD	277.50	
22AUG17	S	26	PD17NOV17	6578.76	6501.60	USD	50,154.00	
11SEP17	S	2	PD17NOV17	6736.93	6501.60	USD	11,766.50	
	NET	**0**	**AVG BGHT 6521.61**	**SOLD 6573.09**			**51,478.00**	**0.00**
21AUG17	B	3	PD21NOV17	6491.50	6504.00	USD	937.50	
21AUG17	B	3	PD21NOV17	6530.00	6504.00	USD	-1,950.00	
21AUG17	B	3	PD21NOV17	6565.00	6504.00	USD	-4,575.00	
21AUG17	B	3	PD21NOV17	6559.00	6504.00	USD	-4,125.00	
21AUG17	B	2	PD21NOV17	6557.50	6504.00	USD	-2,675.00	
21AUG17	B	3	PD21NOV17	6577.00	6504.00	USD	-5,475.00	
21AUG17	B	2	PD21NOV17	6578.50	6504.00	USD	-3,725.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP094AB

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
21AUG17	B 1 PD21NOV17	6579.50	6504.00	USD	-1,887.50	
21AUG17	B 1 PD21NOV17	6585.00	6504.00	USD	-2,025.00	
21AUG17	B 2 PD21NOV17	6584.50	6504.00	USD	-4,025.00	
21AUG17	B 2 PD21NOV17	6564.50	6504.00	USD	-3,025.00	
21AUG17	B 1 PD21NOV17	6558.00	6504.00	USD	-1,350.00	
21AUG17	B 2 PD21NOV17	6563.50	6504.00	USD	-2,975.00	
21AUG17	B 2 PD21NOV17	6564.00	6504.00	USD	-3,000.00	
21AUG17	B 8 PD21NOV17	6560.00	6504.00	USD	-11,200.00	
21AUG17	B 1 PD21NOV17	6574.50	6504.00	USD	-1,762.50	
21AUG17	B 2 PD21NOV17	6589.00	6504.00	USD	-4,250.00	
21AUG17	B 2 PD21NOV17	6590.00	6504.00	USD	-4,300.00	
21AUG17	B 1 PD21NOV17	6591.00	6504.00	USD	-2,175.00	
21AUG17	B 1 PD21NOV17	6590.50	6504.00	USD	-2,162.50	
21AUG17	B 1 PD21NOV17	6566.00	6504.00	USD	-1,550.00	
21AUG17	B 3 PD21NOV17	6599.50	6504.00	USD	-7,162.50	
21AUG17	B 3 PD21NOV17	6600.00	6504.00	USD	-7,200.00	
21AUG17	B 3 PD21NOV17	6600.50	6504.00	USD	-7,237.50	
21AUG17	B 3 PD21NOV17	6619.50	6504.00	USD	-8,662.50	
21AUG17	B 6 PD21NOV17	6620.00	6504.00	USD	-17,400.00	
21AUG17	B 1 PD21NOV17	6621.00	6504.00	USD	-2,925.00	
21AUG17	B 2 PD21NOV17	6622.00	6504.00	USD	-5,900.00	
11SEP17	S 67 PD21NOV17	6738.67	6504.00	USD	393,072.25	
	NET 0 AVG BGHT 6577.89 SOLD 6738.67				**269,309.75**	**0.00**
22AUG17	S 1 PD22NOV17	6552.00	6504.60	USD	1,185.00	
22AUG17	S 3 PD22NOV17	6576.00	6504.60	USD	5,355.00	
22AUG17	B 1 PD22NOV17	6608.50	6504.60	USD	-2,597.50	
22AUG17	B 3 PD22NOV17	6639.50	6504.60	USD	-10,117.50	
22AUG17	S 1 PD22NOV17	6597.00	6504.60	USD	2,310.00	
22AUG17	B 1 PD22NOV17	6582.50	6504.60	USD	-1,947.50	
22AUG17	S 1 PD22NOV17	6593.50	6504.60	USD	2,222.50	
22AUG17	B 1 PD22NOV17	6589.50	6504.60	USD	-2,122.50	
22AUG17	B 1 PD22NOV17	6584.00	6504.60	USD	-1,985.00	
22AUG17	S 1 PD22NOV17	6555.00	6504.60	USD	1,260.00	
	NET 0 AVG BGHT 6611.86 SOLD 6575.07				**-6,437.50**	**0.00**
23AUG17	S 3 PD24NOV17	6575.50	6505.80	USD	5,227.50	
23AUG17	B 1 PD24NOV17	6553.00	6505.80	USD	-1,180.00	
23AUG17	B 1 PD24NOV17	6575.00	6505.80	USD	-1,730.00	
24AUG17	S 1 PD24NOV17	6615.50	6505.80	USD	2,742.50	
24AUG17	S 1 PD24NOV17	6610.00	6505.80	USD	2,605.00	
24AUG17	S 1 PD24NOV17	6617.50	6505.80	USD	2,792.50	
24AUG17	S 6 PD24NOV17	6627.50	6505.80	USD	18,255.00	
24AUG17	S 3 PD24NOV17	6628.00	6505.80	USD	9,165.00	
24AUG17	S 3 PD24NOV17	6632.00	6505.80	USD	9,465.00	
24AUG17	S 2 PD24NOV17	6635.00	6505.80	USD	6,460.00	
24AUG17	S 1 PD24NOV17	6633.00	6505.80	USD	3,180.00	
24AUG17	S 3 PD24NOV17	6630.50	6505.80	USD	9,352.50	
24AUG17	S 3 PD24NOV17	6631.00	6505.80	USD	9,390.00	
24AUG17	S 4 PD24NOV17	6630.00	6505.80	USD	12,420.00	
24AUG17	S 1 PD24NOV17	6631.50	6505.80	USD	3,142.50	
24AUG17	B 1 PD24NOV17	6660.00	6505.80	USD	-3,855.00	
24AUG17	S 1 PD24NOV17	6670.50	6505.80	USD	4,117.50	
24AUG17	S 3 PD24NOV17	6700.00	6505.80	USD	14,565.00	
24AUG17	S 3 PD24NOV17	6709.00	6505.80	USD	15,240.00	
24AUG17	S 3 PD24NOV17	6710.50	6505.80	USD	15,352.50	
24AUG17	S 3 PD24NOV17	6710.00	6505.80	USD	15,315.00	
24AUG17	S 1 PD24NOV17	6712.50	6505.80	USD	5,167.50	
24AUG17	S 2 PD24NOV17	6709.50	6505.80	USD	10,185.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP094AB

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
24AUG17	S 3 PD24NOV17	6704.00	6505.80	USD	14,865.00	
24AUG17	S 2 PD24NOV17	6704.50	6505.80	USD	9,935.00	
25AUG17	S 3 PD24NOV17	6698.50	6505.80	USD	14,452.50	
25AUG17	S 1 PD24NOV17	6704.00	6505.80	USD	4,955.00	
25AUG17	S 3 PD24NOV17	6703.00	6505.80	USD	14,790.00	
25AUG17	S 1 PD24NOV17	6702.00	6505.80	USD	4,905.00	
25AUG17	S 3 PD24NOV17	6724.00	6505.80	USD	16,365.00	
25AUG17	S 2 PD24NOV17	6723.00	6505.80	USD	10,860.00	
25AUG17	S 3 PD24NOV17	6722.50	6505.80	USD	16,252.50	
25AUG17	S 1 PD24NOV17	6738.50	6505.80	USD	5,817.50	
25AUG17	S 1 PD24NOV17	6730.00	6505.80	USD	5,605.00	
25AUG17	S 1 PD24NOV17	6728.50	6505.80	USD	5,567.50	
25AUG17	B 3 PD24NOV17	6706.00	6505.80	USD	-15,015.00	
25AUG17	S 1 PD24NOV17	6695.00	6505.80	USD	4,730.00	
25AUG17	S 1 PD24NOV17	6687.00	6505.80	USD	4,530.00	
25AUG17	S 1 PD24NOV17	6685.00	6505.80	USD	4,480.00	
25AUG17	B 1 PD24NOV17	6705.00	6505.80	USD	-4,980.00	
25AUG17	B 3 PD24NOV17	6707.00	6505.80	USD	-15,090.00	
25AUG17	B 3 PD24NOV17	6702.50	6505.80	USD	-14,752.50	
25AUG17	B 3 PD24NOV17	6692.00	6505.80	USD	-13,965.00	
25AUG17	B 8 PD24NOV17	6693.00	6505.80	USD	-37,440.00	
25AUG17	B 1 PD24NOV17	6695.00	6505.80	USD	-4,730.00	
25AUG17	S 1 PD24NOV17	6670.00	6505.80	USD	4,105.00	
25AUG17	S 3 PD24NOV17	6676.50	6505.80	USD	12,802.50	
25AUG17	S 1 PD24NOV17	6697.00	6505.80	USD	4,780.00	
25AUG17	S 2 PD24NOV17	6696.50	6505.80	USD	9,535.00	
25AUG17	S 1 PD24NOV17	6693.00	6505.80	USD	4,680.00	
25AUG17	B 1 PD24NOV17	6693.00	6505.80	USD	-4,680.00	
11SEP17	B 57 PD24NOV17	6740.17	6505.80	USD	-333,977.25	
	NET 0 AVG BGHT 6723.34 SOLD 6673.58				**-103,242.25**	**0.00**
29AUG17	S 3 PD29NOV17	6830.00	6508.80	USD	24,090.00	
29AUG17	S 3 PD29NOV17	6835.50	6508.80	USD	24,502.50	
29AUG17	S 3 PD29NOV17	6794.50	6508.80	USD	21,427.50	
29AUG17	S 1 PD29NOV17	6803.50	6508.80	USD	7,367.50	
29AUG17	S 1 PD29NOV17	6804.00	6508.80	USD	7,380.00	
29AUG17	B 1 PD29NOV17	6789.50	6508.80	USD	-7,017.50	
11SEP17	B 10 PD29NOV17	6742.33	6508.80	USD	-58,382.50	
	NET 0 AVG BGHT 6746.62 SOLD 6817.05				**19,367.50**	**0.00**
30AUG17	B 2 PD30NOV17	6778.00	6509.40	USD	-13,430.00	
31AUG17	S 1 PD30NOV17	6800.00	6509.40	USD	7,265.00	
31AUG17	B 1 PD30NOV17	6800.00	6509.40	USD	-7,265.00	
31AUG17	B 1 PD30NOV17	6794.00	6509.40	USD	-7,115.00	
31AUG17	B 1 PD30NOV17	6796.00	6509.40	USD	-7,165.00	
31AUG17	B 1 PD30NOV17	6783.00	6509.40	USD	-6,840.00	
31AUG17	B 8 PD30NOV17	6788.00	6509.40	USD	-55,720.00	
31AUG17	B 3 PD30NOV17	6790.00	6509.40	USD	-21,045.00	
31AUG17	B 3 PD30NOV17	6789.50	6509.40	USD	-21,007.50	
11SEP17	S 19 PD30NOV17	6742.57	6509.40	USD	110,755.75	
	NET 0 AVG BGHT 6788.58 SOLD 6745.44				**-21,566.75**	**0.00**
01SEP17	B 1 PD01DEC17	6805.00	6510.00	USD	-7,375.00	
01SEP17	B 1 PD01DEC17	6799.00	6510.00	USD	-7,225.00	
01SEP17	B 7 PD01DEC17	6811.00	6510.00	USD	-52,675.00	
01SEP17	B 8 PD01DEC17	6812.00	6510.00	USD	-60,400.00	
01SEP17	B 3 PD01DEC17	6812.50	6510.00	USD	-22,687.50	
01SEP17	B 6 PD01DEC17	6811.50	6510.00	USD	-45,225.00	
01SEP17	B 4 PD01DEC17	6816.50	6510.00	USD	-30,650.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP094AB

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT							
11SEP17	S	10	PD01DEC17	6743.00	6510.00	USD	58,250.00	
14SEP17	S	20	PD01DEC17	6492.66	6510.00	USD	-8,670.00	
	NET	**0**	**AVG BGHT 6811.65**	**SOLD 6576.11**			**-176,657.50**	**0.00**
04SEP17	S	1	PD04DEC17	6870.50	6511.29	USD	8,980.25	
04SEP17	S	3	PD04DEC17	6869.00	6511.29	USD	26,828.25	
04SEP17	S	4	PD04DEC17	6909.50	6511.29	USD	39,821.00	
04SEP17	B	1	PD04DEC17	6915.00	6511.29	USD	-10,092.75	
04SEP17	B	1	PD04DEC17	6917.00	6511.29	USD	-10,142.75	
04SEP17	B	2	PD04DEC17	6919.50	6511.29	USD	-20,410.50	
11SEP17	B	2	PD04DEC17	6744.70	6511.29	USD	-11,670.50	
14SEP17	B	2	PD04DEC17	6493.89	6511.29	USD	870.00	
	NET	**0**	**AVG BGHT 6768.52**	**SOLD 6889.44**			**24,183.00**	**0.00**
05SEP17	S	2	PD05DEC17	6927.50	6511.71	USD	20,789.50	
05SEP17	B	2	PD05DEC17	6941.50	6511.71	USD	-21,489.50	
05SEP17	S	2	PD05DEC17	6932.50	6511.71	USD	21,039.50	
05SEP17	S	8	PD05DEC17	6932.00	6511.71	USD	84,058.00	
05SEP17	S	2	PD05DEC17	6941.00	6511.71	USD	21,464.50	
05SEP17	S	2	PD05DEC17	6940.50	6511.71	USD	21,439.50	
05SEP17	B	2	PD05DEC17	6963.50	6511.71	USD	-22,589.50	
05SEP17	B	8	PD05DEC17	6963.00	6511.71	USD	-90,258.00	
05SEP17	B	6	PD05DEC17	6960.00	6511.71	USD	-67,243.50	
05SEP17	B	1	PD05DEC17	6959.50	6511.71	USD	-11,194.75	
05SEP17	B	5	PD05DEC17	6959.50	6511.71	USD	-55,973.75	
05SEP17	B	1	PD05DEC17	6900.50	6511.71	USD	-9,719.75	
05SEP17	B	2	PD05DEC17	6898.00	6511.71	USD	-19,314.50	
05SEP17	B	2	PD05DEC17	6885.00	6511.71	USD	-18,664.50	
05SEP17	B	4	PD05DEC17	6886.50	6511.71	USD	-37,479.00	
05SEP17	B	8	PD05DEC17	6886.00	6511.71	USD	-74,858.00	
05SEP17	B	2	PD05DEC17	6854.00	6511.71	USD	-17,114.50	
05SEP17	B	2	PD05DEC17	6853.50	6511.71	USD	-17,089.50	
05SEP17	S	2	PD05DEC17	6847.50	6511.71	USD	16,789.50	
05SEP17	B	3	PD05DEC17	6838.00	6511.71	USD	-24,471.75	
05SEP17	S	2	PD05DEC17	6853.50	6511.71	USD	17,089.50	
05SEP17	S	1	PD05DEC17	6855.00	6511.71	USD	8,582.25	
19SEP17	S	26	PD05DEC17	6533.08	6511.71	USD	13,890.50	
21SEP17	S	1	PD05DEC17	6476.92	6511.71	USD	-869.75	
	NET	**0**	**AVG BGHT 6917.93**	**SOLD 6698.60**			**-263,187.50**	**0.00**
06SEP17	S	2	PD06DEC17	6886.50	6512.14	USD	18,718.00	
06SEP17	S	2	PD06DEC17	6904.00	6512.14	USD	19,593.00	
06SEP17	S	1	PD06DEC17	6908.50	6512.14	USD	9,909.00	
06SEP17	S	3	PD06DEC17	6909.00	6512.14	USD	29,764.50	
06SEP17	S	3	PD06DEC17	6911.00	6512.14	USD	29,914.50	
06SEP17	S	4	PD06DEC17	6911.50	6512.14	USD	39,936.00	
06SEP17	S	6	PD06DEC17	6910.50	6512.14	USD	59,754.00	
06SEP17	S	1	PD06DEC17	6912.50	6512.14	USD	10,009.00	
06SEP17	S	6	PD06DEC17	6910.00	6512.14	USD	59,679.00	
06SEP17	S	8	PD06DEC17	6908.00	6512.14	USD	79,172.00	
06SEP17	S	5	PD06DEC17	6907.00	6512.14	USD	49,357.50	
06SEP17	S	5	PD06DEC17	6907.50	6512.14	USD	49,420.00	
06SEP17	S	2	PD06DEC17	6909.50	6512.14	USD	19,868.00	
06SEP17	B	7	PD06DEC17	6895.00	6512.14	USD	-67,000.50	
06SEP17	B	3	PD06DEC17	6893.00	6512.14	USD	-28,564.50	
06SEP17	B	2	PD06DEC17	6888.00	6512.14	USD	-18,793.00	
06SEP17	B	2	PD06DEC17	6877.50	6512.14	USD	-18,268.00	
06SEP17	B	2	PD06DEC17	6881.00	6512.14	USD	-18,443.00	
06SEP17	B	2	PD06DEC17	6880.00	6512.14	USD	-18,393.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP094AB

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
06SEP17	B 1 PD06DEC17	6879.50	6512.14	USD	-9,184.00	
06SEP17	B 5 PD06DEC17	6881.50	6512.14	USD	-46,170.00	
11SEP17	B 24 PD06DEC17	6745.70	6512.14	USD	-140,136.00	
	NET 0 AVG BGHT 6816.27 SOLD 6908.05				**110,142.50**	**0.00**
07SEP17	S 2 PD07DEC17	6869.00	6512.57	USD	17,821.50	
07SEP17	B 2 PD07DEC17	6903.00	6512.57	USD	-19,521.50	
07SEP17	B 5 PD07DEC17	6900.50	6512.57	USD	-48,491.25	
07SEP17	S 1 PD07DEC17	6872.00	6512.57	USD	8,985.75	
07SEP17	S 2 PD07DEC17	6870.00	6512.57	USD	17,871.50	
07SEP17	S 2 PD07DEC17	6879.50	6512.57	USD	18,346.50	
07SEP17	S 2 PD07DEC17	6879.00	6512.57	USD	18,321.50	
07SEP17	S 4 PD07DEC17	6884.50	6512.57	USD	37,193.00	
07SEP17	S 3 PD07DEC17	6901.00	6512.57	USD	29,132.25	
07SEP17	B 3 PD07DEC17	6903.00	6512.57	USD	-29,282.25	
07SEP17	B 1 PD07DEC17	6896.50	6512.57	USD	-9,598.25	
11SEP17	B 5 PD07DEC17	6746.20	6512.57	USD	-29,203.75	
	NET 0 AVG BGHT 6852.81 SOLD 6881.75				**11,575.00**	**0.00**
08SEP17	S 2 PD08DEC17	6907.00	6513.00	USD	19,700.00	
08SEP17	S 1 PD08DEC17	6906.00	6513.00	USD	9,825.00	
08SEP17	S 3 PD08DEC17	6906.50	6513.00	USD	29,512.50	
08SEP17	S 1 PD08DEC17	6905.50	6513.00	USD	9,812.50	
08SEP17	S 1 PD08DEC17	6904.50	6513.00	USD	9,787.50	
08SEP17	S 2 PD08DEC17	6902.00	6513.00	USD	19,450.00	
08SEP17	S 1 PD08DEC17	6898.50	6513.00	USD	9,637.50	
08SEP17	S 1 PD08DEC17	6899.00	6513.00	USD	9,650.00	
08SEP17	S 6 PD08DEC17	6899.50	6513.00	USD	57,975.00	
08SEP17	S 2 PD08DEC17	6900.00	6513.00	USD	19,350.00	
08SEP17	B 3 PD08DEC17	6928.50	6513.00	USD	-31,162.50	
08SEP17	B 2 PD08DEC17	6929.50	6513.00	USD	-20,825.00	
08SEP17	B 5 PD08DEC17	6930.50	6513.00	USD	-52,187.50	
08SEP17	B 5 PD08DEC17	6947.50	6513.00	USD	-54,312.50	
08SEP17	S 3 PD08DEC17	6924.50	6513.00	USD	30,862.50	
08SEP17	S 2 PD08DEC17	6924.00	6513.00	USD	20,550.00	
08SEP17	S 4 PD08DEC17	6919.00	6513.00	USD	40,600.00	
08SEP17	S 1 PD08DEC17	6911.00	6513.00	USD	9,950.00	
08SEP17	S 5 PD08DEC17	6901.50	6513.00	USD	48,562.50	
08SEP17	S 5 PD08DEC17	6894.50	6513.00	USD	47,687.50	
08SEP17	S 5 PD08DEC17	6898.00	6513.00	USD	48,125.00	
08SEP17	S 8 PD08DEC17	6875.00	6513.00	USD	72,400.00	
08SEP17	S 5 PD08DEC17	6873.50	6513.00	USD	45,062.50	
08SEP17	S 2 PD08DEC17	6870.50	6513.00	USD	17,875.00	
08SEP17	S 1 PD08DEC17	6860.50	6513.00	USD	8,687.50	
08SEP17	S 2 PD08DEC17	6861.50	6513.00	USD	17,425.00	
08SEP17	S 3 PD08DEC17	6861.00	6513.00	USD	26,100.00	
08SEP17	S 2 PD08DEC17	6862.00	6513.00	USD	17,450.00	
08SEP17	S 5 PD08DEC17	6856.00	6513.00	USD	42,875.00	
08SEP17	S 1 PD08DEC17	6855.50	6513.00	USD	8,562.50	
08SEP17	S 1 PD08DEC17	6855.00	6513.00	USD	8,550.00	
08SEP17	S 2 PD08DEC17	6857.00	6513.00	USD	17,200.00	
08SEP17	S 1 PD08DEC17	6859.00	6513.00	USD	8,650.00	
08SEP17	S 2 PD08DEC17	6858.50	6513.00	USD	17,275.00	
08SEP17	S 1 PD08DEC17	6844.50	6513.00	USD	8,287.50	
08SEP17	S 3 PD08DEC17	6844.00	6513.00	USD	24,825.00	
08SEP17	S 5 PD08DEC17	6821.50	6513.00	USD	38,562.50	
08SEP17	B 1 PD08DEC17	6829.50	6513.00	USD	-7,912.50	
08SEP17	S 7 PD08DEC17	6870.00	6513.00	USD	62,475.00	
08SEP17	S 1 PD08DEC17	6844.00	6513.00	USD	8,275.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP094AB

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
08SEP17	B 5 PD08DEC17	6801.00	6513.00	USD	-36,000.00	
08SEP17	B 5 PD08DEC17	6788.00	6513.00	USD	-34,375.00	
08SEP17	S 5 PD08DEC17	6755.00	6513.00	USD	30,250.00	
08SEP17	S 2 PD08DEC17	6753.50	6513.00	USD	12,025.00	
08SEP17	S 3 PD08DEC17	6745.50	6513.00	USD	17,437.50	
08SEP17	B 3 PD08DEC17	6736.50	6513.00	USD	-16,762.50	
08SEP17	B 2 PD08DEC17	6748.00	6513.00	USD	-11,750.00	
08SEP17	B 3 PD08DEC17	6739.00	6513.00	USD	-16,950.00	
08SEP17	B 1 PD08DEC17	6741.00	6513.00	USD	-5,700.00	
08SEP17	B 2 PD08DEC17	6744.50	6513.00	USD	-11,575.00	
08SEP17	B 1 PD08DEC17	6738.50	6513.00	USD	-5,637.50	
08SEP17	S 2 PD08DEC17	6747.50	6513.00	USD	11,725.00	
08SEP17	S 1 PD08DEC17	6743.50	6513.00	USD	5,762.50	
08SEP17	S 1 PD08DEC17	6743.00	6513.00	USD	5,750.00	
08SEP17	B 1 PD08DEC17	6729.50	6513.00	USD	-5,412.50	
08SEP17	S 1 PD08DEC17	6702.50	6513.00	USD	4,737.50	
08SEP17	S 5 PD08DEC17	6702.00	6513.00	USD	23,625.00	
08SEP17	S 2 PD08DEC17	6701.00	6513.00	USD	9,400.00	
08SEP17	B 3 PD08DEC17	6708.50	6513.00	USD	-14,662.50	
08SEP17	S 1 PD08DEC17	6702.50	6513.00	USD	4,737.50	
08SEP17	B 2 PD08DEC17	6699.50	6513.00	USD	-9,325.00	
08SEP17	B 2 PD08DEC17	6697.00	6513.00	USD	-9,200.00	
08SEP17	B 2 PD08DEC17	6694.50	6513.00	USD	-9,075.00	
08SEP17	B 2 PD08DEC17	6687.00	6513.00	USD	-8,700.00	
14SEP17	B 20 PD08DEC17	6495.74	6513.00	USD	8,630.00	
14SEP17	S 2 PD08DEC17	6495.34	6513.00	USD	-883.00	
14SEP17	B 39 PD08DEC17	6495.54	6513.00	USD	17,023.50	
19SEP17	B 13 PD08DEC17	6534.85	6513.00	USD	-7,101.25	
	NET 0 AVG BGHT 6625.45 SOLD 6846.16				**673,169.25**	**0.00**
11SEP17	S 1 PD11DEC17	6744.00	6514.19	USD	5,745.25	
11SEP17	S 4 PD11DEC17	6742.50	6514.19	USD	22,831.00	
11SEP17	B 5 PD11DEC17	6747.50	6514.19	USD	-29,163.75	
11SEP17	S 6 PD11DEC17	6719.00	6514.19	USD	30,721.50	
11SEP17	B 1 PD11DEC17	6723.00	6514.19	USD	-5,220.25	
11SEP17	B 5 PD11DEC17	6722.50	6514.19	USD	-26,038.75	
11SEP17	B 2 PD11DEC17	6745.50	6514.19	USD	-11,565.50	
11SEP17	S 2 PD11DEC17	6745.50	6514.19	USD	11,565.50	
	NET 0 AVG BGHT 6735.69 SOLD 6732.23				**-1,125.00**	**0.00**
12SEP17	S 4 PD12DEC17	6734.00	6514.58	USD	21,942.00	
12SEP17	S 2 PD12DEC17	6736.00	6514.58	USD	11,071.00	
12SEP17	S 4 PD12DEC17	6708.50	6514.58	USD	19,392.00	
12SEP17	B 4 PD12DEC17	6719.50	6514.58	USD	-20,492.00	
12SEP17	B 2 PD12DEC17	6720.00	6514.58	USD	-10,271.00	
12SEP17	B 4 PD12DEC17	6729.50	6514.58	USD	-21,492.00	
12SEP17	B 2 PD12DEC17	6737.50	6514.58	USD	-11,146.00	
12SEP17	B 1 PD12DEC17	6649.00	6514.58	USD	-3,360.50	
12SEP17	B 1 PD12DEC17	6645.00	6514.58	USD	-3,260.50	
12SEP17	B 1 PD12DEC17	6637.00	6514.58	USD	-3,060.50	
12SEP17	S 2 PD12DEC17	6641.50	6514.58	USD	6,346.00	
12SEP17	S 2 PD12DEC17	6647.00	6514.58	USD	6,621.00	
12SEP17	B 12 PD12DEC17	6654.00	6514.58	USD	-41,826.00	
12SEP17	B 4 PD12DEC17	6660.50	6514.58	USD	-14,592.00	
12SEP17	B 2 PD12DEC17	6657.50	6514.58	USD	-7,146.00	
12SEP17	B 2 PD12DEC17	6671.00	6514.58	USD	-7,821.00	
12SEP17	B 5 PD12DEC17	6672.00	6514.58	USD	-19,677.50	
12SEP17	B 4 PD12DEC17	6670.00	6514.58	USD	-15,542.00	
12SEP17	B 1 PD12DEC17	6641.50	6514.58	USD	-3,173.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP094AB

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT								
12SEP17	B	1	PD12DEC17		6660.50	6514.58	USD	-3,648.00	
12SEP17	B	1	PD12DEC17		6657.50	6514.58	USD	-3,573.00	
12SEP17	B	3	PD12DEC17		6670.50	6514.58	USD	-11,694.00	
12SEP17	B	3	PD12DEC17		6668.00	6514.58	USD	-11,506.50	
14SEP17	S	39	PD12DEC17		6496.98	6514.58	USD	-17,160.00	
	NET	**0**	**AVG BGHT 6675.55**	**SOLD 6550.97**				**-165,069.50**	**0.00**
13SEP17	B	1	PD13DEC17		6559.50	6514.98	USD	-1,113.00	
13SEP17	B	6	PD13DEC17		6564.00	6514.98	USD	-7,353.00	
13SEP17	B	4	PD13DEC17		6564.50	6514.98	USD	-4,952.00	
13SEP17	B	5	PD13DEC17		6570.50	6514.98	USD	-6,940.00	
13SEP17	S	1	PD13DEC17		6555.00	6514.98	USD	1,000.50	
13SEP17	S	1	PD13DEC17		6556.00	6514.98	USD	1,025.50	
13SEP17	S	1	PD13DEC17		6544.50	6514.98	USD	738.00	
13SEP17	S	2	PD13DEC17		6564.50	6514.98	USD	2,476.00	
13SEP17	B	1	PD13DEC17		6564.00	6514.98	USD	-1,225.50	
13SEP17	S	1	PD13DEC17		6550.00	6514.98	USD	875.50	
13SEP17	B	2	PD13DEC17		6551.50	6514.98	USD	-1,826.00	
13SEP17	B	3	PD13DEC17		6558.00	6514.98	USD	-3,226.50	
13SEP17	B	1	PD13DEC17		6554.00	6514.98	USD	-975.50	
13SEP17	B	1	PD13DEC17		6552.50	6514.98	USD	-938.00	
13SEP17	B	1	PD13DEC17		6551.00	6514.98	USD	-900.50	
19SEP17	S	19	PD13DEC17		6536.63	6514.98	USD	10,283.75	
	NET	**0**	**AVG BGHT 6562.10**	**SOLD 6541.22**				**-13,050.75**	**0.00**
14SEP17	B	2	PD14DEC17		6564.50	6515.38	USD	-2,456.00	
14SEP17	B	2	PD14DEC17		6564.00	6515.38	USD	-2,431.00	
14SEP17	B	2	PD14DEC17		6565.50	6515.38	USD	-2,506.00	
14SEP17	B	2	PD14DEC17		6563.00	6515.38	USD	-2,381.00	
14SEP17	B	2	PD14DEC17		6563.50	6515.38	USD	-2,406.00	
14SEP17	B	2	PD14DEC17		6533.00	6515.38	USD	-881.00	
14SEP17	B	1	PD14DEC17		6523.00	6515.38	USD	-190.50	
14SEP17	B	2	PD14DEC17		6522.50	6515.38	USD	-356.00	
14SEP17	B	2	PD14DEC17		6567.00	6515.38	USD	-2,581.00	
14SEP17	S	1	PD14DEC17		6519.50	6515.38	USD	103.00	
14SEP17	B	1	PD14DEC17		6506.50	6515.38	USD	222.00	
14SEP17	B	1	PD14DEC17		6498.00	6515.38	USD	434.50	
14SEP17	B	2	PD14DEC17		6499.50	6515.38	USD	794.00	
14SEP17	B	2	PD14DEC17		6502.50	6515.38	USD	644.00	
14SEP17	B	2	PD14DEC17		6503.00	6515.38	USD	619.00	
14SEP17	B	4	PD14DEC17		6501.00	6515.38	USD	1,438.00	
14SEP17	B	4	PD14DEC17		6500.50	6515.38	USD	1,488.00	
14SEP17	B	1	PD14DEC17		6498.50	6515.38	USD	422.00	
14SEP17	B	2	PD14DEC17		6500.00	6515.38	USD	769.00	
14SEP17	S	1	PD14DEC17		6501.00	6515.38	USD	-359.50	
21SEP17	S	29	PD14DEC17		6480.33	6515.38	USD	-25,411.25	
25SEP17	S	5	PD14DEC17		6446.46	6515.38	USD	-8,615.00	
	NET	**0**	**AVG BGHT 6525.78**	**SOLD 6477.29**				**-43,640.75**	**0.00**
15SEP17	S	2	PD15DEC17		6525.50	6515.77	USD	486.50	
15SEP17	B	2	PD15DEC17		6490.00	6515.77	USD	1,288.50	
15SEP17	B	2	PD15DEC17		6489.50	6515.77	USD	1,313.50	
15SEP17	S	1	PD15DEC17		6494.00	6515.77	USD	-544.25	
15SEP17	B	1	PD15DEC17		6487.50	6515.77	USD	706.75	
15SEP17	B	3	PD15DEC17		6488.00	6515.77	USD	2,082.75	
15SEP17	B	3	PD15DEC17		6489.00	6515.77	USD	2,007.75	
15SEP17	B	2	PD15DEC17		6490.50	6515.77	USD	1,263.50	
15SEP17	B	1	PD15DEC17		6476.00	6515.77	USD	994.25	
15SEP17	B	2	PD15DEC17		6478.50	6515.77	USD	1,863.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP094AB

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT							
15SEP17	S	1	PD15DEC17	6467.00	6515.77	USD	-1,219.25	
15SEP17	S	1	PD15DEC17	6494.00	6515.77	USD	-544.25	
15SEP17	B	2	PD15DEC17	6488.00	6515.77	USD	1,388.50	
15SEP17	B	1	PD15DEC17	6489.00	6515.77	USD	669.25	
15SEP17	B	4	PD15DEC17	6493.50	6515.77	USD	2,227.00	
15SEP17	B	4	PD15DEC17	6501.50	6515.77	USD	1,427.00	
15SEP17	B	2	PD15DEC17	6501.00	6515.77	USD	738.50	
15SEP17	B	1	PD15DEC17	6512.50	6515.77	USD	81.75	
15SEP17	B	1	PD15DEC17	6513.00	6515.77	USD	69.25	
15SEP17	B	3	PD15DEC17	6508.00	6515.77	USD	582.75	
15SEP17	B	1	PD15DEC17	6506.50	6515.77	USD	231.75	
15SEP17	B	2	PD15DEC17	6503.00	6515.77	USD	638.50	
15SEP17	B	1	PD15DEC17	6512.00	6515.77	USD	94.25	
25SEP17	S	21	PD15DEC17	6446.92	6515.77	USD	-36,146.25	
28SEP17	S	12	PD15DEC17	6517.67	6515.77	USD	570.00	
	NET	**0**	**AVG BGHT 6495.07**	**SOLD 6476.40**			**-17,728.50**	**0.00**
18SEP17	S	1	PD18DEC17	6555.00	6516.96	USD	951.00	
18SEP17	B	1	PD18DEC17	6564.00	6516.96	USD	-1,176.00	
18SEP17	B	1	PD18DEC17	6563.50	6516.96	USD	-1,163.50	
18SEP17	B	2	PD18DEC17	6565.50	6516.96	USD	-2,427.00	
18SEP17	S	4	PD18DEC17	6562.50	6516.96	USD	4,554.00	
18SEP17	S	3	PD18DEC17	6562.00	6516.96	USD	3,378.00	
18SEP17	B	1	PD18DEC17	6564.50	6516.96	USD	-1,188.50	
18SEP17	B	2	PD18DEC17	6566.50	6516.96	USD	-2,477.00	
18SEP17	S	1	PD18DEC17	6547.00	6516.96	USD	751.00	
18SEP17	S	1	PD18DEC17	6551.50	6516.96	USD	863.50	
18SEP17	S	3	PD18DEC17	6535.00	6516.96	USD	1,353.00	
18SEP17	S	9	PD18DEC17	6535.50	6516.96	USD	4,171.50	
18SEP17	S	4	PD18DEC17	6534.00	6516.96	USD	1,704.00	
18SEP17	S	4	PD18DEC17	6526.00	6516.96	USD	904.00	
18SEP17	S	4	PD18DEC17	6525.50	6516.96	USD	854.00	
18SEP17	B	1	PD18DEC17	6540.00	6516.96	USD	-576.00	
18SEP17	S	1	PD18DEC17	6538.50	6516.96	USD	538.50	
18SEP17	S	1	PD18DEC17	6537.00	6516.96	USD	501.00	
18SEP17	B	1	PD18DEC17	6525.00	6516.96	USD	-201.00	
18SEP17	S	1	PD18DEC17	6536.00	6516.96	USD	476.00	
18SEP17	S	1	PD18DEC17	6536.50	6516.96	USD	488.50	
18SEP17	S	1	PD18DEC17	6536.50	6516.96	USD	488.50	
18SEP17	S	1	PD18DEC17	6535.00	6516.96	USD	451.00	
18SEP17	S	1	PD18DEC17	6537.00	6516.96	USD	501.00	
19SEP17	B	32	PD18DEC17	6538.80	6516.96	USD	-17,472.00	
	NET	**0**	**AVG BGHT 6542.99**	**SOLD 6539.33**			**-3,752.50**	**0.00**
19SEP17	S	2	PD19DEC17	6554.00	6517.35	USD	1,832.50	
19SEP17	S	2	PD19DEC17	6547.50	6517.35	USD	1,507.50	
19SEP17	S	4	PD19DEC17	6544.50	6517.35	USD	2,715.00	
19SEP17	S	2	PD19DEC17	6542.00	6517.35	USD	1,232.50	
19SEP17	S	3	PD19DEC17	6532.00	6517.35	USD	1,098.75	
19SEP17	S	1	PD19DEC17	6535.00	6517.35	USD	441.25	
19SEP17	S	1	PD19DEC17	6535.50	6517.35	USD	453.75	
19SEP17	S	1	PD19DEC17	6536.50	6517.35	USD	478.75	
19SEP17	S	3	PD19DEC17	6537.00	6517.35	USD	1,473.75	
19SEP17	S	1	PD19DEC17	6531.50	6517.35	USD	353.75	
21SEP17	B	20	PD19DEC17	6482.20	6517.35	USD	17,575.00	
	NET	**0**	**AVG BGHT 6482.20**	**SOLD 6540.53**			**29,162.50**	**0.00**
20SEP17	S	5	PD20DEC17	6548.00	6517.75	USD	3,781.25	
20SEP17	S	5	PD20DEC17	6549.50	6517.75	USD	3,968.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP094AB

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT							
21SEP17	B	10	PD20DEC17	6482.20	6517.75	USD	8,887.50	
	NET	**0**	**AVG BGHT 6482.20 SOLD 6548.75**				**16,637.50**	**0.00**
21SEP17	B	1	PD21DEC17	6442.00	6516.75	USD	1,868.75	
21SEP17	B	1	PD21DEC17	6472.00	6516.75	USD	1,118.75	
21SEP17	S	1	PD21DEC17	6427.00	6516.75	USD	-2,243.75	
21SEP17	S	1	PD21DEC17	6426.50	6516.75	USD	-2,256.25	
21SEP17	S	1	PD21DEC17	6427.50	6516.75	USD	-2,231.25	
21SEP17	S	1	PD21DEC17	6428.50	6516.75	USD	-2,206.25	
21SEP17	S	1	PD21DEC17	6428.00	6516.75	USD	-2,218.75	
25SEP17	B	3	PD21DEC17	6446.95	6516.75	USD	5,235.00	
	NET	**0**	**AVG BGHT 6450.97 SOLD 6427.50**				**-2,933.75**	**0.00**
22SEP17	S	3	PD22DEC17	6384.00	6515.75	USD	-9,881.25	
22SEP17	S	3	PD22DEC17	6385.00	6515.75	USD	-9,806.25	
22SEP17	S	5	PD22DEC17	6383.00	6515.75	USD	-16,593.75	
22SEP17	S	1	PD22DEC17	6379.00	6515.75	USD	-3,418.75	
22SEP17	S	1	PD22DEC17	6379.50	6515.75	USD	-3,406.25	
25SEP17	B	13	PD22DEC17	6447.49	6515.75	USD	22,184.50	
	NET	**0**	**AVG BGHT 6447.49 SOLD 6383.12**				**-20,921.75**	**0.00**
25SEP17	S	2	PD27DEC17	6477.00	6517.52	USD	-2,026.00	
25SEP17	S	2	PD27DEC17	6473.00	6517.52	USD	-2,226.00	
25SEP17	S	2	PD27DEC17	6474.50	6517.52	USD	-2,151.00	
25SEP17	S	2	PD27DEC17	6478.50	6517.52	USD	-1,951.00	
25SEP17	S	1	PD27DEC17	6473.50	6517.52	USD	-1,100.50	
25SEP17	S	3	PD27DEC17	6472.00	6517.52	USD	-3,414.00	
25SEP17	S	5	PD27DEC17	6457.50	6517.52	USD	-7,502.50	
25SEP17	S	1	PD27DEC17	6446.50	6517.52	USD	-1,775.50	
25SEP17	B	10	PD27DEC17	6450.20	6517.52	USD	16,830.00	
26SEP17	S	3	PD27DEC17	6473.00	6517.52	USD	-3,339.00	
26SEP17	S	4	PD27DEC17	6514.50	6517.52	USD	-302.00	
26SEP17	B	1	PD27DEC17	6442.50	6517.52	USD	1,875.50	
26SEP17	B	1	PD27DEC17	6438.50	6517.52	USD	1,975.50	
26SEP17	B	1	PD27DEC17	6417.50	6517.52	USD	2,500.50	
26SEP17	B	2	PD27DEC17	6418.50	6517.52	USD	4,951.00	
26SEP17	B	4	PD27DEC17	6416.50	6517.52	USD	10,102.00	
27SEP17	B	2	PD27DEC17	6473.00	6517.52	USD	2,226.00	
27SEP17	S	1	PD27DEC17	6457.50	6517.52	USD	-1,500.50	
27SEP17	S	1	PD27DEC17	6454.00	6517.52	USD	-1,588.00	
27SEP17	S	4	PD27DEC17	6453.50	6517.52	USD	-6,402.00	
27SEP17	S	5	PD27DEC17	6448.50	6517.52	USD	-8,627.50	
27SEP17	S	5	PD27DEC17	6437.00	6517.52	USD	-10,065.00	
27SEP17	S	1	PD27DEC17	6449.00	6517.52	USD	-1,713.00	
27SEP17	S	1	PD27DEC17	6436.50	6517.52	USD	-2,025.50	
27SEP17	S	1	PD27DEC17	6432.50	6517.52	USD	-2,125.50	
28SEP17	B	12	PD27DEC17	6521.53	6517.52	USD	-1,203.00	
29SEP17	B	10	PD27DEC17	6479.93	6517.52	USD	9,397.50	
	NET	**-1**	**AVG BGHT 6472.26 SOLD 6463.13**				**-11,179.50**	**0.00**
28SEP17	B	2	PD28DEC17	6467.50	6517.88	USD	2,519.00	
28SEP17	B	2	PD28DEC17	6464.00	6517.88	USD	2,694.00	
28SEP17	B	1	PD28DEC17	6462.50	6517.88	USD	1,384.50	
28SEP17	B	1	PD28DEC17	6471.00	6517.88	USD	1,172.00	
28SEP17	B	1	PD28DEC17	6489.00	6517.88	USD	722.00	
28SEP17	B	5	PD28DEC17	6514.00	6517.88	USD	485.00	
28SEP17	B	1	PD28DEC17	6514.50	6517.88	USD	84.50	
28SEP17	S	1	PD28DEC17	6517.50	6517.88	USD	-9.50	
28SEP17	S	1	PD28DEC17	6512.00	6517.88	USD	-147.00	
28SEP17	S	1	PD28DEC17	6525.50	6517.88	USD	190.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP094AB

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT							
29SEP17	S	10	PD28DEC17	6480.26	6517.88	USD	-9,405.00	
	NET	**0**	**AVG BGHT 6490.00 SOLD 6489.05**				**-310.00**	**0.00**
29SEP17	S	2	PD29DEC17	6537.50	6518.23	USD	963.50	
29SEP17	S	3	PD29DEC17	6535.50	6518.23	USD	1,295.25	
29SEP17	B	1	PD29DEC17	6546.50	6518.23	USD	-706.75	
29SEP17	S	1	PD29DEC17	6525.50	6518.23	USD	181.75	
29SEP17	B	4	PD29DEC17	6570.50	6518.23	USD	-5,227.00	
29SEP17	B	1	PD29DEC17	6570.00	6518.23	USD	-1,294.25	
29SEP17	B	5	PD29DEC17	6572.00	6518.23	USD	-6,721.25	
29SEP17	B	1	PD29DEC17	6567.50	6518.23	USD	-1,231.75	
29SEP17	B	1	PD29DEC17	6570.00	6518.23	USD	-1,294.25	
	NET	**+7**	**AVG BGHT 6568.92 SOLD 6534.50**				**-14,034.75**	**0.00**
	CMX GOLD 100 CMX							
27SEP17	B	2	DEC17	1297.0	1274.6	USD	-4,480.00	
27SEP17	B	4	DEC17	1297.1	1274.6	USD	-9,000.00	
29SEP17	B	2	DEC17	1287.6	1274.6	USD	-2,600.00	
29SEP17	B	2	DEC17	1287.5	1274.6	USD	-2,580.00	
29SEP17	B	2	DEC17	1287.9	1274.6	USD	-2,660.00	
29SEP17	B	2	DEC17	1288.0	1274.6	USD	-2,680.00	
29SEP17	B	2	DEC17	1287.8	1274.6	USD	-2,640.00	
	NET	**+16**	**AVG BGHT 1291.3**				**-26,640.00**	**0.00**
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
26JUL17	B	1	PD26OCT17	2314.50	2588.00	USD	6,837.50	
26JUL17	B	1	PD26OCT17	2314.00	2588.00	USD	6,850.00	
26JUL17	B	1	PD26OCT17	2313.00	2588.00	USD	6,875.00	
26JUL17	B	1	PD26OCT17	2313.50	2588.00	USD	6,862.50	
26JUL17	B	1	PD26OCT17	2312.00	2588.00	USD	6,900.00	
22AUG17	S	5	PD26OCT17	2409.75	2588.00	USD	-22,281.25	
	NET	**0**	**AVG BGHT 2313.40 SOLD 2409.75**				**12,043.75**	**0.00**
27JUL17	B	1	PD27OCT17	2329.00	2588.00	USD	6,475.00	
27JUL17	B	4	PD27OCT17	2328.50	2588.00	USD	25,950.00	
27JUL17	B	1	PD27OCT17	2321.00	2588.00	USD	6,675.00	
27JUL17	B	8	PD27OCT17	2323.50	2588.00	USD	52,900.00	
27JUL17	B	1	PD27OCT17	2319.00	2588.00	USD	6,725.00	
27JUL17	B	8	PD27OCT17	2320.50	2588.00	USD	53,500.00	
27JUL17	B	3	PD27OCT17	2322.00	2588.00	USD	19,950.00	
27JUL17	B	4	PD27OCT17	2320.00	2588.00	USD	26,800.00	
27JUL17	B	5	PD27OCT17	2322.50	2588.00	USD	33,187.50	
27JUL17	B	1	PD27OCT17	2323.00	2588.00	USD	6,625.00	
27JUL17	B	6	PD27OCT17	2324.00	2588.00	USD	39,600.00	
27JUL17	B	3	PD27OCT17	2324.50	2588.00	USD	19,762.50	
22AUG17	S	30	PD27OCT17	2410.00	2588.00	USD	-133,500.00	
11SEP17	S	15	PD27OCT17	2260.78	2588.00	USD	-122,707.50	
	NET	**0**	**AVG BGHT 2322.98 SOLD 2360.26**				**41,942.50**	**0.00**
31JUL17	B	1	PD31OCT17	2327.50	2588.00	USD	6,512.50	
31JUL17	B	2	PD31OCT17	2328.00	2588.00	USD	13,000.00	
31JUL17	S	2	PD31OCT17	2327.50	2588.00	USD	-13,025.00	
31JUL17	S	1	PD31OCT17	2327.00	2588.00	USD	-6,525.00	
	NET	**0**	**AVG BGHT 2327.83 SOLD 2327.33**				**-37.50**	**0.00**
07AUG17	S	2	PD07NOV17	2362.00	2588.00	USD	-11,300.00	
07AUG17	S	7	PD07NOV17	2359.50	2588.00	USD	-39,987.50	
07AUG17	S	10	PD07NOV17	2360.50	2588.00	USD	-56,875.00	
07AUG17	S	5	PD07NOV17	2360.00	2588.00	USD	-28,500.00	
07AUG17	S	1	PD07NOV17	2358.00	2588.00	USD	-5,750.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP094AB

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
22AUG17	B	25	PD07NOV17	2412.95	2588.00	USD	109,406.25	
	NET	**0**	**AVG BGHT 2412.95** **SOLD 2360.14**				**-33,006.25**	**0.00**
10AUG17	B	1	PD10NOV17	2377.00	2588.00	USD	5,275.00	
10AUG17	B	3	PD10NOV17	2377.50	2588.00	USD	15,787.50	
10AUG17	B	4	PD10NOV17	2376.50	2588.00	USD	21,150.00	
10AUG17	B	2	PD10NOV17	2372.50	2588.00	USD	10,775.00	
10AUG17	S	4	PD10NOV17	2356.50	2588.00	USD	-23,150.00	
10AUG17	S	4	PD10NOV17	2354.00	2588.00	USD	-23,400.00	
10AUG17	S	1	PD10NOV17	2354.50	2588.00	USD	-5,837.50	
10AUG17	S	1	PD10NOV17	2354.50	2588.00	USD	-5,837.50	
11AUG17	B	2	PD10NOV17	2348.00	2588.00	USD	12,000.00	
11AUG17	B	1	PD10NOV17	2345.50	2588.00	USD	6,062.50	
11AUG17	B	1	PD10NOV17	2346.00	2588.00	USD	6,050.00	
11AUG17	B	1	PD10NOV17	2344.00	2588.00	USD	6,100.00	
11AUG17	B	5	PD10NOV17	2345.00	2588.00	USD	30,375.00	
11SEP17	S	10	PD10NOV17	2266.84	2588.00	USD	-80,290.00	
	NET	**0**	**AVG BGHT 2360.85** **SOLD 2310.97**				**-24,940.00**	**0.00**
14AUG17	S	2	PD14NOV17	2338.00	2588.00	USD	-12,500.00	
14AUG17	S	2	PD14NOV17	2339.00	2588.00	USD	-12,450.00	
14AUG17	B	1	PD14NOV17	2341.50	2588.00	USD	6,162.50	
14AUG17	B	1	PD14NOV17	2343.00	2588.00	USD	6,125.00	
14AUG17	S	4	PD14NOV17	2333.50	2588.00	USD	-25,450.00	
22AUG17	B	6	PD14NOV17	2414.70	2588.00	USD	25,995.00	
	NET	**0**	**AVG BGHT 2396.59** **SOLD 2336.00**				**-12,117.50**	**0.00**
15AUG17	S	2	PD15NOV17	2366.00	2588.00	USD	-11,100.00	
15AUG17	S	2	PD15NOV17	2365.00	2588.00	USD	-11,150.00	
22AUG17	B	4	PD15NOV17	2414.95	2588.00	USD	17,305.00	
	NET	**0**	**AVG BGHT 2414.95** **SOLD 2365.50**				**-4,945.00**	**0.00**
21AUG17	B	1	PD21NOV17	2365.00	2588.77	USD	5,594.25	
21AUG17	B	1	PD21NOV17	2364.00	2588.77	USD	5,619.25	
21AUG17	B	1	PD21NOV17	2364.50	2588.77	USD	5,606.75	
21AUG17	B	6	PD21NOV17	2369.00	2588.77	USD	32,965.50	
21AUG17	B	6	PD21NOV17	2367.00	2588.77	USD	33,265.50	
21AUG17	B	4	PD21NOV17	2366.00	2588.77	USD	22,277.00	
21AUG17	B	7	PD21NOV17	2368.50	2588.77	USD	38,547.25	
21AUG17	B	9	PD21NOV17	2368.00	2588.77	USD	49,673.25	
21AUG17	B	3	PD21NOV17	2365.50	2588.77	USD	16,745.25	
21AUG17	B	1	PD21NOV17	2368.50	2588.77	USD	5,506.75	
21AUG17	B	1	PD21NOV17	2367.50	2588.77	USD	5,531.75	
11SEP17	S	30	PD21NOV17	2270.60	2588.77	USD	-238,627.50	
14SEP17	S	10	PD21NOV17	2300.46	2588.77	USD	-72,077.50	
	NET	**0**	**AVG BGHT 2367.44** **SOLD 2278.07**				**-89,372.50**	**0.00**
23AUG17	B	3	PD24NOV17	2389.50	2589.16	USD	14,974.50	
23AUG17	B	3	PD24NOV17	2393.00	2589.16	USD	14,712.00	
23AUG17	B	3	PD24NOV17	2392.50	2589.16	USD	14,749.50	
23AUG17	B	3	PD24NOV17	2392.00	2589.16	USD	14,787.00	
23AUG17	B	1	PD24NOV17	2389.00	2589.16	USD	5,004.00	
23AUG17	B	3	PD24NOV17	2390.50	2589.16	USD	14,899.50	
23AUG17	B	5	PD24NOV17	2390.00	2589.16	USD	24,895.00	
23AUG17	B	5	PD24NOV17	2395.50	2589.16	USD	24,207.50	
23AUG17	B	2	PD24NOV17	2396.50	2589.16	USD	9,633.00	
23AUG17	B	2	PD24NOV17	2395.00	2589.16	USD	9,708.00	
14SEP17	S	30	PD24NOV17	2301.31	2589.16	USD	-215,887.50	
	NET	**0**	**AVG BGHT 2392.40** **SOLD 2301.31**				**-68,317.50**	**0.00**
01SEP17	B	2	PD01DEC17	2392.50	2590.06	USD	9,878.00	
01SEP17	B	2	PD01DEC17	2399.50	2590.06	USD	9,528.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP094AB

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
01SEP17	B	1	PD01DEC17	2398.50	2590.06	USD	4,789.00	
01SEP17	S	1	PD01DEC17	2402.50	2590.06	USD	-4,689.00	
01SEP17	B	1	PD01DEC17	2403.50	2590.06	USD	4,664.00	
19SEP17	S	5	PD01DEC17	2416.75	2590.06	USD	-21,663.75	
	NET	**0**	**AVG BGHT 2397.67** **SOLD 2414.38**				**2,506.25**	**0.00**
04SEP17	B	3	PD04DEC17	2393.50	2590.44	USD	14,770.50	
04SEP17	B	4	PD04DEC17	2392.50	2590.44	USD	19,794.00	
04SEP17	B	3	PD04DEC17	2397.00	2590.44	USD	14,508.00	
04SEP17	B	3	PD04DEC17	2400.00	2590.44	USD	14,283.00	
04SEP17	B	1	PD04DEC17	2393.50	2590.44	USD	4,923.50	
04SEP17	B	1	PD04DEC17	2396.50	2590.44	USD	4,848.50	
19SEP17	S	15	PD04DEC17	2417.50	2590.44	USD	-64,852.50	
	NET	**0**	**AVG BGHT 2395.43** **SOLD 2417.50**				**8,275.00**	**0.00**
07SEP17	S	2	PD07DEC17	2336.00	2590.83	USD	-12,741.50	
07SEP17	S	1	PD07DEC17	2336.50	2590.83	USD	-6,358.25	
07SEP17	S	1	PD07DEC17	2335.50	2590.83	USD	-6,383.25	
07SEP17	S	1	PD07DEC17	2335.00	2590.83	USD	-6,395.75	
07SEP17	S	20	PD07DEC17	2348.00	2590.83	USD	-121,415.00	
07SEP17	S	5	PD07DEC17	2348.50	2590.83	USD	-30,291.25	
07SEP17	S	1	PD07DEC17	2339.50	2590.83	USD	-6,283.25	
07SEP17	S	4	PD07DEC17	2339.00	2590.83	USD	-25,183.00	
07SEP17	S	9	PD07DEC17	2340.50	2590.83	USD	-56,324.25	
07SEP17	S	10	PD07DEC17	2341.00	2590.83	USD	-62,457.50	
07SEP17	S	1	PD07DEC17	2340.00	2590.83	USD	-6,270.75	
11SEP17	B	55	PD07DEC17	2275.92	2590.83	USD	433,001.25	
	NET	**0**	**AVG BGHT 2275.92** **SOLD 2343.48**				**92,897.50**	**0.00**
08SEP17	S	1	PD08DEC17	2327.50	2590.96	USD	-6,586.50	
08SEP17	S	2	PD08DEC17	2327.00	2590.96	USD	-13,198.00	
08SEP17	S	3	PD08DEC17	2326.50	2590.96	USD	-19,834.50	
08SEP17	S	1	PD08DEC17	2325.50	2590.96	USD	-6,636.50	
08SEP17	S	1	PD08DEC17	2326.00	2590.96	USD	-6,624.00	
08SEP17	S	3	PD08DEC17	2325.00	2590.96	USD	-19,947.00	
08SEP17	S	3	PD08DEC17	2323.50	2590.96	USD	-20,059.50	
08SEP17	S	3	PD08DEC17	2321.00	2590.96	USD	-20,247.00	
08SEP17	S	3	PD08DEC17	2322.50	2590.96	USD	-20,134.50	
08SEP17	S	4	PD08DEC17	2321.50	2590.96	USD	-26,946.00	
08SEP17	S	1	PD08DEC17	2320.50	2590.96	USD	-6,761.50	
08SEP17	S	1	PD08DEC17	2318.00	2590.96	USD	-6,824.00	
08SEP17	S	1	PD08DEC17	2317.50	2590.96	USD	-6,836.50	
08SEP17	S	1	PD08DEC17	2316.00	2590.96	USD	-6,874.00	
08SEP17	S	1	PD08DEC17	2315.50	2590.96	USD	-6,886.50	
08SEP17	S	5	PD08DEC17	2313.50	2590.96	USD	-34,682.50	
08SEP17	S	1	PD08DEC17	2302.50	2590.96	USD	-7,211.50	
08SEP17	S	1	PD08DEC17	2326.00	2590.96	USD	-6,624.00	
08SEP17	S	2	PD08DEC17	2321.50	2590.96	USD	-13,473.00	
08SEP17	S	2	PD08DEC17	2320.50	2590.96	USD	-13,523.00	
08SEP17	S	1	PD08DEC17	2318.00	2590.96	USD	-6,824.00	
14SEP17	B	40	PD08DEC17	2305.49	2590.96	USD	285,470.00	
21SEP17	B	1	PD08DEC17	2517.83	2590.96	USD	1,828.25	
	NET	**0**	**AVG BGHT 2310.67** **SOLD 2320.98**				**10,564.25**	**0.00**
12SEP17	S	1	PD12DEC17	2303.00	2591.47	USD	-7,211.75	
12SEP17	S	4	PD12DEC17	2302.00	2591.47	USD	-28,947.00	
12SEP17	S	3	PD12DEC17	2302.50	2591.47	USD	-21,672.75	
12SEP17	S	3	PD12DEC17	2303.50	2591.47	USD	-21,597.75	
12SEP17	S	9	PD12DEC17	2304.00	2591.47	USD	-64,680.75	
19SEP17	B	20	PD12DEC17	2419.70	2591.47	USD	85,885.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP094AB

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
	NET	**0 AVG BGHT 2419.70**	**SOLD 2303.25**				**-58,225.00**	**0.00**
13SEP17	S	1 PD13DEC17		2292.50	2591.60	USD	-7,477.50	
13SEP17	S	1 PD13DEC17		2293.00	2591.60	USD	-7,465.00	
13SEP17	S	1 PD13DEC17		2294.00	2591.60	USD	-7,440.00	
13SEP17	S	1 PD13DEC17		2295.00	2591.60	USD	-7,415.00	
21SEP17	B	4 PD13DEC17		2517.90	2591.60	USD	7,370.00	
	NET	**0 AVG BGHT 2517.90**	**SOLD 2293.63**				**-22,427.50**	**0.00**
15SEP17	B	2 PD15DEC17		2333.00	2591.86	USD	12,943.00	
15SEP17	B	1 PD15DEC17		2333.50	2591.86	USD	6,459.00	
15SEP17	B	4 PD15DEC17		2334.00	2591.86	USD	25,786.00	
15SEP17	B	1 PD15DEC17		2334.50	2591.86	USD	6,434.00	
15SEP17	B	1 PD15DEC17		2335.00	2591.86	USD	6,421.50	
15SEP17	B	1 PD15DEC17		2337.00	2591.86	USD	6,371.50	
15SEP17	S	4 PD15DEC17		2327.00	2591.86	USD	-26,486.00	
15SEP17	S	2 PD15DEC17		2324.00	2591.86	USD	-13,393.00	
15SEP17	S	3 PD15DEC17		2325.00	2591.86	USD	-20,014.50	
15SEP17	S	1 PD15DEC17		2324.50	2591.86	USD	-6,684.00	
15SEP17	S	1 PD15DEC17		2350.00	2591.86	USD	-6,046.50	
15SEP17	S	1 PD15DEC17		2348.00	2591.86	USD	-6,096.50	
15SEP17	S	1 PD15DEC17		2338.00	2591.86	USD	-6,346.50	
15SEP17	S	1 PD15DEC17		2337.00	2591.86	USD	-6,371.50	
15SEP17	S	1 PD15DEC17		2350.50	2591.86	USD	-6,034.00	
15SEP17	S	1 PD15DEC17		2348.50	2591.86	USD	-6,084.00	
15SEP17	S	2 PD15DEC17		2361.00	2591.86	USD	-11,543.00	
21SEP17	B	8 PD15DEC17		2517.93	2591.86	USD	14,786.00	
	NET	**0 AVG BGHT 2415.86**	**SOLD 2336.08**				**-35,898.50**	**0.00**
19SEP17	B	2 PD19DEC17		2423.00	2592.37	USD	8,468.50	
19SEP17	B	3 PD19DEC17		2423.50	2592.37	USD	12,665.25	
19SEP17	B	4 PD19DEC17		2421.00	2592.37	USD	17,137.00	
19SEP17	B	1 PD19DEC17		2421.00	2592.37	USD	4,284.25	
21SEP17	S	13 PD19DEC17		2517.79	2592.37	USD	-24,238.50	
28SEP17	B	3 PD19DEC17		2473.64	2592.37	USD	8,904.75	
	NET	**0 AVG BGHT 2434.03**	**SOLD 2517.79**				**27,221.25**	**0.00**
20SEP17	B	1 PD20DEC17		2444.50	2592.50	USD	3,700.00	
20SEP17	B	1 PD20DEC17		2451.00	2592.50	USD	3,537.50	
20SEP17	S	1 PD20DEC17		2458.00	2592.50	USD	-3,362.50	
20SEP17	S	1 PD20DEC17		2459.00	2592.50	USD	-3,337.50	
	NET	**0 AVG BGHT 2447.75**	**SOLD 2458.50**				**537.50**	**0.00**
21SEP17	B	1 PD21DEC17		2448.00	2592.29	USD	3,607.25	
21SEP17	S	1 PD21DEC17		2439.00	2592.29	USD	-3,832.25	
21SEP17	B	1 PD21DEC17		2440.50	2592.29	USD	3,794.75	
21SEP17	S	1 PD21DEC17		2433.50	2592.29	USD	-3,969.75	
21SEP17	B	1 PD21DEC17		2428.50	2592.29	USD	4,094.75	
21SEP17	B	1 PD21DEC17		2430.00	2592.29	USD	4,057.25	
21SEP17	S	1 PD21DEC17		2435.00	2592.29	USD	-3,932.25	
21SEP17	S	1 PD21DEC17		2479.00	2592.29	USD	-2,832.25	
21SEP17	S	1 PD21DEC17		2478.50	2592.29	USD	-2,844.75	
21SEP17	S	1 PD21DEC17		2483.50	2592.29	USD	-2,719.75	
21SEP17	S	4 PD21DEC17		2483.00	2592.29	USD	-10,929.00	
21SEP17	S	1 PD21DEC17		2479.50	2592.29	USD	-2,819.75	
21SEP17	S	2 PD21DEC17		2479.00	2592.29	USD	-5,664.50	
29SEP17	B	1 PD21DEC17		2489.00	2592.29	USD	2,582.25	
	NET	**-8 AVG BGHT 2447.20**	**SOLD 2470.62**				**-21,408.00**	**0.00**
22SEP17	S	4 PD22DEC17		2472.00	2592.07	USD	-12,007.00	
28SEP17	B	4 PD22DEC17		2471.95	2592.07	USD	12,012.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP094AB

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
	NET	**0**	**AVG BGHT 2471.95 SOLD 2472.00**				**5.00**	**0.00**
25SEP17	S	2	PD27DEC17	2489.00	2591.86	USD	-5,143.00	
25SEP17	S	2	PD27DEC17	2489.50	2591.86	USD	-5,118.00	
25SEP17	S	1	PD27DEC17	2504.00	2591.86	USD	-2,196.50	
25SEP17	B	1	PD27DEC17	2480.00	2591.86	USD	2,796.50	
26SEP17	B	2	PD27DEC17	2489.50	2591.86	USD	5,118.00	
26SEP17	S	1	PD27DEC17	2477.00	2591.86	USD	-2,871.50	
26SEP17	S	1	PD27DEC17	2484.50	2591.86	USD	-2,684.00	
26SEP17	B	1	PD27DEC17	2485.00	2591.86	USD	2,671.50	
27SEP17	B	1	PD27DEC17	2469.50	2591.86	USD	3,059.00	
27SEP17	S	1	PD27DEC17	2457.50	2591.86	USD	-3,359.00	
28SEP17	B	3	PD27DEC17	2471.08	2591.86	USD	9,058.50	
	NET	**0**	**AVG BGHT 2478.34 SOLD 2485.00**				**1,331.50**	**0.00**
28SEP17	B	1	PD28DEC17	2466.00	2591.64	USD	3,141.00	
28SEP17	S	1	PD28DEC17	2457.50	2591.64	USD	-3,353.50	
28SEP17	B	1	PD28DEC17	2460.50	2591.64	USD	3,278.50	
28SEP17	B	1	PD28DEC17	2488.50	2591.64	USD	2,578.50	
28SEP17	S	1	PD28DEC17	2482.00	2591.64	USD	-2,741.00	
28SEP17	S	1	PD28DEC17	2460.00	2591.64	USD	-3,291.00	
28SEP17	B	1	PD28DEC17	2463.50	2591.64	USD	3,203.50	
29SEP17	S	1	PD28DEC17	2485.80	2591.64	USD	-2,646.00	
	NET	**0**	**AVG BGHT 2469.63 SOLD 2471.33**				**170.00**	**0.00**
29SEP17	B	1	PD29DEC17	2505.50	2591.43	USD	2,148.25	
	NET	**+1**	**AVG BGHT 2505.50**				**2,148.25**	**0.00**
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
21JUL17	B	1	PD20OCT17	9535.00	10550.93	USD	6,095.58	
21JUL17	S	1	PD20OCT17	9530.00	10550.93	USD	-6,125.58	
21JUL17	S	1	PD20OCT17	9555.00	10550.93	USD	-5,975.58	
22AUG17	B	1	PD20OCT17	11396.50	10550.93	USD	-5,073.42	
	NET	**0**	**AVG BGHT 10465.75 SOLD 9542.50**				**-11,079.00**	**0.00**
26JUL17	S	1	PD26OCT17	9955.00	10556.71	USD	-3,610.26	
26JUL17	S	1	PD26OCT17	9935.00	10556.71	USD	-3,730.26	
26JUL17	S	1	PD26OCT17	9940.00	10556.71	USD	-3,700.26	
22AUG17	B	3	PD26OCT17	11400.65	10556.71	USD	-15,190.92	
	NET	**0**	**AVG BGHT 11400.65 SOLD 9943.33**				**-26,231.70**	**0.00**
27JUL17	S	1	PD27OCT17	10130.00	10557.68	USD	-2,566.08	
22AUG17	B	1	PD27OCT17	11401.35	10557.68	USD	-5,062.02	
	NET	**0**	**AVG BGHT 11401.35 SOLD 10130.00**				**-7,628.10**	**0.00**
04AUG17	S	1	PD03NOV17	10360.00	10564.43	USD	-1,226.58	
04AUG17	B	1	PD03NOV17	10325.00	10564.43	USD	1,436.58	
04AUG17	S	2	PD03NOV17	10325.00	10564.43	USD	-2,873.16	
04AUG17	B	2	PD03NOV17	10330.00	10564.43	USD	2,813.16	
04AUG17	S	2	PD03NOV17	10300.00	10564.43	USD	-3,173.16	
04AUG17	B	1	PD03NOV17	10280.00	10564.43	USD	1,706.58	
04AUG17	S	1	PD03NOV17	10260.00	10564.43	USD	-1,826.58	
04AUG17	B	1	PD03NOV17	10200.00	10564.43	USD	2,186.58	
04AUG17	B	1	PD03NOV17	10290.00	10564.43	USD	1,646.58	
	NET	**0**	**AVG BGHT 10292.50 SOLD 10311.67**				**690.00**	**0.00**
07AUG17	S	2	PD07NOV17	10260.00	10568.29	USD	-3,699.48	
07AUG17	S	2	PD07NOV17	10280.00	10568.29	USD	-3,459.48	
07AUG17	B	4	PD07NOV17	10300.00	10568.29	USD	6,438.96	
07AUG17	B	2	PD07NOV17	10365.00	10568.29	USD	2,439.48	
07AUG17	B	2	PD07NOV17	10345.00	10568.29	USD	2,679.48	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP094AB

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
22AUG17	S	4	PD07NOV17	11407.96	10568.29	USD	20,152.08	
	NET	**0**	**AVG BGHT 10327.50 SOLD 10838.98**				**24,551.04**	**0.00**
08AUG17	B	1	PD08NOV17	10320.00	10569.25	USD	1,495.50	
08AUG17	S	2	PD08NOV17	10330.00	10569.25	USD	-2,871.00	
08AUG17	S	1	PD08NOV17	10305.00	10569.25	USD	-1,585.50	
08AUG17	B	1	PD08NOV17	10340.00	10569.25	USD	1,375.50	
08AUG17	B	1	PD08NOV17	10410.00	10569.25	USD	955.50	
08AUG17	B	1	PD08NOV17	10490.00	10569.25	USD	475.50	
08AUG17	S	1	PD08NOV17	10560.00	10569.25	USD	-55.50	
08AUG17	S	1	PD08NOV17	10610.00	10569.25	USD	244.50	
08AUG17	B	1	PD08NOV17	10580.00	10569.25	USD	-64.50	
08AUG17	B	1	PD08NOV17	10655.00	10569.25	USD	-514.50	
22AUG17	S	1	PD08NOV17	11408.65	10569.25	USD	5,036.40	
	NET	**0**	**AVG BGHT 10465.83 SOLD 10590.61**				**4,491.90**	**0.00**
09AUG17	S	1	PD09NOV17	10750.00	10570.21	USD	1,078.74	
09AUG17	S	1	PD09NOV17	10735.00	10570.21	USD	988.74	
09AUG17	B	1	PD09NOV17	10790.00	10570.21	USD	-1,318.74	
09AUG17	B	1	PD09NOV17	10750.00	10570.21	USD	-1,078.74	
09AUG17	S	1	PD09NOV17	10800.00	10570.21	USD	1,378.74	
09AUG17	S	1	PD09NOV17	10805.00	10570.21	USD	1,408.74	
09AUG17	S	1	PD09NOV17	10740.00	10570.21	USD	1,018.74	
09AUG17	B	2	PD09NOV17	10770.00	10570.21	USD	-2,397.48	
22AUG17	B	1	PD09NOV17	11410.35	10570.21	USD	-5,040.84	
	NET	**0**	**AVG BGHT 10898.07 SOLD 10766.00**				**-3,962.10**	**0.00**
10AUG17	B	1	PD10NOV17	10780.00	10571.18	USD	-1,252.92	
10AUG17	S	1	PD10NOV17	10810.00	10571.18	USD	1,432.92	
10AUG17	B	2	PD10NOV17	10770.00	10571.18	USD	-2,385.84	
10AUG17	S	2	PD10NOV17	10930.00	10571.18	USD	4,305.84	
10AUG17	S	2	PD10NOV17	10940.00	10571.18	USD	4,425.84	
10AUG17	B	2	PD10NOV17	10920.00	10571.18	USD	-4,185.84	
11AUG17	S	2	PD10NOV17	10860.00	10571.18	USD	3,465.84	
11AUG17	S	2	PD10NOV17	10815.00	10571.18	USD	2,925.84	
11AUG17	S	2	PD10NOV17	10820.00	10571.18	USD	2,985.84	
11AUG17	B	2	PD10NOV17	10825.00	10571.18	USD	-3,045.84	
11AUG17	S	2	PD10NOV17	10745.00	10571.18	USD	2,085.84	
11AUG17	B	2	PD10NOV17	10770.00	10571.18	USD	-2,385.84	
11AUG17	S	2	PD10NOV17	10750.00	10571.18	USD	2,145.84	
11AUG17	B	3	PD10NOV17	10665.00	10571.18	USD	-1,688.76	
11AUG17	B	1	PD10NOV17	10670.00	10571.18	USD	-592.92	
11AUG17	S	1	PD10NOV17	10650.00	10571.18	USD	472.92	
11AUG17	B	1	PD10NOV17	10675.00	10571.18	USD	-622.92	
11AUG17	B	1	PD10NOV17	10660.00	10571.18	USD	-532.92	
22AUG17	B	1	PD10NOV17	11411.04	10571.18	USD	-5,039.16	
	NET	**0**	**AVG BGHT 10797.57 SOLD 10823.75**				**2,513.76**	**0.00**
14AUG17	S	1	PD14NOV17	10655.00	10575.04	USD	479.76	
14AUG17	B	1	PD14NOV17	10660.00	10575.04	USD	-509.76	
14AUG17	S	1	PD14NOV17	10530.00	10575.04	USD	-270.24	
14AUG17	B	1	PD14NOV17	10490.00	10575.04	USD	510.24	
14AUG17	S	1	PD14NOV17	10410.00	10575.04	USD	-990.24	
14AUG17	S	1	PD14NOV17	10440.00	10575.04	USD	-810.24	
14AUG17	B	1	PD14NOV17	10405.00	10575.04	USD	1,020.24	
22AUG17	B	1	PD14NOV17	11413.81	10575.04	USD	-5,032.62	
	NET	**0**	**AVG BGHT 10742.20 SOLD 10508.75**				**-5,602.86**	**0.00**
15AUG17	B	1	PD15NOV17	10400.00	10576.00	USD	1,056.00	
15AUG17	B	2	PD15NOV17	10375.00	10576.00	USD	2,412.00	
15AUG17	B	2	PD15NOV17	10395.00	10576.00	USD	2,172.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP094AB

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
22AUG17	S 3 PD15NOV17	11413.50	10576.00	USD	15,075.00	
22AUG17	S 2 PD15NOV17	11414.50	10576.00	USD	10,062.00	
	NET 0 AVG BGHT 10388.00 SOLD 11413.90				**30,777.00**	**0.00**
16AUG17	S 5 PD16NOV17	10565.00	10576.89	USD	-356.70	
16AUG17	S 1 PD16NOV17	10655.00	10576.89	USD	468.66	
16AUG17	B 1 PD16NOV17	10670.00	10576.89	USD	-558.66	
16AUG17	S 1 PD16NOV17	10675.00	10576.89	USD	588.66	
16AUG17	B 2 PD16NOV17	10680.00	10576.89	USD	-1,237.32	
16AUG17	B 2 PD16NOV17	10685.00	10576.89	USD	-1,297.32	
16AUG17	S 3 PD16NOV17	10730.00	10576.89	USD	2,755.98	
16AUG17	B 3 PD16NOV17	10750.00	10576.89	USD	-3,115.98	
16AUG17	B 2 PD16NOV17	10745.00	10576.89	USD	-2,017.32	
16AUG17	B 2 PD16NOV17	10730.00	10576.89	USD	-1,837.32	
16AUG17	B 2 PD16NOV17	10725.00	10576.89	USD	-1,777.32	
16AUG17	S 2 PD16NOV17	10755.00	10576.89	USD	2,137.32	
16AUG17	S 1 PD16NOV17	10810.00	10576.89	USD	1,398.66	
16AUG17	S 2 PD16NOV17	10815.00	10576.89	USD	2,857.32	
16AUG17	B 1 PD16NOV17	10765.00	10576.89	USD	-1,128.66	
16AUG17	S 1 PD16NOV17	10760.00	10576.89	USD	1,098.66	
16AUG17	B 2 PD16NOV17	10780.00	10576.89	USD	-2,437.32	
11SEP17	S 1 PD16NOV17	11748.65	10576.89	USD	7,030.56	
	NET 0 AVG BGHT 10727.94 SOLD 10753.16				**2,571.90**	**0.00**
17AUG17	B 1 PD17NOV17	10815.00	10577.77	USD	-1,423.38	
17AUG17	B 1 PD17NOV17	10820.00	10577.77	USD	-1,453.38	
17AUG17	S 3 PD17NOV17	10785.00	10577.77	USD	3,730.14	
17AUG17	S 1 PD17NOV17	10795.00	10577.77	USD	1,303.38	
17AUG17	S 2 PD17NOV17	10870.00	10577.77	USD	3,506.76	
17AUG17	S 2 PD17NOV17	10860.00	10577.77	USD	3,386.76	
17AUG17	S 1 PD17NOV17	10790.00	10577.77	USD	1,273.38	
17AUG17	S 1 PD17NOV17	10805.00	10577.77	USD	1,363.38	
17AUG17	S 1 PD17NOV17	10800.00	10577.77	USD	1,333.38	
17AUG17	B 4 PD17NOV17	10725.00	10577.77	USD	-3,533.52	
17AUG17	B 4 PD17NOV17	10750.00	10577.77	USD	-4,133.52	
17AUG17	B 1 PD17NOV17	10740.00	10577.77	USD	-973.38	
17AUG17	B 1 PD17NOV17	10800.00	10577.77	USD	-1,333.38	
17AUG17	S 1 PD17NOV17	10810.00	10577.77	USD	1,393.38	
17AUG17	S 1 PD17NOV17	10780.00	10577.77	USD	1,213.38	
17AUG17	B 3 PD17NOV17	10760.00	10577.77	USD	-3,280.14	
17AUG17	B 1 PD17NOV17	10725.00	10577.77	USD	-883.38	
17AUG17	B 3 PD17NOV17	10765.00	10577.77	USD	-3,370.14	
17AUG17	B 2 PD17NOV17	10735.00	10577.77	USD	-1,886.76	
17AUG17	S 2 PD17NOV17	10645.00	10577.77	USD	806.76	
18AUG17	S 2 PD17NOV17	10665.00	10577.77	USD	1,046.76	
11SEP17	S 4 PD17NOV17	11749.31	10577.77	USD	28,116.96	
	NET 0 AVG BGHT 10754.52 SOLD 10962.49				**26,203.44**	**0.00**
21AUG17	S 2 PD21NOV17	11090.00	10581.31	USD	6,104.28	
21AUG17	S 1 PD21NOV17	11195.00	10581.31	USD	3,682.14	
21AUG17	B 1 PD21NOV17	11315.00	10581.31	USD	-4,402.14	
21AUG17	B 1 PD21NOV17	11295.00	10581.31	USD	-4,282.14	
21AUG17	S 1 PD21NOV17	11280.00	10581.31	USD	4,192.14	
22AUG17	B 2 PD21NOV17	11415.58	10581.31	USD	-10,011.24	
	NET 0 AVG BGHT 11360.29 SOLD 11163.75				**-4,716.96**	**0.00**
22AUG17	S 1 PD22NOV17	11365.00	10582.20	USD	4,696.80	
22AUG17	S 1 PD22NOV17	11345.00	10582.20	USD	4,576.80	
22AUG17	S 2 PD22NOV17	11485.00	10582.20	USD	10,833.60	
22AUG17	B 2 PD22NOV17	11470.00	10582.20	USD	-10,653.60	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP094AB

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
22AUG17	B 1 PD22NOV17	11440.00	10582.20	USD	-5,146.80	
22AUG17	B 3 PD22NOV17	11405.00	10582.20	USD	-14,810.40	
22AUG17	S 1 PD22NOV17	11410.00	10582.20	USD	4,966.80	
22AUG17	S 3 PD22NOV17	11430.00	10582.20	USD	15,260.40	
22AUG17	S 3 PD22NOV17	11420.00	10582.20	USD	15,080.40	
22AUG17	B 1 PD22NOV17	11415.00	10582.20	USD	-4,996.80	
22AUG17	B 2 PD22NOV17	11410.00	10582.20	USD	-9,933.60	
22AUG17	S 2 PD22NOV17	11375.00	10582.20	USD	9,513.60	
22AUG17	S 2 PD22NOV17	11395.00	10582.20	USD	9,753.60	
23AUG17	B 5 PD22NOV17	11659.75	10582.20	USD	-32,326.50	
11SEP17	B 1 PD22NOV17	11753.58	10582.20	USD	-7,028.28	
	NET 0 AVG BGHT 11525.49 SOLD 11412.00				**-10,213.98**	**0.00**
23AUG17	B 2 PD24NOV17	11380.00	10583.97	USD	-9,552.36	
23AUG17	S 2 PD24NOV17	11455.00	10583.97	USD	10,452.36	
23AUG17	S 2 PD24NOV17	11425.00	10583.97	USD	10,092.36	
23AUG17	S 1 PD24NOV17	11495.00	10583.97	USD	5,466.18	
23AUG17	S 3 PD24NOV17	11580.00	10583.97	USD	17,928.54	
23AUG17	B 2 PD24NOV17	11555.00	10583.97	USD	-11,652.36	
23AUG17	B 1 PD24NOV17	11550.00	10583.97	USD	-5,796.18	
23AUG17	B 2 PD24NOV17	11585.00	10583.97	USD	-12,012.36	
23AUG17	S 2 PD24NOV17	11685.00	10583.97	USD	13,212.36	
23AUG17	B 2 PD24NOV17	11715.00	10583.97	USD	-13,572.36	
24AUG17	S 2 PD24NOV17	11750.00	10583.97	USD	13,992.36	
24AUG17	B 1 PD24NOV17	11625.00	10583.97	USD	-6,246.18	
24AUG17	S 1 PD24NOV17	11615.00	10583.97	USD	6,186.18	
24AUG17	S 1 PD24NOV17	11645.00	10583.97	USD	6,366.18	
24AUG17	B 1 PD24NOV17	11655.00	10583.97	USD	-6,426.18	
24AUG17	S 1 PD24NOV17	11770.00	10583.97	USD	7,116.18	
25AUG17	B 1 PD24NOV17	11680.00	10583.97	USD	-6,576.18	
25AUG17	B 1 PD24NOV17	11650.00	10583.97	USD	-6,396.18	
25AUG17	B 1 PD24NOV17	11665.00	10583.97	USD	-6,486.18	
25AUG17	B 1 PD24NOV17	11520.00	10583.97	USD	-5,616.18	
25AUG17	S 1 PD24NOV17	11520.00	10583.97	USD	5,616.18	
25AUG17	S 2 PD24NOV17	11550.00	10583.97	USD	11,592.36	
25AUG17	S 1 PD24NOV17	11455.00	10583.97	USD	5,226.18	
11SEP17	B 4 PD24NOV17	11754.88	10583.97	USD	-28,101.84	
	NET 0 AVG BGHT 11622.87 SOLD 11577.37				**-5,187.12**	**0.00**
29AUG17	S 4 PD29NOV17	11615.00	10588.40	USD	24,638.40	
29AUG17	S 2 PD29NOV17	11625.00	10588.40	USD	12,439.20	
29AUG17	S 2 PD29NOV17	11775.00	10588.40	USD	14,239.20	
29AUG17	S 2 PD29NOV17	11735.00	10588.40	USD	13,759.20	
29AUG17	S 1 PD29NOV17	11730.00	10588.40	USD	6,849.60	
29AUG17	S 4 PD29NOV17	11695.00	10588.40	USD	26,558.40	
29AUG17	S 1 PD29NOV17	11760.00	10588.40	USD	7,029.60	
29AUG17	S 1 PD29NOV17	11765.00	10588.40	USD	7,059.60	
29AUG17	S 1 PD29NOV17	11710.00	10588.40	USD	6,729.60	
29AUG17	S 2 PD29NOV17	11610.00	10588.40	USD	12,259.20	
29AUG17	S 5 PD29NOV17	11750.00	10588.40	USD	34,848.00	
29AUG17	S 4 PD29NOV17	11770.00	10588.40	USD	28,358.40	
29AUG17	S 9 PD29NOV17	11755.00	10588.40	USD	62,996.40	
29AUG17	S 8 PD29NOV17	11645.00	10588.40	USD	50,716.80	
29AUG17	S 5 PD29NOV17	11640.00	10588.40	USD	31,548.00	
29AUG17	S 3 PD29NOV17	11630.00	10588.40	USD	18,748.80	
29AUG17	S 4 PD29NOV17	11635.00	10588.40	USD	25,118.40	
29AUG17	S 2 PD29NOV17	11815.00	10588.40	USD	14,719.20	
29AUG17	S 3 PD29NOV17	11755.00	10588.40	USD	20,998.80	
29AUG17	S 3 PD29NOV17	11750.00	10588.40	USD	20,908.80	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP094AB

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
29AUG17	S 1 PD29NOV17	11730.00	10588.40	USD	6,849.60	
29AUG17	S 1 PD29NOV17	11705.00	10588.40	USD	6,699.60	
29AUG17	S 4 PD29NOV17	11870.00	10588.40	USD	30,758.40	
29AUG17	S 2 PD29NOV17	11875.00	10588.40	USD	15,439.20	
29AUG17	S 2 PD29NOV17	11835.00	10588.40	USD	14,959.20	
29AUG17	S 2 PD29NOV17	11840.00	10588.40	USD	15,019.20	
29AUG17	S 2 PD29NOV17	11860.00	10588.40	USD	15,259.20	
29AUG17	S 2 PD29NOV17	11810.00	10588.40	USD	14,659.20	
29AUG17	S 2 PD29NOV17	11795.00	10588.40	USD	14,479.20	
29AUG17	S 16 PD29NOV17	11805.00	10588.40	USD	116,793.60	
29AUG17	S 18 PD29NOV17	11815.00	10588.40	USD	132,472.80	
29AUG17	S 1 PD29NOV17	11825.00	10588.40	USD	7,419.60	
29AUG17	S 3 PD29NOV17	11820.00	10588.40	USD	22,168.80	
29AUG17	S 2 PD29NOV17	11865.00	10588.40	USD	15,319.20	
29AUG17	S 1 PD29NOV17	11680.00	10588.40	USD	6,549.60	
29AUG17	S 1 PD29NOV17	11715.00	10588.40	USD	6,759.60	
29AUG17	B 1 PD29NOV17	11685.00	10588.40	USD	-6,579.60	
05SEP17	B 123 PD29NOV17	12077.53	10588.40	USD	-1,098,977.94	
11SEP17	B 2 PD29NOV17	11757.15	10588.40	USD	-14,025.00	
	NET 0 AVG BGHT 12069.33 SOLD 11755.24				**-237,452.94**	**0.00**
30AUG17	B 1 PD30NOV17	11695.00	10589.29	USD	-6,634.26	
30AUG17	B 1 PD30NOV17	11720.00	10589.29	USD	-6,784.26	
30AUG17	B 1 PD30NOV17	11780.00	10589.29	USD	-7,144.26	
30AUG17	S 1 PD30NOV17	11715.00	10589.29	USD	6,754.26	
30AUG17	B 2 PD30NOV17	11610.00	10589.29	USD	-12,248.52	
30AUG17	B 2 PD30NOV17	11605.00	10589.29	USD	-12,188.52	
30AUG17	B 3 PD30NOV17	11655.00	10589.29	USD	-19,182.78	
30AUG17	B 6 PD30NOV17	11650.00	10589.29	USD	-38,185.56	
30AUG17	B 2 PD30NOV17	11645.00	10589.29	USD	-12,668.52	
30AUG17	B 5 PD30NOV17	11620.00	10589.29	USD	-30,921.30	
30AUG17	S 1 PD30NOV17	11580.00	10589.29	USD	5,944.26	
31AUG17	B 1 PD30NOV17	11620.00	10589.29	USD	-6,184.26	
31AUG17	B 2 PD30NOV17	11660.00	10589.29	USD	-12,848.52	
31AUG17	B 1 PD30NOV17	11655.00	10589.29	USD	-6,394.26	
31AUG17	B 1 PD30NOV17	11670.00	10589.29	USD	-6,484.26	
31AUG17	S 1 PD30NOV17	11720.00	10589.29	USD	6,784.26	
31AUG17	S 1 PD30NOV17	11725.00	10589.29	USD	6,814.26	
31AUG17	S 1 PD30NOV17	11820.00	10589.29	USD	7,384.26	
31AUG17	S 6 PD30NOV17	11790.00	10589.29	USD	43,225.56	
31AUG17	B 1 PD30NOV17	11765.00	10589.29	USD	-7,054.26	
31AUG17	S 9 PD30NOV17	11785.00	10589.29	USD	64,568.34	
31AUG17	S 5 PD30NOV17	11795.00	10589.29	USD	36,171.30	
31AUG17	S 1 PD30NOV17	11800.00	10589.29	USD	7,264.26	
31AUG17	S 1 PD30NOV17	11805.00	10589.29	USD	7,294.26	
31AUG17	B 1 PD30NOV17	11775.00	10589.29	USD	-7,114.26	
31AUG17	B 1 PD30NOV17	11770.00	10589.29	USD	-7,084.26	
11SEP17	S 2 PD30NOV17	11756.81	10589.29	USD	14,010.24	
11SEP17	S 2 PD30NOV17	11757.81	10589.29	USD	14,022.24	
	NET 0 AVG BGHT 11659.84 SOLD 11773.36				**21,115.44**	**0.00**
01SEP17	B 1 PD01DEC17	11775.00	10590.17	USD	-7,108.98	
01SEP17	S 1 PD01DEC17	11830.00	10590.17	USD	7,438.98	
01SEP17	S 2 PD01DEC17	12045.00	10590.17	USD	17,457.96	
01SEP17	S 2 PD01DEC17	11980.00	10590.17	USD	16,677.96	
01SEP17	B 2 PD01DEC17	11980.00	10590.17	USD	-16,677.96	
01SEP17	S 1 PD01DEC17	12015.00	10590.17	USD	8,548.98	
01SEP17	B 1 PD01DEC17	12060.00	10590.17	USD	-8,818.98	
01SEP17	S 2 PD01DEC17	12055.00	10590.17	USD	17,577.96	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP094AB

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
01SEP17	B 3 PD01DEC17	12045.00	10590.17	USD	-26,186.94	
01SEP17	B 2 PD01DEC17	12030.00	10590.17	USD	-17,277.96	
01SEP17	S 2 PD01DEC17	12040.00	10590.17	USD	17,397.96	
01SEP17	S 1 PD01DEC17	12050.00	10590.17	USD	8,758.98	
11SEP17	B 2 PD01DEC17	11759.46	10590.17	USD	-14,031.48	
	NET 0 AVG BGHT 11955.36 SOLD 12012.27				**3,756.48**	**0.00**
04SEP17	S 6 PD04DEC17	12270.00	10592.83	USD	60,378.12	
04SEP17	S 2 PD04DEC17	12275.00	10592.83	USD	20,186.04	
04SEP17	S 1 PD04DEC17	12280.00	10592.83	USD	10,123.02	
04SEP17	B 2 PD04DEC17	12285.00	10592.83	USD	-20,306.04	
04SEP17	B 2 PD04DEC17	12320.00	10592.83	USD	-20,726.04	
04SEP17	B 1 PD04DEC17	12335.00	10592.83	USD	-10,453.02	
04SEP17	B 2 PD04DEC17	12350.00	10592.83	USD	-21,086.04	
04SEP17	S 2 PD04DEC17	12295.00	10592.83	USD	20,426.04	
04SEP17	S 2 PD04DEC17	12305.00	10592.83	USD	20,546.04	
04SEP17	B 3 PD04DEC17	12310.00	10592.83	USD	-30,909.06	
04SEP17	B 3 PD04DEC17	12305.00	10592.83	USD	-30,819.06	
04SEP17	B 2 PD04DEC17	12295.00	10592.83	USD	-20,426.04	
04SEP17	B 2 PD04DEC17	12300.00	10592.83	USD	-20,486.04	
04SEP17	B 1 PD04DEC17	12240.00	10592.83	USD	-9,883.02	
04SEP17	B 1 PD04DEC17	12200.00	10592.83	USD	-9,643.02	
04SEP17	B 1 PD04DEC17	12220.00	10592.83	USD	-9,763.02	
04SEP17	S 1 PD04DEC17	12175.00	10592.83	USD	9,493.02	
04SEP17	B 3 PD04DEC17	12255.00	10592.83	USD	-29,919.06	
04SEP17	S 1 PD04DEC17	12250.00	10592.83	USD	9,943.02	
04SEP17	S 1 PD04DEC17	12265.00	10592.83	USD	10,033.02	
04SEP17	S 1 PD04DEC17	12255.00	10592.83	USD	9,973.02	
11SEP17	S 6 PD04DEC17	11760.42	10592.83	USD	42,033.24	
	NET 0 AVG BGHT 12291.52 SOLD 12137.28				**-21,284.88**	**0.00**
05SEP17	S 2 PD05DEC17	12275.00	10593.71	USD	20,175.48	
05SEP17	S 2 PD05DEC17	12265.00	10593.71	USD	20,055.48	
05SEP17	S 2 PD05DEC17	12320.00	10593.71	USD	20,715.48	
05SEP17	S 1 PD05DEC17	12225.00	10593.71	USD	9,787.74	
05SEP17	B 1 PD05DEC17	12235.00	10593.71	USD	-9,847.74	
05SEP17	B 2 PD05DEC17	12220.00	10593.71	USD	-19,515.48	
05SEP17	B 2 PD05DEC17	12215.00	10593.71	USD	-19,455.48	
05SEP17	B 1 PD05DEC17	12205.00	10593.71	USD	-9,667.74	
05SEP17	B 1 PD05DEC17	12190.00	10593.71	USD	-9,577.74	
05SEP17	B 1 PD05DEC17	12165.00	10593.71	USD	-9,427.74	
05SEP17	S 1 PD05DEC17	12240.00	10593.71	USD	9,877.74	
05SEP17	S 1 PD05DEC17	12235.00	10593.71	USD	9,847.74	
05SEP17	S 2 PD05DEC17	12255.00	10593.71	USD	19,935.48	
05SEP17	B 2 PD05DEC17	12110.00	10593.71	USD	-18,195.48	
05SEP17	S 2 PD05DEC17	12070.00	10593.71	USD	17,715.48	
05SEP17	B 2 PD05DEC17	12040.00	10593.71	USD	-17,355.48	
11SEP17	B 1 PD05DEC17	11762.08	10593.71	USD	-7,010.22	
	NET 0 AVG BGHT 12132.85 SOLD 12236.15				**8,057.52**	**0.00**
06SEP17	B 1 PD06DEC17	11990.00	10594.60	USD	-8,372.40	
06SEP17	B 2 PD06DEC17	12000.00	10594.60	USD	-16,864.80	
06SEP17	B 2 PD06DEC17	12015.00	10594.60	USD	-17,044.80	
06SEP17	B 1 PD06DEC17	12070.00	10594.60	USD	-8,852.40	
06SEP17	B 1 PD06DEC17	12065.00	10594.60	USD	-8,822.40	
06SEP17	B 1 PD06DEC17	12055.00	10594.60	USD	-8,762.40	
06SEP17	B 2 PD06DEC17	12030.00	10594.60	USD	-17,224.80	
06SEP17	S 2 PD06DEC17	11985.00	10594.60	USD	16,684.80	
06SEP17	S 1 PD06DEC17	12015.00	10594.60	USD	8,522.40	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP094AB

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
06SEP17	S 3 PD06DEC17	12070.00	10594.60	USD	26,557.20	
06SEP17	S 2 PD06DEC17	12110.00	10594.60	USD	18,184.80	
06SEP17	S 2 PD06DEC17	12130.00	10594.60	USD	18,424.80	
06SEP17	S 2 PD06DEC17	12170.00	10594.60	USD	18,904.80	
11SEP17	B 2 PD06DEC17	11762.73	10594.60	USD	-14,017.56	
	NET 0 AVG BGHT 11982.96 SOLD 12084.58				**7,317.24**	**0.00**
07SEP17	B 2 PD07DEC17	12225.00	10595.49	USD	-19,554.12	
07SEP17	B 1 PD07DEC17	12230.00	10595.49	USD	-9,807.06	
07SEP17	S 1 PD07DEC17	12225.00	10595.49	USD	9,777.06	
07SEP17	B 1 PD07DEC17	12180.00	10595.49	USD	-9,507.06	
07SEP17	S 1 PD07DEC17	12180.00	10595.49	USD	9,507.06	
07SEP17	B 1 PD07DEC17	12155.00	10595.49	USD	-9,357.06	
07SEP17	B 1 PD07DEC17	12110.00	10595.49	USD	-9,087.06	
07SEP17	B 1 PD07DEC17	12150.00	10595.49	USD	-9,327.06	
07SEP17	S 2 PD07DEC17	12015.00	10595.49	USD	17,034.12	
07SEP17	B 2 PD07DEC17	12070.00	10595.49	USD	-17,694.12	
07SEP17	S 1 PD07DEC17	12135.00	10595.49	USD	9,237.06	
07SEP17	B 3 PD07DEC17	12160.00	10595.49	USD	-28,161.18	
07SEP17	S 1 PD07DEC17	12145.00	10595.49	USD	9,297.06	
07SEP17	S 1 PD07DEC17	12170.00	10595.49	USD	9,447.06	
07SEP17	B 2 PD07DEC17	12200.00	10595.49	USD	-19,254.12	
07SEP17	S 1 PD07DEC17	12145.00	10595.49	USD	9,297.06	
14SEP17	S 6 PD07DEC17	11194.45	10595.49	USD	21,562.56	
	NET 0 AVG BGHT 12163.93 SOLD 11728.34				**-36,589.80**	**0.00**
08SEP17	S 2 PD08DEC17	12285.00	10596.37	USD	20,263.56	
08SEP17	S 1 PD08DEC17	12265.00	10596.37	USD	10,011.78	
08SEP17	B 3 PD08DEC17	12330.00	10596.37	USD	-31,205.34	
08SEP17	B 1 PD08DEC17	12320.00	10596.37	USD	-10,341.78	
08SEP17	B 3 PD08DEC17	12315.00	10596.37	USD	-30,935.34	
08SEP17	S 1 PD08DEC17	12180.00	10596.37	USD	9,501.78	
08SEP17	S 2 PD08DEC17	12175.00	10596.37	USD	18,943.56	
08SEP17	S 2 PD08DEC17	12160.00	10596.37	USD	18,763.56	
08SEP17	S 1 PD08DEC17	12130.00	10596.37	USD	9,201.78	
08SEP17	S 2 PD08DEC17	12100.00	10596.37	USD	18,043.56	
08SEP17	S 1 PD08DEC17	12095.00	10596.37	USD	8,991.78	
08SEP17	S 2 PD08DEC17	12070.00	10596.37	USD	17,683.56	
08SEP17	S 2 PD08DEC17	11935.00	10596.37	USD	16,063.56	
08SEP17	S 2 PD08DEC17	11965.00	10596.37	USD	16,423.56	
08SEP17	B 2 PD08DEC17	11980.00	10596.37	USD	-16,603.56	
08SEP17	B 2 PD08DEC17	11935.00	10596.37	USD	-16,063.56	
08SEP17	S 1 PD08DEC17	12150.00	10596.37	USD	9,321.78	
08SEP17	S 2 PD08DEC17	11855.00	10596.37	USD	15,103.56	
08SEP17	S 1 PD08DEC17	11860.00	10596.37	USD	7,581.78	
08SEP17	S 2 PD08DEC17	11850.00	10596.37	USD	15,043.56	
08SEP17	S 1 PD08DEC17	11845.00	10596.37	USD	7,491.78	
08SEP17	S 1 PD08DEC17	11840.00	10596.37	USD	7,461.78	
08SEP17	S 1 PD08DEC17	11805.00	10596.37	USD	7,251.78	
08SEP17	B 2 PD08DEC17	11775.00	10596.37	USD	-14,143.56	
08SEP17	B 2 PD08DEC17	11740.00	10596.37	USD	-13,723.56	
08SEP17	B 2 PD08DEC17	11705.00	10596.37	USD	-13,303.56	
08SEP17	B 1 PD08DEC17	11765.00	10596.37	USD	-7,011.78	
08SEP17	B 2 PD08DEC17	11720.00	10596.37	USD	-13,483.56	
08SEP17	B 2 PD08DEC17	11715.00	10596.37	USD	-13,423.56	
08SEP17	S 4 PD08DEC17	11750.00	10596.37	USD	27,687.12	
08SEP17	S 2 PD08DEC17	11720.00	10596.37	USD	13,483.56	
08SEP17	B 2 PD08DEC17	11730.00	10596.37	USD	-13,603.56	
08SEP17	B 2 PD08DEC17	11685.00	10596.37	USD	-13,063.56	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP094AB

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
08SEP17	B 2 PD08DEC17	11510.00	10596.37	USD	-10,963.56	
08SEP17	B 1 PD08DEC17	11505.00	10596.37	USD	-5,451.78	
08SEP17	S 2 PD08DEC17	11550.00	10596.37	USD	11,443.56	
08SEP17	S 2 PD08DEC17	11590.00	10596.37	USD	11,923.56	
11SEP17	B 3 PD08DEC17	11764.04	10596.37	USD	-21,018.06	
14SEP17	B 5 PD08DEC17	11196.24	10596.37	USD	-17,996.10	
	NET 0 AVG BGHT 11778.06 SOLD 11937.30				**35,350.08**	**0.00**
11SEP17	B 2 PD11DEC17	11700.00	10599.03	USD	-13,211.64	
11SEP17	B 1 PD11DEC17	11705.00	10599.03	USD	-6,635.82	
11SEP17	B 1 PD11DEC17	11665.00	10599.03	USD	-6,395.82	
11SEP17	S 3 PD11DEC17	11755.00	10599.03	USD	20,807.46	
11SEP17	B 3 PD11DEC17	11755.00	10599.03	USD	-20,807.46	
11SEP17	S 3 PD11DEC17	11790.00	10599.03	USD	21,437.46	
11SEP17	S 1 PD11DEC17	11795.00	10599.03	USD	7,175.82	
11SEP17	S 1 PD11DEC17	11820.00	10599.03	USD	7,325.82	
11SEP17	B 1 PD11DEC17	11810.00	10599.03	USD	-7,265.82	
	NET 0 AVG BGHT 11730.63 SOLD 11781.25				**2,430.00**	**0.00**
12SEP17	S 2 PD12DEC17	11740.00	10599.91	USD	13,681.08	
12SEP17	B 3 PD12DEC17	11665.00	10599.91	USD	-19,171.62	
12SEP17	S 1 PD12DEC17	11635.00	10599.91	USD	6,210.54	
12SEP17	B 4 PD12DEC17	11670.00	10599.91	USD	-25,682.16	
12SEP17	B 1 PD12DEC17	11635.00	10599.91	USD	-6,210.54	
12SEP17	S 3 PD12DEC17	11680.00	10599.91	USD	19,441.62	
12SEP17	B 1 PD12DEC17	11660.00	10599.91	USD	-6,360.54	
12SEP17	B 1 PD12DEC17	11725.00	10599.91	USD	-6,750.54	
12SEP17	B 1 PD12DEC17	11730.00	10599.91	USD	-6,780.54	
12SEP17	B 1 PD12DEC17	11700.00	10599.91	USD	-6,600.54	
12SEP17	S 1 PD12DEC17	11690.00	10599.91	USD	6,540.54	
12SEP17	S 1 PD12DEC17	11710.00	10599.91	USD	6,660.54	
12SEP17	B 1 PD12DEC17	11740.00	10599.91	USD	-6,840.54	
12SEP17	S 1 PD12DEC17	11775.00	10599.91	USD	7,050.54	
12SEP17	S 1 PD12DEC17	11760.00	10599.91	USD	6,960.54	
12SEP17	S 1 PD12DEC17	11850.00	10599.91	USD	7,500.54	
12SEP17	S 3 PD12DEC17	11885.00	10599.91	USD	23,131.62	
12SEP17	S 1 PD12DEC17	11900.00	10599.91	USD	7,800.54	
12SEP17	B 2 PD12DEC17	11885.00	10599.91	USD	-15,421.08	
12SEP17	S 2 PD12DEC17	11905.00	10599.91	USD	15,661.08	
12SEP17	S 2 PD12DEC17	11910.00	10599.91	USD	15,721.08	
12SEP17	S 2 PD12DEC17	11970.00	10599.91	USD	16,441.08	
12SEP17	S 1 PD12DEC17	11965.00	10599.91	USD	8,190.54	
12SEP17	S 2 PD12DEC17	11950.00	10599.91	USD	16,201.08	
12SEP17	S 2 PD12DEC17	11955.00	10599.91	USD	16,261.08	
12SEP17	B 2 PD12DEC17	11920.00	10599.91	USD	-15,841.08	
14SEP17	B 8 PD12DEC17	11198.41	10599.91	USD	-28,728.00	
14SEP17	B 1 PD12DEC17	11199.41	10599.91	USD	-3,597.00	
	NET 0 AVG BGHT 11548.53 SOLD 11840.00				**45,469.86**	**0.00**
13SEP17	B 3 PD13DEC17	11885.00	10600.80	USD	-23,115.60	
13SEP17	B 1 PD13DEC17	11890.00	10600.80	USD	-7,735.20	
13SEP17	S 2 PD13DEC17	11855.00	10600.80	USD	15,050.40	
13SEP17	B 1 PD13DEC17	11840.00	10600.80	USD	-7,435.20	
13SEP17	B 1 PD13DEC17	11800.00	10600.80	USD	-7,195.20	
13SEP17	B 4 PD13DEC17	11815.00	10600.80	USD	-29,140.80	
13SEP17	B 1 PD13DEC17	11740.00	10600.80	USD	-6,835.20	
13SEP17	B 1 PD13DEC17	11580.00	10600.80	USD	-5,875.20	
13SEP17	B 1 PD13DEC17	11575.00	10600.80	USD	-5,845.20	
13SEP17	B 2 PD13DEC17	11465.00	10600.80	USD	-10,370.40	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP094AB

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
13SEP17	B	1	PD13DEC17	11455.00	10600.80	USD	-5,125.20	
13SEP17	S	1	PD13DEC17	11415.00	10600.80	USD	4,885.20	
13SEP17	B	2	PD13DEC17	11370.00	10600.80	USD	-9,230.40	
13SEP17	S	1	PD13DEC17	11350.00	10600.80	USD	4,495.20	
13SEP17	S	1	PD13DEC17	11360.00	10600.80	USD	4,555.20	
13SEP17	B	1	PD13DEC17	11395.00	10600.80	USD	-4,765.20	
13SEP17	B	1	PD13DEC17	11365.00	10600.80	USD	-4,585.20	
13SEP17	B	1	PD13DEC17	11580.00	10600.80	USD	-5,875.20	
13SEP17	B	1	PD13DEC17	11445.00	10600.80	USD	-5,065.20	
13SEP17	B	1	PD13DEC17	11430.00	10600.80	USD	-4,975.20	
13SEP17	B	1	PD13DEC17	11380.00	10600.80	USD	-4,675.20	
13SEP17	B	1	PD13DEC17	11385.00	10600.80	USD	-4,705.20	
13SEP17	S	2	PD13DEC17	11410.00	10600.80	USD	9,710.40	
14SEP17	S	8	PD13DEC17	11198.21	10600.80	USD	28,675.68	
19SEP17	S	10	PD13DEC17	11135.41	10600.80	USD	32,076.60	
	NET	**0**	**AVG BGHT 11617.80 SOLD 11263.79**				**-53,101.32**	**0.00**
14SEP17	S	1	PD14DEC17	11435.00	10601.69	USD	4,999.86	
14SEP17	S	2	PD14DEC17	11470.00	10601.69	USD	10,419.72	
14SEP17	S	1	PD14DEC17	11500.00	10601.69	USD	5,389.86	
14SEP17	S	1	PD14DEC17	11490.00	10601.69	USD	5,329.86	
14SEP17	S	2	PD14DEC17	11475.00	10601.69	USD	10,479.72	
14SEP17	S	3	PD14DEC17	11455.00	10601.69	USD	15,359.58	
14SEP17	B	1	PD14DEC17	11240.00	10601.69	USD	-3,829.86	
14SEP17	S	1	PD14DEC17	11270.00	10601.69	USD	4,009.86	
14SEP17	S	1	PD14DEC17	11245.00	10601.69	USD	3,859.86	
14SEP17	B	1	PD14DEC17	11165.00	10601.69	USD	-3,379.86	
14SEP17	B	2	PD14DEC17	11180.00	10601.69	USD	-6,939.72	
14SEP17	B	3	PD14DEC17	11185.00	10601.69	USD	-10,499.58	
14SEP17	B	1	PD14DEC17	11190.00	10601.69	USD	-3,529.86	
14SEP17	S	1	PD14DEC17	11455.00	10601.69	USD	5,119.86	
14SEP17	S	1	PD14DEC17	11270.00	10601.69	USD	4,009.86	
14SEP17	S	1	PD14DEC17	11245.00	10601.69	USD	3,859.86	
14SEP17	S	1	PD14DEC17	11275.00	10601.69	USD	4,039.86	
14SEP17	S	4	PD14DEC17	11280.00	10601.69	USD	16,279.44	
14SEP17	S	1	PD14DEC17	11290.00	10601.69	USD	4,129.86	
14SEP17	S	7	PD14DEC17	11300.00	10601.69	USD	29,329.02	
14SEP17	S	1	PD14DEC17	11305.00	10601.69	USD	4,219.86	
14SEP17	S	7	PD14DEC17	11260.00	10601.69	USD	27,649.02	
14SEP17	S	2	PD14DEC17	11265.00	10601.69	USD	7,959.72	
14SEP17	B	2	PD14DEC17	11275.00	10601.69	USD	-8,079.72	
14SEP17	B	1	PD14DEC17	11205.00	10601.69	USD	-3,619.86	
14SEP17	S	1	PD14DEC17	11255.00	10601.69	USD	3,919.86	
14SEP17	B	5	PD14DEC17	11200.00	10601.69	USD	-17,949.30	
14SEP17	B	2	PD14DEC17	11220.00	10601.69	USD	-7,419.72	
19SEP17	B	10	PD14DEC17	11137.18	10601.69	USD	-32,129.40	
19SEP17	B	11	PD14DEC17	11136.18	10601.69	USD	-35,276.34	
	NET	**0**	**AVG BGHT 11168.58 SOLD 11329.74**				**37,711.32**	**0.00**
15SEP17	B	1	PD15DEC17	11220.00	10602.57	USD	-3,704.58	
15SEP17	B	1	PD15DEC17	11255.00	10602.57	USD	-3,914.58	
15SEP17	B	2	PD15DEC17	11170.00	10602.57	USD	-6,809.16	
15SEP17	S	2	PD15DEC17	11150.00	10602.57	USD	6,569.16	
15SEP17	S	1	PD15DEC17	11180.00	10602.57	USD	3,464.58	
15SEP17	S	1	PD15DEC17	11130.00	10602.57	USD	3,164.58	
15SEP17	B	2	PD15DEC17	11160.00	10602.57	USD	-6,689.16	
15SEP17	S	2	PD15DEC17	11010.00	10602.57	USD	4,889.16	
15SEP17	S	1	PD15DEC17	10970.00	10602.57	USD	2,204.58	
15SEP17	S	1	PD15DEC17	10880.00	10602.57	USD	1,664.58	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP094AB

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
15SEP17	S 1 PD15DEC17	11025.00	10602.57	USD	2,534.58	
15SEP17	S 3 PD15DEC17	11050.00	10602.57	USD	8,053.74	
15SEP17	B 3 PD15DEC17	11105.00	10602.57	USD	-9,043.74	
15SEP17	B 1 PD15DEC17	11095.00	10602.57	USD	-2,954.58	
15SEP17	S 1 PD15DEC17	11090.00	10602.57	USD	2,924.58	
15SEP17	S 2 PD15DEC17	10995.00	10602.57	USD	4,709.16	
15SEP17	S 2 PD15DEC17	11000.00	10602.57	USD	4,769.16	
19SEP17	B 7 PD15DEC17	11136.94	10602.57	USD	-22,443.54	
	NET 0 AVG BGHT 11147.27 SOLD 11043.24				**-10,611.48**	**0.00**
18SEP17	B 1 PD18DEC17	11195.00	10605.23	USD	-3,538.62	
18SEP17	B 1 PD18DEC17	11225.00	10605.23	USD	-3,718.62	
18SEP17	S 1 PD18DEC17	11200.00	10605.23	USD	3,568.62	
18SEP17	B 1 PD18DEC17	11110.00	10605.23	USD	-3,028.62	
18SEP17	B 1 PD18DEC17	11205.00	10605.23	USD	-3,598.62	
18SEP17	B 1 PD18DEC17	11105.00	10605.23	USD	-2,998.62	
18SEP17	B 3 PD18DEC17	11115.00	10605.23	USD	-9,175.86	
18SEP17	B 1 PD18DEC17	11165.00	10605.23	USD	-3,358.62	
18SEP17	S 2 PD18DEC17	11165.00	10605.23	USD	6,717.24	
18SEP17	B 1 PD18DEC17	11170.00	10605.23	USD	-3,388.62	
18SEP17	S 1 PD18DEC17	11160.00	10605.23	USD	3,328.62	
18SEP17	S 2 PD18DEC17	11215.00	10605.23	USD	7,317.24	
18SEP17	S 1 PD18DEC17	11205.00	10605.23	USD	3,598.62	
18SEP17	B 2 PD18DEC17	11150.00	10605.23	USD	-6,537.24	
18SEP17	S 2 PD18DEC17	11215.00	10605.23	USD	7,317.24	
18SEP17	S 1 PD18DEC17	11175.00	10605.23	USD	3,418.62	
18SEP17	S 4 PD18DEC17	11185.00	10605.23	USD	13,914.48	
18SEP17	B 5 PD18DEC17	11190.00	10605.23	USD	-17,543.10	
19SEP17	S 3 PD18DEC17	11138.24	10605.23	USD	9,594.18	
	NET 0 AVG BGHT 11162.94 SOLD 11181.45				**1,888.32**	**0.00**
19SEP17	S 1 PD19DEC17	11225.00	10606.11	USD	3,713.34	
19SEP17	B 1 PD19DEC17	11215.00	10606.11	USD	-3,653.34	
19SEP17	B 2 PD19DEC17	11150.00	10606.11	USD	-6,526.68	
19SEP17	B 1 PD19DEC17	11145.00	10606.11	USD	-3,233.34	
19SEP17	B 2 PD19DEC17	11140.00	10606.11	USD	-6,406.68	
19SEP17	B 1 PD19DEC17	11100.00	10606.11	USD	-2,963.34	
19SEP17	B 1 PD19DEC17	11095.00	10606.11	USD	-2,933.34	
19SEP17	B 1 PD19DEC17	11090.00	10606.11	USD	-2,903.34	
19SEP17	B 4 PD19DEC17	10995.00	10606.11	USD	-9,333.36	
19SEP17	B 2 PD19DEC17	11155.00	10606.11	USD	-6,586.68	
19SEP17	B 2 PD19DEC17	11145.00	10606.11	USD	-6,466.68	
19SEP17	B 1 PD19DEC17	11110.00	10606.11	USD	-3,023.34	
19SEP17	B 2 PD19DEC17	10990.00	10606.11	USD	-4,606.68	
19SEP17	B 2 PD19DEC17	10985.00	10606.11	USD	-4,546.68	
19SEP17	B 4 PD19DEC17	10980.00	10606.11	USD	-8,973.36	
19SEP17	B 2 PD19DEC17	11030.00	10606.11	USD	-5,086.68	
19SEP17	B 4 PD19DEC17	11020.00	10606.11	USD	-9,933.36	
19SEP17	B 3 PD19DEC17	11005.00	10606.11	USD	-7,180.02	
19SEP17	B 1 PD19DEC17	11000.00	10606.11	USD	-2,363.34	
19SEP17	S 1 PD19DEC17	11020.00	10606.11	USD	2,483.34	
19SEP17	S 1 PD19DEC17	11065.00	10606.11	USD	2,753.34	
19SEP17	B 3 PD19DEC17	11025.00	10606.11	USD	-7,540.02	
19SEP17	B 1 PD19DEC17	11110.00	10606.11	USD	-3,023.34	
19SEP17	S 3 PD19DEC17	11210.00	10606.11	USD	10,870.02	
19SEP17	S 1 PD19DEC17	11180.00	10606.11	USD	3,443.34	
19SEP17	S 15 PD19DEC17	11139.00	10606.11	USD	47,960.10	
21SEP17	S 18 PD19DEC17	11003.67	10606.11	USD	42,936.48	
	NET 0 AVG BGHT 11053.13 SOLD 11081.78				**6,876.36**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP094AB

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
20SEP17	B 2 PD20DEC17	11280.00	10607.00	USD	-8,076.00	
20SEP17	B 1 PD20DEC17	11385.00	10607.00	USD	-4,668.00	
20SEP17	S 1 PD20DEC17	11380.00	10607.00	USD	4,638.00	
20SEP17	S 5 PD20DEC17	11360.00	10607.00	USD	22,590.00	
20SEP17	S 8 PD20DEC17	11355.00	10607.00	USD	35,904.00	
20SEP17	S 2 PD20DEC17	11310.00	10607.00	USD	8,436.00	
20SEP17	S 3 PD20DEC17	11330.00	10607.00	USD	13,014.00	
20SEP17	S 3 PD20DEC17	11335.00	10607.00	USD	13,104.00	
20SEP17	S 4 PD20DEC17	11345.00	10607.00	USD	17,712.00	
20SEP17	S 4 PD20DEC17	11350.00	10607.00	USD	17,832.00	
20SEP17	S 1 PD20DEC17	11330.00	10607.00	USD	4,338.00	
20SEP17	S 1 PD20DEC17	11340.00	10607.00	USD	4,398.00	
21SEP17	B 18 PD20DEC17	11005.50	10607.00	USD	-43,038.00	
29SEP17	B 11 PD20DEC17	10489.50	10607.00	USD	7,755.00	
	NET 0 AVG BGHT 10857.14 SOLD 11346.41				**93,939.00**	**0.00**
21SEP17	B 2 PD21DEC17	11200.00	10608.00	USD	-7,104.00	
21SEP17	B 3 PD21DEC17	11205.00	10608.00	USD	-10,746.00	
21SEP17	B 3 PD21DEC17	11210.00	10608.00	USD	-10,836.00	
21SEP17	S 1 PD21DEC17	11110.00	10608.00	USD	3,012.00	
21SEP17	S 4 PD21DEC17	11100.00	10608.00	USD	11,808.00	
21SEP17	S 2 PD21DEC17	11095.00	10608.00	USD	5,844.00	
21SEP17	B 2 PD21DEC17	11080.00	10608.00	USD	-5,664.00	
21SEP17	B 1 PD21DEC17	11160.00	10608.00	USD	-3,312.00	
21SEP17	B 1 PD21DEC17	11110.00	10608.00	USD	-3,012.00	
21SEP17	B 6 PD21DEC17	11085.00	10608.00	USD	-17,172.00	
21SEP17	S 3 PD21DEC17	11025.00	10608.00	USD	7,506.00	
21SEP17	S 1 PD21DEC17	11105.00	10608.00	USD	2,982.00	
21SEP17	B 6 PD21DEC17	11010.00	10608.00	USD	-14,472.00	
21SEP17	B 4 PD21DEC17	11000.00	10608.00	USD	-9,408.00	
21SEP17	B 4 PD21DEC17	10930.00	10608.00	USD	-7,728.00	
21SEP17	B 2 PD21DEC17	10925.00	10608.00	USD	-3,804.00	
21SEP17	B 1 PD21DEC17	10955.00	10608.00	USD	-2,082.00	
21SEP17	B 3 PD21DEC17	10950.00	10608.00	USD	-6,156.00	
21SEP17	B 1 PD21DEC17	10985.00	10608.00	USD	-2,262.00	
21SEP17	B 2 PD21DEC17	10980.00	10608.00	USD	-4,464.00	
21SEP17	B 1 PD21DEC17	10990.00	10608.00	USD	-2,292.00	
21SEP17	B 7 PD21DEC17	11005.00	10608.00	USD	-16,674.00	
21SEP17	B 1 PD21DEC17	11030.00	10608.00	USD	-2,532.00	
21SEP17	B 1 PD21DEC17	11025.00	10608.00	USD	-2,502.00	
21SEP17	B 2 PD21DEC17	11070.00	10608.00	USD	-5,544.00	
21SEP17	B 1 PD21DEC17	11135.00	10608.00	USD	-3,162.00	
21SEP17	B 2 PD21DEC17	11140.00	10608.00	USD	-6,384.00	
21SEP17	S 2 PD21DEC17	11090.00	10608.00	USD	5,784.00	
21SEP17	B 8 PD21DEC17	11105.00	10608.00	USD	-23,856.00	
21SEP17	B 3 PD21DEC17	11095.00	10608.00	USD	-8,766.00	
21SEP17	B 3 PD21DEC17	11090.00	10608.00	USD	-8,676.00	
21SEP17	S 1 PD21DEC17	10890.00	10608.00	USD	1,692.00	
21SEP17	S 1 PD21DEC17	10755.00	10608.00	USD	882.00	
21SEP17	S 1 PD21DEC17	10720.00	10608.00	USD	672.00	
21SEP17	B 4 PD21DEC17	11110.00	10608.00	USD	-12,048.00	
21SEP17	S 4 PD21DEC17	10830.00	10608.00	USD	5,328.00	
21SEP17	S 1 PD21DEC17	10755.00	10608.00	USD	882.00	
28SEP17	S 7 PD21DEC17	10431.00	10608.00	USD	-7,434.00	
29SEP17	S 11 PD21DEC17	10489.50	10608.00	USD	-7,821.00	
29SEP17	S 3 PD21DEC17	10490.50	10608.00	USD	-2,115.00	
	NET +32 AVG BGHT 11059.93 SOLD 10723.17				**-171,636.00**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP094AB

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
22SEP17	B 1 PD22DEC17	10745.00	10609.00	USD	-816.00	
22SEP17	B 1 PD22DEC17	10790.00	10609.00	USD	-1,086.00	
22SEP17	B 8 PD22DEC17	10785.00	10609.00	USD	-8,448.00	
22SEP17	B 2 PD22DEC17	10795.00	10609.00	USD	-2,232.00	
22SEP17	S 2 PD22DEC17	10710.00	10609.00	USD	1,212.00	
22SEP17	S 2 PD22DEC17	10715.00	10609.00	USD	1,272.00	
22SEP17	S 4 PD22DEC17	10695.00	10609.00	USD	2,064.00	
22SEP17	S 2 PD22DEC17	10675.00	10609.00	USD	792.00	
22SEP17	S 2 PD22DEC17	10680.00	10609.00	USD	852.00	
22SEP17	B 2 PD22DEC17	10690.00	10609.00	USD	-972.00	
22SEP17	B 2 PD22DEC17	10680.00	10609.00	USD	-852.00	
22SEP17	B 1 PD22DEC17	10655.00	10609.00	USD	-276.00	
22SEP17	B 3 PD22DEC17	10640.00	10609.00	USD	-558.00	
22SEP17	B 1 PD22DEC17	10600.00	10609.00	USD	54.00	
22SEP17	B 1 PD22DEC17	10595.00	10609.00	USD	84.00	
22SEP17	S 2 PD22DEC17	10655.00	10609.00	USD	552.00	
22SEP17	S 1 PD22DEC17	10610.00	10609.00	USD	6.00	
22SEP17	S 3 PD22DEC17	10605.00	10609.00	USD	-72.00	
22SEP17	B 2 PD22DEC17	10630.00	10609.00	USD	-252.00	
22SEP17	S 6 PD22DEC17	10620.00	10609.00	USD	396.00	
22SEP17	S 2 PD22DEC17	10575.00	10609.00	USD	-408.00	
22SEP17	S 1 PD22DEC17	10555.00	10609.00	USD	-324.00	
22SEP17	S 1 PD22DEC17	10550.00	10609.00	USD	-354.00	
22SEP17	B 1 PD22DEC17	10515.00	10609.00	USD	564.00	
22SEP17	B 1 PD22DEC17	10545.00	10609.00	USD	384.00	
22SEP17	B 2 PD22DEC17	10530.00	10609.00	USD	948.00	
22SEP17	B 2 PD22DEC17	10550.00	10609.00	USD	708.00	
22SEP17	S 2 PD22DEC17	10515.00	10609.00	USD	-1,128.00	
22SEP17	B 4 PD22DEC17	10475.00	10609.00	USD	3,216.00	
22SEP17	B 2 PD22DEC17	10495.00	10609.00	USD	1,368.00	
22SEP17	S 1 PD22DEC17	10450.00	10609.00	USD	-954.00	
22SEP17	B 2 PD22DEC17	10480.00	10609.00	USD	1,548.00	
22SEP17	B 2 PD22DEC17	10470.00	10609.00	USD	1,668.00	
22SEP17	B 2 PD22DEC17	10460.00	10609.00	USD	1,788.00	
22SEP17	B 5 PD22DEC17	10455.00	10609.00	USD	4,620.00	
22SEP17	B 2 PD22DEC17	10440.00	10609.00	USD	2,028.00	
22SEP17	S 2 PD22DEC17	10520.00	10609.00	USD	-1,068.00	
22SEP17	S 2 PD22DEC17	10495.00	10609.00	USD	-1,368.00	
22SEP17	S 6 PD22DEC17	10510.00	10609.00	USD	-3,564.00	
22SEP17	S 6 PD22DEC17	10540.00	10609.00	USD	-2,484.00	
	NET +2 AVG BGHT 10597.14 SOLD 10592.77				**-1,092.00**	**0.00**
25SEP17	B 2 PD27DEC17	10490.00	10613.58	USD	1,482.96	
25SEP17	S 2 PD27DEC17	10625.00	10613.58	USD	137.04	
25SEP17	S 4 PD27DEC17	10610.00	10613.58	USD	-85.92	
25SEP17	B 4 PD27DEC17	10505.00	10613.58	USD	2,605.92	
25SEP17	B 2 PD27DEC17	10530.00	10613.58	USD	1,002.96	
25SEP17	B 2 PD27DEC17	10535.00	10613.58	USD	942.96	
25SEP17	B 4 PD27DEC17	10520.00	10613.58	USD	2,245.92	
25SEP17	B 2 PD27DEC17	10495.00	10613.58	USD	1,422.96	
25SEP17	B 7 PD27DEC17	10525.00	10613.58	USD	3,720.36	
25SEP17	S 3 PD27DEC17	10490.00	10613.58	USD	-2,224.44	
25SEP17	S 4 PD27DEC17	10505.00	10613.58	USD	-2,605.92	
25SEP17	S 2 PD27DEC17	10515.00	10613.58	USD	-1,182.96	
25SEP17	S 4 PD27DEC17	10550.00	10613.58	USD	-1,525.92	
25SEP17	S 2 PD27DEC17	10565.00	10613.58	USD	-582.96	
25SEP17	S 2 PD27DEC17	10570.00	10613.58	USD	-522.96	
25SEP17	B 2 PD27DEC17	10575.00	10613.58	USD	462.96	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP094AB

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
25SEP17	B 2 PD27DEC17	10625.00	10613.58	USD	-137.04	
25SEP17	B 2 PD27DEC17	10600.00	10613.58	USD	162.96	
25SEP17	B 2 PD27DEC17	10635.00	10613.58	USD	-257.04	
25SEP17	S 4 PD27DEC17	10605.00	10613.58	USD	-205.92	
25SEP17	S 1 PD27DEC17	10575.00	10613.58	USD	-231.48	
25SEP17	B 2 PD27DEC17	10590.00	10613.58	USD	282.96	
25SEP17	B 2 PD27DEC17	10585.00	10613.58	USD	342.96	
25SEP17	B 1 PD27DEC17	10640.00	10613.58	USD	-158.52	
26SEP17	S 1 PD27DEC17	10580.00	10613.58	USD	-201.48	
26SEP17	B 3 PD27DEC17	10615.00	10613.58	USD	-25.56	
26SEP17	S 2 PD27DEC17	10645.00	10613.58	USD	377.04	
26SEP17	S 1 PD27DEC17	10665.00	10613.58	USD	308.52	
26SEP17	S 4 PD27DEC17	10660.00	10613.58	USD	1,114.08	
26SEP17	B 2 PD27DEC17	10630.00	10613.58	USD	-197.04	
26SEP17	S 6 PD27DEC17	10555.00	10613.58	USD	-2,108.88	
26SEP17	S 4 PD27DEC17	10570.00	10613.58	USD	-1,045.92	
26SEP17	S 2 PD27DEC17	10565.00	10613.58	USD	-582.96	
26SEP17	S 1 PD27DEC17	10660.00	10613.58	USD	278.52	
26SEP17	B 1 PD27DEC17	10435.00	10613.58	USD	1,071.48	
26SEP17	B 2 PD27DEC17	10485.00	10613.58	USD	1,542.96	
26SEP17	B 4 PD27DEC17	10490.00	10613.58	USD	2,965.92	
26SEP17	B 2 PD27DEC17	10465.00	10613.58	USD	1,782.96	
26SEP17	B 8 PD27DEC17	10475.00	10613.58	USD	6,651.84	
26SEP17	B 2 PD27DEC17	10470.00	10613.58	USD	1,722.96	
26SEP17	B 1 PD27DEC17	10450.00	10613.58	USD	981.48	
26SEP17	S 1 PD27DEC17	10455.00	10613.58	USD	-951.48	
26SEP17	B 1 PD27DEC17	10445.00	10613.58	USD	1,011.48	
26SEP17	B 6 PD27DEC17	10440.00	10613.58	USD	6,248.88	
26SEP17	S 1 PD27DEC17	10440.00	10613.58	USD	-1,041.48	
26SEP17	B 1 PD27DEC17	10540.00	10613.58	USD	441.48	
26SEP17	B 1 PD27DEC17	10525.00	10613.58	USD	531.48	
26SEP17	B 2 PD27DEC17	10510.00	10613.58	USD	1,242.96	
26SEP17	B 2 PD27DEC17	10500.00	10613.58	USD	1,362.96	
26SEP17	S 1 PD27DEC17	10510.00	10613.58	USD	-621.48	
26SEP17	B 5 PD27DEC17	10480.00	10613.58	USD	4,007.40	
26SEP17	S 2 PD27DEC17	10495.00	10613.58	USD	-1,422.96	
26SEP17	S 3 PD27DEC17	10490.00	10613.58	USD	-2,224.44	
26SEP17	S 5 PD27DEC17	10485.00	10613.58	USD	-3,857.40	
27SEP17	S 2 PD27DEC17	10470.00	10613.58	USD	-1,722.96	
27SEP17	S 2 PD27DEC17	10465.00	10613.58	USD	-1,782.96	
27SEP17	S 1 PD27DEC17	10480.00	10613.58	USD	-801.48	
27SEP17	S 2 PD27DEC17	10505.00	10613.58	USD	-1,302.96	
27SEP17	S 1 PD27DEC17	10515.00	10613.58	USD	-591.48	
27SEP17	S 1 PD27DEC17	10555.00	10613.58	USD	-351.48	
27SEP17	S 1 PD27DEC17	10595.00	10613.58	USD	-111.48	
27SEP17	B 1 PD27DEC17	10555.00	10613.58	USD	351.48	
27SEP17	S 2 PD27DEC17	10460.00	10613.58	USD	-1,842.96	
27SEP17	S 1 PD27DEC17	10485.00	10613.58	USD	-771.48	
27SEP17	S 3 PD27DEC17	10530.00	10613.58	USD	-1,504.44	
27SEP17	S 1 PD27DEC17	10535.00	10613.58	USD	-471.48	
27SEP17	S 2 PD27DEC17	10540.00	10613.58	USD	-882.96	
27SEP17	S 1 PD27DEC17	10560.00	10613.58	USD	-321.48	
27SEP17	B 2 PD27DEC17	10500.00	10613.58	USD	1,362.96	
27SEP17	B 1 PD27DEC17	10495.00	10613.58	USD	711.48	
27SEP17	B 2 PD27DEC17	10460.00	10613.58	USD	1,842.96	
27SEP17	S 1 PD27DEC17	10390.00	10613.58	USD	-1,341.48	
27SEP17	S 1 PD27DEC17	10380.00	10613.58	USD	-1,401.48	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP094AB

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
27SEP17	S 2 PD27DEC17	10365.00	10613.58	USD	-2,982.96	
27SEP17	B 1 PD27DEC17	10345.00	10613.58	USD	1,611.48	
27SEP17	B 3 PD27DEC17	10355.00	10613.58	USD	4,654.44	
27SEP17	S 1 PD27DEC17	10355.00	10613.58	USD	-1,551.48	
27SEP17	S 1 PD27DEC17	10345.00	10613.58	USD	-1,611.48	
27SEP17	S 1 PD27DEC17	10335.00	10613.58	USD	-1,671.48	
27SEP17	S 1 PD27DEC17	10315.00	10613.58	USD	-1,791.48	
27SEP17	B 3 PD27DEC17	10360.00	10613.58	USD	4,564.44	
27SEP17	B 1 PD27DEC17	10385.00	10613.58	USD	1,371.48	
27SEP17	B 1 PD27DEC17	10395.00	10613.58	USD	1,311.48	
27SEP17	S 1 PD27DEC17	10370.00	10613.58	USD	-1,461.48	
27SEP17	S 4 PD27DEC17	10375.00	10613.58	USD	-5,725.92	
27SEP17	S 1 PD27DEC17	10560.00	10613.58	USD	-321.48	
27SEP17	B 1 PD27DEC17	10305.00	10613.58	USD	1,851.48	
27SEP17	S 2 PD27DEC17	10295.00	10613.58	USD	-3,822.96	
27SEP17	B 3 PD27DEC17	10310.00	10613.58	USD	5,464.44	
27SEP17	B 4 PD27DEC17	10295.00	10613.58	USD	7,645.92	
27SEP17	S 2 PD27DEC17	10270.00	10613.58	USD	-4,122.96	
27SEP17	S 2 PD27DEC17	10265.00	10613.58	USD	-4,182.96	
27SEP17	B 1 PD27DEC17	10235.00	10613.58	USD	2,271.48	
27SEP17	B 1 PD27DEC17	10215.00	10613.58	USD	2,391.48	
27SEP17	B 3 PD27DEC17	10220.00	10613.58	USD	7,084.44	
27SEP17	B 4 PD27DEC17	10225.00	10613.58	USD	9,325.92	
27SEP17	B 4 PD27DEC17	10230.00	10613.58	USD	9,205.92	
27SEP17	S 1 PD27DEC17	10245.00	10613.58	USD	-2,211.48	
27SEP17	S 4 PD27DEC17	10250.00	10613.58	USD	-8,725.92	
27SEP17	S 1 PD27DEC17	10235.00	10613.58	USD	-2,271.48	
27SEP17	S 4 PD27DEC17	10240.00	10613.58	USD	-8,965.92	
27SEP17	S 2 PD27DEC17	10255.00	10613.58	USD	-4,302.96	
27SEP17	S 3 PD27DEC17	10275.00	10613.58	USD	-6,094.44	
27SEP17	B 4 PD27DEC17	10350.00	10613.58	USD	6,325.92	
27SEP17	B 3 PD27DEC17	10380.00	10613.58	USD	4,204.44	
27SEP17	B 1 PD27DEC17	10375.00	10613.58	USD	1,431.48	
27SEP17	B 3 PD27DEC17	10370.00	10613.58	USD	4,384.44	
27SEP17	B 6 PD27DEC17	10365.00	10613.58	USD	8,948.88	
27SEP17	S 2 PD27DEC17	10350.00	10613.58	USD	-3,162.96	
27SEP17	B 3 PD27DEC17	10320.00	10613.58	USD	5,284.44	
27SEP17	S 1 PD27DEC17	10320.00	10613.58	USD	-1,761.48	
27SEP17	S 1 PD27DEC17	10325.00	10613.58	USD	-1,731.48	
27SEP17	S 4 PD27DEC17	10285.00	10613.58	USD	-7,885.92	
27SEP17	S 4 PD27DEC17	10280.00	10613.58	USD	-8,005.92	
27SEP17	S 4 PD27DEC17	10300.00	10613.58	USD	-7,525.92	
27SEP17	S 8 PD27DEC17	10290.00	10613.58	USD	-15,531.84	
27SEP17	S 3 PD27DEC17	10340.00	10613.58	USD	-4,924.44	
27SEP17	S 1 PD27DEC17	10280.00	10613.58	USD	-2,001.48	
27SEP17	B 2 PD27DEC17	10225.00	10613.58	USD	4,662.96	
27SEP17	B 1 PD27DEC17	10370.00	10613.58	USD	1,461.48	
28SEP17	B 7 PD27DEC17	10439.67	10613.58	USD	7,304.22	
	NET 0 AVG BGHT 10438.29 SOLD 10440.52				**1,933.86**	**0.00**
28SEP17	S 1 PD28DEC17	10295.00	10614.50	USD	-1,917.00	
28SEP17	S 1 PD28DEC17	10335.00	10614.50	USD	-1,677.00	
28SEP17	B 1 PD28DEC17	10275.00	10614.50	USD	2,037.00	
28SEP17	S 3 PD28DEC17	10290.00	10614.50	USD	-5,841.00	
28SEP17	S 1 PD28DEC17	10280.00	10614.50	USD	-2,007.00	
28SEP17	S 7 PD28DEC17	10250.00	10614.50	USD	-15,309.00	
28SEP17	S 9 PD28DEC17	10255.00	10614.50	USD	-19,413.00	
28SEP17	S 7 PD28DEC17	10260.00	10614.50	USD	-14,889.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP094AB

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
28SEP17	S 2 PD28DEC17	10245.00	10614.50	USD	-4,434.00	
28SEP17	S 3 PD28DEC17	10235.00	10614.50	USD	-6,831.00	
28SEP17	S 4 PD28DEC17	10270.00	10614.50	USD	-8,268.00	
28SEP17	B 1 PD28DEC17	10270.00	10614.50	USD	2,067.00	
28SEP17	B 1 PD28DEC17	10265.00	10614.50	USD	2,097.00	
28SEP17	B 6 PD28DEC17	10280.00	10614.50	USD	12,042.00	
28SEP17	B 7 PD28DEC17	10285.00	10614.50	USD	13,839.00	
28SEP17	B 2 PD28DEC17	10335.00	10614.50	USD	3,354.00	
28SEP17	B 1 PD28DEC17	10280.00	10614.50	USD	2,007.00	
28SEP17	S 1 PD28DEC17	10250.00	10614.50	USD	-2,187.00	
28SEP17	B 5 PD28DEC17	10290.00	10614.50	USD	9,735.00	
28SEP17	B 3 PD28DEC17	10315.00	10614.50	USD	5,391.00	
28SEP17	B 3 PD28DEC17	10300.00	10614.50	USD	5,661.00	
28SEP17	B 3 PD28DEC17	10325.00	10614.50	USD	5,211.00	
28SEP17	B 4 PD28DEC17	10340.00	10614.50	USD	6,588.00	
28SEP17	S 1 PD28DEC17	10360.00	10614.50	USD	-1,527.00	
28SEP17	S 3 PD28DEC17	10370.00	10614.50	USD	-4,401.00	
28SEP17	S 3 PD28DEC17	10380.00	10614.50	USD	-4,221.00	
28SEP17	B 2 PD28DEC17	10385.00	10614.50	USD	2,754.00	
28SEP17	B 2 PD28DEC17	10375.00	10614.50	USD	2,874.00	
28SEP17	B 2 PD28DEC17	10350.00	10614.50	USD	3,174.00	
28SEP17	B 1 PD28DEC17	10345.00	10614.50	USD	1,617.00	
28SEP17	S 1 PD28DEC17	10340.00	10614.50	USD	-1,647.00	
28SEP17	S 2 PD28DEC17	10310.00	10614.50	USD	-3,654.00	
28SEP17	B 4 PD28DEC17	10310.00	10614.50	USD	7,308.00	
28SEP17	B 4 PD28DEC17	10335.00	10614.50	USD	6,708.00	
28SEP17	B 3 PD28DEC17	10330.00	10614.50	USD	5,121.00	
28SEP17	B 2 PD28DEC17	10320.00	10614.50	USD	3,534.00	
28SEP17	B 1 PD28DEC17	10455.00	10614.50	USD	957.00	
28SEP17	B 2 PD28DEC17	10450.00	10614.50	USD	1,974.00	
28SEP17	S 3 PD28DEC17	10460.00	10614.50	USD	-2,781.00	
28SEP17	S 2 PD28DEC17	10455.00	10614.50	USD	-1,914.00	
28SEP17	S 2 PD28DEC17	10490.00	10614.50	USD	-1,494.00	
28SEP17	S 1 PD28DEC17	10495.00	10614.50	USD	-717.00	
28SEP17	B 2 PD28DEC17	10485.00	10614.50	USD	1,554.00	
28SEP17	B 1 PD28DEC17	10440.00	10614.50	USD	1,047.00	
28SEP17	B 4 PD28DEC17	10445.00	10614.50	USD	4,068.00	
28SEP17	S 2 PD28DEC17	10465.00	10614.50	USD	-1,794.00	
28SEP17	S 4 PD28DEC17	10470.00	10614.50	USD	-3,468.00	
28SEP17	S 6 PD28DEC17	10480.00	10614.50	USD	-4,842.00	
28SEP17	S 1 PD28DEC17	10450.00	10614.50	USD	-987.00	
28SEP17	S 2 PD28DEC17	10475.00	10614.50	USD	-1,674.00	
28SEP17	B 2 PD28DEC17	10470.00	10614.50	USD	1,734.00	
28SEP17	B 3 PD28DEC17	10475.00	10614.50	USD	2,511.00	
28SEP17	S 4 PD28DEC17	10485.00	10614.50	USD	-3,108.00	
28SEP17	B 1 PD28DEC17	10450.00	10614.50	USD	987.00	
29SEP17	B 3 PD28DEC17	10499.79	10614.50	USD	2,064.78	
	NET 0 AVG BGHT 10351.31 SOLD 10349.14				**-986.22**	**0.00**
29SEP17	S 3 PD29DEC17	10515.00	10615.42	USD	-1,807.56	
29SEP17	S 1 PD29DEC17	10475.00	10615.42	USD	-842.52	
29SEP17	S 1 PD29DEC17	10480.00	10615.42	USD	-812.52	
29SEP17	B 5 PD29DEC17	10455.00	10615.42	USD	4,812.60	
29SEP17	B 2 PD29DEC17	10450.00	10615.42	USD	1,985.04	
29SEP17	B 2 PD29DEC17	10445.00	10615.42	USD	2,045.04	
29SEP17	B 1 PD29DEC17	10435.00	10615.42	USD	1,082.52	
29SEP17	S 1 PD29DEC17	10385.00	10615.42	USD	-1,382.52	
29SEP17	B 1 PD29DEC17	10430.00	10615.42	USD	1,112.52	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP094AB

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
29SEP17	B 2 PD29DEC17	10480.00	10615.42	USD	1,625.04	
29SEP17	B 2 PD29DEC17	10475.00	10615.42	USD	1,685.04	
29SEP17	B 1 PD29DEC17	10470.00	10615.42	USD	872.52	
29SEP17	S 1 PD29DEC17	10490.00	10615.42	USD	-752.52	
29SEP17	S 2 PD29DEC17	10495.00	10615.42	USD	-1,445.04	
29SEP17	S 1 PD29DEC17	10500.00	10615.42	USD	-692.52	
29SEP17	S 1 PD29DEC17	10500.00	10615.42	USD	-692.52	
	NET +5 AVG BGHT 10456.88 SOLD 10487.73				**6,792.60**	**0.00**
	CMX SILVER 5,000 CMX					
14SEP17	S 2 DEC17	1775.5	1665.0	USD	11,050.00	
20SEP17	S 5 DEC17	1727.0	1665.0	USD	15,500.00	
	NET -7 AVG SOLD 1740.9				**26,550.00**	**0.00**
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
21JUL17	S 1 PD20OCT17	20085.00	20924.00	USD	-4,195.00	
03AUG17	B 1 PD20OCT17	20679.17	20924.00	USD	1,224.15	
	NET 0 AVG BGHT 20679.17 SOLD 20085.00				**-2,970.85**	**0.00**
01AUG17	S 1 PD01NOV17	20420.00	20884.00	USD	-2,320.00	
01AUG17	S 1 PD01NOV17	20430.00	20884.00	USD	-2,270.00	
03AUG17	B 1 PD01NOV17	20655.83	20884.00	USD	1,140.85	
22AUG17	B 1 PD01NOV17	20391.84	20884.00	USD	2,460.80	
	NET 0 AVG BGHT 20523.84 SOLD 20425.00				**-988.35**	**0.00**
02AUG17	B 1 PD02NOV17	20460.00	20882.60	USD	2,113.00	
02AUG17	B 1 PD02NOV17	20455.00	20882.60	USD	2,138.00	
03AUG17	S 2 PD02NOV17	20651.92	20882.60	USD	-2,306.80	
	NET 0 AVG BGHT 20457.50 SOLD 20651.92				**1,944.20**	**0.00**
03AUG17	B 1 PD03NOV17	20600.00	20881.20	USD	1,406.00	
22AUG17	S 1 PD03NOV17	20387.47	20881.20	USD	-2,468.65	
	NET 0 AVG BGHT 20600.00 SOLD 20387.47				**-1,062.65**	**0.00**
08AUG17	B 1 PD08NOV17	20590.00	20877.00	USD	1,435.00	
08AUG17	S 1 PD08NOV17	20545.00	20877.00	USD	-1,660.00	
08AUG17	B 1 PD08NOV17	20340.00	20877.00	USD	2,685.00	
22AUG17	S 1 PD08NOV17	20383.42	20877.00	USD	-2,467.90	
	NET 0 AVG BGHT 20465.00 SOLD 20464.21				**-7.90**	**0.00**
14AUG17	S 1 PD14NOV17	20335.00	20871.40	USD	-2,682.00	
22AUG17	B 1 PD14NOV17	20377.68	20871.40	USD	2,468.60	
	NET 0 AVG BGHT 20377.68 SOLD 20335.00				**-213.40**	**0.00**
15AUG17	S 1 PD15NOV17	20310.00	20870.00	USD	-2,800.00	
15AUG17	B 1 PD15NOV17	20220.00	20870.00	USD	3,250.00	
15AUG17	B 1 PD15NOV17	20115.00	20870.00	USD	3,775.00	
15AUG17	B 1 PD15NOV17	19770.00	20870.00	USD	5,500.00	
15AUG17	S 1 PD15NOV17	19860.00	20870.00	USD	-5,050.00	
15AUG17	S 1 PD15NOV17	19935.00	20870.00	USD	-4,675.00	
15AUG17	B 1 PD15NOV17	19740.00	20870.00	USD	5,650.00	
11SEP17	S 1 PD15NOV17	20785.00	20870.00	USD	-425.00	
	NET 0 AVG BGHT 19961.25 SOLD 20222.50				**5,225.00**	**0.00**
22AUG17	S 1 PD22NOV17	20420.00	20863.75	USD	-2,218.75	
11SEP17	B 1 PD22NOV17	20775.71	20863.75	USD	440.20	
	NET 0 AVG BGHT 20775.71 SOLD 20420.00				**-1,778.55**	**0.00**
05SEP17	S 1 PD05DEC17	20710.00	20853.75	USD	-718.75	
11SEP17	B 1 PD05DEC17	20758.24	20853.75	USD	477.55	
	NET 0 AVG BGHT 20758.24 SOLD 20710.00				**-241.20**	**0.00**
06SEP17	S 1 PD06DEC17	20770.00	20852.50	USD	-412.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP094AB

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
06SEP17	S	1 PD06DEC17		20750.00	20852.50	USD	-512.50	
11SEP17	B	1 PD06DEC17		20756.18	20852.50	USD	481.60	
21SEP17	B	1 PD06DEC17		20480.83	20852.50	USD	1,858.35	
	NET	**0**	**AVG BGHT 20618.51 SOLD 20760.00**				**1,414.95**	**0.00**
07SEP17	B	1 PD07DEC17		20755.00	20851.25	USD	481.25	
07SEP17	B	1 PD07DEC17		20735.00	20851.25	USD	581.25	
11SEP17	S	2 PD07DEC17		20753.12	20851.25	USD	-981.30	
	NET	**0**	**AVG BGHT 20745.00 SOLD 20753.12**				**81.20**	**0.00**
08SEP17	B	1 PD08DEC17		20790.00	20850.00	USD	300.00	
08SEP17	S	1 PD08DEC17		20680.00	20850.00	USD	-850.00	
	NET	**0**	**AVG BGHT 20790.00 SOLD 20680.00**				**-550.00**	**0.00**
11SEP17	S	1 PD11DEC17		20680.00	20848.75	USD	-843.75	
21SEP17	B	1 PD11DEC17		20474.58	20848.75	USD	1,870.85	
	NET	**0**	**AVG BGHT 20474.58 SOLD 20680.00**				**1,027.10**	**0.00**
14SEP17	B	1 PD14DEC17		20680.00	20845.00	USD	825.00	
21SEP17	S	1 PD14DEC17		20467.33	20845.00	USD	-1,888.35	
	NET	**0**	**AVG BGHT 20680.00 SOLD 20467.33**				**-1,063.35**	**0.00**
15SEP17	S	1 PD15DEC17		20515.00	20843.75	USD	-1,643.75	
15SEP17	S	1 PD15DEC17		20550.00	20843.75	USD	-1,468.75	
15SEP17	B	1 PD15DEC17		20485.00	20843.75	USD	1,793.75	
21SEP17	B	1 PD15DEC17		20466.25	20843.75	USD	1,887.50	
	NET	**0**	**AVG BGHT 20475.63 SOLD 20532.50**				**568.75**	**0.00**
18SEP17	S	1 PD18DEC17		20635.00	20842.50	USD	-1,037.50	
21SEP17	B	1 PD18DEC17		20464.17	20842.50	USD	1,891.65	
	NET	**0**	**AVG BGHT 20464.17 SOLD 20635.00**				**854.15**	**0.00**
19SEP17	B	1 PD19DEC17		20690.00	20841.25	USD	756.25	
21SEP17	S	1 PD19DEC17		20461.08	20841.25	USD	-1,900.85	
	NET	**0**	**AVG BGHT 20690.00 SOLD 20461.08**				**-1,144.60**	**0.00**
20SEP17	B	1 PD20DEC17		20765.00	20840.00	USD	375.00	
20SEP17	B	1 PD20DEC17		20630.00	20840.00	USD	1,050.00	
21SEP17	S	2 PD20DEC17		20459.00	20840.00	USD	-3,810.00	
	NET	**0**	**AVG BGHT 20697.50 SOLD 20459.00**				**-2,385.00**	**0.00**
21SEP17	S	1 PD21DEC17		20560.00	20833.40	USD	-1,367.00	
21SEP17	B	1 PD21DEC17		20500.00	20833.40	USD	1,667.00	
	NET	**0**	**AVG BGHT 20500.00 SOLD 20560.00**				**300.00**	**0.00**
22SEP17	B	1 PD22DEC17		20365.00	20826.80	USD	2,309.00	
22SEP17	S	2 PD22DEC17		20470.00	20826.80	USD	-3,568.00	
22SEP17	S	1 PD22DEC17		20455.00	20826.80	USD	-1,859.00	
22SEP17	S	1 PD22DEC17		20440.00	20826.80	USD	-1,934.00	
22SEP17	B	1 PD22DEC17		20445.00	20826.80	USD	1,909.00	
22SEP17	S	1 PD22DEC17		20405.00	20826.80	USD	-2,109.00	
22SEP17	S	1 PD22DEC17		20575.00	20826.80	USD	-1,259.00	
	NET	**-4**	**AVG BGHT 20405.00 SOLD 20469.17**				**-6,511.00**	**0.00**
25SEP17	S	1 PD27DEC17		20605.00	20820.20	USD	-1,076.00	
25SEP17	S	1 PD27DEC17		20610.00	20820.20	USD	-1,051.00	
25SEP17	B	1 PD27DEC17		20600.00	20820.20	USD	1,101.00	
25SEP17	B	1 PD27DEC17		20590.00	20820.20	USD	1,151.00	
25SEP17	B	1 PD27DEC17		20580.00	20820.20	USD	1,201.00	
25SEP17	S	1 PD27DEC17		20585.00	20820.20	USD	-1,176.00	
25SEP17	B	1 PD27DEC17		20630.00	20820.20	USD	951.00	
25SEP17	S	1 PD27DEC17		20685.00	20820.20	USD	-676.00	
25SEP17	S	1 PD27DEC17		20705.00	20820.20	USD	-576.00	
26SEP17	B	1 PD27DEC17		20720.00	20820.20	USD	501.00	
26SEP17	B	1 PD27DEC17		20735.00	20820.20	USD	426.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP094AB

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
26SEP17	B	1	PD27DEC17	20730.00	20820.20	USD	451.00	
26SEP17	S	1	PD27DEC17	20705.00	20820.20	USD	-576.00	
26SEP17	S	1	PD27DEC17	20675.00	20820.20	USD	-726.00	
27SEP17	B	1	PD27DEC17	20720.00	20820.20	USD	501.00	
27SEP17	S	1	PD27DEC17	20675.00	20820.20	USD	-726.00	
27SEP17	S	1	PD27DEC17	20685.00	20820.20	USD	-676.00	
27SEP17	S	1	PD27DEC17	20680.00	20820.20	USD	-701.00	
	NET	**-2**	**AVG BGHT 20663.13**	**SOLD 20661.00**			**-1,677.00**	**0.00**
28SEP17	S	1	PD28DEC17	20625.00	20813.60	USD	-943.00	
28SEP17	B	1	PD28DEC17	20720.00	20813.60	USD	468.00	
	NET	**0**	**AVG BGHT 20720.00**	**SOLD 20625.00**			**-475.00**	**0.00**
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
27JUL17	B	1	PD27OCT17	2820.00	3277.50	USD	11,437.50	
27JUL17	B	2	PD27OCT17	2817.50	3277.50	USD	23,000.00	
27JUL17	B	2	PD27OCT17	2818.50	3277.50	USD	22,950.00	
27JUL17	B	1	PD27OCT17	2819.00	3277.50	USD	11,462.50	
27JUL17	B	1	PD27OCT17	2810.00	3277.50	USD	11,687.50	
27JUL17	B	1	PD27OCT17	2810.50	3277.50	USD	11,675.00	
27JUL17	B	2	PD27OCT17	2810.00	3277.50	USD	23,375.00	
27JUL17	S	1	PD27OCT17	2795.00	3277.50	USD	-12,062.50	
28JUL17	S	1	PD27OCT17	2790.50	3277.50	USD	-12,175.00	
28JUL17	S	1	PD27OCT17	2787.50	3277.50	USD	-12,250.00	
28JUL17	S	3	PD27OCT17	2788.00	3277.50	USD	-36,712.50	
28JUL17	B	2	PD27OCT17	2788.50	3277.50	USD	24,450.00	
28JUL17	B	3	PD27OCT17	2788.00	3277.50	USD	36,712.50	
03AUG17	S	5	PD27OCT17	2790.25	3277.50	USD	-60,906.25	
22AUG17	S	4	PD27OCT17	3116.94	3277.50	USD	-16,056.00	
	NET	**0**	**AVG BGHT 2806.17**	**SOLD 2877.07**			**26,587.75**	**0.00**
31JUL17	B	1	PD31OCT17	2808.00	3275.50	USD	11,687.50	
31JUL17	B	3	PD31OCT17	2801.00	3275.50	USD	35,587.50	
31JUL17	S	2	PD31OCT17	2801.50	3275.50	USD	-23,700.00	
31JUL17	S	1	PD31OCT17	2799.50	3275.50	USD	-11,900.00	
22AUG17	S	1	PD31OCT17	3117.48	3275.50	USD	-3,950.50	
	NET	**0**	**AVG BGHT 2802.75**	**SOLD 2880.00**			**7,724.50**	**0.00**
01AUG17	S	1	PD01NOV17	2799.00	3274.50	USD	-11,887.50	
01AUG17	S	2	PD01NOV17	2782.00	3274.50	USD	-24,625.00	
01AUG17	S	1	PD01NOV17	2772.50	3274.50	USD	-12,550.00	
01AUG17	S	1	PD01NOV17	2773.00	3274.50	USD	-12,537.50	
03AUG17	B	5	PD01NOV17	2791.70	3274.50	USD	60,350.00	
	NET	**0**	**AVG BGHT 2791.70**	**SOLD 2781.70**			**-1,250.00**	**0.00**
03AUG17	B	1	PD03NOV17	2794.00	3272.00	USD	11,950.00	
04AUG17	B	1	PD03NOV17	2831.00	3272.00	USD	11,025.00	
04AUG17	S	1	PD03NOV17	2817.50	3272.00	USD	-11,362.50	
04AUG17	B	1	PD03NOV17	2808.00	3272.00	USD	11,600.00	
04AUG17	S	1	PD03NOV17	2814.50	3272.00	USD	-11,437.50	
11SEP17	S	1	PD03NOV17	3079.87	3272.00	USD	-4,803.25	
	NET	**0**	**AVG BGHT 2811.00**	**SOLD 2903.96**			**6,971.75**	**0.00**
07AUG17	S	1	PD07NOV17	2805.00	3272.00	USD	-11,675.00	
07AUG17	S	1	PD07NOV17	2805.50	3272.00	USD	-11,662.50	
07AUG17	S	1	PD07NOV17	2801.50	3272.00	USD	-11,762.50	
07AUG17	S	1	PD07NOV17	2802.00	3272.00	USD	-11,750.00	
07AUG17	S	1	PD07NOV17	2797.00	3272.00	USD	-11,875.00	
22AUG17	B	5	PD07NOV17	3118.62	3272.00	USD	19,172.50	
	NET	**0**	**AVG BGHT 3118.62**	**SOLD 2802.20**			**-39,552.50**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP094AB

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
11AUG17	B	1	PD10NOV17	2922.50	3272.00	USD	8,737.50	
11AUG17	B	1	PD10NOV17	2922.00	3272.00	USD	8,750.00	
11AUG17	B	1	PD10NOV17	2921.50	3272.00	USD	8,762.50	
11AUG17	B	1	PD10NOV17	2919.00	3272.00	USD	8,825.00	
11AUG17	B	1	PD10NOV17	2920.50	3272.00	USD	8,787.50	
11AUG17	B	3	PD10NOV17	2923.00	3272.00	USD	26,175.00	
11AUG17	S	2	PD10NOV17	2907.00	3272.00	USD	-18,250.00	
11SEP17	S	6	PD10NOV17	3080.43	3272.00	USD	-28,735.50	
	NET	**0**	**AVG BGHT 2921.81 SOLD 3037.07**				**23,052.00**	**0.00**
16AUG17	B	1	PD16NOV17	3039.50	3267.50	USD	5,700.00	
16AUG17	B	3	PD16NOV17	3039.00	3267.50	USD	17,137.50	
16AUG17	B	2	PD16NOV17	3042.00	3267.50	USD	11,275.00	
16AUG17	B	1	PD16NOV17	3044.00	3267.50	USD	5,587.50	
16AUG17	B	1	PD16NOV17	3044.50	3267.50	USD	5,575.00	
16AUG17	B	1	PD16NOV17	3096.00	3267.50	USD	4,287.50	
16AUG17	B	2	PD16NOV17	3042.00	3267.50	USD	11,275.00	
16AUG17	B	1	PD16NOV17	3107.00	3267.50	USD	4,012.50	
16AUG17	S	1	PD16NOV17	3125.50	3267.50	USD	-3,550.00	
11SEP17	S	11	PD16NOV17	3078.50	3267.50	USD	-51,975.00	
	NET	**0**	**AVG BGHT 3051.33 SOLD 3082.42**				**9,325.00**	**0.00**
17AUG17	B	1	PD17NOV17	3094.00	3265.50	USD	4,287.50	
17AUG17	B	1	PD17NOV17	3096.50	3265.50	USD	4,225.00	
17AUG17	B	2	PD17NOV17	3097.00	3265.50	USD	8,425.00	
17AUG17	S	1	PD17NOV17	3096.50	3265.50	USD	-4,225.00	
17AUG17	B	1	PD17NOV17	3106.00	3265.50	USD	3,987.50	
17AUG17	B	1	PD17NOV17	3087.50	3265.50	USD	4,450.00	
17AUG17	B	4	PD17NOV17	3084.50	3265.50	USD	18,100.00	
17AUG17	B	1	PD17NOV17	3084.00	3265.50	USD	4,537.50	
17AUG17	S	1	PD17NOV17	3077.00	3265.50	USD	-4,712.50	
17AUG17	S	1	PD17NOV17	3076.00	3265.50	USD	-4,737.50	
17AUG17	B	1	PD17NOV17	3097.00	3265.50	USD	4,212.50	
17AUG17	B	1	PD17NOV17	3084.00	3265.50	USD	4,537.50	
17AUG17	B	1	PD17NOV17	3086.50	3265.50	USD	4,475.00	
11SEP17	S	11	PD17NOV17	3078.68	3265.50	USD	-51,375.50	
	NET	**0**	**AVG BGHT 3090.54 SOLD 3079.64**				**-3,813.00**	**0.00**
22AUG17	S	1	PD22NOV17	3132.00	3264.50	USD	-3,312.50	
11SEP17	B	1	PD22NOV17	3079.78	3264.50	USD	4,618.00	
	NET	**0**	**AVG BGHT 3079.78 SOLD 3132.00**				**1,305.50**	**0.00**
24AUG17	B	3	PD24NOV17	3119.50	3264.71	USD	10,890.75	
24AUG17	B	3	PD24NOV17	3119.00	3264.71	USD	10,928.25	
25AUG17	B	3	PD24NOV17	3118.50	3264.71	USD	10,965.75	
25AUG17	S	3	PD24NOV17	3080.50	3264.71	USD	-13,815.75	
25AUG17	S	3	PD24NOV17	3075.00	3264.71	USD	-14,228.25	
25AUG17	S	3	PD24NOV17	3073.50	3264.71	USD	-14,340.75	
25AUG17	S	1	PD24NOV17	3068.00	3264.71	USD	-4,917.75	
11SEP17	B	1	PD24NOV17	3080.14	3264.71	USD	4,614.25	
	NET	**0**	**AVG BGHT 3115.11 SOLD 3075.50**				**-9,903.50**	**0.00**
29AUG17	S	3	PD29NOV17	3108.50	3265.25	USD	-11,756.25	
29AUG17	S	6	PD29NOV17	3108.00	3265.25	USD	-23,587.50	
29AUG17	S	1	PD29NOV17	3109.00	3265.25	USD	-3,906.25	
05SEP17	B	10	PD29NOV17	3139.52	3265.25	USD	31,432.50	
	NET	**0**	**AVG BGHT 3139.52 SOLD 3108.25**				**-7,817.50**	**0.00**
01SEP17	B	4	PD01DEC17	3167.00	3265.46	USD	9,846.00	
01SEP17	B	4	PD01DEC17	3173.50	3265.46	USD	9,196.00	
01SEP17	B	2	PD01DEC17	3183.00	3265.46	USD	4,123.00	
11SEP17	S	10	PD01DEC17	3081.20	3265.46	USD	-46,065.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP094AB

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
	NET	**0 AVG BGHT 3172.80**	**SOLD 3081.20**				**-22,900.00**	**0.00**
04SEP17	B	2 PD04DEC17		3190.50	3265.79	USD	3,764.50	
04SEP17	B	1 PD04DEC17		3189.00	3265.79	USD	1,919.75	
04SEP17	B	3 PD04DEC17		3190.00	3265.79	USD	5,684.25	
04SEP17	B	2 PD04DEC17		3194.00	3265.79	USD	3,589.50	
04SEP17	B	2 PD04DEC17		3200.00	3265.79	USD	3,289.50	
04SEP17	B	5 PD04DEC17		3199.00	3265.79	USD	8,348.75	
04SEP17	B	3 PD04DEC17		3212.00	3265.79	USD	4,034.25	
04SEP17	B	3 PD04DEC17		3211.50	3265.79	USD	4,071.75	
04SEP17	B	3 PD04DEC17		3209.50	3265.79	USD	4,221.75	
04SEP17	B	6 PD04DEC17		3210.50	3265.79	USD	8,293.50	
11SEP17	S	30 PD04DEC17		3081.74	3265.79	USD	-138,037.50	
	NET	**0 AVG BGHT 3202.83**	**SOLD 3081.74**				**-90,820.00**	**0.00**
06SEP17	B	1 PD06DEC17		3088.50	3266.00	USD	4,437.50	
06SEP17	B	1 PD06DEC17		3088.00	3266.00	USD	4,450.00	
19SEP17	S	2 PD06DEC17		3103.93	3266.00	USD	-8,103.50	
	NET	**0 AVG BGHT 3088.25**	**SOLD 3103.93**				**784.00**	**0.00**
07SEP17	S	4 PD07DEC17		3108.50	3266.11	USD	-15,761.00	
07SEP17	S	4 PD07DEC17		3110.50	3266.11	USD	-15,561.00	
07SEP17	S	1 PD07DEC17		3113.50	3266.11	USD	-3,815.25	
07SEP17	S	1 PD07DEC17		3112.00	3266.11	USD	-3,852.75	
07SEP17	S	5 PD07DEC17		3118.00	3266.11	USD	-18,513.75	
07SEP17	S	5 PD07DEC17		3115.50	3266.11	USD	-18,826.25	
07SEP17	S	2 PD07DEC17		3122.50	3266.11	USD	-7,180.50	
07SEP17	S	4 PD07DEC17		3122.00	3266.11	USD	-14,411.00	
07SEP17	S	2 PD07DEC17		3123.00	3266.11	USD	-7,155.50	
07SEP17	S	2 PD07DEC17		3123.00	3266.11	USD	-7,155.50	
07SEP17	S	4 PD07DEC17		3125.00	3266.11	USD	-14,111.00	
07SEP17	S	6 PD07DEC17		3124.50	3266.11	USD	-21,241.50	
07SEP17	S	4 PD07DEC17		3124.00	3266.11	USD	-14,211.00	
07SEP17	S	1 PD07DEC17		3132.50	3266.11	USD	-3,340.25	
07SEP17	S	6 PD07DEC17		3133.00	3266.11	USD	-19,966.50	
07SEP17	S	3 PD07DEC17		3133.50	3266.11	USD	-9,945.75	
07SEP17	S	2 PD07DEC17		3134.00	3266.11	USD	-6,605.50	
07SEP17	S	1 PD07DEC17		3134.00	3266.11	USD	-3,302.75	
11SEP17	B	57 PD07DEC17		3082.48	3266.11	USD	261,672.75	
	NET	**0 AVG BGHT 3082.48**	**SOLD 3122.28**				**56,716.00**	**0.00**
08SEP17	S	8 PD08DEC17		3103.50	3266.21	USD	-32,542.00	
08SEP17	S	1 PD08DEC17		3104.00	3266.21	USD	-4,055.25	
08SEP17	S	1 PD08DEC17		3103.50	3266.21	USD	-4,067.75	
11SEP17	B	10 PD08DEC17		3082.66	3266.21	USD	45,887.50	
	NET	**0 AVG BGHT 3082.66**	**SOLD 3103.55**				**5,222.50**	**0.00**
12SEP17	B	3 PD12DEC17		3082.50	3266.64	USD	13,810.50	
12SEP17	B	2 PD12DEC17		3088.00	3266.64	USD	8,932.00	
12SEP17	B	2 PD12DEC17		3088.50	3266.64	USD	8,907.00	
12SEP17	B	2 PD12DEC17		3082.50	3266.64	USD	9,207.00	
12SEP17	B	1 PD12DEC17		3082.00	3266.64	USD	4,616.00	
19SEP17	S	10 PD12DEC17		3105.21	3266.64	USD	-40,357.50	
	NET	**0 AVG BGHT 3084.75**	**SOLD 3105.21**				**5,115.00**	**0.00**
14SEP17	B	1 PD14DEC17		3016.00	3266.86	USD	6,271.50	
14SEP17	B	4 PD14DEC17		3016.50	3266.86	USD	25,036.00	
14SEP17	B	1 PD14DEC17		3017.50	3266.86	USD	6,234.00	
14SEP17	B	1 PD14DEC17		3005.00	3266.86	USD	6,546.50	
14SEP17	B	2 PD14DEC17		3013.50	3266.86	USD	12,668.00	
14SEP17	B	8 PD14DEC17		3013.00	3266.86	USD	50,772.00	
14SEP17	B	3 PD14DEC17		3012.50	3266.86	USD	19,077.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP094AB

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
14SEP17	B	11	PD14DEC17	3014.50	3266.86	USD	69,399.00	
14SEP17	B	1	PD14DEC17	3019.00	3266.86	USD	6,196.50	
14SEP17	B	1	PD14DEC17	3006.50	3266.86	USD	6,509.00	
19SEP17	S	1	PD14DEC17	3105.64	3266.86	USD	-4,030.50	
21SEP17	S	10	PD14DEC17	3100.43	3266.86	USD	-41,607.50	
25SEP17	S	22	PD14DEC17	3100.79	3266.86	USD	-91,338.50	
	NET	**0**	**AVG BGHT 3013.88 SOLD 3100.83**				**71,733.00**	**0.00**
15SEP17	B	2	PD15DEC17	3032.00	3266.96	USD	11,748.00	
15SEP17	B	2	PD15DEC17	3030.50	3266.96	USD	11,823.00	
15SEP17	B	6	PD15DEC17	3037.00	3266.96	USD	34,494.00	
15SEP17	B	4	PD15DEC17	3040.00	3266.96	USD	22,696.00	
15SEP17	S	7	PD15DEC17	3045.50	3266.96	USD	-38,755.50	
15SEP17	S	6	PD15DEC17	3039.50	3266.96	USD	-34,119.00	
15SEP17	S	7	PD15DEC17	3032.00	3266.96	USD	-41,118.00	
15SEP17	B	1	PD15DEC17	3032.00	3266.96	USD	5,874.00	
15SEP17	B	5	PD15DEC17	3032.50	3266.96	USD	29,307.50	
15SEP17	S	2	PD15DEC17	3015.50	3266.96	USD	-12,573.00	
15SEP17	S	4	PD15DEC17	3008.00	3266.96	USD	-25,896.00	
15SEP17	S	4	PD15DEC17	3008.50	3266.96	USD	-25,846.00	
15SEP17	S	2	PD15DEC17	3007.50	3266.96	USD	-12,973.00	
15SEP17	S	1	PD15DEC17	3006.00	3266.96	USD	-6,524.00	
15SEP17	S	1	PD15DEC17	3016.00	3266.96	USD	-6,274.00	
15SEP17	S	5	PD15DEC17	3000.50	3266.96	USD	-33,307.50	
15SEP17	S	5	PD15DEC17	2993.50	3266.96	USD	-34,182.50	
15SEP17	B	1	PD15DEC17	3027.00	3266.96	USD	5,999.00	
15SEP17	B	2	PD15DEC17	3029.50	3266.96	USD	11,873.00	
15SEP17	B	4	PD15DEC17	3030.00	3266.96	USD	23,696.00	
15SEP17	B	2	PD15DEC17	3028.50	3266.96	USD	11,923.00	
15SEP17	B	1	PD15DEC17	3031.00	3266.96	USD	5,899.00	
15SEP17	B	1	PD15DEC17	3029.00	3266.96	USD	5,949.00	
19SEP17	B	13	PD15DEC17	3106.06	3266.96	USD	52,292.50	
	NET	**0**	**AVG BGHT 3054.62 SOLD 3020.08**				**-37,994.50**	**0.00**
18SEP17	B	10	PD18DEC17	3060.00	3267.29	USD	51,822.50	
18SEP17	B	2	PD18DEC17	3100.50	3267.29	USD	8,339.50	
18SEP17	B	2	PD18DEC17	3099.50	3267.29	USD	8,389.50	
18SEP17	B	2	PD18DEC17	3095.00	3267.29	USD	8,614.50	
18SEP17	B	2	PD18DEC17	3098.00	3267.29	USD	8,464.50	
25SEP17	S	18	PD18DEC17	3101.26	3267.29	USD	-74,713.50	
	NET	**0**	**AVG BGHT 3077.00 SOLD 3101.26**				**10,917.00**	**0.00**
19SEP17	S	4	PD19DEC17	3111.00	3267.39	USD	-15,639.00	
19SEP17	S	4	PD19DEC17	3112.00	3267.39	USD	-15,539.00	
19SEP17	B	2	PD19DEC17	3085.00	3267.39	USD	9,119.50	
19SEP17	B	9	PD19DEC17	3086.00	3267.39	USD	40,812.75	
19SEP17	B	6	PD19DEC17	3086.50	3267.39	USD	27,133.50	
19SEP17	B	4	PD19DEC17	3087.00	3267.39	USD	18,039.00	
19SEP17	B	5	PD19DEC17	3088.50	3267.39	USD	22,361.25	
19SEP17	B	8	PD19DEC17	3085.50	3267.39	USD	36,378.00	
19SEP17	B	2	PD19DEC17	3084.00	3267.39	USD	9,169.50	
19SEP17	B	2	PD19DEC17	3080.50	3267.39	USD	9,344.50	
19SEP17	B	1	PD19DEC17	3081.00	3267.39	USD	4,659.75	
19SEP17	B	4	PD19DEC17	3079.00	3267.39	USD	18,839.00	
19SEP17	B	6	PD19DEC17	3077.50	3267.39	USD	28,483.50	
19SEP17	B	2	PD19DEC17	3105.50	3267.39	USD	8,094.50	
19SEP17	B	4	PD19DEC17	3106.50	3267.39	USD	16,089.00	
19SEP17	B	4	PD19DEC17	3107.00	3267.39	USD	16,039.00	
19SEP17	B	1	PD19DEC17	3109.00	3267.39	USD	3,959.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP094AB

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
19SEP17	B 3 PD19DEC17	3110.00	3267.39	USD	11,804.25	
19SEP17	B 6 PD19DEC17	3109.50	3267.39	USD	23,683.50	
19SEP17	B 2 PD19DEC17	3108.00	3267.39	USD	7,969.50	
19SEP17	B 8 PD19DEC17	3108.50	3267.39	USD	31,778.00	
19SEP17	B 1 PD19DEC17	3085.50	3267.39	USD	4,547.25	
25SEP17	S 30 PD19DEC17	3101.38	3267.39	USD	-124,507.50	
28SEP17	S 9 PD19DEC17	3151.00	3267.39	USD	-26,187.75	
29SEP17	S 33 PD19DEC17	3165.94	3267.39	USD	-83,696.25	
	NET 0 AVG BGHT 3093.24 SOLD 3134.61				**82,735.50**	**0.00**
20SEP17	B 4 PD20DEC17	3123.50	3267.50	USD	14,400.00	
20SEP17	B 4 PD20DEC17	3125.00	3267.50	USD	14,250.00	
20SEP17	B 2 PD20DEC17	3126.50	3267.50	USD	7,050.00	
20SEP17	S 3 PD20DEC17	3138.00	3267.50	USD	-9,712.50	
20SEP17	S 6 PD20DEC17	3137.00	3267.50	USD	-19,575.00	
20SEP17	S 2 PD20DEC17	3127.50	3267.50	USD	-7,000.00	
20SEP17	S 2 PD20DEC17	3128.00	3267.50	USD	-6,975.00	
20SEP17	S 2 PD20DEC17	3130.00	3267.50	USD	-6,875.00	
20SEP17	S 2 PD20DEC17	3131.00	3267.50	USD	-6,825.00	
20SEP17	S 2 PD20DEC17	3133.50	3267.50	USD	-6,700.00	
20SEP17	S 1 PD20DEC17	3137.00	3267.50	USD	-3,262.50	
21SEP17	B 10 PD20DEC17	3102.20	3267.50	USD	41,325.00	
	NET 0 AVG BGHT 3113.45 SOLD 3133.65				**10,100.00**	**0.00**
21SEP17	S 1 PD21DEC17	3088.50	3264.00	USD	-4,387.50	
21SEP17	S 4 PD21DEC17	3062.00	3264.00	USD	-20,200.00	
21SEP17	S 2 PD21DEC17	3059.50	3264.00	USD	-10,225.00	
21SEP17	S 1 PD21DEC17	3058.50	3264.00	USD	-5,137.50	
21SEP17	S 3 PD21DEC17	3057.50	3264.00	USD	-15,487.50	
21SEP17	B 5 PD21DEC17	3035.50	3264.00	USD	28,562.50	
21SEP17	B 5 PD21DEC17	3036.00	3264.00	USD	28,500.00	
21SEP17	S 1 PD21DEC17	3036.00	3264.00	USD	-5,700.00	
29SEP17	B 2 PD21DEC17	3162.95	3264.00	USD	5,052.50	
	NET 0 AVG BGHT 3056.95 SOLD 3060.21				**977.50**	**0.00**
22SEP17	B 1 PD22DEC17	3039.00	3264.00	USD	5,625.00	
22SEP17	B 1 PD22DEC17	3036.50	3264.00	USD	5,687.50	
22SEP17	S 1 PD22DEC17	3038.00	3264.00	USD	-5,650.00	
22SEP17	S 3 PD22DEC17	3036.50	3264.00	USD	-17,062.50	
22SEP17	S 4 PD22DEC17	3028.00	3264.00	USD	-23,600.00	
22SEP17	S 2 PD22DEC17	3026.50	3264.00	USD	-11,875.00	
22SEP17	S 3 PD22DEC17	3052.00	3264.00	USD	-15,900.00	
22SEP17	S 4 PD22DEC17	3055.50	3264.00	USD	-20,850.00	
22SEP17	S 10 PD22DEC17	3051.00	3264.00	USD	-53,250.00	
22SEP17	S 5 PD22DEC17	3053.00	3264.00	USD	-26,375.00	
22SEP17	S 9 PD22DEC17	3054.00	3264.00	USD	-47,250.00	
22SEP17	S 4 PD22DEC17	3054.50	3264.00	USD	-20,950.00	
22SEP17	S 7 PD22DEC17	3052.50	3264.00	USD	-37,012.50	
22SEP17	S 10 PD22DEC17	3053.50	3264.00	USD	-52,625.00	
22SEP17	S 6 PD22DEC17	3055.00	3264.00	USD	-31,350.00	
22SEP17	S 17 PD22DEC17	3056.00	3264.00	USD	-88,400.00	
22SEP17	S 5 PD22DEC17	3046.00	3264.00	USD	-27,250.00	
22SEP17	S 2 PD22DEC17	3039.50	3264.00	USD	-11,225.00	
22SEP17	S 1 PD22DEC17	3021.50	3264.00	USD	-6,062.50	
22SEP17	B 1 PD22DEC17	3074.50	3264.00	USD	4,737.50	
25SEP17	B 70 PD22DEC17	3097.70	3264.00	USD	291,025.00	
29SEP17	B 20 PD22DEC17	3162.76	3264.00	USD	50,620.00	
	NET 0 AVG BGHT 3110.15 SOLD 3050.37				**-138,992.50**	**0.00**
25SEP17	S 1 PD27DEC17	3086.50	3264.00	USD	-4,437.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP094AB

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
25SEP17	S	1	PD27DEC17	3092.00	3264.00	USD	-4,300.00	
26SEP17	S	1	PD27DEC17	3125.00	3264.00	USD	-3,475.00	
26SEP17	S	1	PD27DEC17	3112.50	3264.00	USD	-3,787.50	
26SEP17	S	1	PD27DEC17	3109.00	3264.00	USD	-3,875.00	
27SEP17	B	1	PD27DEC17	3105.50	3264.00	USD	3,962.50	
27SEP17	S	1	PD27DEC17	3120.00	3264.00	USD	-3,600.00	
27SEP17	S	1	PD27DEC17	3115.50	3264.00	USD	-3,712.50	
27SEP17	S	1	PD27DEC17	3113.00	3264.00	USD	-3,775.00	
27SEP17	S	1	PD27DEC17	3117.50	3264.00	USD	-3,662.50	
27SEP17	S	1	PD27DEC17	3107.00	3264.00	USD	-3,925.00	
28SEP17	B	9	PD27DEC17	3147.45	3264.00	USD	26,223.75	
	NET	**0**	**AVG BGHT 3143.26**	**SOLD 3109.80**			**-8,363.75**	**0.00**
28SEP17	S	1	PD28DEC17	3112.00	3264.00	USD	-3,800.00	
28SEP17	B	1	PD28DEC17	3137.50	3264.00	USD	3,162.50	
28SEP17	B	1	PD28DEC17	3139.00	3264.00	USD	3,125.00	
28SEP17	S	1	PD28DEC17	3142.00	3264.00	USD	-3,050.00	
28SEP17	S	4	PD28DEC17	3144.00	3264.00	USD	-12,000.00	
28SEP17	S	1	PD28DEC17	3143.50	3264.00	USD	-3,012.50	
28SEP17	S	1	PD28DEC17	3141.00	3264.00	USD	-3,075.00	
28SEP17	S	1	PD28DEC17	3140.00	3264.00	USD	-3,100.00	
28SEP17	B	1	PD28DEC17	3149.50	3264.00	USD	2,862.50	
28SEP17	S	4	PD28DEC17	3143.00	3264.00	USD	-12,100.00	
28SEP17	S	1	PD28DEC17	3153.50	3264.00	USD	-2,762.50	
29SEP17	B	11	PD28DEC17	3162.39	3264.00	USD	27,942.75	
	NET	**0**	**AVG BGHT 3158.02**	**SOLD 3141.43**			**-5,807.25**	**0.00**
29SEP17	B	1	PD29DEC17	3162.00	3264.00	USD	2,550.00	
29SEP17	B	1	PD29DEC17	3156.50	3264.00	USD	2,687.50	
29SEP17	B	1	PD29DEC17	3162.50	3264.00	USD	2,537.50	
29SEP17	B	1	PD29DEC17	3164.00	3264.00	USD	2,500.00	
	NET	**+4**	**AVG BGHT 3161.25**				**10,275.00**	**0.00**

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	963,906.75
LME Copper Grade A 25	FUTURES	-1,104,419.00
CMX Gold	FUTURES	-2,066,000.00
LME Lead 25 tonnes. This is an	FUTURES	282,619.75
LME Nickel 6 tonnes. This is	FUTURES	-2,725,445.94
CMX Silver	FUTURES	609,300.00
LME Tin 5 tonnes. This is an	FUTURES	615,084.50
LME Zinc 25 tonnes. This is	FUTURES	-364,072.50

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP094AB

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options			Amount	Profit / Loss	Total Amount	Total P&L
GOLD	- Futures	USD	-26,640.00	-26,640.00		
SILV	- Futures	USD	26,550.00	26,550.00	**-90.00**	**-90.00**
Unrealised Profits & Losses - Forwards			**Amount**	**Profit / Loss**	**Total Amount**	**Total P&L**
ALUL	- Futures	USD	431,947.25	431,947.25		
COPL	- Futures	USD	-126,666.75	-126,666.75		
LEAD	- Futures	USD	-171,052.50	-171,052.50		
NKL	- Futures	USD	-242,939.34	-242,939.34		
TIN	- Futures	USD	-9,653.50	-9,653.50		
ZINC	- Futures	USD	-37,672.50	-37,672.50	**-156,037.34**	**-156,037.34**

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		88,998.20	88,998.20
Variation Margins	- Futures	-90.00	-90.00
	- Options	0.00	0.00
TOTAL EQUITY		**88,908.20**	**88,908.20**
Initial Margins		-697,214.34	-697,214.34
Excess/(Shortage)		**-608,306.14**	**-608,306.14**
FOR INFORMATION ONLY			
Forward Unrealised P&L		-156,037.34	-156,037.34
Net Liquidating Value		**-67,129.14**	**-67,129.14**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP094AC

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		GBP	USD	NETT USD
		(1.32460)	(1.00000)	(1.00000)
CASH BALANCE		553.00	-64,191.24	-63,458.74
Variation Margins	- Futures	0.00	0.00	0.00
	- Options	0.00	0.00	0.00
TOTAL EQUITY		**553.00**	**-64,191.24**	**-63,458.74**
Initial Margins		0.00	0.00	0.00
Excess/(Shortage)		**553.00**	**-64,191.24**	**-63,458.74**
FOR INFORMATION ONLY				
Net Liquidating Value		**553.00**	**-64,191.24**	**-63,458.74**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP094AD

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		GBP	USD	NETT USD
		(1.32460)	(1.00000)	(1.00000)
CASH BALANCE		7,005.00	-249,273.83	-239,995.01
Variation Margins	- Futures	0.00	0.00	0.00
	- Options	0.00	0.00	0.00
TOTAL EQUITY		**7,005.00**	**-249,273.83**	**-239,995.01**
Initial Margins		0.00	0.00	0.00
Excess/(Shortage)		**7,005.00**	**-249,273.83**	**-239,995.01**
FOR INFORMATION ONLY				
Net Liquidating Value		**7,005.00**	**-249,273.83**	**-239,995.01**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP094AE

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-460.00	-460.00
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**-460.00**	**-460.00**
Initial Margins		0.00	0.00
Excess/(Shortage)		**-460.00**	**-460.00**
FOR INFORMATION ONLY			
Net Liquidating Value		**-460.00**	**-460.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP094AF

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		JPY	USD	NETT USD
		(112.76500)	(1.00000)	(1.00000)
CASH BALANCE		-100	0.90	0.01
Variation Margins	- Futures	0	0.00	0.00
	- Options	0	0.00	0.00
TOTAL EQUITY		**-100**	**0.90**	**0.01**
Initial Margins		0	0.00	0.00
Excess/(Shortage)		**-100**	**0.90**	**0.01**
FOR INFORMATION ONLY				
Net Liquidating Value		**-100**	**0.90**	**0.01**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP094AG

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-320.00	-320.00
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**-320.00**	**-320.00**
Initial Margins		0.00	0.00
Excess/(Shortage)		**-320.00**	**-320.00**
FOR INFORMATION ONLY			
Net Liquidating Value		**-320.00**	**-320.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP094AH

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-352.50	-352.50
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**-352.50**	**-352.50**
Initial Margins		0.00	0.00
Excess/(Shortage)		**-352.50**	**-352.50**
FOR INFORMATION ONLY			
Net Liquidating Value		**-352.50**	**-352.50**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP094AK

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	NYM HENRY HUB NATURAL GAS 10,000 NYM					
03OCT17	B 2 NOV17	2.91500	2.89500	USD	-400.00	
03OCT17	B 1 NOV17	2.90800	2.89500	USD	-130.00	
03OCT17	B 1 NOV17	2.90200	2.89500	USD	-70.00	
03OCT17	B 1 NOV17	2.89400	2.89500	USD	10.00	
	NET +5 AVG BGHT 2.90680				**-590.00**	**0.00**

OPEN POSITION VALUE

		USD
NYM Henry Hub Natural Gas	FUTURES	-145,340.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options		Amount	Profit / Loss	**Total Amount**	**Total P&L**
HGAS - Futures	USD	-590.00	-590.00	**-590.00**	**-590.00**

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		18,742.45	18,742.45
Variation Margins	- Futures	-590.00	-590.00
	- Options	0.00	0.00
TOTAL EQUITY		**18,152.45**	**18,152.45**
Initial Margins		-9,900.00	-9,900.00
Excess/(Shortage)		**8,252.45**	**8,252.45**
FOR INFORMATION ONLY			
Net Liquidating Value		**18,152.45**	**18,152.45**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP094AL

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
01SEP17	S 1 PD01DEC17	2140.50	2122.59	USD	447.75	
01SEP17	S 1 PD01DEC17	2141.00	2122.59	USD	460.25	
	NET -2 AVG SOLD 2140.75				**908.00**	**0.00**
06SEP17	B 1 PD06DEC17	2077.00	2123.95	USD	1,173.75	
	NET +1 AVG BGHT 2077.00				**1,173.75**	**0.00**
07SEP17	B 1 PD07DEC17	2094.00	2124.22	USD	755.50	
07SEP17	B 1 PD07DEC17	2092.50	2124.22	USD	793.00	
07SEP17	S 1 PD07DEC17	2112.00	2124.22	USD	-305.50	
	NET +1 AVG BGHT 2093.25 SOLD 2112.00				**1,243.00**	**0.00**
12SEP17	B 1 PD12DEC17	2120.00	2125.58	USD	139.50	
12SEP17	S 1 PD12DEC17	2119.50	2125.58	USD	-152.00	
12SEP17	S 1 PD12DEC17	2122.00	2125.58	USD	-89.50	
	NET -1 AVG BGHT 2120.00 SOLD 2120.75				**-102.00**	**0.00**
14SEP17	B 1 PD14DEC17	2082.50	2126.12	USD	1,090.50	
	NET +1 AVG BGHT 2082.50				**1,090.50**	**0.00**
15SEP17	B 1 PD15DEC17	2086.50	2126.39	USD	997.25	
15SEP17	S 1 PD15DEC17	2101.00	2126.39	USD	-634.75	
15SEP17	B 1 PD15DEC17	2079.00	2126.39	USD	1,184.75	
15SEP17	S 1 PD15DEC17	2089.00	2126.39	USD	-934.75	
	NET 0 AVG BGHT 2082.75 SOLD 2095.00				**612.50**	**0.00**
18SEP17	B 1 PD18DEC17	2097.50	2127.21	USD	742.75	
18SEP17	S 1 PD18DEC17	2105.00	2127.21	USD	-555.25	
	NET 0 AVG BGHT 2097.50 SOLD 2105.00				**187.50**	**0.00**
22SEP17	S 1 PD22DEC17	2133.50	2128.21	USD	132.25	
22SEP17	S 1 PD22DEC17	2162.00	2128.21	USD	844.75	
	NET -2 AVG SOLD 2147.75				**977.00**	**0.00**
25SEP17	B 1 PD27DEC17	2155.50	2129.38	USD	-653.00	
26SEP17	B 1 PD27DEC17	2150.00	2129.38	USD	-515.50	
	NET +2 AVG BGHT 2152.75				**-1,168.50**	**0.00**
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
19SEP17	S 1 PD19DEC17	2393.50	2592.37	USD	-4,971.75	
19SEP17	B 1 PD19DEC17	2398.00	2592.37	USD	4,859.25	
19SEP17	S 1 PD19DEC17	2399.50	2592.37	USD	-4,821.75	
19SEP17	B 1 PD19DEC17	2425.00	2592.37	USD	4,184.25	
	NET 0 AVG BGHT 2411.50 SOLD 2396.50				**-750.00**	**0.00**
20SEP17	S 1 PD20DEC17	2455.00	2592.50	USD	-3,437.50	
20SEP17	S 1 PD20DEC17	2466.50	2592.50	USD	-3,150.00	
20SEP17	B 1 PD20DEC17	2455.00	2592.50	USD	3,437.50	
20SEP17	B 1 PD20DEC17	2455.50	2592.50	USD	3,425.00	
	NET 0 AVG BGHT 2455.25 SOLD 2460.75				**275.00**	**0.00**
21SEP17	B 2 PD21DEC17	2437.00	2592.29	USD	7,764.50	
21SEP17	S 2 PD21DEC17	2437.50	2592.29	USD	-7,739.50	
21SEP17	S 1 PD21DEC17	2444.50	2592.29	USD	-3,694.75	
21SEP17	S 1 PD21DEC17	2446.50	2592.29	USD	-3,644.75	
21SEP17	S 1 PD21DEC17	2492.50	2592.29	USD	-2,494.75	
21SEP17	B 1 PD21DEC17	2504.00	2592.29	USD	2,207.25	
21SEP17	B 1 PD21DEC17	2481.50	2592.29	USD	2,769.75	
21SEP17	B 1 PD21DEC17	2491.00	2592.29	USD	2,532.25	
	NET 0 AVG BGHT 2470.10 SOLD 2451.70				**-2,300.00**	**0.00**
22SEP17	S 1 PD22DEC17	2482.50	2592.07	USD	-2,739.25	
22SEP17	B 1 PD22DEC17	2466.00	2592.07	USD	3,151.75	
	NET 0 AVG BGHT 2466.00 SOLD 2482.50				**412.50**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP094AL

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
03OCT17	S 1 PD03JAN18	2589.00	2591.00	USD	-50.00	
03OCT17	S 1 PD03JAN18	2588.00	2591.00	USD	-75.00	
	NET -2 AVG SOLD 2588.50				**-125.00**	**0.00**
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
04SEP17	B 1 PD04DEC17	12230.00	10592.83	USD	-9,823.02	
04SEP17	S 1 PD04DEC17	12225.00	10592.83	USD	9,793.02	
	NET 0 AVG BGHT 12230.00 SOLD 12225.00				**-30.00**	**0.00**
13SEP17	B 1 PD13DEC17	11725.00	10600.80	USD	-6,745.20	
13SEP17	B 1 PD13DEC17	11680.00	10600.80	USD	-6,475.20	
	NET +2 AVG BGHT 11702.50				**-13,220.40**	**0.00**
14SEP17	B 1 PD14DEC17	11140.00	10601.69	USD	-3,229.86	
14SEP17	B 1 PD14DEC17	11145.00	10601.69	USD	-3,259.86	
14SEP17	S 1 PD14DEC17	11135.00	10601.69	USD	3,199.86	
14SEP17	S 1 PD14DEC17	11155.00	10601.69	USD	3,319.86	
14SEP17	B 1 PD14DEC17	11205.00	10601.69	USD	-3,619.86	
14SEP17	S 1 PD14DEC17	11230.00	10601.69	USD	3,769.86	
	NET 0 AVG BGHT 11163.33 SOLD 11173.33				**180.00**	**0.00**
15SEP17	B 1 PD15DEC17	11155.00	10602.57	USD	-3,314.58	
15SEP17	S 1 PD15DEC17	11145.00	10602.57	USD	3,254.58	
	NET 0 AVG BGHT 11155.00 SOLD 11145.00				**-60.00**	**0.00**
20SEP17	S 1 PD20DEC17	11370.00	10607.00	USD	4,578.00	
	NET -1 AVG SOLD 11370.00				**4,578.00**	**0.00**
21SEP17	S 1 PD21DEC17	11065.00	10608.00	USD	2,742.00	
	NET -1 AVG SOLD 11065.00				**2,742.00**	**0.00**
29SEP17	B 1 PD29DEC17	10435.00	10615.42	USD	1,082.52	
29SEP17	B 1 PD29DEC17	10445.00	10615.42	USD	1,022.52	
29SEP17	B 1 PD29DEC17	10415.00	10615.42	USD	1,202.52	
29SEP17	S 1 PD29DEC17	10660.00	10615.42	USD	267.48	
29SEP17	B 1 PD29DEC17	10665.00	10615.42	USD	-297.48	
	NET +3 AVG BGHT 10490.00 SOLD 10660.00				**3,277.56**	**0.00**
03OCT17	S 1 PD03JAN18	10665.00	10620.00	USD	270.00	
03OCT17	B 1 PD03JAN18	10730.00	10620.00	USD	-660.00	
03OCT17	S 1 PD03JAN18	10720.00	10620.00	USD	600.00	
03OCT17	S 1 PD03JAN18	10660.00	10620.00	USD	240.00	
03OCT17	S 1 PD03JAN18	10700.00	10620.00	USD	480.00	
	NET -3 AVG BGHT 10730.00 SOLD 10686.25				**930.00**	**0.00**
	CMX SILVER 5,000 CMX					
13SEP17	B 1 DEC17	1783.5	1665.0	USD	-5,925.00	
18SEP17	B 1 DEC17	1753.5	1665.0	USD	-4,425.00	
18SEP17	B 1 DEC17	1747.0	1665.0	USD	-4,100.00	
28SEP17	B 1 DEC17	1680.5	1665.0	USD	-775.00	
28SEP17	B 1 DEC17	1683.5	1665.0	USD	-925.00	
	NET +5 AVG BGHT 1729.6				**-16,150.00**	**0.00**
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
29AUG17	S 1 PD29NOV17	3121.00	3265.25	USD	-3,606.25	
29AUG17	B 1 PD29NOV17	3108.00	3265.25	USD	3,931.25	
	NET 0 AVG BGHT 3108.00 SOLD 3121.00				**325.00**	**0.00**
30AUG17	S 1 PD30NOV17	3124.00	3265.36	USD	-3,534.00	
30AUG17	S 1 PD30NOV17	3094.00	3265.36	USD	-4,284.00	
30AUG17	S 1 PD30NOV17	3094.50	3265.36	USD	-4,271.50	
	NET -3 AVG SOLD 3104.17				**-12,089.50**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP094AL

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position		Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT						
01SEP17	S 1 PD01DEC17		3183.00	3265.46	USD	-2,061.50	
	NET -1 AVG SOLD 3183.00					**-2,061.50**	**0.00**
04SEP17	B 1 PD04DEC17		3200.00	3265.79	USD	1,644.75	
04SEP17	B 1 PD04DEC17		3200.50	3265.79	USD	1,632.25	
04SEP17	B 2 PD04DEC17		3201.00	3265.79	USD	3,239.50	
04SEP17	B 1 PD04DEC17		3182.50	3265.79	USD	2,082.25	
04SEP17	S 1 PD04DEC17		3199.00	3265.79	USD	-1,669.75	
	NET +4 AVG BGHT 3197.00	**SOLD 3199.00**				**6,929.00**	**0.00**
08SEP17	B 1 PD08DEC17		3032.50	3266.21	USD	5,842.75	
	NET +1 AVG BGHT 3032.50					**5,842.75**	**0.00**
11SEP17	S 1 PD11DEC17		3090.00	3266.54	USD	-4,413.50	
11SEP17	B 1 PD11DEC17		3099.50	3266.54	USD	4,176.00	
11SEP17	S 1 PD11DEC17		3100.00	3266.54	USD	-4,163.50	
	NET -1 AVG BGHT 3099.50	**SOLD 3095.00**				**-4,401.00**	**0.00**
13SEP17	S 1 PD13DEC17		3052.00	3266.75	USD	-5,368.75	
13SEP17	B 1 PD13DEC17		3045.50	3266.75	USD	5,531.25	
	NET 0 AVG BGHT 3045.50	**SOLD 3052.00**				**162.50**	**0.00**
18SEP17	B 1 PD18DEC17		3060.50	3267.29	USD	5,169.75	
	NET +1 AVG BGHT 3060.50					**5,169.75**	**0.00**
19SEP17	B 1 PD19DEC17		3102.00	3267.39	USD	4,134.75	
19SEP17	B 1 PD19DEC17		3102.50	3267.39	USD	4,122.25	
19SEP17	S 1 PD19DEC17		3099.50	3267.39	USD	-4,197.25	
19SEP17	S 1 PD19DEC17		3102.00	3267.39	USD	-4,134.75	
	NET 0 AVG BGHT 3102.25	**SOLD 3100.75**				**-75.00**	**0.00**
20SEP17	S 1 PD20DEC17		3125.00	3267.50	USD	-3,562.50	
	NET -1 AVG SOLD 3125.00					**-3,562.50**	**0.00**

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	4,775.00
LME Lead 25 tonnes. This is an	FUTURES	127,062.50
LME Nickel 6 tonnes. This is	FUTURES	-1,440.00
CMX Silver	FUTURES	-432,400.00
LME Zinc 25 tonnes. This is	FUTURES	-3,787.50

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options			Amount	Profit / Loss	**Total Amount**	**Total P&L**
SILV	- Futures	USD	-16,150.00	-16,150.00	**-16,150.00**	**-16,150.00**
Unrealised Profits & Losses - Forwards			**Amount**	**Profit / Loss**	**Total Amount**	**Total P&L**
ALUL	- Futures	USD	4,921.75	4,921.75		
LEAD	- Futures	USD	-2,487.50	-2,487.50		
NKL	- Futures	USD	-1,602.84	-1,602.84		
ZINC	- Futures	USD	-3,760.50	-3,760.50	**-2,929.09**	**-2,929.09**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP094AL

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-5,268.57	-5,268.57
Variation Margins	- Futures	-16,150.00	-16,150.00
	- Options	0.00	0.00
TOTAL EQUITY		**-21,418.57**	**-21,418.57**
Initial Margins		-48,456.09	-48,456.09
Excess/(Shortage)		**-69,874.66**	**-69,874.66**
FOR INFORMATION ONLY			
Forward Unrealised P&L		-2,929.09	-2,929.09
Net Liquidating Value		**-24,347.66**	**-24,347.66**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP094AM

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-53.36	-53.36
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**-53.36**	**-53.36**
Initial Margins		0.00	0.00
Excess/(Shortage)		**-53.36**	**-53.36**
FOR INFORMATION ONLY			
Net Liquidating Value		**-53.36**	**-53.36**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP094AW

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-510.00	-510.00
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**-510.00**	**-510.00**
Initial Margins		0.00	0.00
Excess/(Shortage)		**-510.00**	**-510.00**
FOR INFORMATION ONLY			
Net Liquidating Value		**-510.00**	**-510.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP094AX

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
28SEP17	S 11 PD02NOV17	2113.86	2117.08	USD	-885.50	
	NET -11 AVG SOLD 2113.86				**-885.50**	**0.00**
07SEP17	S 1 PD07DEC17	2099.00	2124.22	USD	-630.50	
07SEP17	S 1 PD07DEC17	2100.00	2124.22	USD	-605.50	
07SEP17	B 1 PD07DEC17	2109.50	2124.22	USD	368.00	
27SEP17	B 1 PD07DEC17	2125.60	2124.22	USD	-34.50	
	NET 0 AVG BGHT 2117.55 SOLD 2099.50				**-902.50**	**0.00**
08SEP17	B 2 PD08DEC17	2098.00	2124.49	USD	1,324.50	
08SEP17	B 2 PD08DEC17	2094.50	2124.49	USD	1,499.50	
08SEP17	B 2 PD08DEC17	2091.50	2124.49	USD	1,649.50	
08SEP17	B 1 PD08DEC17	2093.50	2124.49	USD	774.75	
08SEP17	B 1 PD08DEC17	2089.00	2124.49	USD	887.25	
08SEP17	B 1 PD08DEC17	2090.00	2124.49	USD	862.25	
27SEP17	S 1 PD08DEC17	2125.70	2124.49	USD	30.25	
27SEP17	S 8 PD08DEC17	2125.90	2124.49	USD	282.00	
	NET 0 AVG BGHT 2093.39 SOLD 2125.88				**7,310.00**	**0.00**
11SEP17	S 1 PD11DEC17	2100.50	2125.31	USD	-620.25	
11SEP17	S 1 PD11DEC17	2103.00	2125.31	USD	-557.75	
11SEP17	S 1 PD11DEC17	2103.50	2125.31	USD	-545.25	
11SEP17	S 1 PD11DEC17	2104.50	2125.31	USD	-520.25	
11SEP17	S 1 PD11DEC17	2110.50	2125.31	USD	-370.25	
11SEP17	S 1 PD11DEC17	2125.00	2125.31	USD	-7.75	
11SEP17	S 1 PD11DEC17	2127.50	2125.31	USD	54.75	
11SEP17	B 1 PD11DEC17	2115.00	2125.31	USD	257.75	
11SEP17	B 1 PD11DEC17	2116.50	2125.31	USD	220.25	
27SEP17	B 5 PD11DEC17	2127.00	2125.31	USD	-211.25	
	NET 0 AVG BGHT 2123.79 SOLD 2110.64				**-2,300.00**	**0.00**
14SEP17	S 1 PD14DEC17	2124.50	2126.12	USD	-40.50	
14SEP17	B 1 PD14DEC17	2093.50	2126.12	USD	815.50	
	NET 0 AVG BGHT 2093.50 SOLD 2124.50				**775.00**	**0.00**
18SEP17	B 1 PD18DEC17	2093.00	2127.21	USD	855.25	
18SEP17	B 1 PD18DEC17	2089.50	2127.21	USD	942.75	
18SEP17	S 1 PD18DEC17	2091.00	2127.21	USD	-905.25	
18SEP17	S 1 PD18DEC17	2090.50	2127.21	USD	-917.75	
18SEP17	S 2 PD18DEC17	2093.50	2127.21	USD	-1,685.50	
18SEP17	S 1 PD18DEC17	2094.00	2127.21	USD	-830.25	
27SEP17	B 3 PD18DEC17	2129.10	2127.21	USD	-141.75	
	NET 0 AVG BGHT 2113.96 SOLD 2092.50				**-2,682.50**	**0.00**
19SEP17	S 5 PD19DEC17	2105.50	2127.48	USD	-2,747.50	
19SEP17	S 1 PD19DEC17	2123.00	2127.48	USD	-112.00	
19SEP17	S 2 PD19DEC17	2124.00	2127.48	USD	-174.00	
19SEP17	S 1 PD19DEC17	2128.50	2127.48	USD	25.50	
28SEP17	B 9 PD19DEC17	2128.65	2127.48	USD	-263.25	
	NET 0 AVG BGHT 2128.65 SOLD 2114.11				**-3,271.25**	**0.00**
27SEP17	S 3 PD27DEC17	2141.50	2129.38	USD	909.00	
27SEP17	S 2 PD27DEC17	2141.00	2129.38	USD	581.00	
27SEP17	S 1 PD27DEC17	2143.50	2129.38	USD	353.00	
27SEP17	S 1 PD27DEC17	2139.00	2129.38	USD	240.50	
28SEP17	B 2 PD27DEC17	2130.92	2129.38	USD	-77.00	
	NET -5 AVG BGHT 2130.92 SOLD 2141.29				**2,006.50**	**0.00**
29SEP17	B 1 PD29DEC17	2104.50	2129.84	USD	633.50	
29SEP17	B 1 PD29DEC17	2105.00	2129.84	USD	621.00	
29SEP17	B 2 PD29DEC17	2106.00	2129.84	USD	1,192.00	
29SEP17	B 1 PD29DEC17	2108.00	2129.84	USD	546.00	
29SEP17	B 1 PD29DEC17	2107.00	2129.84	USD	571.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP094AX

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
29SEP17	B 1 PD29DEC17	2106.50	2129.84	USD	583.50	
	NET +7 AVG BGHT 2106.14				**4,147.00**	**0.00**
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
29SEP17	S 10 PD02NOV17	2492.15	2588.00	USD	-23,962.50	
	NET -10 AVG SOLD 2492.15				**-23,962.50**	**0.00**
07SEP17	B 1 PD07DEC17	2332.50	2590.83	USD	6,458.25	
07SEP17	B 2 PD07DEC17	2333.00	2590.83	USD	12,891.50	
07SEP17	B 1 PD07DEC17	2352.00	2590.83	USD	5,970.75	
07SEP17	S 1 PD07DEC17	2352.00	2590.83	USD	-5,970.75	
	NET +3 AVG BGHT 2337.63 SOLD 2352.00				**19,349.75**	**0.00**
08SEP17	B 1 PD08DEC17	2354.50	2590.96	USD	5,911.50	
08SEP17	S 1 PD08DEC17	2332.50	2590.96	USD	-6,461.50	
08SEP17	B 1 PD08DEC17	2302.00	2590.96	USD	7,224.00	
08SEP17	B 1 PD08DEC17	2313.00	2590.96	USD	6,949.00	
08SEP17	B 1 PD08DEC17	2315.00	2590.96	USD	6,899.00	
08SEP17	B 1 PD08DEC17	2301.00	2590.96	USD	7,249.00	
08SEP17	B 2 PD08DEC17	2298.00	2590.96	USD	14,648.00	
08SEP17	B 1 PD08DEC17	2295.50	2590.96	USD	7,386.50	
08SEP17	B 1 PD08DEC17	2294.50	2590.96	USD	7,411.50	
	NET +8 AVG BGHT 2307.94 SOLD 2332.50				**57,217.00**	**0.00**
11SEP17	S 1 PD11DEC17	2293.00	2591.34	USD	-7,458.50	
11SEP17	S 1 PD11DEC17	2294.50	2591.34	USD	-7,421.00	
	NET -2 AVG SOLD 2293.75				**-14,879.50**	**0.00**
14SEP17	S 1 PD14DEC17	2294.50	2591.73	USD	-7,430.75	
14SEP17	S 1 PD14DEC17	2298.00	2591.73	USD	-7,343.25	
14SEP17	S 1 PD14DEC17	2287.00	2591.73	USD	-7,618.25	
14SEP17	S 1 PD14DEC17	2291.00	2591.73	USD	-7,518.25	
14SEP17	S 1 PD14DEC17	2292.50	2591.73	USD	-7,480.75	
14SEP17	S 1 PD14DEC17	2289.50	2591.73	USD	-7,555.75	
14SEP17	S 2 PD14DEC17	2297.50	2591.73	USD	-14,711.50	
14SEP17	S 1 PD14DEC17	2297.00	2591.73	USD	-7,368.25	
	NET -9 AVG SOLD 2293.83				**-67,026.75**	**0.00**
21SEP17	S 1 PD21DEC17	2464.50	2592.29	USD	-3,194.75	
21SEP17	S 2 PD21DEC17	2464.00	2592.29	USD	-6,414.50	
21SEP17	S 2 PD21DEC17	2465.50	2592.29	USD	-6,339.50	
21SEP17	S 1 PD21DEC17	2467.50	2592.29	USD	-3,119.75	
21SEP17	S 4 PD21DEC17	2465.00	2592.29	USD	-12,729.00	
21SEP17	S 1 PD21DEC17	2466.50	2592.29	USD	-3,144.75	
21SEP17	B 10 PD21DEC17	2491.65	2592.29	USD	25,160.00	
	NET -1 AVG BGHT 2491.65 SOLD 2465.23				**-9,782.25**	**0.00**
26SEP17	B 1 PD27DEC17	2503.00	2591.86	USD	2,221.50	
	NET +1 AVG BGHT 2503.00				**2,221.50**	**0.00**
29SEP17	S 1 PD29DEC17	2508.00	2591.43	USD	-2,085.75	
29SEP17	S 1 PD29DEC17	2511.00	2591.43	USD	-2,010.75	
29SEP17	S 1 PD29DEC17	2513.00	2591.43	USD	-1,960.75	
29SEP17	B 1 PD29DEC17	2467.00	2591.43	USD	3,110.75	
	NET -2 AVG BGHT 2467.00 SOLD 2510.67				**-2,946.50**	**0.00**
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
29SEP17	B 8 PD02NOV17	10446.50	10563.46	USD	5,614.08	
	NET +8 AVG BGHT 10446.50				**5,614.08**	**0.00**
08SEP17	B 1 PD08DEC17	11505.00	10596.37	USD	-5,451.78	
08SEP17	B 1 PD08DEC17	11555.00	10596.37	USD	-5,751.78	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP094AX

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
08SEP17	B 1 PD08DEC17	11515.00	10596.37	USD	-5,511.78	
08SEP17	B 1 PD08DEC17	11525.00	10596.37	USD	-5,571.78	
14SEP17	S 4 PD08DEC17	11194.24	10596.37	USD	14,348.88	
	NET 0 AVG BGHT 11525.00 SOLD 11194.24				**-7,938.24**	**0.00**
14SEP17	B 1 PD14DEC17	11195.00	10601.69	USD	-3,559.86	
14SEP17	B 1 PD14DEC17	11180.00	10601.69	USD	-3,469.86	
14SEP17	B 1 PD14DEC17	11205.00	10601.69	USD	-3,619.86	
14SEP17	B 2 PD14DEC17	11170.00	10601.69	USD	-6,819.72	
14SEP17	B 1 PD14DEC17	11270.00	10601.69	USD	-4,009.86	
14SEP17	B 1 PD14DEC17	11280.00	10601.69	USD	-4,069.86	
14SEP17	S 4 PD14DEC17	11199.00	10601.69	USD	14,335.44	
	NET +3 AVG BGHT 11210.00 SOLD 11199.00				**-11,213.58**	**0.00**
15SEP17	B 1 PD15DEC17	11085.00	10602.57	USD	-2,894.58	
15SEP17	B 1 PD15DEC17	11070.00	10602.57	USD	-2,804.58	
15SEP17	B 1 PD15DEC17	11075.00	10602.57	USD	-2,834.58	
15SEP17	B 2 PD15DEC17	11090.00	10602.57	USD	-5,849.16	
15SEP17	B 2 PD15DEC17	11060.00	10602.57	USD	-5,489.16	
15SEP17	B 2 PD15DEC17	11065.00	10602.57	USD	-5,549.16	
	NET +9 AVG BGHT 11073.33				**-25,421.22**	**0.00**
18SEP17	B 4 PD18DEC17	11190.00	10605.23	USD	-14,034.48	
18SEP17	B 1 PD18DEC17	11185.00	10605.23	USD	-3,478.62	
	NET +5 AVG BGHT 11189.00				**-17,513.10**	**0.00**
29SEP17	S 1 PD29DEC17	10405.00	10615.42	USD	-1,262.52	
29SEP17	S 1 PD29DEC17	10385.00	10615.42	USD	-1,382.52	
29SEP17	S 1 PD29DEC17	10390.00	10615.42	USD	-1,352.52	
29SEP17	S 1 PD29DEC17	10485.00	10615.42	USD	-782.52	
29SEP17	S 1 PD29DEC17	10490.00	10615.42	USD	-752.52	
29SEP17	S 1 PD29DEC17	10495.00	10615.42	USD	-722.52	
29SEP17	S 1 PD29DEC17	10500.00	10615.42	USD	-692.52	
29SEP17	S 2 PD29DEC17	10480.00	10615.42	USD	-1,625.04	
29SEP17	S 1 PD29DEC17	10430.00	10615.42	USD	-1,112.52	
29SEP17	B 2 PD29DEC17	10450.00	10615.42	USD	1,985.04	
29SEP17	B 1 PD29DEC17	10480.00	10615.42	USD	812.52	
29SEP17	B 1 PD29DEC17	10490.00	10615.42	USD	752.52	
29SEP17	B 2 PD29DEC17	10495.00	10615.42	USD	1,445.04	
29SEP17	B 1 PD29DEC17	10505.00	10615.42	USD	662.52	
	NET -3 AVG BGHT 10480.71 SOLD 10454.00				**-4,027.56**	**0.00**
	CMX SILVER 5,000 CMX					
15SEP17	B 3 DEC17	1784.5	1665.0	USD	-17,925.00	
29SEP17	B 1 DEC17	1672.0	1665.0	USD	-350.00	
	NET +4 AVG BGHT 1756.4				**-18,275.00**	**0.00**
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
02OCT17	S 13 PD02NOV17	3242.00	3273.50	USD	-10,237.50	
	NET -13 AVG SOLD 3242.00				**-10,237.50**	**0.00**
07SEP17	S 1 PD07DEC17	3137.50	3266.11	USD	-3,215.25	
07SEP17	S 1 PD07DEC17	3139.50	3266.11	USD	-3,165.25	
07SEP17	S 1 PD07DEC17	3141.00	3266.11	USD	-3,127.75	
07SEP17	B 1 PD07DEC17	3134.00	3266.11	USD	3,302.75	
07SEP17	B 3 PD07DEC17	3135.00	3266.11	USD	9,833.25	
07SEP17	S 1 PD07DEC17	3134.50	3266.11	USD	-3,290.25	
	NET 0 AVG BGHT 3134.75 SOLD 3138.13				**337.50**	**0.00**
08SEP17	B 1 PD08DEC17	3085.50	3266.21	USD	4,517.75	
08SEP17	B 1 PD08DEC17	3086.00	3266.21	USD	4,505.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP094AX

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
08SEP17	B 1 PD08DEC17	3090.50	3266.21	USD	4,392.75	
08SEP17	B 1 PD08DEC17	3083.00	3266.21	USD	4,580.25	
	NET +4 AVG BGHT 3086.25				**17,996.00**	**0.00**
11SEP17	S 1 PD11DEC17	3054.00	3266.54	USD	-5,313.50	
11SEP17	S 1 PD11DEC17	3067.50	3266.54	USD	-4,976.00	
11SEP17	S 1 PD11DEC17	3076.00	3266.54	USD	-4,763.50	
11SEP17	S 1 PD11DEC17	3093.00	3266.54	USD	-4,338.50	
	NET -4 AVG SOLD 3072.63				**-19,391.50**	**0.00**
15SEP17	S 1 PD15DEC17	3012.00	3266.96	USD	-6,374.00	
15SEP17	S 1 PD15DEC17	3012.50	3266.96	USD	-6,361.50	
15SEP17	S 1 PD15DEC17	3027.00	3266.96	USD	-5,999.00	
15SEP17	S 1 PD15DEC17	3034.00	3266.96	USD	-5,824.00	
02OCT17	B 3 PD15DEC17	3238.37	3266.96	USD	2,144.25	
	NET -1 AVG BGHT 3238.37 SOLD 3021.38				**-22,414.25**	**0.00**
18SEP17	S 1 PD18DEC17	3092.50	3267.29	USD	-4,369.75	
	NET -1 AVG SOLD 3092.50				**-4,369.75**	**0.00**
19SEP17	B 1 PD19DEC17	3098.50	3267.39	USD	4,222.25	
19SEP17	B 1 PD19DEC17	3094.00	3267.39	USD	4,334.75	
19SEP17	S 1 PD19DEC17	3094.50	3267.39	USD	-4,322.25	
19SEP17	B 1 PD19DEC17	3101.50	3267.39	USD	4,147.25	
19SEP17	S 1 PD19DEC17	3092.00	3267.39	USD	-4,384.75	
19SEP17	B 1 PD19DEC17	3089.00	3267.39	USD	4,459.75	
	NET +2 AVG BGHT 3095.75 SOLD 3093.25				**8,457.00**	**0.00**
26SEP17	S 1 PD27DEC17	3123.00	3264.00	USD	-3,525.00	
26SEP17	S 1 PD27DEC17	3136.00	3264.00	USD	-3,200.00	
02OCT17	B 2 PD27DEC17	3236.20	3264.00	USD	1,390.00	
	NET 0 AVG BGHT 3236.20 SOLD 3129.50				**-5,335.00**	**0.00**
29SEP17	B 1 PD29DEC17	3148.50	3264.00	USD	2,887.50	
29SEP17	B 1 PD29DEC17	3149.00	3264.00	USD	2,875.00	
29SEP17	B 1 PD29DEC17	3151.00	3264.00	USD	2,825.00	
29SEP17	S 1 PD29DEC17	3165.00	3264.00	USD	-2,475.00	
29SEP17	S 2 PD29DEC17	3168.00	3264.00	USD	-4,800.00	
29SEP17	S 1 PD29DEC17	3170.00	3264.00	USD	-2,350.00	
29SEP17	S 1 PD29DEC17	3171.00	3264.00	USD	-2,325.00	
29SEP17	B 1 PD29DEC17	3150.00	3264.00	USD	2,850.00	
29SEP17	B 1 PD29DEC17	3156.50	3264.00	USD	2,687.50	
29SEP17	S 1 PD29DEC17	3162.00	3264.00	USD	-2,550.00	
29SEP17	S 1 PD29DEC17	3157.00	3264.00	USD	-2,675.00	
29SEP17	S 3 PD29DEC17	3156.50	3264.00	USD	-8,062.50	
29SEP17	S 2 PD29DEC17	3157.50	3264.00	USD	-5,325.00	
29SEP17	S 1 PD29DEC17	3164.00	3264.00	USD	-2,500.00	
02OCT17	B 8 PD29DEC17	3236.20	3264.00	USD	5,560.00	
	NET 0 AVG BGHT 3203.43 SOLD 3162.27				**-13,377.50**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP094AX

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	479,844.25
LME Lead 25 tonnes. This is an	FUTURES	736,975.00
LME Nickel 6 tonnes. This is	FUTURES	-1,457,994.24
CMX Silver	FUTURES	-351,275.00
LME Zinc 25 tonnes. This is	FUTURES	1,015,572.25

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options			Amount	Profit / Loss	**Total Amount**	**Total P&L**
SILV	- Futures	USD	-18,275.00	-18,275.00	**-18,275.00**	**-18,275.00**
Unrealised Profits & Losses - Forwards			**Amount**	**Profit / Loss**	**Total Amount**	**Total P&L**
ALUL	- Futures	USD	4,196.75	4,196.75		
LEAD	- Futures	USD	-39,809.25	-39,809.25		
NKL	- Futures	USD	-60,499.62	-60,499.62		
ZINC	- Futures	USD	-48,335.00	-48,335.00	**-144,447.12**	**-144,447.12**

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-38,441.72	-38,441.72
Variation Margins	- Futures	-18,275.00	-18,275.00
	- Options	0.00	0.00
TOTAL EQUITY		**-56,716.72**	**-56,716.72**
Initial Margins		-473,188.12	-473,188.12
Excess/(Shortage)		**-529,904.84**	**-529,904.84**
FOR INFORMATION ONLY			
Forward Unrealised P&L		-144,447.12	-144,447.12
Net Liquidating Value		**-201,163.84**	**-201,163.84**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP094AY

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
18SEP17	B 1 PD18DEC17	2093.00	2127.21	USD	855.25	
26SEP17	S 1 PD18DEC17	2123.64	2127.21	USD	-89.25	
	NET 0 AVG BGHT 2093.00 SOLD 2123.64				**766.00**	**0.00**
19SEP17	B 1 PD19DEC17	2105.00	2127.48	USD	562.00	
19SEP17	S 1 PD19DEC17	2127.00	2127.48	USD	-12.00	
19SEP17	S 1 PD19DEC17	2124.00	2127.48	USD	-87.00	
19SEP17	B 1 PD19DEC17	2126.00	2127.48	USD	37.00	
	NET 0 AVG BGHT 2115.50 SOLD 2125.50				**500.00**	**0.00**
20SEP17	B 1 PD20DEC17	2127.50	2127.75	USD	6.25	
20SEP17	B 2 PD20DEC17	2127.00	2127.75	USD	37.50	
20SEP17	S 1 PD20DEC17	2126.50	2127.75	USD	-31.25	
20SEP17	B 1 PD20DEC17	2129.00	2127.75	USD	-31.25	
20SEP17	B 1 PD20DEC17	2151.00	2127.75	USD	-581.25	
20SEP17	B 1 PD20DEC17	2152.00	2127.75	USD	-606.25	
20SEP17	B 1 PD20DEC17	2161.50	2127.75	USD	-843.75	
20SEP17	B 1 PD20DEC17	2163.00	2127.75	USD	-881.25	
20SEP17	B 1 PD20DEC17	2161.00	2127.75	USD	-831.25	
20SEP17	B 2 PD20DEC17	2159.00	2127.75	USD	-1,562.50	
20SEP17	B 1 PD20DEC17	2158.00	2127.75	USD	-756.25	
20SEP17	S 1 PD20DEC17	2176.00	2127.75	USD	1,206.25	
20SEP17	S 1 PD20DEC17	2180.00	2127.75	USD	1,306.25	
20SEP17	B 1 PD20DEC17	2156.00	2127.75	USD	-706.25	
20SEP17	B 5 PD20DEC17	2183.50	2127.75	USD	-6,968.75	
20SEP17	B 7 PD20DEC17	2184.00	2127.75	USD	-9,843.75	
20SEP17	B 3 PD20DEC17	2184.50	2127.75	USD	-4,256.25	
20SEP17	B 4 PD20DEC17	2177.00	2127.75	USD	-4,925.00	
20SEP17	B 2 PD20DEC17	2179.50	2127.75	USD	-2,587.50	
20SEP17	B 2 PD20DEC17	2178.00	2127.75	USD	-2,512.50	
20SEP17	B 2 PD20DEC17	2175.50	2127.75	USD	-2,387.50	
20SEP17	S 1 PD20DEC17	2182.50	2127.75	USD	1,368.75	
20SEP17	S 1 PD20DEC17	2173.00	2127.75	USD	1,131.25	
20SEP17	S 1 PD20DEC17	2171.00	2127.75	USD	1,081.25	
20SEP17	S 1 PD20DEC17	2164.00	2127.75	USD	906.25	
20SEP17	S 1 PD20DEC17	2175.00	2127.75	USD	1,181.25	
26SEP17	S 2 PD20DEC17	2124.25	2127.75	USD	-175.00	
	NET +28 AVG BGHT 2170.11 SOLD 2159.65				**-32,262.50**	**0.00**
21SEP17	S 1 PD21DEC17	2178.50	2127.98	USD	1,263.00	
21SEP17	S 1 PD21DEC17	2177.00	2127.98	USD	1,225.50	
21SEP17	S 1 PD21DEC17	2173.00	2127.98	USD	1,125.50	
21SEP17	S 1 PD21DEC17	2176.50	2127.98	USD	1,213.00	
21SEP17	S 3 PD21DEC17	2175.00	2127.98	USD	3,526.50	
21SEP17	S 1 PD21DEC17	2168.00	2127.98	USD	1,000.50	
21SEP17	S 1 PD21DEC17	2163.50	2127.98	USD	888.00	
21SEP17	S 4 PD21DEC17	2181.50	2127.98	USD	5,352.00	
21SEP17	S 1 PD21DEC17	2180.00	2127.98	USD	1,300.50	
21SEP17	B 6 PD21DEC17	2194.00	2127.98	USD	-9,903.00	
21SEP17	B 4 PD21DEC17	2193.50	2127.98	USD	-6,552.00	
21SEP17	B 2 PD21DEC17	2191.00	2127.98	USD	-3,151.00	
21SEP17	B 4 PD21DEC17	2192.00	2127.98	USD	-6,402.00	
21SEP17	B 4 PD21DEC17	2191.50	2127.98	USD	-6,352.00	
21SEP17	B 4 PD21DEC17	2182.00	2127.98	USD	-5,402.00	
21SEP17	B 1 PD21DEC17	2181.50	2127.98	USD	-1,338.00	
21SEP17	B 2 PD21DEC17	2183.00	2127.98	USD	-2,751.00	
21SEP17	B 4 PD21DEC17	2184.00	2127.98	USD	-5,602.00	
21SEP17	B 6 PD21DEC17	2173.50	2127.98	USD	-6,828.00	
21SEP17	B 1 PD21DEC17	2173.00	2127.98	USD	-1,125.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP094AY

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
21SEP17	B 1 PD21DEC17	2172.00	2127.98	USD	-1,100.50	
21SEP17	S 1 PD21DEC17	2171.00	2127.98	USD	1,075.50	
21SEP17	S 1 PD21DEC17	2169.50	2127.98	USD	1,038.00	
21SEP17	S 2 PD21DEC17	2167.00	2127.98	USD	1,951.00	
21SEP17	S 9 PD21DEC17	2166.50	2127.98	USD	8,667.00	
21SEP17	S 5 PD21DEC17	2166.00	2127.98	USD	4,752.50	
21SEP17	S 1 PD21DEC17	2164.50	2127.98	USD	913.00	
21SEP17	S 2 PD21DEC17	2162.50	2127.98	USD	1,726.00	
21SEP17	S 4 PD21DEC17	2162.00	2127.98	USD	3,402.00	
21SEP17	B 2 PD21DEC17	2157.00	2127.98	USD	-1,451.00	
21SEP17	S 1 PD21DEC17	2154.00	2127.98	USD	650.50	
21SEP17	S 1 PD21DEC17	2151.00	2127.98	USD	575.50	
21SEP17	B 2 PD21DEC17	2146.50	2127.98	USD	-926.00	
21SEP17	S 7 PD21DEC17	2145.00	2127.98	USD	2,978.50	
21SEP17	S 1 PD21DEC17	2144.50	2127.98	USD	413.00	
21SEP17	B 1 PD21DEC17	2150.00	2127.98	USD	-550.50	
21SEP17	B 2 PD21DEC17	2148.50	2127.98	USD	-1,026.00	
21SEP17	B 1 PD21DEC17	2144.00	2127.98	USD	-400.50	
21SEP17	B 5 PD21DEC17	2149.00	2127.98	USD	-2,627.50	
21SEP17	B 1 PD21DEC17	2147.00	2127.98	USD	-475.50	
21SEP17	B 5 PD21DEC17	2149.50	2127.98	USD	-2,690.00	
21SEP17	B 8 PD21DEC17	2146.50	2127.98	USD	-3,704.00	
26SEP17	B 3 PD21DEC17	2124.70	2127.98	USD	246.00	
	NET +20 AVG BGHT 2168.62 SOLD 2164.74				**-25,075.00**	**0.00**
22SEP17	B 1 PD22DEC17	2151.00	2128.21	USD	-569.75	
22SEP17	S 1 PD22DEC17	2125.00	2128.21	USD	-80.25	
22SEP17	B 1 PD22DEC17	2119.00	2128.21	USD	230.25	
22SEP17	B 1 PD22DEC17	2115.50	2128.21	USD	317.75	
22SEP17	S 1 PD22DEC17	2142.50	2128.21	USD	357.25	
22SEP17	S 1 PD22DEC17	2156.50	2128.21	USD	707.25	
22SEP17	S 1 PD22DEC17	2157.00	2128.21	USD	719.75	
22SEP17	S 1 PD22DEC17	2166.00	2128.21	USD	944.75	
26SEP17	B 1 PD22DEC17	2124.75	2128.21	USD	86.50	
	NET -1 AVG BGHT 2127.56 SOLD 2149.40				**2,713.50**	**0.00**
25SEP17	B 1 PD27DEC17	2150.50	2129.38	USD	-528.00	
25SEP17	S 1 PD27DEC17	2152.00	2129.38	USD	565.50	
25SEP17	B 1 PD27DEC17	2164.50	2129.38	USD	-878.00	
25SEP17	S 8 PD27DEC17	2149.50	2129.38	USD	4,024.00	
25SEP17	S 2 PD27DEC17	2146.50	2129.38	USD	856.00	
25SEP17	B 5 PD27DEC17	2147.50	2129.38	USD	-2,265.00	
25SEP17	B 5 PD27DEC17	2148.00	2129.38	USD	-2,327.50	
25SEP17	S 2 PD27DEC17	2160.00	2129.38	USD	1,531.00	
25SEP17	B 2 PD27DEC17	2159.50	2129.38	USD	-1,506.00	
25SEP17	B 1 PD27DEC17	2143.50	2129.38	USD	-353.00	
25SEP17	B 1 PD27DEC17	2146.00	2129.38	USD	-415.50	
25SEP17	B 1 PD27DEC17	2151.00	2129.38	USD	-540.50	
25SEP17	B 1 PD27DEC17	2151.50	2129.38	USD	-553.00	
26SEP17	S 8 PD27DEC17	2149.50	2129.38	USD	4,024.00	
26SEP17	S 2 PD27DEC17	2147.00	2129.38	USD	881.00	
26SEP17	S 7 PD27DEC17	2156.00	2129.38	USD	4,658.50	
26SEP17	S 3 PD27DEC17	2155.50	2129.38	USD	1,959.00	
26SEP17	S 1 PD27DEC17	2153.50	2129.38	USD	603.00	
26SEP17	S 2 PD27DEC17	2134.50	2129.38	USD	256.00	
26SEP17	S 5 PD27DEC17	2137.00	2129.38	USD	952.50	
26SEP17	S 1 PD27DEC17	2132.50	2129.38	USD	78.00	
26SEP17	S 1 PD27DEC17	2133.50	2129.38	USD	103.00	
26SEP17	S 5 PD27DEC17	2133.00	2129.38	USD	452.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP094AY

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
26SEP17	S 2 PD27DEC17	2134.00	2129.38	USD	231.00	
26SEP17	B 2 PD27DEC17	2132.00	2129.38	USD	-131.00	
26SEP17	B 1 PD27DEC17	2135.50	2129.38	USD	-153.00	
26SEP17	S 2 PD27DEC17	2135.50	2129.38	USD	306.00	
26SEP17	B 4 PD27DEC17	2137.00	2129.38	USD	-762.00	
26SEP17	B 1 PD27DEC17	2132.50	2129.38	USD	-78.00	
26SEP17	B 3 PD27DEC17	2125.00	2129.38	USD	328.50	
26SEP17	B 2 PD27DEC17	2126.00	2129.38	USD	169.00	
26SEP17	S 1 PD27DEC17	2125.80	2129.38	USD	-89.50	
27SEP17	B 1 PD27DEC17	2132.00	2129.38	USD	-65.50	
27SEP17	S 1 PD27DEC17	2141.00	2129.38	USD	290.50	
27SEP17	S 2 PD27DEC17	2140.00	2129.38	USD	531.00	
27SEP17	S 1 PD27DEC17	2138.00	2129.38	USD	215.50	
27SEP17	S 1 PD27DEC17	2134.50	2129.38	USD	128.00	
27SEP17	S 1 PD27DEC17	2134.00	2129.38	USD	115.50	
27SEP17	S 1 PD27DEC17	2133.00	2129.38	USD	90.50	
27SEP17	S 1 PD27DEC17	2135.00	2129.38	USD	140.50	
27SEP17	S 1 PD27DEC17	2131.50	2129.38	USD	53.00	
27SEP17	S 1 PD27DEC17	2127.00	2129.38	USD	-59.50	
27SEP17	B 1 PD27DEC17	2125.50	2129.38	USD	97.00	
27SEP17	B 1 PD27DEC17	2126.50	2129.38	USD	72.00	
27SEP17	B 1 PD27DEC17	2126.00	2129.38	USD	84.50	
	NET -28 AVG BGHT 2140.59 SOLD 2143.92				**13,091.50**	**0.00**
28SEP17	B 1 PD28DEC17	2134.00	2129.61	USD	-109.75	
28SEP17	S 2 PD28DEC17	2132.50	2129.61	USD	144.50	
28SEP17	B 1 PD28DEC17	2128.00	2129.61	USD	40.25	
28SEP17	S 2 PD28DEC17	2126.00	2129.61	USD	-180.50	
28SEP17	S 1 PD28DEC17	2133.00	2129.61	USD	84.75	
28SEP17	S 1 PD28DEC17	2133.50	2129.61	USD	97.25	
28SEP17	S 5 PD28DEC17	2131.00	2129.61	USD	173.75	
28SEP17	S 1 PD28DEC17	2130.00	2129.61	USD	9.75	
28SEP17	S 1 PD28DEC17	2130.50	2129.61	USD	22.25	
28SEP17	B 3 PD28DEC17	2130.00	2129.61	USD	-29.25	
28SEP17	B 5 PD28DEC17	2130.50	2129.61	USD	-111.25	
28SEP17	S 1 PD28DEC17	2125.00	2129.61	USD	-115.25	
28SEP17	S 3 PD28DEC17	2125.50	2129.61	USD	-308.25	
28SEP17	S 1 PD28DEC17	2143.50	2129.61	USD	347.25	
	NET -8 AVG BGHT 2130.45 SOLD 2130.22				**65.50**	**0.00**
29SEP17	B 1 PD29DEC17	2137.00	2129.84	USD	-179.00	
	NET +1 AVG BGHT 2137.00				**-179.00**	**0.00**
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
19SEP17	B 1 PD19DEC17	6542.00	6517.35	USD	-616.25	
26SEP17	S 1 PD19DEC17	6409.93	6517.35	USD	-2,685.50	
	NET 0 AVG BGHT 6542.00 SOLD 6409.93				**-3,301.75**	**0.00**
21SEP17	S 1 PD21DEC17	6443.00	6516.75	USD	-1,843.75	
21SEP17	S 1 PD21DEC17	6426.50	6516.75	USD	-2,256.25	
21SEP17	B 1 PD21DEC17	6445.50	6516.75	USD	1,781.25	
21SEP17	S 1 PD21DEC17	6427.00	6516.75	USD	-2,243.75	
26SEP17	B 1 PD21DEC17	6409.20	6516.75	USD	2,688.75	
	NET -1 AVG BGHT 6427.35 SOLD 6432.17				**-1,873.75**	**0.00**
22SEP17	S 1 PD22DEC17	6426.50	6515.75	USD	-2,231.25	
22SEP17	B 1 PD22DEC17	6429.50	6515.75	USD	2,156.25	
22SEP17	B 2 PD22DEC17	6441.00	6515.75	USD	3,737.50	
22SEP17	B 1 PD22DEC17	6442.50	6515.75	USD	1,831.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP094AY

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
22SEP17	B 1 PD22DEC17	6431.00	6515.75	USD	2,118.75	
22SEP17	S 1 PD22DEC17	6407.00	6515.75	USD	-2,718.75	
22SEP17	S 1 PD22DEC17	6400.50	6515.75	USD	-2,881.25	
22SEP17	S 1 PD22DEC17	6400.00	6515.75	USD	-2,893.75	
22SEP17	S 2 PD22DEC17	6387.00	6515.75	USD	-6,437.50	
22SEP17	B 1 PD22DEC17	6452.50	6515.75	USD	1,581.25	
22SEP17	S 1 PD22DEC17	6462.00	6515.75	USD	-1,343.75	
22SEP17	B 2 PD22DEC17	6478.50	6515.75	USD	1,862.50	
22SEP17	S 2 PD22DEC17	6477.00	6515.75	USD	-1,937.50	
	NET -1 AVG BGHT 6449.31 SOLD 6424.89				**-7,156.25**	**0.00**
25SEP17	S 2 PD27DEC17	6473.00	6517.52	USD	-2,226.00	
25SEP17	S 3 PD27DEC17	6457.00	6517.52	USD	-4,539.00	
26SEP17	S 1 PD27DEC17	6478.50	6517.52	USD	-975.50	
26SEP17	S 1 PD27DEC17	6479.00	6517.52	USD	-963.00	
26SEP17	B 2 PD27DEC17	6499.50	6517.52	USD	901.00	
26SEP17	B 1 PD27DEC17	6506.50	6517.52	USD	275.50	
26SEP17	B 1 PD27DEC17	6482.50	6517.52	USD	875.50	
26SEP17	B 1 PD27DEC17	6460.00	6517.52	USD	1,438.00	
26SEP17	S 1 PD27DEC17	6458.00	6517.52	USD	-1,488.00	
26SEP17	S 1 PD27DEC17	6456.00	6517.52	USD	-1,538.00	
26SEP17	B 3 PD27DEC17	6437.50	6517.52	USD	6,001.50	
26SEP17	B 1 PD27DEC17	6442.50	6517.52	USD	1,875.50	
26SEP17	B 1 PD27DEC17	6439.50	6517.52	USD	1,950.50	
26SEP17	B 1 PD27DEC17	6439.00	6517.52	USD	1,963.00	
26SEP17	B 1 PD27DEC17	6435.00	6517.52	USD	2,063.00	
26SEP17	B 1 PD27DEC17	6433.50	6517.52	USD	2,100.50	
26SEP17	B 2 PD27DEC17	6434.50	6517.52	USD	4,151.00	
26SEP17	B 3 PD27DEC17	6427.00	6517.52	USD	6,789.00	
26SEP17	B 1 PD27DEC17	6425.00	6517.52	USD	2,313.00	
26SEP17	B 2 PD27DEC17	6424.00	6517.52	USD	4,676.00	
26SEP17	B 1 PD27DEC17	6422.50	6517.52	USD	2,375.50	
26SEP17	B 1 PD27DEC17	6415.00	6517.52	USD	2,563.00	
26SEP17	B 1 PD27DEC17	6441.50	6517.52	USD	1,900.50	
26SEP17	B 1 PD27DEC17	6417.50	6517.52	USD	2,500.50	
26SEP17	B 1 PD27DEC17	6418.50	6517.52	USD	2,475.50	
26SEP17	S 1 PD27DEC17	6411.50	6517.52	USD	-2,650.50	
26SEP17	S 2 PD27DEC17	6412.50	6517.52	USD	-5,251.00	
26SEP17	S 1 PD27DEC17	6417.00	6517.52	USD	-2,513.00	
26SEP17	S 1 PD27DEC17	6416.50	6517.52	USD	-2,525.50	
27SEP17	S 1 PD27DEC17	6426.00	6517.52	USD	-2,288.00	
27SEP17	S 2 PD27DEC17	6440.50	6517.52	USD	-3,851.00	
27SEP17	B 1 PD27DEC17	6463.50	6517.52	USD	1,350.50	
27SEP17	B 1 PD27DEC17	6470.50	6517.52	USD	1,175.50	
27SEP17	B 2 PD27DEC17	6464.50	6517.52	USD	2,651.00	
27SEP17	S 2 PD27DEC17	6456.00	6517.52	USD	-3,076.00	
27SEP17	S 1 PD27DEC17	6454.50	6517.52	USD	-1,575.50	
27SEP17	B 2 PD27DEC17	6456.00	6517.52	USD	3,076.00	
27SEP17	S 1 PD27DEC17	6452.50	6517.52	USD	-1,625.50	
27SEP17	S 1 PD27DEC17	6448.00	6517.52	USD	-1,738.00	
27SEP17	S 1 PD27DEC17	6445.00	6517.52	USD	-1,813.00	
27SEP17	S 1 PD27DEC17	6447.50	6517.52	USD	-1,750.50	
27SEP17	S 1 PD27DEC17	6444.50	6517.52	USD	-1,825.50	
27SEP17	S 1 PD27DEC17	6436.00	6517.52	USD	-2,038.00	
27SEP17	S 4 PD27DEC17	6437.00	6517.52	USD	-8,052.00	
27SEP17	S 3 PD27DEC17	6427.50	6517.52	USD	-6,751.50	
27SEP17	S 1 PD27DEC17	6433.50	6517.52	USD	-2,100.50	
27SEP17	S 2 PD27DEC17	6432.50	6517.52	USD	-4,251.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP094AY

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
27SEP17	S 2 PD27DEC17	6430.50	6517.52	USD	-4,351.00	
27SEP17	S 1 PD27DEC17	6415.00	6517.52	USD	-2,563.00	
27SEP17	S 2 PD27DEC17	6425.50	6517.52	USD	-4,601.00	
	NET -9 AVG BGHT 6445.72 SOLD 6440.52				**-21,479.50**	**0.00**
28SEP17	B 1 PD28DEC17	6457.50	6517.88	USD	1,509.50	
28SEP17	B 1 PD28DEC17	6425.00	6517.88	USD	2,322.00	
28SEP17	S 1 PD28DEC17	6411.50	6517.88	USD	-2,659.50	
28SEP17	S 1 PD28DEC17	6400.50	6517.88	USD	-2,934.50	
28SEP17	S 2 PD28DEC17	6424.50	6517.88	USD	-4,669.00	
28SEP17	B 2 PD28DEC17	6424.50	6517.88	USD	4,669.00	
28SEP17	S 1 PD28DEC17	6408.00	6517.88	USD	-2,747.00	
28SEP17	S 2 PD28DEC17	6408.50	6517.88	USD	-5,469.00	
28SEP17	S 2 PD28DEC17	6413.00	6517.88	USD	-5,244.00	
28SEP17	S 2 PD28DEC17	6427.00	6517.88	USD	-4,544.00	
28SEP17	B 1 PD28DEC17	6429.50	6517.88	USD	2,209.50	
28SEP17	B 1 PD28DEC17	6432.50	6517.88	USD	2,134.50	
28SEP17	B 1 PD28DEC17	6433.00	6517.88	USD	2,122.00	
28SEP17	B 1 PD28DEC17	6427.50	6517.88	USD	2,259.50	
28SEP17	B 1 PD28DEC17	6440.00	6517.88	USD	1,947.00	
28SEP17	B 1 PD28DEC17	6438.00	6517.88	USD	1,997.00	
28SEP17	B 1 PD28DEC17	6436.00	6517.88	USD	2,047.00	
28SEP17	B 1 PD28DEC17	6434.00	6517.88	USD	2,097.00	
28SEP17	B 1 PD28DEC17	6467.00	6517.88	USD	1,272.00	
28SEP17	B 1 PD28DEC17	6475.50	6517.88	USD	1,059.50	
28SEP17	B 1 PD28DEC17	6478.50	6517.88	USD	984.50	
28SEP17	B 1 PD28DEC17	6479.00	6517.88	USD	972.00	
28SEP17	B 1 PD28DEC17	6477.50	6517.88	USD	1,009.50	
28SEP17	B 2 PD28DEC17	6470.00	6517.88	USD	2,394.00	
28SEP17	B 1 PD28DEC17	6469.00	6517.88	USD	1,222.00	
28SEP17	B 1 PD28DEC17	6461.50	6517.88	USD	1,409.50	
28SEP17	B 2 PD28DEC17	6463.50	6517.88	USD	2,719.00	
28SEP17	B 2 PD28DEC17	6465.50	6517.88	USD	2,619.00	
28SEP17	B 1 PD28DEC17	6486.00	6517.88	USD	797.00	
28SEP17	B 1 PD28DEC17	6489.00	6517.88	USD	722.00	
28SEP17	B 2 PD28DEC17	6489.50	6517.88	USD	1,419.00	
28SEP17	B 1 PD28DEC17	6515.00	6517.88	USD	72.00	
28SEP17	B 4 PD28DEC17	6514.00	6517.88	USD	388.00	
28SEP17	B 1 PD28DEC17	6522.50	6517.88	USD	-115.50	
28SEP17	S 1 PD28DEC17	6514.50	6517.88	USD	-84.50	
28SEP17	S 1 PD28DEC17	6515.00	6517.88	USD	-72.00	
28SEP17	S 1 PD28DEC17	6534.00	6517.88	USD	403.00	
28SEP17	S 1 PD28DEC17	6542.00	6517.88	USD	603.00	
28SEP17	S 1 PD28DEC17	6565.00	6517.88	USD	1,178.00	
28SEP17	S 1 PD28DEC17	6572.00	6517.88	USD	1,353.00	
28SEP17	S 1 PD28DEC17	6554.50	6517.88	USD	915.50	
28SEP17	S 2 PD28DEC17	6557.00	6517.88	USD	1,956.00	
28SEP17	S 1 PD28DEC17	6558.00	6517.88	USD	1,003.00	
28SEP17	S 1 PD28DEC17	6556.00	6517.88	USD	953.00	
28SEP17	S 1 PD28DEC17	6557.50	6517.88	USD	990.50	
28SEP17	B 1 PD28DEC17	6557.50	6517.88	USD	-990.50	
28SEP17	B 1 PD28DEC17	6555.00	6517.88	USD	-928.00	
	NET +14 AVG BGHT 6472.11 SOLD 6484.72				**23,270.50**	**0.00**
29SEP17	S 2 PD29DEC17	6535.50	6518.23	USD	863.50	
29SEP17	S 1 PD29DEC17	6540.00	6518.23	USD	544.25	
29SEP17	S 2 PD29DEC17	6540.50	6518.23	USD	1,113.50	
29SEP17	S 3 PD29DEC17	6539.50	6518.23	USD	1,595.25	
29SEP17	S 2 PD29DEC17	6534.50	6518.23	USD	813.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP094AY

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
29SEP17	S 2 PD29DEC17	6531.00	6518.23	USD	638.50	
29SEP17	S 2 PD29DEC17	6528.50	6518.23	USD	513.50	
29SEP17	S 1 PD29DEC17	6538.00	6518.23	USD	494.25	
29SEP17	S 3 PD29DEC17	6535.00	6518.23	USD	1,257.75	
29SEP17	S 3 PD29DEC17	6532.00	6518.23	USD	1,032.75	
29SEP17	S 1 PD29DEC17	6525.00	6518.23	USD	169.25	
29SEP17	S 2 PD29DEC17	6525.50	6518.23	USD	363.50	
29SEP17	S 1 PD29DEC17	6527.00	6518.23	USD	219.25	
29SEP17	B 2 PD29DEC17	6553.50	6518.23	USD	-1,763.50	
29SEP17	B 1 PD29DEC17	6553.00	6518.23	USD	-869.25	
29SEP17	B 2 PD29DEC17	6564.00	6518.23	USD	-2,288.50	
29SEP17	B 2 PD29DEC17	6568.00	6518.23	USD	-2,488.50	
29SEP17	B 3 PD29DEC17	6567.50	6518.23	USD	-3,695.25	
29SEP17	B 1 PD29DEC17	6565.50	6518.23	USD	-1,181.75	
29SEP17	B 1 PD29DEC17	6567.00	6518.23	USD	-1,219.25	
29SEP17	B 2 PD29DEC17	6569.50	6518.23	USD	-2,563.50	
29SEP17	B 1 PD29DEC17	6563.00	6518.23	USD	-1,119.25	
29SEP17	B 2 PD29DEC17	6562.50	6518.23	USD	-2,213.50	
29SEP17	B 1 PD29DEC17	6562.00	6518.23	USD	-1,094.25	
29SEP17	B 1 PD29DEC17	6561.00	6518.23	USD	-1,069.25	
29SEP17	B 2 PD29DEC17	6566.50	6518.23	USD	-2,413.50	
29SEP17	B 6 PD29DEC17	6570.00	6518.23	USD	-7,765.50	
29SEP17	B 1 PD29DEC17	6574.50	6518.23	USD	-1,406.75	
29SEP17	B 2 PD29DEC17	6574.00	6518.23	USD	-2,788.50	
29SEP17	B 2 PD29DEC17	6573.50	6518.23	USD	-2,763.50	
29SEP17	B 3 PD29DEC17	6571.00	6518.23	USD	-3,957.75	
29SEP17	B 1 PD29DEC17	6578.50	6518.23	USD	-1,506.75	
29SEP17	S 1 PD29DEC17	6578.50	6518.23	USD	1,506.75	
29SEP17	B 1 PD29DEC17	6578.00	6518.23	USD	-1,494.25	
29SEP17	B 1 PD29DEC17	6576.00	6518.23	USD	-1,444.25	
29SEP17	B 1 PD29DEC17	6575.00	6518.23	USD	-1,419.25	
29SEP17	S 1 PD29DEC17	6566.00	6518.23	USD	1,194.25	
29SEP17	B 1 PD29DEC17	6561.50	6518.23	USD	-1,081.75	
29SEP17	S 1 PD29DEC17	6563.50	6518.23	USD	1,131.75	
	NET +12 AVG BGHT 6567.84 SOLD 6537.45				**-36,156.00**	**0.00**
	CMX COPPER 25,000 CMX					
27SEP17	S 1 DEC17	291.500	296.350	USD	-1,212.50	
27SEP17	S 2 DEC17	291.650	296.350	USD	-2,350.00	
27SEP17	S 1 DEC17	291.750	296.350	USD	-1,150.00	
27SEP17	S 2 DEC17	291.950	296.350	USD	-2,200.00	
27SEP17	S 2 DEC17	292.600	296.350	USD	-1,875.00	
27SEP17	S 2 DEC17	292.800	296.350	USD	-1,775.00	
27SEP17	S 3 DEC17	292.900	296.350	USD	-2,587.50	
27SEP17	S 3 DEC17	292.850	296.350	USD	-2,625.00	
	NET -16 AVG SOLD 292.406				**-15,775.00**	**0.00**
	CMX GOLD 100 CMX					
28SEP17	S 2 DEC17	1283.5	1274.6	USD	1,780.00	
	NET -2 AVG SOLD 1283.5				**1,780.00**	**0.00**
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
18SEP17	B 1 PD18DEC17	2390.00	2592.24	USD	5,056.00	
18SEP17	S 1 PD18DEC17	2400.00	2592.24	USD	-4,806.00	
	NET 0 AVG BGHT 2390.00 SOLD 2400.00				**250.00**	**0.00**
19SEP17	B 1 PD19DEC17	2385.00	2592.37	USD	5,184.25	
19SEP17	S 1 PD19DEC17	2410.50	2592.37	USD	-4,546.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP094AY

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
19SEP17	B 1 PD19DEC17	2427.50	2592.37	USD	4,121.75	
	NET +1 AVG BGHT 2406.25 SOLD 2410.50				**4,759.25**	**0.00**
20SEP17	B 1 PD20DEC17	2433.50	2592.50	USD	3,975.00	
20SEP17	B 1 PD20DEC17	2436.00	2592.50	USD	3,912.50	
20SEP17	B 1 PD20DEC17	2458.00	2592.50	USD	3,362.50	
20SEP17	S 1 PD20DEC17	2456.50	2592.50	USD	-3,400.00	
	NET +2 AVG BGHT 2442.50 SOLD 2456.50				**7,850.00**	**0.00**
21SEP17	B 1 PD21DEC17	2446.50	2592.29	USD	3,644.75	
21SEP17	S 1 PD21DEC17	2479.50	2592.29	USD	-2,819.75	
21SEP17	B 1 PD21DEC17	2481.50	2592.29	USD	2,769.75	
21SEP17	S 1 PD21DEC17	2483.50	2592.29	USD	-2,719.75	
21SEP17	S 1 PD21DEC17	2504.00	2592.29	USD	-2,207.25	
21SEP17	S 1 PD21DEC17	2491.00	2592.29	USD	-2,532.25	
21SEP17	S 1 PD21DEC17	2482.50	2592.29	USD	-2,744.75	
21SEP17	S 2 PD21DEC17	2464.50	2592.29	USD	-6,389.50	
21SEP17	S 1 PD21DEC17	2449.50	2592.29	USD	-3,569.75	
21SEP17	B 1 PD21DEC17	2451.00	2592.29	USD	3,532.25	
21SEP17	S 1 PD21DEC17	2473.50	2592.29	USD	-2,969.75	
	NET -6 AVG BGHT 2459.67 SOLD 2476.94				**-16,006.00**	**0.00**
22SEP17	B 1 PD22DEC17	2435.00	2592.07	USD	3,926.75	
22SEP17	B 1 PD22DEC17	2438.00	2592.07	USD	3,851.75	
22SEP17	B 1 PD22DEC17	2421.50	2592.07	USD	4,264.25	
22SEP17	B 1 PD22DEC17	2403.50	2592.07	USD	4,714.25	
22SEP17	S 1 PD22DEC17	2426.50	2592.07	USD	-4,139.25	
22SEP17	B 1 PD22DEC17	2381.50	2592.07	USD	5,264.25	
22SEP17	B 1 PD22DEC17	2381.00	2592.07	USD	5,276.75	
22SEP17	B 1 PD22DEC17	2385.00	2592.07	USD	5,176.75	
22SEP17	S 1 PD22DEC17	2455.00	2592.07	USD	-3,426.75	
22SEP17	S 1 PD22DEC17	2455.50	2592.07	USD	-3,414.25	
22SEP17	S 1 PD22DEC17	2482.00	2592.07	USD	-2,751.75	
22SEP17	S 1 PD22DEC17	2461.00	2592.07	USD	-3,276.75	
22SEP17	S 1 PD22DEC17	2457.50	2592.07	USD	-3,364.25	
22SEP17	B 1 PD22DEC17	2474.50	2592.07	USD	2,939.25	
22SEP17	S 1 PD22DEC17	2475.00	2592.07	USD	-2,926.75	
22SEP17	S 1 PD22DEC17	2483.50	2592.07	USD	-2,714.25	
22SEP17	S 1 PD22DEC17	2481.00	2592.07	USD	-2,776.75	
22SEP17	B 2 PD22DEC17	2489.00	2592.07	USD	5,153.50	
22SEP17	S 1 PD22DEC17	2476.50	2592.07	USD	-2,889.25	
	NET 0 AVG BGHT 2429.80 SOLD 2465.35				**8,887.50**	**0.00**
25SEP17	S 1 PD27DEC17	2475.50	2591.86	USD	-2,909.00	
25SEP17	B 2 PD27DEC17	2501.00	2591.86	USD	4,543.00	
25SEP17	B 1 PD27DEC17	2506.00	2591.86	USD	2,146.50	
25SEP17	B 1 PD27DEC17	2508.00	2591.86	USD	2,096.50	
25SEP17	B 1 PD27DEC17	2506.50	2591.86	USD	2,134.00	
25SEP17	S 1 PD27DEC17	2503.50	2591.86	USD	-2,209.00	
25SEP17	S 1 PD27DEC17	2486.00	2591.86	USD	-2,646.50	
25SEP17	S 1 PD27DEC17	2488.50	2591.86	USD	-2,584.00	
25SEP17	S 1 PD27DEC17	2501.50	2591.86	USD	-2,259.00	
25SEP17	S 2 PD27DEC17	2503.00	2591.86	USD	-4,443.00	
25SEP17	S 1 PD27DEC17	2486.50	2591.86	USD	-2,634.00	
25SEP17	B 1 PD27DEC17	2494.50	2591.86	USD	2,434.00	
25SEP17	B 1 PD27DEC17	2493.50	2591.86	USD	2,459.00	
25SEP17	B 1 PD27DEC17	2477.00	2591.86	USD	2,871.50	
26SEP17	B 1 PD27DEC17	2490.00	2591.86	USD	2,546.50	
27SEP17	B 1 PD27DEC17	2481.00	2591.86	USD	2,771.50	
27SEP17	S 1 PD27DEC17	2460.00	2591.86	USD	-3,296.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP094AY

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
27SEP17	S 1 PD27DEC17	2463.00	2591.86	USD	-3,221.50	
27SEP17	B 1 PD27DEC17	2466.00	2591.86	USD	3,146.50	
	NET +1 AVG BGHT 2493.14 SOLD 2487.05				**946.50**	**0.00**
28SEP17	B 1 PD28DEC17	2487.00	2591.64	USD	2,616.00	
28SEP17	S 2 PD28DEC17	2475.00	2591.64	USD	-5,832.00	
28SEP17	S 1 PD28DEC17	2474.50	2591.64	USD	-2,928.50	
28SEP17	B 1 PD28DEC17	2472.00	2591.64	USD	2,991.00	
28SEP17	B 1 PD28DEC17	2470.00	2591.64	USD	3,041.00	
28SEP17	S 1 PD28DEC17	2461.00	2591.64	USD	-3,266.00	
28SEP17	B 1 PD28DEC17	2488.00	2591.64	USD	2,591.00	
28SEP17	S 1 PD28DEC17	2459.00	2591.64	USD	-3,316.00	
28SEP17	B 1 PD28DEC17	2471.50	2591.64	USD	3,003.50	
28SEP17	S 1 PD28DEC17	2485.50	2591.64	USD	-2,653.50	
	NET -1 AVG BGHT 2477.70 SOLD 2471.67				**-3,753.50**	**0.00**
29SEP17	B 1 PD29DEC17	2488.50	2591.43	USD	2,573.25	
29SEP17	B 1 PD29DEC17	2499.00	2591.43	USD	2,310.75	
29SEP17	B 1 PD29DEC17	2503.50	2591.43	USD	2,198.25	
	NET +3 AVG BGHT 2497.00				**7,082.25**	**0.00**
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
18SEP17	S 2 PD18DEC17	11225.00	10605.23	USD	7,437.24	
18SEP17	S 2 PD18DEC17	11175.00	10605.23	USD	6,837.24	
26SEP17	B 4 PD18DEC17	10469.17	10605.23	USD	3,265.44	
	NET 0 AVG BGHT 10469.17 SOLD 11200.00				**17,539.92**	**0.00**
19SEP17	B 1 PD19DEC17	11135.00	10606.11	USD	-3,173.34	
19SEP17	B 1 PD19DEC17	11145.00	10606.11	USD	-3,233.34	
19SEP17	B 4 PD19DEC17	11140.00	10606.11	USD	-12,813.36	
19SEP17	B 2 PD19DEC17	11100.00	10606.11	USD	-5,926.68	
19SEP17	B 2 PD19DEC17	11095.00	10606.11	USD	-5,866.68	
19SEP17	B 2 PD19DEC17	11035.00	10606.11	USD	-5,146.68	
19SEP17	S 1 PD19DEC17	10995.00	10606.11	USD	2,333.34	
19SEP17	S 1 PD19DEC17	10980.00	10606.11	USD	2,243.34	
19SEP17	B 2 PD19DEC17	10970.00	10606.11	USD	-4,366.68	
19SEP17	B 2 PD19DEC17	10995.00	10606.11	USD	-4,666.68	
19SEP17	B 2 PD19DEC17	11110.00	10606.11	USD	-6,046.68	
26SEP17	S 16 PD19DEC17	10470.09	10606.11	USD	-13,057.92	
	NET 0 AVG BGHT 11080.56 SOLD 10527.58				**-59,721.36**	**0.00**
20SEP17	B 2 PD20DEC17	11350.00	10607.00	USD	-8,916.00	
20SEP17	S 8 PD20DEC17	11355.00	10607.00	USD	35,904.00	
20SEP17	S 2 PD20DEC17	11325.00	10607.00	USD	8,616.00	
20SEP17	S 2 PD20DEC17	11345.00	10607.00	USD	8,856.00	
20SEP17	S 4 PD20DEC17	11350.00	10607.00	USD	17,832.00	
20SEP17	S 2 PD20DEC17	11365.00	10607.00	USD	9,096.00	
26SEP17	B 16 PD20DEC17	10472.00	10607.00	USD	12,960.00	
	NET 0 AVG BGHT 10569.56 SOLD 11350.56				**84,348.00**	**0.00**
21SEP17	S 1 PD21DEC17	11275.00	10608.00	USD	4,002.00	
21SEP17	B 2 PD21DEC17	11190.00	10608.00	USD	-6,984.00	
21SEP17	B 2 PD21DEC17	11205.00	10608.00	USD	-7,164.00	
21SEP17	B 4 PD21DEC17	11200.00	10608.00	USD	-14,208.00	
21SEP17	B 3 PD21DEC17	11210.00	10608.00	USD	-10,836.00	
21SEP17	B 2 PD21DEC17	11165.00	10608.00	USD	-6,684.00	
21SEP17	S 1 PD21DEC17	11115.00	10608.00	USD	3,042.00	
21SEP17	B 4 PD21DEC17	11075.00	10608.00	USD	-11,208.00	
21SEP17	S 2 PD21DEC17	11020.00	10608.00	USD	4,944.00	
21SEP17	B 2 PD21DEC17	11040.00	10608.00	USD	-5,184.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP094AY

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
21SEP17	S 1 PD21DEC17	11020.00	10608.00	USD	2,472.00	
21SEP17	B 1 PD21DEC17	10970.00	10608.00	USD	-2,172.00	
21SEP17	B 9 PD21DEC17	10975.00	10608.00	USD	-19,818.00	
21SEP17	B 13 PD21DEC17	11000.00	10608.00	USD	-30,576.00	
21SEP17	B 6 PD21DEC17	11005.00	10608.00	USD	-14,292.00	
21SEP17	B 2 PD21DEC17	10995.00	10608.00	USD	-4,644.00	
21SEP17	B 1 PD21DEC17	10950.00	10608.00	USD	-2,052.00	
21SEP17	B 3 PD21DEC17	10955.00	10608.00	USD	-6,246.00	
21SEP17	B 7 PD21DEC17	10980.00	10608.00	USD	-15,624.00	
21SEP17	B 2 PD21DEC17	10985.00	10608.00	USD	-4,524.00	
21SEP17	B 2 PD21DEC17	11085.00	10608.00	USD	-5,724.00	
21SEP17	B 2 PD21DEC17	11095.00	10608.00	USD	-5,844.00	
21SEP17	B 1 PD21DEC17	11100.00	10608.00	USD	-2,952.00	
21SEP17	B 3 PD21DEC17	11070.00	10608.00	USD	-8,316.00	
21SEP17	B 1 PD21DEC17	11060.00	10608.00	USD	-2,712.00	
21SEP17	B 2 PD21DEC17	11105.00	10608.00	USD	-5,964.00	
21SEP17	B 3 PD21DEC17	11110.00	10608.00	USD	-9,036.00	
21SEP17	S 1 PD21DEC17	11095.00	10608.00	USD	2,922.00	
21SEP17	S 3 PD21DEC17	11110.00	10608.00	USD	9,036.00	
21SEP17	B 4 PD21DEC17	11080.00	10608.00	USD	-11,328.00	
21SEP17	B 1 PD21DEC17	11005.00	10608.00	USD	-2,382.00	
21SEP17	B 2 PD21DEC17	11010.00	10608.00	USD	-4,824.00	
21SEP17	B 1 PD21DEC17	10995.00	10608.00	USD	-2,322.00	
21SEP17	B 2 PD21DEC17	10990.00	10608.00	USD	-4,584.00	
21SEP17	B 7 PD21DEC17	11090.00	10608.00	USD	-20,244.00	
21SEP17	B 3 PD21DEC17	11010.00	10608.00	USD	-7,236.00	
21SEP17	S 1 PD21DEC17	10905.00	10608.00	USD	1,782.00	
21SEP17	S 1 PD21DEC17	10915.00	10608.00	USD	1,842.00	
21SEP17	S 2 PD21DEC17	10880.00	10608.00	USD	3,264.00	
21SEP17	S 1 PD21DEC17	10875.00	10608.00	USD	1,602.00	
21SEP17	S 1 PD21DEC17	10885.00	10608.00	USD	1,662.00	
21SEP17	S 2 PD21DEC17	10890.00	10608.00	USD	3,384.00	
21SEP17	S 3 PD21DEC17	10895.00	10608.00	USD	5,166.00	
21SEP17	S 1 PD21DEC17	10855.00	10608.00	USD	1,482.00	
21SEP17	S 3 PD21DEC17	10830.00	10608.00	USD	3,996.00	
21SEP17	S 7 PD21DEC17	10825.00	10608.00	USD	9,114.00	
21SEP17	S 1 PD21DEC17	10810.00	10608.00	USD	1,212.00	
21SEP17	S 1 PD21DEC17	10800.00	10608.00	USD	1,152.00	
21SEP17	S 6 PD21DEC17	10805.00	10608.00	USD	7,092.00	
21SEP17	S 2 PD21DEC17	10725.00	10608.00	USD	1,404.00	
21SEP17	S 1 PD21DEC17	10720.00	10608.00	USD	672.00	
21SEP17	S 1 PD21DEC17	10745.00	10608.00	USD	822.00	
21SEP17	S 3 PD21DEC17	10715.00	10608.00	USD	1,926.00	
21SEP17	S 3 PD21DEC17	10625.00	10608.00	USD	306.00	
21SEP17	B 1 PD21DEC17	10685.00	10608.00	USD	-462.00	
21SEP17	S 3 PD21DEC17	10830.00	10608.00	USD	3,996.00	
21SEP17	S 2 PD21DEC17	10750.00	10608.00	USD	1,704.00	
21SEP17	S 1 PD21DEC17	10725.00	10608.00	USD	702.00	
21SEP17	S 1 PD21DEC17	10890.00	10608.00	USD	1,692.00	
21SEP17	S 1 PD21DEC17	10860.00	10608.00	USD	1,512.00	
21SEP17	S 1 PD21DEC17	10815.00	10608.00	USD	1,242.00	
21SEP17	S 1 PD21DEC17	10635.00	10608.00	USD	162.00	
26SEP17	S 4 PD21DEC17	10470.75	10608.00	USD	-3,294.00	
26SEP17	S 10 PD21DEC17	10471.75	10608.00	USD	-8,175.00	
	NET +25 AVG BGHT 11043.62 SOLD 10776.58				**-182,307.00**	**0.00**
22SEP17	B 1 PD22DEC17	10735.00	10609.00	USD	-756.00	
22SEP17	B 1 PD22DEC17	10730.00	10609.00	USD	-726.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP094AY

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
22SEP17	B 1 PD22DEC17	10700.00	10609.00	USD	-546.00	
22SEP17	B 1 PD22DEC17	10760.00	10609.00	USD	-906.00	
22SEP17	B 1 PD22DEC17	10765.00	10609.00	USD	-936.00	
22SEP17	B 1 PD22DEC17	10755.00	10609.00	USD	-876.00	
22SEP17	B 6 PD22DEC17	10790.00	10609.00	USD	-6,516.00	
22SEP17	B 2 PD22DEC17	10775.00	10609.00	USD	-1,992.00	
22SEP17	B 6 PD22DEC17	10785.00	10609.00	USD	-6,336.00	
22SEP17	B 1 PD22DEC17	10810.00	10609.00	USD	-1,206.00	
22SEP17	B 1 PD22DEC17	10795.00	10609.00	USD	-1,116.00	
22SEP17	B 8 PD22DEC17	10770.00	10609.00	USD	-7,728.00	
22SEP17	S 1 PD22DEC17	10700.00	10609.00	USD	546.00	
22SEP17	S 2 PD22DEC17	10685.00	10609.00	USD	912.00	
22SEP17	S 1 PD22DEC17	10705.00	10609.00	USD	576.00	
22SEP17	S 5 PD22DEC17	10695.00	10609.00	USD	2,580.00	
22SEP17	B 2 PD22DEC17	10665.00	10609.00	USD	-672.00	
22SEP17	B 1 PD22DEC17	10660.00	10609.00	USD	-306.00	
22SEP17	B 1 PD22DEC17	10655.00	10609.00	USD	-276.00	
22SEP17	B 1 PD22DEC17	10650.00	10609.00	USD	-246.00	
22SEP17	B 2 PD22DEC17	10645.00	10609.00	USD	-432.00	
22SEP17	B 2 PD22DEC17	10635.00	10609.00	USD	-312.00	
22SEP17	B 2 PD22DEC17	10610.00	10609.00	USD	-12.00	
22SEP17	S 2 PD22DEC17	10645.00	10609.00	USD	432.00	
22SEP17	B 2 PD22DEC17	10625.00	10609.00	USD	-192.00	
22SEP17	S 2 PD22DEC17	10600.00	10609.00	USD	-108.00	
22SEP17	B 3 PD22DEC17	10640.00	10609.00	USD	-558.00	
22SEP17	S 1 PD22DEC17	10615.00	10609.00	USD	36.00	
22SEP17	S 4 PD22DEC17	10610.00	10609.00	USD	24.00	
22SEP17	S 2 PD22DEC17	10605.00	10609.00	USD	-48.00	
22SEP17	S 4 PD22DEC17	10590.00	10609.00	USD	-456.00	
22SEP17	S 2 PD22DEC17	10585.00	10609.00	USD	-288.00	
22SEP17	S 3 PD22DEC17	10565.00	10609.00	USD	-792.00	
22SEP17	S 4 PD22DEC17	10550.00	10609.00	USD	-1,416.00	
22SEP17	B 1 PD22DEC17	10615.00	10609.00	USD	-36.00	
22SEP17	S 1 PD22DEC17	10615.00	10609.00	USD	36.00	
22SEP17	S 1 PD22DEC17	10570.00	10609.00	USD	-234.00	
22SEP17	S 1 PD22DEC17	10555.00	10609.00	USD	-324.00	
22SEP17	S 1 PD22DEC17	10540.00	10609.00	USD	-414.00	
22SEP17	B 10 PD22DEC17	10580.00	10609.00	USD	1,740.00	
22SEP17	B 5 PD22DEC17	10595.00	10609.00	USD	420.00	
22SEP17	B 5 PD22DEC17	10600.00	10609.00	USD	270.00	
22SEP17	B 2 PD22DEC17	10590.00	10609.00	USD	228.00	
22SEP17	B 3 PD22DEC17	10585.00	10609.00	USD	432.00	
22SEP17	B 9 PD22DEC17	10575.00	10609.00	USD	1,836.00	
22SEP17	S 14 PD22DEC17	10535.00	10609.00	USD	-6,216.00	
22SEP17	B 5 PD22DEC17	10535.00	10609.00	USD	2,220.00	
22SEP17	B 9 PD22DEC17	10570.00	10609.00	USD	2,106.00	
22SEP17	B 10 PD22DEC17	10540.00	10609.00	USD	4,140.00	
22SEP17	B 1 PD22DEC17	10515.00	10609.00	USD	564.00	
22SEP17	B 6 PD22DEC17	10565.00	10609.00	USD	1,584.00	
22SEP17	B 4 PD22DEC17	10555.00	10609.00	USD	1,296.00	
22SEP17	B 9 PD22DEC17	10550.00	10609.00	USD	3,186.00	
22SEP17	B 8 PD22DEC17	10545.00	10609.00	USD	3,072.00	
22SEP17	B 10 PD22DEC17	10530.00	10609.00	USD	4,740.00	
22SEP17	B 2 PD22DEC17	10465.00	10609.00	USD	1,728.00	
22SEP17	B 1 PD22DEC17	10525.00	10609.00	USD	504.00	
22SEP17	B 3 PD22DEC17	10485.00	10609.00	USD	2,232.00	
22SEP17	B 2 PD22DEC17	10480.00	10609.00	USD	1,548.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP094AY

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
22SEP17	B 8 PD22DEC17	10475.00	10609.00	USD	6,432.00	
22SEP17	B 2 PD22DEC17	10470.00	10609.00	USD	1,668.00	
22SEP17	B 1 PD22DEC17	10460.00	10609.00	USD	894.00	
22SEP17	B 1 PD22DEC17	10455.00	10609.00	USD	924.00	
22SEP17	B 6 PD22DEC17	10440.00	10609.00	USD	6,084.00	
22SEP17	B 3 PD22DEC17	10430.00	10609.00	USD	3,222.00	
22SEP17	B 3 PD22DEC17	10445.00	10609.00	USD	2,952.00	
22SEP17	B 2 PD22DEC17	10450.00	10609.00	USD	1,908.00	
22SEP17	B 2 PD22DEC17	10490.00	10609.00	USD	1,428.00	
22SEP17	S 1 PD22DEC17	10490.00	10609.00	USD	-714.00	
22SEP17	S 1 PD22DEC17	10495.00	10609.00	USD	-684.00	
22SEP17	S 8 PD22DEC17	10510.00	10609.00	USD	-4,752.00	
22SEP17	S 7 PD22DEC17	10545.00	10609.00	USD	-2,688.00	
22SEP17	S 10 PD22DEC17	10540.00	10609.00	USD	-4,140.00	
22SEP17	S 4 PD22DEC17	10555.00	10609.00	USD	-1,296.00	
22SEP17	S 9 PD22DEC17	10620.00	10609.00	USD	594.00	
22SEP17	S 1 PD22DEC17	10650.00	10609.00	USD	246.00	
22SEP17	S 2 PD22DEC17	10625.00	10609.00	USD	192.00	
22SEP17	B 1 PD22DEC17	10450.00	10609.00	USD	954.00	
22SEP17	B 1 PD22DEC17	10470.00	10609.00	USD	834.00	
22SEP17	S 1 PD22DEC17	10550.00	10609.00	USD	-354.00	
22SEP17	B 1 PD22DEC17	10550.00	10609.00	USD	354.00	
	NET +87 AVG BGHT 10582.61 SOLD 10576.11				**10,068.00**	**0.00**
25SEP17	B 1 PD27DEC17	10475.00	10613.58	USD	831.48	
25SEP17	B 1 PD27DEC17	10485.00	10613.58	USD	771.48	
25SEP17	B 1 PD27DEC17	10480.00	10613.58	USD	801.48	
25SEP17	S 3 PD27DEC17	10580.00	10613.58	USD	-604.44	
25SEP17	S 10 PD27DEC17	10625.00	10613.58	USD	685.20	
25SEP17	S 11 PD27DEC17	10620.00	10613.58	USD	423.72	
25SEP17	S 7 PD27DEC17	10610.00	10613.58	USD	-150.36	
25SEP17	S 4 PD27DEC17	10615.00	10613.58	USD	34.08	
25SEP17	S 2 PD27DEC17	10600.00	10613.58	USD	-162.96	
25SEP17	S 3 PD27DEC17	10520.00	10613.58	USD	-1,684.44	
25SEP17	S 1 PD27DEC17	10475.00	10613.58	USD	-831.48	
25SEP17	B 5 PD27DEC17	10490.00	10613.58	USD	3,707.40	
25SEP17	B 5 PD27DEC17	10500.00	10613.58	USD	3,407.40	
25SEP17	B 3 PD27DEC17	10530.00	10613.58	USD	1,504.44	
25SEP17	B 1 PD27DEC17	10535.00	10613.58	USD	471.48	
25SEP17	S 1 PD27DEC17	10545.00	10613.58	USD	-411.48	
25SEP17	B 8 PD27DEC17	10515.00	10613.58	USD	4,731.84	
25SEP17	B 13 PD27DEC17	10520.00	10613.58	USD	7,299.24	
25SEP17	B 4 PD27DEC17	10510.00	10613.58	USD	2,485.92	
25SEP17	B 10 PD27DEC17	10505.00	10613.58	USD	6,514.80	
25SEP17	B 7 PD27DEC17	10495.00	10613.58	USD	4,980.36	
25SEP17	B 10 PD27DEC17	10525.00	10613.58	USD	5,314.80	
25SEP17	S 7 PD27DEC17	10525.00	10613.58	USD	-3,720.36	
25SEP17	B 1 PD27DEC17	10475.00	10613.58	USD	831.48	
25SEP17	S 4 PD27DEC17	10500.00	10613.58	USD	-2,725.92	
25SEP17	S 2 PD27DEC17	10515.00	10613.58	USD	-1,182.96	
25SEP17	S 1 PD27DEC17	10555.00	10613.58	USD	-351.48	
25SEP17	S 15 PD27DEC17	10560.00	10613.58	USD	-4,822.20	
25SEP17	S 9 PD27DEC17	10565.00	10613.58	USD	-2,623.32	
25SEP17	S 9 PD27DEC17	10595.00	10613.58	USD	-1,003.32	
25SEP17	S 8 PD27DEC17	10590.00	10613.58	USD	-1,131.84	
25SEP17	S 3 PD27DEC17	10585.00	10613.58	USD	-514.44	
25SEP17	S 2 PD27DEC17	10575.00	10613.58	USD	-462.96	
25SEP17	S 8 PD27DEC17	10570.00	10613.58	USD	-2,091.84	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP094AY

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
25SEP17	B 3 PD27DEC17	10635.00	10613.58	USD	-385.56	
25SEP17	S 8 PD27DEC17	10605.00	10613.58	USD	-411.84	
25SEP17	B 3 PD27DEC17	10585.00	10613.58	USD	514.44	
25SEP17	B 1 PD27DEC17	10580.00	10613.58	USD	201.48	
25SEP17	S 6 PD27DEC17	10550.00	10613.58	USD	-2,288.88	
25SEP17	S 1 PD27DEC17	10575.00	10613.58	USD	-231.48	
25SEP17	S 1 PD27DEC17	10580.00	10613.58	USD	-201.48	
25SEP17	B 1 PD27DEC17	10635.00	10613.58	USD	-128.52	
26SEP17	B 2 PD27DEC17	10590.00	10613.58	USD	282.96	
26SEP17	B 2 PD27DEC17	10645.00	10613.58	USD	-377.04	
26SEP17	S 4 PD27DEC17	10665.00	10613.58	USD	1,234.08	
26SEP17	S 3 PD27DEC17	10660.00	10613.58	USD	835.56	
26SEP17	S 7 PD27DEC17	10655.00	10613.58	USD	1,739.64	
26SEP17	S 5 PD27DEC17	10650.00	10613.58	USD	1,092.60	
26SEP17	S 3 PD27DEC17	10630.00	10613.58	USD	295.56	
26SEP17	S 6 PD27DEC17	10620.00	10613.58	USD	231.12	
26SEP17	B 4 PD27DEC17	10630.00	10613.58	USD	-394.08	
26SEP17	S 3 PD27DEC17	10640.00	10613.58	USD	475.56	
26SEP17	S 1 PD27DEC17	10555.00	10613.58	USD	-351.48	
26SEP17	S 5 PD27DEC17	10565.00	10613.58	USD	-1,457.40	
26SEP17	S 5 PD27DEC17	10570.00	10613.58	USD	-1,307.40	
26SEP17	S 2 PD27DEC17	10575.00	10613.58	USD	-462.96	
26SEP17	B 3 PD27DEC17	10570.00	10613.58	USD	784.44	
26SEP17	B 1 PD27DEC17	10580.00	10613.58	USD	201.48	
26SEP17	S 4 PD27DEC17	10615.00	10613.58	USD	34.08	
26SEP17	B 4 PD27DEC17	10490.00	10613.58	USD	2,965.92	
26SEP17	B 4 PD27DEC17	10485.00	10613.58	USD	3,085.92	
26SEP17	B 20 PD27DEC17	10475.00	10613.58	USD	16,629.60	
26SEP17	B 22 PD27DEC17	10480.00	10613.58	USD	17,632.56	
26SEP17	B 8 PD27DEC17	10470.00	10613.58	USD	6,891.84	
26SEP17	B 9 PD27DEC17	10465.00	10613.58	USD	8,023.32	
26SEP17	B 2 PD27DEC17	10455.00	10613.58	USD	1,902.96	
26SEP17	B 2 PD27DEC17	10445.00	10613.58	USD	2,022.96	
26SEP17	B 7 PD27DEC17	10450.00	10613.58	USD	6,870.36	
26SEP17	B 1 PD27DEC17	10460.00	10613.58	USD	921.48	
26SEP17	B 1 PD27DEC17	10410.00	10613.58	USD	1,221.48	
26SEP17	B 1 PD27DEC17	10405.00	10613.58	USD	1,251.48	
26SEP17	B 2 PD27DEC17	10415.00	10613.58	USD	2,382.96	
26SEP17	B 2 PD27DEC17	10420.00	10613.58	USD	2,322.96	
26SEP17	B 3 PD27DEC17	10435.00	10613.58	USD	3,214.44	
26SEP17	B 2 PD27DEC17	10440.00	10613.58	USD	2,082.96	
26SEP17	B 5 PD27DEC17	10500.00	10613.58	USD	3,407.40	
26SEP17	B 3 PD27DEC17	10540.00	10613.58	USD	1,324.44	
26SEP17	B 3 PD27DEC17	10550.00	10613.58	USD	1,144.44	
26SEP17	B 1 PD27DEC17	10510.00	10613.58	USD	621.48	
26SEP17	B 2 PD27DEC17	10505.00	10613.58	USD	1,302.96	
26SEP17	B 7 PD27DEC17	10495.00	10613.58	USD	4,980.36	
26SEP17	S 1 PD27DEC17	10475.00	10613.58	USD	-831.48	
26SEP17	S 2 PD27DEC17	10495.00	10613.58	USD	-1,422.96	
26SEP17	S 4 PD27DEC17	10490.00	10613.58	USD	-2,965.92	
26SEP17	B 10 PD27DEC17	10481.00	10613.58	USD	7,954.80	
26SEP17	S 1 PD27DEC17	10480.00	10613.58	USD	-801.48	
26SEP17	S 1 PD27DEC17	10500.00	10613.58	USD	-681.48	
27SEP17	S 1 PD27DEC17	10455.00	10613.58	USD	-951.48	
27SEP17	S 2 PD27DEC17	10475.00	10613.58	USD	-1,662.96	
27SEP17	S 1 PD27DEC17	10465.00	10613.58	USD	-891.48	
27SEP17	S 1 PD27DEC17	10460.00	10613.58	USD	-921.48	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP094AY

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
27SEP17	S 8 PD27DEC17	10470.00	10613.58	USD	-6,891.84	
27SEP17	S 2 PD27DEC17	10480.00	10613.58	USD	-1,602.96	
27SEP17	S 1 PD27DEC17	10490.00	10613.58	USD	-741.48	
27SEP17	S 6 PD27DEC17	10505.00	10613.58	USD	-3,908.88	
27SEP17	S 1 PD27DEC17	10510.00	10613.58	USD	-621.48	
27SEP17	S 3 PD27DEC17	10515.00	10613.58	USD	-1,774.44	
27SEP17	S 3 PD27DEC17	10520.00	10613.58	USD	-1,684.44	
27SEP17	S 5 PD27DEC17	10525.00	10613.58	USD	-2,657.40	
27SEP17	S 1 PD27DEC17	10565.00	10613.58	USD	-291.48	
27SEP17	S 14 PD27DEC17	10555.00	10613.58	USD	-4,920.72	
27SEP17	S 2 PD27DEC17	10580.00	10613.58	USD	-402.96	
27SEP17	S 2 PD27DEC17	10590.00	10613.58	USD	-282.96	
27SEP17	S 2 PD27DEC17	10600.00	10613.58	USD	-162.96	
27SEP17	S 6 PD27DEC17	10595.00	10613.58	USD	-668.88	
27SEP17	B 2 PD27DEC17	10565.00	10613.58	USD	582.96	
27SEP17	S 2 PD27DEC17	10570.00	10613.58	USD	-522.96	
27SEP17	S 1 PD27DEC17	10450.00	10613.58	USD	-981.48	
27SEP17	S 1 PD27DEC17	10465.00	10613.58	USD	-891.48	
27SEP17	S 1 PD27DEC17	10460.00	10613.58	USD	-921.48	
27SEP17	S 3 PD27DEC17	10485.00	10613.58	USD	-2,314.44	
27SEP17	S 3 PD27DEC17	10495.00	10613.58	USD	-2,134.44	
27SEP17	S 1 PD27DEC17	10520.00	10613.58	USD	-561.48	
27SEP17	S 1 PD27DEC17	10525.00	10613.58	USD	-531.48	
27SEP17	S 3 PD27DEC17	10530.00	10613.58	USD	-1,504.44	
27SEP17	S 2 PD27DEC17	10535.00	10613.58	USD	-942.96	
27SEP17	S 2 PD27DEC17	10540.00	10613.58	USD	-882.96	
27SEP17	S 13 PD27DEC17	10560.00	10613.58	USD	-4,179.24	
27SEP17	S 3 PD27DEC17	10545.00	10613.58	USD	-1,234.44	
27SEP17	S 10 PD27DEC17	10550.00	10613.58	USD	-3,814.80	
27SEP17	S 1 PD27DEC17	10535.00	10613.58	USD	-471.48	
27SEP17	S 4 PD27DEC17	10540.00	10613.58	USD	-1,765.92	
27SEP17	B 3 PD27DEC17	10490.00	10613.58	USD	2,224.44	
27SEP17	B 3 PD27DEC17	10480.00	10613.58	USD	2,404.44	
27SEP17	B 1 PD27DEC17	10475.00	10613.58	USD	831.48	
27SEP17	B 2 PD27DEC17	10460.00	10613.58	USD	1,842.96	
27SEP17	B 2 PD27DEC17	10455.00	10613.58	USD	1,902.96	
27SEP17	S 2 PD27DEC17	10370.00	10613.58	USD	-2,922.96	
27SEP17	S 2 PD27DEC17	10365.00	10613.58	USD	-2,982.96	
27SEP17	B 4 PD27DEC17	10350.00	10613.58	USD	6,325.92	
27SEP17	B 2 PD27DEC17	10385.00	10613.58	USD	2,742.96	
27SEP17	B 2 PD27DEC17	10395.00	10613.58	USD	2,622.96	
27SEP17	S 8 PD27DEC17	10350.00	10613.58	USD	-12,651.84	
27SEP17	S 13 PD27DEC17	10560.00	10613.58	USD	-4,179.24	
27SEP17	S 7 PD27DEC17	10300.00	10613.58	USD	-13,170.36	
27SEP17	S 1 PD27DEC17	10280.00	10613.58	USD	-2,001.48	
27SEP17	B 1 PD27DEC17	10295.00	10613.58	USD	1,911.48	
27SEP17	S 4 PD27DEC17	10270.00	10613.58	USD	-8,245.92	
27SEP17	S 1 PD27DEC17	10265.00	10613.58	USD	-2,091.48	
27SEP17	S 7 PD27DEC17	10260.00	10613.58	USD	-14,850.36	
27SEP17	S 3 PD27DEC17	10250.00	10613.58	USD	-6,544.44	
27SEP17	B 1 PD27DEC17	10215.00	10613.58	USD	2,391.48	
27SEP17	B 6 PD27DEC17	10225.00	10613.58	USD	13,988.88	
27SEP17	B 11 PD27DEC17	10230.00	10613.58	USD	25,316.28	
27SEP17	S 1 PD27DEC17	10235.00	10613.58	USD	-2,271.48	
27SEP17	S 4 PD27DEC17	10255.00	10613.58	USD	-8,605.92	
27SEP17	S 5 PD27DEC17	10275.00	10613.58	USD	-10,157.40	
27SEP17	B 10 PD27DEC17	10380.00	10613.58	USD	14,014.80	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP094AY

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
27SEP17	B 20 PD27DEC17	10360.00	10613.58	USD	30,429.60	
27SEP17	B 24 PD27DEC17	10365.00	10613.58	USD	35,795.52	
27SEP17	S 10 PD27DEC17	10390.00	10613.58	USD	-13,414.80	
27SEP17	S 1 PD27DEC17	10395.00	10613.58	USD	-1,311.48	
27SEP17	B 12 PD27DEC17	10390.00	10613.58	USD	16,097.76	
27SEP17	B 7 PD27DEC17	10375.00	10613.58	USD	10,020.36	
27SEP17	B 11 PD27DEC17	10370.00	10613.58	USD	16,076.28	
27SEP17	B 2 PD27DEC17	10355.00	10613.58	USD	3,102.96	
27SEP17	B 1 PD27DEC17	10340.00	10613.58	USD	1,641.48	
27SEP17	S 12 PD27DEC17	10345.00	10613.58	USD	-19,337.76	
27SEP17	B 1 PD27DEC17	10325.00	10613.58	USD	1,731.48	
27SEP17	B 8 PD27DEC17	10320.00	10613.58	USD	14,091.84	
27SEP17	S 14 PD27DEC17	10285.00	10613.58	USD	-27,600.72	
27SEP17	S 2 PD27DEC17	10290.00	10613.58	USD	-3,882.96	
27SEP17	S 9 PD27DEC17	10295.00	10613.58	USD	-17,203.32	
27SEP17	S 3 PD27DEC17	10325.00	10613.58	USD	-5,194.44	
27SEP17	S 3 PD27DEC17	10320.00	10613.58	USD	-5,284.44	
27SEP17	S 1 PD27DEC17	10340.00	10613.58	USD	-1,641.48	
27SEP17	S 1 PD27DEC17	10255.00	10613.58	USD	-2,151.48	
27SEP17	B 1 PD27DEC17	10345.00	10613.58	USD	1,611.48	
	NET -61 AVG BGHT 10443.94 SOLD 10502.25				**81,009.72**	**0.00**
28SEP17	B 2 PD28DEC17	10310.00	10614.50	USD	3,654.00	
28SEP17	S 6 PD28DEC17	10295.00	10614.50	USD	-11,502.00	
28SEP17	B 2 PD28DEC17	10360.00	10614.50	USD	3,054.00	
28SEP17	S 3 PD28DEC17	10300.00	10614.50	USD	-5,661.00	
28SEP17	S 5 PD28DEC17	10250.00	10614.50	USD	-10,935.00	
28SEP17	S 2 PD28DEC17	10245.00	10614.50	USD	-4,434.00	
28SEP17	S 8 PD28DEC17	10255.00	10614.50	USD	-17,256.00	
28SEP17	S 9 PD28DEC17	10260.00	10614.50	USD	-19,143.00	
28SEP17	S 8 PD28DEC17	10265.00	10614.50	USD	-16,776.00	
28SEP17	S 10 PD28DEC17	10270.00	10614.50	USD	-20,670.00	
28SEP17	S 4 PD28DEC17	10275.00	10614.50	USD	-8,148.00	
28SEP17	B 2 PD28DEC17	10335.00	10614.50	USD	3,354.00	
28SEP17	B 1 PD28DEC17	10280.00	10614.50	USD	2,007.00	
28SEP17	S 1 PD28DEC17	10305.00	10614.50	USD	-1,857.00	
28SEP17	B 2 PD28DEC17	10260.00	10614.50	USD	4,254.00	
28SEP17	B 8 PD28DEC17	10270.00	10614.50	USD	16,536.00	
28SEP17	B 2 PD28DEC17	10265.00	10614.50	USD	4,194.00	
28SEP17	B 7 PD28DEC17	10275.00	10614.50	USD	14,259.00	
28SEP17	B 5 PD28DEC17	10280.00	10614.50	USD	10,035.00	
28SEP17	B 6 PD28DEC17	10295.00	10614.50	USD	11,502.00	
28SEP17	B 15 PD28DEC17	10285.00	10614.50	USD	29,655.00	
28SEP17	S 6 PD28DEC17	10285.00	10614.50	USD	-11,862.00	
28SEP17	S 10 PD28DEC17	10280.00	10614.50	USD	-20,070.00	
28SEP17	S 9 PD28DEC17	10290.00	10614.50	USD	-17,523.00	
28SEP17	B 9 PD28DEC17	10290.00	10614.50	USD	17,523.00	
28SEP17	B 3 PD28DEC17	10300.00	10614.50	USD	5,661.00	
28SEP17	B 1 PD28DEC17	10305.00	10614.50	USD	1,857.00	
28SEP17	S 3 PD28DEC17	10330.00	10614.50	USD	-5,121.00	
28SEP17	S 12 PD28DEC17	10335.00	10614.50	USD	-20,124.00	
28SEP17	B 5 PD28DEC17	10345.00	10614.50	USD	8,085.00	
28SEP17	S 2 PD28DEC17	10360.00	10614.50	USD	-3,054.00	
28SEP17	S 2 PD28DEC17	10370.00	10614.50	USD	-2,934.00	
28SEP17	S 1 PD28DEC17	10380.00	10614.50	USD	-1,407.00	
28SEP17	S 3 PD28DEC17	10390.00	10614.50	USD	-4,041.00	
28SEP17	S 2 PD28DEC17	10365.00	10614.50	USD	-2,994.00	
28SEP17	S 3 PD28DEC17	10345.00	10614.50	USD	-4,851.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP094AY

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
28SEP17	B 2 PD28DEC17	10315.00	10614.50	USD	3,594.00	
28SEP17	B 3 PD28DEC17	10320.00	10614.50	USD	5,301.00	
28SEP17	S 1 PD28DEC17	10310.00	10614.50	USD	-1,827.00	
28SEP17	B 26 PD28DEC17	10340.00	10614.50	USD	42,822.00	
28SEP17	B 17 PD28DEC17	10335.00	10614.50	USD	28,509.00	
28SEP17	B 14 PD28DEC17	10330.00	10614.50	USD	23,898.00	
28SEP17	B 7 PD28DEC17	10325.00	10614.50	USD	12,159.00	
28SEP17	B 5 PD28DEC17	10410.00	10614.50	USD	6,135.00	
28SEP17	S 1 PD28DEC17	10445.00	10614.50	USD	-1,017.00	
28SEP17	S 1 PD28DEC17	10450.00	10614.50	USD	-987.00	
28SEP17	B 1 PD28DEC17	10285.00	10614.50	USD	1,977.00	
28SEP17	B 2 PD28DEC17	10295.00	10614.50	USD	3,834.00	
28SEP17	B 1 PD28DEC17	10340.00	10614.50	USD	1,647.00	
28SEP17	B 1 PD28DEC17	10320.00	10614.50	USD	1,767.00	
28SEP17	B 5 PD28DEC17	10455.00	10614.50	USD	4,785.00	
28SEP17	S 5 PD28DEC17	10460.00	10614.50	USD	-4,635.00	
28SEP17	S 3 PD28DEC17	10490.00	10614.50	USD	-2,241.00	
28SEP17	S 2 PD28DEC17	10495.00	10614.50	USD	-1,434.00	
28SEP17	B 2 PD28DEC17	10505.00	10614.50	USD	1,314.00	
28SEP17	B 1 PD28DEC17	10465.00	10614.50	USD	897.00	
28SEP17	B 1 PD28DEC17	10440.00	10614.50	USD	1,047.00	
28SEP17	B 8 PD28DEC17	10445.00	10614.50	USD	8,136.00	
28SEP17	S 10 PD28DEC17	10465.00	10614.50	USD	-8,970.00	
28SEP17	S 8 PD28DEC17	10470.00	10614.50	USD	-6,936.00	
28SEP17	B 2 PD28DEC17	10485.00	10614.50	USD	1,554.00	
28SEP17	S 10 PD28DEC17	10455.00	10614.50	USD	-9,570.00	
28SEP17	S 9 PD28DEC17	10475.00	10614.50	USD	-7,533.00	
28SEP17	B 3 PD28DEC17	10470.00	10614.50	USD	2,601.00	
28SEP17	S 5 PD28DEC17	10480.00	10614.50	USD	-4,035.00	
28SEP17	B 4 PD28DEC17	10480.00	10614.50	USD	3,228.00	
28SEP17	B 8 PD28DEC17	10475.00	10614.50	USD	6,696.00	
28SEP17	S 3 PD28DEC17	10485.00	10614.50	USD	-2,331.00	
28SEP17	B 1 PD28DEC17	10460.00	10614.50	USD	927.00	
28SEP17	B 2 PD28DEC17	10450.00	10614.50	USD	1,974.00	
28SEP17	S 2 PD28DEC17	10485.00	10614.50	USD	-1,554.00	
	NET +17 AVG BGHT 10345.30 SOLD 10354.70				**36,999.00**	**0.00**
29SEP17	S 3 PD29DEC17	10515.00	10615.42	USD	-1,807.56	
29SEP17	S 2 PD29DEC17	10485.00	10615.42	USD	-1,565.04	
29SEP17	S 10 PD29DEC17	10480.00	10615.42	USD	-8,125.20	
29SEP17	B 1 PD29DEC17	10460.00	10615.42	USD	932.52	
29SEP17	B 3 PD29DEC17	10455.00	10615.42	USD	2,887.56	
29SEP17	B 4 PD29DEC17	10450.00	10615.42	USD	3,970.08	
29SEP17	B 5 PD29DEC17	10445.00	10615.42	USD	5,112.60	
29SEP17	B 1 PD29DEC17	10440.00	10615.42	USD	1,052.52	
29SEP17	B 1 PD29DEC17	10425.00	10615.42	USD	1,142.52	
29SEP17	B 1 PD29DEC17	10420.00	10615.42	USD	1,172.52	
29SEP17	S 2 PD29DEC17	10385.00	10615.42	USD	-2,765.04	
29SEP17	S 1 PD29DEC17	10380.00	10615.42	USD	-1,412.52	
29SEP17	B 1 PD29DEC17	10375.00	10615.42	USD	1,442.52	
29SEP17	S 1 PD29DEC17	10405.00	10615.42	USD	-1,262.52	
29SEP17	B 7 PD29DEC17	10435.00	10615.42	USD	7,577.64	
29SEP17	B 3 PD29DEC17	10430.00	10615.42	USD	3,337.56	
29SEP17	B 1 PD29DEC17	10465.00	10615.42	USD	902.52	
29SEP17	B 1 PD29DEC17	10485.00	10615.42	USD	782.52	
29SEP17	B 9 PD29DEC17	10475.00	10615.42	USD	7,582.68	
29SEP17	B 1 PD29DEC17	10490.00	10615.42	USD	752.52	
29SEP17	B 9 PD29DEC17	10480.00	10615.42	USD	7,312.68	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP094AY

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
29SEP17	S 2 PD29DEC17	10490.00	10615.42	USD	-1,505.04	
29SEP17	S 2 PD29DEC17	10510.00	10615.42	USD	-1,265.04	
29SEP17	S 2 PD29DEC17	10505.00	10615.42	USD	-1,325.04	
29SEP17	S 3 PD29DEC17	10495.00	10615.42	USD	-2,167.56	
29SEP17	S 9 PD29DEC17	10500.00	10615.42	USD	-6,232.68	
29SEP17	B 5 PD29DEC17	10470.00	10615.42	USD	4,362.60	
29SEP17	S 18 PD29DEC17	10475.00	10615.42	USD	-15,165.36	
29SEP17	S 7 PD29DEC17	10470.00	10615.42	USD	-6,107.64	
29SEP17	S 1 PD29DEC17	10480.00	10615.42	USD	-812.52	
29SEP17	S 1 PD29DEC17	10475.00	10615.42	USD	-842.52	
29SEP17	B 4 PD29DEC17	10440.00	10615.42	USD	4,210.08	
29SEP17	S 1 PD29DEC17	10490.00	10615.42	USD	-752.52	
29SEP17	S 1 PD29DEC17	10470.00	10615.42	USD	-872.52	
29SEP17	S 1 PD29DEC17	10805.00	10615.42	USD	1,137.48	
	NET -10 AVG BGHT 10455.96 SOLD 10483.96				**1,684.80**	**0.00**
03OCT17	S 1 PD03JAN18	10660.00	10620.00	USD	240.00	
	NET -1 AVG SOLD 10660.00				**240.00**	**0.00**
	CMX SILVER 5,000 CMX					
29SEP17	S 1 DEC17	1684.5	1665.0	USD	975.00	
29SEP17	S 1 DEC17	1687.0	1665.0	USD	1,100.00	
29SEP17	S 2 DEC17	1688.0	1665.0	USD	2,300.00	
	NET -4 AVG SOLD 1686.9				**4,375.00**	**0.00**
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
21SEP17	S 1 PD21DEC17	20560.00	20833.40	USD	-1,367.00	
21SEP17	B 1 PD21DEC17	20570.00	20833.40	USD	1,317.00	
21SEP17	S 1 PD21DEC17	20565.00	20833.40	USD	-1,342.00	
21SEP17	S 1 PD21DEC17	20595.00	20833.40	USD	-1,192.00	
21SEP17	S 1 PD21DEC17	20620.00	20833.40	USD	-1,067.00	
21SEP17	S 1 PD21DEC17	20600.00	20833.40	USD	-1,167.00	
21SEP17	B 1 PD21DEC17	20500.00	20833.40	USD	1,667.00	
21SEP17	B 1 PD21DEC17	20385.00	20833.40	USD	2,242.00	
26SEP17	B 1 PD21DEC17	20727.33	20833.40	USD	530.35	
	NET -1 AVG BGHT 20545.58 SOLD 20588.00				**-378.65**	**0.00**
22SEP17	S 3 PD22DEC17	20470.00	20826.80	USD	-5,352.00	
22SEP17	S 1 PD22DEC17	20440.00	20826.80	USD	-1,934.00	
22SEP17	B 1 PD22DEC17	20445.00	20826.80	USD	1,909.00	
22SEP17	S 1 PD22DEC17	20575.00	20826.80	USD	-1,259.00	
22SEP17	S 1 PD22DEC17	20560.00	20826.80	USD	-1,334.00	
22SEP17	B 1 PD22DEC17	20595.00	20826.80	USD	1,159.00	
22SEP17	B 1 PD22DEC17	20600.00	20826.80	USD	1,134.00	
22SEP17	B 1 PD22DEC17	20560.00	20826.80	USD	1,334.00	
22SEP17	S 1 PD22DEC17	20575.00	20826.80	USD	-1,259.00	
	NET -3 AVG BGHT 20550.00 SOLD 20508.57				**-5,602.00**	**0.00**
25SEP17	B 2 PD27DEC17	20625.00	20820.20	USD	1,952.00	
25SEP17	B 2 PD27DEC17	20600.00	20820.20	USD	2,202.00	
25SEP17	S 1 PD27DEC17	20650.00	20820.20	USD	-851.00	
26SEP17	B 1 PD27DEC17	20730.00	20820.20	USD	451.00	
26SEP17	B 1 PD27DEC17	20715.00	20820.20	USD	526.00	
26SEP17	S 1 PD27DEC17	20645.00	20820.20	USD	-876.00	
26SEP17	S 1 PD27DEC17	20709.00	20820.20	USD	-556.00	
27SEP17	B 1 PD27DEC17	20725.00	20820.20	USD	476.00	
27SEP17	B 2 PD27DEC17	20720.00	20820.20	USD	1,002.00	
27SEP17	S 2 PD27DEC17	20685.00	20820.20	USD	-1,352.00	
27SEP17	S 1 PD27DEC17	20640.00	20820.20	USD	-901.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP094AY

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
27SEP17	S 1 PD27DEC17	20655.00	20820.20	USD	-826.00	
27SEP17	S 1 PD27DEC17	20645.00	20820.20	USD	-876.00	
27SEP17	S 1 PD27DEC17	20675.00	20820.20	USD	-726.00	
27SEP17	B 1 PD27DEC17	20685.00	20820.20	USD	676.00	
27SEP17	S 1 PD27DEC17	20650.00	20820.20	USD	-851.00	
	NET 0 AVG BGHT 20674.50 SOLD 20663.90				**-530.00**	**0.00**
28SEP17	S 1 PD28DEC17	20625.00	20813.60	USD	-943.00	
28SEP17	S 1 PD28DEC17	20610.00	20813.60	USD	-1,018.00	
28SEP17	B 1 PD28DEC17	20630.00	20813.60	USD	918.00	
28SEP17	B 1 PD28DEC17	20625.00	20813.60	USD	943.00	
28SEP17	S 1 PD28DEC17	20625.00	20813.60	USD	-943.00	
28SEP17	B 1 PD28DEC17	20650.00	20813.60	USD	818.00	
	NET 0 AVG BGHT 20635.00 SOLD 20620.00				**-225.00**	**0.00**
29SEP17	B 1 PD29DEC17	20670.00	20807.00	USD	685.00	
29SEP17	S 1 PD29DEC17	20660.00	20807.00	USD	-735.00	
29SEP17	B 1 PD29DEC17	20720.00	20807.00	USD	435.00	
29SEP17	S 1 PD29DEC17	20685.00	20807.00	USD	-610.00	
29SEP17	B 1 PD29DEC17	20765.00	20807.00	USD	210.00	
	NET +1 AVG BGHT 20718.33 SOLD 20672.50				**-15.00**	**0.00**
03OCT17	B 1 PD03JAN18	20700.00	20800.00	USD	500.00	
	NET +1 AVG BGHT 20700.00				**500.00**	**0.00**
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
19SEP17	B 1 PD19DEC17	3101.50	3267.39	USD	4,147.25	
19SEP17	B 1 PD19DEC17	3110.00	3267.39	USD	3,934.75	
26SEP17	S 2 PD19DEC17	3119.00	3267.39	USD	-7,419.50	
	NET 0 AVG BGHT 3105.75 SOLD 3119.00				**662.50**	**0.00**
21SEP17	B 1 PD21DEC17	3106.50	3264.00	USD	3,937.50	
21SEP17	B 2 PD21DEC17	3104.00	3264.00	USD	8,000.00	
21SEP17	S 1 PD21DEC17	3120.50	3264.00	USD	-3,587.50	
21SEP17	S 1 PD21DEC17	3121.50	3264.00	USD	-3,562.50	
21SEP17	S 1 PD21DEC17	3077.00	3264.00	USD	-4,675.00	
21SEP17	S 1 PD21DEC17	3067.50	3264.00	USD	-4,912.50	
21SEP17	S 2 PD21DEC17	3067.00	3264.00	USD	-9,850.00	
21SEP17	S 1 PD21DEC17	3059.00	3264.00	USD	-5,125.00	
26SEP17	B 2 PD21DEC17	3118.20	3264.00	USD	7,290.00	
	NET -2 AVG BGHT 3110.18 SOLD 3082.79				**-12,485.00**	**0.00**
22SEP17	B 1 PD22DEC17	3061.00	3264.00	USD	5,075.00	
22SEP17	S 1 PD22DEC17	3020.50	3264.00	USD	-6,087.50	
22SEP17	S 1 PD22DEC17	3024.00	3264.00	USD	-6,000.00	
	NET -1 AVG BGHT 3061.00 SOLD 3022.25				**-7,012.50**	**0.00**
25SEP17	B 1 PD27DEC17	3098.00	3264.00	USD	4,150.00	
25SEP17	B 1 PD27DEC17	3104.00	3264.00	USD	4,000.00	
26SEP17	B 1 PD27DEC17	3124.50	3264.00	USD	3,487.50	
26SEP17	B 1 PD27DEC17	3124.00	3264.00	USD	3,500.00	
26SEP17	B 1 PD27DEC17	3127.50	3264.00	USD	3,412.50	
26SEP17	B 1 PD27DEC17	3126.00	3264.00	USD	3,450.00	
26SEP17	S 1 PD27DEC17	3112.50	3264.00	USD	-3,787.50	
26SEP17	S 2 PD27DEC17	3111.00	3264.00	USD	-7,650.00	
26SEP17	S 3 PD27DEC17	3115.00	3264.00	USD	-11,175.00	
26SEP17	S 1 PD27DEC17	3114.50	3264.00	USD	-3,737.50	
26SEP17	B 1 PD27DEC17	3108.50	3264.00	USD	3,887.50	
26SEP17	B 1 PD27DEC17	3110.50	3264.00	USD	3,837.50	
27SEP17	B 1 PD27DEC17	3110.00	3264.00	USD	3,850.00	
27SEP17	B 1 PD27DEC17	3108.50	3264.00	USD	3,887.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP094AY

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
27SEP17	B 1 PD27DEC17	3106.50	3264.00	USD	3,937.50	
27SEP17	B 1 PD27DEC17	3107.50	3264.00	USD	3,912.50	
27SEP17	B 1 PD27DEC17	3117.00	3264.00	USD	3,675.00	
27SEP17	B 1 PD27DEC17	3141.00	3264.00	USD	3,075.00	
27SEP17	B 1 PD27DEC17	3146.00	3264.00	USD	2,950.00	
27SEP17	B 1 PD27DEC17	3145.00	3264.00	USD	2,975.00	
27SEP17	S 1 PD27DEC17	3140.00	3264.00	USD	-3,100.00	
27SEP17	S 1 PD27DEC17	3139.00	3264.00	USD	-3,125.00	
27SEP17	B 1 PD27DEC17	3142.00	3264.00	USD	3,050.00	
27SEP17	B 1 PD27DEC17	3123.00	3264.00	USD	3,525.00	
27SEP17	B 2 PD27DEC17	3127.50	3264.00	USD	6,825.00	
27SEP17	B 2 PD27DEC17	3128.00	3264.00	USD	6,800.00	
27SEP17	S 1 PD27DEC17	3108.50	3264.00	USD	-3,887.50	
27SEP17	S 1 PD27DEC17	3113.00	3264.00	USD	-3,775.00	
27SEP17	B 1 PD27DEC17	3118.00	3264.00	USD	3,650.00	
27SEP17	S 3 PD27DEC17	3113.50	3264.00	USD	-11,287.50	
27SEP17	S 1 PD27DEC17	3102.00	3264.00	USD	-4,050.00	
27SEP17	S 1 PD27DEC17	3101.50	3264.00	USD	-4,062.50	
27SEP17	S 2 PD27DEC17	3105.50	3264.00	USD	-7,925.00	
27SEP17	S 1 PD27DEC17	3111.50	3264.00	USD	-3,812.50	
27SEP17	S 2 PD27DEC17	3112.50	3264.00	USD	-7,575.00	
27SEP17	S 1 PD27DEC17	3099.00	3264.00	USD	-4,125.00	
27SEP17	S 1 PD27DEC17	3104.50	3264.00	USD	-3,987.50	
27SEP17	B 1 PD27DEC17	3111.50	3264.00	USD	3,812.50	
27SEP17	B 1 PD27DEC17	3116.50	3264.00	USD	3,687.50	
27SEP17	S 1 PD27DEC17	3102.50	3264.00	USD	-4,037.50	
	NET +1 AVG BGHT 3121.06 SOLD 3112.17				**-1,762.50**	**0.00**
28SEP17	S 1 PD28DEC17	3111.00	3264.00	USD	-3,825.00	
28SEP17	B 1 PD28DEC17	3115.50	3264.00	USD	3,712.50	
28SEP17	B 1 PD28DEC17	3118.00	3264.00	USD	3,650.00	
28SEP17	B 1 PD28DEC17	3120.00	3264.00	USD	3,600.00	
28SEP17	S 1 PD28DEC17	3110.50	3264.00	USD	-3,837.50	
28SEP17	S 2 PD28DEC17	3106.50	3264.00	USD	-7,875.00	
28SEP17	S 1 PD28DEC17	3130.50	3264.00	USD	-3,337.50	
28SEP17	B 2 PD28DEC17	3137.50	3264.00	USD	6,325.00	
28SEP17	B 1 PD28DEC17	3136.50	3264.00	USD	3,187.50	
28SEP17	B 3 PD28DEC17	3137.00	3264.00	USD	9,525.00	
28SEP17	B 1 PD28DEC17	3138.50	3264.00	USD	3,137.50	
28SEP17	B 2 PD28DEC17	3138.00	3264.00	USD	6,300.00	
28SEP17	B 1 PD28DEC17	3139.00	3264.00	USD	3,125.00	
28SEP17	B 2 PD28DEC17	3139.50	3264.00	USD	6,225.00	
28SEP17	S 1 PD28DEC17	3140.50	3264.00	USD	-3,087.50	
28SEP17	S 1 PD28DEC17	3141.50	3264.00	USD	-3,062.50	
28SEP17	S 2 PD28DEC17	3144.00	3264.00	USD	-6,000.00	
28SEP17	S 2 PD28DEC17	3140.00	3264.00	USD	-6,200.00	
28SEP17	S 1 PD28DEC17	3136.00	3264.00	USD	-3,200.00	
28SEP17	S 1 PD28DEC17	3135.50	3264.00	USD	-3,212.50	
28SEP17	S 1 PD28DEC17	3132.00	3264.00	USD	-3,300.00	
28SEP17	S 1 PD28DEC17	3129.50	3264.00	USD	-3,362.50	
28SEP17	S 1 PD28DEC17	3128.50	3264.00	USD	-3,387.50	
28SEP17	S 2 PD28DEC17	3125.00	3264.00	USD	-6,950.00	
28SEP17	S 1 PD28DEC17	3126.00	3264.00	USD	-3,450.00	
28SEP17	S 1 PD28DEC17	3122.00	3264.00	USD	-3,550.00	
28SEP17	B 1 PD28DEC17	3119.50	3264.00	USD	3,612.50	
28SEP17	B 1 PD28DEC17	3120.50	3264.00	USD	3,587.50	
28SEP17	S 1 PD28DEC17	3123.00	3264.00	USD	-3,525.00	
28SEP17	B 2 PD28DEC17	3150.00	3264.00	USD	5,700.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP094AY

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
28SEP17	B	4	PD28DEC17	3146.00	3264.00	USD	11,800.00	
28SEP17	B	4	PD28DEC17	3146.50	3264.00	USD	11,750.00	
28SEP17	B	1	PD28DEC17	3144.00	3264.00	USD	3,000.00	
28SEP17	S	1	PD28DEC17	3143.00	3264.00	USD	-3,025.00	
28SEP17	S	1	PD28DEC17	3157.00	3264.00	USD	-2,675.00	
28SEP17	S	1	PD28DEC17	3155.50	3264.00	USD	-2,712.50	
28SEP17	S	1	PD28DEC17	3153.50	3264.00	USD	-2,762.50	
28SEP17	S	2	PD28DEC17	3155.00	3264.00	USD	-5,450.00	
28SEP17	S	2	PD28DEC17	3159.00	3264.00	USD	-5,250.00	
28SEP17	S	1	PD28DEC17	3152.00	3264.00	USD	-2,800.00	
28SEP17	B	1	PD28DEC17	3147.50	3264.00	USD	2,912.50	
28SEP17	S	1	PD28DEC17	3154.00	3264.00	USD	-2,750.00	
	NET	**-2**	**AVG BGHT 3138.28**	**SOLD 3136.79**			**-7,437.50**	**0.00**
29SEP17	B	1	PD29DEC17	3138.50	3264.00	USD	3,137.50	
29SEP17	S	1	PD29DEC17	3137.00	3264.00	USD	-3,175.00	
29SEP17	B	1	PD29DEC17	3152.50	3264.00	USD	2,787.50	
29SEP17	B	2	PD29DEC17	3153.50	3264.00	USD	5,525.00	
29SEP17	B	1	PD29DEC17	3162.50	3264.00	USD	2,537.50	
29SEP17	B	1	PD29DEC17	3161.50	3264.00	USD	2,562.50	
29SEP17	B	2	PD29DEC17	3163.00	3264.00	USD	5,050.00	
29SEP17	B	1	PD29DEC17	3165.50	3264.00	USD	2,462.50	
29SEP17	B	1	PD29DEC17	3159.50	3264.00	USD	2,612.50	
29SEP17	S	1	PD29DEC17	3161.00	3264.00	USD	-2,575.00	
29SEP17	S	1	PD29DEC17	3164.00	3264.00	USD	-2,500.00	
29SEP17	S	1	PD29DEC17	3163.00	3264.00	USD	-2,525.00	
29SEP17	S	1	PD29DEC17	3169.00	3264.00	USD	-2,375.00	
29SEP17	B	1	PD29DEC17	3162.50	3264.00	USD	2,537.50	
29SEP17	B	1	PD29DEC17	3163.00	3264.00	USD	2,525.00	
	NET	**+7**	**AVG BGHT 3158.21**	**SOLD 3158.80**			**18,587.50**	**0.00**

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	-677,347.75
LME Copper Grade A 25	FUTURES	-2,491,169.25
CMX Copper	FUTURES	1,169,625.00
CMX Gold	FUTURES	256,700.00
LME Lead 25 tonnes. This is an	FUTURES	10,062.50
LME Nickel 6 tonnes. This is	FUTURES	-3,636,700.44
CMX Silver	FUTURES	337,375.00
LME Tin 5 tonnes. This is an	FUTURES	202,283.35
LME Zinc 25 tonnes. This is	FUTURES	-254,247.50

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP094AY

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options			Amount	Profit / Loss	**Total Amount**	**Total P&L**
COPR	- Futures	USD	-15,775.00	-15,775.00		
GOLD	- Futures	USD	1,780.00	1,780.00		
SILV	- Futures	USD	4,375.00	4,375.00	**-9,620.00**	**-9,620.00**
Unrealised Profits & Losses - Forwards			**Amount**	**Profit / Loss**	**Total Amount**	**Total P&L**
ALUL	- Futures	USD	-40,380.00	-40,380.00		
COPL	- Futures	USD	-46,696.75	-46,696.75		
LEAD	- Futures	USD	10,016.00	10,016.00		
NKL	- Futures	USD	-10,138.92	-10,138.92		
TIN	- Futures	USD	-6,250.65	-6,250.65		
ZINC	- Futures	USD	-9,447.50	-9,447.50	**-102,897.82**	**-102,897.82**

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-42,926.35	-42,926.35
Variation Margins	- Futures	-9,620.00	-9,620.00
	- Options	0.00	0.00
TOTAL EQUITY		**-52,546.35**	**-52,546.35**
Initial Margins		-844,251.82	-844,251.82
Excess/(Shortage)		**-896,798.17**	**-896,798.17**
FOR INFORMATION ONLY			
Forward Unrealised P&L		-102,897.82	-102,897.82
Net Liquidating Value		**-155,444.17**	**-155,444.17**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED
SAP0094
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPG0094**

3rd Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

GROUP FINANCIAL SUMMARY

		EUR (1.17550)	GBP (1.32460)	JPY (112.76500)
CASH BALANCE		-330.00	7,558.00	54,868,475
Variation Margins	- Futures	0.00	0.00	0
	- Options	0.00	0.00	0
TOTAL EQUITY		**-330.00**	**7,558.00**	**54,868,475**
Initial Margins		0.00	0.00	0
Excess/(Shortage)		**-330.00**	**7,558.00**	**54,868,475**
FOR INFORMATION ONLY				
Net Liquidating Value		**-330.00**	**7,558.00**	**54,868,475**

		USD (1.00000)	NET USD (1.00000)
CASH BALANCE		6,229,656.71	6,725,853.76
Variation Margins	- Futures	-333,846.25	-333,846.25
	- Options	0.00	0.00
TOTAL EQUITY		**5,895,810.46**	**6,392,007.51**
Initial Margins		-6,070,230.26	-6,070,230.26
Excess/(Shortage)		**-174,419.80**	**321,777.25**
FOR INFORMATION ONLY			
Forward Unrealised P&L		-478,188.26	-478,188.26
Net Liquidating Value		**5,417,622.20**	**5,913,819.25**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0096

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		1,543,979.23	1,543,979.23
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**1,543,979.23**	**1,543,979.23**
Initial Margins		0.00	0.00
Excess/(Shortage)		**1,543,979.23**	**1,543,979.23**
FOR INFORMATION ONLY			
Net Liquidating Value		**1,543,979.23**	**1,543,979.23**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0096B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
19SEP17	**CMX SILVER 5,000 CMX** B 2 DEC17 **NET +2 AVG BGHT 1718.5**	1718.5	1665.0	USD	-5,350.00 **-5,350.00**	 **0.00**

OPEN POSITION VALUE

		USD
CMX Silver	FUTURES	-171,850.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options		Amount	Profit / Loss	**Total Amount**	**Total P&L**
SILV - Futures	USD	-5,350.00	-5,350.00	**-5,350.00**	**-5,350.00**

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		5,090.00	5,090.00
Variation Margins	- Futures	-5,350.00	-5,350.00
	- Options	0.00	0.00
TOTAL EQUITY		**-260.00**	**-260.00**
Initial Margins		-11,800.00	-11,800.00
Excess/(Shortage)		**-12,060.00**	**-12,060.00**
FOR INFORMATION ONLY			
Net Liquidating Value		**-260.00**	**-260.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0096C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
05JUL17	B 1 PD05OCT17	2242.00	2585.00	USD	8,575.00	
26SEP17	S 1 PD05OCT17	2479.55	2585.00	USD	-2,636.25	
	NET 0 AVG BGHT 2242.00 SOLD 2479.55				**5,938.75**	**0.00**
10JUL17	B 1 PD10OCT17	2280.00	2586.15	USD	7,653.75	
10JUL17	S 4 PD10OCT17	2291.50	2586.15	USD	-29,465.00	
10JUL17	B 1 PD10OCT17	2275.00	2586.15	USD	7,778.75	
10JUL17	S 1 PD10OCT17	2314.50	2586.15	USD	-6,791.25	
10JUL17	S 1 PD10OCT17	2315.00	2586.15	USD	-6,778.75	
26SEP17	B 4 PD10OCT17	2480.71	2586.15	USD	10,544.00	
	NET 0 AVG BGHT 2412.97 SOLD 2299.25				**-17,058.50**	**0.00**
12JUL17	S 4 PD12OCT17	2323.50	2586.62	USD	-26,312.00	
12JUL17	B 2 PD12OCT17	2317.00	2586.62	USD	13,481.00	
12JUL17	B 2 PD12OCT17	2318.00	2586.62	USD	13,431.00	
	NET 0 AVG BGHT 2317.50 SOLD 2323.50				**600.00**	**0.00**
14JUL17	B 1 PD13OCT17	2283.00	2586.85	USD	7,596.25	
14JUL17	B 1 PD13OCT17	2279.00	2586.85	USD	7,696.25	
14JUL17	B 1 PD13OCT17	2271.00	2586.85	USD	7,896.25	
26SEP17	S 3 PD13OCT17	2481.09	2586.85	USD	-7,932.00	
	NET 0 AVG BGHT 2277.67 SOLD 2481.09				**15,256.75**	**0.00**
17JUL17	B 1 PD17OCT17	2312.00	2587.77	USD	6,894.25	
26SEP17	S 1 PD17OCT17	2481.86	2587.77	USD	-2,647.75	
	NET 0 AVG BGHT 2312.00 SOLD 2481.86				**4,246.50**	**0.00**
16AUG17	S 4 PD16NOV17	2390.50	2588.13	USD	-19,763.00	
16AUG17	S 1 PD16NOV17	2392.00	2588.13	USD	-4,903.25	
26SEP17	B 5 PD16NOV17	2486.08	2588.13	USD	12,756.25	
	NET 0 AVG BGHT 2486.08 SOLD 2390.80				**-11,910.00**	**0.00**
01SEP17	B 5 PD01DEC17	2399.00	2590.06	USD	23,882.50	
26SEP17	S 5 PD01DEC17	2487.26	2590.06	USD	-12,850.00	
	NET 0 AVG BGHT 2399.00 SOLD 2487.26				**11,032.50**	**0.00**
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
04JUL17	B 1 PD04OCT17	2770.00	3316.62	USD	13,665.50	
04JUL17	B 1 PD04OCT17	2775.00	3316.62	USD	13,540.50	
04JUL17	B 1 PD04OCT17	2765.00	3316.62	USD	13,790.50	
04JUL17	S 4 PD04OCT17	2779.00	3316.62	USD	-53,762.00	
04JUL17	B 3 PD04OCT17	2784.50	3316.62	USD	39,909.00	
04JUL17	S 2 PD04OCT17	2779.50	3316.62	USD	-26,856.00	
04JUL17	S 4 PD04OCT17	2766.50	3316.62	USD	-55,012.00	
04JUL17	S 2 PD04OCT17	2767.00	3316.62	USD	-27,481.00	
26SEP17	B 6 PD04OCT17	3154.25	3316.62	USD	24,355.50	
	NET 0 AVG BGHT 2965.75 SOLD 2772.92				**-57,850.00**	**0.00**
05JUL17	B 1 PD05OCT17	2755.00	3313.50	USD	13,962.50	
05JUL17	B 1 PD05OCT17	2750.00	3313.50	USD	14,087.50	
05JUL17	B 1 PD05OCT17	2740.00	3313.50	USD	14,337.50	
05JUL17	B 1 PD05OCT17	2745.00	3313.50	USD	14,212.50	
05JUL17	S 1 PD05OCT17	2780.50	3313.50	USD	-13,325.00	
26SEP17	S 3 PD05OCT17	3151.13	3313.50	USD	-12,177.75	
	NET 0 AVG BGHT 2747.50 SOLD 3058.47				**31,097.25**	**0.00**
06JUL17	B 1 PD06OCT17	2759.00	3310.38	USD	13,784.50	
06JUL17	S 1 PD06OCT17	2790.00	3310.38	USD	-13,009.50	
06JUL17	B 1 PD06OCT17	2780.00	3310.38	USD	13,259.50	
26SEP17	S 1 PD06OCT17	3148.22	3310.38	USD	-4,054.00	
	NET 0 AVG BGHT 2769.50 SOLD 2969.11				**9,980.50**	**0.00**
10JUL17	B 1 PD10OCT17	2777.00	3307.25	USD	13,256.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0096C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
10JUL17	B	1	PD10OCT17	2774.00	3307.25	USD	13,331.25	
10JUL17	B	1	PD10OCT17	2780.00	3307.25	USD	13,181.25	
26SEP17	S	3	PD10OCT17	3145.30	3307.25	USD	-12,146.25	
	NET	**0**	**AVG BGHT 2777.00**	**SOLD 3145.30**			**27,622.50**	**0.00**
12JUL17	S	4	PD12OCT17	2840.50	3301.00	USD	-46,050.00	
12JUL17	S	4	PD12OCT17	2841.00	3301.00	USD	-46,000.00	
12JUL17	B	1	PD12OCT17	2835.00	3301.00	USD	11,650.00	
12JUL17	B	1	PD12OCT17	2830.00	3301.00	USD	11,775.00	
12JUL17	B	1	PD12OCT17	2825.00	3301.00	USD	11,900.00	
12JUL17	B	1	PD12OCT17	2820.50	3301.00	USD	12,012.50	
12JUL17	B	2	PD12OCT17	2820.00	3301.00	USD	24,050.00	
12JUL17	B	2	PD12OCT17	2819.50	3301.00	USD	24,075.00	
	NET	**0**	**AVG BGHT 2823.69**	**SOLD 2840.75**			**3,412.50**	**0.00**
14JUL17	B	1	PD13OCT17	2772.00	3297.88	USD	13,147.00	
14JUL17	B	1	PD13OCT17	2760.00	3297.88	USD	13,447.00	
14JUL17	S	1	PD13OCT17	2779.00	3297.88	USD	-12,972.00	
14JUL17	S	1	PD13OCT17	2799.00	3297.88	USD	-12,472.00	
	NET	**0**	**AVG BGHT 2766.00**	**SOLD 2789.00**			**1,150.00**	**0.00**
18JUL17	S	1	PD18OCT17	2773.50	3288.50	USD	-12,875.00	
18JUL17	B	1	PD18OCT17	2772.00	3288.50	USD	12,912.50	
	NET	**0**	**AVG BGHT 2772.00**	**SOLD 2773.50**			**37.50**	**0.00**
19JUL17	B	1	PD19OCT17	2770.50	3285.50	USD	12,875.00	
19JUL17	B	1	PD19OCT17	2760.50	3285.50	USD	13,125.00	
19JUL17	B	1	PD19OCT17	2750.50	3285.50	USD	13,375.00	
19JUL17	B	1	PD19OCT17	2740.50	3285.50	USD	13,625.00	
26SEP17	S	4	PD19OCT17	3127.30	3285.50	USD	-15,820.00	
	NET	**0**	**AVG BGHT 2755.50**	**SOLD 3127.30**			**37,180.00**	**0.00**
20JUL17	B	1	PD20OCT17	2720.50	3282.50	USD	14,050.00	
26SEP17	S	1	PD20OCT17	3126.80	3282.50	USD	-3,892.50	
	NET	**0**	**AVG BGHT 2720.50**	**SOLD 3126.80**			**10,157.50**	**0.00**
16AUG17	S	4	PD16NOV17	2978.00	3267.50	USD	-28,950.00	
16AUG17	S	1	PD16NOV17	2979.50	3267.50	USD	-7,200.00	
26SEP17	B	5	PD16NOV17	3118.96	3267.50	USD	18,567.50	
	NET	**0**	**AVG BGHT 3118.96**	**SOLD 2978.30**			**-17,582.50**	**0.00**
01SEP17	S	4	PD01DEC17	3177.50	3265.46	USD	-8,796.00	
01SEP17	S	1	PD01DEC17	3178.00	3265.46	USD	-2,186.50	
01SEP17	S	5	PD01DEC17	3180.00	3265.46	USD	-10,682.50	
01SEP17	S	5	PD01DEC17	3183.00	3265.46	USD	-10,307.50	
01SEP17	B	5	PD01DEC17	3191.50	3265.46	USD	9,245.00	
01SEP17	B	1	PD01DEC17	3192.00	3265.46	USD	1,836.50	
01SEP17	B	5	PD01DEC17	3192.50	3265.46	USD	9,120.00	
01SEP17	B	6	PD01DEC17	3196.00	3265.46	USD	10,419.00	
01SEP17	B	3	PD01DEC17	3197.00	3265.46	USD	5,134.50	
26SEP17	S	5	PD01DEC17	3118.38	3265.46	USD	-18,385.00	
	NET	**0**	**AVG BGHT 3193.95**	**SOLD 3164.75**			**-14,602.50**	**0.00**
14SEP17	B	5	PD14DEC17	3006.50	3266.86	USD	32,545.00	
14SEP17	B	5	PD14DEC17	3007.50	3266.86	USD	32,420.00	
14SEP17	B	10	PD14DEC17	3008.50	3266.86	USD	64,590.00	
14SEP17	S	1	PD14DEC17	3016.00	3266.86	USD	-6,271.50	
14SEP17	S	1	PD14DEC17	3020.00	3266.86	USD	-6,171.50	
26SEP17	S	18	PD14DEC17	3119.00	3266.86	USD	-66,537.00	
	NET	**0**	**AVG BGHT 3007.75**	**SOLD 3108.90**			**50,575.00**	**0.00**
15SEP17	S	1	PD15DEC17	3025.00	3266.96	USD	-6,049.00	
15SEP17	S	1	PD15DEC17	3030.00	3266.96	USD	-5,924.00	
15SEP17	S	1	PD15DEC17	3035.00	3266.96	USD	-5,799.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0096C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
15SEP17	S 1 PD15DEC17	3040.00	3266.96	USD	-5,674.00	
26SEP17	B 4 PD15DEC17	3119.20	3266.96	USD	14,776.00	
	NET 0 AVG BGHT 3119.20 SOLD 3032.50				**-8,670.00**	**0.00**
18SEP17	S 5 PD18DEC17	3067.50	3267.29	USD	-24,973.75	
18SEP17	S 1 PD18DEC17	3066.00	3267.29	USD	-5,032.25	
18SEP17	S 2 PD18DEC17	3066.50	3267.29	USD	-10,039.50	
18SEP17	S 2 PD18DEC17	3067.00	3267.29	USD	-10,014.50	
18SEP17	S 4 PD18DEC17	3070.50	3267.29	USD	-19,679.00	
18SEP17	S 4 PD18DEC17	3071.50	3267.29	USD	-19,579.00	
18SEP17	B 1 PD18DEC17	3100.00	3267.29	USD	4,182.25	
26SEP17	B 14 PD18DEC17	3119.20	3267.29	USD	51,831.50	
26SEP17	B 3 PD18DEC17	3119.00	3267.29	USD	11,121.75	
	NET 0 AVG BGHT 3118.10 SOLD 3068.81				**-22,182.50**	**0.00**
19SEP17	B 1 PD19DEC17	3099.50	3267.39	USD	4,197.25	
19SEP17	B 1 PD19DEC17	3105.00	3267.39	USD	4,059.75	
19SEP17	B 1 PD19DEC17	3106.00	3267.39	USD	4,034.75	
26SEP17	S 3 PD19DEC17	3118.80	3267.39	USD	-11,144.25	
	NET 0 AVG BGHT 3103.50 SOLD 3118.80				**1,147.50**	**0.00**
21SEP17	B 5 PD21DEC17	3035.50	3264.00	USD	28,562.50	
21SEP17	S 1 PD21DEC17	3040.00	3264.00	USD	-5,600.00	
21SEP17	S 2 PD21DEC17	3041.00	3264.00	USD	-11,150.00	
21SEP17	S 1 PD21DEC17	3040.50	3264.00	USD	-5,587.50	
21SEP17	S 1 PD21DEC17	3045.00	3264.00	USD	-5,475.00	
	NET 0 AVG BGHT 3035.50 SOLD 3041.50				**750.00**	**0.00**
28SEP17	B 5 PD28DEC17	3145.00	3264.00	USD	14,875.00	
28SEP17	B 5 PD28DEC17	3145.50	3264.00	USD	14,812.50	
28SEP17	B 5 PD28DEC17	3146.00	3264.00	USD	14,750.00	
28SEP17	B 5 PD28DEC17	3147.00	3264.00	USD	14,625.00	
28SEP17	S 5 PD28DEC17	3156.00	3264.00	USD	-13,500.00	
28SEP17	S 5 PD28DEC17	3160.50	3264.00	USD	-12,937.50	
28SEP17	S 5 PD28DEC17	3159.00	3264.00	USD	-13,125.00	
	NET +5 AVG BGHT 3145.88 SOLD 3158.50				**19,500.00**	**0.00**
29SEP17	S 1 PD29DEC17	3158.50	3264.00	USD	-2,637.50	
29SEP17	S 1 PD29DEC17	3166.50	3264.00	USD	-2,437.50	
29SEP17	S 3 PD29DEC17	3159.00	3264.00	USD	-7,875.00	
	NET -5 AVG SOLD 3160.40				**-12,950.00**	**0.00**

OPEN POSITION VALUE

	USD
LME Lead 25 tonnes. This is an FUTURES	8,106.00
LME Zinc 25 tonnes. This is FUTURES	58,772.75

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards		Amount	Profit / Loss	**Total Amount**	**Total P&L**
LEAD - Futures	USD	8,106.00	8,106.00		
ZINC - Futures	USD	58,772.75	58,772.75	**66,878.75**	**66,878.75**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0096C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-66,902.50	-66,902.50
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**-66,902.50**	**-66,902.50**
Initial Margins		0.00	0.00
Excess/(Shortage)		**-66,902.50**	**-66,902.50**
FOR INFORMATION ONLY			
Forward Unrealised P&L		66,878.75	66,878.75
Net Liquidating Value		**-23.75**	**-23.75**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0096F

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
04JUL17	S 1 PD04OCT17	1923.50	2113.92	USD	-4,760.50	
04JUL17	S 2 PD04OCT17	1920.50	2113.92	USD	-9,671.00	
04JUL17	S 2 PD04OCT17	1924.00	2113.92	USD	-9,496.00	
04JUL17	S 1 PD04OCT17	1914.50	2113.92	USD	-4,985.50	
24JUL17	B 6 PD04OCT17	1908.94	2113.92	USD	30,747.00	
	NET 0 AVG BGHT 1908.94 SOLD 1921.17				**1,834.00**	**0.00**
05JUL17	S 2 PD05OCT17	1928.00	2114.25	USD	-9,312.50	
24JUL17	B 2 PD05OCT17	1909.13	2114.25	USD	10,256.00	
	NET 0 AVG BGHT 1909.13 SOLD 1928.00				**943.50**	**0.00**
07JUL17	B 1 PD06OCT17	1927.00	2114.58	USD	4,689.50	
24JUL17	S 1 PD06OCT17	1909.13	2114.58	USD	-5,136.25	
	NET 0 AVG BGHT 1927.00 SOLD 1909.13				**-446.75**	**0.00**
10JUL17	B 1 PD10OCT17	1903.00	2115.92	USD	5,323.00	
24JUL17	S 1 PD10OCT17	1909.92	2115.92	USD	-5,150.00	
	NET 0 AVG BGHT 1903.00 SOLD 1909.92				**173.00**	**0.00**
11JUL17	B 2 PD11OCT17	1905.50	2116.25	USD	10,537.50	
24JUL17	S 2 PD11OCT17	1910.12	2116.25	USD	-10,306.50	
	NET 0 AVG BGHT 1905.50 SOLD 1910.12				**231.00**	**0.00**
12JUL17	S 1 PD12OCT17	1901.00	2116.25	USD	-5,381.25	
24JUL17	B 1 PD12OCT17	1910.52	2116.25	USD	5,143.25	
	NET 0 AVG BGHT 1910.52 SOLD 1901.00				**-238.00**	**0.00**
13JUL17	S 1 PD13OCT17	1937.00	2116.25	USD	-4,481.25	
13JUL17	S 1 PD13OCT17	1929.50	2116.25	USD	-4,668.75	
13JUL17	S 1 PD13OCT17	1929.00	2116.25	USD	-4,681.25	
24JUL17	B 3 PD13OCT17	1910.71	2116.25	USD	15,415.50	
	NET 0 AVG BGHT 1910.71 SOLD 1931.83				**1,584.25**	**0.00**
17JUL17	B 2 PD17OCT17	1939.00	2116.25	USD	8,862.50	
17JUL17	B 1 PD17OCT17	1923.00	2116.25	USD	4,831.25	
18SEP17	S 3 PD17OCT17	2068.08	2116.25	USD	-3,612.75	
	NET 0 AVG BGHT 1933.67 SOLD 2068.08				**10,081.00**	**0.00**
25JUL17	B 2 PD25OCT17	1919.00	2118.97	USD	9,998.50	
18SEP17	S 2 PD25OCT17	2071.13	2118.97	USD	-2,392.00	
	NET 0 AVG BGHT 1919.00 SOLD 2071.13				**7,606.50**	**0.00**
26JUL17	S 2 PD26OCT17	1958.00	2119.36	USD	-8,068.00	
26JUL17	S 2 PD26OCT17	1961.00	2119.36	USD	-7,918.00	
26JUL17	B 1 PD26OCT17	1943.50	2119.36	USD	4,396.50	
18SEP17	B 3 PD26OCT17	2071.74	2119.36	USD	3,571.50	
	NET 0 AVG BGHT 2039.68 SOLD 1959.50				**-8,018.00**	**0.00**
28JUL17	B 1 PD27OCT17	1935.50	2119.75	USD	4,606.25	
18SEP17	S 1 PD27OCT17	2071.95	2119.75	USD	-1,195.00	
	NET 0 AVG BGHT 1935.50 SOLD 2071.95				**3,411.25**	**0.00**
31JUL17	B 2 PD31OCT17	1919.50	2117.75	USD	9,912.50	
18SEP17	S 2 PD31OCT17	2073.59	2117.75	USD	-2,208.00	
	NET 0 AVG BGHT 1919.50 SOLD 2073.59				**7,704.50**	**0.00**
01AUG17	B 3 PD01NOV17	1907.00	2117.42	USD	15,781.50	
01AUG17	S 4 PD01NOV17	1909.50	2117.42	USD	-20,792.00	
18SEP17	B 1 PD01NOV17	2074.20	2117.42	USD	1,080.50	
	NET 0 AVG BGHT 1948.80 SOLD 1909.50				**-3,930.00**	**0.00**
02AUG17	S 1 PD02NOV17	1936.50	2117.08	USD	-4,514.50	
02AUG17	B 1 PD02NOV17	1928.00	2117.08	USD	4,727.00	
	NET 0 AVG BGHT 1928.00 SOLD 1936.50				**212.50**	**0.00**
03AUG17	B 1 PD03NOV17	1924.00	2116.75	USD	4,818.75	
04AUG17	B 2 PD03NOV17	1911.00	2116.75	USD	10,287.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0096F

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
04AUG17	S 5 PD03NOV17	1910.00	2116.75	USD	-25,843.75	
18SEP17	B 2 PD03NOV17	2075.02	2116.75	USD	2,086.50	
	NET 0 AVG BGHT 1979.21 SOLD 1910.00				**-8,651.00**	**0.00**
08AUG17	S 1 PD08NOV17	1974.00	2115.75	USD	-3,543.75	
08AUG17	S 1 PD08NOV17	1996.50	2115.75	USD	-2,981.25	
18SEP17	B 2 PD08NOV17	2077.08	2115.75	USD	1,933.50	
	NET 0 AVG BGHT 2077.08 SOLD 1985.25				**-4,591.50**	**0.00**
14AUG17	B 1 PD14NOV17	2034.00	2117.89	USD	2,097.25	
18SEP17	S 1 PD14NOV17	2079.34	2117.89	USD	-963.75	
	NET 0 AVG BGHT 2034.00 SOLD 2079.34				**1,133.50**	**0.00**
16AUG17	B 1 PD16NOV17	2087.50	2118.52	USD	775.50	
18SEP17	S 1 PD16NOV17	2080.05	2118.52	USD	-961.75	
	NET 0 AVG BGHT 2087.50 SOLD 2080.05				**-186.25**	**0.00**
17AUG17	S 2 PD17NOV17	2073.50	2118.79	USD	-2,264.50	
18SEP17	B 2 PD17NOV17	2080.54	2118.79	USD	1,912.50	
	NET 0 AVG BGHT 2080.54 SOLD 2073.50				**-352.00**	**0.00**
22AUG17	B 1 PD22NOV17	2082.50	2120.15	USD	941.25	
22AUG17	B 1 PD22NOV17	2080.00	2120.15	USD	1,003.75	
22AUG17	B 2 PD22NOV17	2077.00	2120.15	USD	2,157.50	
18SEP17	S 4 PD22NOV17	2081.82	2120.15	USD	-3,833.00	
	NET 0 AVG BGHT 2079.13 SOLD 2081.82				**269.50**	**0.00**
23AUG17	S 1 PD24NOV17	2089.50	2120.69	USD	-779.75	
23AUG17	S 1 PD24NOV17	2090.50	2120.69	USD	-754.75	
23AUG17	S 2 PD24NOV17	2091.50	2120.69	USD	-1,459.50	
23AUG17	S 1 PD24NOV17	2096.50	2120.69	USD	-604.75	
23AUG17	S 1 PD24NOV17	2096.00	2120.69	USD	-617.25	
24AUG17	B 1 PD24NOV17	2101.50	2120.69	USD	479.75	
24AUG17	B 1 PD24NOV17	2101.00	2120.69	USD	492.25	
25AUG17	B 2 PD24NOV17	2070.50	2120.69	USD	2,509.50	
18SEP17	B 2 PD24NOV17	2082.61	2120.69	USD	1,904.00	
	NET 0 AVG BGHT 2084.79 SOLD 2092.58				**1,169.50**	**0.00**
29AUG17	S 1 PD29NOV17	2100.00	2122.05	USD	-551.25	
29AUG17	S 1 PD29NOV17	2102.00	2122.05	USD	-501.25	
18SEP17	B 2 PD29NOV17	2084.09	2122.05	USD	1,898.00	
	NET 0 AVG BGHT 2084.09 SOLD 2101.00				**845.50**	**0.00**
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
17JUL17	S 2 PD17OCT17	5979.50	6483.98	USD	-25,224.00	
17JUL17	S 1 PD17OCT17	6014.00	6483.98	USD	-11,749.50	
18SEP17	B 3 PD17OCT17	6495.75	6483.98	USD	-882.75	
	NET 0 AVG BGHT 6495.75 SOLD 5991.00				**-37,856.25**	**0.00**
25JUL17	S 1 PD25OCT17	6222.50	6490.29	USD	-6,694.75	
25JUL17	S 1 PD25OCT17	6221.00	6490.29	USD	-6,732.25	
18SEP17	B 2 PD25OCT17	6499.11	6490.29	USD	-441.00	
	NET 0 AVG BGHT 6499.11 SOLD 6221.75				**-13,868.00**	**0.00**
26JUL17	B 1 PD26OCT17	6347.50	6490.82	USD	3,583.00	
26JUL17	B 1 PD26OCT17	6354.50	6490.82	USD	3,408.00	
26JUL17	B 1 PD26OCT17	6391.00	6490.82	USD	2,495.50	
26JUL17	B 1 PD26OCT17	6343.50	6490.82	USD	3,683.00	
18SEP17	S 4 PD26OCT17	6499.26	6490.82	USD	844.00	
	NET 0 AVG BGHT 6359.13 SOLD 6499.26				**14,013.50**	**0.00**
28JUL17	S 1 PD27OCT17	6299.50	6491.36	USD	-4,796.50	
28JUL17	B 1 PD27OCT17	6320.50	6491.36	USD	4,271.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0096F

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
	NET 0 AVG BGHT 6320.50 SOLD 6299.50				**-525.00**	**0.00**
04AUG17	B 1 PD03NOV17	6348.50	6495.28	USD	3,669.50	
18SEP17	S 1 PD03NOV17	6503.35	6495.28	USD	201.75	
	NET 0 AVG BGHT 6348.50 SOLD 6503.35				**3,871.25**	**0.00**
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
04JUL17	B 1 PD04OCT17	2309.00	2584.77	USD	6,894.25	
04JUL17	B 1 PD04OCT17	2291.00	2584.77	USD	7,344.25	
24JUL17	S 2 PD04OCT17	2256.55	2584.77	USD	-16,411.00	
	NET 0 AVG BGHT 2300.00 SOLD 2256.55				**-2,172.50**	**0.00**
05JUL17	B 1 PD05OCT17	2269.00	2585.00	USD	7,900.00	
05JUL17	B 1 PD05OCT17	2272.00	2585.00	USD	7,825.00	
05JUL17	B 3 PD05OCT17	2273.00	2585.00	USD	23,400.00	
05JUL17	B 1 PD05OCT17	2273.50	2585.00	USD	7,787.50	
24JUL17	S 2 PD05OCT17	2256.73	2585.00	USD	-16,413.50	
24JUL17	S 4 PD05OCT17	2256.93	2585.00	USD	-32,807.00	
	NET 0 AVG BGHT 2272.25 SOLD 2256.86				**-2,308.00**	**0.00**
06JUL17	B 2 PD06OCT17	2286.50	2585.23	USD	14,936.50	
24JUL17	S 2 PD06OCT17	2257.11	2585.23	USD	-16,406.00	
	NET 0 AVG BGHT 2286.50 SOLD 2257.11				**-1,469.50**	**0.00**
10JUL17	S 2 PD10OCT17	2303.00	2586.15	USD	-14,157.50	
10JUL17	S 2 PD10OCT17	2313.50	2586.15	USD	-13,632.50	
24JUL17	B 4 PD10OCT17	2258.02	2586.15	USD	32,813.00	
	NET 0 AVG BGHT 2258.02 SOLD 2308.25				**5,023.00**	**0.00**
11JUL17	B 1 PD11OCT17	2327.00	2586.38	USD	6,484.50	
18SEP17	S 1 PD11OCT17	2371.00	2586.38	USD	-5,384.50	
	NET 0 AVG BGHT 2327.00 SOLD 2371.00				**1,100.00**	**0.00**
13JUL17	B 2 PD13OCT17	2322.00	2586.85	USD	13,242.50	
14JUL17	S 1 PD13OCT17	2315.50	2586.85	USD	-6,783.75	
14JUL17	S 1 PD13OCT17	2311.00	2586.85	USD	-6,896.25	
	NET 0 AVG BGHT 2322.00 SOLD 2313.25				**-437.50**	**0.00**
17JUL17	B 1 PD17OCT17	2325.00	2587.77	USD	6,569.25	
17JUL17	B 1 PD17OCT17	2329.50	2587.77	USD	6,456.75	
18SEP17	S 2 PD17OCT17	2372.93	2587.77	USD	-10,742.00	
	NET 0 AVG BGHT 2327.25 SOLD 2372.93				**2,284.00**	**0.00**
19JUL17	B 1 PD19OCT17	2241.50	2588.00	USD	8,662.50	
18SEP17	S 1 PD19OCT17	2373.54	2588.00	USD	-5,361.50	
	NET 0 AVG BGHT 2241.50 SOLD 2373.54				**3,301.00**	**0.00**
21JUL17	S 1 PD20OCT17	2246.00	2588.00	USD	-8,550.00	
21JUL17	S 1 PD20OCT17	2251.00	2588.00	USD	-8,425.00	
24JUL17	B 2 PD20OCT17	2259.70	2588.00	USD	16,415.00	
	NET 0 AVG BGHT 2259.70 SOLD 2248.50				**-560.00**	**0.00**
26JUL17	S 1 PD26OCT17	2332.00	2588.00	USD	-6,400.00	
26JUL17	B 1 PD26OCT17	2312.50	2588.00	USD	6,887.50	
	NET 0 AVG BGHT 2312.50 SOLD 2332.00				**487.50**	**0.00**
28JUL17	S 1 PD27OCT17	2302.50	2588.00	USD	-7,137.50	
18SEP17	B 1 PD27OCT17	2376.02	2588.00	USD	5,299.50	
	NET 0 AVG BGHT 2376.02 SOLD 2302.50				**-1,838.00**	**0.00**
01AUG17	B 1 PD01NOV17	2338.50	2588.00	USD	6,237.50	
01AUG17	S 2 PD01NOV17	2337.00	2588.00	USD	-12,550.00	
01AUG17	S 2 PD01NOV17	2338.50	2588.00	USD	-12,475.00	
18SEP17	B 3 PD01NOV17	2377.45	2588.00	USD	15,791.25	
	NET 0 AVG BGHT 2367.71 SOLD 2337.75				**-2,996.25**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0096F

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
09AUG17	B 1 PD09NOV17	2413.50	2588.00	USD	4,362.50	
09AUG17	B 1 PD09NOV17	2415.50	2588.00	USD	4,312.50	
09AUG17	B 1 PD09NOV17	2385.50	2588.00	USD	5,062.50	
09AUG17	B 1 PD09NOV17	2389.00	2588.00	USD	4,975.00	
09AUG17	B 1 PD09NOV17	2389.50	2588.00	USD	4,962.50	
09AUG17	B 1 PD09NOV17	2391.00	2588.00	USD	4,925.00	
09AUG17	S 1 PD09NOV17	2389.00	2588.00	USD	-4,975.00	
18SEP17	S 5 PD09NOV17	2379.54	2588.00	USD	-26,057.50	
	NET 0 AVG BGHT 2397.33 SOLD 2381.12				**-2,432.50**	**0.00**
17AUG17	S 1 PD17NOV17	2413.00	2588.26	USD	-4,381.50	
17AUG17	S 1 PD17NOV17	2414.00	2588.26	USD	-4,356.50	
18AUG17	S 1 PD17NOV17	2411.00	2588.26	USD	-4,431.50	
18AUG17	S 1 PD17NOV17	2359.50	2588.26	USD	-5,719.00	
18SEP17	B 4 PD17NOV17	2381.98	2588.26	USD	20,628.00	
	NET 0 AVG BGHT 2381.98 SOLD 2399.38				**1,739.50**	**0.00**
21AUG17	S 1 PD21NOV17	2350.50	2588.77	USD	-5,956.75	
18SEP17	B 1 PD21NOV17	2383.04	2588.77	USD	5,143.25	
	NET 0 AVG BGHT 2383.04 SOLD 2350.50				**-813.50**	**0.00**
22AUG17	S 1 PD22NOV17	2417.50	2588.90	USD	-4,285.00	
22AUG17	S 1 PD22NOV17	2402.00	2588.90	USD	-4,672.50	
18SEP17	B 2 PD22NOV17	2383.11	2588.90	USD	10,289.50	
	NET 0 AVG BGHT 2383.11 SOLD 2409.75				**1,332.00**	**0.00**
23AUG17	B 1 PD24NOV17	2399.00	2589.16	USD	4,754.00	
23AUG17	B 1 PD24NOV17	2388.50	2589.16	USD	5,016.50	
23AUG17	S 1 PD24NOV17	2383.50	2589.16	USD	-5,141.50	
23AUG17	S 1 PD24NOV17	2386.50	2589.16	USD	-5,066.50	
24AUG17	B 1 PD24NOV17	2352.00	2589.16	USD	5,929.00	
24AUG17	B 1 PD24NOV17	2355.50	2589.16	USD	5,841.50	
25AUG17	B 1 PD24NOV17	2353.00	2589.16	USD	5,904.00	
18SEP17	S 2 PD24NOV17	2383.44	2589.16	USD	-10,286.00	
18SEP17	S 1 PD24NOV17	2383.64	2589.16	USD	-5,138.00	
	NET 0 AVG BGHT 2369.60 SOLD 2384.10				**1,813.00**	**0.00**
29AUG17	S 1 PD29NOV17	2399.00	2589.80	USD	-4,770.00	
18SEP17	B 1 PD29NOV17	2385.16	2589.80	USD	5,116.00	
	NET 0 AVG BGHT 2385.16 SOLD 2399.00				**346.00**	**0.00**
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
04JUL17	B 1 PD04OCT17	9175.00	10531.23	USD	8,137.38	
04JUL17	B 1 PD04OCT17	9180.00	10531.23	USD	8,107.38	
24JUL17	S 2 PD04OCT17	9768.75	10531.23	USD	-9,149.76	
	NET 0 AVG BGHT 9177.50 SOLD 9768.75				**7,095.00**	**0.00**
05JUL17	B 2 PD05OCT17	9115.00	10532.50	USD	17,010.00	
05JUL17	S 2 PD05OCT17	9110.00	10532.50	USD	-17,070.00	
05JUL17	S 1 PD05OCT17	9100.00	10532.50	USD	-8,595.00	
24JUL17	B 1 PD05OCT17	9770.41	10532.50	USD	4,572.54	
	NET 0 AVG BGHT 9333.47 SOLD 9106.67				**-4,082.46**	**0.00**
06JUL17	B 1 PD06OCT17	9140.00	10533.77	USD	8,362.62	
06JUL17	B 1 PD06OCT17	9115.00	10533.77	USD	8,512.62	
24JUL17	S 2 PD06OCT17	9770.07	10533.77	USD	-9,164.40	
	NET 0 AVG BGHT 9127.50 SOLD 9770.07				**7,710.84**	**0.00**
10JUL17	B 2 PD10OCT17	9020.00	10538.85	USD	18,226.20	
24JUL17	S 2 PD10OCT17	9772.71	10538.85	USD	-9,193.68	
	NET 0 AVG BGHT 9020.00 SOLD 9772.71				**9,032.52**	**0.00**
12JUL17	S 2 PD12OCT17	9225.00	10541.38	USD	-15,796.56	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0096F

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
24JUL17	B	2	PD12OCT17	9775.04	10541.38	USD	9,196.08	
	NET	**0**	**AVG BGHT 9775.04 SOLD 9225.00**				**-6,600.48**	**0.00**
13JUL17	B	1	PD13OCT17	9190.00	10542.65	USD	8,115.90	
14JUL17	S	1	PD13OCT17	9490.00	10542.65	USD	-6,315.90	
	NET	**0**	**AVG BGHT 9190.00 SOLD 9490.00**				**1,800.00**	**0.00**
17JUL17	S	1	PD17OCT17	9660.00	10547.73	USD	-5,326.38	
17JUL17	S	1	PD17OCT17	9690.00	10547.73	USD	-5,146.38	
17JUL17	S	1	PD17OCT17	9715.00	10547.73	USD	-4,996.38	
24JUL17	B	3	PD17OCT17	9778.34	10547.73	USD	13,849.02	
	NET	**0**	**AVG BGHT 9778.34 SOLD 9688.33**				**-1,620.12**	**0.00**
19JUL17	S	2	PD19OCT17	9700.00	10549.96	USD	-10,199.52	
19JUL17	S	1	PD19OCT17	9650.00	10549.96	USD	-5,399.76	
24JUL17	B	1	PD19OCT17	9777.00	10549.96	USD	4,637.76	
18SEP17	B	2	PD19OCT17	11186.86	10549.96	USD	-7,642.80	
	NET	**0**	**AVG BGHT 10716.91 SOLD 9683.33**				**-18,604.32**	**0.00**
20JUL17	B	1	PD20OCT17	9500.00	10550.93	USD	6,305.58	
24JUL17	S	1	PD20OCT17	9776.60	10550.93	USD	-4,645.98	
	NET	**0**	**AVG BGHT 9500.00 SOLD 9776.60**				**1,659.60**	**0.00**
24JUL17	S	1	PD24OCT17	9725.00	10554.79	USD	-4,978.74	
24JUL17	S	1	PD24OCT17	9770.00	10554.79	USD	-4,708.74	
18SEP17	B	2	PD24OCT17	11191.14	10554.79	USD	-7,636.20	
	NET	**0**	**AVG BGHT 11191.14 SOLD 9747.50**				**-17,323.68**	**0.00**
26JUL17	B	1	PD26OCT17	9940.00	10556.71	USD	3,700.26	
18SEP17	S	1	PD26OCT17	11191.86	10556.71	USD	3,810.90	
	NET	**0**	**AVG BGHT 9940.00 SOLD 11191.86**				**7,511.16**	**0.00**
28JUL17	B	1	PD27OCT17	10230.00	10557.68	USD	1,966.08	
28JUL17	B	1	PD27OCT17	10190.00	10557.68	USD	2,206.08	
28JUL17	B	1	PD27OCT17	10205.00	10557.68	USD	2,116.08	
28JUL17	B	1	PD27OCT17	10255.00	10557.68	USD	1,816.08	
28JUL17	S	1	PD27OCT17	10255.00	10557.68	USD	-1,816.08	
28JUL17	S	1	PD27OCT17	10260.00	10557.68	USD	-1,786.08	
18SEP17	S	2	PD27OCT17	11192.71	10557.68	USD	7,620.36	
	NET	**0**	**AVG BGHT 10220.00 SOLD 10725.11**				**12,122.52**	**0.00**
31JUL17	B	1	PD31OCT17	10315.00	10561.54	USD	1,479.24	
18SEP17	S	1	PD31OCT17	11196.14	10561.54	USD	3,807.60	
	NET	**0**	**AVG BGHT 10315.00 SOLD 11196.14**				**5,286.84**	**0.00**
01AUG17	S	2	PD01NOV17	10180.00	10562.50	USD	-4,590.00	
	NET	**-2**	**AVG SOLD 10180.00**				**-4,590.00**	**0.00**
07AUG17	B	1	PD07NOV17	10345.00	10568.29	USD	1,339.74	
07AUG17	B	1	PD07NOV17	10360.00	10568.29	USD	1,249.74	
	NET	**+2**	**AVG BGHT 10352.50**				**2,589.48**	**0.00**
09AUG17	S	1	PD09NOV17	10730.00	10570.21	USD	958.74	
	NET	**-1**	**AVG SOLD 10730.00**				**958.74**	**0.00**
11AUG17	B	1	PD10NOV17	10615.00	10571.18	USD	-262.92	
	NET	**+1**	**AVG BGHT 10615.00**				**-262.92**	**0.00**
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
04JUL17	S	2	PD04OCT17	19955.00	20956.50	USD	-10,015.00	
24JUL17	B	2	PD04OCT17	20221.05	20956.50	USD	7,354.50	
	NET	**0**	**AVG BGHT 20221.05 SOLD 19955.00**				**-2,660.50**	**0.00**
05JUL17	S	1	PD05OCT17	20020.00	20954.00	USD	-4,670.00	
05JUL17	S	1	PD05OCT17	20025.00	20954.00	USD	-4,645.00	
05JUL17	B	1	PD05OCT17	19665.00	20954.00	USD	6,445.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0096F

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
05JUL17	B 1 PD05OCT17	19675.00	20954.00	USD	6,395.00	
05JUL17	B 1 PD05OCT17	19565.00	20954.00	USD	6,945.00	
24JUL17	S 1 PD05OCT17	20217.16	20954.00	USD	-3,684.20	
	NET 0 AVG BGHT 19635.00 SOLD 20087.39				**6,785.80**	**0.00**
06JUL17	S 1 PD06OCT17	19845.00	20951.50	USD	-5,532.50	
06JUL17	S 1 PD06OCT17	19835.00	20951.50	USD	-5,582.50	
24JUL17	B 2 PD06OCT17	20215.26	20951.50	USD	7,362.40	
	NET 0 AVG BGHT 20215.26 SOLD 19840.00				**-3,752.60**	**0.00**
10JUL17	S 2 PD10OCT17	19750.00	20949.00	USD	-11,990.00	
24JUL17	B 2 PD10OCT17	20212.37	20949.00	USD	7,366.30	
	NET 0 AVG BGHT 20212.37 SOLD 19750.00				**-4,623.70**	**0.00**
12JUL17	B 2 PD12OCT17	19945.00	20944.00	USD	9,990.00	
24JUL17	S 2 PD12OCT17	20205.58	20944.00	USD	-7,384.20	
	NET 0 AVG BGHT 19945.00 SOLD 20205.58				**2,605.80**	**0.00**
13JUL17	S 1 PD13OCT17	19875.00	20941.50	USD	-5,332.50	
14JUL17	B 1 PD13OCT17	19890.00	20941.50	USD	5,257.50	
	NET 0 AVG BGHT 19890.00 SOLD 19875.00				**-75.00**	**0.00**
17JUL17	B 1 PD17OCT17	19970.00	20936.50	USD	4,832.50	
17JUL17	B 2 PD17OCT17	19975.00	20936.50	USD	9,615.00	
24JUL17	S 3 PD17OCT17	20196.89	20936.50	USD	-11,094.15	
	NET 0 AVG BGHT 19973.33 SOLD 20196.89				**3,353.35**	**0.00**
19JUL17	B 1 PD19OCT17	20110.00	20929.00	USD	4,095.00	
19JUL17	B 1 PD19OCT17	20120.00	20929.00	USD	4,045.00	
19JUL17	B 1 PD19OCT17	20085.00	20929.00	USD	4,220.00	
24JUL17	S 1 PD19OCT17	20180.00	20929.00	USD	-3,745.00	
	NET +2 AVG BGHT 20105.00 SOLD 20180.00				**8,615.00**	**0.00**
20JUL17	S 1 PD20OCT17	20090.00	20924.00	USD	-4,170.00	
24JUL17	B 1 PD20OCT17	20166.00	20924.00	USD	3,790.00	
	NET 0 AVG BGHT 20166.00 SOLD 20090.00				**-380.00**	**0.00**
24JUL17	B 1 PD24OCT17	20260.00	20914.00	USD	3,270.00	
24JUL17	B 1 PD24OCT17	20155.00	20914.00	USD	3,795.00	
	NET +2 AVG BGHT 20207.50				**7,065.00**	**0.00**
26JUL17	S 1 PD26OCT17	20350.00	20904.00	USD	-2,770.00	
	NET -1 AVG SOLD 20350.00				**-2,770.00**	**0.00**
28JUL17	S 1 PD27OCT17	20850.00	20899.00	USD	-245.00	
28JUL17	S 1 PD27OCT17	20835.00	20899.00	USD	-320.00	
28JUL17	S 1 PD27OCT17	20855.00	20899.00	USD	-220.00	
28JUL17	B 2 PD27OCT17	20645.00	20899.00	USD	2,540.00	
28JUL17	S 1 PD27OCT17	20645.00	20899.00	USD	-1,270.00	
	NET -2 AVG BGHT 20645.00 SOLD 20796.25				**485.00**	**0.00**
31JUL17	S 1 PD31OCT17	20845.00	20889.00	USD	-220.00	
	NET -1 AVG SOLD 20845.00				**-220.00**	**0.00**
01AUG17	B 2 PD01NOV17	20400.00	20884.00	USD	4,840.00	
	NET +2 AVG BGHT 20400.00				**4,840.00**	**0.00**
07AUG17	S 1 PD07NOV17	20660.00	20878.40	USD	-1,092.00	
07AUG17	S 1 PD07NOV17	20615.00	20878.40	USD	-1,317.00	
	NET -2 AVG SOLD 20637.50				**-2,409.00**	**0.00**
09AUG17	B 1 PD09NOV17	20250.00	20875.60	USD	3,128.00	
	NET +1 AVG BGHT 20250.00				**3,128.00**	**0.00**
11AUG17	S 1 PD10NOV17	20310.00	20874.20	USD	-2,821.00	
	NET -1 AVG SOLD 20310.00				**-2,821.00**	**0.00**
23AUG17	B 1 PD24NOV17	20545.00	20862.50	USD	1,587.50	
25AUG17	S 1 PD24NOV17	20405.00	20862.50	USD	-2,287.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0096F

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	NET 0 AVG BGHT 20545.00 SOLD 20405.00				**-700.00**	**0.00**
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
04JUL17	B 2 PD04OCT17	2780.00	3316.62	USD	26,831.00	
04JUL17	B 2 PD04OCT17	2779.50	3316.62	USD	26,856.00	
24JUL17	S 4 PD04OCT17	2786.80	3316.62	USD	-52,982.00	
	NET 0 AVG BGHT 2779.75 SOLD 2786.80				**705.00**	**0.00**
05JUL17	S 2 PD05OCT17	2771.50	3313.50	USD	-27,100.00	
05JUL17	S 2 PD05OCT17	2776.00	3313.50	USD	-26,875.00	
24JUL17	B 4 PD05OCT17	2787.14	3313.50	USD	52,636.00	
	NET 0 AVG BGHT 2787.14 SOLD 2773.75				**-1,339.00**	**0.00**
06JUL17	S 2 PD06OCT17	2782.00	3310.38	USD	-26,419.00	
07JUL17	S 1 PD06OCT17	2773.00	3310.38	USD	-13,434.50	
24JUL17	B 3 PD06OCT17	2787.29	3310.38	USD	39,231.75	
	NET 0 AVG BGHT 2787.29 SOLD 2779.00				**-621.75**	**0.00**
10JUL17	B 1 PD10OCT17	2778.50	3307.25	USD	13,218.75	
10JUL17	B 2 PD10OCT17	2774.00	3307.25	USD	26,662.50	
24JUL17	S 3 PD10OCT17	2787.66	3307.25	USD	-38,969.25	
	NET 0 AVG BGHT 2775.50 SOLD 2787.66				**912.00**	**0.00**
11JUL17	S 2 PD11OCT17	2794.50	3304.13	USD	-25,481.50	
11JUL17	S 1 PD11OCT17	2808.00	3304.13	USD	-12,403.25	
24JUL17	B 2 PD11OCT17	2788.00	3304.13	USD	25,806.50	
	NET -1 AVG BGHT 2788.00 SOLD 2799.00				**-12,078.25**	**0.00**
12JUL17	B 1 PD12OCT17	2861.00	3301.00	USD	11,000.00	
24JUL17	S 1 PD12OCT17	2787.94	3301.00	USD	-12,826.50	
	NET 0 AVG BGHT 2861.00 SOLD 2787.94				**-1,826.50**	**0.00**
13JUL17	B 1 PD13OCT17	2838.50	3297.88	USD	11,484.50	
13JUL17	S 2 PD13OCT17	2836.50	3297.88	USD	-23,069.00	
13JUL17	B 1 PD13OCT17	2828.50	3297.88	USD	11,734.50	
13JUL17	B 1 PD13OCT17	2827.50	3297.88	USD	11,759.50	
14JUL17	B 1 PD13OCT17	2790.00	3297.88	USD	12,697.00	
14JUL17	B 1 PD13OCT17	2791.00	3297.88	USD	12,672.00	
24JUL17	S 3 PD13OCT17	2788.09	3297.88	USD	-38,234.25	
	NET 0 AVG BGHT 2815.10 SOLD 2807.45				**-955.75**	**0.00**
17JUL17	S 1 PD17OCT17	2829.50	3291.63	USD	-11,553.25	
17JUL17	S 1 PD17OCT17	2840.50	3291.63	USD	-11,278.25	
	NET -2 AVG SOLD 2835.00				**-22,831.50**	**0.00**
19JUL17	S 1 PD19OCT17	2773.00	3285.50	USD	-12,812.50	
	NET -1 AVG SOLD 2773.00				**-12,812.50**	**0.00**
21JUL17	B 1 PD20OCT17	2764.00	3282.50	USD	12,962.50	
21JUL17	B 1 PD20OCT17	2765.00	3282.50	USD	12,937.50	
24JUL17	S 2 PD20OCT17	2787.40	3282.50	USD	-24,755.00	
	NET 0 AVG BGHT 2764.50 SOLD 2787.40				**1,145.00**	**0.00**
26JUL17	B 1 PD26OCT17	2846.50	3278.50	USD	10,800.00	
26JUL17	S 1 PD26OCT17	2808.50	3278.50	USD	-11,750.00	
26JUL17	S 1 PD26OCT17	2810.00	3278.50	USD	-11,712.50	
	NET -1 AVG BGHT 2846.50 SOLD 2809.25				**-12,662.50**	**0.00**
28JUL17	S 1 PD27OCT17	2784.50	3277.50	USD	-12,325.00	
28JUL17	B 1 PD27OCT17	2769.00	3277.50	USD	12,712.50	
	NET 0 AVG BGHT 2769.00 SOLD 2784.50				**387.50**	**0.00**
31JUL17	S 2 PD31OCT17	2819.50	3275.50	USD	-22,800.00	
	NET -2 AVG SOLD 2819.50				**-22,800.00**	**0.00**
01AUG17	B 4 PD01NOV17	2781.50	3274.50	USD	49,300.00	
	NET +4 AVG BGHT 2781.50				**49,300.00**	**0.00**
02AUG17	B 1 PD02NOV17	2775.00	3273.50	USD	12,462.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0096F

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
02AUG17	S	1 PD02NOV17		2785.00	3273.50	USD	-12,212.50	
	NET	**0 AVG BGHT 2775.00**	**SOLD 2785.00**				**250.00**	**0.00**
03AUG17	S	1 PD03NOV17		2828.50	3272.00	USD	-11,087.50	
04AUG17	B	3 PD03NOV17		2815.50	3272.00	USD	34,237.50	
04AUG17	S	2 PD03NOV17		2815.00	3272.00	USD	-22,850.00	
04AUG17	B	1 PD03NOV17		2814.50	3272.00	USD	11,437.50	
	NET	**+1 AVG BGHT 2815.25**	**SOLD 2819.50**				**11,737.50**	**0.00**
08AUG17	B	1 PD08NOV17		2868.00	3272.00	USD	10,100.00	
08AUG17	B	1 PD08NOV17		2870.00	3272.00	USD	10,050.00	
	NET	**+2 AVG BGHT 2869.00**					**20,150.00**	**0.00**
09AUG17	S	1 PD09NOV17		2973.50	3272.00	USD	-7,462.50	
09AUG17	S	1 PD09NOV17		2975.00	3272.00	USD	-7,425.00	
09AUG17	B	1 PD09NOV17		2959.00	3272.00	USD	7,825.00	
09AUG17	S	1 PD09NOV17		2958.00	3272.00	USD	-7,850.00	
09AUG17	S	1 PD09NOV17		2958.50	3272.00	USD	-7,837.50	
09AUG17	S	1 PD09NOV17		2961.00	3272.00	USD	-7,775.00	
09AUG17	S	1 PD09NOV17		2950.50	3272.00	USD	-8,037.50	
	NET	**-5 AVG BGHT 2959.00**	**SOLD 2962.75**				**-38,562.50**	**0.00**
14AUG17	S	1 PD14NOV17		2913.50	3270.33	USD	-8,920.75	
	NET	**-1 AVG SOLD 2913.50**					**-8,920.75**	**0.00**
16AUG17	S	1 PD16NOV17		3095.00	3267.50	USD	-4,312.50	
	NET	**-1 AVG SOLD 3095.00**					**-4,312.50**	**0.00**
17AUG17	B	1 PD17NOV17		3091.50	3265.50	USD	4,350.00	
17AUG17	B	1 PD17NOV17		3060.50	3265.50	USD	5,125.00	
17AUG17	B	1 PD17NOV17		3061.00	3265.50	USD	5,112.50	
17AUG17	B	1 PD17NOV17		3064.00	3265.50	USD	5,037.50	
17AUG17	B	1 PD17NOV17		3060.00	3265.50	USD	5,137.50	
18AUG17	B	2 PD17NOV17		3128.50	3265.50	USD	6,850.00	
	NET	**+7 AVG BGHT 3084.86**					**31,612.50**	**0.00**
22AUG17	S	1 PD22NOV17		3124.00	3264.50	USD	-3,512.50	
22AUG17	S	1 PD22NOV17		3120.00	3264.50	USD	-3,612.50	
	NET	**-2 AVG SOLD 3122.00**					**-7,125.00**	**0.00**
23AUG17	B	1 PD24NOV17		3101.50	3264.71	USD	4,080.25	
23AUG17	B	2 PD24NOV17		3102.00	3264.71	USD	8,135.50	
23AUG17	B	2 PD24NOV17		3100.00	3264.71	USD	8,235.50	
23AUG17	B	2 PD24NOV17		3100.50	3264.71	USD	8,210.50	
23AUG17	S	1 PD24NOV17		3099.50	3264.71	USD	-4,130.25	
24AUG17	S	1 PD24NOV17		3102.50	3264.71	USD	-4,055.25	
24AUG17	S	1 PD24NOV17		3104.00	3264.71	USD	-4,017.75	
24AUG17	S	1 PD24NOV17		3105.00	3264.71	USD	-3,992.75	
24AUG17	S	1 PD24NOV17		3106.50	3264.71	USD	-3,955.25	
25AUG17	S	1 PD24NOV17		3089.50	3264.71	USD	-4,380.25	
25AUG17	S	2 PD24NOV17		3064.00	3264.71	USD	-10,035.50	
	NET	**-1 AVG BGHT 3100.93**	**SOLD 3091.88**				**-5,905.25**	**0.00**
29AUG17	B	2 PD29NOV17		3122.00	3265.25	USD	7,162.50	
29AUG17	B	1 PD29NOV17		3121.00	3265.25	USD	3,606.25	
	NET	**+3 AVG BGHT 3121.67**					**10,768.75**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0096F

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	10,786.00
LME Copper Grade A 25	FUTURES	-34,364.50
LME Lead 25 tonnes. This is an	FUTURES	2,398.25
LME Nickel 6 tonnes. This is	FUTURES	2,607.42
LME Tin 5 tonnes. This is an	FUTURES	15,928.15
LME Zinc 25 tonnes. This is	FUTURES	-22,643.50

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards			Amount	Profit / Loss	**Total Amount**	**Total P&L**
ALUL	- Futures	USD	10,786.00	10,786.00		
COPL	- Futures	USD	-34,364.50	-34,364.50		
LEAD	- Futures	USD	2,398.25	2,398.25		
NKL	- Futures	USD	2,682.72	2,682.72		
TIN	- Futures	USD	16,466.15	16,466.15		
ZINC	- Futures	USD	-25,785.50	-25,785.50	**-27,816.88**	**-27,816.88**

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		27,613.68	27,613.68
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**27,613.68**	**27,613.68**
Initial Margins		-35,267.88	-35,267.88
Excess/(Shortage)		**-7,654.20**	**-7,654.20**
FOR INFORMATION ONLY			
Forward Unrealised P&L		-27,816.88	-27,816.88
Net Liquidating Value		**-203.20**	**-203.20**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0096G

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
11SEP17	B	2	PD11DEC17	11485.00	10599.03	USD	-10,631.64	
11SEP17	B	3	PD11DEC17	11500.00	10599.03	USD	-16,217.46	
11SEP17	B	3	PD11DEC17	11490.00	10599.03	USD	-16,037.46	
11SEP17	B	2	PD11DEC17	11495.00	10599.03	USD	-10,751.64	
22SEP17	S	10	PD11DEC17	10410.03	10599.03	USD	-11,340.00	
	NET	**0**	**AVG BGHT 11493.00**	**SOLD 10410.03**			**-64,978.20**	**0.00**
20SEP17	S	10	PD20DEC17	11360.00	10607.00	USD	45,180.00	
22SEP17	B	10	PD20DEC17	10419.00	10607.00	USD	11,280.00	
	NET	**0**	**AVG BGHT 10419.00**	**SOLD 11360.00**			**56,460.00**	**0.00**
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
04JUL17	B	1	PD04OCT17	2787.00	3316.62	USD	13,240.50	
04JUL17	S	1	PD04OCT17	2787.00	3316.62	USD	-13,240.50	
	NET	**0**	**AVG BGHT 2787.00**	**SOLD 2787.00**			**0.00**	**0.00**

OPEN POSITION VALUE

		USD
LME Nickel 6 tonnes. This is	FUTURES	-8,518.20
LME Zinc 25 tonnes. This is	FUTURES	0.00

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards			Amount	Profit / Loss	**Total Amount**	**Total P&L**
NKL	- Futures	USD	-8,518.20	-8,518.20		
ZINC	- Futures	USD	0.00	0.00	**-8,518.20**	**-8,518.20**

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		8,518.20	8,518.20
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**8,518.20**	**8,518.20**
Initial Margins		-8,518.20	-8,518.20
Excess/(Shortage)		**0.00**	**0.00**
FOR INFORMATION ONLY			
Forward Unrealised P&L		-8,518.20	-8,518.20
Net Liquidating Value		**0.00**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0096J

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
10JUL17	B 1 PD10OCT17	1899.00	2115.92	USD	5,423.00	
	NET +1 AVG BGHT 1899.00				**5,423.00**	**0.00**
11JUL17	S 1 PD11OCT17	1899.00	2116.25	USD	-5,431.25	
11JUL17	B 1 PD11OCT17	1905.50	2116.25	USD	5,268.75	
11JUL17	S 1 PD11OCT17	1888.00	2116.25	USD	-5,706.25	
11JUL17	B 1 PD11OCT17	1900.50	2116.25	USD	5,393.75	
	NET 0 AVG BGHT 1903.00 SOLD 1893.50				**-475.00**	**0.00**
13JUL17	S 1 PD13OCT17	1923.50	2116.25	USD	-4,818.75	
	NET -1 AVG SOLD 1923.50				**-4,818.75**	**0.00**
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
05JUL17	S 2 PD05OCT17	2273.00	2585.00	USD	-15,600.00	
05JUL17	B 3 PD05OCT17	2273.00	2585.00	USD	23,400.00	
30AUG17	S 1 PD05OCT17	2354.12	2585.00	USD	-5,772.00	
	NET 0 AVG BGHT 2273.00 SOLD 2300.04				**2,028.00**	**0.00**
10JUL17	S 1 PD10OCT17	2302.50	2586.15	USD	-7,091.25	
30AUG17	B 1 PD10OCT17	2356.29	2586.15	USD	5,746.50	
	NET 0 AVG BGHT 2356.29 SOLD 2302.50				**-1,344.75**	**0.00**
11JUL17	B 1 PD11OCT17	2326.50	2586.38	USD	6,497.00	
11JUL17	B 1 PD11OCT17	2305.00	2586.38	USD	7,034.50	
30AUG17	S 2 PD11OCT17	2356.49	2586.38	USD	-11,494.50	
	NET 0 AVG BGHT 2315.75 SOLD 2356.49				**2,037.00**	**0.00**
12JUL17	S 1 PD12OCT17	2314.00	2586.62	USD	-6,815.50	
30AUG17	B 1 PD12OCT17	2357.08	2586.62	USD	5,738.50	
	NET 0 AVG BGHT 2357.08 SOLD 2314.00				**-1,077.00**	**0.00**
13JUL17	B 1 PD13OCT17	2323.50	2586.85	USD	6,583.75	
14JUL17	S 1 PD13OCT17	2277.00	2586.85	USD	-7,746.25	
	NET 0 AVG BGHT 2323.50 SOLD 2277.00				**-1,162.50**	**0.00**
17JUL17	B 1 PD17OCT17	2319.50	2587.77	USD	6,706.75	
30AUG17	S 1 PD17OCT17	2358.86	2587.77	USD	-5,722.75	
	NET 0 AVG BGHT 2319.50 SOLD 2358.86				**984.00**	**0.00**
26JUL17	S 1 PD26OCT17	2343.50	2588.00	USD	-6,112.50	
26JUL17	S 1 PD26OCT17	2329.00	2588.00	USD	-6,475.00	
30AUG17	B 2 PD26OCT17	2360.74	2588.00	USD	11,363.00	
	NET 0 AVG BGHT 2360.74 SOLD 2336.25				**-1,224.50**	**0.00**
11AUG17	B 1 PD10NOV17	2331.00	2588.00	USD	6,425.00	
11AUG17	B 1 PD10NOV17	2330.00	2588.00	USD	6,450.00	
30AUG17	S 2 PD10NOV17	2365.01	2588.00	USD	-11,149.50	
	NET 0 AVG BGHT 2330.50 SOLD 2365.01				**1,725.50**	**0.00**
14AUG17	S 1 PD14NOV17	2336.50	2588.00	USD	-6,287.50	
14AUG17	S 1 PD14NOV17	2331.00	2588.00	USD	-6,425.00	
30AUG17	B 2 PD14NOV17	2366.40	2588.00	USD	11,080.00	
	NET 0 AVG BGHT 2366.40 SOLD 2333.75				**-1,632.50**	**0.00**
17AUG17	B 1 PD17NOV17	2451.00	2588.26	USD	3,431.50	
17AUG17	S 1 PD17NOV17	2447.00	2588.26	USD	-3,531.50	
	NET 0 AVG BGHT 2451.00 SOLD 2447.00				**-100.00**	**0.00**
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
10JUL17	B 1 PD10OCT17	8925.00	10538.85	USD	9,683.10	
30AUG17	S 1 PD10OCT17	11547.37	10538.85	USD	6,051.12	
	NET 0 AVG BGHT 8925.00 SOLD 11547.37				**15,734.22**	**0.00**
11JUL17	B 1 PD11OCT17	9045.00	10540.12	USD	8,970.72	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0096J

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
11JUL17	S 1 PD11OCT17	9045.00	10540.12	USD	-8,970.72	
11JUL17	S 1 PD11OCT17	9050.00	10540.12	USD	-8,940.72	
30AUG17	B 1 PD11OCT17	11549.07	10540.12	USD	-6,053.70	
	NET 0 AVG BGHT 10297.04 SOLD 9047.50				**-14,994.42**	**0.00**
22SEP17	B 1 PD22DEC17	10450.00	10609.00	USD	954.00	
22SEP17	S 1 PD22DEC17	10620.00	10609.00	USD	66.00	
	NET 0 AVG BGHT 10450.00 SOLD 10620.00				**1,020.00**	**0.00**
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
10JUL17	S 1 PD10OCT17	19775.00	20949.00	USD	-5,870.00	
30AUG17	B 1 PD10OCT17	20725.53	20949.00	USD	1,117.35	
	NET 0 AVG BGHT 20725.53 SOLD 19775.00				**-4,752.65**	**0.00**
11JUL17	B 1 PD11OCT17	19840.00	20946.50	USD	5,532.50	
30AUG17	S 1 PD11OCT17	20721.11	20946.50	USD	-1,126.95	
	NET 0 AVG BGHT 19840.00 SOLD 20721.11				**4,405.55**	**0.00**
22SEP17	S 1 PD22DEC17	20570.00	20826.80	USD	-1,284.00	
22SEP17	B 1 PD22DEC17	20595.00	20826.80	USD	1,159.00	
	NET 0 AVG BGHT 20595.00 SOLD 20570.00				**-125.00**	**0.00**
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
05JUL17	S 1 PD05OCT17	2776.00	3313.50	USD	-13,437.50	
30AUG17	B 1 PD05OCT17	3088.75	3313.50	USD	5,618.75	
	NET 0 AVG BGHT 3088.75 SOLD 2776.00				**-7,818.75**	**0.00**
10JUL17	B 1 PD10OCT17	2774.00	3307.25	USD	13,331.25	
10JUL17	S 1 PD10OCT17	2775.50	3307.25	USD	-13,293.75	
	NET 0 AVG BGHT 2774.00 SOLD 2775.50				**37.50**	**0.00**
11JUL17	B 1 PD11OCT17	2769.00	3304.13	USD	13,378.25	
11JUL17	S 1 PD11OCT17	2795.00	3304.13	USD	-12,728.25	
11JUL17	B 1 PD11OCT17	2774.00	3304.13	USD	13,253.25	
11JUL17	S 1 PD11OCT17	2813.00	3304.13	USD	-12,278.25	
11JUL17	S 1 PD11OCT17	2804.50	3304.13	USD	-12,490.75	
11JUL17	S 2 PD11OCT17	2804.00	3304.13	USD	-25,006.50	
11JUL17	B 1 PD11OCT17	2804.50	3304.13	USD	12,490.75	
30AUG17	B 2 PD11OCT17	3090.25	3304.13	USD	10,694.00	
	NET 0 AVG BGHT 2905.60 SOLD 2804.10				**-12,687.50**	**0.00**
12JUL17	B 1 PD12OCT17	2830.50	3301.00	USD	11,762.50	
30AUG17	S 1 PD12OCT17	3090.30	3301.00	USD	-5,267.50	
	NET 0 AVG BGHT 2830.50 SOLD 3090.30				**6,495.00**	**0.00**
14JUL17	B 1 PD13OCT17	2765.00	3297.88	USD	13,322.00	
30AUG17	S 1 PD13OCT17	3090.55	3297.88	USD	-5,183.25	
	NET 0 AVG BGHT 2765.00 SOLD 3090.55				**8,138.75**	**0.00**
17JUL17	S 1 PD17OCT17	2816.00	3291.63	USD	-11,890.75	
30AUG17	B 1 PD17OCT17	3091.75	3291.63	USD	4,997.00	
	NET 0 AVG BGHT 3091.75 SOLD 2816.00				**-6,893.75**	**0.00**
26JUL17	B 1 PD26OCT17	2848.00	3278.50	USD	10,762.50	
26JUL17	B 1 PD26OCT17	2825.00	3278.50	USD	11,337.50	
30AUG17	S 2 PD26OCT17	3091.20	3278.50	USD	-9,365.00	
	NET 0 AVG BGHT 2836.50 SOLD 3091.20				**12,735.00**	**0.00**
11AUG17	S 1 PD10NOV17	2904.50	3272.00	USD	-9,187.50	
11AUG17	S 1 PD10NOV17	2905.50	3272.00	USD	-9,162.50	
30AUG17	B 2 PD10NOV17	3093.65	3272.00	USD	8,917.50	
	NET 0 AVG BGHT 3093.65 SOLD 2905.00				**-9,432.50**	**0.00**
14AUG17	B 1 PD14NOV17	2910.00	3270.33	USD	9,008.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0096J

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
14AUG17	B 1 PD14NOV17	2903.00	3270.33	USD	9,183.25	
30AUG17	S 2 PD14NOV17	3094.05	3270.33	USD	-8,814.00	
	NET 0 AVG BGHT 2906.50 SOLD 3094.05				**9,377.50**	**0.00**
17AUG17	B 1 PD17NOV17	3097.00	3265.50	USD	4,212.50	
17AUG17	S 1 PD17NOV17	3096.50	3265.50	USD	-4,225.00	
	NET 0 AVG BGHT 3097.00 SOLD 3096.50				**-12.50**	**0.00**

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	137.50
LME Lead 25 tonnes. This is an	FUTURES	233.25
LME Nickel 6 tonnes. This is	FUTURES	1,759.80
LME Tin 5 tonnes. This is an	FUTURES	-472.10
LME Zinc 25 tonnes. This is	FUTURES	-61.25

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards			Amount	Profit / Loss	**Total Amount**	**Total P&L**
ALUL	- Futures	USD	129.25	129.25		
LEAD	- Futures	USD	233.25	233.25		
NKL	- Futures	USD	1,759.80	1,759.80		
TIN	- Futures	USD	-472.10	-472.10		
ZINC	- Futures	USD	-61.25	-61.25	**1,588.95**	**1,588.95**

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-1,592.20	-1,592.20
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**-1,592.20**	**-1,592.20**
Initial Margins		0.00	0.00
Excess/(Shortage)		**-1,592.20**	**-1,592.20**
FOR INFORMATION ONLY			
Forward Unrealised P&L		1,588.95	1,588.95
Net Liquidating Value		**-3.25**	**-3.25**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0096Q

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		EUR	NETT USD
		(1.17550)	(1.00000)
CASH BALANCE		-46.40	-54.54
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**-46.40**	**-54.54**
Initial Margins		0.00	0.00
Excess/(Shortage)		**-46.40**	**-54.54**
FOR INFORMATION ONLY			
Net Liquidating Value		**-46.40**	**-54.54**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0096R

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
05JUL17	B 1 PD05OCT17	2301.00	2585.00	USD	7,100.00	
19JUL17	B 1 PD05OCT17	2215.15	2585.00	USD	9,246.25	
28SEP17	S 2 PD05OCT17	2467.22	2585.00	USD	-5,889.00	
	NET 0 AVG BGHT 2258.08 SOLD 2467.22				**10,457.25**	**0.00**
28SEP17	B 2 PD28DEC17	2470.20	2591.64	USD	6,072.00	
	NET +2 AVG BGHT 2470.20				**6,072.00**	**0.00**
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
05JUL17	S 1 PD05OCT17	2798.00	3313.50	USD	-12,887.50	
28SEP17	B 1 PD05OCT17	3180.00	3313.50	USD	3,337.50	
	NET 0 AVG BGHT 3180.00 SOLD 2798.00				**-9,550.00**	**0.00**
06SEP17	S 1 PD06DEC17	3094.80	3266.00	USD	-4,280.00	
	NET -1 AVG SOLD 3094.80				**-4,280.00**	**0.00**
28SEP17	S 1 PD28DEC17	3146.80	3264.00	USD	-2,930.00	
	NET -1 AVG SOLD 3146.80				**-2,930.00**	**0.00**

OPEN POSITION VALUE

		USD
LME Lead 25 tonnes. This is an	FUTURES	-113,052.75
LME Zinc 25 tonnes. This is	FUTURES	146,490.00

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards			Amount	Profit / Loss	**Total Amount**	**Total P&L**
LEAD	- Futures	USD	16,529.25	16,529.25		
ZINC	- Futures	USD	-16,760.00	-16,760.00	**-230.75**	**-230.75**

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-11,762.95	-11,762.95
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**-11,762.95**	**-11,762.95**
Initial Margins		-20,028.75	-20,028.75
Excess/(Shortage)		**-31,791.70**	**-31,791.70**
FOR INFORMATION ONLY			
Forward Unrealised P&L		-230.75	-230.75
Net Liquidating Value		**-11,993.70**	**-11,993.70**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0096S

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
14JUL17	S 1 PD13OCT17	2286.50	2586.85	USD	-7,508.75	
14JUL17	S 1 PD13OCT17	2298.00	2586.85	USD	-7,221.25	
26SEP17	B 2 PD13OCT17	2481.29	2586.85	USD	5,278.00	
	NET 0 AVG BGHT 2481.29 SOLD 2292.25				**-9,452.00**	**0.00**
17JUL17	S 1 PD17OCT17	2328.00	2587.77	USD	-6,494.25	
26SEP17	B 1 PD17OCT17	2482.06	2587.77	USD	2,642.75	
	NET 0 AVG BGHT 2482.06 SOLD 2328.00				**-3,851.50**	**0.00**
19JUL17	B 1 PD19OCT17	2250.00	2588.00	USD	8,450.00	
19JUL17	B 1 PD19OCT17	2240.00	2588.00	USD	8,700.00	
19JUL17	B 1 PD19OCT17	2220.50	2588.00	USD	9,187.50	
26SEP17	S 3 PD19OCT17	2482.18	2588.00	USD	-7,936.50	
	NET 0 AVG BGHT 2236.83 SOLD 2482.18				**18,401.00**	**0.00**
21JUL17	S 1 PD20OCT17	2239.00	2588.00	USD	-8,725.00	
26SEP17	B 1 PD20OCT17	2482.52	2588.00	USD	2,637.00	
	NET 0 AVG BGHT 2482.52 SOLD 2239.00				**-6,088.00**	**0.00**
25JUL17	S 1 PD25OCT17	2301.00	2588.00	USD	-7,175.00	
25JUL17	S 1 PD25OCT17	2300.50	2588.00	USD	-7,187.50	
25JUL17	S 1 PD25OCT17	2304.00	2588.00	USD	-7,100.00	
25JUL17	S 1 PD25OCT17	2314.00	2588.00	USD	-6,850.00	
26SEP17	B 4 PD25OCT17	2483.19	2588.00	USD	10,481.00	
	NET 0 AVG BGHT 2483.19 SOLD 2304.88				**-17,831.50**	**0.00**
01SEP17	B 5 PD01DEC17	2400.00	2590.06	USD	23,757.50	
26SEP17	S 5 PD01DEC17	2487.26	2590.06	USD	-12,850.00	
	NET 0 AVG BGHT 2400.00 SOLD 2487.26				**10,907.50**	**0.00**
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
07JUL17	S 2 PD06OCT17	2787.50	3310.38	USD	-26,144.00	
07JUL17	S 1 PD06OCT17	2788.00	3310.38	USD	-13,059.50	
26SEP17	B 3 PD06OCT17	3148.42	3310.38	USD	12,147.00	
	NET 0 AVG BGHT 3148.42 SOLD 2787.67				**-27,056.50**	**0.00**
21JUL17	S 1 PD20OCT17	2769.00	3282.50	USD	-12,837.50	
21JUL17	B 1 PD20OCT17	2758.50	3282.50	USD	13,100.00	
	NET 0 AVG BGHT 2758.50 SOLD 2769.00				**262.50**	**0.00**
25JUL17	S 1 PD25OCT17	2835.50	3279.50	USD	-11,100.00	
25JUL17	S 1 PD25OCT17	2838.00	3279.50	USD	-11,037.50	
25JUL17	S 1 PD25OCT17	2836.00	3279.50	USD	-11,087.50	
25JUL17	S 1 PD25OCT17	2840.00	3279.50	USD	-10,987.50	
25JUL17	S 1 PD25OCT17	2838.50	3279.50	USD	-11,025.00	
25JUL17	S 1 PD25OCT17	2839.00	3279.50	USD	-11,012.50	
26SEP17	B 6 PD25OCT17	3125.50	3279.50	USD	23,100.00	
	NET 0 AVG BGHT 3125.50 SOLD 2837.83				**-43,150.00**	**0.00**
26JUL17	S 1 PD26OCT17	2819.00	3278.50	USD	-11,487.50	
26SEP17	B 1 PD26OCT17	3125.00	3278.50	USD	3,837.50	
	NET 0 AVG BGHT 3125.00 SOLD 2819.00				**-7,650.00**	**0.00**
21AUG17	S 1 PD21NOV17	3162.00	3264.83	USD	-2,570.75	
21AUG17	S 1 PD21NOV17	3161.00	3264.83	USD	-2,595.75	
21AUG17	B 1 PD21NOV17	3138.00	3264.83	USD	3,170.75	
21AUG17	B 1 PD21NOV17	3141.50	3264.83	USD	3,083.25	
	NET 0 AVG BGHT 3139.75 SOLD 3161.50				**1,087.50**	**0.00**
24AUG17	S 1 PD24NOV17	3113.00	3264.71	USD	-3,792.75	
24AUG17	S 1 PD24NOV17	3113.50	3264.71	USD	-3,780.25	
24AUG17	S 1 PD24NOV17	3114.00	3264.71	USD	-3,767.75	
24AUG17	B 3 PD24NOV17	3111.50	3264.71	USD	11,490.75	
	NET 0 AVG BGHT 3111.50 SOLD 3113.50				**150.00**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0096S

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
29AUG17	S	1	PD29NOV17	3105.00	3265.25	USD	-4,006.25	
29AUG17	S	1	PD29NOV17	3103.50	3265.25	USD	-4,043.75	
29AUG17	B	1	PD29NOV17	3110.50	3265.25	USD	3,868.75	
29AUG17	B	1	PD29NOV17	3111.00	3265.25	USD	3,856.25	
	NET	**0**	**AVG BGHT 3110.75**	**SOLD 3104.25**			**-325.00**	**0.00**
31AUG17	B	1	PD30NOV17	3098.00	3265.36	USD	4,184.00	
31AUG17	B	2	PD30NOV17	3093.00	3265.36	USD	8,618.00	
31AUG17	B	2	PD30NOV17	3095.00	3265.36	USD	8,518.00	
31AUG17	B	1	PD30NOV17	3097.00	3265.36	USD	4,209.00	
31AUG17	S	9	PD30NOV17	3101.00	3265.36	USD	-36,981.00	
31AUG17	S	1	PD30NOV17	3101.50	3265.36	USD	-4,096.50	
31AUG17	S	1	PD30NOV17	3103.00	3265.36	USD	-4,059.00	
31AUG17	S	1	PD30NOV17	3111.50	3265.36	USD	-3,846.50	
31AUG17	S	1	PD30NOV17	3116.50	3265.36	USD	-3,721.50	
31AUG17	S	1	PD30NOV17	3119.00	3265.36	USD	-3,659.00	
31AUG17	S	1	PD30NOV17	3103.50	3265.36	USD	-4,046.50	
31AUG17	S	1	PD30NOV17	3108.50	3265.36	USD	-3,921.50	
26SEP17	B	10	PD30NOV17	3118.62	3265.36	USD	36,685.00	
	NET	**0**	**AVG BGHT 3109.83**	**SOLD 3104.53**			**-2,117.50**	**0.00**
01SEP17	B	10	PD01DEC17	3194.00	3265.46	USD	17,865.00	
01SEP17	B	10	PD01DEC17	3195.00	3265.46	USD	17,615.00	
26SEP17	S	20	PD01DEC17	3118.38	3265.46	USD	-73,540.00	
	NET	**0**	**AVG BGHT 3194.50**	**SOLD 3118.38**			**-38,060.00**	**0.00**
05SEP17	B	1	PD05DEC17	3203.00	3265.89	USD	1,572.25	
05SEP17	B	2	PD05DEC17	3195.50	3265.89	USD	3,519.50	
05SEP17	B	5	PD05DEC17	3190.00	3265.89	USD	9,486.25	
05SEP17	B	7	PD05DEC17	3200.00	3265.89	USD	11,530.75	
26SEP17	S	3	PD05DEC17	3118.50	3265.89	USD	-11,054.25	
27SEP17	S	10	PD05DEC17	3108.09	3265.89	USD	-39,450.00	
02OCT17	S	2	PD05DEC17	3237.42	3265.89	USD	-1,423.50	
	NET	**0**	**AVG BGHT 3196.27**	**SOLD 3127.42**			**-25,819.00**	**0.00**
26SEP17	S	6	PD06DEC17	3118.58	3266.00	USD	-22,113.00	
27SEP17	B	6	PD06DEC17	3108.35	3266.00	USD	23,647.50	
	NET	**0**	**AVG BGHT 3108.35**	**SOLD 3118.58**			**1,534.50**	**0.00**
14SEP17	B	1	PD14DEC17	3008.00	3266.86	USD	6,471.50	
14SEP17	B	4	PD14DEC17	3008.50	3266.86	USD	25,836.00	
02OCT17	S	5	PD14DEC17	3238.00	3266.86	USD	-3,607.50	
	NET	**0**	**AVG BGHT 3008.40**	**SOLD 3238.00**			**28,700.00**	**0.00**
20SEP17	S	2	PD20DEC17	3131.50	3267.50	USD	-6,800.00	
20SEP17	S	1	PD20DEC17	3145.00	3267.50	USD	-3,062.50	
26SEP17	B	3	PD20DEC17	3119.20	3267.50	USD	11,122.50	
	NET	**0**	**AVG BGHT 3119.20**	**SOLD 3136.00**			**1,260.00**	**0.00**
21SEP17	B	3	PD21DEC17	3071.00	3264.00	USD	14,475.00	
21SEP17	S	1	PD21DEC17	3080.50	3264.00	USD	-4,587.50	
21SEP17	S	1	PD21DEC17	3086.50	3264.00	USD	-4,437.50	
21SEP17	S	1	PD21DEC17	3094.00	3264.00	USD	-4,250.00	
21SEP17	B	3	PD21DEC17	3046.00	3264.00	USD	16,350.00	
02OCT17	S	3	PD21DEC17	3236.00	3264.00	USD	-2,100.00	
	NET	**0**	**AVG BGHT 3058.50**	**SOLD 3161.50**			**15,450.00**	**0.00**
22SEP17	B	1	PD22DEC17	3007.50	3264.00	USD	6,412.50	
22SEP17	B	1	PD22DEC17	3011.00	3264.00	USD	6,325.00	
22SEP17	B	1	PD22DEC17	3015.00	3264.00	USD	6,225.00	
22SEP17	B	1	PD22DEC17	3018.00	3264.00	USD	6,150.00	
22SEP17	S	2	PD22DEC17	3028.50	3264.00	USD	-11,775.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0096S

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
22SEP17	B 1 PD22DEC17	3002.50	3264.00	USD	6,537.50	
22SEP17	S 1 PD22DEC17	3012.50	3264.00	USD	-6,287.50	
22SEP17	S 1 PD22DEC17	3018.00	3264.00	USD	-6,150.00	
22SEP17	S 1 PD22DEC17	3021.00	3264.00	USD	-6,075.00	
22SEP17	S 1 PD22DEC17	3025.00	3264.00	USD	-5,975.00	
22SEP17	S 4 PD22DEC17	3028.00	3264.00	USD	-23,600.00	
22SEP17	S 1 PD22DEC17	3055.00	3264.00	USD	-5,225.00	
22SEP17	S 1 PD22DEC17	3065.50	3264.00	USD	-4,962.50	
22SEP17	S 1 PD22DEC17	3078.00	3264.00	USD	-4,650.00	
22SEP17	B 1 PD22DEC17	3060.50	3264.00	USD	5,087.50	
22SEP17	B 1 PD22DEC17	3050.50	3264.00	USD	5,337.50	
22SEP17	S 1 PD22DEC17	3060.50	3264.00	USD	-5,087.50	
22SEP17	B 1 PD22DEC17	3052.50	3264.00	USD	5,287.50	
22SEP17	B 1 PD22DEC17	3054.50	3264.00	USD	5,237.50	
22SEP17	B 1 PD22DEC17	3010.00	3264.00	USD	6,350.00	
22SEP17	S 1 PD22DEC17	3021.50	3264.00	USD	-6,062.50	
22SEP17	S 1 PD22DEC17	3024.00	3264.00	USD	-6,000.00	
22SEP17	B 1 PD22DEC17	3012.00	3264.00	USD	6,300.00	
22SEP17	S 1 PD22DEC17	3074.50	3264.00	USD	-4,737.50	
26SEP17	B 6 PD22DEC17	3117.20	3264.00	USD	22,020.00	
	NET 0 AVG BGHT 3058.66 SOLD 3036.74				**-9,317.50**	**0.00**
25SEP17	B 3 PD27DEC17	3092.00	3264.00	USD	12,900.00	
25SEP17	B 3 PD27DEC17	3092.50	3264.00	USD	12,862.50	
25SEP17	S 2 PD27DEC17	3106.50	3264.00	USD	-7,875.00	
25SEP17	S 2 PD27DEC17	3112.50	3264.00	USD	-7,575.00	
25SEP17	S 2 PD27DEC17	3109.50	3264.00	USD	-7,725.00	
26SEP17	S 4 PD27DEC17	3147.50	3264.00	USD	-11,650.00	
27SEP17	B 4 PD27DEC17	3105.20	3264.00	USD	15,880.00	
	NET 0 AVG BGHT 3097.43 SOLD 3124.70				**6,817.50**	**0.00**
28SEP17	B 9 PD28DEC17	3145.50	3264.00	USD	26,662.50	
28SEP17	B 1 PD28DEC17	3146.00	3264.00	USD	2,950.00	
28SEP17	S 1 PD28DEC17	3161.00	3264.00	USD	-2,575.00	
02OCT17	S 9 PD28DEC17	3236.00	3264.00	USD	-6,300.00	
	NET 0 AVG BGHT 3145.55 SOLD 3228.50				**20,737.50**	**0.00**
29SEP17	S 4 PD29DEC17	3147.00	3264.00	USD	-11,700.00	
29SEP17	S 1 PD29DEC17	3158.00	3264.00	USD	-2,650.00	
29SEP17	S 1 PD29DEC17	3167.00	3264.00	USD	-2,425.00	
29SEP17	B 1 PD29DEC17	3148.50	3264.00	USD	2,887.50	
29SEP17	S 1 PD29DEC17	3163.00	3264.00	USD	-2,525.00	
29SEP17	S 1 PD29DEC17	3173.00	3264.00	USD	-2,275.00	
29SEP17	S 3 PD29DEC17	3156.50	3264.00	USD	-8,062.50	
29SEP17	S 3 PD29DEC17	3160.00	3264.00	USD	-7,800.00	
29SEP17	S 6 PD29DEC17	3161.50	3264.00	USD	-15,375.00	
02OCT17	B 19 PD29DEC17	3236.20	3264.00	USD	13,205.00	
	NET 0 AVG BGHT 3231.82 SOLD 3158.38				**-36,720.00**	**0.00**

OPEN POSITION VALUE

	USD
LME Lead 25 tonnes. This is an FUTURES	-7,914.50
LME Zinc 25 tonnes. This is FUTURES	-114,216.00

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0096S

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards			Amount	Profit / Loss	**Total Amount**	**Total P&L**
LEAD	- Futures	USD	-7,914.50	-7,914.50		
ZINC	- Futures	USD	-114,216.00	-114,216.00	**-122,130.50**	**-122,130.50**

ACCOUNT FINANCIAL SUMMARY

		USD (1.00000)	NETT USD (1.00000)
CASH BALANCE		121,548.15	121,548.15
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**121,548.15**	**121,548.15**
Initial Margins		-122,130.50	-122,130.50
Excess/(Shortage)		**-582.35**	**-582.35**
FOR INFORMATION ONLY			
Forward Unrealised P&L		-122,130.50	-122,130.50
Net Liquidating Value		**-582.35**	**-582.35**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0096U

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
29AUG17	**CMX GOLD 100 CMX** B 2 DEC17 **NET +2 AVG BGHT 1330.6**	1330.6	1274.6	USD	-11,200.00 **-11,200.00**	 **0.00**

OPEN POSITION VALUE

		USD
CMX Gold	FUTURES	-266,120.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options		Amount	Profit / Loss	**Total Amount**	**Total P&L**
GOLD - Futures	USD	-11,200.00	-11,200.00	**-11,200.00**	**-11,200.00**

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-3,976.00	-3,976.00
Variation Margins	- Futures	-11,200.00	-11,200.00
	- Options	0.00	0.00
TOTAL EQUITY		**-15,176.00**	**-15,176.00**
Initial Margins		-9,800.00	-9,800.00
Excess/(Shortage)		**-24,976.00**	**-24,976.00**
FOR INFORMATION ONLY			
Net Liquidating Value		**-15,176.00**	**-15,176.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0096V

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
31AUG17	S 1 PD30NOV17	2393.80	2589.93	USD	-4,903.25	
	NET -1 AVG SOLD 2393.80				**-4,903.25**	**0.00**
19SEP17	S 1 PD19DEC17	2420.80	2592.37	USD	-4,289.25	
	NET -1 AVG SOLD 2420.80				**-4,289.25**	**0.00**
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
19SEP17	S 1 PD19DEC17	3106.30	3267.39	USD	-4,027.25	
	NET -1 AVG SOLD 3106.30				**-4,027.25**	**0.00**

OPEN POSITION VALUE

		USD
LME Lead 25 tonnes. This is an	FUTURES	120,365.00
LME Zinc 25 tonnes. This is	FUTURES	77,657.50

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards			Amount	Profit / Loss	**Total Amount**	**Total P&L**
LEAD	- Futures	USD	-9,192.50	-9,192.50		
ZINC	- Futures	USD	-4,027.25	-4,027.25	**-13,219.75**	**-13,219.75**

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		9,829.44	9,829.44
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**9,829.44**	**9,829.44**
Initial Margins		-28,110.75	-28,110.75
Excess/(Shortage)		**-18,281.31**	**-18,281.31**
FOR INFORMATION ONLY			
Forward Unrealised P&L		-13,219.75	-13,219.75
Net Liquidating Value		**-3,390.31**	**-3,390.31**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0096Y

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.							
05JUL17	B	1	PD05OCT17	1923.50	2114.25	USD	4,768.75	
05JUL17	B	1	PD05OCT17	1919.00	2114.25	USD	4,881.25	
05JUL17	B	1	PD05OCT17	1918.50	2114.25	USD	4,893.75	
05JUL17	B	3	PD05OCT17	1921.00	2114.25	USD	14,493.75	
05JUL17	B	4	PD05OCT17	1920.50	2114.25	USD	19,375.00	
07JUL17	S	10	PD05OCT17	1929.80	2114.25	USD	-46,112.50	
	NET	**0**	**AVG BGHT 1920.60**	**SOLD 1929.80**			**2,300.00**	**0.00**
06JUL17	S	10	PD06OCT17	1929.00	2114.58	USD	-46,395.00	
06JUL17	S	2	PD06OCT17	1936.50	2114.58	USD	-8,904.00	
06JUL17	S	8	PD06OCT17	1937.00	2114.58	USD	-35,516.00	
18JUL17	B	18	PD06OCT17	1928.47	2114.58	USD	83,749.50	
19JUL17	B	2	PD06OCT17	1917.57	2114.58	USD	9,850.50	
	NET	**0**	**AVG BGHT 1927.38**	**SOLD 1932.95**			**2,785.00**	**0.00**
10JUL17	B	1	PD10OCT17	1917.00	2115.92	USD	4,973.00	
10JUL17	B	1	PD10OCT17	1916.50	2115.92	USD	4,985.50	
10JUL17	B	2	PD10OCT17	1916.00	2115.92	USD	9,996.00	
10JUL17	B	2	PD10OCT17	1915.00	2115.92	USD	10,046.00	
10JUL17	B	4	PD10OCT17	1912.00	2115.92	USD	20,392.00	
10JUL17	B	2	PD10OCT17	1912.50	2115.92	USD	10,171.00	
10JUL17	B	3	PD10OCT17	1913.00	2115.92	USD	15,219.00	
10JUL17	B	1	PD10OCT17	1914.50	2115.92	USD	5,035.50	
10JUL17	B	2	PD10OCT17	1914.00	2115.92	USD	10,096.00	
10JUL17	B	2	PD10OCT17	1913.50	2115.92	USD	10,121.00	
13JUL17	S	20	PD10OCT17	1922.48	2115.92	USD	-96,720.00	
	NET	**0**	**AVG BGHT 1913.85**	**SOLD 1922.48**			**4,315.00**	**0.00**
11JUL17	B	2	PD11OCT17	1894.00	2116.25	USD	11,112.50	
11JUL17	B	2	PD11OCT17	1895.00	2116.25	USD	11,062.50	
11JUL17	B	6	PD11OCT17	1894.50	2116.25	USD	33,262.50	
13JUL17	S	10	PD11OCT17	1922.59	2116.25	USD	-48,415.00	
	NET	**0**	**AVG BGHT 1894.50**	**SOLD 1922.59**			**7,022.50**	**0.00**
12JUL17	B	3	PD12OCT17	1904.00	2116.25	USD	15,918.75	
12JUL17	B	2	PD12OCT17	1904.50	2116.25	USD	10,587.50	
12JUL17	B	2	PD12OCT17	1884.50	2116.25	USD	11,587.50	
12JUL17	B	3	PD12OCT17	1885.50	2116.25	USD	17,306.25	
13JUL17	S	10	PD12OCT17	1922.69	2116.25	USD	-48,390.00	
	NET	**0**	**AVG BGHT 1894.65**	**SOLD 1922.69**			**7,010.00**	**0.00**
17JUL17	B	2	PD17OCT17	1929.00	2116.25	USD	9,362.50	
17JUL17	B	1	PD17OCT17	1924.50	2116.25	USD	4,793.75	
17JUL17	B	3	PD17OCT17	1926.50	2116.25	USD	14,231.25	
17JUL17	B	1	PD17OCT17	1922.00	2116.25	USD	4,856.25	
17JUL17	B	2	PD17OCT17	1922.50	2116.25	USD	9,687.50	
17JUL17	B	1	PD17OCT17	1919.50	2116.25	USD	4,918.75	
17JUL17	B	2	PD17OCT17	1919.00	2116.25	USD	9,862.50	
17JUL17	B	3	PD17OCT17	1913.50	2116.25	USD	15,206.25	
17JUL17	B	3	PD17OCT17	1908.00	2116.25	USD	15,618.75	
18JUL17	S	18	PD17OCT17	1930.59	2116.25	USD	-83,547.00	
	NET	**0**	**AVG BGHT 1919.50**	**SOLD 1930.59**			**4,990.50**	**0.00**
18JUL17	S	3	PD18OCT17	1927.00	2116.25	USD	-14,193.75	
18JUL17	B	2	PD18OCT17	1924.50	2116.25	USD	9,587.50	
18JUL17	B	1	PD18OCT17	1926.00	2116.25	USD	4,756.25	
18JUL17	B	2	PD18OCT17	1925.50	2116.25	USD	9,537.50	
19JUL17	S	2	PD18OCT17	1920.05	2116.25	USD	-9,810.00	
	NET	**0**	**AVG BGHT 1925.20**	**SOLD 1924.22**			**-122.50**	**0.00**
28SEP17	S	1	PD28DEC17	2131.00	2129.61	USD	34.75	
28SEP17	S	1	PD28DEC17	2131.50	2129.61	USD	47.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0096Y

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
28SEP17	S 1 PD28DEC17	2124.50	2129.61	USD	-127.75	
28SEP17	S 1 PD28DEC17	2123.50	2129.61	USD	-152.75	
	NET -4 AVG SOLD 2127.63				**-198.50**	**0.00**
29SEP17	S 1 PD29DEC17	2133.50	2129.84	USD	91.50	
29SEP17	S 1 PD29DEC17	2132.50	2129.84	USD	66.50	
	NET -2 AVG SOLD 2133.00				**158.00**	**0.00**
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
04JUL17	B 2 PD04OCT17	5886.00	6467.48	USD	29,074.00	
07JUL17	S 2 PD04OCT17	5827.37	6467.48	USD	-32,005.50	
	NET 0 AVG BGHT 5886.00 SOLD 5827.37				**-2,931.50**	**0.00**
05JUL17	B 3 PD05OCT17	5896.00	6468.75	USD	42,956.25	
05JUL17	B 1 PD05OCT17	5842.00	6468.75	USD	15,668.75	
05JUL17	B 1 PD05OCT17	5844.50	6468.75	USD	15,606.25	
05JUL17	B 1 PD05OCT17	5846.50	6468.75	USD	15,556.25	
05JUL17	B 1 PD05OCT17	5843.50	6468.75	USD	15,631.25	
05JUL17	B 1 PD05OCT17	5833.50	6468.75	USD	15,881.25	
05JUL17	B 1 PD05OCT17	5825.00	6468.75	USD	16,093.75	
05JUL17	B 1 PD05OCT17	5818.50	6468.75	USD	16,256.25	
07JUL17	S 3 PD05OCT17	5827.59	6468.75	USD	-48,087.00	
13JUL17	S 7 PD05OCT17	5873.43	6468.75	USD	-104,181.00	
	NET 0 AVG BGHT 5854.15 SOLD 5859.68				**1,382.00**	**0.00**
06JUL17	S 1 PD06OCT17	5843.00	6470.02	USD	-15,675.50	
06JUL17	S 1 PD06OCT17	5848.00	6470.02	USD	-15,550.50	
06JUL17	B 1 PD06OCT17	5837.50	6470.02	USD	15,813.00	
06JUL17	B 1 PD06OCT17	5839.50	6470.02	USD	15,763.00	
07JUL17	S 1 PD06OCT17	5838.00	6470.02	USD	-15,800.50	
07JUL17	S 1 PD06OCT17	5836.00	6470.02	USD	-15,850.50	
07JUL17	S 2 PD06OCT17	5837.00	6470.02	USD	-31,651.00	
07JUL17	B 1 PD06OCT17	5866.50	6470.02	USD	15,088.00	
07JUL17	B 1 PD06OCT17	5868.00	6470.02	USD	15,050.50	
07JUL17	B 1 PD06OCT17	5828.50	6470.02	USD	16,038.00	
13JUL17	B 1 PD06OCT17	5873.95	6470.02	USD	14,901.75	
	NET 0 AVG BGHT 5852.33 SOLD 5839.83				**-1,873.75**	**0.00**
10JUL17	S 1 PD10OCT17	5845.00	6475.10	USD	-15,752.50	
10JUL17	S 1 PD10OCT17	5829.00	6475.10	USD	-16,152.50	
10JUL17	S 1 PD10OCT17	5827.00	6475.10	USD	-16,202.50	
10JUL17	S 1 PD10OCT17	5804.00	6475.10	USD	-16,777.50	
10JUL17	S 2 PD10OCT17	5803.00	6475.10	USD	-33,605.00	
13JUL17	B 6 PD10OCT17	5875.24	6475.10	USD	89,979.00	
	NET 0 AVG BGHT 5875.24 SOLD 5818.50				**-8,511.00**	**0.00**
11JUL17	B 2 PD11OCT17	5832.00	6476.37	USD	32,218.50	
13JUL17	S 2 PD11OCT17	5875.36	6476.37	USD	-30,050.50	
	NET 0 AVG BGHT 5832.00 SOLD 5875.36				**2,168.00**	**0.00**
12JUL17	S 1 PD12OCT17	5914.50	6477.63	USD	-14,078.25	
12JUL17	S 1 PD12OCT17	5894.00	6477.63	USD	-14,590.75	
12JUL17	S 1 PD12OCT17	5898.50	6477.63	USD	-14,478.25	
12JUL17	S 1 PD12OCT17	5899.00	6477.63	USD	-14,465.75	
13JUL17	B 2 PD12OCT17	5875.88	6477.63	USD	30,087.50	
14JUL17	B 2 PD12OCT17	5925.64	6477.63	USD	27,599.50	
	NET 0 AVG BGHT 5900.76 SOLD 5901.50				**74.00**	**0.00**
13JUL17	B 1 PD13OCT17	5884.00	6478.90	USD	14,872.50	
13JUL17	B 1 PD13OCT17	5877.50	6478.90	USD	15,035.00	
14JUL17	S 2 PD13OCT17	5925.80	6478.90	USD	-27,655.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0096Y

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
	NET 0 AVG BGHT 5880.75 SOLD 5925.80				**2,252.50**	**0.00**
28SEP17	S 2 PD28DEC17	6476.00	6517.88	USD	-2,094.00	
28SEP17	B 2 PD28DEC17	6471.00	6517.88	USD	2,344.00	
28SEP17	B 1 PD28DEC17	6469.00	6517.88	USD	1,222.00	
28SEP17	B 1 PD28DEC17	6468.00	6517.88	USD	1,247.00	
28SEP17	B 1 PD28DEC17	6463.50	6517.88	USD	1,359.50	
28SEP17	B 3 PD28DEC17	6473.00	6517.88	USD	3,366.00	
28SEP17	B 3 PD28DEC17	6479.50	6517.88	USD	2,878.50	
28SEP17	B 1 PD28DEC17	6484.00	6517.88	USD	847.00	
28SEP17	B 1 PD28DEC17	6483.00	6517.88	USD	872.00	
28SEP17	B 1 PD28DEC17	6482.50	6517.88	USD	884.50	
28SEP17	B 1 PD28DEC17	6489.00	6517.88	USD	722.00	
28SEP17	B 2 PD28DEC17	6511.00	6517.88	USD	344.00	
28SEP17	B 3 PD28DEC17	6521.50	6517.88	USD	-271.50	
28SEP17	B 1 PD28DEC17	6522.00	6517.88	USD	-103.00	
28SEP17	B 1 PD28DEC17	6527.50	6517.88	USD	-240.50	
28SEP17	B 1 PD28DEC17	6522.00	6517.88	USD	-103.00	
	NET +21 AVG BGHT 6491.15 SOLD 6476.00				**13,274.50**	**0.00**
29SEP17	S 5 PD29DEC17	6530.00	6518.23	USD	1,471.25	
29SEP17	S 4 PD29DEC17	6530.50	6518.23	USD	1,227.00	
29SEP17	S 4 PD29DEC17	6531.50	6518.23	USD	1,327.00	
29SEP17	S 2 PD29DEC17	6531.00	6518.23	USD	638.50	
29SEP17	S 2 PD29DEC17	6529.00	6518.23	USD	538.50	
29SEP17	S 4 PD29DEC17	6527.50	6518.23	USD	927.00	
29SEP17	B 2 PD29DEC17	6559.50	6518.23	USD	-2,063.50	
29SEP17	B 1 PD29DEC17	6563.50	6518.23	USD	-1,131.75	
29SEP17	B 2 PD29DEC17	6567.50	6518.23	USD	-2,463.50	
29SEP17	B 4 PD29DEC17	6568.00	6518.23	USD	-4,977.00	
29SEP17	B 1 PD29DEC17	6570.50	6518.23	USD	-1,306.75	
29SEP17	B 2 PD29DEC17	6571.00	6518.23	USD	-2,638.50	
29SEP17	B 2 PD29DEC17	6569.00	6518.23	USD	-2,538.50	
29SEP17	B 3 PD29DEC17	6564.00	6518.23	USD	-3,432.75	
29SEP17	B 1 PD29DEC17	6564.50	6518.23	USD	-1,156.75	
29SEP17	B 1 PD29DEC17	6567.00	6518.23	USD	-1,219.25	
29SEP17	B 2 PD29DEC17	6565.00	6518.23	USD	-2,338.50	
29SEP17	B 3 PD29DEC17	6570.00	6518.23	USD	-3,882.75	
29SEP17	B 1 PD29DEC17	6574.00	6518.23	USD	-1,394.25	
29SEP17	B 2 PD29DEC17	6573.00	6518.23	USD	-2,738.50	
29SEP17	B 2 PD29DEC17	6571.50	6518.23	USD	-2,663.50	
29SEP17	B 5 PD29DEC17	6573.50	6518.23	USD	-6,908.75	
29SEP17	B 6 PD29DEC17	6575.00	6518.23	USD	-8,515.50	
	NET +19 AVG BGHT 6569.60 SOLD 6529.90				**-45,240.75**	**0.00**
	CMX GOLD 100 CMX					
28SEP17	B 1 DEC17	1286.0	1274.6	USD	-1,140.00	
28SEP17	B 1 DEC17	1285.8	1274.6	USD	-1,120.00	
29SEP17	B 2 DEC17	1288.0	1274.6	USD	-2,680.00	
29SEP17	B 1 DEC17	1287.9	1274.6	USD	-1,330.00	
29SEP17	B 5 DEC17	1287.8	1274.6	USD	-6,600.00	
	NET +10 AVG BGHT 1287.5				**-12,870.00**	**0.00**
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
04JUL17	S 1 PD04OCT17	2312.50	2584.77	USD	-6,806.75	
04JUL17	S 1 PD04OCT17	2317.00	2584.77	USD	-6,694.25	
04JUL17	B 1 PD04OCT17	2301.00	2584.77	USD	7,094.25	
07JUL17	B 1 PD04OCT17	2296.30	2584.77	USD	7,211.75	
	NET 0 AVG BGHT 2298.65 SOLD 2314.75					**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0096Y

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
					805.00	
05JUL17	S 1 PD05OCT17	2287.00	2585.00	USD	-7,450.00	
05JUL17	S 1 PD05OCT17	2285.00	2585.00	USD	-7,500.00	
05JUL17	S 2 PD05OCT17	2272.50	2585.00	USD	-15,625.00	
05JUL17	S 1 PD05OCT17	2271.50	2585.00	USD	-7,837.50	
07JUL17	B 4 PD05OCT17	2296.25	2585.00	USD	28,875.00	
13JUL17	B 1 PD05OCT17	2293.75	2585.00	USD	7,281.25	
	NET 0 AVG BGHT 2295.75 SOLD 2277.70				**-2,256.25**	**0.00**
06JUL17	S 1 PD06OCT17	2267.50	2585.23	USD	-7,943.25	
06JUL17	B 1 PD06OCT17	2268.00	2585.23	USD	7,930.75	
	NET 0 AVG BGHT 2268.00 SOLD 2267.50				**-12.50**	**0.00**
10JUL17	S 1 PD10OCT17	2313.00	2586.15	USD	-6,828.75	
10JUL17	S 1 PD10OCT17	2313.50	2586.15	USD	-6,816.25	
13JUL17	B 2 PD10OCT17	2294.17	2586.15	USD	14,599.00	
	NET 0 AVG BGHT 2294.17 SOLD 2313.25				**954.00**	**0.00**
11JUL17	B 1 PD11OCT17	2318.00	2586.38	USD	6,709.50	
11JUL17	B 1 PD11OCT17	2329.50	2586.38	USD	6,422.00	
11JUL17	B 1 PD11OCT17	2310.50	2586.38	USD	6,897.00	
13JUL17	S 3 PD11OCT17	2294.05	2586.38	USD	-21,924.75	
	NET 0 AVG BGHT 2319.33 SOLD 2294.05				**-1,896.25**	**0.00**
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
04JUL17	S 3 PD04OCT17	9180.00	10531.23	USD	-24,322.14	
04JUL17	S 3 PD04OCT17	9160.00	10531.23	USD	-24,682.14	
04JUL17	S 1 PD04OCT17	9170.00	10531.23	USD	-8,167.38	
04JUL17	S 1 PD04OCT17	9175.00	10531.23	USD	-8,137.38	
04JUL17	S 1 PD04OCT17	9160.00	10531.23	USD	-8,227.38	
04JUL17	S 1 PD04OCT17	9205.00	10531.23	USD	-7,957.38	
04JUL17	S 1 PD04OCT17	9210.00	10531.23	USD	-7,927.38	
07JUL17	B 11 PD04OCT17	8919.67	10531.23	USD	106,362.96	
	NET 0 AVG BGHT 8919.67 SOLD 9176.36				**16,941.78**	**0.00**
05JUL17	B 1 PD05OCT17	9190.00	10532.50	USD	8,055.00	
05JUL17	B 1 PD05OCT17	9195.00	10532.50	USD	8,025.00	
05JUL17	B 1 PD05OCT17	9200.00	10532.50	USD	7,995.00	
05JUL17	B 1 PD05OCT17	9185.00	10532.50	USD	8,085.00	
05JUL17	S 2 PD05OCT17	9185.00	10532.50	USD	-16,170.00	
05JUL17	B 1 PD05OCT17	9155.00	10532.50	USD	8,265.00	
05JUL17	B 1 PD05OCT17	9150.00	10532.50	USD	8,295.00	
05JUL17	B 2 PD05OCT17	9145.00	10532.50	USD	16,650.00	
05JUL17	B 1 PD05OCT17	9135.00	10532.50	USD	8,385.00	
05JUL17	B 1 PD05OCT17	9095.00	10532.50	USD	8,625.00	
05JUL17	B 1 PD05OCT17	9105.00	10532.50	USD	8,565.00	
05JUL17	S 1 PD05OCT17	9105.00	10532.50	USD	-8,565.00	
05JUL17	S 1 PD05OCT17	9115.00	10532.50	USD	-8,505.00	
05JUL17	S 1 PD05OCT17	9120.00	10532.50	USD	-8,475.00	
05JUL17	S 2 PD05OCT17	9130.00	10532.50	USD	-16,830.00	
05JUL17	S 1 PD05OCT17	9135.00	10532.50	USD	-8,385.00	
20JUL17	S 3 PD05OCT17	9487.41	10532.50	USD	-18,811.62	
	NET 0 AVG BGHT 9154.55 SOLD 9233.38				**5,203.38**	**0.00**
06JUL17	B 5 PD06OCT17	9150.00	10533.77	USD	41,513.10	
06JUL17	B 5 PD06OCT17	9140.00	10533.77	USD	41,813.10	
06JUL17	B 2 PD06OCT17	9115.00	10533.77	USD	17,025.24	
06JUL17	B 1 PD06OCT17	9105.00	10533.77	USD	8,572.62	
06JUL17	B 3 PD06OCT17	9105.00	10533.77	USD	25,717.86	
06JUL17	B 1 PD06OCT17	9080.00	10533.77	USD	8,722.62	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0096Y

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
07JUL17	S	5	PD06OCT17	9020.00	10533.77	USD	-45,413.10	
07JUL17	B	5	PD06OCT17	9015.00	10533.77	USD	45,563.10	
20JUL17	S	4	PD06OCT17	9488.07	10533.77	USD	-25,096.80	
24JUL17	S	12	PD06OCT17	9770.07	10533.77	USD	-54,986.40	
27JUL17	S	1	PD06OCT17	10123.14	10533.77	USD	-2,463.78	
	NET	**0**	**AVG BGHT 9102.50**	**SOLD 9564.38**			**60,967.56**	**0.00**
10JUL17	S	5	PD10OCT17	8970.00	10538.85	USD	-47,065.50	
10JUL17	B	5	PD10OCT17	8910.00	10538.85	USD	48,865.50	
10JUL17	S	2	PD10OCT17	8990.00	10538.85	USD	-18,586.20	
10JUL17	S	3	PD10OCT17	8990.00	10538.85	USD	-27,879.30	
13JUL17	B	5	PD10OCT17	9209.29	10538.85	USD	39,886.80	
	NET	**0**	**AVG BGHT 9059.65**	**SOLD 8980.00**			**-4,778.70**	**0.00**
11JUL17	B	4	PD11OCT17	9080.00	10540.12	USD	35,042.88	
11JUL17	B	3	PD11OCT17	9095.00	10540.12	USD	26,012.16	
11JUL17	S	3	PD11OCT17	9115.00	10540.12	USD	-25,652.16	
11JUL17	B	1	PD11OCT17	9075.00	10540.12	USD	8,790.72	
11JUL17	B	2	PD11OCT17	9140.00	10540.12	USD	16,801.44	
11JUL17	B	5	PD11OCT17	9145.00	10540.12	USD	41,853.60	
11JUL17	S	1	PD11OCT17	9170.00	10540.12	USD	-8,220.72	
11JUL17	S	5	PD11OCT17	9180.00	10540.12	USD	-40,803.60	
11JUL17	S	1	PD11OCT17	9170.00	10540.12	USD	-8,220.72	
27JUL17	S	5	PD11OCT17	10126.00	10540.12	USD	-12,423.60	
	NET	**0**	**AVG BGHT 9112.33**	**SOLD 9481.00**			**33,180.00**	**0.00**
12JUL17	B	5	PD12OCT17	9190.00	10541.38	USD	40,541.40	
12JUL17	B	5	PD12OCT17	9225.00	10541.38	USD	39,491.40	
27JUL17	S	10	PD12OCT17	10126.57	10541.38	USD	-24,888.60	
	NET	**0**	**AVG BGHT 9207.50**	**SOLD 10126.57**			**55,144.20**	**0.00**
14JUL17	S	5	PD13OCT17	9560.00	10542.65	USD	-29,479.50	
18JUL17	B	5	PD13OCT17	9778.44	10542.65	USD	22,926.30	
	NET	**0**	**AVG BGHT 9778.44**	**SOLD 9560.00**			**-6,553.20**	**0.00**
17JUL17	B	1	PD17OCT17	9595.00	10547.73	USD	5,716.38	
17JUL17	B	1	PD17OCT17	9600.00	10547.73	USD	5,686.38	
17JUL17	B	2	PD17OCT17	9590.00	10547.73	USD	11,492.76	
17JUL17	B	2	PD17OCT17	9605.00	10547.73	USD	11,312.76	
27JUL17	S	6	PD17OCT17	10129.43	10547.73	USD	-15,058.80	
	NET	**0**	**AVG BGHT 9597.50**	**SOLD 10129.43**			**19,149.48**	**0.00**
19JUL17	S	4	PD19OCT17	9725.00	10549.96	USD	-19,799.04	
19JUL17	S	1	PD19OCT17	9705.00	10549.96	USD	-5,069.76	
19JUL17	S	1	PD19OCT17	9710.00	10549.96	USD	-5,039.76	
19JUL17	S	1	PD19OCT17	9645.00	10549.96	USD	-5,429.76	
20JUL17	B	7	PD19OCT17	9496.00	10549.96	USD	44,266.32	
	NET	**0**	**AVG BGHT 9496.00**	**SOLD 9708.57**			**8,928.00**	**0.00**
20JUL17	S	1	PD20OCT17	9670.00	10550.93	USD	-5,285.58	
20JUL17	S	2	PD20OCT17	9560.00	10550.93	USD	-11,891.16	
21JUL17	S	2	PD20OCT17	9575.00	10550.93	USD	-11,711.16	
21JUL17	S	2	PD20OCT17	9655.00	10550.93	USD	-10,751.16	
21JUL17	S	3	PD20OCT17	9545.00	10550.93	USD	-18,106.74	
21JUL17	B	5	PD20OCT17	9530.00	10550.93	USD	30,627.90	
21JUL17	S	7	PD20OCT17	9530.00	10550.93	USD	-42,879.06	
24JUL17	B	12	PD20OCT17	9778.60	10550.93	USD	55,607.76	
	NET	**0**	**AVG BGHT 9705.48**	**SOLD 9564.41**			**-14,389.20**	**0.00**
25JUL17	S	4	PD25OCT17	9840.00	10555.75	USD	-17,178.00	
25JUL17	S	4	PD25OCT17	9925.00	10555.75	USD	-15,138.00	
25JUL17	S	4	PD25OCT17	9955.00	10555.75	USD	-14,418.00	
27JUL17	B	12	PD25OCT17	10131.00	10555.75	USD	30,582.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0096Y

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
	NET 0 AVG BGHT 10131.00 SOLD 9906.67				**-16,152.00**	**0.00**
26JUL17	S 8 PD26OCT17	9955.00	10556.71	USD	-28,882.08	
26JUL17	S 1 PD26OCT17	9955.00	10556.71	USD	-3,610.26	
27JUL17	B 9 PD26OCT17	10131.00	10556.71	USD	22,988.34	
	NET 0 AVG BGHT 10131.00 SOLD 9955.00				**-9,504.00**	**0.00**
27JUL17	S 1 PD27OCT17	10045.00	10557.68	USD	-3,076.08	
27JUL17	B 1 PD27OCT17	10131.00	10557.68	USD	2,560.08	
	NET 0 AVG BGHT 10131.00 SOLD 10045.00				**-516.00**	**0.00**
28SEP17	B 1 PD28DEC17	10285.00	10614.50	USD	1,977.00	
28SEP17	B 2 PD28DEC17	10315.00	10614.50	USD	3,594.00	
28SEP17	B 3 PD28DEC17	10305.00	10614.50	USD	5,571.00	
28SEP17	B 2 PD28DEC17	10325.00	10614.50	USD	3,474.00	
28SEP17	B 1 PD28DEC17	10330.00	10614.50	USD	1,707.00	
28SEP17	B 1 PD28DEC17	10340.00	10614.50	USD	1,647.00	
	NET +10 AVG BGHT 10315.00				**17,970.00**	**0.00**
29SEP17	B 2 PD29DEC17	10430.00	10615.42	USD	2,225.04	
29SEP17	B 2 PD29DEC17	10435.00	10615.42	USD	2,165.04	
29SEP17	B 2 PD29DEC17	10395.00	10615.42	USD	2,645.04	
29SEP17	B 2 PD29DEC17	10425.00	10615.42	USD	2,285.04	
29SEP17	B 2 PD29DEC17	10415.00	10615.42	USD	2,405.04	
29SEP17	B 1 PD29DEC17	10475.00	10615.42	USD	842.52	
29SEP17	B 9 PD29DEC17	10480.00	10615.42	USD	7,312.68	
29SEP17	B 5 PD29DEC17	10485.00	10615.42	USD	3,912.60	
29SEP17	B 1 PD29DEC17	10495.00	10615.42	USD	722.52	
29SEP17	B 2 PD29DEC17	10490.00	10615.42	USD	1,505.04	
29SEP17	B 4 PD29DEC17	10470.00	10615.42	USD	3,490.08	
	NET +32 AVG BGHT 10461.72				**29,510.64**	**0.00**
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
07JUL17	S 1 PD06OCT17	19695.00	20951.50	USD	-6,282.50	
07JUL17	S 1 PD06OCT17	19685.00	20951.50	USD	-6,332.50	
13JUL17	B 1 PD06OCT17	19810.00	20951.50	USD	5,707.50	
18JUL17	B 1 PD06OCT17	20012.89	20951.50	USD	4,693.05	
	NET 0 AVG BGHT 19911.45 SOLD 19690.00				**-2,214.45**	**0.00**
12JUL17	B 1 PD12OCT17	19920.00	20944.00	USD	5,120.00	
13JUL17	S 1 PD12OCT17	19805.25	20944.00	USD	-5,693.75	
	NET 0 AVG BGHT 19920.00 SOLD 19805.25				**-573.75**	**0.00**
14JUL17	S 1 PD13OCT17	19830.00	20941.50	USD	-5,557.50	
14JUL17	S 1 PD13OCT17	19835.00	20941.50	USD	-5,532.50	
14JUL17	B 1 PD13OCT17	19855.00	20941.50	USD	5,432.50	
14JUL17	B 1 PD13OCT17	19850.00	20941.50	USD	5,457.50	
	NET 0 AVG BGHT 19852.50 SOLD 19832.50				**-200.00**	**0.00**
17JUL17	B 1 PD17OCT17	19985.00	20936.50	USD	4,757.50	
18JUL17	S 1 PD17OCT17	20000.84	20936.50	USD	-4,678.30	
	NET 0 AVG BGHT 19985.00 SOLD 20000.84				**79.20**	**0.00**
18JUL17	B 1 PD18OCT17	19990.00	20934.00	USD	4,720.00	
18JUL17	S 1 PD18OCT17	20000.00	20934.00	USD	-4,670.00	
18JUL17	S 1 PD18OCT17	20005.00	20934.00	USD	-4,645.00	
27JUL17	B 1 PD18OCT17	20690.00	20934.00	USD	1,220.00	
	NET 0 AVG BGHT 20340.00 SOLD 20002.50				**-3,375.00**	**0.00**
24JUL17	B 1 PD24OCT17	20255.00	20914.00	USD	3,295.00	
27JUL17	S 1 PD24OCT17	20666.14	20914.00	USD	-1,239.30	
	NET 0 AVG BGHT 20255.00 SOLD 20666.14				**2,055.70**	**0.00**
28SEP17	S 2 PD28DEC17	20705.00	20813.60	USD	-1,086.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0096Y

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position		Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	NET -2 AVG SOLD 20705.00					**-1,086.00**	**0.00**
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT						
04JUL17	B 1 PD04OCT17		2769.50	3316.62	USD	13,678.00	
04JUL17	B 1 PD04OCT17		2768.00	3316.62	USD	13,715.50	
04JUL17	S 1 PD04OCT17		2769.50	3316.62	USD	-13,678.00	
13JUL17	S 1 PD04OCT17		2801.97	3316.62	USD	-12,866.25	
	NET 0 AVG BGHT 2768.75	**SOLD 2785.74**				**849.25**	**0.00**
05JUL17	B 1 PD05OCT17		2746.00	3313.50	USD	14,187.50	
05JUL17	B 1 PD05OCT17		2749.00	3313.50	USD	14,112.50	
05JUL17	S 1 PD05OCT17		2777.00	3313.50	USD	-13,412.50	
13JUL17	S 1 PD05OCT17		2802.19	3313.50	USD	-12,782.75	
	NET 0 AVG BGHT 2747.50	**SOLD 2789.60**				**2,104.75**	**0.00**
06JUL17	S 1 PD06OCT17		2785.00	3310.38	USD	-13,134.50	
07JUL17	B 1 PD06OCT17		2793.50	3310.38	USD	12,922.00	
07JUL17	B 1 PD06OCT17		2793.20	3310.38	USD	12,929.50	
14JUL17	S 1 PD06OCT17		2784.92	3310.38	USD	-13,136.50	
	NET 0 AVG BGHT 2793.35	**SOLD 2784.96**				**-419.50**	**0.00**
10JUL17	S 1 PD10OCT17		2766.00	3307.25	USD	-13,531.25	
13JUL17	B 1 PD10OCT17		2803.51	3307.25	USD	12,593.50	
	NET 0 AVG BGHT 2803.51	**SOLD 2766.00**				**-937.75**	**0.00**
11JUL17	B 1 PD11OCT17		2794.50	3304.13	USD	12,740.75	
11JUL17	B 1 PD11OCT17		2807.50	3304.13	USD	12,415.75	
14JUL17	S 2 PD11OCT17		2786.03	3304.13	USD	-25,905.00	
	NET 0 AVG BGHT 2801.00	**SOLD 2786.03**				**-748.50**	**0.00**
12JUL17	S 1 PD12OCT17		2849.00	3301.00	USD	-11,300.00	
13JUL17	B 1 PD12OCT17		2803.95	3301.00	USD	12,426.25	
	NET 0 AVG BGHT 2803.95	**SOLD 2849.00**				**1,126.25**	**0.00**
13JUL17	S 1 PD13OCT17		2838.50	3297.88	USD	-11,484.50	
13JUL17	S 1 PD13OCT17		2840.50	3297.88	USD	-11,434.50	
13JUL17	S 1 PD13OCT17		2831.00	3297.88	USD	-11,672.00	
14JUL17	B 3 PD13OCT17		2786.20	3297.88	USD	38,376.00	
	NET 0 AVG BGHT 2786.20	**SOLD 2836.67**				**3,785.00**	**0.00**
28SEP17	S 2 PD28DEC17		3121.00	3264.00	USD	-7,150.00	
28SEP17	B 2 PD28DEC17		3146.00	3264.00	USD	5,900.00	
28SEP17	S 1 PD28DEC17		3143.00	3264.00	USD	-3,025.00	
28SEP17	B 1 PD28DEC17		3145.00	3264.00	USD	2,975.00	
28SEP17	B 2 PD28DEC17		3144.00	3264.00	USD	6,000.00	
28SEP17	S 2 PD28DEC17		3159.00	3264.00	USD	-5,250.00	
	NET 0 AVG BGHT 3145.00	**SOLD 3140.60**				**-550.00**	**0.00**

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	347,713.00
LME Copper Grade A 25	FUTURES	-6,557,452.25
CMX Gold	FUTURES	-1,287,470.00
LME Lead 25 tonnes. This is an	FUTURES	-2,406.00
LME Nickel 6 tonnes. This is	FUTURES	-2,479,928.70
LME Tin 5 tonnes. This is an	FUTURES	202,821.70
LME Zinc 25 tonnes. This is	FUTURES	5,209.50

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0096Y

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options			Amount	Profit / Loss	**Total Amount**	**Total P&L**
GOLD	- Futures	USD	-12,870.00	-12,870.00	**-12,870.00**	**-12,870.00**
Unrealised Profits & Losses - Forwards			**Amount**	**Profit / Loss**	**Total Amount**	**Total P&L**
ALUL	- Futures	USD	28,260.00	28,260.00		
COPL	- Futures	USD	-39,406.00	-39,406.00		
LEAD	- Futures	USD	-2,406.00	-2,406.00		
NKL	- Futures	USD	195,101.94	195,101.94		
TIN	- Futures	USD	-5,314.30	-5,314.30		
ZINC	- Futures	USD	5,209.50	5,209.50	**181,445.14**	**181,445.14**

ACCOUNT FINANCIAL SUMMARY

		USD (1.00000)	NETT USD (1.00000)
CASH BALANCE		-168,297.75	-168,297.75
Variation Margins	- Futures	-12,870.00	-12,870.00
	- Options	0.00	0.00
TOTAL EQUITY		**-181,167.75**	**-181,167.75**
Initial Margins		-680,252.86	-680,252.86
Excess/(Shortage)		**-861,420.61**	**-861,420.61**
FOR INFORMATION ONLY			
Forward Unrealised P&L		181,445.14	181,445.14
Net Liquidating Value		**277.39**	**277.39**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
05JUL17	S 2 PD05OCT17	1923.50	2114.25	USD	-9,537.50	
05JUL17	S 2 PD05OCT17	1932.00	2114.25	USD	-9,112.50	
05JUL17	S 2 PD05OCT17	1931.50	2114.25	USD	-9,137.50	
26JUL17	B 6 PD05OCT17	1938.43	2114.25	USD	26,373.00	
	NET 0 AVG BGHT 1938.43 SOLD 1929.00				**-1,414.50**	**0.00**
06JUL17	S 1 PD06OCT17	1944.50	2114.58	USD	-4,252.00	
07JUL17	B 1 PD06OCT17	1927.00	2114.58	USD	4,689.50	
	NET 0 AVG BGHT 1927.00 SOLD 1944.50				**437.50**	**0.00**
10JUL17	B 1 PD10OCT17	1924.50	2115.92	USD	4,785.50	
10JUL17	B 1 PD10OCT17	1923.50	2115.92	USD	4,810.50	
10JUL17	S 1 PD10OCT17	1922.50	2115.92	USD	-4,835.50	
10JUL17	B 2 PD10OCT17	1921.50	2115.92	USD	9,721.00	
10JUL17	B 1 PD10OCT17	1922.00	2115.92	USD	4,848.00	
10JUL17	B 1 PD10OCT17	1915.00	2115.92	USD	5,023.00	
10JUL17	B 1 PD10OCT17	1916.00	2115.92	USD	4,998.00	
10JUL17	B 4 PD10OCT17	1915.50	2115.92	USD	20,042.00	
26JUL17	S 10 PD10OCT17	1939.22	2115.92	USD	-44,175.00	
	NET 0 AVG BGHT 1918.73 SOLD 1937.70				**5,217.50**	**0.00**
12JUL17	B 1 PD12OCT17	1896.00	2116.25	USD	5,506.25	
12JUL17	S 1 PD12OCT17	1896.00	2116.25	USD	-5,506.25	
12JUL17	B 2 PD12OCT17	1894.00	2116.25	USD	11,112.50	
12JUL17	S 2 PD12OCT17	1886.00	2116.25	USD	-11,512.50	
	NET 0 AVG BGHT 1894.67 SOLD 1889.33				**-400.00**	**0.00**
13JUL17	S 1 PD13OCT17	1934.00	2116.25	USD	-4,556.25	
13JUL17	B 1 PD13OCT17	1920.50	2116.25	USD	4,893.75	
	NET 0 AVG BGHT 1920.50 SOLD 1934.00				**337.50**	**0.00**
18JUL17	S 4 PD18OCT17	1924.00	2116.25	USD	-19,225.00	
18JUL17	S 2 PD18OCT17	1931.00	2116.25	USD	-9,262.50	
26JUL17	B 6 PD18OCT17	1941.00	2116.25	USD	26,287.50	
	NET 0 AVG BGHT 1941.00 SOLD 1926.33				**-2,200.00**	**0.00**
19JUL17	B 2 PD19OCT17	1931.00	2116.64	USD	9,282.00	
26JUL17	S 2 PD19OCT17	1940.30	2116.64	USD	-8,817.00	
	NET 0 AVG BGHT 1931.00 SOLD 1940.30				**465.00**	**0.00**
20JUL17	S 1 PD20OCT17	1918.00	2117.03	USD	-4,975.75	
20JUL17	S 1 PD20OCT17	1918.50	2117.03	USD	-4,963.25	
21JUL17	B 2 PD20OCT17	1923.50	2117.03	USD	9,676.50	
	NET 0 AVG BGHT 1923.50 SOLD 1918.25				**-262.50**	**0.00**
24JUL17	B 2 PD24OCT17	1923.00	2118.58	USD	9,779.00	
24JUL17	B 1 PD24OCT17	1916.50	2118.58	USD	5,052.00	
24JUL17	B 1 PD24OCT17	1911.00	2118.58	USD	5,189.50	
26JUL17	S 4 PD24OCT17	1941.37	2118.58	USD	-17,721.00	
	NET 0 AVG BGHT 1918.38 SOLD 1941.37				**2,299.50**	**0.00**
01AUG17	B 1 PD01NOV17	1909.00	2117.42	USD	5,210.50	
01AUG17	B 1 PD01NOV17	1907.50	2117.42	USD	5,248.00	
16AUG17	S 2 PD01NOV17	2091.30	2117.42	USD	-1,306.00	
	NET 0 AVG BGHT 1908.25 SOLD 2091.30				**9,152.50**	**0.00**
04AUG17	B 1 PD03NOV17	1906.50	2116.75	USD	5,256.25	
04AUG17	B 1 PD03NOV17	1907.50	2116.75	USD	5,231.25	
16AUG17	S 2 PD03NOV17	2091.62	2116.75	USD	-1,256.50	
	NET 0 AVG BGHT 1907.00 SOLD 2091.62				**9,231.00**	**0.00**
07AUG17	S 2 PD07NOV17	1928.00	2116.08	USD	-9,404.00	
07AUG17	S 2 PD07NOV17	1929.50	2116.08	USD	-9,329.00	
16AUG17	B 4 PD07NOV17	2092.45	2116.08	USD	2,363.00	
	NET 0 AVG BGHT 2092.45 SOLD 1928.75				**-16,370.00**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
08AUG17	S 2 PD08NOV17	1991.50	2115.75	USD	-6,212.50	
08AUG17	S 2 PD08NOV17	1992.00	2115.75	USD	-6,187.50	
16AUG17	B 4 PD08NOV17	2092.50	2115.75	USD	2,325.00	
	NET 0 AVG BGHT 2092.50 SOLD 1991.75				**-10,075.00**	**0.00**
14AUG17	B 4 PD14NOV17	2025.00	2117.89	USD	9,289.00	
16AUG17	S 4 PD14NOV17	2093.80	2117.89	USD	-2,409.00	
	NET 0 AVG BGHT 2025.00 SOLD 2093.80				**6,880.00**	**0.00**
31AUG17	S 1 PD30NOV17	2130.50	2122.32	USD	204.50	
14SEP17	B 1 PD30NOV17	2094.26	2122.32	USD	701.50	
	NET 0 AVG BGHT 2094.26 SOLD 2130.50				**906.00**	**0.00**
01SEP17	S 1 PD01DEC17	2135.50	2122.59	USD	322.75	
01SEP17	S 1 PD01DEC17	2136.00	2122.59	USD	335.25	
14SEP17	B 2 PD01DEC17	2094.53	2122.59	USD	1,403.00	
	NET 0 AVG BGHT 2094.53 SOLD 2135.75				**2,061.00**	**0.00**
13SEP17	B 2 PD13DEC17	2126.50	2125.85	USD	-32.50	
13SEP17	B 1 PD13DEC17	2128.00	2125.85	USD	-53.75	
14SEP17	S 3 PD13DEC17	2097.53	2125.85	USD	-2,124.00	
	NET 0 AVG BGHT 2127.00 SOLD 2097.53				**-2,210.25**	**0.00**
29SEP17	B 1 PD29DEC17	2126.50	2129.84	USD	83.50	
29SEP17	B 1 PD29DEC17	2125.50	2129.84	USD	108.50	
29SEP17	B 1 PD29DEC17	2127.50	2129.84	USD	58.50	
29SEP17	S 1 PD29DEC17	2127.00	2129.84	USD	-71.00	
29SEP17	S 1 PD29DEC17	2131.00	2129.84	USD	29.00	
29SEP17	S 1 PD29DEC17	2133.00	2129.84	USD	79.00	
	NET 0 AVG BGHT 2126.50 SOLD 2130.33				**287.50**	**0.00**
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
14AUG17	S 1 PD14NOV17	6399.00	6501.04	USD	-2,551.00	
14AUG17	S 1 PD14NOV17	6400.00	6501.04	USD	-2,526.00	
17AUG17	B 2 PD14NOV17	6491.75	6501.04	USD	464.50	
	NET 0 AVG BGHT 6491.75 SOLD 6399.50				**-4,612.50**	**0.00**
16AUG17	B 1 PD16NOV17	6461.00	6501.00	USD	1,000.00	
16AUG17	B 1 PD16NOV17	6538.00	6501.00	USD	-925.00	
17AUG17	S 2 PD16NOV17	6489.80	6501.00	USD	-560.00	
	NET 0 AVG BGHT 6499.50 SOLD 6489.80				**-485.00**	**0.00**
31AUG17	S 1 PD30NOV17	6829.00	6509.40	USD	7,990.00	
13SEP17	B 1 PD30NOV17	6548.02	6509.40	USD	-965.50	
	NET 0 AVG BGHT 6548.02 SOLD 6829.00				**7,024.50**	**0.00**
01SEP17	S 1 PD01DEC17	6821.00	6510.00	USD	7,775.00	
13SEP17	B 1 PD01DEC17	6548.45	6510.00	USD	-961.25	
	NET 0 AVG BGHT 6548.45 SOLD 6821.00				**6,813.75**	**0.00**
04SEP17	S 1 PD04DEC17	6909.00	6511.29	USD	9,942.75	
13SEP17	B 1 PD04DEC17	6549.74	6511.29	USD	-961.25	
	NET 0 AVG BGHT 6549.74 SOLD 6909.00				**8,981.50**	**0.00**
05SEP17	B 1 PD05DEC17	6855.00	6511.71	USD	-8,582.25	
13SEP17	S 1 PD05DEC17	6549.97	6511.71	USD	956.50	
	NET 0 AVG BGHT 6855.00 SOLD 6549.97				**-7,625.75**	**0.00**
06SEP17	B 1 PD06DEC17	6885.00	6512.14	USD	-9,321.50	
06SEP17	S 1 PD06DEC17	6920.00	6512.14	USD	10,196.50	
06SEP17	S 1 PD06DEC17	6925.00	6512.14	USD	10,321.50	
06SEP17	B 1 PD06DEC17	6884.50	6512.14	USD	-9,309.00	
06SEP17	B 1 PD06DEC17	6886.50	6512.14	USD	-9,359.00	
06SEP17	S 1 PD06DEC17	6910.00	6512.14	USD	9,946.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
06SEP17	S 1 PD06DEC17	6874.50	6512.14	USD	9,059.00	
06SEP17	S 1 PD06DEC17	6900.00	6512.14	USD	9,696.50	
06SEP17	S 1 PD06DEC17	6884.50	6512.14	USD	9,309.00	
06SEP17	B 1 PD06DEC17	6878.50	6512.14	USD	-9,159.00	
06SEP17	S 1 PD06DEC17	6879.50	6512.14	USD	9,184.00	
13SEP17	B 3 PD06DEC17	6550.60	6512.14	USD	-2,884.50	
	NET 0 AVG BGHT 6740.90 SOLD 6899.07				**27,680.00**	**0.00**
07SEP17	B 1 PD07DEC17	6863.00	6512.57	USD	-8,760.75	
07SEP17	B 1 PD07DEC17	6859.00	6512.57	USD	-8,660.75	
07SEP17	S 1 PD07DEC17	6897.00	6512.57	USD	9,610.75	
07SEP17	S 1 PD07DEC17	6899.00	6512.57	USD	9,660.75	
	NET 0 AVG BGHT 6861.00 SOLD 6898.00				**1,850.00**	**0.00**
08SEP17	B 1 PD08DEC17	6851.00	6513.00	USD	-8,450.00	
08SEP17	B 1 PD08DEC17	6855.00	6513.00	USD	-8,550.00	
08SEP17	B 1 PD08DEC17	6842.00	6513.00	USD	-8,225.00	
08SEP17	B 1 PD08DEC17	6695.00	6513.00	USD	-4,550.00	
13SEP17	S 4 PD08DEC17	6551.26	6513.00	USD	3,826.00	
	NET 0 AVG BGHT 6810.75 SOLD 6551.26				**-25,949.00**	**0.00**
11SEP17	B 1 PD11DEC17	6756.00	6514.19	USD	-6,045.25	
13SEP17	S 1 PD11DEC17	6552.55	6514.19	USD	959.00	
	NET 0 AVG BGHT 6756.00 SOLD 6552.55				**-5,086.25**	**0.00**
13SEP17	B 2 PD13DEC17	6569.00	6514.98	USD	-2,701.00	
13SEP17	B 1 PD13DEC17	6572.00	6514.98	USD	-1,425.50	
13SEP17	B 1 PD13DEC17	6567.50	6514.98	USD	-1,313.00	
13SEP17	S 1 PD13DEC17	6547.00	6514.98	USD	800.50	
13SEP17	S 1 PD13DEC17	6552.00	6514.98	USD	925.50	
13SEP17	S 1 PD13DEC17	6554.00	6514.98	USD	975.50	
13SEP17	S 1 PD13DEC17	6575.00	6514.98	USD	1,500.50	
	NET 0 AVG BGHT 6569.38 SOLD 6557.00				**-1,237.50**	**0.00**
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
05JUL17	B 1 PD05OCT17	2272.50	2585.00	USD	7,812.50	
05JUL17	B 2 PD05OCT17	2273.00	2585.00	USD	15,600.00	
05JUL17	S 1 PD05OCT17	2270.00	2585.00	USD	-7,875.00	
05JUL17	S 1 PD05OCT17	2272.00	2585.00	USD	-7,825.00	
05JUL17	S 1 PD05OCT17	2273.00	2585.00	USD	-7,800.00	
	NET 0 AVG BGHT 2272.83 SOLD 2271.67				**-87.50**	**0.00**
26JUL17	S 1 PD26OCT17	2314.50	2588.00	USD	-6,837.50	
26JUL17	S 1 PD26OCT17	2330.00	2588.00	USD	-6,450.00	
16AUG17	B 2 PD26OCT17	2514.60	2588.00	USD	3,670.00	
	NET 0 AVG BGHT 2514.60 SOLD 2322.25				**-9,617.50**	**0.00**
27JUL17	S 1 PD27OCT17	2331.00	2588.00	USD	-6,425.00	
28JUL17	B 1 PD27OCT17	2298.50	2588.00	USD	7,237.50	
28JUL17	B 1 PD27OCT17	2294.00	2588.00	USD	7,350.00	
28JUL17	B 1 PD27OCT17	2295.00	2588.00	USD	7,325.00	
16AUG17	S 2 PD27OCT17	2514.58	2588.00	USD	-3,671.00	
	NET 0 AVG BGHT 2295.83 SOLD 2453.39				**11,816.50**	**0.00**
03AUG17	S 2 PD03NOV17	2365.50	2588.00	USD	-11,125.00	
03AUG17	S 1 PD03NOV17	2364.00	2588.00	USD	-5,600.00	
03AUG17	S 1 PD03NOV17	2365.00	2588.00	USD	-5,575.00	
04AUG17	B 2 PD03NOV17	2351.50	2588.00	USD	11,825.00	
04AUG17	B 2 PD03NOV17	2352.00	2588.00	USD	11,800.00	
	NET 0 AVG BGHT 2351.75 SOLD 2365.00				**1,325.00**	**0.00**
16AUG17	S 2 PD16NOV17	2394.00	2588.13	USD	-9,706.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
16AUG17	S 2 PD16NOV17	2397.00	2588.13	USD	-9,556.50	
16AUG17	S 1 PD16NOV17	2399.50	2588.13	USD	-4,715.75	
16AUG17	S 2 PD16NOV17	2423.00	2588.13	USD	-8,256.50	
16AUG17	S 2 PD16NOV17	2396.00	2588.13	USD	-9,606.50	
16AUG17	B 9 PD16NOV17	2517.20	2588.13	USD	15,959.25	
	NET 0 AVG BGHT 2517.20 SOLD 2402.17				**-25,882.50**	**0.00**
17AUG17	B 2 PD17NOV17	2515.00	2588.26	USD	3,663.00	
17AUG17	B 1 PD17NOV17	2495.00	2588.26	USD	2,331.50	
17AUG17	B 1 PD17NOV17	2487.00	2588.26	USD	2,531.50	
17AUG17	B 1 PD17NOV17	2484.00	2588.26	USD	2,606.50	
17AUG17	B 1 PD17NOV17	2486.50	2588.26	USD	2,544.00	
17AUG17	B 2 PD17NOV17	2485.00	2588.26	USD	5,163.00	
17AUG17	B 1 PD17NOV17	2465.00	2588.26	USD	3,081.50	
17AUG17	S 9 PD17NOV17	2413.30	2588.26	USD	-39,366.00	
	NET 0 AVG BGHT 2490.83 SOLD 2413.30				**-17,445.00**	**0.00**
30AUG17	S 1 PD30NOV17	2403.00	2589.93	USD	-4,673.25	
30AUG17	S 1 PD30NOV17	2404.00	2589.93	USD	-4,648.25	
30AUG17	S 1 PD30NOV17	2404.50	2589.93	USD	-4,635.75	
30AUG17	S 1 PD30NOV17	2405.50	2589.93	USD	-4,610.75	
30AUG17	B 3 PD30NOV17	2378.50	2589.93	USD	15,857.25	
31AUG17	B 1 PD30NOV17	2389.00	2589.93	USD	5,023.25	
	NET 0 AVG BGHT 2381.13 SOLD 2404.25				**2,312.50**	**0.00**
21SEP17	S 1 PD21DEC17	2490.00	2592.29	USD	-2,557.25	
21SEP17	S 3 PD21DEC17	2491.00	2592.29	USD	-7,596.75	
21SEP17	S 1 PD21DEC17	2491.50	2592.29	USD	-2,519.75	
21SEP17	S 1 PD21DEC17	2492.50	2592.29	USD	-2,494.75	
21SEP17	B 6 PD21DEC17	2513.20	2592.29	USD	11,863.50	
	NET 0 AVG BGHT 2513.20 SOLD 2491.17				**-3,305.00**	**0.00**
25SEP17	B 1 PD27DEC17	2480.00	2591.86	USD	2,796.50	
25SEP17	B 1 PD27DEC17	2494.00	2591.86	USD	2,446.50	
25SEP17	B 1 PD27DEC17	2485.00	2591.86	USD	2,671.50	
25SEP17	S 1 PD27DEC17	2494.00	2591.86	USD	-2,446.50	
25SEP17	S 1 PD27DEC17	2495.00	2591.86	USD	-2,421.50	
25SEP17	S 1 PD27DEC17	2501.50	2591.86	USD	-2,259.00	
	NET 0 AVG BGHT 2486.33 SOLD 2496.83				**787.50**	**0.00**
29SEP17	B 1 PD29DEC17	2494.00	2591.43	USD	2,435.75	
29SEP17	B 3 PD29DEC17	2495.00	2591.43	USD	7,232.25	
29SEP17	B 1 PD29DEC17	2495.50	2591.43	USD	2,398.25	
29SEP17	B 1 PD29DEC17	2497.00	2591.43	USD	2,360.75	
29SEP17	B 2 PD29DEC17	2485.50	2591.43	USD	5,296.50	
29SEP17	B 1 PD29DEC17	2486.00	2591.43	USD	2,635.75	
29SEP17	S 1 PD29DEC17	2504.50	2591.43	USD	-2,173.25	
29SEP17	S 2 PD29DEC17	2511.00	2591.43	USD	-4,021.50	
29SEP17	S 6 PD29DEC17	2489.10	2591.43	USD	-15,349.50	
	NET 0 AVG BGHT 2492.06 SOLD 2495.68				**815.00**	**0.00**
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
04JUL17	B 2 PD04OCT17	9175.00	10531.23	USD	16,274.76	
26JUL17	S 2 PD04OCT17	10034.00	10531.23	USD	-5,966.76	
	NET 0 AVG BGHT 9175.00 SOLD 10034.00				**10,308.00**	**0.00**
07JUL17	B 1 PD06OCT17	8990.00	10533.77	USD	9,262.62	
07JUL17	B 1 PD06OCT17	8995.00	10533.77	USD	9,232.62	
07JUL17	B 1 PD06OCT17	8950.00	10533.77	USD	9,502.62	
07JUL17	S 4 PD06OCT17	8975.00	10533.77	USD	-37,410.48	
26JUL17	B 1 PD06OCT17	10036.14	10533.77	USD	2,985.78	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
	NET	**0 AVG BGHT 9242.79**	**SOLD 8975.00**				**-6,426.84**	**0.00**
10JUL17	B	1 PD10OCT17		8875.00	10538.85	USD	9,983.10	
26JUL17	S	1 PD10OCT17		10037.43	10538.85	USD	-3,008.52	
	NET	**0 AVG BGHT 8875.00**	**SOLD 10037.43**				**6,974.58**	**0.00**
17JUL17	S	1 PD17OCT17		9605.00	10547.73	USD	-5,656.38	
17JUL17	S	1 PD17OCT17		9620.00	10547.73	USD	-5,566.38	
17JUL17	S	1 PD17OCT17		9625.00	10547.73	USD	-5,536.38	
17JUL17	S	1 PD17OCT17		9640.00	10547.73	USD	-5,446.38	
17JUL17	B	1 PD17OCT17		9650.00	10547.73	USD	5,386.38	
17JUL17	S	1 PD17OCT17		9645.00	10547.73	USD	-5,416.38	
17JUL17	S	1 PD17OCT17		9660.00	10547.73	USD	-5,326.38	
26JUL17	B	5 PD17OCT17		10042.43	10547.73	USD	15,159.00	
	NET	**0 AVG BGHT 9977.03**	**SOLD 9632.50**				**-12,402.90**	**0.00**
18JUL17	S	1 PD18OCT17		9630.00	10549.00	USD	-5,514.00	
18JUL17	S	3 PD18OCT17		9635.00	10549.00	USD	-16,452.00	
18JUL17	S	1 PD18OCT17		9640.00	10549.00	USD	-5,454.00	
26JUL17	B	5 PD18OCT17		10043.00	10549.00	USD	15,180.00	
	NET	**0 AVG BGHT 10043.00**	**SOLD 9635.00**				**-12,240.00**	**0.00**
24JUL17	B	1 PD24OCT17		9635.00	10554.79	USD	5,518.74	
24JUL17	B	1 PD24OCT17		9725.00	10554.79	USD	4,978.74	
24JUL17	S	1 PD24OCT17		9720.00	10554.79	USD	-5,008.74	
24JUL17	S	1 PD24OCT17		9760.00	10554.79	USD	-4,768.74	
	NET	**0 AVG BGHT 9680.00**	**SOLD 9740.00**				**720.00**	**0.00**
26JUL17	S	1 PD26OCT17		9945.00	10556.71	USD	-3,670.26	
26JUL17	S	1 PD26OCT17		9980.00	10556.71	USD	-3,460.26	
26JUL17	B	1 PD26OCT17		9980.00	10556.71	USD	3,460.26	
26JUL17	S	1 PD26OCT17		9960.00	10556.71	USD	-3,580.26	
16AUG17	B	2 PD26OCT17		10747.15	10556.71	USD	-2,285.28	
	NET	**0 AVG BGHT 10491.43**	**SOLD 9961.67**				**-9,535.80**	**0.00**
28JUL17	B	1 PD27OCT17		10205.00	10557.68	USD	2,116.08	
28JUL17	B	1 PD27OCT17		10210.00	10557.68	USD	2,086.08	
16AUG17	S	2 PD27OCT17		10746.85	10557.68	USD	2,270.04	
	NET	**0 AVG BGHT 10207.50**	**SOLD 10746.85**				**6,472.20**	**0.00**
31JUL17	B	1 PD31OCT17		10270.00	10561.54	USD	1,749.24	
31JUL17	B	2 PD31OCT17		10285.00	10561.54	USD	3,318.48	
31JUL17	B	3 PD31OCT17		10280.00	10561.54	USD	5,067.72	
16AUG17	S	6 PD31OCT17		10750.62	10561.54	USD	6,806.88	
	NET	**0 AVG BGHT 10280.00**	**SOLD 10750.62**				**16,942.32**	**0.00**
02AUG17	S	2 PD02NOV17		10230.00	10563.46	USD	-4,001.52	
02AUG17	S	1 PD02NOV17		10255.00	10563.46	USD	-1,850.76	
02AUG17	S	2 PD02NOV17		10265.00	10563.46	USD	-3,581.52	
02AUG17	S	1 PD02NOV17		10270.00	10563.46	USD	-1,760.76	
16AUG17	B	6 PD02NOV17		10753.00	10563.46	USD	-6,823.44	
	NET	**0 AVG BGHT 10753.00**	**SOLD 10252.50**				**-18,018.00**	**0.00**
10AUG17	B	2 PD10NOV17		10880.00	10571.18	USD	-3,705.84	
11AUG17	B	1 PD10NOV17		10630.00	10571.18	USD	-352.92	
17AUG17	S	3 PD10NOV17		10723.63	10571.18	USD	2,744.10	
	NET	**0 AVG BGHT 10796.67**	**SOLD 10723.63**				**-1,314.66**	**0.00**
14AUG17	B	1 PD14NOV17		10635.00	10575.04	USD	-359.76	
14AUG17	B	1 PD14NOV17		10570.00	10575.04	USD	30.24	
14AUG17	B	1 PD14NOV17		10545.00	10575.04	USD	180.24	
17AUG17	S	3 PD14NOV17		10726.33	10575.04	USD	2,723.22	
	NET	**0 AVG BGHT 10583.33**	**SOLD 10726.33**				**2,573.94**	**0.00**
16AUG17	S	1 PD16NOV17		10570.00	10576.89	USD	-41.34	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
16AUG17	S 1 PD16NOV17	10590.00	10576.89	USD	78.66	
16AUG17	S 2 PD16NOV17	10620.00	10576.89	USD	517.32	
16AUG17	S 1 PD16NOV17	10630.00	10576.89	USD	318.66	
16AUG17	S 1 PD16NOV17	10640.00	10576.89	USD	378.66	
17AUG17	B 6 PD16NOV17	10726.00	10576.89	USD	-5,367.96	
	NET 0 AVG BGHT 10726.00 SOLD 10611.67				**-4,116.00**	**0.00**
29AUG17	B 1 PD29NOV17	11735.00	10588.40	USD	-6,879.60	
29AUG17	B 1 PD29NOV17	11815.00	10588.40	USD	-7,359.60	
29AUG17	S 1 PD29NOV17	11770.00	10588.40	USD	7,089.60	
29AUG17	S 1 PD29NOV17	11710.00	10588.40	USD	6,729.60	
	NET 0 AVG BGHT 11775.00 SOLD 11740.00				**-420.00**	**0.00**
30AUG17	B 2 PD30NOV17	11595.00	10589.29	USD	-12,068.52	
30AUG17	B 1 PD30NOV17	11590.00	10589.29	USD	-6,004.26	
31AUG17	S 1 PD30NOV17	11665.00	10589.29	USD	6,454.26	
31AUG17	S 1 PD30NOV17	11685.00	10589.29	USD	6,574.26	
31AUG17	S 1 PD30NOV17	11695.00	10589.29	USD	6,634.26	
31AUG17	S 1 PD30NOV17	11725.00	10589.29	USD	6,814.26	
31AUG17	B 1 PD30NOV17	11680.00	10589.29	USD	-6,544.26	
31AUG17	B 1 PD30NOV17	11660.00	10589.29	USD	-6,424.26	
31AUG17	B 3 PD30NOV17	11665.00	10589.29	USD	-19,362.78	
31AUG17	S 3 PD30NOV17	11675.00	10589.29	USD	19,542.78	
31AUG17	B 3 PD30NOV17	11675.00	10589.29	USD	-19,542.78	
31AUG17	B 1 PD30NOV17	11670.00	10589.29	USD	-6,484.26	
31AUG17	S 1 PD30NOV17	11670.00	10589.29	USD	6,484.26	
31AUG17	S 2 PD30NOV17	11680.00	10589.29	USD	13,088.52	
31AUG17	S 1 PD30NOV17	11770.00	10589.29	USD	7,084.26	
31AUG17	S 1 PD30NOV17	11800.00	10589.29	USD	7,264.26	
	NET 0 AVG BGHT 11650.83 SOLD 11699.58				**3,510.00**	**0.00**
08SEP17	B 3 PD08DEC17	11750.00	10596.37	USD	-20,765.34	
08SEP17	B 1 PD08DEC17	11745.00	10596.37	USD	-6,891.78	
08SEP17	B 1 PD08DEC17	11605.00	10596.37	USD	-6,051.78	
08SEP17	B 1 PD08DEC17	11655.00	10596.37	USD	-6,351.78	
08SEP17	B 1 PD08DEC17	11555.00	10596.37	USD	-5,751.78	
08SEP17	B 1 PD08DEC17	11505.00	10596.37	USD	-5,451.78	
13SEP17	S 8 PD08DEC17	11356.46	10596.37	USD	36,484.32	
	NET 0 AVG BGHT 11664.38 SOLD 11356.46				**-14,779.92**	**0.00**
11SEP17	S 1 PD11DEC17	11725.00	10599.03	USD	6,755.82	
11SEP17	S 1 PD11DEC17	11755.00	10599.03	USD	6,935.82	
11SEP17	S 1 PD11DEC17	11715.00	10599.03	USD	6,695.82	
11SEP17	S 2 PD11DEC17	11655.00	10599.03	USD	12,671.64	
11SEP17	S 1 PD11DEC17	11645.00	10599.03	USD	6,275.82	
11SEP17	S 1 PD11DEC17	11660.00	10599.03	USD	6,365.82	
11SEP17	S 1 PD11DEC17	11740.00	10599.03	USD	6,845.82	
13SEP17	B 8 PD11DEC17	11360.00	10599.03	USD	-36,526.56	
	NET 0 AVG BGHT 11360.00 SOLD 11693.75				**16,020.00**	**0.00**
25SEP17	B 1 PD27DEC17	10500.00	10613.58	USD	681.48	
25SEP17	S 1 PD27DEC17	10485.00	10613.58	USD	-771.48	
	NET 0 AVG BGHT 10500.00 SOLD 10485.00				**-90.00**	**0.00**
29SEP17	S 1 PD29DEC17	10425.00	10615.42	USD	-1,142.52	
29SEP17	B 1 PD29DEC17	10620.00	10615.42	USD	-27.48	
	NET 0 AVG BGHT 10620.00 SOLD 10425.00				**-1,170.00**	**0.00**
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
05JUL17	S 1 PD05OCT17	2777.00	3313.50	USD	-13,412.50	
26JUL17	B 1 PD05OCT17	2806.21	3313.50	USD	12,682.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
	NET	**0 AVG BGHT 2806.21**	**SOLD 2777.00**				**-730.25**	**0.00**
06JUL17	S	1 PD06OCT17		2759.50	3310.38	USD	-13,772.00	
06JUL17	S	2 PD06OCT17		2760.00	3310.38	USD	-27,519.00	
06JUL17	S	2 PD06OCT17		2758.50	3310.38	USD	-27,594.00	
06JUL17	B	1 PD06OCT17		2774.50	3310.38	USD	13,397.00	
07JUL17	S	1 PD06OCT17		2789.50	3310.38	USD	-13,022.00	
07JUL17	S	1 PD06OCT17		2799.50	3310.38	USD	-12,772.00	
07JUL17	S	1 PD06OCT17		2801.50	3310.38	USD	-12,722.00	
26JUL17	B	7 PD06OCT17		2806.43	3310.38	USD	88,191.25	
	NET	**0 AVG BGHT 2802.44**	**SOLD 2773.38**				**-5,812.75**	**0.00**
10JUL17	S	2 PD10OCT17		2776.50	3307.25	USD	-26,537.50	
26JUL17	B	2 PD10OCT17		2807.29	3307.25	USD	24,998.00	
	NET	**0 AVG BGHT 2807.29**	**SOLD 2776.50**				**-1,539.50**	**0.00**
11JUL17	S	1 PD11OCT17		2798.50	3304.13	USD	-12,640.75	
26JUL17	B	1 PD11OCT17		2807.50	3304.13	USD	12,415.75	
	NET	**0 AVG BGHT 2807.50**	**SOLD 2798.50**				**-225.00**	**0.00**
13JUL17	S	1 PD13OCT17		2829.50	3297.88	USD	-11,709.50	
14JUL17	S	2 PD13OCT17		2791.50	3297.88	USD	-25,319.00	
26JUL17	B	3 PD13OCT17		2807.93	3297.88	USD	36,746.25	
	NET	**0 AVG BGHT 2807.93**	**SOLD 2804.17**				**-282.25**	**0.00**
17JUL17	B	1 PD17OCT17		2840.00	3291.63	USD	11,290.75	
17JUL17	S	2 PD17OCT17		2835.50	3291.63	USD	-22,806.50	
17JUL17	S	2 PD17OCT17		2839.50	3291.63	USD	-22,606.50	
17JUL17	B	3 PD17OCT17		2818.50	3291.63	USD	35,484.75	
17JUL17	B	2 PD17OCT17		2819.00	3291.63	USD	23,631.50	
17JUL17	S	1 PD17OCT17		2843.00	3291.63	USD	-11,215.75	
17JUL17	S	2 PD17OCT17		2844.00	3291.63	USD	-22,381.50	
17JUL17	S	1 PD17OCT17		2844.50	3291.63	USD	-11,178.25	
17JUL17	S	1 PD17OCT17		2840.00	3291.63	USD	-11,290.75	
17JUL17	S	1 PD17OCT17		2841.00	3291.63	USD	-11,265.75	
26JUL17	B	4 PD17OCT17		2808.79	3291.63	USD	48,284.00	
	NET	**0 AVG BGHT 2816.87**	**SOLD 2840.65**				**5,946.00**	**0.00**
18JUL17	B	2 PD18OCT17		2770.50	3288.50	USD	25,900.00	
18JUL17	B	3 PD18OCT17		2771.00	3288.50	USD	38,812.50	
18JUL17	B	3 PD18OCT17		2771.50	3288.50	USD	38,775.00	
18JUL17	B	1 PD18OCT17		2773.50	3288.50	USD	12,875.00	
18JUL17	B	1 PD18OCT17		2775.50	3288.50	USD	12,825.00	
18JUL17	B	2 PD18OCT17		2776.00	3288.50	USD	25,625.00	
18JUL17	B	1 PD18OCT17		2772.50	3288.50	USD	12,900.00	
18JUL17	B	1 PD18OCT17		2773.00	3288.50	USD	12,887.50	
18JUL17	B	1 PD18OCT17		2774.00	3288.50	USD	12,862.50	
18JUL17	B	3 PD18OCT17		2774.50	3288.50	USD	38,550.00	
18JUL17	S	1 PD18OCT17		2784.50	3288.50	USD	-12,600.00	
18JUL17	S	2 PD18OCT17		2788.50	3288.50	USD	-25,000.00	
18JUL17	S	1 PD18OCT17		2793.00	3288.50	USD	-12,387.50	
18JUL17	S	1 PD18OCT17		2794.50	3288.50	USD	-12,350.00	
18JUL17	S	2 PD18OCT17		2794.00	3288.50	USD	-24,725.00	
18JUL17	S	3 PD18OCT17		2793.50	3288.50	USD	-37,125.00	
18JUL17	S	5 PD18OCT17		2792.00	3288.50	USD	-62,062.50	
18JUL17	S	4 PD18OCT17		2792.50	3288.50	USD	-49,600.00	
18JUL17	S	1 PD18OCT17		2789.00	3288.50	USD	-12,487.50	
18JUL17	S	1 PD18OCT17		2790.00	3288.50	USD	-12,462.50	
26JUL17	B	3 PD18OCT17		2809.00	3288.50	USD	35,962.50	
	NET	**0 AVG BGHT 2778.07**	**SOLD 2791.74**				**7,175.00**	**0.00**
20JUL17	B	1 PD20OCT17		2738.50	3282.50	USD	13,600.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
20JUL17	B	1	PD20OCT17	2740.50	3282.50	USD	13,550.00	
20JUL17	B	2	PD20OCT17	2735.50	3282.50	USD	27,350.00	
20JUL17	B	1	PD20OCT17	2730.00	3282.50	USD	13,812.50	
20JUL17	B	2	PD20OCT17	2728.00	3282.50	USD	27,725.00	
20JUL17	B	2	PD20OCT17	2725.50	3282.50	USD	27,850.00	
20JUL17	B	6	PD20OCT17	2726.50	3282.50	USD	83,400.00	
21JUL17	B	1	PD20OCT17	2754.00	3282.50	USD	13,212.50	
21JUL17	B	1	PD20OCT17	2767.50	3282.50	USD	12,875.00	
21JUL17	B	1	PD20OCT17	2763.00	3282.50	USD	12,987.50	
21JUL17	B	1	PD20OCT17	2770.50	3282.50	USD	12,800.00	
21JUL17	B	1	PD20OCT17	2766.50	3282.50	USD	12,900.00	
21JUL17	B	1	PD20OCT17	2767.00	3282.50	USD	12,887.50	
21JUL17	B	1	PD20OCT17	2755.00	3282.50	USD	13,187.50	
21JUL17	B	3	PD20OCT17	2743.00	3282.50	USD	40,462.50	
21JUL17	B	3	PD20OCT17	2743.50	3282.50	USD	40,425.00	
26JUL17	S	7	PD20OCT17	2807.14	3282.50	USD	-83,188.00	
26JUL17	S	21	PD20OCT17	2806.94	3282.50	USD	-249,669.00	
	NET	**0**	**AVG BGHT 2741.04**	**SOLD 2806.99**			**46,168.00**	**0.00**
24JUL17	B	1	PD24OCT17	2778.00	3280.50	USD	12,562.50	
24JUL17	B	1	PD24OCT17	2778.50	3280.50	USD	12,550.00	
24JUL17	B	1	PD24OCT17	2776.00	3280.50	USD	12,612.50	
24JUL17	B	3	PD24OCT17	2775.50	3280.50	USD	37,875.00	
24JUL17	B	1	PD24OCT17	2780.00	3280.50	USD	12,512.50	
24JUL17	B	3	PD24OCT17	2777.50	3280.50	USD	37,725.00	
24JUL17	B	1	PD24OCT17	2774.00	3280.50	USD	12,662.50	
24JUL17	B	2	PD24OCT17	2784.00	3280.50	USD	24,825.00	
24JUL17	B	2	PD24OCT17	2783.00	3280.50	USD	24,875.00	
24JUL17	B	2	PD24OCT17	2783.50	3280.50	USD	24,850.00	
24JUL17	S	2	PD24OCT17	2787.00	3280.50	USD	-24,675.00	
24JUL17	S	2	PD24OCT17	2787.50	3280.50	USD	-24,650.00	
16AUG17	S	13	PD24OCT17	3117.96	3280.50	USD	-52,825.50	
	NET	**0**	**AVG BGHT 2779.21**	**SOLD 3040.15**			**110,899.50**	**0.00**
25JUL17	S	1	PD25OCT17	2832.00	3279.50	USD	-11,187.50	
25JUL17	S	3	PD25OCT17	2831.50	3279.50	USD	-33,600.00	
25JUL17	S	2	PD25OCT17	2832.50	3279.50	USD	-22,350.00	
25JUL17	S	2	PD25OCT17	2838.00	3279.50	USD	-22,075.00	
25JUL17	S	1	PD25OCT17	2845.50	3279.50	USD	-10,850.00	
25JUL17	S	1	PD25OCT17	2846.50	3279.50	USD	-10,825.00	
25JUL17	B	2	PD25OCT17	2837.50	3279.50	USD	22,100.00	
25JUL17	S	1	PD25OCT17	2842.00	3279.50	USD	-10,937.50	
26JUL17	B	7	PD25OCT17	2808.06	3279.50	USD	82,502.00	
16AUG17	B	2	PD25OCT17	3118.28	3279.50	USD	8,061.00	
	NET	**0**	**AVG BGHT 2869.82**	**SOLD 2836.50**			**-9,162.00**	**0.00**
27JUL17	S	1	PD27OCT17	2822.00	3277.50	USD	-11,387.50	
27JUL17	S	2	PD27OCT17	2825.00	3277.50	USD	-22,625.00	
27JUL17	S	1	PD27OCT17	2830.50	3277.50	USD	-11,175.00	
27JUL17	S	1	PD27OCT17	2830.00	3277.50	USD	-11,187.50	
27JUL17	S	1	PD27OCT17	2824.00	3277.50	USD	-11,337.50	
28JUL17	S	2	PD27OCT17	2789.00	3277.50	USD	-24,425.00	
28JUL17	S	1	PD27OCT17	2793.00	3277.50	USD	-12,112.50	
28JUL17	S	1	PD27OCT17	2794.00	3277.50	USD	-12,087.50	
28JUL17	S	1	PD27OCT17	2783.00	3277.50	USD	-12,362.50	
28JUL17	S	4	PD27OCT17	2792.00	3277.50	USD	-48,550.00	
28JUL17	S	2	PD27OCT17	2795.00	3277.50	USD	-24,125.00	
16AUG17	B	17	PD27OCT17	3118.51	3277.50	USD	67,570.75	
	NET	**0**	**AVG BGHT 3118.51**	**SOLD 2803.68**			**-133,804.25**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
07AUG17	S 1 PD07NOV17	2832.50	3272.00	USD	-10,987.50	
07AUG17	S 1 PD07NOV17	2833.00	3272.00	USD	-10,975.00	
16AUG17	B 2 PD07NOV17	3119.78	3272.00	USD	7,611.00	
	NET 0 AVG BGHT 3119.78 SOLD 2832.75				**-14,351.50**	**0.00**
16AUG17	S 1 PD16NOV17	3032.00	3267.50	USD	-5,887.50	
16AUG17	S 2 PD16NOV17	3032.50	3267.50	USD	-11,750.00	
17AUG17	B 3 PD16NOV17	3062.45	3267.50	USD	15,378.75	
	NET 0 AVG BGHT 3062.45 SOLD 3032.33				**-2,258.75**	**0.00**
29AUG17	B 1 PD29NOV17	3093.00	3265.25	USD	4,306.25	
29AUG17	B 1 PD29NOV17	3110.00	3265.25	USD	3,881.25	
29AUG17	B 1 PD29NOV17	3095.50	3265.25	USD	4,243.75	
29AUG17	B 2 PD29NOV17	3111.00	3265.25	USD	7,712.50	
29AUG17	B 1 PD29NOV17	3097.50	3265.25	USD	4,193.75	
29AUG17	B 1 PD29NOV17	3099.00	3265.25	USD	4,156.25	
29AUG17	B 1 PD29NOV17	3090.50	3265.25	USD	4,368.75	
29AUG17	B 1 PD29NOV17	3091.50	3265.25	USD	4,343.75	
29AUG17	B 1 PD29NOV17	3117.00	3265.25	USD	3,706.25	
29AUG17	B 1 PD29NOV17	3118.00	3265.25	USD	3,681.25	
29AUG17	B 1 PD29NOV17	3122.00	3265.25	USD	3,581.25	
29AUG17	B 4 PD29NOV17	3113.50	3265.25	USD	15,175.00	
29AUG17	B 2 PD29NOV17	3115.00	3265.25	USD	7,512.50	
29AUG17	B 3 PD29NOV17	3114.50	3265.25	USD	11,306.25	
29AUG17	B 2 PD29NOV17	3119.00	3265.25	USD	7,312.50	
29AUG17	B 1 PD29NOV17	3119.50	3265.25	USD	3,643.75	
29AUG17	B 1 PD29NOV17	3122.50	3265.25	USD	3,568.75	
29AUG17	S 25 PD29NOV17	3109.80	3265.25	USD	-97,156.25	
	NET 0 AVG BGHT 3110.54 SOLD 3109.80				**-462.50**	**0.00**
30AUG17	S 1 PD30NOV17	3126.00	3265.36	USD	-3,484.00	
30AUG17	S 1 PD30NOV17	3127.50	3265.36	USD	-3,446.50	
30AUG17	S 1 PD30NOV17	3128.00	3265.36	USD	-3,434.00	
30AUG17	S 1 PD30NOV17	3124.00	3265.36	USD	-3,534.00	
30AUG17	S 2 PD30NOV17	3083.00	3265.36	USD	-9,118.00	
30AUG17	S 1 PD30NOV17	3085.00	3265.36	USD	-4,509.00	
31AUG17	S 1 PD30NOV17	3119.00	3265.36	USD	-3,659.00	
31AUG17	S 1 PD30NOV17	3119.50	3265.36	USD	-3,646.50	
31AUG17	S 1 PD30NOV17	3126.00	3265.36	USD	-3,484.00	
31AUG17	S 1 PD30NOV17	3127.50	3265.36	USD	-3,446.50	
31AUG17	S 1 PD30NOV17	3135.50	3265.36	USD	-3,246.50	
31AUG17	S 1 PD30NOV17	3136.00	3265.36	USD	-3,234.00	
31AUG17	S 1 PD30NOV17	3141.00	3265.36	USD	-3,109.00	
05SEP17	B 14 PD30NOV17	3139.63	3265.36	USD	44,005.50	
	NET 0 AVG BGHT 3139.63 SOLD 3118.64				**-7,345.50**	**0.00**
08SEP17	S 1 PD08DEC17	3092.00	3266.21	USD	-4,355.25	
08SEP17	S 1 PD08DEC17	3095.00	3266.21	USD	-4,280.25	
08SEP17	B 2 PD08DEC17	3071.50	3266.21	USD	9,735.50	
08SEP17	S 2 PD08DEC17	3069.50	3266.21	USD	-9,835.50	
08SEP17	S 2 PD08DEC17	3074.00	3266.21	USD	-9,610.50	
08SEP17	B 2 PD08DEC17	3031.00	3266.21	USD	11,760.50	
08SEP17	B 1 PD08DEC17	3032.00	3266.21	USD	5,855.25	
08SEP17	B 1 PD08DEC17	3033.00	3266.21	USD	5,830.25	
08SEP17	B 4 PD08DEC17	3029.00	3266.21	USD	23,721.00	
08SEP17	B 5 PD08DEC17	3028.00	3266.21	USD	29,776.25	
13SEP17	S 9 PD08DEC17	3023.55	3266.21	USD	-54,598.50	
	NET 0 AVG BGHT 3035.07 SOLD 3045.73				**3,998.75**	**0.00**
11SEP17	S 2 PD11DEC17	3073.00	3266.54	USD	-9,677.00	
11SEP17	S 2 PD11DEC17	3074.00	3266.54	USD	-9,627.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
11SEP17	S 2 PD11DEC17	3076.50	3266.54	USD	-9,502.00	
11SEP17	S 3 PD11DEC17	3093.00	3266.54	USD	-13,015.50	
14SEP17	B 9 PD11DEC17	3005.57	3266.54	USD	58,718.25	
	NET 0 AVG BGHT 3005.57 SOLD 3080.67				**16,896.75**	**0.00**
12SEP17	S 1 PD12DEC17	3082.00	3266.64	USD	-4,616.00	
12SEP17	S 1 PD12DEC17	3081.00	3266.64	USD	-4,641.00	
12SEP17	S 1 PD12DEC17	3086.00	3266.64	USD	-4,516.00	
12SEP17	S 2 PD12DEC17	3080.00	3266.64	USD	-9,332.00	
12SEP17	S 1 PD12DEC17	3088.50	3266.64	USD	-4,453.50	
12SEP17	B 2 PD12DEC17	3068.00	3266.64	USD	9,932.00	
12SEP17	B 2 PD12DEC17	3069.00	3266.64	USD	9,882.00	
12SEP17	B 2 PD12DEC17	3064.00	3266.64	USD	10,132.00	
12SEP17	S 2 PD12DEC17	3082.50	3266.64	USD	-9,207.00	
12SEP17	B 2 PD12DEC17	3062.50	3266.64	USD	10,207.00	
12SEP17	B 1 PD12DEC17	3063.00	3266.64	USD	5,091.00	
12SEP17	B 1 PD12DEC17	3063.50	3266.64	USD	5,078.50	
12SEP17	B 1 PD12DEC17	3065.00	3266.64	USD	5,041.00	
12SEP17	B 4 PD12DEC17	3064.50	3266.64	USD	20,214.00	
12SEP17	S 2 PD12DEC17	3064.00	3266.64	USD	-10,132.00	
14SEP17	S 5 PD12DEC17	3005.51	3266.64	USD	-32,641.25	
	NET 0 AVG BGHT 3065.10 SOLD 3054.54				**-3,961.25**	**0.00**
13SEP17	B 1 PD13DEC17	3035.00	3266.75	USD	5,793.75	
13SEP17	B 1 PD13DEC17	3036.00	3266.75	USD	5,768.75	
13SEP17	B 2 PD13DEC17	3033.00	3266.75	USD	11,687.50	
13SEP17	S 2 PD13DEC17	3039.50	3266.75	USD	-11,362.50	
13SEP17	S 1 PD13DEC17	3038.50	3266.75	USD	-5,706.25	
13SEP17	S 1 PD13DEC17	3039.00	3266.75	USD	-5,693.75	
	NET 0 AVG BGHT 3034.25 SOLD 3039.13				**487.50**	**0.00**
14SEP17	B 3 PD14DEC17	2998.00	3266.86	USD	20,164.50	
14SEP17	B 3 PD14DEC17	2997.00	3266.86	USD	20,239.50	
14SEP17	B 3 PD14DEC17	2996.00	3266.86	USD	20,314.50	
14SEP17	S 9 PD14DEC17	3005.80	3266.86	USD	-58,738.50	
	NET 0 AVG BGHT 2997.00 SOLD 3005.80				**1,980.00**	**0.00**

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	4,342.75
LME Copper Grade A 25	FUTURES	7,353.75
LME Lead 25 tonnes. This is an	FUTURES	-39,281.00
LME Nickel 6 tonnes. This is	FUTURES	-16,993.08
LME Zinc 25 tonnes. This is	FUTURES	13,616.00

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AA

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards			Amount	Profit / Loss	**Total Amount**	**Total P&L**
ALUL	- Futures	USD	4,342.75	4,342.75		
COPL	- Futures	USD	7,353.75	7,353.75		
LEAD	- Futures	USD	-39,281.00	-39,281.00		
NKL	- Futures	USD	-16,993.08	-16,993.08		
ZINC	- Futures	USD	13,616.00	13,616.00	**-30,961.58**	**-30,961.58**

ACCOUNT FINANCIAL SUMMARY

		USD (1.00000)	NETT USD (1.00000)
CASH BALANCE		30,961.58	30,961.58
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**30,961.58**	**30,961.58**
Initial Margins		-30,961.58	-30,961.58
Excess/(Shortage)		**0.00**	**0.00**
FOR INFORMATION ONLY			
Forward Unrealised P&L		-30,961.58	-30,961.58
Net Liquidating Value		**0.00**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AB

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
07JUL17	B 1 PD06OCT17	2297.50	2585.23	USD	7,193.25	
27JUL17	S 1 PD06OCT17	2306.36	2585.23	USD	-6,971.75	
	NET 0 AVG BGHT 2297.50 SOLD 2306.36				**221.50**	**0.00**
10JUL17	B 1 PD10OCT17	2278.50	2586.15	USD	7,691.25	
10JUL17	S 1 PD10OCT17	2278.00	2586.15	USD	-7,703.75	
10JUL17	S 1 PD10OCT17	2291.00	2586.15	USD	-7,378.75	
27JUL17	B 1 PD10OCT17	2307.27	2586.15	USD	6,972.00	
	NET 0 AVG BGHT 2292.89 SOLD 2284.50				**-419.25**	**0.00**
12JUL17	B 1 PD12OCT17	2317.00	2586.62	USD	6,740.50	
12JUL17	S 1 PD12OCT17	2322.00	2586.62	USD	-6,615.50	
	NET 0 AVG BGHT 2317.00 SOLD 2322.00				**125.00**	**0.00**
13JUL17	B 1 PD13OCT17	2314.00	2586.85	USD	6,821.25	
14JUL17	S 1 PD13OCT17	2282.50	2586.85	USD	-7,608.75	
	NET 0 AVG BGHT 2314.00 SOLD 2282.50				**-787.50**	**0.00**
17JUL17	B 1 PD17OCT17	2326.00	2587.77	USD	6,544.25	
27JUL17	S 1 PD17OCT17	2308.32	2587.77	USD	-6,986.25	
	NET 0 AVG BGHT 2326.00 SOLD 2308.32				**-442.00**	**0.00**
18JUL17	S 1 PD18OCT17	2293.00	2588.00	USD	-7,375.00	
18JUL17	B 1 PD18OCT17	2270.00	2588.00	USD	7,950.00	
	NET 0 AVG BGHT 2270.00 SOLD 2293.00				**575.00**	**0.00**
20JUL17	S 1 PD20OCT17	2213.50	2588.00	USD	-9,362.50	
27JUL17	B 1 PD20OCT17	2308.11	2588.00	USD	6,997.25	
	NET 0 AVG BGHT 2308.11 SOLD 2213.50				**-2,365.25**	**0.00**
24JUL17	B 1 PD24OCT17	2248.50	2588.00	USD	8,487.50	
24JUL17	S 1 PD24OCT17	2255.00	2588.00	USD	-8,325.00	
	NET 0 AVG BGHT 2248.50 SOLD 2255.00				**162.50**	**0.00**
25JUL17	B 1 PD25OCT17	2276.00	2588.00	USD	7,800.00	
25JUL17	S 1 PD25OCT17	2313.00	2588.00	USD	-6,875.00	
	NET 0 AVG BGHT 2276.00 SOLD 2313.00				**925.00**	**0.00**
23AUG17	B 3 PD24NOV17	2416.00	2589.16	USD	12,987.00	
	NET +3 AVG BGHT 2416.00				**12,987.00**	**0.00**
21SEP17	S 1 PD21DEC17	2468.50	2592.29	USD	-3,094.75	
21SEP17	S 2 PD21DEC17	2470.00	2592.29	USD	-6,114.50	
	NET -3 AVG SOLD 2469.50				**-9,209.25**	**0.00**
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
04JUL17	B 1 PD04OCT17	9135.00	10531.23	USD	8,377.38	
27JUL17	S 1 PD04OCT17	10122.00	10531.23	USD	-2,455.38	
	NET 0 AVG BGHT 9135.00 SOLD 10122.00				**5,922.00**	**0.00**
14JUL17	S 1 PD13OCT17	9310.00	10542.65	USD	-7,395.90	
27JUL17	B 1 PD13OCT17	10128.14	10542.65	USD	2,487.06	
	NET 0 AVG BGHT 10128.14 SOLD 9310.00				**-4,908.84**	**0.00**
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
04JUL17	B 1 PD04OCT17	2769.50	3316.62	USD	13,678.00	
04JUL17	B 1 PD04OCT17	2780.00	3316.62	USD	13,415.50	
04JUL17	S 1 PD04OCT17	2781.00	3316.62	USD	-13,390.50	
04JUL17	S 1 PD04OCT17	2780.50	3316.62	USD	-13,403.00	
04JUL17	S 1 PD04OCT17	2785.50	3316.62	USD	-13,278.00	
04JUL17	B 1 PD04OCT17	2775.00	3316.62	USD	13,540.50	
04JUL17	B 1 PD04OCT17	2774.50	3316.62	USD	13,553.00	
04JUL17	B 2 PD04OCT17	2800.00	3316.62	USD	25,831.00	
28JUL17	S 3 PD04OCT17	2771.51	3316.62	USD	-40,883.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AB

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
	NET	**0 AVG BGHT 2783.17**	**SOLD 2776.92**				**-936.75**	**0.00**
06JUL17	S	1 PD06OCT17		2766.00	3310.38	USD	-13,609.50	
06JUL17	B	1 PD06OCT17		2786.50	3310.38	USD	13,097.00	
07JUL17	S	1 PD06OCT17		2788.00	3310.38	USD	-13,059.50	
27JUL17	B	1 PD06OCT17		2797.67	3310.38	USD	12,817.75	
	NET	**0 AVG BGHT 2792.09**	**SOLD 2777.00**				**-754.25**	**0.00**
10JUL17	B	1 PD10OCT17		2758.00	3307.25	USD	13,731.25	
10JUL17	S	1 PD10OCT17		2765.00	3307.25	USD	-13,556.25	
10JUL17	B	1 PD10OCT17		2774.00	3307.25	USD	13,331.25	
27JUL17	S	1 PD10OCT17		2798.67	3307.25	USD	-12,714.50	
	NET	**0 AVG BGHT 2766.00**	**SOLD 2781.84**				**791.75**	**0.00**
12JUL17	S	2 PD12OCT17		2823.50	3301.00	USD	-23,875.00	
12JUL17	S	1 PD12OCT17		2830.00	3301.00	USD	-11,775.00	
12JUL17	S	1 PD12OCT17		2842.00	3301.00	USD	-11,475.00	
12JUL17	S	1 PD12OCT17		2857.50	3301.00	USD	-11,087.50	
12JUL17	S	1 PD12OCT17		2860.50	3301.00	USD	-11,012.50	
12JUL17	S	1 PD12OCT17		2861.50	3301.00	USD	-10,987.50	
12JUL17	S	1 PD12OCT17		2859.00	3301.00	USD	-11,050.00	
12JUL17	B	1 PD12OCT17		2844.00	3301.00	USD	11,425.00	
12JUL17	B	1 PD12OCT17		2824.50	3301.00	USD	11,912.50	
27JUL17	B	6 PD12OCT17		2799.46	3301.00	USD	75,231.00	
	NET	**0 AVG BGHT 2808.16**	**SOLD 2844.69**				**7,306.00**	**0.00**
13JUL17	S	1 PD13OCT17		2834.00	3297.88	USD	-11,597.00	
13JUL17	B	1 PD13OCT17		2832.00	3297.88	USD	11,647.00	
13JUL17	B	1 PD13OCT17		2833.50	3297.88	USD	11,609.50	
13JUL17	B	2 PD13OCT17		2835.50	3297.88	USD	23,119.00	
13JUL17	B	2 PD13OCT17		2801.00	3297.88	USD	24,844.00	
13JUL17	B	2 PD13OCT17		2805.00	3297.88	USD	24,644.00	
14JUL17	B	1 PD13OCT17		2782.50	3297.88	USD	12,884.50	
14JUL17	S	2 PD13OCT17		2779.50	3297.88	USD	-25,919.00	
27JUL17	S	6 PD13OCT17		2799.56	3297.88	USD	-74,748.00	
	NET	**0 AVG BGHT 2814.56**	**SOLD 2798.93**				**-3,516.00**	**0.00**
17JUL17	S	1 PD17OCT17		2832.50	3291.63	USD	-11,478.25	
17JUL17	S	1 PD17OCT17		2836.50	3291.63	USD	-11,378.25	
17JUL17	S	1 PD17OCT17		2840.00	3291.63	USD	-11,290.75	
17JUL17	S	2 PD17OCT17		2828.50	3291.63	USD	-23,156.50	
27JUL17	B	5 PD17OCT17		2800.95	3291.63	USD	61,335.00	
	NET	**0 AVG BGHT 2800.95**	**SOLD 2833.20**				**4,031.25**	**0.00**
18JUL17	B	1 PD18OCT17		2798.00	3288.50	USD	12,262.50	
18JUL17	B	2 PD18OCT17		2780.00	3288.50	USD	25,425.00	
18JUL17	S	1 PD18OCT17		2784.00	3288.50	USD	-12,612.50	
27JUL17	S	2 PD18OCT17		2801.05	3288.50	USD	-24,372.50	
	NET	**0 AVG BGHT 2786.00**	**SOLD 2795.37**				**702.50**	**0.00**
20JUL17	B	1 PD20OCT17		2724.50	3282.50	USD	13,950.00	
20JUL17	B	2 PD20OCT17		2729.00	3282.50	USD	27,675.00	
27JUL17	S	3 PD20OCT17		2798.80	3282.50	USD	-36,277.50	
	NET	**0 AVG BGHT 2727.50**	**SOLD 2798.80**				**5,347.50**	**0.00**
24JUL17	S	1 PD24OCT17		2780.00	3280.50	USD	-12,512.50	
24JUL17	S	1 PD24OCT17		2776.00	3280.50	USD	-12,612.50	
24JUL17	B	2 PD24OCT17		2777.00	3280.50	USD	25,175.00	
	NET	**0 AVG BGHT 2777.00**	**SOLD 2778.00**				**50.00**	**0.00**
25JUL17	S	1 PD25OCT17		2807.00	3279.50	USD	-11,812.50	
25JUL17	B	1 PD25OCT17		2836.50	3279.50	USD	11,075.00	
	NET	**0 AVG BGHT 2836.50**	**SOLD 2807.00**				**-737.50**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AB

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
20SEP17	S 3 PD20DEC17	3146.50	3267.50	USD	-9,075.00	
	NET -3 AVG SOLD 3146.50				**-9,075.00**	**0.00**
21SEP17	B 1 PD21DEC17	3079.50	3264.00	USD	4,612.50	
21SEP17	B 1 PD21DEC17	3082.00	3264.00	USD	4,550.00	
21SEP17	B 1 PD21DEC17	3082.50	3264.00	USD	4,537.50	
	NET +3 AVG BGHT 3081.33				**13,700.00**	**0.00**

OPEN POSITION VALUE

		USD
LME Lead 25 tonnes. This is an	FUTURES	2,007.50
LME Nickel 6 tonnes. This is	FUTURES	1,013.16
LME Zinc 25 tonnes. This is	FUTURES	17,172.00

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards			Amount	Profit / Loss	**Total Amount**	**Total P&L**
LEAD	- Futures	USD	1,772.75	1,772.75		
NKL	- Futures	USD	1,013.16	1,013.16		
ZINC	- Futures	USD	16,909.50	16,909.50	**19,695.41**	**19,695.41**

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-20,080.16	-20,080.16
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**-20,080.16**	**-20,080.16**
Initial Margins		0.00	0.00
Excess/(Shortage)		**-20,080.16**	**-20,080.16**
FOR INFORMATION ONLY			
Forward Unrealised P&L		19,695.41	19,695.41
Net Liquidating Value		**-384.75**	**-384.75**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AC

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
04JUL17	S 1 PD04OCT17	1924.50	2113.92	USD	-4,735.50	
04JUL17	S 1 PD04OCT17	1921.00	2113.92	USD	-4,823.00	
20JUL17	B 2 PD04OCT17	1913.88	2113.92	USD	10,002.00	
	NET 0 AVG BGHT 1913.88 SOLD 1922.75				**443.50**	**0.00**
11JUL17	B 1 PD11OCT17	1898.00	2116.25	USD	5,456.25	
11JUL17	S 1 PD11OCT17	1898.00	2116.25	USD	-5,456.25	
11JUL17	B 2 PD11OCT17	1904.00	2116.25	USD	10,612.50	
11JUL17	S 1 PD11OCT17	1890.50	2116.25	USD	-5,643.75	
11JUL17	B 1 PD11OCT17	1899.50	2116.25	USD	5,418.75	
20JUL17	S 2 PD11OCT17	1915.34	2116.25	USD	-10,045.50	
	NET 0 AVG BGHT 1901.38 SOLD 1904.80				**342.00**	**0.00**
13JUL17	S 1 PD13OCT17	1941.00	2116.25	USD	-4,381.25	
13JUL17	S 1 PD13OCT17	1934.00	2116.25	USD	-4,556.25	
20JUL17	B 2 PD13OCT17	1916.02	2116.25	USD	10,011.50	
	NET 0 AVG BGHT 1916.02 SOLD 1937.50				**1,074.00**	**0.00**
01AUG17	B 1 PD01NOV17	1906.00	2117.42	USD	5,285.50	
	NET +1 AVG BGHT 1906.00				**5,285.50**	**0.00**
02AUG17	S 1 PD02NOV17	1912.00	2117.08	USD	-5,127.00	
02AUG17	B 1 PD02NOV17	1926.50	2117.08	USD	4,764.50	
	NET 0 AVG BGHT 1926.50 SOLD 1912.00				**-362.50**	**0.00**
07AUG17	S 1 PD07NOV17	1909.50	2116.08	USD	-5,164.50	
07AUG17	B 1 PD07NOV17	1924.50	2116.08	USD	4,789.50	
07AUG17	S 1 PD07NOV17	1929.00	2116.08	USD	-4,677.00	
07AUG17	B 1 PD07NOV17	1934.00	2116.08	USD	4,552.00	
07AUG17	S 1 PD07NOV17	1944.00	2116.08	USD	-4,302.00	
07AUG17	B 1 PD07NOV17	1955.00	2116.08	USD	4,027.00	
07AUG17	S 1 PD07NOV17	1965.00	2116.08	USD	-3,777.00	
	NET -1 AVG BGHT 1937.83 SOLD 1936.88				**-4,552.00**	**0.00**
09AUG17	B 1 PD09NOV17	2036.00	2116.11	USD	2,002.75	
09AUG17	S 1 PD09NOV17	2034.00	2116.11	USD	-2,052.75	
	NET 0 AVG BGHT 2036.00 SOLD 2034.00				**-50.00**	**0.00**
16AUG17	B 1 PD16NOV17	2060.50	2118.52	USD	1,450.50	
16AUG17	S 1 PD16NOV17	2083.50	2118.52	USD	-875.50	
	NET 0 AVG BGHT 2060.50 SOLD 2083.50				**575.00**	**0.00**
17AUG17	B 1 PD17NOV17	2097.50	2118.79	USD	532.25	
18AUG17	B 2 PD17NOV17	2068.00	2118.79	USD	2,539.50	
18AUG17	S 3 PD17NOV17	2064.00	2118.79	USD	-4,109.25	
	NET 0 AVG BGHT 2077.83 SOLD 2064.00				**-1,037.50**	**0.00**
23AUG17	B 1 PD24NOV17	2073.00	2120.69	USD	1,192.25	
23AUG17	S 1 PD24NOV17	2073.00	2120.69	USD	-1,192.25	
	NET 0 AVG BGHT 2073.00 SOLD 2073.00				**0.00**	**0.00**
30AUG17	B 1 PD30NOV17	2093.00	2122.32	USD	733.00	
30AUG17	S 1 PD30NOV17	2094.00	2122.32	USD	-708.00	
31AUG17	B 1 PD30NOV17	2118.50	2122.32	USD	95.50	
	NET +1 AVG BGHT 2105.75 SOLD 2094.00				**120.50**	**0.00**
01SEP17	S 1 PD01DEC17	2127.00	2122.59	USD	110.25	
	NET -1 AVG SOLD 2127.00				**110.25**	**0.00**
04SEP17	B 1 PD04DEC17	2117.50	2123.41	USD	147.75	
	NET +1 AVG BGHT 2117.50				**147.75**	**0.00**
05SEP17	S 1 PD05DEC17	2104.00	2123.68	USD	-492.00	
	NET -1 AVG SOLD 2104.00				**-492.00**	**0.00**
18SEP17	B 1 PD18DEC17	2092.50	2127.21	USD	867.75	
18SEP17	S 1 PD18DEC17	2090.00	2127.21	USD	-930.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AC

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
18SEP17	B 1 PD18DEC17	2092.00	2127.21	USD	880.25	
	NET +1 AVG BGHT 2092.25 SOLD 2090.00				**817.75**	**0.00**
19SEP17	S 1 PD19DEC17	2106.50	2127.48	USD	-524.50	
	NET -1 AVG SOLD 2106.50				**-524.50**	**0.00**
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
04JUL17	B 1 PD04OCT17	5873.50	6467.48	USD	14,849.50	
04JUL17	S 1 PD04OCT17	5883.50	6467.48	USD	-14,599.50	
	NET 0 AVG BGHT 5873.50 SOLD 5883.50				**250.00**	**0.00**
07JUL17	B 1 PD06OCT17	5827.50	6470.02	USD	16,063.00	
07JUL17	S 1 PD06OCT17	5817.50	6470.02	USD	-16,313.00	
07JUL17	B 1 PD06OCT17	5813.00	6470.02	USD	16,425.50	
07JUL17	S 1 PD06OCT17	5824.00	6470.02	USD	-16,150.50	
	NET 0 AVG BGHT 5820.25 SOLD 5820.75				**25.00**	**0.00**
31AUG17	B 1 PD30NOV17	6805.00	6509.40	USD	-7,390.00	
31AUG17	S 1 PD30NOV17	6864.50	6509.40	USD	8,877.50	
31AUG17	B 1 PD30NOV17	6837.00	6509.40	USD	-8,190.00	
31AUG17	S 1 PD30NOV17	6820.00	6509.40	USD	7,765.00	
	NET 0 AVG BGHT 6821.00 SOLD 6842.25				**1,062.50**	**0.00**
07SEP17	B 1 PD07DEC17	6868.00	6512.57	USD	-8,885.75	
07SEP17	S 1 PD07DEC17	6875.00	6512.57	USD	9,060.75	
	NET 0 AVG BGHT 6868.00 SOLD 6875.00				**175.00**	**0.00**
12SEP17	B 1 PD12DEC17	6690.50	6514.58	USD	-4,398.00	
12SEP17	S 1 PD12DEC17	6643.00	6514.58	USD	3,210.50	
	NET 0 AVG BGHT 6690.50 SOLD 6643.00				**-1,187.50**	**0.00**
20SEP17	B 1 PD20DEC17	6555.50	6517.75	USD	-943.75	
	NET +1 AVG BGHT 6555.50				**-943.75**	**0.00**
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
04JUL17	B 1 PD04OCT17	2308.00	2584.77	USD	6,919.25	
04JUL17	B 1 PD04OCT17	2299.00	2584.77	USD	7,144.25	
04JUL17	B 1 PD04OCT17	2296.00	2584.77	USD	7,219.25	
20JUL17	S 2 PD04OCT17	2210.05	2584.77	USD	-18,736.00	
20JUL17	S 1 PD04OCT17	2210.25	2584.77	USD	-9,363.00	
	NET 0 AVG BGHT 2301.00 SOLD 2210.12				**-6,816.25**	**0.00**
05JUL17	B 1 PD05OCT17	2243.00	2585.00	USD	8,550.00	
05JUL17	B 1 PD05OCT17	2255.00	2585.00	USD	8,250.00	
05JUL17	S 1 PD05OCT17	2252.50	2585.00	USD	-8,312.50	
20JUL17	S 1 PD05OCT17	2210.46	2585.00	USD	-9,363.50	
	NET 0 AVG BGHT 2249.00 SOLD 2231.48				**-876.00**	**0.00**
06JUL17	S 1 PD06OCT17	2258.00	2585.23	USD	-8,180.75	
06JUL17	B 1 PD06OCT17	2262.00	2585.23	USD	8,080.75	
06JUL17	S 1 PD06OCT17	2285.50	2585.23	USD	-7,493.25	
06JUL17	B 1 PD06OCT17	2285.00	2585.23	USD	7,505.75	
07JUL17	S 1 PD06OCT17	2285.00	2585.23	USD	-7,505.75	
07JUL17	B 1 PD06OCT17	2285.00	2585.23	USD	7,505.75	
07JUL17	S 1 PD06OCT17	2282.50	2585.23	USD	-7,568.25	
07JUL17	B 1 PD06OCT17	2276.50	2585.23	USD	7,718.25	
07JUL17	S 1 PD06OCT17	2290.00	2585.23	USD	-7,380.75	
20JUL17	B 1 PD06OCT17	2210.88	2585.23	USD	9,358.75	
	NET 0 AVG BGHT 2263.88 SOLD 2280.20				**2,040.50**	**0.00**
10JUL17	S 1 PD10OCT17	2315.50	2586.15	USD	-6,766.25	
20JUL17	B 1 PD10OCT17	2211.74	2586.15	USD	9,360.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AC

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
	NET 0 AVG BGHT 2211.74 SOLD 2315.50				**2,594.00**	**0.00**
11JUL17	B 1 PD11OCT17	2322.50	2586.38	USD	6,597.00	
11JUL17	B 1 PD11OCT17	2306.50	2586.38	USD	6,997.00	
11JUL17	S 1 PD11OCT17	2306.50	2586.38	USD	-6,997.00	
	NET +1 AVG BGHT 2314.50 SOLD 2306.50				**6,597.00**	**0.00**
17JUL17	B 1 PD17OCT17	2325.00	2587.77	USD	6,569.25	
17JUL17	B 1 PD17OCT17	2332.00	2587.77	USD	6,394.25	
17JUL17	S 1 PD17OCT17	2319.50	2587.77	USD	-6,706.75	
	NET +1 AVG BGHT 2328.50 SOLD 2319.50				**6,256.75**	**0.00**
18JUL17	S 1 PD18OCT17	2285.00	2588.00	USD	-7,575.00	
18JUL17	B 1 PD18OCT17	2273.00	2588.00	USD	7,875.00	
	NET 0 AVG BGHT 2273.00 SOLD 2285.00				**300.00**	**0.00**
20JUL17	S 1 PD20OCT17	2214.00	2588.00	USD	-9,350.00	
21JUL17	B 1 PD20OCT17	2242.00	2588.00	USD	8,650.00	
21JUL17	S 1 PD20OCT17	2229.00	2588.00	USD	-8,975.00	
	NET -1 AVG BGHT 2242.00 SOLD 2221.50				**-9,675.00**	**0.00**
24JUL17	B 1 PD24OCT17	2244.00	2588.00	USD	8,600.00	
24JUL17	S 1 PD24OCT17	2256.00	2588.00	USD	-8,300.00	
	NET 0 AVG BGHT 2244.00 SOLD 2256.00				**300.00**	**0.00**
25JUL17	B 1 PD25OCT17	2275.00	2588.00	USD	7,825.00	
25JUL17	S 1 PD25OCT17	2313.00	2588.00	USD	-6,875.00	
	NET 0 AVG BGHT 2275.00 SOLD 2313.00				**950.00**	**0.00**
26JUL17	S 1 PD26OCT17	2323.00	2588.00	USD	-6,625.00	
	NET -1 AVG SOLD 2323.00				**-6,625.00**	**0.00**
01AUG17	S 1 PD01NOV17	2335.00	2588.00	USD	-6,325.00	
	NET -1 AVG SOLD 2335.00				**-6,325.00**	**0.00**
02AUG17	B 1 PD02NOV17	2328.00	2588.00	USD	6,500.00	
	NET +1 AVG BGHT 2328.00				**6,500.00**	**0.00**
08AUG17	B 1 PD08NOV17	2356.00	2588.00	USD	5,800.00	
	NET +1 AVG BGHT 2356.00				**5,800.00**	**0.00**
09AUG17	B 1 PD09NOV17	2397.00	2588.00	USD	4,775.00	
	NET +1 AVG BGHT 2397.00				**4,775.00**	**0.00**
10AUG17	S 1 PD10NOV17	2388.50	2588.00	USD	-4,987.50	
11AUG17	B 1 PD10NOV17	2351.00	2588.00	USD	5,925.00	
11AUG17	S 1 PD10NOV17	2350.50	2588.00	USD	-5,937.50	
11AUG17	B 1 PD10NOV17	2347.00	2588.00	USD	6,025.00	
	NET 0 AVG BGHT 2349.00 SOLD 2369.50				**1,025.00**	**0.00**
16AUG17	B 1 PD16NOV17	2448.50	2588.13	USD	3,490.75	
16AUG17	S 1 PD16NOV17	2444.50	2588.13	USD	-3,590.75	
	NET 0 AVG BGHT 2448.50 SOLD 2444.50				**-100.00**	**0.00**
18AUG17	S 1 PD17NOV17	2430.00	2588.26	USD	-3,956.50	
18AUG17	S 1 PD17NOV17	2371.00	2588.26	USD	-5,431.50	
18AUG17	B 4 PD17NOV17	2366.00	2588.26	USD	22,226.00	
18AUG17	B 2 PD17NOV17	2364.00	2588.26	USD	11,213.00	
18AUG17	B 4 PD17NOV17	2371.00	2588.26	USD	21,726.00	
	NET +8 AVG BGHT 2367.60 SOLD 2400.50				**45,777.00**	**0.00**
21AUG17	B 1 PD21NOV17	2345.00	2588.77	USD	6,094.25	
	NET +1 AVG BGHT 2345.00				**6,094.25**	**0.00**
22AUG17	S 1 PD22NOV17	2365.50	2588.90	USD	-5,585.00	
22AUG17	B 1 PD22NOV17	2329.00	2588.90	USD	6,497.50	
22AUG17	S 1 PD22NOV17	2357.00	2588.90	USD	-5,797.50	
22AUG17	S 3 PD22NOV17	2391.00	2588.90	USD	-14,842.50	
	NET -4 AVG BGHT 2329.00 SOLD 2379.10					**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AC

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
					-19,727.50	
23AUG17	S 3 PD24NOV17	2416.00	2589.16	USD	-12,987.00	
24AUG17	B 1 PD24NOV17	2362.50	2589.16	USD	5,666.50	
24AUG17	S 1 PD24NOV17	2355.00	2589.16	USD	-5,854.00	
	NET -3 AVG BGHT 2362.50 SOLD 2400.75				**-13,174.50**	**0.00**
29AUG17	S 1 PD29NOV17	2402.00	2589.80	USD	-4,695.00	
29AUG17	B 1 PD29NOV17	2392.50	2589.80	USD	4,932.50	
29AUG17	S 1 PD29NOV17	2397.00	2589.80	USD	-4,820.00	
29AUG17	B 1 PD29NOV17	2383.00	2589.80	USD	5,170.00	
	NET 0 AVG BGHT 2387.75 SOLD 2399.50				**587.50**	**0.00**
31AUG17	B 1 PD30NOV17	2390.00	2589.93	USD	4,998.25	
31AUG17	S 1 PD30NOV17	2388.50	2589.93	USD	-5,035.75	
	NET 0 AVG BGHT 2390.00 SOLD 2388.50				**-37.50**	**0.00**
07SEP17	B 1 PD07DEC17	2332.00	2590.83	USD	6,470.75	
07SEP17	S 1 PD07DEC17	2345.00	2590.83	USD	-6,145.75	
	NET 0 AVG BGHT 2332.00 SOLD 2345.00				**325.00**	**0.00**
14SEP17	S 2 PD14DEC17	2313.00	2591.73	USD	-13,936.50	
	NET -2 AVG SOLD 2313.00				**-13,936.50**	**0.00**
21SEP17	S 2 PD21DEC17	2469.00	2592.29	USD	-6,164.50	
	NET -2 AVG SOLD 2469.00				**-6,164.50**	**0.00**
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
04JUL17	B 1 PD04OCT17	9135.00	10531.23	USD	8,377.38	
04JUL17	S 1 PD04OCT17	9120.00	10531.23	USD	-8,467.38	
	NET 0 AVG BGHT 9135.00 SOLD 9120.00				**-90.00**	**0.00**
07JUL17	B 1 PD06OCT17	9005.00	10533.77	USD	9,172.62	
07JUL17	S 1 PD06OCT17	8995.00	10533.77	USD	-9,232.62	
07JUL17	B 1 PD06OCT17	9010.00	10533.77	USD	9,142.62	
07JUL17	S 1 PD06OCT17	8990.00	10533.77	USD	-9,262.62	
	NET 0 AVG BGHT 9007.50 SOLD 8992.50				**-180.00**	**0.00**
10JUL17	B 1 PD10OCT17	9010.00	10538.85	USD	9,173.10	
10JUL17	B 1 PD10OCT17	8885.00	10538.85	USD	9,923.10	
10JUL17	S 1 PD10OCT17	8915.00	10538.85	USD	-9,743.10	
20JUL17	S 1 PD10OCT17	9490.71	10538.85	USD	-6,288.84	
	NET 0 AVG BGHT 8947.50 SOLD 9202.86				**3,064.26**	**0.00**
11JUL17	S 1 PD11OCT17	9160.00	10540.12	USD	-8,280.72	
20JUL17	B 1 PD11OCT17	9492.38	10540.12	USD	6,286.44	
	NET 0 AVG BGHT 9492.38 SOLD 9160.00				**-1,994.28**	**0.00**
14JUL17	S 1 PD13OCT17	9400.00	10542.65	USD	-6,855.90	
14JUL17	B 1 PD13OCT17	9425.00	10542.65	USD	6,705.90	
	NET 0 AVG BGHT 9425.00 SOLD 9400.00				**-150.00**	**0.00**
23AUG17	S 1 PD24NOV17	11385.00	10583.97	USD	4,806.18	
23AUG17	B 1 PD24NOV17	11400.00	10583.97	USD	-4,896.18	
	NET 0 AVG BGHT 11400.00 SOLD 11385.00				**-90.00**	**0.00**
29AUG17	S 1 PD29NOV17	11795.00	10588.40	USD	7,239.60	
29AUG17	B 1 PD29NOV17	11810.00	10588.40	USD	-7,329.60	
	NET 0 AVG BGHT 11810.00 SOLD 11795.00				**-90.00**	**0.00**
07SEP17	B 1 PD07DEC17	12105.00	10595.49	USD	-9,057.06	
07SEP17	S 1 PD07DEC17	12090.00	10595.49	USD	8,967.06	
	NET 0 AVG BGHT 12105.00 SOLD 12090.00				**-90.00**	**0.00**
08SEP17	B 1 PD08DEC17	11930.00	10596.37	USD	-8,001.78	
08SEP17	S 1 PD08DEC17	11925.00	10596.37	USD	7,971.78	
	NET 0 AVG BGHT 11930.00 SOLD 11925.00					**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AC

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
					-30.00	
22SEP17	B 1 PD22DEC17	10670.00	10609.00	USD	-366.00	
22SEP17	S 1 PD22DEC17	10595.00	10609.00	USD	-84.00	
	NET 0 AVG BGHT 10670.00 SOLD 10595.00				**-450.00**	**0.00**
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
04JUL17	S 1 PD04OCT17	20075.00	20956.50	USD	-4,407.50	
04JUL17	B 1 PD04OCT17	20055.00	20956.50	USD	4,507.50	
	NET 0 AVG BGHT 20055.00 SOLD 20075.00				**100.00**	**0.00**
06JUL17	S 1 PD06OCT17	19740.00	20951.50	USD	-6,057.50	
06JUL17	B 1 PD06OCT17	19695.00	20951.50	USD	6,282.50	
06JUL17	S 1 PD06OCT17	19900.00	20951.50	USD	-5,257.50	
07JUL17	B 2 PD06OCT17	19870.00	20951.50	USD	10,815.00	
07JUL17	B 1 PD06OCT17	19875.00	20951.50	USD	5,382.50	
07JUL17	S 1 PD06OCT17	19855.00	20951.50	USD	-5,482.50	
07JUL17	S 1 PD06OCT17	19870.00	20951.50	USD	-5,407.50	
	NET 0 AVG BGHT 19827.50 SOLD 19841.25				**275.00**	**0.00**
10JUL17	S 1 PD10OCT17	19825.00	20949.00	USD	-5,620.00	
10JUL17	S 2 PD10OCT17	19745.00	20949.00	USD	-12,040.00	
10JUL17	B 1 PD10OCT17	19750.00	20949.00	USD	5,995.00	
10JUL17	B 1 PD10OCT17	19690.00	20949.00	USD	6,295.00	
20JUL17	B 1 PD10OCT17	20099.47	20949.00	USD	4,247.65	
	NET 0 AVG BGHT 19846.49 SOLD 19771.67				**-1,122.35**	**0.00**
12JUL17	B 1 PD12OCT17	19850.00	20944.00	USD	5,470.00	
20JUL17	S 1 PD12OCT17	20095.32	20944.00	USD	-4,243.40	
	NET 0 AVG BGHT 19850.00 SOLD 20095.32				**1,226.60**	**0.00**
13JUL17	S 1 PD13OCT17	19900.00	20941.50	USD	-5,207.50	
13JUL17	B 1 PD13OCT17	19925.00	20941.50	USD	5,082.50	
14JUL17	S 1 PD13OCT17	19850.00	20941.50	USD	-5,457.50	
14JUL17	S 1 PD13OCT17	19870.00	20941.50	USD	-5,357.50	
14JUL17	B 1 PD13OCT17	19830.00	20941.50	USD	5,557.50	
14JUL17	B 1 PD13OCT17	19820.00	20941.50	USD	5,607.50	
	NET 0 AVG BGHT 19858.33 SOLD 19873.33				**225.00**	**0.00**
17JUL17	B 1 PD17OCT17	19885.00	20936.50	USD	5,257.50	
20JUL17	S 1 PD17OCT17	20091.58	20936.50	USD	-4,224.60	
	NET 0 AVG BGHT 19885.00 SOLD 20091.58				**1,032.90**	**0.00**
18JUL17	S 1 PD18OCT17	19960.00	20934.00	USD	-4,870.00	
20JUL17	B 1 PD18OCT17	20091.00	20934.00	USD	4,215.00	
	NET 0 AVG BGHT 20091.00 SOLD 19960.00				**-655.00**	**0.00**
19JUL17	B 1 PD19OCT17	20100.00	20929.00	USD	4,145.00	
19JUL17	S 1 PD19OCT17	20090.00	20929.00	USD	-4,195.00	
19JUL17	S 1 PD19OCT17	20105.00	20929.00	USD	-4,120.00	
19JUL17	B 1 PD19OCT17	20055.00	20929.00	USD	4,370.00	
19JUL17	S 1 PD19OCT17	20095.00	20929.00	USD	-4,170.00	
	NET -1 AVG BGHT 20077.50 SOLD 20096.67				**-3,970.00**	**0.00**
20JUL17	B 1 PD20OCT17	20105.00	20924.00	USD	4,095.00	
20JUL17	S 1 PD20OCT17	20095.00	20924.00	USD	-4,145.00	
20JUL17	B 1 PD20OCT17	20100.00	20924.00	USD	4,120.00	
	NET +1 AVG BGHT 20102.50 SOLD 20095.00				**4,070.00**	**0.00**
25JUL17	S 1 PD25OCT17	20340.00	20909.00	USD	-2,845.00	
	NET -1 AVG SOLD 20340.00				**-2,845.00**	**0.00**
26JUL17	B 1 PD26OCT17	20225.00	20904.00	USD	3,395.00	
26JUL17	B 1 PD26OCT17	20350.00	20904.00	USD	2,770.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AC

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
26JUL17	S 1 PD26OCT17	20330.00	20904.00	USD	-2,870.00	
26JUL17	S 1 PD26OCT17	20360.00	20904.00	USD	-2,720.00	
26JUL17	B 1 PD26OCT17	20375.00	20904.00	USD	2,645.00	
	NET +1 AVG BGHT 20316.67 SOLD 20345.00				**3,220.00**	**0.00**
27JUL17	S 1 PD27OCT17	20695.00	20899.00	USD	-1,020.00	
28JUL17	B 1 PD27OCT17	20580.00	20899.00	USD	1,595.00	
28JUL17	B 1 PD27OCT17	20640.00	20899.00	USD	1,295.00	
28JUL17	S 1 PD27OCT17	20670.00	20899.00	USD	-1,145.00	
	NET 0 AVG BGHT 20610.00 SOLD 20682.50				**725.00**	**0.00**
04AUG17	B 1 PD03NOV17	20525.00	20881.20	USD	1,781.00	
04AUG17	S 1 PD03NOV17	20595.00	20881.20	USD	-1,431.00	
	NET 0 AVG BGHT 20525.00 SOLD 20595.00				**350.00**	**0.00**
15AUG17	B 1 PD15NOV17	19905.00	20870.00	USD	4,825.00	
	NET +1 AVG BGHT 19905.00				**4,825.00**	**0.00**
16AUG17	S 1 PD16NOV17	19970.00	20868.75	USD	-4,493.75	
	NET -1 AVG SOLD 19970.00				**-4,493.75**	**0.00**
21AUG17	B 1 PD21NOV17	20430.00	20865.00	USD	2,175.00	
21AUG17	S 1 PD21NOV17	20380.00	20865.00	USD	-2,425.00	
21AUG17	S 1 PD21NOV17	20415.00	20865.00	USD	-2,250.00	
21AUG17	B 1 PD21NOV17	20340.00	20865.00	USD	2,625.00	
21AUG17	S 1 PD21NOV17	20430.00	20865.00	USD	-2,175.00	
21AUG17	B 1 PD21NOV17	20450.00	20865.00	USD	2,075.00	
	NET 0 AVG BGHT 20406.67 SOLD 20408.33				**25.00**	**0.00**
23AUG17	B 2 PD24NOV17	20285.00	20862.50	USD	5,775.00	
23AUG17	S 1 PD24NOV17	20300.00	20862.50	USD	-2,812.50	
23AUG17	S 1 PD24NOV17	20325.00	20862.50	USD	-2,687.50	
24AUG17	B 1 PD24NOV17	20510.00	20862.50	USD	1,762.50	
24AUG17	S 1 PD24NOV17	20535.00	20862.50	USD	-1,637.50	
25AUG17	B 1 PD24NOV17	20435.00	20862.50	USD	2,137.50	
25AUG17	S 1 PD24NOV17	20395.00	20862.50	USD	-2,337.50	
	NET 0 AVG BGHT 20378.75 SOLD 20388.75				**200.00**	**0.00**
29AUG17	B 2 PD29NOV17	20545.00	20858.75	USD	3,137.50	
29AUG17	S 2 PD29NOV17	20570.00	20858.75	USD	-2,887.50	
29AUG17	B 2 PD29NOV17	20400.00	20858.75	USD	4,587.50	
29AUG17	S 2 PD29NOV17	20450.00	20858.75	USD	-4,087.50	
29AUG17	B 1 PD29NOV17	20365.00	20858.75	USD	2,468.75	
	NET +1 AVG BGHT 20451.00 SOLD 20510.00				**3,218.75**	**0.00**
30AUG17	S 1 PD30NOV17	20410.00	20857.50	USD	-2,237.50	
30AUG17	S 1 PD30NOV17	20640.00	20857.50	USD	-1,087.50	
31AUG17	B 1 PD30NOV17	20595.00	20857.50	USD	1,312.50	
31AUG17	S 1 PD30NOV17	20680.00	20857.50	USD	-887.50	
31AUG17	B 1 PD30NOV17	20700.00	20857.50	USD	787.50	
	NET -1 AVG BGHT 20647.50 SOLD 20576.67				**-2,112.50**	**0.00**
01SEP17	S 1 PD01DEC17	20735.00	20856.25	USD	-606.25	
	NET -1 AVG SOLD 20735.00				**-606.25**	**0.00**
04SEP17	B 1 PD04DEC17	20715.00	20855.00	USD	700.00	
04SEP17	S 1 PD04DEC17	20780.00	20855.00	USD	-375.00	
	NET 0 AVG BGHT 20715.00 SOLD 20780.00				**325.00**	**0.00**
05SEP17	B 1 PD05DEC17	20715.00	20853.75	USD	693.75	
05SEP17	S 1 PD05DEC17	20750.00	20853.75	USD	-518.75	
05SEP17	B 1 PD05DEC17	20685.00	20853.75	USD	843.75	
	NET +1 AVG BGHT 20700.00 SOLD 20750.00				**1,018.75**	**0.00**
06SEP17	B 1 PD06DEC17	20590.00	20852.50	USD	1,312.50	
06SEP17	B 1 PD06DEC17	20645.00	20852.50	USD	1,037.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AC

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
06SEP17	S 2 PD06DEC17	20630.00	20852.50	USD	-2,225.00	
	NET 0 AVG BGHT 20617.50 SOLD 20630.00				**125.00**	**0.00**
08SEP17	B 1 PD08DEC17	20620.00	20850.00	USD	1,150.00	
08SEP17	S 1 PD08DEC17	20520.00	20850.00	USD	-1,650.00	
	NET 0 AVG BGHT 20620.00 SOLD 20520.00				**-500.00**	**0.00**
11SEP17	S 1 PD11DEC17	20715.00	20848.75	USD	-668.75	
11SEP17	B 1 PD11DEC17	20725.00	20848.75	USD	618.75	
	NET 0 AVG BGHT 20725.00 SOLD 20715.00				**-50.00**	**0.00**
13SEP17	B 1 PD13DEC17	20580.00	20846.25	USD	1,331.25	
13SEP17	S 1 PD13DEC17	20510.00	20846.25	USD	-1,681.25	
	NET 0 AVG BGHT 20580.00 SOLD 20510.00				**-350.00**	**0.00**
14SEP17	S 1 PD14DEC17	20590.00	20845.00	USD	-1,275.00	
14SEP17	B 1 PD14DEC17	20630.00	20845.00	USD	1,075.00	
	NET 0 AVG BGHT 20630.00 SOLD 20590.00				**-200.00**	**0.00**
15SEP17	S 1 PD15DEC17	20590.00	20843.75	USD	-1,268.75	
15SEP17	B 1 PD15DEC17	20520.00	20843.75	USD	1,618.75	
	NET 0 AVG BGHT 20520.00 SOLD 20590.00				**350.00**	**0.00**
18SEP17	S 1 PD18DEC17	20680.00	20842.50	USD	-812.50	
18SEP17	B 1 PD18DEC17	20630.00	20842.50	USD	1,062.50	
	NET 0 AVG BGHT 20630.00 SOLD 20680.00				**250.00**	**0.00**
19SEP17	S 1 PD19DEC17	20700.00	20841.25	USD	-706.25	
19SEP17	B 1 PD19DEC17	20735.00	20841.25	USD	531.25	
	NET 0 AVG BGHT 20735.00 SOLD 20700.00				**-175.00**	**0.00**
20SEP17	B 1 PD20DEC17	20650.00	20840.00	USD	950.00	
20SEP17	S 1 PD20DEC17	20665.00	20840.00	USD	-875.00	
	NET 0 AVG BGHT 20650.00 SOLD 20665.00				**75.00**	**0.00**
21SEP17	S 1 PD21DEC17	20580.00	20833.40	USD	-1,267.00	
21SEP17	B 1 PD21DEC17	20525.00	20833.40	USD	1,542.00	
	NET 0 AVG BGHT 20525.00 SOLD 20580.00				**275.00**	**0.00**
22SEP17	S 1 PD22DEC17	20370.00	20826.80	USD	-2,284.00	
22SEP17	S 1 PD22DEC17	20455.00	20826.80	USD	-1,859.00	
22SEP17	B 1 PD22DEC17	20450.00	20826.80	USD	1,884.00	
22SEP17	S 1 PD22DEC17	20515.00	20826.80	USD	-1,559.00	
22SEP17	S 1 PD22DEC17	20625.00	20826.80	USD	-1,009.00	
22SEP17	B 1 PD22DEC17	20445.00	20826.80	USD	1,909.00	
22SEP17	B 1 PD22DEC17	20565.00	20826.80	USD	1,309.00	
22SEP17	S 1 PD22DEC17	20565.00	20826.80	USD	-1,309.00	
22SEP17	B 2 PD22DEC17	20595.00	20826.80	USD	2,318.00	
	NET 0 AVG BGHT 20530.00 SOLD 20506.00				**-600.00**	**0.00**
28SEP17	B 2 PD28DEC17	20720.00	20813.60	USD	936.00	
28SEP17	S 2 PD28DEC17	20715.00	20813.60	USD	-986.00	
28SEP17	S 2 PD28DEC17	20695.00	20813.60	USD	-1,186.00	
28SEP17	B 2 PD28DEC17	20715.00	20813.60	USD	986.00	
	NET 0 AVG BGHT 20717.50 SOLD 20705.00				**-250.00**	**0.00**
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
04JUL17	S 1 PD04OCT17	2779.00	3316.62	USD	-13,440.50	
20JUL17	B 1 PD04OCT17	2725.34	3316.62	USD	14,782.00	
	NET 0 AVG BGHT 2725.34 SOLD 2779.00				**1,341.50**	**0.00**
05JUL17	S 1 PD05OCT17	2746.50	3313.50	USD	-14,175.00	
05JUL17	B 1 PD05OCT17	2743.00	3313.50	USD	14,262.50	
05JUL17	S 1 PD05OCT17	2756.50	3313.50	USD	-13,925.00	
20JUL17	B 1 PD05OCT17	2725.58	3313.50	USD	14,698.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AC

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
	NET	**0**	**AVG BGHT 2734.29 SOLD 2751.50**				**860.50**	**0.00**
06JUL17	B	1	PD06OCT17	2750.50	3310.38	USD	13,997.00	
06JUL17	S	1	PD06OCT17	2764.00	3310.38	USD	-13,659.50	
06JUL17	B	1	PD06OCT17	2778.00	3310.38	USD	13,309.50	
06JUL17	S	1	PD06OCT17	2786.00	3310.38	USD	-13,109.50	
07JUL17	B	1	PD06OCT17	2775.50	3310.38	USD	13,372.00	
07JUL17	S	1	PD06OCT17	2776.50	3310.38	USD	-13,347.00	
07JUL17	B	1	PD06OCT17	2781.50	3310.38	USD	13,222.00	
20JUL17	S	1	PD06OCT17	2725.62	3310.38	USD	-14,619.00	
	NET	**0**	**AVG BGHT 2771.38 SOLD 2763.03**				**-834.50**	**0.00**
10JUL17	B	1	PD10OCT17	2777.00	3307.25	USD	13,256.25	
20JUL17	S	1	PD10OCT17	2726.56	3307.25	USD	-14,517.25	
	NET	**0**	**AVG BGHT 2777.00 SOLD 2726.56**				**-1,261.00**	**0.00**
11JUL17	B	1	PD11OCT17	2768.50	3304.13	USD	13,390.75	
11JUL17	S	1	PD11OCT17	2783.50	3304.13	USD	-13,015.75	
11JUL17	S	1	PD11OCT17	2794.00	3304.13	USD	-12,753.25	
11JUL17	B	1	PD11OCT17	2773.50	3304.13	USD	13,265.75	
11JUL17	S	1	PD11OCT17	2780.50	3304.13	USD	-13,090.75	
11JUL17	S	1	PD11OCT17	2790.50	3304.13	USD	-12,840.75	
11JUL17	S	1	PD11OCT17	2809.00	3304.13	USD	-12,378.25	
20JUL17	B	2	PD11OCT17	2727.00	3304.13	USD	28,856.50	
	NET	**-1**	**AVG BGHT 2749.00 SOLD 2791.50**				**-8,565.75**	**0.00**
13JUL17	B	1	PD13OCT17	2841.00	3297.88	USD	11,422.00	
13JUL17	B	1	PD13OCT17	2803.50	3297.88	USD	12,359.50	
20JUL17	S	2	PD13OCT17	2727.09	3297.88	USD	-28,539.50	
	NET	**0**	**AVG BGHT 2822.25 SOLD 2727.09**				**-4,758.00**	**0.00**
17JUL17	S	1	PD17OCT17	2835.00	3291.63	USD	-11,415.75	
17JUL17	B	1	PD17OCT17	2814.00	3291.63	USD	11,940.75	
17JUL17	S	1	PD17OCT17	2842.50	3291.63	USD	-11,228.25	
	NET	**-1**	**AVG BGHT 2814.00 SOLD 2838.75**				**-10,703.25**	**0.00**
18JUL17	B	1	PD18OCT17	2785.50	3288.50	USD	12,575.00	
18JUL17	S	1	PD18OCT17	2787.50	3288.50	USD	-12,525.00	
	NET	**0**	**AVG BGHT 2785.50 SOLD 2787.50**				**50.00**	**0.00**
20JUL17	B	1	PD20OCT17	2724.50	3282.50	USD	13,950.00	
21JUL17	S	1	PD20OCT17	2771.50	3282.50	USD	-12,775.00	
21JUL17	B	1	PD20OCT17	2743.50	3282.50	USD	13,475.00	
	NET	**+1**	**AVG BGHT 2734.00 SOLD 2771.50**				**14,650.00**	**0.00**
24JUL17	S	1	PD24OCT17	2774.50	3280.50	USD	-12,650.00	
24JUL17	B	1	PD24OCT17	2776.00	3280.50	USD	12,612.50	
	NET	**0**	**AVG BGHT 2776.00 SOLD 2774.50**				**-37.50**	**0.00**
25JUL17	S	1	PD25OCT17	2807.00	3279.50	USD	-11,812.50	
25JUL17	B	1	PD25OCT17	2838.00	3279.50	USD	11,037.50	
	NET	**0**	**AVG BGHT 2838.00 SOLD 2807.00**				**-775.00**	**0.00**
26JUL17	B	1	PD26OCT17	2815.50	3278.50	USD	11,575.00	
	NET	**+1**	**AVG BGHT 2815.50**				**11,575.00**	**0.00**
02AUG17	S	1	PD02NOV17	2804.50	3273.50	USD	-11,725.00	
	NET	**-1**	**AVG SOLD 2804.50**				**-11,725.00**	**0.00**
07AUG17	B	1	PD07NOV17	2790.50	3272.00	USD	12,037.50	
07AUG17	S	1	PD07NOV17	2824.50	3272.00	USD	-11,187.50	
07AUG17	B	1	PD07NOV17	2819.00	3272.00	USD	11,325.00	
07AUG17	S	1	PD07NOV17	2833.50	3272.00	USD	-10,962.50	
07AUG17	B	1	PD07NOV17	2834.50	3272.00	USD	10,937.50	
07AUG17	S	1	PD07NOV17	2864.00	3272.00	USD	-10,200.00	
07AUG17	B	1	PD07NOV17	2867.50	3272.00	USD	10,112.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AC

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
	NET +1 AVG BGHT 2827.88 SOLD 2840.67				**12,062.50**	**0.00**
08AUG17	S 1 PD08NOV17	2875.50	3272.00	USD	-9,912.50	
	NET -1 AVG SOLD 2875.50				**-9,912.50**	**0.00**
09AUG17	S 1 PD09NOV17	2973.00	3272.00	USD	-7,475.00	
09AUG17	B 1 PD09NOV17	2956.00	3272.00	USD	7,900.00	
09AUG17	S 1 PD09NOV17	2969.00	3272.00	USD	-7,575.00	
	NET -1 AVG BGHT 2956.00 SOLD 2971.00				**-7,150.00**	**0.00**
10AUG17	B 1 PD10NOV17	2942.00	3272.00	USD	8,250.00	
11AUG17	S 1 PD10NOV17	2922.50	3272.00	USD	-8,737.50	
11AUG17	B 1 PD10NOV17	2910.50	3272.00	USD	9,037.50	
11AUG17	S 1 PD10NOV17	2904.00	3272.00	USD	-9,200.00	
	NET 0 AVG BGHT 2926.25 SOLD 2913.25				**-650.00**	**0.00**
16AUG17	S 1 PD16NOV17	2989.00	3267.50	USD	-6,962.50	
16AUG17	B 1 PD16NOV17	3054.00	3267.50	USD	5,337.50	
	NET 0 AVG BGHT 3054.00 SOLD 2989.00				**-1,625.00**	**0.00**
17AUG17	S 1 PD17NOV17	3128.00	3265.50	USD	-3,437.50	
18AUG17	B 2 PD17NOV17	3117.50	3265.50	USD	7,400.00	
18AUG17	B 1 PD17NOV17	3123.00	3265.50	USD	3,562.50	
18AUG17	S 4 PD17NOV17	3129.50	3265.50	USD	-13,600.00	
18AUG17	S 2 PD17NOV17	3130.50	3265.50	USD	-6,750.00	
18AUG17	S 4 PD17NOV17	3132.00	3265.50	USD	-13,350.00	
	NET -8 AVG BGHT 3119.33 SOLD 3130.45				**-26,175.00**	**0.00**
21AUG17	S 1 PD21NOV17	3127.00	3264.83	USD	-3,445.75	
	NET -1 AVG SOLD 3127.00				**-3,445.75**	**0.00**
22AUG17	S 1 PD22NOV17	3109.50	3264.50	USD	-3,875.00	
22AUG17	B 1 PD22NOV17	3157.00	3264.50	USD	2,687.50	
22AUG17	B 1 PD22NOV17	3135.50	3264.50	USD	3,225.00	
22AUG17	B 2 PD22NOV17	3134.00	3264.50	USD	6,525.00	
22AUG17	B 1 PD22NOV17	3132.00	3264.50	USD	3,312.50	
	NET +4 AVG BGHT 3138.50 SOLD 3109.50				**11,875.00**	**0.00**
23AUG17	B 1 PD24NOV17	3117.50	3264.71	USD	3,680.25	
23AUG17	B 1 PD24NOV17	3118.50	3264.71	USD	3,655.25	
23AUG17	S 1 PD24NOV17	3143.50	3264.71	USD	-3,030.25	
23AUG17	B 2 PD24NOV17	3119.00	3264.71	USD	7,285.50	
23AUG17	B 1 PD24NOV17	3096.00	3264.71	USD	4,217.75	
24AUG17	S 1 PD24NOV17	3105.00	3264.71	USD	-3,992.75	
24AUG17	B 1 PD24NOV17	3106.00	3264.71	USD	3,967.75	
24AUG17	S 1 PD24NOV17	3102.00	3264.71	USD	-4,067.75	
24AUG17	B 1 PD24NOV17	3113.00	3264.71	USD	3,792.75	
	NET +4 AVG BGHT 3112.71 SOLD 3116.83				**15,508.50**	**0.00**
29AUG17	B 1 PD29NOV17	3123.50	3265.25	USD	3,543.75	
29AUG17	B 1 PD29NOV17	3116.50	3265.25	USD	3,718.75	
29AUG17	S 1 PD29NOV17	3121.50	3265.25	USD	-3,593.75	
29AUG17	S 1 PD29NOV17	3095.00	3265.25	USD	-4,256.25	
	NET 0 AVG BGHT 3120.00 SOLD 3108.25				**-587.50**	**0.00**
30AUG17	S 1 PD30NOV17	3132.50	3265.36	USD	-3,321.50	
30AUG17	B 1 PD30NOV17	3124.00	3265.36	USD	3,534.00	
31AUG17	S 2 PD30NOV17	3107.00	3265.36	USD	-7,918.00	
31AUG17	B 2 PD30NOV17	3119.00	3265.36	USD	7,318.00	
31AUG17	S 2 PD30NOV17	3124.50	3265.36	USD	-7,043.00	
31AUG17	B 2 PD30NOV17	3126.00	3265.36	USD	6,968.00	
31AUG17	S 1 PD30NOV17	3136.50	3265.36	USD	-3,221.50	
31AUG17	B 1 PD30NOV17	3156.00	3265.36	USD	2,734.00	
31AUG17	S 1 PD30NOV17	3156.00	3265.36	USD	-2,734.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AC

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
	NET -1 AVG BGHT 3128.33 SOLD 3126.86				**-3,684.00**	**0.00**
01SEP17	B 1 PD01DEC17	3144.50	3265.46	USD	3,024.00	
	NET +1 AVG BGHT 3144.50				**3,024.00**	**0.00**
04SEP17	S 1 PD04DEC17	3204.00	3265.79	USD	-1,544.75	
	NET -1 AVG SOLD 3204.00				**-1,544.75**	**0.00**
05SEP17	B 1 PD05DEC17	3176.00	3265.89	USD	2,247.25	
	NET +1 AVG BGHT 3176.00				**2,247.25**	**0.00**
07SEP17	S 1 PD07DEC17	3099.50	3266.11	USD	-4,165.25	
07SEP17	B 1 PD07DEC17	3136.50	3266.11	USD	3,240.25	
	NET 0 AVG BGHT 3136.50 SOLD 3099.50				**-925.00**	**0.00**
14SEP17	B 2 PD14DEC17	3007.50	3266.86	USD	12,968.00	
	NET +2 AVG BGHT 3007.50				**12,968.00**	**0.00**
18SEP17	S 1 PD18DEC17	3087.00	3267.29	USD	-4,507.25	
18SEP17	B 1 PD18DEC17	3065.00	3267.29	USD	5,057.25	
18SEP17	S 1 PD18DEC17	3111.00	3267.29	USD	-3,907.25	
	NET -1 AVG BGHT 3065.00 SOLD 3099.00				**-3,357.25**	**0.00**
19SEP17	B 1 PD19DEC17	3105.50	3267.39	USD	4,047.25	
	NET +1 AVG BGHT 3105.50				**4,047.25**	**0.00**
20SEP17	S 1 PD20DEC17	3146.00	3267.50	USD	-3,037.50	
20SEP17	S 1 PD20DEC17	3146.50	3267.50	USD	-3,025.00	
20SEP17	S 1 PD20DEC17	3145.00	3267.50	USD	-3,062.50	
	NET -3 AVG SOLD 3145.83				**-9,125.00**	**0.00**
21SEP17	B 2 PD21DEC17	3082.00	3264.00	USD	9,100.00	
	NET +2 AVG BGHT 3082.00				**9,100.00**	**0.00**

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	1,884.50
LME Copper Grade A 25	FUTURES	-163,562.50
LME Lead 25 tonnes. This is an	FUTURES	7,017.25
LME Nickel 6 tonnes. This is	FUTURES	-100.02
LME Tin 5 tonnes. This is an	FUTURES	4,032.15
LME Zinc 25 tonnes. This is	FUTURES	157,286.00

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards			Amount	Profit / Loss	**Total Amount**	**Total P&L**
ALUL	- Futures	USD	1,897.75	1,897.75		
COPL	- Futures	USD	-618.75	-618.75		
LEAD	- Futures	USD	6,464.25	6,464.25		
NKL	- Futures	USD	-100.02	-100.02		
TIN	- Futures	USD	3,982.15	3,982.15		
ZINC	- Futures	USD	-7,532.25	-7,532.25	**4,093.13**	**4,093.13**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AC

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-9,354.88	-9,354.88
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**-9,354.88**	**-9,354.88**
Initial Margins		-27,190.87	-27,190.87
Excess/(Shortage)		**-36,545.75**	**-36,545.75**
FOR INFORMATION ONLY			
Forward Unrealised P&L		4,093.13	4,093.13
Net Liquidating Value		**-5,261.75**	**-5,261.75**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AD

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
04JUL17	S 1 PD04OCT17	1925.50	2113.92	USD	-4,710.50	
04JUL17	S 1 PD04OCT17	1921.00	2113.92	USD	-4,823.00	
20JUL17	B 2 PD04OCT17	1913.88	2113.92	USD	10,002.00	
	NET 0 AVG BGHT 1913.88 SOLD 1923.25				**468.50**	**0.00**
10JUL17	B 1 PD10OCT17	1924.00	2115.92	USD	4,798.00	
10JUL17	S 1 PD10OCT17	1916.50	2115.92	USD	-4,985.50	
10JUL17	B 1 PD10OCT17	1906.50	2115.92	USD	5,235.50	
20JUL17	S 1 PD10OCT17	1915.11	2115.92	USD	-5,020.25	
	NET 0 AVG BGHT 1915.25 SOLD 1915.81				**27.75**	**0.00**
11JUL17	B 1 PD11OCT17	1903.00	2116.25	USD	5,331.25	
11JUL17	S 1 PD11OCT17	1897.50	2116.25	USD	-5,468.75	
11JUL17	B 1 PD11OCT17	1904.00	2116.25	USD	5,306.25	
11JUL17	S 1 PD11OCT17	1894.00	2116.25	USD	-5,556.25	
11JUL17	S 1 PD11OCT17	1893.50	2116.25	USD	-5,568.75	
11JUL17	B 2 PD11OCT17	1899.50	2116.25	USD	10,837.50	
11JUL17	B 1 PD11OCT17	1901.50	2116.25	USD	5,368.75	
20JUL17	S 2 PD11OCT17	1915.34	2116.25	USD	-10,045.50	
	NET 0 AVG BGHT 1901.50 SOLD 1903.14				**204.50**	**0.00**
12JUL17	S 3 PD12OCT17	1900.50	2116.25	USD	-16,181.25	
20JUL17	B 3 PD12OCT17	1915.78	2116.25	USD	15,035.25	
	NET 0 AVG BGHT 1915.78 SOLD 1900.50				**-1,146.00**	**0.00**
02AUG17	B 1 PD02NOV17	1927.00	2117.08	USD	4,752.00	
	NET +1 AVG BGHT 1927.00				**4,752.00**	**0.00**
03AUG17	S 1 PD03NOV17	1929.00	2116.75	USD	-4,693.75	
04AUG17	B 2 PD03NOV17	1914.00	2116.75	USD	10,137.50	
04AUG17	B 1 PD03NOV17	1906.50	2116.75	USD	5,256.25	
04AUG17	B 2 PD03NOV17	1907.00	2116.75	USD	10,487.50	
04AUG17	S 2 PD03NOV17	1909.00	2116.75	USD	-10,387.50	
04AUG17	S 1 PD03NOV17	1908.50	2116.75	USD	-5,206.25	
04AUG17	S 1 PD03NOV17	1912.00	2116.75	USD	-5,118.75	
04AUG17	S 1 PD03NOV17	1914.00	2116.75	USD	-5,068.75	
	NET -1 AVG BGHT 1909.70 SOLD 1913.58				**-4,593.75**	**0.00**
07AUG17	B 1 PD07NOV17	1951.00	2116.08	USD	4,127.00	
07AUG17	B 1 PD07NOV17	1953.00	2116.08	USD	4,077.00	
07AUG17	S 1 PD07NOV17	1952.50	2116.08	USD	-4,089.50	
07AUG17	S 1 PD07NOV17	1954.00	2116.08	USD	-4,052.00	
	NET 0 AVG BGHT 1952.00 SOLD 1953.25				**62.50**	**0.00**
08AUG17	B 2 PD08NOV17	2011.50	2115.75	USD	5,212.50	
08AUG17	B 1 PD08NOV17	2012.00	2115.75	USD	2,593.75	
08AUG17	S 3 PD08NOV17	2012.00	2115.75	USD	-7,781.25	
	NET 0 AVG BGHT 2011.67 SOLD 2012.00				**25.00**	**0.00**
09AUG17	B 2 PD09NOV17	2035.00	2116.11	USD	4,055.50	
09AUG17	S 1 PD09NOV17	2019.00	2116.11	USD	-2,427.75	
09AUG17	S 1 PD09NOV17	2020.00	2116.11	USD	-2,402.75	
	NET 0 AVG BGHT 2035.00 SOLD 2019.50				**-775.00**	**0.00**
10AUG17	S 1 PD10NOV17	2038.50	2116.46	USD	-1,949.00	
10AUG17	B 1 PD10NOV17	2039.00	2116.46	USD	1,936.50	
11AUG17	S 1 PD10NOV17	2022.00	2116.46	USD	-2,361.50	
11AUG17	B 1 PD10NOV17	2024.00	2116.46	USD	2,311.50	
	NET 0 AVG BGHT 2031.50 SOLD 2030.25				**-62.50**	**0.00**
15AUG17	B 1 PD15NOV17	2047.00	2118.25	USD	1,781.25	
15AUG17	B 1 PD15NOV17	2043.00	2118.25	USD	1,881.25	
15AUG17	S 2 PD15NOV17	2041.00	2118.25	USD	-3,862.50	
	NET 0 AVG BGHT 2045.00 SOLD 2041.00				**-200.00**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AD

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
16AUG17	B 1 PD16NOV17	2066.00	2118.52	USD	1,313.00	
16AUG17	B 1 PD16NOV17	2067.00	2118.52	USD	1,288.00	
16AUG17	S 1 PD16NOV17	2070.00	2118.52	USD	-1,213.00	
16AUG17	B 1 PD16NOV17	2071.50	2118.52	USD	1,175.50	
16AUG17	S 1 PD16NOV17	2075.00	2118.52	USD	-1,088.00	
16AUG17	S 1 PD16NOV17	2076.00	2118.52	USD	-1,063.00	
16AUG17	B 2 PD16NOV17	2086.50	2118.52	USD	1,601.00	
16AUG17	S 2 PD16NOV17	2086.50	2118.52	USD	-1,601.00	
	NET 0 AVG BGHT 2075.50 SOLD 2078.80				**412.50**	**0.00**
31AUG17	B 2 PD30NOV17	2118.00	2122.32	USD	216.00	
31AUG17	S 2 PD30NOV17	2119.00	2122.32	USD	-166.00	
	NET 0 AVG BGHT 2118.00 SOLD 2119.00				**50.00**	**0.00**
15SEP17	B 1 PD15DEC17	2084.50	2126.39	USD	1,047.25	
15SEP17	S 1 PD15DEC17	2082.50	2126.39	USD	-1,097.25	
	NET 0 AVG BGHT 2084.50 SOLD 2082.50				**-50.00**	**0.00**
21SEP17	B 1 PD21DEC17	2174.50	2127.98	USD	-1,163.00	
21SEP17	B 1 PD21DEC17	2175.00	2127.98	USD	-1,175.50	
21SEP17	S 1 PD21DEC17	2195.00	2127.98	USD	1,675.50	
21SEP17	S 1 PD21DEC17	2196.00	2127.98	USD	1,700.50	
21SEP17	B 1 PD21DEC17	2183.50	2127.98	USD	-1,388.00	
21SEP17	B 1 PD21DEC17	2184.00	2127.98	USD	-1,400.50	
21SEP17	S 2 PD21DEC17	2185.00	2127.98	USD	2,851.00	
21SEP17	B 2 PD21DEC17	2176.00	2127.98	USD	-2,401.00	
21SEP17	S 2 PD21DEC17	2175.00	2127.98	USD	2,351.00	
	NET 0 AVG BGHT 2178.17 SOLD 2185.17				**1,050.00**	**0.00**
26SEP17	B 1 PD27DEC17	2143.50	2129.38	USD	-353.00	
26SEP17	B 2 PD27DEC17	2144.00	2129.38	USD	-731.00	
26SEP17	S 3 PD27DEC17	2138.00	2129.38	USD	646.50	
27SEP17	S 1 PD27DEC17	2142.00	2129.38	USD	315.50	
27SEP17	B 1 PD27DEC17	2128.50	2129.38	USD	22.00	
	NET 0 AVG BGHT 2140.00 SOLD 2139.00				**-100.00**	**0.00**
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
04JUL17	B 1 PD04OCT17	5872.00	6467.48	USD	14,887.00	
04JUL17	S 1 PD04OCT17	5883.50	6467.48	USD	-14,599.50	
04JUL17	B 1 PD04OCT17	5901.50	6467.48	USD	14,149.50	
04JUL17	S 1 PD04OCT17	5904.50	6467.48	USD	-14,074.50	
	NET 0 AVG BGHT 5886.75 SOLD 5894.00				**362.50**	**0.00**
05JUL17	B 1 PD05OCT17	5858.50	6468.75	USD	15,256.25	
05JUL17	S 1 PD05OCT17	5860.00	6468.75	USD	-15,218.75	
05JUL17	B 2 PD05OCT17	5821.50	6468.75	USD	32,362.50	
05JUL17	S 1 PD05OCT17	5823.50	6468.75	USD	-16,131.25	
05JUL17	S 1 PD05OCT17	5824.00	6468.75	USD	-16,118.75	
	NET 0 AVG BGHT 5833.83 SOLD 5835.83				**150.00**	**0.00**
06JUL17	B 1 PD06OCT17	5837.00	6470.02	USD	15,825.50	
06JUL17	S 1 PD06OCT17	5834.00	6470.02	USD	-15,900.50	
07JUL17	B 1 PD06OCT17	5866.00	6470.02	USD	15,100.50	
07JUL17	B 1 PD06OCT17	5867.00	6470.02	USD	15,075.50	
07JUL17	B 1 PD06OCT17	5868.00	6470.02	USD	15,050.50	
07JUL17	S 3 PD06OCT17	5863.00	6470.02	USD	-45,526.50	
07JUL17	S 1 PD06OCT17	5827.00	6470.02	USD	-16,075.50	
07JUL17	B 2 PD06OCT17	5827.00	6470.02	USD	32,151.00	
07JUL17	B 1 PD06OCT17	5825.00	6470.02	USD	16,125.50	
07JUL17	S 1 PD06OCT17	5818.00	6470.02	USD	-16,300.50	
07JUL17	S 1 PD06OCT17	5825.00	6470.02	USD	-16,125.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AD

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
	NET 0 AVG BGHT 5845.29 SOLD 5841.86				**-600.00**	**0.00**
13JUL17	B 2 PD13OCT17	5925.00	6478.90	USD	27,695.00	
13JUL17	S 2 PD13OCT17	5920.00	6478.90	USD	-27,945.00	
	NET 0 AVG BGHT 5925.00 SOLD 5920.00				**-250.00**	**0.00**
17JUL17	B 1 PD17OCT17	6010.00	6483.98	USD	11,849.50	
17JUL17	S 1 PD17OCT17	6007.00	6483.98	USD	-11,924.50	
	NET 0 AVG BGHT 6010.00 SOLD 6007.00				**-75.00**	**0.00**
21JUL17	S 1 PD20OCT17	6046.00	6486.75	USD	-11,018.75	
21JUL17	S 1 PD20OCT17	6049.00	6486.75	USD	-10,943.75	
21JUL17	B 1 PD20OCT17	6031.50	6486.75	USD	11,381.25	
21JUL17	B 1 PD20OCT17	6032.50	6486.75	USD	11,356.25	
	NET 0 AVG BGHT 6032.00 SOLD 6047.50				**775.00**	**0.00**
24JUL17	S 1 PD24OCT17	6021.00	6489.75	USD	-11,718.75	
24JUL17	B 1 PD24OCT17	6024.00	6489.75	USD	11,643.75	
	NET 0 AVG BGHT 6024.00 SOLD 6021.00				**-75.00**	**0.00**
25JUL17	S 1 PD25OCT17	6033.00	6490.29	USD	-11,432.25	
25JUL17	B 1 PD25OCT17	6027.00	6490.29	USD	11,582.25	
25JUL17	S 1 PD25OCT17	6161.00	6490.29	USD	-8,232.25	
25JUL17	B 1 PD25OCT17	6166.00	6490.29	USD	8,107.25	
25JUL17	S 2 PD25OCT17	6184.00	6490.29	USD	-15,314.50	
25JUL17	B 1 PD25OCT17	6197.50	6490.29	USD	7,319.75	
25JUL17	B 1 PD25OCT17	6199.00	6490.29	USD	7,282.25	
	NET 0 AVG BGHT 6147.38 SOLD 6140.50				**-687.50**	**0.00**
26JUL17	S 1 PD26OCT17	6341.50	6490.82	USD	-3,733.00	
26JUL17	S 1 PD26OCT17	6343.50	6490.82	USD	-3,683.00	
26JUL17	B 1 PD26OCT17	6347.50	6490.82	USD	3,583.00	
26JUL17	B 1 PD26OCT17	6353.00	6490.82	USD	3,445.50	
26JUL17	S 2 PD26OCT17	6318.50	6490.82	USD	-8,616.00	
26JUL17	B 2 PD26OCT17	6327.50	6490.82	USD	8,166.00	
	NET 0 AVG BGHT 6338.88 SOLD 6330.50				**-837.50**	**0.00**
03AUG17	B 1 PD03NOV17	6346.50	6495.28	USD	3,719.50	
03AUG17	S 1 PD03NOV17	6343.00	6495.28	USD	-3,807.00	
03AUG17	B 1 PD03NOV17	6329.00	6495.28	USD	4,157.00	
03AUG17	B 1 PD03NOV17	6334.50	6495.28	USD	4,019.50	
03AUG17	S 2 PD03NOV17	6332.00	6495.28	USD	-8,164.00	
04AUG17	B 1 PD03NOV17	6324.50	6495.28	USD	4,269.50	
04AUG17	S 1 PD03NOV17	6321.50	6495.28	USD	-4,344.50	
	NET 0 AVG BGHT 6333.63 SOLD 6332.13				**-150.00**	**0.00**
07AUG17	B 1 PD07NOV17	6383.00	6497.66	USD	2,866.50	
07AUG17	S 1 PD07NOV17	6384.50	6497.66	USD	-2,829.00	
	NET 0 AVG BGHT 6383.00 SOLD 6384.50				**37.50**	**0.00**
16AUG17	B 1 PD16NOV17	6469.00	6501.00	USD	800.00	
16AUG17	B 1 PD16NOV17	6470.00	6501.00	USD	775.00	
16AUG17	S 2 PD16NOV17	6467.00	6501.00	USD	-1,700.00	
16AUG17	S 1 PD16NOV17	6460.00	6501.00	USD	-1,025.00	
16AUG17	S 1 PD16NOV17	6457.50	6501.00	USD	-1,087.50	
16AUG17	B 1 PD16NOV17	6450.50	6501.00	USD	1,262.50	
16AUG17	B 1 PD16NOV17	6465.00	6501.00	USD	900.00	
	NET 0 AVG BGHT 6463.63 SOLD 6462.88				**-75.00**	**0.00**
31AUG17	B 1 PD30NOV17	6837.00	6509.40	USD	-8,190.00	
31AUG17	S 1 PD30NOV17	6820.50	6509.40	USD	7,777.50	
	NET 0 AVG BGHT 6837.00 SOLD 6820.50				**-412.50**	**0.00**
06SEP17	B 1 PD06DEC17	6898.50	6512.14	USD	-9,659.00	
06SEP17	S 1 PD06DEC17	6909.00	6512.14	USD	9,921.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AD

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
	NET 0 AVG BGHT 6898.50 SOLD 6909.00				**262.50**	**0.00**
07SEP17	B 1 PD07DEC17	6868.50	6512.57	USD	-8,898.25	
07SEP17	S 1 PD07DEC17	6866.50	6512.57	USD	8,848.25	
07SEP17	B 1 PD07DEC17	6871.00	6512.57	USD	-8,960.75	
07SEP17	S 2 PD07DEC17	6875.00	6512.57	USD	18,121.50	
07SEP17	B 1 PD07DEC17	6868.00	6512.57	USD	-8,885.75	
	NET 0 AVG BGHT 6869.17 SOLD 6872.17				**225.00**	**0.00**
08SEP17	B 1 PD08DEC17	6815.00	6513.00	USD	-7,550.00	
08SEP17	S 1 PD08DEC17	6809.00	6513.00	USD	7,400.00	
08SEP17	B 1 PD08DEC17	6806.50	6513.00	USD	-7,337.50	
08SEP17	S 1 PD08DEC17	6804.00	6513.00	USD	7,275.00	
	NET 0 AVG BGHT 6810.75 SOLD 6806.50				**-212.50**	**0.00**
11SEP17	S 2 PD11DEC17	6709.00	6514.19	USD	9,740.50	
11SEP17	B 1 PD11DEC17	6709.50	6514.19	USD	-4,882.75	
11SEP17	B 1 PD11DEC17	6710.00	6514.19	USD	-4,895.25	
	NET 0 AVG BGHT 6709.75 SOLD 6709.00				**-37.50**	**0.00**
12SEP17	B 1 PD12DEC17	6690.00	6514.58	USD	-4,385.50	
12SEP17	S 2 PD12DEC17	6673.50	6514.58	USD	7,946.00	
12SEP17	B 1 PD12DEC17	6643.00	6514.58	USD	-3,210.50	
	NET 0 AVG BGHT 6666.50 SOLD 6673.50				**350.00**	**0.00**
13SEP17	B 1 PD13DEC17	6553.00	6514.98	USD	-950.50	
13SEP17	S 1 PD13DEC17	6567.50	6514.98	USD	1,313.00	
	NET 0 AVG BGHT 6553.00 SOLD 6567.50				**362.50**	**0.00**
14SEP17	B 1 PD14DEC17	6520.50	6515.38	USD	-128.00	
14SEP17	B 1 PD14DEC17	6524.00	6515.38	USD	-215.50	
14SEP17	S 2 PD14DEC17	6520.00	6515.38	USD	231.00	
	NET 0 AVG BGHT 6522.25 SOLD 6520.00				**-112.50**	**0.00**
20SEP17	S 1 PD20DEC17	6558.50	6517.75	USD	1,018.75	
20SEP17	B 1 PD20DEC17	6551.00	6517.75	USD	-831.25	
20SEP17	S 1 PD20DEC17	6555.00	6517.75	USD	931.25	
20SEP17	S 1 PD20DEC17	6551.50	6517.75	USD	843.75	
20SEP17	B 2 PD20DEC17	6543.50	6517.75	USD	-1,287.50	
	NET 0 AVG BGHT 6546.00 SOLD 6555.00				**675.00**	**0.00**
21SEP17	B 1 PD21DEC17	6465.00	6516.75	USD	1,293.75	
21SEP17	S 1 PD21DEC17	6460.00	6516.75	USD	-1,418.75	
21SEP17	B 1 PD21DEC17	6474.50	6516.75	USD	1,056.25	
21SEP17	S 1 PD21DEC17	6479.00	6516.75	USD	-943.75	
	NET 0 AVG BGHT 6469.75 SOLD 6469.50				**-12.50**	**0.00**
22SEP17	S 2 PD22DEC17	6400.00	6515.75	USD	-5,787.50	
22SEP17	B 1 PD22DEC17	6407.00	6515.75	USD	2,718.75	
22SEP17	B 1 PD22DEC17	6409.50	6515.75	USD	2,656.25	
	NET 0 AVG BGHT 6408.25 SOLD 6400.00				**-412.50**	**0.00**
25SEP17	S 1 PD27DEC17	6472.00	6517.52	USD	-1,138.00	
25SEP17	B 1 PD27DEC17	6475.50	6517.52	USD	1,050.50	
25SEP17	B 1 PD27DEC17	6447.00	6517.52	USD	1,763.00	
25SEP17	S 1 PD27DEC17	6454.50	6517.52	USD	-1,575.50	
25SEP17	B 1 PD27DEC17	6443.50	6517.52	USD	1,850.50	
25SEP17	S 1 PD27DEC17	6450.00	6517.52	USD	-1,688.00	
26SEP17	B 1 PD27DEC17	6501.00	6517.52	USD	413.00	
26SEP17	S 1 PD27DEC17	6500.00	6517.52	USD	-438.00	
	NET 0 AVG BGHT 6466.75 SOLD 6469.13				**237.50**	**0.00**
28SEP17	B 3 PD28DEC17	6572.00	6517.88	USD	-4,059.00	
28SEP17	S 1 PD28DEC17	6562.50	6517.88	USD	1,115.50	
28SEP17	S 1 PD28DEC17	6563.50	6517.88	USD	1,140.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AD

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
28SEP17	S 1 PD28DEC17	6565.00	6517.88	USD	1,178.00	
	NET 0 AVG BGHT 6572.00 SOLD 6563.67				**-625.00**	**0.00**
02OCT17	B 1 PD02JAN18	6501.00	6519.65	USD	466.25	
02OCT17	S 1 PD02JAN18	6482.00	6519.65	USD	-941.25	
	NET 0 AVG BGHT 6501.00 SOLD 6482.00				**-475.00**	**0.00**
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
04JUL17	B 1 PD04OCT17	2308.50	2584.77	USD	6,906.75	
04JUL17	B 1 PD04OCT17	2296.00	2584.77	USD	7,219.25	
04JUL17	B 1 PD04OCT17	2307.50	2584.77	USD	6,931.75	
04JUL17	S 1 PD04OCT17	2298.00	2584.77	USD	-7,169.25	
20JUL17	S 2 PD04OCT17	2210.05	2584.77	USD	-18,736.00	
	NET 0 AVG BGHT 2304.00 SOLD 2239.37				**-4,847.50**	**0.00**
05JUL17	B 1 PD05OCT17	2255.00	2585.00	USD	8,250.00	
05JUL17	S 1 PD05OCT17	2274.50	2585.00	USD	-7,762.50	
	NET 0 AVG BGHT 2255.00 SOLD 2274.50				**487.50**	**0.00**
07JUL17	B 3 PD06OCT17	2300.00	2585.23	USD	21,392.25	
07JUL17	B 1 PD06OCT17	2302.00	2585.23	USD	7,080.75	
07JUL17	B 2 PD06OCT17	2305.50	2585.23	USD	13,986.50	
07JUL17	S 2 PD06OCT17	2299.00	2585.23	USD	-14,311.50	
07JUL17	S 3 PD06OCT17	2299.50	2585.23	USD	-21,429.75	
07JUL17	S 1 PD06OCT17	2301.00	2585.23	USD	-7,105.75	
07JUL17	B 2 PD06OCT17	2285.50	2585.23	USD	14,986.50	
07JUL17	S 1 PD06OCT17	2295.50	2585.23	USD	-7,243.25	
07JUL17	S 1 PD06OCT17	2286.00	2585.23	USD	-7,480.75	
	NET 0 AVG BGHT 2298.00 SOLD 2297.38				**-125.00**	**0.00**
10JUL17	B 1 PD10OCT17	2282.50	2586.15	USD	7,591.25	
10JUL17	S 3 PD10OCT17	2283.50	2586.15	USD	-22,698.75	
10JUL17	B 1 PD10OCT17	2285.00	2586.15	USD	7,528.75	
10JUL17	B 1 PD10OCT17	2284.00	2586.15	USD	7,553.75	
10JUL17	B 1 PD10OCT17	2286.50	2586.15	USD	7,491.25	
10JUL17	S 1 PD10OCT17	2291.00	2586.15	USD	-7,378.75	
	NET 0 AVG BGHT 2284.50 SOLD 2285.38				**87.50**	**0.00**
12JUL17	B 1 PD12OCT17	2338.50	2586.62	USD	6,203.00	
12JUL17	B 1 PD12OCT17	2335.50	2586.62	USD	6,278.00	
12JUL17	B 1 PD12OCT17	2336.00	2586.62	USD	6,265.50	
12JUL17	S 3 PD12OCT17	2335.00	2586.62	USD	-18,871.50	
	NET 0 AVG BGHT 2336.67 SOLD 2335.00				**-125.00**	**0.00**
13JUL17	B 2 PD13OCT17	2322.00	2586.85	USD	13,242.50	
13JUL17	B 1 PD13OCT17	2307.50	2586.85	USD	6,983.75	
14JUL17	S 1 PD13OCT17	2284.00	2586.85	USD	-7,571.25	
	NET +2 AVG BGHT 2317.17 SOLD 2284.00				**12,655.00**	**0.00**
17JUL17	B 1 PD17OCT17	2324.00	2587.77	USD	6,594.25	
17JUL17	S 2 PD17OCT17	2329.50	2587.77	USD	-12,913.50	
17JUL17	B 1 PD17OCT17	2332.50	2587.77	USD	6,381.75	
17JUL17	B 1 PD17OCT17	2321.50	2587.77	USD	6,656.75	
17JUL17	S 1 PD17OCT17	2323.00	2587.77	USD	-6,619.25	
	NET 0 AVG BGHT 2326.00 SOLD 2327.33				**100.00**	**0.00**
18JUL17	S 1 PD18OCT17	2285.00	2588.00	USD	-7,575.00	
18JUL17	B 1 PD18OCT17	2270.00	2588.00	USD	7,950.00	
	NET 0 AVG BGHT 2270.00 SOLD 2285.00				**375.00**	**0.00**
19JUL17	B 1 PD19OCT17	2228.50	2588.00	USD	8,987.50	
19JUL17	B 2 PD19OCT17	2230.00	2588.00	USD	17,900.00	
19JUL17	S 2 PD19OCT17	2229.50	2588.00	USD	-17,925.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AD

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
19JUL17	B	2	PD19OCT17	2213.00	2588.00	USD	18,750.00	
19JUL17	S	2	PD19OCT17	2219.00	2588.00	USD	-18,450.00	
	NET	**+1**	**AVG BGHT 2222.90** **SOLD 2224.25**				**9,262.50**	**0.00**
20JUL17	S	1	PD20OCT17	2224.50	2588.00	USD	-9,087.50	
20JUL17	S	2	PD20OCT17	2216.00	2588.00	USD	-18,600.00	
21JUL17	S	1	PD20OCT17	2249.00	2588.00	USD	-8,475.00	
21JUL17	B	1	PD20OCT17	2248.50	2588.00	USD	8,487.50	
21JUL17	B	1	PD20OCT17	2240.50	2588.00	USD	8,687.50	
21JUL17	B	1	PD20OCT17	2236.50	2588.00	USD	8,787.50	
21JUL17	B	1	PD20OCT17	2237.00	2588.00	USD	8,775.00	
21JUL17	S	1	PD20OCT17	2237.50	2588.00	USD	-8,762.50	
21JUL17	S	2	PD20OCT17	2239.00	2588.00	USD	-17,450.00	
21JUL17	S	1	PD20OCT17	2234.00	2588.00	USD	-8,850.00	
21JUL17	S	1	PD20OCT17	2227.00	2588.00	USD	-9,025.00	
21JUL17	B	2	PD20OCT17	2234.50	2588.00	USD	17,675.00	
	NET	**-3**	**AVG BGHT 2238.58** **SOLD 2231.33**				**-27,837.50**	**0.00**
24JUL17	B	1	PD24OCT17	2258.50	2588.00	USD	8,237.50	
24JUL17	B	2	PD24OCT17	2250.50	2588.00	USD	16,875.00	
24JUL17	B	1	PD24OCT17	2253.00	2588.00	USD	8,375.00	
24JUL17	S	1	PD24OCT17	2251.50	2588.00	USD	-8,412.50	
24JUL17	B	2	PD24OCT17	2243.00	2588.00	USD	17,250.00	
24JUL17	S	1	PD24OCT17	2249.00	2588.00	USD	-8,475.00	
24JUL17	S	1	PD24OCT17	2256.50	2588.00	USD	-8,287.50	
24JUL17	S	1	PD24OCT17	2253.50	2588.00	USD	-8,362.50	
	NET	**+2**	**AVG BGHT 2249.75** **SOLD 2252.63**				**17,200.00**	**0.00**
25JUL17	B	1	PD25OCT17	2254.00	2588.00	USD	8,350.00	
25JUL17	S	1	PD25OCT17	2265.00	2588.00	USD	-8,075.00	
25JUL17	S	2	PD25OCT17	2269.50	2588.00	USD	-15,925.00	
	NET	**-2**	**AVG BGHT 2254.00** **SOLD 2268.00**				**-15,650.00**	**0.00**
07AUG17	B	1	PD07NOV17	2375.50	2588.00	USD	5,312.50	
07AUG17	B	2	PD07NOV17	2376.00	2588.00	USD	10,600.00	
07AUG17	S	1	PD07NOV17	2382.50	2588.00	USD	-5,137.50	
07AUG17	B	1	PD07NOV17	2365.00	2588.00	USD	5,575.00	
07AUG17	B	2	PD07NOV17	2381.00	2588.00	USD	10,350.00	
07AUG17	S	1	PD07NOV17	2365.00	2588.00	USD	-5,575.00	
07AUG17	S	2	PD07NOV17	2363.50	2588.00	USD	-11,225.00	
	NET	**+2**	**AVG BGHT 2375.75** **SOLD 2368.63**				**9,900.00**	**0.00**
08AUG17	B	1	PD08NOV17	2372.50	2588.00	USD	5,387.50	
08AUG17	B	4	PD08NOV17	2385.00	2588.00	USD	20,300.00	
08AUG17	S	5	PD08NOV17	2384.00	2588.00	USD	-25,500.00	
08AUG17	B	3	PD08NOV17	2387.00	2588.00	USD	15,075.00	
08AUG17	B	5	PD08NOV17	2394.00	2588.00	USD	24,250.00	
08AUG17	S	5	PD08NOV17	2393.50	2588.00	USD	-24,312.50	
08AUG17	B	3	PD08NOV17	2393.00	2588.00	USD	14,625.00	
08AUG17	S	5	PD08NOV17	2394.50	2588.00	USD	-24,187.50	
	NET	**+1**	**AVG BGHT 2388.91** **SOLD 2390.67**				**5,637.50**	**0.00**
09AUG17	S	3	PD09NOV17	2390.00	2588.00	USD	-14,850.00	
09AUG17	S	1	PD09NOV17	2353.50	2588.00	USD	-5,862.50	
09AUG17	S	2	PD09NOV17	2355.00	2588.00	USD	-11,650.00	
09AUG17	B	3	PD09NOV17	2365.00	2588.00	USD	16,725.00	
	NET	**-3**	**AVG BGHT 2365.00** **SOLD 2372.25**				**-15,637.50**	**0.00**
11AUG17	B	1	PD10NOV17	2338.00	2588.00	USD	6,250.00	
11AUG17	S	1	PD10NOV17	2339.00	2588.00	USD	-6,225.00	
11AUG17	B	2	PD10NOV17	2336.00	2588.00	USD	12,600.00	
11AUG17	S	2	PD10NOV17	2343.00	2588.00	USD	-12,250.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AD

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
11AUG17	B	1	PD10NOV17	2342.50	2588.00	USD	6,137.50	
11AUG17	B	1	PD10NOV17	2343.50	2588.00	USD	6,112.50	
11AUG17	B	2	PD10NOV17	2332.00	2588.00	USD	12,800.00	
11AUG17	B	1	PD10NOV17	2333.00	2588.00	USD	6,375.00	
11AUG17	S	1	PD10NOV17	2334.50	2588.00	USD	-6,337.50	
11AUG17	S	1	PD10NOV17	2336.00	2588.00	USD	-6,300.00	
11AUG17	S	1	PD10NOV17	2329.00	2588.00	USD	-6,475.00	
	NET	**+2**	**AVG BGHT 2336.63**	**SOLD 2337.42**			**12,687.50**	**0.00**
14AUG17	S	1	PD14NOV17	2337.00	2588.00	USD	-6,275.00	
14AUG17	B	3	PD14NOV17	2340.00	2588.00	USD	18,600.00	
14AUG17	B	1	PD14NOV17	2343.00	2588.00	USD	6,125.00	
14AUG17	S	1	PD14NOV17	2340.50	2588.00	USD	-6,187.50	
14AUG17	S	4	PD14NOV17	2341.50	2588.00	USD	-24,650.00	
14AUG17	B	1	PD14NOV17	2333.50	2588.00	USD	6,362.50	
14AUG17	B	1	PD14NOV17	2338.50	2588.00	USD	6,237.50	
14AUG17	S	2	PD14NOV17	2338.00	2588.00	USD	-12,500.00	
	NET	**-2**	**AVG BGHT 2339.17**	**SOLD 2339.94**			**-12,287.50**	**0.00**
16AUG17	B	3	PD16NOV17	2422.00	2588.13	USD	12,459.75	
16AUG17	B	2	PD16NOV17	2421.50	2588.13	USD	8,331.50	
16AUG17	S	2	PD16NOV17	2423.00	2588.13	USD	-8,256.50	
16AUG17	S	3	PD16NOV17	2429.50	2588.13	USD	-11,897.25	
16AUG17	B	1	PD16NOV17	2431.50	2588.13	USD	3,915.75	
16AUG17	S	1	PD16NOV17	2435.00	2588.13	USD	-3,828.25	
16AUG17	B	2	PD16NOV17	2508.00	2588.13	USD	4,006.50	
16AUG17	S	2	PD16NOV17	2499.50	2588.13	USD	-4,431.50	
	NET	**0**	**AVG BGHT 2444.56**	**SOLD 2446.06**			**300.00**	**0.00**
17AUG17	B	2	PD17NOV17	2481.50	2588.26	USD	5,338.00	
17AUG17	B	3	PD17NOV17	2468.50	2588.26	USD	8,982.00	
17AUG17	B	1	PD17NOV17	2465.00	2588.26	USD	3,081.50	
17AUG17	B	1	PD17NOV17	2464.00	2588.26	USD	3,106.50	
17AUG17	S	1	PD17NOV17	2458.00	2588.26	USD	-3,256.50	
17AUG17	S	1	PD17NOV17	2460.00	2588.26	USD	-3,206.50	
17AUG17	S	1	PD17NOV17	2462.00	2588.26	USD	-3,156.50	
17AUG17	S	4	PD17NOV17	2450.00	2588.26	USD	-13,826.00	
17AUG17	B	1	PD17NOV17	2449.50	2588.26	USD	3,469.00	
17AUG17	B	1	PD17NOV17	2454.50	2588.26	USD	3,344.00	
17AUG17	B	2	PD17NOV17	2455.00	2588.26	USD	6,663.00	
17AUG17	S	2	PD17NOV17	2481.00	2588.26	USD	-5,363.00	
17AUG17	S	2	PD17NOV17	2468.00	2588.26	USD	-6,013.00	
18AUG17	B	1	PD17NOV17	2430.00	2588.26	USD	3,956.50	
18AUG17	B	1	PD17NOV17	2431.00	2588.26	USD	3,931.50	
18AUG17	S	2	PD17NOV17	2428.00	2588.26	USD	-8,013.00	
18AUG17	B	2	PD17NOV17	2439.00	2588.26	USD	7,463.00	
18AUG17	S	2	PD17NOV17	2420.00	2588.26	USD	-8,413.00	
	NET	**0**	**AVG BGHT 2456.70**	**SOLD 2451.60**			**-1,912.50**	**0.00**
23AUG17	B	1	PD24NOV17	2400.00	2589.16	USD	4,729.00	
23AUG17	S	1	PD24NOV17	2403.50	2589.16	USD	-4,641.50	
24AUG17	B	2	PD24NOV17	2367.50	2589.16	USD	11,083.00	
24AUG17	S	1	PD24NOV17	2355.00	2589.16	USD	-5,854.00	
24AUG17	S	2	PD24NOV17	2355.50	2589.16	USD	-11,683.00	
24AUG17	B	1	PD24NOV17	2356.50	2589.16	USD	5,816.50	
	NET	**0**	**AVG BGHT 2372.88**	**SOLD 2367.38**			**-550.00**	**0.00**
31AUG17	B	2	PD30NOV17	2391.50	2589.93	USD	9,921.50	
31AUG17	S	1	PD30NOV17	2380.50	2589.93	USD	-5,235.75	
31AUG17	S	1	PD30NOV17	2379.50	2589.93	USD	-5,260.75	
	NET	**0**	**AVG BGHT 2391.50**	**SOLD 2380.00**			**-575.00**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AD

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT								
07SEP17	B	2	PD07DEC17		2333.50	2590.83	USD	12,866.50	
07SEP17	S	2	PD07DEC17		2338.00	2590.83	USD	-12,641.50	
	NET	**0**	**AVG BGHT 2333.50**	**SOLD 2338.00**				**225.00**	**0.00**
11SEP17	B	1	PD11DEC17		2289.00	2591.34	USD	7,558.50	
11SEP17	S	2	PD11DEC17		2288.00	2591.34	USD	-15,167.00	
11SEP17	B	1	PD11DEC17		2291.00	2591.34	USD	7,508.50	
	NET	**0**	**AVG BGHT 2290.00**	**SOLD 2288.00**				**-100.00**	**0.00**
21SEP17	B	2	PD21DEC17		2468.00	2592.29	USD	6,214.50	
21SEP17	S	5	PD21DEC17		2466.00	2592.29	USD	-15,786.25	
21SEP17	S	1	PD21DEC17		2474.00	2592.29	USD	-2,957.25	
21SEP17	S	1	PD21DEC17		2475.00	2592.29	USD	-2,932.25	
21SEP17	B	1	PD21DEC17		2472.50	2592.29	USD	2,994.75	
21SEP17	B	1	PD21DEC17		2474.00	2592.29	USD	2,957.25	
21SEP17	B	2	PD21DEC17		2475.00	2592.29	USD	5,864.50	
21SEP17	S	2	PD21DEC17		2483.00	2592.29	USD	-5,464.50	
21SEP17	B	1	PD21DEC17		2490.00	2592.29	USD	2,557.25	
21SEP17	B	1	PD21DEC17		2490.50	2592.29	USD	2,544.75	
21SEP17	B	1	PD21DEC17		2466.00	2592.29	USD	3,157.25	
21SEP17	S	2	PD21DEC17		2501.00	2592.29	USD	-4,564.50	
21SEP17	B	2	PD21DEC17		2508.50	2592.29	USD	4,189.50	
21SEP17	S	1	PD21DEC17		2508.50	2592.29	USD	-2,094.75	
21SEP17	S	1	PD21DEC17		2509.50	2592.29	USD	-2,069.75	
21SEP17	B	1	PD21DEC17		2506.50	2592.29	USD	2,144.75	
21SEP17	B	2	PD21DEC17		2510.00	2592.29	USD	4,114.50	
21SEP17	B	1	PD21DEC17		2509.50	2592.29	USD	2,069.75	
21SEP17	S	2	PD21DEC17		2509.00	2592.29	USD	-4,164.50	
	NET	**0**	**AVG BGHT 2488.80**	**SOLD 2485.53**				**-1,225.00**	**0.00**
27SEP17	B	2	PD27DEC17		2460.50	2591.86	USD	6,568.00	
27SEP17	S	1	PD27DEC17		2455.00	2591.86	USD	-3,421.50	
27SEP17	S	1	PD27DEC17		2457.50	2591.86	USD	-3,359.00	
	NET	**0**	**AVG BGHT 2460.50**	**SOLD 2456.25**				**-212.50**	**0.00**
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT								
04JUL17	B	1	PD04OCT17		9135.00	10531.23	USD	8,377.38	
04JUL17	S	1	PD04OCT17		9135.00	10531.23	USD	-8,377.38	
04JUL17	B	1	PD04OCT17		9210.00	10531.23	USD	7,927.38	
04JUL17	S	3	PD04OCT17		9230.00	10531.23	USD	-23,422.14	
04JUL17	B	2	PD04OCT17		9215.00	10531.23	USD	15,794.76	
	NET	**0**	**AVG BGHT 9193.75**	**SOLD 9206.25**				**300.00**	**0.00**
05JUL17	S	1	PD05OCT17		9100.00	10532.50	USD	-8,595.00	
05JUL17	B	1	PD05OCT17		9105.00	10532.50	USD	8,565.00	
	NET	**0**	**AVG BGHT 9105.00**	**SOLD 9100.00**				**-30.00**	**0.00**
10JUL17	B	1	PD10OCT17		9015.00	10538.85	USD	9,143.10	
10JUL17	S	1	PD10OCT17		8940.00	10538.85	USD	-9,593.10	
10JUL17	B	1	PD10OCT17		8940.00	10538.85	USD	9,593.10	
10JUL17	S	1	PD10OCT17		8925.00	10538.85	USD	-9,683.10	
10JUL17	B	1	PD10OCT17		8920.00	10538.85	USD	9,713.10	
10JUL17	S	1	PD10OCT17		8955.00	10538.85	USD	-9,503.10	
	NET	**0**	**AVG BGHT 8958.33**	**SOLD 8940.00**				**-330.00**	**0.00**
11JUL17	B	1	PD11OCT17		9095.00	10540.12	USD	8,670.72	
11JUL17	S	3	PD11OCT17		9095.00	10540.12	USD	-26,012.16	
11JUL17	S	1	PD11OCT17		9085.00	10540.12	USD	-8,730.72	
11JUL17	B	3	PD11OCT17		9100.00	10540.12	USD	25,922.16	
	NET	**0**	**AVG BGHT 9098.75**	**SOLD 9092.50**				**-150.00**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AD

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
14JUL17	S	2	PD13OCT17	9400.00	10542.65	USD	-13,711.80	
14JUL17	B	1	PD13OCT17	9410.00	10542.65	USD	6,795.90	
14JUL17	B	1	PD13OCT17	9420.00	10542.65	USD	6,735.90	
14JUL17	B	2	PD13OCT17	9450.00	10542.65	USD	13,111.80	
14JUL17	S	2	PD13OCT17	9485.00	10542.65	USD	-12,691.80	
	NET	**0**	**AVG BGHT 9432.50**	**SOLD 9442.50**			**240.00**	**0.00**
18JUL17	S	1	PD18OCT17	9645.00	10549.00	USD	-5,424.00	
18JUL17	B	1	PD18OCT17	9630.00	10549.00	USD	5,514.00	
	NET	**0**	**AVG BGHT 9630.00**	**SOLD 9645.00**			**90.00**	**0.00**
21JUL17	S	1	PD20OCT17	9585.00	10550.93	USD	-5,795.58	
21JUL17	B	1	PD20OCT17	9580.00	10550.93	USD	5,825.58	
21JUL17	S	2	PD20OCT17	9635.00	10550.93	USD	-10,991.16	
21JUL17	B	2	PD20OCT17	9600.00	10550.93	USD	11,411.16	
21JUL17	S	1	PD20OCT17	9620.00	10550.93	USD	-5,585.58	
21JUL17	B	1	PD20OCT17	9670.00	10550.93	USD	5,285.58	
	NET	**0**	**AVG BGHT 9612.50**	**SOLD 9618.75**			**150.00**	**0.00**
24JUL17	S	2	PD24OCT17	9620.00	10554.79	USD	-11,217.48	
24JUL17	B	2	PD24OCT17	9640.00	10554.79	USD	10,977.48	
24JUL17	S	1	PD24OCT17	9660.00	10554.79	USD	-5,368.74	
24JUL17	B	1	PD24OCT17	9685.00	10554.79	USD	5,218.74	
24JUL17	B	1	PD24OCT17	9695.00	10554.79	USD	5,158.74	
24JUL17	S	1	PD24OCT17	9685.00	10554.79	USD	-5,218.74	
24JUL17	S	1	PD24OCT17	9725.00	10554.79	USD	-4,978.74	
24JUL17	S	1	PD24OCT17	9735.00	10554.79	USD	-4,918.74	
24JUL17	S	1	PD24OCT17	9760.00	10554.79	USD	-4,768.74	
24JUL17	B	1	PD24OCT17	9760.00	10554.79	USD	4,768.74	
24JUL17	S	1	PD24OCT17	9770.00	10554.79	USD	-4,708.74	
	NET	**-3**	**AVG BGHT 9684.00**	**SOLD 9696.88**			**-15,056.22**	**0.00**
25JUL17	B	3	PD25OCT17	9750.00	10555.75	USD	14,503.50	
25JUL17	B	1	PD25OCT17	9845.00	10555.75	USD	4,264.50	
25JUL17	B	2	PD25OCT17	9850.00	10555.75	USD	8,469.00	
25JUL17	S	3	PD25OCT17	9840.00	10555.75	USD	-12,883.50	
25JUL17	S	2	PD25OCT17	9910.00	10555.75	USD	-7,749.00	
25JUL17	B	2	PD25OCT17	9900.00	10555.75	USD	7,869.00	
25JUL17	B	2	PD25OCT17	9980.00	10555.75	USD	6,909.00	
25JUL17	B	2	PD25OCT17	9950.00	10555.75	USD	7,269.00	
25JUL17	S	1	PD25OCT17	9935.00	10555.75	USD	-3,724.50	
25JUL17	S	1	PD25OCT17	9945.00	10555.75	USD	-3,664.50	
25JUL17	S	2	PD25OCT17	9950.00	10555.75	USD	-7,269.00	
	NET	**+3**	**AVG BGHT 9871.25**	**SOLD 9902.22**			**13,993.50**	**0.00**
26JUL17	B	2	PD26OCT17	9935.00	10556.71	USD	7,460.52	
26JUL17	S	2	PD26OCT17	9935.00	10556.71	USD	-7,460.52	
26JUL17	S	3	PD26OCT17	9920.00	10556.71	USD	-11,460.78	
26JUL17	B	3	PD26OCT17	9925.00	10556.71	USD	11,370.78	
	NET	**0**	**AVG BGHT 9929.00**	**SOLD 9926.00**			**-90.00**	**0.00**
27JUL17	S	2	PD27OCT17	10180.00	10557.68	USD	-4,532.16	
27JUL17	B	1	PD27OCT17	10220.00	10557.68	USD	2,026.08	
27JUL17	S	3	PD27OCT17	10205.00	10557.68	USD	-6,348.24	
27JUL17	B	1	PD27OCT17	10175.00	10557.68	USD	2,296.08	
27JUL17	B	1	PD27OCT17	10190.00	10557.68	USD	2,206.08	
27JUL17	B	1	PD27OCT17	10195.00	10557.68	USD	2,176.08	
27JUL17	S	2	PD27OCT17	10195.00	10557.68	USD	-4,352.16	
27JUL17	B	2	PD27OCT17	10200.00	10557.68	USD	4,292.16	
27JUL17	B	1	PD27OCT17	10165.00	10557.68	USD	2,356.08	
27JUL17	S	2	PD27OCT17	10160.00	10557.68	USD	-4,772.16	
27JUL17	B	2	PD27OCT17	10185.00	10557.68	USD	4,472.16	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AD

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
27JUL17	S 1 PD27OCT17	10190.00	10557.68	USD	-2,206.08	
27JUL17	B 1 PD27OCT17	10155.00	10557.68	USD	2,416.08	
	NET 0 AVG BGHT 10187.00 SOLD 10187.50				**30.00**	**0.00**
03AUG17	B 1 PD03NOV17	10265.00	10564.43	USD	1,796.58	
03AUG17	B 2 PD03NOV17	10275.00	10564.43	USD	3,473.16	
03AUG17	B 1 PD03NOV17	10305.00	10564.43	USD	1,556.58	
03AUG17	S 4 PD03NOV17	10305.00	10564.43	USD	-6,226.32	
04AUG17	B 2 PD03NOV17	10195.00	10564.43	USD	4,433.16	
04AUG17	S 2 PD03NOV17	10215.00	10564.43	USD	-4,193.16	
04AUG17	B 1 PD03NOV17	10205.00	10564.43	USD	2,156.58	
04AUG17	S 1 PD03NOV17	10210.00	10564.43	USD	-2,126.58	
04AUG17	B 1 PD03NOV17	10295.00	10564.43	USD	1,616.58	
04AUG17	S 1 PD03NOV17	10290.00	10564.43	USD	-1,646.58	
	NET 0 AVG BGHT 10251.25 SOLD 10268.75				**840.00**	**0.00**
08AUG17	S 2 PD08NOV17	10340.00	10569.25	USD	-2,751.00	
08AUG17	B 1 PD08NOV17	10280.00	10569.25	USD	1,735.50	
08AUG17	B 1 PD08NOV17	10290.00	10569.25	USD	1,675.50	
08AUG17	S 1 PD08NOV17	10325.00	10569.25	USD	-1,465.50	
08AUG17	B 1 PD08NOV17	10325.00	10569.25	USD	1,465.50	
08AUG17	B 1 PD08NOV17	10305.00	10569.25	USD	1,585.50	
08AUG17	B 1 PD08NOV17	10310.00	10569.25	USD	1,555.50	
08AUG17	S 2 PD08NOV17	10300.00	10569.25	USD	-3,231.00	
08AUG17	B 1 PD08NOV17	10500.00	10569.25	USD	415.50	
08AUG17	S 1 PD08NOV17	10470.00	10569.25	USD	-595.50	
08AUG17	B 1 PD08NOV17	10560.00	10569.25	USD	55.50	
08AUG17	S 1 PD08NOV17	10590.00	10569.25	USD	124.50	
08AUG17	S 1 PD08NOV17	10595.00	10569.25	USD	154.50	
08AUG17	B 1 PD08NOV17	10610.00	10569.25	USD	-244.50	
	NET 0 AVG BGHT 10397.50 SOLD 10407.50				**480.00**	**0.00**
09AUG17	S 1 PD09NOV17	10770.00	10570.21	USD	1,198.74	
09AUG17	B 4 PD09NOV17	10800.00	10570.21	USD	-5,514.96	
09AUG17	S 3 PD09NOV17	10795.00	10570.21	USD	4,046.22	
	NET 0 AVG BGHT 10800.00 SOLD 10788.75				**-270.00**	**0.00**
10AUG17	S 1 PD10NOV17	10830.00	10571.18	USD	1,552.92	
10AUG17	B 1 PD10NOV17	10845.00	10571.18	USD	-1,642.92	
10AUG17	B 1 PD10NOV17	10870.00	10571.18	USD	-1,792.92	
10AUG17	B 1 PD10NOV17	10885.00	10571.18	USD	-1,882.92	
10AUG17	B 1 PD10NOV17	10900.00	10571.18	USD	-1,972.92	
10AUG17	S 3 PD10NOV17	10855.00	10571.18	USD	5,108.76	
11AUG17	B 1 PD10NOV17	10765.00	10571.18	USD	-1,162.92	
11AUG17	B 2 PD10NOV17	10735.00	10571.18	USD	-1,965.84	
11AUG17	B 2 PD10NOV17	10750.00	10571.18	USD	-2,145.84	
11AUG17	S 3 PD10NOV17	10730.00	10571.18	USD	2,858.76	
11AUG17	S 2 PD10NOV17	10705.00	10571.18	USD	1,605.84	
11AUG17	S 2 PD10NOV17	10720.00	10571.18	USD	1,785.84	
11AUG17	B 2 PD10NOV17	10660.00	10571.18	USD	-1,065.84	
11AUG17	B 2 PD10NOV17	10625.00	10571.18	USD	-645.84	
11AUG17	S 1 PD10NOV17	10620.00	10571.18	USD	292.92	
11AUG17	S 1 PD10NOV17	10655.00	10571.18	USD	502.92	
	NET 0 AVG BGHT 10754.23 SOLD 10746.92				**-570.00**	**0.00**
15AUG17	S 1 PD15NOV17	10490.00	10576.00	USD	-516.00	
15AUG17	S 1 PD15NOV17	10500.00	10576.00	USD	-456.00	
15AUG17	B 1 PD15NOV17	10450.00	10576.00	USD	756.00	
15AUG17	B 1 PD15NOV17	10460.00	10576.00	USD	696.00	
15AUG17	B 3 PD15NOV17	10435.00	10576.00	USD	2,538.00	
15AUG17	S 3 PD15NOV17	10450.00	10576.00	USD	-2,268.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AD

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT								
	NET	**0**	**AVG BGHT 10443.00**	**SOLD 10468.00**				**750.00**	**0.00**
16AUG17	B	1	PD16NOV17		10535.00	10576.89	USD	251.34	
16AUG17	B	1	PD16NOV17		10540.00	10576.89	USD	221.34	
16AUG17	B	1	PD16NOV17		10545.00	10576.89	USD	191.34	
16AUG17	S	3	PD16NOV17		10535.00	10576.89	USD	-754.02	
16AUG17	B	2	PD16NOV17		10580.00	10576.89	USD	-37.32	
16AUG17	S	2	PD16NOV17		10555.00	10576.89	USD	-262.68	
16AUG17	S	2	PD16NOV17		10585.00	10576.89	USD	97.32	
16AUG17	B	1	PD16NOV17		10595.00	10576.89	USD	-108.66	
16AUG17	B	1	PD16NOV17		10605.00	10576.89	USD	-168.66	
16AUG17	S	3	PD16NOV17		10625.00	10576.89	USD	865.98	
16AUG17	S	1	PD16NOV17		10635.00	10576.89	USD	348.66	
16AUG17	B	1	PD16NOV17		10640.00	10576.89	USD	-378.66	
16AUG17	S	1	PD16NOV17		10640.00	10576.89	USD	378.66	
16AUG17	B	1	PD16NOV17		10590.00	10576.89	USD	-78.66	
16AUG17	B	2	PD16NOV17		10690.00	10576.89	USD	-1,357.32	
16AUG17	S	2	PD16NOV17		10680.00	10576.89	USD	1,237.32	
16AUG17	S	1	PD16NOV17		10735.00	10576.89	USD	948.66	
16AUG17	S	2	PD16NOV17		10745.00	10576.89	USD	2,017.32	
16AUG17	B	3	PD16NOV17		10635.00	10576.89	USD	-1,045.98	
16AUG17	S	4	PD16NOV17		10770.00	10576.89	USD	4,634.64	
16AUG17	B	7	PD16NOV17		10755.00	10576.89	USD	-7,480.62	
	NET	**0**	**AVG BGHT 10656.19**	**SOLD 10652.38**				**-480.00**	**0.00**
18AUG17	B	1	PD17NOV17		10805.00	10577.77	USD	-1,363.38	
18AUG17	B	3	PD17NOV17		10825.00	10577.77	USD	-4,450.14	
18AUG17	B	1	PD17NOV17		10835.00	10577.77	USD	-1,543.38	
18AUG17	B	1	PD17NOV17		10845.00	10577.77	USD	-1,603.38	
18AUG17	S	1	PD17NOV17		10800.00	10577.77	USD	1,333.38	
18AUG17	S	2	PD17NOV17		10805.00	10577.77	USD	2,726.76	
18AUG17	S	1	PD17NOV17		10810.00	10577.77	USD	1,393.38	
18AUG17	S	2	PD17NOV17		10855.00	10577.77	USD	3,326.76	
18AUG17	S	1	PD17NOV17		11010.00	10577.77	USD	2,593.38	
18AUG17	B	1	PD17NOV17		10960.00	10577.77	USD	-2,293.38	
	NET	**0**	**AVG BGHT 10845.71**	**SOLD 10848.57**				**120.00**	**0.00**
21AUG17	B	1	PD21NOV17		11155.00	10581.31	USD	-3,442.14	
21AUG17	S	1	PD21NOV17		11155.00	10581.31	USD	3,442.14	
21AUG17	B	1	PD21NOV17		11280.00	10581.31	USD	-4,192.14	
21AUG17	S	1	PD21NOV17		11265.00	10581.31	USD	4,102.14	
21AUG17	B	2	PD21NOV17		11320.00	10581.31	USD	-8,864.28	
21AUG17	B	1	PD21NOV17		11350.00	10581.31	USD	-4,612.14	
21AUG17	B	1	PD21NOV17		11355.00	10581.31	USD	-4,642.14	
21AUG17	S	2	PD21NOV17		11330.00	10581.31	USD	8,984.28	
21AUG17	S	2	PD21NOV17		11345.00	10581.31	USD	9,164.28	
	NET	**0**	**AVG BGHT 11296.67**	**SOLD 11295.00**				**-60.00**	**0.00**
23AUG17	B	2	PD24NOV17		11400.00	10583.97	USD	-9,792.36	
23AUG17	B	1	PD24NOV17		11420.00	10583.97	USD	-5,016.18	
23AUG17	B	2	PD24NOV17		11440.00	10583.97	USD	-10,272.36	
23AUG17	B	2	PD24NOV17		11470.00	10583.97	USD	-10,632.36	
23AUG17	S	3	PD24NOV17		11395.00	10583.97	USD	14,598.54	
23AUG17	S	3	PD24NOV17		11435.00	10583.97	USD	15,318.54	
23AUG17	S	1	PD24NOV17		11440.00	10583.97	USD	5,136.18	
23AUG17	S	3	PD24NOV17		11470.00	10583.97	USD	15,948.54	
23AUG17	B	1	PD24NOV17		11495.00	10583.97	USD	-5,466.18	
23AUG17	S	1	PD24NOV17		11550.00	10583.97	USD	5,796.18	
23AUG17	B	3	PD24NOV17		11490.00	10583.97	USD	-16,308.54	
23AUG17	B	1	PD24NOV17		11685.00	10583.97	USD	-6,606.18	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AD

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
23AUG17	S	1	PD24NOV17	11670.00	10583.97	USD	6,516.18	
23AUG17	S	1	PD24NOV17	11795.00	10583.97	USD	7,266.18	
23AUG17	S	1	PD24NOV17	11815.00	10583.97	USD	7,386.18	
23AUG17	B	1	PD24NOV17	11755.00	10583.97	USD	-7,026.18	
23AUG17	S	1	PD24NOV17	11785.00	10583.97	USD	7,206.18	
23AUG17	B	1	PD24NOV17	11785.00	10583.97	USD	-7,206.18	
24AUG17	B	1	PD24NOV17	11700.00	10583.97	USD	-6,696.18	
24AUG17	B	2	PD24NOV17	11710.00	10583.97	USD	-13,512.36	
24AUG17	B	1	PD24NOV17	11720.00	10583.97	USD	-6,816.18	
24AUG17	B	1	PD24NOV17	11725.00	10583.97	USD	-6,846.18	
24AUG17	S	4	PD24NOV17	11710.00	10583.97	USD	27,024.72	
25AUG17	B	1	PD24NOV17	11515.00	10583.97	USD	-5,586.18	
25AUG17	S	1	PD24NOV17	11515.00	10583.97	USD	5,586.18	
25AUG17	B	2	PD24NOV17	11420.00	10583.97	USD	-10,032.36	
25AUG17	B	1	PD24NOV17	11445.00	10583.97	USD	-5,166.18	
25AUG17	S	2	PD24NOV17	11460.00	10583.97	USD	10,512.36	
25AUG17	S	1	PD24NOV17	11440.00	10583.97	USD	5,136.18	
	NET	**0**	**AVG BGHT 11547.61**	**SOLD 11550.87**			**450.00**	**0.00**
29AUG17	B	1	PD29NOV17	11805.00	10588.40	USD	-7,299.60	
29AUG17	B	1	PD29NOV17	11815.00	10588.40	USD	-7,359.60	
29AUG17	S	2	PD29NOV17	11835.00	10588.40	USD	14,959.20	
29AUG17	B	1	PD29NOV17	11825.00	10588.40	USD	-7,419.60	
29AUG17	S	1	PD29NOV17	11820.00	10588.40	USD	7,389.60	
29AUG17	S	3	PD29NOV17	11800.00	10588.40	USD	21,808.80	
29AUG17	B	2	PD29NOV17	11800.00	10588.40	USD	-14,539.20	
29AUG17	B	1	PD29NOV17	11810.00	10588.40	USD	-7,329.60	
29AUG17	B	1	PD29NOV17	11670.00	10588.40	USD	-6,489.60	
29AUG17	B	2	PD29NOV17	11705.00	10588.40	USD	-13,399.20	
29AUG17	S	2	PD29NOV17	11695.00	10588.40	USD	13,279.20	
29AUG17	S	1	PD29NOV17	11675.00	10588.40	USD	6,519.60	
	NET	**0**	**AVG BGHT 11770.56**	**SOLD 11772.78**			**120.00**	**0.00**
01SEP17	B	1	PD01DEC17	11855.00	10590.17	USD	-7,588.98	
01SEP17	B	1	PD01DEC17	11895.00	10590.17	USD	-7,828.98	
01SEP17	S	1	PD01DEC17	11885.00	10590.17	USD	7,768.98	
01SEP17	S	1	PD01DEC17	11890.00	10590.17	USD	7,798.98	
01SEP17	B	2	PD01DEC17	12000.00	10590.17	USD	-16,917.96	
01SEP17	S	2	PD01DEC17	12010.00	10590.17	USD	17,037.96	
01SEP17	S	1	PD01DEC17	12070.00	10590.17	USD	8,878.98	
01SEP17	S	1	PD01DEC17	12075.00	10590.17	USD	8,908.98	
01SEP17	B	3	PD01DEC17	12080.00	10590.17	USD	-26,816.94	
01SEP17	B	2	PD01DEC17	12070.00	10590.17	USD	-17,757.96	
01SEP17	B	1	PD01DEC17	12025.00	10590.17	USD	-8,608.98	
01SEP17	B	1	PD01DEC17	12035.00	10590.17	USD	-8,668.98	
01SEP17	B	1	PD01DEC17	12040.00	10590.17	USD	-8,698.98	
01SEP17	S	5	PD01DEC17	12060.00	10590.17	USD	44,094.90	
01SEP17	S	1	PD01DEC17	12055.00	10590.17	USD	8,788.98	
	NET	**0**	**AVG BGHT 12019.17**	**SOLD 12024.58**			**390.00**	**0.00**
05SEP17	B	1	PD05DEC17	12030.00	10593.71	USD	-8,617.74	
05SEP17	B	1	PD05DEC17	12035.00	10593.71	USD	-8,647.74	
05SEP17	S	2	PD05DEC17	12020.00	10593.71	USD	17,115.48	
05SEP17	B	1	PD05DEC17	11930.00	10593.71	USD	-8,017.74	
05SEP17	B	1	PD05DEC17	11975.00	10593.71	USD	-8,287.74	
05SEP17	B	2	PD05DEC17	11980.00	10593.71	USD	-16,635.48	
05SEP17	S	1	PD05DEC17	11970.00	10593.71	USD	8,257.74	
05SEP17	S	3	PD05DEC17	11975.00	10593.71	USD	24,863.22	
	NET	**0**	**AVG BGHT 11988.33**	**SOLD 11989.17**			**30.00**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AD

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
06SEP17	B 1 PD06DEC17	11930.00	10594.60	USD	-8,012.40	
06SEP17	B 1 PD06DEC17	11965.00	10594.60	USD	-8,222.40	
06SEP17	S 2 PD06DEC17	11975.00	10594.60	USD	16,564.80	
06SEP17	B 2 PD06DEC17	12005.00	10594.60	USD	-16,924.80	
06SEP17	S 2 PD06DEC17	12030.00	10594.60	USD	17,224.80	
06SEP17	S 1 PD06DEC17	12045.00	10594.60	USD	8,702.40	
06SEP17	B 1 PD06DEC17	12035.00	10594.60	USD	-8,642.40	
06SEP17	B 1 PD06DEC17	12055.00	10594.60	USD	-8,762.40	
06SEP17	S 2 PD06DEC17	12055.00	10594.60	USD	17,524.80	
06SEP17	B 1 PD06DEC17	12015.00	10594.60	USD	-8,522.40	
06SEP17	B 2 PD06DEC17	11985.00	10594.60	USD	-16,684.80	
06SEP17	S 2 PD06DEC17	12015.00	10594.60	USD	17,044.80	
06SEP17	B 2 PD06DEC17	12075.00	10594.60	USD	-17,764.80	
06SEP17	B 1 PD06DEC17	12085.00	10594.60	USD	-8,942.40	
06SEP17	S 3 PD06DEC17	12075.00	10594.60	USD	26,647.20	
	NET 0 AVG BGHT 12017.92 SOLD 12035.00				**1,230.00**	**0.00**
07SEP17	S 1 PD07DEC17	12125.00	10595.49	USD	9,177.06	
07SEP17	S 2 PD07DEC17	12145.00	10595.49	USD	18,594.12	
07SEP17	B 1 PD07DEC17	12150.00	10595.49	USD	-9,327.06	
07SEP17	B 2 PD07DEC17	12155.00	10595.49	USD	-18,714.12	
07SEP17	S 1 PD07DEC17	12150.00	10595.49	USD	9,327.06	
07SEP17	B 2 PD07DEC17	12105.00	10595.49	USD	-18,114.12	
07SEP17	B 1 PD07DEC17	12075.00	10595.49	USD	-8,877.06	
07SEP17	S 2 PD07DEC17	12095.00	10595.49	USD	17,994.12	
07SEP17	B 2 PD07DEC17	12100.00	10595.49	USD	-18,054.12	
07SEP17	B 2 PD07DEC17	12095.00	10595.49	USD	-17,994.12	
07SEP17	S 1 PD07DEC17	12090.00	10595.49	USD	8,967.06	
07SEP17	S 1 PD07DEC17	12100.00	10595.49	USD	9,027.06	
07SEP17	S 2 PD07DEC17	12080.00	10595.49	USD	17,814.12	
07SEP17	B 2 PD07DEC17	12065.00	10595.49	USD	-17,634.12	
07SEP17	B 2 PD07DEC17	12130.00	10595.49	USD	-18,414.12	
07SEP17	S 2 PD07DEC17	12105.00	10595.49	USD	18,114.12	
07SEP17	S 2 PD07DEC17	12140.00	10595.49	USD	18,534.12	
	NET 0 AVG BGHT 12108.93 SOLD 12113.93				**420.00**	**0.00**
08SEP17	B 2 PD08DEC17	11925.00	10596.37	USD	-15,943.56	
08SEP17	S 1 PD08DEC17	11960.00	10596.37	USD	8,181.78	
08SEP17	B 6 PD08DEC17	11945.00	10596.37	USD	-48,550.68	
08SEP17	B 1 PD08DEC17	11960.00	10596.37	USD	-8,181.78	
08SEP17	S 2 PD08DEC17	11940.00	10596.37	USD	16,123.56	
08SEP17	S 2 PD08DEC17	11945.00	10596.37	USD	16,183.56	
08SEP17	S 1 PD08DEC17	11965.00	10596.37	USD	8,211.78	
08SEP17	B 2 PD08DEC17	11930.00	10596.37	USD	-16,003.56	
08SEP17	B 1 PD08DEC17	11935.00	10596.37	USD	-8,031.78	
08SEP17	S 2 PD08DEC17	11925.00	10596.37	USD	15,943.56	
08SEP17	S 4 PD08DEC17	11935.00	10596.37	USD	32,127.12	
08SEP17	S 1 PD08DEC17	11900.00	10596.37	USD	7,821.78	
08SEP17	S 1 PD08DEC17	11905.00	10596.37	USD	7,851.78	
08SEP17	B 2 PD08DEC17	11910.00	10596.37	USD	-15,763.56	
08SEP17	B 1 PD08DEC17	11730.00	10596.37	USD	-6,801.78	
08SEP17	B 1 PD08DEC17	11750.00	10596.37	USD	-6,921.78	
08SEP17	B 2 PD08DEC17	11765.00	10596.37	USD	-14,023.56	
08SEP17	S 1 PD08DEC17	11720.00	10596.37	USD	6,741.78	
08SEP17	S 1 PD08DEC17	11800.00	10596.37	USD	7,221.78	
08SEP17	S 1 PD08DEC17	11805.00	10596.37	USD	7,251.78	
08SEP17	B 3 PD08DEC17	11760.00	10596.37	USD	-20,945.34	
08SEP17	S 1 PD08DEC17	11750.00	10596.37	USD	6,921.78	
08SEP17	S 3 PD08DEC17	11755.00	10596.37	USD	20,855.34	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AD

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
08SEP17	B 2 PD08DEC17	11725.00	10596.37	USD	-13,543.56	
08SEP17	S 2 PD08DEC17	11710.00	10596.37	USD	13,363.56	
08SEP17	B 2 PD08DEC17	11550.00	10596.37	USD	-11,443.56	
08SEP17	S 1 PD08DEC17	11505.00	10596.37	USD	5,451.78	
08SEP17	S 1 PD08DEC17	11535.00	10596.37	USD	5,631.78	
08SEP17	B 2 PD08DEC17	11515.00	10596.37	USD	-11,023.56	
08SEP17	S 1 PD08DEC17	11575.00	10596.37	USD	5,871.78	
08SEP17	S 1 PD08DEC17	11565.00	10596.37	USD	5,811.78	
08SEP17	B 3 PD08DEC17	11495.00	10596.37	USD	-16,175.34	
08SEP17	S 3 PD08DEC17	11480.00	10596.37	USD	15,905.34	
	NET 0 AVG BGHT 11781.67 SOLD 11782.33				**120.00**	**0.00**
11SEP17	B 1 PD11DEC17	11590.00	10599.03	USD	-5,945.82	
11SEP17	B 1 PD11DEC17	11595.00	10599.03	USD	-5,975.82	
11SEP17	B 2 PD11DEC17	11600.00	10599.03	USD	-12,011.64	
11SEP17	S 3 PD11DEC17	11600.00	10599.03	USD	18,017.46	
11SEP17	S 1 PD11DEC17	11595.00	10599.03	USD	5,975.82	
11SEP17	B 1 PD11DEC17	11775.00	10599.03	USD	-7,055.82	
11SEP17	S 3 PD11DEC17	11770.00	10599.03	USD	21,077.46	
11SEP17	B 1 PD11DEC17	11750.00	10599.03	USD	-6,905.82	
11SEP17	B 1 PD11DEC17	11755.00	10599.03	USD	-6,935.82	
	NET 0 AVG BGHT 11666.43 SOLD 11672.14				**240.00**	**0.00**
12SEP17	B 2 PD12DEC17	11635.00	10599.91	USD	-12,421.08	
12SEP17	S 1 PD12DEC17	11625.00	10599.91	USD	6,150.54	
12SEP17	S 1 PD12DEC17	11645.00	10599.91	USD	6,270.54	
12SEP17	S 2 PD12DEC17	11785.00	10599.91	USD	14,221.08	
12SEP17	B 2 PD12DEC17	11830.00	10599.91	USD	-14,761.08	
12SEP17	B 1 PD12DEC17	11915.00	10599.91	USD	-7,890.54	
12SEP17	S 3 PD12DEC17	11915.00	10599.91	USD	23,671.62	
12SEP17	S 1 PD12DEC17	11910.00	10599.91	USD	7,860.54	
12SEP17	B 2 PD12DEC17	11940.00	10599.91	USD	-16,081.08	
12SEP17	B 1 PD12DEC17	11920.00	10599.91	USD	-7,920.54	
	NET 0 AVG BGHT 11830.63 SOLD 11811.88				**-900.00**	**0.00**
13SEP17	B 2 PD13DEC17	11770.00	10600.80	USD	-14,030.40	
13SEP17	S 1 PD13DEC17	11770.00	10600.80	USD	7,015.20	
13SEP17	S 1 PD13DEC17	11775.00	10600.80	USD	7,045.20	
13SEP17	B 1 PD13DEC17	11525.00	10600.80	USD	-5,545.20	
13SEP17	S 3 PD13DEC17	11520.00	10600.80	USD	16,545.60	
13SEP17	B 1 PD13DEC17	11515.00	10600.80	USD	-5,485.20	
13SEP17	B 1 PD13DEC17	11530.00	10600.80	USD	-5,575.20	
13SEP17	B 2 PD13DEC17	11545.00	10600.80	USD	-11,330.40	
13SEP17	S 1 PD13DEC17	11540.00	10600.80	USD	5,635.20	
13SEP17	S 1 PD13DEC17	11575.00	10600.80	USD	5,845.20	
13SEP17	B 2 PD13DEC17	11595.00	10600.80	USD	-11,930.40	
13SEP17	S 2 PD13DEC17	11590.00	10600.80	USD	11,870.40	
13SEP17	B 1 PD13DEC17	11345.00	10600.80	USD	-4,465.20	
13SEP17	B 3 PD13DEC17	11405.00	10600.80	USD	-14,475.60	
13SEP17	S 1 PD13DEC17	11350.00	10600.80	USD	4,495.20	
13SEP17	S 3 PD13DEC17	11400.00	10600.80	USD	14,385.60	
13SEP17	B 2 PD13DEC17	11370.00	10600.80	USD	-9,230.40	
13SEP17	B 1 PD13DEC17	11380.00	10600.80	USD	-4,675.20	
13SEP17	S 2 PD13DEC17	11390.00	10600.80	USD	9,470.40	
13SEP17	S 1 PD13DEC17	11395.00	10600.80	USD	4,765.20	
	NET 0 AVG BGHT 11504.38 SOLD 11507.81				**330.00**	**0.00**
14SEP17	B 2 PD14DEC17	11460.00	10601.69	USD	-10,299.72	
14SEP17	B 1 PD14DEC17	11465.00	10601.69	USD	-5,179.86	
14SEP17	S 3 PD14DEC17	11465.00	10601.69	USD	15,539.58	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AD

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
14SEP17	B 1 PD14DEC17	11350.00	10601.69	USD	-4,489.86	
14SEP17	B 1 PD14DEC17	11370.00	10601.69	USD	-4,609.86	
14SEP17	B 2 PD14DEC17	11375.00	10601.69	USD	-9,279.72	
14SEP17	S 6 PD14DEC17	11365.00	10601.69	USD	27,479.16	
14SEP17	B 2 PD14DEC17	11220.00	10601.69	USD	-7,419.72	
14SEP17	B 2 PD14DEC17	11285.00	10601.69	USD	-8,199.72	
14SEP17	S 1 PD14DEC17	11290.00	10601.69	USD	4,129.86	
14SEP17	S 1 PD14DEC17	11300.00	10601.69	USD	4,189.86	
14SEP17	B 1 PD14DEC17	11135.00	10601.69	USD	-3,199.86	
14SEP17	B 2 PD14DEC17	11140.00	10601.69	USD	-6,459.72	
14SEP17	B 2 PD14DEC17	11150.00	10601.69	USD	-6,579.72	
14SEP17	B 2 PD14DEC17	11200.00	10601.69	USD	-7,179.72	
14SEP17	S 3 PD14DEC17	11135.00	10601.69	USD	9,599.58	
14SEP17	S 4 PD14DEC17	11195.00	10601.69	USD	14,239.44	
14SEP17	B 1 PD14DEC17	11165.00	10601.69	USD	-3,379.86	
14SEP17	S 1 PD14DEC17	11155.00	10601.69	USD	3,319.86	
14SEP17	B 2 PD14DEC17	11320.00	10601.69	USD	-8,619.72	
14SEP17	S 2 PD14DEC17	11180.00	10601.69	USD	6,939.72	
14SEP17	B 2 PD14DEC17	11245.00	10601.69	USD	-7,719.72	
14SEP17	S 2 PD14DEC17	11220.00	10601.69	USD	7,419.72	
14SEP17	B 1 PD14DEC17	11330.00	10601.69	USD	-4,369.86	
14SEP17	B 1 PD14DEC17	11335.00	10601.69	USD	-4,399.86	
14SEP17	B 1 PD14DEC17	11345.00	10601.69	USD	-4,459.86	
14SEP17	S 3 PD14DEC17	11330.00	10601.69	USD	13,109.58	
14SEP17	S 2 PD14DEC17	11410.00	10601.69	USD	9,699.72	
14SEP17	S 1 PD14DEC17	11415.00	10601.69	USD	4,879.86	
14SEP17	B 3 PD14DEC17	11385.00	10601.69	USD	-14,099.58	
	NET 0 AVG BGHT 11291.03 SOLD 11294.48				**600.00**	**0.00**
15SEP17	B 2 PD15DEC17	11095.00	10602.57	USD	-5,909.16	
15SEP17	S 1 PD15DEC17	11065.00	10602.57	USD	2,774.58	
15SEP17	B 1 PD15DEC17	11065.00	10602.57	USD	-2,774.58	
15SEP17	S 1 PD15DEC17	11125.00	10602.57	USD	3,134.58	
15SEP17	S 2 PD15DEC17	11090.00	10602.57	USD	5,849.16	
15SEP17	B 1 PD15DEC17	11075.00	10602.57	USD	-2,834.58	
15SEP17	B 1 PD15DEC17	11140.00	10602.57	USD	-3,224.58	
15SEP17	S 1 PD15DEC17	11095.00	10602.57	USD	2,954.58	
15SEP17	S 1 PD15DEC17	11130.00	10602.57	USD	3,164.58	
15SEP17	B 1 PD15DEC17	11015.00	10602.57	USD	-2,474.58	
15SEP17	B 1 PD15DEC17	11030.00	10602.57	USD	-2,564.58	
15SEP17	S 2 PD15DEC17	11005.00	10602.57	USD	4,829.16	
15SEP17	S 1 PD15DEC17	10900.00	10602.57	USD	1,784.58	
15SEP17	B 1 PD15DEC17	10905.00	10602.57	USD	-1,814.58	
15SEP17	S 1 PD15DEC17	10860.00	10602.57	USD	1,544.58	
15SEP17	S 1 PD15DEC17	11135.00	10602.57	USD	3,194.58	
15SEP17	B 2 PD15DEC17	11115.00	10602.57	USD	-6,149.16	
15SEP17	B 2 PD15DEC17	10890.00	10602.57	USD	-3,449.16	
15SEP17	S 1 PD15DEC17	10895.00	10602.57	USD	1,754.58	
	NET 0 AVG BGHT 11035.83 SOLD 11032.92				**-210.00**	**0.00**
18SEP17	S 1 PD18DEC17	11115.00	10605.23	USD	3,058.62	
18SEP17	B 1 PD18DEC17	11115.00	10605.23	USD	-3,058.62	
18SEP17	S 2 PD18DEC17	11170.00	10605.23	USD	6,777.24	
18SEP17	B 1 PD18DEC17	11185.00	10605.23	USD	-3,478.62	
18SEP17	B 1 PD18DEC17	11190.00	10605.23	USD	-3,508.62	
18SEP17	B 2 PD18DEC17	11225.00	10605.23	USD	-7,437.24	
18SEP17	S 2 PD18DEC17	11215.00	10605.23	USD	7,317.24	
	NET 0 AVG BGHT 11188.00 SOLD 11177.00				**-330.00**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AD

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
19SEP17	B 4 PD19DEC17	11040.00	10606.11	USD	-10,413.36	
19SEP17	S 4 PD19DEC17	11040.00	10606.11	USD	10,413.36	
19SEP17	B 1 PD19DEC17	10955.00	10606.11	USD	-2,093.34	
19SEP17	B 1 PD19DEC17	10970.00	10606.11	USD	-2,183.34	
19SEP17	B 2 PD19DEC17	10990.00	10606.11	USD	-4,606.68	
19SEP17	B 2 PD19DEC17	10960.00	10606.11	USD	-4,246.68	
19SEP17	S 4 PD19DEC17	11020.00	10606.11	USD	9,933.36	
19SEP17	S 1 PD19DEC17	11015.00	10606.11	USD	2,453.34	
19SEP17	S 1 PD19DEC17	10960.00	10606.11	USD	2,123.34	
19SEP17	B 1 PD19DEC17	11190.00	10606.11	USD	-3,503.34	
19SEP17	B 1 PD19DEC17	11200.00	10606.11	USD	-3,563.34	
19SEP17	S 1 PD19DEC17	11165.00	10606.11	USD	3,353.34	
19SEP17	S 3 PD19DEC17	11185.00	10606.11	USD	10,420.02	
19SEP17	B 2 PD19DEC17	11205.00	10606.11	USD	-7,186.68	
	NET 0 AVG BGHT 11056.07 SOLD 11066.79				**900.00**	**0.00**
20SEP17	B 2 PD20DEC17	11280.00	10607.00	USD	-8,076.00	
20SEP17	B 1 PD20DEC17	11310.00	10607.00	USD	-4,218.00	
20SEP17	S 1 PD20DEC17	11270.00	10607.00	USD	3,978.00	
20SEP17	S 1 PD20DEC17	11280.00	10607.00	USD	4,038.00	
20SEP17	S 3 PD20DEC17	11285.00	10607.00	USD	12,204.00	
20SEP17	S 1 PD20DEC17	11295.00	10607.00	USD	4,128.00	
20SEP17	S 2 PD20DEC17	11345.00	10607.00	USD	8,856.00	
20SEP17	B 1 PD20DEC17	11355.00	10607.00	USD	-4,488.00	
20SEP17	S 1 PD20DEC17	11380.00	10607.00	USD	4,638.00	
20SEP17	B 1 PD20DEC17	11330.00	10607.00	USD	-4,338.00	
20SEP17	S 2 PD20DEC17	11355.00	10607.00	USD	8,976.00	
20SEP17	B 3 PD20DEC17	11325.00	10607.00	USD	-12,924.00	
20SEP17	B 1 PD20DEC17	11405.00	10607.00	USD	-4,788.00	
20SEP17	S 1 PD20DEC17	11390.00	10607.00	USD	4,698.00	
20SEP17	B 3 PD20DEC17	11295.00	10607.00	USD	-12,384.00	
	NET 0 AVG BGHT 11318.33 SOLD 11322.50				**300.00**	**0.00**
21SEP17	B 1 PD21DEC17	11155.00	10608.00	USD	-3,282.00	
21SEP17	B 1 PD21DEC17	11170.00	10608.00	USD	-3,372.00	
21SEP17	B 3 PD21DEC17	11090.00	10608.00	USD	-8,676.00	
21SEP17	B 1 PD21DEC17	11140.00	10608.00	USD	-3,192.00	
21SEP17	B 2 PD21DEC17	11160.00	10608.00	USD	-6,624.00	
21SEP17	S 1 PD21DEC17	11085.00	10608.00	USD	2,862.00	
21SEP17	S 2 PD21DEC17	11090.00	10608.00	USD	5,784.00	
21SEP17	S 1 PD21DEC17	11100.00	10608.00	USD	2,952.00	
21SEP17	S 3 PD21DEC17	11150.00	10608.00	USD	9,756.00	
21SEP17	S 1 PD21DEC17	11155.00	10608.00	USD	3,282.00	
21SEP17	B 1 PD21DEC17	11060.00	10608.00	USD	-2,712.00	
21SEP17	S 1 PD21DEC17	11040.00	10608.00	USD	2,592.00	
21SEP17	B 3 PD21DEC17	11020.00	10608.00	USD	-7,416.00	
21SEP17	S 1 PD21DEC17	10980.00	10608.00	USD	2,232.00	
21SEP17	S 2 PD21DEC17	11005.00	10608.00	USD	4,764.00	
21SEP17	B 1 PD21DEC17	10650.00	10608.00	USD	-252.00	
	NET +1 AVG BGHT 11063.46 SOLD 11083.33				**-1,302.00**	**0.00**
22SEP17	B 2 PD22DEC17	10745.00	10609.00	USD	-1,632.00	
22SEP17	B 1 PD22DEC17	10705.00	10609.00	USD	-576.00	
22SEP17	S 1 PD22DEC17	10695.00	10609.00	USD	516.00	
22SEP17	B 1 PD22DEC17	10555.00	10609.00	USD	324.00	
22SEP17	B 1 PD22DEC17	10560.00	10609.00	USD	294.00	
22SEP17	B 1 PD22DEC17	10570.00	10609.00	USD	234.00	
22SEP17	S 5 PD22DEC17	10550.00	10609.00	USD	-1,770.00	
22SEP17	B 3 PD22DEC17	10510.00	10609.00	USD	1,782.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AD

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
22SEP17	S 3 PD22DEC17	10515.00	10609.00	USD	-1,692.00	
22SEP17	S 1 PD22DEC17	10610.00	10609.00	USD	6.00	
22SEP17	B 1 PD22DEC17	10620.00	10609.00	USD	-66.00	
22SEP17	B 2 PD22DEC17	10630.00	10609.00	USD	-252.00	
22SEP17	S 1 PD22DEC17	10630.00	10609.00	USD	126.00	
22SEP17	S 2 PD22DEC17	10615.00	10609.00	USD	72.00	
22SEP17	S 1 PD22DEC17	10635.00	10609.00	USD	156.00	
22SEP17	S 1 PD22DEC17	10605.00	10609.00	USD	-24.00	
22SEP17	B 3 PD22DEC17	10550.00	10609.00	USD	1,062.00	
22SEP17	S 1 PD22DEC17	10535.00	10609.00	USD	-444.00	
22SEP17	B 1 PD22DEC17	10500.00	10609.00	USD	654.00	
22SEP17	S 2 PD22DEC17	10495.00	10609.00	USD	-1,368.00	
22SEP17	B 1 PD22DEC17	10490.00	10609.00	USD	714.00	
	NET -1 AVG BGHT 10584.12 SOLD 10568.06				**-1,884.00**	**0.00**
25SEP17	S 1 PD27DEC17	10550.00	10613.58	USD	-381.48	
25SEP17	B 1 PD27DEC17	10500.00	10613.58	USD	681.48	
25SEP17	S 2 PD27DEC17	10495.00	10613.58	USD	-1,422.96	
25SEP17	B 1 PD27DEC17	10520.00	10613.58	USD	561.48	
25SEP17	S 2 PD27DEC17	10535.00	10613.58	USD	-942.96	
25SEP17	B 2 PD27DEC17	10505.00	10613.58	USD	1,302.96	
25SEP17	B 1 PD27DEC17	10480.00	10613.58	USD	801.48	
26SEP17	B 1 PD27DEC17	10485.00	10613.58	USD	771.48	
26SEP17	B 1 PD27DEC17	10490.00	10613.58	USD	741.48	
26SEP17	B 1 PD27DEC17	10495.00	10613.58	USD	711.48	
26SEP17	S 3 PD27DEC17	10500.00	10613.58	USD	-2,044.44	
27SEP17	B 1 PD27DEC17	10255.00	10613.58	USD	2,151.48	
27SEP17	B 1 PD27DEC17	10360.00	10613.58	USD	1,521.48	
27SEP17	B 1 PD27DEC17	10395.00	10613.58	USD	1,311.48	
27SEP17	B 1 PD27DEC17	10400.00	10613.58	USD	1,281.48	
27SEP17	S 2 PD27DEC17	10390.00	10613.58	USD	-2,682.96	
27SEP17	S 1 PD27DEC17	10395.00	10613.58	USD	-1,311.48	
27SEP17	S 1 PD27DEC17	10225.00	10613.58	USD	-2,331.48	
	NET 0 AVG BGHT 10449.17 SOLD 10459.17				**720.00**	**0.00**
28SEP17	B 2 PD28DEC17	10490.00	10614.50	USD	1,494.00	
28SEP17	S 2 PD28DEC17	10485.00	10614.50	USD	-1,554.00	
	NET 0 AVG BGHT 10490.00 SOLD 10485.00				**-60.00**	**0.00**
29SEP17	B 1 PD29DEC17	10675.00	10615.42	USD	-357.48	
29SEP17	B 1 PD29DEC17	10685.00	10615.42	USD	-417.48	
29SEP17	S 1 PD29DEC17	10645.00	10615.42	USD	177.48	
29SEP17	S 3 PD29DEC17	10700.00	10615.42	USD	1,522.44	
29SEP17	S 3 PD29DEC17	10690.00	10615.42	USD	1,342.44	
29SEP17	B 1 PD29DEC17	10660.00	10615.42	USD	-267.48	
29SEP17	B 3 PD29DEC17	10635.00	10615.42	USD	-352.44	
29SEP17	B 2 PD29DEC17	10645.00	10615.42	USD	-354.96	
29SEP17	S 3 PD29DEC17	10630.00	10615.42	USD	262.44	
29SEP17	B 1 PD29DEC17	10655.00	10615.42	USD	-237.48	
29SEP17	B 1 PD29DEC17	10670.00	10615.42	USD	-327.48	
	NET 0 AVG BGHT 10654.00 SOLD 10670.50				**990.00**	**0.00**
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
04JUL17	S 1 PD04OCT17	20085.00	20956.50	USD	-4,357.50	
04JUL17	B 4 PD04OCT17	20055.00	20956.50	USD	18,030.00	
04JUL17	B 1 PD04OCT17	20060.00	20956.50	USD	4,482.50	
04JUL17	B 4 PD04OCT17	20010.00	20956.50	USD	18,930.00	
04JUL17	S 1 PD04OCT17	20010.00	20956.50	USD	-4,732.50	
04JUL17	S 1 PD04OCT17	20035.00	20956.50	USD	-4,607.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AD

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
04JUL17	B 1 PD04OCT17	20005.00	20956.50	USD	4,757.50	
04JUL17	B 1 PD04OCT17	20015.00	20956.50	USD	4,707.50	
04JUL17	B 3 PD04OCT17	20025.00	20956.50	USD	13,972.50	
04JUL17	B 2 PD04OCT17	20035.00	20956.50	USD	9,215.00	
04JUL17	B 1 PD04OCT17	20050.00	20956.50	USD	4,532.50	
04JUL17	S 1 PD04OCT17	20015.00	20956.50	USD	-4,707.50	
04JUL17	S 12 PD04OCT17	20050.00	20956.50	USD	-54,390.00	
04JUL17	S 1 PD04OCT17	20045.00	20956.50	USD	-4,557.50	
	NET 0 AVG BGHT 20031.47 SOLD 20046.47				**1,275.00**	**0.00**
05JUL17	B 2 PD05OCT17	19950.00	20954.00	USD	10,040.00	
05JUL17	B 1 PD05OCT17	19955.00	20954.00	USD	4,995.00	
05JUL17	B 1 PD05OCT17	19970.00	20954.00	USD	4,920.00	
05JUL17	S 1 PD05OCT17	19940.00	20954.00	USD	-5,070.00	
05JUL17	S 1 PD05OCT17	19950.00	20954.00	USD	-5,020.00	
05JUL17	S 1 PD05OCT17	19985.00	20954.00	USD	-4,845.00	
05JUL17	S 1 PD05OCT17	19955.00	20954.00	USD	-4,995.00	
05JUL17	B 1 PD05OCT17	19915.00	20954.00	USD	5,195.00	
05JUL17	S 1 PD05OCT17	19925.00	20954.00	USD	-5,145.00	
05JUL17	B 1 PD05OCT17	19930.00	20954.00	USD	5,120.00	
05JUL17	S 1 PD05OCT17	19900.00	20954.00	USD	-5,270.00	
05JUL17	B 1 PD05OCT17	19875.00	20954.00	USD	5,395.00	
05JUL17	B 3 PD05OCT17	19880.00	20954.00	USD	16,110.00	
05JUL17	S 2 PD05OCT17	19870.00	20954.00	USD	-10,840.00	
05JUL17	S 1 PD05OCT17	19880.00	20954.00	USD	-5,370.00	
05JUL17	B 3 PD05OCT17	19860.00	20954.00	USD	16,410.00	
05JUL17	S 1 PD05OCT17	19855.00	20954.00	USD	-5,495.00	
05JUL17	B 1 PD05OCT17	19825.00	20954.00	USD	5,645.00	
05JUL17	S 4 PD05OCT17	19840.00	20954.00	USD	-22,280.00	
05JUL17	S 2 PD05OCT17	19850.00	20954.00	USD	-11,040.00	
05JUL17	B 1 PD05OCT17	19705.00	20954.00	USD	6,245.00	
05JUL17	B 2 PD05OCT17	19710.00	20954.00	USD	12,440.00	
05JUL17	B 1 PD05OCT17	19760.00	20954.00	USD	5,970.00	
05JUL17	B 6 PD05OCT17	19820.00	20954.00	USD	34,020.00	
05JUL17	S 3 PD05OCT17	19700.00	20954.00	USD	-18,810.00	
05JUL17	S 1 PD05OCT17	19810.00	20954.00	USD	-5,720.00	
05JUL17	S 2 PD05OCT17	19820.00	20954.00	USD	-11,340.00	
05JUL17	B 2 PD05OCT17	19775.00	20954.00	USD	11,790.00	
05JUL17	B 1 PD05OCT17	19780.00	20954.00	USD	5,870.00	
05JUL17	S 1 PD05OCT17	19750.00	20954.00	USD	-6,020.00	
05JUL17	S 1 PD05OCT17	19755.00	20954.00	USD	-5,995.00	
05JUL17	S 2 PD05OCT17	19790.00	20954.00	USD	-11,640.00	
05JUL17	B 1 PD05OCT17	19795.00	20954.00	USD	5,795.00	
05JUL17	S 1 PD05OCT17	19795.00	20954.00	USD	-5,795.00	
05JUL17	S 1 PD05OCT17	19860.00	20954.00	USD	-5,470.00	
05JUL17	B 1 PD05OCT17	19680.00	20954.00	USD	6,370.00	
05JUL17	B 3 PD05OCT17	19720.00	20954.00	USD	18,510.00	
05JUL17	B 1 PD05OCT17	19725.00	20954.00	USD	6,145.00	
05JUL17	S 4 PD05OCT17	19710.00	20954.00	USD	-24,880.00	
05JUL17	S 3 PD05OCT17	19740.00	20954.00	USD	-18,210.00	
05JUL17	B 1 PD05OCT17	19565.00	20954.00	USD	6,945.00	
05JUL17	B 3 PD05OCT17	19620.00	20954.00	USD	20,010.00	
05JUL17	B 3 PD05OCT17	19660.00	20954.00	USD	19,410.00	
05JUL17	B 4 PD05OCT17	19665.00	20954.00	USD	25,780.00	
05JUL17	B 3 PD05OCT17	19670.00	20954.00	USD	19,260.00	
05JUL17	B 1 PD05OCT17	19695.00	20954.00	USD	6,295.00	
05JUL17	B 4 PD05OCT17	19745.00	20954.00	USD	24,180.00	
05JUL17	B 4 PD05OCT17	19750.00	20954.00	USD	24,080.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AD

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
05JUL17	S 1 PD05OCT17	19600.00	20954.00	USD	-6,770.00	
05JUL17	S 4 PD05OCT17	19650.00	20954.00	USD	-26,080.00	
05JUL17	S 2 PD05OCT17	19655.00	20954.00	USD	-12,990.00	
05JUL17	S 1 PD05OCT17	19660.00	20954.00	USD	-6,470.00	
05JUL17	S 1 PD05OCT17	19665.00	20954.00	USD	-6,445.00	
05JUL17	S 2 PD05OCT17	19670.00	20954.00	USD	-12,840.00	
05JUL17	S 1 PD05OCT17	19690.00	20954.00	USD	-6,320.00	
05JUL17	S 3 PD05OCT17	19695.00	20954.00	USD	-18,885.00	
05JUL17	S 1 PD05OCT17	19720.00	20954.00	USD	-6,170.00	
05JUL17	S 5 PD05OCT17	19745.00	20954.00	USD	-30,225.00	
05JUL17	B 2 PD05OCT17	19525.00	20954.00	USD	14,290.00	
05JUL17	B 3 PD05OCT17	19585.00	20954.00	USD	20,535.00	
05JUL17	B 2 PD05OCT17	19625.00	20954.00	USD	13,290.00	
05JUL17	S 2 PD05OCT17	19525.00	20954.00	USD	-14,290.00	
05JUL17	S 1 PD05OCT17	19555.00	20954.00	USD	-6,995.00	
05JUL17	S 1 PD05OCT17	19560.00	20954.00	USD	-6,970.00	
05JUL17	S 1 PD05OCT17	19615.00	20954.00	USD	-6,695.00	
05JUL17	S 2 PD05OCT17	19630.00	20954.00	USD	-13,240.00	
05JUL17	B 1 PD05OCT17	19610.00	20954.00	USD	6,720.00	
05JUL17	B 1 PD05OCT17	19635.00	20954.00	USD	6,595.00	
05JUL17	S 2 PD05OCT17	19685.00	20954.00	USD	-12,690.00	
05JUL17	S 2 PD05OCT17	19785.00	20954.00	USD	-11,690.00	
05JUL17	B 1 PD05OCT17	19605.00	20954.00	USD	6,745.00	
05JUL17	B 1 PD05OCT17	19645.00	20954.00	USD	6,545.00	
05JUL17	S 1 PD05OCT17	19605.00	20954.00	USD	-6,745.00	
05JUL17	S 1 PD05OCT17	19645.00	20954.00	USD	-6,545.00	
20JUL17	B 2 PD05OCT17	20102.63	20954.00	USD	8,513.70	
	NET 0 AVG BGHT 19747.68 SOLD 19741.52				**-2,126.30**	**0.00**
06JUL17	B 1 PD06OCT17	19725.00	20951.50	USD	6,132.50	
06JUL17	S 1 PD06OCT17	19740.00	20951.50	USD	-6,057.50	
06JUL17	B 1 PD06OCT17	19820.00	20951.50	USD	5,657.50	
06JUL17	S 1 PD06OCT17	19810.00	20951.50	USD	-5,707.50	
06JUL17	B 1 PD06OCT17	19810.00	20951.50	USD	5,707.50	
06JUL17	S 2 PD06OCT17	19800.00	20951.50	USD	-11,515.00	
06JUL17	B 1 PD06OCT17	19880.00	20951.50	USD	5,357.50	
06JUL17	B 2 PD06OCT17	19715.00	20951.50	USD	12,365.00	
06JUL17	B 1 PD06OCT17	19720.00	20951.50	USD	6,157.50	
06JUL17	S 2 PD06OCT17	19715.00	20951.50	USD	-12,365.00	
06JUL17	S 1 PD06OCT17	19850.00	20951.50	USD	-5,507.50	
06JUL17	S 1 PD06OCT17	19880.00	20951.50	USD	-5,357.50	
06JUL17	S 1 PD06OCT17	19835.00	20951.50	USD	-5,582.50	
06JUL17	B 1 PD06OCT17	19860.00	20951.50	USD	5,457.50	
06JUL17	B 5 PD06OCT17	19840.00	20951.50	USD	27,787.50	
06JUL17	S 1 PD06OCT17	19855.00	20951.50	USD	-5,482.50	
06JUL17	S 3 PD06OCT17	19830.00	20951.50	USD	-16,822.50	
06JUL17	B 1 PD06OCT17	19830.00	20951.50	USD	5,607.50	
06JUL17	B 2 PD06OCT17	19870.00	20951.50	USD	10,815.00	
06JUL17	B 1 PD06OCT17	19890.00	20951.50	USD	5,307.50	
06JUL17	S 2 PD06OCT17	19875.00	20951.50	USD	-10,765.00	
06JUL17	B 5 PD06OCT17	19895.00	20951.50	USD	26,412.50	
06JUL17	S 5 PD06OCT17	19895.00	20951.50	USD	-26,412.50	
06JUL17	S 5 PD06OCT17	19900.00	20951.50	USD	-26,287.50	
06JUL17	S 4 PD06OCT17	19920.00	20951.50	USD	-20,630.00	
06JUL17	B 1 PD06OCT17	19920.00	20951.50	USD	5,157.50	
06JUL17	B 6 PD06OCT17	19900.00	20951.50	USD	31,545.00	
06JUL17	B 1 PD06OCT17	19865.00	20951.50	USD	5,432.50	
06JUL17	S 1 PD06OCT17	19865.00	20951.50	USD	-5,432.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AD

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
06JUL17	B 1 PD06OCT17	19930.00	20951.50	USD	5,107.50	
07JUL17	B 1 PD06OCT17	19865.00	20951.50	USD	5,432.50	
07JUL17	S 1 PD06OCT17	19915.00	20951.50	USD	-5,182.50	
07JUL17	B 1 PD06OCT17	19880.00	20951.50	USD	5,357.50	
07JUL17	B 1 PD06OCT17	19890.00	20951.50	USD	5,307.50	
07JUL17	S 1 PD06OCT17	19865.00	20951.50	USD	-5,432.50	
07JUL17	B 3 PD06OCT17	19900.00	20951.50	USD	15,772.50	
07JUL17	S 3 PD06OCT17	19895.00	20951.50	USD	-15,847.50	
07JUL17	B 1 PD06OCT17	19895.00	20951.50	USD	5,282.50	
07JUL17	S 1 PD06OCT17	19900.00	20951.50	USD	-5,257.50	
07JUL17	B 2 PD06OCT17	19905.00	20951.50	USD	10,465.00	
07JUL17	B 2 PD06OCT17	19925.00	20951.50	USD	10,265.00	
07JUL17	S 1 PD06OCT17	19920.00	20951.50	USD	-5,157.50	
07JUL17	S 1 PD06OCT17	19925.00	20951.50	USD	-5,132.50	
07JUL17	S 1 PD06OCT17	19930.00	20951.50	USD	-5,107.50	
07JUL17	S 2 PD06OCT17	19940.00	20951.50	USD	-10,115.00	
07JUL17	B 1 PD06OCT17	19840.00	20951.50	USD	5,557.50	
07JUL17	B 1 PD06OCT17	19755.00	20951.50	USD	5,982.50	
07JUL17	S 1 PD06OCT17	19750.00	20951.50	USD	-6,007.50	
07JUL17	B 2 PD06OCT17	19920.00	20951.50	USD	10,315.00	
07JUL17	S 2 PD06OCT17	19890.00	20951.50	USD	-10,615.00	
07JUL17	S 4 PD06OCT17	19780.00	20951.50	USD	-23,430.00	
07JUL17	B 3 PD06OCT17	19740.00	20951.50	USD	18,172.50	
07JUL17	B 2 PD06OCT17	19910.00	20951.50	USD	10,415.00	
07JUL17	S 1 PD06OCT17	19725.00	20951.50	USD	-6,132.50	
07JUL17	B 1 PD06OCT17	19650.00	20951.50	USD	6,507.50	
07JUL17	B 1 PD06OCT17	19685.00	20951.50	USD	6,332.50	
07JUL17	B 1 PD06OCT17	19640.00	20951.50	USD	6,557.50	
07JUL17	B 1 PD06OCT17	19620.00	20951.50	USD	6,657.50	
07JUL17	B 1 PD06OCT17	19625.00	20951.50	USD	6,632.50	
07JUL17	S 1 PD06OCT17	19640.00	20951.50	USD	-6,557.50	
07JUL17	S 1 PD06OCT17	19650.00	20951.50	USD	-6,507.50	
07JUL17	S 2 PD06OCT17	19700.00	20951.50	USD	-12,515.00	
07JUL17	S 2 PD06OCT17	19715.00	20951.50	USD	-12,365.00	
07JUL17	S 2 PD06OCT17	19675.00	20951.50	USD	-12,765.00	
07JUL17	B 1 PD06OCT17	19675.00	20951.50	USD	6,382.50	
07JUL17	S 1 PD06OCT17	19615.00	20951.50	USD	-6,682.50	
20JUL17	B 1 PD06OCT17	20101.05	20951.50	USD	4,252.25	
	NET 0 AVG BGHT 19842.35 SOLD 19831.72				**-3,080.25**	**0.00**
10JUL17	S 1 PD10OCT17	19820.00	20949.00	USD	-5,645.00	
10JUL17	S 1 PD10OCT17	19835.00	20949.00	USD	-5,570.00	
10JUL17	B 4 PD10OCT17	19705.00	20949.00	USD	24,880.00	
10JUL17	S 1 PD10OCT17	19690.00	20949.00	USD	-6,295.00	
10JUL17	S 1 PD10OCT17	19590.00	20949.00	USD	-6,795.00	
10JUL17	S 1 PD10OCT17	19600.00	20949.00	USD	-6,745.00	
10JUL17	S 3 PD10OCT17	19750.00	20949.00	USD	-17,985.00	
10JUL17	S 1 PD10OCT17	19745.00	20949.00	USD	-6,020.00	
10JUL17	B 1 PD10OCT17	19665.00	20949.00	USD	6,420.00	
10JUL17	B 3 PD10OCT17	19750.00	20949.00	USD	17,985.00	
10JUL17	S 1 PD10OCT17	19705.00	20949.00	USD	-6,220.00	
10JUL17	S 1 PD10OCT17	19765.00	20949.00	USD	-5,920.00	
10JUL17	S 2 PD10OCT17	19735.00	20949.00	USD	-12,140.00	
10JUL17	S 1 PD10OCT17	19760.00	20949.00	USD	-5,945.00	
10JUL17	B 3 PD10OCT17	19765.00	20949.00	USD	17,760.00	
10JUL17	S 1 PD10OCT17	19770.00	20949.00	USD	-5,895.00	
10JUL17	S 1 PD10OCT17	19775.00	20949.00	USD	-5,870.00	
10JUL17	B 1 PD10OCT17	19725.00	20949.00	USD	6,120.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AD

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
10JUL17	B 3 PD10OCT17	19680.00	20949.00	USD	19,035.00	
10JUL17	B 1 PD10OCT17	19700.00	20949.00	USD	6,245.00	
10JUL17	B 1 PD10OCT17	19775.00	20949.00	USD	5,870.00	
10JUL17	B 1 PD10OCT17	19800.00	20949.00	USD	5,745.00	
10JUL17	S 2 PD10OCT17	19785.00	20949.00	USD	-11,640.00	
10JUL17	B 3 PD10OCT17	19825.00	20949.00	USD	16,860.00	
10JUL17	S 1 PD10OCT17	19800.00	20949.00	USD	-5,745.00	
10JUL17	S 1 PD10OCT17	19830.00	20949.00	USD	-5,595.00	
10JUL17	B 2 PD10OCT17	19885.00	20949.00	USD	10,640.00	
10JUL17	B 1 PD10OCT17	19895.00	20949.00	USD	5,270.00	
10JUL17	S 1 PD10OCT17	19885.00	20949.00	USD	-5,320.00	
20JUL17	S 3 PD10OCT17	20098.47	20949.00	USD	-12,757.95	
	NET 0 AVG BGHT 19758.75 SOLD 19798.14				**4,727.05**	**0.00**
11JUL17	B 3 PD11OCT17	19850.00	20946.50	USD	16,447.50	
11JUL17	S 3 PD11OCT17	19875.00	20946.50	USD	-16,072.50	
11JUL17	B 3 PD11OCT17	19815.00	20946.50	USD	16,972.50	
11JUL17	S 1 PD11OCT17	19800.00	20946.50	USD	-5,732.50	
11JUL17	S 1 PD11OCT17	19815.00	20946.50	USD	-5,657.50	
11JUL17	B 1 PD11OCT17	19950.00	20946.50	USD	4,982.50	
11JUL17	S 1 PD11OCT17	19950.00	20946.50	USD	-4,982.50	
11JUL17	B 2 PD11OCT17	19900.00	20946.50	USD	10,465.00	
11JUL17	B 1 PD11OCT17	19910.00	20946.50	USD	5,182.50	
11JUL17	S 1 PD11OCT17	19835.00	20946.50	USD	-5,557.50	
11JUL17	S 2 PD11OCT17	19895.00	20946.50	USD	-10,515.00	
11JUL17	S 2 PD11OCT17	19965.00	20946.50	USD	-9,815.00	
11JUL17	S 2 PD11OCT17	19900.00	20946.50	USD	-10,465.00	
11JUL17	B 3 PD11OCT17	19920.00	20946.50	USD	15,397.50	
11JUL17	B 1 PD11OCT17	19935.00	20946.50	USD	5,057.50	
11JUL17	B 1 PD11OCT17	19940.00	20946.50	USD	5,032.50	
11JUL17	S 1 PD11OCT17	19955.00	20946.50	USD	-4,957.50	
11JUL17	B 4 PD11OCT17	19825.00	20946.50	USD	22,430.00	
11JUL17	B 2 PD11OCT17	19845.00	20946.50	USD	11,015.00	
11JUL17	B 1 PD11OCT17	19870.00	20946.50	USD	5,382.50	
11JUL17	S 2 PD11OCT17	19940.00	20946.50	USD	-10,065.00	
11JUL17	S 2 PD11OCT17	19970.00	20946.50	USD	-9,765.00	
11JUL17	S 4 PD11OCT17	19935.00	20946.50	USD	-20,230.00	
	NET 0 AVG BGHT 19870.45 SOLD 19911.82				**4,550.00**	**0.00**
12JUL17	S 2 PD12OCT17	19890.00	20944.00	USD	-10,540.00	
12JUL17	B 1 PD12OCT17	19900.00	20944.00	USD	5,220.00	
12JUL17	S 1 PD12OCT17	19940.00	20944.00	USD	-5,020.00	
12JUL17	S 1 PD12OCT17	19920.00	20944.00	USD	-5,120.00	
12JUL17	B 3 PD12OCT17	19950.00	20944.00	USD	14,910.00	
12JUL17	B 1 PD12OCT17	19910.00	20944.00	USD	5,170.00	
12JUL17	S 2 PD12OCT17	19930.00	20944.00	USD	-10,140.00	
12JUL17	B 2 PD12OCT17	19905.00	20944.00	USD	10,390.00	
12JUL17	S 1 PD12OCT17	19900.00	20944.00	USD	-5,220.00	
	NET 0 AVG BGHT 19924.29 SOLD 19914.29				**-350.00**	**0.00**
13JUL17	B 2 PD13OCT17	19955.00	20941.50	USD	9,865.00	
13JUL17	S 1 PD13OCT17	19950.00	20941.50	USD	-4,957.50	
13JUL17	S 1 PD13OCT17	19970.00	20941.50	USD	-4,857.50	
13JUL17	S 4 PD13OCT17	19980.00	20941.50	USD	-19,230.00	
13JUL17	B 1 PD13OCT17	19950.00	20941.50	USD	4,957.50	
13JUL17	B 2 PD13OCT17	19935.00	20941.50	USD	10,065.00	
13JUL17	S 1 PD13OCT17	19960.00	20941.50	USD	-4,907.50	
13JUL17	S 3 PD13OCT17	19900.00	20941.50	USD	-15,622.50	
13JUL17	B 4 PD13OCT17	19920.00	20941.50	USD	20,430.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AD

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
13JUL17	B 1 PD13OCT17	19925.00	20941.50	USD	5,082.50	
13JUL17	S 2 PD13OCT17	19965.00	20941.50	USD	-9,765.00	
13JUL17	S 1 PD13OCT17	19920.00	20941.50	USD	-5,107.50	
13JUL17	S 1 PD13OCT17	19925.00	20941.50	USD	-5,082.50	
13JUL17	S 1 PD13OCT17	19915.00	20941.50	USD	-5,132.50	
13JUL17	B 2 PD13OCT17	19930.00	20941.50	USD	10,115.00	
13JUL17	S 4 PD13OCT17	19935.00	20941.50	USD	-20,130.00	
13JUL17	B 1 PD13OCT17	19835.00	20941.50	USD	5,532.50	
13JUL17	B 1 PD13OCT17	19885.00	20941.50	USD	5,282.50	
13JUL17	S 1 PD13OCT17	19875.00	20941.50	USD	-5,332.50	
13JUL17	B 4 PD13OCT17	19870.00	20941.50	USD	21,430.00	
13JUL17	B 3 PD13OCT17	19900.00	20941.50	USD	15,622.50	
13JUL17	S 2 PD13OCT17	19895.00	20941.50	USD	-10,465.00	
13JUL17	B 3 PD13OCT17	19915.00	20941.50	USD	15,397.50	
13JUL17	S 2 PD13OCT17	19865.00	20941.50	USD	-10,765.00	
14JUL17	B 2 PD13OCT17	19830.00	20941.50	USD	11,115.00	
14JUL17	B 2 PD13OCT17	19835.00	20941.50	USD	11,065.00	
14JUL17	S 1 PD13OCT17	19855.00	20941.50	USD	-5,432.50	
14JUL17	S 1 PD13OCT17	19845.00	20941.50	USD	-5,482.50	
14JUL17	S 1 PD13OCT17	19815.00	20941.50	USD	-5,632.50	
14JUL17	S 3 PD13OCT17	19870.00	20941.50	USD	-16,072.50	
14JUL17	S 2 PD13OCT17	19860.00	20941.50	USD	-10,815.00	
14JUL17	B 3 PD13OCT17	19870.00	20941.50	USD	16,072.50	
14JUL17	S 1 PD13OCT17	19830.00	20941.50	USD	-5,557.50	
14JUL17	S 1 PD13OCT17	19865.00	20941.50	USD	-5,382.50	
14JUL17	B 1 PD13OCT17	19895.00	20941.50	USD	5,232.50	
14JUL17	S 1 PD13OCT17	19890.00	20941.50	USD	-5,257.50	
14JUL17	B 1 PD13OCT17	19860.00	20941.50	USD	5,407.50	
14JUL17	B 1 PD13OCT17	19845.00	20941.50	USD	5,482.50	
14JUL17	B 2 PD13OCT17	19865.00	20941.50	USD	10,765.00	
14JUL17	S 2 PD13OCT17	19835.00	20941.50	USD	-11,065.00	
14JUL17	S 1 PD13OCT17	19880.00	20941.50	USD	-5,307.50	
14JUL17	B 2 PD13OCT17	19890.00	20941.50	USD	10,515.00	
	NET 0 AVG BGHT 19891.84 SOLD 19902.76				**2,075.00**	**0.00**
17JUL17	S 1 PD17OCT17	20005.00	20936.50	USD	-4,657.50	
17JUL17	B 1 PD17OCT17	19955.00	20936.50	USD	4,907.50	
17JUL17	B 5 PD17OCT17	20000.00	20936.50	USD	23,412.50	
17JUL17	B 2 PD17OCT17	20050.00	20936.50	USD	8,865.00	
17JUL17	S 1 PD17OCT17	20065.00	20936.50	USD	-4,357.50	
17JUL17	S 1 PD17OCT17	20070.00	20936.50	USD	-4,332.50	
17JUL17	B 2 PD17OCT17	19925.00	20936.50	USD	10,115.00	
17JUL17	B 1 PD17OCT17	19950.00	20936.50	USD	4,932.50	
17JUL17	B 1 PD17OCT17	19995.00	20936.50	USD	4,707.50	
17JUL17	S 1 PD17OCT17	20010.00	20936.50	USD	-4,632.50	
17JUL17	S 1 PD17OCT17	19970.00	20936.50	USD	-4,832.50	
17JUL17	S 6 PD17OCT17	19995.00	20936.50	USD	-28,245.00	
17JUL17	S 2 PD17OCT17	19985.00	20936.50	USD	-9,515.00	
17JUL17	B 3 PD17OCT17	19975.00	20936.50	USD	14,422.50	
17JUL17	B 1 PD17OCT17	19910.00	20936.50	USD	5,132.50	
17JUL17	B 2 PD17OCT17	19945.00	20936.50	USD	9,915.00	
17JUL17	S 1 PD17OCT17	19900.00	20936.50	USD	-5,182.50	
17JUL17	S 1 PD17OCT17	19880.00	20936.50	USD	-5,282.50	
17JUL17	S 4 PD17OCT17	19910.00	20936.50	USD	-20,530.00	
20JUL17	B 1 PD17OCT17	20091.58	20936.50	USD	4,224.60	
	NET 0 AVG BGHT 19982.45 SOLD 19972.63				**-932.90**	**0.00**
18JUL17	B 3 PD18OCT17	19920.00	20934.00	USD	15,210.00	
18JUL17	S 1 PD18OCT17	19915.00	20934.00	USD	-5,095.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AD

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
18JUL17	S 2 PD18OCT17	19925.00	20934.00	USD	-10,090.00	
18JUL17	B 1 PD18OCT17	19910.00	20934.00	USD	5,120.00	
18JUL17	S 1 PD18OCT17	19950.00	20934.00	USD	-4,920.00	
18JUL17	S 1 PD18OCT17	19960.00	20934.00	USD	-4,870.00	
18JUL17	B 1 PD18OCT17	19950.00	20934.00	USD	4,920.00	
18JUL17	S 2 PD18OCT17	19995.00	20934.00	USD	-9,390.00	
18JUL17	B 1 PD18OCT17	19970.00	20934.00	USD	4,820.00	
18JUL17	B 1 PD18OCT17	19980.00	20934.00	USD	4,770.00	
18JUL17	B 1 PD18OCT17	20000.00	20934.00	USD	4,670.00	
18JUL17	B 1 PD18OCT17	20050.00	20934.00	USD	4,420.00	
18JUL17	S 4 PD18OCT17	20040.00	20934.00	USD	-17,880.00	
18JUL17	B 1 PD18OCT17	20045.00	20934.00	USD	4,445.00	
18JUL17	S 3 PD18OCT17	20050.00	20934.00	USD	-13,260.00	
18JUL17	B 2 PD18OCT17	20100.00	20934.00	USD	8,340.00	
18JUL17	B 1 PD18OCT17	20030.00	20934.00	USD	4,520.00	
18JUL17	S 2 PD18OCT17	20045.00	20934.00	USD	-8,890.00	
18JUL17	B 3 PD18OCT17	20010.00	20934.00	USD	13,860.00	
18JUL17	B 1 PD18OCT17	20005.00	20934.00	USD	4,645.00	
20JUL17	S 1 PD18OCT17	20089.00	20934.00	USD	-4,225.00	
	NET 0 AVG BGHT 19995.88 SOLD 20009.06				**1,120.00**	**0.00**
19JUL17	B 2 PD19OCT17	20060.00	20929.00	USD	8,690.00	
19JUL17	B 2 PD19OCT17	20100.00	20929.00	USD	8,290.00	
19JUL17	B 2 PD19OCT17	20070.00	20929.00	USD	8,590.00	
19JUL17	S 1 PD19OCT17	20070.00	20929.00	USD	-4,295.00	
19JUL17	S 1 PD19OCT17	20055.00	20929.00	USD	-4,370.00	
19JUL17	S 1 PD19OCT17	20050.00	20929.00	USD	-4,395.00	
19JUL17	B 7 PD19OCT17	20055.00	20929.00	USD	30,590.00	
19JUL17	S 2 PD19OCT17	20040.00	20929.00	USD	-8,890.00	
19JUL17	S 1 PD19OCT17	20100.00	20929.00	USD	-4,145.00	
19JUL17	B 2 PD19OCT17	20115.00	20929.00	USD	8,140.00	
19JUL17	S 2 PD19OCT17	20110.00	20929.00	USD	-8,190.00	
19JUL17	S 1 PD19OCT17	20130.00	20929.00	USD	-3,995.00	
19JUL17	B 1 PD19OCT17	20125.00	20929.00	USD	4,020.00	
19JUL17	S 2 PD19OCT17	20075.00	20929.00	USD	-8,540.00	
19JUL17	B 1 PD19OCT17	20045.00	20929.00	USD	4,420.00	
19JUL17	B 1 PD19OCT17	20075.00	20929.00	USD	4,270.00	
19JUL17	B 1 PD19OCT17	20095.00	20929.00	USD	4,170.00	
19JUL17	S 2 PD19OCT17	20145.00	20929.00	USD	-7,840.00	
19JUL17	B 1 PD19OCT17	20090.00	20929.00	USD	4,195.00	
19JUL17	B 2 PD19OCT17	20135.00	20929.00	USD	7,940.00	
19JUL17	S 1 PD19OCT17	20085.00	20929.00	USD	-4,220.00	
19JUL17	S 5 PD19OCT17	20095.00	20929.00	USD	-20,850.00	
19JUL17	S 2 PD19OCT17	20115.00	20929.00	USD	-8,140.00	
19JUL17	S 6 PD19OCT17	20105.00	20929.00	USD	-24,720.00	
	NET -5 AVG BGHT 20080.68 SOLD 20095.00				**-19,275.00**	**0.00**
20JUL17	B 1 PD20OCT17	20105.00	20924.00	USD	4,095.00	
20JUL17	B 1 PD20OCT17	20115.00	20924.00	USD	4,045.00	
20JUL17	S 1 PD20OCT17	20100.00	20924.00	USD	-4,120.00	
20JUL17	S 1 PD20OCT17	20125.00	20924.00	USD	-3,995.00	
20JUL17	S 7 PD20OCT17	20095.00	20924.00	USD	-29,015.00	
20JUL17	B 6 PD20OCT17	20100.00	20924.00	USD	24,720.00	
20JUL17	S 1 PD20OCT17	20110.00	20924.00	USD	-4,070.00	
20JUL17	B 7 PD20OCT17	20110.00	20924.00	USD	28,490.00	
21JUL17	S 1 PD20OCT17	20080.00	20924.00	USD	-4,220.00	
21JUL17	S 1 PD20OCT17	20090.00	20924.00	USD	-4,170.00	
21JUL17	S 1 PD20OCT17	20115.00	20924.00	USD	-4,045.00	
21JUL17	B 1 PD20OCT17	20110.00	20924.00	USD	4,070.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AD

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
21JUL17	B 1 PD20OCT17	20115.00	20924.00	USD	4,045.00	
21JUL17	B 1 PD20OCT17	20100.00	20924.00	USD	4,120.00	
21JUL17	B 1 PD20OCT17	20160.00	20924.00	USD	3,820.00	
21JUL17	S 1 PD20OCT17	20150.00	20924.00	USD	-3,870.00	
21JUL17	S 2 PD20OCT17	20170.00	20924.00	USD	-7,540.00	
21JUL17	B 1 PD20OCT17	20135.00	20924.00	USD	3,945.00	
21JUL17	S 1 PD20OCT17	20160.00	20924.00	USD	-3,820.00	
21JUL17	S 3 PD20OCT17	20130.00	20924.00	USD	-11,910.00	
21JUL17	S 2 PD20OCT17	20140.00	20924.00	USD	-7,840.00	
21JUL17	B 3 PD20OCT17	20155.00	20924.00	USD	11,535.00	
21JUL17	B 4 PD20OCT17	20150.00	20924.00	USD	15,480.00	
21JUL17	B 1 PD20OCT17	20190.00	20924.00	USD	3,670.00	
21JUL17	B 2 PD20OCT17	20200.00	20924.00	USD	7,240.00	
21JUL17	S 3 PD20OCT17	20180.00	20924.00	USD	-11,160.00	
21JUL17	S 1 PD20OCT17	20210.00	20924.00	USD	-3,570.00	
21JUL17	B 3 PD20OCT17	20215.00	20924.00	USD	10,635.00	
21JUL17	S 2 PD20OCT17	20215.00	20924.00	USD	-7,090.00	
	NET +5 AVG BGHT 20136.67 SOLD 20135.18				**19,475.00**	**0.00**
24JUL17	B 1 PD24OCT17	20260.00	20914.00	USD	3,270.00	
24JUL17	B 1 PD24OCT17	20255.00	20914.00	USD	3,295.00	
24JUL17	S 1 PD24OCT17	20250.00	20914.00	USD	-3,320.00	
24JUL17	B 1 PD24OCT17	20170.00	20914.00	USD	3,720.00	
24JUL17	B 2 PD24OCT17	20175.00	20914.00	USD	7,390.00	
24JUL17	S 2 PD24OCT17	20150.00	20914.00	USD	-7,640.00	
24JUL17	S 3 PD24OCT17	20125.00	20914.00	USD	-11,835.00	
24JUL17	S 2 PD24OCT17	20135.00	20914.00	USD	-7,790.00	
24JUL17	B 5 PD24OCT17	20155.00	20914.00	USD	18,975.00	
24JUL17	B 2 PD24OCT17	20160.00	20914.00	USD	7,540.00	
24JUL17	B 2 PD24OCT17	20200.00	20914.00	USD	7,140.00	
24JUL17	S 2 PD24OCT17	20180.00	20914.00	USD	-7,340.00	
24JUL17	S 3 PD24OCT17	20130.00	20914.00	USD	-11,760.00	
24JUL17	B 1 PD24OCT17	20180.00	20914.00	USD	3,670.00	
24JUL17	S 1 PD24OCT17	20140.00	20914.00	USD	-3,870.00	
24JUL17	B 2 PD24OCT17	20245.00	20914.00	USD	6,690.00	
24JUL17	B 8 PD24OCT17	20130.00	20914.00	USD	31,360.00	
24JUL17	S 3 PD24OCT17	20160.00	20914.00	USD	-11,310.00	
24JUL17	S 9 PD24OCT17	20220.00	20914.00	USD	-31,230.00	
24JUL17	B 1 PD24OCT17	20125.00	20914.00	USD	3,945.00	
	NET 0 AVG BGHT 20167.88 SOLD 20174.81				**900.00**	**0.00**
25JUL17	B 8 PD25OCT17	20200.00	20909.00	USD	28,360.00	
25JUL17	S 1 PD25OCT17	20225.00	20909.00	USD	-3,420.00	
25JUL17	S 4 PD25OCT17	20255.00	20909.00	USD	-13,080.00	
25JUL17	S 1 PD25OCT17	20245.00	20909.00	USD	-3,320.00	
25JUL17	B 1 PD25OCT17	20230.00	20909.00	USD	3,395.00	
25JUL17	S 3 PD25OCT17	20200.00	20909.00	USD	-10,635.00	
25JUL17	B 4 PD25OCT17	20300.00	20909.00	USD	12,180.00	
25JUL17	S 1 PD25OCT17	20325.00	20909.00	USD	-2,920.00	
25JUL17	S 4 PD25OCT17	20300.00	20909.00	USD	-12,180.00	
25JUL17	S 5 PD25OCT17	20320.00	20909.00	USD	-14,725.00	
25JUL17	B 1 PD25OCT17	20325.00	20909.00	USD	2,920.00	
25JUL17	B 6 PD25OCT17	20330.00	20909.00	USD	17,370.00	
25JUL17	B 1 PD25OCT17	20295.00	20909.00	USD	3,070.00	
25JUL17	B 1 PD25OCT17	20335.00	20909.00	USD	2,870.00	
25JUL17	S 4 PD25OCT17	20330.00	20909.00	USD	-11,580.00	
25JUL17	S 1 PD25OCT17	20335.00	20909.00	USD	-2,870.00	
25JUL17	S 3 PD25OCT17	20350.00	20909.00	USD	-8,385.00	
25JUL17	B 1 PD25OCT17	20350.00	20909.00	USD	2,795.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AD

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
	NET -4 AVG BGHT 20274.57 SOLD 20293.33				**-10,155.00**	**0.00**
26JUL17	B 1 PD26OCT17	20320.00	20904.00	USD	2,920.00	
26JUL17	S 2 PD26OCT17	20275.00	20904.00	USD	-6,290.00	
26JUL17	B 2 PD26OCT17	20325.00	20904.00	USD	5,790.00	
26JUL17	B 5 PD26OCT17	20350.00	20904.00	USD	13,850.00	
26JUL17	S 1 PD26OCT17	20330.00	20904.00	USD	-2,870.00	
26JUL17	S 1 PD26OCT17	20370.00	20904.00	USD	-2,670.00	
26JUL17	S 8 PD26OCT17	20360.00	20904.00	USD	-21,760.00	
26JUL17	B 4 PD26OCT17	20310.00	20904.00	USD	11,880.00	
26JUL17	S 1 PD26OCT17	20255.00	20904.00	USD	-3,245.00	
26JUL17	B 2 PD26OCT17	20370.00	20904.00	USD	5,340.00	
26JUL17	B 1 PD26OCT17	20365.00	20904.00	USD	2,695.00	
26JUL17	B 2 PD26OCT17	20375.00	20904.00	USD	5,290.00	
	NET +4 AVG BGHT 20342.06 SOLD 20337.31				**10,930.00**	**0.00**
27JUL17	S 1 PD27OCT17	20480.00	20899.00	USD	-2,095.00	
27JUL17	B 2 PD27OCT17	20550.00	20899.00	USD	3,490.00	
27JUL17	S 1 PD27OCT17	20535.00	20899.00	USD	-1,820.00	
27JUL17	B 3 PD27OCT17	20500.00	20899.00	USD	5,985.00	
27JUL17	S 1 PD27OCT17	20450.00	20899.00	USD	-2,245.00	
27JUL17	S 2 PD27OCT17	20490.00	20899.00	USD	-4,090.00	
27JUL17	S 1 PD27OCT17	20500.00	20899.00	USD	-1,995.00	
27JUL17	S 1 PD27OCT17	20530.00	20899.00	USD	-1,845.00	
27JUL17	B 1 PD27OCT17	20570.00	20899.00	USD	1,645.00	
27JUL17	B 4 PD27OCT17	20600.00	20899.00	USD	5,980.00	
27JUL17	S 1 PD27OCT17	20540.00	20899.00	USD	-1,795.00	
27JUL17	S 1 PD27OCT17	20545.00	20899.00	USD	-1,770.00	
27JUL17	S 1 PD27OCT17	20580.00	20899.00	USD	-1,595.00	
27JUL17	S 4 PD27OCT17	20585.00	20899.00	USD	-6,280.00	
27JUL17	S 1 PD27OCT17	20590.00	20899.00	USD	-1,545.00	
27JUL17	B 2 PD27OCT17	20705.00	20899.00	USD	1,940.00	
27JUL17	S 2 PD27OCT17	20675.00	20899.00	USD	-2,240.00	
27JUL17	S 2 PD27OCT17	20730.00	20899.00	USD	-1,690.00	
27JUL17	S 3 PD27OCT17	20665.00	20899.00	USD	-3,510.00	
27JUL17	S 3 PD27OCT17	20710.00	20899.00	USD	-2,835.00	
27JUL17	B 3 PD27OCT17	20560.00	20899.00	USD	5,085.00	
27JUL17	S 4 PD27OCT17	20670.00	20899.00	USD	-4,580.00	
27JUL17	B 1 PD27OCT17	20495.00	20899.00	USD	2,020.00	
27JUL17	B 1 PD27OCT17	20610.00	20899.00	USD	1,445.00	
27JUL17	B 10 PD27OCT17	20650.00	20899.00	USD	12,450.00	
27JUL17	B 1 PD27OCT17	20695.00	20899.00	USD	1,020.00	
27JUL17	B 1 PD27OCT17	20710.00	20899.00	USD	945.00	
27JUL17	S 1 PD27OCT17	20640.00	20899.00	USD	-1,295.00	
27JUL17	S 1 PD27OCT17	20735.00	20899.00	USD	-820.00	
27JUL17	S 1 PD27OCT17	20740.00	20899.00	USD	-795.00	
27JUL17	B 2 PD27OCT17	20760.00	20899.00	USD	1,390.00	
27JUL17	B 1 PD27OCT17	20770.00	20899.00	USD	645.00	
27JUL17	S 4 PD27OCT17	20750.00	20899.00	USD	-2,980.00	
27JUL17	S 2 PD27OCT17	20765.00	20899.00	USD	-1,340.00	
27JUL17	B 5 PD27OCT17	20670.00	20899.00	USD	5,725.00	
27JUL17	S 1 PD27OCT17	20700.00	20899.00	USD	-995.00	
27JUL17	B 1 PD27OCT17	20665.00	20899.00	USD	1,170.00	
27JUL17	B 2 PD27OCT17	20700.00	20899.00	USD	1,990.00	
27JUL17	B 1 PD27OCT17	20755.00	20899.00	USD	720.00	
27JUL17	B 1 PD27OCT17	20765.00	20899.00	USD	670.00	
27JUL17	S 1 PD27OCT17	20720.00	20899.00	USD	-895.00	
27JUL17	S 2 PD27OCT17	20645.00	20899.00	USD	-2,540.00	
27JUL17	S 1 PD27OCT17	20650.00	20899.00	USD	-1,245.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AD

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
27JUL17	B 2 PD27OCT17	20580.00	20899.00	USD	3,190.00	
27JUL17	B 1 PD27OCT17	20615.00	20899.00	USD	1,420.00	
27JUL17	S 2 PD27OCT17	20610.00	20899.00	USD	-2,890.00	
27JUL17	B 4 PD27OCT17	20640.00	20899.00	USD	5,180.00	
27JUL17	S 1 PD27OCT17	20630.00	20899.00	USD	-1,345.00	
27JUL17	S 3 PD27OCT17	20680.00	20899.00	USD	-3,285.00	
27JUL17	S 7 PD27OCT17	20695.00	20899.00	USD	-7,140.00	
27JUL17	B 1 PD27OCT17	20635.00	20899.00	USD	1,320.00	
27JUL17	B 1 PD27OCT17	20655.00	20899.00	USD	1,220.00	
27JUL17	S 1 PD27OCT17	20615.00	20899.00	USD	-1,420.00	
27JUL17	B 1 PD27OCT17	20680.00	20899.00	USD	1,095.00	
28JUL17	B 2 PD27OCT17	20585.00	20899.00	USD	3,140.00	
28JUL17	B 1 PD27OCT17	20630.00	20899.00	USD	1,345.00	
28JUL17	B 1 PD27OCT17	20690.00	20899.00	USD	1,045.00	
28JUL17	S 1 PD27OCT17	20740.00	20899.00	USD	-795.00	
28JUL17	B 1 PD27OCT17	20565.00	20899.00	USD	1,670.00	
28JUL17	S 1 PD27OCT17	20550.00	20899.00	USD	-1,745.00	
28JUL17	S 2 PD27OCT17	20690.00	20899.00	USD	-2,090.00	
28JUL17	S 2 PD27OCT17	20705.00	20899.00	USD	-1,940.00	
28JUL17	S 1 PD27OCT17	20720.00	20899.00	USD	-895.00	
28JUL17	B 4 PD27OCT17	20670.00	20899.00	USD	4,580.00	
28JUL17	B 2 PD27OCT17	20740.00	20899.00	USD	1,590.00	
28JUL17	S 1 PD27OCT17	20750.00	20899.00	USD	-745.00	
28JUL17	S 4 PD27OCT17	20710.00	20899.00	USD	-3,780.00	
28JUL17	B 2 PD27OCT17	20710.00	20899.00	USD	1,890.00	
28JUL17	B 1 PD27OCT17	20705.00	20899.00	USD	970.00	
28JUL17	S 2 PD27OCT17	20725.00	20899.00	USD	-1,740.00	
28JUL17	S 2 PD27OCT17	20745.00	20899.00	USD	-1,540.00	
28JUL17	B 4 PD27OCT17	20785.00	20899.00	USD	2,280.00	
28JUL17	B 1 PD27OCT17	20810.00	20899.00	USD	445.00	
28JUL17	B 1 PD27OCT17	20815.00	20899.00	USD	420.00	
28JUL17	S 1 PD27OCT17	20800.00	20899.00	USD	-495.00	
28JUL17	S 1 PD27OCT17	20810.00	20899.00	USD	-445.00	
28JUL17	B 1 PD27OCT17	20820.00	20899.00	USD	395.00	
28JUL17	S 2 PD27OCT17	20835.00	20899.00	USD	-640.00	
28JUL17	S 2 PD27OCT17	20900.00	20899.00	USD	10.00	
28JUL17	B 1 PD27OCT17	20850.00	20899.00	USD	245.00	
28JUL17	S 3 PD27OCT17	20840.00	20899.00	USD	-885.00	
28JUL17	B 1 PD27OCT17	20765.00	20899.00	USD	670.00	
28JUL17	B 1 PD27OCT17	20855.00	20899.00	USD	220.00	
28JUL17	S 1 PD27OCT17	20805.00	20899.00	USD	-470.00	
28JUL17	B 3 PD27OCT17	20780.00	20899.00	USD	1,785.00	
28JUL17	S 1 PD27OCT17	20815.00	20899.00	USD	-420.00	
28JUL17	B 1 PD27OCT17	20745.00	20899.00	USD	770.00	
28JUL17	B 1 PD27OCT17	20750.00	20899.00	USD	745.00	
28JUL17	B 4 PD27OCT17	20625.00	20899.00	USD	5,480.00	
28JUL17	B 2 PD27OCT17	20700.00	20899.00	USD	1,990.00	
28JUL17	B 3 PD27OCT17	20715.00	20899.00	USD	2,760.00	
28JUL17	B 3 PD27OCT17	20725.00	20899.00	USD	2,610.00	
28JUL17	S 2 PD27OCT17	20660.00	20899.00	USD	-2,390.00	
28JUL17	B 1 PD27OCT17	20655.00	20899.00	USD	1,220.00	
28JUL17	S 1 PD27OCT17	20600.00	20899.00	USD	-1,495.00	
28JUL17	S 3 PD27OCT17	20645.00	20899.00	USD	-3,810.00	
28JUL17	S 1 PD27OCT17	20635.00	20899.00	USD	-1,320.00	
28JUL17	S 2 PD27OCT17	20650.00	20899.00	USD	-2,490.00	
28JUL17	S 1 PD27OCT17	20695.00	20899.00	USD	-1,020.00	
28JUL17	S 2 PD27OCT17	20775.00	20899.00	USD	-1,240.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AD

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
28JUL17	B 1 PD27OCT17	20660.00	20899.00	USD	1,195.00	
28JUL17	B 1 PD27OCT17	20675.00	20899.00	USD	1,120.00	
	NET 0 AVG BGHT 20673.33 SOLD 20683.80				**5,025.00**	**0.00**
02AUG17	B 1 PD02NOV17	20495.00	20882.60	USD	1,938.00	
02AUG17	B 2 PD02NOV17	20500.00	20882.60	USD	3,826.00	
02AUG17	S 2 PD02NOV17	20500.00	20882.60	USD	-3,826.00	
02AUG17	S 2 PD02NOV17	20425.00	20882.60	USD	-4,576.00	
02AUG17	B 1 PD02NOV17	20385.00	20882.60	USD	2,488.00	
02AUG17	S 1 PD02NOV17	20385.00	20882.60	USD	-2,488.00	
02AUG17	B 1 PD02NOV17	20475.00	20882.60	USD	2,038.00	
02AUG17	S 1 PD02NOV17	20545.00	20882.60	USD	-1,688.00	
02AUG17	B 1 PD02NOV17	20535.00	20882.60	USD	1,738.00	
02AUG17	S 1 PD02NOV17	20530.00	20882.60	USD	-1,763.00	
02AUG17	S 1 PD02NOV17	20555.00	20882.60	USD	-1,638.00	
02AUG17	S 1 PD02NOV17	20560.00	20882.60	USD	-1,613.00	
02AUG17	S 1 PD02NOV17	20565.00	20882.60	USD	-1,588.00	
02AUG17	B 3 PD02NOV17	20570.00	20882.60	USD	4,689.00	
02AUG17	B 1 PD02NOV17	20580.00	20882.60	USD	1,513.00	
	NET 0 AVG BGHT 20518.00 SOLD 20499.00				**-950.00**	**0.00**
03AUG17	B 1 PD03NOV17	20595.00	20881.20	USD	1,431.00	
03AUG17	B 2 PD03NOV17	20565.00	20881.20	USD	3,162.00	
03AUG17	S 2 PD03NOV17	20610.00	20881.20	USD	-2,712.00	
03AUG17	B 2 PD03NOV17	20625.00	20881.20	USD	2,562.00	
03AUG17	S 2 PD03NOV17	20590.00	20881.20	USD	-2,912.00	
03AUG17	B 1 PD03NOV17	20645.00	20881.20	USD	1,181.00	
03AUG17	S 2 PD03NOV17	20595.00	20881.20	USD	-2,862.00	
03AUG17	B 1 PD03NOV17	20635.00	20881.20	USD	1,231.00	
03AUG17	B 1 PD03NOV17	20640.00	20881.20	USD	1,206.00	
03AUG17	S 1 PD03NOV17	20620.00	20881.20	USD	-1,306.00	
03AUG17	S 1 PD03NOV17	20600.00	20881.20	USD	-1,406.00	
04AUG17	S 1 PD03NOV17	20690.00	20881.20	USD	-956.00	
04AUG17	S 2 PD03NOV17	20715.00	20881.20	USD	-1,662.00	
04AUG17	B 2 PD03NOV17	20800.00	20881.20	USD	812.00	
04AUG17	S 2 PD03NOV17	20795.00	20881.20	USD	-862.00	
04AUG17	S 4 PD03NOV17	20775.00	20881.20	USD	-2,124.00	
04AUG17	S 1 PD03NOV17	20780.00	20881.20	USD	-506.00	
04AUG17	B 5 PD03NOV17	20700.00	20881.20	USD	4,530.00	
04AUG17	S 1 PD03NOV17	20700.00	20881.20	USD	-906.00	
04AUG17	S 1 PD03NOV17	20650.00	20881.20	USD	-1,156.00	
04AUG17	B 1 PD03NOV17	20575.00	20881.20	USD	1,531.00	
04AUG17	B 1 PD03NOV17	20665.00	20881.20	USD	1,081.00	
04AUG17	B 1 PD03NOV17	20675.00	20881.20	USD	1,031.00	
04AUG17	B 2 PD03NOV17	20685.00	20881.20	USD	1,962.00	
04AUG17	B 1 PD03NOV17	20730.00	20881.20	USD	756.00	
04AUG17	S 1 PD03NOV17	20590.00	20881.20	USD	-1,456.00	
04AUG17	B 1 PD03NOV17	20565.00	20881.20	USD	1,581.00	
04AUG17	S 1 PD03NOV17	20575.00	20881.20	USD	-1,531.00	
04AUG17	B 1 PD03NOV17	20540.00	20881.20	USD	1,706.00	
04AUG17	B 2 PD03NOV17	20545.00	20881.20	USD	3,362.00	
04AUG17	S 1 PD03NOV17	20560.00	20881.20	USD	-1,606.00	
04AUG17	S 2 PD03NOV17	20570.00	20881.20	USD	-3,112.00	
	NET 0 AVG BGHT 20648.20 SOLD 20664.60				**2,050.00**	**0.00**
07AUG17	S 1 PD07NOV17	20640.00	20878.40	USD	-1,192.00	
07AUG17	B 3 PD07NOV17	20650.00	20878.40	USD	3,426.00	
07AUG17	S 1 PD07NOV17	20585.00	20878.40	USD	-1,467.00	
07AUG17	B 1 PD07NOV17	20590.00	20878.40	USD	1,442.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AD

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
07AUG17	S 3 PD07NOV17	20575.00	20878.40	USD	-4,551.00	
07AUG17	B 2 PD07NOV17	20580.00	20878.40	USD	2,984.00	
07AUG17	S 3 PD07NOV17	20655.00	20878.40	USD	-3,351.00	
07AUG17	S 2 PD07NOV17	20695.00	20878.40	USD	-1,834.00	
07AUG17	S 1 PD07NOV17	20675.00	20878.40	USD	-1,017.00	
07AUG17	S 1 PD07NOV17	20690.00	20878.40	USD	-942.00	
07AUG17	B 1 PD07NOV17	20670.00	20878.40	USD	1,042.00	
07AUG17	S 2 PD07NOV17	20650.00	20878.40	USD	-2,284.00	
07AUG17	S 1 PD07NOV17	20660.00	20878.40	USD	-1,092.00	
07AUG17	S 2 PD07NOV17	20620.00	20878.40	USD	-2,584.00	
07AUG17	B 2 PD07NOV17	20620.00	20878.40	USD	2,584.00	
07AUG17	B 1 PD07NOV17	20615.00	20878.40	USD	1,317.00	
07AUG17	B 1 PD07NOV17	20645.00	20878.40	USD	1,167.00	
07AUG17	B 1 PD07NOV17	20690.00	20878.40	USD	942.00	
07AUG17	S 1 PD07NOV17	20605.00	20878.40	USD	-1,367.00	
07AUG17	S 1 PD07NOV17	20645.00	20878.40	USD	-1,167.00	
07AUG17	B 4 PD07NOV17	20625.00	20878.40	USD	5,068.00	
07AUG17	B 3 PD07NOV17	20605.00	20878.40	USD	4,101.00	
	NET 0 AVG BGHT 20625.00 SOLD 20637.89				**1,225.00**	**0.00**
08AUG17	S 1 PD08NOV17	20510.00	20877.00	USD	-1,835.00	
08AUG17	S 1 PD08NOV17	20395.00	20877.00	USD	-2,410.00	
08AUG17	S 1 PD08NOV17	20400.00	20877.00	USD	-2,385.00	
08AUG17	S 1 PD08NOV17	20455.00	20877.00	USD	-2,110.00	
08AUG17	S 1 PD08NOV17	20480.00	20877.00	USD	-1,985.00	
08AUG17	B 4 PD08NOV17	20470.00	20877.00	USD	8,140.00	
08AUG17	S 1 PD08NOV17	20490.00	20877.00	USD	-1,935.00	
08AUG17	B 2 PD08NOV17	20425.00	20877.00	USD	4,520.00	
08AUG17	S 1 PD08NOV17	20460.00	20877.00	USD	-2,085.00	
08AUG17	B 1 PD08NOV17	20430.00	20877.00	USD	2,235.00	
08AUG17	B 1 PD08NOV17	20435.00	20877.00	USD	2,210.00	
08AUG17	B 1 PD08NOV17	20440.00	20877.00	USD	2,185.00	
08AUG17	B 1 PD08NOV17	20415.00	20877.00	USD	2,310.00	
08AUG17	S 1 PD08NOV17	20365.00	20877.00	USD	-2,560.00	
08AUG17	B 1 PD08NOV17	20350.00	20877.00	USD	2,635.00	
08AUG17	B 3 PD08NOV17	20310.00	20877.00	USD	8,505.00	
08AUG17	B 2 PD08NOV17	20320.00	20877.00	USD	5,570.00	
08AUG17	B 3 PD08NOV17	20340.00	20877.00	USD	8,055.00	
08AUG17	B 3 PD08NOV17	20315.00	20877.00	USD	8,430.00	
08AUG17	S 4 PD08NOV17	20305.00	20877.00	USD	-11,440.00	
08AUG17	B 8 PD08NOV17	20335.00	20877.00	USD	21,680.00	
08AUG17	S 3 PD08NOV17	20330.00	20877.00	USD	-8,205.00	
08AUG17	S 2 PD08NOV17	20285.00	20877.00	USD	-5,920.00	
08AUG17	B 1 PD08NOV17	20235.00	20877.00	USD	3,210.00	
08AUG17	S 2 PD08NOV17	20260.00	20877.00	USD	-6,170.00	
08AUG17	S 1 PD08NOV17	20270.00	20877.00	USD	-3,035.00	
08AUG17	S 1 PD08NOV17	20275.00	20877.00	USD	-3,010.00	
08AUG17	S 4 PD08NOV17	20225.00	20877.00	USD	-13,040.00	
08AUG17	B 2 PD08NOV17	20155.00	20877.00	USD	7,220.00	
08AUG17	B 2 PD08NOV17	20220.00	20877.00	USD	6,570.00	
08AUG17	B 2 PD08NOV17	20250.00	20877.00	USD	6,270.00	
08AUG17	S 1 PD08NOV17	20250.00	20877.00	USD	-3,135.00	
08AUG17	B 2 PD08NOV17	20165.00	20877.00	USD	7,120.00	
08AUG17	B 2 PD08NOV17	20170.00	20877.00	USD	7,070.00	
08AUG17	B 1 PD08NOV17	20185.00	20877.00	USD	3,460.00	
08AUG17	B 4 PD08NOV17	20195.00	20877.00	USD	13,640.00	
08AUG17	B 3 PD08NOV17	20240.00	20877.00	USD	9,555.00	
08AUG17	B 2 PD08NOV17	20175.00	20877.00	USD	7,020.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AD

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
08AUG17	S 8 PD08NOV17	20215.00	20877.00	USD	-26,480.00	
08AUG17	B 3 PD08NOV17	20205.00	20877.00	USD	10,080.00	
08AUG17	B 2 PD08NOV17	20225.00	20877.00	USD	6,520.00	
08AUG17	B 1 PD08NOV17	20230.00	20877.00	USD	3,235.00	
08AUG17	S 3 PD08NOV17	20230.00	20877.00	USD	-9,705.00	
08AUG17	S 5 PD08NOV17	20235.00	20877.00	USD	-16,050.00	
08AUG17	B 1 PD08NOV17	20210.00	20877.00	USD	3,335.00	
08AUG17	B 3 PD08NOV17	20215.00	20877.00	USD	9,930.00	
08AUG17	S 3 PD08NOV17	20350.00	20877.00	USD	-7,905.00	
08AUG17	S 3 PD08NOV17	20340.00	20877.00	USD	-8,055.00	
08AUG17	S 3 PD08NOV17	20310.00	20877.00	USD	-8,505.00	
08AUG17	S 7 PD08NOV17	20245.00	20877.00	USD	-22,120.00	
08AUG17	B 2 PD08NOV17	20245.00	20877.00	USD	6,320.00	
08AUG17	S 2 PD08NOV17	20220.00	20877.00	USD	-6,570.00	
08AUG17	B 1 PD08NOV17	20255.00	20877.00	USD	3,110.00	
08AUG17	S 1 PD08NOV17	20255.00	20877.00	USD	-3,110.00	
08AUG17	S 1 PD08NOV17	20325.00	20877.00	USD	-2,760.00	
08AUG17	S 1 PD08NOV17	20410.00	20877.00	USD	-2,335.00	
08AUG17	S 1 PD08NOV17	20430.00	20877.00	USD	-2,235.00	
	NET 0 AVG BGHT 20282.81 SOLD 20292.34				**3,050.00**	**0.00**
09AUG17	S 1 PD09NOV17	20370.00	20875.60	USD	-2,528.00	
09AUG17	B 1 PD09NOV17	20345.00	20875.60	USD	2,653.00	
09AUG17	B 1 PD09NOV17	20305.00	20875.60	USD	2,853.00	
09AUG17	B 1 PD09NOV17	20285.00	20875.60	USD	2,953.00	
09AUG17	B 2 PD09NOV17	20300.00	20875.60	USD	5,756.00	
09AUG17	S 1 PD09NOV17	20365.00	20875.60	USD	-2,553.00	
09AUG17	B 1 PD09NOV17	20340.00	20875.60	USD	2,678.00	
09AUG17	S 1 PD09NOV17	20310.00	20875.60	USD	-2,828.00	
09AUG17	S 1 PD09NOV17	20340.00	20875.60	USD	-2,678.00	
09AUG17	S 3 PD09NOV17	20355.00	20875.60	USD	-7,809.00	
09AUG17	S 1 PD09NOV17	20480.00	20875.60	USD	-1,978.00	
09AUG17	B 1 PD09NOV17	20435.00	20875.60	USD	2,203.00	
09AUG17	B 2 PD09NOV17	20330.00	20875.60	USD	5,456.00	
09AUG17	S 3 PD09NOV17	20295.00	20875.60	USD	-8,709.00	
09AUG17	B 1 PD09NOV17	20280.00	20875.60	USD	2,978.00	
09AUG17	B 1 PD09NOV17	20265.00	20875.60	USD	3,053.00	
09AUG17	S 1 PD09NOV17	20230.00	20875.60	USD	-3,228.00	
09AUG17	S 1 PD09NOV17	20240.00	20875.60	USD	-3,178.00	
09AUG17	S 2 PD09NOV17	20245.00	20875.60	USD	-6,306.00	
09AUG17	S 1 PD09NOV17	20255.00	20875.60	USD	-3,103.00	
09AUG17	B 4 PD09NOV17	20250.00	20875.60	USD	12,512.00	
09AUG17	B 2 PD09NOV17	20275.00	20875.60	USD	6,006.00	
09AUG17	S 1 PD09NOV17	20275.00	20875.60	USD	-3,003.00	
	NET 0 AVG BGHT 20297.94 SOLD 20312.06				**1,200.00**	**0.00**
10AUG17	B 1 PD10NOV17	20400.00	20874.20	USD	2,371.00	
10AUG17	S 1 PD10NOV17	20360.00	20874.20	USD	-2,571.00	
10AUG17	S 1 PD10NOV17	20370.00	20874.20	USD	-2,521.00	
10AUG17	S 1 PD10NOV17	20380.00	20874.20	USD	-2,471.00	
10AUG17	B 1 PD10NOV17	20300.00	20874.20	USD	2,871.00	
10AUG17	S 2 PD10NOV17	20310.00	20874.20	USD	-5,642.00	
10AUG17	S 2 PD10NOV17	20260.00	20874.20	USD	-6,142.00	
10AUG17	B 1 PD10NOV17	20285.00	20874.20	USD	2,946.00	
10AUG17	S 4 PD10NOV17	20300.00	20874.20	USD	-11,484.00	
10AUG17	B 1 PD10NOV17	20375.00	20874.20	USD	2,496.00	
10AUG17	S 1 PD10NOV17	20325.00	20874.20	USD	-2,746.00	
10AUG17	B 7 PD10NOV17	20320.00	20874.20	USD	19,397.00	
10AUG17	S 1 PD10NOV17	20315.00	20874.20	USD	-2,796.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AD

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
10AUG17	B 4 PD10NOV17	20260.00	20874.20	USD	12,284.00	
10AUG17	B 2 PD10NOV17	20265.00	20874.20	USD	6,092.00	
10AUG17	S 5 PD10NOV17	20295.00	20874.20	USD	-14,480.00	
10AUG17	B 1 PD10NOV17	20340.00	20874.20	USD	2,671.00	
10AUG17	B 5 PD10NOV17	20450.00	20874.20	USD	10,605.00	
10AUG17	S 5 PD10NOV17	20440.00	20874.20	USD	-10,855.00	
10AUG17	B 1 PD10NOV17	20430.00	20874.20	USD	2,221.00	
10AUG17	B 1 PD10NOV17	20445.00	20874.20	USD	2,146.00	
10AUG17	B 2 PD10NOV17	20355.00	20874.20	USD	5,192.00	
10AUG17	B 3 PD10NOV17	20325.00	20874.20	USD	8,238.00	
10AUG17	S 6 PD10NOV17	20290.00	20874.20	USD	-17,526.00	
10AUG17	S 1 PD10NOV17	20320.00	20874.20	USD	-2,771.00	
11AUG17	S 1 PD10NOV17	20225.00	20874.20	USD	-3,246.00	
11AUG17	S 4 PD10NOV17	20270.00	20874.20	USD	-12,084.00	
11AUG17	B 3 PD10NOV17	20225.00	20874.20	USD	9,738.00	
11AUG17	S 1 PD10NOV17	20230.00	20874.20	USD	-3,221.00	
11AUG17	S 1 PD10NOV17	20260.00	20874.20	USD	-3,071.00	
11AUG17	B 3 PD10NOV17	20255.00	20874.20	USD	9,288.00	
11AUG17	B 3 PD10NOV17	20270.00	20874.20	USD	9,063.00	
11AUG17	B 1 PD10NOV17	20275.00	20874.20	USD	2,996.00	
11AUG17	S 3 PD10NOV17	20245.00	20874.20	USD	-9,438.00	
11AUG17	B 1 PD10NOV17	20260.00	20874.20	USD	3,071.00	
11AUG17	B 4 PD10NOV17	20220.00	20874.20	USD	13,084.00	
11AUG17	S 4 PD10NOV17	20305.00	20874.20	USD	-11,384.00	
11AUG17	B 2 PD10NOV17	20295.00	20874.20	USD	5,792.00	
11AUG17	B 1 PD10NOV17	20205.00	20874.20	USD	3,346.00	
11AUG17	B 1 PD10NOV17	20240.00	20874.20	USD	3,171.00	
11AUG17	B 1 PD10NOV17	20285.00	20874.20	USD	2,946.00	
11AUG17	B 1 PD10NOV17	20310.00	20874.20	USD	2,821.00	
11AUG17	S 1 PD10NOV17	20220.00	20874.20	USD	-3,271.00	
11AUG17	S 1 PD10NOV17	20240.00	20874.20	USD	-3,171.00	
11AUG17	S 1 PD10NOV17	20285.00	20874.20	USD	-2,946.00	
11AUG17	S 1 PD10NOV17	20310.00	20874.20	USD	-2,821.00	
11AUG17	S 6 PD10NOV17	20340.00	20874.20	USD	-16,026.00	
11AUG17	B 1 PD10NOV17	20365.00	20874.20	USD	2,546.00	
11AUG17	B 4 PD10NOV17	20370.00	20874.20	USD	10,084.00	
11AUG17	B 1 PD10NOV17	20390.00	20874.20	USD	2,421.00	
11AUG17	S 1 PD10NOV17	20350.00	20874.20	USD	-2,621.00	
11AUG17	S 3 PD10NOV17	20375.00	20874.20	USD	-7,488.00	
11AUG17	S 1 PD10NOV17	20380.00	20874.20	USD	-2,471.00	
11AUG17	S 1 PD10NOV17	20320.00	20874.20	USD	-2,771.00	
11AUG17	S 1 PD10NOV17	20345.00	20874.20	USD	-2,646.00	
11AUG17	B 2 PD10NOV17	20290.00	20874.20	USD	5,842.00	
11AUG17	S 4 PD10NOV17	20325.00	20874.20	USD	-10,984.00	
11AUG17	B 1 PD10NOV17	20315.00	20874.20	USD	2,796.00	
11AUG17	B 1 PD10NOV17	20330.00	20874.20	USD	2,721.00	
11AUG17	B 1 PD10NOV17	20340.00	20874.20	USD	2,671.00	
11AUG17	B 1 PD10NOV17	20350.00	20874.20	USD	2,621.00	
11AUG17	B 1 PD10NOV17	20355.00	20874.20	USD	2,596.00	
11AUG17	B 2 PD10NOV17	20305.00	20874.20	USD	5,692.00	
11AUG17	S 1 PD10NOV17	20335.00	20874.20	USD	-2,696.00	
	NET 0 AVG BGHT 20314.09 SOLD 20315.53				**475.00**	**0.00**
14AUG17	S 6 PD14NOV17	20230.00	20871.40	USD	-19,242.00	
14AUG17	B 1 PD14NOV17	20250.00	20871.40	USD	3,107.00	
14AUG17	B 4 PD14NOV17	20300.00	20871.40	USD	11,428.00	
14AUG17	S 4 PD14NOV17	20285.00	20871.40	USD	-11,728.00	
14AUG17	S 1 PD14NOV17	20390.00	20871.40	USD	-2,407.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AD

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
14AUG17	B 1 PD14NOV17	20340.00	20871.40	USD	2,657.00	
14AUG17	S 2 PD14NOV17	20350.00	20871.40	USD	-5,214.00	
14AUG17	S 2 PD14NOV17	20370.00	20871.40	USD	-5,014.00	
14AUG17	B 2 PD14NOV17	20400.00	20871.40	USD	4,714.00	
14AUG17	B 4 PD14NOV17	20260.00	20871.40	USD	12,228.00	
14AUG17	B 1 PD14NOV17	20305.00	20871.40	USD	2,832.00	
14AUG17	B 1 PD14NOV17	20345.00	20871.40	USD	2,632.00	
14AUG17	B 1 PD14NOV17	20350.00	20871.40	USD	2,607.00	
14AUG17	S 1 PD14NOV17	20345.00	20871.40	USD	-2,632.00	
14AUG17	S 2 PD14NOV17	20340.00	20871.40	USD	-5,314.00	
14AUG17	B 1 PD14NOV17	20255.00	20871.40	USD	3,082.00	
14AUG17	B 3 PD14NOV17	20320.00	20871.40	USD	8,271.00	
14AUG17	S 1 PD14NOV17	20330.00	20871.40	USD	-2,707.00	
14AUG17	S 1 PD14NOV17	20315.00	20871.40	USD	-2,782.00	
14AUG17	S 1 PD14NOV17	20290.00	20871.40	USD	-2,907.00	
14AUG17	B 1 PD14NOV17	20330.00	20871.40	USD	2,707.00	
14AUG17	B 1 PD14NOV17	20295.00	20871.40	USD	2,882.00	
	NET 0 AVG BGHT 20308.10 SOLD 20300.48				**-800.00**	**0.00**
15AUG17	S 2 PD15NOV17	20370.00	20870.00	USD	-5,000.00	
15AUG17	B 2 PD15NOV17	20305.00	20870.00	USD	5,650.00	
15AUG17	S 5 PD15NOV17	20250.00	20870.00	USD	-15,500.00	
15AUG17	B 3 PD15NOV17	20210.00	20870.00	USD	9,900.00	
15AUG17	B 1 PD15NOV17	20220.00	20870.00	USD	3,250.00	
15AUG17	S 1 PD15NOV17	20200.00	20870.00	USD	-3,350.00	
15AUG17	B 1 PD15NOV17	20215.00	20870.00	USD	3,275.00	
15AUG17	B 1 PD15NOV17	20240.00	20870.00	USD	3,150.00	
15AUG17	S 2 PD15NOV17	20240.00	20870.00	USD	-6,300.00	
15AUG17	B 1 PD15NOV17	20230.00	20870.00	USD	3,200.00	
15AUG17	S 1 PD15NOV17	20225.00	20870.00	USD	-3,225.00	
15AUG17	S 1 PD15NOV17	20230.00	20870.00	USD	-3,200.00	
15AUG17	B 1 PD15NOV17	20270.00	20870.00	USD	3,000.00	
15AUG17	S 1 PD15NOV17	20260.00	20870.00	USD	-3,050.00	
15AUG17	B 2 PD15NOV17	20265.00	20870.00	USD	6,050.00	
15AUG17	B 1 PD15NOV17	20300.00	20870.00	USD	2,850.00	
15AUG17	B 2 PD15NOV17	20310.00	20870.00	USD	5,600.00	
15AUG17	B 1 PD15NOV17	20315.00	20870.00	USD	2,775.00	
15AUG17	S 1 PD15NOV17	20300.00	20870.00	USD	-2,850.00	
15AUG17	S 3 PD15NOV17	20305.00	20870.00	USD	-8,475.00	
15AUG17	S 3 PD15NOV17	20310.00	20870.00	USD	-8,400.00	
15AUG17	B 3 PD15NOV17	20325.00	20870.00	USD	8,175.00	
15AUG17	B 1 PD15NOV17	20260.00	20870.00	USD	3,050.00	
15AUG17	B 1 PD15NOV17	20275.00	20870.00	USD	2,975.00	
15AUG17	S 1 PD15NOV17	20275.00	20870.00	USD	-2,975.00	
15AUG17	S 2 PD15NOV17	20325.00	20870.00	USD	-5,450.00	
15AUG17	B 2 PD15NOV17	20245.00	20870.00	USD	6,250.00	
15AUG17	B 3 PD15NOV17	19320.00	20870.00	USD	23,250.00	
15AUG17	B 2 PD15NOV17	19900.00	20870.00	USD	9,700.00	
15AUG17	B 2 PD15NOV17	20170.00	20870.00	USD	7,000.00	
15AUG17	S 1 PD15NOV17	19680.00	20870.00	USD	-5,950.00	
15AUG17	S 3 PD15NOV17	19970.00	20870.00	USD	-13,500.00	
15AUG17	B 2 PD15NOV17	19805.00	20870.00	USD	10,650.00	
15AUG17	B 4 PD15NOV17	19830.00	20870.00	USD	20,800.00	
15AUG17	B 2 PD15NOV17	19845.00	20870.00	USD	10,250.00	
15AUG17	S 1 PD15NOV17	19805.00	20870.00	USD	-5,325.00	
15AUG17	S 3 PD15NOV17	19850.00	20870.00	USD	-15,300.00	
15AUG17	S 1 PD15NOV17	20160.00	20870.00	USD	-3,550.00	
15AUG17	S 3 PD15NOV17	19800.00	20870.00	USD	-16,050.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AD

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
15AUG17	B	1	PD15NOV17	19980.00	20870.00	USD	4,450.00	
15AUG17	S	1	PD15NOV17	19740.00	20870.00	USD	-5,650.00	
15AUG17	S	2	PD15NOV17	19210.00	20870.00	USD	-16,600.00	
15AUG17	S	1	PD15NOV17	19225.00	20870.00	USD	-8,225.00	
	NET	**0**	**AVG BGHT 20073.85 SOLD 20060.13**				**-2,675.00**	**0.00**
16AUG17	S	1	PD16NOV17	19970.00	20868.75	USD	-4,493.75	
16AUG17	B	1	PD16NOV17	20075.00	20868.75	USD	3,968.75	
16AUG17	B	1	PD16NOV17	20080.00	20868.75	USD	3,943.75	
16AUG17	B	2	PD16NOV17	20100.00	20868.75	USD	7,687.50	
16AUG17	S	2	PD16NOV17	20075.00	20868.75	USD	-7,937.50	
16AUG17	S	1	PD16NOV17	20080.00	20868.75	USD	-3,943.75	
16AUG17	S	1	PD16NOV17	20060.00	20868.75	USD	-4,043.75	
16AUG17	S	3	PD16NOV17	20110.00	20868.75	USD	-11,381.25	
16AUG17	B	1	PD16NOV17	20110.00	20868.75	USD	3,793.75	
16AUG17	B	2	PD16NOV17	19965.00	20868.75	USD	9,037.50	
16AUG17	B	4	PD16NOV17	19975.00	20868.75	USD	17,875.00	
16AUG17	S	1	PD16NOV17	20005.00	20868.75	USD	-4,318.75	
16AUG17	B	1	PD16NOV17	19955.00	20868.75	USD	4,568.75	
16AUG17	B	1	PD16NOV17	19970.00	20868.75	USD	4,493.75	
16AUG17	S	1	PD16NOV17	19955.00	20868.75	USD	-4,568.75	
16AUG17	B	1	PD16NOV17	19990.00	20868.75	USD	4,393.75	
16AUG17	S	1	PD16NOV17	20015.00	20868.75	USD	-4,268.75	
16AUG17	S	1	PD16NOV17	20020.00	20868.75	USD	-4,243.75	
16AUG17	S	2	PD16NOV17	20025.00	20868.75	USD	-8,437.50	
16AUG17	S	1	PD16NOV17	20035.00	20868.75	USD	-4,168.75	
16AUG17	B	5	PD16NOV17	20005.00	20868.75	USD	21,593.75	
16AUG17	B	5	PD16NOV17	20010.00	20868.75	USD	21,468.75	
16AUG17	S	3	PD16NOV17	20050.00	20868.75	USD	-12,281.25	
16AUG17	B	1	PD16NOV17	20030.00	20868.75	USD	4,193.75	
16AUG17	S	1	PD16NOV17	20065.00	20868.75	USD	-4,018.75	
16AUG17	S	2	PD16NOV17	19985.00	20868.75	USD	-8,837.50	
16AUG17	B	3	PD16NOV17	19985.00	20868.75	USD	13,256.25	
16AUG17	B	1	PD16NOV17	20040.00	20868.75	USD	4,143.75	
16AUG17	B	1	PD16NOV17	20045.00	20868.75	USD	4,118.75	
16AUG17	B	2	PD16NOV17	20050.00	20868.75	USD	8,187.50	
16AUG17	B	5	PD16NOV17	20060.00	20868.75	USD	20,218.75	
16AUG17	S	4	PD16NOV17	20030.00	20868.75	USD	-16,775.00	
16AUG17	S	8	PD16NOV17	20045.00	20868.75	USD	-32,950.00	
16AUG17	S	4	PD16NOV17	20040.00	20868.75	USD	-16,575.00	
	NET	**0**	**AVG BGHT 20020.41 SOLD 20040.41**				**3,700.00**	**0.00**
17AUG17	B	2	PD17NOV17	20225.00	20867.50	USD	6,425.00	
17AUG17	B	3	PD17NOV17	20250.00	20867.50	USD	9,262.50	
17AUG17	S	5	PD17NOV17	20225.00	20867.50	USD	-16,062.50	
17AUG17	S	1	PD17NOV17	20245.00	20867.50	USD	-3,112.50	
17AUG17	B	1	PD17NOV17	20255.00	20867.50	USD	3,062.50	
17AUG17	S	1	PD17NOV17	20185.00	20867.50	USD	-3,412.50	
17AUG17	B	1	PD17NOV17	20150.00	20867.50	USD	3,587.50	
17AUG17	B	1	PD17NOV17	20180.00	20867.50	USD	3,437.50	
17AUG17	S	1	PD17NOV17	20175.00	20867.50	USD	-3,462.50	
17AUG17	B	1	PD17NOV17	20135.00	20867.50	USD	3,662.50	
17AUG17	S	2	PD17NOV17	20125.00	20867.50	USD	-7,425.00	
17AUG17	B	1	PD17NOV17	20100.00	20867.50	USD	3,837.50	
17AUG17	S	1	PD17NOV17	20135.00	20867.50	USD	-3,662.50	
17AUG17	S	2	PD17NOV17	20130.00	20867.50	USD	-7,375.00	
17AUG17	B	1	PD17NOV17	20175.00	20867.50	USD	3,462.50	
17AUG17	B	2	PD17NOV17	20210.00	20867.50	USD	6,575.00	
17AUG17	S	3	PD17NOV17	20150.00	20867.50	USD	-10,762.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AD

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
17AUG17	S 1 PD17NOV17	20160.00	20867.50	USD	-3,537.50	
17AUG17	S 1 PD17NOV17	20190.00	20867.50	USD	-3,387.50	
17AUG17	S 1 PD17NOV17	20200.00	20867.50	USD	-3,337.50	
17AUG17	S 1 PD17NOV17	20205.00	20867.50	USD	-3,312.50	
17AUG17	B 2 PD17NOV17	20090.00	20867.50	USD	7,775.00	
17AUG17	B 3 PD17NOV17	20020.00	20867.50	USD	12,712.50	
17AUG17	B 2 PD17NOV17	20185.00	20867.50	USD	6,825.00	
17AUG17	B 1 PD17NOV17	20155.00	20867.50	USD	3,562.50	
17AUG17	B 1 PD17NOV17	20205.00	20867.50	USD	3,312.50	
17AUG17	B 1 PD17NOV17	20215.00	20867.50	USD	3,262.50	
17AUG17	S 1 PD17NOV17	20020.00	20867.50	USD	-4,237.50	
17AUG17	S 1 PD17NOV17	20090.00	20867.50	USD	-3,887.50	
17AUG17	S 1 PD17NOV17	20155.00	20867.50	USD	-3,562.50	
18AUG17	S 2 PD17NOV17	20275.00	20867.50	USD	-5,925.00	
18AUG17	S 1 PD17NOV17	20280.00	20867.50	USD	-2,937.50	
18AUG17	S 1 PD17NOV17	20250.00	20867.50	USD	-3,087.50	
18AUG17	B 1 PD17NOV17	20280.00	20867.50	USD	2,937.50	
18AUG17	S 1 PD17NOV17	20350.00	20867.50	USD	-2,587.50	
18AUG17	B 1 PD17NOV17	20255.00	20867.50	USD	3,062.50	
18AUG17	B 1 PD17NOV17	20285.00	20867.50	USD	2,912.50	
18AUG17	B 2 PD17NOV17	20295.00	20867.50	USD	5,725.00	
18AUG17	S 1 PD17NOV17	20200.00	20867.50	USD	-3,337.50	
18AUG17	B 2 PD17NOV17	20230.00	20867.50	USD	6,375.00	
18AUG17	B 1 PD17NOV17	20265.00	20867.50	USD	3,012.50	
18AUG17	B 1 PD17NOV17	20150.00	20867.50	USD	3,587.50	
18AUG17	B 1 PD17NOV17	20155.00	20867.50	USD	3,562.50	
18AUG17	S 1 PD17NOV17	20150.00	20867.50	USD	-3,587.50	
18AUG17	S 2 PD17NOV17	20260.00	20867.50	USD	-6,075.00	
18AUG17	B 3 PD17NOV17	20160.00	20867.50	USD	10,612.50	
18AUG17	S 3 PD17NOV17	20240.00	20867.50	USD	-9,412.50	
18AUG17	B 2 PD17NOV17	20205.00	20867.50	USD	6,625.00	
18AUG17	B 1 PD17NOV17	20125.00	20867.50	USD	3,712.50	
18AUG17	S 1 PD17NOV17	20125.00	20867.50	USD	-3,712.50	
18AUG17	S 1 PD17NOV17	20145.00	20867.50	USD	-3,612.50	
18AUG17	S 1 PD17NOV17	20175.00	20867.50	USD	-3,462.50	
18AUG17	S 1 PD17NOV17	20205.00	20867.50	USD	-3,312.50	
18AUG17	B 2 PD17NOV17	20180.00	20867.50	USD	6,875.00	
18AUG17	S 2 PD17NOV17	20230.00	20867.50	USD	-6,375.00	
	NET 0 AVG BGHT 20185.73 SOLD 20194.51				**1,800.00**	**0.00**
21AUG17	B 1 PD21NOV17	20325.00	20865.00	USD	2,700.00	
21AUG17	S 2 PD21NOV17	20370.00	20865.00	USD	-4,950.00	
21AUG17	S 3 PD21NOV17	20365.00	20865.00	USD	-7,500.00	
21AUG17	B 6 PD21NOV17	20340.00	20865.00	USD	15,750.00	
21AUG17	S 1 PD21NOV17	20355.00	20865.00	USD	-2,550.00	
21AUG17	S 2 PD21NOV17	20360.00	20865.00	USD	-5,050.00	
21AUG17	S 1 PD21NOV17	20335.00	20865.00	USD	-2,650.00	
21AUG17	S 2 PD21NOV17	20340.00	20865.00	USD	-5,250.00	
21AUG17	B 4 PD21NOV17	20305.00	20865.00	USD	11,200.00	
21AUG17	S 3 PD21NOV17	20420.00	20865.00	USD	-6,675.00	
21AUG17	S 1 PD21NOV17	20405.00	20865.00	USD	-2,300.00	
21AUG17	B 1 PD21NOV17	20355.00	20865.00	USD	2,550.00	
21AUG17	S 2 PD21NOV17	20430.00	20865.00	USD	-4,350.00	
21AUG17	B 4 PD21NOV17	20425.00	20865.00	USD	8,800.00	
21AUG17	B 1 PD21NOV17	20375.00	20865.00	USD	2,450.00	
21AUG17	B 1 PD21NOV17	20385.00	20865.00	USD	2,400.00	
21AUG17	B 1 PD21NOV17	20395.00	20865.00	USD	2,350.00	
21AUG17	S 1 PD21NOV17	20415.00	20865.00	USD	-2,250.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AD

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
21AUG17	S 3 PD21NOV17	20425.00	20865.00	USD	-6,600.00	
21AUG17	B 2 PD21NOV17	20450.00	20865.00	USD	4,150.00	
21AUG17	B 4 PD21NOV17	20480.00	20865.00	USD	7,700.00	
21AUG17	S 1 PD21NOV17	20450.00	20865.00	USD	-2,075.00	
21AUG17	B 1 PD21NOV17	20500.00	20865.00	USD	1,825.00	
21AUG17	S 4 PD21NOV17	20400.00	20865.00	USD	-9,300.00	
21AUG17	B 2 PD21NOV17	20400.00	20865.00	USD	4,650.00	
21AUG17	S 2 PD21NOV17	20495.00	20865.00	USD	-3,700.00	
21AUG17	B 1 PD21NOV17	20485.00	20865.00	USD	1,900.00	
21AUG17	S 2 PD21NOV17	20465.00	20865.00	USD	-4,000.00	
21AUG17	B 1 PD21NOV17	20495.00	20865.00	USD	1,850.00	
	NET 0 AVG BGHT 20396.50 SOLD 20403.67				**1,075.00**	**0.00**
22AUG17	S 1 PD22NOV17	20430.00	20863.75	USD	-2,168.75	
22AUG17	S 1 PD22NOV17	20465.00	20863.75	USD	-1,993.75	
22AUG17	B 1 PD22NOV17	20460.00	20863.75	USD	2,018.75	
22AUG17	B 1 PD22NOV17	20490.00	20863.75	USD	1,868.75	
22AUG17	S 4 PD22NOV17	20495.00	20863.75	USD	-7,375.00	
22AUG17	B 1 PD22NOV17	20445.00	20863.75	USD	2,093.75	
22AUG17	B 2 PD22NOV17	20375.00	20863.75	USD	4,887.50	
22AUG17	B 1 PD22NOV17	20395.00	20863.75	USD	2,343.75	
22AUG17	S 1 PD22NOV17	20360.00	20863.75	USD	-2,518.75	
22AUG17	S 2 PD22NOV17	20375.00	20863.75	USD	-4,887.50	
22AUG17	S 1 PD22NOV17	20425.00	20863.75	USD	-2,193.75	
22AUG17	B 3 PD22NOV17	20300.00	20863.75	USD	8,456.25	
22AUG17	B 1 PD22NOV17	20345.00	20863.75	USD	2,593.75	
22AUG17	S 1 PD22NOV17	20245.00	20863.75	USD	-3,093.75	
22AUG17	S 2 PD22NOV17	20295.00	20863.75	USD	-5,687.50	
22AUG17	B 3 PD22NOV17	20495.00	20863.75	USD	5,531.25	
22AUG17	B 2 PD22NOV17	20260.00	20863.75	USD	6,037.50	
22AUG17	S 1 PD22NOV17	20290.00	20863.75	USD	-2,868.75	
22AUG17	B 2 PD22NOV17	20280.00	20863.75	USD	5,837.50	
22AUG17	S 1 PD22NOV17	20340.00	20863.75	USD	-2,618.75	
22AUG17	S 1 PD22NOV17	20330.00	20863.75	USD	-2,668.75	
22AUG17	S 2 PD22NOV17	20335.00	20863.75	USD	-5,287.50	
22AUG17	B 1 PD22NOV17	20335.00	20863.75	USD	2,643.75	
	NET 0 AVG BGHT 20371.39 SOLD 20381.94				**950.00**	**0.00**
23AUG17	S 1 PD24NOV17	20395.00	20862.50	USD	-2,337.50	
23AUG17	B 1 PD24NOV17	20340.00	20862.50	USD	2,612.50	
23AUG17	B 2 PD24NOV17	20285.00	20862.50	USD	5,775.00	
23AUG17	S 2 PD24NOV17	20345.00	20862.50	USD	-5,175.00	
23AUG17	B 4 PD24NOV17	20350.00	20862.50	USD	10,250.00	
23AUG17	S 1 PD24NOV17	20435.00	20862.50	USD	-2,137.50	
23AUG17	B 1 PD24NOV17	20315.00	20862.50	USD	2,737.50	
23AUG17	S 1 PD24NOV17	20315.00	20862.50	USD	-2,737.50	
23AUG17	B 1 PD24NOV17	20370.00	20862.50	USD	2,462.50	
23AUG17	S 1 PD24NOV17	20370.00	20862.50	USD	-2,462.50	
23AUG17	S 1 PD24NOV17	20400.00	20862.50	USD	-2,312.50	
23AUG17	S 1 PD24NOV17	20380.00	20862.50	USD	-2,412.50	
23AUG17	S 2 PD24NOV17	20415.00	20862.50	USD	-4,475.00	
23AUG17	S 5 PD24NOV17	20430.00	20862.50	USD	-10,812.50	
23AUG17	B 1 PD24NOV17	20440.00	20862.50	USD	2,112.50	
23AUG17	B 4 PD24NOV17	20455.00	20862.50	USD	8,150.00	
23AUG17	S 1 PD24NOV17	20460.00	20862.50	USD	-2,012.50	
23AUG17	S 3 PD24NOV17	20445.00	20862.50	USD	-6,262.50	
23AUG17	B 1 PD24NOV17	20360.00	20862.50	USD	2,512.50	
23AUG17	B 1 PD24NOV17	20395.00	20862.50	USD	2,337.50	
23AUG17	B 1 PD24NOV17	20420.00	20862.50	USD	2,212.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AD

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
23AUG17	B 1 PD24NOV17	20425.00	20862.50	USD	2,187.50	
23AUG17	B 1 PD24NOV17	20445.00	20862.50	USD	2,087.50	
23AUG17	S 1 PD24NOV17	20420.00	20862.50	USD	-2,212.50	
23AUG17	B 2 PD24NOV17	20450.00	20862.50	USD	4,125.00	
23AUG17	S 4 PD24NOV17	20450.00	20862.50	USD	-8,250.00	
23AUG17	S 1 PD24NOV17	20455.00	20862.50	USD	-2,037.50	
23AUG17	B 1 PD24NOV17	20500.00	20862.50	USD	1,812.50	
23AUG17	S 1 PD24NOV17	20530.00	20862.50	USD	-1,662.50	
23AUG17	B 1 PD24NOV17	20510.00	20862.50	USD	1,762.50	
23AUG17	S 1 PD24NOV17	20500.00	20862.50	USD	-1,812.50	
23AUG17	B 4 PD24NOV17	20460.00	20862.50	USD	8,050.00	
24AUG17	B 1 PD24NOV17	20550.00	20862.50	USD	1,562.50	
24AUG17	S 1 PD24NOV17	20565.00	20862.50	USD	-1,487.50	
24AUG17	B 2 PD24NOV17	20515.00	20862.50	USD	3,475.00	
24AUG17	B 1 PD24NOV17	20490.00	20862.50	USD	1,862.50	
24AUG17	B 2 PD24NOV17	20525.00	20862.50	USD	3,375.00	
24AUG17	B 1 PD24NOV17	20510.00	20862.50	USD	1,762.50	
24AUG17	S 1 PD24NOV17	20515.00	20862.50	USD	-1,737.50	
24AUG17	B 1 PD24NOV17	20440.00	20862.50	USD	2,112.50	
24AUG17	S 1 PD24NOV17	20460.00	20862.50	USD	-2,012.50	
24AUG17	S 4 PD24NOV17	20465.00	20862.50	USD	-7,950.00	
24AUG17	B 4 PD24NOV17	20500.00	20862.50	USD	7,250.00	
24AUG17	B 1 PD24NOV17	20530.00	20862.50	USD	1,662.50	
24AUG17	S 1 PD24NOV17	20500.00	20862.50	USD	-1,812.50	
24AUG17	B 1 PD24NOV17	20475.00	20862.50	USD	1,937.50	
24AUG17	S 1 PD24NOV17	20475.00	20862.50	USD	-1,937.50	
24AUG17	B 1 PD24NOV17	20460.00	20862.50	USD	2,012.50	
24AUG17	B 5 PD24NOV17	20465.00	20862.50	USD	9,937.50	
24AUG17	S 2 PD24NOV17	20455.00	20862.50	USD	-4,075.00	
24AUG17	B 2 PD24NOV17	20410.00	20862.50	USD	4,525.00	
24AUG17	S 2 PD24NOV17	20470.00	20862.50	USD	-3,925.00	
24AUG17	S 4 PD24NOV17	20490.00	20862.50	USD	-7,450.00	
24AUG17	B 2 PD24NOV17	20470.00	20862.50	USD	3,925.00	
24AUG17	B 2 PD24NOV17	20485.00	20862.50	USD	3,775.00	
24AUG17	S 7 PD24NOV17	20485.00	20862.50	USD	-13,212.50	
24AUG17	S 5 PD24NOV17	20450.00	20862.50	USD	-10,312.50	
24AUG17	B 3 PD24NOV17	20445.00	20862.50	USD	6,262.50	
24AUG17	B 1 PD24NOV17	20450.00	20862.50	USD	2,062.50	
24AUG17	S 1 PD24NOV17	20395.00	20862.50	USD	-2,337.50	
25AUG17	B 1 PD24NOV17	20420.00	20862.50	USD	2,212.50	
25AUG17	B 1 PD24NOV17	20380.00	20862.50	USD	2,412.50	
25AUG17	S 2 PD24NOV17	20405.00	20862.50	USD	-4,575.00	
25AUG17	S 1 PD24NOV17	20445.00	20862.50	USD	-2,087.50	
25AUG17	S 1 PD24NOV17	20440.00	20862.50	USD	-2,112.50	
25AUG17	S 2 PD24NOV17	20390.00	20862.50	USD	-4,725.00	
25AUG17	B 3 PD24NOV17	20410.00	20862.50	USD	6,787.50	
25AUG17	B 4 PD24NOV17	20385.00	20862.50	USD	9,550.00	
25AUG17	B 2 PD24NOV17	20350.00	20862.50	USD	5,125.00	
25AUG17	S 2 PD24NOV17	20320.00	20862.50	USD	-5,425.00	
25AUG17	S 2 PD24NOV17	20345.00	20862.50	USD	-5,175.00	
25AUG17	S 1 PD24NOV17	20325.00	20862.50	USD	-2,687.50	
	NET 0 AVG BGHT 20436.69 SOLD 20438.53				**625.00**	**0.00**
29AUG17	B 2 PD29NOV17	20605.00	20858.75	USD	2,537.50	
29AUG17	S 1 PD29NOV17	20615.00	20858.75	USD	-1,218.75	
29AUG17	B 1 PD29NOV17	20645.00	20858.75	USD	1,068.75	
29AUG17	S 1 PD29NOV17	20620.00	20858.75	USD	-1,193.75	
29AUG17	S 1 PD29NOV17	20645.00	20858.75	USD	-1,068.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AD

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
29AUG17	S 2 PD29NOV17	20585.00	20858.75	USD	-2,737.50	
29AUG17	B 3 PD29NOV17	20550.00	20858.75	USD	4,631.25	
29AUG17	B 1 PD29NOV17	20530.00	20858.75	USD	1,643.75	
29AUG17	S 1 PD29NOV17	20550.00	20858.75	USD	-1,543.75	
29AUG17	B 3 PD29NOV17	20535.00	20858.75	USD	4,856.25	
29AUG17	S 8 PD29NOV17	20570.00	20858.75	USD	-11,550.00	
29AUG17	B 1 PD29NOV17	20590.00	20858.75	USD	1,343.75	
29AUG17	S 1 PD29NOV17	20590.00	20858.75	USD	-1,343.75	
29AUG17	B 2 PD29NOV17	20430.00	20858.75	USD	4,287.50	
29AUG17	B 4 PD29NOV17	20545.00	20858.75	USD	6,275.00	
29AUG17	S 2 PD29NOV17	20425.00	20858.75	USD	-4,337.50	
29AUG17	S 3 PD29NOV17	20545.00	20858.75	USD	-4,706.25	
29AUG17	B 4 PD29NOV17	20570.00	20858.75	USD	5,775.00	
29AUG17	S 1 PD29NOV17	20530.00	20858.75	USD	-1,643.75	
29AUG17	B 1 PD29NOV17	20370.00	20858.75	USD	2,443.75	
29AUG17	S 2 PD29NOV17	20475.00	20858.75	USD	-3,837.50	
29AUG17	B 3 PD29NOV17	20475.00	20858.75	USD	5,756.25	
29AUG17	S 1 PD29NOV17	20540.00	20858.75	USD	-1,593.75	
29AUG17	S 2 PD29NOV17	20470.00	20858.75	USD	-3,887.50	
29AUG17	S 1 PD29NOV17	20465.00	20858.75	USD	-1,968.75	
29AUG17	B 2 PD29NOV17	20410.00	20858.75	USD	4,487.50	
29AUG17	B 3 PD29NOV17	20365.00	20858.75	USD	7,406.25	
29AUG17	B 5 PD29NOV17	20420.00	20858.75	USD	10,968.75	
29AUG17	S 2 PD29NOV17	20400.00	20858.75	USD	-4,587.50	
29AUG17	B 1 PD29NOV17	20445.00	20858.75	USD	2,068.75	
29AUG17	B 1 PD29NOV17	20455.00	20858.75	USD	2,018.75	
29AUG17	B 1 PD29NOV17	20490.00	20858.75	USD	1,843.75	
29AUG17	S 2 PD29NOV17	20420.00	20858.75	USD	-4,387.50	
29AUG17	S 1 PD29NOV17	20445.00	20858.75	USD	-2,068.75	
29AUG17	S 1 PD29NOV17	20455.00	20858.75	USD	-2,018.75	
29AUG17	S 1 PD29NOV17	20490.00	20858.75	USD	-1,843.75	
29AUG17	S 3 PD29NOV17	20495.00	20858.75	USD	-5,456.25	
	NET +1 AVG BGHT 20493.42 SOLD 20518.24				**6,418.75**	**0.00**
30AUG17	S 1 PD30NOV17	20430.00	20857.50	USD	-2,137.50	
30AUG17	S 4 PD30NOV17	20350.00	20857.50	USD	-10,150.00	
30AUG17	S 1 PD30NOV17	20355.00	20857.50	USD	-2,512.50	
30AUG17	B 3 PD30NOV17	20370.00	20857.50	USD	7,312.50	
30AUG17	B 2 PD30NOV17	20365.00	20857.50	USD	4,925.00	
30AUG17	S 3 PD30NOV17	20525.00	20857.50	USD	-4,987.50	
30AUG17	B 1 PD30NOV17	20515.00	20857.50	USD	1,712.50	
30AUG17	B 1 PD30NOV17	20520.00	20857.50	USD	1,687.50	
30AUG17	B 1 PD30NOV17	20535.00	20857.50	USD	1,612.50	
30AUG17	B 1 PD30NOV17	20545.00	20857.50	USD	1,562.50	
30AUG17	S 2 PD30NOV17	20535.00	20857.50	USD	-3,225.00	
30AUG17	B 1 PD30NOV17	20550.00	20857.50	USD	1,537.50	
30AUG17	B 1 PD30NOV17	20650.00	20857.50	USD	1,037.50	
30AUG17	B 1 PD30NOV17	20645.00	20857.50	USD	1,062.50	
30AUG17	S 2 PD30NOV17	20635.00	20857.50	USD	-2,225.00	
31AUG17	S 1 PD30NOV17	20565.00	20857.50	USD	-1,462.50	
31AUG17	B 1 PD30NOV17	20600.00	20857.50	USD	1,287.50	
31AUG17	B 2 PD30NOV17	20625.00	20857.50	USD	2,325.00	
31AUG17	S 4 PD30NOV17	20625.00	20857.50	USD	-4,650.00	
31AUG17	B 2 PD30NOV17	20605.00	20857.50	USD	2,525.00	
31AUG17	S 2 PD30NOV17	20595.00	20857.50	USD	-2,625.00	
31AUG17	B 1 PD30NOV17	20575.00	20857.50	USD	1,412.50	
31AUG17	B 2 PD30NOV17	20630.00	20857.50	USD	2,275.00	
31AUG17	S 1 PD30NOV17	20575.00	20857.50	USD	-1,412.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AD

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
31AUG17	S 2 PD30NOV17	20630.00	20857.50	USD	-2,275.00	
31AUG17	B 1 PD30NOV17	20620.00	20857.50	USD	1,187.50	
31AUG17	S 1 PD30NOV17	20615.00	20857.50	USD	-1,212.50	
31AUG17	B 3 PD30NOV17	20640.00	20857.50	USD	3,262.50	
31AUG17	S 5 PD30NOV17	20645.00	20857.50	USD	-5,312.50	
31AUG17	B 3 PD30NOV17	20650.00	20857.50	USD	3,112.50	
31AUG17	B 1 PD30NOV17	20645.00	20857.50	USD	1,062.50	
31AUG17	S 1 PD30NOV17	20675.00	20857.50	USD	-912.50	
31AUG17	B 1 PD30NOV17	20685.00	20857.50	USD	862.50	
	NET -1 AVG BGHT 20569.48 SOLD 20556.83				**-3,337.50**	**0.00**
01SEP17	B 1 PD01DEC17	20725.00	20856.25	USD	656.25	
01SEP17	S 1 PD01DEC17	20710.00	20856.25	USD	-731.25	
01SEP17	B 2 PD01DEC17	20765.00	20856.25	USD	912.50	
01SEP17	S 2 PD01DEC17	20775.00	20856.25	USD	-812.50	
01SEP17	S 2 PD01DEC17	20690.00	20856.25	USD	-1,662.50	
01SEP17	S 1 PD01DEC17	20695.00	20856.25	USD	-806.25	
01SEP17	S 1 PD01DEC17	20760.00	20856.25	USD	-481.25	
01SEP17	S 1 PD01DEC17	20735.00	20856.25	USD	-606.25	
01SEP17	B 2 PD01DEC17	20780.00	20856.25	USD	762.50	
01SEP17	S 1 PD01DEC17	20770.00	20856.25	USD	-431.25	
01SEP17	B 2 PD01DEC17	20670.00	20856.25	USD	1,862.50	
01SEP17	B 1 PD01DEC17	20675.00	20856.25	USD	906.25	
01SEP17	B 1 PD01DEC17	20715.00	20856.25	USD	706.25	
01SEP17	S 5 PD01DEC17	20680.00	20856.25	USD	-4,406.25	
01SEP17	S 2 PD01DEC17	20740.00	20856.25	USD	-1,162.50	
01SEP17	B 3 PD01DEC17	20710.00	20856.25	USD	2,193.75	
01SEP17	B 2 PD01DEC17	20735.00	20856.25	USD	1,212.50	
01SEP17	B 1 PD01DEC17	20700.00	20856.25	USD	781.25	
	NET -1 AVG BGHT 20723.00 SOLD 20717.50				**-1,106.25**	**0.00**
04SEP17	B 1 PD04DEC17	20735.00	20855.00	USD	600.00	
04SEP17	S 4 PD04DEC17	20780.00	20855.00	USD	-1,500.00	
04SEP17	B 1 PD04DEC17	20785.00	20855.00	USD	350.00	
04SEP17	B 1 PD04DEC17	20790.00	20855.00	USD	325.00	
	NET -1 AVG BGHT 20770.00 SOLD 20780.00				**-225.00**	**0.00**
05SEP17	B 3 PD05DEC17	20800.00	20853.75	USD	806.25	
05SEP17	S 3 PD05DEC17	20750.00	20853.75	USD	-1,556.25	
05SEP17	S 2 PD05DEC17	20760.00	20853.75	USD	-937.50	
05SEP17	B 1 PD05DEC17	20710.00	20853.75	USD	718.75	
05SEP17	B 1 PD05DEC17	20720.00	20853.75	USD	668.75	
05SEP17	B 2 PD05DEC17	20700.00	20853.75	USD	1,537.50	
05SEP17	B 4 PD05DEC17	20680.00	20853.75	USD	3,475.00	
05SEP17	B 2 PD05DEC17	20725.00	20853.75	USD	1,287.50	
05SEP17	B 2 PD05DEC17	20730.00	20853.75	USD	1,237.50	
05SEP17	S 1 PD05DEC17	20725.00	20853.75	USD	-643.75	
05SEP17	S 1 PD05DEC17	20735.00	20853.75	USD	-593.75	
05SEP17	S 1 PD05DEC17	20700.00	20853.75	USD	-768.75	
05SEP17	S 1 PD05DEC17	20710.00	20853.75	USD	-718.75	
05SEP17	S 2 PD05DEC17	20680.00	20853.75	USD	-1,737.50	
05SEP17	S 2 PD05DEC17	20695.00	20853.75	USD	-1,587.50	
05SEP17	B 3 PD05DEC17	20690.00	20853.75	USD	2,456.25	
05SEP17	S 2 PD05DEC17	20685.00	20853.75	USD	-1,687.50	
05SEP17	S 1 PD05DEC17	20690.00	20853.75	USD	-818.75	
	NET +2 AVG BGHT 20718.33 SOLD 20715.63				**1,137.50**	**0.00**
06SEP17	B 2 PD06DEC17	20590.00	20852.50	USD	2,625.00	
06SEP17	B 8 PD06DEC17	20600.00	20852.50	USD	10,100.00	
06SEP17	S 1 PD06DEC17	20625.00	20852.50	USD	-1,137.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AD

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
06SEP17	S	1	PD06DEC17	20600.00	20852.50	USD	-1,262.50	
06SEP17	B	1	PD06DEC17	20625.00	20852.50	USD	1,137.50	
06SEP17	S	3	PD06DEC17	20650.00	20852.50	USD	-3,037.50	
06SEP17	S	1	PD06DEC17	20645.00	20852.50	USD	-1,037.50	
06SEP17	S	4	PD06DEC17	20590.00	20852.50	USD	-5,250.00	
06SEP17	B	1	PD06DEC17	20610.00	20852.50	USD	1,212.50	
06SEP17	S	1	PD06DEC17	20595.00	20852.50	USD	-1,287.50	
06SEP17	S	2	PD06DEC17	20640.00	20852.50	USD	-2,125.00	
06SEP17	B	1	PD06DEC17	20645.00	20852.50	USD	1,037.50	
06SEP17	S	2	PD06DEC17	20630.00	20852.50	USD	-2,225.00	
06SEP17	B	2	PD06DEC17	20640.00	20852.50	USD	2,125.00	
06SEP17	S	1	PD06DEC17	20685.00	20852.50	USD	-837.50	
06SEP17	B	1	PD06DEC17	20750.00	20852.50	USD	512.50	
	NET	**0**	**AVG BGHT 20618.13**	**SOLD 20625.00**			**550.00**	**0.00**
08SEP17	S	1	PD08DEC17	20790.00	20850.00	USD	-300.00	
08SEP17	B	1	PD08DEC17	20740.00	20850.00	USD	550.00	
08SEP17	S	3	PD08DEC17	20745.00	20850.00	USD	-1,575.00	
08SEP17	S	2	PD08DEC17	20750.00	20850.00	USD	-1,000.00	
08SEP17	B	1	PD08DEC17	20750.00	20850.00	USD	500.00	
08SEP17	B	5	PD08DEC17	20705.00	20850.00	USD	3,625.00	
08SEP17	S	2	PD08DEC17	20695.00	20850.00	USD	-1,550.00	
08SEP17	S	1	PD08DEC17	20710.00	20850.00	USD	-700.00	
08SEP17	B	1	PD08DEC17	20695.00	20850.00	USD	775.00	
08SEP17	B	1	PD08DEC17	20700.00	20850.00	USD	750.00	
08SEP17	B	1	PD08DEC17	20665.00	20850.00	USD	925.00	
08SEP17	S	2	PD08DEC17	20685.00	20850.00	USD	-1,650.00	
08SEP17	B	3	PD08DEC17	20620.00	20850.00	USD	3,450.00	
08SEP17	B	1	PD08DEC17	20635.00	20850.00	USD	1,075.00	
08SEP17	B	1	PD08DEC17	20650.00	20850.00	USD	1,000.00	
08SEP17	S	1	PD08DEC17	20525.00	20850.00	USD	-1,625.00	
08SEP17	S	1	PD08DEC17	20595.00	20850.00	USD	-1,275.00	
08SEP17	S	2	PD08DEC17	20560.00	20850.00	USD	-2,900.00	
08SEP17	B	1	PD08DEC17	20565.00	20850.00	USD	1,425.00	
08SEP17	S	3	PD08DEC17	20655.00	20850.00	USD	-2,925.00	
08SEP17	B	1	PD08DEC17	20505.00	20850.00	USD	1,725.00	
08SEP17	B	1	PD08DEC17	20525.00	20850.00	USD	1,625.00	
08SEP17	B	2	PD08DEC17	20655.00	20850.00	USD	1,950.00	
08SEP17	B	1	PD08DEC17	20675.00	20850.00	USD	875.00	
08SEP17	S	2	PD08DEC17	20665.00	20850.00	USD	-1,850.00	
08SEP17	B	1	PD08DEC17	20530.00	20850.00	USD	1,600.00	
08SEP17	S	2	PD08DEC17	20550.00	20850.00	USD	-3,000.00	
08SEP17	B	1	PD08DEC17	20515.00	20850.00	USD	1,675.00	
08SEP17	S	1	PD08DEC17	20515.00	20850.00	USD	-1,675.00	
	NET	**0**	**AVG BGHT 20645.43**	**SOLD 20658.48**			**1,500.00**	**0.00**
11SEP17	B	2	PD11DEC17	20630.00	20848.75	USD	2,187.50	
11SEP17	B	2	PD11DEC17	20675.00	20848.75	USD	1,737.50	
11SEP17	S	4	PD11DEC17	20735.00	20848.75	USD	-2,275.00	
11SEP17	B	1	PD11DEC17	20815.00	20848.75	USD	168.75	
11SEP17	S	3	PD11DEC17	20705.00	20848.75	USD	-2,156.25	
11SEP17	B	1	PD11DEC17	20705.00	20848.75	USD	718.75	
11SEP17	B	1	PD11DEC17	20765.00	20848.75	USD	418.75	
11SEP17	S	2	PD11DEC17	20730.00	20848.75	USD	-1,187.50	
11SEP17	B	1	PD11DEC17	20710.00	20848.75	USD	693.75	
11SEP17	B	1	PD11DEC17	20730.00	20848.75	USD	593.75	
	NET	**0**	**AVG BGHT 20703.89**	**SOLD 20723.89**			**900.00**	**0.00**
12SEP17	B	2	PD12DEC17	20715.00	20847.50	USD	1,325.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AD

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
12SEP17	S 2 PD12DEC17	20685.00	20847.50	USD	-1,625.00	
	NET 0 AVG BGHT 20715.00 SOLD 20685.00				**-300.00**	**0.00**
13SEP17	S 1 PD13DEC17	20735.00	20846.25	USD	-556.25	
13SEP17	S 1 PD13DEC17	20745.00	20846.25	USD	-506.25	
13SEP17	S 3 PD13DEC17	20680.00	20846.25	USD	-2,493.75	
13SEP17	B 2 PD13DEC17	20705.00	20846.25	USD	1,412.50	
13SEP17	B 3 PD13DEC17	20655.00	20846.25	USD	2,868.75	
13SEP17	S 3 PD13DEC17	20650.00	20846.25	USD	-2,943.75	
13SEP17	S 1 PD13DEC17	20685.00	20846.25	USD	-806.25	
13SEP17	B 1 PD13DEC17	20630.00	20846.25	USD	1,081.25	
13SEP17	B 3 PD13DEC17	20650.00	20846.25	USD	2,943.75	
13SEP17	B 1 PD13DEC17	20640.00	20846.25	USD	1,031.25	
13SEP17	S 1 PD13DEC17	20640.00	20846.25	USD	-1,031.25	
13SEP17	B 3 PD13DEC17	20580.00	20846.25	USD	3,993.75	
13SEP17	B 2 PD13DEC17	20605.00	20846.25	USD	2,412.50	
13SEP17	S 1 PD13DEC17	20585.00	20846.25	USD	-1,306.25	
13SEP17	S 2 PD13DEC17	20605.00	20846.25	USD	-2,412.50	
13SEP17	S 2 PD13DEC17	20550.00	20846.25	USD	-2,962.50	
	NET 0 AVG BGHT 20636.33 SOLD 20646.00				**725.00**	**0.00**
14SEP17	S 3 PD14DEC17	20650.00	20845.00	USD	-2,925.00	
14SEP17	B 1 PD14DEC17	20615.00	20845.00	USD	1,150.00	
14SEP17	B 2 PD14DEC17	20560.00	20845.00	USD	2,850.00	
14SEP17	S 1 PD14DEC17	20530.00	20845.00	USD	-1,575.00	
14SEP17	B 1 PD14DEC17	20545.00	20845.00	USD	1,500.00	
14SEP17	S 1 PD14DEC17	20575.00	20845.00	USD	-1,350.00	
14SEP17	B 1 PD14DEC17	20570.00	20845.00	USD	1,375.00	
14SEP17	B 3 PD14DEC17	20580.00	20845.00	USD	3,975.00	
14SEP17	S 5 PD14DEC17	20590.00	20845.00	USD	-6,375.00	
14SEP17	B 2 PD14DEC17	20630.00	20845.00	USD	2,150.00	
14SEP17	B 1 PD14DEC17	20670.00	20845.00	USD	875.00	
14SEP17	S 2 PD14DEC17	20665.00	20845.00	USD	-1,800.00	
14SEP17	B 1 PD14DEC17	20610.00	20845.00	USD	1,175.00	
	NET 0 AVG BGHT 20594.17 SOLD 20611.25				**1,025.00**	**0.00**
15SEP17	B 3 PD15DEC17	20520.00	20843.75	USD	4,856.25	
15SEP17	B 1 PD15DEC17	20530.00	20843.75	USD	1,568.75	
15SEP17	S 1 PD15DEC17	20615.00	20843.75	USD	-1,143.75	
15SEP17	S 1 PD15DEC17	20625.00	20843.75	USD	-1,093.75	
15SEP17	S 1 PD15DEC17	20520.00	20843.75	USD	-1,618.75	
15SEP17	S 2 PD15DEC17	20580.00	20843.75	USD	-2,637.50	
15SEP17	S 2 PD15DEC17	20570.00	20843.75	USD	-2,737.50	
15SEP17	B 3 PD15DEC17	20580.00	20843.75	USD	3,956.25	
15SEP17	B 1 PD15DEC17	20585.00	20843.75	USD	1,293.75	
15SEP17	S 1 PD15DEC17	20560.00	20843.75	USD	-1,418.75	
15SEP17	B 2 PD15DEC17	20425.00	20843.75	USD	4,187.50	
15SEP17	S 2 PD15DEC17	20480.00	20843.75	USD	-3,637.50	
15SEP17	B 1 PD15DEC17	20435.00	20843.75	USD	2,043.75	
15SEP17	S 1 PD15DEC17	20515.00	20843.75	USD	-1,643.75	
	NET 0 AVG BGHT 20518.18 SOLD 20554.09				**1,975.00**	**0.00**
18SEP17	B 1 PD18DEC17	20680.00	20842.50	USD	812.50	
18SEP17	S 2 PD18DEC17	20675.00	20842.50	USD	-1,675.00	
18SEP17	B 1 PD18DEC17	20685.00	20842.50	USD	787.50	
18SEP17	B 1 PD18DEC17	20715.00	20842.50	USD	637.50	
18SEP17	S 1 PD18DEC17	20685.00	20842.50	USD	-787.50	
18SEP17	S 1 PD18DEC17	20695.00	20842.50	USD	-737.50	
18SEP17	S 5 PD18DEC17	20680.00	20842.50	USD	-4,062.50	
18SEP17	B 2 PD18DEC17	20600.00	20842.50	USD	2,425.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AD

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
18SEP17	B 1 PD18DEC17	20630.00	20842.50	USD	1,062.50	
18SEP17	B 1 PD18DEC17	20580.00	20842.50	USD	1,312.50	
18SEP17	B 1 PD18DEC17	20735.00	20842.50	USD	537.50	
18SEP17	S 3 PD18DEC17	20670.00	20842.50	USD	-2,587.50	
18SEP17	B 1 PD18DEC17	20650.00	20842.50	USD	962.50	
18SEP17	B 1 PD18DEC17	20665.00	20842.50	USD	887.50	
18SEP17	B 1 PD18DEC17	20660.00	20842.50	USD	912.50	
18SEP17	B 1 PD18DEC17	20605.00	20842.50	USD	1,187.50	
18SEP17	S 2 PD18DEC17	20650.00	20842.50	USD	-1,925.00	
18SEP17	B 1 PD18DEC17	20620.00	20842.50	USD	1,112.50	
18SEP17	B 1 PD18DEC17	20635.00	20842.50	USD	1,037.50	
18SEP17	S 1 PD18DEC17	20635.00	20842.50	USD	-1,037.50	
18SEP17	B 1 PD18DEC17	20615.00	20842.50	USD	1,137.50	
18SEP17	B 2 PD18DEC17	20640.00	20842.50	USD	2,025.00	
18SEP17	S 1 PD18DEC17	20620.00	20842.50	USD	-1,112.50	
18SEP17	S 1 PD18DEC17	20640.00	20842.50	USD	-1,012.50	
	NET 0 AVG BGHT 20644.41 SOLD 20666.76				**1,900.00**	**0.00**
19SEP17	B 1 PD19DEC17	20700.00	20841.25	USD	706.25	
19SEP17	S 4 PD19DEC17	20675.00	20841.25	USD	-3,325.00	
19SEP17	B 1 PD19DEC17	20675.00	20841.25	USD	831.25	
19SEP17	S 2 PD19DEC17	20700.00	20841.25	USD	-1,412.50	
19SEP17	B 1 PD19DEC17	20710.00	20841.25	USD	656.25	
19SEP17	B 2 PD19DEC17	20725.00	20841.25	USD	1,162.50	
19SEP17	S 1 PD19DEC17	20710.00	20841.25	USD	-656.25	
19SEP17	S 2 PD19DEC17	20715.00	20841.25	USD	-1,262.50	
19SEP17	S 1 PD19DEC17	20650.00	20841.25	USD	-956.25	
19SEP17	B 4 PD19DEC17	20730.00	20841.25	USD	2,225.00	
19SEP17	B 2 PD19DEC17	20705.00	20841.25	USD	1,362.50	
19SEP17	S 1 PD19DEC17	20685.00	20841.25	USD	-781.25	
19SEP17	B 1 PD19DEC17	20685.00	20841.25	USD	781.25	
19SEP17	S 1 PD19DEC17	20720.00	20841.25	USD	-606.25	
	NET 0 AVG BGHT 20712.50 SOLD 20691.25				**-1,275.00**	**0.00**
20SEP17	B 2 PD20DEC17	20745.00	20840.00	USD	950.00	
20SEP17	S 1 PD20DEC17	20760.00	20840.00	USD	-400.00	
20SEP17	S 1 PD20DEC17	20765.00	20840.00	USD	-375.00	
20SEP17	S 3 PD20DEC17	20740.00	20840.00	USD	-1,500.00	
20SEP17	B 3 PD20DEC17	20740.00	20840.00	USD	1,500.00	
20SEP17	S 1 PD20DEC17	20725.00	20840.00	USD	-575.00	
20SEP17	B 3 PD20DEC17	20660.00	20840.00	USD	2,700.00	
20SEP17	S 2 PD20DEC17	20670.00	20840.00	USD	-1,700.00	
	NET 0 AVG BGHT 20711.25 SOLD 20726.25				**600.00**	**0.00**
21SEP17	B 2 PD21DEC17	20510.00	20833.40	USD	3,234.00	
21SEP17	B 1 PD21DEC17	20540.00	20833.40	USD	1,467.00	
21SEP17	S 1 PD21DEC17	20520.00	20833.40	USD	-1,567.00	
21SEP17	S 3 PD21DEC17	20540.00	20833.40	USD	-4,401.00	
21SEP17	S 5 PD21DEC17	20565.00	20833.40	USD	-6,710.00	
21SEP17	B 2 PD21DEC17	20555.00	20833.40	USD	2,784.00	
21SEP17	B 1 PD21DEC17	20565.00	20833.40	USD	1,342.00	
21SEP17	B 2 PD21DEC17	20570.00	20833.40	USD	2,634.00	
21SEP17	B 1 PD21DEC17	20580.00	20833.40	USD	1,267.00	
21SEP17	B 1 PD21DEC17	20595.00	20833.40	USD	1,192.00	
21SEP17	S 1 PD21DEC17	20550.00	20833.40	USD	-1,417.00	
21SEP17	S 1 PD21DEC17	20560.00	20833.40	USD	-1,367.00	
21SEP17	S 1 PD21DEC17	20595.00	20833.40	USD	-1,192.00	
21SEP17	B 1 PD21DEC17	20465.00	20833.40	USD	1,842.00	
21SEP17	B 3 PD21DEC17	20500.00	20833.40	USD	5,001.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AD

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
21SEP17	S 2 PD21DEC17	20495.00	20833.40	USD	-3,384.00	
21SEP17	S 1 PD21DEC17	20380.00	20833.40	USD	-2,267.00	
	NET -1 AVG BGHT 20536.79 SOLD 20536.00				**-1,542.00**	**0.00**
22SEP17	S 1 PD22DEC17	20325.00	20826.80	USD	-2,509.00	
22SEP17	S 2 PD22DEC17	20330.00	20826.80	USD	-4,968.00	
22SEP17	B 2 PD22DEC17	20400.00	20826.80	USD	4,268.00	
22SEP17	B 1 PD22DEC17	20470.00	20826.80	USD	1,784.00	
22SEP17	S 4 PD22DEC17	20425.00	20826.80	USD	-8,036.00	
22SEP17	S 2 PD22DEC17	20455.00	20826.80	USD	-3,718.00	
22SEP17	B 4 PD22DEC17	20440.00	20826.80	USD	7,736.00	
22SEP17	B 7 PD22DEC17	20445.00	20826.80	USD	13,363.00	
22SEP17	S 2 PD22DEC17	20430.00	20826.80	USD	-3,968.00	
22SEP17	S 1 PD22DEC17	20505.00	20826.80	USD	-1,609.00	
22SEP17	S 1 PD22DEC17	20525.00	20826.80	USD	-1,509.00	
22SEP17	B 1 PD22DEC17	20525.00	20826.80	USD	1,509.00	
22SEP17	S 1 PD22DEC17	20565.00	20826.80	USD	-1,309.00	
22SEP17	B 1 PD22DEC17	20625.00	20826.80	USD	1,009.00	
22SEP17	B 1 PD22DEC17	20630.00	20826.80	USD	984.00	
22SEP17	S 2 PD22DEC17	20630.00	20826.80	USD	-1,968.00	
22SEP17	S 1 PD22DEC17	20600.00	20826.80	USD	-1,134.00	
22SEP17	B 1 PD22DEC17	20615.00	20826.80	USD	1,059.00	
22SEP17	B 1 PD22DEC17	20600.00	20826.80	USD	1,134.00	
22SEP17	B 1 PD22DEC17	20465.00	20826.80	USD	1,809.00	
22SEP17	S 1 PD22DEC17	20445.00	20826.80	USD	-1,909.00	
22SEP17	S 1 PD22DEC17	20605.00	20826.80	USD	-1,109.00	
22SEP17	S 5 PD22DEC17	20580.00	20826.80	USD	-6,170.00	
22SEP17	S 2 PD22DEC17	20520.00	20826.80	USD	-3,068.00	
22SEP17	B 2 PD22DEC17	20515.00	20826.80	USD	3,118.00	
22SEP17	B 1 PD22DEC17	20520.00	20826.80	USD	1,534.00	
22SEP17	S 1 PD22DEC17	20515.00	20826.80	USD	-1,559.00	
22SEP17	S 1 PD22DEC17	20570.00	20826.80	USD	-1,284.00	
22SEP17	S 3 PD22DEC17	20575.00	20826.80	USD	-3,777.00	
22SEP17	B 7 PD22DEC17	20580.00	20826.80	USD	8,638.00	
22SEP17	B 2 PD22DEC17	20575.00	20826.80	USD	2,518.00	
	NET +1 AVG BGHT 20511.41 SOLD 20506.77				**859.00**	**0.00**
25SEP17	S 2 PD27DEC17	20620.00	20820.20	USD	-2,002.00	
25SEP17	B 2 PD27DEC17	20645.00	20820.20	USD	1,752.00	
25SEP17	S 2 PD27DEC17	20605.00	20820.20	USD	-2,152.00	
25SEP17	B 2 PD27DEC17	20595.00	20820.20	USD	2,252.00	
25SEP17	B 1 PD27DEC17	20545.00	20820.20	USD	1,376.00	
25SEP17	B 2 PD27DEC17	20585.00	20820.20	USD	2,352.00	
25SEP17	B 1 PD27DEC17	20590.00	20820.20	USD	1,151.00	
25SEP17	S 1 PD27DEC17	20625.00	20820.20	USD	-976.00	
25SEP17	S 2 PD27DEC17	20585.00	20820.20	USD	-2,352.00	
25SEP17	B 1 PD27DEC17	20615.00	20820.20	USD	1,026.00	
25SEP17	S 5 PD27DEC17	20610.00	20820.20	USD	-5,255.00	
25SEP17	S 1 PD27DEC17	20615.00	20820.20	USD	-1,026.00	
25SEP17	B 1 PD27DEC17	20610.00	20820.20	USD	1,051.00	
25SEP17	B 1 PD27DEC17	20620.00	20820.20	USD	1,001.00	
25SEP17	B 1 PD27DEC17	20630.00	20820.20	USD	951.00	
25SEP17	B 1 PD27DEC17	20600.00	20820.20	USD	1,101.00	
25SEP17	B 3 PD27DEC17	20640.00	20820.20	USD	2,703.00	
25SEP17	B 1 PD27DEC17	20660.00	20820.20	USD	801.00	
25SEP17	S 1 PD27DEC17	20665.00	20820.20	USD	-776.00	
25SEP17	S 3 PD27DEC17	20645.00	20820.20	USD	-2,628.00	
26SEP17	S 1 PD27DEC17	20745.00	20820.20	USD	-376.00	
26SEP17	B 1 PD27DEC17	20715.00	20820.20	USD	526.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AD

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
26SEP17	S	3	PD27DEC17	20705.00	20820.20	USD	-1,728.00	
26SEP17	B	1	PD27DEC17	20625.00	20820.20	USD	976.00	
26SEP17	S	1	PD27DEC17	20655.00	20820.20	USD	-826.00	
26SEP17	B	2	PD27DEC17	20655.00	20820.20	USD	1,652.00	
26SEP17	B	1	PD27DEC17	20660.00	20820.20	USD	801.00	
27SEP17	S	3	PD27DEC17	20710.00	20820.20	USD	-1,653.00	
27SEP17	B	1	PD27DEC17	20710.00	20820.20	USD	551.00	
27SEP17	B	1	PD27DEC17	20720.00	20820.20	USD	501.00	
27SEP17	B	1	PD27DEC17	20700.00	20820.20	USD	601.00	
27SEP17	B	1	PD27DEC17	20655.00	20820.20	USD	826.00	
27SEP17	S	1	PD27DEC17	20655.00	20820.20	USD	-826.00	
27SEP17	S	2	PD27DEC17	20645.00	20820.20	USD	-1,752.00	
27SEP17	S	3	PD27DEC17	20650.00	20820.20	USD	-2,553.00	
27SEP17	B	1	PD27DEC17	20675.00	20820.20	USD	726.00	
27SEP17	B	3	PD27DEC17	20665.00	20820.20	USD	2,328.00	
27SEP17	B	1	PD27DEC17	20670.00	20820.20	USD	751.00	
	NET	**0**	**AVG BGHT 20641.13**	**SOLD 20646.77**			**875.00**	**0.00**
28SEP17	S	1	PD28DEC17	20700.00	20813.60	USD	-568.00	
28SEP17	S	5	PD28DEC17	20710.00	20813.60	USD	-2,590.00	
28SEP17	B	5	PD28DEC17	20700.00	20813.60	USD	2,840.00	
28SEP17	S	5	PD28DEC17	20695.00	20813.60	USD	-2,965.00	
28SEP17	B	1	PD28DEC17	20710.00	20813.60	USD	518.00	
28SEP17	B	2	PD28DEC17	20695.00	20813.60	USD	1,186.00	
28SEP17	B	3	PD28DEC17	20720.00	20813.60	USD	1,404.00	
28SEP17	S	3	PD28DEC17	20620.00	20813.60	USD	-2,904.00	
28SEP17	S	2	PD28DEC17	20625.00	20813.60	USD	-1,886.00	
28SEP17	B	2	PD28DEC17	20625.00	20813.60	USD	1,886.00	
28SEP17	B	2	PD28DEC17	20630.00	20813.60	USD	1,836.00	
28SEP17	B	2	PD28DEC17	20640.00	20813.60	USD	1,736.00	
28SEP17	S	1	PD28DEC17	20635.00	20813.60	USD	-893.00	
	NET	**0**	**AVG BGHT 20679.41**	**SOLD 20674.71**			**-400.00**	**0.00**
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
04JUL17	B	2	PD04OCT17	2792.00	3316.62	USD	26,231.00	
04JUL17	B	1	PD04OCT17	2793.50	3316.62	USD	13,078.00	
04JUL17	S	3	PD04OCT17	2796.50	3316.62	USD	-39,009.00	
	NET	**0**	**AVG BGHT 2792.50**	**SOLD 2796.50**			**300.00**	**0.00**
05JUL17	S	1	PD05OCT17	2757.50	3313.50	USD	-13,900.00	
05JUL17	B	1	PD05OCT17	2777.00	3313.50	USD	13,412.50	
	NET	**0**	**AVG BGHT 2777.00**	**SOLD 2757.50**			**-487.50**	**0.00**
07JUL17	S	1	PD06OCT17	2794.50	3310.38	USD	-12,897.00	
07JUL17	S	2	PD06OCT17	2785.00	3310.38	USD	-26,269.00	
07JUL17	S	1	PD06OCT17	2777.00	3310.38	USD	-13,334.50	
07JUL17	B	1	PD06OCT17	2777.50	3310.38	USD	13,322.00	
07JUL17	B	1	PD06OCT17	2795.50	3310.38	USD	12,872.00	
07JUL17	B	2	PD06OCT17	2783.00	3310.38	USD	26,369.00	
07JUL17	S	1	PD06OCT17	2781.00	3310.38	USD	-13,234.50	
07JUL17	B	1	PD06OCT17	2780.50	3310.38	USD	13,247.00	
	NET	**0**	**AVG BGHT 2783.90**	**SOLD 2784.50**			**75.00**	**0.00**
10JUL17	S	1	PD10OCT17	2771.50	3307.25	USD	-13,393.75	
10JUL17	S	1	PD10OCT17	2773.00	3307.25	USD	-13,356.25	
10JUL17	B	1	PD10OCT17	2771.50	3307.25	USD	13,393.75	
10JUL17	B	1	PD10OCT17	2756.50	3307.25	USD	13,768.75	
10JUL17	S	1	PD10OCT17	2760.50	3307.25	USD	-13,668.75	
20JUL17	B	1	PD10OCT17	2726.76	3307.25	USD	14,512.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AD

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
	NET	**0**	**AVG BGHT 2751.59 SOLD 2768.33**				**1,256.00**	**0.00**
11JUL17	S	1	PD11OCT17	2779.50	3304.13	USD	-13,115.75	
11JUL17	S	1	PD11OCT17	2784.00	3304.13	USD	-13,003.25	
11JUL17	S	1	PD11OCT17	2795.00	3304.13	USD	-12,728.25	
11JUL17	B	1	PD11OCT17	2765.50	3304.13	USD	13,465.75	
11JUL17	B	1	PD11OCT17	2774.00	3304.13	USD	13,253.25	
11JUL17	B	1	PD11OCT17	2775.50	3304.13	USD	13,215.75	
11JUL17	S	1	PD11OCT17	2789.50	3304.13	USD	-12,865.75	
11JUL17	S	1	PD11OCT17	2808.00	3304.13	USD	-12,403.25	
11JUL17	B	1	PD11OCT17	2812.50	3304.13	USD	12,290.75	
11JUL17	S	1	PD11OCT17	2814.50	3304.13	USD	-12,240.75	
20JUL17	B	2	PD11OCT17	2727.00	3304.13	USD	28,856.50	
	NET	**0**	**AVG BGHT 2763.58 SOLD 2795.08**				**4,725.00**	**0.00**
12JUL17	B	1	PD12OCT17	2846.50	3301.00	USD	11,362.50	
12JUL17	B	2	PD12OCT17	2847.50	3301.00	USD	22,675.00	
20JUL17	S	3	PD12OCT17	2726.94	3301.00	USD	-43,054.50	
	NET	**0**	**AVG BGHT 2847.17 SOLD 2726.94**				**-9,017.00**	**0.00**
13JUL17	S	2	PD13OCT17	2840.00	3297.88	USD	-22,894.00	
13JUL17	S	1	PD13OCT17	2826.50	3297.88	USD	-11,784.50	
14JUL17	B	1	PD13OCT17	2777.00	3297.88	USD	13,022.00	
14JUL17	B	1	PD13OCT17	2777.50	3297.88	USD	13,009.50	
14JUL17	S	1	PD13OCT17	2773.00	3297.88	USD	-13,122.00	
	NET	**-2**	**AVG BGHT 2777.25 SOLD 2819.88**				**-21,769.00**	**0.00**
17JUL17	B	1	PD17OCT17	2817.00	3291.63	USD	11,865.75	
17JUL17	B	1	PD17OCT17	2817.50	3291.63	USD	11,853.25	
17JUL17	S	1	PD17OCT17	2833.50	3291.63	USD	-11,453.25	
17JUL17	B	1	PD17OCT17	2820.00	3291.63	USD	11,790.75	
17JUL17	S	1	PD17OCT17	2829.00	3291.63	USD	-11,565.75	
17JUL17	S	1	PD17OCT17	2843.00	3291.63	USD	-11,215.75	
17JUL17	S	2	PD17OCT17	2840.00	3291.63	USD	-22,581.50	
17JUL17	B	1	PD17OCT17	2827.00	3291.63	USD	11,615.75	
17JUL17	S	2	PD17OCT17	2817.50	3291.63	USD	-23,706.50	
17JUL17	B	1	PD17OCT17	2846.00	3291.63	USD	11,140.75	
17JUL17	B	1	PD17OCT17	2846.50	3291.63	USD	11,128.25	
17JUL17	B	1	PD17OCT17	2823.00	3291.63	USD	11,715.75	
	NET	**0**	**AVG BGHT 2828.14 SOLD 2831.50**				**587.50**	**0.00**
18JUL17	B	1	PD18OCT17	2785.50	3288.50	USD	12,575.00	
18JUL17	S	1	PD18OCT17	2784.50	3288.50	USD	-12,600.00	
	NET	**0**	**AVG BGHT 2785.50 SOLD 2784.50**				**-25.00**	**0.00**
19JUL17	S	1	PD19OCT17	2758.50	3285.50	USD	-13,175.00	
	NET	**-1**	**AVG SOLD 2758.50**				**-13,175.00**	**0.00**
20JUL17	B	1	PD20OCT17	2734.50	3282.50	USD	13,700.00	
20JUL17	B	1	PD20OCT17	2726.00	3282.50	USD	13,912.50	
20JUL17	B	1	PD20OCT17	2726.50	3282.50	USD	13,900.00	
21JUL17	S	2	PD20OCT17	2763.50	3282.50	USD	-25,950.00	
21JUL17	B	1	PD20OCT17	2764.00	3282.50	USD	12,962.50	
21JUL17	S	1	PD20OCT17	2771.50	3282.50	USD	-12,775.00	
21JUL17	B	2	PD20OCT17	2766.00	3282.50	USD	25,825.00	
21JUL17	S	4	PD20OCT17	2764.00	3282.50	USD	-51,850.00	
21JUL17	B	1	PD20OCT17	2765.00	3282.50	USD	12,937.50	
21JUL17	B	1	PD20OCT17	2759.00	3282.50	USD	13,087.50	
21JUL17	B	1	PD20OCT17	2759.50	3282.50	USD	13,075.00	
21JUL17	B	1	PD20OCT17	2744.00	3282.50	USD	13,462.50	
	NET	**+3**	**AVG BGHT 2751.05 SOLD 2764.93**				**42,287.50**	**0.00**
24JUL17	S	2	PD24OCT17	2778.00	3280.50	USD	-25,125.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AD

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
24JUL17	B 1 PD24OCT17	2777.00	3280.50	USD	12,587.50	
24JUL17	S 1 PD24OCT17	2789.00	3280.50	USD	-12,287.50	
24JUL17	S 1 PD24OCT17	2782.50	3280.50	USD	-12,450.00	
24JUL17	S 1 PD24OCT17	2784.00	3280.50	USD	-12,412.50	
24JUL17	S 1 PD24OCT17	2773.50	3280.50	USD	-12,675.00	
24JUL17	S 1 PD24OCT17	2774.00	3280.50	USD	-12,662.50	
24JUL17	B 1 PD24OCT17	2772.00	3280.50	USD	12,712.50	
24JUL17	B 1 PD24OCT17	2777.50	3280.50	USD	12,575.00	
24JUL17	B 2 PD24OCT17	2778.00	3280.50	USD	25,125.00	
24JUL17	S 1 PD24OCT17	2775.00	3280.50	USD	-12,637.50	
24JUL17	S 1 PD24OCT17	2776.00	3280.50	USD	-12,612.50	
24JUL17	B 1 PD24OCT17	2776.50	3280.50	USD	12,600.00	
24JUL17	B 1 PD24OCT17	2773.50	3280.50	USD	12,675.00	
	NET -2 AVG BGHT 2776.07 SOLD 2778.89				**-24,587.50**	**0.00**
25JUL17	S 1 PD25OCT17	2783.50	3279.50	USD	-12,400.00	
25JUL17	B 1 PD25OCT17	2797.00	3279.50	USD	12,062.50	
25JUL17	B 2 PD25OCT17	2801.50	3279.50	USD	23,900.00	
	NET +2 AVG BGHT 2800.00 SOLD 2783.50				**23,562.50**	**0.00**
28JUL17	B 2 PD27OCT17	2783.50	3277.50	USD	24,700.00	
28JUL17	S 2 PD27OCT17	2785.50	3277.50	USD	-24,600.00	
	NET 0 AVG BGHT 2783.50 SOLD 2785.50				**100.00**	**0.00**
02AUG17	S 1 PD02NOV17	2806.00	3273.50	USD	-11,687.50	
	NET -1 AVG SOLD 2806.00				**-11,687.50**	**0.00**
03AUG17	B 1 PD03NOV17	2834.00	3272.00	USD	10,950.00	
04AUG17	S 2 PD03NOV17	2834.00	3272.00	USD	-21,900.00	
04AUG17	S 2 PD03NOV17	2825.00	3272.00	USD	-22,350.00	
04AUG17	S 1 PD03NOV17	2826.00	3272.00	USD	-11,150.00	
04AUG17	B 2 PD03NOV17	2824.50	3272.00	USD	22,375.00	
04AUG17	B 1 PD03NOV17	2823.00	3272.00	USD	11,225.00	
04AUG17	B 1 PD03NOV17	2813.50	3272.00	USD	11,462.50	
04AUG17	B 1 PD03NOV17	2815.50	3272.00	USD	11,412.50	
	NET +1 AVG BGHT 2822.50 SOLD 2828.80				**12,025.00**	**0.00**
07AUG17	B 1 PD07NOV17	2873.50	3272.00	USD	9,962.50	
07AUG17	B 1 PD07NOV17	2874.00	3272.00	USD	9,950.00	
07AUG17	S 1 PD07NOV17	2865.00	3272.00	USD	-10,175.00	
07AUG17	S 1 PD07NOV17	2872.00	3272.00	USD	-10,000.00	
07AUG17	S 2 PD07NOV17	2872.50	3272.00	USD	-19,975.00	
07AUG17	B 2 PD07NOV17	2873.00	3272.00	USD	19,950.00	
07AUG17	S 3 PD07NOV17	2873.00	3272.00	USD	-29,925.00	
07AUG17	S 2 PD07NOV17	2874.50	3272.00	USD	-19,875.00	
07AUG17	B 2 PD07NOV17	2877.50	3272.00	USD	19,725.00	
07AUG17	B 1 PD07NOV17	2884.00	3272.00	USD	9,700.00	
07AUG17	B 1 PD07NOV17	2880.00	3272.00	USD	9,800.00	
07AUG17	S 2 PD07NOV17	2878.00	3272.00	USD	-19,700.00	
07AUG17	S 1 PD07NOV17	2881.00	3272.00	USD	-9,775.00	
07AUG17	B 3 PD07NOV17	2880.50	3272.00	USD	29,362.50	
07AUG17	S 1 PD07NOV17	2880.00	3272.00	USD	-9,800.00	
07AUG17	B 1 PD07NOV17	2862.50	3272.00	USD	10,237.50	
07AUG17	B 1 PD07NOV17	2862.00	3272.00	USD	10,250.00	
07AUG17	S 2 PD07NOV17	2881.50	3272.00	USD	-19,525.00	
	NET -2 AVG BGHT 2875.27 SOLD 2875.33				**-19,812.50**	**0.00**
08AUG17	S 1 PD08NOV17	2902.50	3272.00	USD	-9,237.50	
08AUG17	B 1 PD08NOV17	2917.00	3272.00	USD	8,875.00	
08AUG17	B 1 PD08NOV17	2919.00	3272.00	USD	8,825.00	
08AUG17	B 2 PD08NOV17	2922.00	3272.00	USD	17,500.00	
08AUG17	S 2 PD08NOV17	2913.50	3272.00	USD	-17,925.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AD

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
08AUG17	S	2	PD08NOV17	2917.50	3272.00	USD	-17,725.00	
08AUG17	S	2	PD08NOV17	2919.50	3272.00	USD	-17,625.00	
08AUG17	B	2	PD08NOV17	2934.00	3272.00	USD	16,900.00	
08AUG17	B	2	PD08NOV17	2932.50	3272.00	USD	16,975.00	
08AUG17	S	2	PD08NOV17	2932.00	3272.00	USD	-17,000.00	
	NET	**-1**	**AVG BGHT 2926.63**	**SOLD 2918.61**			**-10,437.50**	**0.00**
09AUG17	B	3	PD09NOV17	2968.00	3272.00	USD	22,800.00	
09AUG17	B	1	PD09NOV17	2979.00	3272.00	USD	7,325.00	
09AUG17	B	2	PD09NOV17	2979.50	3272.00	USD	14,625.00	
09AUG17	S	1	PD09NOV17	2965.00	3272.00	USD	-7,675.00	
09AUG17	S	3	PD09NOV17	2968.00	3272.00	USD	-22,800.00	
09AUG17	S	4	PD09NOV17	2979.00	3272.00	USD	-29,300.00	
09AUG17	B	3	PD09NOV17	2938.50	3272.00	USD	25,012.50	
09AUG17	B	3	PD09NOV17	2970.50	3272.00	USD	22,612.50	
09AUG17	S	3	PD09NOV17	2970.00	3272.00	USD	-22,650.00	
09AUG17	B	1	PD09NOV17	2936.50	3272.00	USD	8,387.50	
09AUG17	B	3	PD09NOV17	2941.00	3272.00	USD	24,825.00	
09AUG17	S	2	PD09NOV17	2940.00	3272.00	USD	-16,600.00	
09AUG17	S	3	PD09NOV17	2942.00	3272.00	USD	-24,750.00	
09AUG17	B	3	PD09NOV17	2932.50	3272.00	USD	25,462.50	
	NET	**+3**	**AVG BGHT 2954.00**	**SOLD 2962.56**			**27,275.00**	**0.00**
10AUG17	B	1	PD10NOV17	2948.00	3272.00	USD	8,100.00	
10AUG17	S	1	PD10NOV17	2949.00	3272.00	USD	-8,075.00	
10AUG17	B	2	PD10NOV17	2945.50	3272.00	USD	16,325.00	
10AUG17	S	2	PD10NOV17	2947.50	3272.00	USD	-16,225.00	
11AUG17	B	1	PD10NOV17	2890.00	3272.00	USD	9,550.00	
11AUG17	S	1	PD10NOV17	2908.00	3272.00	USD	-9,100.00	
11AUG17	S	1	PD10NOV17	2911.00	3272.00	USD	-9,025.00	
11AUG17	B	2	PD10NOV17	2914.50	3272.00	USD	17,875.00	
11AUG17	B	1	PD10NOV17	2915.00	3272.00	USD	8,925.00	
11AUG17	S	3	PD10NOV17	2911.50	3272.00	USD	-27,037.50	
11AUG17	S	1	PD10NOV17	2912.50	3272.00	USD	-8,987.50	
11AUG17	S	3	PD10NOV17	2903.00	3272.00	USD	-27,675.00	
11AUG17	S	3	PD10NOV17	2904.00	3272.00	USD	-27,600.00	
11AUG17	B	3	PD10NOV17	2904.00	3272.00	USD	27,600.00	
11AUG17	B	1	PD10NOV17	2905.50	3272.00	USD	9,162.50	
11AUG17	B	1	PD10NOV17	2907.00	3272.00	USD	9,125.00	
11AUG17	B	1	PD10NOV17	2899.50	3272.00	USD	9,312.50	
	NET	**-2**	**AVG BGHT 2915.15**	**SOLD 2915.40**			**-17,750.00**	**0.00**
14AUG17	B	1	PD14NOV17	2907.50	3270.33	USD	9,070.75	
14AUG17	S	4	PD14NOV17	2908.00	3270.33	USD	-36,233.00	
14AUG17	B	5	PD14NOV17	2913.00	3270.33	USD	44,666.25	
14AUG17	S	1	PD14NOV17	2908.50	3270.33	USD	-9,045.75	
14AUG17	S	1	PD14NOV17	2910.00	3270.33	USD	-9,008.25	
14AUG17	B	2	PD14NOV17	2908.00	3270.33	USD	18,116.50	
	NET	**+2**	**AVG BGHT 2911.06**	**SOLD 2908.42**			**17,566.50**	**0.00**
15AUG17	B	1	PD15NOV17	2940.00	3269.50	USD	8,237.50	
15AUG17	S	1	PD15NOV17	2938.50	3269.50	USD	-8,275.00	
15AUG17	S	1	PD15NOV17	2951.00	3269.50	USD	-7,962.50	
15AUG17	S	1	PD15NOV17	2960.50	3269.50	USD	-7,725.00	
15AUG17	B	1	PD15NOV17	2957.00	3269.50	USD	7,812.50	
15AUG17	B	1	PD15NOV17	2957.50	3269.50	USD	7,800.00	
	NET	**0**	**AVG BGHT 2951.50**	**SOLD 2950.00**			**-112.50**	**0.00**
16AUG17	S	2	PD16NOV17	3010.00	3267.50	USD	-12,875.00	
16AUG17	S	3	PD16NOV17	3022.00	3267.50	USD	-18,412.50	
16AUG17	B	2	PD16NOV17	3023.00	3267.50	USD	12,225.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AD

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
16AUG17	S 2 PD16NOV17	3022.50	3267.50	USD	-12,250.00	
16AUG17	B 1 PD16NOV17	3027.50	3267.50	USD	6,000.00	
16AUG17	B 4 PD16NOV17	3029.50	3267.50	USD	23,800.00	
16AUG17	S 1 PD16NOV17	3027.50	3267.50	USD	-6,000.00	
16AUG17	S 2 PD16NOV17	3031.50	3267.50	USD	-11,800.00	
16AUG17	B 3 PD16NOV17	3035.00	3267.50	USD	17,437.50	
16AUG17	B 1 PD16NOV17	3050.50	3267.50	USD	5,425.00	
16AUG17	S 1 PD16NOV17	3033.00	3267.50	USD	-5,862.50	
16AUG17	B 1 PD16NOV17	3084.00	3267.50	USD	4,587.50	
16AUG17	B 1 PD16NOV17	3084.50	3267.50	USD	4,575.00	
16AUG17	B 1 PD16NOV17	3089.50	3267.50	USD	4,450.00	
16AUG17	B 1 PD16NOV17	3090.00	3267.50	USD	4,437.50	
16AUG17	S 3 PD16NOV17	3078.00	3267.50	USD	-14,212.50	
16AUG17	S 1 PD16NOV17	3079.00	3267.50	USD	-4,712.50	
16AUG17	S 1 PD16NOV17	3080.00	3267.50	USD	-4,687.50	
16AUG17	B 1 PD16NOV17	3099.50	3267.50	USD	4,200.00	
16AUG17	B 1 PD16NOV17	3102.00	3267.50	USD	4,137.50	
16AUG17	B 1 PD16NOV17	3105.50	3267.50	USD	4,050.00	
16AUG17	S 5 PD16NOV17	3098.00	3267.50	USD	-21,187.50	
16AUG17	B 1 PD16NOV17	3123.50	3267.50	USD	3,600.00	
16AUG17	S 1 PD16NOV17	3118.00	3267.50	USD	-3,737.50	
16AUG17	B 1 PD16NOV17	3083.00	3267.50	USD	4,612.50	
16AUG17	B 2 PD16NOV17	3104.00	3267.50	USD	8,175.00	
	NET 0 AVG BGHT 3064.39 SOLD 3057.07				**-4,025.00**	**0.00**
17AUG17	B 2 PD17NOV17	3091.00	3265.50	USD	8,725.00	
17AUG17	S 1 PD17NOV17	3090.50	3265.50	USD	-4,375.00	
17AUG17	S 2 PD17NOV17	3091.00	3265.50	USD	-8,725.00	
17AUG17	S 1 PD17NOV17	3094.50	3265.50	USD	-4,275.00	
17AUG17	B 3 PD17NOV17	3097.50	3265.50	USD	12,600.00	
17AUG17	S 1 PD17NOV17	3094.00	3265.50	USD	-4,287.50	
17AUG17	B 3 PD17NOV17	3083.00	3265.50	USD	13,687.50	
17AUG17	S 1 PD17NOV17	3089.50	3265.50	USD	-4,400.00	
17AUG17	S 2 PD17NOV17	3090.00	3265.50	USD	-8,775.00	
18AUG17	B 1 PD17NOV17	3115.50	3265.50	USD	3,750.00	
18AUG17	B 1 PD17NOV17	3117.00	3265.50	USD	3,712.50	
18AUG17	B 1 PD17NOV17	3117.50	3265.50	USD	3,700.00	
18AUG17	B 2 PD17NOV17	3119.00	3265.50	USD	7,325.00	
18AUG17	B 1 PD17NOV17	3120.00	3265.50	USD	3,637.50	
18AUG17	S 1 PD17NOV17	3117.00	3265.50	USD	-3,712.50	
18AUG17	S 4 PD17NOV17	3117.50	3265.50	USD	-14,800.00	
18AUG17	S 1 PD17NOV17	3119.50	3265.50	USD	-3,650.00	
18AUG17	S 1 PD17NOV17	3128.00	3265.50	USD	-3,437.50	
18AUG17	S 4 PD17NOV17	3135.00	3265.50	USD	-13,050.00	
18AUG17	B 1 PD17NOV17	3127.00	3265.50	USD	3,462.50	
18AUG17	B 1 PD17NOV17	3131.50	3265.50	USD	3,350.00	
18AUG17	B 1 PD17NOV17	3132.00	3265.50	USD	3,337.50	
18AUG17	B 3 PD17NOV17	3118.50	3265.50	USD	11,025.00	
18AUG17	B 2 PD17NOV17	3121.00	3265.50	USD	7,225.00	
18AUG17	S 3 PD17NOV17	3119.00	3265.50	USD	-10,987.50	
	NET 0 AVG BGHT 3109.98 SOLD 3111.91				**1,062.50**	**0.00**
22AUG17	B 2 PD22NOV17	3127.00	3264.50	USD	6,875.00	
22AUG17	B 1 PD22NOV17	3128.00	3264.50	USD	3,412.50	
22AUG17	S 3 PD22NOV17	3127.00	3264.50	USD	-10,312.50	
22AUG17	B 3 PD22NOV17	3123.00	3264.50	USD	10,612.50	
22AUG17	S 3 PD22NOV17	3122.00	3264.50	USD	-10,687.50	
22AUG17	B 3 PD22NOV17	3120.50	3264.50	USD	10,800.00	
22AUG17	S 3 PD22NOV17	3114.50	3264.50	USD	-11,250.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AD

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
	NET 0 AVG BGHT 3123.61 SOLD 3121.17				**-550.00**	**0.00**
23AUG17	S 1 PD24NOV17	3117.50	3264.71	USD	-3,680.25	
23AUG17	S 1 PD24NOV17	3118.00	3264.71	USD	-3,667.75	
23AUG17	S 1 PD24NOV17	3123.50	3264.71	USD	-3,530.25	
23AUG17	S 2 PD24NOV17	3119.00	3264.71	USD	-7,285.50	
23AUG17	B 1 PD24NOV17	3105.00	3264.71	USD	3,992.75	
24AUG17	S 2 PD24NOV17	3105.00	3264.71	USD	-7,985.50	
24AUG17	B 2 PD24NOV17	3115.00	3264.71	USD	7,485.50	
25AUG17	B 2 PD24NOV17	3070.50	3264.71	USD	9,710.50	
25AUG17	S 1 PD24NOV17	3074.50	3264.71	USD	-4,755.25	
25AUG17	S 1 PD24NOV17	3079.50	3264.71	USD	-4,630.25	
	NET -4 AVG BGHT 3095.20 SOLD 3106.78				**-14,346.00**	**0.00**
31AUG17	S 2 PD30NOV17	3125.00	3265.36	USD	-7,018.00	
31AUG17	B 2 PD30NOV17	3127.00	3265.36	USD	6,918.00	
31AUG17	S 2 PD30NOV17	3135.00	3265.36	USD	-6,518.00	
31AUG17	B 1 PD30NOV17	3126.50	3265.36	USD	3,471.50	
31AUG17	S 1 PD30NOV17	3124.50	3265.36	USD	-3,521.50	
31AUG17	B 2 PD30NOV17	3127.50	3265.36	USD	6,893.00	
31AUG17	S 2 PD30NOV17	3137.00	3265.36	USD	-6,418.00	
31AUG17	B 1 PD30NOV17	3138.00	3265.36	USD	3,184.00	
31AUG17	B 1 PD30NOV17	3139.00	3265.36	USD	3,159.00	
	NET 0 AVG BGHT 3130.36 SOLD 3131.21				**150.00**	**0.00**
07SEP17	S 2 PD07DEC17	3104.00	3266.11	USD	-8,105.50	
07SEP17	B 1 PD07DEC17	3121.00	3266.11	USD	3,627.75	
07SEP17	B 1 PD07DEC17	3125.00	3266.11	USD	3,527.75	
	NET 0 AVG BGHT 3123.00 SOLD 3104.00				**-950.00**	**0.00**
15SEP17	S 1 PD15DEC17	3034.50	3266.96	USD	-5,811.50	
15SEP17	B 1 PD15DEC17	3032.50	3266.96	USD	5,861.50	
	NET 0 AVG BGHT 3032.50 SOLD 3034.50				**50.00**	**0.00**
20SEP17	B 1 PD20DEC17	3145.50	3267.50	USD	3,050.00	
20SEP17	B 1 PD20DEC17	3148.50	3267.50	USD	2,975.00	
20SEP17	B 1 PD20DEC17	3146.50	3267.50	USD	3,025.00	
20SEP17	B 1 PD20DEC17	3149.00	3267.50	USD	2,962.50	
	NET +4 AVG BGHT 3147.38				**12,012.50**	**0.00**
21SEP17	B 1 PD21DEC17	3075.50	3264.00	USD	4,712.50	
21SEP17	S 1 PD21DEC17	3074.50	3264.00	USD	-4,737.50	
21SEP17	B 1 PD21DEC17	3072.00	3264.00	USD	4,800.00	
21SEP17	S 1 PD21DEC17	3071.50	3264.00	USD	-4,812.50	
21SEP17	B 2 PD21DEC17	3068.50	3264.00	USD	9,775.00	
21SEP17	S 1 PD21DEC17	3085.50	3264.00	USD	-4,462.50	
21SEP17	S 1 PD21DEC17	3089.00	3264.00	USD	-4,375.00	
21SEP17	B 1 PD21DEC17	3106.50	3264.00	USD	3,937.50	
21SEP17	B 1 PD21DEC17	3110.00	3264.00	USD	3,850.00	
21SEP17	S 2 PD21DEC17	3104.50	3264.00	USD	-7,975.00	
21SEP17	S 2 PD21DEC17	3105.00	3264.00	USD	-7,950.00	
21SEP17	B 2 PD21DEC17	3111.50	3264.00	USD	7,625.00	
	NET 0 AVG BGHT 3090.50 SOLD 3092.44				**387.50**	**0.00**
25SEP17	S 2 PD27DEC17	3094.50	3264.00	USD	-8,475.00	
25SEP17	B 2 PD27DEC17	3085.00	3264.00	USD	8,950.00	
25SEP17	S 2 PD27DEC17	3098.00	3264.00	USD	-8,300.00	
25SEP17	B 2 PD27DEC17	3090.50	3264.00	USD	8,675.00	
26SEP17	B 2 PD27DEC17	3137.50	3264.00	USD	6,325.00	
26SEP17	B 1 PD27DEC17	3138.00	3264.00	USD	3,150.00	
26SEP17	S 5 PD27DEC17	3134.00	3264.00	USD	-16,250.00	
26SEP17	B 2 PD27DEC17	3136.50	3264.00	USD	6,375.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AD

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
26SEP17	S 2 PD27DEC17	3139.50	3264.00	USD	-6,225.00	
26SEP17	B 2 PD27DEC17	3150.00	3264.00	USD	5,700.00	
26SEP17	S 2 PD27DEC17	3146.00	3264.00	USD	-5,900.00	
26SEP17	S 2 PD27DEC17	3147.50	3264.00	USD	-5,825.00	
26SEP17	S 1 PD27DEC17	3148.50	3264.00	USD	-2,887.50	
26SEP17	B 3 PD27DEC17	3148.50	3264.00	USD	8,662.50	
26SEP17	S 3 PD27DEC17	3144.50	3264.00	USD	-8,962.50	
26SEP17	B 5 PD27DEC17	3140.00	3264.00	USD	15,500.00	
27SEP17	B 1 PD27DEC17	3128.00	3264.00	USD	3,400.00	
27SEP17	S 1 PD27DEC17	3130.50	3264.00	USD	-3,337.50	
	NET 0 AVG BGHT 3130.53 SOLD 3131.68				**575.00**	**0.00**
02OCT17	S 2 PD02JAN18	3197.50	3264.00	USD	-3,325.00	
02OCT17	B 2 PD02JAN18	3211.00	3264.00	USD	2,650.00	
	NET 0 AVG BGHT 3211.00 SOLD 3197.50				**-675.00**	**0.00**

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	117.25
LME Copper Grade A 25	FUTURES	-1,612.50
LME Lead 25 tonnes. This is an	FUTURES	-12,110.00
LME Nickel 6 tonnes. This is	FUTURES	2,100.00
LME Tin 5 tonnes. This is an	FUTURES	36,432.60
LME Zinc 25 tonnes. This is	FUTURES	-4,673.50

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards			Amount	Profit / Loss	**Total Amount**	**Total P&L**
ALUL	- Futures	USD	125.50	125.50		
COPL	- Futures	USD	-1,612.50	-1,612.50		
LEAD	- Futures	USD	-12,167.50	-12,167.50		
NKL	- Futures	USD	2,111.28	2,111.28		
TIN	- Futures	USD	36,162.10	36,162.10		
ZINC	- Futures	USD	-5,409.50	-5,409.50	**19,209.38**	**19,209.38**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AD

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-20,565.30	-20,565.30
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**-20,565.30**	**-20,565.30**
Initial Margins		0.00	0.00
Excess/(Shortage)		**-20,565.30**	**-20,565.30**
FOR INFORMATION ONLY			
Forward Unrealised P&L		19,209.38	19,209.38
Net Liquidating Value		**-1,355.92**	**-1,355.92**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AE

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
10JUL17	B 1 PD10OCT17	1907.00	2115.92	USD	5,223.00	
20JUL17	S 1 PD10OCT17	1915.11	2115.92	USD	-5,020.25	
	NET 0 AVG BGHT 1907.00 SOLD 1915.11				**202.75**	**0.00**
11JUL17	B 1 PD11OCT17	1904.00	2116.25	USD	5,306.25	
11JUL17	S 1 PD11OCT17	1891.00	2116.25	USD	-5,631.25	
	NET 0 AVG BGHT 1904.00 SOLD 1891.00				**-325.00**	**0.00**
12JUL17	S 1 PD12OCT17	1900.50	2116.25	USD	-5,393.75	
20JUL17	B 1 PD12OCT17	1915.78	2116.25	USD	5,011.75	
	NET 0 AVG BGHT 1915.78 SOLD 1900.50				**-382.00**	**0.00**
07AUG17	B 1 PD07NOV17	1952.00	2116.08	USD	4,102.00	
07AUG17	S 1 PD07NOV17	1964.50	2116.08	USD	-3,789.50	
	NET 0 AVG BGHT 1952.00 SOLD 1964.50				**312.50**	**0.00**
09AUG17	B 1 PD09NOV17	2034.50	2116.11	USD	2,040.25	
09AUG17	S 1 PD09NOV17	2035.00	2116.11	USD	-2,027.75	
	NET 0 AVG BGHT 2034.50 SOLD 2035.00				**12.50**	**0.00**
21SEP17	B 1 PD21DEC17	2188.00	2127.98	USD	-1,500.50	
21SEP17	S 1 PD21DEC17	2186.00	2127.98	USD	1,450.50	
	NET 0 AVG BGHT 2188.00 SOLD 2186.00				**-50.00**	**0.00**
27SEP17	S 1 PD27DEC17	2141.00	2129.38	USD	290.50	
27SEP17	B 1 PD27DEC17	2130.00	2129.38	USD	-15.50	
	NET 0 AVG BGHT 2130.00 SOLD 2141.00				**275.00**	**0.00**
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
07JUL17	B 1 PD06OCT17	5827.00	6470.02	USD	16,075.50	
07JUL17	S 1 PD06OCT17	5818.00	6470.02	USD	-16,300.50	
	NET 0 AVG BGHT 5827.00 SOLD 5818.00				**-225.00**	**0.00**
17JUL17	B 1 PD17OCT17	6007.00	6483.98	USD	11,924.50	
17JUL17	S 1 PD17OCT17	6002.00	6483.98	USD	-12,049.50	
	NET 0 AVG BGHT 6007.00 SOLD 6002.00				**-125.00**	**0.00**
25JUL17	B 1 PD25OCT17	6161.50	6490.29	USD	8,219.75	
25JUL17	S 1 PD25OCT17	6162.50	6490.29	USD	-8,194.75	
25JUL17	S 1 PD25OCT17	6162.00	6490.29	USD	-8,207.25	
25JUL17	B 1 PD25OCT17	6167.00	6490.29	USD	8,082.25	
	NET 0 AVG BGHT 6164.25 SOLD 6162.25				**-100.00**	**0.00**
14SEP17	B 1 PD14DEC17	6520.00	6515.38	USD	-115.50	
14SEP17	S 1 PD14DEC17	6519.50	6515.38	USD	103.00	
14SEP17	B 1 PD14DEC17	6510.00	6515.38	USD	134.50	
14SEP17	S 1 PD14DEC17	6513.00	6515.38	USD	-59.50	
	NET 0 AVG BGHT 6515.00 SOLD 6516.25				**62.50**	**0.00**
20SEP17	B 1 PD20DEC17	6548.50	6517.75	USD	-768.75	
20SEP17	S 1 PD20DEC17	6555.00	6517.75	USD	931.25	
	NET 0 AVG BGHT 6548.50 SOLD 6555.00				**162.50**	**0.00**
26SEP17	B 1 PD27DEC17	6420.50	6517.52	USD	2,425.50	
26SEP17	S 1 PD27DEC17	6416.00	6517.52	USD	-2,538.00	
	NET 0 AVG BGHT 6420.50 SOLD 6416.00				**-112.50**	**0.00**
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
07JUL17	B 1 PD06OCT17	2277.00	2585.23	USD	7,705.75	
07JUL17	S 1 PD06OCT17	2290.50	2585.23	USD	-7,368.25	
	NET 0 AVG BGHT 2277.00 SOLD 2290.50				**337.50**	**0.00**
10JUL17	B 1 PD10OCT17	2284.50	2586.15	USD	7,541.25	
10JUL17	S 1 PD10OCT17	2292.00	2586.15	USD	-7,353.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AE

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
	NET 0 AVG BGHT 2284.50 SOLD 2292.00				**187.50**	**0.00**
11JUL17	B 1 PD11OCT17	2308.50	2586.38	USD	6,947.00	
	NET +1 AVG BGHT 2308.50				**6,947.00**	**0.00**
13JUL17	B 1 PD13OCT17	2314.00	2586.85	USD	6,821.25	
14JUL17	S 1 PD13OCT17	2288.00	2586.85	USD	-7,471.25	
	NET 0 AVG BGHT 2314.00 SOLD 2288.00				**-650.00**	**0.00**
20JUL17	S 1 PD20OCT17	2216.00	2588.00	USD	-9,300.00	
21JUL17	B 1 PD20OCT17	2235.00	2588.00	USD	8,825.00	
21JUL17	S 1 PD20OCT17	2227.00	2588.00	USD	-9,025.00	
	NET -1 AVG BGHT 2235.00 SOLD 2221.50				**-9,500.00**	**0.00**
24JUL17	B 1 PD24OCT17	2263.00	2588.00	USD	8,125.00	
24JUL17	S 1 PD24OCT17	2253.50	2588.00	USD	-8,362.50	
24JUL17	B 1 PD24OCT17	2247.50	2588.00	USD	8,512.50	
	NET +1 AVG BGHT 2255.25 SOLD 2253.50				**8,275.00**	**0.00**
25JUL17	S 1 PD25OCT17	2273.50	2588.00	USD	-7,862.50	
	NET -1 AVG SOLD 2273.50				**-7,862.50**	**0.00**
07AUG17	B 1 PD07NOV17	2381.50	2588.00	USD	5,162.50	
	NET +1 AVG BGHT 2381.50				**5,162.50**	**0.00**
18AUG17	S 1 PD17NOV17	2418.00	2588.26	USD	-4,256.50	
	NET -1 AVG SOLD 2418.00				**-4,256.50**	**0.00**
22AUG17	B 1 PD22NOV17	2328.50	2588.90	USD	6,510.00	
22AUG17	S 1 PD22NOV17	2331.50	2588.90	USD	-6,435.00	
	NET 0 AVG BGHT 2328.50 SOLD 2331.50				**75.00**	**0.00**
23AUG17	B 1 PD24NOV17	2416.00	2589.16	USD	4,329.00	
23AUG17	S 1 PD24NOV17	2402.00	2589.16	USD	-4,679.00	
24AUG17	B 1 PD24NOV17	2366.00	2589.16	USD	5,579.00	
24AUG17	B 1 PD24NOV17	2350.00	2589.16	USD	5,979.00	
24AUG17	B 1 PD24NOV17	2351.00	2589.16	USD	5,954.00	
24AUG17	S 2 PD24NOV17	2350.00	2589.16	USD	-11,958.00	
24AUG17	S 1 PD24NOV17	2354.00	2589.16	USD	-5,879.00	
	NET 0 AVG BGHT 2370.75 SOLD 2364.00				**-675.00**	**0.00**
21SEP17	S 1 PD21DEC17	2475.00	2592.29	USD	-2,932.25	
21SEP17	S 1 PD21DEC17	2479.00	2592.29	USD	-2,832.25	
21SEP17	B 2 PD21DEC17	2480.00	2592.29	USD	5,614.50	
21SEP17	S 1 PD21DEC17	2470.00	2592.29	USD	-3,057.25	
21SEP17	B 1 PD21DEC17	2474.50	2592.29	USD	2,944.75	
21SEP17	S 1 PD21DEC17	2488.00	2592.29	USD	-2,607.25	
21SEP17	B 1 PD21DEC17	2491.00	2592.29	USD	2,532.25	
	NET 0 AVG BGHT 2481.38 SOLD 2478.00				**-337.50**	**0.00**
27SEP17	B 1 PD27DEC17	2459.00	2591.86	USD	3,321.50	
27SEP17	S 1 PD27DEC17	2466.00	2591.86	USD	-3,146.50	
	NET 0 AVG BGHT 2459.00 SOLD 2466.00				**175.00**	**0.00**
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
07JUL17	B 1 PD06OCT17	9000.00	10533.77	USD	9,202.62	
07JUL17	S 1 PD06OCT17	8995.00	10533.77	USD	-9,232.62	
	NET 0 AVG BGHT 9000.00 SOLD 8995.00				**-30.00**	**0.00**
10JUL17	B 1 PD10OCT17	8920.00	10538.85	USD	9,713.10	
10JUL17	S 1 PD10OCT17	8995.00	10538.85	USD	-9,263.10	
	NET 0 AVG BGHT 8920.00 SOLD 8995.00				**450.00**	**0.00**
14JUL17	B 1 PD13OCT17	9405.00	10542.65	USD	6,825.90	
14JUL17	S 1 PD13OCT17	9405.00	10542.65	USD	-6,825.90	
14JUL17	B 1 PD13OCT17	9400.00	10542.65	USD	6,855.90	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AE

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
14JUL17	S 1 PD13OCT17	9395.00	10542.65	USD	-6,885.90	
	NET 0 AVG BGHT 9402.50 SOLD 9400.00				**-30.00**	**0.00**
18JUL17	B 1 PD18OCT17	9765.00	10549.00	USD	4,704.00	
18JUL17	S 1 PD18OCT17	9735.00	10549.00	USD	-4,884.00	
	NET 0 AVG BGHT 9765.00 SOLD 9735.00				**-180.00**	**0.00**
19JUL17	B 1 PD19OCT17	9775.00	10549.96	USD	4,649.76	
19JUL17	S 1 PD19OCT17	9775.00	10549.96	USD	-4,649.76	
	NET 0 AVG BGHT 9775.00 SOLD 9775.00				**0.00**	**0.00**
24JUL17	S 2 PD24OCT17	9770.00	10554.79	USD	-9,417.48	
24JUL17	B 2 PD24OCT17	9750.00	10554.79	USD	9,657.48	
	NET 0 AVG BGHT 9750.00 SOLD 9770.00				**240.00**	**0.00**
27JUL17	S 1 PD27OCT17	10205.00	10557.68	USD	-2,116.08	
27JUL17	B 1 PD27OCT17	10210.00	10557.68	USD	2,086.08	
	NET 0 AVG BGHT 10210.00 SOLD 10205.00				**-30.00**	**0.00**
01AUG17	S 1 PD01NOV17	10200.00	10562.50	USD	-2,175.00	
01AUG17	B 1 PD01NOV17	10165.00	10562.50	USD	2,385.00	
	NET 0 AVG BGHT 10165.00 SOLD 10200.00				**210.00**	**0.00**
04AUG17	B 1 PD03NOV17	10275.00	10564.43	USD	1,736.58	
04AUG17	S 1 PD03NOV17	10285.00	10564.43	USD	-1,676.58	
	NET 0 AVG BGHT 10275.00 SOLD 10285.00				**60.00**	**0.00**
09AUG17	B 1 PD09NOV17	10750.00	10570.21	USD	-1,078.74	
09AUG17	S 1 PD09NOV17	10750.00	10570.21	USD	1,078.74	
	NET 0 AVG BGHT 10750.00 SOLD 10750.00				**0.00**	**0.00**
10AUG17	B 1 PD10NOV17	10825.00	10571.18	USD	-1,522.92	
10AUG17	S 2 PD10NOV17	10830.00	10571.18	USD	3,105.84	
10AUG17	B 1 PD10NOV17	10845.00	10571.18	USD	-1,642.92	
	NET 0 AVG BGHT 10835.00 SOLD 10830.00				**-60.00**	**0.00**
15AUG17	S 1 PD15NOV17	10455.00	10576.00	USD	-726.00	
15AUG17	B 1 PD15NOV17	10450.00	10576.00	USD	756.00	
	NET 0 AVG BGHT 10450.00 SOLD 10455.00				**30.00**	**0.00**
16AUG17	B 1 PD16NOV17	10625.00	10576.89	USD	-288.66	
16AUG17	S 1 PD16NOV17	10625.00	10576.89	USD	288.66	
16AUG17	S 1 PD16NOV17	10635.00	10576.89	USD	348.66	
16AUG17	B 1 PD16NOV17	10610.00	10576.89	USD	-198.66	
	NET 0 AVG BGHT 10617.50 SOLD 10630.00				**150.00**	**0.00**
17AUG17	B 1 PD17NOV17	10650.00	10577.77	USD	-433.38	
18AUG17	S 1 PD17NOV17	10660.00	10577.77	USD	493.38	
	NET 0 AVG BGHT 10650.00 SOLD 10660.00				**60.00**	**0.00**
21AUG17	B 1 PD21NOV17	11350.00	10581.31	USD	-4,612.14	
21AUG17	S 1 PD21NOV17	11345.00	10581.31	USD	4,582.14	
	NET 0 AVG BGHT 11350.00 SOLD 11345.00				**-30.00**	**0.00**
23AUG17	B 1 PD24NOV17	11470.00	10583.97	USD	-5,316.18	
23AUG17	S 1 PD24NOV17	11445.00	10583.97	USD	5,166.18	
25AUG17	B 1 PD24NOV17	11440.00	10583.97	USD	-5,136.18	
25AUG17	S 1 PD24NOV17	11445.00	10583.97	USD	5,166.18	
	NET 0 AVG BGHT 11455.00 SOLD 11445.00				**-120.00**	**0.00**
07SEP17	S 1 PD07DEC17	12145.00	10595.49	USD	9,297.06	
07SEP17	B 1 PD07DEC17	12180.00	10595.49	USD	-9,507.06	
	NET 0 AVG BGHT 12180.00 SOLD 12145.00				**-210.00**	**0.00**
08SEP17	B 1 PD08DEC17	11920.00	10596.37	USD	-7,941.78	
08SEP17	S 1 PD08DEC17	11960.00	10596.37	USD	8,181.78	
08SEP17	B 1 PD08DEC17	11930.00	10596.37	USD	-8,001.78	
08SEP17	S 1 PD08DEC17	11925.00	10596.37	USD	7,971.78	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AE

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
	NET 0 AVG BGHT 11925.00 SOLD 11942.50				**210.00**	**0.00**
13SEP17	B 1 PD13DEC17	11560.00	10600.80	USD	-5,755.20	
13SEP17	S 1 PD13DEC17	11555.00	10600.80	USD	5,725.20	
13SEP17	B 1 PD13DEC17	11555.00	10600.80	USD	-5,725.20	
13SEP17	S 1 PD13DEC17	11585.00	10600.80	USD	5,905.20	
	NET 0 AVG BGHT 11557.50 SOLD 11570.00				**150.00**	**0.00**
20SEP17	B 1 PD20DEC17	11405.00	10607.00	USD	-4,788.00	
20SEP17	S 1 PD20DEC17	11390.00	10607.00	USD	4,698.00	
	NET 0 AVG BGHT 11405.00 SOLD 11390.00				**-90.00**	**0.00**
21SEP17	B 1 PD21DEC17	11140.00	10608.00	USD	-3,192.00	
21SEP17	S 1 PD21DEC17	11135.00	10608.00	USD	3,162.00	
	NET 0 AVG BGHT 11140.00 SOLD 11135.00				**-30.00**	**0.00**
22SEP17	B 1 PD22DEC17	10550.00	10609.00	USD	354.00	
22SEP17	S 1 PD22DEC17	10530.00	10609.00	USD	-474.00	
	NET 0 AVG BGHT 10550.00 SOLD 10530.00				**-120.00**	**0.00**
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
06JUL17	B 1 PD06OCT17	19895.00	20951.50	USD	5,282.50	
06JUL17	B 1 PD06OCT17	19910.00	20951.50	USD	5,207.50	
06JUL17	S 1 PD06OCT17	19895.00	20951.50	USD	-5,282.50	
06JUL17	S 1 PD06OCT17	19920.00	20951.50	USD	-5,157.50	
06JUL17	S 1 PD06OCT17	19900.00	20951.50	USD	-5,257.50	
06JUL17	B 1 PD06OCT17	19930.00	20951.50	USD	5,107.50	
07JUL17	B 1 PD06OCT17	19905.00	20951.50	USD	5,232.50	
07JUL17	S 1 PD06OCT17	19895.00	20951.50	USD	-5,282.50	
07JUL17	B 1 PD06OCT17	19865.00	20951.50	USD	5,432.50	
07JUL17	S 1 PD06OCT17	19860.00	20951.50	USD	-5,457.50	
07JUL17	B 1 PD06OCT17	19840.00	20951.50	USD	5,557.50	
07JUL17	S 1 PD06OCT17	19770.00	20951.50	USD	-5,907.50	
07JUL17	B 1 PD06OCT17	19745.00	20951.50	USD	6,032.50	
07JUL17	S 1 PD06OCT17	19720.00	20951.50	USD	-6,157.50	
07JUL17	B 1 PD06OCT17	19620.00	20951.50	USD	6,657.50	
07JUL17	B 1 PD06OCT17	19700.00	20951.50	USD	6,257.50	
07JUL17	S 1 PD06OCT17	19635.00	20951.50	USD	-6,582.50	
07JUL17	S 1 PD06OCT17	19685.00	20951.50	USD	-6,332.50	
07JUL17	S 1 PD06OCT17	19700.00	20951.50	USD	-6,257.50	
07JUL17	S 1 PD06OCT17	19715.00	20951.50	USD	-6,182.50	
20JUL17	B 2 PD06OCT17	20101.05	20951.50	USD	8,504.50	
	NET 0 AVG BGHT 19873.83 SOLD 19790.45				**-4,585.50**	**0.00**
10JUL17	B 1 PD10OCT17	19745.00	20949.00	USD	6,020.00	
10JUL17	B 1 PD10OCT17	19705.00	20949.00	USD	6,220.00	
10JUL17	S 1 PD10OCT17	19730.00	20949.00	USD	-6,095.00	
10JUL17	S 1 PD10OCT17	19735.00	20949.00	USD	-6,070.00	
10JUL17	B 1 PD10OCT17	19720.00	20949.00	USD	6,145.00	
10JUL17	B 1 PD10OCT17	19865.00	20949.00	USD	5,420.00	
10JUL17	S 1 PD10OCT17	19950.00	20949.00	USD	-4,995.00	
10JUL17	B 1 PD10OCT17	19960.00	20949.00	USD	4,945.00	
10JUL17	B 2 PD10OCT17	19930.00	20949.00	USD	10,190.00	
10JUL17	S 1 PD10OCT17	19925.00	20949.00	USD	-5,120.00	
10JUL17	B 1 PD10OCT17	19825.00	20949.00	USD	5,620.00	
10JUL17	S 1 PD10OCT17	19795.00	20949.00	USD	-5,770.00	
10JUL17	S 1 PD10OCT17	19940.00	20949.00	USD	-5,045.00	
20JUL17	S 2 PD10OCT17	20098.47	20949.00	USD	-8,505.30	
	NET 0 AVG BGHT 19835.00 SOLD 19908.99				**2,959.70**	**0.00**
11JUL17	B 1 PD11OCT17	19900.00	20946.50	USD	5,232.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AE

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
11JUL17	S	1	PD11OCT17	19950.00	20946.50	USD	-4,982.50	
11JUL17	B	1	PD11OCT17	19870.00	20946.50	USD	5,382.50	
11JUL17	S	1	PD11OCT17	19870.00	20946.50	USD	-5,382.50	
11JUL17	B	1	PD11OCT17	19860.00	20946.50	USD	5,432.50	
11JUL17	S	1	PD11OCT17	19865.00	20946.50	USD	-5,407.50	
	NET	**0**	**AVG BGHT 19876.67**	**SOLD 19895.00**			**275.00**	**0.00**
12JUL17	B	1	PD12OCT17	19890.00	20944.00	USD	5,270.00	
12JUL17	S	1	PD12OCT17	19915.00	20944.00	USD	-5,145.00	
12JUL17	B	1	PD12OCT17	19935.00	20944.00	USD	5,045.00	
12JUL17	S	1	PD12OCT17	19935.00	20944.00	USD	-5,045.00	
12JUL17	S	1	PD12OCT17	19940.00	20944.00	USD	-5,020.00	
12JUL17	B	1	PD12OCT17	19950.00	20944.00	USD	4,970.00	
	NET	**0**	**AVG BGHT 19925.00**	**SOLD 19930.00**			**75.00**	**0.00**
13JUL17	S	1	PD13OCT17	19960.00	20941.50	USD	-4,907.50	
13JUL17	B	1	PD13OCT17	19915.00	20941.50	USD	5,132.50	
13JUL17	S	1	PD13OCT17	19900.00	20941.50	USD	-5,207.50	
13JUL17	B	1	PD13OCT17	19925.00	20941.50	USD	5,082.50	
13JUL17	S	1	PD13OCT17	19965.00	20941.50	USD	-4,882.50	
13JUL17	B	1	PD13OCT17	19920.00	20941.50	USD	5,107.50	
13JUL17	S	1	PD13OCT17	19905.00	20941.50	USD	-5,182.50	
13JUL17	B	1	PD13OCT17	19910.00	20941.50	USD	5,157.50	
13JUL17	S	1	PD13OCT17	19935.00	20941.50	USD	-5,032.50	
13JUL17	B	2	PD13OCT17	19830.00	20941.50	USD	11,115.00	
13JUL17	B	1	PD13OCT17	19850.00	20941.50	USD	5,457.50	
13JUL17	B	1	PD13OCT17	19875.00	20941.50	USD	5,332.50	
13JUL17	S	1	PD13OCT17	19850.00	20941.50	USD	-5,457.50	
13JUL17	S	1	PD13OCT17	19860.00	20941.50	USD	-5,407.50	
13JUL17	S	1	PD13OCT17	19915.00	20941.50	USD	-5,132.50	
14JUL17	S	1	PD13OCT17	19850.00	20941.50	USD	-5,457.50	
14JUL17	B	1	PD13OCT17	19830.00	20941.50	USD	5,557.50	
14JUL17	S	2	PD13OCT17	19885.00	20941.50	USD	-10,565.00	
14JUL17	B	2	PD13OCT17	19855.00	20941.50	USD	10,865.00	
	NET	**0**	**AVG BGHT 19872.27**	**SOLD 19900.91**			**1,575.00**	**0.00**
17JUL17	B	1	PD17OCT17	20050.00	20936.50	USD	4,432.50	
17JUL17	S	1	PD17OCT17	20070.00	20936.50	USD	-4,332.50	
17JUL17	B	2	PD17OCT17	19945.00	20936.50	USD	9,915.00	
17JUL17	S	1	PD17OCT17	19875.00	20936.50	USD	-5,307.50	
17JUL17	S	1	PD17OCT17	19910.00	20936.50	USD	-5,132.50	
	NET	**0**	**AVG BGHT 19980.00**	**SOLD 19951.67**			**-425.00**	**0.00**
18JUL17	B	1	PD18OCT17	20050.00	20934.00	USD	4,420.00	
18JUL17	S	1	PD18OCT17	20045.00	20934.00	USD	-4,445.00	
18JUL17	S	1	PD18OCT17	20040.00	20934.00	USD	-4,470.00	
18JUL17	B	1	PD18OCT17	19930.00	20934.00	USD	5,020.00	
18JUL17	S	1	PD18OCT17	19965.00	20934.00	USD	-4,845.00	
18JUL17	B	1	PD18OCT17	20030.00	20934.00	USD	4,520.00	
	NET	**0**	**AVG BGHT 20003.33**	**SOLD 20016.67**			**200.00**	**0.00**
19JUL17	B	1	PD19OCT17	20045.00	20929.00	USD	4,420.00	
19JUL17	S	2	PD19OCT17	20105.00	20929.00	USD	-8,240.00	
19JUL17	B	1	PD19OCT17	20055.00	20929.00	USD	4,370.00	
19JUL17	S	1	PD19OCT17	20100.00	20929.00	USD	-4,145.00	
19JUL17	B	1	PD19OCT17	20100.00	20929.00	USD	4,145.00	
19JUL17	S	1	PD19OCT17	20135.00	20929.00	USD	-3,970.00	
19JUL17	B	1	PD19OCT17	20110.00	20929.00	USD	4,095.00	
19JUL17	S	2	PD19OCT17	20145.00	20929.00	USD	-7,840.00	
	NET	**-2**	**AVG BGHT 20077.50**	**SOLD 20122.50**			**-7,165.00**	**0.00**
20JUL17	B	2	PD20OCT17	20100.00	20924.00	USD	8,240.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AE

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
20JUL17	B 1 PD20OCT17	20110.00	20924.00	USD	4,070.00	
20JUL17	B 1 PD20OCT17	20085.00	20924.00	USD	4,195.00	
20JUL17	S 2 PD20OCT17	20095.00	20924.00	USD	-8,290.00	
20JUL17	B 1 PD20OCT17	20090.00	20924.00	USD	4,170.00	
20JUL17	S 1 PD20OCT17	20075.00	20924.00	USD	-4,245.00	
	NET +2 AVG BGHT 20097.00 SOLD 20088.33				**8,140.00**	**0.00**
24JUL17	S 2 PD24OCT17	20125.00	20914.00	USD	-7,890.00	
24JUL17	S 1 PD24OCT17	20225.00	20914.00	USD	-3,445.00	
24JUL17	B 1 PD24OCT17	20235.00	20914.00	USD	3,395.00	
24JUL17	B 2 PD24OCT17	20130.00	20914.00	USD	7,840.00	
24JUL17	S 1 PD24OCT17	20165.00	20914.00	USD	-3,745.00	
24JUL17	B 1 PD24OCT17	20145.00	20914.00	USD	3,845.00	
	NET 0 AVG BGHT 20160.00 SOLD 20160.00				**0.00**	**0.00**
25JUL17	S 1 PD25OCT17	20245.00	20909.00	USD	-3,320.00	
25JUL17	B 1 PD25OCT17	20275.00	20909.00	USD	3,170.00	
25JUL17	S 1 PD25OCT17	20300.00	20909.00	USD	-3,045.00	
25JUL17	B 1 PD25OCT17	20330.00	20909.00	USD	2,895.00	
25JUL17	S 1 PD25OCT17	20335.00	20909.00	USD	-2,870.00	
25JUL17	B 3 PD25OCT17	20300.00	20909.00	USD	9,135.00	
25JUL17	S 2 PD25OCT17	20330.00	20909.00	USD	-5,790.00	
25JUL17	S 1 PD25OCT17	20350.00	20909.00	USD	-2,795.00	
	NET -1 AVG BGHT 20301.00 SOLD 20315.00				**-2,620.00**	**0.00**
26JUL17	S 1 PD26OCT17	20360.00	20904.00	USD	-2,720.00	
26JUL17	B 1 PD26OCT17	20255.00	20904.00	USD	3,245.00	
26JUL17	B 1 PD26OCT17	20350.00	20904.00	USD	2,770.00	
26JUL17	S 1 PD26OCT17	20365.00	20904.00	USD	-2,695.00	
26JUL17	B 1 PD26OCT17	20375.00	20904.00	USD	2,645.00	
26JUL17	B 1 PD26OCT17	20310.00	20904.00	USD	2,970.00	
26JUL17	B 1 PD26OCT17	20305.00	20904.00	USD	2,995.00	
	NET +3 AVG BGHT 20319.00 SOLD 20362.50				**9,210.00**	**0.00**
27JUL17	B 1 PD27OCT17	20600.00	20899.00	USD	1,495.00	
27JUL17	S 1 PD27OCT17	20590.00	20899.00	USD	-1,545.00	
27JUL17	B 1 PD27OCT17	20645.00	20899.00	USD	1,270.00	
27JUL17	S 1 PD27OCT17	20670.00	20899.00	USD	-1,145.00	
27JUL17	B 1 PD27OCT17	20520.00	20899.00	USD	1,895.00	
27JUL17	B 1 PD27OCT17	20640.00	20899.00	USD	1,295.00	
27JUL17	S 1 PD27OCT17	20520.00	20899.00	USD	-1,895.00	
27JUL17	S 1 PD27OCT17	20625.00	20899.00	USD	-1,370.00	
27JUL17	S 1 PD27OCT17	20695.00	20899.00	USD	-1,020.00	
27JUL17	S 2 PD27OCT17	20750.00	20899.00	USD	-1,490.00	
27JUL17	B 2 PD27OCT17	20760.00	20899.00	USD	1,390.00	
27JUL17	S 1 PD27OCT17	20760.00	20899.00	USD	-695.00	
27JUL17	S 1 PD27OCT17	20765.00	20899.00	USD	-670.00	
27JUL17	B 1 PD27OCT17	20755.00	20899.00	USD	720.00	
27JUL17	B 1 PD27OCT17	20765.00	20899.00	USD	670.00	
27JUL17	S 1 PD27OCT17	20595.00	20899.00	USD	-1,520.00	
27JUL17	S 1 PD27OCT17	20680.00	20899.00	USD	-1,095.00	
27JUL17	S 1 PD27OCT17	20700.00	20899.00	USD	-995.00	
28JUL17	B 1 PD27OCT17	20585.00	20899.00	USD	1,570.00	
28JUL17	B 1 PD27OCT17	20720.00	20899.00	USD	895.00	
28JUL17	S 1 PD27OCT17	20735.00	20899.00	USD	-820.00	
28JUL17	B 1 PD27OCT17	20715.00	20899.00	USD	920.00	
28JUL17	S 1 PD27OCT17	20745.00	20899.00	USD	-770.00	
28JUL17	B 1 PD27OCT17	20670.00	20899.00	USD	1,145.00	
28JUL17	B 1 PD27OCT17	20685.00	20899.00	USD	1,070.00	
28JUL17	S 1 PD27OCT17	20685.00	20899.00	USD	-1,070.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AE

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
28JUL17	S	3	PD27OCT17	20715.00	20899.00	USD	-2,760.00	
28JUL17	S	1	PD27OCT17	20720.00	20899.00	USD	-895.00	
28JUL17	S	1	PD27OCT17	20750.00	20899.00	USD	-745.00	
28JUL17	B	1	PD27OCT17	20780.00	20899.00	USD	595.00	
28JUL17	B	1	PD27OCT17	20800.00	20899.00	USD	495.00	
28JUL17	S	1	PD27OCT17	20830.00	20899.00	USD	-345.00	
28JUL17	B	4	PD27OCT17	20755.00	20899.00	USD	2,880.00	
28JUL17	B	1	PD27OCT17	20630.00	20899.00	USD	1,345.00	
28JUL17	B	1	PD27OCT17	20700.00	20899.00	USD	995.00	
28JUL17	S	1	PD27OCT17	20670.00	20899.00	USD	-1,145.00	
28JUL17	S	1	PD27OCT17	20625.00	20899.00	USD	-1,370.00	
	NET	**-2**	**AVG BGHT 20702.38**	**SOLD 20695.87**			**-2,715.00**	**0.00**
01AUG17	S	1	PD01NOV17	20565.00	20884.00	USD	-1,595.00	
01AUG17	B	1	PD01NOV17	20570.00	20884.00	USD	1,570.00	
01AUG17	S	1	PD01NOV17	20545.00	20884.00	USD	-1,695.00	
01AUG17	B	1	PD01NOV17	20550.00	20884.00	USD	1,670.00	
01AUG17	S	1	PD01NOV17	20455.00	20884.00	USD	-2,145.00	
01AUG17	S	1	PD01NOV17	20380.00	20884.00	USD	-2,520.00	
01AUG17	B	1	PD01NOV17	20380.00	20884.00	USD	2,520.00	
01AUG17	B	1	PD01NOV17	20430.00	20884.00	USD	2,270.00	
	NET	**0**	**AVG BGHT 20482.50**	**SOLD 20486.25**			**75.00**	**0.00**
03AUG17	S	1	PD03NOV17	20670.00	20881.20	USD	-1,056.00	
03AUG17	S	1	PD03NOV17	20655.00	20881.20	USD	-1,131.00	
04AUG17	B	1	PD03NOV17	20660.00	20881.20	USD	1,106.00	
04AUG17	S	1	PD03NOV17	20735.00	20881.20	USD	-731.00	
04AUG17	B	1	PD03NOV17	20655.00	20881.20	USD	1,131.00	
04AUG17	B	1	PD03NOV17	20780.00	20881.20	USD	506.00	
04AUG17	B	1	PD03NOV17	20525.00	20881.20	USD	1,781.00	
04AUG17	S	1	PD03NOV17	20520.00	20881.20	USD	-1,806.00	
04AUG17	S	1	PD03NOV17	20570.00	20881.20	USD	-1,556.00	
04AUG17	S	1	PD03NOV17	20575.00	20881.20	USD	-1,531.00	
04AUG17	B	2	PD03NOV17	20570.00	20881.20	USD	3,112.00	
	NET	**0**	**AVG BGHT 20626.67**	**SOLD 20620.83**			**-175.00**	**0.00**
07AUG17	S	1	PD07NOV17	20605.00	20878.40	USD	-1,367.00	
07AUG17	B	2	PD07NOV17	20570.00	20878.40	USD	3,084.00	
07AUG17	S	1	PD07NOV17	20590.00	20878.40	USD	-1,442.00	
07AUG17	S	1	PD07NOV17	20650.00	20878.40	USD	-1,142.00	
07AUG17	B	1	PD07NOV17	20600.00	20878.40	USD	1,392.00	
	NET	**0**	**AVG BGHT 20580.00**	**SOLD 20615.00**			**525.00**	**0.00**
08AUG17	B	1	PD08NOV17	20425.00	20877.00	USD	2,260.00	
08AUG17	B	1	PD08NOV17	20435.00	20877.00	USD	2,210.00	
08AUG17	S	1	PD08NOV17	20425.00	20877.00	USD	-2,260.00	
08AUG17	S	1	PD08NOV17	20530.00	20877.00	USD	-1,735.00	
08AUG17	B	1	PD08NOV17	20550.00	20877.00	USD	1,635.00	
08AUG17	B	1	PD08NOV17	20470.00	20877.00	USD	2,035.00	
08AUG17	S	1	PD08NOV17	20460.00	20877.00	USD	-2,085.00	
08AUG17	S	2	PD08NOV17	20415.00	20877.00	USD	-4,620.00	
08AUG17	B	1	PD08NOV17	20350.00	20877.00	USD	2,635.00	
08AUG17	B	1	PD08NOV17	20310.00	20877.00	USD	2,835.00	
08AUG17	S	1	PD08NOV17	20305.00	20877.00	USD	-2,860.00	
08AUG17	B	1	PD08NOV17	20335.00	20877.00	USD	2,710.00	
08AUG17	S	1	PD08NOV17	20275.00	20877.00	USD	-3,010.00	
08AUG17	S	1	PD08NOV17	20205.00	20877.00	USD	-3,360.00	
08AUG17	B	1	PD08NOV17	20160.00	20877.00	USD	3,585.00	
08AUG17	B	1	PD08NOV17	20410.00	20877.00	USD	2,335.00	
08AUG17	S	1	PD08NOV17	20350.00	20877.00	USD	-2,635.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AE

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
	NET	**0**	**AVG BGHT 20382.78 SOLD 20375.56**				**-325.00**	**0.00**
09AUG17	S	1	PD09NOV17	20370.00	20875.60	USD	-2,528.00	
09AUG17	B	1	PD09NOV17	20305.00	20875.60	USD	2,853.00	
09AUG17	B	1	PD09NOV17	20405.00	20875.60	USD	2,353.00	
09AUG17	S	1	PD09NOV17	20400.00	20875.60	USD	-2,378.00	
09AUG17	S	1	PD09NOV17	20490.00	20875.60	USD	-1,928.00	
09AUG17	S	1	PD09NOV17	20410.00	20875.60	USD	-2,328.00	
09AUG17	S	1	PD09NOV17	20430.00	20875.60	USD	-2,228.00	
09AUG17	B	2	PD09NOV17	20420.00	20875.60	USD	4,556.00	
09AUG17	B	1	PD09NOV17	20490.00	20875.60	USD	1,928.00	
09AUG17	B	1	PD09NOV17	20240.00	20875.60	USD	3,178.00	
09AUG17	S	1	PD09NOV17	20235.00	20875.60	USD	-3,203.00	
	NET	**0**	**AVG BGHT 20380.00 SOLD 20389.17**				**275.00**	**0.00**
10AUG17	B	1	PD10NOV17	20355.00	20874.20	USD	2,596.00	
10AUG17	B	1	PD10NOV17	20400.00	20874.20	USD	2,371.00	
10AUG17	S	1	PD10NOV17	20345.00	20874.20	USD	-2,646.00	
10AUG17	S	1	PD10NOV17	20380.00	20874.20	USD	-2,471.00	
10AUG17	S	1	PD10NOV17	20315.00	20874.20	USD	-2,796.00	
10AUG17	S	3	PD10NOV17	20260.00	20874.20	USD	-9,213.00	
10AUG17	S	1	PD10NOV17	20265.00	20874.20	USD	-3,046.00	
10AUG17	B	1	PD10NOV17	20250.00	20874.20	USD	3,121.00	
10AUG17	B	3	PD10NOV17	20300.00	20874.20	USD	8,613.00	
10AUG17	S	1	PD10NOV17	20255.00	20874.20	USD	-3,096.00	
10AUG17	S	1	PD10NOV17	20305.00	20874.20	USD	-2,846.00	
10AUG17	S	3	PD10NOV17	20290.00	20874.20	USD	-8,763.00	
10AUG17	B	2	PD10NOV17	20260.00	20874.20	USD	6,142.00	
10AUG17	B	3	PD10NOV17	20320.00	20874.20	USD	8,313.00	
10AUG17	B	1	PD10NOV17	20445.00	20874.20	USD	2,146.00	
11AUG17	S	1	PD10NOV17	20255.00	20874.20	USD	-3,096.00	
11AUG17	B	1	PD10NOV17	20300.00	20874.20	USD	2,871.00	
11AUG17	B	2	PD10NOV17	20270.00	20874.20	USD	6,042.00	
11AUG17	S	1	PD10NOV17	20270.00	20874.20	USD	-3,021.00	
11AUG17	S	1	PD10NOV17	20295.00	20874.20	USD	-2,896.00	
11AUG17	S	1	PD10NOV17	20335.00	20874.20	USD	-2,696.00	
11AUG17	B	1	PD10NOV17	20350.00	20874.20	USD	2,621.00	
11AUG17	S	1	PD10NOV17	20365.00	20874.20	USD	-2,546.00	
11AUG17	S	1	PD10NOV17	20375.00	20874.20	USD	-2,496.00	
11AUG17	B	2	PD10NOV17	20370.00	20874.20	USD	5,042.00	
11AUG17	B	1	PD10NOV17	20355.00	20874.20	USD	2,596.00	
11AUG17	S	1	PD10NOV17	20325.00	20874.20	USD	-2,746.00	
11AUG17	B	1	PD10NOV17	20310.00	20874.20	USD	2,821.00	
11AUG17	S	1	PD10NOV17	20355.00	20874.20	USD	-2,596.00	
	NET	**0**	**AVG BGHT 20321.25 SOLD 20304.50**				**-1,675.00**	**0.00**
14AUG17	S	1	PD14NOV17	20370.00	20871.40	USD	-2,507.00	
14AUG17	B	1	PD14NOV17	20320.00	20871.40	USD	2,757.00	
	NET	**0**	**AVG BGHT 20320.00 SOLD 20370.00**				**250.00**	**0.00**
15AUG17	S	1	PD15NOV17	20235.00	20870.00	USD	-3,175.00	
15AUG17	B	1	PD15NOV17	20205.00	20870.00	USD	3,325.00	
15AUG17	B	1	PD15NOV17	20250.00	20870.00	USD	3,100.00	
15AUG17	S	1	PD15NOV17	20250.00	20870.00	USD	-3,100.00	
	NET	**0**	**AVG BGHT 20227.50 SOLD 20242.50**				**150.00**	**0.00**
16AUG17	S	1	PD16NOV17	20055.00	20868.75	USD	-4,068.75	
16AUG17	B	1	PD16NOV17	19975.00	20868.75	USD	4,468.75	
16AUG17	B	1	PD16NOV17	19960.00	20868.75	USD	4,543.75	
16AUG17	B	1	PD16NOV17	20000.00	20868.75	USD	4,343.75	
16AUG17	S	1	PD16NOV17	19995.00	20868.75	USD	-4,368.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AE

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
16AUG17	S 1 PD16NOV17	20010.00	20868.75	USD	-4,293.75	
	NET 0 AVG BGHT 19978.33 SOLD 20020.00				**625.00**	**0.00**
17AUG17	S 1 PD17NOV17	20230.00	20867.50	USD	-3,187.50	
17AUG17	B 1 PD17NOV17	20260.00	20867.50	USD	3,037.50	
17AUG17	S 1 PD17NOV17	20320.00	20867.50	USD	-2,737.50	
17AUG17	B 1 PD17NOV17	20350.00	20867.50	USD	2,587.50	
17AUG17	S 1 PD17NOV17	20140.00	20867.50	USD	-3,637.50	
17AUG17	B 1 PD17NOV17	20105.00	20867.50	USD	3,812.50	
17AUG17	S 1 PD17NOV17	20180.00	20867.50	USD	-3,437.50	
17AUG17	B 1 PD17NOV17	20200.00	20867.50	USD	3,337.50	
17AUG17	S 1 PD17NOV17	20150.00	20867.50	USD	-3,587.50	
18AUG17	S 1 PD17NOV17	20285.00	20867.50	USD	-2,912.50	
18AUG17	B 1 PD17NOV17	20140.00	20867.50	USD	3,637.50	
18AUG17	B 1 PD17NOV17	20275.00	20867.50	USD	2,962.50	
18AUG17	S 1 PD17NOV17	20235.00	20867.50	USD	-3,162.50	
18AUG17	B 1 PD17NOV17	20205.00	20867.50	USD	3,312.50	
18AUG17	S 1 PD17NOV17	20200.00	20867.50	USD	-3,337.50	
18AUG17	B 1 PD17NOV17	20245.00	20867.50	USD	3,112.50	
	NET 0 AVG BGHT 20222.50 SOLD 20217.50				**-200.00**	**0.00**
21AUG17	S 1 PD21NOV17	20375.00	20865.00	USD	-2,450.00	
21AUG17	B 1 PD21NOV17	20395.00	20865.00	USD	2,350.00	
21AUG17	S 1 PD21NOV17	20340.00	20865.00	USD	-2,625.00	
21AUG17	B 1 PD21NOV17	20315.00	20865.00	USD	2,750.00	
21AUG17	B 1 PD21NOV17	20335.00	20865.00	USD	2,650.00	
21AUG17	S 1 PD21NOV17	20335.00	20865.00	USD	-2,650.00	
21AUG17	B 1 PD21NOV17	20430.00	20865.00	USD	2,175.00	
21AUG17	S 1 PD21NOV17	20400.00	20865.00	USD	-2,325.00	
21AUG17	B 1 PD21NOV17	20365.00	20865.00	USD	2,500.00	
21AUG17	B 1 PD21NOV17	20355.00	20865.00	USD	2,550.00	
21AUG17	S 1 PD21NOV17	20380.00	20865.00	USD	-2,425.00	
21AUG17	S 2 PD21NOV17	20420.00	20865.00	USD	-4,450.00	
21AUG17	S 1 PD21NOV17	20430.00	20865.00	USD	-2,175.00	
21AUG17	B 2 PD21NOV17	20450.00	20865.00	USD	4,150.00	
	NET 0 AVG BGHT 20386.88 SOLD 20387.50				**25.00**	**0.00**
22AUG17	S 1 PD22NOV17	20465.00	20863.75	USD	-1,993.75	
22AUG17	B 1 PD22NOV17	20485.00	20863.75	USD	1,893.75	
22AUG17	B 1 PD22NOV17	20460.00	20863.75	USD	2,018.75	
22AUG17	S 2 PD22NOV17	20490.00	20863.75	USD	-3,737.50	
22AUG17	B 1 PD22NOV17	20470.00	20863.75	USD	1,968.75	
	NET 0 AVG BGHT 20471.67 SOLD 20481.67				**150.00**	**0.00**
23AUG17	B 1 PD24NOV17	20310.00	20862.50	USD	2,762.50	
23AUG17	S 1 PD24NOV17	20300.00	20862.50	USD	-2,812.50	
23AUG17	S 1 PD24NOV17	20455.00	20862.50	USD	-2,037.50	
23AUG17	B 1 PD24NOV17	20515.00	20862.50	USD	1,737.50	
23AUG17	B 1 PD24NOV17	20460.00	20862.50	USD	2,012.50	
23AUG17	S 1 PD24NOV17	20530.00	20862.50	USD	-1,662.50	
24AUG17	B 1 PD24NOV17	20555.00	20862.50	USD	1,537.50	
24AUG17	S 1 PD24NOV17	20520.00	20862.50	USD	-1,712.50	
24AUG17	S 1 PD24NOV17	20495.00	20862.50	USD	-1,837.50	
24AUG17	B 1 PD24NOV17	20500.00	20862.50	USD	1,812.50	
25AUG17	S 1 PD24NOV17	20420.00	20862.50	USD	-2,212.50	
	NET -1 AVG BGHT 20468.00 SOLD 20453.33				**-2,412.50**	**0.00**
29AUG17	S 1 PD29NOV17	20640.00	20858.75	USD	-1,093.75	
29AUG17	B 1 PD29NOV17	20450.00	20858.75	USD	2,043.75	
29AUG17	B 1 PD29NOV17	20605.00	20858.75	USD	1,268.75	
29AUG17	B 2 PD29NOV17	20555.00	20858.75	USD	3,037.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AE

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
29AUG17	S	1	PD29NOV17	20550.00	20858.75	USD	-1,543.75	
29AUG17	B	1	PD29NOV17	20550.00	20858.75	USD	1,543.75	
29AUG17	S	2	PD29NOV17	20545.00	20858.75	USD	-3,137.50	
29AUG17	S	1	PD29NOV17	20570.00	20858.75	USD	-1,443.75	
29AUG17	B	1	PD29NOV17	20570.00	20858.75	USD	1,443.75	
29AUG17	B	1	PD29NOV17	20530.00	20858.75	USD	1,643.75	
29AUG17	S	1	PD29NOV17	20530.00	20858.75	USD	-1,643.75	
29AUG17	S	1	PD29NOV17	20515.00	20858.75	USD	-1,718.75	
29AUG17	B	1	PD29NOV17	20500.00	20858.75	USD	1,793.75	
	NET	**+1**	**AVG BGHT 20539.38**	**SOLD 20556.43**			**2,193.75**	**0.00**
30AUG17	B	1	PD30NOV17	20550.00	20857.50	USD	1,537.50	
30AUG17	S	1	PD30NOV17	20530.00	20857.50	USD	-1,637.50	
30AUG17	S	1	PD30NOV17	20640.00	20857.50	USD	-1,087.50	
31AUG17	S	1	PD30NOV17	20650.00	20857.50	USD	-1,037.50	
31AUG17	S	2	PD30NOV17	20625.00	20857.50	USD	-2,325.00	
31AUG17	S	1	PD30NOV17	20595.00	20857.50	USD	-1,312.50	
31AUG17	B	2	PD30NOV17	20600.00	20857.50	USD	2,575.00	
31AUG17	B	1	PD30NOV17	20630.00	20857.50	USD	1,137.50	
31AUG17	B	1	PD30NOV17	20650.00	20857.50	USD	1,037.50	
31AUG17	B	2	PD30NOV17	20645.00	20857.50	USD	2,125.00	
31AUG17	S	1	PD30NOV17	20645.00	20857.50	USD	-1,062.50	
31AUG17	S	1	PD30NOV17	20680.00	20857.50	USD	-887.50	
31AUG17	B	1	PD30NOV17	20680.00	20857.50	USD	887.50	
	NET	**0**	**AVG BGHT 20625.00**	**SOLD 20623.75**			**-50.00**	**0.00**
01SEP17	S	1	PD01DEC17	20705.00	20856.25	USD	-756.25	
01SEP17	B	1	PD01DEC17	20710.00	20856.25	USD	731.25	
01SEP17	S	1	PD01DEC17	20710.00	20856.25	USD	-731.25	
01SEP17	B	1	PD01DEC17	20715.00	20856.25	USD	706.25	
01SEP17	B	1	PD01DEC17	20660.00	20856.25	USD	981.25	
01SEP17	S	1	PD01DEC17	20660.00	20856.25	USD	-981.25	
01SEP17	B	1	PD01DEC17	20700.00	20856.25	USD	781.25	
01SEP17	S	1	PD01DEC17	20690.00	20856.25	USD	-831.25	
	NET	**0**	**AVG BGHT 20696.25**	**SOLD 20691.25**			**-100.00**	**0.00**
05SEP17	B	1	PD05DEC17	20715.00	20853.75	USD	693.75	
05SEP17	S	1	PD05DEC17	20750.00	20853.75	USD	-518.75	
05SEP17	S	1	PD05DEC17	20760.00	20853.75	USD	-468.75	
05SEP17	B	1	PD05DEC17	20720.00	20853.75	USD	668.75	
05SEP17	B	1	PD05DEC17	20680.00	20853.75	USD	868.75	
05SEP17	S	1	PD05DEC17	20710.00	20853.75	USD	-718.75	
	NET	**0**	**AVG BGHT 20705.00**	**SOLD 20740.00**			**525.00**	**0.00**
06SEP17	B	1	PD06DEC17	20620.00	20852.50	USD	1,162.50	
06SEP17	B	3	PD06DEC17	20625.00	20852.50	USD	3,412.50	
06SEP17	S	3	PD06DEC17	20650.00	20852.50	USD	-3,037.50	
06SEP17	B	2	PD06DEC17	20650.00	20852.50	USD	2,025.00	
06SEP17	S	1	PD06DEC17	20590.00	20852.50	USD	-1,312.50	
06SEP17	B	1	PD06DEC17	20640.00	20852.50	USD	1,062.50	
06SEP17	S	3	PD06DEC17	20630.00	20852.50	USD	-3,337.50	
	NET	**0**	**AVG BGHT 20633.57**	**SOLD 20632.86**			**-25.00**	**0.00**
07SEP17	B	1	PD07DEC17	20705.00	20851.25	USD	731.25	
07SEP17	S	1	PD07DEC17	20725.00	20851.25	USD	-631.25	
	NET	**0**	**AVG BGHT 20705.00**	**SOLD 20725.00**			**100.00**	**0.00**
08SEP17	S	1	PD08DEC17	20655.00	20850.00	USD	-975.00	
08SEP17	B	2	PD08DEC17	20620.00	20850.00	USD	2,300.00	
08SEP17	S	2	PD08DEC17	20595.00	20850.00	USD	-2,550.00	
08SEP17	B	1	PD08DEC17	20665.00	20850.00	USD	925.00	
	NET	**0**	**AVG BGHT 20635.00**	**SOLD 20615.00**				**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AE

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
							-300.00	
11SEP17	S	1	PD11DEC17	20735.00	20848.75	USD	-568.75	
11SEP17	B	1	PD11DEC17	20800.00	20848.75	USD	243.75	
11SEP17	B	1	PD11DEC17	20730.00	20848.75	USD	593.75	
11SEP17	S	1	PD11DEC17	20715.00	20848.75	USD	-668.75	
	NET	**0**	**AVG BGHT 20765.00 SOLD 20725.00**				**-400.00**	**0.00**
12SEP17	S	1	PD12DEC17	20685.00	20847.50	USD	-812.50	
12SEP17	B	1	PD12DEC17	20690.00	20847.50	USD	787.50	
12SEP17	S	1	PD12DEC17	20690.00	20847.50	USD	-787.50	
12SEP17	B	1	PD12DEC17	20680.00	20847.50	USD	837.50	
	NET	**0**	**AVG BGHT 20685.00 SOLD 20687.50**				**25.00**	**0.00**
13SEP17	B	1	PD13DEC17	20585.00	20846.25	USD	1,306.25	
13SEP17	S	1	PD13DEC17	20585.00	20846.25	USD	-1,306.25	
13SEP17	B	1	PD13DEC17	20580.00	20846.25	USD	1,331.25	
13SEP17	S	1	PD13DEC17	20605.00	20846.25	USD	-1,206.25	
	NET	**0**	**AVG BGHT 20582.50 SOLD 20595.00**				**125.00**	**0.00**
14SEP17	B	1	PD14DEC17	20560.00	20845.00	USD	1,425.00	
14SEP17	S	1	PD14DEC17	20530.00	20845.00	USD	-1,575.00	
14SEP17	S	1	PD14DEC17	20580.00	20845.00	USD	-1,325.00	
14SEP17	B	2	PD14DEC17	20545.00	20845.00	USD	3,000.00	
14SEP17	S	1	PD14DEC17	20545.00	20845.00	USD	-1,500.00	
14SEP17	S	1	PD14DEC17	20555.00	20845.00	USD	-1,450.00	
14SEP17	B	1	PD14DEC17	20605.00	20845.00	USD	1,200.00	
14SEP17	B	1	PD14DEC17	20610.00	20845.00	USD	1,175.00	
14SEP17	S	1	PD14DEC17	20610.00	20845.00	USD	-1,175.00	
14SEP17	B	1	PD14DEC17	20550.00	20845.00	USD	1,475.00	
14SEP17	S	1	PD14DEC17	20550.00	20845.00	USD	-1,475.00	
	NET	**0**	**AVG BGHT 20569.17 SOLD 20561.67**				**-225.00**	**0.00**
15SEP17	B	1	PD15DEC17	20520.00	20843.75	USD	1,618.75	
15SEP17	S	2	PD15DEC17	20595.00	20843.75	USD	-2,487.50	
15SEP17	B	1	PD15DEC17	20595.00	20843.75	USD	1,243.75	
15SEP17	S	1	PD15DEC17	20570.00	20843.75	USD	-1,368.75	
15SEP17	B	2	PD15DEC17	20580.00	20843.75	USD	2,637.50	
15SEP17	S	1	PD15DEC17	20615.00	20843.75	USD	-1,143.75	
	NET	**0**	**AVG BGHT 20568.75 SOLD 20593.75**				**500.00**	**0.00**
18SEP17	S	1	PD18DEC17	20685.00	20842.50	USD	-787.50	
18SEP17	S	1	PD18DEC17	20680.00	20842.50	USD	-812.50	
18SEP17	B	1	PD18DEC17	20630.00	20842.50	USD	1,062.50	
18SEP17	B	1	PD18DEC17	20585.00	20842.50	USD	1,287.50	
18SEP17	B	1	PD18DEC17	20580.00	20842.50	USD	1,312.50	
18SEP17	S	1	PD18DEC17	20580.00	20842.50	USD	-1,312.50	
	NET	**0**	**AVG BGHT 20598.33 SOLD 20648.33**				**750.00**	**0.00**
19SEP17	S	2	PD19DEC17	20695.00	20841.25	USD	-1,462.50	
19SEP17	S	2	PD19DEC17	20710.00	20841.25	USD	-1,312.50	
19SEP17	B	1	PD19DEC17	20730.00	20841.25	USD	556.25	
19SEP17	B	1	PD19DEC17	20690.00	20841.25	USD	756.25	
19SEP17	B	2	PD19DEC17	20720.00	20841.25	USD	1,212.50	
	NET	**0**	**AVG BGHT 20715.00 SOLD 20702.50**				**-250.00**	**0.00**
20SEP17	S	1	PD20DEC17	20720.00	20840.00	USD	-600.00	
20SEP17	B	1	PD20DEC17	20740.00	20840.00	USD	500.00	
20SEP17	S	1	PD20DEC17	20750.00	20840.00	USD	-450.00	
20SEP17	B	1	PD20DEC17	20750.00	20840.00	USD	450.00	
20SEP17	B	1	PD20DEC17	20655.00	20840.00	USD	925.00	
20SEP17	S	1	PD20DEC17	20690.00	20840.00	USD	-750.00	
	NET	**0**	**AVG BGHT 20715.00 SOLD 20720.00**				**75.00**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AE

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
21SEP17	B 1 PD21DEC17	20500.00	20833.40	USD	1,667.00	
21SEP17	S 1 PD21DEC17	20550.00	20833.40	USD	-1,417.00	
21SEP17	B 1 PD21DEC17	20565.00	20833.40	USD	1,342.00	
21SEP17	S 1 PD21DEC17	20575.00	20833.40	USD	-1,292.00	
	NET 0 AVG BGHT 20532.50 SOLD 20562.50				**300.00**	**0.00**
22SEP17	S 1 PD22DEC17	20470.00	20826.80	USD	-1,784.00	
22SEP17	B 1 PD22DEC17	20445.00	20826.80	USD	1,909.00	
22SEP17	B 1 PD22DEC17	20500.00	20826.80	USD	1,634.00	
22SEP17	S 1 PD22DEC17	20515.00	20826.80	USD	-1,559.00	
22SEP17	S 1 PD22DEC17	20580.00	20826.80	USD	-1,234.00	
22SEP17	S 1 PD22DEC17	20630.00	20826.80	USD	-984.00	
22SEP17	B 1 PD22DEC17	20595.00	20826.80	USD	1,159.00	
22SEP17	B 1 PD22DEC17	20380.00	20826.80	USD	2,234.00	
22SEP17	B 1 PD22DEC17	20545.00	20826.80	USD	1,409.00	
22SEP17	S 1 PD22DEC17	20380.00	20826.80	USD	-2,234.00	
22SEP17	S 1 PD22DEC17	20545.00	20826.80	USD	-1,409.00	
22SEP17	S 2 PD22DEC17	20555.00	20826.80	USD	-2,718.00	
22SEP17	B 1 PD22DEC17	20560.00	20826.80	USD	1,334.00	
22SEP17	S 1 PD22DEC17	20560.00	20826.80	USD	-1,334.00	
22SEP17	B 3 PD22DEC17	20570.00	20826.80	USD	3,852.00	
	NET 0 AVG BGHT 20526.11 SOLD 20532.22				**275.00**	**0.00**
25SEP17	S 1 PD27DEC17	20610.00	20820.20	USD	-1,051.00	
25SEP17	B 1 PD27DEC17	20620.00	20820.20	USD	1,001.00	
26SEP17	B 1 PD27DEC17	20750.00	20820.20	USD	351.00	
26SEP17	S 1 PD27DEC17	20740.00	20820.20	USD	-401.00	
26SEP17	B 1 PD27DEC17	20665.00	20820.20	USD	776.00	
26SEP17	S 1 PD27DEC17	20660.00	20820.20	USD	-801.00	
26SEP17	S 1 PD27DEC17	20705.00	20820.20	USD	-576.00	
26SEP17	B 1 PD27DEC17	20635.00	20820.20	USD	926.00	
27SEP17	S 1 PD27DEC17	20710.00	20820.20	USD	-551.00	
27SEP17	B 1 PD27DEC17	20705.00	20820.20	USD	576.00	
27SEP17	S 1 PD27DEC17	20665.00	20820.20	USD	-776.00	
27SEP17	S 2 PD27DEC17	20685.00	20820.20	USD	-1,352.00	
27SEP17	B 3 PD27DEC17	20680.00	20820.20	USD	2,103.00	
	NET 0 AVG BGHT 20676.88 SOLD 20682.50				**225.00**	**0.00**
28SEP17	B 1 PD28DEC17	20640.00	20813.60	USD	868.00	
28SEP17	S 1 PD28DEC17	20620.00	20813.60	USD	-968.00	
	NET 0 AVG BGHT 20640.00 SOLD 20620.00				**-100.00**	**0.00**
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
07JUL17	S 1 PD06OCT17	2777.00	3310.38	USD	-13,334.50	
07JUL17	B 1 PD06OCT17	2781.50	3310.38	USD	13,222.00	
	NET 0 AVG BGHT 2781.50 SOLD 2777.00				**-112.50**	**0.00**
10JUL17	S 1 PD10OCT17	2777.50	3307.25	USD	-13,243.75	
10JUL17	B 1 PD10OCT17	2774.00	3307.25	USD	13,331.25	
10JUL17	S 1 PD10OCT17	2779.00	3307.25	USD	-13,206.25	
20JUL17	B 1 PD10OCT17	2726.76	3307.25	USD	14,512.25	
	NET 0 AVG BGHT 2750.38 SOLD 2778.25				**1,393.50**	**0.00**
11JUL17	S 1 PD11OCT17	2794.00	3304.13	USD	-12,753.25	
11JUL17	B 1 PD11OCT17	2774.00	3304.13	USD	13,253.25	
11JUL17	S 1 PD11OCT17	2805.00	3304.13	USD	-12,478.25	
	NET -1 AVG BGHT 2774.00 SOLD 2799.50				**-11,978.25**	**0.00**
12JUL17	B 1 PD12OCT17	2831.00	3301.00	USD	11,750.00	
12JUL17	S 1 PD12OCT17	2832.00	3301.00	USD	-11,725.00	
12JUL17	B 1 PD12OCT17	2866.00	3301.00	USD	10,875.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AE

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
20JUL17	S	1 PD12OCT17		2726.94	3301.00	USD	-14,351.50	
	NET	**0 AVG BGHT 2848.50**	**SOLD 2779.47**				**-3,451.50**	**0.00**
13JUL17	S	1 PD13OCT17		2833.50	3297.88	USD	-11,609.50	
14JUL17	B	1 PD13OCT17		2790.00	3297.88	USD	12,697.00	
	NET	**0 AVG BGHT 2790.00**	**SOLD 2833.50**				**1,087.50**	**0.00**
17JUL17	S	1 PD17OCT17		2828.00	3291.63	USD	-11,590.75	
17JUL17	B	1 PD17OCT17		2832.50	3291.63	USD	11,478.25	
17JUL17	B	1 PD17OCT17		2846.00	3291.63	USD	11,140.75	
17JUL17	S	1 PD17OCT17		2832.00	3291.63	USD	-11,490.75	
	NET	**0 AVG BGHT 2839.25**	**SOLD 2830.00**				**-462.50**	**0.00**
20JUL17	B	1 PD20OCT17		2728.00	3282.50	USD	13,862.50	
21JUL17	S	1 PD20OCT17		2768.00	3282.50	USD	-12,862.50	
21JUL17	B	1 PD20OCT17		2743.50	3282.50	USD	13,475.00	
	NET	**+1 AVG BGHT 2735.75**	**SOLD 2768.00**				**14,475.00**	**0.00**
24JUL17	S	1 PD24OCT17		2792.50	3280.50	USD	-12,200.00	
24JUL17	S	1 PD24OCT17		2775.00	3280.50	USD	-12,637.50	
24JUL17	B	1 PD24OCT17		2773.00	3280.50	USD	12,687.50	
	NET	**-1 AVG BGHT 2773.00**	**SOLD 2783.75**				**-12,150.00**	**0.00**
25JUL17	B	1 PD25OCT17		2803.50	3279.50	USD	11,900.00	
	NET	**+1 AVG BGHT 2803.50**					**11,900.00**	**0.00**
07AUG17	S	1 PD07NOV17		2872.50	3272.00	USD	-9,987.50	
07AUG17	S	1 PD07NOV17		2870.50	3272.00	USD	-10,037.50	
07AUG17	B	1 PD07NOV17		2871.50	3272.00	USD	10,012.50	
	NET	**-1 AVG BGHT 2871.50**	**SOLD 2871.50**				**-10,012.50**	**0.00**
09AUG17	B	1 PD09NOV17		2977.00	3272.00	USD	7,375.00	
09AUG17	S	1 PD09NOV17		2967.00	3272.00	USD	-7,625.00	
	NET	**0 AVG BGHT 2977.00**	**SOLD 2967.00**				**-250.00**	**0.00**
18AUG17	B	2 PD17NOV17		3093.00	3265.50	USD	8,625.00	
18AUG17	S	2 PD17NOV17		3093.00	3265.50	USD	-8,625.00	
18AUG17	B	1 PD17NOV17		3121.00	3265.50	USD	3,612.50	
	NET	**+1 AVG BGHT 3102.33**	**SOLD 3093.00**				**3,612.50**	**0.00**
22AUG17	B	1 PD22NOV17		3109.00	3264.50	USD	3,887.50	
22AUG17	S	1 PD22NOV17		3100.00	3264.50	USD	-4,112.50	
	NET	**0 AVG BGHT 3109.00**	**SOLD 3100.00**				**-225.00**	**0.00**
23AUG17	S	1 PD24NOV17		3143.00	3264.71	USD	-3,042.75	
23AUG17	B	1 PD24NOV17		3103.00	3264.71	USD	4,042.75	
24AUG17	S	1 PD24NOV17		3102.50	3264.71	USD	-4,055.25	
24AUG17	S	1 PD24NOV17		3107.00	3264.71	USD	-3,942.75	
24AUG17	B	1 PD24NOV17		3112.00	3264.71	USD	3,817.75	
24AUG17	B	1 PD24NOV17		3117.00	3264.71	USD	3,692.75	
25AUG17	B	1 PD24NOV17		3121.00	3264.71	USD	3,592.75	
25AUG17	S	1 PD24NOV17		3121.00	3264.71	USD	-3,592.75	
	NET	**0 AVG BGHT 3113.25**	**SOLD 3118.38**				**512.50**	**0.00**
21SEP17	B	1 PD21DEC17		3072.00	3264.00	USD	4,800.00	
21SEP17	S	1 PD21DEC17		3085.00	3264.00	USD	-4,475.00	
	NET	**0 AVG BGHT 3072.00**	**SOLD 3085.00**				**325.00**	**0.00**
26SEP17	S	2 PD27DEC17		3133.00	3264.00	USD	-6,550.00	
26SEP17	B	2 PD27DEC17		3112.00	3264.00	USD	7,600.00	
	NET	**0 AVG BGHT 3112.00**	**SOLD 3133.00**				**1,050.00**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AE

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	45.75
LME Copper Grade A 25	FUTURES	-337.50
LME Lead 25 tonnes. This is an	FUTURES	-2,075.00
LME Nickel 6 tonnes. This is	FUTURES	630.00
LME Tin 5 tonnes. This is an	FUTURES	5,899.20
LME Zinc 25 tonnes. This is	FUTURES	-3,558.00

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards			Amount	Profit / Loss	**Total Amount**	**Total P&L**
ALUL	- Futures	USD	45.75	45.75		
COPL	- Futures	USD	-337.50	-337.50		
LEAD	- Futures	USD	-2,122.00	-2,122.00		
NKL	- Futures	USD	630.00	630.00		
TIN	- Futures	USD	5,855.45	5,855.45		
ZINC	- Futures	USD	-4,286.25	-4,286.25	**-214.55**	**-214.55**

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-6.70	-6.70
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**-6.70**	**-6.70**
Initial Margins		-4,265.55	-4,265.55
Excess/(Shortage)		**-4,272.25**	**-4,272.25**
FOR INFORMATION ONLY			
Forward Unrealised P&L		-214.55	-214.55
Net Liquidating Value		**-221.25**	**-221.25**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AF

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
02AUG17	B 1 PD02NOV17	1908.50	2117.08	USD	5,214.50	
02AUG17	S 1 PD02NOV17	1912.00	2117.08	USD	-5,127.00	
	NET 0 AVG BGHT 1908.50 SOLD 1912.00				**87.50**	**0.00**
04AUG17	B 1 PD03NOV17	1908.00	2116.75	USD	5,218.75	
04AUG17	S 1 PD03NOV17	1912.50	2116.75	USD	-5,106.25	
	NET 0 AVG BGHT 1908.00 SOLD 1912.50				**112.50**	**0.00**
29SEP17	B 1 PD29DEC17	2105.50	2129.84	USD	608.50	
	NET +1 AVG BGHT 2105.50				**608.50**	**0.00**
02OCT17	S 1 PD02JAN18	2107.00	2130.77	USD	-594.25	
	NET -1 AVG SOLD 2107.00				**-594.25**	**0.00**
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
05JUL17	S 1 PD05OCT17	5849.50	6468.75	USD	-15,481.25	
20JUL17	B 1 PD05OCT17	5955.02	6468.75	USD	12,843.25	
	NET 0 AVG BGHT 5955.02 SOLD 5849.50				**-2,638.00**	**0.00**
06JUL17	B 1 PD06OCT17	5847.00	6470.02	USD	15,575.50	
20JUL17	S 1 PD06OCT17	5955.30	6470.02	USD	-12,868.00	
	NET 0 AVG BGHT 5847.00 SOLD 5955.30				**2,707.50**	**0.00**
10JUL17	S 1 PD10OCT17	5836.50	6475.10	USD	-15,965.00	
20JUL17	B 1 PD10OCT17	5957.17	6475.10	USD	12,948.25	
	NET 0 AVG BGHT 5957.17 SOLD 5836.50				**-3,016.75**	**0.00**
11JUL17	B 1 PD11OCT17	5827.50	6476.37	USD	16,221.75	
20JUL17	S 1 PD11OCT17	5957.38	6476.37	USD	-12,974.75	
	NET 0 AVG BGHT 5827.50 SOLD 5957.38				**3,247.00**	**0.00**
19JUL17	B 1 PD19OCT17	5967.50	6486.00	USD	12,962.50	
19JUL17	S 1 PD19OCT17	5964.50	6486.00	USD	-13,037.50	
	NET 0 AVG BGHT 5967.50 SOLD 5964.50				**-75.00**	**0.00**
24JUL17	S 1 PD24OCT17	6033.50	6489.75	USD	-11,406.25	
	NET -1 AVG SOLD 6033.50				**-11,406.25**	**0.00**
25JUL17	B 1 PD25OCT17	6032.50	6490.29	USD	11,444.75	
	NET +1 AVG BGHT 6032.50				**11,444.75**	**0.00**
25AUG17	B 1 PD24NOV17	6690.00	6505.80	USD	-4,605.00	
25AUG17	S 1 PD24NOV17	6696.00	6505.80	USD	4,755.00	
	NET 0 AVG BGHT 6690.00 SOLD 6696.00				**150.00**	**0.00**
31AUG17	S 1 PD30NOV17	6804.00	6509.40	USD	7,365.00	
31AUG17	B 1 PD30NOV17	6811.00	6509.40	USD	-7,540.00	
	NET 0 AVG BGHT 6811.00 SOLD 6804.00				**-175.00**	**0.00**
06SEP17	B 1 PD06DEC17	6920.50	6512.14	USD	-10,209.00	
06SEP17	S 1 PD06DEC17	6918.50	6512.14	USD	10,159.00	
	NET 0 AVG BGHT 6920.50 SOLD 6918.50				**-50.00**	**0.00**
07SEP17	S 1 PD07DEC17	6914.50	6512.57	USD	10,048.25	
	NET -1 AVG SOLD 6914.50				**10,048.25**	**0.00**
08SEP17	B 1 PD08DEC17	6918.50	6513.00	USD	-10,137.50	
	NET +1 AVG BGHT 6918.50				**-10,137.50**	**0.00**
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
18JUL17	B 2 PD18OCT17	2274.50	2588.00	USD	15,675.00	
	NET +2 AVG BGHT 2274.50				**15,675.00**	**0.00**
20JUL17	S 1 PD20OCT17	2215.50	2588.00	USD	-9,312.50	
20JUL17	S 1 PD20OCT17	2218.00	2588.00	USD	-9,250.00	
	NET -2 AVG SOLD 2216.75				**-18,562.50**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AF

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
25JUL17	B 1 PD25OCT17	2302.00	2588.00	USD	7,150.00	
25JUL17	S 1 PD25OCT17	2321.50	2588.00	USD	-6,662.50	
	NET 0 AVG BGHT 2302.00 SOLD 2321.50				**487.50**	**0.00**
01AUG17	S 1 PD01NOV17	2337.50	2588.00	USD	-6,262.50	
	NET -1 AVG SOLD 2337.50				**-6,262.50**	**0.00**
02AUG17	B 1 PD02NOV17	2323.00	2588.00	USD	6,625.00	
	NET +1 AVG BGHT 2323.00				**6,625.00**	**0.00**
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
06JUL17	B 1 PD06OCT17	9075.00	10533.77	USD	8,752.62	
06JUL17	S 1 PD06OCT17	9090.00	10533.77	USD	-8,662.62	
	NET 0 AVG BGHT 9075.00 SOLD 9090.00				**90.00**	**0.00**
11JUL17	S 1 PD11OCT17	9185.00	10540.12	USD	-8,130.72	
11JUL17	B 1 PD11OCT17	9170.00	10540.12	USD	8,220.72	
	NET 0 AVG BGHT 9170.00 SOLD 9185.00				**90.00**	**0.00**
13JUL17	B 1 PD13OCT17	9175.00	10542.65	USD	8,205.90	
13JUL17	S 1 PD13OCT17	9185.00	10542.65	USD	-8,145.90	
14JUL17	S 1 PD13OCT17	9505.00	10542.65	USD	-6,225.90	
14JUL17	B 1 PD13OCT17	9560.00	10542.65	USD	5,895.90	
14JUL17	S 1 PD13OCT17	9565.00	10542.65	USD	-5,865.90	
14JUL17	B 1 PD13OCT17	9545.00	10542.65	USD	5,985.90	
14JUL17	B 1 PD13OCT17	9550.00	10542.65	USD	5,955.90	
14JUL17	S 1 PD13OCT17	9570.00	10542.65	USD	-5,835.90	
	NET 0 AVG BGHT 9457.50 SOLD 9456.25				**-30.00**	**0.00**
17JUL17	B 1 PD17OCT17	9615.00	10547.73	USD	5,596.38	
20JUL17	S 1 PD17OCT17	9495.34	10547.73	USD	-6,314.34	
	NET 0 AVG BGHT 9615.00 SOLD 9495.34				**-717.96**	**0.00**
18JUL17	S 1 PD18OCT17	9640.00	10549.00	USD	-5,454.00	
18JUL17	S 1 PD18OCT17	9725.00	10549.00	USD	-4,944.00	
18JUL17	B 1 PD18OCT17	9755.00	10549.00	USD	4,764.00	
20JUL17	B 1 PD18OCT17	9497.00	10549.00	USD	6,312.00	
	NET 0 AVG BGHT 9626.00 SOLD 9682.50				**678.00**	**0.00**
24JUL17	S 1 PD24OCT17	9765.00	10554.79	USD	-4,738.74	
24JUL17	S 1 PD24OCT17	9775.00	10554.79	USD	-4,678.74	
	NET -2 AVG SOLD 9770.00				**-9,417.48**	**0.00**
25JUL17	B 1 PD25OCT17	9800.00	10555.75	USD	4,534.50	
25JUL17	B 1 PD25OCT17	9815.00	10555.75	USD	4,444.50	
	NET +2 AVG BGHT 9807.50				**8,979.00**	**0.00**
26JUL17	S 1 PD26OCT17	10050.00	10556.71	USD	-3,040.26	
26JUL17	B 1 PD26OCT17	10020.00	10556.71	USD	3,220.26	
26JUL17	B 1 PD26OCT17	9990.00	10556.71	USD	3,400.26	
	NET +1 AVG BGHT 10005.00 SOLD 10050.00				**3,580.26**	**0.00**
27JUL17	S 1 PD27OCT17	10020.00	10557.68	USD	-3,226.08	
27JUL17	S 1 PD27OCT17	10190.00	10557.68	USD	-2,206.08	
27JUL17	B 1 PD27OCT17	10160.00	10557.68	USD	2,386.08	
28JUL17	S 1 PD27OCT17	10280.00	10557.68	USD	-1,666.08	
	NET -2 AVG BGHT 10160.00 SOLD 10163.33				**-4,712.16**	**0.00**
31JUL17	B 1 PD31OCT17	10330.00	10561.54	USD	1,389.24	
	NET +1 AVG BGHT 10330.00				**1,389.24**	**0.00**
02AUG17	S 1 PD02NOV17	10325.00	10563.46	USD	-1,430.76	
02AUG17	B 1 PD02NOV17	10335.00	10563.46	USD	1,370.76	
02AUG17	S 1 PD02NOV17	10345.00	10563.46	USD	-1,310.76	
02AUG17	S 3 PD02NOV17	10350.00	10563.46	USD	-3,842.28	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AF

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
02AUG17	B 3 PD02NOV17	10345.00	10563.46	USD	3,932.28	
	NET -1 AVG BGHT 10342.50 SOLD 10344.00				**-1,280.76**	**0.00**
03AUG17	B 1 PD03NOV17	10315.00	10564.43	USD	1,496.58	
04AUG17	B 1 PD03NOV17	10310.00	10564.43	USD	1,526.58	
04AUG17	S 2 PD03NOV17	10335.00	10564.43	USD	-2,753.16	
	NET 0 AVG BGHT 10312.50 SOLD 10335.00				**270.00**	**0.00**
07AUG17	B 1 PD07NOV17	10320.00	10568.29	USD	1,489.74	
07AUG17	S 1 PD07NOV17	10390.00	10568.29	USD	-1,069.74	
07AUG17	B 1 PD07NOV17	10380.00	10568.29	USD	1,129.74	
07AUG17	S 1 PD07NOV17	10385.00	10568.29	USD	-1,099.74	
	NET 0 AVG BGHT 10350.00 SOLD 10387.50				**450.00**	**0.00**
08AUG17	B 1 PD08NOV17	10340.00	10569.25	USD	1,375.50	
08AUG17	S 1 PD08NOV17	10635.00	10569.25	USD	394.50	
08AUG17	S 1 PD08NOV17	10640.00	10569.25	USD	424.50	
08AUG17	S 1 PD08NOV17	10730.00	10569.25	USD	964.50	
08AUG17	B 1 PD08NOV17	10750.00	10569.25	USD	-1,084.50	
08AUG17	B 1 PD08NOV17	10635.00	10569.25	USD	-394.50	
08AUG17	B 1 PD08NOV17	10650.00	10569.25	USD	-484.50	
	NET +1 AVG BGHT 10593.75 SOLD 10668.33				**1,195.50**	**0.00**
09AUG17	B 1 PD09NOV17	10780.00	10570.21	USD	-1,258.74	
09AUG17	S 1 PD09NOV17	10770.00	10570.21	USD	1,198.74	
	NET 0 AVG BGHT 10780.00 SOLD 10770.00				**-60.00**	**0.00**
11AUG17	B 1 PD10NOV17	10640.00	10571.18	USD	-412.92	
11AUG17	S 1 PD10NOV17	10645.00	10571.18	USD	442.92	
	NET 0 AVG BGHT 10640.00 SOLD 10645.00				**30.00**	**0.00**
21AUG17	B 1 PD21NOV17	11355.00	10581.31	USD	-4,642.14	
21AUG17	S 1 PD21NOV17	11330.00	10581.31	USD	4,492.14	
21AUG17	S 1 PD21NOV17	11300.00	10581.31	USD	4,312.14	
21AUG17	B 1 PD21NOV17	11285.00	10581.31	USD	-4,222.14	
21AUG17	S 1 PD21NOV17	11325.00	10581.31	USD	4,462.14	
	NET -1 AVG BGHT 11320.00 SOLD 11318.33				**4,402.14**	**0.00**
22AUG17	B 1 PD22NOV17	11395.00	10582.20	USD	-4,876.80	
22AUG17	B 1 PD22NOV17	11410.00	10582.20	USD	-4,966.80	
22AUG17	B 1 PD22NOV17	11425.00	10582.20	USD	-5,056.80	
22AUG17	S 1 PD22NOV17	11445.00	10582.20	USD	5,176.80	
22AUG17	S 1 PD22NOV17	11470.00	10582.20	USD	5,326.80	
	NET +1 AVG BGHT 11410.00 SOLD 11457.50				**-4,396.80**	**0.00**
23AUG17	B 1 PD24NOV17	11670.00	10583.97	USD	-6,516.18	
23AUG17	S 1 PD24NOV17	11685.00	10583.97	USD	6,606.18	
23AUG17	B 1 PD24NOV17	11700.00	10583.97	USD	-6,696.18	
23AUG17	B 1 PD24NOV17	11755.00	10583.97	USD	-7,026.18	
23AUG17	B 1 PD24NOV17	11790.00	10583.97	USD	-7,236.18	
23AUG17	S 1 PD24NOV17	11795.00	10583.97	USD	7,266.18	
23AUG17	S 1 PD24NOV17	11695.00	10583.97	USD	6,666.18	
23AUG17	S 1 PD24NOV17	11755.00	10583.97	USD	7,026.18	
24AUG17	S 1 PD24NOV17	11745.00	10583.97	USD	6,966.18	
25AUG17	B 1 PD24NOV17	11710.00	10583.97	USD	-6,756.18	
25AUG17	B 1 PD24NOV17	11405.00	10583.97	USD	-4,926.18	
25AUG17	B 1 PD24NOV17	11425.00	10583.97	USD	-5,046.18	
25AUG17	S 2 PD24NOV17	11395.00	10583.97	USD	9,732.36	
	NET 0 AVG BGHT 11636.43 SOLD 11637.86				**60.00**	**0.00**
31AUG17	B 1 PD30NOV17	11795.00	10589.29	USD	-7,234.26	
31AUG17	S 1 PD30NOV17	11795.00	10589.29	USD	7,234.26	
	NET 0 AVG BGHT 11795.00 SOLD 11795.00				**0.00**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AF

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
01SEP17	B 1 PD01DEC17	12135.00	10590.17	USD	-9,268.98	
01SEP17	S 1 PD01DEC17	12090.00	10590.17	USD	8,998.98	
	NET 0 AVG BGHT 12135.00 SOLD 12090.00				**-270.00**	**0.00**
06SEP17	B 1 PD06DEC17	12180.00	10594.60	USD	-9,512.40	
06SEP17	S 1 PD06DEC17	12175.00	10594.60	USD	9,482.40	
	NET 0 AVG BGHT 12180.00 SOLD 12175.00				**-30.00**	**0.00**
11SEP17	S 1 PD11DEC17	11835.00	10599.03	USD	7,415.82	
	NET -1 AVG SOLD 11835.00				**7,415.82**	**0.00**
12SEP17	B 1 PD12DEC17	11815.00	10599.91	USD	-7,290.54	
	NET +1 AVG BGHT 11815.00				**-7,290.54**	**0.00**
14SEP17	S 1 PD14DEC17	11370.00	10601.69	USD	4,609.86	
14SEP17	S 1 PD14DEC17	11240.00	10601.69	USD	3,829.86	
14SEP17	B 1 PD14DEC17	11200.00	10601.69	USD	-3,589.86	
14SEP17	B 2 PD14DEC17	11210.00	10601.69	USD	-7,299.72	
14SEP17	S 1 PD14DEC17	11210.00	10601.69	USD	3,649.86	
	NET 0 AVG BGHT 11206.67 SOLD 11273.33				**1,200.00**	**0.00**
15SEP17	B 1 PD15DEC17	11150.00	10602.57	USD	-3,284.58	
15SEP17	S 1 PD15DEC17	11130.00	10602.57	USD	3,164.58	
	NET 0 AVG BGHT 11150.00 SOLD 11130.00				**-120.00**	**0.00**
21SEP17	B 1 PD21DEC17	10860.00	10608.00	USD	-1,512.00	
21SEP17	S 1 PD21DEC17	10880.00	10608.00	USD	1,632.00	
21SEP17	B 1 PD21DEC17	10805.00	10608.00	USD	-1,182.00	
21SEP17	S 1 PD21DEC17	10835.00	10608.00	USD	1,362.00	
	NET 0 AVG BGHT 10832.50 SOLD 10857.50				**300.00**	**0.00**
22SEP17	B 1 PD22DEC17	10540.00	10609.00	USD	414.00	
22SEP17	S 1 PD22DEC17	10530.00	10609.00	USD	-474.00	
	NET 0 AVG BGHT 10540.00 SOLD 10530.00				**-60.00**	**0.00**
03OCT17	S 1 PD03JAN18	10700.00	10620.00	USD	480.00	
03OCT17	B 1 PD03JAN18	10700.00	10620.00	USD	-480.00	
03OCT17	S 1 PD03JAN18	10730.00	10620.00	USD	660.00	
	NET -1 AVG BGHT 10700.00 SOLD 10715.00				**660.00**	**0.00**
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
05JUL17	S 1 PD05OCT17	19735.00	20954.00	USD	-6,095.00	
20JUL17	B 1 PD05OCT17	20102.63	20954.00	USD	4,256.85	
	NET 0 AVG BGHT 20102.63 SOLD 19735.00				**-1,838.15**	**0.00**
06JUL17	B 1 PD06OCT17	19710.00	20951.50	USD	6,207.50	
20JUL17	S 1 PD06OCT17	20100.05	20951.50	USD	-4,257.25	
	NET 0 AVG BGHT 19710.00 SOLD 20100.05				**1,950.25**	**0.00**
10JUL17	S 1 PD10OCT17	19940.00	20949.00	USD	-5,045.00	
10JUL17	B 1 PD10OCT17	19930.00	20949.00	USD	5,095.00	
10JUL17	S 1 PD10OCT17	19965.00	20949.00	USD	-4,920.00	
10JUL17	S 1 PD10OCT17	19980.00	20949.00	USD	-4,845.00	
10JUL17	B 2 PD10OCT17	19935.00	20949.00	USD	10,140.00	
10JUL17	B 1 PD10OCT17	19825.00	20949.00	USD	5,620.00	
10JUL17	S 1 PD10OCT17	19795.00	20949.00	USD	-5,770.00	
	NET 0 AVG BGHT 19906.25 SOLD 19920.00				**275.00**	**0.00**
13JUL17	S 1 PD13OCT17	19940.00	20941.50	USD	-5,007.50	
13JUL17	B 1 PD13OCT17	20025.00	20941.50	USD	4,582.50	
13JUL17	B 1 PD13OCT17	19830.00	20941.50	USD	5,557.50	
13JUL17	S 1 PD13OCT17	19860.00	20941.50	USD	-5,407.50	
13JUL17	S 1 PD13OCT17	19875.00	20941.50	USD	-5,332.50	
13JUL17	B 1 PD13OCT17	19865.00	20941.50	USD	5,382.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AF

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
13JUL17	B 1 PD13OCT17	19870.00	20941.50	USD	5,357.50	
13JUL17	S 1 PD13OCT17	19910.00	20941.50	USD	-5,157.50	
13JUL17	S 1 PD13OCT17	19895.00	20941.50	USD	-5,232.50	
14JUL17	B 1 PD13OCT17	19840.00	20941.50	USD	5,507.50	
	NET 0 AVG BGHT 19886.00 SOLD 19896.00				**250.00**	**0.00**
18JUL17	S 1 PD18OCT17	20025.00	20934.00	USD	-4,545.00	
18JUL17	B 1 PD18OCT17	20000.00	20934.00	USD	4,670.00	
18JUL17	B 1 PD18OCT17	20005.00	20934.00	USD	4,645.00	
	NET +1 AVG BGHT 20002.50 SOLD 20025.00				**4,770.00**	**0.00**
19JUL17	S 1 PD19OCT17	19990.00	20929.00	USD	-4,695.00	
19JUL17	S 1 PD19OCT17	20140.00	20929.00	USD	-3,945.00	
19JUL17	S 1 PD19OCT17	20095.00	20929.00	USD	-4,170.00	
19JUL17	B 1 PD19OCT17	20100.00	20929.00	USD	4,145.00	
19JUL17	B 1 PD19OCT17	20115.00	20929.00	USD	4,070.00	
	NET -1 AVG BGHT 20107.50 SOLD 20075.00				**-4,595.00**	**0.00**
21JUL17	S 1 PD20OCT17	20240.00	20924.00	USD	-3,420.00	
21JUL17	B 1 PD20OCT17	20220.00	20924.00	USD	3,520.00	
	NET 0 AVG BGHT 20220.00 SOLD 20240.00				**100.00**	**0.00**
24JUL17	B 1 PD24OCT17	20130.00	20914.00	USD	3,920.00	
24JUL17	S 1 PD24OCT17	20205.00	20914.00	USD	-3,545.00	
	NET 0 AVG BGHT 20130.00 SOLD 20205.00				**375.00**	**0.00**
26JUL17	S 1 PD26OCT17	20345.00	20904.00	USD	-2,795.00	
26JUL17	B 1 PD26OCT17	20325.00	20904.00	USD	2,895.00	
26JUL17	S 1 PD26OCT17	20340.00	20904.00	USD	-2,820.00	
26JUL17	B 1 PD26OCT17	20345.00	20904.00	USD	2,795.00	
	NET 0 AVG BGHT 20335.00 SOLD 20342.50				**75.00**	**0.00**
27JUL17	S 1 PD27OCT17	20680.00	20899.00	USD	-1,095.00	
27JUL17	B 1 PD27OCT17	20700.00	20899.00	USD	995.00	
28JUL17	B 1 PD27OCT17	20575.00	20899.00	USD	1,620.00	
28JUL17	B 2 PD27OCT17	20605.00	20899.00	USD	2,940.00	
28JUL17	S 1 PD27OCT17	20640.00	20899.00	USD	-1,295.00	
28JUL17	S 1 PD27OCT17	20585.00	20899.00	USD	-1,570.00	
28JUL17	S 1 PD27OCT17	20630.00	20899.00	USD	-1,345.00	
	NET 0 AVG BGHT 20621.25 SOLD 20633.75				**250.00**	**0.00**
03AUG17	S 1 PD03NOV17	20670.00	20881.20	USD	-1,056.00	
03AUG17	B 1 PD03NOV17	20650.00	20881.20	USD	1,156.00	
	NET 0 AVG BGHT 20650.00 SOLD 20670.00				**100.00**	**0.00**
07AUG17	B 1 PD07NOV17	20560.00	20878.40	USD	1,592.00	
	NET +1 AVG BGHT 20560.00				**1,592.00**	**0.00**
08AUG17	S 1 PD08NOV17	20515.00	20877.00	USD	-1,810.00	
	NET -1 AVG SOLD 20515.00				**-1,810.00**	**0.00**
09AUG17	B 1 PD09NOV17	20250.00	20875.60	USD	3,128.00	
09AUG17	S 1 PD09NOV17	20265.00	20875.60	USD	-3,053.00	
	NET 0 AVG BGHT 20250.00 SOLD 20265.00				**75.00**	**0.00**
10AUG17	S 1 PD10NOV17	20345.00	20874.20	USD	-2,646.00	
10AUG17	B 1 PD10NOV17	20325.00	20874.20	USD	2,746.00	
	NET 0 AVG BGHT 20325.00 SOLD 20345.00				**100.00**	**0.00**
14AUG17	S 1 PD14NOV17	20325.00	20871.40	USD	-2,732.00	
14AUG17	S 1 PD14NOV17	20345.00	20871.40	USD	-2,632.00	
14AUG17	B 1 PD14NOV17	20305.00	20871.40	USD	2,832.00	
14AUG17	B 1 PD14NOV17	20285.00	20871.40	USD	2,932.00	
14AUG17	S 1 PD14NOV17	20285.00	20871.40	USD	-2,932.00	
	NET -1 AVG BGHT 20295.00 SOLD 20318.33				**-2,532.00**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AF

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
15AUG17	B 1 PD15NOV17	20290.00	20870.00	USD	2,900.00	
15AUG17	B 1 PD15NOV17	20070.00	20870.00	USD	4,000.00	
15AUG17	S 2 PD15NOV17	19300.00	20870.00	USD	-15,700.00	
15AUG17	B 1 PD15NOV17	19830.00	20870.00	USD	5,200.00	
	NET +1 AVG BGHT 20063.33 SOLD 19300.00				**-3,600.00**	**0.00**
21AUG17	S 1 PD21NOV17	20445.00	20865.00	USD	-2,100.00	
21AUG17	B 1 PD21NOV17	20480.00	20865.00	USD	1,925.00	
21AUG17	S 2 PD21NOV17	20495.00	20865.00	USD	-3,700.00	
	NET -2 AVG BGHT 20480.00 SOLD 20478.33				**-3,875.00**	**0.00**
22AUG17	B 1 PD22NOV17	20480.00	20863.75	USD	1,918.75	
22AUG17	B 1 PD22NOV17	20450.00	20863.75	USD	2,068.75	
	NET +2 AVG BGHT 20465.00				**3,987.50**	**0.00**
23AUG17	B 1 PD24NOV17	20495.00	20862.50	USD	1,837.50	
23AUG17	S 1 PD24NOV17	20525.00	20862.50	USD	-1,687.50	
	NET 0 AVG BGHT 20495.00 SOLD 20525.00				**150.00**	**0.00**
31AUG17	S 2 PD30NOV17	20695.00	20857.50	USD	-1,625.00	
31AUG17	B 2 PD30NOV17	20680.00	20857.50	USD	1,775.00	
	NET 0 AVG BGHT 20680.00 SOLD 20695.00				**150.00**	**0.00**
05SEP17	S 1 PD05DEC17	20730.00	20853.75	USD	-618.75	
05SEP17	B 1 PD05DEC17	20710.00	20853.75	USD	718.75	
	NET 0 AVG BGHT 20710.00 SOLD 20730.00				**100.00**	**0.00**
06SEP17	S 1 PD06DEC17	20775.00	20852.50	USD	-387.50	
	NET -1 AVG SOLD 20775.00				**-387.50**	**0.00**
07SEP17	B 1 PD07DEC17	20765.00	20851.25	USD	431.25	
07SEP17	B 1 PD07DEC17	20740.00	20851.25	USD	556.25	
07SEP17	S 1 PD07DEC17	20785.00	20851.25	USD	-331.25	
	NET +1 AVG BGHT 20752.50 SOLD 20785.00				**656.25**	**0.00**
11SEP17	B 1 PD11DEC17	20715.00	20848.75	USD	668.75	
11SEP17	S 1 PD11DEC17	20735.00	20848.75	USD	-568.75	
	NET 0 AVG BGHT 20715.00 SOLD 20735.00				**100.00**	**0.00**
13SEP17	S 1 PD13DEC17	20570.00	20846.25	USD	-1,381.25	
13SEP17	B 1 PD13DEC17	20555.00	20846.25	USD	1,456.25	
13SEP17	S 1 PD13DEC17	20615.00	20846.25	USD	-1,156.25	
	NET -1 AVG BGHT 20555.00 SOLD 20592.50				**-1,081.25**	**0.00**
14SEP17	S 1 PD14DEC17	20635.00	20845.00	USD	-1,050.00	
14SEP17	B 2 PD14DEC17	20615.00	20845.00	USD	2,300.00	
14SEP17	S 1 PD14DEC17	20615.00	20845.00	USD	-1,150.00	
14SEP17	B 1 PD14DEC17	20655.00	20845.00	USD	950.00	
	NET +1 AVG BGHT 20628.33 SOLD 20625.00				**1,050.00**	**0.00**
15SEP17	S 1 PD15DEC17	20520.00	20843.75	USD	-1,618.75	
15SEP17	B 1 PD15DEC17	20580.00	20843.75	USD	1,318.75	
15SEP17	S 1 PD15DEC17	20575.00	20843.75	USD	-1,343.75	
15SEP17	B 1 PD15DEC17	20565.00	20843.75	USD	1,393.75	
	NET 0 AVG BGHT 20572.50 SOLD 20547.50				**-250.00**	**0.00**
18SEP17	S 1 PD18DEC17	20690.00	20842.50	USD	-762.50	
	NET -1 AVG SOLD 20690.00				**-762.50**	**0.00**
19SEP17	B 1 PD19DEC17	20700.00	20841.25	USD	706.25	
	NET +1 AVG BGHT 20700.00				**706.25**	**0.00**
22SEP17	B 1 PD22DEC17	20575.00	20826.80	USD	1,259.00	
22SEP17	S 2 PD22DEC17	20595.00	20826.80	USD	-2,318.00	
	NET -1 AVG BGHT 20575.00 SOLD 20595.00				**-1,059.00**	**0.00**
25SEP17	B 1 PD27DEC17	20590.00	20820.20	USD	1,151.00	
25SEP17	S 1 PD27DEC17	20695.00	20820.20	USD	-626.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AF

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
25SEP17	B 1 PD27DEC17	20705.00	20820.20	USD	576.00	
26SEP17	B 1 PD27DEC17	20660.00	20820.20	USD	801.00	
26SEP17	S 1 PD27DEC17	20700.00	20820.20	USD	-601.00	
27SEP17	B 1 PD27DEC17	20700.00	20820.20	USD	601.00	
27SEP17	S 1 PD27DEC17	20695.00	20820.20	USD	-626.00	
27SEP17	B 1 PD27DEC17	20675.00	20820.20	USD	726.00	
27SEP17	S 1 PD27DEC17	20685.00	20820.20	USD	-676.00	
	NET +1 AVG BGHT 20666.00 SOLD 20693.75				**1,326.00**	**0.00**
28SEP17	S 1 PD28DEC17	20735.00	20813.60	USD	-393.00	
	NET -1 AVG SOLD 20735.00				**-393.00**	**0.00**
29SEP17	B 1 PD29DEC17	20725.00	20807.00	USD	410.00	
29SEP17	S 1 PD29DEC17	20720.00	20807.00	USD	-435.00	
	NET 0 AVG BGHT 20725.00 SOLD 20720.00				**-25.00**	**0.00**
02OCT17	B 1 PD02JAN18	20715.00	20803.50	USD	442.50	
02OCT17	B 1 PD02JAN18	20670.00	20803.50	USD	667.50	
02OCT17	S 1 PD02JAN18	20700.00	20803.50	USD	-517.50	
	NET +1 AVG BGHT 20692.50 SOLD 20700.00				**592.50**	**0.00**
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
18JUL17	S 2 PD18OCT17	2794.50	3288.50	USD	-24,700.00	
	NET -2 AVG SOLD 2794.50				**-24,700.00**	**0.00**
20JUL17	B 1 PD20OCT17	2727.50	3282.50	USD	13,875.00	
20JUL17	B 1 PD20OCT17	2728.00	3282.50	USD	13,862.50	
	NET +2 AVG BGHT 2727.75				**27,737.50**	**0.00**
25JUL17	B 1 PD25OCT17	2840.00	3279.50	USD	10,987.50	
25JUL17	S 1 PD25OCT17	2837.50	3279.50	USD	-11,050.00	
25JUL17	S 1 PD25OCT17	2842.00	3279.50	USD	-10,937.50	
25JUL17	B 1 PD25OCT17	2846.00	3279.50	USD	10,837.50	
	NET 0 AVG BGHT 2843.00 SOLD 2839.75				**-162.50**	**0.00**
04AUG17	S 1 PD03NOV17	2825.00	3272.00	USD	-11,175.00	
04AUG17	B 1 PD03NOV17	2814.50	3272.00	USD	11,437.50	
	NET 0 AVG BGHT 2814.50 SOLD 2825.00				**262.50**	**0.00**
29SEP17	B 1 PD29DEC17	3161.00	3264.00	USD	2,575.00	
29SEP17	S 1 PD29DEC17	3164.50	3264.00	USD	-2,487.50	
29SEP17	S 1 PD29DEC17	3168.00	3264.00	USD	-2,400.00	
	NET -1 AVG BGHT 3161.00 SOLD 3166.25				**-2,312.50**	**0.00**
02OCT17	B 1 PD02JAN18	3172.00	3264.00	USD	2,300.00	
	NET +1 AVG BGHT 3172.00				**2,300.00**	**0.00**

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	237.50
LME Copper Grade A 25	FUTURES	74.75
LME Lead 25 tonnes. This is an	FUTURES	-2,037.50
LME Nickel 6 tonnes. This is	FUTURES	66,050.04
LME Tin 5 tonnes. This is an	FUTURES	-3,387.90
LME Zinc 25 tonnes. This is	FUTURES	3,425.00

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AF

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards			Amount	Profit / Loss	**Total Amount**	**Total P&L**
ALUL	- Futures	USD	214.25	214.25		
COPL	- Futures	USD	99.00	99.00		
LEAD	- Futures	USD	-2,037.50	-2,037.50		
NKL	- Futures	USD	2,404.26	2,404.26		
TIN	- Futures	USD	-3,477.65	-3,477.65		
ZINC	- Futures	USD	3,125.00	3,125.00	**327.36**	**327.36**

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		110.44	110.44
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**110.44**	**110.44**
Initial Margins		-13,272.64	-13,272.64
Excess/(Shortage)		**-13,162.20**	**-13,162.20**
FOR INFORMATION ONLY			
Forward Unrealised P&L		327.36	327.36
Net Liquidating Value		**437.80**	**437.80**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AG

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
13JUL17	S 2 PD13OCT17	1901.50	2116.25	USD	-10,737.50	
13JUL17	S 3 PD13OCT17	1899.00	2116.25	USD	-16,293.75	
13JUL17	S 1 PD13OCT17	1930.00	2116.25	USD	-4,656.25	
13JUL17	S 1 PD13OCT17	1928.50	2116.25	USD	-4,693.75	
13JUL17	S 2 PD13OCT17	1927.50	2116.25	USD	-9,437.50	
13JUL17	S 2 PD13OCT17	1927.00	2116.25	USD	-9,462.50	
13JUL17	S 5 PD13OCT17	1932.00	2116.25	USD	-23,031.25	
13JUL17	S 3 PD13OCT17	1930.00	2116.25	USD	-13,968.75	
13JUL17	S 1 PD13OCT17	1928.00	2116.25	USD	-4,706.25	
13JUL17	B 1 PD13OCT17	1926.50	2116.25	USD	4,743.75	
13JUL17	B 2 PD13OCT17	1923.50	2116.25	USD	9,637.50	
13JUL17	B 2 PD13OCT17	1923.00	2116.25	USD	9,662.50	
14JUL17	S 5 PD13OCT17	1928.00	2116.25	USD	-23,531.25	
14JUL17	S 1 PD13OCT17	1927.00	2116.25	USD	-4,731.25	
14JUL17	S 1 PD13OCT17	1927.50	2116.25	USD	-4,718.75	
14JUL17	S 2 PD13OCT17	1925.50	2116.25	USD	-9,537.50	
14JUL17	S 2 PD13OCT17	1926.00	2116.25	USD	-9,512.50	
14JUL17	B 1 PD13OCT17	1921.00	2116.25	USD	4,881.25	
18JUL17	B 6 PD13OCT17	1929.95	2116.25	USD	27,945.00	
20JUL17	B 5 PD13OCT17	1915.82	2116.25	USD	25,053.75	
24JUL17	B 14 PD13OCT17	1910.51	2116.25	USD	72,009.00	
	NET 0 AVG BGHT 1917.63 SOLD 1923.97				**4,914.00**	**0.00**
17JUL17	B 3 PD17OCT17	1905.00	2116.25	USD	15,843.75	
17JUL17	B 3 PD17OCT17	1901.00	2116.25	USD	16,143.75	
17JUL17	B 1 PD17OCT17	1914.50	2116.25	USD	5,043.75	
17JUL17	B 1 PD17OCT17	1915.00	2116.25	USD	5,031.25	
17JUL17	S 3 PD17OCT17	1914.00	2116.25	USD	-15,168.75	
17JUL17	B 1 PD17OCT17	1914.50	2116.25	USD	5,043.75	
18JUL17	S 6 PD17OCT17	1930.59	2116.25	USD	-27,849.00	
	NET 0 AVG BGHT 1906.89 SOLD 1925.06				**4,088.50**	**0.00**
18JUL17	S 3 PD18OCT17	1914.00	2116.25	USD	-15,168.75	
18JUL17	S 6 PD18OCT17	1916.50	2116.25	USD	-29,962.50	
18JUL17	B 1 PD18OCT17	1926.00	2116.25	USD	4,756.25	
18JUL17	B 2 PD18OCT17	1925.50	2116.25	USD	9,537.50	
24JUL17	B 6 PD18OCT17	1911.50	2116.25	USD	30,712.50	
	NET 0 AVG BGHT 1916.22 SOLD 1915.67				**-125.00**	**0.00**
19JUL17	B 1 PD19OCT17	1926.50	2116.64	USD	4,753.50	
19JUL17	B 3 PD19OCT17	1928.00	2116.64	USD	14,148.00	
19JUL17	B 1 PD19OCT17	1927.00	2116.64	USD	4,741.00	
20JUL17	S 5 PD19OCT17	1916.80	2116.64	USD	-24,980.00	
	NET 0 AVG BGHT 1927.50 SOLD 1916.80				**-1,337.50**	**0.00**
20JUL17	B 1 PD20OCT17	1928.50	2117.03	USD	4,713.25	
20JUL17	B 5 PD20OCT17	1929.00	2117.03	USD	23,503.75	
20JUL17	B 6 PD20OCT17	1927.50	2117.03	USD	28,429.50	
20JUL17	B 8 PD20OCT17	1928.00	2117.03	USD	37,806.00	
24JUL17	S 20 PD20OCT17	1911.47	2117.03	USD	-102,780.00	
	NET 0 AVG BGHT 1928.13 SOLD 1911.47				**-8,327.50**	**0.00**
26JUL17	S 1 PD26OCT17	1953.50	2119.36	USD	-4,146.50	
26JUL17	B 2 PD26OCT17	1940.00	2119.36	USD	8,968.00	
26JUL17	S 1 PD26OCT17	1955.50	2119.36	USD	-4,096.50	
26JUL17	S 2 PD26OCT17	1956.50	2119.36	USD	-8,143.00	
26JUL17	S 1 PD26OCT17	1957.50	2119.36	USD	-4,046.50	
26JUL17	B 1 PD26OCT17	1945.00	2119.36	USD	4,359.00	
26JUL17	B 1 PD26OCT17	1942.00	2119.36	USD	4,434.00	
26JUL17	B 1 PD26OCT17	1939.00	2119.36	USD	4,509.00	
	NET 0 AVG BGHT 1941.20 SOLD 1955.90				**1,837.50**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AG

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
27JUL17	S 1 PD27OCT17	1955.50	2119.75	USD	-4,106.25	
27JUL17	B 1 PD27OCT17	1944.50	2119.75	USD	4,381.25	
	NET 0 AVG BGHT 1944.50 SOLD 1955.50				**275.00**	**0.00**
02AUG17	B 2 PD02NOV17	1923.00	2117.08	USD	9,704.00	
02AUG17	B 1 PD02NOV17	1924.00	2117.08	USD	4,827.00	
02AUG17	B 2 PD02NOV17	1923.00	2117.08	USD	9,704.00	
02AUG17	B 13 PD02NOV17	1925.00	2117.08	USD	62,426.00	
11AUG17	S 16 PD02NOV17	2041.41	2117.08	USD	-30,268.00	
15AUG17	S 2 PD02NOV17	2046.47	2117.08	USD	-3,530.50	
	NET 0 AVG BGHT 1924.50 SOLD 2041.97				**52,862.50**	**0.00**
07AUG17	B 1 PD07NOV17	1918.50	2116.08	USD	4,939.50	
07AUG17	B 1 PD07NOV17	1916.00	2116.08	USD	5,002.00	
07AUG17	B 4 PD07NOV17	1916.50	2116.08	USD	19,958.00	
07AUG17	B 6 PD07NOV17	1917.00	2116.08	USD	29,862.00	
07AUG17	S 1 PD07NOV17	1922.50	2116.08	USD	-4,839.50	
07AUG17	S 3 PD07NOV17	1924.00	2116.08	USD	-14,406.00	
07AUG17	B 1 PD07NOV17	1919.00	2116.08	USD	4,927.00	
07AUG17	S 7 PD07NOV17	1942.00	2116.08	USD	-30,464.00	
07AUG17	S 5 PD07NOV17	1942.50	2116.08	USD	-21,697.50	
07AUG17	S 3 PD07NOV17	1943.00	2116.08	USD	-12,981.00	
07AUG17	S 6 PD07NOV17	1967.00	2116.08	USD	-22,362.00	
11AUG17	B 12 PD07NOV17	2042.29	2116.08	USD	22,137.00	
	NET 0 AVG BGHT 1977.16 SOLD 1945.28				**-19,924.50**	**0.00**
08AUG17	B 1 PD08NOV17	1986.00	2115.75	USD	3,243.75	
08AUG17	B 1 PD08NOV17	1981.00	2115.75	USD	3,368.75	
08AUG17	S 1 PD08NOV17	1996.00	2115.75	USD	-2,993.75	
08AUG17	B 1 PD08NOV17	1998.50	2115.75	USD	2,931.25	
08AUG17	B 2 PD08NOV17	2000.00	2115.75	USD	5,787.50	
08AUG17	B 1 PD08NOV17	2003.50	2115.75	USD	2,806.25	
08AUG17	B 1 PD08NOV17	2002.00	2115.75	USD	2,843.75	
08AUG17	B 1 PD08NOV17	2005.00	2115.75	USD	2,768.75	
08AUG17	B 1 PD08NOV17	2016.00	2115.75	USD	2,493.75	
08AUG17	B 8 PD08NOV17	2018.00	2115.75	USD	19,550.00	
08AUG17	B 2 PD08NOV17	2020.00	2115.75	USD	4,787.50	
08AUG17	B 1 PD08NOV17	2021.00	2115.75	USD	2,368.75	
08AUG17	B 3 PD08NOV17	2021.50	2115.75	USD	7,068.75	
08AUG17	B 1 PD08NOV17	2022.00	2115.75	USD	2,343.75	
08AUG17	S 1 PD08NOV17	2028.00	2115.75	USD	-2,193.75	
08AUG17	S 1 PD08NOV17	2029.00	2115.75	USD	-2,168.75	
08AUG17	S 1 PD08NOV17	2030.00	2115.75	USD	-2,143.75	
15AUG17	S 3 PD08NOV17	2047.64	2115.75	USD	-5,108.25	
18AUG17	S 4 PD08NOV17	2060.50	2115.75	USD	-5,525.00	
23AUG17	S 2 PD08NOV17	2094.56	2115.75	USD	-1,059.50	
24AUG17	S 3 PD08NOV17	2103.36	2115.75	USD	-929.25	
25AUG17	S 8 PD08NOV17	2067.34	2115.75	USD	-9,682.00	
	NET 0 AVG BGHT 2011.81 SOLD 2062.74				**30,558.50**	**0.00**
09AUG17	S 1 PD09NOV17	2032.00	2116.11	USD	-2,102.75	
09AUG17	S 3 PD09NOV17	2030.00	2116.11	USD	-6,458.25	
09AUG17	B 2 PD09NOV17	2035.00	2116.11	USD	4,055.50	
09AUG17	B 2 PD09NOV17	2035.50	2116.11	USD	4,030.50	
09AUG17	B 1 PD09NOV17	2037.00	2116.11	USD	1,977.75	
09AUG17	B 1 PD09NOV17	2036.50	2116.11	USD	1,990.25	
09AUG17	S 2 PD09NOV17	2036.00	2116.11	USD	-4,005.50	
09AUG17	S 1 PD09NOV17	2040.50	2116.11	USD	-1,890.25	
09AUG17	S 1 PD09NOV17	2039.00	2116.11	USD	-1,927.75	
09AUG17	S 1 PD09NOV17	2038.50	2116.11	USD	-1,940.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AG

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
09AUG17	S 1 PD09NOV17	2036.50	2116.11	USD	-1,990.25	
11AUG17	B 4 PD09NOV17	2042.56	2116.11	USD	7,355.00	
	NET 0 AVG BGHT 2038.47 SOLD 2034.85				**-906.00**	**0.00**
11AUG17	S 1 PD10NOV17	2038.50	2116.46	USD	-1,949.00	
15AUG17	B 1 PD10NOV17	2048.23	2116.46	USD	1,705.75	
	NET 0 AVG BGHT 2048.23 SOLD 2038.50				**-243.25**	**0.00**
14AUG17	S 1 PD14NOV17	2029.00	2117.89	USD	-2,222.25	
14AUG17	S 1 PD14NOV17	2033.00	2117.89	USD	-2,122.25	
14AUG17	S 2 PD14NOV17	2033.50	2117.89	USD	-4,219.50	
15AUG17	B 4 PD14NOV17	2049.01	2117.89	USD	6,888.00	
	NET 0 AVG BGHT 2049.01 SOLD 2032.25				**-1,676.00**	**0.00**
15AUG17	S 2 PD15NOV17	2049.00	2118.25	USD	-3,462.50	
18AUG17	B 2 PD15NOV17	2062.45	2118.25	USD	2,790.00	
	NET 0 AVG BGHT 2062.45 SOLD 2049.00				**-672.50**	**0.00**
16AUG17	B 2 PD16NOV17	2098.00	2118.52	USD	1,026.00	
16AUG17	B 1 PD16NOV17	2095.00	2118.52	USD	588.00	
16AUG17	B 1 PD16NOV17	2096.00	2118.52	USD	563.00	
16AUG17	B 2 PD16NOV17	2094.00	2118.52	USD	1,226.00	
08SEP17	S 6 PD16NOV17	2094.38	2118.52	USD	-3,621.00	
	NET 0 AVG BGHT 2095.83 SOLD 2094.38				**-218.00**	**0.00**
17AUG17	B 2 PD17NOV17	2096.00	2118.79	USD	1,139.50	
17AUG17	S 2 PD17NOV17	2101.00	2118.79	USD	-889.50	
17AUG17	S 2 PD17NOV17	2106.00	2118.79	USD	-639.50	
17AUG17	B 1 PD17NOV17	2067.50	2118.79	USD	1,282.25	
17AUG17	S 1 PD17NOV17	2071.00	2118.79	USD	-1,194.75	
18AUG17	S 2 PD17NOV17	2071.00	2118.79	USD	-2,389.50	
18AUG17	B 2 PD17NOV17	2062.20	2118.79	USD	2,829.50	
23AUG17	B 2 PD17NOV17	2096.11	2118.79	USD	1,134.00	
	NET 0 AVG BGHT 2082.30 SOLD 2089.57				**1,272.00**	**0.00**
23AUG17	S 1 PD24NOV17	2067.50	2120.69	USD	-1,329.75	
23AUG17	S 1 PD24NOV17	2068.50	2120.69	USD	-1,304.75	
23AUG17	S 1 PD24NOV17	2068.00	2120.69	USD	-1,317.25	
24AUG17	S 4 PD24NOV17	2095.00	2120.69	USD	-2,569.00	
24AUG17	S 1 PD24NOV17	2102.00	2120.69	USD	-467.25	
24AUG17	S 3 PD24NOV17	2101.50	2120.69	USD	-1,439.25	
24AUG17	B 3 PD24NOV17	2106.20	2120.69	USD	1,086.75	
25AUG17	B 8 PD24NOV17	2070.20	2120.69	USD	10,098.00	
	NET 0 AVG BGHT 2080.02 SOLD 2090.05				**2,757.50**	**0.00**
01SEP17	B 2 PD01DEC17	2140.00	2122.59	USD	-870.50	
01SEP17	B 2 PD01DEC17	2130.00	2122.59	USD	-370.50	
08SEP17	S 4 PD01DEC17	2097.25	2122.59	USD	-2,534.00	
	NET 0 AVG BGHT 2135.00 SOLD 2097.25				**-3,775.00**	**0.00**
04SEP17	S 2 PD04DEC17	2125.00	2123.41	USD	79.50	
08SEP17	B 2 PD04DEC17	2098.20	2123.41	USD	1,260.50	
	NET 0 AVG BGHT 2098.20 SOLD 2125.00				**1,340.00**	**0.00**
06SEP17	S 1 PD06DEC17	2108.00	2123.95	USD	-398.75	
06SEP17	S 1 PD06DEC17	2108.50	2123.95	USD	-386.25	
08SEP17	B 2 PD06DEC17	2098.70	2123.95	USD	1,262.50	
	NET 0 AVG BGHT 2098.70 SOLD 2108.25				**477.50**	**0.00**
07SEP17	S 1 PD07DEC17	2091.00	2124.22	USD	-830.50	
07SEP17	S 1 PD07DEC17	2091.50	2124.22	USD	-818.00	
07SEP17	S 2 PD07DEC17	2110.00	2124.22	USD	-711.00	
07SEP17	S 2 PD07DEC17	2109.50	2124.22	USD	-736.00	
08SEP17	B 6 PD07DEC17	2098.95	2124.22	USD	3,790.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AG

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.								
	NET	**0**	**AVG BGHT 2098.95**	**SOLD 2103.58**				**695.00**	**0.00**
08SEP17	S	2	PD08DEC17		2097.50	2124.49	USD	-1,349.50	
08SEP17	S	2	PD08DEC17		2090.00	2124.49	USD	-1,724.50	
13SEP17	B	4	PD08DEC17		2108.66	2124.49	USD	1,583.00	
	NET	**0**	**AVG BGHT 2108.66**	**SOLD 2093.75**				**-1,491.00**	**0.00**
11SEP17	B	1	PD11DEC17		2103.00	2125.31	USD	557.75	
11SEP17	B	2	PD11DEC17		2102.00	2125.31	USD	1,165.50	
11SEP17	B	3	PD11DEC17		2102.50	2125.31	USD	1,710.75	
11SEP17	B	1	PD11DEC17		2109.00	2125.31	USD	407.75	
11SEP17	B	1	PD11DEC17		2109.50	2125.31	USD	395.25	
11SEP17	B	1	PD11DEC17		2123.00	2125.31	USD	57.75	
11SEP17	B	1	PD11DEC17		2122.50	2125.31	USD	70.25	
13SEP17	S	4	PD11DEC17		2109.26	2125.31	USD	-1,605.00	
15SEP17	S	5	PD11DEC17		2085.00	2125.31	USD	-5,038.75	
20SEP17	S	1	PD11DEC17		2174.49	2125.31	USD	1,229.50	
	NET	**0**	**AVG BGHT 2107.85**	**SOLD 2103.65**				**-1,049.25**	**0.00**
12SEP17	B	2	PD12DEC17		2116.00	2125.58	USD	479.00	
12SEP17	B	3	PD12DEC17		2115.50	2125.58	USD	756.00	
20SEP17	S	5	PD12DEC17		2174.77	2125.58	USD	6,148.75	
	NET	**0**	**AVG BGHT 2115.70**	**SOLD 2174.77**				**7,383.75**	**0.00**
13SEP17	S	5	PD13DEC17		2131.00	2125.85	USD	643.75	
15SEP17	B	5	PD13DEC17		2085.70	2125.85	USD	5,018.75	
	NET	**0**	**AVG BGHT 2085.70**	**SOLD 2131.00**				**5,662.50**	**0.00**
14SEP17	B	1	PD14DEC17		2116.00	2126.12	USD	253.00	
14SEP17	B	3	PD14DEC17		2115.50	2126.12	USD	796.50	
14SEP17	B	1	PD14DEC17		2115.00	2126.12	USD	278.00	
20SEP17	S	5	PD14DEC17		2175.33	2126.12	USD	6,151.25	
	NET	**0**	**AVG BGHT 2115.50**	**SOLD 2175.33**				**7,478.75**	**0.00**
15SEP17	S	3	PD15DEC17		2092.00	2126.39	USD	-2,579.25	
15SEP17	S	2	PD15DEC17		2087.00	2126.39	USD	-1,969.50	
15SEP17	S	1	PD15DEC17		2084.50	2126.39	USD	-1,047.25	
15SEP17	B	1	PD15DEC17		2086.00	2126.39	USD	1,009.75	
15SEP17	S	2	PD15DEC17		2090.00	2126.39	USD	-1,819.50	
15SEP17	S	1	PD15DEC17		2090.50	2126.39	USD	-897.25	
15SEP17	S	1	PD15DEC17		2096.00	2126.39	USD	-759.75	
15SEP17	S	2	PD15DEC17		2095.50	2126.39	USD	-1,544.50	
20SEP17	B	11	PD15DEC17		2175.81	2126.39	USD	-13,590.50	
	NET	**0**	**AVG BGHT 2168.33**	**SOLD 2091.00**				**-23,197.75**	**0.00**
18SEP17	B	1	PD18DEC17		2092.00	2127.21	USD	880.25	
18SEP17	B	2	PD18DEC17		2091.50	2127.21	USD	1,785.50	
18SEP17	B	1	PD18DEC17		2092.50	2127.21	USD	867.75	
20SEP17	S	4	PD18DEC17		2176.44	2127.21	USD	4,923.00	
	NET	**0**	**AVG BGHT 2091.88**	**SOLD 2176.44**				**8,456.50**	**0.00**
19SEP17	S	1	PD19DEC17		2127.00	2127.48	USD	-12.00	
19SEP17	S	3	PD19DEC17		2126.50	2127.48	USD	-73.50	
19SEP17	S	1	PD19DEC17		2124.00	2127.48	USD	-87.00	
19SEP17	B	1	PD19DEC17		2124.00	2127.48	USD	87.00	
20SEP17	B	4	PD19DEC17		2176.92	2127.48	USD	-4,944.00	
	NET	**0**	**AVG BGHT 2166.34**	**SOLD 2126.10**				**-5,029.50**	**0.00**
20SEP17	B	3	PD20DEC17		2127.00	2127.75	USD	56.25	
20SEP17	B	2	PD20DEC17		2127.50	2127.75	USD	12.50	
20SEP17	B	1	PD20DEC17		2149.00	2127.75	USD	-531.25	
20SEP17	B	1	PD20DEC17		2150.00	2127.75	USD	-556.25	
20SEP17	B	1	PD20DEC17		2153.00	2127.75	USD	-631.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AG

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
20SEP17	B 1 PD20DEC17	2157.00	2127.75	USD	-731.25	
20SEP17	B 1 PD20DEC17	2158.50	2127.75	USD	-768.75	
20SEP17	B 3 PD20DEC17	2163.00	2127.75	USD	-2,643.75	
20SEP17	B 1 PD20DEC17	2163.50	2127.75	USD	-893.75	
20SEP17	B 1 PD20DEC17	2164.00	2127.75	USD	-906.25	
20SEP17	B 1 PD20DEC17	2165.00	2127.75	USD	-931.25	
20SEP17	S 2 PD20DEC17	2163.00	2127.75	USD	1,762.50	
20SEP17	S 4 PD20DEC17	2162.50	2127.75	USD	3,475.00	
22SEP17	S 10 PD20DEC17	2158.75	2127.75	USD	7,750.00	
	NET 0 AVG BGHT 2149.06 SOLD 2160.22				**4,462.50**	**0.00**
21SEP17	S 1 PD21DEC17	2176.50	2127.98	USD	1,213.00	
21SEP17	S 4 PD21DEC17	2177.00	2127.98	USD	4,902.00	
21SEP17	S 1 PD21DEC17	2180.50	2127.98	USD	1,313.00	
21SEP17	S 2 PD21DEC17	2177.50	2127.98	USD	2,476.00	
21SEP17	S 1 PD21DEC17	2178.00	2127.98	USD	1,250.50	
21SEP17	B 1 PD21DEC17	2179.00	2127.98	USD	-1,275.50	
21SEP17	B 1 PD21DEC17	2182.00	2127.98	USD	-1,350.50	
21SEP17	S 1 PD21DEC17	2178.50	2127.98	USD	1,263.00	
21SEP17	B 2 PD21DEC17	2172.00	2127.98	USD	-2,201.00	
21SEP17	B 1 PD21DEC17	2172.50	2127.98	USD	-1,113.00	
21SEP17	S 1 PD21DEC17	2168.50	2127.98	USD	1,013.00	
21SEP17	S 1 PD21DEC17	2165.50	2127.98	USD	938.00	
21SEP17	S 1 PD21DEC17	2165.00	2127.98	USD	925.50	
21SEP17	S 2 PD21DEC17	2164.00	2127.98	USD	1,801.00	
22SEP17	B 10 PD21DEC17	2157.95	2127.98	USD	-7,492.50	
	NET 0 AVG BGHT 2163.80 SOLD 2173.57				**3,662.50**	**0.00**
27SEP17	S 2 PD27DEC17	2139.00	2129.38	USD	481.00	
28SEP17	B 2 PD27DEC17	2130.72	2129.38	USD	-67.00	
	NET 0 AVG BGHT 2130.72 SOLD 2139.00				**414.00**	**0.00**
28SEP17	B 1 PD28DEC17	2128.00	2129.61	USD	40.25	
28SEP17	B 1 PD28DEC17	2121.00	2129.61	USD	215.25	
28SEP17	S 2 PD28DEC17	2130.80	2129.61	USD	59.50	
	NET 0 AVG BGHT 2124.50 SOLD 2130.80				**315.00**	**0.00**
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
13JUL17	B 1 PD13OCT17	5922.00	6478.90	USD	13,922.50	
13JUL17	B 1 PD13OCT17	5876.50	6478.90	USD	15,060.00	
13JUL17	B 1 PD13OCT17	5872.50	6478.90	USD	15,160.00	
14JUL17	B 1 PD13OCT17	5882.50	6478.90	USD	14,910.00	
14JUL17	B 1 PD13OCT17	5883.50	6478.90	USD	14,885.00	
14JUL17	B 1 PD13OCT17	5886.50	6478.90	USD	14,810.00	
14JUL17	S 1 PD13OCT17	5886.50	6478.90	USD	-14,810.00	
14JUL17	B 1 PD13OCT17	5889.00	6478.90	USD	14,747.50	
14JUL17	B 1 PD13OCT17	5891.00	6478.90	USD	14,697.50	
14JUL17	S 1 PD13OCT17	5929.00	6478.90	USD	-13,747.50	
14JUL17	S 1 PD13OCT17	5930.50	6478.90	USD	-13,710.00	
18JUL17	S 5 PD13OCT17	6004.93	6478.90	USD	-59,246.25	
	NET 0 AVG BGHT 5887.94 SOLD 5971.33				**16,678.75**	**0.00**
17JUL17	B 3 PD17OCT17	5950.50	6483.98	USD	40,011.00	
17JUL17	S 3 PD17OCT17	5981.00	6483.98	USD	-37,723.50	
17JUL17	S 1 PD17OCT17	5921.50	6483.98	USD	-14,062.00	
17JUL17	S 1 PD17OCT17	5981.50	6483.98	USD	-12,562.00	
17JUL17	S 1 PD17OCT17	5980.00	6483.98	USD	-12,599.50	
17JUL17	S 2 PD17OCT17	5917.50	6483.98	USD	-28,324.00	
17JUL17	S 1 PD17OCT17	5964.50	6483.98	USD	-12,987.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AG

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
17JUL17	S 1 PD17OCT17	6019.00	6483.98	USD	-11,624.50	
18JUL17	B 5 PD17OCT17	6006.79	6483.98	USD	59,648.75	
20JUL17	B 2 PD17OCT17	5960.08	6483.98	USD	26,195.00	
	NET 0 AVG BGHT 5980.56 SOLD 5964.45				**-4,027.75**	**0.00**
19JUL17	B 1 PD19OCT17	6000.00	6486.00	USD	12,150.00	
19JUL17	B 1 PD19OCT17	5993.00	6486.00	USD	12,325.00	
19JUL17	B 1 PD19OCT17	5995.50	6486.00	USD	12,262.50	
19JUL17	B 1 PD19OCT17	5997.50	6486.00	USD	12,212.50	
20JUL17	S 2 PD19OCT17	5959.30	6486.00	USD	-26,335.00	
24JUL17	S 2 PD19OCT17	6026.50	6486.00	USD	-22,975.00	
	NET 0 AVG BGHT 5996.50 SOLD 5992.90				**-360.00**	**0.00**
21JUL17	S 2 PD20OCT17	6015.00	6486.75	USD	-23,587.50	
24JUL17	B 2 PD20OCT17	6025.70	6486.75	USD	23,052.50	
	NET 0 AVG BGHT 6025.70 SOLD 6015.00				**-535.00**	**0.00**
24JUL17	S 2 PD24OCT17	6024.50	6489.75	USD	-23,262.50	
11AUG17	B 2 PD24OCT17	6404.52	6489.75	USD	4,261.50	
	NET 0 AVG BGHT 6404.52 SOLD 6024.50				**-19,001.00**	**0.00**
25JUL17	S 1 PD25OCT17	6050.00	6490.29	USD	-11,007.25	
25JUL17	S 1 PD25OCT17	6232.00	6490.29	USD	-6,457.25	
25JUL17	S 1 PD25OCT17	6227.50	6490.29	USD	-6,569.75	
25JUL17	S 1 PD25OCT17	6279.50	6490.29	USD	-5,269.75	
25JUL17	B 1 PD25OCT17	6265.00	6490.29	USD	5,632.25	
11AUG17	B 3 PD25OCT17	6404.90	6490.29	USD	6,404.25	
	NET 0 AVG BGHT 6369.93 SOLD 6197.25				**-17,267.50**	**0.00**
26JUL17	S 1 PD26OCT17	6366.00	6490.82	USD	-3,120.50	
26JUL17	B 1 PD26OCT17	6296.50	6490.82	USD	4,858.00	
	NET 0 AVG BGHT 6296.50 SOLD 6366.00				**1,737.50**	**0.00**
07AUG17	B 1 PD07NOV17	6425.50	6497.66	USD	1,804.00	
11AUG17	S 1 PD07NOV17	6409.66	6497.66	USD	-2,200.00	
	NET 0 AVG BGHT 6425.50 SOLD 6409.66				**-396.00**	**0.00**
08AUG17	B 1 PD08NOV17	6436.00	6498.25	USD	1,556.25	
08AUG17	B 1 PD08NOV17	6409.50	6498.25	USD	2,218.75	
08AUG17	B 1 PD08NOV17	6418.00	6498.25	USD	2,006.25	
08AUG17	B 1 PD08NOV17	6432.00	6498.25	USD	1,656.25	
11AUG17	S 4 PD08NOV17	6410.04	6498.25	USD	-8,821.00	
	NET 0 AVG BGHT 6423.88 SOLD 6410.04				**-1,383.50**	**0.00**
09AUG17	B 4 PD09NOV17	6486.50	6498.71	USD	1,221.00	
09AUG17	B 1 PD09NOV17	6509.50	6498.71	USD	-269.75	
09AUG17	S 1 PD09NOV17	6439.50	6498.71	USD	-1,480.25	
15AUG17	S 4 PD09NOV17	6377.57	6498.71	USD	-12,114.00	
	NET 0 AVG BGHT 6491.10 SOLD 6389.96				**-12,643.00**	**0.00**
10AUG17	B 1 PD10NOV17	6458.50	6499.18	USD	1,017.00	
10AUG17	S 1 PD10NOV17	6458.00	6499.18	USD	-1,029.50	
11AUG17	B 1 PD10NOV17	6389.00	6499.18	USD	2,754.50	
11AUG17	B 1 PD10NOV17	6387.50	6499.18	USD	2,792.00	
11AUG17	S 1 PD10NOV17	6361.00	6499.18	USD	-3,454.50	
11AUG17	S 1 PD10NOV17	6360.50	6499.18	USD	-3,467.00	
11AUG17	S 1 PD10NOV17	6360.00	6499.18	USD	-3,479.50	
11AUG17	S 1 PD10NOV17	6361.50	6499.18	USD	-3,442.00	
11AUG17	S 1 PD10NOV17	6350.00	6499.18	USD	-3,729.50	
11AUG17	S 1 PD10NOV17	6346.50	6499.18	USD	-3,817.00	
11AUG17	S 1 PD10NOV17	6348.50	6499.18	USD	-3,767.00	
11AUG17	S 2 PD10NOV17	6363.50	6499.18	USD	-6,784.00	
11AUG17	S 1 PD10NOV17	6369.00	6499.18	USD	-3,254.50	
11AUG17	S 1 PD10NOV17	6365.00	6499.18	USD	-3,354.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AG

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
11AUG17	S 1 PD10NOV17	6366.00	6499.18	USD	-3,329.50	
11AUG17	S 1 PD10NOV17	6364.00	6499.18	USD	-3,379.50	
11AUG17	S 1 PD10NOV17	6351.50	6499.18	USD	-3,692.00	
11AUG17	S 1 PD10NOV17	6421.00	6499.18	USD	-1,954.50	
11AUG17	S 1 PD10NOV17	6422.00	6499.18	USD	-1,929.50	
11AUG17	S 1 PD10NOV17	6415.50	6499.18	USD	-2,092.00	
15AUG17	B 4 PD10NOV17	6378.20	6499.18	USD	12,098.00	
18AUG17	B 10 PD10NOV17	6486.75	6499.18	USD	3,107.50	
23AUG17	B 1 PD10NOV17	6564.00	6499.18	USD	-1,620.50	
	NET 0 AVG BGHT 6454.41 SOLD 6374.83				**-35,807.50**	**0.00**
14AUG17	S 1 PD14NOV17	6405.00	6501.04	USD	-2,401.00	
14AUG17	S 1 PD14NOV17	6412.50	6501.04	USD	-2,213.50	
14AUG17	S 3 PD14NOV17	6413.00	6501.04	USD	-6,603.00	
14AUG17	S 1 PD14NOV17	6420.50	6501.04	USD	-2,013.50	
14AUG17	S 1 PD14NOV17	6420.00	6501.04	USD	-2,026.00	
14AUG17	S 2 PD14NOV17	6421.00	6501.04	USD	-4,002.00	
14AUG17	S 1 PD14NOV17	6411.00	6501.04	USD	-2,251.00	
14AUG17	S 2 PD14NOV17	6402.50	6501.04	USD	-4,927.00	
14AUG17	S 1 PD14NOV17	6404.00	6501.04	USD	-2,426.00	
14AUG17	S 2 PD14NOV17	6416.00	6501.04	USD	-4,252.00	
23AUG17	B 2 PD14NOV17	6565.50	6501.04	USD	-3,223.00	
24AUG17	B 13 PD14NOV17	6688.50	6501.04	USD	-60,924.50	
	NET 0 AVG BGHT 6672.10 SOLD 6412.73				**-97,262.50**	**0.00**
15AUG17	B 1 PD15NOV17	6417.50	6501.50	USD	2,100.00	
15AUG17	B 1 PD15NOV17	6414.00	6501.50	USD	2,187.50	
15AUG17	B 1 PD15NOV17	6414.50	6501.50	USD	2,175.00	
15AUG17	S 1 PD15NOV17	6380.00	6501.50	USD	-3,037.50	
18AUG17	S 2 PD15NOV17	6487.80	6501.50	USD	-685.00	
	NET 0 AVG BGHT 6415.33 SOLD 6451.87				**2,740.00**	**0.00**
16AUG17	B 1 PD16NOV17	6384.50	6501.00	USD	2,912.50	
16AUG17	S 1 PD16NOV17	6441.50	6501.00	USD	-1,487.50	
16AUG17	B 1 PD16NOV17	6529.00	6501.00	USD	-700.00	
18AUG17	S 1 PD16NOV17	6485.80	6501.00	USD	-380.00	
	NET 0 AVG BGHT 6456.75 SOLD 6463.65				**345.00**	**0.00**
17AUG17	B 1 PD17NOV17	6574.00	6501.60	USD	-1,810.00	
17AUG17	B 1 PD17NOV17	6575.00	6501.60	USD	-1,835.00	
17AUG17	B 1 PD17NOV17	6574.50	6501.60	USD	-1,822.50	
17AUG17	B 2 PD17NOV17	6494.50	6501.60	USD	355.00	
17AUG17	B 1 PD17NOV17	6484.00	6501.60	USD	440.00	
17AUG17	B 1 PD17NOV17	6474.00	6501.60	USD	690.00	
18AUG17	B 1 PD17NOV17	6474.00	6501.60	USD	690.00	
18AUG17	B 2 PD17NOV17	6461.50	6501.60	USD	2,005.00	
18AUG17	S 7 PD17NOV17	6485.80	6501.60	USD	-2,765.00	
23AUG17	S 3 PD17NOV17	6563.49	6501.60	USD	4,641.75	
	NET 0 AVG BGHT 6506.75 SOLD 6509.11				**589.25**	**0.00**
21AUG17	B 1 PD21NOV17	6518.00	6504.00	USD	-350.00	
21AUG17	B 1 PD21NOV17	6518.50	6504.00	USD	-362.50	
21AUG17	B 2 PD21NOV17	6535.00	6504.00	USD	-1,550.00	
21AUG17	B 2 PD21NOV17	6534.00	6504.00	USD	-1,500.00	
21AUG17	B 1 PD21NOV17	6543.00	6504.00	USD	-975.00	
21AUG17	B 1 PD21NOV17	6555.50	6504.00	USD	-1,287.50	
21AUG17	B 1 PD21NOV17	6558.00	6504.00	USD	-1,350.00	
21AUG17	B 1 PD21NOV17	6571.00	6504.00	USD	-1,675.00	
21AUG17	B 1 PD21NOV17	6589.00	6504.00	USD	-2,125.00	
21AUG17	B 1 PD21NOV17	6591.50	6504.00	USD	-2,187.50	
21AUG17	B 1 PD21NOV17	6582.50	6504.00	USD	-1,962.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AG

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
21AUG17	B 3 PD21NOV17	6563.00	6504.00	USD	-4,425.00	
21AUG17	B 1 PD21NOV17	6561.50	6504.00	USD	-1,437.50	
21AUG17	B 1 PD21NOV17	6562.50	6504.00	USD	-1,462.50	
21AUG17	B 1 PD21NOV17	6564.50	6504.00	USD	-1,512.50	
21AUG17	B 2 PD21NOV17	6567.50	6504.00	USD	-3,175.00	
21AUG17	B 1 PD21NOV17	6569.50	6504.00	USD	-1,637.50	
21AUG17	B 1 PD21NOV17	6590.50	6504.00	USD	-2,162.50	
21AUG17	B 1 PD21NOV17	6591.00	6504.00	USD	-2,175.00	
21AUG17	B 1 PD21NOV17	6585.00	6504.00	USD	-2,025.00	
21AUG17	B 1 PD21NOV17	6567.00	6504.00	USD	-1,575.00	
21AUG17	B 2 PD21NOV17	6565.50	6504.00	USD	-3,075.00	
21AUG17	B 2 PD21NOV17	6569.00	6504.00	USD	-3,250.00	
21AUG17	B 2 PD21NOV17	6568.50	6504.00	USD	-3,225.00	
21AUG17	B 1 PD21NOV17	6599.00	6504.00	USD	-2,375.00	
21AUG17	B 1 PD21NOV17	6619.50	6504.00	USD	-2,887.50	
21AUG17	B 1 PD21NOV17	6622.50	6504.00	USD	-2,962.50	
21AUG17	S 1 PD21NOV17	6578.50	6504.00	USD	1,862.50	
24AUG17	S 13 PD21NOV17	6687.24	6504.00	USD	59,553.00	
25AUG17	S 16 PD21NOV17	6665.63	6504.00	USD	64,652.00	
04SEP17	S 5 PD21NOV17	6911.92	6504.00	USD	50,990.00	
	NET 0 AVG BGHT 6566.50 SOLD 6706.35				**122,370.00**	**0.00**
22AUG17	B 1 PD22NOV17	6591.50	6504.60	USD	-2,172.50	
22AUG17	B 1 PD22NOV17	6617.00	6504.60	USD	-2,810.00	
22AUG17	B 1 PD22NOV17	6646.00	6504.60	USD	-3,535.00	
22AUG17	S 1 PD22NOV17	6607.50	6504.60	USD	2,572.50	
22AUG17	S 1 PD22NOV17	6611.50	6504.60	USD	2,672.50	
04SEP17	S 1 PD22NOV17	6912.25	6504.60	USD	10,191.25	
	NET 0 AVG BGHT 6618.17 SOLD 6710.42				**6,918.75**	**0.00**
24AUG17	B 1 PD24NOV17	6613.50	6505.80	USD	-2,692.50	
24AUG17	S 1 PD24NOV17	6608.00	6505.80	USD	2,555.00	
24AUG17	B 1 PD24NOV17	6628.50	6505.80	USD	-3,067.50	
24AUG17	B 1 PD24NOV17	6635.50	6505.80	USD	-3,242.50	
24AUG17	B 1 PD24NOV17	6625.00	6505.80	USD	-2,980.00	
24AUG17	S 1 PD24NOV17	6602.50	6505.80	USD	2,417.50	
24AUG17	S 1 PD24NOV17	6615.00	6505.80	USD	2,730.00	
24AUG17	S 1 PD24NOV17	6621.50	6505.80	USD	2,892.50	
24AUG17	S 1 PD24NOV17	6629.50	6505.80	USD	3,092.50	
24AUG17	S 1 PD24NOV17	6631.00	6505.80	USD	3,130.00	
24AUG17	S 1 PD24NOV17	6665.50	6505.80	USD	3,992.50	
24AUG17	S 1 PD24NOV17	6683.00	6505.80	USD	4,430.00	
24AUG17	S 1 PD24NOV17	6676.50	6505.80	USD	4,267.50	
24AUG17	S 1 PD24NOV17	6682.50	6505.80	USD	4,417.50	
24AUG17	S 1 PD24NOV17	6680.00	6505.80	USD	4,355.00	
24AUG17	S 1 PD24NOV17	6699.00	6505.80	USD	4,830.00	
24AUG17	S 1 PD24NOV17	6624.50	6505.80	USD	2,967.50	
24AUG17	S 1 PD24NOV17	6708.50	6505.80	USD	5,067.50	
24AUG17	S 1 PD24NOV17	6710.00	6505.80	USD	5,105.00	
24AUG17	S 1 PD24NOV17	6709.00	6505.80	USD	5,080.00	
24AUG17	S 1 PD24NOV17	6713.50	6505.80	USD	5,192.50	
24AUG17	S 1 PD24NOV17	6697.50	6505.80	USD	4,792.50	
24AUG17	S 1 PD24NOV17	6696.50	6505.80	USD	4,767.50	
24AUG17	S 1 PD24NOV17	6698.00	6505.80	USD	4,805.00	
25AUG17	S 1 PD24NOV17	6705.50	6505.80	USD	4,992.50	
25AUG17	S 1 PD24NOV17	6701.50	6505.80	USD	4,892.50	
25AUG17	S 1 PD24NOV17	6730.50	6505.80	USD	5,617.50	
25AUG17	S 1 PD24NOV17	6725.00	6505.80	USD	5,480.00	
25AUG17	S 1 PD24NOV17	6724.00	6505.80	USD	5,455.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AG

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT							
25AUG17	B	16	PD24NOV17	6666.20	6505.80	USD	-64,160.00	
04SEP17	B	5	PD24NOV17	6913.24	6505.80	USD	-50,930.00	
	NET	**0**	**AVG BGHT 6709.12 SOLD 6677.52**				**-19,747.50**	**0.00**
29AUG17	S	1	PD29NOV17	6810.50	6508.80	USD	7,542.50	
29AUG17	S	1	PD29NOV17	6816.00	6508.80	USD	7,680.00	
29AUG17	S	1	PD29NOV17	6804.50	6508.80	USD	7,392.50	
29AUG17	S	1	PD29NOV17	6830.00	6508.80	USD	8,030.00	
29AUG17	S	1	PD29NOV17	6841.00	6508.80	USD	8,305.00	
04SEP17	B	1	PD29NOV17	6915.22	6508.80	USD	-10,160.50	
04SEP17	B	4	PD29NOV17	6915.02	6508.80	USD	-40,622.00	
	NET	**0**	**AVG BGHT 6915.06 SOLD 6820.40**				**-11,832.50**	**0.00**
30AUG17	B	1	PD30NOV17	6815.00	6509.40	USD	-7,640.00	
31AUG17	S	1	PD30NOV17	6804.50	6509.40	USD	7,377.50	
31AUG17	B	1	PD30NOV17	6805.50	6509.40	USD	-7,402.50	
04SEP17	S	1	PD30NOV17	6915.22	6509.40	USD	10,145.50	
	NET	**0**	**AVG BGHT 6810.25 SOLD 6859.86**				**2,480.50**	**0.00**
01SEP17	B	1	PD01DEC17	6813.50	6510.00	USD	-7,587.50	
01SEP17	B	1	PD01DEC17	6812.50	6510.00	USD	-7,562.50	
01SEP17	B	1	PD01DEC17	6812.00	6510.00	USD	-7,550.00	
04SEP17	S	3	PD01DEC17	6915.61	6510.00	USD	30,420.75	
	NET	**0**	**AVG BGHT 6812.67 SOLD 6915.61**				**7,720.75**	**0.00**
04SEP17	B	1	PD04DEC17	6901.50	6511.29	USD	-9,755.25	
04SEP17	B	1	PD04DEC17	6899.50	6511.29	USD	-9,705.25	
04SEP17	B	1	PD04DEC17	6902.00	6511.29	USD	-9,767.75	
04SEP17	B	1	PD04DEC17	6897.50	6511.29	USD	-9,655.25	
04SEP17	B	3	PD04DEC17	6907.00	6511.29	USD	-29,678.25	
04SEP17	B	2	PD04DEC17	6900.00	6511.29	USD	-19,435.50	
04SEP17	B	4	PD04DEC17	6898.50	6511.29	USD	-38,721.00	
04SEP17	B	1	PD04DEC17	6894.50	6511.29	USD	-9,580.25	
04SEP17	B	1	PD04DEC17	6895.00	6511.29	USD	-9,592.75	
04SEP17	B	1	PD04DEC17	6912.50	6511.29	USD	-10,030.25	
08SEP17	S	16	PD04DEC17	6692.01	6511.29	USD	72,288.00	
	NET	**0**	**AVG BGHT 6901.09 SOLD 6692.01**				**-83,633.50**	**0.00**
05SEP17	S	1	PD05DEC17	6927.50	6511.71	USD	10,394.75	
05SEP17	B	1	PD05DEC17	6937.50	6511.71	USD	-10,644.75	
05SEP17	B	2	PD05DEC17	6938.50	6511.71	USD	-21,339.50	
05SEP17	S	3	PD05DEC17	6932.00	6511.71	USD	31,521.75	
05SEP17	S	2	PD05DEC17	6932.50	6511.71	USD	21,039.50	
05SEP17	S	1	PD05DEC17	6939.50	6511.71	USD	10,694.75	
05SEP17	S	1	PD05DEC17	6943.50	6511.71	USD	10,794.75	
05SEP17	B	1	PD05DEC17	6955.00	6511.71	USD	-11,082.25	
05SEP17	B	1	PD05DEC17	6955.50	6511.71	USD	-11,094.75	
05SEP17	B	1	PD05DEC17	6958.00	6511.71	USD	-11,157.25	
05SEP17	B	2	PD05DEC17	6959.00	6511.71	USD	-22,364.50	
05SEP17	B	2	PD05DEC17	6957.00	6511.71	USD	-22,264.50	
05SEP17	B	1	PD05DEC17	6917.00	6511.71	USD	-10,132.25	
05SEP17	B	1	PD05DEC17	6905.50	6511.71	USD	-9,844.75	
05SEP17	B	1	PD05DEC17	6898.50	6511.71	USD	-9,669.75	
05SEP17	B	1	PD05DEC17	6885.00	6511.71	USD	-9,332.25	
05SEP17	B	2	PD05DEC17	6886.00	6511.71	USD	-18,714.50	
05SEP17	B	1	PD05DEC17	6836.00	6511.71	USD	-8,107.25	
08SEP17	S	5	PD05DEC17	6692.50	6511.71	USD	22,598.75	
13SEP17	S	4	PD05DEC17	6550.17	6511.71	USD	3,846.00	
	NET	**0**	**AVG BGHT 6925.24 SOLD 6772.63**				**-64,858.00**	**0.00**
06SEP17	B	1	PD06DEC17	6900.00	6512.14	USD	-9,696.50	
06SEP17	S	1	PD06DEC17	6904.00	6512.14	USD	9,796.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AG

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
06SEP17	S 1 PD06DEC17	6906.00	6512.14	USD	9,846.50	
06SEP17	S 1 PD06DEC17	6908.00	6512.14	USD	9,896.50	
06SEP17	S 3 PD06DEC17	6909.00	6512.14	USD	29,764.50	
06SEP17	S 1 PD06DEC17	6911.00	6512.14	USD	9,971.50	
06SEP17	S 1 PD06DEC17	6913.00	6512.14	USD	10,021.50	
06SEP17	S 2 PD06DEC17	6909.50	6512.14	USD	19,868.00	
06SEP17	S 1 PD06DEC17	6911.50	6512.14	USD	9,984.00	
06SEP17	S 2 PD06DEC17	6912.00	6512.14	USD	19,993.00	
06SEP17	S 3 PD06DEC17	6910.50	6512.14	USD	29,877.00	
06SEP17	S 1 PD06DEC17	6908.50	6512.14	USD	9,909.00	
06SEP17	S 2 PD06DEC17	6910.00	6512.14	USD	19,893.00	
06SEP17	B 1 PD06DEC17	6895.00	6512.14	USD	-9,571.50	
06SEP17	B 3 PD06DEC17	6894.50	6512.14	USD	-28,677.00	
06SEP17	B 1 PD06DEC17	6897.50	6512.14	USD	-9,634.00	
06SEP17	B 2 PD06DEC17	6897.00	6512.14	USD	-19,243.00	
06SEP17	B 2 PD06DEC17	6899.00	6512.14	USD	-19,343.00	
06SEP17	B 1 PD06DEC17	6897.50	6512.14	USD	-9,634.00	
06SEP17	B 1 PD06DEC17	6897.00	6512.14	USD	-9,621.50	
08SEP17	B 7 PD06DEC17	6692.87	6512.14	USD	-31,627.75	
	NET 0 AVG BGHT 6821.72 SOLD 6909.66				**41,772.75**	**0.00**
07SEP17	S 1 PD07DEC17	6867.00	6512.57	USD	8,860.75	
07SEP17	S 1 PD07DEC17	6868.00	6512.57	USD	8,885.75	
07SEP17	S 1 PD07DEC17	6868.50	6512.57	USD	8,898.25	
07SEP17	S 1 PD07DEC17	6870.50	6512.57	USD	8,948.25	
07SEP17	S 1 PD07DEC17	6869.00	6512.57	USD	8,910.75	
07SEP17	S 1 PD07DEC17	6875.50	6512.57	USD	9,073.25	
07SEP17	S 2 PD07DEC17	6879.00	6512.57	USD	18,321.50	
07SEP17	S 1 PD07DEC17	6859.00	6512.57	USD	8,660.75	
07SEP17	B 1 PD07DEC17	6895.00	6512.57	USD	-9,560.75	
07SEP17	B 1 PD07DEC17	6907.50	6512.57	USD	-9,873.25	
07SEP17	B 1 PD07DEC17	6905.50	6512.57	USD	-9,823.25	
07SEP17	B 1 PD07DEC17	6906.00	6512.57	USD	-9,835.75	
07SEP17	B 1 PD07DEC17	6904.00	6512.57	USD	-9,785.75	
07SEP17	B 1 PD07DEC17	6886.50	6512.57	USD	-9,348.25	
07SEP17	S 1 PD07DEC17	6883.00	6512.57	USD	9,260.75	
07SEP17	S 2 PD07DEC17	6880.00	6512.57	USD	18,371.50	
07SEP17	S 1 PD07DEC17	6876.00	6512.57	USD	9,085.75	
07SEP17	S 1 PD07DEC17	6885.00	6512.57	USD	9,310.75	
07SEP17	S 2 PD07DEC17	6881.00	6512.57	USD	18,421.50	
07SEP17	S 1 PD07DEC17	6884.00	6512.57	USD	9,285.75	
07SEP17	S 1 PD07DEC17	6884.50	6512.57	USD	9,298.25	
07SEP17	S 1 PD07DEC17	6901.50	6512.57	USD	9,723.25	
08SEP17	B 14 PD07DEC17	6693.03	6512.57	USD	-63,161.00	
13SEP17	S 1 PD07DEC17	6551.03	6512.57	USD	961.50	
	NET 0 AVG BGHT 6755.35 SOLD 6861.13				**52,890.25**	**0.00**
08SEP17	S 1 PD08DEC17	6899.50	6513.00	USD	9,662.50	
08SEP17	S 1 PD08DEC17	6895.00	6513.00	USD	9,550.00	
08SEP17	S 1 PD08DEC17	6895.50	6513.00	USD	9,562.50	
08SEP17	S 3 PD08DEC17	6898.00	6513.00	USD	28,875.00	
08SEP17	S 1 PD08DEC17	6887.00	6513.00	USD	9,350.00	
08SEP17	S 1 PD08DEC17	6875.00	6513.00	USD	9,050.00	
08SEP17	S 1 PD08DEC17	6865.00	6513.00	USD	8,800.00	
08SEP17	S 1 PD08DEC17	6845.50	6513.00	USD	8,312.50	
08SEP17	S 2 PD08DEC17	6821.00	6513.00	USD	15,400.00	
08SEP17	S 2 PD08DEC17	6823.50	6513.00	USD	15,525.00	
08SEP17	S 1 PD08DEC17	6870.00	6513.00	USD	8,925.00	
08SEP17	S 1 PD08DEC17	6798.50	6513.00	USD	7,137.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AG

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
08SEP17	S 1 PD08DEC17	6800.50	6513.00	USD	7,187.50	
08SEP17	S 1 PD08DEC17	6802.50	6513.00	USD	7,237.50	
08SEP17	S 1 PD08DEC17	6750.00	6513.00	USD	5,925.00	
08SEP17	S 1 PD08DEC17	6739.00	6513.00	USD	5,650.00	
08SEP17	B 1 PD08DEC17	6739.00	6513.00	USD	-5,650.00	
08SEP17	B 1 PD08DEC17	6740.50	6513.00	USD	-5,687.50	
08SEP17	B 1 PD08DEC17	6729.50	6513.00	USD	-5,412.50	
08SEP17	S 1 PD08DEC17	6706.00	6513.00	USD	4,825.00	
08SEP17	S 1 PD08DEC17	6701.00	6513.00	USD	4,700.00	
08SEP17	S 1 PD08DEC17	6693.00	6513.00	USD	4,500.00	
08SEP17	B 3 PD08DEC17	6684.50	6513.00	USD	-12,862.50	
08SEP17	B 1 PD08DEC17	6688.00	6513.00	USD	-4,375.00	
13SEP17	B 5 PD08DEC17	6551.66	6513.00	USD	-4,832.50	
13SEP17	B 2 PD08DEC17	6551.46	6513.00	USD	-1,923.00	
15SEP17	B 9 PD08DEC17	6504.10	6513.00	USD	2,002.50	
	NET 0 AVG BGHT 6580.37 SOLD 6826.35				**141,434.50**	**0.00**
11SEP17	B 1 PD11DEC17	6745.50	6514.19	USD	-5,782.75	
11SEP17	B 1 PD11DEC17	6750.00	6514.19	USD	-5,895.25	
13SEP17	S 2 PD11DEC17	6552.55	6514.19	USD	1,918.00	
	NET 0 AVG BGHT 6747.75 SOLD 6552.55				**-9,760.00**	**0.00**
12SEP17	B 3 PD12DEC17	6654.00	6514.58	USD	-10,456.50	
12SEP17	B 2 PD12DEC17	6658.50	6514.58	USD	-7,196.00	
12SEP17	B 2 PD12DEC17	6659.00	6514.58	USD	-7,221.00	
12SEP17	B 1 PD12DEC17	6659.50	6514.58	USD	-3,623.00	
12SEP17	B 1 PD12DEC17	6670.50	6514.58	USD	-3,898.00	
12SEP17	B 1 PD12DEC17	6655.00	6514.58	USD	-3,510.50	
12SEP17	B 1 PD12DEC17	6668.00	6514.58	USD	-3,835.50	
12SEP17	B 4 PD12DEC17	6667.00	6514.58	USD	-15,242.00	
12SEP17	B 2 PD12DEC17	6667.50	6514.58	USD	-7,646.00	
12SEP17	B 2 PD12DEC17	6666.50	6514.58	USD	-7,596.00	
15SEP17	S 9 PD12DEC17	6505.56	6514.58	USD	-2,029.50	
20SEP17	S 10 PD12DEC17	6524.06	6514.58	USD	2,370.00	
	NET 0 AVG BGHT 6662.42 SOLD 6515.30				**-69,884.00**	**0.00**
13SEP17	B 1 PD13DEC17	6562.00	6514.98	USD	-1,175.50	
13SEP17	B 1 PD13DEC17	6563.00	6514.98	USD	-1,200.50	
13SEP17	B 1 PD13DEC17	6562.50	6514.98	USD	-1,188.00	
13SEP17	B 1 PD13DEC17	6563.50	6514.98	USD	-1,213.00	
13SEP17	B 1 PD13DEC17	6565.00	6514.98	USD	-1,250.50	
13SEP17	B 3 PD13DEC17	6566.50	6514.98	USD	-3,864.00	
13SEP17	B 1 PD13DEC17	6564.50	6514.98	USD	-1,238.00	
13SEP17	B 1 PD13DEC17	6566.50	6514.98	USD	-1,288.00	
13SEP17	B 1 PD13DEC17	6540.00	6514.98	USD	-625.50	
13SEP17	B 1 PD13DEC17	6541.00	6514.98	USD	-650.50	
13SEP17	B 1 PD13DEC17	6543.00	6514.98	USD	-700.50	
13SEP17	B 2 PD13DEC17	6544.00	6514.98	USD	-1,451.00	
13SEP17	B 1 PD13DEC17	6546.00	6514.98	USD	-775.50	
13SEP17	B 2 PD13DEC17	6554.00	6514.98	USD	-1,951.00	
13SEP17	B 2 PD13DEC17	6556.50	6514.98	USD	-2,076.00	
20SEP17	S 17 PD13DEC17	6524.38	6514.98	USD	3,995.00	
28SEP17	S 3 PD13DEC17	6516.73	6514.98	USD	131.25	
	NET 0 AVG BGHT 6556.28 SOLD 6523.23				**-16,521.25**	**0.00**
14SEP17	B 2 PD14DEC17	6563.00	6515.38	USD	-2,381.00	
14SEP17	B 1 PD14DEC17	6561.00	6515.38	USD	-1,140.50	
14SEP17	B 1 PD14DEC17	6563.50	6515.38	USD	-1,203.00	
14SEP17	B 5 PD14DEC17	6524.50	6515.38	USD	-1,140.00	
14SEP17	B 1 PD14DEC17	6527.00	6515.38	USD	-290.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AG

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT							
14SEP17	B	1	PD14DEC17	6525.50	6515.38	USD	-253.00	
14SEP17	B	1	PD14DEC17	6502.00	6515.38	USD	334.50	
14SEP17	B	1	PD14DEC17	6494.00	6515.38	USD	534.50	
14SEP17	B	1	PD14DEC17	6504.00	6515.38	USD	284.50	
28SEP17	S	14	PD14DEC17	6517.20	6515.38	USD	637.00	
	NET	**0**	**AVG BGHT 6530.39**	**SOLD 6517.20**			**-4,617.50**	**0.00**
15SEP17	B	1	PD15DEC17	6510.00	6515.77	USD	144.25	
15SEP17	S	1	PD15DEC17	6524.50	6515.77	USD	218.25	
15SEP17	S	1	PD15DEC17	6513.00	6515.77	USD	-69.25	
15SEP17	B	1	PD15DEC17	6509.00	6515.77	USD	169.25	
15SEP17	S	2	PD15DEC17	6470.00	6515.77	USD	-2,288.50	
15SEP17	S	2	PD15DEC17	6467.00	6515.77	USD	-2,438.50	
15SEP17	S	1	PD15DEC17	6462.50	6515.77	USD	-1,331.75	
15SEP17	B	2	PD15DEC17	6494.00	6515.77	USD	1,088.50	
15SEP17	B	3	PD15DEC17	6501.00	6515.77	USD	1,107.75	
15SEP17	B	1	PD15DEC17	6507.00	6515.77	USD	219.25	
15SEP17	B	5	PD15DEC17	6509.50	6515.77	USD	783.75	
15SEP17	B	1	PD15DEC17	6482.00	6515.77	USD	844.25	
15SEP17	B	2	PD15DEC17	6483.50	6515.77	USD	1,613.50	
28SEP17	S	9	PD15DEC17	6517.67	6515.77	USD	427.50	
	NET	**0**	**AVG BGHT 6500.84**	**SOLD 6502.06**			**488.25**	**0.00**
18SEP17	S	1	PD18DEC17	6551.00	6516.96	USD	851.00	
18SEP17	S	1	PD18DEC17	6544.00	6516.96	USD	676.00	
18SEP17	S	1	PD18DEC17	6563.00	6516.96	USD	1,151.00	
18SEP17	S	2	PD18DEC17	6563.50	6516.96	USD	2,327.00	
18SEP17	S	2	PD18DEC17	6541.00	6516.96	USD	1,202.00	
18SEP17	S	1	PD18DEC17	6552.50	6516.96	USD	888.50	
18SEP17	S	2	PD18DEC17	6550.00	6516.96	USD	1,652.00	
18SEP17	S	1	PD18DEC17	6550.50	6516.96	USD	838.50	
18SEP17	S	1	PD18DEC17	6541.50	6516.96	USD	613.50	
18SEP17	S	1	PD18DEC17	6547.00	6516.96	USD	751.00	
18SEP17	S	1	PD18DEC17	6554.50	6516.96	USD	938.50	
18SEP17	S	1	PD18DEC17	6517.50	6516.96	USD	13.50	
18SEP17	S	1	PD18DEC17	6555.50	6516.96	USD	963.50	
18SEP17	S	1	PD18DEC17	6536.00	6516.96	USD	476.00	
18SEP17	S	2	PD18DEC17	6525.00	6516.96	USD	402.00	
18SEP17	S	7	PD18DEC17	6525.50	6516.96	USD	1,494.50	
18SEP17	B	1	PD18DEC17	6527.00	6516.96	USD	-251.00	
18SEP17	S	1	PD18DEC17	6525.50	6516.96	USD	213.50	
18SEP17	S	1	PD18DEC17	6524.00	6516.96	USD	176.00	
20SEP17	B	27	PD18DEC17	6526.14	6516.96	USD	-6,196.50	
	NET	**0**	**AVG BGHT 6526.17**	**SOLD 6539.29**			**9,180.50**	**0.00**
19SEP17	S	1	PD19DEC17	6538.50	6517.35	USD	528.75	
19SEP17	B	1	PD19DEC17	6542.00	6517.35	USD	-616.25	
	NET	**0**	**AVG BGHT 6542.00**	**SOLD 6538.50**			**-87.50**	**0.00**
21SEP17	S	1	PD21DEC17	6426.50	6516.75	USD	-2,256.25	
21SEP17	B	1	PD21DEC17	6445.00	6516.75	USD	1,793.75	
	NET	**0**	**AVG BGHT 6445.00**	**SOLD 6426.50**			**-462.50**	**0.00**
22SEP17	B	1	PD22DEC17	6431.00	6515.75	USD	2,118.75	
22SEP17	S	1	PD22DEC17	6388.50	6515.75	USD	-3,181.25	
22SEP17	S	1	PD22DEC17	6381.00	6515.75	USD	-3,368.75	
22SEP17	S	1	PD22DEC17	6476.00	6515.75	USD	-993.75	
22SEP17	B	1	PD22DEC17	6478.50	6515.75	USD	931.25	
28SEP17	B	1	PD22DEC17	6518.20	6515.75	USD	-61.25	
	NET	**0**	**AVG BGHT 6475.90**	**SOLD 6415.17**			**-4,555.00**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AG

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
25SEP17	S 1 PD27DEC17	6478.50	6517.52	USD	-975.50	
25SEP17	S 2 PD27DEC17	6462.00	6517.52	USD	-2,776.00	
25SEP17	S 1 PD27DEC17	6457.00	6517.52	USD	-1,513.00	
25SEP17	S 2 PD27DEC17	6458.00	6517.52	USD	-2,976.00	
25SEP17	S 1 PD27DEC17	6464.00	6517.52	USD	-1,338.00	
26SEP17	B 1 PD27DEC17	6440.00	6517.52	USD	1,938.00	
26SEP17	B 1 PD27DEC17	6439.00	6517.52	USD	1,963.00	
26SEP17	B 1 PD27DEC17	6435.00	6517.52	USD	2,063.00	
26SEP17	B 1 PD27DEC17	6427.00	6517.52	USD	2,263.00	
26SEP17	B 1 PD27DEC17	6417.50	6517.52	USD	2,500.50	
27SEP17	B 1 PD27DEC17	6473.50	6517.52	USD	1,100.50	
27SEP17	S 1 PD27DEC17	6434.00	6517.52	USD	-2,088.00	
27SEP17	S 2 PD27DEC17	6435.00	6517.52	USD	-4,126.00	
27SEP17	S 1 PD27DEC17	6433.00	6517.52	USD	-2,113.00	
27SEP17	S 1 PD27DEC17	6436.00	6517.52	USD	-2,038.00	
27SEP17	S 1 PD27DEC17	6437.00	6517.52	USD	-2,013.00	
27SEP17	S 3 PD27DEC17	6436.50	6517.52	USD	-6,076.50	
27SEP17	S 1 PD27DEC17	6432.00	6517.52	USD	-2,138.00	
27SEP17	S 1 PD27DEC17	6427.50	6517.52	USD	-2,250.50	
27SEP17	S 2 PD27DEC17	6433.50	6517.52	USD	-4,201.00	
27SEP17	S 4 PD27DEC17	6434.50	6517.52	USD	-8,302.00	
27SEP17	S 4 PD27DEC17	6432.50	6517.52	USD	-8,502.00	
27SEP17	S 2 PD27DEC17	6431.00	6517.52	USD	-4,326.00	
27SEP17	S 1 PD27DEC17	6430.00	6517.52	USD	-2,188.00	
28SEP17	B 25 PD27DEC17	6521.53	6517.52	USD	-2,506.25	
	NET 0 AVG BGHT 6505.49 SOLD 6440.18				**-50,618.75**	**0.00**
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
27JUL17	B 1 PD27OCT17	2308.00	2588.00	USD	7,000.00	
27JUL17	B 1 PD27OCT17	2307.00	2588.00	USD	7,025.00	
27JUL17	B 1 PD27OCT17	2305.00	2588.00	USD	7,075.00	
27JUL17	B 1 PD27OCT17	2304.00	2588.00	USD	7,100.00	
11AUG17	S 3 PD27OCT17	2322.00	2588.00	USD	-19,950.00	
15AUG17	S 1 PD27OCT17	2377.71	2588.00	USD	-5,257.25	
	NET 0 AVG BGHT 2306.00 SOLD 2335.93				**2,992.75**	**0.00**
04AUG17	B 1 PD03NOV17	2353.50	2588.00	USD	5,862.50	
18AUG17	S 1 PD03NOV17	2358.23	2588.00	USD	-5,744.25	
	NET 0 AVG BGHT 2353.50 SOLD 2358.23				**118.25**	**0.00**
07AUG17	B 1 PD07NOV17	2354.50	2588.00	USD	5,837.50	
07AUG17	B 1 PD07NOV17	2356.50	2588.00	USD	5,787.50	
07AUG17	B 1 PD07NOV17	2360.00	2588.00	USD	5,700.00	
07AUG17	S 1 PD07NOV17	2384.00	2588.00	USD	-5,100.00	
07AUG17	B 1 PD07NOV17	2372.00	2588.00	USD	5,400.00	
18AUG17	S 3 PD07NOV17	2359.15	2588.00	USD	-17,163.75	
	NET 0 AVG BGHT 2360.75 SOLD 2365.36				**461.25**	**0.00**
08AUG17	B 1 PD08NOV17	2386.50	2588.00	USD	5,037.50	
08AUG17	B 1 PD08NOV17	2347.50	2588.00	USD	6,012.50	
08AUG17	B 1 PD08NOV17	2394.50	2588.00	USD	4,837.50	
18AUG17	S 3 PD08NOV17	2359.38	2588.00	USD	-17,146.50	
	NET 0 AVG BGHT 2376.17 SOLD 2359.38				**-1,259.00**	**0.00**
09AUG17	S 1 PD09NOV17	2398.50	2588.00	USD	-4,737.50	
09AUG17	B 1 PD09NOV17	2401.00	2588.00	USD	4,675.00	
09AUG17	B 1 PD09NOV17	2414.00	2588.00	USD	4,350.00	
09AUG17	S 1 PD09NOV17	2414.50	2588.00	USD	-4,337.50	
09AUG17	B 1 PD09NOV17	2416.00	2588.00	USD	4,300.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AG

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
09AUG17	S 1 PD09NOV17	2420.50	2588.00	USD	-4,187.50	
09AUG17	S 1 PD09NOV17	2404.00	2588.00	USD	-4,600.00	
09AUG17	B 1 PD09NOV17	2364.00	2588.00	USD	5,600.00	
	NET 0 AVG BGHT 2398.75 SOLD 2409.38				**1,062.50**	**0.00**
10AUG17	S 1 PD10NOV17	2377.50	2588.00	USD	-5,262.50	
10AUG17	S 1 PD10NOV17	2387.50	2588.00	USD	-5,012.50	
10AUG17	S 1 PD10NOV17	2357.50	2588.00	USD	-5,762.50	
11AUG17	S 1 PD10NOV17	2351.00	2588.00	USD	-5,925.00	
11AUG17	B 1 PD10NOV17	2348.50	2588.00	USD	5,987.50	
11AUG17	B 3 PD10NOV17	2326.20	2588.00	USD	19,635.00	
	NET 0 AVG BGHT 2331.78 SOLD 2368.38				**3,660.00**	**0.00**
14AUG17	S 1 PD14NOV17	2336.50	2588.00	USD	-6,287.50	
15AUG17	B 1 PD14NOV17	2381.03	2588.00	USD	5,174.25	
	NET 0 AVG BGHT 2381.03 SOLD 2336.50				**-1,113.25**	**0.00**
15AUG17	S 1 PD15NOV17	2363.50	2588.00	USD	-5,612.50	
15AUG17	S 1 PD15NOV17	2364.50	2588.00	USD	-5,587.50	
18AUG17	B 2 PD15NOV17	2361.20	2588.00	USD	11,340.00	
	NET 0 AVG BGHT 2361.20 SOLD 2364.00				**140.00**	**0.00**
16AUG17	S 1 PD16NOV17	2386.50	2588.13	USD	-5,040.75	
16AUG17	S 1 PD16NOV17	2437.00	2588.13	USD	-3,778.25	
16AUG17	S 1 PD16NOV17	2483.00	2588.13	USD	-2,628.25	
16AUG17	S 1 PD16NOV17	2486.50	2588.13	USD	-2,540.75	
16AUG17	S 1 PD16NOV17	2484.50	2588.13	USD	-2,590.75	
18AUG17	B 5 PD16NOV17	2360.70	2588.13	USD	28,428.75	
	NET 0 AVG BGHT 2360.70 SOLD 2455.50				**11,850.00**	**0.00**
18AUG17	B 1 PD17NOV17	2403.00	2588.26	USD	4,631.50	
18AUG17	B 1 PD17NOV17	2424.00	2588.26	USD	4,106.50	
18AUG17	S 1 PD17NOV17	2361.00	2588.26	USD	-5,681.50	
08SEP17	S 1 PD17NOV17	2258.09	2588.26	USD	-8,254.25	
	NET 0 AVG BGHT 2413.50 SOLD 2309.55				**-5,197.75**	**0.00**
21AUG17	B 1 PD21NOV17	2365.00	2588.77	USD	5,594.25	
21AUG17	B 1 PD21NOV17	2364.50	2588.77	USD	5,606.75	
21AUG17	B 1 PD21NOV17	2391.00	2588.77	USD	4,944.25	
21AUG17	B 1 PD21NOV17	2386.50	2588.77	USD	5,056.75	
21AUG17	B 1 PD21NOV17	2386.00	2588.77	USD	5,069.25	
20SEP17	S 3 PD21NOV17	2455.44	2588.77	USD	-9,999.75	
22SEP17	S 2 PD21NOV17	2488.50	2588.77	USD	-5,013.50	
	NET 0 AVG BGHT 2378.60 SOLD 2468.66				**11,258.00**	**0.00**
01SEP17	B 1 PD01DEC17	2400.00	2590.06	USD	4,751.50	
22SEP17	S 1 PD01DEC17	2488.50	2590.06	USD	-2,539.00	
	NET 0 AVG BGHT 2400.00 SOLD 2488.50				**2,212.50**	**0.00**
05SEP17	S 1 PD05DEC17	2325.00	2590.57	USD	-6,639.25	
08SEP17	B 1 PD05DEC17	2264.78	2590.57	USD	8,144.75	
	NET 0 AVG BGHT 2264.78 SOLD 2325.00				**1,505.50**	**0.00**
13SEP17	S 1 PD13DEC17	2297.00	2591.60	USD	-7,365.00	
13SEP17	B 1 PD13DEC17	2297.50	2591.60	USD	7,352.50	
	NET 0 AVG BGHT 2297.50 SOLD 2297.00				**-12.50**	**0.00**
15SEP17	S 1 PD15DEC17	2330.00	2591.86	USD	-6,546.50	
15SEP17	S 1 PD15DEC17	2314.50	2591.86	USD	-6,934.00	
15SEP17	S 1 PD15DEC17	2348.50	2591.86	USD	-6,084.00	
20SEP17	B 3 PD15DEC17	2459.41	2591.86	USD	9,933.75	
	NET 0 AVG BGHT 2459.41 SOLD 2331.00				**-9,630.75**	**0.00**
18SEP17	B 1 PD18DEC17	2388.00	2592.24	USD	5,106.00	
22SEP17	S 1 PD18DEC17	2488.50	2592.24	USD	-2,593.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AG

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
	NET 0 AVG BGHT 2388.00 SOLD 2488.50				**2,512.50**	**0.00**
19SEP17	B 1 PD19DEC17	2389.50	2592.37	USD	5,071.75	
19SEP17	S 1 PD19DEC17	2415.00	2592.37	USD	-4,434.25	
19SEP17	S 1 PD19DEC17	2412.00	2592.37	USD	-4,509.25	
19SEP17	B 1 PD19DEC17	2422.50	2592.37	USD	4,246.75	
19SEP17	B 1 PD19DEC17	2423.00	2592.37	USD	4,234.25	
22SEP17	S 1 PD19DEC17	2488.50	2592.37	USD	-2,596.75	
	NET 0 AVG BGHT 2411.67 SOLD 2438.50				**2,012.50**	**0.00**
20SEP17	B 1 PD20DEC17	2453.00	2592.50	USD	3,487.50	
20SEP17	B 1 PD20DEC17	2455.00	2592.50	USD	3,437.50	
20SEP17	B 2 PD20DEC17	2456.50	2592.50	USD	6,800.00	
20SEP17	B 1 PD20DEC17	2457.00	2592.50	USD	3,387.50	
22SEP17	S 5 PD20DEC17	2488.50	2592.50	USD	-13,000.00	
	NET 0 AVG BGHT 2455.60 SOLD 2488.50				**4,112.50**	**0.00**
21SEP17	B 1 PD21DEC17	2455.00	2592.29	USD	3,432.25	
21SEP17	B 1 PD21DEC17	2455.50	2592.29	USD	3,419.75	
21SEP17	S 2 PD21DEC17	2491.00	2592.29	USD	-5,064.50	
21SEP17	S 1 PD21DEC17	2463.00	2592.29	USD	-3,232.25	
21SEP17	S 1 PD21DEC17	2465.50	2592.29	USD	-3,169.75	
21SEP17	S 1 PD21DEC17	2463.50	2592.29	USD	-3,219.75	
21SEP17	S 2 PD21DEC17	2460.50	2592.29	USD	-6,589.50	
21SEP17	S 1 PD21DEC17	2447.50	2592.29	USD	-3,619.75	
21SEP17	S 1 PD21DEC17	2446.00	2592.29	USD	-3,657.25	
21SEP17	S 1 PD21DEC17	2477.00	2592.29	USD	-2,882.25	
21SEP17	S 1 PD21DEC17	2478.00	2592.29	USD	-2,857.25	
21SEP17	S 1 PD21DEC17	2462.50	2592.29	USD	-3,244.75	
22SEP17	B 10 PD21DEC17	2485.95	2592.29	USD	26,585.00	
	NET 0 AVG BGHT 2480.83 SOLD 2467.17				**-4,100.00**	**0.00**
22SEP17	B 1 PD22DEC17	2413.50	2592.07	USD	4,464.25	
22SEP17	B 1 PD22DEC17	2415.50	2592.07	USD	4,414.25	
22SEP17	S 1 PD22DEC17	2419.00	2592.07	USD	-4,326.75	
22SEP17	B 1 PD22DEC17	2395.00	2592.07	USD	4,926.75	
22SEP17	B 2 PD22DEC17	2382.50	2592.07	USD	10,478.50	
22SEP17	B 2 PD22DEC17	2383.50	2592.07	USD	10,428.50	
22SEP17	B 1 PD22DEC17	2385.50	2592.07	USD	5,164.25	
22SEP17	B 1 PD22DEC17	2401.00	2592.07	USD	4,776.75	
22SEP17	B 1 PD22DEC17	2402.50	2592.07	USD	4,739.25	
22SEP17	S 1 PD22DEC17	2465.00	2592.07	USD	-3,176.75	
22SEP17	S 1 PD22DEC17	2457.50	2592.07	USD	-3,364.25	
22SEP17	S 1 PD22DEC17	2463.50	2592.07	USD	-3,214.25	
22SEP17	S 1 PD22DEC17	2470.50	2592.07	USD	-3,039.25	
22SEP17	S 1 PD22DEC17	2475.50	2592.07	USD	-2,914.25	
22SEP17	S 3 PD22DEC17	2475.00	2592.07	USD	-8,780.25	
22SEP17	S 1 PD22DEC17	2457.00	2592.07	USD	-3,376.75	
	NET 0 AVG BGHT 2394.50 SOLD 2463.30				**17,200.00**	**0.00**
25SEP17	B 1 PD27DEC17	2500.50	2591.86	USD	2,284.00	
25SEP17	B 1 PD27DEC17	2506.50	2591.86	USD	2,134.00	
25SEP17	B 1 PD27DEC17	2507.00	2591.86	USD	2,121.50	
25SEP17	B 1 PD27DEC17	2502.00	2591.86	USD	2,246.50	
25SEP17	S 1 PD27DEC17	2487.50	2591.86	USD	-2,609.00	
25SEP17	S 2 PD27DEC17	2499.50	2591.86	USD	-4,618.00	
25SEP17	S 1 PD27DEC17	2488.50	2591.86	USD	-2,584.00	
	NET 0 AVG BGHT 2504.00 SOLD 2493.75				**-1,025.00**	**0.00**
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AG

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
12JUL17	B 2 PD12OCT17	9235.00	10541.38	USD	15,676.56	
12JUL17	B 3 PD12OCT17	9225.00	10541.38	USD	23,694.84	
27JUL17	S 5 PD12OCT17	10126.57	10541.38	USD	-12,444.30	
	NET 0 AVG BGHT 9229.00 SOLD 10126.57				**26,927.10**	**0.00**
14JUL17	S 1 PD13OCT17	9435.00	10542.65	USD	-6,645.90	
14JUL17	S 1 PD13OCT17	9460.00	10542.65	USD	-6,495.90	
14JUL17	B 2 PD13OCT17	9455.00	10542.65	USD	13,051.80	
	NET 0 AVG BGHT 9455.00 SOLD 9447.50				**-90.00**	**0.00**
20JUL17	B 2 PD20OCT17	9575.00	10550.93	USD	11,711.16	
27JUL17	S 2 PD20OCT17	10130.00	10550.93	USD	-5,051.16	
	NET 0 AVG BGHT 9575.00 SOLD 10130.00				**6,660.00**	**0.00**
24JUL17	B 5 PD24OCT17	9540.00	10554.79	USD	30,443.70	
24JUL17	B 2 PD24OCT17	9535.00	10554.79	USD	12,237.48	
24JUL17	B 2 PD24OCT17	9615.00	10554.79	USD	11,277.48	
24JUL17	B 2 PD24OCT17	9655.00	10554.79	USD	10,797.48	
24JUL17	B 1 PD24OCT17	9760.00	10554.79	USD	4,768.74	
24JUL17	B 3 PD24OCT17	9760.00	10554.79	USD	14,306.22	
27JUL17	S 4 PD24OCT17	10130.00	10554.79	USD	-10,194.96	
04AUG17	S 11 PD24OCT17	10245.63	10554.79	USD	-20,404.56	
	NET 0 AVG BGHT 9623.33 SOLD 10214.80				**53,231.58**	**0.00**
25JUL17	B 4 PD25OCT17	9825.00	10555.75	USD	17,538.00	
25JUL17	B 1 PD25OCT17	9850.00	10555.75	USD	4,234.50	
25JUL17	B 3 PD25OCT17	9855.00	10555.75	USD	12,613.50	
25JUL17	B 1 PD25OCT17	9895.00	10555.75	USD	3,964.50	
25JUL17	B 3 PD25OCT17	9900.00	10555.75	USD	11,803.50	
04AUG17	S 12 PD25OCT17	10246.06	10555.75	USD	-22,297.68	
	NET 0 AVG BGHT 9859.17 SOLD 10246.06				**27,856.32**	**0.00**
26JUL17	B 1 PD26OCT17	9890.00	10556.71	USD	4,000.26	
26JUL17	S 4 PD26OCT17	9935.00	10556.71	USD	-14,921.04	
26JUL17	S 8 PD26OCT17	9990.00	10556.71	USD	-27,202.08	
27JUL17	B 11 PD26OCT17	10131.00	10556.71	USD	28,096.86	
	NET 0 AVG BGHT 10110.92 SOLD 9971.67				**-10,026.00**	**0.00**
27JUL17	B 4 PD27OCT17	9985.00	10557.68	USD	13,744.32	
27JUL17	B 1 PD27OCT17	9995.00	10557.68	USD	3,376.08	
27JUL17	B 6 PD27OCT17	10010.00	10557.68	USD	19,716.48	
27JUL17	B 1 PD27OCT17	10015.00	10557.68	USD	3,256.08	
27JUL17	B 1 PD27OCT17	10020.00	10557.68	USD	3,226.08	
27JUL17	B 1 PD27OCT17	10050.00	10557.68	USD	3,046.08	
27JUL17	S 4 PD27OCT17	10055.00	10557.68	USD	-12,064.32	
27JUL17	S 4 PD27OCT17	10060.00	10557.68	USD	-11,944.32	
27JUL17	S 2 PD27OCT17	10065.00	10557.68	USD	-5,912.16	
27JUL17	S 2 PD27OCT17	10090.00	10557.68	USD	-5,612.16	
27JUL17	B 4 PD27OCT17	10080.00	10557.68	USD	11,464.32	
27JUL17	B 2 PD27OCT17	9995.00	10557.68	USD	6,752.16	
27JUL17	S 2 PD27OCT17	10140.00	10557.68	USD	-5,012.16	
27JUL17	S 1 PD27OCT17	10140.00	10557.68	USD	-2,506.08	
27JUL17	S 3 PD27OCT17	10185.00	10557.68	USD	-6,708.24	
27JUL17	S 2 PD27OCT17	10170.00	10557.68	USD	-4,652.16	
27JUL17	S 1 PD27OCT17	10175.00	10557.68	USD	-2,296.08	
27JUL17	B 1 PD27OCT17	10135.00	10557.68	USD	2,536.08	
28JUL17	S 2 PD27OCT17	10085.00	10557.68	USD	-5,672.16	
28JUL17	B 2 PD27OCT17	10050.00	10557.68	USD	6,092.16	
28JUL17	B 2 PD27OCT17	10110.00	10557.68	USD	5,372.16	
28JUL17	B 3 PD27OCT17	10145.00	10557.68	USD	7,428.24	
28JUL17	S 2 PD27OCT17	10240.00	10557.68	USD	-3,812.16	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AG

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
28JUL17	S 3 PD27OCT17	10245.00	10557.68	USD	-5,628.24	
28JUL17	S 2 PD27OCT17	10280.00	10557.68	USD	-3,332.16	
28JUL17	S 2 PD27OCT17	10220.00	10557.68	USD	-4,052.16	
28JUL17	B 2 PD27OCT17	10195.00	10557.68	USD	4,352.16	
28JUL17	S 2 PD27OCT17	10190.00	10557.68	USD	-4,412.16	
28JUL17	B 4 PD27OCT17	10205.00	10557.68	USD	8,464.32	
28JUL17	S 2 PD27OCT17	10245.00	10557.68	USD	-3,752.16	
28JUL17	S 2 PD27OCT17	10250.00	10557.68	USD	-3,692.16	
28JUL17	S 1 PD27OCT17	10255.00	10557.68	USD	-1,816.08	
28JUL17	S 1 PD27OCT17	10270.00	10557.68	USD	-1,726.08	
28JUL17	B 4 PD27OCT17	10240.00	10557.68	USD	7,624.32	
28JUL17	B 4 PD27OCT17	10225.00	10557.68	USD	7,984.32	
28JUL17	B 4 PD27OCT17	10220.00	10557.68	USD	8,104.32	
28JUL17	S 7 PD27OCT17	10260.00	10557.68	USD	-12,502.56	
04AUG17	B 1 PD27OCT17	10247.94	10557.68	USD	1,858.44	
	NET 0 AVG BGHT 10116.55 SOLD 10177.87				**17,292.36**	**0.00**
31JUL17	B 4 PD31OCT17	10345.00	10561.54	USD	5,196.96	
31JUL17	B 4 PD31OCT17	10325.00	10561.54	USD	5,676.96	
31JUL17	B 1 PD31OCT17	10285.00	10561.54	USD	1,659.24	
31JUL17	S 1 PD31OCT17	10275.00	10561.54	USD	-1,719.24	
31JUL17	S 3 PD31OCT17	10260.00	10561.54	USD	-5,427.72	
31JUL17	S 2 PD31OCT17	10250.00	10561.54	USD	-3,738.48	
31JUL17	S 3 PD31OCT17	10255.00	10561.54	USD	-5,517.72	
31JUL17	S 4 PD31OCT17	10270.00	10561.54	USD	-6,996.96	
31JUL17	S 2 PD31OCT17	10290.00	10561.54	USD	-3,258.48	
31JUL17	B 2 PD31OCT17	10270.00	10561.54	USD	3,498.48	
31JUL17	B 2 PD31OCT17	10280.00	10561.54	USD	3,378.48	
31JUL17	S 1 PD31OCT17	10280.00	10561.54	USD	-1,689.24	
31JUL17	S 1 PD31OCT17	10285.00	10561.54	USD	-1,659.24	
31JUL17	B 4 PD31OCT17	10275.00	10561.54	USD	6,876.96	
31JUL17	B 2 PD31OCT17	10265.00	10561.54	USD	3,558.48	
31JUL17	B 2 PD31OCT17	10250.00	10561.54	USD	3,738.48	
31JUL17	B 4 PD31OCT17	10230.00	10561.54	USD	7,956.96	
31JUL17	B 1 PD31OCT17	10220.00	10561.54	USD	2,049.24	
04AUG17	S 9 PD31OCT17	10248.69	10561.54	USD	-16,893.90	
	NET 0 AVG BGHT 10282.12 SOLD 10260.89				**-3,310.74**	**0.00**
01AUG17	S 2 PD01NOV17	10100.00	10562.50	USD	-5,550.00	
01AUG17	S 2 PD01NOV17	10115.00	10562.50	USD	-5,370.00	
01AUG17	B 2 PD01NOV17	10095.00	10562.50	USD	5,610.00	
01AUG17	B 2 PD01NOV17	10125.00	10562.50	USD	5,250.00	
01AUG17	B 2 PD01NOV17	10135.00	10562.50	USD	5,130.00	
01AUG17	S 1 PD01NOV17	10120.00	10562.50	USD	-2,655.00	
01AUG17	S 3 PD01NOV17	10110.00	10562.50	USD	-8,145.00	
01AUG17	S 12 PD01NOV17	10200.00	10562.50	USD	-26,100.00	
01AUG17	B 1 PD01NOV17	10185.00	10562.50	USD	2,265.00	
01AUG17	B 1 PD01NOV17	10175.00	10562.50	USD	2,325.00	
01AUG17	S 2 PD01NOV17	10265.00	10562.50	USD	-3,570.00	
01AUG17	S 6 PD01NOV17	10280.00	10562.50	USD	-10,170.00	
01AUG17	B 2 PD01NOV17	10255.00	10562.50	USD	3,690.00	
01AUG17	B 4 PD01NOV17	10260.00	10562.50	USD	7,260.00	
04AUG17	B 14 PD01NOV17	10250.13	10562.50	USD	26,239.08	
	NET 0 AVG BGHT 10218.64 SOLD 10196.07				**-3,790.92**	**0.00**
02AUG17	S 1 PD02NOV17	10205.00	10563.46	USD	-2,150.76	
02AUG17	S 1 PD02NOV17	10195.00	10563.46	USD	-2,210.76	
02AUG17	S 4 PD02NOV17	10190.00	10563.46	USD	-8,963.04	
02AUG17	S 1 PD02NOV17	10200.00	10563.46	USD	-2,180.76	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AG

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
02AUG17	S	2	PD02NOV17	10145.00	10563.46	USD	-5,021.52	
02AUG17	B	2	PD02NOV17	10320.00	10563.46	USD	2,921.52	
04AUG17	B	7	PD02NOV17	10250.56	10563.46	USD	13,141.80	
	NET	**0**	**AVG BGHT 10265.99 SOLD 10183.33**				**-4,463.52**	**0.00**
03AUG17	S	4	PD03NOV17	10315.00	10564.43	USD	-5,986.32	
03AUG17	S	2	PD03NOV17	10270.00	10564.43	USD	-3,533.16	
03AUG17	S	2	PD03NOV17	10430.00	10564.43	USD	-1,613.16	
03AUG17	S	1	PD03NOV17	10270.00	10564.43	USD	-1,766.58	
03AUG17	S	3	PD03NOV17	10285.00	10564.43	USD	-5,029.74	
04AUG17	B	2	PD03NOV17	10345.00	10564.43	USD	2,633.16	
04AUG17	S	1	PD03NOV17	10330.00	10564.43	USD	-1,406.58	
04AUG17	B	1	PD03NOV17	10330.00	10564.43	USD	1,406.58	
04AUG17	S	1	PD03NOV17	10300.00	10564.43	USD	-1,586.58	
04AUG17	S	1	PD03NOV17	10315.00	10564.43	USD	-1,496.58	
04AUG17	B	1	PD03NOV17	10205.00	10564.43	USD	2,156.58	
04AUG17	B	1	PD03NOV17	10215.00	10564.43	USD	2,096.58	
04AUG17	S	2	PD03NOV17	10285.00	10564.43	USD	-3,353.16	
04AUG17	B	2	PD03NOV17	10290.00	10564.43	USD	3,293.16	
04AUG17	B	10	PD03NOV17	10251.00	10564.43	USD	18,805.80	
	NET	**0**	**AVG BGHT 10266.47 SOLD 10311.76**				**4,620.00**	**0.00**
07AUG17	B	2	PD07NOV17	10395.00	10568.29	USD	2,079.48	
07AUG17	S	2	PD07NOV17	10390.00	10568.29	USD	-2,139.48	
	NET	**0**	**AVG BGHT 10395.00 SOLD 10390.00**				**-60.00**	**0.00**
08AUG17	B	2	PD08NOV17	10340.00	10569.25	USD	2,751.00	
08AUG17	B	4	PD08NOV17	10290.00	10569.25	USD	6,702.00	
08AUG17	S	6	PD08NOV17	10330.00	10569.25	USD	-8,613.00	
08AUG17	B	2	PD08NOV17	10445.00	10569.25	USD	1,491.00	
08AUG17	B	2	PD08NOV17	10490.00	10569.25	USD	951.00	
08AUG17	B	2	PD08NOV17	10485.00	10569.25	USD	1,011.00	
08AUG17	B	2	PD08NOV17	10500.00	10569.25	USD	831.00	
08AUG17	B	2	PD08NOV17	10690.00	10569.25	USD	-1,449.00	
08AUG17	B	1	PD08NOV17	10755.00	10569.25	USD	-1,114.50	
08AUG17	B	1	PD08NOV17	10760.00	10569.25	USD	-1,144.50	
08AUG17	B	1	PD08NOV17	10755.00	10569.25	USD	-1,114.50	
11AUG17	S	8	PD08NOV17	10658.78	10569.25	USD	4,297.44	
15AUG17	S	5	PD08NOV17	10345.63	10569.25	USD	-6,708.60	
	NET	**0**	**AVG BGHT 10491.05 SOLD 10472.55**				**-2,109.66**	**0.00**
09AUG17	S	2	PD09NOV17	10720.00	10570.21	USD	1,797.48	
09AUG17	B	2	PD09NOV17	10810.00	10570.21	USD	-2,877.48	
09AUG17	B	2	PD09NOV17	10795.00	10570.21	USD	-2,697.48	
09AUG17	S	2	PD09NOV17	10755.00	10570.21	USD	2,217.48	
09AUG17	S	2	PD09NOV17	10770.00	10570.21	USD	2,397.48	
09AUG17	S	2	PD09NOV17	10790.00	10570.21	USD	2,637.48	
09AUG17	S	4	PD09NOV17	10815.00	10570.21	USD	5,874.96	
09AUG17	S	2	PD09NOV17	10750.00	10570.21	USD	2,157.48	
09AUG17	S	2	PD09NOV17	10740.00	10570.21	USD	2,037.48	
09AUG17	B	2	PD09NOV17	10740.00	10570.21	USD	-2,037.48	
09AUG17	B	2	PD09NOV17	10735.00	10570.21	USD	-1,977.48	
11AUG17	B	8	PD09NOV17	10660.39	10570.21	USD	-4,328.64	
	NET	**0**	**AVG BGHT 10715.20 SOLD 10769.38**				**5,201.28**	**0.00**
10AUG17	B	2	PD10NOV17	10775.00	10571.18	USD	-2,445.84	
10AUG17	S	2	PD10NOV17	10820.00	10571.18	USD	2,985.84	
10AUG17	S	2	PD10NOV17	10825.00	10571.18	USD	3,045.84	
10AUG17	S	1	PD10NOV17	10810.00	10571.18	USD	1,432.92	
10AUG17	S	1	PD10NOV17	10805.00	10571.18	USD	1,402.92	
10AUG17	S	1	PD10NOV17	10790.00	10571.18	USD	1,312.92	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AG

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
10AUG17	S 1 PD10NOV17	10780.00	10571.18	USD	1,252.92	
10AUG17	S 2 PD10NOV17	10740.00	10571.18	USD	2,025.84	
10AUG17	B 2 PD10NOV17	10765.00	10571.18	USD	-2,325.84	
10AUG17	B 6 PD10NOV17	10770.00	10571.18	USD	-7,157.52	
10AUG17	B 4 PD10NOV17	10780.00	10571.18	USD	-5,011.68	
10AUG17	B 4 PD10NOV17	10850.00	10571.18	USD	-6,691.68	
10AUG17	B 2 PD10NOV17	10865.00	10571.18	USD	-3,525.84	
10AUG17	S 2 PD10NOV17	10935.00	10571.18	USD	4,365.84	
10AUG17	S 4 PD10NOV17	10930.00	10571.18	USD	8,611.68	
10AUG17	B 2 PD10NOV17	10950.00	10571.18	USD	-4,545.84	
10AUG17	S 1 PD10NOV17	10900.00	10571.18	USD	1,972.92	
10AUG17	S 2 PD10NOV17	10890.00	10571.18	USD	3,825.84	
10AUG17	S 1 PD10NOV17	10900.00	10571.18	USD	1,972.92	
11AUG17	S 4 PD10NOV17	10840.00	10571.18	USD	6,451.68	
11AUG17	S 2 PD10NOV17	10800.00	10571.18	USD	2,745.84	
11AUG17	S 4 PD10NOV17	10830.00	10571.18	USD	6,211.68	
11AUG17	S 2 PD10NOV17	10745.00	10571.18	USD	2,085.84	
11AUG17	S 4 PD10NOV17	10735.00	10571.18	USD	3,931.68	
11AUG17	S 2 PD10NOV17	10655.00	10571.18	USD	1,005.84	
11AUG17	S 2 PD10NOV17	10620.00	10571.18	USD	585.84	
11AUG17	S 4 PD10NOV17	10630.00	10571.18	USD	1,411.68	
11AUG17	B 4 PD10NOV17	10675.00	10571.18	USD	-2,491.68	
11AUG17	B 2 PD10NOV17	10665.00	10571.18	USD	-1,125.84	
11AUG17	B 4 PD10NOV17	10595.00	10571.18	USD	-571.68	
11AUG17	B 6 PD10NOV17	10680.00	10571.18	USD	-3,917.52	
11AUG17	B 2 PD10NOV17	10650.00	10571.18	USD	-945.84	
11AUG17	B 2 PD10NOV17	10565.00	10571.18	USD	74.16	
11AUG17	B 2 PD10NOV17	10655.00	10571.18	USD	-1,005.84	
	NET 0 AVG BGHT 10729.09 SOLD 10793.30				**16,950.00**	**0.00**
14AUG17	S 2 PD14NOV17	10650.00	10575.04	USD	899.52	
14AUG17	S 1 PD14NOV17	10505.00	10575.04	USD	-420.24	
14AUG17	S 4 PD14NOV17	10500.00	10575.04	USD	-1,800.96	
14AUG17	S 2 PD14NOV17	10475.00	10575.04	USD	-1,200.48	
14AUG17	S 2 PD14NOV17	10480.00	10575.04	USD	-1,140.48	
14AUG17	B 4 PD14NOV17	10515.00	10575.04	USD	1,440.96	
14AUG17	B 2 PD14NOV17	10510.00	10575.04	USD	780.48	
14AUG17	S 1 PD14NOV17	10505.00	10575.04	USD	-420.24	
14AUG17	S 2 PD14NOV17	10440.00	10575.04	USD	-1,620.48	
15AUG17	B 5 PD14NOV17	10350.38	10575.04	USD	6,739.80	
18AUG17	B 3 PD14NOV17	10981.33	10575.04	USD	-7,313.22	
	NET 0 AVG BGHT 10555.42 SOLD 10507.14				**-4,055.34**	**0.00**
15AUG17	B 2 PD15NOV17	10460.00	10576.00	USD	1,392.00	
15AUG17	S 2 PD15NOV17	10490.00	10576.00	USD	-1,032.00	
15AUG17	S 2 PD15NOV17	10405.00	10576.00	USD	-2,052.00	
15AUG17	B 4 PD15NOV17	10405.00	10576.00	USD	4,104.00	
15AUG17	S 2 PD15NOV17	10375.00	10576.00	USD	-2,412.00	
15AUG17	B 4 PD15NOV17	10390.00	10576.00	USD	4,464.00	
15AUG17	B 2 PD15NOV17	10400.00	10576.00	USD	2,112.00	
15AUG17	B 2 PD15NOV17	10355.00	10576.00	USD	2,652.00	
18AUG17	S 3 PD15NOV17	10981.00	10576.00	USD	7,290.00	
18AUG17	S 5 PD15NOV17	10982.00	10576.00	USD	12,180.00	
	NET 0 AVG BGHT 10400.71 SOLD 10742.36				**28,698.00**	**0.00**
16AUG17	S 2 PD16NOV17	10370.00	10576.89	USD	-2,482.68	
16AUG17	S 2 PD16NOV17	10475.00	10576.89	USD	-1,222.68	
16AUG17	B 2 PD16NOV17	10445.00	10576.89	USD	1,582.68	
16AUG17	S 2 PD16NOV17	10570.00	10576.89	USD	-82.68	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AG

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
16AUG17	S 2 PD16NOV17	10565.00	10576.89	USD	-142.68	
16AUG17	S 2 PD16NOV17	10555.00	10576.89	USD	-262.68	
16AUG17	S 2 PD16NOV17	10560.00	10576.89	USD	-202.68	
16AUG17	S 2 PD16NOV17	10600.00	10576.89	USD	277.32	
16AUG17	S 3 PD16NOV17	10655.00	10576.89	USD	1,405.98	
16AUG17	B 1 PD16NOV17	10680.00	10576.89	USD	-618.66	
16AUG17	B 2 PD16NOV17	10685.00	10576.89	USD	-1,297.32	
16AUG17	B 2 PD16NOV17	10690.00	10576.89	USD	-1,357.32	
16AUG17	S 2 PD16NOV17	10725.00	10576.89	USD	1,777.32	
16AUG17	S 2 PD16NOV17	10745.00	10576.89	USD	2,017.32	
16AUG17	B 6 PD16NOV17	10750.00	10576.89	USD	-6,231.96	
16AUG17	B 2 PD16NOV17	10735.00	10576.89	USD	-1,897.32	
16AUG17	B 1 PD16NOV17	10725.00	10576.89	USD	-888.66	
16AUG17	B 3 PD16NOV17	10730.00	10576.89	USD	-2,755.98	
16AUG17	S 2 PD16NOV17	10765.00	10576.89	USD	2,257.32	
16AUG17	S 2 PD16NOV17	10820.00	10576.89	USD	2,917.32	
16AUG17	B 1 PD16NOV17	10780.00	10576.89	USD	-1,218.66	
16AUG17	B 2 PD16NOV17	10770.00	10576.89	USD	-2,317.32	
16AUG17	S 2 PD16NOV17	10770.00	10576.89	USD	2,317.32	
16AUG17	B 1 PD16NOV17	10780.00	10576.89	USD	-1,218.66	
18AUG17	B 5 PD16NOV17	10981.00	10576.89	USD	-12,123.30	
23AUG17	S 1 PD16NOV17	11655.00	10576.89	USD	6,468.66	
	NET 0 AVG BGHT 10757.50 SOLD 10666.43				**-15,300.00**	**0.00**
17AUG17	B 1 PD17NOV17	10805.00	10577.77	USD	-1,363.38	
17AUG17	B 4 PD17NOV17	10750.00	10577.77	USD	-4,133.52	
17AUG17	S 4 PD17NOV17	10785.00	10577.77	USD	4,973.52	
17AUG17	S 2 PD17NOV17	10775.00	10577.77	USD	2,366.76	
17AUG17	S 2 PD17NOV17	10770.00	10577.77	USD	2,306.76	
17AUG17	S 2 PD17NOV17	10835.00	10577.77	USD	3,086.76	
17AUG17	S 4 PD17NOV17	10870.00	10577.77	USD	7,013.52	
17AUG17	S 2 PD17NOV17	10865.00	10577.77	USD	3,446.76	
17AUG17	S 6 PD17NOV17	10790.00	10577.77	USD	7,640.28	
17AUG17	B 2 PD17NOV17	10780.00	10577.77	USD	-2,426.76	
17AUG17	B 3 PD17NOV17	10800.00	10577.77	USD	-4,000.14	
17AUG17	B 2 PD17NOV17	10735.00	10577.77	USD	-1,886.76	
17AUG17	B 2 PD17NOV17	10720.00	10577.77	USD	-1,706.76	
17AUG17	B 1 PD17NOV17	10725.00	10577.77	USD	-883.38	
17AUG17	B 2 PD17NOV17	10755.00	10577.77	USD	-2,126.76	
17AUG17	B 4 PD17NOV17	10765.00	10577.77	USD	-4,493.52	
17AUG17	B 3 PD17NOV17	10800.00	10577.77	USD	-4,000.14	
17AUG17	B 1 PD17NOV17	10760.00	10577.77	USD	-1,093.38	
17AUG17	B 1 PD17NOV17	10725.00	10577.77	USD	-883.38	
17AUG17	B 2 PD17NOV17	10745.00	10577.77	USD	-2,006.76	
17AUG17	B 2 PD17NOV17	10690.00	10577.77	USD	-1,346.76	
18AUG17	S 2 PD17NOV17	10630.00	10577.77	USD	626.76	
18AUG17	S 4 PD17NOV17	10820.00	10577.77	USD	5,813.52	
18AUG17	B 6 PD17NOV17	10880.00	10577.77	USD	-10,880.28	
18AUG17	B 1 PD17NOV17	10970.00	10577.77	USD	-2,353.38	
18AUG17	S 2 PD17NOV17	10995.00	10577.77	USD	5,006.76	
18AUG17	S 2 PD17NOV17	11010.00	10577.77	USD	5,186.76	
18AUG17	S 2 PD17NOV17	11020.00	10577.77	USD	5,306.76	
18AUG17	B 2 PD17NOV17	10940.00	10577.77	USD	-4,346.76	
18AUG17	B 4 PD17NOV17	10915.00	10577.77	USD	-8,093.52	
18AUG17	B 2 PD17NOV17	10850.00	10577.77	USD	-3,266.76	
18AUG17	S 4 PD17NOV17	10850.00	10577.77	USD	6,533.52	
18AUG17	S 4 PD17NOV17	10840.00	10577.77	USD	6,293.52	
18AUG17	B 1 PD17NOV17	10950.00	10577.77	USD	-2,233.38	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AG

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
23AUG17	S 4 PD17NOV17	11655.63	10577.77	USD	25,868.64	
	NET 0 AVG BGHT 10807.93 SOLD 10909.19				**27,945.12**	**0.00**
21AUG17	B 2 PD21NOV17	11235.00	10581.31	USD	-7,844.28	
21AUG17	S 2 PD21NOV17	11100.00	10581.31	USD	6,224.28	
21AUG17	S 2 PD21NOV17	11020.00	10581.31	USD	5,264.28	
21AUG17	S 2 PD21NOV17	11210.00	10581.31	USD	7,544.28	
21AUG17	S 2 PD21NOV17	11160.00	10581.31	USD	6,944.28	
21AUG17	B 2 PD21NOV17	11155.00	10581.31	USD	-6,884.28	
21AUG17	S 4 PD21NOV17	11195.00	10581.31	USD	14,728.56	
21AUG17	B 2 PD21NOV17	11145.00	10581.31	USD	-6,764.28	
21AUG17	S 2 PD21NOV17	11205.00	10581.31	USD	7,484.28	
21AUG17	B 1 PD21NOV17	11170.00	10581.31	USD	-3,532.14	
21AUG17	S 1 PD21NOV17	11255.00	10581.31	USD	4,042.14	
21AUG17	B 2 PD21NOV17	11240.00	10581.31	USD	-7,904.28	
21AUG17	B 2 PD21NOV17	11220.00	10581.31	USD	-7,664.28	
21AUG17	S 2 PD21NOV17	11250.00	10581.31	USD	8,024.28	
21AUG17	S 2 PD21NOV17	11245.00	10581.31	USD	7,964.28	
21AUG17	B 2 PD21NOV17	11275.00	10581.31	USD	-8,324.28	
21AUG17	S 2 PD21NOV17	11330.00	10581.31	USD	8,984.28	
21AUG17	B 4 PD21NOV17	11315.00	10581.31	USD	-17,608.56	
21AUG17	B 2 PD21NOV17	11290.00	10581.31	USD	-8,504.28	
21AUG17	B 2 PD21NOV17	11345.00	10581.31	USD	-9,164.28	
21AUG17	B 4 PD21NOV17	11265.00	10581.31	USD	-16,408.56	
21AUG17	S 4 PD21NOV17	11275.00	10581.31	USD	16,648.56	
21AUG17	S 2 PD21NOV17	11280.00	10581.31	USD	8,384.28	
23AUG17	B 2 PD21NOV17	11659.13	10581.31	USD	-12,933.84	
	NET 0 AVG BGHT 11282.16 SOLD 11212.41				**-11,299.56**	**0.00**
22AUG17	S 2 PD22NOV17	11265.00	10582.20	USD	8,193.60	
22AUG17	S 2 PD22NOV17	11275.00	10582.20	USD	8,313.60	
22AUG17	S 2 PD22NOV17	11250.00	10582.20	USD	8,013.60	
22AUG17	S 2 PD22NOV17	11490.00	10582.20	USD	10,893.60	
22AUG17	S 2 PD22NOV17	11475.00	10582.20	USD	10,713.60	
22AUG17	S 1 PD22NOV17	11400.00	10582.20	USD	4,906.80	
22AUG17	S 1 PD22NOV17	11415.00	10582.20	USD	4,996.80	
22AUG17	B 2 PD22NOV17	11490.00	10582.20	USD	-10,893.60	
22AUG17	B 2 PD22NOV17	11485.00	10582.20	USD	-10,833.60	
22AUG17	B 2 PD22NOV17	11470.00	10582.20	USD	-10,653.60	
22AUG17	B 2 PD22NOV17	11450.00	10582.20	USD	-10,413.60	
22AUG17	B 4 PD22NOV17	11440.00	10582.20	USD	-20,587.20	
22AUG17	B 4 PD22NOV17	11405.00	10582.20	USD	-19,747.20	
22AUG17	S 4 PD22NOV17	11435.00	10582.20	USD	20,467.20	
22AUG17	S 2 PD22NOV17	11420.00	10582.20	USD	10,053.60	
22AUG17	S 2 PD22NOV17	11430.00	10582.20	USD	10,173.60	
22AUG17	S 4 PD22NOV17	11425.00	10582.20	USD	20,227.20	
22AUG17	B 2 PD22NOV17	11415.00	10582.20	USD	-9,993.60	
22AUG17	S 1 PD22NOV17	11375.00	10582.20	USD	4,756.80	
22AUG17	B 2 PD22NOV17	11325.00	10582.20	USD	-8,913.60	
22AUG17	S 2 PD22NOV17	11490.00	10582.20	USD	10,893.60	
22AUG17	S 3 PD22NOV17	11350.00	10582.20	USD	13,820.40	
22AUG17	S 2 PD22NOV17	11410.00	10582.20	USD	9,933.60	
23AUG17	B 3 PD22NOV17	11659.75	10582.20	USD	-19,395.90	
24AUG17	B 9 PD22NOV17	11743.50	10582.20	USD	-62,710.20	
	NET 0 AVG BGHT 11541.27 SOLD 11396.56				**-27,784.50**	**0.00**
23AUG17	B 2 PD24NOV17	11265.00	10583.97	USD	-8,172.36	
23AUG17	B 2 PD24NOV17	11360.00	10583.97	USD	-9,312.36	
23AUG17	B 2 PD24NOV17	11390.00	10583.97	USD	-9,672.36	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AG

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
23AUG17	S	2	PD24NOV17	11430.00	10583.97	USD	10,152.36	
23AUG17	S	4	PD24NOV17	11580.00	10583.97	USD	23,904.72	
23AUG17	B	5	PD24NOV17	11560.00	10583.97	USD	-29,280.90	
23AUG17	B	1	PD24NOV17	11550.00	10583.97	USD	-5,796.18	
23AUG17	S	2	PD24NOV17	11455.00	10583.97	USD	10,452.36	
23AUG17	B	2	PD24NOV17	11585.00	10583.97	USD	-12,012.36	
23AUG17	B	2	PD24NOV17	11690.00	10583.97	USD	-13,272.36	
23AUG17	B	4	PD24NOV17	11720.00	10583.97	USD	-27,264.72	
23AUG17	S	2	PD24NOV17	11685.00	10583.97	USD	13,212.36	
24AUG17	S	1	PD24NOV17	11675.00	10583.97	USD	6,546.18	
24AUG17	S	3	PD24NOV17	11655.00	10583.97	USD	19,278.54	
24AUG17	B	1	PD24NOV17	11665.00	10583.97	USD	-6,486.18	
24AUG17	S	9	PD24NOV17	11744.00	10583.97	USD	62,641.62	
25AUG17	B	2	PD24NOV17	11680.00	10583.97	USD	-13,152.36	
	NET	**0**	**AVG BGHT 11558.04**	**SOLD 11643.30**			**11,766.00**	**0.00**
29AUG17	S	1	PD29NOV17	11615.00	10588.40	USD	6,159.60	
29AUG17	B	3	PD29NOV17	11750.00	10588.40	USD	-20,908.80	
29AUG17	S	1	PD29NOV17	11630.00	10588.40	USD	6,249.60	
29AUG17	S	1	PD29NOV17	11625.00	10588.40	USD	6,219.60	
29AUG17	S	2	PD29NOV17	11610.00	10588.40	USD	12,259.20	
29AUG17	S	1	PD29NOV17	11635.00	10588.40	USD	6,279.60	
29AUG17	B	5	PD29NOV17	11830.00	10588.40	USD	-37,248.00	
29AUG17	B	10	PD29NOV17	11820.00	10588.40	USD	-73,896.00	
29AUG17	B	5	PD29NOV17	11825.00	10588.40	USD	-37,098.00	
29AUG17	S	1	PD29NOV17	11600.00	10588.40	USD	6,069.60	
29AUG17	B	19	PD29NOV17	11800.00	10588.40	USD	-138,122.40	
29AUG17	S	1	PD29NOV17	11620.00	10588.40	USD	6,189.60	
29AUG17	S	4	PD29NOV17	11645.00	10588.40	USD	25,358.40	
29AUG17	S	3	PD29NOV17	11640.00	10588.40	USD	18,928.80	
29AUG17	B	1	PD29NOV17	11800.00	10588.40	USD	-7,269.60	
29AUG17	S	1	PD29NOV17	11835.00	10588.40	USD	7,479.60	
29AUG17	S	1	PD29NOV17	11805.00	10588.40	USD	7,299.60	
29AUG17	S	1	PD29NOV17	11820.00	10588.40	USD	7,389.60	
29AUG17	S	1	PD29NOV17	11830.00	10588.40	USD	7,449.60	
29AUG17	S	1	PD29NOV17	11815.00	10588.40	USD	7,359.60	
29AUG17	S	2	PD29NOV17	11720.00	10588.40	USD	13,579.20	
29AUG17	S	2	PD29NOV17	11685.00	10588.40	USD	13,159.20	
29AUG17	S	9	PD29NOV17	11695.00	10588.40	USD	59,756.40	
29AUG17	S	10	PD29NOV17	11715.00	10588.40	USD	67,596.00	
29AUG17	S	21	PD29NOV17	11710.00	10588.40	USD	141,321.60	
29AUG17	S	10	PD29NOV17	11705.00	10588.40	USD	66,996.00	
29AUG17	S	4	PD29NOV17	11700.00	10588.40	USD	26,678.40	
29AUG17	S	14	PD29NOV17	11690.00	10588.40	USD	92,534.40	
29AUG17	B	5	PD29NOV17	11730.00	10588.40	USD	-34,248.00	
04SEP17	B	44	PD29NOV17	12246.94	10588.40	USD	-437,854.56	
	NET	**0**	**AVG BGHT 12013.48**	**SOLD 11697.66**			**-174,332.16**	**0.00**
30AUG17	S	2	PD30NOV17	11660.00	10589.29	USD	12,848.52	
30AUG17	S	1	PD30NOV17	11640.00	10589.29	USD	6,304.26	
30AUG17	S	1	PD30NOV17	11645.00	10589.29	USD	6,334.26	
30AUG17	B	2	PD30NOV17	11690.00	10589.29	USD	-13,208.52	
30AUG17	B	2	PD30NOV17	11710.00	10589.29	USD	-13,448.52	
30AUG17	B	4	PD30NOV17	11700.00	10589.29	USD	-26,657.04	
30AUG17	B	2	PD30NOV17	11725.00	10589.29	USD	-13,628.52	
30AUG17	B	2	PD30NOV17	11790.00	10589.29	USD	-14,408.52	
30AUG17	S	2	PD30NOV17	11770.00	10589.29	USD	14,168.52	
30AUG17	S	2	PD30NOV17	11715.00	10589.29	USD	13,508.52	
30AUG17	B	2	PD30NOV17	11685.00	10589.29	USD	-13,148.52	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AG

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
30AUG17	B 1 PD30NOV17	11695.00	10589.29	USD	-6,634.26	
30AUG17	B 1 PD30NOV17	11665.00	10589.29	USD	-6,454.26	
30AUG17	B 3 PD30NOV17	11675.00	10589.29	USD	-19,542.78	
30AUG17	B 4 PD30NOV17	11670.00	10589.29	USD	-25,937.04	
30AUG17	B 1 PD30NOV17	11660.00	10589.29	USD	-6,424.26	
30AUG17	B 1 PD30NOV17	11570.00	10589.29	USD	-5,884.26	
30AUG17	B 4 PD30NOV17	11610.00	10589.29	USD	-24,497.04	
30AUG17	B 2 PD30NOV17	11620.00	10589.29	USD	-12,368.52	
30AUG17	B 1 PD30NOV17	11650.00	10589.29	USD	-6,364.26	
30AUG17	B 1 PD30NOV17	11625.00	10589.29	USD	-6,214.26	
30AUG17	B 5 PD30NOV17	11630.00	10589.29	USD	-31,221.30	
30AUG17	B 3 PD30NOV17	11605.00	10589.29	USD	-18,282.78	
30AUG17	S 2 PD30NOV17	11605.00	10589.29	USD	12,188.52	
30AUG17	S 1 PD30NOV17	11570.00	10589.29	USD	5,884.26	
30AUG17	S 2 PD30NOV17	11580.00	10589.29	USD	11,888.52	
30AUG17	B 3 PD30NOV17	11580.00	10589.29	USD	-17,832.78	
30AUG17	B 5 PD30NOV17	11600.00	10589.29	USD	-30,321.30	
30AUG17	B 4 PD30NOV17	11595.00	10589.29	USD	-24,137.04	
30AUG17	S 2 PD30NOV17	11600.00	10589.29	USD	12,128.52	
30AUG17	B 5 PD30NOV17	11590.00	10589.29	USD	-30,021.30	
30AUG17	B 5 PD30NOV17	11585.00	10589.29	USD	-29,871.30	
30AUG17	B 1 PD30NOV17	11590.00	10589.29	USD	-6,004.26	
30AUG17	B 1 PD30NOV17	11600.00	10589.29	USD	-6,064.26	
31AUG17	B 2 PD30NOV17	11615.00	10589.29	USD	-12,308.52	
31AUG17	S 2 PD30NOV17	11630.00	10589.29	USD	12,488.52	
31AUG17	B 2 PD30NOV17	11670.00	10589.29	USD	-12,968.52	
31AUG17	B 2 PD30NOV17	11645.00	10589.29	USD	-12,668.52	
31AUG17	B 2 PD30NOV17	11665.00	10589.29	USD	-12,908.52	
31AUG17	B 2 PD30NOV17	11650.00	10589.29	USD	-12,728.52	
31AUG17	B 2 PD30NOV17	11695.00	10589.29	USD	-13,268.52	
31AUG17	S 2 PD30NOV17	11675.00	10589.29	USD	13,028.52	
31AUG17	S 2 PD30NOV17	11735.00	10589.29	USD	13,748.52	
31AUG17	S 2 PD30NOV17	11700.00	10589.29	USD	13,328.52	
31AUG17	S 2 PD30NOV17	11720.00	10589.29	USD	13,568.52	
31AUG17	S 2 PD30NOV17	11790.00	10589.29	USD	14,408.52	
31AUG17	B 1 PD30NOV17	11765.00	10589.29	USD	-7,054.26	
31AUG17	S 3 PD30NOV17	11795.00	10589.29	USD	21,702.78	
31AUG17	S 4 PD30NOV17	11800.00	10589.29	USD	29,057.04	
31AUG17	B 4 PD30NOV17	11775.00	10589.29	USD	-28,457.04	
31AUG17	B 1 PD30NOV17	11760.00	10589.29	USD	-7,024.26	
31AUG17	S 2 PD30NOV17	11760.00	10589.29	USD	14,048.52	
04SEP17	S 44 PD30NOV17	12246.56	10589.29	USD	437,519.28	
04SEP17	S 3 PD30NOV17	12247.56	10589.29	USD	29,848.86	
	NET 0 AVG BGHT 11649.46 SOLD 12010.98				**180,037.92**	**0.00**
01SEP17	B 1 PD01DEC17	11790.00	10590.17	USD	-7,198.98	
01SEP17	B 1 PD01DEC17	11785.00	10590.17	USD	-7,168.98	
01SEP17	B 3 PD01DEC17	11805.00	10590.17	USD	-21,866.94	
01SEP17	B 2 PD01DEC17	11765.00	10590.17	USD	-14,097.96	
01SEP17	S 3 PD01DEC17	11795.00	10590.17	USD	21,686.94	
01SEP17	S 1 PD01DEC17	11805.00	10590.17	USD	7,288.98	
01SEP17	S 2 PD01DEC17	11810.00	10590.17	USD	14,637.96	
01SEP17	S 2 PD01DEC17	12030.00	10590.17	USD	17,277.96	
01SEP17	S 2 PD01DEC17	11935.00	10590.17	USD	16,137.96	
01SEP17	B 2 PD01DEC17	12045.00	10590.17	USD	-17,457.96	
01SEP17	S 4 PD01DEC17	12035.00	10590.17	USD	34,675.92	
01SEP17	B 1 PD01DEC17	12050.00	10590.17	USD	-8,758.98	
01SEP17	B 2 PD01DEC17	12035.00	10590.17	USD	-17,337.96	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AG

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
01SEP17	S 1 PD01DEC17	12050.00	10590.17	USD	8,758.98	
01SEP17	S 1 PD01DEC17	12040.00	10590.17	USD	8,698.98	
01SEP17	S 2 PD01DEC17	12060.00	10590.17	USD	17,637.96	
01SEP17	B 1 PD01DEC17	12050.00	10590.17	USD	-8,758.98	
04SEP17	B 3 PD01DEC17	12249.17	10590.17	USD	-29,862.00	
08SEP17	B 2 PD01DEC17	11585.89	10590.17	USD	-11,948.64	
	NET 0 AVG BGHT 11927.74 SOLD 11949.44				**2,344.26**	**0.00**
04SEP17	S 1 PD04DEC17	12325.00	10592.83	USD	10,393.02	
04SEP17	B 3 PD04DEC17	12305.00	10592.83	USD	-30,819.06	
04SEP17	B 2 PD04DEC17	12250.00	10592.83	USD	-19,886.04	
04SEP17	B 2 PD04DEC17	12240.00	10592.83	USD	-19,766.04	
04SEP17	B 2 PD04DEC17	12200.00	10592.83	USD	-19,286.04	
04SEP17	B 2 PD04DEC17	12205.00	10592.83	USD	-19,346.04	
04SEP17	S 2 PD04DEC17	12205.00	10592.83	USD	19,346.04	
04SEP17	S 2 PD04DEC17	12225.00	10592.83	USD	19,586.04	
04SEP17	B 2 PD04DEC17	12235.00	10592.83	USD	-19,706.04	
04SEP17	B 2 PD04DEC17	12245.00	10592.83	USD	-19,826.04	
04SEP17	S 1 PD04DEC17	12255.00	10592.83	USD	9,973.02	
04SEP17	S 3 PD04DEC17	12260.00	10592.83	USD	30,009.06	
08SEP17	S 2 PD04DEC17	11586.65	10592.83	USD	11,925.84	
08SEP17	S 4 PD04DEC17	11587.65	10592.83	USD	23,875.68	
	NET 0 AVG BGHT 12244.33 SOLD 11982.93				**-23,526.60**	**0.00**
05SEP17	S 2 PD05DEC17	12290.00	10593.71	USD	20,355.48	
05SEP17	S 2 PD05DEC17	12265.00	10593.71	USD	20,055.48	
05SEP17	B 2 PD05DEC17	12210.00	10593.71	USD	-19,395.48	
05SEP17	B 2 PD05DEC17	12230.00	10593.71	USD	-19,635.48	
05SEP17	S 2 PD05DEC17	12225.00	10593.71	USD	19,575.48	
05SEP17	B 1 PD05DEC17	12110.00	10593.71	USD	-9,097.74	
05SEP17	B 1 PD05DEC17	12105.00	10593.71	USD	-9,067.74	
05SEP17	S 2 PD05DEC17	12060.00	10593.71	USD	17,595.48	
05SEP17	B 2 PD05DEC17	12025.00	10593.71	USD	-17,175.48	
05SEP17	S 1 PD05DEC17	12030.00	10593.71	USD	8,617.74	
05SEP17	S 2 PD05DEC17	12075.00	10593.71	USD	17,775.48	
05SEP17	S 1 PD05DEC17	12020.00	10593.71	USD	8,557.74	
08SEP17	B 4 PD05DEC17	11589.24	10593.71	USD	-23,892.72	
	NET 0 AVG BGHT 11958.50 SOLD 12156.67				**14,268.24**	**0.00**
06SEP17	B 2 PD06DEC17	12010.00	10594.60	USD	-16,984.80	
06SEP17	B 2 PD06DEC17	12060.00	10594.60	USD	-17,584.80	
06SEP17	B 8 PD06DEC17	12065.00	10594.60	USD	-70,579.20	
06SEP17	B 2 PD06DEC17	12020.00	10594.60	USD	-17,104.80	
06SEP17	B 1 PD06DEC17	12030.00	10594.60	USD	-8,612.40	
06SEP17	S 1 PD06DEC17	12015.00	10594.60	USD	8,522.40	
06SEP17	S 1 PD06DEC17	12005.00	10594.60	USD	8,462.40	
06SEP17	S 1 PD06DEC17	12050.00	10594.60	USD	8,732.40	
06SEP17	S 1 PD06DEC17	12065.00	10594.60	USD	8,822.40	
06SEP17	S 1 PD06DEC17	12055.00	10594.60	USD	8,762.40	
06SEP17	S 1 PD06DEC17	12070.00	10594.60	USD	8,852.40	
06SEP17	S 3 PD06DEC17	12080.00	10594.60	USD	26,737.20	
06SEP17	S 4 PD06DEC17	12075.00	10594.60	USD	35,529.60	
06SEP17	S 2 PD06DEC17	12175.00	10594.60	USD	18,964.80	
	NET 0 AVG BGHT 12048.67 SOLD 12076.67				**2,520.00**	**0.00**
07SEP17	B 4 PD07DEC17	12230.00	10595.49	USD	-39,228.24	
07SEP17	S 1 PD07DEC17	12235.00	10595.49	USD	9,837.06	
07SEP17	S 2 PD07DEC17	12245.00	10595.49	USD	19,794.12	
07SEP17	S 1 PD07DEC17	12200.00	10595.49	USD	9,627.06	
07SEP17	B 7 PD07DEC17	12170.00	10595.49	USD	-66,129.42	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AG

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
07SEP17	B 2 PD07DEC17	12115.00	10595.49	USD	-18,234.12	
07SEP17	B 2 PD07DEC17	12055.00	10595.49	USD	-17,514.12	
07SEP17	S 2 PD07DEC17	12065.00	10595.49	USD	17,634.12	
07SEP17	B 1 PD07DEC17	12155.00	10595.49	USD	-9,357.06	
07SEP17	S 2 PD07DEC17	12015.00	10595.49	USD	17,034.12	
07SEP17	S 1 PD07DEC17	12170.00	10595.49	USD	9,447.06	
07SEP17	S 1 PD07DEC17	12165.00	10595.49	USD	9,417.06	
07SEP17	B 1 PD07DEC17	12180.00	10595.49	USD	-9,507.06	
07SEP17	S 1 PD07DEC17	12215.00	10595.49	USD	9,717.06	
07SEP17	B 2 PD07DEC17	12200.00	10595.49	USD	-19,254.12	
07SEP17	B 1 PD07DEC17	12205.00	10595.49	USD	-9,657.06	
13SEP17	S 9 PD07DEC17	11355.62	10595.49	USD	41,047.02	
	NET 0 AVG BGHT 12169.50 SOLD 11791.78				**-45,326.52**	**0.00**
08SEP17	S 1 PD08DEC17	12285.00	10596.37	USD	10,131.78	
08SEP17	S 1 PD08DEC17	12290.00	10596.37	USD	10,161.78	
08SEP17	S 1 PD08DEC17	12270.00	10596.37	USD	10,041.78	
08SEP17	S 1 PD08DEC17	12255.00	10596.37	USD	9,951.78	
08SEP17	S 1 PD08DEC17	12245.00	10596.37	USD	9,891.78	
08SEP17	S 1 PD08DEC17	12250.00	10596.37	USD	9,921.78	
08SEP17	S 1 PD08DEC17	12265.00	10596.37	USD	10,011.78	
08SEP17	B 1 PD08DEC17	12285.00	10596.37	USD	-10,131.78	
08SEP17	B 1 PD08DEC17	12295.00	10596.37	USD	-10,191.78	
08SEP17	B 1 PD08DEC17	12360.00	10596.37	USD	-10,581.78	
08SEP17	B 1 PD08DEC17	12365.00	10596.37	USD	-10,611.78	
08SEP17	B 2 PD08DEC17	12335.00	10596.37	USD	-20,863.56	
08SEP17	B 2 PD08DEC17	12340.00	10596.37	USD	-20,923.56	
08SEP17	S 1 PD08DEC17	12150.00	10596.37	USD	9,321.78	
08SEP17	S 2 PD08DEC17	12180.00	10596.37	USD	19,003.56	
08SEP17	S 1 PD08DEC17	12175.00	10596.37	USD	9,471.78	
08SEP17	S 2 PD08DEC17	12155.00	10596.37	USD	18,703.56	
08SEP17	S 1 PD08DEC17	12115.00	10596.37	USD	9,111.78	
08SEP17	S 1 PD08DEC17	12135.00	10596.37	USD	9,231.78	
08SEP17	S 1 PD08DEC17	12130.00	10596.37	USD	9,201.78	
08SEP17	S 1 PD08DEC17	12090.00	10596.37	USD	8,961.78	
08SEP17	S 2 PD08DEC17	11960.00	10596.37	USD	16,363.56	
08SEP17	S 2 PD08DEC17	11940.00	10596.37	USD	16,123.56	
08SEP17	B 2 PD08DEC17	11975.00	10596.37	USD	-16,543.56	
08SEP17	B 2 PD08DEC17	11965.00	10596.37	USD	-16,423.56	
08SEP17	S 3 PD08DEC17	11860.00	10596.37	USD	22,745.34	
08SEP17	S 7 PD08DEC17	11845.00	10596.37	USD	52,442.46	
08SEP17	S 3 PD08DEC17	11840.00	10596.37	USD	22,385.34	
08SEP17	S 3 PD08DEC17	11835.00	10596.37	USD	22,295.34	
08SEP17	S 2 PD08DEC17	11825.00	10596.37	USD	14,743.56	
08SEP17	B 4 PD08DEC17	11745.00	10596.37	USD	-27,567.12	
08SEP17	S 2 PD08DEC17	11760.00	10596.37	USD	13,963.56	
08SEP17	B 4 PD08DEC17	11760.00	10596.37	USD	-27,927.12	
08SEP17	B 4 PD08DEC17	11755.00	10596.37	USD	-27,807.12	
08SEP17	B 2 PD08DEC17	11790.00	10596.37	USD	-14,323.56	
08SEP17	B 2 PD08DEC17	11785.00	10596.37	USD	-14,263.56	
08SEP17	B 2 PD08DEC17	11780.00	10596.37	USD	-14,203.56	
08SEP17	B 1 PD08DEC17	11765.00	10596.37	USD	-7,011.78	
08SEP17	B 1 PD08DEC17	11720.00	10596.37	USD	-6,741.78	
08SEP17	B 1 PD08DEC17	11740.00	10596.37	USD	-6,861.78	
08SEP17	S 1 PD08DEC17	11530.00	10596.37	USD	5,601.78	
08SEP17	S 1 PD08DEC17	11535.00	10596.37	USD	5,631.78	
08SEP17	S 1 PD08DEC17	11550.00	10596.37	USD	5,721.78	
08SEP17	S 2 PD08DEC17	11575.00	10596.37	USD	11,743.56	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AG

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
08SEP17	S 1 PD08DEC17	11580.00	10596.37	USD	5,901.78	
08SEP17	S 3 PD08DEC17	11590.00	10596.37	USD	17,885.34	
08SEP17	S 2 PD08DEC17	11610.00	10596.37	USD	12,163.56	
13SEP17	B 9 PD08DEC17	11357.46	10596.37	USD	-41,098.86	
13SEP17	B 5 PD08DEC17	11356.46	10596.37	USD	-22,802.70	
15SEP17	B 5 PD08DEC17	11084.40	10596.37	USD	-14,640.90	
	NET 0 AVG BGHT 11690.99 SOLD 11906.73				**67,311.36**	**0.00**
11SEP17	S 1 PD11DEC17	11595.00	10599.03	USD	5,975.82	
11SEP17	S 1 PD11DEC17	11600.00	10599.03	USD	6,005.82	
11SEP17	S 3 PD11DEC17	11565.00	10599.03	USD	17,387.46	
11SEP17	S 1 PD11DEC17	11560.00	10599.03	USD	5,765.82	
11SEP17	B 1 PD11DEC17	11600.00	10599.03	USD	-6,005.82	
11SEP17	B 1 PD11DEC17	11605.00	10599.03	USD	-6,035.82	
11SEP17	S 1 PD11DEC17	11715.00	10599.03	USD	6,695.82	
11SEP17	B 1 PD11DEC17	11655.00	10599.03	USD	-6,335.82	
11SEP17	B 2 PD11DEC17	11665.00	10599.03	USD	-12,791.64	
11SEP17	B 1 PD11DEC17	11725.00	10599.03	USD	-6,755.82	
11SEP17	B 1 PD11DEC17	11740.00	10599.03	USD	-6,845.82	
11SEP17	B 1 PD11DEC17	11750.00	10599.03	USD	-6,905.82	
11SEP17	S 1 PD11DEC17	11800.00	10599.03	USD	7,205.82	
11SEP17	S 1 PD11DEC17	11805.00	10599.03	USD	7,235.82	
11SEP17	S 4 PD11DEC17	11820.00	10599.03	USD	29,303.28	
15SEP17	B 5 PD11DEC17	11086.80	10599.03	USD	-14,633.10	
	NET 0 AVG BGHT 11449.15 SOLD 11696.15				**19,266.00**	**0.00**
12SEP17	B 1 PD12DEC17	11675.00	10599.91	USD	-6,450.54	
12SEP17	B 4 PD12DEC17	11670.00	10599.91	USD	-25,682.16	
12SEP17	B 1 PD12DEC17	11655.00	10599.91	USD	-6,330.54	
12SEP17	B 2 PD12DEC17	11645.00	10599.91	USD	-12,541.08	
12SEP17	B 2 PD12DEC17	11640.00	10599.91	USD	-12,481.08	
12SEP17	B 3 PD12DEC17	11650.00	10599.91	USD	-18,901.62	
12SEP17	B 2 PD12DEC17	11725.00	10599.91	USD	-13,501.08	
12SEP17	S 1 PD12DEC17	11910.00	10599.91	USD	7,860.54	
12SEP17	S 1 PD12DEC17	11915.00	10599.91	USD	7,890.54	
12SEP17	S 2 PD12DEC17	11925.00	10599.91	USD	15,901.08	
12SEP17	S 1 PD12DEC17	11950.00	10599.91	USD	8,100.54	
12SEP17	S 1 PD12DEC17	11960.00	10599.91	USD	8,160.54	
12SEP17	S 1 PD12DEC17	11970.00	10599.91	USD	8,220.54	
12SEP17	S 3 PD12DEC17	11965.00	10599.91	USD	24,571.62	
12SEP17	S 2 PD12DEC17	11955.00	10599.91	USD	16,261.08	
12SEP17	B 2 PD12DEC17	11930.00	10599.91	USD	-15,961.08	
13SEP17	S 5 PD12DEC17	11358.50	10599.91	USD	22,757.70	
	NET 0 AVG BGHT 11696.47 SOLD 11773.68				**7,875.00**	**0.00**
13SEP17	B 2 PD13DEC17	11930.00	10600.80	USD	-15,950.40	
13SEP17	B 2 PD13DEC17	11815.00	10600.80	USD	-14,570.40	
13SEP17	B 1 PD13DEC17	11770.00	10600.80	USD	-7,015.20	
13SEP17	B 2 PD13DEC17	11740.00	10600.80	USD	-13,670.40	
13SEP17	B 1 PD13DEC17	11745.00	10600.80	USD	-6,865.20	
13SEP17	B 1 PD13DEC17	11565.00	10600.80	USD	-5,785.20	
13SEP17	B 2 PD13DEC17	11575.00	10600.80	USD	-11,690.40	
13SEP17	B 2 PD13DEC17	11570.00	10600.80	USD	-11,630.40	
13SEP17	B 2 PD13DEC17	11555.00	10600.80	USD	-11,450.40	
13SEP17	B 5 PD13DEC17	11580.00	10600.80	USD	-29,376.00	
13SEP17	B 1 PD13DEC17	11380.00	10600.80	USD	-4,675.20	
13SEP17	B 3 PD13DEC17	11370.00	10600.80	USD	-13,845.60	
13SEP17	B 1 PD13DEC17	11390.00	10600.80	USD	-4,735.20	
13SEP17	B 1 PD13DEC17	11460.00	10600.80	USD	-5,155.20	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AG

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
13SEP17	B 2 PD13DEC17	11455.00	10600.80	USD	-10,250.40	
13SEP17	B 3 PD13DEC17	11385.00	10600.80	USD	-14,115.60	
13SEP17	B 3 PD13DEC17	11375.00	10600.80	USD	-13,935.60	
15SEP17	S 10 PD13DEC17	11087.40	10600.80	USD	29,196.00	
15SEP17	S 24 PD13DEC17	11088.40	10600.80	USD	70,214.40	
	NET 0 AVG BGHT 11555.29 SOLD 11088.11				**-95,306.40**	**0.00**
14SEP17	S 1 PD14DEC17	11460.00	10601.69	USD	5,149.86	
14SEP17	S 2 PD14DEC17	11465.00	10601.69	USD	10,359.72	
14SEP17	S 1 PD14DEC17	11500.00	10601.69	USD	5,389.86	
14SEP17	S 2 PD14DEC17	11495.00	10601.69	USD	10,719.72	
14SEP17	S 1 PD14DEC17	11510.00	10601.69	USD	5,449.86	
14SEP17	S 3 PD14DEC17	11515.00	10601.69	USD	16,439.58	
14SEP17	S 2 PD14DEC17	11455.00	10601.69	USD	10,239.72	
14SEP17	S 2 PD14DEC17	11470.00	10601.69	USD	10,419.72	
14SEP17	S 2 PD14DEC17	11335.00	10601.69	USD	8,799.72	
14SEP17	B 1 PD14DEC17	11305.00	10601.69	USD	-4,219.86	
14SEP17	B 1 PD14DEC17	11290.00	10601.69	USD	-4,129.86	
14SEP17	B 1 PD14DEC17	11285.00	10601.69	USD	-4,099.86	
14SEP17	B 2 PD14DEC17	11280.00	10601.69	USD	-8,139.72	
14SEP17	B 1 PD14DEC17	11245.00	10601.69	USD	-3,859.86	
14SEP17	B 1 PD14DEC17	11265.00	10601.69	USD	-3,979.86	
14SEP17	B 4 PD14DEC17	11185.00	10601.69	USD	-13,999.44	
14SEP17	B 2 PD14DEC17	11205.00	10601.69	USD	-7,239.72	
14SEP17	S 2 PD14DEC17	11205.00	10601.69	USD	7,239.72	
14SEP17	B 1 PD14DEC17	11195.00	10601.69	USD	-3,559.86	
14SEP17	B 1 PD14DEC17	11165.00	10601.69	USD	-3,379.86	
14SEP17	S 1 PD14DEC17	11160.00	10601.69	USD	3,349.86	
14SEP17	S 1 PD14DEC17	11150.00	10601.69	USD	3,289.86	
14SEP17	S 1 PD14DEC17	11470.00	10601.69	USD	5,209.86	
14SEP17	S 3 PD14DEC17	11265.00	10601.69	USD	11,939.58	
14SEP17	S 8 PD14DEC17	11270.00	10601.69	USD	32,078.88	
14SEP17	S 6 PD14DEC17	11275.00	10601.69	USD	24,239.16	
14SEP17	S 1 PD14DEC17	11290.00	10601.69	USD	4,129.86	
14SEP17	S 4 PD14DEC17	11300.00	10601.69	USD	16,759.44	
14SEP17	S 2 PD14DEC17	11315.00	10601.69	USD	8,559.72	
14SEP17	S 2 PD14DEC17	11305.00	10601.69	USD	8,439.72	
14SEP17	S 5 PD14DEC17	11260.00	10601.69	USD	19,749.30	
14SEP17	S 3 PD14DEC17	11280.00	10601.69	USD	12,209.58	
14SEP17	B 2 PD14DEC17	11210.00	10601.69	USD	-7,299.72	
14SEP17	B 1 PD14DEC17	11225.00	10601.69	USD	-3,739.86	
14SEP17	B 1 PD14DEC17	11215.00	10601.69	USD	-3,679.86	
14SEP17	B 1 PD14DEC17	11220.00	10601.69	USD	-3,709.86	
14SEP17	B 6 PD14DEC17	11200.00	10601.69	USD	-21,539.16	
15SEP17	B 24 PD14DEC17	11090.20	10601.69	USD	-70,345.44	
20SEP17	B 5 PD14DEC17	11375.37	10601.69	USD	-23,210.40	
	NET 0 AVG BGHT 11177.85 SOLD 11329.45				**50,030.10**	**0.00**
15SEP17	B 1 PD15DEC17	11130.00	10602.57	USD	-3,164.58	
15SEP17	B 1 PD15DEC17	11135.00	10602.57	USD	-3,194.58	
15SEP17	B 1 PD15DEC17	11170.00	10602.57	USD	-3,404.58	
15SEP17	S 1 PD15DEC17	11100.00	10602.57	USD	2,984.58	
15SEP17	S 1 PD15DEC17	11090.00	10602.57	USD	2,924.58	
15SEP17	S 1 PD15DEC17	11125.00	10602.57	USD	3,134.58	
15SEP17	S 1 PD15DEC17	11110.00	10602.57	USD	3,044.58	
15SEP17	S 1 PD15DEC17	11120.00	10602.57	USD	3,104.58	
15SEP17	B 1 PD15DEC17	11160.00	10602.57	USD	-3,344.58	
15SEP17	B 2 PD15DEC17	11140.00	10602.57	USD	-6,449.16	
15SEP17	S 1 PD15DEC17	10970.00	10602.57	USD	2,204.58	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AG

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
15SEP17	S 1 PD15DEC17	10955.00	10602.57	USD	2,114.58	
15SEP17	S 1 PD15DEC17	10930.00	10602.57	USD	1,964.58	
15SEP17	S 1 PD15DEC17	10940.00	10602.57	USD	2,024.58	
15SEP17	S 3 PD15DEC17	10935.00	10602.57	USD	5,983.74	
15SEP17	S 2 PD15DEC17	11050.00	10602.57	USD	5,369.16	
15SEP17	S 1 PD15DEC17	11095.00	10602.57	USD	2,954.58	
15SEP17	B 4 PD15DEC17	11105.00	10602.57	USD	-12,058.32	
20SEP17	B 5 PD15DEC17	11376.14	10602.57	USD	-23,207.10	
	NET 0 AVG BGHT 11211.71 SOLD 11022.67				**-17,014.20**	**0.00**
18SEP17	S 2 PD18DEC17	11185.00	10605.23	USD	6,957.24	
20SEP17	B 2 PD18DEC17	11378.46	10605.23	USD	-9,278.76	
	NET 0 AVG BGHT 11378.46 SOLD 11185.00				**-2,321.52**	**0.00**
19SEP17	S 1 PD19DEC17	11175.00	10606.11	USD	3,413.34	
19SEP17	B 1 PD19DEC17	11135.00	10606.11	USD	-3,173.34	
19SEP17	B 2 PD19DEC17	11140.00	10606.11	USD	-6,406.68	
19SEP17	B 4 PD19DEC17	11120.00	10606.11	USD	-12,333.36	
19SEP17	B 1 PD19DEC17	11110.00	10606.11	USD	-3,023.34	
19SEP17	B 3 PD19DEC17	11115.00	10606.11	USD	-9,160.02	
19SEP17	B 5 PD19DEC17	11100.00	10606.11	USD	-14,816.70	
19SEP17	B 3 PD19DEC17	11095.00	10606.11	USD	-8,800.02	
19SEP17	B 1 PD19DEC17	11040.00	10606.11	USD	-2,603.34	
19SEP17	B 1 PD19DEC17	11035.00	10606.11	USD	-2,573.34	
19SEP17	B 1 PD19DEC17	10985.00	10606.11	USD	-2,273.34	
19SEP17	B 1 PD19DEC17	10995.00	10606.11	USD	-2,333.34	
19SEP17	S 2 PD19DEC17	11000.00	10606.11	USD	4,726.68	
19SEP17	B 2 PD19DEC17	10965.00	10606.11	USD	-4,306.68	
19SEP17	S 1 PD19DEC17	11200.00	10606.11	USD	3,563.34	
19SEP17	S 1 PD19DEC17	11210.00	10606.11	USD	3,623.34	
19SEP17	S 1 PD19DEC17	11205.00	10606.11	USD	3,593.34	
19SEP17	S 1 PD19DEC17	11185.00	10606.11	USD	3,473.34	
20SEP17	S 12 PD19DEC17	11378.23	10606.11	USD	55,592.64	
22SEP17	S 6 PD19DEC17	10417.11	10606.11	USD	-6,804.00	
	NET 0 AVG BGHT 11084.80 SOLD 11080.66				**-621.48**	**0.00**
20SEP17	B 1 PD20DEC17	11370.00	10607.00	USD	-4,578.00	
20SEP17	B 1 PD20DEC17	11380.00	10607.00	USD	-4,638.00	
20SEP17	B 1 PD20DEC17	11385.00	10607.00	USD	-4,668.00	
20SEP17	B 1 PD20DEC17	11390.00	10607.00	USD	-4,698.00	
20SEP17	B 3 PD20DEC17	11395.00	10607.00	USD	-14,184.00	
20SEP17	B 1 PD20DEC17	11380.00	10607.00	USD	-4,638.00	
20SEP17	S 2 PD20DEC17	11365.00	10607.00	USD	9,096.00	
20SEP17	S 1 PD20DEC17	11395.00	10607.00	USD	4,728.00	
20SEP17	S 1 PD20DEC17	11375.00	10607.00	USD	4,608.00	
20SEP17	S 3 PD20DEC17	11355.00	10607.00	USD	13,464.00	
20SEP17	S 2 PD20DEC17	11320.00	10607.00	USD	8,556.00	
20SEP17	S 1 PD20DEC17	11310.00	10607.00	USD	4,218.00	
20SEP17	S 1 PD20DEC17	11325.00	10607.00	USD	4,308.00	
20SEP17	S 2 PD20DEC17	11330.00	10607.00	USD	8,676.00	
20SEP17	S 2 PD20DEC17	11340.00	10607.00	USD	8,796.00	
20SEP17	S 4 PD20DEC17	11350.00	10607.00	USD	17,832.00	
20SEP17	S 4 PD20DEC17	11360.00	10607.00	USD	18,072.00	
20SEP17	S 1 PD20DEC17	11325.00	10607.00	USD	4,308.00	
20SEP17	B 10 PD20DEC17	11360.00	10607.00	USD	-45,180.00	
22SEP17	B 6 PD20DEC17	10419.00	10607.00	USD	6,768.00	
	NET 0 AVG BGHT 11133.50 SOLD 11347.71				**30,846.00**	**0.00**
21SEP17	S 1 PD21DEC17	11110.00	10608.00	USD	3,012.00	
21SEP17	B 1 PD21DEC17	11165.00	10608.00	USD	-3,342.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AG

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
21SEP17	S 1 PD21DEC17	11020.00	10608.00	USD	2,472.00	
21SEP17	B 1 PD21DEC17	10975.00	10608.00	USD	-2,202.00	
21SEP17	S 1 PD21DEC17	10965.00	10608.00	USD	2,142.00	
21SEP17	B 1 PD21DEC17	11010.00	10608.00	USD	-2,412.00	
21SEP17	B 5 PD21DEC17	11005.00	10608.00	USD	-11,910.00	
21SEP17	B 2 PD21DEC17	11000.00	10608.00	USD	-4,704.00	
21SEP17	B 4 PD21DEC17	10995.00	10608.00	USD	-9,288.00	
21SEP17	B 1 PD21DEC17	11060.00	10608.00	USD	-2,712.00	
21SEP17	B 5 PD21DEC17	11090.00	10608.00	USD	-14,460.00	
21SEP17	B 1 PD21DEC17	11125.00	10608.00	USD	-3,102.00	
21SEP17	B 3 PD21DEC17	11115.00	10608.00	USD	-9,126.00	
21SEP17	B 5 PD21DEC17	11105.00	10608.00	USD	-14,910.00	
21SEP17	B 3 PD21DEC17	11095.00	10608.00	USD	-8,766.00	
21SEP17	B 4 PD21DEC17	11085.00	10608.00	USD	-11,448.00	
21SEP17	B 1 PD21DEC17	11000.00	10608.00	USD	-2,352.00	
21SEP17	B 1 PD21DEC17	11005.00	10608.00	USD	-2,382.00	
21SEP17	B 1 PD21DEC17	11010.00	10608.00	USD	-2,412.00	
21SEP17	B 1 PD21DEC17	11075.00	10608.00	USD	-2,802.00	
21SEP17	B 3 PD21DEC17	11100.00	10608.00	USD	-8,856.00	
21SEP17	B 1 PD21DEC17	10980.00	10608.00	USD	-2,232.00	
21SEP17	B 1 PD21DEC17	10985.00	10608.00	USD	-2,262.00	
21SEP17	S 1 PD21DEC17	10725.00	10608.00	USD	702.00	
21SEP17	S 2 PD21DEC17	10730.00	10608.00	USD	1,464.00	
21SEP17	S 3 PD21DEC17	10655.00	10608.00	USD	846.00	
21SEP17	S 1 PD21DEC17	10650.00	10608.00	USD	252.00	
21SEP17	S 2 PD21DEC17	10630.00	10608.00	USD	264.00	
21SEP17	S 2 PD21DEC17	10635.00	10608.00	USD	324.00	
21SEP17	S 2 PD21DEC17	10750.00	10608.00	USD	1,704.00	
28SEP17	S 29 PD21DEC17	10431.00	10608.00	USD	-30,798.00	
	NET 0 AVG BGHT 11058.67 SOLD 10542.76				**-139,296.00**	**0.00**
22SEP17	B 1 PD22DEC17	10590.00	10609.00	USD	114.00	
22SEP17	S 2 PD22DEC17	10615.00	10609.00	USD	72.00	
22SEP17	S 2 PD22DEC17	10590.00	10609.00	USD	-228.00	
22SEP17	B 2 PD22DEC17	10585.00	10609.00	USD	288.00	
22SEP17	B 1 PD22DEC17	10600.00	10609.00	USD	54.00	
22SEP17	B 4 PD22DEC17	10610.00	10609.00	USD	-24.00	
22SEP17	B 2 PD22DEC17	10580.00	10609.00	USD	348.00	
22SEP17	B 1 PD22DEC17	10605.00	10609.00	USD	24.00	
22SEP17	B 4 PD22DEC17	10575.00	10609.00	USD	816.00	
22SEP17	B 1 PD22DEC17	10570.00	10609.00	USD	234.00	
22SEP17	B 1 PD22DEC17	10470.00	10609.00	USD	834.00	
22SEP17	B 1 PD22DEC17	10480.00	10609.00	USD	774.00	
22SEP17	B 1 PD22DEC17	10455.00	10609.00	USD	924.00	
22SEP17	B 1 PD22DEC17	10440.00	10609.00	USD	1,014.00	
22SEP17	B 1 PD22DEC17	10435.00	10609.00	USD	1,044.00	
22SEP17	B 2 PD22DEC17	10445.00	10609.00	USD	1,968.00	
22SEP17	B 3 PD22DEC17	10460.00	10609.00	USD	2,682.00	
22SEP17	B 1 PD22DEC17	10525.00	10609.00	USD	504.00	
28SEP17	S 23 PD22DEC17	10432.00	10609.00	USD	-24,426.00	
	NET 0 AVG BGHT 10537.41 SOLD 10457.26				**-12,984.00**	**0.00**
25SEP17	S 2 PD27DEC17	10605.00	10613.58	USD	-102.96	
25SEP17	S 2 PD27DEC17	10610.00	10613.58	USD	-42.96	
25SEP17	S 1 PD27DEC17	10520.00	10613.58	USD	-561.48	
25SEP17	S 1 PD27DEC17	10590.00	10613.58	USD	-141.48	
25SEP17	S 1 PD27DEC17	10585.00	10613.58	USD	-171.48	
25SEP17	S 2 PD27DEC17	10620.00	10613.58	USD	77.04	
25SEP17	S 6 PD27DEC17	10615.00	10613.58	USD	51.12	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AG

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
25SEP17	S 2 PD27DEC17	10575.00	10613.58	USD	-462.96	
25SEP17	S 2 PD27DEC17	10545.00	10613.58	USD	-822.96	
25SEP17	S 1 PD27DEC17	10495.00	10613.58	USD	-711.48	
25SEP17	S 1 PD27DEC17	10600.00	10613.58	USD	-81.48	
25SEP17	S 1 PD27DEC17	10580.00	10613.58	USD	-201.48	
25SEP17	S 2 PD27DEC17	10525.00	10613.58	USD	-1,062.96	
25SEP17	B 3 PD27DEC17	10535.00	10613.58	USD	1,414.44	
25SEP17	B 1 PD27DEC17	10530.00	10613.58	USD	501.48	
25SEP17	B 1 PD27DEC17	10475.00	10613.58	USD	831.48	
25SEP17	B 1 PD27DEC17	10465.00	10613.58	USD	891.48	
25SEP17	B 1 PD27DEC17	10440.00	10613.58	USD	1,041.48	
25SEP17	S 4 PD27DEC17	10560.00	10613.58	USD	-1,285.92	
25SEP17	S 6 PD27DEC17	10565.00	10613.58	USD	-1,748.88	
25SEP17	S 1 PD27DEC17	10570.00	10613.58	USD	-261.48	
25SEP17	S 2 PD27DEC17	10595.00	10613.58	USD	-222.96	
25SEP17	B 1 PD27DEC17	10580.00	10613.58	USD	201.48	
25SEP17	S 1 PD27DEC17	10565.00	10613.58	USD	-291.48	
25SEP17	S 1 PD27DEC17	10575.00	10613.58	USD	-231.48	
26SEP17	B 4 PD27DEC17	10480.00	10613.58	USD	3,205.92	
26SEP17	B 6 PD27DEC17	10475.00	10613.58	USD	4,988.88	
26SEP17	B 1 PD27DEC17	10440.00	10613.58	USD	1,041.48	
26SEP17	B 1 PD27DEC17	10430.00	10613.58	USD	1,101.48	
26SEP17	B 1 PD27DEC17	10410.00	10613.58	USD	1,221.48	
26SEP17	B 3 PD27DEC17	10415.00	10613.58	USD	3,574.44	
26SEP17	B 3 PD27DEC17	10455.00	10613.58	USD	2,854.44	
26SEP17	B 2 PD27DEC17	10445.00	10613.58	USD	2,022.96	
26SEP17	B 1 PD27DEC17	10450.00	10613.58	USD	981.48	
26SEP17	B 1 PD27DEC17	10510.00	10613.58	USD	621.48	
26SEP17	B 1 PD27DEC17	10545.00	10613.58	USD	411.48	
26SEP17	B 3 PD27DEC17	10500.00	10613.58	USD	2,044.44	
26SEP17	B 4 PD27DEC17	10495.00	10613.58	USD	2,845.92	
26SEP17	B 3 PD27DEC17	10505.00	10613.58	USD	1,954.44	
26SEP17	B 2 PD27DEC17	10485.00	10613.58	USD	1,542.96	
26SEP17	B 3 PD27DEC17	10490.00	10613.58	USD	2,224.44	
27SEP17	S 3 PD27DEC17	10490.00	10613.58	USD	-2,224.44	
27SEP17	S 2 PD27DEC17	10485.00	10613.58	USD	-1,542.96	
27SEP17	S 2 PD27DEC17	10505.00	10613.58	USD	-1,302.96	
27SEP17	S 9 PD27DEC17	10520.00	10613.58	USD	-5,053.32	
27SEP17	S 6 PD27DEC17	10525.00	10613.58	USD	-3,188.88	
27SEP17	S 2 PD27DEC17	10555.00	10613.58	USD	-702.96	
27SEP17	S 8 PD27DEC17	10560.00	10613.58	USD	-2,571.84	
27SEP17	S 3 PD27DEC17	10590.00	10613.58	USD	-424.44	
27SEP17	S 2 PD27DEC17	10595.00	10613.58	USD	-222.96	
27SEP17	S 1 PD27DEC17	10480.00	10613.58	USD	-801.48	
27SEP17	S 4 PD27DEC17	10485.00	10613.58	USD	-3,085.92	
27SEP17	S 3 PD27DEC17	10520.00	10613.58	USD	-1,684.44	
28SEP17	B 37 PD27DEC17	10439.67	10613.58	USD	38,608.02	
	NET 0 AVG BGHT 10462.53 SOLD 10551.90				**45,043.26**	**0.00**
28SEP17	S 4 PD28DEC17	10300.00	10614.50	USD	-7,548.00	
28SEP17	S 1 PD28DEC17	10295.00	10614.50	USD	-1,917.00	
28SEP17	S 3 PD28DEC17	10275.00	10614.50	USD	-6,111.00	
28SEP17	S 2 PD28DEC17	10270.00	10614.50	USD	-4,134.00	
28SEP17	S 3 PD28DEC17	10245.00	10614.50	USD	-6,651.00	
28SEP17	S 2 PD28DEC17	10245.00	10614.50	USD	-4,434.00	
28SEP17	B 15 PD28DEC17	10441.00	10614.50	USD	15,615.00	
	NET 0 AVG BGHT 10441.00 SOLD 10272.33				**-15,180.00**	**0.00**
	LME TIN 5 TONNES. THIS IS AN LME					

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AG

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
18JUL17	B 1 PD18OCT17	20035.00	20934.00	USD	4,495.00	
18JUL17	B 3 PD18OCT17	20040.00	20934.00	USD	13,410.00	
20JUL17	S 2 PD18OCT17	20090.00	20934.00	USD	-8,440.00	
24JUL17	S 1 PD18OCT17	20195.00	20934.00	USD	-3,695.00	
27JUL17	S 1 PD18OCT17	20690.00	20934.00	USD	-1,220.00	
	NET 0 AVG BGHT 20038.75 SOLD 20266.25				**4,550.00**	**0.00**
19JUL17	S 1 PD19OCT17	20050.00	20929.00	USD	-4,395.00	
19JUL17	S 1 PD19OCT17	20035.00	20929.00	USD	-4,470.00	
20JUL17	B 2 PD19OCT17	20081.00	20929.00	USD	8,480.00	
	NET 0 AVG BGHT 20081.00 SOLD 20042.50				**-385.00**	**0.00**
20JUL17	S 1 PD20OCT17	20095.00	20924.00	USD	-4,145.00	
24JUL17	B 1 PD20OCT17	20166.00	20924.00	USD	3,790.00	
	NET 0 AVG BGHT 20166.00 SOLD 20095.00				**-355.00**	**0.00**
24JUL17	B 1 PD24OCT17	20120.00	20914.00	USD	3,970.00	
04AUG17	S 1 PD24OCT17	20530.00	20914.00	USD	-1,920.00	
	NET 0 AVG BGHT 20120.00 SOLD 20530.00				**2,050.00**	**0.00**
25JUL17	S 1 PD25OCT17	20325.00	20909.00	USD	-2,920.00	
27JUL17	B 1 PD25OCT17	20662.43	20909.00	USD	1,232.85	
	NET 0 AVG BGHT 20662.43 SOLD 20325.00				**-1,687.15**	**0.00**
27JUL17	B 1 PD27OCT17	20400.00	20899.00	USD	2,495.00	
27JUL17	S 1 PD27OCT17	20700.00	20899.00	USD	-995.00	
28JUL17	S 1 PD27OCT17	20825.00	20899.00	USD	-370.00	
28JUL17	B 2 PD27OCT17	20685.00	20899.00	USD	2,140.00	
28JUL17	B 1 PD27OCT17	20655.00	20899.00	USD	1,220.00	
04AUG17	S 2 PD27OCT17	20522.50	20899.00	USD	-3,765.00	
	NET 0 AVG BGHT 20606.25 SOLD 20642.50				**725.00**	**0.00**
31JUL17	S 1 PD31OCT17	20845.00	20889.00	USD	-220.00	
04AUG17	B 1 PD31OCT17	20518.50	20889.00	USD	1,852.50	
	NET 0 AVG BGHT 20518.50 SOLD 20845.00				**1,632.50**	**0.00**
01AUG17	S 1 PD01NOV17	20620.00	20884.00	USD	-1,320.00	
01AUG17	S 1 PD01NOV17	20650.00	20884.00	USD	-1,170.00	
04AUG17	B 2 PD01NOV17	20516.00	20884.00	USD	3,680.00	
	NET 0 AVG BGHT 20516.00 SOLD 20635.00				**1,190.00**	**0.00**
04AUG17	S 1 PD03NOV17	20620.00	20881.20	USD	-1,306.00	
04AUG17	B 1 PD03NOV17	20560.00	20881.20	USD	1,606.00	
	NET 0 AVG BGHT 20560.00 SOLD 20620.00				**300.00**	**0.00**
07AUG17	B 1 PD07NOV17	20575.00	20878.40	USD	1,517.00	
07AUG17	B 1 PD07NOV17	20560.00	20878.40	USD	1,592.00	
15AUG17	S 2 PD07NOV17	20109.00	20878.40	USD	-7,694.00	
	NET 0 AVG BGHT 20567.50 SOLD 20109.00				**-4,585.00**	**0.00**
08AUG17	B 1 PD08NOV17	20425.00	20877.00	USD	2,260.00	
08AUG17	B 1 PD08NOV17	20310.00	20877.00	USD	2,835.00	
08AUG17	B 1 PD08NOV17	20215.00	20877.00	USD	3,310.00	
08AUG17	B 1 PD08NOV17	20175.00	20877.00	USD	3,510.00	
08AUG17	B 1 PD08NOV17	20345.00	20877.00	USD	2,660.00	
15AUG17	S 5 PD08NOV17	20107.50	20877.00	USD	-19,237.50	
	NET 0 AVG BGHT 20294.00 SOLD 20107.50				**-4,662.50**	**0.00**
10AUG17	S 1 PD10NOV17	20390.00	20874.20	USD	-2,421.00	
10AUG17	B 1 PD10NOV17	20260.00	20874.20	USD	3,071.00	
10AUG17	B 1 PD10NOV17	20285.00	20874.20	USD	2,946.00	
10AUG17	S 1 PD10NOV17	20430.00	20874.20	USD	-2,221.00	
10AUG17	B 1 PD10NOV17	20300.00	20874.20	USD	2,871.00	
10AUG17	S 1 PD10NOV17	20345.00	20874.20	USD	-2,646.00	
10AUG17	B 1 PD10NOV17	20375.00	20874.20	USD	2,496.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AG

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
11AUG17	S 1 PD10NOV17	20215.00	20874.20	USD	-3,296.00	
11AUG17	S 1 PD10NOV17	20200.00	20874.20	USD	-3,371.00	
11AUG17	B 1 PD10NOV17	20305.00	20874.20	USD	2,846.00	
11AUG17	S 1 PD10NOV17	20350.00	20874.20	USD	-2,621.00	
11AUG17	S 1 PD10NOV17	20345.00	20874.20	USD	-2,646.00	
15AUG17	B 2 PD10NOV17	20105.50	20874.20	USD	7,687.00	
	NET 0 AVG BGHT 20248.00 SOLD 20325.00				**2,695.00**	**0.00**
14AUG17	S 1 PD14NOV17	20235.00	20871.40	USD	-3,182.00	
14AUG17	S 1 PD14NOV17	20285.00	20871.40	USD	-2,932.00	
14AUG17	S 1 PD14NOV17	20260.00	20871.40	USD	-3,057.00	
14AUG17	S 1 PD14NOV17	20305.00	20871.40	USD	-2,832.00	
14AUG17	S 1 PD14NOV17	20295.00	20871.40	USD	-2,882.00	
15AUG17	B 5 PD14NOV17	20102.50	20871.40	USD	19,222.50	
	NET 0 AVG BGHT 20102.50 SOLD 20276.00				**4,337.50**	**0.00**
15AUG17	B 1 PD15NOV17	20115.00	20870.00	USD	3,775.00	
15AUG17	B 1 PD15NOV17	19800.00	20870.00	USD	5,350.00	
15AUG17	B 1 PD15NOV17	19970.00	20870.00	USD	4,500.00	
15AUG17	S 1 PD15NOV17	19930.00	20870.00	USD	-4,700.00	
18AUG17	S 2 PD15NOV17	20260.00	20870.00	USD	-6,100.00	
	NET 0 AVG BGHT 19961.67 SOLD 20150.00				**2,825.00**	**0.00**
16AUG17	S 2 PD16NOV17	19940.00	20868.75	USD	-9,287.50	
18AUG17	B 2 PD16NOV17	20251.00	20868.75	USD	6,177.50	
	NET 0 AVG BGHT 20251.00 SOLD 19940.00				**-3,110.00**	**0.00**
17AUG17	S 1 PD17NOV17	20310.00	20867.50	USD	-2,787.50	
18AUG17	S 1 PD17NOV17	20175.00	20867.50	USD	-3,462.50	
18AUG17	B 1 PD17NOV17	20165.00	20867.50	USD	3,512.50	
18AUG17	S 1 PD17NOV17	20280.00	20867.50	USD	-2,937.50	
25AUG17	B 2 PD17NOV17	20338.33	20867.50	USD	5,291.70	
	NET 0 AVG BGHT 20280.55 SOLD 20255.00				**-383.30**	**0.00**
21AUG17	S 1 PD21NOV17	20400.00	20865.00	USD	-2,325.00	
04SEP17	B 1 PD21NOV17	20820.00	20865.00	USD	225.00	
	NET 0 AVG BGHT 20820.00 SOLD 20400.00				**-2,100.00**	**0.00**
22AUG17	S 1 PD22NOV17	20430.00	20863.75	USD	-2,168.75	
22AUG17	S 1 PD22NOV17	20435.00	20863.75	USD	-2,143.75	
22AUG17	B 1 PD22NOV17	20300.00	20863.75	USD	2,818.75	
22AUG17	S 1 PD22NOV17	20275.00	20863.75	USD	-2,943.75	
04SEP17	B 2 PD22NOV17	20816.25	20863.75	USD	475.00	
	NET 0 AVG BGHT 20644.17 SOLD 20380.00				**-3,962.50**	**0.00**
24AUG17	B 1 PD24NOV17	20425.00	20862.50	USD	2,187.50	
24AUG17	B 1 PD24NOV17	20400.00	20862.50	USD	2,312.50	
25AUG17	S 2 PD24NOV17	20324.00	20862.50	USD	-5,385.00	
	NET 0 AVG BGHT 20412.50 SOLD 20324.00				**-885.00**	**0.00**
29AUG17	B 1 PD29NOV17	20525.00	20858.75	USD	1,668.75	
29AUG17	B 1 PD29NOV17	20500.00	20858.75	USD	1,793.75	
29AUG17	B 1 PD29NOV17	20680.00	20858.75	USD	893.75	
04SEP17	S 3 PD29NOV17	20800.25	20858.75	USD	-877.50	
	NET 0 AVG BGHT 20568.33 SOLD 20800.25				**3,478.75**	**0.00**
30AUG17	B 1 PD30NOV17	20445.00	20857.50	USD	2,062.50	
04SEP17	S 1 PD30NOV17	20797.50	20857.50	USD	-300.00	
	NET 0 AVG BGHT 20445.00 SOLD 20797.50				**1,762.50**	**0.00**
01SEP17	S 1 PD01DEC17	20710.00	20856.25	USD	-731.25	
04SEP17	B 1 PD01DEC17	20794.75	20856.25	USD	307.50	
	NET 0 AVG BGHT 20794.75 SOLD 20710.00				**-423.75**	**0.00**
05SEP17	S 2 PD05DEC17	20710.00	20853.75	USD	-1,437.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AG

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
05SEP17	S 1 PD05DEC17	20690.00	20853.75	USD	-818.75	
08SEP17	B 1 PD05DEC17	20556.56	20853.75	USD	1,485.95	
20SEP17	B 1 PD05DEC17	20643.33	20853.75	USD	1,052.10	
22SEP17	B 1 PD05DEC17	20577.08	20853.75	USD	1,383.35	
	NET 0 AVG BGHT 20592.32 SOLD 20703.33				**1,665.15**	**0.00**
06SEP17	S 1 PD06DEC17	20770.00	20852.50	USD	-412.50	
22SEP17	B 1 PD06DEC17	20574.17	20852.50	USD	1,391.65	
	NET 0 AVG BGHT 20574.17 SOLD 20770.00				**979.15**	**0.00**
07SEP17	S 1 PD07DEC17	20760.00	20851.25	USD	-456.25	
07SEP17	B 2 PD07DEC17	20730.00	20851.25	USD	1,212.50	
08SEP17	S 1 PD07DEC17	20551.19	20851.25	USD	-1,500.30	
	NET 0 AVG BGHT 20730.00 SOLD 20655.60				**-744.05**	**0.00**
08SEP17	B 1 PD08DEC17	20750.00	20850.00	USD	500.00	
08SEP17	B 1 PD08DEC17	20800.00	20850.00	USD	250.00	
08SEP17	S 1 PD08DEC17	20670.00	20850.00	USD	-900.00	
08SEP17	S 1 PD08DEC17	20665.00	20850.00	USD	-925.00	
08SEP17	S 1 PD08DEC17	20550.00	20850.00	USD	-1,500.00	
28SEP17	B 1 PD08DEC17	20655.00	20850.00	USD	975.00	
	NET 0 AVG BGHT 20735.00 SOLD 20628.33				**-1,600.00**	**0.00**
11SEP17	S 1 PD11DEC17	20650.00	20848.75	USD	-993.75	
28SEP17	B 1 PD11DEC17	20653.75	20848.75	USD	975.00	
	NET 0 AVG BGHT 20653.75 SOLD 20650.00				**-18.75**	**0.00**
14SEP17	B 1 PD14DEC17	20675.00	20845.00	USD	850.00	
14SEP17	S 1 PD14DEC17	20615.00	20845.00	USD	-1,150.00	
	NET 0 AVG BGHT 20675.00 SOLD 20615.00				**-300.00**	**0.00**
15SEP17	S 1 PD15DEC17	20525.00	20843.75	USD	-1,593.75	
28SEP17	B 1 PD15DEC17	20648.75	20843.75	USD	975.00	
	NET 0 AVG BGHT 20648.75 SOLD 20525.00				**-618.75**	**0.00**
18SEP17	S 1 PD18DEC17	20630.00	20842.50	USD	-1,062.50	
28SEP17	B 1 PD18DEC17	20647.50	20842.50	USD	975.00	
	NET 0 AVG BGHT 20647.50 SOLD 20630.00				**-87.50**	**0.00**
19SEP17	B 1 PD19DEC17	20660.00	20841.25	USD	906.25	
20SEP17	S 1 PD19DEC17	20625.67	20841.25	USD	-1,077.90	
	NET 0 AVG BGHT 20660.00 SOLD 20625.67				**-171.65**	**0.00**
20SEP17	B 1 PD20DEC17	20720.00	20840.00	USD	600.00	
20SEP17	B 1 PD20DEC17	20765.00	20840.00	USD	375.00	
22SEP17	S 2 PD20DEC17	20544.00	20840.00	USD	-2,960.00	
	NET 0 AVG BGHT 20742.50 SOLD 20544.00				**-1,985.00**	**0.00**
28SEP17	B 1 PD28DEC17	20650.00	20813.60	USD	818.00	
28SEP17	B 2 PD28DEC17	20625.00	20813.60	USD	1,886.00	
28SEP17	B 1 PD28DEC17	20635.00	20813.60	USD	893.00	
28SEP17	S 4 PD28DEC17	20599.00	20813.60	USD	-4,292.00	
	NET 0 AVG BGHT 20633.75 SOLD 20599.00				**-695.00**	**0.00**
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
14JUL17	S 1 PD13OCT17	2781.00	3297.88	USD	-12,922.00	
14JUL17	B 1 PD13OCT17	2783.50	3297.88	USD	12,859.50	
14JUL17	B 1 PD13OCT17	2788.50	3297.88	USD	12,734.50	
14JUL17	B 1 PD13OCT17	2783.50	3297.88	USD	12,859.50	
14JUL17	B 2 PD13OCT17	2782.00	3297.88	USD	25,794.00	
14JUL17	B 1 PD13OCT17	2781.50	3297.88	USD	12,909.50	
14JUL17	B 1 PD13OCT17	2788.50	3297.88	USD	12,734.50	
14JUL17	B 1 PD13OCT17	2788.00	3297.88	USD	12,747.00	
24JUL17	S 6 PD13OCT17	2788.29	3297.88	USD	-76,438.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AG

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
04AUG17	S 1 PD13OCT17	2809.17	3297.88	USD	-12,217.75	
	NET 0 AVG BGHT 2784.69 SOLD 2789.99				**1,060.25**	**0.00**
17JUL17	S 1 PD17OCT17	2788.00	3291.63	USD	-12,590.75	
17JUL17	B 1 PD17OCT17	2826.50	3291.63	USD	11,628.25	
17JUL17	B 1 PD17OCT17	2830.50	3291.63	USD	11,528.25	
04AUG17	S 1 PD17OCT17	2809.83	3291.63	USD	-12,045.00	
	NET 0 AVG BGHT 2828.50 SOLD 2798.92				**-1,479.25**	**0.00**
18JUL17	S 1 PD18OCT17	2791.00	3288.50	USD	-12,437.50	
18JUL17	S 1 PD18OCT17	2791.50	3288.50	USD	-12,425.00	
18JUL17	B 1 PD18OCT17	2787.00	3288.50	USD	12,537.50	
18JUL17	B 1 PD18OCT17	2787.00	3288.50	USD	12,537.50	
	NET 0 AVG BGHT 2787.00 SOLD 2791.25				**212.50**	**0.00**
20JUL17	B 2 PD20OCT17	2756.50	3282.50	USD	26,300.00	
20JUL17	S 4 PD20OCT17	2748.00	3282.50	USD	-53,450.00	
20JUL17	B 2 PD20OCT17	2746.50	3282.50	USD	26,800.00	
20JUL17	S 2 PD20OCT17	2745.50	3282.50	USD	-26,850.00	
20JUL17	S 2 PD20OCT17	2745.00	3282.50	USD	-26,875.00	
21JUL17	S 2 PD20OCT17	2774.00	3282.50	USD	-25,425.00	
24JUL17	B 6 PD20OCT17	2787.80	3282.50	USD	74,205.00	
	NET 0 AVG BGHT 2773.28 SOLD 2752.10				**-5,295.00**	**0.00**
25JUL17	B 2 PD25OCT17	2785.50	3279.50	USD	24,700.00	
25JUL17	S 2 PD25OCT17	2804.00	3279.50	USD	-23,775.00	
	NET 0 AVG BGHT 2785.50 SOLD 2804.00				**925.00**	**0.00**
28JUL17	S 2 PD27OCT17	2807.00	3277.50	USD	-23,525.00	
04AUG17	B 2 PD27OCT17	2810.70	3277.50	USD	23,340.00	
	NET 0 AVG BGHT 2810.70 SOLD 2807.00				**-185.00**	**0.00**
03AUG17	B 2 PD03NOV17	2812.50	3272.00	USD	22,975.00	
04AUG17	B 2 PD03NOV17	2812.00	3272.00	USD	23,000.00	
11AUG17	S 4 PD03NOV17	2895.25	3272.00	USD	-37,675.00	
	NET 0 AVG BGHT 2812.25 SOLD 2895.25				**8,300.00**	**0.00**
07AUG17	S 2 PD07NOV17	2799.50	3272.00	USD	-23,625.00	
11AUG17	B 2 PD07NOV17	2896.16	3272.00	USD	18,792.00	
	NET 0 AVG BGHT 2896.16 SOLD 2799.50				**-4,833.00**	**0.00**
08AUG17	B 2 PD08NOV17	2877.50	3272.00	USD	19,725.00	
08AUG17	B 1 PD08NOV17	2935.00	3272.00	USD	8,425.00	
11AUG17	S 2 PD08NOV17	2896.14	3272.00	USD	-18,793.00	
15AUG17	S 1 PD08NOV17	2958.56	3272.00	USD	-7,836.00	
	NET 0 AVG BGHT 2896.67 SOLD 2916.95				**1,521.00**	**0.00**
09AUG17	S 2 PD09NOV17	2972.00	3272.00	USD	-15,000.00	
09AUG17	S 2 PD09NOV17	2953.50	3272.00	USD	-15,925.00	
11AUG17	B 4 PD09NOV17	2896.52	3272.00	USD	37,548.00	
	NET 0 AVG BGHT 2896.52 SOLD 2962.75				**6,623.00**	**0.00**
10AUG17	B 2 PD10NOV17	2922.00	3272.00	USD	17,500.00	
10AUG17	B 2 PD10NOV17	2921.00	3272.00	USD	17,550.00	
11AUG17	S 2 PD10NOV17	2912.00	3272.00	USD	-18,000.00	
11AUG17	S 2 PD10NOV17	2911.00	3272.00	USD	-18,050.00	
	NET 0 AVG BGHT 2921.50 SOLD 2911.50				**-1,000.00**	**0.00**
14AUG17	S 1 PD14NOV17	2914.50	3270.33	USD	-8,895.75	
15AUG17	B 1 PD14NOV17	2959.57	3270.33	USD	7,769.00	
	NET 0 AVG BGHT 2959.57 SOLD 2914.50				**-1,126.75**	**0.00**
16AUG17	B 2 PD16NOV17	3049.50	3267.50	USD	10,900.00	
16AUG17	B 1 PD16NOV17	3050.00	3267.50	USD	5,437.50	
16AUG17	B 2 PD16NOV17	3089.50	3267.50	USD	8,900.00	
16AUG17	B 2 PD16NOV17	3093.00	3267.50	USD	8,725.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AG

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT								
16AUG17	B	1	PD16NOV17		3050.00	3267.50	USD	5,437.50	
16AUG17	B	2	PD16NOV17		3108.50	3267.50	USD	7,950.00	
23AUG17	S	7	PD16NOV17		3095.00	3267.50	USD	-30,187.50	
04SEP17	S	3	PD16NOV17		3201.50	3267.50	USD	-4,950.00	
	NET	**0**	**AVG BGHT 3078.10**	**SOLD 3126.95**				**12,212.50**	**0.00**
17AUG17	B	3	PD17NOV17		3097.00	3265.50	USD	12,637.50	
17AUG17	B	2	PD17NOV17		3096.00	3265.50	USD	8,475.00	
18AUG17	S	2	PD17NOV17		3081.50	3265.50	USD	-9,200.00	
18AUG17	S	2	PD17NOV17		3082.00	3265.50	USD	-9,175.00	
18AUG17	B	2	PD17NOV17		3104.50	3265.50	USD	8,050.00	
18AUG17	B	2	PD17NOV17		3103.00	3265.50	USD	8,125.00	
18AUG17	S	4	PD17NOV17		3102.00	3265.50	USD	-16,350.00	
18AUG17	S	2	PD17NOV17		3101.50	3265.50	USD	-8,200.00	
18AUG17	S	2	PD17NOV17		3096.00	3265.50	USD	-8,475.00	
23AUG17	B	3	PD17NOV17		3094.70	3265.50	USD	12,810.00	
	NET	**0**	**AVG BGHT 3098.51**	**SOLD 3094.17**				**-1,302.50**	**0.00**
22AUG17	S	1	PD22NOV17		3119.50	3264.50	USD	-3,625.00	
22AUG17	S	2	PD22NOV17		3102.50	3264.50	USD	-8,100.00	
22AUG17	S	1	PD22NOV17		3114.00	3264.50	USD	-3,762.50	
23AUG17	B	4	PD22NOV17		3096.13	3264.50	USD	16,837.00	
	NET	**0**	**AVG BGHT 3096.13**	**SOLD 3109.63**				**1,349.50**	**0.00**
23AUG17	B	1	PD24NOV17		3106.50	3264.71	USD	3,955.25	
23AUG17	B	1	PD24NOV17		3110.00	3264.71	USD	3,867.75	
23AUG17	B	1	PD24NOV17		3108.50	3264.71	USD	3,905.25	
24AUG17	S	1	PD24NOV17		3110.50	3264.71	USD	-3,855.25	
24AUG17	B	1	PD24NOV17		3120.50	3264.71	USD	3,605.25	
24AUG17	S	1	PD24NOV17		3116.50	3264.71	USD	-3,705.25	
25AUG17	S	1	PD24NOV17		3105.00	3264.71	USD	-3,992.75	
25AUG17	B	4	PD24NOV17		3134.00	3264.71	USD	13,071.00	
25AUG17	S	1	PD24NOV17		3098.50	3264.71	USD	-4,155.25	
25AUG17	S	1	PD24NOV17		3089.00	3264.71	USD	-4,392.75	
25AUG17	S	1	PD24NOV17		3077.50	3264.71	USD	-4,680.25	
25AUG17	S	1	PD24NOV17		3079.00	3264.71	USD	-4,642.75	
25AUG17	S	1	PD24NOV17		3066.50	3264.71	USD	-4,955.25	
25AUG17	S	1	PD24NOV17		3065.50	3264.71	USD	-4,980.25	
04SEP17	B	1	PD24NOV17		3203.95	3264.71	USD	1,519.00	
	NET	**0**	**AVG BGHT 3131.72**	**SOLD 3089.78**				**-9,436.25**	**0.00**
29AUG17	S	1	PD29NOV17		3105.00	3265.25	USD	-4,006.25	
29AUG17	S	1	PD29NOV17		3099.50	3265.25	USD	-4,143.75	
29AUG17	S	1	PD29NOV17		3099.00	3265.25	USD	-4,156.25	
29AUG17	B	1	PD29NOV17		3112.00	3265.25	USD	3,831.25	
29AUG17	S	2	PD29NOV17		3098.00	3265.25	USD	-8,362.50	
29AUG17	B	1	PD29NOV17		3100.50	3265.25	USD	4,118.75	
29AUG17	S	1	PD29NOV17		3124.00	3265.25	USD	-3,531.25	
29AUG17	B	1	PD29NOV17		3119.00	3265.25	USD	3,656.25	
29AUG17	B	1	PD29NOV17		3116.00	3265.25	USD	3,731.25	
29AUG17	B	1	PD29NOV17		3116.50	3265.25	USD	3,718.75	
29AUG17	S	1	PD29NOV17		3122.00	3265.25	USD	-3,581.25	
04SEP17	B	2	PD29NOV17		3204.58	3265.25	USD	3,033.50	
	NET	**0**	**AVG BGHT 3139.02**	**SOLD 3106.50**				**-5,691.50**	**0.00**
30AUG17	B	1	PD30NOV17		3109.50	3265.36	USD	3,896.50	
31AUG17	S	1	PD30NOV17		3128.00	3265.36	USD	-3,434.00	
31AUG17	B	1	PD30NOV17		3150.00	3265.36	USD	2,884.00	
08SEP17	S	1	PD30NOV17		3029.45	3265.36	USD	-5,897.75	
	NET	**0**	**AVG BGHT 3129.75**	**SOLD 3078.73**				**-2,551.25**	**0.00**
01SEP17	B	1	PD01DEC17		3142.00	3265.46	USD	3,086.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AG

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
01SEP17	S 1 PD01DEC17	3166.00	3265.46	USD	-2,486.50	
01SEP17	B 1 PD01DEC17	3154.50	3265.46	USD	2,774.00	
01SEP17	B 1 PD01DEC17	3174.00	3265.46	USD	2,286.50	
08SEP17	S 2 PD01DEC17	3029.65	3265.46	USD	-11,790.50	
	NET 0 AVG BGHT 3156.83 SOLD 3075.10				**-6,130.00**	**0.00**
04SEP17	S 1 PD04DEC17	3194.50	3265.79	USD	-1,782.25	
04SEP17	S 1 PD04DEC17	3205.00	3265.79	USD	-1,519.75	
08SEP17	B 2 PD04DEC17	3030.43	3265.79	USD	11,768.00	
	NET 0 AVG BGHT 3030.43 SOLD 3199.75				**8,466.00**	**0.00**
05SEP17	S 1 PD05DEC17	3175.50	3265.89	USD	-2,259.75	
05SEP17	S 2 PD05DEC17	3148.00	3265.89	USD	-5,894.50	
05SEP17	S 1 PD05DEC17	3100.50	3265.89	USD	-4,134.75	
08SEP17	B 1 PD05DEC17	3030.62	3265.89	USD	5,881.75	
08SEP17	B 3 PD05DEC17	3030.42	3265.89	USD	17,660.25	
	NET 0 AVG BGHT 3030.47 SOLD 3143.00				**11,253.00**	**0.00**
06SEP17	B 1 PD06DEC17	3109.00	3266.00	USD	3,925.00	
06SEP17	B 1 PD06DEC17	3107.00	3266.00	USD	3,975.00	
06SEP17	B 1 PD06DEC17	3106.50	3266.00	USD	3,987.50	
08SEP17	S 3 PD06DEC17	3030.41	3266.00	USD	-17,669.25	
	NET 0 AVG BGHT 3107.50 SOLD 3030.41				**-5,781.75**	**0.00**
15SEP17	B 1 PD15DEC17	3037.00	3266.96	USD	5,749.00	
15SEP17	S 1 PD15DEC17	3045.50	3266.96	USD	-5,536.50	
	NET 0 AVG BGHT 3037.00 SOLD 3045.50				**212.50**	**0.00**
18SEP17	B 2 PD18DEC17	3075.50	3267.29	USD	9,589.50	
18SEP17	S 1 PD18DEC17	3076.00	3267.29	USD	-4,782.25	
18SEP17	B 1 PD18DEC17	3089.00	3267.29	USD	4,457.25	
18SEP17	B 1 PD18DEC17	3096.50	3267.29	USD	4,269.75	
18SEP17	B 1 PD18DEC17	3098.50	3267.29	USD	4,219.75	
20SEP17	S 1 PD18DEC17	3131.47	3267.29	USD	-3,395.50	
22SEP17	S 3 PD18DEC17	3033.69	3267.29	USD	-17,520.00	
	NET 0 AVG BGHT 3087.00 SOLD 3061.71				**-3,161.50**	**0.00**
19SEP17	S 1 PD19DEC17	3107.50	3267.39	USD	-3,997.25	
20SEP17	B 1 PD19DEC17	3131.93	3267.39	USD	3,386.50	
	NET 0 AVG BGHT 3131.93 SOLD 3107.50				**-610.75**	**0.00**
20SEP17	B 1 PD20DEC17	3099.00	3267.50	USD	4,212.50	
20SEP17	B 1 PD20DEC17	3097.00	3267.50	USD	4,262.50	
20SEP17	B 1 PD20DEC17	3088.00	3267.50	USD	4,487.50	
20SEP17	B 1 PD20DEC17	3101.00	3267.50	USD	4,162.50	
20SEP17	B 1 PD20DEC17	3123.50	3267.50	USD	3,600.00	
20SEP17	B 1 PD20DEC17	3126.50	3267.50	USD	3,525.00	
20SEP17	B 1 PD20DEC17	3126.00	3267.50	USD	3,537.50	
20SEP17	B 1 PD20DEC17	3128.00	3267.50	USD	3,487.50	
20SEP17	B 1 PD20DEC17	3128.50	3267.50	USD	3,475.00	
20SEP17	S 1 PD20DEC17	3144.00	3267.50	USD	-3,087.50	
20SEP17	S 1 PD20DEC17	3143.00	3267.50	USD	-3,112.50	
20SEP17	S 1 PD20DEC17	3142.00	3267.50	USD	-3,137.50	
20SEP17	S 1 PD20DEC17	3141.50	3267.50	USD	-3,150.00	
20SEP17	S 1 PD20DEC17	3128.50	3267.50	USD	-3,475.00	
20SEP17	S 1 PD20DEC17	3127.50	3267.50	USD	-3,500.00	
28SEP17	S 3 PD20DEC17	3151.00	3267.50	USD	-8,737.50	
	NET 0 AVG BGHT 3113.06 SOLD 3142.17				**6,550.00**	**0.00**
21SEP17	S 1 PD21DEC17	3081.50	3264.00	USD	-4,562.50	
21SEP17	S 1 PD21DEC17	3081.00	3264.00	USD	-4,575.00	
21SEP17	S 1 PD21DEC17	3027.50	3264.00	USD	-5,912.50	
22SEP17	B 3 PD21DEC17	3032.70	3264.00	USD	17,347.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AG

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
	NET 0 AVG BGHT 3032.70 SOLD 3063.33				**2,297.50**	**0.00**
22SEP17	S 1 PD22DEC17	3042.50	3264.00	USD	-5,537.50	
22SEP17	S 1 PD22DEC17	3041.50	3264.00	USD	-5,562.50	
22SEP17	S 1 PD22DEC17	3040.00	3264.00	USD	-5,600.00	
28SEP17	B 3 PD22DEC17	3147.70	3264.00	USD	8,722.50	
	NET 0 AVG BGHT 3147.70 SOLD 3041.33				**-7,977.50**	**0.00**
27SEP17	B 1 PD27DEC17	3140.00	3264.00	USD	3,100.00	
27SEP17	B 1 PD27DEC17	3139.50	3264.00	USD	3,112.50	
27SEP17	S 1 PD27DEC17	3107.00	3264.00	USD	-3,925.00	
27SEP17	S 1 PD27DEC17	3107.50	3264.00	USD	-3,912.50	
	NET 0 AVG BGHT 3139.75 SOLD 3107.25				**-1,625.00**	**0.00**

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	70,940.75
LME Copper Grade A 25	FUTURES	-117,915.00
LME Lead 25 tonnes. This is an	FUTURES	38,760.00
LME Nickel 6 tonnes. This is	FUTURES	38,490.78
LME Tin 5 tonnes. This is an	FUTURES	-569.35
LME Zinc 25 tonnes. This is	FUTURES	2,795.75

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards			Amount	Profit / Loss	**Total Amount**	**Total P&L**
ALUL	- Futures	USD	70,940.75	70,940.75		
COPL	- Futures	USD	-117,915.00	-117,915.00		
LEAD	- Futures	USD	38,760.00	38,760.00		
NKL	- Futures	USD	38,490.78	38,490.78		
TIN	- Futures	USD	-569.35	-569.35		
ZINC	- Futures	USD	2,795.75	2,795.75	**32,502.93**	**32,502.93**

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-32,502.89	-32,502.89
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**-32,502.89**	**-32,502.89**
Initial Margins		0.00	0.00
Excess/(Shortage)		**-32,502.89**	**-32,502.89**
FOR INFORMATION ONLY			
Forward Unrealised P&L		32,502.93	32,502.93
Net Liquidating Value		**0.04**	**0.04**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AH

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
28JUL17	B 1 PD27OCT17	1915.00	2119.75	USD	5,118.75	
28JUL17	B 1 PD27OCT17	1910.50	2119.75	USD	5,231.25	
28JUL17	B 2 PD27OCT17	1913.00	2119.75	USD	10,337.50	
03AUG17	S 4 PD27OCT17	1914.05	2119.75	USD	-20,570.00	
	NET 0 AVG BGHT 1912.88 SOLD 1914.05				**117.50**	**0.00**
08AUG17	S 2 PD08NOV17	1997.00	2115.75	USD	-5,937.50	
08AUG17	S 1 PD08NOV17	1998.00	2115.75	USD	-2,943.75	
08AUG17	S 1 PD08NOV17	1998.50	2115.75	USD	-2,931.25	
08AUG17	S 2 PD08NOV17	2002.00	2115.75	USD	-5,687.50	
08AUG17	S 1 PD08NOV17	2002.50	2115.75	USD	-2,831.25	
08AUG17	S 1 PD08NOV17	2003.00	2115.75	USD	-2,818.75	
08AUG17	S 2 PD08NOV17	2003.50	2115.75	USD	-5,612.50	
08AUG17	S 1 PD08NOV17	2004.00	2115.75	USD	-2,793.75	
08AUG17	S 1 PD08NOV17	2005.50	2115.75	USD	-2,756.25	
08AUG17	B 12 PD08NOV17	2030.20	2115.75	USD	25,665.00	
	NET 0 AVG BGHT 2030.20 SOLD 2001.38				**-8,647.50**	**0.00**
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
29SEP17	S 16 PD02NOV17	2492.15	2588.00	USD	-38,340.00	
	NET -16 AVG SOLD 2492.15				**-38,340.00**	**0.00**
18AUG17	B 2 PD17NOV17	2409.50	2588.26	USD	8,938.00	
18AUG17	B 1 PD17NOV17	2411.00	2588.26	USD	4,431.50	
18AUG17	B 1 PD17NOV17	2413.00	2588.26	USD	4,381.50	
18AUG17	B 2 PD17NOV17	2421.00	2588.26	USD	8,363.00	
18AUG17	B 1 PD17NOV17	2423.00	2588.26	USD	4,131.50	
18AUG17	B 1 PD17NOV17	2426.00	2588.26	USD	4,056.50	
18AUG17	B 1 PD17NOV17	2427.00	2588.26	USD	4,031.50	
18AUG17	S 9 PD17NOV17	2360.80	2588.26	USD	-51,178.50	
	NET 0 AVG BGHT 2417.89 SOLD 2360.80				**-12,845.00**	**0.00**
24AUG17	B 3 PD24NOV17	2354.00	2589.16	USD	17,637.00	
24AUG17	B 1 PD24NOV17	2354.50	2589.16	USD	5,866.50	
24AUG17	B 1 PD24NOV17	2355.00	2589.16	USD	5,854.00	
24AUG17	S 5 PD24NOV17	2356.80	2589.16	USD	-29,045.00	
	NET 0 AVG BGHT 2354.30 SOLD 2356.80				**312.50**	**0.00**
06SEP17	B 2 PD06DEC17	2335.50	2590.70	USD	12,760.00	
06SEP17	B 1 PD06DEC17	2336.00	2590.70	USD	6,367.50	
06SEP17	B 2 PD06DEC17	2336.50	2590.70	USD	12,710.00	
08SEP17	S 5 PD06DEC17	2264.80	2590.70	USD	-40,737.50	
	NET 0 AVG BGHT 2336.00 SOLD 2264.80				**-8,900.00**	**0.00**
07SEP17	B 1 PD07DEC17	2347.50	2590.83	USD	6,083.25	
07SEP17	B 2 PD07DEC17	2348.50	2590.83	USD	12,116.50	
07SEP17	B 1 PD07DEC17	2346.00	2590.83	USD	6,120.75	
07SEP17	B 1 PD07DEC17	2348.00	2590.83	USD	6,070.75	
07SEP17	B 1 PD07DEC17	2341.00	2590.83	USD	6,245.75	
07SEP17	B 1 PD07DEC17	2343.00	2590.83	USD	6,195.75	
07SEP17	B 1 PD07DEC17	2345.00	2590.83	USD	6,145.75	
07SEP17	B 1 PD07DEC17	2340.50	2590.83	USD	6,258.25	
08SEP17	S 9 PD07DEC17	2264.80	2590.83	USD	-73,356.75	
	NET 0 AVG BGHT 2345.33 SOLD 2264.80				**-18,120.00**	**0.00**
18SEP17	S 1 PD18DEC17	2402.50	2592.24	USD	-4,743.50	
18SEP17	S 2 PD18DEC17	2403.00	2592.24	USD	-9,462.00	
18SEP17	S 1 PD18DEC17	2405.50	2592.24	USD	-4,668.50	
18SEP17	S 2 PD18DEC17	2404.50	2592.24	USD	-9,387.00	
18SEP17	S 3 PD18DEC17	2403.50	2592.24	USD	-14,155.50	
18SEP17	S 5 PD18DEC17	2405.00	2592.24	USD	-23,405.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AH

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position		Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT						
18SEP17	B 14 PD18DEC17		2390.20	2592.24	USD	70,714.00	
	NET 0 AVG BGHT 2390.20	**SOLD 2404.18**				**4,892.50**	**0.00**
19SEP17	S 1 PD19DEC17		2413.50	2592.37	USD	-4,471.75	
19SEP17	S 2 PD19DEC17		2414.50	2592.37	USD	-8,893.50	
19SEP17	S 1 PD19DEC17		2415.00	2592.37	USD	-4,434.25	
19SEP17	S 1 PD19DEC17		2415.50	2592.37	USD	-4,421.75	
19SEP17	S 1 PD19DEC17		2416.50	2592.37	USD	-4,396.75	
19SEP17	S 1 PD19DEC17		2419.00	2592.37	USD	-4,334.25	
19SEP17	S 2 PD19DEC17		2419.50	2592.37	USD	-8,643.50	
19SEP17	S 1 PD19DEC17		2421.50	2592.37	USD	-4,271.75	
19SEP17	S 2 PD19DEC17		2417.50	2592.37	USD	-8,743.50	
19SEP17	S 3 PD19DEC17		2420.00	2592.37	USD	-12,927.75	
21SEP17	B 15 PD19DEC17		2518.19	2592.37	USD	27,817.50	
	NET 0 AVG BGHT 2518.19	**SOLD 2417.60**				**-37,721.25**	**0.00**
21SEP17	S 1 PD21DEC17		2473.00	2592.29	USD	-2,982.25	
21SEP17	B 1 PD21DEC17		2513.20	2592.29	USD	1,977.25	
	NET 0 AVG BGHT 2513.20	**SOLD 2473.00**				**-1,005.00**	**0.00**
28SEP17	B 2 PD28DEC17		2483.50	2591.64	USD	5,407.00	
28SEP17	B 1 PD28DEC17		2484.00	2591.64	USD	2,691.00	
28SEP17	B 2 PD28DEC17		2485.50	2591.64	USD	5,307.00	
28SEP17	B 1 PD28DEC17		2484.50	2591.64	USD	2,678.50	
28SEP17	B 1 PD28DEC17		2486.00	2591.64	USD	2,641.00	
	NET +7 AVG BGHT 2484.64					**18,724.50**	**0.00**
29SEP17	S 1 PD29DEC17		2509.00	2591.43	USD	-2,060.75	
29SEP17	S 1 PD29DEC17		2508.50	2591.43	USD	-2,073.25	
29SEP17	S 1 PD29DEC17		2511.50	2591.43	USD	-1,998.25	
29SEP17	S 1 PD29DEC17		2510.00	2591.43	USD	-2,035.75	
29SEP17	S 1 PD29DEC17		2508.00	2591.43	USD	-2,085.75	
29SEP17	S 2 PD29DEC17		2509.50	2591.43	USD	-4,096.50	
	NET -7 AVG SOLD 2509.43					**-14,350.25**	**0.00**
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT						
08SEP17	B 1 PD08DEC17		11745.00	10596.37	USD	-6,891.78	
08SEP17	B 1 PD08DEC17		11735.00	10596.37	USD	-6,831.78	
08SEP17	B 1 PD08DEC17		11740.00	10596.37	USD	-6,861.78	
08SEP17	B 1 PD08DEC17		11495.00	10596.37	USD	-5,391.78	
08SEP17	B 2 PD08DEC17		11500.00	10596.37	USD	-10,843.56	
29SEP17	S 5 PD08DEC17		10478.87	10596.37	USD	-3,525.00	
	NET +1 AVG BGHT 11619.17	**SOLD 10478.87**				**-40,345.68**	**0.00**
13SEP17	B 1 PD13DEC17		11570.00	10600.80	USD	-5,815.20	
13SEP17	B 2 PD13DEC17		11575.00	10600.80	USD	-11,690.40	
	NET +3 AVG BGHT 11573.33					**-17,505.60**	**0.00**
28SEP17	S 5 PD28DEC17		10315.00	10614.50	USD	-8,985.00	
29SEP17	B 5 PD28DEC17		10499.79	10614.50	USD	3,441.30	
	NET 0 AVG BGHT 10499.79	**SOLD 10315.00**				**-5,543.70**	**0.00**
29SEP17	B 1 PD29DEC17		10425.00	10615.42	USD	1,142.52	
29SEP17	B 1 PD29DEC17		10430.00	10615.42	USD	1,112.52	
29SEP17	B 1 PD29DEC17		10435.00	10615.42	USD	1,082.52	
	NET +3 AVG BGHT 10430.00					**3,337.56**	**0.00**
	CMX SILVER 5,000 CMX						
18SEP17	B 1 DEC17		1741.5	1665.0	USD	-3,825.00	
18SEP17	B 3 DEC17		1742.5	1665.0	USD	-11,625.00	
18SEP17	B 1 DEC17		1744.5	1665.0	USD	-3,975.00	
18SEP17	B 1 DEC17		1743.5	1665.0	USD	-3,925.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AH

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position		Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	CMX SILVER 5,000 CMX						
18SEP17	B 2 DEC17		1714.5	1665.0	USD	-4,950.00	
19SEP17	B 2 DEC17		1719.5	1665.0	USD	-5,450.00	
	NET +10 AVG BGHT 1732.5					**-33,750.00**	**0.00**
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT						
12JUL17	S 1 PD12OCT17		2856.00	3301.00	USD	-11,125.00	
12JUL17	S 2 PD12OCT17		2857.00	3301.00	USD	-22,200.00	
12JUL17	S 1 PD12OCT17		2857.50	3301.00	USD	-11,087.50	
12JUL17	S 3 PD12OCT17		2858.50	3301.00	USD	-33,187.50	
12JUL17	S 1 PD12OCT17		2859.50	3301.00	USD	-11,037.50	
12JUL17	S 1 PD12OCT17		2860.00	3301.00	USD	-11,025.00	
12JUL17	S 1 PD12OCT17		2861.50	3301.00	USD	-10,987.50	
31JUL17	B 8 PD12OCT17		2792.41	3301.00	USD	101,718.00	
31JUL17	B 2 PD12OCT17		2792.21	3301.00	USD	25,439.50	
	NET 0 AVG BGHT 2792.37	**SOLD 2858.40**				**16,507.50**	**0.00**
18JUL17	B 2 PD18OCT17		2779.00	3288.50	USD	25,475.00	
18JUL17	B 1 PD18OCT17		2777.00	3288.50	USD	12,787.50	
18JUL17	B 1 PD18OCT17		2777.50	3288.50	USD	12,775.00	
18JUL17	B 1 PD18OCT17		2778.50	3288.50	USD	12,750.00	
18JUL17	B 1 PD18OCT17		2769.50	3288.50	USD	12,975.00	
18JUL17	B 1 PD18OCT17		2770.00	3288.50	USD	12,962.50	
18JUL17	B 1 PD18OCT17		2771.00	3288.50	USD	12,937.50	
18JUL17	B 1 PD18OCT17		2772.00	3288.50	USD	12,912.50	
18JUL17	B 1 PD18OCT17		2773.50	3288.50	USD	12,875.00	
18JUL17	S 5 PD18OCT17		2794.00	3288.50	USD	-61,812.50	
18JUL17	S 2 PD18OCT17		2794.50	3288.50	USD	-24,700.00	
18JUL17	S 1 PD18OCT17		2795.00	3288.50	USD	-12,337.50	
18JUL17	S 2 PD18OCT17		2793.50	3288.50	USD	-24,750.00	
	NET 0 AVG BGHT 2774.70	**SOLD 2794.10**				**4,850.00**	**0.00**
28JUL17	B 1 PD27OCT17		2772.00	3277.50	USD	12,637.50	
28JUL17	B 1 PD27OCT17		2774.00	3277.50	USD	12,587.50	
28JUL17	B 2 PD27OCT17		2776.00	3277.50	USD	25,075.00	
28JUL17	B 2 PD27OCT17		2768.50	3277.50	USD	25,450.00	
28JUL17	B 1 PD27OCT17		2769.00	3277.50	USD	12,712.50	
28JUL17	B 3 PD27OCT17		2770.00	3277.50	USD	38,062.50	
28JUL17	S 1 PD27OCT17		2790.00	3277.50	USD	-12,187.50	
28JUL17	S 1 PD27OCT17		2791.50	3277.50	USD	-12,150.00	
28JUL17	S 8 PD27OCT17		2775.80	3277.50	USD	-100,340.00	
	NET 0 AVG BGHT 2771.40	**SOLD 2778.79**				**1,847.50**	**0.00**
31JUL17	B 1 PD31OCT17		2794.00	3275.50	USD	12,037.50	
31JUL17	B 1 PD31OCT17		2794.50	3275.50	USD	12,025.00	
31JUL17	S 2 PD31OCT17		2794.80	3275.50	USD	-24,035.00	
	NET 0 AVG BGHT 2794.25	**SOLD 2794.80**				**27.50**	**0.00**
08AUG17	S 1 PD08NOV17		2881.00	3272.00	USD	-9,775.00	
08AUG17	S 1 PD08NOV17		2882.50	3272.00	USD	-9,737.50	
08AUG17	S 2 PD08NOV17		2883.50	3272.00	USD	-19,425.00	
08AUG17	S 1 PD08NOV17		2884.50	3272.00	USD	-9,687.50	
08AUG17	S 1 PD08NOV17		2894.50	3272.00	USD	-9,437.50	
08AUG17	S 2 PD08NOV17		2894.00	3272.00	USD	-18,900.00	
08AUG17	S 1 PD08NOV17		2897.50	3272.00	USD	-9,362.50	
08AUG17	B 7 PD08NOV17		2925.20	3272.00	USD	60,690.00	
08AUG17	S 2 PD08NOV17		2882.00	3272.00	USD	-19,500.00	
09AUG17	B 4 PD08NOV17		2931.51	3272.00	USD	34,049.00	
	NET 0 AVG BGHT 2927.49	**SOLD 2887.18**				**-11,086.00**	**0.00**
09AUG17	S 2 PD09NOV17		2972.50	3272.00	USD	-14,975.00	
09AUG17	S 2 PD09NOV17		2974.00	3272.00	USD	-14,900.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AH

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
09AUG17	S 1 PD09NOV17	2974.50	3272.00	USD	-7,437.50	
09AUG17	S 2 PD09NOV17	2975.00	3272.00	USD	-14,850.00	
09AUG17	S 1 PD09NOV17	2975.50	3272.00	USD	-7,412.50	
09AUG17	B 8 PD09NOV17	2931.70	3272.00	USD	68,060.00	
	NET 0 AVG BGHT 2931.70 SOLD 2974.13				**8,485.00**	**0.00**
16AUG17	S 1 PD16NOV17	2990.50	3267.50	USD	-6,925.00	
16AUG17	S 1 PD16NOV17	2991.00	3267.50	USD	-6,912.50	
16AUG17	S 2 PD16NOV17	3022.50	3267.50	USD	-12,250.00	
16AUG17	S 1 PD16NOV17	3024.00	3267.50	USD	-6,087.50	
16AUG17	S 1 PD16NOV17	3024.50	3267.50	USD	-6,075.00	
16AUG17	S 2 PD16NOV17	3029.00	3267.50	USD	-11,925.00	
16AUG17	S 1 PD16NOV17	3031.00	3267.50	USD	-5,912.50	
16AUG17	S 2 PD16NOV17	3032.00	3267.50	USD	-11,775.00	
16AUG17	S 3 PD16NOV17	2990.00	3267.50	USD	-20,812.50	
16AUG17	S 1 PD16NOV17	2989.50	3267.50	USD	-6,950.00	
16AUG17	S 1 PD16NOV17	3091.00	3267.50	USD	-4,412.50	
16AUG17	S 1 PD16NOV17	3091.50	3267.50	USD	-4,400.00	
16AUG17	B 8 PD16NOV17	3110.15	3267.50	USD	31,470.00	
16AUG17	B 9 PD16NOV17	3109.65	3267.50	USD	35,516.25	
	NET 0 AVG BGHT 3109.89 SOLD 3021.76				**-37,451.25**	**0.00**
21AUG17	S 1 PD21NOV17	3139.50	3264.83	USD	-3,133.25	
22AUG17	B 1 PD21NOV17	3118.80	3264.83	USD	3,650.75	
	NET 0 AVG BGHT 3118.80 SOLD 3139.50				**517.50**	**0.00**
22AUG17	S 3 PD22NOV17	3147.00	3264.50	USD	-8,812.50	
22AUG17	B 3 PD22NOV17	3119.20	3264.50	USD	10,897.50	
	NET 0 AVG BGHT 3119.20 SOLD 3147.00				**2,085.00**	**0.00**
30AUG17	B 1 PD30NOV17	3123.50	3265.36	USD	3,546.50	
30AUG17	B 1 PD30NOV17	3104.00	3265.36	USD	4,034.00	
30AUG17	B 1 PD30NOV17	3098.00	3265.36	USD	4,184.00	
30AUG17	S 1 PD30NOV17	3095.00	3265.36	USD	-4,259.00	
30AUG17	B 1 PD30NOV17	3095.00	3265.36	USD	4,259.00	
30AUG17	B 2 PD30NOV17	3096.00	3265.36	USD	8,468.00	
30AUG17	B 4 PD30NOV17	3097.00	3265.36	USD	16,836.00	
30AUG17	S 1 PD30NOV17	3094.00	3265.36	USD	-4,284.00	
30AUG17	S 1 PD30NOV17	3094.50	3265.36	USD	-4,271.50	
30AUG17	S 2 PD30NOV17	3095.50	3265.36	USD	-8,493.00	
30AUG17	S 1 PD30NOV17	3093.50	3265.36	USD	-4,296.50	
30AUG17	B 1 PD30NOV17	3090.00	3265.36	USD	4,384.00	
30AUG17	S 1 PD30NOV17	3093.00	3265.36	USD	-4,309.00	
30AUG17	S 2 PD30NOV17	3090.00	3265.36	USD	-8,768.00	
31AUG17	S 1 PD30NOV17	3112.00	3265.36	USD	-3,834.00	
31AUG17	B 1 PD30NOV17	3126.00	3265.36	USD	3,484.00	
31AUG17	B 5 PD30NOV17	3126.50	3265.36	USD	17,357.50	
31AUG17	S 1 PD30NOV17	3110.00	3265.36	USD	-3,884.00	
31AUG17	S 1 PD30NOV17	3136.50	3265.36	USD	-3,221.50	
31AUG17	S 1 PD30NOV17	3139.50	3265.36	USD	-3,146.50	
31AUG17	S 1 PD30NOV17	3140.00	3265.36	USD	-3,134.00	
31AUG17	S 2 PD30NOV17	3128.00	3265.36	USD	-6,868.00	
31AUG17	S 1 PD30NOV17	3147.00	3265.36	USD	-2,959.00	
31AUG17	S 3 PD30NOV17	3155.00	3265.36	USD	-8,277.00	
31AUG17	S 1 PD30NOV17	3155.50	3265.36	USD	-2,746.50	
31AUG17	S 4 PD30NOV17	3156.50	3265.36	USD	-10,886.00	
31AUG17	S 2 PD30NOV17	3157.00	3265.36	USD	-5,418.00	
31AUG17	S 4 PD30NOV17	3158.00	3265.36	USD	-10,736.00	
31AUG17	S 13 PD30NOV17	3145.80	3265.36	USD	-38,857.00	
01SEP17	B 10 PD30NOV17	3185.06	3265.36	USD	20,075.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AH

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
06SEP17	B 17 PD30NOV17	3093.70	3265.36	USD	72,955.50	
	NET 0 AVG BGHT 3120.28 SOLD 3135.68				**16,935.00**	**0.00**
01SEP17	S 1 PD01DEC17	3156.00	3265.46	USD	-2,736.50	
01SEP17	S 1 PD01DEC17	3156.50	3265.46	USD	-2,724.00	
01SEP17	S 1 PD01DEC17	3168.00	3265.46	USD	-2,436.50	
01SEP17	S 3 PD01DEC17	3169.00	3265.46	USD	-7,234.50	
01SEP17	S 1 PD01DEC17	3170.50	3265.46	USD	-2,374.00	
01SEP17	S 2 PD01DEC17	3184.50	3265.46	USD	-4,048.00	
06SEP17	B 9 PD01DEC17	3093.95	3265.46	USD	38,589.75	
	NET 0 AVG BGHT 3093.95 SOLD 3169.67				**17,036.25**	**0.00**
18SEP17	S 1 PD18DEC17	3087.00	3267.29	USD	-4,507.25	
18SEP17	S 2 PD18DEC17	3088.00	3267.29	USD	-8,964.50	
18SEP17	B 3 PD18DEC17	3098.20	3267.29	USD	12,681.75	
	NET 0 AVG BGHT 3098.20 SOLD 3087.67				**-790.00**	**0.00**
25SEP17	S 1 PD27DEC17	3093.50	3264.00	USD	-4,262.50	
25SEP17	S 1 PD27DEC17	3094.50	3264.00	USD	-4,237.50	
25SEP17	S 2 PD27DEC17	3097.00	3264.00	USD	-8,350.00	
25SEP17	B 3 PD27DEC17	3096.70	3264.00	USD	12,547.50	
26SEP17	B 1 PD27DEC17	3123.00	3264.00	USD	3,525.00	
	NET 0 AVG BGHT 3103.28 SOLD 3095.50				**-777.50**	**0.00**
29SEP17	B 2 PD29DEC17	3144.50	3264.00	USD	5,975.00	
29SEP17	B 3 PD29DEC17	3147.00	3264.00	USD	8,775.00	
29SEP17	B 2 PD29DEC17	3148.00	3264.00	USD	5,800.00	
29SEP17	B 2 PD29DEC17	3149.50	3264.00	USD	5,725.00	
29SEP17	B 10 PD29DEC17	3150.00	3264.00	USD	28,500.00	
29SEP17	B 1 PD29DEC17	3150.50	3264.00	USD	2,837.50	
29SEP17	B 2 PD29DEC17	3153.00	3264.00	USD	5,550.00	
29SEP17	S 2 PD29DEC17	3160.00	3264.00	USD	-5,200.00	
29SEP17	B 5 PD29DEC17	3149.00	3264.00	USD	14,375.00	
29SEP17	S 7 PD29DEC17	3150.85	3264.00	USD	-19,801.25	
29SEP17	S 20 PD29DEC17	3152.85	3264.00	USD	-55,575.00	
	NET -2 AVG BGHT 3149.13 SOLD 3152.86				**-3,038.75**	**0.00**

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	-8,530.00
LME Lead 25 tonnes. This is an	FUTURES	927,811.25
LME Nickel 6 tonnes. This is	FUTURES	-505,527.60
CMX Silver	FUTURES	-866,250.00
LME Zinc 25 tonnes. This is	FUTURES	178,347.75

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP096AH

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options			Amount	Profit / Loss	**Total Amount**	**Total P&L**
SILV	- Futures	USD	-33,750.00	-33,750.00	**-33,750.00**	**-33,750.00**
Unrealised Profits & Losses - Forwards			**Amount**	**Profit / Loss**	**Total Amount**	**Total P&L**
ALUL	- Futures	USD	-8,530.00	-8,530.00		
LEAD	- Futures	USD	-107,352.00	-107,352.00		
NKL	- Futures	USD	-60,057.42	-60,057.42		
ZINC	- Futures	USD	15,147.75	15,147.75	**-160,791.67**	**-160,791.67**

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		23,512.07	23,512.07
Variation Margins	- Futures	-33,750.00	-33,750.00
	- Options	0.00	0.00
TOTAL EQUITY		**-10,237.93**	**-10,237.93**
Initial Margins		-356,367.67	-356,367.67
Excess/(Shortage)		**-366,605.60**	**-366,605.60**
FOR INFORMATION ONLY			
Forward Unrealised P&L		-160,791.67	-160,791.67
Net Liquidating Value		**-171,029.60**	**-171,029.60**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED
SAP0096
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPG0096**

3rd Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

GROUP FINANCIAL SUMMARY

		EUR (1.17550)	USD (1.00000)	NET USD (1.00000)
CASH BALANCE		-46.40	1,436,121.46	1,436,066.92
Variation Margins	- Futures	0.00	-63,170.00	-63,170.00
	- Options	0.00	0.00	0.00
TOTAL EQUITY		**-46.40**	**1,372,951.46**	**1,372,896.92**
Initial Margins		0.00	-1,180,126.83	-1,180,126.83
Excess/(Shortage)		**-46.40**	**192,824.63**	**192,770.09**
FOR INFORMATION ONLY				
Forward Unrealised P&L		0.00	-38,142.83	-38,142.83
Net Liquidating Value		**-46.40**	**1,334,808.63**	**1,334,754.09**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097J

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
04JUL17	B	4	PD04OCT17	2300.50	2584.77	USD	28,427.00	
04JUL17	B	1	PD04OCT17	2302.50	2584.77	USD	7,056.75	
04JUL17	B	13	PD04OCT17	2300.00	2584.77	USD	92,550.25	
04JUL17	B	5	PD04OCT17	2301.00	2584.77	USD	35,471.25	
10AUG17	S	23	PD04OCT17	2356.42	2584.77	USD	-131,301.25	
	NET	**0**	**AVG BGHT 2300.41**	**SOLD 2356.42**			**32,204.00**	**0.00**
05JUL17	B	5	PD05OCT17	2272.50	2585.00	USD	39,062.50	
05JUL17	B	8	PD05OCT17	2273.50	2585.00	USD	62,300.00	
05JUL17	B	15	PD05OCT17	2274.00	2585.00	USD	116,625.00	
05JUL17	B	12	PD05OCT17	2273.00	2585.00	USD	93,600.00	
10AUG17	S	40	PD05OCT17	2356.75	2585.00	USD	-228,250.00	
	NET	**0**	**AVG BGHT 2273.41**	**SOLD 2356.75**			**83,337.50**	**0.00**
12JUL17	B	1	PD12OCT17	2344.00	2586.62	USD	6,065.50	
12JUL17	B	1	PD12OCT17	2344.50	2586.62	USD	6,053.00	
12JUL17	B	9	PD12OCT17	2345.00	2586.62	USD	54,364.50	
12JUL17	B	5	PD12OCT17	2346.50	2586.62	USD	30,015.00	
12JUL17	B	5	PD12OCT17	2334.00	2586.62	USD	31,577.50	
12JUL17	B	2	PD12OCT17	2334.50	2586.62	USD	12,606.00	
12JUL17	B	7	PD12OCT17	2336.00	2586.62	USD	43,858.50	
12JUL17	B	4	PD12OCT17	2336.50	2586.62	USD	25,012.00	
12JUL17	B	5	PD12OCT17	2337.50	2586.62	USD	31,140.00	
12JUL17	B	5	PD12OCT17	2335.50	2586.62	USD	31,390.00	
12JUL17	B	10	PD12OCT17	2338.00	2586.62	USD	62,155.00	
12JUL17	B	6	PD12OCT17	2337.00	2586.62	USD	37,443.00	
12JUL17	B	15	PD12OCT17	2335.00	2586.62	USD	94,357.50	
10AUG17	S	20	PD12OCT17	2359.04	2586.62	USD	-113,790.00	
12SEP17	S	55	PD12OCT17	2289.52	2586.62	USD	-408,512.50	
	NET	**0**	**AVG BGHT 2338.07**	**SOLD 2308.06**			**-56,265.00**	**0.00**
17JUL17	B	3	PD17OCT17	2327.50	2587.77	USD	19,520.25	
17JUL17	B	5	PD17OCT17	2316.00	2587.77	USD	33,971.25	
12SEP17	S	8	PD17OCT17	2291.59	2587.77	USD	-59,236.00	
	NET	**0**	**AVG BGHT 2320.31**	**SOLD 2291.59**			**-5,744.50**	**0.00**
19JUL17	B	16	PD19OCT17	2243.50	2588.00	USD	137,800.00	
19JUL17	B	24	PD19OCT17	2244.00	2588.00	USD	206,400.00	
12SEP17	S	40	PD19OCT17	2291.88	2588.00	USD	-296,120.00	
	NET	**0**	**AVG BGHT 2243.80**	**SOLD 2291.88**			**48,080.00**	**0.00**
24JUL17	B	8	PD24OCT17	2261.00	2588.00	USD	65,400.00	
24JUL17	B	2	PD24OCT17	2262.00	2588.00	USD	16,300.00	
12SEP17	S	10	PD24OCT17	2293.56	2588.00	USD	-73,610.00	
	NET	**0**	**AVG BGHT 2261.20**	**SOLD 2293.56**			**8,090.00**	**0.00**
25JUL17	B	30	PD25OCT17	2314.50	2588.00	USD	205,125.00	
12SEP17	S	30	PD25OCT17	2294.01	2588.00	USD	-220,492.50	
	NET	**0**	**AVG BGHT 2314.50**	**SOLD 2294.01**			**-15,367.50**	**0.00**
28JUL17	S	2	PD27OCT17	2296.00	2588.00	USD	-14,600.00	
28JUL17	S	1	PD27OCT17	2297.00	2588.00	USD	-7,275.00	
28JUL17	S	2	PD27OCT17	2296.50	2588.00	USD	-14,575.00	
28JUL17	S	1	PD27OCT17	2298.00	2588.00	USD	-7,250.00	
28JUL17	S	4	PD27OCT17	2301.50	2588.00	USD	-28,650.00	
10AUG17	B	10	PD27OCT17	2363.14	2588.00	USD	56,215.00	
	NET	**0**	**AVG BGHT 2363.14**	**SOLD 2298.60**			**-16,135.00**	**0.00**
02AUG17	S	1	PD02NOV17	2323.50	2588.00	USD	-6,612.50	
02AUG17	S	2	PD02NOV17	2339.00	2588.00	USD	-12,450.00	
02AUG17	S	1	PD02NOV17	2357.00	2588.00	USD	-5,775.00	
02AUG17	S	1	PD02NOV17	2357.50	2588.00	USD	-5,762.50	
02AUG17	S	3	PD02NOV17	2359.50	2588.00	USD	-17,137.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097J

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
02AUG17	S	1	PD02NOV17	2351.50	2588.00	USD	-5,912.50	
02AUG17	S	1	PD02NOV17	2356.50	2588.00	USD	-5,787.50	
10AUG17	B	10	PD02NOV17	2364.46	2588.00	USD	55,885.00	
	NET	**0**	**AVG BGHT 2364.46**	**SOLD 2350.25**			**-3,552.50**	**0.00**
04AUG17	B	5	PD03NOV17	2352.50	2588.00	USD	29,437.50	
04AUG17	B	5	PD03NOV17	2353.00	2588.00	USD	29,375.00	
12SEP17	S	10	PD03NOV17	2298.08	2588.00	USD	-72,480.00	
	NET	**0**	**AVG BGHT 2352.75**	**SOLD 2298.08**			**-13,667.50**	**0.00**
09AUG17	B	2	PD09NOV17	2400.00	2588.00	USD	9,400.00	
09AUG17	B	1	PD09NOV17	2400.50	2588.00	USD	4,687.50	
09AUG17	B	3	PD09NOV17	2401.00	2588.00	USD	14,025.00	
09AUG17	S	1	PD09NOV17	2400.00	2588.00	USD	-4,700.00	
09AUG17	S	5	PD09NOV17	2381.00	2588.00	USD	-25,875.00	
09AUG17	S	10	PD09NOV17	2383.00	2588.00	USD	-51,250.00	
09AUG17	S	5	PD09NOV17	2383.50	2588.00	USD	-25,562.50	
09AUG17	S	3	PD09NOV17	2384.50	2588.00	USD	-15,262.50	
09AUG17	S	7	PD09NOV17	2386.00	2588.00	USD	-35,350.00	
09AUG17	S	3	PD09NOV17	2386.50	2588.00	USD	-15,112.50	
09AUG17	S	2	PD09NOV17	2387.00	2588.00	USD	-10,050.00	
09AUG17	S	1	PD09NOV17	2387.50	2588.00	USD	-5,012.50	
09AUG17	S	10	PD09NOV17	2375.50	2588.00	USD	-53,125.00	
09AUG17	S	10	PD09NOV17	2376.00	2588.00	USD	-53,000.00	
09AUG17	S	9	PD09NOV17	2376.50	2588.00	USD	-47,587.50	
09AUG17	S	6	PD09NOV17	2377.00	2588.00	USD	-31,650.00	
09AUG17	S	1	PD09NOV17	2377.50	2588.00	USD	-5,262.50	
09AUG17	S	1	PD09NOV17	2379.00	2588.00	USD	-5,225.00	
09AUG17	S	5	PD09NOV17	2380.50	2588.00	USD	-25,937.50	
09AUG17	B	10	PD09NOV17	2382.00	2588.00	USD	51,500.00	
09AUG17	S	5	PD09NOV17	2385.00	2588.00	USD	-25,375.00	
09AUG17	S	10	PD09NOV17	2385.50	2588.00	USD	-50,625.00	
09AUG17	S	5	PD09NOV17	2382.00	2588.00	USD	-25,750.00	
10AUG17	B	80	PD09NOV17	2366.00	2588.00	USD	444,000.00	
12SEP17	B	3	PD09NOV17	2300.79	2588.00	USD	21,540.75	
	NET	**0**	**AVG BGHT 2367.74**	**SOLD 2381.25**			**33,440.75**	**0.00**
11AUG17	B	5	PD10NOV17	2340.00	2588.00	USD	31,000.00	
11AUG17	B	2	PD10NOV17	2340.50	2588.00	USD	12,375.00	
11AUG17	B	5	PD10NOV17	2341.50	2588.00	USD	30,812.50	
11AUG17	B	6	PD10NOV17	2342.50	2588.00	USD	36,825.00	
11AUG17	B	1	PD10NOV17	2343.00	2588.00	USD	6,125.00	
11AUG17	B	5	PD10NOV17	2343.50	2588.00	USD	30,562.50	
11AUG17	B	4	PD10NOV17	2344.00	2588.00	USD	24,400.00	
11AUG17	B	1	PD10NOV17	2341.00	2588.00	USD	6,175.00	
11AUG17	B	1	PD10NOV17	2338.50	2588.00	USD	6,237.50	
12SEP17	S	3	PD10NOV17	2301.04	2588.00	USD	-21,522.00	
12SEP17	S	27	PD10NOV17	2301.24	2588.00	USD	-193,563.00	
	NET	**0**	**AVG BGHT 2341.98**	**SOLD 2301.22**			**-30,572.50**	**0.00**
15AUG17	B	10	PD15NOV17	2363.00	2588.00	USD	56,250.00	
15AUG17	B	5	PD15NOV17	2364.00	2588.00	USD	28,000.00	
15AUG17	B	5	PD15NOV17	2362.00	2588.00	USD	28,250.00	
15AUG17	B	10	PD15NOV17	2360.00	2588.00	USD	57,000.00	
15AUG17	B	2	PD15NOV17	2364.50	2588.00	USD	11,175.00	
15AUG17	B	5	PD15NOV17	2370.00	2588.00	USD	27,250.00	
15AUG17	B	1	PD15NOV17	2371.00	2588.00	USD	5,425.00	
15AUG17	B	4	PD15NOV17	2372.50	2588.00	USD	21,550.00	
15AUG17	B	5	PD15NOV17	2374.50	2588.00	USD	26,687.50	
15AUG17	B	5	PD15NOV17	2377.00	2588.00	USD	26,375.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097J

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
15AUG17	B	30	PD15NOV17	2375.00	2588.00	USD	159,750.00	
15AUG17	S	2	PD15NOV17	2370.50	2588.00	USD	-10,875.00	
12SEP17	S	15	PD15NOV17	2303.50	2588.00	USD	-106,687.50	
	NET	**+65**	**AVG BGHT 2369.60**	**SOLD 2311.38**			**330,150.00**	**0.00**
18AUG17	S	12	PD17NOV17	2405.00	2588.26	USD	-54,978.00	
18AUG17	S	12	PD17NOV17	2406.00	2588.26	USD	-54,678.00	
18AUG17	S	5	PD17NOV17	2407.00	2588.26	USD	-22,657.50	
18AUG17	S	1	PD17NOV17	2407.50	2588.26	USD	-4,519.00	
18AUG17	S	2	PD17NOV17	2410.00	2588.26	USD	-8,913.00	
18AUG17	S	7	PD17NOV17	2410.50	2588.26	USD	-31,108.00	
18AUG17	S	21	PD17NOV17	2413.00	2588.26	USD	-92,011.50	
18AUG17	S	1	PD17NOV17	2416.50	2588.26	USD	-4,294.00	
18AUG17	S	3	PD17NOV17	2413.50	2588.26	USD	-13,107.00	
18AUG17	S	10	PD17NOV17	2415.50	2588.26	USD	-43,190.00	
18AUG17	S	4	PD17NOV17	2401.00	2588.26	USD	-18,726.00	
18AUG17	S	9	PD17NOV17	2412.00	2588.26	USD	-39,658.50	
18AUG17	S	3	PD17NOV17	2411.50	2588.26	USD	-13,257.00	
18AUG17	S	3	PD17NOV17	2408.00	2588.26	USD	-13,519.50	
18AUG17	S	10	PD17NOV17	2414.00	2588.26	USD	-43,565.00	
18AUG17	S	4	PD17NOV17	2411.00	2588.26	USD	-17,726.00	
18AUG17	S	3	PD17NOV17	2405.50	2588.26	USD	-13,707.00	
18AUG17	S	2	PD17NOV17	2357.00	2588.26	USD	-11,563.00	
18AUG17	S	5	PD17NOV17	2357.50	2588.26	USD	-28,845.00	
18AUG17	S	9	PD17NOV17	2360.00	2588.26	USD	-51,358.50	
18AUG17	S	3	PD17NOV17	2360.50	2588.26	USD	-17,082.00	
18AUG17	S	1	PD17NOV17	2361.00	2588.26	USD	-5,681.50	
18AUG17	S	5	PD17NOV17	2358.50	2588.26	USD	-28,720.00	
18AUG17	S	22	PD17NOV17	2358.00	2588.26	USD	-126,643.00	
18AUG17	S	4	PD17NOV17	2359.50	2588.26	USD	-22,876.00	
18AUG17	S	9	PD17NOV17	2359.00	2588.26	USD	-51,583.50	
12SEP17	B	170	PD17NOV17	2304.01	2588.26	USD	1,208,062.50	
	NET	**0**	**AVG BGHT 2304.01**	**SOLD 2392.03**			**374,095.00**	**0.00**
30AUG17	B	5	PD30NOV17	2378.50	2589.93	USD	26,428.75	
31AUG17	S	3	PD30NOV17	2376.50	2589.93	USD	-16,007.25	
31AUG17	S	2	PD30NOV17	2378.00	2589.93	USD	-10,596.50	
	NET	**0**	**AVG BGHT 2378.50**	**SOLD 2377.10**			**-175.00**	**0.00**
04SEP17	S	1	PD04DEC17	2400.50	2590.44	USD	-4,748.50	
04SEP17	S	1	PD04DEC17	2401.50	2590.44	USD	-4,723.50	
04SEP17	S	1	PD04DEC17	2405.00	2590.44	USD	-4,636.00	
04SEP17	S	4	PD04DEC17	2408.00	2590.44	USD	-18,244.00	
04SEP17	S	4	PD04DEC17	2408.50	2590.44	USD	-18,194.00	
04SEP17	S	6	PD04DEC17	2409.00	2590.44	USD	-27,216.00	
04SEP17	S	4	PD04DEC17	2411.50	2590.44	USD	-17,894.00	
04SEP17	S	2	PD04DEC17	2412.50	2590.44	USD	-8,897.00	
04SEP17	S	2	PD04DEC17	2414.00	2590.44	USD	-8,822.00	
12SEP17	B	25	PD04DEC17	2309.24	2590.44	USD	175,750.00	
	NET	**0**	**AVG BGHT 2309.24**	**SOLD 2409.04**			**62,375.00**	**0.00**
15SEP17	S	2	PD15DEC17	2351.50	2591.86	USD	-12,018.00	
15SEP17	S	1	PD15DEC17	2359.00	2591.86	USD	-5,821.50	
15SEP17	S	3	PD15DEC17	2357.50	2591.86	USD	-17,577.00	
15SEP17	B	2	PD15DEC17	2359.00	2591.86	USD	11,643.00	
15SEP17	B	2	PD15DEC17	2360.00	2591.86	USD	11,593.00	
15SEP17	B	1	PD15DEC17	2360.50	2591.86	USD	5,784.00	
15SEP17	S	6	PD15DEC17	2355.00	2591.86	USD	-35,529.00	
15SEP17	S	2	PD15DEC17	2355.50	2591.86	USD	-11,818.00	
15SEP17	S	4	PD15DEC17	2358.00	2591.86	USD	-23,386.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097J

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
15SEP17	S 2 PD15DEC17	2354.00	2591.86	USD	-11,893.00	
	NET -15 AVG BGHT 2359.70 SOLD 2355.78				**-89,022.50**	**0.00**
21SEP17	S 6 PD21DEC17	2462.00	2592.29	USD	-19,543.50	
21SEP17	S 2 PD21DEC17	2463.00	2592.29	USD	-6,464.50	
21SEP17	S 1 PD21DEC17	2465.00	2592.29	USD	-3,182.25	
21SEP17	S 1 PD21DEC17	2466.00	2592.29	USD	-3,157.25	
21SEP17	S 5 PD21DEC17	2465.50	2592.29	USD	-15,848.75	
21SEP17	S 1 PD21DEC17	2473.00	2592.29	USD	-2,982.25	
21SEP17	S 3 PD21DEC17	2467.00	2592.29	USD	-9,396.75	
21SEP17	S 2 PD21DEC17	2467.50	2592.29	USD	-6,239.50	
21SEP17	S 2 PD21DEC17	2468.50	2592.29	USD	-6,189.50	
21SEP17	S 2 PD21DEC17	2469.50	2592.29	USD	-6,139.50	
21SEP17	S 1 PD21DEC17	2474.50	2592.29	USD	-2,944.75	
21SEP17	S 1 PD21DEC17	2475.00	2592.29	USD	-2,932.25	
21SEP17	S 1 PD21DEC17	2476.50	2592.29	USD	-2,894.75	
21SEP17	S 1 PD21DEC17	2478.00	2592.29	USD	-2,857.25	
21SEP17	S 2 PD21DEC17	2479.00	2592.29	USD	-5,664.50	
21SEP17	S 1 PD21DEC17	2472.00	2592.29	USD	-3,007.25	
	NET -32 AVG SOLD 2467.98				**-99,444.50**	**0.00**
22SEP17	S 4 PD22DEC17	2448.50	2592.07	USD	-14,357.00	
22SEP17	S 1 PD22DEC17	2450.50	2592.07	USD	-3,539.25	
22SEP17	S 2 PD22DEC17	2451.50	2592.07	USD	-7,028.50	
22SEP17	S 1 PD22DEC17	2452.00	2592.07	USD	-3,501.75	
22SEP17	S 1 PD22DEC17	2452.50	2592.07	USD	-3,489.25	
22SEP17	S 1 PD22DEC17	2453.00	2592.07	USD	-3,476.75	
22SEP17	S 3 PD22DEC17	2449.50	2592.07	USD	-10,692.75	
22SEP17	S 1 PD22DEC17	2449.00	2592.07	USD	-3,576.75	
22SEP17	S 4 PD22DEC17	2450.00	2592.07	USD	-14,207.00	
	NET -18 AVG SOLD 2450.14				**-63,869.00**	**0.00**
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
04JUL17	S 3 PD04OCT17	2778.00	3316.62	USD	-40,396.50	
04JUL17	S 2 PD04OCT17	2779.00	3316.62	USD	-26,881.00	
04JUL17	S 2 PD04OCT17	2778.50	3316.62	USD	-26,906.00	
04JUL17	S 8 PD04OCT17	2779.50	3316.62	USD	-107,424.00	
04JUL17	S 8 PD04OCT17	2781.00	3316.62	USD	-107,124.00	
10AUG17	B 23 PD04OCT17	2933.06	3316.62	USD	220,547.00	
	NET 0 AVG BGHT 2933.06 SOLD 2779.70				**-88,184.50**	**0.00**
05JUL17	S 5 PD05OCT17	2773.00	3313.50	USD	-67,562.50	
05JUL17	S 12 PD05OCT17	2774.50	3313.50	USD	-161,700.00	
05JUL17	S 3 PD05OCT17	2775.50	3313.50	USD	-40,350.00	
05JUL17	S 20 PD05OCT17	2774.00	3313.50	USD	-269,750.00	
10AUG17	B 40 PD05OCT17	2933.25	3313.50	USD	380,250.00	
	NET 0 AVG BGHT 2933.25 SOLD 2774.14				**-159,112.50**	**0.00**
12JUL17	S 1 PD12OCT17	2857.00	3301.00	USD	-11,100.00	
12JUL17	S 1 PD12OCT17	2858.00	3301.00	USD	-11,075.00	
12JUL17	S 1 PD12OCT17	2866.00	3301.00	USD	-10,875.00	
12JUL17	S 2 PD12OCT17	2866.50	3301.00	USD	-21,725.00	
12JUL17	S 2 PD12OCT17	2867.50	3301.00	USD	-21,675.00	
12JUL17	S 10 PD12OCT17	2870.00	3301.00	USD	-107,750.00	
12JUL17	S 1 PD12OCT17	2871.00	3301.00	USD	-10,750.00	
12JUL17	S 4 PD12OCT17	2854.50	3301.00	USD	-44,650.00	
12JUL17	S 7 PD12OCT17	2855.50	3301.00	USD	-77,962.50	
12JUL17	S 11 PD12OCT17	2856.00	3301.00	USD	-122,375.00	
12JUL17	S 2 PD12OCT17	2857.50	3301.00	USD	-22,175.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097J

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position		Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT						
12JUL17	S 5 PD12OCT17		2854.00	3301.00	USD	-55,875.00	
12JUL17	S 3 PD12OCT17		2851.50	3301.00	USD	-33,712.50	
12JUL17	S 10 PD12OCT17		2856.50	3301.00	USD	-111,125.00	
12JUL17	S 15 PD12OCT17		2855.00	3301.00	USD	-167,250.00	
10AUG17	B 20 PD12OCT17		2934.60	3301.00	USD	183,200.00	
12SEP17	B 55 PD12OCT17		3055.61	3301.00	USD	337,411.25	
	NET 0	**AVG BGHT 3023.34 SOLD 2858.29**				**-309,463.75**	**0.00**
17JUL17	S 1 PD17OCT17		2838.50	3291.63	USD	-11,328.25	
17JUL17	S 2 PD17OCT17		2839.50	3291.63	USD	-22,606.50	
17JUL17	S 5 PD17OCT17		2823.00	3291.63	USD	-58,578.75	
12SEP17	B 8 PD17OCT17		3055.73	3291.63	USD	47,180.00	
12SEP17	S 17 PD17OCT17		3055.53	3291.63	USD	-100,342.50	
	NET -17	**AVG BGHT 3055.73 SOLD 2983.06**				**-145,676.00**	**0.00**
19JUL17	S 3 PD19OCT17		2775.50	3285.50	USD	-38,250.00	
19JUL17	S 10 PD19OCT17		2773.50	3285.50	USD	-128,000.00	
19JUL17	S 27 PD19OCT17		2774.00	3285.50	USD	-345,262.50	
12SEP17	B 40 PD19OCT17		3054.75	3285.50	USD	230,750.00	
	NET 0	**AVG BGHT 3054.75 SOLD 2773.99**				**-280,762.50**	**0.00**
24JUL17	S 2 PD24OCT17		2786.50	3280.50	USD	-24,700.00	
24JUL17	S 8 PD24OCT17		2785.50	3280.50	USD	-99,000.00	
12SEP17	B 10 PD24OCT17		3054.81	3280.50	USD	56,422.50	
	NET 0	**AVG BGHT 3054.81 SOLD 2785.70**				**-67,277.50**	**0.00**
25JUL17	S 3 PD25OCT17		2838.00	3279.50	USD	-33,112.50	
25JUL17	S 3 PD25OCT17		2838.50	3279.50	USD	-33,075.00	
25JUL17	S 8 PD25OCT17		2837.00	3279.50	USD	-88,500.00	
25JUL17	S 6 PD25OCT17		2837.50	3279.50	USD	-66,300.00	
25JUL17	S 10 PD25OCT17		2839.50	3279.50	USD	-110,000.00	
12SEP17	B 30 PD25OCT17		3054.95	3279.50	USD	168,412.50	
	NET 0	**AVG BGHT 3054.95 SOLD 2838.18**				**-162,575.00**	**0.00**
28JUL17	B 3 PD27OCT17		2766.50	3277.50	USD	38,325.00	
28JUL17	B 2 PD27OCT17		2768.00	3277.50	USD	25,475.00	
28JUL17	B 1 PD27OCT17		2770.00	3277.50	USD	12,687.50	
28JUL17	B 1 PD27OCT17		2769.00	3277.50	USD	12,712.50	
28JUL17	B 2 PD27OCT17		2768.50	3277.50	USD	25,450.00	
28JUL17	B 1 PD27OCT17		2769.50	3277.50	USD	12,700.00	
10AUG17	S 10 PD27OCT17		2935.30	3277.50	USD	-85,550.00	
	NET 0	**AVG BGHT 2768.10 SOLD 2935.30**				**41,800.00**	**0.00**
02AUG17	B 5 PD02NOV17		2757.00	3273.50	USD	64,562.50	
02AUG17	S 4 PD02NOV17		2758.50	3273.50	USD	-51,500.00	
02AUG17	B 1 PD02NOV17		2769.50	3273.50	USD	12,600.00	
02AUG17	B 1 PD02NOV17		2771.00	3273.50	USD	12,562.50	
02AUG17	B 1 PD02NOV17		2789.00	3273.50	USD	12,112.50	
02AUG17	B 1 PD02NOV17		2790.00	3273.50	USD	12,087.50	
02AUG17	B 1 PD02NOV17		2790.50	3273.50	USD	12,075.00	
02AUG17	B 1 PD02NOV17		2783.50	3273.50	USD	12,250.00	
02AUG17	B 3 PD02NOV17		2791.50	3273.50	USD	36,150.00	
10AUG17	S 10 PD02NOV17		2936.37	3273.50	USD	-84,282.50	
	NET 0	**AVG BGHT 2775.21 SOLD 2885.55**				**38,617.50**	**0.00**
04AUG17	S 5 PD03NOV17		2799.00	3272.00	USD	-59,125.00	
04AUG17	S 5 PD03NOV17		2798.50	3272.00	USD	-59,187.50	
12SEP17	B 10 PD03NOV17		3056.21	3272.00	USD	53,947.50	
	NET 0	**AVG BGHT 3056.21 SOLD 2798.75**				**-64,365.00**	**0.00**
09AUG17	B 1 PD09NOV17		2941.50	3272.00	USD	8,262.50	
09AUG17	S 3 PD09NOV17		2941.00	3272.00	USD	-24,825.00	
09AUG17	S 1 PD09NOV17		2941.50	3272.00	USD	-8,262.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097J

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
09AUG17	S	2	PD09NOV17	2942.00	3272.00	USD	-16,500.00	
09AUG17	B	7	PD09NOV17	2959.00	3272.00	USD	54,775.00	
09AUG17	B	3	PD09NOV17	2959.50	3272.00	USD	23,437.50	
09AUG17	B	4	PD09NOV17	2953.50	3272.00	USD	31,850.00	
09AUG17	S	10	PD09NOV17	2958.00	3272.00	USD	-78,500.00	
09AUG17	B	3	PD09NOV17	2955.50	3272.00	USD	23,737.50	
09AUG17	B	13	PD09NOV17	2956.00	3272.00	USD	102,700.00	
09AUG17	B	4	PD09NOV17	2957.50	3272.00	USD	31,450.00	
09AUG17	B	21	PD09NOV17	2957.00	3272.00	USD	165,375.00	
09AUG17	B	5	PD09NOV17	2956.50	3272.00	USD	39,437.50	
09AUG17	B	7	PD09NOV17	2954.50	3272.00	USD	55,562.50	
09AUG17	B	25	PD09NOV17	2954.00	3272.00	USD	198,750.00	
09AUG17	B	6	PD09NOV17	2952.50	3272.00	USD	47,925.00	
10AUG17	S	80	PD09NOV17	2937.62	3272.00	USD	-668,760.00	
12SEP17	S	3	PD09NOV17	3057.05	3272.00	USD	-16,121.25	
	NET	**0**	**AVG BGHT 2955.53**	**SOLD 2943.53**			**-29,706.25**	**0.00**
11AUG17	S	10	PD10NOV17	2897.00	3272.00	USD	-93,750.00	
11AUG17	S	1	PD10NOV17	2903.00	3272.00	USD	-9,225.00	
11AUG17	S	1	PD10NOV17	2905.00	3272.00	USD	-9,175.00	
11AUG17	S	1	PD10NOV17	2907.50	3272.00	USD	-9,112.50	
11AUG17	S	1	PD10NOV17	2908.50	3272.00	USD	-9,087.50	
11AUG17	S	6	PD10NOV17	2900.00	3272.00	USD	-55,800.00	
11AUG17	S	3	PD10NOV17	2896.00	3272.00	USD	-28,200.00	
11AUG17	S	5	PD10NOV17	2898.00	3272.00	USD	-46,750.00	
11AUG17	S	1	PD10NOV17	2896.50	3272.00	USD	-9,387.50	
11AUG17	S	1	PD10NOV17	2898.50	3272.00	USD	-9,337.50	
12SEP17	B	3	PD10NOV17	3057.39	3272.00	USD	16,095.75	
12SEP17	B	27	PD10NOV17	3057.19	3272.00	USD	144,996.75	
	NET	**0**	**AVG BGHT 3057.21**	**SOLD 2898.90**			**-118,732.50**	**0.00**
15AUG17	S	2	PD15NOV17	2939.00	3269.50	USD	-16,525.00	
15AUG17	S	10	PD15NOV17	2943.00	3269.50	USD	-81,625.00	
15AUG17	S	5	PD15NOV17	2944.00	3269.50	USD	-40,687.50	
15AUG17	S	2	PD15NOV17	2942.00	3269.50	USD	-16,375.00	
15AUG17	S	13	PD15NOV17	2940.00	3269.50	USD	-107,087.50	
15AUG17	S	2	PD15NOV17	2950.50	3269.50	USD	-15,950.00	
15AUG17	S	8	PD15NOV17	2951.00	3269.50	USD	-63,700.00	
15AUG17	S	15	PD15NOV17	2955.50	3269.50	USD	-117,750.00	
15AUG17	S	5	PD15NOV17	2956.00	3269.50	USD	-39,187.50	
15AUG17	S	20	PD15NOV17	2955.00	3269.50	USD	-157,250.00	
15AUG17	B	2	PD15NOV17	2951.50	3269.50	USD	15,900.00	
12SEP17	B	15	PD15NOV17	3057.50	3269.50	USD	79,500.00	
	NET	**-65**	**AVG BGHT 3045.03**	**SOLD 2949.43**			**-560,737.50**	**0.00**
18AUG17	B	5	PD17NOV17	3114.50	3265.50	USD	18,875.00	
18AUG17	B	1	PD17NOV17	3116.00	3265.50	USD	3,737.50	
18AUG17	B	6	PD17NOV17	3116.50	3265.50	USD	22,350.00	
18AUG17	B	9	PD17NOV17	3117.00	3265.50	USD	33,412.50	
18AUG17	B	17	PD17NOV17	3117.50	3265.50	USD	62,900.00	
18AUG17	B	2	PD17NOV17	3122.50	3265.50	USD	7,150.00	
18AUG17	B	5	PD17NOV17	3128.00	3265.50	USD	17,187.50	
18AUG17	B	10	PD17NOV17	3124.00	3265.50	USD	35,375.00	
18AUG17	B	4	PD17NOV17	3130.00	3265.50	USD	13,550.00	
18AUG17	B	10	PD17NOV17	3123.50	3265.50	USD	35,500.00	
18AUG17	B	6	PD17NOV17	3125.00	3265.50	USD	21,075.00	
18AUG17	B	13	PD17NOV17	3127.00	3265.50	USD	45,012.50	
18AUG17	B	2	PD17NOV17	3125.50	3265.50	USD	7,000.00	
18AUG17	B	2	PD17NOV17	3126.50	3265.50	USD	6,950.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097J

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
18AUG17	B	1	PD17NOV17	3134.00	3265.50	USD	3,287.50	
18AUG17	B	3	PD17NOV17	3126.00	3265.50	USD	10,462.50	
18AUG17	B	3	PD17NOV17	3129.50	3265.50	USD	10,200.00	
18AUG17	B	7	PD17NOV17	3128.50	3265.50	USD	23,975.00	
18AUG17	B	3	PD17NOV17	3130.50	3265.50	USD	10,125.00	
18AUG17	B	2	PD17NOV17	3131.00	3265.50	USD	6,725.00	
18AUG17	B	5	PD17NOV17	3131.50	3265.50	USD	16,750.00	
18AUG17	B	5	PD17NOV17	3132.00	3265.50	USD	16,687.50	
18AUG17	B	5	PD17NOV17	3132.50	3265.50	USD	16,625.00	
18AUG17	B	7	PD17NOV17	3134.50	3265.50	USD	22,925.00	
18AUG17	B	1	PD17NOV17	3136.50	3265.50	USD	3,225.00	
18AUG17	B	2	PD17NOV17	3139.00	3265.50	USD	6,325.00	
18AUG17	B	2	PD17NOV17	3136.00	3265.50	USD	6,475.00	
18AUG17	B	11	PD17NOV17	3137.00	3265.50	USD	35,337.50	
18AUG17	B	6	PD17NOV17	3138.00	3265.50	USD	19,125.00	
18AUG17	B	9	PD17NOV17	3138.50	3265.50	USD	28,575.00	
18AUG17	B	6	PD17NOV17	3137.50	3265.50	USD	19,200.00	
12SEP17	S	153	PD17NOV17	3055.51	3265.50	USD	-803,211.75	
	NET	**+17**	**AVG BGHT 3127.59**	**SOLD 3055.51**			**-217,111.75**	**0.00**
30AUG17	S	5	PD30NOV17	3105.50	3265.36	USD	-19,982.50	
31AUG17	B	5	PD30NOV17	3107.50	3265.36	USD	19,732.50	
	NET	**0**	**AVG BGHT 3107.50**	**SOLD 3105.50**			**-250.00**	**0.00**
04SEP17	B	1	PD04DEC17	3210.50	3265.79	USD	1,382.25	
04SEP17	B	2	PD04DEC17	3211.00	3265.79	USD	2,739.50	
04SEP17	B	2	PD04DEC17	3212.00	3265.79	USD	2,689.50	
04SEP17	B	2	PD04DEC17	3211.50	3265.79	USD	2,714.50	
04SEP17	B	2	PD04DEC17	3212.50	3265.79	USD	2,664.50	
04SEP17	B	2	PD04DEC17	3213.00	3265.79	USD	2,639.50	
04SEP17	B	2	PD04DEC17	3214.50	3265.79	USD	2,564.50	
04SEP17	B	6	PD04DEC17	3215.00	3265.79	USD	7,618.50	
04SEP17	B	4	PD04DEC17	3216.50	3265.79	USD	4,929.00	
04SEP17	B	2	PD04DEC17	3217.00	3265.79	USD	2,439.50	
12SEP17	S	25	PD04DEC17	3059.11	3265.79	USD	-129,175.00	
	NET	**0**	**AVG BGHT 3213.98**	**SOLD 3059.11**			**-96,793.75**	**0.00**
15SEP17	B	2	PD15DEC17	3002.00	3266.96	USD	13,248.00	
15SEP17	B	6	PD15DEC17	3008.00	3266.96	USD	38,844.00	
15SEP17	B	2	PD15DEC17	3010.50	3266.96	USD	12,823.00	
15SEP17	B	2	PD15DEC17	3014.00	3266.96	USD	12,648.00	
15SEP17	B	3	PD15DEC17	3013.50	3266.96	USD	19,009.50	
15SEP17	B	2	PD15DEC17	3031.50	3266.96	USD	11,773.00	
15SEP17	S	4	PD15DEC17	3028.00	3266.96	USD	-23,896.00	
15SEP17	S	1	PD15DEC17	3028.50	3266.96	USD	-5,961.50	
15SEP17	B	3	PD15DEC17	3031.00	3266.96	USD	17,697.00	
	NET	**+15**	**AVG BGHT 3014.88**	**SOLD 3028.10**			**96,185.00**	**0.00**
21SEP17	B	5	PD21DEC17	3082.50	3264.00	USD	22,687.50	
21SEP17	B	1	PD21DEC17	3083.00	3264.00	USD	4,525.00	
21SEP17	B	2	PD21DEC17	3084.00	3264.00	USD	9,000.00	
21SEP17	B	1	PD21DEC17	3085.50	3264.00	USD	4,462.50	
21SEP17	B	1	PD21DEC17	3086.50	3264.00	USD	4,437.50	
21SEP17	B	3	PD21DEC17	3087.00	3264.00	USD	13,275.00	
21SEP17	B	1	PD21DEC17	3074.00	3264.00	USD	4,750.00	
21SEP17	B	2	PD21DEC17	3086.00	3264.00	USD	8,900.00	
21SEP17	B	1	PD21DEC17	3070.50	3264.00	USD	4,837.50	
21SEP17	B	1	PD21DEC17	3067.50	3264.00	USD	4,912.50	
21SEP17	B	3	PD21DEC17	3068.00	3264.00	USD	14,700.00	
21SEP17	B	1	PD21DEC17	3068.50	3264.00	USD	4,887.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0097J

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
21SEP17	B 1 PD21DEC17	3069.00	3264.00	USD	4,875.00	
21SEP17	B 1 PD21DEC17	3069.50	3264.00	USD	4,862.50	
21SEP17	B 1 PD21DEC17	3073.00	3264.00	USD	4,775.00	
21SEP17	B 2 PD21DEC17	3077.00	3264.00	USD	9,350.00	
21SEP17	B 2 PD21DEC17	3081.00	3264.00	USD	9,150.00	
21SEP17	B 1 PD21DEC17	3081.50	3264.00	USD	4,562.50	
21SEP17	B 1 PD21DEC17	3075.00	3264.00	USD	4,725.00	
21SEP17	B 1 PD21DEC17	3080.50	3264.00	USD	4,587.50	
	NET +32 AVG BGHT 3078.67				**148,262.50**	**0.00**
22SEP17	B 2 PD22DEC17	3038.50	3264.00	USD	11,275.00	
22SEP17	B 1 PD22DEC17	3039.50	3264.00	USD	5,612.50	
22SEP17	B 1 PD22DEC17	3040.50	3264.00	USD	5,587.50	
22SEP17	B 3 PD22DEC17	3041.00	3264.00	USD	16,725.00	
22SEP17	B 1 PD22DEC17	3042.00	3264.00	USD	5,550.00	
22SEP17	B 10 PD22DEC17	3039.00	3264.00	USD	56,250.00	
	NET +18 AVG BGHT 3039.56				**101,000.00**	**0.00**

OPEN POSITION VALUE

	USD
LME Lead 25 tonnes. This is an FUTURES	584,667.75
LME Zinc 25 tonnes. This is FUTURES	-1,855,950.75

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards		Amount	Profit / Loss	**Total Amount**	**Total P&L**
LEAD - Futures	USD	577,956.75	577,956.75		
ZINC - Futures	USD	-1,874,883.50	-1,874,883.50	**-1,296,926.75**	**-1,296,926.75**

ACCOUNT FINANCIAL SUMMARY

		USD (1.00000)	NETT USD (1.00000)
CASH BALANCE		-52,930.45	-52,930.45
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**-52,930.45**	**-52,930.45**
Initial Margins		-1,331,660.75	-1,331,660.75
Excess/(Shortage)		**-1,384,591.20**	**-1,384,591.20**
FOR INFORMATION ONLY			
Forward Unrealised P&L		-1,296,926.75	-1,296,926.75
Net Liquidating Value		**-1,349,857.20**	**-1,349,857.20**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED
SAP0097
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPG0097**

3rd Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

GROUP FINANCIAL SUMMARY

		USD (1.00000)	NET USD (1.00000)
CASH BALANCE		-52,930.45	-52,930.45
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**-52,930.45**	**-52,930.45**
Initial Margins		-1,331,660.75	-1,331,660.75
Excess/(Shortage)		**-1,384,591.20**	**-1,384,591.20**
FOR INFORMATION ONLY			
Forward Unrealised P&L		-1,296,926.75	-1,296,926.75
Net Liquidating Value		**-1,349,857.20**	**-1,349,857.20**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0100

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		36,195.59	36,195.59
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**36,195.59**	**36,195.59**
Initial Margins		0.00	0.00
Excess/(Shortage)		**36,195.59**	**36,195.59**
FOR INFORMATION ONLY			
Net Liquidating Value		**36,195.59**	**36,195.59**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED
SAP0100
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPG0100**

3rd Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

GROUP FINANCIAL SUMMARY

		USD (1.00000)	NET USD (1.00000)
CASH BALANCE		36,195.59	36,195.59
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**36,195.59**	**36,195.59**
Initial Margins		0.00	0.00
Excess/(Shortage)		**36,195.59**	**36,195.59**
FOR INFORMATION ONLY			
Net Liquidating Value		**36,195.59**	**36,195.59**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0103

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		816.58	816.58
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**816.58**	**816.58**
Initial Margins		0.00	0.00
Excess/(Shortage)		**816.58**	**816.58**
FOR INFORMATION ONLY			
Net Liquidating Value		**816.58**	**816.58**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0103A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
11SEP17	S 1 PD11DEC17	2127.00	2125.31	USD	42.25	
11SEP17	S 1 PD11DEC17	2131.50	2125.31	USD	154.75	
11SEP17	S 1 PD11DEC17	2133.50	2125.31	USD	204.75	
11SEP17	S 1 PD11DEC17	2123.50	2125.31	USD	-45.25	
03OCT17	B 4 PD11DEC17	2125.31	2125.31	USD	0.00	
	NET 0 AVG BGHT 2125.31 SOLD 2128.88				**356.50**	**0.00**
27SEP17	B 1 PD27DEC17	2126.50	2129.38	USD	72.00	
03OCT17	S 1 PD27DEC17	2129.18	2129.38	USD	-5.00	
	NET 0 AVG BGHT 2126.50 SOLD 2129.18				**67.00**	**0.00**
28SEP17	B 1 PD28DEC17	2118.50	2129.61	USD	277.75	
28SEP17	B 1 PD28DEC17	2135.50	2129.61	USD	-147.25	
28SEP17	B 1 PD28DEC17	2137.50	2129.61	USD	-197.25	
03OCT17	S 3 PD28DEC17	2129.41	2129.61	USD	-15.00	
	NET 0 AVG BGHT 2130.50 SOLD 2129.41				**-81.75**	**0.00**
	CME EURO FX 125,000 CME					
12SEP17	S 2 DEC17	1.20245	1.17965	USD	5,700.00	
	NET -2 AVG SOLD 1.20245				**5,700.00**	**0.00**
	CMX SILVER 5,000 CMX					
21SEP17	B 1 DEC17	1690.0	1665.0	USD	-1,250.00	
	NET +1 AVG BGHT 1690.0				**-1,250.00**	**0.00**
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
18SEP17	S 1 PD02NOV17	3100.83	3273.50	USD	-4,316.75	
18SEP17	S 1 PD02NOV17	3082.83	3273.50	USD	-4,766.75	
18SEP17	S 1 PD02NOV17	3082.58	3273.50	USD	-4,773.00	
18SEP17	S 1 PD02NOV17	3102.00	3273.50	USD	-4,287.50	
18SEP17	S 1 PD02NOV17	3110.00	3273.50	USD	-4,087.50	
20SEP17	S 1 PD02NOV17	3151.95	3273.50	USD	-3,038.75	
20SEP17	S 2 PD02NOV17	3150.95	3273.50	USD	-6,127.50	
20SEP17	S 2 PD02NOV17	3150.45	3273.50	USD	-6,152.50	
	NET -10 AVG SOLD 3123.30				**-37,550.25**	**0.00**
18SEP17	S 1 PD18DEC17	3083.00	3267.29	USD	-4,607.25	
18SEP17	S 1 PD18DEC17	3100.50	3267.29	USD	-4,169.75	
18SEP17	S 1 PD18DEC17	3099.00	3267.29	USD	-4,207.25	
18SEP17	B 1 PD18DEC17	3100.66	3267.29	USD	4,165.75	
18SEP17	B 1 PD18DEC17	3082.66	3267.29	USD	4,615.75	
18SEP17	B 1 PD18DEC17	3082.41	3267.29	USD	4,622.00	
18SEP17	S 1 PD18DEC17	3107.00	3267.29	USD	-4,007.25	
18SEP17	B 1 PD18DEC17	3102.31	3267.29	USD	4,124.50	
18SEP17	B 1 PD18DEC17	3110.31	3267.29	USD	3,924.50	
18SEP17	S 1 PD18DEC17	3088.50	3267.29	USD	-4,469.75	
	NET 0 AVG BGHT 3095.67 SOLD 3095.60				**-8.75**	**0.00**
20SEP17	S 1 PD20DEC17	3149.00	3267.50	USD	-2,962.50	
20SEP17	S 3 PD20DEC17	3149.50	3267.50	USD	-8,850.00	
20SEP17	S 1 PD20DEC17	3150.50	3267.50	USD	-2,925.00	
20SEP17	B 1 PD20DEC17	3151.41	3267.50	USD	2,902.25	
20SEP17	B 2 PD20DEC17	3150.41	3267.50	USD	5,854.50	
20SEP17	B 2 PD20DEC17	3149.91	3267.50	USD	5,879.50	
	NET 0 AVG BGHT 3150.41 SOLD 3149.60				**-101.25**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0103A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	341.75
CME Euro FX	FUTURES	300,612.50
CMX Silver	FUTURES	-84,500.00
LME Zinc 25 tonnes. This is	FUTURES	780,714.75

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options			Amount	Profit / Loss	**Total Amount**	**Total P&L**
EUSD	- Futures	USD	5,700.00	5,700.00		
SILV	- Futures	USD	-1,250.00	-1,250.00	**4,450.00**	**4,450.00**
Unrealised Profits & Losses - Forwards			**Amount**	**Profit / Loss**	**Total Amount**	**Total P&L**
ALUL	- Futures	USD	341.75	341.75		
ZINC	- Futures	USD	-37,660.25	-37,660.25	**-37,318.50**	**-37,318.50**

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		476,755.27	476,755.27
Variation Margins	- Futures	4,450.00	4,450.00
	- Options	0.00	0.00
TOTAL EQUITY		**481,205.27**	**481,205.27**
Initial Margins		-96,621.50	-96,621.50
Excess/(Shortage)		**384,583.77**	**384,583.77**
FOR INFORMATION ONLY			
Forward Unrealised P&L		-37,318.50	-37,318.50
Net Liquidating Value		**443,886.77**	**443,886.77**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0103B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	CMX COPPER 25,000 CMX					
28SEP17	S 1 DEC17	292.000	296.350	USD	-1,087.50	
28SEP17	S 1 DEC17	291.950	296.350	USD	-1,100.00	
	NET -2 AVG SOLD 291.975				**-2,187.50**	**0.00**
	CMX SILVER 5,000 CMX					
22SEP17	B 1 DEC17	1704.0	1665.0	USD	-1,950.00	
26SEP17	B 1 DEC17	1714.5	1665.0	USD	-2,475.00	
	NET +2 AVG BGHT 1709.3				**-4,425.00**	**0.00**

OPEN POSITION VALUE

		USD
CMX Copper	FUTURES	145,987.50
CMX Silver	FUTURES	-170,925.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options		Amount	Profit / Loss	**Total Amount**	**Total P&L**
COPR - Futures	USD	-2,187.50	-2,187.50		
SILV - Futures	USD	-4,425.00	-4,425.00	**-6,612.50**	**-6,612.50**

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		72,722.65	72,722.65
Variation Margins	- Futures	-6,612.50	-6,612.50
	- Options	0.00	0.00
TOTAL EQUITY		**66,110.15**	**66,110.15**
Initial Margins		-18,100.00	-18,100.00
Excess/(Shortage)		**48,010.15**	**48,010.15**
FOR INFORMATION ONLY			
Net Liquidating Value		**66,110.15**	**66,110.15**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0103C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	CMX SILVER 5,000 CMX					
15SEP17	B 1 DEC17	1769.5	1665.0	USD	-5,225.00	
21SEP17	B 1 DEC17	1707.5	1665.0	USD	-2,125.00	
26SEP17	B 1 DEC17	1692.0	1665.0	USD	-1,350.00	
	NET +3 AVG BGHT 1723.0				**-8,700.00**	**0.00**
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
21SEP17	S 1 PD02NOV17	3088.50	3273.50	USD	-4,625.00	
	NET -1 AVG SOLD 3088.50				**-4,625.00**	**0.00**
21SEP17	S 1 PD21DEC17	3084.00	3264.00	USD	-4,500.00	
21SEP17	B 1 PD21DEC17	3087.90	3264.00	USD	4,402.50	
	NET 0 AVG BGHT 3087.90 SOLD 3084.00				**-97.50**	**0.00**

OPEN POSITION VALUE

		USD
CMX Silver	FUTURES	-258,450.00
LME Zinc 25 tonnes. This is	FUTURES	77,115.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options			Amount	Profit / Loss	**Total Amount**	**Total P&L**
SILV	- Futures	USD	-8,700.00	-8,700.00	**-8,700.00**	**-8,700.00**
Unrealised Profits & Losses - Forwards						
ZINC	- Futures	USD	-4,722.50	-4,722.50	**-4,722.50**	**-4,722.50**

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		62,456.00	62,456.00
Variation Margins	- Futures	-8,700.00	-8,700.00
	- Options	0.00	0.00
TOTAL EQUITY		**53,756.00**	**53,756.00**
Initial Margins		-27,342.50	-27,342.50
Excess/(Shortage)		**26,413.50**	**26,413.50**
FOR INFORMATION ONLY			
Forward Unrealised P&L		-4,722.50	-4,722.50
Net Liquidating Value		**49,033.50**	**49,033.50**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0103D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	NYM LIGHT SWEET CRUDE OIL 1,000 NYM					
20SEP17	S 1 NOV17	50.28	50.42	USD	-140.00	
	NET -1 AVG SOLD 50.28				**-140.00**	**0.00**
	CMX SILVER 5,000 CMX					
29SEP17	B 1 DEC17	1677.0	1665.0	USD	-600.00	
	NET +1 AVG BGHT 1677.0				**-600.00**	**0.00**
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
18SEP17	S 1 PD02NOV17	3103.33	3273.50	USD	-4,254.25	
18SEP17	S 1 PD02NOV17	3103.08	3273.50	USD	-4,260.50	
18SEP17	S 2 PD02NOV17	3074.33	3273.50	USD	-9,958.50	
19SEP17	S 1 PD02NOV17	3085.75	3273.50	USD	-4,693.75	
20SEP17	S 1 PD02NOV17	3152.20	3273.50	USD	-3,032.50	
20SEP17	S 1 PD02NOV17	3131.75	3273.50	USD	-3,543.75	
20SEP17	S 1 PD02NOV17	3130.75	3273.50	USD	-3,568.75	
22SEP17	B 1 PD02NOV17	3023.63	3273.50	USD	6,246.75	
25SEP17	S 1 PD02NOV17	3103.23	3273.50	USD	-4,256.75	
	NET -8 AVG BGHT 3023.63 SOLD 3106.53				**-31,322.00**	**0.00**
18SEP17	S 1 PD18DEC17	3087.50	3267.29	USD	-4,494.75	
18SEP17	S 1 PD18DEC17	3088.00	3267.29	USD	-4,482.25	
18SEP17	S 1 PD18DEC17	3083.50	3267.29	USD	-4,594.75	
18SEP17	S 1 PD18DEC17	3090.00	3267.29	USD	-4,432.25	
18SEP17	B 1 PD18DEC17	3103.16	3267.29	USD	4,103.25	
18SEP17	B 1 PD18DEC17	3102.91	3267.29	USD	4,109.50	
18SEP17	B 2 PD18DEC17	3076.66	3267.29	USD	9,531.50	
	NET 0 AVG BGHT 3089.85 SOLD 3087.25				**-259.75**	**0.00**
19SEP17	S 1 PD19DEC17	3090.00	3267.39	USD	-4,434.75	
19SEP17	B 1 PD19DEC17	3086.65	3267.39	USD	4,518.50	
	NET 0 AVG BGHT 3086.65 SOLD 3090.00				**83.75**	**0.00**
20SEP17	S 1 PD20DEC17	3133.50	3267.50	USD	-3,350.00	
20SEP17	S 1 PD20DEC17	3128.00	3267.50	USD	-3,487.50	
20SEP17	S 1 PD20DEC17	3148.50	3267.50	USD	-2,975.00	
20SEP17	B 1 PD20DEC17	3151.66	3267.50	USD	2,896.00	
20SEP17	B 1 PD20DEC17	3132.90	3267.50	USD	3,365.00	
20SEP17	B 1 PD20DEC17	3131.90	3267.50	USD	3,390.00	
	NET 0 AVG BGHT 3138.82 SOLD 3136.67				**-161.50**	**0.00**
22SEP17	B 1 PD22DEC17	3014.00	3264.00	USD	6,250.00	
22SEP17	S 1 PD22DEC17	3015.35	3264.00	USD	-6,216.25	
	NET 0 AVG BGHT 3014.00 SOLD 3015.35				**33.75**	**0.00**
25SEP17	S 1 PD27DEC17	3095.50	3264.00	USD	-4,212.50	
25SEP17	B 1 PD27DEC17	3095.91	3264.00	USD	4,202.25	
	NET 0 AVG BGHT 3095.91 SOLD 3095.50				**-10.25**	**0.00**

OPEN POSITION VALUE

		USD
NYM Light Sweet Crude Oil	FUTURES	50,280.00
CMX Silver	FUTURES	-83,850.00
LME Zinc 25 tonnes. This is	FUTURES	623,064.00

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0103D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options			Amount	Profit / Loss	**Total Amount**	**Total P&L**
COIL	- Futures	USD	-140.00	-140.00		
SILV	- Futures	USD	-600.00	-600.00	**-740.00**	**-740.00**
Unrealised Profits & Losses - Forwards						
ZINC	- Futures	USD	-31,636.00	-31,636.00	**-31,636.00**	**-31,636.00**

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		105,056.30	105,056.30
Variation Margins	- Futures	-740.00	-740.00
	- Options	0.00	0.00
TOTAL EQUITY		**104,316.30**	**104,316.30**
Initial Margins		-79,148.00	-79,148.00
Excess/(Shortage)		**25,168.30**	**25,168.30**
FOR INFORMATION ONLY			
Forward Unrealised P&L		-31,636.00	-31,636.00
Net Liquidating Value		**72,680.30**	**72,680.30**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0103E

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	CMX GOLD 100 CMX					
09AUG17	S 1 DEC17	1276.9	1274.6	USD	230.00	
09AUG17	S 1 DEC17	1279.1	1274.6	USD	450.00	
	NET -2 AVG SOLD 1278.0				**680.00**	**0.00**
	CMX SILVER 5,000 CMX					
30AUG17	B 1 DEC17	1750.5	1665.0	USD	-4,275.00	
	NET +1 AVG BGHT 1750.5				**-4,275.00**	**0.00**

OPEN POSITION VALUE

		USD
CMX Gold	FUTURES	255,600.00
CMX Silver	FUTURES	-87,525.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options		Amount	Profit / Loss	**Total Amount**	**Total P&L**
GOLD - Futures	USD	680.00	680.00		
SILV - Futures	USD	-4,275.00	-4,275.00	**-3,595.00**	**-3,595.00**

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		90,017.61	90,017.61
Variation Margins	- Futures	-3,595.00	-3,595.00
	- Options	0.00	0.00
TOTAL EQUITY		**86,422.61**	**86,422.61**
Initial Margins		-7,600.00	-7,600.00
Excess/(Shortage)		**78,822.61**	**78,822.61**
FOR INFORMATION ONLY			
Net Liquidating Value		**86,422.61**	**86,422.61**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0103F

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
04JUL17	B 1 PD04OCT17	1928.00	2113.92	USD	4,648.00	
13JUL17	S 1 PD04OCT17	1921.86	2113.92	USD	-4,801.50	
	NET 0 AVG BGHT 1928.00 SOLD 1921.86				**-153.50**	**0.00**
05JUL17	B 1 PD05OCT17	1921.50	2114.25	USD	4,818.75	
13JUL17	S 1 PD05OCT17	1921.96	2114.25	USD	-4,807.25	
	NET 0 AVG BGHT 1921.50 SOLD 1921.96				**11.50**	**0.00**
13JUL17	S 3 PD13OCT17	1935.00	2116.25	USD	-13,593.75	
13JUL17	S 1 PD13OCT17	1935.50	2116.25	USD	-4,518.75	
13JUL17	S 3 PD13OCT17	1940.00	2116.25	USD	-13,218.75	
21JUL17	B 7 PD13OCT17	1914.26	2116.25	USD	35,348.25	
	NET 0 AVG BGHT 1914.26 SOLD 1937.21				**4,017.00**	**0.00**
17JUL17	B 8 PD17OCT17	1924.50	2116.25	USD	38,350.00	
21JUL17	S 7 PD17OCT17	1914.85	2116.25	USD	-35,245.00	
21JUL17	S 1 PD17OCT17	1915.05	2116.25	USD	-5,030.00	
	NET 0 AVG BGHT 1924.50 SOLD 1914.88				**-1,925.00**	**0.00**
18JUL17	S 1 PD18OCT17	1921.00	2116.25	USD	-4,881.25	
21JUL17	B 1 PD18OCT17	1915.45	2116.25	USD	5,020.00	
	NET 0 AVG BGHT 1915.45 SOLD 1921.00				**138.75**	**0.00**
21JUL17	S 1 PD20OCT17	1914.00	2117.03	USD	-5,075.75	
21JUL17	S 1 PD20OCT17	1915.50	2117.03	USD	-5,038.25	
21JUL17	S 1 PD20OCT17	1916.50	2117.03	USD	-5,013.25	
21JUL17	S 2 PD20OCT17	1918.00	2117.03	USD	-9,951.50	
21JUL17	S 1 PD20OCT17	1916.00	2117.03	USD	-5,025.75	
26JUL17	B 6 PD20OCT17	1940.71	2117.03	USD	26,448.00	
	NET 0 AVG BGHT 1940.71 SOLD 1916.33				**-3,656.50**	**0.00**
24JUL17	B 2 PD24OCT17	1913.00	2118.58	USD	10,279.00	
24JUL17	S 2 PD24OCT17	1913.00	2118.58	USD	-10,279.00	
24JUL17	B 2 PD24OCT17	1912.50	2118.58	USD	10,304.00	
24JUL17	B 1 PD24OCT17	1914.50	2118.58	USD	5,102.00	
24JUL17	S 1 PD24OCT17	1909.50	2118.58	USD	-5,227.00	
24JUL17	B 1 PD24OCT17	1909.50	2118.58	USD	5,227.00	
24JUL17	B 2 PD24OCT17	1910.00	2118.58	USD	10,429.00	
24JUL17	B 1 PD24OCT17	1910.50	2118.58	USD	5,202.00	
26JUL17	S 6 PD24OCT17	1941.37	2118.58	USD	-26,581.50	
	NET 0 AVG BGHT 1911.72 SOLD 1931.52				**4,455.50**	**0.00**
26JUL17	S 1 PD26OCT17	1958.50	2119.36	USD	-4,021.50	
26JUL17	S 2 PD26OCT17	1959.00	2119.36	USD	-8,018.00	
26JUL17	S 1 PD26OCT17	1959.50	2119.36	USD	-3,996.50	
26JUL17	S 1 PD26OCT17	1956.50	2119.36	USD	-4,071.50	
26JUL17	S 1 PD26OCT17	1957.00	2119.36	USD	-4,059.00	
26JUL17	B 1 PD26OCT17	1944.00	2119.36	USD	4,384.00	
26JUL17	B 1 PD26OCT17	1945.50	2119.36	USD	4,346.50	
26JUL17	B 4 PD26OCT17	1943.50	2119.36	USD	17,586.00	
	NET 0 AVG BGHT 1943.92 SOLD 1958.25				**2,150.00**	**0.00**
28JUL17	B 1 PD27OCT17	1925.00	2119.75	USD	4,868.75	
03AUG17	S 1 PD27OCT17	1914.05	2119.75	USD	-5,142.50	
	NET 0 AVG BGHT 1925.00 SOLD 1914.05				**-273.75**	**0.00**
31JUL17	B 1 PD31OCT17	1921.00	2117.75	USD	4,918.75	
31JUL17	B 1 PD31OCT17	1918.00	2117.75	USD	4,993.75	
31JUL17	B 1 PD31OCT17	1917.50	2117.75	USD	5,006.25	
03AUG17	S 3 PD31OCT17	1915.05	2117.75	USD	-15,202.50	
	NET 0 AVG BGHT 1918.83 SOLD 1915.05				**-283.75**	**0.00**
12SEP17	S 10 PD02NOV17	2125.08	2117.08	USD	2,000.00	
	NET -10 AVG SOLD 2125.08				**2,000.00**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0103F

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
07AUG17	S 1 PD07NOV17	1944.50	2116.08	USD	-4,289.50	
07AUG17	S 1 PD07NOV17	1945.50	2116.08	USD	-4,264.50	
07AUG17	S 1 PD07NOV17	1947.00	2116.08	USD	-4,227.00	
07AUG17	S 1 PD07NOV17	1946.00	2116.08	USD	-4,252.00	
08AUG17	B 4 PD07NOV17	2030.00	2116.08	USD	8,608.00	
	NET 0 AVG BGHT 2030.00 SOLD 1945.75				**-8,425.00**	**0.00**
08AUG17	S 1 PD08NOV17	1993.00	2115.75	USD	-3,068.75	
08AUG17	S 1 PD08NOV17	1992.00	2115.75	USD	-3,093.75	
08AUG17	S 1 PD08NOV17	1992.50	2115.75	USD	-3,081.25	
08AUG17	S 1 PD08NOV17	1990.50	2115.75	USD	-3,131.25	
08AUG17	S 1 PD08NOV17	1991.50	2115.75	USD	-3,106.25	
08AUG17	S 1 PD08NOV17	1998.50	2115.75	USD	-2,931.25	
08AUG17	S 1 PD08NOV17	1999.00	2115.75	USD	-2,918.75	
08AUG17	S 1 PD08NOV17	2000.00	2115.75	USD	-2,893.75	
08AUG17	S 1 PD08NOV17	2009.50	2115.75	USD	-2,656.25	
08AUG17	S 3 PD08NOV17	2010.00	2115.75	USD	-7,931.25	
08AUG17	S 1 PD08NOV17	2011.00	2115.75	USD	-2,618.75	
08AUG17	S 1 PD08NOV17	2007.50	2115.75	USD	-2,706.25	
08AUG17	S 1 PD08NOV17	2010.50	2115.75	USD	-2,631.25	
08AUG17	B 1 PD08NOV17	2031.00	2115.75	USD	2,118.75	
08AUG17	B 5 PD08NOV17	2030.20	2115.75	USD	10,693.75	
09AUG17	B 9 PD08NOV17	2027.52	2115.75	USD	19,851.75	
	NET 0 AVG BGHT 2028.65 SOLD 2001.70				**-10,104.50**	**0.00**
11AUG17	S 4 PD10NOV17	2040.00	2116.46	USD	-7,646.00	
11AUG17	S 1 PD10NOV17	2040.50	2116.46	USD	-1,899.00	
11AUG17	B 1 PD10NOV17	2041.50	2116.46	USD	1,874.00	
11AUG17	B 1 PD10NOV17	2042.50	2116.46	USD	1,849.00	
11AUG17	B 2 PD10NOV17	2040.50	2116.46	USD	3,798.00	
11AUG17	B 1 PD10NOV17	2041.00	2116.46	USD	1,886.50	
	NET 0 AVG BGHT 2041.20 SOLD 2040.10				**-137.50**	**0.00**
23AUG17	B 1 PD24NOV17	2067.00	2120.69	USD	1,342.25	
23AUG17	S 1 PD24NOV17	2066.50	2120.69	USD	-1,354.75	
25AUG17	B 1 PD24NOV17	2083.00	2120.69	USD	942.25	
25AUG17	B 1 PD24NOV17	2093.50	2120.69	USD	679.75	
25AUG17	B 1 PD24NOV17	2085.50	2120.69	USD	879.75	
25AUG17	B 1 PD24NOV17	2078.50	2120.69	USD	1,054.75	
25AUG17	S 1 PD24NOV17	2078.00	2120.69	USD	-1,067.25	
30AUG17	S 3 PD24NOV17	2086.15	2120.69	USD	-2,590.50	
	NET 0 AVG BGHT 2081.50 SOLD 2080.59				**-113.75**	**0.00**
31AUG17	B 1 PD30NOV17	2097.00	2122.32	USD	633.00	
31AUG17	B 1 PD30NOV17	2097.50	2122.32	USD	620.50	
31AUG17	B 1 PD30NOV17	2099.00	2122.32	USD	583.00	
31AUG17	S 1 PD30NOV17	2104.00	2122.32	USD	-458.00	
31AUG17	S 1 PD30NOV17	2104.50	2122.32	USD	-445.50	
31AUG17	S 1 PD30NOV17	2105.00	2122.32	USD	-433.00	
31AUG17	S 2 PD30NOV17	2106.00	2122.32	USD	-816.00	
31AUG17	S 1 PD30NOV17	2111.50	2122.32	USD	-270.50	
31AUG17	S 1 PD30NOV17	2112.00	2122.32	USD	-258.00	
31AUG17	S 1 PD30NOV17	2114.00	2122.32	USD	-208.00	
31AUG17	S 1 PD30NOV17	2116.50	2122.32	USD	-145.50	
31AUG17	S 1 PD30NOV17	2115.00	2122.32	USD	-183.00	
31AUG17	B 1 PD30NOV17	2138.00	2122.32	USD	-392.00	
31AUG17	B 1 PD30NOV17	2136.50	2122.32	USD	-354.50	
31AUG17	B 2 PD30NOV17	2128.50	2122.32	USD	-309.00	
31AUG17	B 1 PD30NOV17	2130.00	2122.32	USD	-192.00	
31AUG17	B 3 PD30NOV17	2131.00	2122.32	USD	-651.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0103F

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
31AUG17	S 1 PD30NOV17	2128.50	2122.32	USD	154.50	
31AUG17	B 1 PD30NOV17	2099.50	2122.32	USD	570.50	
31AUG17	S 1 PD30NOV17	2127.00	2122.32	USD	117.00	
31AUG17	S 1 PD30NOV17	2128.00	2122.32	USD	142.00	
31AUG17	S 11 PD30NOV17	2116.80	2122.32	USD	-1,518.00	
12SEP17	B 2 PD30NOV17	2133.28	2122.32	USD	-548.00	
12SEP17	B 10 PD30NOV17	2133.48	2122.32	USD	-2,790.00	
	NET 0 AVG BGHT 2127.04 SOLD 2115.12				**-7,151.50**	**0.00**
04SEP17	B 1 PD04DEC17	2108.50	2123.41	USD	372.75	
04SEP17	B 1 PD04DEC17	2117.00	2123.41	USD	160.25	
12SEP17	S 2 PD04DEC17	2134.32	2123.41	USD	545.50	
	NET 0 AVG BGHT 2112.75 SOLD 2134.32				**1,078.50**	**0.00**
20SEP17	S 1 PD20DEC17	2155.00	2127.75	USD	681.25	
20SEP17	S 1 PD20DEC17	2156.50	2127.75	USD	718.75	
20SEP17	S 1 PD20DEC17	2164.50	2127.75	USD	918.75	
20SEP17	S 1 PD20DEC17	2190.50	2127.75	USD	1,568.75	
20SEP17	S 2 PD20DEC17	2157.00	2127.75	USD	1,462.50	
20SEP17	S 2 PD20DEC17	2155.50	2127.75	USD	1,387.50	
20SEP17	S 1 PD20DEC17	2166.00	2127.75	USD	956.25	
20SEP17	B 1 PD20DEC17	2163.00	2127.75	USD	-881.25	
20SEP17	B 1 PD20DEC17	2165.00	2127.75	USD	-931.25	
20SEP17	B 1 PD20DEC17	2168.50	2127.75	USD	-1,018.75	
27SEP17	B 6 PD20DEC17	2129.50	2127.75	USD	-262.50	
	NET 0 AVG BGHT 2141.50 SOLD 2161.94				**4,600.00**	**0.00**
21SEP17	B 2 PD21DEC17	2182.00	2127.98	USD	-2,701.00	
21SEP17	B 1 PD21DEC17	2183.00	2127.98	USD	-1,375.50	
21SEP17	B 1 PD21DEC17	2184.50	2127.98	USD	-1,413.00	
21SEP17	B 2 PD21DEC17	2186.00	2127.98	USD	-2,901.00	
27SEP17	S 6 PD21DEC17	2129.59	2127.98	USD	241.50	
	NET 0 AVG BGHT 2183.92 SOLD 2129.59				**-8,149.00**	**0.00**
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
05JUL17	B 1 PD05OCT17	2254.50	2585.00	USD	8,262.50	
13JUL17	S 1 PD05OCT17	2293.55	2585.00	USD	-7,286.25	
	NET 0 AVG BGHT 2254.50 SOLD 2293.55				**976.25**	**0.00**
13JUL17	B 1 PD13OCT17	2293.00	2586.85	USD	7,346.25	
13JUL17	B 1 PD13OCT17	2294.00	2586.85	USD	7,321.25	
14JUL17	S 1 PD13OCT17	2309.00	2586.85	USD	-6,946.25	
14JUL17	S 1 PD13OCT17	2310.00	2586.85	USD	-6,921.25	
14JUL17	S 1 PD13OCT17	2313.50	2586.85	USD	-6,833.75	
14JUL17	S 1 PD13OCT17	2315.50	2586.85	USD	-6,783.75	
14JUL17	S 2 PD13OCT17	2315.80	2586.85	USD	-13,552.50	
21JUL17	B 4 PD13OCT17	2238.77	2586.85	USD	34,808.00	
	NET 0 AVG BGHT 2257.01 SOLD 2313.27				**8,438.00**	**0.00**
17JUL17	B 3 PD17OCT17	2315.50	2587.77	USD	20,420.25	
17JUL17	B 1 PD17OCT17	2316.00	2587.77	USD	6,794.25	
21JUL17	S 4 PD17OCT17	2239.35	2587.77	USD	-34,842.00	
	NET 0 AVG BGHT 2315.63 SOLD 2239.35				**-7,627.50**	**0.00**
28SEP17	S 8 PD02NOV17	2470.79	2588.00	USD	-23,442.00	
29SEP17	S 12 PD02NOV17	2492.15	2588.00	USD	-28,755.00	
29SEP17	S 17 PD02NOV17	2492.35	2588.00	USD	-40,651.25	
	NET -37 AVG SOLD 2487.62				**-92,848.25**	**0.00**
04AUG17	S 1 PD03NOV17	2361.00	2588.00	USD	-5,675.00	
04AUG17	S 1 PD03NOV17	2362.00	2588.00	USD	-5,650.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0103F

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
04AUG17	B	2	PD03NOV17	2357.00	2588.00	USD	11,550.00	
04AUG17	S	1	PD03NOV17	2357.50	2588.00	USD	-5,762.50	
30AUG17	B	1	PD03NOV17	2362.92	2588.00	USD	5,627.00	
	NET	**0**	**AVG BGHT 2358.97 SOLD 2360.17**				**89.50**	**0.00**
10AUG17	B	1	PD10NOV17	2355.00	2588.00	USD	5,825.00	
30AUG17	S	1	PD10NOV17	2364.81	2588.00	USD	-5,579.75	
	NET	**0**	**AVG BGHT 2355.00 SOLD 2364.81**				**245.25**	**0.00**
24AUG17	B	1	PD24NOV17	2351.50	2589.16	USD	5,941.50	
24AUG17	S	1	PD24NOV17	2356.80	2589.16	USD	-5,809.00	
	NET	**0**	**AVG BGHT 2351.50 SOLD 2356.80**				**132.50**	**0.00**
31AUG17	S	1	PD30NOV17	2389.00	2589.93	USD	-5,023.25	
31AUG17	B	1	PD30NOV17	2394.20	2589.93	USD	4,893.25	
	NET	**0**	**AVG BGHT 2394.20 SOLD 2389.00**				**-130.00**	**0.00**
05SEP17	B	1	PD05DEC17	2364.00	2590.57	USD	5,664.25	
05SEP17	B	1	PD05DEC17	2365.50	2590.57	USD	5,626.75	
05SEP17	B	1	PD05DEC17	2368.00	2590.57	USD	5,564.25	
05SEP17	B	2	PD05DEC17	2367.50	2590.57	USD	11,153.50	
05SEP17	B	2	PD05DEC17	2363.50	2590.57	USD	11,353.50	
05SEP17	B	1	PD05DEC17	2353.00	2590.57	USD	5,939.25	
05SEP17	B	2	PD05DEC17	2353.50	2590.57	USD	11,853.50	
05SEP17	B	1	PD05DEC17	2348.00	2590.57	USD	6,064.25	
05SEP17	B	1	PD05DEC17	2348.50	2590.57	USD	6,051.75	
05SEP17	B	1	PD05DEC17	2340.50	2590.57	USD	6,251.75	
05SEP17	S	13	PD05DEC17	2333.80	2590.57	USD	-83,450.25	
	NET	**0**	**AVG BGHT 2358.19 SOLD 2333.80**				**-7,927.50**	**0.00**
15SEP17	S	2	PD15DEC17	2359.50	2591.86	USD	-11,618.00	
15SEP17	S	2	PD15DEC17	2343.00	2591.86	USD	-12,443.00	
15SEP17	S	1	PD15DEC17	2339.50	2591.86	USD	-6,309.00	
15SEP17	S	1	PD15DEC17	2340.50	2591.86	USD	-6,284.00	
15SEP17	S	2	PD15DEC17	2345.00	2591.86	USD	-12,343.00	
15SEP17	S	2	PD15DEC17	2347.50	2591.86	USD	-12,218.00	
15SEP17	S	2	PD15DEC17	2360.50	2591.86	USD	-11,568.00	
15SEP17	S	1	PD15DEC17	2361.00	2591.86	USD	-5,771.50	
15SEP17	B	7	PD15DEC17	2359.20	2591.86	USD	40,715.50	
18SEP17	B	6	PD15DEC17	2389.40	2591.86	USD	30,369.00	
	NET	**0**	**AVG BGHT 2373.14 SOLD 2350.15**				**-7,470.00**	**0.00**
19SEP17	S	1	PD19DEC17	2411.50	2592.37	USD	-4,521.75	
19SEP17	S	2	PD19DEC17	2412.00	2592.37	USD	-9,018.50	
19SEP17	S	2	PD19DEC17	2412.50	2592.37	USD	-8,993.50	
19SEP17	B	1	PD19DEC17	2412.50	2592.37	USD	4,496.75	
21SEP17	B	4	PD19DEC17	2518.19	2592.37	USD	7,418.00	
	NET	**0**	**AVG BGHT 2497.05 SOLD 2412.10**				**-10,619.00**	**0.00**
20SEP17	S	2	PD20DEC17	2462.50	2592.50	USD	-6,500.00	
20SEP17	B	1	PD20DEC17	2461.50	2592.50	USD	3,275.00	
20SEP17	B	1	PD20DEC17	2463.00	2592.50	USD	3,237.50	
	NET	**0**	**AVG BGHT 2462.25 SOLD 2462.50**				**12.50**	**0.00**
21SEP17	S	1	PD21DEC17	2438.50	2592.29	USD	-3,844.75	
21SEP17	S	1	PD21DEC17	2444.50	2592.29	USD	-3,694.75	
21SEP17	S	1	PD21DEC17	2445.00	2592.29	USD	-3,682.25	
21SEP17	S	1	PD21DEC17	2446.00	2592.29	USD	-3,657.25	
21SEP17	S	1	PD21DEC17	2446.50	2592.29	USD	-3,644.75	
21SEP17	S	2	PD21DEC17	2448.00	2592.29	USD	-7,214.50	
21SEP17	S	1	PD21DEC17	2444.00	2592.29	USD	-3,707.25	
21SEP17	S	1	PD21DEC17	2469.00	2592.29	USD	-3,082.25	
21SEP17	S	2	PD21DEC17	2470.00	2592.29	USD	-6,114.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0103F

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
21SEP17	S 1 PD21DEC17	2471.00	2592.29	USD	-3,032.25	
21SEP17	S 3 PD21DEC17	2447.00	2592.29	USD	-10,896.75	
21SEP17	S 1 PD21DEC17	2462.00	2592.29	USD	-3,257.25	
21SEP17	S 1 PD21DEC17	2474.50	2592.29	USD	-2,944.75	
21SEP17	S 2 PD21DEC17	2475.00	2592.29	USD	-5,864.50	
21SEP17	S 3 PD21DEC17	2464.00	2592.29	USD	-9,621.75	
21SEP17	S 2 PD21DEC17	2464.50	2592.29	USD	-6,389.50	
21SEP17	B 24 PD21DEC17	2513.20	2592.29	USD	47,454.00	
	NET 0 AVG BGHT 2513.20 SOLD 2457.88				**-33,195.00**	**0.00**
22SEP17	B 1 PD22DEC17	2421.00	2592.07	USD	4,276.75	
22SEP17	B 1 PD22DEC17	2422.00	2592.07	USD	4,251.75	
22SEP17	B 1 PD22DEC17	2422.50	2592.07	USD	4,239.25	
22SEP17	B 1 PD22DEC17	2424.00	2592.07	USD	4,201.75	
22SEP17	B 1 PD22DEC17	2401.00	2592.07	USD	4,776.75	
22SEP17	B 1 PD22DEC17	2402.50	2592.07	USD	4,739.25	
22SEP17	B 1 PD22DEC17	2375.00	2592.07	USD	5,426.75	
22SEP17	B 1 PD22DEC17	2380.50	2592.07	USD	5,289.25	
22SEP17	B 1 PD22DEC17	2381.50	2592.07	USD	5,264.25	
22SEP17	B 2 PD22DEC17	2382.00	2592.07	USD	10,503.50	
22SEP17	S 1 PD22DEC17	2418.00	2592.07	USD	-4,351.75	
22SEP17	S 1 PD22DEC17	2419.00	2592.07	USD	-4,326.75	
22SEP17	S 1 PD22DEC17	2436.00	2592.07	USD	-3,901.75	
22SEP17	S 1 PD22DEC17	2436.50	2592.07	USD	-3,889.25	
22SEP17	S 1 PD22DEC17	2438.00	2592.07	USD	-3,851.75	
22SEP17	S 1 PD22DEC17	2438.50	2592.07	USD	-3,839.25	
22SEP17	S 2 PD22DEC17	2442.50	2592.07	USD	-7,478.50	
22SEP17	S 1 PD22DEC17	2445.00	2592.07	USD	-3,676.75	
22SEP17	S 1 PD22DEC17	2445.50	2592.07	USD	-3,664.25	
22SEP17	S 1 PD22DEC17	2446.00	2592.07	USD	-3,651.75	
22SEP17	S 1 PD22DEC17	2446.50	2592.07	USD	-3,639.25	
22SEP17	B 1 PD22DEC17	2382.50	2592.07	USD	5,239.25	
22SEP17	B 1 PD22DEC17	2484.00	2592.07	USD	2,701.75	
22SEP17	S 1 PD22DEC17	2481.50	2592.07	USD	-2,764.25	
22SEP17	S 1 PD22DEC17	2483.00	2592.07	USD	-2,726.75	
22SEP17	S 1 PD22DEC17	2484.00	2592.07	USD	-2,701.75	
22SEP17	S 2 PD22DEC17	2475.00	2592.07	USD	-5,853.50	
22SEP17	S 1 PD22DEC17	2476.50	2592.07	USD	-2,889.25	
22SEP17	S 2 PD22DEC17	2482.50	2592.07	USD	-5,478.50	
22SEP17	B 2 PD22DEC17	2488.00	2592.07	USD	5,203.50	
22SEP17	B 2 PD22DEC17	2489.00	2592.07	USD	5,153.50	
22SEP17	B 3 PD22DEC17	2490.00	2592.07	USD	7,655.25	
	NET 0 AVG BGHT 2434.23 SOLD 2454.70				**10,237.50**	**0.00**
26SEP17	B 1 PD27DEC17	2487.50	2591.86	USD	2,609.00	
26SEP17	B 2 PD27DEC17	2466.50	2591.86	USD	6,268.00	
26SEP17	B 1 PD27DEC17	2469.00	2591.86	USD	3,071.50	
26SEP17	B 4 PD27DEC17	2468.50	2591.86	USD	12,336.00	
26SEP17	B 1 PD27DEC17	2481.00	2591.86	USD	2,771.50	
26SEP17	B 1 PD27DEC17	2482.50	2591.86	USD	2,734.00	
27SEP17	B 1 PD27DEC17	2478.50	2591.86	USD	2,834.00	
27SEP17	B 5 PD27DEC17	2480.00	2591.86	USD	13,982.50	
27SEP17	S 10 PD27DEC17	2461.80	2591.86	USD	-32,515.00	
27SEP17	S 6 PD27DEC17	2458.59	2591.86	USD	-19,990.50	
	NET 0 AVG BGHT 2475.34 SOLD 2460.60				**-5,899.00**	**0.00**
28SEP17	S 1 PD28DEC17	2470.50	2591.64	USD	-3,028.50	
28SEP17	S 1 PD28DEC17	2472.50	2591.64	USD	-2,978.50	
28SEP17	S 1 PD28DEC17	2473.50	2591.64	USD	-2,953.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0103F

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
28SEP17	S 2 PD28DEC17	2474.00	2591.64	USD	-5,882.00	
28SEP17	S 1 PD28DEC17	2475.50	2591.64	USD	-2,903.50	
28SEP17	S 2 PD28DEC17	2476.00	2591.64	USD	-5,782.00	
28SEP17	B 8 PD28DEC17	2470.20	2591.64	USD	24,288.00	
	NET 0 AVG BGHT 2470.20 SOLD 2474.00				**760.00**	**0.00**
29SEP17	S 1 PD29DEC17	2498.50	2591.43	USD	-2,323.25	
29SEP17	B 1 PD29DEC17	2489.50	2591.43	USD	2,548.25	
29SEP17	B 1 PD29DEC17	2487.50	2591.43	USD	2,598.25	
29SEP17	B 2 PD29DEC17	2488.00	2591.43	USD	5,171.50	
29SEP17	S 1 PD29DEC17	2493.50	2591.43	USD	-2,448.25	
29SEP17	S 1 PD29DEC17	2496.00	2591.43	USD	-2,385.75	
29SEP17	S 1 PD29DEC17	2494.00	2591.43	USD	-2,435.75	
29SEP17	S 3 PD29DEC17	2494.50	2591.43	USD	-7,269.75	
29SEP17	S 2 PD29DEC17	2495.00	2591.43	USD	-4,821.50	
29SEP17	S 2 PD29DEC17	2501.00	2591.43	USD	-4,521.50	
29SEP17	B 4 PD29DEC17	2490.00	2591.43	USD	10,143.00	
29SEP17	B 2 PD29DEC17	2486.00	2591.43	USD	5,271.50	
29SEP17	S 4 PD29DEC17	2495.50	2591.43	USD	-9,593.00	
29SEP17	S 4 PD29DEC17	2498.00	2591.43	USD	-9,343.00	
29SEP17	S 9 PD29DEC17	2497.00	2591.43	USD	-21,246.75	
29SEP17	B 2 PD29DEC17	2489.00	2591.43	USD	5,121.50	
29SEP17	B 1 PD29DEC17	2503.50	2591.43	USD	2,198.25	
29SEP17	S 1 PD29DEC17	2496.50	2591.43	USD	-2,373.25	
29SEP17	S 1 PD29DEC17	2497.50	2591.43	USD	-2,348.25	
29SEP17	B 17 PD29DEC17	2485.20	2591.43	USD	45,147.75	
	NET 0 AVG BGHT 2487.16 SOLD 2496.62				**7,090.00**	**0.00**
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
25JUL17	S 3 PD25OCT17	9900.00	10555.75	USD	-11,803.50	
25JUL17	S 2 PD25OCT17	9910.00	10555.75	USD	-7,749.00	
25JUL17	S 2 PD25OCT17	9905.00	10555.75	USD	-7,809.00	
26JUL17	B 7 PD25OCT17	10041.33	10555.75	USD	21,605.64	
	NET 0 AVG BGHT 10041.33 SOLD 9904.29				**-5,755.86**	**0.00**
26JUL17	S 2 PD26OCT17	9930.00	10556.71	USD	-7,520.52	
26JUL17	S 1 PD26OCT17	9940.00	10556.71	USD	-3,700.26	
26JUL17	B 3 PD26OCT17	10041.00	10556.71	USD	9,282.78	
	NET 0 AVG BGHT 10041.00 SOLD 9933.33				**-1,938.00**	**0.00**
13SEP17	B 1 PD13DEC17	11615.00	10600.80	USD	-6,085.20	
13SEP17	B 1 PD13DEC17	11565.00	10600.80	USD	-5,785.20	
13SEP17	B 1 PD13DEC17	11590.00	10600.80	USD	-5,935.20	
21SEP17	S 3 PD13DEC17	10998.70	10600.80	USD	7,162.20	
	NET 0 AVG BGHT 11590.00 SOLD 10998.70				**-10,643.40**	**0.00**
20SEP17	S 1 PD20DEC17	11360.00	10607.00	USD	4,518.00	
20SEP17	S 1 PD20DEC17	11385.00	10607.00	USD	4,668.00	
20SEP17	S 1 PD20DEC17	11390.00	10607.00	USD	4,698.00	
21SEP17	B 3 PD20DEC17	11005.50	10607.00	USD	-7,173.00	
	NET 0 AVG BGHT 11005.50 SOLD 11378.33				**6,711.00**	**0.00**
28SEP17	B 2 PD28DEC17	10255.00	10614.50	USD	4,314.00	
28SEP17	B 2 PD28DEC17	10270.00	10614.50	USD	4,134.00	
28SEP17	B 3 PD28DEC17	10260.00	10614.50	USD	6,381.00	
28SEP17	B 1 PD28DEC17	10340.00	10614.50	USD	1,647.00	
28SEP17	B 1 PD28DEC17	10290.00	10614.50	USD	1,947.00	
28SEP17	B 1 PD28DEC17	10460.00	10614.50	USD	927.00	
	NET +10 AVG BGHT 10292.00				**19,350.00**	**0.00**
	CMX SILVER 5,000 CMX					

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0103F

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	CMX SILVER 5,000 CMX					
18SEP17	B 2 DEC17	1721.0	1665.0	USD	-5,600.00	
18SEP17	B 1 DEC17	1717.5	1665.0	USD	-2,625.00	
21SEP17	B 1 DEC17	1707.5	1665.0	USD	-2,125.00	
21SEP17	B 1 DEC17	1703.0	1665.0	USD	-1,900.00	
	NET +5 AVG BGHT 1714.0				**-12,250.00**	**0.00**
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
04JUL17	S 1 PD04OCT17	2778.50	3316.62	USD	-13,453.00	
04JUL17	S 2 PD04OCT17	2784.00	3316.62	USD	-26,631.00	
04JUL17	S 1 PD04OCT17	2787.50	3316.62	USD	-13,228.00	
04JUL17	B 4 PD04OCT17	2793.20	3316.62	USD	52,342.00	
	NET 0 AVG BGHT 2793.20 SOLD 2783.50				**-970.00**	**0.00**
05JUL17	B 1 PD05OCT17	2740.00	3313.50	USD	14,337.50	
05JUL17	B 1 PD05OCT17	2743.50	3313.50	USD	14,250.00	
05JUL17	B 1 PD05OCT17	2746.00	3313.50	USD	14,187.50	
05JUL17	B 1 PD05OCT17	2747.00	3313.50	USD	14,162.50	
05JUL17	S 1 PD05OCT17	2776.50	3313.50	USD	-13,425.00	
05JUL17	S 1 PD05OCT17	2779.00	3313.50	USD	-13,362.50	
13JUL17	S 2 PD05OCT17	2802.19	3313.50	USD	-25,565.50	
	NET 0 AVG BGHT 2744.13 SOLD 2789.97				**4,584.50**	**0.00**
06JUL17	S 1 PD06OCT17	2768.00	3310.38	USD	-13,559.50	
06JUL17	S 1 PD06OCT17	2770.00	3310.38	USD	-13,509.50	
06JUL17	S 2 PD06OCT17	2770.50	3310.38	USD	-26,994.00	
06JUL17	B 1 PD06OCT17	2782.00	3310.38	USD	13,209.50	
06JUL17	B 1 PD06OCT17	2783.00	3310.38	USD	13,184.50	
13JUL17	B 2 PD06OCT17	2802.62	3310.38	USD	25,388.00	
	NET 0 AVG BGHT 2792.56 SOLD 2769.75				**-2,281.00**	**0.00**
10JUL17	B 2 PD10OCT17	2750.50	3307.25	USD	27,837.50	
10JUL17	B 1 PD10OCT17	2751.50	3307.25	USD	13,893.75	
10JUL17	B 3 PD10OCT17	2746.50	3307.25	USD	42,056.25	
10JUL17	B 1 PD10OCT17	2747.50	3307.25	USD	13,993.75	
10JUL17	S 1 PD10OCT17	2774.50	3307.25	USD	-13,318.75	
10JUL17	S 1 PD10OCT17	2774.00	3307.25	USD	-13,331.25	
13JUL17	S 5 PD10OCT17	2803.31	3307.25	USD	-62,992.50	
	NET 0 AVG BGHT 2748.50 SOLD 2795.01				**8,138.75**	**0.00**
11JUL17	S 1 PD11OCT17	2796.00	3304.13	USD	-12,703.25	
11JUL17	S 2 PD11OCT17	2796.50	3304.13	USD	-25,381.50	
11JUL17	S 3 PD11OCT17	2797.00	3304.13	USD	-38,034.75	
11JUL17	S 2 PD11OCT17	2802.50	3304.13	USD	-25,081.50	
11JUL17	S 1 PD11OCT17	2803.50	3304.13	USD	-12,515.75	
11JUL17	S 1 PD11OCT17	2808.50	3304.13	USD	-12,390.75	
11JUL17	S 2 PD11OCT17	2810.50	3304.13	USD	-24,681.50	
11JUL17	S 1 PD11OCT17	2815.50	3304.13	USD	-12,215.75	
11JUL17	S 1 PD11OCT17	2816.00	3304.13	USD	-12,203.25	
13JUL17	B 5 PD11OCT17	2803.73	3304.13	USD	62,550.00	
13JUL17	B 9 PD11OCT17	2803.53	3304.13	USD	112,635.00	
	NET 0 AVG BGHT 2803.60 SOLD 2803.54				**-23.00**	**0.00**
12JUL17	S 3 PD12OCT17	2870.00	3301.00	USD	-32,325.00	
12JUL17	S 1 PD12OCT17	2870.50	3301.00	USD	-10,762.50	
12JUL17	B 1 PD12OCT17	2846.50	3301.00	USD	11,362.50	
12JUL17	B 1 PD12OCT17	2847.50	3301.00	USD	11,337.50	
12JUL17	B 1 PD12OCT17	2845.00	3301.00	USD	11,400.00	
12JUL17	B 1 PD12OCT17	2838.00	3301.00	USD	11,575.00	
12JUL17	B 1 PD12OCT17	2836.50	3301.00	USD	11,612.50	
12JUL17	B 2 PD12OCT17	2835.00	3301.00	USD	23,300.00	
12JUL17	B 2 PD12OCT17	2835.50	3301.00	USD	23,275.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0103F

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
12JUL17	B	2	PD12OCT17	2836.00	3301.00	USD	23,250.00	
12JUL17	B	2	PD12OCT17	2831.50	3301.00	USD	23,475.00	
13JUL17	S	9	PD12OCT17	2803.55	3301.00	USD	-111,926.25	
	NET	**0**	**AVG BGHT 2837.65**	**SOLD 2824.03**			**-4,426.25**	**0.00**
13JUL17	B	1	PD13OCT17	2834.50	3297.88	USD	11,584.50	
13JUL17	S	1	PD13OCT17	2835.00	3297.88	USD	-11,572.00	
13JUL17	S	1	PD13OCT17	2837.00	3297.88	USD	-11,522.00	
13JUL17	B	1	PD13OCT17	2804.00	3297.88	USD	12,347.00	
14JUL17	B	1	PD13OCT17	2764.00	3297.88	USD	13,347.00	
14JUL17	B	3	PD13OCT17	2765.00	3297.88	USD	39,966.00	
14JUL17	B	1	PD13OCT17	2766.00	3297.88	USD	13,297.00	
14JUL17	B	1	PD13OCT17	2785.50	3297.88	USD	12,809.50	
14JUL17	S	1	PD13OCT17	2788.50	3297.88	USD	-12,734.50	
14JUL17	B	1	PD13OCT17	2792.50	3297.88	USD	12,634.50	
14JUL17	S	1	PD13OCT17	2792.50	3297.88	USD	-12,634.50	
14JUL17	S	1	PD13OCT17	2794.50	3297.88	USD	-12,584.50	
21JUL17	S	4	PD13OCT17	2754.96	3297.88	USD	-54,292.00	
	NET	**0**	**AVG BGHT 2782.39**	**SOLD 2785.26**			**646.00**	**0.00**
18JUL17	B	1	PD18OCT17	2797.00	3288.50	USD	12,287.50	
18JUL17	B	1	PD18OCT17	2800.50	3288.50	USD	12,200.00	
18JUL17	B	2	PD18OCT17	2801.50	3288.50	USD	24,350.00	
18JUL17	B	3	PD18OCT17	2799.50	3288.50	USD	36,675.00	
21JUL17	S	7	PD18OCT17	2755.50	3288.50	USD	-93,275.00	
	NET	**0**	**AVG BGHT 2799.86**	**SOLD 2755.50**			**-7,762.50**	**0.00**
19JUL17	B	1	PD19OCT17	2738.00	3285.50	USD	13,687.50	
19JUL17	S	1	PD19OCT17	2735.00	3285.50	USD	-13,762.50	
	NET	**0**	**AVG BGHT 2738.00**	**SOLD 2735.00**			**-75.00**	**0.00**
20JUL17	S	1	PD20OCT17	2748.00	3282.50	USD	-13,362.50	
20JUL17	S	1	PD20OCT17	2738.50	3282.50	USD	-13,600.00	
20JUL17	B	1	PD20OCT17	2722.00	3282.50	USD	14,012.50	
20JUL17	B	1	PD20OCT17	2726.00	3282.50	USD	13,912.50	
21JUL17	S	7	PD20OCT17	2740.00	3282.50	USD	-94,937.50	
21JUL17	S	1	PD20OCT17	2752.00	3282.50	USD	-13,262.50	
21JUL17	S	1	PD20OCT17	2752.50	3282.50	USD	-13,250.00	
21JUL17	S	2	PD20OCT17	2753.00	3282.50	USD	-26,475.00	
21JUL17	S	1	PD20OCT17	2771.50	3282.50	USD	-12,775.00	
21JUL17	S	1	PD20OCT17	2774.00	3282.50	USD	-12,712.50	
21JUL17	S	1	PD20OCT17	2772.00	3282.50	USD	-12,762.50	
21JUL17	S	3	PD20OCT17	2772.50	3282.50	USD	-38,250.00	
21JUL17	S	2	PD20OCT17	2766.50	3282.50	USD	-25,800.00	
21JUL17	B	19	PD20OCT17	2753.70	3282.50	USD	251,180.00	
	NET	**0**	**AVG BGHT 2750.87**	**SOLD 2754.52**			**1,917.50**	**0.00**
25JUL17	S	1	PD25OCT17	2820.00	3279.50	USD	-11,487.50	
25JUL17	S	2	PD25OCT17	2820.50	3279.50	USD	-22,950.00	
25JUL17	S	1	PD25OCT17	2821.00	3279.50	USD	-11,462.50	
25JUL17	S	4	PD25OCT17	2821.50	3279.50	USD	-45,800.00	
25JUL17	S	1	PD25OCT17	2823.00	3279.50	USD	-11,412.50	
25JUL17	S	1	PD25OCT17	2834.00	3279.50	USD	-11,137.50	
25JUL17	B	1	PD25OCT17	2840.50	3279.50	USD	10,975.00	
25JUL17	B	1	PD25OCT17	2841.50	3279.50	USD	10,950.00	
25JUL17	B	1	PD25OCT17	2842.50	3279.50	USD	10,925.00	
25JUL17	B	1	PD25OCT17	2847.50	3279.50	USD	10,800.00	
25JUL17	B	1	PD25OCT17	2848.00	3279.50	USD	10,787.50	
25JUL17	B	3	PD25OCT17	2848.50	3279.50	USD	32,325.00	
25JUL17	B	1	PD25OCT17	2852.00	3279.50	USD	10,687.50	
27JUL17	B	1	PD25OCT17	2800.63	3279.50	USD	11,971.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0103F

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
	NET	**0**	**AVG BGHT 2841.81 SOLD 2822.50**				**-4,828.25**	**0.00**
26JUL17	B	1	PD26OCT17	2806.00	3278.50	USD	11,812.50	
26JUL17	B	1	PD26OCT17	2797.00	3278.50	USD	12,037.50	
27JUL17	S	2	PD26OCT17	2800.55	3278.50	USD	-23,897.50	
	NET	**0**	**AVG BGHT 2801.50 SOLD 2800.55**				**-47.50**	**0.00**
27JUL17	B	1	PD27OCT17	2812.00	3277.50	USD	11,637.50	
27JUL17	B	1	PD27OCT17	2821.50	3277.50	USD	11,400.00	
27JUL17	B	1	PD27OCT17	2822.00	3277.50	USD	11,387.50	
27JUL17	B	2	PD27OCT17	2819.50	3277.50	USD	22,900.00	
27JUL17	B	1	PD27OCT17	2819.00	3277.50	USD	11,462.50	
27JUL17	B	1	PD27OCT17	2804.00	3277.50	USD	11,837.50	
27JUL17	B	1	PD27OCT17	2801.00	3277.50	USD	11,912.50	
27JUL17	B	3	PD27OCT17	2790.00	3277.50	USD	36,562.50	
27JUL17	B	1	PD27OCT17	2790.50	3277.50	USD	12,175.00	
27JUL17	B	1	PD27OCT17	2791.50	3277.50	USD	12,150.00	
27JUL17	B	2	PD27OCT17	2792.00	3277.50	USD	24,275.00	
27JUL17	S	16	PD27OCT17	2800.80	3277.50	USD	-190,680.00	
27JUL17	B	1	PD27OCT17	2789.00	3277.50	USD	12,212.50	
27JUL17	B	1	PD27OCT17	2795.00	3277.50	USD	12,062.50	
28JUL17	B	1	PD27OCT17	2781.50	3277.50	USD	12,400.00	
28JUL17	B	2	PD27OCT17	2782.00	3277.50	USD	24,775.00	
28JUL17	B	1	PD27OCT17	2783.00	3277.50	USD	12,362.50	
28JUL17	B	1	PD27OCT17	2784.50	3277.50	USD	12,325.00	
28JUL17	B	2	PD27OCT17	2786.50	3277.50	USD	24,550.00	
28JUL17	B	1	PD27OCT17	2776.00	3277.50	USD	12,537.50	
28JUL17	B	2	PD27OCT17	2777.00	3277.50	USD	25,025.00	
28JUL17	B	1	PD27OCT17	2762.50	3277.50	USD	12,875.00	
28JUL17	B	1	PD27OCT17	2764.00	3277.50	USD	12,837.50	
28JUL17	B	1	PD27OCT17	2765.00	3277.50	USD	12,812.50	
28JUL17	S	3	PD27OCT17	2791.50	3277.50	USD	-36,450.00	
28JUL17	S	1	PD27OCT17	2794.50	3277.50	USD	-12,075.00	
28JUL17	S	1	PD27OCT17	2796.00	3277.50	USD	-12,037.50	
28JUL17	S	2	PD27OCT17	2798.50	3277.50	USD	-23,950.00	
28JUL17	S	1	PD27OCT17	2800.00	3277.50	USD	-11,937.50	
28JUL17	S	3	PD27OCT17	2792.50	3277.50	USD	-36,375.00	
28JUL17	S	1	PD27OCT17	2794.00	3277.50	USD	-12,087.50	
28JUL17	S	1	PD27OCT17	2795.00	3277.50	USD	-12,062.50	
28JUL17	S	1	PD27OCT17	2795.50	3277.50	USD	-12,050.00	
28JUL17	B	1	PD27OCT17	2785.00	3277.50	USD	12,312.50	
28JUL17	B	1	PD27OCT17	2785.50	3277.50	USD	12,300.00	
28JUL17	B	2	PD27OCT17	2784.00	3277.50	USD	24,675.00	
31JUL17	S	4	PD27OCT17	2793.80	3277.50	USD	-48,370.00	
	NET	**0**	**AVG BGHT 2790.72 SOLD 2797.41**				**5,687.50**	**0.00**
31JUL17	B	1	PD31OCT17	2808.50	3275.50	USD	11,675.00	
31JUL17	B	2	PD31OCT17	2812.00	3275.50	USD	23,175.00	
31JUL17	S	1	PD31OCT17	2807.00	3275.50	USD	-11,712.50	
31JUL17	B	1	PD31OCT17	2808.00	3275.50	USD	11,687.50	
31JUL17	S	1	PD31OCT17	2808.00	3275.50	USD	-11,687.50	
31JUL17	B	4	PD31OCT17	2807.50	3275.50	USD	46,800.00	
31JUL17	B	3	PD31OCT17	2806.00	3275.50	USD	35,212.50	
31JUL17	B	1	PD31OCT17	2803.00	3275.50	USD	11,812.50	
31JUL17	B	1	PD31OCT17	2800.50	3275.50	USD	11,875.00	
31JUL17	S	1	PD31OCT17	2809.50	3275.50	USD	-11,650.00	
31JUL17	S	2	PD31OCT17	2810.50	3275.50	USD	-23,250.00	
31JUL17	S	11	PD31OCT17	2794.80	3275.50	USD	-132,192.50	
08AUG17	B	3	PD31OCT17	2924.00	3275.50	USD	26,362.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0103F

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
	NET 0 AVG BGHT 2829.00 SOLD 2799.27				**-11,892.50**	**0.00**
01AUG17	B 1 PD01NOV17	2772.50	3274.50	USD	12,550.00	
01AUG17	B 1 PD01NOV17	2769.00	3274.50	USD	12,637.50	
01AUG17	B 2 PD01NOV17	2769.50	3274.50	USD	25,250.00	
01AUG17	B 3 PD01NOV17	2765.50	3274.50	USD	38,175.00	
01AUG17	B 1 PD01NOV17	2766.50	3274.50	USD	12,700.00	
01AUG17	B 2 PD01NOV17	2771.00	3274.50	USD	25,175.00	
01AUG17	B 2 PD01NOV17	2768.50	3274.50	USD	25,300.00	
01AUG17	S 2 PD01NOV17	2781.50	3274.50	USD	-24,650.00	
08AUG17	S 7 PD01NOV17	2924.17	3274.50	USD	-61,307.75	
08AUG17	S 3 PD01NOV17	2923.97	3274.50	USD	-26,289.75	
	NET 0 AVG BGHT 2768.54 SOLD 2900.34				**39,540.00**	**0.00**
28SEP17	S 4 PD02NOV17	3154.50	3273.50	USD	-11,900.00	
29SEP17	S 18 PD02NOV17	3170.05	3273.50	USD	-46,552.50	
29SEP17	S 6 PD02NOV17	3170.25	3273.50	USD	-15,487.50	
03OCT17	S 1 PD02NOV17	3273.50	3273.50	USD	0.00	
	NET -29 AVG SOLD 3171.51				**-73,940.00**	**0.00**
03AUG17	S 1 PD03NOV17	2804.50	3272.00	USD	-11,687.50	
03AUG17	B 1 PD03NOV17	2792.50	3272.00	USD	11,987.50	
03AUG17	B 2 PD03NOV17	2793.50	3272.00	USD	23,925.00	
03AUG17	B 1 PD03NOV17	2794.00	3272.00	USD	11,950.00	
03AUG17	S 1 PD03NOV17	2793.00	3272.00	USD	-11,975.00	
03AUG17	S 3 PD03NOV17	2793.50	3272.00	USD	-35,887.50	
03AUG17	S 4 PD03NOV17	2792.00	3272.00	USD	-48,000.00	
08AUG17	B 5 PD03NOV17	2924.70	3272.00	USD	43,412.50	
	NET 0 AVG BGHT 2866.33 SOLD 2794.00				**-16,275.00**	**0.00**
07AUG17	S 1 PD07NOV17	2864.50	3272.00	USD	-10,187.50	
07AUG17	S 1 PD07NOV17	2874.50	3272.00	USD	-9,937.50	
07AUG17	S 1 PD07NOV17	2872.50	3272.00	USD	-9,987.50	
07AUG17	S 1 PD07NOV17	2871.00	3272.00	USD	-10,025.00	
07AUG17	S 2 PD07NOV17	2872.00	3272.00	USD	-20,000.00	
07AUG17	S 4 PD07NOV17	2873.00	3272.00	USD	-39,900.00	
07AUG17	S 2 PD07NOV17	2873.50	3272.00	USD	-19,925.00	
07AUG17	S 5 PD07NOV17	2874.00	3272.00	USD	-49,750.00	
08AUG17	B 17 PD07NOV17	2925.10	3272.00	USD	147,432.50	
	NET 0 AVG BGHT 2925.10 SOLD 2872.68				**-22,280.00**	**0.00**
08AUG17	S 1 PD08NOV17	2880.00	3272.00	USD	-9,800.00	
08AUG17	S 1 PD08NOV17	2881.50	3272.00	USD	-9,762.50	
09AUG17	B 2 PD08NOV17	2931.51	3272.00	USD	17,024.50	
	NET 0 AVG BGHT 2931.51 SOLD 2880.75				**-2,538.00**	**0.00**
09AUG17	S 1 PD09NOV17	2968.50	3272.00	USD	-7,587.50	
09AUG17	S 1 PD09NOV17	2969.00	3272.00	USD	-7,575.00	
09AUG17	S 1 PD09NOV17	2971.50	3272.00	USD	-7,512.50	
09AUG17	S 1 PD09NOV17	2973.00	3272.00	USD	-7,475.00	
09AUG17	S 1 PD09NOV17	2977.00	3272.00	USD	-7,375.00	
09AUG17	S 1 PD09NOV17	2978.00	3272.00	USD	-7,350.00	
09AUG17	S 1 PD09NOV17	2967.50	3272.00	USD	-7,612.50	
09AUG17	B 1 PD09NOV17	2952.00	3272.00	USD	8,000.00	
09AUG17	B 6 PD09NOV17	2931.70	3272.00	USD	51,045.00	
	NET 0 AVG BGHT 2934.60 SOLD 2972.07				**6,557.50**	**0.00**
10AUG17	B 1 PD10NOV17	2914.00	3272.00	USD	8,950.00	
30AUG17	S 1 PD10NOV17	3093.65	3272.00	USD	-4,458.75	
	NET 0 AVG BGHT 2914.00 SOLD 3093.65				**4,491.25**	**0.00**
14AUG17	S 1 PD14NOV17	2914.50	3270.33	USD	-8,895.75	
30AUG17	B 1 PD14NOV17	3094.45	3270.33	USD	4,397.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0103F

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
	NET	**0**	**AVG BGHT 3094.45 SOLD 2914.50**				**-4,498.75**	**0.00**
24AUG17	B	1	PD24NOV17	3107.50	3264.71	USD	3,930.25	
24AUG17	B	1	PD24NOV17	3108.00	3264.71	USD	3,917.75	
25AUG17	S	1	PD24NOV17	3128.50	3264.71	USD	-3,405.25	
25AUG17	S	1	PD24NOV17	3125.50	3264.71	USD	-3,480.25	
25AUG17	B	1	PD24NOV17	3068.00	3264.71	USD	4,917.75	
25AUG17	S	1	PD24NOV17	3070.00	3264.71	USD	-4,867.75	
	NET	**0**	**AVG BGHT 3094.50 SOLD 3108.00**				**1,012.50**	**0.00**
30AUG17	B	1	PD30NOV17	3125.00	3265.36	USD	3,509.00	
30AUG17	B	1	PD30NOV17	3123.00	3265.36	USD	3,559.00	
30AUG17	B	1	PD30NOV17	3124.50	3265.36	USD	3,521.50	
30AUG17	B	1	PD30NOV17	3118.50	3265.36	USD	3,671.50	
30AUG17	B	1	PD30NOV17	3106.00	3265.36	USD	3,984.00	
30AUG17	B	1	PD30NOV17	3105.00	3265.36	USD	4,009.00	
30AUG17	B	1	PD30NOV17	3104.00	3265.36	USD	4,034.00	
30AUG17	S	1	PD30NOV17	3104.00	3265.36	USD	-4,034.00	
30AUG17	B	1	PD30NOV17	3108.00	3265.36	USD	3,934.00	
30AUG17	B	2	PD30NOV17	3124.00	3265.36	USD	7,068.00	
30AUG17	B	1	PD30NOV17	3096.50	3265.36	USD	4,221.50	
30AUG17	B	1	PD30NOV17	3099.50	3265.36	USD	4,146.50	
30AUG17	B	1	PD30NOV17	3095.50	3265.36	USD	4,246.50	
30AUG17	B	2	PD30NOV17	3098.50	3265.36	USD	8,343.00	
30AUG17	B	1	PD30NOV17	3099.00	3265.36	USD	4,159.00	
30AUG17	B	1	PD30NOV17	3100.00	3265.36	USD	4,134.00	
30AUG17	S	1	PD30NOV17	3095.00	3265.36	USD	-4,259.00	
30AUG17	S	1	PD30NOV17	3095.50	3265.36	USD	-4,246.50	
30AUG17	S	1	PD30NOV17	3094.00	3265.36	USD	-4,284.00	
30AUG17	S	1	PD30NOV17	3090.00	3265.36	USD	-4,384.00	
30AUG17	S	1	PD30NOV17	3093.00	3265.36	USD	-4,309.00	
30AUG17	S	1	PD30NOV17	3093.50	3265.36	USD	-4,296.50	
30AUG17	S	9	PD30NOV17	3094.80	3265.36	USD	-38,376.00	
30AUG17	S	1	PD30NOV17	3098.50	3265.36	USD	-4,171.50	
31AUG17	S	1	PD30NOV17	3111.00	3265.36	USD	-3,859.00	
31AUG17	S	1	PD30NOV17	3119.50	3265.36	USD	-3,646.50	
31AUG17	B	1	PD30NOV17	3121.00	3265.36	USD	3,609.00	
31AUG17	S	1	PD30NOV17	3120.50	3265.36	USD	-3,621.50	
31AUG17	S	1	PD30NOV17	3126.00	3265.36	USD	-3,484.00	
31AUG17	B	1	PD30NOV17	3126.00	3265.36	USD	3,484.00	
31AUG17	S	1	PD30NOV17	3125.00	3265.36	USD	-3,509.00	
31AUG17	B	1	PD30NOV17	3126.50	3265.36	USD	3,471.50	
31AUG17	S	1	PD30NOV17	3135.00	3265.36	USD	-3,259.00	
31AUG17	S	1	PD30NOV17	3130.00	3265.36	USD	-3,384.00	
31AUG17	S	2	PD30NOV17	3132.00	3265.36	USD	-6,668.00	
31AUG17	S	1	PD30NOV17	3132.50	3265.36	USD	-3,321.50	
31AUG17	B	1	PD30NOV17	3124.50	3265.36	USD	3,521.50	
31AUG17	B	2	PD30NOV17	3125.00	3265.36	USD	7,018.00	
31AUG17	B	4	PD30NOV17	3127.00	3265.36	USD	13,836.00	
31AUG17	B	1	PD30NOV17	3129.00	3265.36	USD	3,409.00	
31AUG17	S	1	PD30NOV17	3126.50	3265.36	USD	-3,471.50	
31AUG17	S	1	PD30NOV17	3134.00	3265.36	USD	-3,284.00	
31AUG17	S	1	PD30NOV17	3137.50	3265.36	USD	-3,196.50	
31AUG17	S	1	PD30NOV17	3138.00	3265.36	USD	-3,184.00	
04SEP17	B	3	PD30NOV17	3204.70	3265.36	USD	4,549.50	
	NET	**0**	**AVG BGHT 3124.15 SOLD 3110.20**				**-10,810.00**	**0.00**
01SEP17	S	2	PD01DEC17	3171.00	3265.46	USD	-4,723.00	
01SEP17	S	4	PD01DEC17	3172.00	3265.46	USD	-9,346.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0103F

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
01SEP17	S 1 PD01DEC17	3172.50	3265.46	USD	-2,324.00	
01SEP17	S 2 PD01DEC17	3173.00	3265.46	USD	-4,623.00	
01SEP17	S 1 PD01DEC17	3174.00	3265.46	USD	-2,286.50	
01SEP17	S 2 PD01DEC17	3198.00	3265.46	USD	-3,373.00	
01SEP17	B 10 PD01DEC17	3185.20	3265.46	USD	20,065.00	
04SEP17	B 2 PD01DEC17	3204.83	3265.46	USD	3,031.50	
	NET 0 AVG BGHT 3188.47 SOLD 3176.54				**-3,579.00**	**0.00**
04SEP17	S 1 PD04DEC17	3188.00	3265.79	USD	-1,944.75	
04SEP17	S 1 PD04DEC17	3193.00	3265.79	USD	-1,819.75	
04SEP17	S 1 PD04DEC17	3192.00	3265.79	USD	-1,844.75	
04SEP17	B 1 PD04DEC17	3198.00	3265.79	USD	1,694.75	
04SEP17	S 1 PD04DEC17	3197.50	3265.79	USD	-1,707.25	
04SEP17	S 1 PD04DEC17	3196.00	3265.79	USD	-1,744.75	
04SEP17	S 1 PD04DEC17	3198.00	3265.79	USD	-1,694.75	
04SEP17	S 1 PD04DEC17	3198.50	3265.79	USD	-1,682.25	
04SEP17	B 3 PD04DEC17	3205.20	3265.79	USD	4,544.25	
27SEP17	B 3 PD04DEC17	3108.03	3265.79	USD	11,832.00	
	NET 0 AVG BGHT 3162.53 SOLD 3194.71				**5,632.75**	**0.00**
05SEP17	B 1 PD05DEC17	3177.50	3265.89	USD	2,209.75	
05SEP17	B 1 PD05DEC17	3174.50	3265.89	USD	2,284.75	
05SEP17	B 1 PD05DEC17	3154.00	3265.89	USD	2,797.25	
05SEP17	B 1 PD05DEC17	3100.00	3265.89	USD	4,147.25	
27SEP17	S 3 PD05DEC17	3107.89	3265.89	USD	-11,850.00	
27SEP17	S 1 PD05DEC17	3108.09	3265.89	USD	-3,945.00	
	NET 0 AVG BGHT 3151.50 SOLD 3107.94				**-4,356.00**	**0.00**
07SEP17	S 1 PD07DEC17	3118.00	3266.11	USD	-3,702.75	
27SEP17	B 1 PD07DEC17	3108.41	3266.11	USD	3,942.50	
	NET 0 AVG BGHT 3108.41 SOLD 3118.00				**239.75**	**0.00**
14SEP17	B 1 PD14DEC17	2991.00	3266.86	USD	6,896.50	
14SEP17	B 1 PD14DEC17	2992.50	3266.86	USD	6,859.00	
27SEP17	S 2 PD14DEC17	3108.64	3266.86	USD	-7,911.00	
	NET 0 AVG BGHT 2991.75 SOLD 3108.64				**5,844.50**	**0.00**
18SEP17	S 1 PD18DEC17	3083.50	3267.29	USD	-4,594.75	
18SEP17	S 1 PD18DEC17	3085.00	3267.29	USD	-4,557.25	
18SEP17	S 1 PD18DEC17	3074.50	3267.29	USD	-4,819.75	
18SEP17	S 1 PD18DEC17	3081.50	3267.29	USD	-4,644.75	
18SEP17	S 2 PD18DEC17	3084.50	3267.29	USD	-9,139.50	
18SEP17	S 1 PD18DEC17	3087.00	3267.29	USD	-4,507.25	
18SEP17	S 2 PD18DEC17	3073.50	3267.29	USD	-9,689.50	
18SEP17	S 2 PD18DEC17	3066.00	3267.29	USD	-10,064.50	
18SEP17	S 1 PD18DEC17	3069.00	3267.29	USD	-4,957.25	
18SEP17	S 1 PD18DEC17	3088.00	3267.29	USD	-4,482.25	
18SEP17	S 1 PD18DEC17	3088.50	3267.29	USD	-4,469.75	
18SEP17	S 2 PD18DEC17	3089.00	3267.29	USD	-8,914.50	
18SEP17	B 1 PD18DEC17	3072.00	3267.29	USD	4,882.25	
18SEP17	B 1 PD18DEC17	3072.50	3267.29	USD	4,869.75	
18SEP17	B 1 PD18DEC17	3062.50	3267.29	USD	5,119.75	
18SEP17	S 1 PD18DEC17	3076.00	3267.29	USD	-4,782.25	
18SEP17	S 4 PD18DEC17	3080.00	3267.29	USD	-18,729.00	
18SEP17	S 1 PD18DEC17	3081.00	3267.29	USD	-4,657.25	
18SEP17	S 1 PD18DEC17	3082.00	3267.29	USD	-4,632.25	
18SEP17	S 1 PD18DEC17	3096.00	3267.29	USD	-4,282.25	
18SEP17	S 1 PD18DEC17	3097.00	3267.29	USD	-4,257.25	
18SEP17	B 5 PD18DEC17	3096.50	3267.29	USD	21,348.75	
18SEP17	B 1 PD18DEC17	3103.50	3267.29	USD	4,094.75	
18SEP17	B 1 PD18DEC17	3104.00	3267.29	USD	4,082.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0103F

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
18SEP17	B	1	PD18DEC17	3104.50	3267.29	USD	4,069.75	
18SEP17	S	1	PD18DEC17	3110.00	3267.29	USD	-3,932.25	
18SEP17	B	12	PD18DEC17	3098.20	3267.29	USD	50,727.00	
27SEP17	B	2	PD18DEC17	3109.08	3267.29	USD	7,910.50	
29SEP17	B	1	PD18DEC17	3165.88	3267.29	USD	2,535.25	
	NET	**0**	**AVG BGHT 3098.61**	**SOLD 3082.50**			**-10,473.50**	**0.00**
19SEP17	S	1	PD19DEC17	3105.00	3267.39	USD	-4,059.75	
19SEP17	S	1	PD19DEC17	3105.50	3267.39	USD	-4,047.25	
19SEP17	S	2	PD19DEC17	3106.00	3267.39	USD	-8,069.50	
19SEP17	S	1	PD19DEC17	3109.50	3267.39	USD	-3,947.25	
19SEP17	S	2	PD19DEC17	3110.50	3267.39	USD	-7,844.50	
19SEP17	B	1	PD19DEC17	3091.50	3267.39	USD	4,397.25	
19SEP17	B	2	PD19DEC17	3094.50	3267.39	USD	8,644.50	
19SEP17	B	1	PD19DEC17	3097.00	3267.39	USD	4,259.75	
19SEP17	B	1	PD19DEC17	3085.50	3267.39	USD	4,547.25	
19SEP17	B	1	PD19DEC17	3086.50	3267.39	USD	4,522.25	
19SEP17	B	1	PD19DEC17	3088.00	3267.39	USD	4,484.75	
	NET	**0**	**AVG BGHT 3091.07**	**SOLD 3107.57**			**2,887.50**	**0.00**
20SEP17	S	1	PD20DEC17	3145.50	3267.50	USD	-3,050.00	
20SEP17	S	1	PD20DEC17	3146.50	3267.50	USD	-3,025.00	
20SEP17	S	1	PD20DEC17	3151.00	3267.50	USD	-2,912.50	
20SEP17	B	3	PD20DEC17	3136.00	3267.50	USD	9,862.50	
	NET	**0**	**AVG BGHT 3136.00**	**SOLD 3147.67**			**875.00**	**0.00**
21SEP17	B	1	PD21DEC17	3066.00	3264.00	USD	4,950.00	
21SEP17	B	1	PD21DEC17	3067.50	3264.00	USD	4,912.50	
21SEP17	B	1	PD21DEC17	3073.00	3264.00	USD	4,775.00	
21SEP17	S	1	PD21DEC17	3073.00	3264.00	USD	-4,775.00	
21SEP17	S	1	PD21DEC17	3073.50	3264.00	USD	-4,762.50	
21SEP17	S	1	PD21DEC17	3092.50	3264.00	USD	-4,287.50	
	NET	**0**	**AVG BGHT 3068.83**	**SOLD 3079.67**			**812.50**	**0.00**
22SEP17	S	2	PD22DEC17	3033.50	3264.00	USD	-11,525.00	
22SEP17	S	1	PD22DEC17	3019.50	3264.00	USD	-6,112.50	
22SEP17	S	1	PD22DEC17	3026.50	3264.00	USD	-5,937.50	
22SEP17	S	1	PD22DEC17	3028.00	3264.00	USD	-5,900.00	
22SEP17	S	1	PD22DEC17	3029.00	3264.00	USD	-5,875.00	
22SEP17	S	1	PD22DEC17	3031.00	3264.00	USD	-5,825.00	
22SEP17	B	1	PD22DEC17	3003.00	3264.00	USD	6,525.00	
22SEP17	B	1	PD22DEC17	3005.50	3264.00	USD	6,462.50	
22SEP17	B	2	PD22DEC17	3007.00	3264.00	USD	12,850.00	
22SEP17	B	1	PD22DEC17	3010.00	3264.00	USD	6,350.00	
22SEP17	B	1	PD22DEC17	3011.50	3264.00	USD	6,312.50	
22SEP17	S	2	PD22DEC17	3038.00	3264.00	USD	-11,300.00	
22SEP17	S	1	PD22DEC17	3039.50	3264.00	USD	-5,612.50	
22SEP17	S	2	PD22DEC17	3040.50	3264.00	USD	-11,175.00	
22SEP17	S	1	PD22DEC17	3042.00	3264.00	USD	-5,550.00	
22SEP17	S	1	PD22DEC17	3035.50	3264.00	USD	-5,712.50	
22SEP17	S	1	PD22DEC17	3070.00	3264.00	USD	-4,850.00	
22SEP17	S	1	PD22DEC17	3070.50	3264.00	USD	-4,837.50	
22SEP17	S	2	PD22DEC17	3075.50	3264.00	USD	-9,425.00	
22SEP17	S	1	PD22DEC17	3076.50	3264.00	USD	-4,687.50	
22SEP17	B	1	PD22DEC17	3012.00	3264.00	USD	6,300.00	
29SEP17	B	6	PD22DEC17	3162.56	3264.00	USD	15,216.00	
29SEP17	B	6	PD22DEC17	3162.76	3264.00	USD	15,186.00	
	NET	**0**	**AVG BGHT 3105.68**	**SOLD 3044.37**			**-29,123.00**	**0.00**
26SEP17	S	1	PD27DEC17	3122.00	3264.00	USD	-3,550.00	
26SEP17	S	1	PD27DEC17	3143.00	3264.00	USD	-3,025.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0103F

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
26SEP17	S 1 PD27DEC17	3145.00	3264.00	USD	-2,975.00	
26SEP17	B 1 PD27DEC17	3132.50	3264.00	USD	3,287.50	
26SEP17	B 1 PD27DEC17	3119.00	3264.00	USD	3,625.00	
26SEP17	B 1 PD27DEC17	3119.50	3264.00	USD	3,612.50	
27SEP17	B 1 PD27DEC17	3136.00	3264.00	USD	3,200.00	
27SEP17	S 2 PD27DEC17	3138.50	3264.00	USD	-6,275.00	
27SEP17	S 1 PD27DEC17	3142.50	3264.00	USD	-3,037.50	
27SEP17	S 3 PD27DEC17	3143.50	3264.00	USD	-9,037.50	
27SEP17	S 1 PD27DEC17	3145.00	3264.00	USD	-2,975.00	
27SEP17	S 1 PD27DEC17	3146.50	3264.00	USD	-2,937.50	
27SEP17	B 2 PD27DEC17	3137.50	3264.00	USD	6,325.00	
27SEP17	B 1 PD27DEC17	3138.50	3264.00	USD	3,137.50	
27SEP17	B 1 PD27DEC17	3139.00	3264.00	USD	3,125.00	
27SEP17	B 1 PD27DEC17	3139.50	3264.00	USD	3,112.50	
27SEP17	B 1 PD27DEC17	3140.00	3264.00	USD	3,100.00	
27SEP17	B 1 PD27DEC17	3141.00	3264.00	USD	3,075.00	
27SEP17	B 2 PD27DEC17	3097.50	3264.00	USD	8,325.00	
27SEP17	B 1 PD27DEC17	3100.50	3264.00	USD	4,087.50	
27SEP17	B 1 PD27DEC17	3101.50	3264.00	USD	4,062.50	
27SEP17	B 1 PD27DEC17	3103.00	3264.00	USD	4,025.00	
27SEP17	B 1 PD27DEC17	3103.50	3264.00	USD	4,012.50	
27SEP17	S 1 PD27DEC17	3122.00	3264.00	USD	-3,550.00	
27SEP17	S 1 PD27DEC17	3122.50	3264.00	USD	-3,537.50	
27SEP17	B 2 PD27DEC17	3095.50	3264.00	USD	8,425.00	
27SEP17	B 1 PD27DEC17	3101.00	3264.00	USD	4,075.00	
29SEP17	S 7 PD27DEC17	3162.18	3264.00	USD	-17,818.50	
	NET 0 AVG BGHT 3118.78 SOLD 3146.56				**13,894.00**	**0.00**
28SEP17	S 1 PD28DEC17	3114.00	3264.00	USD	-3,750.00	
28SEP17	S 1 PD28DEC17	3144.50	3264.00	USD	-2,987.50	
28SEP17	S 1 PD28DEC17	3145.00	3264.00	USD	-2,975.00	
28SEP17	S 1 PD28DEC17	3145.50	3264.00	USD	-2,962.50	
28SEP17	S 1 PD28DEC17	3146.50	3264.00	USD	-2,937.50	
28SEP17	S 1 PD28DEC17	3140.50	3264.00	USD	-3,087.50	
28SEP17	S 1 PD28DEC17	3143.00	3264.00	USD	-3,025.00	
28SEP17	S 1 PD28DEC17	3143.50	3264.00	USD	-3,012.50	
28SEP17	S 1 PD28DEC17	3144.00	3264.00	USD	-3,000.00	
28SEP17	S 1 PD28DEC17	3159.50	3264.00	USD	-2,612.50	
28SEP17	B 4 PD28DEC17	3147.20	3264.00	USD	11,680.00	
28SEP17	S 2 PD28DEC17	3162.00	3264.00	USD	-5,100.00	
28SEP17	S 1 PD28DEC17	3162.50	3264.00	USD	-2,537.50	
28SEP17	S 2 PD28DEC17	3160.00	3264.00	USD	-5,200.00	
29SEP17	B 10 PD28DEC17	3162.19	3264.00	USD	25,452.50	
03OCT17	B 1 PD28DEC17	3264.20	3264.00	USD	-5.00	
	NET 0 AVG BGHT 3164.99 SOLD 3148.83				**-6,060.00**	**0.00**
29SEP17	S 1 PD29DEC17	3158.00	3264.00	USD	-2,650.00	
29SEP17	B 1 PD29DEC17	3156.00	3264.00	USD	2,700.00	
29SEP17	B 2 PD29DEC17	3151.50	3264.00	USD	5,625.00	
29SEP17	B 2 PD29DEC17	3153.00	3264.00	USD	5,550.00	
29SEP17	B 2 PD29DEC17	3153.50	3264.00	USD	5,525.00	
29SEP17	B 1 PD29DEC17	3144.50	3264.00	USD	2,987.50	
29SEP17	B 1 PD29DEC17	3149.50	3264.00	USD	2,862.50	
29SEP17	B 5 PD29DEC17	3145.50	3264.00	USD	14,812.50	
29SEP17	B 2 PD29DEC17	3148.50	3264.00	USD	5,775.00	
29SEP17	B 2 PD29DEC17	3146.00	3264.00	USD	5,900.00	
29SEP17	B 2 PD29DEC17	3145.00	3264.00	USD	5,950.00	
29SEP17	B 3 PD29DEC17	3147.50	3264.00	USD	8,737.50	
29SEP17	B 3 PD29DEC17	3151.00	3264.00	USD	8,475.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0103F

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
29SEP17	B 4 PD29DEC17	3152.00	3264.00	USD	11,200.00	
29SEP17	B 2 PD29DEC17	3148.00	3264.00	USD	5,800.00	
29SEP17	B 3 PD29DEC17	3150.50	3264.00	USD	8,512.50	
29SEP17	S 20 PD29DEC17	3148.35	3264.00	USD	-57,825.00	
29SEP17	S 4 PD29DEC17	3151.85	3264.00	USD	-11,215.00	
29SEP17	S 10 PD29DEC17	3161.80	3264.00	USD	-25,550.00	
	NET 0 AVG BGHT 3149.24 SOLD 3152.87				**3,172.50**	**0.00**

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	507,347.50
LME Lead 25 tonnes. This is an	FUTURES	2,256,165.25
LME Nickel 6 tonnes. This is	FUTURES	-629,146.26
CMX Silver	FUTURES	-428,500.00
LME Zinc 25 tonnes. This is	FUTURES	2,262,982.25

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options			Amount	Profit / Loss	**Total Amount**	**Total P&L**
SILV	- Futures	USD	-12,250.00	-12,250.00	**-12,250.00**	**-12,250.00**
Unrealised Profits & Losses - Forwards			Amount	Profit / Loss	**Total Amount**	**Total P&L**
ALUL	- Futures	USD	-21,922.50	-21,922.50		
LEAD	- Futures	USD	-137,734.75	-137,734.75		
NKL	- Futures	USD	7,723.74	7,723.74		
ZINC	- Futures	USD	-110,305.25	-110,305.25	**-262,238.76**	**-262,238.76**

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		40,281.57	40,281.57
Variation Margins	- Futures	-12,250.00	-12,250.00
	- Options	0.00	0.00
TOTAL EQUITY		**28,031.57**	**28,031.57**
Initial Margins		-718,350.76	-718,350.76
Excess/(Shortage)		**-690,319.19**	**-690,319.19**
FOR INFORMATION ONLY			
Forward Unrealised P&L		-262,238.76	-262,238.76
Net Liquidating Value		**-234,207.19**	**-234,207.19**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0103G

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.							
10JUL17	B	1	PD10OCT17	1896.50	2115.92	USD	5,485.50	
10JUL17	B	1	PD10OCT17	1897.00	2115.92	USD	5,473.00	
10JUL17	B	2	PD10OCT17	1897.50	2115.92	USD	10,921.00	
21JUL17	S	4	PD10OCT17	1913.67	2115.92	USD	-20,225.00	
	NET	**0**	**AVG BGHT 1897.13 SOLD 1913.67**				**1,654.50**	**0.00**
12JUL17	B	1	PD12OCT17	1886.00	2116.25	USD	5,756.25	
12JUL17	B	1	PD12OCT17	1887.50	2116.25	USD	5,718.75	
12JUL17	B	2	PD12OCT17	1882.50	2116.25	USD	11,687.50	
12JUL17	B	1	PD12OCT17	1883.00	2116.25	USD	5,831.25	
21JUL17	S	5	PD12OCT17	1914.07	2116.25	USD	-25,272.50	
	NET	**0**	**AVG BGHT 1884.30 SOLD 1914.07**				**3,721.25**	**0.00**
13JUL17	S	1	PD13OCT17	1897.50	2116.25	USD	-5,468.75	
13JUL17	S	1	PD13OCT17	1898.00	2116.25	USD	-5,456.25	
13JUL17	S	2	PD13OCT17	1898.50	2116.25	USD	-10,887.50	
13JUL17	S	1	PD13OCT17	1938.00	2116.25	USD	-4,456.25	
13JUL17	S	3	PD13OCT17	1939.00	2116.25	USD	-13,293.75	
13JUL17	S	1	PD13OCT17	1939.50	2116.25	USD	-4,418.75	
13JUL17	S	5	PD13OCT17	1899.00	2116.25	USD	-27,156.25	
13JUL17	B	1	PD13OCT17	1923.00	2116.25	USD	4,831.25	
13JUL17	S	2	PD13OCT17	1932.00	2116.25	USD	-9,212.50	
13JUL17	S	1	PD13OCT17	1933.00	2116.25	USD	-4,581.25	
13JUL17	S	1	PD13OCT17	1934.00	2116.25	USD	-4,556.25	
13JUL17	B	2	PD13OCT17	1924.00	2116.25	USD	9,612.50	
21JUL17	B	9	PD13OCT17	1914.46	2116.25	USD	45,402.75	
21JUL17	B	6	PD13OCT17	1914.26	2116.25	USD	30,298.50	
	NET	**0**	**AVG BGHT 1915.93 SOLD 1917.39**				**657.50**	**0.00**
17JUL17	B	1	PD17OCT17	1935.00	2116.25	USD	4,531.25	
17JUL17	B	1	PD17OCT17	1935.50	2116.25	USD	4,518.75	
17JUL17	B	1	PD17OCT17	1934.00	2116.25	USD	4,556.25	
17JUL17	B	3	PD17OCT17	1933.00	2116.25	USD	13,743.75	
17JUL17	B	1	PD17OCT17	1918.50	2116.25	USD	4,943.75	
17JUL17	B	1	PD17OCT17	1919.00	2116.25	USD	4,931.25	
17JUL17	B	1	PD17OCT17	1900.50	2116.25	USD	5,393.75	
17JUL17	B	1	PD17OCT17	1904.50	2116.25	USD	5,293.75	
17JUL17	B	1	PD17OCT17	1905.00	2116.25	USD	5,281.25	
17JUL17	B	2	PD17OCT17	1905.50	2116.25	USD	10,537.50	
17JUL17	B	1	PD17OCT17	1906.00	2116.25	USD	5,256.25	
17JUL17	B	1	PD17OCT17	1910.00	2116.25	USD	5,156.25	
17JUL17	B	1	PD17OCT17	1898.00	2116.25	USD	5,456.25	
17JUL17	B	1	PD17OCT17	1900.00	2116.25	USD	5,406.25	
21JUL17	S	6	PD17OCT17	1914.85	2116.25	USD	-30,210.00	
21JUL17	S	11	PD17OCT17	1915.05	2116.25	USD	-55,330.00	
	NET	**0**	**AVG BGHT 1916.24 SOLD 1914.98**				**-533.75**	**0.00**
18JUL17	S	2	PD18OCT17	1911.00	2116.25	USD	-10,262.50	
18JUL17	S	1	PD18OCT17	1911.50	2116.25	USD	-5,118.75	
18JUL17	S	1	PD18OCT17	1912.00	2116.25	USD	-5,106.25	
18JUL17	S	1	PD18OCT17	1913.00	2116.25	USD	-5,081.25	
18JUL17	S	1	PD18OCT17	1913.50	2116.25	USD	-5,068.75	
18JUL17	S	5	PD18OCT17	1914.00	2116.25	USD	-25,281.25	
21JUL17	B	11	PD18OCT17	1915.45	2116.25	USD	55,220.00	
	NET	**0**	**AVG BGHT 1915.45 SOLD 1912.91**				**-698.75**	**0.00**
19JUL17	S	1	PD19OCT17	1933.50	2116.64	USD	-4,578.50	
19JUL17	S	1	PD19OCT17	1936.50	2116.64	USD	-4,503.50	
19JUL17	S	1	PD19OCT17	1938.50	2116.64	USD	-4,453.50	
19JUL17	S	1	PD19OCT17	1937.00	2116.64	USD	-4,491.00	
19JUL17	S	1	PD19OCT17	1937.50	2116.64	USD	-4,478.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0103G

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
19JUL17	B 1 PD19OCT17	1918.00	2116.64	USD	4,966.00	
19JUL17	B 1 PD19OCT17	1915.50	2116.64	USD	5,028.50	
19JUL17	B 1 PD19OCT17	1916.50	2116.64	USD	5,003.50	
19JUL17	S 1 PD19OCT17	1922.00	2116.64	USD	-4,866.00	
26JUL17	B 3 PD19OCT17	1940.50	2116.64	USD	13,210.50	
	NET 0 AVG BGHT 1928.58 SOLD 1934.17				**837.50**	**0.00**
21JUL17	S 1 PD20OCT17	1932.00	2117.03	USD	-4,625.75	
21JUL17	S 1 PD20OCT17	1932.50	2117.03	USD	-4,613.25	
21JUL17	S 1 PD20OCT17	1916.50	2117.03	USD	-5,013.25	
26JUL17	B 1 PD20OCT17	1940.71	2117.03	USD	4,408.00	
31JUL17	B 2 PD20OCT17	1914.56	2117.03	USD	10,123.50	
	NET 0 AVG BGHT 1923.28 SOLD 1927.00				**279.25**	**0.00**
24JUL17	B 3 PD24OCT17	1916.50	2118.58	USD	15,156.00	
24JUL17	B 1 PD24OCT17	1911.00	2118.58	USD	5,189.50	
26JUL17	S 4 PD24OCT17	1941.37	2118.58	USD	-17,721.00	
	NET 0 AVG BGHT 1915.13 SOLD 1941.37				**2,624.50**	**0.00**
25JUL17	B 1 PD25OCT17	1917.00	2118.97	USD	5,049.25	
25JUL17	B 1 PD25OCT17	1916.50	2118.97	USD	5,061.75	
25JUL17	S 1 PD25OCT17	1932.50	2118.97	USD	-4,661.75	
25JUL17	S 1 PD25OCT17	1933.00	2118.97	USD	-4,649.25	
25JUL17	S 5 PD25OCT17	1935.00	2118.97	USD	-22,996.25	
25JUL17	S 1 PD25OCT17	1935.50	2118.97	USD	-4,586.75	
25JUL17	S 1 PD25OCT17	1943.50	2118.97	USD	-4,386.75	
25JUL17	S 1 PD25OCT17	1945.50	2118.97	USD	-4,336.75	
25JUL17	S 3 PD25OCT17	1947.50	2118.97	USD	-12,860.25	
25JUL17	S 3 PD25OCT17	1940.00	2118.97	USD	-13,422.75	
31JUL17	B 14 PD25OCT17	1916.13	2118.97	USD	70,994.00	
	NET 0 AVG BGHT 1916.21 SOLD 1939.22				**9,204.50**	**0.00**
26JUL17	S 1 PD26OCT17	1957.50	2119.36	USD	-4,046.50	
26JUL17	B 1 PD26OCT17	1943.50	2119.36	USD	4,396.50	
26JUL17	S 3 PD26OCT17	1958.00	2119.36	USD	-12,102.00	
26JUL17	B 2 PD26OCT17	1937.00	2119.36	USD	9,118.00	
31JUL17	B 1 PD26OCT17	1916.44	2119.36	USD	5,073.00	
	NET 0 AVG BGHT 1933.49 SOLD 1957.88				**2,439.00**	**0.00**
27JUL17	B 1 PD27OCT17	1932.50	2119.75	USD	4,681.25	
27JUL17	B 5 PD27OCT17	1933.00	2119.75	USD	23,343.75	
27JUL17	B 1 PD27OCT17	1933.50	2119.75	USD	4,656.25	
27JUL17	S 1 PD27OCT17	1948.50	2119.75	USD	-4,281.25	
27JUL17	S 2 PD27OCT17	1950.00	2119.75	USD	-8,487.50	
27JUL17	S 1 PD27OCT17	1951.00	2119.75	USD	-4,218.75	
27JUL17	S 3 PD27OCT17	1951.50	2119.75	USD	-12,618.75	
27JUL17	B 1 PD27OCT17	1942.50	2119.75	USD	4,431.25	
27JUL17	B 1 PD27OCT17	1938.50	2119.75	USD	4,531.25	
27JUL17	B 4 PD27OCT17	1935.00	2119.75	USD	18,475.00	
28JUL17	B 1 PD27OCT17	1924.00	2119.75	USD	4,893.75	
28JUL17	B 1 PD27OCT17	1924.50	2119.75	USD	4,881.25	
28JUL17	B 1 PD27OCT17	1925.00	2119.75	USD	4,868.75	
28JUL17	B 3 PD27OCT17	1927.00	2119.75	USD	14,456.25	
28JUL17	B 1 PD27OCT17	1919.00	2119.75	USD	5,018.75	
28JUL17	B 3 PD27OCT17	1921.50	2119.75	USD	14,868.75	
28JUL17	B 1 PD27OCT17	1919.50	2119.75	USD	5,006.25	
28JUL17	B 3 PD27OCT17	1914.00	2119.75	USD	15,431.25	
28JUL17	B 1 PD27OCT17	1914.50	2119.75	USD	5,131.25	
28JUL17	B 3 PD27OCT17	1913.50	2119.75	USD	15,468.75	
28JUL17	B 1 PD27OCT17	1913.00	2119.75	USD	5,168.75	
31JUL17	S 17 PD27OCT17	1916.55	2119.75	USD	-86,360.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0103G

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
08AUG17	S 8 PD27OCT17	2027.60	2119.75	USD	-18,430.00	
	NET 0 AVG BGHT 1925.61 SOLD 1951.75				**20,916.25**	**0.00**
31JUL17	S 2 PD31OCT17	1925.00	2117.75	USD	-9,637.50	
31JUL17	S 2 PD31OCT17	1925.50	2117.75	USD	-9,612.50	
31JUL17	S 1 PD31OCT17	1926.00	2117.75	USD	-4,793.75	
08AUG17	B 5 PD31OCT17	2028.60	2117.75	USD	11,143.75	
	NET 0 AVG BGHT 2028.60 SOLD 1925.40				**-12,900.00**	**0.00**
12SEP17	S 3 PD02NOV17	2125.08	2117.08	USD	600.00	
	NET -3 AVG SOLD 2125.08				**600.00**	**0.00**
04AUG17	B 1 PD03NOV17	1914.00	2116.75	USD	5,068.75	
08AUG17	S 1 PD03NOV17	2029.00	2116.75	USD	-2,193.75	
	NET 0 AVG BGHT 1914.00 SOLD 2029.00				**2,875.00**	**0.00**
07AUG17	S 2 PD07NOV17	1941.50	2116.08	USD	-8,729.00	
07AUG17	S 2 PD07NOV17	1942.00	2116.08	USD	-8,704.00	
07AUG17	S 2 PD07NOV17	1945.00	2116.08	USD	-8,554.00	
07AUG17	S 2 PD07NOV17	1945.50	2116.08	USD	-8,529.00	
07AUG17	S 2 PD07NOV17	1946.00	2116.08	USD	-8,504.00	
07AUG17	S 1 PD07NOV17	1947.00	2116.08	USD	-4,227.00	
07AUG17	S 1 PD07NOV17	1948.00	2116.08	USD	-4,202.00	
07AUG17	S 1 PD07NOV17	1949.00	2116.08	USD	-4,177.00	
07AUG17	S 1 PD07NOV17	1974.50	2116.08	USD	-3,539.50	
08AUG17	B 4 PD07NOV17	2030.00	2116.08	USD	8,608.00	
09AUG17	B 10 PD07NOV17	2027.34	2116.08	USD	22,185.00	
	NET 0 AVG BGHT 2028.10 SOLD 1947.04				**-28,372.50**	**0.00**
08AUG17	S 1 PD08NOV17	1996.50	2115.75	USD	-2,981.25	
08AUG17	S 1 PD08NOV17	1997.00	2115.75	USD	-2,968.75	
08AUG17	S 1 PD08NOV17	1998.00	2115.75	USD	-2,943.75	
08AUG17	S 2 PD08NOV17	1997.50	2115.75	USD	-5,912.50	
08AUG17	S 1 PD08NOV17	1998.50	2115.75	USD	-2,931.25	
08AUG17	S 4 PD08NOV17	1999.00	2115.75	USD	-11,675.00	
08AUG17	B 10 PD08NOV17	2030.20	2115.75	USD	21,387.50	
	NET 0 AVG BGHT 2030.20 SOLD 1998.10				**-8,025.00**	**0.00**
16AUG17	S 1 PD16NOV17	2061.50	2118.52	USD	-1,425.50	
16AUG17	S 8 PD16NOV17	2062.00	2118.52	USD	-11,304.00	
16AUG17	S 1 PD16NOV17	2061.00	2118.52	USD	-1,438.00	
18AUG17	B 10 PD16NOV17	2061.95	2118.52	USD	14,142.50	
	NET 0 AVG BGHT 2061.95 SOLD 2061.85				**-25.00**	**0.00**
17AUG17	B 1 PD17NOV17	2102.00	2118.79	USD	419.75	
17AUG17	B 1 PD17NOV17	2105.00	2118.79	USD	344.75	
18AUG17	S 2 PD17NOV17	2061.80	2118.79	USD	-2,849.50	
	NET 0 AVG BGHT 2103.50 SOLD 2061.80				**-2,085.00**	**0.00**
23AUG17	B 2 PD24NOV17	2058.50	2120.69	USD	3,109.50	
23AUG17	S 1 PD24NOV17	2092.50	2120.69	USD	-704.75	
23AUG17	S 1 PD24NOV17	2093.00	2120.69	USD	-692.25	
25AUG17	B 2 PD24NOV17	2096.50	2120.69	USD	1,209.50	
25AUG17	B 2 PD24NOV17	2098.00	2120.69	USD	1,134.50	
25AUG17	B 3 PD24NOV17	2094.00	2120.69	USD	2,001.75	
25AUG17	B 2 PD24NOV17	2094.50	2120.69	USD	1,309.50	
25AUG17	B 2 PD24NOV17	2095.00	2120.69	USD	1,284.50	
25AUG17	B 1 PD24NOV17	2093.00	2120.69	USD	692.25	
25AUG17	S 12 PD24NOV17	2069.80	2120.69	USD	-15,267.00	
	NET 0 AVG BGHT 2090.00 SOLD 2073.08				**-5,922.50**	**0.00**
31AUG17	B 4 PD30NOV17	2101.00	2122.32	USD	2,132.00	
31AUG17	S 1 PD30NOV17	2112.50	2122.32	USD	-245.50	
31AUG17	S 3 PD30NOV17	2112.00	2122.32	USD	-774.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0103G

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
31AUG17	S 5 PD30NOV17	2116.50	2122.32	USD	-727.50	
31AUG17	S 1 PD30NOV17	2117.50	2122.32	USD	-120.50	
31AUG17	B 1 PD30NOV17	2100.00	2122.32	USD	558.00	
31AUG17	B 1 PD30NOV17	2100.50	2122.32	USD	545.50	
31AUG17	S 1 PD30NOV17	2131.00	2122.32	USD	217.00	
12SEP17	B 5 PD30NOV17	2133.28	2122.32	USD	-1,370.00	
	NET 0 AVG BGHT 2115.54 SOLD 2116.32				**215.00**	**0.00**
01SEP17	B 1 PD01DEC17	2130.00	2122.59	USD	-185.25	
01SEP17	S 1 PD01DEC17	2132.00	2122.59	USD	235.25	
01SEP17	B 2 PD01DEC17	2125.00	2122.59	USD	-120.50	
01SEP17	B 1 PD01DEC17	2125.50	2122.59	USD	-72.75	
01SEP17	B 1 PD01DEC17	2126.50	2122.59	USD	-97.75	
12SEP17	S 4 PD01DEC17	2133.39	2122.59	USD	1,080.00	
	NET 0 AVG BGHT 2126.40 SOLD 2133.11				**839.00**	**0.00**
04SEP17	B 1 PD04DEC17	2118.00	2123.41	USD	135.25	
12SEP17	S 1 PD04DEC17	2134.32	2123.41	USD	272.75	
	NET 0 AVG BGHT 2118.00 SOLD 2134.32				**408.00**	**0.00**
06SEP17	B 1 PD06DEC17	2076.00	2123.95	USD	1,198.75	
06SEP17	B 2 PD06DEC17	2076.50	2123.95	USD	2,372.50	
06SEP17	B 1 PD06DEC17	2077.00	2123.95	USD	1,173.75	
12SEP17	S 4 PD06DEC17	2135.14	2123.95	USD	1,119.00	
	NET 0 AVG BGHT 2076.50 SOLD 2135.14				**5,864.00**	**0.00**
07SEP17	B 2 PD07DEC17	2106.00	2124.22	USD	911.00	
12SEP17	S 2 PD07DEC17	2135.45	2124.22	USD	561.50	
	NET 0 AVG BGHT 2106.00 SOLD 2135.45				**1,472.50**	**0.00**
08SEP17	S 1 PD08DEC17	2118.00	2124.49	USD	-162.25	
08SEP17	S 1 PD08DEC17	2096.50	2124.49	USD	-699.75	
08SEP17	S 1 PD08DEC17	2096.00	2124.49	USD	-712.25	
08SEP17	S 3 PD08DEC17	2097.00	2124.49	USD	-2,061.75	
08SEP17	S 1 PD08DEC17	2098.00	2124.49	USD	-662.25	
12SEP17	B 7 PD08DEC17	2135.96	2124.49	USD	-2,007.25	
	NET 0 AVG BGHT 2135.96 SOLD 2099.93				**-6,305.50**	**0.00**
12SEP17	S 1 PD12DEC17	2117.00	2125.58	USD	-214.50	
12SEP17	S 2 PD12DEC17	2118.00	2125.58	USD	-379.00	
12SEP17	B 3 PD12DEC17	2137.20	2125.58	USD	-871.50	
	NET 0 AVG BGHT 2137.20 SOLD 2117.67				**-1,465.00**	**0.00**
13SEP17	B 1 PD13DEC17	2119.50	2125.85	USD	158.75	
13SEP17	B 3 PD13DEC17	2121.00	2125.85	USD	363.75	
13SEP17	B 1 PD13DEC17	2121.50	2125.85	USD	108.75	
13SEP17	B 2 PD13DEC17	2117.00	2125.85	USD	442.50	
13SEP17	B 1 PD13DEC17	2118.00	2125.85	USD	196.25	
13SEP17	B 2 PD13DEC17	2116.00	2125.85	USD	492.50	
13SEP17	B 1 PD13DEC17	2109.50	2125.85	USD	408.75	
13SEP17	B 2 PD13DEC17	2114.50	2125.85	USD	567.50	
13SEP17	B 3 PD13DEC17	2111.50	2125.85	USD	1,076.25	
13SEP17	B 1 PD13DEC17	2108.50	2125.85	USD	433.75	
14SEP17	S 9 PD13DEC17	2097.53	2125.85	USD	-6,372.00	
15SEP17	S 8 PD13DEC17	2085.30	2125.85	USD	-8,110.00	
	NET 0 AVG BGHT 2115.85 SOLD 2091.77				**-10,233.25**	**0.00**
14SEP17	S 1 PD14DEC17	2126.50	2126.12	USD	9.50	
14SEP17	B 2 PD14DEC17	2087.00	2126.12	USD	1,956.00	
14SEP17	S 1 PD14DEC17	2125.00	2126.12	USD	-28.00	
	NET 0 AVG BGHT 2087.00 SOLD 2125.75				**1,937.50**	**0.00**
	LME LEAD 25 TONNES. THIS IS AN					

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0103G

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
04JUL17	B 1 PD04OCT17	2300.00	2584.77	USD	7,119.25	
04JUL17	S 1 PD04OCT17	2299.00	2584.77	USD	-7,144.25	
	NET 0 AVG BGHT 2300.00 SOLD 2299.00				**-25.00**	**0.00**
05JUL17	B 1 PD05OCT17	2244.00	2585.00	USD	8,525.00	
05JUL17	B 2 PD05OCT17	2248.00	2585.00	USD	16,850.00	
05JUL17	B 1 PD05OCT17	2248.50	2585.00	USD	8,412.50	
06JUL17	S 4 PD05OCT17	2283.80	2585.00	USD	-30,120.00	
	NET 0 AVG BGHT 2247.13 SOLD 2283.80				**3,667.50**	**0.00**
12JUL17	B 1 PD12OCT17	2319.50	2586.62	USD	6,678.00	
12JUL17	B 2 PD12OCT17	2321.50	2586.62	USD	13,256.00	
13JUL17	S 3 PD12OCT17	2293.93	2586.62	USD	-21,951.75	
	NET 0 AVG BGHT 2320.83 SOLD 2293.93				**-2,017.75**	**0.00**
13JUL17	B 1 PD13OCT17	2308.50	2586.85	USD	6,958.75	
13JUL17	B 1 PD13OCT17	2311.50	2586.85	USD	6,883.75	
13JUL17	B 1 PD13OCT17	2294.50	2586.85	USD	7,308.75	
13JUL17	B 2 PD13OCT17	2293.50	2586.85	USD	14,667.50	
13JUL17	B 1 PD13OCT17	2312.50	2586.85	USD	6,858.75	
13JUL17	S 3 PD13OCT17	2293.80	2586.85	USD	-21,978.75	
14JUL17	S 3 PD13OCT17	2318.00	2586.85	USD	-20,163.75	
14JUL17	S 2 PD13OCT17	2318.50	2586.85	USD	-13,417.50	
14JUL17	S 2 PD13OCT17	2315.80	2586.85	USD	-13,552.50	
21JUL17	B 4 PD13OCT17	2238.77	2586.85	USD	34,808.00	
	NET 0 AVG BGHT 2276.91 SOLD 2310.40				**8,373.00**	**0.00**
17JUL17	B 1 PD17OCT17	2321.50	2587.77	USD	6,656.75	
17JUL17	B 1 PD17OCT17	2323.50	2587.77	USD	6,606.75	
17JUL17	B 1 PD17OCT17	2322.00	2587.77	USD	6,644.25	
17JUL17	B 1 PD17OCT17	2320.50	2587.77	USD	6,681.75	
21JUL17	S 4 PD17OCT17	2239.35	2587.77	USD	-34,842.00	
	NET 0 AVG BGHT 2321.88 SOLD 2239.35				**-8,252.50**	**0.00**
18JUL17	B 2 PD18OCT17	2279.00	2588.00	USD	15,450.00	
18JUL17	B 1 PD18OCT17	2279.50	2588.00	USD	7,712.50	
18JUL17	B 4 PD18OCT17	2270.00	2588.00	USD	31,800.00	
18JUL17	B 1 PD18OCT17	2270.50	2588.00	USD	7,937.50	
18JUL17	B 1 PD18OCT17	2269.50	2588.00	USD	7,962.50	
18JUL17	S 1 PD18OCT17	2276.00	2588.00	USD	-7,800.00	
18JUL17	S 1 PD18OCT17	2272.50	2588.00	USD	-7,887.50	
18JUL17	S 2 PD18OCT17	2273.00	2588.00	USD	-15,750.00	
18JUL17	S 1 PD18OCT17	2273.50	2588.00	USD	-7,862.50	
18JUL17	S 6 PD18OCT17	2272.00	2588.00	USD	-47,400.00	
21JUL17	B 2 PD18OCT17	2239.75	2588.00	USD	17,412.50	
	NET 0 AVG BGHT 2267.00 SOLD 2272.73				**1,575.00**	**0.00**
19JUL17	B 2 PD19OCT17	2262.50	2588.00	USD	16,275.00	
19JUL17	B 1 PD19OCT17	2263.50	2588.00	USD	8,112.50	
19JUL17	B 2 PD19OCT17	2264.00	2588.00	USD	16,200.00	
19JUL17	B 1 PD19OCT17	2247.50	2588.00	USD	8,512.50	
19JUL17	B 1 PD19OCT17	2248.00	2588.00	USD	8,500.00	
19JUL17	B 1 PD19OCT17	2237.50	2588.00	USD	8,762.50	
19JUL17	B 2 PD19OCT17	2238.00	2588.00	USD	17,500.00	
19JUL17	B 1 PD19OCT17	2245.00	2588.00	USD	8,575.00	
19JUL17	B 1 PD19OCT17	2231.00	2588.00	USD	8,925.00	
19JUL17	B 1 PD19OCT17	2227.50	2588.00	USD	9,012.50	
19JUL17	B 2 PD19OCT17	2228.50	2588.00	USD	17,975.00	
19JUL17	B 1 PD19OCT17	2242.00	2588.00	USD	8,650.00	
19JUL17	B 1 PD19OCT17	2228.00	2588.00	USD	9,000.00	
19JUL17	B 1 PD19OCT17	2229.00	2588.00	USD	8,975.00	
19JUL17	S 2 PD19OCT17	2211.00	2588.00	USD	-18,850.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0103G

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
21JUL17	S	2	PD19OCT17	2239.43	2588.00	USD	-17,428.50	
21JUL17	S	7	PD19OCT17	2239.63	2588.00	USD	-60,964.75	
26JUL17	S	7	PD19OCT17	2312.25	2588.00	USD	-48,256.25	
	NET	**0**	**AVG BGHT 2243.61**	**SOLD 2264.67**			**9,475.50**	**0.00**
20JUL17	S	1	PD20OCT17	2225.50	2588.00	USD	-9,062.50	
20JUL17	S	1	PD20OCT17	2226.00	2588.00	USD	-9,050.00	
20JUL17	S	4	PD20OCT17	2227.00	2588.00	USD	-36,100.00	
20JUL17	S	1	PD20OCT17	2227.50	2588.00	USD	-9,012.50	
20JUL17	S	2	PD20OCT17	2223.50	2588.00	USD	-18,225.00	
20JUL17	S	1	PD20OCT17	2224.50	2588.00	USD	-9,087.50	
20JUL17	B	1	PD20OCT17	2210.00	2588.00	USD	9,450.00	
20JUL17	B	1	PD20OCT17	2211.00	2588.00	USD	9,425.00	
20JUL17	B	1	PD20OCT17	2203.50	2588.00	USD	9,612.50	
21JUL17	S	1	PD20OCT17	2238.00	2588.00	USD	-8,750.00	
21JUL17	S	1	PD20OCT17	2238.50	2588.00	USD	-8,737.50	
21JUL17	S	5	PD20OCT17	2239.00	2588.00	USD	-43,625.00	
21JUL17	S	1	PD20OCT17	2249.00	2588.00	USD	-8,475.00	
21JUL17	S	2	PD20OCT17	2252.00	2588.00	USD	-16,800.00	
21JUL17	S	2	PD20OCT17	2251.50	2588.00	USD	-16,825.00	
21JUL17	S	1	PD20OCT17	2253.00	2588.00	USD	-8,375.00	
21JUL17	B	1	PD20OCT17	2238.50	2588.00	USD	8,737.50	
21JUL17	B	1	PD20OCT17	2239.50	2588.00	USD	8,712.50	
21JUL17	B	1	PD20OCT17	2236.00	2588.00	USD	8,800.00	
21JUL17	B	7	PD20OCT17	2239.70	2588.00	USD	60,952.50	
21JUL17	B	1	PD20OCT17	2241.50	2588.00	USD	8,662.50	
21JUL17	B	1	PD20OCT17	2242.00	2588.00	USD	8,650.00	
21JUL17	B	1	PD20OCT17	2241.00	2588.00	USD	8,675.00	
26JUL17	B	7	PD20OCT17	2312.56	2588.00	USD	48,202.00	
	NET	**0**	**AVG BGHT 2257.77**	**SOLD 2236.48**			**-12,245.50**	**0.00**
26JUL17	S	1	PD26OCT17	2344.00	2588.00	USD	-6,100.00	
26JUL17	S	1	PD26OCT17	2345.00	2588.00	USD	-6,075.00	
26JUL17	S	2	PD26OCT17	2346.00	2588.00	USD	-12,100.00	
08AUG17	B	4	PD26OCT17	2380.92	2588.00	USD	20,708.00	
	NET	**0**	**AVG BGHT 2380.92**	**SOLD 2345.25**			**-3,567.00**	**0.00**
31JUL17	B	1	PD31OCT17	2335.00	2588.00	USD	6,325.00	
31JUL17	B	2	PD31OCT17	2336.00	2588.00	USD	12,600.00	
31JUL17	B	1	PD31OCT17	2337.00	2588.00	USD	6,275.00	
08AUG17	S	4	PD31OCT17	2381.59	2588.00	USD	-20,641.00	
	NET	**0**	**AVG BGHT 2336.00**	**SOLD 2381.59**			**4,559.00**	**0.00**
29SEP17	S	17	PD02NOV17	2492.35	2588.00	USD	-40,651.25	
	NET	**-17**	**AVG SOLD 2492.35**				**-40,651.25**	**0.00**
03AUG17	S	4	PD03NOV17	2356.50	2588.00	USD	-23,150.00	
03AUG17	S	1	PD03NOV17	2358.00	2588.00	USD	-5,750.00	
03AUG17	S	1	PD03NOV17	2358.50	2588.00	USD	-5,737.50	
03AUG17	S	1	PD03NOV17	2359.50	2588.00	USD	-5,712.50	
03AUG17	S	1	PD03NOV17	2360.50	2588.00	USD	-5,687.50	
03AUG17	S	1	PD03NOV17	2361.00	2588.00	USD	-5,675.00	
03AUG17	S	1	PD03NOV17	2362.00	2588.00	USD	-5,650.00	
03AUG17	S	1	PD03NOV17	2362.50	2588.00	USD	-5,637.50	
03AUG17	S	1	PD03NOV17	2363.00	2588.00	USD	-5,625.00	
03AUG17	B	12	PD03NOV17	2367.20	2588.00	USD	66,240.00	
04AUG17	S	1	PD03NOV17	2367.00	2588.00	USD	-5,525.00	
08AUG17	B	1	PD03NOV17	2382.31	2588.00	USD	5,142.25	
	NET	**0**	**AVG BGHT 2368.36**	**SOLD 2359.85**			**-2,767.75**	**0.00**
07AUG17	B	3	PD07NOV17	2364.00	2588.00	USD	16,800.00	
08AUG17	S	3	PD07NOV17	2382.80	2588.00	USD	-15,390.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0103G

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
	NET 0 AVG BGHT 2364.00 SOLD 2382.80				**1,410.00**	**0.00**
08AUG17	B 1 PD08NOV17	2355.50	2588.00	USD	5,812.50	
08AUG17	B 1 PD08NOV17	2356.00	2588.00	USD	5,800.00	
08AUG17	B 3 PD08NOV17	2355.00	2588.00	USD	17,475.00	
08AUG17	B 2 PD08NOV17	2354.50	2588.00	USD	11,675.00	
08AUG17	B 1 PD08NOV17	2353.50	2588.00	USD	5,862.50	
08AUG17	B 2 PD08NOV17	2354.00	2588.00	USD	11,700.00	
08AUG17	S 10 PD08NOV17	2382.80	2588.00	USD	-51,300.00	
	NET 0 AVG BGHT 2354.70 SOLD 2382.80				**7,025.00**	**0.00**
11AUG17	B 2 PD10NOV17	2330.00	2588.00	USD	12,900.00	
11AUG17	B 2 PD10NOV17	2330.50	2588.00	USD	12,875.00	
11AUG17	B 2 PD10NOV17	2331.50	2588.00	USD	12,825.00	
24AUG17	S 6 PD10NOV17	2352.65	2588.00	USD	-35,302.50	
	NET 0 AVG BGHT 2330.67 SOLD 2352.65				**3,297.50**	**0.00**
14AUG17	S 1 PD14NOV17	2346.50	2588.00	USD	-6,037.50	
14AUG17	S 1 PD14NOV17	2347.50	2588.00	USD	-6,012.50	
14AUG17	S 1 PD14NOV17	2343.50	2588.00	USD	-6,112.50	
24AUG17	B 3 PD14NOV17	2353.93	2588.00	USD	17,555.25	
	NET 0 AVG BGHT 2353.93 SOLD 2345.83				**-607.25**	**0.00**
16AUG17	S 2 PD16NOV17	2388.00	2588.13	USD	-10,006.50	
16AUG17	S 1 PD16NOV17	2389.00	2588.13	USD	-4,978.25	
24AUG17	B 3 PD16NOV17	2353.95	2588.13	USD	17,563.50	
	NET 0 AVG BGHT 2353.95 SOLD 2388.33				**2,578.75**	**0.00**
23AUG17	B 1 PD24NOV17	2393.50	2589.16	USD	4,891.50	
23AUG17	B 1 PD24NOV17	2394.00	2589.16	USD	4,879.00	
24AUG17	B 1 PD24NOV17	2347.50	2589.16	USD	6,041.50	
24AUG17	B 1 PD24NOV17	2348.00	2589.16	USD	6,029.00	
24AUG17	B 1 PD24NOV17	2353.50	2589.16	USD	5,891.50	
24AUG17	S 5 PD24NOV17	2356.80	2589.16	USD	-29,045.00	
	NET 0 AVG BGHT 2367.30 SOLD 2356.80				**-1,312.50**	**0.00**
31AUG17	S 1 PD30NOV17	2391.00	2589.93	USD	-4,973.25	
31AUG17	S 1 PD30NOV17	2393.50	2589.93	USD	-4,910.75	
31AUG17	S 1 PD30NOV17	2392.00	2589.93	USD	-4,948.25	
31AUG17	S 2 PD30NOV17	2392.50	2589.93	USD	-9,871.50	
31AUG17	B 5 PD30NOV17	2394.20	2589.93	USD	24,466.25	
	NET 0 AVG BGHT 2394.20 SOLD 2392.30				**-237.50**	**0.00**
05SEP17	B 1 PD05DEC17	2368.00	2590.57	USD	5,564.25	
05SEP17	B 1 PD05DEC17	2370.00	2590.57	USD	5,514.25	
05SEP17	B 1 PD05DEC17	2369.50	2590.57	USD	5,526.75	
05SEP17	S 3 PD05DEC17	2333.80	2590.57	USD	-19,257.75	
	NET 0 AVG BGHT 2369.17 SOLD 2333.80				**-2,652.50**	**0.00**
08SEP17	B 1 PD08DEC17	2352.50	2590.96	USD	5,961.50	
08SEP17	B 1 PD08DEC17	2339.00	2590.96	USD	6,299.00	
08SEP17	B 2 PD08DEC17	2312.00	2590.96	USD	13,948.00	
08SEP17	B 1 PD08DEC17	2312.50	2590.96	USD	6,961.50	
08SEP17	B 1 PD08DEC17	2314.00	2590.96	USD	6,924.00	
08SEP17	B 1 PD08DEC17	2305.00	2590.96	USD	7,149.00	
08SEP17	S 7 PD08DEC17	2264.80	2590.96	USD	-57,078.00	
	NET 0 AVG BGHT 2321.00 SOLD 2264.80				**-9,835.00**	**0.00**
13SEP17	B 1 PD13DEC17	2276.00	2591.60	USD	7,890.00	
13SEP17	S 1 PD13DEC17	2293.50	2591.60	USD	-7,452.50	
	NET 0 AVG BGHT 2276.00 SOLD 2293.50				**437.50**	**0.00**
15SEP17	S 1 PD15DEC17	2358.00	2591.86	USD	-5,846.50	
15SEP17	S 1 PD15DEC17	2358.50	2591.86	USD	-5,834.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0103G

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
15SEP17	S 4 PD15DEC17	2359.50	2591.86	USD	-23,236.00	
15SEP17	S 3 PD15DEC17	2360.50	2591.86	USD	-17,352.00	
15SEP17	S 1 PD15DEC17	2356.50	2591.86	USD	-5,884.00	
18SEP17	B 10 PD15DEC17	2389.40	2591.86	USD	50,615.00	
	NET 0 AVG BGHT 2389.40 SOLD 2359.25				**-7,537.50**	**0.00**
29SEP17	S 1 PD29DEC17	2485.00	2591.43	USD	-2,660.75	
29SEP17	S 1 PD29DEC17	2494.00	2591.43	USD	-2,435.75	
29SEP17	S 1 PD29DEC17	2486.50	2591.43	USD	-2,623.25	
29SEP17	S 1 PD29DEC17	2487.00	2591.43	USD	-2,610.75	
29SEP17	S 1 PD29DEC17	2488.50	2591.43	USD	-2,573.25	
29SEP17	S 1 PD29DEC17	2489.50	2591.43	USD	-2,548.25	
29SEP17	S 2 PD29DEC17	2490.00	2591.43	USD	-5,071.50	
29SEP17	S 1 PD29DEC17	2493.50	2591.43	USD	-2,448.25	
29SEP17	S 1 PD29DEC17	2497.00	2591.43	USD	-2,360.75	
29SEP17	S 1 PD29DEC17	2498.00	2591.43	USD	-2,335.75	
29SEP17	S 1 PD29DEC17	2500.00	2591.43	USD	-2,285.75	
29SEP17	S 1 PD29DEC17	2504.00	2591.43	USD	-2,185.75	
29SEP17	S 2 PD29DEC17	2498.50	2591.43	USD	-4,646.50	
29SEP17	S 1 PD29DEC17	2499.00	2591.43	USD	-2,310.75	
29SEP17	S 1 PD29DEC17	2495.00	2591.43	USD	-2,410.75	
29SEP17	B 17 PD29DEC17	2485.20	2591.43	USD	45,147.75	
	NET 0 AVG BGHT 2485.20 SOLD 2493.76				**3,640.00**	**0.00**
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
04JUL17	B 4 PD04OCT17	9181.00	10531.23	USD	32,405.52	
31JUL17	S 4 PD04OCT17	10202.00	10531.23	USD	-7,901.52	
	NET 0 AVG BGHT 9181.00 SOLD 10202.00				**24,504.00**	**0.00**
18JUL17	S 1 PD18OCT17	9640.00	10549.00	USD	-5,454.00	
18JUL17	S 1 PD18OCT17	9645.00	10549.00	USD	-5,424.00	
18JUL17	S 2 PD18OCT17	9650.00	10549.00	USD	-10,788.00	
18JUL17	S 2 PD18OCT17	9730.00	10549.00	USD	-9,828.00	
18JUL17	B 1 PD18OCT17	9740.00	10549.00	USD	4,854.00	
18JUL17	S 1 PD18OCT17	9735.00	10549.00	USD	-4,884.00	
18JUL17	S 2 PD18OCT17	9740.00	10549.00	USD	-9,708.00	
18JUL17	S 1 PD18OCT17	9760.00	10549.00	USD	-4,734.00	
18JUL17	S 1 PD18OCT17	9765.00	10549.00	USD	-4,704.00	
21JUL17	B 10 PD18OCT17	9522.00	10549.00	USD	61,620.00	
	NET 0 AVG BGHT 9541.82 SOLD 9707.73				**10,950.00**	**0.00**
24JUL17	S 1 PD24OCT17	9775.00	10554.79	USD	-4,678.74	
24JUL17	S 2 PD24OCT17	9770.00	10554.79	USD	-9,417.48	
26JUL17	B 3 PD24OCT17	10041.67	10554.79	USD	9,236.16	
	NET 0 AVG BGHT 10041.67 SOLD 9771.67				**-4,860.06**	**0.00**
25JUL17	S 1 PD25OCT17	10005.00	10555.75	USD	-3,304.50	
25JUL17	S 1 PD25OCT17	10010.00	10555.75	USD	-3,274.50	
26JUL17	B 2 PD25OCT17	10041.33	10555.75	USD	6,173.04	
	NET 0 AVG BGHT 10041.33 SOLD 10007.50				**-405.96**	**0.00**
26JUL17	S 1 PD26OCT17	10065.00	10556.71	USD	-2,950.26	
26JUL17	S 1 PD26OCT17	10070.00	10556.71	USD	-2,920.26	
26JUL17	S 1 PD26OCT17	9925.00	10556.71	USD	-3,790.26	
31JUL17	B 3 PD26OCT17	10214.65	10556.71	USD	6,157.08	
	NET 0 AVG BGHT 10214.65 SOLD 10020.00				**-3,503.70**	**0.00**
27JUL17	S 1 PD27OCT17	10020.00	10557.68	USD	-3,226.08	
27JUL17	S 3 PD27OCT17	10085.00	10557.68	USD	-8,508.24	
27JUL17	S 1 PD27OCT17	10120.00	10557.68	USD	-2,626.08	
27JUL17	S 2 PD27OCT17	10125.00	10557.68	USD	-5,192.16	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0103G

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
31JUL17	B 7 PD27OCT17	10214.92	10557.68	USD	14,395.92	
	NET 0 AVG BGHT 10214.92 SOLD 10092.14				**-5,156.64**	**0.00**
08SEP17	B 2 PD08DEC17	11725.00	10596.37	USD	-13,543.56	
08SEP17	B 1 PD08DEC17	11730.00	10596.37	USD	-6,801.78	
08SEP17	B 1 PD08DEC17	11750.00	10596.37	USD	-6,921.78	
08SEP17	B 1 PD08DEC17	11755.00	10596.37	USD	-6,951.78	
08SEP17	B 2 PD08DEC17	11780.00	10596.37	USD	-14,203.56	
08SEP17	B 1 PD08DEC17	11560.00	10596.37	USD	-5,781.78	
08SEP17	B 1 PD08DEC17	11575.00	10596.37	USD	-5,871.78	
08SEP17	B 3 PD08DEC17	11555.00	10596.37	USD	-17,255.34	
08SEP17	B 1 PD08DEC17	11570.00	10596.37	USD	-5,841.78	
27SEP17	S 3 PD08DEC17	10220.94	10596.37	USD	-6,757.74	
29SEP17	S 10 PD08DEC17	10478.87	10596.37	USD	-7,050.00	
	NET 0 AVG BGHT 11662.69 SOLD 10419.35				**-96,980.88**	**0.00**
13SEP17	B 1 PD13DEC17	11565.00	10600.80	USD	-5,785.20	
13SEP17	B 1 PD13DEC17	11420.00	10600.80	USD	-4,915.20	
13SEP17	B 1 PD13DEC17	11440.00	10600.80	USD	-5,035.20	
13SEP17	B 1 PD13DEC17	11365.00	10600.80	USD	-4,585.20	
13SEP17	B 1 PD13DEC17	11410.00	10600.80	USD	-4,855.20	
13SEP17	B 4 PD13DEC17	11385.00	10600.80	USD	-18,820.80	
29SEP17	S 7 PD13DEC17	10483.30	10600.80	USD	-4,935.00	
	NET +2 AVG BGHT 11415.56 SOLD 10483.30				**-48,931.80**	**0.00**
20SEP17	S 1 PD20DEC17	11360.00	10607.00	USD	4,518.00	
20SEP17	S 1 PD20DEC17	11365.00	10607.00	USD	4,548.00	
27SEP17	B 2 PD20DEC17	10232.50	10607.00	USD	4,494.00	
	NET 0 AVG BGHT 10232.50 SOLD 11362.50				**13,560.00**	**0.00**
21SEP17	S 1 PD21DEC17	11130.00	10608.00	USD	3,132.00	
27SEP17	B 1 PD21DEC17	10233.50	10608.00	USD	2,247.00	
	NET 0 AVG BGHT 10233.50 SOLD 11130.00				**5,379.00**	**0.00**
28SEP17	S 1 PD28DEC17	10315.00	10614.50	USD	-1,797.00	
28SEP17	S 2 PD28DEC17	10320.00	10614.50	USD	-3,534.00	
28SEP17	S 1 PD28DEC17	10350.00	10614.50	USD	-1,587.00	
28SEP17	S 1 PD28DEC17	10355.00	10614.50	USD	-1,557.00	
28SEP17	S 1 PD28DEC17	10380.00	10614.50	USD	-1,407.00	
28SEP17	S 1 PD28DEC17	10405.00	10614.50	USD	-1,257.00	
28SEP17	S 1 PD28DEC17	10410.00	10614.50	USD	-1,227.00	
28SEP17	S 1 PD28DEC17	10450.00	10614.50	USD	-987.00	
28SEP17	S 1 PD28DEC17	10470.00	10614.50	USD	-867.00	
28SEP17	S 1 PD28DEC17	10475.00	10614.50	USD	-837.00	
28SEP17	S 1 PD28DEC17	10480.00	10614.50	USD	-807.00	
28SEP17	S 1 PD28DEC17	10485.00	10614.50	USD	-777.00	
28SEP17	S 2 PD28DEC17	10460.00	10614.50	USD	-1,854.00	
28SEP17	S 2 PD28DEC17	10465.00	10614.50	USD	-1,794.00	
29SEP17	B 17 PD28DEC17	10499.79	10614.50	USD	11,700.42	
	NET 0 AVG BGHT 10499.79 SOLD 10415.59				**-8,588.58**	**0.00**
29SEP17	B 1 PD29DEC17	10495.00	10615.42	USD	722.52	
29SEP17	S 1 PD29DEC17	10435.00	10615.42	USD	-1,082.52	
29SEP17	S 1 PD29DEC17	10440.00	10615.42	USD	-1,052.52	
29SEP17	B 3 PD29DEC17	10430.00	10615.42	USD	3,337.56	
	NET +2 AVG BGHT 10446.25 SOLD 10437.50				**1,925.04**	**0.00**
	CMX SILVER 5,000 CMX					
12SEP17	B 1 DEC17	1780.5	1665.0	USD	-5,775.00	
	NET +1 AVG BGHT 1780.5				**-5,775.00**	**0.00**
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0103G

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME							
04JUL17	B	3	PD04OCT17	2781.00	3316.62	USD	40,171.50	
04JUL17	B	1	PD04OCT17	2781.50	3316.62	USD	13,378.00	
04JUL17	B	4	PD04OCT17	2780.50	3316.62	USD	53,612.00	
04JUL17	S	1	PD04OCT17	2769.50	3316.62	USD	-13,678.00	
04JUL17	S	1	PD04OCT17	2777.00	3316.62	USD	-13,490.50	
04JUL17	S	1	PD04OCT17	2779.00	3316.62	USD	-13,440.50	
04JUL17	S	1	PD04OCT17	2771.00	3316.62	USD	-13,640.50	
04JUL17	S	2	PD04OCT17	2776.50	3316.62	USD	-27,006.00	
04JUL17	S	1	PD04OCT17	2778.50	3316.62	USD	-13,453.00	
04JUL17	S	1	PD04OCT17	2780.00	3316.62	USD	-13,415.50	
04JUL17	S	1	PD04OCT17	2785.50	3316.62	USD	-13,278.00	
04JUL17	S	2	PD04OCT17	2786.50	3316.62	USD	-26,506.00	
04JUL17	S	1	PD04OCT17	2788.50	3316.62	USD	-13,203.00	
04JUL17	B	1	PD04OCT17	2788.50	3316.62	USD	13,203.00	
04JUL17	S	1	PD04OCT17	2785.00	3316.62	USD	-13,290.50	
04JUL17	B	4	PD04OCT17	2793.20	3316.62	USD	52,342.00	
	NET	**0**	**AVG BGHT 2785.22**	**SOLD 2780.00**			**-1,695.00**	**0.00**
05JUL17	B	1	PD05OCT17	2743.00	3313.50	USD	14,262.50	
05JUL17	B	2	PD05OCT17	2744.00	3313.50	USD	28,475.00	
05JUL17	B	1	PD05OCT17	2748.50	3313.50	USD	14,125.00	
13JUL17	S	4	PD05OCT17	2802.19	3313.50	USD	-51,131.00	
	NET	**0**	**AVG BGHT 2744.88**	**SOLD 2802.19**			**5,731.50**	**0.00**
06JUL17	B	2	PD06OCT17	2760.00	3310.38	USD	27,519.00	
06JUL17	B	3	PD06OCT17	2760.50	3310.38	USD	41,241.00	
06JUL17	S	1	PD06OCT17	2766.00	3310.38	USD	-13,609.50	
06JUL17	S	1	PD06OCT17	2768.00	3310.38	USD	-13,559.50	
06JUL17	S	1	PD06OCT17	2786.00	3310.38	USD	-13,109.50	
06JUL17	S	1	PD06OCT17	2797.50	3310.38	USD	-12,822.00	
06JUL17	S	1	PD06OCT17	2802.00	3310.38	USD	-12,709.50	
07JUL17	S	1	PD06OCT17	2798.00	3310.38	USD	-12,809.50	
07JUL17	S	1	PD06OCT17	2800.00	3310.38	USD	-12,759.50	
07JUL17	S	1	PD06OCT17	2800.50	3310.38	USD	-12,747.00	
07JUL17	B	1	PD06OCT17	2778.50	3310.38	USD	13,297.00	
07JUL17	B	2	PD06OCT17	2779.50	3310.38	USD	26,544.00	
07JUL17	S	1	PD06OCT17	2807.00	3310.38	USD	-12,584.50	
07JUL17	S	1	PD06OCT17	2801.00	3310.38	USD	-12,734.50	
07JUL17	S	1	PD06OCT17	2805.50	3310.38	USD	-12,622.00	
07JUL17	B	2	PD06OCT17	2772.50	3310.38	USD	26,894.00	
13JUL17	B	1	PD06OCT17	2802.62	3310.38	USD	12,694.00	
	NET	**0**	**AVG BGHT 2771.51**	**SOLD 2793.77**			**6,122.00**	**0.00**
10JUL17	S	1	PD10OCT17	2784.00	3307.25	USD	-13,081.25	
10JUL17	S	1	PD10OCT17	2784.50	3307.25	USD	-13,068.75	
10JUL17	S	2	PD10OCT17	2780.00	3307.25	USD	-26,362.50	
10JUL17	S	1	PD10OCT17	2781.00	3307.25	USD	-13,156.25	
13JUL17	B	3	PD10OCT17	2803.51	3307.25	USD	37,780.50	
13JUL17	B	2	PD10OCT17	2803.31	3307.25	USD	25,197.00	
	NET	**0**	**AVG BGHT 2803.43**	**SOLD 2781.90**			**-2,691.25**	**0.00**
11JUL17	B	1	PD11OCT17	2772.50	3304.13	USD	13,290.75	
11JUL17	B	1	PD11OCT17	2773.50	3304.13	USD	13,265.75	
11JUL17	B	1	PD11OCT17	2776.50	3304.13	USD	13,190.75	
11JUL17	B	1	PD11OCT17	2777.00	3304.13	USD	13,178.25	
11JUL17	B	2	PD11OCT17	2777.50	3304.13	USD	26,331.50	
11JUL17	S	1	PD11OCT17	2815.50	3304.13	USD	-12,215.75	
11JUL17	S	3	PD11OCT17	2816.00	3304.13	USD	-36,609.75	
11JUL17	S	2	PD11OCT17	2818.00	3304.13	USD	-24,306.50	
	NET	**0**	**AVG BGHT 2775.75**	**SOLD 2816.58**			**6,125.00**	**0.00**
12JUL17	B	1	PD12OCT17	2833.00	3301.00	USD	11,700.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0103G

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT								
12JUL17	B	1	PD12OCT17		2834.50	3301.00	USD	11,662.50	
12JUL17	B	3	PD12OCT17		2835.00	3301.00	USD	34,950.00	
12JUL17	B	1	PD12OCT17		2835.50	3301.00	USD	11,637.50	
12JUL17	S	1	PD12OCT17		2840.00	3301.00	USD	-11,525.00	
12JUL17	S	3	PD12OCT17		2840.50	3301.00	USD	-34,537.50	
12JUL17	S	2	PD12OCT17		2841.00	3301.00	USD	-23,000.00	
12JUL17	B	1	PD12OCT17		2834.00	3301.00	USD	11,675.00	
12JUL17	B	1	PD12OCT17		2848.00	3301.00	USD	11,325.00	
13JUL17	S	2	PD12OCT17		2803.55	3301.00	USD	-24,872.50	
	NET	**0**	**AVG BGHT 2836.25**	**SOLD 2831.33**				**-985.00**	**0.00**
13JUL17	S	1	PD13OCT17		2833.00	3297.88	USD	-11,622.00	
13JUL17	S	2	PD13OCT17		2841.00	3297.88	USD	-22,844.00	
13JUL17	S	1	PD13OCT17		2834.50	3297.88	USD	-11,584.50	
13JUL17	S	3	PD13OCT17		2835.00	3297.88	USD	-34,716.00	
13JUL17	S	2	PD13OCT17		2837.00	3297.88	USD	-23,044.00	
13JUL17	B	1	PD13OCT17		2801.50	3297.88	USD	12,409.50	
13JUL17	B	1	PD13OCT17		2802.50	3297.88	USD	12,384.50	
13JUL17	B	3	PD13OCT17		2801.00	3297.88	USD	37,266.00	
14JUL17	B	1	PD13OCT17		2791.50	3297.88	USD	12,659.50	
14JUL17	B	1	PD13OCT17		2793.50	3297.88	USD	12,609.50	
14JUL17	B	1	PD13OCT17		2768.00	3297.88	USD	13,247.00	
14JUL17	B	1	PD13OCT17		2769.00	3297.88	USD	13,222.00	
14JUL17	B	1	PD13OCT17		2769.50	3297.88	USD	13,209.50	
14JUL17	B	4	PD13OCT17		2770.00	3297.88	USD	52,788.00	
14JUL17	B	1	PD13OCT17		2773.00	3297.88	USD	13,122.00	
14JUL17	B	7	PD13OCT17		2773.50	3297.88	USD	91,766.50	
14JUL17	B	1	PD13OCT17		2787.50	3297.88	USD	12,759.50	
14JUL17	B	1	PD13OCT17		2788.00	3297.88	USD	12,747.00	
14JUL17	S	2	PD13OCT17		2790.50	3297.88	USD	-25,369.00	
14JUL17	S	1	PD13OCT17		2791.00	3297.88	USD	-12,672.00	
21JUL17	S	12	PD13OCT17		2754.96	3297.88	USD	-162,876.00	
	NET	**0**	**AVG BGHT 2780.90**	**SOLD 2790.00**				**5,463.00**	**0.00**
17JUL17	S	1	PD17OCT17		2824.50	3291.63	USD	-11,678.25	
17JUL17	S	2	PD17OCT17		2826.00	3291.63	USD	-23,281.50	
17JUL17	S	1	PD17OCT17		2830.00	3291.63	USD	-11,540.75	
17JUL17	S	1	PD17OCT17		2831.00	3291.63	USD	-11,515.75	
17JUL17	S	2	PD17OCT17		2831.50	3291.63	USD	-23,006.50	
17JUL17	S	2	PD17OCT17		2832.00	3291.63	USD	-22,981.50	
17JUL17	S	1	PD17OCT17		2834.50	3291.63	USD	-11,428.25	
17JUL17	S	1	PD17OCT17		2835.50	3291.63	USD	-11,403.25	
17JUL17	B	2	PD17OCT17		2823.50	3291.63	USD	23,406.50	
17JUL17	B	1	PD17OCT17		2826.00	3291.63	USD	11,640.75	
17JUL17	B	1	PD17OCT17		2821.00	3291.63	USD	11,765.75	
17JUL17	B	2	PD17OCT17		2822.00	3291.63	USD	23,481.50	
17JUL17	B	1	PD17OCT17		2822.50	3291.63	USD	11,728.25	
17JUL17	S	5	PD17OCT17		2824.00	3291.63	USD	-58,453.75	
17JUL17	S	5	PD17OCT17		2825.00	3291.63	USD	-58,328.75	
17JUL17	S	1	PD17OCT17		2830.50	3291.63	USD	-11,528.25	
17JUL17	B	1	PD17OCT17		2831.50	3291.63	USD	11,503.25	
17JUL17	B	1	PD17OCT17		2814.50	3291.63	USD	11,928.25	
17JUL17	B	1	PD17OCT17		2815.00	3291.63	USD	11,915.75	
17JUL17	B	3	PD17OCT17		2815.50	3291.63	USD	35,709.75	
17JUL17	B	1	PD17OCT17		2816.50	3291.63	USD	11,878.25	
21JUL17	B	8	PD17OCT17		2755.59	3291.63	USD	107,208.00	
	NET	**0**	**AVG BGHT 2796.78**	**SOLD 2827.73**				**17,019.50**	**0.00**
18JUL17	B	2	PD18OCT17		2794.00	3288.50	USD	24,725.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0103G

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
18JUL17	B 1 PD18OCT17	2790.50	3288.50	USD	12,450.00	
18JUL17	B 1 PD18OCT17	2793.50	3288.50	USD	12,375.00	
18JUL17	B 1 PD18OCT17	2777.50	3288.50	USD	12,775.00	
18JUL17	B 4 PD18OCT17	2778.00	3288.50	USD	51,050.00	
18JUL17	B 1 PD18OCT17	2780.50	3288.50	USD	12,700.00	
18JUL17	S 1 PD18OCT17	2790.00	3288.50	USD	-12,462.50	
18JUL17	S 2 PD18OCT17	2791.00	3288.50	USD	-24,875.00	
18JUL17	S 4 PD18OCT17	2791.50	3288.50	USD	-49,700.00	
18JUL17	S 1 PD18OCT17	2792.50	3288.50	USD	-12,400.00	
18JUL17	B 2 PD18OCT17	2781.00	3288.50	USD	25,375.00	
18JUL17	S 3 PD18OCT17	2793.00	3288.50	USD	-37,162.50	
18JUL17	S 1 PD18OCT17	2796.00	3288.50	USD	-12,312.50	
18JUL17	S 1 PD18OCT17	2795.00	3288.50	USD	-12,337.50	
18JUL17	S 1 PD18OCT17	2795.50	3288.50	USD	-12,325.00	
18JUL17	S 2 PD18OCT17	2794.00	3288.50	USD	-24,725.00	
21JUL17	B 4 PD18OCT17	2755.70	3288.50	USD	53,280.00	
	NET 0 AVG BGHT 2776.68 SOLD 2792.75				**6,430.00**	**0.00**
19JUL17	B 2 PD19OCT17	2772.50	3285.50	USD	25,650.00	
19JUL17	B 2 PD19OCT17	2773.00	3285.50	USD	25,625.00	
19JUL17	B 2 PD19OCT17	2773.50	3285.50	USD	25,600.00	
19JUL17	B 2 PD19OCT17	2766.50	3285.50	USD	25,950.00	
19JUL17	B 1 PD19OCT17	2767.50	3285.50	USD	12,950.00	
19JUL17	B 1 PD19OCT17	2766.00	3285.50	USD	12,987.50	
19JUL17	B 2 PD19OCT17	2743.50	3285.50	USD	27,100.00	
19JUL17	B 1 PD19OCT17	2743.00	3285.50	USD	13,562.50	
19JUL17	S 3 PD19OCT17	2735.00	3285.50	USD	-41,287.50	
19JUL17	S 1 PD19OCT17	2738.00	3285.50	USD	-13,687.50	
21JUL17	S 3 PD19OCT17	2753.50	3285.50	USD	-39,900.00	
26JUL17	S 6 PD19OCT17	2807.00	3285.50	USD	-71,775.00	
	NET 0 AVG BGHT 2764.19 SOLD 2772.73				**2,775.00**	**0.00**
20JUL17	S 1 PD20OCT17	2754.00	3282.50	USD	-13,212.50	
20JUL17	S 1 PD20OCT17	2755.00	3282.50	USD	-13,187.50	
20JUL17	S 1 PD20OCT17	2755.50	3282.50	USD	-13,175.00	
20JUL17	S 1 PD20OCT17	2756.00	3282.50	USD	-13,162.50	
20JUL17	S 1 PD20OCT17	2757.00	3282.50	USD	-13,137.50	
20JUL17	S 1 PD20OCT17	2757.50	3282.50	USD	-13,125.00	
20JUL17	S 1 PD20OCT17	2758.00	3282.50	USD	-13,112.50	
20JUL17	B 1 PD20OCT17	2719.00	3282.50	USD	14,087.50	
20JUL17	B 2 PD20OCT17	2720.50	3282.50	USD	28,100.00	
20JUL17	B 1 PD20OCT17	2722.00	3282.50	USD	14,012.50	
20JUL17	B 1 PD20OCT17	2723.00	3282.50	USD	13,987.50	
20JUL17	B 1 PD20OCT17	2723.50	3282.50	USD	13,975.00	
20JUL17	S 2 PD20OCT17	2727.00	3282.50	USD	-27,775.00	
21JUL17	S 1 PD20OCT17	2746.50	3282.50	USD	-13,400.00	
21JUL17	S 1 PD20OCT17	2748.00	3282.50	USD	-13,362.50	
21JUL17	S 1 PD20OCT17	2748.50	3282.50	USD	-13,350.00	
21JUL17	S 2 PD20OCT17	2749.50	3282.50	USD	-26,650.00	
21JUL17	S 1 PD20OCT17	2750.00	3282.50	USD	-13,312.50	
21JUL17	S 1 PD20OCT17	2762.50	3282.50	USD	-13,000.00	
21JUL17	S 2 PD20OCT17	2764.00	3282.50	USD	-25,925.00	
21JUL17	B 1 PD20OCT17	2764.50	3282.50	USD	12,950.00	
21JUL17	S 2 PD20OCT17	2764.50	3282.50	USD	-25,900.00	
21JUL17	S 2 PD20OCT17	2763.00	3282.50	USD	-25,975.00	
21JUL17	S 1 PD20OCT17	2765.50	3282.50	USD	-12,925.00	
21JUL17	S 1 PD20OCT17	2772.50	3282.50	USD	-12,750.00	
21JUL17	S 1 PD20OCT17	2771.50	3282.50	USD	-12,775.00	
21JUL17	S 2 PD20OCT17	2772.00	3282.50	USD	-25,525.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0103G

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
21JUL17	S 2 PD20OCT17	2773.00	3282.50	USD	-25,475.00	
21JUL17	S 2 PD20OCT17	2773.50	3282.50	USD	-25,450.00	
21JUL17	B 13 PD20OCT17	2753.70	3282.50	USD	171,860.00	
26JUL17	B 6 PD20OCT17	2807.34	3282.50	USD	71,274.00	
26JUL17	B 5 PD20OCT17	2807.14	3282.50	USD	59,420.00	
	NET 0 AVG BGHT 2766.80 SOLD 2759.06				**-5,996.00**	**0.00**
24JUL17	S 1 PD24OCT17	2786.50	3280.50	USD	-12,350.00	
24JUL17	S 2 PD24OCT17	2779.50	3280.50	USD	-25,050.00	
24JUL17	B 2 PD24OCT17	2773.50	3280.50	USD	25,350.00	
24JUL17	S 1 PD24OCT17	2793.50	3280.50	USD	-12,175.00	
24JUL17	S 1 PD24OCT17	2794.50	3280.50	USD	-12,150.00	
24JUL17	S 2 PD24OCT17	2796.00	3280.50	USD	-24,225.00	
24JUL17	S 1 PD24OCT17	2795.00	3280.50	USD	-12,137.50	
24JUL17	B 5 PD24OCT17	2774.50	3280.50	USD	63,250.00	
24JUL17	S 2 PD24OCT17	2779.00	3280.50	USD	-25,075.00	
24JUL17	S 2 PD24OCT17	2780.50	3280.50	USD	-25,000.00	
24JUL17	B 1 PD24OCT17	2785.00	3280.50	USD	12,387.50	
24JUL17	B 1 PD24OCT17	2784.50	3280.50	USD	12,400.00	
24JUL17	B 3 PD24OCT17	2784.00	3280.50	USD	37,237.50	
	NET 0 AVG BGHT 2778.42 SOLD 2786.63				**2,462.50**	**0.00**
25JUL17	S 1 PD25OCT17	2805.50	3279.50	USD	-11,850.00	
25JUL17	S 2 PD25OCT17	2811.50	3279.50	USD	-23,400.00	
25JUL17	S 2 PD25OCT17	2812.00	3279.50	USD	-23,375.00	
25JUL17	B 1 PD25OCT17	2828.00	3279.50	USD	11,287.50	
25JUL17	B 1 PD25OCT17	2824.50	3279.50	USD	11,375.00	
25JUL17	B 2 PD25OCT17	2825.50	3279.50	USD	22,700.00	
25JUL17	B 1 PD25OCT17	2826.50	3279.50	USD	11,325.00	
25JUL17	B 1 PD25OCT17	2836.00	3279.50	USD	11,087.50	
25JUL17	B 2 PD25OCT17	2835.50	3279.50	USD	22,200.00	
25JUL17	B 1 PD25OCT17	2848.00	3279.50	USD	10,787.50	
25JUL17	B 1 PD25OCT17	2838.00	3279.50	USD	11,037.50	
25JUL17	B 3 PD25OCT17	2839.00	3279.50	USD	33,037.50	
26JUL17	S 8 PD25OCT17	2807.66	3279.50	USD	-94,368.00	
	NET 0 AVG BGHT 2833.85 SOLD 2808.75				**-8,155.50**	**0.00**
26JUL17	B 1 PD26OCT17	2830.50	3278.50	USD	11,200.00	
26JUL17	B 4 PD26OCT17	2818.50	3278.50	USD	46,000.00	
26JUL17	B 1 PD26OCT17	2826.50	3278.50	USD	11,300.00	
26JUL17	B 2 PD26OCT17	2829.50	3278.50	USD	22,450.00	
26JUL17	S 1 PD26OCT17	2829.50	3278.50	USD	-11,225.00	
26JUL17	B 2 PD26OCT17	2805.50	3278.50	USD	23,650.00	
26JUL17	B 1 PD26OCT17	2812.50	3278.50	USD	11,650.00	
26JUL17	B 4 PD26OCT17	2818.00	3278.50	USD	46,050.00	
26JUL17	B 1 PD26OCT17	2816.50	3278.50	USD	11,550.00	
26JUL17	B 1 PD26OCT17	2820.00	3278.50	USD	11,462.50	
26JUL17	B 1 PD26OCT17	2821.00	3278.50	USD	11,437.50	
26JUL17	B 1 PD26OCT17	2809.50	3278.50	USD	11,725.00	
26JUL17	S 5 PD26OCT17	2809.85	3278.50	USD	-58,581.25	
26JUL17	B 1 PD26OCT17	2806.50	3278.50	USD	11,800.00	
26JUL17	B 1 PD26OCT17	2807.00	3278.50	USD	11,787.50	
26JUL17	B 1 PD26OCT17	2803.00	3278.50	USD	11,887.50	
26JUL17	B 1 PD26OCT17	2803.50	3278.50	USD	11,875.00	
26JUL17	S 15 PD26OCT17	2807.80	3278.50	USD	-176,512.50	
27JUL17	S 2 PD26OCT17	2800.55	3278.50	USD	-23,897.50	
	NET 0 AVG BGHT 2816.20 SOLD 2808.56				**-4,391.25**	**0.00**
27JUL17	S 1 PD27OCT17	2818.00	3277.50	USD	-11,487.50	
27JUL17	S 1 PD27OCT17	2822.00	3277.50	USD	-11,387.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0103G

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT								
27JUL17	S	1	PD27OCT17		2824.50	3277.50	USD	-11,325.00	
27JUL17	S	1	PD27OCT17		2832.00	3277.50	USD	-11,137.50	
27JUL17	S	1	PD27OCT17		2832.50	3277.50	USD	-11,125.00	
27JUL17	B	1	PD27OCT17		2812.50	3277.50	USD	11,625.00	
27JUL17	B	1	PD27OCT17		2813.50	3277.50	USD	11,600.00	
27JUL17	B	2	PD27OCT17		2795.00	3277.50	USD	24,125.00	
28JUL17	S	2	PD27OCT17		2790.00	3277.50	USD	-24,375.00	
08AUG17	B	3	PD27OCT17		2924.20	3277.50	USD	26,497.50	
	NET	**0**	**AVG BGHT 2855.51**	**SOLD 2815.57**				**-6,990.00**	**0.00**
31JUL17	B	1	PD31OCT17		2803.00	3275.50	USD	11,812.50	
31JUL17	B	1	PD31OCT17		2803.50	3275.50	USD	11,800.00	
31JUL17	B	3	PD31OCT17		2804.50	3275.50	USD	35,325.00	
31JUL17	S	2	PD31OCT17		2826.00	3275.50	USD	-22,475.00	
31JUL17	S	3	PD31OCT17		2826.50	3275.50	USD	-33,675.00	
31JUL17	S	1	PD31OCT17		2827.00	3275.50	USD	-11,212.50	
31JUL17	S	3	PD31OCT17		2827.50	3275.50	USD	-33,600.00	
31JUL17	B	1	PD31OCT17		2812.00	3275.50	USD	11,587.50	
31JUL17	B	1	PD31OCT17		2812.50	3275.50	USD	11,575.00	
31JUL17	B	1	PD31OCT17		2807.00	3275.50	USD	11,712.50	
31JUL17	B	3	PD31OCT17		2808.00	3275.50	USD	35,062.50	
31JUL17	B	1	PD31OCT17		2810.50	3275.50	USD	11,625.00	
31JUL17	B	1	PD31OCT17		2807.50	3275.50	USD	11,700.00	
31JUL17	B	2	PD31OCT17		2805.50	3275.50	USD	23,500.00	
31JUL17	B	5	PD31OCT17		2806.00	3275.50	USD	58,687.50	
31JUL17	B	1	PD31OCT17		2799.50	3275.50	USD	11,900.00	
31JUL17	B	1	PD31OCT17		2798.50	3275.50	USD	11,925.00	
31JUL17	B	1	PD31OCT17		2800.50	3275.50	USD	11,875.00	
31JUL17	B	1	PD31OCT17		2801.00	3275.50	USD	11,862.50	
31JUL17	B	1	PD31OCT17		2802.50	3275.50	USD	11,825.00	
31JUL17	B	1	PD31OCT17		2797.00	3275.50	USD	11,962.50	
31JUL17	B	1	PD31OCT17		2798.00	3275.50	USD	11,937.50	
31JUL17	B	1	PD31OCT17		2799.00	3275.50	USD	11,912.50	
31JUL17	S	4	PD31OCT17		2825.50	3275.50	USD	-45,000.00	
31JUL17	S	2	PD31OCT17		2808.50	3275.50	USD	-23,350.00	
31JUL17	S	2	PD31OCT17		2806.50	3275.50	USD	-23,450.00	
31JUL17	S	1	PD31OCT17		2807.00	3275.50	USD	-11,712.50	
31JUL17	S	2	PD31OCT17		2803.00	3275.50	USD	-23,625.00	
31JUL17	S	15	PD31OCT17		2794.80	3275.50	USD	-180,262.50	
31JUL17	B	5	PD31OCT17		2795.20	3275.50	USD	60,037.50	
08AUG17	B	2	PD31OCT17		2924.20	3275.50	USD	17,565.00	
	NET	**0**	**AVG BGHT 2810.14**	**SOLD 2808.80**				**-1,172.50**	**0.00**
28SEP17	S	4	PD02NOV17		3154.50	3273.50	USD	-11,900.00	
29SEP17	S	11	PD02NOV17		3170.05	3273.50	USD	-28,448.75	
29SEP17	S	5	PD02NOV17		3170.25	3273.50	USD	-12,906.25	
	NET	**-20**	**AVG SOLD 3166.99**					**-53,255.00**	**0.00**
03AUG17	S	1	PD03NOV17		2829.00	3272.00	USD	-11,075.00	
03AUG17	S	1	PD03NOV17		2830.00	3272.00	USD	-11,050.00	
03AUG17	B	1	PD03NOV17		2792.50	3272.00	USD	11,987.50	
03AUG17	B	3	PD03NOV17		2793.50	3272.00	USD	35,887.50	
03AUG17	B	1	PD03NOV17		2794.00	3272.00	USD	11,950.00	
03AUG17	B	1	PD03NOV17		2796.00	3272.00	USD	11,900.00	
03AUG17	B	1	PD03NOV17		2799.00	3272.00	USD	11,825.00	
04AUG17	S	2	PD03NOV17		2805.50	3272.00	USD	-23,325.00	
04AUG17	S	2	PD03NOV17		2807.00	3272.00	USD	-23,250.00	
04AUG17	S	1	PD03NOV17		2810.00	3272.00	USD	-11,550.00	
04AUG17	S	1	PD03NOV17		2811.50	3272.00	USD	-11,512.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0103G

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
04AUG17	S 1 PD03NOV17	2812.00	3272.00	USD	-11,500.00	
04AUG17	S 1 PD03NOV17	2813.00	3272.00	USD	-11,475.00	
04AUG17	S 4 PD03NOV17	2815.00	3272.00	USD	-45,700.00	
04AUG17	S 1 PD03NOV17	2817.50	3272.00	USD	-11,362.50	
04AUG17	S 1 PD03NOV17	2818.50	3272.00	USD	-11,337.50	
04AUG17	S 1 PD03NOV17	2819.00	3272.00	USD	-11,325.00	
04AUG17	S 1 PD03NOV17	2822.00	3272.00	USD	-11,250.00	
04AUG17	S 2 PD03NOV17	2822.50	3272.00	USD	-22,475.00	
04AUG17	S 1 PD03NOV17	2823.50	3272.00	USD	-11,212.50	
04AUG17	S 1 PD03NOV17	2826.50	3272.00	USD	-11,137.50	
04AUG17	S 1 PD03NOV17	2828.00	3272.00	USD	-11,100.00	
04AUG17	S 1 PD03NOV17	2830.50	3272.00	USD	-11,037.50	
04AUG17	S 2 PD03NOV17	2832.00	3272.00	USD	-22,000.00	
04AUG17	S 2 PD03NOV17	2834.00	3272.00	USD	-21,900.00	
04AUG17	B 1 PD03NOV17	2819.50	3272.00	USD	11,312.50	
04AUG17	B 1 PD03NOV17	2820.50	3272.00	USD	11,287.50	
04AUG17	B 3 PD03NOV17	2820.00	3272.00	USD	33,900.00	
04AUG17	B 1 PD03NOV17	2821.00	3272.00	USD	11,275.00	
04AUG17	S 4 PD03NOV17	2833.00	3272.00	USD	-43,900.00	
04AUG17	B 2 PD03NOV17	2812.50	3272.00	USD	22,975.00	
08AUG17	B 7 PD03NOV17	2924.70	3272.00	USD	60,777.50	
09AUG17	B 2 PD03NOV17	2930.33	3272.00	USD	17,083.50	
09AUG17	B 8 PD03NOV17	2930.53	3272.00	USD	68,294.00	
	NET 0 AVG BGHT 2871.43 SOLD 2821.41				**-40,020.00**	**0.00**
07AUG17	S 1 PD07NOV17	2830.50	3272.00	USD	-11,037.50	
07AUG17	S 3 PD07NOV17	2832.50	3272.00	USD	-32,962.50	
07AUG17	S 2 PD07NOV17	2833.00	3272.00	USD	-21,950.00	
07AUG17	S 2 PD07NOV17	2834.00	3272.00	USD	-21,900.00	
07AUG17	B 2 PD07NOV17	2868.00	3272.00	USD	20,200.00	
07AUG17	B 2 PD07NOV17	2868.50	3272.00	USD	20,175.00	
07AUG17	B 1 PD07NOV17	2869.00	3272.00	USD	10,075.00	
07AUG17	B 2 PD07NOV17	2867.50	3272.00	USD	20,225.00	
07AUG17	B 1 PD07NOV17	2865.00	3272.00	USD	10,175.00	
	NET 0 AVG BGHT 2867.75 SOLD 2832.75				**-7,000.00**	**0.00**
08AUG17	B 2 PD08NOV17	2935.50	3272.00	USD	16,825.00	
09AUG17	S 2 PD08NOV17	2931.11	3272.00	USD	-17,044.50	
	NET 0 AVG BGHT 2935.50 SOLD 2931.11				**-219.50**	**0.00**
10AUG17	B 1 PD10NOV17	2910.50	3272.00	USD	9,037.50	
10AUG17	B 1 PD10NOV17	2911.50	3272.00	USD	9,012.50	
10AUG17	B 3 PD10NOV17	2912.00	3272.00	USD	27,000.00	
11AUG17	B 1 PD10NOV17	2903.00	3272.00	USD	9,225.00	
11AUG17	B 2 PD10NOV17	2909.00	3272.00	USD	18,150.00	
11AUG17	B 2 PD10NOV17	2911.00	3272.00	USD	18,050.00	
11AUG17	B 2 PD10NOV17	2889.50	3272.00	USD	19,125.00	
11AUG17	B 1 PD10NOV17	2891.00	3272.00	USD	9,525.00	
11AUG17	B 1 PD10NOV17	2893.00	3272.00	USD	9,475.00	
11AUG17	B 1 PD10NOV17	2899.00	3272.00	USD	9,325.00	
11AUG17	B 2 PD10NOV17	2899.50	3272.00	USD	18,625.00	
11AUG17	B 1 PD10NOV17	2900.00	3272.00	USD	9,300.00	
11AUG17	B 1 PD10NOV17	2901.00	3272.00	USD	9,275.00	
11AUG17	B 1 PD10NOV17	2903.50	3272.00	USD	9,212.50	
11AUG17	S 1 PD10NOV17	2891.00	3272.00	USD	-9,525.00	
11AUG17	B 1 PD10NOV17	2905.00	3272.00	USD	9,175.00	
11AUG17	S 1 PD10NOV17	2918.50	3272.00	USD	-8,837.50	
11AUG17	S 2 PD10NOV17	2920.00	3272.00	USD	-17,600.00	
11AUG17	S 1 PD10NOV17	2920.50	3272.00	USD	-8,787.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0103G

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
11AUG17	S 1 PD10NOV17	2925.00	3272.00	USD	-8,675.00	
11AUG17	S 1 PD10NOV17	2924.50	3272.00	USD	-8,687.50	
11AUG17	S 1 PD10NOV17	2926.50	3272.00	USD	-8,637.50	
11AUG17	S 1 PD10NOV17	2927.00	3272.00	USD	-8,625.00	
11AUG17	S 1 PD10NOV17	2923.00	3272.00	USD	-8,725.00	
11AUG17	S 1 PD10NOV17	2922.00	3272.00	USD	-8,750.00	
11AUG17	S 1 PD10NOV17	2921.00	3272.00	USD	-8,775.00	
11AUG17	B 1 PD10NOV17	2896.00	3272.00	USD	9,400.00	
11AUG17	B 1 PD10NOV17	2905.50	3272.00	USD	9,162.50	
11AUG17	B 3 PD10NOV17	2908.00	3272.00	USD	27,300.00	
11AUG17	B 1 PD10NOV17	2906.50	3272.00	USD	9,137.50	
11AUG17	B 3 PD10NOV17	2891.50	3272.00	USD	28,537.50	
11AUG17	B 1 PD10NOV17	2892.00	3272.00	USD	9,500.00	
11AUG17	B 1 PD10NOV17	2892.50	3272.00	USD	9,487.50	
24AUG17	S 20 PD10NOV17	3118.48	3272.00	USD	-76,760.00	
	NET 0 AVG BGHT 2901.95 SOLD 3044.02				**113,652.50**	**0.00**
14AUG17	S 1 PD14NOV17	2919.00	3270.33	USD	-8,783.25	
14AUG17	S 1 PD14NOV17	2912.00	3270.33	USD	-8,958.25	
14AUG17	S 2 PD14NOV17	2911.00	3270.33	USD	-17,966.50	
24AUG17	B 4 PD14NOV17	3119.20	3270.33	USD	15,113.00	
	NET 0 AVG BGHT 3119.20 SOLD 2913.25				**-20,595.00**	**0.00**
16AUG17	S 4 PD16NOV17	2979.50	3267.50	USD	-28,800.00	
16AUG17	S 3 PD16NOV17	2980.00	3267.50	USD	-21,562.50	
16AUG17	S 2 PD16NOV17	2982.00	3267.50	USD	-14,275.00	
16AUG17	S 2 PD16NOV17	2981.00	3267.50	USD	-14,325.00	
16AUG17	S 1 PD16NOV17	2978.50	3267.50	USD	-7,225.00	
16AUG17	S 1 PD16NOV17	2979.00	3267.50	USD	-7,212.50	
16AUG17	S 3 PD16NOV17	2982.50	3267.50	USD	-21,375.00	
24AUG17	B 16 PD16NOV17	3117.20	3267.50	USD	60,120.00	
	NET 0 AVG BGHT 3117.20 SOLD 2980.56				**-54,655.00**	**0.00**
24AUG17	B 1 PD24NOV17	3115.50	3264.71	USD	3,730.25	
24AUG17	B 1 PD24NOV17	3116.00	3264.71	USD	3,717.75	
24AUG17	B 1 PD24NOV17	3117.00	3264.71	USD	3,692.75	
24AUG17	B 1 PD24NOV17	3118.50	3264.71	USD	3,655.25	
24AUG17	S 1 PD24NOV17	3110.00	3264.71	USD	-3,867.75	
24AUG17	B 1 PD24NOV17	3109.50	3264.71	USD	3,880.25	
24AUG17	S 1 PD24NOV17	3135.00	3264.71	USD	-3,242.75	
24AUG17	S 1 PD24NOV17	3135.50	3264.71	USD	-3,230.25	
24AUG17	S 1 PD24NOV17	3136.00	3264.71	USD	-3,217.75	
24AUG17	S 1 PD24NOV17	3136.50	3264.71	USD	-3,205.25	
25AUG17	B 1 PD24NOV17	3085.50	3264.71	USD	4,480.25	
25AUG17	B 1 PD24NOV17	3074.50	3264.71	USD	4,755.25	
25AUG17	B 1 PD24NOV17	3079.00	3264.71	USD	4,642.75	
25AUG17	B 1 PD24NOV17	3079.50	3264.71	USD	4,630.25	
25AUG17	B 1 PD24NOV17	3080.50	3264.71	USD	4,605.25	
25AUG17	B 1 PD24NOV17	3081.00	3264.71	USD	4,592.75	
25AUG17	B 2 PD24NOV17	3081.50	3264.71	USD	9,160.50	
25AUG17	B 1 PD24NOV17	3082.00	3264.71	USD	4,567.75	
25AUG17	B 1 PD24NOV17	3084.00	3264.71	USD	4,517.75	
25AUG17	B 1 PD24NOV17	3084.50	3264.71	USD	4,505.25	
25AUG17	B 2 PD24NOV17	3069.50	3264.71	USD	9,760.50	
25AUG17	B 1 PD24NOV17	3070.00	3264.71	USD	4,867.75	
25AUG17	B 1 PD24NOV17	3072.50	3264.71	USD	4,805.25	
25AUG17	B 1 PD24NOV17	3073.00	3264.71	USD	4,792.75	
25AUG17	B 1 PD24NOV17	3074.00	3264.71	USD	4,767.75	
25AUG17	B 3 PD24NOV17	3075.00	3264.71	USD	14,228.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0103G

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
25AUG17	B 1 PD24NOV17	3069.00	3264.71	USD	4,892.75	
25AUG17	B 1 PD24NOV17	3067.00	3264.71	USD	4,942.75	
25AUG17	B 1 PD24NOV17	3057.00	3264.71	USD	5,192.75	
25AUG17	B 1 PD24NOV17	3064.00	3264.71	USD	5,017.75	
25AUG17	B 1 PD24NOV17	3064.50	3264.71	USD	5,005.25	
25AUG17	S 1 PD24NOV17	3059.00	3264.71	USD	-5,142.75	
25AUG17	B 1 PD24NOV17	3071.50	3264.71	USD	4,830.25	
29AUG17	S 7 PD24NOV17	3108.80	3264.71	USD	-27,284.25	
30AUG17	S 14 PD24NOV17	3093.80	3264.71	USD	-59,818.50	
31AUG17	S 4 PD24NOV17	3145.00	3264.71	USD	-11,971.00	
	NET 0 AVG BGHT 3081.18 SOLD 3108.61				**21,257.50**	**0.00**
29AUG17	B 1 PD29NOV17	3115.00	3265.25	USD	3,756.25	
29AUG17	S 1 PD29NOV17	3113.00	3265.25	USD	-3,806.25	
29AUG17	S 1 PD29NOV17	3115.50	3265.25	USD	-3,743.75	
29AUG17	S 3 PD29NOV17	3116.00	3265.25	USD	-11,193.75	
29AUG17	S 2 PD29NOV17	3116.50	3265.25	USD	-7,437.50	
31AUG17	B 4 PD29NOV17	3146.03	3265.25	USD	11,922.00	
31AUG17	B 2 PD29NOV17	3145.83	3265.25	USD	5,971.00	
	NET 0 AVG BGHT 3141.54 SOLD 3115.64				**-4,532.00**	**0.00**
30AUG17	S 1 PD30NOV17	3124.50	3265.36	USD	-3,521.50	
30AUG17	S 5 PD30NOV17	3126.00	3265.36	USD	-17,420.00	
30AUG17	S 2 PD30NOV17	3126.50	3265.36	USD	-6,943.00	
30AUG17	S 4 PD30NOV17	3127.00	3265.36	USD	-13,836.00	
30AUG17	S 2 PD30NOV17	3150.00	3265.36	USD	-5,768.00	
30AUG17	B 1 PD30NOV17	3130.50	3265.36	USD	3,371.50	
30AUG17	B 1 PD30NOV17	3131.00	3265.36	USD	3,359.00	
30AUG17	B 3 PD30NOV17	3129.00	3265.36	USD	10,227.00	
30AUG17	B 1 PD30NOV17	3129.50	3265.36	USD	3,396.50	
30AUG17	B 1 PD30NOV17	3124.50	3265.36	USD	3,521.50	
30AUG17	B 1 PD30NOV17	3123.00	3265.36	USD	3,559.00	
30AUG17	B 1 PD30NOV17	3119.00	3265.36	USD	3,659.00	
30AUG17	B 1 PD30NOV17	3121.50	3265.36	USD	3,596.50	
30AUG17	B 2 PD30NOV17	3113.50	3265.36	USD	7,593.00	
30AUG17	B 1 PD30NOV17	3110.50	3265.36	USD	3,871.50	
30AUG17	B 1 PD30NOV17	3099.00	3265.36	USD	4,159.00	
30AUG17	B 1 PD30NOV17	3104.00	3265.36	USD	4,034.00	
30AUG17	B 1 PD30NOV17	3106.00	3265.36	USD	3,984.00	
30AUG17	B 1 PD30NOV17	3104.50	3265.36	USD	4,021.50	
30AUG17	B 2 PD30NOV17	3105.50	3265.36	USD	7,993.00	
30AUG17	S 1 PD30NOV17	3112.00	3265.36	USD	-3,834.00	
30AUG17	S 2 PD30NOV17	3111.50	3265.36	USD	-7,693.00	
30AUG17	B 1 PD30NOV17	3098.50	3265.36	USD	4,171.50	
30AUG17	B 3 PD30NOV17	3097.00	3265.36	USD	12,627.00	
30AUG17	S 4 PD30NOV17	3125.35	3265.36	USD	-14,001.00	
31AUG17	S 3 PD30NOV17	3108.00	3265.36	USD	-11,802.00	
31AUG17	S 4 PD30NOV17	3109.50	3265.36	USD	-15,586.00	
31AUG17	S 4 PD30NOV17	3110.00	3265.36	USD	-15,536.00	
31AUG17	S 1 PD30NOV17	3124.50	3265.36	USD	-3,521.50	
31AUG17	B 1 PD30NOV17	3127.00	3265.36	USD	3,459.00	
31AUG17	S 9 PD30NOV17	3109.00	3265.36	USD	-35,181.00	
31AUG17	S 1 PD30NOV17	3141.00	3265.36	USD	-3,109.00	
31AUG17	S 2 PD30NOV17	3139.00	3265.36	USD	-6,318.00	
31AUG17	S 1 PD30NOV17	3155.00	3265.36	USD	-2,759.00	
31AUG17	S 1 PD30NOV17	3155.50	3265.36	USD	-2,746.50	
31AUG17	S 1 PD30NOV17	3156.50	3265.36	USD	-2,721.50	
31AUG17	S 1 PD30NOV17	3157.00	3265.36	USD	-2,709.00	
31AUG17	S 2 PD30NOV17	3158.50	3265.36	USD	-5,343.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0103G

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
31AUG17	S	2	PD30NOV17	3159.50	3265.36	USD	-5,293.00	
31AUG17	S	1	PD30NOV17	3160.00	3265.36	USD	-2,634.00	
31AUG17	S	2	PD30NOV17	3161.50	3265.36	USD	-5,193.00	
31AUG17	S	2	PD30NOV17	3145.80	3265.36	USD	-5,978.00	
31AUG17	B	7	PD30NOV17	3146.20	3265.36	USD	20,853.00	
01SEP17	B	10	PD30NOV17	3185.06	3265.36	USD	20,075.00	
04SEP17	B	11	PD30NOV17	3204.70	3265.36	USD	16,681.50	
04SEP17	B	6	PD30NOV17	3204.50	3265.36	USD	9,129.00	
	NET	**0**	**AVG BGHT 3156.85**	**SOLD 3127.81**			**-42,105.00**	**0.00**
01SEP17	B	2	PD01DEC17	3155.50	3265.46	USD	5,498.00	
01SEP17	B	1	PD01DEC17	3209.00	3265.46	USD	1,411.50	
01SEP17	B	3	PD01DEC17	3210.00	3265.46	USD	4,159.50	
04SEP17	S	6	PD01DEC17	3204.43	3265.46	USD	-9,154.50	
	NET	**0**	**AVG BGHT 3191.67**	**SOLD 3204.43**			**1,914.50**	**0.00**
04SEP17	B	1	PD04DEC17	3198.00	3265.79	USD	1,694.75	
04SEP17	S	1	PD04DEC17	3198.50	3265.79	USD	-1,682.25	
	NET	**0**	**AVG BGHT 3198.00**	**SOLD 3198.50**			**12.50**	**0.00**
06SEP17	B	2	PD06DEC17	3100.50	3266.00	USD	8,275.00	
06SEP17	B	2	PD06DEC17	3101.00	3266.00	USD	8,250.00	
06SEP17	B	1	PD06DEC17	3101.50	3266.00	USD	4,112.50	
06SEP17	B	2	PD06DEC17	3102.50	3266.00	USD	8,175.00	
06SEP17	B	1	PD06DEC17	3103.00	3266.00	USD	4,075.00	
06SEP17	B	1	PD06DEC17	3104.50	3266.00	USD	4,037.50	
06SEP17	S	1	PD06DEC17	3104.50	3266.00	USD	-4,037.50	
12SEP17	S	8	PD06DEC17	3059.73	3266.00	USD	-41,254.00	
	NET	**0**	**AVG BGHT 3101.89**	**SOLD 3064.70**			**-8,366.50**	**0.00**
07SEP17	S	1	PD07DEC17	3102.50	3266.11	USD	-4,090.25	
07SEP17	S	1	PD07DEC17	3104.50	3266.11	USD	-4,040.25	
07SEP17	B	1	PD07DEC17	3075.50	3266.11	USD	4,765.25	
07SEP17	B	2	PD07DEC17	3078.00	3266.11	USD	9,405.50	
07SEP17	B	1	PD07DEC17	3078.50	3266.11	USD	4,690.25	
07SEP17	B	1	PD07DEC17	3079.50	3266.11	USD	4,665.25	
07SEP17	B	1	PD07DEC17	3081.50	3266.11	USD	4,615.25	
07SEP17	B	1	PD07DEC17	3077.50	3266.11	USD	4,715.25	
07SEP17	B	1	PD07DEC17	3079.00	3266.11	USD	4,677.75	
07SEP17	S	1	PD07DEC17	3090.50	3266.11	USD	-4,390.25	
07SEP17	S	1	PD07DEC17	3091.50	3266.11	USD	-4,365.25	
07SEP17	S	1	PD07DEC17	3092.50	3266.11	USD	-4,340.25	
07SEP17	S	1	PD07DEC17	3097.00	3266.11	USD	-4,227.75	
07SEP17	S	1	PD07DEC17	3098.00	3266.11	USD	-4,202.75	
07SEP17	S	3	PD07DEC17	3099.50	3266.11	USD	-12,495.75	
07SEP17	S	1	PD07DEC17	3100.00	3266.11	USD	-4,152.75	
07SEP17	S	1	PD07DEC17	3104.00	3266.11	USD	-4,052.75	
07SEP17	S	7	PD07DEC17	3103.00	3266.11	USD	-28,544.25	
12SEP17	B	8	PD07DEC17	3060.14	3266.11	USD	41,194.00	
12SEP17	B	3	PD07DEC17	3059.94	3266.11	USD	15,462.75	
	NET	**0**	**AVG BGHT 3067.81**	**SOLD 3100.00**			**15,289.00**	**0.00**
08SEP17	B	1	PD08DEC17	3104.00	3266.21	USD	4,055.25	
08SEP17	B	1	PD08DEC17	3094.00	3266.21	USD	4,305.25	
08SEP17	B	2	PD08DEC17	3098.00	3266.21	USD	8,410.50	
08SEP17	B	2	PD08DEC17	3101.50	3266.21	USD	8,235.50	
08SEP17	B	1	PD08DEC17	3091.50	3266.21	USD	4,367.75	
08SEP17	B	2	PD08DEC17	3092.00	3266.21	USD	8,710.50	
08SEP17	B	1	PD08DEC17	3092.50	3266.21	USD	4,342.75	
08SEP17	B	2	PD08DEC17	3094.50	3266.21	USD	8,585.50	
08SEP17	B	3	PD08DEC17	3096.50	3266.21	USD	12,728.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0103G

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
08SEP17	B 1 PD08DEC17	3089.00	3266.21	USD	4,430.25	
08SEP17	B 2 PD08DEC17	3089.50	3266.21	USD	8,835.50	
08SEP17	B 3 PD08DEC17	3090.50	3266.21	USD	13,178.25	
08SEP17	B 1 PD08DEC17	3033.50	3266.21	USD	5,817.75	
12SEP17	S 3 PD08DEC17	3059.95	3266.21	USD	-15,469.50	
12SEP17	S 17 PD08DEC17	3060.15	3266.21	USD	-87,575.50	
27SEP17	S 2 PD08DEC17	3108.27	3266.21	USD	-7,897.00	
	NET 0 AVG BGHT 3091.66 SOLD 3064.50				**-14,939.00**	**0.00**
11SEP17	S 1 PD11DEC17	3061.50	3266.54	USD	-5,126.00	
11SEP17	S 1 PD11DEC17	3053.00	3266.54	USD	-5,338.50	
11SEP17	S 1 PD11DEC17	3055.50	3266.54	USD	-5,276.00	
11SEP17	S 1 PD11DEC17	3057.00	3266.54	USD	-5,238.50	
11SEP17	S 1 PD11DEC17	3057.50	3266.54	USD	-5,226.00	
11SEP17	S 1 PD11DEC17	3058.00	3266.54	USD	-5,213.50	
11SEP17	S 4 PD11DEC17	3058.50	3266.54	USD	-20,804.00	
11SEP17	S 1 PD11DEC17	3060.00	3266.54	USD	-5,163.50	
11SEP17	S 2 PD11DEC17	3060.50	3266.54	USD	-10,302.00	
11SEP17	S 2 PD11DEC17	3059.00	3266.54	USD	-10,377.00	
11SEP17	S 2 PD11DEC17	3055.00	3266.54	USD	-10,577.00	
12SEP17	B 17 PD11DEC17	3060.99	3266.54	USD	87,358.75	
	NET 0 AVG BGHT 3060.99 SOLD 3057.97				**-1,283.25**	**0.00**
12SEP17	B 1 PD12DEC17	3060.50	3266.64	USD	5,153.50	
12SEP17	B 1 PD12DEC17	3061.50	3266.64	USD	5,128.50	
12SEP17	B 3 PD12DEC17	3061.00	3266.64	USD	15,423.00	
12SEP17	B 1 PD12DEC17	3062.00	3266.64	USD	5,116.00	
27SEP17	S 6 PD12DEC17	3108.52	3266.64	USD	-23,718.00	
	NET 0 AVG BGHT 3061.17 SOLD 3108.52				**7,103.00**	**0.00**
13SEP17	S 1 PD13DEC17	3061.00	3266.75	USD	-5,143.75	
13SEP17	S 1 PD13DEC17	3061.50	3266.75	USD	-5,131.25	
13SEP17	S 1 PD13DEC17	3063.50	3266.75	USD	-5,081.25	
13SEP17	S 1 PD13DEC17	3055.00	3266.75	USD	-5,293.75	
13SEP17	B 1 PD13DEC17	3032.50	3266.75	USD	5,856.25	
13SEP17	B 1 PD13DEC17	3034.00	3266.75	USD	5,818.75	
13SEP17	B 1 PD13DEC17	3035.00	3266.75	USD	5,793.75	
13SEP17	B 1 PD13DEC17	3035.50	3266.75	USD	5,781.25	
13SEP17	B 1 PD13DEC17	3036.00	3266.75	USD	5,768.75	
13SEP17	B 1 PD13DEC17	3030.50	3266.75	USD	5,906.25	
13SEP17	B 1 PD13DEC17	3027.50	3266.75	USD	5,981.25	
13SEP17	B 1 PD13DEC17	3029.00	3266.75	USD	5,943.75	
13SEP17	B 3 PD13DEC17	3029.50	3266.75	USD	17,793.75	
13SEP17	S 1 PD13DEC17	3038.00	3266.75	USD	-5,718.75	
13SEP17	S 2 PD13DEC17	3039.00	3266.75	USD	-11,387.50	
13SEP17	S 1 PD13DEC17	3039.50	3266.75	USD	-5,681.25	
13SEP17	S 2 PD13DEC17	3041.00	3266.75	USD	-11,287.50	
13SEP17	S 1 PD13DEC17	3040.50	3266.75	USD	-5,656.25	
13SEP17	S 1 PD13DEC17	3042.50	3266.75	USD	-5,606.25	
27SEP17	B 1 PD13DEC17	3108.78	3266.75	USD	3,949.25	
	NET 0 AVG BGHT 3038.11 SOLD 3046.79				**2,605.50**	**0.00**
14SEP17	S 1 PD14DEC17	3036.50	3266.86	USD	-5,759.00	
14SEP17	B 1 PD14DEC17	2981.50	3266.86	USD	7,134.00	
14SEP17	B 2 PD14DEC17	2982.00	3266.86	USD	14,243.00	
14SEP17	B 1 PD14DEC17	2982.50	3266.86	USD	7,109.00	
14SEP17	S 3 PD14DEC17	3035.50	3266.86	USD	-17,352.00	
	NET 0 AVG BGHT 2982.00 SOLD 3035.75				**5,375.00**	**0.00**
15SEP17	S 1 PD15DEC17	3011.00	3266.96	USD	-6,399.00	
15SEP17	S 1 PD15DEC17	3012.50	3266.96	USD	-6,361.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0103G

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
15SEP17	S 3 PD15DEC17	3013.00	3266.96	USD	-19,047.00	
15SEP17	S 1 PD15DEC17	3012.00	3266.96	USD	-6,374.00	
27SEP17	B 6 PD15DEC17	3108.90	3266.96	USD	23,709.00	
	NET 0 AVG BGHT 3108.90 SOLD 3012.42				**-14,472.50**	**0.00**
21SEP17	B 1 PD21DEC17	3032.00	3264.00	USD	5,800.00	
21SEP17	B 1 PD21DEC17	3038.50	3264.00	USD	5,637.50	
21SEP17	B 4 PD21DEC17	3040.00	3264.00	USD	22,400.00	
21SEP17	B 1 PD21DEC17	3041.00	3264.00	USD	5,575.00	
21SEP17	B 2 PD21DEC17	3041.50	3264.00	USD	11,125.00	
21SEP17	B 1 PD21DEC17	3025.50	3264.00	USD	5,962.50	
21SEP17	B 1 PD21DEC17	3027.00	3264.00	USD	5,925.00	
21SEP17	B 2 PD21DEC17	3028.00	3264.00	USD	11,800.00	
21SEP17	B 1 PD21DEC17	3028.50	3264.00	USD	5,887.50	
21SEP17	B 1 PD21DEC17	3029.00	3264.00	USD	5,875.00	
21SEP17	B 2 PD21DEC17	3040.50	3264.00	USD	11,175.00	
21SEP17	B 1 PD21DEC17	3027.50	3264.00	USD	5,912.50	
27SEP17	S 5 PD21DEC17	3106.50	3264.00	USD	-19,687.50	
29SEP17	S 13 PD21DEC17	3162.75	3264.00	USD	-32,906.25	
	NET 0 AVG BGHT 3034.94 SOLD 3147.13				**50,481.25**	**0.00**
22SEP17	S 2 PD22DEC17	3036.00	3264.00	USD	-11,400.00	
22SEP17	S 2 PD22DEC17	3038.00	3264.00	USD	-11,300.00	
22SEP17	S 2 PD22DEC17	3039.00	3264.00	USD	-11,250.00	
27SEP17	B 6 PD22DEC17	3105.95	3264.00	USD	23,707.50	
	NET 0 AVG BGHT 3105.95 SOLD 3037.67				**-10,242.50**	**0.00**
28SEP17	S 1 PD28DEC17	3106.00	3264.00	USD	-3,950.00	
28SEP17	S 1 PD28DEC17	3106.50	3264.00	USD	-3,937.50	
28SEP17	S 2 PD28DEC17	3109.00	3264.00	USD	-7,750.00	
28SEP17	S 1 PD28DEC17	3111.00	3264.00	USD	-3,825.00	
28SEP17	S 1 PD28DEC17	3112.00	3264.00	USD	-3,800.00	
28SEP17	B 1 PD28DEC17	3118.50	3264.00	USD	3,637.50	
28SEP17	B 1 PD28DEC17	3119.50	3264.00	USD	3,612.50	
28SEP17	B 1 PD28DEC17	3121.00	3264.00	USD	3,575.00	
28SEP17	B 1 PD28DEC17	3115.00	3264.00	USD	3,725.00	
28SEP17	S 1 PD28DEC17	3128.50	3264.00	USD	-3,387.50	
28SEP17	S 1 PD28DEC17	3130.00	3264.00	USD	-3,350.00	
28SEP17	S 1 PD28DEC17	3130.50	3264.00	USD	-3,337.50	
28SEP17	S 1 PD28DEC17	3131.50	3264.00	USD	-3,312.50	
28SEP17	B 4 PD28DEC17	3147.20	3264.00	USD	11,680.00	
29SEP17	B 2 PD28DEC17	3162.39	3264.00	USD	5,080.50	
	NET 0 AVG BGHT 3138.76 SOLD 3117.40				**-5,339.50**	**0.00**
29SEP17	S 1 PD29DEC17	3161.00	3264.00	USD	-2,575.00	
29SEP17	S 2 PD29DEC17	3161.50	3264.00	USD	-5,125.00	
29SEP17	S 3 PD29DEC17	3162.00	3264.00	USD	-7,650.00	
29SEP17	S 2 PD29DEC17	3162.50	3264.00	USD	-5,075.00	
29SEP17	S 3 PD29DEC17	3163.00	3264.00	USD	-7,575.00	
29SEP17	S 1 PD29DEC17	3170.00	3264.00	USD	-2,350.00	
29SEP17	S 1 PD29DEC17	3157.00	3264.00	USD	-2,675.00	
29SEP17	S 1 PD29DEC17	3160.00	3264.00	USD	-2,600.00	
29SEP17	B 1 PD29DEC17	3155.00	3264.00	USD	2,725.00	
29SEP17	B 3 PD29DEC17	3152.00	3264.00	USD	8,400.00	
29SEP17	B 1 PD29DEC17	3153.50	3264.00	USD	2,762.50	
29SEP17	B 1 PD29DEC17	3154.00	3264.00	USD	2,750.00	
29SEP17	B 1 PD29DEC17	3146.50	3264.00	USD	2,937.50	
29SEP17	B 1 PD29DEC17	3149.00	3264.00	USD	2,875.00	
29SEP17	B 2 PD29DEC17	3150.50	3264.00	USD	5,675.00	
29SEP17	B 2 PD29DEC17	3151.50	3264.00	USD	5,625.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0103G

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
29SEP17	S 2 PD29DEC17	3157.50	3264.00	USD	-5,325.00	
29SEP17	B 5 PD29DEC17	3151.00	3264.00	USD	14,125.00	
29SEP17	S 8 PD29DEC17	3146.85	3264.00	USD	-23,430.00	
29SEP17	S 3 PD29DEC17	3150.85	3264.00	USD	-8,486.25	
29SEP17	B 16 PD29DEC17	3162.20	3264.00	USD	40,720.00	
29SEP17	S 6 PD29DEC17	3151.85	3264.00	USD	-16,822.50	
	NET 0 AVG BGHT 3156.61 SOLD 3155.29				**-1,093.75**	**0.00**

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	138,760.00
LME Lead 25 tonnes. This is an	FUTURES	1,054,229.75
LME Nickel 6 tonnes. This is	FUTURES	-366,704.22
CMX Silver	FUTURES	-89,025.00
LME Zinc 25 tonnes. This is	FUTURES	1,596,374.25

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options			Amount	Profit / Loss	**Total Amount**	**Total P&L**
SILV	- Futures	USD	-5,775.00	-5,775.00	**-5,775.00**	**-5,775.00**
Unrealised Profits & Losses - Forwards			**Amount**	**Profit / Loss**	**Total Amount**	**Total P&L**
ALUL	- Futures	USD	-20,021.00	-20,021.00		
LEAD	- Futures	USD	-45,670.25	-45,670.25		
NKL	- Futures	USD	-112,109.58	-112,109.58		
ZINC	- Futures	USD	-40,375.75	-40,375.75	**-218,176.58**	**-218,176.58**

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		100,719.49	100,719.49
Variation Margins	- Futures	-5,775.00	-5,775.00
	- Options	0.00	0.00
TOTAL EQUITY		**94,944.49**	**94,944.49**
Initial Margins		-443,700.58	-443,700.58
Excess/(Shortage)		**-348,756.09**	**-348,756.09**
FOR INFORMATION ONLY			
Forward Unrealised P&L		-218,176.58	-218,176.58
Net Liquidating Value		**-123,232.09**	**-123,232.09**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0103H

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
22SEP17	S 1 PD02NOV17	2475.57	2588.00	USD	-2,810.75	
22SEP17	S 1 PD02NOV17	2468.82	2588.00	USD	-2,979.50	
22SEP17	S 1 PD02NOV17	2484.00	2588.00	USD	-2,600.00	
29SEP17	B 1 PD02NOV17	2477.72	2588.00	USD	2,757.00	
29SEP17	B 2 PD02NOV17	2479.22	2588.00	USD	5,439.00	
	NET 0 AVG BGHT 2478.72 SOLD 2476.13				**-194.25**	**0.00**
22SEP17	S 1 PD22DEC17	2468.50	2592.07	USD	-3,089.25	
22SEP17	S 1 PD22DEC17	2482.00	2592.07	USD	-2,751.75	
22SEP17	S 1 PD22DEC17	2478.00	2592.07	USD	-2,851.75	
22SEP17	B 1 PD22DEC17	2478.65	2592.07	USD	2,835.50	
22SEP17	B 1 PD22DEC17	2471.90	2592.07	USD	3,004.25	
22SEP17	B 1 PD22DEC17	2484.37	2592.07	USD	2,692.50	
	NET 0 AVG BGHT 2478.31 SOLD 2476.17				**-160.50**	**0.00**
29SEP17	B 1 PD29DEC17	2475.50	2591.43	USD	2,898.25	
29SEP17	B 1 PD29DEC17	2474.50	2591.43	USD	2,923.25	
29SEP17	B 1 PD29DEC17	2474.00	2591.43	USD	2,935.75	
29SEP17	S 1 PD29DEC17	2471.85	2591.43	USD	-2,989.50	
29SEP17	S 2 PD29DEC17	2473.35	2591.43	USD	-5,904.00	
	NET 0 AVG BGHT 2474.67 SOLD 2472.85				**-136.25**	**0.00**
	CMX SILVER 5,000 CMX					
22SEP17	B 1 DEC17	1705.5	1665.0	USD	-2,025.00	
26SEP17	B 1 DEC17	1702.5	1665.0	USD	-1,875.00	
26SEP17	B 1 DEC17	1695.5	1665.0	USD	-1,525.00	
	NET +3 AVG BGHT 1701.2				**-5,425.00**	**0.00**
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
15SEP17	S 1 PD02NOV17	3030.00	3273.50	USD	-6,087.50	
18SEP17	S 1 PD02NOV17	3086.33	3273.50	USD	-4,679.25	
18SEP17	S 1 PD02NOV17	3087.83	3273.50	USD	-4,641.75	
18SEP17	S 3 PD02NOV17	3087.08	3273.50	USD	-13,981.50	
18SEP17	S 2 PD02NOV17	3079.32	3273.50	USD	-9,709.00	
18SEP17	S 2 PD02NOV17	3078.32	3273.50	USD	-9,759.00	
22SEP17	B 1 PD02NOV17	3027.13	3273.50	USD	6,159.25	
	NET -9 AVG BGHT 3027.13 SOLD 3078.07				**-42,698.75**	**0.00**
15SEP17	B 1 PD15DEC17	3034.16	3266.96	USD	5,820.00	
15SEP17	S 1 PD15DEC17	3033.50	3266.96	USD	-5,836.50	
	NET 0 AVG BGHT 3034.16 SOLD 3033.50				**-16.50**	**0.00**
18SEP17	S 1 PD18DEC17	3083.00	3267.29	USD	-4,607.25	
18SEP17	S 1 PD18DEC17	3084.00	3267.29	USD	-4,582.25	
18SEP17	S 2 PD18DEC17	3081.50	3267.29	USD	-9,289.50	
18SEP17	S 3 PD18DEC17	3088.00	3267.29	USD	-13,446.75	
18SEP17	S 1 PD18DEC17	3088.50	3267.29	USD	-4,469.75	
18SEP17	S 1 PD18DEC17	3089.00	3267.29	USD	-4,457.25	
18SEP17	B 1 PD18DEC17	3088.66	3267.29	USD	4,465.75	
18SEP17	B 1 PD18DEC17	3090.16	3267.29	USD	4,428.25	
18SEP17	B 3 PD18DEC17	3089.41	3267.29	USD	13,341.00	
18SEP17	B 2 PD18DEC17	3082.65	3267.29	USD	9,232.00	
18SEP17	B 2 PD18DEC17	3081.65	3267.29	USD	9,282.00	
	NET 0 AVG BGHT 3086.18 SOLD 3085.72				**-103.75**	**0.00**
22SEP17	B 1 PD22DEC17	3044.00	3264.00	USD	5,500.00	
22SEP17	B 1 PD22DEC17	3018.00	3264.00	USD	6,150.00	
22SEP17	S 1 PD22DEC17	3018.85	3264.00	USD	-6,128.75	
	NET +1 AVG BGHT 3031.00 SOLD 3018.85				**5,521.25**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0103H

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)

OPEN POSITION VALUE

		USD
LME Lead 25 tonnes. This is an	FUTURES	-491.00
CMX Silver	FUTURES	-255,175.00
LME Zinc 25 tonnes. This is	FUTURES	617,639.75

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options			Amount	Profit / Loss	**Total Amount**	**Total P&L**
SILV	- Futures	USD	-5,425.00	-5,425.00	**-5,425.00**	**-5,425.00**
Unrealised Profits & Losses - Forwards			**Amount**	**Profit / Loss**	**Total Amount**	**Total P&L**
LEAD	- Futures	USD	-491.00	-491.00		
ZINC	- Futures	USD	-37,297.75	-37,297.75	**-37,788.75**	**-37,788.75**

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		97,499.70	97,499.70
Variation Margins	- Futures	-5,425.00	-5,425.00
	- Options	0.00	0.00
TOTAL EQUITY		**92,074.70**	**92,074.70**
Initial Margins		-95,307.75	-95,307.75
Excess/(Shortage)		**-3,233.05**	**-3,233.05**
FOR INFORMATION ONLY			
Forward Unrealised P&L		-37,788.75	-37,788.75
Net Liquidating Value		**54,285.95**	**54,285.95**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0103I

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
11SEP17	S 1 PD11DEC17	2125.50	2125.31	USD	4.75	
11SEP17	S 1 PD11DEC17	2137.50	2125.31	USD	304.75	
11SEP17	S 1 PD11DEC17	2123.00	2125.31	USD	-57.75	
15SEP17	B 1 PD11DEC17	2085.00	2125.31	USD	1,007.75	
28SEP17	B 2 PD11DEC17	2126.05	2125.31	USD	-37.00	
	NET 0 AVG BGHT 2112.37 SOLD 2128.67				**1,222.50**	**0.00**
12SEP17	S 1 PD12DEC17	2125.00	2125.58	USD	-14.50	
12SEP17	S 1 PD12DEC17	2135.50	2125.58	USD	248.00	
28SEP17	B 2 PD12DEC17	2126.35	2125.58	USD	-38.50	
	NET 0 AVG BGHT 2126.35 SOLD 2130.25				**195.00**	**0.00**
14SEP17	B 1 PD14DEC17	2084.00	2126.12	USD	1,053.00	
15SEP17	S 1 PD14DEC17	2085.55	2126.12	USD	-1,014.25	
	NET 0 AVG BGHT 2084.00 SOLD 2085.55				**38.75**	**0.00**
15SEP17	S 1 PD15DEC17	2104.50	2126.39	USD	-547.25	
28SEP17	B 1 PD15DEC17	2127.25	2126.39	USD	-21.50	
	NET 0 AVG BGHT 2127.25 SOLD 2104.50				**-568.75**	**0.00**
19SEP17	B 1 PD19DEC17	2096.50	2127.48	USD	774.50	
19SEP17	S 1 PD19DEC17	2112.50	2127.48	USD	-374.50	
	NET 0 AVG BGHT 2096.50 SOLD 2112.50				**400.00**	**0.00**
25SEP17	B 2 PD27DEC17	2136.00	2129.38	USD	-331.00	
25SEP17	S 1 PD27DEC17	2153.00	2129.38	USD	590.50	
26SEP17	B 1 PD27DEC17	2125.50	2129.38	USD	97.00	
27SEP17	B 1 PD27DEC17	2126.00	2129.38	USD	84.50	
27SEP17	B 1 PD27DEC17	2127.50	2129.38	USD	47.00	
27SEP17	B 1 PD27DEC17	2129.00	2129.38	USD	9.50	
28SEP17	S 5 PD27DEC17	2130.52	2129.38	USD	142.50	
	NET 0 AVG BGHT 2130.00 SOLD 2134.27				**640.00**	**0.00**
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
01SEP17	S 2 PD01DEC17	2405.00	2590.06	USD	-9,253.00	
13SEP17	B 2 PD01DEC17	2286.22	2590.06	USD	15,192.00	
	NET 0 AVG BGHT 2286.22 SOLD 2405.00				**5,939.00**	**0.00**
04SEP17	B 1 PD04DEC17	2398.00	2590.44	USD	4,811.00	
04SEP17	B 1 PD04DEC17	2397.00	2590.44	USD	4,836.00	
13SEP17	S 2 PD04DEC17	2286.97	2590.44	USD	-15,173.50	
	NET 0 AVG BGHT 2397.50 SOLD 2286.97				**-5,526.50**	**0.00**
05SEP17	S 1 PD05DEC17	2340.50	2590.57	USD	-6,251.75	
05SEP17	S 1 PD05DEC17	2338.00	2590.57	USD	-6,314.25	
05SEP17	B 1 PD05DEC17	2332.50	2590.57	USD	6,451.75	
15SEP17	B 1 PD05DEC17	2356.25	2590.57	USD	5,858.00	
	NET 0 AVG BGHT 2344.38 SOLD 2339.25				**-256.25**	**0.00**
06SEP17	S 1 PD06DEC17	2356.00	2590.70	USD	-5,867.50	
13SEP17	B 1 PD06DEC17	2287.80	2590.70	USD	7,572.50	
	NET 0 AVG BGHT 2287.80 SOLD 2356.00				**1,705.00**	**0.00**
07SEP17	B 2 PD07DEC17	2316.50	2590.83	USD	13,716.50	
07SEP17	S 2 PD07DEC17	2318.00	2590.83	USD	-13,641.50	
	NET 0 AVG BGHT 2316.50 SOLD 2318.00				**75.00**	**0.00**
08SEP17	B 1 PD08DEC17	2304.00	2590.96	USD	7,174.00	
13SEP17	S 1 PD08DEC17	2288.23	2590.96	USD	-7,568.25	
	NET 0 AVG BGHT 2304.00 SOLD 2288.23				**-394.25**	**0.00**
11SEP17	S 1 PD11DEC17	2283.00	2591.34	USD	-7,708.50	
21SEP17	B 1 PD11DEC17	2517.87	2591.34	USD	1,836.75	
	NET 0 AVG BGHT 2517.87 SOLD 2283.00				**-5,871.75**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0103I

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
12SEP17	S 1 PD12DEC17	2314.00	2591.47	USD	-6,936.75	
21SEP17	B 1 PD12DEC17	2517.89	2591.47	USD	1,839.50	
	NET 0 AVG BGHT 2517.89 SOLD 2314.00				**-5,097.25**	**0.00**
13SEP17	S 1 PD13DEC17	2298.50	2591.60	USD	-7,327.50	
21SEP17	B 1 PD13DEC17	2517.90	2591.60	USD	1,842.50	
	NET 0 AVG BGHT 2517.90 SOLD 2298.50				**-5,485.00**	**0.00**
14SEP17	B 1 PD14DEC17	2300.50	2591.73	USD	7,280.75	
15SEP17	S 1 PD14DEC17	2358.53	2591.73	USD	-5,830.00	
	NET 0 AVG BGHT 2300.50 SOLD 2358.53				**1,450.75**	**0.00**
20SEP17	B 1 PD20DEC17	2457.50	2592.50	USD	3,375.00	
20SEP17	B 1 PD20DEC17	2462.00	2592.50	USD	3,262.50	
20SEP17	B 1 PD20DEC17	2459.00	2592.50	USD	3,337.50	
21SEP17	S 3 PD20DEC17	2517.80	2592.50	USD	-5,602.50	
	NET 0 AVG BGHT 2459.50 SOLD 2517.80				**4,372.50**	**0.00**
22SEP17	B 1 PD22DEC17	2429.00	2592.07	USD	4,076.75	
22SEP17	B 1 PD22DEC17	2397.00	2592.07	USD	4,876.75	
22SEP17	S 1 PD22DEC17	2452.00	2592.07	USD	-3,501.75	
22SEP17	S 1 PD22DEC17	2448.00	2592.07	USD	-3,601.75	
22SEP17	S 1 PD22DEC17	2467.00	2592.07	USD	-3,126.75	
03OCT17	B 1 PD22DEC17	2592.07	2592.07	USD	0.00	
	NET 0 AVG BGHT 2472.69 SOLD 2455.67				**-1,276.75**	**0.00**
28SEP17	B 1 PD28DEC17	2468.50	2591.64	USD	3,078.50	
03OCT17	S 1 PD28DEC17	2591.44	2591.64	USD	-5.00	
	NET 0 AVG BGHT 2468.50 SOLD 2591.44				**3,073.50**	**0.00**
	CMX SILVER 5,000 CMX					
21SEP17	B 1 DEC17	1698.0	1665.0	USD	-1,650.00	
25SEP17	B 1 DEC17	1708.0	1665.0	USD	-2,150.00	
28SEP17	B 1 DEC17	1678.0	1665.0	USD	-650.00	
	NET +3 AVG BGHT 1694.7				**-4,450.00**	**0.00**
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
16AUG17	S 1 PD16NOV17	3022.50	3267.50	USD	-6,125.00	
16AUG17	S 1 PD16NOV17	3023.50	3267.50	USD	-6,100.00	
16AUG17	B 1 PD16NOV17	3120.00	3267.50	USD	3,687.50	
16AUG17	B 1 PD16NOV17	3121.00	3267.50	USD	3,662.50	
	NET 0 AVG BGHT 3120.50 SOLD 3023.00				**-4,875.00**	**0.00**
31AUG17	S 1 PD30NOV17	3127.50	3265.36	USD	-3,446.50	
31AUG17	S 1 PD30NOV17	3128.00	3265.36	USD	-3,434.00	
13SEP17	B 2 PD30NOV17	3023.25	3265.36	USD	12,105.50	
	NET 0 AVG BGHT 3023.25 SOLD 3127.75				**5,225.00**	**0.00**
01SEP17	B 1 PD01DEC17	3208.50	3265.46	USD	1,424.00	
01SEP17	B 1 PD01DEC17	3208.00	3265.46	USD	1,436.50	
13SEP17	S 2 PD01DEC17	3023.11	3265.46	USD	-12,117.50	
	NET 0 AVG BGHT 3208.25 SOLD 3023.11				**-9,257.00**	**0.00**
06SEP17	B 2 PD06DEC17	3097.00	3266.00	USD	8,450.00	
13SEP17	S 2 PD06DEC17	3023.63	3266.00	USD	-12,118.50	
	NET 0 AVG BGHT 3097.00 SOLD 3023.63				**-3,668.50**	**0.00**
07SEP17	S 1 PD07DEC17	3085.50	3266.11	USD	-4,515.25	
07SEP17	S 1 PD07DEC17	3088.50	3266.11	USD	-4,440.25	
13SEP17	B 2 PD07DEC17	3023.89	3266.11	USD	12,111.00	
	NET 0 AVG BGHT 3023.89 SOLD 3087.00				**3,155.50**	**0.00**
19SEP17	S 1 PD19DEC17	3101.00	3267.39	USD	-4,159.75	
28SEP17	B 1 PD19DEC17	3151.00	3267.39	USD	2,909.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0103I

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
	NET 0 AVG BGHT 3151.00 SOLD 3101.00				**-1,250.00**	**0.00**
26SEP17	B 1 PD27DEC17	3149.00	3264.00	USD	2,875.00	
28SEP17	S 1 PD27DEC17	3147.05	3264.00	USD	-2,923.75	
	NET 0 AVG BGHT 3149.00 SOLD 3147.05				**-48.75**	**0.00**
28SEP17	B 1 PD28DEC17	3163.00	3264.00	USD	2,525.00	
28SEP17	B 1 PD28DEC17	3154.50	3264.00	USD	2,737.50	
03OCT17	S 2 PD28DEC17	3264.00	3264.00	USD	0.00	
	NET 0 AVG BGHT 3158.75 SOLD 3264.00				**5,262.50**	**0.00**
29SEP17	B 1 PD29DEC17	3150.00	3264.00	USD	2,850.00	
29SEP17	S 1 PD29DEC17	3153.50	3264.00	USD	-2,762.50	
29SEP17	S 1 PD29DEC17	3149.50	3264.00	USD	-2,862.50	
29SEP17	S 1 PD29DEC17	3154.50	3264.00	USD	-2,737.50	
03OCT17	B 2 PD29DEC17	3264.20	3264.00	USD	-10.00	
	NET 0 AVG BGHT 3226.13 SOLD 3152.50				**-5,522.50**	**0.00**

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	1,927.50
LME Lead 25 tonnes. This is an	FUTURES	-7,292.00
CMX Silver	FUTURES	-254,200.00
LME Zinc 25 tonnes. This is	FUTURES	-10,978.75

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options			Amount	Profit / Loss	**Total Amount**	**Total P&L**
SILV	- Futures	USD	-4,450.00	-4,450.00	**-4,450.00**	**-4,450.00**
Unrealised Profits & Losses - Forwards			**Amount**	**Profit / Loss**	**Total Amount**	**Total P&L**
ALUL	- Futures	USD	1,927.50	1,927.50		
LEAD	- Futures	USD	-7,292.00	-7,292.00		
ZINC	- Futures	USD	-10,978.75	-10,978.75	**-16,343.25**	**-16,343.25**

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		89,855.66	89,855.66
Variation Margins	- Futures	-4,450.00	-4,450.00
	- Options	0.00	0.00
TOTAL EQUITY		**85,405.66**	**85,405.66**
Initial Margins		-34,043.25	-34,043.25
Excess/(Shortage)		**51,362.41**	**51,362.41**
FOR INFORMATION ONLY			
Forward Unrealised P&L		-16,343.25	-16,343.25
Net Liquidating Value		**69,062.41**	**69,062.41**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0103J

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
05JUL17	S 1 PD05OCT17	1924.50	2114.25	USD	-4,743.75	
05JUL17	S 1 PD05OCT17	1925.00	2114.25	USD	-4,731.25	
05JUL17	S 1 PD05OCT17	1932.50	2114.25	USD	-4,543.75	
07SEP17	B 3 PD05OCT17	2092.60	2114.25	USD	1,623.75	
	NET 0 AVG BGHT 2092.60 SOLD 1927.33				**-12,395.00**	**0.00**
06JUL17	B 1 PD06OCT17	1937.00	2114.58	USD	4,439.50	
07JUL17	S 1 PD06OCT17	1945.00	2114.58	USD	-4,239.50	
07JUL17	B 1 PD06OCT17	1926.50	2114.58	USD	4,702.00	
07JUL17	B 1 PD06OCT17	1925.00	2114.58	USD	4,739.50	
07SEP17	S 2 PD06OCT17	2092.93	2114.58	USD	-1,082.50	
	NET 0 AVG BGHT 1929.50 SOLD 2043.62				**8,559.00**	**0.00**
10JUL17	B 1 PD10OCT17	1921.00	2115.92	USD	4,873.00	
07SEP17	S 2 PD10OCT17	2094.23	2115.92	USD	-1,084.50	
03OCT17	B 1 PD10OCT17	2115.92	2115.92	USD	0.00	
	NET 0 AVG BGHT 2018.46 SOLD 2094.23				**3,788.50**	**0.00**
11JUL17	B 1 PD11OCT17	1896.00	2116.25	USD	5,506.25	
07SEP17	S 1 PD11OCT17	2094.55	2116.25	USD	-542.50	
	NET 0 AVG BGHT 1896.00 SOLD 2094.55				**4,963.75**	**0.00**
14JUL17	S 1 PD13OCT17	1924.00	2116.25	USD	-4,806.25	
07SEP17	B 1 PD13OCT17	2095.20	2116.25	USD	526.25	
	NET 0 AVG BGHT 2095.20 SOLD 1924.00				**-4,280.00**	**0.00**
03AUG17	B 1 PD03NOV17	1913.00	2116.75	USD	5,093.75	
03AUG17	B 1 PD03NOV17	1913.50	2116.75	USD	5,081.25	
03AUG17	B 1 PD03NOV17	1911.00	2116.75	USD	5,143.75	
04AUG17	B 2 PD03NOV17	1907.00	2116.75	USD	10,487.50	
04AUG17	B 1 PD03NOV17	1905.50	2116.75	USD	5,281.25	
07SEP17	S 3 PD03NOV17	2099.59	2116.75	USD	-1,287.00	
07SEP17	S 3 PD03NOV17	2099.79	2116.75	USD	-1,272.00	
	NET 0 AVG BGHT 1909.50 SOLD 2099.69				**28,528.50**	**0.00**
07AUG17	S 1 PD07NOV17	1926.00	2116.08	USD	-4,752.00	
07AUG17	S 1 PD07NOV17	1927.50	2116.08	USD	-4,714.50	
07AUG17	S 1 PD07NOV17	1928.00	2116.08	USD	-4,702.00	
07AUG17	S 2 PD07NOV17	1928.50	2116.08	USD	-9,379.00	
07AUG17	S 1 PD07NOV17	1929.50	2116.08	USD	-4,664.50	
07AUG17	S 1 PD07NOV17	1929.00	2116.08	USD	-4,677.00	
07AUG17	S 1 PD07NOV17	1948.50	2116.08	USD	-4,189.50	
07AUG17	S 2 PD07NOV17	1930.50	2116.08	USD	-9,279.00	
07AUG17	S 2 PD07NOV17	1926.50	2116.08	USD	-9,479.00	
07SEP17	B 5 PD07NOV17	2100.94	2116.08	USD	1,892.50	
07SEP17	B 8 PD07NOV17	2101.14	2116.08	USD	2,988.00	
03OCT17	S 1 PD07NOV17	2115.88	2116.08	USD	-5.00	
	NET 0 AVG BGHT 2101.06 SOLD 1944.26				**-50,961.00**	**0.00**
10AUG17	B 1 PD10NOV17	2033.50	2116.46	USD	2,074.00	
10AUG17	B 1 PD10NOV17	2033.00	2116.46	USD	2,086.50	
10AUG17	B 1 PD10NOV17	2034.50	2116.46	USD	2,049.00	
10AUG17	B 2 PD10NOV17	2034.00	2116.46	USD	4,123.00	
10AUG17	B 1 PD10NOV17	2035.00	2116.46	USD	2,036.50	
07SEP17	S 6 PD10NOV17	2101.61	2116.46	USD	-2,227.50	
	NET 0 AVG BGHT 2034.00 SOLD 2101.61				**10,141.50**	**0.00**
16AUG17	B 1 PD16NOV17	2063.50	2118.52	USD	1,375.50	
16AUG17	B 1 PD16NOV17	2067.50	2118.52	USD	1,275.50	
07SEP17	S 2 PD16NOV17	2102.63	2118.52	USD	-794.50	
	NET 0 AVG BGHT 2065.50 SOLD 2102.63				**1,856.50**	**0.00**
17AUG17	S 1 PD17NOV17	2096.50	2118.79	USD	-557.25	
17AUG17	S 1 PD17NOV17	2098.00	2118.79	USD	-519.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0103J

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
07SEP17	B 2 PD17NOV17	2102.20	2118.79	USD	829.50	
	NET 0 AVG BGHT 2102.20 SOLD 2097.25				**-247.50**	**0.00**
05SEP17	S 1 PD05DEC17	2110.00	2123.68	USD	-342.00	
05SEP17	B 1 PD05DEC17	2096.00	2123.68	USD	692.00	
	NET 0 AVG BGHT 2096.00 SOLD 2110.00				**350.00**	**0.00**
07SEP17	B 1 PD07DEC17	2093.00	2124.22	USD	780.50	
07SEP17	S 1 PD07DEC17	2107.50	2124.22	USD	-418.00	
	NET 0 AVG BGHT 2093.00 SOLD 2107.50				**362.50**	**0.00**
26SEP17	B 1 PD27DEC17	2150.00	2129.38	USD	-515.50	
26SEP17	S 1 PD27DEC17	2126.00	2129.38	USD	-84.50	
	NET 0 AVG BGHT 2150.00 SOLD 2126.00				**-600.00**	**0.00**
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
05JUL17	B 1 PD05OCT17	2296.50	2585.00	USD	7,212.50	
05JUL17	B 1 PD05OCT17	2273.00	2585.00	USD	7,800.00	
05JUL17	B 1 PD05OCT17	2301.00	2585.00	USD	7,100.00	
05JUL17	B 1 PD05OCT17	2251.50	2585.00	USD	8,337.50	
05JUL17	S 4 PD05OCT17	2274.80	2585.00	USD	-31,020.00	
	NET 0 AVG BGHT 2280.50 SOLD 2274.80				**-570.00**	**0.00**
13JUL17	B 1 PD13OCT17	2316.50	2586.85	USD	6,758.75	
13JUL17	B 1 PD13OCT17	2317.50	2586.85	USD	6,733.75	
14JUL17	S 1 PD13OCT17	2311.00	2586.85	USD	-6,896.25	
14JUL17	S 1 PD13OCT17	2316.50	2586.85	USD	-6,758.75	
	NET 0 AVG BGHT 2317.00 SOLD 2313.75				**-162.50**	**0.00**
17JUL17	B 1 PD17OCT17	2317.50	2587.77	USD	6,756.75	
17JUL17	B 1 PD17OCT17	2324.50	2587.77	USD	6,581.75	
17JUL17	B 1 PD17OCT17	2317.00	2587.77	USD	6,769.25	
17JUL17	B 1 PD17OCT17	2293.50	2587.77	USD	7,356.75	
07SEP17	S 4 PD17OCT17	2323.62	2587.77	USD	-26,415.00	
	NET 0 AVG BGHT 2313.13 SOLD 2323.62				**1,049.50**	**0.00**
18JUL17	S 1 PD18OCT17	2292.50	2588.00	USD	-7,387.50	
18JUL17	S 1 PD18OCT17	2288.00	2588.00	USD	-7,500.00	
18JUL17	S 1 PD18OCT17	2285.00	2588.00	USD	-7,575.00	
18JUL17	B 1 PD18OCT17	2275.00	2588.00	USD	7,825.00	
18JUL17	S 1 PD18OCT17	2275.50	2588.00	USD	-7,812.50	
07SEP17	B 3 PD18OCT17	2324.20	2588.00	USD	19,785.00	
	NET 0 AVG BGHT 2311.90 SOLD 2285.25				**-2,665.00**	**0.00**
21JUL17	S 1 PD20OCT17	2246.00	2588.00	USD	-8,550.00	
21JUL17	B 1 PD20OCT17	2246.50	2588.00	USD	8,537.50	
21JUL17	S 1 PD20OCT17	2237.00	2588.00	USD	-8,775.00	
07SEP17	B 1 PD20OCT17	2323.70	2588.00	USD	6,607.50	
	NET 0 AVG BGHT 2285.10 SOLD 2241.50				**-2,180.00**	**0.00**
24JUL17	B 1 PD24OCT17	2260.00	2588.00	USD	8,200.00	
07SEP17	S 1 PD24OCT17	2325.12	2588.00	USD	-6,572.00	
	NET 0 AVG BGHT 2260.00 SOLD 2325.12				**1,628.00**	**0.00**
25JUL17	S 1 PD25OCT17	2310.00	2588.00	USD	-6,950.00	
07SEP17	B 1 PD25OCT17	2325.72	2588.00	USD	6,557.00	
	NET 0 AVG BGHT 2325.72 SOLD 2310.00				**-393.00**	**0.00**
08AUG17	B 1 PD08NOV17	2389.00	2588.00	USD	4,975.00	
08AUG17	S 1 PD08NOV17	2390.00	2588.00	USD	-4,950.00	
	NET 0 AVG BGHT 2389.00 SOLD 2390.00				**25.00**	**0.00**
09AUG17	B 1 PD09NOV17	2363.50	2588.00	USD	5,612.50	
07SEP17	S 1 PD09NOV17	2331.58	2588.00	USD	-6,410.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0103J

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
	NET 0 AVG BGHT 2363.50 SOLD 2331.58				**-798.00**	**0.00**
10AUG17	S 1 PD10NOV17	2386.00	2588.00	USD	-5,050.00	
10AUG17	B 1 PD10NOV17	2369.00	2588.00	USD	5,475.00	
10AUG17	S 1 PD10NOV17	2364.50	2588.00	USD	-5,587.50	
11AUG17	B 1 PD10NOV17	2356.00	2588.00	USD	5,800.00	
11AUG17	S 1 PD10NOV17	2342.00	2588.00	USD	-6,150.00	
11AUG17	B 1 PD10NOV17	2345.00	2588.00	USD	6,075.00	
11AUG17	S 1 PD10NOV17	2352.00	2588.00	USD	-5,900.00	
11AUG17	B 1 PD10NOV17	2336.50	2588.00	USD	6,287.50	
	NET 0 AVG BGHT 2351.63 SOLD 2361.13				**950.00**	**0.00**
16AUG17	B 1 PD16NOV17	2392.00	2588.13	USD	4,903.25	
16AUG17	S 1 PD16NOV17	2387.50	2588.13	USD	-5,015.75	
16AUG17	S 1 PD16NOV17	2420.00	2588.13	USD	-4,203.25	
16AUG17	B 1 PD16NOV17	2421.00	2588.13	USD	4,178.25	
16AUG17	S 1 PD16NOV17	2418.50	2588.13	USD	-4,240.75	
07SEP17	B 1 PD16NOV17	2333.95	2588.13	USD	6,354.50	
	NET 0 AVG BGHT 2382.32 SOLD 2408.67				**1,976.25**	**0.00**
17AUG17	B 1 PD17NOV17	2531.00	2588.26	USD	1,431.50	
17AUG17	S 1 PD17NOV17	2532.50	2588.26	USD	-1,394.00	
17AUG17	S 1 PD17NOV17	2509.00	2588.26	USD	-1,981.50	
17AUG17	B 1 PD17NOV17	2468.00	2588.26	USD	3,006.50	
	NET 0 AVG BGHT 2499.50 SOLD 2520.75				**1,062.50**	**0.00**
19SEP17	B 1 PD19DEC17	2448.00	2592.37	USD	3,609.25	
19SEP17	S 1 PD19DEC17	2448.00	2592.37	USD	-3,609.25	
	NET 0 AVG BGHT 2448.00 SOLD 2448.00				**0.00**	**0.00**
21SEP17	B 1 PD21DEC17	2475.50	2592.29	USD	2,919.75	
21SEP17	S 1 PD21DEC17	2474.00	2592.29	USD	-2,957.25	
21SEP17	S 1 PD21DEC17	2475.00	2592.29	USD	-2,932.25	
21SEP17	B 1 PD21DEC17	2487.50	2592.29	USD	2,619.75	
21SEP17	S 1 PD21DEC17	2502.50	2592.29	USD	-2,244.75	
21SEP17	B 1 PD21DEC17	2477.00	2592.29	USD	2,882.25	
	NET 0 AVG BGHT 2480.00 SOLD 2483.83				**287.50**	**0.00**
22SEP17	S 1 PD22DEC17	2477.50	2592.07	USD	-2,864.25	
22SEP17	S 1 PD22DEC17	2480.00	2592.07	USD	-2,801.75	
22SEP17	B 1 PD22DEC17	2488.00	2592.07	USD	2,601.75	
22SEP17	B 1 PD22DEC17	2490.00	2592.07	USD	2,551.75	
	NET 0 AVG BGHT 2489.00 SOLD 2478.75				**-512.50**	**0.00**
28SEP17	B 1 PD28DEC17	2476.00	2591.64	USD	2,891.00	
03OCT17	S 1 PD28DEC17	2591.64	2591.64	USD	0.00	
	NET 0 AVG BGHT 2476.00 SOLD 2591.64				**2,891.00**	**0.00**
29SEP17	S 1 PD29DEC17	2486.00	2591.43	USD	-2,635.75	
03OCT17	B 1 PD29DEC17	2591.63	2591.43	USD	-5.00	
	NET 0 AVG BGHT 2591.63 SOLD 2486.00				**-2,640.75**	**0.00**
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
04JUL17	S 1 PD04OCT17	9210.00	10531.23	USD	-7,927.38	
07SEP17	B 1 PD04OCT17	12105.75	10531.23	USD	-9,447.12	
	NET 0 AVG BGHT 12105.75 SOLD 9210.00				**-17,374.50**	**0.00**
24JUL17	B 1 PD24OCT17	9610.00	10554.79	USD	5,668.74	
24JUL17	S 1 PD24OCT17	9615.00	10554.79	USD	-5,638.74	
	NET 0 AVG BGHT 9610.00 SOLD 9615.00				**30.00**	**0.00**
31JUL17	B 1 PD31OCT17	10275.00	10561.54	USD	1,719.24	
31JUL17	B 1 PD31OCT17	10280.00	10561.54	USD	1,689.24	
31JUL17	B 1 PD31OCT17	10270.00	10561.54	USD	1,749.24	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0103J

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
31JUL17	B 1 PD31OCT17	10260.00	10561.54	USD	1,809.24	
31JUL17	B 1 PD31OCT17	10285.00	10561.54	USD	1,659.24	
31JUL17	B 1 PD31OCT17	10230.00	10561.54	USD	1,989.24	
31JUL17	B 1 PD31OCT17	10240.00	10561.54	USD	1,929.24	
31JUL17	B 1 PD31OCT17	10250.00	10561.54	USD	1,869.24	
31JUL17	B 1 PD31OCT17	10225.00	10561.54	USD	2,019.24	
07SEP17	S 9 PD31OCT17	12124.66	10561.54	USD	84,408.48	
	NET 0 AVG BGHT 10257.22 SOLD 12124.66				**100,841.64**	**0.00**
01AUG17	B 1 PD01NOV17	10150.00	10562.50	USD	2,475.00	
07SEP17	S 1 PD01NOV17	12125.52	10562.50	USD	9,378.12	
	NET 0 AVG BGHT 10150.00 SOLD 12125.52				**11,853.12**	**0.00**
03AUG17	S 1 PD03NOV17	10370.00	10564.43	USD	-1,166.58	
03AUG17	S 1 PD03NOV17	10375.00	10564.43	USD	-1,136.58	
03AUG17	B 1 PD03NOV17	10375.00	10564.43	USD	1,136.58	
04AUG17	S 1 PD03NOV17	10340.00	10564.43	USD	-1,346.58	
04AUG17	S 1 PD03NOV17	10390.00	10564.43	USD	-1,046.58	
04AUG17	S 1 PD03NOV17	10410.00	10564.43	USD	-926.58	
07SEP17	B 4 PD03NOV17	12128.23	10564.43	USD	-37,531.20	
	NET 0 AVG BGHT 11777.58 SOLD 10377.00				**-42,017.52**	**0.00**
07AUG17	S 1 PD07NOV17	10360.00	10568.29	USD	-1,249.74	
07SEP17	B 1 PD07NOV17	12131.65	10568.29	USD	-9,380.16	
	NET 0 AVG BGHT 12131.65 SOLD 10360.00				**-10,629.90**	**0.00**
10AUG17	S 2 PD10NOV17	10795.00	10571.18	USD	2,685.84	
10AUG17	S 1 PD10NOV17	10780.00	10571.18	USD	1,252.92	
10AUG17	S 2 PD10NOV17	10800.00	10571.18	USD	2,745.84	
11AUG17	B 1 PD10NOV17	10640.00	10571.18	USD	-412.92	
11AUG17	B 1 PD10NOV17	10615.00	10571.18	USD	-262.92	
07SEP17	B 3 PD10NOV17	12134.22	10571.18	USD	-28,134.72	
	NET 0 AVG BGHT 11531.53 SOLD 10794.00				**-22,125.96**	**0.00**
14AUG17	B 1 PD14NOV17	10565.00	10575.04	USD	60.24	
14AUG17	B 1 PD14NOV17	10550.00	10575.04	USD	150.24	
07SEP17	S 2 PD14NOV17	12136.64	10575.04	USD	18,739.20	
	NET 0 AVG BGHT 10557.50 SOLD 12136.64				**18,949.68**	**0.00**
21AUG17	S 1 PD21NOV17	11255.00	10581.31	USD	4,042.14	
21AUG17	S 1 PD21NOV17	11265.00	10581.31	USD	4,102.14	
07SEP17	B 2 PD21NOV17	12142.07	10581.31	USD	-18,729.12	
	NET 0 AVG BGHT 12142.07 SOLD 11260.00				**-10,584.84**	**0.00**
23AUG17	S 1 PD24NOV17	11550.00	10583.97	USD	5,796.18	
23AUG17	S 1 PD24NOV17	11555.00	10583.97	USD	5,826.18	
07SEP17	B 2 PD24NOV17	12143.86	10583.97	USD	-18,718.68	
	NET 0 AVG BGHT 12143.86 SOLD 11552.50				**-7,096.32**	**0.00**
01SEP17	S 2 PD01DEC17	12065.00	10590.17	USD	17,697.96	
01SEP17	S 1 PD01DEC17	12070.00	10590.17	USD	8,878.98	
01SEP17	B 1 PD01DEC17	12020.00	10590.17	USD	-8,578.98	
01SEP17	B 1 PD01DEC17	12040.00	10590.17	USD	-8,698.98	
01SEP17	B 1 PD01DEC17	12025.00	10590.17	USD	-8,608.98	
	NET 0 AVG BGHT 12028.33 SOLD 12066.67				**690.00**	**0.00**
08SEP17	B 1 PD08DEC17	12000.00	10596.37	USD	-8,421.78	
08SEP17	B 1 PD08DEC17	11990.00	10596.37	USD	-8,361.78	
03OCT17	S 2 PD08DEC17	10596.37	10596.37	USD	0.00	
	NET 0 AVG BGHT 11995.00 SOLD 10596.37				**-16,783.56**	**0.00**
18SEP17	S 1 PD18DEC17	11185.00	10605.23	USD	3,478.62	
03OCT17	B 1 PD18DEC17	10606.23	10605.23	USD	-6.00	
	NET 0 AVG BGHT 10606.23 SOLD 11185.00				**3,472.62**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0103J

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
19SEP17	S 1 PD19DEC17	11010.00	10606.11	USD	2,423.34	
03OCT17	B 1 PD19DEC17	10607.11	10606.11	USD	-6.00	
	NET 0 AVG BGHT 10607.11 SOLD 11010.00				**2,417.34**	**0.00**
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
05JUL17	B 1 PD05OCT17	2773.50	3313.50	USD	13,500.00	
05JUL17	S 1 PD05OCT17	2753.00	3313.50	USD	-14,012.50	
05JUL17	S 1 PD05OCT17	2774.50	3313.50	USD	-13,475.00	
05JUL17	S 1 PD05OCT17	2779.50	3313.50	USD	-13,350.00	
07SEP17	B 2 PD05OCT17	3124.93	3313.50	USD	9,428.50	
	NET 0 AVG BGHT 3007.79 SOLD 2769.00				**-17,909.00**	**0.00**
06JUL17	S 1 PD06OCT17	2790.00	3310.38	USD	-13,009.50	
06JUL17	B 1 PD06OCT17	2781.00	3310.38	USD	13,234.50	
06JUL17	B 1 PD06OCT17	2781.50	3310.38	USD	13,222.00	
07JUL17	B 1 PD06OCT17	2777.50	3310.38	USD	13,322.00	
07JUL17	B 1 PD06OCT17	2783.00	3310.38	USD	13,184.50	
07JUL17	S 2 PD06OCT17	2788.00	3310.38	USD	-26,119.00	
07JUL17	B 1 PD06OCT17	2789.00	3310.38	USD	13,034.50	
07SEP17	S 2 PD06OCT17	3124.94	3310.38	USD	-9,272.00	
	NET 0 AVG BGHT 2782.40 SOLD 2923.18				**17,597.00**	**0.00**
11JUL17	B 1 PD11OCT17	2781.00	3304.13	USD	13,078.25	
11JUL17	B 1 PD11OCT17	2776.00	3304.13	USD	13,203.25	
11JUL17	B 1 PD11OCT17	2780.50	3304.13	USD	13,090.75	
11JUL17	S 1 PD11OCT17	2820.00	3304.13	USD	-12,103.25	
11JUL17	S 1 PD11OCT17	2821.00	3304.13	USD	-12,078.25	
11JUL17	S 1 PD11OCT17	2822.00	3304.13	USD	-12,053.25	
	NET 0 AVG BGHT 2779.17 SOLD 2821.00				**3,137.50**	**0.00**
14JUL17	B 1 PD13OCT17	2771.00	3297.88	USD	13,172.00	
14JUL17	B 1 PD13OCT17	2765.00	3297.88	USD	13,322.00	
14JUL17	B 1 PD13OCT17	2765.50	3297.88	USD	13,309.50	
14JUL17	S 1 PD13OCT17	2790.00	3297.88	USD	-12,697.00	
14JUL17	S 1 PD13OCT17	2786.00	3297.88	USD	-12,797.00	
14JUL17	S 1 PD13OCT17	2787.00	3297.88	USD	-12,772.00	
	NET 0 AVG BGHT 2767.17 SOLD 2787.67				**1,537.50**	**0.00**
17JUL17	S 1 PD17OCT17	2815.00	3291.63	USD	-11,915.75	
07SEP17	B 1 PD17OCT17	3126.00	3291.63	USD	4,140.75	
	NET 0 AVG BGHT 3126.00 SOLD 2815.00				**-7,775.00**	**0.00**
18JUL17	B 1 PD18OCT17	2796.50	3288.50	USD	12,300.00	
18JUL17	S 1 PD18OCT17	2795.00	3288.50	USD	-12,337.50	
	NET 0 AVG BGHT 2796.50 SOLD 2795.00				**-37.50**	**0.00**
21JUL17	B 1 PD20OCT17	2756.50	3282.50	USD	13,150.00	
21JUL17	S 1 PD20OCT17	2771.50	3282.50	USD	-12,775.00	
21JUL17	B 1 PD20OCT17	2754.00	3282.50	USD	13,212.50	
07SEP17	S 1 PD20OCT17	3124.30	3282.50	USD	-3,955.00	
	NET 0 AVG BGHT 2755.25 SOLD 2947.90				**9,632.50**	**0.00**
24JUL17	S 1 PD24OCT17	2784.50	3280.50	USD	-12,400.00	
24JUL17	B 1 PD24OCT17	2780.50	3280.50	USD	12,500.00	
24JUL17	B 1 PD24OCT17	2787.00	3280.50	USD	12,337.50	
24JUL17	B 2 PD24OCT17	2781.00	3280.50	USD	24,975.00	
24JUL17	B 2 PD24OCT17	2783.00	3280.50	USD	24,875.00	
24JUL17	S 5 PD24OCT17	2787.80	3280.50	USD	-61,587.50	
	NET 0 AVG BGHT 2782.58 SOLD 2787.25				**700.00**	**0.00**
25JUL17	S 1 PD25OCT17	2827.50	3279.50	USD	-11,300.00	
25JUL17	B 2 PD25OCT17	2839.00	3279.50	USD	22,025.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0103J

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
25JUL17	S 1 PD25OCT17	2838.00	3279.50	USD	-11,037.50	
	NET 0 AVG BGHT 2839.00 SOLD 2832.75				**-312.50**	**0.00**
27JUL17	B 1 PD27OCT17	2823.50	3277.50	USD	11,350.00	
27JUL17	B 2 PD27OCT17	2810.50	3277.50	USD	23,350.00	
27JUL17	B 1 PD27OCT17	2814.00	3277.50	USD	11,587.50	
27JUL17	S 4 PD27OCT17	2800.80	3277.50	USD	-47,670.00	
28JUL17	S 2 PD27OCT17	2790.00	3277.50	USD	-24,375.00	
28JUL17	S 1 PD27OCT17	2776.00	3277.50	USD	-12,537.50	
07SEP17	B 3 PD27OCT17	3125.24	3277.50	USD	11,419.50	
	NET 0 AVG BGHT 2947.75 SOLD 2794.17				**-26,875.50**	**0.00**
31JUL17	S 1 PD31OCT17	2803.00	3275.50	USD	-11,812.50	
07SEP17	B 1 PD31OCT17	3125.66	3275.50	USD	3,746.00	
	NET 0 AVG BGHT 3125.66 SOLD 2803.00				**-8,066.50**	**0.00**
01AUG17	S 1 PD01NOV17	2778.50	3274.50	USD	-12,400.00	
07SEP17	B 1 PD01NOV17	3125.76	3274.50	USD	3,718.50	
	NET 0 AVG BGHT 3125.76 SOLD 2778.50				**-8,681.50**	**0.00**
03OCT17	S 5 PD02NOV17	3264.00	3273.50	USD	-1,187.50	
03OCT17	S 8 PD02NOV17	3273.50	3273.50	USD	0.00	
	NET -13 AVG SOLD 3269.85				**-1,187.50**	**0.00**
03AUG17	B 1 PD03NOV17	2818.00	3272.00	USD	11,350.00	
04AUG17	B 1 PD03NOV17	2821.50	3272.00	USD	11,262.50	
04AUG17	B 1 PD03NOV17	2823.00	3272.00	USD	11,225.00	
04AUG17	B 1 PD03NOV17	2813.50	3272.00	USD	11,462.50	
07SEP17	S 4 PD03NOV17	3125.77	3272.00	USD	-14,623.00	
	NET 0 AVG BGHT 2819.00 SOLD 3125.77				**30,677.00**	**0.00**
07AUG17	S 1 PD07NOV17	2826.50	3272.00	USD	-11,137.50	
07AUG17	S 1 PD07NOV17	2827.00	3272.00	USD	-11,125.00	
07AUG17	S 1 PD07NOV17	2827.50	3272.00	USD	-11,112.50	
07AUG17	S 1 PD07NOV17	2824.50	3272.00	USD	-11,187.50	
07AUG17	S 1 PD07NOV17	2831.50	3272.00	USD	-11,012.50	
07AUG17	B 2 PD07NOV17	2867.50	3272.00	USD	20,225.00	
07AUG17	B 1 PD07NOV17	2870.00	3272.00	USD	10,050.00	
07AUG17	B 1 PD07NOV17	2871.00	3272.00	USD	10,025.00	
07AUG17	B 2 PD07NOV17	2867.00	3272.00	USD	20,250.00	
07SEP17	S 1 PD07NOV17	3126.19	3272.00	USD	-3,645.25	
07SEP17	B 1 PD07NOV17	3126.39	3272.00	USD	3,640.25	
03OCT17	S 1 PD07NOV17	3272.00	3272.00	USD	0.00	
	NET 0 AVG BGHT 2905.20 SOLD 2933.60				**4,970.00**	**0.00**
08AUG17	S 1 PD08NOV17	2923.00	3272.00	USD	-8,725.00	
08AUG17	B 1 PD08NOV17	2926.00	3272.00	USD	8,650.00	
	NET 0 AVG BGHT 2926.00 SOLD 2923.00				**-75.00**	**0.00**
09AUG17	S 1 PD09NOV17	2939.00	3272.00	USD	-8,325.00	
09AUG17	B 1 PD09NOV17	2967.00	3272.00	USD	7,625.00	
09AUG17	B 1 PD09NOV17	2979.50	3272.00	USD	7,312.50	
09AUG17	S 1 PD09NOV17	2942.00	3272.00	USD	-8,250.00	
09AUG17	S 1 PD09NOV17	2956.50	3272.00	USD	-7,887.50	
09AUG17	S 1 PD09NOV17	2957.00	3272.00	USD	-7,875.00	
09AUG17	S 1 PD09NOV17	2979.50	3272.00	USD	-7,312.50	
09AUG17	S 1 PD09NOV17	2942.50	3272.00	USD	-8,237.50	
17AUG17	B 3 PD09NOV17	3061.83	3272.00	USD	15,762.75	
03OCT17	B 1 PD09NOV17	3272.20	3272.00	USD	-5.00	
	NET 0 AVG BGHT 3067.37 SOLD 2952.75				**-17,192.25**	**0.00**
10AUG17	B 1 PD10NOV17	2949.00	3272.00	USD	8,075.00	
10AUG17	S 1 PD10NOV17	2948.50	3272.00	USD	-8,087.50	
10AUG17	S 1 PD10NOV17	2945.00	3272.00	USD	-8,175.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0103J

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
10AUG17	S 1 PD10NOV17	2943.00	3272.00	USD	-8,225.00	
10AUG17	B 1 PD10NOV17	2928.50	3272.00	USD	8,587.50	
10AUG17	S 1 PD10NOV17	2938.00	3272.00	USD	-8,350.00	
11AUG17	S 1 PD10NOV17	2926.00	3272.00	USD	-8,650.00	
11AUG17	B 1 PD10NOV17	2904.50	3272.00	USD	9,187.50	
11AUG17	S 1 PD10NOV17	2911.50	3272.00	USD	-9,012.50	
11AUG17	S 1 PD10NOV17	2914.00	3272.00	USD	-8,950.00	
11AUG17	S 1 PD10NOV17	2918.50	3272.00	USD	-8,837.50	
11AUG17	S 1 PD10NOV17	2920.00	3272.00	USD	-8,800.00	
11AUG17	B 1 PD10NOV17	2909.50	3272.00	USD	9,062.50	
11AUG17	S 1 PD10NOV17	2909.00	3272.00	USD	-9,075.00	
17AUG17	B 4 PD10NOV17	3062.14	3272.00	USD	20,986.00	
07SEP17	B 2 PD10NOV17	3126.83	3272.00	USD	7,258.50	
	NET 0 AVG BGHT 3019.37 SOLD 2927.35				**-23,005.50**	**0.00**
14AUG17	B 1 PD14NOV17	2904.50	3270.33	USD	9,145.75	
14AUG17	S 2 PD14NOV17	2916.50	3270.33	USD	-17,691.50	
14AUG17	B 1 PD14NOV17	2920.00	3270.33	USD	8,758.25	
14AUG17	S 1 PD14NOV17	2917.50	3270.33	USD	-8,820.75	
14AUG17	S 1 PD14NOV17	2918.00	3270.33	USD	-8,808.25	
07SEP17	B 2 PD14NOV17	3127.50	3270.33	USD	7,141.50	
	NET 0 AVG BGHT 3019.88 SOLD 2917.13				**-10,275.00**	**0.00**
16AUG17	S 1 PD16NOV17	2978.00	3267.50	USD	-7,237.50	
16AUG17	S 1 PD16NOV17	2980.00	3267.50	USD	-7,187.50	
16AUG17	B 1 PD16NOV17	2977.00	3267.50	USD	7,262.50	
16AUG17	B 1 PD16NOV17	2981.00	3267.50	USD	7,162.50	
16AUG17	S 1 PD16NOV17	2977.00	3267.50	USD	-7,262.50	
16AUG17	S 1 PD16NOV17	3019.50	3267.50	USD	-6,200.00	
16AUG17	S 1 PD16NOV17	3024.00	3267.50	USD	-6,087.50	
16AUG17	S 1 PD16NOV17	3020.50	3267.50	USD	-6,175.00	
16AUG17	S 1 PD16NOV17	3021.00	3267.50	USD	-6,162.50	
16AUG17	S 1 PD16NOV17	3022.50	3267.50	USD	-6,125.00	
16AUG17	S 1 PD16NOV17	3024.50	3267.50	USD	-6,075.00	
16AUG17	S 1 PD16NOV17	3027.50	3267.50	USD	-6,000.00	
16AUG17	S 2 PD16NOV17	3027.00	3267.50	USD	-12,025.00	
16AUG17	S 1 PD16NOV17	3028.00	3267.50	USD	-5,987.50	
16AUG17	B 8 PD16NOV17	3119.20	3267.50	USD	29,660.00	
07SEP17	B 3 PD16NOV17	3125.25	3267.50	USD	10,668.75	
	NET 0 AVG BGHT 3099.03 SOLD 3013.58				**-27,771.25**	**0.00**
17AUG17	B 1 PD17NOV17	3126.00	3265.50	USD	3,487.50	
17AUG17	B 1 PD17NOV17	3129.50	3265.50	USD	3,400.00	
17AUG17	B 1 PD17NOV17	3131.50	3265.50	USD	3,350.00	
17AUG17	B 1 PD17NOV17	3115.50	3265.50	USD	3,750.00	
17AUG17	B 1 PD17NOV17	3094.00	3265.50	USD	4,287.50	
17AUG17	B 1 PD17NOV17	3093.00	3265.50	USD	4,312.50	
17AUG17	B 1 PD17NOV17	3096.00	3265.50	USD	4,237.50	
17AUG17	B 1 PD17NOV17	3098.00	3265.50	USD	4,187.50	
18AUG17	S 1 PD17NOV17	3131.50	3265.50	USD	-3,350.00	
07SEP17	S 7 PD17NOV17	3125.05	3265.50	USD	-24,578.75	
	NET 0 AVG BGHT 3110.44 SOLD 3125.86				**3,083.75**	**0.00**
22AUG17	B 1 PD22NOV17	3151.00	3264.50	USD	2,837.50	
07SEP17	S 1 PD22NOV17	3126.48	3264.50	USD	-3,450.50	
	NET 0 AVG BGHT 3151.00 SOLD 3126.48				**-613.00**	**0.00**
29AUG17	S 1 PD29NOV17	3108.50	3265.25	USD	-3,918.75	
29AUG17	B 1 PD29NOV17	3090.00	3265.25	USD	4,381.25	
	NET 0 AVG BGHT 3090.00 SOLD 3108.50				**462.50**	**0.00**
31AUG17	S 3 PD30NOV17	3125.00	3265.36	USD	-10,527.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0103J

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
31AUG17	S 1 PD30NOV17	3125.50	3265.36	USD	-3,496.50	
31AUG17	S 2 PD30NOV17	3127.00	3265.36	USD	-6,918.00	
31AUG17	S 1 PD30NOV17	3124.50	3265.36	USD	-3,521.50	
31AUG17	S 1 PD30NOV17	3126.00	3265.36	USD	-3,484.00	
31AUG17	S 2 PD30NOV17	3141.50	3265.36	USD	-6,193.00	
31AUG17	S 1 PD30NOV17	3136.00	3265.36	USD	-3,234.00	
31AUG17	S 1 PD30NOV17	3123.00	3265.36	USD	-3,559.00	
31AUG17	S 2 PD30NOV17	3128.00	3265.36	USD	-6,868.00	
31AUG17	S 3 PD30NOV17	3127.50	3265.36	USD	-10,339.50	
31AUG17	B 17 PD30NOV17	3146.20	3265.36	USD	50,643.00	
	NET 0 AVG BGHT 3146.20 SOLD 3128.56				**-7,497.50**	**0.00**
01SEP17	S 1 PD01DEC17	3186.00	3265.46	USD	-1,986.50	
01SEP17	S 1 PD01DEC17	3187.00	3265.46	USD	-1,961.50	
03OCT17	B 2 PD01DEC17	3265.46	3265.46	USD	0.00	
	NET 0 AVG BGHT 3265.46 SOLD 3186.50				**-3,948.00**	**0.00**
04SEP17	B 1 PD04DEC17	3182.00	3265.79	USD	2,094.75	
04SEP17	B 1 PD04DEC17	3192.00	3265.79	USD	1,844.75	
03OCT17	S 2 PD04DEC17	3265.59	3265.79	USD	-10.00	
	NET 0 AVG BGHT 3187.00 SOLD 3265.59				**3,929.50**	**0.00**
18SEP17	B 1 PD18DEC17	3065.00	3267.29	USD	5,057.25	
18SEP17	S 1 PD18DEC17	3085.50	3267.29	USD	-4,544.75	
	NET 0 AVG BGHT 3065.00 SOLD 3085.50				**512.50**	**0.00**
03OCT17	S 2 PD03JAN18	3222.00	3264.00	USD	-2,100.00	
03OCT17	S 1 PD03JAN18	3223.50	3264.00	USD	-1,012.50	
03OCT17	S 1 PD03JAN18	3236.00	3264.00	USD	-700.00	
03OCT17	S 1 PD03JAN18	3239.00	3264.00	USD	-625.00	
03OCT17	S 1 PD03JAN18	3260.50	3264.00	USD	-87.50	
03OCT17	S 1 PD03JAN18	3263.00	3264.00	USD	-25.00	
03OCT17	S 1 PD03JAN18	3265.00	3264.00	USD	25.00	
03OCT17	S 1 PD03JAN18	3259.00	3264.00	USD	-125.00	
03OCT17	S 1 PD03JAN18	3255.00	3264.00	USD	-225.00	
03OCT17	S 1 PD03JAN18	3270.00	3264.00	USD	150.00	
03OCT17	S 1 PD03JAN18	3267.00	3264.00	USD	75.00	
03OCT17	S 1 PD03JAN18	3276.00	3264.00	USD	300.00	
03OCT17	B 5 PD03JAN18	3258.65	3264.00	USD	668.75	
03OCT17	B 8 PD03JAN18	3264.20	3264.00	USD	-40.00	
	NET 0 AVG BGHT 3262.07 SOLD 3250.62				**-3,721.25**	**0.00**

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	-9,933.25
LME Lead 25 tonnes. This is an	FUTURES	-52.00
LME Nickel 6 tonnes. This is	FUTURES	11,641.80
LME Zinc 25 tonnes. This is	FUTURES	975,183.50

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0103J

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards			Amount	Profit / Loss	**Total Amount**	**Total P&L**
ALUL	- Futures	USD	-9,933.25	-9,933.25		
LEAD	- Futures	USD	-52.00	-52.00		
NKL	- Futures	USD	11,641.80	11,641.80		
ZINC	- Futures	USD	-88,704.00	-88,704.00	**-87,047.45**	**-87,047.45**

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		111,065.29	111,065.29
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**111,065.29**	**111,065.29**
Initial Margins		-151,010.45	-151,010.45
Excess/(Shortage)		**-39,945.16**	**-39,945.16**
FOR INFORMATION ONLY			
Forward Unrealised P&L		-87,047.45	-87,047.45
Net Liquidating Value		**24,017.84**	**24,017.84**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED
SAP0103
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPG0103**

3rd Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

GROUP FINANCIAL SUMMARY

		USD (1.00000)	NET USD (1.00000)
CASH BALANCE		1,247,246.12	1,247,246.12
Variation Margins	- Futures	-43,097.50	-43,097.50
	- Options	0.00	0.00
TOTAL EQUITY		**1,204,148.62**	**1,204,148.62**
Initial Margins		-1,663,126.79	-1,663,126.79
Excess/(Shortage)		**-458,978.17**	**-458,978.17**
FOR INFORMATION ONLY			
Forward Unrealised P&L		-695,271.79	-695,271.79
Net Liquidating Value		**508,876.83**	**508,876.83**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0104

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-973.87	-973.87
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**-973.87**	**-973.87**
Initial Margins		0.00	0.00
Excess/(Shortage)		**-973.87**	**-973.87**
FOR INFORMATION ONLY			
Net Liquidating Value		**-973.87**	**-973.87**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0104A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	CMX GOLD 100 CMX					
11SEP17	S 4 DEC17	1339.3	1274.6	USD	25,880.00	
11SEP17	S 2 DEC17	1334.8	1274.6	USD	12,040.00	
12SEP17	S 4 DEC17	1335.4	1274.6	USD	24,320.00	
13SEP17	S 2 DEC17	1336.3	1274.6	USD	12,340.00	
13SEP17	S 10 DEC17	1337.3	1274.6	USD	62,700.00	
25SEP17	S 1 DEC17	1308.5	1274.6	USD	3,390.00	
25SEP17	S 3 DEC17	1311.6	1274.6	USD	11,100.00	
25SEP17	S 1 DEC17	1311.5	1274.6	USD	3,690.00	
25SEP17	S 3 DEC17	1311.3	1274.6	USD	11,010.00	
25SEP17	S 2 DEC17	1312.6	1274.6	USD	7,600.00	
25SEP17	S 3 DEC17	1312.0	1274.6	USD	11,220.00	
25SEP17	S 2 DEC17	1313.0	1274.6	USD	7,680.00	
26SEP17	S 5 DEC17	1312.1	1274.6	USD	18,750.00	
02OCT17	S 2 DEC17	1279.0	1274.6	USD	880.00	
02OCT17	S 1 DEC17	1279.1	1274.6	USD	450.00	
02OCT17	S 1 DEC17	1277.0	1274.6	USD	240.00	
02OCT17	S 6 DEC17	1278.1	1274.6	USD	2,100.00	
02OCT17	S 1 DEC17	1278.5	1274.6	USD	390.00	
02OCT17	S 6 DEC17	1278.2	1274.6	USD	2,160.00	
02OCT17	S 1 DEC17	1275.8	1274.6	USD	120.00	
02OCT17	S 2 DEC17	1273.9	1274.6	USD	-140.00	
	NET -62 AVG SOLD 1309.7				**217,920.00**	**0.00**
	NYM PLATINUM 50 NYM					
06SEP17	B 1 JAN18	1017.20	915.50	USD	-5,085.00	
06SEP17	B 10 JAN18	1016.90	915.50	USD	-50,700.00	
06SEP17	B 7 JAN18	1017.10	915.50	USD	-35,560.00	
	NET +18 AVG BGHT 1016.99				**-91,345.00**	**0.00**

OPEN POSITION VALUE

		USD
CMX Gold	FUTURES	8,120,440.00
NYM Platinum	FUTURES	-915,295.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options		Amount	Profit / Loss	**Total Amount**	**Total P&L**
GOLD - Futures	USD	217,920.00	217,920.00		
PLAT - Futures	USD	-91,345.00	-91,345.00	**126,575.00**	**126,575.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0104A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		2,391,651.47	2,391,651.47
Variation Margins	- Futures	126,575.00	126,575.00
	- Options	0.00	0.00
TOTAL EQUITY		**2,518,226.47**	**2,518,226.47**
Initial Margins		-286,160.00	-286,160.00
Excess/(Shortage)		**2,232,066.47**	**2,232,066.47**
FOR INFORMATION ONLY			
Net Liquidating Value		**2,518,226.47**	**2,518,226.47**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED
SAP0104
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPG0104**

3rd Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

GROUP FINANCIAL SUMMARY

		USD (1.00000)	NET USD (1.00000)
CASH BALANCE		2,390,677.60	2,390,677.60
Variation Margins	- Futures	126,575.00	126,575.00
	- Options	0.00	0.00
TOTAL EQUITY		**2,517,252.60**	**2,517,252.60**
Initial Margins		-286,160.00	-286,160.00
Excess/(Shortage)		**2,231,092.60**	**2,231,092.60**
FOR INFORMATION ONLY			
Net Liquidating Value		**2,517,252.60**	**2,517,252.60**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0107

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		EUR	USD	NETT USD
		(1.17550)	(1.00000)	(1.00000)
CASH BALANCE		-463.32	-345,201.66	-345,746.29
Variation Margins	- Futures	0.00	0.00	0.00
	- Options	0.00	0.00	0.00
TOTAL EQUITY		**-463.32**	**-345,201.66**	**-345,746.29**
Initial Margins		0.00	0.00	0.00
Excess/(Shortage)		**-463.32**	**-345,201.66**	**-345,746.29**
FOR INFORMATION ONLY				
Net Liquidating Value		**-463.32**	**-345,201.66**	**-345,746.29**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0107A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-1,300.00	-1,300.00
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**-1,300.00**	**-1,300.00**
Initial Margins		0.00	0.00
Excess/(Shortage)		**-1,300.00**	**-1,300.00**
FOR INFORMATION ONLY			
Net Liquidating Value		**-1,300.00**	**-1,300.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0107C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-1,300.00	-1,300.00
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**-1,300.00**	**-1,300.00**
Initial Margins		0.00	0.00
Excess/(Shortage)		**-1,300.00**	**-1,300.00**
FOR INFORMATION ONLY			
Net Liquidating Value		**-1,300.00**	**-1,300.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0107D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-1,300.00	-1,300.00
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**-1,300.00**	**-1,300.00**
Initial Margins		0.00	0.00
Excess/(Shortage)		**-1,300.00**	**-1,300.00**
FOR INFORMATION ONLY			
Net Liquidating Value		**-1,300.00**	**-1,300.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED
SAP0107
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPG0107**

3rd Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

GROUP FINANCIAL SUMMARY

		EUR (1.17550)	USD (1.00000)	NET USD (1.00000)
CASH BALANCE		-463.32	-349,101.66	-349,646.29
Variation Margins	- Futures	0.00	0.00	0.00
	- Options	0.00	0.00	0.00
TOTAL EQUITY		**-463.32**	**-349,101.66**	**-349,646.29**
Initial Margins		0.00	0.00	0.00
Excess/(Shortage)		**-463.32**	**-349,101.66**	**-349,646.29**
FOR INFORMATION ONLY				
Net Liquidating Value		**-463.32**	**-349,101.66**	**-349,646.29**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		832,678.51	832,678.51
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**832,678.51**	**832,678.51**
Initial Margins		0.00	0.00
Excess/(Shortage)		**832,678.51**	**832,678.51**
FOR INFORMATION ONLY			
Net Liquidating Value		**832,678.51**	**832,678.51**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.							
06JUL17	S	1	PD06OCT17	1932.00	2114.58	USD	-4,564.50	
06JUL17	S	2	PD06OCT17	1933.50	2114.58	USD	-9,054.00	
06JUL17	S	1	PD06OCT17	1935.00	2114.58	USD	-4,489.50	
06JUL17	S	1	PD06OCT17	1941.00	2114.58	USD	-4,339.50	
06JUL17	S	2	PD06OCT17	1942.50	2114.58	USD	-8,604.00	
06JUL17	S	2	PD06OCT17	1943.50	2114.58	USD	-8,554.00	
06JUL17	S	1	PD06OCT17	1947.00	2114.58	USD	-4,189.50	
06JUL17	S	1	PD06OCT17	1946.50	2114.58	USD	-4,202.00	
06JUL17	S	1	PD06OCT17	1940.00	2114.58	USD	-4,364.50	
06JUL17	B	1	PD06OCT17	1940.00	2114.58	USD	4,364.50	
06JUL17	B	2	PD06OCT17	1937.50	2114.58	USD	8,854.00	
06JUL17	B	1	PD06OCT17	1936.00	2114.58	USD	4,464.50	
06JUL17	B	1	PD06OCT17	1933.00	2114.58	USD	4,539.50	
06JUL17	S	7	PD06OCT17	1932.50	2114.58	USD	-31,864.00	
06JUL17	B	1	PD06OCT17	1933.50	2114.58	USD	4,527.00	
06JUL17	S	2	PD06OCT17	1941.50	2114.58	USD	-8,654.00	
07JUL17	B	2	PD06OCT17	1938.50	2114.58	USD	8,804.00	
07JUL17	B	3	PD06OCT17	1941.00	2114.58	USD	13,018.50	
07JUL17	B	1	PD06OCT17	1939.50	2114.58	USD	4,377.00	
07JUL17	B	1	PD06OCT17	1938.00	2114.58	USD	4,414.50	
07JUL17	B	1	PD06OCT17	1934.00	2114.58	USD	4,514.50	
07JUL17	B	2	PD06OCT17	1936.00	2114.58	USD	8,929.00	
07JUL17	B	1	PD06OCT17	1935.50	2114.58	USD	4,477.00	
07JUL17	B	3	PD06OCT17	1935.00	2114.58	USD	13,468.50	
07JUL17	B	1	PD06OCT17	1938.50	2114.58	USD	4,402.00	
	NET	**0**	**AVG BGHT 1937.14**	**SOLD 1937.67**			**275.00**	**0.00**
10JUL17	S	1	PD10OCT17	1920.50	2115.92	USD	-4,885.50	
10JUL17	B	1	PD10OCT17	1909.00	2115.92	USD	5,173.00	
	NET	**0**	**AVG BGHT 1909.00**	**SOLD 1920.50**			**287.50**	**0.00**
13JUL17	S	1	PD13OCT17	1902.00	2116.25	USD	-5,356.25	
13JUL17	S	1	PD13OCT17	1905.00	2116.25	USD	-5,281.25	
13JUL17	S	1	PD13OCT17	1909.00	2116.25	USD	-5,181.25	
13JUL17	B	2	PD13OCT17	1931.00	2116.25	USD	9,262.50	
13JUL17	B	1	PD13OCT17	1935.50	2116.25	USD	4,518.75	
14JUL17	B	1	PD13OCT17	1926.00	2116.25	USD	4,756.25	
14JUL17	S	1	PD13OCT17	1928.50	2116.25	USD	-4,693.75	
	NET	**0**	**AVG BGHT 1930.88**	**SOLD 1911.13**			**-1,975.00**	**0.00**
24JUL17	B	1	PD24OCT17	1918.50	2118.58	USD	5,002.00	
24JUL17	B	1	PD24OCT17	1920.50	2118.58	USD	4,952.00	
24JUL17	S	1	PD24OCT17	1912.50	2118.58	USD	-5,152.00	
24JUL17	S	1	PD24OCT17	1912.00	2118.58	USD	-5,164.50	
	NET	**0**	**AVG BGHT 1919.50**	**SOLD 1912.25**			**-362.50**	**0.00**
25JUL17	S	1	PD25OCT17	1922.50	2118.97	USD	-4,911.75	
25JUL17	B	1	PD25OCT17	1923.00	2118.97	USD	4,899.25	
	NET	**0**	**AVG BGHT 1923.00**	**SOLD 1922.50**			**-12.50**	**0.00**
01AUG17	B	1	PD01NOV17	1910.00	2117.42	USD	5,185.50	
01AUG17	B	1	PD01NOV17	1908.50	2117.42	USD	5,223.00	
01AUG17	B	1	PD01NOV17	1905.50	2117.42	USD	5,298.00	
01AUG17	S	1	PD01NOV17	1913.50	2117.42	USD	-5,098.00	
01AUG17	S	1	PD01NOV17	1910.00	2117.42	USD	-5,185.50	
03AUG17	S	1	PD01NOV17	1917.00	2117.42	USD	-5,010.50	
	NET	**0**	**AVG BGHT 1908.00**	**SOLD 1913.50**			**412.50**	**0.00**
02AUG17	S	1	PD02NOV17	1915.00	2117.08	USD	-5,052.00	
03AUG17	B	1	PD02NOV17	1917.79	2117.08	USD	4,982.25	
19SEP17	S	20	PD02NOV17	2109.96	2117.08	USD	-3,560.00	
20SEP17	S	4	PD02NOV17	2165.00	2117.08	USD	4,792.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
20SEP17	S 3 PD02NOV17	2171.00	2117.08	USD	4,044.00	
20SEP17	S 5 PD02NOV17	2173.00	2117.08	USD	6,990.00	
	NET -32 AVG BGHT 1917.79 SOLD 2125.82				**12,196.25**	**0.00**
03AUG17	B 1 PD03NOV17	1926.50	2116.75	USD	4,756.25	
03AUG17	B 1 PD03NOV17	1925.50	2116.75	USD	4,781.25	
03AUG17	B 1 PD03NOV17	1923.50	2116.75	USD	4,831.25	
03AUG17	B 1 PD03NOV17	1919.50	2116.75	USD	4,931.25	
03AUG17	B 1 PD03NOV17	1920.00	2116.75	USD	4,918.75	
03AUG17	B 1 PD03NOV17	1917.00	2116.75	USD	4,993.75	
03AUG17	B 1 PD03NOV17	1910.00	2116.75	USD	5,168.75	
03AUG17	S 1 PD03NOV17	1920.00	2116.75	USD	-4,918.75	
03AUG17	B 4 PD03NOV17	1913.00	2116.75	USD	20,375.00	
04AUG17	B 1 PD03NOV17	1913.00	2116.75	USD	5,093.75	
04AUG17	B 1 PD03NOV17	1912.50	2116.75	USD	5,106.25	
04AUG17	B 2 PD03NOV17	1909.00	2116.75	USD	10,387.50	
04AUG17	B 1 PD03NOV17	1906.00	2116.75	USD	5,268.75	
04AUG17	S 8 PD03NOV17	1910.50	2116.75	USD	-41,250.00	
04AUG17	S 1 PD03NOV17	1911.50	2116.75	USD	-5,131.25	
04AUG17	S 1 PD03NOV17	1913.00	2116.75	USD	-5,093.75	
04AUG17	B 1 PD03NOV17	1903.00	2116.75	USD	5,343.75	
04AUG17	S 1 PD03NOV17	1908.50	2116.75	USD	-5,206.25	
10AUG17	S 5 PD03NOV17	2035.81	2116.75	USD	-10,117.50	
	NET 0 AVG BGHT 1914.50 SOLD 1948.00				**14,238.75**	**0.00**
07AUG17	S 2 PD07NOV17	1923.00	2116.08	USD	-9,654.00	
07AUG17	S 1 PD07NOV17	1924.00	2116.08	USD	-4,802.00	
07AUG17	S 2 PD07NOV17	1926.00	2116.08	USD	-9,504.00	
07AUG17	B 1 PD07NOV17	1920.50	2116.08	USD	4,889.50	
07AUG17	B 1 PD07NOV17	1921.50	2116.08	USD	4,864.50	
07AUG17	B 1 PD07NOV17	1924.50	2116.08	USD	4,789.50	
07AUG17	S 5 PD07NOV17	1930.00	2116.08	USD	-23,260.00	
07AUG17	S 2 PD07NOV17	1929.50	2116.08	USD	-9,329.00	
07AUG17	S 1 PD07NOV17	1930.50	2116.08	USD	-4,639.50	
07AUG17	B 2 PD07NOV17	1923.50	2116.08	USD	9,629.00	
07AUG17	S 4 PD07NOV17	1929.00	2116.08	USD	-18,708.00	
07AUG17	S 2 PD07NOV17	1937.00	2116.08	USD	-8,954.00	
07AUG17	S 1 PD07NOV17	1936.50	2116.08	USD	-4,489.50	
07AUG17	S 1 PD07NOV17	1929.50	2116.08	USD	-4,664.50	
07AUG17	S 2 PD07NOV17	1930.00	2116.08	USD	-9,304.00	
07AUG17	B 1 PD07NOV17	1923.00	2116.08	USD	4,827.00	
07AUG17	S 1 PD07NOV17	1943.00	2116.08	USD	-4,327.00	
07AUG17	S 1 PD07NOV17	1944.00	2116.08	USD	-4,302.00	
07AUG17	S 2 PD07NOV17	1948.50	2116.08	USD	-8,379.00	
07AUG17	S 4 PD07NOV17	1950.50	2116.08	USD	-16,558.00	
07AUG17	S 1 PD07NOV17	1967.50	2116.08	USD	-3,714.50	
07AUG17	S 1 PD07NOV17	1968.00	2116.08	USD	-3,702.00	
07AUG17	S 1 PD07NOV17	1972.50	2116.08	USD	-3,589.50	
07AUG17	S 2 PD07NOV17	1971.50	2116.08	USD	-7,229.00	
07AUG17	S 1 PD07NOV17	1973.50	2116.08	USD	-3,564.50	
07AUG17	S 1 PD07NOV17	1977.00	2116.08	USD	-3,477.00	
10AUG17	B 5 PD07NOV17	2036.69	2116.08	USD	9,923.75	
10AUG17	B 27 PD07NOV17	2036.49	2116.08	USD	53,723.25	
	NET 0 AVG BGHT 2018.56 SOLD 1941.18				**-73,504.50**	**0.00**
08AUG17	B 1 PD08NOV17	1974.00	2115.75	USD	3,543.75	
08AUG17	S 1 PD08NOV17	1985.00	2115.75	USD	-3,268.75	
08AUG17	S 1 PD08NOV17	1988.50	2115.75	USD	-3,181.25	
08AUG17	S 1 PD08NOV17	1990.00	2115.75	USD	-3,143.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
08AUG17	S 1 PD08NOV17	1992.50	2115.75	USD	-3,081.25	
08AUG17	B 2 PD08NOV17	1986.00	2115.75	USD	6,487.50	
08AUG17	B 1 PD08NOV17	1990.50	2115.75	USD	3,131.25	
08AUG17	S 1 PD08NOV17	2003.00	2115.75	USD	-2,818.75	
08AUG17	B 1 PD08NOV17	2001.00	2115.75	USD	2,868.75	
08AUG17	S 1 PD08NOV17	2000.50	2115.75	USD	-2,881.25	
08AUG17	B 1 PD08NOV17	2000.00	2115.75	USD	2,893.75	
08AUG17	S 1 PD08NOV17	2000.00	2115.75	USD	-2,893.75	
08AUG17	B 1 PD08NOV17	2010.00	2115.75	USD	2,643.75	
08AUG17	B 1 PD08NOV17	2008.00	2115.75	USD	2,693.75	
08AUG17	B 1 PD08NOV17	2009.00	2115.75	USD	2,668.75	
08AUG17	B 1 PD08NOV17	2005.00	2115.75	USD	2,768.75	
08AUG17	B 4 PD08NOV17	2001.50	2115.75	USD	11,425.00	
08AUG17	B 3 PD08NOV17	2004.00	2115.75	USD	8,381.25	
08AUG17	B 4 PD08NOV17	2003.50	2115.75	USD	11,225.00	
08AUG17	B 2 PD08NOV17	2004.50	2115.75	USD	5,562.50	
08AUG17	B 2 PD08NOV17	2014.50	2115.75	USD	5,062.50	
08AUG17	B 2 PD08NOV17	2014.00	2115.75	USD	5,087.50	
08AUG17	B 1 PD08NOV17	2012.00	2115.75	USD	2,593.75	
08AUG17	B 3 PD08NOV17	2011.50	2115.75	USD	7,818.75	
08AUG17	B 1 PD08NOV17	2013.50	2115.75	USD	2,556.25	
08AUG17	B 1 PD08NOV17	2016.00	2115.75	USD	2,493.75	
08AUG17	B 1 PD08NOV17	2004.00	2115.75	USD	2,793.75	
10AUG17	S 27 PD08NOV17	2036.46	2115.75	USD	-53,520.75	
	NET 0 AVG BGHT 2004.34 SOLD 2027.76				**19,910.50**	**0.00**
09AUG17	B 2 PD09NOV17	2034.00	2116.11	USD	4,105.50	
09AUG17	S 1 PD09NOV17	2042.00	2116.11	USD	-1,852.75	
09AUG17	B 1 PD09NOV17	2042.50	2116.11	USD	1,840.25	
09AUG17	B 1 PD09NOV17	2041.50	2116.11	USD	1,865.25	
09AUG17	S 1 PD09NOV17	2041.50	2116.11	USD	-1,865.25	
09AUG17	S 2 PD09NOV17	2038.50	2116.11	USD	-3,880.50	
09AUG17	B 1 PD09NOV17	2031.00	2116.11	USD	2,127.75	
09AUG17	B 2 PD09NOV17	2034.50	2116.11	USD	4,080.50	
09AUG17	B 1 PD09NOV17	2031.00	2116.11	USD	2,127.75	
09AUG17	S 1 PD09NOV17	2033.50	2116.11	USD	-2,065.25	
09AUG17	S 2 PD09NOV17	2040.00	2116.11	USD	-3,805.50	
09AUG17	S 1 PD09NOV17	2037.00	2116.11	USD	-1,977.75	
	NET 0 AVG BGHT 2035.38 SOLD 2038.88				**700.00**	**0.00**
10AUG17	B 1 PD10NOV17	2025.00	2116.46	USD	2,286.50	
10AUG17	B 1 PD10NOV17	2019.50	2116.46	USD	2,424.00	
10AUG17	S 1 PD10NOV17	2029.00	2116.46	USD	-2,186.50	
10AUG17	S 1 PD10NOV17	2026.00	2116.46	USD	-2,261.50	
10AUG17	S 1 PD10NOV17	2037.50	2116.46	USD	-1,974.00	
10AUG17	B 1 PD10NOV17	2027.00	2116.46	USD	2,236.50	
10AUG17	B 1 PD10NOV17	2029.00	2116.46	USD	2,186.50	
10AUG17	B 1 PD10NOV17	2025.50	2116.46	USD	2,274.00	
10AUG17	B 1 PD10NOV17	2023.00	2116.46	USD	2,336.50	
10AUG17	B 1 PD10NOV17	2030.00	2116.46	USD	2,161.50	
10AUG17	B 1 PD10NOV17	2034.50	2116.46	USD	2,049.00	
10AUG17	B 1 PD10NOV17	2027.00	2116.46	USD	2,236.50	
10AUG17	B 1 PD10NOV17	2039.50	2116.46	USD	1,924.00	
10AUG17	S 4 PD10NOV17	2039.00	2116.46	USD	-7,746.00	
10AUG17	S 4 PD10NOV17	2038.50	2116.46	USD	-7,796.00	
10AUG17	S 1 PD10NOV17	2042.00	2116.46	USD	-1,861.50	
10AUG17	S 1 PD10NOV17	2040.50	2116.46	USD	-1,899.00	
10AUG17	S 2 PD10NOV17	2039.00	2116.46	USD	-3,873.00	
10AUG17	S 1 PD10NOV17	2040.50	2116.46	USD	-1,899.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.							
11AUG17	B	1	PD10NOV17	2022.00	2116.46	USD	2,361.50	
11AUG17	B	2	PD10NOV17	2023.00	2116.46	USD	4,673.00	
11AUG17	S	1	PD10NOV17	2032.00	2116.46	USD	-2,111.50	
11AUG17	B	1	PD10NOV17	2027.00	2116.46	USD	2,236.50	
11AUG17	B	2	PD10NOV17	2025.00	2116.46	USD	4,573.00	
11AUG17	S	3	PD10NOV17	2034.00	2116.46	USD	-6,184.50	
11AUG17	S	1	PD10NOV17	2034.50	2116.46	USD	-2,049.00	
11AUG17	S	1	PD10NOV17	2036.50	2116.46	USD	-1,999.00	
11AUG17	S	1	PD10NOV17	2037.50	2116.46	USD	-1,974.00	
11AUG17	B	1	PD10NOV17	2033.50	2116.46	USD	2,074.00	
11AUG17	B	1	PD10NOV17	2026.00	2116.46	USD	2,261.50	
11AUG17	S	1	PD10NOV17	2025.00	2116.46	USD	-2,286.50	
11AUG17	B	1	PD10NOV17	2042.00	2116.46	USD	1,861.50	
11AUG17	S	2	PD10NOV17	2041.00	2116.46	USD	-3,773.00	
11AUG17	B	1	PD10NOV17	2037.50	2116.46	USD	1,974.00	
11AUG17	B	1	PD10NOV17	2036.00	2116.46	USD	2,011.50	
11AUG17	S	1	PD10NOV17	2039.00	2116.46	USD	-1,936.50	
11AUG17	S	1	PD10NOV17	2045.00	2116.46	USD	-1,786.50	
11AUG17	S	2	PD10NOV17	2042.00	2116.46	USD	-3,723.00	
11AUG17	S	2	PD10NOV17	2041.50	2116.46	USD	-3,748.00	
11AUG17	S	2	PD10NOV17	2040.50	2116.46	USD	-3,798.00	
11AUG17	B	6	PD10NOV17	2048.00	2116.46	USD	10,269.00	
11AUG17	B	3	PD10NOV17	2047.50	2116.46	USD	5,172.00	
11AUG17	B	4	PD10NOV17	2046.50	2116.46	USD	6,996.00	
11AUG17	B	1	PD10NOV17	2045.50	2116.46	USD	1,774.00	
11AUG17	S	1	PD10NOV17	2043.50	2116.46	USD	-1,824.00	
	NET	**0**	**AVG BGHT 2036.06**	**SOLD 2037.96**			**1,662.50**	**0.00**
14AUG17	B	1	PD14NOV17	2031.50	2117.89	USD	2,159.75	
14AUG17	B	1	PD14NOV17	2028.50	2117.89	USD	2,234.75	
14AUG17	B	1	PD14NOV17	2027.50	2117.89	USD	2,259.75	
14AUG17	B	3	PD14NOV17	2027.00	2117.89	USD	6,816.75	
14AUG17	B	2	PD14NOV17	2028.00	2117.89	USD	4,494.50	
14AUG17	B	1	PD14NOV17	2026.00	2117.89	USD	2,297.25	
14AUG17	B	1	PD14NOV17	2025.50	2117.89	USD	2,309.75	
14AUG17	S	1	PD14NOV17	2031.50	2117.89	USD	-2,159.75	
14AUG17	S	1	PD14NOV17	2030.50	2117.89	USD	-2,184.75	
14AUG17	S	1	PD14NOV17	2032.50	2117.89	USD	-2,134.75	
14AUG17	S	4	PD14NOV17	2032.00	2117.89	USD	-8,589.00	
14AUG17	S	2	PD14NOV17	2033.00	2117.89	USD	-4,244.50	
14AUG17	S	1	PD14NOV17	2034.50	2117.89	USD	-2,084.75	
	NET	**0**	**AVG BGHT 2027.60**	**SOLD 2032.30**			**1,175.00**	**0.00**
04SEP17	S	1	PD04DEC17	2126.50	2123.41	USD	77.25	
04SEP17	B	1	PD04DEC17	2126.50	2123.41	USD	-77.25	
	NET	**0**	**AVG BGHT 2126.50**	**SOLD 2126.50**			**0.00**	**0.00**
13SEP17	S	1	PD13DEC17	2133.00	2125.85	USD	178.75	
13SEP17	S	3	PD13DEC17	2136.00	2125.85	USD	761.25	
13SEP17	S	1	PD13DEC17	2135.50	2125.85	USD	241.25	
13SEP17	B	1	PD13DEC17	2128.50	2125.85	USD	-66.25	
13SEP17	B	1	PD13DEC17	2122.50	2125.85	USD	83.75	
13SEP17	B	1	PD13DEC17	2118.00	2125.85	USD	196.25	
13SEP17	B	1	PD13DEC17	2112.50	2125.85	USD	333.75	
13SEP17	S	1	PD13DEC17	2111.00	2125.85	USD	-371.25	
13SEP17	B	1	PD13DEC17	2114.00	2125.85	USD	296.25	
13SEP17	S	1	PD13DEC17	2112.50	2125.85	USD	-333.75	
15SEP17	B	1	PD13DEC17	2085.50	2125.85	USD	1,008.75	
19SEP17	B	1	PD13DEC17	2123.28	2125.85	USD	64.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
	NET 0 AVG BGHT 2114.90 SOLD 2128.57				**2,393.00**	**0.00**
14SEP17	S 2 PD14DEC17	2124.00	2126.12	USD	-106.00	
14SEP17	S 3 PD14DEC17	2125.00	2126.12	USD	-84.00	
14SEP17	B 1 PD14DEC17	2115.50	2126.12	USD	265.50	
14SEP17	B 1 PD14DEC17	2113.50	2126.12	USD	315.50	
14SEP17	B 2 PD14DEC17	2112.50	2126.12	USD	681.00	
14SEP17	B 1 PD14DEC17	2112.00	2126.12	USD	353.00	
14SEP17	B 1 PD14DEC17	2090.00	2126.12	USD	903.00	
14SEP17	B 1 PD14DEC17	2088.50	2126.12	USD	940.50	
14SEP17	S 1 PD14DEC17	2104.50	2126.12	USD	-540.50	
15SEP17	S 1 PD14DEC17	2085.55	2126.12	USD	-1,014.25	
	NET 0 AVG BGHT 2106.36 SOLD 2116.15				**1,713.75**	**0.00**
15SEP17	B 1 PD15DEC17	2089.50	2126.39	USD	922.25	
15SEP17	S 1 PD15DEC17	2089.50	2126.39	USD	-922.25	
15SEP17	B 2 PD15DEC17	2089.00	2126.39	USD	1,869.50	
15SEP17	S 1 PD15DEC17	2093.00	2126.39	USD	-834.75	
15SEP17	S 1 PD15DEC17	2091.50	2126.39	USD	-872.25	
15SEP17	S 1 PD15DEC17	2094.50	2126.39	USD	-797.25	
15SEP17	S 1 PD15DEC17	2096.00	2126.39	USD	-759.75	
15SEP17	S 1 PD15DEC17	2100.50	2126.39	USD	-647.25	
15SEP17	S 2 PD15DEC17	2100.00	2126.39	USD	-1,319.50	
19SEP17	B 5 PD15DEC17	2123.85	2126.39	USD	317.50	
	NET 0 AVG BGHT 2110.84 SOLD 2095.63				**-3,043.75**	**0.00**
18SEP17	B 1 PD18DEC17	2094.00	2127.21	USD	830.25	
18SEP17	B 1 PD18DEC17	2096.00	2127.21	USD	780.25	
18SEP17	B 1 PD18DEC17	2092.00	2127.21	USD	880.25	
18SEP17	B 1 PD18DEC17	2091.00	2127.21	USD	905.25	
18SEP17	S 1 PD18DEC17	2102.50	2127.21	USD	-617.75	
18SEP17	B 1 PD18DEC17	2092.50	2127.21	USD	867.75	
18SEP17	B 1 PD18DEC17	2091.50	2127.21	USD	892.75	
18SEP17	B 1 PD18DEC17	2089.50	2127.21	USD	942.75	
19SEP17	S 6 PD18DEC17	2124.51	2127.21	USD	-405.00	
	NET 0 AVG BGHT 2092.36 SOLD 2121.37				**5,076.50**	**0.00**
19SEP17	S 1 PD19DEC17	2104.50	2127.48	USD	-574.50	
19SEP17	S 1 PD19DEC17	2105.50	2127.48	USD	-549.50	
19SEP17	S 1 PD19DEC17	2106.00	2127.48	USD	-537.00	
19SEP17	S 1 PD19DEC17	2104.00	2127.48	USD	-587.00	
19SEP17	S 3 PD19DEC17	2105.00	2127.48	USD	-1,686.00	
19SEP17	S 1 PD19DEC17	2109.50	2127.48	USD	-449.50	
19SEP17	S 1 PD19DEC17	2110.00	2127.48	USD	-437.00	
19SEP17	S 1 PD19DEC17	2111.50	2127.48	USD	-399.50	
19SEP17	S 3 PD19DEC17	2112.00	2127.48	USD	-1,161.00	
19SEP17	S 1 PD19DEC17	2111.00	2127.48	USD	-412.00	
19SEP17	S 2 PD19DEC17	2115.00	2127.48	USD	-624.00	
19SEP17	S 1 PD19DEC17	2113.00	2127.48	USD	-362.00	
19SEP17	S 2 PD19DEC17	2112.50	2127.48	USD	-749.00	
19SEP17	S 1 PD19DEC17	2105.50	2127.48	USD	-549.50	
19SEP17	S 1 PD19DEC17	2129.50	2127.48	USD	50.50	
19SEP17	B 20 PD19DEC17	2125.20	2127.48	USD	1,140.00	
	NET -1 AVG BGHT 2125.20 SOLD 2110.29				**-7,887.00**	**0.00**
20SEP17	S 1 PD20DEC17	2142.00	2127.75	USD	356.25	
20SEP17	S 6 PD20DEC17	2144.50	2127.75	USD	2,512.50	
20SEP17	B 7 PD20DEC17	2145.00	2127.75	USD	-3,018.75	
20SEP17	S 1 PD20DEC17	2174.50	2127.75	USD	1,168.75	
20SEP17	S 2 PD20DEC17	2173.00	2127.75	USD	2,262.50	
20SEP17	S 1 PD20DEC17	2174.00	2127.75	USD	1,156.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
20SEP17	S 2 PD20DEC17	2175.50	2127.75	USD	2,387.50	
20SEP17	S 1 PD20DEC17	2182.00	2127.75	USD	1,356.25	
20SEP17	S 1 PD20DEC17	2182.50	2127.75	USD	1,368.75	
20SEP17	S 1 PD20DEC17	2185.00	2127.75	USD	1,431.25	
20SEP17	S 1 PD20DEC17	2186.50	2127.75	USD	1,468.75	
20SEP17	S 1 PD20DEC17	2187.00	2127.75	USD	1,481.25	
20SEP17	S 1 PD20DEC17	2190.00	2127.75	USD	1,556.25	
20SEP17	S 1 PD20DEC17	2189.50	2127.75	USD	1,543.75	
20SEP17	B 1 PD20DEC17	2163.50	2127.75	USD	-893.75	
20SEP17	B 4 PD20DEC17	2180.35	2127.75	USD	-5,260.00	
20SEP17	B 3 PD20DEC17	2186.35	2127.75	USD	-4,395.00	
20SEP17	B 5 PD20DEC17	2188.35	2127.75	USD	-7,575.00	
	NET 0 AVG BGHT 2170.04 SOLD 2167.85				**-1,092.50**	**0.00**
21SEP17	B 1 PD21DEC17	2159.50	2127.98	USD	-788.00	
21SEP17	S 1 PD21DEC17	2174.50	2127.98	USD	1,163.00	
21SEP17	S 1 PD21DEC17	2165.00	2127.98	USD	925.50	
21SEP17	S 1 PD21DEC17	2169.50	2127.98	USD	1,038.00	
21SEP17	B 2 PD21DEC17	2142.00	2127.98	USD	-701.00	
	NET 0 AVG BGHT 2147.83 SOLD 2169.67				**1,637.50**	**0.00**
22SEP17	B 9 PD22DEC17	2134.00	2128.21	USD	-1,302.75	
22SEP17	B 1 PD22DEC17	2131.00	2128.21	USD	-69.75	
22SEP17	B 1 PD22DEC17	2130.50	2128.21	USD	-57.25	
22SEP17	B 1 PD22DEC17	2127.50	2128.21	USD	17.75	
22SEP17	B 1 PD22DEC17	2121.00	2128.21	USD	180.25	
22SEP17	B 2 PD22DEC17	2119.00	2128.21	USD	460.50	
22SEP17	B 1 PD22DEC17	2115.00	2128.21	USD	330.25	
22SEP17	S 1 PD22DEC17	2129.00	2128.21	USD	19.75	
22SEP17	S 1 PD22DEC17	2130.50	2128.21	USD	57.25	
22SEP17	S 1 PD22DEC17	2140.50	2128.21	USD	307.25	
22SEP17	S 1 PD22DEC17	2146.00	2128.21	USD	444.75	
22SEP17	S 1 PD22DEC17	2148.50	2128.21	USD	507.25	
22SEP17	S 1 PD22DEC17	2149.00	2128.21	USD	519.75	
22SEP17	S 1 PD22DEC17	2149.50	2128.21	USD	532.25	
22SEP17	S 1 PD22DEC17	2153.00	2128.21	USD	619.75	
22SEP17	S 1 PD22DEC17	2154.00	2128.21	USD	644.75	
22SEP17	S 1 PD22DEC17	2153.50	2128.21	USD	632.25	
22SEP17	B 1 PD22DEC17	2151.50	2128.21	USD	-582.25	
22SEP17	S 3 PD22DEC17	2159.50	2128.21	USD	2,346.75	
22SEP17	S 1 PD22DEC17	2160.00	2128.21	USD	794.75	
22SEP17	S 1 PD22DEC17	2160.50	2128.21	USD	807.25	
22SEP17	S 2 PD22DEC17	2155.00	2128.21	USD	1,339.50	
	NET 0 AVG BGHT 2130.62 SOLD 2150.74				**8,550.00**	**0.00**
25SEP17	S 1 PD27DEC17	2154.50	2129.38	USD	628.00	
26SEP17	S 1 PD27DEC17	2158.00	2129.38	USD	715.50	
	NET -2 AVG SOLD 2156.25				**1,343.50**	**0.00**
29SEP17	S 1 PD29DEC17	2134.50	2129.84	USD	116.50	
29SEP17	S 1 PD29DEC17	2135.50	2129.84	USD	141.50	
29SEP17	S 1 PD29DEC17	2136.50	2129.84	USD	166.50	
29SEP17	B 1 PD29DEC17	2125.00	2129.84	USD	121.00	
29SEP17	B 2 PD29DEC17	2124.50	2129.84	USD	267.00	
29SEP17	B 1 PD29DEC17	2107.50	2129.84	USD	558.50	
29SEP17	B 1 PD29DEC17	2106.00	2129.84	USD	596.00	
29SEP17	B 1 PD29DEC17	2105.50	2129.84	USD	608.50	
	NET +3 AVG BGHT 2115.50 SOLD 2135.50				**2,575.50**	**0.00**
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN							
04JUL17	B	2	PD04OCT17	2317.00	2584.77	USD	13,388.50	
04JUL17	B	1	PD04OCT17	2316.00	2584.77	USD	6,719.25	
04JUL17	S	1	PD04OCT17	2316.00	2584.77	USD	-6,719.25	
04JUL17	B	1	PD04OCT17	2306.00	2584.77	USD	6,969.25	
04JUL17	B	1	PD04OCT17	2303.00	2584.77	USD	7,044.25	
04JUL17	S	1	PD04OCT17	2310.50	2584.77	USD	-6,856.75	
04JUL17	B	1	PD04OCT17	2308.50	2584.77	USD	6,906.75	
04JUL17	S	1	PD04OCT17	2307.50	2584.77	USD	-6,931.75	
04JUL17	B	1	PD04OCT17	2308.00	2584.77	USD	6,919.25	
04JUL17	B	1	PD04OCT17	2306.50	2584.77	USD	6,956.75	
04JUL17	B	1	PD04OCT17	2300.00	2584.77	USD	7,119.25	
04JUL17	B	2	PD04OCT17	2298.00	2584.77	USD	14,338.50	
04JUL17	B	1	PD04OCT17	2298.50	2584.77	USD	7,156.75	
04JUL17	B	1	PD04OCT17	2294.00	2584.77	USD	7,269.25	
04JUL17	B	1	PD04OCT17	2317.00	2584.77	USD	6,694.25	
04JUL17	S	1	PD04OCT17	2300.50	2584.77	USD	-7,106.75	
04JUL17	B	2	PD04OCT17	2307.00	2584.77	USD	13,888.50	
04JUL17	B	2	PD04OCT17	2305.50	2584.77	USD	13,963.50	
04JUL17	B	1	PD04OCT17	2299.00	2584.77	USD	7,144.25	
04JUL17	B	1	PD04OCT17	2296.00	2584.77	USD	7,219.25	
04JUL17	S	1	PD04OCT17	2297.50	2584.77	USD	-7,181.75	
04JUL17	B	1	PD04OCT17	2296.50	2584.77	USD	7,206.75	
04JUL17	B	1	PD04OCT17	2293.50	2584.77	USD	7,281.75	
04JUL17	S	1	PD04OCT17	2294.00	2584.77	USD	-7,269.25	
05JUL17	S	16	PD04OCT17	2274.80	2584.77	USD	-123,988.00	
	NET	**0**	**AVG BGHT 2304.43**	**SOLD 2282.85**			**-11,867.50**	**0.00**
05JUL17	S	1	PD05OCT17	2286.00	2585.00	USD	-7,475.00	
05JUL17	B	1	PD05OCT17	2276.50	2585.00	USD	7,712.50	
05JUL17	B	1	PD05OCT17	2273.50	2585.00	USD	7,787.50	
05JUL17	B	1	PD05OCT17	2273.00	2585.00	USD	7,800.00	
05JUL17	S	1	PD05OCT17	2277.00	2585.00	USD	-7,700.00	
05JUL17	B	1	PD05OCT17	2270.50	2585.00	USD	7,862.50	
05JUL17	B	1	PD05OCT17	2270.00	2585.00	USD	7,875.00	
05JUL17	S	2	PD05OCT17	2269.00	2585.00	USD	-15,800.00	
05JUL17	S	1	PD05OCT17	2258.50	2585.00	USD	-8,162.50	
05JUL17	B	1	PD05OCT17	2258.50	2585.00	USD	8,162.50	
05JUL17	B	1	PD05OCT17	2248.50	2585.00	USD	8,412.50	
05JUL17	S	1	PD05OCT17	2246.00	2585.00	USD	-8,475.00	
10JUL17	S	1	PD05OCT17	2319.35	2585.00	USD	-6,641.25	
	NET	**0**	**AVG BGHT 2267.21**	**SOLD 2274.98**			**1,358.75**	**0.00**
06JUL17	S	1	PD06OCT17	2262.00	2585.23	USD	-8,080.75	
06JUL17	S	1	PD06OCT17	2261.00	2585.23	USD	-8,105.75	
06JUL17	S	2	PD06OCT17	2260.00	2585.23	USD	-16,261.50	
06JUL17	S	1	PD06OCT17	2260.50	2585.23	USD	-8,118.25	
06JUL17	S	1	PD06OCT17	2258.50	2585.23	USD	-8,168.25	
06JUL17	S	1	PD06OCT17	2268.50	2585.23	USD	-7,918.25	
06JUL17	B	1	PD06OCT17	2268.50	2585.23	USD	7,918.25	
06JUL17	S	1	PD06OCT17	2271.50	2585.23	USD	-7,843.25	
06JUL17	B	1	PD06OCT17	2272.00	2585.23	USD	7,830.75	
06JUL17	S	1	PD06OCT17	2275.00	2585.23	USD	-7,755.75	
06JUL17	B	1	PD06OCT17	2269.50	2585.23	USD	7,893.25	
06JUL17	S	1	PD06OCT17	2288.00	2585.23	USD	-7,430.75	
06JUL17	B	1	PD06OCT17	2289.00	2585.23	USD	7,405.75	
06JUL17	B	1	PD06OCT17	2288.50	2585.23	USD	7,418.25	
06JUL17	B	1	PD06OCT17	2290.00	2585.23	USD	7,380.75	
06JUL17	B	1	PD06OCT17	2285.00	2585.23	USD	7,505.75	
06JUL17	S	1	PD06OCT17	2283.00	2585.23	USD	-7,555.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
06JUL17	B	1	PD06OCT17	2278.00	2585.23	USD	7,680.75	
06JUL17	S	1	PD06OCT17	2281.00	2585.23	USD	-7,605.75	
06JUL17	B	1	PD06OCT17	2280.00	2585.23	USD	7,630.75	
06JUL17	S	1	PD06OCT17	2286.00	2585.23	USD	-7,480.75	
06JUL17	B	1	PD06OCT17	2282.00	2585.23	USD	7,580.75	
06JUL17	B	1	PD06OCT17	2281.00	2585.23	USD	7,605.75	
06JUL17	B	2	PD06OCT17	2284.00	2585.23	USD	15,061.50	
07JUL17	S	1	PD06OCT17	2287.00	2585.23	USD	-7,455.75	
07JUL17	B	1	PD06OCT17	2297.50	2585.23	USD	7,193.25	
07JUL17	B	1	PD06OCT17	2296.00	2585.23	USD	7,230.75	
07JUL17	S	1	PD06OCT17	2304.00	2585.23	USD	-7,030.75	
07JUL17	B	1	PD06OCT17	2295.00	2585.23	USD	7,255.75	
07JUL17	B	1	PD06OCT17	2289.00	2585.23	USD	7,405.75	
07JUL17	S	2	PD06OCT17	2284.50	2585.23	USD	-15,036.50	
07JUL17	S	2	PD06OCT17	2281.50	2585.23	USD	-15,186.50	
07JUL17	S	1	PD06OCT17	2282.00	2585.23	USD	-7,580.75	
07JUL17	B	1	PD06OCT17	2285.00	2585.23	USD	7,505.75	
07JUL17	B	1	PD06OCT17	2278.00	2585.23	USD	7,680.75	
07JUL17	B	1	PD06OCT17	2285.50	2585.23	USD	7,493.25	
	NET	**0**	**AVG BGHT 2283.88**	**SOLD 2276.00**			**-3,937.50**	**0.00**
10JUL17	S	1	PD10OCT17	2280.50	2586.15	USD	-7,641.25	
10JUL17	S	1	PD10OCT17	2280.00	2586.15	USD	-7,653.75	
10JUL17	B	1	PD10OCT17	2286.00	2586.15	USD	7,503.75	
10JUL17	S	1	PD10OCT17	2276.00	2586.15	USD	-7,753.75	
10JUL17	S	1	PD10OCT17	2276.50	2586.15	USD	-7,741.25	
10JUL17	S	1	PD10OCT17	2278.50	2586.15	USD	-7,691.25	
10JUL17	S	1	PD10OCT17	2283.00	2586.15	USD	-7,578.75	
10JUL17	S	1	PD10OCT17	2284.00	2586.15	USD	-7,553.75	
10JUL17	S	1	PD10OCT17	2282.00	2586.15	USD	-7,603.75	
10JUL17	S	1	PD10OCT17	2291.50	2586.15	USD	-7,366.25	
10JUL17	S	1	PD10OCT17	2288.00	2586.15	USD	-7,453.75	
10JUL17	S	1	PD10OCT17	2285.00	2586.15	USD	-7,528.75	
10JUL17	B	1	PD10OCT17	2315.00	2586.15	USD	6,778.75	
18JUL17	B	9	PD10OCT17	2273.64	2586.15	USD	70,314.75	
	NET	**0**	**AVG BGHT 2278.52**	**SOLD 2282.27**			**1,031.00**	**0.00**
11JUL17	S	1	PD11OCT17	2318.00	2586.38	USD	-6,709.50	
11JUL17	B	1	PD11OCT17	2311.50	2586.38	USD	6,872.00	
11JUL17	S	1	PD11OCT17	2312.00	2586.38	USD	-6,859.50	
11JUL17	S	1	PD11OCT17	2312.50	2586.38	USD	-6,847.00	
11JUL17	S	1	PD11OCT17	2315.00	2586.38	USD	-6,784.50	
11JUL17	B	1	PD11OCT17	2331.00	2586.38	USD	6,384.50	
11JUL17	B	1	PD11OCT17	2326.50	2586.38	USD	6,497.00	
11JUL17	B	1	PD11OCT17	2324.00	2586.38	USD	6,559.50	
11JUL17	B	2	PD11OCT17	2319.50	2586.38	USD	13,344.00	
11JUL17	S	1	PD11OCT17	2319.00	2586.38	USD	-6,684.50	
11JUL17	S	2	PD11OCT17	2321.50	2586.38	USD	-13,244.00	
11JUL17	B	1	PD11OCT17	2332.50	2586.38	USD	6,347.00	
11JUL17	S	1	PD11OCT17	2334.00	2586.38	USD	-6,309.50	
11JUL17	S	1	PD11OCT17	2333.00	2586.38	USD	-6,334.50	
11JUL17	B	1	PD11OCT17	2306.00	2586.38	USD	7,009.50	
11JUL17	B	1	PD11OCT17	2304.00	2586.38	USD	7,059.50	
11JUL17	S	1	PD11OCT17	2308.50	2586.38	USD	-6,947.00	
18JUL17	B	1	PD11OCT17	2273.81	2586.38	USD	7,814.25	
	NET	**0**	**AVG BGHT 2314.83**	**SOLD 2319.50**			**1,167.25**	**0.00**
12JUL17	B	1	PD12OCT17	2309.00	2586.62	USD	6,940.50	
12JUL17	S	1	PD12OCT17	2317.00	2586.62	USD	-6,740.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
12JUL17	B 1 PD12OCT17	2319.50	2586.62	USD	6,678.00	
12JUL17	S 1 PD12OCT17	2319.00	2586.62	USD	-6,690.50	
	NET 0 AVG BGHT 2314.25 SOLD 2318.00				**187.50**	**0.00**
13JUL17	S 1 PD13OCT17	2323.00	2586.85	USD	-6,596.25	
13JUL17	B 1 PD13OCT17	2322.00	2586.85	USD	6,621.25	
13JUL17	B 1 PD13OCT17	2320.50	2586.85	USD	6,658.75	
13JUL17	B 1 PD13OCT17	2319.00	2586.85	USD	6,696.25	
13JUL17	S 1 PD13OCT17	2316.00	2586.85	USD	-6,771.25	
13JUL17	B 1 PD13OCT17	2315.00	2586.85	USD	6,796.25	
13JUL17	B 1 PD13OCT17	2327.00	2586.85	USD	6,496.25	
13JUL17	B 1 PD13OCT17	2323.00	2586.85	USD	6,596.25	
13JUL17	B 1 PD13OCT17	2319.50	2586.85	USD	6,683.75	
13JUL17	B 2 PD13OCT17	2321.00	2586.85	USD	13,292.50	
13JUL17	B 1 PD13OCT17	2310.50	2586.85	USD	6,908.75	
13JUL17	B 1 PD13OCT17	2291.50	2586.85	USD	7,383.75	
13JUL17	B 1 PD13OCT17	2290.00	2586.85	USD	7,421.25	
13JUL17	B 1 PD13OCT17	2288.50	2586.85	USD	7,458.75	
13JUL17	S 1 PD13OCT17	2290.50	2586.85	USD	-7,408.75	
14JUL17	B 1 PD13OCT17	2275.00	2586.85	USD	7,796.25	
14JUL17	B 1 PD13OCT17	2278.00	2586.85	USD	7,721.25	
14JUL17	B 1 PD13OCT17	2270.50	2586.85	USD	7,908.75	
14JUL17	B 1 PD13OCT17	2269.50	2586.85	USD	7,933.75	
14JUL17	S 1 PD13OCT17	2276.50	2586.85	USD	-7,758.75	
14JUL17	B 2 PD13OCT17	2276.50	2586.85	USD	15,517.50	
14JUL17	S 1 PD13OCT17	2276.00	2586.85	USD	-7,771.25	
14JUL17	S 1 PD13OCT17	2286.50	2586.85	USD	-7,508.75	
14JUL17	B 1 PD13OCT17	2288.00	2586.85	USD	7,471.25	
14JUL17	S 1 PD13OCT17	2301.50	2586.85	USD	-7,133.75	
14JUL17	S 2 PD13OCT17	2302.50	2586.85	USD	-14,217.50	
14JUL17	S 1 PD13OCT17	2294.50	2586.85	USD	-7,308.75	
18JUL17	S 10 PD13OCT17	2273.95	2586.85	USD	-78,225.00	
	NET 0 AVG BGHT 2300.13 SOLD 2285.45				**-7,337.50**	**0.00**
18JUL17	B 1 PD18OCT17	2272.50	2588.00	USD	7,887.50	
18JUL17	S 1 PD18OCT17	2275.50	2588.00	USD	-7,812.50	
	NET 0 AVG BGHT 2272.50 SOLD 2275.50				**75.00**	**0.00**
19JUL17	S 1 PD19OCT17	2273.00	2588.00	USD	-7,875.00	
19JUL17	B 1 PD19OCT17	2259.50	2588.00	USD	8,212.50	
19JUL17	B 1 PD19OCT17	2258.00	2588.00	USD	8,250.00	
19JUL17	B 1 PD19OCT17	2253.50	2588.00	USD	8,362.50	
19JUL17	B 2 PD19OCT17	2254.00	2588.00	USD	16,700.00	
19JUL17	B 1 PD19OCT17	2255.00	2588.00	USD	8,325.00	
19JUL17	B 1 PD19OCT17	2256.00	2588.00	USD	8,300.00	
19JUL17	B 2 PD19OCT17	2257.00	2588.00	USD	16,550.00	
19JUL17	B 1 PD19OCT17	2256.50	2588.00	USD	8,287.50	
19JUL17	B 1 PD19OCT17	2255.50	2588.00	USD	8,312.50	
24JUL17	S 10 PD19OCT17	2259.38	2588.00	USD	-82,155.00	
	NET 0 AVG BGHT 2256.00 SOLD 2260.62				**1,270.00**	**0.00**
20JUL17	S 1 PD20OCT17	2227.00	2588.00	USD	-9,025.00	
20JUL17	S 1 PD20OCT17	2225.00	2588.00	USD	-9,075.00	
20JUL17	S 1 PD20OCT17	2223.00	2588.00	USD	-9,125.00	
20JUL17	S 1 PD20OCT17	2223.50	2588.00	USD	-9,112.50	
20JUL17	S 2 PD20OCT17	2224.00	2588.00	USD	-18,200.00	
20JUL17	S 3 PD20OCT17	2224.50	2588.00	USD	-27,262.50	
20JUL17	S 1 PD20OCT17	2225.50	2588.00	USD	-9,062.50	
20JUL17	S 1 PD20OCT17	2215.50	2588.00	USD	-9,312.50	
20JUL17	S 1 PD20OCT17	2216.00	2588.00	USD	-9,300.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
20JUL17	S	2	PD20OCT17	2217.00	2588.00	USD	-18,550.00	
20JUL17	S	1	PD20OCT17	2217.50	2588.00	USD	-9,262.50	
21JUL17	S	1	PD20OCT17	2236.00	2588.00	USD	-8,800.00	
21JUL17	S	1	PD20OCT17	2240.00	2588.00	USD	-8,700.00	
21JUL17	S	1	PD20OCT17	2238.50	2588.00	USD	-8,737.50	
21JUL17	S	1	PD20OCT17	2241.00	2588.00	USD	-8,675.00	
21JUL17	S	1	PD20OCT17	2254.00	2588.00	USD	-8,350.00	
21JUL17	S	1	PD20OCT17	2236.00	2588.00	USD	-8,800.00	
21JUL17	B	1	PD20OCT17	2238.50	2588.00	USD	8,737.50	
21JUL17	B	1	PD20OCT17	2229.00	2588.00	USD	8,975.00	
21JUL17	B	1	PD20OCT17	2228.00	2588.00	USD	9,000.00	
21JUL17	B	1	PD20OCT17	2240.50	2588.00	USD	8,687.50	
21JUL17	B	1	PD20OCT17	2244.50	2588.00	USD	8,587.50	
21JUL17	B	2	PD20OCT17	2245.00	2588.00	USD	17,150.00	
21JUL17	B	2	PD20OCT17	2246.50	2588.00	USD	17,075.00	
21JUL17	B	1	PD20OCT17	2245.50	2588.00	USD	8,562.50	
21JUL17	B	1	PD20OCT17	2241.00	2588.00	USD	8,675.00	
24JUL17	B	10	PD20OCT17	2259.70	2588.00	USD	82,075.00	
	NET	**0**	**AVG BGHT 2249.86**	**SOLD 2227.33**			**-11,825.00**	**0.00**
24JUL17	B	1	PD24OCT17	2255.00	2588.00	USD	8,325.00	
24JUL17	S	1	PD24OCT17	2260.00	2588.00	USD	-8,200.00	
	NET	**0**	**AVG BGHT 2255.00**	**SOLD 2260.00**			**125.00**	**0.00**
25JUL17	S	1	PD25OCT17	2297.50	2588.00	USD	-7,262.50	
25JUL17	S	1	PD25OCT17	2298.50	2588.00	USD	-7,237.50	
25JUL17	B	1	PD25OCT17	2298.50	2588.00	USD	7,237.50	
25JUL17	B	1	PD25OCT17	2297.50	2588.00	USD	7,262.50	
	NET	**0**	**AVG BGHT 2298.00**	**SOLD 2298.00**			**0.00**	**0.00**
26JUL17	B	1	PD26OCT17	2319.50	2588.00	USD	6,712.50	
26JUL17	S	1	PD26OCT17	2322.00	2588.00	USD	-6,650.00	
	NET	**0**	**AVG BGHT 2319.50**	**SOLD 2322.00**			**62.50**	**0.00**
27JUL17	B	1	PD27OCT17	2327.50	2588.00	USD	6,512.50	
27JUL17	B	1	PD27OCT17	2299.50	2588.00	USD	7,212.50	
28JUL17	S	1	PD27OCT17	2299.00	2588.00	USD	-7,225.00	
28JUL17	S	1	PD27OCT17	2296.50	2588.00	USD	-7,287.50	
	NET	**0**	**AVG BGHT 2313.50**	**SOLD 2297.75**			**-787.50**	**0.00**
02AUG17	B	1	PD02NOV17	2349.00	2588.00	USD	5,975.00	
02AUG17	S	1	PD02NOV17	2358.50	2588.00	USD	-5,737.50	
22SEP17	S	10	PD02NOV17	2487.57	2588.00	USD	-25,107.50	
26SEP17	S	3	PD02NOV17	2484.26	2588.00	USD	-7,780.50	
	NET	**-13**	**AVG BGHT 2349.00**	**SOLD 2477.64**			**-32,650.50**	**0.00**
03AUG17	B	1	PD03NOV17	2361.00	2588.00	USD	5,675.00	
03AUG17	S	1	PD03NOV17	2368.00	2588.00	USD	-5,500.00	
03AUG17	B	1	PD03NOV17	2363.00	2588.00	USD	5,625.00	
03AUG17	B	2	PD03NOV17	2361.50	2588.00	USD	11,325.00	
03AUG17	B	1	PD03NOV17	2360.00	2588.00	USD	5,700.00	
03AUG17	B	1	PD03NOV17	2356.00	2588.00	USD	5,800.00	
03AUG17	S	1	PD03NOV17	2353.50	2588.00	USD	-5,862.50	
03AUG17	S	1	PD03NOV17	2359.00	2588.00	USD	-5,725.00	
03AUG17	B	1	PD03NOV17	2355.50	2588.00	USD	5,812.50	
03AUG17	B	1	PD03NOV17	2353.50	2588.00	USD	5,862.50	
03AUG17	B	1	PD03NOV17	2355.00	2588.00	USD	5,825.00	
03AUG17	S	1	PD03NOV17	2361.00	2588.00	USD	-5,675.00	
03AUG17	S	2	PD03NOV17	2361.50	2588.00	USD	-11,325.00	
03AUG17	S	1	PD03NOV17	2364.50	2588.00	USD	-5,587.50	
04AUG17	S	1	PD03NOV17	2364.50	2588.00	USD	-5,587.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT								
04AUG17	S	1	PD03NOV17		2374.50	2588.00	USD	-5,337.50	
04AUG17	S	1	PD03NOV17		2371.50	2588.00	USD	-5,412.50	
04AUG17	S	1	PD03NOV17		2369.00	2588.00	USD	-5,475.00	
04AUG17	S	1	PD03NOV17		2372.00	2588.00	USD	-5,400.00	
04AUG17	B	1	PD03NOV17		2372.00	2588.00	USD	5,400.00	
04AUG17	S	1	PD03NOV17		2373.00	2588.00	USD	-5,375.00	
04AUG17	S	2	PD03NOV17		2373.50	2588.00	USD	-10,725.00	
04AUG17	B	1	PD03NOV17		2366.00	2588.00	USD	5,550.00	
04AUG17	B	1	PD03NOV17		2366.50	2588.00	USD	5,537.50	
04AUG17	B	1	PD03NOV17		2368.00	2588.00	USD	5,500.00	
04AUG17	B	1	PD03NOV17		2359.50	2588.00	USD	5,712.50	
04AUG17	S	1	PD03NOV17		2359.00	2588.00	USD	-5,725.00	
04AUG17	B	1	PD03NOV17		2357.00	2588.00	USD	5,775.00	
04AUG17	B	1	PD03NOV17		2360.50	2588.00	USD	5,687.50	
	NET	**0**	**AVG BGHT 2361.03**	**SOLD 2366.22**				**2,075.00**	**0.00**
07AUG17	S	1	PD07NOV17		2358.00	2588.00	USD	-5,750.00	
07AUG17	S	1	PD07NOV17		2355.00	2588.00	USD	-5,825.00	
07AUG17	S	3	PD07NOV17		2358.50	2588.00	USD	-17,212.50	
07AUG17	S	1	PD07NOV17		2359.00	2588.00	USD	-5,725.00	
07AUG17	S	3	PD07NOV17		2359.50	2588.00	USD	-17,137.50	
07AUG17	S	1	PD07NOV17		2360.50	2588.00	USD	-5,687.50	
07AUG17	S	1	PD07NOV17		2361.50	2588.00	USD	-5,662.50	
07AUG17	S	1	PD07NOV17		2367.00	2588.00	USD	-5,525.00	
07AUG17	S	1	PD07NOV17		2368.00	2588.00	USD	-5,500.00	
07AUG17	S	1	PD07NOV17		2370.00	2588.00	USD	-5,450.00	
07AUG17	S	1	PD07NOV17		2366.00	2588.00	USD	-5,550.00	
07AUG17	B	1	PD07NOV17		2365.50	2588.00	USD	5,562.50	
07AUG17	S	1	PD07NOV17		2369.50	2588.00	USD	-5,462.50	
07AUG17	B	1	PD07NOV17		2373.50	2588.00	USD	5,362.50	
07AUG17	S	1	PD07NOV17		2379.00	2588.00	USD	-5,225.00	
07AUG17	S	1	PD07NOV17		2384.00	2588.00	USD	-5,100.00	
07AUG17	B	1	PD07NOV17		2383.50	2588.00	USD	5,112.50	
07AUG17	B	1	PD07NOV17		2388.50	2588.00	USD	4,987.50	
07AUG17	B	1	PD07NOV17		2384.00	2588.00	USD	5,100.00	
07AUG17	B	1	PD07NOV17		2385.00	2588.00	USD	5,075.00	
07AUG17	B	1	PD07NOV17		2387.00	2588.00	USD	5,025.00	
07AUG17	B	2	PD07NOV17		2387.50	2588.00	USD	10,025.00	
07AUG17	B	1	PD07NOV17		2380.00	2588.00	USD	5,200.00	
07AUG17	B	1	PD07NOV17		2380.50	2588.00	USD	5,187.50	
07AUG17	B	1	PD07NOV17		2378.50	2588.00	USD	5,237.50	
07AUG17	B	1	PD07NOV17		2382.00	2588.00	USD	5,150.00	
07AUG17	B	5	PD07NOV17		2381.00	2588.00	USD	25,875.00	
07AUG17	B	1	PD07NOV17		2376.00	2588.00	USD	5,300.00	
07AUG17	S	1	PD07NOV17		2386.00	2588.00	USD	-5,050.00	
07AUG17	B	3	PD07NOV17		2381.50	2588.00	USD	15,487.50	
07AUG17	B	1	PD07NOV17		2379.00	2588.00	USD	5,225.00	
07AUG17	B	1	PD07NOV17		2365.00	2588.00	USD	5,575.00	
07AUG17	B	1	PD07NOV17		2362.50	2588.00	USD	5,637.50	
07AUG17	S	1	PD07NOV17		2364.00	2588.00	USD	-5,600.00	
07AUG17	S	3	PD07NOV17		2364.50	2588.00	USD	-16,762.50	
07AUG17	S	1	PD07NOV17		2365.50	2588.00	USD	-5,562.50	
07AUG17	S	1	PD07NOV17		2366.50	2588.00	USD	-5,537.50	
07AUG17	S	1	PD07NOV17		2368.50	2588.00	USD	-5,487.50	
07AUG17	B	1	PD07NOV17		2369.00	2588.00	USD	5,475.00	
	NET	**0**	**AVG BGHT 2379.38**	**SOLD 2365.21**				**-9,212.50**	**0.00**
08AUG17	B	1	PD08NOV17		2373.00	2588.00	USD	5,375.00	
08AUG17	B	1	PD08NOV17		2375.50	2588.00	USD	5,312.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
08AUG17	B 1 PD08NOV17	2370.50	2588.00	USD	5,437.50	
08AUG17	B 1 PD08NOV17	2369.50	2588.00	USD	5,462.50	
08AUG17	B 1 PD08NOV17	2368.00	2588.00	USD	5,500.00	
08AUG17	B 1 PD08NOV17	2365.50	2588.00	USD	5,562.50	
08AUG17	B 1 PD08NOV17	2364.00	2588.00	USD	5,600.00	
08AUG17	B 1 PD08NOV17	2363.50	2588.00	USD	5,612.50	
08AUG17	S 1 PD08NOV17	2372.00	2588.00	USD	-5,400.00	
08AUG17	S 1 PD08NOV17	2371.00	2588.00	USD	-5,425.00	
08AUG17	B 1 PD08NOV17	2367.00	2588.00	USD	5,525.00	
08AUG17	S 2 PD08NOV17	2367.00	2588.00	USD	-11,050.00	
08AUG17	S 1 PD08NOV17	2367.50	2588.00	USD	-5,512.50	
08AUG17	B 2 PD08NOV17	2368.50	2588.00	USD	10,975.00	
08AUG17	B 1 PD08NOV17	2367.50	2588.00	USD	5,512.50	
08AUG17	B 1 PD08NOV17	2369.00	2588.00	USD	5,475.00	
08AUG17	S 1 PD08NOV17	2366.50	2588.00	USD	-5,537.50	
08AUG17	S 1 PD08NOV17	2348.00	2588.00	USD	-6,000.00	
08AUG17	S 1 PD08NOV17	2354.50	2588.00	USD	-5,837.50	
08AUG17	S 1 PD08NOV17	2346.00	2588.00	USD	-6,050.00	
08AUG17	S 2 PD08NOV17	2349.00	2588.00	USD	-11,950.00	
08AUG17	S 2 PD08NOV17	2352.50	2588.00	USD	-11,775.00	
	NET 0 AVG BGHT 2368.46 SOLD 2358.65				**-3,187.50**	**0.00**
09AUG17	B 1 PD09NOV17	2415.50	2588.00	USD	4,312.50	
09AUG17	B 1 PD09NOV17	2415.00	2588.00	USD	4,325.00	
09AUG17	S 1 PD09NOV17	2410.50	2588.00	USD	-4,437.50	
09AUG17	B 1 PD09NOV17	2398.00	2588.00	USD	4,750.00	
09AUG17	B 1 PD09NOV17	2396.50	2588.00	USD	4,787.50	
09AUG17	S 2 PD09NOV17	2391.50	2588.00	USD	-9,825.00	
09AUG17	S 1 PD09NOV17	2392.00	2588.00	USD	-4,900.00	
09AUG17	B 1 PD09NOV17	2399.50	2588.00	USD	4,712.50	
09AUG17	S 1 PD09NOV17	2376.00	2588.00	USD	-5,300.00	
09AUG17	S 1 PD09NOV17	2403.00	2588.00	USD	-4,625.00	
09AUG17	B 1 PD09NOV17	2389.50	2588.00	USD	4,962.50	
09AUG17	S 1 PD09NOV17	2384.50	2588.00	USD	-5,087.50	
09AUG17	B 1 PD09NOV17	2371.50	2588.00	USD	5,412.50	
	NET 0 AVG BGHT 2397.93 SOLD 2392.71				**-912.50**	**0.00**
10AUG17	S 1 PD10NOV17	2380.00	2588.00	USD	-5,200.00	
10AUG17	S 1 PD10NOV17	2381.00	2588.00	USD	-5,175.00	
10AUG17	S 1 PD10NOV17	2382.00	2588.00	USD	-5,150.00	
10AUG17	S 1 PD10NOV17	2380.50	2588.00	USD	-5,187.50	
10AUG17	S 1 PD10NOV17	2379.50	2588.00	USD	-5,212.50	
10AUG17	B 1 PD10NOV17	2370.00	2588.00	USD	5,450.00	
10AUG17	B 2 PD10NOV17	2370.50	2588.00	USD	10,875.00	
10AUG17	B 1 PD10NOV17	2369.00	2588.00	USD	5,475.00	
10AUG17	B 1 PD10NOV17	2376.00	2588.00	USD	5,300.00	
10AUG17	B 1 PD10NOV17	2381.00	2588.00	USD	5,175.00	
10AUG17	B 1 PD10NOV17	2378.50	2588.00	USD	5,237.50	
10AUG17	B 1 PD10NOV17	2378.00	2588.00	USD	5,250.00	
10AUG17	S 1 PD10NOV17	2378.00	2588.00	USD	-5,250.00	
10AUG17	S 1 PD10NOV17	2376.00	2588.00	USD	-5,300.00	
10AUG17	S 1 PD10NOV17	2374.50	2588.00	USD	-5,337.50	
10AUG17	S 1 PD10NOV17	2372.50	2588.00	USD	-5,387.50	
10AUG17	S 1 PD10NOV17	2373.50	2588.00	USD	-5,362.50	
10AUG17	S 1 PD10NOV17	2369.50	2588.00	USD	-5,462.50	
10AUG17	S 1 PD10NOV17	2366.00	2588.00	USD	-5,550.00	
10AUG17	B 1 PD10NOV17	2360.50	2588.00	USD	5,687.50	
10AUG17	S 1 PD10NOV17	2358.50	2588.00	USD	-5,737.50	
10AUG17	S 1 PD10NOV17	2364.00	2588.00	USD	-5,600.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
10AUG17	B	1	PD10NOV17	2375.00	2588.00	USD	5,325.00	
10AUG17	B	1	PD10NOV17	2368.50	2588.00	USD	5,487.50	
11AUG17	S	1	PD10NOV17	2342.50	2588.00	USD	-6,137.50	
11AUG17	B	1	PD10NOV17	2338.00	2588.00	USD	6,250.00	
11AUG17	B	1	PD10NOV17	2336.50	2588.00	USD	6,287.50	
11AUG17	B	1	PD10NOV17	2339.00	2588.00	USD	6,225.00	
11AUG17	S	1	PD10NOV17	2348.00	2588.00	USD	-6,000.00	
11AUG17	S	1	PD10NOV17	2349.00	2588.00	USD	-5,975.00	
11AUG17	S	1	PD10NOV17	2349.50	2588.00	USD	-5,962.50	
11AUG17	B	1	PD10NOV17	2346.50	2588.00	USD	6,037.50	
11AUG17	S	1	PD10NOV17	2345.50	2588.00	USD	-6,062.50	
11AUG17	B	1	PD10NOV17	2340.00	2588.00	USD	6,200.00	
11AUG17	B	1	PD10NOV17	2344.50	2588.00	USD	6,087.50	
11AUG17	S	1	PD10NOV17	2351.50	2588.00	USD	-5,912.50	
11AUG17	S	1	PD10NOV17	2355.00	2588.00	USD	-5,825.00	
11AUG17	S	2	PD10NOV17	2354.50	2588.00	USD	-11,675.00	
11AUG17	S	1	PD10NOV17	2353.50	2588.00	USD	-5,862.50	
11AUG17	B	1	PD10NOV17	2341.00	2588.00	USD	6,175.00	
11AUG17	B	1	PD10NOV17	2330.50	2588.00	USD	6,437.50	
11AUG17	B	1	PD10NOV17	2331.00	2588.00	USD	6,425.00	
11AUG17	B	1	PD10NOV17	2334.50	2588.00	USD	6,337.50	
11AUG17	B	1	PD10NOV17	2339.50	2588.00	USD	6,212.50	
11AUG17	B	1	PD10NOV17	2335.00	2588.00	USD	6,325.00	
11AUG17	S	1	PD10NOV17	2355.00	2588.00	USD	-5,825.00	
11AUG17	B	2	PD10NOV17	2336.00	2588.00	USD	12,600.00	
11AUG17	B	1	PD10NOV17	2328.50	2588.00	USD	6,487.50	
11AUG17	B	1	PD10NOV17	2329.00	2588.00	USD	6,475.00	
11AUG17	S	1	PD10NOV17	2333.00	2588.00	USD	-6,375.00	
11AUG17	S	1	PD10NOV17	2330.00	2588.00	USD	-6,450.00	
	NET	**0**	**AVG BGHT 2351.22**	**SOLD 2361.37**			**6,850.00**	**0.00**
14AUG17	S	1	PD14NOV17	2348.50	2588.00	USD	-5,987.50	
14AUG17	B	1	PD14NOV17	2341.00	2588.00	USD	6,175.00	
	NET	**0**	**AVG BGHT 2341.00**	**SOLD 2348.50**			**187.50**	**0.00**
24AUG17	B	1	PD24NOV17	2393.00	2589.16	USD	4,904.00	
24AUG17	S	1	PD24NOV17	2361.50	2589.16	USD	-5,691.50	
	NET	**0**	**AVG BGHT 2393.00**	**SOLD 2361.50**			**-787.50**	**0.00**
01SEP17	B	1	PD01DEC17	2386.00	2590.06	USD	5,101.50	
01SEP17	S	1	PD01DEC17	2396.50	2590.06	USD	-4,839.00	
	NET	**0**	**AVG BGHT 2386.00**	**SOLD 2396.50**			**262.50**	**0.00**
04SEP17	B	1	PD04DEC17	2399.50	2590.44	USD	4,773.50	
04SEP17	B	1	PD04DEC17	2406.50	2590.44	USD	4,598.50	
04SEP17	B	1	PD04DEC17	2400.00	2590.44	USD	4,761.00	
04SEP17	B	1	PD04DEC17	2393.50	2590.44	USD	4,923.50	
04SEP17	B	1	PD04DEC17	2395.00	2590.44	USD	4,886.00	
04SEP17	B	1	PD04DEC17	2386.00	2590.44	USD	5,111.00	
04SEP17	B	1	PD04DEC17	2394.50	2590.44	USD	4,898.50	
04SEP17	B	1	PD04DEC17	2391.00	2590.44	USD	4,986.00	
04SEP17	S	1	PD04DEC17	2395.00	2590.44	USD	-4,886.00	
04SEP17	S	2	PD04DEC17	2393.50	2590.44	USD	-9,847.00	
04SEP17	S	1	PD04DEC17	2394.00	2590.44	USD	-4,911.00	
04SEP17	S	1	PD04DEC17	2393.00	2590.44	USD	-4,936.00	
06SEP17	S	3	PD04DEC17	2339.45	2590.44	USD	-18,824.25	
	NET	**0**	**AVG BGHT 2395.75**	**SOLD 2373.42**			**-4,466.25**	**0.00**
05SEP17	S	1	PD05DEC17	2398.50	2590.57	USD	-4,801.75	
05SEP17	S	1	PD05DEC17	2405.50	2590.57	USD	-4,626.75	
05SEP17	B	1	PD05DEC17	2386.50	2590.57	USD	5,101.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
05SEP17	B	1	PD05DEC17	2375.00	2590.57	USD	5,389.25	
05SEP17	S	1	PD05DEC17	2374.00	2590.57	USD	-5,414.25	
05SEP17	B	1	PD05DEC17	2373.00	2590.57	USD	5,439.25	
05SEP17	B	1	PD05DEC17	2372.50	2590.57	USD	5,451.75	
05SEP17	S	1	PD05DEC17	2355.00	2590.57	USD	-5,889.25	
05SEP17	S	1	PD05DEC17	2345.50	2590.57	USD	-6,126.75	
05SEP17	S	1	PD05DEC17	2341.50	2590.57	USD	-6,226.75	
05SEP17	S	1	PD05DEC17	2328.50	2590.57	USD	-6,551.75	
06SEP17	B	3	PD05DEC17	2339.93	2590.57	USD	18,798.00	
	NET	**0**	**AVG BGHT 2360.97**	**SOLD 2364.07**			**542.75**	**0.00**
12SEP17	S	1	PD12DEC17	2267.00	2591.47	USD	-8,111.75	
12SEP17	S	1	PD12DEC17	2289.00	2591.47	USD	-7,561.75	
12SEP17	S	1	PD12DEC17	2288.00	2591.47	USD	-7,586.75	
12SEP17	B	3	PD12DEC17	2308.00	2591.47	USD	21,260.25	
	NET	**0**	**AVG BGHT 2308.00**	**SOLD 2281.33**			**-2,000.00**	**0.00**
13SEP17	S	1	PD13DEC17	2298.50	2591.60	USD	-7,327.50	
13SEP17	S	1	PD13DEC17	2296.00	2591.60	USD	-7,390.00	
13SEP17	S	2	PD13DEC17	2297.00	2591.60	USD	-14,730.00	
13SEP17	B	1	PD13DEC17	2292.00	2591.60	USD	7,490.00	
13SEP17	B	1	PD13DEC17	2291.00	2591.60	USD	7,515.00	
13SEP17	B	1	PD13DEC17	2286.00	2591.60	USD	7,640.00	
13SEP17	B	2	PD13DEC17	2285.50	2591.60	USD	15,305.00	
13SEP17	S	1	PD13DEC17	2291.00	2591.60	USD	-7,515.00	
13SEP17	B	1	PD13DEC17	2289.00	2591.60	USD	7,565.00	
13SEP17	S	2	PD13DEC17	2295.50	2591.60	USD	-14,805.00	
13SEP17	S	1	PD13DEC17	2290.00	2591.60	USD	-7,540.00	
13SEP17	S	1	PD13DEC17	2291.50	2591.60	USD	-7,502.50	
13SEP17	S	1	PD13DEC17	2299.50	2591.60	USD	-7,302.50	
13SEP17	S	1	PD13DEC17	2300.50	2591.60	USD	-7,277.50	
13SEP17	S	1	PD13DEC17	2303.50	2591.60	USD	-7,202.50	
13SEP17	B	1	PD13DEC17	2276.50	2591.60	USD	7,877.50	
13SEP17	B	1	PD13DEC17	2293.00	2591.60	USD	7,465.00	
13SEP17	B	2	PD13DEC17	2297.50	2591.60	USD	14,705.00	
13SEP17	S	1	PD13DEC17	2299.00	2591.60	USD	-7,315.00	
13SEP17	B	2	PD13DEC17	2299.00	2591.60	USD	14,630.00	
13SEP17	B	1	PD13DEC17	2299.00	2591.60	USD	7,315.00	
	NET	**0**	**AVG BGHT 2291.58**	**SOLD 2296.50**			**1,600.00**	**0.00**
14SEP17	S	1	PD14DEC17	2290.50	2591.73	USD	-7,530.75	
14SEP17	B	1	PD14DEC17	2286.00	2591.73	USD	7,643.25	
14SEP17	S	1	PD14DEC17	2286.00	2591.73	USD	-7,643.25	
14SEP17	S	1	PD14DEC17	2287.00	2591.73	USD	-7,618.25	
14SEP17	S	1	PD14DEC17	2288.50	2591.73	USD	-7,580.75	
14SEP17	B	1	PD14DEC17	2275.50	2591.73	USD	7,905.75	
14SEP17	B	1	PD14DEC17	2277.00	2591.73	USD	7,868.25	
14SEP17	S	1	PD14DEC17	2277.50	2591.73	USD	-7,855.75	
14SEP17	B	1	PD14DEC17	2275.50	2591.73	USD	7,905.75	
14SEP17	B	2	PD14DEC17	2317.00	2591.73	USD	13,736.50	
14SEP17	S	1	PD14DEC17	2322.50	2591.73	USD	-6,730.75	
14SEP17	B	1	PD14DEC17	2323.50	2591.73	USD	6,705.75	
19SEP17	S	1	PD14DEC17	2420.00	2591.73	USD	-4,293.25	
	NET	**0**	**AVG BGHT 2295.93**	**SOLD 2310.29**			**2,512.50**	**0.00**
15SEP17	B	1	PD15DEC17	2330.00	2591.86	USD	6,546.50	
15SEP17	B	1	PD15DEC17	2327.00	2591.86	USD	6,621.50	
15SEP17	B	1	PD15DEC17	2327.50	2591.86	USD	6,609.00	
15SEP17	S	1	PD15DEC17	2328.00	2591.86	USD	-6,596.50	
15SEP17	S	1	PD15DEC17	2329.00	2591.86	USD	-6,571.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
15SEP17	B	2	PD15DEC17	2324.50	2591.86	USD	13,368.00	
15SEP17	B	1	PD15DEC17	2323.50	2591.86	USD	6,709.00	
15SEP17	S	1	PD15DEC17	2320.50	2591.86	USD	-6,784.00	
15SEP17	B	1	PD15DEC17	2313.50	2591.86	USD	6,959.00	
15SEP17	S	2	PD15DEC17	2323.00	2591.86	USD	-13,443.00	
15SEP17	S	2	PD15DEC17	2330.50	2591.86	USD	-13,068.00	
15SEP17	S	1	PD15DEC17	2333.00	2591.86	USD	-6,471.50	
15SEP17	S	1	PD15DEC17	2334.50	2591.86	USD	-6,434.00	
15SEP17	S	2	PD15DEC17	2336.50	2591.86	USD	-12,768.00	
15SEP17	S	1	PD15DEC17	2341.50	2591.86	USD	-6,259.00	
15SEP17	S	1	PD15DEC17	2351.50	2591.86	USD	-6,009.00	
15SEP17	B	1	PD15DEC17	2327.50	2591.86	USD	6,609.00	
15SEP17	B	1	PD15DEC17	2312.00	2591.86	USD	6,996.50	
15SEP17	B	1	PD15DEC17	2347.00	2591.86	USD	6,121.50	
15SEP17	S	1	PD15DEC17	2346.00	2591.86	USD	-6,146.50	
15SEP17	B	3	PD15DEC17	2345.00	2591.86	USD	18,514.50	
19SEP17	B	1	PD15DEC17	2420.45	2591.86	USD	4,285.25	
	NET	**0**	**AVG BGHT 2336.60**	**SOLD 2333.14**			**-1,211.25**	**0.00**
21SEP17	S	1	PD21DEC17	2429.50	2592.29	USD	-4,069.75	
21SEP17	B	1	PD21DEC17	2459.50	2592.29	USD	3,319.75	
21SEP17	S	1	PD21DEC17	2463.00	2592.29	USD	-3,232.25	
21SEP17	S	1	PD21DEC17	2459.50	2592.29	USD	-3,319.75	
21SEP17	S	1	PD21DEC17	2464.00	2592.29	USD	-3,207.25	
21SEP17	B	1	PD21DEC17	2467.00	2592.29	USD	3,132.25	
21SEP17	B	1	PD21DEC17	2466.00	2592.29	USD	3,157.25	
21SEP17	B	1	PD21DEC17	2480.50	2592.29	USD	2,794.75	
21SEP17	S	1	PD21DEC17	2480.00	2592.29	USD	-2,807.25	
21SEP17	B	1	PD21DEC17	2465.00	2592.29	USD	3,182.25	
	NET	**0**	**AVG BGHT 2467.60**	**SOLD 2459.20**			**-1,050.00**	**0.00**
22SEP17	B	2	PD22DEC17	2423.50	2592.07	USD	8,428.50	
22SEP17	B	1	PD22DEC17	2427.50	2592.07	USD	4,114.25	
22SEP17	B	2	PD22DEC17	2429.00	2592.07	USD	8,153.50	
22SEP17	B	1	PD22DEC17	2410.00	2592.07	USD	4,551.75	
22SEP17	B	4	PD22DEC17	2409.00	2592.07	USD	18,307.00	
22SEP17	B	2	PD22DEC17	2406.50	2592.07	USD	9,278.50	
22SEP17	B	1	PD22DEC17	2407.00	2592.07	USD	4,626.75	
22SEP17	B	1	PD22DEC17	2399.50	2592.07	USD	4,814.25	
22SEP17	S	1	PD22DEC17	2389.50	2592.07	USD	-5,064.25	
22SEP17	S	1	PD22DEC17	2391.50	2592.07	USD	-5,014.25	
22SEP17	S	2	PD22DEC17	2387.00	2592.07	USD	-10,253.50	
22SEP17	S	1	PD22DEC17	2393.00	2592.07	USD	-4,976.75	
22SEP17	S	2	PD22DEC17	2401.00	2592.07	USD	-9,553.50	
22SEP17	S	1	PD22DEC17	2395.50	2592.07	USD	-4,914.25	
22SEP17	S	1	PD22DEC17	2396.50	2592.07	USD	-4,889.25	
22SEP17	S	1	PD22DEC17	2405.00	2592.07	USD	-4,676.75	
22SEP17	S	3	PD22DEC17	2402.00	2592.07	USD	-14,255.25	
22SEP17	S	1	PD22DEC17	2396.00	2592.07	USD	-4,901.75	
22SEP17	S	1	PD22DEC17	2477.50	2592.07	USD	-2,864.25	
22SEP17	S	1	PD22DEC17	2476.00	2592.07	USD	-2,901.75	
22SEP17	S	1	PD22DEC17	2472.00	2592.07	USD	-3,001.75	
22SEP17	B	1	PD22DEC17	2472.50	2592.07	USD	2,989.25	
22SEP17	S	1	PD22DEC17	2472.50	2592.07	USD	-2,989.25	
22SEP17	S	1	PD22DEC17	2479.00	2592.07	USD	-2,826.75	
22SEP17	S	2	PD22DEC17	2484.00	2592.07	USD	-5,403.50	
22SEP17	S	1	PD22DEC17	2482.50	2592.07	USD	-2,739.25	
22SEP17	S	2	PD22DEC17	2480.00	2592.07	USD	-5,603.50	
22SEP17	S	1	PD22DEC17	2481.50	2592.07	USD	-2,764.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
22SEP17	S 1 PD22DEC17	2488.50	2592.07	USD	-2,589.25	
22SEP17	S 2 PD22DEC17	2487.00	2592.07	USD	-5,253.50	
22SEP17	B 10 PD22DEC17	2483.20	2592.07	USD	27,217.50	
26SEP17	B 3 PD22DEC17	2488.87	2592.07	USD	7,740.00	
	NET 0 AVG BGHT 2448.90 SOLD 2438.59				**-7,215.25**	**0.00**
25SEP17	S 1 PD27DEC17	2498.50	2591.86	USD	-2,334.00	
25SEP17	S 1 PD27DEC17	2495.50	2591.86	USD	-2,409.00	
25SEP17	B 1 PD27DEC17	2474.00	2591.86	USD	2,946.50	
25SEP17	B 1 PD27DEC17	2480.50	2591.86	USD	2,784.00	
26SEP17	S 1 PD27DEC17	2491.50	2591.86	USD	-2,509.00	
26SEP17	S 1 PD27DEC17	2496.00	2591.86	USD	-2,396.50	
26SEP17	S 1 PD27DEC17	2498.00	2591.86	USD	-2,346.50	
26SEP17	S 1 PD27DEC17	2508.50	2591.86	USD	-2,084.00	
26SEP17	S 1 PD27DEC17	2511.50	2591.86	USD	-2,009.00	
26SEP17	B 1 PD27DEC17	2497.50	2591.86	USD	2,359.00	
26SEP17	B 1 PD27DEC17	2495.50	2591.86	USD	2,409.00	
26SEP17	B 1 PD27DEC17	2492.50	2591.86	USD	2,484.00	
26SEP17	B 1 PD27DEC17	2490.00	2591.86	USD	2,546.50	
26SEP17	S 1 PD27DEC17	2485.50	2591.86	USD	-2,659.00	
27SEP17	B 1 PD27DEC17	2477.00	2591.86	USD	2,871.50	
27SEP17	S 1 PD27DEC17	2475.00	2591.86	USD	-2,921.50	
	NET -2 AVG BGHT 2486.71 SOLD 2495.56				**-3,268.00**	**0.00**
28SEP17	B 1 PD28DEC17	2460.00	2591.64	USD	3,291.00	
28SEP17	S 1 PD28DEC17	2462.00	2591.64	USD	-3,241.00	
28SEP17	B 1 PD28DEC17	2474.50	2591.64	USD	2,928.50	
	NET +1 AVG BGHT 2467.25 SOLD 2462.00				**2,978.50**	**0.00**
29SEP17	B 2 PD29DEC17	2486.00	2591.43	USD	5,271.50	
29SEP17	B 2 PD29DEC17	2486.50	2591.43	USD	5,246.50	
29SEP17	B 1 PD29DEC17	2485.50	2591.43	USD	2,648.25	
29SEP17	S 1 PD29DEC17	2495.00	2591.43	USD	-2,410.75	
29SEP17	S 1 PD29DEC17	2496.50	2591.43	USD	-2,373.25	
29SEP17	S 4 PD29DEC17	2497.50	2591.43	USD	-9,393.00	
29SEP17	B 1 PD29DEC17	2495.50	2591.43	USD	2,398.25	
	NET 0 AVG BGHT 2487.67 SOLD 2496.92				**1,387.50**	**0.00**
03OCT17	S 1 PD03JAN18	2523.50	2591.00	USD	-1,687.50	
03OCT17	S 1 PD03JAN18	2522.00	2591.00	USD	-1,725.00	
03OCT17	S 1 PD03JAN18	2523.00	2591.00	USD	-1,700.00	
03OCT17	S 1 PD03JAN18	2524.00	2591.00	USD	-1,675.00	
03OCT17	B 1 PD03JAN18	2525.00	2591.00	USD	1,650.00	
03OCT17	B 1 PD03JAN18	2526.50	2591.00	USD	1,612.50	
03OCT17	B 1 PD03JAN18	2527.00	2591.00	USD	1,600.00	
03OCT17	B 1 PD03JAN18	2528.00	2591.00	USD	1,575.00	
	NET 0 AVG BGHT 2526.63 SOLD 2523.13				**-350.00**	**0.00**
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
04JUL17	B 1 PD04OCT17	9195.00	10531.23	USD	8,017.38	
04JUL17	S 1 PD04OCT17	9185.00	10531.23	USD	-8,077.38	
04JUL17	B 3 PD04OCT17	9170.00	10531.23	USD	24,502.14	
04JUL17	S 1 PD04OCT17	9180.00	10531.23	USD	-8,107.38	
04JUL17	B 1 PD04OCT17	9155.00	10531.23	USD	8,257.38	
04JUL17	S 1 PD04OCT17	9160.00	10531.23	USD	-8,227.38	
04JUL17	B 1 PD04OCT17	9150.00	10531.23	USD	8,287.38	
04JUL17	S 1 PD04OCT17	9195.00	10531.23	USD	-8,017.38	
04JUL17	S 1 PD04OCT17	9200.00	10531.23	USD	-7,987.38	
04JUL17	S 1 PD04OCT17	9205.00	10531.23	USD	-7,957.38	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
04JUL17	S	1	PD04OCT17	9210.00	10531.23	USD	-7,927.38	
04JUL17	S	1	PD04OCT17	9230.00	10531.23	USD	-7,807.38	
04JUL17	S	2	PD04OCT17	9220.00	10531.23	USD	-15,734.76	
04JUL17	B	1	PD04OCT17	9185.00	10531.23	USD	8,077.38	
10JUL17	B	3	PD04OCT17	8996.75	10531.23	USD	27,620.64	
	NET	**0**	**AVG BGHT 9118.53**	**SOLD 9200.50**			**4,918.50**	**0.00**
05JUL17	S	1	PD05OCT17	9090.00	10532.50	USD	-8,655.00	
05JUL17	S	1	PD05OCT17	9115.00	10532.50	USD	-8,505.00	
10JUL17	B	2	PD05OCT17	8997.63	10532.50	USD	18,418.44	
	NET	**0**	**AVG BGHT 8997.63**	**SOLD 9102.50**			**1,258.44**	**0.00**
06JUL17	B	1	PD06OCT17	9080.00	10533.77	USD	8,722.62	
07JUL17	S	1	PD06OCT17	9065.00	10533.77	USD	-8,812.62	
07JUL17	B	1	PD06OCT17	9030.00	10533.77	USD	9,022.62	
07JUL17	B	1	PD06OCT17	9025.00	10533.77	USD	9,052.62	
07JUL17	B	2	PD06OCT17	8955.00	10533.77	USD	18,945.24	
07JUL17	B	1	PD06OCT17	8965.00	10533.77	USD	9,412.62	
07JUL17	B	21	PD06OCT17	8970.00	10533.77	USD	197,035.02	
07JUL17	B	4	PD06OCT17	8975.00	10533.77	USD	37,410.48	
07JUL17	B	3	PD06OCT17	8980.00	10533.77	USD	27,967.86	
07JUL17	B	1	PD06OCT17	8970.00	10533.77	USD	9,382.62	
10JUL17	S	12	PD06OCT17	8997.50	10533.77	USD	-110,611.44	
12JUL17	S	2	PD06OCT17	9201.50	10533.77	USD	-15,987.24	
18JUL17	S	20	PD06OCT17	9772.96	10533.77	USD	-91,297.20	
	NET	**0**	**AVG BGHT 8976.86**	**SOLD 9454.21**			**100,243.20**	**0.00**
10JUL17	B	1	PD10OCT17	9020.00	10538.85	USD	9,113.10	
10JUL17	B	1	PD10OCT17	9015.00	10538.85	USD	9,143.10	
10JUL17	B	2	PD10OCT17	9025.00	10538.85	USD	18,166.20	
10JUL17	B	1	PD10OCT17	8970.00	10538.85	USD	9,413.10	
10JUL17	B	2	PD10OCT17	8960.00	10538.85	USD	18,946.20	
10JUL17	B	2	PD10OCT17	8965.00	10538.85	USD	18,886.20	
10JUL17	B	1	PD10OCT17	8950.00	10538.85	USD	9,533.10	
10JUL17	B	1	PD10OCT17	8920.00	10538.85	USD	9,713.10	
10JUL17	S	1	PD10OCT17	8925.00	10538.85	USD	-9,683.10	
10JUL17	B	2	PD10OCT17	8945.00	10538.85	USD	19,126.20	
10JUL17	B	2	PD10OCT17	8935.00	10538.85	USD	19,246.20	
10JUL17	B	2	PD10OCT17	8930.00	10538.85	USD	19,306.20	
10JUL17	B	1	PD10OCT17	8930.00	10538.85	USD	9,653.10	
10JUL17	B	1	PD10OCT17	8885.00	10538.85	USD	9,923.10	
10JUL17	B	2	PD10OCT17	8900.00	10538.85	USD	19,666.20	
10JUL17	B	3	PD10OCT17	8925.00	10538.85	USD	29,049.30	
10JUL17	B	5	PD10OCT17	8940.00	10538.85	USD	47,965.50	
10JUL17	S	1	PD10OCT17	8975.00	10538.85	USD	-9,383.10	
10JUL17	S	1	PD10OCT17	9000.00	10538.85	USD	-9,233.10	
10JUL17	B	2	PD10OCT17	9000.00	10538.85	USD	18,466.20	
10JUL17	B	3	PD10OCT17	9010.00	10538.85	USD	27,519.30	
18JUL17	S	31	PD10OCT17	9775.52	10538.85	USD	-141,979.38	
	NET	**0**	**AVG BGHT 8956.32**	**SOLD 9704.15**			**152,556.72**	**0.00**
11JUL17	B	1	PD11OCT17	8985.00	10540.12	USD	9,330.72	
11JUL17	S	1	PD11OCT17	9030.00	10540.12	USD	-9,060.72	
11JUL17	B	1	PD11OCT17	8990.00	10540.12	USD	9,300.72	
11JUL17	B	1	PD11OCT17	9000.00	10540.12	USD	9,240.72	
11JUL17	B	1	PD11OCT17	9025.00	10540.12	USD	9,090.72	
11JUL17	B	1	PD11OCT17	9010.00	10540.12	USD	9,180.72	
11JUL17	B	1	PD11OCT17	9005.00	10540.12	USD	9,210.72	
11JUL17	B	4	PD11OCT17	9045.00	10540.12	USD	35,882.88	
11JUL17	B	2	PD11OCT17	9040.00	10540.12	USD	18,001.44	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT								
11JUL17	S	1	PD11OCT17		9045.00	10540.12	USD	-8,970.72	
11JUL17	S	1	PD11OCT17		9130.00	10540.12	USD	-8,460.72	
11JUL17	S	2	PD11OCT17		9175.00	10540.12	USD	-16,381.44	
11JUL17	B	1	PD11OCT17		9175.00	10540.12	USD	8,190.72	
11JUL17	S	1	PD11OCT17		9170.00	10540.12	USD	-8,220.72	
11JUL17	S	2	PD11OCT17		9185.00	10540.12	USD	-16,261.44	
11JUL17	S	1	PD11OCT17		9155.00	10540.12	USD	-8,310.72	
11JUL17	S	4	PD11OCT17		9150.00	10540.12	USD	-33,362.88	
11JUL17	S	2	PD11OCT17		9180.00	10540.12	USD	-16,321.44	
11JUL17	S	1	PD11OCT17		9200.00	10540.12	USD	-8,040.72	
11JUL17	B	1	PD11OCT17		9200.00	10540.12	USD	8,040.72	
12JUL17	B	2	PD11OCT17		9205.50	10540.12	USD	16,015.44	
	NET	**0**	**AVG BGHT 9066.31**	**SOLD 9150.63**				**8,094.00**	**0.00**
12JUL17	B	2	PD12OCT17		9195.00	10541.38	USD	16,156.56	
12JUL17	B	1	PD12OCT17		9215.00	10541.38	USD	7,958.28	
12JUL17	B	1	PD12OCT17		9210.00	10541.38	USD	7,988.28	
12JUL17	S	1	PD12OCT17		9265.00	10541.38	USD	-7,658.28	
12JUL17	S	2	PD12OCT17		9255.00	10541.38	USD	-15,436.56	
12JUL17	B	1	PD12OCT17		9200.00	10541.38	USD	8,048.28	
12JUL17	S	1	PD12OCT17		9200.00	10541.38	USD	-8,048.28	
18JUL17	S	1	PD12OCT17		9776.80	10541.38	USD	-4,587.48	
	NET	**0**	**AVG BGHT 9203.00**	**SOLD 9350.36**				**4,420.80**	**0.00**
13JUL17	S	2	PD13OCT17		9250.00	10542.65	USD	-15,511.80	
13JUL17	S	1	PD13OCT17		9235.00	10542.65	USD	-7,845.90	
13JUL17	S	1	PD13OCT17		9265.00	10542.65	USD	-7,665.90	
13JUL17	S	4	PD13OCT17		9270.00	10542.65	USD	-30,543.60	
13JUL17	S	3	PD13OCT17		9275.00	10542.65	USD	-22,817.70	
13JUL17	B	1	PD13OCT17		9195.00	10542.65	USD	8,085.90	
13JUL17	S	1	PD13OCT17		9195.00	10542.65	USD	-8,085.90	
13JUL17	S	2	PD13OCT17		9200.00	10542.65	USD	-16,111.80	
13JUL17	S	2	PD13OCT17		9205.00	10542.65	USD	-16,051.80	
13JUL17	S	1	PD13OCT17		9220.00	10542.65	USD	-7,935.90	
13JUL17	S	3	PD13OCT17		9215.00	10542.65	USD	-23,897.70	
13JUL17	B	1	PD13OCT17		9175.00	10542.65	USD	8,205.90	
13JUL17	S	1	PD13OCT17		9220.00	10542.65	USD	-7,935.90	
13JUL17	S	1	PD13OCT17		9215.00	10542.65	USD	-7,965.90	
14JUL17	S	1	PD13OCT17		9215.00	10542.65	USD	-7,965.90	
14JUL17	S	1	PD13OCT17		9230.00	10542.65	USD	-7,875.90	
14JUL17	S	4	PD13OCT17		9270.00	10542.65	USD	-30,543.60	
14JUL17	S	3	PD13OCT17		9265.00	10542.65	USD	-22,997.70	
14JUL17	S	4	PD13OCT17		9290.00	10542.65	USD	-30,063.60	
14JUL17	S	2	PD13OCT17		9295.00	10542.65	USD	-14,971.80	
14JUL17	S	2	PD13OCT17		9300.00	10542.65	USD	-14,911.80	
14JUL17	S	1	PD13OCT17		9320.00	10542.65	USD	-7,335.90	
14JUL17	S	2	PD13OCT17		9310.00	10542.65	USD	-14,791.80	
14JUL17	S	2	PD13OCT17		9315.00	10542.65	USD	-14,731.80	
14JUL17	S	3	PD13OCT17		9335.00	10542.65	USD	-21,737.70	
14JUL17	S	1	PD13OCT17		9360.00	10542.65	USD	-7,095.90	
14JUL17	S	2	PD13OCT17		9375.00	10542.65	USD	-14,011.80	
14JUL17	S	1	PD13OCT17		9400.00	10542.65	USD	-6,855.90	
14JUL17	S	1	PD13OCT17		9410.00	10542.65	USD	-6,795.90	
14JUL17	S	3	PD13OCT17		9395.00	10542.65	USD	-20,657.70	
14JUL17	S	1	PD13OCT17		9325.00	10542.65	USD	-7,305.90	
14JUL17	S	1	PD13OCT17		9365.00	10542.65	USD	-7,065.90	
14JUL17	S	1	PD13OCT17		9390.00	10542.65	USD	-6,915.90	
14JUL17	S	1	PD13OCT17		9405.00	10542.65	USD	-6,825.90	
14JUL17	S	3	PD13OCT17		9405.00	10542.65	USD	-20,477.70	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
14JUL17	B	1	PD13OCT17	9370.00	10542.65	USD	7,035.90	
14JUL17	S	1	PD13OCT17	9370.00	10542.65	USD	-7,035.90	
14JUL17	B	1	PD13OCT17	9550.00	10542.65	USD	5,955.90	
14JUL17	S	1	PD13OCT17	9560.00	10542.65	USD	-5,895.90	
14JUL17	B	1	PD13OCT17	9540.00	10542.65	USD	6,015.90	
18JUL17	B	59	PD13OCT17	9778.44	10542.65	USD	270,530.34	
	NET	**0**	**AVG BGHT 9746.22**	**SOLD 9299.84**			**-171,407.76**	**0.00**
17JUL17	S	2	PD17OCT17	9625.00	10547.73	USD	-11,072.76	
17JUL17	S	1	PD17OCT17	9635.00	10547.73	USD	-5,476.38	
17JUL17	S	2	PD17OCT17	9640.00	10547.73	USD	-10,892.76	
17JUL17	S	2	PD17OCT17	9660.00	10547.73	USD	-10,652.76	
17JUL17	S	1	PD17OCT17	9695.00	10547.73	USD	-5,116.38	
17JUL17	S	1	PD17OCT17	9730.00	10547.73	USD	-4,906.38	
17JUL17	S	1	PD17OCT17	9720.00	10547.73	USD	-4,966.38	
17JUL17	B	1	PD17OCT17	9690.00	10547.73	USD	5,146.38	
17JUL17	S	5	PD17OCT17	9650.00	10547.73	USD	-26,931.90	
17JUL17	S	3	PD17OCT17	9655.00	10547.73	USD	-16,069.14	
17JUL17	B	1	PD17OCT17	9605.00	10547.73	USD	5,656.38	
17JUL17	B	1	PD17OCT17	9600.00	10547.73	USD	5,686.38	
17JUL17	B	2	PD17OCT17	9620.00	10547.73	USD	11,132.76	
17JUL17	B	1	PD17OCT17	9630.00	10547.73	USD	5,506.38	
17JUL17	B	1	PD17OCT17	9625.00	10547.73	USD	5,536.38	
18JUL17	B	8	PD17OCT17	9781.00	10547.73	USD	36,803.04	
24JUL17	B	3	PD17OCT17	9777.34	10547.73	USD	13,867.02	
	NET	**0**	**AVG BGHT 9720.56**	**SOLD 9658.06**			**-6,750.12**	**0.00**
18JUL17	B	1	PD18OCT17	9575.00	10549.00	USD	5,844.00	
18JUL17	B	1	PD18OCT17	9555.00	10549.00	USD	5,964.00	
18JUL17	S	2	PD18OCT17	9600.00	10549.00	USD	-11,388.00	
18JUL17	S	1	PD18OCT17	9610.00	10549.00	USD	-5,634.00	
18JUL17	S	1	PD18OCT17	9620.00	10549.00	USD	-5,574.00	
18JUL17	S	1	PD18OCT17	9640.00	10549.00	USD	-5,454.00	
18JUL17	S	1	PD18OCT17	9650.00	10549.00	USD	-5,394.00	
18JUL17	S	1	PD18OCT17	9635.00	10549.00	USD	-5,484.00	
18JUL17	S	2	PD18OCT17	9645.00	10549.00	USD	-10,848.00	
18JUL17	B	1	PD18OCT17	9650.00	10549.00	USD	5,394.00	
18JUL17	B	1	PD18OCT17	9645.00	10549.00	USD	5,424.00	
18JUL17	B	1	PD18OCT17	9695.00	10549.00	USD	5,124.00	
18JUL17	S	1	PD18OCT17	9705.00	10549.00	USD	-5,064.00	
18JUL17	S	1	PD18OCT17	9745.00	10549.00	USD	-4,824.00	
18JUL17	S	1	PD18OCT17	9750.00	10549.00	USD	-4,794.00	
18JUL17	B	1	PD18OCT17	9720.00	10549.00	USD	4,974.00	
18JUL17	B	1	PD18OCT17	9725.00	10549.00	USD	4,944.00	
18JUL17	B	1	PD18OCT17	9715.00	10549.00	USD	5,004.00	
01AUG17	B	4	PD18OCT17	10284.50	10549.00	USD	6,348.00	
	NET	**0**	**AVG BGHT 9868.17**	**SOLD 9653.75**			**-15,438.00**	**0.00**
19JUL17	B	1	PD19OCT17	9695.00	10549.96	USD	5,129.76	
19JUL17	S	1	PD19OCT17	9765.00	10549.96	USD	-4,709.76	
19JUL17	B	2	PD19OCT17	9700.00	10549.96	USD	10,199.52	
19JUL17	B	1	PD19OCT17	9710.00	10549.96	USD	5,039.76	
24JUL17	S	3	PD19OCT17	9776.00	10549.96	USD	-13,931.28	
	NET	**0**	**AVG BGHT 9701.25**	**SOLD 9773.25**			**1,728.00**	**0.00**
20JUL17	S	1	PD20OCT17	9625.00	10550.93	USD	-5,555.58	
20JUL17	S	1	PD20OCT17	9645.00	10550.93	USD	-5,435.58	
20JUL17	S	1	PD20OCT17	9640.00	10550.93	USD	-5,465.58	
20JUL17	B	1	PD20OCT17	9595.00	10550.93	USD	5,735.58	
20JUL17	B	1	PD20OCT17	9590.00	10550.93	USD	5,765.58	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
20JUL17	S	1	PD20OCT17	9585.00	10550.93	USD	-5,795.58	
20JUL17	B	1	PD20OCT17	9580.00	10550.93	USD	5,825.58	
20JUL17	B	1	PD20OCT17	9540.00	10550.93	USD	6,065.58	
20JUL17	B	1	PD20OCT17	9500.00	10550.93	USD	6,305.58	
20JUL17	B	1	PD20OCT17	9490.00	10550.93	USD	6,365.58	
20JUL17	B	1	PD20OCT17	9475.00	10550.93	USD	6,455.58	
20JUL17	B	1	PD20OCT17	9470.00	10550.93	USD	6,485.58	
20JUL17	S	1	PD20OCT17	9515.00	10550.93	USD	-6,215.58	
21JUL17	S	1	PD20OCT17	9570.00	10550.93	USD	-5,885.58	
21JUL17	S	1	PD20OCT17	9580.00	10550.93	USD	-5,825.58	
21JUL17	S	3	PD20OCT17	9590.00	10550.93	USD	-17,296.74	
21JUL17	S	1	PD20OCT17	9605.00	10550.93	USD	-5,675.58	
21JUL17	S	1	PD20OCT17	9610.00	10550.93	USD	-5,645.58	
21JUL17	S	2	PD20OCT17	9635.00	10550.93	USD	-10,991.16	
21JUL17	S	2	PD20OCT17	9630.00	10550.93	USD	-11,051.16	
21JUL17	S	1	PD20OCT17	9640.00	10550.93	USD	-5,465.58	
21JUL17	B	1	PD20OCT17	9605.00	10550.93	USD	5,675.58	
21JUL17	B	1	PD20OCT17	9565.00	10550.93	USD	5,915.58	
21JUL17	S	1	PD20OCT17	9565.00	10550.93	USD	-5,915.58	
21JUL17	B	1	PD20OCT17	9520.00	10550.93	USD	6,185.58	
01AUG17	B	7	PD20OCT17	10285.29	10550.93	USD	11,156.88	
	NET	**0**	**AVG BGHT 9829.28**	**SOLD 9604.44**			**-24,282.18**	**0.00**
24JUL17	S	1	PD24OCT17	9610.00	10554.79	USD	-5,668.74	
24JUL17	S	1	PD24OCT17	9640.00	10554.79	USD	-5,488.74	
24JUL17	S	1	PD24OCT17	9650.00	10554.79	USD	-5,428.74	
24JUL17	S	1	PD24OCT17	9655.00	10554.79	USD	-5,398.74	
24JUL17	S	1	PD24OCT17	9685.00	10554.79	USD	-5,218.74	
24JUL17	S	1	PD24OCT17	9695.00	10554.79	USD	-5,158.74	
24JUL17	S	1	PD24OCT17	9700.00	10554.79	USD	-5,128.74	
24JUL17	S	1	PD24OCT17	9710.00	10554.79	USD	-5,068.74	
24JUL17	S	1	PD24OCT17	9725.00	10554.79	USD	-4,978.74	
24JUL17	S	1	PD24OCT17	9655.00	10554.79	USD	-5,398.74	
01AUG17	B	10	PD24OCT17	10286.86	10554.79	USD	16,075.80	
	NET	**0**	**AVG BGHT 10286.86**	**SOLD 9672.50**			**-36,861.60**	**0.00**
25JUL17	S	1	PD25OCT17	9855.00	10555.75	USD	-4,204.50	
25JUL17	S	1	PD25OCT17	9890.00	10555.75	USD	-3,994.50	
25JUL17	S	2	PD25OCT17	9895.00	10555.75	USD	-7,929.00	
25JUL17	B	1	PD25OCT17	9935.00	10555.75	USD	3,724.50	
01AUG17	B	3	PD25OCT17	10287.25	10555.75	USD	4,833.00	
	NET	**0**	**AVG BGHT 10199.19**	**SOLD 9883.75**			**-7,570.50**	**0.00**
26JUL17	B	1	PD26OCT17	10050.00	10556.71	USD	3,040.26	
26JUL17	B	2	PD26OCT17	10040.00	10556.71	USD	6,200.52	
26JUL17	B	2	PD26OCT17	10045.00	10556.71	USD	6,140.52	
26JUL17	B	1	PD26OCT17	10035.00	10556.71	USD	3,130.26	
26JUL17	B	1	PD26OCT17	9990.00	10556.71	USD	3,400.26	
26JUL17	B	1	PD26OCT17	9905.00	10556.71	USD	3,910.26	
26JUL17	B	1	PD26OCT17	9890.00	10556.71	USD	4,000.26	
26JUL17	B	2	PD26OCT17	9885.00	10556.71	USD	8,060.52	
26JUL17	B	1	PD26OCT17	9865.00	10556.71	USD	4,150.26	
26JUL17	S	1	PD26OCT17	9925.00	10556.71	USD	-3,790.26	
26JUL17	S	1	PD26OCT17	9940.00	10556.71	USD	-3,700.26	
26JUL17	B	1	PD26OCT17	9970.00	10556.71	USD	3,520.26	
26JUL17	B	1	PD26OCT17	9965.00	10556.71	USD	3,550.26	
26JUL17	B	1	PD26OCT17	9955.00	10556.71	USD	3,610.26	
26JUL17	B	2	PD26OCT17	9950.00	10556.71	USD	7,280.52	
26JUL17	B	1	PD26OCT17	9940.00	10556.71	USD	3,700.26	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
26JUL17	B	1	PD26OCT17	9935.00	10556.71	USD	3,730.26	
26JUL17	S	2	PD26OCT17	10015.00	10556.71	USD	-6,500.52	
26JUL17	S	1	PD26OCT17	10005.00	10556.71	USD	-3,310.26	
26JUL17	S	2	PD26OCT17	10045.00	10556.71	USD	-6,140.52	
26JUL17	B	1	PD26OCT17	10005.00	10556.71	USD	3,310.26	
26JUL17	S	2	PD26OCT17	10000.00	10556.71	USD	-6,680.52	
26JUL17	B	1	PD26OCT17	9995.00	10556.71	USD	3,370.26	
01AUG17	S	12	PD26OCT17	10286.64	10556.71	USD	-19,445.04	
	NET	**0**	**AVG BGHT 9968.57**	**SOLD 10163.32**			**24,538.08**	**0.00**
27JUL17	B	3	PD27OCT17	10010.00	10557.68	USD	9,858.24	
27JUL17	B	1	PD27OCT17	10080.00	10557.68	USD	2,866.08	
27JUL17	B	4	PD27OCT17	10165.00	10557.68	USD	9,424.32	
27JUL17	S	1	PD27OCT17	10190.00	10557.68	USD	-2,206.08	
27JUL17	B	3	PD27OCT17	10135.00	10557.68	USD	7,608.24	
27JUL17	S	1	PD27OCT17	10180.00	10557.68	USD	-2,266.08	
27JUL17	B	5	PD27OCT17	10170.00	10557.68	USD	11,630.40	
27JUL17	B	3	PD27OCT17	10150.00	10557.68	USD	7,338.24	
27JUL17	B	4	PD27OCT17	10140.00	10557.68	USD	10,024.32	
28JUL17	B	1	PD27OCT17	10090.00	10557.68	USD	2,806.08	
28JUL17	B	2	PD27OCT17	10030.00	10557.68	USD	6,332.16	
28JUL17	S	1	PD27OCT17	10125.00	10557.68	USD	-2,596.08	
28JUL17	S	1	PD27OCT17	10130.00	10557.68	USD	-2,566.08	
28JUL17	S	2	PD27OCT17	10135.00	10557.68	USD	-5,072.16	
28JUL17	S	2	PD27OCT17	10155.00	10557.68	USD	-4,832.16	
28JUL17	S	1	PD27OCT17	10180.00	10557.68	USD	-2,266.08	
28JUL17	S	2	PD27OCT17	10210.00	10557.68	USD	-4,172.16	
28JUL17	S	1	PD27OCT17	10205.00	10557.68	USD	-2,116.08	
28JUL17	B	2	PD27OCT17	10200.00	10557.68	USD	4,292.16	
28JUL17	B	1	PD27OCT17	10200.00	10557.68	USD	2,146.08	
28JUL17	B	2	PD27OCT17	10205.00	10557.68	USD	4,232.16	
28JUL17	S	1	PD27OCT17	10250.00	10557.68	USD	-1,846.08	
28JUL17	B	2	PD27OCT17	10275.00	10557.68	USD	3,392.16	
28JUL17	B	1	PD27OCT17	10280.00	10557.68	USD	1,666.08	
01AUG17	S	12	PD27OCT17	10287.04	10557.68	USD	-19,486.08	
03AUG17	S	9	PD27OCT17	10378.50	10557.68	USD	-9,675.72	
	NET	**0**	**AVG BGHT 10147.79**	**SOLD 10267.97**			**24,515.88**	**0.00**
31JUL17	S	1	PD31OCT17	10325.00	10561.54	USD	-1,419.24	
31JUL17	B	2	PD31OCT17	10315.00	10561.54	USD	2,958.48	
31JUL17	S	2	PD31OCT17	10300.00	10561.54	USD	-3,138.48	
31JUL17	B	1	PD31OCT17	10280.00	10561.54	USD	1,689.24	
31JUL17	S	2	PD31OCT17	10320.00	10561.54	USD	-2,898.48	
31JUL17	B	1	PD31OCT17	10295.00	10561.54	USD	1,599.24	
31JUL17	B	1	PD31OCT17	10320.00	10561.54	USD	1,449.24	
31JUL17	S	2	PD31OCT17	10315.00	10561.54	USD	-2,958.48	
31JUL17	B	2	PD31OCT17	10275.00	10561.54	USD	3,438.48	
31JUL17	S	1	PD31OCT17	10270.00	10561.54	USD	-1,749.24	
31JUL17	B	1	PD31OCT17	10265.00	10561.54	USD	1,779.24	
31JUL17	B	1	PD31OCT17	10250.00	10561.54	USD	1,869.24	
31JUL17	B	2	PD31OCT17	10270.00	10561.54	USD	3,498.48	
31JUL17	B	2	PD31OCT17	10225.00	10561.54	USD	4,038.48	
31JUL17	B	1	PD31OCT17	10220.00	10561.54	USD	2,049.24	
03AUG17	S	2	PD31OCT17	10379.36	10561.54	USD	-2,186.16	
07AUG17	S	4	PD31OCT17	10396.85	10561.54	USD	-3,952.56	
	NET	**0**	**AVG BGHT 10271.43**	**SOLD 10343.65**			**6,066.72**	**0.00**
01AUG17	B	1	PD01NOV17	10120.00	10562.50	USD	2,655.00	
01AUG17	S	1	PD01NOV17	10150.00	10562.50	USD	-2,475.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
01AUG17	S 1 PD01NOV17	10265.00	10562.50	USD	-1,785.00	
01AUG17	S 1 PD01NOV17	10260.00	10562.50	USD	-1,815.00	
01AUG17	S 2 PD01NOV17	10270.00	10562.50	USD	-3,510.00	
01AUG17	S 1 PD01NOV17	10280.00	10562.50	USD	-1,695.00	
01AUG17	B 1 PD01NOV17	10255.00	10562.50	USD	1,845.00	
03AUG17	B 4 PD01NOV17	10380.57	10562.50	USD	4,366.32	
	NET 0 AVG BGHT 10316.21 SOLD 10249.17				**-2,413.68**	**0.00**
02AUG17	B 1 PD02NOV17	10205.00	10563.46	USD	2,150.76	
02AUG17	S 1 PD02NOV17	10215.00	10563.46	USD	-2,090.76	
02AUG17	S 1 PD02NOV17	10190.00	10563.46	USD	-2,240.76	
02AUG17	S 1 PD02NOV17	10255.00	10563.46	USD	-1,850.76	
02AUG17	S 1 PD02NOV17	10295.00	10563.46	USD	-1,610.76	
02AUG17	S 1 PD02NOV17	10290.00	10563.46	USD	-1,640.76	
02AUG17	S 1 PD02NOV17	10310.00	10563.46	USD	-1,520.76	
02AUG17	S 2 PD02NOV17	10345.00	10563.46	USD	-2,621.52	
03AUG17	B 7 PD02NOV17	10380.79	10563.46	USD	7,672.14	
26SEP17	B 21 PD02NOV17	10426.93	10563.46	USD	17,202.78	
	NET +21 AVG BGHT 10408.14 SOLD 10280.63				**13,449.60**	**0.00**
03AUG17	B 2 PD03NOV17	10375.00	10564.43	USD	2,273.16	
03AUG17	B 1 PD03NOV17	10360.00	10564.43	USD	1,226.58	
03AUG17	S 2 PD03NOV17	10300.00	10564.43	USD	-3,173.16	
03AUG17	S 1 PD03NOV17	10310.00	10564.43	USD	-1,526.58	
03AUG17	S 1 PD03NOV17	10320.00	10564.43	USD	-1,466.58	
03AUG17	B 1 PD03NOV17	10265.00	10564.43	USD	1,796.58	
03AUG17	B 1 PD03NOV17	10255.00	10564.43	USD	1,856.58	
03AUG17	B 1 PD03NOV17	10290.00	10564.43	USD	1,646.58	
03AUG17	S 1 PD03NOV17	10325.00	10564.43	USD	-1,436.58	
03AUG17	B 1 PD03NOV17	10325.00	10564.43	USD	1,436.58	
03AUG17	S 1 PD03NOV17	10350.00	10564.43	USD	-1,286.58	
03AUG17	S 3 PD03NOV17	10355.00	10564.43	USD	-3,769.74	
03AUG17	S 1 PD03NOV17	10385.00	10564.43	USD	-1,076.58	
03AUG17	S 1 PD03NOV17	10380.00	10564.43	USD	-1,106.58	
03AUG17	S 1 PD03NOV17	10360.00	10564.43	USD	-1,226.58	
03AUG17	B 1 PD03NOV17	10370.00	10564.43	USD	1,166.58	
04AUG17	S 1 PD03NOV17	10400.00	10564.43	USD	-986.58	
04AUG17	S 1 PD03NOV17	10405.00	10564.43	USD	-956.58	
04AUG17	B 1 PD03NOV17	10335.00	10564.43	USD	1,376.58	
04AUG17	B 1 PD03NOV17	10315.00	10564.43	USD	1,496.58	
04AUG17	B 1 PD03NOV17	10305.00	10564.43	USD	1,556.58	
04AUG17	B 1 PD03NOV17	10235.00	10564.43	USD	1,976.58	
04AUG17	B 1 PD03NOV17	10245.00	10564.43	USD	1,916.58	
04AUG17	B 1 PD03NOV17	10220.00	10564.43	USD	2,066.58	
04AUG17	S 1 PD03NOV17	10200.00	10564.43	USD	-2,186.58	
04AUG17	S 1 PD03NOV17	10310.00	10564.43	USD	-1,526.58	
04AUG17	S 2 PD03NOV17	10325.00	10564.43	USD	-2,873.16	
07AUG17	B 4 PD03NOV17	10399.20	10564.43	USD	3,965.52	
	NET 0 AVG BGHT 10325.93 SOLD 10336.67				**1,159.20**	**0.00**
07AUG17	S 1 PD07NOV17	10350.00	10568.29	USD	-1,309.74	
07AUG17	S 2 PD07NOV17	10390.00	10568.29	USD	-2,139.48	
07AUG17	S 2 PD07NOV17	10395.00	10568.29	USD	-2,079.48	
07AUG17	B 2 PD07NOV17	10365.00	10568.29	USD	2,439.48	
07AUG17	B 1 PD07NOV17	10335.00	10568.29	USD	1,399.74	
07AUG17	S 2 PD07NOV17	10365.00	10568.29	USD	-2,439.48	
07AUG17	S 2 PD07NOV17	10355.00	10568.29	USD	-2,559.48	
07AUG17	S 1 PD07NOV17	10370.00	10568.29	USD	-1,189.74	
07AUG17	S 4 PD07NOV17	10360.00	10568.29	USD	-4,998.96	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
07AUG17	B 3 PD07NOV17	10345.00	10568.29	USD	4,019.22	
07AUG17	S 2 PD07NOV17	10380.00	10568.29	USD	-2,259.48	
07AUG17	B 1 PD07NOV17	10370.00	10568.29	USD	1,189.74	
07AUG17	B 3 PD07NOV17	10360.00	10568.29	USD	3,749.22	
07AUG17	B 1 PD07NOV17	10380.00	10568.29	USD	1,129.74	
14AUG17	B 5 PD07NOV17	10445.46	10568.29	USD	3,684.90	
	NET 0 AVG BGHT 10384.83 SOLD 10370.63				**-1,363.80**	**0.00**
08AUG17	B 2 PD08NOV17	10300.00	10569.25	USD	3,231.00	
08AUG17	B 2 PD08NOV17	10295.00	10569.25	USD	3,291.00	
08AUG17	B 1 PD08NOV17	10305.00	10569.25	USD	1,585.50	
08AUG17	B 3 PD08NOV17	10290.00	10569.25	USD	5,026.50	
08AUG17	B 2 PD08NOV17	10260.00	10569.25	USD	3,711.00	
08AUG17	S 1 PD08NOV17	10325.00	10569.25	USD	-1,465.50	
08AUG17	B 1 PD08NOV17	10300.00	10569.25	USD	1,615.50	
08AUG17	S 1 PD08NOV17	10330.00	10569.25	USD	-1,435.50	
08AUG17	B 1 PD08NOV17	10325.00	10569.25	USD	1,465.50	
08AUG17	B 4 PD08NOV17	10310.00	10569.25	USD	6,222.00	
08AUG17	S 1 PD08NOV17	10335.00	10569.25	USD	-1,405.50	
08AUG17	S 2 PD08NOV17	10345.00	10569.25	USD	-2,691.00	
08AUG17	S 1 PD08NOV17	10350.00	10569.25	USD	-1,315.50	
08AUG17	S 2 PD08NOV17	10370.00	10569.25	USD	-2,391.00	
08AUG17	S 1 PD08NOV17	10365.00	10569.25	USD	-1,225.50	
08AUG17	S 2 PD08NOV17	10355.00	10569.25	USD	-2,571.00	
08AUG17	S 3 PD08NOV17	10360.00	10569.25	USD	-3,766.50	
08AUG17	B 1 PD08NOV17	10370.00	10569.25	USD	1,195.50	
08AUG17	S 1 PD08NOV17	10425.00	10569.25	USD	-865.50	
08AUG17	S 1 PD08NOV17	10445.00	10569.25	USD	-745.50	
08AUG17	S 1 PD08NOV17	10455.00	10569.25	USD	-685.50	
08AUG17	S 1 PD08NOV17	10500.00	10569.25	USD	-415.50	
08AUG17	S 1 PD08NOV17	10535.00	10569.25	USD	-205.50	
08AUG17	S 1 PD08NOV17	10530.00	10569.25	USD	-235.50	
08AUG17	S 1 PD08NOV17	10540.00	10569.25	USD	-175.50	
08AUG17	S 1 PD08NOV17	10530.00	10569.25	USD	-235.50	
08AUG17	S 1 PD08NOV17	10615.00	10569.25	USD	274.50	
08AUG17	S 1 PD08NOV17	10685.00	10569.25	USD	694.50	
08AUG17	B 1 PD08NOV17	10760.00	10569.25	USD	-1,144.50	
08AUG17	B 1 PD08NOV17	10720.00	10569.25	USD	-904.50	
08AUG17	S 1 PD08NOV17	10695.00	10569.25	USD	754.50	
14AUG17	B 6 PD08NOV17	10446.11	10569.25	USD	4,433.04	
	NET 0 AVG BGHT 10371.07 SOLD 10435.20				**9,620.04**	**0.00**
09AUG17	S 1 PD09NOV17	10725.00	10570.21	USD	928.74	
09AUG17	S 1 PD09NOV17	10755.00	10570.21	USD	1,108.74	
09AUG17	S 1 PD09NOV17	10735.00	10570.21	USD	988.74	
09AUG17	S 2 PD09NOV17	10730.00	10570.21	USD	1,917.48	
09AUG17	S 1 PD09NOV17	10750.00	10570.21	USD	1,078.74	
09AUG17	B 1 PD09NOV17	10750.00	10570.21	USD	-1,078.74	
09AUG17	B 1 PD09NOV17	10735.00	10570.21	USD	-988.74	
14AUG17	B 4 PD09NOV17	10446.76	10570.21	USD	2,962.80	
	NET 0 AVG BGHT 10545.34 SOLD 10737.50				**6,917.76**	**0.00**
10AUG17	B 1 PD10NOV17	10810.00	10571.18	USD	-1,432.92	
10AUG17	B 2 PD10NOV17	10740.00	10571.18	USD	-2,025.84	
10AUG17	S 1 PD10NOV17	10760.00	10571.18	USD	1,132.92	
10AUG17	B 1 PD10NOV17	10855.00	10571.18	USD	-1,702.92	
10AUG17	B 1 PD10NOV17	10850.00	10571.18	USD	-1,672.92	
10AUG17	S 1 PD10NOV17	10915.00	10571.18	USD	2,062.92	
10AUG17	S 2 PD10NOV17	10935.00	10571.18	USD	4,365.84	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
10AUG17	S 1 PD10NOV17	10910.00	10571.18	USD	2,032.92	
10AUG17	S 1 PD10NOV17	10940.00	10571.18	USD	2,212.92	
10AUG17	S 2 PD10NOV17	10945.00	10571.18	USD	4,485.84	
10AUG17	B 1 PD10NOV17	10885.00	10571.18	USD	-1,882.92	
10AUG17	B 1 PD10NOV17	10880.00	10571.18	USD	-1,852.92	
10AUG17	B 1 PD10NOV17	10875.00	10571.18	USD	-1,822.92	
11AUG17	B 4 PD10NOV17	10750.00	10571.18	USD	-4,291.68	
11AUG17	B 5 PD10NOV17	10760.00	10571.18	USD	-5,664.60	
11AUG17	B 5 PD10NOV17	10745.00	10571.18	USD	-5,214.60	
11AUG17	B 1 PD10NOV17	10680.00	10571.18	USD	-652.92	
11AUG17	B 2 PD10NOV17	10670.00	10571.18	USD	-1,185.84	
11AUG17	B 2 PD10NOV17	10655.00	10571.18	USD	-1,005.84	
11AUG17	B 3 PD10NOV17	10665.00	10571.18	USD	-1,688.76	
11AUG17	B 1 PD10NOV17	10640.00	10571.18	USD	-412.92	
11AUG17	B 1 PD10NOV17	10630.00	10571.18	USD	-352.92	
11AUG17	B 2 PD10NOV17	10625.00	10571.18	USD	-645.84	
11AUG17	B 4 PD10NOV17	10650.00	10571.18	USD	-1,891.68	
11AUG17	S 1 PD10NOV17	10705.00	10571.18	USD	802.92	
11AUG17	B 4 PD10NOV17	10660.00	10571.18	USD	-2,131.68	
11AUG17	B 3 PD10NOV17	10645.00	10571.18	USD	-1,328.76	
11AUG17	B 3 PD10NOV17	10635.00	10571.18	USD	-1,148.76	
11AUG17	B 1 PD10NOV17	10615.00	10571.18	USD	-262.92	
11AUG17	B 1 PD10NOV17	10590.00	10571.18	USD	-112.92	
11AUG17	B 1 PD10NOV17	10745.00	10571.18	USD	-1,042.92	
11AUG17	B 1 PD10NOV17	10665.00	10571.18	USD	-562.92	
11AUG17	B 1 PD10NOV17	10640.00	10571.18	USD	-412.92	
11AUG17	B 1 PD10NOV17	10630.00	10571.18	USD	-352.92	
11AUG17	B 1 PD10NOV17	10675.00	10571.18	USD	-622.92	
11AUG17	S 1 PD10NOV17	10645.00	10571.18	USD	442.92	
11AUG17	S 1 PD10NOV17	10680.00	10571.18	USD	652.92	
11AUG17	B 3 PD10NOV17	10620.00	10571.18	USD	-878.76	
11AUG17	S 1 PD10NOV17	10615.00	10571.18	USD	262.92	
11AUG17	S 3 PD10NOV17	10675.00	10571.18	USD	1,868.76	
11AUG17	S 7 PD10NOV17	10665.00	10571.18	USD	3,940.44	
11AUG17	S 1 PD10NOV17	10640.00	10571.18	USD	412.92	
14AUG17	S 15 PD10NOV17	10446.41	10571.18	USD	-11,229.30	
22AUG17	S 20 PD10NOV17	11411.04	10571.18	USD	100,783.20	
	NET 0 AVG BGHT 10698.36 SOLD 10899.43				**69,971.70**	**0.00**
14AUG17	B 1 PD14NOV17	10610.00	10575.04	USD	-209.76	
14AUG17	B 1 PD14NOV17	10615.00	10575.04	USD	-239.76	
14AUG17	S 2 PD14NOV17	10570.00	10575.04	USD	-60.48	
14AUG17	B 2 PD14NOV17	10575.00	10575.04	USD	0.48	
14AUG17	B 1 PD14NOV17	10530.00	10575.04	USD	270.24	
14AUG17	B 1 PD14NOV17	10485.00	10575.04	USD	540.24	
14AUG17	B 1 PD14NOV17	10475.00	10575.04	USD	600.24	
14AUG17	B 1 PD14NOV17	10490.00	10575.04	USD	510.24	
14AUG17	B 1 PD14NOV17	10510.00	10575.04	USD	390.24	
14AUG17	S 1 PD14NOV17	10510.00	10575.04	USD	-390.24	
14AUG17	B 1 PD14NOV17	10495.00	10575.04	USD	480.24	
14AUG17	B 1 PD14NOV17	10400.00	10575.04	USD	1,050.24	
14AUG17	S 2 PD14NOV17	10435.00	10575.04	USD	-1,680.48	
14AUG17	S 2 PD14NOV17	10430.00	10575.04	USD	-1,740.48	
22AUG17	S 4 PD14NOV17	11413.81	10575.04	USD	20,130.48	
	NET 0 AVG BGHT 10523.64 SOLD 10821.39				**19,651.44**	**0.00**
21AUG17	S 3 PD21NOV17	11185.00	10581.31	USD	10,866.42	
21AUG17	S 6 PD21NOV17	11190.00	10581.31	USD	21,912.84	
21AUG17	S 1 PD21NOV17	11210.00	10581.31	USD	3,772.14	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
21AUG17	S 3 PD21NOV17	11195.00	10581.31	USD	11,046.42	
21AUG17	S 3 PD21NOV17	11215.00	10581.31	USD	11,406.42	
21AUG17	S 3 PD21NOV17	11230.00	10581.31	USD	11,676.42	
21AUG17	S 1 PD21NOV17	11220.00	10581.31	USD	3,832.14	
21AUG17	S 2 PD21NOV17	11225.00	10581.31	USD	7,724.28	
21AUG17	S 2 PD21NOV17	11190.00	10581.31	USD	7,304.28	
22AUG17	B 24 PD21NOV17	11415.58	10581.31	USD	-120,134.88	
	NET 0 AVG BGHT 11415.58 SOLD 11203.13				**-30,593.52**	**0.00**
22AUG17	S 1 PD22NOV17	11330.00	10582.20	USD	4,486.80	
22AUG17	S 1 PD22NOV17	11325.00	10582.20	USD	4,456.80	
22AUG17	S 1 PD22NOV17	11335.00	10582.20	USD	4,516.80	
22AUG17	S 1 PD22NOV17	11345.00	10582.20	USD	4,576.80	
22AUG17	S 1 PD22NOV17	11355.00	10582.20	USD	4,636.80	
22AUG17	S 1 PD22NOV17	11425.00	10582.20	USD	5,056.80	
22AUG17	S 1 PD22NOV17	11455.00	10582.20	USD	5,236.80	
22AUG17	B 1 PD22NOV17	11465.00	10582.20	USD	-5,296.80	
22AUG17	S 1 PD22NOV17	11450.00	10582.20	USD	5,206.80	
22AUG17	S 1 PD22NOV17	11465.00	10582.20	USD	5,296.80	
22AUG17	B 1 PD22NOV17	11420.00	10582.20	USD	-5,026.80	
22AUG17	B 2 PD22NOV17	11410.00	10582.20	USD	-9,933.60	
22AUG17	B 1 PD22NOV17	11435.00	10582.20	USD	-5,116.80	
29AUG17	B 4 PD22NOV17	11705.15	10582.20	USD	-26,950.80	
	NET 0 AVG BGHT 11551.18 SOLD 11387.22				**-8,853.60**	**0.00**
23AUG17	B 1 PD24NOV17	11330.00	10583.97	USD	-4,476.18	
23AUG17	B 1 PD24NOV17	11335.00	10583.97	USD	-4,506.18	
23AUG17	B 1 PD24NOV17	11325.00	10583.97	USD	-4,446.18	
23AUG17	B 1 PD24NOV17	11360.00	10583.97	USD	-4,656.18	
24AUG17	S 1 PD24NOV17	11730.00	10583.97	USD	6,876.18	
24AUG17	S 1 PD24NOV17	11750.00	10583.97	USD	6,996.18	
24AUG17	S 1 PD24NOV17	11715.00	10583.97	USD	6,786.18	
24AUG17	S 1 PD24NOV17	11720.00	10583.97	USD	6,816.18	
24AUG17	S 1 PD24NOV17	11710.00	10583.97	USD	6,756.18	
24AUG17	S 1 PD24NOV17	11665.00	10583.97	USD	6,486.18	
24AUG17	S 2 PD24NOV17	11740.00	10583.97	USD	13,872.36	
24AUG17	S 1 PD24NOV17	11765.00	10583.97	USD	7,086.18	
25AUG17	B 1 PD24NOV17	11720.00	10583.97	USD	-6,816.18	
25AUG17	B 1 PD24NOV17	11685.00	10583.97	USD	-6,606.18	
25AUG17	B 2 PD24NOV17	11680.00	10583.97	USD	-13,152.36	
25AUG17	B 1 PD24NOV17	11650.00	10583.97	USD	-6,396.18	
25AUG17	B 1 PD24NOV17	11605.00	10583.97	USD	-6,126.18	
25AUG17	B 2 PD24NOV17	11575.00	10583.97	USD	-11,892.36	
25AUG17	B 1 PD24NOV17	11565.00	10583.97	USD	-5,886.18	
25AUG17	S 1 PD24NOV17	11495.00	10583.97	USD	5,466.18	
25AUG17	S 1 PD24NOV17	11540.00	10583.97	USD	5,736.18	
25AUG17	B 1 PD24NOV17	11500.00	10583.97	USD	-5,496.18	
25AUG17	B 1 PD24NOV17	11525.00	10583.97	USD	-5,646.18	
25AUG17	B 1 PD24NOV17	11515.00	10583.97	USD	-5,586.18	
25AUG17	B 1 PD24NOV17	11510.00	10583.97	USD	-5,556.18	
25AUG17	B 1 PD24NOV17	11560.00	10583.97	USD	-5,856.18	
25AUG17	B 1 PD24NOV17	11545.00	10583.97	USD	-5,766.18	
25AUG17	B 5 PD24NOV17	11550.00	10583.97	USD	-28,980.90	
25AUG17	S 1 PD24NOV17	11500.00	10583.97	USD	5,496.18	
25AUG17	S 1 PD24NOV17	11460.00	10583.97	USD	5,256.18	
25AUG17	S 1 PD24NOV17	11470.00	10583.97	USD	5,316.18	
25AUG17	S 1 PD24NOV17	11465.00	10583.97	USD	5,286.18	
29AUG17	S 4 PD24NOV17	11705.54	10583.97	USD	26,917.68	
06SEP17	S 5 PD24NOV17	12157.80	10583.97	USD	47,214.90	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
	NET 0 AVG BGHT 11541.25 SOLD 11753.17				**30,516.96**	**0.00**
29AUG17	S 1 PD29NOV17	11795.00	10588.40	USD	7,239.60	
29AUG17	S 3 PD29NOV17	11785.00	10588.40	USD	21,538.80	
29AUG17	S 1 PD29NOV17	11780.00	10588.40	USD	7,149.60	
29AUG17	S 1 PD29NOV17	11805.00	10588.40	USD	7,299.60	
29AUG17	S 1 PD29NOV17	11805.00	10588.40	USD	7,299.60	
29AUG17	S 1 PD29NOV17	11820.00	10588.40	USD	7,389.60	
29AUG17	B 2 PD29NOV17	11865.00	10588.40	USD	-15,319.20	
29AUG17	B 1 PD29NOV17	11880.00	10588.40	USD	-7,749.60	
29AUG17	B 1 PD29NOV17	11820.00	10588.40	USD	-7,389.60	
29AUG17	B 1 PD29NOV17	11805.00	10588.40	USD	-7,299.60	
29AUG17	S 2 PD29NOV17	11800.00	10588.40	USD	14,539.20	
06SEP17	B 5 PD29NOV17	12161.80	10588.40	USD	-47,202.00	
	NET 0 AVG BGHT 12004.40 SOLD 11796.00				**-12,504.00**	**0.00**
30AUG17	B 1 PD30NOV17	11690.00	10589.29	USD	-6,604.26	
30AUG17	B 1 PD30NOV17	11695.00	10589.29	USD	-6,634.26	
30AUG17	B 2 PD30NOV17	11675.00	10589.29	USD	-13,028.52	
30AUG17	B 1 PD30NOV17	11670.00	10589.29	USD	-6,484.26	
30AUG17	S 1 PD30NOV17	11670.00	10589.29	USD	6,484.26	
30AUG17	B 1 PD30NOV17	11645.00	10589.29	USD	-6,334.26	
30AUG17	S 1 PD30NOV17	11645.00	10589.29	USD	6,334.26	
30AUG17	B 1 PD30NOV17	11605.00	10589.29	USD	-6,094.26	
30AUG17	S 2 PD30NOV17	11635.00	10589.29	USD	12,548.52	
30AUG17	S 1 PD30NOV17	11660.00	10589.29	USD	6,424.26	
30AUG17	S 1 PD30NOV17	11685.00	10589.29	USD	6,574.26	
30AUG17	S 1 PD30NOV17	11605.00	10589.29	USD	6,094.26	
31AUG17	B 1 PD30NOV17	11660.00	10589.29	USD	-6,424.26	
31AUG17	S 1 PD30NOV17	11675.00	10589.29	USD	6,514.26	
31AUG17	S 1 PD30NOV17	11685.00	10589.29	USD	6,574.26	
31AUG17	S 1 PD30NOV17	11680.00	10589.29	USD	6,544.26	
06SEP17	B 2 PD30NOV17	12161.40	10589.29	USD	-18,865.32	
	NET 0 AVG BGHT 11763.78 SOLD 11657.50				**-6,376.80**	**0.00**
01SEP17	S 1 PD01DEC17	11970.00	10590.17	USD	8,278.98	
01SEP17	S 3 PD01DEC17	11965.00	10590.17	USD	24,746.94	
01SEP17	S 8 PD01DEC17	12050.00	10590.17	USD	70,071.84	
01SEP17	S 3 PD01DEC17	12055.00	10590.17	USD	26,366.94	
01SEP17	S 2 PD01DEC17	12075.00	10590.17	USD	17,817.96	
01SEP17	S 4 PD01DEC17	12080.00	10590.17	USD	35,755.92	
01SEP17	B 1 PD01DEC17	12060.00	10590.17	USD	-8,818.98	
01SEP17	B 1 PD01DEC17	12000.00	10590.17	USD	-8,458.98	
01SEP17	B 1 PD01DEC17	12005.00	10590.17	USD	-8,488.98	
01SEP17	B 2 PD01DEC17	11995.00	10590.17	USD	-16,857.96	
01SEP17	B 3 PD01DEC17	11985.00	10590.17	USD	-25,106.94	
01SEP17	B 1 PD01DEC17	12020.00	10590.17	USD	-8,578.98	
01SEP17	B 1 PD01DEC17	12015.00	10590.17	USD	-8,548.98	
01SEP17	S 1 PD01DEC17	12015.00	10590.17	USD	8,548.98	
01SEP17	B 3 PD01DEC17	11990.00	10590.17	USD	-25,196.94	
01SEP17	B 1 PD01DEC17	11975.00	10590.17	USD	-8,308.98	
01SEP17	S 4 PD01DEC17	11960.00	10590.17	USD	32,875.92	
01SEP17	B 1 PD01DEC17	11925.00	10590.17	USD	-8,008.98	
01SEP17	B 2 PD01DEC17	11935.00	10590.17	USD	-16,137.96	
01SEP17	B 3 PD01DEC17	11930.00	10590.17	USD	-24,116.94	
01SEP17	B 2 PD01DEC17	11955.00	10590.17	USD	-16,377.96	
01SEP17	B 1 PD01DEC17	11945.00	10590.17	USD	-8,128.98	
01SEP17	B 2 PD01DEC17	11970.00	10590.17	USD	-16,557.96	
01SEP17	B 1 PD01DEC17	12030.00	10590.17	USD	-8,638.98	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
01SEP17	B 2 PD01DEC17	12010.00	10590.17	USD	-17,037.96	
01SEP17	S 1 PD01DEC17	12010.00	10590.17	USD	8,518.98	
01SEP17	S 1 PD01DEC17	12070.00	10590.17	USD	8,878.98	
01SEP17	S 1 PD01DEC17	12065.00	10590.17	USD	8,848.98	
01SEP17	S 1 PD01DEC17	12070.00	10590.17	USD	8,878.98	
01SEP17	B 2 PD01DEC17	12025.00	10590.17	USD	-17,217.96	
01SEP17	B 1 PD01DEC17	12105.00	10590.17	USD	-9,088.98	
01SEP17	B 1 PD01DEC17	12130.00	10590.17	USD	-9,238.98	
06SEP17	S 2 PD01DEC17	12161.00	10590.17	USD	18,849.96	
	NET 0 AVG BGHT 11990.78 SOLD 12040.38				**9,522.00**	**0.00**
04SEP17	S 1 PD04DEC17	12270.00	10592.83	USD	10,063.02	
04SEP17	S 1 PD04DEC17	12280.00	10592.83	USD	10,123.02	
04SEP17	B 2 PD04DEC17	12255.00	10592.83	USD	-19,946.04	
04SEP17	S 1 PD04DEC17	12235.00	10592.83	USD	9,853.02	
04SEP17	B 2 PD04DEC17	12225.00	10592.83	USD	-19,586.04	
04SEP17	B 3 PD04DEC17	12240.00	10592.83	USD	-29,649.06	
04SEP17	S 2 PD04DEC17	12265.00	10592.83	USD	20,066.04	
04SEP17	B 3 PD04DEC17	12220.00	10592.83	USD	-29,289.06	
04SEP17	B 1 PD04DEC17	12210.00	10592.83	USD	-9,703.02	
04SEP17	B 1 PD04DEC17	12190.00	10592.83	USD	-9,583.02	
04SEP17	B 1 PD04DEC17	12150.00	10592.83	USD	-9,343.02	
04SEP17	B 1 PD04DEC17	12135.00	10592.83	USD	-9,253.02	
04SEP17	S 2 PD04DEC17	12120.00	10592.83	USD	18,326.04	
04SEP17	S 1 PD04DEC17	12140.00	10592.83	USD	9,283.02	
04SEP17	B 2 PD04DEC17	12200.00	10592.83	USD	-19,286.04	
04SEP17	S 1 PD04DEC17	12220.00	10592.83	USD	9,763.02	
04SEP17	B 1 PD04DEC17	12205.00	10592.83	USD	-9,673.02	
04SEP17	S 1 PD04DEC17	12245.00	10592.83	USD	9,913.02	
04SEP17	S 1 PD04DEC17	12255.00	10592.83	USD	9,973.02	
04SEP17	S 3 PD04DEC17	12260.00	10592.83	USD	30,009.06	
04SEP17	S 2 PD04DEC17	12250.00	10592.83	USD	19,886.04	
04SEP17	B 2 PD04DEC17	12270.00	10592.83	USD	-20,126.04	
04SEP17	S 1 PD04DEC17	12255.00	10592.83	USD	9,973.02	
06SEP17	S 1 PD04DEC17	12163.80	10592.83	USD	9,425.82	
08SEP17	S 1 PD04DEC17	11587.65	10592.83	USD	5,968.92	
	NET 0 AVG BGHT 12219.47 SOLD 12194.81				**-2,811.30**	**0.00**
05SEP17	B 2 PD05DEC17	12255.00	10593.71	USD	-19,935.48	
05SEP17	S 1 PD05DEC17	12255.00	10593.71	USD	9,967.74	
05SEP17	B 1 PD05DEC17	12210.00	10593.71	USD	-9,697.74	
05SEP17	B 1 PD05DEC17	12205.00	10593.71	USD	-9,667.74	
05SEP17	B 1 PD05DEC17	12195.00	10593.71	USD	-9,607.74	
05SEP17	S 1 PD05DEC17	12250.00	10593.71	USD	9,937.74	
05SEP17	B 1 PD05DEC17	12235.00	10593.71	USD	-9,847.74	
05SEP17	S 1 PD05DEC17	12230.00	10593.71	USD	9,817.74	
05SEP17	B 1 PD05DEC17	12220.00	10593.71	USD	-9,757.74	
05SEP17	B 1 PD05DEC17	12190.00	10593.71	USD	-9,577.74	
05SEP17	S 1 PD05DEC17	12215.00	10593.71	USD	9,727.74	
05SEP17	S 1 PD05DEC17	12225.00	10593.71	USD	9,787.74	
05SEP17	B 1 PD05DEC17	12170.00	10593.71	USD	-9,457.74	
05SEP17	S 2 PD05DEC17	12210.00	10593.71	USD	19,395.48	
05SEP17	S 1 PD05DEC17	12195.00	10593.71	USD	9,607.74	
05SEP17	B 1 PD05DEC17	12160.00	10593.71	USD	-9,397.74	
05SEP17	S 1 PD05DEC17	12180.00	10593.71	USD	9,517.74	
05SEP17	S 3 PD05DEC17	12190.00	10593.71	USD	28,733.22	
05SEP17	B 1 PD05DEC17	12125.00	10593.71	USD	-9,187.74	
06SEP17	B 1 PD05DEC17	12165.40	10593.71	USD	-9,430.14	
	NET 0 AVG BGHT 12198.78 SOLD 12211.67				**927.60**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
06SEP17	B	1	PD06DEC17	12015.00	10594.60	USD	-8,522.40	
06SEP17	S	1	PD06DEC17	12225.00	10594.60	USD	9,782.40	
06SEP17	S	1	PD06DEC17	12245.00	10594.60	USD	9,902.40	
08SEP17	B	1	PD06DEC17	11589.83	10594.60	USD	-5,971.38	
	NET	**0**	**AVG BGHT 11802.42 SOLD 12235.00**				**5,191.02**	**0.00**
07SEP17	B	1	PD07DEC17	12145.00	10595.49	USD	-9,297.06	
07SEP17	B	2	PD07DEC17	12125.00	10595.49	USD	-18,354.12	
07SEP17	B	1	PD07DEC17	12135.00	10595.49	USD	-9,237.06	
07SEP17	S	2	PD07DEC17	12125.00	10595.49	USD	18,354.12	
07SEP17	S	2	PD07DEC17	12120.00	10595.49	USD	18,294.12	
	NET	**0**	**AVG BGHT 12132.50 SOLD 12122.50**				**-240.00**	**0.00**
08SEP17	S	1	PD08DEC17	12240.00	10596.37	USD	9,861.78	
08SEP17	S	1	PD08DEC17	12225.00	10596.37	USD	9,771.78	
08SEP17	B	1	PD08DEC17	12175.00	10596.37	USD	-9,471.78	
08SEP17	B	1	PD08DEC17	12155.00	10596.37	USD	-9,351.78	
08SEP17	B	2	PD08DEC17	12125.00	10596.37	USD	-18,343.56	
08SEP17	B	4	PD08DEC17	12120.00	10596.37	USD	-36,567.12	
08SEP17	B	1	PD08DEC17	12035.00	10596.37	USD	-8,631.78	
08SEP17	B	4	PD08DEC17	12030.00	10596.37	USD	-34,407.12	
08SEP17	B	1	PD08DEC17	12015.00	10596.37	USD	-8,511.78	
08SEP17	B	2	PD08DEC17	11995.00	10596.37	USD	-16,783.56	
08SEP17	B	1	PD08DEC17	11990.00	10596.37	USD	-8,361.78	
08SEP17	B	2	PD08DEC17	12000.00	10596.37	USD	-16,843.56	
08SEP17	B	1	PD08DEC17	11975.00	10596.37	USD	-8,271.78	
08SEP17	B	1	PD08DEC17	12005.00	10596.37	USD	-8,451.78	
08SEP17	B	1	PD08DEC17	12010.00	10596.37	USD	-8,481.78	
08SEP17	S	2	PD08DEC17	11995.00	10596.37	USD	16,783.56	
08SEP17	B	1	PD08DEC17	11945.00	10596.37	USD	-8,091.78	
08SEP17	B	1	PD08DEC17	11940.00	10596.37	USD	-8,061.78	
08SEP17	B	1	PD08DEC17	11940.00	10596.37	USD	-8,061.78	
08SEP17	B	1	PD08DEC17	11770.00	10596.37	USD	-7,041.78	
08SEP17	S	1	PD08DEC17	11760.00	10596.37	USD	6,981.78	
08SEP17	S	1	PD08DEC17	11590.00	10596.37	USD	5,961.78	
08SEP17	S	2	PD08DEC17	11595.00	10596.37	USD	11,983.56	
13SEP17	S	18	PD08DEC17	11356.46	10596.37	USD	82,089.72	
	NET	**0**	**AVG BGHT 12030.58 SOLD 11515.82**				**-80,302.32**	**0.00**
11SEP17	S	1	PD11DEC17	11620.00	10599.03	USD	6,125.82	
11SEP17	S	1	PD11DEC17	11625.00	10599.03	USD	6,155.82	
11SEP17	S	1	PD11DEC17	11635.00	10599.03	USD	6,215.82	
11SEP17	S	2	PD11DEC17	11630.00	10599.03	USD	12,371.64	
11SEP17	S	1	PD11DEC17	11565.00	10599.03	USD	5,795.82	
11SEP17	S	4	PD11DEC17	11600.00	10599.03	USD	24,023.28	
11SEP17	S	1	PD11DEC17	11595.00	10599.03	USD	5,975.82	
11SEP17	S	4	PD11DEC17	11610.00	10599.03	USD	24,263.28	
11SEP17	B	1	PD11DEC17	11560.00	10599.03	USD	-5,765.82	
11SEP17	S	1	PD11DEC17	11560.00	10599.03	USD	5,765.82	
11SEP17	S	3	PD11DEC17	11605.00	10599.03	USD	18,107.46	
11SEP17	B	1	PD11DEC17	11575.00	10599.03	USD	-5,855.82	
11SEP17	S	1	PD11DEC17	11610.00	10599.03	USD	6,065.82	
11SEP17	S	1	PD11DEC17	11710.00	10599.03	USD	6,665.82	
11SEP17	S	1	PD11DEC17	11725.00	10599.03	USD	6,755.82	
11SEP17	B	1	PD11DEC17	11735.00	10599.03	USD	-6,815.82	
11SEP17	S	2	PD11DEC17	11745.00	10599.03	USD	13,751.64	
11SEP17	B	1	PD11DEC17	11725.00	10599.03	USD	-6,755.82	
11SEP17	S	1	PD11DEC17	11715.00	10599.03	USD	6,695.82	
13SEP17	B	18	PD11DEC17	11360.00	10599.03	USD	-82,184.76	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
14SEP17	B 3 PD11DEC17	11197.62	10599.03	USD	-10,774.62	
	NET 0 AVG BGHT 11386.71 SOLD 11630.60				**36,582.84**	**0.00**
12SEP17	B 1 PD12DEC17	11655.00	10599.91	USD	-6,330.54	
12SEP17	S 1 PD12DEC17	11700.00	10599.91	USD	6,600.54	
12SEP17	B 1 PD12DEC17	11735.00	10599.91	USD	-6,810.54	
12SEP17	S 1 PD12DEC17	11730.00	10599.91	USD	6,780.54	
12SEP17	S 1 PD12DEC17	11740.00	10599.91	USD	6,840.54	
12SEP17	S 2 PD12DEC17	11735.00	10599.91	USD	13,621.08	
12SEP17	S 1 PD12DEC17	11750.00	10599.91	USD	6,900.54	
12SEP17	S 1 PD12DEC17	11755.00	10599.91	USD	6,930.54	
12SEP17	B 1 PD12DEC17	11760.00	10599.91	USD	-6,960.54	
12SEP17	B 1 PD12DEC17	11900.00	10599.91	USD	-7,800.54	
19SEP17	B 1 PD12DEC17	11134.65	10599.91	USD	-3,208.44	
20SEP17	B 2 PD12DEC17	11373.83	10599.91	USD	-9,287.04	
	NET 0 AVG BGHT 11561.76 SOLD 11735.00				**7,276.14**	**0.00**
13SEP17	B 1 PD13DEC17	11725.00	10600.80	USD	-6,745.20	
13SEP17	B 1 PD13DEC17	11730.00	10600.80	USD	-6,775.20	
13SEP17	B 1 PD13DEC17	11720.00	10600.80	USD	-6,715.20	
13SEP17	S 1 PD13DEC17	11750.00	10600.80	USD	6,895.20	
13SEP17	S 2 PD13DEC17	11775.00	10600.80	USD	14,090.40	
13SEP17	B 1 PD13DEC17	11745.00	10600.80	USD	-6,865.20	
13SEP17	B 1 PD13DEC17	11740.00	10600.80	USD	-6,835.20	
13SEP17	B 1 PD13DEC17	11735.00	10600.80	USD	-6,805.20	
13SEP17	B 1 PD13DEC17	11710.00	10600.80	USD	-6,655.20	
13SEP17	B 1 PD13DEC17	11685.00	10600.80	USD	-6,505.20	
13SEP17	B 1 PD13DEC17	11680.00	10600.80	USD	-6,475.20	
13SEP17	B 1 PD13DEC17	11675.00	10600.80	USD	-6,445.20	
13SEP17	B 1 PD13DEC17	11690.00	10600.80	USD	-6,535.20	
13SEP17	B 1 PD13DEC17	11605.00	10600.80	USD	-6,025.20	
13SEP17	B 1 PD13DEC17	11610.00	10600.80	USD	-6,055.20	
13SEP17	B 2 PD13DEC17	11600.00	10600.80	USD	-11,990.40	
13SEP17	B 1 PD13DEC17	11570.00	10600.80	USD	-5,815.20	
13SEP17	S 1 PD13DEC17	11560.00	10600.80	USD	5,755.20	
13SEP17	B 1 PD13DEC17	11720.00	10600.80	USD	-6,715.20	
13SEP17	S 1 PD13DEC17	11535.00	10600.80	USD	5,605.20	
13SEP17	S 1 PD13DEC17	11540.00	10600.80	USD	5,635.20	
13SEP17	S 1 PD13DEC17	11565.00	10600.80	USD	5,785.20	
13SEP17	S 1 PD13DEC17	11600.00	10600.80	USD	5,995.20	
13SEP17	S 1 PD13DEC17	11610.00	10600.80	USD	6,055.20	
13SEP17	S 1 PD13DEC17	11585.00	10600.80	USD	5,905.20	
13SEP17	S 1 PD13DEC17	11580.00	10600.80	USD	5,875.20	
13SEP17	S 1 PD13DEC17	11425.00	10600.80	USD	4,945.20	
13SEP17	S 1 PD13DEC17	11440.00	10600.80	USD	5,035.20	
13SEP17	S 1 PD13DEC17	11435.00	10600.80	USD	5,005.20	
14SEP17	S 3 PD13DEC17	11198.21	10600.80	USD	10,753.38	
	NET 0 AVG BGHT 11678.82 SOLD 11515.86				**-16,622.22**	**0.00**
14SEP17	B 1 PD14DEC17	11355.00	10601.69	USD	-4,519.86	
14SEP17	S 1 PD14DEC17	11315.00	10601.69	USD	4,279.86	
14SEP17	B 1 PD14DEC17	11280.00	10601.69	USD	-4,069.86	
14SEP17	B 1 PD14DEC17	11185.00	10601.69	USD	-3,499.86	
14SEP17	B 1 PD14DEC17	11160.00	10601.69	USD	-3,349.86	
14SEP17	B 1 PD14DEC17	11140.00	10601.69	USD	-3,229.86	
14SEP17	B 2 PD14DEC17	11130.00	10601.69	USD	-6,339.72	
14SEP17	B 1 PD14DEC17	11135.00	10601.69	USD	-3,199.86	
14SEP17	S 1 PD14DEC17	11240.00	10601.69	USD	3,829.86	
14SEP17	S 1 PD14DEC17	11225.00	10601.69	USD	3,739.86	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
14SEP17	S 3 PD14DEC17	11235.00	10601.69	USD	11,399.58	
14SEP17	S 1 PD14DEC17	11245.00	10601.69	USD	3,859.86	
14SEP17	S 1 PD14DEC17	11255.00	10601.69	USD	3,919.86	
14SEP17	B 1 PD14DEC17	11245.00	10601.69	USD	-3,859.86	
14SEP17	S 1 PD14DEC17	11285.00	10601.69	USD	4,099.86	
	NET 0 AVG BGHT 11195.56 SOLD 11252.22				**3,060.00**	**0.00**
15SEP17	B 1 PD15DEC17	11120.00	10602.57	USD	-3,104.58	
15SEP17	S 1 PD15DEC17	11140.00	10602.57	USD	3,224.58	
15SEP17	B 3 PD15DEC17	11165.00	10602.57	USD	-10,123.74	
15SEP17	B 1 PD15DEC17	11170.00	10602.57	USD	-3,404.58	
15SEP17	B 1 PD15DEC17	11175.00	10602.57	USD	-3,434.58	
15SEP17	B 1 PD15DEC17	11185.00	10602.57	USD	-3,494.58	
15SEP17	B 1 PD15DEC17	11190.00	10602.57	USD	-3,524.58	
15SEP17	S 1 PD15DEC17	11110.00	10602.57	USD	3,044.58	
15SEP17	S 1 PD15DEC17	11120.00	10602.57	USD	3,104.58	
15SEP17	S 1 PD15DEC17	11125.00	10602.57	USD	3,134.58	
15SEP17	S 1 PD15DEC17	11130.00	10602.57	USD	3,164.58	
15SEP17	S 1 PD15DEC17	11135.00	10602.57	USD	3,194.58	
15SEP17	B 1 PD15DEC17	11070.00	10602.57	USD	-2,804.58	
15SEP17	B 1 PD15DEC17	11045.00	10602.57	USD	-2,654.58	
15SEP17	S 1 PD15DEC17	11150.00	10602.57	USD	3,284.58	
15SEP17	B 1 PD15DEC17	11110.00	10602.57	USD	-3,044.58	
15SEP17	B 1 PD15DEC17	11105.00	10602.57	USD	-3,014.58	
15SEP17	S 1 PD15DEC17	11175.00	10602.57	USD	3,434.58	
15SEP17	S 1 PD15DEC17	11195.00	10602.57	USD	3,554.58	
15SEP17	B 2 PD15DEC17	11100.00	10602.57	USD	-5,969.16	
15SEP17	S 1 PD15DEC17	11000.00	10602.57	USD	2,384.58	
15SEP17	S 1 PD15DEC17	11070.00	10602.57	USD	2,804.58	
15SEP17	S 1 PD15DEC17	11090.00	10602.57	USD	2,924.58	
15SEP17	S 2 PD15DEC17	11100.00	10602.57	USD	5,969.16	
15SEP17	S 2 PD15DEC17	11085.00	10602.57	USD	5,789.16	
15SEP17	B 1 PD15DEC17	11135.00	10602.57	USD	-3,194.58	
15SEP17	B 1 PD15DEC17	11130.00	10602.57	USD	-3,164.58	
15SEP17	S 1 PD15DEC17	11000.00	10602.57	USD	2,384.58	
20SEP17	B 1 PD15DEC17	11376.14	10602.57	USD	-4,641.42	
	NET 0 AVG BGHT 11147.42 SOLD 11106.47				**-4,176.84**	**0.00**
18SEP17	B 1 PD18DEC17	11225.00	10605.23	USD	-3,718.62	
18SEP17	B 1 PD18DEC17	11205.00	10605.23	USD	-3,598.62	
18SEP17	S 1 PD18DEC17	11210.00	10605.23	USD	3,628.62	
18SEP17	B 2 PD18DEC17	11180.00	10605.23	USD	-6,897.24	
18SEP17	B 1 PD18DEC17	11185.00	10605.23	USD	-3,478.62	
18SEP17	B 1 PD18DEC17	11170.00	10605.23	USD	-3,388.62	
18SEP17	B 1 PD18DEC17	11155.00	10605.23	USD	-3,298.62	
18SEP17	B 1 PD18DEC17	11160.00	10605.23	USD	-3,328.62	
18SEP17	S 1 PD18DEC17	11215.00	10605.23	USD	3,658.62	
18SEP17	S 1 PD18DEC17	11220.00	10605.23	USD	3,688.62	
18SEP17	S 1 PD18DEC17	11225.00	10605.23	USD	3,718.62	
18SEP17	S 1 PD18DEC17	11185.00	10605.23	USD	3,478.62	
18SEP17	S 2 PD18DEC17	11170.00	10605.23	USD	6,777.24	
19SEP17	S 1 PD18DEC17	11138.24	10605.23	USD	3,198.06	
	NET 0 AVG BGHT 11182.50 SOLD 11191.66				**439.44**	**0.00**
19SEP17	S 1 PD19DEC17	11040.00	10606.11	USD	2,603.34	
19SEP17	B 1 PD19DEC17	11030.00	10606.11	USD	-2,543.34	
19SEP17	B 1 PD19DEC17	11070.00	10606.11	USD	-2,783.34	
19SEP17	B 1 PD19DEC17	11080.00	10606.11	USD	-2,843.34	
19SEP17	B 1 PD19DEC17	11055.00	10606.11	USD	-2,693.34	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
19SEP17	B 1 PD19DEC17	11005.00	10606.11	USD	-2,393.34	
19SEP17	B 2 PD19DEC17	10960.00	10606.11	USD	-4,246.68	
19SEP17	B 2 PD19DEC17	10970.00	10606.11	USD	-4,366.68	
19SEP17	S 1 PD19DEC17	11005.00	10606.11	USD	2,393.34	
19SEP17	B 2 PD19DEC17	10990.00	10606.11	USD	-4,606.68	
19SEP17	B 1 PD19DEC17	10985.00	10606.11	USD	-2,273.34	
19SEP17	S 1 PD19DEC17	11020.00	10606.11	USD	2,483.34	
19SEP17	B 1 PD19DEC17	10985.00	10606.11	USD	-2,273.34	
19SEP17	S 1 PD19DEC17	10995.00	10606.11	USD	2,333.34	
19SEP17	S 2 PD19DEC17	11030.00	10606.11	USD	5,086.68	
19SEP17	S 1 PD19DEC17	11035.00	10606.11	USD	2,573.34	
19SEP17	S 1 PD19DEC17	11055.00	10606.11	USD	2,693.34	
19SEP17	S 1 PD19DEC17	11045.00	10606.11	USD	2,633.34	
19SEP17	S 1 PD19DEC17	11050.00	10606.11	USD	2,663.34	
19SEP17	S 1 PD19DEC17	11130.00	10606.11	USD	3,143.34	
19SEP17	S 1 PD19DEC17	11195.00	10606.11	USD	3,533.34	
19SEP17	S 1 PD19DEC17	11230.00	10606.11	USD	3,743.34	
19SEP17	B 2 PD19DEC17	11150.00	10606.11	USD	-6,526.68	
19SEP17	B 1 PD19DEC17	11135.00	10606.11	USD	-3,173.34	
20SEP17	S 3 PD19DEC17	11378.23	10606.11	USD	13,898.16	
	NET 0 AVG BGHT 11030.31 SOLD 11124.67				**9,058.14**	**0.00**
20SEP17	B 3 PD20DEC17	11225.00	10607.00	USD	-11,124.00	
20SEP17	S 1 PD20DEC17	11295.00	10607.00	USD	4,128.00	
20SEP17	S 1 PD20DEC17	11320.00	10607.00	USD	4,278.00	
20SEP17	S 2 PD20DEC17	11330.00	10607.00	USD	8,676.00	
20SEP17	B 3 PD20DEC17	11320.00	10607.00	USD	-12,834.00	
20SEP17	S 1 PD20DEC17	11370.00	10607.00	USD	4,578.00	
20SEP17	B 2 PD20DEC17	11375.00	10607.00	USD	-9,216.00	
20SEP17	B 1 PD20DEC17	11360.00	10607.00	USD	-4,518.00	
20SEP17	B 1 PD20DEC17	11355.00	10607.00	USD	-4,488.00	
20SEP17	B 1 PD20DEC17	11340.00	10607.00	USD	-4,398.00	
20SEP17	B 1 PD20DEC17	11295.00	10607.00	USD	-4,128.00	
20SEP17	B 1 PD20DEC17	11285.00	10607.00	USD	-4,068.00	
26SEP17	S 8 PD20DEC17	10470.00	10607.00	USD	-6,576.00	
	NET 0 AVG BGHT 11309.23 SOLD 10800.38				**-39,690.00**	**0.00**
21SEP17	B 1 PD21DEC17	11015.00	10608.00	USD	-2,442.00	
21SEP17	S 1 PD21DEC17	11080.00	10608.00	USD	2,832.00	
21SEP17	B 1 PD21DEC17	11025.00	10608.00	USD	-2,502.00	
21SEP17	B 1 PD21DEC17	11000.00	10608.00	USD	-2,352.00	
21SEP17	S 1 PD21DEC17	11070.00	10608.00	USD	2,772.00	
21SEP17	S 1 PD21DEC17	11060.00	10608.00	USD	2,712.00	
21SEP17	B 1 PD21DEC17	11005.00	10608.00	USD	-2,382.00	
21SEP17	B 1 PD21DEC17	11010.00	10608.00	USD	-2,412.00	
21SEP17	B 1 PD21DEC17	10990.00	10608.00	USD	-2,292.00	
21SEP17	B 1 PD21DEC17	10915.00	10608.00	USD	-1,842.00	
21SEP17	S 1 PD21DEC17	11105.00	10608.00	USD	2,982.00	
21SEP17	S 1 PD21DEC17	11120.00	10608.00	USD	3,072.00	
21SEP17	S 1 PD21DEC17	11125.00	10608.00	USD	3,102.00	
21SEP17	S 1 PD21DEC17	11145.00	10608.00	USD	3,222.00	
21SEP17	S 2 PD21DEC17	11115.00	10608.00	USD	6,084.00	
21SEP17	B 1 PD21DEC17	11090.00	10608.00	USD	-2,892.00	
21SEP17	B 1 PD21DEC17	11050.00	10608.00	USD	-2,652.00	
21SEP17	B 2 PD21DEC17	11055.00	10608.00	USD	-5,364.00	
21SEP17	B 2 PD21DEC17	11040.00	10608.00	USD	-5,184.00	
21SEP17	B 1 PD21DEC17	10970.00	10608.00	USD	-2,172.00	
21SEP17	B 1 PD21DEC17	10845.00	10608.00	USD	-1,422.00	
21SEP17	B 2 PD21DEC17	10830.00	10608.00	USD	-2,664.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
21SEP17	S	1	PD21DEC17	10830.00	10608.00	USD	1,332.00	
21SEP17	B	1	PD21DEC17	10840.00	10608.00	USD	-1,392.00	
21SEP17	B	1	PD21DEC17	10790.00	10608.00	USD	-1,092.00	
21SEP17	B	1	PD21DEC17	10690.00	10608.00	USD	-492.00	
21SEP17	B	1	PD21DEC17	10715.00	10608.00	USD	-642.00	
21SEP17	B	1	PD21DEC17	10735.00	10608.00	USD	-762.00	
21SEP17	B	2	PD21DEC17	10710.00	10608.00	USD	-1,224.00	
21SEP17	B	1	PD21DEC17	10625.00	10608.00	USD	-102.00	
21SEP17	B	2	PD21DEC17	10660.00	10608.00	USD	-624.00	
21SEP17	B	1	PD21DEC17	10665.00	10608.00	USD	-342.00	
21SEP17	B	1	PD21DEC17	10675.00	10608.00	USD	-402.00	
21SEP17	B	1	PD21DEC17	10845.00	10608.00	USD	-1,422.00	
21SEP17	B	1	PD21DEC17	10635.00	10608.00	USD	-162.00	
26SEP17	S	21	PD21DEC17	10470.75	10608.00	USD	-17,293.50	
	NET	**0**	**AVG BGHT 10861.94 SOLD 10666.15**				**-36,415.50**	**0.00**
22SEP17	B	1	PD22DEC17	10585.00	10609.00	USD	144.00	
22SEP17	B	1	PD22DEC17	10555.00	10609.00	USD	324.00	
22SEP17	B	1	PD22DEC17	10550.00	10609.00	USD	354.00	
22SEP17	S	1	PD22DEC17	10555.00	10609.00	USD	-324.00	
22SEP17	S	1	PD22DEC17	10545.00	10609.00	USD	-384.00	
26SEP17	S	1	PD22DEC17	10471.50	10609.00	USD	-825.00	
	NET	**0**	**AVG BGHT 10563.33 SOLD 10523.83**				**-711.00**	**0.00**
25SEP17	B	1	PD27DEC17	10520.00	10613.58	USD	561.48	
25SEP17	S	1	PD27DEC17	10500.00	10613.58	USD	-681.48	
25SEP17	B	1	PD27DEC17	10490.00	10613.58	USD	741.48	
25SEP17	S	1	PD27DEC17	10630.00	10613.58	USD	98.52	
25SEP17	B	1	PD27DEC17	10610.00	10613.58	USD	21.48	
25SEP17	B	3	PD27DEC17	10605.00	10613.58	USD	154.44	
25SEP17	B	1	PD27DEC17	10575.00	10613.58	USD	231.48	
25SEP17	B	1	PD27DEC17	10580.00	10613.58	USD	201.48	
25SEP17	S	1	PD27DEC17	10615.00	10613.58	USD	8.52	
26SEP17	B	1	PD27DEC17	10640.00	10613.58	USD	-158.52	
26SEP17	B	1	PD27DEC17	10620.00	10613.58	USD	-38.52	
26SEP17	B	1	PD27DEC17	10585.00	10613.58	USD	171.48	
26SEP17	B	1	PD27DEC17	10580.00	10613.58	USD	201.48	
26SEP17	S	1	PD27DEC17	10600.00	10613.58	USD	-81.48	
26SEP17	S	2	PD27DEC17	10475.00	10613.58	USD	-1,662.96	
26SEP17	S	1	PD27DEC17	10470.00	10613.58	USD	-861.48	
26SEP17	S	1	PD27DEC17	10465.00	10613.58	USD	-891.48	
27SEP17	S	1	PD27DEC17	10580.00	10613.58	USD	-201.48	
27SEP17	S	2	PD27DEC17	10585.00	10613.58	USD	-342.96	
27SEP17	S	1	PD27DEC17	10505.00	10613.58	USD	-651.48	
	NET	**0**	**AVG BGHT 10584.58 SOLD 10540.42**				**-3,180.00**	**0.00**
28SEP17	S	1	PD28DEC17	10265.00	10614.50	USD	-2,097.00	
28SEP17	S	1	PD28DEC17	10345.00	10614.50	USD	-1,617.00	
28SEP17	S	1	PD28DEC17	10380.00	10614.50	USD	-1,407.00	
28SEP17	S	1	PD28DEC17	10390.00	10614.50	USD	-1,347.00	
28SEP17	B	1	PD28DEC17	10445.00	10614.50	USD	1,017.00	
28SEP17	S	1	PD28DEC17	10455.00	10614.50	USD	-957.00	
28SEP17	S	1	PD28DEC17	10465.00	10614.50	USD	-897.00	
28SEP17	S	1	PD28DEC17	10475.00	10614.50	USD	-837.00	
28SEP17	S	1	PD28DEC17	10485.00	10614.50	USD	-777.00	
28SEP17	S	2	PD28DEC17	10480.00	10614.50	USD	-1,614.00	
28SEP17	B	9	PD28DEC17	10441.00	10614.50	USD	9,369.00	
	NET	**0**	**AVG BGHT 10441.40 SOLD 10422.00**				**-1,164.00**	**0.00**
29SEP17	B	1	PD29DEC17	10505.00	10615.42	USD	662.52	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
29SEP17	S 1 PD29DEC17	10520.00	10615.42	USD	-572.52	
	NET 0 AVG BGHT 10505.00 SOLD 10520.00				**90.00**	**0.00**
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
04JUL17	B 5 PD04OCT17	2781.50	3316.62	USD	66,890.00	
04JUL17	B 3 PD04OCT17	2779.50	3316.62	USD	40,284.00	
04JUL17	B 1 PD04OCT17	2778.50	3316.62	USD	13,453.00	
04JUL17	B 5 PD04OCT17	2780.50	3316.62	USD	67,015.00	
04JUL17	B 2 PD04OCT17	2780.00	3316.62	USD	26,831.00	
04JUL17	B 1 PD04OCT17	2778.00	3316.62	USD	13,465.50	
04JUL17	S 1 PD04OCT17	2774.50	3316.62	USD	-13,553.00	
04JUL17	S 1 PD04OCT17	2775.00	3316.62	USD	-13,540.50	
04JUL17	S 1 PD04OCT17	2784.50	3316.62	USD	-13,303.00	
04JUL17	B 1 PD04OCT17	2777.00	3316.62	USD	13,490.50	
04JUL17	S 2 PD04OCT17	2785.00	3316.62	USD	-26,581.00	
04JUL17	S 1 PD04OCT17	2786.00	3316.62	USD	-13,265.50	
04JUL17	S 2 PD04OCT17	2786.50	3316.62	USD	-26,506.00	
04JUL17	S 1 PD04OCT17	2788.00	3316.62	USD	-13,215.50	
04JUL17	B 1 PD04OCT17	2780.50	3316.62	USD	13,403.00	
04JUL17	B 5 PD04OCT17	2780.00	3316.62	USD	67,077.50	
04JUL17	B 1 PD04OCT17	2779.00	3316.62	USD	13,440.50	
04JUL17	B 1 PD04OCT17	2774.00	3316.62	USD	13,565.50	
04JUL17	S 1 PD04OCT17	2792.50	3316.62	USD	-13,103.00	
04JUL17	S 1 PD04OCT17	2796.50	3316.62	USD	-13,003.00	
04JUL17	S 1 PD04OCT17	2795.50	3316.62	USD	-13,028.00	
04JUL17	S 1 PD04OCT17	2796.00	3316.62	USD	-13,015.50	
04JUL17	B 3 PD04OCT17	2788.50	3316.62	USD	39,609.00	
04JUL17	S 2 PD04OCT17	2790.00	3316.62	USD	-26,331.00	
04JUL17	S 2 PD04OCT17	2789.50	3316.62	USD	-26,356.00	
05JUL17	S 12 PD04OCT17	2781.26	3316.62	USD	-160,608.00	
	NET 0 AVG BGHT 2780.72 SOLD 2785.02				**3,115.50**	**0.00**
05JUL17	B 2 PD05OCT17	2797.50	3313.50	USD	25,800.00	
05JUL17	B 2 PD05OCT17	2795.50	3313.50	USD	25,900.00	
05JUL17	B 2 PD05OCT17	2796.00	3313.50	USD	25,875.00	
05JUL17	B 1 PD05OCT17	2792.50	3313.50	USD	13,025.00	
05JUL17	S 2 PD05OCT17	2778.00	3313.50	USD	-26,775.00	
05JUL17	S 2 PD05OCT17	2786.50	3313.50	USD	-26,350.00	
05JUL17	S 1 PD05OCT17	2789.50	3313.50	USD	-13,100.00	
05JUL17	B 1 PD05OCT17	2778.50	3313.50	USD	13,375.00	
05JUL17	B 1 PD05OCT17	2774.00	3313.50	USD	13,487.50	
05JUL17	B 1 PD05OCT17	2770.00	3313.50	USD	13,587.50	
05JUL17	B 1 PD05OCT17	2768.50	3313.50	USD	13,625.00	
05JUL17	S 1 PD05OCT17	2768.00	3313.50	USD	-13,637.50	
05JUL17	S 1 PD05OCT17	2771.50	3313.50	USD	-13,550.00	
05JUL17	S 1 PD05OCT17	2774.50	3313.50	USD	-13,475.00	
05JUL17	B 1 PD05OCT17	2769.00	3313.50	USD	13,612.50	
05JUL17	B 2 PD05OCT17	2775.00	3313.50	USD	26,925.00	
05JUL17	S 1 PD05OCT17	2783.00	3313.50	USD	-13,262.50	
05JUL17	B 1 PD05OCT17	2775.50	3313.50	USD	13,450.00	
05JUL17	B 1 PD05OCT17	2743.00	3313.50	USD	14,262.50	
05JUL17	B 1 PD05OCT17	2739.50	3313.50	USD	14,350.00	
05JUL17	S 1 PD05OCT17	2770.50	3313.50	USD	-13,575.00	
05JUL17	S 1 PD05OCT17	2775.00	3313.50	USD	-13,462.50	
10JUL17	S 6 PD05OCT17	2780.30	3313.50	USD	-79,980.00	
	NET 0 AVG BGHT 2778.74 SOLD 2778.99				**107.50**	**0.00**
06JUL17	S 1 PD06OCT17	2755.50	3310.38	USD	-13,872.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
06JUL17	S 1 PD06OCT17	2753.50	3310.38	USD	-13,922.00	
06JUL17	S 2 PD06OCT17	2754.50	3310.38	USD	-27,794.00	
06JUL17	B 1 PD06OCT17	2747.50	3310.38	USD	14,072.00	
06JUL17	S 1 PD06OCT17	2752.50	3310.38	USD	-13,947.00	
06JUL17	S 1 PD06OCT17	2766.50	3310.38	USD	-13,597.00	
06JUL17	S 1 PD06OCT17	2768.00	3310.38	USD	-13,559.50	
06JUL17	S 3 PD06OCT17	2768.50	3310.38	USD	-40,641.00	
06JUL17	S 1 PD06OCT17	2769.50	3310.38	USD	-13,522.00	
06JUL17	S 1 PD06OCT17	2765.00	3310.38	USD	-13,634.50	
06JUL17	B 1 PD06OCT17	2792.00	3310.38	USD	12,959.50	
06JUL17	B 2 PD06OCT17	2790.00	3310.38	USD	26,019.00	
06JUL17	S 1 PD06OCT17	2789.50	3310.38	USD	-13,022.00	
06JUL17	S 2 PD06OCT17	2794.00	3310.38	USD	-25,819.00	
06JUL17	S 1 PD06OCT17	2795.50	3310.38	USD	-12,872.00	
06JUL17	S 1 PD06OCT17	2801.00	3310.38	USD	-12,734.50	
06JUL17	S 1 PD06OCT17	2802.00	3310.38	USD	-12,709.50	
06JUL17	B 1 PD06OCT17	2797.50	3310.38	USD	12,822.00	
06JUL17	B 4 PD06OCT17	2790.50	3310.38	USD	51,988.00	
06JUL17	B 1 PD06OCT17	2786.50	3310.38	USD	13,097.00	
06JUL17	B 1 PD06OCT17	2785.50	3310.38	USD	13,122.00	
06JUL17	B 1 PD06OCT17	2786.00	3310.38	USD	13,109.50	
06JUL17	B 1 PD06OCT17	2788.00	3310.38	USD	13,059.50	
06JUL17	B 1 PD06OCT17	2788.50	3310.38	USD	13,047.00	
06JUL17	B 1 PD06OCT17	2789.00	3310.38	USD	13,034.50	
06JUL17	B 1 PD06OCT17	2801.00	3310.38	USD	12,734.50	
06JUL17	S 3 PD06OCT17	2790.00	3310.38	USD	-39,028.50	
06JUL17	B 1 PD06OCT17	2780.00	3310.38	USD	13,259.50	
06JUL17	B 1 PD06OCT17	2780.50	3310.38	USD	13,247.00	
06JUL17	S 3 PD06OCT17	2789.00	3310.38	USD	-39,103.50	
06JUL17	B 1 PD06OCT17	2779.50	3310.38	USD	13,272.00	
06JUL17	B 3 PD06OCT17	2782.00	3310.38	USD	39,628.50	
06JUL17	B 2 PD06OCT17	2782.50	3310.38	USD	26,394.00	
06JUL17	S 1 PD06OCT17	2786.00	3310.38	USD	-13,109.50	
06JUL17	B 1 PD06OCT17	2783.50	3310.38	USD	13,172.00	
06JUL17	B 3 PD06OCT17	2781.00	3310.38	USD	39,703.50	
06JUL17	S 1 PD06OCT17	2778.00	3310.38	USD	-13,309.50	
06JUL17	S 1 PD06OCT17	2785.50	3310.38	USD	-13,122.00	
06JUL17	S 1 PD06OCT17	2783.00	3310.38	USD	-13,184.50	
07JUL17	S 1 PD06OCT17	2781.50	3310.38	USD	-13,222.00	
07JUL17	S 1 PD06OCT17	2783.00	3310.38	USD	-13,184.50	
07JUL17	S 1 PD06OCT17	2788.50	3310.38	USD	-13,047.00	
07JUL17	S 1 PD06OCT17	2791.50	3310.38	USD	-12,972.00	
07JUL17	S 1 PD06OCT17	2794.50	3310.38	USD	-12,897.00	
07JUL17	S 2 PD06OCT17	2795.50	3310.38	USD	-25,744.00	
07JUL17	S 1 PD06OCT17	2798.50	3310.38	USD	-12,797.00	
07JUL17	S 1 PD06OCT17	2797.50	3310.38	USD	-12,822.00	
07JUL17	S 2 PD06OCT17	2799.50	3310.38	USD	-25,544.00	
07JUL17	B 1 PD06OCT17	2792.50	3310.38	USD	12,947.00	
07JUL17	B 1 PD06OCT17	2791.50	3310.38	USD	12,972.00	
07JUL17	B 2 PD06OCT17	2790.00	3310.38	USD	26,019.00	
07JUL17	B 1 PD06OCT17	2785.00	3310.38	USD	13,134.50	
07JUL17	S 1 PD06OCT17	2777.00	3310.38	USD	-13,334.50	
07JUL17	S 1 PD06OCT17	2784.00	3310.38	USD	-13,159.50	
07JUL17	S 1 PD06OCT17	2777.50	3310.38	USD	-13,322.00	
07JUL17	B 1 PD06OCT17	2776.00	3310.38	USD	13,359.50	
07JUL17	S 1 PD06OCT17	2783.00	3310.38	USD	-13,184.50	
07JUL17	S 1 PD06OCT17	2775.50	3310.38	USD	-13,372.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT								
07JUL17	S	2	PD06OCT17		2787.00	3310.38	USD	-26,169.00	
07JUL17	B	2	PD06OCT17		2783.00	3310.38	USD	26,369.00	
07JUL17	B	1	PD06OCT17		2778.50	3310.38	USD	13,297.00	
07JUL17	B	1	PD06OCT17		2782.50	3310.38	USD	13,197.00	
07JUL17	B	1	PD06OCT17		2780.00	3310.38	USD	13,259.50	
07JUL17	S	1	PD06OCT17		2789.00	3310.38	USD	-13,034.50	
07JUL17	B	1	PD06OCT17		2772.00	3310.38	USD	13,459.50	
07JUL17	B	2	PD06OCT17		2785.50	3310.38	USD	26,244.00	
13JUL17	B	5	PD06OCT17		2802.42	3310.38	USD	63,495.00	
	NET	**0**	**AVG BGHT 2786.56**	**SOLD 2782.46**				**-4,815.00**	**0.00**
10JUL17	B	1	PD10OCT17		2762.50	3307.25	USD	13,618.75	
10JUL17	B	1	PD10OCT17		2765.50	3307.25	USD	13,543.75	
10JUL17	B	1	PD10OCT17		2792.00	3307.25	USD	12,881.25	
10JUL17	B	1	PD10OCT17		2790.50	3307.25	USD	12,918.75	
10JUL17	B	1	PD10OCT17		2775.50	3307.25	USD	13,293.75	
10JUL17	S	1	PD10OCT17		2783.00	3307.25	USD	-13,106.25	
10JUL17	B	1	PD10OCT17		2777.00	3307.25	USD	13,256.25	
10JUL17	B	1	PD10OCT17		2766.50	3307.25	USD	13,518.75	
10JUL17	B	1	PD10OCT17		2758.50	3307.25	USD	13,718.75	
10JUL17	B	1	PD10OCT17		2754.00	3307.25	USD	13,831.25	
10JUL17	S	1	PD10OCT17		2766.00	3307.25	USD	-13,531.25	
10JUL17	S	2	PD10OCT17		2767.00	3307.25	USD	-27,012.50	
10JUL17	S	1	PD10OCT17		2765.50	3307.25	USD	-13,543.75	
10JUL17	S	1	PD10OCT17		2767.50	3307.25	USD	-13,493.75	
10JUL17	S	1	PD10OCT17		2783.50	3307.25	USD	-13,093.75	
10JUL17	S	2	PD10OCT17		2780.00	3307.25	USD	-26,362.50	
10JUL17	S	1	PD10OCT17		2781.50	3307.25	USD	-13,143.75	
10JUL17	B	1	PD10OCT17		2771.50	3307.25	USD	13,393.75	
10JUL17	B	1	PD10OCT17		2776.00	3307.25	USD	13,281.25	
10JUL17	B	1	PD10OCT17		2770.50	3307.25	USD	13,418.75	
10JUL17	B	1	PD10OCT17		2769.00	3307.25	USD	13,456.25	
10JUL17	B	1	PD10OCT17		2768.00	3307.25	USD	13,481.25	
10JUL17	B	1	PD10OCT17		2765.00	3307.25	USD	13,556.25	
10JUL17	B	1	PD10OCT17		2763.50	3307.25	USD	13,593.75	
10JUL17	B	2	PD10OCT17		2761.50	3307.25	USD	27,287.50	
10JUL17	B	1	PD10OCT17		2756.00	3307.25	USD	13,781.25	
10JUL17	B	1	PD10OCT17		2752.50	3307.25	USD	13,868.75	
10JUL17	B	1	PD10OCT17		2751.50	3307.25	USD	13,893.75	
10JUL17	S	1	PD10OCT17		2760.50	3307.25	USD	-13,668.75	
10JUL17	S	1	PD10OCT17		2764.50	3307.25	USD	-13,568.75	
10JUL17	S	2	PD10OCT17		2759.50	3307.25	USD	-27,387.50	
10JUL17	S	2	PD10OCT17		2758.50	3307.25	USD	-27,437.50	
10JUL17	S	1	PD10OCT17		2758.00	3307.25	USD	-13,731.25	
10JUL17	B	1	PD10OCT17		2755.50	3307.25	USD	13,793.75	
10JUL17	S	1	PD10OCT17		2775.50	3307.25	USD	-13,293.75	
10JUL17	S	1	PD10OCT17		2779.00	3307.25	USD	-13,206.25	
10JUL17	S	1	PD10OCT17		2780.50	3307.25	USD	-13,168.75	
10JUL17	B	1	PD10OCT17		2774.50	3307.25	USD	13,318.75	
10JUL17	S	1	PD10OCT17		2778.00	3307.25	USD	-13,231.25	
10JUL17	S	1	PD10OCT17		2777.00	3307.25	USD	-13,256.25	
10JUL17	S	1	PD10OCT17		2774.00	3307.25	USD	-13,331.25	
	NET	**0**	**AVG BGHT 2766.89**	**SOLD 2770.61**				**2,137.50**	**0.00**
11JUL17	S	1	PD11OCT17		2768.50	3304.13	USD	-13,390.75	
11JUL17	S	1	PD11OCT17		2770.50	3304.13	USD	-13,340.75	
11JUL17	S	2	PD11OCT17		2788.00	3304.13	USD	-25,806.50	
11JUL17	S	1	PD11OCT17		2789.50	3304.13	USD	-12,865.75	
11JUL17	S	1	PD11OCT17		2790.50	3304.13	USD	-12,840.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
11JUL17	S 1 PD11OCT17	2789.00	3304.13	USD	-12,878.25	
11JUL17	B 1 PD11OCT17	2775.00	3304.13	USD	13,228.25	
11JUL17	S 1 PD11OCT17	2795.50	3304.13	USD	-12,715.75	
11JUL17	S 1 PD11OCT17	2809.00	3304.13	USD	-12,378.25	
11JUL17	S 1 PD11OCT17	2810.50	3304.13	USD	-12,340.75	
11JUL17	S 1 PD11OCT17	2813.00	3304.13	USD	-12,278.25	
11JUL17	B 1 PD11OCT17	2822.50	3304.13	USD	12,040.75	
11JUL17	B 1 PD11OCT17	2820.50	3304.13	USD	12,090.75	
11JUL17	B 1 PD11OCT17	2817.50	3304.13	USD	12,165.75	
11JUL17	S 1 PD11OCT17	2817.00	3304.13	USD	-12,178.25	
11JUL17	B 1 PD11OCT17	2814.00	3304.13	USD	12,253.25	
11JUL17	B 1 PD11OCT17	2803.00	3304.13	USD	12,528.25	
11JUL17	B 4 PD11OCT17	2805.00	3304.13	USD	49,913.00	
11JUL17	S 1 PD11OCT17	2804.50	3304.13	USD	-12,490.75	
11JUL17	B 1 PD11OCT17	2821.50	3304.13	USD	12,065.75	
11JUL17	S 1 PD11OCT17	2817.50	3304.13	USD	-12,165.75	
11JUL17	B 1 PD11OCT17	2819.50	3304.13	USD	12,115.75	
13JUL17	B 2 PD11OCT17	2803.53	3304.13	USD	25,030.00	
	NET 0 AVG BGHT 2808.61 SOLD 2796.50				**-4,239.00**	**0.00**
12JUL17	B 1 PD12OCT17	2822.00	3301.00	USD	11,975.00	
12JUL17	B 1 PD12OCT17	2821.00	3301.00	USD	12,000.00	
12JUL17	B 1 PD12OCT17	2819.50	3301.00	USD	12,037.50	
12JUL17	B 1 PD12OCT17	2818.50	3301.00	USD	12,062.50	
12JUL17	B 1 PD12OCT17	2820.00	3301.00	USD	12,025.00	
12JUL17	B 1 PD12OCT17	2824.00	3301.00	USD	11,925.00	
12JUL17	S 1 PD12OCT17	2832.50	3301.00	USD	-11,712.50	
12JUL17	S 1 PD12OCT17	2832.00	3301.00	USD	-11,725.00	
12JUL17	S 1 PD12OCT17	2833.50	3301.00	USD	-11,687.50	
12JUL17	S 1 PD12OCT17	2834.50	3301.00	USD	-11,662.50	
12JUL17	S 1 PD12OCT17	2835.50	3301.00	USD	-11,637.50	
12JUL17	S 1 PD12OCT17	2837.50	3301.00	USD	-11,587.50	
12JUL17	S 1 PD12OCT17	2841.50	3301.00	USD	-11,487.50	
12JUL17	S 1 PD12OCT17	2843.00	3301.00	USD	-11,450.00	
12JUL17	S 1 PD12OCT17	2842.00	3301.00	USD	-11,475.00	
12JUL17	B 2 PD12OCT17	2834.00	3301.00	USD	23,350.00	
12JUL17	B 1 PD12OCT17	2834.50	3301.00	USD	11,662.50	
12JUL17	S 1 PD12OCT17	2838.00	3301.00	USD	-11,575.00	
12JUL17	S 1 PD12OCT17	2836.00	3301.00	USD	-11,625.00	
12JUL17	S 3 PD12OCT17	2838.50	3301.00	USD	-34,687.50	
12JUL17	S 1 PD12OCT17	2839.00	3301.00	USD	-11,550.00	
12JUL17	S 1 PD12OCT17	2844.50	3301.00	USD	-11,412.50	
12JUL17	S 2 PD12OCT17	2848.00	3301.00	USD	-22,650.00	
12JUL17	S 1 PD12OCT17	2850.00	3301.00	USD	-11,275.00	
12JUL17	S 1 PD12OCT17	2860.00	3301.00	USD	-11,025.00	
12JUL17	S 1 PD12OCT17	2867.00	3301.00	USD	-10,850.00	
12JUL17	B 1 PD12OCT17	2852.00	3301.00	USD	11,225.00	
12JUL17	B 1 PD12OCT17	2851.50	3301.00	USD	11,237.50	
12JUL17	B 1 PD12OCT17	2843.00	3301.00	USD	11,450.00	
12JUL17	S 1 PD12OCT17	2838.50	3301.00	USD	-11,562.50	
12JUL17	S 1 PD12OCT17	2871.00	3301.00	USD	-10,750.00	
12JUL17	B 1 PD12OCT17	2849.00	3301.00	USD	11,300.00	
12JUL17	B 2 PD12OCT17	2844.50	3301.00	USD	22,825.00	
12JUL17	B 1 PD12OCT17	2849.50	3301.00	USD	11,287.50	
12JUL17	B 2 PD12OCT17	2847.00	3301.00	USD	22,700.00	
12JUL17	B 1 PD12OCT17	2839.50	3301.00	USD	11,537.50	
12JUL17	B 1 PD12OCT17	2843.50	3301.00	USD	11,437.50	
12JUL17	B 5 PD12OCT17	2841.00	3301.00	USD	57,500.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
12JUL17	B 4 PD12OCT17	2840.00	3301.00	USD	46,100.00	
12JUL17	B 1 PD12OCT17	2837.00	3301.00	USD	11,600.00	
12JUL17	B 2 PD12OCT17	2832.50	3301.00	USD	23,425.00	
12JUL17	B 1 PD12OCT17	2837.50	3301.00	USD	11,587.50	
12JUL17	S 2 PD12OCT17	2837.00	3301.00	USD	-23,200.00	
12JUL17	S 1 PD12OCT17	2834.50	3301.00	USD	-11,662.50	
13JUL17	S 7 PD12OCT17	2803.55	3301.00	USD	-87,053.75	
	NET 0 AVG BGHT 2837.67 SOLD 2833.97				**-3,053.75**	**0.00**
13JUL17	S 1 PD13OCT17	2831.00	3297.88	USD	-11,672.00	
13JUL17	S 2 PD13OCT17	2826.00	3297.88	USD	-23,594.00	
13JUL17	S 2 PD13OCT17	2826.50	3297.88	USD	-23,569.00	
13JUL17	S 1 PD13OCT17	2834.00	3297.88	USD	-11,597.00	
13JUL17	S 1 PD13OCT17	2837.50	3297.88	USD	-11,509.50	
13JUL17	S 1 PD13OCT17	2839.00	3297.88	USD	-11,472.00	
13JUL17	S 1 PD13OCT17	2841.50	3297.88	USD	-11,409.50	
13JUL17	B 1 PD13OCT17	2841.50	3297.88	USD	11,409.50	
13JUL17	S 1 PD13OCT17	2843.00	3297.88	USD	-11,372.00	
13JUL17	B 1 PD13OCT17	2839.00	3297.88	USD	11,472.00	
13JUL17	S 3 PD13OCT17	2838.50	3297.88	USD	-34,453.50	
13JUL17	S 1 PD13OCT17	2843.50	3297.88	USD	-11,359.50	
13JUL17	S 1 PD13OCT17	2845.00	3297.88	USD	-11,322.00	
13JUL17	S 1 PD13OCT17	2846.50	3297.88	USD	-11,284.50	
13JUL17	S 2 PD13OCT17	2842.50	3297.88	USD	-22,769.00	
13JUL17	B 1 PD13OCT17	2840.00	3297.88	USD	11,447.00	
13JUL17	S 1 PD13OCT17	2839.50	3297.88	USD	-11,459.50	
13JUL17	S 2 PD13OCT17	2836.00	3297.88	USD	-23,094.00	
13JUL17	B 1 PD13OCT17	2836.00	3297.88	USD	11,547.00	
13JUL17	B 1 PD13OCT17	2831.00	3297.88	USD	11,672.00	
13JUL17	B 3 PD13OCT17	2828.50	3297.88	USD	35,203.50	
13JUL17	B 3 PD13OCT17	2826.00	3297.88	USD	35,391.00	
13JUL17	B 3 PD13OCT17	2827.00	3297.88	USD	35,316.00	
13JUL17	B 3 PD13OCT17	2829.00	3297.88	USD	35,166.00	
13JUL17	B 1 PD13OCT17	2825.00	3297.88	USD	11,822.00	
13JUL17	B 1 PD13OCT17	2817.50	3297.88	USD	12,009.50	
13JUL17	B 1 PD13OCT17	2804.00	3297.88	USD	12,347.00	
13JUL17	B 1 PD13OCT17	2803.00	3297.88	USD	12,372.00	
13JUL17	B 1 PD13OCT17	2802.50	3297.88	USD	12,384.50	
13JUL17	B 1 PD13OCT17	2800.00	3297.88	USD	12,447.00	
13JUL17	B 1 PD13OCT17	2800.50	3297.88	USD	12,434.50	
13JUL17	B 1 PD13OCT17	2799.00	3297.88	USD	12,472.00	
13JUL17	S 1 PD13OCT17	2799.50	3297.88	USD	-12,459.50	
13JUL17	S 1 PD13OCT17	2797.50	3297.88	USD	-12,509.50	
13JUL17	S 1 PD13OCT17	2799.50	3297.88	USD	-12,459.50	
14JUL17	S 1 PD13OCT17	2789.50	3297.88	USD	-12,709.50	
14JUL17	S 2 PD13OCT17	2785.00	3297.88	USD	-25,644.00	
14JUL17	B 1 PD13OCT17	2792.50	3297.88	USD	12,634.50	
14JUL17	B 1 PD13OCT17	2792.00	3297.88	USD	12,647.00	
14JUL17	S 2 PD13OCT17	2794.00	3297.88	USD	-25,194.00	
14JUL17	B 1 PD13OCT17	2785.50	3297.88	USD	12,809.50	
14JUL17	S 1 PD13OCT17	2790.00	3297.88	USD	-12,697.00	
14JUL17	S 1 PD13OCT17	2791.50	3297.88	USD	-12,659.50	
14JUL17	S 2 PD13OCT17	2790.50	3297.88	USD	-25,369.00	
14JUL17	S 1 PD13OCT17	2795.00	3297.88	USD	-12,572.00	
14JUL17	S 1 PD13OCT17	2797.00	3297.88	USD	-12,522.00	
14JUL17	S 1 PD13OCT17	2801.00	3297.88	USD	-12,422.00	
14JUL17	S 1 PD13OCT17	2800.00	3297.88	USD	-12,447.00	
14JUL17	S 1 PD13OCT17	2787.50	3297.88	USD	-12,759.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
14JUL17	B 2 PD13OCT17	2787.00	3297.88	USD	25,544.00	
14JUL17	B 5 PD13OCT17	2786.00	3297.88	USD	63,985.00	
14JUL17	B 1 PD13OCT17	2776.50	3297.88	USD	13,034.50	
14JUL17	B 1 PD13OCT17	2773.50	3297.88	USD	13,109.50	
14JUL17	B 1 PD13OCT17	2771.00	3297.88	USD	13,172.00	
14JUL17	B 1 PD13OCT17	2769.00	3297.88	USD	13,222.00	
14JUL17	B 1 PD13OCT17	2765.50	3297.88	USD	13,309.50	
14JUL17	B 1 PD13OCT17	2762.00	3297.88	USD	13,397.00	
14JUL17	B 1 PD13OCT17	2766.00	3297.88	USD	13,297.00	
14JUL17	S 1 PD13OCT17	2774.00	3297.88	USD	-13,097.00	
14JUL17	B 1 PD13OCT17	2767.00	3297.88	USD	13,272.00	
14JUL17	B 1 PD13OCT17	2765.00	3297.88	USD	13,322.00	
14JUL17	S 1 PD13OCT17	2761.50	3297.88	USD	-13,409.50	
14JUL17	B 1 PD13OCT17	2755.50	3297.88	USD	13,559.50	
14JUL17	S 2 PD13OCT17	2764.50	3297.88	USD	-26,669.00	
14JUL17	B 2 PD13OCT17	2785.50	3297.88	USD	25,619.00	
14JUL17	S 1 PD13OCT17	2790.00	3297.88	USD	-12,697.00	
14JUL17	S 1 PD13OCT17	2776.50	3297.88	USD	-13,034.50	
14JUL17	S 1 PD13OCT17	2778.50	3297.88	USD	-12,984.50	
14JUL17	S 2 PD13OCT17	2773.50	3297.88	USD	-26,219.00	
14JUL17	S 2 PD13OCT17	2772.50	3297.88	USD	-26,269.00	
14JUL17	S 1 PD13OCT17	2781.00	3297.88	USD	-12,922.00	
14JUL17	S 1 PD13OCT17	2781.50	3297.88	USD	-12,909.50	
14JUL17	S 2 PD13OCT17	2782.00	3297.88	USD	-25,794.00	
14JUL17	S 3 PD13OCT17	2782.50	3297.88	USD	-38,653.50	
14JUL17	S 3 PD13OCT17	2784.50	3297.88	USD	-38,503.50	
14JUL17	S 1 PD13OCT17	2784.00	3297.88	USD	-12,847.00	
14JUL17	S 1 PD13OCT17	2789.00	3297.88	USD	-12,722.00	
14JUL17	B 2 PD13OCT17	2788.50	3297.88	USD	25,469.00	
14JUL17	B 3 PD13OCT17	2787.50	3297.88	USD	38,278.50	
14JUL17	B 1 PD13OCT17	2790.50	3297.88	USD	12,684.50	
18JUL17	B 1 PD13OCT17	2793.05	3297.88	USD	12,620.75	
24JUL17	B 7 PD13OCT17	2788.29	3297.88	USD	89,178.25	
	NET 0 AVG BGHT 2798.81 SOLD 2802.74				**5,985.50**	**0.00**
17JUL17	S 1 PD17OCT17	2820.00	3291.63	USD	-11,790.75	
17JUL17	B 1 PD17OCT17	2813.50	3291.63	USD	11,953.25	
17JUL17	B 1 PD17OCT17	2815.50	3291.63	USD	11,903.25	
17JUL17	B 2 PD17OCT17	2817.50	3291.63	USD	23,706.50	
17JUL17	B 1 PD17OCT17	2814.50	3291.63	USD	11,928.25	
17JUL17	S 1 PD17OCT17	2826.00	3291.63	USD	-11,640.75	
17JUL17	S 1 PD17OCT17	2821.50	3291.63	USD	-11,753.25	
17JUL17	B 1 PD17OCT17	2822.50	3291.63	USD	11,728.25	
17JUL17	S 3 PD17OCT17	2829.50	3291.63	USD	-34,659.75	
17JUL17	S 1 PD17OCT17	2830.00	3291.63	USD	-11,540.75	
17JUL17	S 1 PD17OCT17	2830.50	3291.63	USD	-11,528.25	
17JUL17	S 1 PD17OCT17	2831.50	3291.63	USD	-11,503.25	
17JUL17	S 1 PD17OCT17	2837.00	3291.63	USD	-11,365.75	
17JUL17	B 1 PD17OCT17	2828.50	3291.63	USD	11,578.25	
17JUL17	B 2 PD17OCT17	2820.00	3291.63	USD	23,581.50	
17JUL17	B 1 PD17OCT17	2817.00	3291.63	USD	11,865.75	
17JUL17	B 2 PD17OCT17	2816.00	3291.63	USD	23,781.50	
17JUL17	B 2 PD17OCT17	2813.00	3291.63	USD	23,931.50	
17JUL17	S 2 PD17OCT17	2834.00	3291.63	USD	-22,881.50	
17JUL17	S 2 PD17OCT17	2834.50	3291.63	USD	-22,856.50	
17JUL17	S 1 PD17OCT17	2839.50	3291.63	USD	-11,303.25	
17JUL17	S 2 PD17OCT17	2844.50	3291.63	USD	-22,356.50	
17JUL17	S 1 PD17OCT17	2842.50	3291.63	USD	-11,228.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
17JUL17	S	1	PD17OCT17	2844.00	3291.63	USD	-11,190.75	
17JUL17	S	3	PD17OCT17	2846.00	3291.63	USD	-33,422.25	
17JUL17	B	1	PD17OCT17	2827.50	3291.63	USD	11,603.25	
17JUL17	B	1	PD17OCT17	2815.50	3291.63	USD	11,903.25	
17JUL17	S	1	PD17OCT17	2846.00	3291.63	USD	-11,140.75	
17JUL17	B	1	PD17OCT17	2812.50	3291.63	USD	11,978.25	
17JUL17	B	2	PD17OCT17	2819.00	3291.63	USD	23,631.50	
17JUL17	B	5	PD17OCT17	2820.50	3291.63	USD	58,891.25	
18JUL17	S	1	PD17OCT17	2793.61	3291.63	USD	-12,450.50	
	NET	**0**	**AVG BGHT 2818.35**	**SOLD 2833.94**			**9,352.75**	**0.00**
18JUL17	B	1	PD18OCT17	2821.00	3288.50	USD	11,687.50	
18JUL17	B	2	PD18OCT17	2822.00	3288.50	USD	23,325.00	
18JUL17	B	3	PD18OCT17	2800.00	3288.50	USD	36,637.50	
18JUL17	B	1	PD18OCT17	2788.50	3288.50	USD	12,500.00	
18JUL17	B	1	PD18OCT17	2794.00	3288.50	USD	12,362.50	
18JUL17	B	1	PD18OCT17	2789.00	3288.50	USD	12,487.50	
18JUL17	B	1	PD18OCT17	2786.50	3288.50	USD	12,550.00	
18JUL17	B	1	PD18OCT17	2778.00	3288.50	USD	12,762.50	
18JUL17	B	1	PD18OCT17	2777.00	3288.50	USD	12,787.50	
18JUL17	B	1	PD18OCT17	2810.00	3288.50	USD	11,962.50	
18JUL17	B	1	PD18OCT17	2793.00	3288.50	USD	12,387.50	
18JUL17	S	1	PD18OCT17	2782.50	3288.50	USD	-12,650.00	
18JUL17	S	1	PD18OCT17	2781.50	3288.50	USD	-12,675.00	
18JUL17	S	1	PD18OCT17	2783.00	3288.50	USD	-12,637.50	
18JUL17	S	1	PD18OCT17	2784.00	3288.50	USD	-12,612.50	
18JUL17	S	1	PD18OCT17	2781.50	3288.50	USD	-12,675.00	
18JUL17	S	1	PD18OCT17	2786.00	3288.50	USD	-12,562.50	
18JUL17	S	3	PD18OCT17	2788.50	3288.50	USD	-37,500.00	
18JUL17	S	1	PD18OCT17	2790.00	3288.50	USD	-12,462.50	
18JUL17	S	1	PD18OCT17	2795.00	3288.50	USD	-12,337.50	
18JUL17	S	2	PD18OCT17	2792.50	3288.50	USD	-24,800.00	
18JUL17	S	1	PD18OCT17	2796.00	3288.50	USD	-12,312.50	
18JUL17	S	1	PD18OCT17	2797.00	3288.50	USD	-12,287.50	
18JUL17	S	2	PD18OCT17	2795.50	3288.50	USD	-24,650.00	
18JUL17	S	2	PD18OCT17	2793.50	3288.50	USD	-24,750.00	
18JUL17	S	2	PD18OCT17	2794.00	3288.50	USD	-24,725.00	
18JUL17	S	2	PD18OCT17	2794.50	3288.50	USD	-24,700.00	
18JUL17	S	1	PD18OCT17	2793.00	3288.50	USD	-12,387.50	
24JUL17	B	10	PD18OCT17	2789.00	3288.50	USD	124,875.00	
	NET	**0**	**AVG BGHT 2794.63**	**SOLD 2790.63**			**-2,400.00**	**0.00**
19JUL17	S	1	PD19OCT17	2797.00	3285.50	USD	-12,212.50	
19JUL17	B	1	PD19OCT17	2778.50	3285.50	USD	12,675.00	
19JUL17	B	1	PD19OCT17	2782.00	3285.50	USD	12,587.50	
19JUL17	B	1	PD19OCT17	2780.50	3285.50	USD	12,625.00	
19JUL17	B	1	PD19OCT17	2779.00	3285.50	USD	12,662.50	
19JUL17	B	1	PD19OCT17	2773.00	3285.50	USD	12,812.50	
19JUL17	B	2	PD19OCT17	2772.00	3285.50	USD	25,675.00	
19JUL17	B	1	PD19OCT17	2770.50	3285.50	USD	12,875.00	
19JUL17	B	1	PD19OCT17	2775.00	3285.50	USD	12,762.50	
19JUL17	B	1	PD19OCT17	2768.50	3285.50	USD	12,925.00	
19JUL17	B	1	PD19OCT17	2765.00	3285.50	USD	13,012.50	
19JUL17	B	2	PD19OCT17	2764.50	3285.50	USD	26,050.00	
19JUL17	B	1	PD19OCT17	2767.50	3285.50	USD	12,950.00	
19JUL17	B	1	PD19OCT17	2760.50	3285.50	USD	13,125.00	
19JUL17	B	1	PD19OCT17	2755.00	3285.50	USD	13,262.50	
19JUL17	B	1	PD19OCT17	2751.00	3285.50	USD	13,362.50	
19JUL17	B	1	PD19OCT17	2753.00	3285.50	USD	13,312.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
19JUL17	S 1 PD19OCT17	2764.00	3285.50	USD	-13,037.50	
19JUL17	B 1 PD19OCT17	2756.00	3285.50	USD	13,237.50	
19JUL17	B 1 PD19OCT17	2746.00	3285.50	USD	13,487.50	
19JUL17	B 1 PD19OCT17	2766.00	3285.50	USD	12,987.50	
24JUL17	S 17 PD19OCT17	2787.30	3285.50	USD	-211,735.00	
25JUL17	S 2 PD19OCT17	2823.00	3285.50	USD	-23,125.00	
	NET 0 AVG BGHT 2766.67 SOLD 2790.05				**12,277.50**	**0.00**
20JUL17	S 1 PD20OCT17	2736.50	3282.50	USD	-13,650.00	
20JUL17	S 1 PD20OCT17	2739.50	3282.50	USD	-13,575.00	
20JUL17	S 1 PD20OCT17	2741.00	3282.50	USD	-13,537.50	
20JUL17	S 1 PD20OCT17	2742.50	3282.50	USD	-13,500.00	
20JUL17	S 1 PD20OCT17	2742.00	3282.50	USD	-13,512.50	
20JUL17	S 1 PD20OCT17	2743.50	3282.50	USD	-13,475.00	
20JUL17	S 1 PD20OCT17	2744.50	3282.50	USD	-13,450.00	
20JUL17	S 2 PD20OCT17	2756.00	3282.50	USD	-26,325.00	
20JUL17	S 2 PD20OCT17	2754.50	3282.50	USD	-26,400.00	
20JUL17	S 1 PD20OCT17	2755.50	3282.50	USD	-13,175.00	
20JUL17	S 3 PD20OCT17	2758.50	3282.50	USD	-39,300.00	
20JUL17	S 3 PD20OCT17	2757.00	3282.50	USD	-39,412.50	
20JUL17	B 1 PD20OCT17	2743.00	3282.50	USD	13,487.50	
20JUL17	B 1 PD20OCT17	2741.50	3282.50	USD	13,525.00	
20JUL17	S 1 PD20OCT17	2741.00	3282.50	USD	-13,537.50	
20JUL17	S 1 PD20OCT17	2745.00	3282.50	USD	-13,437.50	
20JUL17	B 1 PD20OCT17	2740.50	3282.50	USD	13,550.00	
20JUL17	B 3 PD20OCT17	2741.00	3282.50	USD	40,612.50	
20JUL17	B 1 PD20OCT17	2739.00	3282.50	USD	13,587.50	
20JUL17	B 1 PD20OCT17	2742.50	3282.50	USD	13,500.00	
20JUL17	B 1 PD20OCT17	2746.50	3282.50	USD	13,400.00	
20JUL17	B 3 PD20OCT17	2746.00	3282.50	USD	40,237.50	
20JUL17	B 6 PD20OCT17	2744.00	3282.50	USD	80,775.00	
20JUL17	S 1 PD20OCT17	2747.50	3282.50	USD	-13,375.00	
20JUL17	B 2 PD20OCT17	2728.00	3282.50	USD	27,725.00	
20JUL17	B 1 PD20OCT17	2727.00	3282.50	USD	13,887.50	
20JUL17	B 1 PD20OCT17	2729.50	3282.50	USD	13,825.00	
20JUL17	B 1 PD20OCT17	2728.50	3282.50	USD	13,850.00	
20JUL17	B 2 PD20OCT17	2727.50	3282.50	USD	27,750.00	
20JUL17	B 2 PD20OCT17	2726.50	3282.50	USD	27,800.00	
20JUL17	B 2 PD20OCT17	2726.00	3282.50	USD	27,825.00	
21JUL17	S 1 PD20OCT17	2749.50	3282.50	USD	-13,325.00	
21JUL17	S 2 PD20OCT17	2749.00	3282.50	USD	-26,675.00	
21JUL17	S 1 PD20OCT17	2751.00	3282.50	USD	-13,287.50	
21JUL17	B 1 PD20OCT17	2744.50	3282.50	USD	13,450.00	
21JUL17	B 1 PD20OCT17	2744.00	3282.50	USD	13,462.50	
21JUL17	S 1 PD20OCT17	2752.50	3282.50	USD	-13,250.00	
21JUL17	S 1 PD20OCT17	2758.00	3282.50	USD	-13,112.50	
21JUL17	S 1 PD20OCT17	2762.50	3282.50	USD	-13,000.00	
21JUL17	S 1 PD20OCT17	2763.50	3282.50	USD	-12,975.00	
21JUL17	S 1 PD20OCT17	2764.00	3282.50	USD	-12,962.50	
21JUL17	S 1 PD20OCT17	2766.50	3282.50	USD	-12,900.00	
21JUL17	B 1 PD20OCT17	2762.00	3282.50	USD	13,012.50	
21JUL17	B 1 PD20OCT17	2757.50	3282.50	USD	13,125.00	
21JUL17	B 2 PD20OCT17	2754.50	3282.50	USD	26,400.00	
21JUL17	S 1 PD20OCT17	2766.00	3282.50	USD	-12,912.50	
21JUL17	S 1 PD20OCT17	2769.50	3282.50	USD	-12,825.00	
21JUL17	S 1 PD20OCT17	2770.50	3282.50	USD	-12,800.00	
21JUL17	S 1 PD20OCT17	2772.00	3282.50	USD	-12,762.50	
21JUL17	S 1 PD20OCT17	2772.50	3282.50	USD	-12,750.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
21JUL17	B 1 PD20OCT17	2761.50	3282.50	USD	13,025.00	
21JUL17	S 1 PD20OCT17	2765.50	3282.50	USD	-12,925.00	
21JUL17	B 1 PD20OCT17	2759.00	3282.50	USD	13,087.50	
21JUL17	B 1 PD20OCT17	2755.00	3282.50	USD	13,187.50	
21JUL17	B 1 PD20OCT17	2757.00	3282.50	USD	13,137.50	
21JUL17	S 1 PD20OCT17	2772.00	3282.50	USD	-12,762.50	
21JUL17	B 1 PD20OCT17	2750.50	3282.50	USD	13,300.00	
21JUL17	B 1 PD20OCT17	2748.00	3282.50	USD	13,362.50	
25JUL17	S 1 PD20OCT17	2823.00	3282.50	USD	-11,487.50	
01AUG17	S 2 PD20OCT17	2776.25	3282.50	USD	-25,312.50	
	NET 0 AVG BGHT 2742.12 SOLD 2757.93				**16,200.00**	**0.00**
24JUL17	B 1 PD24OCT17	2784.00	3280.50	USD	12,412.50	
24JUL17	B 1 PD24OCT17	2776.50	3280.50	USD	12,600.00	
24JUL17	B 1 PD24OCT17	2778.50	3280.50	USD	12,550.00	
24JUL17	B 1 PD24OCT17	2777.00	3280.50	USD	12,587.50	
24JUL17	S 1 PD24OCT17	2782.50	3280.50	USD	-12,450.00	
24JUL17	S 1 PD24OCT17	2784.50	3280.50	USD	-12,400.00	
24JUL17	S 1 PD24OCT17	2785.00	3280.50	USD	-12,387.50	
24JUL17	B 1 PD24OCT17	2790.00	3280.50	USD	12,262.50	
24JUL17	B 1 PD24OCT17	2787.00	3280.50	USD	12,337.50	
24JUL17	B 1 PD24OCT17	2783.50	3280.50	USD	12,425.00	
24JUL17	B 1 PD24OCT17	2782.00	3280.50	USD	12,462.50	
24JUL17	B 1 PD24OCT17	2788.50	3280.50	USD	12,300.00	
24JUL17	B 2 PD24OCT17	2788.00	3280.50	USD	24,625.00	
24JUL17	S 1 PD24OCT17	2778.00	3280.50	USD	-12,562.50	
24JUL17	S 1 PD24OCT17	2774.00	3280.50	USD	-12,662.50	
24JUL17	S 1 PD24OCT17	2775.00	3280.50	USD	-12,637.50	
24JUL17	S 2 PD24OCT17	2778.50	3280.50	USD	-25,100.00	
24JUL17	S 1 PD24OCT17	2779.00	3280.50	USD	-12,537.50	
24JUL17	S 2 PD24OCT17	2781.50	3280.50	USD	-24,950.00	
24JUL17	S 1 PD24OCT17	2781.00	3280.50	USD	-12,487.50	
24JUL17	S 2 PD24OCT17	2787.00	3280.50	USD	-24,675.00	
25JUL17	B 2 PD24OCT17	2824.00	3280.50	USD	22,825.00	
25JUL17	B 1 PD24OCT17	2823.90	3280.50	USD	11,415.00	
	NET 0 AVG BGHT 2792.49 SOLD 2780.93				**-4,047.50**	**0.00**
25JUL17	S 2 PD25OCT17	2813.00	3279.50	USD	-23,325.00	
25JUL17	S 1 PD25OCT17	2816.00	3279.50	USD	-11,587.50	
25JUL17	S 1 PD25OCT17	2816.50	3279.50	USD	-11,575.00	
25JUL17	S 2 PD25OCT17	2821.00	3279.50	USD	-22,925.00	
25JUL17	S 1 PD25OCT17	2822.50	3279.50	USD	-11,425.00	
25JUL17	S 1 PD25OCT17	2821.50	3279.50	USD	-11,450.00	
25JUL17	B 1 PD25OCT17	2830.00	3279.50	USD	11,237.50	
25JUL17	B 2 PD25OCT17	2828.00	3279.50	USD	22,575.00	
25JUL17	B 1 PD25OCT17	2827.00	3279.50	USD	11,312.50	
25JUL17	B 2 PD25OCT17	2826.50	3279.50	USD	22,650.00	
25JUL17	B 1 PD25OCT17	2817.50	3279.50	USD	11,550.00	
25JUL17	B 1 PD25OCT17	2847.00	3279.50	USD	10,812.50	
25JUL17	B 1 PD25OCT17	2844.00	3279.50	USD	10,887.50	
25JUL17	B 1 PD25OCT17	2843.00	3279.50	USD	10,912.50	
25JUL17	B 1 PD25OCT17	2845.50	3279.50	USD	10,850.00	
01AUG17	S 3 PD25OCT17	2778.03	3279.50	USD	-37,610.25	
	NET 0 AVG BGHT 2833.00 SOLD 2807.14				**-7,110.25**	**0.00**
26JUL17	B 1 PD26OCT17	2847.00	3278.50	USD	10,787.50	
26JUL17	B 3 PD26OCT17	2851.00	3278.50	USD	32,062.50	
26JUL17	B 1 PD26OCT17	2853.00	3278.50	USD	10,637.50	
26JUL17	B 5 PD26OCT17	2852.00	3278.50	USD	53,312.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
26JUL17	B 1 PD26OCT17	2850.50	3278.50	USD	10,700.00	
26JUL17	B 1 PD26OCT17	2846.00	3278.50	USD	10,812.50	
26JUL17	B 1 PD26OCT17	2829.00	3278.50	USD	11,237.50	
26JUL17	S 1 PD26OCT17	2828.50	3278.50	USD	-11,250.00	
26JUL17	S 1 PD26OCT17	2829.50	3278.50	USD	-11,225.00	
26JUL17	S 1 PD26OCT17	2833.50	3278.50	USD	-11,125.00	
26JUL17	S 1 PD26OCT17	2831.50	3278.50	USD	-11,175.00	
26JUL17	S 2 PD26OCT17	2824.50	3278.50	USD	-22,700.00	
26JUL17	S 3 PD26OCT17	2825.00	3278.50	USD	-34,012.50	
26JUL17	S 2 PD26OCT17	2824.00	3278.50	USD	-22,725.00	
26JUL17	B 1 PD26OCT17	2819.50	3278.50	USD	11,475.00	
26JUL17	S 1 PD26OCT17	2818.00	3278.50	USD	-11,512.50	
26JUL17	S 1 PD26OCT17	2827.00	3278.50	USD	-11,287.50	
26JUL17	S 2 PD26OCT17	2822.00	3278.50	USD	-22,825.00	
26JUL17	S 2 PD26OCT17	2823.00	3278.50	USD	-22,775.00	
26JUL17	S 3 PD26OCT17	2825.50	3278.50	USD	-33,975.00	
26JUL17	S 2 PD26OCT17	2826.50	3278.50	USD	-22,600.00	
26JUL17	S 1 PD26OCT17	2820.00	3278.50	USD	-11,462.50	
26JUL17	S 1 PD26OCT17	2817.50	3278.50	USD	-11,525.00	
26JUL17	B 1 PD26OCT17	2801.50	3278.50	USD	11,925.00	
26JUL17	S 1 PD26OCT17	2801.50	3278.50	USD	-11,925.00	
26JUL17	S 1 PD26OCT17	2807.50	3278.50	USD	-11,775.00	
01AUG17	B 5 PD26OCT17	2778.51	3278.50	USD	62,498.75	
01AUG17	B 6 PD26OCT17	2778.31	3278.50	USD	75,028.50	
	NET 0 AVG BGHT 2816.23 SOLD 2823.31				**4,602.25**	**0.00**
27JUL17	S 2 PD27OCT17	2825.50	3277.50	USD	-22,600.00	
27JUL17	B 1 PD27OCT17	2819.50	3277.50	USD	11,450.00	
27JUL17	B 1 PD27OCT17	2817.50	3277.50	USD	11,500.00	
27JUL17	B 1 PD27OCT17	2816.00	3277.50	USD	11,537.50	
27JUL17	B 1 PD27OCT17	2813.50	3277.50	USD	11,600.00	
27JUL17	B 1 PD27OCT17	2814.50	3277.50	USD	11,575.00	
27JUL17	B 1 PD27OCT17	2813.00	3277.50	USD	11,612.50	
27JUL17	B 1 PD27OCT17	2814.00	3277.50	USD	11,587.50	
27JUL17	S 1 PD27OCT17	2822.00	3277.50	USD	-11,387.50	
27JUL17	B 2 PD27OCT17	2818.50	3277.50	USD	22,950.00	
27JUL17	S 1 PD27OCT17	2797.50	3277.50	USD	-12,000.00	
27JUL17	S 3 PD27OCT17	2799.00	3277.50	USD	-35,887.50	
27JUL17	S 2 PD27OCT17	2798.50	3277.50	USD	-23,950.00	
28JUL17	S 1 PD27OCT17	2786.50	3277.50	USD	-12,275.00	
28JUL17	S 2 PD27OCT17	2787.50	3277.50	USD	-24,500.00	
28JUL17	S 1 PD27OCT17	2791.00	3277.50	USD	-12,162.50	
28JUL17	S 1 PD27OCT17	2783.00	3277.50	USD	-12,362.50	
28JUL17	B 1 PD27OCT17	2787.00	3277.50	USD	12,262.50	
28JUL17	B 1 PD27OCT17	2770.50	3277.50	USD	12,675.00	
28JUL17	B 1 PD27OCT17	2768.50	3277.50	USD	12,725.00	
28JUL17	B 1 PD27OCT17	2766.50	3277.50	USD	12,775.00	
28JUL17	B 1 PD27OCT17	2763.50	3277.50	USD	12,850.00	
28JUL17	B 1 PD27OCT17	2762.50	3277.50	USD	12,875.00	
28JUL17	B 1 PD27OCT17	2761.50	3277.50	USD	12,900.00	
28JUL17	B 1 PD27OCT17	2764.00	3277.50	USD	12,837.50	
28JUL17	B 1 PD27OCT17	2760.00	3277.50	USD	12,937.50	
28JUL17	B 3 PD27OCT17	2785.00	3277.50	USD	36,937.50	
01AUG17	S 6 PD27OCT17	2778.39	3277.50	USD	-74,866.50	
01AUG17	S 1 PD27OCT17	2778.59	3277.50	USD	-12,472.75	
	NET 0 AVG BGHT 2790.67 SOLD 2792.81				**1,123.25**	**0.00**
31JUL17	S 1 PD31OCT17	2803.50	3275.50	USD	-11,800.00	
01AUG17	B 1 PD31OCT17	2779.92	3275.50	USD	12,389.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
	NET	**0**	**AVG BGHT 2779.92 SOLD 2803.50**				**589.50**	**0.00**
01AUG17	S	1	PD01NOV17	2784.50	3274.50	USD	-12,250.00	
01AUG17	S	1	PD01NOV17	2780.00	3274.50	USD	-12,362.50	
01AUG17	S	1	PD01NOV17	2782.00	3274.50	USD	-12,312.50	
01AUG17	B	1	PD01NOV17	2771.00	3274.50	USD	12,587.50	
01AUG17	B	1	PD01NOV17	2773.50	3274.50	USD	12,525.00	
01AUG17	B	1	PD01NOV17	2776.50	3274.50	USD	12,450.00	
01AUG17	B	1	PD01NOV17	2774.00	3274.50	USD	12,512.50	
01AUG17	S	2	PD01NOV17	2778.00	3274.50	USD	-24,825.00	
01AUG17	S	1	PD01NOV17	2778.50	3274.50	USD	-12,400.00	
01AUG17	B	1	PD01NOV17	2775.00	3274.50	USD	12,487.50	
01AUG17	S	2	PD01NOV17	2783.50	3274.50	USD	-24,550.00	
01AUG17	B	2	PD01NOV17	2772.00	3274.50	USD	25,125.00	
01AUG17	S	1	PD01NOV17	2774.00	3274.50	USD	-12,512.50	
01AUG17	S	2	PD01NOV17	2774.50	3274.50	USD	-25,000.00	
01AUG17	S	2	PD01NOV17	2779.00	3274.50	USD	-24,775.00	
01AUG17	B	1	PD01NOV17	2779.50	3274.50	USD	12,375.00	
07AUG17	B	1	PD01NOV17	2856.71	3274.50	USD	10,444.75	
10AUG17	B	4	PD01NOV17	2936.39	3274.50	USD	33,811.00	
	NET	**0**	**AVG BGHT 2830.44 SOLD 2779.15**				**-16,669.25**	**0.00**
02AUG17	S	1	PD02NOV17	2770.50	3273.50	USD	-12,575.00	
02AUG17	B	1	PD02NOV17	2771.00	3273.50	USD	12,562.50	
02AUG17	B	1	PD02NOV17	2769.00	3273.50	USD	12,612.50	
02AUG17	B	1	PD02NOV17	2770.00	3273.50	USD	12,587.50	
02AUG17	B	1	PD02NOV17	2771.50	3273.50	USD	12,550.00	
02AUG17	B	1	PD02NOV17	2774.50	3273.50	USD	12,475.00	
02AUG17	B	2	PD02NOV17	2773.00	3273.50	USD	25,025.00	
02AUG17	B	1	PD02NOV17	2766.50	3273.50	USD	12,675.00	
02AUG17	B	1	PD02NOV17	2764.00	3273.50	USD	12,737.50	
02AUG17	B	1	PD02NOV17	2766.00	3273.50	USD	12,687.50	
02AUG17	B	1	PD02NOV17	2765.00	3273.50	USD	12,712.50	
02AUG17	S	2	PD02NOV17	2772.00	3273.50	USD	-25,075.00	
02AUG17	S	2	PD02NOV17	2775.00	3273.50	USD	-24,925.00	
02AUG17	S	1	PD02NOV17	2776.00	3273.50	USD	-12,437.50	
02AUG17	S	1	PD02NOV17	2783.00	3273.50	USD	-12,262.50	
02AUG17	S	1	PD02NOV17	2781.00	3273.50	USD	-12,312.50	
02AUG17	S	2	PD02NOV17	2781.50	3273.50	USD	-24,600.00	
02AUG17	S	1	PD02NOV17	2786.50	3273.50	USD	-12,175.00	
02AUG17	S	1	PD02NOV17	2791.50	3273.50	USD	-12,050.00	
02AUG17	S	1	PD02NOV17	2796.00	3273.50	USD	-11,937.50	
02AUG17	S	1	PD02NOV17	2800.50	3273.50	USD	-11,825.00	
02AUG17	S	1	PD02NOV17	2803.50	3273.50	USD	-11,750.00	
02AUG17	S	1	PD02NOV17	2802.00	3273.50	USD	-11,787.50	
10AUG17	B	5	PD02NOV17	2936.57	3273.50	USD	42,116.25	
20SEP17	S	10	PD02NOV17	3145.50	3273.50	USD	-32,000.00	
20SEP17	S	5	PD02NOV17	3145.75	3273.50	USD	-15,968.75	
20SEP17	S	3	PD02NOV17	3132.25	3273.50	USD	-10,593.75	
03OCT17	S	5	PD02NOV17	3242.50	3273.50	USD	-3,875.00	
03OCT17	S	5	PD02NOV17	3242.25	3273.50	USD	-3,906.25	
03OCT17	S	10	PD02NOV17	3242.75	3273.50	USD	-7,687.50	
	NET	**-38**	**AVG BGHT 2821.65 SOLD 3073.69**				**-89,002.50**	**0.00**
03AUG17	B	1	PD03NOV17	2823.00	3272.00	USD	11,225.00	
03AUG17	S	1	PD03NOV17	2822.00	3272.00	USD	-11,250.00	
03AUG17	S	1	PD03NOV17	2822.50	3272.00	USD	-11,237.50	
03AUG17	S	1	PD03NOV17	2823.50	3272.00	USD	-11,212.50	
03AUG17	B	2	PD03NOV17	2818.50	3272.00	USD	22,675.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
03AUG17	B 1 PD03NOV17	2816.00	3272.00	USD	11,400.00	
03AUG17	B 3 PD03NOV17	2812.00	3272.00	USD	34,500.00	
03AUG17	B 1 PD03NOV17	2811.00	3272.00	USD	11,525.00	
03AUG17	B 2 PD03NOV17	2813.50	3272.00	USD	22,925.00	
03AUG17	B 2 PD03NOV17	2811.50	3272.00	USD	23,025.00	
03AUG17	S 2 PD03NOV17	2818.00	3272.00	USD	-22,700.00	
03AUG17	B 1 PD03NOV17	2815.00	3272.00	USD	11,425.00	
03AUG17	B 2 PD03NOV17	2816.50	3272.00	USD	22,775.00	
03AUG17	B 2 PD03NOV17	2814.00	3272.00	USD	22,900.00	
03AUG17	B 1 PD03NOV17	2790.50	3272.00	USD	12,037.50	
03AUG17	B 1 PD03NOV17	2800.00	3272.00	USD	11,800.00	
03AUG17	B 1 PD03NOV17	2801.50	3272.00	USD	11,762.50	
03AUG17	B 2 PD03NOV17	2801.00	3272.00	USD	23,550.00	
03AUG17	B 1 PD03NOV17	2800.50	3272.00	USD	11,787.50	
03AUG17	B 1 PD03NOV17	2802.00	3272.00	USD	11,750.00	
03AUG17	B 1 PD03NOV17	2799.50	3272.00	USD	11,812.50	
03AUG17	B 1 PD03NOV17	2798.50	3272.00	USD	11,837.50	
03AUG17	B 2 PD03NOV17	2798.00	3272.00	USD	23,700.00	
03AUG17	B 1 PD03NOV17	2795.50	3272.00	USD	11,912.50	
03AUG17	B 1 PD03NOV17	2791.50	3272.00	USD	12,012.50	
03AUG17	B 2 PD03NOV17	2793.00	3272.00	USD	23,950.00	
03AUG17	S 1 PD03NOV17	2793.00	3272.00	USD	-11,975.00	
03AUG17	S 1 PD03NOV17	2792.50	3272.00	USD	-11,987.50	
03AUG17	S 1 PD03NOV17	2792.00	3272.00	USD	-12,000.00	
03AUG17	S 1 PD03NOV17	2794.00	3272.00	USD	-11,950.00	
03AUG17	B 1 PD03NOV17	2797.00	3272.00	USD	11,875.00	
03AUG17	S 1 PD03NOV17	2792.00	3272.00	USD	-12,000.00	
03AUG17	S 4 PD03NOV17	2793.00	3272.00	USD	-47,900.00	
03AUG17	S 1 PD03NOV17	2795.00	3272.00	USD	-11,925.00	
04AUG17	S 1 PD03NOV17	2806.50	3272.00	USD	-11,637.50	
04AUG17	S 1 PD03NOV17	2808.00	3272.00	USD	-11,600.00	
04AUG17	S 2 PD03NOV17	2809.50	3272.00	USD	-23,125.00	
04AUG17	S 1 PD03NOV17	2812.00	3272.00	USD	-11,500.00	
04AUG17	S 1 PD03NOV17	2816.00	3272.00	USD	-11,400.00	
04AUG17	S 2 PD03NOV17	2815.00	3272.00	USD	-22,850.00	
04AUG17	S 2 PD03NOV17	2819.00	3272.00	USD	-22,650.00	
04AUG17	S 1 PD03NOV17	2823.00	3272.00	USD	-11,225.00	
04AUG17	S 1 PD03NOV17	2826.50	3272.00	USD	-11,137.50	
04AUG17	S 2 PD03NOV17	2827.50	3272.00	USD	-22,225.00	
04AUG17	S 5 PD03NOV17	2828.00	3272.00	USD	-55,500.00	
04AUG17	S 1 PD03NOV17	2829.50	3272.00	USD	-11,062.50	
04AUG17	S 1 PD03NOV17	2830.50	3272.00	USD	-11,037.50	
04AUG17	S 1 PD03NOV17	2828.50	3272.00	USD	-11,087.50	
04AUG17	S 1 PD03NOV17	2831.50	3272.00	USD	-11,012.50	
04AUG17	S 2 PD03NOV17	2833.50	3272.00	USD	-21,925.00	
04AUG17	S 2 PD03NOV17	2834.50	3272.00	USD	-21,875.00	
04AUG17	B 1 PD03NOV17	2828.50	3272.00	USD	11,087.50	
04AUG17	B 1 PD03NOV17	2827.00	3272.00	USD	11,125.00	
04AUG17	B 1 PD03NOV17	2826.00	3272.00	USD	11,150.00	
04AUG17	B 1 PD03NOV17	2825.00	3272.00	USD	11,175.00	
04AUG17	B 1 PD03NOV17	2824.00	3272.00	USD	11,200.00	
04AUG17	B 1 PD03NOV17	2822.00	3272.00	USD	11,250.00	
04AUG17	B 2 PD03NOV17	2821.50	3272.00	USD	22,525.00	
04AUG17	B 1 PD03NOV17	2820.50	3272.00	USD	11,287.50	
04AUG17	B 2 PD03NOV17	2818.00	3272.00	USD	22,700.00	
04AUG17	S 2 PD03NOV17	2831.00	3272.00	USD	-22,050.00	
04AUG17	S 2 PD03NOV17	2825.00	3272.00	USD	-22,350.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
04AUG17	B 1 PD03NOV17	2820.00	3272.00	USD	11,300.00	
04AUG17	B 1 PD03NOV17	2818.50	3272.00	USD	11,337.50	
04AUG17	B 1 PD03NOV17	2817.00	3272.00	USD	11,375.00	
04AUG17	S 1 PD03NOV17	2820.50	3272.00	USD	-11,287.50	
04AUG17	S 1 PD03NOV17	2831.00	3272.00	USD	-11,025.00	
04AUG17	B 1 PD03NOV17	2825.00	3272.00	USD	11,175.00	
04AUG17	B 1 PD03NOV17	2815.50	3272.00	USD	11,412.50	
04AUG17	B 1 PD03NOV17	2813.00	3272.00	USD	11,475.00	
04AUG17	B 2 PD03NOV17	2812.00	3272.00	USD	23,000.00	
04AUG17	B 5 PD03NOV17	2811.50	3272.00	USD	57,562.50	
04AUG17	B 2 PD03NOV17	2810.50	3272.00	USD	23,075.00	
04AUG17	B 1 PD03NOV17	2805.00	3272.00	USD	11,675.00	
04AUG17	B 1 PD03NOV17	2803.00	3272.00	USD	11,725.00	
04AUG17	S 1 PD03NOV17	2803.50	3272.00	USD	-11,712.50	
04AUG17	S 1 PD03NOV17	2810.00	3272.00	USD	-11,550.00	
04AUG17	S 1 PD03NOV17	2819.00	3272.00	USD	-11,325.00	
04AUG17	S 1 PD03NOV17	2813.00	3272.00	USD	-11,475.00	
04AUG17	S 2 PD03NOV17	2813.50	3272.00	USD	-22,925.00	
04AUG17	S 2 PD03NOV17	2814.00	3272.00	USD	-22,900.00	
04AUG17	S 1 PD03NOV17	2817.50	3272.00	USD	-11,362.50	
04AUG17	B 1 PD03NOV17	2812.50	3272.00	USD	11,487.50	
04AUG17	S 1 PD03NOV17	2810.00	3272.00	USD	-11,550.00	
04AUG17	S 1 PD03NOV17	2812.00	3272.00	USD	-11,500.00	
04AUG17	S 1 PD03NOV17	2814.00	3272.00	USD	-11,450.00	
04AUG17	S 1 PD03NOV17	2815.00	3272.00	USD	-11,425.00	
07AUG17	S 1 PD03NOV17	2856.94	3272.00	USD	-10,376.50	
	NET 0 AVG BGHT 2811.19 SOLD 2817.00				**9,011.00**	**0.00**
07AUG17	B 4 PD07NOV17	2805.00	3272.00	USD	46,700.00	
07AUG17	B 1 PD07NOV17	2806.50	3272.00	USD	11,637.50	
07AUG17	B 1 PD07NOV17	2805.50	3272.00	USD	11,662.50	
07AUG17	S 1 PD07NOV17	2815.50	3272.00	USD	-11,412.50	
07AUG17	B 1 PD07NOV17	2812.50	3272.00	USD	11,487.50	
07AUG17	S 2 PD07NOV17	2821.50	3272.00	USD	-22,525.00	
07AUG17	S 1 PD07NOV17	2820.00	3272.00	USD	-11,300.00	
07AUG17	B 3 PD07NOV17	2810.50	3272.00	USD	34,612.50	
07AUG17	S 1 PD07NOV17	2816.00	3272.00	USD	-11,400.00	
07AUG17	S 2 PD07NOV17	2821.00	3272.00	USD	-22,550.00	
07AUG17	S 1 PD07NOV17	2819.00	3272.00	USD	-11,325.00	
07AUG17	S 2 PD07NOV17	2819.50	3272.00	USD	-22,625.00	
07AUG17	S 3 PD07NOV17	2818.50	3272.00	USD	-34,012.50	
07AUG17	S 2 PD07NOV17	2817.50	3272.00	USD	-22,725.00	
07AUG17	B 2 PD07NOV17	2817.50	3272.00	USD	22,725.00	
07AUG17	S 2 PD07NOV17	2820.50	3272.00	USD	-22,575.00	
07AUG17	S 3 PD07NOV17	2822.00	3272.00	USD	-33,750.00	
07AUG17	S 2 PD07NOV17	2824.00	3272.00	USD	-22,400.00	
07AUG17	B 2 PD07NOV17	2819.00	3272.00	USD	22,650.00	
07AUG17	B 1 PD07NOV17	2818.50	3272.00	USD	11,337.50	
07AUG17	S 1 PD07NOV17	2826.50	3272.00	USD	-11,137.50	
07AUG17	S 1 PD07NOV17	2827.50	3272.00	USD	-11,112.50	
07AUG17	S 2 PD07NOV17	2825.00	3272.00	USD	-22,350.00	
07AUG17	S 1 PD07NOV17	2831.50	3272.00	USD	-11,012.50	
07AUG17	S 1 PD07NOV17	2832.50	3272.00	USD	-10,987.50	
07AUG17	S 1 PD07NOV17	2821.50	3272.00	USD	-11,262.50	
07AUG17	S 1 PD07NOV17	2831.50	3272.00	USD	-11,012.50	
07AUG17	S 1 PD07NOV17	2832.00	3272.00	USD	-11,000.00	
07AUG17	B 1 PD07NOV17	2836.00	3272.00	USD	10,900.00	
07AUG17	B 3 PD07NOV17	2839.00	3272.00	USD	32,475.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
07AUG17	B 2 PD07NOV17	2837.00	3272.00	USD	21,750.00	
07AUG17	B 1 PD07NOV17	2834.50	3272.00	USD	10,937.50	
07AUG17	S 1 PD07NOV17	2847.00	3272.00	USD	-10,625.00	
07AUG17	B 1 PD07NOV17	2855.00	3272.00	USD	10,425.00	
07AUG17	B 1 PD07NOV17	2862.50	3272.00	USD	10,237.50	
07AUG17	S 1 PD07NOV17	2866.50	3272.00	USD	-10,137.50	
07AUG17	S 1 PD07NOV17	2875.00	3272.00	USD	-9,925.00	
07AUG17	B 2 PD07NOV17	2878.00	3272.00	USD	19,700.00	
07AUG17	B 1 PD07NOV17	2887.50	3272.00	USD	9,612.50	
07AUG17	B 3 PD07NOV17	2888.00	3272.00	USD	28,800.00	
07AUG17	B 1 PD07NOV17	2886.50	3272.00	USD	9,637.50	
07AUG17	B 2 PD07NOV17	2887.00	3272.00	USD	19,250.00	
07AUG17	B 5 PD07NOV17	2886.00	3272.00	USD	48,250.00	
07AUG17	B 2 PD07NOV17	2885.00	3272.00	USD	19,350.00	
07AUG17	B 1 PD07NOV17	2884.50	3272.00	USD	9,687.50	
07AUG17	B 1 PD07NOV17	2884.00	3272.00	USD	9,700.00	
07AUG17	B 1 PD07NOV17	2882.50	3272.00	USD	9,737.50	
07AUG17	B 1 PD07NOV17	2880.00	3272.00	USD	9,800.00	
07AUG17	B 1 PD07NOV17	2880.50	3272.00	USD	9,787.50	
07AUG17	B 1 PD07NOV17	2870.00	3272.00	USD	10,050.00	
07AUG17	B 1 PD07NOV17	2871.00	3272.00	USD	10,025.00	
07AUG17	S 1 PD07NOV17	2872.00	3272.00	USD	-10,000.00	
07AUG17	S 3 PD07NOV17	2874.00	3272.00	USD	-29,850.00	
07AUG17	B 1 PD07NOV17	2866.50	3272.00	USD	10,137.50	
10AUG17	S 9 PD07NOV17	2937.26	3272.00	USD	-75,316.50	
10AUG17	S 1 PD07NOV17	2937.46	3272.00	USD	-8,363.50	
	NET 0 AVG BGHT 2852.78 SOLD 2853.09				**370.00**	**0.00**
08AUG17	S 1 PD08NOV17	2867.00	3272.00	USD	-10,125.00	
08AUG17	S 1 PD08NOV17	2870.50	3272.00	USD	-10,037.50	
08AUG17	B 5 PD08NOV17	2874.50	3272.00	USD	49,687.50	
08AUG17	S 1 PD08NOV17	2877.50	3272.00	USD	-9,862.50	
08AUG17	S 1 PD08NOV17	2875.00	3272.00	USD	-9,925.00	
08AUG17	S 1 PD08NOV17	2873.00	3272.00	USD	-9,975.00	
08AUG17	S 2 PD08NOV17	2871.00	3272.00	USD	-20,050.00	
08AUG17	S 2 PD08NOV17	2872.00	3272.00	USD	-20,000.00	
08AUG17	S 1 PD08NOV17	2875.50	3272.00	USD	-9,912.50	
08AUG17	S 1 PD08NOV17	2874.50	3272.00	USD	-9,937.50	
08AUG17	B 1 PD08NOV17	2878.00	3272.00	USD	9,850.00	
08AUG17	B 1 PD08NOV17	2878.50	3272.00	USD	9,837.50	
08AUG17	S 1 PD08NOV17	2886.50	3272.00	USD	-9,637.50	
08AUG17	B 1 PD08NOV17	2875.00	3272.00	USD	9,925.00	
08AUG17	B 3 PD08NOV17	2874.00	3272.00	USD	29,850.00	
08AUG17	B 2 PD08NOV17	2873.00	3272.00	USD	19,950.00	
08AUG17	B 1 PD08NOV17	2870.00	3272.00	USD	10,050.00	
08AUG17	S 2 PD08NOV17	2869.50	3272.00	USD	-20,125.00	
08AUG17	B 1 PD08NOV17	2869.50	3272.00	USD	10,062.50	
08AUG17	B 3 PD08NOV17	2871.00	3272.00	USD	30,075.00	
08AUG17	B 1 PD08NOV17	2873.50	3272.00	USD	9,962.50	
08AUG17	B 2 PD08NOV17	2867.00	3272.00	USD	20,250.00	
08AUG17	B 1 PD08NOV17	2868.00	3272.00	USD	10,100.00	
08AUG17	B 3 PD08NOV17	2870.50	3272.00	USD	30,112.50	
08AUG17	B 1 PD08NOV17	2869.00	3272.00	USD	10,075.00	
08AUG17	S 5 PD08NOV17	2876.50	3272.00	USD	-49,437.50	
08AUG17	S 1 PD08NOV17	2878.00	3272.00	USD	-9,850.00	
08AUG17	S 7 PD08NOV17	2878.50	3272.00	USD	-68,862.50	
08AUG17	B 2 PD08NOV17	2879.00	3272.00	USD	19,650.00	
08AUG17	S 2 PD08NOV17	2879.50	3272.00	USD	-19,625.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
08AUG17	B 1 PD08NOV17	2876.50	3272.00	USD	9,887.50	
08AUG17	B 1 PD08NOV17	2882.00	3272.00	USD	9,750.00	
08AUG17	S 5 PD08NOV17	2881.00	3272.00	USD	-48,875.00	
08AUG17	S 3 PD08NOV17	2882.00	3272.00	USD	-29,250.00	
08AUG17	S 1 PD08NOV17	2884.50	3272.00	USD	-9,687.50	
08AUG17	S 1 PD08NOV17	2887.00	3272.00	USD	-9,625.00	
08AUG17	S 1 PD08NOV17	2884.00	3272.00	USD	-9,700.00	
08AUG17	B 2 PD08NOV17	2875.50	3272.00	USD	19,825.00	
08AUG17	S 1 PD08NOV17	2866.50	3272.00	USD	-10,137.50	
08AUG17	S 1 PD08NOV17	2876.00	3272.00	USD	-9,900.00	
08AUG17	S 9 PD08NOV17	2880.50	3272.00	USD	-88,087.50	
08AUG17	S 4 PD08NOV17	2881.50	3272.00	USD	-39,050.00	
08AUG17	S 1 PD08NOV17	2885.00	3272.00	USD	-9,675.00	
08AUG17	S 1 PD08NOV17	2888.00	3272.00	USD	-9,600.00	
08AUG17	S 1 PD08NOV17	2889.00	3272.00	USD	-9,575.00	
08AUG17	S 2 PD08NOV17	2889.50	3272.00	USD	-19,125.00	
08AUG17	S 1 PD08NOV17	2890.00	3272.00	USD	-9,550.00	
08AUG17	B 2 PD08NOV17	2895.50	3272.00	USD	18,825.00	
08AUG17	B 3 PD08NOV17	2897.00	3272.00	USD	28,125.00	
08AUG17	S 1 PD08NOV17	2906.50	3272.00	USD	-9,137.50	
08AUG17	S 1 PD08NOV17	2908.50	3272.00	USD	-9,087.50	
08AUG17	S 1 PD08NOV17	2909.50	3272.00	USD	-9,062.50	
08AUG17	B 1 PD08NOV17	2910.00	3272.00	USD	9,050.00	
08AUG17	B 1 PD08NOV17	2909.50	3272.00	USD	9,062.50	
08AUG17	B 1 PD08NOV17	2910.50	3272.00	USD	9,037.50	
08AUG17	S 2 PD08NOV17	2913.50	3272.00	USD	-17,925.00	
08AUG17	S 1 PD08NOV17	2914.00	3272.00	USD	-8,950.00	
08AUG17	S 2 PD08NOV17	2912.00	3272.00	USD	-18,000.00	
08AUG17	S 1 PD08NOV17	2915.00	3272.00	USD	-8,925.00	
08AUG17	B 1 PD08NOV17	2923.50	3272.00	USD	8,712.50	
08AUG17	B 1 PD08NOV17	2920.00	3272.00	USD	8,800.00	
08AUG17	B 1 PD08NOV17	2921.50	3272.00	USD	8,762.50	
08AUG17	S 1 PD08NOV17	2931.50	3272.00	USD	-8,512.50	
08AUG17	B 2 PD08NOV17	2928.50	3272.00	USD	17,175.00	
08AUG17	S 1 PD08NOV17	2926.00	3272.00	USD	-8,650.00	
08AUG17	B 1 PD08NOV17	2872.00	3272.00	USD	10,000.00	
08AUG17	B 1 PD08NOV17	2869.00	3272.00	USD	10,075.00	
08AUG17	S 1 PD08NOV17	2880.00	3272.00	USD	-9,800.00	
08AUG17	S 1 PD08NOV17	2878.50	3272.00	USD	-9,837.50	
08AUG17	S 1 PD08NOV17	2879.50	3272.00	USD	-9,812.50	
08AUG17	B 1 PD08NOV17	2895.50	3272.00	USD	9,412.50	
08AUG17	B 1 PD08NOV17	2925.00	3272.00	USD	8,675.00	
08AUG17	S 2 PD08NOV17	2935.50	3272.00	USD	-16,825.00	
08AUG17	B 1 PD08NOV17	2935.50	3272.00	USD	8,412.50	
08AUG17	B 1 PD08NOV17	2934.50	3272.00	USD	8,437.50	
08AUG17	S 1 PD08NOV17	2936.50	3272.00	USD	-8,387.50	
08AUG17	B 3 PD08NOV17	2934.00	3272.00	USD	25,350.00	
08AUG17	B 1 PD08NOV17	2933.00	3272.00	USD	8,475.00	
08AUG17	B 1 PD08NOV17	2935.00	3272.00	USD	8,425.00	
08AUG17	B 1 PD08NOV17	2931.00	3272.00	USD	8,525.00	
10AUG17	B 1 PD08NOV17	2937.84	3272.00	USD	8,354.00	
10AUG17	B 3 PD08NOV17	2937.64	3272.00	USD	25,077.00	
22AUG17	B 15 PD08NOV17	3118.56	3272.00	USD	57,540.00	
29AUG17	B 2 PD08NOV17	3108.85	3272.00	USD	8,157.50	
	NET 0 AVG BGHT 2943.09 SOLD 2886.30				**-110,746.50**	**0.00**
09AUG17	S 1 PD09NOV17	2951.50	3272.00	USD	-8,012.50	
09AUG17	S 2 PD09NOV17	2955.50	3272.00	USD	-15,825.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
09AUG17	B 1 PD09NOV17	2940.50	3272.00	USD	8,287.50	
09AUG17	B 1 PD09NOV17	2940.00	3272.00	USD	8,300.00	
09AUG17	S 1 PD09NOV17	2945.00	3272.00	USD	-8,175.00	
09AUG17	B 1 PD09NOV17	2941.00	3272.00	USD	8,275.00	
09AUG17	S 1 PD09NOV17	2965.00	3272.00	USD	-7,675.00	
09AUG17	S 1 PD09NOV17	2966.50	3272.00	USD	-7,637.50	
09AUG17	S 1 PD09NOV17	2967.50	3272.00	USD	-7,612.50	
09AUG17	B 2 PD09NOV17	2970.00	3272.00	USD	15,100.00	
09AUG17	B 1 PD09NOV17	2971.00	3272.00	USD	7,525.00	
09AUG17	B 1 PD09NOV17	2971.50	3272.00	USD	7,512.50	
09AUG17	S 1 PD09NOV17	2976.50	3272.00	USD	-7,387.50	
09AUG17	S 1 PD09NOV17	2979.50	3272.00	USD	-7,312.50	
09AUG17	B 1 PD09NOV17	2981.50	3272.00	USD	7,262.50	
09AUG17	B 2 PD09NOV17	2978.50	3272.00	USD	14,675.00	
09AUG17	B 1 PD09NOV17	2975.50	3272.00	USD	7,412.50	
09AUG17	S 3 PD09NOV17	2980.00	3272.00	USD	-21,900.00	
09AUG17	B 1 PD09NOV17	2969.50	3272.00	USD	7,562.50	
09AUG17	B 4 PD09NOV17	2968.00	3272.00	USD	30,400.00	
09AUG17	S 1 PD09NOV17	2966.00	3272.00	USD	-7,650.00	
09AUG17	B 1 PD09NOV17	2965.00	3272.00	USD	7,675.00	
09AUG17	B 3 PD09NOV17	2964.50	3272.00	USD	23,062.50	
09AUG17	B 4 PD09NOV17	2964.00	3272.00	USD	30,800.00	
09AUG17	B 1 PD09NOV17	2961.00	3272.00	USD	7,775.00	
09AUG17	B 1 PD09NOV17	2957.50	3272.00	USD	7,862.50	
09AUG17	S 1 PD09NOV17	2956.00	3272.00	USD	-7,900.00	
09AUG17	B 1 PD09NOV17	2956.00	3272.00	USD	7,900.00	
09AUG17	B 1 PD09NOV17	2956.50	3272.00	USD	7,887.50	
09AUG17	B 2 PD09NOV17	2957.00	3272.00	USD	15,750.00	
09AUG17	S 2 PD09NOV17	2954.00	3272.00	USD	-15,900.00	
09AUG17	B 1 PD09NOV17	2949.00	3272.00	USD	8,075.00	
09AUG17	S 1 PD09NOV17	2960.00	3272.00	USD	-7,800.00	
09AUG17	B 1 PD09NOV17	2959.50	3272.00	USD	7,812.50	
09AUG17	S 2 PD09NOV17	2959.00	3272.00	USD	-15,650.00	
09AUG17	S 1 PD09NOV17	2963.50	3272.00	USD	-7,712.50	
09AUG17	S 2 PD09NOV17	2955.00	3272.00	USD	-15,850.00	
09AUG17	S 1 PD09NOV17	2963.00	3272.00	USD	-7,725.00	
09AUG17	B 1 PD09NOV17	2960.50	3272.00	USD	7,787.50	
09AUG17	B 2 PD09NOV17	2960.00	3272.00	USD	15,600.00	
09AUG17	B 1 PD09NOV17	2954.50	3272.00	USD	7,937.50	
09AUG17	B 2 PD09NOV17	2955.50	3272.00	USD	15,825.00	
09AUG17	S 2 PD09NOV17	2972.50	3272.00	USD	-14,975.00	
09AUG17	S 2 PD09NOV17	2973.00	3272.00	USD	-14,950.00	
09AUG17	B 1 PD09NOV17	2973.50	3272.00	USD	7,462.50	
09AUG17	S 2 PD09NOV17	2975.50	3272.00	USD	-14,825.00	
09AUG17	S 3 PD09NOV17	2973.50	3272.00	USD	-22,387.50	
09AUG17	S 2 PD09NOV17	2974.00	3272.00	USD	-14,900.00	
09AUG17	S 1 PD09NOV17	2975.00	3272.00	USD	-7,425.00	
09AUG17	S 2 PD09NOV17	2976.00	3272.00	USD	-14,800.00	
09AUG17	S 3 PD09NOV17	2974.50	3272.00	USD	-22,312.50	
09AUG17	S 1 PD09NOV17	2969.50	3272.00	USD	-7,562.50	
09AUG17	B 1 PD09NOV17	2963.00	3272.00	USD	7,725.00	
09AUG17	B 1 PD09NOV17	2945.00	3272.00	USD	8,175.00	
09AUG17	B 2 PD09NOV17	2943.50	3272.00	USD	16,425.00	
09AUG17	B 3 PD09NOV17	2942.00	3272.00	USD	24,750.00	
09AUG17	B 1 PD09NOV17	2941.50	3272.00	USD	8,262.50	
09AUG17	B 1 PD09NOV17	2937.50	3272.00	USD	8,362.50	
09AUG17	S 1 PD09NOV17	2944.00	3272.00	USD	-8,200.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
09AUG17	S 1 PD09NOV17	2943.50	3272.00	USD	-8,212.50	
09AUG17	S 1 PD09NOV17	2946.00	3272.00	USD	-8,150.00	
09AUG17	S 1 PD09NOV17	2958.50	3272.00	USD	-7,837.50	
09AUG17	S 1 PD09NOV17	2948.50	3272.00	USD	-8,087.50	
09AUG17	S 3 PD09NOV17	2942.50	3272.00	USD	-24,712.50	
09AUG17	S 1 PD09NOV17	2945.50	3272.00	USD	-8,162.50	
09AUG17	B 1 PD09NOV17	2934.50	3272.00	USD	8,437.50	
09AUG17	B 1 PD09NOV17	2934.00	3272.00	USD	8,450.00	
09AUG17	B 1 PD09NOV17	2935.00	3272.00	USD	8,425.00	
09AUG17	B 1 PD09NOV17	2935.50	3272.00	USD	8,412.50	
09AUG17	B 1 PD09NOV17	2936.00	3272.00	USD	8,400.00	
10AUG17	S 3 PD09NOV17	2937.62	3272.00	USD	-25,078.50	
	NET 0 AVG BGHT 2957.02 SOLD 2962.34				**7,046.50**	**0.00**
10AUG17	S 1 PD10NOV17	2943.00	3272.00	USD	-8,225.00	
10AUG17	B 2 PD10NOV17	2947.50	3272.00	USD	16,225.00	
10AUG17	B 1 PD10NOV17	2946.50	3272.00	USD	8,137.50	
10AUG17	B 2 PD10NOV17	2943.00	3272.00	USD	16,450.00	
10AUG17	B 1 PD10NOV17	2946.00	3272.00	USD	8,150.00	
10AUG17	B 1 PD10NOV17	2945.50	3272.00	USD	8,162.50	
10AUG17	B 1 PD10NOV17	2944.00	3272.00	USD	8,200.00	
10AUG17	B 2 PD10NOV17	2943.50	3272.00	USD	16,425.00	
10AUG17	S 1 PD10NOV17	2935.50	3272.00	USD	-8,412.50	
10AUG17	S 1 PD10NOV17	2936.50	3272.00	USD	-8,387.50	
10AUG17	S 1 PD10NOV17	2933.50	3272.00	USD	-8,462.50	
10AUG17	S 1 PD10NOV17	2935.00	3272.00	USD	-8,425.00	
10AUG17	B 1 PD10NOV17	2934.50	3272.00	USD	8,437.50	
10AUG17	B 2 PD10NOV17	2936.00	3272.00	USD	16,800.00	
10AUG17	B 2 PD10NOV17	2935.00	3272.00	USD	16,850.00	
10AUG17	S 1 PD10NOV17	2944.50	3272.00	USD	-8,187.50	
10AUG17	B 1 PD10NOV17	2938.00	3272.00	USD	8,350.00	
10AUG17	S 1 PD10NOV17	2943.50	3272.00	USD	-8,212.50	
10AUG17	S 1 PD10NOV17	2950.00	3272.00	USD	-8,050.00	
10AUG17	S 1 PD10NOV17	2950.50	3272.00	USD	-8,037.50	
10AUG17	S 1 PD10NOV17	2949.50	3272.00	USD	-8,062.50	
10AUG17	B 1 PD10NOV17	2936.00	3272.00	USD	8,400.00	
10AUG17	S 1 PD10NOV17	2942.00	3272.00	USD	-8,250.00	
10AUG17	B 2 PD10NOV17	2937.00	3272.00	USD	16,750.00	
10AUG17	B 2 PD10NOV17	2938.50	3272.00	USD	16,675.00	
10AUG17	S 2 PD10NOV17	2939.50	3272.00	USD	-16,625.00	
10AUG17	B 1 PD10NOV17	2925.00	3272.00	USD	8,675.00	
10AUG17	S 1 PD10NOV17	2925.00	3272.00	USD	-8,675.00	
10AUG17	S 1 PD10NOV17	2921.50	3272.00	USD	-8,762.50	
10AUG17	S 1 PD10NOV17	2923.00	3272.00	USD	-8,725.00	
10AUG17	S 2 PD10NOV17	2934.00	3272.00	USD	-16,900.00	
10AUG17	S 2 PD10NOV17	2938.50	3272.00	USD	-16,675.00	
10AUG17	S 2 PD10NOV17	2939.00	3272.00	USD	-16,650.00	
10AUG17	S 1 PD10NOV17	2924.50	3272.00	USD	-8,687.50	
10AUG17	S 1 PD10NOV17	2942.00	3272.00	USD	-8,250.00	
10AUG17	S 1 PD10NOV17	2945.50	3272.00	USD	-8,162.50	
10AUG17	S 1 PD10NOV17	2949.00	3272.00	USD	-8,075.00	
10AUG17	S 1 PD10NOV17	2952.50	3272.00	USD	-7,987.50	
10AUG17	B 2 PD10NOV17	2948.50	3272.00	USD	16,175.00	
10AUG17	B 1 PD10NOV17	2931.00	3272.00	USD	8,525.00	
11AUG17	S 2 PD10NOV17	2907.50	3272.00	USD	-18,225.00	
11AUG17	B 1 PD10NOV17	2899.50	3272.00	USD	9,312.50	
11AUG17	S 1 PD10NOV17	2911.00	3272.00	USD	-9,025.00	
11AUG17	S 2 PD10NOV17	2908.50	3272.00	USD	-18,175.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
11AUG17	B 2 PD10NOV17	2908.00	3272.00	USD	18,200.00	
11AUG17	B 2 PD10NOV17	2904.50	3272.00	USD	18,375.00	
11AUG17	B 1 PD10NOV17	2896.50	3272.00	USD	9,387.50	
11AUG17	S 1 PD10NOV17	2894.50	3272.00	USD	-9,437.50	
11AUG17	B 1 PD10NOV17	2896.00	3272.00	USD	9,400.00	
11AUG17	B 1 PD10NOV17	2894.50	3272.00	USD	9,437.50	
11AUG17	B 1 PD10NOV17	2894.00	3272.00	USD	9,450.00	
11AUG17	B 1 PD10NOV17	2893.50	3272.00	USD	9,462.50	
11AUG17	B 1 PD10NOV17	2893.00	3272.00	USD	9,475.00	
11AUG17	B 1 PD10NOV17	2890.50	3272.00	USD	9,537.50	
11AUG17	B 2 PD10NOV17	2889.50	3272.00	USD	19,125.00	
11AUG17	S 2 PD10NOV17	2902.00	3272.00	USD	-18,500.00	
11AUG17	B 2 PD10NOV17	2906.50	3272.00	USD	18,275.00	
11AUG17	S 1 PD10NOV17	2908.00	3272.00	USD	-9,100.00	
11AUG17	S 1 PD10NOV17	2913.00	3272.00	USD	-8,975.00	
11AUG17	S 1 PD10NOV17	2914.00	3272.00	USD	-8,950.00	
11AUG17	S 1 PD10NOV17	2912.50	3272.00	USD	-8,987.50	
11AUG17	S 1 PD10NOV17	2916.00	3272.00	USD	-8,900.00	
11AUG17	S 1 PD10NOV17	2917.00	3272.00	USD	-8,875.00	
11AUG17	S 1 PD10NOV17	2916.50	3272.00	USD	-8,887.50	
11AUG17	S 1 PD10NOV17	2917.50	3272.00	USD	-8,862.50	
11AUG17	S 1 PD10NOV17	2920.50	3272.00	USD	-8,787.50	
11AUG17	S 1 PD10NOV17	2919.50	3272.00	USD	-8,812.50	
11AUG17	B 1 PD10NOV17	2897.50	3272.00	USD	9,362.50	
11AUG17	B 1 PD10NOV17	2911.50	3272.00	USD	9,012.50	
11AUG17	B 1 PD10NOV17	2915.00	3272.00	USD	8,925.00	
11AUG17	B 1 PD10NOV17	2910.50	3272.00	USD	9,037.50	
11AUG17	B 1 PD10NOV17	2912.00	3272.00	USD	9,000.00	
11AUG17	S 1 PD10NOV17	2912.00	3272.00	USD	-9,000.00	
11AUG17	B 2 PD10NOV17	2909.50	3272.00	USD	18,125.00	
11AUG17	B 2 PD10NOV17	2908.50	3272.00	USD	18,175.00	
11AUG17	B 1 PD10NOV17	2907.50	3272.00	USD	9,112.50	
11AUG17	B 1 PD10NOV17	2909.00	3272.00	USD	9,075.00	
11AUG17	B 1 PD10NOV17	2907.00	3272.00	USD	9,125.00	
11AUG17	B 2 PD10NOV17	2906.00	3272.00	USD	18,300.00	
11AUG17	B 1 PD10NOV17	2903.50	3272.00	USD	9,212.50	
11AUG17	B 1 PD10NOV17	2902.50	3272.00	USD	9,237.50	
11AUG17	S 1 PD10NOV17	2899.00	3272.00	USD	-9,325.00	
11AUG17	S 4 PD10NOV17	2906.00	3272.00	USD	-36,600.00	
11AUG17	S 7 PD10NOV17	2905.00	3272.00	USD	-64,225.00	
11AUG17	S 2 PD10NOV17	2909.50	3272.00	USD	-18,125.00	
11AUG17	S 3 PD10NOV17	2904.50	3272.00	USD	-27,562.50	
11AUG17	S 2 PD10NOV17	2905.50	3272.00	USD	-18,325.00	
11AUG17	S 1 PD10NOV17	2907.00	3272.00	USD	-9,125.00	
11AUG17	B 1 PD10NOV17	2897.00	3272.00	USD	9,375.00	
11AUG17	B 2 PD10NOV17	2905.00	3272.00	USD	18,350.00	
11AUG17	B 2 PD10NOV17	2903.00	3272.00	USD	18,450.00	
11AUG17	B 1 PD10NOV17	2900.50	3272.00	USD	9,287.50	
11AUG17	B 3 PD10NOV17	2900.00	3272.00	USD	27,900.00	
11AUG17	B 3 PD10NOV17	2899.00	3272.00	USD	27,975.00	
11AUG17	B 2 PD10NOV17	2898.50	3272.00	USD	18,675.00	
11AUG17	S 3 PD10NOV17	2902.50	3272.00	USD	-27,712.50	
11AUG17	S 2 PD10NOV17	2903.50	3272.00	USD	-18,425.00	
11AUG17	S 1 PD10NOV17	2902.50	3272.00	USD	-9,237.50	
29AUG17	B 1 PD10NOV17	3109.15	3272.00	USD	4,071.25	
	NET 0 AVG BGHT 2918.33 SOLD 2919.19				**1,558.75**	**0.00**
14AUG17	B 3 PD14NOV17	2914.00	3270.33	USD	26,724.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
14AUG17	B 2 PD14NOV17	2913.50	3270.33	USD	17,841.50	
14AUG17	B 1 PD14NOV17	2907.50	3270.33	USD	9,070.75	
14AUG17	S 1 PD14NOV17	2907.50	3270.33	USD	-9,070.75	
14AUG17	B 1 PD14NOV17	2909.00	3270.33	USD	9,033.25	
14AUG17	S 1 PD14NOV17	2906.50	3270.33	USD	-9,095.75	
14AUG17	B 1 PD14NOV17	2902.50	3270.33	USD	9,195.75	
14AUG17	B 3 PD14NOV17	2903.00	3270.33	USD	27,549.75	
14AUG17	B 1 PD14NOV17	2901.50	3270.33	USD	9,220.75	
14AUG17	B 1 PD14NOV17	2900.50	3270.33	USD	9,245.75	
14AUG17	B 1 PD14NOV17	2899.50	3270.33	USD	9,270.75	
14AUG17	B 1 PD14NOV17	2899.00	3270.33	USD	9,283.25	
14AUG17	B 1 PD14NOV17	2905.50	3270.33	USD	9,120.75	
14AUG17	S 2 PD14NOV17	2910.00	3270.33	USD	-18,016.50	
14AUG17	S 1 PD14NOV17	2912.50	3270.33	USD	-8,945.75	
14AUG17	S 2 PD14NOV17	2913.50	3270.33	USD	-17,841.50	
14AUG17	B 1 PD14NOV17	2904.50	3270.33	USD	9,145.75	
14AUG17	B 2 PD14NOV17	2905.00	3270.33	USD	18,266.50	
14AUG17	B 6 PD14NOV17	2904.00	3270.33	USD	54,949.50	
14AUG17	S 2 PD14NOV17	2914.50	3270.33	USD	-17,791.50	
14AUG17	S 2 PD14NOV17	2916.50	3270.33	USD	-17,691.50	
14AUG17	S 1 PD14NOV17	2918.50	3270.33	USD	-8,795.75	
14AUG17	B 1 PD14NOV17	2922.00	3270.33	USD	8,708.25	
22AUG17	S 14 PD14NOV17	3119.17	3270.33	USD	-52,906.00	
	NET 0 AVG BGHT 2906.29 SOLD 3023.94				**76,472.00**	**0.00**
21AUG17	B 1 PD21NOV17	3171.00	3264.83	USD	2,345.75	
21AUG17	B 3 PD21NOV17	3171.50	3264.83	USD	6,999.75	
21AUG17	S 1 PD21NOV17	3150.00	3264.83	USD	-2,870.75	
21AUG17	S 1 PD21NOV17	3154.00	3264.83	USD	-2,770.75	
21AUG17	S 1 PD21NOV17	3152.50	3264.83	USD	-2,808.25	
21AUG17	B 1 PD21NOV17	3138.00	3264.83	USD	3,170.75	
21AUG17	S 1 PD21NOV17	3132.50	3264.83	USD	-3,308.25	
22AUG17	S 1 PD21NOV17	3118.40	3264.83	USD	-3,660.75	
	NET 0 AVG BGHT 3164.70 SOLD 3141.48				**-2,902.50**	**0.00**
22AUG17	B 1 PD22NOV17	3124.00	3264.50	USD	3,512.50	
22AUG17	B 2 PD22NOV17	3123.00	3264.50	USD	7,075.00	
22AUG17	B 1 PD22NOV17	3122.50	3264.50	USD	3,550.00	
22AUG17	S 1 PD22NOV17	3129.50	3264.50	USD	-3,375.00	
22AUG17	B 1 PD22NOV17	3143.00	3264.50	USD	3,037.50	
22AUG17	S 1 PD22NOV17	3150.50	3264.50	USD	-2,850.00	
22AUG17	S 1 PD22NOV17	3155.50	3264.50	USD	-2,725.00	
22AUG17	B 1 PD22NOV17	3132.00	3264.50	USD	3,312.50	
22AUG17	B 1 PD22NOV17	3123.50	3264.50	USD	3,525.00	
22AUG17	S 1 PD22NOV17	3124.50	3264.50	USD	-3,500.00	
29AUG17	S 3 PD22NOV17	3108.23	3264.50	USD	-11,720.25	
	NET 0 AVG BGHT 3127.29 SOLD 3126.38				**-157.75**	**0.00**
24AUG17	B 1 PD24NOV17	3119.00	3264.71	USD	3,642.75	
24AUG17	S 1 PD24NOV17	3111.50	3264.71	USD	-3,830.25	
	NET 0 AVG BGHT 3119.00 SOLD 3111.50				**-187.50**	**0.00**
30AUG17	S 1 PD30NOV17	3137.50	3265.36	USD	-3,196.50	
30AUG17	S 1 PD30NOV17	3139.00	3265.36	USD	-3,159.00	
30AUG17	S 1 PD30NOV17	3141.00	3265.36	USD	-3,109.00	
30AUG17	S 2 PD30NOV17	3151.50	3265.36	USD	-5,693.00	
30AUG17	S 1 PD30NOV17	3149.50	3265.36	USD	-2,896.50	
30AUG17	B 1 PD30NOV17	3137.00	3265.36	USD	3,209.00	
30AUG17	S 1 PD30NOV17	3138.00	3265.36	USD	-3,184.00	
30AUG17	S 1 PD30NOV17	3137.00	3265.36	USD	-3,209.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
30AUG17	B 1 PD30NOV17	3135.50	3265.36	USD	3,246.50	
30AUG17	S 1 PD30NOV17	3134.50	3265.36	USD	-3,271.50	
30AUG17	S 1 PD30NOV17	3126.00	3265.36	USD	-3,484.00	
30AUG17	B 1 PD30NOV17	3125.50	3265.36	USD	3,496.50	
30AUG17	S 1 PD30NOV17	3125.50	3265.36	USD	-3,496.50	
30AUG17	S 1 PD30NOV17	3138.00	3265.36	USD	-3,184.00	
30AUG17	S 1 PD30NOV17	3117.00	3265.36	USD	-3,709.00	
30AUG17	B 1 PD30NOV17	3113.00	3265.36	USD	3,809.00	
30AUG17	B 2 PD30NOV17	3102.00	3265.36	USD	8,168.00	
30AUG17	B 1 PD30NOV17	3093.00	3265.36	USD	4,309.00	
30AUG17	S 1 PD30NOV17	3097.50	3265.36	USD	-4,196.50	
30AUG17	B 1 PD30NOV17	3085.00	3265.36	USD	4,509.00	
30AUG17	S 1 PD30NOV17	3084.00	3265.36	USD	-4,534.00	
30AUG17	S 3 PD30NOV17	3085.00	3265.36	USD	-13,527.00	
30AUG17	S 1 PD30NOV17	3083.50	3265.36	USD	-4,546.50	
31AUG17	S 1 PD30NOV17	3109.00	3265.36	USD	-3,909.00	
31AUG17	S 1 PD30NOV17	3110.00	3265.36	USD	-3,884.00	
31AUG17	S 2 PD30NOV17	3109.50	3265.36	USD	-7,793.00	
31AUG17	B 1 PD30NOV17	3093.00	3265.36	USD	4,309.00	
31AUG17	S 1 PD30NOV17	3104.50	3265.36	USD	-4,021.50	
31AUG17	S 1 PD30NOV17	3106.00	3265.36	USD	-3,984.00	
31AUG17	S 2 PD30NOV17	3114.50	3265.36	USD	-7,543.00	
31AUG17	S 1 PD30NOV17	3118.00	3265.36	USD	-3,684.00	
31AUG17	S 1 PD30NOV17	3119.50	3265.36	USD	-3,646.50	
31AUG17	S 1 PD30NOV17	3121.50	3265.36	USD	-3,596.50	
31AUG17	S 1 PD30NOV17	3124.50	3265.36	USD	-3,521.50	
31AUG17	S 2 PD30NOV17	3123.00	3265.36	USD	-7,118.00	
31AUG17	S 1 PD30NOV17	3122.50	3265.36	USD	-3,571.50	
31AUG17	B 1 PD30NOV17	3120.00	3265.36	USD	3,634.00	
31AUG17	S 1 PD30NOV17	3125.50	3265.36	USD	-3,496.50	
31AUG17	S 2 PD30NOV17	3126.00	3265.36	USD	-6,968.00	
31AUG17	S 2 PD30NOV17	3132.00	3265.36	USD	-6,668.00	
31AUG17	S 1 PD30NOV17	3136.50	3265.36	USD	-3,221.50	
31AUG17	S 1 PD30NOV17	3109.50	3265.36	USD	-3,896.50	
31AUG17	S 1 PD30NOV17	3109.00	3265.36	USD	-3,909.00	
31AUG17	S 1 PD30NOV17	3138.00	3265.36	USD	-3,184.00	
31AUG17	S 1 PD30NOV17	3141.50	3265.36	USD	-3,096.50	
31AUG17	S 1 PD30NOV17	3139.50	3265.36	USD	-3,146.50	
31AUG17	B 1 PD30NOV17	3130.50	3265.36	USD	3,371.50	
31AUG17	S 1 PD30NOV17	3144.00	3265.36	USD	-3,034.00	
31AUG17	B 1 PD30NOV17	3154.00	3265.36	USD	2,784.00	
31AUG17	B 1 PD30NOV17	3151.50	3265.36	USD	2,846.50	
31AUG17	B 4 PD30NOV17	3145.50	3265.36	USD	11,986.00	
31AUG17	B 1 PD30NOV17	3133.00	3265.36	USD	3,309.00	
31AUG17	B 1 PD30NOV17	3131.50	3265.36	USD	3,346.50	
31AUG17	B 1 PD30NOV17	3147.00	3265.36	USD	2,959.00	
31AUG17	B 1 PD30NOV17	3152.50	3265.36	USD	2,821.50	
31AUG17	B 1 PD30NOV17	3160.00	3265.36	USD	2,634.00	
06SEP17	B 24 PD30NOV17	3093.50	3265.36	USD	103,116.00	
	NET 0 AVG BGHT 3110.70 SOLD 3121.63				**12,575.00**	**0.00**
01SEP17	S 1 PD01DEC17	3142.50	3265.46	USD	-3,074.00	
01SEP17	B 2 PD01DEC17	3144.50	3265.46	USD	6,048.00	
01SEP17	B 21 PD01DEC17	3145.00	3265.46	USD	63,241.50	
01SEP17	B 1 PD01DEC17	3152.00	3265.46	USD	2,836.50	
01SEP17	S 1 PD01DEC17	3155.50	3265.46	USD	-2,749.00	
01SEP17	S 1 PD01DEC17	3155.00	3265.46	USD	-2,761.50	
01SEP17	S 2 PD01DEC17	3157.50	3265.46	USD	-5,398.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
01SEP17	S 1 PD01DEC17	3157.00	3265.46	USD	-2,711.50	
01SEP17	S 2 PD01DEC17	3154.00	3265.46	USD	-5,573.00	
01SEP17	S 3 PD01DEC17	3160.00	3265.46	USD	-7,909.50	
01SEP17	S 1 PD01DEC17	3156.50	3265.46	USD	-2,724.00	
01SEP17	S 1 PD01DEC17	3158.50	3265.46	USD	-2,674.00	
01SEP17	S 5 PD01DEC17	3158.00	3265.46	USD	-13,432.50	
01SEP17	S 3 PD01DEC17	3159.00	3265.46	USD	-7,984.50	
01SEP17	S 2 PD01DEC17	3163.50	3265.46	USD	-5,098.00	
01SEP17	S 1 PD01DEC17	3165.00	3265.46	USD	-2,511.50	
01SEP17	S 1 PD01DEC17	3166.00	3265.46	USD	-2,486.50	
01SEP17	S 1 PD01DEC17	3167.00	3265.46	USD	-2,461.50	
01SEP17	S 1 PD01DEC17	3163.00	3265.46	USD	-2,561.50	
01SEP17	B 1 PD01DEC17	3173.00	3265.46	USD	2,311.50	
01SEP17	B 1 PD01DEC17	3172.00	3265.46	USD	2,336.50	
01SEP17	S 1 PD01DEC17	3178.50	3265.46	USD	-2,174.00	
01SEP17	B 1 PD01DEC17	3170.00	3265.46	USD	2,386.50	
01SEP17	B 2 PD01DEC17	3171.50	3265.46	USD	4,698.00	
01SEP17	B 1 PD01DEC17	3179.50	3265.46	USD	2,149.00	
01SEP17	S 1 PD01DEC17	3185.50	3265.46	USD	-1,999.00	
01SEP17	S 1 PD01DEC17	3187.50	3265.46	USD	-1,949.00	
01SEP17	B 1 PD01DEC17	3187.00	3265.46	USD	1,961.50	
01SEP17	B 1 PD01DEC17	3208.00	3265.46	USD	1,436.50	
01SEP17	B 5 PD01DEC17	3209.00	3265.46	USD	7,057.50	
01SEP17	B 3 PD01DEC17	3210.00	3265.46	USD	4,159.50	
01SEP17	B 1 PD01DEC17	3207.00	3265.46	USD	1,461.50	
01SEP17	B 2 PD01DEC17	3208.50	3265.46	USD	2,848.00	
01SEP17	B 2 PD01DEC17	3207.50	3265.46	USD	2,898.00	
01SEP17	B 8 PD01DEC17	3208.00	3265.46	USD	11,492.00	
01SEP17	B 1 PD01DEC17	3209.00	3265.46	USD	1,411.50	
06SEP17	S 24 PD01DEC17	3093.55	3265.46	USD	-103,146.00	
	NET 0 AVG BGHT 3176.03 SOLD 3131.11				**-60,645.00**	**0.00**
04SEP17	B 3 PD04DEC17	3197.50	3265.79	USD	5,121.75	
04SEP17	S 1 PD04DEC17	3198.00	3265.79	USD	-1,694.75	
04SEP17	B 2 PD04DEC17	3200.00	3265.79	USD	3,289.50	
04SEP17	S 2 PD04DEC17	3194.00	3265.79	USD	-3,589.50	
04SEP17	S 2 PD04DEC17	3189.50	3265.79	USD	-3,814.50	
04SEP17	S 1 PD04DEC17	3190.50	3265.79	USD	-1,882.25	
04SEP17	S 1 PD04DEC17	3191.50	3265.79	USD	-1,857.25	
04SEP17	S 1 PD04DEC17	3192.00	3265.79	USD	-1,844.75	
04SEP17	S 3 PD04DEC17	3195.50	3265.79	USD	-5,271.75	
04SEP17	B 2 PD04DEC17	3186.00	3265.79	USD	3,989.50	
04SEP17	B 1 PD04DEC17	3185.00	3265.79	USD	2,019.75	
04SEP17	B 1 PD04DEC17	3184.50	3265.79	USD	2,032.25	
04SEP17	B 2 PD04DEC17	3182.50	3265.79	USD	4,164.50	
04SEP17	B 1 PD04DEC17	3177.50	3265.79	USD	2,207.25	
04SEP17	S 1 PD04DEC17	3180.50	3265.79	USD	-2,132.25	
04SEP17	B 1 PD04DEC17	3182.00	3265.79	USD	2,094.75	
04SEP17	S 1 PD04DEC17	3183.00	3265.79	USD	-2,069.75	
04SEP17	S 1 PD04DEC17	3184.00	3265.79	USD	-2,044.75	
04SEP17	S 1 PD04DEC17	3193.00	3265.79	USD	-1,819.75	
04SEP17	S 3 PD04DEC17	3193.50	3265.79	USD	-5,421.75	
04SEP17	S 1 PD04DEC17	3196.50	3265.79	USD	-1,732.25	
04SEP17	B 1 PD04DEC17	3198.00	3265.79	USD	1,694.75	
04SEP17	S 3 PD04DEC17	3195.00	3265.79	USD	-5,309.25	
04SEP17	B 1 PD04DEC17	3196.00	3265.79	USD	1,744.75	
04SEP17	B 1 PD04DEC17	3191.00	3265.79	USD	1,869.75	
04SEP17	S 1 PD04DEC17	3191.00	3265.79	USD	-1,869.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
04SEP17	S 1 PD04DEC17	3184.50	3265.79	USD	-2,032.25	
04SEP17	B 1 PD04DEC17	3181.00	3265.79	USD	2,119.75	
04SEP17	S 1 PD04DEC17	3200.00	3265.79	USD	-1,644.75	
04SEP17	B 1 PD04DEC17	3192.50	3265.79	USD	1,832.25	
04SEP17	S 1 PD04DEC17	3197.50	3265.79	USD	-1,707.25	
04SEP17	B 1 PD04DEC17	3210.00	3265.79	USD	1,394.75	
04SEP17	B 1 PD04DEC17	3203.00	3265.79	USD	1,569.75	
04SEP17	S 1 PD04DEC17	3203.50	3265.79	USD	-1,557.25	
04SEP17	B 1 PD04DEC17	3204.50	3265.79	USD	1,532.25	
04SEP17	B 1 PD04DEC17	3205.50	3265.79	USD	1,507.25	
04SEP17	B 2 PD04DEC17	3205.00	3265.79	USD	3,039.50	
04SEP17	B 1 PD04DEC17	3204.00	3265.79	USD	1,544.75	
04SEP17	B 1 PD04DEC17	3203.50	3265.79	USD	1,557.25	
04SEP17	B 1 PD04DEC17	3201.50	3265.79	USD	1,607.25	
	NET 0 AVG BGHT 3194.78 SOLD 3192.76				**-1,362.50**	**0.00**
05SEP17	B 1 PD05DEC17	3201.50	3265.89	USD	1,609.75	
05SEP17	S 1 PD05DEC17	3201.50	3265.89	USD	-1,609.75	
05SEP17	S 1 PD05DEC17	3204.50	3265.89	USD	-1,534.75	
05SEP17	B 1 PD05DEC17	3205.50	3265.89	USD	1,509.75	
05SEP17	S 1 PD05DEC17	3195.00	3265.89	USD	-1,772.25	
05SEP17	S 1 PD05DEC17	3186.00	3265.89	USD	-1,997.25	
05SEP17	B 1 PD05DEC17	3188.00	3265.89	USD	1,947.25	
05SEP17	S 2 PD05DEC17	3188.50	3265.89	USD	-3,869.50	
05SEP17	S 1 PD05DEC17	3190.00	3265.89	USD	-1,897.25	
05SEP17	S 1 PD05DEC17	3174.00	3265.89	USD	-2,297.25	
05SEP17	B 1 PD05DEC17	3168.00	3265.89	USD	2,447.25	
05SEP17	B 1 PD05DEC17	3167.50	3265.89	USD	2,459.75	
05SEP17	B 1 PD05DEC17	3167.00	3265.89	USD	2,472.25	
05SEP17	B 1 PD05DEC17	3162.50	3265.89	USD	2,584.75	
05SEP17	B 1 PD05DEC17	3160.50	3265.89	USD	2,634.75	
05SEP17	S 1 PD05DEC17	3153.50	3265.89	USD	-2,809.75	
05SEP17	S 1 PD05DEC17	3155.00	3265.89	USD	-2,772.25	
05SEP17	S 1 PD05DEC17	3156.00	3265.89	USD	-2,747.25	
05SEP17	S 1 PD05DEC17	3158.00	3265.89	USD	-2,697.25	
05SEP17	S 1 PD05DEC17	3158.50	3265.89	USD	-2,684.75	
05SEP17	S 1 PD05DEC17	3159.00	3265.89	USD	-2,672.25	
05SEP17	B 1 PD05DEC17	3150.50	3265.89	USD	2,884.75	
05SEP17	B 2 PD05DEC17	3138.00	3265.89	USD	6,394.50	
05SEP17	B 1 PD05DEC17	3134.00	3265.89	USD	3,297.25	
05SEP17	B 1 PD05DEC17	3132.50	3265.89	USD	3,334.75	
05SEP17	B 1 PD05DEC17	3135.00	3265.89	USD	3,272.25	
	NET 0 AVG BGHT 3160.61 SOLD 3176.29				**5,487.50**	**0.00**
06SEP17	B 1 PD06DEC17	3104.50	3266.00	USD	4,037.50	
06SEP17	B 2 PD06DEC17	3103.00	3266.00	USD	8,150.00	
06SEP17	S 1 PD06DEC17	3096.00	3266.00	USD	-4,250.00	
06SEP17	S 1 PD06DEC17	3096.50	3266.00	USD	-4,237.50	
06SEP17	S 1 PD06DEC17	3097.00	3266.00	USD	-4,225.00	
	NET 0 AVG BGHT 3103.50 SOLD 3096.50				**-525.00**	**0.00**
07SEP17	S 1 PD07DEC17	3090.00	3266.11	USD	-4,402.75	
07SEP17	B 1 PD07DEC17	3122.50	3266.11	USD	3,590.25	
	NET 0 AVG BGHT 3122.50 SOLD 3090.00				**-812.50**	**0.00**
08SEP17	B 2 PD08DEC17	3142.50	3266.21	USD	6,185.50	
08SEP17	B 1 PD08DEC17	3141.50	3266.21	USD	3,117.75	
08SEP17	B 4 PD08DEC17	3142.00	3266.21	USD	12,421.00	
08SEP17	B 2 PD08DEC17	3141.00	3266.21	USD	6,260.50	
08SEP17	B 1 PD08DEC17	3138.00	3266.21	USD	3,205.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
08SEP17	B 1 PD08DEC17	3109.00	3266.21	USD	3,930.25	
08SEP17	B 1 PD08DEC17	3105.50	3266.21	USD	4,017.75	
08SEP17	B 1 PD08DEC17	3104.50	3266.21	USD	4,042.75	
08SEP17	B 1 PD08DEC17	3103.50	3266.21	USD	4,067.75	
08SEP17	B 2 PD08DEC17	3101.00	3266.21	USD	8,260.50	
08SEP17	B 1 PD08DEC17	3097.00	3266.21	USD	4,230.25	
08SEP17	B 1 PD08DEC17	3083.00	3266.21	USD	4,580.25	
08SEP17	S 1 PD08DEC17	3094.50	3266.21	USD	-4,292.75	
08SEP17	S 1 PD08DEC17	3088.00	3266.21	USD	-4,455.25	
08SEP17	B 1 PD08DEC17	3090.00	3266.21	USD	4,405.25	
08SEP17	S 1 PD08DEC17	3094.00	3266.21	USD	-4,305.25	
08SEP17	B 1 PD08DEC17	3143.00	3266.21	USD	3,080.25	
08SEP17	B 1 PD08DEC17	3103.50	3266.21	USD	4,067.75	
08SEP17	S 1 PD08DEC17	3095.50	3266.21	USD	-4,267.75	
08SEP17	S 1 PD08DEC17	3097.00	3266.21	USD	-4,230.25	
08SEP17	S 1 PD08DEC17	3097.50	3266.21	USD	-4,217.75	
08SEP17	S 1 PD08DEC17	3074.50	3266.21	USD	-4,792.75	
08SEP17	S 1 PD08DEC17	3075.50	3266.21	USD	-4,767.75	
08SEP17	S 1 PD08DEC17	3076.00	3266.21	USD	-4,755.25	
08SEP17	S 1 PD08DEC17	3076.50	3266.21	USD	-4,742.75	
08SEP17	S 1 PD08DEC17	3079.00	3266.21	USD	-4,680.25	
08SEP17	S 1 PD08DEC17	3078.00	3266.21	USD	-4,705.25	
08SEP17	S 1 PD08DEC17	3080.00	3266.21	USD	-4,655.25	
08SEP17	S 1 PD08DEC17	3085.00	3266.21	USD	-4,530.25	
08SEP17	S 4 PD08DEC17	3074.00	3266.21	USD	-19,221.00	
08SEP17	S 3 PD08DEC17	3071.50	3266.21	USD	-14,603.25	
	NET 0 AVG BGHT 3121.69 SOLD 3081.02				**-21,350.00**	**0.00**
11SEP17	S 1 PD11DEC17	3064.00	3266.54	USD	-5,063.50	
11SEP17	S 1 PD11DEC17	3076.50	3266.54	USD	-4,751.00	
11SEP17	S 1 PD11DEC17	3077.50	3266.54	USD	-4,726.00	
11SEP17	S 2 PD11DEC17	3076.00	3266.54	USD	-9,527.00	
11SEP17	B 1 PD11DEC17	3083.50	3266.54	USD	4,576.00	
11SEP17	B 1 PD11DEC17	3082.00	3266.54	USD	4,613.50	
11SEP17	B 1 PD11DEC17	3083.00	3266.54	USD	4,588.50	
11SEP17	B 1 PD11DEC17	3082.50	3266.54	USD	4,601.00	
11SEP17	S 1 PD11DEC17	3094.00	3266.54	USD	-4,313.50	
11SEP17	S 1 PD11DEC17	3095.00	3266.54	USD	-4,288.50	
11SEP17	S 1 PD11DEC17	3093.00	3266.54	USD	-4,338.50	
11SEP17	B 1 PD11DEC17	3096.50	3266.54	USD	4,251.00	
11SEP17	B 1 PD11DEC17	3102.50	3266.54	USD	4,101.00	
11SEP17	B 1 PD11DEC17	3102.00	3266.54	USD	4,113.50	
11SEP17	B 1 PD11DEC17	3099.50	3266.54	USD	4,176.00	
	NET 0 AVG BGHT 3091.44 SOLD 3081.50				**-1,987.50**	**0.00**
12SEP17	B 1 PD12DEC17	3081.00	3266.64	USD	4,641.00	
12SEP17	S 1 PD12DEC17	3082.50	3266.64	USD	-4,603.50	
12SEP17	S 1 PD12DEC17	3080.50	3266.64	USD	-4,653.50	
12SEP17	S 1 PD12DEC17	3083.50	3266.64	USD	-4,578.50	
12SEP17	S 2 PD12DEC17	3084.00	3266.64	USD	-9,132.00	
12SEP17	B 1 PD12DEC17	3088.00	3266.64	USD	4,466.00	
12SEP17	B 1 PD12DEC17	3088.50	3266.64	USD	4,453.50	
12SEP17	B 2 PD12DEC17	3089.00	3266.64	USD	8,882.00	
12SEP17	B 1 PD12DEC17	3090.00	3266.64	USD	4,416.00	
12SEP17	B 1 PD12DEC17	3091.00	3266.64	USD	4,391.00	
12SEP17	B 1 PD12DEC17	3091.50	3266.64	USD	4,378.50	
12SEP17	B 1 PD12DEC17	3087.50	3266.64	USD	4,478.50	
12SEP17	S 1 PD12DEC17	3087.00	3266.64	USD	-4,491.00	
12SEP17	S 1 PD12DEC17	3089.00	3266.64	USD	-4,441.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
12SEP17	S 1 PD12DEC17	3091.50	3266.64	USD	-4,378.50	
12SEP17	S 1 PD12DEC17	3090.00	3266.64	USD	-4,416.00	
12SEP17	B 1 PD12DEC17	3090.50	3266.64	USD	4,403.50	
12SEP17	S 2 PD12DEC17	3089.50	3266.64	USD	-8,857.00	
12SEP17	B 2 PD12DEC17	3067.00	3266.64	USD	9,982.00	
12SEP17	S 1 PD12DEC17	3062.50	3266.64	USD	-5,103.50	
12SEP17	B 1 PD12DEC17	3092.50	3266.64	USD	4,353.50	
12SEP17	S 1 PD12DEC17	3055.00	3266.64	USD	-5,291.00	
12SEP17	S 1 PD12DEC17	3064.50	3266.64	USD	-5,053.50	
12SEP17	B 1 PD12DEC17	3073.50	3266.64	USD	4,828.50	
12SEP17	S 1 PD12DEC17	3073.50	3266.64	USD	-4,828.50	
12SEP17	B 1 PD12DEC17	3067.50	3266.64	USD	4,978.50	
12SEP17	B 1 PD12DEC17	3062.50	3266.64	USD	5,103.50	
12SEP17	S 1 PD12DEC17	3071.00	3266.64	USD	-4,891.00	
	NET 0 AVG BGHT 3082.25 SOLD 3079.84				**-962.50**	**0.00**
13SEP17	S 2 PD13DEC17	3050.50	3266.75	USD	-10,812.50	
13SEP17	S 1 PD13DEC17	3051.50	3266.75	USD	-5,381.25	
13SEP17	S 3 PD13DEC17	3050.00	3266.75	USD	-16,256.25	
13SEP17	S 1 PD13DEC17	3063.00	3266.75	USD	-5,093.75	
13SEP17	S 1 PD13DEC17	3063.50	3266.75	USD	-5,081.25	
13SEP17	S 2 PD13DEC17	3053.50	3266.75	USD	-10,662.50	
13SEP17	B 1 PD13DEC17	3052.50	3266.75	USD	5,356.25	
13SEP17	S 2 PD13DEC17	3058.00	3266.75	USD	-10,437.50	
13SEP17	B 2 PD13DEC17	3044.00	3266.75	USD	11,137.50	
13SEP17	B 1 PD13DEC17	3045.50	3266.75	USD	5,531.25	
13SEP17	B 1 PD13DEC17	3046.00	3266.75	USD	5,518.75	
13SEP17	B 5 PD13DEC17	3044.50	3266.75	USD	27,781.25	
13SEP17	S 1 PD13DEC17	3052.50	3266.75	USD	-5,356.25	
13SEP17	B 1 PD13DEC17	3049.00	3266.75	USD	5,443.75	
13SEP17	B 1 PD13DEC17	3047.50	3266.75	USD	5,481.25	
13SEP17	S 2 PD13DEC17	3052.00	3266.75	USD	-10,737.50	
13SEP17	B 2 PD13DEC17	3053.50	3266.75	USD	10,662.50	
13SEP17	B 1 PD13DEC17	3044.50	3266.75	USD	5,556.25	
	NET 0 AVG BGHT 3046.83 SOLD 3053.90				**2,650.00**	**0.00**
14SEP17	S 1 PD14DEC17	3031.50	3266.86	USD	-5,884.00	
14SEP17	B 1 PD14DEC17	3025.50	3266.86	USD	6,034.00	
14SEP17	B 1 PD14DEC17	3021.50	3266.86	USD	6,134.00	
14SEP17	B 2 PD14DEC17	3012.50	3266.86	USD	12,718.00	
14SEP17	S 1 PD14DEC17	3018.50	3266.86	USD	-6,209.00	
14SEP17	S 1 PD14DEC17	3016.50	3266.86	USD	-6,259.00	
14SEP17	S 1 PD14DEC17	3015.50	3266.86	USD	-6,284.00	
14SEP17	B 1 PD14DEC17	3008.50	3266.86	USD	6,459.00	
14SEP17	S 1 PD14DEC17	3001.00	3266.86	USD	-6,646.50	
14SEP17	B 1 PD14DEC17	2983.50	3266.86	USD	7,084.00	
14SEP17	S 1 PD14DEC17	3008.00	3266.86	USD	-6,471.50	
14SEP17	B 1 PD14DEC17	3000.50	3266.86	USD	6,659.00	
19SEP17	S 1 PD14DEC17	3105.64	3266.86	USD	-4,030.50	
	NET 0 AVG BGHT 3009.21 SOLD 3028.09				**3,303.50**	**0.00**
15SEP17	B 1 PD15DEC17	3012.50	3266.96	USD	6,361.50	
15SEP17	S 1 PD15DEC17	3012.00	3266.96	USD	-6,374.00	
15SEP17	B 1 PD15DEC17	3011.00	3266.96	USD	6,399.00	
15SEP17	B 1 PD15DEC17	3019.50	3266.96	USD	6,186.50	
15SEP17	B 2 PD15DEC17	3022.00	3266.96	USD	12,248.00	
15SEP17	B 1 PD15DEC17	3021.50	3266.96	USD	6,136.50	
15SEP17	B 1 PD15DEC17	3020.50	3266.96	USD	6,161.50	
15SEP17	B 1 PD15DEC17	3021.00	3266.96	USD	6,149.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
15SEP17	B	1	PD15DEC17	3022.50	3266.96	USD	6,111.50	
15SEP17	S	1	PD15DEC17	3017.00	3266.96	USD	-6,249.00	
15SEP17	B	1	PD15DEC17	3015.00	3266.96	USD	6,299.00	
15SEP17	S	1	PD15DEC17	3016.50	3266.96	USD	-6,261.50	
15SEP17	S	1	PD15DEC17	3014.50	3266.96	USD	-6,311.50	
15SEP17	S	1	PD15DEC17	3021.00	3266.96	USD	-6,149.00	
15SEP17	S	2	PD15DEC17	3022.50	3266.96	USD	-12,223.00	
15SEP17	S	1	PD15DEC17	3027.00	3266.96	USD	-5,999.00	
15SEP17	S	1	PD15DEC17	3030.50	3266.96	USD	-5,911.50	
15SEP17	S	1	PD15DEC17	3031.00	3266.96	USD	-5,899.00	
15SEP17	B	1	PD15DEC17	3026.00	3266.96	USD	6,024.00	
15SEP17	B	1	PD15DEC17	3005.00	3266.96	USD	6,549.00	
15SEP17	B	1	PD15DEC17	3018.00	3266.96	USD	6,224.00	
15SEP17	S	1	PD15DEC17	3018.50	3266.96	USD	-6,211.50	
15SEP17	S	1	PD15DEC17	3035.00	3266.96	USD	-5,799.00	
15SEP17	S	1	PD15DEC17	3034.50	3266.96	USD	-5,811.50	
15SEP17	S	1	PD15DEC17	3034.00	3266.96	USD	-5,824.00	
15SEP17	B	1	PD15DEC17	3031.50	3266.96	USD	5,886.50	
15SEP17	B	3	PD15DEC17	3032.00	3266.96	USD	17,622.00	
15SEP17	B	4	PD15DEC17	3031.00	3266.96	USD	23,596.00	
15SEP17	B	2	PD15DEC17	3030.50	3266.96	USD	11,823.00	
15SEP17	B	1	PD15DEC17	3031.00	3266.96	USD	5,899.00	
15SEP17	B	1	PD15DEC17	3031.50	3266.96	USD	5,886.50	
19SEP17	S	11	PD15DEC17	3105.86	3266.96	USD	-44,302.50	
	NET	**0**	**AVG BGHT 3024.46**	**SOLD 3060.04**			**22,236.50**	**0.00**
18SEP17	B	1	PD18DEC17	3074.50	3267.29	USD	4,819.75	
18SEP17	S	1	PD18DEC17	3085.50	3267.29	USD	-4,544.75	
18SEP17	B	1	PD18DEC17	3075.50	3267.29	USD	4,794.75	
18SEP17	B	1	PD18DEC17	3069.50	3267.29	USD	4,944.75	
18SEP17	B	1	PD18DEC17	3068.00	3267.29	USD	4,982.25	
18SEP17	B	1	PD18DEC17	3062.00	3267.29	USD	5,132.25	
18SEP17	B	1	PD18DEC17	3061.00	3267.29	USD	5,157.25	
18SEP17	S	2	PD18DEC17	3077.50	3267.29	USD	-9,489.50	
18SEP17	S	4	PD18DEC17	3080.50	3267.29	USD	-18,679.00	
18SEP17	S	2	PD18DEC17	3082.50	3267.29	USD	-9,239.50	
18SEP17	S	8	PD18DEC17	3081.00	3267.29	USD	-37,258.00	
18SEP17	S	2	PD18DEC17	3075.50	3267.29	USD	-9,589.50	
18SEP17	B	1	PD18DEC17	3068.00	3267.29	USD	4,982.25	
19SEP17	B	12	PD18DEC17	3106.70	3267.29	USD	48,177.00	
	NET	**0**	**AVG BGHT 3092.57**	**SOLD 3080.34**			**-5,810.00**	**0.00**
19SEP17	S	2	PD19DEC17	3105.50	3267.39	USD	-8,094.50	
19SEP17	S	1	PD19DEC17	3095.50	3267.39	USD	-4,297.25	
19SEP17	B	1	PD19DEC17	3087.50	3267.39	USD	4,497.25	
19SEP17	S	1	PD19DEC17	3088.00	3267.39	USD	-4,484.75	
19SEP17	B	1	PD19DEC17	3078.00	3267.39	USD	4,734.75	
19SEP17	B	1	PD19DEC17	3076.50	3267.39	USD	4,772.25	
19SEP17	B	1	PD19DEC17	3075.50	3267.39	USD	4,797.25	
	NET	**0**	**AVG BGHT 3079.38**	**SOLD 3098.63**			**1,925.00**	**0.00**
20SEP17	S	1	PD20DEC17	3130.50	3267.50	USD	-3,425.00	
20SEP17	S	1	PD20DEC17	3120.50	3267.50	USD	-3,675.00	
20SEP17	S	2	PD20DEC17	3125.00	3267.50	USD	-7,125.00	
20SEP17	S	1	PD20DEC17	3124.00	3267.50	USD	-3,587.50	
20SEP17	S	5	PD20DEC17	3125.50	3267.50	USD	-17,750.00	
20SEP17	S	1	PD20DEC17	3137.50	3267.50	USD	-3,250.00	
20SEP17	S	1	PD20DEC17	3136.50	3267.50	USD	-3,275.00	
20SEP17	S	1	PD20DEC17	3138.50	3267.50	USD	-3,225.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
20SEP17	S	1	PD20DEC17	3140.00	3267.50	USD	-3,187.50	
20SEP17	S	1	PD20DEC17	3144.00	3267.50	USD	-3,087.50	
20SEP17	S	1	PD20DEC17	3148.00	3267.50	USD	-2,987.50	
20SEP17	S	1	PD20DEC17	3149.50	3267.50	USD	-2,950.00	
20SEP17	S	1	PD20DEC17	3139.00	3267.50	USD	-3,212.50	
20SEP17	B	10	PD20DEC17	3146.65	3267.50	USD	30,212.50	
20SEP17	B	5	PD20DEC17	3146.90	3267.50	USD	15,075.00	
20SEP17	B	3	PD20DEC17	3132.20	3267.50	USD	10,147.50	
	NET	**0**	**AVG BGHT 3144.31**	**SOLD 3132.53**			**-5,302.50**	**0.00**
21SEP17	S	1	PD21DEC17	3078.50	3264.00	USD	-4,637.50	
21SEP17	S	1	PD21DEC17	3076.50	3264.00	USD	-4,687.50	
21SEP17	S	1	PD21DEC17	3078.00	3264.00	USD	-4,650.00	
21SEP17	S	1	PD21DEC17	3081.50	3264.00	USD	-4,562.50	
21SEP17	S	1	PD21DEC17	3084.50	3264.00	USD	-4,487.50	
21SEP17	B	1	PD21DEC17	3097.50	3264.00	USD	4,162.50	
21SEP17	B	1	PD21DEC17	3084.00	3264.00	USD	4,500.00	
21SEP17	B	1	PD21DEC17	3035.50	3264.00	USD	5,712.50	
21SEP17	B	1	PD21DEC17	3034.00	3264.00	USD	5,750.00	
21SEP17	B	1	PD21DEC17	3031.00	3264.00	USD	5,825.00	
	NET	**0**	**AVG BGHT 3056.40**	**SOLD 3079.80**			**2,925.00**	**0.00**
22SEP17	B	1	PD22DEC17	3027.00	3264.00	USD	5,925.00	
22SEP17	B	2	PD22DEC17	3026.50	3264.00	USD	11,875.00	
22SEP17	B	2	PD22DEC17	3030.50	3264.00	USD	11,675.00	
22SEP17	S	2	PD22DEC17	3025.00	3264.00	USD	-11,950.00	
22SEP17	B	1	PD22DEC17	3018.50	3264.00	USD	6,137.50	
22SEP17	B	1	PD22DEC17	3017.50	3264.00	USD	6,162.50	
22SEP17	B	2	PD22DEC17	3014.00	3264.00	USD	12,500.00	
22SEP17	B	2	PD22DEC17	3011.50	3264.00	USD	12,625.00	
22SEP17	B	2	PD22DEC17	3008.50	3264.00	USD	12,775.00	
22SEP17	B	1	PD22DEC17	3005.50	3264.00	USD	6,462.50	
22SEP17	B	1	PD22DEC17	3006.00	3264.00	USD	6,450.00	
22SEP17	S	2	PD22DEC17	3018.50	3264.00	USD	-12,275.00	
22SEP17	S	1	PD22DEC17	3020.00	3264.00	USD	-6,100.00	
	NET	**+10**	**AVG BGHT 3017.10**	**SOLD 3021.40**			**62,262.50**	**0.00**
25SEP17	S	1	PD27DEC17	3080.00	3264.00	USD	-4,600.00	
25SEP17	S	2	PD27DEC17	3073.50	3264.00	USD	-9,525.00	
25SEP17	S	2	PD27DEC17	3074.00	3264.00	USD	-9,500.00	
25SEP17	S	1	PD27DEC17	3076.00	3264.00	USD	-4,700.00	
25SEP17	S	3	PD27DEC17	3087.50	3264.00	USD	-13,237.50	
25SEP17	S	1	PD27DEC17	3084.50	3264.00	USD	-4,487.50	
25SEP17	S	1	PD27DEC17	3087.00	3264.00	USD	-4,425.00	
25SEP17	B	1	PD27DEC17	3100.50	3264.00	USD	4,087.50	
25SEP17	B	1	PD27DEC17	3111.50	3264.00	USD	3,812.50	
25SEP17	B	1	PD27DEC17	3097.50	3264.00	USD	4,162.50	
26SEP17	S	1	PD27DEC17	3121.50	3264.00	USD	-3,562.50	
26SEP17	B	1	PD27DEC17	3125.50	3264.00	USD	3,462.50	
26SEP17	B	1	PD27DEC17	3116.00	3264.00	USD	3,700.00	
26SEP17	S	1	PD27DEC17	3117.50	3264.00	USD	-3,662.50	
	NET	**-8**	**AVG BGHT 3110.20**	**SOLD 3086.46**			**-38,475.00**	**0.00**
28SEP17	S	1	PD28DEC17	3103.50	3264.00	USD	-4,012.50	
28SEP17	B	1	PD28DEC17	3103.50	3264.00	USD	4,012.50	
28SEP17	S	1	PD28DEC17	3110.50	3264.00	USD	-3,837.50	
28SEP17	B	1	PD28DEC17	3134.50	3264.00	USD	3,237.50	
	NET	**0**	**AVG BGHT 3119.00**	**SOLD 3107.00**			**-600.00**	**0.00**
29SEP17	S	1	PD29DEC17	3147.00	3264.00	USD	-2,925.00	
29SEP17	S	1	PD29DEC17	3151.00	3264.00	USD	-2,825.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
29SEP17	S 1 PD29DEC17	3160.00	3264.00	USD	-2,600.00	
29SEP17	B 1 PD29DEC17	3160.00	3264.00	USD	2,600.00	
	NET -2 AVG BGHT 3160.00 SOLD 3152.67				**-5,750.00**	**0.00**
03OCT17	S 1 PD03JAN18	3218.00	3264.00	USD	-1,150.00	
03OCT17	S 1 PD03JAN18	3217.50	3264.00	USD	-1,162.50	
03OCT17	S 1 PD03JAN18	3221.00	3264.00	USD	-1,075.00	
03OCT17	S 3 PD03JAN18	3220.00	3264.00	USD	-3,300.00	
03OCT17	S 2 PD03JAN18	3222.50	3264.00	USD	-2,075.00	
03OCT17	S 2 PD03JAN18	3224.50	3264.00	USD	-1,975.00	
03OCT17	S 2 PD03JAN18	3225.50	3264.00	USD	-1,925.00	
03OCT17	S 1 PD03JAN18	3241.00	3264.00	USD	-575.00	
03OCT17	S 3 PD03JAN18	3239.50	3264.00	USD	-1,837.50	
03OCT17	S 1 PD03JAN18	3240.00	3264.00	USD	-600.00	
03OCT17	S 1 PD03JAN18	3239.00	3264.00	USD	-625.00	
03OCT17	S 2 PD03JAN18	3217.00	3264.00	USD	-2,350.00	
03OCT17	B 5 PD03JAN18	3239.65	3264.00	USD	3,043.75	
03OCT17	B 5 PD03JAN18	3239.40	3264.00	USD	3,075.00	
03OCT17	B 10 PD03JAN18	3239.90	3264.00	USD	6,025.00	
03OCT17	B 1 PD03JAN18	3268.50	3264.00	USD	-112.50	
03OCT17	B 1 PD03JAN18	3277.50	3264.00	USD	-337.50	
03OCT17	B 16 PD03JAN18	3278.00	3264.00	USD	-5,600.00	
03OCT17	B 1 PD03JAN18	3278.50	3264.00	USD	-362.50	
03OCT17	B 4 PD03JAN18	3280.00	3264.00	USD	-1,600.00	
03OCT17	B 2 PD03JAN18	3279.50	3264.00	USD	-775.00	
03OCT17	B 1 PD03JAN18	3282.00	3264.00	USD	-450.00	
03OCT17	B 5 PD03JAN18	3281.00	3264.00	USD	-2,125.00	
03OCT17	B 2 PD03JAN18	3278.50	3264.00	USD	-725.00	
03OCT17	B 1 PD03JAN18	3279.50	3264.00	USD	-387.50	
03OCT17	B 2 PD03JAN18	3280.50	3264.00	USD	-825.00	
03OCT17	B 2 PD03JAN18	3281.00	3264.00	USD	-850.00	
	NET +38 AVG BGHT 3265.38 SOLD 3226.70				**-20,656.25**	**0.00**

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	1,679,852.00
LME Lead 25 tonnes. This is an	FUTURES	827,509.00
LME Nickel 6 tonnes. This is	FUTURES	-1,288,950.48
LME Zinc 25 tonnes. This is	FUTURES	-137,230.75

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards			Amount	Profit / Loss	**Total Amount**	**Total P&L**
ALUL	- Futures	USD	-13,730.00	-13,730.00		
LEAD	- Futures	USD	-78,393.00	-78,393.00		
NKL	- Futures	USD	42,045.48	42,045.48		
ZINC	- Futures	USD	-146,255.75	-146,255.75	**-196,333.27**	**-196,333.27**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		664,602.25	664,602.25
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**664,602.25**	**664,602.25**
Initial Margins		-541,437.27	-541,437.27
Excess/(Shortage)		**123,164.98**	**123,164.98**
FOR INFORMATION ONLY			
Forward Unrealised P&L		-196,333.27	-196,333.27
Net Liquidating Value		**468,268.98**	**468,268.98**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
04JUL17	B 1 PD04OCT17	1920.50	2113.92	USD	4,835.50	
04JUL17	B 2 PD04OCT17	1919.50	2113.92	USD	9,721.00	
04JUL17	B 2 PD04OCT17	1916.50	2113.92	USD	9,871.00	
04JUL17	S 1 PD04OCT17	1926.00	2113.92	USD	-4,698.00	
04JUL17	S 1 PD04OCT17	1926.50	2113.92	USD	-4,685.50	
04JUL17	B 1 PD04OCT17	1928.50	2113.92	USD	4,635.50	
05JUL17	S 4 PD04OCT17	1928.66	2113.92	USD	-18,526.00	
	NET 0 AVG BGHT 1920.17 SOLD 1927.86				**1,153.50**	**0.00**
05JUL17	S 1 PD05OCT17	1929.00	2114.25	USD	-4,631.25	
05JUL17	S 2 PD05OCT17	1928.50	2114.25	USD	-9,287.50	
05JUL17	S 1 PD05OCT17	1929.50	2114.25	USD	-4,618.75	
05JUL17	S 2 PD05OCT17	1931.50	2114.25	USD	-9,137.50	
05JUL17	B 1 PD05OCT17	1924.00	2114.25	USD	4,756.25	
05JUL17	B 1 PD05OCT17	1920.50	2114.25	USD	4,843.75	
10JUL17	B 4 PD05OCT17	1896.58	2114.25	USD	21,767.00	
	NET 0 AVG BGHT 1905.14 SOLD 1929.75				**3,692.00**	**0.00**
06JUL17	S 1 PD06OCT17	1930.50	2114.58	USD	-4,602.00	
06JUL17	S 1 PD06OCT17	1934.00	2114.58	USD	-4,514.50	
06JUL17	S 1 PD06OCT17	1936.50	2114.58	USD	-4,452.00	
06JUL17	S 1 PD06OCT17	1939.00	2114.58	USD	-4,389.50	
06JUL17	S 2 PD06OCT17	1942.00	2114.58	USD	-8,629.00	
07JUL17	B 5 PD06OCT17	1941.00	2114.58	USD	21,697.50	
07JUL17	B 1 PD06OCT17	1939.50	2114.58	USD	4,377.00	
07JUL17	B 1 PD06OCT17	1935.00	2114.58	USD	4,489.50	
07JUL17	B 1 PD06OCT17	1932.50	2114.58	USD	4,552.00	
07JUL17	B 1 PD06OCT17	1930.50	2114.58	USD	4,602.00	
07JUL17	B 1 PD06OCT17	1928.00	2114.58	USD	4,664.50	
10JUL17	S 4 PD06OCT17	1896.47	2114.58	USD	-21,811.00	
	NET 0 AVG BGHT 1937.05 SOLD 1920.99				**-4,015.50**	**0.00**
10JUL17	S 1 PD10OCT17	1927.00	2115.92	USD	-4,723.00	
10JUL17	S 1 PD10OCT17	1929.00	2115.92	USD	-4,673.00	
10JUL17	S 1 PD10OCT17	1928.50	2115.92	USD	-4,685.50	
10JUL17	B 1 PD10OCT17	1918.50	2115.92	USD	4,935.50	
10JUL17	B 1 PD10OCT17	1918.00	2115.92	USD	4,948.00	
10JUL17	B 1 PD10OCT17	1917.50	2115.92	USD	4,960.50	
10JUL17	B 1 PD10OCT17	1915.00	2115.92	USD	5,023.00	
10JUL17	S 1 PD10OCT17	1918.50	2115.92	USD	-4,935.50	
10JUL17	B 2 PD10OCT17	1915.50	2115.92	USD	10,021.00	
10JUL17	B 1 PD10OCT17	1913.00	2115.92	USD	5,073.00	
10JUL17	B 1 PD10OCT17	1908.50	2115.92	USD	5,185.50	
10JUL17	B 1 PD10OCT17	1908.00	2115.92	USD	5,198.00	
10JUL17	S 1 PD10OCT17	1908.00	2115.92	USD	-5,198.00	
10JUL17	B 1 PD10OCT17	1906.00	2115.92	USD	5,248.00	
12JUL17	S 5 PD10OCT17	1888.75	2115.92	USD	-28,396.25	
	NET 0 AVG BGHT 1913.55 SOLD 1905.48				**-2,018.75**	**0.00**
11JUL17	S 1 PD11OCT17	1892.00	2116.25	USD	-5,606.25	
11JUL17	S 1 PD11OCT17	1894.00	2116.25	USD	-5,556.25	
11JUL17	S 1 PD11OCT17	1902.50	2116.25	USD	-5,343.75	
11JUL17	S 1 PD11OCT17	1903.00	2116.25	USD	-5,331.25	
11JUL17	S 1 PD11OCT17	1898.00	2116.25	USD	-5,456.25	
11JUL17	S 1 PD11OCT17	1899.50	2116.25	USD	-5,418.75	
12JUL17	B 5 PD11OCT17	1889.08	2116.25	USD	28,396.25	
13JUL17	B 1 PD11OCT17	1922.79	2116.25	USD	4,836.50	
	NET 0 AVG BGHT 1894.70 SOLD 1898.17				**520.25**	**0.00**
12JUL17	B 2 PD12OCT17	1902.50	2116.25	USD	10,687.50	
12JUL17	S 1 PD12OCT17	1904.00	2116.25	USD	-5,306.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
12JUL17	B 1 PD12OCT17	1896.00	2116.25	USD	5,506.25	
12JUL17	B 2 PD12OCT17	1892.00	2116.25	USD	11,212.50	
12JUL17	B 1 PD12OCT17	1893.50	2116.25	USD	5,568.75	
12JUL17	S 1 PD12OCT17	1899.00	2116.25	USD	-5,431.25	
12JUL17	S 1 PD12OCT17	1899.50	2116.25	USD	-5,418.75	
12JUL17	B 1 PD12OCT17	1888.00	2116.25	USD	5,706.25	
12JUL17	S 1 PD12OCT17	1885.00	2116.25	USD	-5,781.25	
12JUL17	B 1 PD12OCT17	1882.50	2116.25	USD	5,843.75	
12JUL17	S 1 PD12OCT17	1888.50	2116.25	USD	-5,693.75	
12JUL17	S 1 PD12OCT17	1889.00	2116.25	USD	-5,681.25	
13JUL17	S 1 PD12OCT17	1922.69	2116.25	USD	-4,839.00	
18JUL17	S 1 PD12OCT17	1929.74	2116.25	USD	-4,662.75	
	NET 0 AVG BGHT 1893.63 SOLD 1902.18				**1,710.75**	**0.00**
13JUL17	S 1 PD13OCT17	1898.50	2116.25	USD	-5,443.75	
13JUL17	S 1 PD13OCT17	1902.50	2116.25	USD	-5,343.75	
13JUL17	B 1 PD13OCT17	1901.50	2116.25	USD	5,368.75	
13JUL17	S 1 PD13OCT17	1903.00	2116.25	USD	-5,331.25	
13JUL17	S 2 PD13OCT17	1908.00	2116.25	USD	-10,412.50	
13JUL17	S 1 PD13OCT17	1916.50	2116.25	USD	-4,993.75	
13JUL17	S 2 PD13OCT17	1923.00	2116.25	USD	-9,662.50	
13JUL17	S 1 PD13OCT17	1930.00	2116.25	USD	-4,656.25	
13JUL17	S 1 PD13OCT17	1940.00	2116.25	USD	-4,406.25	
13JUL17	S 3 PD13OCT17	1938.00	2116.25	USD	-13,368.75	
13JUL17	S 1 PD13OCT17	1931.00	2116.25	USD	-4,631.25	
13JUL17	S 1 PD13OCT17	1931.50	2116.25	USD	-4,618.75	
13JUL17	S 2 PD13OCT17	1933.50	2116.25	USD	-9,137.50	
13JUL17	B 1 PD13OCT17	1926.00	2116.25	USD	4,756.25	
13JUL17	B 1 PD13OCT17	1926.50	2116.25	USD	4,743.75	
13JUL17	B 2 PD13OCT17	1920.50	2116.25	USD	9,787.50	
13JUL17	B 2 PD13OCT17	1920.00	2116.25	USD	9,812.50	
13JUL17	B 1 PD13OCT17	1924.50	2116.25	USD	4,793.75	
14JUL17	B 1 PD13OCT17	1922.00	2116.25	USD	4,856.25	
14JUL17	B 1 PD13OCT17	1920.50	2116.25	USD	4,893.75	
14JUL17	B 1 PD13OCT17	1920.00	2116.25	USD	4,906.25	
14JUL17	B 1 PD13OCT17	1921.50	2116.25	USD	4,868.75	
14JUL17	S 1 PD13OCT17	1923.00	2116.25	USD	-4,831.25	
14JUL17	B 1 PD13OCT17	1925.00	2116.25	USD	4,781.25	
14JUL17	B 1 PD13OCT17	1924.00	2116.25	USD	4,806.25	
14JUL17	B 1 PD13OCT17	1923.00	2116.25	USD	4,831.25	
14JUL17	B 2 PD13OCT17	1922.50	2116.25	USD	9,687.50	
18JUL17	B 1 PD13OCT17	1930.15	2116.25	USD	4,652.50	
	NET 0 AVG BGHT 1921.70 SOLD 1923.28				**708.75**	**0.00**
17JUL17	S 1 PD17OCT17	1935.00	2116.25	USD	-4,531.25	
17JUL17	S 2 PD17OCT17	1934.00	2116.25	USD	-9,112.50	
17JUL17	S 1 PD17OCT17	1938.50	2116.25	USD	-4,443.75	
17JUL17	S 1 PD17OCT17	1939.50	2116.25	USD	-4,418.75	
17JUL17	S 1 PD17OCT17	1940.00	2116.25	USD	-4,406.25	
17JUL17	B 1 PD17OCT17	1917.00	2116.25	USD	4,981.25	
17JUL17	B 1 PD17OCT17	1915.00	2116.25	USD	5,031.25	
17JUL17	B 1 PD17OCT17	1914.50	2116.25	USD	5,043.75	
17JUL17	B 1 PD17OCT17	1904.50	2116.25	USD	5,293.75	
17JUL17	S 1 PD17OCT17	1912.00	2116.25	USD	-5,106.25	
19JUL17	B 3 PD17OCT17	1920.03	2116.25	USD	14,716.50	
	NET 0 AVG BGHT 1915.87 SOLD 1933.29				**3,047.75**	**0.00**
18JUL17	B 1 PD18OCT17	1906.00	2116.25	USD	5,256.25	
18JUL17	B 1 PD18OCT17	1905.50	2116.25	USD	5,268.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.								
18JUL17	S	1	PD18OCT17		1907.00	2116.25	USD	-5,231.25	
18JUL17	B	1	PD18OCT17		1904.00	2116.25	USD	5,306.25	
18JUL17	B	1	PD18OCT17		1904.50	2116.25	USD	5,293.75	
18JUL17	S	1	PD18OCT17		1909.00	2116.25	USD	-5,181.25	
18JUL17	B	1	PD18OCT17		1900.00	2116.25	USD	5,406.25	
18JUL17	S	1	PD18OCT17		1931.00	2116.25	USD	-4,631.25	
18JUL17	B	1	PD18OCT17		1929.50	2116.25	USD	4,668.75	
19JUL17	S	3	PD18OCT17		1920.05	2116.25	USD	-14,715.00	
	NET	**0**	**AVG BGHT 1908.25**	**SOLD 1917.86**				**1,441.25**	**0.00**
19JUL17	S	1	PD19OCT17		1938.00	2116.64	USD	-4,466.00	
19JUL17	B	1	PD19OCT17		1932.00	2116.64	USD	4,616.00	
19JUL17	S	1	PD19OCT17		1932.00	2116.64	USD	-4,616.00	
19JUL17	B	1	PD19OCT17		1922.00	2116.64	USD	4,866.00	
	NET	**0**	**AVG BGHT 1927.00**	**SOLD 1935.00**				**400.00**	**0.00**
20JUL17	S	4	PD20OCT17		1929.00	2117.03	USD	-18,803.00	
20JUL17	B	1	PD20OCT17		1921.00	2117.03	USD	4,900.75	
20JUL17	B	1	PD20OCT17		1921.50	2117.03	USD	4,888.25	
20JUL17	B	2	PD20OCT17		1920.50	2117.03	USD	9,826.50	
20JUL17	B	1	PD20OCT17		1918.50	2117.03	USD	4,963.25	
20JUL17	B	1	PD20OCT17		1922.00	2117.03	USD	4,875.75	
20JUL17	B	1	PD20OCT17		1920.00	2117.03	USD	4,925.75	
20JUL17	S	2	PD20OCT17		1921.00	2117.03	USD	-9,801.50	
21JUL17	S	1	PD20OCT17		1923.00	2117.03	USD	-4,850.75	
21JUL17	S	1	PD20OCT17		1926.00	2117.03	USD	-4,775.75	
21JUL17	S	3	PD20OCT17		1925.50	2117.03	USD	-14,364.75	
21JUL17	S	1	PD20OCT17		1927.00	2117.03	USD	-4,750.75	
21JUL17	S	1	PD20OCT17		1924.50	2117.03	USD	-4,813.25	
21JUL17	S	1	PD20OCT17		1928.00	2117.03	USD	-4,725.75	
21JUL17	S	1	PD20OCT17		1929.00	2117.03	USD	-4,700.75	
21JUL17	B	1	PD20OCT17		1927.50	2117.03	USD	4,738.25	
21JUL17	B	2	PD20OCT17		1926.00	2117.03	USD	9,551.50	
21JUL17	B	5	PD20OCT17		1928.50	2117.03	USD	23,566.25	
	NET	**0**	**AVG BGHT 1924.40**	**SOLD 1926.13**				**650.00**	**0.00**
25JUL17	S	1	PD25OCT17		1922.00	2118.97	USD	-4,924.25	
25JUL17	B	1	PD25OCT17		1917.50	2118.97	USD	5,036.75	
25JUL17	B	2	PD25OCT17		1916.50	2118.97	USD	10,123.50	
25JUL17	S	2	PD25OCT17		1923.50	2118.97	USD	-9,773.50	
25JUL17	S	1	PD25OCT17		1939.00	2118.97	USD	-4,499.25	
25JUL17	B	1	PD25OCT17		1931.50	2118.97	USD	4,686.75	
25JUL17	S	2	PD25OCT17		1931.00	2118.97	USD	-9,398.50	
25JUL17	S	2	PD25OCT17		1935.00	2118.97	USD	-9,198.50	
25JUL17	B	1	PD25OCT17		1940.00	2118.97	USD	4,474.25	
01AUG17	B	3	PD25OCT17		1904.98	2118.97	USD	16,049.25	
	NET	**0**	**AVG BGHT 1917.12**	**SOLD 1930.00**				**2,576.50**	**0.00**
26JUL17	S	3	PD26OCT17		1958.00	2119.36	USD	-12,102.00	
26JUL17	B	1	PD26OCT17		1952.00	2119.36	USD	4,184.00	
26JUL17	B	1	PD26OCT17		1943.00	2119.36	USD	4,409.00	
26JUL17	B	1	PD26OCT17		1938.50	2119.36	USD	4,521.50	
26JUL17	B	1	PD26OCT17		1939.50	2119.36	USD	4,496.50	
26JUL17	B	1	PD26OCT17		1935.00	2119.36	USD	4,609.00	
26JUL17	B	1	PD26OCT17		1945.50	2119.36	USD	4,346.50	
01AUG17	S	3	PD26OCT17		1905.07	2119.36	USD	-16,071.75	
	NET	**0**	**AVG BGHT 1942.25**	**SOLD 1931.54**				**-1,607.25**	**0.00**
27JUL17	B	1	PD27OCT17		1933.00	2119.75	USD	4,668.75	
27JUL17	S	1	PD27OCT17		1939.50	2119.75	USD	-4,506.25	
27JUL17	S	1	PD27OCT17		1955.00	2119.75	USD	-4,118.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
27JUL17	S 1 PD27OCT17	1954.50	2119.75	USD	-4,131.25	
27JUL17	S 1 PD27OCT17	1955.50	2119.75	USD	-4,106.25	
27JUL17	S 1 PD27OCT17	1956.50	2119.75	USD	-4,081.25	
27JUL17	B 1 PD27OCT17	1940.00	2119.75	USD	4,493.75	
27JUL17	B 1 PD27OCT17	1938.00	2119.75	USD	4,543.75	
28JUL17	B 1 PD27OCT17	1932.50	2119.75	USD	4,681.25	
28JUL17	B 1 PD27OCT17	1930.50	2119.75	USD	4,731.25	
28JUL17	B 1 PD27OCT17	1925.50	2119.75	USD	4,856.25	
28JUL17	S 1 PD27OCT17	1932.50	2119.75	USD	-4,681.25	
28JUL17	B 1 PD27OCT17	1919.50	2119.75	USD	5,006.25	
28JUL17	B 1 PD27OCT17	1910.50	2119.75	USD	5,231.25	
28JUL17	S 1 PD27OCT17	1910.00	2119.75	USD	-5,243.75	
28JUL17	S 1 PD27OCT17	1921.50	2119.75	USD	-4,956.25	
	NET 0 AVG BGHT 1928.69 SOLD 1940.63				**2,387.50**	**0.00**
31JUL17	S 2 PD31OCT17	1925.50	2117.75	USD	-9,612.50	
31JUL17	S 3 PD31OCT17	1924.00	2117.75	USD	-14,531.25	
31JUL17	S 1 PD31OCT17	1925.00	2117.75	USD	-4,818.75	
31JUL17	B 2 PD31OCT17	1917.00	2117.75	USD	10,037.50	
31JUL17	S 1 PD31OCT17	1922.00	2117.75	USD	-4,893.75	
31JUL17	B 1 PD31OCT17	1918.50	2117.75	USD	4,981.25	
31JUL17	B 1 PD31OCT17	1917.50	2117.75	USD	5,006.25	
31JUL17	B 3 PD31OCT17	1916.50	2117.75	USD	15,093.75	
31JUL17	B 1 PD31OCT17	1912.50	2117.75	USD	5,131.25	
31JUL17	S 1 PD31OCT17	1920.00	2117.75	USD	-4,943.75	
31JUL17	S 1 PD31OCT17	1920.50	2117.75	USD	-4,931.25	
31JUL17	B 3 PD31OCT17	1916.00	2117.75	USD	15,131.25	
03AUG17	S 1 PD31OCT17	1917.00	2117.75	USD	-5,018.75	
07AUG17	S 1 PD31OCT17	1962.34	2117.75	USD	-3,885.25	
	NET 0 AVG BGHT 1916.36 SOLD 1926.35				**2,746.00**	**0.00**
01AUG17	B 1 PD01NOV17	1917.00	2117.42	USD	5,010.50	
01AUG17	B 1 PD01NOV17	1910.00	2117.42	USD	5,185.50	
01AUG17	B 1 PD01NOV17	1911.50	2117.42	USD	5,148.00	
01AUG17	B 1 PD01NOV17	1908.50	2117.42	USD	5,223.00	
01AUG17	S 1 PD01NOV17	1908.00	2117.42	USD	-5,235.50	
07AUG17	S 3 PD01NOV17	1962.58	2117.42	USD	-11,613.00	
	NET 0 AVG BGHT 1911.75 SOLD 1948.94				**3,718.50**	**0.00**
02AUG17	S 2 PD02NOV17	1916.00	2117.08	USD	-10,054.00	
02AUG17	S 1 PD02NOV17	1921.00	2117.08	USD	-4,902.00	
02AUG17	S 1 PD02NOV17	1922.00	2117.08	USD	-4,877.00	
02AUG17	S 1 PD02NOV17	1923.00	2117.08	USD	-4,852.00	
02AUG17	S 1 PD02NOV17	1924.00	2117.08	USD	-4,827.00	
02AUG17	S 1 PD02NOV17	1927.00	2117.08	USD	-4,752.00	
02AUG17	S 1 PD02NOV17	1927.50	2117.08	USD	-4,739.50	
02AUG17	S 2 PD02NOV17	1931.00	2117.08	USD	-9,304.00	
02AUG17	B 1 PD02NOV17	1921.50	2117.08	USD	4,889.50	
02AUG17	B 1 PD02NOV17	1922.50	2117.08	USD	4,864.50	
02AUG17	S 1 PD02NOV17	1925.00	2117.08	USD	-4,802.00	
02AUG17	B 1 PD02NOV17	1927.50	2117.08	USD	4,739.50	
02AUG17	S 1 PD02NOV17	1928.00	2117.08	USD	-4,727.00	
02AUG17	B 2 PD02NOV17	1929.00	2117.08	USD	9,404.00	
02AUG17	B 1 PD02NOV17	1928.00	2117.08	USD	4,727.00	
02AUG17	B 4 PD02NOV17	1926.50	2117.08	USD	19,058.00	
02AUG17	B 1 PD02NOV17	1923.00	2117.08	USD	4,852.00	
02AUG17	B 1 PD02NOV17	1922.00	2117.08	USD	4,877.00	
02AUG17	B 1 PD02NOV17	1921.00	2117.08	USD	4,902.00	
02AUG17	S 1 PD02NOV17	1918.50	2117.08	USD	-4,964.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
02AUG17	S 1 PD02NOV17	1929.00	2117.08	USD	-4,702.00	
03AUG17	B 1 PD02NOV17	1918.07	2117.08	USD	4,975.25	
	NET 0 AVG BGHT 1924.83 SOLD 1924.21				**-214.25**	**0.00**
03AUG17	B 1 PD03NOV17	1926.50	2116.75	USD	4,756.25	
03AUG17	B 1 PD03NOV17	1925.50	2116.75	USD	4,781.25	
03AUG17	B 1 PD03NOV17	1923.50	2116.75	USD	4,831.25	
03AUG17	S 1 PD03NOV17	1923.50	2116.75	USD	-4,831.25	
03AUG17	B 1 PD03NOV17	1913.00	2116.75	USD	5,093.75	
03AUG17	B 1 PD03NOV17	1912.00	2116.75	USD	5,118.75	
03AUG17	S 2 PD03NOV17	1920.50	2116.75	USD	-9,812.50	
03AUG17	S 1 PD03NOV17	1913.50	2116.75	USD	-5,081.25	
04AUG17	B 1 PD03NOV17	1914.50	2116.75	USD	5,056.25	
04AUG17	B 1 PD03NOV17	1915.00	2116.75	USD	5,043.75	
04AUG17	B 1 PD03NOV17	1913.50	2116.75	USD	5,081.25	
04AUG17	B 1 PD03NOV17	1913.00	2116.75	USD	5,093.75	
04AUG17	B 1 PD03NOV17	1912.00	2116.75	USD	5,118.75	
04AUG17	B 3 PD03NOV17	1912.50	2116.75	USD	15,318.75	
04AUG17	B 1 PD03NOV17	1911.50	2116.75	USD	5,131.25	
04AUG17	B 1 PD03NOV17	1910.50	2116.75	USD	5,156.25	
04AUG17	B 2 PD03NOV17	1909.00	2116.75	USD	10,387.50	
04AUG17	B 1 PD03NOV17	1907.00	2116.75	USD	5,243.75	
04AUG17	B 2 PD03NOV17	1905.00	2116.75	USD	10,587.50	
04AUG17	B 3 PD03NOV17	1906.00	2116.75	USD	15,806.25	
04AUG17	B 3 PD03NOV17	1908.50	2116.75	USD	15,618.75	
04AUG17	B 4 PD03NOV17	1908.00	2116.75	USD	20,875.00	
04AUG17	B 2 PD03NOV17	1905.50	2116.75	USD	10,562.50	
04AUG17	S 1 PD03NOV17	1909.50	2116.75	USD	-5,181.25	
04AUG17	B 1 PD03NOV17	1908.00	2116.75	USD	5,218.75	
04AUG17	S 1 PD03NOV17	1912.00	2116.75	USD	-5,118.75	
04AUG17	S 2 PD03NOV17	1911.00	2116.75	USD	-10,287.50	
04AUG17	S 1 PD03NOV17	1909.00	2116.75	USD	-5,193.75	
04AUG17	S 2 PD03NOV17	1910.00	2116.75	USD	-10,337.50	
04AUG17	S 5 PD03NOV17	1911.50	2116.75	USD	-25,656.25	
04AUG17	S 7 PD03NOV17	1910.50	2116.75	USD	-36,093.75	
04AUG17	S 1 PD03NOV17	1913.00	2116.75	USD	-5,093.75	
04AUG17	S 1 PD03NOV17	1908.00	2116.75	USD	-5,218.75	
04AUG17	S 3 PD03NOV17	1903.50	2116.75	USD	-15,993.75	
04AUG17	S 1 PD03NOV17	1903.00	2116.75	USD	-5,343.75	
04AUG17	S 2 PD03NOV17	1904.00	2116.75	USD	-10,637.50	
04AUG17	S 4 PD03NOV17	1905.00	2116.75	USD	-21,175.00	
04AUG17	S 2 PD03NOV17	1903.50	2116.75	USD	-10,662.50	
07AUG17	B 4 PD03NOV17	1963.25	2116.75	USD	15,350.00	
	NET 0 AVG BGHT 1916.50 SOLD 1909.49				**-6,487.50**	**0.00**
07AUG17	S 1 PD07NOV17	1928.00	2116.08	USD	-4,702.00	
07AUG17	S 1 PD07NOV17	1929.00	2116.08	USD	-4,677.00	
07AUG17	B 1 PD07NOV17	1923.50	2116.08	USD	4,814.50	
07AUG17	B 1 PD07NOV17	1921.00	2116.08	USD	4,877.00	
07AUG17	S 2 PD07NOV17	1928.50	2116.08	USD	-9,379.00	
07AUG17	S 2 PD07NOV17	1929.50	2116.08	USD	-9,329.00	
07AUG17	S 3 PD07NOV17	1930.50	2116.08	USD	-13,918.50	
07AUG17	S 1 PD07NOV17	1934.00	2116.08	USD	-4,552.00	
07AUG17	S 1 PD07NOV17	1937.00	2116.08	USD	-4,477.00	
07AUG17	S 1 PD07NOV17	1939.00	2116.08	USD	-4,427.00	
07AUG17	S 1 PD07NOV17	1930.50	2116.08	USD	-4,639.50	
07AUG17	S 1 PD07NOV17	1931.00	2116.08	USD	-4,627.00	
07AUG17	S 1 PD07NOV17	1942.50	2116.08	USD	-4,339.50	
07AUG17	S 1 PD07NOV17	1947.00	2116.08	USD	-4,227.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
07AUG17	B 1 PD07NOV17	1942.00	2116.08	USD	4,352.00	
07AUG17	S 1 PD07NOV17	1947.00	2116.08	USD	-4,227.00	
07AUG17	B 1 PD07NOV17	1954.50	2116.08	USD	4,039.50	
07AUG17	S 2 PD07NOV17	1964.00	2116.08	USD	-7,604.00	
10AUG17	B 15 PD07NOV17	2036.49	2116.08	USD	29,846.25	
	NET 0 AVG BGHT 2015.18 SOLD 1936.87				**-37,196.25**	**0.00**
08AUG17	B 2 PD08NOV17	1975.00	2115.75	USD	7,037.50	
08AUG17	B 1 PD08NOV17	1981.00	2115.75	USD	3,368.75	
08AUG17	B 1 PD08NOV17	1990.00	2115.75	USD	3,143.75	
08AUG17	B 1 PD08NOV17	2003.50	2115.75	USD	2,806.25	
08AUG17	B 1 PD08NOV17	2003.00	2115.75	USD	2,818.75	
08AUG17	B 2 PD08NOV17	1999.50	2115.75	USD	5,812.50	
08AUG17	B 1 PD08NOV17	1992.00	2115.75	USD	3,093.75	
08AUG17	S 1 PD08NOV17	2006.00	2115.75	USD	-2,743.75	
08AUG17	S 1 PD08NOV17	1999.50	2115.75	USD	-2,906.25	
08AUG17	B 1 PD08NOV17	2008.00	2115.75	USD	2,693.75	
08AUG17	B 1 PD08NOV17	2009.50	2115.75	USD	2,656.25	
08AUG17	B 1 PD08NOV17	2008.50	2115.75	USD	2,681.25	
08AUG17	B 1 PD08NOV17	2001.00	2115.75	USD	2,868.75	
08AUG17	B 1 PD08NOV17	2001.50	2115.75	USD	2,856.25	
08AUG17	B 1 PD08NOV17	2018.50	2115.75	USD	2,431.25	
08AUG17	B 2 PD08NOV17	2017.00	2115.75	USD	4,937.50	
08AUG17	B 1 PD08NOV17	2019.50	2115.75	USD	2,406.25	
08AUG17	S 1 PD08NOV17	1996.50	2115.75	USD	-2,981.25	
10AUG17	S 15 PD08NOV17	2036.46	2115.75	USD	-29,733.75	
	NET 0 AVG BGHT 2001.06 SOLD 2030.49				**13,247.50**	**0.00**
09AUG17	B 1 PD09NOV17	2034.00	2116.11	USD	2,052.75	
09AUG17	B 1 PD09NOV17	2029.00	2116.11	USD	2,177.75	
09AUG17	S 1 PD09NOV17	2025.00	2116.11	USD	-2,277.75	
09AUG17	B 1 PD09NOV17	2021.50	2116.11	USD	2,365.25	
09AUG17	B 1 PD09NOV17	2031.00	2116.11	USD	2,127.75	
09AUG17	S 1 PD09NOV17	2029.50	2116.11	USD	-2,165.25	
09AUG17	S 1 PD09NOV17	2030.00	2116.11	USD	-2,152.75	
09AUG17	S 1 PD09NOV17	2040.00	2116.11	USD	-1,902.75	
09AUG17	B 1 PD09NOV17	2033.00	2116.11	USD	2,077.75	
09AUG17	S 1 PD09NOV17	2037.00	2116.11	USD	-1,977.75	
09AUG17	B 1 PD09NOV17	2021.00	2116.11	USD	2,377.75	
09AUG17	S 1 PD09NOV17	2020.00	2116.11	USD	-2,402.75	
	NET 0 AVG BGHT 2028.25 SOLD 2030.25				**300.00**	**0.00**
10AUG17	S 1 PD10NOV17	2029.00	2116.46	USD	-2,186.50	
10AUG17	S 1 PD10NOV17	2031.00	2116.46	USD	-2,136.50	
10AUG17	S 2 PD10NOV17	2032.00	2116.46	USD	-4,223.00	
10AUG17	S 1 PD10NOV17	2033.00	2116.46	USD	-2,086.50	
10AUG17	B 1 PD10NOV17	2028.50	2116.46	USD	2,199.00	
10AUG17	B 1 PD10NOV17	2028.00	2116.46	USD	2,211.50	
10AUG17	B 1 PD10NOV17	2025.00	2116.46	USD	2,286.50	
10AUG17	B 1 PD10NOV17	2022.50	2116.46	USD	2,349.00	
10AUG17	B 1 PD10NOV17	2027.00	2116.46	USD	2,236.50	
10AUG17	B 1 PD10NOV17	2036.00	2116.46	USD	2,011.50	
10AUG17	B 1 PD10NOV17	2035.50	2116.46	USD	2,024.00	
10AUG17	S 1 PD10NOV17	2044.50	2116.46	USD	-1,799.00	
10AUG17	S 1 PD10NOV17	2038.50	2116.46	USD	-1,949.00	
10AUG17	S 2 PD10NOV17	2040.00	2116.46	USD	-3,823.00	
10AUG17	B 1 PD10NOV17	2039.00	2116.46	USD	1,936.50	
10AUG17	B 2 PD10NOV17	2037.00	2116.46	USD	3,973.00	
10AUG17	S 1 PD10NOV17	2038.50	2116.46	USD	-1,949.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.							
11AUG17	B	1	PD10NOV17	2019.50	2116.46	USD	2,424.00	
11AUG17	S	1	PD10NOV17	2022.50	2116.46	USD	-2,349.00	
11AUG17	S	1	PD10NOV17	2041.50	2116.46	USD	-1,874.00	
11AUG17	B	1	PD10NOV17	2041.50	2116.46	USD	1,874.00	
11AUG17	B	1	PD10NOV17	2037.50	2116.46	USD	1,974.00	
11AUG17	B	1	PD10NOV17	2036.00	2116.46	USD	2,011.50	
11AUG17	S	1	PD10NOV17	2040.00	2116.46	USD	-1,911.50	
11AUG17	S	1	PD10NOV17	2039.00	2116.46	USD	-1,936.50	
11AUG17	S	1	PD10NOV17	2043.50	2116.46	USD	-1,824.00	
11AUG17	S	1	PD10NOV17	2045.00	2116.46	USD	-1,786.50	
11AUG17	B	1	PD10NOV17	2047.50	2116.46	USD	1,724.00	
11AUG17	B	1	PD10NOV17	2048.00	2116.46	USD	1,711.50	
	NET	**0**	**AVG BGHT 2034.09**	**SOLD 2036.88**			**1,112.50**	**0.00**
14AUG17	B	1	PD14NOV17	2035.00	2117.89	USD	2,072.25	
14AUG17	S	2	PD14NOV17	2034.00	2117.89	USD	-4,194.50	
14AUG17	S	1	PD14NOV17	2036.50	2117.89	USD	-2,034.75	
14AUG17	S	1	PD14NOV17	2034.50	2117.89	USD	-2,084.75	
14AUG17	S	1	PD14NOV17	2040.50	2117.89	USD	-1,934.75	
14AUG17	B	1	PD14NOV17	2031.50	2117.89	USD	2,159.75	
14AUG17	B	1	PD14NOV17	2030.50	2117.89	USD	2,184.75	
14AUG17	B	1	PD14NOV17	2029.00	2117.89	USD	2,222.25	
14AUG17	B	1	PD14NOV17	2028.50	2117.89	USD	2,234.75	
	NET	**0**	**AVG BGHT 2030.90**	**SOLD 2035.90**			**625.00**	**0.00**
21AUG17	B	1	PD21NOV17	2081.50	2119.88	USD	959.50	
21AUG17	S	1	PD21NOV17	2076.00	2119.88	USD	-1,097.00	
21AUG17	B	1	PD21NOV17	2074.00	2119.88	USD	1,147.00	
21AUG17	S	1	PD21NOV17	2074.50	2119.88	USD	-1,134.50	
21AUG17	B	1	PD21NOV17	2074.50	2119.88	USD	1,134.50	
21AUG17	S	1	PD21NOV17	2080.50	2119.88	USD	-984.50	
21AUG17	S	1	PD21NOV17	2077.00	2119.88	USD	-1,072.00	
21AUG17	S	1	PD21NOV17	2078.50	2119.88	USD	-1,034.50	
21AUG17	S	1	PD21NOV17	2075.50	2119.88	USD	-1,109.50	
21AUG17	S	1	PD21NOV17	2076.50	2119.88	USD	-1,084.50	
21AUG17	B	1	PD21NOV17	2087.50	2119.88	USD	809.50	
21AUG17	B	1	PD21NOV17	2087.00	2119.88	USD	822.00	
29AUG17	B	2	PD21NOV17	2093.50	2119.88	USD	1,319.00	
	NET	**0**	**AVG BGHT 2084.50**	**SOLD 2076.93**			**-1,325.00**	**0.00**
22AUG17	S	1	PD22NOV17	2075.00	2120.15	USD	-1,128.75	
22AUG17	S	1	PD22NOV17	2081.50	2120.15	USD	-966.25	
22AUG17	S	1	PD22NOV17	2085.00	2120.15	USD	-878.75	
22AUG17	B	1	PD22NOV17	2078.50	2120.15	USD	1,041.25	
22AUG17	B	1	PD22NOV17	2077.50	2120.15	USD	1,066.25	
22AUG17	B	1	PD22NOV17	2075.50	2120.15	USD	1,116.25	
22AUG17	B	2	PD22NOV17	2075.00	2120.15	USD	2,257.50	
29AUG17	S	2	PD22NOV17	2093.49	2120.15	USD	-1,333.00	
	NET	**0**	**AVG BGHT 2076.30**	**SOLD 2085.70**			**1,174.50**	**0.00**
23AUG17	B	1	PD24NOV17	2061.50	2120.69	USD	1,479.75	
23AUG17	B	1	PD24NOV17	2060.50	2120.69	USD	1,504.75	
23AUG17	B	1	PD24NOV17	2063.00	2120.69	USD	1,442.25	
23AUG17	S	1	PD24NOV17	2064.50	2120.69	USD	-1,404.75	
23AUG17	S	1	PD24NOV17	2074.00	2120.69	USD	-1,167.25	
23AUG17	S	1	PD24NOV17	2073.50	2120.69	USD	-1,179.75	
23AUG17	S	1	PD24NOV17	2084.50	2120.69	USD	-904.75	
23AUG17	S	1	PD24NOV17	2077.50	2120.69	USD	-1,079.75	
23AUG17	S	1	PD24NOV17	2078.50	2120.69	USD	-1,054.75	
23AUG17	S	2	PD24NOV17	2082.00	2120.69	USD	-1,934.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
23AUG17	S 1 PD24NOV17	2083.00	2120.69	USD	-942.25	
23AUG17	S 3 PD24NOV17	2083.50	2120.69	USD	-2,789.25	
23AUG17	S 1 PD24NOV17	2082.50	2120.69	USD	-954.75	
23AUG17	S 1 PD24NOV17	2084.50	2120.69	USD	-904.75	
23AUG17	S 1 PD24NOV17	2087.50	2120.69	USD	-829.75	
23AUG17	S 1 PD24NOV17	2083.50	2120.69	USD	-929.75	
23AUG17	S 1 PD24NOV17	2115.00	2120.69	USD	-142.25	
23AUG17	B 2 PD24NOV17	2118.00	2120.69	USD	134.50	
23AUG17	B 1 PD24NOV17	2110.00	2120.69	USD	267.25	
23AUG17	B 1 PD24NOV17	2107.00	2120.69	USD	342.25	
24AUG17	B 1 PD24NOV17	2100.00	2120.69	USD	517.25	
24AUG17	B 1 PD24NOV17	2099.50	2120.69	USD	529.75	
24AUG17	B 2 PD24NOV17	2095.50	2120.69	USD	1,259.50	
24AUG17	B 1 PD24NOV17	2095.00	2120.69	USD	642.25	
24AUG17	B 2 PD24NOV17	2093.50	2120.69	USD	1,359.50	
24AUG17	B 1 PD24NOV17	2093.00	2120.69	USD	692.25	
24AUG17	B 1 PD24NOV17	2092.50	2120.69	USD	704.75	
24AUG17	S 1 PD24NOV17	2103.50	2120.69	USD	-429.75	
24AUG17	S 1 PD24NOV17	2104.50	2120.69	USD	-404.75	
24AUG17	B 1 PD24NOV17	2106.50	2120.69	USD	354.75	
24AUG17	S 1 PD24NOV17	2106.50	2120.69	USD	-354.75	
24AUG17	S 2 PD24NOV17	2110.00	2120.69	USD	-534.50	
24AUG17	S 1 PD24NOV17	2110.50	2120.69	USD	-254.75	
24AUG17	S 1 PD24NOV17	2111.00	2120.69	USD	-242.25	
24AUG17	S 1 PD24NOV17	2111.50	2120.69	USD	-229.75	
24AUG17	S 1 PD24NOV17	2122.50	2120.69	USD	45.25	
24AUG17	B 1 PD24NOV17	2110.50	2120.69	USD	254.75	
24AUG17	B 1 PD24NOV17	2108.00	2120.69	USD	317.25	
24AUG17	B 2 PD24NOV17	2105.50	2120.69	USD	759.50	
24AUG17	B 1 PD24NOV17	2103.00	2120.69	USD	442.25	
24AUG17	B 2 PD24NOV17	2098.50	2120.69	USD	1,109.50	
25AUG17	B 1 PD24NOV17	2087.50	2120.69	USD	829.75	
25AUG17	B 1 PD24NOV17	2089.00	2120.69	USD	792.25	
25AUG17	B 1 PD24NOV17	2090.00	2120.69	USD	767.25	
25AUG17	S 1 PD24NOV17	2094.50	2120.69	USD	-654.75	
	NET 0 AVG BGHT 2096.24 SOLD 2092.13				**-2,775.00**	**0.00**
29AUG17	S 1 PD29NOV17	2074.00	2122.05	USD	-1,201.25	
29AUG17	S 1 PD29NOV17	2078.00	2122.05	USD	-1,101.25	
29AUG17	B 1 PD29NOV17	2072.50	2122.05	USD	1,238.75	
29AUG17	B 1 PD29NOV17	2074.00	2122.05	USD	1,201.25	
29AUG17	S 1 PD29NOV17	2083.50	2122.05	USD	-963.75	
29AUG17	S 1 PD29NOV17	2088.00	2122.05	USD	-851.25	
29AUG17	S 1 PD29NOV17	2100.00	2122.05	USD	-551.25	
06SEP17	B 3 PD29NOV17	2102.84	2122.05	USD	1,440.75	
	NET 0 AVG BGHT 2091.00 SOLD 2084.70				**-788.00**	**0.00**
30AUG17	B 1 PD30NOV17	2092.50	2122.32	USD	745.50	
30AUG17	B 1 PD30NOV17	2092.00	2122.32	USD	758.00	
30AUG17	B 1 PD30NOV17	2091.00	2122.32	USD	783.00	
30AUG17	B 1 PD30NOV17	2099.50	2122.32	USD	570.50	
30AUG17	B 1 PD30NOV17	2099.00	2122.32	USD	583.00	
30AUG17	B 1 PD30NOV17	2098.50	2122.32	USD	595.50	
30AUG17	B 1 PD30NOV17	2086.00	2122.32	USD	908.00	
30AUG17	S 1 PD30NOV17	2095.00	2122.32	USD	-683.00	
30AUG17	S 1 PD30NOV17	2093.50	2122.32	USD	-720.50	
30AUG17	S 1 PD30NOV17	2083.50	2122.32	USD	-970.50	
30AUG17	S 1 PD30NOV17	2084.00	2122.32	USD	-958.00	
31AUG17	S 1 PD30NOV17	2102.00	2122.32	USD	-508.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
31AUG17	S 1 PD30NOV17	2101.50	2122.32	USD	-520.50	
31AUG17	S 1 PD30NOV17	2110.50	2122.32	USD	-295.50	
31AUG17	S 1 PD30NOV17	2114.00	2122.32	USD	-208.00	
31AUG17	S 1 PD30NOV17	2121.00	2122.32	USD	-33.00	
31AUG17	S 1 PD30NOV17	2129.50	2122.32	USD	179.50	
31AUG17	B 2 PD30NOV17	2117.50	2122.32	USD	241.00	
31AUG17	B 1 PD30NOV17	2117.00	2122.32	USD	133.00	
31AUG17	B 1 PD30NOV17	2127.00	2122.32	USD	-117.00	
31AUG17	B 1 PD30NOV17	2126.50	2122.32	USD	-104.50	
31AUG17	B 1 PD30NOV17	2126.00	2122.32	USD	-92.00	
06SEP17	S 3 PD30NOV17	2102.88	2122.32	USD	-1,458.00	
	NET 0 AVG BGHT 2106.92 SOLD 2103.32				**-1,171.50**	**0.00**
01SEP17	S 1 PD01DEC17	2136.50	2122.59	USD	347.75	
01SEP17	S 1 PD01DEC17	2142.00	2122.59	USD	485.25	
01SEP17	B 1 PD01DEC17	2135.50	2122.59	USD	-322.75	
01SEP17	B 1 PD01DEC17	2135.00	2122.59	USD	-310.25	
01SEP17	B 1 PD01DEC17	2133.50	2122.59	USD	-272.75	
01SEP17	B 1 PD01DEC17	2136.50	2122.59	USD	-347.75	
01SEP17	S 2 PD01DEC17	2142.00	2122.59	USD	970.50	
01SEP17	S 1 PD01DEC17	2132.50	2122.59	USD	247.75	
01SEP17	B 1 PD01DEC17	2129.50	2122.59	USD	-172.75	
01SEP17	S 1 PD01DEC17	2136.00	2122.59	USD	335.25	
06SEP17	B 1 PD01DEC17	2103.32	2122.59	USD	481.75	
	NET 0 AVG BGHT 2128.89 SOLD 2138.50				**1,442.00**	**0.00**
04SEP17	B 1 PD04DEC17	2130.00	2123.41	USD	-164.75	
04SEP17	B 1 PD04DEC17	2126.00	2123.41	USD	-64.75	
04SEP17	B 1 PD04DEC17	2125.50	2123.41	USD	-52.25	
04SEP17	B 1 PD04DEC17	2124.50	2123.41	USD	-27.25	
04SEP17	S 2 PD04DEC17	2123.00	2123.41	USD	-20.50	
04SEP17	B 1 PD04DEC17	2123.00	2123.41	USD	10.25	
04SEP17	B 1 PD04DEC17	2113.00	2123.41	USD	260.25	
04SEP17	S 1 PD04DEC17	2112.50	2123.41	USD	-272.75	
04SEP17	S 1 PD04DEC17	2119.00	2123.41	USD	-110.25	
04SEP17	S 1 PD04DEC17	2121.00	2123.41	USD	-60.25	
06SEP17	S 1 PD04DEC17	2103.83	2123.41	USD	-489.50	
	NET 0 AVG BGHT 2123.67 SOLD 2117.06				**-991.75**	**0.00**
05SEP17	B 1 PD05DEC17	2110.50	2123.68	USD	329.50	
05SEP17	B 1 PD05DEC17	2110.00	2123.68	USD	342.00	
05SEP17	S 1 PD05DEC17	2106.50	2123.68	USD	-429.50	
05SEP17	S 1 PD05DEC17	2107.00	2123.68	USD	-417.00	
05SEP17	S 1 PD05DEC17	2105.00	2123.68	USD	-467.00	
05SEP17	S 1 PD05DEC17	2112.00	2123.68	USD	-292.00	
05SEP17	S 2 PD05DEC17	2112.50	2123.68	USD	-559.00	
05SEP17	B 1 PD05DEC17	2105.00	2123.68	USD	467.00	
05SEP17	B 1 PD05DEC17	2089.00	2123.68	USD	867.00	
05SEP17	B 1 PD05DEC17	2087.50	2123.68	USD	904.50	
08SEP17	B 1 PD05DEC17	2098.25	2123.68	USD	635.75	
	NET 0 AVG BGHT 2100.04 SOLD 2109.25				**1,381.25**	**0.00**
06SEP17	B 1 PD06DEC17	2084.00	2123.95	USD	998.75	
06SEP17	B 1 PD06DEC17	2077.00	2123.95	USD	1,173.75	
06SEP17	B 1 PD06DEC17	2076.50	2123.95	USD	1,186.25	
06SEP17	S 1 PD06DEC17	2074.50	2123.95	USD	-1,236.25	
06SEP17	S 1 PD06DEC17	2078.00	2123.95	USD	-1,148.75	
06SEP17	S 2 PD06DEC17	2081.50	2123.95	USD	-2,122.50	
06SEP17	S 1 PD06DEC17	2084.00	2123.95	USD	-998.75	
06SEP17	B 1 PD06DEC17	2086.00	2123.95	USD	948.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
06SEP17	S 1 PD06DEC17	2088.00	2123.95	USD	-898.75	
06SEP17	S 1 PD06DEC17	2098.00	2123.95	USD	-648.75	
06SEP17	B 1 PD06DEC17	2093.00	2123.95	USD	773.75	
06SEP17	B 1 PD06DEC17	2088.50	2123.95	USD	886.25	
06SEP17	B 1 PD06DEC17	2091.50	2123.95	USD	811.25	
06SEP17	B 2 PD06DEC17	2110.00	2123.95	USD	697.50	
08SEP17	S 1 PD06DEC17	2098.30	2123.95	USD	-641.25	
08SEP17	S 1 PD06DEC17	2098.50	2123.95	USD	-636.25	
	NET 0 AVG BGHT 2090.72 SOLD 2086.92				**-855.00**	**0.00**
07SEP17	B 1 PD07DEC17	2089.00	2124.22	USD	880.50	
07SEP17	B 1 PD07DEC17	2094.50	2124.22	USD	743.00	
07SEP17	S 1 PD07DEC17	2099.00	2124.22	USD	-630.50	
07SEP17	S 1 PD07DEC17	2106.00	2124.22	USD	-455.50	
07SEP17	S 1 PD07DEC17	2107.00	2124.22	USD	-430.50	
08SEP17	B 1 PD07DEC17	2098.95	2124.22	USD	631.75	
	NET 0 AVG BGHT 2094.15 SOLD 2104.00				**738.75**	**0.00**
08SEP17	B 3 PD08DEC17	2103.50	2124.49	USD	1,574.25	
08SEP17	S 2 PD08DEC17	2096.00	2124.49	USD	-1,424.50	
08SEP17	S 2 PD08DEC17	2095.50	2124.49	USD	-1,449.50	
08SEP17	B 1 PD08DEC17	2092.00	2124.49	USD	812.25	
	NET 0 AVG BGHT 2100.63 SOLD 2095.75				**-487.50**	**0.00**
11SEP17	S 1 PD11DEC17	2124.50	2125.31	USD	-20.25	
11SEP17	S 1 PD11DEC17	2126.00	2125.31	USD	17.25	
11SEP17	S 1 PD11DEC17	2135.50	2125.31	USD	254.75	
14SEP17	B 2 PD11DEC17	2097.20	2125.31	USD	1,405.50	
15SEP17	B 1 PD11DEC17	2085.00	2125.31	USD	1,007.75	
	NET 0 AVG BGHT 2093.13 SOLD 2128.67				**2,665.00**	**0.00**
12SEP17	B 1 PD12DEC17	2117.00	2125.58	USD	214.50	
12SEP17	S 2 PD12DEC17	2127.00	2125.58	USD	71.00	
12SEP17	S 1 PD12DEC17	2126.00	2125.58	USD	10.50	
12SEP17	S 1 PD12DEC17	2126.50	2125.58	USD	23.00	
12SEP17	S 2 PD12DEC17	2121.00	2125.58	USD	-229.00	
12SEP17	S 1 PD12DEC17	2120.00	2125.58	USD	-139.50	
12SEP17	S 1 PD12DEC17	2122.50	2125.58	USD	-77.00	
12SEP17	B 1 PD12DEC17	2114.00	2125.58	USD	289.50	
12SEP17	S 1 PD12DEC17	2142.00	2125.58	USD	410.50	
12SEP17	B 1 PD12DEC17	2136.00	2125.58	USD	-260.50	
14SEP17	B 4 PD12DEC17	2097.47	2125.58	USD	2,811.00	
15SEP17	B 2 PD12DEC17	2085.25	2125.58	USD	2,016.50	
	NET 0 AVG BGHT 2103.04 SOLD 2125.89				**5,140.50**	**0.00**
13SEP17	B 1 PD13DEC17	2112.50	2125.85	USD	333.75	
13SEP17	S 1 PD13DEC17	2115.00	2125.85	USD	-271.25	
13SEP17	B 2 PD13DEC17	2115.50	2125.85	USD	517.50	
13SEP17	B 1 PD13DEC17	2114.50	2125.85	USD	283.75	
13SEP17	B 2 PD13DEC17	2114.00	2125.85	USD	592.50	
13SEP17	B 1 PD13DEC17	2108.00	2125.85	USD	446.25	
14SEP17	S 6 PD13DEC17	2097.53	2125.85	USD	-4,248.00	
	NET 0 AVG BGHT 2113.43 SOLD 2100.03				**-2,345.50**	**0.00**
14SEP17	B 1 PD14DEC17	2116.00	2126.12	USD	253.00	
14SEP17	B 1 PD14DEC17	2114.50	2126.12	USD	290.50	
14SEP17	B 1 PD14DEC17	2110.50	2126.12	USD	390.50	
14SEP17	B 2 PD14DEC17	2097.00	2126.12	USD	1,456.00	
14SEP17	B 2 PD14DEC17	2094.00	2126.12	USD	1,606.00	
14SEP17	B 1 PD14DEC17	2088.00	2126.12	USD	953.00	
14SEP17	S 1 PD14DEC17	2088.00	2126.12	USD	-953.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
14SEP17	S 1 PD14DEC17	2096.00	2126.12	USD	-753.00	
14SEP17	S 1 PD14DEC17	2083.50	2126.12	USD	-1,065.50	
14SEP17	S 1 PD14DEC17	2091.00	2126.12	USD	-878.00	
14SEP17	S 1 PD14DEC17	2091.50	2126.12	USD	-865.50	
15SEP17	S 3 PD14DEC17	2085.55	2126.12	USD	-3,042.75	
	NET 0 AVG BGHT 2101.38 SOLD 2088.33				**-2,608.75**	**0.00**
15SEP17	S 1 PD15DEC17	2091.50	2126.39	USD	-872.25	
15SEP17	S 1 PD15DEC17	2092.00	2126.39	USD	-859.75	
15SEP17	S 1 PD15DEC17	2096.50	2126.39	USD	-747.25	
15SEP17	S 1 PD15DEC17	2100.50	2126.39	USD	-647.25	
15SEP17	S 1 PD15DEC17	2099.00	2126.39	USD	-684.75	
15SEP17	B 1 PD15DEC17	2096.50	2126.39	USD	747.25	
15SEP17	S 1 PD15DEC17	2102.00	2126.39	USD	-609.75	
15SEP17	B 1 PD15DEC17	2095.00	2126.39	USD	784.75	
15SEP17	B 1 PD15DEC17	2093.00	2126.39	USD	834.75	
15SEP17	B 1 PD15DEC17	2088.50	2126.39	USD	947.25	
15SEP17	S 1 PD15DEC17	2085.00	2126.39	USD	-1,034.75	
15SEP17	B 1 PD15DEC17	2084.00	2126.39	USD	1,059.75	
15SEP17	B 1 PD15DEC17	2083.00	2126.39	USD	1,084.75	
15SEP17	B 2 PD15DEC17	2085.50	2126.39	USD	2,044.50	
15SEP17	B 2 PD15DEC17	2072.00	2126.39	USD	2,719.50	
15SEP17	S 1 PD15DEC17	2074.00	2126.39	USD	-1,309.75	
15SEP17	S 1 PD15DEC17	2075.00	2126.39	USD	-1,284.75	
15SEP17	S 1 PD15DEC17	2075.50	2126.39	USD	-1,272.25	
	NET 0 AVG BGHT 2085.50 SOLD 2089.10				**900.00**	**0.00**
18SEP17	S 2 PD18DEC17	2094.00	2127.21	USD	-1,660.50	
18SEP17	S 1 PD18DEC17	2092.50	2127.21	USD	-867.75	
18SEP17	S 2 PD18DEC17	2090.00	2127.21	USD	-1,860.50	
18SEP17	S 1 PD18DEC17	2102.00	2127.21	USD	-630.25	
18SEP17	S 1 PD18DEC17	2104.50	2127.21	USD	-567.75	
18SEP17	S 1 PD18DEC17	2105.00	2127.21	USD	-555.25	
18SEP17	S 1 PD18DEC17	2093.50	2127.21	USD	-842.75	
18SEP17	B 1 PD18DEC17	2083.50	2127.21	USD	1,092.75	
18SEP17	S 1 PD18DEC17	2093.00	2127.21	USD	-855.25	
18SEP17	S 2 PD18DEC17	2093.50	2127.21	USD	-1,685.50	
18SEP17	S 1 PD18DEC17	2091.50	2127.21	USD	-892.75	
18SEP17	B 1 PD18DEC17	2091.00	2127.21	USD	905.25	
18SEP17	B 1 PD18DEC17	2093.50	2127.21	USD	842.75	
18SEP17	B 1 PD18DEC17	2093.00	2127.21	USD	855.25	
18SEP17	B 1 PD18DEC17	2092.00	2127.21	USD	880.25	
20SEP17	B 7 PD18DEC17	2176.44	2127.21	USD	-8,615.25	
	NET -1 AVG BGHT 2140.67 SOLD 2095.15				**-14,457.25**	**0.00**
19SEP17	S 1 PD19DEC17	2104.50	2127.48	USD	-574.50	
19SEP17	B 1 PD19DEC17	2104.50	2127.48	USD	574.50	
19SEP17	S 1 PD19DEC17	2105.00	2127.48	USD	-562.00	
19SEP17	S 1 PD19DEC17	2109.50	2127.48	USD	-449.50	
19SEP17	B 1 PD19DEC17	2102.50	2127.48	USD	624.50	
19SEP17	B 1 PD19DEC17	2103.00	2127.48	USD	612.00	
19SEP17	B 1 PD19DEC17	2097.50	2127.48	USD	749.50	
19SEP17	B 2 PD19DEC17	2098.50	2127.48	USD	1,449.00	
19SEP17	S 1 PD19DEC17	2109.00	2127.48	USD	-462.00	
19SEP17	S 2 PD19DEC17	2112.00	2127.48	USD	-774.00	
19SEP17	S 2 PD19DEC17	2113.00	2127.48	USD	-724.00	
19SEP17	S 2 PD19DEC17	2112.50	2127.48	USD	-749.00	
19SEP17	S 2 PD19DEC17	2122.00	2127.48	USD	-274.00	
19SEP17	B 1 PD19DEC17	2122.00	2127.48	USD	137.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
19SEP17	B 1 PD19DEC17	2121.50	2127.48	USD	149.50	
19SEP17	B 2 PD19DEC17	2123.50	2127.48	USD	199.00	
19SEP17	B 2 PD19DEC17	2117.50	2127.48	USD	499.00	
19SEP17	B 1 PD19DEC17	2114.00	2127.48	USD	337.00	
19SEP17	B 1 PD19DEC17	2113.00	2127.48	USD	362.00	
19SEP17	B 1 PD19DEC17	2115.00	2127.48	USD	312.00	
19SEP17	B 2 PD19DEC17	2118.50	2127.48	USD	449.00	
19SEP17	B 1 PD19DEC17	2125.00	2127.48	USD	62.00	
19SEP17	B 2 PD19DEC17	2126.00	2127.48	USD	74.00	
19SEP17	S 1 PD19DEC17	2128.50	2127.48	USD	25.50	
20SEP17	S 7 PD19DEC17	2176.52	2127.48	USD	8,582.00	
	NET 0 AVG BGHT 2114.30 SOLD 2135.56				**10,628.50**	**0.00**
20SEP17	S 1 PD20DEC17	2132.50	2127.75	USD	118.75	
20SEP17	S 2 PD20DEC17	2144.50	2127.75	USD	837.50	
20SEP17	S 1 PD20DEC17	2146.00	2127.75	USD	456.25	
20SEP17	S 1 PD20DEC17	2146.50	2127.75	USD	468.75	
20SEP17	S 2 PD20DEC17	2150.50	2127.75	USD	1,137.50	
20SEP17	B 1 PD20DEC17	2150.00	2127.75	USD	-556.25	
20SEP17	S 1 PD20DEC17	2164.00	2127.75	USD	906.25	
20SEP17	B 1 PD20DEC17	2159.00	2127.75	USD	-781.25	
20SEP17	S 1 PD20DEC17	2160.50	2127.75	USD	818.75	
20SEP17	S 2 PD20DEC17	2162.00	2127.75	USD	1,712.50	
20SEP17	S 1 PD20DEC17	2161.50	2127.75	USD	843.75	
20SEP17	S 1 PD20DEC17	2163.00	2127.75	USD	881.25	
20SEP17	S 1 PD20DEC17	2165.00	2127.75	USD	931.25	
20SEP17	S 1 PD20DEC17	2165.50	2127.75	USD	943.75	
20SEP17	S 1 PD20DEC17	2168.50	2127.75	USD	1,018.75	
20SEP17	B 2 PD20DEC17	2168.50	2127.75	USD	-2,037.50	
20SEP17	S 1 PD20DEC17	2180.50	2127.75	USD	1,318.75	
20SEP17	B 2 PD20DEC17	2190.00	2127.75	USD	-3,112.50	
20SEP17	S 2 PD20DEC17	2186.50	2127.75	USD	2,937.50	
20SEP17	S 2 PD20DEC17	2188.00	2127.75	USD	3,012.50	
20SEP17	S 2 PD20DEC17	2190.00	2127.75	USD	3,112.50	
20SEP17	S 2 PD20DEC17	2189.00	2127.75	USD	3,062.50	
20SEP17	S 1 PD20DEC17	2162.00	2127.75	USD	856.25	
20SEP17	S 1 PD20DEC17	2192.50	2127.75	USD	1,618.75	
20SEP17	B 1 PD20DEC17	2178.50	2127.75	USD	-1,268.75	
20SEP17	B 1 PD20DEC17	2174.50	2127.75	USD	-1,168.75	
20SEP17	S 1 PD20DEC17	2175.50	2127.75	USD	1,193.75	
20SEP17	B 1 PD20DEC17	2166.50	2127.75	USD	-968.75	
20SEP17	B 1 PD20DEC17	2164.00	2127.75	USD	-906.25	
20SEP17	B 1 PD20DEC17	2164.50	2127.75	USD	-918.75	
20SEP17	B 3 PD20DEC17	2165.00	2127.75	USD	-2,793.75	
20SEP17	B 2 PD20DEC17	2163.50	2127.75	USD	-1,787.50	
20SEP17	B 2 PD20DEC17	2163.00	2127.75	USD	-1,762.50	
20SEP17	B 1 PD20DEC17	2165.50	2127.75	USD	-943.75	
20SEP17	S 1 PD20DEC17	2163.00	2127.75	USD	881.25	
20SEP17	S 2 PD20DEC17	2181.00	2127.75	USD	2,662.50	
20SEP17	B 3 PD20DEC17	2187.00	2127.75	USD	-4,443.75	
20SEP17	B 1 PD20DEC17	2185.50	2127.75	USD	-1,443.75	
20SEP17	B 3 PD20DEC17	2184.50	2127.75	USD	-4,256.25	
	NET -5 AVG BGHT 2172.60 SOLD 2168.69				**2,581.25**	**0.00**
21SEP17	B 1 PD21DEC17	2158.00	2127.98	USD	-750.50	
21SEP17	B 2 PD21DEC17	2157.50	2127.98	USD	-1,476.00	
21SEP17	B 2 PD21DEC17	2159.50	2127.98	USD	-1,576.00	
21SEP17	S 1 PD21DEC17	2166.00	2127.98	USD	950.50	
21SEP17	S 1 PD21DEC17	2165.00	2127.98	USD	925.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
21SEP17	S 1 PD21DEC17	2167.00	2127.98	USD	975.50	
21SEP17	B 1 PD21DEC17	2165.50	2127.98	USD	-938.00	
21SEP17	B 1 PD21DEC17	2167.00	2127.98	USD	-975.50	
21SEP17	S 1 PD21DEC17	2170.50	2127.98	USD	1,063.00	
21SEP17	B 1 PD21DEC17	2170.00	2127.98	USD	-1,050.50	
21SEP17	S 1 PD21DEC17	2163.50	2127.98	USD	888.00	
21SEP17	S 1 PD21DEC17	2169.50	2127.98	USD	1,038.00	
21SEP17	S 3 PD21DEC17	2168.00	2127.98	USD	3,001.50	
21SEP17	S 1 PD21DEC17	2167.50	2127.98	USD	988.00	
21SEP17	S 1 PD21DEC17	2174.00	2127.98	USD	1,150.50	
21SEP17	S 1 PD21DEC17	2177.00	2127.98	USD	1,225.50	
21SEP17	S 1 PD21DEC17	2177.50	2127.98	USD	1,238.00	
21SEP17	S 2 PD21DEC17	2178.00	2127.98	USD	2,501.00	
21SEP17	S 1 PD21DEC17	2180.00	2127.98	USD	1,300.50	
21SEP17	S 3 PD21DEC17	2182.00	2127.98	USD	4,051.50	
21SEP17	S 3 PD21DEC17	2181.50	2127.98	USD	4,014.00	
21SEP17	S 2 PD21DEC17	2182.50	2127.98	USD	2,726.00	
21SEP17	S 1 PD21DEC17	2185.00	2127.98	USD	1,425.50	
21SEP17	S 1 PD21DEC17	2189.00	2127.98	USD	1,525.50	
21SEP17	S 1 PD21DEC17	2187.00	2127.98	USD	1,475.50	
21SEP17	B 1 PD21DEC17	2181.00	2127.98	USD	-1,325.50	
21SEP17	B 1 PD21DEC17	2174.00	2127.98	USD	-1,150.50	
21SEP17	B 1 PD21DEC17	2174.50	2127.98	USD	-1,163.00	
21SEP17	B 1 PD21DEC17	2162.50	2127.98	USD	-863.00	
21SEP17	B 2 PD21DEC17	2162.00	2127.98	USD	-1,701.00	
21SEP17	B 1 PD21DEC17	2161.50	2127.98	USD	-838.00	
21SEP17	B 1 PD21DEC17	2156.50	2127.98	USD	-713.00	
21SEP17	B 1 PD21DEC17	2156.00	2127.98	USD	-700.50	
21SEP17	B 1 PD21DEC17	2154.50	2127.98	USD	-663.00	
21SEP17	B 1 PD21DEC17	2152.50	2127.98	USD	-613.00	
21SEP17	B 1 PD21DEC17	2151.00	2127.98	USD	-575.50	
21SEP17	B 1 PD21DEC17	2150.50	2127.98	USD	-563.00	
21SEP17	B 2 PD21DEC17	2147.00	2127.98	USD	-951.00	
21SEP17	B 1 PD21DEC17	2149.00	2127.98	USD	-525.50	
21SEP17	B 3 PD21DEC17	2148.00	2127.98	USD	-1,501.50	
21SEP17	B 2 PD21DEC17	2147.50	2127.98	USD	-976.00	
21SEP17	B 1 PD21DEC17	2146.50	2127.98	USD	-463.00	
21SEP17	B 1 PD21DEC17	2153.00	2127.98	USD	-625.50	
21SEP17	B 1 PD21DEC17	2153.50	2127.98	USD	-638.00	
	NET +5 AVG BGHT 2157.13 SOLD 2176.07				**9,147.50**	**0.00**
22SEP17	B 1 PD22DEC17	2137.00	2128.21	USD	-219.75	
22SEP17	B 1 PD22DEC17	2134.00	2128.21	USD	-144.75	
22SEP17	B 1 PD22DEC17	2122.00	2128.21	USD	155.25	
22SEP17	S 1 PD22DEC17	2122.50	2128.21	USD	-142.75	
22SEP17	S 1 PD22DEC17	2120.50	2128.21	USD	-192.75	
22SEP17	S 1 PD22DEC17	2123.00	2128.21	USD	-130.25	
22SEP17	S 1 PD22DEC17	2135.00	2128.21	USD	169.75	
22SEP17	S 1 PD22DEC17	2156.50	2128.21	USD	707.25	
22SEP17	S 2 PD22DEC17	2158.00	2128.21	USD	1,489.50	
22SEP17	S 2 PD22DEC17	2159.50	2128.21	USD	1,564.50	
22SEP17	B 1 PD22DEC17	2155.00	2128.21	USD	-669.75	
22SEP17	B 1 PD22DEC17	2139.50	2128.21	USD	-282.25	
22SEP17	B 1 PD22DEC17	2163.50	2128.21	USD	-882.25	
22SEP17	B 2 PD22DEC17	2162.00	2128.21	USD	-1,689.50	
22SEP17	B 1 PD22DEC17	2161.50	2128.21	USD	-832.25	
	NET 0 AVG BGHT 2148.50 SOLD 2143.61				**-1,100.00**	**0.00**
25SEP17	S 1 PD27DEC17	2146.00	2129.38	USD	415.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
25SEP17	S 1 PD27DEC17	2153.50	2129.38	USD	603.00	
25SEP17	S 2 PD27DEC17	2153.00	2129.38	USD	1,181.00	
25SEP17	B 1 PD27DEC17	2139.00	2129.38	USD	-240.50	
26SEP17	B 2 PD27DEC17	2156.00	2129.38	USD	-1,331.00	
26SEP17	B 1 PD27DEC17	2157.50	2129.38	USD	-703.00	
26SEP17	B 1 PD27DEC17	2147.00	2129.38	USD	-440.50	
26SEP17	B 1 PD27DEC17	2146.00	2129.38	USD	-415.50	
26SEP17	S 2 PD27DEC17	2140.00	2129.38	USD	531.00	
26SEP17	B 1 PD27DEC17	2129.50	2129.38	USD	-3.00	
26SEP17	B 1 PD27DEC17	2127.00	2129.38	USD	59.50	
26SEP17	S 1 PD27DEC17	2133.00	2129.38	USD	90.50	
26SEP17	S 1 PD27DEC17	2125.00	2129.38	USD	-109.50	
27SEP17	S 2 PD27DEC17	2131.50	2129.38	USD	106.00	
27SEP17	S 2 PD27DEC17	2132.00	2129.38	USD	131.00	
27SEP17	S 1 PD27DEC17	2133.00	2129.38	USD	90.50	
27SEP17	S 1 PD27DEC17	2137.50	2129.38	USD	203.00	
27SEP17	S 1 PD27DEC17	2146.50	2129.38	USD	428.00	
27SEP17	S 1 PD27DEC17	2146.00	2129.38	USD	415.50	
27SEP17	B 1 PD27DEC17	2132.50	2129.38	USD	-78.00	
27SEP17	B 1 PD27DEC17	2131.50	2129.38	USD	-53.00	
27SEP17	B 1 PD27DEC17	2130.00	2129.38	USD	-15.50	
27SEP17	B 1 PD27DEC17	2129.00	2129.38	USD	9.50	
27SEP17	B 3 PD27DEC17	2126.50	2129.38	USD	216.00	
27SEP17	B 1 PD27DEC17	2126.00	2129.38	USD	84.50	
	NET 0 AVG BGHT 2136.66 SOLD 2139.59				**1,175.00**	**0.00**
28SEP17	B 4 PD28DEC17	2122.00	2129.61	USD	761.00	
28SEP17	S 1 PD28DEC17	2120.50	2129.61	USD	-227.75	
28SEP17	S 1 PD28DEC17	2126.50	2129.61	USD	-77.75	
28SEP17	S 2 PD28DEC17	2127.50	2129.61	USD	-105.50	
28SEP17	S 3 PD28DEC17	2130.50	2129.61	USD	66.75	
28SEP17	B 2 PD28DEC17	2123.50	2129.61	USD	305.50	
28SEP17	B 1 PD28DEC17	2119.50	2129.61	USD	252.75	
28SEP17	B 1 PD28DEC17	2119.00	2129.61	USD	265.25	
28SEP17	B 1 PD28DEC17	2118.50	2129.61	USD	277.75	
28SEP17	S 1 PD28DEC17	2128.00	2129.61	USD	-40.25	
28SEP17	S 1 PD28DEC17	2128.00	2129.61	USD	-40.25	
28SEP17	S 1 PD28DEC17	2134.00	2129.61	USD	109.75	
28SEP17	S 1 PD28DEC17	2136.00	2129.61	USD	159.75	
	NET -2 AVG BGHT 2121.33 SOLD 2129.05				**1,707.00**	**0.00**
29SEP17	S 1 PD29DEC17	2136.00	2129.84	USD	154.00	
29SEP17	S 1 PD29DEC17	2137.00	2129.84	USD	179.00	
29SEP17	S 1 PD29DEC17	2136.50	2129.84	USD	166.50	
29SEP17	B 1 PD29DEC17	2131.50	2129.84	USD	-41.50	
29SEP17	B 1 PD29DEC17	2132.00	2129.84	USD	-54.00	
29SEP17	B 1 PD29DEC17	2127.50	2129.84	USD	58.50	
29SEP17	B 1 PD29DEC17	2126.00	2129.84	USD	96.00	
29SEP17	B 1 PD29DEC17	2125.50	2129.84	USD	108.50	
	NET +2 AVG BGHT 2128.50 SOLD 2136.50				**667.00**	**0.00**
03OCT17	B 1 PD03JAN18	2106.00	2131.00	USD	625.00	
03OCT17	B 1 PD03JAN18	2105.00	2131.00	USD	650.00	
03OCT17	B 1 PD03JAN18	2104.50	2131.00	USD	662.50	
03OCT17	S 1 PD03JAN18	2106.50	2131.00	USD	-612.50	
03OCT17	S 2 PD03JAN18	2123.00	2131.00	USD	-400.00	
03OCT17	S 1 PD03JAN18	2127.00	2131.00	USD	-100.00	
03OCT17	B 1 PD03JAN18	2124.50	2131.00	USD	162.50	
03OCT17	S 1 PD03JAN18	2123.50	2131.00	USD	-187.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
03OCT17	B 1 PD03JAN18	2120.00	2131.00	USD	275.00	
03OCT17	B 1 PD03JAN18	2115.50	2131.00	USD	387.50	
03OCT17	B 1 PD03JAN18	2113.50	2131.00	USD	437.50	
03OCT17	S 1 PD03JAN18	2113.50	2131.00	USD	-437.50	
03OCT17	B 1 PD03JAN18	2116.50	2131.00	USD	362.50	
03OCT17	B 1 PD03JAN18	2122.50	2131.00	USD	212.50	
03OCT17	S 2 PD03JAN18	2117.50	2131.00	USD	-675.00	
03OCT17	S 1 PD03JAN18	2120.50	2131.00	USD	-262.50	
	NET 0 AVG BGHT 2114.22 SOLD 2119.11				**1,100.00**	**0.00**
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
04JUL17	B 1 PD04OCT17	2318.50	2584.77	USD	6,656.75	
04JUL17	B 1 PD04OCT17	2313.00	2584.77	USD	6,794.25	
04JUL17	B 1 PD04OCT17	2315.50	2584.77	USD	6,731.75	
04JUL17	B 1 PD04OCT17	2317.50	2584.77	USD	6,681.75	
04JUL17	B 4 PD04OCT17	2317.00	2584.77	USD	26,777.00	
04JUL17	B 5 PD04OCT17	2316.50	2584.77	USD	33,533.75	
04JUL17	S 2 PD04OCT17	2313.00	2584.77	USD	-13,588.50	
04JUL17	S 2 PD04OCT17	2316.00	2584.77	USD	-13,438.50	
04JUL17	S 2 PD04OCT17	2312.00	2584.77	USD	-13,638.50	
04JUL17	S 1 PD04OCT17	2314.00	2584.77	USD	-6,769.25	
04JUL17	S 1 PD04OCT17	2314.50	2584.77	USD	-6,756.75	
04JUL17	S 2 PD04OCT17	2315.00	2584.77	USD	-13,488.50	
04JUL17	B 3 PD04OCT17	2307.50	2584.77	USD	20,795.25	
04JUL17	B 1 PD04OCT17	2304.50	2584.77	USD	7,006.75	
04JUL17	B 2 PD04OCT17	2303.00	2584.77	USD	14,088.50	
04JUL17	S 1 PD04OCT17	2310.00	2584.77	USD	-6,869.25	
04JUL17	S 2 PD04OCT17	2310.50	2584.77	USD	-13,713.50	
04JUL17	S 1 PD04OCT17	2307.00	2584.77	USD	-6,944.25	
04JUL17	B 1 PD04OCT17	2308.50	2584.77	USD	6,906.75	
04JUL17	B 2 PD04OCT17	2309.00	2584.77	USD	13,788.50	
04JUL17	B 2 PD04OCT17	2308.00	2584.77	USD	13,838.50	
04JUL17	B 1 PD04OCT17	2299.50	2584.77	USD	7,131.75	
04JUL17	B 1 PD04OCT17	2300.00	2584.77	USD	7,119.25	
04JUL17	B 2 PD04OCT17	2300.50	2584.77	USD	14,213.50	
04JUL17	B 1 PD04OCT17	2298.00	2584.77	USD	7,169.25	
04JUL17	B 1 PD04OCT17	2317.00	2584.77	USD	6,694.25	
04JUL17	S 3 PD04OCT17	2291.00	2584.77	USD	-22,032.75	
04JUL17	S 1 PD04OCT17	2291.50	2584.77	USD	-7,331.75	
04JUL17	S 1 PD04OCT17	2290.50	2584.77	USD	-7,356.75	
04JUL17	S 4 PD04OCT17	2290.00	2584.77	USD	-29,477.00	
04JUL17	S 1 PD04OCT17	2289.50	2584.77	USD	-7,381.75	
04JUL17	S 2 PD04OCT17	2288.00	2584.77	USD	-14,838.50	
04JUL17	S 3 PD04OCT17	2288.50	2584.77	USD	-22,220.25	
04JUL17	S 3 PD04OCT17	2289.00	2584.77	USD	-22,182.75	
04JUL17	S 1 PD04OCT17	2301.00	2584.77	USD	-7,094.25	
04JUL17	B 2 PD04OCT17	2305.00	2584.77	USD	13,988.50	
04JUL17	B 1 PD04OCT17	2307.00	2584.77	USD	6,944.25	
04JUL17	B 5 PD04OCT17	2306.50	2584.77	USD	34,783.75	
04JUL17	B 7 PD04OCT17	2306.00	2584.77	USD	48,784.75	
04JUL17	S 1 PD04OCT17	2290.00	2584.77	USD	-7,369.25	
04JUL17	S 1 PD04OCT17	2306.50	2584.77	USD	-6,956.75	
04JUL17	S 2 PD04OCT17	2304.00	2584.77	USD	-14,038.50	
04JUL17	S 2 PD04OCT17	2304.50	2584.77	USD	-14,013.50	
04JUL17	B 2 PD04OCT17	2299.00	2584.77	USD	14,288.50	
04JUL17	B 1 PD04OCT17	2296.00	2584.77	USD	7,219.25	
04JUL17	S 1 PD04OCT17	2295.00	2584.77	USD	-7,244.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
04JUL17	S 1 PD04OCT17	2296.50	2584.77	USD	-7,206.75	
04JUL17	S 2 PD04OCT17	2300.00	2584.77	USD	-14,238.50	
04JUL17	S 3 PD04OCT17	2297.00	2584.77	USD	-21,582.75	
04JUL17	B 2 PD04OCT17	2293.50	2584.77	USD	14,563.50	
04JUL17	S 1 PD04OCT17	2292.00	2584.77	USD	-7,319.25	
04JUL17	S 1 PD04OCT17	2296.00	2584.77	USD	-7,219.25	
04JUL17	S 1 PD04OCT17	2295.50	2584.77	USD	-7,231.75	
04JUL17	B 1 PD04OCT17	2296.50	2584.77	USD	7,206.75	
05JUL17	S 3 PD04OCT17	2274.80	2584.77	USD	-23,247.75	
10JUL17	B 1 PD04OCT17	2319.45	2584.77	USD	6,633.00	
	NET 0 AVG BGHT 2307.59 SOLD 2298.01				**-12,451.25**	**0.00**
05JUL17	S 2 PD05OCT17	2292.00	2585.00	USD	-14,650.00	
05JUL17	S 1 PD05OCT17	2293.00	2585.00	USD	-7,300.00	
05JUL17	S 1 PD05OCT17	2293.50	2585.00	USD	-7,287.50	
05JUL17	B 1 PD05OCT17	2297.00	2585.00	USD	7,200.00	
05JUL17	B 3 PD05OCT17	2298.50	2585.00	USD	21,487.50	
05JUL17	B 2 PD05OCT17	2301.00	2585.00	USD	14,200.00	
05JUL17	S 1 PD05OCT17	2298.00	2585.00	USD	-7,175.00	
05JUL17	B 1 PD05OCT17	2298.00	2585.00	USD	7,175.00	
05JUL17	B 1 PD05OCT17	2296.50	2585.00	USD	7,212.50	
05JUL17	S 1 PD05OCT17	2287.50	2585.00	USD	-7,437.50	
05JUL17	S 1 PD05OCT17	2288.00	2585.00	USD	-7,425.00	
05JUL17	S 1 PD05OCT17	2286.00	2585.00	USD	-7,475.00	
05JUL17	B 1 PD05OCT17	2280.00	2585.00	USD	7,625.00	
05JUL17	S 2 PD05OCT17	2284.50	2585.00	USD	-15,025.00	
05JUL17	B 1 PD05OCT17	2279.00	2585.00	USD	7,650.00	
05JUL17	S 2 PD05OCT17	2278.00	2585.00	USD	-15,350.00	
05JUL17	B 2 PD05OCT17	2273.50	2585.00	USD	15,575.00	
05JUL17	B 7 PD05OCT17	2278.00	2585.00	USD	53,725.00	
05JUL17	S 1 PD05OCT17	2280.00	2585.00	USD	-7,625.00	
05JUL17	S 1 PD05OCT17	2281.00	2585.00	USD	-7,600.00	
05JUL17	S 1 PD05OCT17	2284.00	2585.00	USD	-7,525.00	
05JUL17	S 1 PD05OCT17	2283.50	2585.00	USD	-7,537.50	
05JUL17	S 1 PD05OCT17	2281.50	2585.00	USD	-7,587.50	
05JUL17	S 2 PD05OCT17	2279.00	2585.00	USD	-15,300.00	
05JUL17	S 1 PD05OCT17	2278.50	2585.00	USD	-7,662.50	
05JUL17	S 1 PD05OCT17	2276.50	2585.00	USD	-7,712.50	
05JUL17	B 3 PD05OCT17	2272.00	2585.00	USD	23,475.00	
05JUL17	B 4 PD05OCT17	2274.00	2585.00	USD	31,100.00	
05JUL17	B 2 PD05OCT17	2271.50	2585.00	USD	15,675.00	
05JUL17	B 1 PD05OCT17	2269.50	2585.00	USD	7,887.50	
05JUL17	S 1 PD05OCT17	2269.00	2585.00	USD	-7,900.00	
05JUL17	B 1 PD05OCT17	2268.00	2585.00	USD	7,925.00	
05JUL17	B 1 PD05OCT17	2267.50	2585.00	USD	7,937.50	
05JUL17	B 1 PD05OCT17	2267.00	2585.00	USD	7,950.00	
05JUL17	S 2 PD05OCT17	2268.50	2585.00	USD	-15,825.00	
05JUL17	S 1 PD05OCT17	2272.50	2585.00	USD	-7,812.50	
05JUL17	S 1 PD05OCT17	2272.00	2585.00	USD	-7,825.00	
05JUL17	S 1 PD05OCT17	2271.50	2585.00	USD	-7,837.50	
05JUL17	S 1 PD05OCT17	2274.50	2585.00	USD	-7,762.50	
05JUL17	S 1 PD05OCT17	2269.50	2585.00	USD	-7,887.50	
05JUL17	B 1 PD05OCT17	2269.00	2585.00	USD	7,900.00	
05JUL17	B 4 PD05OCT17	2265.00	2585.00	USD	32,000.00	
05JUL17	B 1 PD05OCT17	2266.50	2585.00	USD	7,962.50	
05JUL17	B 1 PD05OCT17	2263.50	2585.00	USD	8,037.50	
05JUL17	S 1 PD05OCT17	2265.50	2585.00	USD	-7,987.50	
05JUL17	S 1 PD05OCT17	2265.00	2585.00	USD	-8,000.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
05JUL17	S 2 PD05OCT17	2263.00	2585.00	USD	-16,100.00	
05JUL17	S 1 PD05OCT17	2262.50	2585.00	USD	-8,062.50	
05JUL17	B 2 PD05OCT17	2261.00	2585.00	USD	16,200.00	
05JUL17	B 1 PD05OCT17	2258.50	2585.00	USD	8,162.50	
05JUL17	S 1 PD05OCT17	2253.50	2585.00	USD	-8,287.50	
05JUL17	B 2 PD05OCT17	2254.00	2585.00	USD	16,550.00	
05JUL17	B 2 PD05OCT17	2256.50	2585.00	USD	16,425.00	
05JUL17	S 1 PD05OCT17	2251.50	2585.00	USD	-8,337.50	
05JUL17	S 1 PD05OCT17	2252.00	2585.00	USD	-8,325.00	
05JUL17	S 1 PD05OCT17	2252.50	2585.00	USD	-8,312.50	
05JUL17	S 3 PD05OCT17	2254.00	2585.00	USD	-24,825.00	
05JUL17	S 2 PD05OCT17	2257.50	2585.00	USD	-16,375.00	
05JUL17	B 1 PD05OCT17	2266.50	2585.00	USD	7,962.50	
05JUL17	B 1 PD05OCT17	2265.00	2585.00	USD	8,000.00	
05JUL17	S 1 PD05OCT17	2249.00	2585.00	USD	-8,400.00	
05JUL17	B 2 PD05OCT17	2245.00	2585.00	USD	17,000.00	
05JUL17	B 3 PD05OCT17	2243.50	2585.00	USD	25,612.50	
05JUL17	B 1 PD05OCT17	2247.50	2585.00	USD	8,437.50	
05JUL17	S 2 PD05OCT17	2256.00	2585.00	USD	-16,450.00	
05JUL17	S 2 PD05OCT17	2258.00	2585.00	USD	-16,350.00	
05JUL17	S 1 PD05OCT17	2255.50	2585.00	USD	-8,237.50	
05JUL17	S 1 PD05OCT17	2255.00	2585.00	USD	-8,250.00	
05JUL17	S 1 PD05OCT17	2256.50	2585.00	USD	-8,212.50	
05JUL17	S 1 PD05OCT17	2259.00	2585.00	USD	-8,150.00	
05JUL17	S 1 PD05OCT17	2267.50	2585.00	USD	-7,937.50	
05JUL17	S 1 PD05OCT17	2273.50	2585.00	USD	-7,787.50	
05JUL17	S 2 PD05OCT17	2274.00	2585.00	USD	-15,550.00	
05JUL17	B 5 PD05OCT17	2271.00	2585.00	USD	39,250.00	
05JUL17	B 2 PD05OCT17	2270.50	2585.00	USD	15,725.00	
05JUL17	S 5 PD05OCT17	2273.00	2585.00	USD	-39,000.00	
	NET 0 AVG BGHT 2270.89 SOLD 2270.60				**-437.50**	**0.00**
06JUL17	B 2 PD06OCT17	2264.50	2585.23	USD	16,036.50	
06JUL17	B 3 PD06OCT17	2265.00	2585.23	USD	24,017.25	
06JUL17	S 1 PD06OCT17	2261.50	2585.23	USD	-8,093.25	
06JUL17	S 4 PD06OCT17	2261.00	2585.23	USD	-32,423.00	
06JUL17	S 1 PD06OCT17	2260.50	2585.23	USD	-8,118.25	
06JUL17	S 1 PD06OCT17	2259.50	2585.23	USD	-8,143.25	
06JUL17	S 4 PD06OCT17	2260.00	2585.23	USD	-32,523.00	
06JUL17	S 1 PD06OCT17	2257.00	2585.23	USD	-8,205.75	
06JUL17	S 1 PD06OCT17	2271.50	2585.23	USD	-7,843.25	
06JUL17	S 1 PD06OCT17	2272.00	2585.23	USD	-7,830.75	
06JUL17	B 1 PD06OCT17	2272.00	2585.23	USD	7,830.75	
06JUL17	B 2 PD06OCT17	2269.50	2585.23	USD	15,786.50	
06JUL17	B 3 PD06OCT17	2273.50	2585.23	USD	23,379.75	
06JUL17	B 1 PD06OCT17	2272.50	2585.23	USD	7,818.25	
06JUL17	B 1 PD06OCT17	2280.50	2585.23	USD	7,618.25	
06JUL17	B 1 PD06OCT17	2281.50	2585.23	USD	7,593.25	
06JUL17	B 1 PD06OCT17	2281.00	2585.23	USD	7,605.75	
06JUL17	B 4 PD06OCT17	2278.50	2585.23	USD	30,673.00	
06JUL17	B 1 PD06OCT17	2273.00	2585.23	USD	7,805.75	
06JUL17	B 1 PD06OCT17	2272.50	2585.23	USD	7,818.25	
06JUL17	S 2 PD06OCT17	2283.00	2585.23	USD	-15,111.50	
06JUL17	B 2 PD06OCT17	2278.00	2585.23	USD	15,361.50	
06JUL17	S 1 PD06OCT17	2276.00	2585.23	USD	-7,730.75	
06JUL17	S 1 PD06OCT17	2276.50	2585.23	USD	-7,718.25	
06JUL17	S 1 PD06OCT17	2277.00	2585.23	USD	-7,705.75	
06JUL17	S 1 PD06OCT17	2277.50	2585.23	USD	-7,693.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
06JUL17	S 1 PD06OCT17	2279.00	2585.23	USD	-7,655.75	
06JUL17	S 2 PD06OCT17	2275.00	2585.23	USD	-15,511.50	
06JUL17	S 1 PD06OCT17	2275.50	2585.23	USD	-7,743.25	
06JUL17	S 1 PD06OCT17	2281.00	2585.23	USD	-7,605.75	
06JUL17	B 1 PD06OCT17	2288.00	2585.23	USD	7,430.75	
06JUL17	S 1 PD06OCT17	2276.50	2585.23	USD	-7,718.25	
06JUL17	S 1 PD06OCT17	2287.50	2585.23	USD	-7,443.25	
06JUL17	B 1 PD06OCT17	2282.00	2585.23	USD	7,580.75	
06JUL17	B 1 PD06OCT17	2284.00	2585.23	USD	7,530.75	
06JUL17	B 1 PD06OCT17	2287.00	2585.23	USD	7,455.75	
07JUL17	S 4 PD06OCT17	2281.50	2585.23	USD	-30,373.00	
07JUL17	S 1 PD06OCT17	2283.50	2585.23	USD	-7,543.25	
07JUL17	S 1 PD06OCT17	2285.50	2585.23	USD	-7,493.25	
07JUL17	S 1 PD06OCT17	2287.50	2585.23	USD	-7,443.25	
07JUL17	B 1 PD06OCT17	2291.00	2585.23	USD	7,355.75	
07JUL17	B 1 PD06OCT17	2297.00	2585.23	USD	7,205.75	
07JUL17	B 2 PD06OCT17	2296.00	2585.23	USD	14,461.50	
07JUL17	S 1 PD06OCT17	2303.50	2585.23	USD	-7,043.25	
07JUL17	S 3 PD06OCT17	2305.50	2585.23	USD	-20,979.75	
07JUL17	S 3 PD06OCT17	2304.00	2585.23	USD	-21,092.25	
07JUL17	S 2 PD06OCT17	2305.00	2585.23	USD	-14,011.50	
07JUL17	S 1 PD06OCT17	2304.50	2585.23	USD	-7,018.25	
07JUL17	S 2 PD06OCT17	2303.00	2585.23	USD	-14,111.50	
07JUL17	S 1 PD06OCT17	2301.50	2585.23	USD	-7,093.25	
07JUL17	S 2 PD06OCT17	2302.00	2585.23	USD	-14,161.50	
07JUL17	S 1 PD06OCT17	2302.50	2585.23	USD	-7,068.25	
07JUL17	S 1 PD06OCT17	2301.00	2585.23	USD	-7,105.75	
07JUL17	B 1 PD06OCT17	2289.50	2585.23	USD	7,393.25	
07JUL17	B 2 PD06OCT17	2289.00	2585.23	USD	14,811.50	
07JUL17	S 1 PD06OCT17	2285.00	2585.23	USD	-7,505.75	
07JUL17	B 1 PD06OCT17	2283.50	2585.23	USD	7,543.25	
07JUL17	S 2 PD06OCT17	2282.00	2585.23	USD	-15,161.50	
07JUL17	S 1 PD06OCT17	2286.00	2585.23	USD	-7,480.75	
07JUL17	B 1 PD06OCT17	2276.50	2585.23	USD	7,718.25	
07JUL17	B 1 PD06OCT17	2275.00	2585.23	USD	7,755.75	
07JUL17	B 1 PD06OCT17	2274.50	2585.23	USD	7,768.25	
07JUL17	B 1 PD06OCT17	2274.00	2585.23	USD	7,780.75	
07JUL17	B 2 PD06OCT17	2278.00	2585.23	USD	15,361.50	
07JUL17	B 3 PD06OCT17	2285.00	2585.23	USD	22,517.25	
07JUL17	B 2 PD06OCT17	2284.50	2585.23	USD	15,036.50	
07JUL17	B 1 PD06OCT17	2280.00	2585.23	USD	7,630.75	
07JUL17	S 1 PD06OCT17	2299.50	2585.23	USD	-7,143.25	
07JUL17	S 2 PD06OCT17	2299.00	2585.23	USD	-14,311.50	
07JUL17	S 1 PD06OCT17	2284.00	2585.23	USD	-7,530.75	
07JUL17	S 1 PD06OCT17	2287.00	2585.23	USD	-7,455.75	
07JUL17	B 2 PD06OCT17	2287.50	2585.23	USD	14,886.50	
07JUL17	B 1 PD06OCT17	2283.00	2585.23	USD	7,555.75	
07JUL17	B 3 PD06OCT17	2281.00	2585.23	USD	22,817.25	
07JUL17	S 2 PD06OCT17	2290.50	2585.23	USD	-14,736.50	
07JUL17	B 1 PD06OCT17	2284.00	2585.23	USD	7,530.75	
07JUL17	B 2 PD06OCT17	2295.50	2585.23	USD	14,486.50	
07JUL17	B 3 PD06OCT17	2297.50	2585.23	USD	21,579.75	
07JUL17	B 4 PD06OCT17	2298.50	2585.23	USD	28,673.00	
10JUL17	S 1 PD06OCT17	2319.44	2585.23	USD	-6,644.75	
	NET 0 AVG BGHT 2282.24 SOLD 2284.71				**3,886.00**	**0.00**
10JUL17	S 1 PD10OCT17	2280.00	2586.15	USD	-7,653.75	
10JUL17	B 1 PD10OCT17	2284.00	2586.15	USD	7,553.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
10JUL17	S 1 PD10OCT17	2279.00	2586.15	USD	-7,678.75	
10JUL17	S 2 PD10OCT17	2280.50	2586.15	USD	-15,282.50	
10JUL17	S 3 PD10OCT17	2297.00	2586.15	USD	-21,686.25	
10JUL17	S 2 PD10OCT17	2287.50	2586.15	USD	-14,932.50	
10JUL17	B 1 PD10OCT17	2274.50	2586.15	USD	7,791.25	
10JUL17	B 1 PD10OCT17	2274.00	2586.15	USD	7,803.75	
10JUL17	B 1 PD10OCT17	2278.50	2586.15	USD	7,691.25	
10JUL17	B 1 PD10OCT17	2280.50	2586.15	USD	7,641.25	
10JUL17	B 1 PD10OCT17	2283.00	2586.15	USD	7,578.75	
10JUL17	S 2 PD10OCT17	2284.50	2586.15	USD	-15,082.50	
10JUL17	B 3 PD10OCT17	2285.50	2586.15	USD	22,548.75	
10JUL17	S 1 PD10OCT17	2283.50	2586.15	USD	-7,566.25	
10JUL17	S 1 PD10OCT17	2289.50	2586.15	USD	-7,416.25	
10JUL17	S 1 PD10OCT17	2291.00	2586.15	USD	-7,378.75	
10JUL17	S 1 PD10OCT17	2291.50	2586.15	USD	-7,366.25	
10JUL17	S 2 PD10OCT17	2288.50	2586.15	USD	-14,882.50	
10JUL17	S 1 PD10OCT17	2288.00	2586.15	USD	-7,453.75	
10JUL17	B 2 PD10OCT17	2282.50	2586.15	USD	15,182.50	
10JUL17	B 1 PD10OCT17	2281.00	2586.15	USD	7,628.75	
10JUL17	B 1 PD10OCT17	2281.50	2586.15	USD	7,616.25	
10JUL17	B 1 PD10OCT17	2280.00	2586.15	USD	7,653.75	
10JUL17	B 1 PD10OCT17	2279.50	2586.15	USD	7,666.25	
10JUL17	B 2 PD10OCT17	2271.50	2586.15	USD	15,732.50	
10JUL17	B 2 PD10OCT17	2273.50	2586.15	USD	15,632.50	
10JUL17	B 1 PD10OCT17	2294.00	2586.15	USD	7,303.75	
10JUL17	B 1 PD10OCT17	2271.50	2586.15	USD	7,866.25	
10JUL17	S 2 PD10OCT17	2298.50	2586.15	USD	-14,382.50	
10JUL17	S 1 PD10OCT17	2300.00	2586.15	USD	-7,153.75	
10JUL17	S 3 PD10OCT17	2302.50	2586.15	USD	-21,273.75	
10JUL17	S 1 PD10OCT17	2304.00	2586.15	USD	-7,053.75	
10JUL17	S 1 PD10OCT17	2307.00	2586.15	USD	-6,978.75	
10JUL17	S 1 PD10OCT17	2310.00	2586.15	USD	-6,903.75	
10JUL17	S 1 PD10OCT17	2320.00	2586.15	USD	-6,653.75	
10JUL17	S 1 PD10OCT17	2320.50	2586.15	USD	-6,641.25	
10JUL17	B 1 PD10OCT17	2315.00	2586.15	USD	6,778.75	
10JUL17	B 1 PD10OCT17	2315.00	2586.15	USD	6,778.75	
12JUL17	B 6 PD10OCT17	2327.37	2586.15	USD	38,817.00	
	NET 0 AVG BGHT 2291.99 SOLD 2294.53				**1,844.50**	**0.00**
11JUL17	S 1 PD11OCT17	2321.50	2586.38	USD	-6,622.00	
11JUL17	B 2 PD11OCT17	2323.50	2586.38	USD	13,144.00	
11JUL17	S 1 PD11OCT17	2320.50	2586.38	USD	-6,647.00	
11JUL17	S 1 PD11OCT17	2319.50	2586.38	USD	-6,672.00	
11JUL17	B 1 PD11OCT17	2325.50	2586.38	USD	6,522.00	
11JUL17	B 1 PD11OCT17	2326.00	2586.38	USD	6,509.50	
11JUL17	S 2 PD11OCT17	2328.00	2586.38	USD	-12,919.00	
11JUL17	S 3 PD11OCT17	2327.50	2586.38	USD	-19,416.00	
11JUL17	S 2 PD11OCT17	2325.50	2586.38	USD	-13,044.00	
11JUL17	S 4 PD11OCT17	2322.00	2586.38	USD	-26,438.00	
11JUL17	B 1 PD11OCT17	2323.00	2586.38	USD	6,584.50	
11JUL17	S 2 PD11OCT17	2322.50	2586.38	USD	-13,194.00	
11JUL17	S 3 PD11OCT17	2324.50	2586.38	USD	-19,641.00	
11JUL17	B 1 PD11OCT17	2318.00	2586.38	USD	6,709.50	
11JUL17	B 2 PD11OCT17	2317.00	2586.38	USD	13,469.00	
11JUL17	B 1 PD11OCT17	2312.00	2586.38	USD	6,859.50	
11JUL17	B 2 PD11OCT17	2311.50	2586.38	USD	13,744.00	
11JUL17	S 2 PD11OCT17	2312.00	2586.38	USD	-13,719.00	
11JUL17	S 1 PD11OCT17	2313.00	2586.38	USD	-6,834.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
11JUL17	S 1 PD11OCT17	2314.00	2586.38	USD	-6,809.50	
11JUL17	B 1 PD11OCT17	2319.50	2586.38	USD	6,672.00	
11JUL17	B 1 PD11OCT17	2322.50	2586.38	USD	6,597.00	
11JUL17	B 1 PD11OCT17	2329.50	2586.38	USD	6,422.00	
11JUL17	B 1 PD11OCT17	2331.00	2586.38	USD	6,384.50	
11JUL17	B 1 PD11OCT17	2324.00	2586.38	USD	6,559.50	
11JUL17	B 2 PD11OCT17	2326.50	2586.38	USD	12,994.00	
11JUL17	S 2 PD11OCT17	2340.50	2586.38	USD	-12,294.00	
11JUL17	B 2 PD11OCT17	2339.50	2586.38	USD	12,344.00	
11JUL17	B 1 PD11OCT17	2333.50	2586.38	USD	6,322.00	
11JUL17	B 2 PD11OCT17	2331.50	2586.38	USD	12,744.00	
11JUL17	B 1 PD11OCT17	2327.00	2586.38	USD	6,484.50	
11JUL17	B 3 PD11OCT17	2320.50	2586.38	USD	19,941.00	
11JUL17	B 1 PD11OCT17	2321.00	2586.38	USD	6,634.50	
11JUL17	B 2 PD11OCT17	2320.00	2586.38	USD	13,319.00	
11JUL17	B 1 PD11OCT17	2317.50	2586.38	USD	6,722.00	
11JUL17	B 1 PD11OCT17	2316.00	2586.38	USD	6,759.50	
11JUL17	B 1 PD11OCT17	2306.50	2586.38	USD	6,997.00	
11JUL17	B 1 PD11OCT17	2308.00	2586.38	USD	6,959.50	
11JUL17	B 1 PD11OCT17	2304.00	2586.38	USD	7,059.50	
11JUL17	B 2 PD11OCT17	2306.00	2586.38	USD	14,019.00	
11JUL17	B 1 PD11OCT17	2306.50	2586.38	USD	6,997.00	
11JUL17	S 1 PD11OCT17	2306.50	2586.38	USD	-6,997.00	
12JUL17	S 6 PD11OCT17	2327.30	2586.38	USD	-38,862.00	
13JUL17	S 6 PD11OCT17	2294.25	2586.38	USD	-43,819.50	
	NET 0 AVG BGHT 2320.62 SOLD 2319.09				**-1,455.00**	**0.00**
12JUL17	S 1 PD12OCT17	2319.00	2586.62	USD	-6,690.50	
12JUL17	S 1 PD12OCT17	2315.50	2586.62	USD	-6,778.00	
12JUL17	S 2 PD12OCT17	2316.00	2586.62	USD	-13,531.00	
12JUL17	S 1 PD12OCT17	2323.00	2586.62	USD	-6,590.50	
12JUL17	B 1 PD12OCT17	2322.50	2586.62	USD	6,603.00	
12JUL17	S 1 PD12OCT17	2321.50	2586.62	USD	-6,628.00	
12JUL17	S 2 PD12OCT17	2318.00	2586.62	USD	-13,431.00	
12JUL17	S 1 PD12OCT17	2315.00	2586.62	USD	-6,790.50	
12JUL17	S 1 PD12OCT17	2314.50	2586.62	USD	-6,803.00	
12JUL17	S 1 PD12OCT17	2312.50	2586.62	USD	-6,853.00	
12JUL17	B 1 PD12OCT17	2309.50	2586.62	USD	6,928.00	
12JUL17	S 3 PD12OCT17	2316.50	2586.62	USD	-20,259.00	
12JUL17	S 1 PD12OCT17	2318.50	2586.62	USD	-6,703.00	
12JUL17	S 1 PD12OCT17	2319.50	2586.62	USD	-6,678.00	
12JUL17	S 3 PD12OCT17	2320.00	2586.62	USD	-19,996.50	
12JUL17	S 1 PD12OCT17	2326.50	2586.62	USD	-6,503.00	
12JUL17	S 1 PD12OCT17	2337.00	2586.62	USD	-6,240.50	
12JUL17	S 1 PD12OCT17	2338.50	2586.62	USD	-6,203.00	
12JUL17	B 1 PD12OCT17	2331.00	2586.62	USD	6,390.50	
12JUL17	B 1 PD12OCT17	2327.50	2586.62	USD	6,478.00	
12JUL17	B 1 PD12OCT17	2327.00	2586.62	USD	6,490.50	
12JUL17	B 1 PD12OCT17	2326.00	2586.62	USD	6,515.50	
12JUL17	B 1 PD12OCT17	2330.00	2586.62	USD	6,415.50	
12JUL17	S 1 PD12OCT17	2318.00	2586.62	USD	-6,715.50	
12JUL17	B 1 PD12OCT17	2330.50	2586.62	USD	6,403.00	
12JUL17	B 1 PD12OCT17	2324.00	2586.62	USD	6,565.50	
12JUL17	B 1 PD12OCT17	2331.50	2586.62	USD	6,378.00	
12JUL17	B 1 PD12OCT17	2324.50	2586.62	USD	6,553.00	
12JUL17	B 1 PD12OCT17	2318.50	2586.62	USD	6,703.00	
12JUL17	B 2 PD12OCT17	2316.50	2586.62	USD	13,506.00	
12JUL17	S 3 PD12OCT17	2320.50	2586.62	USD	-19,959.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
12JUL17	B 1 PD12OCT17	2319.50	2586.62	USD	6,678.00	
12JUL17	S 1 PD12OCT17	2322.00	2586.62	USD	-6,615.50	
12JUL17	S 5 PD12OCT17	2324.00	2586.62	USD	-32,827.50	
12JUL17	S 2 PD12OCT17	2323.50	2586.62	USD	-13,156.00	
12JUL17	S 9 PD12OCT17	2322.50	2586.62	USD	-59,427.00	
12JUL17	S 1 PD12OCT17	2325.00	2586.62	USD	-6,540.50	
12JUL17	S 1 PD12OCT17	2325.50	2586.62	USD	-6,528.00	
12JUL17	S 1 PD12OCT17	2326.00	2586.62	USD	-6,515.50	
12JUL17	S 1 PD12OCT17	2328.50	2586.62	USD	-6,453.00	
12JUL17	S 1 PD12OCT17	2330.00	2586.62	USD	-6,415.50	
12JUL17	B 2 PD12OCT17	2323.50	2586.62	USD	13,156.00	
12JUL17	B 5 PD12OCT17	2322.00	2586.62	USD	33,077.50	
12JUL17	B 2 PD12OCT17	2318.00	2586.62	USD	13,431.00	
12JUL17	B 2 PD12OCT17	2321.50	2586.62	USD	13,256.00	
12JUL17	B 2 PD12OCT17	2320.00	2586.62	USD	13,331.00	
12JUL17	B 4 PD12OCT17	2321.00	2586.62	USD	26,562.00	
12JUL17	B 4 PD12OCT17	2320.50	2586.62	USD	26,612.00	
12JUL17	B 2 PD12OCT17	2319.00	2586.62	USD	13,381.00	
12JUL17	B 1 PD12OCT17	2325.50	2586.62	USD	6,528.00	
13JUL17	B 6 PD12OCT17	2294.33	2586.62	USD	43,843.50	
18JUL17	B 3 PD12OCT17	2273.98	2586.62	USD	23,448.00	
	NET 0 AVG BGHT 2315.59 SOLD 2321.76				**7,402.00**	**0.00**
13JUL17	B 2 PD13OCT17	2322.00	2586.85	USD	13,242.50	
13JUL17	B 2 PD13OCT17	2322.50	2586.85	USD	13,217.50	
13JUL17	B 1 PD13OCT17	2324.50	2586.85	USD	6,558.75	
13JUL17	B 2 PD13OCT17	2323.50	2586.85	USD	13,167.50	
13JUL17	B 4 PD13OCT17	2323.00	2586.85	USD	26,385.00	
13JUL17	B 1 PD13OCT17	2313.00	2586.85	USD	6,846.25	
13JUL17	S 1 PD13OCT17	2319.00	2586.85	USD	-6,696.25	
13JUL17	B 1 PD13OCT17	2326.50	2586.85	USD	6,508.75	
13JUL17	S 1 PD13OCT17	2329.00	2586.85	USD	-6,446.25	
13JUL17	S 1 PD13OCT17	2328.50	2586.85	USD	-6,458.75	
13JUL17	S 1 PD13OCT17	2324.50	2586.85	USD	-6,558.75	
13JUL17	S 1 PD13OCT17	2322.50	2586.85	USD	-6,608.75	
13JUL17	S 1 PD13OCT17	2323.00	2586.85	USD	-6,596.25	
13JUL17	B 1 PD13OCT17	2319.50	2586.85	USD	6,683.75	
13JUL17	S 1 PD13OCT17	2322.00	2586.85	USD	-6,621.25	
13JUL17	S 2 PD13OCT17	2323.50	2586.85	USD	-13,167.50	
13JUL17	S 3 PD13OCT17	2327.00	2586.85	USD	-19,488.75	
13JUL17	S 1 PD13OCT17	2326.50	2586.85	USD	-6,508.75	
13JUL17	S 3 PD13OCT17	2326.00	2586.85	USD	-19,563.75	
13JUL17	S 2 PD13OCT17	2327.50	2586.85	USD	-12,967.50	
13JUL17	B 1 PD13OCT17	2320.00	2586.85	USD	6,671.25	
13JUL17	B 2 PD13OCT17	2319.00	2586.85	USD	13,392.50	
13JUL17	B 1 PD13OCT17	2318.00	2586.85	USD	6,721.25	
13JUL17	B 2 PD13OCT17	2321.00	2586.85	USD	13,292.50	
13JUL17	B 4 PD13OCT17	2320.50	2586.85	USD	26,635.00	
13JUL17	B 1 PD13OCT17	2315.50	2586.85	USD	6,783.75	
13JUL17	B 2 PD13OCT17	2315.00	2586.85	USD	13,592.50	
13JUL17	B 1 PD13OCT17	2314.00	2586.85	USD	6,821.25	
13JUL17	B 1 PD13OCT17	2310.50	2586.85	USD	6,908.75	
13JUL17	B 1 PD13OCT17	2305.50	2586.85	USD	7,033.75	
13JUL17	S 1 PD13OCT17	2307.00	2586.85	USD	-6,996.25	
13JUL17	S 1 PD13OCT17	2308.00	2586.85	USD	-6,971.25	
13JUL17	S 1 PD13OCT17	2307.50	2586.85	USD	-6,983.75	
13JUL17	S 2 PD13OCT17	2308.50	2586.85	USD	-13,917.50	
13JUL17	S 2 PD13OCT17	2309.00	2586.85	USD	-13,892.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
13JUL17	S 1 PD13OCT17	2300.50	2586.85	USD	-7,158.75	
13JUL17	S 3 PD13OCT17	2298.00	2586.85	USD	-21,663.75	
13JUL17	S 1 PD13OCT17	2299.00	2586.85	USD	-7,196.25	
13JUL17	S 1 PD13OCT17	2305.00	2586.85	USD	-7,046.25	
13JUL17	B 1 PD13OCT17	2293.00	2586.85	USD	7,346.25	
13JUL17	B 1 PD13OCT17	2294.50	2586.85	USD	7,308.75	
13JUL17	B 1 PD13OCT17	2295.00	2586.85	USD	7,296.25	
13JUL17	B 1 PD13OCT17	2294.00	2586.85	USD	7,321.25	
13JUL17	B 4 PD13OCT17	2296.50	2586.85	USD	29,035.00	
13JUL17	B 2 PD13OCT17	2296.00	2586.85	USD	14,542.50	
13JUL17	B 1 PD13OCT17	2314.00	2586.85	USD	6,821.25	
13JUL17	B 2 PD13OCT17	2291.50	2586.85	USD	14,767.50	
13JUL17	B 2 PD13OCT17	2291.00	2586.85	USD	14,792.50	
13JUL17	B 1 PD13OCT17	2290.00	2586.85	USD	7,421.25	
13JUL17	B 3 PD13OCT17	2293.50	2586.85	USD	22,001.25	
13JUL17	B 1 PD13OCT17	2290.50	2586.85	USD	7,408.75	
13JUL17	B 1 PD13OCT17	2288.50	2586.85	USD	7,458.75	
13JUL17	S 1 PD13OCT17	2294.00	2586.85	USD	-7,321.25	
13JUL17	S 1 PD13OCT17	2290.00	2586.85	USD	-7,421.25	
13JUL17	S 1 PD13OCT17	2291.50	2586.85	USD	-7,383.75	
13JUL17	S 2 PD13OCT17	2288.50	2586.85	USD	-14,917.50	
13JUL17	S 2 PD13OCT17	2289.00	2586.85	USD	-14,892.50	
13JUL17	B 1 PD13OCT17	2292.50	2586.85	USD	7,358.75	
14JUL17	S 1 PD13OCT17	2281.00	2586.85	USD	-7,646.25	
14JUL17	S 1 PD13OCT17	2280.50	2586.85	USD	-7,658.75	
14JUL17	S 3 PD13OCT17	2282.00	2586.85	USD	-22,863.75	
14JUL17	B 2 PD13OCT17	2285.00	2586.85	USD	15,092.50	
14JUL17	S 3 PD13OCT17	2287.50	2586.85	USD	-22,451.25	
14JUL17	S 2 PD13OCT17	2289.50	2586.85	USD	-14,867.50	
14JUL17	S 3 PD13OCT17	2287.00	2586.85	USD	-22,488.75	
14JUL17	B 2 PD13OCT17	2283.50	2586.85	USD	15,167.50	
14JUL17	B 1 PD13OCT17	2280.00	2586.85	USD	7,671.25	
14JUL17	B 1 PD13OCT17	2276.00	2586.85	USD	7,771.25	
14JUL17	B 1 PD13OCT17	2275.50	2586.85	USD	7,783.75	
14JUL17	S 1 PD13OCT17	2277.00	2586.85	USD	-7,746.25	
14JUL17	B 1 PD13OCT17	2278.00	2586.85	USD	7,721.25	
14JUL17	S 1 PD13OCT17	2285.50	2586.85	USD	-7,533.75	
14JUL17	B 2 PD13OCT17	2277.00	2586.85	USD	15,492.50	
14JUL17	B 2 PD13OCT17	2277.50	2586.85	USD	15,467.50	
14JUL17	B 2 PD13OCT17	2275.00	2586.85	USD	15,592.50	
14JUL17	S 2 PD13OCT17	2273.50	2586.85	USD	-15,667.50	
14JUL17	B 1 PD13OCT17	2273.50	2586.85	USD	7,833.75	
14JUL17	B 1 PD13OCT17	2272.50	2586.85	USD	7,858.75	
14JUL17	B 1 PD13OCT17	2271.00	2586.85	USD	7,896.25	
14JUL17	B 1 PD13OCT17	2270.50	2586.85	USD	7,908.75	
14JUL17	B 2 PD13OCT17	2270.00	2586.85	USD	15,842.50	
14JUL17	B 1 PD13OCT17	2266.00	2586.85	USD	8,021.25	
14JUL17	S 1 PD13OCT17	2276.50	2586.85	USD	-7,758.75	
14JUL17	B 2 PD13OCT17	2276.50	2586.85	USD	15,517.50	
14JUL17	S 2 PD13OCT17	2279.50	2586.85	USD	-15,367.50	
14JUL17	S 3 PD13OCT17	2281.50	2586.85	USD	-22,901.25	
14JUL17	B 1 PD13OCT17	2285.50	2586.85	USD	7,533.75	
14JUL17	B 1 PD13OCT17	2280.50	2586.85	USD	7,658.75	
14JUL17	B 1 PD13OCT17	2281.00	2586.85	USD	7,646.25	
14JUL17	S 2 PD13OCT17	2284.00	2586.85	USD	-15,142.50	
14JUL17	B 1 PD13OCT17	2287.50	2586.85	USD	7,483.75	
14JUL17	S 3 PD13OCT17	2289.00	2586.85	USD	-22,338.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
14JUL17	S 1 PD13OCT17	2286.00	2586.85	USD	-7,521.25	
14JUL17	S 1 PD13OCT17	2288.50	2586.85	USD	-7,458.75	
14JUL17	S 2 PD13OCT17	2290.50	2586.85	USD	-14,817.50	
14JUL17	S 1 PD13OCT17	2291.50	2586.85	USD	-7,383.75	
14JUL17	S 1 PD13OCT17	2293.50	2586.85	USD	-7,333.75	
14JUL17	S 1 PD13OCT17	2295.50	2586.85	USD	-7,283.75	
14JUL17	S 1 PD13OCT17	2297.00	2586.85	USD	-7,246.25	
14JUL17	S 1 PD13OCT17	2296.50	2586.85	USD	-7,258.75	
14JUL17	S 1 PD13OCT17	2298.50	2586.85	USD	-7,208.75	
14JUL17	S 2 PD13OCT17	2298.00	2586.85	USD	-14,442.50	
14JUL17	S 2 PD13OCT17	2299.00	2586.85	USD	-14,392.50	
14JUL17	S 1 PD13OCT17	2304.50	2586.85	USD	-7,058.75	
14JUL17	B 1 PD13OCT17	2301.50	2586.85	USD	7,133.75	
14JUL17	B 1 PD13OCT17	2297.00	2586.85	USD	7,246.25	
14JUL17	B 1 PD13OCT17	2298.50	2586.85	USD	7,208.75	
14JUL17	S 1 PD13OCT17	2294.50	2586.85	USD	-7,308.75	
14JUL17	S 1 PD13OCT17	2296.00	2586.85	USD	-7,271.25	
14JUL17	S 2 PD13OCT17	2301.50	2586.85	USD	-14,267.50	
14JUL17	S 1 PD13OCT17	2297.50	2586.85	USD	-7,233.75	
14JUL17	S 1 PD13OCT17	2302.00	2586.85	USD	-7,121.25	
14JUL17	S 3 PD13OCT17	2301.00	2586.85	USD	-21,438.75	
14JUL17	S 1 PD13OCT17	2286.00	2586.85	USD	-7,521.25	
14JUL17	S 1 PD13OCT17	2288.00	2586.85	USD	-7,471.25	
14JUL17	S 3 PD13OCT17	2305.50	2586.85	USD	-21,101.25	
14JUL17	B 1 PD13OCT17	2314.00	2586.85	USD	6,821.25	
14JUL17	B 1 PD13OCT17	2310.50	2586.85	USD	6,908.75	
14JUL17	B 2 PD13OCT17	2312.50	2586.85	USD	13,717.50	
14JUL17	B 2 PD13OCT17	2316.00	2586.85	USD	13,542.50	
18JUL17	B 6 PD13OCT17	2274.15	2586.85	USD	46,905.00	
19JUL17	B 1 PD13OCT17	2216.52	2586.85	USD	9,258.25	
	NET 0 AVG BGHT 2296.73 SOLD 2298.84				**5,027.00**	**0.00**
17JUL17	B 1 PD17OCT17	2318.50	2587.77	USD	6,731.75	
17JUL17	S 1 PD17OCT17	2324.00	2587.77	USD	-6,594.25	
17JUL17	S 2 PD17OCT17	2323.50	2587.77	USD	-13,213.50	
17JUL17	S 3 PD17OCT17	2325.50	2587.77	USD	-19,670.25	
17JUL17	S 2 PD17OCT17	2329.50	2587.77	USD	-12,913.50	
17JUL17	B 1 PD17OCT17	2319.50	2587.77	USD	6,706.75	
17JUL17	S 1 PD17OCT17	2319.50	2587.77	USD	-6,706.75	
17JUL17	B 1 PD17OCT17	2332.00	2587.77	USD	6,394.25	
17JUL17	B 1 PD17OCT17	2323.50	2587.77	USD	6,606.75	
17JUL17	B 2 PD17OCT17	2324.00	2587.77	USD	13,188.50	
17JUL17	B 4 PD17OCT17	2322.00	2587.77	USD	26,577.00	
17JUL17	B 1 PD17OCT17	2320.50	2587.77	USD	6,681.75	
17JUL17	B 2 PD17OCT17	2321.00	2587.77	USD	13,338.50	
17JUL17	S 1 PD17OCT17	2317.00	2587.77	USD	-6,769.25	
17JUL17	B 2 PD17OCT17	2316.50	2587.77	USD	13,563.50	
17JUL17	B 4 PD17OCT17	2320.00	2587.77	USD	26,777.00	
17JUL17	S 1 PD17OCT17	2320.00	2587.77	USD	-6,694.25	
17JUL17	S 1 PD17OCT17	2322.00	2587.77	USD	-6,644.25	
17JUL17	S 1 PD17OCT17	2324.50	2587.77	USD	-6,581.75	
17JUL17	B 2 PD17OCT17	2317.50	2587.77	USD	13,513.50	
17JUL17	B 1 PD17OCT17	2317.00	2587.77	USD	6,769.25	
17JUL17	B 1 PD17OCT17	2314.50	2587.77	USD	6,831.75	
17JUL17	S 1 PD17OCT17	2319.00	2587.77	USD	-6,719.25	
17JUL17	B 1 PD17OCT17	2313.50	2587.77	USD	6,856.75	
17JUL17	B 1 PD17OCT17	2306.00	2587.77	USD	7,044.25	
17JUL17	B 1 PD17OCT17	2304.50	2587.77	USD	7,081.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
17JUL17	B 1 PD17OCT17	2302.50	2587.77	USD	7,131.75	
17JUL17	S 1 PD17OCT17	2296.00	2587.77	USD	-7,294.25	
17JUL17	S 3 PD17OCT17	2293.50	2587.77	USD	-22,070.25	
17JUL17	S 1 PD17OCT17	2294.50	2587.77	USD	-7,331.75	
17JUL17	S 1 PD17OCT17	2299.00	2587.77	USD	-7,219.25	
17JUL17	S 1 PD17OCT17	2295.00	2587.77	USD	-7,319.25	
18JUL17	S 9 PD17OCT17	2274.63	2587.77	USD	-70,456.50	
19JUL17	B 3 PD17OCT17	2217.30	2587.77	USD	27,785.25	
	NET 0 AVG BGHT 2308.33 SOLD 2302.17				**-4,618.25**	**0.00**
18JUL17	S 1 PD18OCT17	2304.00	2588.00	USD	-7,100.00	
18JUL17	B 1 PD18OCT17	2295.00	2588.00	USD	7,325.00	
18JUL17	S 2 PD18OCT17	2289.50	2588.00	USD	-14,925.00	
18JUL17	B 3 PD18OCT17	2285.50	2588.00	USD	22,687.50	
18JUL17	B 2 PD18OCT17	2286.50	2588.00	USD	15,075.00	
18JUL17	B 1 PD18OCT17	2288.00	2588.00	USD	7,500.00	
18JUL17	B 2 PD18OCT17	2287.50	2588.00	USD	15,025.00	
18JUL17	B 3 PD18OCT17	2289.00	2588.00	USD	22,425.00	
18JUL17	B 2 PD18OCT17	2288.50	2588.00	USD	14,975.00	
18JUL17	B 2 PD18OCT17	2285.00	2588.00	USD	15,150.00	
18JUL17	S 1 PD18OCT17	2288.50	2588.00	USD	-7,487.50	
18JUL17	B 1 PD18OCT17	2293.00	2588.00	USD	7,375.00	
18JUL17	B 1 PD18OCT17	2292.50	2588.00	USD	7,387.50	
18JUL17	B 1 PD18OCT17	2290.00	2588.00	USD	7,450.00	
18JUL17	S 1 PD18OCT17	2284.00	2588.00	USD	-7,600.00	
18JUL17	B 1 PD18OCT17	2281.00	2588.00	USD	7,675.00	
18JUL17	B 1 PD18OCT17	2280.00	2588.00	USD	7,700.00	
18JUL17	B 1 PD18OCT17	2287.50	2588.00	USD	7,512.50	
18JUL17	B 1 PD18OCT17	2288.00	2588.00	USD	7,500.00	
18JUL17	B 1 PD18OCT17	2281.50	2588.00	USD	7,662.50	
18JUL17	B 1 PD18OCT17	2279.00	2588.00	USD	7,725.00	
18JUL17	B 2 PD18OCT17	2279.00	2588.00	USD	15,450.00	
18JUL17	B 1 PD18OCT17	2278.50	2588.00	USD	7,737.50	
18JUL17	S 1 PD18OCT17	2272.50	2588.00	USD	-7,887.50	
18JUL17	S 1 PD18OCT17	2269.00	2588.00	USD	-7,975.00	
18JUL17	S 1 PD18OCT17	2269.50	2588.00	USD	-7,962.50	
18JUL17	S 2 PD18OCT17	2274.50	2588.00	USD	-15,675.00	
18JUL17	S 1 PD18OCT17	2277.50	2588.00	USD	-7,762.50	
18JUL17	S 2 PD18OCT17	2278.00	2588.00	USD	-15,500.00	
18JUL17	S 1 PD18OCT17	2273.00	2588.00	USD	-7,875.00	
18JUL17	B 1 PD18OCT17	2269.50	2588.00	USD	7,962.50	
18JUL17	B 1 PD18OCT17	2271.00	2588.00	USD	7,925.00	
18JUL17	B 1 PD18OCT17	2268.00	2588.00	USD	8,000.00	
18JUL17	S 1 PD18OCT17	2267.50	2588.00	USD	-8,012.50	
18JUL17	S 1 PD18OCT17	2273.00	2588.00	USD	-7,875.00	
18JUL17	S 1 PD18OCT17	2271.50	2588.00	USD	-7,912.50	
18JUL17	B 1 PD18OCT17	2270.00	2588.00	USD	7,950.00	
18JUL17	S 4 PD18OCT17	2274.00	2588.00	USD	-31,400.00	
18JUL17	S 3 PD18OCT17	2275.00	2588.00	USD	-23,475.00	
18JUL17	S 1 PD18OCT17	2277.00	2588.00	USD	-7,775.00	
18JUL17	S 2 PD18OCT17	2276.50	2588.00	USD	-15,575.00	
19JUL17	S 4 PD18OCT17	2217.30	2588.00	USD	-37,070.00	
24JUL17	S 1 PD18OCT17	2259.25	2588.00	USD	-8,218.75	
	NET 0 AVG BGHT 2284.03 SOLD 2269.17				**-11,888.75**	**0.00**
19JUL17	B 2 PD19OCT17	2263.50	2588.00	USD	16,225.00	
19JUL17	B 1 PD19OCT17	2264.50	2588.00	USD	8,087.50	
19JUL17	B 2 PD19OCT17	2265.50	2588.00	USD	16,125.00	
19JUL17	B 1 PD19OCT17	2262.00	2588.00	USD	8,150.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
19JUL17	S 1 PD19OCT17	2271.00	2588.00	USD	-7,925.00	
19JUL17	S 2 PD19OCT17	2271.50	2588.00	USD	-15,825.00	
19JUL17	S 2 PD19OCT17	2272.00	2588.00	USD	-15,800.00	
19JUL17	S 2 PD19OCT17	2273.00	2588.00	USD	-15,750.00	
19JUL17	S 1 PD19OCT17	2275.00	2588.00	USD	-7,825.00	
19JUL17	S 2 PD19OCT17	2268.50	2588.00	USD	-15,975.00	
19JUL17	S 1 PD19OCT17	2265.00	2588.00	USD	-8,075.00	
19JUL17	B 2 PD19OCT17	2261.50	2588.00	USD	16,325.00	
19JUL17	B 2 PD19OCT17	2260.00	2588.00	USD	16,400.00	
19JUL17	B 1 PD19OCT17	2257.00	2588.00	USD	8,275.00	
19JUL17	B 2 PD19OCT17	2258.50	2588.00	USD	16,475.00	
19JUL17	B 1 PD19OCT17	2257.50	2588.00	USD	8,262.50	
19JUL17	B 1 PD19OCT17	2254.00	2588.00	USD	8,350.00	
19JUL17	B 1 PD19OCT17	2256.50	2588.00	USD	8,287.50	
19JUL17	B 1 PD19OCT17	2255.00	2588.00	USD	8,325.00	
19JUL17	B 4 PD19OCT17	2256.00	2588.00	USD	33,200.00	
19JUL17	B 4 PD19OCT17	2254.50	2588.00	USD	33,350.00	
19JUL17	B 1 PD19OCT17	2249.50	2588.00	USD	8,462.50	
19JUL17	B 2 PD19OCT17	2245.00	2588.00	USD	17,150.00	
19JUL17	B 1 PD19OCT17	2274.00	2588.00	USD	7,850.00	
19JUL17	B 2 PD19OCT17	2240.50	2588.00	USD	17,375.00	
19JUL17	S 1 PD19OCT17	2243.00	2588.00	USD	-8,625.00	
19JUL17	S 1 PD19OCT17	2243.50	2588.00	USD	-8,612.50	
19JUL17	B 1 PD19OCT17	2232.50	2588.00	USD	8,887.50	
19JUL17	B 1 PD19OCT17	2228.50	2588.00	USD	8,987.50	
19JUL17	S 1 PD19OCT17	2226.00	2588.00	USD	-9,050.00	
19JUL17	B 1 PD19OCT17	2230.00	2588.00	USD	8,950.00	
19JUL17	S 1 PD19OCT17	2227.50	2588.00	USD	-9,012.50	
19JUL17	S 1 PD19OCT17	2221.00	2588.00	USD	-9,175.00	
19JUL17	S 1 PD19OCT17	2220.50	2588.00	USD	-9,187.50	
19JUL17	S 1 PD19OCT17	2217.00	2588.00	USD	-9,275.00	
19JUL17	S 1 PD19OCT17	2228.00	2588.00	USD	-9,000.00	
19JUL17	S 2 PD19OCT17	2212.00	2588.00	USD	-18,800.00	
19JUL17	S 2 PD19OCT17	2213.00	2588.00	USD	-18,750.00	
19JUL17	S 2 PD19OCT17	2211.00	2588.00	USD	-18,850.00	
24JUL17	S 9 PD19OCT17	2259.38	2588.00	USD	-73,939.50	
	NET 0 AVG BGHT 2254.47 SOLD 2247.47				**-5,952.00**	**0.00**
20JUL17	S 1 PD20OCT17	2230.00	2588.00	USD	-8,950.00	
20JUL17	S 5 PD20OCT17	2227.00	2588.00	USD	-45,125.00	
20JUL17	S 4 PD20OCT17	2226.50	2588.00	USD	-36,150.00	
20JUL17	S 1 PD20OCT17	2223.00	2588.00	USD	-9,125.00	
20JUL17	S 1 PD20OCT17	2225.50	2588.00	USD	-9,062.50	
20JUL17	S 1 PD20OCT17	2224.50	2588.00	USD	-9,087.50	
20JUL17	S 1 PD20OCT17	2223.50	2588.00	USD	-9,112.50	
20JUL17	S 3 PD20OCT17	2224.00	2588.00	USD	-27,300.00	
20JUL17	B 1 PD20OCT17	2223.00	2588.00	USD	9,125.00	
20JUL17	B 1 PD20OCT17	2230.00	2588.00	USD	8,950.00	
20JUL17	B 2 PD20OCT17	2226.50	2588.00	USD	18,075.00	
20JUL17	B 1 PD20OCT17	2225.50	2588.00	USD	9,062.50	
20JUL17	B 1 PD20OCT17	2219.00	2588.00	USD	9,225.00	
20JUL17	B 1 PD20OCT17	2218.00	2588.00	USD	9,250.00	
20JUL17	S 2 PD20OCT17	2222.00	2588.00	USD	-18,300.00	
20JUL17	S 1 PD20OCT17	2228.50	2588.00	USD	-8,987.50	
20JUL17	S 1 PD20OCT17	2227.50	2588.00	USD	-9,012.50	
20JUL17	S 2 PD20OCT17	2226.00	2588.00	USD	-18,100.00	
20JUL17	S 1 PD20OCT17	2231.50	2588.00	USD	-8,912.50	
20JUL17	B 1 PD20OCT17	2228.50	2588.00	USD	8,987.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
20JUL17	B 2 PD20OCT17	2227.50	2588.00	USD	18,025.00	
20JUL17	B 2 PD20OCT17	2225.00	2588.00	USD	18,150.00	
20JUL17	B 2 PD20OCT17	2223.50	2588.00	USD	18,225.00	
20JUL17	B 1 PD20OCT17	2222.00	2588.00	USD	9,150.00	
20JUL17	B 1 PD20OCT17	2222.50	2588.00	USD	9,137.50	
20JUL17	B 2 PD20OCT17	2221.00	2588.00	USD	18,350.00	
20JUL17	S 1 PD20OCT17	2229.50	2588.00	USD	-8,962.50	
20JUL17	S 1 PD20OCT17	2215.50	2588.00	USD	-9,312.50	
20JUL17	B 1 PD20OCT17	2212.00	2588.00	USD	9,400.00	
20JUL17	B 1 PD20OCT17	2211.50	2588.00	USD	9,412.50	
20JUL17	B 1 PD20OCT17	2211.00	2588.00	USD	9,425.00	
20JUL17	B 2 PD20OCT17	2210.50	2588.00	USD	18,875.00	
20JUL17	S 2 PD20OCT17	2205.00	2588.00	USD	-19,150.00	
20JUL17	S 4 PD20OCT17	2206.00	2588.00	USD	-38,200.00	
20JUL17	S 1 PD20OCT17	2206.50	2588.00	USD	-9,537.50	
20JUL17	S 1 PD20OCT17	2207.50	2588.00	USD	-9,512.50	
20JUL17	S 2 PD20OCT17	2208.00	2588.00	USD	-19,000.00	
20JUL17	S 1 PD20OCT17	2213.50	2588.00	USD	-9,362.50	
20JUL17	S 1 PD20OCT17	2219.50	2588.00	USD	-9,212.50	
21JUL17	S 1 PD20OCT17	2236.00	2588.00	USD	-8,800.00	
21JUL17	B 1 PD20OCT17	2235.00	2588.00	USD	8,825.00	
21JUL17	S 2 PD20OCT17	2235.50	2588.00	USD	-17,625.00	
21JUL17	S 1 PD20OCT17	2236.50	2588.00	USD	-8,787.50	
21JUL17	S 1 PD20OCT17	2239.50	2588.00	USD	-8,712.50	
21JUL17	S 1 PD20OCT17	2240.00	2588.00	USD	-8,700.00	
21JUL17	S 1 PD20OCT17	2241.00	2588.00	USD	-8,675.00	
21JUL17	S 1 PD20OCT17	2241.50	2588.00	USD	-8,662.50	
21JUL17	S 1 PD20OCT17	2244.00	2588.00	USD	-8,600.00	
21JUL17	S 1 PD20OCT17	2244.50	2588.00	USD	-8,587.50	
21JUL17	S 2 PD20OCT17	2245.00	2588.00	USD	-17,150.00	
21JUL17	S 1 PD20OCT17	2245.50	2588.00	USD	-8,562.50	
21JUL17	S 1 PD20OCT17	2236.00	2588.00	USD	-8,800.00	
21JUL17	B 1 PD20OCT17	2251.50	2588.00	USD	8,412.50	
21JUL17	S 2 PD20OCT17	2238.50	2588.00	USD	-17,475.00	
21JUL17	B 1 PD20OCT17	2238.00	2588.00	USD	8,750.00	
21JUL17	B 1 PD20OCT17	2237.50	2588.00	USD	8,762.50	
21JUL17	S 1 PD20OCT17	2242.00	2588.00	USD	-8,650.00	
21JUL17	B 1 PD20OCT17	2237.00	2588.00	USD	8,775.00	
21JUL17	B 3 PD20OCT17	2238.50	2588.00	USD	26,212.50	
21JUL17	S 1 PD20OCT17	2239.00	2588.00	USD	-8,725.00	
21JUL17	B 1 PD20OCT17	2237.50	2588.00	USD	8,762.50	
21JUL17	B 4 PD20OCT17	2234.50	2588.00	USD	35,350.00	
21JUL17	B 2 PD20OCT17	2229.50	2588.00	USD	17,925.00	
21JUL17	B 1 PD20OCT17	2228.00	2588.00	USD	9,000.00	
21JUL17	B 2 PD20OCT17	2240.00	2588.00	USD	17,400.00	
21JUL17	B 4 PD20OCT17	2240.50	2588.00	USD	34,750.00	
21JUL17	B 1 PD20OCT17	2239.50	2588.00	USD	8,712.50	
24JUL17	B 10 PD20OCT17	2259.70	2588.00	USD	82,075.00	
	NET 0 AVG BGHT 2234.76 SOLD 2226.58				**-11,450.00**	**0.00**
24JUL17	B 1 PD24OCT17	2262.50	2588.00	USD	8,137.50	
24JUL17	B 2 PD24OCT17	2255.50	2588.00	USD	16,625.00	
24JUL17	B 1 PD24OCT17	2253.00	2588.00	USD	8,375.00	
24JUL17	B 1 PD24OCT17	2252.00	2588.00	USD	8,400.00	
24JUL17	B 2 PD24OCT17	2251.00	2588.00	USD	16,850.00	
24JUL17	S 1 PD24OCT17	2255.50	2588.00	USD	-8,312.50	
24JUL17	S 3 PD24OCT17	2255.00	2588.00	USD	-24,975.00	
24JUL17	S 1 PD24OCT17	2264.50	2588.00	USD	-8,087.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
24JUL17	S 1 PD24OCT17	2265.50	2588.00	USD	-8,062.50	
24JUL17	S 1 PD24OCT17	2262.00	2588.00	USD	-8,150.00	
24JUL17	S 1 PD24OCT17	2262.50	2588.00	USD	-8,137.50	
24JUL17	S 1 PD24OCT17	2263.00	2588.00	USD	-8,125.00	
24JUL17	S 1 PD24OCT17	2263.50	2588.00	USD	-8,112.50	
24JUL17	S 1 PD24OCT17	2256.50	2588.00	USD	-8,287.50	
24JUL17	B 1 PD24OCT17	2258.50	2588.00	USD	8,237.50	
24JUL17	B 1 PD24OCT17	2253.50	2588.00	USD	8,362.50	
24JUL17	B 1 PD24OCT17	2254.00	2588.00	USD	8,350.00	
24JUL17	B 1 PD24OCT17	2252.50	2588.00	USD	8,387.50	
24JUL17	B 1 PD24OCT17	2248.00	2588.00	USD	8,500.00	
24JUL17	S 1 PD24OCT17	2251.00	2588.00	USD	-8,425.00	
24JUL17	S 1 PD24OCT17	2252.50	2588.00	USD	-8,387.50	
24JUL17	S 1 PD24OCT17	2256.00	2588.00	USD	-8,300.00	
26JUL17	B 2 PD24OCT17	2328.00	2588.00	USD	13,000.00	
	NET 0 AVG BGHT 2264.50 SOLD 2258.39				**-2,137.50**	**0.00**
25JUL17	B 1 PD25OCT17	2272.00	2588.00	USD	7,900.00	
25JUL17	B 1 PD25OCT17	2273.00	2588.00	USD	7,875.00	
25JUL17	B 1 PD25OCT17	2276.00	2588.00	USD	7,800.00	
25JUL17	S 2 PD25OCT17	2285.50	2588.00	USD	-15,125.00	
25JUL17	S 2 PD25OCT17	2283.00	2588.00	USD	-15,250.00	
25JUL17	S 2 PD25OCT17	2286.50	2588.00	USD	-15,075.00	
25JUL17	S 1 PD25OCT17	2289.50	2588.00	USD	-7,462.50	
25JUL17	S 1 PD25OCT17	2296.00	2588.00	USD	-7,300.00	
25JUL17	B 1 PD25OCT17	2296.00	2588.00	USD	7,300.00	
25JUL17	B 1 PD25OCT17	2290.00	2588.00	USD	7,450.00	
25JUL17	B 1 PD25OCT17	2298.00	2588.00	USD	7,250.00	
25JUL17	B 1 PD25OCT17	2300.50	2588.00	USD	7,187.50	
25JUL17	B 1 PD25OCT17	2301.50	2588.00	USD	7,162.50	
25JUL17	B 1 PD25OCT17	2308.50	2588.00	USD	6,987.50	
25JUL17	B 1 PD25OCT17	2307.50	2588.00	USD	7,012.50	
25JUL17	S 1 PD25OCT17	2306.00	2588.00	USD	-7,050.00	
25JUL17	B 1 PD25OCT17	2298.50	2588.00	USD	7,237.50	
25JUL17	S 1 PD25OCT17	2317.50	2588.00	USD	-6,762.50	
25JUL17	B 1 PD25OCT17	2313.50	2588.00	USD	6,862.50	
25JUL17	B 1 PD25OCT17	2315.00	2588.00	USD	6,825.00	
26JUL17	S 2 PD25OCT17	2327.92	2588.00	USD	-13,004.00	
01AUG17	S 1 PD25OCT17	2337.25	2588.00	USD	-6,268.75	
	NET 0 AVG BGHT 2296.15 SOLD 2300.93				**1,552.25**	**0.00**
26JUL17	S 1 PD26OCT17	2339.00	2588.00	USD	-6,225.00	
26JUL17	B 1 PD26OCT17	2332.00	2588.00	USD	6,400.00	
26JUL17	S 2 PD26OCT17	2327.50	2588.00	USD	-13,025.00	
26JUL17	S 1 PD26OCT17	2318.50	2588.00	USD	-6,737.50	
26JUL17	S 1 PD26OCT17	2322.50	2588.00	USD	-6,637.50	
26JUL17	B 1 PD26OCT17	2312.00	2588.00	USD	6,900.00	
26JUL17	B 1 PD26OCT17	2311.50	2588.00	USD	6,912.50	
26JUL17	B 1 PD26OCT17	2315.50	2588.00	USD	6,812.50	
26JUL17	B 1 PD26OCT17	2314.00	2588.00	USD	6,850.00	
26JUL17	B 1 PD26OCT17	2314.50	2588.00	USD	6,837.50	
26JUL17	B 2 PD26OCT17	2315.00	2588.00	USD	13,650.00	
26JUL17	B 1 PD26OCT17	2313.50	2588.00	USD	6,862.50	
26JUL17	B 1 PD26OCT17	2306.50	2588.00	USD	7,037.50	
26JUL17	S 2 PD26OCT17	2316.00	2588.00	USD	-13,600.00	
26JUL17	S 1 PD26OCT17	2319.50	2588.00	USD	-6,712.50	
26JUL17	S 1 PD26OCT17	2335.00	2588.00	USD	-6,325.00	
26JUL17	S 1 PD26OCT17	2332.00	2588.00	USD	-6,400.00	
26JUL17	S 2 PD26OCT17	2336.00	2588.00	USD	-12,600.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
26JUL17	B	2	PD26OCT17	2317.50	2588.00	USD	13,525.00	
26JUL17	S	1	PD26OCT17	2307.50	2588.00	USD	-7,012.50	
01AUG17	B	1	PD26OCT17	2337.56	2588.00	USD	6,261.00	
	NET	**0**	**AVG BGHT 2317.08 SOLD 2325.62**				**2,773.50**	**0.00**
27JUL17	S	2	PD27OCT17	2326.00	2588.00	USD	-13,100.00	
27JUL17	S	1	PD27OCT17	2326.50	2588.00	USD	-6,537.50	
27JUL17	B	1	PD27OCT17	2330.00	2588.00	USD	6,450.00	
27JUL17	B	1	PD27OCT17	2334.00	2588.00	USD	6,350.00	
27JUL17	B	2	PD27OCT17	2331.50	2588.00	USD	12,825.00	
27JUL17	B	1	PD27OCT17	2330.50	2588.00	USD	6,437.50	
27JUL17	B	2	PD27OCT17	2329.00	2588.00	USD	12,950.00	
27JUL17	S	1	PD27OCT17	2325.00	2588.00	USD	-6,575.00	
27JUL17	B	1	PD27OCT17	2303.00	2588.00	USD	7,125.00	
27JUL17	B	1	PD27OCT17	2300.50	2588.00	USD	7,187.50	
27JUL17	B	2	PD27OCT17	2302.00	2588.00	USD	14,300.00	
27JUL17	B	1	PD27OCT17	2307.00	2588.00	USD	7,025.00	
27JUL17	B	1	PD27OCT17	2306.00	2588.00	USD	7,050.00	
27JUL17	B	1	PD27OCT17	2305.50	2588.00	USD	7,062.50	
27JUL17	B	1	PD27OCT17	2306.50	2588.00	USD	7,037.50	
27JUL17	B	1	PD27OCT17	2298.50	2588.00	USD	7,237.50	
27JUL17	S	2	PD27OCT17	2313.00	2588.00	USD	-13,750.00	
27JUL17	S	1	PD27OCT17	2304.50	2588.00	USD	-7,087.50	
27JUL17	S	1	PD27OCT17	2304.00	2588.00	USD	-7,100.00	
28JUL17	S	1	PD27OCT17	2296.00	2588.00	USD	-7,300.00	
28JUL17	S	2	PD27OCT17	2294.00	2588.00	USD	-14,700.00	
28JUL17	S	3	PD27OCT17	2294.50	2588.00	USD	-22,012.50	
28JUL17	S	2	PD27OCT17	2295.00	2588.00	USD	-14,650.00	
28JUL17	S	2	PD27OCT17	2299.00	2588.00	USD	-14,450.00	
28JUL17	S	2	PD27OCT17	2299.50	2588.00	USD	-14,425.00	
28JUL17	S	1	PD27OCT17	2297.00	2588.00	USD	-7,275.00	
28JUL17	S	1	PD27OCT17	2301.00	2588.00	USD	-7,175.00	
28JUL17	B	1	PD27OCT17	2293.00	2588.00	USD	7,375.00	
28JUL17	B	1	PD27OCT17	2293.50	2588.00	USD	7,362.50	
28JUL17	S	1	PD27OCT17	2303.00	2588.00	USD	-7,125.00	
28JUL17	B	1	PD27OCT17	2291.00	2588.00	USD	7,425.00	
28JUL17	B	2	PD27OCT17	2314.50	2588.00	USD	13,675.00	
28JUL17	B	1	PD27OCT17	2324.50	2588.00	USD	6,587.50	
28JUL17	B	1	PD27OCT17	2322.50	2588.00	USD	6,637.50	
	NET	**0**	**AVG BGHT 2313.04 SOLD 2304.07**				**-5,162.50**	**0.00**
31JUL17	B	1	PD31OCT17	2335.50	2588.00	USD	6,312.50	
31JUL17	S	1	PD31OCT17	2328.50	2588.00	USD	-6,487.50	
31JUL17	S	1	PD31OCT17	2329.50	2588.00	USD	-6,462.50	
31JUL17	B	1	PD31OCT17	2334.00	2588.00	USD	6,350.00	
31JUL17	S	1	PD31OCT17	2343.00	2588.00	USD	-6,125.00	
31JUL17	S	2	PD31OCT17	2344.50	2588.00	USD	-12,175.00	
31JUL17	S	1	PD31OCT17	2338.00	2588.00	USD	-6,250.00	
31JUL17	B	1	PD31OCT17	2330.50	2588.00	USD	6,437.50	
31JUL17	B	1	PD31OCT17	2326.00	2588.00	USD	6,550.00	
31JUL17	B	1	PD31OCT17	2325.50	2588.00	USD	6,562.50	
31JUL17	B	1	PD31OCT17	2326.50	2588.00	USD	6,537.50	
31JUL17	B	1	PD31OCT17	2325.00	2588.00	USD	6,575.00	
31JUL17	B	1	PD31OCT17	2324.50	2588.00	USD	6,587.50	
31JUL17	S	1	PD31OCT17	2332.50	2588.00	USD	-6,387.50	
31JUL17	B	2	PD31OCT17	2327.50	2588.00	USD	13,025.00	
31JUL17	S	3	PD31OCT17	2329.00	2588.00	USD	-19,425.00	
31JUL17	S	1	PD31OCT17	2321.50	2588.00	USD	-6,662.50	
31JUL17	S	1	PD31OCT17	2324.00	2588.00	USD	-6,600.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
31JUL17	S	1	PD31OCT17	2336.00	2588.00	USD	-6,300.00	
31JUL17	S	1	PD31OCT17	2331.50	2588.00	USD	-6,412.50	
31JUL17	B	1	PD31OCT17	2333.00	2588.00	USD	6,375.00	
31JUL17	S	2	PD31OCT17	2330.00	2588.00	USD	-12,900.00	
31JUL17	S	5	PD31OCT17	2330.50	2588.00	USD	-32,187.50	
03AUG17	B	10	PD31OCT17	2361.00	2588.00	USD	56,750.00	
	NET	**0**	**AVG BGHT 2344.07**	**SOLD 2332.05**			**-6,312.50**	**0.00**
01AUG17	B	1	PD01NOV17	2314.50	2588.00	USD	6,837.50	
01AUG17	B	1	PD01NOV17	2313.50	2588.00	USD	6,862.50	
01AUG17	B	3	PD01NOV17	2315.00	2588.00	USD	20,475.00	
01AUG17	B	1	PD01NOV17	2312.50	2588.00	USD	6,887.50	
01AUG17	B	1	PD01NOV17	2314.00	2588.00	USD	6,850.00	
01AUG17	S	1	PD01NOV17	2314.50	2588.00	USD	-6,837.50	
01AUG17	B	1	PD01NOV17	2313.00	2588.00	USD	6,875.00	
01AUG17	B	2	PD01NOV17	2312.00	2588.00	USD	13,800.00	
01AUG17	B	1	PD01NOV17	2308.00	2588.00	USD	7,000.00	
01AUG17	B	1	PD01NOV17	2303.50	2588.00	USD	7,112.50	
01AUG17	S	1	PD01NOV17	2311.00	2588.00	USD	-6,925.00	
01AUG17	S	1	PD01NOV17	2322.50	2588.00	USD	-6,637.50	
01AUG17	S	1	PD01NOV17	2320.50	2588.00	USD	-6,687.50	
01AUG17	S	1	PD01NOV17	2321.00	2588.00	USD	-6,675.00	
01AUG17	S	1	PD01NOV17	2330.00	2588.00	USD	-6,450.00	
01AUG17	S	2	PD01NOV17	2331.00	2588.00	USD	-12,850.00	
01AUG17	S	1	PD01NOV17	2332.00	2588.00	USD	-6,400.00	
01AUG17	S	1	PD01NOV17	2332.50	2588.00	USD	-6,387.50	
01AUG17	S	1	PD01NOV17	2334.00	2588.00	USD	-6,350.00	
01AUG17	S	1	PD01NOV17	2326.50	2588.00	USD	-6,537.50	
01AUG17	S	2	PD01NOV17	2329.50	2588.00	USD	-12,925.00	
01AUG17	S	1	PD01NOV17	2335.50	2588.00	USD	-6,312.50	
01AUG17	S	3	PD01NOV17	2336.00	2588.00	USD	-18,900.00	
01AUG17	S	1	PD01NOV17	2337.00	2588.00	USD	-6,275.00	
01AUG17	B	1	PD01NOV17	2338.50	2588.00	USD	6,237.50	
01AUG17	B	2	PD01NOV17	2334.00	2588.00	USD	12,700.00	
01AUG17	B	2	PD01NOV17	2333.50	2588.00	USD	12,725.00	
01AUG17	B	2	PD01NOV17	2335.00	2588.00	USD	12,650.00	
01AUG17	B	5	PD01NOV17	2334.50	2588.00	USD	31,687.50	
01AUG17	B	1	PD01NOV17	2335.50	2588.00	USD	6,312.50	
01AUG17	B	3	PD01NOV17	2336.50	2588.00	USD	18,862.50	
01AUG17	B	1	PD01NOV17	2335.50	2588.00	USD	6,312.50	
03AUG17	S	10	PD01NOV17	2360.63	2588.00	USD	-56,842.50	
	NET	**0**	**AVG BGHT 2325.67**	**SOLD 2339.73**			**10,195.00**	**0.00**
02AUG17	S	1	PD02NOV17	2336.00	2588.00	USD	-6,300.00	
02AUG17	S	3	PD02NOV17	2338.50	2588.00	USD	-18,712.50	
02AUG17	S	1	PD02NOV17	2342.00	2588.00	USD	-6,150.00	
02AUG17	S	2	PD02NOV17	2341.00	2588.00	USD	-12,350.00	
02AUG17	B	1	PD02NOV17	2340.00	2588.00	USD	6,200.00	
02AUG17	B	1	PD02NOV17	2338.50	2588.00	USD	6,237.50	
02AUG17	B	4	PD02NOV17	2339.00	2588.00	USD	24,900.00	
02AUG17	B	1	PD02NOV17	2341.50	2588.00	USD	6,162.50	
02AUG17	S	3	PD02NOV17	2337.50	2588.00	USD	-18,787.50	
02AUG17	S	4	PD02NOV17	2335.50	2588.00	USD	-25,250.00	
02AUG17	S	1	PD02NOV17	2334.00	2588.00	USD	-6,350.00	
02AUG17	S	1	PD02NOV17	2335.00	2588.00	USD	-6,325.00	
02AUG17	S	1	PD02NOV17	2336.50	2588.00	USD	-6,287.50	
02AUG17	B	2	PD02NOV17	2337.00	2588.00	USD	12,550.00	
02AUG17	S	1	PD02NOV17	2341.50	2588.00	USD	-6,162.50	
02AUG17	S	1	PD02NOV17	2337.00	2588.00	USD	-6,275.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
02AUG17	S 4 PD02NOV17	2340.00	2588.00	USD	-24,800.00	
02AUG17	B 3 PD02NOV17	2347.50	2588.00	USD	18,037.50	
02AUG17	S 1 PD02NOV17	2349.50	2588.00	USD	-5,962.50	
02AUG17	S 2 PD02NOV17	2349.00	2588.00	USD	-11,950.00	
02AUG17	S 3 PD02NOV17	2350.50	2588.00	USD	-17,812.50	
02AUG17	S 1 PD02NOV17	2355.00	2588.00	USD	-5,825.00	
02AUG17	B 1 PD02NOV17	2355.00	2588.00	USD	5,825.00	
02AUG17	B 1 PD02NOV17	2346.50	2588.00	USD	6,037.50	
02AUG17	B 1 PD02NOV17	2348.50	2588.00	USD	5,987.50	
02AUG17	B 2 PD02NOV17	2352.50	2588.00	USD	11,775.00	
02AUG17	B 1 PD02NOV17	2357.00	2588.00	USD	5,775.00	
02AUG17	B 1 PD02NOV17	2356.50	2588.00	USD	5,787.50	
02AUG17	B 1 PD02NOV17	2354.50	2588.00	USD	5,837.50	
02AUG17	B 1 PD02NOV17	2357.50	2588.00	USD	5,762.50	
02AUG17	B 3 PD02NOV17	2356.00	2588.00	USD	17,400.00	
07AUG17	B 5 PD02NOV17	2360.13	2588.00	USD	28,483.75	
10AUG17	B 1 PD02NOV17	2364.26	2588.00	USD	5,593.50	
	NET 0 AVG BGHT 2350.20 SOLD 2340.93				**-6,947.75**	**0.00**
03AUG17	B 2 PD03NOV17	2374.00	2588.00	USD	10,700.00	
03AUG17	B 3 PD03NOV17	2373.50	2588.00	USD	16,087.50	
03AUG17	S 2 PD03NOV17	2370.50	2588.00	USD	-10,875.00	
03AUG17	B 1 PD03NOV17	2366.00	2588.00	USD	5,550.00	
03AUG17	S 3 PD03NOV17	2369.00	2588.00	USD	-16,425.00	
03AUG17	S 1 PD03NOV17	2367.50	2588.00	USD	-5,512.50	
03AUG17	S 3 PD03NOV17	2366.50	2588.00	USD	-16,612.50	
03AUG17	B 2 PD03NOV17	2357.50	2588.00	USD	11,525.00	
03AUG17	B 1 PD03NOV17	2356.50	2588.00	USD	5,787.50	
03AUG17	S 1 PD03NOV17	2365.50	2588.00	USD	-5,562.50	
03AUG17	S 6 PD03NOV17	2368.00	2588.00	USD	-33,000.00	
03AUG17	S 4 PD03NOV17	2368.50	2588.00	USD	-21,950.00	
03AUG17	B 1 PD03NOV17	2367.00	2588.00	USD	5,525.00	
03AUG17	B 1 PD03NOV17	2364.00	2588.00	USD	5,600.00	
03AUG17	B 1 PD03NOV17	2364.00	2588.00	USD	5,600.00	
03AUG17	B 3 PD03NOV17	2364.50	2588.00	USD	16,762.50	
03AUG17	B 1 PD03NOV17	2361.50	2588.00	USD	5,662.50	
03AUG17	B 3 PD03NOV17	2361.00	2588.00	USD	17,025.00	
03AUG17	B 2 PD03NOV17	2360.50	2588.00	USD	11,375.00	
03AUG17	B 1 PD03NOV17	2351.00	2588.00	USD	5,925.00	
03AUG17	B 1 PD03NOV17	2349.00	2588.00	USD	5,975.00	
03AUG17	B 3 PD03NOV17	2347.00	2588.00	USD	18,075.00	
03AUG17	B 1 PD03NOV17	2349.50	2588.00	USD	5,962.50	
03AUG17	S 1 PD03NOV17	2355.50	2588.00	USD	-5,812.50	
03AUG17	S 1 PD03NOV17	2359.00	2588.00	USD	-5,725.00	
03AUG17	B 2 PD03NOV17	2356.00	2588.00	USD	11,600.00	
03AUG17	B 1 PD03NOV17	2347.50	2588.00	USD	6,012.50	
03AUG17	S 2 PD03NOV17	2360.50	2588.00	USD	-11,375.00	
03AUG17	S 3 PD03NOV17	2361.00	2588.00	USD	-17,025.00	
03AUG17	S 2 PD03NOV17	2361.50	2588.00	USD	-11,325.00	
03AUG17	S 2 PD03NOV17	2364.50	2588.00	USD	-11,175.00	
03AUG17	S 3 PD03NOV17	2365.00	2588.00	USD	-16,725.00	
03AUG17	B 1 PD03NOV17	2363.00	2588.00	USD	5,625.00	
04AUG17	B 1 PD03NOV17	2371.50	2588.00	USD	5,412.50	
04AUG17	B 1 PD03NOV17	2369.50	2588.00	USD	5,462.50	
04AUG17	B 1 PD03NOV17	2367.00	2588.00	USD	5,525.00	
04AUG17	S 1 PD03NOV17	2374.00	2588.00	USD	-5,350.00	
04AUG17	S 2 PD03NOV17	2374.50	2588.00	USD	-10,675.00	
04AUG17	S 2 PD03NOV17	2375.00	2588.00	USD	-10,650.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
04AUG17	S 2 PD03NOV17	2370.50	2588.00	USD	-10,875.00	
04AUG17	S 1 PD03NOV17	2371.50	2588.00	USD	-5,412.50	
04AUG17	B 1 PD03NOV17	2369.00	2588.00	USD	5,475.00	
04AUG17	B 1 PD03NOV17	2366.00	2588.00	USD	5,550.00	
04AUG17	B 1 PD03NOV17	2365.50	2588.00	USD	5,562.50	
04AUG17	B 2 PD03NOV17	2367.50	2588.00	USD	11,025.00	
04AUG17	B 4 PD03NOV17	2366.50	2588.00	USD	22,150.00	
04AUG17	B 1 PD03NOV17	2371.50	2588.00	USD	5,412.50	
04AUG17	B 2 PD03NOV17	2368.50	2588.00	USD	10,975.00	
04AUG17	B 2 PD03NOV17	2368.00	2588.00	USD	11,000.00	
04AUG17	S 1 PD03NOV17	2363.50	2588.00	USD	-5,612.50	
04AUG17	S 2 PD03NOV17	2371.00	2588.00	USD	-10,850.00	
04AUG17	B 1 PD03NOV17	2358.50	2588.00	USD	5,737.50	
04AUG17	B 1 PD03NOV17	2358.00	2588.00	USD	5,750.00	
04AUG17	B 1 PD03NOV17	2356.50	2588.00	USD	5,787.50	
04AUG17	B 1 PD03NOV17	2357.00	2588.00	USD	5,775.00	
04AUG17	B 1 PD03NOV17	2356.00	2588.00	USD	5,800.00	
04AUG17	B 2 PD03NOV17	2355.00	2588.00	USD	11,650.00	
04AUG17	B 1 PD03NOV17	2354.50	2588.00	USD	5,837.50	
04AUG17	B 1 PD03NOV17	2353.50	2588.00	USD	5,862.50	
04AUG17	B 1 PD03NOV17	2351.00	2588.00	USD	5,925.00	
04AUG17	B 1 PD03NOV17	2350.00	2588.00	USD	5,950.00	
04AUG17	S 1 PD03NOV17	2364.50	2588.00	USD	-5,587.50	
04AUG17	S 3 PD03NOV17	2358.50	2588.00	USD	-17,212.50	
04AUG17	S 1 PD03NOV17	2360.50	2588.00	USD	-5,687.50	
04AUG17	S 3 PD03NOV17	2361.00	2588.00	USD	-17,025.00	
04AUG17	S 1 PD03NOV17	2368.00	2588.00	USD	-5,500.00	
04AUG17	B 1 PD03NOV17	2359.00	2588.00	USD	5,725.00	
04AUG17	S 1 PD03NOV17	2359.50	2588.00	USD	-5,712.50	
07AUG17	S 5 PD03NOV17	2360.11	2588.00	USD	-28,486.25	
	NET 0 AVG BGHT 2361.52 SOLD 2365.51				**5,988.75**	**0.00**
07AUG17	S 1 PD07NOV17	2360.50	2588.00	USD	-5,687.50	
07AUG17	S 1 PD07NOV17	2358.00	2588.00	USD	-5,750.00	
07AUG17	S 2 PD07NOV17	2359.50	2588.00	USD	-11,425.00	
07AUG17	B 1 PD07NOV17	2356.50	2588.00	USD	5,787.50	
07AUG17	S 1 PD07NOV17	2358.50	2588.00	USD	-5,737.50	
07AUG17	S 1 PD07NOV17	2361.50	2588.00	USD	-5,662.50	
07AUG17	S 1 PD07NOV17	2366.50	2588.00	USD	-5,537.50	
07AUG17	B 1 PD07NOV17	2365.50	2588.00	USD	5,562.50	
07AUG17	S 1 PD07NOV17	2368.50	2588.00	USD	-5,487.50	
07AUG17	S 1 PD07NOV17	2369.50	2588.00	USD	-5,462.50	
07AUG17	S 2 PD07NOV17	2370.00	2588.00	USD	-10,900.00	
07AUG17	S 1 PD07NOV17	2370.50	2588.00	USD	-5,437.50	
07AUG17	S 1 PD07NOV17	2377.50	2588.00	USD	-5,262.50	
07AUG17	B 2 PD07NOV17	2388.50	2588.00	USD	9,975.00	
07AUG17	B 1 PD07NOV17	2386.00	2588.00	USD	5,050.00	
07AUG17	B 1 PD07NOV17	2384.50	2588.00	USD	5,087.50	
07AUG17	B 2 PD07NOV17	2385.50	2588.00	USD	10,125.00	
07AUG17	B 1 PD07NOV17	2384.00	2588.00	USD	5,100.00	
07AUG17	B 1 PD07NOV17	2387.00	2588.00	USD	5,025.00	
07AUG17	B 1 PD07NOV17	2385.00	2588.00	USD	5,075.00	
07AUG17	B 2 PD07NOV17	2386.50	2588.00	USD	10,075.00	
07AUG17	B 3 PD07NOV17	2387.50	2588.00	USD	15,037.50	
07AUG17	B 1 PD07NOV17	2377.00	2588.00	USD	5,275.00	
07AUG17	B 1 PD07NOV17	2377.50	2588.00	USD	5,262.50	
07AUG17	S 2 PD07NOV17	2377.00	2588.00	USD	-10,550.00	
07AUG17	S 2 PD07NOV17	2387.00	2588.00	USD	-10,050.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
07AUG17	S 2 PD07NOV17	2384.00	2588.00	USD	-10,200.00	
07AUG17	B 4 PD07NOV17	2376.50	2588.00	USD	21,150.00	
07AUG17	B 1 PD07NOV17	2374.50	2588.00	USD	5,337.50	
07AUG17	B 1 PD07NOV17	2374.00	2588.00	USD	5,350.00	
07AUG17	S 1 PD07NOV17	2384.50	2588.00	USD	-5,087.50	
07AUG17	S 3 PD07NOV17	2385.50	2588.00	USD	-15,187.50	
07AUG17	S 1 PD07NOV17	2386.00	2588.00	USD	-5,050.00	
07AUG17	B 1 PD07NOV17	2378.50	2588.00	USD	5,237.50	
07AUG17	B 3 PD07NOV17	2376.00	2588.00	USD	15,900.00	
07AUG17	B 1 PD07NOV17	2363.00	2588.00	USD	5,625.00	
07AUG17	B 2 PD07NOV17	2362.00	2588.00	USD	11,300.00	
07AUG17	B 2 PD07NOV17	2362.50	2588.00	USD	11,275.00	
10AUG17	S 1 PD07NOV17	2365.16	2588.00	USD	-5,571.00	
10AUG17	S 8 PD07NOV17	2365.36	2588.00	USD	-44,528.00	
	NET 0 AVG BGHT 2377.56 SOLD 2371.55				**-4,961.50**	**0.00**
08AUG17	S 2 PD08NOV17	2369.00	2588.00	USD	-10,950.00	
08AUG17	S 4 PD08NOV17	2368.00	2588.00	USD	-22,000.00	
08AUG17	S 1 PD08NOV17	2364.00	2588.00	USD	-5,600.00	
08AUG17	S 1 PD08NOV17	2371.50	2588.00	USD	-5,412.50	
08AUG17	S 1 PD08NOV17	2374.00	2588.00	USD	-5,350.00	
08AUG17	S 2 PD08NOV17	2371.00	2588.00	USD	-10,850.00	
08AUG17	S 5 PD08NOV17	2370.00	2588.00	USD	-27,250.00	
08AUG17	B 1 PD08NOV17	2366.50	2588.00	USD	5,537.50	
08AUG17	B 2 PD08NOV17	2364.00	2588.00	USD	11,200.00	
08AUG17	B 1 PD08NOV17	2368.50	2588.00	USD	5,487.50	
08AUG17	B 1 PD08NOV17	2368.00	2588.00	USD	5,500.00	
08AUG17	B 1 PD08NOV17	2367.50	2588.00	USD	5,512.50	
08AUG17	B 1 PD08NOV17	2367.00	2588.00	USD	5,525.00	
08AUG17	B 1 PD08NOV17	2369.50	2588.00	USD	5,462.50	
08AUG17	B 1 PD08NOV17	2369.00	2588.00	USD	5,475.00	
08AUG17	B 2 PD08NOV17	2363.00	2588.00	USD	11,250.00	
08AUG17	B 1 PD08NOV17	2362.00	2588.00	USD	5,650.00	
08AUG17	B 1 PD08NOV17	2357.00	2588.00	USD	5,775.00	
08AUG17	B 1 PD08NOV17	2356.00	2588.00	USD	5,800.00	
08AUG17	B 1 PD08NOV17	2355.50	2588.00	USD	5,812.50	
08AUG17	B 4 PD08NOV17	2355.00	2588.00	USD	23,300.00	
08AUG17	B 4 PD08NOV17	2354.00	2588.00	USD	23,400.00	
08AUG17	B 1 PD08NOV17	2354.50	2588.00	USD	5,837.50	
08AUG17	S 1 PD08NOV17	2347.50	2588.00	USD	-6,012.50	
08AUG17	B 1 PD08NOV17	2349.00	2588.00	USD	5,975.00	
08AUG17	S 3 PD08NOV17	2353.00	2588.00	USD	-17,625.00	
08AUG17	B 1 PD08NOV17	2349.50	2588.00	USD	5,962.50	
08AUG17	B 1 PD08NOV17	2350.00	2588.00	USD	5,950.00	
08AUG17	B 2 PD08NOV17	2350.50	2588.00	USD	11,875.00	
08AUG17	S 2 PD08NOV17	2354.50	2588.00	USD	-11,675.00	
08AUG17	B 1 PD08NOV17	2344.50	2588.00	USD	6,087.50	
08AUG17	S 1 PD08NOV17	2347.00	2588.00	USD	-6,025.00	
08AUG17	S 1 PD08NOV17	2346.50	2588.00	USD	-6,037.50	
08AUG17	S 1 PD08NOV17	2348.00	2588.00	USD	-6,000.00	
08AUG17	S 5 PD08NOV17	2348.50	2588.00	USD	-29,937.50	
08AUG17	S 1 PD08NOV17	2349.00	2588.00	USD	-5,975.00	
08AUG17	B 2 PD08NOV17	2353.00	2588.00	USD	11,750.00	
08AUG17	S 2 PD08NOV17	2353.50	2588.00	USD	-11,725.00	
08AUG17	S 1 PD08NOV17	2355.00	2588.00	USD	-5,825.00	
08AUG17	S 1 PD08NOV17	2356.50	2588.00	USD	-5,787.50	
08AUG17	S 1 PD08NOV17	2359.50	2588.00	USD	-5,712.50	
08AUG17	S 1 PD08NOV17	2362.00	2588.00	USD	-5,650.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
08AUG17	S 2 PD08NOV17	2363.50	2588.00	USD	-11,225.00	
08AUG17	S 1 PD08NOV17	2366.00	2588.00	USD	-5,550.00	
08AUG17	B 1 PD08NOV17	2361.00	2588.00	USD	5,675.00	
08AUG17	S 2 PD08NOV17	2365.50	2588.00	USD	-11,125.00	
08AUG17	S 2 PD08NOV17	2364.50	2588.00	USD	-11,175.00	
08AUG17	S 2 PD08NOV17	2365.00	2588.00	USD	-11,150.00	
08AUG17	S 3 PD08NOV17	2367.50	2588.00	USD	-16,537.50	
08AUG17	S 1 PD08NOV17	2375.00	2588.00	USD	-5,325.00	
08AUG17	S 1 PD08NOV17	2377.00	2588.00	USD	-5,275.00	
08AUG17	S 4 PD08NOV17	2372.00	2588.00	USD	-21,600.00	
08AUG17	S 1 PD08NOV17	2376.00	2588.00	USD	-5,300.00	
08AUG17	S 2 PD08NOV17	2373.50	2588.00	USD	-10,725.00	
08AUG17	S 1 PD08NOV17	2376.50	2588.00	USD	-5,287.50	
08AUG17	S 1 PD08NOV17	2379.00	2588.00	USD	-5,225.00	
08AUG17	B 2 PD08NOV17	2380.00	2588.00	USD	10,400.00	
08AUG17	B 1 PD08NOV17	2379.50	2588.00	USD	5,212.50	
08AUG17	S 1 PD08NOV17	2385.50	2588.00	USD	-5,062.50	
08AUG17	B 2 PD08NOV17	2384.00	2588.00	USD	10,200.00	
08AUG17	B 1 PD08NOV17	2355.00	2588.00	USD	5,825.00	
08AUG17	S 2 PD08NOV17	2347.50	2588.00	USD	-12,025.00	
08AUG17	B 1 PD08NOV17	2392.50	2588.00	USD	4,887.50	
08AUG17	B 1 PD08NOV17	2393.00	2588.00	USD	4,875.00	
08AUG17	B 2 PD08NOV17	2390.50	2588.00	USD	9,875.00	
10AUG17	B 8 PD08NOV17	2365.78	2588.00	USD	44,444.00	
10AUG17	B 12 PD08NOV17	2365.58	2588.00	USD	66,726.00	
	NET 0 AVG BGHT 2364.35 SOLD 2363.25				**-1,742.50**	**0.00**
09AUG17	S 1 PD09NOV17	2399.00	2588.00	USD	-4,725.00	
09AUG17	B 1 PD09NOV17	2400.50	2588.00	USD	4,687.50	
09AUG17	B 1 PD09NOV17	2402.50	2588.00	USD	4,637.50	
09AUG17	B 2 PD09NOV17	2399.50	2588.00	USD	9,425.00	
09AUG17	B 1 PD09NOV17	2405.00	2588.00	USD	4,575.00	
09AUG17	S 1 PD09NOV17	2416.00	2588.00	USD	-4,300.00	
09AUG17	S 1 PD09NOV17	2418.00	2588.00	USD	-4,250.00	
09AUG17	S 1 PD09NOV17	2418.50	2588.00	USD	-4,237.50	
09AUG17	S 3 PD09NOV17	2417.00	2588.00	USD	-12,825.00	
09AUG17	B 1 PD09NOV17	2414.50	2588.00	USD	4,337.50	
09AUG17	B 4 PD09NOV17	2400.00	2588.00	USD	18,800.00	
09AUG17	B 1 PD09NOV17	2391.50	2588.00	USD	4,912.50	
09AUG17	B 1 PD09NOV17	2389.00	2588.00	USD	4,975.00	
09AUG17	B 1 PD09NOV17	2385.00	2588.00	USD	5,075.00	
09AUG17	B 1 PD09NOV17	2384.50	2588.00	USD	5,087.50	
09AUG17	B 2 PD09NOV17	2386.00	2588.00	USD	10,100.00	
09AUG17	B 1 PD09NOV17	2389.50	2588.00	USD	4,962.50	
09AUG17	B 3 PD09NOV17	2390.50	2588.00	USD	14,812.50	
09AUG17	B 2 PD09NOV17	2395.00	2588.00	USD	9,650.00	
09AUG17	B 2 PD09NOV17	2392.00	2588.00	USD	9,800.00	
09AUG17	B 1 PD09NOV17	2399.50	2588.00	USD	4,712.50	
09AUG17	B 1 PD09NOV17	2382.50	2588.00	USD	5,137.50	
09AUG17	B 2 PD09NOV17	2379.50	2588.00	USD	10,425.00	
09AUG17	B 1 PD09NOV17	2385.50	2588.00	USD	5,062.50	
09AUG17	S 1 PD09NOV17	2388.50	2588.00	USD	-4,987.50	
09AUG17	S 1 PD09NOV17	2390.00	2588.00	USD	-4,950.00	
09AUG17	S 1 PD09NOV17	2392.00	2588.00	USD	-4,900.00	
09AUG17	S 1 PD09NOV17	2392.50	2588.00	USD	-4,887.50	
09AUG17	S 1 PD09NOV17	2394.50	2588.00	USD	-4,837.50	
09AUG17	S 2 PD09NOV17	2396.00	2588.00	USD	-9,600.00	
09AUG17	S 1 PD09NOV17	2395.00	2588.00	USD	-4,825.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
09AUG17	S 2 PD09NOV17	2395.50	2588.00	USD	-9,625.00	
09AUG17	S 2 PD09NOV17	2398.00	2588.00	USD	-9,500.00	
09AUG17	S 1 PD09NOV17	2397.50	2588.00	USD	-4,762.50	
09AUG17	S 1 PD09NOV17	2391.50	2588.00	USD	-4,912.50	
09AUG17	S 1 PD09NOV17	2405.50	2588.00	USD	-4,562.50	
09AUG17	S 1 PD09NOV17	2406.00	2588.00	USD	-4,550.00	
09AUG17	B 1 PD09NOV17	2405.50	2588.00	USD	4,562.50	
09AUG17	S 1 PD09NOV17	2410.00	2588.00	USD	-4,450.00	
09AUG17	S 1 PD09NOV17	2409.00	2588.00	USD	-4,475.00	
09AUG17	S 1 PD09NOV17	2410.50	2588.00	USD	-4,437.50	
09AUG17	B 1 PD09NOV17	2413.00	2588.00	USD	4,375.00	
09AUG17	S 1 PD09NOV17	2411.50	2588.00	USD	-4,412.50	
09AUG17	S 4 PD09NOV17	2412.50	2588.00	USD	-17,550.00	
09AUG17	S 1 PD09NOV17	2402.00	2588.00	USD	-4,650.00	
09AUG17	B 1 PD09NOV17	2387.00	2588.00	USD	5,025.00	
09AUG17	B 2 PD09NOV17	2386.50	2588.00	USD	10,075.00	
09AUG17	S 2 PD09NOV17	2374.00	2588.00	USD	-10,700.00	
09AUG17	B 1 PD09NOV17	2376.50	2588.00	USD	5,287.50	
09AUG17	B 1 PD09NOV17	2375.00	2588.00	USD	5,325.00	
09AUG17	B 1 PD09NOV17	2373.50	2588.00	USD	5,362.50	
09AUG17	B 2 PD09NOV17	2372.50	2588.00	USD	10,775.00	
09AUG17	B 2 PD09NOV17	2371.00	2588.00	USD	10,850.00	
09AUG17	B 4 PD09NOV17	2372.00	2588.00	USD	21,600.00	
09AUG17	B 2 PD09NOV17	2370.50	2588.00	USD	10,875.00	
09AUG17	B 1 PD09NOV17	2370.00	2588.00	USD	5,450.00	
09AUG17	B 1 PD09NOV17	2369.00	2588.00	USD	5,475.00	
09AUG17	B 4 PD09NOV17	2368.00	2588.00	USD	22,000.00	
09AUG17	B 7 PD09NOV17	2368.50	2588.00	USD	38,412.50	
09AUG17	B 2 PD09NOV17	2352.00	2588.00	USD	11,800.00	
09AUG17	B 1 PD09NOV17	2353.00	2588.00	USD	5,875.00	
09AUG17	S 1 PD09NOV17	2359.00	2588.00	USD	-5,725.00	
09AUG17	S 1 PD09NOV17	2361.50	2588.00	USD	-5,662.50	
09AUG17	S 1 PD09NOV17	2363.50	2588.00	USD	-5,612.50	
09AUG17	S 1 PD09NOV17	2362.50	2588.00	USD	-5,637.50	
09AUG17	S 2 PD09NOV17	2362.00	2588.00	USD	-11,300.00	
09AUG17	S 6 PD09NOV17	2363.00	2588.00	USD	-33,750.00	
09AUG17	S 2 PD09NOV17	2360.00	2588.00	USD	-11,400.00	
09AUG17	B 1 PD09NOV17	2356.50	2588.00	USD	5,787.50	
10AUG17	S 12 PD09NOV17	2365.60	2588.00	USD	-66,720.00	
14AUG17	S 2 PD09NOV17	2334.00	2588.00	USD	-12,700.00	
22AUG17	S 2 PD09NOV17	2413.25	2588.00	USD	-8,737.50	
	NET 0 AVG BGHT 2381.70 SOLD 2384.78				**4,930.00**	**0.00**
10AUG17	S 1 PD10NOV17	2381.00	2588.00	USD	-5,175.00	
10AUG17	S 1 PD10NOV17	2377.50	2588.00	USD	-5,262.50	
10AUG17	S 1 PD10NOV17	2378.00	2588.00	USD	-5,250.00	
10AUG17	S 2 PD10NOV17	2373.50	2588.00	USD	-10,725.00	
10AUG17	S 1 PD10NOV17	2371.00	2588.00	USD	-5,425.00	
10AUG17	S 2 PD10NOV17	2370.00	2588.00	USD	-10,900.00	
10AUG17	S 1 PD10NOV17	2370.50	2588.00	USD	-5,437.50	
10AUG17	S 3 PD10NOV17	2379.00	2588.00	USD	-15,675.00	
10AUG17	S 3 PD10NOV17	2379.50	2588.00	USD	-15,637.50	
10AUG17	B 1 PD10NOV17	2370.00	2588.00	USD	5,450.00	
10AUG17	S 2 PD10NOV17	2380.50	2588.00	USD	-10,375.00	
10AUG17	S 1 PD10NOV17	2383.00	2588.00	USD	-5,125.00	
10AUG17	S 1 PD10NOV17	2366.50	2588.00	USD	-5,537.50	
10AUG17	B 2 PD10NOV17	2368.00	2588.00	USD	11,000.00	
10AUG17	B 1 PD10NOV17	2373.50	2588.00	USD	5,362.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
10AUG17	B 1 PD10NOV17	2377.50	2588.00	USD	5,262.50	
10AUG17	B 1 PD10NOV17	2380.50	2588.00	USD	5,187.50	
10AUG17	B 4 PD10NOV17	2381.00	2588.00	USD	20,700.00	
10AUG17	B 1 PD10NOV17	2381.50	2588.00	USD	5,162.50	
10AUG17	B 1 PD10NOV17	2379.50	2588.00	USD	5,212.50	
10AUG17	B 1 PD10NOV17	2379.00	2588.00	USD	5,225.00	
10AUG17	S 1 PD10NOV17	2372.50	2588.00	USD	-5,387.50	
10AUG17	B 1 PD10NOV17	2378.00	2588.00	USD	5,250.00	
10AUG17	S 2 PD10NOV17	2374.50	2588.00	USD	-10,675.00	
10AUG17	S 1 PD10NOV17	2373.00	2588.00	USD	-5,375.00	
10AUG17	S 2 PD10NOV17	2372.00	2588.00	USD	-10,800.00	
10AUG17	S 3 PD10NOV17	2372.50	2588.00	USD	-16,162.50	
10AUG17	S 2 PD10NOV17	2369.50	2588.00	USD	-10,925.00	
10AUG17	S 1 PD10NOV17	2366.00	2588.00	USD	-5,550.00	
10AUG17	B 1 PD10NOV17	2359.00	2588.00	USD	5,725.00	
10AUG17	B 1 PD10NOV17	2358.50	2588.00	USD	5,737.50	
10AUG17	B 1 PD10NOV17	2363.50	2588.00	USD	5,612.50	
10AUG17	S 1 PD10NOV17	2362.00	2588.00	USD	-5,650.00	
10AUG17	B 3 PD10NOV17	2361.00	2588.00	USD	17,025.00	
10AUG17	B 1 PD10NOV17	2357.50	2588.00	USD	5,762.50	
10AUG17	B 2 PD10NOV17	2358.00	2588.00	USD	11,500.00	
10AUG17	S 1 PD10NOV17	2364.00	2588.00	USD	-5,600.00	
10AUG17	S 2 PD10NOV17	2375.50	2588.00	USD	-10,625.00	
10AUG17	S 2 PD10NOV17	2378.50	2588.00	USD	-10,475.00	
10AUG17	S 5 PD10NOV17	2376.00	2588.00	USD	-26,500.00	
10AUG17	S 2 PD10NOV17	2376.50	2588.00	USD	-10,575.00	
10AUG17	S 1 PD10NOV17	2377.00	2588.00	USD	-5,275.00	
10AUG17	B 1 PD10NOV17	2375.50	2588.00	USD	5,312.50	
10AUG17	B 1 PD10NOV17	2371.50	2588.00	USD	5,412.50	
10AUG17	B 2 PD10NOV17	2364.50	2588.00	USD	11,175.00	
10AUG17	B 2 PD10NOV17	2369.00	2588.00	USD	10,950.00	
10AUG17	B 1 PD10NOV17	2367.50	2588.00	USD	5,512.50	
10AUG17	B 2 PD10NOV17	2365.00	2588.00	USD	11,150.00	
10AUG17	S 1 PD10NOV17	2363.50	2588.00	USD	-5,612.50	
11AUG17	S 1 PD10NOV17	2344.00	2588.00	USD	-6,100.00	
11AUG17	S 1 PD10NOV17	2339.00	2588.00	USD	-6,225.00	
11AUG17	S 1 PD10NOV17	2338.50	2588.00	USD	-6,237.50	
11AUG17	B 1 PD10NOV17	2336.50	2588.00	USD	6,287.50	
11AUG17	S 1 PD10NOV17	2342.00	2588.00	USD	-6,150.00	
11AUG17	B 3 PD10NOV17	2337.00	2588.00	USD	18,825.00	
11AUG17	S 1 PD10NOV17	2340.00	2588.00	USD	-6,200.00	
11AUG17	B 1 PD10NOV17	2337.50	2588.00	USD	6,262.50	
11AUG17	B 5 PD10NOV17	2339.00	2588.00	USD	31,125.00	
11AUG17	B 1 PD10NOV17	2338.50	2588.00	USD	6,237.50	
11AUG17	B 2 PD10NOV17	2340.00	2588.00	USD	12,400.00	
11AUG17	B 1 PD10NOV17	2340.50	2588.00	USD	6,187.50	
11AUG17	B 1 PD10NOV17	2346.00	2588.00	USD	6,050.00	
11AUG17	B 1 PD10NOV17	2344.50	2588.00	USD	6,087.50	
11AUG17	B 3 PD10NOV17	2342.50	2588.00	USD	18,412.50	
11AUG17	S 1 PD10NOV17	2349.50	2588.00	USD	-5,962.50	
11AUG17	S 4 PD10NOV17	2350.00	2588.00	USD	-23,800.00	
11AUG17	B 1 PD10NOV17	2345.50	2588.00	USD	6,062.50	
11AUG17	S 1 PD10NOV17	2345.00	2588.00	USD	-6,075.00	
11AUG17	S 1 PD10NOV17	2347.00	2588.00	USD	-6,025.00	
11AUG17	S 1 PD10NOV17	2351.00	2588.00	USD	-5,925.00	
11AUG17	S 1 PD10NOV17	2351.50	2588.00	USD	-5,912.50	
11AUG17	S 1 PD10NOV17	2352.00	2588.00	USD	-5,900.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
11AUG17	S	2	PD10NOV17	2355.50	2588.00	USD	-11,625.00	
11AUG17	S	1	PD10NOV17	2354.00	2588.00	USD	-5,850.00	
11AUG17	S	1	PD10NOV17	2352.50	2588.00	USD	-5,887.50	
11AUG17	B	1	PD10NOV17	2346.50	2588.00	USD	6,037.50	
11AUG17	B	2	PD10NOV17	2347.50	2588.00	USD	12,025.00	
11AUG17	B	2	PD10NOV17	2345.00	2588.00	USD	12,150.00	
11AUG17	B	2	PD10NOV17	2342.00	2588.00	USD	12,300.00	
11AUG17	B	1	PD10NOV17	2336.00	2588.00	USD	6,300.00	
11AUG17	B	2	PD10NOV17	2335.50	2588.00	USD	12,625.00	
11AUG17	B	1	PD10NOV17	2332.50	2588.00	USD	6,387.50	
11AUG17	B	1	PD10NOV17	2328.00	2588.00	USD	6,500.00	
11AUG17	B	1	PD10NOV17	2326.00	2588.00	USD	6,550.00	
11AUG17	S	1	PD10NOV17	2335.00	2588.00	USD	-6,325.00	
11AUG17	S	1	PD10NOV17	2331.00	2588.00	USD	-6,425.00	
14AUG17	B	2	PD10NOV17	2334.40	2588.00	USD	12,680.00	
	NET	**0**	**AVG BGHT 2353.86**	**SOLD 2365.71**			**19,842.50**	**0.00**
14AUG17	S	1	PD14NOV17	2343.50	2588.00	USD	-6,112.50	
14AUG17	S	1	PD14NOV17	2343.00	2588.00	USD	-6,125.00	
14AUG17	S	1	PD14NOV17	2345.00	2588.00	USD	-6,075.00	
14AUG17	S	2	PD14NOV17	2345.50	2588.00	USD	-12,125.00	
14AUG17	S	1	PD14NOV17	2344.00	2588.00	USD	-6,100.00	
14AUG17	S	3	PD14NOV17	2346.00	2588.00	USD	-18,150.00	
14AUG17	S	1	PD14NOV17	2335.00	2588.00	USD	-6,325.00	
14AUG17	B	2	PD14NOV17	2336.50	2588.00	USD	12,575.00	
14AUG17	B	7	PD14NOV17	2336.00	2588.00	USD	44,100.00	
14AUG17	B	5	PD14NOV17	2335.50	2588.00	USD	31,562.50	
14AUG17	B	2	PD14NOV17	2331.50	2588.00	USD	12,825.00	
14AUG17	S	1	PD14NOV17	2331.50	2588.00	USD	-6,412.50	
14AUG17	B	1	PD14NOV17	2330.00	2588.00	USD	6,450.00	
14AUG17	B	2	PD14NOV17	2330.50	2588.00	USD	12,875.00	
14AUG17	S	1	PD14NOV17	2339.50	2588.00	USD	-6,212.50	
14AUG17	S	1	PD14NOV17	2340.50	2588.00	USD	-6,187.50	
14AUG17	B	1	PD14NOV17	2347.00	2588.00	USD	6,025.00	
14AUG17	S	2	PD14NOV17	2346.50	2588.00	USD	-12,075.00	
14AUG17	S	3	PD14NOV17	2348.50	2588.00	USD	-17,962.50	
14AUG17	S	1	PD14NOV17	2348.00	2588.00	USD	-6,000.00	
14AUG17	S	3	PD14NOV17	2347.50	2588.00	USD	-18,037.50	
14AUG17	S	3	PD14NOV17	2347.00	2588.00	USD	-18,075.00	
14AUG17	B	1	PD14NOV17	2338.50	2588.00	USD	6,237.50	
14AUG17	B	1	PD14NOV17	2329.00	2588.00	USD	6,475.00	
14AUG17	S	1	PD14NOV17	2342.00	2588.00	USD	-6,150.00	
14AUG17	S	1	PD14NOV17	2343.00	2588.00	USD	-6,125.00	
14AUG17	S	1	PD14NOV17	2343.50	2588.00	USD	-6,112.50	
14AUG17	B	2	PD14NOV17	2334.50	2588.00	USD	12,675.00	
14AUG17	B	2	PD14NOV17	2334.00	2588.00	USD	12,700.00	
14AUG17	B	1	PD14NOV17	2333.00	2588.00	USD	6,375.00	
14AUG17	B	2	PD14NOV17	2333.50	2588.00	USD	12,725.00	
14AUG17	B	1	PD14NOV17	2335.00	2588.00	USD	6,325.00	
14AUG17	B	1	PD14NOV17	2341.50	2588.00	USD	6,162.50	
14AUG17	B	1	PD14NOV17	2342.00	2588.00	USD	6,150.00	
22AUG17	S	3	PD14NOV17	2414.50	2588.00	USD	-13,012.50	
21SEP17	S	1	PD14NOV17	2517.50	2588.00	USD	-1,762.50	
	NET	**0**	**AVG BGHT 2335.20**	**SOLD 2356.58**			**17,100.00**	**0.00**
15AUG17	S	1	PD15NOV17	2366.00	2588.00	USD	-5,550.00	
22AUG17	B	1	PD15NOV17	2414.95	2588.00	USD	4,326.25	
	NET	**0**	**AVG BGHT 2414.95**	**SOLD 2366.00**			**-1,223.75**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
21AUG17	B 1 PD21NOV17	2392.00	2588.77	USD	4,919.25	
21AUG17	S 1 PD21NOV17	2391.50	2588.77	USD	-4,931.75	
21AUG17	S 1 PD21NOV17	2391.00	2588.77	USD	-4,944.25	
21AUG17	S 1 PD21NOV17	2392.50	2588.77	USD	-4,906.75	
21AUG17	S 1 PD21NOV17	2389.50	2588.77	USD	-4,981.75	
21AUG17	S 2 PD21NOV17	2393.50	2588.77	USD	-9,763.50	
21AUG17	B 1 PD21NOV17	2388.00	2588.77	USD	5,019.25	
21AUG17	B 1 PD21NOV17	2387.50	2588.77	USD	5,031.75	
21AUG17	S 1 PD21NOV17	2389.00	2588.77	USD	-4,994.25	
21AUG17	S 1 PD21NOV17	2388.50	2588.77	USD	-5,006.75	
21AUG17	B 1 PD21NOV17	2377.50	2588.77	USD	5,281.75	
21AUG17	S 1 PD21NOV17	2380.50	2588.77	USD	-5,206.75	
21AUG17	S 1 PD21NOV17	2384.00	2588.77	USD	-5,119.25	
21AUG17	S 2 PD21NOV17	2385.00	2588.77	USD	-10,188.50	
21AUG17	S 1 PD21NOV17	2380.00	2588.77	USD	-5,219.25	
21AUG17	B 2 PD21NOV17	2376.50	2588.77	USD	10,613.50	
21AUG17	S 2 PD21NOV17	2386.50	2588.77	USD	-10,113.50	
21AUG17	S 1 PD21NOV17	2375.00	2588.77	USD	-5,344.25	
21AUG17	S 2 PD21NOV17	2375.50	2588.77	USD	-10,663.50	
21AUG17	B 1 PD21NOV17	2368.50	2588.77	USD	5,506.75	
21AUG17	S 1 PD21NOV17	2394.00	2588.77	USD	-4,869.25	
21AUG17	S 1 PD21NOV17	2385.50	2588.77	USD	-5,081.75	
21AUG17	B 1 PD21NOV17	2372.50	2588.77	USD	5,406.75	
21AUG17	S 1 PD21NOV17	2371.00	2588.77	USD	-5,444.25	
21AUG17	B 1 PD21NOV17	2379.00	2588.77	USD	5,244.25	
21AUG17	B 1 PD21NOV17	2363.00	2588.77	USD	5,644.25	
21AUG17	B 1 PD21NOV17	2360.50	2588.77	USD	5,706.75	
21AUG17	B 1 PD21NOV17	2358.50	2588.77	USD	5,756.75	
21AUG17	S 1 PD21NOV17	2371.50	2588.77	USD	-5,431.75	
21AUG17	B 2 PD21NOV17	2360.00	2588.77	USD	11,438.50	
21AUG17	B 1 PD21NOV17	2376.00	2588.77	USD	5,319.25	
21AUG17	S 2 PD21NOV17	2368.50	2588.77	USD	-11,013.50	
21AUG17	B 1 PD21NOV17	2365.50	2588.77	USD	5,581.75	
21AUG17	B 1 PD21NOV17	2353.50	2588.77	USD	5,881.75	
21AUG17	B 2 PD21NOV17	2353.00	2588.77	USD	11,788.50	
21AUG17	B 1 PD21NOV17	2351.00	2588.77	USD	5,944.25	
21AUG17	B 2 PD21NOV17	2355.00	2588.77	USD	11,688.50	
21AUG17	B 1 PD21NOV17	2355.50	2588.77	USD	5,831.75	
21AUG17	S 1 PD21NOV17	2369.00	2588.77	USD	-5,494.25	
21AUG17	S 1 PD21NOV17	2376.50	2588.77	USD	-5,306.75	
21AUG17	S 2 PD21NOV17	2378.00	2588.77	USD	-10,538.50	
21AUG17	S 3 PD21NOV17	2383.00	2588.77	USD	-15,432.75	
21AUG17	S 3 PD21NOV17	2381.00	2588.77	USD	-15,582.75	
21AUG17	S 1 PD21NOV17	2381.50	2588.77	USD	-5,181.75	
21AUG17	S 3 PD21NOV17	2382.00	2588.77	USD	-15,507.75	
21AUG17	S 2 PD21NOV17	2384.50	2588.77	USD	-10,213.50	
21AUG17	B 1 PD21NOV17	2375.00	2588.77	USD	5,344.25	
21AUG17	B 3 PD21NOV17	2359.50	2588.77	USD	17,195.25	
21AUG17	B 2 PD21NOV17	2359.00	2588.77	USD	11,488.50	
21AUG17	B 2 PD21NOV17	2357.50	2588.77	USD	11,563.50	
21AUG17	B 3 PD21NOV17	2358.00	2588.77	USD	17,307.75	
21AUG17	B 2 PD21NOV17	2354.50	2588.77	USD	11,713.50	
21AUG17	B 1 PD21NOV17	2365.00	2588.77	USD	5,594.25	
22AUG17	B 4 PD21NOV17	2415.95	2588.77	USD	17,282.00	
29AUG17	S 1 PD21NOV17	2376.00	2588.77	USD	-5,319.25	
	NET 0 AVG BGHT 2369.17 SOLD 2382.13				**13,292.50**	**0.00**
22AUG17	B 2 PD22NOV17	2343.50	2588.90	USD	12,270.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
22AUG17	B 1 PD22NOV17	2343.00	2588.90	USD	6,147.50	
22AUG17	B 1 PD22NOV17	2341.00	2588.90	USD	6,197.50	
22AUG17	B 1 PD22NOV17	2339.50	2588.90	USD	6,235.00	
22AUG17	B 1 PD22NOV17	2339.00	2588.90	USD	6,247.50	
22AUG17	B 1 PD22NOV17	2338.00	2588.90	USD	6,272.50	
22AUG17	S 1 PD22NOV17	2357.00	2588.90	USD	-5,797.50	
22AUG17	S 1 PD22NOV17	2361.00	2588.90	USD	-5,697.50	
22AUG17	S 1 PD22NOV17	2352.00	2588.90	USD	-5,922.50	
22AUG17	B 2 PD22NOV17	2356.00	2588.90	USD	11,645.00	
22AUG17	S 1 PD22NOV17	2356.50	2588.90	USD	-5,810.00	
22AUG17	S 1 PD22NOV17	2357.50	2588.90	USD	-5,785.00	
22AUG17	S 2 PD22NOV17	2356.00	2588.90	USD	-11,645.00	
22AUG17	S 1 PD22NOV17	2352.50	2588.90	USD	-5,910.00	
22AUG17	S 1 PD22NOV17	2353.00	2588.90	USD	-5,897.50	
22AUG17	B 2 PD22NOV17	2358.00	2588.90	USD	11,545.00	
22AUG17	S 1 PD22NOV17	2366.00	2588.90	USD	-5,572.50	
22AUG17	S 1 PD22NOV17	2345.50	2588.90	USD	-6,085.00	
22AUG17	S 1 PD22NOV17	2346.00	2588.90	USD	-6,072.50	
22AUG17	S 1 PD22NOV17	2353.50	2588.90	USD	-5,885.00	
22AUG17	S 1 PD22NOV17	2369.00	2588.90	USD	-5,497.50	
22AUG17	S 1 PD22NOV17	2372.00	2588.90	USD	-5,422.50	
22AUG17	B 1 PD22NOV17	2361.00	2588.90	USD	5,697.50	
22AUG17	S 4 PD22NOV17	2362.00	2588.90	USD	-22,690.00	
22AUG17	S 1 PD22NOV17	2370.50	2588.90	USD	-5,460.00	
22AUG17	S 2 PD22NOV17	2370.00	2588.90	USD	-10,945.00	
22AUG17	S 1 PD22NOV17	2371.00	2588.90	USD	-5,447.50	
22AUG17	S 1 PD22NOV17	2375.00	2588.90	USD	-5,347.50	
22AUG17	S 1 PD22NOV17	2379.00	2588.90	USD	-5,247.50	
22AUG17	S 1 PD22NOV17	2382.00	2588.90	USD	-5,172.50	
22AUG17	S 1 PD22NOV17	2391.00	2588.90	USD	-4,947.50	
22AUG17	S 1 PD22NOV17	2389.00	2588.90	USD	-4,997.50	
22AUG17	S 1 PD22NOV17	2387.50	2588.90	USD	-5,035.00	
22AUG17	S 1 PD22NOV17	2388.50	2588.90	USD	-5,010.00	
22AUG17	S 4 PD22NOV17	2389.50	2588.90	USD	-19,940.00	
22AUG17	S 1 PD22NOV17	2401.00	2588.90	USD	-4,697.50	
22AUG17	B 1 PD22NOV17	2396.50	2588.90	USD	4,810.00	
22AUG17	B 1 PD22NOV17	2397.50	2588.90	USD	4,785.00	
22AUG17	S 1 PD22NOV17	2404.00	2588.90	USD	-4,622.50	
22AUG17	S 1 PD22NOV17	2404.50	2588.90	USD	-4,610.00	
22AUG17	B 1 PD22NOV17	2395.00	2588.90	USD	4,847.50	
22AUG17	B 1 PD22NOV17	2398.50	2588.90	USD	4,760.00	
22AUG17	S 1 PD22NOV17	2369.50	2588.90	USD	-5,485.00	
22AUG17	S 1 PD22NOV17	2421.50	2588.90	USD	-4,185.00	
22AUG17	B 1 PD22NOV17	2414.50	2588.90	USD	4,360.00	
22AUG17	B 1 PD22NOV17	2420.50	2588.90	USD	4,210.00	
29AUG17	B 1 PD22NOV17	2376.45	2588.90	USD	5,311.25	
29AUG17	B 20 PD22NOV17	2376.25	2588.90	USD	106,325.00	
	NET 0 AVG BGHT 2371.81 SOLD 2372.65				**826.25**	**0.00**
23AUG17	B 1 PD24NOV17	2416.50	2589.16	USD	4,316.50	
23AUG17	B 2 PD24NOV17	2416.00	2589.16	USD	8,658.00	
23AUG17	B 1 PD24NOV17	2415.50	2589.16	USD	4,341.50	
23AUG17	B 2 PD24NOV17	2411.00	2589.16	USD	8,908.00	
23AUG17	B 3 PD24NOV17	2408.50	2589.16	USD	13,549.50	
23AUG17	B 2 PD24NOV17	2408.00	2589.16	USD	9,058.00	
23AUG17	B 4 PD24NOV17	2405.50	2589.16	USD	18,366.00	
23AUG17	B 1 PD24NOV17	2402.50	2589.16	USD	4,666.50	
23AUG17	B 1 PD24NOV17	2402.00	2589.16	USD	4,679.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
23AUG17	B 2 PD24NOV17	2401.00	2589.16	USD	9,408.00	
23AUG17	B 1 PD24NOV17	2398.50	2589.16	USD	4,766.50	
23AUG17	B 1 PD24NOV17	2397.50	2589.16	USD	4,791.50	
23AUG17	B 2 PD24NOV17	2396.50	2589.16	USD	9,633.00	
23AUG17	B 1 PD24NOV17	2395.50	2589.16	USD	4,841.50	
23AUG17	S 1 PD24NOV17	2403.50	2589.16	USD	-4,641.50	
23AUG17	S 2 PD24NOV17	2405.50	2589.16	USD	-9,183.00	
23AUG17	S 1 PD24NOV17	2405.00	2589.16	USD	-4,604.00	
23AUG17	S 1 PD24NOV17	2408.50	2589.16	USD	-4,516.50	
23AUG17	S 1 PD24NOV17	2409.00	2589.16	USD	-4,504.00	
23AUG17	S 1 PD24NOV17	2409.50	2589.16	USD	-4,491.50	
23AUG17	S 1 PD24NOV17	2410.50	2589.16	USD	-4,466.50	
23AUG17	S 1 PD24NOV17	2413.50	2589.16	USD	-4,391.50	
23AUG17	S 1 PD24NOV17	2415.00	2589.16	USD	-4,354.00	
23AUG17	S 2 PD24NOV17	2414.00	2589.16	USD	-8,758.00	
23AUG17	S 2 PD24NOV17	2417.00	2589.16	USD	-8,608.00	
23AUG17	S 1 PD24NOV17	2417.50	2589.16	USD	-4,291.50	
23AUG17	B 1 PD24NOV17	2410.00	2589.16	USD	4,479.00	
23AUG17	S 1 PD24NOV17	2410.00	2589.16	USD	-4,479.00	
23AUG17	S 1 PD24NOV17	2416.50	2589.16	USD	-4,316.50	
23AUG17	S 1 PD24NOV17	2409.00	2589.16	USD	-4,504.00	
23AUG17	B 3 PD24NOV17	2393.00	2589.16	USD	14,712.00	
23AUG17	B 2 PD24NOV17	2400.50	2589.16	USD	9,433.00	
23AUG17	B 3 PD24NOV17	2397.00	2589.16	USD	14,412.00	
23AUG17	B 4 PD24NOV17	2396.00	2589.16	USD	19,316.00	
23AUG17	B 1 PD24NOV17	2399.50	2589.16	USD	4,741.50	
23AUG17	B 2 PD24NOV17	2394.50	2589.16	USD	9,733.00	
23AUG17	B 2 PD24NOV17	2394.00	2589.16	USD	9,758.00	
23AUG17	B 1 PD24NOV17	2391.50	2589.16	USD	4,941.50	
23AUG17	B 1 PD24NOV17	2391.00	2589.16	USD	4,954.00	
23AUG17	S 1 PD24NOV17	2402.50	2589.16	USD	-4,666.50	
23AUG17	S 3 PD24NOV17	2404.00	2589.16	USD	-13,887.00	
23AUG17	S 1 PD24NOV17	2404.50	2589.16	USD	-4,616.50	
23AUG17	S 1 PD24NOV17	2406.00	2589.16	USD	-4,579.00	
23AUG17	S 1 PD24NOV17	2407.00	2589.16	USD	-4,554.00	
23AUG17	S 2 PD24NOV17	2401.00	2589.16	USD	-9,408.00	
23AUG17	B 2 PD24NOV17	2389.00	2589.16	USD	10,008.00	
23AUG17	B 1 PD24NOV17	2388.50	2589.16	USD	5,016.50	
23AUG17	B 1 PD24NOV17	2386.50	2589.16	USD	5,066.50	
23AUG17	B 3 PD24NOV17	2390.50	2589.16	USD	14,899.50	
23AUG17	S 6 PD24NOV17	2387.00	2589.16	USD	-30,324.00	
23AUG17	B 2 PD24NOV17	2384.00	2589.16	USD	10,258.00	
23AUG17	B 1 PD24NOV17	2390.00	2589.16	USD	4,979.00	
23AUG17	B 3 PD24NOV17	2389.50	2589.16	USD	14,974.50	
23AUG17	B 2 PD24NOV17	2387.00	2589.16	USD	10,108.00	
23AUG17	B 1 PD24NOV17	2388.00	2589.16	USD	5,029.00	
23AUG17	B 2 PD24NOV17	2382.50	2589.16	USD	10,333.00	
23AUG17	B 1 PD24NOV17	2382.00	2589.16	USD	5,179.00	
23AUG17	S 1 PD24NOV17	2382.50	2589.16	USD	-5,166.50	
23AUG17	S 1 PD24NOV17	2382.00	2589.16	USD	-5,179.00	
23AUG17	S 4 PD24NOV17	2391.00	2589.16	USD	-19,816.00	
23AUG17	S 1 PD24NOV17	2393.00	2589.16	USD	-4,904.00	
23AUG17	S 2 PD24NOV17	2390.00	2589.16	USD	-9,958.00	
23AUG17	S 1 PD24NOV17	2386.50	2589.16	USD	-5,066.50	
23AUG17	B 3 PD24NOV17	2385.50	2589.16	USD	15,274.50	
23AUG17	B 2 PD24NOV17	2385.00	2589.16	USD	10,208.00	
23AUG17	S 1 PD24NOV17	2387.00	2589.16	USD	-5,054.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
24AUG17	S 1 PD24NOV17	2397.50	2589.16	USD	-4,791.50	
24AUG17	S 1 PD24NOV17	2402.00	2589.16	USD	-4,679.00	
24AUG17	S 1 PD24NOV17	2402.50	2589.16	USD	-4,666.50	
24AUG17	B 1 PD24NOV17	2389.50	2589.16	USD	4,991.50	
24AUG17	S 1 PD24NOV17	2391.00	2589.16	USD	-4,954.00	
24AUG17	S 2 PD24NOV17	2394.50	2589.16	USD	-9,733.00	
24AUG17	B 1 PD24NOV17	2393.00	2589.16	USD	4,904.00	
24AUG17	S 3 PD24NOV17	2396.50	2589.16	USD	-14,449.50	
24AUG17	S 5 PD24NOV17	2397.00	2589.16	USD	-24,020.00	
24AUG17	S 1 PD24NOV17	2399.50	2589.16	USD	-4,741.50	
24AUG17	S 4 PD24NOV17	2398.50	2589.16	USD	-19,066.00	
24AUG17	B 1 PD24NOV17	2398.00	2589.16	USD	4,779.00	
24AUG17	S 1 PD24NOV17	2398.00	2589.16	USD	-4,779.00	
24AUG17	S 3 PD24NOV17	2399.00	2589.16	USD	-14,262.00	
24AUG17	S 1 PD24NOV17	2387.00	2589.16	USD	-5,054.00	
24AUG17	S 1 PD24NOV17	2389.50	2589.16	USD	-4,991.50	
24AUG17	B 1 PD24NOV17	2376.00	2589.16	USD	5,329.00	
24AUG17	B 1 PD24NOV17	2374.50	2589.16	USD	5,366.50	
24AUG17	B 1 PD24NOV17	2375.00	2589.16	USD	5,354.00	
24AUG17	S 1 PD24NOV17	2374.50	2589.16	USD	-5,366.50	
24AUG17	S 1 PD24NOV17	2375.00	2589.16	USD	-5,354.00	
24AUG17	B 1 PD24NOV17	2375.50	2589.16	USD	5,341.50	
24AUG17	B 1 PD24NOV17	2371.00	2589.16	USD	5,454.00	
24AUG17	B 1 PD24NOV17	2370.50	2589.16	USD	5,466.50	
24AUG17	B 1 PD24NOV17	2367.00	2589.16	USD	5,554.00	
24AUG17	B 1 PD24NOV17	2366.00	2589.16	USD	5,579.00	
24AUG17	B 2 PD24NOV17	2358.50	2589.16	USD	11,533.00	
24AUG17	B 2 PD24NOV17	2362.00	2589.16	USD	11,358.00	
24AUG17	B 1 PD24NOV17	2363.50	2589.16	USD	5,641.50	
24AUG17	B 1 PD24NOV17	2364.00	2589.16	USD	5,629.00	
24AUG17	B 2 PD24NOV17	2364.50	2589.16	USD	11,233.00	
24AUG17	S 1 PD24NOV17	2362.00	2589.16	USD	-5,679.00	
24AUG17	B 1 PD24NOV17	2354.50	2589.16	USD	5,866.50	
24AUG17	B 1 PD24NOV17	2355.00	2589.16	USD	5,854.00	
24AUG17	B 1 PD24NOV17	2352.50	2589.16	USD	5,916.50	
24AUG17	S 1 PD24NOV17	2355.50	2589.16	USD	-5,841.50	
24AUG17	B 1 PD24NOV17	2354.00	2589.16	USD	5,879.00	
24AUG17	B 1 PD24NOV17	2352.00	2589.16	USD	5,929.00	
24AUG17	S 2 PD24NOV17	2358.00	2589.16	USD	-11,558.00	
24AUG17	S 2 PD24NOV17	2361.50	2589.16	USD	-11,383.00	
24AUG17	S 2 PD24NOV17	2362.50	2589.16	USD	-11,333.00	
24AUG17	B 6 PD24NOV17	2365.50	2589.16	USD	33,549.00	
24AUG17	B 1 PD24NOV17	2350.00	2589.16	USD	5,979.00	
24AUG17	S 3 PD24NOV17	2357.00	2589.16	USD	-17,412.00	
25AUG17	S 1 PD24NOV17	2368.50	2589.16	USD	-5,516.50	
25AUG17	S 1 PD24NOV17	2370.50	2589.16	USD	-5,466.50	
25AUG17	S 1 PD24NOV17	2373.00	2589.16	USD	-5,404.00	
25AUG17	S 1 PD24NOV17	2376.50	2589.16	USD	-5,316.50	
25AUG17	B 1 PD24NOV17	2372.00	2589.16	USD	5,429.00	
25AUG17	B 1 PD24NOV17	2368.50	2589.16	USD	5,516.50	
25AUG17	B 1 PD24NOV17	2366.50	2589.16	USD	5,566.50	
25AUG17	B 1 PD24NOV17	2343.00	2589.16	USD	6,154.00	
25AUG17	B 1 PD24NOV17	2355.00	2589.16	USD	5,854.00	
25AUG17	B 1 PD24NOV17	2347.50	2589.16	USD	6,041.50	
25AUG17	B 1 PD24NOV17	2348.50	2589.16	USD	6,016.50	
25AUG17	B 1 PD24NOV17	2351.50	2589.16	USD	5,941.50	
25AUG17	S 1 PD24NOV17	2333.50	2589.16	USD	-6,391.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
25AUG17	S 1 PD24NOV17	2334.00	2589.16	USD	-6,379.00	
25AUG17	B 1 PD24NOV17	2341.00	2589.16	USD	6,204.00	
29AUG17	S 20 PD24NOV17	2376.55	2589.16	USD	-106,305.00	
	NET 0 AVG BGHT 2384.34 SOLD 2388.35				**10,825.00**	**0.00**
29AUG17	S 1 PD29NOV17	2342.00	2589.80	USD	-6,195.00	
29AUG17	S 1 PD29NOV17	2343.00	2589.80	USD	-6,170.00	
29AUG17	S 2 PD29NOV17	2345.00	2589.80	USD	-12,240.00	
29AUG17	S 1 PD29NOV17	2346.00	2589.80	USD	-6,095.00	
29AUG17	S 1 PD29NOV17	2344.50	2589.80	USD	-6,132.50	
29AUG17	S 1 PD29NOV17	2342.50	2589.80	USD	-6,182.50	
29AUG17	B 1 PD29NOV17	2349.50	2589.80	USD	6,007.50	
29AUG17	B 1 PD29NOV17	2350.00	2589.80	USD	5,995.00	
29AUG17	S 1 PD29NOV17	2350.00	2589.80	USD	-5,995.00	
29AUG17	S 1 PD29NOV17	2377.00	2589.80	USD	-5,320.00	
29AUG17	S 1 PD29NOV17	2380.50	2589.80	USD	-5,232.50	
29AUG17	S 3 PD29NOV17	2386.50	2589.80	USD	-15,247.50	
29AUG17	B 1 PD29NOV17	2386.00	2589.80	USD	5,095.00	
29AUG17	S 1 PD29NOV17	2392.00	2589.80	USD	-4,945.00	
29AUG17	S 1 PD29NOV17	2393.50	2589.80	USD	-4,907.50	
29AUG17	B 1 PD29NOV17	2399.00	2589.80	USD	4,770.00	
29AUG17	B 1 PD29NOV17	2399.50	2589.80	USD	4,757.50	
29AUG17	B 1 PD29NOV17	2402.50	2589.80	USD	4,682.50	
29AUG17	B 1 PD29NOV17	2400.00	2589.80	USD	4,745.00	
29AUG17	B 2 PD29NOV17	2396.50	2589.80	USD	9,665.00	
29AUG17	S 1 PD29NOV17	2345.50	2589.80	USD	-6,107.50	
29AUG17	S 1 PD29NOV17	2402.50	2589.80	USD	-4,682.50	
29AUG17	B 1 PD29NOV17	2395.00	2589.80	USD	4,870.00	
29AUG17	B 1 PD29NOV17	2380.50	2589.80	USD	5,232.50	
29AUG17	B 1 PD29NOV17	2384.00	2589.80	USD	5,145.00	
29AUG17	B 1 PD29NOV17	2383.00	2589.80	USD	5,170.00	
29AUG17	S 1 PD29NOV17	2388.00	2589.80	USD	-5,045.00	
29AUG17	B 1 PD29NOV17	2380.00	2589.80	USD	5,245.00	
29AUG17	B 5 PD29NOV17	2384.50	2589.80	USD	25,662.50	
29AUG17	S 2 PD29NOV17	2387.50	2589.80	USD	-10,115.00	
06SEP17	B 1 PD29NOV17	2338.08	2589.80	USD	6,293.00	
	NET 0 AVG BGHT 2383.13 SOLD 2368.58				**-7,277.00**	**0.00**
30AUG17	B 1 PD30NOV17	2389.50	2589.93	USD	5,010.75	
30AUG17	B 1 PD30NOV17	2393.00	2589.93	USD	4,923.25	
30AUG17	B 2 PD30NOV17	2399.00	2589.93	USD	9,546.50	
30AUG17	B 1 PD30NOV17	2399.50	2589.93	USD	4,760.75	
30AUG17	S 1 PD30NOV17	2394.00	2589.93	USD	-4,898.25	
30AUG17	S 1 PD30NOV17	2397.00	2589.93	USD	-4,823.25	
30AUG17	S 1 PD30NOV17	2395.00	2589.93	USD	-4,873.25	
30AUG17	B 1 PD30NOV17	2391.00	2589.93	USD	4,973.25	
30AUG17	B 1 PD30NOV17	2391.50	2589.93	USD	4,960.75	
30AUG17	S 2 PD30NOV17	2383.00	2589.93	USD	-10,346.50	
30AUG17	S 2 PD30NOV17	2384.00	2589.93	USD	-10,296.50	
30AUG17	S 1 PD30NOV17	2383.50	2589.93	USD	-5,160.75	
30AUG17	S 1 PD30NOV17	2380.50	2589.93	USD	-5,235.75	
30AUG17	B 2 PD30NOV17	2397.00	2589.93	USD	9,646.50	
30AUG17	B 1 PD30NOV17	2396.50	2589.93	USD	4,835.75	
30AUG17	B 1 PD30NOV17	2390.00	2589.93	USD	4,998.25	
30AUG17	S 1 PD30NOV17	2383.50	2589.93	USD	-5,160.75	
30AUG17	S 1 PD30NOV17	2371.50	2589.93	USD	-5,460.75	
30AUG17	S 1 PD30NOV17	2377.50	2589.93	USD	-5,310.75	
30AUG17	S 1 PD30NOV17	2378.00	2589.93	USD	-5,298.25	
30AUG17	S 2 PD30NOV17	2379.00	2589.93	USD	-10,546.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
30AUG17	S 1 PD30NOV17	2381.50	2589.93	USD	-5,210.75	
30AUG17	B 1 PD30NOV17	2387.50	2589.93	USD	5,060.75	
30AUG17	B 1 PD30NOV17	2386.00	2589.93	USD	5,098.25	
30AUG17	B 1 PD30NOV17	2385.50	2589.93	USD	5,110.75	
30AUG17	B 1 PD30NOV17	2383.00	2589.93	USD	5,173.25	
30AUG17	B 1 PD30NOV17	2382.00	2589.93	USD	5,198.25	
30AUG17	B 1 PD30NOV17	2381.50	2589.93	USD	5,210.75	
31AUG17	B 2 PD30NOV17	2374.50	2589.93	USD	10,771.50	
31AUG17	B 2 PD30NOV17	2388.50	2589.93	USD	10,071.50	
31AUG17	S 1 PD30NOV17	2379.50	2589.93	USD	-5,260.75	
31AUG17	S 1 PD30NOV17	2380.00	2589.93	USD	-5,248.25	
31AUG17	S 2 PD30NOV17	2390.00	2589.93	USD	-9,996.50	
31AUG17	B 1 PD30NOV17	2387.50	2589.93	USD	5,060.75	
31AUG17	S 1 PD30NOV17	2394.00	2589.93	USD	-4,898.25	
31AUG17	S 1 PD30NOV17	2395.50	2589.93	USD	-4,860.75	
31AUG17	B 1 PD30NOV17	2389.00	2589.93	USD	5,023.25	
31AUG17	B 1 PD30NOV17	2374.50	2589.93	USD	5,385.75	
31AUG17	B 1 PD30NOV17	2390.00	2589.93	USD	4,998.25	
31AUG17	S 1 PD30NOV17	2397.00	2589.93	USD	-4,823.25	
31AUG17	B 2 PD30NOV17	2383.50	2589.93	USD	10,321.50	
31AUG17	B 1 PD30NOV17	2383.00	2589.93	USD	5,173.25	
31AUG17	B 1 PD30NOV17	2381.50	2589.93	USD	5,210.75	
31AUG17	B 1 PD30NOV17	2377.00	2589.93	USD	5,323.25	
31AUG17	B 1 PD30NOV17	2374.00	2589.93	USD	5,398.25	
31AUG17	B 1 PD30NOV17	2378.00	2589.93	USD	5,298.25	
31AUG17	B 1 PD30NOV17	2393.00	2589.93	USD	4,923.25	
31AUG17	S 1 PD30NOV17	2393.00	2589.93	USD	-4,923.25	
31AUG17	S 1 PD30NOV17	2382.50	2589.93	USD	-5,185.75	
31AUG17	S 1 PD30NOV17	2390.50	2589.93	USD	-4,985.75	
31AUG17	S 1 PD30NOV17	2394.50	2589.93	USD	-4,885.75	
31AUG17	S 1 PD30NOV17	2390.50	2589.93	USD	-4,985.75	
06SEP17	S 1 PD30NOV17	2338.15	2589.93	USD	-6,294.50	
06SEP17	S 4 PD30NOV17	2338.35	2589.93	USD	-25,158.00	
	NET 0 AVG BGHT 2386.94 SOLD 2378.87				**-6,661.25**	**0.00**
01SEP17	B 1 PD01DEC17	2397.50	2590.06	USD	4,814.00	
01SEP17	B 1 PD01DEC17	2394.50	2590.06	USD	4,889.00	
01SEP17	S 1 PD01DEC17	2391.00	2590.06	USD	-4,976.50	
01SEP17	S 1 PD01DEC17	2392.50	2590.06	USD	-4,939.00	
01SEP17	S 1 PD01DEC17	2393.50	2590.06	USD	-4,914.00	
01SEP17	S 1 PD01DEC17	2401.00	2590.06	USD	-4,726.50	
01SEP17	S 4 PD01DEC17	2398.00	2590.06	USD	-19,206.00	
01SEP17	B 1 PD01DEC17	2403.50	2590.06	USD	4,664.00	
01SEP17	S 1 PD01DEC17	2406.00	2590.06	USD	-4,601.50	
01SEP17	B 1 PD01DEC17	2398.00	2590.06	USD	4,801.50	
01SEP17	B 1 PD01DEC17	2398.50	2590.06	USD	4,789.00	
01SEP17	B 1 PD01DEC17	2392.00	2590.06	USD	4,951.50	
01SEP17	B 2 PD01DEC17	2392.50	2590.06	USD	9,878.00	
01SEP17	B 2 PD01DEC17	2391.00	2590.06	USD	9,953.00	
01SEP17	B 1 PD01DEC17	2388.00	2590.06	USD	5,051.50	
01SEP17	B 1 PD01DEC17	2387.50	2590.06	USD	5,064.00	
01SEP17	B 1 PD01DEC17	2387.00	2590.06	USD	5,076.50	
01SEP17	S 1 PD01DEC17	2390.00	2590.06	USD	-5,001.50	
01SEP17	S 1 PD01DEC17	2386.50	2590.06	USD	-5,089.00	
01SEP17	S 1 PD01DEC17	2384.50	2590.06	USD	-5,139.00	
01SEP17	S 1 PD01DEC17	2396.50	2590.06	USD	-4,839.00	
01SEP17	S 1 PD01DEC17	2400.00	2590.06	USD	-4,751.50	
01SEP17	S 1 PD01DEC17	2401.50	2590.06	USD	-4,714.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
01SEP17	S 1 PD01DEC17	2405.00	2590.06	USD	-4,626.50	
01SEP17	S 1 PD01DEC17	2404.00	2590.06	USD	-4,651.50	
01SEP17	S 2 PD01DEC17	2405.50	2590.06	USD	-9,228.00	
01SEP17	S 6 PD01DEC17	2406.50	2590.06	USD	-27,534.00	
01SEP17	S 1 PD01DEC17	2411.00	2590.06	USD	-4,476.50	
01SEP17	S 1 PD01DEC17	2407.50	2590.06	USD	-4,564.00	
01SEP17	S 2 PD01DEC17	2407.00	2590.06	USD	-9,153.00	
01SEP17	S 2 PD01DEC17	2404.50	2590.06	USD	-9,278.00	
01SEP17	B 1 PD01DEC17	2413.00	2590.06	USD	4,426.50	
01SEP17	B 1 PD01DEC17	2412.50	2590.06	USD	4,439.00	
01SEP17	B 2 PD01DEC17	2412.00	2590.06	USD	8,903.00	
01SEP17	B 1 PD01DEC17	2411.00	2590.06	USD	4,476.50	
01SEP17	S 1 PD01DEC17	2403.00	2590.06	USD	-4,676.50	
01SEP17	B 1 PD01DEC17	2403.00	2590.06	USD	4,676.50	
01SEP17	B 1 PD01DEC17	2406.50	2590.06	USD	4,589.00	
01SEP17	B 1 PD01DEC17	2405.50	2590.06	USD	4,614.00	
01SEP17	B 1 PD01DEC17	2401.50	2590.06	USD	4,714.00	
01SEP17	B 1 PD01DEC17	2393.00	2590.06	USD	4,926.50	
01SEP17	B 2 PD01DEC17	2394.00	2590.06	USD	9,803.00	
01SEP17	B 3 PD01DEC17	2393.50	2590.06	USD	14,742.00	
01SEP17	B 1 PD01DEC17	2412.00	2590.06	USD	4,451.50	
01SEP17	S 1 PD01DEC17	2399.00	2590.06	USD	-4,776.50	
06SEP17	B 4 PD01DEC17	2338.83	2590.06	USD	25,123.00	
	NET 0 AVG BGHT 2391.49 SOLD 2401.14				**7,954.50**	**0.00**
04SEP17	B 1 PD04DEC17	2407.50	2590.44	USD	4,573.50	
04SEP17	B 1 PD04DEC17	2407.00	2590.44	USD	4,586.00	
04SEP17	B 1 PD04DEC17	2405.50	2590.44	USD	4,623.50	
04SEP17	B 1 PD04DEC17	2404.50	2590.44	USD	4,648.50	
04SEP17	B 1 PD04DEC17	2400.50	2590.44	USD	4,748.50	
04SEP17	B 1 PD04DEC17	2393.00	2590.44	USD	4,936.00	
04SEP17	B 1 PD04DEC17	2395.50	2590.44	USD	4,873.50	
04SEP17	B 1 PD04DEC17	2395.00	2590.44	USD	4,886.00	
04SEP17	B 1 PD04DEC17	2397.00	2590.44	USD	4,836.00	
04SEP17	S 1 PD04DEC17	2398.50	2590.44	USD	-4,798.50	
04SEP17	B 1 PD04DEC17	2387.50	2590.44	USD	5,073.50	
04SEP17	B 1 PD04DEC17	2386.00	2590.44	USD	5,111.00	
04SEP17	B 1 PD04DEC17	2385.50	2590.44	USD	5,123.50	
04SEP17	S 1 PD04DEC17	2390.00	2590.44	USD	-5,011.00	
04SEP17	S 1 PD04DEC17	2391.00	2590.44	USD	-4,986.00	
04SEP17	S 1 PD04DEC17	2392.00	2590.44	USD	-4,961.00	
04SEP17	S 1 PD04DEC17	2393.00	2590.44	USD	-4,936.00	
04SEP17	S 1 PD04DEC17	2398.00	2590.44	USD	-4,811.00	
04SEP17	S 1 PD04DEC17	2401.00	2590.44	USD	-4,736.00	
04SEP17	B 4 PD04DEC17	2390.00	2590.44	USD	20,044.00	
04SEP17	S 1 PD04DEC17	2395.00	2590.44	USD	-4,886.00	
04SEP17	S 3 PD04DEC17	2394.00	2590.44	USD	-14,733.00	
04SEP17	B 2 PD04DEC17	2391.00	2590.44	USD	9,972.00	
04SEP17	S 1 PD04DEC17	2391.50	2590.44	USD	-4,973.50	
04SEP17	S 1 PD04DEC17	2392.00	2590.44	USD	-4,961.00	
04SEP17	S 1 PD04DEC17	2392.50	2590.44	USD	-4,948.50	
04SEP17	S 2 PD04DEC17	2393.00	2590.44	USD	-9,872.00	
06SEP17	S 2 PD04DEC17	2339.45	2590.44	USD	-12,549.50	
	NET 0 AVG BGHT 2394.81 SOLD 2387.86				**-3,127.50**	**0.00**
05SEP17	S 1 PD05DEC17	2394.50	2590.57	USD	-4,901.75	
05SEP17	B 1 PD05DEC17	2399.50	2590.57	USD	4,776.75	
05SEP17	S 1 PD05DEC17	2405.00	2590.57	USD	-4,639.25	
05SEP17	S 2 PD05DEC17	2405.50	2590.57	USD	-9,253.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
05SEP17	S 2 PD05DEC17	2398.50	2590.57	USD	-9,603.50	
05SEP17	S 1 PD05DEC17	2399.50	2590.57	USD	-4,776.75	
05SEP17	S 1 PD05DEC17	2395.00	2590.57	USD	-4,889.25	
05SEP17	S 1 PD05DEC17	2393.00	2590.57	USD	-4,939.25	
05SEP17	B 1 PD05DEC17	2391.00	2590.57	USD	4,989.25	
05SEP17	B 1 PD05DEC17	2389.50	2590.57	USD	5,026.75	
05SEP17	B 1 PD05DEC17	2388.50	2590.57	USD	5,051.75	
05SEP17	B 3 PD05DEC17	2389.00	2590.57	USD	15,117.75	
05SEP17	B 3 PD05DEC17	2387.00	2590.57	USD	15,267.75	
05SEP17	B 2 PD05DEC17	2386.50	2590.57	USD	10,203.50	
05SEP17	B 1 PD05DEC17	2386.00	2590.57	USD	5,114.25	
05SEP17	B 1 PD05DEC17	2384.50	2590.57	USD	5,151.75	
05SEP17	B 3 PD05DEC17	2382.50	2590.57	USD	15,605.25	
05SEP17	B 2 PD05DEC17	2379.50	2590.57	USD	10,553.50	
05SEP17	B 4 PD05DEC17	2378.00	2590.57	USD	21,257.00	
05SEP17	B 1 PD05DEC17	2373.50	2590.57	USD	5,426.75	
05SEP17	S 1 PD05DEC17	2370.00	2590.57	USD	-5,514.25	
05SEP17	S 2 PD05DEC17	2373.00	2590.57	USD	-10,878.50	
05SEP17	S 1 PD05DEC17	2373.50	2590.57	USD	-5,426.75	
05SEP17	S 1 PD05DEC17	2366.50	2590.57	USD	-5,601.75	
05SEP17	S 1 PD05DEC17	2365.00	2590.57	USD	-5,639.25	
05SEP17	S 1 PD05DEC17	2371.00	2590.57	USD	-5,489.25	
05SEP17	B 1 PD05DEC17	2367.50	2590.57	USD	5,576.75	
05SEP17	S 1 PD05DEC17	2365.50	2590.57	USD	-5,626.75	
05SEP17	S 3 PD05DEC17	2364.00	2590.57	USD	-16,992.75	
05SEP17	B 2 PD05DEC17	2368.00	2590.57	USD	11,128.50	
05SEP17	S 1 PD05DEC17	2362.00	2590.57	USD	-5,714.25	
05SEP17	S 1 PD05DEC17	2362.50	2590.57	USD	-5,701.75	
05SEP17	B 1 PD05DEC17	2355.50	2590.57	USD	5,876.75	
05SEP17	S 1 PD05DEC17	2354.50	2590.57	USD	-5,901.75	
05SEP17	S 1 PD05DEC17	2355.00	2590.57	USD	-5,889.25	
05SEP17	B 1 PD05DEC17	2354.00	2590.57	USD	5,914.25	
05SEP17	B 1 PD05DEC17	2353.50	2590.57	USD	5,926.75	
05SEP17	B 1 PD05DEC17	2346.00	2590.57	USD	6,114.25	
05SEP17	S 1 PD05DEC17	2344.00	2590.57	USD	-6,164.25	
05SEP17	S 1 PD05DEC17	2339.00	2590.57	USD	-6,289.25	
05SEP17	S 1 PD05DEC17	2340.00	2590.57	USD	-6,264.25	
05SEP17	S 1 PD05DEC17	2341.00	2590.57	USD	-6,239.25	
05SEP17	S 2 PD05DEC17	2341.50	2590.57	USD	-12,453.50	
05SEP17	B 1 PD05DEC17	2332.50	2590.57	USD	6,451.75	
05SEP17	B 1 PD05DEC17	2332.00	2590.57	USD	6,464.25	
05SEP17	S 1 PD05DEC17	2329.50	2590.57	USD	-6,526.75	
05SEP17	S 1 PD05DEC17	2328.50	2590.57	USD	-6,551.75	
05SEP17	S 1 PD05DEC17	2326.50	2590.57	USD	-6,601.75	
05SEP17	S 2 PD05DEC17	2327.00	2590.57	USD	-13,178.50	
05SEP17	S 1 PD05DEC17	2331.50	2590.57	USD	-6,476.75	
05SEP17	S 1 PD05DEC17	2331.00	2590.57	USD	-6,489.25	
05SEP17	S 1 PD05DEC17	2338.00	2590.57	USD	-6,314.25	
05SEP17	S 3 PD05DEC17	2336.50	2590.57	USD	-19,055.25	
05SEP17	B 1 PD05DEC17	2331.00	2590.57	USD	6,489.25	
05SEP17	S 1 PD05DEC17	2341.00	2590.57	USD	-6,239.25	
06SEP17	B 2 PD05DEC17	2339.93	2590.57	USD	12,532.00	
08SEP17	B 6 PD05DEC17	2264.58	2590.57	USD	48,898.50	
	NET 0 AVG BGHT 2357.32 SOLD 2359.88				**2,691.50**	**0.00**
06SEP17	B 1 PD06DEC17	2340.00	2590.70	USD	6,267.50	
06SEP17	B 1 PD06DEC17	2339.00	2590.70	USD	6,292.50	
06SEP17	B 3 PD06DEC17	2336.00	2590.70	USD	19,102.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
06SEP17	B 3 PD06DEC17	2338.00	2590.70	USD	18,952.50	
06SEP17	B 2 PD06DEC17	2337.00	2590.70	USD	12,685.00	
06SEP17	S 1 PD06DEC17	2323.00	2590.70	USD	-6,692.50	
06SEP17	S 1 PD06DEC17	2317.50	2590.70	USD	-6,830.00	
06SEP17	S 1 PD06DEC17	2323.50	2590.70	USD	-6,680.00	
06SEP17	S 2 PD06DEC17	2325.00	2590.70	USD	-13,285.00	
06SEP17	S 1 PD06DEC17	2329.50	2590.70	USD	-6,530.00	
06SEP17	S 2 PD06DEC17	2330.00	2590.70	USD	-13,035.00	
06SEP17	S 2 PD06DEC17	2327.00	2590.70	USD	-13,185.00	
06SEP17	S 1 PD06DEC17	2324.50	2590.70	USD	-6,655.00	
06SEP17	S 2 PD06DEC17	2339.50	2590.70	USD	-12,560.00	
06SEP17	S 1 PD06DEC17	2357.00	2590.70	USD	-5,842.50	
06SEP17	S 1 PD06DEC17	2363.00	2590.70	USD	-5,692.50	
06SEP17	B 1 PD06DEC17	2350.00	2590.70	USD	6,017.50	
06SEP17	B 3 PD06DEC17	2349.00	2590.70	USD	18,127.50	
06SEP17	B 1 PD06DEC17	2348.50	2590.70	USD	6,055.00	
06SEP17	B 1 PD06DEC17	2348.00	2590.70	USD	6,067.50	
06SEP17	B 1 PD06DEC17	2344.50	2590.70	USD	6,155.00	
06SEP17	B 2 PD06DEC17	2346.50	2590.70	USD	12,210.00	
06SEP17	S 1 PD06DEC17	2360.00	2590.70	USD	-5,767.50	
06SEP17	B 2 PD06DEC17	2341.00	2590.70	USD	12,485.00	
06SEP17	B 1 PD06DEC17	2344.00	2590.70	USD	6,167.50	
06SEP17	B 1 PD06DEC17	2341.50	2590.70	USD	6,230.00	
06SEP17	S 2 PD06DEC17	2342.50	2590.70	USD	-12,410.00	
06SEP17	S 1 PD06DEC17	2348.50	2590.70	USD	-6,055.00	
06SEP17	S 3 PD06DEC17	2343.50	2590.70	USD	-18,540.00	
06SEP17	S 1 PD06DEC17	2344.00	2590.70	USD	-6,167.50	
06SEP17	S 1 PD06DEC17	2344.50	2590.70	USD	-6,155.00	
06SEP17	S 1 PD06DEC17	2345.50	2590.70	USD	-6,130.00	
06SEP17	S 1 PD06DEC17	2351.00	2590.70	USD	-5,992.50	
06SEP17	S 1 PD06DEC17	2361.00	2590.70	USD	-5,742.50	
06SEP17	S 2 PD06DEC17	2361.50	2590.70	USD	-11,460.00	
06SEP17	S 2 PD06DEC17	2362.00	2590.70	USD	-11,435.00	
06SEP17	S 2 PD06DEC17	2359.50	2590.70	USD	-11,560.00	
06SEP17	S 1 PD06DEC17	2352.00	2590.70	USD	-5,967.50	
06SEP17	B 1 PD06DEC17	2347.50	2590.70	USD	6,080.00	
06SEP17	B 2 PD06DEC17	2346.00	2590.70	USD	12,235.00	
06SEP17	B 2 PD06DEC17	2345.00	2590.70	USD	12,285.00	
06SEP17	B 1 PD06DEC17	2343.00	2590.70	USD	6,192.50	
06SEP17	B 2 PD06DEC17	2342.00	2590.70	USD	12,435.00	
06SEP17	B 4 PD06DEC17	2345.50	2590.70	USD	24,520.00	
06SEP17	B 2 PD06DEC17	2353.00	2590.70	USD	11,885.00	
06SEP17	B 1 PD06DEC17	2353.50	2590.70	USD	5,930.00	
06SEP17	B 2 PD06DEC17	2355.00	2590.70	USD	11,785.00	
08SEP17	S 6 PD06DEC17	2264.80	2590.70	USD	-48,885.00	
	NET 0 AVG BGHT 2344.54 SOLD 2331.45				**-13,092.50**	**0.00**
07SEP17	B 1 PD07DEC17	2348.50	2590.83	USD	6,058.25	
07SEP17	S 1 PD07DEC17	2343.00	2590.83	USD	-6,195.75	
07SEP17	S 2 PD07DEC17	2341.50	2590.83	USD	-12,466.50	
07SEP17	S 2 PD07DEC17	2337.50	2590.83	USD	-12,666.50	
07SEP17	B 2 PD07DEC17	2334.50	2590.83	USD	12,816.50	
07SEP17	B 1 PD07DEC17	2333.00	2590.83	USD	6,445.75	
07SEP17	B 1 PD07DEC17	2338.00	2590.83	USD	6,320.75	
07SEP17	B 1 PD07DEC17	2338.50	2590.83	USD	6,308.25	
07SEP17	B 3 PD07DEC17	2335.50	2590.83	USD	19,149.75	
07SEP17	B 1 PD07DEC17	2331.00	2590.83	USD	6,495.75	
07SEP17	B 1 PD07DEC17	2334.00	2590.83	USD	6,420.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
07SEP17	B 3 PD07DEC17	2333.50	2590.83	USD	19,299.75	
07SEP17	B 1 PD07DEC17	2335.00	2590.83	USD	6,395.75	
07SEP17	B 1 PD07DEC17	2321.50	2590.83	USD	6,733.25	
07SEP17	S 1 PD07DEC17	2322.50	2590.83	USD	-6,708.25	
07SEP17	S 1 PD07DEC17	2332.50	2590.83	USD	-6,458.25	
07SEP17	S 1 PD07DEC17	2335.50	2590.83	USD	-6,383.25	
07SEP17	S 1 PD07DEC17	2340.50	2590.83	USD	-6,258.25	
07SEP17	S 2 PD07DEC17	2340.00	2590.83	USD	-12,541.50	
07SEP17	S 1 PD07DEC17	2342.00	2590.83	USD	-6,220.75	
07SEP17	S 2 PD07DEC17	2336.50	2590.83	USD	-12,716.50	
07SEP17	S 2 PD07DEC17	2337.00	2590.83	USD	-12,691.50	
07SEP17	S 2 PD07DEC17	2338.00	2590.83	USD	-12,641.50	
07SEP17	S 4 PD07DEC17	2339.00	2590.83	USD	-25,183.00	
07SEP17	S 3 PD07DEC17	2339.50	2590.83	USD	-18,849.75	
07SEP17	S 1 PD07DEC17	2347.00	2590.83	USD	-6,095.75	
07SEP17	S 1 PD07DEC17	2350.00	2590.83	USD	-6,020.75	
07SEP17	S 2 PD07DEC17	2362.00	2590.83	USD	-11,441.50	
07SEP17	B 1 PD07DEC17	2363.00	2590.83	USD	5,695.75	
07SEP17	B 1 PD07DEC17	2361.00	2590.83	USD	5,745.75	
07SEP17	B 2 PD07DEC17	2351.50	2590.83	USD	11,966.50	
07SEP17	B 1 PD07DEC17	2347.00	2590.83	USD	6,095.75	
07SEP17	B 1 PD07DEC17	2346.50	2590.83	USD	6,108.25	
07SEP17	S 1 PD07DEC17	2348.00	2590.83	USD	-6,070.75	
07SEP17	B 1 PD07DEC17	2342.00	2590.83	USD	6,220.75	
07SEP17	B 4 PD07DEC17	2339.50	2590.83	USD	25,133.00	
07SEP17	B 1 PD07DEC17	2341.50	2590.83	USD	6,233.25	
07SEP17	B 1 PD07DEC17	2342.50	2590.83	USD	6,208.25	
07SEP17	B 1 PD07DEC17	2340.00	2590.83	USD	6,270.75	
	NET 0 AVG BGHT 2340.00 SOLD 2340.68				**512.50**	**0.00**
08SEP17	B 1 PD08DEC17	2356.00	2590.96	USD	5,874.00	
08SEP17	B 1 PD08DEC17	2354.00	2590.96	USD	5,924.00	
08SEP17	B 1 PD08DEC17	2351.50	2590.96	USD	5,986.50	
08SEP17	B 1 PD08DEC17	2351.00	2590.96	USD	5,999.00	
08SEP17	S 1 PD08DEC17	2346.50	2590.96	USD	-6,111.50	
08SEP17	B 1 PD08DEC17	2346.00	2590.96	USD	6,124.00	
08SEP17	B 1 PD08DEC17	2346.50	2590.96	USD	6,111.50	
08SEP17	S 2 PD08DEC17	2342.50	2590.96	USD	-12,423.00	
08SEP17	S 2 PD08DEC17	2341.00	2590.96	USD	-12,498.00	
08SEP17	S 1 PD08DEC17	2341.50	2590.96	USD	-6,236.50	
08SEP17	S 2 PD08DEC17	2342.00	2590.96	USD	-12,448.00	
08SEP17	S 2 PD08DEC17	2338.50	2590.96	USD	-12,623.00	
08SEP17	S 1 PD08DEC17	2335.50	2590.96	USD	-6,386.50	
08SEP17	B 1 PD08DEC17	2324.50	2590.96	USD	6,661.50	
08SEP17	B 1 PD08DEC17	2323.50	2590.96	USD	6,686.50	
08SEP17	B 1 PD08DEC17	2324.00	2590.96	USD	6,674.00	
08SEP17	B 1 PD08DEC17	2323.00	2590.96	USD	6,699.00	
08SEP17	B 1 PD08DEC17	2321.50	2590.96	USD	6,736.50	
08SEP17	S 1 PD08DEC17	2320.00	2590.96	USD	-6,774.00	
08SEP17	B 1 PD08DEC17	2314.50	2590.96	USD	6,911.50	
08SEP17	S 1 PD08DEC17	2321.00	2590.96	USD	-6,749.00	
08SEP17	S 1 PD08DEC17	2321.50	2590.96	USD	-6,736.50	
08SEP17	B 1 PD08DEC17	2319.50	2590.96	USD	6,786.50	
08SEP17	B 3 PD08DEC17	2316.00	2590.96	USD	20,622.00	
08SEP17	S 1 PD08DEC17	2304.50	2590.96	USD	-7,161.50	
08SEP17	B 1 PD08DEC17	2307.00	2590.96	USD	7,099.00	
08SEP17	B 2 PD08DEC17	2305.00	2590.96	USD	14,298.00	
08SEP17	B 1 PD08DEC17	2304.00	2590.96	USD	7,174.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
08SEP17	B 1 PD08DEC17	2326.00	2590.96	USD	6,624.00	
08SEP17	B 1 PD08DEC17	2321.50	2590.96	USD	6,736.50	
08SEP17	B 1 PD08DEC17	2320.50	2590.96	USD	6,761.50	
08SEP17	B 1 PD08DEC17	2318.00	2590.96	USD	6,824.00	
08SEP17	B 1 PD08DEC17	2315.50	2590.96	USD	6,886.50	
08SEP17	S 1 PD08DEC17	2295.50	2590.96	USD	-7,386.50	
08SEP17	S 2 PD08DEC17	2296.00	2590.96	USD	-14,748.00	
08SEP17	S 1 PD08DEC17	2292.00	2590.96	USD	-7,474.00	
08SEP17	S 1 PD08DEC17	2290.50	2590.96	USD	-7,511.50	
08SEP17	S 2 PD08DEC17	2292.50	2590.96	USD	-14,923.00	
08SEP17	S 1 PD08DEC17	2291.50	2590.96	USD	-7,486.50	
08SEP17	S 2 PD08DEC17	2293.50	2590.96	USD	-14,873.00	
08SEP17	S 1 PD08DEC17	2294.50	2590.96	USD	-7,411.50	
08SEP17	S 4 PD08DEC17	2295.00	2590.96	USD	-29,596.00	
08SEP17	S 1 PD08DEC17	2300.00	2590.96	USD	-7,274.00	
08SEP17	B 1 PD08DEC17	2303.50	2590.96	USD	7,186.50	
08SEP17	S 2 PD08DEC17	2302.00	2590.96	USD	-14,448.00	
08SEP17	S 2 PD08DEC17	2305.50	2590.96	USD	-14,273.00	
08SEP17	S 1 PD08DEC17	2298.50	2590.96	USD	-7,311.50	
08SEP17	B 1 PD08DEC17	2283.50	2590.96	USD	7,686.50	
08SEP17	B 1 PD08DEC17	2281.00	2590.96	USD	7,749.00	
08SEP17	S 1 PD08DEC17	2295.50	2590.96	USD	-7,386.50	
08SEP17	S 1 PD08DEC17	2276.50	2590.96	USD	-7,861.50	
08SEP17	B 1 PD08DEC17	2270.00	2590.96	USD	8,024.00	
08SEP17	B 1 PD08DEC17	2268.50	2590.96	USD	8,061.50	
08SEP17	B 1 PD08DEC17	2268.00	2590.96	USD	8,074.00	
08SEP17	B 1 PD08DEC17	2267.50	2590.96	USD	8,086.50	
08SEP17	B 1 PD08DEC17	2266.50	2590.96	USD	8,111.50	
08SEP17	B 1 PD08DEC17	2266.00	2590.96	USD	8,124.00	
08SEP17	B 1 PD08DEC17	2265.50	2590.96	USD	8,136.50	
13SEP17	B 3 PD08DEC17	2288.43	2590.96	USD	22,689.75	
	NET 0 AVG BGHT 2308.72 SOLD 2310.84				**2,017.75**	**0.00**
11SEP17	B 1 PD11DEC17	2268.50	2591.34	USD	8,071.00	
11SEP17	B 2 PD11DEC17	2270.50	2591.34	USD	16,042.00	
11SEP17	B 1 PD11DEC17	2272.50	2591.34	USD	7,971.00	
11SEP17	B 2 PD11DEC17	2269.50	2591.34	USD	16,092.00	
11SEP17	B 1 PD11DEC17	2279.00	2591.34	USD	7,808.50	
11SEP17	S 1 PD11DEC17	2280.50	2591.34	USD	-7,771.00	
11SEP17	S 1 PD11DEC17	2296.00	2591.34	USD	-7,383.50	
11SEP17	S 1 PD11DEC17	2295.00	2591.34	USD	-7,408.50	
11SEP17	S 2 PD11DEC17	2295.50	2591.34	USD	-14,792.00	
11SEP17	S 1 PD11DEC17	2291.50	2591.34	USD	-7,496.00	
11SEP17	S 1 PD11DEC17	2292.50	2591.34	USD	-7,471.00	
11SEP17	S 3 PD11DEC17	2293.50	2591.34	USD	-22,338.00	
11SEP17	S 4 PD11DEC17	2287.50	2591.34	USD	-30,384.00	
11SEP17	S 1 PD11DEC17	2289.50	2591.34	USD	-7,546.00	
11SEP17	B 1 PD11DEC17	2284.00	2591.34	USD	7,683.50	
11SEP17	B 2 PD11DEC17	2283.50	2591.34	USD	15,392.00	
11SEP17	S 1 PD11DEC17	2267.50	2591.34	USD	-8,096.00	
11SEP17	B 4 PD11DEC17	2267.50	2591.34	USD	32,384.00	
11SEP17	B 1 PD11DEC17	2261.00	2591.34	USD	8,258.50	
11SEP17	B 1 PD11DEC17	2261.50	2591.34	USD	8,246.00	
11SEP17	B 2 PD11DEC17	2262.50	2591.34	USD	16,442.00	
11SEP17	B 1 PD11DEC17	2262.00	2591.34	USD	8,233.50	
11SEP17	S 1 PD11DEC17	2279.00	2591.34	USD	-7,808.50	
11SEP17	S 2 PD11DEC17	2283.00	2591.34	USD	-15,417.00	
11SEP17	S 2 PD11DEC17	2284.50	2591.34	USD	-15,342.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
11SEP17	B 1 PD11DEC17	2278.00	2591.34	USD	7,833.50	
11SEP17	B 2 PD11DEC17	2277.50	2591.34	USD	15,692.00	
11SEP17	B 1 PD11DEC17	2276.00	2591.34	USD	7,883.50	
11SEP17	B 2 PD11DEC17	2279.50	2591.34	USD	15,592.00	
13SEP17	S 3 PD11DEC17	2289.17	2591.34	USD	-22,662.75	
13SEP17	S 1 PD11DEC17	2289.37	2591.34	USD	-7,549.25	
	NET 0 AVG BGHT 2271.94 SOLD 2288.20				**10,159.50**	**0.00**
12SEP17	S 1 PD12DEC17	2276.50	2591.47	USD	-7,874.25	
12SEP17	S 1 PD12DEC17	2282.00	2591.47	USD	-7,736.75	
12SEP17	S 1 PD12DEC17	2285.00	2591.47	USD	-7,661.75	
12SEP17	S 1 PD12DEC17	2291.50	2591.47	USD	-7,499.25	
12SEP17	S 1 PD12DEC17	2288.00	2591.47	USD	-7,586.75	
12SEP17	B 1 PD12DEC17	2279.50	2591.47	USD	7,799.25	
12SEP17	B 2 PD12DEC17	2278.50	2591.47	USD	15,648.50	
12SEP17	B 2 PD12DEC17	2277.50	2591.47	USD	15,698.50	
12SEP17	B 1 PD12DEC17	2277.00	2591.47	USD	7,861.75	
12SEP17	B 1 PD12DEC17	2273.50	2591.47	USD	7,949.25	
12SEP17	B 1 PD12DEC17	2274.00	2591.47	USD	7,936.75	
12SEP17	B 1 PD12DEC17	2271.50	2591.47	USD	7,999.25	
12SEP17	B 1 PD12DEC17	2270.00	2591.47	USD	8,036.75	
12SEP17	S 1 PD12DEC17	2269.00	2591.47	USD	-8,061.75	
12SEP17	S 2 PD12DEC17	2271.00	2591.47	USD	-16,023.50	
12SEP17	B 2 PD12DEC17	2263.00	2591.47	USD	16,423.50	
12SEP17	B 1 PD12DEC17	2258.50	2591.47	USD	8,324.25	
12SEP17	S 3 PD12DEC17	2260.50	2591.47	USD	-24,822.75	
12SEP17	B 1 PD12DEC17	2262.50	2591.47	USD	8,224.25	
12SEP17	S 1 PD12DEC17	2263.50	2591.47	USD	-8,199.25	
12SEP17	S 1 PD12DEC17	2264.00	2591.47	USD	-8,186.75	
12SEP17	S 1 PD12DEC17	2266.00	2591.47	USD	-8,136.75	
12SEP17	S 1 PD12DEC17	2266.50	2591.47	USD	-8,124.25	
12SEP17	S 1 PD12DEC17	2268.50	2591.47	USD	-8,074.25	
12SEP17	S 3 PD12DEC17	2269.50	2591.47	USD	-24,147.75	
12SEP17	B 1 PD12DEC17	2271.00	2591.47	USD	8,011.75	
12SEP17	S 1 PD12DEC17	2271.50	2591.47	USD	-7,999.25	
12SEP17	S 1 PD12DEC17	2273.50	2591.47	USD	-7,949.25	
12SEP17	S 2 PD12DEC17	2272.50	2591.47	USD	-15,948.50	
12SEP17	S 1 PD12DEC17	2273.00	2591.47	USD	-7,961.75	
12SEP17	S 2 PD12DEC17	2274.00	2591.47	USD	-15,873.50	
12SEP17	S 1 PD12DEC17	2274.50	2591.47	USD	-7,924.25	
12SEP17	S 1 PD12DEC17	2276.00	2591.47	USD	-7,886.75	
12SEP17	S 1 PD12DEC17	2277.50	2591.47	USD	-7,849.25	
12SEP17	S 1 PD12DEC17	2279.00	2591.47	USD	-7,811.75	
12SEP17	S 1 PD12DEC17	2287.00	2591.47	USD	-7,611.75	
12SEP17	S 2 PD12DEC17	2293.50	2591.47	USD	-14,898.50	
12SEP17	S 1 PD12DEC17	2297.00	2591.47	USD	-7,361.75	
12SEP17	S 2 PD12DEC17	2288.00	2591.47	USD	-15,173.50	
12SEP17	B 1 PD12DEC17	2307.50	2591.47	USD	7,099.25	
12SEP17	B 1 PD12DEC17	2306.00	2591.47	USD	7,136.75	
12SEP17	B 1 PD12DEC17	2305.00	2591.47	USD	7,161.75	
13SEP17	B 1 PD12DEC17	2289.89	2591.47	USD	7,539.50	
14SEP17	B 17 PD12DEC17	2306.43	2591.47	USD	121,142.00	
	NET 0 AVG BGHT 2291.48 SOLD 2275.49				**-14,392.50**	**0.00**
13SEP17	B 1 PD13DEC17	2291.00	2591.60	USD	7,515.00	
13SEP17	B 2 PD13DEC17	2285.50	2591.60	USD	15,305.00	
13SEP17	B 6 PD13DEC17	2285.00	2591.60	USD	45,990.00	
13SEP17	B 2 PD13DEC17	2286.00	2591.60	USD	15,280.00	
13SEP17	B 1 PD13DEC17	2288.00	2591.60	USD	7,590.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
13SEP17	B 1 PD13DEC17	2287.50	2591.60	USD	7,602.50	
13SEP17	B 1 PD13DEC17	2289.00	2591.60	USD	7,565.00	
13SEP17	B 2 PD13DEC17	2284.00	2591.60	USD	15,380.00	
13SEP17	B 2 PD13DEC17	2284.50	2591.60	USD	15,355.00	
13SEP17	B 2 PD13DEC17	2283.50	2591.60	USD	15,405.00	
13SEP17	B 1 PD13DEC17	2281.50	2591.60	USD	7,752.50	
13SEP17	B 1 PD13DEC17	2279.50	2591.60	USD	7,802.50	
13SEP17	S 1 PD13DEC17	2285.00	2591.60	USD	-7,665.00	
13SEP17	S 1 PD13DEC17	2292.00	2591.60	USD	-7,490.00	
13SEP17	S 2 PD13DEC17	2294.00	2591.60	USD	-14,880.00	
13SEP17	S 1 PD13DEC17	2294.50	2591.60	USD	-7,427.50	
13SEP17	S 2 PD13DEC17	2297.50	2591.60	USD	-14,705.00	
13SEP17	S 1 PD13DEC17	2296.00	2591.60	USD	-7,390.00	
13SEP17	S 1 PD13DEC17	2300.50	2591.60	USD	-7,277.50	
13SEP17	S 3 PD13DEC17	2303.50	2591.60	USD	-21,607.50	
13SEP17	S 3 PD13DEC17	2298.00	2591.60	USD	-22,020.00	
13SEP17	S 3 PD13DEC17	2299.00	2591.60	USD	-21,945.00	
13SEP17	S 4 PD13DEC17	2299.50	2591.60	USD	-29,210.00	
13SEP17	S 1 PD13DEC17	2302.00	2591.60	USD	-7,240.00	
13SEP17	S 1 PD13DEC17	2308.50	2591.60	USD	-7,077.50	
13SEP17	S 1 PD13DEC17	2304.00	2591.60	USD	-7,190.00	
13SEP17	S 1 PD13DEC17	2308.50	2591.60	USD	-7,077.50	
13SEP17	B 1 PD13DEC17	2305.00	2591.60	USD	7,165.00	
13SEP17	B 1 PD13DEC17	2291.50	2591.60	USD	7,502.50	
13SEP17	B 2 PD13DEC17	2289.50	2591.60	USD	15,105.00	
13SEP17	B 1 PD13DEC17	2296.00	2591.60	USD	7,390.00	
13SEP17	B 1 PD13DEC17	2295.50	2591.60	USD	7,402.50	
13SEP17	B 1 PD13DEC17	2295.00	2591.60	USD	7,415.00	
13SEP17	B 3 PD13DEC17	2293.50	2591.60	USD	22,357.50	
13SEP17	B 1 PD13DEC17	2293.00	2591.60	USD	7,465.00	
13SEP17	B 4 PD13DEC17	2296.50	2591.60	USD	29,510.00	
13SEP17	S 2 PD13DEC17	2296.50	2591.60	USD	-14,755.00	
13SEP17	B 2 PD13DEC17	2297.00	2591.60	USD	14,730.00	
13SEP17	B 1 PD13DEC17	2297.50	2591.60	USD	7,352.50	
13SEP17	B 3 PD13DEC17	2299.00	2591.60	USD	21,945.00	
14SEP17	S 17 PD13DEC17	2306.52	2591.60	USD	-121,159.00	
15SEP17	B 2 PD13DEC17	2358.45	2591.60	USD	11,657.50	
	NET 0 AVG BGHT 2293.34 SOLD 2301.72				**9,423.50**	**0.00**
14SEP17	B 1 PD14DEC17	2299.00	2591.73	USD	7,318.25	
14SEP17	B 1 PD14DEC17	2290.50	2591.73	USD	7,530.75	
14SEP17	S 2 PD14DEC17	2286.50	2591.73	USD	-15,261.50	
14SEP17	S 1 PD14DEC17	2290.00	2591.73	USD	-7,543.25	
14SEP17	S 1 PD14DEC17	2292.00	2591.73	USD	-7,493.25	
14SEP17	S 2 PD14DEC17	2289.00	2591.73	USD	-15,136.50	
14SEP17	S 4 PD14DEC17	2289.50	2591.73	USD	-30,223.00	
14SEP17	S 3 PD14DEC17	2290.50	2591.73	USD	-22,592.25	
14SEP17	S 2 PD14DEC17	2291.00	2591.73	USD	-15,036.50	
14SEP17	S 1 PD14DEC17	2291.50	2591.73	USD	-7,505.75	
14SEP17	B 1 PD14DEC17	2282.00	2591.73	USD	7,743.25	
14SEP17	B 2 PD14DEC17	2281.50	2591.73	USD	15,511.50	
14SEP17	B 1 PD14DEC17	2278.50	2591.73	USD	7,830.75	
14SEP17	B 1 PD14DEC17	2274.50	2591.73	USD	7,930.75	
14SEP17	B 2 PD14DEC17	2274.00	2591.73	USD	15,886.50	
14SEP17	B 1 PD14DEC17	2272.00	2591.73	USD	7,993.25	
14SEP17	B 2 PD14DEC17	2273.50	2591.73	USD	15,911.50	
14SEP17	B 3 PD14DEC17	2273.00	2591.73	USD	23,904.75	
14SEP17	B 2 PD14DEC17	2272.50	2591.73	USD	15,961.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
14SEP17	B 1 PD14DEC17	2279.50	2591.73	USD	7,805.75	
14SEP17	B 2 PD14DEC17	2277.00	2591.73	USD	15,736.50	
14SEP17	B 1 PD14DEC17	2275.50	2591.73	USD	7,905.75	
14SEP17	B 3 PD14DEC17	2275.00	2591.73	USD	23,754.75	
14SEP17	B 1 PD14DEC17	2275.00	2591.73	USD	7,918.25	
14SEP17	S 2 PD14DEC17	2302.50	2591.73	USD	-14,461.50	
14SEP17	S 1 PD14DEC17	2302.00	2591.73	USD	-7,243.25	
14SEP17	S 1 PD14DEC17	2304.00	2591.73	USD	-7,193.25	
14SEP17	S 2 PD14DEC17	2305.50	2591.73	USD	-14,311.50	
14SEP17	S 1 PD14DEC17	2315.50	2591.73	USD	-6,905.75	
14SEP17	S 1 PD14DEC17	2316.50	2591.73	USD	-6,880.75	
14SEP17	S 2 PD14DEC17	2319.00	2591.73	USD	-13,636.50	
14SEP17	S 1 PD14DEC17	2324.00	2591.73	USD	-6,693.25	
14SEP17	S 1 PD14DEC17	2325.00	2591.73	USD	-6,668.25	
14SEP17	S 2 PD14DEC17	2322.50	2591.73	USD	-13,461.50	
14SEP17	S 1 PD14DEC17	2317.50	2591.73	USD	-6,855.75	
14SEP17	S 1 PD14DEC17	2319.50	2591.73	USD	-6,805.75	
14SEP17	S 1 PD14DEC17	2306.00	2591.73	USD	-7,143.25	
14SEP17	B 2 PD14DEC17	2305.00	2591.73	USD	14,336.50	
14SEP17	B 1 PD14DEC17	2311.00	2591.73	USD	7,018.25	
14SEP17	B 1 PD14DEC17	2311.50	2591.73	USD	7,005.75	
14SEP17	B 2 PD14DEC17	2312.00	2591.73	USD	13,986.50	
14SEP17	B 1 PD14DEC17	2314.50	2591.73	USD	6,930.75	
14SEP17	B 1 PD14DEC17	2308.50	2591.73	USD	7,080.75	
14SEP17	S 1 PD14DEC17	2313.50	2591.73	USD	-6,955.75	
14SEP17	S 1 PD14DEC17	2315.00	2591.73	USD	-6,918.25	
14SEP17	S 1 PD14DEC17	2317.00	2591.73	USD	-6,868.25	
14SEP17	B 1 PD14DEC17	2306.50	2591.73	USD	7,130.75	
14SEP17	B 1 PD14DEC17	2303.00	2591.73	USD	7,218.25	
14SEP17	B 3 PD14DEC17	2304.50	2591.73	USD	21,542.25	
14SEP17	B 3 PD14DEC17	2305.50	2591.73	USD	21,467.25	
14SEP17	B 1 PD14DEC17	2304.00	2591.73	USD	7,193.25	
14SEP17	B 1 PD14DEC17	2302.50	2591.73	USD	7,230.75	
14SEP17	B 1 PD14DEC17	2301.00	2591.73	USD	7,268.25	
14SEP17	S 3 PD14DEC17	2308.00	2591.73	USD	-21,279.75	
14SEP17	S 2 PD14DEC17	2323.00	2591.73	USD	-13,436.50	
14SEP17	S 1 PD14DEC17	2323.50	2591.73	USD	-6,705.75	
14SEP17	B 1 PD14DEC17	2325.50	2591.73	USD	6,655.75	
14SEP17	B 2 PD14DEC17	2324.00	2591.73	USD	13,386.50	
15SEP17	S 2 PD14DEC17	2358.53	2591.73	USD	-11,660.00	
19SEP17	S 3 PD14DEC17	2420.00	2591.73	USD	-12,879.75	
	NET 0 AVG BGHT 2292.07 SOLD 2314.49				**26,339.00**	**0.00**
15SEP17	S 2 PD15DEC17	2326.00	2591.86	USD	-13,293.00	
15SEP17	S 1 PD15DEC17	2324.00	2591.86	USD	-6,696.50	
15SEP17	S 1 PD15DEC17	2321.00	2591.86	USD	-6,771.50	
15SEP17	S 3 PD15DEC17	2321.50	2591.86	USD	-20,277.00	
15SEP17	B 1 PD15DEC17	2328.00	2591.86	USD	6,596.50	
15SEP17	B 1 PD15DEC17	2327.00	2591.86	USD	6,621.50	
15SEP17	B 1 PD15DEC17	2326.00	2591.86	USD	6,646.50	
15SEP17	B 1 PD15DEC17	2316.00	2591.86	USD	6,896.50	
15SEP17	B 2 PD15DEC17	2313.50	2591.86	USD	13,918.00	
15SEP17	B 1 PD15DEC17	2315.50	2591.86	USD	6,909.00	
15SEP17	S 1 PD15DEC17	2323.00	2591.86	USD	-6,721.50	
15SEP17	S 2 PD15DEC17	2328.50	2591.86	USD	-13,168.00	
15SEP17	S 3 PD15DEC17	2328.00	2591.86	USD	-19,789.50	
15SEP17	S 1 PD15DEC17	2329.00	2591.86	USD	-6,571.50	
15SEP17	S 1 PD15DEC17	2329.50	2591.86	USD	-6,559.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
15SEP17	S 2 PD15DEC17	2330.50	2591.86	USD	-13,068.00	
15SEP17	S 1 PD15DEC17	2331.00	2591.86	USD	-6,521.50	
15SEP17	S 1 PD15DEC17	2332.50	2591.86	USD	-6,484.00	
15SEP17	S 1 PD15DEC17	2333.00	2591.86	USD	-6,471.50	
15SEP17	S 1 PD15DEC17	2335.00	2591.86	USD	-6,421.50	
15SEP17	S 1 PD15DEC17	2333.50	2591.86	USD	-6,459.00	
15SEP17	S 1 PD15DEC17	2334.00	2591.86	USD	-6,446.50	
15SEP17	S 1 PD15DEC17	2336.50	2591.86	USD	-6,384.00	
15SEP17	S 1 PD15DEC17	2341.00	2591.86	USD	-6,271.50	
15SEP17	S 5 PD15DEC17	2342.50	2591.86	USD	-31,170.00	
15SEP17	S 1 PD15DEC17	2347.00	2591.86	USD	-6,121.50	
15SEP17	B 1 PD15DEC17	2350.00	2591.86	USD	6,046.50	
15SEP17	S 2 PD15DEC17	2350.50	2591.86	USD	-12,068.00	
15SEP17	B 1 PD15DEC17	2329.00	2591.86	USD	6,571.50	
15SEP17	B 1 PD15DEC17	2318.00	2591.86	USD	6,846.50	
15SEP17	B 1 PD15DEC17	2346.00	2591.86	USD	6,146.50	
15SEP17	S 1 PD15DEC17	2359.50	2591.86	USD	-5,809.00	
15SEP17	B 1 PD15DEC17	2345.00	2591.86	USD	6,171.50	
15SEP17	B 2 PD15DEC17	2344.00	2591.86	USD	12,393.00	
15SEP17	B 3 PD15DEC17	2341.00	2591.86	USD	18,814.50	
15SEP17	B 1 PD15DEC17	2342.50	2591.86	USD	6,234.00	
15SEP17	B 1 PD15DEC17	2335.00	2591.86	USD	6,421.50	
15SEP17	B 1 PD15DEC17	2333.50	2591.86	USD	6,459.00	
15SEP17	B 1 PD15DEC17	2332.50	2591.86	USD	6,484.00	
15SEP17	S 2 PD15DEC17	2346.00	2591.86	USD	-12,293.00	
15SEP17	B 1 PD15DEC17	2335.00	2591.86	USD	6,421.50	
15SEP17	S 1 PD15DEC17	2347.50	2591.86	USD	-6,109.00	
15SEP17	S 1 PD15DEC17	2349.00	2591.86	USD	-6,071.50	
15SEP17	S 1 PD15DEC17	2357.50	2591.86	USD	-5,859.00	
15SEP17	S 1 PD15DEC17	2362.00	2591.86	USD	-5,746.50	
15SEP17	B 3 PD15DEC17	2364.00	2591.86	USD	17,089.50	
15SEP17	B 5 PD15DEC17	2364.50	2591.86	USD	28,420.00	
15SEP17	B 1 PD15DEC17	2365.50	2591.86	USD	5,659.00	
15SEP17	B 1 PD15DEC17	2367.00	2591.86	USD	5,621.50	
15SEP17	B 5 PD15DEC17	2366.50	2591.86	USD	28,170.00	
15SEP17	B 2 PD15DEC17	2367.50	2591.86	USD	11,218.00	
15SEP17	B 1 PD15DEC17	2366.00	2591.86	USD	5,646.50	
15SEP17	S 1 PD15DEC17	2345.00	2591.86	USD	-6,171.50	
15SEP17	S 1 PD15DEC17	2359.00	2591.86	USD	-5,821.50	
15SEP17	S 1 PD15DEC17	2360.00	2591.86	USD	-5,796.50	
19SEP17	B 3 PD15DEC17	2420.45	2591.86	USD	12,855.75	
	NET 0 AVG BGHT 2352.53 SOLD 2337.52				**-16,133.75**	**0.00**
18SEP17	S 3 PD18DEC17	2394.50	2592.24	USD	-14,830.50	
18SEP17	B 1 PD18DEC17	2391.00	2592.24	USD	5,031.00	
18SEP17	S 1 PD18DEC17	2397.50	2592.24	USD	-4,868.50	
18SEP17	B 1 PD18DEC17	2391.50	2592.24	USD	5,018.50	
18SEP17	S 1 PD18DEC17	2390.50	2592.24	USD	-5,043.50	
18SEP17	S 1 PD18DEC17	2391.50	2592.24	USD	-5,018.50	
18SEP17	S 1 PD18DEC17	2387.50	2592.24	USD	-5,118.50	
18SEP17	B 1 PD18DEC17	2386.00	2592.24	USD	5,156.00	
18SEP17	S 1 PD18DEC17	2389.50	2592.24	USD	-5,068.50	
18SEP17	S 1 PD18DEC17	2392.00	2592.24	USD	-5,006.00	
18SEP17	S 1 PD18DEC17	2392.50	2592.24	USD	-4,993.50	
18SEP17	S 1 PD18DEC17	2393.00	2592.24	USD	-4,981.00	
18SEP17	S 1 PD18DEC17	2401.50	2592.24	USD	-4,768.50	
18SEP17	S 1 PD18DEC17	2403.00	2592.24	USD	-4,731.00	
18SEP17	S 1 PD18DEC17	2404.00	2592.24	USD	-4,706.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
18SEP17	S 1 PD18DEC17	2407.00	2592.24	USD	-4,631.00	
18SEP17	S 1 PD18DEC17	2404.50	2592.24	USD	-4,693.50	
18SEP17	S 1 PD18DEC17	2406.00	2592.24	USD	-4,656.00	
18SEP17	S 1 PD18DEC17	2402.00	2592.24	USD	-4,756.00	
18SEP17	S 2 PD18DEC17	2398.50	2592.24	USD	-9,687.00	
18SEP17	B 1 PD18DEC17	2383.00	2592.24	USD	5,231.00	
18SEP17	B 1 PD18DEC17	2382.00	2592.24	USD	5,256.00	
18SEP17	B 1 PD18DEC17	2391.50	2592.24	USD	5,018.50	
18SEP17	B 1 PD18DEC17	2389.00	2592.24	USD	5,081.00	
18SEP17	B 2 PD18DEC17	2388.00	2592.24	USD	10,212.00	
18SEP17	B 1 PD18DEC17	2386.50	2592.24	USD	5,143.50	
18SEP17	S 1 PD18DEC17	2388.00	2592.24	USD	-5,106.00	
18SEP17	S 1 PD18DEC17	2393.50	2592.24	USD	-4,968.50	
18SEP17	S 1 PD18DEC17	2395.00	2592.24	USD	-4,931.00	
18SEP17	S 1 PD18DEC17	2394.00	2592.24	USD	-4,956.00	
18SEP17	S 1 PD18DEC17	2395.50	2592.24	USD	-4,918.50	
18SEP17	S 2 PD18DEC17	2389.00	2592.24	USD	-10,162.00	
18SEP17	S 1 PD18DEC17	2386.50	2592.24	USD	-5,143.50	
18SEP17	S 2 PD18DEC17	2388.50	2592.24	USD	-10,187.00	
18SEP17	B 2 PD18DEC17	2392.50	2592.24	USD	9,987.00	
18SEP17	B 1 PD18DEC17	2390.00	2592.24	USD	5,056.00	
18SEP17	B 3 PD18DEC17	2389.50	2592.24	USD	15,205.50	
18SEP17	B 3 PD18DEC17	2388.50	2592.24	USD	15,280.50	
18SEP17	B 1 PD18DEC17	2387.50	2592.24	USD	5,118.50	
18SEP17	B 4 PD18DEC17	2387.00	2592.24	USD	20,524.00	
18SEP17	B 2 PD18DEC17	2385.50	2592.24	USD	10,337.00	
18SEP17	B 2 PD18DEC17	2383.50	2592.24	USD	10,437.00	
18SEP17	B 1 PD18DEC17	2394.00	2592.24	USD	4,956.00	
18SEP17	B 1 PD18DEC17	2394.50	2592.24	USD	4,943.50	
19SEP17	S 5 PD18DEC17	2420.80	2592.24	USD	-21,430.00	
20SEP17	B 5 PD18DEC17	2459.69	2592.24	USD	16,568.75	
	NET 0 AVG BGHT 2398.46 SOLD 2398.69				**201.25**	**0.00**
19SEP17	S 1 PD19DEC17	2391.50	2592.37	USD	-5,021.75	
19SEP17	S 1 PD19DEC17	2390.00	2592.37	USD	-5,059.25	
19SEP17	S 1 PD19DEC17	2391.00	2592.37	USD	-5,034.25	
19SEP17	S 1 PD19DEC17	2392.00	2592.37	USD	-5,009.25	
19SEP17	B 1 PD19DEC17	2382.00	2592.37	USD	5,259.25	
19SEP17	S 1 PD19DEC17	2385.00	2592.37	USD	-5,184.25	
19SEP17	S 1 PD19DEC17	2388.50	2592.37	USD	-5,096.75	
19SEP17	S 1 PD19DEC17	2392.50	2592.37	USD	-4,996.75	
19SEP17	S 2 PD19DEC17	2394.00	2592.37	USD	-9,918.50	
19SEP17	S 1 PD19DEC17	2393.00	2592.37	USD	-4,984.25	
19SEP17	S 2 PD19DEC17	2405.50	2592.37	USD	-9,343.50	
19SEP17	S 1 PD19DEC17	2406.00	2592.37	USD	-4,659.25	
19SEP17	S 1 PD19DEC17	2402.50	2592.37	USD	-4,746.75	
19SEP17	S 1 PD19DEC17	2403.00	2592.37	USD	-4,734.25	
19SEP17	B 2 PD19DEC17	2400.00	2592.37	USD	9,618.50	
19SEP17	B 1 PD19DEC17	2400.50	2592.37	USD	4,796.75	
19SEP17	B 1 PD19DEC17	2398.00	2592.37	USD	4,859.25	
19SEP17	B 3 PD19DEC17	2397.00	2592.37	USD	14,652.75	
19SEP17	S 2 PD19DEC17	2411.50	2592.37	USD	-9,043.50	
19SEP17	S 1 PD19DEC17	2411.00	2592.37	USD	-4,534.25	
19SEP17	S 1 PD19DEC17	2418.00	2592.37	USD	-4,359.25	
19SEP17	S 1 PD19DEC17	2414.00	2592.37	USD	-4,459.25	
19SEP17	S 2 PD19DEC17	2414.50	2592.37	USD	-8,893.50	
19SEP17	S 1 PD19DEC17	2416.50	2592.37	USD	-4,396.75	
19SEP17	S 1 PD19DEC17	2419.00	2592.37	USD	-4,334.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
19SEP17	S 1 PD19DEC17	2421.00	2592.37	USD	-4,284.25	
19SEP17	B 1 PD19DEC17	2438.50	2592.37	USD	3,846.75	
19SEP17	S 1 PD19DEC17	2421.00	2592.37	USD	-4,284.25	
19SEP17	B 1 PD19DEC17	2432.50	2592.37	USD	3,996.75	
19SEP17	B 2 PD19DEC17	2432.00	2592.37	USD	8,018.50	
19SEP17	B 1 PD19DEC17	2429.00	2592.37	USD	4,084.25	
19SEP17	B 2 PD19DEC17	2428.00	2592.37	USD	8,218.50	
19SEP17	B 1 PD19DEC17	2423.00	2592.37	USD	4,234.25	
19SEP17	B 2 PD19DEC17	2420.00	2592.37	USD	8,618.50	
19SEP17	B 1 PD19DEC17	2425.00	2592.37	USD	4,184.25	
19SEP17	B 1 PD19DEC17	2425.50	2592.37	USD	4,171.75	
19SEP17	B 2 PD19DEC17	2424.50	2592.37	USD	8,393.50	
19SEP17	B 2 PD19DEC17	2423.50	2592.37	USD	8,443.50	
19SEP17	S 1 PD19DEC17	2422.00	2592.37	USD	-4,259.25	
19SEP17	B 6 PD19DEC17	2422.00	2592.37	USD	25,555.50	
19SEP17	B 1 PD19DEC17	2421.00	2592.37	USD	4,284.25	
19SEP17	B 1 PD19DEC17	2420.50	2592.37	USD	4,296.75	
20SEP17	S 5 PD19DEC17	2459.64	2592.37	USD	-16,591.25	
	NET 0 AVG BGHT 2417.95 SOLD 2413.33				**-3,695.00**	**0.00**
20SEP17	B 1 PD20DEC17	2449.00	2592.50	USD	3,587.50	
20SEP17	S 1 PD20DEC17	2449.00	2592.50	USD	-3,587.50	
20SEP17	S 1 PD20DEC17	2449.50	2592.50	USD	-3,575.00	
20SEP17	S 1 PD20DEC17	2441.00	2592.50	USD	-3,787.50	
20SEP17	S 3 PD20DEC17	2441.50	2592.50	USD	-11,325.00	
20SEP17	S 1 PD20DEC17	2447.00	2592.50	USD	-3,637.50	
20SEP17	S 1 PD20DEC17	2448.50	2592.50	USD	-3,600.00	
20SEP17	S 1 PD20DEC17	2444.00	2592.50	USD	-3,712.50	
20SEP17	B 1 PD20DEC17	2449.50	2592.50	USD	3,575.00	
20SEP17	S 1 PD20DEC17	2455.50	2592.50	USD	-3,425.00	
20SEP17	S 2 PD20DEC17	2456.00	2592.50	USD	-6,825.00	
20SEP17	S 2 PD20DEC17	2459.00	2592.50	USD	-6,675.00	
20SEP17	S 1 PD20DEC17	2451.00	2592.50	USD	-3,537.50	
20SEP17	B 2 PD20DEC17	2455.00	2592.50	USD	6,875.00	
20SEP17	S 2 PD20DEC17	2456.50	2592.50	USD	-6,800.00	
20SEP17	B 1 PD20DEC17	2447.00	2592.50	USD	3,637.50	
20SEP17	B 1 PD20DEC17	2445.00	2592.50	USD	3,687.50	
20SEP17	B 2 PD20DEC17	2443.50	2592.50	USD	7,450.00	
20SEP17	B 2 PD20DEC17	2442.00	2592.50	USD	7,525.00	
20SEP17	S 2 PD20DEC17	2452.50	2592.50	USD	-7,000.00	
20SEP17	S 1 PD20DEC17	2451.50	2592.50	USD	-3,525.00	
20SEP17	B 1 PD20DEC17	2455.50	2592.50	USD	3,425.00	
20SEP17	S 1 PD20DEC17	2465.50	2592.50	USD	-3,175.00	
20SEP17	S 3 PD20DEC17	2462.50	2592.50	USD	-9,750.00	
20SEP17	S 2 PD20DEC17	2463.00	2592.50	USD	-6,475.00	
20SEP17	S 1 PD20DEC17	2468.00	2592.50	USD	-3,112.50	
20SEP17	S 1 PD20DEC17	2468.50	2592.50	USD	-3,100.00	
20SEP17	S 2 PD20DEC17	2467.50	2592.50	USD	-6,250.00	
20SEP17	S 1 PD20DEC17	2467.00	2592.50	USD	-3,137.50	
20SEP17	S 1 PD20DEC17	2442.00	2592.50	USD	-3,762.50	
20SEP17	S 1 PD20DEC17	2456.50	2592.50	USD	-3,400.00	
20SEP17	S 1 PD20DEC17	2470.00	2592.50	USD	-3,062.50	
20SEP17	B 1 PD20DEC17	2451.50	2592.50	USD	3,525.00	
20SEP17	B 2 PD20DEC17	2457.00	2592.50	USD	6,775.00	
20SEP17	B 2 PD20DEC17	2450.50	2592.50	USD	7,100.00	
20SEP17	B 3 PD20DEC17	2446.00	2592.50	USD	10,987.50	
20SEP17	S 1 PD20DEC17	2457.00	2592.50	USD	-3,387.50	
20SEP17	B 2 PD20DEC17	2454.00	2592.50	USD	6,925.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
20SEP17	B 2 PD20DEC17	2453.50	2592.50	USD	6,950.00	
20SEP17	B 5 PD20DEC17	2454.50	2592.50	USD	17,250.00	
20SEP17	B 1 PD20DEC17	2458.00	2592.50	USD	3,362.50	
20SEP17	B 1 PD20DEC17	2458.50	2592.50	USD	3,350.00	
20SEP17	B 1 PD20DEC17	2457.50	2592.50	USD	3,375.00	
20SEP17	B 2 PD20DEC17	2454.50	2592.50	USD	6,900.00	
20SEP17	B 1 PD20DEC17	2457.00	2592.50	USD	3,387.50	
21SEP17	B 1 PD20DEC17	2518.20	2592.50	USD	1,857.50	
	NET 0 AVG BGHT 2453.63 SOLD 2455.79				**1,882.50**	**0.00**
21SEP17	S 1 PD21DEC17	2439.00	2592.29	USD	-3,832.25	
21SEP17	S 1 PD21DEC17	2441.50	2592.29	USD	-3,769.75	
21SEP17	S 1 PD21DEC17	2438.50	2592.29	USD	-3,844.75	
21SEP17	S 3 PD21DEC17	2436.00	2592.29	USD	-11,721.75	
21SEP17	B 1 PD21DEC17	2429.00	2592.29	USD	4,082.25	
21SEP17	B 1 PD21DEC17	2428.50	2592.29	USD	4,094.75	
21SEP17	B 1 PD21DEC17	2428.00	2592.29	USD	4,107.25	
21SEP17	B 1 PD21DEC17	2427.50	2592.29	USD	4,119.75	
21SEP17	S 1 PD21DEC17	2432.00	2592.29	USD	-4,007.25	
21SEP17	S 1 PD21DEC17	2433.00	2592.29	USD	-3,982.25	
21SEP17	S 1 PD21DEC17	2434.00	2592.29	USD	-3,957.25	
21SEP17	S 2 PD21DEC17	2435.50	2592.29	USD	-7,839.50	
21SEP17	S 1 PD21DEC17	2440.00	2592.29	USD	-3,807.25	
21SEP17	S 1 PD21DEC17	2437.50	2592.29	USD	-3,869.75	
21SEP17	S 1 PD21DEC17	2448.00	2592.29	USD	-3,607.25	
21SEP17	B 1 PD21DEC17	2457.50	2592.29	USD	3,369.75	
21SEP17	B 1 PD21DEC17	2464.00	2592.29	USD	3,207.25	
21SEP17	B 1 PD21DEC17	2462.00	2592.29	USD	3,257.25	
21SEP17	B 6 PD21DEC17	2458.00	2592.29	USD	20,143.50	
21SEP17	S 1 PD21DEC17	2460.50	2592.29	USD	-3,294.75	
21SEP17	S 1 PD21DEC17	2474.50	2592.29	USD	-2,944.75	
21SEP17	S 3 PD21DEC17	2473.50	2592.29	USD	-8,909.25	
21SEP17	S 6 PD21DEC17	2473.00	2592.29	USD	-17,893.50	
21SEP17	S 3 PD21DEC17	2472.50	2592.29	USD	-8,984.25	
21SEP17	B 1 PD21DEC17	2474.50	2592.29	USD	2,944.75	
21SEP17	B 2 PD21DEC17	2472.00	2592.29	USD	6,014.50	
21SEP17	B 5 PD21DEC17	2469.50	2592.29	USD	15,348.75	
21SEP17	B 1 PD21DEC17	2470.00	2592.29	USD	3,057.25	
21SEP17	S 1 PD21DEC17	2468.00	2592.29	USD	-3,107.25	
21SEP17	S 3 PD21DEC17	2469.00	2592.29	USD	-9,246.75	
21SEP17	S 1 PD21DEC17	2470.00	2592.29	USD	-3,057.25	
21SEP17	S 1 PD21DEC17	2471.50	2592.29	USD	-3,019.75	
21SEP17	B 3 PD21DEC17	2474.00	2592.29	USD	8,871.75	
21SEP17	B 1 PD21DEC17	2473.50	2592.29	USD	2,969.75	
21SEP17	B 3 PD21DEC17	2473.00	2592.29	USD	8,946.75	
21SEP17	B 1 PD21DEC17	2470.00	2592.29	USD	3,057.25	
21SEP17	B 1 PD21DEC17	2473.50	2592.29	USD	2,969.75	
21SEP17	B 3 PD21DEC17	2468.00	2592.29	USD	9,321.75	
21SEP17	B 1 PD21DEC17	2467.00	2592.29	USD	3,132.25	
21SEP17	S 1 PD21DEC17	2465.00	2592.29	USD	-3,182.25	
21SEP17	S 2 PD21DEC17	2464.00	2592.29	USD	-6,414.50	
21SEP17	S 1 PD21DEC17	2467.50	2592.29	USD	-3,119.75	
21SEP17	B 2 PD21DEC17	2467.50	2592.29	USD	6,239.50	
21SEP17	S 1 PD21DEC17	2476.50	2592.29	USD	-2,894.75	
21SEP17	S 1 PD21DEC17	2477.00	2592.29	USD	-2,882.25	
21SEP17	B 1 PD21DEC17	2477.00	2592.29	USD	2,882.25	
21SEP17	B 1 PD21DEC17	2477.50	2592.29	USD	2,869.75	
21SEP17	S 1 PD21DEC17	2483.00	2592.29	USD	-2,732.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
21SEP17	B 1 PD21DEC17	2501.00	2592.29	USD	2,282.25	
21SEP17	B 1 PD21DEC17	2500.00	2592.29	USD	2,307.25	
21SEP17	B 3 PD21DEC17	2471.00	2592.29	USD	9,096.75	
21SEP17	B 1 PD21DEC17	2469.00	2592.29	USD	3,082.25	
21SEP17	S 1 PD21DEC17	2471.00	2592.29	USD	-3,032.25	
21SEP17	S 3 PD21DEC17	2472.00	2592.29	USD	-9,021.75	
21SEP17	S 2 PD21DEC17	2478.50	2592.29	USD	-5,689.50	
21SEP17	B 2 PD21DEC17	2464.50	2592.29	USD	6,389.50	
21SEP17	B 1 PD21DEC17	2460.00	2592.29	USD	3,307.25	
21SEP17	B 1 PD21DEC17	2447.00	2592.29	USD	3,632.25	
21SEP17	S 1 PD21DEC17	2448.50	2592.29	USD	-3,594.75	
21SEP17	B 1 PD21DEC17	2444.00	2592.29	USD	3,707.25	
21SEP17	B 1 PD21DEC17	2441.50	2592.29	USD	3,769.75	
21SEP17	B 1 PD21DEC17	2443.50	2592.29	USD	3,719.75	
21SEP17	S 1 PD21DEC17	2444.00	2592.29	USD	-3,707.25	
21SEP17	S 1 PD21DEC17	2444.50	2592.29	USD	-3,694.75	
21SEP17	S 1 PD21DEC17	2442.50	2592.29	USD	-3,744.75	
	NET +1 AVG BGHT 2464.37 SOLD 2460.21				**-2,105.25**	**0.00**
22SEP17	B 1 PD22DEC17	2412.00	2592.07	USD	4,501.75	
22SEP17	B 9 PD22DEC17	2413.00	2592.07	USD	40,290.75	
22SEP17	B 2 PD22DEC17	2413.50	2592.07	USD	8,928.50	
22SEP17	B 2 PD22DEC17	2420.50	2592.07	USD	8,578.50	
22SEP17	B 1 PD22DEC17	2418.50	2592.07	USD	4,339.25	
22SEP17	B 1 PD22DEC17	2417.00	2592.07	USD	4,376.75	
22SEP17	B 1 PD22DEC17	2416.50	2592.07	USD	4,389.25	
22SEP17	B 1 PD22DEC17	2416.00	2592.07	USD	4,401.75	
22SEP17	B 1 PD22DEC17	2423.00	2592.07	USD	4,226.75	
22SEP17	B 2 PD22DEC17	2422.00	2592.07	USD	8,503.50	
22SEP17	S 1 PD22DEC17	2408.00	2592.07	USD	-4,601.75	
22SEP17	B 1 PD22DEC17	2407.50	2592.07	USD	4,614.25	
22SEP17	B 1 PD22DEC17	2374.50	2592.07	USD	5,439.25	
22SEP17	S 1 PD22DEC17	2391.00	2592.07	USD	-5,026.75	
22SEP17	S 1 PD22DEC17	2387.50	2592.07	USD	-5,114.25	
22SEP17	S 1 PD22DEC17	2405.00	2592.07	USD	-4,676.75	
22SEP17	S 1 PD22DEC17	2405.50	2592.07	USD	-4,664.25	
22SEP17	S 1 PD22DEC17	2407.00	2592.07	USD	-4,626.75	
22SEP17	S 1 PD22DEC17	2408.50	2592.07	USD	-4,589.25	
22SEP17	S 1 PD22DEC17	2412.50	2592.07	USD	-4,489.25	
22SEP17	S 1 PD22DEC17	2417.50	2592.07	USD	-4,364.25	
22SEP17	S 1 PD22DEC17	2421.50	2592.07	USD	-4,264.25	
22SEP17	S 1 PD22DEC17	2422.00	2592.07	USD	-4,251.75	
22SEP17	S 2 PD22DEC17	2419.50	2592.07	USD	-8,628.50	
22SEP17	S 1 PD22DEC17	2423.50	2592.07	USD	-4,214.25	
22SEP17	S 2 PD22DEC17	2420.50	2592.07	USD	-8,578.50	
22SEP17	S 2 PD22DEC17	2419.00	2592.07	USD	-8,653.50	
22SEP17	S 2 PD22DEC17	2420.00	2592.07	USD	-8,603.50	
22SEP17	S 1 PD22DEC17	2454.50	2592.07	USD	-3,439.25	
22SEP17	S 2 PD22DEC17	2453.50	2592.07	USD	-6,928.50	
22SEP17	S 2 PD22DEC17	2453.00	2592.07	USD	-6,953.50	
22SEP17	B 1 PD22DEC17	2458.00	2592.07	USD	3,351.75	
22SEP17	B 1 PD22DEC17	2478.00	2592.07	USD	2,851.75	
22SEP17	B 1 PD22DEC17	2420.00	2592.07	USD	4,301.75	
22SEP17	S 1 PD22DEC17	2382.00	2592.07	USD	-5,251.75	
22SEP17	S 1 PD22DEC17	2455.50	2592.07	USD	-3,414.25	
22SEP17	B 1 PD22DEC17	2461.00	2592.07	USD	3,276.75	
22SEP17	B 1 PD22DEC17	2460.00	2592.07	USD	3,301.75	
22SEP17	B 1 PD22DEC17	2473.50	2592.07	USD	2,964.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
22SEP17	S 1 PD22DEC17	2471.50	2592.07	USD	-3,014.25	
22SEP17	S 2 PD22DEC17	2475.00	2592.07	USD	-5,853.50	
22SEP17	S 2 PD22DEC17	2476.00	2592.07	USD	-5,803.50	
22SEP17	S 2 PD22DEC17	2479.00	2592.07	USD	-5,653.50	
22SEP17	S 1 PD22DEC17	2481.00	2592.07	USD	-2,776.75	
22SEP17	S 1 PD22DEC17	2483.00	2592.07	USD	-2,726.75	
22SEP17	B 1 PD22DEC17	2475.50	2592.07	USD	2,914.25	
22SEP17	S 2 PD22DEC17	2477.50	2592.07	USD	-5,728.50	
22SEP17	B 1 PD22DEC17	2489.00	2592.07	USD	2,576.75	
22SEP17	B 1 PD22DEC17	2487.00	2592.07	USD	2,626.75	
22SEP17	B 2 PD22DEC17	2488.00	2592.07	USD	5,203.50	
22SEP17	B 2 PD22DEC17	2488.50	2592.07	USD	5,178.50	
22SEP17	B 1 PD22DEC17	2490.00	2592.07	USD	2,551.75	
	NET -1 AVG BGHT 2436.73 SOLD 2437.45				**-3,201.75**	**0.00**
25SEP17	B 1 PD27DEC17	2495.00	2591.86	USD	2,421.50	
25SEP17	B 1 PD27DEC17	2473.50	2591.86	USD	2,959.00	
25SEP17	B 1 PD27DEC17	2471.50	2591.86	USD	3,009.00	
25SEP17	B 2 PD27DEC17	2468.50	2591.86	USD	6,168.00	
25SEP17	B 1 PD27DEC17	2472.00	2591.86	USD	2,996.50	
25SEP17	B 1 PD27DEC17	2470.00	2591.86	USD	3,046.50	
25SEP17	S 2 PD27DEC17	2490.50	2591.86	USD	-5,068.00	
25SEP17	S 1 PD27DEC17	2482.50	2591.86	USD	-2,734.00	
25SEP17	S 1 PD27DEC17	2483.00	2591.86	USD	-2,721.50	
25SEP17	S 2 PD27DEC17	2484.50	2591.86	USD	-5,368.00	
25SEP17	S 2 PD27DEC17	2486.00	2591.86	USD	-5,293.00	
25SEP17	S 2 PD27DEC17	2487.00	2591.86	USD	-5,243.00	
25SEP17	S 2 PD27DEC17	2498.00	2591.86	USD	-4,693.00	
25SEP17	S 1 PD27DEC17	2499.00	2591.86	USD	-2,321.50	
25SEP17	S 1 PD27DEC17	2500.50	2591.86	USD	-2,284.00	
25SEP17	S 2 PD27DEC17	2502.00	2591.86	USD	-4,493.00	
25SEP17	S 2 PD27DEC17	2501.00	2591.86	USD	-4,543.00	
25SEP17	B 1 PD27DEC17	2482.50	2591.86	USD	2,734.00	
25SEP17	S 1 PD27DEC17	2500.00	2591.86	USD	-2,296.50	
25SEP17	S 1 PD27DEC17	2508.00	2591.86	USD	-2,096.50	
25SEP17	S 2 PD27DEC17	2502.50	2591.86	USD	-4,468.00	
25SEP17	B 2 PD27DEC17	2483.50	2591.86	USD	5,418.00	
25SEP17	B 1 PD27DEC17	2483.00	2591.86	USD	2,721.50	
25SEP17	B 2 PD27DEC17	2480.00	2591.86	USD	5,593.00	
25SEP17	B 2 PD27DEC17	2473.00	2591.86	USD	5,943.00	
25SEP17	B 1 PD27DEC17	2474.00	2591.86	USD	2,946.50	
25SEP17	B 1 PD27DEC17	2477.50	2591.86	USD	2,859.00	
25SEP17	B 2 PD27DEC17	2474.50	2591.86	USD	5,868.00	
25SEP17	B 1 PD27DEC17	2481.00	2591.86	USD	2,771.50	
26SEP17	S 2 PD27DEC17	2496.00	2591.86	USD	-4,793.00	
26SEP17	S 1 PD27DEC17	2489.50	2591.86	USD	-2,559.00	
26SEP17	S 1 PD27DEC17	2494.50	2591.86	USD	-2,434.00	
26SEP17	S 1 PD27DEC17	2498.00	2591.86	USD	-2,346.50	
26SEP17	S 1 PD27DEC17	2498.50	2591.86	USD	-2,334.00	
26SEP17	S 1 PD27DEC17	2492.50	2591.86	USD	-2,484.00	
26SEP17	S 1 PD27DEC17	2508.50	2591.86	USD	-2,084.00	
26SEP17	B 1 PD27DEC17	2504.50	2591.86	USD	2,184.00	
26SEP17	B 1 PD27DEC17	2504.00	2591.86	USD	2,196.50	
26SEP17	B 1 PD27DEC17	2495.00	2591.86	USD	2,421.50	
26SEP17	B 3 PD27DEC17	2503.00	2591.86	USD	6,664.50	
26SEP17	B 1 PD27DEC17	2499.00	2591.86	USD	2,321.50	
26SEP17	B 2 PD27DEC17	2497.50	2591.86	USD	4,718.00	
26SEP17	B 1 PD27DEC17	2496.00	2591.86	USD	2,396.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
26SEP17	B 1 PD27DEC17	2495.50	2591.86	USD	2,409.00	
26SEP17	B 1 PD27DEC17	2494.50	2591.86	USD	2,434.00	
26SEP17	B 1 PD27DEC17	2491.50	2591.86	USD	2,509.00	
26SEP17	B 1 PD27DEC17	2491.00	2591.86	USD	2,521.50	
26SEP17	B 1 PD27DEC17	2486.00	2591.86	USD	2,646.50	
26SEP17	S 1 PD27DEC17	2502.50	2591.86	USD	-2,234.00	
26SEP17	S 1 PD27DEC17	2503.50	2591.86	USD	-2,209.00	
26SEP17	S 2 PD27DEC17	2504.00	2591.86	USD	-4,393.00	
26SEP17	S 1 PD27DEC17	2505.50	2591.86	USD	-2,159.00	
26SEP17	S 1 PD27DEC17	2506.00	2591.86	USD	-2,146.50	
26SEP17	S 2 PD27DEC17	2495.50	2591.86	USD	-4,818.00	
26SEP17	S 2 PD27DEC17	2496.50	2591.86	USD	-4,768.00	
26SEP17	S 1 PD27DEC17	2497.50	2591.86	USD	-2,359.00	
26SEP17	S 2 PD27DEC17	2494.00	2591.86	USD	-4,893.00	
26SEP17	S 1 PD27DEC17	2487.50	2591.86	USD	-2,609.00	
26SEP17	S 1 PD27DEC17	2487.00	2591.86	USD	-2,621.50	
26SEP17	S 1 PD27DEC17	2491.00	2591.86	USD	-2,521.50	
26SEP17	S 1 PD27DEC17	2484.00	2591.86	USD	-2,696.50	
26SEP17	S 1 PD27DEC17	2470.50	2591.86	USD	-3,034.00	
26SEP17	B 1 PD27DEC17	2472.50	2591.86	USD	2,984.00	
26SEP17	B 1 PD27DEC17	2469.50	2591.86	USD	3,059.00	
26SEP17	B 1 PD27DEC17	2464.50	2591.86	USD	3,184.00	
26SEP17	S 1 PD27DEC17	2485.50	2591.86	USD	-2,659.00	
26SEP17	B 1 PD27DEC17	2489.50	2591.86	USD	2,559.00	
26SEP17	B 2 PD27DEC17	2487.50	2591.86	USD	5,218.00	
26SEP17	B 1 PD27DEC17	2486.50	2591.86	USD	2,634.00	
26SEP17	B 1 PD27DEC17	2483.50	2591.86	USD	2,709.00	
26SEP17	B 1 PD27DEC17	2485.00	2591.86	USD	2,671.50	
26SEP17	B 1 PD27DEC17	2483.00	2591.86	USD	2,721.50	
26SEP17	B 1 PD27DEC17	2483.50	2591.86	USD	2,709.00	
26SEP17	B 1 PD27DEC17	2484.00	2591.86	USD	2,696.50	
27SEP17	S 1 PD27DEC17	2483.00	2591.86	USD	-2,721.50	
27SEP17	S 3 PD27DEC17	2480.00	2591.86	USD	-8,389.50	
27SEP17	S 1 PD27DEC17	2480.50	2591.86	USD	-2,784.00	
27SEP17	B 1 PD27DEC17	2476.50	2591.86	USD	2,884.00	
27SEP17	S 1 PD27DEC17	2478.50	2591.86	USD	-2,834.00	
27SEP17	S 2 PD27DEC17	2481.50	2591.86	USD	-5,518.00	
27SEP17	S 1 PD27DEC17	2483.50	2591.86	USD	-2,709.00	
27SEP17	S 3 PD27DEC17	2484.50	2591.86	USD	-8,052.00	
27SEP17	S 2 PD27DEC17	2482.50	2591.86	USD	-5,468.00	
27SEP17	S 1 PD27DEC17	2482.00	2591.86	USD	-2,746.50	
27SEP17	S 1 PD27DEC17	2470.50	2591.86	USD	-3,034.00	
27SEP17	B 1 PD27DEC17	2459.00	2591.86	USD	3,321.50	
27SEP17	B 1 PD27DEC17	2461.00	2591.86	USD	3,271.50	
27SEP17	B 1 PD27DEC17	2459.50	2591.86	USD	3,309.00	
27SEP17	B 1 PD27DEC17	2453.00	2591.86	USD	3,471.50	
27SEP17	B 1 PD27DEC17	2447.00	2591.86	USD	3,621.50	
	NET -12 AVG BGHT 2481.75 SOLD 2491.53				**-17,133.00**	**0.00**
28SEP17	B 3 PD28DEC17	2448.00	2591.64	USD	10,773.00	
28SEP17	B 2 PD28DEC17	2451.00	2591.64	USD	7,032.00	
28SEP17	B 1 PD28DEC17	2463.00	2591.64	USD	3,216.00	
28SEP17	B 1 PD28DEC17	2462.50	2591.64	USD	3,228.50	
28SEP17	B 1 PD28DEC17	2468.50	2591.64	USD	3,078.50	
28SEP17	B 1 PD28DEC17	2468.00	2591.64	USD	3,091.00	
28SEP17	B 1 PD28DEC17	2467.50	2591.64	USD	3,103.50	
28SEP17	S 1 PD28DEC17	2468.50	2591.64	USD	-3,078.50	
28SEP17	B 2 PD28DEC17	2472.00	2591.64	USD	5,982.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
28SEP17	S	2	PD28DEC17	2473.00	2591.64	USD	-5,932.00	
28SEP17	B	1	PD28DEC17	2474.00	2591.64	USD	2,941.00	
28SEP17	B	1	PD28DEC17	2475.50	2591.64	USD	2,903.50	
28SEP17	B	1	PD28DEC17	2487.00	2591.64	USD	2,616.00	
28SEP17	B	1	PD28DEC17	2458.00	2591.64	USD	3,341.00	
28SEP17	B	1	PD28DEC17	2455.50	2591.64	USD	3,403.50	
28SEP17	S	1	PD28DEC17	2461.50	2591.64	USD	-3,253.50	
28SEP17	B	1	PD28DEC17	2457.50	2591.64	USD	3,353.50	
28SEP17	B	1	PD28DEC17	2458.50	2591.64	USD	3,328.50	
28SEP17	S	1	PD28DEC17	2470.50	2591.64	USD	-3,028.50	
28SEP17	S	1	PD28DEC17	2483.50	2591.64	USD	-2,703.50	
	NET	**+13**	**AVG BGHT 2462.39**	**SOLD 2471.67**			**43,395.50**	**0.00**
29SEP17	S	2	PD29DEC17	2504.00	2591.43	USD	-4,371.50	
29SEP17	S	1	PD29DEC17	2500.00	2591.43	USD	-2,285.75	
29SEP17	S	1	PD29DEC17	2503.50	2591.43	USD	-2,198.25	
29SEP17	S	1	PD29DEC17	2499.50	2591.43	USD	-2,298.25	
29SEP17	S	2	PD29DEC17	2498.50	2591.43	USD	-4,646.50	
29SEP17	S	1	PD29DEC17	2498.00	2591.43	USD	-2,335.75	
29SEP17	S	1	PD29DEC17	2496.50	2591.43	USD	-2,373.25	
29SEP17	S	1	PD29DEC17	2503.50	2591.43	USD	-2,198.25	
29SEP17	B	1	PD29DEC17	2501.00	2591.43	USD	2,260.75	
29SEP17	B	2	PD29DEC17	2500.50	2591.43	USD	4,546.50	
29SEP17	B	2	PD29DEC17	2499.00	2591.43	USD	4,621.50	
29SEP17	B	2	PD29DEC17	2497.50	2591.43	USD	4,696.50	
29SEP17	B	1	PD29DEC17	2499.50	2591.43	USD	2,298.25	
29SEP17	B	1	PD29DEC17	2494.50	2591.43	USD	2,423.25	
	NET	**-1**	**AVG BGHT 2498.78**	**SOLD 2500.60**			**-1,860.75**	**0.00**
02OCT17	S	1	PD02JAN18	2537.00	2591.21	USD	-1,355.25	
	NET	**-1**	**AVG SOLD 2537.00**				**-1,355.25**	**0.00**
03OCT17	S	2	PD03JAN18	2515.50	2591.00	USD	-3,775.00	
03OCT17	S	1	PD03JAN18	2523.50	2591.00	USD	-1,687.50	
03OCT17	S	1	PD03JAN18	2522.00	2591.00	USD	-1,725.00	
03OCT17	S	1	PD03JAN18	2522.50	2591.00	USD	-1,712.50	
03OCT17	B	1	PD03JAN18	2520.50	2591.00	USD	1,762.50	
03OCT17	B	2	PD03JAN18	2518.00	2591.00	USD	3,650.00	
03OCT17	B	2	PD03JAN18	2519.00	2591.00	USD	3,600.00	
03OCT17	B	1	PD03JAN18	2517.00	2591.00	USD	1,850.00	
03OCT17	B	1	PD03JAN18	2515.00	2591.00	USD	1,900.00	
03OCT17	B	1	PD03JAN18	2513.50	2591.00	USD	1,937.50	
03OCT17	B	1	PD03JAN18	2513.00	2591.00	USD	1,950.00	
03OCT17	B	1	PD03JAN18	2507.00	2591.00	USD	2,100.00	
03OCT17	B	3	PD03JAN18	2504.00	2591.00	USD	6,525.00	
03OCT17	B	1	PD03JAN18	2518.00	2591.00	USD	1,825.00	
03OCT17	B	1	PD03JAN18	2488.50	2591.00	USD	2,562.50	
03OCT17	S	1	PD03JAN18	2509.00	2591.00	USD	-2,050.00	
03OCT17	S	1	PD03JAN18	2509.50	2591.00	USD	-2,037.50	
03OCT17	S	1	PD03JAN18	2511.50	2591.00	USD	-1,987.50	
03OCT17	S	1	PD03JAN18	2518.50	2591.00	USD	-1,812.50	
03OCT17	S	2	PD03JAN18	2519.50	2591.00	USD	-3,575.00	
03OCT17	S	2	PD03JAN18	2520.00	2591.00	USD	-3,550.00	
03OCT17	S	1	PD03JAN18	2520.50	2591.00	USD	-1,762.50	
03OCT17	S	4	PD03JAN18	2524.00	2591.00	USD	-6,700.00	
03OCT17	S	1	PD03JAN18	2524.50	2591.00	USD	-1,662.50	
03OCT17	S	1	PD03JAN18	2521.50	2591.00	USD	-1,737.50	
03OCT17	S	3	PD03JAN18	2525.00	2591.00	USD	-4,950.00	
03OCT17	S	1	PD03JAN18	2530.50	2591.00	USD	-1,512.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
03OCT17	S 1 PD03JAN18	2531.50	2591.00	USD	-1,487.50	
03OCT17	S 1 PD03JAN18	2532.00	2591.00	USD	-1,475.00	
03OCT17	S 1 PD03JAN18	2552.50	2591.00	USD	-962.50	
03OCT17	S 1 PD03JAN18	2563.00	2591.00	USD	-700.00	
03OCT17	S 1 PD03JAN18	2566.50	2591.00	USD	-612.50	
03OCT17	B 1 PD03JAN18	2555.00	2591.00	USD	900.00	
03OCT17	B 1 PD03JAN18	2552.00	2591.00	USD	975.00	
03OCT17	B 1 PD03JAN18	2551.00	2591.00	USD	1,000.00	
03OCT17	B 1 PD03JAN18	2546.00	2591.00	USD	1,125.00	
03OCT17	S 1 PD03JAN18	2555.00	2591.00	USD	-900.00	
03OCT17	S 1 PD03JAN18	2562.50	2591.00	USD	-712.50	
03OCT17	S 1 PD03JAN18	2568.50	2591.00	USD	-562.50	
03OCT17	S 1 PD03JAN18	2584.50	2591.00	USD	-162.50	
03OCT17	S 1 PD03JAN18	2585.50	2591.00	USD	-137.50	
03OCT17	S 1 PD03JAN18	2586.00	2591.00	USD	-125.00	
03OCT17	S 2 PD03JAN18	2587.00	2591.00	USD	-200.00	
03OCT17	S 1 PD03JAN18	2593.00	2591.00	USD	50.00	
03OCT17	S 1 PD03JAN18	2591.00	2591.00	USD	0.00	
03OCT17	B 2 PD03JAN18	2592.50	2591.00	USD	-75.00	
03OCT17	B 2 PD03JAN18	2597.00	2591.00	USD	-300.00	
03OCT17	B 1 PD03JAN18	2596.50	2591.00	USD	-137.50	
03OCT17	B 1 PD03JAN18	2565.00	2591.00	USD	650.00	
	NET -14 AVG BGHT 2536.92 SOLD 2539.49				**-16,425.00**	**0.00**
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
04JUL17	B 1 PD04OCT17	9195.00	10531.23	USD	8,017.38	
13JUL17	S 1 PD04OCT17	9204.43	10531.23	USD	-7,960.80	
	NET 0 AVG BGHT 9195.00 SOLD 9204.43				**56.58**	**0.00**
06JUL17	B 1 PD06OCT17	9090.00	10533.77	USD	8,662.62	
07JUL17	B 1 PD06OCT17	8940.00	10533.77	USD	9,562.62	
13JUL17	S 2 PD06OCT17	9206.00	10533.77	USD	-15,933.24	
	NET 0 AVG BGHT 9015.00 SOLD 9206.00				**2,292.00**	**0.00**
10JUL17	S 1 PD10OCT17	8935.00	10538.85	USD	-9,623.10	
13JUL17	B 1 PD10OCT17	9209.29	10538.85	USD	7,977.36	
	NET 0 AVG BGHT 9209.29 SOLD 8935.00				**-1,645.74**	**0.00**
12JUL17	S 1 PD12OCT17	9205.00	10541.38	USD	-8,018.28	
12JUL17	S 1 PD12OCT17	9220.00	10541.38	USD	-7,928.28	
13JUL17	B 2 PD12OCT17	9210.43	10541.38	USD	15,971.40	
	NET 0 AVG BGHT 9210.43 SOLD 9212.50				**24.84**	**0.00**
14JUL17	B 1 PD13OCT17	9365.00	10542.65	USD	7,065.90	
14JUL17	S 1 PD13OCT17	9395.00	10542.65	USD	-6,885.90	
14JUL17	B 1 PD13OCT17	9300.00	10542.65	USD	7,455.90	
18JUL17	S 1 PD13OCT17	9777.44	10542.65	USD	-4,591.26	
	NET 0 AVG BGHT 9332.50 SOLD 9586.22				**3,044.64**	**0.00**
17JUL17	S 1 PD17OCT17	9680.00	10547.73	USD	-5,206.38	
18JUL17	B 1 PD17OCT17	9781.00	10547.73	USD	4,600.38	
	NET 0 AVG BGHT 9781.00 SOLD 9680.00				**-606.00**	**0.00**
20JUL17	B 1 PD20OCT17	9575.00	10550.93	USD	5,855.58	
21JUL17	S 1 PD20OCT17	9580.00	10550.93	USD	-5,825.58	
	NET 0 AVG BGHT 9575.00 SOLD 9580.00				**30.00**	**0.00**
31JUL17	B 1 PD31OCT17	10285.00	10561.54	USD	1,659.24	
03AUG17	S 1 PD31OCT17	10379.36	10561.54	USD	-1,093.08	
	NET 0 AVG BGHT 10285.00 SOLD 10379.36				**566.16**	**0.00**
02AUG17	S 1 PD02NOV17	10270.00	10563.46	USD	-1,760.76	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
03AUG17	B 1 PD02NOV17	10380.79	10563.46	USD	1,096.02	
	NET 0 AVG BGHT 10380.79 SOLD 10270.00				**-664.74**	**0.00**
29AUG17	S 1 PD29NOV17	11800.00	10588.40	USD	7,269.60	
29AUG17	B 1 PD29NOV17	11695.00	10588.40	USD	-6,639.60	
	NET 0 AVG BGHT 11695.00 SOLD 11800.00				**630.00**	**0.00**
28SEP17	B 1 PD28DEC17	10320.00	10614.50	USD	1,767.00	
	NET +1 AVG BGHT 10320.00				**1,767.00**	**0.00**
29SEP17	S 1 PD29DEC17	10495.00	10615.42	USD	-722.52	
	NET -1 AVG SOLD 10495.00				**-722.52**	**0.00**
02OCT17	B 1 PD02JAN18	10340.00	10619.08	USD	1,674.48	
	NET +1 AVG BGHT 10340.00				**1,674.48**	**0.00**
03OCT17	S 1 PD03JAN18	10485.00	10620.00	USD	-810.00	
	NET -1 AVG SOLD 10485.00				**-810.00**	**0.00**
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
04JUL17	B 2 PD04OCT17	2780.00	3316.62	USD	26,831.00	
04JUL17	B 1 PD04OCT17	2781.00	3316.62	USD	13,390.50	
04JUL17	B 1 PD04OCT17	2779.50	3316.62	USD	13,428.00	
04JUL17	B 1 PD04OCT17	2777.50	3316.62	USD	13,478.00	
04JUL17	B 2 PD04OCT17	2770.50	3316.62	USD	27,306.00	
04JUL17	B 1 PD04OCT17	2771.00	3316.62	USD	13,640.50	
04JUL17	B 2 PD04OCT17	2769.50	3316.62	USD	27,356.00	
04JUL17	B 4 PD04OCT17	2772.00	3316.62	USD	54,462.00	
04JUL17	B 2 PD04OCT17	2771.50	3316.62	USD	27,256.00	
04JUL17	S 1 PD04OCT17	2771.00	3316.62	USD	-13,640.50	
04JUL17	S 1 PD04OCT17	2772.00	3316.62	USD	-13,615.50	
04JUL17	S 1 PD04OCT17	2772.50	3316.62	USD	-13,603.00	
04JUL17	S 5 PD04OCT17	2773.00	3316.62	USD	-67,952.50	
04JUL17	S 1 PD04OCT17	2774.00	3316.62	USD	-13,565.50	
04JUL17	S 2 PD04OCT17	2769.00	3316.62	USD	-27,381.00	
04JUL17	S 3 PD04OCT17	2768.50	3316.62	USD	-41,109.00	
04JUL17	S 3 PD04OCT17	2769.50	3316.62	USD	-41,034.00	
04JUL17	S 9 PD04OCT17	2773.50	3316.62	USD	-122,202.00	
04JUL17	S 1 PD04OCT17	2774.50	3316.62	USD	-13,553.00	
04JUL17	S 1 PD04OCT17	2775.00	3316.62	USD	-13,540.50	
04JUL17	S 1 PD04OCT17	2775.50	3316.62	USD	-13,528.00	
04JUL17	S 1 PD04OCT17	2778.50	3316.62	USD	-13,453.00	
04JUL17	S 5 PD04OCT17	2777.50	3316.62	USD	-67,390.00	
04JUL17	S 2 PD04OCT17	2779.50	3316.62	USD	-26,856.00	
04JUL17	S 1 PD04OCT17	2781.50	3316.62	USD	-13,378.00	
04JUL17	S 1 PD04OCT17	2784.00	3316.62	USD	-13,315.50	
04JUL17	S 3 PD04OCT17	2784.50	3316.62	USD	-39,909.00	
04JUL17	B 1 PD04OCT17	2778.00	3316.62	USD	13,465.50	
04JUL17	B 1 PD04OCT17	2784.00	3316.62	USD	13,315.50	
04JUL17	B 2 PD04OCT17	2774.50	3316.62	USD	27,106.00	
04JUL17	B 1 PD04OCT17	2774.00	3316.62	USD	13,565.50	
04JUL17	B 3 PD04OCT17	2776.50	3316.62	USD	40,509.00	
04JUL17	B 5 PD04OCT17	2775.00	3316.62	USD	67,702.50	
04JUL17	B 6 PD04OCT17	2775.50	3316.62	USD	81,168.00	
04JUL17	B 4 PD04OCT17	2780.00	3316.62	USD	53,662.00	
04JUL17	B 1 PD04OCT17	2779.00	3316.62	USD	13,440.50	
04JUL17	S 1 PD04OCT17	2771.50	3316.62	USD	-13,628.00	
04JUL17	S 1 PD04OCT17	2773.50	3316.62	USD	-13,578.00	
04JUL17	S 1 PD04OCT17	2774.00	3316.62	USD	-13,565.50	
04JUL17	B 1 PD04OCT17	2774.50	3316.62	USD	13,553.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
04JUL17	B 1 PD04OCT17	2773.00	3316.62	USD	13,590.50	
04JUL17	B 2 PD04OCT17	2768.00	3316.62	USD	27,431.00	
04JUL17	B 1 PD04OCT17	2767.00	3316.62	USD	13,740.50	
04JUL17	B 1 PD04OCT17	2765.50	3316.62	USD	13,778.00	
04JUL17	S 1 PD04OCT17	2780.00	3316.62	USD	-13,415.50	
04JUL17	S 1 PD04OCT17	2787.00	3316.62	USD	-13,240.50	
04JUL17	S 1 PD04OCT17	2788.50	3316.62	USD	-13,203.00	
04JUL17	S 1 PD04OCT17	2785.00	3316.62	USD	-13,290.50	
04JUL17	S 1 PD04OCT17	2785.50	3316.62	USD	-13,278.00	
04JUL17	S 1 PD04OCT17	2786.50	3316.62	USD	-13,253.00	
04JUL17	S 1 PD04OCT17	2789.50	3316.62	USD	-13,178.00	
04JUL17	S 2 PD04OCT17	2790.00	3316.62	USD	-26,331.00	
04JUL17	B 1 PD04OCT17	2800.50	3316.62	USD	12,903.00	
04JUL17	B 1 PD04OCT17	2800.00	3316.62	USD	12,915.50	
04JUL17	B 1 PD04OCT17	2798.00	3316.62	USD	12,965.50	
04JUL17	B 1 PD04OCT17	2797.50	3316.62	USD	12,978.00	
04JUL17	B 1 PD04OCT17	2797.00	3316.62	USD	12,990.50	
04JUL17	S 2 PD04OCT17	2793.50	3316.62	USD	-26,156.00	
04JUL17	S 1 PD04OCT17	2796.50	3316.62	USD	-13,003.00	
04JUL17	B 1 PD04OCT17	2795.00	3316.62	USD	13,040.50	
04JUL17	B 2 PD04OCT17	2794.50	3316.62	USD	26,106.00	
04JUL17	B 1 PD04OCT17	2792.00	3316.62	USD	13,115.50	
04JUL17	B 2 PD04OCT17	2792.50	3316.62	USD	26,206.00	
04JUL17	B 2 PD04OCT17	2793.00	3316.62	USD	26,181.00	
05JUL17	S 2 PD04OCT17	2781.26	3316.62	USD	-26,768.00	
	NET 0 AVG BGHT 2779.28 SOLD 2777.70				**-2,337.00**	**0.00**
05JUL17	B 1 PD05OCT17	2798.00	3313.50	USD	12,887.50	
05JUL17	B 2 PD05OCT17	2795.00	3313.50	USD	25,925.00	
05JUL17	B 2 PD05OCT17	2796.00	3313.50	USD	25,875.00	
05JUL17	B 3 PD05OCT17	2795.50	3313.50	USD	38,850.00	
05JUL17	B 1 PD05OCT17	2794.50	3313.50	USD	12,975.00	
05JUL17	B 2 PD05OCT17	2793.50	3313.50	USD	26,000.00	
05JUL17	B 1 PD05OCT17	2787.00	3313.50	USD	13,162.50	
05JUL17	S 1 PD05OCT17	2784.50	3313.50	USD	-13,225.00	
05JUL17	S 2 PD05OCT17	2787.50	3313.50	USD	-26,300.00	
05JUL17	S 2 PD05OCT17	2779.50	3313.50	USD	-26,700.00	
05JUL17	B 1 PD05OCT17	2777.00	3313.50	USD	13,412.50	
05JUL17	S 2 PD05OCT17	2781.00	3313.50	USD	-26,625.00	
05JUL17	S 1 PD05OCT17	2781.50	3313.50	USD	-13,300.00	
05JUL17	S 1 PD05OCT17	2782.50	3313.50	USD	-13,275.00	
05JUL17	S 3 PD05OCT17	2783.00	3313.50	USD	-39,787.50	
05JUL17	S 3 PD05OCT17	2784.00	3313.50	USD	-39,712.50	
05JUL17	S 2 PD05OCT17	2785.00	3313.50	USD	-26,425.00	
05JUL17	S 2 PD05OCT17	2785.50	3313.50	USD	-26,400.00	
05JUL17	S 1 PD05OCT17	2789.00	3313.50	USD	-13,112.50	
05JUL17	S 3 PD05OCT17	2789.50	3313.50	USD	-39,300.00	
05JUL17	S 1 PD05OCT17	2790.00	3313.50	USD	-13,087.50	
05JUL17	S 1 PD05OCT17	2791.00	3313.50	USD	-13,062.50	
05JUL17	S 2 PD05OCT17	2791.50	3313.50	USD	-26,100.00	
05JUL17	S 3 PD05OCT17	2786.00	3313.50	USD	-39,562.50	
05JUL17	B 2 PD05OCT17	2779.50	3313.50	USD	26,700.00	
05JUL17	S 3 PD05OCT17	2782.00	3313.50	USD	-39,862.50	
05JUL17	B 1 PD05OCT17	2779.00	3313.50	USD	13,362.50	
05JUL17	B 3 PD05OCT17	2775.00	3313.50	USD	40,387.50	
05JUL17	B 5 PD05OCT17	2775.50	3313.50	USD	67,250.00	
05JUL17	B 1 PD05OCT17	2776.00	3313.50	USD	13,437.50	
05JUL17	B 4 PD05OCT17	2773.50	3313.50	USD	54,000.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
05JUL17	B 1 PD05OCT17	2772.00	3313.50	USD	13,537.50	
05JUL17	B 2 PD05OCT17	2770.00	3313.50	USD	27,175.00	
05JUL17	B 2 PD05OCT17	2768.00	3313.50	USD	27,275.00	
05JUL17	B 1 PD05OCT17	2771.50	3313.50	USD	13,550.00	
05JUL17	B 4 PD05OCT17	2770.50	3313.50	USD	54,300.00	
05JUL17	B 1 PD05OCT17	2769.50	3313.50	USD	13,600.00	
05JUL17	B 3 PD05OCT17	2767.00	3313.50	USD	40,987.50	
05JUL17	B 1 PD05OCT17	2766.50	3313.50	USD	13,675.00	
05JUL17	S 1 PD05OCT17	2776.00	3313.50	USD	-13,437.50	
05JUL17	S 4 PD05OCT17	2778.50	3313.50	USD	-53,500.00	
05JUL17	B 8 PD05OCT17	2774.50	3313.50	USD	107,800.00	
05JUL17	B 1 PD05OCT17	2777.00	3313.50	USD	13,412.50	
05JUL17	B 1 PD05OCT17	2776.00	3313.50	USD	13,437.50	
05JUL17	B 1 PD05OCT17	2775.50	3313.50	USD	13,450.00	
05JUL17	B 3 PD05OCT17	2769.00	3313.50	USD	40,837.50	
05JUL17	B 1 PD05OCT17	2768.50	3313.50	USD	13,625.00	
05JUL17	B 1 PD05OCT17	2767.50	3313.50	USD	13,650.00	
05JUL17	S 1 PD05OCT17	2765.50	3313.50	USD	-13,700.00	
05JUL17	S 1 PD05OCT17	2767.00	3313.50	USD	-13,662.50	
05JUL17	S 2 PD05OCT17	2768.00	3313.50	USD	-27,275.00	
05JUL17	S 1 PD05OCT17	2769.50	3313.50	USD	-13,600.00	
05JUL17	S 1 PD05OCT17	2769.00	3313.50	USD	-13,612.50	
05JUL17	S 1 PD05OCT17	2765.00	3313.50	USD	-13,712.50	
05JUL17	S 1 PD05OCT17	2766.00	3313.50	USD	-13,687.50	
05JUL17	S 1 PD05OCT17	2761.50	3313.50	USD	-13,800.00	
05JUL17	S 1 PD05OCT17	2753.00	3313.50	USD	-14,012.50	
05JUL17	B 1 PD05OCT17	2740.50	3313.50	USD	14,325.00	
05JUL17	S 1 PD05OCT17	2748.00	3313.50	USD	-14,137.50	
05JUL17	S 1 PD05OCT17	2748.50	3313.50	USD	-14,125.00	
05JUL17	S 1 PD05OCT17	2750.50	3313.50	USD	-14,075.00	
05JUL17	B 1 PD05OCT17	2752.50	3313.50	USD	14,025.00	
05JUL17	S 1 PD05OCT17	2756.50	3313.50	USD	-13,925.00	
05JUL17	S 1 PD05OCT17	2758.00	3313.50	USD	-13,887.50	
05JUL17	S 1 PD05OCT17	2758.50	3313.50	USD	-13,875.00	
05JUL17	S 1 PD05OCT17	2756.00	3313.50	USD	-13,937.50	
05JUL17	B 1 PD05OCT17	2769.00	3313.50	USD	13,612.50	
05JUL17	S 1 PD05OCT17	2767.50	3313.50	USD	-13,650.00	
05JUL17	S 2 PD05OCT17	2757.00	3313.50	USD	-27,825.00	
05JUL17	S 1 PD05OCT17	2755.50	3313.50	USD	-13,950.00	
05JUL17	S 2 PD05OCT17	2752.50	3313.50	USD	-28,050.00	
05JUL17	S 2 PD05OCT17	2754.00	3313.50	USD	-27,975.00	
05JUL17	S 3 PD05OCT17	2780.00	3313.50	USD	-40,012.50	
05JUL17	B 4 PD05OCT17	2776.50	3313.50	USD	53,700.00	
13JUL17	S 1 PD05OCT17	2801.99	3313.50	USD	-12,787.75	
	NET 0 AVG BGHT 2776.07 SOLD 2774.96				**-1,850.25**	**0.00**
06JUL17	S 3 PD06OCT17	2756.00	3310.38	USD	-41,578.50	
06JUL17	S 6 PD06OCT17	2755.50	3310.38	USD	-83,232.00	
06JUL17	S 3 PD06OCT17	2754.50	3310.38	USD	-41,691.00	
06JUL17	S 1 PD06OCT17	2753.50	3310.38	USD	-13,922.00	
06JUL17	S 3 PD06OCT17	2755.00	3310.38	USD	-41,653.50	
06JUL17	S 2 PD06OCT17	2754.00	3310.38	USD	-27,819.00	
06JUL17	S 2 PD06OCT17	2756.50	3310.38	USD	-27,694.00	
06JUL17	S 2 PD06OCT17	2757.00	3310.38	USD	-27,669.00	
06JUL17	S 2 PD06OCT17	2758.50	3310.38	USD	-27,594.00	
06JUL17	S 1 PD06OCT17	2761.50	3310.38	USD	-13,722.00	
06JUL17	S 3 PD06OCT17	2760.00	3310.38	USD	-41,278.50	
06JUL17	S 2 PD06OCT17	2771.00	3310.38	USD	-26,969.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
06JUL17	S 1 PD06OCT17	2773.50	3310.38	USD	-13,422.00	
06JUL17	B 1 PD06OCT17	2774.00	3310.38	USD	13,409.50	
06JUL17	S 1 PD06OCT17	2783.00	3310.38	USD	-13,184.50	
06JUL17	B 3 PD06OCT17	2784.00	3310.38	USD	39,478.50	
06JUL17	S 1 PD06OCT17	2796.50	3310.38	USD	-12,847.00	
06JUL17	B 2 PD06OCT17	2792.00	3310.38	USD	25,919.00	
06JUL17	B 1 PD06OCT17	2791.50	3310.38	USD	12,972.00	
06JUL17	B 2 PD06OCT17	2790.50	3310.38	USD	25,994.00	
06JUL17	B 3 PD06OCT17	2790.00	3310.38	USD	39,028.50	
06JUL17	S 2 PD06OCT17	2794.50	3310.38	USD	-25,794.00	
06JUL17	S 2 PD06OCT17	2795.50	3310.38	USD	-25,744.00	
06JUL17	S 1 PD06OCT17	2801.00	3310.38	USD	-12,734.50	
06JUL17	S 1 PD06OCT17	2801.50	3310.38	USD	-12,722.00	
06JUL17	B 1 PD06OCT17	2798.50	3310.38	USD	12,797.00	
06JUL17	S 3 PD06OCT17	2799.00	3310.38	USD	-38,353.50	
06JUL17	S 2 PD06OCT17	2794.00	3310.38	USD	-25,819.00	
06JUL17	B 2 PD06OCT17	2786.50	3310.38	USD	26,194.00	
06JUL17	B 1 PD06OCT17	2789.00	3310.38	USD	13,034.50	
06JUL17	S 1 PD06OCT17	2790.50	3310.38	USD	-12,997.00	
06JUL17	S 1 PD06OCT17	2762.50	3310.38	USD	-13,697.00	
06JUL17	B 1 PD06OCT17	2790.00	3310.38	USD	13,009.50	
06JUL17	S 3 PD06OCT17	2790.00	3310.38	USD	-39,028.50	
06JUL17	S 1 PD06OCT17	2793.50	3310.38	USD	-12,922.00	
06JUL17	B 1 PD06OCT17	2780.00	3310.38	USD	13,259.50	
06JUL17	S 3 PD06OCT17	2788.50	3310.38	USD	-39,141.00	
06JUL17	S 2 PD06OCT17	2789.00	3310.38	USD	-26,069.00	
06JUL17	B 3 PD06OCT17	2782.00	3310.38	USD	39,628.50	
06JUL17	B 3 PD06OCT17	2780.50	3310.38	USD	39,741.00	
06JUL17	B 3 PD06OCT17	2778.50	3310.38	USD	39,891.00	
06JUL17	B 1 PD06OCT17	2778.00	3310.38	USD	13,309.50	
06JUL17	B 1 PD06OCT17	2776.50	3310.38	USD	13,347.00	
06JUL17	B 2 PD06OCT17	2776.00	3310.38	USD	26,719.00	
06JUL17	B 2 PD06OCT17	2775.50	3310.38	USD	26,744.00	
06JUL17	B 4 PD06OCT17	2775.00	3310.38	USD	53,538.00	
06JUL17	B 1 PD06OCT17	2774.50	3310.38	USD	13,397.00	
06JUL17	B 7 PD06OCT17	2777.50	3310.38	USD	93,254.00	
06JUL17	B 3 PD06OCT17	2779.50	3310.38	USD	39,816.00	
06JUL17	B 3 PD06OCT17	2782.50	3310.38	USD	39,591.00	
06JUL17	S 1 PD06OCT17	2787.50	3310.38	USD	-13,072.00	
06JUL17	S 2 PD06OCT17	2786.00	3310.38	USD	-26,219.00	
06JUL17	B 1 PD06OCT17	2783.50	3310.38	USD	13,172.00	
06JUL17	B 3 PD06OCT17	2781.00	3310.38	USD	39,703.50	
06JUL17	B 1 PD06OCT17	2785.50	3310.38	USD	13,122.00	
06JUL17	B 2 PD06OCT17	2785.00	3310.38	USD	26,269.00	
06JUL17	B 1 PD06OCT17	2784.50	3310.38	USD	13,147.00	
07JUL17	S 1 PD06OCT17	2775.00	3310.38	USD	-13,384.50	
07JUL17	S 4 PD06OCT17	2774.50	3310.38	USD	-53,588.00	
07JUL17	S 1 PD06OCT17	2775.50	3310.38	USD	-13,372.00	
07JUL17	B 4 PD06OCT17	2784.50	3310.38	USD	52,588.00	
07JUL17	S 1 PD06OCT17	2787.00	3310.38	USD	-13,084.50	
07JUL17	S 1 PD06OCT17	2789.50	3310.38	USD	-13,022.00	
07JUL17	S 1 PD06OCT17	2790.50	3310.38	USD	-12,997.00	
07JUL17	S 2 PD06OCT17	2789.00	3310.38	USD	-26,069.00	
07JUL17	S 3 PD06OCT17	2795.50	3310.38	USD	-38,616.00	
07JUL17	S 1 PD06OCT17	2798.00	3310.38	USD	-12,809.50	
07JUL17	S 1 PD06OCT17	2796.50	3310.38	USD	-12,847.00	
07JUL17	S 1 PD06OCT17	2797.50	3310.38	USD	-12,822.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
07JUL17	S 3 PD06OCT17	2800.00	3310.38	USD	-38,278.50	
07JUL17	S 2 PD06OCT17	2796.00	3310.38	USD	-25,719.00	
07JUL17	B 4 PD06OCT17	2786.00	3310.38	USD	52,438.00	
07JUL17	S 1 PD06OCT17	2794.00	3310.38	USD	-12,909.50	
07JUL17	S 2 PD06OCT17	2793.50	3310.38	USD	-25,844.00	
07JUL17	B 1 PD06OCT17	2784.00	3310.38	USD	13,159.50	
07JUL17	S 1 PD06OCT17	2776.00	3310.38	USD	-13,359.50	
07JUL17	S 1 PD06OCT17	2772.50	3310.38	USD	-13,447.00	
07JUL17	B 2 PD06OCT17	2773.50	3310.38	USD	26,844.00	
07JUL17	S 4 PD06OCT17	2776.50	3310.38	USD	-53,388.00	
07JUL17	B 5 PD06OCT17	2777.50	3310.38	USD	66,610.00	
07JUL17	S 2 PD06OCT17	2784.00	3310.38	USD	-26,319.00	
07JUL17	S 4 PD06OCT17	2784.50	3310.38	USD	-52,588.00	
07JUL17	S 2 PD06OCT17	2777.00	3310.38	USD	-26,669.00	
07JUL17	S 1 PD06OCT17	2777.50	3310.38	USD	-13,322.00	
07JUL17	B 5 PD06OCT17	2778.00	3310.38	USD	66,547.50	
07JUL17	B 1 PD06OCT17	2776.50	3310.38	USD	13,347.00	
07JUL17	S 1 PD06OCT17	2786.50	3310.38	USD	-13,097.00	
07JUL17	S 4 PD06OCT17	2791.50	3310.38	USD	-51,888.00	
07JUL17	S 2 PD06OCT17	2791.00	3310.38	USD	-25,969.00	
07JUL17	S 3 PD06OCT17	2798.50	3310.38	USD	-38,391.00	
07JUL17	S 4 PD06OCT17	2799.00	3310.38	USD	-51,138.00	
07JUL17	S 1 PD06OCT17	2799.50	3310.38	USD	-12,772.00	
07JUL17	S 2 PD06OCT17	2800.50	3310.38	USD	-25,494.00	
07JUL17	S 2 PD06OCT17	2801.00	3310.38	USD	-25,469.00	
07JUL17	S 2 PD06OCT17	2803.00	3310.38	USD	-25,369.00	
07JUL17	S 1 PD06OCT17	2802.00	3310.38	USD	-12,709.50	
07JUL17	S 1 PD06OCT17	2803.50	3310.38	USD	-12,672.00	
07JUL17	S 3 PD06OCT17	2805.50	3310.38	USD	-37,866.00	
07JUL17	S 2 PD06OCT17	2806.00	3310.38	USD	-25,219.00	
07JUL17	S 1 PD06OCT17	2807.00	3310.38	USD	-12,584.50	
07JUL17	S 3 PD06OCT17	2801.50	3310.38	USD	-38,166.00	
07JUL17	B 1 PD06OCT17	2798.00	3310.38	USD	12,809.50	
07JUL17	S 1 PD06OCT17	2805.00	3310.38	USD	-12,634.50	
07JUL17	B 2 PD06OCT17	2794.00	3310.38	USD	25,819.00	
07JUL17	B 1 PD06OCT17	2795.00	3310.38	USD	12,884.50	
07JUL17	B 1 PD06OCT17	2796.00	3310.38	USD	12,859.50	
07JUL17	B 1 PD06OCT17	2793.50	3310.38	USD	12,922.00	
07JUL17	B 1 PD06OCT17	2792.50	3310.38	USD	12,947.00	
07JUL17	B 1 PD06OCT17	2793.00	3310.38	USD	12,934.50	
07JUL17	B 3 PD06OCT17	2791.50	3310.38	USD	38,916.00	
07JUL17	B 1 PD06OCT17	2790.50	3310.38	USD	12,997.00	
07JUL17	B 3 PD06OCT17	2790.00	3310.38	USD	39,028.50	
07JUL17	B 1 PD06OCT17	2789.00	3310.38	USD	13,034.50	
07JUL17	B 1 PD06OCT17	2788.50	3310.38	USD	13,047.00	
07JUL17	B 1 PD06OCT17	2787.50	3310.38	USD	13,072.00	
07JUL17	B 1 PD06OCT17	2786.50	3310.38	USD	13,097.00	
07JUL17	B 3 PD06OCT17	2785.50	3310.38	USD	39,366.00	
07JUL17	B 1 PD06OCT17	2783.50	3310.38	USD	13,172.00	
07JUL17	B 2 PD06OCT17	2783.00	3310.38	USD	26,369.00	
07JUL17	B 8 PD06OCT17	2785.00	3310.38	USD	105,076.00	
07JUL17	S 2 PD06OCT17	2781.50	3310.38	USD	-26,444.00	
07JUL17	B 1 PD06OCT17	2779.50	3310.38	USD	13,272.00	
07JUL17	B 1 PD06OCT17	2779.00	3310.38	USD	13,284.50	
07JUL17	B 8 PD06OCT17	2782.50	3310.38	USD	105,576.00	
07JUL17	B 4 PD06OCT17	2782.00	3310.38	USD	52,838.00	
07JUL17	B 1 PD06OCT17	2781.00	3310.38	USD	13,234.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
07JUL17	B 2 PD06OCT17	2780.00	3310.38	USD	26,519.00	
07JUL17	B 2 PD06OCT17	2778.50	3310.38	USD	26,594.00	
07JUL17	B 1 PD06OCT17	2781.50	3310.38	USD	13,222.00	
07JUL17	B 1 PD06OCT17	2780.50	3310.38	USD	13,247.00	
07JUL17	B 1 PD06OCT17	2796.00	3310.38	USD	12,859.50	
07JUL17	B 1 PD06OCT17	2773.00	3310.38	USD	13,434.50	
07JUL17	B 1 PD06OCT17	2772.00	3310.38	USD	13,459.50	
07JUL17	B 1 PD06OCT17	2772.50	3310.38	USD	13,447.00	
07JUL17	S 1 PD06OCT17	2778.00	3310.38	USD	-13,309.50	
07JUL17	S 1 PD06OCT17	2785.00	3310.38	USD	-13,134.50	
07JUL17	S 1 PD06OCT17	2785.50	3310.38	USD	-13,122.00	
07JUL17	S 5 PD06OCT17	2788.50	3310.38	USD	-65,235.00	
07JUL17	S 2 PD06OCT17	2787.50	3310.38	USD	-26,144.00	
07JUL17	S 1 PD06OCT17	2790.00	3310.38	USD	-13,009.50	
07JUL17	S 1 PD06OCT17	2787.50	3310.38	USD	-13,072.00	
12JUL17	B 4 PD06OCT17	2832.17	3310.38	USD	47,821.00	
18JUL17	B 2 PD06OCT17	2791.71	3310.38	USD	25,933.50	
	NET 0 AVG BGHT 2784.56 SOLD 2784.05				**-1,840.00**	**0.00**
10JUL17	B 1 PD10OCT17	2788.50	3307.25	USD	12,968.75	
10JUL17	B 1 PD10OCT17	2761.00	3307.25	USD	13,656.25	
10JUL17	B 2 PD10OCT17	2792.00	3307.25	USD	25,762.50	
10JUL17	S 3 PD10OCT17	2785.50	3307.25	USD	-39,131.25	
10JUL17	S 1 PD10OCT17	2787.50	3307.25	USD	-12,993.75	
10JUL17	B 1 PD10OCT17	2766.50	3307.25	USD	13,518.75	
10JUL17	B 1 PD10OCT17	2788.00	3307.25	USD	12,981.25	
10JUL17	B 2 PD10OCT17	2792.50	3307.25	USD	25,737.50	
10JUL17	B 3 PD10OCT17	2790.50	3307.25	USD	38,756.25	
10JUL17	B 1 PD10OCT17	2793.50	3307.25	USD	12,843.75	
10JUL17	B 1 PD10OCT17	2764.50	3307.25	USD	13,568.75	
10JUL17	B 2 PD10OCT17	2762.50	3307.25	USD	27,237.50	
10JUL17	B 3 PD10OCT17	2771.00	3307.25	USD	40,218.75	
10JUL17	B 2 PD10OCT17	2758.50	3307.25	USD	27,437.50	
10JUL17	B 1 PD10OCT17	2757.00	3307.25	USD	13,756.25	
10JUL17	S 1 PD10OCT17	2765.00	3307.25	USD	-13,556.25	
10JUL17	S 1 PD10OCT17	2765.50	3307.25	USD	-13,543.75	
10JUL17	S 1 PD10OCT17	2766.00	3307.25	USD	-13,531.25	
10JUL17	S 2 PD10OCT17	2766.50	3307.25	USD	-27,037.50	
10JUL17	S 2 PD10OCT17	2767.50	3307.25	USD	-26,987.50	
10JUL17	S 3 PD10OCT17	2768.00	3307.25	USD	-40,443.75	
10JUL17	S 1 PD10OCT17	2771.00	3307.25	USD	-13,406.25	
10JUL17	B 2 PD10OCT17	2771.50	3307.25	USD	26,787.50	
10JUL17	S 1 PD10OCT17	2772.00	3307.25	USD	-13,381.25	
10JUL17	S 3 PD10OCT17	2772.50	3307.25	USD	-40,106.25	
10JUL17	S 2 PD10OCT17	2775.00	3307.25	USD	-26,612.50	
10JUL17	S 1 PD10OCT17	2780.00	3307.25	USD	-13,181.25	
10JUL17	S 1 PD10OCT17	2782.50	3307.25	USD	-13,118.75	
10JUL17	S 1 PD10OCT17	2782.00	3307.25	USD	-13,131.25	
10JUL17	S 1 PD10OCT17	2781.50	3307.25	USD	-13,143.75	
10JUL17	S 2 PD10OCT17	2783.00	3307.25	USD	-26,212.50	
10JUL17	B 1 PD10OCT17	2761.00	3307.25	USD	13,656.25	
10JUL17	B 1 PD10OCT17	2757.00	3307.25	USD	13,756.25	
10JUL17	B 1 PD10OCT17	2773.50	3307.25	USD	13,343.75	
10JUL17	B 1 PD10OCT17	2770.50	3307.25	USD	13,418.75	
10JUL17	B 1 PD10OCT17	2768.50	3307.25	USD	13,468.75	
10JUL17	B 1 PD10OCT17	2768.00	3307.25	USD	13,481.25	
10JUL17	B 3 PD10OCT17	2765.00	3307.25	USD	40,668.75	
10JUL17	B 1 PD10OCT17	2763.50	3307.25	USD	13,593.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
10JUL17	B 3 PD10OCT17	2761.50	3307.25	USD	40,931.25	
10JUL17	B 6 PD10OCT17	2760.50	3307.25	USD	82,012.50	
10JUL17	B 2 PD10OCT17	2759.50	3307.25	USD	27,387.50	
10JUL17	B 1 PD10OCT17	2759.00	3307.25	USD	13,706.25	
10JUL17	B 1 PD10OCT17	2752.00	3307.25	USD	13,881.25	
10JUL17	B 1 PD10OCT17	2751.50	3307.25	USD	13,893.75	
10JUL17	B 1 PD10OCT17	2746.50	3307.25	USD	14,018.75	
10JUL17	S 1 PD10OCT17	2762.00	3307.25	USD	-13,631.25	
10JUL17	S 2 PD10OCT17	2770.50	3307.25	USD	-26,837.50	
10JUL17	S 1 PD10OCT17	2777.00	3307.25	USD	-13,256.25	
10JUL17	B 2 PD10OCT17	2758.00	3307.25	USD	27,462.50	
10JUL17	S 5 PD10OCT17	2779.50	3307.25	USD	-65,968.75	
10JUL17	S 1 PD10OCT17	2773.50	3307.25	USD	-13,343.75	
10JUL17	S 1 PD10OCT17	2774.50	3307.25	USD	-13,318.75	
10JUL17	S 3 PD10OCT17	2776.00	3307.25	USD	-39,843.75	
10JUL17	B 1 PD10OCT17	2774.50	3307.25	USD	13,318.75	
10JUL17	S 2 PD10OCT17	2778.00	3307.25	USD	-26,462.50	
10JUL17	S 4 PD10OCT17	2777.50	3307.25	USD	-52,975.00	
10JUL17	S 2 PD10OCT17	2776.50	3307.25	USD	-26,537.50	
10JUL17	S 3 PD10OCT17	2775.50	3307.25	USD	-39,881.25	
10JUL17	B 1 PD10OCT17	2776.50	3307.25	USD	13,268.75	
10JUL17	B 4 PD10OCT17	2777.00	3307.25	USD	53,025.00	
10JUL17	B 2 PD10OCT17	2775.50	3307.25	USD	26,587.50	
10JUL17	S 1 PD10OCT17	2774.00	3307.25	USD	-13,331.25	
12JUL17	S 4 PD10OCT17	2832.52	3307.25	USD	-47,473.00	
13JUL17	S 1 PD10OCT17	2803.11	3307.25	USD	-12,603.50	
	NET 0 AVG BGHT 2769.24 SOLD 2779.68				**15,129.75**	**0.00**
11JUL17	S 1 PD11OCT17	2770.50	3304.13	USD	-13,340.75	
11JUL17	S 1 PD11OCT17	2774.00	3304.13	USD	-13,253.25	
11JUL17	B 1 PD11OCT17	2782.00	3304.13	USD	13,053.25	
11JUL17	S 1 PD11OCT17	2769.50	3304.13	USD	-13,365.75	
11JUL17	B 1 PD11OCT17	2779.00	3304.13	USD	13,128.25	
11JUL17	B 1 PD11OCT17	2781.50	3304.13	USD	13,065.75	
11JUL17	B 1 PD11OCT17	2776.50	3304.13	USD	13,190.75	
11JUL17	S 2 PD11OCT17	2787.50	3304.13	USD	-25,831.50	
11JUL17	B 1 PD11OCT17	2783.00	3304.13	USD	13,028.25	
11JUL17	S 1 PD11OCT17	2775.50	3304.13	USD	-13,215.75	
11JUL17	S 1 PD11OCT17	2781.50	3304.13	USD	-13,065.75	
11JUL17	S 1 PD11OCT17	2768.50	3304.13	USD	-13,390.75	
11JUL17	S 1 PD11OCT17	2790.00	3304.13	USD	-12,853.25	
11JUL17	S 2 PD11OCT17	2792.50	3304.13	USD	-25,581.50	
11JUL17	S 1 PD11OCT17	2793.50	3304.13	USD	-12,765.75	
11JUL17	S 1 PD11OCT17	2794.00	3304.13	USD	-12,753.25	
11JUL17	S 1 PD11OCT17	2795.00	3304.13	USD	-12,728.25	
11JUL17	S 1 PD11OCT17	2796.00	3304.13	USD	-12,703.25	
11JUL17	S 1 PD11OCT17	2798.00	3304.13	USD	-12,653.25	
11JUL17	S 3 PD11OCT17	2795.50	3304.13	USD	-38,147.25	
11JUL17	S 2 PD11OCT17	2789.00	3304.13	USD	-25,756.50	
11JUL17	B 3 PD11OCT17	2777.50	3304.13	USD	39,497.25	
11JUL17	B 5 PD11OCT17	2778.50	3304.13	USD	65,703.75	
11JUL17	B 5 PD11OCT17	2777.00	3304.13	USD	65,891.25	
11JUL17	B 6 PD11OCT17	2778.00	3304.13	USD	78,919.50	
11JUL17	B 3 PD11OCT17	2775.50	3304.13	USD	39,647.25	
11JUL17	B 1 PD11OCT17	2773.50	3304.13	USD	13,265.75	
11JUL17	B 1 PD11OCT17	2775.00	3304.13	USD	13,228.25	
11JUL17	B 3 PD11OCT17	2776.00	3304.13	USD	39,609.75	
11JUL17	S 1 PD11OCT17	2770.50	3304.13	USD	-13,340.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
11JUL17	B 2 PD11OCT17	2774.50	3304.13	USD	26,481.50	
11JUL17	S 2 PD11OCT17	2790.50	3304.13	USD	-25,681.50	
11JUL17	S 2 PD11OCT17	2792.00	3304.13	USD	-25,606.50	
11JUL17	S 1 PD11OCT17	2791.50	3304.13	USD	-12,815.75	
11JUL17	S 3 PD11OCT17	2796.50	3304.13	USD	-38,072.25	
11JUL17	S 1 PD11OCT17	2816.00	3304.13	USD	-12,203.25	
11JUL17	S 1 PD11OCT17	2813.50	3304.13	USD	-12,265.75	
11JUL17	S 1 PD11OCT17	2806.00	3304.13	USD	-12,453.25	
11JUL17	S 2 PD11OCT17	2812.00	3304.13	USD	-24,606.50	
11JUL17	S 8 PD11OCT17	2816.50	3304.13	USD	-97,526.00	
11JUL17	S 2 PD11OCT17	2814.00	3304.13	USD	-24,506.50	
11JUL17	S 3 PD11OCT17	2814.50	3304.13	USD	-36,722.25	
11JUL17	B 1 PD11OCT17	2815.50	3304.13	USD	12,215.75	
11JUL17	S 2 PD11OCT17	2823.00	3304.13	USD	-24,056.50	
11JUL17	S 1 PD11OCT17	2824.50	3304.13	USD	-11,990.75	
11JUL17	S 1 PD11OCT17	2825.00	3304.13	USD	-11,978.25	
11JUL17	B 2 PD11OCT17	2822.50	3304.13	USD	24,081.50	
11JUL17	B 2 PD11OCT17	2820.50	3304.13	USD	24,181.50	
11JUL17	B 2 PD11OCT17	2813.50	3304.13	USD	24,531.50	
11JUL17	B 2 PD11OCT17	2814.00	3304.13	USD	24,506.50	
11JUL17	B 1 PD11OCT17	2814.50	3304.13	USD	12,240.75	
11JUL17	B 2 PD11OCT17	2813.00	3304.13	USD	24,556.50	
11JUL17	B 1 PD11OCT17	2812.00	3304.13	USD	12,303.25	
11JUL17	B 1 PD11OCT17	2809.50	3304.13	USD	12,365.75	
11JUL17	B 1 PD11OCT17	2805.50	3304.13	USD	12,465.75	
11JUL17	B 3 PD11OCT17	2805.00	3304.13	USD	37,434.75	
11JUL17	S 8 PD11OCT17	2815.50	3304.13	USD	-97,726.00	
11JUL17	S 2 PD11OCT17	2817.50	3304.13	USD	-24,331.50	
11JUL17	S 1 PD11OCT17	2821.00	3304.13	USD	-12,078.25	
11JUL17	S 2 PD11OCT17	2821.50	3304.13	USD	-24,131.50	
11JUL17	S 1 PD11OCT17	2824.00	3304.13	USD	-12,003.25	
11JUL17	B 3 PD11OCT17	2827.00	3304.13	USD	35,784.75	
11JUL17	B 8 PD11OCT17	2826.00	3304.13	USD	95,626.00	
11JUL17	B 2 PD11OCT17	2821.50	3304.13	USD	24,131.50	
11JUL17	S 2 PD11OCT17	2818.00	3304.13	USD	-24,306.50	
11JUL17	B 1 PD11OCT17	2819.50	3304.13	USD	12,115.75	
18JUL17	B 1 PD11OCT17	2792.67	3304.13	USD	12,786.50	
19JUL17	B 1 PD11OCT17	2746.25	3304.13	USD	13,947.00	
	NET 0 AVG BGHT 2796.49 SOLD 2804.24				**13,177.00**	**0.00**
12JUL17	B 1 PD12OCT17	2836.00	3301.00	USD	11,625.00	
12JUL17	B 1 PD12OCT17	2830.50	3301.00	USD	11,762.50	
12JUL17	B 1 PD12OCT17	2824.50	3301.00	USD	11,912.50	
12JUL17	B 2 PD12OCT17	2824.00	3301.00	USD	23,850.00	
12JUL17	S 1 PD12OCT17	2824.00	3301.00	USD	-11,925.00	
12JUL17	S 1 PD12OCT17	2825.50	3301.00	USD	-11,887.50	
12JUL17	S 1 PD12OCT17	2826.50	3301.00	USD	-11,862.50	
12JUL17	S 3 PD12OCT17	2827.00	3301.00	USD	-35,550.00	
12JUL17	S 1 PD12OCT17	2829.50	3301.00	USD	-11,787.50	
12JUL17	B 2 PD12OCT17	2822.50	3301.00	USD	23,925.00	
12JUL17	B 1 PD12OCT17	2821.50	3301.00	USD	11,987.50	
12JUL17	B 1 PD12OCT17	2818.50	3301.00	USD	12,062.50	
12JUL17	B 1 PD12OCT17	2817.50	3301.00	USD	12,087.50	
12JUL17	B 1 PD12OCT17	2820.00	3301.00	USD	12,025.00	
12JUL17	B 1 PD12OCT17	2819.00	3301.00	USD	12,050.00	
12JUL17	S 3 PD12OCT17	2830.00	3301.00	USD	-35,325.00	
12JUL17	S 6 PD12OCT17	2832.00	3301.00	USD	-70,350.00	
12JUL17	S 3 PD12OCT17	2831.50	3301.00	USD	-35,212.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
12JUL17	S 5 PD12OCT17	2830.50	3301.00	USD	-58,812.50	
12JUL17	S 2 PD12OCT17	2832.50	3301.00	USD	-23,425.00	
12JUL17	S 1 PD12OCT17	2843.50	3301.00	USD	-11,437.50	
12JUL17	S 1 PD12OCT17	2840.00	3301.00	USD	-11,525.00	
12JUL17	S 2 PD12OCT17	2836.00	3301.00	USD	-23,250.00	
12JUL17	S 2 PD12OCT17	2837.00	3301.00	USD	-23,200.00	
12JUL17	S 1 PD12OCT17	2839.00	3301.00	USD	-11,550.00	
12JUL17	S 1 PD12OCT17	2841.00	3301.00	USD	-11,500.00	
12JUL17	S 1 PD12OCT17	2843.00	3301.00	USD	-11,450.00	
12JUL17	S 1 PD12OCT17	2845.50	3301.00	USD	-11,387.50	
12JUL17	S 2 PD12OCT17	2859.50	3301.00	USD	-22,075.00	
12JUL17	S 2 PD12OCT17	2862.00	3301.00	USD	-21,950.00	
12JUL17	S 1 PD12OCT17	2872.00	3301.00	USD	-10,725.00	
12JUL17	B 1 PD12OCT17	2853.50	3301.00	USD	11,187.50	
12JUL17	B 1 PD12OCT17	2850.50	3301.00	USD	11,262.50	
12JUL17	B 1 PD12OCT17	2851.50	3301.00	USD	11,237.50	
12JUL17	B 2 PD12OCT17	2849.00	3301.00	USD	22,600.00	
12JUL17	B 2 PD12OCT17	2843.00	3301.00	USD	22,900.00	
12JUL17	B 1 PD12OCT17	2845.00	3301.00	USD	11,400.00	
12JUL17	B 2 PD12OCT17	2834.00	3301.00	USD	23,350.00	
12JUL17	S 1 PD12OCT17	2829.50	3301.00	USD	-11,787.50	
12JUL17	S 1 PD12OCT17	2858.00	3301.00	USD	-11,075.00	
12JUL17	B 1 PD12OCT17	2871.00	3301.00	USD	10,750.00	
12JUL17	B 1 PD12OCT17	2845.50	3301.00	USD	11,387.50	
12JUL17	S 1 PD12OCT17	2855.50	3301.00	USD	-11,137.50	
12JUL17	S 2 PD12OCT17	2857.00	3301.00	USD	-22,200.00	
12JUL17	S 3 PD12OCT17	2858.00	3301.00	USD	-33,225.00	
12JUL17	B 1 PD12OCT17	2856.50	3301.00	USD	11,112.50	
12JUL17	B 1 PD12OCT17	2848.00	3301.00	USD	11,325.00	
12JUL17	B 2 PD12OCT17	2848.50	3301.00	USD	22,625.00	
12JUL17	B 3 PD12OCT17	2847.00	3301.00	USD	34,050.00	
12JUL17	B 3 PD12OCT17	2846.50	3301.00	USD	34,087.50	
12JUL17	B 2 PD12OCT17	2844.50	3301.00	USD	22,825.00	
12JUL17	B 1 PD12OCT17	2849.50	3301.00	USD	11,287.50	
12JUL17	B 1 PD12OCT17	2835.50	3301.00	USD	11,637.50	
12JUL17	B 2 PD12OCT17	2832.50	3301.00	USD	23,425.00	
12JUL17	S 1 PD12OCT17	2834.50	3301.00	USD	-11,662.50	
12JUL17	S 1 PD12OCT17	2838.50	3301.00	USD	-11,562.50	
12JUL17	B 1 PD12OCT17	2837.50	3301.00	USD	11,587.50	
12JUL17	B 5 PD12OCT17	2834.50	3301.00	USD	58,312.50	
12JUL17	S 3 PD12OCT17	2831.00	3301.00	USD	-35,250.00	
12JUL17	S 1 PD12OCT17	2833.50	3301.00	USD	-11,687.50	
12JUL17	S 4 PD12OCT17	2835.50	3301.00	USD	-46,550.00	
12JUL17	S 4 PD12OCT17	2836.50	3301.00	USD	-46,450.00	
12JUL17	S 1 PD12OCT17	2840.50	3301.00	USD	-11,512.50	
12JUL17	S 1 PD12OCT17	2835.00	3301.00	USD	-11,650.00	
12JUL17	B 5 PD12OCT17	2830.00	3301.00	USD	58,875.00	
12JUL17	B 1 PD12OCT17	2827.50	3301.00	USD	11,837.50	
12JUL17	B 2 PD12OCT17	2829.00	3301.00	USD	23,600.00	
12JUL17	B 2 PD12OCT17	2828.00	3301.00	USD	23,650.00	
12JUL17	B 1 PD12OCT17	2827.00	3301.00	USD	11,850.00	
12JUL17	B 1 PD12OCT17	2826.50	3301.00	USD	11,862.50	
12JUL17	B 3 PD12OCT17	2823.50	3301.00	USD	35,812.50	
12JUL17	B 1 PD12OCT17	2823.00	3301.00	USD	11,950.00	
12JUL17	B 2 PD12OCT17	2820.50	3301.00	USD	24,025.00	
12JUL17	S 1 PD12OCT17	2820.00	3301.00	USD	-12,025.00	
12JUL17	S 1 PD12OCT17	2819.00	3301.00	USD	-12,050.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
12JUL17	B 2 PD12OCT17	2819.50	3301.00	USD	24,075.00	
12JUL17	S 2 PD12OCT17	2819.50	3301.00	USD	-24,075.00	
12JUL17	S 1 PD12OCT17	2821.50	3301.00	USD	-11,987.50	
12JUL17	S 1 PD12OCT17	2834.50	3301.00	USD	-11,662.50	
18JUL17	B 5 PD12OCT17	2792.86	3301.00	USD	63,517.50	
	NET 0 AVG BGHT 2831.88 SOLD 2836.92				**8,955.00**	**0.00**
13JUL17	S 1 PD13OCT17	2830.50	3297.88	USD	-11,684.50	
13JUL17	S 2 PD13OCT17	2831.00	3297.88	USD	-23,344.00	
13JUL17	S 2 PD13OCT17	2829.00	3297.88	USD	-23,444.00	
13JUL17	S 1 PD13OCT17	2826.00	3297.88	USD	-11,797.00	
13JUL17	S 2 PD13OCT17	2826.50	3297.88	USD	-23,569.00	
13JUL17	B 1 PD13OCT17	2827.50	3297.88	USD	11,759.50	
13JUL17	S 1 PD13OCT17	2828.50	3297.88	USD	-11,734.50	
13JUL17	S 1 PD13OCT17	2833.50	3297.88	USD	-11,609.50	
13JUL17	S 1 PD13OCT17	2834.50	3297.88	USD	-11,584.50	
13JUL17	B 2 PD13OCT17	2841.50	3297.88	USD	22,819.00	
13JUL17	S 1 PD13OCT17	2838.50	3297.88	USD	-11,484.50	
13JUL17	S 3 PD13OCT17	2839.00	3297.88	USD	-34,416.00	
13JUL17	S 1 PD13OCT17	2840.00	3297.88	USD	-11,447.00	
13JUL17	S 2 PD13OCT17	2841.00	3297.88	USD	-22,844.00	
13JUL17	S 1 PD13OCT17	2841.50	3297.88	USD	-11,409.50	
13JUL17	S 3 PD13OCT17	2842.50	3297.88	USD	-34,153.50	
13JUL17	S 1 PD13OCT17	2843.00	3297.88	USD	-11,372.00	
13JUL17	B 1 PD13OCT17	2838.50	3297.88	USD	11,484.50	
13JUL17	B 1 PD13OCT17	2839.00	3297.88	USD	11,472.00	
13JUL17	S 1 PD13OCT17	2833.00	3297.88	USD	-11,622.00	
13JUL17	S 1 PD13OCT17	2839.50	3297.88	USD	-11,459.50	
13JUL17	S 1 PD13OCT17	2844.00	3297.88	USD	-11,347.00	
13JUL17	S 2 PD13OCT17	2845.50	3297.88	USD	-22,619.00	
13JUL17	S 1 PD13OCT17	2846.50	3297.88	USD	-11,284.50	
13JUL17	B 1 PD13OCT17	2833.50	3297.88	USD	11,609.50	
13JUL17	B 4 PD13OCT17	2840.00	3297.88	USD	45,788.00	
13JUL17	B 1 PD13OCT17	2835.50	3297.88	USD	11,559.50	
13JUL17	B 1 PD13OCT17	2832.00	3297.88	USD	11,647.00	
13JUL17	S 1 PD13OCT17	2835.50	3297.88	USD	-11,559.50	
13JUL17	S 2 PD13OCT17	2836.00	3297.88	USD	-23,094.00	
13JUL17	S 1 PD13OCT17	2838.00	3297.88	USD	-11,497.00	
13JUL17	B 1 PD13OCT17	2837.00	3297.88	USD	11,522.00	
13JUL17	B 3 PD13OCT17	2833.00	3297.88	USD	34,866.00	
13JUL17	B 2 PD13OCT17	2832.50	3297.88	USD	23,269.00	
13JUL17	B 4 PD13OCT17	2831.50	3297.88	USD	46,638.00	
13JUL17	S 1 PD13OCT17	2833.00	3297.88	USD	-11,622.00	
13JUL17	S 1 PD13OCT17	2837.50	3297.88	USD	-11,509.50	
13JUL17	B 2 PD13OCT17	2829.50	3297.88	USD	23,419.00	
13JUL17	B 3 PD13OCT17	2828.50	3297.88	USD	35,203.50	
13JUL17	B 3 PD13OCT17	2828.00	3297.88	USD	35,241.00	
13JUL17	B 2 PD13OCT17	2826.00	3297.88	USD	23,594.00	
13JUL17	B 3 PD13OCT17	2827.00	3297.88	USD	35,316.00	
13JUL17	B 2 PD13OCT17	2830.50	3297.88	USD	23,369.00	
13JUL17	S 2 PD13OCT17	2834.00	3297.88	USD	-23,194.00	
13JUL17	B 6 PD13OCT17	2831.00	3297.88	USD	70,032.00	
13JUL17	B 4 PD13OCT17	2830.00	3297.88	USD	46,788.00	
13JUL17	B 8 PD13OCT17	2829.00	3297.88	USD	93,776.00	
13JUL17	B 2 PD13OCT17	2825.00	3297.88	USD	23,644.00	
13JUL17	S 1 PD13OCT17	2824.00	3297.88	USD	-11,847.00	
13JUL17	S 1 PD13OCT17	2823.00	3297.88	USD	-11,872.00	
13JUL17	S 3 PD13OCT17	2824.50	3297.88	USD	-35,503.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
13JUL17	S 2 PD13OCT17	2825.00	3297.88	USD	-23,644.00	
13JUL17	S 2 PD13OCT17	2825.50	3297.88	USD	-23,619.00	
13JUL17	B 1 PD13OCT17	2817.50	3297.88	USD	12,009.50	
13JUL17	B 2 PD13OCT17	2816.50	3297.88	USD	24,069.00	
13JUL17	B 1 PD13OCT17	2815.00	3297.88	USD	12,072.00	
13JUL17	B 1 PD13OCT17	2813.50	3297.88	USD	12,109.50	
13JUL17	B 1 PD13OCT17	2812.50	3297.88	USD	12,134.50	
13JUL17	B 1 PD13OCT17	2811.00	3297.88	USD	12,172.00	
13JUL17	B 1 PD13OCT17	2810.00	3297.88	USD	12,197.00	
13JUL17	B 1 PD13OCT17	2809.00	3297.88	USD	12,222.00	
13JUL17	B 3 PD13OCT17	2809.50	3297.88	USD	36,628.50	
13JUL17	B 2 PD13OCT17	2808.00	3297.88	USD	24,494.00	
13JUL17	B 2 PD13OCT17	2805.50	3297.88	USD	24,619.00	
13JUL17	B 1 PD13OCT17	2805.00	3297.88	USD	12,322.00	
13JUL17	B 2 PD13OCT17	2804.50	3297.88	USD	24,669.00	
13JUL17	B 1 PD13OCT17	2803.50	3297.88	USD	12,359.50	
13JUL17	B 1 PD13OCT17	2799.50	3297.88	USD	12,459.50	
13JUL17	B 1 PD13OCT17	2800.50	3297.88	USD	12,434.50	
13JUL17	S 1 PD13OCT17	2806.50	3297.88	USD	-12,284.50	
13JUL17	S 1 PD13OCT17	2805.00	3297.88	USD	-12,322.00	
13JUL17	S 1 PD13OCT17	2805.50	3297.88	USD	-12,309.50	
13JUL17	B 2 PD13OCT17	2800.00	3297.88	USD	24,894.00	
13JUL17	B 1 PD13OCT17	2801.00	3297.88	USD	12,422.00	
13JUL17	S 4 PD13OCT17	2798.00	3297.88	USD	-49,988.00	
13JUL17	S 1 PD13OCT17	2808.50	3297.88	USD	-12,234.50	
14JUL17	S 1 PD13OCT17	2787.00	3297.88	USD	-12,772.00	
14JUL17	S 4 PD13OCT17	2785.00	3297.88	USD	-51,288.00	
14JUL17	B 1 PD13OCT17	2792.50	3297.88	USD	12,634.50	
14JUL17	B 1 PD13OCT17	2794.00	3297.88	USD	12,597.00	
14JUL17	S 1 PD13OCT17	2791.00	3297.88	USD	-12,672.00	
14JUL17	B 4 PD13OCT17	2788.50	3297.88	USD	50,938.00	
14JUL17	B 2 PD13OCT17	2789.00	3297.88	USD	25,444.00	
14JUL17	S 4 PD13OCT17	2793.00	3297.88	USD	-50,488.00	
14JUL17	S 12 PD13OCT17	2793.50	3297.88	USD	-151,314.00	
14JUL17	S 2 PD13OCT17	2794.50	3297.88	USD	-25,169.00	
14JUL17	S 8 PD13OCT17	2794.00	3297.88	USD	-100,776.00	
14JUL17	S 4 PD13OCT17	2795.00	3297.88	USD	-50,288.00	
14JUL17	S 2 PD13OCT17	2796.50	3297.88	USD	-25,069.00	
14JUL17	S 1 PD13OCT17	2801.00	3297.88	USD	-12,422.00	
14JUL17	S 1 PD13OCT17	2800.50	3297.88	USD	-12,434.50	
14JUL17	S 2 PD13OCT17	2800.00	3297.88	USD	-24,894.00	
14JUL17	B 5 PD13OCT17	2792.00	3297.88	USD	63,235.00	
14JUL17	B 5 PD13OCT17	2788.00	3297.88	USD	63,735.00	
14JUL17	B 2 PD13OCT17	2787.00	3297.88	USD	25,544.00	
14JUL17	S 3 PD13OCT17	2780.00	3297.88	USD	-38,841.00	
14JUL17	B 1 PD13OCT17	2778.00	3297.88	USD	12,997.00	
14JUL17	B 2 PD13OCT17	2777.00	3297.88	USD	26,044.00	
14JUL17	B 1 PD13OCT17	2775.00	3297.88	USD	13,072.00	
14JUL17	B 2 PD13OCT17	2774.00	3297.88	USD	26,194.00	
14JUL17	B 4 PD13OCT17	2773.50	3297.88	USD	52,438.00	
14JUL17	B 2 PD13OCT17	2771.50	3297.88	USD	26,319.00	
14JUL17	B 1 PD13OCT17	2769.00	3297.88	USD	13,222.00	
14JUL17	B 1 PD13OCT17	2768.50	3297.88	USD	13,234.50	
14JUL17	B 1 PD13OCT17	2770.00	3297.88	USD	13,197.00	
14JUL17	B 1 PD13OCT17	2772.50	3297.88	USD	13,134.50	
14JUL17	B 2 PD13OCT17	2769.50	3297.88	USD	26,419.00	
14JUL17	B 1 PD13OCT17	2764.50	3297.88	USD	13,334.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
14JUL17	B 1 PD13OCT17	2767.50	3297.88	USD	13,259.50	
14JUL17	S 1 PD13OCT17	2773.00	3297.88	USD	-13,122.00	
14JUL17	S 1 PD13OCT17	2773.50	3297.88	USD	-13,109.50	
14JUL17	S 2 PD13OCT17	2775.00	3297.88	USD	-26,144.00	
14JUL17	B 1 PD13OCT17	2767.00	3297.88	USD	13,272.00	
14JUL17	B 3 PD13OCT17	2766.00	3297.88	USD	39,891.00	
14JUL17	B 1 PD13OCT17	2765.50	3297.88	USD	13,309.50	
14JUL17	B 1 PD13OCT17	2765.00	3297.88	USD	13,322.00	
14JUL17	S 1 PD13OCT17	2764.50	3297.88	USD	-13,334.50	
14JUL17	B 1 PD13OCT17	2760.00	3297.88	USD	13,447.00	
14JUL17	S 1 PD13OCT17	2761.50	3297.88	USD	-13,409.50	
14JUL17	S 1 PD13OCT17	2761.00	3297.88	USD	-13,422.00	
14JUL17	B 1 PD13OCT17	2753.50	3297.88	USD	13,609.50	
14JUL17	B 1 PD13OCT17	2762.00	3297.88	USD	13,397.00	
14JUL17	S 1 PD13OCT17	2770.00	3297.88	USD	-13,197.00	
14JUL17	S 1 PD13OCT17	2770.50	3297.88	USD	-13,184.50	
14JUL17	S 1 PD13OCT17	2771.00	3297.88	USD	-13,172.00	
14JUL17	S 3 PD13OCT17	2772.00	3297.88	USD	-39,441.00	
14JUL17	S 3 PD13OCT17	2787.00	3297.88	USD	-38,316.00	
14JUL17	B 1 PD13OCT17	2773.00	3297.88	USD	13,122.00	
14JUL17	B 1 PD13OCT17	2769.50	3297.88	USD	13,209.50	
14JUL17	B 1 PD13OCT17	2767.00	3297.88	USD	13,272.00	
14JUL17	S 1 PD13OCT17	2773.00	3297.88	USD	-13,122.00	
14JUL17	S 1 PD13OCT17	2777.00	3297.88	USD	-13,022.00	
14JUL17	B 3 PD13OCT17	2772.00	3297.88	USD	39,441.00	
14JUL17	B 4 PD13OCT17	2773.00	3297.88	USD	52,488.00	
14JUL17	S 2 PD13OCT17	2778.00	3297.88	USD	-25,994.00	
14JUL17	S 1 PD13OCT17	2782.00	3297.88	USD	-12,897.00	
14JUL17	S 1 PD13OCT17	2781.00	3297.88	USD	-12,922.00	
14JUL17	S 1 PD13OCT17	2780.50	3297.88	USD	-12,934.50	
14JUL17	S 5 PD13OCT17	2783.00	3297.88	USD	-64,360.00	
14JUL17	B 1 PD13OCT17	2780.00	3297.88	USD	12,947.00	
14JUL17	S 3 PD13OCT17	2783.50	3297.88	USD	-38,578.50	
14JUL17	S 4 PD13OCT17	2781.50	3297.88	USD	-51,638.00	
14JUL17	S 4 PD13OCT17	2782.50	3297.88	USD	-51,538.00	
14JUL17	S 4 PD13OCT17	2784.00	3297.88	USD	-51,388.00	
14JUL17	S 1 PD13OCT17	2785.50	3297.88	USD	-12,809.50	
14JUL17	S 1 PD13OCT17	2789.00	3297.88	USD	-12,722.00	
14JUL17	S 2 PD13OCT17	2787.50	3297.88	USD	-25,519.00	
14JUL17	S 3 PD13OCT17	2788.50	3297.88	USD	-38,203.50	
14JUL17	S 2 PD13OCT17	2790.50	3297.88	USD	-25,369.00	
14JUL17	S 2 PD13OCT17	2791.50	3297.88	USD	-25,319.00	
14JUL17	S 3 PD13OCT17	2792.50	3297.88	USD	-37,903.50	
14JUL17	B 1 PD13OCT17	2783.00	3297.88	USD	12,872.00	
14JUL17	S 5 PD13OCT17	2784.50	3297.88	USD	-64,172.50	
14JUL17	B 11 PD13OCT17	2785.50	3297.88	USD	140,904.50	
14JUL17	B 6 PD13OCT17	2784.50	3297.88	USD	77,007.00	
14JUL17	B 2 PD13OCT17	2785.00	3297.88	USD	25,644.00	
14JUL17	B 10 PD13OCT17	2786.00	3297.88	USD	127,970.00	
14JUL17	B 4 PD13OCT17	2786.50	3297.88	USD	51,138.00	
14JUL17	S 1 PD13OCT17	2784.00	3297.88	USD	-12,847.00	
14JUL17	S 5 PD13OCT17	2792.00	3297.88	USD	-63,235.00	
18JUL17	S 9 PD13OCT17	2792.85	3297.88	USD	-113,631.75	
	NET 0 AVG BGHT 2800.53 SOLD 2799.99				**-2,371.25**	**0.00**
17JUL17	S 2 PD17OCT17	2820.00	3291.63	USD	-23,581.50	
17JUL17	B 1 PD17OCT17	2813.50	3291.63	USD	11,953.25	
17JUL17	S 1 PD17OCT17	2821.00	3291.63	USD	-11,765.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
17JUL17	S 1 PD17OCT17	2826.00	3291.63	USD	-11,640.75	
17JUL17	S 2 PD17OCT17	2815.00	3291.63	USD	-23,831.50	
17JUL17	B 2 PD17OCT17	2814.50	3291.63	USD	23,856.50	
17JUL17	S 2 PD17OCT17	2821.50	3291.63	USD	-23,506.50	
17JUL17	S 1 PD17OCT17	2826.50	3291.63	USD	-11,628.25	
17JUL17	S 7 PD17OCT17	2814.00	3291.63	USD	-83,585.25	
17JUL17	S 5 PD17OCT17	2824.50	3291.63	USD	-58,391.25	
17JUL17	S 3 PD17OCT17	2825.50	3291.63	USD	-34,959.75	
17JUL17	S 1 PD17OCT17	2830.50	3291.63	USD	-11,528.25	
17JUL17	S 1 PD17OCT17	2831.50	3291.63	USD	-11,503.25	
17JUL17	S 1 PD17OCT17	2833.50	3291.63	USD	-11,453.25	
17JUL17	S 4 PD17OCT17	2832.00	3291.63	USD	-45,963.00	
17JUL17	S 1 PD17OCT17	2835.50	3291.63	USD	-11,403.25	
17JUL17	B 1 PD17OCT17	2830.50	3291.63	USD	11,528.25	
17JUL17	B 2 PD17OCT17	2828.00	3291.63	USD	23,181.50	
17JUL17	B 1 PD17OCT17	2828.50	3291.63	USD	11,578.25	
17JUL17	S 1 PD17OCT17	2824.00	3291.63	USD	-11,690.75	
17JUL17	B 3 PD17OCT17	2821.50	3291.63	USD	35,259.75	
17JUL17	B 1 PD17OCT17	2820.00	3291.63	USD	11,790.75	
17JUL17	B 1 PD17OCT17	2810.00	3291.63	USD	12,040.75	
17JUL17	B 5 PD17OCT17	2816.00	3291.63	USD	59,453.75	
17JUL17	S 3 PD17OCT17	2823.50	3291.63	USD	-35,109.75	
17JUL17	S 5 PD17OCT17	2829.00	3291.63	USD	-57,828.75	
17JUL17	S 5 PD17OCT17	2829.50	3291.63	USD	-57,766.25	
17JUL17	S 1 PD17OCT17	2830.00	3291.63	USD	-11,540.75	
17JUL17	S 3 PD17OCT17	2834.00	3291.63	USD	-34,322.25	
17JUL17	S 1 PD17OCT17	2838.00	3291.63	USD	-11,340.75	
17JUL17	S 2 PD17OCT17	2839.00	3291.63	USD	-22,631.50	
17JUL17	S 1 PD17OCT17	2841.00	3291.63	USD	-11,265.75	
17JUL17	S 2 PD17OCT17	2842.00	3291.63	USD	-22,481.50	
17JUL17	S 4 PD17OCT17	2840.00	3291.63	USD	-45,163.00	
17JUL17	S 6 PD17OCT17	2843.00	3291.63	USD	-67,294.50	
17JUL17	S 3 PD17OCT17	2843.50	3291.63	USD	-33,609.75	
17JUL17	S 1 PD17OCT17	2846.50	3291.63	USD	-11,128.25	
17JUL17	B 2 PD17OCT17	2827.00	3291.63	USD	23,231.50	
17JUL17	B 1 PD17OCT17	2824.00	3291.63	USD	11,690.75	
17JUL17	S 1 PD17OCT17	2814.00	3291.63	USD	-11,940.75	
17JUL17	S 3 PD17OCT17	2815.50	3291.63	USD	-35,709.75	
17JUL17	S 1 PD17OCT17	2824.00	3291.63	USD	-11,690.75	
17JUL17	S 1 PD17OCT17	2811.50	3291.63	USD	-12,003.25	
17JUL17	S 6 PD17OCT17	2831.00	3291.63	USD	-69,094.50	
17JUL17	S 4 PD17OCT17	2835.00	3291.63	USD	-45,663.00	
17JUL17	B 4 PD17OCT17	2817.00	3291.63	USD	47,463.00	
17JUL17	B 3 PD17OCT17	2816.50	3291.63	USD	35,634.75	
17JUL17	B 6 PD17OCT17	2815.50	3291.63	USD	71,419.50	
17JUL17	B 6 PD17OCT17	2813.00	3291.63	USD	71,794.50	
17JUL17	B 4 PD17OCT17	2818.50	3291.63	USD	47,313.00	
17JUL17	B 3 PD17OCT17	2818.00	3291.63	USD	35,522.25	
17JUL17	B 3 PD17OCT17	2817.50	3291.63	USD	35,559.75	
17JUL17	B 7 PD17OCT17	2819.00	3291.63	USD	82,710.25	
17JUL17	B 7 PD17OCT17	2820.50	3291.63	USD	82,447.75	
17JUL17	B 6 PD17OCT17	2819.50	3291.63	USD	70,819.50	
17JUL17	B 1 PD17OCT17	2822.00	3291.63	USD	11,740.75	
17JUL17	B 2 PD17OCT17	2822.50	3291.63	USD	23,456.50	
17JUL17	B 6 PD17OCT17	2821.00	3291.63	USD	70,594.50	
19JUL17	B 4 PD17OCT17	2747.54	3291.63	USD	54,409.00	
24JUL17	B 4 PD17OCT17	2788.86	3291.63	USD	50,277.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
	NET	**0**	**AVG BGHT 2814.08 SOLD 2829.30**				**32,710.00**	**0.00**
18JUL17	S	4	PD18OCT17	2818.50	3288.50	USD	-47,000.00	
18JUL17	S	1	PD18OCT17	2822.50	3288.50	USD	-11,650.00	
18JUL17	S	3	PD18OCT17	2825.00	3288.50	USD	-34,762.50	
18JUL17	S	2	PD18OCT17	2815.00	3288.50	USD	-23,675.00	
18JUL17	S	1	PD18OCT17	2815.50	3288.50	USD	-11,825.00	
18JUL17	B	1	PD18OCT17	2805.00	3288.50	USD	12,087.50	
18JUL17	B	1	PD18OCT17	2799.50	3288.50	USD	12,225.00	
18JUL17	B	1	PD18OCT17	2801.00	3288.50	USD	12,187.50	
18JUL17	B	2	PD18OCT17	2798.50	3288.50	USD	24,500.00	
18JUL17	B	1	PD18OCT17	2799.00	3288.50	USD	12,237.50	
18JUL17	B	2	PD18OCT17	2791.00	3288.50	USD	24,875.00	
18JUL17	B	2	PD18OCT17	2792.00	3288.50	USD	24,825.00	
18JUL17	B	1	PD18OCT17	2790.50	3288.50	USD	12,450.00	
18JUL17	B	9	PD18OCT17	2791.50	3288.50	USD	111,825.00	
18JUL17	S	1	PD18OCT17	2799.00	3288.50	USD	-12,237.50	
18JUL17	S	1	PD18OCT17	2800.00	3288.50	USD	-12,212.50	
18JUL17	S	2	PD18OCT17	2801.50	3288.50	USD	-24,350.00	
18JUL17	S	4	PD18OCT17	2802.00	3288.50	USD	-48,650.00	
18JUL17	S	1	PD18OCT17	2797.50	3288.50	USD	-12,275.00	
18JUL17	B	1	PD18OCT17	2794.00	3288.50	USD	12,362.50	
18JUL17	S	1	PD18OCT17	2795.50	3288.50	USD	-12,325.00	
18JUL17	B	1	PD18OCT17	2796.00	3288.50	USD	12,312.50	
18JUL17	B	2	PD18OCT17	2795.00	3288.50	USD	24,675.00	
18JUL17	B	5	PD18OCT17	2792.50	3288.50	USD	62,000.00	
18JUL17	S	5	PD18OCT17	2802.50	3288.50	USD	-60,750.00	
18JUL17	S	9	PD18OCT17	2803.50	3288.50	USD	-109,125.00	
18JUL17	S	1	PD18OCT17	2804.50	3288.50	USD	-12,100.00	
18JUL17	S	2	PD18OCT17	2800.50	3288.50	USD	-24,400.00	
18JUL17	B	1	PD18OCT17	2796.50	3288.50	USD	12,300.00	
18JUL17	B	2	PD18OCT17	2800.50	3288.50	USD	24,400.00	
18JUL17	B	10	PD18OCT17	2800.00	3288.50	USD	122,125.00	
18JUL17	B	3	PD18OCT17	2797.00	3288.50	USD	36,862.50	
18JUL17	B	3	PD18OCT17	2795.50	3288.50	USD	36,975.00	
18JUL17	B	2	PD18OCT17	2793.50	3288.50	USD	24,750.00	
18JUL17	B	3	PD18OCT17	2793.00	3288.50	USD	37,162.50	
18JUL17	B	1	PD18OCT17	2790.00	3288.50	USD	12,462.50	
18JUL17	B	2	PD18OCT17	2789.00	3288.50	USD	24,975.00	
18JUL17	B	1	PD18OCT17	2787.50	3288.50	USD	12,525.00	
18JUL17	B	1	PD18OCT17	2786.50	3288.50	USD	12,550.00	
18JUL17	B	2	PD18OCT17	2785.50	3288.50	USD	25,150.00	
18JUL17	B	1	PD18OCT17	2784.50	3288.50	USD	12,600.00	
18JUL17	B	1	PD18OCT17	2778.00	3288.50	USD	12,762.50	
18JUL17	B	1	PD18OCT17	2778.50	3288.50	USD	12,750.00	
18JUL17	B	1	PD18OCT17	2777.50	3288.50	USD	12,775.00	
18JUL17	B	1	PD18OCT17	2774.00	3288.50	USD	12,862.50	
18JUL17	B	1	PD18OCT17	2810.00	3288.50	USD	11,962.50	
18JUL17	B	1	PD18OCT17	2809.50	3288.50	USD	11,975.00	
18JUL17	B	1	PD18OCT17	2798.00	3288.50	USD	12,262.50	
18JUL17	B	3	PD18OCT17	2793.50	3288.50	USD	37,125.00	
18JUL17	S	2	PD18OCT17	2776.50	3288.50	USD	-25,600.00	
18JUL17	S	1	PD18OCT17	2779.50	3288.50	USD	-12,725.00	
18JUL17	S	1	PD18OCT17	2780.50	3288.50	USD	-12,700.00	
18JUL17	S	1	PD18OCT17	2783.50	3288.50	USD	-12,625.00	
18JUL17	S	1	PD18OCT17	2788.50	3288.50	USD	-12,500.00	
18JUL17	S	1	PD18OCT17	2783.00	3288.50	USD	-12,637.50	
18JUL17	S	3	PD18OCT17	2784.00	3288.50	USD	-37,837.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
18JUL17	B 1 PD18OCT17	2784.00	3288.50	USD	12,612.50	
18JUL17	S 3 PD18OCT17	2792.00	3288.50	USD	-37,237.50	
18JUL17	S 3 PD18OCT17	2787.50	3288.50	USD	-37,575.00	
18JUL17	S 3 PD18OCT17	2786.50	3288.50	USD	-37,650.00	
18JUL17	S 1 PD18OCT17	2790.00	3288.50	USD	-12,462.50	
18JUL17	S 1 PD18OCT17	2790.50	3288.50	USD	-12,450.00	
18JUL17	B 2 PD18OCT17	2789.50	3288.50	USD	24,950.00	
18JUL17	S 1 PD18OCT17	2791.00	3288.50	USD	-12,437.50	
18JUL17	S 3 PD18OCT17	2787.00	3288.50	USD	-37,612.50	
18JUL17	B 7 PD18OCT17	2779.00	3288.50	USD	89,162.50	
18JUL17	B 2 PD18OCT17	2775.50	3288.50	USD	25,650.00	
18JUL17	S 1 PD18OCT17	2786.00	3288.50	USD	-12,562.50	
18JUL17	S 10 PD18OCT17	2788.00	3288.50	USD	-125,125.00	
18JUL17	S 4 PD18OCT17	2784.50	3288.50	USD	-50,400.00	
18JUL17	B 1 PD18OCT17	2780.00	3288.50	USD	12,712.50	
18JUL17	S 1 PD18OCT17	2784.00	3288.50	USD	-12,612.50	
18JUL17	S 2 PD18OCT17	2791.50	3288.50	USD	-24,850.00	
18JUL17	S 1 PD18OCT17	2792.50	3288.50	USD	-12,400.00	
18JUL17	S 1 PD18OCT17	2793.50	3288.50	USD	-12,375.00	
18JUL17	B 3 PD18OCT17	2794.50	3288.50	USD	37,050.00	
18JUL17	B 1 PD18OCT17	2793.00	3288.50	USD	12,387.50	
19JUL17	S 5 PD18OCT17	2747.55	3288.50	USD	-67,618.75	
	NET 0 AVG BGHT 2791.95 SOLD 2793.35				**3,068.75**	**0.00**
19JUL17	S 1 PD19OCT17	2779.00	3285.50	USD	-12,662.50	
19JUL17	S 1 PD19OCT17	2779.50	3285.50	USD	-12,650.00	
19JUL17	S 1 PD19OCT17	2781.00	3285.50	USD	-12,612.50	
19JUL17	S 2 PD19OCT17	2784.00	3285.50	USD	-25,075.00	
19JUL17	S 1 PD19OCT17	2784.50	3285.50	USD	-12,525.00	
19JUL17	S 2 PD19OCT17	2787.00	3285.50	USD	-24,925.00	
19JUL17	S 2 PD19OCT17	2789.00	3285.50	USD	-24,825.00	
19JUL17	S 1 PD19OCT17	2790.50	3285.50	USD	-12,375.00	
19JUL17	S 9 PD19OCT17	2789.50	3285.50	USD	-111,600.00	
19JUL17	S 1 PD19OCT17	2781.50	3285.50	USD	-12,600.00	
19JUL17	S 2 PD19OCT17	2782.50	3285.50	USD	-25,150.00	
19JUL17	S 3 PD19OCT17	2783.00	3285.50	USD	-37,687.50	
19JUL17	S 2 PD19OCT17	2796.50	3285.50	USD	-24,450.00	
19JUL17	B 1 PD19OCT17	2791.00	3285.50	USD	12,362.50	
19JUL17	S 1 PD19OCT17	2795.50	3285.50	USD	-12,250.00	
19JUL17	S 4 PD19OCT17	2796.00	3285.50	USD	-48,950.00	
19JUL17	B 1 PD19OCT17	2789.50	3285.50	USD	12,400.00	
19JUL17	B 1 PD19OCT17	2783.00	3285.50	USD	12,562.50	
19JUL17	B 3 PD19OCT17	2781.50	3285.50	USD	37,800.00	
19JUL17	B 2 PD19OCT17	2781.00	3285.50	USD	25,225.00	
19JUL17	B 2 PD19OCT17	2782.00	3285.50	USD	25,175.00	
19JUL17	B 3 PD19OCT17	2780.50	3285.50	USD	37,875.00	
19JUL17	B 2 PD19OCT17	2779.00	3285.50	USD	25,325.00	
19JUL17	B 4 PD19OCT17	2779.50	3285.50	USD	50,600.00	
19JUL17	B 3 PD19OCT17	2778.50	3285.50	USD	38,025.00	
19JUL17	B 4 PD19OCT17	2778.00	3285.50	USD	50,750.00	
19JUL17	B 1 PD19OCT17	2782.50	3285.50	USD	12,575.00	
19JUL17	B 1 PD19OCT17	2784.00	3285.50	USD	12,537.50	
19JUL17	B 5 PD19OCT17	2780.00	3285.50	USD	63,187.50	
19JUL17	B 2 PD19OCT17	2772.50	3285.50	USD	25,650.00	
19JUL17	B 1 PD19OCT17	2775.50	3285.50	USD	12,750.00	
19JUL17	B 1 PD19OCT17	2774.50	3285.50	USD	12,775.00	
19JUL17	B 2 PD19OCT17	2773.50	3285.50	USD	25,600.00	
19JUL17	B 4 PD19OCT17	2775.00	3285.50	USD	51,050.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
19JUL17	B 3 PD19OCT17	2773.00	3285.50	USD	38,437.50	
19JUL17	S 1 PD19OCT17	2783.00	3285.50	USD	-12,562.50	
19JUL17	B 2 PD19OCT17	2781.00	3285.50	USD	25,225.00	
19JUL17	B 1 PD19OCT17	2780.50	3285.50	USD	12,625.00	
19JUL17	S 1 PD19OCT17	2766.00	3285.50	USD	-12,987.50	
19JUL17	S 2 PD19OCT17	2777.50	3285.50	USD	-25,400.00	
19JUL17	B 1 PD19OCT17	2765.00	3285.50	USD	13,012.50	
19JUL17	B 2 PD19OCT17	2767.50	3285.50	USD	25,900.00	
19JUL17	S 1 PD19OCT17	2767.50	3285.50	USD	-12,950.00	
19JUL17	B 1 PD19OCT17	2760.50	3285.50	USD	13,125.00	
19JUL17	B 2 PD19OCT17	2751.50	3285.50	USD	26,700.00	
19JUL17	B 1 PD19OCT17	2753.00	3285.50	USD	13,312.50	
19JUL17	S 1 PD19OCT17	2758.50	3285.50	USD	-13,175.00	
19JUL17	S 1 PD19OCT17	2760.00	3285.50	USD	-13,137.50	
19JUL17	S 1 PD19OCT17	2762.00	3285.50	USD	-13,087.50	
19JUL17	S 1 PD19OCT17	2763.00	3285.50	USD	-13,062.50	
19JUL17	S 1 PD19OCT17	2763.50	3285.50	USD	-13,050.00	
19JUL17	S 1 PD19OCT17	2764.00	3285.50	USD	-13,037.50	
19JUL17	S 1 PD19OCT17	2765.00	3285.50	USD	-13,012.50	
19JUL17	B 3 PD19OCT17	2758.00	3285.50	USD	39,562.50	
19JUL17	S 1 PD19OCT17	2749.50	3285.50	USD	-13,400.00	
19JUL17	B 2 PD19OCT17	2767.50	3285.50	USD	25,900.00	
19JUL17	B 1 PD19OCT17	2766.00	3285.50	USD	12,987.50	
19JUL17	B 1 PD19OCT17	2745.00	3285.50	USD	13,512.50	
19JUL17	B 1 PD19OCT17	2743.50	3285.50	USD	13,550.00	
19JUL17	B 2 PD19OCT17	2743.00	3285.50	USD	27,125.00	
19JUL17	B 1 PD19OCT17	2744.00	3285.50	USD	13,537.50	
19JUL17	S 2 PD19OCT17	2740.50	3285.50	USD	-27,250.00	
19JUL17	S 2 PD19OCT17	2738.50	3285.50	USD	-27,350.00	
19JUL17	S 2 PD19OCT17	2740.00	3285.50	USD	-27,275.00	
19JUL17	B 1 PD19OCT17	2738.00	3285.50	USD	13,687.50	
19JUL17	B 3 PD19OCT17	2737.50	3285.50	USD	41,100.00	
19JUL17	S 1 PD19OCT17	2734.50	3285.50	USD	-13,775.00	
19JUL17	S 3 PD19OCT17	2741.00	3285.50	USD	-40,837.50	
19JUL17	S 1 PD19OCT17	2734.50	3285.50	USD	-13,775.00	
24JUL17	S 10 PD19OCT17	2787.50	3285.50	USD	-124,500.00	
25JUL17	S 4 PD19OCT17	2823.00	3285.50	USD	-46,250.00	
	NET 0 AVG BGHT 2770.84 SOLD 2778.34				**13,312.50**	**0.00**
20JUL17	S 1 PD20OCT17	2741.50	3282.50	USD	-13,525.00	
20JUL17	S 1 PD20OCT17	2743.00	3282.50	USD	-13,487.50	
20JUL17	S 1 PD20OCT17	2740.50	3282.50	USD	-13,550.00	
20JUL17	S 4 PD20OCT17	2738.00	3282.50	USD	-54,450.00	
20JUL17	B 1 PD20OCT17	2733.00	3282.50	USD	13,737.50	
20JUL17	B 2 PD20OCT17	2737.50	3282.50	USD	27,250.00	
20JUL17	B 1 PD20OCT17	2736.50	3282.50	USD	13,650.00	
20JUL17	B 2 PD20OCT17	2735.00	3282.50	USD	27,375.00	
20JUL17	B 1 PD20OCT17	2735.50	3282.50	USD	13,675.00	
20JUL17	B 3 PD20OCT17	2733.50	3282.50	USD	41,175.00	
20JUL17	B 1 PD20OCT17	2729.00	3282.50	USD	13,837.50	
20JUL17	B 3 PD20OCT17	2731.00	3282.50	USD	41,362.50	
20JUL17	B 1 PD20OCT17	2728.00	3282.50	USD	13,862.50	
20JUL17	S 1 PD20OCT17	2732.00	3282.50	USD	-13,762.50	
20JUL17	S 1 PD20OCT17	2735.00	3282.50	USD	-13,687.50	
20JUL17	S 3 PD20OCT17	2736.50	3282.50	USD	-40,950.00	
20JUL17	S 1 PD20OCT17	2738.50	3282.50	USD	-13,600.00	
20JUL17	S 4 PD20OCT17	2740.00	3282.50	USD	-54,250.00	
20JUL17	S 1 PD20OCT17	2742.50	3282.50	USD	-13,500.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
20JUL17	S 3 PD20OCT17	2741.00	3282.50	USD	-40,612.50	
20JUL17	S 5 PD20OCT17	2743.50	3282.50	USD	-67,375.00	
20JUL17	S 4 PD20OCT17	2744.00	3282.50	USD	-53,850.00	
20JUL17	S 2 PD20OCT17	2744.50	3282.50	USD	-26,900.00	
20JUL17	S 1 PD20OCT17	2745.00	3282.50	USD	-13,437.50	
20JUL17	S 2 PD20OCT17	2745.50	3282.50	USD	-26,850.00	
20JUL17	S 1 PD20OCT17	2750.50	3282.50	USD	-13,300.00	
20JUL17	S 2 PD20OCT17	2751.50	3282.50	USD	-26,550.00	
20JUL17	S 5 PD20OCT17	2751.00	3282.50	USD	-66,437.50	
20JUL17	S 7 PD20OCT17	2752.00	3282.50	USD	-92,837.50	
20JUL17	S 1 PD20OCT17	2752.50	3282.50	USD	-13,250.00	
20JUL17	S 1 PD20OCT17	2754.50	3282.50	USD	-13,200.00	
20JUL17	S 1 PD20OCT17	2755.00	3282.50	USD	-13,187.50	
20JUL17	S 1 PD20OCT17	2756.00	3282.50	USD	-13,162.50	
20JUL17	S 1 PD20OCT17	2757.00	3282.50	USD	-13,137.50	
20JUL17	S 1 PD20OCT17	2755.50	3282.50	USD	-13,175.00	
20JUL17	B 1 PD20OCT17	2750.00	3282.50	USD	13,312.50	
20JUL17	B 1 PD20OCT17	2747.00	3282.50	USD	13,387.50	
20JUL17	B 5 PD20OCT17	2746.00	3282.50	USD	67,062.50	
20JUL17	B 3 PD20OCT17	2745.50	3282.50	USD	40,275.00	
20JUL17	S 2 PD20OCT17	2749.50	3282.50	USD	-26,650.00	
20JUL17	B 1 PD20OCT17	2745.00	3282.50	USD	13,437.50	
20JUL17	B 3 PD20OCT17	2738.50	3282.50	USD	40,800.00	
20JUL17	B 7 PD20OCT17	2737.00	3282.50	USD	95,462.50	
20JUL17	S 1 PD20OCT17	2741.00	3282.50	USD	-13,537.50	
20JUL17	B 1 PD20OCT17	2745.50	3282.50	USD	13,425.00	
20JUL17	B 1 PD20OCT17	2746.50	3282.50	USD	13,400.00	
20JUL17	S 3 PD20OCT17	2748.50	3282.50	USD	-40,050.00	
20JUL17	B 1 PD20OCT17	2743.50	3282.50	USD	13,475.00	
20JUL17	B 4 PD20OCT17	2744.00	3282.50	USD	53,850.00	
20JUL17	B 6 PD20OCT17	2743.00	3282.50	USD	80,925.00	
20JUL17	B 2 PD20OCT17	2741.50	3282.50	USD	27,050.00	
20JUL17	B 5 PD20OCT17	2742.50	3282.50	USD	67,500.00	
20JUL17	B 10 PD20OCT17	2741.00	3282.50	USD	135,375.00	
20JUL17	B 6 PD20OCT17	2740.50	3282.50	USD	81,300.00	
20JUL17	B 5 PD20OCT17	2740.00	3282.50	USD	67,812.50	
20JUL17	B 7 PD20OCT17	2739.50	3282.50	USD	95,025.00	
20JUL17	S 5 PD20OCT17	2742.00	3282.50	USD	-67,562.50	
20JUL17	S 1 PD20OCT17	2748.00	3282.50	USD	-13,362.50	
20JUL17	B 1 PD20OCT17	2748.00	3282.50	USD	13,362.50	
20JUL17	S 4 PD20OCT17	2747.50	3282.50	USD	-53,500.00	
20JUL17	S 6 PD20OCT17	2737.50	3282.50	USD	-81,750.00	
20JUL17	S 6 PD20OCT17	2739.00	3282.50	USD	-81,525.00	
20JUL17	B 1 PD20OCT17	2730.50	3282.50	USD	13,800.00	
20JUL17	S 2 PD20OCT17	2727.00	3282.50	USD	-27,775.00	
20JUL17	B 2 PD20OCT17	2719.00	3282.50	USD	28,175.00	
20JUL17	B 3 PD20OCT17	2721.00	3282.50	USD	42,112.50	
20JUL17	B 2 PD20OCT17	2720.00	3282.50	USD	28,125.00	
20JUL17	B 1 PD20OCT17	2718.50	3282.50	USD	14,100.00	
20JUL17	S 2 PD20OCT17	2726.00	3282.50	USD	-27,825.00	
20JUL17	S 2 PD20OCT17	2726.50	3282.50	USD	-27,800.00	
20JUL17	B 2 PD20OCT17	2731.50	3282.50	USD	27,550.00	
21JUL17	B 1 PD20OCT17	2742.50	3282.50	USD	13,500.00	
21JUL17	S 2 PD20OCT17	2750.00	3282.50	USD	-26,625.00	
21JUL17	S 1 PD20OCT17	2748.00	3282.50	USD	-13,362.50	
21JUL17	S 4 PD20OCT17	2748.50	3282.50	USD	-53,400.00	
21JUL17	S 11 PD20OCT17	2749.00	3282.50	USD	-146,712.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
21JUL17	S 5 PD20OCT17	2749.50	3282.50	USD	-66,625.00	
21JUL17	B 1 PD20OCT17	2744.50	3282.50	USD	13,450.00	
21JUL17	S 2 PD20OCT17	2751.00	3282.50	USD	-26,575.00	
21JUL17	S 1 PD20OCT17	2752.50	3282.50	USD	-13,250.00	
21JUL17	S 1 PD20OCT17	2753.50	3282.50	USD	-13,225.00	
21JUL17	S 1 PD20OCT17	2753.00	3282.50	USD	-13,237.50	
21JUL17	S 1 PD20OCT17	2764.50	3282.50	USD	-12,950.00	
21JUL17	B 1 PD20OCT17	2757.00	3282.50	USD	13,137.50	
21JUL17	B 1 PD20OCT17	2756.00	3282.50	USD	13,162.50	
21JUL17	B 1 PD20OCT17	2754.50	3282.50	USD	13,200.00	
21JUL17	S 1 PD20OCT17	2750.00	3282.50	USD	-13,312.50	
21JUL17	S 1 PD20OCT17	2749.00	3282.50	USD	-13,337.50	
21JUL17	S 2 PD20OCT17	2748.50	3282.50	USD	-26,700.00	
21JUL17	B 1 PD20OCT17	2751.50	3282.50	USD	13,275.00	
21JUL17	S 1 PD20OCT17	2767.00	3282.50	USD	-12,887.50	
21JUL17	B 1 PD20OCT17	2766.50	3282.50	USD	12,900.00	
21JUL17	B 1 PD20OCT17	2764.50	3282.50	USD	12,950.00	
21JUL17	B 2 PD20OCT17	2764.00	3282.50	USD	25,925.00	
21JUL17	B 1 PD20OCT17	2763.50	3282.50	USD	12,975.00	
21JUL17	S 1 PD20OCT17	2766.50	3282.50	USD	-12,900.00	
21JUL17	S 2 PD20OCT17	2770.50	3282.50	USD	-25,600.00	
21JUL17	S 1 PD20OCT17	2773.00	3282.50	USD	-12,737.50	
21JUL17	S 1 PD20OCT17	2773.50	3282.50	USD	-12,725.00	
21JUL17	B 1 PD20OCT17	2773.50	3282.50	USD	12,725.00	
21JUL17	S 1 PD20OCT17	2772.50	3282.50	USD	-12,750.00	
21JUL17	S 2 PD20OCT17	2772.00	3282.50	USD	-25,525.00	
21JUL17	B 1 PD20OCT17	2765.00	3282.50	USD	12,937.50	
21JUL17	B 1 PD20OCT17	2759.00	3282.50	USD	13,087.50	
21JUL17	B 1 PD20OCT17	2758.50	3282.50	USD	13,100.00	
21JUL17	B 6 PD20OCT17	2754.00	3282.50	USD	79,275.00	
21JUL17	B 4 PD20OCT17	2753.50	3282.50	USD	52,900.00	
21JUL17	B 1 PD20OCT17	2752.50	3282.50	USD	13,250.00	
21JUL17	B 1 PD20OCT17	2753.00	3282.50	USD	13,237.50	
21JUL17	S 1 PD20OCT17	2754.50	3282.50	USD	-13,200.00	
24JUL17	S 4 PD20OCT17	2787.40	3282.50	USD	-49,510.00	
24JUL17	B 10 PD20OCT17	2787.80	3282.50	USD	123,675.00	
25JUL17	B 4 PD20OCT17	2823.60	3282.50	USD	45,890.00	
	NET 0 AVG BGHT 2748.02 SOLD 2748.04				**67.50**	**0.00**
24JUL17	B 1 PD24OCT17	2777.50	3280.50	USD	12,575.00	
24JUL17	B 1 PD24OCT17	2783.50	3280.50	USD	12,425.00	
24JUL17	B 1 PD24OCT17	2785.00	3280.50	USD	12,387.50	
24JUL17	B 3 PD24OCT17	2780.50	3280.50	USD	37,500.00	
24JUL17	B 4 PD24OCT17	2781.50	3280.50	USD	49,900.00	
24JUL17	B 1 PD24OCT17	2782.00	3280.50	USD	12,462.50	
24JUL17	B 2 PD24OCT17	2774.00	3280.50	USD	25,325.00	
24JUL17	B 4 PD24OCT17	2778.50	3280.50	USD	50,200.00	
24JUL17	B 1 PD24OCT17	2774.50	3280.50	USD	12,650.00	
24JUL17	B 1 PD24OCT17	2773.50	3280.50	USD	12,675.00	
24JUL17	B 1 PD24OCT17	2775.00	3280.50	USD	12,637.50	
24JUL17	B 2 PD24OCT17	2776.00	3280.50	USD	25,225.00	
24JUL17	S 1 PD24OCT17	2785.50	3280.50	USD	-12,375.00	
24JUL17	S 1 PD24OCT17	2792.00	3280.50	USD	-12,212.50	
24JUL17	S 1 PD24OCT17	2796.00	3280.50	USD	-12,112.50	
24JUL17	S 1 PD24OCT17	2797.50	3280.50	USD	-12,075.00	
24JUL17	B 1 PD24OCT17	2777.50	3280.50	USD	12,575.00	
24JUL17	B 1 PD24OCT17	2784.00	3280.50	USD	12,412.50	
24JUL17	S 1 PD24OCT17	2776.50	3280.50	USD	-12,600.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
24JUL17	S 1 PD24OCT17	2778.50	3280.50	USD	-12,550.00	
24JUL17	S 1 PD24OCT17	2777.00	3280.50	USD	-12,587.50	
24JUL17	B 1 PD24OCT17	2768.50	3280.50	USD	12,800.00	
24JUL17	S 2 PD24OCT17	2772.50	3280.50	USD	-25,400.00	
24JUL17	S 4 PD24OCT17	2773.00	3280.50	USD	-50,750.00	
24JUL17	S 3 PD24OCT17	2774.00	3280.50	USD	-37,987.50	
24JUL17	S 4 PD24OCT17	2774.50	3280.50	USD	-50,600.00	
24JUL17	S 3 PD24OCT17	2773.50	3280.50	USD	-38,025.00	
24JUL17	S 1 PD24OCT17	2775.00	3280.50	USD	-12,637.50	
24JUL17	S 2 PD24OCT17	2775.50	3280.50	USD	-25,250.00	
24JUL17	S 3 PD24OCT17	2780.50	3280.50	USD	-37,500.00	
24JUL17	S 1 PD24OCT17	2777.00	3280.50	USD	-12,587.50	
24JUL17	B 2 PD24OCT17	2782.50	3280.50	USD	24,900.00	
26JUL17	B 3 PD24OCT17	2807.71	3280.50	USD	35,459.25	
	NET 0 AVG BGHT 2781.69 SOLD 2777.50				**-3,140.75**	**0.00**
25JUL17	S 1 PD25OCT17	2816.00	3279.50	USD	-11,587.50	
25JUL17	S 1 PD25OCT17	2817.50	3279.50	USD	-11,550.00	
25JUL17	S 1 PD25OCT17	2824.00	3279.50	USD	-11,387.50	
25JUL17	B 1 PD25OCT17	2814.00	3279.50	USD	11,637.50	
25JUL17	B 1 PD25OCT17	2814.50	3279.50	USD	11,625.00	
25JUL17	S 2 PD25OCT17	2826.00	3279.50	USD	-22,675.00	
25JUL17	S 1 PD25OCT17	2827.00	3279.50	USD	-11,312.50	
25JUL17	S 1 PD25OCT17	2831.50	3279.50	USD	-11,200.00	
25JUL17	S 1 PD25OCT17	2834.00	3279.50	USD	-11,137.50	
25JUL17	B 1 PD25OCT17	2831.00	3279.50	USD	11,212.50	
25JUL17	B 1 PD25OCT17	2830.00	3279.50	USD	11,237.50	
25JUL17	B 1 PD25OCT17	2826.50	3279.50	USD	11,325.00	
25JUL17	B 1 PD25OCT17	2827.00	3279.50	USD	11,312.50	
25JUL17	B 3 PD25OCT17	2828.00	3279.50	USD	33,862.50	
25JUL17	B 1 PD25OCT17	2827.50	3279.50	USD	11,300.00	
25JUL17	B 1 PD25OCT17	2824.50	3279.50	USD	11,375.00	
25JUL17	B 1 PD25OCT17	2823.00	3279.50	USD	11,412.50	
25JUL17	S 1 PD25OCT17	2829.00	3279.50	USD	-11,262.50	
25JUL17	S 1 PD25OCT17	2838.00	3279.50	USD	-11,037.50	
25JUL17	S 1 PD25OCT17	2848.00	3279.50	USD	-10,787.50	
25JUL17	B 1 PD25OCT17	2839.00	3279.50	USD	11,012.50	
25JUL17	B 1 PD25OCT17	2844.00	3279.50	USD	10,887.50	
25JUL17	B 1 PD25OCT17	2842.50	3279.50	USD	10,925.00	
25JUL17	S 1 PD25OCT17	2844.00	3279.50	USD	-10,887.50	
26JUL17	S 3 PD25OCT17	2807.66	3279.50	USD	-35,388.00	
	NET 0 AVG BGHT 2828.50 SOLD 2825.60				**-1,088.00**	**0.00**
26JUL17	B 1 PD26OCT17	2850.50	3278.50	USD	10,700.00	
26JUL17	B 2 PD26OCT17	2852.00	3278.50	USD	21,325.00	
26JUL17	B 1 PD26OCT17	2851.50	3278.50	USD	10,675.00	
26JUL17	B 7 PD26OCT17	2851.00	3278.50	USD	74,812.50	
26JUL17	B 1 PD26OCT17	2828.50	3278.50	USD	11,250.00	
26JUL17	B 1 PD26OCT17	2827.50	3278.50	USD	11,275.00	
26JUL17	S 1 PD26OCT17	2829.50	3278.50	USD	-11,225.00	
26JUL17	S 1 PD26OCT17	2831.00	3278.50	USD	-11,187.50	
26JUL17	S 1 PD26OCT17	2819.00	3278.50	USD	-11,487.50	
26JUL17	S 2 PD26OCT17	2821.50	3278.50	USD	-22,850.00	
26JUL17	S 1 PD26OCT17	2818.50	3278.50	USD	-11,500.00	
26JUL17	S 2 PD26OCT17	2819.50	3278.50	USD	-22,950.00	
26JUL17	S 5 PD26OCT17	2820.50	3278.50	USD	-57,250.00	
26JUL17	S 1 PD26OCT17	2823.50	3278.50	USD	-11,375.00	
26JUL17	B 1 PD26OCT17	2821.00	3278.50	USD	11,437.50	
26JUL17	S 1 PD26OCT17	2824.50	3278.50	USD	-11,350.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
26JUL17	S 1 PD26OCT17	2823.00	3278.50	USD	-11,387.50	
26JUL17	S 2 PD26OCT17	2824.00	3278.50	USD	-22,725.00	
26JUL17	S 1 PD26OCT17	2825.00	3278.50	USD	-11,337.50	
26JUL17	B 1 PD26OCT17	2819.50	3278.50	USD	11,475.00	
26JUL17	S 1 PD26OCT17	2826.50	3278.50	USD	-11,300.00	
26JUL17	S 2 PD26OCT17	2826.00	3278.50	USD	-22,625.00	
26JUL17	S 1 PD26OCT17	2827.00	3278.50	USD	-11,287.50	
26JUL17	B 1 PD26OCT17	2818.50	3278.50	USD	11,500.00	
26JUL17	B 1 PD26OCT17	2811.00	3278.50	USD	11,687.50	
26JUL17	B 1 PD26OCT17	2802.50	3278.50	USD	11,900.00	
26JUL17	B 2 PD26OCT17	2801.00	3278.50	USD	23,875.00	
26JUL17	S 1 PD26OCT17	2803.00	3278.50	USD	-11,887.50	
26JUL17	S 1 PD26OCT17	2812.50	3278.50	USD	-11,650.00	
26JUL17	S 1 PD26OCT17	2812.00	3278.50	USD	-11,662.50	
26JUL17	S 2 PD26OCT17	2822.50	3278.50	USD	-22,800.00	
26JUL17	B 1 PD26OCT17	2806.50	3278.50	USD	11,800.00	
26JUL17	S 1 PD26OCT17	2815.00	3278.50	USD	-11,587.50	
26JUL17	S 2 PD26OCT17	2821.00	3278.50	USD	-22,875.00	
26JUL17	S 1 PD26OCT17	2828.00	3278.50	USD	-11,262.50	
26JUL17	B 2 PD26OCT17	2820.50	3278.50	USD	22,900.00	
26JUL17	B 1 PD26OCT17	2818.00	3278.50	USD	11,512.50	
26JUL17	B 1 PD26OCT17	2816.00	3278.50	USD	11,562.50	
26JUL17	B 1 PD26OCT17	2805.50	3278.50	USD	11,825.00	
26JUL17	B 3 PD26OCT17	2805.00	3278.50	USD	35,512.50	
26JUL17	B 1 PD26OCT17	2804.00	3278.50	USD	11,862.50	
26JUL17	B 2 PD26OCT17	2803.50	3278.50	USD	23,750.00	
	NET 0 AVG BGHT 2825.20 SOLD 2821.55				**-2,925.00**	**0.00**
27JUL17	S 1 PD27OCT17	2810.00	3277.50	USD	-11,687.50	
27JUL17	B 1 PD27OCT17	2810.00	3277.50	USD	11,687.50	
27JUL17	B 2 PD27OCT17	2811.00	3277.50	USD	23,325.00	
27JUL17	S 1 PD27OCT17	2808.50	3277.50	USD	-11,725.00	
27JUL17	S 4 PD27OCT17	2808.00	3277.50	USD	-46,950.00	
27JUL17	S 1 PD27OCT17	2809.50	3277.50	USD	-11,700.00	
27JUL17	B 2 PD27OCT17	2814.00	3277.50	USD	23,175.00	
27JUL17	S 1 PD27OCT17	2831.50	3277.50	USD	-11,150.00	
27JUL17	S 1 PD27OCT17	2832.50	3277.50	USD	-11,125.00	
27JUL17	B 1 PD27OCT17	2821.00	3277.50	USD	11,412.50	
27JUL17	B 1 PD27OCT17	2822.00	3277.50	USD	11,387.50	
27JUL17	B 1 PD27OCT17	2821.50	3277.50	USD	11,400.00	
27JUL17	B 1 PD27OCT17	2819.00	3277.50	USD	11,462.50	
27JUL17	B 1 PD27OCT17	2822.50	3277.50	USD	11,375.00	
27JUL17	B 1 PD27OCT17	2817.50	3277.50	USD	11,500.00	
27JUL17	B 1 PD27OCT17	2816.00	3277.50	USD	11,537.50	
27JUL17	B 1 PD27OCT17	2814.50	3277.50	USD	11,575.00	
27JUL17	B 1 PD27OCT17	2813.00	3277.50	USD	11,612.50	
27JUL17	S 1 PD27OCT17	2818.00	3277.50	USD	-11,487.50	
27JUL17	B 1 PD27OCT17	2821.00	3277.50	USD	11,412.50	
27JUL17	S 2 PD27OCT17	2822.00	3277.50	USD	-22,775.00	
27JUL17	S 1 PD27OCT17	2820.00	3277.50	USD	-11,437.50	
27JUL17	B 1 PD27OCT17	2806.50	3277.50	USD	11,775.00	
27JUL17	B 1 PD27OCT17	2806.00	3277.50	USD	11,787.50	
27JUL17	B 1 PD27OCT17	2805.00	3277.50	USD	11,812.50	
27JUL17	B 1 PD27OCT17	2803.50	3277.50	USD	11,850.00	
27JUL17	S 1 PD27OCT17	2805.00	3277.50	USD	-11,812.50	
27JUL17	S 1 PD27OCT17	2806.00	3277.50	USD	-11,787.50	
27JUL17	B 1 PD27OCT17	2789.50	3277.50	USD	12,200.00	
27JUL17	B 1 PD27OCT17	2789.00	3277.50	USD	12,212.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
27JUL17	B 1 PD27OCT17	2802.50	3277.50	USD	11,875.00	
27JUL17	B 1 PD27OCT17	2800.50	3277.50	USD	11,925.00	
27JUL17	B 3 PD27OCT17	2790.50	3277.50	USD	36,525.00	
27JUL17	S 1 PD27OCT17	2796.50	3277.50	USD	-12,025.00	
27JUL17	S 2 PD27OCT17	2797.50	3277.50	USD	-24,000.00	
27JUL17	S 2 PD27OCT17	2798.00	3277.50	USD	-23,975.00	
27JUL17	S 3 PD27OCT17	2799.00	3277.50	USD	-35,887.50	
27JUL17	S 2 PD27OCT17	2796.00	3277.50	USD	-24,075.00	
27JUL17	B 1 PD27OCT17	2795.00	3277.50	USD	12,062.50	
27JUL17	S 1 PD27OCT17	2799.00	3277.50	USD	-11,962.50	
28JUL17	S 2 PD27OCT17	2786.50	3277.50	USD	-24,550.00	
28JUL17	B 1 PD27OCT17	2785.50	3277.50	USD	12,300.00	
28JUL17	B 1 PD27OCT17	2784.00	3277.50	USD	12,337.50	
28JUL17	S 1 PD27OCT17	2793.50	3277.50	USD	-12,100.00	
28JUL17	B 1 PD27OCT17	2778.50	3277.50	USD	12,475.00	
28JUL17	B 2 PD27OCT17	2777.00	3277.50	USD	25,025.00	
28JUL17	S 1 PD27OCT17	2783.00	3277.50	USD	-12,362.50	
28JUL17	S 2 PD27OCT17	2787.50	3277.50	USD	-24,500.00	
28JUL17	S 1 PD27OCT17	2788.50	3277.50	USD	-12,225.00	
28JUL17	S 1 PD27OCT17	2789.50	3277.50	USD	-12,200.00	
28JUL17	B 1 PD27OCT17	2782.50	3277.50	USD	12,375.00	
28JUL17	S 1 PD27OCT17	2786.00	3277.50	USD	-12,287.50	
28JUL17	B 1 PD27OCT17	2778.00	3277.50	USD	12,487.50	
28JUL17	B 1 PD27OCT17	2774.50	3277.50	USD	12,575.00	
28JUL17	B 1 PD27OCT17	2771.50	3277.50	USD	12,650.00	
28JUL17	B 1 PD27OCT17	2770.50	3277.50	USD	12,675.00	
28JUL17	B 2 PD27OCT17	2773.00	3277.50	USD	25,225.00	
28JUL17	B 1 PD27OCT17	2772.00	3277.50	USD	12,637.50	
28JUL17	B 1 PD27OCT17	2768.50	3277.50	USD	12,725.00	
28JUL17	B 1 PD27OCT17	2766.50	3277.50	USD	12,775.00	
28JUL17	B 1 PD27OCT17	2758.00	3277.50	USD	12,987.50	
28JUL17	S 2 PD27OCT17	2771.50	3277.50	USD	-25,300.00	
28JUL17	S 1 PD27OCT17	2774.00	3277.50	USD	-12,587.50	
28JUL17	S 1 PD27OCT17	2772.50	3277.50	USD	-12,625.00	
28JUL17	S 1 PD27OCT17	2778.00	3277.50	USD	-12,487.50	
28JUL17	S 1 PD27OCT17	2785.00	3277.50	USD	-12,312.50	
28JUL17	S 1 PD27OCT17	2787.00	3277.50	USD	-12,262.50	
28JUL17	S 1 PD27OCT17	2796.00	3277.50	USD	-12,037.50	
	NET 0 AVG BGHT 2795.51 SOLD 2798.06				**2,737.50**	**0.00**
31JUL17	S 1 PD31OCT17	2810.50	3275.50	USD	-11,625.00	
31JUL17	B 1 PD31OCT17	2812.50	3275.50	USD	11,575.00	
31JUL17	B 1 PD31OCT17	2811.50	3275.50	USD	11,600.00	
31JUL17	B 1 PD31OCT17	2805.00	3275.50	USD	11,762.50	
31JUL17	S 1 PD31OCT17	2814.00	3275.50	USD	-11,537.50	
31JUL17	S 1 PD31OCT17	2811.00	3275.50	USD	-11,612.50	
31JUL17	S 1 PD31OCT17	2808.00	3275.50	USD	-11,687.50	
31JUL17	S 2 PD31OCT17	2812.50	3275.50	USD	-23,150.00	
31JUL17	S 2 PD31OCT17	2809.00	3275.50	USD	-23,325.00	
31JUL17	S 1 PD31OCT17	2803.50	3275.50	USD	-11,800.00	
31JUL17	S 1 PD31OCT17	2815.00	3275.50	USD	-11,512.50	
31JUL17	S 1 PD31OCT17	2815.50	3275.50	USD	-11,500.00	
31JUL17	S 1 PD31OCT17	2819.00	3275.50	USD	-11,412.50	
31JUL17	S 1 PD31OCT17	2821.00	3275.50	USD	-11,362.50	
31JUL17	S 1 PD31OCT17	2821.50	3275.50	USD	-11,350.00	
31JUL17	B 1 PD31OCT17	2820.50	3275.50	USD	11,375.00	
31JUL17	S 1 PD31OCT17	2822.00	3275.50	USD	-11,337.50	
31JUL17	B 1 PD31OCT17	2815.00	3275.50	USD	11,512.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
31JUL17	B 1 PD31OCT17	2806.50	3275.50	USD	11,725.00	
31JUL17	S 1 PD31OCT17	2806.50	3275.50	USD	-11,725.00	
31JUL17	S 1 PD31OCT17	2805.50	3275.50	USD	-11,750.00	
31JUL17	B 1 PD31OCT17	2799.00	3275.50	USD	11,912.50	
31JUL17	S 1 PD31OCT17	2798.50	3275.50	USD	-11,925.00	
31JUL17	S 2 PD31OCT17	2799.50	3275.50	USD	-23,800.00	
31JUL17	S 2 PD31OCT17	2800.50	3275.50	USD	-23,750.00	
31JUL17	S 1 PD31OCT17	2794.00	3275.50	USD	-12,037.50	
31JUL17	S 1 PD31OCT17	2822.00	3275.50	USD	-11,337.50	
31JUL17	S 2 PD31OCT17	2810.00	3275.50	USD	-23,275.00	
31JUL17	B 1 PD31OCT17	2807.00	3275.50	USD	11,712.50	
31JUL17	B 3 PD31OCT17	2795.00	3275.50	USD	36,037.50	
31JUL17	B 1 PD31OCT17	2796.50	3275.50	USD	11,975.00	
31JUL17	B 2 PD31OCT17	2797.50	3275.50	USD	23,900.00	
31JUL17	B 2 PD31OCT17	2798.00	3275.50	USD	23,875.00	
31JUL17	B 2 PD31OCT17	2798.50	3275.50	USD	23,850.00	
31JUL17	B 2 PD31OCT17	2794.50	3275.50	USD	24,050.00	
31JUL17	S 1 PD31OCT17	2798.00	3275.50	USD	-11,937.50	
31JUL17	B 1 PD31OCT17	2796.00	3275.50	USD	11,987.50	
07AUG17	B 6 PD31OCT17	2856.50	3275.50	USD	62,850.00	
	NET 0 AVG BGHT 2813.72 SOLD 2809.20				**-3,050.00**	**0.00**
01AUG17	B 2 PD01NOV17	2789.00	3274.50	USD	24,275.00	
01AUG17	S 2 PD01NOV17	2784.00	3274.50	USD	-24,525.00	
01AUG17	B 4 PD01NOV17	2774.00	3274.50	USD	50,050.00	
01AUG17	B 1 PD01NOV17	2773.00	3274.50	USD	12,537.50	
01AUG17	B 2 PD01NOV17	2771.50	3274.50	USD	25,150.00	
01AUG17	B 1 PD01NOV17	2771.00	3274.50	USD	12,587.50	
01AUG17	B 4 PD01NOV17	2772.50	3274.50	USD	50,200.00	
01AUG17	B 1 PD01NOV17	2776.50	3274.50	USD	12,450.00	
01AUG17	B 1 PD01NOV17	2773.50	3274.50	USD	12,525.00	
01AUG17	S 2 PD01NOV17	2778.00	3274.50	USD	-24,825.00	
01AUG17	S 3 PD01NOV17	2778.50	3274.50	USD	-37,200.00	
01AUG17	B 1 PD01NOV17	2775.00	3274.50	USD	12,487.50	
01AUG17	S 1 PD01NOV17	2777.50	3274.50	USD	-12,425.00	
01AUG17	S 1 PD01NOV17	2782.00	3274.50	USD	-12,312.50	
01AUG17	S 4 PD01NOV17	2780.50	3274.50	USD	-49,400.00	
01AUG17	S 2 PD01NOV17	2781.00	3274.50	USD	-24,675.00	
01AUG17	S 3 PD01NOV17	2781.50	3274.50	USD	-36,975.00	
01AUG17	S 3 PD01NOV17	2782.50	3274.50	USD	-36,900.00	
01AUG17	S 6 PD01NOV17	2783.00	3274.50	USD	-73,725.00	
01AUG17	S 2 PD01NOV17	2783.50	3274.50	USD	-24,550.00	
01AUG17	S 4 PD01NOV17	2784.50	3274.50	USD	-49,000.00	
01AUG17	S 1 PD01NOV17	2779.50	3274.50	USD	-12,375.00	
01AUG17	B 4 PD01NOV17	2772.00	3274.50	USD	50,250.00	
01AUG17	S 1 PD01NOV17	2779.00	3274.50	USD	-12,387.50	
01AUG17	B 1 PD01NOV17	2781.00	3274.50	USD	12,337.50	
01AUG17	B 1 PD01NOV17	2780.50	3274.50	USD	12,350.00	
03AUG17	B 3 PD01NOV17	2791.50	3274.50	USD	36,225.00	
07AUG17	B 9 PD01NOV17	2856.71	3274.50	USD	94,002.75	
	NET 0 AVG BGHT 2797.44 SOLD 2781.61				**-13,847.25**	**0.00**
02AUG17	B 1 PD02NOV17	2768.00	3273.50	USD	12,637.50	
02AUG17	B 1 PD02NOV17	2768.50	3273.50	USD	12,625.00	
02AUG17	B 1 PD02NOV17	2772.50	3273.50	USD	12,525.00	
02AUG17	B 1 PD02NOV17	2769.50	3273.50	USD	12,600.00	
02AUG17	B 1 PD02NOV17	2772.00	3273.50	USD	12,537.50	
02AUG17	B 1 PD02NOV17	2771.50	3273.50	USD	12,550.00	
02AUG17	B 3 PD02NOV17	2770.00	3273.50	USD	37,762.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
02AUG17	B 1 PD02NOV17	2774.00	3273.50	USD	12,487.50	
02AUG17	B 1 PD02NOV17	2774.50	3273.50	USD	12,475.00	
02AUG17	B 5 PD02NOV17	2773.00	3273.50	USD	62,562.50	
02AUG17	B 2 PD02NOV17	2773.50	3273.50	USD	25,000.00	
02AUG17	B 2 PD02NOV17	2771.00	3273.50	USD	25,125.00	
02AUG17	B 1 PD02NOV17	2767.00	3273.50	USD	12,662.50	
02AUG17	B 1 PD02NOV17	2766.00	3273.50	USD	12,687.50	
02AUG17	B 2 PD02NOV17	2764.00	3273.50	USD	25,475.00	
02AUG17	B 3 PD02NOV17	2766.50	3273.50	USD	38,025.00	
02AUG17	B 4 PD02NOV17	2765.50	3273.50	USD	50,800.00	
02AUG17	B 2 PD02NOV17	2763.00	3273.50	USD	25,525.00	
02AUG17	B 3 PD02NOV17	2762.00	3273.50	USD	38,362.50	
02AUG17	B 1 PD02NOV17	2764.50	3273.50	USD	12,725.00	
02AUG17	S 1 PD02NOV17	2766.00	3273.50	USD	-12,687.50	
02AUG17	B 2 PD02NOV17	2765.00	3273.50	USD	25,425.00	
02AUG17	B 3 PD02NOV17	2769.00	3273.50	USD	37,837.50	
02AUG17	S 1 PD02NOV17	2771.00	3273.50	USD	-12,562.50	
02AUG17	S 1 PD02NOV17	2765.50	3273.50	USD	-12,700.00	
02AUG17	S 1 PD02NOV17	2769.50	3273.50	USD	-12,600.00	
02AUG17	S 2 PD02NOV17	2770.50	3273.50	USD	-25,150.00	
02AUG17	S 3 PD02NOV17	2772.00	3273.50	USD	-37,612.50	
02AUG17	S 1 PD02NOV17	2773.00	3273.50	USD	-12,512.50	
02AUG17	S 2 PD02NOV17	2773.50	3273.50	USD	-25,000.00	
02AUG17	S 2 PD02NOV17	2775.00	3273.50	USD	-24,925.00	
02AUG17	S 3 PD02NOV17	2775.50	3273.50	USD	-37,350.00	
02AUG17	S 6 PD02NOV17	2776.00	3273.50	USD	-74,625.00	
02AUG17	S 3 PD02NOV17	2777.00	3273.50	USD	-37,237.50	
02AUG17	S 1 PD02NOV17	2778.00	3273.50	USD	-12,387.50	
02AUG17	S 1 PD02NOV17	2779.50	3273.50	USD	-12,350.00	
02AUG17	S 1 PD02NOV17	2780.50	3273.50	USD	-12,325.00	
02AUG17	S 1 PD02NOV17	2783.50	3273.50	USD	-12,250.00	
02AUG17	S 5 PD02NOV17	2783.00	3273.50	USD	-61,312.50	
02AUG17	S 3 PD02NOV17	2784.00	3273.50	USD	-36,712.50	
02AUG17	S 1 PD02NOV17	2780.00	3273.50	USD	-12,337.50	
02AUG17	S 3 PD02NOV17	2781.50	3273.50	USD	-36,900.00	
02AUG17	S 4 PD02NOV17	2781.00	3273.50	USD	-49,250.00	
02AUG17	B 1 PD02NOV17	2784.00	3273.50	USD	12,237.50	
02AUG17	S 1 PD02NOV17	2786.00	3273.50	USD	-12,187.50	
02AUG17	S 3 PD02NOV17	2786.50	3273.50	USD	-36,525.00	
02AUG17	S 1 PD02NOV17	2788.00	3273.50	USD	-12,137.50	
02AUG17	S 2 PD02NOV17	2789.00	3273.50	USD	-24,225.00	
02AUG17	S 1 PD02NOV17	2791.00	3273.50	USD	-12,062.50	
02AUG17	S 2 PD02NOV17	2787.50	3273.50	USD	-24,300.00	
02AUG17	S 1 PD02NOV17	2780.50	3273.50	USD	-12,325.00	
02AUG17	B 1 PD02NOV17	2795.00	3273.50	USD	11,962.50	
02AUG17	S 1 PD02NOV17	2800.00	3273.50	USD	-11,837.50	
02AUG17	B 1 PD02NOV17	2804.50	3273.50	USD	11,725.00	
02AUG17	B 1 PD02NOV17	2805.00	3273.50	USD	11,712.50	
02AUG17	B 4 PD02NOV17	2806.00	3273.50	USD	46,750.00	
03AUG17	S 3 PD02NOV17	2791.55	3273.50	USD	-36,146.25	
07AUG17	B 11 PD02NOV17	2856.93	3273.50	USD	114,556.75	
	NET 0 AVG BGHT 2788.68 SOLD 2780.04				**-13,177.00**	**0.00**
03AUG17	B 2 PD03NOV17	2823.00	3272.00	USD	22,450.00	
03AUG17	B 1 PD03NOV17	2820.50	3272.00	USD	11,287.50	
03AUG17	B 1 PD03NOV17	2819.50	3272.00	USD	11,312.50	
03AUG17	B 2 PD03NOV17	2816.00	3272.00	USD	22,800.00	
03AUG17	S 1 PD03NOV17	2822.50	3272.00	USD	-11,237.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
03AUG17	S 1 PD03NOV17	2824.00	3272.00	USD	-11,200.00	
03AUG17	B 1 PD03NOV17	2818.00	3272.00	USD	11,350.00	
03AUG17	B 2 PD03NOV17	2812.00	3272.00	USD	23,000.00	
03AUG17	B 1 PD03NOV17	2814.00	3272.00	USD	11,450.00	
03AUG17	B 3 PD03NOV17	2813.50	3272.00	USD	34,387.50	
03AUG17	B 4 PD03NOV17	2811.50	3272.00	USD	46,050.00	
03AUG17	B 1 PD03NOV17	2809.00	3272.00	USD	11,575.00	
03AUG17	B 3 PD03NOV17	2810.50	3272.00	USD	34,612.50	
03AUG17	S 1 PD03NOV17	2814.00	3272.00	USD	-11,450.00	
03AUG17	S 2 PD03NOV17	2818.00	3272.00	USD	-22,700.00	
03AUG17	S 1 PD03NOV17	2821.00	3272.00	USD	-11,275.00	
03AUG17	B 4 PD03NOV17	2818.50	3272.00	USD	45,350.00	
03AUG17	B 3 PD03NOV17	2816.50	3272.00	USD	34,162.50	
03AUG17	S 1 PD03NOV17	2820.50	3272.00	USD	-11,287.50	
03AUG17	S 2 PD03NOV17	2819.50	3272.00	USD	-22,625.00	
03AUG17	B 5 PD03NOV17	2820.00	3272.00	USD	56,500.00	
03AUG17	B 1 PD03NOV17	2817.50	3272.00	USD	11,362.50	
03AUG17	B 1 PD03NOV17	2798.00	3272.00	USD	11,850.00	
03AUG17	B 1 PD03NOV17	2796.00	3272.00	USD	11,900.00	
03AUG17	B 1 PD03NOV17	2795.50	3272.00	USD	11,912.50	
03AUG17	B 2 PD03NOV17	2794.50	3272.00	USD	23,875.00	
03AUG17	B 1 PD03NOV17	2792.50	3272.00	USD	11,987.50	
03AUG17	B 1 PD03NOV17	2792.00	3272.00	USD	12,000.00	
03AUG17	B 3 PD03NOV17	2794.00	3272.00	USD	35,850.00	
03AUG17	S 2 PD03NOV17	2804.00	3272.00	USD	-23,400.00	
03AUG17	S 1 PD03NOV17	2806.50	3272.00	USD	-11,637.50	
03AUG17	S 1 PD03NOV17	2806.00	3272.00	USD	-11,650.00	
03AUG17	S 2 PD03NOV17	2807.50	3272.00	USD	-23,225.00	
03AUG17	B 1 PD03NOV17	2801.00	3272.00	USD	11,775.00	
03AUG17	B 1 PD03NOV17	2801.50	3272.00	USD	11,762.50	
03AUG17	B 3 PD03NOV17	2800.00	3272.00	USD	35,400.00	
03AUG17	S 1 PD03NOV17	2808.00	3272.00	USD	-11,600.00	
03AUG17	B 2 PD03NOV17	2802.00	3272.00	USD	23,500.00	
03AUG17	B 1 PD03NOV17	2800.50	3272.00	USD	11,787.50	
03AUG17	B 1 PD03NOV17	2799.50	3272.00	USD	11,812.50	
03AUG17	B 2 PD03NOV17	2797.50	3272.00	USD	23,725.00	
03AUG17	B 3 PD03NOV17	2793.00	3272.00	USD	35,925.00	
03AUG17	B 6 PD03NOV17	2793.50	3272.00	USD	71,775.00	
03AUG17	S 2 PD03NOV17	2792.00	3272.00	USD	-24,000.00	
03AUG17	S 1 PD03NOV17	2792.50	3272.00	USD	-11,987.50	
03AUG17	S 5 PD03NOV17	2794.00	3272.00	USD	-59,750.00	
03AUG17	B 1 PD03NOV17	2797.00	3272.00	USD	11,875.00	
03AUG17	S 2 PD03NOV17	2793.00	3272.00	USD	-23,950.00	
03AUG17	S 2 PD03NOV17	2795.00	3272.00	USD	-23,850.00	
04AUG17	S 2 PD03NOV17	2806.50	3272.00	USD	-23,275.00	
04AUG17	S 1 PD03NOV17	2809.00	3272.00	USD	-11,575.00	
04AUG17	S 3 PD03NOV17	2815.00	3272.00	USD	-34,275.00	
04AUG17	S 2 PD03NOV17	2818.50	3272.00	USD	-22,675.00	
04AUG17	S 2 PD03NOV17	2819.00	3272.00	USD	-22,650.00	
04AUG17	S 2 PD03NOV17	2819.50	3272.00	USD	-22,625.00	
04AUG17	S 1 PD03NOV17	2820.50	3272.00	USD	-11,287.50	
04AUG17	S 4 PD03NOV17	2818.00	3272.00	USD	-45,400.00	
04AUG17	S 3 PD03NOV17	2821.00	3272.00	USD	-33,825.00	
04AUG17	S 1 PD03NOV17	2820.00	3272.00	USD	-11,300.00	
04AUG17	S 1 PD03NOV17	2823.00	3272.00	USD	-11,225.00	
04AUG17	S 3 PD03NOV17	2823.50	3272.00	USD	-33,637.50	
04AUG17	S 1 PD03NOV17	2832.00	3272.00	USD	-11,000.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
04AUG17	B 1 PD03NOV17	2822.50	3272.00	USD	11,237.50	
04AUG17	B 1 PD03NOV17	2822.00	3272.00	USD	11,250.00	
04AUG17	B 1 PD03NOV17	2820.50	3272.00	USD	11,287.50	
04AUG17	B 2 PD03NOV17	2820.00	3272.00	USD	22,600.00	
04AUG17	B 2 PD03NOV17	2818.00	3272.00	USD	22,700.00	
04AUG17	S 1 PD03NOV17	2828.00	3272.00	USD	-11,100.00	
04AUG17	S 3 PD03NOV17	2830.00	3272.00	USD	-33,150.00	
04AUG17	S 3 PD03NOV17	2831.00	3272.00	USD	-33,075.00	
04AUG17	S 1 PD03NOV17	2824.00	3272.00	USD	-11,200.00	
04AUG17	S 1 PD03NOV17	2824.50	3272.00	USD	-11,187.50	
04AUG17	S 3 PD03NOV17	2825.00	3272.00	USD	-33,525.00	
04AUG17	S 2 PD03NOV17	2827.50	3272.00	USD	-22,225.00	
04AUG17	S 3 PD03NOV17	2826.00	3272.00	USD	-33,450.00	
04AUG17	S 2 PD03NOV17	2826.50	3272.00	USD	-22,275.00	
04AUG17	B 2 PD03NOV17	2819.50	3272.00	USD	22,625.00	
04AUG17	B 1 PD03NOV17	2817.00	3272.00	USD	11,375.00	
04AUG17	B 1 PD03NOV17	2819.00	3272.00	USD	11,325.00	
04AUG17	S 1 PD03NOV17	2820.50	3272.00	USD	-11,287.50	
04AUG17	B 2 PD03NOV17	2811.50	3272.00	USD	23,025.00	
04AUG17	B 1 PD03NOV17	2817.50	3272.00	USD	11,362.50	
04AUG17	B 1 PD03NOV17	2814.50	3272.00	USD	11,437.50	
04AUG17	B 1 PD03NOV17	2818.50	3272.00	USD	11,337.50	
04AUG17	B 1 PD03NOV17	2815.50	3272.00	USD	11,412.50	
04AUG17	B 2 PD03NOV17	2814.00	3272.00	USD	22,900.00	
04AUG17	B 2 PD03NOV17	2812.00	3272.00	USD	23,000.00	
04AUG17	B 3 PD03NOV17	2810.50	3272.00	USD	34,612.50	
04AUG17	B 2 PD03NOV17	2809.00	3272.00	USD	23,150.00	
04AUG17	B 2 PD03NOV17	2809.50	3272.00	USD	23,125.00	
04AUG17	B 3 PD03NOV17	2808.50	3272.00	USD	34,762.50	
04AUG17	B 1 PD03NOV17	2808.00	3272.00	USD	11,600.00	
04AUG17	B 1 PD03NOV17	2807.50	3272.00	USD	11,612.50	
04AUG17	B 1 PD03NOV17	2806.50	3272.00	USD	11,637.50	
04AUG17	S 2 PD03NOV17	2812.50	3272.00	USD	-22,975.00	
04AUG17	S 2 PD03NOV17	2814.00	3272.00	USD	-22,900.00	
04AUG17	B 2 PD03NOV17	2801.50	3272.00	USD	23,525.00	
04AUG17	B 2 PD03NOV17	2801.00	3272.00	USD	23,550.00	
04AUG17	B 2 PD03NOV17	2800.50	3272.00	USD	23,575.00	
04AUG17	B 2 PD03NOV17	2797.50	3272.00	USD	23,725.00	
04AUG17	B 1 PD03NOV17	2796.50	3272.00	USD	11,887.50	
04AUG17	S 1 PD03NOV17	2803.50	3272.00	USD	-11,712.50	
04AUG17	S 2 PD03NOV17	2809.50	3272.00	USD	-23,125.00	
04AUG17	B 1 PD03NOV17	2809.00	3272.00	USD	11,575.00	
04AUG17	S 1 PD03NOV17	2812.00	3272.00	USD	-11,500.00	
04AUG17	S 6 PD03NOV17	2814.50	3272.00	USD	-68,625.00	
04AUG17	S 5 PD03NOV17	2813.50	3272.00	USD	-57,312.50	
04AUG17	S 3 PD03NOV17	2811.00	3272.00	USD	-34,575.00	
04AUG17	B 1 PD03NOV17	2805.00	3272.00	USD	11,675.00	
04AUG17	B 2 PD03NOV17	2813.00	3272.00	USD	22,950.00	
04AUG17	B 10 PD03NOV17	2812.50	3272.00	USD	114,875.00	
07AUG17	S 26 PD03NOV17	2856.94	3272.00	USD	-269,789.00	
	NET 0 AVG BGHT 2808.70 SOLD 2823.95				**46,511.00**	**0.00**
07AUG17	B 1 PD07NOV17	2808.00	3272.00	USD	11,600.00	
07AUG17	B 1 PD07NOV17	2805.00	3272.00	USD	11,675.00	
07AUG17	S 1 PD07NOV17	2816.00	3272.00	USD	-11,400.00	
07AUG17	S 1 PD07NOV17	2815.50	3272.00	USD	-11,412.50	
07AUG17	S 1 PD07NOV17	2818.50	3272.00	USD	-11,337.50	
07AUG17	S 2 PD07NOV17	2820.50	3272.00	USD	-22,575.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
07AUG17	S 1 PD07NOV17	2820.00	3272.00	USD	-11,300.00	
07AUG17	S 5 PD07NOV17	2821.00	3272.00	USD	-56,375.00	
07AUG17	B 1 PD07NOV17	2815.00	3272.00	USD	11,425.00	
07AUG17	B 2 PD07NOV17	2810.00	3272.00	USD	23,100.00	
07AUG17	S 1 PD07NOV17	2828.00	3272.00	USD	-11,100.00	
07AUG17	B 1 PD07NOV17	2819.00	3272.00	USD	11,325.00	
07AUG17	S 1 PD07NOV17	2824.50	3272.00	USD	-11,187.50	
07AUG17	S 2 PD07NOV17	2825.50	3272.00	USD	-22,325.00	
07AUG17	S 2 PD07NOV17	2826.00	3272.00	USD	-22,300.00	
07AUG17	S 2 PD07NOV17	2826.50	3272.00	USD	-22,275.00	
07AUG17	S 4 PD07NOV17	2825.00	3272.00	USD	-44,700.00	
07AUG17	S 3 PD07NOV17	2829.00	3272.00	USD	-33,225.00	
07AUG17	S 2 PD07NOV17	2832.00	3272.00	USD	-22,000.00	
07AUG17	S 2 PD07NOV17	2831.50	3272.00	USD	-22,025.00	
07AUG17	S 1 PD07NOV17	2832.50	3272.00	USD	-10,987.50	
07AUG17	S 1 PD07NOV17	2821.50	3272.00	USD	-11,262.50	
07AUG17	S 1 PD07NOV17	2831.50	3272.00	USD	-11,012.50	
07AUG17	S 1 PD07NOV17	2832.00	3272.00	USD	-11,000.00	
07AUG17	B 2 PD07NOV17	2831.50	3272.00	USD	22,025.00	
07AUG17	B 1 PD07NOV17	2831.00	3272.00	USD	11,025.00	
07AUG17	B 1 PD07NOV17	2828.50	3272.00	USD	11,087.50	
07AUG17	B 4 PD07NOV17	2836.00	3272.00	USD	43,600.00	
07AUG17	B 4 PD07NOV17	2835.50	3272.00	USD	43,650.00	
07AUG17	B 1 PD07NOV17	2837.00	3272.00	USD	10,875.00	
07AUG17	B 1 PD07NOV17	2834.50	3272.00	USD	10,937.50	
07AUG17	S 1 PD07NOV17	2842.00	3272.00	USD	-10,750.00	
07AUG17	S 1 PD07NOV17	2843.00	3272.00	USD	-10,725.00	
07AUG17	S 1 PD07NOV17	2848.00	3272.00	USD	-10,600.00	
07AUG17	S 2 PD07NOV17	2859.00	3272.00	USD	-20,650.00	
07AUG17	B 1 PD07NOV17	2855.00	3272.00	USD	10,425.00	
07AUG17	B 1 PD07NOV17	2860.50	3272.00	USD	10,287.50	
07AUG17	S 1 PD07NOV17	2864.50	3272.00	USD	-10,187.50	
07AUG17	S 2 PD07NOV17	2865.50	3272.00	USD	-20,325.00	
07AUG17	S 1 PD07NOV17	2871.00	3272.00	USD	-10,025.00	
07AUG17	S 1 PD07NOV17	2875.00	3272.00	USD	-9,925.00	
07AUG17	S 1 PD07NOV17	2876.00	3272.00	USD	-9,900.00	
07AUG17	S 1 PD07NOV17	2877.50	3272.00	USD	-9,862.50	
07AUG17	B 1 PD07NOV17	2873.00	3272.00	USD	9,975.00	
07AUG17	B 1 PD07NOV17	2872.50	3272.00	USD	9,987.50	
07AUG17	B 2 PD07NOV17	2878.00	3272.00	USD	19,700.00	
07AUG17	B 1 PD07NOV17	2878.50	3272.00	USD	9,837.50	
07AUG17	B 1 PD07NOV17	2885.00	3272.00	USD	9,675.00	
07AUG17	S 1 PD07NOV17	2883.00	3272.00	USD	-9,725.00	
07AUG17	S 1 PD07NOV17	2879.00	3272.00	USD	-9,825.00	
07AUG17	B 2 PD07NOV17	2885.50	3272.00	USD	19,325.00	
07AUG17	B 1 PD07NOV17	2884.50	3272.00	USD	9,687.50	
07AUG17	B 2 PD07NOV17	2883.50	3272.00	USD	19,425.00	
07AUG17	B 1 PD07NOV17	2881.50	3272.00	USD	9,762.50	
07AUG17	B 3 PD07NOV17	2880.50	3272.00	USD	29,362.50	
07AUG17	B 1 PD07NOV17	2866.50	3272.00	USD	10,137.50	
07AUG17	B 2 PD07NOV17	2862.50	3272.00	USD	20,475.00	
07AUG17	B 1 PD07NOV17	2867.50	3272.00	USD	10,112.50	
07AUG17	B 2 PD07NOV17	2867.00	3272.00	USD	20,250.00	
07AUG17	B 3 PD07NOV17	2868.00	3272.00	USD	30,300.00	
07AUG17	B 1 PD07NOV17	2871.50	3272.00	USD	10,012.50	
07AUG17	B 2 PD07NOV17	2870.50	3272.00	USD	20,075.00	
07AUG17	S 1 PD07NOV17	2874.00	3272.00	USD	-9,950.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
07AUG17	B 1 PD07NOV17	2861.50	3272.00	USD	10,262.50	
10AUG17	S 1 PD07NOV17	2937.46	3272.00	USD	-8,363.50	
	NET 0 AVG BGHT 2854.88 SOLD 2839.51				**-19,213.50**	**0.00**
08AUG17	S 2 PD08NOV17	2866.50	3272.00	USD	-20,275.00	
08AUG17	S 1 PD08NOV17	2867.00	3272.00	USD	-10,125.00	
08AUG17	S 1 PD08NOV17	2867.50	3272.00	USD	-10,112.50	
08AUG17	S 1 PD08NOV17	2869.00	3272.00	USD	-10,075.00	
08AUG17	S 4 PD08NOV17	2870.50	3272.00	USD	-40,150.00	
08AUG17	S 2 PD08NOV17	2870.00	3272.00	USD	-20,100.00	
08AUG17	S 5 PD08NOV17	2871.00	3272.00	USD	-50,125.00	
08AUG17	S 2 PD08NOV17	2880.50	3272.00	USD	-19,575.00	
08AUG17	S 1 PD08NOV17	2878.50	3272.00	USD	-9,837.50	
08AUG17	B 1 PD08NOV17	2867.00	3272.00	USD	10,125.00	
08AUG17	B 1 PD08NOV17	2877.50	3272.00	USD	9,862.50	
08AUG17	S 1 PD08NOV17	2882.00	3272.00	USD	-9,750.00	
08AUG17	B 1 PD08NOV17	2882.00	3272.00	USD	9,750.00	
08AUG17	S 2 PD08NOV17	2882.00	3272.00	USD	-19,500.00	
08AUG17	S 1 PD08NOV17	2884.00	3272.00	USD	-9,700.00	
08AUG17	B 1 PD08NOV17	2874.50	3272.00	USD	9,937.50	
08AUG17	B 1 PD08NOV17	2871.00	3272.00	USD	10,025.00	
08AUG17	B 1 PD08NOV17	2869.00	3272.00	USD	10,075.00	
08AUG17	B 3 PD08NOV17	2873.00	3272.00	USD	29,925.00	
08AUG17	B 1 PD08NOV17	2868.00	3272.00	USD	10,100.00	
08AUG17	B 1 PD08NOV17	2867.50	3272.00	USD	10,112.50	
08AUG17	S 2 PD08NOV17	2875.00	3272.00	USD	-19,850.00	
08AUG17	S 3 PD08NOV17	2876.50	3272.00	USD	-29,662.50	
08AUG17	S 2 PD08NOV17	2877.00	3272.00	USD	-19,750.00	
08AUG17	S 1 PD08NOV17	2880.00	3272.00	USD	-9,800.00	
08AUG17	S 2 PD08NOV17	2879.00	3272.00	USD	-19,650.00	
08AUG17	S 3 PD08NOV17	2878.00	3272.00	USD	-29,550.00	
08AUG17	B 2 PD08NOV17	2875.50	3272.00	USD	19,825.00	
08AUG17	S 1 PD08NOV17	2876.00	3272.00	USD	-9,900.00	
08AUG17	S 1 PD08NOV17	2886.50	3272.00	USD	-9,637.50	
08AUG17	B 1 PD08NOV17	2897.00	3272.00	USD	9,375.00	
08AUG17	B 1 PD08NOV17	2910.50	3272.00	USD	9,037.50	
08AUG17	S 1 PD08NOV17	2910.00	3272.00	USD	-9,050.00	
08AUG17	S 1 PD08NOV17	2913.50	3272.00	USD	-8,962.50	
08AUG17	B 1 PD08NOV17	2929.00	3272.00	USD	8,575.00	
08AUG17	B 2 PD08NOV17	2926.50	3272.00	USD	17,275.00	
08AUG17	B 1 PD08NOV17	2926.00	3272.00	USD	8,650.00	
08AUG17	B 1 PD08NOV17	2924.50	3272.00	USD	8,687.50	
08AUG17	B 2 PD08NOV17	2924.00	3272.00	USD	17,400.00	
08AUG17	B 2 PD08NOV17	2925.50	3272.00	USD	17,325.00	
08AUG17	B 1 PD08NOV17	2930.00	3272.00	USD	8,550.00	
08AUG17	B 1 PD08NOV17	2928.00	3272.00	USD	8,600.00	
08AUG17	S 1 PD08NOV17	2936.00	3272.00	USD	-8,400.00	
08AUG17	B 1 PD08NOV17	2935.50	3272.00	USD	8,412.50	
08AUG17	B 2 PD08NOV17	2934.00	3272.00	USD	16,900.00	
08AUG17	B 1 PD08NOV17	2933.00	3272.00	USD	8,475.00	
08AUG17	B 1 PD08NOV17	2935.00	3272.00	USD	8,425.00	
08AUG17	B 5 PD08NOV17	2934.50	3272.00	USD	42,187.50	
08AUG17	B 1 PD08NOV17	2937.00	3272.00	USD	8,375.00	
08AUG17	B 1 PD08NOV17	2932.00	3272.00	USD	8,500.00	
10AUG17	B 1 PD08NOV17	2937.84	3272.00	USD	8,354.00	
10AUG17	B 1 PD08NOV17	2937.64	3272.00	USD	8,359.00	
14AUG17	B 1 PD08NOV17	2916.04	3272.00	USD	8,899.00	
	NET 0 AVG BGHT 2910.93 SOLD 2878.30				**-33,438.00**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
09AUG17	S 1 PD09NOV17	2939.50	3272.00	USD	-8,312.50	
09AUG17	S 1 PD09NOV17	2940.00	3272.00	USD	-8,300.00	
09AUG17	B 2 PD09NOV17	2939.50	3272.00	USD	16,625.00	
09AUG17	S 1 PD09NOV17	2944.00	3272.00	USD	-8,200.00	
09AUG17	S 1 PD09NOV17	2941.50	3272.00	USD	-8,262.50	
09AUG17	S 1 PD09NOV17	2952.00	3272.00	USD	-8,000.00	
09AUG17	S 3 PD09NOV17	2943.50	3272.00	USD	-24,637.50	
09AUG17	S 2 PD09NOV17	2942.00	3272.00	USD	-16,500.00	
09AUG17	S 2 PD09NOV17	2943.00	3272.00	USD	-16,450.00	
09AUG17	S 1 PD09NOV17	2944.50	3272.00	USD	-8,187.50	
09AUG17	S 2 PD09NOV17	2945.00	3272.00	USD	-16,350.00	
09AUG17	S 1 PD09NOV17	2945.50	3272.00	USD	-8,162.50	
09AUG17	S 1 PD09NOV17	2947.50	3272.00	USD	-8,112.50	
09AUG17	S 1 PD09NOV17	2958.50	3272.00	USD	-7,837.50	
09AUG17	S 1 PD09NOV17	2967.50	3272.00	USD	-7,612.50	
09AUG17	S 1 PD09NOV17	2968.50	3272.00	USD	-7,587.50	
09AUG17	S 1 PD09NOV17	2979.50	3272.00	USD	-7,312.50	
09AUG17	S 1 PD09NOV17	2981.00	3272.00	USD	-7,275.00	
09AUG17	S 1 PD09NOV17	2981.50	3272.00	USD	-7,262.50	
09AUG17	S 1 PD09NOV17	2980.00	3272.00	USD	-7,300.00	
09AUG17	B 1 PD09NOV17	2968.50	3272.00	USD	7,587.50	
09AUG17	B 1 PD09NOV17	2967.50	3272.00	USD	7,612.50	
09AUG17	B 1 PD09NOV17	2965.00	3272.00	USD	7,675.00	
09AUG17	B 5 PD09NOV17	2961.50	3272.00	USD	38,812.50	
09AUG17	B 1 PD09NOV17	2961.00	3272.00	USD	7,775.00	
09AUG17	B 2 PD09NOV17	2962.00	3272.00	USD	15,500.00	
09AUG17	B 1 PD09NOV17	2960.00	3272.00	USD	7,800.00	
09AUG17	B 2 PD09NOV17	2956.00	3272.00	USD	15,800.00	
09AUG17	B 4 PD09NOV17	2957.00	3272.00	USD	31,500.00	
09AUG17	B 1 PD09NOV17	2950.00	3272.00	USD	8,050.00	
09AUG17	B 2 PD09NOV17	2951.00	3272.00	USD	16,050.00	
09AUG17	B 1 PD09NOV17	2949.00	3272.00	USD	8,075.00	
09AUG17	B 2 PD09NOV17	2948.50	3272.00	USD	16,175.00	
09AUG17	B 1 PD09NOV17	2947.50	3272.00	USD	8,112.50	
09AUG17	B 1 PD09NOV17	2947.00	3272.00	USD	8,125.00	
09AUG17	B 1 PD09NOV17	2953.50	3272.00	USD	7,962.50	
09AUG17	S 1 PD09NOV17	2960.00	3272.00	USD	-7,800.00	
09AUG17	S 1 PD09NOV17	2960.50	3272.00	USD	-7,787.50	
09AUG17	S 1 PD09NOV17	2964.50	3272.00	USD	-7,687.50	
09AUG17	S 2 PD09NOV17	2953.00	3272.00	USD	-15,950.00	
09AUG17	S 3 PD09NOV17	2954.50	3272.00	USD	-23,812.50	
09AUG17	S 1 PD09NOV17	2953.50	3272.00	USD	-7,962.50	
09AUG17	S 1 PD09NOV17	2955.00	3272.00	USD	-7,925.00	
09AUG17	S 1 PD09NOV17	2955.50	3272.00	USD	-7,912.50	
09AUG17	S 3 PD09NOV17	2963.50	3272.00	USD	-23,137.50	
09AUG17	S 1 PD09NOV17	2965.00	3272.00	USD	-7,675.00	
09AUG17	S 1 PD09NOV17	2967.00	3272.00	USD	-7,625.00	
09AUG17	S 1 PD09NOV17	2969.50	3272.00	USD	-7,562.50	
09AUG17	S 1 PD09NOV17	2972.50	3272.00	USD	-7,487.50	
09AUG17	S 2 PD09NOV17	2966.00	3272.00	USD	-15,300.00	
09AUG17	B 1 PD09NOV17	2964.00	3272.00	USD	7,700.00	
09AUG17	B 3 PD09NOV17	2955.00	3272.00	USD	23,775.00	
09AUG17	B 1 PD09NOV17	2952.00	3272.00	USD	8,000.00	
09AUG17	B 2 PD09NOV17	2945.00	3272.00	USD	16,350.00	
09AUG17	B 1 PD09NOV17	2944.00	3272.00	USD	8,200.00	
09AUG17	B 1 PD09NOV17	2942.00	3272.00	USD	8,250.00	
09AUG17	B 1 PD09NOV17	2939.00	3272.00	USD	8,325.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
09AUG17	B 1 PD09NOV17	2937.50	3272.00	USD	8,362.50	
09AUG17	S 1 PD09NOV17	2967.50	3272.00	USD	-7,612.50	
09AUG17	S 2 PD09NOV17	2957.50	3272.00	USD	-15,725.00	
09AUG17	S 4 PD09NOV17	2957.00	3272.00	USD	-31,500.00	
09AUG17	S 1 PD09NOV17	2954.50	3272.00	USD	-7,937.50	
09AUG17	B 2 PD09NOV17	2938.00	3272.00	USD	16,700.00	
09AUG17	B 2 PD09NOV17	2935.00	3272.00	USD	16,850.00	
09AUG17	B 1 PD09NOV17	2934.50	3272.00	USD	8,437.50	
09AUG17	B 2 PD09NOV17	2934.00	3272.00	USD	16,900.00	
09AUG17	B 1 PD09NOV17	2935.50	3272.00	USD	8,412.50	
09AUG17	B 2 PD09NOV17	2936.00	3272.00	USD	16,800.00	
09AUG17	B 1 PD09NOV17	2936.00	3272.00	USD	8,400.00	
10AUG17	S 1 PD09NOV17	2937.62	3272.00	USD	-8,359.50	
14AUG17	B 2 PD09NOV17	2916.20	3272.00	USD	17,790.00	
	NET 0 AVG BGHT 2948.61 SOLD 2956.21				**10,068.00**	**0.00**
10AUG17	B 1 PD10NOV17	2943.00	3272.00	USD	8,225.00	
10AUG17	S 2 PD10NOV17	2941.00	3272.00	USD	-16,550.00	
10AUG17	S 1 PD10NOV17	2947.00	3272.00	USD	-8,125.00	
10AUG17	B 1 PD10NOV17	2947.50	3272.00	USD	8,112.50	
10AUG17	B 1 PD10NOV17	2947.00	3272.00	USD	8,125.00	
10AUG17	B 1 PD10NOV17	2946.50	3272.00	USD	8,137.50	
10AUG17	S 7 PD10NOV17	2939.00	3272.00	USD	-58,275.00	
10AUG17	S 1 PD10NOV17	2935.50	3272.00	USD	-8,412.50	
10AUG17	S 1 PD10NOV17	2933.50	3272.00	USD	-8,462.50	
10AUG17	S 1 PD10NOV17	2937.50	3272.00	USD	-8,362.50	
10AUG17	B 1 PD10NOV17	2934.50	3272.00	USD	8,437.50	
10AUG17	B 1 PD10NOV17	2937.50	3272.00	USD	8,362.50	
10AUG17	S 3 PD10NOV17	2943.00	3272.00	USD	-24,675.00	
10AUG17	S 1 PD10NOV17	2946.00	3272.00	USD	-8,150.00	
10AUG17	S 2 PD10NOV17	2944.50	3272.00	USD	-16,375.00	
10AUG17	B 1 PD10NOV17	2938.00	3272.00	USD	8,350.00	
10AUG17	B 1 PD10NOV17	2936.50	3272.00	USD	8,387.50	
10AUG17	S 1 PD10NOV17	2950.00	3272.00	USD	-8,050.00	
10AUG17	S 2 PD10NOV17	2950.50	3272.00	USD	-16,075.00	
10AUG17	B 1 PD10NOV17	2935.50	3272.00	USD	8,412.50	
10AUG17	S 1 PD10NOV17	2942.50	3272.00	USD	-8,237.50	
10AUG17	S 2 PD10NOV17	2942.00	3272.00	USD	-16,500.00	
10AUG17	S 1 PD10NOV17	2943.00	3272.00	USD	-8,225.00	
10AUG17	B 1 PD10NOV17	2939.50	3272.00	USD	8,312.50	
10AUG17	B 3 PD10NOV17	2937.00	3272.00	USD	25,125.00	
10AUG17	S 2 PD10NOV17	2938.50	3272.00	USD	-16,675.00	
10AUG17	S 2 PD10NOV17	2940.00	3272.00	USD	-16,600.00	
10AUG17	B 2 PD10NOV17	2925.00	3272.00	USD	17,350.00	
10AUG17	B 1 PD10NOV17	2922.50	3272.00	USD	8,737.50	
10AUG17	B 3 PD10NOV17	2920.00	3272.00	USD	26,400.00	
10AUG17	B 4 PD10NOV17	2920.50	3272.00	USD	35,150.00	
10AUG17	B 1 PD10NOV17	2921.50	3272.00	USD	8,762.50	
10AUG17	B 1 PD10NOV17	2921.00	3272.00	USD	8,775.00	
10AUG17	B 1 PD10NOV17	2918.00	3272.00	USD	8,850.00	
10AUG17	B 1 PD10NOV17	2916.00	3272.00	USD	8,900.00	
10AUG17	B 1 PD10NOV17	2923.00	3272.00	USD	8,725.00	
10AUG17	B 4 PD10NOV17	2917.00	3272.00	USD	35,500.00	
10AUG17	B 2 PD10NOV17	2911.00	3272.00	USD	18,050.00	
10AUG17	B 1 PD10NOV17	2914.00	3272.00	USD	8,950.00	
10AUG17	S 2 PD10NOV17	2922.00	3272.00	USD	-17,500.00	
10AUG17	S 1 PD10NOV17	2919.00	3272.00	USD	-8,825.00	
10AUG17	S 1 PD10NOV17	2920.00	3272.00	USD	-8,800.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
10AUG17	S 1 PD10NOV17	2917.50	3272.00	USD	-8,862.50	
10AUG17	S 1 PD10NOV17	2918.50	3272.00	USD	-8,837.50	
10AUG17	S 1 PD10NOV17	2924.50	3272.00	USD	-8,687.50	
10AUG17	S 1 PD10NOV17	2933.00	3272.00	USD	-8,475.00	
10AUG17	B 1 PD10NOV17	2913.00	3272.00	USD	8,975.00	
10AUG17	S 2 PD10NOV17	2942.00	3272.00	USD	-16,500.00	
10AUG17	S 2 PD10NOV17	2952.00	3272.00	USD	-16,000.00	
10AUG17	S 1 PD10NOV17	2953.00	3272.00	USD	-7,975.00	
10AUG17	S 2 PD10NOV17	2953.50	3272.00	USD	-15,925.00	
10AUG17	S 2 PD10NOV17	2955.00	3272.00	USD	-15,850.00	
10AUG17	S 1 PD10NOV17	2948.00	3272.00	USD	-8,100.00	
10AUG17	S 1 PD10NOV17	2949.50	3272.00	USD	-8,062.50	
10AUG17	S 3 PD10NOV17	2943.50	3272.00	USD	-24,637.50	
10AUG17	B 1 PD10NOV17	2939.00	3272.00	USD	8,325.00	
10AUG17	S 1 PD10NOV17	2940.50	3272.00	USD	-8,287.50	
10AUG17	B 1 PD10NOV17	2930.00	3272.00	USD	8,550.00	
10AUG17	B 2 PD10NOV17	2929.00	3272.00	USD	17,150.00	
10AUG17	B 1 PD10NOV17	2925.50	3272.00	USD	8,662.50	
10AUG17	B 3 PD10NOV17	2928.00	3272.00	USD	25,800.00	
10AUG17	S 1 PD10NOV17	2954.00	3272.00	USD	-7,950.00	
10AUG17	B 1 PD10NOV17	2927.50	3272.00	USD	8,612.50	
11AUG17	B 1 PD10NOV17	2916.50	3272.00	USD	8,887.50	
11AUG17	B 1 PD10NOV17	2902.50	3272.00	USD	9,237.50	
11AUG17	S 1 PD10NOV17	2903.50	3272.00	USD	-9,212.50	
11AUG17	S 2 PD10NOV17	2908.50	3272.00	USD	-18,175.00	
11AUG17	S 1 PD10NOV17	2910.50	3272.00	USD	-9,037.50	
11AUG17	S 1 PD10NOV17	2910.00	3272.00	USD	-9,050.00	
11AUG17	B 2 PD10NOV17	2901.00	3272.00	USD	18,550.00	
11AUG17	B 2 PD10NOV17	2900.50	3272.00	USD	18,575.00	
11AUG17	B 1 PD10NOV17	2899.50	3272.00	USD	9,312.50	
11AUG17	B 2 PD10NOV17	2896.50	3272.00	USD	18,775.00	
11AUG17	B 2 PD10NOV17	2894.50	3272.00	USD	18,875.00	
11AUG17	B 1 PD10NOV17	2897.00	3272.00	USD	9,375.00	
11AUG17	B 2 PD10NOV17	2891.00	3272.00	USD	19,050.00	
11AUG17	B 1 PD10NOV17	2890.50	3272.00	USD	9,537.50	
11AUG17	B 1 PD10NOV17	2889.50	3272.00	USD	9,562.50	
11AUG17	S 2 PD10NOV17	2898.00	3272.00	USD	-18,700.00	
11AUG17	S 1 PD10NOV17	2901.50	3272.00	USD	-9,262.50	
11AUG17	S 3 PD10NOV17	2907.50	3272.00	USD	-27,337.50	
11AUG17	S 1 PD10NOV17	2908.00	3272.00	USD	-9,100.00	
11AUG17	S 2 PD10NOV17	2909.50	3272.00	USD	-18,125.00	
11AUG17	S 1 PD10NOV17	2913.00	3272.00	USD	-8,975.00	
11AUG17	S 1 PD10NOV17	2913.50	3272.00	USD	-8,962.50	
11AUG17	S 8 PD10NOV17	2911.00	3272.00	USD	-72,200.00	
11AUG17	S 2 PD10NOV17	2914.50	3272.00	USD	-17,875.00	
11AUG17	S 1 PD10NOV17	2916.00	3272.00	USD	-8,900.00	
11AUG17	S 1 PD10NOV17	2925.00	3272.00	USD	-8,675.00	
11AUG17	S 1 PD10NOV17	2920.50	3272.00	USD	-8,787.50	
11AUG17	S 1 PD10NOV17	2916.50	3272.00	USD	-8,887.50	
11AUG17	S 1 PD10NOV17	2915.50	3272.00	USD	-8,912.50	
11AUG17	S 1 PD10NOV17	2921.50	3272.00	USD	-8,762.50	
11AUG17	B 1 PD10NOV17	2921.50	3272.00	USD	8,762.50	
11AUG17	S 2 PD10NOV17	2920.00	3272.00	USD	-17,600.00	
11AUG17	S 3 PD10NOV17	2919.00	3272.00	USD	-26,475.00	
11AUG17	S 1 PD10NOV17	2919.50	3272.00	USD	-8,812.50	
11AUG17	B 1 PD10NOV17	2905.50	3272.00	USD	9,162.50	
11AUG17	B 1 PD10NOV17	2897.50	3272.00	USD	9,362.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
11AUG17	S 1 PD10NOV17	2915.50	3272.00	USD	-8,912.50	
11AUG17	S 1 PD10NOV17	2919.00	3272.00	USD	-8,825.00	
11AUG17	B 2 PD10NOV17	2904.00	3272.00	USD	18,400.00	
11AUG17	B 1 PD10NOV17	2903.50	3272.00	USD	9,212.50	
11AUG17	B 2 PD10NOV17	2906.50	3272.00	USD	18,275.00	
11AUG17	B 3 PD10NOV17	2906.00	3272.00	USD	27,450.00	
11AUG17	B 8 PD10NOV17	2904.50	3272.00	USD	73,500.00	
11AUG17	B 1 PD10NOV17	2915.00	3272.00	USD	8,925.00	
11AUG17	B 1 PD10NOV17	2913.50	3272.00	USD	8,962.50	
11AUG17	B 1 PD10NOV17	2911.00	3272.00	USD	9,025.00	
11AUG17	B 2 PD10NOV17	2909.50	3272.00	USD	18,125.00	
11AUG17	B 2 PD10NOV17	2908.00	3272.00	USD	18,200.00	
11AUG17	B 3 PD10NOV17	2907.50	3272.00	USD	27,337.50	
11AUG17	B 4 PD10NOV17	2908.50	3272.00	USD	36,350.00	
11AUG17	S 1 PD10NOV17	2895.00	3272.00	USD	-9,425.00	
11AUG17	S 3 PD10NOV17	2906.00	3272.00	USD	-27,450.00	
11AUG17	S 1 PD10NOV17	2905.00	3272.00	USD	-9,175.00	
11AUG17	S 3 PD10NOV17	2902.50	3272.00	USD	-27,712.50	
11AUG17	S 1 PD10NOV17	2904.50	3272.00	USD	-9,187.50	
11AUG17	B 1 PD10NOV17	2899.00	3272.00	USD	9,325.00	
11AUG17	B 5 PD10NOV17	2903.00	3272.00	USD	46,125.00	
11AUG17	S 2 PD10NOV17	2903.00	3272.00	USD	-18,450.00	
11AUG17	B 2 PD10NOV17	2905.00	3272.00	USD	18,350.00	
11AUG17	B 3 PD10NOV17	2900.00	3272.00	USD	27,900.00	
14AUG17	S 3 PD10NOV17	2916.16	3272.00	USD	-26,688.00	
22AUG17	B 3 PD10NOV17	3118.83	3272.00	USD	11,487.75	
	NET 0 AVG BGHT 2919.34 SOLD 2925.82				**17,512.25**	**0.00**
14AUG17	S 1 PD14NOV17	2919.50	3270.33	USD	-8,770.75	
14AUG17	S 1 PD14NOV17	2918.00	3270.33	USD	-8,808.25	
14AUG17	S 2 PD14NOV17	2916.50	3270.33	USD	-17,691.50	
14AUG17	S 5 PD14NOV17	2920.00	3270.33	USD	-43,791.25	
14AUG17	S 1 PD14NOV17	2919.00	3270.33	USD	-8,783.25	
14AUG17	S 2 PD14NOV17	2918.50	3270.33	USD	-17,591.50	
14AUG17	S 1 PD14NOV17	2917.00	3270.33	USD	-8,833.25	
14AUG17	B 1 PD14NOV17	2913.50	3270.33	USD	8,920.75	
14AUG17	B 1 PD14NOV17	2912.50	3270.33	USD	8,945.75	
14AUG17	B 1 PD14NOV17	2908.00	3270.33	USD	9,058.25	
14AUG17	S 1 PD14NOV17	2910.00	3270.33	USD	-9,008.25	
14AUG17	B 3 PD14NOV17	2906.00	3270.33	USD	27,324.75	
14AUG17	B 4 PD14NOV17	2906.50	3270.33	USD	36,383.00	
14AUG17	S 3 PD14NOV17	2916.00	3270.33	USD	-26,574.75	
14AUG17	B 1 PD14NOV17	2912.00	3270.33	USD	8,958.25	
14AUG17	S 1 PD14NOV17	2909.50	3270.33	USD	-9,020.75	
14AUG17	S 1 PD14NOV17	2915.00	3270.33	USD	-8,883.25	
14AUG17	B 1 PD14NOV17	2908.50	3270.33	USD	9,045.75	
14AUG17	B 4 PD14NOV17	2905.00	3270.33	USD	36,533.00	
14AUG17	S 1 PD14NOV17	2906.00	3270.33	USD	-9,108.25	
14AUG17	B 1 PD14NOV17	2908.50	3270.33	USD	9,045.75	
14AUG17	S 2 PD14NOV17	2913.00	3270.33	USD	-17,866.50	
14AUG17	S 1 PD14NOV17	2913.50	3270.33	USD	-8,920.75	
14AUG17	B 1 PD14NOV17	2902.00	3270.33	USD	9,208.25	
14AUG17	B 1 PD14NOV17	2899.50	3270.33	USD	9,270.75	
14AUG17	B 1 PD14NOV17	2899.00	3270.33	USD	9,283.25	
14AUG17	B 2 PD14NOV17	2898.50	3270.33	USD	18,591.50	
14AUG17	S 1 PD14NOV17	2902.00	3270.33	USD	-9,208.25	
14AUG17	S 1 PD14NOV17	2909.00	3270.33	USD	-9,033.25	
14AUG17	S 3 PD14NOV17	2912.00	3270.33	USD	-26,874.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
14AUG17	S 7 PD14NOV17	2914.00	3270.33	USD	-62,357.75	
14AUG17	S 4 PD14NOV17	2914.50	3270.33	USD	-35,583.00	
14AUG17	S 2 PD14NOV17	2915.50	3270.33	USD	-17,741.50	
14AUG17	S 4 PD14NOV17	2917.50	3270.33	USD	-35,283.00	
14AUG17	B 1 PD14NOV17	2903.00	3270.33	USD	9,183.25	
14AUG17	B 3 PD14NOV17	2905.50	3270.33	USD	27,362.25	
14AUG17	S 2 PD14NOV17	2911.50	3270.33	USD	-17,941.50	
14AUG17	S 4 PD14NOV17	2912.50	3270.33	USD	-35,783.00	
14AUG17	B 4 PD14NOV17	2907.50	3270.33	USD	36,283.00	
14AUG17	S 1 PD14NOV17	2913.50	3270.33	USD	-8,920.75	
14AUG17	B 5 PD14NOV17	2902.50	3270.33	USD	45,978.75	
14AUG17	B 2 PD14NOV17	2903.50	3270.33	USD	18,341.50	
14AUG17	B 3 PD14NOV17	2904.00	3270.33	USD	27,474.75	
14AUG17	B 1 PD14NOV17	2923.00	3270.33	USD	8,683.25	
14AUG17	B 1 PD14NOV17	2922.50	3270.33	USD	8,695.75	
14AUG17	B 1 PD14NOV17	2920.50	3270.33	USD	8,745.75	
14AUG17	B 7 PD14NOV17	2920.00	3270.33	USD	61,307.75	
14AUG17	B 1 PD14NOV17	2920.00	3270.33	USD	8,758.25	
14AUG17	B 1 PD14NOV17	2923.50	3270.33	USD	8,670.75	
14AUG17	B 1 PD14NOV17	2924.50	3270.33	USD	8,645.75	
22AUG17	S 2 PD14NOV17	3119.17	3270.33	USD	-7,558.00	
29AUG17	B 1 PD14NOV17	3109.75	3270.33	USD	4,014.50	
	NET 0 AVG BGHT 2912.76 SOLD 2922.23				**12,777.25**	**0.00**
15AUG17	B 1 PD15NOV17	2936.00	3269.50	USD	8,337.50	
22AUG17	S 1 PD15NOV17	3119.30	3269.50	USD	-3,755.00	
	NET 0 AVG BGHT 2936.00 SOLD 3119.30				**4,582.50**	**0.00**
21AUG17	S 1 PD21NOV17	3164.50	3264.83	USD	-2,508.25	
21AUG17	S 2 PD21NOV17	3171.50	3264.83	USD	-4,666.50	
21AUG17	S 1 PD21NOV17	3173.50	3264.83	USD	-2,283.25	
21AUG17	S 2 PD21NOV17	3172.50	3264.83	USD	-4,616.50	
21AUG17	B 1 PD21NOV17	3178.50	3264.83	USD	2,158.25	
21AUG17	S 1 PD21NOV17	3165.50	3264.83	USD	-2,483.25	
21AUG17	B 1 PD21NOV17	3170.50	3264.83	USD	2,358.25	
21AUG17	B 1 PD21NOV17	3163.50	3264.83	USD	2,533.25	
21AUG17	B 1 PD21NOV17	3164.50	3264.83	USD	2,508.25	
21AUG17	S 1 PD21NOV17	3170.50	3264.83	USD	-2,358.25	
21AUG17	S 2 PD21NOV17	3171.00	3264.83	USD	-4,691.50	
21AUG17	S 1 PD21NOV17	3166.50	3264.83	USD	-2,458.25	
21AUG17	B 1 PD21NOV17	3161.50	3264.83	USD	2,583.25	
21AUG17	B 1 PD21NOV17	3151.50	3264.83	USD	2,833.25	
21AUG17	B 1 PD21NOV17	3152.00	3264.83	USD	2,820.75	
21AUG17	B 1 PD21NOV17	3148.50	3264.83	USD	2,908.25	
21AUG17	B 2 PD21NOV17	3133.50	3264.83	USD	6,566.50	
21AUG17	B 1 PD21NOV17	3158.00	3264.83	USD	2,670.75	
21AUG17	B 1 PD21NOV17	3154.00	3264.83	USD	2,770.75	
21AUG17	B 2 PD21NOV17	3155.50	3264.83	USD	5,466.50	
21AUG17	B 1 PD21NOV17	3143.00	3264.83	USD	3,045.75	
21AUG17	B 2 PD21NOV17	3141.50	3264.83	USD	6,166.50	
21AUG17	B 1 PD21NOV17	3139.00	3264.83	USD	3,145.75	
21AUG17	B 1 PD21NOV17	3152.50	3264.83	USD	2,808.25	
21AUG17	B 1 PD21NOV17	3145.50	3264.83	USD	2,983.25	
21AUG17	B 1 PD21NOV17	3146.00	3264.83	USD	2,970.75	
21AUG17	S 1 PD21NOV17	3151.50	3264.83	USD	-2,833.25	
21AUG17	B 2 PD21NOV17	3147.00	3264.83	USD	5,891.50	
21AUG17	S 1 PD21NOV17	3152.00	3264.83	USD	-2,820.75	
21AUG17	S 1 PD21NOV17	3137.00	3264.83	USD	-3,195.75	
21AUG17	B 1 PD21NOV17	3132.00	3264.83	USD	3,320.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
21AUG17	S 1 PD21NOV17	3140.00	3264.83	USD	-3,120.75	
21AUG17	S 1 PD21NOV17	3142.00	3264.83	USD	-3,070.75	
21AUG17	S 1 PD21NOV17	3153.00	3264.83	USD	-2,795.75	
21AUG17	S 1 PD21NOV17	3171.50	3264.83	USD	-2,333.25	
21AUG17	S 1 PD21NOV17	3172.00	3264.83	USD	-2,320.75	
21AUG17	S 1 PD21NOV17	3134.50	3264.83	USD	-3,258.25	
21AUG17	B 2 PD21NOV17	3129.00	3264.83	USD	6,791.50	
21AUG17	S 1 PD21NOV17	3150.00	3264.83	USD	-2,870.75	
21AUG17	S 1 PD21NOV17	3137.50	3264.83	USD	-3,183.25	
21AUG17	S 1 PD21NOV17	3131.00	3264.83	USD	-3,345.75	
21AUG17	S 2 PD21NOV17	3151.00	3264.83	USD	-5,691.50	
21AUG17	S 1 PD21NOV17	3144.00	3264.83	USD	-3,020.75	
21AUG17	S 2 PD21NOV17	3143.50	3264.83	USD	-6,066.50	
21AUG17	S 2 PD21NOV17	3152.50	3264.83	USD	-5,616.50	
21AUG17	S 2 PD21NOV17	3158.00	3264.83	USD	-5,341.50	
21AUG17	S 2 PD21NOV17	3156.50	3264.83	USD	-5,416.50	
21AUG17	B 3 PD21NOV17	3144.00	3264.83	USD	9,062.25	
21AUG17	B 1 PD21NOV17	3137.50	3264.83	USD	3,183.25	
21AUG17	B 4 PD21NOV17	3141.00	3264.83	USD	12,383.00	
21AUG17	B 1 PD21NOV17	3140.50	3264.83	USD	3,108.25	
21AUG17	B 3 PD21NOV17	3138.00	3264.83	USD	9,512.25	
21AUG17	B 2 PD21NOV17	3139.50	3264.83	USD	6,266.50	
21AUG17	B 5 PD21NOV17	3128.00	3264.83	USD	17,103.75	
21AUG17	S 2 PD21NOV17	3129.50	3264.83	USD	-6,766.50	
21AUG17	B 2 PD21NOV17	3124.00	3264.83	USD	7,041.50	
21AUG17	B 2 PD21NOV17	3122.50	3264.83	USD	7,116.50	
21AUG17	B 3 PD21NOV17	3125.50	3264.83	USD	10,449.75	
21AUG17	S 7 PD21NOV17	3140.00	3264.83	USD	-21,845.25	
21AUG17	S 3 PD21NOV17	3141.00	3264.83	USD	-9,287.25	
21AUG17	S 4 PD21NOV17	3141.50	3264.83	USD	-12,333.00	
21AUG17	S 1 PD21NOV17	3139.00	3264.83	USD	-3,145.75	
21AUG17	S 4 PD21NOV17	3139.50	3264.83	USD	-12,533.00	
21AUG17	S 2 PD21NOV17	3138.00	3264.83	USD	-6,341.50	
21AUG17	S 2 PD21NOV17	3138.50	3264.83	USD	-6,316.50	
21AUG17	S 1 PD21NOV17	3136.00	3264.83	USD	-3,220.75	
21AUG17	B 3 PD21NOV17	3129.50	3264.83	USD	10,149.75	
21AUG17	S 5 PD21NOV17	3131.50	3264.83	USD	-16,666.25	
21AUG17	B 2 PD21NOV17	3134.50	3264.83	USD	6,516.50	
21AUG17	B 1 PD21NOV17	3128.50	3264.83	USD	3,408.25	
21AUG17	B 2 PD21NOV17	3126.00	3264.83	USD	6,941.50	
21AUG17	B 2 PD21NOV17	3124.50	3264.83	USD	7,016.50	
21AUG17	B 3 PD21NOV17	3122.00	3264.83	USD	10,712.25	
21AUG17	S 1 PD21NOV17	3116.50	3264.83	USD	-3,708.25	
21AUG17	B 1 PD21NOV17	3128.00	3264.83	USD	3,420.75	
21AUG17	S 1 PD21NOV17	3124.50	3264.83	USD	-3,508.25	
06SEP17	B 1 PD21NOV17	3092.29	3264.83	USD	4,313.50	
	NET 0 AVG BGHT 3137.66 SOLD 3146.60				**14,967.75**	**0.00**
22AUG17	S 2 PD22NOV17	3121.00	3264.50	USD	-7,175.00	
22AUG17	S 3 PD22NOV17	3123.00	3264.50	USD	-10,612.50	
22AUG17	S 1 PD22NOV17	3124.50	3264.50	USD	-3,500.00	
22AUG17	S 1 PD22NOV17	3134.00	3264.50	USD	-3,262.50	
22AUG17	B 2 PD22NOV17	3143.50	3264.50	USD	6,050.00	
22AUG17	B 3 PD22NOV17	3145.50	3264.50	USD	8,925.00	
22AUG17	S 1 PD22NOV17	3132.50	3264.50	USD	-3,300.00	
22AUG17	S 2 PD22NOV17	3130.50	3264.50	USD	-6,700.00	
22AUG17	S 1 PD22NOV17	3137.50	3264.50	USD	-3,175.00	
22AUG17	S 3 PD22NOV17	3138.00	3264.50	USD	-9,487.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
22AUG17	B 2 PD22NOV17	3140.00	3264.50	USD	6,225.00	
22AUG17	S 1 PD22NOV17	3143.50	3264.50	USD	-3,025.00	
22AUG17	S 1 PD22NOV17	3142.00	3264.50	USD	-3,062.50	
22AUG17	S 3 PD22NOV17	3143.00	3264.50	USD	-9,112.50	
22AUG17	S 2 PD22NOV17	3144.50	3264.50	USD	-6,000.00	
22AUG17	S 2 PD22NOV17	3144.00	3264.50	USD	-6,025.00	
22AUG17	S 2 PD22NOV17	3146.00	3264.50	USD	-5,925.00	
22AUG17	S 2 PD22NOV17	3148.50	3264.50	USD	-5,800.00	
22AUG17	S 1 PD22NOV17	3149.50	3264.50	USD	-2,875.00	
22AUG17	S 1 PD22NOV17	3150.00	3264.50	USD	-2,862.50	
22AUG17	S 1 PD22NOV17	3151.50	3264.50	USD	-2,825.00	
22AUG17	S 2 PD22NOV17	3158.50	3264.50	USD	-5,300.00	
22AUG17	S 1 PD22NOV17	3160.00	3264.50	USD	-2,612.50	
22AUG17	S 1 PD22NOV17	3160.50	3264.50	USD	-2,600.00	
22AUG17	S 1 PD22NOV17	3163.00	3264.50	USD	-2,537.50	
22AUG17	S 1 PD22NOV17	3163.50	3264.50	USD	-2,525.00	
22AUG17	S 1 PD22NOV17	3164.00	3264.50	USD	-2,512.50	
22AUG17	S 4 PD22NOV17	3162.00	3264.50	USD	-10,250.00	
22AUG17	B 3 PD22NOV17	3149.00	3264.50	USD	8,662.50	
22AUG17	B 2 PD22NOV17	3148.00	3264.50	USD	5,825.00	
22AUG17	B 2 PD22NOV17	3145.00	3264.50	USD	5,975.00	
22AUG17	B 3 PD22NOV17	3143.00	3264.50	USD	9,112.50	
22AUG17	B 1 PD22NOV17	3148.50	3264.50	USD	2,900.00	
22AUG17	S 1 PD22NOV17	3153.50	3264.50	USD	-2,775.00	
22AUG17	B 1 PD22NOV17	3155.50	3264.50	USD	2,725.00	
22AUG17	B 1 PD22NOV17	3144.00	3264.50	USD	3,012.50	
22AUG17	S 1 PD22NOV17	3133.00	3264.50	USD	-3,287.50	
22AUG17	S 1 PD22NOV17	3147.00	3264.50	USD	-2,937.50	
22AUG17	S 1 PD22NOV17	3158.50	3264.50	USD	-2,650.00	
22AUG17	S 1 PD22NOV17	3155.00	3264.50	USD	-2,737.50	
22AUG17	S 1 PD22NOV17	3164.50	3264.50	USD	-2,500.00	
22AUG17	B 1 PD22NOV17	3157.50	3264.50	USD	2,675.00	
22AUG17	B 4 PD22NOV17	3146.50	3264.50	USD	11,800.00	
22AUG17	B 1 PD22NOV17	3142.00	3264.50	USD	3,062.50	
22AUG17	B 1 PD22NOV17	3137.50	3264.50	USD	3,175.00	
22AUG17	S 1 PD22NOV17	3133.50	3264.50	USD	-3,275.00	
22AUG17	B 1 PD22NOV17	3127.50	3264.50	USD	3,425.00	
22AUG17	B 1 PD22NOV17	3129.00	3264.50	USD	3,387.50	
22AUG17	B 1 PD22NOV17	3134.50	3264.50	USD	3,250.00	
22AUG17	B 1 PD22NOV17	3126.50	3264.50	USD	3,450.00	
22AUG17	B 1 PD22NOV17	3124.50	3264.50	USD	3,500.00	
22AUG17	B 1 PD22NOV17	3121.50	3264.50	USD	3,575.00	
22AUG17	B 2 PD22NOV17	3122.50	3264.50	USD	7,100.00	
22AUG17	S 1 PD22NOV17	3130.00	3264.50	USD	-3,362.50	
22AUG17	S 1 PD22NOV17	3131.00	3264.50	USD	-3,337.50	
22AUG17	B 2 PD22NOV17	3125.50	3264.50	USD	6,950.00	
22AUG17	B 1 PD22NOV17	3128.50	3264.50	USD	3,400.00	
22AUG17	B 2 PD22NOV17	3131.00	3264.50	USD	6,675.00	
22AUG17	B 2 PD22NOV17	3131.50	3264.50	USD	6,650.00	
22AUG17	B 1 PD22NOV17	3126.00	3264.50	USD	3,462.50	
22AUG17	B 5 PD22NOV17	3123.50	3264.50	USD	17,625.00	
22AUG17	S 2 PD22NOV17	3120.00	3264.50	USD	-7,225.00	
22AUG17	B 1 PD22NOV17	3120.50	3264.50	USD	3,600.00	
22AUG17	B 1 PD22NOV17	3124.00	3264.50	USD	3,512.50	
22AUG17	B 1 PD22NOV17	3116.50	3264.50	USD	3,700.00	
22AUG17	S 1 PD22NOV17	3127.00	3264.50	USD	-3,437.50	
22AUG17	B 3 PD22NOV17	3122.00	3264.50	USD	10,687.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
22AUG17	B 3 PD22NOV17	3121.00	3264.50	USD	10,762.50	
22AUG17	B 2 PD22NOV17	3119.50	3264.50	USD	7,250.00	
22AUG17	S 1 PD22NOV17	3116.50	3264.50	USD	-3,700.00	
22AUG17	B 1 PD22NOV17	3115.00	3264.50	USD	3,737.50	
22AUG17	S 1 PD22NOV17	3112.50	3264.50	USD	-3,800.00	
22AUG17	S 2 PD22NOV17	3113.50	3264.50	USD	-7,550.00	
22AUG17	B 1 PD22NOV17	3117.50	3264.50	USD	3,675.00	
22AUG17	B 1 PD22NOV17	3115.50	3264.50	USD	3,725.00	
22AUG17	B 1 PD22NOV17	3114.00	3264.50	USD	3,762.50	
22AUG17	B 1 PD22NOV17	3112.50	3264.50	USD	3,800.00	
22AUG17	B 1 PD22NOV17	3111.50	3264.50	USD	3,825.00	
22AUG17	B 1 PD22NOV17	3103.00	3264.50	USD	4,037.50	
22AUG17	B 1 PD22NOV17	3102.00	3264.50	USD	4,062.50	
22AUG17	B 1 PD22NOV17	3101.00	3264.50	USD	4,087.50	
22AUG17	B 1 PD22NOV17	3151.00	3264.50	USD	2,837.50	
22AUG17	S 1 PD22NOV17	3116.00	3264.50	USD	-3,712.50	
22AUG17	S 2 PD22NOV17	3115.50	3264.50	USD	-7,450.00	
22AUG17	S 2 PD22NOV17	3115.00	3264.50	USD	-7,475.00	
22AUG17	S 1 PD22NOV17	3123.50	3264.50	USD	-3,525.00	
22AUG17	S 4 PD22NOV17	3121.50	3264.50	USD	-14,300.00	
29AUG17	S 1 PD22NOV17	3108.23	3264.50	USD	-3,906.75	
29AUG17	S 1 PD22NOV17	3108.43	3264.50	USD	-3,901.75	
	NET 0 AVG BGHT 3131.38 SOLD 3137.02				**9,729.00**	**0.00**
23AUG17	S 2 PD24NOV17	3118.50	3264.71	USD	-7,310.50	
23AUG17	S 2 PD24NOV17	3119.50	3264.71	USD	-7,260.50	
23AUG17	S 1 PD24NOV17	3118.00	3264.71	USD	-3,667.75	
23AUG17	S 1 PD24NOV17	3116.50	3264.71	USD	-3,705.25	
23AUG17	S 2 PD24NOV17	3119.00	3264.71	USD	-7,285.50	
23AUG17	S 1 PD24NOV17	3117.00	3264.71	USD	-3,692.75	
23AUG17	S 1 PD24NOV17	3120.50	3264.71	USD	-3,605.25	
23AUG17	S 2 PD24NOV17	3129.00	3264.71	USD	-6,785.50	
23AUG17	S 2 PD24NOV17	3130.00	3264.71	USD	-6,735.50	
23AUG17	S 1 PD24NOV17	3131.00	3264.71	USD	-3,342.75	
23AUG17	S 1 PD24NOV17	3134.50	3264.71	USD	-3,255.25	
23AUG17	S 4 PD24NOV17	3135.00	3264.71	USD	-12,971.00	
23AUG17	B 1 PD24NOV17	3130.50	3264.71	USD	3,355.25	
23AUG17	B 1 PD24NOV17	3128.00	3264.71	USD	3,417.75	
23AUG17	B 1 PD24NOV17	3127.00	3264.71	USD	3,442.75	
23AUG17	B 1 PD24NOV17	3126.00	3264.71	USD	3,467.75	
23AUG17	B 1 PD24NOV17	3125.00	3264.71	USD	3,492.75	
23AUG17	S 6 PD24NOV17	3124.00	3264.71	USD	-21,106.50	
23AUG17	S 1 PD24NOV17	3123.50	3264.71	USD	-3,530.25	
23AUG17	S 4 PD24NOV17	3123.00	3264.71	USD	-14,171.00	
23AUG17	S 2 PD24NOV17	3127.00	3264.71	USD	-6,885.50	
23AUG17	S 6 PD24NOV17	3127.50	3264.71	USD	-20,581.50	
23AUG17	S 4 PD24NOV17	3124.50	3264.71	USD	-14,021.00	
23AUG17	S 2 PD24NOV17	3126.50	3264.71	USD	-6,910.50	
23AUG17	S 7 PD24NOV17	3128.00	3264.71	USD	-23,924.25	
23AUG17	S 2 PD24NOV17	3125.50	3264.71	USD	-6,960.50	
23AUG17	S 3 PD24NOV17	3126.00	3264.71	USD	-10,403.25	
23AUG17	S 1 PD24NOV17	3121.50	3264.71	USD	-3,580.25	
23AUG17	S 1 PD24NOV17	3120.00	3264.71	USD	-3,617.75	
23AUG17	B 1 PD24NOV17	3123.00	3264.71	USD	3,542.75	
23AUG17	B 2 PD24NOV17	3130.00	3264.71	USD	6,735.50	
23AUG17	S 2 PD24NOV17	3141.00	3264.71	USD	-6,185.50	
23AUG17	S 1 PD24NOV17	3142.00	3264.71	USD	-3,067.75	
23AUG17	S 2 PD24NOV17	3143.00	3264.71	USD	-6,085.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
23AUG17	S 1 PD24NOV17	3144.50	3264.71	USD	-3,005.25	
23AUG17	S 1 PD24NOV17	3145.00	3264.71	USD	-2,992.75	
23AUG17	S 2 PD24NOV17	3146.00	3264.71	USD	-5,935.50	
23AUG17	S 3 PD24NOV17	3143.50	3264.71	USD	-9,090.75	
23AUG17	B 1 PD24NOV17	3135.00	3264.71	USD	3,242.75	
23AUG17	B 1 PD24NOV17	3139.50	3264.71	USD	3,130.25	
23AUG17	B 1 PD24NOV17	3138.00	3264.71	USD	3,167.75	
23AUG17	B 1 PD24NOV17	3122.50	3264.71	USD	3,555.25	
23AUG17	B 1 PD24NOV17	3129.50	3264.71	USD	3,380.25	
23AUG17	B 1 PD24NOV17	3132.00	3264.71	USD	3,317.75	
23AUG17	B 2 PD24NOV17	3124.50	3264.71	USD	7,010.50	
23AUG17	B 3 PD24NOV17	3121.50	3264.71	USD	10,740.75	
23AUG17	B 3 PD24NOV17	3122.50	3264.71	USD	10,665.75	
23AUG17	B 3 PD24NOV17	3122.00	3264.71	USD	10,703.25	
23AUG17	B 2 PD24NOV17	3121.00	3264.71	USD	7,185.50	
23AUG17	B 6 PD24NOV17	3120.50	3264.71	USD	21,631.50	
23AUG17	B 3 PD24NOV17	3118.50	3264.71	USD	10,965.75	
23AUG17	B 3 PD24NOV17	3120.00	3264.71	USD	10,853.25	
23AUG17	B 6 PD24NOV17	3119.50	3264.71	USD	21,781.50	
23AUG17	B 5 PD24NOV17	3119.00	3264.71	USD	18,213.75	
23AUG17	B 3 PD24NOV17	3118.00	3264.71	USD	11,003.25	
23AUG17	B 3 PD24NOV17	3117.50	3264.71	USD	11,040.75	
23AUG17	B 3 PD24NOV17	3117.00	3264.71	USD	11,078.25	
23AUG17	B 1 PD24NOV17	3115.50	3264.71	USD	3,730.25	
23AUG17	B 2 PD24NOV17	3116.50	3264.71	USD	7,410.50	
23AUG17	B 1 PD24NOV17	3114.00	3264.71	USD	3,767.75	
23AUG17	B 3 PD24NOV17	3115.00	3264.71	USD	11,228.25	
23AUG17	B 1 PD24NOV17	3116.00	3264.71	USD	3,717.75	
23AUG17	B 1 PD24NOV17	3114.50	3264.71	USD	3,755.25	
23AUG17	B 2 PD24NOV17	3113.50	3264.71	USD	7,560.50	
23AUG17	B 2 PD24NOV17	3109.50	3264.71	USD	7,760.50	
23AUG17	B 2 PD24NOV17	3108.00	3264.71	USD	7,835.50	
23AUG17	B 2 PD24NOV17	3107.00	3264.71	USD	7,885.50	
23AUG17	B 2 PD24NOV17	3105.50	3264.71	USD	7,960.50	
23AUG17	S 1 PD24NOV17	3100.50	3264.71	USD	-4,105.25	
23AUG17	B 2 PD24NOV17	3100.50	3264.71	USD	8,210.50	
23AUG17	B 1 PD24NOV17	3097.00	3264.71	USD	4,192.75	
23AUG17	B 2 PD24NOV17	3096.50	3264.71	USD	8,410.50	
23AUG17	B 1 PD24NOV17	3087.50	3264.71	USD	4,430.25	
23AUG17	S 1 PD24NOV17	3104.50	3264.71	USD	-4,005.25	
23AUG17	S 3 PD24NOV17	3105.00	3264.71	USD	-11,978.25	
23AUG17	S 1 PD24NOV17	3110.00	3264.71	USD	-3,867.75	
23AUG17	S 2 PD24NOV17	3111.00	3264.71	USD	-7,685.50	
23AUG17	B 1 PD24NOV17	3094.50	3264.71	USD	4,255.25	
23AUG17	B 2 PD24NOV17	3093.50	3264.71	USD	8,560.50	
23AUG17	B 2 PD24NOV17	3094.00	3264.71	USD	8,535.50	
23AUG17	S 1 PD24NOV17	3098.00	3264.71	USD	-4,167.75	
23AUG17	S 5 PD24NOV17	3107.50	3264.71	USD	-19,651.25	
23AUG17	B 1 PD24NOV17	3101.50	3264.71	USD	4,080.25	
23AUG17	B 2 PD24NOV17	3103.00	3264.71	USD	8,085.50	
23AUG17	S 3 PD24NOV17	3109.00	3264.71	USD	-11,678.25	
23AUG17	B 1 PD24NOV17	3098.50	3264.71	USD	4,155.25	
23AUG17	B 2 PD24NOV17	3099.50	3264.71	USD	8,260.50	
23AUG17	B 3 PD24NOV17	3102.50	3264.71	USD	12,165.75	
23AUG17	B 3 PD24NOV17	3099.00	3264.71	USD	12,428.25	
23AUG17	B 3 PD24NOV17	3098.00	3264.71	USD	12,503.25	
24AUG17	S 2 PD24NOV17	3120.50	3264.71	USD	-7,210.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
24AUG17	B 1 PD24NOV17	3111.50	3264.71	USD	3,830.25	
24AUG17	B 2 PD24NOV17	3101.50	3264.71	USD	8,160.50	
24AUG17	S 2 PD24NOV17	3115.00	3264.71	USD	-7,485.50	
24AUG17	S 5 PD24NOV17	3116.50	3264.71	USD	-18,526.25	
24AUG17	S 1 PD24NOV17	3117.50	3264.71	USD	-3,680.25	
24AUG17	S 5 PD24NOV17	3116.00	3264.71	USD	-18,588.75	
24AUG17	S 2 PD24NOV17	3114.50	3264.71	USD	-7,510.50	
24AUG17	S 5 PD24NOV17	3109.00	3264.71	USD	-19,463.75	
24AUG17	S 3 PD24NOV17	3109.50	3264.71	USD	-11,640.75	
24AUG17	B 2 PD24NOV17	3099.50	3264.71	USD	8,260.50	
24AUG17	B 1 PD24NOV17	3103.00	3264.71	USD	4,042.75	
24AUG17	B 1 PD24NOV17	3102.50	3264.71	USD	4,055.25	
24AUG17	S 1 PD24NOV17	3118.50	3264.71	USD	-3,655.25	
24AUG17	B 1 PD24NOV17	3102.00	3264.71	USD	4,067.75	
24AUG17	B 2 PD24NOV17	3104.00	3264.71	USD	8,035.50	
24AUG17	B 2 PD24NOV17	3106.50	3264.71	USD	7,910.50	
24AUG17	B 1 PD24NOV17	3105.00	3264.71	USD	3,992.75	
24AUG17	B 1 PD24NOV17	3104.50	3264.71	USD	4,005.25	
24AUG17	B 1 PD24NOV17	3099.00	3264.71	USD	4,142.75	
24AUG17	S 5 PD24NOV17	3118.00	3264.71	USD	-18,338.75	
24AUG17	S 2 PD24NOV17	3110.00	3264.71	USD	-7,735.50	
24AUG17	S 3 PD24NOV17	3112.00	3264.71	USD	-11,453.25	
24AUG17	S 4 PD24NOV17	3111.50	3264.71	USD	-15,321.00	
24AUG17	S 1 PD24NOV17	3115.50	3264.71	USD	-3,730.25	
24AUG17	S 2 PD24NOV17	3119.50	3264.71	USD	-7,260.50	
24AUG17	S 2 PD24NOV17	3121.00	3264.71	USD	-7,185.50	
24AUG17	S 1 PD24NOV17	3119.00	3264.71	USD	-3,642.75	
24AUG17	S 1 PD24NOV17	3129.00	3264.71	USD	-3,392.75	
24AUG17	B 2 PD24NOV17	3124.50	3264.71	USD	7,010.50	
24AUG17	B 2 PD24NOV17	3124.00	3264.71	USD	7,035.50	
24AUG17	B 1 PD24NOV17	3123.00	3264.71	USD	3,542.75	
24AUG17	B 1 PD24NOV17	3120.00	3264.71	USD	3,617.75	
24AUG17	B 1 PD24NOV17	3118.00	3264.71	USD	3,667.75	
24AUG17	B 1 PD24NOV17	3114.00	3264.71	USD	3,767.75	
24AUG17	B 2 PD24NOV17	3112.50	3264.71	USD	7,610.50	
24AUG17	B 2 PD24NOV17	3113.00	3264.71	USD	7,585.50	
24AUG17	B 2 PD24NOV17	3113.50	3264.71	USD	7,560.50	
24AUG17	B 4 PD24NOV17	3112.00	3264.71	USD	15,271.00	
24AUG17	B 1 PD24NOV17	3109.50	3264.71	USD	3,880.25	
24AUG17	B 1 PD24NOV17	3108.50	3264.71	USD	3,905.25	
24AUG17	B 2 PD24NOV17	3108.00	3264.71	USD	7,835.50	
24AUG17	B 1 PD24NOV17	3106.00	3264.71	USD	3,967.75	
24AUG17	B 1 PD24NOV17	3105.50	3264.71	USD	3,980.25	
25AUG17	S 2 PD24NOV17	3129.00	3264.71	USD	-6,785.50	
25AUG17	S 2 PD24NOV17	3129.50	3264.71	USD	-6,760.50	
25AUG17	S 2 PD24NOV17	3130.00	3264.71	USD	-6,735.50	
25AUG17	S 1 PD24NOV17	3127.00	3264.71	USD	-3,442.75	
25AUG17	S 1 PD24NOV17	3128.50	3264.71	USD	-3,405.25	
25AUG17	S 1 PD24NOV17	3128.00	3264.71	USD	-3,417.75	
25AUG17	S 2 PD24NOV17	3135.00	3264.71	USD	-6,485.50	
25AUG17	B 1 PD24NOV17	3120.00	3264.71	USD	3,617.75	
25AUG17	B 1 PD24NOV17	3120.50	3264.71	USD	3,605.25	
25AUG17	B 1 PD24NOV17	3113.50	3264.71	USD	3,780.25	
25AUG17	B 2 PD24NOV17	3107.50	3264.71	USD	7,860.50	
25AUG17	B 1 PD24NOV17	3111.00	3264.71	USD	3,842.75	
25AUG17	B 1 PD24NOV17	3110.50	3264.71	USD	3,855.25	
25AUG17	B 3 PD24NOV17	3109.50	3264.71	USD	11,640.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
25AUG17	S 1 PD24NOV17	3110.50	3264.71	USD	-3,855.25	
25AUG17	S 1 PD24NOV17	3108.50	3264.71	USD	-3,905.25	
25AUG17	B 1 PD24NOV17	3102.50	3264.71	USD	4,055.25	
25AUG17	B 1 PD24NOV17	3096.00	3264.71	USD	4,217.75	
25AUG17	B 1 PD24NOV17	3083.50	3264.71	USD	4,530.25	
25AUG17	S 1 PD24NOV17	3080.50	3264.71	USD	-4,605.25	
25AUG17	S 1 PD24NOV17	3082.50	3264.71	USD	-4,555.25	
25AUG17	S 1 PD24NOV17	3072.50	3264.71	USD	-4,805.25	
25AUG17	B 1 PD24NOV17	3073.00	3264.71	USD	4,792.75	
25AUG17	B 1 PD24NOV17	3072.50	3264.71	USD	4,805.25	
25AUG17	B 1 PD24NOV17	3067.00	3264.71	USD	4,942.75	
25AUG17	B 1 PD24NOV17	3065.50	3264.71	USD	4,980.25	
25AUG17	S 2 PD24NOV17	3079.00	3264.71	USD	-9,285.50	
25AUG17	S 1 PD24NOV17	3078.50	3264.71	USD	-4,655.25	
25AUG17	S 1 PD24NOV17	3082.00	3264.71	USD	-4,567.75	
25AUG17	S 1 PD24NOV17	3090.00	3264.71	USD	-4,367.75	
25AUG17	S 1 PD24NOV17	3090.50	3264.71	USD	-4,355.25	
25AUG17	S 5 PD24NOV17	3091.00	3264.71	USD	-21,713.75	
25AUG17	B 1 PD24NOV17	3069.00	3264.71	USD	4,892.75	
29AUG17	B 1 PD24NOV17	3109.20	3264.71	USD	3,887.75	
	NET 0 AVG BGHT 3111.36 SOLD 3118.45				**28,720.00**	**0.00**
29AUG17	S 2 PD29NOV17	3105.00	3265.25	USD	-8,012.50	
29AUG17	S 1 PD29NOV17	3112.50	3265.25	USD	-3,818.75	
29AUG17	S 2 PD29NOV17	3099.00	3265.25	USD	-8,312.50	
29AUG17	S 2 PD29NOV17	3100.00	3265.25	USD	-8,262.50	
29AUG17	S 2 PD29NOV17	3109.00	3265.25	USD	-7,812.50	
29AUG17	S 3 PD29NOV17	3108.50	3265.25	USD	-11,756.25	
29AUG17	S 1 PD29NOV17	3104.00	3265.25	USD	-4,031.25	
29AUG17	S 2 PD29NOV17	3099.50	3265.25	USD	-8,287.50	
29AUG17	S 1 PD29NOV17	3090.50	3265.25	USD	-4,368.75	
29AUG17	S 1 PD29NOV17	3089.50	3265.25	USD	-4,393.75	
29AUG17	S 1 PD29NOV17	3096.00	3265.25	USD	-4,231.25	
29AUG17	S 1 PD29NOV17	3118.00	3265.25	USD	-3,681.25	
29AUG17	B 2 PD29NOV17	3124.00	3265.25	USD	7,062.50	
29AUG17	B 1 PD29NOV17	3122.00	3265.25	USD	3,581.25	
29AUG17	B 3 PD29NOV17	3122.50	3265.25	USD	10,706.25	
29AUG17	B 3 PD29NOV17	3121.50	3265.25	USD	10,781.25	
29AUG17	B 1 PD29NOV17	3117.50	3265.25	USD	3,693.75	
29AUG17	B 7 PD29NOV17	3118.50	3265.25	USD	25,681.25	
29AUG17	B 1 PD29NOV17	3116.50	3265.25	USD	3,718.75	
29AUG17	B 1 PD29NOV17	3097.50	3265.25	USD	4,193.75	
29AUG17	B 1 PD29NOV17	3099.50	3265.25	USD	4,143.75	
06SEP17	S 1 PD29NOV17	3093.05	3265.25	USD	-4,305.00	
06SEP17	S 1 PD29NOV17	3093.25	3265.25	USD	-4,300.00	
	NET -1 AVG BGHT 3118.13 SOLD 3102.25				**-12,011.25**	**0.00**
30AUG17	S 1 PD30NOV17	3130.00	3265.36	USD	-3,384.00	
30AUG17	S 1 PD30NOV17	3129.00	3265.36	USD	-3,409.00	
30AUG17	S 2 PD30NOV17	3129.50	3265.36	USD	-6,793.00	
30AUG17	S 1 PD30NOV17	3127.50	3265.36	USD	-3,446.50	
30AUG17	S 1 PD30NOV17	3127.00	3265.36	USD	-3,459.00	
30AUG17	S 2 PD30NOV17	3125.50	3265.36	USD	-6,993.00	
30AUG17	S 4 PD30NOV17	3126.50	3265.36	USD	-13,886.00	
30AUG17	S 1 PD30NOV17	3142.50	3265.36	USD	-3,071.50	
30AUG17	S 1 PD30NOV17	3146.50	3265.36	USD	-2,971.50	
30AUG17	S 1 PD30NOV17	3149.50	3265.36	USD	-2,896.50	
30AUG17	B 3 PD30NOV17	3139.00	3265.36	USD	9,477.00	
30AUG17	B 2 PD30NOV17	3138.00	3265.36	USD	6,368.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
30AUG17	B 1 PD30NOV17	3132.00	3265.36	USD	3,334.00	
30AUG17	B 1 PD30NOV17	3131.00	3265.36	USD	3,359.00	
30AUG17	S 1 PD30NOV17	3125.00	3265.36	USD	-3,509.00	
30AUG17	S 1 PD30NOV17	3128.00	3265.36	USD	-3,434.00	
30AUG17	S 1 PD30NOV17	3129.50	3265.36	USD	-3,396.50	
30AUG17	S 1 PD30NOV17	3125.50	3265.36	USD	-3,496.50	
30AUG17	S 1 PD30NOV17	3124.00	3265.36	USD	-3,534.00	
30AUG17	S 1 PD30NOV17	3115.00	3265.36	USD	-3,759.00	
30AUG17	S 1 PD30NOV17	3119.00	3265.36	USD	-3,659.00	
30AUG17	S 1 PD30NOV17	3115.50	3265.36	USD	-3,746.50	
30AUG17	B 1 PD30NOV17	3101.50	3265.36	USD	4,096.50	
30AUG17	S 1 PD30NOV17	3110.50	3265.36	USD	-3,871.50	
30AUG17	B 1 PD30NOV17	3113.00	3265.36	USD	3,809.00	
30AUG17	B 1 PD30NOV17	3112.50	3265.36	USD	3,821.50	
30AUG17	B 1 PD30NOV17	3108.50	3265.36	USD	3,921.50	
30AUG17	B 1 PD30NOV17	3101.00	3265.36	USD	4,109.00	
30AUG17	B 1 PD30NOV17	3098.00	3265.36	USD	4,184.00	
30AUG17	B 1 PD30NOV17	3097.00	3265.36	USD	4,209.00	
30AUG17	B 1 PD30NOV17	3095.00	3265.36	USD	4,259.00	
30AUG17	B 1 PD30NOV17	3095.50	3265.36	USD	4,246.50	
30AUG17	B 6 PD30NOV17	3094.00	3265.36	USD	25,704.00	
30AUG17	B 1 PD30NOV17	3094.00	3265.36	USD	4,284.00	
31AUG17	S 2 PD30NOV17	3109.50	3265.36	USD	-7,793.00	
31AUG17	S 1 PD30NOV17	3106.00	3265.36	USD	-3,984.00	
31AUG17	B 1 PD30NOV17	3094.50	3265.36	USD	4,271.50	
31AUG17	S 1 PD30NOV17	3098.50	3265.36	USD	-4,171.50	
31AUG17	S 4 PD30NOV17	3099.50	3265.36	USD	-16,586.00	
31AUG17	S 2 PD30NOV17	3102.50	3265.36	USD	-8,143.00	
31AUG17	S 2 PD30NOV17	3105.00	3265.36	USD	-8,018.00	
31AUG17	S 2 PD30NOV17	3105.50	3265.36	USD	-7,993.00	
31AUG17	S 2 PD30NOV17	3106.50	3265.36	USD	-7,943.00	
31AUG17	S 1 PD30NOV17	3120.00	3265.36	USD	-3,634.00	
31AUG17	S 2 PD30NOV17	3120.50	3265.36	USD	-7,243.00	
31AUG17	S 1 PD30NOV17	3118.50	3265.36	USD	-3,671.50	
31AUG17	S 1 PD30NOV17	3119.00	3265.36	USD	-3,659.00	
31AUG17	S 1 PD30NOV17	3119.50	3265.36	USD	-3,646.50	
31AUG17	S 3 PD30NOV17	3114.00	3265.36	USD	-11,352.00	
31AUG17	S 1 PD30NOV17	3114.50	3265.36	USD	-3,771.50	
31AUG17	S 2 PD30NOV17	3115.00	3265.36	USD	-7,518.00	
31AUG17	S 2 PD30NOV17	3116.00	3265.36	USD	-7,468.00	
31AUG17	S 1 PD30NOV17	3116.50	3265.36	USD	-3,721.50	
31AUG17	S 1 PD30NOV17	3117.50	3265.36	USD	-3,696.50	
31AUG17	S 1 PD30NOV17	3121.50	3265.36	USD	-3,596.50	
31AUG17	S 1 PD30NOV17	3122.00	3265.36	USD	-3,584.00	
31AUG17	S 1 PD30NOV17	3122.50	3265.36	USD	-3,571.50	
31AUG17	S 1 PD30NOV17	3124.00	3265.36	USD	-3,534.00	
31AUG17	S 2 PD30NOV17	3125.00	3265.36	USD	-7,018.00	
31AUG17	S 1 PD30NOV17	3126.00	3265.36	USD	-3,484.00	
31AUG17	S 1 PD30NOV17	3124.50	3265.36	USD	-3,521.50	
31AUG17	S 1 PD30NOV17	3128.50	3265.36	USD	-3,421.50	
31AUG17	S 1 PD30NOV17	3132.00	3265.36	USD	-3,334.00	
31AUG17	B 1 PD30NOV17	3130.50	3265.36	USD	3,371.50	
31AUG17	B 1 PD30NOV17	3157.50	3265.36	USD	2,696.50	
31AUG17	B 1 PD30NOV17	3159.00	3265.36	USD	2,659.00	
31AUG17	B 2 PD30NOV17	3158.00	3265.36	USD	5,368.00	
31AUG17	B 1 PD30NOV17	3157.00	3265.36	USD	2,709.00	
31AUG17	B 1 PD30NOV17	3155.00	3265.36	USD	2,759.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
31AUG17	B 1 PD30NOV17	3152.00	3265.36	USD	2,834.00	
31AUG17	B 1 PD30NOV17	3150.00	3265.36	USD	2,884.00	
31AUG17	B 1 PD30NOV17	3149.00	3265.36	USD	2,909.00	
31AUG17	B 3 PD30NOV17	3148.50	3265.36	USD	8,764.50	
31AUG17	B 3 PD30NOV17	3148.00	3265.36	USD	8,802.00	
31AUG17	B 1 PD30NOV17	3142.50	3265.36	USD	3,071.50	
31AUG17	B 1 PD30NOV17	3135.00	3265.36	USD	3,259.00	
31AUG17	B 1 PD30NOV17	3134.50	3265.36	USD	3,271.50	
31AUG17	B 3 PD30NOV17	3134.00	3265.36	USD	9,852.00	
31AUG17	B 2 PD30NOV17	3132.50	3265.36	USD	6,643.00	
31AUG17	B 1 PD30NOV17	3147.00	3265.36	USD	2,959.00	
31AUG17	B 1 PD30NOV17	3137.50	3265.36	USD	3,196.50	
31AUG17	B 1 PD30NOV17	3137.00	3265.36	USD	3,209.00	
31AUG17	B 1 PD30NOV17	3136.00	3265.36	USD	3,234.00	
31AUG17	B 2 PD30NOV17	3147.50	3265.36	USD	5,893.00	
31AUG17	B 1 PD30NOV17	3152.50	3265.36	USD	2,821.50	
06SEP17	B 1 PD30NOV17	3093.70	3265.36	USD	4,291.50	
06SEP17	B 10 PD30NOV17	3093.50	3265.36	USD	42,965.00	
	NET 0 AVG BGHT 3123.62 SOLD 3118.82				**-7,917.50**	**0.00**
01SEP17	B 4 PD01DEC17	3144.50	3265.46	USD	12,096.00	
01SEP17	B 3 PD01DEC17	3145.00	3265.46	USD	9,034.50	
01SEP17	S 2 PD01DEC17	3155.50	3265.46	USD	-5,498.00	
01SEP17	S 1 PD01DEC17	3156.00	3265.46	USD	-2,736.50	
01SEP17	B 1 PD01DEC17	3152.00	3265.46	USD	2,836.50	
01SEP17	S 1 PD01DEC17	3159.00	3265.46	USD	-2,661.50	
01SEP17	S 1 PD01DEC17	3159.50	3265.46	USD	-2,649.00	
01SEP17	S 1 PD01DEC17	3172.00	3265.46	USD	-2,336.50	
01SEP17	S 1 PD01DEC17	3168.50	3265.46	USD	-2,424.00	
01SEP17	S 1 PD01DEC17	3165.00	3265.46	USD	-2,511.50	
01SEP17	B 3 PD01DEC17	3155.50	3265.46	USD	8,247.00	
01SEP17	B 1 PD01DEC17	3157.50	3265.46	USD	2,699.00	
01SEP17	B 3 PD01DEC17	3145.00	3265.46	USD	9,034.50	
01SEP17	B 2 PD01DEC17	3147.00	3265.46	USD	5,923.00	
01SEP17	B 1 PD01DEC17	3151.00	3265.46	USD	2,861.50	
01SEP17	B 1 PD01DEC17	3139.00	3265.46	USD	3,161.50	
01SEP17	B 2 PD01DEC17	3139.50	3265.46	USD	6,298.00	
01SEP17	S 1 PD01DEC17	3152.50	3265.46	USD	-2,824.00	
01SEP17	S 1 PD01DEC17	3153.50	3265.46	USD	-2,799.00	
01SEP17	S 1 PD01DEC17	3154.50	3265.46	USD	-2,774.00	
01SEP17	S 1 PD01DEC17	3155.00	3265.46	USD	-2,761.50	
01SEP17	S 4 PD01DEC17	3156.50	3265.46	USD	-10,896.00	
01SEP17	S 1 PD01DEC17	3158.00	3265.46	USD	-2,686.50	
01SEP17	S 1 PD01DEC17	3164.00	3265.46	USD	-2,536.50	
01SEP17	S 1 PD01DEC17	3162.50	3265.46	USD	-2,574.00	
01SEP17	S 1 PD01DEC17	3162.00	3265.46	USD	-2,586.50	
01SEP17	S 1 PD01DEC17	3160.50	3265.46	USD	-2,624.00	
01SEP17	S 3 PD01DEC17	3163.50	3265.46	USD	-7,647.00	
01SEP17	S 2 PD01DEC17	3157.50	3265.46	USD	-5,398.00	
01SEP17	S 1 PD01DEC17	3158.50	3265.46	USD	-2,674.00	
01SEP17	B 1 PD01DEC17	3174.50	3265.46	USD	2,274.00	
01SEP17	B 1 PD01DEC17	3173.50	3265.46	USD	2,299.00	
01SEP17	B 1 PD01DEC17	3173.00	3265.46	USD	2,311.50	
01SEP17	S 1 PD01DEC17	3178.50	3265.46	USD	-2,174.00	
01SEP17	S 1 PD01DEC17	3179.00	3265.46	USD	-2,161.50	
01SEP17	B 1 PD01DEC17	3177.00	3265.46	USD	2,211.50	
01SEP17	B 3 PD01DEC17	3171.50	3265.46	USD	7,047.00	
01SEP17	S 1 PD01DEC17	3181.50	3265.46	USD	-2,099.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
01SEP17	S 1 PD01DEC17	3183.00	3265.46	USD	-2,061.50	
01SEP17	S 1 PD01DEC17	3184.50	3265.46	USD	-2,024.00	
01SEP17	S 2 PD01DEC17	3184.00	3265.46	USD	-4,073.00	
01SEP17	B 2 PD01DEC17	3189.50	3265.46	USD	3,798.00	
01SEP17	B 3 PD01DEC17	3190.00	3265.46	USD	5,659.50	
01SEP17	B 1 PD01DEC17	3190.50	3265.46	USD	1,874.00	
01SEP17	B 1 PD01DEC17	3191.50	3265.46	USD	1,849.00	
01SEP17	B 2 PD01DEC17	3193.50	3265.46	USD	3,598.00	
01SEP17	B 1 PD01DEC17	3194.00	3265.46	USD	1,786.50	
01SEP17	B 1 PD01DEC17	3200.50	3265.46	USD	1,624.00	
01SEP17	B 1 PD01DEC17	3207.50	3265.46	USD	1,449.00	
01SEP17	B 2 PD01DEC17	3209.00	3265.46	USD	2,823.00	
01SEP17	B 1 PD01DEC17	3208.00	3265.46	USD	1,436.50	
01SEP17	B 1 PD01DEC17	3201.00	3265.46	USD	1,611.50	
06SEP17	S 10 PD01DEC17	3093.55	3265.46	USD	-42,977.50	
	NET 0 AVG BGHT 3169.24 SOLD 3148.03				**-23,325.00**	**0.00**
04SEP17	S 2 PD04DEC17	3196.50	3265.79	USD	-3,464.50	
04SEP17	B 1 PD04DEC17	3199.50	3265.79	USD	1,657.25	
04SEP17	B 1 PD04DEC17	3200.50	3265.79	USD	1,632.25	
04SEP17	S 2 PD04DEC17	3194.00	3265.79	USD	-3,589.50	
04SEP17	S 1 PD04DEC17	3194.50	3265.79	USD	-1,782.25	
04SEP17	S 2 PD04DEC17	3195.50	3265.79	USD	-3,514.50	
04SEP17	B 1 PD04DEC17	3183.00	3265.79	USD	2,069.75	
04SEP17	B 2 PD04DEC17	3177.50	3265.79	USD	4,414.50	
04SEP17	B 1 PD04DEC17	3176.50	3265.79	USD	2,232.25	
04SEP17	B 1 PD04DEC17	3175.50	3265.79	USD	2,257.25	
04SEP17	B 1 PD04DEC17	3175.00	3265.79	USD	2,269.75	
04SEP17	S 1 PD04DEC17	3178.00	3265.79	USD	-2,194.75	
04SEP17	S 1 PD04DEC17	3180.50	3265.79	USD	-2,132.25	
04SEP17	S 1 PD04DEC17	3183.00	3265.79	USD	-2,069.75	
04SEP17	S 2 PD04DEC17	3184.00	3265.79	USD	-4,089.50	
04SEP17	S 2 PD04DEC17	3185.50	3265.79	USD	-4,014.50	
04SEP17	S 2 PD04DEC17	3192.00	3265.79	USD	-3,689.50	
04SEP17	S 3 PD04DEC17	3195.00	3265.79	USD	-5,309.25	
04SEP17	S 3 PD04DEC17	3196.00	3265.79	USD	-5,234.25	
04SEP17	S 1 PD04DEC17	3197.00	3265.79	USD	-1,719.75	
04SEP17	B 1 PD04DEC17	3175.00	3265.79	USD	2,269.75	
04SEP17	S 1 PD04DEC17	3191.00	3265.79	USD	-1,869.75	
04SEP17	S 2 PD04DEC17	3197.50	3265.79	USD	-3,414.50	
04SEP17	S 1 PD04DEC17	3198.50	3265.79	USD	-1,682.25	
04SEP17	B 1 PD04DEC17	3197.50	3265.79	USD	1,707.25	
04SEP17	B 2 PD04DEC17	3199.00	3265.79	USD	3,339.50	
04SEP17	B 1 PD04DEC17	3198.50	3265.79	USD	1,682.25	
04SEP17	B 2 PD04DEC17	3207.00	3265.79	USD	2,939.50	
04SEP17	S 1 PD04DEC17	3205.50	3265.79	USD	-1,507.25	
04SEP17	S 1 PD04DEC17	3206.00	3265.79	USD	-1,494.75	
04SEP17	S 1 PD04DEC17	3207.00	3265.79	USD	-1,469.75	
04SEP17	S 1 PD04DEC17	3206.50	3265.79	USD	-1,482.25	
04SEP17	S 1 PD04DEC17	3199.00	3265.79	USD	-1,669.75	
04SEP17	B 2 PD04DEC17	3201.00	3265.79	USD	3,239.50	
04SEP17	B 1 PD04DEC17	3205.50	3265.79	USD	1,507.25	
04SEP17	B 1 PD04DEC17	3205.00	3265.79	USD	1,519.75	
04SEP17	B 2 PD04DEC17	3202.00	3265.79	USD	3,189.50	
04SEP17	B 2 PD04DEC17	3201.50	3265.79	USD	3,214.50	
04SEP17	B 1 PD04DEC17	3200.00	3265.79	USD	1,644.75	
04SEP17	B 4 PD04DEC17	3203.50	3265.79	USD	6,229.00	
04SEP17	B 2 PD04DEC17	3203.00	3265.79	USD	3,139.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
04SEP17	B 2 PD04DEC17	3202.50	3265.79	USD	3,164.50	
	NET 0 AVG BGHT 3196.64 SOLD 3194.05				**-2,075.00**	**0.00**
05SEP17	B 1 PD05DEC17	3197.50	3265.89	USD	1,709.75	
05SEP17	B 4 PD05DEC17	3192.50	3265.89	USD	7,339.00	
05SEP17	B 5 PD05DEC17	3192.00	3265.89	USD	9,236.25	
05SEP17	S 1 PD05DEC17	3201.50	3265.89	USD	-1,609.75	
05SEP17	S 2 PD05DEC17	3203.50	3265.89	USD	-3,119.50	
05SEP17	B 2 PD05DEC17	3202.00	3265.89	USD	3,194.50	
05SEP17	S 1 PD05DEC17	3204.00	3265.89	USD	-1,547.25	
05SEP17	S 1 PD05DEC17	3205.50	3265.89	USD	-1,509.75	
05SEP17	S 1 PD05DEC17	3204.50	3265.89	USD	-1,534.75	
05SEP17	B 1 PD05DEC17	3194.50	3265.89	USD	1,784.75	
05SEP17	B 1 PD05DEC17	3195.00	3265.89	USD	1,772.25	
05SEP17	B 2 PD05DEC17	3194.00	3265.89	USD	3,594.50	
05SEP17	B 1 PD05DEC17	3190.50	3265.89	USD	1,884.75	
05SEP17	S 1 PD05DEC17	3192.00	3265.89	USD	-1,847.25	
05SEP17	S 2 PD05DEC17	3201.00	3265.89	USD	-3,244.50	
05SEP17	S 4 PD05DEC17	3202.00	3265.89	USD	-6,389.00	
05SEP17	B 1 PD05DEC17	3191.50	3265.89	USD	1,859.75	
05SEP17	B 1 PD05DEC17	3190.00	3265.89	USD	1,897.25	
05SEP17	B 2 PD05DEC17	3186.50	3265.89	USD	3,969.50	
05SEP17	S 1 PD05DEC17	3196.50	3265.89	USD	-1,734.75	
05SEP17	S 3 PD05DEC17	3197.00	3265.89	USD	-5,166.75	
05SEP17	S 1 PD05DEC17	3198.50	3265.89	USD	-1,684.75	
05SEP17	S 2 PD05DEC17	3198.00	3265.89	USD	-3,394.50	
05SEP17	S 3 PD05DEC17	3202.50	3265.89	USD	-4,754.25	
05SEP17	S 1 PD05DEC17	3200.00	3265.89	USD	-1,647.25	
05SEP17	B 3 PD05DEC17	3193.00	3265.89	USD	5,466.75	
05SEP17	B 1 PD05DEC17	3188.50	3265.89	USD	1,934.75	
05SEP17	B 1 PD05DEC17	3188.00	3265.89	USD	1,947.25	
05SEP17	B 2 PD05DEC17	3187.50	3265.89	USD	3,919.50	
05SEP17	B 1 PD05DEC17	3184.00	3265.89	USD	2,047.25	
05SEP17	S 1 PD05DEC17	3184.50	3265.89	USD	-2,034.75	
05SEP17	B 1 PD05DEC17	3179.00	3265.89	USD	2,172.25	
05SEP17	B 1 PD05DEC17	3178.50	3265.89	USD	2,184.75	
05SEP17	S 1 PD05DEC17	3194.50	3265.89	USD	-1,784.75	
05SEP17	S 1 PD05DEC17	3194.00	3265.89	USD	-1,797.25	
05SEP17	S 1 PD05DEC17	3195.00	3265.89	USD	-1,772.25	
05SEP17	S 1 PD05DEC17	3195.50	3265.89	USD	-1,759.75	
05SEP17	S 1 PD05DEC17	3191.50	3265.89	USD	-1,859.75	
05SEP17	B 3 PD05DEC17	3181.00	3265.89	USD	6,366.75	
05SEP17	B 2 PD05DEC17	3180.00	3265.89	USD	4,294.50	
05SEP17	B 1 PD05DEC17	3182.00	3265.89	USD	2,097.25	
05SEP17	S 1 PD05DEC17	3189.00	3265.89	USD	-1,922.25	
05SEP17	S 1 PD05DEC17	3186.50	3265.89	USD	-1,984.75	
05SEP17	S 4 PD05DEC17	3187.50	3265.89	USD	-7,839.00	
05SEP17	S 1 PD05DEC17	3188.00	3265.89	USD	-1,947.25	
05SEP17	S 1 PD05DEC17	3191.00	3265.89	USD	-1,872.25	
05SEP17	B 2 PD05DEC17	3167.00	3265.89	USD	4,944.50	
05SEP17	B 2 PD05DEC17	3166.50	3265.89	USD	4,969.50	
05SEP17	B 1 PD05DEC17	3161.50	3265.89	USD	2,609.75	
05SEP17	B 2 PD05DEC17	3160.50	3265.89	USD	5,269.50	
05SEP17	B 1 PD05DEC17	3157.50	3265.89	USD	2,709.75	
05SEP17	B 2 PD05DEC17	3155.00	3265.89	USD	5,544.50	
05SEP17	B 2 PD05DEC17	3155.50	3265.89	USD	5,519.50	
05SEP17	B 1 PD05DEC17	3153.50	3265.89	USD	2,809.75	
05SEP17	B 1 PD05DEC17	3152.50	3265.89	USD	2,834.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
05SEP17	S 2 PD05DEC17	3158.50	3265.89	USD	-5,369.50	
05SEP17	S 1 PD05DEC17	3159.00	3265.89	USD	-2,672.25	
05SEP17	S 2 PD05DEC17	3160.50	3265.89	USD	-5,269.50	
05SEP17	B 1 PD05DEC17	3151.00	3265.89	USD	2,872.25	
05SEP17	B 2 PD05DEC17	3150.50	3265.89	USD	5,769.50	
05SEP17	B 1 PD05DEC17	3150.00	3265.89	USD	2,897.25	
05SEP17	B 1 PD05DEC17	3147.50	3265.89	USD	2,959.75	
05SEP17	B 1 PD05DEC17	3145.50	3265.89	USD	3,009.75	
05SEP17	B 1 PD05DEC17	3145.00	3265.89	USD	3,022.25	
05SEP17	B 2 PD05DEC17	3138.00	3265.89	USD	6,394.50	
05SEP17	B 1 PD05DEC17	3134.50	3265.89	USD	3,284.75	
05SEP17	B 1 PD05DEC17	3134.00	3265.89	USD	3,297.25	
05SEP17	S 1 PD05DEC17	3141.50	3265.89	USD	-3,109.75	
05SEP17	S 1 PD05DEC17	3143.50	3265.89	USD	-3,059.75	
05SEP17	S 1 PD05DEC17	3144.50	3265.89	USD	-3,034.75	
05SEP17	B 1 PD05DEC17	3137.00	3265.89	USD	3,222.25	
05SEP17	B 1 PD05DEC17	3135.00	3265.89	USD	3,272.25	
05SEP17	S 1 PD05DEC17	3148.50	3265.89	USD	-2,934.75	
05SEP17	B 1 PD05DEC17	3140.00	3265.89	USD	3,147.25	
05SEP17	B 1 PD05DEC17	3137.50	3265.89	USD	3,209.75	
05SEP17	S 2 PD05DEC17	3115.00	3265.89	USD	-7,544.50	
05SEP17	S 2 PD05DEC17	3092.50	3265.89	USD	-8,669.50	
05SEP17	S 1 PD05DEC17	3091.50	3265.89	USD	-4,359.75	
05SEP17	S 2 PD05DEC17	3100.00	3265.89	USD	-8,294.50	
05SEP17	S 2 PD05DEC17	3103.00	3265.89	USD	-8,144.50	
05SEP17	S 2 PD05DEC17	3103.50	3265.89	USD	-8,119.50	
05SEP17	S 2 PD05DEC17	3104.00	3265.89	USD	-8,094.50	
05SEP17	B 1 PD05DEC17	3102.50	3265.89	USD	4,084.75	
05SEP17	B 2 PD05DEC17	3101.00	3265.89	USD	8,244.50	
05SEP17	B 2 PD05DEC17	3167.00	3265.89	USD	4,944.50	
05SEP17	B 1 PD05DEC17	3152.00	3265.89	USD	2,847.25	
05SEP17	B 1 PD05DEC17	3135.00	3265.89	USD	3,272.25	
05SEP17	S 3 PD05DEC17	3102.00	3265.89	USD	-12,291.75	
08SEP17	S 10 PD05DEC17	3030.42	3265.89	USD	-58,867.50	
	NET 0 AVG BGHT 3168.55 SOLD 3147.76				**-37,957.50**	**0.00**
06SEP17	S 1 PD06DEC17	3124.50	3266.00	USD	-3,537.50	
06SEP17	B 1 PD06DEC17	3114.00	3266.00	USD	3,800.00	
06SEP17	B 2 PD06DEC17	3117.00	3266.00	USD	7,450.00	
06SEP17	B 4 PD06DEC17	3115.50	3266.00	USD	15,050.00	
06SEP17	B 1 PD06DEC17	3112.50	3266.00	USD	3,837.50	
06SEP17	B 4 PD06DEC17	3111.00	3266.00	USD	15,500.00	
06SEP17	B 1 PD06DEC17	3107.50	3266.00	USD	3,962.50	
06SEP17	S 1 PD06DEC17	3106.00	3266.00	USD	-4,000.00	
06SEP17	B 1 PD06DEC17	3069.50	3266.00	USD	4,912.50	
06SEP17	B 1 PD06DEC17	3068.50	3266.00	USD	4,937.50	
06SEP17	S 2 PD06DEC17	3077.00	3266.00	USD	-9,450.00	
06SEP17	S 2 PD06DEC17	3077.50	3266.00	USD	-9,425.00	
06SEP17	S 4 PD06DEC17	3079.00	3266.00	USD	-18,700.00	
06SEP17	S 2 PD06DEC17	3080.50	3266.00	USD	-9,275.00	
06SEP17	S 1 PD06DEC17	3082.00	3266.00	USD	-4,600.00	
06SEP17	S 1 PD06DEC17	3081.50	3266.00	USD	-4,612.50	
06SEP17	S 1 PD06DEC17	3082.50	3266.00	USD	-4,587.50	
06SEP17	S 2 PD06DEC17	3083.00	3266.00	USD	-9,150.00	
06SEP17	S 1 PD06DEC17	3085.50	3266.00	USD	-4,512.50	
06SEP17	B 1 PD06DEC17	3087.50	3266.00	USD	4,462.50	
06SEP17	B 1 PD06DEC17	3086.00	3266.00	USD	4,500.00	
06SEP17	S 2 PD06DEC17	3087.00	3266.00	USD	-8,950.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
06SEP17	S 1 PD06DEC17	3107.50	3266.00	USD	-3,962.50	
06SEP17	S 1 PD06DEC17	3107.00	3266.00	USD	-3,975.00	
06SEP17	B 1 PD06DEC17	3102.00	3266.00	USD	4,100.00	
06SEP17	B 1 PD06DEC17	3103.00	3266.00	USD	4,075.00	
06SEP17	B 2 PD06DEC17	3077.50	3266.00	USD	9,425.00	
06SEP17	B 1 PD06DEC17	3073.00	3266.00	USD	4,825.00	
06SEP17	B 2 PD06DEC17	3074.50	3266.00	USD	9,575.00	
06SEP17	B 1 PD06DEC17	3075.50	3266.00	USD	4,762.50	
06SEP17	B 2 PD06DEC17	3079.00	3266.00	USD	9,350.00	
06SEP17	S 2 PD06DEC17	3087.50	3266.00	USD	-8,925.00	
06SEP17	S 2 PD06DEC17	3082.00	3266.00	USD	-9,200.00	
06SEP17	S 1 PD06DEC17	3093.50	3266.00	USD	-4,312.50	
06SEP17	S 1 PD06DEC17	3099.50	3266.00	USD	-4,162.50	
06SEP17	S 1 PD06DEC17	3100.50	3266.00	USD	-4,137.50	
06SEP17	S 1 PD06DEC17	3101.50	3266.00	USD	-4,112.50	
06SEP17	S 1 PD06DEC17	3102.00	3266.00	USD	-4,100.00	
06SEP17	S 1 PD06DEC17	3101.00	3266.00	USD	-4,125.00	
06SEP17	S 1 PD06DEC17	3097.00	3266.00	USD	-4,225.00	
06SEP17	S 1 PD06DEC17	3090.50	3266.00	USD	-4,387.50	
06SEP17	B 1 PD06DEC17	3083.00	3266.00	USD	4,575.00	
06SEP17	B 1 PD06DEC17	3081.50	3266.00	USD	4,612.50	
06SEP17	B 1 PD06DEC17	3080.00	3266.00	USD	4,650.00	
06SEP17	B 2 PD06DEC17	3078.50	3266.00	USD	9,375.00	
06SEP17	B 3 PD06DEC17	3076.50	3266.00	USD	14,212.50	
06SEP17	S 3 PD06DEC17	3089.00	3266.00	USD	-13,275.00	
06SEP17	S 2 PD06DEC17	3091.00	3266.00	USD	-8,750.00	
06SEP17	S 2 PD06DEC17	3092.00	3266.00	USD	-8,700.00	
06SEP17	S 2 PD06DEC17	3095.00	3266.00	USD	-8,550.00	
06SEP17	B 3 PD06DEC17	3095.00	3266.00	USD	12,825.00	
06SEP17	B 1 PD06DEC17	3094.00	3266.00	USD	4,300.00	
06SEP17	B 1 PD06DEC17	3093.50	3266.00	USD	4,312.50	
06SEP17	B 2 PD06DEC17	3093.00	3266.00	USD	8,650.00	
06SEP17	B 1 PD06DEC17	3087.00	3266.00	USD	4,475.00	
06SEP17	B 1 PD06DEC17	3085.00	3266.00	USD	4,525.00	
06SEP17	B 1 PD06DEC17	3083.50	3266.00	USD	4,562.50	
06SEP17	S 1 PD06DEC17	3089.50	3266.00	USD	-4,412.50	
06SEP17	S 1 PD06DEC17	3092.50	3266.00	USD	-4,337.50	
06SEP17	S 1 PD06DEC17	3088.50	3266.00	USD	-4,437.50	
06SEP17	S 1 PD06DEC17	3096.50	3266.00	USD	-4,237.50	
06SEP17	S 2 PD06DEC17	3098.00	3266.00	USD	-8,400.00	
06SEP17	S 4 PD06DEC17	3099.00	3266.00	USD	-16,700.00	
06SEP17	S 1 PD06DEC17	3100.00	3266.00	USD	-4,150.00	
06SEP17	S 1 PD06DEC17	3096.00	3266.00	USD	-4,250.00	
08SEP17	B 10 PD06DEC17	3030.81	3266.00	USD	58,797.50	
	NET 0 AVG BGHT 3080.98 SOLD 3091.00				**13,772.50**	**0.00**
07SEP17	B 3 PD07DEC17	3096.00	3266.11	USD	12,758.25	
07SEP17	B 1 PD07DEC17	3093.50	3266.11	USD	4,315.25	
07SEP17	B 1 PD07DEC17	3092.50	3266.11	USD	4,340.25	
07SEP17	B 1 PD07DEC17	3092.00	3266.11	USD	4,352.75	
07SEP17	S 1 PD07DEC17	3094.50	3266.11	USD	-4,290.25	
07SEP17	B 1 PD07DEC17	3089.50	3266.11	USD	4,415.25	
07SEP17	B 2 PD07DEC17	3089.00	3266.11	USD	8,855.50	
07SEP17	B 3 PD07DEC17	3086.00	3266.11	USD	13,508.25	
07SEP17	B 1 PD07DEC17	3085.00	3266.11	USD	4,527.75	
07SEP17	B 2 PD07DEC17	3084.50	3266.11	USD	9,080.50	
07SEP17	B 1 PD07DEC17	3084.00	3266.11	USD	4,552.75	
07SEP17	B 1 PD07DEC17	3083.50	3266.11	USD	4,565.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
07SEP17	B 3 PD07DEC17	3083.00	3266.11	USD	13,733.25	
07SEP17	B 3 PD07DEC17	3082.00	3266.11	USD	13,808.25	
07SEP17	B 1 PD07DEC17	3081.00	3266.11	USD	4,627.75	
07SEP17	S 1 PD07DEC17	3088.50	3266.11	USD	-4,440.25	
07SEP17	S 1 PD07DEC17	3089.50	3266.11	USD	-4,415.25	
07SEP17	S 2 PD07DEC17	3090.00	3266.11	USD	-8,805.50	
07SEP17	S 1 PD07DEC17	3090.50	3266.11	USD	-4,390.25	
07SEP17	S 4 PD07DEC17	3099.50	3266.11	USD	-16,661.00	
07SEP17	S 3 PD07DEC17	3100.00	3266.11	USD	-12,458.25	
07SEP17	S 1 PD07DEC17	3101.50	3266.11	USD	-4,115.25	
07SEP17	S 4 PD07DEC17	3102.50	3266.11	USD	-16,361.00	
07SEP17	S 4 PD07DEC17	3101.00	3266.11	USD	-16,511.00	
07SEP17	S 2 PD07DEC17	3099.00	3266.11	USD	-8,355.50	
07SEP17	S 1 PD07DEC17	3097.00	3266.11	USD	-4,227.75	
07SEP17	S 2 PD07DEC17	3097.50	3266.11	USD	-8,430.50	
07SEP17	S 1 PD07DEC17	3098.00	3266.11	USD	-4,202.75	
07SEP17	S 1 PD07DEC17	3094.00	3266.11	USD	-4,302.75	
07SEP17	S 2 PD07DEC17	3095.00	3266.11	USD	-8,555.50	
07SEP17	B 1 PD07DEC17	3115.50	3266.11	USD	3,765.25	
07SEP17	S 1 PD07DEC17	3115.50	3266.11	USD	-3,765.25	
07SEP17	S 2 PD07DEC17	3117.00	3266.11	USD	-7,455.50	
07SEP17	S 2 PD07DEC17	3120.00	3266.11	USD	-7,305.50	
07SEP17	S 1 PD07DEC17	3116.00	3266.11	USD	-3,752.75	
07SEP17	S 1 PD07DEC17	3116.50	3266.11	USD	-3,740.25	
07SEP17	S 2 PD07DEC17	3114.50	3266.11	USD	-7,580.50	
07SEP17	S 1 PD07DEC17	3119.00	3266.11	USD	-3,677.75	
07SEP17	S 1 PD07DEC17	3118.00	3266.11	USD	-3,702.75	
07SEP17	S 1 PD07DEC17	3118.50	3266.11	USD	-3,690.25	
07SEP17	B 2 PD07DEC17	3122.50	3266.11	USD	7,180.50	
07SEP17	B 1 PD07DEC17	3128.50	3266.11	USD	3,440.25	
07SEP17	S 1 PD07DEC17	3140.00	3266.11	USD	-3,152.75	
07SEP17	S 1 PD07DEC17	3141.00	3266.11	USD	-3,127.75	
07SEP17	B 2 PD07DEC17	3130.00	3266.11	USD	6,805.50	
07SEP17	B 2 PD07DEC17	3129.50	3266.11	USD	6,830.50	
07SEP17	B 1 PD07DEC17	3125.00	3266.11	USD	3,527.75	
07SEP17	B 1 PD07DEC17	3123.50	3266.11	USD	3,565.25	
07SEP17	B 1 PD07DEC17	3123.00	3266.11	USD	3,577.75	
07SEP17	S 1 PD07DEC17	3128.50	3266.11	USD	-3,440.25	
07SEP17	B 1 PD07DEC17	3127.00	3266.11	USD	3,477.75	
07SEP17	B 3 PD07DEC17	3126.00	3266.11	USD	10,508.25	
07SEP17	B 1 PD07DEC17	3125.50	3266.11	USD	3,515.25	
07SEP17	B 1 PD07DEC17	3132.00	3266.11	USD	3,352.75	
07SEP17	B 3 PD07DEC17	3133.50	3266.11	USD	9,945.75	
07SEP17	B 1 PD07DEC17	3137.00	3266.11	USD	3,227.75	
07SEP17	B 1 PD07DEC17	3136.50	3266.11	USD	3,240.25	
07SEP17	B 1 PD07DEC17	3135.50	3266.11	USD	3,265.25	
07SEP17	B 2 PD07DEC17	3134.50	3266.11	USD	6,580.50	
13SEP17	S 3 PD07DEC17	3023.69	3266.11	USD	-18,181.50	
	NET 0 AVG BGHT 3108.36 SOLD 3100.32				**-9,848.25**	**0.00**
08SEP17	S 1 PD08DEC17	3135.00	3266.21	USD	-3,280.25	
08SEP17	B 1 PD08DEC17	3128.00	3266.21	USD	3,455.25	
08SEP17	B 2 PD08DEC17	3126.50	3266.21	USD	6,985.50	
08SEP17	B 2 PD08DEC17	3125.00	3266.21	USD	7,060.50	
08SEP17	B 1 PD08DEC17	3124.00	3266.21	USD	3,555.25	
08SEP17	B 1 PD08DEC17	3123.00	3266.21	USD	3,580.25	
08SEP17	B 1 PD08DEC17	3122.50	3266.21	USD	3,592.75	
08SEP17	B 1 PD08DEC17	3122.00	3266.21	USD	3,605.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
08SEP17	B 1 PD08DEC17	3121.00	3266.21	USD	3,630.25	
08SEP17	B 1 PD08DEC17	3119.50	3266.21	USD	3,667.75	
08SEP17	B 1 PD08DEC17	3116.00	3266.21	USD	3,755.25	
08SEP17	S 2 PD08DEC17	3125.00	3266.21	USD	-7,060.50	
08SEP17	S 3 PD08DEC17	3123.00	3266.21	USD	-10,740.75	
08SEP17	B 1 PD08DEC17	3117.00	3266.21	USD	3,730.25	
08SEP17	B 2 PD08DEC17	3117.50	3266.21	USD	7,435.50	
08SEP17	B 1 PD08DEC17	3111.50	3266.21	USD	3,867.75	
08SEP17	B 1 PD08DEC17	3107.50	3266.21	USD	3,967.75	
08SEP17	B 1 PD08DEC17	3106.00	3266.21	USD	4,005.25	
08SEP17	B 2 PD08DEC17	3108.50	3266.21	USD	7,885.50	
08SEP17	B 2 PD08DEC17	3105.50	3266.21	USD	8,035.50	
08SEP17	B 1 PD08DEC17	3104.50	3266.21	USD	4,042.75	
08SEP17	B 1 PD08DEC17	3104.00	3266.21	USD	4,055.25	
08SEP17	B 1 PD08DEC17	3103.50	3266.21	USD	4,067.75	
08SEP17	B 1 PD08DEC17	3105.00	3266.21	USD	4,030.25	
08SEP17	S 1 PD08DEC17	3083.00	3266.21	USD	-4,580.25	
08SEP17	S 2 PD08DEC17	3086.50	3266.21	USD	-8,985.50	
08SEP17	S 2 PD08DEC17	3088.50	3266.21	USD	-8,885.50	
08SEP17	S 1 PD08DEC17	3090.50	3266.21	USD	-4,392.75	
08SEP17	B 1 PD08DEC17	3091.50	3266.21	USD	4,367.75	
08SEP17	S 1 PD08DEC17	3097.00	3266.21	USD	-4,230.25	
08SEP17	B 1 PD08DEC17	3080.50	3266.21	USD	4,642.75	
08SEP17	B 1 PD08DEC17	3083.00	3266.21	USD	4,580.25	
08SEP17	S 2 PD08DEC17	3094.00	3266.21	USD	-8,610.50	
08SEP17	S 2 PD08DEC17	3093.00	3266.21	USD	-8,660.50	
08SEP17	S 2 PD08DEC17	3092.50	3266.21	USD	-8,685.50	
08SEP17	B 3 PD08DEC17	3084.00	3266.21	USD	13,665.75	
08SEP17	B 1 PD08DEC17	3086.50	3266.21	USD	4,492.75	
08SEP17	B 3 PD08DEC17	3088.00	3266.21	USD	13,365.75	
08SEP17	B 1 PD08DEC17	3088.50	3266.21	USD	4,442.75	
08SEP17	B 2 PD08DEC17	3086.00	3266.21	USD	9,010.50	
08SEP17	B 1 PD08DEC17	3085.00	3266.21	USD	4,530.25	
08SEP17	B 2 PD08DEC17	3085.50	3266.21	USD	9,035.50	
08SEP17	B 1 PD08DEC17	3103.50	3266.21	USD	4,067.75	
08SEP17	B 1 PD08DEC17	3091.00	3266.21	USD	4,380.25	
08SEP17	S 1 PD08DEC17	3091.00	3266.21	USD	-4,380.25	
08SEP17	S 1 PD08DEC17	3090.00	3266.21	USD	-4,405.25	
08SEP17	S 3 PD08DEC17	3091.50	3266.21	USD	-13,103.25	
08SEP17	S 4 PD08DEC17	3094.50	3266.21	USD	-17,171.00	
08SEP17	S 3 PD08DEC17	3095.00	3266.21	USD	-12,840.75	
08SEP17	S 2 PD08DEC17	3091.00	3266.21	USD	-8,760.50	
08SEP17	S 6 PD08DEC17	3092.00	3266.21	USD	-26,131.50	
08SEP17	B 1 PD08DEC17	3081.50	3266.21	USD	4,617.75	
08SEP17	S 1 PD08DEC17	3075.50	3266.21	USD	-4,767.75	
08SEP17	S 2 PD08DEC17	3079.00	3266.21	USD	-9,360.50	
08SEP17	S 1 PD08DEC17	3078.00	3266.21	USD	-4,705.25	
08SEP17	S 1 PD08DEC17	3079.50	3266.21	USD	-4,667.75	
08SEP17	S 1 PD08DEC17	3080.00	3266.21	USD	-4,655.25	
08SEP17	S 2 PD08DEC17	3085.00	3266.21	USD	-9,060.50	
08SEP17	B 1 PD08DEC17	3072.00	3266.21	USD	4,855.25	
08SEP17	B 1 PD08DEC17	3073.00	3266.21	USD	4,830.25	
08SEP17	B 1 PD08DEC17	3069.50	3266.21	USD	4,917.75	
08SEP17	B 1 PD08DEC17	3069.00	3266.21	USD	4,930.25	
08SEP17	B 1 PD08DEC17	3070.50	3266.21	USD	4,892.75	
08SEP17	S 1 PD08DEC17	3075.00	3266.21	USD	-4,780.25	
08SEP17	B 1 PD08DEC17	3071.00	3266.21	USD	4,880.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
08SEP17	B 2 PD08DEC17	3070.00	3266.21	USD	9,810.50	
08SEP17	B 2 PD08DEC17	3065.00	3266.21	USD	10,060.50	
08SEP17	B 1 PD08DEC17	3063.50	3266.21	USD	5,067.75	
08SEP17	B 1 PD08DEC17	3063.00	3266.21	USD	5,080.25	
08SEP17	B 2 PD08DEC17	3057.00	3266.21	USD	10,460.50	
08SEP17	S 2 PD08DEC17	3064.00	3266.21	USD	-10,110.50	
08SEP17	S 1 PD08DEC17	3065.00	3266.21	USD	-5,030.25	
08SEP17	S 1 PD08DEC17	3065.50	3266.21	USD	-5,017.75	
08SEP17	S 1 PD08DEC17	3063.50	3266.21	USD	-5,067.75	
08SEP17	B 1 PD08DEC17	3052.50	3266.21	USD	5,342.75	
08SEP17	B 1 PD08DEC17	3052.00	3266.21	USD	5,355.25	
08SEP17	B 1 PD08DEC17	3050.00	3266.21	USD	5,405.25	
08SEP17	B 1 PD08DEC17	3049.00	3266.21	USD	5,430.25	
08SEP17	B 1 PD08DEC17	3042.00	3266.21	USD	5,605.25	
08SEP17	B 1 PD08DEC17	3041.50	3266.21	USD	5,617.75	
08SEP17	S 1 PD08DEC17	3046.50	3266.21	USD	-5,492.75	
08SEP17	S 2 PD08DEC17	3048.50	3266.21	USD	-10,885.50	
08SEP17	S 2 PD08DEC17	3049.50	3266.21	USD	-10,835.50	
08SEP17	B 1 PD08DEC17	3033.00	3266.21	USD	5,830.25	
08SEP17	B 2 PD08DEC17	3035.00	3266.21	USD	11,560.50	
08SEP17	S 3 PD08DEC17	3036.50	3266.21	USD	-17,228.25	
13SEP17	S 7 PD08DEC17	3023.75	3266.21	USD	-42,430.50	
	NET 0 AVG BGHT 3087.89 SOLD 3078.56				**-15,856.25**	**0.00**
11SEP17	S 2 PD11DEC17	3053.50	3266.54	USD	-10,652.00	
11SEP17	S 1 PD11DEC17	3054.00	3266.54	USD	-5,313.50	
11SEP17	S 1 PD11DEC17	3055.00	3266.54	USD	-5,288.50	
11SEP17	S 1 PD11DEC17	3056.50	3266.54	USD	-5,251.00	
11SEP17	S 3 PD11DEC17	3057.50	3266.54	USD	-15,678.00	
11SEP17	S 1 PD11DEC17	3060.50	3266.54	USD	-5,151.00	
11SEP17	S 2 PD11DEC17	3057.00	3266.54	USD	-10,477.00	
11SEP17	S 1 PD11DEC17	3054.50	3266.54	USD	-5,301.00	
11SEP17	S 1 PD11DEC17	3059.00	3266.54	USD	-5,188.50	
11SEP17	S 1 PD11DEC17	3074.00	3266.54	USD	-4,813.50	
11SEP17	S 1 PD11DEC17	3078.50	3266.54	USD	-4,701.00	
11SEP17	S 1 PD11DEC17	3078.00	3266.54	USD	-4,713.50	
11SEP17	B 1 PD11DEC17	3083.50	3266.54	USD	4,576.00	
11SEP17	B 1 PD11DEC17	3082.00	3266.54	USD	4,613.50	
11SEP17	B 1 PD11DEC17	3083.00	3266.54	USD	4,588.50	
11SEP17	S 3 PD11DEC17	3091.00	3266.54	USD	-13,165.50	
11SEP17	S 1 PD11DEC17	3090.50	3266.54	USD	-4,401.00	
11SEP17	S 1 PD11DEC17	3087.50	3266.54	USD	-4,476.00	
11SEP17	B 1 PD11DEC17	3100.50	3266.54	USD	4,151.00	
11SEP17	S 1 PD11DEC17	3104.50	3266.54	USD	-4,051.00	
11SEP17	S 1 PD11DEC17	3103.00	3266.54	USD	-4,088.50	
11SEP17	S 1 PD11DEC17	3100.00	3266.54	USD	-4,163.50	
11SEP17	S 1 PD11DEC17	3054.00	3266.54	USD	-5,313.50	
11SEP17	B 2 PD11DEC17	3095.50	3266.54	USD	8,552.00	
11SEP17	S 1 PD11DEC17	3094.50	3266.54	USD	-4,301.00	
11SEP17	B 2 PD11DEC17	3094.00	3266.54	USD	8,627.00	
11SEP17	B 2 PD11DEC17	3091.00	3266.54	USD	8,777.00	
11SEP17	B 1 PD11DEC17	3093.50	3266.54	USD	4,326.00	
11SEP17	B 1 PD11DEC17	3092.00	3266.54	USD	4,363.50	
11SEP17	B 1 PD11DEC17	3089.00	3266.54	USD	4,438.50	
11SEP17	B 2 PD11DEC17	3088.00	3266.54	USD	8,927.00	
11SEP17	B 1 PD11DEC17	3087.00	3266.54	USD	4,488.50	
11SEP17	S 1 PD11DEC17	3086.00	3266.54	USD	-4,513.50	
11SEP17	B 1 PD11DEC17	3084.50	3266.54	USD	4,551.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
11SEP17	S 1 PD11DEC17	3081.00	3266.54	USD	-4,638.50	
11SEP17	S 1 PD11DEC17	3080.50	3266.54	USD	-4,651.00	
11SEP17	B 1 PD11DEC17	3076.50	3266.54	USD	4,751.00	
11SEP17	B 1 PD11DEC17	3074.00	3266.54	USD	4,813.50	
11SEP17	B 1 PD11DEC17	3061.00	3266.54	USD	5,138.50	
11SEP17	S 1 PD11DEC17	3072.50	3266.54	USD	-4,851.00	
11SEP17	B 1 PD11DEC17	3065.00	3266.54	USD	5,038.50	
11SEP17	B 2 PD11DEC17	3050.00	3266.54	USD	10,827.00	
11SEP17	S 2 PD11DEC17	3062.00	3266.54	USD	-10,227.00	
11SEP17	S 1 PD11DEC17	3071.50	3266.54	USD	-4,876.00	
11SEP17	B 2 PD11DEC17	3082.50	3266.54	USD	9,202.00	
11SEP17	S 1 PD11DEC17	3085.00	3266.54	USD	-4,538.50	
13SEP17	B 10 PD11DEC17	3023.87	3266.54	USD	60,667.50	
15SEP17	S 1 PD11DEC17	3030.38	3266.54	USD	-5,904.00	
	NET 0 AVG BGHT 3066.06 SOLD 3071.47				**4,729.50**	**0.00**
12SEP17	S 2 PD12DEC17	3084.00	3266.64	USD	-9,132.00	
12SEP17	S 1 PD12DEC17	3083.00	3266.64	USD	-4,591.00	
12SEP17	S 2 PD12DEC17	3080.50	3266.64	USD	-9,307.00	
12SEP17	S 1 PD12DEC17	3083.50	3266.64	USD	-4,578.50	
12SEP17	S 1 PD12DEC17	3085.50	3266.64	USD	-4,528.50	
12SEP17	B 1 PD12DEC17	3086.00	3266.64	USD	4,516.00	
12SEP17	B 3 PD12DEC17	3090.00	3266.64	USD	13,248.00	
12SEP17	B 2 PD12DEC17	3091.00	3266.64	USD	8,782.00	
12SEP17	B 1 PD12DEC17	3088.50	3266.64	USD	4,453.50	
12SEP17	S 1 PD12DEC17	3088.50	3266.64	USD	-4,453.50	
12SEP17	S 1 PD12DEC17	3087.00	3266.64	USD	-4,491.00	
12SEP17	S 1 PD12DEC17	3089.00	3266.64	USD	-4,441.00	
12SEP17	S 1 PD12DEC17	3089.50	3266.64	USD	-4,428.50	
12SEP17	S 1 PD12DEC17	3090.50	3266.64	USD	-4,403.50	
12SEP17	S 3 PD12DEC17	3090.00	3266.64	USD	-13,248.00	
12SEP17	S 2 PD12DEC17	3091.00	3266.64	USD	-8,782.00	
12SEP17	S 2 PD12DEC17	3082.50	3266.64	USD	-9,207.00	
12SEP17	S 1 PD12DEC17	3082.00	3266.64	USD	-4,616.00	
12SEP17	B 2 PD12DEC17	3074.50	3266.64	USD	9,607.00	
12SEP17	B 2 PD12DEC17	3073.50	3266.64	USD	9,657.00	
12SEP17	B 1 PD12DEC17	3072.50	3266.64	USD	4,853.50	
12SEP17	S 1 PD12DEC17	3078.50	3266.64	USD	-4,703.50	
12SEP17	S 1 PD12DEC17	3079.00	3266.64	USD	-4,691.00	
12SEP17	S 1 PD12DEC17	3081.00	3266.64	USD	-4,641.00	
12SEP17	B 2 PD12DEC17	3070.00	3266.64	USD	9,832.00	
12SEP17	B 2 PD12DEC17	3066.50	3266.64	USD	10,007.00	
12SEP17	B 2 PD12DEC17	3067.00	3266.64	USD	9,982.00	
12SEP17	B 1 PD12DEC17	3065.50	3266.64	USD	5,028.50	
12SEP17	B 3 PD12DEC17	3064.00	3266.64	USD	15,198.00	
12SEP17	B 1 PD12DEC17	3093.00	3266.64	USD	4,341.00	
12SEP17	B 1 PD12DEC17	3092.50	3266.64	USD	4,353.50	
12SEP17	S 1 PD12DEC17	3055.00	3266.64	USD	-5,291.00	
12SEP17	S 2 PD12DEC17	3063.00	3266.64	USD	-10,182.00	
12SEP17	S 1 PD12DEC17	3065.50	3266.64	USD	-5,028.50	
12SEP17	S 1 PD12DEC17	3065.00	3266.64	USD	-5,041.00	
12SEP17	S 2 PD12DEC17	3064.50	3266.64	USD	-10,107.00	
12SEP17	S 1 PD12DEC17	3066.50	3266.64	USD	-5,003.50	
12SEP17	B 1 PD12DEC17	3063.50	3266.64	USD	5,078.50	
12SEP17	B 2 PD12DEC17	3066.00	3266.64	USD	10,032.00	
12SEP17	S 1 PD12DEC17	3077.00	3266.64	USD	-4,741.00	
12SEP17	S 2 PD12DEC17	3075.00	3266.64	USD	-9,582.00	
12SEP17	B 3 PD12DEC17	3069.50	3266.64	USD	14,785.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
12SEP17	B 1 PD12DEC17	3065.00	3266.64	USD	5,041.00	
12SEP17	B 2 PD12DEC17	3060.50	3266.64	USD	10,307.00	
12SEP17	B 4 PD12DEC17	3067.50	3266.64	USD	19,914.00	
12SEP17	S 1 PD12DEC17	3067.00	3266.64	USD	-4,991.00	
12SEP17	S 2 PD12DEC17	3067.50	3266.64	USD	-9,957.00	
	NET 0 AVG BGHT 3073.11 SOLD 3078.35				**4,850.00**	**0.00**
13SEP17	S 1 PD13DEC17	3053.00	3266.75	USD	-5,343.75	
13SEP17	S 1 PD13DEC17	3052.50	3266.75	USD	-5,356.25	
13SEP17	S 1 PD13DEC17	3044.50	3266.75	USD	-5,556.25	
13SEP17	S 2 PD13DEC17	3052.00	3266.75	USD	-10,737.50	
13SEP17	S 3 PD13DEC17	3050.00	3266.75	USD	-16,256.25	
13SEP17	S 1 PD13DEC17	3050.50	3266.75	USD	-5,406.25	
13SEP17	S 1 PD13DEC17	3057.50	3266.75	USD	-5,231.25	
13SEP17	S 2 PD13DEC17	3060.50	3266.75	USD	-10,312.50	
13SEP17	B 2 PD13DEC17	3052.50	3266.75	USD	10,712.50	
13SEP17	B 1 PD13DEC17	3053.50	3266.75	USD	5,331.25	
13SEP17	B 1 PD13DEC17	3055.00	3266.75	USD	5,293.75	
13SEP17	S 2 PD13DEC17	3051.50	3266.75	USD	-10,762.50	
13SEP17	B 1 PD13DEC17	3049.50	3266.75	USD	5,431.25	
13SEP17	B 1 PD13DEC17	3051.00	3266.75	USD	5,393.75	
13SEP17	B 1 PD13DEC17	3045.00	3266.75	USD	5,543.75	
13SEP17	B 2 PD13DEC17	3044.00	3266.75	USD	11,137.50	
13SEP17	B 1 PD13DEC17	3053.00	3266.75	USD	5,343.75	
13SEP17	B 2 PD13DEC17	3052.00	3266.75	USD	10,737.50	
13SEP17	B 1 PD13DEC17	3050.00	3266.75	USD	5,418.75	
13SEP17	B 2 PD13DEC17	3050.50	3266.75	USD	10,812.50	
13SEP17	B 2 PD13DEC17	3047.50	3266.75	USD	10,962.50	
13SEP17	B 2 PD13DEC17	3043.50	3266.75	USD	11,162.50	
13SEP17	B 1 PD13DEC17	3043.00	3266.75	USD	5,593.75	
13SEP17	B 1 PD13DEC17	3042.50	3266.75	USD	5,606.25	
13SEP17	B 2 PD13DEC17	3035.00	3266.75	USD	11,587.50	
13SEP17	B 1 PD13DEC17	3036.50	3266.75	USD	5,756.25	
13SEP17	B 1 PD13DEC17	3036.00	3266.75	USD	5,768.75	
13SEP17	B 1 PD13DEC17	3033.00	3266.75	USD	5,843.75	
13SEP17	B 1 PD13DEC17	3032.00	3266.75	USD	5,868.75	
13SEP17	B 2 PD13DEC17	3029.50	3266.75	USD	11,862.50	
13SEP17	B 1 PD13DEC17	3028.50	3266.75	USD	5,956.25	
13SEP17	B 1 PD13DEC17	3026.50	3266.75	USD	6,006.25	
13SEP17	S 2 PD13DEC17	3040.00	3266.75	USD	-11,337.50	
13SEP17	S 1 PD13DEC17	3041.00	3266.75	USD	-5,643.75	
13SEP17	B 1 PD13DEC17	3039.00	3266.75	USD	5,693.75	
13SEP17	B 1 PD13DEC17	3022.50	3266.75	USD	6,106.25	
13SEP17	B 1 PD13DEC17	3021.50	3266.75	USD	6,131.25	
13SEP17	B 1 PD13DEC17	3026.00	3266.75	USD	6,018.75	
13SEP17	B 1 PD13DEC17	3025.00	3266.75	USD	6,043.75	
13SEP17	S 1 PD13DEC17	3029.50	3266.75	USD	-5,931.25	
15SEP17	S 18 PD13DEC17	3030.69	3266.75	USD	-106,227.00	
	NET 0 AVG BGHT 3041.06 SOLD 3039.97				**-977.00**	**0.00**
14SEP17	S 3 PD14DEC17	3030.50	3266.86	USD	-17,727.00	
14SEP17	S 1 PD14DEC17	3031.50	3266.86	USD	-5,884.00	
14SEP17	B 1 PD14DEC17	3007.00	3266.86	USD	6,496.50	
14SEP17	S 1 PD14DEC17	3012.50	3266.86	USD	-6,359.00	
14SEP17	S 1 PD14DEC17	3015.00	3266.86	USD	-6,296.50	
14SEP17	S 1 PD14DEC17	3016.50	3266.86	USD	-6,259.00	
14SEP17	S 1 PD14DEC17	3019.50	3266.86	USD	-6,184.00	
14SEP17	B 1 PD14DEC17	3014.50	3266.86	USD	6,309.00	
14SEP17	S 1 PD14DEC17	3015.50	3266.86	USD	-6,284.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
14SEP17	B 1 PD14DEC17	3005.50	3266.86	USD	6,534.00	
14SEP17	B 1 PD14DEC17	2999.00	3266.86	USD	6,696.50	
14SEP17	B 1 PD14DEC17	2999.50	3266.86	USD	6,684.00	
14SEP17	B 1 PD14DEC17	2992.00	3266.86	USD	6,871.50	
14SEP17	S 1 PD14DEC17	2993.00	3266.86	USD	-6,846.50	
14SEP17	S 2 PD14DEC17	3002.00	3266.86	USD	-13,243.00	
14SEP17	S 1 PD14DEC17	3003.00	3266.86	USD	-6,596.50	
14SEP17	S 1 PD14DEC17	3000.50	3266.86	USD	-6,659.00	
14SEP17	S 1 PD14DEC17	3008.00	3266.86	USD	-6,471.50	
14SEP17	S 2 PD14DEC17	3003.50	3266.86	USD	-13,168.00	
14SEP17	S 2 PD14DEC17	3002.50	3266.86	USD	-13,218.00	
14SEP17	B 3 PD14DEC17	2983.50	3266.86	USD	21,252.00	
14SEP17	B 1 PD14DEC17	2991.50	3266.86	USD	6,884.00	
14SEP17	S 1 PD14DEC17	2993.50	3266.86	USD	-6,834.00	
14SEP17	B 1 PD14DEC17	2992.50	3266.86	USD	6,859.00	
14SEP17	S 1 PD14DEC17	2996.50	3266.86	USD	-6,759.00	
14SEP17	S 2 PD14DEC17	2989.50	3266.86	USD	-13,868.00	
14SEP17	S 3 PD14DEC17	2990.00	3266.86	USD	-20,764.50	
14SEP17	S 1 PD14DEC17	2996.00	3266.86	USD	-6,771.50	
14SEP17	S 1 PD14DEC17	2998.50	3266.86	USD	-6,709.00	
14SEP17	S 1 PD14DEC17	2999.50	3266.86	USD	-6,684.00	
14SEP17	S 1 PD14DEC17	3001.00	3266.86	USD	-6,646.50	
14SEP17	S 1 PD14DEC17	3004.50	3266.86	USD	-6,559.00	
14SEP17	S 1 PD14DEC17	3007.50	3266.86	USD	-6,484.00	
14SEP17	S 1 PD14DEC17	3010.00	3266.86	USD	-6,421.50	
14SEP17	S 1 PD14DEC17	3009.00	3266.86	USD	-6,446.50	
14SEP17	S 3 PD14DEC17	3006.00	3266.86	USD	-19,564.50	
14SEP17	S 1 PD14DEC17	3009.50	3266.86	USD	-6,434.00	
14SEP17	S 1 PD14DEC17	3011.50	3266.86	USD	-6,384.00	
14SEP17	S 5 PD14DEC17	3012.00	3266.86	USD	-31,857.50	
14SEP17	B 1 PD14DEC17	3004.50	3266.86	USD	6,559.00	
14SEP17	B 1 PD14DEC17	3009.50	3266.86	USD	6,434.00	
14SEP17	B 1 PD14DEC17	3006.00	3266.86	USD	6,521.50	
14SEP17	B 1 PD14DEC17	3002.00	3266.86	USD	6,621.50	
14SEP17	B 1 PD14DEC17	3001.00	3266.86	USD	6,646.50	
14SEP17	B 1 PD14DEC17	3000.50	3266.86	USD	6,659.00	
14SEP17	B 2 PD14DEC17	2998.00	3266.86	USD	13,443.00	
14SEP17	B 1 PD14DEC17	3001.50	3266.86	USD	6,634.00	
14SEP17	B 1 PD14DEC17	3013.00	3266.86	USD	6,346.50	
14SEP17	B 4 PD14DEC17	3006.50	3266.86	USD	26,036.00	
15SEP17	B 19 PD14DEC17	3031.04	3266.86	USD	112,014.50	
	NET 0 AVG BGHT 3013.68 SOLD 3006.51				**-7,881.50**	**0.00**
15SEP17	S 1 PD15DEC17	3006.50	3266.96	USD	-6,511.50	
15SEP17	S 1 PD15DEC17	3004.00	3266.96	USD	-6,574.00	
15SEP17	B 1 PD15DEC17	3018.50	3266.96	USD	6,211.50	
15SEP17	B 1 PD15DEC17	3021.00	3266.96	USD	6,149.00	
15SEP17	B 1 PD15DEC17	3018.00	3266.96	USD	6,224.00	
15SEP17	S 2 PD15DEC17	3017.00	3266.96	USD	-12,498.00	
15SEP17	S 1 PD15DEC17	3013.50	3266.96	USD	-6,336.50	
15SEP17	S 1 PD15DEC17	3018.50	3266.96	USD	-6,211.50	
15SEP17	S 1 PD15DEC17	3014.50	3266.96	USD	-6,311.50	
15SEP17	S 1 PD15DEC17	3014.00	3266.96	USD	-6,324.00	
15SEP17	S 2 PD15DEC17	3020.50	3266.96	USD	-12,323.00	
15SEP17	S 1 PD15DEC17	3021.50	3266.96	USD	-6,136.50	
15SEP17	S 1 PD15DEC17	3027.00	3266.96	USD	-5,999.00	
15SEP17	S 1 PD15DEC17	3030.00	3266.96	USD	-5,924.00	
15SEP17	S 1 PD15DEC17	3031.00	3266.96	USD	-5,899.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
15SEP17	S 1 PD15DEC17	3032.00	3266.96	USD	-5,874.00	
15SEP17	B 1 PD15DEC17	3031.50	3266.96	USD	5,886.50	
15SEP17	B 1 PD15DEC17	3005.00	3266.96	USD	6,549.00	
15SEP17	S 1 PD15DEC17	3018.50	3266.96	USD	-6,211.50	
15SEP17	S 1 PD15DEC17	3045.00	3266.96	USD	-5,549.00	
15SEP17	B 1 PD15DEC17	3030.00	3266.96	USD	5,924.00	
15SEP17	B 1 PD15DEC17	3027.50	3266.96	USD	5,986.50	
15SEP17	B 1 PD15DEC17	3026.50	3266.96	USD	6,011.50	
15SEP17	B 1 PD15DEC17	3025.50	3266.96	USD	6,036.50	
15SEP17	B 2 PD15DEC17	3036.50	3266.96	USD	11,523.00	
15SEP17	B 3 PD15DEC17	3033.50	3266.96	USD	17,509.50	
15SEP17	B 1 PD15DEC17	3032.50	3266.96	USD	5,861.50	
15SEP17	B 2 PD15DEC17	2991.50	3266.96	USD	13,773.00	
15SEP17	S 2 PD15DEC17	2996.00	3266.96	USD	-13,548.00	
15SEP17	S 1 PD15DEC17	2997.50	3266.96	USD	-6,736.50	
15SEP17	S 1 PD15DEC17	3001.50	3266.96	USD	-6,636.50	
15SEP17	S 1 PD15DEC17	3010.50	3266.96	USD	-6,411.50	
15SEP17	S 1 PD15DEC17	3011.00	3266.96	USD	-6,399.00	
15SEP17	S 1 PD15DEC17	2998.50	3266.96	USD	-6,711.50	
15SEP17	S 2 PD15DEC17	2999.00	3266.96	USD	-13,398.00	
15SEP17	B 1 PD15DEC17	2999.00	3266.96	USD	6,699.00	
15SEP17	B 1 PD15DEC17	2996.50	3266.96	USD	6,761.50	
15SEP17	B 3 PD15DEC17	3004.50	3266.96	USD	19,684.50	
15SEP17	S 1 PD15DEC17	3023.00	3266.96	USD	-6,099.00	
15SEP17	B 2 PD15DEC17	3028.00	3266.96	USD	11,948.00	
15SEP17	B 1 PD15DEC17	3029.50	3266.96	USD	5,936.50	
15SEP17	B 2 PD15DEC17	3030.50	3266.96	USD	11,823.00	
	NET 0 AVG BGHT 3020.30 SOLD 3014.19				**-4,125.00**	**0.00**
18SEP17	B 1 PD18DEC17	3074.00	3267.29	USD	4,832.25	
18SEP17	B 1 PD18DEC17	3077.00	3267.29	USD	4,757.25	
18SEP17	S 1 PD18DEC17	3085.00	3267.29	USD	-4,557.25	
18SEP17	S 1 PD18DEC17	3077.50	3267.29	USD	-4,744.75	
18SEP17	S 1 PD18DEC17	3087.50	3267.29	USD	-4,494.75	
18SEP17	B 1 PD18DEC17	3077.50	3267.29	USD	4,744.75	
18SEP17	B 1 PD18DEC17	3070.50	3267.29	USD	4,919.75	
18SEP17	B 1 PD18DEC17	3061.00	3267.29	USD	5,157.25	
18SEP17	B 1 PD18DEC17	3064.00	3267.29	USD	5,082.25	
18SEP17	B 3 PD18DEC17	3066.50	3267.29	USD	15,059.25	
18SEP17	S 1 PD18DEC17	3074.50	3267.29	USD	-4,819.75	
18SEP17	S 1 PD18DEC17	3079.00	3267.29	USD	-4,707.25	
18SEP17	B 1 PD18DEC17	3079.50	3267.29	USD	4,694.75	
18SEP17	S 1 PD18DEC17	3078.50	3267.29	USD	-4,719.75	
18SEP17	S 1 PD18DEC17	3080.00	3267.29	USD	-4,682.25	
18SEP17	S 2 PD18DEC17	3088.00	3267.29	USD	-8,964.50	
18SEP17	S 1 PD18DEC17	3090.50	3267.29	USD	-4,419.75	
18SEP17	S 2 PD18DEC17	3092.00	3267.29	USD	-8,764.50	
18SEP17	B 1 PD18DEC17	3076.00	3267.29	USD	4,782.25	
18SEP17	B 1 PD18DEC17	3074.50	3267.29	USD	4,819.75	
18SEP17	B 1 PD18DEC17	3073.50	3267.29	USD	4,844.75	
18SEP17	B 1 PD18DEC17	3071.50	3267.29	USD	4,894.75	
18SEP17	B 5 PD18DEC17	3071.00	3267.29	USD	24,536.25	
18SEP17	B 2 PD18DEC17	3075.00	3267.29	USD	9,614.50	
18SEP17	B 1 PD18DEC17	3065.00	3267.29	USD	5,057.25	
18SEP17	S 1 PD18DEC17	3089.50	3267.29	USD	-4,444.75	
18SEP17	B 1 PD18DEC17	3073.00	3267.29	USD	4,857.25	
18SEP17	B 2 PD18DEC17	3064.50	3267.29	USD	10,139.50	
18SEP17	S 1 PD18DEC17	3066.00	3267.29	USD	-5,032.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
18SEP17	S 2 PD18DEC17	3070.50	3267.29	USD	-9,839.50	
18SEP17	S 1 PD18DEC17	3075.50	3267.29	USD	-4,794.75	
18SEP17	S 2 PD18DEC17	3083.50	3267.29	USD	-9,189.50	
18SEP17	S 2 PD18DEC17	3084.50	3267.29	USD	-9,139.50	
18SEP17	S 2 PD18DEC17	3085.50	3267.29	USD	-9,089.50	
18SEP17	S 4 PD18DEC17	3083.00	3267.29	USD	-18,429.00	
18SEP17	S 2 PD18DEC17	3081.00	3267.29	USD	-9,314.50	
18SEP17	S 3 PD18DEC17	3081.50	3267.29	USD	-13,934.25	
18SEP17	S 1 PD18DEC17	3076.50	3267.29	USD	-4,769.75	
18SEP17	S 3 PD18DEC17	3079.50	3267.29	USD	-14,084.25	
18SEP17	S 1 PD18DEC17	3065.00	3267.29	USD	-5,057.25	
18SEP17	S 1 PD18DEC17	3082.00	3267.29	USD	-4,632.25	
18SEP17	S 3 PD18DEC17	3082.50	3267.29	USD	-13,859.25	
18SEP17	B 3 PD18DEC17	3090.00	3267.29	USD	13,296.75	
18SEP17	S 1 PD18DEC17	3100.00	3267.29	USD	-4,182.25	
18SEP17	S 1 PD18DEC17	3101.00	3267.29	USD	-4,157.25	
18SEP17	B 2 PD18DEC17	3094.50	3267.29	USD	8,639.50	
18SEP17	B 2 PD18DEC17	3096.00	3267.29	USD	8,564.50	
18SEP17	B 2 PD18DEC17	3098.00	3267.29	USD	8,464.50	
18SEP17	B 1 PD18DEC17	3097.00	3267.29	USD	4,257.25	
18SEP17	B 2 PD18DEC17	3096.50	3267.29	USD	8,539.50	
18SEP17	B 1 PD18DEC17	3101.50	3267.29	USD	4,144.75	
18SEP17	B 1 PD18DEC17	3102.00	3267.29	USD	4,132.25	
18SEP17	B 1 PD18DEC17	3103.00	3267.29	USD	4,107.25	
18SEP17	B 2 PD18DEC17	3102.50	3267.29	USD	8,239.50	
18SEP17	B 1 PD18DEC17	3103.50	3267.29	USD	4,094.75	
18SEP17	B 3 PD18DEC17	3104.00	3267.29	USD	12,246.75	
19SEP17	S 3 PD18DEC17	3106.30	3267.29	USD	-12,074.25	
	NET 0 AVG BGHT 3083.36 SOLD 3083.90				**622.50**	**0.00**
19SEP17	B 1 PD19DEC17	3104.00	3267.39	USD	4,084.75	
19SEP17	B 1 PD19DEC17	3095.00	3267.39	USD	4,309.75	
19SEP17	S 1 PD19DEC17	3096.00	3267.39	USD	-4,284.75	
19SEP17	B 1 PD19DEC17	3088.50	3267.39	USD	4,472.25	
19SEP17	S 2 PD19DEC17	3095.00	3267.39	USD	-8,619.50	
19SEP17	S 1 PD19DEC17	3096.50	3267.39	USD	-4,272.25	
19SEP17	B 1 PD19DEC17	3090.00	3267.39	USD	4,434.75	
19SEP17	S 1 PD19DEC17	3080.00	3267.39	USD	-4,684.75	
19SEP17	S 1 PD19DEC17	3086.50	3267.39	USD	-4,522.25	
19SEP17	S 1 PD19DEC17	3105.50	3267.39	USD	-4,047.25	
19SEP17	S 1 PD19DEC17	3109.50	3267.39	USD	-3,947.25	
19SEP17	S 1 PD19DEC17	3111.00	3267.39	USD	-3,909.75	
19SEP17	B 1 PD19DEC17	3102.50	3267.39	USD	4,122.25	
19SEP17	B 1 PD19DEC17	3107.00	3267.39	USD	4,009.75	
19SEP17	B 1 PD19DEC17	3106.00	3267.39	USD	4,034.75	
19SEP17	B 1 PD19DEC17	3110.50	3267.39	USD	3,922.25	
19SEP17	B 1 PD19DEC17	3111.00	3267.39	USD	3,909.75	
	NET 0 AVG BGHT 3101.61 SOLD 3097.22				**-987.50**	**0.00**
20SEP17	S 1 PD20DEC17	3097.50	3267.50	USD	-4,250.00	
20SEP17	B 1 PD20DEC17	3098.00	3267.50	USD	4,237.50	
20SEP17	B 1 PD20DEC17	3098.50	3267.50	USD	4,225.00	
20SEP17	B 1 PD20DEC17	3105.00	3267.50	USD	4,062.50	
20SEP17	S 2 PD20DEC17	3108.00	3267.50	USD	-7,975.00	
20SEP17	S 2 PD20DEC17	3121.00	3267.50	USD	-7,325.00	
20SEP17	S 1 PD20DEC17	3122.00	3267.50	USD	-3,637.50	
20SEP17	S 1 PD20DEC17	3122.50	3267.50	USD	-3,625.00	
20SEP17	S 1 PD20DEC17	3124.00	3267.50	USD	-3,587.50	
20SEP17	S 6 PD20DEC17	3125.00	3267.50	USD	-21,375.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
20SEP17	S 1 PD20DEC17	3128.00	3267.50	USD	-3,487.50	
20SEP17	B 2 PD20DEC17	3119.50	3267.50	USD	7,400.00	
20SEP17	S 2 PD20DEC17	3129.00	3267.50	USD	-6,925.00	
20SEP17	S 2 PD20DEC17	3129.50	3267.50	USD	-6,900.00	
20SEP17	S 1 PD20DEC17	3127.50	3267.50	USD	-3,500.00	
20SEP17	B 1 PD20DEC17	3123.00	3267.50	USD	3,612.50	
20SEP17	B 1 PD20DEC17	3120.00	3267.50	USD	3,687.50	
20SEP17	S 1 PD20DEC17	3137.50	3267.50	USD	-3,250.00	
20SEP17	S 2 PD20DEC17	3138.00	3267.50	USD	-6,475.00	
20SEP17	S 2 PD20DEC17	3144.00	3267.50	USD	-6,175.00	
20SEP17	S 1 PD20DEC17	3151.50	3267.50	USD	-2,900.00	
20SEP17	B 1 PD20DEC17	3133.50	3267.50	USD	3,350.00	
20SEP17	B 3 PD20DEC17	3118.50	3267.50	USD	11,175.00	
20SEP17	B 1 PD20DEC17	3128.50	3267.50	USD	3,475.00	
	NET -14 AVG BGHT 3116.75 SOLD 3126.90				**-46,162.50**	**0.00**
21SEP17	B 1 PD21DEC17	3099.50	3264.00	USD	4,112.50	
21SEP17	B 2 PD21DEC17	3087.50	3264.00	USD	8,825.00	
21SEP17	B 1 PD21DEC17	3086.00	3264.00	USD	4,450.00	
21SEP17	B 2 PD21DEC17	3085.50	3264.00	USD	8,925.00	
21SEP17	B 2 PD21DEC17	3081.50	3264.00	USD	9,125.00	
21SEP17	B 1 PD21DEC17	3082.50	3264.00	USD	4,537.50	
21SEP17	B 1 PD21DEC17	3082.00	3264.00	USD	4,550.00	
21SEP17	B 1 PD21DEC17	3079.50	3264.00	USD	4,612.50	
21SEP17	B 1 PD21DEC17	3079.00	3264.00	USD	4,625.00	
21SEP17	B 2 PD21DEC17	3077.50	3264.00	USD	9,325.00	
21SEP17	B 1 PD21DEC17	3076.00	3264.00	USD	4,700.00	
21SEP17	B 1 PD21DEC17	3072.00	3264.00	USD	4,800.00	
21SEP17	B 1 PD21DEC17	3070.50	3264.00	USD	4,837.50	
21SEP17	B 1 PD21DEC17	3068.50	3264.00	USD	4,887.50	
21SEP17	B 1 PD21DEC17	3068.00	3264.00	USD	4,900.00	
21SEP17	B 3 PD21DEC17	3069.00	3264.00	USD	14,625.00	
21SEP17	B 3 PD21DEC17	3070.00	3264.00	USD	14,550.00	
21SEP17	B 1 PD21DEC17	3067.50	3264.00	USD	4,912.50	
21SEP17	B 1 PD21DEC17	3065.00	3264.00	USD	4,975.00	
21SEP17	S 1 PD21DEC17	3072.50	3264.00	USD	-4,787.50	
21SEP17	S 2 PD21DEC17	3071.00	3264.00	USD	-9,650.00	
21SEP17	S 1 PD21DEC17	3072.00	3264.00	USD	-4,800.00	
21SEP17	S 1 PD21DEC17	3070.50	3264.00	USD	-4,837.50	
21SEP17	S 1 PD21DEC17	3071.50	3264.00	USD	-4,812.50	
21SEP17	B 1 PD21DEC17	3069.50	3264.00	USD	4,862.50	
21SEP17	S 1 PD21DEC17	3073.50	3264.00	USD	-4,762.50	
21SEP17	S 5 PD21DEC17	3073.00	3264.00	USD	-23,875.00	
21SEP17	S 1 PD21DEC17	3077.00	3264.00	USD	-4,675.00	
21SEP17	S 1 PD21DEC17	3077.50	3264.00	USD	-4,662.50	
21SEP17	B 1 PD21DEC17	3072.50	3264.00	USD	4,787.50	
21SEP17	S 2 PD21DEC17	3085.00	3264.00	USD	-8,950.00	
21SEP17	S 3 PD21DEC17	3088.00	3264.00	USD	-13,200.00	
21SEP17	S 1 PD21DEC17	3084.50	3264.00	USD	-4,487.50	
21SEP17	S 1 PD21DEC17	3081.00	3264.00	USD	-4,575.00	
21SEP17	B 1 PD21DEC17	3074.00	3264.00	USD	4,750.00	
21SEP17	B 1 PD21DEC17	3073.50	3264.00	USD	4,762.50	
21SEP17	B 1 PD21DEC17	3073.00	3264.00	USD	4,775.00	
21SEP17	S 3 PD21DEC17	3078.00	3264.00	USD	-13,950.00	
21SEP17	S 3 PD21DEC17	3080.50	3264.00	USD	-13,762.50	
21SEP17	S 1 PD21DEC17	3082.00	3264.00	USD	-4,550.00	
21SEP17	B 1 PD21DEC17	3077.00	3264.00	USD	4,675.00	
21SEP17	B 1 PD21DEC17	3078.50	3264.00	USD	4,637.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
21SEP17	B 1 PD21DEC17	3078.00	3264.00	USD	4,650.00	
21SEP17	B 2 PD21DEC17	3098.50	3264.00	USD	8,275.00	
21SEP17	B 1 PD21DEC17	3097.50	3264.00	USD	4,162.50	
21SEP17	B 1 PD21DEC17	3097.00	3264.00	USD	4,175.00	
21SEP17	B 1 PD21DEC17	3094.50	3264.00	USD	4,237.50	
21SEP17	B 1 PD21DEC17	3084.00	3264.00	USD	4,500.00	
21SEP17	B 1 PD21DEC17	3083.50	3264.00	USD	4,512.50	
21SEP17	B 2 PD21DEC17	3075.00	3264.00	USD	9,450.00	
21SEP17	S 1 PD21DEC17	3089.50	3264.00	USD	-4,362.50	
21SEP17	S 1 PD21DEC17	3098.00	3264.00	USD	-4,150.00	
21SEP17	S 1 PD21DEC17	3109.50	3264.00	USD	-3,862.50	
21SEP17	S 1 PD21DEC17	3106.00	3264.00	USD	-3,950.00	
21SEP17	B 1 PD21DEC17	3101.00	3264.00	USD	4,075.00	
21SEP17	B 1 PD21DEC17	3110.00	3264.00	USD	3,850.00	
21SEP17	B 1 PD21DEC17	3096.00	3264.00	USD	4,200.00	
21SEP17	B 4 PD21DEC17	3094.00	3264.00	USD	17,000.00	
21SEP17	S 1 PD21DEC17	3089.00	3264.00	USD	-4,375.00	
21SEP17	B 1 PD21DEC17	3029.50	3264.00	USD	5,862.50	
21SEP17	S 1 PD21DEC17	3041.00	3264.00	USD	-5,575.00	
21SEP17	S 1 PD21DEC17	3040.00	3264.00	USD	-5,600.00	
21SEP17	S 1 PD21DEC17	3040.50	3264.00	USD	-5,587.50	
21SEP17	S 1 PD21DEC17	3042.50	3264.00	USD	-5,537.50	
21SEP17	S 2 PD21DEC17	3043.00	3264.00	USD	-11,050.00	
21SEP17	S 1 PD21DEC17	3043.50	3264.00	USD	-5,512.50	
21SEP17	B 1 PD21DEC17	3036.50	3264.00	USD	5,687.50	
21SEP17	B 1 PD21DEC17	3041.00	3264.00	USD	5,575.00	
21SEP17	B 1 PD21DEC17	3042.00	3264.00	USD	5,550.00	
	NET +15 AVG BGHT 3078.34 SOLD 3074.10				**65,387.50**	**0.00**
22SEP17	B 1 PD22DEC17	3031.50	3264.00	USD	5,812.50	
22SEP17	B 1 PD22DEC17	3042.00	3264.00	USD	5,550.00	
22SEP17	B 1 PD22DEC17	3041.00	3264.00	USD	5,575.00	
22SEP17	B 1 PD22DEC17	3040.00	3264.00	USD	5,600.00	
22SEP17	B 1 PD22DEC17	3039.00	3264.00	USD	5,625.00	
22SEP17	B 1 PD22DEC17	3039.50	3264.00	USD	5,612.50	
22SEP17	S 1 PD22DEC17	3034.00	3264.00	USD	-5,750.00	
22SEP17	S 1 PD22DEC17	3028.00	3264.00	USD	-5,900.00	
22SEP17	S 2 PD22DEC17	3028.50	3264.00	USD	-11,775.00	
22SEP17	S 2 PD22DEC17	3032.00	3264.00	USD	-11,600.00	
22SEP17	B 1 PD22DEC17	3030.50	3264.00	USD	5,837.50	
22SEP17	B 1 PD22DEC17	3029.00	3264.00	USD	5,875.00	
22SEP17	B 1 PD22DEC17	3030.00	3264.00	USD	5,850.00	
22SEP17	B 1 PD22DEC17	3027.50	3264.00	USD	5,912.50	
22SEP17	B 2 PD22DEC17	3026.50	3264.00	USD	11,875.00	
22SEP17	B 3 PD22DEC17	3031.00	3264.00	USD	17,475.00	
22SEP17	B 2 PD22DEC17	3028.00	3264.00	USD	11,800.00	
22SEP17	B 1 PD22DEC17	3027.00	3264.00	USD	5,925.00	
22SEP17	B 1 PD22DEC17	3024.50	3264.00	USD	5,987.50	
22SEP17	B 1 PD22DEC17	3019.50	3264.00	USD	6,112.50	
22SEP17	B 1 PD22DEC17	3016.50	3264.00	USD	6,187.50	
22SEP17	B 1 PD22DEC17	3015.50	3264.00	USD	6,212.50	
22SEP17	B 1 PD22DEC17	3013.50	3264.00	USD	6,262.50	
22SEP17	B 2 PD22DEC17	3005.00	3264.00	USD	12,950.00	
22SEP17	S 1 PD22DEC17	3025.00	3264.00	USD	-5,975.00	
22SEP17	S 1 PD22DEC17	3019.50	3264.00	USD	-6,112.50	
22SEP17	S 1 PD22DEC17	3036.00	3264.00	USD	-5,700.00	
22SEP17	S 2 PD22DEC17	3037.50	3264.00	USD	-11,325.00	
22SEP17	S 1 PD22DEC17	3039.00	3264.00	USD	-5,625.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
22SEP17	S 1 PD22DEC17	3039.50	3264.00	USD	-5,612.50	
22SEP17	S 1 PD22DEC17	3041.50	3264.00	USD	-5,562.50	
22SEP17	S 1 PD22DEC17	3042.50	3264.00	USD	-5,537.50	
22SEP17	S 1 PD22DEC17	3044.50	3264.00	USD	-5,487.50	
22SEP17	S 1 PD22DEC17	3045.00	3264.00	USD	-5,475.00	
22SEP17	S 1 PD22DEC17	3045.50	3264.00	USD	-5,462.50	
22SEP17	S 1 PD22DEC17	3047.50	3264.00	USD	-5,412.50	
22SEP17	S 1 PD22DEC17	3048.50	3264.00	USD	-5,387.50	
22SEP17	S 1 PD22DEC17	3065.00	3264.00	USD	-4,975.00	
22SEP17	B 1 PD22DEC17	3071.00	3264.00	USD	4,825.00	
22SEP17	S 1 PD22DEC17	3069.00	3264.00	USD	-4,875.00	
22SEP17	S 1 PD22DEC17	3072.00	3264.00	USD	-4,800.00	
22SEP17	S 1 PD22DEC17	3072.50	3264.00	USD	-4,787.50	
22SEP17	S 1 PD22DEC17	3075.00	3264.00	USD	-4,725.00	
22SEP17	B 1 PD22DEC17	3065.00	3264.00	USD	4,975.00	
22SEP17	B 1 PD22DEC17	3057.00	3264.00	USD	5,175.00	
22SEP17	B 1 PD22DEC17	3053.00	3264.00	USD	5,275.00	
22SEP17	S 1 PD22DEC17	3055.50	3264.00	USD	-5,212.50	
22SEP17	S 1 PD22DEC17	3051.50	3264.00	USD	-5,312.50	
22SEP17	S 2 PD22DEC17	3051.00	3264.00	USD	-10,650.00	
22SEP17	S 1 PD22DEC17	3049.50	3264.00	USD	-5,362.50	
22SEP17	B 1 PD22DEC17	3051.00	3264.00	USD	5,325.00	
22SEP17	B 1 PD22DEC17	3014.50	3264.00	USD	6,237.50	
22SEP17	S 1 PD22DEC17	3029.50	3264.00	USD	-5,862.50	
	NET 0 AVG BGHT 3031.94 SOLD 3044.31				**9,587.50**	**0.00**
25SEP17	B 1 PD27DEC17	3080.00	3264.00	USD	4,600.00	
25SEP17	S 2 PD27DEC17	3073.00	3264.00	USD	-9,550.00	
25SEP17	S 1 PD27DEC17	3078.00	3264.00	USD	-4,650.00	
25SEP17	S 1 PD27DEC17	3080.00	3264.00	USD	-4,600.00	
25SEP17	S 1 PD27DEC17	3077.00	3264.00	USD	-4,675.00	
25SEP17	B 1 PD27DEC17	3081.50	3264.00	USD	4,562.50	
25SEP17	B 2 PD27DEC17	3082.50	3264.00	USD	9,075.00	
25SEP17	S 1 PD27DEC17	3087.00	3264.00	USD	-4,425.00	
25SEP17	S 1 PD27DEC17	3086.50	3264.00	USD	-4,437.50	
25SEP17	B 1 PD27DEC17	3078.00	3264.00	USD	4,650.00	
25SEP17	S 1 PD27DEC17	3104.00	3264.00	USD	-4,000.00	
25SEP17	B 1 PD27DEC17	3097.50	3264.00	USD	4,162.50	
25SEP17	B 1 PD27DEC17	3095.00	3264.00	USD	4,225.00	
25SEP17	B 1 PD27DEC17	3092.00	3264.00	USD	4,300.00	
25SEP17	S 2 PD27DEC17	3097.00	3264.00	USD	-8,350.00	
25SEP17	S 1 PD27DEC17	3096.50	3264.00	USD	-4,187.50	
25SEP17	B 1 PD27DEC17	3099.50	3264.00	USD	4,112.50	
25SEP17	B 2 PD27DEC17	3097.00	3264.00	USD	8,350.00	
26SEP17	S 1 PD27DEC17	3126.00	3264.00	USD	-3,450.00	
26SEP17	S 1 PD27DEC17	3127.50	3264.00	USD	-3,412.50	
26SEP17	S 1 PD27DEC17	3127.00	3264.00	USD	-3,425.00	
26SEP17	S 1 PD27DEC17	3134.00	3264.00	USD	-3,250.00	
26SEP17	S 1 PD27DEC17	3132.50	3264.00	USD	-3,287.50	
26SEP17	B 1 PD27DEC17	3136.00	3264.00	USD	3,200.00	
26SEP17	B 1 PD27DEC17	3133.00	3264.00	USD	3,275.00	
26SEP17	B 1 PD27DEC17	3131.00	3264.00	USD	3,325.00	
26SEP17	B 2 PD27DEC17	3132.00	3264.00	USD	6,600.00	
26SEP17	S 2 PD27DEC17	3143.50	3264.00	USD	-6,025.00	
26SEP17	B 1 PD27DEC17	3112.50	3264.00	USD	3,787.50	
26SEP17	S 1 PD27DEC17	3115.00	3264.00	USD	-3,725.00	
26SEP17	S 1 PD27DEC17	3110.00	3264.00	USD	-3,850.00	
26SEP17	B 1 PD27DEC17	3129.50	3264.00	USD	3,362.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
26SEP17	B 1 PD27DEC17	3113.50	3264.00	USD	3,762.50	
26SEP17	B 1 PD27DEC17	3113.00	3264.00	USD	3,775.00	
27SEP17	S 1 PD27DEC17	3116.50	3264.00	USD	-3,687.50	
27SEP17	S 1 PD27DEC17	3131.00	3264.00	USD	-3,325.00	
27SEP17	S 1 PD27DEC17	3132.50	3264.00	USD	-3,287.50	
27SEP17	S 1 PD27DEC17	3137.50	3264.00	USD	-3,162.50	
27SEP17	S 1 PD27DEC17	3147.00	3264.00	USD	-2,925.00	
27SEP17	S 2 PD27DEC17	3144.50	3264.00	USD	-5,975.00	
27SEP17	S 2 PD27DEC17	3145.50	3264.00	USD	-5,925.00	
27SEP17	S 2 PD27DEC17	3145.00	3264.00	USD	-5,950.00	
27SEP17	S 1 PD27DEC17	3138.50	3264.00	USD	-3,137.50	
27SEP17	S 1 PD27DEC17	3138.00	3264.00	USD	-3,150.00	
27SEP17	S 2 PD27DEC17	3140.50	3264.00	USD	-6,175.00	
27SEP17	S 2 PD27DEC17	3143.50	3264.00	USD	-6,025.00	
27SEP17	S 1 PD27DEC17	3141.00	3264.00	USD	-3,075.00	
27SEP17	B 1 PD27DEC17	3139.50	3264.00	USD	3,112.50	
27SEP17	B 2 PD27DEC17	3137.50	3264.00	USD	6,325.00	
27SEP17	B 2 PD27DEC17	3137.00	3264.00	USD	6,350.00	
27SEP17	S 1 PD27DEC17	3124.00	3264.00	USD	-3,500.00	
27SEP17	S 1 PD27DEC17	3114.50	3264.00	USD	-3,737.50	
27SEP17	S 1 PD27DEC17	3121.00	3264.00	USD	-3,575.00	
27SEP17	B 1 PD27DEC17	3113.00	3264.00	USD	3,775.00	
27SEP17	B 2 PD27DEC17	3119.50	3264.00	USD	7,225.00	
27SEP17	B 2 PD27DEC17	3101.00	3264.00	USD	8,150.00	
27SEP17	B 1 PD27DEC17	3100.00	3264.00	USD	4,100.00	
27SEP17	B 1 PD27DEC17	3097.50	3264.00	USD	4,162.50	
27SEP17	B 2 PD27DEC17	3097.00	3264.00	USD	8,350.00	
27SEP17	B 1 PD27DEC17	3100.50	3264.00	USD	4,087.50	
27SEP17	B 1 PD27DEC17	3102.00	3264.00	USD	4,050.00	
27SEP17	B 1 PD27DEC17	3116.00	3264.00	USD	3,700.00	
27SEP17	B 1 PD27DEC17	3115.00	3264.00	USD	3,725.00	
27SEP17	B 1 PD27DEC17	3115.50	3264.00	USD	3,712.50	
27SEP17	B 1 PD27DEC17	3114.00	3264.00	USD	3,750.00	
27SEP17	B 1 PD27DEC17	3117.00	3264.00	USD	3,675.00	
	NET 0 AVG BGHT 3110.46 SOLD 3121.65				**11,462.50**	**0.00**
28SEP17	B 1 PD28DEC17	3102.00	3264.00	USD	4,050.00	
28SEP17	B 1 PD28DEC17	3107.50	3264.00	USD	3,912.50	
28SEP17	B 1 PD28DEC17	3105.50	3264.00	USD	3,962.50	
28SEP17	B 1 PD28DEC17	3104.50	3264.00	USD	3,987.50	
28SEP17	S 1 PD28DEC17	3109.50	3264.00	USD	-3,862.50	
28SEP17	S 1 PD28DEC17	3118.50	3264.00	USD	-3,637.50	
28SEP17	B 1 PD28DEC17	3118.00	3264.00	USD	3,650.00	
28SEP17	S 1 PD28DEC17	3119.50	3264.00	USD	-3,612.50	
28SEP17	S 1 PD28DEC17	3119.00	3264.00	USD	-3,625.00	
28SEP17	S 1 PD28DEC17	3120.00	3264.00	USD	-3,600.00	
28SEP17	S 1 PD28DEC17	3123.00	3264.00	USD	-3,525.00	
28SEP17	S 1 PD28DEC17	3131.00	3264.00	USD	-3,325.00	
28SEP17	S 1 PD28DEC17	3136.00	3264.00	USD	-3,200.00	
28SEP17	S 1 PD28DEC17	3133.50	3264.00	USD	-3,262.50	
28SEP17	S 1 PD28DEC17	3135.00	3264.00	USD	-3,225.00	
28SEP17	S 1 PD28DEC17	3134.00	3264.00	USD	-3,250.00	
28SEP17	B 1 PD28DEC17	3130.00	3264.00	USD	3,350.00	
28SEP17	S 1 PD28DEC17	3121.50	3264.00	USD	-3,562.50	
28SEP17	B 1 PD28DEC17	3123.50	3264.00	USD	3,512.50	
28SEP17	B 1 PD28DEC17	3128.00	3264.00	USD	3,400.00	
28SEP17	B 2 PD28DEC17	3135.00	3264.00	USD	6,450.00	
28SEP17	B 2 PD28DEC17	3134.50	3264.00	USD	6,475.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
28SEP17	S 1 PD28DEC17	3143.50	3264.00	USD	-3,012.50	
28SEP17	B 1 PD28DEC17	3132.50	3264.00	USD	3,287.50	
28SEP17	B 1 PD28DEC17	3122.00	3264.00	USD	3,550.00	
28SEP17	B 2 PD28DEC17	3113.00	3264.00	USD	7,550.00	
28SEP17	B 1 PD28DEC17	3116.50	3264.00	USD	3,687.50	
28SEP17	B 1 PD28DEC17	3114.00	3264.00	USD	3,750.00	
28SEP17	S 1 PD28DEC17	3126.00	3264.00	USD	-3,450.00	
28SEP17	S 1 PD28DEC17	3137.50	3264.00	USD	-3,162.50	
28SEP17	S 1 PD28DEC17	3138.00	3264.00	USD	-3,150.00	
28SEP17	S 1 PD28DEC17	3138.50	3264.00	USD	-3,137.50	
28SEP17	S 1 PD28DEC17	3137.00	3264.00	USD	-3,175.00	
28SEP17	S 1 PD28DEC17	3140.00	3264.00	USD	-3,100.00	
28SEP17	S 1 PD28DEC17	3142.50	3264.00	USD	-3,037.50	
28SEP17	S 1 PD28DEC17	3144.00	3264.00	USD	-3,000.00	
28SEP17	S 1 PD28DEC17	3146.50	3264.00	USD	-2,937.50	
28SEP17	S 1 PD28DEC17	3147.50	3264.00	USD	-2,912.50	
28SEP17	S 1 PD28DEC17	3140.50	3264.00	USD	-3,087.50	
28SEP17	B 1 PD28DEC17	3140.00	3264.00	USD	3,100.00	
28SEP17	S 4 PD28DEC17	3153.50	3264.00	USD	-11,050.00	
28SEP17	S 3 PD28DEC17	3154.00	3264.00	USD	-8,250.00	
28SEP17	S 1 PD28DEC17	3151.50	3264.00	USD	-2,812.50	
28SEP17	S 1 PD28DEC17	3153.00	3264.00	USD	-2,775.00	
	NET -14 AVG BGHT 3121.53 SOLD 3138.26				**-36,062.50**	**0.00**
29SEP17	B 1 PD29DEC17	3149.50	3264.00	USD	2,862.50	
29SEP17	B 1 PD29DEC17	3147.00	3264.00	USD	2,925.00	
29SEP17	B 1 PD29DEC17	3141.00	3264.00	USD	3,075.00	
29SEP17	B 1 PD29DEC17	3140.00	3264.00	USD	3,100.00	
29SEP17	B 1 PD29DEC17	3144.00	3264.00	USD	3,000.00	
29SEP17	S 2 PD29DEC17	3160.00	3264.00	USD	-5,200.00	
29SEP17	S 1 PD29DEC17	3169.50	3264.00	USD	-2,362.50	
29SEP17	S 1 PD29DEC17	3152.00	3264.00	USD	-2,800.00	
29SEP17	S 2 PD29DEC17	3163.00	3264.00	USD	-5,050.00	
29SEP17	S 1 PD29DEC17	3161.00	3264.00	USD	-2,575.00	
29SEP17	S 1 PD29DEC17	3171.50	3264.00	USD	-2,312.50	
29SEP17	S 1 PD29DEC17	3162.50	3264.00	USD	-2,537.50	
29SEP17	S 1 PD29DEC17	3164.00	3264.00	USD	-2,500.00	
29SEP17	S 2 PD29DEC17	3151.00	3264.00	USD	-5,650.00	
29SEP17	B 1 PD29DEC17	3154.00	3264.00	USD	2,750.00	
29SEP17	B 1 PD29DEC17	3155.00	3264.00	USD	2,725.00	
29SEP17	B 2 PD29DEC17	3152.50	3264.00	USD	5,575.00	
29SEP17	B 1 PD29DEC17	3162.00	3264.00	USD	2,550.00	
29SEP17	B 2 PD29DEC17	3151.50	3264.00	USD	5,625.00	
29SEP17	B 1 PD29DEC17	3150.50	3264.00	USD	2,837.50	
29SEP17	B 1 PD29DEC17	3148.50	3264.00	USD	2,887.50	
29SEP17	B 3 PD29DEC17	3147.50	3264.00	USD	8,737.50	
	NET +5 AVG BGHT 3149.53 SOLD 3160.71				**17,662.50**	**0.00**
03OCT17	S 1 PD03JAN18	3225.00	3264.00	USD	-975.00	
03OCT17	S 2 PD03JAN18	3225.50	3264.00	USD	-1,925.00	
03OCT17	S 1 PD03JAN18	3226.00	3264.00	USD	-950.00	
03OCT17	S 1 PD03JAN18	3227.00	3264.00	USD	-925.00	
03OCT17	S 1 PD03JAN18	3230.00	3264.00	USD	-850.00	
03OCT17	S 1 PD03JAN18	3236.00	3264.00	USD	-700.00	
03OCT17	S 1 PD03JAN18	3238.50	3264.00	USD	-637.50	
03OCT17	S 1 PD03JAN18	3239.50	3264.00	USD	-612.50	
03OCT17	S 2 PD03JAN18	3262.00	3264.00	USD	-100.00	
03OCT17	B 1 PD03JAN18	3262.50	3264.00	USD	37.50	
03OCT17	B 1 PD03JAN18	3259.50	3264.00	USD	112.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
03OCT17	B 1 PD03JAN18	3267.50	3264.00	USD	-87.50	
03OCT17	B 1 PD03JAN18	3258.50	3264.00	USD	137.50	
03OCT17	S 1 PD03JAN18	3264.50	3264.00	USD	12.50	
03OCT17	S 2 PD03JAN18	3271.00	3264.00	USD	350.00	
03OCT17	B 1 PD03JAN18	3275.50	3264.00	USD	-287.50	
03OCT17	B 1 PD03JAN18	3277.00	3264.00	USD	-325.00	
03OCT17	B 3 PD03JAN18	3276.00	3264.00	USD	-900.00	
03OCT17	B 1 PD03JAN18	3286.00	3264.00	USD	-550.00	
03OCT17	B 1 PD03JAN18	3287.50	3264.00	USD	-587.50	
03OCT17	B 3 PD03JAN18	3288.00	3264.00	USD	-1,800.00	
03OCT17	B 1 PD03JAN18	3290.00	3264.00	USD	-650.00	
03OCT17	B 1 PD03JAN18	3290.50	3264.00	USD	-662.50	
03OCT17	S 1 PD03JAN18	3288.50	3264.00	USD	612.50	
03OCT17	S 1 PD03JAN18	3288.00	3264.00	USD	600.00	
03OCT17	S 1 PD03JAN18	3287.50	3264.00	USD	587.50	
03OCT17	B 1 PD03JAN18	3287.00	3264.00	USD	-575.00	
03OCT17	B 1 PD03JAN18	3287.50	3264.00	USD	-587.50	
03OCT17	S 1 PD03JAN18	3289.00	3264.00	USD	625.00	
03OCT17	S 1 PD03JAN18	3288.50	3264.00	USD	612.50	
03OCT17	S 1 PD03JAN18	3280.00	3264.00	USD	400.00	
03OCT17	B 1 PD03JAN18	3266.50	3264.00	USD	-62.50	
03OCT17	B 1 PD03JAN18	3265.50	3264.00	USD	-37.50	
03OCT17	S 1 PD03JAN18	3255.00	3264.00	USD	-225.00	
03OCT17	S 1 PD03JAN18	3262.50	3264.00	USD	-37.50	
03OCT17	B 2 PD03JAN18	3261.50	3264.00	USD	125.00	
03OCT17	B 1 PD03JAN18	3272.00	3264.00	USD	-200.00	
03OCT17	B 1 PD03JAN18	3279.00	3264.00	USD	-375.00	
03OCT17	B 2 PD03JAN18	3278.50	3264.00	USD	-725.00	
03OCT17	B 2 PD03JAN18	3277.50	3264.00	USD	-675.00	
03OCT17	B 2 PD03JAN18	3278.00	3264.00	USD	-700.00	
03OCT17	B 1 PD03JAN18	3267.00	3264.00	USD	-75.00	
	NET +9 AVG BGHT 3276.19 SOLD 3256.48				**-13,587.50**	**0.00**

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	53,181.25
LME Lead 25 tonnes. This is an	FUTURES	998,547.00
LME Nickel 6 tonnes. This is	FUTURES	5,647.74
LME Zinc 25 tonnes. This is	FUTURES	46,304.25

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards		Amount	Profit / Loss	**Total Amount**	**Total P&L**
ALUL - Futures	USD	41.25	41.25		
LEAD - Futures	USD	26,861.50	26,861.50		
NKL - Futures	USD	5,636.70	5,636.70		
ZINC - Futures	USD	45,048.00	45,048.00	**77,587.45**	**77,587.45**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-1,014,133.53	-1,014,133.53
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**-1,014,133.53**	**-1,014,133.53**
Initial Margins		-12,032.55	-12,032.55
Excess/(Shortage)		**-1,026,166.08**	**-1,026,166.08**
FOR INFORMATION ONLY			
Forward Unrealised P&L		77,587.45	77,587.45
Net Liquidating Value		**-936,546.08**	**-936,546.08**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
04JUL17	B 1 PD04OCT17	1923.50	2113.92	USD	4,760.50	
04JUL17	B 1 PD04OCT17	1920.00	2113.92	USD	4,848.00	
04JUL17	B 3 PD04OCT17	1919.50	2113.92	USD	14,581.50	
04JUL17	B 1 PD04OCT17	1917.00	2113.92	USD	4,923.00	
04JUL17	B 1 PD04OCT17	1916.50	2113.92	USD	4,935.50	
04JUL17	S 1 PD04OCT17	1926.00	2113.92	USD	-4,698.00	
04JUL17	S 2 PD04OCT17	1927.00	2113.92	USD	-9,346.00	
04JUL17	S 1 PD04OCT17	1929.50	2113.92	USD	-4,610.50	
04JUL17	S 1 PD04OCT17	1928.00	2113.92	USD	-4,648.00	
04JUL17	S 1 PD04OCT17	1927.50	2113.92	USD	-4,660.50	
04JUL17	S 1 PD04OCT17	1927.50	2113.92	USD	-4,660.50	
	NET 0 AVG BGHT 1919.36 SOLD 1927.50				**1,425.00**	**0.00**
05JUL17	S 4 PD05OCT17	1930.00	2114.25	USD	-18,425.00	
05JUL17	B 2 PD05OCT17	1928.50	2114.25	USD	9,287.50	
05JUL17	B 1 PD05OCT17	1925.50	2114.25	USD	4,718.75	
05JUL17	B 1 PD05OCT17	1926.00	2114.25	USD	4,706.25	
05JUL17	B 2 PD05OCT17	1924.00	2114.25	USD	9,512.50	
05JUL17	B 1 PD05OCT17	1923.00	2114.25	USD	4,781.25	
05JUL17	B 1 PD05OCT17	1922.00	2114.25	USD	4,806.25	
05JUL17	S 1 PD05OCT17	1925.50	2114.25	USD	-4,718.75	
05JUL17	S 1 PD05OCT17	1926.00	2114.25	USD	-4,706.25	
05JUL17	S 1 PD05OCT17	1926.50	2114.25	USD	-4,693.75	
05JUL17	S 1 PD05OCT17	1922.00	2114.25	USD	-4,806.25	
05JUL17	S 1 PD05OCT17	1923.00	2114.25	USD	-4,781.25	
05JUL17	S 1 PD05OCT17	1922.50	2114.25	USD	-4,793.75	
05JUL17	S 1 PD05OCT17	1924.00	2114.25	USD	-4,756.25	
05JUL17	S 1 PD05OCT17	1924.50	2114.25	USD	-4,743.75	
05JUL17	S 1 PD05OCT17	1923.50	2114.25	USD	-4,768.75	
10JUL17	B 5 PD05OCT17	1896.58	2114.25	USD	27,208.75	
	NET 0 AVG BGHT 1914.18 SOLD 1925.96				**3,827.50**	**0.00**
06JUL17	S 2 PD06OCT17	1928.00	2114.58	USD	-9,329.00	
06JUL17	S 1 PD06OCT17	1929.00	2114.58	USD	-4,639.50	
06JUL17	S 1 PD06OCT17	1929.50	2114.58	USD	-4,627.00	
06JUL17	S 1 PD06OCT17	1930.00	2114.58	USD	-4,614.50	
06JUL17	S 1 PD06OCT17	1930.50	2114.58	USD	-4,602.00	
06JUL17	S 1 PD06OCT17	1934.00	2114.58	USD	-4,514.50	
06JUL17	S 3 PD06OCT17	1934.50	2114.58	USD	-13,506.00	
06JUL17	S 1 PD06OCT17	1937.00	2114.58	USD	-4,439.50	
06JUL17	S 1 PD06OCT17	1937.50	2114.58	USD	-4,427.00	
06JUL17	S 1 PD06OCT17	1942.00	2114.58	USD	-4,314.50	
06JUL17	B 1 PD06OCT17	1939.50	2114.58	USD	4,377.00	
06JUL17	B 1 PD06OCT17	1939.00	2114.58	USD	4,389.50	
06JUL17	B 1 PD06OCT17	1938.50	2114.58	USD	4,402.00	
06JUL17	B 1 PD06OCT17	1942.00	2114.58	USD	4,314.50	
06JUL17	B 1 PD06OCT17	1940.00	2114.58	USD	4,364.50	
06JUL17	B 1 PD06OCT17	1926.50	2114.58	USD	4,702.00	
06JUL17	B 1 PD06OCT17	1932.50	2114.58	USD	4,552.00	
06JUL17	B 1 PD06OCT17	1932.00	2114.58	USD	4,564.50	
07JUL17	B 1 PD06OCT17	1940.50	2114.58	USD	4,352.00	
07JUL17	B 1 PD06OCT17	1941.00	2114.58	USD	4,339.50	
07JUL17	B 1 PD06OCT17	1940.00	2114.58	USD	4,364.50	
07JUL17	B 1 PD06OCT17	1939.00	2114.58	USD	4,389.50	
07JUL17	B 1 PD06OCT17	1938.50	2114.58	USD	4,402.00	
07JUL17	B 1 PD06OCT17	1936.00	2114.58	USD	4,464.50	
07JUL17	B 6 PD06OCT17	1935.00	2114.58	USD	26,937.00	
07JUL17	B 4 PD06OCT17	1935.50	2114.58	USD	17,908.00	
07JUL17	S 1 PD06OCT17	1932.50	2114.58	USD	-4,552.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.							
07JUL17	S	3	PD06OCT17	1933.00	2114.58	USD	-13,618.50	
07JUL17	B	1	PD06OCT17	1931.00	2114.58	USD	4,589.50	
10JUL17	S	8	PD06OCT17	1896.47	2114.58	USD	-43,622.00	
	NET	**0**	**AVG BGHT 1936.32 SOLD 1921.29**				**-9,393.50**	**0.00**
10JUL17	B	2	PD10OCT17	1932.00	2115.92	USD	9,196.00	
10JUL17	B	1	PD10OCT17	1931.50	2115.92	USD	4,610.50	
10JUL17	B	4	PD10OCT17	1921.00	2115.92	USD	19,492.00	
10JUL17	B	1	PD10OCT17	1920.50	2115.92	USD	4,885.50	
10JUL17	S	5	PD10OCT17	1924.00	2115.92	USD	-23,990.00	
10JUL17	S	4	PD10OCT17	1923.50	2115.92	USD	-19,242.00	
10JUL17	S	14	PD10OCT17	1923.00	2115.92	USD	-67,522.00	
10JUL17	S	1	PD10OCT17	1922.50	2115.92	USD	-4,835.50	
10JUL17	S	3	PD10OCT17	1920.50	2115.92	USD	-14,656.50	
10JUL17	B	1	PD10OCT17	1919.00	2115.92	USD	4,923.00	
10JUL17	B	1	PD10OCT17	1915.00	2115.92	USD	5,023.00	
10JUL17	B	2	PD10OCT17	1915.50	2115.92	USD	10,021.00	
10JUL17	B	1	PD10OCT17	1912.50	2115.92	USD	5,085.50	
10JUL17	B	1	PD10OCT17	1908.50	2115.92	USD	5,185.50	
10JUL17	S	1	PD10OCT17	1907.50	2115.92	USD	-5,210.50	
10JUL17	B	1	PD10OCT17	1904.00	2115.92	USD	5,298.00	
10JUL17	B	1	PD10OCT17	1901.00	2115.92	USD	5,373.00	
10JUL17	B	1	PD10OCT17	1902.50	2115.92	USD	5,335.50	
10JUL17	S	1	PD10OCT17	1898.00	2115.92	USD	-5,448.00	
10JUL17	S	1	PD10OCT17	1897.50	2115.92	USD	-5,460.50	
10JUL17	S	1	PD10OCT17	1898.50	2115.92	USD	-5,435.50	
10JUL17	S	1	PD10OCT17	1897.50	2115.92	USD	-5,460.50	
11JUL17	B	15	PD10OCT17	1900.10	2115.92	USD	80,932.50	
	NET	**0**	**AVG BGHT 1909.22 SOLD 1919.34**				**8,100.00**	**0.00**
11JUL17	S	1	PD11OCT17	1897.00	2116.25	USD	-5,481.25	
11JUL17	S	1	PD11OCT17	1901.00	2116.25	USD	-5,381.25	
11JUL17	S	1	PD11OCT17	1902.00	2116.25	USD	-5,356.25	
11JUL17	B	1	PD11OCT17	1893.00	2116.25	USD	5,581.25	
11JUL17	B	1	PD11OCT17	1889.50	2116.25	USD	5,668.75	
11JUL17	S	2	PD11OCT17	1890.50	2116.25	USD	-11,287.50	
11JUL17	B	1	PD11OCT17	1890.00	2116.25	USD	5,656.25	
11JUL17	S	1	PD11OCT17	1891.50	2116.25	USD	-5,618.75	
11JUL17	S	1	PD11OCT17	1892.00	2116.25	USD	-5,606.25	
11JUL17	B	1	PD11OCT17	1891.00	2116.25	USD	5,631.25	
11JUL17	S	1	PD11OCT17	1894.50	2116.25	USD	-5,543.75	
11JUL17	S	1	PD11OCT17	1897.50	2116.25	USD	-5,468.75	
11JUL17	S	2	PD11OCT17	1899.00	2116.25	USD	-10,862.50	
11JUL17	S	1	PD11OCT17	1900.50	2116.25	USD	-5,393.75	
11JUL17	B	1	PD11OCT17	1894.50	2116.25	USD	5,543.75	
11JUL17	S	1	PD11OCT17	1903.00	2116.25	USD	-5,331.25	
11JUL17	S	2	PD11OCT17	1900.00	2116.25	USD	-10,812.50	
12JUL17	B	9	PD11OCT17	1889.08	2116.25	USD	51,113.25	
13JUL17	B	1	PD11OCT17	1922.79	2116.25	USD	4,836.50	
	NET	**0**	**AVG BGHT 1892.17 SOLD 1897.20**				**1,887.25**	**0.00**
12JUL17	B	1	PD12OCT17	1902.50	2116.25	USD	5,343.75	
12JUL17	B	1	PD12OCT17	1900.50	2116.25	USD	5,393.75	
12JUL17	B	1	PD12OCT17	1896.50	2116.25	USD	5,493.75	
12JUL17	B	1	PD12OCT17	1898.00	2116.25	USD	5,456.25	
12JUL17	B	2	PD12OCT17	1893.50	2116.25	USD	11,137.50	
12JUL17	B	1	PD12OCT17	1893.00	2116.25	USD	5,581.25	
12JUL17	S	1	PD12OCT17	1899.50	2116.25	USD	-5,418.75	
12JUL17	B	1	PD12OCT17	1890.00	2116.25	USD	5,656.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
12JUL17	B 1 PD12OCT17	1882.50	2116.25	USD	5,843.75	
12JUL17	S 1 PD12OCT17	1889.00	2116.25	USD	-5,681.25	
13JUL17	S 1 PD12OCT17	1922.69	2116.25	USD	-4,839.00	
18JUL17	S 6 PD12OCT17	1929.74	2116.25	USD	-27,976.50	
	NET 0 AVG BGHT 1894.44 SOLD 1921.07				**5,990.75**	**0.00**
13JUL17	S 1 PD13OCT17	1900.50	2116.25	USD	-5,393.75	
13JUL17	S 2 PD13OCT17	1899.50	2116.25	USD	-10,837.50	
13JUL17	S 4 PD13OCT17	1898.50	2116.25	USD	-21,775.00	
13JUL17	S 1 PD13OCT17	1899.00	2116.25	USD	-5,431.25	
13JUL17	S 1 PD13OCT17	1904.50	2116.25	USD	-5,293.75	
13JUL17	S 1 PD13OCT17	1905.00	2116.25	USD	-5,281.25	
13JUL17	S 1 PD13OCT17	1907.00	2116.25	USD	-5,231.25	
13JUL17	S 1 PD13OCT17	1908.00	2116.25	USD	-5,206.25	
13JUL17	B 1 PD13OCT17	1908.00	2116.25	USD	5,206.25	
13JUL17	B 1 PD13OCT17	1905.00	2116.25	USD	5,281.25	
13JUL17	S 2 PD13OCT17	1922.00	2116.25	USD	-9,712.50	
13JUL17	S 3 PD13OCT17	1922.50	2116.25	USD	-14,531.25	
13JUL17	S 1 PD13OCT17	1923.00	2116.25	USD	-4,831.25	
13JUL17	S 2 PD13OCT17	1925.00	2116.25	USD	-9,562.50	
13JUL17	S 1 PD13OCT17	1926.50	2116.25	USD	-4,743.75	
13JUL17	S 1 PD13OCT17	1930.00	2116.25	USD	-4,656.25	
13JUL17	S 1 PD13OCT17	1938.00	2116.25	USD	-4,456.25	
13JUL17	S 1 PD13OCT17	1942.50	2116.25	USD	-4,343.75	
13JUL17	S 2 PD13OCT17	1941.50	2116.25	USD	-8,737.50	
13JUL17	S 1 PD13OCT17	1940.00	2116.25	USD	-4,406.25	
13JUL17	B 2 PD13OCT17	1934.00	2116.25	USD	9,112.50	
13JUL17	B 1 PD13OCT17	1927.50	2116.25	USD	4,718.75	
13JUL17	B 1 PD13OCT17	1922.00	2116.25	USD	4,856.25	
13JUL17	S 1 PD13OCT17	1931.00	2116.25	USD	-4,631.25	
13JUL17	B 1 PD13OCT17	1931.00	2116.25	USD	4,631.25	
13JUL17	B 1 PD13OCT17	1924.50	2116.25	USD	4,793.75	
13JUL17	B 2 PD13OCT17	1925.00	2116.25	USD	9,562.50	
13JUL17	B 2 PD13OCT17	1923.50	2116.25	USD	9,637.50	
13JUL17	S 1 PD13OCT17	1927.00	2116.25	USD	-4,731.25	
13JUL17	S 1 PD13OCT17	1929.00	2116.25	USD	-4,681.25	
13JUL17	S 1 PD13OCT17	1931.50	2116.25	USD	-4,618.75	
13JUL17	S 1 PD13OCT17	1933.50	2116.25	USD	-4,568.75	
13JUL17	S 4 PD13OCT17	1932.50	2116.25	USD	-18,375.00	
13JUL17	S 2 PD13OCT17	1935.00	2116.25	USD	-9,062.50	
13JUL17	B 3 PD13OCT17	1926.00	2116.25	USD	14,268.75	
13JUL17	B 1 PD13OCT17	1925.50	2116.25	USD	4,768.75	
13JUL17	B 2 PD13OCT17	1924.00	2116.25	USD	9,612.50	
13JUL17	B 4 PD13OCT17	1923.00	2116.25	USD	19,325.00	
13JUL17	B 3 PD13OCT17	1920.50	2116.25	USD	14,681.25	
13JUL17	B 3 PD13OCT17	1918.50	2116.25	USD	14,831.25	
14JUL17	B 1 PD13OCT17	1928.00	2116.25	USD	4,706.25	
14JUL17	B 1 PD13OCT17	1922.50	2116.25	USD	4,843.75	
14JUL17	S 1 PD13OCT17	1928.00	2116.25	USD	-4,706.25	
14JUL17	S 1 PD13OCT17	1928.50	2116.25	USD	-4,693.75	
14JUL17	B 2 PD13OCT17	1923.00	2116.25	USD	9,662.50	
14JUL17	B 1 PD13OCT17	1921.50	2116.25	USD	4,868.75	
14JUL17	B 1 PD13OCT17	1921.00	2116.25	USD	4,881.25	
14JUL17	S 1 PD13OCT17	1923.00	2116.25	USD	-4,831.25	
14JUL17	S 3 PD13OCT17	1925.00	2116.25	USD	-14,343.75	
14JUL17	S 1 PD13OCT17	1924.50	2116.25	USD	-4,793.75	
14JUL17	B 1 PD13OCT17	1924.00	2116.25	USD	4,806.25	
18JUL17	B 6 PD13OCT17	1930.15	2116.25	USD	27,915.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
18JUL17	B 4 PD13OCT17	1929.95	2116.25	USD	18,630.00	
	NET 0 AVG BGHT 1924.60 SOLD 1922.06				**-2,867.50**	**0.00**
17JUL17	S 1 PD17OCT17	1935.00	2116.25	USD	-4,531.25	
17JUL17	B 1 PD17OCT17	1932.00	2116.25	USD	4,606.25	
17JUL17	S 4 PD17OCT17	1938.50	2116.25	USD	-17,775.00	
17JUL17	S 1 PD17OCT17	1940.50	2116.25	USD	-4,393.75	
17JUL17	B 1 PD17OCT17	1928.00	2116.25	USD	4,706.25	
17JUL17	S 1 PD17OCT17	1939.50	2116.25	USD	-4,418.75	
17JUL17	S 1 PD17OCT17	1928.50	2116.25	USD	-4,693.75	
17JUL17	B 2 PD17OCT17	1924.00	2116.25	USD	9,612.50	
17JUL17	B 2 PD17OCT17	1924.50	2116.25	USD	9,587.50	
17JUL17	B 2 PD17OCT17	1923.50	2116.25	USD	9,637.50	
17JUL17	B 2 PD17OCT17	1922.50	2116.25	USD	9,687.50	
17JUL17	B 1 PD17OCT17	1906.50	2116.25	USD	5,243.75	
17JUL17	B 2 PD17OCT17	1904.50	2116.25	USD	10,587.50	
17JUL17	B 1 PD17OCT17	1898.50	2116.25	USD	5,443.75	
17JUL17	S 1 PD17OCT17	1902.50	2116.25	USD	-5,343.75	
17JUL17	S 1 PD17OCT17	1904.00	2116.25	USD	-5,306.25	
17JUL17	S 1 PD17OCT17	1904.50	2116.25	USD	-5,293.75	
17JUL17	S 1 PD17OCT17	1913.50	2116.25	USD	-5,068.75	
18JUL17	S 4 PD17OCT17	1930.59	2116.25	USD	-18,566.00	
24JUL17	B 2 PD17OCT17	1911.30	2116.25	USD	10,247.50	
	NET 0 AVG BGHT 1917.85 SOLD 1927.77				**3,969.00**	**0.00**
18JUL17	B 1 PD18OCT17	1900.00	2116.25	USD	5,406.25	
18JUL17	S 1 PD18OCT17	1906.50	2116.25	USD	-5,243.75	
18JUL17	S 1 PD18OCT17	1907.50	2116.25	USD	-5,218.75	
18JUL17	S 1 PD18OCT17	1908.00	2116.25	USD	-5,206.25	
18JUL17	S 1 PD18OCT17	1905.00	2116.25	USD	-5,281.25	
18JUL17	S 1 PD18OCT17	1907.00	2116.25	USD	-5,231.25	
18JUL17	S 1 PD18OCT17	1916.50	2116.25	USD	-4,993.75	
18JUL17	S 2 PD18OCT17	1917.00	2116.25	USD	-9,962.50	
18JUL17	S 1 PD18OCT17	1917.50	2116.25	USD	-4,968.75	
18JUL17	S 1 PD18OCT17	1919.00	2116.25	USD	-4,931.25	
18JUL17	S 1 PD18OCT17	1918.50	2116.25	USD	-4,943.75	
18JUL17	S 1 PD18OCT17	1920.00	2116.25	USD	-4,906.25	
18JUL17	S 1 PD18OCT17	1921.50	2116.25	USD	-4,868.75	
18JUL17	S 1 PD18OCT17	1927.00	2116.25	USD	-4,731.25	
18JUL17	S 1 PD18OCT17	1927.50	2116.25	USD	-4,718.75	
18JUL17	S 2 PD18OCT17	1931.50	2116.25	USD	-9,237.50	
18JUL17	S 3 PD18OCT17	1930.50	2116.25	USD	-13,931.25	
24JUL17	B 19 PD18OCT17	1911.50	2116.25	USD	97,256.25	
	NET 0 AVG BGHT 1910.93 SOLD 1919.50				**4,287.50**	**0.00**
19JUL17	B 1 PD19OCT17	1928.50	2116.64	USD	4,703.50	
19JUL17	B 1 PD19OCT17	1937.00	2116.64	USD	4,491.00	
19JUL17	B 2 PD19OCT17	1931.50	2116.64	USD	9,257.00	
19JUL17	B 2 PD19OCT17	1933.00	2116.64	USD	9,182.00	
19JUL17	B 1 PD19OCT17	1932.50	2116.64	USD	4,603.50	
19JUL17	B 1 PD19OCT17	1933.50	2116.64	USD	4,578.50	
19JUL17	B 3 PD19OCT17	1932.00	2116.64	USD	13,848.00	
19JUL17	B 1 PD19OCT17	1930.50	2116.64	USD	4,653.50	
19JUL17	B 3 PD19OCT17	1928.00	2116.64	USD	14,148.00	
19JUL17	B 1 PD19OCT17	1927.00	2116.64	USD	4,741.00	
19JUL17	B 1 PD19OCT17	1924.50	2116.64	USD	4,803.50	
19JUL17	B 1 PD19OCT17	1922.00	2116.64	USD	4,866.00	
19JUL17	B 1 PD19OCT17	1918.50	2116.64	USD	4,953.50	
19JUL17	B 1 PD19OCT17	1918.00	2116.64	USD	4,966.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
19JUL17	S 1 PD19OCT17	1922.00	2116.64	USD	-4,866.00	
19JUL17	S 1 PD19OCT17	1925.00	2116.64	USD	-4,791.00	
24JUL17	S 18 PD19OCT17	1911.38	2116.64	USD	-92,367.00	
	NET 0 AVG BGHT 1929.05 SOLD 1912.59				**-8,229.00**	**0.00**
20JUL17	S 2 PD20OCT17	1928.00	2117.03	USD	-9,451.50	
20JUL17	S 1 PD20OCT17	1928.50	2117.03	USD	-4,713.25	
20JUL17	B 1 PD20OCT17	1925.00	2117.03	USD	4,800.75	
20JUL17	B 1 PD20OCT17	1924.00	2117.03	USD	4,825.75	
20JUL17	S 4 PD20OCT17	1929.00	2117.03	USD	-18,803.00	
20JUL17	S 4 PD20OCT17	1926.50	2117.03	USD	-19,053.00	
20JUL17	B 3 PD20OCT17	1923.50	2117.03	USD	14,514.75	
20JUL17	B 1 PD20OCT17	1921.50	2117.03	USD	4,888.25	
20JUL17	B 1 PD20OCT17	1923.00	2117.03	USD	4,850.75	
20JUL17	B 3 PD20OCT17	1920.50	2117.03	USD	14,739.75	
20JUL17	B 1 PD20OCT17	1919.50	2117.03	USD	4,938.25	
20JUL17	B 1 PD20OCT17	1918.50	2117.03	USD	4,963.25	
20JUL17	B 1 PD20OCT17	1917.00	2117.03	USD	5,000.75	
20JUL17	B 1 PD20OCT17	1916.50	2117.03	USD	5,013.25	
20JUL17	B 1 PD20OCT17	1915.50	2117.03	USD	5,038.25	
20JUL17	B 2 PD20OCT17	1922.00	2117.03	USD	9,751.50	
20JUL17	B 2 PD20OCT17	1920.00	2117.03	USD	9,851.50	
20JUL17	S 1 PD20OCT17	1918.00	2117.03	USD	-4,975.75	
20JUL17	B 1 PD20OCT17	1918.00	2117.03	USD	4,975.75	
20JUL17	S 1 PD20OCT17	1919.50	2117.03	USD	-4,938.25	
20JUL17	S 1 PD20OCT17	1916.50	2117.03	USD	-5,013.25	
20JUL17	S 1 PD20OCT17	1921.50	2117.03	USD	-4,888.25	
20JUL17	S 2 PD20OCT17	1921.00	2117.03	USD	-9,801.50	
21JUL17	S 1 PD20OCT17	1926.00	2117.03	USD	-4,775.75	
21JUL17	S 1 PD20OCT17	1925.50	2117.03	USD	-4,788.25	
21JUL17	S 1 PD20OCT17	1927.00	2117.03	USD	-4,750.75	
21JUL17	S 1 PD20OCT17	1927.50	2117.03	USD	-4,738.25	
21JUL17	S 2 PD20OCT17	1928.00	2117.03	USD	-9,451.50	
21JUL17	S 2 PD20OCT17	1929.00	2117.03	USD	-9,401.50	
21JUL17	S 1 PD20OCT17	1931.50	2117.03	USD	-4,638.25	
21JUL17	S 1 PD20OCT17	1932.00	2117.03	USD	-4,625.75	
21JUL17	S 3 PD20OCT17	1931.00	2117.03	USD	-13,952.25	
21JUL17	B 1 PD20OCT17	1927.50	2117.03	USD	4,738.25	
21JUL17	B 3 PD20OCT17	1926.00	2117.03	USD	14,327.25	
21JUL17	B 1 PD20OCT17	1928.00	2117.03	USD	4,725.75	
21JUL17	B 2 PD20OCT17	1921.00	2117.03	USD	9,801.50	
21JUL17	B 1 PD20OCT17	1925.00	2117.03	USD	4,800.75	
21JUL17	B 1 PD20OCT17	1913.50	2117.03	USD	5,088.25	
21JUL17	B 1 PD20OCT17	1916.50	2117.03	USD	5,013.25	
21JUL17	B 1 PD20OCT17	1916.00	2117.03	USD	5,025.75	
21JUL17	B 1 PD20OCT17	1918.50	2117.03	USD	4,963.25	
21JUL17	B 1 PD20OCT17	1918.00	2117.03	USD	4,975.75	
24JUL17	S 3 PD20OCT17	1911.47	2117.03	USD	-15,417.00	
	NET 0 AVG BGHT 1921.14 SOLD 1925.30				**3,435.25**	**0.00**
24JUL17	B 5 PD24OCT17	1921.50	2118.58	USD	24,635.00	
24JUL17	B 2 PD24OCT17	1920.50	2118.58	USD	9,904.00	
24JUL17	B 1 PD24OCT17	1917.00	2118.58	USD	5,039.50	
24JUL17	B 1 PD24OCT17	1913.50	2118.58	USD	5,127.00	
24JUL17	B 2 PD24OCT17	1912.00	2118.58	USD	10,329.00	
24JUL17	S 1 PD24OCT17	1914.50	2118.58	USD	-5,102.00	
24JUL17	S 3 PD24OCT17	1914.00	2118.58	USD	-15,343.50	
24JUL17	B 1 PD24OCT17	1910.50	2118.58	USD	5,202.00	
24JUL17	B 1 PD24OCT17	1912.50	2118.58	USD	5,152.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.							
24JUL17	B	9	PD24OCT17	1913.00	2118.58	USD	46,255.50	
26JUL17	S	12	PD24OCT17	1947.00	2118.58	USD	-51,474.00	
01AUG17	B	2	PD24OCT17	1904.69	2118.58	USD	10,694.50	
	NET	**+8**	**AVG BGHT 1914.68** **SOLD 1938.78**				**50,419.00**	**0.00**
25JUL17	S	2	PD25OCT17	1918.50	2118.97	USD	-10,023.50	
25JUL17	S	1	PD25OCT17	1919.00	2118.97	USD	-4,999.25	
25JUL17	S	1	PD25OCT17	1922.00	2118.97	USD	-4,924.25	
25JUL17	B	1	PD25OCT17	1917.50	2118.97	USD	5,036.75	
25JUL17	B	1	PD25OCT17	1916.50	2118.97	USD	5,061.75	
25JUL17	S	1	PD25OCT17	1924.50	2118.97	USD	-4,861.75	
25JUL17	S	2	PD25OCT17	1923.00	2118.97	USD	-9,798.50	
25JUL17	S	4	PD25OCT17	1921.00	2118.97	USD	-19,797.00	
25JUL17	S	5	PD25OCT17	1921.50	2118.97	USD	-24,683.75	
25JUL17	S	2	PD25OCT17	1922.50	2118.97	USD	-9,823.50	
25JUL17	S	2	PD25OCT17	1923.50	2118.97	USD	-9,773.50	
25JUL17	B	5	PD25OCT17	1918.50	2118.97	USD	25,058.75	
25JUL17	B	5	PD25OCT17	1919.50	2118.97	USD	24,933.75	
25JUL17	B	2	PD25OCT17	1920.00	2118.97	USD	9,948.50	
25JUL17	S	1	PD25OCT17	1929.00	2118.97	USD	-4,749.25	
25JUL17	S	1	PD25OCT17	1930.00	2118.97	USD	-4,724.25	
25JUL17	S	1	PD25OCT17	1931.00	2118.97	USD	-4,699.25	
25JUL17	S	1	PD25OCT17	1935.00	2118.97	USD	-4,599.25	
25JUL17	B	1	PD25OCT17	1935.50	2118.97	USD	4,586.75	
25JUL17	B	1	PD25OCT17	1940.00	2118.97	USD	4,474.25	
25JUL17	B	1	PD25OCT17	1939.50	2118.97	USD	4,486.75	
26JUL17	B	12	PD25OCT17	1947.46	2118.97	USD	51,453.00	
01AUG17	B	3	PD25OCT17	1904.98	2118.97	USD	16,049.25	
	NET	**+8**	**AVG BGHT 1930.11** **SOLD 1923.21**				**33,632.50**	**0.00**
26JUL17	B	1	PD26OCT17	1954.50	2119.36	USD	4,121.50	
26JUL17	B	1	PD26OCT17	1952.50	2119.36	USD	4,171.50	
26JUL17	B	1	PD26OCT17	1950.00	2119.36	USD	4,234.00	
26JUL17	B	1	PD26OCT17	1943.00	2119.36	USD	4,409.00	
26JUL17	B	1	PD26OCT17	1941.00	2119.36	USD	4,459.00	
26JUL17	B	1	PD26OCT17	1940.00	2119.36	USD	4,484.00	
26JUL17	B	1	PD26OCT17	1936.00	2119.36	USD	4,584.00	
26JUL17	S	1	PD26OCT17	1941.00	2119.36	USD	-4,459.00	
26JUL17	S	1	PD26OCT17	1942.00	2119.36	USD	-4,434.00	
26JUL17	S	1	PD26OCT17	1948.50	2119.36	USD	-4,271.50	
26JUL17	S	1	PD26OCT17	1949.00	2119.36	USD	-4,259.00	
26JUL17	S	1	PD26OCT17	1940.50	2119.36	USD	-4,471.50	
26JUL17	S	1	PD26OCT17	1941.00	2119.36	USD	-4,459.00	
26JUL17	B	1	PD26OCT17	1948.00	2119.36	USD	4,284.00	
26JUL17	B	1	PD26OCT17	1945.50	2119.36	USD	4,346.50	
26JUL17	B	1	PD26OCT17	1934.50	2119.36	USD	4,621.50	
01AUG17	S	4	PD26OCT17	1905.07	2119.36	USD	-21,429.00	
	NET	**0**	**AVG BGHT 1944.50** **SOLD 1928.23**				**-4,068.00**	**0.00**
27JUL17	S	1	PD27OCT17	1939.50	2119.75	USD	-4,506.25	
27JUL17	S	1	PD27OCT17	1941.00	2119.75	USD	-4,468.75	
27JUL17	S	1	PD27OCT17	1944.00	2119.75	USD	-4,393.75	
27JUL17	B	1	PD27OCT17	1945.00	2119.75	USD	4,368.75	
27JUL17	B	1	PD27OCT17	1944.50	2119.75	USD	4,381.25	
27JUL17	B	1	PD27OCT17	1942.00	2119.75	USD	4,443.75	
27JUL17	B	2	PD27OCT17	1941.50	2119.75	USD	8,912.50	
27JUL17	B	3	PD27OCT17	1944.00	2119.75	USD	13,181.25	
27JUL17	S	1	PD27OCT17	1952.50	2119.75	USD	-4,181.25	
27JUL17	S	1	PD27OCT17	1956.00	2119.75	USD	-4,093.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.							
27JUL17	S	1	PD27OCT17	1955.00	2119.75	USD	-4,118.75	
27JUL17	S	1	PD27OCT17	1950.50	2119.75	USD	-4,231.25	
27JUL17	S	2	PD27OCT17	1951.00	2119.75	USD	-8,437.50	
27JUL17	S	1	PD27OCT17	1949.00	2119.75	USD	-4,268.75	
27JUL17	S	1	PD27OCT17	1948.50	2119.75	USD	-4,281.25	
27JUL17	B	1	PD27OCT17	1946.00	2119.75	USD	4,343.75	
27JUL17	B	1	PD27OCT17	1938.50	2119.75	USD	4,531.25	
28JUL17	B	1	PD27OCT17	1926.50	2119.75	USD	4,831.25	
28JUL17	B	1	PD27OCT17	1924.00	2119.75	USD	4,893.75	
28JUL17	S	1	PD27OCT17	1933.00	2119.75	USD	-4,668.75	
28JUL17	B	3	PD27OCT17	1925.00	2119.75	USD	14,606.25	
28JUL17	B	1	PD27OCT17	1924.50	2119.75	USD	4,881.25	
28JUL17	S	1	PD27OCT17	1931.00	2119.75	USD	-4,718.75	
28JUL17	B	1	PD27OCT17	1919.50	2119.75	USD	5,006.25	
28JUL17	B	1	PD27OCT17	1918.50	2119.75	USD	5,031.25	
28JUL17	B	1	PD27OCT17	1917.50	2119.75	USD	5,056.25	
28JUL17	B	2	PD27OCT17	1917.00	2119.75	USD	10,137.50	
28JUL17	B	1	PD27OCT17	1916.00	2119.75	USD	5,093.75	
28JUL17	B	2	PD27OCT17	1914.00	2119.75	USD	10,287.50	
28JUL17	B	1	PD27OCT17	1914.50	2119.75	USD	5,131.25	
28JUL17	S	1	PD27OCT17	1919.00	2119.75	USD	-5,018.75	
28JUL17	S	1	PD27OCT17	1931.00	2119.75	USD	-4,718.75	
28JUL17	B	1	PD27OCT17	1926.50	2119.75	USD	4,831.25	
28JUL17	B	3	PD27OCT17	1910.50	2119.75	USD	15,693.75	
28JUL17	S	1	PD27OCT17	1913.50	2119.75	USD	-5,156.25	
28JUL17	S	1	PD27OCT17	1914.50	2119.75	USD	-5,131.25	
28JUL17	S	1	PD27OCT17	1915.50	2119.75	USD	-5,106.25	
28JUL17	S	1	PD27OCT17	1917.00	2119.75	USD	-5,068.75	
28JUL17	S	1	PD27OCT17	1925.00	2119.75	USD	-4,868.75	
28JUL17	B	1	PD27OCT17	1916.50	2119.75	USD	5,081.25	
28JUL17	B	15	PD27OCT17	1910.00	2119.75	USD	78,656.25	
28JUL17	S	1	PD27OCT17	1913.00	2119.75	USD	-5,168.75	
28JUL17	S	5	PD27OCT17	1910.50	2119.75	USD	-26,156.25	
28JUL17	B	1	PD27OCT17	1910.00	2119.75	USD	5,243.75	
28JUL17	S	3	PD27OCT17	1910.00	2119.75	USD	-15,731.25	
01AUG17	S	1	PD27OCT17	1905.36	2119.75	USD	-5,359.75	
	NET	**+16**	**AVG BGHT 1920.95**	**SOLD 1927.95**			**84,771.50**	**0.00**
31JUL17	B	1	PD31OCT17	1914.00	2117.75	USD	5,093.75	
31JUL17	S	1	PD31OCT17	1921.00	2117.75	USD	-4,918.75	
31JUL17	S	1	PD31OCT17	1926.00	2117.75	USD	-4,793.75	
31JUL17	B	2	PD31OCT17	1916.00	2117.75	USD	10,087.50	
31JUL17	S	2	PD31OCT17	1922.00	2117.75	USD	-9,787.50	
31JUL17	S	3	PD31OCT17	1923.50	2117.75	USD	-14,568.75	
31JUL17	B	2	PD31OCT17	1916.50	2117.75	USD	10,062.50	
31JUL17	B	1	PD31OCT17	1913.00	2117.75	USD	5,118.75	
31JUL17	B	2	PD31OCT17	1912.50	2117.75	USD	10,262.50	
31JUL17	S	1	PD31OCT17	1920.00	2117.75	USD	-4,943.75	
	NET	**0**	**AVG BGHT 1914.63**	**SOLD 1922.69**			**1,612.50**	**0.00**
01AUG17	B	1	PD01NOV17	1915.00	2117.42	USD	5,060.50	
01AUG17	B	1	PD01NOV17	1916.50	2117.42	USD	5,023.00	
01AUG17	B	1	PD01NOV17	1917.00	2117.42	USD	5,010.50	
01AUG17	B	1	PD01NOV17	1913.00	2117.42	USD	5,110.50	
01AUG17	B	1	PD01NOV17	1911.00	2117.42	USD	5,160.50	
01AUG17	B	2	PD01NOV17	1910.50	2117.42	USD	10,346.00	
01AUG17	B	1	PD01NOV17	1909.00	2117.42	USD	5,210.50	
01AUG17	B	1	PD01NOV17	1906.00	2117.42	USD	5,285.50	
01AUG17	B	2	PD01NOV17	1905.50	2117.42	USD	10,596.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
01AUG17	B 1 PD01NOV17	1908.00	2117.42	USD	5,235.50	
01AUG17	B 1 PD01NOV17	1908.50	2117.42	USD	5,223.00	
01AUG17	B 1 PD01NOV17	1907.50	2117.42	USD	5,248.00	
03AUG17	S 8 PD01NOV17	1917.00	2117.42	USD	-40,084.00	
07AUG17	S 5 PD01NOV17	1962.58	2117.42	USD	-19,355.00	
10AUG17	S 1 PD01NOV17	2035.47	2117.42	USD	-2,048.75	
	NET 0 AVG BGHT 1910.25 SOLD 1941.74				**11,021.75**	**0.00**
02AUG17	S 2 PD02NOV17	1915.50	2117.08	USD	-10,079.00	
02AUG17	S 1 PD02NOV17	1914.50	2117.08	USD	-5,064.50	
02AUG17	S 4 PD02NOV17	1915.00	2117.08	USD	-20,208.00	
02AUG17	S 1 PD02NOV17	1921.00	2117.08	USD	-4,902.00	
02AUG17	S 1 PD02NOV17	1921.50	2117.08	USD	-4,889.50	
02AUG17	S 1 PD02NOV17	1931.00	2117.08	USD	-4,652.00	
02AUG17	S 1 PD02NOV17	1933.00	2117.08	USD	-4,602.00	
02AUG17	S 1 PD02NOV17	1936.00	2117.08	USD	-4,527.00	
02AUG17	B 1 PD02NOV17	1926.50	2117.08	USD	4,764.50	
02AUG17	B 1 PD02NOV17	1921.00	2117.08	USD	4,902.00	
02AUG17	S 1 PD02NOV17	1923.00	2117.08	USD	-4,852.00	
02AUG17	S 1 PD02NOV17	1925.50	2117.08	USD	-4,789.50	
02AUG17	S 1 PD02NOV17	1926.50	2117.08	USD	-4,764.50	
02AUG17	S 1 PD02NOV17	1930.50	2117.08	USD	-4,664.50	
02AUG17	B 2 PD02NOV17	1930.00	2117.08	USD	9,354.00	
02AUG17	B 2 PD02NOV17	1929.00	2117.08	USD	9,404.00	
02AUG17	B 1 PD02NOV17	1927.00	2117.08	USD	4,752.00	
02AUG17	B 3 PD02NOV17	1925.50	2117.08	USD	14,368.50	
02AUG17	B 1 PD02NOV17	1925.00	2117.08	USD	4,802.00	
02AUG17	B 1 PD02NOV17	1923.50	2117.08	USD	4,839.50	
02AUG17	B 1 PD02NOV17	1924.50	2117.08	USD	4,814.50	
02AUG17	B 2 PD02NOV17	1922.00	2117.08	USD	9,754.00	
02AUG17	B 1 PD02NOV17	1920.50	2117.08	USD	4,914.50	
02AUG17	S 2 PD02NOV17	1918.50	2117.08	USD	-9,929.00	
02AUG17	S 1 PD02NOV17	1917.50	2117.08	USD	-4,989.50	
02AUG17	S 1 PD02NOV17	1929.00	2117.08	USD	-4,702.00	
02AUG17	S 1 PD02NOV17	1930.00	2117.08	USD	-4,677.00	
02AUG17	B 1 PD02NOV17	1922.50	2117.08	USD	4,864.50	
02AUG17	S 1 PD02NOV17	1927.50	2117.08	USD	-4,739.50	
02AUG17	S 1 PD02NOV17	1927.00	2117.08	USD	-4,752.00	
02AUG17	S 2 PD02NOV17	1926.00	2117.08	USD	-9,554.00	
03AUG17	B 8 PD02NOV17	1917.79	2117.08	USD	39,858.00	
	NET 0 AVG BGHT 1922.85 SOLD 1922.94				**54.50**	**0.00**
03AUG17	B 1 PD03NOV17	1926.50	2116.75	USD	4,756.25	
03AUG17	B 3 PD03NOV17	1925.50	2116.75	USD	14,343.75	
03AUG17	B 1 PD03NOV17	1923.50	2116.75	USD	4,831.25	
03AUG17	B 1 PD03NOV17	1919.50	2116.75	USD	4,931.25	
03AUG17	S 1 PD03NOV17	1923.50	2116.75	USD	-4,831.25	
03AUG17	S 2 PD03NOV17	1922.00	2116.75	USD	-9,737.50	
03AUG17	B 2 PD03NOV17	1919.00	2116.75	USD	9,887.50	
03AUG17	B 2 PD03NOV17	1917.50	2116.75	USD	9,962.50	
03AUG17	B 3 PD03NOV17	1917.00	2116.75	USD	14,981.25	
03AUG17	S 1 PD03NOV17	1919.00	2116.75	USD	-4,943.75	
03AUG17	B 1 PD03NOV17	1913.50	2116.75	USD	5,081.25	
03AUG17	B 1 PD03NOV17	1910.00	2116.75	USD	5,168.75	
03AUG17	B 3 PD03NOV17	1912.50	2116.75	USD	15,318.75	
03AUG17	S 2 PD03NOV17	1920.50	2116.75	USD	-9,812.50	
03AUG17	B 2 PD03NOV17	1911.50	2116.75	USD	10,262.50	
03AUG17	B 2 PD03NOV17	1913.00	2116.75	USD	10,187.50	
04AUG17	B 1 PD03NOV17	1914.50	2116.75	USD	5,056.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
04AUG17	B 1 PD03NOV17	1912.50	2116.75	USD	5,106.25	
04AUG17	B 1 PD03NOV17	1909.00	2116.75	USD	5,193.75	
04AUG17	B 1 PD03NOV17	1905.50	2116.75	USD	5,281.25	
04AUG17	S 2 PD03NOV17	1914.50	2116.75	USD	-10,112.50	
04AUG17	S 2 PD03NOV17	1915.00	2116.75	USD	-10,087.50	
04AUG17	S 1 PD03NOV17	1915.50	2116.75	USD	-5,031.25	
04AUG17	S 4 PD03NOV17	1911.50	2116.75	USD	-20,525.00	
04AUG17	B 1 PD03NOV17	1909.50	2116.75	USD	5,181.25	
04AUG17	B 1 PD03NOV17	1910.00	2116.75	USD	5,168.75	
04AUG17	S 3 PD03NOV17	1912.00	2116.75	USD	-15,356.25	
04AUG17	S 5 PD03NOV17	1912.50	2116.75	USD	-25,531.25	
04AUG17	B 2 PD03NOV17	1904.50	2116.75	USD	10,612.50	
04AUG17	B 3 PD03NOV17	1905.00	2116.75	USD	15,881.25	
04AUG17	S 4 PD03NOV17	1910.00	2116.75	USD	-20,675.00	
04AUG17	S 3 PD03NOV17	1911.00	2116.75	USD	-15,431.25	
04AUG17	S 1 PD03NOV17	1905.50	2116.75	USD	-5,281.25	
04AUG17	S 2 PD03NOV17	1907.00	2116.75	USD	-10,487.50	
04AUG17	S 2 PD03NOV17	1908.50	2116.75	USD	-10,412.50	
07AUG17	B 5 PD03NOV17	1963.25	2116.75	USD	19,187.50	
10AUG17	S 3 PD03NOV17	2035.81	2116.75	USD	-6,070.50	
	NET 0 AVG BGHT 1920.56 SOLD 1922.72				**2,054.50**	**0.00**
07AUG17	S 2 PD07NOV17	1923.00	2116.08	USD	-9,654.00	
07AUG17	S 3 PD07NOV17	1923.50	2116.08	USD	-14,443.50	
07AUG17	S 3 PD07NOV17	1927.00	2116.08	USD	-14,181.00	
07AUG17	B 1 PD07NOV17	1925.00	2116.08	USD	4,777.00	
07AUG17	B 1 PD07NOV17	1923.50	2116.08	USD	4,814.50	
07AUG17	B 1 PD07NOV17	1921.00	2116.08	USD	4,877.00	
07AUG17	B 2 PD07NOV17	1921.50	2116.08	USD	9,729.00	
07AUG17	S 2 PD07NOV17	1927.50	2116.08	USD	-9,429.00	
07AUG17	S 2 PD07NOV17	1928.50	2116.08	USD	-9,379.00	
07AUG17	S 3 PD07NOV17	1929.50	2116.08	USD	-13,993.50	
07AUG17	S 5 PD07NOV17	1929.00	2116.08	USD	-23,385.00	
07AUG17	S 3 PD07NOV17	1930.50	2116.08	USD	-13,918.50	
07AUG17	S 1 PD07NOV17	1929.50	2116.08	USD	-4,664.50	
07AUG17	S 1 PD07NOV17	1930.50	2116.08	USD	-4,639.50	
07AUG17	S 1 PD07NOV17	1939.50	2116.08	USD	-4,414.50	
07AUG17	B 1 PD07NOV17	1952.50	2116.08	USD	4,089.50	
07AUG17	B 1 PD07NOV17	1950.00	2116.08	USD	4,152.00	
07AUG17	S 1 PD07NOV17	1956.50	2116.08	USD	-3,989.50	
07AUG17	S 1 PD07NOV17	1957.00	2116.08	USD	-3,977.00	
07AUG17	S 1 PD07NOV17	1960.50	2116.08	USD	-3,889.50	
07AUG17	S 1 PD07NOV17	1961.00	2116.08	USD	-3,877.00	
07AUG17	B 1 PD07NOV17	1955.00	2116.08	USD	4,027.00	
07AUG17	S 1 PD07NOV17	1964.50	2116.08	USD	-3,789.50	
07AUG17	B 1 PD07NOV17	1975.00	2116.08	USD	3,527.00	
07AUG17	B 1 PD07NOV17	1974.00	2116.08	USD	3,552.00	
10AUG17	B 4 PD07NOV17	2036.69	2116.08	USD	7,939.00	
10AUG17	B 17 PD07NOV17	2036.49	2116.08	USD	33,825.75	
	NET 0 AVG BGHT 2006.00 SOLD 1933.34				**-56,314.75**	**0.00**
08AUG17	B 1 PD08NOV17	1975.00	2115.75	USD	3,518.75	
08AUG17	B 1 PD08NOV17	1978.00	2115.75	USD	3,443.75	
08AUG17	B 1 PD08NOV17	1981.00	2115.75	USD	3,368.75	
08AUG17	B 1 PD08NOV17	1976.00	2115.75	USD	3,493.75	
08AUG17	B 1 PD08NOV17	1974.00	2115.75	USD	3,543.75	
08AUG17	B 1 PD08NOV17	1974.50	2115.75	USD	3,531.25	
08AUG17	S 1 PD08NOV17	1987.00	2115.75	USD	-3,218.75	
08AUG17	S 1 PD08NOV17	2006.00	2115.75	USD	-2,743.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
08AUG17	B 1 PD08NOV17	2000.00	2115.75	USD	2,893.75	
08AUG17	S 1 PD08NOV17	2006.00	2115.75	USD	-2,743.75	
08AUG17	B 1 PD08NOV17	1990.50	2115.75	USD	3,131.25	
08AUG17	B 1 PD08NOV17	1995.00	2115.75	USD	3,018.75	
08AUG17	B 1 PD08NOV17	1991.50	2115.75	USD	3,106.25	
08AUG17	B 2 PD08NOV17	1994.50	2115.75	USD	6,062.50	
08AUG17	B 1 PD08NOV17	2008.50	2115.75	USD	2,681.25	
08AUG17	B 1 PD08NOV17	2007.50	2115.75	USD	2,706.25	
08AUG17	B 1 PD08NOV17	2001.00	2115.75	USD	2,868.75	
08AUG17	B 2 PD08NOV17	2002.00	2115.75	USD	5,687.50	
08AUG17	B 1 PD08NOV17	2004.00	2115.75	USD	2,793.75	
08AUG17	B 3 PD08NOV17	2003.50	2115.75	USD	8,418.75	
08AUG17	B 1 PD08NOV17	2012.00	2115.75	USD	2,593.75	
08AUG17	B 1 PD08NOV17	2013.50	2115.75	USD	2,556.25	
10AUG17	S 17 PD08NOV17	2036.46	2115.75	USD	-33,698.25	
10AUG17	S 1 PD08NOV17	2036.66	2115.75	USD	-1,977.25	
14AUG17	S 2 PD08NOV17	2021.90	2115.75	USD	-4,692.50	
	NET 0 AVG BGHT 1995.02 SOLD 2030.40				**20,344.50**	**0.00**
09AUG17	S 3 PD09NOV17	2032.50	2116.11	USD	-6,270.75	
09AUG17	S 1 PD09NOV17	2033.50	2116.11	USD	-2,065.25	
09AUG17	B 1 PD09NOV17	2031.00	2116.11	USD	2,127.75	
09AUG17	S 1 PD09NOV17	2042.00	2116.11	USD	-1,852.75	
09AUG17	S 2 PD09NOV17	2038.50	2116.11	USD	-3,880.50	
09AUG17	B 1 PD09NOV17	2036.00	2116.11	USD	2,002.75	
09AUG17	B 3 PD09NOV17	2030.00	2116.11	USD	6,458.25	
09AUG17	B 1 PD09NOV17	2029.00	2116.11	USD	2,177.75	
09AUG17	S 7 PD09NOV17	2025.50	2116.11	USD	-15,856.75	
09AUG17	B 1 PD09NOV17	2034.50	2116.11	USD	2,040.25	
09AUG17	B 1 PD09NOV17	2031.00	2116.11	USD	2,127.75	
09AUG17	B 1 PD09NOV17	2022.50	2116.11	USD	2,340.25	
09AUG17	S 1 PD09NOV17	2029.50	2116.11	USD	-2,165.25	
09AUG17	S 3 PD09NOV17	2030.00	2116.11	USD	-6,458.25	
09AUG17	B 1 PD09NOV17	2035.50	2116.11	USD	2,015.25	
09AUG17	S 1 PD09NOV17	2035.50	2116.11	USD	-2,015.25	
09AUG17	S 1 PD09NOV17	2039.50	2116.11	USD	-1,915.25	
09AUG17	B 5 PD09NOV17	2034.00	2116.11	USD	10,263.75	
09AUG17	B 1 PD09NOV17	2032.50	2116.11	USD	2,090.25	
09AUG17	B 1 PD09NOV17	2014.00	2116.11	USD	2,552.75	
09AUG17	S 1 PD09NOV17	2031.50	2116.11	USD	-2,115.25	
09AUG17	S 1 PD09NOV17	2033.00	2116.11	USD	-2,077.75	
09AUG17	S 1 PD09NOV17	2027.50	2116.11	USD	-2,215.25	
09AUG17	B 1 PD09NOV17	2020.00	2116.11	USD	2,402.75	
09AUG17	B 1 PD09NOV17	2021.00	2116.11	USD	2,377.75	
09AUG17	B 2 PD09NOV17	2015.00	2116.11	USD	5,055.50	
09AUG17	S 1 PD09NOV17	2021.50	2116.11	USD	-2,365.25	
09AUG17	B 1 PD09NOV17	2021.50	2116.11	USD	2,365.25	
09AUG17	B 1 PD09NOV17	2015.50	2116.11	USD	2,515.25	
10AUG17	B 1 PD09NOV17	2037.03	2116.11	USD	1,977.00	
	NET 0 AVG BGHT 2027.96 SOLD 2030.69				**1,636.75**	**0.00**
10AUG17	B 2 PD10NOV17	2024.00	2116.46	USD	4,623.00	
10AUG17	B 1 PD10NOV17	2021.50	2116.46	USD	2,374.00	
10AUG17	B 1 PD10NOV17	2021.00	2116.46	USD	2,386.50	
10AUG17	S 1 PD10NOV17	2025.00	2116.46	USD	-2,286.50	
10AUG17	S 1 PD10NOV17	2026.50	2116.46	USD	-2,249.00	
10AUG17	S 3 PD10NOV17	2030.00	2116.46	USD	-6,484.50	
10AUG17	S 1 PD10NOV17	2028.50	2116.46	USD	-2,199.00	
10AUG17	S 2 PD10NOV17	2029.00	2116.46	USD	-4,373.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
10AUG17	S 2 PD10NOV17	2029.50	2116.46	USD	-4,348.00	
10AUG17	S 1 PD10NOV17	2033.50	2116.46	USD	-2,074.00	
10AUG17	S 1 PD10NOV17	2031.00	2116.46	USD	-2,136.50	
10AUG17	S 1 PD10NOV17	2032.00	2116.46	USD	-2,111.50	
10AUG17	S 1 PD10NOV17	2032.50	2116.46	USD	-2,099.00	
10AUG17	S 2 PD10NOV17	2034.50	2116.46	USD	-4,098.00	
10AUG17	S 1 PD10NOV17	2037.00	2116.46	USD	-1,986.50	
10AUG17	S 2 PD10NOV17	2039.50	2116.46	USD	-3,848.00	
10AUG17	S 3 PD10NOV17	2040.00	2116.46	USD	-5,734.50	
10AUG17	B 1 PD10NOV17	2033.00	2116.46	USD	2,086.50	
10AUG17	B 1 PD10NOV17	2030.50	2116.46	USD	2,149.00	
10AUG17	S 1 PD10NOV17	2031.50	2116.46	USD	-2,124.00	
10AUG17	B 1 PD10NOV17	2028.00	2116.46	USD	2,211.50	
10AUG17	B 3 PD10NOV17	2026.00	2116.46	USD	6,784.50	
10AUG17	B 1 PD10NOV17	2028.50	2116.46	USD	2,199.00	
10AUG17	B 1 PD10NOV17	2027.00	2116.46	USD	2,236.50	
10AUG17	B 2 PD10NOV17	2025.00	2116.46	USD	4,573.00	
10AUG17	B 1 PD10NOV17	2024.50	2116.46	USD	2,299.00	
10AUG17	B 1 PD10NOV17	2037.50	2116.46	USD	1,974.00	
10AUG17	B 2 PD10NOV17	2036.00	2116.46	USD	4,023.00	
10AUG17	B 1 PD10NOV17	2034.00	2116.46	USD	2,061.50	
10AUG17	B 1 PD10NOV17	2038.00	2116.46	USD	1,961.50	
10AUG17	S 2 PD10NOV17	2038.50	2116.46	USD	-3,898.00	
10AUG17	B 1 PD10NOV17	2033.50	2116.46	USD	2,074.00	
10AUG17	S 2 PD10NOV17	2039.00	2116.46	USD	-3,873.00	
10AUG17	S 1 PD10NOV17	2039.50	2116.46	USD	-1,924.00	
10AUG17	S 1 PD10NOV17	2038.50	2116.46	USD	-1,949.00	
11AUG17	B 1 PD10NOV17	2023.50	2116.46	USD	2,324.00	
11AUG17	B 2 PD10NOV17	2022.00	2116.46	USD	4,723.00	
11AUG17	B 1 PD10NOV17	2021.00	2116.46	USD	2,386.50	
11AUG17	B 1 PD10NOV17	2020.50	2116.46	USD	2,399.00	
11AUG17	B 1 PD10NOV17	2020.00	2116.46	USD	2,411.50	
11AUG17	S 1 PD10NOV17	2019.50	2116.46	USD	-2,424.00	
11AUG17	S 1 PD10NOV17	2022.50	2116.46	USD	-2,349.00	
11AUG17	S 1 PD10NOV17	2031.50	2116.46	USD	-2,124.00	
11AUG17	S 1 PD10NOV17	2032.50	2116.46	USD	-2,099.00	
11AUG17	S 2 PD10NOV17	2031.00	2116.46	USD	-4,273.00	
11AUG17	S 2 PD10NOV17	2034.50	2116.46	USD	-4,098.00	
11AUG17	S 1 PD10NOV17	2037.50	2116.46	USD	-1,974.00	
11AUG17	S 1 PD10NOV17	2038.00	2116.46	USD	-1,961.50	
11AUG17	S 1 PD10NOV17	2040.50	2116.46	USD	-1,899.00	
11AUG17	S 1 PD10NOV17	2041.50	2116.46	USD	-1,874.00	
11AUG17	S 1 PD10NOV17	2042.00	2116.46	USD	-1,861.50	
11AUG17	B 1 PD10NOV17	2034.50	2116.46	USD	2,049.00	
11AUG17	S 1 PD10NOV17	2025.00	2116.46	USD	-2,286.50	
11AUG17	B 1 PD10NOV17	2037.50	2116.46	USD	1,974.00	
11AUG17	B 2 PD10NOV17	2036.00	2116.46	USD	4,023.00	
11AUG17	B 1 PD10NOV17	2037.00	2116.46	USD	1,986.50	
11AUG17	S 1 PD10NOV17	2045.00	2116.46	USD	-1,786.50	
11AUG17	B 1 PD10NOV17	2041.50	2116.46	USD	1,874.00	
11AUG17	B 3 PD10NOV17	2040.50	2116.46	USD	5,697.00	
11AUG17	B 1 PD10NOV17	2046.50	2116.46	USD	1,749.00	
11AUG17	B 3 PD10NOV17	2045.50	2116.46	USD	5,322.00	
11AUG17	B 1 PD10NOV17	2045.00	2116.46	USD	1,786.50	
11AUG17	B 1 PD10NOV17	2044.50	2116.46	USD	1,799.00	
11AUG17	B 2 PD10NOV17	2044.00	2116.46	USD	3,623.00	
11AUG17	S 2 PD10NOV17	2043.50	2116.46	USD	-3,648.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
14AUG17	B 2 PD10NOV17	2022.47	2116.46	USD	4,699.50	
	NET 0 AVG BGHT 2032.25 SOLD 2034.33				**2,389.00**	**0.00**
14AUG17	S 2 PD14NOV17	2042.00	2117.89	USD	-3,794.50	
14AUG17	B 2 PD14NOV17	2034.50	2117.89	USD	4,169.50	
14AUG17	B 2 PD14NOV17	2037.00	2117.89	USD	4,044.50	
14AUG17	B 1 PD14NOV17	2029.00	2117.89	USD	2,222.25	
14AUG17	B 3 PD14NOV17	2028.50	2117.89	USD	6,704.25	
14AUG17	B 2 PD14NOV17	2027.50	2117.89	USD	4,519.50	
14AUG17	S 2 PD14NOV17	2033.00	2117.89	USD	-4,244.50	
14AUG17	S 1 PD14NOV17	2035.00	2117.89	USD	-2,072.25	
14AUG17	S 2 PD14NOV17	2036.00	2117.89	USD	-4,094.50	
14AUG17	S 3 PD14NOV17	2037.00	2117.89	USD	-6,066.75	
14AUG17	B 1 PD14NOV17	2032.00	2117.89	USD	2,147.25	
14AUG17	S 6 PD14NOV17	2034.00	2117.89	USD	-12,583.50	
14AUG17	S 4 PD14NOV17	2034.50	2117.89	USD	-8,339.00	
14AUG17	S 1 PD14NOV17	2036.50	2117.89	USD	-2,034.75	
14AUG17	B 2 PD14NOV17	2035.50	2117.89	USD	4,119.50	
14AUG17	S 1 PD14NOV17	2041.50	2117.89	USD	-1,909.75	
14AUG17	B 4 PD14NOV17	2031.50	2117.89	USD	8,639.00	
14AUG17	B 2 PD14NOV17	2030.00	2117.89	USD	4,394.50	
14AUG17	B 3 PD14NOV17	2030.50	2117.89	USD	6,554.25	
14AUG17	B 2 PD14NOV17	2029.50	2117.89	USD	4,419.50	
14AUG17	B 1 PD14NOV17	2024.50	2117.89	USD	2,334.75	
14AUG17	B 1 PD14NOV17	2025.00	2117.89	USD	2,322.25	
14AUG17	B 1 PD14NOV17	2023.50	2117.89	USD	2,359.75	
14AUG17	S 4 PD14NOV17	2025.00	2117.89	USD	-9,289.00	
14AUG17	S 2 PD14NOV17	2025.50	2117.89	USD	-4,619.50	
14AUG17	B 2 PD14NOV17	2027.00	2117.89	USD	4,544.50	
14AUG17	S 1 PD14NOV17	2025.50	2117.89	USD	-2,309.75	
	NET 0 AVG BGHT 2030.31 SOLD 2033.26				**2,137.50**	**0.00**
21AUG17	S 1 PD21NOV17	2097.00	2119.88	USD	-572.00	
21AUG17	S 2 PD21NOV17	2091.50	2119.88	USD	-1,419.00	
21AUG17	S 1 PD21NOV17	2098.00	2119.88	USD	-547.00	
21AUG17	B 1 PD21NOV17	2087.50	2119.88	USD	809.50	
21AUG17	S 1 PD21NOV17	2083.00	2119.88	USD	-922.00	
21AUG17	S 1 PD21NOV17	2078.00	2119.88	USD	-1,047.00	
21AUG17	S 2 PD21NOV17	2076.50	2119.88	USD	-2,169.00	
21AUG17	S 2 PD21NOV17	2080.50	2119.88	USD	-1,969.00	
21AUG17	S 1 PD21NOV17	2075.50	2119.88	USD	-1,109.50	
21AUG17	S 3 PD21NOV17	2077.00	2119.88	USD	-3,216.00	
21AUG17	S 1 PD21NOV17	2079.00	2119.88	USD	-1,022.00	
21AUG17	S 1 PD21NOV17	2084.50	2119.88	USD	-884.50	
29AUG17	B 15 PD21NOV17	2093.50	2119.88	USD	9,892.50	
	NET 0 AVG BGHT 2093.13 SOLD 2082.69				**-4,175.00**	**0.00**
22AUG17	B 2 PD22NOV17	2073.00	2120.15	USD	2,357.50	
22AUG17	B 1 PD22NOV17	2072.00	2120.15	USD	1,203.75	
22AUG17	S 1 PD22NOV17	2075.50	2120.15	USD	-1,116.25	
22AUG17	S 2 PD22NOV17	2074.00	2120.15	USD	-2,307.50	
22AUG17	S 2 PD22NOV17	2085.00	2120.15	USD	-1,757.50	
22AUG17	S 1 PD22NOV17	2078.50	2120.15	USD	-1,041.25	
22AUG17	B 2 PD22NOV17	2075.00	2120.15	USD	2,257.50	
22AUG17	B 1 PD22NOV17	2074.50	2120.15	USD	1,141.25	
22AUG17	B 1 PD22NOV17	2083.50	2120.15	USD	916.25	
22AUG17	B 4 PD22NOV17	2081.00	2120.15	USD	3,915.00	
22AUG17	B 1 PD22NOV17	2080.50	2120.15	USD	991.25	
22AUG17	B 1 PD22NOV17	2079.50	2120.15	USD	1,016.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
22AUG17	B 1 PD22NOV17	2082.00	2120.15	USD	953.75	
22AUG17	B 1 PD22NOV17	2077.50	2120.15	USD	1,066.25	
22AUG17	B 2 PD22NOV17	2078.00	2120.15	USD	2,107.50	
22AUG17	B 1 PD22NOV17	2073.00	2120.15	USD	1,178.75	
22AUG17	B 1 PD22NOV17	2080.50	2120.15	USD	991.25	
22AUG17	S 1 PD22NOV17	2076.50	2120.15	USD	-1,091.25	
22AUG17	B 6 PD22NOV17	2076.50	2120.15	USD	6,547.50	
22AUG17	S 3 PD22NOV17	2078.00	2120.15	USD	-3,161.25	
22AUG17	S 1 PD22NOV17	2079.00	2120.15	USD	-1,028.75	
22AUG17	S 1 PD22NOV17	2079.50	2120.15	USD	-1,016.25	
22AUG17	B 1 PD22NOV17	2075.50	2120.15	USD	1,116.25	
22AUG17	S 1 PD22NOV17	2076.00	2120.15	USD	-1,103.75	
29AUG17	S 13 PD22NOV17	2093.49	2120.15	USD	-8,664.50	
	NET 0 AVG BGHT 2077.44 SOLD 2085.86				**5,471.75**	**0.00**
23AUG17	B 1 PD24NOV17	2073.00	2120.69	USD	1,192.25	
23AUG17	S 1 PD24NOV17	2076.50	2120.69	USD	-1,104.75	
23AUG17	B 2 PD24NOV17	2062.00	2120.69	USD	2,934.50	
23AUG17	S 1 PD24NOV17	2060.00	2120.69	USD	-1,517.25	
23AUG17	S 1 PD24NOV17	2061.00	2120.69	USD	-1,492.25	
23AUG17	S 1 PD24NOV17	2064.00	2120.69	USD	-1,417.25	
23AUG17	S 1 PD24NOV17	2074.50	2120.69	USD	-1,154.75	
23AUG17	S 1 PD24NOV17	2075.00	2120.69	USD	-1,142.25	
23AUG17	S 1 PD24NOV17	2084.00	2120.69	USD	-917.25	
23AUG17	S 1 PD24NOV17	2078.50	2120.69	USD	-1,054.75	
23AUG17	S 1 PD24NOV17	2084.50	2120.69	USD	-904.75	
23AUG17	S 1 PD24NOV17	2087.50	2120.69	USD	-829.75	
23AUG17	S 3 PD24NOV17	2092.00	2120.69	USD	-2,151.75	
23AUG17	S 1 PD24NOV17	2089.50	2120.69	USD	-779.75	
23AUG17	S 2 PD24NOV17	2091.50	2120.69	USD	-1,459.50	
23AUG17	S 1 PD24NOV17	2098.50	2120.69	USD	-554.75	
23AUG17	S 1 PD24NOV17	2101.00	2120.69	USD	-492.25	
23AUG17	S 1 PD24NOV17	2101.50	2120.69	USD	-479.75	
23AUG17	B 1 PD24NOV17	2101.50	2120.69	USD	479.75	
23AUG17	B 1 PD24NOV17	2101.00	2120.69	USD	492.25	
23AUG17	S 1 PD24NOV17	2102.00	2120.69	USD	-467.25	
23AUG17	B 1 PD24NOV17	2102.00	2120.69	USD	467.25	
23AUG17	S 1 PD24NOV17	2114.00	2120.69	USD	-167.25	
23AUG17	B 2 PD24NOV17	2120.00	2120.69	USD	34.50	
23AUG17	B 3 PD24NOV17	2118.00	2120.69	USD	201.75	
23AUG17	B 1 PD24NOV17	2114.50	2120.69	USD	154.75	
23AUG17	B 1 PD24NOV17	2113.50	2120.69	USD	179.75	
24AUG17	S 1 PD24NOV17	2099.50	2120.69	USD	-529.75	
24AUG17	B 1 PD24NOV17	2099.50	2120.69	USD	529.75	
24AUG17	B 1 PD24NOV17	2097.50	2120.69	USD	579.75	
24AUG17	B 2 PD24NOV17	2093.00	2120.69	USD	1,384.50	
24AUG17	S 1 PD24NOV17	2096.50	2120.69	USD	-604.75	
24AUG17	S 4 PD24NOV17	2097.00	2120.69	USD	-2,369.00	
24AUG17	B 1 PD24NOV17	2099.00	2120.69	USD	542.25	
24AUG17	B 2 PD24NOV17	2092.00	2120.69	USD	1,434.50	
24AUG17	B 1 PD24NOV17	2091.00	2120.69	USD	742.25	
24AUG17	B 3 PD24NOV17	2091.50	2120.69	USD	2,189.25	
24AUG17	S 6 PD24NOV17	2099.00	2120.69	USD	-3,253.50	
24AUG17	S 3 PD24NOV17	2100.50	2120.69	USD	-1,514.25	
24AUG17	S 1 PD24NOV17	2102.50	2120.69	USD	-454.75	
24AUG17	S 1 PD24NOV17	2101.50	2120.69	USD	-479.75	
24AUG17	S 1 PD24NOV17	2103.00	2120.69	USD	-442.25	
24AUG17	S 3 PD24NOV17	2102.00	2120.69	USD	-1,401.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
24AUG17	S 2 PD24NOV17	2103.50	2120.69	USD	-859.50	
24AUG17	S 1 PD24NOV17	2105.50	2120.69	USD	-379.75	
24AUG17	S 2 PD24NOV17	2112.00	2120.69	USD	-434.50	
24AUG17	S 1 PD24NOV17	2119.50	2120.69	USD	-29.75	
24AUG17	B 1 PD24NOV17	2120.00	2120.69	USD	17.25	
24AUG17	S 2 PD24NOV17	2121.50	2120.69	USD	40.50	
24AUG17	S 1 PD24NOV17	2118.50	2120.69	USD	-54.75	
24AUG17	S 1 PD24NOV17	2119.00	2120.69	USD	-42.25	
24AUG17	S 2 PD24NOV17	2120.00	2120.69	USD	-34.50	
24AUG17	B 1 PD24NOV17	2111.00	2120.69	USD	242.25	
24AUG17	B 2 PD24NOV17	2108.00	2120.69	USD	634.50	
24AUG17	B 3 PD24NOV17	2106.00	2120.69	USD	1,101.75	
24AUG17	B 1 PD24NOV17	2104.50	2120.69	USD	404.75	
24AUG17	B 5 PD24NOV17	2105.50	2120.69	USD	1,898.75	
24AUG17	B 3 PD24NOV17	2105.00	2120.69	USD	1,176.75	
24AUG17	B 5 PD24NOV17	2104.00	2120.69	USD	2,086.25	
24AUG17	B 2 PD24NOV17	2103.50	2120.69	USD	859.50	
24AUG17	B 2 PD24NOV17	2103.00	2120.69	USD	884.50	
24AUG17	B 3 PD24NOV17	2102.00	2120.69	USD	1,401.75	
24AUG17	B 1 PD24NOV17	2101.50	2120.69	USD	479.75	
24AUG17	B 1 PD24NOV17	2100.50	2120.69	USD	504.75	
24AUG17	B 1 PD24NOV17	2098.50	2120.69	USD	554.75	
25AUG17	B 1 PD24NOV17	2100.50	2120.69	USD	504.75	
25AUG17	B 1 PD24NOV17	2098.50	2120.69	USD	554.75	
25AUG17	B 1 PD24NOV17	2094.00	2120.69	USD	667.25	
25AUG17	B 1 PD24NOV17	2087.50	2120.69	USD	829.75	
25AUG17	B 1 PD24NOV17	2095.50	2120.69	USD	629.75	
25AUG17	B 1 PD24NOV17	2076.00	2120.69	USD	1,117.25	
25AUG17	B 1 PD24NOV17	2070.00	2120.69	USD	1,267.25	
25AUG17	S 1 PD24NOV17	2077.00	2120.69	USD	-1,092.25	
25AUG17	B 1 PD24NOV17	2068.50	2120.69	USD	1,304.75	
29AUG17	S 2 PD24NOV17	2093.86	2120.69	USD	-1,341.50	
06SEP17	S 6 PD24NOV17	2101.66	2120.69	USD	-2,854.50	
	NET 0 AVG BGHT 2099.95 SOLD 2097.69				**-3,558.00**	**0.00**
29AUG17	S 2 PD29NOV17	2079.50	2122.05	USD	-2,127.50	
29AUG17	S 2 PD29NOV17	2072.50	2122.05	USD	-2,477.50	
29AUG17	S 2 PD29NOV17	2072.00	2122.05	USD	-2,502.50	
29AUG17	S 1 PD29NOV17	2074.50	2122.05	USD	-1,188.75	
29AUG17	S 1 PD29NOV17	2078.00	2122.05	USD	-1,101.25	
29AUG17	S 2 PD29NOV17	2077.00	2122.05	USD	-2,252.50	
29AUG17	B 3 PD29NOV17	2071.50	2122.05	USD	3,791.25	
29AUG17	B 1 PD29NOV17	2072.00	2122.05	USD	1,251.25	
29AUG17	B 1 PD29NOV17	2075.00	2122.05	USD	1,176.25	
29AUG17	B 1 PD29NOV17	2070.00	2122.05	USD	1,301.25	
29AUG17	B 1 PD29NOV17	2072.50	2122.05	USD	1,238.75	
29AUG17	B 1 PD29NOV17	2073.00	2122.05	USD	1,226.25	
29AUG17	B 1 PD29NOV17	2074.00	2122.05	USD	1,201.25	
29AUG17	S 2 PD29NOV17	2081.50	2122.05	USD	-2,027.50	
29AUG17	S 1 PD29NOV17	2087.50	2122.05	USD	-863.75	
29AUG17	B 1 PD29NOV17	2087.00	2122.05	USD	876.25	
29AUG17	S 1 PD29NOV17	2092.50	2122.05	USD	-738.75	
29AUG17	S 1 PD29NOV17	2093.00	2122.05	USD	-726.25	
29AUG17	S 1 PD29NOV17	2094.50	2122.05	USD	-688.75	
29AUG17	S 4 PD29NOV17	2094.00	2122.05	USD	-2,805.00	
29AUG17	S 2 PD29NOV17	2095.50	2122.05	USD	-1,327.50	
29AUG17	S 2 PD29NOV17	2096.00	2122.05	USD	-1,302.50	
29AUG17	B 1 PD29NOV17	2095.00	2122.05	USD	676.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
06SEP17	B 6 PD29NOV17	2103.04	2122.05	USD	2,851.50	
06SEP17	B 7 PD29NOV17	2102.84	2122.05	USD	3,361.75	
	NET 0 AVG BGHT 2090.46 SOLD 2085.17				**-3,178.00**	**0.00**
30AUG17	B 1 PD30NOV17	2091.00	2122.32	USD	783.00	
30AUG17	S 1 PD30NOV17	2101.00	2122.32	USD	-533.00	
30AUG17	B 1 PD30NOV17	2090.50	2122.32	USD	795.50	
30AUG17	B 4 PD30NOV17	2090.00	2122.32	USD	3,232.00	
30AUG17	S 4 PD30NOV17	2095.50	2122.32	USD	-2,682.00	
30AUG17	S 1 PD30NOV17	2096.00	2122.32	USD	-658.00	
30AUG17	S 1 PD30NOV17	2095.00	2122.32	USD	-683.00	
30AUG17	B 1 PD30NOV17	2094.00	2122.32	USD	708.00	
30AUG17	B 2 PD30NOV17	2087.00	2122.32	USD	1,766.00	
30AUG17	S 2 PD30NOV17	2090.50	2122.32	USD	-1,591.00	
30AUG17	S 1 PD30NOV17	2097.00	2122.32	USD	-633.00	
30AUG17	S 6 PD30NOV17	2097.50	2122.32	USD	-3,723.00	
30AUG17	S 1 PD30NOV17	2092.50	2122.32	USD	-745.50	
31AUG17	S 1 PD30NOV17	2101.50	2122.32	USD	-520.50	
31AUG17	S 1 PD30NOV17	2100.00	2122.32	USD	-558.00	
31AUG17	S 1 PD30NOV17	2104.00	2122.32	USD	-458.00	
31AUG17	S 1 PD30NOV17	2103.00	2122.32	USD	-483.00	
31AUG17	S 2 PD30NOV17	2105.00	2122.32	USD	-866.00	
31AUG17	S 2 PD30NOV17	2105.50	2122.32	USD	-841.00	
31AUG17	S 1 PD30NOV17	2106.50	2122.32	USD	-395.50	
31AUG17	S 1 PD30NOV17	2107.00	2122.32	USD	-383.00	
31AUG17	S 2 PD30NOV17	2107.50	2122.32	USD	-741.00	
31AUG17	S 1 PD30NOV17	2109.00	2122.32	USD	-333.00	
31AUG17	S 2 PD30NOV17	2108.50	2122.32	USD	-691.00	
31AUG17	S 3 PD30NOV17	2110.00	2122.32	USD	-924.00	
31AUG17	S 2 PD30NOV17	2112.00	2122.32	USD	-516.00	
31AUG17	S 1 PD30NOV17	2112.50	2122.32	USD	-245.50	
31AUG17	S 1 PD30NOV17	2114.00	2122.32	USD	-208.00	
31AUG17	S 1 PD30NOV17	2114.50	2122.32	USD	-195.50	
31AUG17	S 2 PD30NOV17	2116.50	2122.32	USD	-291.00	
31AUG17	S 1 PD30NOV17	2120.00	2122.32	USD	-58.00	
31AUG17	S 1 PD30NOV17	2121.00	2122.32	USD	-33.00	
31AUG17	S 2 PD30NOV17	2132.00	2122.32	USD	484.00	
31AUG17	B 1 PD30NOV17	2134.50	2122.32	USD	-304.50	
31AUG17	B 1 PD30NOV17	2131.00	2122.32	USD	-217.00	
31AUG17	S 3 PD30NOV17	2136.50	2122.32	USD	1,063.50	
31AUG17	S 1 PD30NOV17	2098.00	2122.32	USD	-608.00	
31AUG17	S 3 PD30NOV17	2131.00	2122.32	USD	651.00	
31AUG17	B 1 PD30NOV17	2128.50	2122.32	USD	-154.50	
31AUG17	B 1 PD30NOV17	2130.50	2122.32	USD	-204.50	
31AUG17	B 3 PD30NOV17	2128.00	2122.32	USD	-426.00	
31AUG17	B 4 PD30NOV17	2124.50	2122.32	USD	-218.00	
31AUG17	B 1 PD30NOV17	2122.50	2122.32	USD	-4.50	
31AUG17	B 4 PD30NOV17	2119.00	2122.32	USD	332.00	
31AUG17	B 1 PD30NOV17	2116.00	2122.32	USD	158.00	
31AUG17	B 3 PD30NOV17	2117.00	2122.32	USD	399.00	
31AUG17	B 2 PD30NOV17	2118.50	2122.32	USD	191.00	
31AUG17	B 2 PD30NOV17	2120.00	2122.32	USD	116.00	
31AUG17	B 2 PD30NOV17	2116.50	2122.32	USD	291.00	
31AUG17	B 4 PD30NOV17	2118.00	2122.32	USD	432.00	
31AUG17	B 3 PD30NOV17	2117.50	2122.32	USD	361.50	
31AUG17	B 2 PD30NOV17	2125.50	2122.32	USD	-159.00	
31AUG17	B 2 PD30NOV17	2125.00	2122.32	USD	-134.00	
31AUG17	B 2 PD30NOV17	2126.50	2122.32	USD	-209.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
31AUG17	B 3 PD30NOV17	2127.00	2122.32	USD	-351.00	
31AUG17	B 1 PD30NOV17	2126.00	2122.32	USD	-92.00	
06SEP17	S 1 PD30NOV17	2102.88	2122.32	USD	-486.00	
08SEP17	B 1 PD30NOV17	2097.00	2122.32	USD	633.00	
14SEP17	B 1 PD30NOV17	2094.26	2122.32	USD	701.50	
	NET 0 AVG BGHT 2116.08 SOLD 2108.33				**-10,459.50**	**0.00**
01SEP17	S 1 PD01DEC17	2126.00	2122.59	USD	85.25	
01SEP17	B 1 PD01DEC17	2126.00	2122.59	USD	-85.25	
01SEP17	S 1 PD01DEC17	2134.00	2122.59	USD	285.25	
01SEP17	S 1 PD01DEC17	2136.50	2122.59	USD	347.75	
01SEP17	B 1 PD01DEC17	2134.00	2122.59	USD	-285.25	
01SEP17	B 1 PD01DEC17	2132.00	2122.59	USD	-235.25	
01SEP17	B 1 PD01DEC17	2137.00	2122.59	USD	-360.25	
01SEP17	S 1 PD01DEC17	2142.00	2122.59	USD	485.25	
01SEP17	B 1 PD01DEC17	2144.00	2122.59	USD	-535.25	
01SEP17	B 1 PD01DEC17	2142.00	2122.59	USD	-485.25	
01SEP17	B 1 PD01DEC17	2143.00	2122.59	USD	-510.25	
01SEP17	B 2 PD01DEC17	2135.50	2122.59	USD	-645.50	
01SEP17	B 1 PD01DEC17	2134.50	2122.59	USD	-297.75	
01SEP17	B 2 PD01DEC17	2141.00	2122.59	USD	-920.50	
01SEP17	S 2 PD01DEC17	2141.00	2122.59	USD	920.50	
01SEP17	S 1 PD01DEC17	2141.50	2122.59	USD	472.75	
01SEP17	B 2 PD01DEC17	2136.00	2122.59	USD	-670.50	
01SEP17	S 1 PD01DEC17	2138.00	2122.59	USD	385.25	
01SEP17	B 2 PD01DEC17	2133.50	2122.59	USD	-545.50	
01SEP17	B 2 PD01DEC17	2133.00	2122.59	USD	-520.50	
01SEP17	B 1 PD01DEC17	2131.50	2122.59	USD	-222.75	
01SEP17	B 2 PD01DEC17	2131.00	2122.59	USD	-420.50	
01SEP17	S 1 PD01DEC17	2132.50	2122.59	USD	247.75	
01SEP17	B 2 PD01DEC17	2125.00	2122.59	USD	-120.50	
01SEP17	S 1 PD01DEC17	2129.50	2122.59	USD	172.75	
01SEP17	S 2 PD01DEC17	2130.00	2122.59	USD	370.50	
01SEP17	S 2 PD01DEC17	2134.50	2122.59	USD	595.50	
01SEP17	S 1 PD01DEC17	2133.50	2122.59	USD	272.75	
01SEP17	S 1 PD01DEC17	2137.00	2122.59	USD	360.25	
06SEP17	S 5 PD01DEC17	2103.12	2122.59	USD	-2,433.75	
08SEP17	S 1 PD01DEC17	2097.05	2122.59	USD	-638.50	
21SEP17	S 1 PD01DEC17	2166.21	2122.59	USD	1,090.50	
	NET 0 AVG BGHT 2134.52 SOLD 2127.84				**-3,841.00**	**0.00**
04SEP17	B 1 PD04DEC17	2128.00	2123.41	USD	-114.75	
04SEP17	B 1 PD04DEC17	2125.50	2123.41	USD	-52.25	
04SEP17	B 1 PD04DEC17	2125.00	2123.41	USD	-39.75	
04SEP17	S 1 PD04DEC17	2122.50	2123.41	USD	-22.75	
04SEP17	S 1 PD04DEC17	2125.00	2123.41	USD	39.75	
04SEP17	S 1 PD04DEC17	2125.50	2123.41	USD	52.25	
04SEP17	S 1 PD04DEC17	2121.50	2123.41	USD	-47.75	
04SEP17	S 1 PD04DEC17	2119.00	2123.41	USD	-110.25	
04SEP17	S 1 PD04DEC17	2128.00	2123.41	USD	114.75	
04SEP17	S 1 PD04DEC17	2129.50	2123.41	USD	152.25	
04SEP17	B 2 PD04DEC17	2126.50	2123.41	USD	-154.50	
04SEP17	B 1 PD04DEC17	2124.00	2123.41	USD	-14.75	
04SEP17	B 1 PD04DEC17	2121.50	2123.41	USD	47.75	
04SEP17	S 1 PD04DEC17	2126.00	2123.41	USD	64.75	
04SEP17	B 1 PD04DEC17	2115.50	2123.41	USD	197.75	
04SEP17	B 1 PD04DEC17	2113.00	2123.41	USD	260.25	
04SEP17	S 1 PD04DEC17	2117.50	2123.41	USD	-147.75	
04SEP17	B 8 PD04DEC17	2116.00	2123.41	USD	1,482.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
04SEP17	S 4 PD04DEC17	2115.50	2123.41	USD	-791.00	
04SEP17	S 1 PD04DEC17	2118.00	2123.41	USD	-135.25	
04SEP17	S 1 PD04DEC17	2118.50	2123.41	USD	-122.75	
04SEP17	S 1 PD04DEC17	2121.00	2123.41	USD	-60.25	
04SEP17	S 1 PD04DEC17	2122.00	2123.41	USD	-35.25	
06SEP17	S 1 PD04DEC17	2103.83	2123.41	USD	-489.50	
08SEP17	B 5 PD04DEC17	2098.00	2123.41	USD	3,176.25	
	NET +4 AVG BGHT 2114.70 SOLD 2119.99				**3,249.25**	**0.00**
05SEP17	B 1 PD05DEC17	2107.00	2123.68	USD	417.00	
05SEP17	B 2 PD05DEC17	2110.50	2123.68	USD	659.00	
05SEP17	S 1 PD05DEC17	2113.50	2123.68	USD	-254.50	
05SEP17	S 1 PD05DEC17	2118.00	2123.68	USD	-142.00	
05SEP17	S 1 PD05DEC17	2114.00	2123.68	USD	-242.00	
05SEP17	S 3 PD05DEC17	2116.50	2123.68	USD	-538.50	
05SEP17	S 1 PD05DEC17	2117.00	2123.68	USD	-167.00	
05SEP17	B 1 PD05DEC17	2109.50	2123.68	USD	354.50	
05SEP17	B 5 PD05DEC17	2108.00	2123.68	USD	1,960.00	
05SEP17	B 1 PD05DEC17	2103.50	2123.68	USD	504.50	
05SEP17	S 1 PD05DEC17	2104.00	2123.68	USD	-492.00	
05SEP17	B 1 PD05DEC17	2108.50	2123.68	USD	379.50	
05SEP17	B 1 PD05DEC17	2106.50	2123.68	USD	429.50	
05SEP17	S 1 PD05DEC17	2106.00	2123.68	USD	-442.00	
05SEP17	S 2 PD05DEC17	2108.50	2123.68	USD	-759.00	
05SEP17	S 1 PD05DEC17	2110.00	2123.68	USD	-342.00	
05SEP17	S 1 PD05DEC17	2111.50	2123.68	USD	-304.50	
05SEP17	S 1 PD05DEC17	2110.50	2123.68	USD	-329.50	
08SEP17	B 2 PD05DEC17	2098.25	2123.68	USD	1,271.50	
	NET 0 AVG BGHT 2106.61 SOLD 2112.21				**1,962.50**	**0.00**
06SEP17	B 1 PD06DEC17	2084.00	2123.95	USD	998.75	
06SEP17	B 1 PD06DEC17	2077.00	2123.95	USD	1,173.75	
06SEP17	B 1 PD06DEC17	2076.50	2123.95	USD	1,186.25	
06SEP17	S 1 PD06DEC17	2081.00	2123.95	USD	-1,073.75	
06SEP17	S 1 PD06DEC17	2081.50	2123.95	USD	-1,061.25	
06SEP17	B 1 PD06DEC17	2082.00	2123.95	USD	1,048.75	
06SEP17	S 1 PD06DEC17	2088.00	2123.95	USD	-898.75	
06SEP17	B 1 PD06DEC17	2083.00	2123.95	USD	1,023.75	
06SEP17	B 1 PD06DEC17	2085.00	2123.95	USD	973.75	
06SEP17	S 1 PD06DEC17	2098.00	2123.95	USD	-648.75	
06SEP17	S 1 PD06DEC17	2097.50	2123.95	USD	-661.25	
06SEP17	B 1 PD06DEC17	2095.00	2123.95	USD	723.75	
06SEP17	B 1 PD06DEC17	2093.50	2123.95	USD	761.25	
06SEP17	B 2 PD06DEC17	2088.00	2123.95	USD	1,797.50	
06SEP17	B 1 PD06DEC17	2086.50	2123.95	USD	936.25	
06SEP17	S 1 PD06DEC17	2104.00	2123.95	USD	-498.75	
06SEP17	B 1 PD06DEC17	2110.50	2123.95	USD	336.25	
06SEP17	B 1 PD06DEC17	2110.00	2123.95	USD	348.75	
06SEP17	B 1 PD06DEC17	2109.50	2123.95	USD	361.25	
08SEP17	S 8 PD06DEC17	2098.30	2123.95	USD	-5,130.00	
	NET 0 AVG BGHT 2090.61 SOLD 2095.46				**1,697.50**	**0.00**
07SEP17	B 4 PD07DEC17	2105.00	2124.22	USD	1,922.00	
07SEP17	B 1 PD07DEC17	2103.50	2124.22	USD	518.00	
07SEP17	B 1 PD07DEC17	2102.00	2124.22	USD	555.50	
07SEP17	B 1 PD07DEC17	2101.50	2124.22	USD	568.00	
07SEP17	B 4 PD07DEC17	2093.50	2124.22	USD	3,072.00	
07SEP17	B 1 PD07DEC17	2089.50	2124.22	USD	868.00	
07SEP17	S 1 PD07DEC17	2089.50	2124.22	USD	-868.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.							
07SEP17	B	5	PD07DEC17	2094.00	2124.22	USD	3,777.50	
07SEP17	S	1	PD07DEC17	2100.00	2124.22	USD	-605.50	
07SEP17	S	1	PD07DEC17	2101.50	2124.22	USD	-568.00	
07SEP17	S	2	PD07DEC17	2109.00	2124.22	USD	-761.00	
07SEP17	S	4	PD07DEC17	2109.50	2124.22	USD	-1,472.00	
07SEP17	S	4	PD07DEC17	2110.00	2124.22	USD	-1,422.00	
	NET	**+4**	**AVG BGHT 2097.68 SOLD 2106.69**				**5,584.50**	**0.00**
08SEP17	S	1	PD08DEC17	2100.00	2124.49	USD	-612.25	
08SEP17	S	1	PD08DEC17	2094.00	2124.49	USD	-762.25	
08SEP17	B	1	PD08DEC17	2096.00	2124.49	USD	712.25	
08SEP17	S	1	PD08DEC17	2095.50	2124.49	USD	-724.75	
08SEP17	S	1	PD08DEC17	2095.00	2124.49	USD	-737.25	
14SEP17	B	1	PD08DEC17	2096.40	2124.49	USD	702.25	
19SEP17	B	2	PD08DEC17	2121.85	2124.49	USD	132.00	
	NET	**0**	**AVG BGHT 2109.03 SOLD 2096.13**				**-1,290.00**	**0.00**
11SEP17	B	1	PD11DEC17	2102.00	2125.31	USD	582.75	
11SEP17	S	1	PD11DEC17	2112.50	2125.31	USD	-320.25	
11SEP17	S	1	PD11DEC17	2113.50	2125.31	USD	-295.25	
11SEP17	S	1	PD11DEC17	2116.00	2125.31	USD	-232.75	
11SEP17	B	1	PD11DEC17	2128.00	2125.31	USD	-67.25	
11SEP17	S	2	PD11DEC17	2126.00	2125.31	USD	34.50	
11SEP17	S	1	PD11DEC17	2127.00	2125.31	USD	42.25	
11SEP17	S	1	PD11DEC17	2128.50	2125.31	USD	79.75	
11SEP17	S	1	PD11DEC17	2131.50	2125.31	USD	154.75	
11SEP17	S	1	PD11DEC17	2133.00	2125.31	USD	192.25	
11SEP17	B	4	PD11DEC17	2129.00	2125.31	USD	-369.00	
11SEP17	S	1	PD11DEC17	2111.00	2125.31	USD	-357.75	
11SEP17	S	1	PD11DEC17	2125.50	2125.31	USD	4.75	
11SEP17	B	1	PD11DEC17	2120.00	2125.31	USD	132.75	
11SEP17	B	1	PD11DEC17	2117.00	2125.31	USD	207.75	
11SEP17	B	1	PD11DEC17	2114.00	2125.31	USD	282.75	
11SEP17	S	1	PD11DEC17	2120.00	2125.31	USD	-132.75	
11SEP17	S	1	PD11DEC17	2121.50	2125.31	USD	-95.25	
11SEP17	S	1	PD11DEC17	2127.50	2125.31	USD	54.75	
11SEP17	S	3	PD11DEC17	2123.50	2125.31	USD	-135.75	
11SEP17	S	1	PD11DEC17	2122.00	2125.31	USD	-82.75	
13SEP17	B	1	PD11DEC17	2109.66	2125.31	USD	391.25	
14SEP17	B	11	PD11DEC17	2097.20	2125.31	USD	7,730.25	
19SEP17	B	1	PD11DEC17	2122.71	2125.31	USD	65.00	
	NET	**+4**	**AVG BGHT 2109.03 SOLD 2122.89**				**7,866.75**	**0.00**
12SEP17	B	2	PD12DEC17	2117.50	2125.58	USD	404.00	
12SEP17	S	1	PD12DEC17	2127.00	2125.58	USD	35.50	
12SEP17	B	1	PD12DEC17	2123.00	2125.58	USD	64.50	
12SEP17	S	1	PD12DEC17	2122.50	2125.58	USD	-77.00	
12SEP17	S	4	PD12DEC17	2120.00	2125.58	USD	-558.00	
12SEP17	S	3	PD12DEC17	2122.00	2125.58	USD	-268.50	
12SEP17	S	1	PD12DEC17	2123.50	2125.58	USD	-52.00	
12SEP17	B	4	PD12DEC17	2117.00	2125.58	USD	858.00	
12SEP17	B	1	PD12DEC17	2109.50	2125.58	USD	402.00	
12SEP17	S	2	PD12DEC17	2132.50	2125.58	USD	346.00	
12SEP17	S	3	PD12DEC17	2133.00	2125.58	USD	556.50	
12SEP17	S	1	PD12DEC17	2141.00	2125.58	USD	385.50	
14SEP17	B	5	PD12DEC17	2097.47	2125.58	USD	3,513.75	
15SEP17	B	3	PD12DEC17	2085.25	2125.58	USD	3,024.75	
	NET	**0**	**AVG BGHT 2104.91 SOLD 2126.50**				**8,635.00**	**0.00**
13SEP17	B	1	PD13DEC17	2132.00	2125.85	USD	-153.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
13SEP17	S 1 PD13DEC17	2135.50	2125.85	USD	241.25	
13SEP17	B 1 PD13DEC17	2132.50	2125.85	USD	-166.25	
13SEP17	S 1 PD13DEC17	2136.50	2125.85	USD	266.25	
13SEP17	B 1 PD13DEC17	2128.00	2125.85	USD	-53.75	
13SEP17	B 1 PD13DEC17	2127.50	2125.85	USD	-41.25	
13SEP17	B 2 PD13DEC17	2127.00	2125.85	USD	-57.50	
13SEP17	B 1 PD13DEC17	2126.50	2125.85	USD	-16.25	
13SEP17	B 1 PD13DEC17	2126.00	2125.85	USD	-3.75	
13SEP17	B 1 PD13DEC17	2125.50	2125.85	USD	8.75	
13SEP17	B 3 PD13DEC17	2125.00	2125.85	USD	63.75	
13SEP17	B 4 PD13DEC17	2124.00	2125.85	USD	185.00	
13SEP17	B 2 PD13DEC17	2123.50	2125.85	USD	117.50	
13SEP17	B 1 PD13DEC17	2117.50	2125.85	USD	208.75	
13SEP17	B 2 PD13DEC17	2128.00	2125.85	USD	-107.50	
13SEP17	S 1 PD13DEC17	2113.50	2125.85	USD	-308.75	
13SEP17	S 4 PD13DEC17	2120.50	2125.85	USD	-535.00	
13SEP17	S 1 PD13DEC17	2116.00	2125.85	USD	-246.25	
13SEP17	B 1 PD13DEC17	2114.00	2125.85	USD	296.25	
13SEP17	B 2 PD13DEC17	2113.50	2125.85	USD	617.50	
13SEP17	B 1 PD13DEC17	2111.00	2125.85	USD	371.25	
13SEP17	B 1 PD13DEC17	2108.00	2125.85	USD	446.25	
14SEP17	S 18 PD13DEC17	2097.53	2125.85	USD	-12,744.00	
	NET 0 AVG BGHT 2123.21 SOLD 2105.35				**-11,611.50**	**0.00**
14SEP17	S 2 PD14DEC17	2125.00	2126.12	USD	-56.00	
14SEP17	S 2 PD14DEC17	2123.50	2126.12	USD	-131.00	
14SEP17	B 1 PD14DEC17	2110.50	2126.12	USD	390.50	
14SEP17	B 1 PD14DEC17	2111.00	2126.12	USD	378.00	
14SEP17	B 1 PD14DEC17	2110.00	2126.12	USD	403.00	
14SEP17	S 1 PD14DEC17	2117.50	2126.12	USD	-215.50	
14SEP17	S 2 PD14DEC17	2116.00	2126.12	USD	-506.00	
14SEP17	B 1 PD14DEC17	2108.50	2126.12	USD	440.50	
14SEP17	B 1 PD14DEC17	2107.00	2126.12	USD	478.00	
14SEP17	B 1 PD14DEC17	2097.00	2126.12	USD	728.00	
14SEP17	B 1 PD14DEC17	2095.00	2126.12	USD	778.00	
14SEP17	B 1 PD14DEC17	2093.50	2126.12	USD	815.50	
14SEP17	B 1 PD14DEC17	2094.50	2126.12	USD	790.50	
14SEP17	B 2 PD14DEC17	2088.00	2126.12	USD	1,906.00	
14SEP17	S 1 PD14DEC17	2088.00	2126.12	USD	-953.00	
14SEP17	B 2 PD14DEC17	2091.50	2126.12	USD	1,731.00	
14SEP17	B 4 PD14DEC17	2088.50	2126.12	USD	3,762.00	
14SEP17	S 1 PD14DEC17	2091.50	2126.12	USD	-865.50	
14SEP17	S 1 PD14DEC17	2099.50	2126.12	USD	-665.50	
14SEP17	S 2 PD14DEC17	2099.00	2126.12	USD	-1,356.00	
14SEP17	B 1 PD14DEC17	2099.00	2126.12	USD	678.00	
14SEP17	S 1 PD14DEC17	2094.50	2126.12	USD	-790.50	
14SEP17	S 1 PD14DEC17	2104.00	2126.12	USD	-553.00	
15SEP17	S 3 PD14DEC17	2085.55	2126.12	USD	-3,042.75	
19SEP17	B 3 PD14DEC17	2123.57	2126.12	USD	191.25	
	NET +4 AVG BGHT 2100.46 SOLD 2104.63				**4,335.50**	**0.00**
15SEP17	B 1 PD15DEC17	2090.00	2126.39	USD	909.75	
15SEP17	B 3 PD15DEC17	2090.50	2126.39	USD	2,691.75	
15SEP17	B 1 PD15DEC17	2089.50	2126.39	USD	922.25	
15SEP17	S 1 PD15DEC17	2089.50	2126.39	USD	-922.25	
15SEP17	S 1 PD15DEC17	2090.00	2126.39	USD	-909.75	
15SEP17	S 1 PD15DEC17	2092.00	2126.39	USD	-859.75	
15SEP17	S 1 PD15DEC17	2100.50	2126.39	USD	-647.25	
15SEP17	S 1 PD15DEC17	2105.00	2126.39	USD	-534.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
15SEP17	S 1 PD15DEC17	2100.00	2126.39	USD	-659.75	
15SEP17	S 1 PD15DEC17	2099.00	2126.39	USD	-684.75	
15SEP17	B 1 PD15DEC17	2096.50	2126.39	USD	747.25	
15SEP17	B 1 PD15DEC17	2093.00	2126.39	USD	834.75	
15SEP17	B 1 PD15DEC17	2085.50	2126.39	USD	1,022.25	
15SEP17	B 1 PD15DEC17	2084.00	2126.39	USD	1,059.75	
15SEP17	B 1 PD15DEC17	2083.00	2126.39	USD	1,084.75	
15SEP17	B 2 PD15DEC17	2086.00	2126.39	USD	2,019.50	
15SEP17	B 1 PD15DEC17	2083.50	2126.39	USD	1,072.25	
19SEP17	S 6 PD15DEC17	2123.65	2126.39	USD	-411.00	
	NET 0 AVG BGHT 2088.35 SOLD 2109.07				**6,735.00**	**0.00**
18SEP17	B 1 PD18DEC17	2096.00	2127.21	USD	780.25	
18SEP17	B 1 PD18DEC17	2092.00	2127.21	USD	880.25	
18SEP17	B 1 PD18DEC17	2092.50	2127.21	USD	867.75	
18SEP17	S 1 PD18DEC17	2099.00	2127.21	USD	-705.25	
18SEP17	S 1 PD18DEC17	2100.00	2127.21	USD	-680.25	
18SEP17	S 1 PD18DEC17	2102.00	2127.21	USD	-630.25	
18SEP17	S 1 PD18DEC17	2102.50	2127.21	USD	-617.75	
18SEP17	S 1 PD18DEC17	2094.00	2127.21	USD	-830.25	
18SEP17	B 1 PD18DEC17	2090.50	2127.21	USD	917.75	
21SEP17	B 1 PD18DEC17	2171.14	2127.21	USD	-1,098.25	
	NET 0 AVG BGHT 2108.43 SOLD 2099.50				**-1,116.00**	**0.00**
19SEP17	S 1 PD19DEC17	2104.00	2127.48	USD	-587.00	
19SEP17	S 1 PD19DEC17	2105.00	2127.48	USD	-562.00	
19SEP17	B 1 PD19DEC17	2098.00	2127.48	USD	737.00	
19SEP17	S 1 PD19DEC17	2111.00	2127.48	USD	-412.00	
19SEP17	S 3 PD19DEC17	2112.50	2127.48	USD	-1,123.50	
19SEP17	S 1 PD19DEC17	2113.00	2127.48	USD	-362.00	
19SEP17	S 2 PD19DEC17	2112.00	2127.48	USD	-774.00	
19SEP17	S 1 PD19DEC17	2113.50	2127.48	USD	-349.50	
19SEP17	S 1 PD19DEC17	2116.50	2127.48	USD	-274.50	
19SEP17	B 2 PD19DEC17	2123.50	2127.48	USD	199.00	
19SEP17	S 2 PD19DEC17	2130.00	2127.48	USD	126.00	
19SEP17	B 6 PD19DEC17	2127.00	2127.48	USD	72.00	
21SEP17	B 1 PD19DEC17	2171.22	2127.48	USD	-1,093.50	
	NET -3 AVG BGHT 2127.82 SOLD 2114.19				**-4,404.00**	**0.00**
20SEP17	S 2 PD20DEC17	2132.50	2127.75	USD	237.50	
20SEP17	S 2 PD20DEC17	2133.00	2127.75	USD	262.50	
20SEP17	B 1 PD20DEC17	2138.00	2127.75	USD	-256.25	
20SEP17	S 1 PD20DEC17	2143.00	2127.75	USD	381.25	
20SEP17	S 1 PD20DEC17	2143.50	2127.75	USD	393.75	
20SEP17	S 2 PD20DEC17	2166.50	2127.75	USD	1,937.50	
20SEP17	S 2 PD20DEC17	2165.50	2127.75	USD	1,887.50	
20SEP17	B 2 PD20DEC17	2164.00	2127.75	USD	-1,812.50	
20SEP17	B 1 PD20DEC17	2163.00	2127.75	USD	-881.25	
20SEP17	B 1 PD20DEC17	2162.00	2127.75	USD	-856.25	
20SEP17	B 1 PD20DEC17	2161.50	2127.75	USD	-843.75	
20SEP17	B 1 PD20DEC17	2160.00	2127.75	USD	-806.25	
20SEP17	B 3 PD20DEC17	2158.50	2127.75	USD	-2,306.25	
20SEP17	B 3 PD20DEC17	2159.00	2127.75	USD	-2,343.75	
20SEP17	B 1 PD20DEC17	2158.00	2127.75	USD	-756.25	
20SEP17	B 1 PD20DEC17	2159.50	2127.75	USD	-793.75	
20SEP17	B 1 PD20DEC17	2157.00	2127.75	USD	-731.25	
20SEP17	S 1 PD20DEC17	2161.00	2127.75	USD	831.25	
20SEP17	S 1 PD20DEC17	2162.00	2127.75	USD	856.25	
20SEP17	S 2 PD20DEC17	2163.00	2127.75	USD	1,762.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
20SEP17	S 1 PD20DEC17	2164.00	2127.75	USD	906.25	
20SEP17	S 2 PD20DEC17	2169.00	2127.75	USD	2,062.50	
20SEP17	S 1 PD20DEC17	2172.50	2127.75	USD	1,118.75	
20SEP17	S 9 PD20DEC17	2167.00	2127.75	USD	8,831.25	
20SEP17	S 4 PD20DEC17	2168.00	2127.75	USD	4,025.00	
20SEP17	S 2 PD20DEC17	2175.00	2127.75	USD	2,362.50	
20SEP17	S 1 PD20DEC17	2178.00	2127.75	USD	1,256.25	
20SEP17	S 1 PD20DEC17	2180.50	2127.75	USD	1,318.75	
20SEP17	B 1 PD20DEC17	2171.50	2127.75	USD	-1,093.75	
20SEP17	B 2 PD20DEC17	2171.00	2127.75	USD	-2,162.50	
20SEP17	B 1 PD20DEC17	2166.50	2127.75	USD	-968.75	
20SEP17	S 1 PD20DEC17	2177.00	2127.75	USD	1,231.25	
20SEP17	S 2 PD20DEC17	2171.50	2127.75	USD	2,187.50	
20SEP17	S 3 PD20DEC17	2172.00	2127.75	USD	3,318.75	
20SEP17	S 2 PD20DEC17	2171.00	2127.75	USD	2,162.50	
20SEP17	B 1 PD20DEC17	2172.50	2127.75	USD	-1,118.75	
20SEP17	S 2 PD20DEC17	2177.50	2127.75	USD	2,487.50	
20SEP17	S 1 PD20DEC17	2187.50	2127.75	USD	1,493.75	
20SEP17	S 1 PD20DEC17	2185.50	2127.75	USD	1,443.75	
20SEP17	S 1 PD20DEC17	2186.00	2127.75	USD	1,456.25	
20SEP17	S 1 PD20DEC17	2191.50	2127.75	USD	1,593.75	
20SEP17	B 1 PD20DEC17	2188.00	2127.75	USD	-1,506.25	
20SEP17	B 1 PD20DEC17	2185.00	2127.75	USD	-1,431.25	
20SEP17	B 1 PD20DEC17	2184.00	2127.75	USD	-1,406.25	
20SEP17	B 1 PD20DEC17	2179.50	2127.75	USD	-1,293.75	
20SEP17	B 2 PD20DEC17	2178.00	2127.75	USD	-2,512.50	
20SEP17	B 3 PD20DEC17	2176.50	2127.75	USD	-3,656.25	
20SEP17	B 1 PD20DEC17	2175.50	2127.75	USD	-1,193.75	
20SEP17	B 2 PD20DEC17	2175.00	2127.75	USD	-2,362.50	
20SEP17	B 1 PD20DEC17	2174.50	2127.75	USD	-1,168.75	
20SEP17	B 1 PD20DEC17	2178.50	2127.75	USD	-1,268.75	
20SEP17	B 4 PD20DEC17	2177.50	2127.75	USD	-4,975.00	
20SEP17	B 1 PD20DEC17	2169.50	2127.75	USD	-1,043.75	
20SEP17	B 1 PD20DEC17	2167.50	2127.75	USD	-993.75	
20SEP17	B 1 PD20DEC17	2166.00	2127.75	USD	-956.25	
20SEP17	B 2 PD20DEC17	2164.50	2127.75	USD	-1,837.50	
20SEP17	B 4 PD20DEC17	2165.00	2127.75	USD	-3,725.00	
20SEP17	B 2 PD20DEC17	2163.50	2127.75	USD	-1,787.50	
20SEP17	B 1 PD20DEC17	2165.50	2127.75	USD	-943.75	
20SEP17	S 2 PD20DEC17	2181.00	2127.75	USD	2,662.50	
20SEP17	S 1 PD20DEC17	2182.50	2127.75	USD	1,368.75	
20SEP17	B 2 PD20DEC17	2187.00	2127.75	USD	-2,962.50	
21SEP17	S 1 PD20DEC17	2171.30	2127.75	USD	1,088.75	
	NET 0 AVG BGHT 2169.08 SOLD 2167.69				**-1,830.00**	**0.00**
21SEP17	S 1 PD21DEC17	2170.50	2127.98	USD	1,063.00	
21SEP17	B 2 PD21DEC17	2158.50	2127.98	USD	-1,526.00	
21SEP17	B 2 PD21DEC17	2157.00	2127.98	USD	-1,451.00	
21SEP17	S 1 PD21DEC17	2166.50	2127.98	USD	963.00	
21SEP17	S 2 PD21DEC17	2167.50	2127.98	USD	1,976.00	
21SEP17	B 3 PD21DEC17	2159.50	2127.98	USD	-2,364.00	
21SEP17	B 1 PD21DEC17	2163.00	2127.98	USD	-875.50	
21SEP17	S 2 PD21DEC17	2165.00	2127.98	USD	1,851.00	
21SEP17	S 1 PD21DEC17	2166.00	2127.98	USD	950.50	
21SEP17	B 1 PD21DEC17	2162.50	2127.98	USD	-863.00	
21SEP17	B 1 PD21DEC17	2164.50	2127.98	USD	-913.00	
21SEP17	B 2 PD21DEC17	2165.00	2127.98	USD	-1,851.00	
21SEP17	S 1 PD21DEC17	2171.50	2127.98	USD	1,088.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
21SEP17	S 4 PD21DEC17	2174.00	2127.98	USD	4,602.00	
21SEP17	B 1 PD21DEC17	2168.50	2127.98	USD	-1,013.00	
21SEP17	S 1 PD21DEC17	2167.00	2127.98	USD	975.50	
21SEP17	S 1 PD21DEC17	2163.50	2127.98	USD	888.00	
21SEP17	B 2 PD21DEC17	2163.50	2127.98	USD	-1,776.00	
21SEP17	S 2 PD21DEC17	2169.00	2127.98	USD	2,051.00	
21SEP17	S 2 PD21DEC17	2168.00	2127.98	USD	2,001.00	
21SEP17	B 1 PD21DEC17	2171.00	2127.98	USD	-1,075.50	
21SEP17	S 2 PD21DEC17	2173.00	2127.98	USD	2,251.00	
21SEP17	S 1 PD21DEC17	2173.50	2127.98	USD	1,138.00	
21SEP17	S 1 PD21DEC17	2166.50	2127.98	USD	963.00	
21SEP17	S 1 PD21DEC17	2169.50	2127.98	USD	1,038.00	
21SEP17	B 1 PD21DEC17	2171.50	2127.98	USD	-1,088.00	
21SEP17	B 1 PD21DEC17	2172.50	2127.98	USD	-1,113.00	
21SEP17	B 1 PD21DEC17	2182.50	2127.98	USD	-1,363.00	
21SEP17	B 1 PD21DEC17	2182.00	2127.98	USD	-1,350.50	
21SEP17	S 2 PD21DEC17	2181.50	2127.98	USD	2,676.00	
21SEP17	S 1 PD21DEC17	2182.00	2127.98	USD	1,350.50	
21SEP17	S 1 PD21DEC17	2196.50	2127.98	USD	1,713.00	
21SEP17	S 1 PD21DEC17	2189.00	2127.98	USD	1,525.50	
21SEP17	S 1 PD21DEC17	2186.50	2127.98	USD	1,463.00	
21SEP17	B 1 PD21DEC17	2176.50	2127.98	USD	-1,213.00	
21SEP17	B 2 PD21DEC17	2176.00	2127.98	USD	-2,401.00	
21SEP17	B 3 PD21DEC17	2178.50	2127.98	USD	-3,789.00	
21SEP17	B 1 PD21DEC17	2173.50	2127.98	USD	-1,138.00	
21SEP17	S 1 PD21DEC17	2169.50	2127.98	USD	1,038.00	
21SEP17	S 3 PD21DEC17	2170.00	2127.98	USD	3,151.50	
21SEP17	S 3 PD21DEC17	2168.50	2127.98	USD	3,039.00	
21SEP17	S 1 PD21DEC17	2171.00	2127.98	USD	1,075.50	
21SEP17	B 1 PD21DEC17	2161.00	2127.98	USD	-825.50	
21SEP17	B 1 PD21DEC17	2156.00	2127.98	USD	-700.50	
21SEP17	B 1 PD21DEC17	2149.00	2127.98	USD	-525.50	
21SEP17	S 1 PD21DEC17	2146.50	2127.98	USD	463.00	
21SEP17	B 1 PD21DEC17	2148.50	2127.98	USD	-513.00	
21SEP17	B 1 PD21DEC17	2148.00	2127.98	USD	-500.50	
21SEP17	B 1 PD21DEC17	2143.00	2127.98	USD	-375.50	
21SEP17	B 2 PD21DEC17	2142.00	2127.98	USD	-701.00	
21SEP17	B 1 PD21DEC17	2141.50	2127.98	USD	-338.00	
21SEP17	B 1 PD21DEC17	2142.50	2127.98	USD	-363.00	
21SEP17	B 1 PD21DEC17	2150.00	2127.98	USD	-550.50	
21SEP17	B 1 PD21DEC17	2180.00	2127.98	USD	-1,300.50	
21SEP17	B 1 PD21DEC17	2182.00	2127.98	USD	-1,350.50	
21SEP17	B 1 PD21DEC17	2148.50	2127.98	USD	-513.00	
	NET +3 AVG BGHT 2162.83 SOLD 2171.45				**5,573.50**	**0.00**
22SEP17	B 1 PD22DEC17	2136.50	2128.21	USD	-207.25	
22SEP17	B 3 PD22DEC17	2137.00	2128.21	USD	-659.25	
22SEP17	B 1 PD22DEC17	2135.50	2128.21	USD	-182.25	
22SEP17	S 2 PD22DEC17	2135.00	2128.21	USD	339.50	
22SEP17	B 1 PD22DEC17	2124.50	2128.21	USD	92.75	
22SEP17	S 1 PD22DEC17	2148.50	2128.21	USD	507.25	
22SEP17	S 1 PD22DEC17	2150.00	2128.21	USD	544.75	
22SEP17	S 1 PD22DEC17	2153.00	2128.21	USD	619.75	
22SEP17	S 1 PD22DEC17	2161.00	2128.21	USD	819.75	
22SEP17	S 1 PD22DEC17	2161.50	2128.21	USD	832.25	
22SEP17	B 1 PD22DEC17	2153.50	2128.21	USD	-632.25	
22SEP17	S 1 PD22DEC17	2163.00	2128.21	USD	869.75	
22SEP17	S 2 PD22DEC17	2164.50	2128.21	USD	1,814.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
22SEP17	S 1 PD22DEC17	2165.00	2128.21	USD	919.75	
22SEP17	B 1 PD22DEC17	2158.00	2128.21	USD	-744.75	
22SEP17	B 1 PD22DEC17	2163.50	2128.21	USD	-882.25	
22SEP17	B 2 PD22DEC17	2164.00	2128.21	USD	-1,789.50	
	NET 0 AVG BGHT 2146.41 SOLD 2154.64				**2,262.50**	**0.00**
25SEP17	B 1 PD27DEC17	2154.50	2129.38	USD	-628.00	
25SEP17	S 1 PD27DEC17	2149.00	2129.38	USD	490.50	
25SEP17	B 2 PD27DEC17	2138.50	2129.38	USD	-456.00	
25SEP17	S 1 PD27DEC17	2145.00	2129.38	USD	390.50	
25SEP17	S 1 PD27DEC17	2141.00	2129.38	USD	290.50	
25SEP17	S 1 PD27DEC17	2146.00	2129.38	USD	415.50	
25SEP17	S 1 PD27DEC17	2148.00	2129.38	USD	465.50	
25SEP17	S 1 PD27DEC17	2153.50	2129.38	USD	603.00	
25SEP17	B 1 PD27DEC17	2149.50	2129.38	USD	-503.00	
26SEP17	B 1 PD27DEC17	2156.00	2129.38	USD	-665.50	
26SEP17	B 1 PD27DEC17	2155.50	2129.38	USD	-653.00	
26SEP17	B 1 PD27DEC17	2146.00	2129.38	USD	-415.50	
26SEP17	S 1 PD27DEC17	2139.50	2129.38	USD	253.00	
26SEP17	B 1 PD27DEC17	2138.00	2129.38	USD	-215.50	
26SEP17	S 1 PD27DEC17	2128.50	2129.38	USD	-22.00	
26SEP17	S 1 PD27DEC17	2132.50	2129.38	USD	78.00	
26SEP17	B 1 PD27DEC17	2131.00	2129.38	USD	-40.50	
26SEP17	B 1 PD27DEC17	2125.00	2129.38	USD	109.50	
27SEP17	S 1 PD27DEC17	2131.00	2129.38	USD	40.50	
27SEP17	S 2 PD27DEC17	2131.50	2129.38	USD	106.00	
27SEP17	S 1 PD27DEC17	2132.50	2129.38	USD	78.00	
27SEP17	S 1 PD27DEC17	2133.00	2129.38	USD	90.50	
27SEP17	S 1 PD27DEC17	2145.50	2129.38	USD	403.00	
27SEP17	B 1 PD27DEC17	2129.50	2129.38	USD	-3.00	
27SEP17	B 1 PD27DEC17	2129.00	2129.38	USD	9.50	
27SEP17	B 1 PD27DEC17	2128.00	2129.38	USD	34.50	
27SEP17	B 3 PD27DEC17	2127.00	2129.38	USD	178.50	
	NET +1 AVG BGHT 2137.50 SOLD 2139.20				**434.50**	**0.00**
28SEP17	B 1 PD28DEC17	2126.00	2129.61	USD	90.25	
28SEP17	B 1 PD28DEC17	2122.00	2129.61	USD	190.25	
28SEP17	S 1 PD28DEC17	2132.00	2129.61	USD	59.75	
28SEP17	S 1 PD28DEC17	2130.50	2129.61	USD	22.25	
28SEP17	B 1 PD28DEC17	2123.50	2129.61	USD	152.75	
28SEP17	S 1 PD28DEC17	2127.00	2129.61	USD	-65.25	
28SEP17	S 2 PD28DEC17	2132.50	2129.61	USD	144.50	
28SEP17	S 1 PD28DEC17	2133.50	2129.61	USD	97.25	
28SEP17	B 1 PD28DEC17	2136.50	2129.61	USD	-172.25	
	NET -2 AVG BGHT 2127.00 SOLD 2131.33				**519.50**	**0.00**
29SEP17	S 1 PD29DEC17	2134.50	2129.84	USD	116.50	
29SEP17	B 1 PD29DEC17	2125.00	2129.84	USD	121.00	
29SEP17	S 1 PD29DEC17	2127.00	2129.84	USD	-71.00	
29SEP17	B 2 PD29DEC17	2130.00	2129.84	USD	-8.00	
29SEP17	B 1 PD29DEC17	2127.50	2129.84	USD	58.50	
29SEP17	B 1 PD29DEC17	2123.50	2129.84	USD	158.50	
	NET +3 AVG BGHT 2127.20 SOLD 2130.75				**375.50**	**0.00**
02OCT17	B 1 PD02JAN18	2108.00	2130.77	USD	569.25	
02OCT17	B 1 PD02JAN18	2107.00	2130.77	USD	594.25	
	NET +2 AVG BGHT 2107.50				**1,163.50**	**0.00**
03OCT17	B 3 PD03JAN18	2119.50	2131.00	USD	862.50	
03OCT17	B 1 PD03JAN18	2120.50	2131.00	USD	262.50	
03OCT17	S 1 PD03JAN18	2121.50	2131.00	USD	-237.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
03OCT17	S 1 PD03JAN18	2123.00	2131.00	USD	-200.00	
03OCT17	S 1 PD03JAN18	2123.50	2131.00	USD	-187.50	
03OCT17	S 1 PD03JAN18	2131.50	2131.00	USD	12.50	
03OCT17	S 1 PD03JAN18	2144.00	2131.00	USD	325.00	
	NET -1 AVG BGHT 2119.75 SOLD 2128.70				**837.50**	**0.00**
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
22AUG17	S 2 PD22NOV17	6590.00	6504.60	USD	4,270.00	
06SEP17	B 1 PD22NOV17	6895.75	6504.60	USD	-9,778.75	
13SEP17	B 1 PD22NOV17	6544.58	6504.60	USD	-999.50	
	NET 0 AVG BGHT 6720.17 SOLD 6590.00				**-6,508.25**	**0.00**
30AUG17	B 1 PD30NOV17	6780.00	6509.40	USD	-6,765.00	
31AUG17	S 1 PD30NOV17	6828.00	6509.40	USD	7,965.00	
31AUG17	B 1 PD30NOV17	6792.00	6509.40	USD	-7,065.00	
06SEP17	S 1 PD30NOV17	6898.55	6509.40	USD	9,728.75	
	NET 0 AVG BGHT 6786.00 SOLD 6863.28				**3,863.75**	**0.00**
08SEP17	B 1 PD08DEC17	6803.00	6513.00	USD	-7,250.00	
08SEP17	B 1 PD08DEC17	6702.00	6513.00	USD	-4,725.00	
13SEP17	S 1 PD08DEC17	6551.26	6513.00	USD	956.50	
	NET +1 AVG BGHT 6752.50 SOLD 6551.26				**-11,018.50**	**0.00**
20SEP17	B 1 PD20DEC17	6556.00	6517.75	USD	-956.25	
20SEP17	S 1 PD20DEC17	6523.50	6517.75	USD	143.75	
20SEP17	B 1 PD20DEC17	6523.00	6517.75	USD	-131.25	
	NET +1 AVG BGHT 6539.50 SOLD 6523.50				**-943.75**	**0.00**
26SEP17	B 1 PD27DEC17	6425.00	6517.52	USD	2,313.00	
	NET +1 AVG BGHT 6425.00				**2,313.00**	**0.00**
28SEP17	S 1 PD28DEC17	6510.00	6517.88	USD	-197.00	
	NET -1 AVG SOLD 6510.00				**-197.00**	**0.00**
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
04JUL17	B 1 PD04OCT17	2319.00	2584.77	USD	6,644.25	
04JUL17	S 1 PD04OCT17	2317.50	2584.77	USD	-6,681.75	
04JUL17	S 1 PD04OCT17	2316.50	2584.77	USD	-6,706.75	
04JUL17	B 1 PD04OCT17	2317.50	2584.77	USD	6,681.75	
04JUL17	B 1 PD04OCT17	2317.00	2584.77	USD	6,694.25	
04JUL17	S 1 PD04OCT17	2315.00	2584.77	USD	-6,744.25	
04JUL17	S 1 PD04OCT17	2315.50	2584.77	USD	-6,731.75	
04JUL17	S 2 PD04OCT17	2316.00	2584.77	USD	-13,438.50	
04JUL17	S 1 PD04OCT17	2314.00	2584.77	USD	-6,769.25	
04JUL17	S 4 PD04OCT17	2314.50	2584.77	USD	-27,027.00	
04JUL17	B 1 PD04OCT17	2312.50	2584.77	USD	6,806.75	
04JUL17	B 1 PD04OCT17	2313.00	2584.77	USD	6,794.25	
04JUL17	B 3 PD04OCT17	2312.00	2584.77	USD	20,457.75	
04JUL17	B 1 PD04OCT17	2303.00	2584.77	USD	7,044.25	
04JUL17	S 1 PD04OCT17	2308.50	2584.77	USD	-6,906.75	
04JUL17	S 1 PD04OCT17	2310.00	2584.77	USD	-6,869.25	
04JUL17	B 2 PD04OCT17	2308.50	2584.77	USD	13,813.50	
04JUL17	B 3 PD04OCT17	2308.00	2584.77	USD	20,757.75	
04JUL17	B 3 PD04OCT17	2299.50	2584.77	USD	21,395.25	
04JUL17	B 2 PD04OCT17	2300.00	2584.77	USD	14,238.50	
04JUL17	B 1 PD04OCT17	2300.50	2584.77	USD	7,106.75	
04JUL17	B 1 PD04OCT17	2297.50	2584.77	USD	7,181.75	
04JUL17	B 3 PD04OCT17	2298.50	2584.77	USD	21,470.25	
04JUL17	B 4 PD04OCT17	2298.00	2584.77	USD	28,677.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
04JUL17	B 1 PD04OCT17	2294.00	2584.77	USD	7,269.25	
04JUL17	S 1 PD04OCT17	2318.00	2584.77	USD	-6,669.25	
04JUL17	S 1 PD04OCT17	2291.00	2584.77	USD	-7,344.25	
04JUL17	S 2 PD04OCT17	2299.50	2584.77	USD	-14,263.50	
04JUL17	S 1 PD04OCT17	2300.50	2584.77	USD	-7,106.75	
04JUL17	B 2 PD04OCT17	2305.00	2584.77	USD	13,988.50	
04JUL17	B 1 PD04OCT17	2304.50	2584.77	USD	7,006.75	
04JUL17	B 2 PD04OCT17	2306.50	2584.77	USD	13,913.50	
04JUL17	B 3 PD04OCT17	2306.00	2584.77	USD	20,907.75	
04JUL17	B 4 PD04OCT17	2307.50	2584.77	USD	27,727.00	
04JUL17	B 2 PD04OCT17	2307.00	2584.77	USD	13,888.50	
04JUL17	B 1 PD04OCT17	2305.50	2584.77	USD	6,981.75	
04JUL17	S 1 PD04OCT17	2306.50	2584.77	USD	-6,956.75	
04JUL17	S 2 PD04OCT17	2308.00	2584.77	USD	-13,838.50	
04JUL17	S 1 PD04OCT17	2291.00	2584.77	USD	-7,344.25	
04JUL17	S 3 PD04OCT17	2300.00	2584.77	USD	-21,357.75	
04JUL17	S 5 PD04OCT17	2297.00	2584.77	USD	-35,971.25	
04JUL17	B 1 PD04OCT17	2293.50	2584.77	USD	7,281.75	
04JUL17	S 2 PD04OCT17	2292.50	2584.77	USD	-14,613.50	
04JUL17	S 1 PD04OCT17	2293.00	2584.77	USD	-7,294.25	
04JUL17	S 1 PD04OCT17	2295.00	2584.77	USD	-7,244.25	
05JUL17	S 3 PD04OCT17	2274.80	2584.77	USD	-23,247.75	
10JUL17	S 8 PD04OCT17	2319.45	2584.77	USD	-53,064.00	
	NET 0 AVG BGHT 2305.01 SOLD 2305.49				**537.50**	**0.00**
05JUL17	S 1 PD05OCT17	2293.00	2585.00	USD	-7,300.00	
05JUL17	S 1 PD05OCT17	2293.50	2585.00	USD	-7,287.50	
05JUL17	S 1 PD05OCT17	2299.00	2585.00	USD	-7,150.00	
05JUL17	B 1 PD05OCT17	2294.50	2585.00	USD	7,262.50	
05JUL17	B 1 PD05OCT17	2294.00	2585.00	USD	7,275.00	
05JUL17	B 1 PD05OCT17	2295.50	2585.00	USD	7,237.50	
05JUL17	B 2 PD05OCT17	2296.50	2585.00	USD	14,425.00	
05JUL17	S 1 PD05OCT17	2288.50	2585.00	USD	-7,412.50	
05JUL17	S 2 PD05OCT17	2285.50	2585.00	USD	-14,975.00	
05JUL17	S 1 PD05OCT17	2286.50	2585.00	USD	-7,462.50	
05JUL17	S 7 PD05OCT17	2284.50	2585.00	USD	-52,587.50	
05JUL17	B 2 PD05OCT17	2279.50	2585.00	USD	15,275.00	
05JUL17	B 2 PD05OCT17	2279.00	2585.00	USD	15,300.00	
05JUL17	B 3 PD05OCT17	2276.50	2585.00	USD	23,137.50	
05JUL17	B 4 PD05OCT17	2278.00	2585.00	USD	30,700.00	
05JUL17	B 2 PD05OCT17	2274.00	2585.00	USD	15,550.00	
05JUL17	B 1 PD05OCT17	2273.00	2585.00	USD	7,800.00	
05JUL17	B 2 PD05OCT17	2272.00	2585.00	USD	15,650.00	
05JUL17	B 5 PD05OCT17	2273.50	2585.00	USD	38,937.50	
05JUL17	B 1 PD05OCT17	2272.50	2585.00	USD	7,812.50	
05JUL17	S 1 PD05OCT17	2282.50	2585.00	USD	-7,562.50	
05JUL17	S 2 PD05OCT17	2283.00	2585.00	USD	-15,100.00	
05JUL17	S 4 PD05OCT17	2281.50	2585.00	USD	-30,350.00	
05JUL17	S 2 PD05OCT17	2281.00	2585.00	USD	-15,200.00	
05JUL17	S 1 PD05OCT17	2279.50	2585.00	USD	-7,637.50	
05JUL17	S 1 PD05OCT17	2280.00	2585.00	USD	-7,625.00	
05JUL17	S 3 PD05OCT17	2278.50	2585.00	USD	-22,987.50	
05JUL17	S 3 PD05OCT17	2279.00	2585.00	USD	-22,950.00	
05JUL17	S 2 PD05OCT17	2278.00	2585.00	USD	-15,350.00	
05JUL17	S 1 PD05OCT17	2276.50	2585.00	USD	-7,712.50	
05JUL17	B 1 PD05OCT17	2274.50	2585.00	USD	7,762.50	
05JUL17	B 1 PD05OCT17	2265.50	2585.00	USD	7,987.50	
05JUL17	S 2 PD05OCT17	2268.50	2585.00	USD	-15,825.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
05JUL17	S 1 PD05OCT17	2269.00	2585.00	USD	-7,900.00	
05JUL17	S 1 PD05OCT17	2270.50	2585.00	USD	-7,862.50	
05JUL17	S 2 PD05OCT17	2273.50	2585.00	USD	-15,575.00	
05JUL17	S 1 PD05OCT17	2272.00	2585.00	USD	-7,825.00	
05JUL17	S 1 PD05OCT17	2272.50	2585.00	USD	-7,812.50	
05JUL17	S 4 PD05OCT17	2273.00	2585.00	USD	-31,200.00	
05JUL17	S 1 PD05OCT17	2274.00	2585.00	USD	-7,775.00	
05JUL17	S 2 PD05OCT17	2274.50	2585.00	USD	-15,525.00	
05JUL17	S 1 PD05OCT17	2275.00	2585.00	USD	-7,750.00	
05JUL17	B 4 PD05OCT17	2271.00	2585.00	USD	31,400.00	
05JUL17	B 3 PD05OCT17	2270.50	2585.00	USD	23,587.50	
05JUL17	B 3 PD05OCT17	2269.50	2585.00	USD	23,662.50	
05JUL17	B 1 PD05OCT17	2270.00	2585.00	USD	7,875.00	
05JUL17	B 2 PD05OCT17	2267.00	2585.00	USD	15,900.00	
05JUL17	B 2 PD05OCT17	2266.00	2585.00	USD	15,950.00	
05JUL17	B 2 PD05OCT17	2266.50	2585.00	USD	15,925.00	
05JUL17	B 3 PD05OCT17	2265.00	2585.00	USD	24,000.00	
05JUL17	B 1 PD05OCT17	2264.00	2585.00	USD	8,025.00	
05JUL17	B 3 PD05OCT17	2263.50	2585.00	USD	24,112.50	
05JUL17	S 1 PD05OCT17	2265.00	2585.00	USD	-8,000.00	
05JUL17	B 1 PD05OCT17	2261.00	2585.00	USD	8,100.00	
05JUL17	B 2 PD05OCT17	2258.00	2585.00	USD	16,350.00	
05JUL17	B 1 PD05OCT17	2253.50	2585.00	USD	8,287.50	
05JUL17	B 1 PD05OCT17	2254.00	2585.00	USD	8,275.00	
05JUL17	B 1 PD05OCT17	2252.50	2585.00	USD	8,312.50	
05JUL17	B 2 PD05OCT17	2251.00	2585.00	USD	16,700.00	
05JUL17	B 1 PD05OCT17	2244.00	2585.00	USD	8,525.00	
05JUL17	S 3 PD05OCT17	2253.50	2585.00	USD	-24,862.50	
05JUL17	S 2 PD05OCT17	2250.50	2585.00	USD	-16,725.00	
05JUL17	S 1 PD05OCT17	2253.00	2585.00	USD	-8,300.00	
05JUL17	S 1 PD05OCT17	2251.50	2585.00	USD	-8,337.50	
05JUL17	S 1 PD05OCT17	2251.00	2585.00	USD	-8,350.00	
05JUL17	S 4 PD05OCT17	2254.00	2585.00	USD	-33,100.00	
05JUL17	S 2 PD05OCT17	2252.50	2585.00	USD	-16,625.00	
05JUL17	B 1 PD05OCT17	2265.00	2585.00	USD	8,000.00	
05JUL17	B 1 PD05OCT17	2249.50	2585.00	USD	8,387.50	
05JUL17	S 1 PD05OCT17	2249.00	2585.00	USD	-8,400.00	
05JUL17	B 1 PD05OCT17	2245.00	2585.00	USD	8,500.00	
05JUL17	B 2 PD05OCT17	2243.50	2585.00	USD	17,075.00	
05JUL17	S 1 PD05OCT17	2255.50	2585.00	USD	-8,237.50	
05JUL17	S 3 PD05OCT17	2258.00	2585.00	USD	-24,525.00	
05JUL17	S 3 PD05OCT17	2259.00	2585.00	USD	-24,450.00	
05JUL17	S 1 PD05OCT17	2261.00	2585.00	USD	-8,100.00	
05JUL17	S 1 PD05OCT17	2267.00	2585.00	USD	-7,950.00	
05JUL17	S 2 PD05OCT17	2267.50	2585.00	USD	-15,875.00	
05JUL17	S 2 PD05OCT17	2271.00	2585.00	USD	-15,700.00	
05JUL17	S 2 PD05OCT17	2271.50	2585.00	USD	-15,675.00	
10JUL17	B 8 PD05OCT17	2319.75	2585.00	USD	53,050.00	
10JUL17	B 6 PD05OCT17	2319.55	2585.00	USD	39,817.50	
	NET 0 AVG BGHT 2277.87 SOLD 2271.46				**-12,982.50**	**0.00**
06JUL17	B 4 PD06OCT17	2264.50	2585.23	USD	32,073.00	
06JUL17	S 1 PD06OCT17	2261.50	2585.23	USD	-8,093.25	
06JUL17	S 4 PD06OCT17	2261.00	2585.23	USD	-32,423.00	
06JUL17	S 2 PD06OCT17	2260.50	2585.23	USD	-16,236.50	
06JUL17	S 2 PD06OCT17	2258.50	2585.23	USD	-16,336.50	
06JUL17	S 4 PD06OCT17	2259.50	2585.23	USD	-32,573.00	
06JUL17	S 8 PD06OCT17	2260.00	2585.23	USD	-65,046.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
06JUL17	S 1 PD06OCT17	2257.50	2585.23	USD	-8,193.25	
06JUL17	S 5 PD06OCT17	2257.00	2585.23	USD	-41,028.75	
06JUL17	S 1 PD06OCT17	2268.00	2585.23	USD	-7,930.75	
06JUL17	B 1 PD06OCT17	2269.50	2585.23	USD	7,893.25	
06JUL17	B 1 PD06OCT17	2270.50	2585.23	USD	7,868.25	
06JUL17	S 1 PD06OCT17	2274.50	2585.23	USD	-7,768.25	
06JUL17	B 2 PD06OCT17	2275.00	2585.23	USD	15,511.50	
06JUL17	B 2 PD06OCT17	2274.00	2585.23	USD	15,561.50	
06JUL17	B 2 PD06OCT17	2273.50	2585.23	USD	15,586.50	
06JUL17	B 1 PD06OCT17	2274.50	2585.23	USD	7,768.25	
06JUL17	B 1 PD06OCT17	2278.00	2585.23	USD	7,680.75	
06JUL17	B 2 PD06OCT17	2280.00	2585.23	USD	15,261.50	
06JUL17	B 2 PD06OCT17	2279.50	2585.23	USD	15,286.50	
06JUL17	B 2 PD06OCT17	2279.00	2585.23	USD	15,311.50	
06JUL17	B 4 PD06OCT17	2278.50	2585.23	USD	30,673.00	
06JUL17	B 1 PD06OCT17	2288.00	2585.23	USD	7,430.75	
06JUL17	B 1 PD06OCT17	2289.00	2585.23	USD	7,405.75	
06JUL17	B 2 PD06OCT17	2288.50	2585.23	USD	14,836.50	
06JUL17	B 1 PD06OCT17	2287.50	2585.23	USD	7,443.25	
06JUL17	B 1 PD06OCT17	2290.50	2585.23	USD	7,368.25	
06JUL17	S 1 PD06OCT17	2286.00	2585.23	USD	-7,480.75	
06JUL17	B 1 PD06OCT17	2282.50	2585.23	USD	7,568.25	
06JUL17	B 1 PD06OCT17	2273.00	2585.23	USD	7,805.75	
06JUL17	S 1 PD06OCT17	2257.50	2585.23	USD	-8,193.25	
06JUL17	B 1 PD06OCT17	2292.00	2585.23	USD	7,330.75	
06JUL17	S 1 PD06OCT17	2283.00	2585.23	USD	-7,555.75	
06JUL17	B 2 PD06OCT17	2280.50	2585.23	USD	15,236.50	
06JUL17	S 1 PD06OCT17	2281.00	2585.23	USD	-7,605.75	
06JUL17	B 2 PD06OCT17	2280.00	2585.23	USD	15,261.50	
06JUL17	B 4 PD06OCT17	2282.00	2585.23	USD	30,323.00	
06JUL17	B 3 PD06OCT17	2281.50	2585.23	USD	22,779.75	
06JUL17	B 2 PD06OCT17	2281.00	2585.23	USD	15,211.50	
06JUL17	B 1 PD06OCT17	2284.00	2585.23	USD	7,530.75	
07JUL17	S 2 PD06OCT17	2281.00	2585.23	USD	-15,211.50	
07JUL17	S 1 PD06OCT17	2280.50	2585.23	USD	-7,618.25	
07JUL17	S 1 PD06OCT17	2285.50	2585.23	USD	-7,493.25	
07JUL17	S 1 PD06OCT17	2289.00	2585.23	USD	-7,405.75	
07JUL17	B 1 PD06OCT17	2292.00	2585.23	USD	7,330.75	
07JUL17	B 1 PD06OCT17	2291.00	2585.23	USD	7,355.75	
07JUL17	B 2 PD06OCT17	2297.50	2585.23	USD	14,386.50	
07JUL17	B 1 PD06OCT17	2296.00	2585.23	USD	7,230.75	
07JUL17	S 2 PD06OCT17	2306.00	2585.23	USD	-13,961.50	
07JUL17	S 3 PD06OCT17	2304.00	2585.23	USD	-21,092.25	
07JUL17	S 3 PD06OCT17	2305.00	2585.23	USD	-21,017.25	
07JUL17	B 1 PD06OCT17	2295.00	2585.23	USD	7,255.75	
07JUL17	B 1 PD06OCT17	2290.50	2585.23	USD	7,368.25	
07JUL17	B 1 PD06OCT17	2290.00	2585.23	USD	7,380.75	
07JUL17	B 2 PD06OCT17	2288.50	2585.23	USD	14,836.50	
07JUL17	B 3 PD06OCT17	2289.50	2585.23	USD	22,179.75	
07JUL17	B 3 PD06OCT17	2289.00	2585.23	USD	22,217.25	
07JUL17	S 3 PD06OCT17	2284.00	2585.23	USD	-22,592.25	
07JUL17	S 7 PD06OCT17	2284.50	2585.23	USD	-52,627.75	
07JUL17	S 4 PD06OCT17	2282.00	2585.23	USD	-30,323.00	
07JUL17	S 2 PD06OCT17	2281.50	2585.23	USD	-15,186.50	
07JUL17	S 2 PD06OCT17	2283.50	2585.23	USD	-15,086.50	
07JUL17	B 1 PD06OCT17	2287.00	2585.23	USD	7,455.75	
07JUL17	B 1 PD06OCT17	2285.00	2585.23	USD	7,505.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
07JUL17	S 1 PD06OCT17	2284.00	2585.23	USD	-7,530.75	
07JUL17	S 1 PD06OCT17	2301.00	2585.23	USD	-7,105.75	
07JUL17	B 1 PD06OCT17	2285.00	2585.23	USD	7,505.75	
07JUL17	B 1 PD06OCT17	2276.50	2585.23	USD	7,718.25	
07JUL17	B 1 PD06OCT17	2275.00	2585.23	USD	7,755.75	
07JUL17	B 1 PD06OCT17	2273.00	2585.23	USD	7,805.75	
07JUL17	B 2 PD06OCT17	2274.00	2585.23	USD	15,561.50	
07JUL17	B 1 PD06OCT17	2285.50	2585.23	USD	7,493.25	
07JUL17	B 1 PD06OCT17	2280.00	2585.23	USD	7,630.75	
07JUL17	B 1 PD06OCT17	2280.50	2585.23	USD	7,618.25	
07JUL17	S 4 PD06OCT17	2288.00	2585.23	USD	-29,723.00	
07JUL17	S 2 PD06OCT17	2293.00	2585.23	USD	-14,611.50	
07JUL17	S 1 PD06OCT17	2293.50	2585.23	USD	-7,293.25	
07JUL17	S 2 PD06OCT17	2296.50	2585.23	USD	-14,436.50	
07JUL17	S 1 PD06OCT17	2298.00	2585.23	USD	-7,180.75	
07JUL17	B 2 PD06OCT17	2300.00	2585.23	USD	14,261.50	
07JUL17	S 1 PD06OCT17	2301.00	2585.23	USD	-7,105.75	
07JUL17	S 1 PD06OCT17	2299.00	2585.23	USD	-7,155.75	
07JUL17	B 1 PD06OCT17	2299.50	2585.23	USD	7,143.25	
07JUL17	S 1 PD06OCT17	2297.50	2585.23	USD	-7,193.25	
07JUL17	S 1 PD06OCT17	2296.00	2585.23	USD	-7,230.75	
07JUL17	S 3 PD06OCT17	2290.00	2585.23	USD	-22,142.25	
07JUL17	B 1 PD06OCT17	2287.50	2585.23	USD	7,443.25	
07JUL17	B 4 PD06OCT17	2286.50	2585.23	USD	29,873.00	
07JUL17	B 2 PD06OCT17	2283.00	2585.23	USD	15,111.50	
07JUL17	B 3 PD06OCT17	2281.00	2585.23	USD	22,817.25	
07JUL17	B 1 PD06OCT17	2282.00	2585.23	USD	7,580.75	
07JUL17	B 3 PD06OCT17	2284.50	2585.23	USD	22,554.75	
07JUL17	S 1 PD06OCT17	2289.50	2585.23	USD	-7,393.25	
07JUL17	S 4 PD06OCT17	2287.00	2585.23	USD	-29,823.00	
07JUL17	S 2 PD06OCT17	2294.50	2585.23	USD	-14,536.50	
07JUL17	S 4 PD06OCT17	2297.00	2585.23	USD	-28,823.00	
07JUL17	S 3 PD06OCT17	2299.50	2585.23	USD	-21,429.75	
07JUL17	B 1 PD06OCT17	2296.50	2585.23	USD	7,218.25	
07JUL17	B 3 PD06OCT17	2298.00	2585.23	USD	21,542.25	
07JUL17	B 2 PD06OCT17	2295.50	2585.23	USD	14,486.50	
07JUL17	B 4 PD06OCT17	2297.00	2585.23	USD	28,823.00	
07JUL17	B 1 PD06OCT17	2299.00	2585.23	USD	7,155.75	
10JUL17	S 6 PD06OCT17	2319.44	2585.23	USD	-39,868.50	
12JUL17	B 1 PD06OCT17	2326.85	2585.23	USD	6,459.50	
	NET 0 AVG BGHT 2284.62 SOLD 2283.61				**-2,592.75**	**0.00**
10JUL17	B 1 PD10OCT17	2299.50	2586.15	USD	7,166.25	
10JUL17	B 1 PD10OCT17	2299.00	2586.15	USD	7,178.75	
10JUL17	S 1 PD10OCT17	2281.50	2586.15	USD	-7,616.25	
10JUL17	B 1 PD10OCT17	2301.00	2586.15	USD	7,128.75	
10JUL17	B 3 PD10OCT17	2301.50	2586.15	USD	21,348.75	
10JUL17	B 1 PD10OCT17	2286.00	2586.15	USD	7,503.75	
10JUL17	B 1 PD10OCT17	2287.00	2586.15	USD	7,478.75	
10JUL17	S 2 PD10OCT17	2280.50	2586.15	USD	-15,282.50	
10JUL17	B 1 PD10OCT17	2298.50	2586.15	USD	7,191.25	
10JUL17	B 2 PD10OCT17	2300.50	2586.15	USD	14,282.50	
10JUL17	B 1 PD10OCT17	2286.50	2586.15	USD	7,491.25	
10JUL17	S 1 PD10OCT17	2279.00	2586.15	USD	-7,678.75	
10JUL17	B 1 PD10OCT17	2297.50	2586.15	USD	7,216.25	
10JUL17	S 6 PD10OCT17	2280.00	2586.15	USD	-45,922.50	
10JUL17	B 2 PD10OCT17	2284.50	2586.15	USD	15,082.50	
10JUL17	S 3 PD10OCT17	2281.00	2586.15	USD	-22,886.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
10JUL17	B 4 PD10OCT17	2284.00	2586.15	USD	30,215.00	
10JUL17	B 1 PD10OCT17	2296.50	2586.15	USD	7,241.25	
10JUL17	B 1 PD10OCT17	2296.00	2586.15	USD	7,253.75	
10JUL17	S 4 PD10OCT17	2276.00	2586.15	USD	-31,015.00	
10JUL17	B 1 PD10OCT17	2273.50	2586.15	USD	7,816.25	
10JUL17	S 1 PD10OCT17	2277.50	2586.15	USD	-7,716.25	
10JUL17	B 1 PD10OCT17	2279.00	2586.15	USD	7,678.75	
10JUL17	S 1 PD10OCT17	2284.00	2586.15	USD	-7,553.75	
10JUL17	B 1 PD10OCT17	2285.00	2586.15	USD	7,528.75	
10JUL17	S 1 PD10OCT17	2286.50	2586.15	USD	-7,491.25	
10JUL17	S 1 PD10OCT17	2282.50	2586.15	USD	-7,591.25	
10JUL17	S 3 PD10OCT17	2284.50	2586.15	USD	-22,623.75	
10JUL17	S 1 PD10OCT17	2282.00	2586.15	USD	-7,603.75	
10JUL17	S 1 PD10OCT17	2285.00	2586.15	USD	-7,528.75	
10JUL17	S 2 PD10OCT17	2285.50	2586.15	USD	-15,032.50	
10JUL17	S 2 PD10OCT17	2289.50	2586.15	USD	-14,832.50	
10JUL17	S 1 PD10OCT17	2291.00	2586.15	USD	-7,378.75	
10JUL17	S 1 PD10OCT17	2291.50	2586.15	USD	-7,366.25	
10JUL17	S 2 PD10OCT17	2289.00	2586.15	USD	-14,857.50	
10JUL17	S 6 PD10OCT17	2288.00	2586.15	USD	-44,722.50	
10JUL17	S 1 PD10OCT17	2283.00	2586.15	USD	-7,578.75	
10JUL17	S 1 PD10OCT17	2286.50	2586.15	USD	-7,491.25	
10JUL17	B 1 PD10OCT17	2287.50	2586.15	USD	7,466.25	
10JUL17	B 3 PD10OCT17	2285.50	2586.15	USD	22,548.75	
10JUL17	B 1 PD10OCT17	2283.00	2586.15	USD	7,578.75	
10JUL17	B 1 PD10OCT17	2281.00	2586.15	USD	7,628.75	
10JUL17	B 1 PD10OCT17	2280.00	2586.15	USD	7,653.75	
10JUL17	S 4 PD10OCT17	2278.00	2586.15	USD	-30,815.00	
10JUL17	S 1 PD10OCT17	2277.00	2586.15	USD	-7,728.75	
10JUL17	B 2 PD10OCT17	2273.00	2586.15	USD	15,657.50	
10JUL17	B 1 PD10OCT17	2276.00	2586.15	USD	7,753.75	
10JUL17	B 3 PD10OCT17	2275.50	2586.15	USD	23,298.75	
10JUL17	B 1 PD10OCT17	2275.00	2586.15	USD	7,778.75	
10JUL17	S 1 PD10OCT17	2304.00	2586.15	USD	-7,053.75	
10JUL17	S 1 PD10OCT17	2304.50	2586.15	USD	-7,041.25	
10JUL17	B 1 PD10OCT17	2305.00	2586.15	USD	7,028.75	
10JUL17	S 1 PD10OCT17	2306.50	2586.15	USD	-6,991.25	
10JUL17	B 1 PD10OCT17	2307.50	2586.15	USD	6,966.25	
10JUL17	S 1 PD10OCT17	2307.00	2586.15	USD	-6,978.75	
10JUL17	S 2 PD10OCT17	2309.00	2586.15	USD	-13,857.50	
10JUL17	S 1 PD10OCT17	2311.00	2586.15	USD	-6,878.75	
10JUL17	S 2 PD10OCT17	2310.00	2586.15	USD	-13,807.50	
10JUL17	S 2 PD10OCT17	2310.50	2586.15	USD	-13,782.50	
10JUL17	S 3 PD10OCT17	2312.00	2586.15	USD	-20,561.25	
10JUL17	S 1 PD10OCT17	2313.50	2586.15	USD	-6,816.25	
10JUL17	S 1 PD10OCT17	2319.00	2586.15	USD	-6,678.75	
10JUL17	S 1 PD10OCT17	2318.00	2586.15	USD	-6,703.75	
10JUL17	S 1 PD10OCT17	2317.50	2586.15	USD	-6,716.25	
10JUL17	S 1 PD10OCT17	2315.00	2586.15	USD	-6,778.75	
10JUL17	S 2 PD10OCT17	2316.50	2586.15	USD	-13,482.50	
10JUL17	B 1 PD10OCT17	2311.50	2586.15	USD	6,866.25	
10JUL17	B 1 PD10OCT17	2311.00	2586.15	USD	6,878.75	
10JUL17	B 2 PD10OCT17	2313.00	2586.15	USD	13,657.50	
10JUL17	B 3 PD10OCT17	2312.50	2586.15	USD	20,523.75	
10JUL17	S 2 PD10OCT17	2316.00	2586.15	USD	-13,507.50	
10JUL17	S 1 PD10OCT17	2316.00	2586.15	USD	-6,753.75	
10JUL17	B 1 PD10OCT17	2315.00	2586.15	USD	6,778.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
12JUL17	S 1 PD10OCT17	2327.17	2586.15	USD	-6,474.50	
12JUL17	B 21 PD10OCT17	2327.37	2586.15	USD	135,859.50	
13JUL17	B 3 PD10OCT17	2294.17	2586.15	USD	21,898.50	
	NET 0 AVG BGHT 2302.47 SOLD 2293.27				**-16,552.75**	**0.00**
11JUL17	B 1 PD11OCT17	2322.50	2586.38	USD	6,597.00	
11JUL17	S 1 PD11OCT17	2320.00	2586.38	USD	-6,659.50	
11JUL17	S 1 PD11OCT17	2317.50	2586.38	USD	-6,722.00	
11JUL17	S 1 PD11OCT17	2324.50	2586.38	USD	-6,547.00	
11JUL17	S 2 PD11OCT17	2320.50	2586.38	USD	-13,294.00	
11JUL17	S 2 PD11OCT17	2319.00	2586.38	USD	-13,369.00	
11JUL17	S 2 PD11OCT17	2318.00	2586.38	USD	-13,419.00	
11JUL17	B 2 PD11OCT17	2323.00	2586.38	USD	13,169.00	
11JUL17	S 1 PD11OCT17	2322.00	2586.38	USD	-6,609.50	
11JUL17	S 2 PD11OCT17	2322.50	2586.38	USD	-13,194.00	
11JUL17	B 2 PD11OCT17	2311.50	2586.38	USD	13,744.00	
11JUL17	B 1 PD11OCT17	2311.00	2586.38	USD	6,884.50	
11JUL17	S 1 PD11OCT17	2312.00	2586.38	USD	-6,859.50	
11JUL17	S 1 PD11OCT17	2314.50	2586.38	USD	-6,797.00	
11JUL17	S 1 PD11OCT17	2315.50	2586.38	USD	-6,772.00	
11JUL17	S 4 PD11OCT17	2317.00	2586.38	USD	-26,938.00	
11JUL17	S 1 PD11OCT17	2313.00	2586.38	USD	-6,834.50	
11JUL17	S 2 PD11OCT17	2313.50	2586.38	USD	-13,644.00	
11JUL17	S 1 PD11OCT17	2314.00	2586.38	USD	-6,809.50	
11JUL17	S 2 PD11OCT17	2316.00	2586.38	USD	-13,519.00	
11JUL17	B 2 PD11OCT17	2323.50	2586.38	USD	13,144.00	
11JUL17	S 1 PD11OCT17	2332.50	2586.38	USD	-6,347.00	
11JUL17	B 2 PD11OCT17	2330.00	2586.38	USD	12,819.00	
11JUL17	B 2 PD11OCT17	2330.50	2586.38	USD	12,794.00	
11JUL17	B 2 PD11OCT17	2325.50	2586.38	USD	13,044.00	
11JUL17	B 2 PD11OCT17	2327.50	2586.38	USD	12,944.00	
11JUL17	B 1 PD11OCT17	2329.00	2586.38	USD	6,434.50	
11JUL17	B 3 PD11OCT17	2328.00	2586.38	USD	19,378.50	
11JUL17	B 5 PD11OCT17	2329.50	2586.38	USD	32,110.00	
11JUL17	B 5 PD11OCT17	2326.50	2586.38	USD	32,485.00	
11JUL17	B 2 PD11OCT17	2324.50	2586.38	USD	13,094.00	
11JUL17	S 2 PD11OCT17	2324.00	2586.38	USD	-13,119.00	
11JUL17	S 1 PD11OCT17	2321.50	2586.38	USD	-6,622.00	
11JUL17	B 4 PD11OCT17	2324.00	2586.38	USD	26,238.00	
11JUL17	S 1 PD11OCT17	2333.50	2586.38	USD	-6,322.00	
11JUL17	S 1 PD11OCT17	2335.50	2586.38	USD	-6,272.00	
11JUL17	S 1 PD11OCT17	2336.50	2586.38	USD	-6,247.00	
11JUL17	S 2 PD11OCT17	2340.00	2586.38	USD	-12,319.00	
11JUL17	S 1 PD11OCT17	2340.50	2586.38	USD	-6,147.00	
11JUL17	S 1 PD11OCT17	2341.00	2586.38	USD	-6,134.50	
11JUL17	S 1 PD11OCT17	2341.50	2586.38	USD	-6,122.00	
11JUL17	S 1 PD11OCT17	2339.00	2586.38	USD	-6,184.50	
11JUL17	S 2 PD11OCT17	2339.50	2586.38	USD	-12,344.00	
11JUL17	B 7 PD11OCT17	2331.00	2586.38	USD	44,691.50	
11JUL17	B 3 PD11OCT17	2321.00	2586.38	USD	19,903.50	
11JUL17	B 2 PD11OCT17	2320.50	2586.38	USD	13,294.00	
11JUL17	B 2 PD11OCT17	2319.50	2586.38	USD	13,344.00	
11JUL17	B 1 PD11OCT17	2318.50	2586.38	USD	6,697.00	
11JUL17	B 1 PD11OCT17	2317.00	2586.38	USD	6,734.50	
11JUL17	B 1 PD11OCT17	2304.50	2586.38	USD	7,047.00	
11JUL17	B 3 PD11OCT17	2309.00	2586.38	USD	20,803.50	
11JUL17	B 4 PD11OCT17	2307.00	2586.38	USD	27,938.00	
11JUL17	B 1 PD11OCT17	2306.00	2586.38	USD	7,009.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
11JUL17	B	3	PD11OCT17	2304.00	2586.38	USD	21,178.50	
11JUL17	B	1	PD11OCT17	2303.50	2586.38	USD	7,072.00	
11JUL17	S	1	PD11OCT17	2308.50	2586.38	USD	-6,947.00	
11JUL17	B	2	PD11OCT17	2307.50	2586.38	USD	13,944.00	
11JUL17	B	2	PD11OCT17	2306.50	2586.38	USD	13,994.00	
11JUL17	S	1	PD11OCT17	2307.50	2586.38	USD	-6,972.00	
11JUL17	S	3	PD11OCT17	2307.00	2586.38	USD	-20,953.50	
11JUL17	B	3	PD11OCT17	2308.00	2586.38	USD	20,878.50	
11JUL17	S	1	PD11OCT17	2340.00	2586.38	USD	-6,159.50	
11JUL17	B	1	PD11OCT17	2306.50	2586.38	USD	6,997.00	
12JUL17	S	21	PD11OCT17	2327.30	2586.38	USD	-136,017.00	
13JUL17	S	3	PD11OCT17	2294.05	2586.38	USD	-21,924.75	
13JUL17	S	3	PD11OCT17	2294.25	2586.38	USD	-21,909.75	
	NET	**0**	**AVG BGHT 2319.86**	**SOLD 2321.69**			**3,355.00**	**0.00**
12JUL17	S	2	PD12OCT17	2314.50	2586.62	USD	-13,606.00	
12JUL17	S	1	PD12OCT17	2319.50	2586.62	USD	-6,678.00	
12JUL17	S	1	PD12OCT17	2320.00	2586.62	USD	-6,665.50	
12JUL17	S	1	PD12OCT17	2321.00	2586.62	USD	-6,640.50	
12JUL17	S	2	PD12OCT17	2322.00	2586.62	USD	-13,231.00	
12JUL17	S	1	PD12OCT17	2326.50	2586.62	USD	-6,503.00	
12JUL17	S	1	PD12OCT17	2329.00	2586.62	USD	-6,440.50	
12JUL17	S	2	PD12OCT17	2338.50	2586.62	USD	-12,406.00	
12JUL17	S	1	PD12OCT17	2335.50	2586.62	USD	-6,278.00	
12JUL17	S	1	PD12OCT17	2337.00	2586.62	USD	-6,240.50	
12JUL17	S	2	PD12OCT17	2338.00	2586.62	USD	-12,431.00	
12JUL17	S	1	PD12OCT17	2345.50	2586.62	USD	-6,028.00	
12JUL17	B	1	PD12OCT17	2333.00	2586.62	USD	6,340.50	
12JUL17	B	2	PD12OCT17	2332.50	2586.62	USD	12,706.00	
12JUL17	B	1	PD12OCT17	2331.00	2586.62	USD	6,390.50	
12JUL17	B	1	PD12OCT17	2326.00	2586.62	USD	6,515.50	
12JUL17	S	1	PD12OCT17	2330.00	2586.62	USD	-6,415.50	
12JUL17	B	1	PD12OCT17	2330.00	2586.62	USD	6,415.50	
12JUL17	B	2	PD12OCT17	2322.50	2586.62	USD	13,206.00	
12JUL17	B	1	PD12OCT17	2320.00	2586.62	USD	6,665.50	
12JUL17	B	4	PD12OCT17	2319.50	2586.62	USD	26,712.00	
12JUL17	S	3	PD12OCT17	2323.00	2586.62	USD	-19,771.50	
12JUL17	S	2	PD12OCT17	2324.50	2586.62	USD	-13,106.00	
12JUL17	S	2	PD12OCT17	2323.50	2586.62	USD	-13,156.00	
12JUL17	S	4	PD12OCT17	2322.50	2586.62	USD	-26,412.00	
12JUL17	S	1	PD12OCT17	2331.00	2586.62	USD	-6,390.50	
12JUL17	B	2	PD12OCT17	2324.00	2586.62	USD	13,131.00	
12JUL17	B	2	PD12OCT17	2323.50	2586.62	USD	13,156.00	
12JUL17	B	2	PD12OCT17	2321.50	2586.62	USD	13,256.00	
12JUL17	B	1	PD12OCT17	2319.00	2586.62	USD	6,690.50	
12JUL17	S	1	PD12OCT17	2324.00	2586.62	USD	-6,565.50	
12JUL17	B	5	PD12OCT17	2322.00	2586.62	USD	33,077.50	
12JUL17	B	2	PD12OCT17	2321.00	2586.62	USD	13,281.00	
12JUL17	S	1	PD12OCT17	2316.00	2586.62	USD	-6,765.50	
12JUL17	S	1	PD12OCT17	2315.50	2586.62	USD	-6,778.00	
12JUL17	B	1	PD12OCT17	2324.00	2586.62	USD	6,565.50	
13JUL17	B	3	PD12OCT17	2294.33	2586.62	USD	21,921.75	
18JUL17	S	1	PD12OCT17	2273.98	2586.62	USD	-7,816.00	
24JUL17	B	2	PD12OCT17	2258.18	2586.62	USD	16,422.00	
	NET	**0**	**AVG BGHT 2316.98**	**SOLD 2324.41**			**6,128.25**	**0.00**
13JUL17	S	1	PD13OCT17	2320.50	2586.85	USD	-6,658.75	
13JUL17	B	1	PD13OCT17	2316.50	2586.85	USD	6,758.75	
13JUL17	B	1	PD13OCT17	2321.50	2586.85	USD	6,633.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
13JUL17	B 2 PD13OCT17	2322.00	2586.85	USD	13,242.50	
13JUL17	B 1 PD13OCT17	2315.00	2586.85	USD	6,796.25	
13JUL17	B 1 PD13OCT17	2313.50	2586.85	USD	6,833.75	
13JUL17	S 3 PD13OCT17	2319.50	2586.85	USD	-20,051.25	
13JUL17	S 1 PD13OCT17	2324.00	2586.85	USD	-6,571.25	
13JUL17	B 1 PD13OCT17	2327.50	2586.85	USD	6,483.75	
13JUL17	B 1 PD13OCT17	2327.00	2586.85	USD	6,496.25	
13JUL17	S 1 PD13OCT17	2329.00	2586.85	USD	-6,446.25	
13JUL17	B 1 PD13OCT17	2321.00	2586.85	USD	6,646.25	
13JUL17	S 3 PD13OCT17	2322.50	2586.85	USD	-19,826.25	
13JUL17	S 2 PD13OCT17	2323.00	2586.85	USD	-13,192.50	
13JUL17	S 6 PD13OCT17	2326.50	2586.85	USD	-39,052.50	
13JUL17	S 3 PD13OCT17	2327.00	2586.85	USD	-19,488.75	
13JUL17	S 3 PD13OCT17	2327.50	2586.85	USD	-19,451.25	
13JUL17	B 1 PD13OCT17	2318.50	2586.85	USD	6,708.75	
13JUL17	B 1 PD13OCT17	2317.00	2586.85	USD	6,746.25	
13JUL17	B 4 PD13OCT17	2316.00	2586.85	USD	27,085.00	
13JUL17	B 1 PD13OCT17	2319.00	2586.85	USD	6,696.25	
13JUL17	S 1 PD13OCT17	2322.00	2586.85	USD	-6,621.25	
13JUL17	B 6 PD13OCT17	2315.50	2586.85	USD	40,702.50	
13JUL17	B 2 PD13OCT17	2310.50	2586.85	USD	13,817.50	
13JUL17	B 1 PD13OCT17	2309.50	2586.85	USD	6,933.75	
13JUL17	S 1 PD13OCT17	2308.00	2586.85	USD	-6,971.25	
13JUL17	S 1 PD13OCT17	2308.50	2586.85	USD	-6,958.75	
13JUL17	S 1 PD13OCT17	2309.00	2586.85	USD	-6,946.25	
13JUL17	S 1 PD13OCT17	2298.50	2586.85	USD	-7,208.75	
13JUL17	S 1 PD13OCT17	2298.00	2586.85	USD	-7,221.25	
13JUL17	S 2 PD13OCT17	2299.50	2586.85	USD	-14,367.50	
13JUL17	S 1 PD13OCT17	2300.00	2586.85	USD	-7,171.25	
13JUL17	S 1 PD13OCT17	2300.50	2586.85	USD	-7,158.75	
13JUL17	S 1 PD13OCT17	2302.00	2586.85	USD	-7,121.25	
13JUL17	S 2 PD13OCT17	2304.50	2586.85	USD	-14,117.50	
13JUL17	B 1 PD13OCT17	2294.50	2586.85	USD	7,308.75	
13JUL17	B 2 PD13OCT17	2294.00	2586.85	USD	14,642.50	
13JUL17	B 3 PD13OCT17	2296.00	2586.85	USD	21,813.75	
13JUL17	S 1 PD13OCT17	2295.00	2586.85	USD	-7,296.25	
13JUL17	B 1 PD13OCT17	2291.50	2586.85	USD	7,383.75	
13JUL17	B 1 PD13OCT17	2290.00	2586.85	USD	7,421.25	
13JUL17	B 3 PD13OCT17	2293.50	2586.85	USD	22,001.25	
13JUL17	B 1 PD13OCT17	2292.50	2586.85	USD	7,358.75	
13JUL17	B 2 PD13OCT17	2291.00	2586.85	USD	14,792.50	
13JUL17	B 3 PD13OCT17	2289.50	2586.85	USD	22,301.25	
13JUL17	B 1 PD13OCT17	2288.00	2586.85	USD	7,471.25	
13JUL17	B 1 PD13OCT17	2285.00	2586.85	USD	7,546.25	
13JUL17	S 1 PD13OCT17	2288.50	2586.85	USD	-7,458.75	
13JUL17	S 2 PD13OCT17	2289.00	2586.85	USD	-14,892.50	
14JUL17	B 1 PD13OCT17	2283.50	2586.85	USD	7,583.75	
14JUL17	S 2 PD13OCT17	2281.50	2586.85	USD	-15,267.50	
14JUL17	S 1 PD13OCT17	2290.50	2586.85	USD	-7,408.75	
14JUL17	B 1 PD13OCT17	2280.00	2586.85	USD	7,671.25	
14JUL17	B 1 PD13OCT17	2276.50	2586.85	USD	7,758.75	
14JUL17	S 1 PD13OCT17	2285.00	2586.85	USD	-7,546.25	
14JUL17	B 3 PD13OCT17	2275.00	2586.85	USD	23,388.75	
14JUL17	S 1 PD13OCT17	2275.50	2586.85	USD	-7,783.75	
14JUL17	B 1 PD13OCT17	2270.50	2586.85	USD	7,908.75	
14JUL17	B 1 PD13OCT17	2269.00	2586.85	USD	7,946.25	
14JUL17	B 1 PD13OCT17	2269.50	2586.85	USD	7,933.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
14JUL17	S 1 PD13OCT17	2275.00	2586.85	USD	-7,796.25	
14JUL17	S 1 PD13OCT17	2278.50	2586.85	USD	-7,708.75	
14JUL17	S 2 PD13OCT17	2276.00	2586.85	USD	-15,542.50	
14JUL17	S 2 PD13OCT17	2287.50	2586.85	USD	-14,967.50	
14JUL17	B 1 PD13OCT17	2277.00	2586.85	USD	7,746.25	
14JUL17	S 1 PD13OCT17	2286.50	2586.85	USD	-7,508.75	
14JUL17	B 1 PD13OCT17	2288.50	2586.85	USD	7,458.75	
14JUL17	S 1 PD13OCT17	2303.50	2586.85	USD	-7,083.75	
14JUL17	S 1 PD13OCT17	2295.00	2586.85	USD	-7,296.25	
14JUL17	S 2 PD13OCT17	2301.50	2586.85	USD	-14,267.50	
14JUL17	S 1 PD13OCT17	2289.50	2586.85	USD	-7,433.75	
14JUL17	S 1 PD13OCT17	2305.50	2586.85	USD	-7,033.75	
14JUL17	S 1 PD13OCT17	2307.00	2586.85	USD	-6,996.25	
14JUL17	S 1 PD13OCT17	2316.50	2586.85	USD	-6,758.75	
14JUL17	S 1 PD13OCT17	2317.00	2586.85	USD	-6,746.25	
14JUL17	S 2 PD13OCT17	2316.00	2586.85	USD	-13,542.50	
14JUL17	B 1 PD13OCT17	2315.50	2586.85	USD	6,783.75	
18JUL17	B 1 PD13OCT17	2274.35	2586.85	USD	7,812.50	
19JUL17	B 1 PD13OCT17	2216.52	2586.85	USD	9,258.25	
24JUL17	B 5 PD13OCT17	2258.36	2586.85	USD	41,061.25	
	NET 0 AVG BGHT 2295.46 SOLD 2306.89				**17,995.75**	**0.00**
17JUL17	B 2 PD17OCT17	2319.50	2587.77	USD	13,413.50	
17JUL17	S 1 PD17OCT17	2326.00	2587.77	USD	-6,544.25	
17JUL17	S 2 PD17OCT17	2325.00	2587.77	USD	-13,138.50	
17JUL17	S 3 PD17OCT17	2326.50	2587.77	USD	-19,595.25	
17JUL17	S 2 PD17OCT17	2329.00	2587.77	USD	-12,938.50	
17JUL17	S 2 PD17OCT17	2329.50	2587.77	USD	-12,913.50	
17JUL17	S 4 PD17OCT17	2330.00	2587.77	USD	-25,777.00	
17JUL17	S 1 PD17OCT17	2330.50	2587.77	USD	-6,431.75	
17JUL17	B 1 PD17OCT17	2324.50	2587.77	USD	6,581.75	
17JUL17	B 1 PD17OCT17	2326.00	2587.77	USD	6,544.25	
17JUL17	B 1 PD17OCT17	2324.00	2587.77	USD	6,594.25	
17JUL17	B 1 PD17OCT17	2323.50	2587.77	USD	6,606.75	
17JUL17	B 5 PD17OCT17	2321.50	2587.77	USD	33,283.75	
17JUL17	B 2 PD17OCT17	2322.00	2587.77	USD	13,288.50	
17JUL17	B 1 PD17OCT17	2321.00	2587.77	USD	6,669.25	
17JUL17	B 3 PD17OCT17	2320.50	2587.77	USD	20,045.25	
17JUL17	B 3 PD17OCT17	2318.50	2587.77	USD	20,195.25	
17JUL17	B 5 PD17OCT17	2320.00	2587.77	USD	33,471.25	
17JUL17	B 1 PD17OCT17	2320.00	2587.77	USD	6,694.25	
17JUL17	S 1 PD17OCT17	2325.00	2587.77	USD	-6,569.25	
17JUL17	B 2 PD17OCT17	2317.00	2587.77	USD	13,538.50	
17JUL17	S 1 PD17OCT17	2317.00	2587.77	USD	-6,769.25	
17JUL17	B 1 PD17OCT17	2317.50	2587.77	USD	6,756.75	
17JUL17	B 1 PD17OCT17	2317.00	2587.77	USD	6,769.25	
17JUL17	B 3 PD17OCT17	2318.00	2587.77	USD	20,232.75	
17JUL17	S 2 PD17OCT17	2320.00	2587.77	USD	-13,388.50	
17JUL17	S 2 PD17OCT17	2317.50	2587.77	USD	-13,513.50	
17JUL17	S 1 PD17OCT17	2320.50	2587.77	USD	-6,681.75	
17JUL17	S 2 PD17OCT17	2321.00	2587.77	USD	-13,338.50	
17JUL17	S 1 PD17OCT17	2319.00	2587.77	USD	-6,719.25	
17JUL17	B 1 PD17OCT17	2315.00	2587.77	USD	6,819.25	
17JUL17	B 1 PD17OCT17	2313.50	2587.77	USD	6,856.75	
17JUL17	S 2 PD17OCT17	2303.50	2587.77	USD	-14,213.50	
17JUL17	S 2 PD17OCT17	2299.00	2587.77	USD	-14,438.50	
17JUL17	S 1 PD17OCT17	2296.50	2587.77	USD	-7,281.75	
17JUL17	S 1 PD17OCT17	2295.00	2587.77	USD	-7,319.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
17JUL17	S	2	PD17OCT17	2295.50	2587.77	USD	-14,613.50	
17JUL17	B	1	PD17OCT17	2293.00	2587.77	USD	7,369.25	
17JUL17	S	2	PD17OCT17	2294.00	2587.77	USD	-14,688.50	
17JUL17	S	2	PD17OCT17	2294.50	2587.77	USD	-14,663.50	
17JUL17	S	2	PD17OCT17	2297.00	2587.77	USD	-14,538.50	
17JUL17	S	2	PD17OCT17	2296.00	2587.77	USD	-14,588.50	
17JUL17	S	1	PD17OCT17	2298.50	2587.77	USD	-7,231.75	
17JUL17	S	1	PD17OCT17	2294.50	2587.77	USD	-7,331.75	
17JUL17	S	1	PD17OCT17	2295.00	2587.77	USD	-7,319.25	
24JUL17	B	8	PD17OCT17	2259.07	2587.77	USD	65,740.00	
	NET	**0**	**AVG BGHT 2308.25**	**SOLD 2312.73**			**4,923.50**	**0.00**
18JUL17	S	1	PD18OCT17	2292.00	2588.00	USD	-7,400.00	
18JUL17	B	1	PD18OCT17	2285.00	2588.00	USD	7,575.00	
18JUL17	B	1	PD18OCT17	2286.50	2588.00	USD	7,537.50	
18JUL17	B	2	PD18OCT17	2291.50	2588.00	USD	14,825.00	
18JUL17	B	1	PD18OCT17	2293.00	2588.00	USD	7,375.00	
18JUL17	S	1	PD18OCT17	2294.50	2588.00	USD	-7,337.50	
18JUL17	B	3	PD18OCT17	2290.00	2588.00	USD	22,350.00	
18JUL17	B	1	PD18OCT17	2287.50	2588.00	USD	7,512.50	
18JUL17	S	3	PD18OCT17	2284.00	2588.00	USD	-22,800.00	
18JUL17	S	2	PD18OCT17	2285.00	2588.00	USD	-15,150.00	
18JUL17	B	2	PD18OCT17	2283.50	2588.00	USD	15,225.00	
18JUL17	B	2	PD18OCT17	2281.00	2588.00	USD	15,350.00	
18JUL17	B	1	PD18OCT17	2279.00	2588.00	USD	7,725.00	
18JUL17	S	1	PD18OCT17	2282.00	2588.00	USD	-7,650.00	
18JUL17	B	1	PD18OCT17	2275.00	2588.00	USD	7,825.00	
18JUL17	B	1	PD18OCT17	2281.50	2588.00	USD	7,662.50	
18JUL17	S	1	PD18OCT17	2280.00	2588.00	USD	-7,700.00	
18JUL17	B	2	PD18OCT17	2278.50	2588.00	USD	15,475.00	
18JUL17	B	1	PD18OCT17	2270.00	2588.00	USD	7,950.00	
18JUL17	S	1	PD18OCT17	2277.50	2588.00	USD	-7,762.50	
18JUL17	B	1	PD18OCT17	2274.00	2588.00	USD	7,850.00	
18JUL17	B	1	PD18OCT17	2272.50	2588.00	USD	7,887.50	
18JUL17	B	2	PD18OCT17	2267.00	2588.00	USD	16,050.00	
18JUL17	B	1	PD18OCT17	2266.00	2588.00	USD	8,050.00	
18JUL17	B	1	PD18OCT17	2268.00	2588.00	USD	8,000.00	
18JUL17	S	3	PD18OCT17	2273.00	2588.00	USD	-23,625.00	
18JUL17	B	1	PD18OCT17	2266.00	2588.00	USD	8,050.00	
18JUL17	S	1	PD18OCT17	2276.00	2588.00	USD	-7,800.00	
18JUL17	S	3	PD18OCT17	2274.50	2588.00	USD	-23,512.50	
18JUL17	S	1	PD18OCT17	2272.00	2588.00	USD	-7,900.00	
18JUL17	S	4	PD18OCT17	2272.50	2588.00	USD	-31,550.00	
18JUL17	S	2	PD18OCT17	2273.50	2588.00	USD	-15,725.00	
18JUL17	S	1	PD18OCT17	2274.00	2588.00	USD	-7,850.00	
18JUL17	S	5	PD18OCT17	2273.00	2588.00	USD	-39,375.00	
18JUL17	S	1	PD18OCT17	2275.00	2588.00	USD	-7,825.00	
19JUL17	S	1	PD18OCT17	2217.30	2588.00	USD	-9,267.50	
24JUL17	B	6	PD18OCT17	2259.25	2588.00	USD	49,312.50	
	NET	**0**	**AVG BGHT 2276.02**	**SOLD 2275.21**			**-642.50**	**0.00**
19JUL17	B	4	PD19OCT17	2263.50	2588.00	USD	32,450.00	
19JUL17	B	1	PD19OCT17	2262.00	2588.00	USD	8,150.00	
19JUL17	S	1	PD19OCT17	2272.00	2588.00	USD	-7,900.00	
19JUL17	S	2	PD19OCT17	2269.50	2588.00	USD	-15,925.00	
19JUL17	B	1	PD19OCT17	2259.50	2588.00	USD	8,212.50	
19JUL17	B	1	PD19OCT17	2258.00	2588.00	USD	8,250.00	
19JUL17	B	1	PD19OCT17	2252.50	2588.00	USD	8,387.50	
19JUL17	B	2	PD19OCT17	2257.00	2588.00	USD	16,550.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
19JUL17	B 1 PD19OCT17	2256.50	2588.00	USD	8,287.50	
19JUL17	B 1 PD19OCT17	2255.50	2588.00	USD	8,312.50	
19JUL17	B 1 PD19OCT17	2255.00	2588.00	USD	8,325.00	
19JUL17	B 2 PD19OCT17	2254.50	2588.00	USD	16,675.00	
19JUL17	B 3 PD19OCT17	2254.00	2588.00	USD	25,050.00	
19JUL17	B 2 PD19OCT17	2253.00	2588.00	USD	16,750.00	
19JUL17	B 1 PD19OCT17	2251.00	2588.00	USD	8,425.00	
19JUL17	B 1 PD19OCT17	2249.50	2588.00	USD	8,462.50	
19JUL17	B 2 PD19OCT17	2247.00	2588.00	USD	17,050.00	
19JUL17	S 1 PD19OCT17	2245.50	2588.00	USD	-8,562.50	
19JUL17	B 1 PD19OCT17	2243.50	2588.00	USD	8,612.50	
19JUL17	B 1 PD19OCT17	2246.50	2588.00	USD	8,537.50	
19JUL17	B 5 PD19OCT17	2246.00	2588.00	USD	42,750.00	
19JUL17	B 2 PD19OCT17	2245.50	2588.00	USD	17,125.00	
19JUL17	B 1 PD19OCT17	2242.00	2588.00	USD	8,650.00	
19JUL17	B 3 PD19OCT17	2241.50	2588.00	USD	25,987.50	
19JUL17	B 3 PD19OCT17	2243.00	2588.00	USD	25,875.00	
19JUL17	B 5 PD19OCT17	2244.00	2588.00	USD	43,000.00	
19JUL17	B 3 PD19OCT17	2244.50	2588.00	USD	25,762.50	
19JUL17	S 1 PD19OCT17	2243.50	2588.00	USD	-8,612.50	
19JUL17	B 1 PD19OCT17	2240.00	2588.00	USD	8,700.00	
19JUL17	B 1 PD19OCT17	2227.50	2588.00	USD	9,012.50	
19JUL17	B 1 PD19OCT17	2226.00	2588.00	USD	9,050.00	
19JUL17	S 1 PD19OCT17	2229.00	2588.00	USD	-8,975.00	
19JUL17	S 1 PD19OCT17	2231.00	2588.00	USD	-8,925.00	
19JUL17	S 1 PD19OCT17	2220.50	2588.00	USD	-9,187.50	
19JUL17	S 1 PD19OCT17	2221.00	2588.00	USD	-9,175.00	
19JUL17	S 2 PD19OCT17	2214.50	2588.00	USD	-18,675.00	
19JUL17	S 1 PD19OCT17	2213.50	2588.00	USD	-9,362.50	
19JUL17	S 1 PD19OCT17	2217.00	2588.00	USD	-9,275.00	
19JUL17	S 1 PD19OCT17	2218.00	2588.00	USD	-9,250.00	
19JUL17	S 1 PD19OCT17	2218.50	2588.00	USD	-9,237.50	
19JUL17	S 1 PD19OCT17	2219.00	2588.00	USD	-9,225.00	
19JUL17	S 2 PD19OCT17	2216.50	2588.00	USD	-18,575.00	
19JUL17	S 1 PD19OCT17	2211.50	2588.00	USD	-9,412.50	
19JUL17	S 2 PD19OCT17	2211.00	2588.00	USD	-18,850.00	
24JUL17	S 21 PD19OCT17	2259.18	2588.00	USD	-172,630.50	
24JUL17	S 9 PD19OCT17	2259.38	2588.00	USD	-73,939.50	
	NET 0 AVG BGHT 2248.86 SOLD 2246.28				**-3,295.00**	**0.00**
20JUL17	S 1 PD20OCT17	2227.00	2588.00	USD	-9,025.00	
20JUL17	S 1 PD20OCT17	2230.00	2588.00	USD	-8,950.00	
20JUL17	S 1 PD20OCT17	2226.50	2588.00	USD	-9,037.50	
20JUL17	S 1 PD20OCT17	2222.50	2588.00	USD	-9,137.50	
20JUL17	S 3 PD20OCT17	2223.00	2588.00	USD	-27,375.00	
20JUL17	S 3 PD20OCT17	2224.50	2588.00	USD	-27,262.50	
20JUL17	S 1 PD20OCT17	2226.00	2588.00	USD	-9,050.00	
20JUL17	S 1 PD20OCT17	2225.00	2588.00	USD	-9,075.00	
20JUL17	S 1 PD20OCT17	2223.50	2588.00	USD	-9,112.50	
20JUL17	B 1 PD20OCT17	2225.50	2588.00	USD	9,062.50	
20JUL17	B 2 PD20OCT17	2225.00	2588.00	USD	18,150.00	
20JUL17	B 1 PD20OCT17	2219.50	2588.00	USD	9,212.50	
20JUL17	B 1 PD20OCT17	2218.00	2588.00	USD	9,250.00	
20JUL17	S 2 PD20OCT17	2225.50	2588.00	USD	-18,125.00	
20JUL17	S 2 PD20OCT17	2222.00	2588.00	USD	-18,300.00	
20JUL17	S 2 PD20OCT17	2228.50	2588.00	USD	-17,975.00	
20JUL17	S 2 PD20OCT17	2228.00	2588.00	USD	-18,000.00	
20JUL17	S 1 PD20OCT17	2231.50	2588.00	USD	-8,912.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
20JUL17	B 1 PD20OCT17	2227.00	2588.00	USD	9,025.00	
20JUL17	S 5 PD20OCT17	2229.00	2588.00	USD	-44,875.00	
20JUL17	S 1 PD20OCT17	2228.50	2588.00	USD	-8,987.50	
20JUL17	B 1 PD20OCT17	2223.50	2588.00	USD	9,112.50	
20JUL17	B 1 PD20OCT17	2221.50	2588.00	USD	9,162.50	
20JUL17	B 1 PD20OCT17	2221.00	2588.00	USD	9,175.00	
20JUL17	B 1 PD20OCT17	2220.50	2588.00	USD	9,187.50	
20JUL17	B 1 PD20OCT17	2210.00	2588.00	USD	9,450.00	
20JUL17	B 1 PD20OCT17	2209.50	2588.00	USD	9,462.50	
20JUL17	B 1 PD20OCT17	2208.00	2588.00	USD	9,500.00	
20JUL17	S 1 PD20OCT17	2208.00	2588.00	USD	-9,500.00	
20JUL17	S 1 PD20OCT17	2213.00	2588.00	USD	-9,375.00	
20JUL17	S 2 PD20OCT17	2212.00	2588.00	USD	-18,800.00	
20JUL17	S 1 PD20OCT17	2212.50	2588.00	USD	-9,387.50	
20JUL17	S 1 PD20OCT17	2213.50	2588.00	USD	-9,362.50	
20JUL17	S 2 PD20OCT17	2219.00	2588.00	USD	-18,450.00	
20JUL17	S 1 PD20OCT17	2219.00	2588.00	USD	-9,225.00	
21JUL17	B 1 PD20OCT17	2226.50	2588.00	USD	9,037.50	
21JUL17	B 1 PD20OCT17	2225.00	2588.00	USD	9,075.00	
21JUL17	B 1 PD20OCT17	2225.50	2588.00	USD	9,062.50	
21JUL17	S 2 PD20OCT17	2236.00	2588.00	USD	-17,600.00	
21JUL17	S 1 PD20OCT17	2237.50	2588.00	USD	-8,762.50	
21JUL17	S 1 PD20OCT17	2239.00	2588.00	USD	-8,725.00	
21JUL17	S 2 PD20OCT17	2238.50	2588.00	USD	-17,475.00	
21JUL17	S 1 PD20OCT17	2241.00	2588.00	USD	-8,675.00	
21JUL17	S 1 PD20OCT17	2241.50	2588.00	USD	-8,662.50	
21JUL17	S 2 PD20OCT17	2245.50	2588.00	USD	-17,125.00	
21JUL17	S 1 PD20OCT17	2246.50	2588.00	USD	-8,537.50	
21JUL17	S 3 PD20OCT17	2245.00	2588.00	USD	-25,725.00	
21JUL17	S 2 PD20OCT17	2236.00	2588.00	USD	-17,600.00	
21JUL17	B 1 PD20OCT17	2253.50	2588.00	USD	8,362.50	
21JUL17	B 1 PD20OCT17	2252.00	2588.00	USD	8,400.00	
21JUL17	S 1 PD20OCT17	2249.00	2588.00	USD	-8,475.00	
21JUL17	B 1 PD20OCT17	2243.00	2588.00	USD	8,625.00	
21JUL17	B 1 PD20OCT17	2240.00	2588.00	USD	8,700.00	
21JUL17	B 1 PD20OCT17	2237.00	2588.00	USD	8,775.00	
21JUL17	B 2 PD20OCT17	2236.50	2588.00	USD	17,575.00	
21JUL17	B 2 PD20OCT17	2234.50	2588.00	USD	17,675.00	
21JUL17	B 1 PD20OCT17	2235.50	2588.00	USD	8,812.50	
21JUL17	B 5 PD20OCT17	2235.00	2588.00	USD	44,125.00	
21JUL17	S 3 PD20OCT17	2240.00	2588.00	USD	-26,100.00	
21JUL17	S 1 PD20OCT17	2239.50	2588.00	USD	-8,712.50	
21JUL17	B 2 PD20OCT17	2239.00	2588.00	USD	17,450.00	
21JUL17	B 1 PD20OCT17	2236.00	2588.00	USD	8,800.00	
21JUL17	S 1 PD20OCT17	2234.50	2588.00	USD	-8,837.50	
21JUL17	B 2 PD20OCT17	2229.00	2588.00	USD	17,950.00	
21JUL17	B 1 PD20OCT17	2240.50	2588.00	USD	8,687.50	
21JUL17	B 2 PD20OCT17	2242.00	2588.00	USD	17,300.00	
21JUL17	B 1 PD20OCT17	2242.50	2588.00	USD	8,637.50	
21JUL17	B 2 PD20OCT17	2244.50	2588.00	USD	17,175.00	
21JUL17	B 1 PD20OCT17	2245.00	2588.00	USD	8,575.00	
21JUL17	B 1 PD20OCT17	2246.50	2588.00	USD	8,537.50	
21JUL17	B 2 PD20OCT17	2245.50	2588.00	USD	17,125.00	
24JUL17	B 9 PD20OCT17	2259.70	2588.00	USD	73,867.50	
25JUL17	B 4 PD20OCT17	2293.00	2588.00	USD	29,500.00	
	NET 0 AVG BGHT 2241.17 SOLD 2229.82				**-16,732.50**	**0.00**
24JUL17	B 1 PD24OCT17	2260.50	2588.00	USD	8,187.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
24JUL17	B 1 PD24OCT17	2260.00	2588.00	USD	8,200.00	
24JUL17	B 1 PD24OCT17	2255.00	2588.00	USD	8,325.00	
24JUL17	B 3 PD24OCT17	2258.50	2588.00	USD	24,712.50	
24JUL17	B 1 PD24OCT17	2252.50	2588.00	USD	8,387.50	
24JUL17	B 2 PD24OCT17	2262.00	2588.00	USD	16,300.00	
24JUL17	B 4 PD24OCT17	2257.50	2588.00	USD	33,050.00	
24JUL17	B 3 PD24OCT17	2259.00	2588.00	USD	24,675.00	
24JUL17	B 3 PD24OCT17	2259.50	2588.00	USD	24,637.50	
24JUL17	B 3 PD24OCT17	2258.00	2588.00	USD	24,750.00	
24JUL17	B 1 PD24OCT17	2249.00	2588.00	USD	8,475.00	
24JUL17	B 1 PD24OCT17	2252.00	2588.00	USD	8,400.00	
24JUL17	S 1 PD24OCT17	2255.50	2588.00	USD	-8,312.50	
24JUL17	S 2 PD24OCT17	2264.50	2588.00	USD	-16,175.00	
24JUL17	S 1 PD24OCT17	2262.00	2588.00	USD	-8,150.00	
24JUL17	S 2 PD24OCT17	2263.00	2588.00	USD	-16,250.00	
24JUL17	S 1 PD24OCT17	2263.50	2588.00	USD	-8,112.50	
24JUL17	S 2 PD24OCT17	2259.50	2588.00	USD	-16,425.00	
24JUL17	S 1 PD24OCT17	2260.50	2588.00	USD	-8,187.50	
24JUL17	S 2 PD24OCT17	2256.50	2588.00	USD	-16,575.00	
24JUL17	S 3 PD24OCT17	2249.50	2588.00	USD	-25,387.50	
24JUL17	S 1 PD24OCT17	2250.50	2588.00	USD	-8,437.50	
24JUL17	S 1 PD24OCT17	2251.00	2588.00	USD	-8,425.00	
24JUL17	S 1 PD24OCT17	2252.00	2588.00	USD	-8,400.00	
24JUL17	S 3 PD24OCT17	2256.00	2588.00	USD	-24,900.00	
24JUL17	S 3 PD24OCT17	2255.00	2588.00	USD	-24,975.00	
24JUL17	S 2 PD24OCT17	2252.50	2588.00	USD	-16,775.00	
24JUL17	B 1 PD24OCT17	2247.50	2588.00	USD	8,512.50	
24JUL17	B 2 PD24OCT17	2257.00	2588.00	USD	16,550.00	
25JUL17	S 4 PD24OCT17	2293.00	2588.00	USD	-29,500.00	
26JUL17	B 3 PD24OCT17	2328.00	2588.00	USD	19,500.00	
	NET 0 AVG BGHT 2264.45 SOLD 2261.35				**-2,325.00**	**0.00**
25JUL17	S 1 PD25OCT17	2274.00	2588.00	USD	-7,850.00	
25JUL17	S 2 PD25OCT17	2273.00	2588.00	USD	-15,750.00	
25JUL17	S 1 PD25OCT17	2272.50	2588.00	USD	-7,887.50	
25JUL17	B 1 PD25OCT17	2273.00	2588.00	USD	7,875.00	
25JUL17	B 2 PD25OCT17	2275.00	2588.00	USD	15,650.00	
25JUL17	S 2 PD25OCT17	2280.50	2588.00	USD	-15,375.00	
25JUL17	S 2 PD25OCT17	2282.50	2588.00	USD	-15,275.00	
25JUL17	S 1 PD25OCT17	2281.00	2588.00	USD	-7,675.00	
25JUL17	S 1 PD25OCT17	2281.50	2588.00	USD	-7,662.50	
25JUL17	S 2 PD25OCT17	2284.50	2588.00	USD	-15,175.00	
25JUL17	B 1 PD25OCT17	2283.00	2588.00	USD	7,625.00	
25JUL17	S 3 PD25OCT17	2285.00	2588.00	USD	-22,725.00	
25JUL17	S 1 PD25OCT17	2285.50	2588.00	USD	-7,562.50	
25JUL17	S 2 PD25OCT17	2286.00	2588.00	USD	-15,100.00	
25JUL17	S 1 PD25OCT17	2286.50	2588.00	USD	-7,537.50	
25JUL17	S 1 PD25OCT17	2287.00	2588.00	USD	-7,525.00	
25JUL17	S 1 PD25OCT17	2287.50	2588.00	USD	-7,512.50	
25JUL17	S 1 PD25OCT17	2290.00	2588.00	USD	-7,450.00	
25JUL17	S 1 PD25OCT17	2291.50	2588.00	USD	-7,412.50	
25JUL17	S 1 PD25OCT17	2293.50	2588.00	USD	-7,362.50	
25JUL17	S 1 PD25OCT17	2294.00	2588.00	USD	-7,350.00	
25JUL17	S 1 PD25OCT17	2290.50	2588.00	USD	-7,437.50	
25JUL17	B 1 PD25OCT17	2286.50	2588.00	USD	7,537.50	
25JUL17	B 1 PD25OCT17	2291.00	2588.00	USD	7,425.00	
25JUL17	S 2 PD25OCT17	2295.50	2588.00	USD	-14,625.00	
25JUL17	S 3 PD25OCT17	2297.00	2588.00	USD	-21,825.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
25JUL17	S 3 PD25OCT17	2296.50	2588.00	USD	-21,862.50	
25JUL17	B 1 PD25OCT17	2296.50	2588.00	USD	7,287.50	
25JUL17	B 1 PD25OCT17	2297.00	2588.00	USD	7,275.00	
25JUL17	B 1 PD25OCT17	2294.00	2588.00	USD	7,350.00	
25JUL17	B 1 PD25OCT17	2295.50	2588.00	USD	7,312.50	
25JUL17	S 2 PD25OCT17	2293.00	2588.00	USD	-14,750.00	
25JUL17	S 1 PD25OCT17	2293.50	2588.00	USD	-7,362.50	
25JUL17	S 1 PD25OCT17	2272.00	2588.00	USD	-7,900.00	
25JUL17	B 1 PD25OCT17	2296.00	2588.00	USD	7,300.00	
25JUL17	S 2 PD25OCT17	2297.50	2588.00	USD	-14,525.00	
25JUL17	B 3 PD25OCT17	2293.50	2588.00	USD	22,087.50	
25JUL17	B 1 PD25OCT17	2292.50	2588.00	USD	7,387.50	
25JUL17	B 1 PD25OCT17	2293.00	2588.00	USD	7,375.00	
25JUL17	B 5 PD25OCT17	2292.00	2588.00	USD	37,000.00	
25JUL17	S 1 PD25OCT17	2291.00	2588.00	USD	-7,425.00	
25JUL17	B 2 PD25OCT17	2298.00	2588.00	USD	14,500.00	
25JUL17	B 2 PD25OCT17	2301.00	2588.00	USD	14,350.00	
25JUL17	B 1 PD25OCT17	2308.50	2588.00	USD	6,987.50	
25JUL17	B 1 PD25OCT17	2307.00	2588.00	USD	7,025.00	
25JUL17	B 9 PD25OCT17	2302.00	2588.00	USD	64,350.00	
25JUL17	B 1 PD25OCT17	2303.50	2588.00	USD	7,112.50	
25JUL17	B 1 PD25OCT17	2305.00	2588.00	USD	7,075.00	
25JUL17	B 1 PD25OCT17	2296.00	2588.00	USD	7,300.00	
25JUL17	B 1 PD25OCT17	2302.00	2588.00	USD	7,150.00	
25JUL17	S 1 PD25OCT17	2300.00	2588.00	USD	-7,200.00	
25JUL17	S 1 PD25OCT17	2311.50	2588.00	USD	-6,912.50	
25JUL17	S 1 PD25OCT17	2313.00	2588.00	USD	-6,875.00	
25JUL17	S 1 PD25OCT17	2316.00	2588.00	USD	-6,800.00	
25JUL17	S 3 PD25OCT17	2317.50	2588.00	USD	-20,287.50	
25JUL17	B 1 PD25OCT17	2311.50	2588.00	USD	6,912.50	
25JUL17	B 1 PD25OCT17	2313.50	2588.00	USD	6,862.50	
25JUL17	B 1 PD25OCT17	2312.50	2588.00	USD	6,887.50	
25JUL17	B 5 PD25OCT17	2314.00	2588.00	USD	34,250.00	
25JUL17	B 1 PD25OCT17	2313.00	2588.00	USD	6,875.00	
25JUL17	B 1 PD25OCT17	2315.00	2588.00	USD	6,825.00	
25JUL17	B 1 PD25OCT17	2315.50	2588.00	USD	6,812.50	
25JUL17	B 1 PD25OCT17	2313.50	2588.00	USD	6,862.50	
25JUL17	B 1 PD25OCT17	2322.50	2588.00	USD	6,637.50	
26JUL17	S 3 PD25OCT17	2327.92	2588.00	USD	-19,506.00	
01AUG17	S 2 PD25OCT17	2337.25	2588.00	USD	-12,537.50	
	NET 0 AVG BGHT 2300.25 SOLD 2295.16				**-6,756.00**	**0.00**
26JUL17	B 1 PD26OCT17	2329.50	2588.00	USD	6,462.50	
26JUL17	B 1 PD26OCT17	2330.00	2588.00	USD	6,450.00	
26JUL17	B 3 PD26OCT17	2332.50	2588.00	USD	19,162.50	
26JUL17	B 3 PD26OCT17	2333.00	2588.00	USD	19,125.00	
26JUL17	B 1 PD26OCT17	2337.50	2588.00	USD	6,262.50	
26JUL17	S 2 PD26OCT17	2345.00	2588.00	USD	-12,150.00	
26JUL17	B 1 PD26OCT17	2340.00	2588.00	USD	6,200.00	
26JUL17	B 1 PD26OCT17	2339.50	2588.00	USD	6,212.50	
26JUL17	B 2 PD26OCT17	2325.00	2588.00	USD	13,150.00	
26JUL17	B 1 PD26OCT17	2323.50	2588.00	USD	6,612.50	
26JUL17	S 1 PD26OCT17	2327.50	2588.00	USD	-6,512.50	
26JUL17	S 1 PD26OCT17	2328.00	2588.00	USD	-6,500.00	
26JUL17	S 1 PD26OCT17	2329.00	2588.00	USD	-6,475.00	
26JUL17	B 1 PD26OCT17	2321.50	2588.00	USD	6,662.50	
26JUL17	S 2 PD26OCT17	2318.00	2588.00	USD	-13,500.00	
26JUL17	S 1 PD26OCT17	2315.50	2588.00	USD	-6,812.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
26JUL17	S 1 PD26OCT17	2316.00	2588.00	USD	-6,800.00	
26JUL17	S 2 PD26OCT17	2317.00	2588.00	USD	-13,550.00	
26JUL17	S 1 PD26OCT17	2317.50	2588.00	USD	-6,762.50	
26JUL17	S 2 PD26OCT17	2318.50	2588.00	USD	-13,475.00	
26JUL17	S 3 PD26OCT17	2313.50	2588.00	USD	-20,587.50	
26JUL17	S 1 PD26OCT17	2314.00	2588.00	USD	-6,850.00	
26JUL17	S 2 PD26OCT17	2316.50	2588.00	USD	-13,575.00	
26JUL17	S 1 PD26OCT17	2321.50	2588.00	USD	-6,662.50	
26JUL17	S 1 PD26OCT17	2322.50	2588.00	USD	-6,637.50	
26JUL17	B 1 PD26OCT17	2319.50	2588.00	USD	6,712.50	
26JUL17	S 1 PD26OCT17	2320.00	2588.00	USD	-6,700.00	
26JUL17	B 2 PD26OCT17	2314.50	2588.00	USD	13,675.00	
26JUL17	S 1 PD26OCT17	2319.50	2588.00	USD	-6,712.50	
26JUL17	B 1 PD26OCT17	2318.50	2588.00	USD	6,737.50	
26JUL17	S 1 PD26OCT17	2312.50	2588.00	USD	-6,887.50	
26JUL17	B 1 PD26OCT17	2313.00	2588.00	USD	6,875.00	
26JUL17	B 2 PD26OCT17	2312.50	2588.00	USD	13,775.00	
26JUL17	B 4 PD26OCT17	2312.00	2588.00	USD	27,600.00	
26JUL17	S 1 PD26OCT17	2319.00	2588.00	USD	-6,725.00	
26JUL17	B 1 PD26OCT17	2311.00	2588.00	USD	6,925.00	
26JUL17	B 1 PD26OCT17	2305.50	2588.00	USD	7,062.50	
26JUL17	B 2 PD26OCT17	2305.00	2588.00	USD	14,150.00	
26JUL17	S 1 PD26OCT17	2336.00	2588.00	USD	-6,300.00	
26JUL17	S 2 PD26OCT17	2332.00	2588.00	USD	-12,800.00	
26JUL17	S 1 PD26OCT17	2332.50	2588.00	USD	-6,387.50	
26JUL17	S 2 PD26OCT17	2335.00	2588.00	USD	-12,650.00	
26JUL17	S 2 PD26OCT17	2335.50	2588.00	USD	-12,625.00	
26JUL17	B 5 PD26OCT17	2332.00	2588.00	USD	32,000.00	
26JUL17	B 1 PD26OCT17	2320.00	2588.00	USD	6,700.00	
26JUL17	B 1 PD26OCT17	2321.00	2588.00	USD	6,675.00	
26JUL17	B 3 PD26OCT17	2315.00	2588.00	USD	20,475.00	
26JUL17	B 1 PD26OCT17	2308.50	2588.00	USD	6,987.50	
26JUL17	B 1 PD26OCT17	2311.00	2588.00	USD	6,925.00	
26JUL17	S 1 PD26OCT17	2311.00	2588.00	USD	-6,925.00	
26JUL17	B 2 PD26OCT17	2310.50	2588.00	USD	13,875.00	
26JUL17	B 1 PD26OCT17	2310.00	2588.00	USD	6,950.00	
26JUL17	B 1 PD26OCT17	2307.50	2588.00	USD	7,012.50	
01AUG17	S 11 PD26OCT17	2337.36	2588.00	USD	-68,926.00	
	NET 0 AVG BGHT 2320.68 SOLD 2326.71				**6,924.00**	**0.00**
27JUL17	S 2 PD27OCT17	2325.50	2588.00	USD	-13,125.00	
27JUL17	S 4 PD27OCT17	2326.50	2588.00	USD	-26,150.00	
27JUL17	S 6 PD27OCT17	2326.00	2588.00	USD	-39,300.00	
27JUL17	S 1 PD27OCT17	2330.00	2588.00	USD	-6,450.00	
27JUL17	B 3 PD27OCT17	2330.50	2588.00	USD	19,312.50	
27JUL17	B 1 PD27OCT17	2331.50	2588.00	USD	6,412.50	
27JUL17	B 2 PD27OCT17	2331.00	2588.00	USD	12,850.00	
27JUL17	S 1 PD27OCT17	2332.00	2588.00	USD	-6,400.00	
27JUL17	S 1 PD27OCT17	2332.50	2588.00	USD	-6,387.50	
27JUL17	S 1 PD27OCT17	2331.50	2588.00	USD	-6,412.50	
27JUL17	S 1 PD27OCT17	2328.50	2588.00	USD	-6,487.50	
27JUL17	S 1 PD27OCT17	2333.00	2588.00	USD	-6,375.00	
27JUL17	S 2 PD27OCT17	2333.50	2588.00	USD	-12,725.00	
27JUL17	B 1 PD27OCT17	2325.50	2588.00	USD	6,562.50	
27JUL17	B 1 PD27OCT17	2324.00	2588.00	USD	6,600.00	
27JUL17	B 1 PD27OCT17	2320.00	2588.00	USD	6,700.00	
27JUL17	B 1 PD27OCT17	2321.50	2588.00	USD	6,662.50	
27JUL17	S 1 PD27OCT17	2318.00	2588.00	USD	-6,750.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
27JUL17	S 1 PD27OCT17	2325.00	2588.00	USD	-6,575.00	
27JUL17	S 1 PD27OCT17	2321.50	2588.00	USD	-6,662.50	
27JUL17	S 1 PD27OCT17	2313.50	2588.00	USD	-6,862.50	
27JUL17	B 1 PD27OCT17	2299.50	2588.00	USD	7,212.50	
27JUL17	S 1 PD27OCT17	2313.00	2588.00	USD	-6,875.00	
27JUL17	S 1 PD27OCT17	2305.50	2588.00	USD	-7,062.50	
27JUL17	B 1 PD27OCT17	2303.00	2588.00	USD	7,125.00	
27JUL17	B 1 PD27OCT17	2303.50	2588.00	USD	7,112.50	
28JUL17	S 3 PD27OCT17	2295.00	2588.00	USD	-21,975.00	
28JUL17	B 1 PD27OCT17	2297.50	2588.00	USD	7,262.50	
28JUL17	B 3 PD27OCT17	2293.50	2588.00	USD	22,087.50	
28JUL17	S 1 PD27OCT17	2299.00	2588.00	USD	-7,225.00	
28JUL17	S 1 PD27OCT17	2297.00	2588.00	USD	-7,275.00	
28JUL17	S 1 PD27OCT17	2301.00	2588.00	USD	-7,175.00	
28JUL17	S 1 PD27OCT17	2302.50	2588.00	USD	-7,137.50	
28JUL17	S 1 PD27OCT17	2303.50	2588.00	USD	-7,112.50	
28JUL17	S 2 PD27OCT17	2304.50	2588.00	USD	-14,175.00	
28JUL17	B 1 PD27OCT17	2296.00	2588.00	USD	7,300.00	
28JUL17	S 1 PD27OCT17	2298.00	2588.00	USD	-7,250.00	
28JUL17	B 1 PD27OCT17	2293.00	2588.00	USD	7,375.00	
28JUL17	B 1 PD27OCT17	2292.00	2588.00	USD	7,400.00	
28JUL17	B 1 PD27OCT17	2291.00	2588.00	USD	7,425.00	
28JUL17	S 1 PD27OCT17	2302.00	2588.00	USD	-7,150.00	
28JUL17	S 1 PD27OCT17	2303.00	2588.00	USD	-7,125.00	
28JUL17	S 1 PD27OCT17	2296.00	2588.00	USD	-7,300.00	
28JUL17	B 1 PD27OCT17	2291.00	2588.00	USD	7,425.00	
28JUL17	B 1 PD27OCT17	2292.00	2588.00	USD	7,400.00	
28JUL17	S 1 PD27OCT17	2326.50	2588.00	USD	-6,537.50	
28JUL17	S 1 PD27OCT17	2327.50	2588.00	USD	-6,512.50	
28JUL17	B 1 PD27OCT17	2321.50	2588.00	USD	6,662.50	
28JUL17	S 1 PD27OCT17	2316.00	2588.00	USD	-6,800.00	
28JUL17	B 1 PD27OCT17	2317.00	2588.00	USD	6,775.00	
28JUL17	B 1 PD27OCT17	2316.00	2588.00	USD	6,800.00	
28JUL17	B 2 PD27OCT17	2315.00	2588.00	USD	13,650.00	
28JUL17	B 1 PD27OCT17	2314.00	2588.00	USD	6,850.00	
28JUL17	S 1 PD27OCT17	2322.50	2588.00	USD	-6,637.50	
28JUL17	B 2 PD27OCT17	2328.00	2588.00	USD	13,000.00	
01AUG17	B 13 PD27OCT17	2337.66	2588.00	USD	81,360.50	
	NET 0 AVG BGHT 2319.52 SOLD 2317.10				**-2,664.50**	**0.00**
31JUL17	S 1 PD31OCT17	2340.00	2588.00	USD	-6,200.00	
31JUL17	S 1 PD31OCT17	2330.50	2588.00	USD	-6,437.50	
31JUL17	B 1 PD31OCT17	2326.00	2588.00	USD	6,550.00	
31JUL17	B 1 PD31OCT17	2324.00	2588.00	USD	6,600.00	
31JUL17	S 1 PD31OCT17	2332.50	2588.00	USD	-6,387.50	
31JUL17	S 1 PD31OCT17	2326.00	2588.00	USD	-6,550.00	
31JUL17	S 1 PD31OCT17	2324.00	2588.00	USD	-6,600.00	
31JUL17	S 2 PD31OCT17	2323.50	2588.00	USD	-13,225.00	
31JUL17	S 1 PD31OCT17	2321.00	2588.00	USD	-6,675.00	
31JUL17	S 1 PD31OCT17	2321.50	2588.00	USD	-6,662.50	
31JUL17	S 1 PD31OCT17	2325.00	2588.00	USD	-6,575.00	
31JUL17	S 1 PD31OCT17	2331.50	2588.00	USD	-6,412.50	
31JUL17	S 1 PD31OCT17	2336.50	2588.00	USD	-6,287.50	
31JUL17	S 1 PD31OCT17	2343.50	2588.00	USD	-6,112.50	
31JUL17	B 1 PD31OCT17	2331.50	2588.00	USD	6,412.50	
31JUL17	B 1 PD31OCT17	2331.00	2588.00	USD	6,425.00	
31JUL17	B 3 PD31OCT17	2330.00	2588.00	USD	19,350.00	
31JUL17	S 1 PD31OCT17	2336.00	2588.00	USD	-6,300.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
31JUL17	S	2	PD31OCT17	2329.50	2588.00	USD	-12,925.00	
31JUL17	S	2	PD31OCT17	2330.00	2588.00	USD	-12,900.00	
31JUL17	S	4	PD31OCT17	2329.00	2588.00	USD	-25,900.00	
03AUG17	B	15	PD31OCT17	2361.00	2588.00	USD	85,125.00	
	NET	**0**	**AVG BGHT 2350.80**	**SOLD 2329.55**			**-11,687.50**	**0.00**
01AUG17	B	1	PD01NOV17	2314.00	2588.00	USD	6,850.00	
01AUG17	B	5	PD01NOV17	2314.50	2588.00	USD	34,187.50	
01AUG17	B	2	PD01NOV17	2313.00	2588.00	USD	13,750.00	
01AUG17	B	1	PD01NOV17	2312.50	2588.00	USD	6,887.50	
01AUG17	B	1	PD01NOV17	2309.50	2588.00	USD	6,962.50	
01AUG17	B	2	PD01NOV17	2305.00	2588.00	USD	14,150.00	
01AUG17	B	2	PD01NOV17	2304.00	2588.00	USD	14,200.00	
01AUG17	B	1	PD01NOV17	2303.50	2588.00	USD	7,112.50	
01AUG17	S	1	PD01NOV17	2311.00	2588.00	USD	-6,925.00	
01AUG17	S	1	PD01NOV17	2312.50	2588.00	USD	-6,887.50	
01AUG17	S	1	PD01NOV17	2317.50	2588.00	USD	-6,762.50	
01AUG17	S	1	PD01NOV17	2318.50	2588.00	USD	-6,737.50	
01AUG17	S	1	PD01NOV17	2320.00	2588.00	USD	-6,700.00	
01AUG17	S	1	PD01NOV17	2321.50	2588.00	USD	-6,662.50	
01AUG17	S	1	PD01NOV17	2322.50	2588.00	USD	-6,637.50	
01AUG17	S	2	PD01NOV17	2310.00	2588.00	USD	-13,900.00	
01AUG17	B	1	PD01NOV17	2315.00	2588.00	USD	6,825.00	
01AUG17	S	2	PD01NOV17	2320.50	2588.00	USD	-13,375.00	
01AUG17	S	1	PD01NOV17	2321.00	2588.00	USD	-6,675.00	
01AUG17	S	1	PD01NOV17	2323.00	2588.00	USD	-6,625.00	
01AUG17	B	1	PD01NOV17	2322.50	2588.00	USD	6,637.50	
01AUG17	B	1	PD01NOV17	2327.00	2588.00	USD	6,525.00	
01AUG17	S	1	PD01NOV17	2331.00	2588.00	USD	-6,425.00	
01AUG17	S	1	PD01NOV17	2332.00	2588.00	USD	-6,400.00	
01AUG17	S	1	PD01NOV17	2334.50	2588.00	USD	-6,337.50	
01AUG17	S	2	PD01NOV17	2337.50	2588.00	USD	-12,525.00	
01AUG17	B	3	PD01NOV17	2338.00	2588.00	USD	18,750.00	
01AUG17	B	1	PD01NOV17	2338.50	2588.00	USD	6,237.50	
01AUG17	B	2	PD01NOV17	2334.00	2588.00	USD	12,700.00	
01AUG17	B	4	PD01NOV17	2335.00	2588.00	USD	25,300.00	
01AUG17	B	2	PD01NOV17	2334.50	2588.00	USD	12,675.00	
01AUG17	B	3	PD01NOV17	2336.00	2588.00	USD	18,900.00	
03AUG17	S	15	PD01NOV17	2360.63	2588.00	USD	-85,263.75	
	NET	**0**	**AVG BGHT 2322.97**	**SOLD 2339.71**			**13,811.25**	**0.00**
02AUG17	S	1	PD02NOV17	2331.50	2588.00	USD	-6,412.50	
02AUG17	S	3	PD02NOV17	2338.50	2588.00	USD	-18,712.50	
02AUG17	B	1	PD02NOV17	2339.50	2588.00	USD	6,212.50	
02AUG17	B	2	PD02NOV17	2339.00	2588.00	USD	12,450.00	
02AUG17	B	1	PD02NOV17	2338.00	2588.00	USD	6,250.00	
02AUG17	S	2	PD02NOV17	2334.50	2588.00	USD	-12,675.00	
02AUG17	S	2	PD02NOV17	2341.50	2588.00	USD	-12,325.00	
02AUG17	B	1	PD02NOV17	2341.50	2588.00	USD	6,162.50	
02AUG17	B	2	PD02NOV17	2349.50	2588.00	USD	11,925.00	
02AUG17	S	1	PD02NOV17	2348.50	2588.00	USD	-5,987.50	
02AUG17	S	1	PD02NOV17	2349.50	2588.00	USD	-5,962.50	
02AUG17	S	2	PD02NOV17	2350.50	2588.00	USD	-11,875.00	
02AUG17	S	2	PD02NOV17	2355.00	2588.00	USD	-11,650.00	
02AUG17	S	2	PD02NOV17	2360.50	2588.00	USD	-11,375.00	
02AUG17	S	1	PD02NOV17	2361.00	2588.00	USD	-5,675.00	
02AUG17	S	1	PD02NOV17	2362.50	2588.00	USD	-5,637.50	
02AUG17	B	1	PD02NOV17	2346.00	2588.00	USD	6,050.00	
02AUG17	B	1	PD02NOV17	2345.50	2588.00	USD	6,062.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
02AUG17	B 1 PD02NOV17	2348.50	2588.00	USD	5,987.50	
02AUG17	B 1 PD02NOV17	2348.00	2588.00	USD	6,000.00	
02AUG17	S 1 PD02NOV17	2363.50	2588.00	USD	-5,612.50	
02AUG17	S 2 PD02NOV17	2350.00	2588.00	USD	-11,900.00	
02AUG17	B 2 PD02NOV17	2352.50	2588.00	USD	11,775.00	
02AUG17	B 1 PD02NOV17	2354.50	2588.00	USD	5,837.50	
02AUG17	B 1 PD02NOV17	2354.00	2588.00	USD	5,850.00	
02AUG17	S 1 PD02NOV17	2362.00	2588.00	USD	-5,650.00	
02AUG17	B 2 PD02NOV17	2356.00	2588.00	USD	11,600.00	
02AUG17	B 2 PD02NOV17	2353.50	2588.00	USD	11,725.00	
02AUG17	B 1 PD02NOV17	2360.50	2588.00	USD	5,687.50	
07AUG17	B 1 PD02NOV17	2360.13	2588.00	USD	5,696.75	
10AUG17	B 1 PD02NOV17	2364.26	2588.00	USD	5,593.50	
	NET 0 AVG BGHT 2350.06 SOLD 2349.00				**-584.75**	**0.00**
03AUG17	S 1 PD03NOV17	2376.00	2588.00	USD	-5,300.00	
03AUG17	B 4 PD03NOV17	2373.50	2588.00	USD	21,450.00	
03AUG17	B 1 PD03NOV17	2372.50	2588.00	USD	5,387.50	
03AUG17	B 1 PD03NOV17	2371.50	2588.00	USD	5,412.50	
03AUG17	B 1 PD03NOV17	2370.50	2588.00	USD	5,437.50	
03AUG17	B 1 PD03NOV17	2366.00	2588.00	USD	5,550.00	
03AUG17	B 1 PD03NOV17	2365.50	2588.00	USD	5,562.50	
03AUG17	S 3 PD03NOV17	2369.00	2588.00	USD	-16,425.00	
03AUG17	S 1 PD03NOV17	2369.50	2588.00	USD	-5,462.50	
03AUG17	B 1 PD03NOV17	2361.50	2588.00	USD	5,662.50	
03AUG17	S 1 PD03NOV17	2363.00	2588.00	USD	-5,625.00	
03AUG17	B 1 PD03NOV17	2358.00	2588.00	USD	5,750.00	
03AUG17	B 2 PD03NOV17	2356.50	2588.00	USD	11,575.00	
03AUG17	S 1 PD03NOV17	2362.00	2588.00	USD	-5,650.00	
03AUG17	S 2 PD03NOV17	2364.00	2588.00	USD	-11,200.00	
03AUG17	B 1 PD03NOV17	2365.00	2588.00	USD	5,575.00	
03AUG17	S 7 PD03NOV17	2368.50	2588.00	USD	-38,412.50	
03AUG17	B 1 PD03NOV17	2367.00	2588.00	USD	5,525.00	
03AUG17	B 1 PD03NOV17	2364.50	2588.00	USD	5,587.50	
03AUG17	B 1 PD03NOV17	2364.00	2588.00	USD	5,600.00	
03AUG17	B 1 PD03NOV17	2361.00	2588.00	USD	5,675.00	
03AUG17	B 3 PD03NOV17	2364.00	2588.00	USD	16,800.00	
03AUG17	B 1 PD03NOV17	2363.50	2588.00	USD	5,612.50	
03AUG17	B 4 PD03NOV17	2360.50	2588.00	USD	22,750.00	
03AUG17	S 1 PD03NOV17	2361.00	2588.00	USD	-5,675.00	
03AUG17	B 1 PD03NOV17	2349.00	2588.00	USD	5,975.00	
03AUG17	B 1 PD03NOV17	2347.00	2588.00	USD	6,025.00	
03AUG17	S 1 PD03NOV17	2353.50	2588.00	USD	-5,862.50	
03AUG17	S 2 PD03NOV17	2356.00	2588.00	USD	-11,600.00	
03AUG17	B 1 PD03NOV17	2356.00	2588.00	USD	5,800.00	
03AUG17	S 4 PD03NOV17	2365.50	2588.00	USD	-22,250.00	
03AUG17	S 4 PD03NOV17	2364.50	2588.00	USD	-22,350.00	
03AUG17	S 4 PD03NOV17	2365.00	2588.00	USD	-22,300.00	
03AUG17	B 1 PD03NOV17	2363.00	2588.00	USD	5,625.00	
03AUG17	B 1 PD03NOV17	2363.50	2588.00	USD	5,612.50	
04AUG17	B 2 PD03NOV17	2369.50	2588.00	USD	10,925.00	
04AUG17	B 1 PD03NOV17	2368.00	2588.00	USD	5,500.00	
04AUG17	B 1 PD03NOV17	2366.00	2588.00	USD	5,550.00	
04AUG17	S 2 PD03NOV17	2374.00	2588.00	USD	-10,700.00	
04AUG17	S 2 PD03NOV17	2375.00	2588.00	USD	-10,650.00	
04AUG17	S 1 PD03NOV17	2373.50	2588.00	USD	-5,362.50	
04AUG17	S 1 PD03NOV17	2374.50	2588.00	USD	-5,337.50	
04AUG17	S 2 PD03NOV17	2371.50	2588.00	USD	-10,825.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
04AUG17	S 2 PD03NOV17	2369.50	2588.00	USD	-10,925.00	
04AUG17	S 2 PD03NOV17	2372.50	2588.00	USD	-10,775.00	
04AUG17	S 1 PD03NOV17	2373.00	2588.00	USD	-5,375.00	
04AUG17	S 4 PD03NOV17	2372.00	2588.00	USD	-21,600.00	
04AUG17	B 1 PD03NOV17	2365.50	2588.00	USD	5,562.50	
04AUG17	B 1 PD03NOV17	2367.50	2588.00	USD	5,512.50	
04AUG17	S 1 PD03NOV17	2367.50	2588.00	USD	-5,512.50	
04AUG17	B 5 PD03NOV17	2366.50	2588.00	USD	27,687.50	
04AUG17	B 1 PD03NOV17	2363.00	2588.00	USD	5,625.00	
04AUG17	S 1 PD03NOV17	2369.00	2588.00	USD	-5,475.00	
04AUG17	S 4 PD03NOV17	2371.00	2588.00	USD	-21,700.00	
04AUG17	B 1 PD03NOV17	2357.00	2588.00	USD	5,775.00	
04AUG17	B 2 PD03NOV17	2356.50	2588.00	USD	11,575.00	
04AUG17	B 1 PD03NOV17	2356.00	2588.00	USD	5,800.00	
04AUG17	B 2 PD03NOV17	2355.50	2588.00	USD	11,625.00	
04AUG17	B 3 PD03NOV17	2355.00	2588.00	USD	17,475.00	
04AUG17	B 3 PD03NOV17	2351.00	2588.00	USD	17,775.00	
04AUG17	B 1 PD03NOV17	2350.00	2588.00	USD	5,950.00	
04AUG17	B 2 PD03NOV17	2352.50	2588.00	USD	11,775.00	
04AUG17	S 1 PD03NOV17	2360.00	2588.00	USD	-5,700.00	
04AUG17	S 1 PD03NOV17	2361.50	2588.00	USD	-5,662.50	
04AUG17	S 1 PD03NOV17	2358.50	2588.00	USD	-5,737.50	
04AUG17	S 3 PD03NOV17	2361.00	2588.00	USD	-17,025.00	
04AUG17	S 2 PD03NOV17	2362.50	2588.00	USD	-11,275.00	
04AUG17	S 1 PD03NOV17	2363.50	2588.00	USD	-5,612.50	
04AUG17	S 1 PD03NOV17	2367.00	2588.00	USD	-5,525.00	
04AUG17	S 1 PD03NOV17	2368.00	2588.00	USD	-5,500.00	
04AUG17	B 1 PD03NOV17	2360.50	2588.00	USD	5,687.50	
04AUG17	B 2 PD03NOV17	2359.50	2588.00	USD	11,425.00	
04AUG17	B 2 PD03NOV17	2358.50	2588.00	USD	11,475.00	
04AUG17	B 1 PD03NOV17	2357.50	2588.00	USD	5,762.50	
04AUG17	B 3 PD03NOV17	2353.50	2588.00	USD	17,587.50	
04AUG17	B 1 PD03NOV17	2354.00	2588.00	USD	5,850.00	
04AUG17	S 1 PD03NOV17	2359.00	2588.00	USD	-5,725.00	
07AUG17	S 1 PD03NOV17	2360.11	2588.00	USD	-5,697.25	
	NET 0 AVG BGHT 2361.03 SOLD 2366.94				**10,040.25**	**0.00**
07AUG17	S 1 PD07NOV17	2358.00	2588.00	USD	-5,750.00	
07AUG17	S 1 PD07NOV17	2358.50	2588.00	USD	-5,737.50	
07AUG17	S 1 PD07NOV17	2355.00	2588.00	USD	-5,825.00	
07AUG17	S 1 PD07NOV17	2355.50	2588.00	USD	-5,812.50	
07AUG17	S 2 PD07NOV17	2354.50	2588.00	USD	-11,675.00	
07AUG17	S 1 PD07NOV17	2360.00	2588.00	USD	-5,700.00	
07AUG17	S 1 PD07NOV17	2360.50	2588.00	USD	-5,687.50	
07AUG17	S 1 PD07NOV17	2359.50	2588.00	USD	-5,712.50	
07AUG17	S 1 PD07NOV17	2357.50	2588.00	USD	-5,762.50	
07AUG17	S 1 PD07NOV17	2361.50	2588.00	USD	-5,662.50	
07AUG17	S 1 PD07NOV17	2366.50	2588.00	USD	-5,537.50	
07AUG17	S 1 PD07NOV17	2369.00	2588.00	USD	-5,475.00	
07AUG17	S 3 PD07NOV17	2369.50	2588.00	USD	-16,387.50	
07AUG17	S 6 PD07NOV17	2370.00	2588.00	USD	-32,700.00	
07AUG17	S 1 PD07NOV17	2371.50	2588.00	USD	-5,412.50	
07AUG17	S 2 PD07NOV17	2372.50	2588.00	USD	-10,775.00	
07AUG17	S 1 PD07NOV17	2377.00	2588.00	USD	-5,275.00	
07AUG17	B 1 PD07NOV17	2372.50	2588.00	USD	5,387.50	
07AUG17	S 2 PD07NOV17	2377.50	2588.00	USD	-10,525.00	
07AUG17	B 2 PD07NOV17	2377.50	2588.00	USD	10,525.00	
07AUG17	B 1 PD07NOV17	2388.50	2588.00	USD	4,987.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
07AUG17	B 1 PD07NOV17	2383.50	2588.00	USD	5,112.50	
07AUG17	B 2 PD07NOV17	2389.00	2588.00	USD	9,950.00	
07AUG17	B 2 PD07NOV17	2388.00	2588.00	USD	10,000.00	
07AUG17	B 2 PD07NOV17	2384.00	2588.00	USD	10,200.00	
07AUG17	B 1 PD07NOV17	2385.50	2588.00	USD	5,062.50	
07AUG17	B 1 PD07NOV17	2386.00	2588.00	USD	5,050.00	
07AUG17	B 6 PD07NOV17	2387.50	2588.00	USD	30,075.00	
07AUG17	B 4 PD07NOV17	2387.00	2588.00	USD	20,100.00	
07AUG17	B 1 PD07NOV17	2386.50	2588.00	USD	5,037.50	
07AUG17	B 2 PD07NOV17	2385.00	2588.00	USD	10,150.00	
07AUG17	B 1 PD07NOV17	2380.50	2588.00	USD	5,187.50	
07AUG17	B 1 PD07NOV17	2378.00	2588.00	USD	5,250.00	
07AUG17	B 1 PD07NOV17	2377.00	2588.00	USD	5,275.00	
07AUG17	S 1 PD07NOV17	2378.00	2588.00	USD	-5,250.00	
07AUG17	B 1 PD07NOV17	2381.50	2588.00	USD	5,162.50	
07AUG17	B 1 PD07NOV17	2382.50	2588.00	USD	5,137.50	
07AUG17	B 2 PD07NOV17	2378.50	2588.00	USD	10,475.00	
07AUG17	S 1 PD07NOV17	2386.50	2588.00	USD	-5,037.50	
07AUG17	B 2 PD07NOV17	2376.00	2588.00	USD	10,600.00	
07AUG17	B 1 PD07NOV17	2374.00	2588.00	USD	5,350.00	
07AUG17	S 2 PD07NOV17	2385.50	2588.00	USD	-10,125.00	
07AUG17	S 1 PD07NOV17	2384.50	2588.00	USD	-5,087.50	
07AUG17	S 2 PD07NOV17	2388.00	2588.00	USD	-10,000.00	
07AUG17	B 1 PD07NOV17	2367.00	2588.00	USD	5,525.00	
07AUG17	B 1 PD07NOV17	2364.00	2588.00	USD	5,600.00	
07AUG17	B 3 PD07NOV17	2362.50	2588.00	USD	16,912.50	
07AUG17	B 2 PD07NOV17	2362.00	2588.00	USD	11,300.00	
07AUG17	B 1 PD07NOV17	2361.50	2588.00	USD	5,662.50	
07AUG17	S 1 PD07NOV17	2363.00	2588.00	USD	-5,625.00	
07AUG17	S 2 PD07NOV17	2364.50	2588.00	USD	-11,175.00	
07AUG17	S 2 PD07NOV17	2365.00	2588.00	USD	-11,150.00	
07AUG17	S 2 PD07NOV17	2368.00	2588.00	USD	-11,000.00	
07AUG17	S 1 PD07NOV17	2368.50	2588.00	USD	-5,487.50	
07AUG17	S 1 PD07NOV17	2371.00	2588.00	USD	-5,425.00	
07AUG17	B 1 PD07NOV17	2368.50	2588.00	USD	5,487.50	
10AUG17	S 1 PD07NOV17	2365.16	2588.00	USD	-5,571.00	
	NET 0 AVG BGHT 2379.50 SOLD 2369.03				**-11,783.50**	**0.00**
08AUG17	S 1 PD08NOV17	2366.50	2588.00	USD	-5,537.50	
08AUG17	S 1 PD08NOV17	2365.50	2588.00	USD	-5,562.50	
08AUG17	S 3 PD08NOV17	2366.00	2588.00	USD	-16,650.00	
08AUG17	B 1 PD08NOV17	2373.00	2588.00	USD	5,375.00	
08AUG17	B 1 PD08NOV17	2375.00	2588.00	USD	5,325.00	
08AUG17	B 1 PD08NOV17	2363.00	2588.00	USD	5,625.00	
08AUG17	S 1 PD08NOV17	2374.00	2588.00	USD	-5,350.00	
08AUG17	S 2 PD08NOV17	2370.50	2588.00	USD	-10,875.00	
08AUG17	S 1 PD08NOV17	2368.00	2588.00	USD	-5,500.00	
08AUG17	B 1 PD08NOV17	2368.50	2588.00	USD	5,487.50	
08AUG17	B 2 PD08NOV17	2368.00	2588.00	USD	11,000.00	
08AUG17	B 2 PD08NOV17	2367.50	2588.00	USD	11,025.00	
08AUG17	B 1 PD08NOV17	2365.50	2588.00	USD	5,562.50	
08AUG17	B 4 PD08NOV17	2369.00	2588.00	USD	21,900.00	
08AUG17	B 1 PD08NOV17	2369.50	2588.00	USD	5,462.50	
08AUG17	S 1 PD08NOV17	2366.50	2588.00	USD	-5,537.50	
08AUG17	B 1 PD08NOV17	2357.50	2588.00	USD	5,762.50	
08AUG17	B 1 PD08NOV17	2355.50	2588.00	USD	5,812.50	
08AUG17	B 1 PD08NOV17	2356.00	2588.00	USD	5,800.00	
08AUG17	B 2 PD08NOV17	2354.50	2588.00	USD	11,675.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
08AUG17	B 1 PD08NOV17	2353.00	2588.00	USD	5,875.00	
08AUG17	S 2 PD08NOV17	2348.00	2588.00	USD	-12,000.00	
08AUG17	B 2 PD08NOV17	2349.00	2588.00	USD	11,950.00	
08AUG17	B 2 PD08NOV17	2350.50	2588.00	USD	11,875.00	
08AUG17	S 2 PD08NOV17	2347.00	2588.00	USD	-12,050.00	
08AUG17	S 1 PD08NOV17	2346.50	2588.00	USD	-6,037.50	
08AUG17	S 1 PD08NOV17	2349.50	2588.00	USD	-5,962.50	
08AUG17	S 1 PD08NOV17	2350.50	2588.00	USD	-5,937.50	
08AUG17	B 1 PD08NOV17	2353.50	2588.00	USD	5,862.50	
08AUG17	S 1 PD08NOV17	2357.50	2588.00	USD	-5,762.50	
08AUG17	S 1 PD08NOV17	2362.50	2588.00	USD	-5,637.50	
08AUG17	S 1 PD08NOV17	2364.50	2588.00	USD	-5,587.50	
08AUG17	S 2 PD08NOV17	2377.00	2588.00	USD	-10,550.00	
08AUG17	B 1 PD08NOV17	2378.00	2588.00	USD	5,250.00	
08AUG17	S 1 PD08NOV17	2372.50	2588.00	USD	-5,387.50	
08AUG17	S 1 PD08NOV17	2373.00	2588.00	USD	-5,375.00	
08AUG17	S 1 PD08NOV17	2376.50	2588.00	USD	-5,287.50	
08AUG17	B 1 PD08NOV17	2379.00	2588.00	USD	5,225.00	
08AUG17	S 1 PD08NOV17	2384.00	2588.00	USD	-5,100.00	
08AUG17	S 1 PD08NOV17	2388.00	2588.00	USD	-5,000.00	
08AUG17	S 1 PD08NOV17	2389.50	2588.00	USD	-4,962.50	
08AUG17	S 1 PD08NOV17	2386.50	2588.00	USD	-5,037.50	
08AUG17	S 1 PD08NOV17	2389.00	2588.00	USD	-4,975.00	
08AUG17	S 1 PD08NOV17	2390.00	2588.00	USD	-4,950.00	
08AUG17	S 2 PD08NOV17	2388.50	2588.00	USD	-9,975.00	
08AUG17	S 1 PD08NOV17	2387.50	2588.00	USD	-5,012.50	
08AUG17	B 1 PD08NOV17	2379.50	2588.00	USD	5,212.50	
08AUG17	S 2 PD08NOV17	2385.00	2588.00	USD	-10,150.00	
08AUG17	B 2 PD08NOV17	2380.00	2588.00	USD	10,400.00	
08AUG17	B 1 PD08NOV17	2387.50	2588.00	USD	5,012.50	
08AUG17	B 2 PD08NOV17	2383.50	2588.00	USD	10,225.00	
08AUG17	S 1 PD08NOV17	2391.00	2588.00	USD	-4,925.00	
08AUG17	S 1 PD08NOV17	2391.50	2588.00	USD	-4,912.50	
08AUG17	B 1 PD08NOV17	2390.50	2588.00	USD	4,937.50	
08AUG17	B 1 PD08NOV17	2391.50	2588.00	USD	4,912.50	
10AUG17	B 3 PD08NOV17	2365.58	2588.00	USD	16,681.50	
	NET 0 AVG BGHT 2367.76 SOLD 2371.59				**3,644.00**	**0.00**
09AUG17	S 2 PD09NOV17	2398.50	2588.00	USD	-9,475.00	
09AUG17	S 1 PD09NOV17	2397.50	2588.00	USD	-4,762.50	
09AUG17	S 1 PD09NOV17	2398.00	2588.00	USD	-4,750.00	
09AUG17	S 4 PD09NOV17	2399.00	2588.00	USD	-18,900.00	
09AUG17	B 1 PD09NOV17	2398.50	2588.00	USD	4,737.50	
09AUG17	S 2 PD09NOV17	2404.00	2588.00	USD	-9,200.00	
09AUG17	B 2 PD09NOV17	2405.00	2588.00	USD	9,150.00	
09AUG17	S 2 PD09NOV17	2414.50	2588.00	USD	-8,675.00	
09AUG17	S 1 PD09NOV17	2415.00	2588.00	USD	-4,325.00	
09AUG17	S 2 PD09NOV17	2418.00	2588.00	USD	-8,500.00	
09AUG17	S 1 PD09NOV17	2419.50	2588.00	USD	-4,212.50	
09AUG17	S 1 PD09NOV17	2421.50	2588.00	USD	-4,162.50	
09AUG17	S 1 PD09NOV17	2420.00	2588.00	USD	-4,200.00	
09AUG17	S 2 PD09NOV17	2415.50	2588.00	USD	-8,625.00	
09AUG17	S 2 PD09NOV17	2410.50	2588.00	USD	-8,875.00	
09AUG17	S 1 PD09NOV17	2407.00	2588.00	USD	-4,525.00	
09AUG17	B 2 PD09NOV17	2402.50	2588.00	USD	9,275.00	
09AUG17	B 3 PD09NOV17	2400.00	2588.00	USD	14,100.00	
09AUG17	B 1 PD09NOV17	2399.00	2588.00	USD	4,725.00	
09AUG17	B 1 PD09NOV17	2398.00	2588.00	USD	4,750.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
09AUG17	B 1 PD09NOV17	2396.50	2588.00	USD	4,787.50	
09AUG17	B 1 PD09NOV17	2394.00	2588.00	USD	4,850.00	
09AUG17	B 1 PD09NOV17	2391.00	2588.00	USD	4,925.00	
09AUG17	B 2 PD09NOV17	2389.50	2588.00	USD	9,925.00	
09AUG17	B 1 PD09NOV17	2389.00	2588.00	USD	4,975.00	
09AUG17	B 1 PD09NOV17	2388.00	2588.00	USD	5,000.00	
09AUG17	B 1 PD09NOV17	2386.50	2588.00	USD	5,037.50	
09AUG17	B 1 PD09NOV17	2385.00	2588.00	USD	5,075.00	
09AUG17	B 1 PD09NOV17	2384.50	2588.00	USD	5,087.50	
09AUG17	B 5 PD09NOV17	2392.00	2588.00	USD	24,500.00	
09AUG17	S 1 PD09NOV17	2391.00	2588.00	USD	-4,925.00	
09AUG17	B 2 PD09NOV17	2391.50	2588.00	USD	9,825.00	
09AUG17	B 3 PD09NOV17	2390.50	2588.00	USD	14,812.50	
09AUG17	B 1 PD09NOV17	2399.50	2588.00	USD	4,712.50	
09AUG17	B 4 PD09NOV17	2386.00	2588.00	USD	20,200.00	
09AUG17	S 1 PD09NOV17	2388.50	2588.00	USD	-4,987.50	
09AUG17	S 1 PD09NOV17	2396.00	2588.00	USD	-4,800.00	
09AUG17	S 1 PD09NOV17	2386.50	2588.00	USD	-5,037.50	
09AUG17	S 3 PD09NOV17	2389.50	2588.00	USD	-14,887.50	
09AUG17	S 1 PD09NOV17	2400.00	2588.00	USD	-4,700.00	
09AUG17	S 3 PD09NOV17	2404.50	2588.00	USD	-13,762.50	
09AUG17	S 3 PD09NOV17	2405.00	2588.00	USD	-13,725.00	
09AUG17	S 1 PD09NOV17	2409.50	2588.00	USD	-4,462.50	
09AUG17	B 1 PD09NOV17	2412.00	2588.00	USD	4,400.00	
09AUG17	S 2 PD09NOV17	2413.00	2588.00	USD	-8,750.00	
09AUG17	B 1 PD09NOV17	2390.00	2588.00	USD	4,950.00	
09AUG17	B 1 PD09NOV17	2376.00	2588.00	USD	5,300.00	
09AUG17	S 1 PD09NOV17	2369.50	2588.00	USD	-5,462.50	
09AUG17	B 1 PD09NOV17	2370.50	2588.00	USD	5,437.50	
09AUG17	B 1 PD09NOV17	2370.00	2588.00	USD	5,450.00	
09AUG17	B 1 PD09NOV17	2367.50	2588.00	USD	5,512.50	
09AUG17	B 1 PD09NOV17	2368.50	2588.00	USD	5,487.50	
09AUG17	B 1 PD09NOV17	2369.00	2588.00	USD	5,475.00	
09AUG17	B 2 PD09NOV17	2368.00	2588.00	USD	11,000.00	
09AUG17	B 1 PD09NOV17	2365.00	2588.00	USD	5,575.00	
09AUG17	B 1 PD09NOV17	2362.50	2588.00	USD	5,637.50	
09AUG17	B 1 PD09NOV17	2352.00	2588.00	USD	5,900.00	
09AUG17	S 1 PD09NOV17	2353.00	2588.00	USD	-5,875.00	
09AUG17	B 2 PD09NOV17	2352.50	2588.00	USD	11,775.00	
09AUG17	B 1 PD09NOV17	2353.00	2588.00	USD	5,875.00	
09AUG17	B 1 PD09NOV17	2353.50	2588.00	USD	5,862.50	
09AUG17	S 2 PD09NOV17	2362.00	2588.00	USD	-11,300.00	
09AUG17	S 2 PD09NOV17	2362.50	2588.00	USD	-11,275.00	
09AUG17	S 1 PD09NOV17	2363.00	2588.00	USD	-5,625.00	
09AUG17	S 1 PD09NOV17	2359.00	2588.00	USD	-5,725.00	
09AUG17	S 1 PD09NOV17	2358.50	2588.00	USD	-5,737.50	
09AUG17	B 1 PD09NOV17	2356.00	2588.00	USD	5,800.00	
09AUG17	B 1 PD09NOV17	2352.00	2588.00	USD	5,900.00	
10AUG17	S 3 PD09NOV17	2365.60	2588.00	USD	-16,680.00	
14AUG17	B 1 PD09NOV17	2334.00	2588.00	USD	6,350.00	
22AUG17	S 3 PD09NOV17	2413.25	2588.00	USD	-13,106.25	
	NET 0 AVG BGHT 2382.81 SOLD 2395.99				**18,126.25**	**0.00**
10AUG17	S 2 PD10NOV17	2379.50	2588.00	USD	-10,425.00	
10AUG17	S 2 PD10NOV17	2373.50	2588.00	USD	-10,725.00	
10AUG17	S 1 PD10NOV17	2371.00	2588.00	USD	-5,425.00	
10AUG17	S 1 PD10NOV17	2378.00	2588.00	USD	-5,250.00	
10AUG17	S 3 PD10NOV17	2378.50	2588.00	USD	-15,712.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
10AUG17	S 1 PD10NOV17	2375.00	2588.00	USD	-5,325.00	
10AUG17	S 1 PD10NOV17	2380.50	2588.00	USD	-5,187.50	
10AUG17	B 2 PD10NOV17	2378.00	2588.00	USD	10,500.00	
10AUG17	B 3 PD10NOV17	2380.00	2588.00	USD	15,600.00	
10AUG17	B 1 PD10NOV17	2379.00	2588.00	USD	5,225.00	
10AUG17	B 1 PD10NOV17	2378.50	2588.00	USD	5,237.50	
10AUG17	S 1 PD10NOV17	2376.50	2588.00	USD	-5,287.50	
10AUG17	S 1 PD10NOV17	2376.00	2588.00	USD	-5,300.00	
10AUG17	S 2 PD10NOV17	2377.50	2588.00	USD	-10,525.00	
10AUG17	S 1 PD10NOV17	2374.50	2588.00	USD	-5,337.50	
10AUG17	S 1 PD10NOV17	2374.00	2588.00	USD	-5,350.00	
10AUG17	S 1 PD10NOV17	2369.50	2588.00	USD	-5,462.50	
10AUG17	S 2 PD10NOV17	2366.00	2588.00	USD	-11,100.00	
10AUG17	B 1 PD10NOV17	2359.00	2588.00	USD	5,725.00	
10AUG17	S 1 PD10NOV17	2358.50	2588.00	USD	-5,737.50	
10AUG17	B 1 PD10NOV17	2357.00	2588.00	USD	5,775.00	
10AUG17	S 1 PD10NOV17	2357.00	2588.00	USD	-5,775.00	
10AUG17	S 1 PD10NOV17	2364.50	2588.00	USD	-5,587.50	
10AUG17	S 1 PD10NOV17	2372.50	2588.00	USD	-5,387.50	
10AUG17	S 4 PD10NOV17	2376.00	2588.00	USD	-21,200.00	
10AUG17	S 2 PD10NOV17	2375.50	2588.00	USD	-10,625.00	
10AUG17	S 3 PD10NOV17	2377.00	2588.00	USD	-15,825.00	
10AUG17	S 2 PD10NOV17	2376.50	2588.00	USD	-10,575.00	
10AUG17	S 2 PD10NOV17	2382.50	2588.00	USD	-10,275.00	
10AUG17	S 2 PD10NOV17	2391.00	2588.00	USD	-9,850.00	
10AUG17	B 1 PD10NOV17	2379.50	2588.00	USD	5,212.50	
10AUG17	B 1 PD10NOV17	2377.00	2588.00	USD	5,275.00	
10AUG17	B 1 PD10NOV17	2372.50	2588.00	USD	5,387.50	
10AUG17	B 2 PD10NOV17	2369.50	2588.00	USD	10,925.00	
10AUG17	B 1 PD10NOV17	2368.50	2588.00	USD	5,487.50	
10AUG17	B 1 PD10NOV17	2367.50	2588.00	USD	5,512.50	
10AUG17	B 1 PD10NOV17	2365.00	2588.00	USD	5,575.00	
10AUG17	B 1 PD10NOV17	2370.50	2588.00	USD	5,437.50	
10AUG17	B 1 PD10NOV17	2371.00	2588.00	USD	5,425.00	
10AUG17	B 1 PD10NOV17	2370.00	2588.00	USD	5,450.00	
10AUG17	B 1 PD10NOV17	2367.00	2588.00	USD	5,525.00	
11AUG17	S 2 PD10NOV17	2342.00	2588.00	USD	-12,300.00	
11AUG17	S 1 PD10NOV17	2350.00	2588.00	USD	-5,950.00	
11AUG17	B 1 PD10NOV17	2347.50	2588.00	USD	6,012.50	
11AUG17	B 2 PD10NOV17	2345.50	2588.00	USD	12,125.00	
11AUG17	S 1 PD10NOV17	2345.00	2588.00	USD	-6,075.00	
11AUG17	B 1 PD10NOV17	2342.50	2588.00	USD	6,137.50	
11AUG17	B 1 PD10NOV17	2340.50	2588.00	USD	6,187.50	
11AUG17	B 1 PD10NOV17	2340.00	2588.00	USD	6,200.00	
11AUG17	S 6 PD10NOV17	2351.00	2588.00	USD	-35,550.00	
11AUG17	S 1 PD10NOV17	2351.50	2588.00	USD	-5,912.50	
11AUG17	S 1 PD10NOV17	2356.00	2588.00	USD	-5,800.00	
11AUG17	S 1 PD10NOV17	2352.50	2588.00	USD	-5,887.50	
11AUG17	S 1 PD10NOV17	2354.50	2588.00	USD	-5,837.50	
11AUG17	S 1 PD10NOV17	2353.50	2588.00	USD	-5,862.50	
11AUG17	S 1 PD10NOV17	2355.00	2588.00	USD	-5,825.00	
11AUG17	S 1 PD10NOV17	2348.50	2588.00	USD	-5,987.50	
11AUG17	B 3 PD10NOV17	2344.50	2588.00	USD	18,262.50	
11AUG17	B 4 PD10NOV17	2342.00	2588.00	USD	24,600.00	
11AUG17	B 1 PD10NOV17	2341.50	2588.00	USD	6,162.50	
11AUG17	B 5 PD10NOV17	2341.00	2588.00	USD	30,875.00	
11AUG17	B 1 PD10NOV17	2338.00	2588.00	USD	6,250.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
11AUG17	B 2 PD10NOV17	2337.00	2588.00	USD	12,550.00	
11AUG17	B 5 PD10NOV17	2336.50	2588.00	USD	31,437.50	
11AUG17	B 2 PD10NOV17	2335.50	2588.00	USD	12,625.00	
11AUG17	B 1 PD10NOV17	2333.00	2588.00	USD	6,375.00	
11AUG17	B 1 PD10NOV17	2331.50	2588.00	USD	6,412.50	
11AUG17	B 1 PD10NOV17	2339.00	2588.00	USD	6,225.00	
11AUG17	B 3 PD10NOV17	2338.50	2588.00	USD	18,712.50	
11AUG17	B 2 PD10NOV17	2335.00	2588.00	USD	12,650.00	
11AUG17	B 1 PD10NOV17	2334.00	2588.00	USD	6,350.00	
11AUG17	B 1 PD10NOV17	2333.50	2588.00	USD	6,362.50	
11AUG17	S 1 PD10NOV17	2355.00	2588.00	USD	-5,825.00	
11AUG17	B 5 PD10NOV17	2336.00	2588.00	USD	31,500.00	
11AUG17	B 2 PD10NOV17	2332.00	2588.00	USD	12,800.00	
11AUG17	S 1 PD10NOV17	2335.50	2588.00	USD	-6,312.50	
11AUG17	S 1 PD10NOV17	2329.50	2588.00	USD	-6,462.50	
11AUG17	S 1 PD10NOV17	2330.00	2588.00	USD	-6,450.00	
11AUG17	S 2 PD10NOV17	2331.50	2588.00	USD	-12,825.00	
11AUG17	S 1 PD10NOV17	2332.00	2588.00	USD	-6,400.00	
11AUG17	S 2 PD10NOV17	2330.50	2588.00	USD	-12,875.00	
11AUG17	S 1 PD10NOV17	2334.00	2588.00	USD	-6,350.00	
11AUG17	B 1 PD10NOV17	2334.00	2588.00	USD	6,350.00	
14AUG17	S 1 PD10NOV17	2334.00	2588.00	USD	-6,350.00	
22AUG17	S 1 PD10NOV17	2413.50	2588.00	USD	-4,362.50	
	NET 0 AVG BGHT 2348.92 SOLD 2363.03				**23,987.50**	**0.00**
14AUG17	S 2 PD14NOV17	2348.50	2588.00	USD	-11,975.00	
14AUG17	S 2 PD14NOV17	2344.50	2588.00	USD	-12,175.00	
14AUG17	S 3 PD14NOV17	2344.00	2588.00	USD	-18,300.00	
14AUG17	S 5 PD14NOV17	2346.00	2588.00	USD	-30,250.00	
14AUG17	B 5 PD14NOV17	2338.00	2588.00	USD	31,250.00	
14AUG17	S 4 PD14NOV17	2341.50	2588.00	USD	-24,650.00	
14AUG17	B 5 PD14NOV17	2337.00	2588.00	USD	31,375.00	
14AUG17	B 2 PD14NOV17	2335.00	2588.00	USD	12,650.00	
14AUG17	B 1 PD14NOV17	2336.00	2588.00	USD	6,300.00	
14AUG17	B 4 PD14NOV17	2335.50	2588.00	USD	25,250.00	
14AUG17	B 1 PD14NOV17	2330.50	2588.00	USD	6,437.50	
14AUG17	S 2 PD14NOV17	2347.00	2588.00	USD	-12,050.00	
14AUG17	S 4 PD14NOV17	2346.50	2588.00	USD	-24,150.00	
14AUG17	B 1 PD14NOV17	2339.50	2588.00	USD	6,212.50	
14AUG17	B 1 PD14NOV17	2338.50	2588.00	USD	6,237.50	
14AUG17	B 2 PD14NOV17	2339.00	2588.00	USD	12,450.00	
14AUG17	B 2 PD14NOV17	2340.00	2588.00	USD	12,400.00	
14AUG17	B 5 PD14NOV17	2337.50	2588.00	USD	31,312.50	
14AUG17	B 1 PD14NOV17	2334.50	2588.00	USD	6,337.50	
14AUG17	B 2 PD14NOV17	2334.00	2588.00	USD	12,700.00	
14AUG17	S 1 PD14NOV17	2335.50	2588.00	USD	-6,312.50	
14AUG17	B 1 PD14NOV17	2333.50	2588.00	USD	6,362.50	
14AUG17	S 1 PD14NOV17	2335.00	2588.00	USD	-6,325.00	
14AUG17	S 1 PD14NOV17	2339.50	2588.00	USD	-6,212.50	
14AUG17	S 1 PD14NOV17	2340.50	2588.00	USD	-6,187.50	
14AUG17	S 2 PD14NOV17	2342.00	2588.00	USD	-12,300.00	
14AUG17	S 5 PD14NOV17	2343.00	2588.00	USD	-30,625.00	
14AUG17	S 5 PD14NOV17	2345.50	2588.00	USD	-30,312.50	
14AUG17	B 2 PD14NOV17	2345.00	2588.00	USD	12,150.00	
14AUG17	B 1 PD14NOV17	2345.50	2588.00	USD	6,062.50	
14AUG17	B 1 PD14NOV17	2344.00	2588.00	USD	6,100.00	
14AUG17	B 2 PD14NOV17	2342.00	2588.00	USD	12,300.00	
14AUG17	B 3 PD14NOV17	2343.00	2588.00	USD	18,375.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
22AUG17	S 3 PD14NOV17	2414.50	2588.00	USD	-13,012.50	
29AUG17	S 1 PD14NOV17	2374.69	2588.00	USD	-5,332.75	
	NET 0 AVG BGHT 2338.23 SOLD 2349.74				**12,092.25**	**0.00**
15AUG17	B 1 PD15NOV17	2369.00	2588.00	USD	5,475.00	
15AUG17	S 1 PD15NOV17	2365.00	2588.00	USD	-5,575.00	
15AUG17	B 1 PD15NOV17	2376.00	2588.00	USD	5,300.00	
15AUG17	S 2 PD15NOV17	2386.00	2588.00	USD	-10,100.00	
15AUG17	S 2 PD15NOV17	2366.00	2588.00	USD	-11,100.00	
15AUG17	B 1 PD15NOV17	2362.50	2588.00	USD	5,637.50	
15AUG17	S 1 PD15NOV17	2378.00	2588.00	USD	-5,250.00	
15AUG17	B 1 PD15NOV17	2372.00	2588.00	USD	5,400.00	
15AUG17	S 1 PD15NOV17	2381.00	2588.00	USD	-5,175.00	
22AUG17	B 3 PD15NOV17	2414.95	2588.00	USD	12,978.75	
	NET 0 AVG BGHT 2389.19 SOLD 2375.43				**-2,408.75**	**0.00**
21AUG17	B 1 PD21NOV17	2387.50	2588.77	USD	5,031.75	
21AUG17	B 2 PD21NOV17	2388.00	2588.77	USD	10,038.50	
21AUG17	S 2 PD21NOV17	2388.50	2588.77	USD	-10,013.50	
21AUG17	S 1 PD21NOV17	2380.50	2588.77	USD	-5,206.75	
21AUG17	S 2 PD21NOV17	2391.00	2588.77	USD	-9,888.50	
21AUG17	S 1 PD21NOV17	2386.00	2588.77	USD	-5,069.25	
21AUG17	B 1 PD21NOV17	2378.00	2588.77	USD	5,269.25	
21AUG17	S 2 PD21NOV17	2375.50	2588.77	USD	-10,663.50	
21AUG17	S 1 PD21NOV17	2372.00	2588.77	USD	-5,419.25	
21AUG17	S 1 PD21NOV17	2374.50	2588.77	USD	-5,356.75	
21AUG17	S 1 PD21NOV17	2385.00	2588.77	USD	-5,094.25	
21AUG17	B 1 PD21NOV17	2362.50	2588.77	USD	5,656.75	
21AUG17	S 1 PD21NOV17	2361.50	2588.77	USD	-5,681.75	
21AUG17	S 3 PD21NOV17	2384.50	2588.77	USD	-15,320.25	
21AUG17	S 1 PD21NOV17	2367.50	2588.77	USD	-5,531.75	
21AUG17	S 2 PD21NOV17	2389.00	2588.77	USD	-9,988.50	
21AUG17	S 2 PD21NOV17	2387.50	2588.77	USD	-10,063.50	
21AUG17	S 4 PD21NOV17	2386.50	2588.77	USD	-20,227.00	
21AUG17	S 1 PD21NOV17	2368.00	2588.77	USD	-5,519.25	
21AUG17	S 2 PD21NOV17	2380.00	2588.77	USD	-10,438.50	
21AUG17	B 4 PD21NOV17	2365.00	2588.77	USD	22,377.00	
21AUG17	B 2 PD21NOV17	2366.00	2588.77	USD	11,138.50	
21AUG17	B 2 PD21NOV17	2365.50	2588.77	USD	11,163.50	
21AUG17	B 1 PD21NOV17	2359.50	2588.77	USD	5,731.75	
21AUG17	B 1 PD21NOV17	2359.00	2588.77	USD	5,744.25	
21AUG17	B 1 PD21NOV17	2358.50	2588.77	USD	5,756.75	
21AUG17	B 2 PD21NOV17	2358.00	2588.77	USD	11,538.50	
21AUG17	B 1 PD21NOV17	2352.50	2588.77	USD	5,906.75	
21AUG17	B 1 PD21NOV17	2350.50	2588.77	USD	5,956.75	
21AUG17	B 2 PD21NOV17	2353.00	2588.77	USD	11,788.50	
21AUG17	B 3 PD21NOV17	2356.00	2588.77	USD	17,457.75	
21AUG17	B 3 PD21NOV17	2355.50	2588.77	USD	17,495.25	
21AUG17	B 2 PD21NOV17	2355.00	2588.77	USD	11,688.50	
21AUG17	B 3 PD21NOV17	2354.50	2588.77	USD	17,570.25	
21AUG17	B 2 PD21NOV17	2351.50	2588.77	USD	11,863.50	
21AUG17	B 3 PD21NOV17	2360.00	2588.77	USD	17,157.75	
21AUG17	S 2 PD21NOV17	2369.00	2588.77	USD	-10,988.50	
21AUG17	S 2 PD21NOV17	2368.50	2588.77	USD	-11,013.50	
21AUG17	S 4 PD21NOV17	2369.50	2588.77	USD	-21,927.00	
21AUG17	S 1 PD21NOV17	2371.50	2588.77	USD	-5,431.75	
21AUG17	S 2 PD21NOV17	2377.00	2588.77	USD	-10,588.50	
21AUG17	S 2 PD21NOV17	2377.50	2588.77	USD	-10,563.50	
21AUG17	S 2 PD21NOV17	2375.00	2588.77	USD	-10,688.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
21AUG17	S 4 PD21NOV17	2378.00	2588.77	USD	-21,077.00	
21AUG17	S 1 PD21NOV17	2382.00	2588.77	USD	-5,169.25	
21AUG17	B 1 PD21NOV17	2374.00	2588.77	USD	5,369.25	
21AUG17	B 1 PD21NOV17	2373.50	2588.77	USD	5,381.75	
21AUG17	B 1 PD21NOV17	2363.00	2588.77	USD	5,644.25	
21AUG17	B 1 PD21NOV17	2364.00	2588.77	USD	5,619.25	
21AUG17	B 1 PD21NOV17	2349.50	2588.77	USD	5,981.75	
21AUG17	B 1 PD21NOV17	2349.00	2588.77	USD	5,994.25	
22AUG17	B 4 PD21NOV17	2415.95	2588.77	USD	17,282.00	
29AUG17	S 1 PD21NOV17	2376.00	2588.77	USD	-5,319.25	
	NET 0 AVG BGHT 2365.77 SOLD 2378.56				**15,355.00**	**0.00**
22AUG17	B 1 PD22NOV17	2342.50	2588.90	USD	6,160.00	
22AUG17	B 1 PD22NOV17	2343.50	2588.90	USD	6,135.00	
22AUG17	B 1 PD22NOV17	2338.50	2588.90	USD	6,260.00	
22AUG17	S 1 PD22NOV17	2344.50	2588.90	USD	-6,110.00	
22AUG17	S 1 PD22NOV17	2343.50	2588.90	USD	-6,135.00	
22AUG17	B 1 PD22NOV17	2340.00	2588.90	USD	6,222.50	
22AUG17	B 5 PD22NOV17	2359.00	2588.90	USD	28,737.50	
22AUG17	S 2 PD22NOV17	2353.00	2588.90	USD	-11,795.00	
22AUG17	S 1 PD22NOV17	2362.00	2588.90	USD	-5,672.50	
22AUG17	S 1 PD22NOV17	2366.50	2588.90	USD	-5,560.00	
22AUG17	S 1 PD22NOV17	2346.00	2588.90	USD	-6,072.50	
22AUG17	S 2 PD22NOV17	2344.50	2588.90	USD	-12,220.00	
22AUG17	S 1 PD22NOV17	2353.50	2588.90	USD	-5,885.00	
22AUG17	S 1 PD22NOV17	2373.00	2588.90	USD	-5,397.50	
22AUG17	B 1 PD22NOV17	2357.50	2588.90	USD	5,785.00	
22AUG17	S 1 PD22NOV17	2354.50	2588.90	USD	-5,860.00	
22AUG17	S 1 PD22NOV17	2355.50	2588.90	USD	-5,835.00	
22AUG17	S 1 PD22NOV17	2362.50	2588.90	USD	-5,660.00	
22AUG17	S 1 PD22NOV17	2366.00	2588.90	USD	-5,572.50	
22AUG17	S 2 PD22NOV17	2367.00	2588.90	USD	-11,095.00	
22AUG17	S 2 PD22NOV17	2369.50	2588.90	USD	-10,970.00	
22AUG17	S 2 PD22NOV17	2370.50	2588.90	USD	-10,920.00	
22AUG17	S 2 PD22NOV17	2371.00	2588.90	USD	-10,895.00	
22AUG17	S 5 PD22NOV17	2372.00	2588.90	USD	-27,112.50	
22AUG17	S 1 PD22NOV17	2370.00	2588.90	USD	-5,472.50	
22AUG17	S 2 PD22NOV17	2371.50	2588.90	USD	-10,870.00	
22AUG17	S 1 PD22NOV17	2372.50	2588.90	USD	-5,410.00	
22AUG17	S 2 PD22NOV17	2389.00	2588.90	USD	-9,995.00	
22AUG17	S 1 PD22NOV17	2395.00	2588.90	USD	-4,847.50	
22AUG17	S 1 PD22NOV17	2400.50	2588.90	USD	-4,710.00	
22AUG17	S 1 PD22NOV17	2397.50	2588.90	USD	-4,785.00	
22AUG17	S 1 PD22NOV17	2399.00	2588.90	USD	-4,747.50	
22AUG17	S 1 PD22NOV17	2401.00	2588.90	USD	-4,697.50	
22AUG17	S 1 PD22NOV17	2401.50	2588.90	USD	-4,685.00	
22AUG17	S 1 PD22NOV17	2407.50	2588.90	USD	-4,535.00	
22AUG17	S 1 PD22NOV17	2423.00	2588.90	USD	-4,147.50	
22AUG17	B 1 PD22NOV17	2401.50	2588.90	USD	4,685.00	
22AUG17	B 1 PD22NOV17	2397.50	2588.90	USD	4,785.00	
22AUG17	B 1 PD22NOV17	2402.00	2588.90	USD	4,672.50	
22AUG17	S 1 PD22NOV17	2417.50	2588.90	USD	-4,285.00	
22AUG17	S 2 PD22NOV17	2418.50	2588.90	USD	-8,520.00	
22AUG17	S 1 PD22NOV17	2418.00	2588.90	USD	-4,272.50	
22AUG17	B 1 PD22NOV17	2395.00	2588.90	USD	4,847.50	
22AUG17	B 2 PD22NOV17	2396.00	2588.90	USD	9,645.00	
22AUG17	B 4 PD22NOV17	2395.50	2588.90	USD	19,340.00	
22AUG17	B 1 PD22NOV17	2398.00	2588.90	USD	4,772.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
22AUG17	B 1 PD22NOV17	2400.50	2588.90	USD	4,710.00	
22AUG17	B 3 PD22NOV17	2399.00	2588.90	USD	14,242.50	
22AUG17	S 1 PD22NOV17	2371.00	2588.90	USD	-5,447.50	
22AUG17	S 1 PD22NOV17	2373.00	2588.90	USD	-5,397.50	
22AUG17	B 1 PD22NOV17	2395.00	2588.90	USD	4,847.50	
22AUG17	S 1 PD22NOV17	2416.00	2588.90	USD	-4,322.50	
22AUG17	S 2 PD22NOV17	2416.50	2588.90	USD	-8,620.00	
22AUG17	S 2 PD22NOV17	2417.00	2588.90	USD	-8,595.00	
22AUG17	B 1 PD22NOV17	2414.50	2588.90	USD	4,360.00	
22AUG17	B 2 PD22NOV17	2408.00	2588.90	USD	9,045.00	
22AUG17	B 2 PD22NOV17	2407.50	2588.90	USD	9,070.00	
22AUG17	B 1 PD22NOV17	2407.00	2588.90	USD	4,547.50	
22AUG17	B 1 PD22NOV17	2408.50	2588.90	USD	4,510.00	
22AUG17	B 6 PD22NOV17	2409.50	2588.90	USD	26,910.00	
22AUG17	B 1 PD22NOV17	2410.50	2588.90	USD	4,460.00	
22AUG17	B 2 PD22NOV17	2410.00	2588.90	USD	8,945.00	
22AUG17	B 1 PD22NOV17	2411.50	2588.90	USD	4,435.00	
22AUG17	B 1 PD22NOV17	2422.00	2588.90	USD	4,172.50	
22AUG17	B 1 PD22NOV17	2421.50	2588.90	USD	4,185.00	
22AUG17	B 1 PD22NOV17	2420.50	2588.90	USD	4,210.00	
22AUG17	B 2 PD22NOV17	2422.50	2588.90	USD	8,320.00	
29AUG17	B 2 PD22NOV17	2376.45	2588.90	USD	10,622.50	
29AUG17	B 3 PD22NOV17	2376.25	2588.90	USD	15,948.75	
	NET 0 AVG BGHT 2392.98 SOLD 2379.75				**-17,541.25**	**0.00**
23AUG17	S 1 PD24NOV17	2415.00	2589.16	USD	-4,354.00	
23AUG17	S 2 PD24NOV17	2413.00	2589.16	USD	-8,808.00	
23AUG17	S 1 PD24NOV17	2410.00	2589.16	USD	-4,479.00	
23AUG17	S 1 PD24NOV17	2404.00	2589.16	USD	-4,629.00	
23AUG17	B 1 PD24NOV17	2401.50	2589.16	USD	4,691.50	
23AUG17	B 1 PD24NOV17	2400.50	2589.16	USD	4,716.50	
23AUG17	B 1 PD24NOV17	2398.50	2589.16	USD	4,766.50	
23AUG17	B 1 PD24NOV17	2397.00	2589.16	USD	4,804.00	
23AUG17	B 1 PD24NOV17	2395.50	2589.16	USD	4,841.50	
23AUG17	B 1 PD24NOV17	2393.00	2589.16	USD	4,904.00	
23AUG17	S 1 PD24NOV17	2402.00	2589.16	USD	-4,679.00	
23AUG17	S 1 PD24NOV17	2401.50	2589.16	USD	-4,691.50	
23AUG17	S 1 PD24NOV17	2406.00	2589.16	USD	-4,579.00	
23AUG17	S 2 PD24NOV17	2404.50	2589.16	USD	-9,233.00	
23AUG17	S 2 PD24NOV17	2403.50	2589.16	USD	-9,283.00	
23AUG17	S 1 PD24NOV17	2405.00	2589.16	USD	-4,604.00	
23AUG17	S 3 PD24NOV17	2413.50	2589.16	USD	-13,174.50	
23AUG17	S 1 PD24NOV17	2415.50	2589.16	USD	-4,341.50	
23AUG17	S 1 PD24NOV17	2416.50	2589.16	USD	-4,316.50	
23AUG17	B 1 PD24NOV17	2394.50	2589.16	USD	4,866.50	
23AUG17	S 4 PD24NOV17	2405.50	2589.16	USD	-18,366.00	
23AUG17	B 3 PD24NOV17	2396.00	2589.16	USD	14,487.00	
23AUG17	B 1 PD24NOV17	2388.50	2589.16	USD	5,016.50	
23AUG17	B 4 PD24NOV17	2389.00	2589.16	USD	20,016.00	
23AUG17	B 1 PD24NOV17	2387.50	2589.16	USD	5,041.50	
23AUG17	B 1 PD24NOV17	2386.50	2589.16	USD	5,066.50	
23AUG17	B 1 PD24NOV17	2386.00	2589.16	USD	5,079.00	
23AUG17	B 1 PD24NOV17	2385.00	2589.16	USD	5,104.00	
23AUG17	B 4 PD24NOV17	2390.00	2589.16	USD	19,916.00	
23AUG17	B 3 PD24NOV17	2382.50	2589.16	USD	15,499.50	
23AUG17	S 1 PD24NOV17	2389.00	2589.16	USD	-5,004.00	
23AUG17	S 1 PD24NOV17	2393.00	2589.16	USD	-4,904.00	
23AUG17	S 1 PD24NOV17	2391.00	2589.16	USD	-4,954.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
23AUG17	B 3 PD24NOV17	2385.50	2589.16	USD	15,274.50	
23AUG17	B 1 PD24NOV17	2376.50	2589.16	USD	5,316.50	
24AUG17	S 1 PD24NOV17	2396.50	2589.16	USD	-4,816.50	
24AUG17	S 1 PD24NOV17	2401.50	2589.16	USD	-4,691.50	
24AUG17	S 2 PD24NOV17	2402.00	2589.16	USD	-9,358.00	
24AUG17	S 2 PD24NOV17	2402.50	2589.16	USD	-9,333.00	
24AUG17	S 4 PD24NOV17	2397.00	2589.16	USD	-19,216.00	
24AUG17	S 1 PD24NOV17	2399.50	2589.16	USD	-4,741.50	
24AUG17	S 7 PD24NOV17	2398.50	2589.16	USD	-33,365.50	
24AUG17	B 1 PD24NOV17	2398.50	2589.16	USD	4,766.50	
24AUG17	S 3 PD24NOV17	2397.50	2589.16	USD	-14,374.50	
24AUG17	S 1 PD24NOV17	2398.00	2589.16	USD	-4,779.00	
24AUG17	S 2 PD24NOV17	2399.00	2589.16	USD	-9,508.00	
24AUG17	S 1 PD24NOV17	2396.00	2589.16	USD	-4,829.00	
24AUG17	S 1 PD24NOV17	2384.00	2589.16	USD	-5,129.00	
24AUG17	S 1 PD24NOV17	2385.50	2589.16	USD	-5,091.50	
24AUG17	S 2 PD24NOV17	2385.00	2589.16	USD	-10,208.00	
24AUG17	S 1 PD24NOV17	2386.50	2589.16	USD	-5,066.50	
24AUG17	S 1 PD24NOV17	2388.50	2589.16	USD	-5,016.50	
24AUG17	S 1 PD24NOV17	2387.50	2589.16	USD	-5,041.50	
24AUG17	S 3 PD24NOV17	2388.00	2589.16	USD	-15,087.00	
24AUG17	S 2 PD24NOV17	2389.00	2589.16	USD	-10,008.00	
24AUG17	B 1 PD24NOV17	2377.00	2589.16	USD	5,304.00	
24AUG17	B 1 PD24NOV17	2376.50	2589.16	USD	5,316.50	
24AUG17	B 1 PD24NOV17	2376.00	2589.16	USD	5,329.00	
24AUG17	B 2 PD24NOV17	2375.50	2589.16	USD	10,683.00	
24AUG17	B 1 PD24NOV17	2370.50	2589.16	USD	5,466.50	
24AUG17	B 1 PD24NOV17	2366.00	2589.16	USD	5,579.00	
24AUG17	S 1 PD24NOV17	2358.00	2589.16	USD	-5,779.00	
24AUG17	B 1 PD24NOV17	2364.00	2589.16	USD	5,629.00	
24AUG17	B 2 PD24NOV17	2364.50	2589.16	USD	11,233.00	
24AUG17	B 1 PD24NOV17	2365.00	2589.16	USD	5,604.00	
24AUG17	B 4 PD24NOV17	2365.50	2589.16	USD	22,366.00	
24AUG17	B 1 PD24NOV17	2361.50	2589.16	USD	5,691.50	
24AUG17	B 2 PD24NOV17	2358.00	2589.16	USD	11,558.00	
24AUG17	B 2 PD24NOV17	2356.50	2589.16	USD	11,633.00	
24AUG17	B 1 PD24NOV17	2356.00	2589.16	USD	5,829.00	
24AUG17	B 1 PD24NOV17	2353.00	2589.16	USD	5,904.00	
24AUG17	B 1 PD24NOV17	2349.00	2589.16	USD	6,004.00	
24AUG17	S 2 PD24NOV17	2356.00	2589.16	USD	-11,658.00	
24AUG17	B 1 PD24NOV17	2351.50	2589.16	USD	5,941.50	
24AUG17	B 3 PD24NOV17	2352.00	2589.16	USD	17,787.00	
24AUG17	S 1 PD24NOV17	2357.50	2589.16	USD	-5,791.50	
24AUG17	S 2 PD24NOV17	2358.50	2589.16	USD	-11,533.00	
24AUG17	S 1 PD24NOV17	2359.50	2589.16	USD	-5,741.50	
24AUG17	S 1 PD24NOV17	2356.50	2589.16	USD	-5,816.50	
24AUG17	S 3 PD24NOV17	2361.00	2589.16	USD	-17,112.00	
24AUG17	S 2 PD24NOV17	2360.00	2589.16	USD	-11,458.00	
24AUG17	S 1 PD24NOV17	2360.50	2589.16	USD	-5,716.50	
24AUG17	S 1 PD24NOV17	2362.50	2589.16	USD	-5,666.50	
24AUG17	S 1 PD24NOV17	2374.50	2589.16	USD	-5,366.50	
24AUG17	S 1 PD24NOV17	2375.00	2589.16	USD	-5,354.00	
24AUG17	S 3 PD24NOV17	2372.00	2589.16	USD	-16,287.00	
24AUG17	S 1 PD24NOV17	2356.50	2589.16	USD	-5,816.50	
24AUG17	S 2 PD24NOV17	2373.00	2589.16	USD	-10,808.00	
24AUG17	B 1 PD24NOV17	2353.50	2589.16	USD	5,891.50	
24AUG17	B 3 PD24NOV17	2352.50	2589.16	USD	17,749.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
24AUG17	B 2 PD24NOV17	2351.00	2589.16	USD	11,908.00	
24AUG17	S 1 PD24NOV17	2357.00	2589.16	USD	-5,804.00	
24AUG17	S 2 PD24NOV17	2371.50	2589.16	USD	-10,883.00	
24AUG17	B 1 PD24NOV17	2349.00	2589.16	USD	6,004.00	
25AUG17	B 1 PD24NOV17	2365.00	2589.16	USD	5,604.00	
25AUG17	B 1 PD24NOV17	2366.00	2589.16	USD	5,579.00	
25AUG17	B 2 PD24NOV17	2366.50	2589.16	USD	11,133.00	
25AUG17	B 1 PD24NOV17	2365.50	2589.16	USD	5,591.50	
25AUG17	B 1 PD24NOV17	2361.50	2589.16	USD	5,691.50	
25AUG17	B 1 PD24NOV17	2361.00	2589.16	USD	5,704.00	
25AUG17	S 3 PD24NOV17	2364.00	2589.16	USD	-16,887.00	
25AUG17	S 1 PD24NOV17	2363.00	2589.16	USD	-5,654.00	
25AUG17	S 1 PD24NOV17	2362.50	2589.16	USD	-5,666.50	
25AUG17	B 1 PD24NOV17	2363.00	2589.16	USD	5,654.00	
25AUG17	S 1 PD24NOV17	2368.50	2589.16	USD	-5,516.50	
25AUG17	S 2 PD24NOV17	2369.00	2589.16	USD	-11,008.00	
25AUG17	B 1 PD24NOV17	2375.50	2589.16	USD	5,341.50	
25AUG17	B 1 PD24NOV17	2369.00	2589.16	USD	5,504.00	
25AUG17	B 2 PD24NOV17	2372.00	2589.16	USD	10,858.00	
25AUG17	B 1 PD24NOV17	2370.00	2589.16	USD	5,479.00	
25AUG17	B 2 PD24NOV17	2368.00	2589.16	USD	11,058.00	
25AUG17	B 1 PD24NOV17	2367.00	2589.16	USD	5,554.00	
25AUG17	B 1 PD24NOV17	2364.50	2589.16	USD	5,616.50	
25AUG17	B 1 PD24NOV17	2364.00	2589.16	USD	5,629.00	
25AUG17	B 2 PD24NOV17	2362.50	2589.16	USD	11,333.00	
25AUG17	B 1 PD24NOV17	2360.50	2589.16	USD	5,716.50	
25AUG17	B 1 PD24NOV17	2360.00	2589.16	USD	5,729.00	
25AUG17	B 1 PD24NOV17	2362.00	2589.16	USD	5,679.00	
25AUG17	B 2 PD24NOV17	2358.00	2589.16	USD	11,558.00	
25AUG17	B 2 PD24NOV17	2346.00	2589.16	USD	12,158.00	
25AUG17	B 1 PD24NOV17	2345.50	2589.16	USD	6,091.50	
25AUG17	B 1 PD24NOV17	2337.50	2589.16	USD	6,291.50	
25AUG17	B 1 PD24NOV17	2342.50	2589.16	USD	6,166.50	
25AUG17	B 2 PD24NOV17	2341.00	2589.16	USD	12,408.00	
25AUG17	B 1 PD24NOV17	2348.00	2589.16	USD	6,029.00	
25AUG17	S 1 PD24NOV17	2352.50	2589.16	USD	-5,916.50	
25AUG17	B 1 PD24NOV17	2351.00	2589.16	USD	5,954.00	
25AUG17	S 1 PD24NOV17	2351.50	2589.16	USD	-5,941.50	
25AUG17	B 2 PD24NOV17	2347.50	2589.16	USD	12,083.00	
25AUG17	B 1 PD24NOV17	2347.00	2589.16	USD	6,054.00	
25AUG17	B 2 PD24NOV17	2341.50	2589.16	USD	12,383.00	
25AUG17	B 1 PD24NOV17	2340.50	2589.16	USD	6,216.50	
25AUG17	S 1 PD24NOV17	2335.50	2589.16	USD	-6,341.50	
25AUG17	S 2 PD24NOV17	2334.00	2589.16	USD	-12,758.00	
25AUG17	S 3 PD24NOV17	2333.50	2589.16	USD	-19,174.50	
25AUG17	B 1 PD24NOV17	2371.50	2589.16	USD	5,441.50	
25AUG17	B 1 PD24NOV17	2342.00	2589.16	USD	6,179.00	
25AUG17	B 1 PD24NOV17	2332.50	2589.16	USD	6,416.50	
25AUG17	B 1 PD24NOV17	2321.00	2589.16	USD	6,704.00	
25AUG17	S 2 PD24NOV17	2323.50	2589.16	USD	-13,283.00	
25AUG17	S 1 PD24NOV17	2328.50	2589.16	USD	-6,516.50	
25AUG17	S 1 PD24NOV17	2328.00	2589.16	USD	-6,529.00	
25AUG17	S 3 PD24NOV17	2325.50	2589.16	USD	-19,774.50	
25AUG17	B 2 PD24NOV17	2326.50	2589.16	USD	13,133.00	
29AUG17	S 3 PD24NOV17	2376.55	2589.16	USD	-15,945.75	
06SEP17	B 3 PD24NOV17	2336.70	2589.16	USD	18,934.50	
	NET 0 AVG BGHT 2365.57 SOLD 2379.49				**39,676.25**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
29AUG17	B 1 PD29NOV17	2341.50	2589.80	USD	6,207.50	
29AUG17	S 1 PD29NOV17	2342.00	2589.80	USD	-6,195.00	
29AUG17	B 1 PD29NOV17	2345.00	2589.80	USD	6,120.00	
29AUG17	S 1 PD29NOV17	2343.00	2589.80	USD	-6,170.00	
29AUG17	S 1 PD29NOV17	2347.00	2589.80	USD	-6,070.00	
29AUG17	B 1 PD29NOV17	2345.50	2589.80	USD	6,107.50	
29AUG17	S 1 PD29NOV17	2345.50	2589.80	USD	-6,107.50	
29AUG17	B 3 PD29NOV17	2343.50	2589.80	USD	18,472.50	
29AUG17	B 1 PD29NOV17	2350.50	2589.80	USD	5,982.50	
29AUG17	B 2 PD29NOV17	2349.50	2589.80	USD	12,015.00	
29AUG17	B 2 PD29NOV17	2348.00	2589.80	USD	12,090.00	
29AUG17	B 2 PD29NOV17	2350.00	2589.80	USD	11,990.00	
29AUG17	S 1 PD29NOV17	2355.00	2589.80	USD	-5,870.00	
29AUG17	S 2 PD29NOV17	2355.50	2589.80	USD	-11,715.00	
29AUG17	S 2 PD29NOV17	2353.00	2589.80	USD	-11,840.00	
29AUG17	S 1 PD29NOV17	2350.50	2589.80	USD	-5,982.50	
29AUG17	S 2 PD29NOV17	2354.50	2589.80	USD	-11,765.00	
29AUG17	S 2 PD29NOV17	2360.50	2589.80	USD	-11,465.00	
29AUG17	S 3 PD29NOV17	2362.00	2589.80	USD	-17,085.00	
29AUG17	S 1 PD29NOV17	2374.50	2589.80	USD	-5,382.50	
29AUG17	S 1 PD29NOV17	2377.00	2589.80	USD	-5,320.00	
29AUG17	S 1 PD29NOV17	2375.00	2589.80	USD	-5,370.00	
29AUG17	S 1 PD29NOV17	2383.50	2589.80	USD	-5,157.50	
29AUG17	S 1 PD29NOV17	2386.50	2589.80	USD	-5,082.50	
29AUG17	S 1 PD29NOV17	2391.00	2589.80	USD	-4,970.00	
29AUG17	S 1 PD29NOV17	2392.00	2589.80	USD	-4,945.00	
29AUG17	S 1 PD29NOV17	2394.00	2589.80	USD	-4,895.00	
29AUG17	B 1 PD29NOV17	2398.00	2589.80	USD	4,795.00	
29AUG17	B 1 PD29NOV17	2403.50	2589.80	USD	4,657.50	
29AUG17	B 1 PD29NOV17	2396.00	2589.80	USD	4,845.00	
29AUG17	B 1 PD29NOV17	2391.00	2589.80	USD	4,970.00	
29AUG17	S 1 PD29NOV17	2398.50	2589.80	USD	-4,782.50	
29AUG17	B 2 PD29NOV17	2393.00	2589.80	USD	9,840.00	
29AUG17	B 1 PD29NOV17	2395.50	2589.80	USD	4,857.50	
29AUG17	B 1 PD29NOV17	2384.50	2589.80	USD	5,132.50	
29AUG17	B 2 PD29NOV17	2383.50	2589.80	USD	10,315.00	
29AUG17	B 3 PD29NOV17	2381.50	2589.80	USD	15,622.50	
29AUG17	B 2 PD29NOV17	2383.00	2589.80	USD	10,340.00	
29AUG17	B 1 PD29NOV17	2379.00	2589.80	USD	5,270.00	
29AUG17	S 2 PD29NOV17	2386.00	2589.80	USD	-10,190.00	
29AUG17	B 1 PD29NOV17	2382.50	2589.80	USD	5,182.50	
06SEP17	S 2 PD29NOV17	2337.88	2589.80	USD	-12,596.00	
	NET 0 AVG BGHT 2370.05 SOLD 2364.53				**-4,143.50**	**0.00**
30AUG17	B 2 PD30NOV17	2390.00	2589.93	USD	9,996.50	
30AUG17	S 1 PD30NOV17	2399.50	2589.93	USD	-4,760.75	
30AUG17	B 1 PD30NOV17	2399.50	2589.93	USD	4,760.75	
30AUG17	B 1 PD30NOV17	2394.50	2589.93	USD	4,885.75	
30AUG17	B 1 PD30NOV17	2392.00	2589.93	USD	4,948.25	
30AUG17	B 4 PD30NOV17	2391.00	2589.93	USD	19,893.00	
30AUG17	S 1 PD30NOV17	2383.50	2589.93	USD	-5,160.75	
30AUG17	S 1 PD30NOV17	2387.00	2589.93	USD	-5,073.25	
30AUG17	S 1 PD30NOV17	2386.00	2589.93	USD	-5,098.25	
30AUG17	S 2 PD30NOV17	2384.50	2589.93	USD	-10,271.50	
30AUG17	S 1 PD30NOV17	2385.50	2589.93	USD	-5,110.75	
30AUG17	S 2 PD30NOV17	2384.00	2589.93	USD	-10,296.50	
30AUG17	S 1 PD30NOV17	2379.50	2589.93	USD	-5,260.75	
30AUG17	S 1 PD30NOV17	2380.50	2589.93	USD	-5,235.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
30AUG17	B 1 PD30NOV17	2397.50	2589.93	USD	4,810.75	
30AUG17	B 1 PD30NOV17	2396.00	2589.93	USD	4,848.25	
30AUG17	S 2 PD30NOV17	2382.00	2589.93	USD	-10,396.50	
30AUG17	S 1 PD30NOV17	2381.00	2589.93	USD	-5,223.25	
30AUG17	S 3 PD30NOV17	2375.50	2589.93	USD	-16,082.25	
30AUG17	S 1 PD30NOV17	2372.00	2589.93	USD	-5,448.25	
30AUG17	S 1 PD30NOV17	2371.00	2589.93	USD	-5,473.25	
30AUG17	S 1 PD30NOV17	2374.50	2589.93	USD	-5,385.75	
30AUG17	S 1 PD30NOV17	2376.00	2589.93	USD	-5,348.25	
30AUG17	S 6 PD30NOV17	2380.00	2589.93	USD	-31,489.50	
30AUG17	S 3 PD30NOV17	2383.00	2589.93	USD	-15,519.75	
30AUG17	S 1 PD30NOV17	2388.00	2589.93	USD	-5,048.25	
30AUG17	S 1 PD30NOV17	2392.00	2589.93	USD	-4,948.25	
30AUG17	S 2 PD30NOV17	2398.50	2589.93	USD	-9,571.50	
30AUG17	B 2 PD30NOV17	2388.00	2589.93	USD	10,096.50	
30AUG17	B 1 PD30NOV17	2385.50	2589.93	USD	5,110.75	
30AUG17	B 1 PD30NOV17	2384.00	2589.93	USD	5,148.25	
30AUG17	B 1 PD30NOV17	2382.50	2589.93	USD	5,185.75	
30AUG17	B 1 PD30NOV17	2381.50	2589.93	USD	5,210.75	
30AUG17	B 1 PD30NOV17	2378.50	2589.93	USD	5,285.75	
30AUG17	B 1 PD30NOV17	2379.00	2589.93	USD	5,273.25	
30AUG17	B 1 PD30NOV17	2376.50	2589.93	USD	5,335.75	
30AUG17	B 1 PD30NOV17	2374.50	2589.93	USD	5,385.75	
30AUG17	B 1 PD30NOV17	2373.00	2589.93	USD	5,423.25	
30AUG17	B 2 PD30NOV17	2368.50	2589.93	USD	11,071.50	
30AUG17	B 1 PD30NOV17	2370.50	2589.93	USD	5,485.75	
30AUG17	B 2 PD30NOV17	2375.00	2589.93	USD	10,746.50	
30AUG17	B 2 PD30NOV17	2377.50	2589.93	USD	10,621.50	
30AUG17	B 3 PD30NOV17	2377.00	2589.93	USD	15,969.75	
30AUG17	S 2 PD30NOV17	2381.50	2589.93	USD	-10,421.50	
30AUG17	B 1 PD30NOV17	2379.50	2589.93	USD	5,260.75	
30AUG17	B 1 PD30NOV17	2378.00	2589.93	USD	5,298.25	
30AUG17	B 1 PD30NOV17	2377.50	2589.93	USD	5,310.75	
30AUG17	B 1 PD30NOV17	2379.00	2589.93	USD	5,273.25	
31AUG17	B 1 PD30NOV17	2384.50	2589.93	USD	5,135.75	
31AUG17	B 1 PD30NOV17	2385.50	2589.93	USD	5,110.75	
31AUG17	S 1 PD30NOV17	2383.50	2589.93	USD	-5,160.75	
31AUG17	B 2 PD30NOV17	2386.00	2589.93	USD	10,196.50	
31AUG17	B 3 PD30NOV17	2377.00	2589.93	USD	15,969.75	
31AUG17	B 2 PD30NOV17	2376.50	2589.93	USD	10,671.50	
31AUG17	B 1 PD30NOV17	2373.00	2589.93	USD	5,423.25	
31AUG17	S 2 PD30NOV17	2371.50	2589.93	USD	-10,921.50	
31AUG17	S 2 PD30NOV17	2373.00	2589.93	USD	-10,846.50	
31AUG17	B 1 PD30NOV17	2375.00	2589.93	USD	5,373.25	
31AUG17	B 1 PD30NOV17	2382.00	2589.93	USD	5,198.25	
31AUG17	B 3 PD30NOV17	2386.50	2589.93	USD	15,257.25	
31AUG17	S 2 PD30NOV17	2379.50	2589.93	USD	-10,521.50	
31AUG17	B 2 PD30NOV17	2382.50	2589.93	USD	10,371.50	
31AUG17	B 2 PD30NOV17	2388.00	2589.93	USD	10,096.50	
31AUG17	B 1 PD30NOV17	2387.50	2589.93	USD	5,060.75	
31AUG17	S 1 PD30NOV17	2390.00	2589.93	USD	-4,998.25	
31AUG17	B 1 PD30NOV17	2390.50	2589.93	USD	4,985.75	
31AUG17	B 1 PD30NOV17	2392.00	2589.93	USD	4,948.25	
31AUG17	B 2 PD30NOV17	2389.00	2589.93	USD	10,046.50	
31AUG17	B 2 PD30NOV17	2389.50	2589.93	USD	10,021.50	
31AUG17	S 1 PD30NOV17	2388.50	2589.93	USD	-5,035.75	
31AUG17	S 1 PD30NOV17	2389.50	2589.93	USD	-5,010.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
31AUG17	S 1 PD30NOV17	2397.50	2589.93	USD	-4,810.75	
31AUG17	S 1 PD30NOV17	2400.00	2589.93	USD	-4,748.25	
31AUG17	B 1 PD30NOV17	2391.00	2589.93	USD	4,973.25	
31AUG17	B 1 PD30NOV17	2383.00	2589.93	USD	5,173.25	
31AUG17	B 2 PD30NOV17	2375.50	2589.93	USD	10,721.50	
31AUG17	B 4 PD30NOV17	2374.00	2589.93	USD	21,593.00	
31AUG17	B 1 PD30NOV17	2374.50	2589.93	USD	5,385.75	
31AUG17	B 1 PD30NOV17	2378.00	2589.93	USD	5,298.25	
31AUG17	S 1 PD30NOV17	2384.50	2589.93	USD	-5,135.75	
31AUG17	B 1 PD30NOV17	2392.50	2589.93	USD	4,935.75	
31AUG17	B 5 PD30NOV17	2388.50	2589.93	USD	25,178.75	
31AUG17	S 2 PD30NOV17	2392.00	2589.93	USD	-9,896.50	
31AUG17	S 1 PD30NOV17	2381.00	2589.93	USD	-5,223.25	
31AUG17	S 1 PD30NOV17	2384.00	2589.93	USD	-5,148.25	
31AUG17	S 1 PD30NOV17	2385.00	2589.93	USD	-5,123.25	
31AUG17	S 1 PD30NOV17	2385.50	2589.93	USD	-5,110.75	
31AUG17	S 3 PD30NOV17	2386.50	2589.93	USD	-15,257.25	
31AUG17	S 1 PD30NOV17	2389.00	2589.93	USD	-5,023.25	
31AUG17	S 2 PD30NOV17	2391.00	2589.93	USD	-9,946.50	
31AUG17	S 3 PD30NOV17	2394.50	2589.93	USD	-14,657.25	
31AUG17	B 1 PD30NOV17	2395.00	2589.93	USD	4,873.25	
31AUG17	S 2 PD30NOV17	2383.00	2589.93	USD	-10,346.50	
31AUG17	S 2 PD30NOV17	2384.50	2589.93	USD	-10,271.50	
06SEP17	S 1 PD30NOV17	2338.15	2589.93	USD	-6,294.50	
06SEP17	S 10 PD30NOV17	2338.35	2589.93	USD	-62,895.00	
	NET 0 AVG BGHT 2383.03 SOLD 2377.77				**-10,371.25**	**0.00**
01SEP17	S 1 PD01DEC17	2395.00	2590.06	USD	-4,876.50	
01SEP17	S 1 PD01DEC17	2393.50	2590.06	USD	-4,914.00	
01SEP17	S 2 PD01DEC17	2393.00	2590.06	USD	-9,853.00	
01SEP17	S 1 PD01DEC17	2391.00	2590.06	USD	-4,976.50	
01SEP17	S 1 PD01DEC17	2397.50	2590.06	USD	-4,814.00	
01SEP17	S 2 PD01DEC17	2398.50	2590.06	USD	-9,578.00	
01SEP17	S 1 PD01DEC17	2404.00	2590.06	USD	-4,651.50	
01SEP17	S 2 PD01DEC17	2405.00	2590.06	USD	-9,253.00	
01SEP17	B 2 PD01DEC17	2397.50	2590.06	USD	9,628.00	
01SEP17	B 2 PD01DEC17	2398.50	2590.06	USD	9,578.00	
01SEP17	B 1 PD01DEC17	2397.00	2590.06	USD	4,826.50	
01SEP17	B 3 PD01DEC17	2392.00	2590.06	USD	14,854.50	
01SEP17	B 1 PD01DEC17	2391.50	2590.06	USD	4,964.00	
01SEP17	B 3 PD01DEC17	2390.50	2590.06	USD	14,967.00	
01SEP17	S 1 PD01DEC17	2389.00	2590.06	USD	-5,026.50	
01SEP17	B 1 PD01DEC17	2387.00	2590.06	USD	5,076.50	
01SEP17	B 7 PD01DEC17	2388.00	2590.06	USD	35,360.50	
01SEP17	S 2 PD01DEC17	2381.00	2590.06	USD	-10,453.00	
01SEP17	S 1 PD01DEC17	2380.50	2590.06	USD	-5,239.00	
01SEP17	S 1 PD01DEC17	2382.50	2590.06	USD	-5,189.00	
01SEP17	S 1 PD01DEC17	2388.50	2590.06	USD	-5,039.00	
01SEP17	S 1 PD01DEC17	2390.00	2590.06	USD	-5,001.50	
01SEP17	S 1 PD01DEC17	2389.50	2590.06	USD	-5,014.00	
01SEP17	S 3 PD01DEC17	2388.00	2590.06	USD	-15,154.50	
01SEP17	S 1 PD01DEC17	2386.50	2590.06	USD	-5,089.00	
01SEP17	S 2 PD01DEC17	2387.00	2590.06	USD	-10,153.00	
01SEP17	S 1 PD01DEC17	2391.50	2590.06	USD	-4,964.00	
01SEP17	S 1 PD01DEC17	2394.50	2590.06	USD	-4,889.00	
01SEP17	S 2 PD01DEC17	2395.50	2590.06	USD	-9,728.00	
01SEP17	S 2 PD01DEC17	2396.00	2590.06	USD	-9,703.00	
01SEP17	S 3 PD01DEC17	2396.50	2590.06	USD	-14,517.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
01SEP17	S 1 PD01DEC17	2397.00	2590.06	USD	-4,826.50	
01SEP17	S 2 PD01DEC17	2402.50	2590.06	USD	-9,378.00	
01SEP17	S 1 PD01DEC17	2404.50	2590.06	USD	-4,639.00	
01SEP17	S 3 PD01DEC17	2406.50	2590.06	USD	-13,767.00	
01SEP17	S 6 PD01DEC17	2405.50	2590.06	USD	-27,684.00	
01SEP17	S 1 PD01DEC17	2408.50	2590.06	USD	-4,539.00	
01SEP17	S 1 PD01DEC17	2407.00	2590.06	USD	-4,576.50	
01SEP17	S 2 PD01DEC17	2411.00	2590.06	USD	-8,953.00	
01SEP17	S 2 PD01DEC17	2406.00	2590.06	USD	-9,203.00	
01SEP17	S 1 PD01DEC17	2407.50	2590.06	USD	-4,564.00	
01SEP17	S 3 PD01DEC17	2400.00	2590.06	USD	-14,254.50	
01SEP17	B 1 PD01DEC17	2396.00	2590.06	USD	4,851.50	
01SEP17	S 3 PD01DEC17	2401.00	2590.06	USD	-14,179.50	
01SEP17	B 2 PD01DEC17	2408.00	2590.06	USD	9,103.00	
01SEP17	B 1 PD01DEC17	2411.00	2590.06	USD	4,476.50	
01SEP17	B 1 PD01DEC17	2409.50	2590.06	USD	4,514.00	
01SEP17	B 1 PD01DEC17	2411.50	2590.06	USD	4,464.00	
01SEP17	S 1 PD01DEC17	2390.00	2590.06	USD	-5,001.50	
01SEP17	S 1 PD01DEC17	2403.50	2590.06	USD	-4,664.00	
01SEP17	S 1 PD01DEC17	2408.00	2590.06	USD	-4,551.50	
01SEP17	B 1 PD01DEC17	2404.50	2590.06	USD	4,639.00	
01SEP17	B 1 PD01DEC17	2406.50	2590.06	USD	4,589.00	
01SEP17	B 1 PD01DEC17	2406.00	2590.06	USD	4,601.50	
01SEP17	B 2 PD01DEC17	2401.00	2590.06	USD	9,453.00	
01SEP17	B 2 PD01DEC17	2401.50	2590.06	USD	9,428.00	
01SEP17	B 3 PD01DEC17	2402.50	2590.06	USD	14,067.00	
01SEP17	B 2 PD01DEC17	2394.00	2590.06	USD	9,803.00	
01SEP17	B 2 PD01DEC17	2395.00	2590.06	USD	9,753.00	
01SEP17	B 4 PD01DEC17	2394.50	2590.06	USD	19,556.00	
01SEP17	B 2 PD01DEC17	2393.50	2590.06	USD	9,828.00	
01SEP17	B 1 PD01DEC17	2393.00	2590.06	USD	4,926.50	
01SEP17	B 10 PD01DEC17	2392.50	2590.06	USD	49,390.00	
01SEP17	B 4 PD01DEC17	2391.00	2590.06	USD	19,906.00	
01SEP17	S 4 PD01DEC17	2398.00	2590.06	USD	-19,206.00	
01SEP17	B 3 PD01DEC17	2399.00	2590.06	USD	14,329.50	
06SEP17	B 3 PD01DEC17	2338.83	2590.06	USD	18,842.25	
	NET 0 AVG BGHT 2393.18 SOLD 2397.78				**7,712.75**	**0.00**
04SEP17	S 1 PD04DEC17	2398.50	2590.44	USD	-4,798.50	
04SEP17	S 4 PD04DEC17	2399.00	2590.44	USD	-19,144.00	
04SEP17	B 2 PD04DEC17	2406.50	2590.44	USD	9,197.00	
04SEP17	B 3 PD04DEC17	2407.50	2590.44	USD	13,720.50	
04SEP17	B 2 PD04DEC17	2400.50	2590.44	USD	9,497.00	
04SEP17	B 1 PD04DEC17	2393.50	2590.44	USD	4,923.50	
04SEP17	B 2 PD04DEC17	2395.00	2590.44	USD	9,772.00	
04SEP17	B 1 PD04DEC17	2388.50	2590.44	USD	5,048.50	
04SEP17	B 1 PD04DEC17	2387.50	2590.44	USD	5,073.50	
04SEP17	B 1 PD04DEC17	2387.00	2590.44	USD	5,086.00	
04SEP17	B 2 PD04DEC17	2386.50	2590.44	USD	10,197.00	
04SEP17	B 1 PD04DEC17	2386.00	2590.44	USD	5,111.00	
04SEP17	B 1 PD04DEC17	2384.00	2590.44	USD	5,161.00	
04SEP17	B 1 PD04DEC17	2399.00	2590.44	USD	4,786.00	
04SEP17	B 1 PD04DEC17	2391.50	2590.44	USD	4,973.50	
04SEP17	B 1 PD04DEC17	2390.50	2590.44	USD	4,998.50	
04SEP17	B 1 PD04DEC17	2392.00	2590.44	USD	4,961.00	
04SEP17	B 1 PD04DEC17	2391.00	2590.44	USD	4,986.00	
04SEP17	B 1 PD04DEC17	2389.50	2590.44	USD	5,023.50	
04SEP17	S 1 PD04DEC17	2391.50	2590.44	USD	-4,973.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
04SEP17	S 2 PD04DEC17	2397.50	2590.44	USD	-9,647.00	
04SEP17	S 5 PD04DEC17	2398.00	2590.44	USD	-24,055.00	
04SEP17	S 1 PD04DEC17	2401.00	2590.44	USD	-4,736.00	
04SEP17	S 2 PD04DEC17	2402.00	2590.44	USD	-9,422.00	
04SEP17	S 1 PD04DEC17	2400.00	2590.44	USD	-4,761.00	
04SEP17	S 3 PD04DEC17	2401.50	2590.44	USD	-14,170.50	
04SEP17	S 1 PD04DEC17	2399.50	2590.44	USD	-4,773.50	
04SEP17	B 2 PD04DEC17	2390.00	2590.44	USD	10,022.00	
04SEP17	S 1 PD04DEC17	2393.00	2590.44	USD	-4,936.00	
04SEP17	S 1 PD04DEC17	2395.00	2590.44	USD	-4,886.00	
04SEP17	B 1 PD04DEC17	2391.50	2590.44	USD	4,973.50	
04SEP17	S 1 PD04DEC17	2392.50	2590.44	USD	-4,948.50	
06SEP17	S 2 PD04DEC17	2339.45	2590.44	USD	-12,549.50	
	NET 0 AVG BGHT 2394.27 SOLD 2393.82				**-290.00**	**0.00**
05SEP17	S 1 PD05DEC17	2400.50	2590.57	USD	-4,751.75	
05SEP17	S 1 PD05DEC17	2396.50	2590.57	USD	-4,851.75	
05SEP17	S 5 PD05DEC17	2394.50	2590.57	USD	-24,508.75	
05SEP17	S 1 PD05DEC17	2397.50	2590.57	USD	-4,826.75	
05SEP17	S 2 PD05DEC17	2398.50	2590.57	USD	-9,603.50	
05SEP17	B 2 PD05DEC17	2399.50	2590.57	USD	9,553.50	
05SEP17	S 1 PD05DEC17	2404.50	2590.57	USD	-4,651.75	
05SEP17	S 3 PD05DEC17	2403.50	2590.57	USD	-14,030.25	
05SEP17	S 1 PD05DEC17	2401.50	2590.57	USD	-4,726.75	
05SEP17	S 1 PD05DEC17	2402.50	2590.57	USD	-4,701.75	
05SEP17	S 1 PD05DEC17	2403.00	2590.57	USD	-4,689.25	
05SEP17	S 1 PD05DEC17	2405.50	2590.57	USD	-4,626.75	
05SEP17	S 1 PD05DEC17	2405.00	2590.57	USD	-4,639.25	
05SEP17	B 1 PD05DEC17	2396.00	2590.57	USD	4,864.25	
05SEP17	S 4 PD05DEC17	2396.00	2590.57	USD	-19,457.00	
05SEP17	S 2 PD05DEC17	2395.50	2590.57	USD	-9,753.50	
05SEP17	S 6 PD05DEC17	2395.00	2590.57	USD	-29,335.50	
05SEP17	S 1 PD05DEC17	2394.00	2590.57	USD	-4,914.25	
05SEP17	S 3 PD05DEC17	2393.00	2590.57	USD	-14,817.75	
05SEP17	B 1 PD05DEC17	2393.00	2590.57	USD	4,939.25	
05SEP17	B 1 PD05DEC17	2392.00	2590.57	USD	4,964.25	
05SEP17	B 4 PD05DEC17	2391.00	2590.57	USD	19,957.00	
05SEP17	B 3 PD05DEC17	2388.00	2590.57	USD	15,192.75	
05SEP17	B 4 PD05DEC17	2389.50	2590.57	USD	20,107.00	
05SEP17	B 2 PD05DEC17	2390.00	2590.57	USD	10,028.50	
05SEP17	B 10 PD05DEC17	2389.00	2590.57	USD	50,392.50	
05SEP17	B 3 PD05DEC17	2388.50	2590.57	USD	15,155.25	
05SEP17	B 4 PD05DEC17	2386.00	2590.57	USD	20,457.00	
05SEP17	B 2 PD05DEC17	2387.00	2590.57	USD	10,178.50	
05SEP17	B 1 PD05DEC17	2386.50	2590.57	USD	5,101.75	
05SEP17	B 5 PD05DEC17	2385.50	2590.57	USD	25,633.75	
05SEP17	B 2 PD05DEC17	2384.50	2590.57	USD	10,303.50	
05SEP17	B 1 PD05DEC17	2382.50	2590.57	USD	5,201.75	
05SEP17	B 2 PD05DEC17	2382.00	2590.57	USD	10,428.50	
05SEP17	B 1 PD05DEC17	2374.00	2590.57	USD	5,414.25	
05SEP17	B 1 PD05DEC17	2373.00	2590.57	USD	5,439.25	
05SEP17	B 1 PD05DEC17	2372.50	2590.57	USD	5,451.75	
05SEP17	S 1 PD05DEC17	2375.00	2590.57	USD	-5,389.25	
05SEP17	S 1 PD05DEC17	2376.00	2590.57	USD	-5,364.25	
05SEP17	B 1 PD05DEC17	2370.50	2590.57	USD	5,501.75	
05SEP17	B 1 PD05DEC17	2371.00	2590.57	USD	5,489.25	
05SEP17	B 1 PD05DEC17	2368.50	2590.57	USD	5,551.75	
05SEP17	S 1 PD05DEC17	2382.50	2590.57	USD	-5,201.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
05SEP17	S 3 PD05DEC17	2374.00	2590.57	USD	-16,242.75	
05SEP17	S 2 PD05DEC17	2364.00	2590.57	USD	-11,328.50	
05SEP17	S 1 PD05DEC17	2372.00	2590.57	USD	-5,464.25	
05SEP17	S 1 PD05DEC17	2365.50	2590.57	USD	-5,626.75	
05SEP17	S 2 PD05DEC17	2364.50	2590.57	USD	-11,303.50	
05SEP17	B 1 PD05DEC17	2368.00	2590.57	USD	5,564.25	
05SEP17	S 1 PD05DEC17	2361.50	2590.57	USD	-5,726.75	
05SEP17	S 1 PD05DEC17	2362.00	2590.57	USD	-5,714.25	
05SEP17	B 1 PD05DEC17	2356.00	2590.57	USD	5,864.25	
05SEP17	S 1 PD05DEC17	2360.00	2590.57	USD	-5,764.25	
05SEP17	S 2 PD05DEC17	2354.50	2590.57	USD	-11,803.50	
05SEP17	B 2 PD05DEC17	2354.00	2590.57	USD	11,828.50	
05SEP17	B 1 PD05DEC17	2353.50	2590.57	USD	5,926.75	
05SEP17	S 1 PD05DEC17	2347.00	2590.57	USD	-6,089.25	
05SEP17	S 2 PD05DEC17	2339.00	2590.57	USD	-12,578.50	
05SEP17	S 3 PD05DEC17	2341.50	2590.57	USD	-18,680.25	
05SEP17	S 4 PD05DEC17	2345.00	2590.57	USD	-24,557.00	
05SEP17	B 3 PD05DEC17	2346.00	2590.57	USD	18,342.75	
05SEP17	B 1 PD05DEC17	2345.00	2590.57	USD	6,139.25	
05SEP17	B 2 PD05DEC17	2339.00	2590.57	USD	12,578.50	
05SEP17	B 1 PD05DEC17	2341.50	2590.57	USD	6,226.75	
05SEP17	B 1 PD05DEC17	2338.50	2590.57	USD	6,301.75	
05SEP17	S 1 PD05DEC17	2338.50	2590.57	USD	-6,301.75	
05SEP17	S 1 PD05DEC17	2336.00	2590.57	USD	-6,364.25	
05SEP17	B 2 PD05DEC17	2331.50	2590.57	USD	12,953.50	
05SEP17	B 1 PD05DEC17	2332.50	2590.57	USD	6,451.75	
05SEP17	S 1 PD05DEC17	2327.00	2590.57	USD	-6,589.25	
05SEP17	S 1 PD05DEC17	2329.50	2590.57	USD	-6,526.75	
05SEP17	S 1 PD05DEC17	2327.50	2590.57	USD	-6,576.75	
05SEP17	S 3 PD05DEC17	2328.00	2590.57	USD	-19,692.75	
05SEP17	S 1 PD05DEC17	2331.50	2590.57	USD	-6,476.75	
05SEP17	S 2 PD05DEC17	2330.00	2590.57	USD	-13,028.50	
05SEP17	S 3 PD05DEC17	2338.00	2590.57	USD	-18,942.75	
05SEP17	S 1 PD05DEC17	2342.50	2590.57	USD	-6,201.75	
05SEP17	S 1 PD05DEC17	2343.00	2590.57	USD	-6,189.25	
05SEP17	S 2 PD05DEC17	2340.00	2590.57	USD	-12,528.50	
05SEP17	S 2 PD05DEC17	2340.50	2590.57	USD	-12,503.50	
05SEP17	S 2 PD05DEC17	2326.00	2590.57	USD	-13,228.50	
05SEP17	S 1 PD05DEC17	2326.50	2590.57	USD	-6,601.75	
05SEP17	S 1 PD05DEC17	2325.00	2590.57	USD	-6,639.25	
05SEP17	S 2 PD05DEC17	2329.00	2590.57	USD	-13,078.50	
05SEP17	S 2 PD05DEC17	2336.50	2590.57	USD	-12,703.50	
05SEP17	B 1 PD05DEC17	2332.00	2590.57	USD	6,464.25	
06SEP17	B 9 PD05DEC17	2339.93	2590.57	USD	56,394.00	
08SEP17	B 10 PD05DEC17	2264.58	2590.57	USD	81,497.50	
	NET 0 AVG BGHT 2360.42 SOLD 2365.73				**11,945.75**	**0.00**
06SEP17	B 1 PD06DEC17	2339.00	2590.70	USD	6,292.50	
06SEP17	B 2 PD06DEC17	2338.00	2590.70	USD	12,635.00	
06SEP17	B 2 PD06DEC17	2337.50	2590.70	USD	12,660.00	
06SEP17	B 1 PD06DEC17	2326.50	2590.70	USD	6,605.00	
06SEP17	S 1 PD06DEC17	2320.50	2590.70	USD	-6,755.00	
06SEP17	S 1 PD06DEC17	2318.00	2590.70	USD	-6,817.50	
06SEP17	S 1 PD06DEC17	2319.00	2590.70	USD	-6,792.50	
06SEP17	S 2 PD06DEC17	2320.00	2590.70	USD	-13,535.00	
06SEP17	S 2 PD06DEC17	2323.00	2590.70	USD	-13,385.00	
06SEP17	S 1 PD06DEC17	2323.50	2590.70	USD	-6,680.00	
06SEP17	S 1 PD06DEC17	2324.50	2590.70	USD	-6,655.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
06SEP17	S 3 PD06DEC17	2327.00	2590.70	USD	-19,777.50	
06SEP17	S 1 PD06DEC17	2330.00	2590.70	USD	-6,517.50	
06SEP17	S 1 PD06DEC17	2329.50	2590.70	USD	-6,530.00	
06SEP17	S 2 PD06DEC17	2326.00	2590.70	USD	-13,235.00	
06SEP17	S 2 PD06DEC17	2324.00	2590.70	USD	-13,335.00	
06SEP17	S 4 PD06DEC17	2325.00	2590.70	USD	-26,570.00	
06SEP17	B 1 PD06DEC17	2324.00	2590.70	USD	6,667.50	
06SEP17	S 1 PD06DEC17	2358.00	2590.70	USD	-5,817.50	
06SEP17	B 2 PD06DEC17	2345.00	2590.70	USD	12,285.00	
06SEP17	B 1 PD06DEC17	2341.00	2590.70	USD	6,242.50	
06SEP17	B 1 PD06DEC17	2340.50	2590.70	USD	6,255.00	
06SEP17	B 1 PD06DEC17	2340.00	2590.70	USD	6,267.50	
06SEP17	B 1 PD06DEC17	2341.50	2590.70	USD	6,230.00	
06SEP17	S 1 PD06DEC17	2342.00	2590.70	USD	-6,217.50	
06SEP17	B 2 PD06DEC17	2342.00	2590.70	USD	12,435.00	
06SEP17	S 5 PD06DEC17	2342.50	2590.70	USD	-31,025.00	
06SEP17	B 3 PD06DEC17	2346.00	2590.70	USD	18,352.50	
06SEP17	S 1 PD06DEC17	2361.00	2590.70	USD	-5,742.50	
06SEP17	B 1 PD06DEC17	2351.00	2590.70	USD	5,992.50	
06SEP17	B 1 PD06DEC17	2351.50	2590.70	USD	5,980.00	
06SEP17	B 1 PD06DEC17	2350.00	2590.70	USD	6,017.50	
06SEP17	B 1 PD06DEC17	2350.50	2590.70	USD	6,005.00	
06SEP17	B 1 PD06DEC17	2343.00	2590.70	USD	6,192.50	
06SEP17	B 2 PD06DEC17	2347.00	2590.70	USD	12,185.00	
06SEP17	B 1 PD06DEC17	2353.00	2590.70	USD	5,942.50	
06SEP17	B 1 PD06DEC17	2355.00	2590.70	USD	5,892.50	
06SEP17	B 2 PD06DEC17	2355.50	2590.70	USD	11,760.00	
06SEP17	B 1 PD06DEC17	2354.00	2590.70	USD	5,917.50	
06SEP17	B 1 PD06DEC17	2352.00	2590.70	USD	5,967.50	
06SEP17	B 1 PD06DEC17	2349.00	2590.70	USD	6,042.50	
06SEP17	B 1 PD06DEC17	2347.50	2590.70	USD	6,080.00	
06SEP17	B 2 PD06DEC17	2343.50	2590.70	USD	12,360.00	
06SEP17	S 1 PD06DEC17	2346.50	2590.70	USD	-6,105.00	
06SEP17	B 3 PD06DEC17	2344.00	2590.70	USD	18,502.50	
06SEP17	B 2 PD06DEC17	2345.50	2590.70	USD	12,260.00	
06SEP17	B 1 PD06DEC17	2346.00	2590.70	USD	6,117.50	
06SEP17	B 1 PD06DEC17	2344.50	2590.70	USD	6,155.00	
08SEP17	S 10 PD06DEC17	2264.80	2590.70	USD	-81,475.00	
08SEP17	S 2 PD06DEC17	2265.00	2590.70	USD	-16,285.00	
14SEP17	B 1 PD06DEC17	2304.72	2590.70	USD	7,149.50	
	NET 0 AVG BGHT 2343.77 SOLD 2312.33				**-33,805.50**	**0.00**
07SEP17	S 2 PD07DEC17	2343.50	2590.83	USD	-12,366.50	
07SEP17	S 2 PD07DEC17	2341.50	2590.83	USD	-12,466.50	
07SEP17	B 1 PD07DEC17	2332.00	2590.83	USD	6,470.75	
07SEP17	B 2 PD07DEC17	2334.50	2590.83	USD	12,816.50	
07SEP17	B 2 PD07DEC17	2333.50	2590.83	USD	12,866.50	
07SEP17	S 2 PD07DEC17	2336.00	2590.83	USD	-12,741.50	
07SEP17	B 1 PD07DEC17	2340.50	2590.83	USD	6,258.25	
07SEP17	B 1 PD07DEC17	2338.50	2590.83	USD	6,308.25	
07SEP17	B 3 PD07DEC17	2335.50	2590.83	USD	19,149.75	
07SEP17	B 4 PD07DEC17	2331.50	2590.83	USD	25,933.00	
07SEP17	B 4 PD07DEC17	2330.50	2590.83	USD	26,033.00	
07SEP17	B 4 PD07DEC17	2331.00	2590.83	USD	25,983.00	
07SEP17	B 2 PD07DEC17	2332.50	2590.83	USD	12,916.50	
07SEP17	B 5 PD07DEC17	2333.00	2590.83	USD	32,228.75	
07SEP17	B 1 PD07DEC17	2330.00	2590.83	USD	6,520.75	
07SEP17	B 3 PD07DEC17	2329.00	2590.83	USD	19,637.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
07SEP17	B 1 PD07DEC17	2328.00	2590.83	USD	6,570.75	
07SEP17	B 2 PD07DEC17	2321.00	2590.83	USD	13,491.50	
07SEP17	S 1 PD07DEC17	2331.00	2590.83	USD	-6,495.75	
07SEP17	S 1 PD07DEC17	2330.50	2590.83	USD	-6,508.25	
07SEP17	S 1 PD07DEC17	2333.00	2590.83	USD	-6,445.75	
07SEP17	S 1 PD07DEC17	2335.00	2590.83	USD	-6,395.75	
07SEP17	S 3 PD07DEC17	2334.50	2590.83	USD	-19,224.75	
07SEP17	S 3 PD07DEC17	2344.00	2590.83	USD	-18,512.25	
07SEP17	S 2 PD07DEC17	2342.50	2590.83	USD	-12,416.50	
07SEP17	S 2 PD07DEC17	2338.50	2590.83	USD	-12,616.50	
07SEP17	B 1 PD07DEC17	2340.00	2590.83	USD	6,270.75	
07SEP17	S 3 PD07DEC17	2337.50	2590.83	USD	-18,999.75	
07SEP17	S 5 PD07DEC17	2336.50	2590.83	USD	-31,791.25	
07SEP17	S 3 PD07DEC17	2337.00	2590.83	USD	-19,037.25	
07SEP17	S 2 PD07DEC17	2342.00	2590.83	USD	-12,441.50	
07SEP17	S 3 PD07DEC17	2338.00	2590.83	USD	-18,962.25	
07SEP17	S 4 PD07DEC17	2339.00	2590.83	USD	-25,183.00	
07SEP17	S 4 PD07DEC17	2340.00	2590.83	USD	-25,083.00	
07SEP17	S 5 PD07DEC17	2339.50	2590.83	USD	-31,416.25	
07SEP17	S 1 PD07DEC17	2340.50	2590.83	USD	-6,258.25	
07SEP17	S 5 PD07DEC17	2341.00	2590.83	USD	-31,228.75	
07SEP17	S 2 PD07DEC17	2345.00	2590.83	USD	-12,291.50	
07SEP17	S 1 PD07DEC17	2346.50	2590.83	USD	-6,108.25	
07SEP17	S 1 PD07DEC17	2350.50	2590.83	USD	-6,008.25	
07SEP17	S 1 PD07DEC17	2352.00	2590.83	USD	-5,970.75	
07SEP17	S 1 PD07DEC17	2352.50	2590.83	USD	-5,958.25	
07SEP17	S 1 PD07DEC17	2354.50	2590.83	USD	-5,908.25	
07SEP17	S 1 PD07DEC17	2356.00	2590.83	USD	-5,870.75	
07SEP17	S 3 PD07DEC17	2355.50	2590.83	USD	-17,649.75	
07SEP17	S 1 PD07DEC17	2360.50	2590.83	USD	-5,758.25	
07SEP17	S 1 PD07DEC17	2362.00	2590.83	USD	-5,720.75	
07SEP17	B 1 PD07DEC17	2349.50	2590.83	USD	6,033.25	
07SEP17	S 1 PD07DEC17	2355.00	2590.83	USD	-5,895.75	
07SEP17	B 1 PD07DEC17	2345.00	2590.83	USD	6,145.75	
07SEP17	B 4 PD07DEC17	2339.50	2590.83	USD	25,133.00	
07SEP17	B 2 PD07DEC17	2337.50	2590.83	USD	12,666.50	
07SEP17	B 3 PD07DEC17	2339.00	2590.83	USD	18,887.25	
07SEP17	B 1 PD07DEC17	2343.00	2590.83	USD	6,195.75	
07SEP17	B 2 PD07DEC17	2342.00	2590.83	USD	12,441.50	
07SEP17	B 1 PD07DEC17	2341.50	2590.83	USD	6,233.25	
07SEP17	B 1 PD07DEC17	2345.50	2590.83	USD	6,133.25	
07SEP17	B 2 PD07DEC17	2346.00	2590.83	USD	12,241.50	
07SEP17	B 1 PD07DEC17	2344.00	2590.83	USD	6,170.75	
07SEP17	S 2 PD07DEC17	2346.00	2590.83	USD	-12,241.50	
07SEP17	B 2 PD07DEC17	2347.00	2590.83	USD	12,191.50	
07SEP17	B 2 PD07DEC17	2347.50	2590.83	USD	12,166.50	
07SEP17	B 1 PD07DEC17	2348.00	2590.83	USD	6,070.75	
07SEP17	B 2 PD07DEC17	2346.50	2590.83	USD	12,216.50	
07SEP17	B 1 PD07DEC17	2344.00	2590.83	USD	6,170.75	
07SEP17	B 1 PD07DEC17	2346.00	2590.83	USD	6,120.75	
08SEP17	B 2 PD07DEC17	2265.20	2590.83	USD	16,281.50	
13SEP17	B 1 PD07DEC17	2288.11	2590.83	USD	7,568.00	
14SEP17	B 3 PD07DEC17	2305.01	2590.83	USD	21,436.50	
	NET 0 AVG BGHT 2332.82 SOLD 2341.83				**15,986.50**	**0.00**
08SEP17	B 1 PD08DEC17	2356.00	2590.96	USD	5,874.00	
08SEP17	B 1 PD08DEC17	2354.50	2590.96	USD	5,911.50	
08SEP17	B 1 PD08DEC17	2354.00	2590.96	USD	5,924.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
08SEP17	B 2 PD08DEC17	2352.00	2590.96	USD	11,948.00	
08SEP17	S 1 PD08DEC17	2347.00	2590.96	USD	-6,099.00	
08SEP17	S 1 PD08DEC17	2341.50	2590.96	USD	-6,236.50	
08SEP17	S 1 PD08DEC17	2342.50	2590.96	USD	-6,211.50	
08SEP17	S 1 PD08DEC17	2337.50	2590.96	USD	-6,336.50	
08SEP17	S 1 PD08DEC17	2339.50	2590.96	USD	-6,286.50	
08SEP17	S 4 PD08DEC17	2338.50	2590.96	USD	-25,246.00	
08SEP17	S 1 PD08DEC17	2338.00	2590.96	USD	-6,324.00	
08SEP17	S 2 PD08DEC17	2335.50	2590.96	USD	-12,773.00	
08SEP17	S 1 PD08DEC17	2330.50	2590.96	USD	-6,511.50	
08SEP17	S 1 PD08DEC17	2332.00	2590.96	USD	-6,474.00	
08SEP17	B 2 PD08DEC17	2325.50	2590.96	USD	13,273.00	
08SEP17	B 1 PD08DEC17	2321.00	2590.96	USD	6,749.00	
08SEP17	S 1 PD08DEC17	2318.00	2590.96	USD	-6,824.00	
08SEP17	S 1 PD08DEC17	2319.00	2590.96	USD	-6,799.00	
08SEP17	B 1 PD08DEC17	2318.00	2590.96	USD	6,824.00	
08SEP17	S 1 PD08DEC17	2321.50	2590.96	USD	-6,736.50	
08SEP17	S 2 PD08DEC17	2317.50	2590.96	USD	-13,673.00	
08SEP17	S 1 PD08DEC17	2323.50	2590.96	USD	-6,686.50	
08SEP17	B 1 PD08DEC17	2316.00	2590.96	USD	6,874.00	
08SEP17	B 2 PD08DEC17	2315.50	2590.96	USD	13,773.00	
08SEP17	B 3 PD08DEC17	2314.50	2590.96	USD	20,734.50	
08SEP17	B 1 PD08DEC17	2313.00	2590.96	USD	6,949.00	
08SEP17	B 3 PD08DEC17	2311.50	2590.96	USD	20,959.50	
08SEP17	B 1 PD08DEC17	2309.50	2590.96	USD	7,036.50	
08SEP17	B 1 PD08DEC17	2309.00	2590.96	USD	7,049.00	
08SEP17	B 1 PD08DEC17	2298.00	2590.96	USD	7,324.00	
08SEP17	S 1 PD08DEC17	2302.50	2590.96	USD	-7,211.50	
08SEP17	S 1 PD08DEC17	2309.00	2590.96	USD	-7,049.00	
08SEP17	S 3 PD08DEC17	2308.50	2590.96	USD	-21,184.50	
08SEP17	S 2 PD08DEC17	2308.00	2590.96	USD	-14,148.00	
08SEP17	B 1 PD08DEC17	2306.50	2590.96	USD	7,111.50	
08SEP17	B 1 PD08DEC17	2306.00	2590.96	USD	7,124.00	
08SEP17	B 2 PD08DEC17	2307.50	2590.96	USD	14,173.00	
08SEP17	B 3 PD08DEC17	2304.00	2590.96	USD	21,522.00	
08SEP17	B 1 PD08DEC17	2301.00	2590.96	USD	7,249.00	
08SEP17	B 3 PD08DEC17	2298.50	2590.96	USD	21,934.50	
08SEP17	B 1 PD08DEC17	2303.50	2590.96	USD	7,186.50	
08SEP17	S 2 PD08DEC17	2305.50	2590.96	USD	-14,273.00	
08SEP17	S 1 PD08DEC17	2306.50	2590.96	USD	-7,111.50	
08SEP17	B 1 PD08DEC17	2305.00	2590.96	USD	7,149.00	
08SEP17	B 2 PD08DEC17	2302.50	2590.96	USD	14,423.00	
08SEP17	B 3 PD08DEC17	2301.50	2590.96	USD	21,709.50	
08SEP17	B 1 PD08DEC17	2300.00	2590.96	USD	7,274.00	
08SEP17	B 1 PD08DEC17	2299.50	2590.96	USD	7,286.50	
08SEP17	B 1 PD08DEC17	2299.00	2590.96	USD	7,299.00	
08SEP17	B 2 PD08DEC17	2296.00	2590.96	USD	14,748.00	
08SEP17	B 1 PD08DEC17	2296.50	2590.96	USD	7,361.50	
08SEP17	B 1 PD08DEC17	2293.00	2590.96	USD	7,449.00	
08SEP17	B 1 PD08DEC17	2356.50	2590.96	USD	5,861.50	
08SEP17	B 1 PD08DEC17	2340.00	2590.96	USD	6,274.00	
08SEP17	B 1 PD08DEC17	2321.50	2590.96	USD	6,736.50	
08SEP17	B 1 PD08DEC17	2320.50	2590.96	USD	6,761.50	
08SEP17	B 1 PD08DEC17	2317.00	2590.96	USD	6,849.00	
08SEP17	S 1 PD08DEC17	2300.50	2590.96	USD	-7,261.50	
08SEP17	S 2 PD08DEC17	2291.50	2590.96	USD	-14,973.00	
08SEP17	S 1 PD08DEC17	2295.00	2590.96	USD	-7,399.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
08SEP17	S 1 PD08DEC17	2294.50	2590.96	USD	-7,411.50	
08SEP17	S 2 PD08DEC17	2295.50	2590.96	USD	-14,773.00	
08SEP17	S 1 PD08DEC17	2292.50	2590.96	USD	-7,461.50	
08SEP17	S 1 PD08DEC17	2293.00	2590.96	USD	-7,449.00	
08SEP17	S 1 PD08DEC17	2294.00	2590.96	USD	-7,424.00	
08SEP17	S 2 PD08DEC17	2293.50	2590.96	USD	-14,873.00	
08SEP17	S 1 PD08DEC17	2297.00	2590.96	USD	-7,349.00	
08SEP17	S 5 PD08DEC17	2298.50	2590.96	USD	-36,557.50	
08SEP17	S 2 PD08DEC17	2298.00	2590.96	USD	-14,648.00	
08SEP17	S 4 PD08DEC17	2299.50	2590.96	USD	-29,146.00	
08SEP17	S 4 PD08DEC17	2307.00	2590.96	USD	-28,396.00	
08SEP17	S 6 PD08DEC17	2300.00	2590.96	USD	-43,644.00	
08SEP17	S 3 PD08DEC17	2297.50	2590.96	USD	-22,009.50	
08SEP17	B 1 PD08DEC17	2286.00	2590.96	USD	7,624.00	
08SEP17	B 1 PD08DEC17	2283.50	2590.96	USD	7,686.50	
08SEP17	S 1 PD08DEC17	2279.50	2590.96	USD	-7,786.50	
08SEP17	B 1 PD08DEC17	2279.00	2590.96	USD	7,799.00	
08SEP17	S 1 PD08DEC17	2286.00	2590.96	USD	-7,624.00	
08SEP17	S 1 PD08DEC17	2287.00	2590.96	USD	-7,599.00	
08SEP17	B 1 PD08DEC17	2275.50	2590.96	USD	7,886.50	
08SEP17	B 1 PD08DEC17	2267.50	2590.96	USD	8,086.50	
08SEP17	B 1 PD08DEC17	2269.00	2590.96	USD	8,049.00	
08SEP17	B 2 PD08DEC17	2268.00	2590.96	USD	16,148.00	
08SEP17	S 1 PD08DEC17	2269.00	2590.96	USD	-8,049.00	
08SEP17	B 4 PD08DEC17	2266.50	2590.96	USD	32,446.00	
08SEP17	B 3 PD08DEC17	2266.00	2590.96	USD	24,372.00	
14SEP17	B 1 PD08DEC17	2305.29	2590.96	USD	7,141.75	
15SEP17	B 2 PD08DEC17	2357.08	2590.96	USD	11,694.00	
19SEP17	B 1 PD08DEC17	2418.50	2590.96	USD	4,311.50	
	NET 0 AVG BGHT 2307.06 SOLD 2308.13				**1,901.25**	**0.00**
11SEP17	S 1 PD11DEC17	2275.50	2591.34	USD	-7,896.00	
11SEP17	S 1 PD11DEC17	2274.50	2591.34	USD	-7,921.00	
11SEP17	S 1 PD11DEC17	2274.00	2591.34	USD	-7,933.50	
11SEP17	B 1 PD11DEC17	2268.50	2591.34	USD	8,071.00	
11SEP17	S 1 PD11DEC17	2268.00	2591.34	USD	-8,083.50	
11SEP17	S 1 PD11DEC17	2268.50	2591.34	USD	-8,071.00	
11SEP17	S 3 PD11DEC17	2267.50	2591.34	USD	-24,288.00	
11SEP17	B 4 PD11DEC17	2270.50	2591.34	USD	32,084.00	
11SEP17	B 2 PD11DEC17	2270.00	2591.34	USD	16,067.00	
11SEP17	B 7 PD11DEC17	2269.50	2591.34	USD	56,322.00	
11SEP17	S 3 PD11DEC17	2285.00	2591.34	USD	-22,975.50	
11SEP17	B 4 PD11DEC17	2280.00	2591.34	USD	31,134.00	
11SEP17	B 1 PD11DEC17	2279.00	2591.34	USD	7,808.50	
11SEP17	B 1 PD11DEC17	2276.00	2591.34	USD	7,883.50	
11SEP17	B 4 PD11DEC17	2276.50	2591.34	USD	31,484.00	
11SEP17	B 2 PD11DEC17	2272.50	2591.34	USD	15,942.00	
11SEP17	B 1 PD11DEC17	2272.00	2591.34	USD	7,983.50	
11SEP17	S 1 PD11DEC17	2281.50	2591.34	USD	-7,746.00	
11SEP17	S 1 PD11DEC17	2288.00	2591.34	USD	-7,583.50	
11SEP17	S 1 PD11DEC17	2288.50	2591.34	USD	-7,571.00	
11SEP17	S 2 PD11DEC17	2289.50	2591.34	USD	-15,092.00	
11SEP17	S 1 PD11DEC17	2291.50	2591.34	USD	-7,496.00	
11SEP17	B 1 PD11DEC17	2293.50	2591.34	USD	7,446.00	
11SEP17	S 2 PD11DEC17	2293.50	2591.34	USD	-14,892.00	
11SEP17	S 5 PD11DEC17	2295.00	2591.34	USD	-37,042.50	
11SEP17	S 5 PD11DEC17	2296.00	2591.34	USD	-36,917.50	
11SEP17	S 2 PD11DEC17	2297.00	2591.34	USD	-14,717.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
11SEP17	S 1 PD11DEC17	2297.50	2591.34	USD	-7,346.00	
11SEP17	S 3 PD11DEC17	2295.50	2591.34	USD	-22,188.00	
11SEP17	S 4 PD11DEC17	2292.50	2591.34	USD	-29,884.00	
11SEP17	S 3 PD11DEC17	2287.50	2591.34	USD	-22,788.00	
11SEP17	S 4 PD11DEC17	2287.00	2591.34	USD	-30,434.00	
11SEP17	S 1 PD11DEC17	2283.00	2591.34	USD	-7,708.50	
11SEP17	S 2 PD11DEC17	2283.50	2591.34	USD	-15,392.00	
11SEP17	S 1 PD11DEC17	2287.00	2591.34	USD	-7,608.50	
11SEP17	S 2 PD11DEC17	2287.50	2591.34	USD	-15,192.00	
11SEP17	S 1 PD11DEC17	2291.50	2591.34	USD	-7,496.00	
11SEP17	S 1 PD11DEC17	2292.00	2591.34	USD	-7,483.50	
11SEP17	S 1 PD11DEC17	2277.00	2591.34	USD	-7,858.50	
11SEP17	S 1 PD11DEC17	2277.50	2591.34	USD	-7,846.00	
11SEP17	S 1 PD11DEC17	2280.00	2591.34	USD	-7,783.50	
11SEP17	B 1 PD11DEC17	2270.50	2591.34	USD	8,021.00	
11SEP17	S 1 PD11DEC17	2294.00	2591.34	USD	-7,433.50	
11SEP17	B 1 PD11DEC17	2282.00	2591.34	USD	7,733.50	
11SEP17	B 4 PD11DEC17	2267.00	2591.34	USD	32,434.00	
11SEP17	S 1 PD11DEC17	2265.50	2591.34	USD	-8,146.00	
11SEP17	B 1 PD11DEC17	2264.00	2591.34	USD	8,183.50	
11SEP17	B 1 PD11DEC17	2262.50	2591.34	USD	8,221.00	
11SEP17	B 1 PD11DEC17	2260.50	2591.34	USD	8,271.00	
11SEP17	B 1 PD11DEC17	2257.50	2591.34	USD	8,346.00	
11SEP17	B 2 PD11DEC17	2260.00	2591.34	USD	16,567.00	
11SEP17	B 1 PD11DEC17	2261.50	2591.34	USD	8,246.00	
11SEP17	B 2 PD11DEC17	2261.00	2591.34	USD	16,517.00	
11SEP17	B 1 PD11DEC17	2265.50	2591.34	USD	8,146.00	
11SEP17	B 2 PD11DEC17	2266.50	2591.34	USD	16,242.00	
11SEP17	B 1 PD11DEC17	2264.50	2591.34	USD	8,171.00	
11SEP17	S 1 PD11DEC17	2273.50	2591.34	USD	-7,946.00	
11SEP17	B 1 PD11DEC17	2271.50	2591.34	USD	7,996.00	
11SEP17	B 2 PD11DEC17	2274.00	2591.34	USD	15,867.00	
11SEP17	B 1 PD11DEC17	2278.50	2591.34	USD	7,821.00	
11SEP17	B 3 PD11DEC17	2277.50	2591.34	USD	23,538.00	
11SEP17	B 1 PD11DEC17	2279.50	2591.34	USD	7,796.00	
11SEP17	B 3 PD11DEC17	2280.50	2591.34	USD	23,313.00	
11SEP17	B 1 PD11DEC17	2279.50	2591.34	USD	7,796.00	
11SEP17	B 1 PD11DEC17	2278.50	2591.34	USD	7,821.00	
13SEP17	S 1 PD11DEC17	2289.17	2591.34	USD	-7,554.25	
14SEP17	B 1 PD11DEC17	2306.15	2591.34	USD	7,129.75	
	NET 0 AVG BGHT 2272.39 SOLD 2286.87				**22,088.00**	**0.00**
12SEP17	S 1 PD12DEC17	2276.50	2591.47	USD	-7,874.25	
12SEP17	S 1 PD12DEC17	2273.50	2591.47	USD	-7,949.25	
12SEP17	S 1 PD12DEC17	2275.00	2591.47	USD	-7,911.75	
12SEP17	S 1 PD12DEC17	2273.00	2591.47	USD	-7,961.75	
12SEP17	S 3 PD12DEC17	2275.50	2591.47	USD	-23,697.75	
12SEP17	S 2 PD12DEC17	2276.00	2591.47	USD	-15,773.50	
12SEP17	S 3 PD12DEC17	2274.50	2591.47	USD	-23,772.75	
12SEP17	B 1 PD12DEC17	2279.00	2591.47	USD	7,811.75	
12SEP17	B 1 PD12DEC17	2278.50	2591.47	USD	7,824.25	
12SEP17	S 2 PD12DEC17	2281.00	2591.47	USD	-15,523.50	
12SEP17	S 1 PD12DEC17	2292.00	2591.47	USD	-7,486.75	
12SEP17	S 1 PD12DEC17	2290.00	2591.47	USD	-7,536.75	
12SEP17	S 3 PD12DEC17	2291.00	2591.47	USD	-22,535.25	
12SEP17	S 1 PD12DEC17	2291.50	2591.47	USD	-7,499.25	
12SEP17	S 1 PD12DEC17	2286.00	2591.47	USD	-7,636.75	
12SEP17	S 1 PD12DEC17	2284.50	2591.47	USD	-7,674.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
12SEP17	B 6 PD12DEC17	2277.50	2591.47	USD	47,095.50	
12SEP17	B 2 PD12DEC17	2276.50	2591.47	USD	15,748.50	
12SEP17	B 3 PD12DEC17	2275.00	2591.47	USD	23,735.25	
12SEP17	B 1 PD12DEC17	2274.00	2591.47	USD	7,936.75	
12SEP17	B 6 PD12DEC17	2274.50	2591.47	USD	47,545.50	
12SEP17	B 1 PD12DEC17	2273.50	2591.47	USD	7,949.25	
12SEP17	B 2 PD12DEC17	2273.00	2591.47	USD	15,923.50	
12SEP17	B 2 PD12DEC17	2272.50	2591.47	USD	15,948.50	
12SEP17	B 2 PD12DEC17	2271.50	2591.47	USD	15,998.50	
12SEP17	B 1 PD12DEC17	2271.00	2591.47	USD	8,011.75	
12SEP17	B 2 PD12DEC17	2270.00	2591.47	USD	16,073.50	
12SEP17	S 1 PD12DEC17	2267.50	2591.47	USD	-8,099.25	
12SEP17	S 2 PD12DEC17	2269.50	2591.47	USD	-16,098.50	
12SEP17	S 3 PD12DEC17	2270.00	2591.47	USD	-24,110.25	
12SEP17	S 1 PD12DEC17	2272.50	2591.47	USD	-7,974.25	
12SEP17	B 1 PD12DEC17	2264.00	2591.47	USD	8,186.75	
12SEP17	B 1 PD12DEC17	2263.50	2591.47	USD	8,199.25	
12SEP17	B 3 PD12DEC17	2264.50	2591.47	USD	24,522.75	
12SEP17	B 1 PD12DEC17	2262.50	2591.47	USD	8,224.25	
12SEP17	B 1 PD12DEC17	2261.50	2591.47	USD	8,249.25	
12SEP17	B 1 PD12DEC17	2259.00	2591.47	USD	8,311.75	
12SEP17	B 3 PD12DEC17	2261.00	2591.47	USD	24,785.25	
12SEP17	B 1 PD12DEC17	2260.00	2591.47	USD	8,286.75	
12SEP17	B 2 PD12DEC17	2258.50	2591.47	USD	16,648.50	
12SEP17	S 1 PD12DEC17	2258.00	2591.47	USD	-8,336.75	
12SEP17	S 2 PD12DEC17	2260.50	2591.47	USD	-16,548.50	
12SEP17	S 1 PD12DEC17	2263.50	2591.47	USD	-8,199.25	
12SEP17	B 1 PD12DEC17	2257.50	2591.47	USD	8,349.25	
12SEP17	B 1 PD12DEC17	2257.00	2591.47	USD	8,361.75	
12SEP17	S 3 PD12DEC17	2266.50	2591.47	USD	-24,372.75	
12SEP17	S 1 PD12DEC17	2267.00	2591.47	USD	-8,111.75	
12SEP17	S 2 PD12DEC17	2271.00	2591.47	USD	-16,023.50	
12SEP17	S 1 PD12DEC17	2270.50	2591.47	USD	-8,024.25	
12SEP17	S 3 PD12DEC17	2274.00	2591.47	USD	-23,810.25	
12SEP17	S 1 PD12DEC17	2279.00	2591.47	USD	-7,811.75	
12SEP17	S 1 PD12DEC17	2287.50	2591.47	USD	-7,599.25	
12SEP17	S 2 PD12DEC17	2285.00	2591.47	USD	-15,323.50	
12SEP17	B 3 PD12DEC17	2280.50	2591.47	USD	23,322.75	
12SEP17	B 4 PD12DEC17	2281.00	2591.47	USD	31,047.00	
12SEP17	S 2 PD12DEC17	2285.50	2591.47	USD	-15,298.50	
12SEP17	S 2 PD12DEC17	2288.00	2591.47	USD	-15,173.50	
12SEP17	S 1 PD12DEC17	2289.00	2591.47	USD	-7,561.75	
12SEP17	S 2 PD12DEC17	2289.50	2591.47	USD	-15,098.50	
12SEP17	S 2 PD12DEC17	2293.00	2591.47	USD	-14,923.50	
12SEP17	S 2 PD12DEC17	2286.50	2591.47	USD	-15,248.50	
12SEP17	S 1 PD12DEC17	2294.50	2591.47	USD	-7,424.25	
12SEP17	S 1 PD12DEC17	2294.00	2591.47	USD	-7,436.75	
12SEP17	S 1 PD12DEC17	2297.50	2591.47	USD	-7,349.25	
12SEP17	S 1 PD12DEC17	2299.50	2591.47	USD	-7,299.25	
12SEP17	S 1 PD12DEC17	2300.00	2591.47	USD	-7,286.75	
12SEP17	S 4 PD12DEC17	2298.00	2591.47	USD	-29,347.00	
12SEP17	S 2 PD12DEC17	2299.00	2591.47	USD	-14,623.50	
12SEP17	S 1 PD12DEC17	2301.00	2591.47	USD	-7,261.75	
12SEP17	S 2 PD12DEC17	2309.00	2591.47	USD	-14,123.50	
12SEP17	S 1 PD12DEC17	2308.00	2591.47	USD	-7,086.75	
12SEP17	S 1 PD12DEC17	2304.00	2591.47	USD	-7,186.75	
12SEP17	S 2 PD12DEC17	2305.50	2591.47	USD	-14,298.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
12SEP17	S 1 PD12DEC17	2320.00	2591.47	USD	-6,786.75	
12SEP17	B 2 PD12DEC17	2310.00	2591.47	USD	14,073.50	
12SEP17	B 1 PD12DEC17	2309.00	2591.47	USD	7,061.75	
12SEP17	S 3 PD12DEC17	2304.50	2591.47	USD	-21,522.75	
12SEP17	S 1 PD12DEC17	2287.00	2591.47	USD	-7,611.75	
12SEP17	S 1 PD12DEC17	2288.00	2591.47	USD	-7,586.75	
12SEP17	B 1 PD12DEC17	2305.50	2591.47	USD	7,149.25	
12SEP17	B 2 PD12DEC17	2306.00	2591.47	USD	14,273.50	
12SEP17	B 2 PD12DEC17	2305.00	2591.47	USD	14,323.50	
12SEP17	B 1 PD12DEC17	2307.50	2591.47	USD	7,099.25	
12SEP17	B 3 PD12DEC17	2308.00	2591.47	USD	21,260.25	
12SEP17	S 1 PD12DEC17	2308.50	2591.47	USD	-7,074.25	
14SEP17	B 12 PD12DEC17	2306.43	2591.47	USD	85,512.00	
15SEP17	B 6 PD12DEC17	2358.18	2591.47	USD	34,993.50	
	NET 0 AVG BGHT 2287.93 SOLD 2285.02				**-6,043.50**	**0.00**
13SEP17	S 1 PD13DEC17	2297.00	2591.60	USD	-7,365.00	
13SEP17	S 1 PD13DEC17	2298.00	2591.60	USD	-7,340.00	
13SEP17	B 1 PD13DEC17	2291.00	2591.60	USD	7,515.00	
13SEP17	B 3 PD13DEC17	2290.00	2591.60	USD	22,620.00	
13SEP17	B 2 PD13DEC17	2289.00	2591.60	USD	15,130.00	
13SEP17	B 1 PD13DEC17	2286.00	2591.60	USD	7,640.00	
13SEP17	B 1 PD13DEC17	2284.50	2591.60	USD	7,677.50	
13SEP17	B 5 PD13DEC17	2285.50	2591.60	USD	38,262.50	
13SEP17	B 1 PD13DEC17	2287.00	2591.60	USD	7,615.00	
13SEP17	B 3 PD13DEC17	2290.50	2591.60	USD	22,582.50	
13SEP17	B 2 PD13DEC17	2286.50	2591.60	USD	15,255.00	
13SEP17	B 1 PD13DEC17	2283.50	2591.60	USD	7,702.50	
13SEP17	B 1 PD13DEC17	2282.00	2591.60	USD	7,740.00	
13SEP17	B 1 PD13DEC17	2278.00	2591.60	USD	7,840.00	
13SEP17	B 1 PD13DEC17	2276.50	2591.60	USD	7,877.50	
13SEP17	B 1 PD13DEC17	2276.00	2591.60	USD	7,890.00	
13SEP17	B 1 PD13DEC17	2275.50	2591.60	USD	7,902.50	
13SEP17	S 2 PD13DEC17	2295.00	2591.60	USD	-14,830.00	
13SEP17	S 1 PD13DEC17	2296.50	2591.60	USD	-7,377.50	
13SEP17	S 3 PD13DEC17	2296.00	2591.60	USD	-22,170.00	
13SEP17	S 1 PD13DEC17	2299.00	2591.60	USD	-7,315.00	
13SEP17	S 1 PD13DEC17	2300.00	2591.60	USD	-7,290.00	
13SEP17	S 1 PD13DEC17	2301.50	2591.60	USD	-7,252.50	
13SEP17	S 1 PD13DEC17	2309.00	2591.60	USD	-7,065.00	
13SEP17	S 2 PD13DEC17	2305.50	2591.60	USD	-14,305.00	
13SEP17	S 3 PD13DEC17	2306.00	2591.60	USD	-21,420.00	
13SEP17	S 2 PD13DEC17	2303.50	2591.60	USD	-14,405.00	
13SEP17	S 2 PD13DEC17	2304.50	2591.60	USD	-14,355.00	
13SEP17	S 1 PD13DEC17	2306.50	2591.60	USD	-7,127.50	
13SEP17	S 1 PD13DEC17	2307.50	2591.60	USD	-7,102.50	
13SEP17	S 2 PD13DEC17	2307.00	2591.60	USD	-14,230.00	
13SEP17	S 1 PD13DEC17	2308.50	2591.60	USD	-7,077.50	
13SEP17	S 3 PD13DEC17	2299.50	2591.60	USD	-21,907.50	
13SEP17	S 1 PD13DEC17	2300.50	2591.60	USD	-7,277.50	
13SEP17	S 1 PD13DEC17	2302.50	2591.60	USD	-7,227.50	
13SEP17	S 1 PD13DEC17	2304.00	2591.60	USD	-7,190.00	
13SEP17	B 3 PD13DEC17	2292.50	2591.60	USD	22,432.50	
13SEP17	B 1 PD13DEC17	2298.00	2591.60	USD	7,340.00	
13SEP17	B 2 PD13DEC17	2292.00	2591.60	USD	14,980.00	
13SEP17	B 3 PD13DEC17	2296.00	2591.60	USD	22,170.00	
13SEP17	B 1 PD13DEC17	2294.00	2591.60	USD	7,440.00	
13SEP17	B 2 PD13DEC17	2295.00	2591.60	USD	14,830.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
13SEP17	B 5 PD13DEC17	2294.50	2591.60	USD	37,137.50	
13SEP17	B 4 PD13DEC17	2291.50	2591.60	USD	30,010.00	
13SEP17	B 3 PD13DEC17	2293.00	2591.60	USD	22,395.00	
13SEP17	B 2 PD13DEC17	2293.50	2591.60	USD	14,905.00	
13SEP17	B 3 PD13DEC17	2296.50	2591.60	USD	22,132.50	
13SEP17	B 2 PD13DEC17	2299.00	2591.60	USD	14,630.00	
13SEP17	B 1 PD13DEC17	2289.00	2591.60	USD	7,565.00	
13SEP17	S 2 PD13DEC17	2299.00	2591.60	USD	-14,630.00	
13SEP17	B 1 PD13DEC17	2298.00	2591.60	USD	7,340.00	
14SEP17	S 18 PD13DEC17	2306.52	2591.60	USD	-128,286.00	
15SEP17	S 6 PD13DEC17	2358.25	2591.60	USD	-35,002.50	
	NET 0 AVG BGHT 2290.53 SOLD 2309.15				**27,009.00**	**0.00**
14SEP17	B 3 PD14DEC17	2300.00	2591.73	USD	21,879.75	
14SEP17	B 1 PD14DEC17	2294.50	2591.73	USD	7,430.75	
14SEP17	B 1 PD14DEC17	2286.50	2591.73	USD	7,630.75	
14SEP17	S 1 PD14DEC17	2287.50	2591.73	USD	-7,605.75	
14SEP17	B 1 PD14DEC17	2291.00	2591.73	USD	7,518.25	
14SEP17	S 1 PD14DEC17	2287.00	2591.73	USD	-7,618.25	
14SEP17	S 1 PD14DEC17	2288.50	2591.73	USD	-7,580.75	
14SEP17	S 1 PD14DEC17	2289.00	2591.73	USD	-7,568.25	
14SEP17	S 3 PD14DEC17	2289.50	2591.73	USD	-22,667.25	
14SEP17	S 2 PD14DEC17	2290.00	2591.73	USD	-15,086.50	
14SEP17	S 2 PD14DEC17	2290.50	2591.73	USD	-15,061.50	
14SEP17	S 2 PD14DEC17	2292.50	2591.73	USD	-14,961.50	
14SEP17	S 2 PD14DEC17	2292.00	2591.73	USD	-14,986.50	
14SEP17	S 1 PD14DEC17	2294.50	2591.73	USD	-7,430.75	
14SEP17	S 1 PD14DEC17	2295.50	2591.73	USD	-7,405.75	
14SEP17	S 1 PD14DEC17	2293.50	2591.73	USD	-7,455.75	
14SEP17	S 4 PD14DEC17	2293.00	2591.73	USD	-29,873.00	
14SEP17	S 1 PD14DEC17	2291.00	2591.73	USD	-7,518.25	
14SEP17	B 1 PD14DEC17	2285.00	2591.73	USD	7,668.25	
14SEP17	B 3 PD14DEC17	2285.50	2591.73	USD	22,967.25	
14SEP17	B 1 PD14DEC17	2284.50	2591.73	USD	7,680.75	
14SEP17	B 4 PD14DEC17	2282.00	2591.73	USD	30,973.00	
14SEP17	B 3 PD14DEC17	2279.00	2591.73	USD	23,454.75	
14SEP17	B 1 PD14DEC17	2278.50	2591.73	USD	7,830.75	
14SEP17	B 3 PD14DEC17	2273.50	2591.73	USD	23,867.25	
14SEP17	B 1 PD14DEC17	2272.50	2591.73	USD	7,980.75	
14SEP17	B 2 PD14DEC17	2273.00	2591.73	USD	15,936.50	
14SEP17	B 1 PD14DEC17	2276.00	2591.73	USD	7,893.25	
14SEP17	B 2 PD14DEC17	2277.00	2591.73	USD	15,736.50	
14SEP17	B 3 PD14DEC17	2274.50	2591.73	USD	23,792.25	
14SEP17	B 1 PD14DEC17	2275.50	2591.73	USD	7,905.75	
14SEP17	S 1 PD14DEC17	2284.50	2591.73	USD	-7,680.75	
14SEP17	B 5 PD14DEC17	2275.00	2591.73	USD	39,591.25	
14SEP17	B 2 PD14DEC17	2274.00	2591.73	USD	15,886.50	
14SEP17	B 2 PD14DEC17	2286.00	2591.73	USD	15,286.50	
14SEP17	S 2 PD14DEC17	2302.50	2591.73	USD	-14,461.50	
14SEP17	S 1 PD14DEC17	2303.00	2591.73	USD	-7,218.25	
14SEP17	S 1 PD14DEC17	2303.50	2591.73	USD	-7,205.75	
14SEP17	S 5 PD14DEC17	2304.00	2591.73	USD	-35,966.25	
14SEP17	S 2 PD14DEC17	2305.00	2591.73	USD	-14,336.50	
14SEP17	S 1 PD14DEC17	2305.50	2591.73	USD	-7,155.75	
14SEP17	S 1 PD14DEC17	2306.00	2591.73	USD	-7,143.25	
14SEP17	S 1 PD14DEC17	2307.00	2591.73	USD	-7,118.25	
14SEP17	B 1 PD14DEC17	2307.00	2591.73	USD	7,118.25	
14SEP17	S 2 PD14DEC17	2306.50	2591.73	USD	-14,261.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
14SEP17	S	1	PD14DEC17	2310.50	2591.73	USD	-7,030.75	
14SEP17	B	1	PD14DEC17	2313.00	2591.73	USD	6,968.25	
14SEP17	S	1	PD14DEC17	2313.50	2591.73	USD	-6,955.75	
14SEP17	S	1	PD14DEC17	2314.50	2591.73	USD	-6,930.75	
14SEP17	S	1	PD14DEC17	2316.00	2591.73	USD	-6,893.25	
14SEP17	S	2	PD14DEC17	2319.00	2591.73	USD	-13,636.50	
14SEP17	S	3	PD14DEC17	2318.00	2591.73	USD	-20,529.75	
14SEP17	S	2	PD14DEC17	2312.50	2591.73	USD	-13,961.50	
14SEP17	B	3	PD14DEC17	2305.00	2591.73	USD	21,504.75	
14SEP17	B	1	PD14DEC17	2307.50	2591.73	USD	7,105.75	
14SEP17	B	1	PD14DEC17	2312.00	2591.73	USD	6,993.25	
14SEP17	S	1	PD14DEC17	2316.50	2591.73	USD	-6,880.75	
14SEP17	B	3	PD14DEC17	2308.50	2591.73	USD	21,242.25	
14SEP17	B	3	PD14DEC17	2311.00	2591.73	USD	21,054.75	
14SEP17	B	1	PD14DEC17	2309.50	2591.73	USD	7,055.75	
14SEP17	B	1	PD14DEC17	2304.50	2591.73	USD	7,180.75	
14SEP17	B	5	PD14DEC17	2306.00	2591.73	USD	35,716.25	
14SEP17	B	2	PD14DEC17	2303.00	2591.73	USD	14,436.50	
14SEP17	B	1	PD14DEC17	2304.00	2591.73	USD	7,193.25	
14SEP17	S	2	PD14DEC17	2304.50	2591.73	USD	-14,361.50	
14SEP17	S	1	PD14DEC17	2308.50	2591.73	USD	-7,080.75	
14SEP17	S	1	PD14DEC17	2313.00	2591.73	USD	-6,968.25	
14SEP17	B	1	PD14DEC17	2310.00	2591.73	USD	7,043.25	
14SEP17	B	1	PD14DEC17	2308.00	2591.73	USD	7,093.25	
14SEP17	B	1	PD14DEC17	2293.00	2591.73	USD	7,468.25	
14SEP17	B	1	PD14DEC17	2289.00	2591.73	USD	7,568.25	
14SEP17	B	1	PD14DEC17	2288.50	2591.73	USD	7,580.75	
14SEP17	S	1	PD14DEC17	2309.50	2591.73	USD	-7,055.75	
14SEP17	S	3	PD14DEC17	2323.00	2591.73	USD	-20,154.75	
14SEP17	B	1	PD14DEC17	2324.00	2591.73	USD	6,693.25	
14SEP17	B	1	PD14DEC17	2325.00	2591.73	USD	6,668.25	
14SEP17	B	1	PD14DEC17	2323.00	2591.73	USD	6,718.25	
14SEP17	B	1	PD14DEC17	2324.50	2591.73	USD	6,680.75	
15SEP17	S	2	PD14DEC17	2358.53	2591.73	USD	-11,660.00	
19SEP17	S	1	PD14DEC17	2419.80	2591.73	USD	-4,298.25	
19SEP17	S	10	PD14DEC17	2420.00	2591.73	USD	-42,932.50	
	NET	**0**	**AVG BGHT 2292.55**	**SOLD 2321.76**			**53,296.50**	**0.00**
15SEP17	S	1	PD15DEC17	2341.00	2591.86	USD	-6,271.50	
15SEP17	S	4	PD15DEC17	2325.00	2591.86	USD	-26,686.00	
15SEP17	S	3	PD15DEC17	2323.50	2591.86	USD	-20,127.00	
15SEP17	S	1	PD15DEC17	2322.50	2591.86	USD	-6,734.00	
15SEP17	S	2	PD15DEC17	2320.00	2591.86	USD	-13,593.00	
15SEP17	S	3	PD15DEC17	2320.50	2591.86	USD	-20,352.00	
15SEP17	S	4	PD15DEC17	2324.00	2591.86	USD	-26,786.00	
15SEP17	S	3	PD15DEC17	2325.50	2591.86	USD	-19,977.00	
15SEP17	B	1	PD15DEC17	2329.00	2591.86	USD	6,571.50	
15SEP17	B	1	PD15DEC17	2328.50	2591.86	USD	6,584.00	
15SEP17	B	1	PD15DEC17	2328.00	2591.86	USD	6,596.50	
15SEP17	B	1	PD15DEC17	2326.00	2591.86	USD	6,646.50	
15SEP17	B	1	PD15DEC17	2324.50	2591.86	USD	6,684.00	
15SEP17	B	1	PD15DEC17	2327.50	2591.86	USD	6,609.00	
15SEP17	B	9	PD15DEC17	2327.00	2591.86	USD	59,593.50	
15SEP17	B	4	PD15DEC17	2326.50	2591.86	USD	26,536.00	
15SEP17	B	2	PD15DEC17	2322.50	2591.86	USD	13,468.00	
15SEP17	S	3	PD15DEC17	2327.00	2591.86	USD	-19,864.50	
15SEP17	S	1	PD15DEC17	2326.50	2591.86	USD	-6,634.00	
15SEP17	B	3	PD15DEC17	2323.50	2591.86	USD	20,127.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
15SEP17	B 1 PD15DEC17	2315.50	2591.86	USD	6,909.00	
15SEP17	B 2 PD15DEC17	2311.50	2591.86	USD	14,018.00	
15SEP17	B 2 PD15DEC17	2313.50	2591.86	USD	13,918.00	
15SEP17	B 1 PD15DEC17	2315.00	2591.86	USD	6,921.50	
15SEP17	B 1 PD15DEC17	2312.50	2591.86	USD	6,984.00	
15SEP17	S 2 PD15DEC17	2321.50	2591.86	USD	-13,518.00	
15SEP17	S 2 PD15DEC17	2321.00	2591.86	USD	-13,543.00	
15SEP17	S 2 PD15DEC17	2322.00	2591.86	USD	-13,493.00	
15SEP17	S 2 PD15DEC17	2323.00	2591.86	USD	-13,443.00	
15SEP17	S 4 PD15DEC17	2327.50	2591.86	USD	-26,436.00	
15SEP17	S 1 PD15DEC17	2328.50	2591.86	USD	-6,584.00	
15SEP17	S 2 PD15DEC17	2328.00	2591.86	USD	-13,193.00	
15SEP17	S 1 PD15DEC17	2329.00	2591.86	USD	-6,571.50	
15SEP17	S 1 PD15DEC17	2330.00	2591.86	USD	-6,546.50	
15SEP17	S 1 PD15DEC17	2330.50	2591.86	USD	-6,534.00	
15SEP17	S 1 PD15DEC17	2333.50	2591.86	USD	-6,459.00	
15SEP17	S 2 PD15DEC17	2334.00	2591.86	USD	-12,893.00	
15SEP17	S 2 PD15DEC17	2335.00	2591.86	USD	-12,843.00	
15SEP17	S 2 PD15DEC17	2335.50	2591.86	USD	-12,818.00	
15SEP17	S 2 PD15DEC17	2336.00	2591.86	USD	-12,793.00	
15SEP17	S 3 PD15DEC17	2336.50	2591.86	USD	-19,152.00	
15SEP17	S 1 PD15DEC17	2339.50	2591.86	USD	-6,309.00	
15SEP17	S 2 PD15DEC17	2338.50	2591.86	USD	-12,668.00	
15SEP17	S 1 PD15DEC17	2339.00	2591.86	USD	-6,321.50	
15SEP17	S 1 PD15DEC17	2340.00	2591.86	USD	-6,296.50	
15SEP17	S 1 PD15DEC17	2341.50	2591.86	USD	-6,259.00	
15SEP17	S 1 PD15DEC17	2340.50	2591.86	USD	-6,284.00	
15SEP17	S 1 PD15DEC17	2342.00	2591.86	USD	-6,246.50	
15SEP17	S 2 PD15DEC17	2342.50	2591.86	USD	-12,468.00	
15SEP17	S 2 PD15DEC17	2345.00	2591.86	USD	-12,343.00	
15SEP17	S 2 PD15DEC17	2345.50	2591.86	USD	-12,318.00	
15SEP17	S 1 PD15DEC17	2346.50	2591.86	USD	-6,134.00	
15SEP17	S 1 PD15DEC17	2347.50	2591.86	USD	-6,109.00	
15SEP17	S 1 PD15DEC17	2348.00	2591.86	USD	-6,096.50	
15SEP17	S 4 PD15DEC17	2351.50	2591.86	USD	-24,036.00	
15SEP17	S 1 PD15DEC17	2352.00	2591.86	USD	-5,996.50	
15SEP17	B 1 PD15DEC17	2329.00	2591.86	USD	6,571.50	
15SEP17	B 1 PD15DEC17	2328.00	2591.86	USD	6,596.50	
15SEP17	B 1 PD15DEC17	2327.00	2591.86	USD	6,621.50	
15SEP17	B 1 PD15DEC17	2339.00	2591.86	USD	6,321.50	
15SEP17	B 1 PD15DEC17	2346.50	2591.86	USD	6,134.00	
15SEP17	B 1 PD15DEC17	2345.00	2591.86	USD	6,171.50	
15SEP17	B 1 PD15DEC17	2343.00	2591.86	USD	6,221.50	
15SEP17	B 2 PD15DEC17	2341.00	2591.86	USD	12,543.00	
15SEP17	B 1 PD15DEC17	2340.50	2591.86	USD	6,284.00	
15SEP17	B 1 PD15DEC17	2342.50	2591.86	USD	6,234.00	
15SEP17	B 1 PD15DEC17	2342.00	2591.86	USD	6,246.50	
15SEP17	B 3 PD15DEC17	2341.50	2591.86	USD	18,777.00	
15SEP17	B 1 PD15DEC17	2334.50	2591.86	USD	6,434.00	
15SEP17	B 1 PD15DEC17	2336.00	2591.86	USD	6,396.50	
15SEP17	B 2 PD15DEC17	2334.00	2591.86	USD	12,893.00	
15SEP17	B 1 PD15DEC17	2348.50	2591.86	USD	6,084.00	
15SEP17	B 1 PD15DEC17	2334.50	2591.86	USD	6,434.00	
15SEP17	B 2 PD15DEC17	2335.00	2591.86	USD	12,843.00	
15SEP17	S 1 PD15DEC17	2350.00	2591.86	USD	-6,046.50	
15SEP17	S 2 PD15DEC17	2344.00	2591.86	USD	-12,393.00	
15SEP17	S 1 PD15DEC17	2348.50	2591.86	USD	-6,084.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
15SEP17	S	2	PD15DEC17	2354.50	2591.86	USD	-11,868.00	
15SEP17	B	1	PD15DEC17	2355.50	2591.86	USD	5,909.00	
15SEP17	B	3	PD15DEC17	2363.50	2591.86	USD	17,127.00	
15SEP17	B	2	PD15DEC17	2361.00	2591.86	USD	11,543.00	
15SEP17	B	2	PD15DEC17	2365.00	2591.86	USD	11,343.00	
15SEP17	B	4	PD15DEC17	2364.00	2591.86	USD	22,786.00	
15SEP17	B	1	PD15DEC17	2366.50	2591.86	USD	5,634.00	
15SEP17	B	4	PD15DEC17	2368.00	2591.86	USD	22,386.00	
15SEP17	B	2	PD15DEC17	2367.00	2591.86	USD	11,243.00	
15SEP17	S	1	PD15DEC17	2354.50	2591.86	USD	-5,934.00	
19SEP17	B	10	PD15DEC17	2420.45	2591.86	USD	42,852.50	
20SEP17	B	1	PD15DEC17	2459.21	2591.86	USD	3,316.25	
	NET	**0**	**AVG BGHT 2349.90**	**SOLD 2333.74**			**-33,942.75**	**0.00**
18SEP17	B	1	PD18DEC17	2390.00	2592.24	USD	5,056.00	
18SEP17	S	3	PD18DEC17	2394.50	2592.24	USD	-14,830.50	
18SEP17	S	1	PD18DEC17	2398.50	2592.24	USD	-4,843.50	
18SEP17	S	1	PD18DEC17	2390.00	2592.24	USD	-5,056.00	
18SEP17	S	2	PD18DEC17	2392.50	2592.24	USD	-9,987.00	
18SEP17	S	1	PD18DEC17	2393.00	2592.24	USD	-4,981.00	
18SEP17	S	2	PD18DEC17	2397.50	2592.24	USD	-9,737.00	
18SEP17	S	4	PD18DEC17	2398.00	2592.24	USD	-19,424.00	
18SEP17	S	1	PD18DEC17	2402.00	2592.24	USD	-4,756.00	
18SEP17	S	1	PD18DEC17	2402.50	2592.24	USD	-4,743.50	
18SEP17	S	1	PD18DEC17	2403.50	2592.24	USD	-4,718.50	
18SEP17	S	2	PD18DEC17	2405.00	2592.24	USD	-9,362.00	
18SEP17	B	1	PD18DEC17	2386.00	2592.24	USD	5,156.00	
18SEP17	B	1	PD18DEC17	2389.50	2592.24	USD	5,068.50	
18SEP17	B	1	PD18DEC17	2381.50	2592.24	USD	5,268.50	
18SEP17	B	3	PD18DEC17	2389.00	2592.24	USD	15,243.00	
18SEP17	S	1	PD18DEC17	2396.50	2592.24	USD	-4,893.50	
18SEP17	B	4	PD18DEC17	2388.00	2592.24	USD	20,424.00	
18SEP17	B	1	PD18DEC17	2388.50	2592.24	USD	5,093.50	
18SEP17	S	1	PD18DEC17	2396.00	2592.24	USD	-4,906.00	
19SEP17	B	5	PD18DEC17	2421.20	2592.24	USD	21,380.00	
20SEP17	B	3	PD18DEC17	2459.69	2592.24	USD	9,941.25	
21SEP17	B	1	PD18DEC17	2517.97	2592.24	USD	1,856.75	
	NET	**0**	**AVG BGHT 2412.26**	**SOLD 2397.50**			**-7,751.00**	**0.00**
19SEP17	S	1	PD19DEC17	2386.00	2592.37	USD	-5,159.25	
19SEP17	S	1	PD19DEC17	2387.00	2592.37	USD	-5,134.25	
19SEP17	S	3	PD19DEC17	2386.50	2592.37	USD	-15,440.25	
19SEP17	S	1	PD19DEC17	2390.00	2592.37	USD	-5,059.25	
19SEP17	S	3	PD19DEC17	2391.50	2592.37	USD	-15,065.25	
19SEP17	S	1	PD19DEC17	2395.50	2592.37	USD	-4,921.75	
19SEP17	S	1	PD19DEC17	2395.00	2592.37	USD	-4,934.25	
19SEP17	B	1	PD19DEC17	2390.00	2592.37	USD	5,059.25	
19SEP17	S	3	PD19DEC17	2382.50	2592.37	USD	-15,740.25	
19SEP17	S	1	PD19DEC17	2383.50	2592.37	USD	-5,221.75	
19SEP17	B	3	PD19DEC17	2384.00	2592.37	USD	15,627.75	
19SEP17	B	1	PD19DEC17	2382.00	2592.37	USD	5,259.25	
19SEP17	B	1	PD19DEC17	2379.50	2592.37	USD	5,321.75	
19SEP17	S	2	PD19DEC17	2387.50	2592.37	USD	-10,243.50	
19SEP17	S	3	PD19DEC17	2388.00	2592.37	USD	-15,327.75	
19SEP17	S	2	PD19DEC17	2394.50	2592.37	USD	-9,893.50	
19SEP17	S	1	PD19DEC17	2389.50	2592.37	USD	-5,071.75	
19SEP17	S	6	PD19DEC17	2393.50	2592.37	USD	-29,830.50	
19SEP17	S	1	PD19DEC17	2404.50	2592.37	USD	-4,696.75	
19SEP17	S	2	PD19DEC17	2405.50	2592.37	USD	-9,343.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
19SEP17	B	1	PD19DEC17	2403.50	2592.37	USD	4,721.75	
19SEP17	B	1	PD19DEC17	2402.50	2592.37	USD	4,746.75	
19SEP17	B	1	PD19DEC17	2406.50	2592.37	USD	4,646.75	
19SEP17	B	2	PD19DEC17	2405.50	2592.37	USD	9,343.50	
19SEP17	B	1	PD19DEC17	2405.00	2592.37	USD	4,684.25	
19SEP17	S	1	PD19DEC17	2408.00	2592.37	USD	-4,609.25	
19SEP17	S	1	PD19DEC17	2416.00	2592.37	USD	-4,409.25	
19SEP17	S	1	PD19DEC17	2417.00	2592.37	USD	-4,384.25	
19SEP17	S	1	PD19DEC17	2413.00	2592.37	USD	-4,484.25	
19SEP17	B	1	PD19DEC17	2417.00	2592.37	USD	4,384.25	
19SEP17	B	1	PD19DEC17	2414.50	2592.37	USD	4,446.75	
19SEP17	B	1	PD19DEC17	2414.00	2592.37	USD	4,459.25	
19SEP17	B	1	PD19DEC17	2411.50	2592.37	USD	4,521.75	
19SEP17	B	2	PD19DEC17	2410.00	2592.37	USD	9,118.50	
19SEP17	B	1	PD19DEC17	2409.50	2592.37	USD	4,571.75	
19SEP17	B	4	PD19DEC17	2408.50	2592.37	USD	18,387.00	
19SEP17	B	1	PD19DEC17	2404.00	2592.37	USD	4,709.25	
19SEP17	B	1	PD19DEC17	2403.00	2592.37	USD	4,734.25	
19SEP17	B	1	PD19DEC17	2397.50	2592.37	USD	4,871.75	
19SEP17	B	2	PD19DEC17	2400.00	2592.37	USD	9,618.50	
19SEP17	B	3	PD19DEC17	2398.00	2592.37	USD	14,577.75	
19SEP17	B	1	PD19DEC17	2399.50	2592.37	USD	4,821.75	
19SEP17	B	5	PD19DEC17	2398.50	2592.37	USD	24,233.75	
19SEP17	S	1	PD19DEC17	2412.50	2592.37	USD	-4,496.75	
19SEP17	S	1	PD19DEC17	2414.00	2592.37	USD	-4,459.25	
19SEP17	S	2	PD19DEC17	2414.50	2592.37	USD	-8,893.50	
19SEP17	S	3	PD19DEC17	2415.50	2592.37	USD	-13,265.25	
19SEP17	S	1	PD19DEC17	2419.00	2592.37	USD	-4,334.25	
19SEP17	S	1	PD19DEC17	2440.00	2592.37	USD	-3,809.25	
19SEP17	S	1	PD19DEC17	2449.50	2592.37	USD	-3,571.75	
19SEP17	B	1	PD19DEC17	2450.00	2592.37	USD	3,559.25	
19SEP17	S	1	PD19DEC17	2420.00	2592.37	USD	-4,309.25	
19SEP17	S	1	PD19DEC17	2420.50	2592.37	USD	-4,296.75	
19SEP17	B	1	PD19DEC17	2439.00	2592.37	USD	3,834.25	
19SEP17	B	1	PD19DEC17	2438.50	2592.37	USD	3,846.75	
19SEP17	B	2	PD19DEC17	2438.00	2592.37	USD	7,718.50	
19SEP17	B	1	PD19DEC17	2437.00	2592.37	USD	3,884.25	
19SEP17	B	1	PD19DEC17	2437.50	2592.37	USD	3,871.75	
19SEP17	B	1	PD19DEC17	2435.50	2592.37	USD	3,921.75	
19SEP17	B	1	PD19DEC17	2434.50	2592.37	USD	3,946.75	
19SEP17	B	2	PD19DEC17	2432.00	2592.37	USD	8,018.50	
19SEP17	B	1	PD19DEC17	2428.00	2592.37	USD	4,109.25	
19SEP17	B	1	PD19DEC17	2426.50	2592.37	USD	4,146.75	
19SEP17	B	1	PD19DEC17	2421.50	2592.37	USD	4,271.75	
19SEP17	B	1	PD19DEC17	2423.50	2592.37	USD	4,221.75	
19SEP17	B	3	PD19DEC17	2420.50	2592.37	USD	12,890.25	
19SEP17	B	2	PD19DEC17	2421.00	2592.37	USD	8,568.50	
19SEP17	S	1	PD19DEC17	2423.50	2592.37	USD	-4,221.75	
19SEP17	S	2	PD19DEC17	2424.50	2592.37	USD	-8,393.50	
19SEP17	S	1	PD19DEC17	2424.50	2592.37	USD	-4,196.75	
19SEP17	S	1	PD19DEC17	2425.00	2592.37	USD	-4,184.25	
20SEP17	S	4	PD19DEC17	2459.64	2592.37	USD	-13,273.00	
	NET	**0**	**AVG BGHT 2411.54** **SOLD 2406.63**				**-6,998.50**	**0.00**
20SEP17	S	1	PD20DEC17	2445.00	2592.50	USD	-3,687.50	
20SEP17	S	7	PD20DEC17	2444.00	2592.50	USD	-25,987.50	
20SEP17	S	1	PD20DEC17	2442.50	2592.50	USD	-3,750.00	
20SEP17	S	1	PD20DEC17	2442.00	2592.50	USD	-3,762.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
20SEP17	S 1 PD20DEC17	2441.00	2592.50	USD	-3,787.50	
20SEP17	S 2 PD20DEC17	2441.50	2592.50	USD	-7,550.00	
20SEP17	S 1 PD20DEC17	2440.50	2592.50	USD	-3,800.00	
20SEP17	S 2 PD20DEC17	2446.00	2592.50	USD	-7,325.00	
20SEP17	S 1 PD20DEC17	2447.50	2592.50	USD	-3,625.00	
20SEP17	S 1 PD20DEC17	2448.00	2592.50	USD	-3,612.50	
20SEP17	S 2 PD20DEC17	2452.00	2592.50	USD	-7,025.00	
20SEP17	S 1 PD20DEC17	2454.00	2592.50	USD	-3,462.50	
20SEP17	S 2 PD20DEC17	2456.00	2592.50	USD	-6,825.00	
20SEP17	B 1 PD20DEC17	2461.00	2592.50	USD	3,287.50	
20SEP17	B 1 PD20DEC17	2456.50	2592.50	USD	3,400.00	
20SEP17	B 2 PD20DEC17	2454.00	2592.50	USD	6,925.00	
20SEP17	B 1 PD20DEC17	2449.50	2592.50	USD	3,575.00	
20SEP17	B 1 PD20DEC17	2451.00	2592.50	USD	3,537.50	
20SEP17	B 4 PD20DEC17	2450.00	2592.50	USD	14,250.00	
20SEP17	B 2 PD20DEC17	2450.50	2592.50	USD	7,100.00	
20SEP17	S 1 PD20DEC17	2453.50	2592.50	USD	-3,475.00	
20SEP17	S 1 PD20DEC17	2453.00	2592.50	USD	-3,487.50	
20SEP17	S 1 PD20DEC17	2449.50	2592.50	USD	-3,575.00	
20SEP17	S 1 PD20DEC17	2450.50	2592.50	USD	-3,550.00	
20SEP17	S 1 PD20DEC17	2458.00	2592.50	USD	-3,362.50	
20SEP17	S 2 PD20DEC17	2468.50	2592.50	USD	-6,200.00	
20SEP17	S 3 PD20DEC17	2466.00	2592.50	USD	-9,487.50	
20SEP17	S 2 PD20DEC17	2467.50	2592.50	USD	-6,250.00	
20SEP17	B 1 PD20DEC17	2468.50	2592.50	USD	3,100.00	
20SEP17	S 1 PD20DEC17	2442.00	2592.50	USD	-3,762.50	
20SEP17	S 5 PD20DEC17	2463.00	2592.50	USD	-16,187.50	
20SEP17	S 3 PD20DEC17	2469.00	2592.50	USD	-9,262.50	
20SEP17	S 1 PD20DEC17	2470.00	2592.50	USD	-3,062.50	
20SEP17	S 2 PD20DEC17	2467.00	2592.50	USD	-6,275.00	
20SEP17	S 1 PD20DEC17	2468.00	2592.50	USD	-3,112.50	
20SEP17	S 3 PD20DEC17	2466.50	2592.50	USD	-9,450.00	
20SEP17	S 1 PD20DEC17	2461.50	2592.50	USD	-3,275.00	
20SEP17	B 2 PD20DEC17	2454.50	2592.50	USD	6,900.00	
20SEP17	B 6 PD20DEC17	2453.50	2592.50	USD	20,850.00	
20SEP17	B 2 PD20DEC17	2453.00	2592.50	USD	6,975.00	
20SEP17	B 1 PD20DEC17	2452.00	2592.50	USD	3,512.50	
20SEP17	B 2 PD20DEC17	2458.50	2592.50	USD	6,700.00	
20SEP17	B 2 PD20DEC17	2463.00	2592.50	USD	6,475.00	
20SEP17	B 3 PD20DEC17	2459.50	2592.50	USD	9,975.00	
20SEP17	B 1 PD20DEC17	2457.50	2592.50	USD	3,375.00	
20SEP17	B 4 PD20DEC17	2457.00	2592.50	USD	13,550.00	
20SEP17	B 2 PD20DEC17	2456.00	2592.50	USD	6,825.00	
20SEP17	B 1 PD20DEC17	2449.00	2592.50	USD	3,587.50	
20SEP17	B 1 PD20DEC17	2448.50	2592.50	USD	3,600.00	
20SEP17	B 2 PD20DEC17	2447.00	2592.50	USD	7,275.00	
20SEP17	B 1 PD20DEC17	2444.50	2592.50	USD	3,700.00	
20SEP17	B 1 PD20DEC17	2445.50	2592.50	USD	3,675.00	
20SEP17	B 1 PD20DEC17	2445.00	2592.50	USD	3,687.50	
20SEP17	B 1 PD20DEC17	2446.00	2592.50	USD	3,662.50	
20SEP17	B 6 PD20DEC17	2455.00	2592.50	USD	20,625.00	
20SEP17	B 2 PD20DEC17	2455.50	2592.50	USD	6,850.00	
20SEP17	S 1 PD20DEC17	2464.00	2592.50	USD	-3,212.50	
21SEP17	S 1 PD20DEC17	2517.80	2592.50	USD	-1,867.50	
	NET 0 AVG BGHT 2454.00 SOLD 2456.90				**3,920.00**	**0.00**
21SEP17	S 3 PD21DEC17	2444.00	2592.29	USD	-11,121.75	
21SEP17	B 1 PD21DEC17	2437.00	2592.29	USD	3,882.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
21SEP17	B 1 PD21DEC17	2435.50	2592.29	USD	3,919.75	
21SEP17	S 3 PD21DEC17	2441.00	2592.29	USD	-11,346.75	
21SEP17	B 1 PD21DEC17	2427.50	2592.29	USD	4,119.75	
21SEP17	B 1 PD21DEC17	2424.00	2592.29	USD	4,207.25	
21SEP17	S 1 PD21DEC17	2434.00	2592.29	USD	-3,957.25	
21SEP17	S 2 PD21DEC17	2435.50	2592.29	USD	-7,839.50	
21SEP17	S 4 PD21DEC17	2438.50	2592.29	USD	-15,379.00	
21SEP17	B 1 PD21DEC17	2439.00	2592.29	USD	3,832.25	
21SEP17	S 2 PD21DEC17	2439.00	2592.29	USD	-7,664.50	
21SEP17	S 1 PD21DEC17	2442.00	2592.29	USD	-3,757.25	
21SEP17	B 1 PD21DEC17	2458.50	2592.29	USD	3,344.75	
21SEP17	B 2 PD21DEC17	2458.00	2592.29	USD	6,714.50	
21SEP17	B 1 PD21DEC17	2462.00	2592.29	USD	3,257.25	
21SEP17	S 1 PD21DEC17	2465.00	2592.29	USD	-3,182.25	
21SEP17	S 2 PD21DEC17	2466.00	2592.29	USD	-6,314.50	
21SEP17	B 2 PD21DEC17	2471.00	2592.29	USD	6,064.50	
21SEP17	S 3 PD21DEC17	2471.00	2592.29	USD	-9,096.75	
21SEP17	S 1 PD21DEC17	2471.50	2592.29	USD	-3,019.75	
21SEP17	S 2 PD21DEC17	2472.00	2592.29	USD	-6,014.50	
21SEP17	S 1 PD21DEC17	2474.00	2592.29	USD	-2,957.25	
21SEP17	S 2 PD21DEC17	2473.50	2592.29	USD	-5,939.50	
21SEP17	S 1 PD21DEC17	2473.00	2592.29	USD	-2,982.25	
21SEP17	S 4 PD21DEC17	2472.50	2592.29	USD	-11,979.00	
21SEP17	S 3 PD21DEC17	2469.00	2592.29	USD	-9,246.75	
21SEP17	B 1 PD21DEC17	2473.50	2592.29	USD	2,969.75	
21SEP17	B 1 PD21DEC17	2470.00	2592.29	USD	3,057.25	
21SEP17	B 1 PD21DEC17	2469.50	2592.29	USD	3,069.75	
21SEP17	B 1 PD21DEC17	2469.00	2592.29	USD	3,082.25	
21SEP17	B 1 PD21DEC17	2468.50	2592.29	USD	3,094.75	
21SEP17	B 1 PD21DEC17	2467.00	2592.29	USD	3,132.25	
21SEP17	B 3 PD21DEC17	2464.50	2592.29	USD	9,584.25	
21SEP17	B 2 PD21DEC17	2464.00	2592.29	USD	6,414.50	
21SEP17	S 1 PD21DEC17	2430.50	2592.29	USD	-4,044.75	
21SEP17	S 1 PD21DEC17	2432.00	2592.29	USD	-4,007.25	
21SEP17	S 1 PD21DEC17	2431.00	2592.29	USD	-4,032.25	
21SEP17	S 1 PD21DEC17	2431.50	2592.29	USD	-4,019.75	
21SEP17	S 1 PD21DEC17	2433.50	2592.29	USD	-3,969.75	
21SEP17	B 1 PD21DEC17	2470.00	2592.29	USD	3,057.25	
21SEP17	B 3 PD21DEC17	2468.00	2592.29	USD	9,321.75	
21SEP17	B 2 PD21DEC17	2467.50	2592.29	USD	6,239.50	
21SEP17	B 2 PD21DEC17	2466.50	2592.29	USD	6,289.50	
21SEP17	S 1 PD21DEC17	2465.50	2592.29	USD	-3,169.75	
21SEP17	B 4 PD21DEC17	2465.50	2592.29	USD	12,679.00	
21SEP17	S 2 PD21DEC17	2464.00	2592.29	USD	-6,414.50	
21SEP17	S 1 PD21DEC17	2482.50	2592.29	USD	-2,744.75	
21SEP17	B 1 PD21DEC17	2484.00	2592.29	USD	2,707.25	
21SEP17	B 1 PD21DEC17	2482.00	2592.29	USD	2,757.25	
21SEP17	B 1 PD21DEC17	2500.00	2592.29	USD	2,307.25	
21SEP17	B 1 PD21DEC17	2466.50	2592.29	USD	3,144.75	
21SEP17	B 1 PD21DEC17	2465.50	2592.29	USD	3,169.75	
21SEP17	B 1 PD21DEC17	2465.00	2592.29	USD	3,182.25	
21SEP17	B 1 PD21DEC17	2506.00	2592.29	USD	2,157.25	
21SEP17	B 1 PD21DEC17	2491.00	2592.29	USD	2,532.25	
21SEP17	B 1 PD21DEC17	2489.00	2592.29	USD	2,582.25	
21SEP17	B 1 PD21DEC17	2486.00	2592.29	USD	2,657.25	
21SEP17	S 2 PD21DEC17	2480.00	2592.29	USD	-5,614.50	
21SEP17	B 2 PD21DEC17	2471.50	2592.29	USD	6,039.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
21SEP17	B 1 PD21DEC17	2472.00	2592.29	USD	3,007.25	
21SEP17	B 2 PD21DEC17	2460.50	2592.29	USD	6,589.50	
21SEP17	S 1 PD21DEC17	2458.00	2592.29	USD	-3,357.25	
21SEP17	B 1 PD21DEC17	2451.00	2592.29	USD	3,532.25	
21SEP17	B 2 PD21DEC17	2445.50	2592.29	USD	7,339.50	
21SEP17	S 1 PD21DEC17	2453.50	2592.29	USD	-3,469.75	
21SEP17	S 1 PD21DEC17	2445.50	2592.29	USD	-3,669.75	
21SEP17	S 2 PD21DEC17	2444.50	2592.29	USD	-7,389.50	
21SEP17	S 1 PD21DEC17	2454.50	2592.29	USD	-3,444.75	
21SEP17	B 4 PD21DEC17	2460.00	2592.29	USD	13,229.00	
21SEP17	B 2 PD21DEC17	2463.50	2592.29	USD	6,439.50	
21SEP17	B 1 PD21DEC17	2462.50	2592.29	USD	3,244.75	
21SEP17	B 1 PD21DEC17	2490.00	2592.29	USD	2,557.25	
26SEP17	S 7 PD21DEC17	2489.33	2592.29	USD	-18,018.00	
	NET 0 AVG BGHT 2465.28 SOLD 2459.51				**-8,654.75**	**0.00**
22SEP17	B 1 PD22DEC17	2412.50	2592.07	USD	4,489.25	
22SEP17	B 1 PD22DEC17	2418.00	2592.07	USD	4,351.75	
22SEP17	B 1 PD22DEC17	2421.00	2592.07	USD	4,276.75	
22SEP17	B 1 PD22DEC17	2416.50	2592.07	USD	4,389.25	
22SEP17	B 2 PD22DEC17	2416.00	2592.07	USD	8,803.50	
22SEP17	B 2 PD22DEC17	2414.50	2592.07	USD	8,878.50	
22SEP17	B 1 PD22DEC17	2423.50	2592.07	USD	4,214.25	
22SEP17	B 4 PD22DEC17	2421.50	2592.07	USD	17,057.00	
22SEP17	B 1 PD22DEC17	2422.50	2592.07	USD	4,239.25	
22SEP17	B 1 PD22DEC17	2423.00	2592.07	USD	4,226.75	
22SEP17	B 3 PD22DEC17	2419.50	2592.07	USD	12,942.75	
22SEP17	B 1 PD22DEC17	2429.00	2592.07	USD	4,076.75	
22SEP17	B 1 PD22DEC17	2426.00	2592.07	USD	4,151.75	
22SEP17	B 1 PD22DEC17	2410.00	2592.07	USD	4,551.75	
22SEP17	S 4 PD22DEC17	2400.00	2592.07	USD	-19,207.00	
22SEP17	B 6 PD22DEC17	2399.00	2592.07	USD	28,960.50	
22SEP17	S 1 PD22DEC17	2389.50	2592.07	USD	-5,064.25	
22SEP17	S 1 PD22DEC17	2393.50	2592.07	USD	-4,964.25	
22SEP17	S 1 PD22DEC17	2395.00	2592.07	USD	-4,926.75	
22SEP17	S 1 PD22DEC17	2401.00	2592.07	USD	-4,776.75	
22SEP17	S 2 PD22DEC17	2399.50	2592.07	USD	-9,628.50	
22SEP17	S 1 PD22DEC17	2405.50	2592.07	USD	-4,664.25	
22SEP17	S 1 PD22DEC17	2406.00	2592.07	USD	-4,651.75	
22SEP17	S 1 PD22DEC17	2407.00	2592.07	USD	-4,626.75	
22SEP17	S 3 PD22DEC17	2407.50	2592.07	USD	-13,842.75	
22SEP17	S 1 PD22DEC17	2408.50	2592.07	USD	-4,589.25	
22SEP17	S 1 PD22DEC17	2416.00	2592.07	USD	-4,401.75	
22SEP17	S 1 PD22DEC17	2415.50	2592.07	USD	-4,414.25	
22SEP17	S 2 PD22DEC17	2412.50	2592.07	USD	-8,978.50	
22SEP17	S 1 PD22DEC17	2414.50	2592.07	USD	-4,439.25	
22SEP17	S 2 PD22DEC17	2417.00	2592.07	USD	-8,753.50	
22SEP17	S 2 PD22DEC17	2420.00	2592.07	USD	-8,603.50	
22SEP17	S 2 PD22DEC17	2423.00	2592.07	USD	-8,453.50	
22SEP17	S 1 PD22DEC17	2425.00	2592.07	USD	-4,176.75	
22SEP17	S 1 PD22DEC17	2424.50	2592.07	USD	-4,189.25	
22SEP17	S 2 PD22DEC17	2421.50	2592.07	USD	-8,528.50	
22SEP17	S 2 PD22DEC17	2421.00	2592.07	USD	-8,553.50	
22SEP17	S 1 PD22DEC17	2472.00	2592.07	USD	-3,001.75	
22SEP17	S 1 PD22DEC17	2459.00	2592.07	USD	-3,326.75	
22SEP17	B 1 PD22DEC17	2420.50	2592.07	USD	4,289.25	
22SEP17	B 1 PD22DEC17	2420.00	2592.07	USD	4,301.75	
22SEP17	B 1 PD22DEC17	2422.00	2592.07	USD	4,251.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
22SEP17	S 1 PD22DEC17	2400.00	2592.07	USD	-4,801.75	
22SEP17	S 1 PD22DEC17	2402.00	2592.07	USD	-4,751.75	
22SEP17	S 1 PD22DEC17	2405.50	2592.07	USD	-4,664.25	
22SEP17	B 1 PD22DEC17	2453.50	2592.07	USD	3,464.25	
22SEP17	S 1 PD22DEC17	2482.00	2592.07	USD	-2,751.75	
22SEP17	B 1 PD22DEC17	2458.00	2592.07	USD	3,351.75	
22SEP17	B 1 PD22DEC17	2454.00	2592.07	USD	3,451.75	
22SEP17	S 2 PD22DEC17	2481.50	2592.07	USD	-5,528.50	
22SEP17	B 1 PD22DEC17	2476.50	2592.07	USD	2,889.25	
22SEP17	B 1 PD22DEC17	2478.50	2592.07	USD	2,839.25	
26SEP17	B 7 PD22DEC17	2488.87	2592.07	USD	18,060.00	
	NET 0 AVG BGHT 2433.49 SOLD 2417.54				**-16,752.25**	**0.00**
25SEP17	B 1 PD27DEC17	2471.00	2591.86	USD	3,021.50	
25SEP17	B 1 PD27DEC17	2468.00	2591.86	USD	3,096.50	
25SEP17	S 1 PD27DEC17	2488.50	2591.86	USD	-2,584.00	
25SEP17	S 1 PD27DEC17	2481.00	2591.86	USD	-2,771.50	
25SEP17	S 1 PD27DEC17	2486.50	2591.86	USD	-2,634.00	
25SEP17	S 1 PD27DEC17	2498.50	2591.86	USD	-2,334.00	
25SEP17	B 1 PD27DEC17	2498.50	2591.86	USD	2,334.00	
25SEP17	S 1 PD27DEC17	2499.50	2591.86	USD	-2,309.00	
25SEP17	S 1 PD27DEC17	2503.50	2591.86	USD	-2,209.00	
25SEP17	S 1 PD27DEC17	2509.50	2591.86	USD	-2,059.00	
25SEP17	B 1 PD27DEC17	2493.50	2591.86	USD	2,459.00	
25SEP17	B 1 PD27DEC17	2489.50	2591.86	USD	2,559.00	
25SEP17	B 2 PD27DEC17	2489.00	2591.86	USD	5,143.00	
25SEP17	S 1 PD27DEC17	2485.50	2591.86	USD	-2,659.00	
25SEP17	B 1 PD27DEC17	2479.50	2591.86	USD	2,809.00	
26SEP17	S 1 PD27DEC17	2496.00	2591.86	USD	-2,396.50	
26SEP17	S 1 PD27DEC17	2498.00	2591.86	USD	-2,346.50	
26SEP17	S 1 PD27DEC17	2489.00	2591.86	USD	-2,571.50	
26SEP17	S 1 PD27DEC17	2494.00	2591.86	USD	-2,446.50	
26SEP17	S 1 PD27DEC17	2497.00	2591.86	USD	-2,371.50	
26SEP17	S 1 PD27DEC17	2495.00	2591.86	USD	-2,421.50	
26SEP17	S 1 PD27DEC17	2495.50	2591.86	USD	-2,409.00	
26SEP17	S 2 PD27DEC17	2502.50	2591.86	USD	-4,468.00	
26SEP17	S 1 PD27DEC17	2508.50	2591.86	USD	-2,084.00	
26SEP17	B 1 PD27DEC17	2503.50	2591.86	USD	2,209.00	
26SEP17	B 1 PD27DEC17	2500.50	2591.86	USD	2,284.00	
26SEP17	B 1 PD27DEC17	2497.50	2591.86	USD	2,359.00	
26SEP17	B 1 PD27DEC17	2495.00	2591.86	USD	2,421.50	
26SEP17	S 2 PD27DEC17	2499.50	2591.86	USD	-4,618.00	
26SEP17	S 1 PD27DEC17	2500.00	2591.86	USD	-2,296.50	
26SEP17	S 2 PD27DEC17	2501.50	2591.86	USD	-4,518.00	
26SEP17	B 1 PD27DEC17	2486.50	2591.86	USD	2,634.00	
26SEP17	S 3 PD27DEC17	2484.00	2591.86	USD	-8,089.50	
26SEP17	S 1 PD27DEC17	2481.50	2591.86	USD	-2,759.00	
27SEP17	B 1 PD27DEC17	2478.00	2591.86	USD	2,846.50	
27SEP17	B 1 PD27DEC17	2479.50	2591.86	USD	2,809.00	
27SEP17	B 1 PD27DEC17	2480.00	2591.86	USD	2,796.50	
27SEP17	S 1 PD27DEC17	2487.50	2591.86	USD	-2,609.00	
27SEP17	S 1 PD27DEC17	2478.00	2591.86	USD	-2,846.50	
27SEP17	S 1 PD27DEC17	2481.50	2591.86	USD	-2,759.00	
27SEP17	S 1 PD27DEC17	2484.50	2591.86	USD	-2,684.00	
27SEP17	S 2 PD27DEC17	2483.50	2591.86	USD	-5,418.00	
27SEP17	B 1 PD27DEC17	2478.50	2591.86	USD	2,834.00	
27SEP17	B 1 PD27DEC17	2476.50	2591.86	USD	2,884.00	
27SEP17	B 2 PD27DEC17	2474.50	2591.86	USD	5,868.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
27SEP17	S 1 PD27DEC17	2471.00	2591.86	USD	-3,021.50	
27SEP17	S 1 PD27DEC17	2470.50	2591.86	USD	-3,034.00	
27SEP17	B 1 PD27DEC17	2460.50	2591.86	USD	3,284.00	
27SEP17	S 1 PD27DEC17	2453.50	2591.86	USD	-3,459.00	
27SEP17	B 1 PD27DEC17	2452.00	2591.86	USD	3,496.50	
27SEP17	S 1 PD27DEC17	2463.00	2591.86	USD	-3,221.50	
27SEP17	S 1 PD27DEC17	2464.50	2591.86	USD	-3,184.00	
27SEP17	S 1 PD27DEC17	2463.50	2591.86	USD	-3,209.00	
27SEP17	B 1 PD27DEC17	2460.00	2591.86	USD	3,296.50	
27SEP17	B 2 PD27DEC17	2459.50	2591.86	USD	6,618.00	
27SEP17	B 1 PD27DEC17	2461.00	2591.86	USD	3,271.50	
	NET -13 AVG BGHT 2479.04 SOLD 2488.47				**-27,467.00**	**0.00**
28SEP17	S 1 PD28DEC17	2453.50	2591.64	USD	-3,453.50	
28SEP17	S 2 PD28DEC17	2451.50	2591.64	USD	-7,007.00	
28SEP17	S 1 PD28DEC17	2451.00	2591.64	USD	-3,516.00	
28SEP17	S 2 PD28DEC17	2452.00	2591.64	USD	-6,982.00	
28SEP17	B 1 PD28DEC17	2468.50	2591.64	USD	3,078.50	
28SEP17	S 1 PD28DEC17	2472.50	2591.64	USD	-2,978.50	
28SEP17	S 1 PD28DEC17	2464.50	2591.64	USD	-3,178.50	
28SEP17	B 1 PD28DEC17	2475.50	2591.64	USD	2,903.50	
28SEP17	B 2 PD28DEC17	2474.50	2591.64	USD	5,857.00	
28SEP17	B 1 PD28DEC17	2487.50	2591.64	USD	2,603.50	
28SEP17	B 1 PD28DEC17	2472.00	2591.64	USD	2,991.00	
28SEP17	B 2 PD28DEC17	2471.50	2591.64	USD	6,007.00	
28SEP17	B 1 PD28DEC17	2464.00	2591.64	USD	3,191.00	
28SEP17	B 1 PD28DEC17	2459.50	2591.64	USD	3,303.50	
28SEP17	B 1 PD28DEC17	2462.00	2591.64	USD	3,241.00	
28SEP17	S 1 PD28DEC17	2463.00	2591.64	USD	-3,216.00	
28SEP17	B 1 PD28DEC17	2461.50	2591.64	USD	3,253.50	
28SEP17	B 1 PD28DEC17	2459.00	2591.64	USD	3,316.00	
28SEP17	B 1 PD28DEC17	2458.00	2591.64	USD	3,341.00	
28SEP17	B 1 PD28DEC17	2457.00	2591.64	USD	3,366.00	
28SEP17	B 1 PD28DEC17	2462.50	2591.64	USD	3,228.50	
28SEP17	B 1 PD28DEC17	2463.00	2591.64	USD	3,216.00	
28SEP17	B 1 PD28DEC17	2460.50	2591.64	USD	3,278.50	
28SEP17	B 1 PD28DEC17	2460.00	2591.64	USD	3,291.00	
28SEP17	B 2 PD28DEC17	2474.00	2591.64	USD	5,882.00	
28SEP17	B 3 PD28DEC17	2473.00	2591.64	USD	8,898.00	
28SEP17	B 1 PD28DEC17	2482.00	2591.64	USD	2,741.00	
28SEP17	B 1 PD28DEC17	2480.00	2591.64	USD	2,791.00	
28SEP17	S 1 PD28DEC17	2483.50	2591.64	USD	-2,703.50	
28SEP17	B 1 PD28DEC17	2483.00	2591.64	USD	2,716.00	
28SEP17	B 1 PD28DEC17	2481.00	2591.64	USD	2,766.00	
28SEP17	B 1 PD28DEC17	2484.00	2591.64	USD	2,691.00	
28SEP17	S 1 PD28DEC17	2484.50	2591.64	USD	-2,678.50	
28SEP17	S 1 PD28DEC17	2486.00	2591.64	USD	-2,641.00	
	NET +17 AVG BGHT 2470.33 SOLD 2463.79				**49,597.00**	**0.00**
29SEP17	S 1 PD29DEC17	2485.50	2591.43	USD	-2,648.25	
29SEP17	S 2 PD29DEC17	2485.00	2591.43	USD	-5,321.50	
29SEP17	S 1 PD29DEC17	2486.00	2591.43	USD	-2,635.75	
29SEP17	S 1 PD29DEC17	2488.00	2591.43	USD	-2,585.75	
29SEP17	B 1 PD29DEC17	2496.00	2591.43	USD	2,385.75	
29SEP17	B 1 PD29DEC17	2488.00	2591.43	USD	2,585.75	
29SEP17	S 1 PD29DEC17	2496.50	2591.43	USD	-2,373.25	
29SEP17	S 1 PD29DEC17	2494.50	2591.43	USD	-2,423.25	
29SEP17	S 1 PD29DEC17	2495.50	2591.43	USD	-2,398.25	
29SEP17	S 1 PD29DEC17	2505.50	2591.43	USD	-2,148.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
29SEP17	S 1 PD29DEC17	2513.50	2591.43	USD	-1,948.25	
29SEP17	S 2 PD29DEC17	2492.50	2591.43	USD	-4,946.50	
29SEP17	S 3 PD29DEC17	2512.00	2591.43	USD	-5,957.25	
29SEP17	S 2 PD29DEC17	2512.50	2591.43	USD	-3,946.50	
29SEP17	B 1 PD29DEC17	2498.50	2591.43	USD	2,323.25	
29SEP17	B 1 PD29DEC17	2501.00	2591.43	USD	2,260.75	
29SEP17	B 2 PD29DEC17	2499.00	2591.43	USD	4,621.50	
29SEP17	B 1 PD29DEC17	2493.00	2591.43	USD	2,460.75	
29SEP17	B 1 PD29DEC17	2494.00	2591.43	USD	2,435.75	
29SEP17	B 2 PD29DEC17	2490.00	2591.43	USD	5,071.50	
29SEP17	B 1 PD29DEC17	2494.50	2591.43	USD	2,423.25	
29SEP17	B 1 PD29DEC17	2493.50	2591.43	USD	2,448.25	
29SEP17	B 1 PD29DEC17	2500.00	2591.43	USD	2,285.75	
	NET -4 AVG BGHT 2495.12 SOLD 2498.88				**-8,030.50**	**0.00**
03OCT17	S 3 PD03JAN18	2522.50	2591.00	USD	-5,137.50	
03OCT17	S 5 PD03JAN18	2522.00	2591.00	USD	-8,625.00	
03OCT17	S 1 PD03JAN18	2515.50	2591.00	USD	-1,887.50	
03OCT17	S 3 PD03JAN18	2523.00	2591.00	USD	-5,100.00	
03OCT17	S 2 PD03JAN18	2521.50	2591.00	USD	-3,475.00	
03OCT17	B 1 PD03JAN18	2526.00	2591.00	USD	1,625.00	
03OCT17	B 2 PD03JAN18	2525.50	2591.00	USD	3,275.00	
03OCT17	B 4 PD03JAN18	2527.00	2591.00	USD	6,400.00	
03OCT17	B 2 PD03JAN18	2528.00	2591.00	USD	3,150.00	
03OCT17	B 1 PD03JAN18	2524.00	2591.00	USD	1,675.00	
03OCT17	B 1 PD03JAN18	2523.50	2591.00	USD	1,687.50	
03OCT17	B 1 PD03JAN18	2519.00	2591.00	USD	1,800.00	
03OCT17	B 2 PD03JAN18	2514.50	2591.00	USD	3,825.00	
03OCT17	B 1 PD03JAN18	2514.00	2591.00	USD	1,925.00	
03OCT17	B 1 PD03JAN18	2513.00	2591.00	USD	1,950.00	
03OCT17	B 2 PD03JAN18	2510.50	2591.00	USD	4,025.00	
03OCT17	B 1 PD03JAN18	2505.00	2591.00	USD	2,150.00	
03OCT17	B 1 PD03JAN18	2506.00	2591.00	USD	2,125.00	
03OCT17	B 1 PD03JAN18	2501.50	2591.00	USD	2,237.50	
03OCT17	B 1 PD03JAN18	2500.00	2591.00	USD	2,275.00	
03OCT17	B 2 PD03JAN18	2502.00	2591.00	USD	4,450.00	
03OCT17	B 1 PD03JAN18	2501.00	2591.00	USD	2,250.00	
03OCT17	S 1 PD03JAN18	2522.50	2591.00	USD	-1,712.50	
03OCT17	S 1 PD03JAN18	2521.00	2591.00	USD	-1,750.00	
03OCT17	B 1 PD03JAN18	2497.50	2591.00	USD	2,337.50	
03OCT17	B 1 PD03JAN18	2488.50	2591.00	USD	2,562.50	
03OCT17	B 1 PD03JAN18	2489.50	2591.00	USD	2,537.50	
03OCT17	B 1 PD03JAN18	2498.00	2591.00	USD	2,325.00	
03OCT17	B 1 PD03JAN18	2499.50	2591.00	USD	2,287.50	
03OCT17	S 1 PD03JAN18	2497.00	2591.00	USD	-2,350.00	
03OCT17	S 1 PD03JAN18	2503.00	2591.00	USD	-2,200.00	
03OCT17	S 1 PD03JAN18	2509.50	2591.00	USD	-2,037.50	
03OCT17	S 2 PD03JAN18	2518.00	2591.00	USD	-3,650.00	
03OCT17	S 2 PD03JAN18	2518.50	2591.00	USD	-3,625.00	
03OCT17	S 1 PD03JAN18	2519.00	2591.00	USD	-1,800.00	
03OCT17	S 7 PD03JAN18	2520.50	2591.00	USD	-12,337.50	
03OCT17	S 1 PD03JAN18	2520.00	2591.00	USD	-1,775.00	
03OCT17	S 3 PD03JAN18	2523.50	2591.00	USD	-5,062.50	
03OCT17	S 2 PD03JAN18	2521.00	2591.00	USD	-3,500.00	
03OCT17	B 1 PD03JAN18	2521.00	2591.00	USD	1,750.00	
03OCT17	S 4 PD03JAN18	2524.00	2591.00	USD	-6,700.00	
03OCT17	S 1 PD03JAN18	2528.00	2591.00	USD	-1,575.00	
03OCT17	S 1 PD03JAN18	2529.00	2591.00	USD	-1,550.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
03OCT17	S 1 PD03JAN18	2530.50	2591.00	USD	-1,512.50	
03OCT17	S 1 PD03JAN18	2531.00	2591.00	USD	-1,500.00	
03OCT17	S 2 PD03JAN18	2534.50	2591.00	USD	-2,825.00	
03OCT17	S 1 PD03JAN18	2540.50	2591.00	USD	-1,262.50	
03OCT17	S 1 PD03JAN18	2542.00	2591.00	USD	-1,225.00	
03OCT17	S 1 PD03JAN18	2544.50	2591.00	USD	-1,162.50	
03OCT17	S 2 PD03JAN18	2548.50	2591.00	USD	-2,125.00	
03OCT17	S 1 PD03JAN18	2550.00	2591.00	USD	-1,025.00	
03OCT17	S 1 PD03JAN18	2550.50	2591.00	USD	-1,012.50	
03OCT17	S 1 PD03JAN18	2553.50	2591.00	USD	-937.50	
03OCT17	S 1 PD03JAN18	2554.50	2591.00	USD	-912.50	
03OCT17	S 1 PD03JAN18	2558.50	2591.00	USD	-812.50	
03OCT17	B 1 PD03JAN18	2565.50	2591.00	USD	637.50	
03OCT17	S 1 PD03JAN18	2562.00	2591.00	USD	-725.00	
03OCT17	B 2 PD03JAN18	2506.00	2591.00	USD	4,250.00	
03OCT17	S 1 PD03JAN18	2565.00	2591.00	USD	-650.00	
03OCT17	B 1 PD03JAN18	2554.50	2591.00	USD	912.50	
03OCT17	B 1 PD03JAN18	2551.50	2591.00	USD	987.50	
03OCT17	B 1 PD03JAN18	2552.50	2591.00	USD	962.50	
03OCT17	S 1 PD03JAN18	2559.00	2591.00	USD	-800.00	
03OCT17	S 1 PD03JAN18	2556.50	2591.00	USD	-862.50	
03OCT17	S 1 PD03JAN18	2563.50	2591.00	USD	-687.50	
03OCT17	S 1 PD03JAN18	2572.00	2591.00	USD	-475.00	
03OCT17	S 3 PD03JAN18	2587.00	2591.00	USD	-300.00	
03OCT17	B 2 PD03JAN18	2597.00	2591.00	USD	-300.00	
03OCT17	B 1 PD03JAN18	2565.00	2591.00	USD	650.00	
	NET -26 AVG BGHT 2522.28 SOLD 2532.42				**-27,937.50**	**0.00**
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
12JUL17	S 1 PD04OCT17	9199.93	10531.23	USD	-7,987.80	
13JUL17	B 1 PD04OCT17	9204.43	10531.23	USD	7,960.80	
	NET 0 AVG BGHT 9204.43 SOLD 9199.93				**-27.00**	**0.00**
12JUL17	B 1 PD10OCT17	9204.90	10538.85	USD	8,003.70	
13JUL17	S 1 PD10OCT17	9207.29	10538.85	USD	-7,989.36	
	NET 0 AVG BGHT 9204.90 SOLD 9207.29				**14.34**	**0.00**
14JUL17	B 1 PD13OCT17	9365.00	10542.65	USD	7,065.90	
18JUL17	S 1 PD13OCT17	9777.44	10542.65	USD	-4,591.26	
	NET 0 AVG BGHT 9365.00 SOLD 9777.44				**2,474.64**	**0.00**
17JUL17	S 1 PD17OCT17	9695.00	10547.73	USD	-5,116.38	
18JUL17	B 1 PD17OCT17	9781.00	10547.73	USD	4,600.38	
	NET 0 AVG BGHT 9781.00 SOLD 9695.00				**-516.00**	**0.00**
20JUL17	B 1 PD20OCT17	9635.00	10550.93	USD	5,495.58	
01AUG17	S 1 PD20OCT17	10285.29	10550.93	USD	-1,593.84	
	NET 0 AVG BGHT 9635.00 SOLD 10285.29				**3,901.74**	**0.00**
25JUL17	B 1 PD25OCT17	9900.00	10555.75	USD	3,934.50	
01AUG17	S 1 PD25OCT17	10287.25	10555.75	USD	-1,611.00	
	NET 0 AVG BGHT 9900.00 SOLD 10287.25				**2,323.50**	**0.00**
27JUL17	S 1 PD27OCT17	10110.00	10557.68	USD	-2,686.08	
27JUL17	S 1 PD27OCT17	10155.00	10557.68	USD	-2,416.08	
01AUG17	B 2 PD27OCT17	10289.04	10557.68	USD	3,223.68	
	NET 0 AVG BGHT 10289.04 SOLD 10132.50				**-1,878.48**	**0.00**
01AUG17	B 1 PD01NOV17	10180.00	10562.50	USD	2,295.00	
03AUG17	S 1 PD01NOV17	10379.57	10562.50	USD	-1,097.58	
	NET 0 AVG BGHT 10180.00 SOLD 10379.57				**1,197.42**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
02AUG17	S 1 PD02NOV17	10290.00	10563.46	USD	-1,640.76	
03AUG17	B 1 PD02NOV17	10380.79	10563.46	USD	1,096.02	
	NET 0 AVG BGHT 10380.79 SOLD 10290.00				**-544.74**	**0.00**
04AUG17	B 1 PD03NOV17	10295.00	10564.43	USD	1,616.58	
10AUG17	S 1 PD03NOV17	10980.89	10564.43	USD	2,498.76	
	NET 0 AVG BGHT 10295.00 SOLD 10980.89				**4,115.34**	**0.00**
08AUG17	S 1 PD08NOV17	10725.00	10569.25	USD	934.50	
10AUG17	B 1 PD08NOV17	10984.83	10569.25	USD	-2,493.48	
	NET 0 AVG BGHT 10984.83 SOLD 10725.00				**-1,558.98**	**0.00**
11AUG17	B 1 PD10NOV17	10640.00	10571.18	USD	-412.92	
22AUG17	S 1 PD10NOV17	11410.04	10571.18	USD	5,033.16	
	NET 0 AVG BGHT 10640.00 SOLD 11410.04				**4,620.24**	**0.00**
14AUG17	B 1 PD14NOV17	10555.00	10575.04	USD	120.24	
22AUG17	S 1 PD14NOV17	11412.81	10575.04	USD	5,026.62	
	NET 0 AVG BGHT 10555.00 SOLD 11412.81				**5,146.86**	**0.00**
22AUG17	S 1 PD22NOV17	11435.00	10582.20	USD	5,116.80	
22AUG17	S 1 PD22NOV17	11430.00	10582.20	USD	5,086.80	
29AUG17	B 1 PD22NOV17	11705.15	10582.20	USD	-6,737.70	
13SEP17	B 1 PD22NOV17	11343.92	10582.20	USD	-4,570.32	
	NET 0 AVG BGHT 11524.54 SOLD 11432.50				**-1,104.42**	**0.00**
23AUG17	B 1 PD24NOV17	11340.00	10583.97	USD	-4,536.18	
23AUG17	S 1 PD24NOV17	11325.00	10583.97	USD	4,446.18	
23AUG17	B 1 PD24NOV17	11455.00	10583.97	USD	-5,226.18	
23AUG17	S 1 PD24NOV17	11515.00	10583.97	USD	5,586.18	
23AUG17	S 1 PD24NOV17	11580.00	10583.97	USD	5,976.18	
23AUG17	B 1 PD24NOV17	11615.00	10583.97	USD	-6,186.18	
25AUG17	B 1 PD24NOV17	11430.00	10583.97	USD	-5,076.18	
29AUG17	S 1 PD24NOV17	11705.54	10583.97	USD	6,729.42	
	NET 0 AVG BGHT 11460.00 SOLD 11531.39				**1,713.24**	**0.00**
29AUG17	S 1 PD29NOV17	11770.00	10588.40	USD	7,089.60	
29AUG17	B 1 PD29NOV17	11845.00	10588.40	USD	-7,539.60	
29AUG17	S 1 PD29NOV17	11810.00	10588.40	USD	7,329.60	
06SEP17	B 1 PD29NOV17	12160.80	10588.40	USD	-9,434.40	
	NET 0 AVG BGHT 12002.90 SOLD 11790.00				**-2,554.80**	**0.00**
30AUG17	B 1 PD30NOV17	11775.00	10589.29	USD	-7,114.26	
30AUG17	B 1 PD30NOV17	11660.00	10589.29	USD	-6,424.26	
30AUG17	S 1 PD30NOV17	11570.00	10589.29	USD	5,884.26	
31AUG17	S 1 PD30NOV17	11700.00	10589.29	USD	6,664.26	
	NET 0 AVG BGHT 11717.50 SOLD 11635.00				**-990.00**	**0.00**
01SEP17	B 1 PD01DEC17	12065.00	10590.17	USD	-8,848.98	
06SEP17	S 1 PD01DEC17	12161.00	10590.17	USD	9,424.98	
	NET 0 AVG BGHT 12065.00 SOLD 12161.00				**576.00**	**0.00**
08SEP17	B 1 PD08DEC17	11575.00	10596.37	USD	-5,871.78	
08SEP17	S 1 PD08DEC17	11505.00	10596.37	USD	5,451.78	
08SEP17	B 1 PD08DEC17	11500.00	10596.37	USD	-5,421.78	
13SEP17	S 1 PD08DEC17	11356.46	10596.37	USD	4,560.54	
	NET 0 AVG BGHT 11537.50 SOLD 11430.73				**-1,281.24**	**0.00**
11SEP17	S 1 PD11DEC17	11745.00	10599.03	USD	6,875.82	
13SEP17	B 1 PD11DEC17	11360.00	10599.03	USD	-4,565.82	
	NET 0 AVG BGHT 11360.00 SOLD 11745.00				**2,310.00**	**0.00**
13SEP17	B 1 PD13DEC17	11515.00	10600.80	USD	-5,485.20	
15SEP17	S 1 PD13DEC17	11087.40	10600.80	USD	2,919.60	
	NET 0 AVG BGHT 11515.00 SOLD 11087.40				**-2,565.60**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
04JUL17	B 2 PD04OCT17	2781.00	3316.62	USD	26,781.00	
04JUL17	B 3 PD04OCT17	2779.50	3316.62	USD	40,284.00	
04JUL17	B 1 PD04OCT17	2778.50	3316.62	USD	13,453.00	
04JUL17	B 6 PD04OCT17	2780.50	3316.62	USD	80,418.00	
04JUL17	B 1 PD04OCT17	2775.50	3316.62	USD	13,528.00	
04JUL17	S 1 PD04OCT17	2769.00	3316.62	USD	-13,690.50	
04JUL17	S 1 PD04OCT17	2770.00	3316.62	USD	-13,665.50	
04JUL17	S 1 PD04OCT17	2771.00	3316.62	USD	-13,640.50	
04JUL17	S 2 PD04OCT17	2772.00	3316.62	USD	-27,231.00	
04JUL17	S 1 PD04OCT17	2769.50	3316.62	USD	-13,678.00	
04JUL17	S 1 PD04OCT17	2773.00	3316.62	USD	-13,590.50	
04JUL17	B 1 PD04OCT17	2775.00	3316.62	USD	13,540.50	
04JUL17	S 1 PD04OCT17	2773.50	3316.62	USD	-13,578.00	
04JUL17	B 3 PD04OCT17	2771.50	3316.62	USD	40,884.00	
04JUL17	S 1 PD04OCT17	2774.00	3316.62	USD	-13,565.50	
04JUL17	B 1 PD04OCT17	2768.50	3316.62	USD	13,703.00	
04JUL17	B 1 PD04OCT17	2766.50	3316.62	USD	13,753.00	
04JUL17	S 3 PD04OCT17	2774.50	3316.62	USD	-40,659.00	
04JUL17	S 3 PD04OCT17	2775.00	3316.62	USD	-40,621.50	
04JUL17	S 3 PD04OCT17	2778.00	3316.62	USD	-40,396.50	
04JUL17	S 7 PD04OCT17	2777.00	3316.62	USD	-94,433.50	
04JUL17	S 4 PD04OCT17	2777.50	3316.62	USD	-53,912.00	
04JUL17	S 1 PD04OCT17	2779.50	3316.62	USD	-13,428.00	
04JUL17	S 1 PD04OCT17	2780.00	3316.62	USD	-13,415.50	
04JUL17	S 2 PD04OCT17	2781.50	3316.62	USD	-26,756.00	
04JUL17	S 1 PD04OCT17	2783.50	3316.62	USD	-13,328.00	
04JUL17	S 1 PD04OCT17	2783.00	3316.62	USD	-13,340.50	
04JUL17	S 1 PD04OCT17	2782.00	3316.62	USD	-13,365.50	
04JUL17	B 1 PD04OCT17	2778.00	3316.62	USD	13,465.50	
04JUL17	S 2 PD04OCT17	2782.50	3316.62	USD	-26,706.00	
04JUL17	S 1 PD04OCT17	2785.00	3316.62	USD	-13,290.50	
04JUL17	S 3 PD04OCT17	2784.50	3316.62	USD	-39,909.00	
04JUL17	B 1 PD04OCT17	2784.00	3316.62	USD	13,315.50	
04JUL17	B 1 PD04OCT17	2777.00	3316.62	USD	13,490.50	
04JUL17	B 3 PD04OCT17	2779.00	3316.62	USD	40,321.50	
04JUL17	B 1 PD04OCT17	2776.50	3316.62	USD	13,503.00	
04JUL17	B 3 PD04OCT17	2774.00	3316.62	USD	40,696.50	
04JUL17	B 1 PD04OCT17	2780.50	3316.62	USD	13,403.00	
04JUL17	B 2 PD04OCT17	2780.00	3316.62	USD	26,831.00	
04JUL17	S 1 PD04OCT17	2773.50	3316.62	USD	-13,578.00	
04JUL17	B 1 PD04OCT17	2781.00	3316.62	USD	13,390.50	
04JUL17	B 1 PD04OCT17	2770.00	3316.62	USD	13,665.50	
04JUL17	B 4 PD04OCT17	2772.00	3316.62	USD	54,462.00	
04JUL17	B 2 PD04OCT17	2772.50	3316.62	USD	27,206.00	
04JUL17	B 4 PD04OCT17	2773.00	3316.62	USD	54,362.00	
04JUL17	B 2 PD04OCT17	2768.00	3316.62	USD	27,431.00	
04JUL17	B 1 PD04OCT17	2766.00	3316.62	USD	13,765.50	
04JUL17	B 2 PD04OCT17	2765.50	3316.62	USD	27,556.00	
04JUL17	S 3 PD04OCT17	2788.50	3316.62	USD	-39,609.00	
04JUL17	S 2 PD04OCT17	2786.50	3316.62	USD	-26,506.00	
04JUL17	S 2 PD04OCT17	2786.00	3316.62	USD	-26,531.00	
04JUL17	S 1 PD04OCT17	2789.00	3316.62	USD	-13,190.50	
04JUL17	S 1 PD04OCT17	2789.50	3316.62	USD	-13,178.00	
04JUL17	S 1 PD04OCT17	2793.50	3316.62	USD	-13,078.00	
04JUL17	S 2 PD04OCT17	2796.00	3316.62	USD	-26,031.00	
04JUL17	B 1 PD04OCT17	2796.00	3316.62	USD	13,015.50	
04JUL17	B 1 PD04OCT17	2799.00	3316.62	USD	12,940.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
04JUL17	B 3 PD04OCT17	2801.00	3316.62	USD	38,671.50	
04JUL17	B 3 PD04OCT17	2799.50	3316.62	USD	38,784.00	
04JUL17	B 2 PD04OCT17	2797.00	3316.62	USD	25,981.00	
04JUL17	B 3 PD04OCT17	2800.50	3316.62	USD	38,709.00	
04JUL17	B 8 PD04OCT17	2800.00	3316.62	USD	103,324.00	
04JUL17	S 1 PD04OCT17	2802.00	3316.62	USD	-12,865.50	
04JUL17	S 2 PD04OCT17	2787.50	3316.62	USD	-26,456.00	
04JUL17	S 1 PD04OCT17	2800.50	3316.62	USD	-12,903.00	
04JUL17	S 1 PD04OCT17	2797.50	3316.62	USD	-12,978.00	
04JUL17	S 2 PD04OCT17	2794.50	3316.62	USD	-26,106.00	
04JUL17	S 3 PD04OCT17	2794.00	3316.62	USD	-39,196.50	
04JUL17	B 1 PD04OCT17	2795.00	3316.62	USD	13,040.50	
04JUL17	B 2 PD04OCT17	2794.50	3316.62	USD	26,106.00	
04JUL17	B 1 PD04OCT17	2792.50	3316.62	USD	13,103.00	
04JUL17	B 1 PD04OCT17	2793.00	3316.62	USD	13,090.50	
04JUL17	B 2 PD04OCT17	2792.00	3316.62	USD	26,231.00	
04JUL17	B 1 PD04OCT17	2789.50	3316.62	USD	13,178.00	
04JUL17	B 2 PD04OCT17	2788.50	3316.62	USD	26,406.00	
04JUL17	S 3 PD04OCT17	2790.00	3316.62	USD	-39,496.50	
04JUL17	S 1 PD04OCT17	2787.50	3316.62	USD	-13,228.00	
04JUL17	S 3 PD04OCT17	2787.00	3316.62	USD	-39,721.50	
04JUL17	B 1 PD04OCT17	2791.00	3316.62	USD	13,140.50	
05JUL17	S 9 PD04OCT17	2781.26	3316.62	USD	-120,456.00	
	NET 0 AVG BGHT 2783.81 SOLD 2782.64				**-2,379.00**	**0.00**
05JUL17	B 5 PD05OCT17	2798.00	3313.50	USD	64,437.50	
05JUL17	B 2 PD05OCT17	2797.50	3313.50	USD	25,800.00	
05JUL17	B 1 PD05OCT17	2797.00	3313.50	USD	12,912.50	
05JUL17	B 3 PD05OCT17	2795.50	3313.50	USD	38,850.00	
05JUL17	B 2 PD05OCT17	2795.00	3313.50	USD	25,925.00	
05JUL17	B 4 PD05OCT17	2796.50	3313.50	USD	51,700.00	
05JUL17	B 2 PD05OCT17	2796.00	3313.50	USD	25,875.00	
05JUL17	S 2 PD05OCT17	2787.50	3313.50	USD	-26,300.00	
05JUL17	S 1 PD05OCT17	2785.00	3313.50	USD	-13,212.50	
05JUL17	S 1 PD05OCT17	2780.00	3313.50	USD	-13,337.50	
05JUL17	B 2 PD05OCT17	2778.00	3313.50	USD	26,775.00	
05JUL17	S 1 PD05OCT17	2784.00	3313.50	USD	-13,237.50	
05JUL17	S 2 PD05OCT17	2784.50	3313.50	USD	-26,450.00	
05JUL17	S 1 PD05OCT17	2785.50	3313.50	USD	-13,200.00	
05JUL17	S 1 PD05OCT17	2787.00	3313.50	USD	-13,162.50	
05JUL17	S 2 PD05OCT17	2786.50	3313.50	USD	-26,350.00	
05JUL17	S 1 PD05OCT17	2790.00	3313.50	USD	-13,087.50	
05JUL17	S 1 PD05OCT17	2789.00	3313.50	USD	-13,112.50	
05JUL17	S 1 PD05OCT17	2791.00	3313.50	USD	-13,062.50	
05JUL17	S 4 PD05OCT17	2789.50	3313.50	USD	-52,400.00	
05JUL17	S 2 PD05OCT17	2791.50	3313.50	USD	-26,100.00	
05JUL17	S 2 PD05OCT17	2788.50	3313.50	USD	-26,250.00	
05JUL17	S 5 PD05OCT17	2786.00	3313.50	USD	-65,937.50	
05JUL17	S 1 PD05OCT17	2783.50	3313.50	USD	-13,250.00	
05JUL17	B 1 PD05OCT17	2778.50	3313.50	USD	13,375.00	
05JUL17	B 2 PD05OCT17	2775.00	3313.50	USD	26,925.00	
05JUL17	B 1 PD05OCT17	2776.00	3313.50	USD	13,437.50	
05JUL17	B 5 PD05OCT17	2775.50	3313.50	USD	67,250.00	
05JUL17	B 4 PD05OCT17	2774.00	3313.50	USD	53,950.00	
05JUL17	S 1 PD05OCT17	2774.50	3313.50	USD	-13,475.00	
05JUL17	B 2 PD05OCT17	2770.00	3313.50	USD	27,175.00	
05JUL17	B 1 PD05OCT17	2769.50	3313.50	USD	13,600.00	
05JUL17	B 1 PD05OCT17	2771.00	3313.50	USD	13,562.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
05JUL17	S 1 PD05OCT17	2775.50	3313.50	USD	-13,450.00	
05JUL17	S 6 PD05OCT17	2778.00	3313.50	USD	-80,325.00	
05JUL17	S 1 PD05OCT17	2778.50	3313.50	USD	-13,375.00	
05JUL17	S 1 PD05OCT17	2779.50	3313.50	USD	-13,350.00	
05JUL17	S 2 PD05OCT17	2776.00	3313.50	USD	-26,875.00	
05JUL17	B 4 PD05OCT17	2774.50	3313.50	USD	53,900.00	
05JUL17	B 1 PD05OCT17	2767.00	3313.50	USD	13,662.50	
05JUL17	B 1 PD05OCT17	2774.00	3313.50	USD	13,487.50	
05JUL17	B 1 PD05OCT17	2776.00	3313.50	USD	13,437.50	
05JUL17	B 2 PD05OCT17	2775.50	3313.50	USD	26,900.00	
05JUL17	B 2 PD05OCT17	2768.50	3313.50	USD	27,250.00	
05JUL17	B 1 PD05OCT17	2768.00	3313.50	USD	13,637.50	
05JUL17	B 1 PD05OCT17	2765.00	3313.50	USD	13,712.50	
05JUL17	B 1 PD05OCT17	2764.00	3313.50	USD	13,737.50	
05JUL17	S 2 PD05OCT17	2767.00	3313.50	USD	-27,325.00	
05JUL17	S 2 PD05OCT17	2769.00	3313.50	USD	-27,225.00	
05JUL17	B 2 PD05OCT17	2757.00	3313.50	USD	27,825.00	
05JUL17	B 2 PD05OCT17	2754.50	3313.50	USD	27,950.00	
05JUL17	B 2 PD05OCT17	2755.50	3313.50	USD	27,900.00	
05JUL17	S 1 PD05OCT17	2762.50	3313.50	USD	-13,775.00	
05JUL17	S 1 PD05OCT17	2758.00	3313.50	USD	-13,887.50	
05JUL17	S 1 PD05OCT17	2753.00	3313.50	USD	-14,012.50	
05JUL17	B 1 PD05OCT17	2739.50	3313.50	USD	14,350.00	
05JUL17	B 1 PD05OCT17	2739.00	3313.50	USD	14,362.50	
05JUL17	B 1 PD05OCT17	2740.00	3313.50	USD	14,337.50	
05JUL17	S 1 PD05OCT17	2747.50	3313.50	USD	-14,150.00	
05JUL17	S 3 PD05OCT17	2748.50	3313.50	USD	-42,375.00	
05JUL17	S 1 PD05OCT17	2749.50	3313.50	USD	-14,100.00	
05JUL17	S 3 PD05OCT17	2750.50	3313.50	USD	-42,225.00	
05JUL17	S 2 PD05OCT17	2749.00	3313.50	USD	-28,225.00	
05JUL17	S 1 PD05OCT17	2751.00	3313.50	USD	-14,062.50	
05JUL17	S 2 PD05OCT17	2756.00	3313.50	USD	-27,875.00	
05JUL17	S 1 PD05OCT17	2758.50	3313.50	USD	-13,875.00	
05JUL17	B 1 PD05OCT17	2769.00	3313.50	USD	13,612.50	
05JUL17	S 1 PD05OCT17	2767.50	3313.50	USD	-13,650.00	
05JUL17	S 2 PD05OCT17	2752.50	3313.50	USD	-28,050.00	
05JUL17	S 3 PD05OCT17	2754.00	3313.50	USD	-41,962.50	
05JUL17	S 1 PD05OCT17	2757.00	3313.50	USD	-13,912.50	
05JUL17	S 3 PD05OCT17	2761.50	3313.50	USD	-41,400.00	
05JUL17	S 1 PD05OCT17	2764.00	3313.50	USD	-13,737.50	
05JUL17	S 1 PD05OCT17	2764.50	3313.50	USD	-13,725.00	
05JUL17	S 2 PD05OCT17	2766.00	3313.50	USD	-27,375.00	
05JUL17	S 2 PD05OCT17	2765.50	3313.50	USD	-27,400.00	
05JUL17	B 1 PD05OCT17	2772.50	3313.50	USD	13,525.00	
05JUL17	B 4 PD05OCT17	2776.50	3313.50	USD	53,700.00	
05JUL17	B 1 PD05OCT17	2763.00	3313.50	USD	13,762.50	
10JUL17	B 5 PD05OCT17	2780.50	3313.50	USD	66,625.00	
12JUL17	B 3 PD05OCT17	2832.03	3313.50	USD	36,110.25	
13JUL17	B 1 PD05OCT17	2802.39	3313.50	USD	12,777.75	
	NET 0 AVG BGHT 2779.42 SOLD 2771.36				**-15,512.00**	**0.00**
06JUL17	B 1 PD06OCT17	2761.00	3310.38	USD	13,734.50	
06JUL17	S 1 PD06OCT17	2753.50	3310.38	USD	-13,922.00	
06JUL17	S 2 PD06OCT17	2754.50	3310.38	USD	-27,794.00	
06JUL17	S 1 PD06OCT17	2752.50	3310.38	USD	-13,947.00	
06JUL17	S 4 PD06OCT17	2756.50	3310.38	USD	-55,388.00	
06JUL17	S 1 PD06OCT17	2767.00	3310.38	USD	-13,584.50	
06JUL17	S 2 PD06OCT17	2760.00	3310.38	USD	-27,519.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
06JUL17	S 1 PD06OCT17	2769.00	3310.38	USD	-13,534.50	
06JUL17	S 1 PD06OCT17	2770.00	3310.38	USD	-13,509.50	
06JUL17	S 2 PD06OCT17	2770.50	3310.38	USD	-26,994.00	
06JUL17	B 1 PD06OCT17	2771.00	3310.38	USD	13,484.50	
06JUL17	S 1 PD06OCT17	2769.50	3310.38	USD	-13,522.00	
06JUL17	S 2 PD06OCT17	2766.50	3310.38	USD	-27,194.00	
06JUL17	S 1 PD06OCT17	2768.00	3310.38	USD	-13,559.50	
06JUL17	S 3 PD06OCT17	2771.00	3310.38	USD	-40,453.50	
06JUL17	S 1 PD06OCT17	2779.50	3310.38	USD	-13,272.00	
06JUL17	B 1 PD06OCT17	2779.00	3310.38	USD	13,284.50	
06JUL17	B 2 PD06OCT17	2784.00	3310.38	USD	26,319.00	
06JUL17	B 1 PD06OCT17	2792.50	3310.38	USD	12,947.00	
06JUL17	B 3 PD06OCT17	2792.00	3310.38	USD	38,878.50	
06JUL17	B 1 PD06OCT17	2791.00	3310.38	USD	12,984.50	
06JUL17	B 1 PD06OCT17	2789.50	3310.38	USD	13,022.00	
06JUL17	B 6 PD06OCT17	2790.00	3310.38	USD	78,057.00	
06JUL17	B 1 PD06OCT17	2787.50	3310.38	USD	13,072.00	
06JUL17	S 1 PD06OCT17	2795.50	3310.38	USD	-12,872.00	
06JUL17	B 3 PD06OCT17	2797.50	3310.38	USD	38,466.00	
06JUL17	B 4 PD06OCT17	2790.50	3310.38	USD	51,988.00	
06JUL17	B 1 PD06OCT17	2787.00	3310.38	USD	13,084.50	
06JUL17	B 1 PD06OCT17	2786.50	3310.38	USD	13,097.00	
06JUL17	B 2 PD06OCT17	2785.50	3310.38	USD	26,244.00	
06JUL17	B 2 PD06OCT17	2786.00	3310.38	USD	26,219.00	
06JUL17	B 1 PD06OCT17	2785.00	3310.38	USD	13,134.50	
06JUL17	S 1 PD06OCT17	2789.00	3310.38	USD	-13,034.50	
06JUL17	S 1 PD06OCT17	2762.50	3310.38	USD	-13,697.00	
06JUL17	B 2 PD06OCT17	2790.00	3310.38	USD	26,019.00	
06JUL17	S 4 PD06OCT17	2790.00	3310.38	USD	-52,038.00	
06JUL17	S 1 PD06OCT17	2792.00	3310.38	USD	-12,959.50	
06JUL17	S 1 PD06OCT17	2793.50	3310.38	USD	-12,922.00	
06JUL17	S 1 PD06OCT17	2790.50	3310.38	USD	-12,997.00	
06JUL17	B 1 PD06OCT17	2780.00	3310.38	USD	13,259.50	
06JUL17	B 1 PD06OCT17	2778.50	3310.38	USD	13,297.00	
06JUL17	B 2 PD06OCT17	2779.50	3310.38	USD	26,544.00	
06JUL17	B 3 PD06OCT17	2777.50	3310.38	USD	39,966.00	
06JUL17	B 2 PD06OCT17	2775.00	3310.38	USD	26,769.00	
06JUL17	B 1 PD06OCT17	2774.00	3310.38	USD	13,409.50	
06JUL17	B 1 PD06OCT17	2775.50	3310.38	USD	13,372.00	
06JUL17	B 1 PD06OCT17	2776.50	3310.38	USD	13,347.00	
06JUL17	B 1 PD06OCT17	2782.00	3310.38	USD	13,209.50	
06JUL17	B 2 PD06OCT17	2782.50	3310.38	USD	26,394.00	
06JUL17	S 1 PD06OCT17	2786.50	3310.38	USD	-13,097.00	
06JUL17	B 1 PD06OCT17	2780.50	3310.38	USD	13,247.00	
06JUL17	S 1 PD06OCT17	2783.50	3310.38	USD	-13,172.00	
06JUL17	S 1 PD06OCT17	2782.00	3310.38	USD	-13,209.50	
06JUL17	S 1 PD06OCT17	2782.50	3310.38	USD	-13,197.00	
06JUL17	S 2 PD06OCT17	2785.50	3310.38	USD	-26,244.00	
06JUL17	B 2 PD06OCT17	2781.00	3310.38	USD	26,469.00	
07JUL17	S 2 PD06OCT17	2775.00	3310.38	USD	-26,769.00	
07JUL17	S 2 PD06OCT17	2774.00	3310.38	USD	-26,819.00	
07JUL17	S 5 PD06OCT17	2774.50	3310.38	USD	-66,985.00	
07JUL17	B 2 PD06OCT17	2784.50	3310.38	USD	26,294.00	
07JUL17	S 1 PD06OCT17	2783.00	3310.38	USD	-13,184.50	
07JUL17	S 3 PD06OCT17	2784.50	3310.38	USD	-39,441.00	
07JUL17	S 1 PD06OCT17	2787.50	3310.38	USD	-13,072.00	
07JUL17	S 1 PD06OCT17	2795.50	3310.38	USD	-12,872.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
07JUL17	S 2 PD06OCT17	2800.00	3310.38	USD	-25,519.00	
07JUL17	B 1 PD06OCT17	2801.00	3310.38	USD	12,734.50	
07JUL17	S 2 PD06OCT17	2801.50	3310.38	USD	-25,444.00	
07JUL17	S 2 PD06OCT17	2800.50	3310.38	USD	-25,494.00	
07JUL17	S 1 PD06OCT17	2798.00	3310.38	USD	-12,809.50	
07JUL17	S 1 PD06OCT17	2796.50	3310.38	USD	-12,847.00	
07JUL17	S 1 PD06OCT17	2797.50	3310.38	USD	-12,822.00	
07JUL17	S 1 PD06OCT17	2797.00	3310.38	USD	-12,834.50	
07JUL17	B 1 PD06OCT17	2797.00	3310.38	USD	12,834.50	
07JUL17	B 1 PD06OCT17	2791.50	3310.38	USD	12,972.00	
07JUL17	B 1 PD06OCT17	2790.00	3310.38	USD	13,009.50	
07JUL17	B 1 PD06OCT17	2783.00	3310.38	USD	13,184.50	
07JUL17	B 1 PD06OCT17	2773.50	3310.38	USD	13,422.00	
07JUL17	S 1 PD06OCT17	2777.00	3310.38	USD	-13,334.50	
07JUL17	S 1 PD06OCT17	2784.00	3310.38	USD	-13,159.50	
07JUL17	S 1 PD06OCT17	2780.00	3310.38	USD	-13,259.50	
07JUL17	S 1 PD06OCT17	2777.50	3310.38	USD	-13,322.00	
07JUL17	B 2 PD06OCT17	2778.00	3310.38	USD	26,619.00	
07JUL17	B 1 PD06OCT17	2780.00	3310.38	USD	13,259.50	
07JUL17	S 1 PD06OCT17	2775.50	3310.38	USD	-13,372.00	
07JUL17	S 1 PD06OCT17	2783.00	3310.38	USD	-13,184.50	
07JUL17	S 1 PD06OCT17	2779.00	3310.38	USD	-13,284.50	
07JUL17	S 2 PD06OCT17	2788.50	3310.38	USD	-26,094.00	
07JUL17	S 1 PD06OCT17	2789.00	3310.38	USD	-13,034.50	
07JUL17	S 2 PD06OCT17	2789.50	3310.38	USD	-26,044.00	
07JUL17	S 1 PD06OCT17	2790.00	3310.38	USD	-13,009.50	
07JUL17	S 1 PD06OCT17	2791.00	3310.38	USD	-12,984.50	
07JUL17	S 1 PD06OCT17	2796.00	3310.38	USD	-12,859.50	
07JUL17	S 2 PD06OCT17	2798.50	3310.38	USD	-25,594.00	
07JUL17	S 3 PD06OCT17	2799.00	3310.38	USD	-38,353.50	
07JUL17	S 2 PD06OCT17	2801.00	3310.38	USD	-25,469.00	
07JUL17	S 2 PD06OCT17	2805.00	3310.38	USD	-25,269.00	
07JUL17	S 1 PD06OCT17	2804.00	3310.38	USD	-12,659.50	
07JUL17	B 1 PD06OCT17	2795.00	3310.38	USD	12,884.50	
07JUL17	B 1 PD06OCT17	2787.50	3310.38	USD	13,072.00	
07JUL17	B 2 PD06OCT17	2786.50	3310.38	USD	26,194.00	
07JUL17	B 3 PD06OCT17	2784.00	3310.38	USD	39,478.50	
07JUL17	B 1 PD06OCT17	2783.50	3310.38	USD	13,172.00	
07JUL17	B 2 PD06OCT17	2781.50	3310.38	USD	26,444.00	
07JUL17	B 3 PD06OCT17	2782.00	3310.38	USD	39,628.50	
07JUL17	S 2 PD06OCT17	2782.50	3310.38	USD	-26,394.00	
07JUL17	B 1 PD06OCT17	2777.50	3310.38	USD	13,322.00	
07JUL17	B 3 PD06OCT17	2779.50	3310.38	USD	39,816.00	
07JUL17	S 1 PD06OCT17	2782.00	3310.38	USD	-13,209.50	
07JUL17	B 6 PD06OCT17	2782.50	3310.38	USD	79,182.00	
07JUL17	B 2 PD06OCT17	2780.00	3310.38	USD	26,519.00	
07JUL17	B 1 PD06OCT17	2778.50	3310.38	USD	13,297.00	
07JUL17	B 2 PD06OCT17	2780.50	3310.38	USD	26,494.00	
07JUL17	B 3 PD06OCT17	2776.00	3310.38	USD	40,078.50	
07JUL17	B 1 PD06OCT17	2775.00	3310.38	USD	13,384.50	
07JUL17	S 1 PD06OCT17	2786.00	3310.38	USD	-13,109.50	
07JUL17	S 1 PD06OCT17	2788.00	3310.38	USD	-13,059.50	
07JUL17	B 1 PD06OCT17	2789.00	3310.38	USD	13,034.50	
07JUL17	B 1 PD06OCT17	2788.00	3310.38	USD	13,059.50	
07JUL17	B 1 PD06OCT17	2787.00	3310.38	USD	13,084.50	
07JUL17	B 3 PD06OCT17	2786.00	3310.38	USD	39,328.50	
07JUL17	B 1 PD06OCT17	2785.50	3310.38	USD	13,122.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
07JUL17	B 2 PD06OCT17	2785.00	3310.38	USD	26,269.00	
10JUL17	S 10 PD06OCT17	2780.30	3310.38	USD	-132,520.00	
	NET 0 AVG BGHT 2784.03 SOLD 2782.25				**-4,612.50**	**0.00**
10JUL17	B 1 PD10OCT17	2791.50	3307.25	USD	12,893.75	
10JUL17	B 1 PD10OCT17	2777.50	3307.25	USD	13,243.75	
10JUL17	B 1 PD10OCT17	2792.00	3307.25	USD	12,881.25	
10JUL17	S 1 PD10OCT17	2787.50	3307.25	USD	-12,993.75	
10JUL17	B 2 PD10OCT17	2775.50	3307.25	USD	26,587.50	
10JUL17	B 2 PD10OCT17	2766.50	3307.25	USD	27,037.50	
10JUL17	B 2 PD10OCT17	2776.50	3307.25	USD	26,537.50	
10JUL17	S 1 PD10OCT17	2783.00	3307.25	USD	-13,106.25	
10JUL17	B 1 PD10OCT17	2761.50	3307.25	USD	13,643.75	
10JUL17	B 1 PD10OCT17	2771.00	3307.25	USD	13,406.25	
10JUL17	B 3 PD10OCT17	2758.50	3307.25	USD	41,156.25	
10JUL17	S 1 PD10OCT17	2756.00	3307.25	USD	-13,781.25	
10JUL17	S 3 PD10OCT17	2764.50	3307.25	USD	-40,706.25	
10JUL17	S 2 PD10OCT17	2766.50	3307.25	USD	-27,037.50	
10JUL17	S 2 PD10OCT17	2766.00	3307.25	USD	-27,062.50	
10JUL17	S 1 PD10OCT17	2768.50	3307.25	USD	-13,468.75	
10JUL17	S 1 PD10OCT17	2767.00	3307.25	USD	-13,506.25	
10JUL17	S 2 PD10OCT17	2768.00	3307.25	USD	-26,962.50	
10JUL17	S 2 PD10OCT17	2775.00	3307.25	USD	-26,612.50	
10JUL17	S 3 PD10OCT17	2780.00	3307.25	USD	-39,543.75	
10JUL17	S 1 PD10OCT17	2773.00	3307.25	USD	-13,356.25	
10JUL17	B 1 PD10OCT17	2776.50	3307.25	USD	13,268.75	
10JUL17	B 3 PD10OCT17	2761.00	3307.25	USD	40,968.75	
10JUL17	B 1 PD10OCT17	2768.00	3307.25	USD	13,481.25	
10JUL17	B 1 PD10OCT17	2765.00	3307.25	USD	13,556.25	
10JUL17	B 2 PD10OCT17	2764.50	3307.25	USD	27,137.50	
10JUL17	B 1 PD10OCT17	2759.50	3307.25	USD	13,693.75	
10JUL17	B 1 PD10OCT17	2757.50	3307.25	USD	13,743.75	
10JUL17	B 4 PD10OCT17	2756.50	3307.25	USD	55,075.00	
10JUL17	B 3 PD10OCT17	2757.00	3307.25	USD	41,268.75	
10JUL17	B 5 PD10OCT17	2756.00	3307.25	USD	68,906.25	
10JUL17	B 3 PD10OCT17	2754.00	3307.25	USD	41,493.75	
10JUL17	B 1 PD10OCT17	2753.00	3307.25	USD	13,856.25	
10JUL17	B 1 PD10OCT17	2752.50	3307.25	USD	13,868.75	
10JUL17	B 2 PD10OCT17	2750.50	3307.25	USD	27,837.50	
10JUL17	B 1 PD10OCT17	2752.00	3307.25	USD	13,881.25	
10JUL17	B 3 PD10OCT17	2751.50	3307.25	USD	41,681.25	
10JUL17	B 2 PD10OCT17	2746.50	3307.25	USD	28,037.50	
10JUL17	B 1 PD10OCT17	2747.00	3307.25	USD	14,006.25	
10JUL17	B 1 PD10OCT17	2751.00	3307.25	USD	13,906.25	
10JUL17	B 2 PD10OCT17	2750.00	3307.25	USD	27,862.50	
10JUL17	S 1 PD10OCT17	2760.00	3307.25	USD	-13,681.25	
10JUL17	S 1 PD10OCT17	2759.50	3307.25	USD	-13,693.75	
10JUL17	S 1 PD10OCT17	2758.50	3307.25	USD	-13,718.75	
10JUL17	S 2 PD10OCT17	2761.00	3307.25	USD	-27,312.50	
10JUL17	S 1 PD10OCT17	2761.50	3307.25	USD	-13,643.75	
10JUL17	S 4 PD10OCT17	2758.00	3307.25	USD	-54,925.00	
10JUL17	S 1 PD10OCT17	2769.50	3307.25	USD	-13,443.75	
10JUL17	S 1 PD10OCT17	2771.50	3307.25	USD	-13,393.75	
10JUL17	S 2 PD10OCT17	2770.00	3307.25	USD	-26,862.50	
10JUL17	S 2 PD10OCT17	2770.50	3307.25	USD	-26,837.50	
10JUL17	S 2 PD10OCT17	2772.00	3307.25	USD	-26,762.50	
10JUL17	S 2 PD10OCT17	2772.50	3307.25	USD	-26,737.50	
10JUL17	S 3 PD10OCT17	2773.50	3307.25	USD	-40,031.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
10JUL17	S 4 PD10OCT17	2774.00	3307.25	USD	-53,325.00	
10JUL17	S 1 PD10OCT17	2776.00	3307.25	USD	-13,281.25	
10JUL17	S 1 PD10OCT17	2777.00	3307.25	USD	-13,256.25	
10JUL17	B 1 PD10OCT17	2755.50	3307.25	USD	13,793.75	
10JUL17	S 2 PD10OCT17	2776.50	3307.25	USD	-26,537.50	
10JUL17	S 1 PD10OCT17	2777.50	3307.25	USD	-13,243.75	
10JUL17	S 4 PD10OCT17	2779.00	3307.25	USD	-52,825.00	
10JUL17	S 3 PD10OCT17	2780.50	3307.25	USD	-39,506.25	
10JUL17	S 2 PD10OCT17	2778.00	3307.25	USD	-26,462.50	
10JUL17	B 1 PD10OCT17	2774.50	3307.25	USD	13,318.75	
10JUL17	S 1 PD10OCT17	2775.50	3307.25	USD	-13,293.75	
10JUL17	S 3 PD10OCT17	2774.50	3307.25	USD	-39,956.25	
12JUL17	B 2 PD10OCT17	2832.72	3307.25	USD	23,726.50	
13JUL17	B 1 PD10OCT17	2803.51	3307.25	USD	12,593.50	
13JUL17	B 2 PD10OCT17	2803.31	3307.25	USD	25,197.00	
18JUL17	B 5 PD10OCT17	2792.48	3307.25	USD	64,346.25	
	NET 0 AVG BGHT 2767.01 SOLD 2771.33				**7,025.75**	**0.00**
11JUL17	S 2 PD11OCT17	2767.50	3304.13	USD	-26,831.50	
11JUL17	S 1 PD11OCT17	2768.50	3304.13	USD	-13,390.75	
11JUL17	S 1 PD11OCT17	2769.50	3304.13	USD	-13,365.75	
11JUL17	S 1 PD11OCT17	2770.50	3304.13	USD	-13,340.75	
11JUL17	B 1 PD11OCT17	2784.00	3304.13	USD	13,003.25	
11JUL17	S 1 PD11OCT17	2775.50	3304.13	USD	-13,215.75	
11JUL17	B 3 PD11OCT17	2782.00	3304.13	USD	39,159.75	
11JUL17	B 1 PD11OCT17	2781.50	3304.13	USD	13,065.75	
11JUL17	B 2 PD11OCT17	2781.00	3304.13	USD	26,156.50	
11JUL17	S 1 PD11OCT17	2772.00	3304.13	USD	-13,303.25	
11JUL17	S 1 PD11OCT17	2771.00	3304.13	USD	-13,328.25	
11JUL17	B 3 PD11OCT17	2783.00	3304.13	USD	39,084.75	
11JUL17	B 2 PD11OCT17	2784.50	3304.13	USD	25,981.50	
11JUL17	S 3 PD11OCT17	2768.00	3304.13	USD	-40,209.75	
11JUL17	B 2 PD11OCT17	2777.00	3304.13	USD	26,356.50	
11JUL17	S 1 PD11OCT17	2781.50	3304.13	USD	-13,065.75	
11JUL17	S 1 PD11OCT17	2790.50	3304.13	USD	-12,840.75	
11JUL17	S 3 PD11OCT17	2795.50	3304.13	USD	-38,147.25	
11JUL17	S 1 PD11OCT17	2797.00	3304.13	USD	-12,678.25	
11JUL17	S 1 PD11OCT17	2794.00	3304.13	USD	-12,753.25	
11JUL17	B 1 PD11OCT17	2786.50	3304.13	USD	12,940.75	
11JUL17	S 1 PD11OCT17	2789.00	3304.13	USD	-12,878.25	
11JUL17	S 1 PD11OCT17	2783.50	3304.13	USD	-13,015.75	
11JUL17	B 1 PD11OCT17	2779.50	3304.13	USD	13,115.75	
11JUL17	B 1 PD11OCT17	2778.00	3304.13	USD	13,153.25	
11JUL17	B 3 PD11OCT17	2777.50	3304.13	USD	39,497.25	
11JUL17	B 4 PD11OCT17	2776.00	3304.13	USD	52,813.00	
11JUL17	B 1 PD11OCT17	2775.00	3304.13	USD	13,228.25	
11JUL17	B 4 PD11OCT17	2775.50	3304.13	USD	52,863.00	
11JUL17	S 2 PD11OCT17	2774.00	3304.13	USD	-26,506.50	
11JUL17	B 1 PD11OCT17	2773.50	3304.13	USD	13,265.75	
11JUL17	S 1 PD11OCT17	2770.50	3304.13	USD	-13,340.75	
11JUL17	B 3 PD11OCT17	2774.50	3304.13	USD	39,722.25	
11JUL17	B 4 PD11OCT17	2779.00	3304.13	USD	52,513.00	
11JUL17	B 1 PD11OCT17	2782.50	3304.13	USD	13,040.75	
11JUL17	S 3 PD11OCT17	2792.00	3304.13	USD	-38,409.75	
11JUL17	S 5 PD11OCT17	2793.50	3304.13	USD	-63,828.75	
11JUL17	S 1 PD11OCT17	2794.50	3304.13	USD	-12,740.75	
11JUL17	S 2 PD11OCT17	2796.00	3304.13	USD	-25,406.50	
11JUL17	S 2 PD11OCT17	2796.50	3304.13	USD	-25,381.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
11JUL17	S 1 PD11OCT17	2804.50	3304.13	USD	-12,490.75	
11JUL17	S 3 PD11OCT17	2803.50	3304.13	USD	-37,547.25	
11JUL17	S 3 PD11OCT17	2803.00	3304.13	USD	-37,584.75	
11JUL17	S 1 PD11OCT17	2802.00	3304.13	USD	-12,553.25	
11JUL17	S 2 PD11OCT17	2805.00	3304.13	USD	-24,956.50	
11JUL17	S 4 PD11OCT17	2805.50	3304.13	USD	-49,863.00	
11JUL17	S 2 PD11OCT17	2806.00	3304.13	USD	-24,906.50	
11JUL17	S 3 PD11OCT17	2806.50	3304.13	USD	-37,322.25	
11JUL17	S 2 PD11OCT17	2809.00	3304.13	USD	-24,756.50	
11JUL17	S 1 PD11OCT17	2811.00	3304.13	USD	-12,328.25	
11JUL17	S 1 PD11OCT17	2812.00	3304.13	USD	-12,303.25	
11JUL17	S 2 PD11OCT17	2814.50	3304.13	USD	-24,481.50	
11JUL17	S 2 PD11OCT17	2816.50	3304.13	USD	-24,381.50	
11JUL17	S 1 PD11OCT17	2813.00	3304.13	USD	-12,278.25	
11JUL17	B 1 PD11OCT17	2806.00	3304.13	USD	12,453.25	
11JUL17	B 1 PD11OCT17	2805.00	3304.13	USD	12,478.25	
11JUL17	S 1 PD11OCT17	2813.50	3304.13	USD	-12,265.75	
11JUL17	S 1 PD11OCT17	2817.50	3304.13	USD	-12,165.75	
11JUL17	S 2 PD11OCT17	2824.50	3304.13	USD	-23,981.50	
11JUL17	B 2 PD11OCT17	2820.50	3304.13	USD	24,181.50	
11JUL17	B 1 PD11OCT17	2816.00	3304.13	USD	12,203.25	
11JUL17	B 1 PD11OCT17	2814.00	3304.13	USD	12,253.25	
11JUL17	B 1 PD11OCT17	2814.50	3304.13	USD	12,240.75	
11JUL17	B 1 PD11OCT17	2813.00	3304.13	USD	12,278.25	
11JUL17	B 1 PD11OCT17	2812.00	3304.13	USD	12,303.25	
11JUL17	B 1 PD11OCT17	2810.50	3304.13	USD	12,340.75	
11JUL17	B 1 PD11OCT17	2810.00	3304.13	USD	12,353.25	
11JUL17	B 1 PD11OCT17	2807.00	3304.13	USD	12,428.25	
11JUL17	B 1 PD11OCT17	2804.00	3304.13	USD	12,503.25	
11JUL17	B 2 PD11OCT17	2803.50	3304.13	USD	25,031.50	
11JUL17	B 1 PD11OCT17	2803.00	3304.13	USD	12,528.25	
11JUL17	B 4 PD11OCT17	2805.50	3304.13	USD	49,863.00	
11JUL17	B 1 PD11OCT17	2813.50	3304.13	USD	12,265.75	
11JUL17	S 1 PD11OCT17	2815.50	3304.13	USD	-12,215.75	
11JUL17	B 2 PD11OCT17	2822.50	3304.13	USD	24,081.50	
11JUL17	B 2 PD11OCT17	2822.00	3304.13	USD	24,106.50	
11JUL17	B 3 PD11OCT17	2821.50	3304.13	USD	36,197.25	
11JUL17	B 5 PD11OCT17	2823.00	3304.13	USD	60,141.25	
11JUL17	B 1 PD11OCT17	2824.50	3304.13	USD	11,990.75	
11JUL17	B 2 PD11OCT17	2827.00	3304.13	USD	23,856.50	
11JUL17	S 1 PD11OCT17	2822.50	3304.13	USD	-12,040.75	
11JUL17	S 1 PD11OCT17	2817.50	3304.13	USD	-12,165.75	
11JUL17	S 1 PD11OCT17	2818.00	3304.13	USD	-12,153.25	
11JUL17	B 1 PD11OCT17	2819.50	3304.13	USD	12,115.75	
11JUL17	B 1 PD11OCT17	2820.00	3304.13	USD	12,103.25	
12JUL17	S 6 PD11OCT17	2832.66	3304.13	USD	-70,720.50	
18JUL17	B 2 PD11OCT17	2792.67	3304.13	USD	25,573.00	
	NET 0 AVG BGHT 2797.04 SOLD 2799.80				**5,390.50**	**0.00**
12JUL17	B 1 PD12OCT17	2836.00	3301.00	USD	11,625.00	
12JUL17	B 1 PD12OCT17	2831.50	3301.00	USD	11,737.50	
12JUL17	B 1 PD12OCT17	2830.50	3301.00	USD	11,762.50	
12JUL17	B 1 PD12OCT17	2824.50	3301.00	USD	11,912.50	
12JUL17	S 1 PD12OCT17	2824.50	3301.00	USD	-11,912.50	
12JUL17	S 1 PD12OCT17	2824.00	3301.00	USD	-11,925.00	
12JUL17	S 1 PD12OCT17	2825.50	3301.00	USD	-11,887.50	
12JUL17	S 1 PD12OCT17	2826.50	3301.00	USD	-11,862.50	
12JUL17	S 1 PD12OCT17	2827.00	3301.00	USD	-11,850.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
12JUL17	S 1 PD12OCT17	2828.50	3301.00	USD	-11,812.50	
12JUL17	S 1 PD12OCT17	2829.50	3301.00	USD	-11,787.50	
12JUL17	S 1 PD12OCT17	2829.00	3301.00	USD	-11,800.00	
12JUL17	B 1 PD12OCT17	2822.00	3301.00	USD	11,975.00	
12JUL17	B 1 PD12OCT17	2821.50	3301.00	USD	11,987.50	
12JUL17	B 1 PD12OCT17	2818.00	3301.00	USD	12,075.00	
12JUL17	B 2 PD12OCT17	2819.50	3301.00	USD	24,075.00	
12JUL17	B 2 PD12OCT17	2820.00	3301.00	USD	24,050.00	
12JUL17	B 2 PD12OCT17	2818.50	3301.00	USD	24,125.00	
12JUL17	S 3 PD12OCT17	2831.50	3301.00	USD	-35,212.50	
12JUL17	S 3 PD12OCT17	2830.00	3301.00	USD	-35,325.00	
12JUL17	S 4 PD12OCT17	2830.50	3301.00	USD	-47,050.00	
12JUL17	S 2 PD12OCT17	2831.00	3301.00	USD	-23,500.00	
12JUL17	S 3 PD12OCT17	2828.00	3301.00	USD	-35,475.00	
12JUL17	S 1 PD12OCT17	2833.00	3301.00	USD	-11,700.00	
12JUL17	S 1 PD12OCT17	2835.50	3301.00	USD	-11,637.50	
12JUL17	S 1 PD12OCT17	2836.00	3301.00	USD	-11,625.00	
12JUL17	S 1 PD12OCT17	2837.50	3301.00	USD	-11,587.50	
12JUL17	S 1 PD12OCT17	2838.50	3301.00	USD	-11,562.50	
12JUL17	S 2 PD12OCT17	2839.00	3301.00	USD	-23,100.00	
12JUL17	S 1 PD12OCT17	2839.50	3301.00	USD	-11,537.50	
12JUL17	S 1 PD12OCT17	2841.00	3301.00	USD	-11,500.00	
12JUL17	S 5 PD12OCT17	2840.50	3301.00	USD	-57,562.50	
12JUL17	S 2 PD12OCT17	2842.00	3301.00	USD	-22,950.00	
12JUL17	S 1 PD12OCT17	2844.00	3301.00	USD	-11,425.00	
12JUL17	S 1 PD12OCT17	2846.50	3301.00	USD	-11,362.50	
12JUL17	S 3 PD12OCT17	2847.50	3301.00	USD	-34,012.50	
12JUL17	S 1 PD12OCT17	2848.00	3301.00	USD	-11,325.00	
12JUL17	S 1 PD12OCT17	2849.00	3301.00	USD	-11,300.00	
12JUL17	S 7 PD12OCT17	2859.00	3301.00	USD	-77,350.00	
12JUL17	S 1 PD12OCT17	2858.50	3301.00	USD	-11,062.50	
12JUL17	S 1 PD12OCT17	2857.00	3301.00	USD	-11,100.00	
12JUL17	S 1 PD12OCT17	2856.00	3301.00	USD	-11,125.00	
12JUL17	S 3 PD12OCT17	2859.50	3301.00	USD	-33,112.50	
12JUL17	S 1 PD12OCT17	2861.00	3301.00	USD	-11,000.00	
12JUL17	B 1 PD12OCT17	2859.50	3301.00	USD	11,037.50	
12JUL17	S 1 PD12OCT17	2861.50	3301.00	USD	-10,987.50	
12JUL17	B 1 PD12OCT17	2851.50	3301.00	USD	11,237.50	
12JUL17	S 1 PD12OCT17	2847.00	3301.00	USD	-11,350.00	
12JUL17	B 2 PD12OCT17	2843.00	3301.00	USD	22,900.00	
12JUL17	B 1 PD12OCT17	2839.00	3301.00	USD	11,550.00	
12JUL17	S 1 PD12OCT17	2828.00	3301.00	USD	-11,825.00	
12JUL17	B 1 PD12OCT17	2820.50	3301.00	USD	12,012.50	
12JUL17	S 1 PD12OCT17	2858.00	3301.00	USD	-11,075.00	
12JUL17	S 4 PD12OCT17	2858.00	3301.00	USD	-44,300.00	
12JUL17	B 2 PD12OCT17	2850.00	3301.00	USD	22,550.00	
12JUL17	B 2 PD12OCT17	2848.50	3301.00	USD	22,625.00	
12JUL17	B 2 PD12OCT17	2844.50	3301.00	USD	22,825.00	
12JUL17	S 2 PD12OCT17	2845.50	3301.00	USD	-22,775.00	
12JUL17	B 3 PD12OCT17	2846.50	3301.00	USD	34,087.50	
12JUL17	B 1 PD12OCT17	2849.50	3301.00	USD	11,287.50	
12JUL17	B 3 PD12OCT17	2847.00	3301.00	USD	34,050.00	
12JUL17	B 1 PD12OCT17	2847.50	3301.00	USD	11,337.50	
12JUL17	B 1 PD12OCT17	2845.00	3301.00	USD	11,400.00	
12JUL17	B 2 PD12OCT17	2843.50	3301.00	USD	22,875.00	
12JUL17	B 1 PD12OCT17	2841.00	3301.00	USD	11,500.00	
12JUL17	B 2 PD12OCT17	2840.00	3301.00	USD	23,050.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
12JUL17	B 5 PD12OCT17	2834.00	3301.00	USD	58,375.00	
12JUL17	B 1 PD12OCT17	2832.00	3301.00	USD	11,725.00	
12JUL17	S 1 PD12OCT17	2837.00	3301.00	USD	-11,600.00	
12JUL17	B 2 PD12OCT17	2838.50	3301.00	USD	23,125.00	
12JUL17	B 2 PD12OCT17	2836.50	3301.00	USD	23,225.00	
12JUL17	S 1 PD12OCT17	2838.00	3301.00	USD	-11,575.00	
12JUL17	B 5 PD12OCT17	2837.00	3301.00	USD	58,000.00	
12JUL17	B 2 PD12OCT17	2833.00	3301.00	USD	23,400.00	
12JUL17	B 4 PD12OCT17	2834.50	3301.00	USD	46,650.00	
12JUL17	B 2 PD12OCT17	2833.50	3301.00	USD	23,375.00	
12JUL17	S 6 PD12OCT17	2832.00	3301.00	USD	-70,350.00	
12JUL17	S 6 PD12OCT17	2832.50	3301.00	USD	-70,275.00	
12JUL17	B 1 PD12OCT17	2858.00	3301.00	USD	11,075.00	
12JUL17	S 1 PD12OCT17	2848.00	3301.00	USD	-11,325.00	
12JUL17	B 1 PD12OCT17	2838.00	3301.00	USD	11,575.00	
12JUL17	B 3 PD12OCT17	2832.50	3301.00	USD	35,137.50	
12JUL17	B 2 PD12OCT17	2831.00	3301.00	USD	23,500.00	
12JUL17	B 1 PD12OCT17	2826.50	3301.00	USD	11,862.50	
12JUL17	B 3 PD12OCT17	2823.50	3301.00	USD	35,812.50	
12JUL17	B 1 PD12OCT17	2823.00	3301.00	USD	11,950.00	
12JUL17	S 1 PD12OCT17	2823.50	3301.00	USD	-11,937.50	
12JUL17	S 1 PD12OCT17	2823.00	3301.00	USD	-11,950.00	
12JUL17	B 3 PD12OCT17	2821.00	3301.00	USD	36,000.00	
12JUL17	B 7 PD12OCT17	2820.50	3301.00	USD	84,087.50	
12JUL17	S 1 PD12OCT17	2819.00	3301.00	USD	-12,050.00	
13JUL17	S 2 PD12OCT17	2803.55	3301.00	USD	-24,872.50	
18JUL17	B 6 PD12OCT17	2792.86	3301.00	USD	76,221.00	
	NET 0 AVG BGHT 2831.34 SOLD 2839.41				**18,161.00**	**0.00**
13JUL17	B 1 PD13OCT17	2823.00	3297.88	USD	11,872.00	
13JUL17	S 3 PD13OCT17	2829.00	3297.88	USD	-35,166.00	
13JUL17	S 1 PD13OCT17	2828.00	3297.88	USD	-11,747.00	
13JUL17	S 2 PD13OCT17	2826.00	3297.88	USD	-23,594.00	
13JUL17	S 1 PD13OCT17	2826.50	3297.88	USD	-11,784.50	
13JUL17	S 2 PD13OCT17	2827.00	3297.88	USD	-23,544.00	
13JUL17	S 5 PD13OCT17	2825.50	3297.88	USD	-59,047.50	
13JUL17	B 1 PD13OCT17	2841.00	3297.88	USD	11,422.00	
13JUL17	S 2 PD13OCT17	2839.00	3297.88	USD	-22,944.00	
13JUL17	S 1 PD13OCT17	2840.00	3297.88	USD	-11,447.00	
13JUL17	S 1 PD13OCT17	2842.50	3297.88	USD	-11,384.50	
13JUL17	B 2 PD13OCT17	2829.00	3297.88	USD	23,444.00	
13JUL17	S 1 PD13OCT17	2836.00	3297.88	USD	-11,547.00	
13JUL17	S 3 PD13OCT17	2839.50	3297.88	USD	-34,378.50	
13JUL17	S 1 PD13OCT17	2843.50	3297.88	USD	-11,359.50	
13JUL17	S 1 PD13OCT17	2844.00	3297.88	USD	-11,347.00	
13JUL17	S 2 PD13OCT17	2845.00	3297.88	USD	-22,644.00	
13JUL17	S 1 PD13OCT17	2846.00	3297.88	USD	-11,297.00	
13JUL17	B 1 PD13OCT17	2840.50	3297.88	USD	11,434.50	
13JUL17	B 1 PD13OCT17	2840.00	3297.88	USD	11,447.00	
13JUL17	B 2 PD13OCT17	2839.50	3297.88	USD	22,919.00	
13JUL17	B 1 PD13OCT17	2835.00	3297.88	USD	11,572.00	
13JUL17	B 3 PD13OCT17	2837.00	3297.88	USD	34,566.00	
13JUL17	B 7 PD13OCT17	2837.50	3297.88	USD	80,566.50	
13JUL17	B 4 PD13OCT17	2833.00	3297.88	USD	46,488.00	
13JUL17	B 2 PD13OCT17	2832.50	3297.88	USD	23,269.00	
13JUL17	B 2 PD13OCT17	2831.50	3297.88	USD	23,319.00	
13JUL17	B 1 PD13OCT17	2833.50	3297.88	USD	11,609.50	
13JUL17	B 5 PD13OCT17	2832.00	3297.88	USD	58,235.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
13JUL17	B 2 PD13OCT17	2824.50	3297.88	USD	23,669.00	
13JUL17	B 1 PD13OCT17	2825.50	3297.88	USD	11,809.50	
13JUL17	B 1 PD13OCT17	2826.00	3297.88	USD	11,797.00	
13JUL17	S 1 PD13OCT17	2837.50	3297.88	USD	-11,509.50	
13JUL17	B 1 PD13OCT17	2828.00	3297.88	USD	11,747.00	
13JUL17	B 1 PD13OCT17	2830.50	3297.88	USD	11,684.50	
13JUL17	B 1 PD13OCT17	2828.50	3297.88	USD	11,734.50	
13JUL17	B 2 PD13OCT17	2827.00	3297.88	USD	23,544.00	
13JUL17	B 1 PD13OCT17	2826.50	3297.88	USD	11,784.50	
13JUL17	B 1 PD13OCT17	2827.50	3297.88	USD	11,759.50	
13JUL17	B 2 PD13OCT17	2829.50	3297.88	USD	23,419.00	
13JUL17	S 1 PD13OCT17	2824.00	3297.88	USD	-11,847.00	
13JUL17	S 1 PD13OCT17	2823.50	3297.88	USD	-11,859.50	
13JUL17	S 7 PD13OCT17	2824.50	3297.88	USD	-82,841.50	
13JUL17	S 2 PD13OCT17	2823.00	3297.88	USD	-23,744.00	
13JUL17	B 1 PD13OCT17	2817.50	3297.88	USD	12,009.50	
13JUL17	B 1 PD13OCT17	2815.00	3297.88	USD	12,072.00	
13JUL17	B 4 PD13OCT17	2811.50	3297.88	USD	48,638.00	
13JUL17	B 1 PD13OCT17	2806.50	3297.88	USD	12,284.50	
13JUL17	B 1 PD13OCT17	2805.00	3297.88	USD	12,322.00	
13JUL17	B 1 PD13OCT17	2804.50	3297.88	USD	12,334.50	
13JUL17	B 1 PD13OCT17	2799.00	3297.88	USD	12,472.00	
13JUL17	B 3 PD13OCT17	2803.00	3297.88	USD	37,116.00	
13JUL17	S 1 PD13OCT17	2808.00	3297.88	USD	-12,247.00	
13JUL17	B 1 PD13OCT17	2807.50	3297.88	USD	12,259.50	
13JUL17	B 2 PD13OCT17	2808.00	3297.88	USD	24,494.00	
13JUL17	B 1 PD13OCT17	2811.00	3297.88	USD	12,172.00	
13JUL17	B 4 PD13OCT17	2804.00	3297.88	USD	49,388.00	
13JUL17	B 2 PD13OCT17	2803.50	3297.88	USD	24,719.00	
13JUL17	B 2 PD13OCT17	2800.00	3297.88	USD	24,894.00	
13JUL17	B 2 PD13OCT17	2802.00	3297.88	USD	24,794.00	
13JUL17	B 5 PD13OCT17	2801.50	3297.88	USD	62,047.50	
13JUL17	B 5 PD13OCT17	2800.50	3297.88	USD	62,172.50	
13JUL17	B 1 PD13OCT17	2801.00	3297.88	USD	12,422.00	
13JUL17	S 1 PD13OCT17	2796.50	3297.88	USD	-12,534.50	
13JUL17	S 1 PD13OCT17	2797.50	3297.88	USD	-12,509.50	
13JUL17	S 2 PD13OCT17	2798.00	3297.88	USD	-24,994.00	
14JUL17	B 1 PD13OCT17	2790.00	3297.88	USD	12,697.00	
14JUL17	S 4 PD13OCT17	2787.00	3297.88	USD	-51,088.00	
14JUL17	S 4 PD13OCT17	2784.50	3297.88	USD	-51,338.00	
14JUL17	S 2 PD13OCT17	2793.00	3297.88	USD	-25,244.00	
14JUL17	S 6 PD13OCT17	2794.00	3297.88	USD	-75,582.00	
14JUL17	S 2 PD13OCT17	2790.50	3297.88	USD	-25,369.00	
14JUL17	S 2 PD13OCT17	2792.00	3297.88	USD	-25,294.00	
14JUL17	S 4 PD13OCT17	2794.50	3297.88	USD	-50,338.00	
14JUL17	B 1 PD13OCT17	2793.00	3297.88	USD	12,622.00	
14JUL17	B 1 PD13OCT17	2786.50	3297.88	USD	12,784.50	
14JUL17	B 1 PD13OCT17	2786.00	3297.88	USD	12,797.00	
14JUL17	S 1 PD13OCT17	2782.00	3297.88	USD	-12,897.00	
14JUL17	B 1 PD13OCT17	2775.00	3297.88	USD	13,072.00	
14JUL17	B 1 PD13OCT17	2774.00	3297.88	USD	13,097.00	
14JUL17	B 3 PD13OCT17	2773.00	3297.88	USD	39,366.00	
14JUL17	B 1 PD13OCT17	2768.50	3297.88	USD	13,234.50	
14JUL17	B 7 PD13OCT17	2770.00	3297.88	USD	92,379.00	
14JUL17	B 9 PD13OCT17	2769.00	3297.88	USD	118,998.00	
14JUL17	B 2 PD13OCT17	2770.50	3297.88	USD	26,369.00	
14JUL17	B 5 PD13OCT17	2773.50	3297.88	USD	65,547.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
14JUL17	B	3	PD13OCT17	2771.00	3297.88	USD	39,516.00	
14JUL17	S	1	PD13OCT17	2774.00	3297.88	USD	-13,097.00	
14JUL17	S	1	PD13OCT17	2774.50	3297.88	USD	-13,084.50	
14JUL17	B	1	PD13OCT17	2766.50	3297.88	USD	13,284.50	
14JUL17	B	2	PD13OCT17	2765.50	3297.88	USD	26,619.00	
14JUL17	B	1	PD13OCT17	2759.50	3297.88	USD	13,459.50	
14JUL17	B	1	PD13OCT17	2756.50	3297.88	USD	13,534.50	
14JUL17	B	2	PD13OCT17	2755.00	3297.88	USD	27,144.00	
14JUL17	S	1	PD13OCT17	2771.50	3297.88	USD	-13,159.50	
14JUL17	S	8	PD13OCT17	2772.00	3297.88	USD	-105,176.00	
14JUL17	S	1	PD13OCT17	2767.00	3297.88	USD	-13,272.00	
14JUL17	S	1	PD13OCT17	2787.00	3297.88	USD	-12,772.00	
14JUL17	S	1	PD13OCT17	2772.50	3297.88	USD	-13,134.50	
14JUL17	S	3	PD13OCT17	2771.00	3297.88	USD	-39,516.00	
14JUL17	S	1	PD13OCT17	2776.00	3297.88	USD	-13,047.00	
14JUL17	S	2	PD13OCT17	2772.50	3297.88	USD	-26,269.00	
14JUL17	S	2	PD13OCT17	2773.00	3297.88	USD	-26,244.00	
14JUL17	S	2	PD13OCT17	2770.50	3297.88	USD	-26,369.00	
14JUL17	S	2	PD13OCT17	2781.50	3297.88	USD	-25,819.00	
14JUL17	S	1	PD13OCT17	2783.50	3297.88	USD	-12,859.50	
14JUL17	S	1	PD13OCT17	2788.00	3297.88	USD	-12,747.00	
14JUL17	S	1	PD13OCT17	2787.50	3297.88	USD	-12,759.50	
14JUL17	S	1	PD13OCT17	2796.50	3297.88	USD	-12,534.50	
14JUL17	B	3	PD13OCT17	2787.00	3297.88	USD	38,316.00	
14JUL17	S	1	PD13OCT17	2783.50	3297.88	USD	-12,859.50	
14JUL17	S	1	PD13OCT17	2796.00	3297.88	USD	-12,547.00	
14JUL17	S	2	PD13OCT17	2792.50	3297.88	USD	-25,269.00	
14JUL17	S	1	PD13OCT17	2797.00	3297.88	USD	-12,522.00	
14JUL17	S	2	PD13OCT17	2789.00	3297.88	USD	-25,444.00	
14JUL17	S	1	PD13OCT17	2791.00	3297.88	USD	-12,672.00	
14JUL17	B	1	PD13OCT17	2789.50	3297.88	USD	12,709.50	
14JUL17	S	2	PD13OCT17	2785.50	3297.88	USD	-25,619.00	
14JUL17	S	3	PD13OCT17	2790.00	3297.88	USD	-38,091.00	
14JUL17	B	3	PD13OCT17	2788.00	3297.88	USD	38,241.00	
14JUL17	S	2	PD13OCT17	2789.50	3297.88	USD	-25,419.00	
18JUL17	S	13	PD13OCT17	2792.85	3297.88	USD	-164,134.75	
18JUL17	S	7	PD13OCT17	2793.05	3297.88	USD	-88,345.25	
	NET	**0**	**AVG BGHT 2802.50 SOLD 2799.60**				**-9,740.00**	**0.00**
17JUL17	S	2	PD17OCT17	2828.00	3291.63	USD	-23,181.50	
17JUL17	S	2	PD17OCT17	2825.50	3291.63	USD	-23,306.50	
17JUL17	S	1	PD17OCT17	2829.50	3291.63	USD	-11,553.25	
17JUL17	S	1	PD17OCT17	2826.00	3291.63	USD	-11,640.75	
17JUL17	S	1	PD17OCT17	2827.00	3291.63	USD	-11,615.75	
17JUL17	S	2	PD17OCT17	2826.50	3291.63	USD	-23,256.50	
17JUL17	S	3	PD17OCT17	2831.50	3291.63	USD	-34,509.75	
17JUL17	S	3	PD17OCT17	2830.50	3291.63	USD	-34,584.75	
17JUL17	S	1	PD17OCT17	2833.00	3291.63	USD	-11,465.75	
17JUL17	S	3	PD17OCT17	2832.00	3291.63	USD	-34,472.25	
17JUL17	B	1	PD17OCT17	2827.00	3291.63	USD	11,615.75	
17JUL17	B	3	PD17OCT17	2820.00	3291.63	USD	35,372.25	
17JUL17	B	2	PD17OCT17	2818.50	3291.63	USD	23,656.50	
17JUL17	S	1	PD17OCT17	2817.00	3291.63	USD	-11,865.75	
17JUL17	S	1	PD17OCT17	2819.00	3291.63	USD	-11,815.75	
17JUL17	B	1	PD17OCT17	2817.00	3291.63	USD	11,865.75	
17JUL17	B	3	PD17OCT17	2815.50	3291.63	USD	35,709.75	
17JUL17	B	3	PD17OCT17	2815.00	3291.63	USD	35,747.25	
17JUL17	B	1	PD17OCT17	2824.50	3291.63	USD	11,678.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
17JUL17	S 2 PD17OCT17	2833.50	3291.63	USD	-22,906.50	
17JUL17	S 1 PD17OCT17	2836.50	3291.63	USD	-11,378.25	
17JUL17	S 4 PD17OCT17	2838.50	3291.63	USD	-45,313.00	
17JUL17	S 2 PD17OCT17	2839.00	3291.63	USD	-22,631.50	
17JUL17	S 2 PD17OCT17	2839.50	3291.63	USD	-22,606.50	
17JUL17	S 1 PD17OCT17	2841.50	3291.63	USD	-11,253.25	
17JUL17	S 1 PD17OCT17	2844.50	3291.63	USD	-11,178.25	
17JUL17	S 3 PD17OCT17	2842.00	3291.63	USD	-33,722.25	
17JUL17	S 2 PD17OCT17	2842.50	3291.63	USD	-22,456.50	
17JUL17	S 4 PD17OCT17	2840.00	3291.63	USD	-45,163.00	
17JUL17	S 4 PD17OCT17	2843.00	3291.63	USD	-44,863.00	
17JUL17	S 1 PD17OCT17	2846.00	3291.63	USD	-11,140.75	
17JUL17	S 1 PD17OCT17	2846.50	3291.63	USD	-11,128.25	
17JUL17	S 3 PD17OCT17	2844.00	3291.63	USD	-33,572.25	
17JUL17	S 4 PD17OCT17	2845.00	3291.63	USD	-44,663.00	
17JUL17	S 8 PD17OCT17	2843.50	3291.63	USD	-89,626.00	
17JUL17	S 3 PD17OCT17	2838.00	3291.63	USD	-34,022.25	
17JUL17	S 4 PD17OCT17	2837.00	3291.63	USD	-45,463.00	
17JUL17	B 1 PD17OCT17	2833.50	3291.63	USD	11,453.25	
17JUL17	B 1 PD17OCT17	2832.00	3291.63	USD	11,490.75	
17JUL17	B 1 PD17OCT17	2831.50	3291.63	USD	11,503.25	
17JUL17	B 1 PD17OCT17	2827.50	3291.63	USD	11,603.25	
17JUL17	B 2 PD17OCT17	2826.00	3291.63	USD	23,281.50	
17JUL17	B 1 PD17OCT17	2825.50	3291.63	USD	11,653.25	
17JUL17	B 1 PD17OCT17	2828.00	3291.63	USD	11,590.75	
17JUL17	B 3 PD17OCT17	2823.50	3291.63	USD	35,109.75	
17JUL17	S 1 PD17OCT17	2829.50	3291.63	USD	-11,553.25	
17JUL17	S 1 PD17OCT17	2836.00	3291.63	USD	-11,390.75	
17JUL17	S 1 PD17OCT17	2835.00	3291.63	USD	-11,415.75	
17JUL17	B 2 PD17OCT17	2825.00	3291.63	USD	23,331.50	
17JUL17	B 2 PD17OCT17	2828.50	3291.63	USD	23,156.50	
17JUL17	S 4 PD17OCT17	2830.00	3291.63	USD	-46,163.00	
17JUL17	S 2 PD17OCT17	2831.00	3291.63	USD	-23,031.50	
17JUL17	S 3 PD17OCT17	2832.50	3291.63	USD	-34,434.75	
17JUL17	S 4 PD17OCT17	2835.00	3291.63	USD	-45,663.00	
17JUL17	S 5 PD17OCT17	2835.50	3291.63	USD	-57,016.25	
17JUL17	S 5 PD17OCT17	2836.00	3291.63	USD	-56,953.75	
17JUL17	B 2 PD17OCT17	2833.00	3291.63	USD	22,931.50	
17JUL17	B 1 PD17OCT17	2830.50	3291.63	USD	11,528.25	
17JUL17	B 1 PD17OCT17	2830.00	3291.63	USD	11,540.75	
17JUL17	B 5 PD17OCT17	2832.50	3291.63	USD	57,391.25	
17JUL17	B 3 PD17OCT17	2822.00	3291.63	USD	35,222.25	
17JUL17	B 2 PD17OCT17	2816.00	3291.63	USD	23,781.50	
17JUL17	B 2 PD17OCT17	2814.00	3291.63	USD	23,881.50	
17JUL17	B 5 PD17OCT17	2813.00	3291.63	USD	59,828.75	
17JUL17	B 1 PD17OCT17	2812.00	3291.63	USD	11,990.75	
17JUL17	S 1 PD17OCT17	2814.00	3291.63	USD	-11,940.75	
17JUL17	B 7 PD17OCT17	2818.00	3291.63	USD	82,885.25	
17JUL17	B 4 PD17OCT17	2817.50	3291.63	USD	47,413.00	
17JUL17	B 5 PD17OCT17	2820.50	3291.63	USD	58,891.25	
17JUL17	B 7 PD17OCT17	2819.00	3291.63	USD	82,710.25	
17JUL17	B 5 PD17OCT17	2819.50	3291.63	USD	59,016.25	
17JUL17	B 4 PD17OCT17	2821.00	3291.63	USD	47,063.00	
18JUL17	B 7 PD17OCT17	2794.01	3291.63	USD	87,083.50	
19JUL17	B 6 PD17OCT17	2747.54	3291.63	USD	81,613.50	
24JUL17	B 2 PD17OCT17	2788.86	3291.63	USD	25,138.50	
	NET 0 AVG BGHT 2814.19 SOLD 2836.15				**53,811.75**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
18JUL17	B 1 PD18OCT17	2822.50	3288.50	USD	11,650.00	
18JUL17	B 2 PD18OCT17	2823.50	3288.50	USD	23,250.00	
18JUL17	B 4 PD18OCT17	2822.00	3288.50	USD	46,650.00	
18JUL17	S 1 PD18OCT17	2815.50	3288.50	USD	-11,825.00	
18JUL17	S 1 PD18OCT17	2811.00	3288.50	USD	-11,937.50	
18JUL17	B 1 PD18OCT17	2810.00	3288.50	USD	11,962.50	
18JUL17	B 1 PD18OCT17	2810.50	3288.50	USD	11,950.00	
18JUL17	B 2 PD18OCT17	2811.00	3288.50	USD	23,875.00	
18JUL17	B 2 PD18OCT17	2799.50	3288.50	USD	24,450.00	
18JUL17	B 2 PD18OCT17	2798.50	3288.50	USD	24,500.00	
18JUL17	B 1 PD18OCT17	2798.00	3288.50	USD	12,262.50	
18JUL17	B 2 PD18OCT17	2801.50	3288.50	USD	24,350.00	
18JUL17	B 5 PD18OCT17	2795.50	3288.50	USD	61,625.00	
18JUL17	B 2 PD18OCT17	2791.00	3288.50	USD	24,875.00	
18JUL17	B 4 PD18OCT17	2792.00	3288.50	USD	49,650.00	
18JUL17	B 4 PD18OCT17	2791.50	3288.50	USD	49,700.00	
18JUL17	B 1 PD18OCT17	2794.00	3288.50	USD	12,362.50	
18JUL17	B 3 PD18OCT17	2795.00	3288.50	USD	37,012.50	
18JUL17	B 2 PD18OCT17	2792.50	3288.50	USD	24,800.00	
18JUL17	S 1 PD18OCT17	2804.00	3288.50	USD	-12,112.50	
18JUL17	B 1 PD18OCT17	2800.50	3288.50	USD	12,200.00	
18JUL17	B 5 PD18OCT17	2800.00	3288.50	USD	61,062.50	
18JUL17	B 2 PD18OCT17	2797.00	3288.50	USD	24,575.00	
18JUL17	B 1 PD18OCT17	2793.00	3288.50	USD	12,387.50	
18JUL17	B 1 PD18OCT17	2785.50	3288.50	USD	12,575.00	
18JUL17	B 1 PD18OCT17	2785.00	3288.50	USD	12,587.50	
18JUL17	B 1 PD18OCT17	2779.50	3288.50	USD	12,725.00	
18JUL17	B 1 PD18OCT17	2778.50	3288.50	USD	12,750.00	
18JUL17	B 2 PD18OCT17	2779.00	3288.50	USD	25,475.00	
18JUL17	B 1 PD18OCT17	2778.00	3288.50	USD	12,762.50	
18JUL17	B 4 PD18OCT17	2777.50	3288.50	USD	51,100.00	
18JUL17	B 1 PD18OCT17	2809.00	3288.50	USD	11,987.50	
18JUL17	B 1 PD18OCT17	2798.00	3288.50	USD	12,262.50	
18JUL17	B 4 PD18OCT17	2793.50	3288.50	USD	49,500.00	
18JUL17	B 1 PD18OCT17	2777.50	3288.50	USD	12,775.00	
18JUL17	S 4 PD18OCT17	2783.50	3288.50	USD	-50,500.00	
18JUL17	S 2 PD18OCT17	2782.50	3288.50	USD	-25,300.00	
18JUL17	S 1 PD18OCT17	2783.00	3288.50	USD	-12,637.50	
18JUL17	S 1 PD18OCT17	2781.00	3288.50	USD	-12,687.50	
18JUL17	S 1 PD18OCT17	2780.50	3288.50	USD	-12,700.00	
18JUL17	S 2 PD18OCT17	2782.00	3288.50	USD	-25,325.00	
18JUL17	S 3 PD18OCT17	2788.00	3288.50	USD	-37,537.50	
18JUL17	S 2 PD18OCT17	2788.50	3288.50	USD	-25,000.00	
18JUL17	S 4 PD18OCT17	2787.00	3288.50	USD	-50,150.00	
18JUL17	S 2 PD18OCT17	2792.00	3288.50	USD	-24,825.00	
18JUL17	S 6 PD18OCT17	2785.50	3288.50	USD	-75,450.00	
18JUL17	S 6 PD18OCT17	2786.00	3288.50	USD	-75,375.00	
18JUL17	S 3 PD18OCT17	2784.50	3288.50	USD	-37,800.00	
18JUL17	S 3 PD18OCT17	2784.00	3288.50	USD	-37,837.50	
18JUL17	S 1 PD18OCT17	2782.00	3288.50	USD	-12,662.50	
18JUL17	S 1 PD18OCT17	2784.00	3288.50	USD	-12,612.50	
18JUL17	S 2 PD18OCT17	2781.50	3288.50	USD	-25,350.00	
18JUL17	S 1 PD18OCT17	2786.00	3288.50	USD	-12,562.50	
18JUL17	S 1 PD18OCT17	2793.50	3288.50	USD	-12,375.00	
18JUL17	S 4 PD18OCT17	2786.50	3288.50	USD	-50,200.00	
18JUL17	S 2 PD18OCT17	2787.50	3288.50	USD	-25,050.00	
18JUL17	S 2 PD18OCT17	2790.50	3288.50	USD	-24,900.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
18JUL17	S 3 PD18OCT17	2791.50	3288.50	USD	-37,275.00	
18JUL17	S 2 PD18OCT17	2793.50	3288.50	USD	-24,750.00	
18JUL17	S 1 PD18OCT17	2795.50	3288.50	USD	-12,325.00	
18JUL17	S 1 PD18OCT17	2795.00	3288.50	USD	-12,337.50	
19JUL17	S 6 PD18OCT17	2747.55	3288.50	USD	-81,142.50	
24JUL17	B 4 PD18OCT17	2789.00	3288.50	USD	49,950.00	
	NET 0 AVG BGHT 2796.16 SOLD 2784.19				**-20,942.50**	**0.00**
19JUL17	S 2 PD19OCT17	2779.00	3285.50	USD	-25,325.00	
19JUL17	S 1 PD19OCT17	2778.00	3285.50	USD	-12,687.50	
19JUL17	S 1 PD19OCT17	2791.50	3285.50	USD	-12,350.00	
19JUL17	S 1 PD19OCT17	2783.00	3285.50	USD	-12,562.50	
19JUL17	S 5 PD19OCT17	2782.50	3285.50	USD	-62,875.00	
19JUL17	S 4 PD19OCT17	2785.50	3285.50	USD	-50,000.00	
19JUL17	S 1 PD19OCT17	2786.00	3285.50	USD	-12,487.50	
19JUL17	S 2 PD19OCT17	2788.00	3285.50	USD	-24,875.00	
19JUL17	S 3 PD19OCT17	2789.50	3285.50	USD	-37,200.00	
19JUL17	S 1 PD19OCT17	2794.00	3285.50	USD	-12,287.50	
19JUL17	B 1 PD19OCT17	2796.50	3285.50	USD	12,225.00	
19JUL17	S 2 PD19OCT17	2796.50	3285.50	USD	-24,450.00	
19JUL17	S 3 PD19OCT17	2796.00	3285.50	USD	-36,712.50	
19JUL17	S 1 PD19OCT17	2797.00	3285.50	USD	-12,212.50	
19JUL17	S 1 PD19OCT17	2797.50	3285.50	USD	-12,200.00	
19JUL17	B 1 PD19OCT17	2798.00	3285.50	USD	12,187.50	
19JUL17	S 3 PD19OCT17	2786.50	3285.50	USD	-37,425.00	
19JUL17	B 3 PD19OCT17	2781.50	3285.50	USD	37,800.00	
19JUL17	B 3 PD19OCT17	2778.50	3285.50	USD	38,025.00	
19JUL17	B 1 PD19OCT17	2777.50	3285.50	USD	12,700.00	
19JUL17	B 1 PD19OCT17	2782.00	3285.50	USD	12,587.50	
19JUL17	B 4 PD19OCT17	2779.00	3285.50	USD	50,650.00	
19JUL17	B 5 PD19OCT17	2780.50	3285.50	USD	63,125.00	
19JUL17	B 5 PD19OCT17	2780.00	3285.50	USD	63,187.50	
19JUL17	B 1 PD19OCT17	2778.00	3285.50	USD	12,687.50	
19JUL17	B 2 PD19OCT17	2776.00	3285.50	USD	25,475.00	
19JUL17	B 5 PD19OCT17	2773.00	3285.50	USD	64,062.50	
19JUL17	B 6 PD19OCT17	2772.50	3285.50	USD	76,950.00	
19JUL17	B 3 PD19OCT17	2772.00	3285.50	USD	38,512.50	
19JUL17	B 1 PD19OCT17	2770.50	3285.50	USD	12,875.00	
19JUL17	B 3 PD19OCT17	2771.50	3285.50	USD	38,550.00	
19JUL17	B 1 PD19OCT17	2781.00	3285.50	USD	12,612.50	
19JUL17	S 3 PD19OCT17	2775.00	3285.50	USD	-38,287.50	
19JUL17	S 1 PD19OCT17	2777.00	3285.50	USD	-12,712.50	
19JUL17	S 1 PD19OCT17	2777.50	3285.50	USD	-12,700.00	
19JUL17	S 1 PD19OCT17	2776.50	3285.50	USD	-12,725.00	
19JUL17	B 2 PD19OCT17	2773.50	3285.50	USD	25,600.00	
19JUL17	B 1 PD19OCT17	2768.50	3285.50	USD	12,925.00	
19JUL17	B 1 PD19OCT17	2765.00	3285.50	USD	13,012.50	
19JUL17	B 2 PD19OCT17	2767.00	3285.50	USD	25,925.00	
19JUL17	S 1 PD19OCT17	2773.50	3285.50	USD	-12,800.00	
19JUL17	B 1 PD19OCT17	2755.00	3285.50	USD	13,262.50	
19JUL17	B 2 PD19OCT17	2753.50	3285.50	USD	26,600.00	
19JUL17	B 1 PD19OCT17	2751.50	3285.50	USD	13,350.00	
19JUL17	S 1 PD19OCT17	2756.00	3285.50	USD	-13,237.50	
19JUL17	S 1 PD19OCT17	2757.50	3285.50	USD	-13,200.00	
19JUL17	S 3 PD19OCT17	2759.50	3285.50	USD	-39,450.00	
19JUL17	S 2 PD19OCT17	2760.00	3285.50	USD	-26,275.00	
19JUL17	S 2 PD19OCT17	2761.00	3285.50	USD	-26,225.00	
19JUL17	S 2 PD19OCT17	2760.50	3285.50	USD	-26,250.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
19JUL17	S 1 PD19OCT17	2762.00	3285.50	USD	-13,087.50	
19JUL17	S 1 PD19OCT17	2763.00	3285.50	USD	-13,062.50	
19JUL17	S 1 PD19OCT17	2765.00	3285.50	USD	-13,012.50	
19JUL17	S 1 PD19OCT17	2750.00	3285.50	USD	-13,387.50	
19JUL17	B 1 PD19OCT17	2746.00	3285.50	USD	13,487.50	
19JUL17	B 1 PD19OCT17	2767.00	3285.50	USD	12,962.50	
19JUL17	S 1 PD19OCT17	2757.00	3285.50	USD	-13,212.50	
19JUL17	B 1 PD19OCT17	2766.00	3285.50	USD	12,987.50	
19JUL17	B 4 PD19OCT17	2774.00	3285.50	USD	51,150.00	
19JUL17	S 2 PD19OCT17	2740.50	3285.50	USD	-27,250.00	
19JUL17	B 1 PD19OCT17	2737.00	3285.50	USD	13,712.50	
19JUL17	B 1 PD19OCT17	2737.50	3285.50	USD	13,700.00	
19JUL17	B 2 PD19OCT17	2739.00	3285.50	USD	27,325.00	
19JUL17	S 1 PD19OCT17	2737.00	3285.50	USD	-13,712.50	
19JUL17	S 3 PD19OCT17	2736.00	3285.50	USD	-41,212.50	
19JUL17	S 2 PD19OCT17	2735.50	3285.50	USD	-27,500.00	
19JUL17	S 2 PD19OCT17	2741.00	3285.50	USD	-27,225.00	
19JUL17	B 1 PD19OCT17	2737.50	3285.50	USD	13,700.00	
24JUL17	S 4 PD19OCT17	2787.30	3285.50	USD	-49,820.00	
	NET 0 AVG BGHT 2771.43 SOLD 2772.56				**1,917.50**	**0.00**
20JUL17	B 1 PD20OCT17	2732.50	3282.50	USD	13,750.00	
20JUL17	B 1 PD20OCT17	2737.50	3282.50	USD	13,625.00	
20JUL17	B 2 PD20OCT17	2736.50	3282.50	USD	27,300.00	
20JUL17	B 1 PD20OCT17	2734.00	3282.50	USD	13,712.50	
20JUL17	B 4 PD20OCT17	2733.50	3282.50	USD	54,900.00	
20JUL17	S 2 PD20OCT17	2736.50	3282.50	USD	-27,300.00	
20JUL17	S 1 PD20OCT17	2739.50	3282.50	USD	-13,575.00	
20JUL17	S 1 PD20OCT17	2743.00	3282.50	USD	-13,487.50	
20JUL17	S 1 PD20OCT17	2743.50	3282.50	USD	-13,475.00	
20JUL17	S 1 PD20OCT17	2745.00	3282.50	USD	-13,437.50	
20JUL17	S 2 PD20OCT17	2745.50	3282.50	USD	-26,850.00	
20JUL17	S 1 PD20OCT17	2746.50	3282.50	USD	-13,400.00	
20JUL17	S 2 PD20OCT17	2747.00	3282.50	USD	-26,775.00	
20JUL17	S 1 PD20OCT17	2751.00	3282.50	USD	-13,287.50	
20JUL17	S 1 PD20OCT17	2752.50	3282.50	USD	-13,250.00	
20JUL17	S 1 PD20OCT17	2753.00	3282.50	USD	-13,237.50	
20JUL17	S 3 PD20OCT17	2755.00	3282.50	USD	-39,562.50	
20JUL17	S 1 PD20OCT17	2754.50	3282.50	USD	-13,200.00	
20JUL17	S 2 PD20OCT17	2752.00	3282.50	USD	-26,525.00	
20JUL17	S 3 PD20OCT17	2751.50	3282.50	USD	-39,825.00	
20JUL17	S 2 PD20OCT17	2747.50	3282.50	USD	-26,750.00	
20JUL17	S 1 PD20OCT17	2749.00	3282.50	USD	-13,337.50	
20JUL17	B 1 PD20OCT17	2747.50	3282.50	USD	13,375.00	
20JUL17	S 1 PD20OCT17	2749.50	3282.50	USD	-13,325.00	
20JUL17	B 1 PD20OCT17	2738.50	3282.50	USD	13,600.00	
20JUL17	S 1 PD20OCT17	2741.00	3282.50	USD	-13,537.50	
20JUL17	B 2 PD20OCT17	2746.00	3282.50	USD	26,825.00	
20JUL17	B 2 PD20OCT17	2746.50	3282.50	USD	26,800.00	
20JUL17	B 2 PD20OCT17	2740.00	3282.50	USD	27,125.00	
20JUL17	B 4 PD20OCT17	2741.00	3282.50	USD	54,150.00	
20JUL17	B 1 PD20OCT17	2742.00	3282.50	USD	13,512.50	
20JUL17	B 5 PD20OCT17	2742.50	3282.50	USD	67,500.00	
20JUL17	B 2 PD20OCT17	2743.50	3282.50	USD	26,950.00	
20JUL17	B 3 PD20OCT17	2744.00	3282.50	USD	40,387.50	
20JUL17	B 5 PD20OCT17	2743.00	3282.50	USD	67,437.50	
20JUL17	S 1 PD20OCT17	2742.00	3282.50	USD	-13,512.50	
20JUL17	S 1 PD20OCT17	2737.50	3282.50	USD	-13,625.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
20JUL17	S 2 PD20OCT17	2727.00	3282.50	USD	-27,775.00	
20JUL17	B 1 PD20OCT17	2720.50	3282.50	USD	14,050.00	
20JUL17	S 1 PD20OCT17	2720.00	3282.50	USD	-14,062.50	
20JUL17	B 1 PD20OCT17	2721.00	3282.50	USD	14,037.50	
20JUL17	S 1 PD20OCT17	2725.00	3282.50	USD	-13,937.50	
20JUL17	S 2 PD20OCT17	2726.50	3282.50	USD	-27,800.00	
20JUL17	B 2 PD20OCT17	2729.00	3282.50	USD	27,675.00	
20JUL17	B 5 PD20OCT17	2727.00	3282.50	USD	69,437.50	
20JUL17	B 5 PD20OCT17	2731.00	3282.50	USD	68,937.50	
20JUL17	B 3 PD20OCT17	2728.00	3282.50	USD	41,587.50	
20JUL17	B 4 PD20OCT17	2728.50	3282.50	USD	55,400.00	
20JUL17	B 1 PD20OCT17	2727.00	3282.50	USD	13,887.50	
20JUL17	B 1 PD20OCT17	2729.00	3282.50	USD	13,837.50	
21JUL17	B 1 PD20OCT17	2744.00	3282.50	USD	13,462.50	
21JUL17	B 1 PD20OCT17	2743.50	3282.50	USD	13,475.00	
21JUL17	S 3 PD20OCT17	2749.00	3282.50	USD	-40,012.50	
21JUL17	S 2 PD20OCT17	2751.00	3282.50	USD	-26,575.00	
21JUL17	B 1 PD20OCT17	2744.50	3282.50	USD	13,450.00	
21JUL17	B 2 PD20OCT17	2741.50	3282.50	USD	27,050.00	
21JUL17	S 3 PD20OCT17	2748.50	3282.50	USD	-40,050.00	
21JUL17	S 5 PD20OCT17	2749.50	3282.50	USD	-66,625.00	
21JUL17	S 3 PD20OCT17	2758.00	3282.50	USD	-39,337.50	
21JUL17	S 1 PD20OCT17	2759.50	3282.50	USD	-13,075.00	
21JUL17	S 1 PD20OCT17	2762.50	3282.50	USD	-13,000.00	
21JUL17	S 3 PD20OCT17	2764.50	3282.50	USD	-38,850.00	
21JUL17	S 1 PD20OCT17	2766.50	3282.50	USD	-12,900.00	
21JUL17	S 1 PD20OCT17	2765.00	3282.50	USD	-12,937.50	
21JUL17	B 1 PD20OCT17	2759.00	3282.50	USD	13,087.50	
21JUL17	B 2 PD20OCT17	2757.50	3282.50	USD	26,250.00	
21JUL17	B 2 PD20OCT17	2757.00	3282.50	USD	26,275.00	
21JUL17	B 3 PD20OCT17	2756.50	3282.50	USD	39,450.00	
21JUL17	B 2 PD20OCT17	2754.00	3282.50	USD	26,425.00	
21JUL17	S 4 PD20OCT17	2761.00	3282.50	USD	-52,150.00	
21JUL17	B 1 PD20OCT17	2760.00	3282.50	USD	13,062.50	
21JUL17	B 1 PD20OCT17	2748.00	3282.50	USD	13,362.50	
21JUL17	S 2 PD20OCT17	2759.50	3282.50	USD	-26,150.00	
21JUL17	S 1 PD20OCT17	2760.00	3282.50	USD	-13,062.50	
21JUL17	S 1 PD20OCT17	2760.50	3282.50	USD	-13,050.00	
21JUL17	S 2 PD20OCT17	2770.00	3282.50	USD	-25,625.00	
21JUL17	B 1 PD20OCT17	2767.50	3282.50	USD	12,875.00	
21JUL17	B 1 PD20OCT17	2766.50	3282.50	USD	12,900.00	
21JUL17	S 1 PD20OCT17	2766.00	3282.50	USD	-12,912.50	
21JUL17	S 1 PD20OCT17	2768.50	3282.50	USD	-12,850.00	
21JUL17	S 1 PD20OCT17	2772.50	3282.50	USD	-12,750.00	
21JUL17	S 1 PD20OCT17	2773.00	3282.50	USD	-12,737.50	
21JUL17	S 1 PD20OCT17	2773.50	3282.50	USD	-12,725.00	
21JUL17	S 1 PD20OCT17	2774.00	3282.50	USD	-12,712.50	
21JUL17	B 1 PD20OCT17	2774.00	3282.50	USD	12,712.50	
21JUL17	B 1 PD20OCT17	2773.50	3282.50	USD	12,725.00	
21JUL17	B 2 PD20OCT17	2773.00	3282.50	USD	25,475.00	
21JUL17	S 3 PD20OCT17	2771.00	3282.50	USD	-38,362.50	
21JUL17	S 2 PD20OCT17	2767.50	3282.50	USD	-25,750.00	
21JUL17	B 1 PD20OCT17	2763.50	3282.50	USD	12,975.00	
21JUL17	S 1 PD20OCT17	2767.00	3282.50	USD	-12,887.50	
21JUL17	B 1 PD20OCT17	2764.50	3282.50	USD	12,950.00	
21JUL17	B 3 PD20OCT17	2762.00	3282.50	USD	39,037.50	
21JUL17	B 1 PD20OCT17	2759.50	3282.50	USD	13,075.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
21JUL17	B 2 PD20OCT17	2755.00	3282.50	USD	26,375.00	
21JUL17	B 1 PD20OCT17	2771.50	3282.50	USD	12,775.00	
21JUL17	B 1 PD20OCT17	2766.50	3282.50	USD	12,900.00	
21JUL17	B 2 PD20OCT17	2752.50	3282.50	USD	26,500.00	
21JUL17	B 1 PD20OCT17	2750.00	3282.50	USD	13,312.50	
21JUL17	B 3 PD20OCT17	2748.00	3282.50	USD	40,087.50	
21JUL17	B 1 PD20OCT17	2747.00	3282.50	USD	13,387.50	
21JUL17	B 2 PD20OCT17	2746.50	3282.50	USD	26,800.00	
21JUL17	B 1 PD20OCT17	2745.50	3282.50	USD	13,425.00	
21JUL17	S 1 PD20OCT17	2748.00	3282.50	USD	-13,362.50	
21JUL17	S 1 PD20OCT17	2754.00	3282.50	USD	-13,212.50	
21JUL17	S 1 PD20OCT17	2755.50	3282.50	USD	-13,175.00	
21JUL17	S 2 PD20OCT17	2753.00	3282.50	USD	-26,475.00	
24JUL17	S 2 PD20OCT17	2787.40	3282.50	USD	-24,755.00	
25JUL17	S 7 PD20OCT17	2823.00	3282.50	USD	-80,412.50	
26JUL17	S 7 PD20OCT17	2807.14	3282.50	USD	-83,188.00	
01AUG17	S 1 PD20OCT17	2776.25	3282.50	USD	-12,656.25	
	NET 0 AVG BGHT 2744.47 SOLD 2762.43				**46,263.25**	**0.00**
24JUL17	S 2 PD24OCT17	2777.50	3280.50	USD	-25,150.00	
24JUL17	B 1 PD24OCT17	2780.00	3280.50	USD	12,512.50	
24JUL17	S 2 PD24OCT17	2778.50	3280.50	USD	-25,100.00	
24JUL17	S 1 PD24OCT17	2780.00	3280.50	USD	-12,512.50	
24JUL17	B 1 PD24OCT17	2778.50	3280.50	USD	12,550.00	
24JUL17	B 1 PD24OCT17	2776.00	3280.50	USD	12,612.50	
24JUL17	B 3 PD24OCT17	2773.50	3280.50	USD	38,025.00	
24JUL17	B 2 PD24OCT17	2775.00	3280.50	USD	25,275.00	
24JUL17	B 1 PD24OCT17	2774.50	3280.50	USD	12,650.00	
24JUL17	B 1 PD24OCT17	2777.00	3280.50	USD	12,587.50	
24JUL17	S 1 PD24OCT17	2779.50	3280.50	USD	-12,525.00	
24JUL17	S 2 PD24OCT17	2785.50	3280.50	USD	-24,750.00	
24JUL17	S 1 PD24OCT17	2794.00	3280.50	USD	-12,162.50	
24JUL17	S 2 PD24OCT17	2795.50	3280.50	USD	-24,250.00	
24JUL17	B 1 PD24OCT17	2787.50	3280.50	USD	12,325.00	
24JUL17	B 1 PD24OCT17	2782.50	3280.50	USD	12,450.00	
24JUL17	B 1 PD24OCT17	2783.00	3280.50	USD	12,437.50	
24JUL17	B 1 PD24OCT17	2779.50	3280.50	USD	12,525.00	
24JUL17	S 1 PD24OCT17	2777.50	3280.50	USD	-12,575.00	
24JUL17	B 1 PD24OCT17	2787.50	3280.50	USD	12,325.00	
24JUL17	B 1 PD24OCT17	2777.00	3280.50	USD	12,587.50	
24JUL17	B 1 PD24OCT17	2772.50	3280.50	USD	12,700.00	
24JUL17	S 1 PD24OCT17	2775.50	3280.50	USD	-12,625.00	
24JUL17	B 1 PD24OCT17	2775.50	3280.50	USD	12,625.00	
24JUL17	B 2 PD24OCT17	2775.00	3280.50	USD	25,275.00	
24JUL17	S 1 PD24OCT17	2781.00	3280.50	USD	-12,487.50	
24JUL17	S 1 PD24OCT17	2781.50	3280.50	USD	-12,475.00	
24JUL17	S 1 PD24OCT17	2784.00	3280.50	USD	-12,412.50	
24JUL17	S 2 PD24OCT17	2787.00	3280.50	USD	-24,675.00	
24JUL17	S 1 PD24OCT17	2787.50	3280.50	USD	-12,325.00	
25JUL17	B 7 PD24OCT17	2823.90	3280.50	USD	79,905.00	
26JUL17	S 3 PD24OCT17	2807.71	3280.50	USD	-35,459.25	
01AUG17	S 5 PD24OCT17	2777.75	3280.50	USD	-62,843.75	
	NET 0 AVG BGHT 2789.59 SOLD 2785.20				**-2,960.50**	**0.00**
25JUL17	S 2 PD25OCT17	2813.00	3279.50	USD	-23,325.00	
25JUL17	S 1 PD25OCT17	2812.00	3279.50	USD	-11,687.50	
25JUL17	B 1 PD25OCT17	2810.00	3279.50	USD	11,737.50	
25JUL17	S 1 PD25OCT17	2816.00	3279.50	USD	-11,587.50	
25JUL17	S 1 PD25OCT17	2817.50	3279.50	USD	-11,550.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
25JUL17	S 1 PD25OCT17	2818.50	3279.50	USD	-11,525.00	
25JUL17	S 2 PD25OCT17	2820.00	3279.50	USD	-22,975.00	
25JUL17	S 2 PD25OCT17	2821.00	3279.50	USD	-22,925.00	
25JUL17	S 3 PD25OCT17	2821.50	3279.50	USD	-34,350.00	
25JUL17	S 2 PD25OCT17	2822.00	3279.50	USD	-22,875.00	
25JUL17	B 3 PD25OCT17	2817.00	3279.50	USD	34,687.50	
25JUL17	B 3 PD25OCT17	2815.50	3279.50	USD	34,800.00	
25JUL17	S 1 PD25OCT17	2822.50	3279.50	USD	-11,425.00	
25JUL17	S 3 PD25OCT17	2823.50	3279.50	USD	-34,200.00	
25JUL17	B 1 PD25OCT17	2816.50	3279.50	USD	11,575.00	
25JUL17	B 2 PD25OCT17	2816.00	3279.50	USD	23,175.00	
25JUL17	B 1 PD25OCT17	2814.00	3279.50	USD	11,637.50	
25JUL17	B 4 PD25OCT17	2815.00	3279.50	USD	46,450.00	
25JUL17	B 2 PD25OCT17	2814.50	3279.50	USD	23,250.00	
25JUL17	S 2 PD25OCT17	2820.50	3279.50	USD	-22,950.00	
25JUL17	S 3 PD25OCT17	2823.00	3279.50	USD	-34,237.50	
25JUL17	S 1 PD25OCT17	2824.00	3279.50	USD	-11,387.50	
25JUL17	S 3 PD25OCT17	2824.50	3279.50	USD	-34,125.00	
25JUL17	S 1 PD25OCT17	2825.50	3279.50	USD	-11,350.00	
25JUL17	S 2 PD25OCT17	2826.00	3279.50	USD	-22,675.00	
25JUL17	S 1 PD25OCT17	2828.50	3279.50	USD	-11,275.00	
25JUL17	S 1 PD25OCT17	2829.50	3279.50	USD	-11,250.00	
25JUL17	S 2 PD25OCT17	2827.00	3279.50	USD	-22,625.00	
25JUL17	S 1 PD25OCT17	2831.50	3279.50	USD	-11,200.00	
25JUL17	S 1 PD25OCT17	2838.50	3279.50	USD	-11,025.00	
25JUL17	B 1 PD25OCT17	2830.00	3279.50	USD	11,237.50	
25JUL17	B 3 PD25OCT17	2826.00	3279.50	USD	34,012.50	
25JUL17	B 1 PD25OCT17	2825.50	3279.50	USD	11,350.00	
25JUL17	B 2 PD25OCT17	2826.50	3279.50	USD	22,650.00	
25JUL17	B 4 PD25OCT17	2827.00	3279.50	USD	45,250.00	
25JUL17	B 2 PD25OCT17	2828.00	3279.50	USD	22,575.00	
25JUL17	S 1 PD25OCT17	2812.50	3279.50	USD	-11,675.00	
25JUL17	B 1 PD25OCT17	2828.50	3279.50	USD	11,275.00	
25JUL17	B 1 PD25OCT17	2818.00	3279.50	USD	11,537.50	
25JUL17	S 1 PD25OCT17	2832.50	3279.50	USD	-11,175.00	
25JUL17	S 5 PD25OCT17	2834.00	3279.50	USD	-55,687.50	
25JUL17	S 2 PD25OCT17	2838.00	3279.50	USD	-22,075.00	
25JUL17	S 2 PD25OCT17	2847.50	3279.50	USD	-21,600.00	
25JUL17	S 1 PD25OCT17	2852.50	3279.50	USD	-10,675.00	
25JUL17	S 1 PD25OCT17	2856.00	3279.50	USD	-10,587.50	
25JUL17	B 1 PD25OCT17	2844.00	3279.50	USD	10,887.50	
25JUL17	B 1 PD25OCT17	2844.50	3279.50	USD	10,875.00	
25JUL17	B 2 PD25OCT17	2839.50	3279.50	USD	22,000.00	
25JUL17	B 1 PD25OCT17	2837.00	3279.50	USD	11,062.50	
25JUL17	B 1 PD25OCT17	2821.00	3279.50	USD	11,462.50	
25JUL17	B 1 PD25OCT17	2845.00	3279.50	USD	10,862.50	
25JUL17	B 2 PD25OCT17	2843.50	3279.50	USD	21,800.00	
25JUL17	B 3 PD25OCT17	2840.00	3279.50	USD	32,962.50	
25JUL17	B 1 PD25OCT17	2842.00	3279.50	USD	10,937.50	
25JUL17	S 2 PD25OCT17	2846.50	3279.50	USD	-21,650.00	
25JUL17	S 1 PD25OCT17	2845.00	3279.50	USD	-10,862.50	
26JUL17	B 10 PD25OCT17	2808.06	3279.50	USD	117,860.00	
01AUG17	S 2 PD25OCT17	2778.03	3279.50	USD	-25,073.50	
	NET 0 AVG BGHT 2822.84 SOLD 2825.98				**4,324.00**	**0.00**
26JUL17	B 1 PD26OCT17	2848.50	3278.50	USD	10,750.00	
26JUL17	B 2 PD26OCT17	2846.50	3278.50	USD	21,600.00	
26JUL17	B 2 PD26OCT17	2845.00	3278.50	USD	21,675.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
26JUL17	B 1 PD26OCT17	2843.50	3278.50	USD	10,875.00	
26JUL17	B 1 PD26OCT17	2844.50	3278.50	USD	10,850.00	
26JUL17	B 2 PD26OCT17	2848.00	3278.50	USD	21,525.00	
26JUL17	B 1 PD26OCT17	2847.50	3278.50	USD	10,775.00	
26JUL17	B 1 PD26OCT17	2852.50	3278.50	USD	10,650.00	
26JUL17	B 3 PD26OCT17	2851.00	3278.50	USD	32,062.50	
26JUL17	B 1 PD26OCT17	2850.50	3278.50	USD	10,700.00	
26JUL17	B 1 PD26OCT17	2850.00	3278.50	USD	10,712.50	
26JUL17	B 1 PD26OCT17	2852.00	3278.50	USD	10,662.50	
26JUL17	B 5 PD26OCT17	2851.50	3278.50	USD	53,375.00	
26JUL17	B 2 PD26OCT17	2849.50	3278.50	USD	21,450.00	
26JUL17	B 1 PD26OCT17	2846.00	3278.50	USD	10,812.50	
26JUL17	B 1 PD26OCT17	2828.00	3278.50	USD	11,262.50	
26JUL17	B 1 PD26OCT17	2827.00	3278.50	USD	11,287.50	
26JUL17	S 1 PD26OCT17	2829.50	3278.50	USD	-11,225.00	
26JUL17	S 1 PD26OCT17	2832.50	3278.50	USD	-11,150.00	
26JUL17	S 1 PD26OCT17	2833.50	3278.50	USD	-11,125.00	
26JUL17	S 2 PD26OCT17	2830.00	3278.50	USD	-22,425.00	
26JUL17	B 1 PD26OCT17	2818.00	3278.50	USD	11,512.50	
26JUL17	B 3 PD26OCT17	2820.50	3278.50	USD	34,350.00	
26JUL17	S 3 PD26OCT17	2820.00	3278.50	USD	-34,387.50	
26JUL17	S 3 PD26OCT17	2824.50	3278.50	USD	-34,050.00	
26JUL17	S 3 PD26OCT17	2823.00	3278.50	USD	-34,162.50	
26JUL17	S 2 PD26OCT17	2824.00	3278.50	USD	-22,725.00	
26JUL17	S 1 PD26OCT17	2825.00	3278.50	USD	-11,337.50	
26JUL17	B 2 PD26OCT17	2816.50	3278.50	USD	23,100.00	
26JUL17	B 1 PD26OCT17	2819.50	3278.50	USD	11,475.00	
26JUL17	B 1 PD26OCT17	2815.50	3278.50	USD	11,575.00	
26JUL17	S 1 PD26OCT17	2827.00	3278.50	USD	-11,287.50	
26JUL17	S 1 PD26OCT17	2825.50	3278.50	USD	-11,325.00	
26JUL17	B 1 PD26OCT17	2802.50	3278.50	USD	11,900.00	
26JUL17	B 1 PD26OCT17	2800.50	3278.50	USD	11,950.00	
26JUL17	S 5 PD26OCT17	2822.50	3278.50	USD	-57,000.00	
26JUL17	B 1 PD26OCT17	2821.50	3278.50	USD	11,425.00	
26JUL17	S 1 PD26OCT17	2821.50	3278.50	USD	-11,425.00	
26JUL17	B 2 PD26OCT17	2807.00	3278.50	USD	23,575.00	
26JUL17	B 1 PD26OCT17	2806.50	3278.50	USD	11,800.00	
26JUL17	S 1 PD26OCT17	2814.00	3278.50	USD	-11,612.50	
26JUL17	S 1 PD26OCT17	2814.50	3278.50	USD	-11,600.00	
26JUL17	S 2 PD26OCT17	2818.50	3278.50	USD	-23,000.00	
26JUL17	S 7 PD26OCT17	2821.00	3278.50	USD	-80,062.50	
26JUL17	S 6 PD26OCT17	2821.50	3278.50	USD	-68,550.00	
26JUL17	S 3 PD26OCT17	2820.50	3278.50	USD	-34,350.00	
26JUL17	S 2 PD26OCT17	2819.00	3278.50	USD	-22,975.00	
26JUL17	S 6 PD26OCT17	2822.00	3278.50	USD	-68,475.00	
26JUL17	S 3 PD26OCT17	2819.50	3278.50	USD	-34,425.00	
26JUL17	S 2 PD26OCT17	2823.50	3278.50	USD	-22,750.00	
26JUL17	S 2 PD26OCT17	2826.50	3278.50	USD	-22,600.00	
26JUL17	B 1 PD26OCT17	2827.50	3278.50	USD	11,275.00	
26JUL17	S 1 PD26OCT17	2829.00	3278.50	USD	-11,237.50	
26JUL17	B 1 PD26OCT17	2824.00	3278.50	USD	11,362.50	
26JUL17	B 3 PD26OCT17	2822.00	3278.50	USD	34,237.50	
26JUL17	B 1 PD26OCT17	2821.50	3278.50	USD	11,425.00	
26JUL17	S 1 PD26OCT17	2810.50	3278.50	USD	-11,700.00	
26JUL17	B 2 PD26OCT17	2803.00	3278.50	USD	23,775.00	
26JUL17	S 1 PD26OCT17	2799.00	3278.50	USD	-11,987.50	
01AUG17	B 8 PD26OCT17	2778.51	3278.50	USD	99,998.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
01AUG17	B 5 PD26OCT17	2778.31	3278.50	USD	62,523.75	
03AUG17	B 1 PD26OCT17	2790.00	3278.50	USD	12,212.50	
	NET 0 AVG BGHT 2821.04 SOLD 2822.02				**1,546.75**	**0.00**
27JUL17	S 2 PD27OCT17	2805.50	3277.50	USD	-23,600.00	
27JUL17	S 1 PD27OCT17	2806.50	3277.50	USD	-11,775.00	
27JUL17	S 1 PD27OCT17	2807.00	3277.50	USD	-11,762.50	
27JUL17	S 1 PD27OCT17	2809.50	3277.50	USD	-11,700.00	
27JUL17	S 2 PD27OCT17	2808.50	3277.50	USD	-23,450.00	
27JUL17	S 1 PD27OCT17	2814.50	3277.50	USD	-11,575.00	
27JUL17	B 1 PD27OCT17	2816.00	3277.50	USD	11,537.50	
27JUL17	S 1 PD27OCT17	2827.00	3277.50	USD	-11,262.50	
27JUL17	S 1 PD27OCT17	2831.00	3277.50	USD	-11,162.50	
27JUL17	S 1 PD27OCT17	2832.50	3277.50	USD	-11,125.00	
27JUL17	B 1 PD27OCT17	2828.00	3277.50	USD	11,237.50	
27JUL17	B 1 PD27OCT17	2825.50	3277.50	USD	11,300.00	
27JUL17	B 2 PD27OCT17	2824.00	3277.50	USD	22,675.00	
27JUL17	B 1 PD27OCT17	2822.50	3277.50	USD	11,375.00	
27JUL17	B 4 PD27OCT17	2821.00	3277.50	USD	45,650.00	
27JUL17	B 3 PD27OCT17	2821.50	3277.50	USD	34,200.00	
27JUL17	B 3 PD27OCT17	2820.50	3277.50	USD	34,275.00	
27JUL17	B 5 PD27OCT17	2820.00	3277.50	USD	57,187.50	
27JUL17	B 2 PD27OCT17	2819.50	3277.50	USD	22,900.00	
27JUL17	B 3 PD27OCT17	2819.00	3277.50	USD	34,387.50	
27JUL17	B 1 PD27OCT17	2818.50	3277.50	USD	11,475.00	
27JUL17	B 1 PD27OCT17	2818.00	3277.50	USD	11,487.50	
27JUL17	B 1 PD27OCT17	2815.50	3277.50	USD	11,550.00	
27JUL17	B 2 PD27OCT17	2812.50	3277.50	USD	23,250.00	
27JUL17	S 1 PD27OCT17	2808.50	3277.50	USD	-11,725.00	
27JUL17	B 1 PD27OCT17	2830.00	3277.50	USD	11,187.50	
27JUL17	B 7 PD27OCT17	2811.00	3277.50	USD	81,637.50	
27JUL17	S 1 PD27OCT17	2812.50	3277.50	USD	-11,625.00	
27JUL17	S 3 PD27OCT17	2818.50	3277.50	USD	-34,425.00	
27JUL17	S 1 PD27OCT17	2819.50	3277.50	USD	-11,450.00	
27JUL17	S 1 PD27OCT17	2824.00	3277.50	USD	-11,337.50	
27JUL17	S 3 PD27OCT17	2822.00	3277.50	USD	-34,162.50	
27JUL17	S 1 PD27OCT17	2823.00	3277.50	USD	-11,362.50	
27JUL17	S 2 PD27OCT17	2820.00	3277.50	USD	-22,875.00	
27JUL17	B 1 PD27OCT17	2806.50	3277.50	USD	11,775.00	
27JUL17	B 1 PD27OCT17	2805.50	3277.50	USD	11,800.00	
27JUL17	B 1 PD27OCT17	2801.00	3277.50	USD	11,912.50	
27JUL17	B 1 PD27OCT17	2800.50	3277.50	USD	11,925.00	
27JUL17	S 2 PD27OCT17	2806.00	3277.50	USD	-23,575.00	
27JUL17	S 1 PD27OCT17	2804.50	3277.50	USD	-11,825.00	
27JUL17	B 3 PD27OCT17	2789.00	3277.50	USD	36,637.50	
27JUL17	B 1 PD27OCT17	2800.50	3277.50	USD	11,925.00	
27JUL17	B 2 PD27OCT17	2790.00	3277.50	USD	24,375.00	
27JUL17	S 1 PD27OCT17	2800.50	3277.50	USD	-11,925.00	
27JUL17	S 5 PD27OCT17	2798.50	3277.50	USD	-59,875.00	
27JUL17	S 2 PD27OCT17	2797.50	3277.50	USD	-24,000.00	
27JUL17	S 5 PD27OCT17	2799.00	3277.50	USD	-59,812.50	
27JUL17	S 1 PD27OCT17	2799.00	3277.50	USD	-11,962.50	
28JUL17	S 1 PD27OCT17	2788.00	3277.50	USD	-12,237.50	
28JUL17	S 1 PD27OCT17	2786.00	3277.50	USD	-12,287.50	
28JUL17	S 1 PD27OCT17	2785.50	3277.50	USD	-12,300.00	
28JUL17	S 3 PD27OCT17	2787.50	3277.50	USD	-36,750.00	
28JUL17	S 1 PD27OCT17	2789.00	3277.50	USD	-12,212.50	
28JUL17	S 1 PD27OCT17	2790.50	3277.50	USD	-12,175.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
28JUL17	B 1 PD27OCT17	2783.00	3277.50	USD	12,362.50	
28JUL17	B 2 PD27OCT17	2781.00	3277.50	USD	24,825.00	
28JUL17	B 1 PD27OCT17	2778.00	3277.50	USD	12,487.50	
28JUL17	B 1 PD27OCT17	2774.50	3277.50	USD	12,575.00	
28JUL17	B 1 PD27OCT17	2771.00	3277.50	USD	12,662.50	
28JUL17	B 1 PD27OCT17	2770.50	3277.50	USD	12,675.00	
28JUL17	S 1 PD27OCT17	2777.50	3277.50	USD	-12,500.00	
28JUL17	B 1 PD27OCT17	2772.50	3277.50	USD	12,625.00	
28JUL17	B 3 PD27OCT17	2768.50	3277.50	USD	38,175.00	
28JUL17	B 2 PD27OCT17	2769.00	3277.50	USD	25,425.00	
28JUL17	B 1 PD27OCT17	2767.00	3277.50	USD	12,762.50	
28JUL17	B 1 PD27OCT17	2766.50	3277.50	USD	12,775.00	
28JUL17	B 3 PD27OCT17	2765.00	3277.50	USD	38,437.50	
28JUL17	B 1 PD27OCT17	2764.50	3277.50	USD	12,825.00	
28JUL17	B 2 PD27OCT17	2763.50	3277.50	USD	25,700.00	
28JUL17	B 2 PD27OCT17	2763.00	3277.50	USD	25,725.00	
28JUL17	B 2 PD27OCT17	2762.00	3277.50	USD	25,775.00	
28JUL17	B 1 PD27OCT17	2761.00	3277.50	USD	12,912.50	
28JUL17	B 1 PD27OCT17	2764.00	3277.50	USD	12,837.50	
28JUL17	S 1 PD27OCT17	2769.00	3277.50	USD	-12,712.50	
28JUL17	S 1 PD27OCT17	2770.00	3277.50	USD	-12,687.50	
28JUL17	S 1 PD27OCT17	2771.00	3277.50	USD	-12,662.50	
28JUL17	S 2 PD27OCT17	2768.00	3277.50	USD	-25,475.00	
28JUL17	S 2 PD27OCT17	2774.00	3277.50	USD	-25,175.00	
28JUL17	S 2 PD27OCT17	2774.50	3277.50	USD	-25,150.00	
28JUL17	B 1 PD27OCT17	2760.50	3277.50	USD	12,925.00	
28JUL17	S 1 PD27OCT17	2772.00	3277.50	USD	-12,637.50	
28JUL17	S 4 PD27OCT17	2771.50	3277.50	USD	-50,600.00	
28JUL17	B 2 PD27OCT17	2773.00	3277.50	USD	25,225.00	
28JUL17	B 1 PD27OCT17	2773.50	3277.50	USD	12,600.00	
28JUL17	S 1 PD27OCT17	2777.00	3277.50	USD	-12,512.50	
28JUL17	S 1 PD27OCT17	2775.50	3277.50	USD	-12,550.00	
28JUL17	S 3 PD27OCT17	2776.00	3277.50	USD	-37,612.50	
28JUL17	B 1 PD27OCT17	2777.00	3277.50	USD	12,512.50	
28JUL17	S 1 PD27OCT17	2786.50	3277.50	USD	-12,275.00	
28JUL17	S 3 PD27OCT17	2785.00	3277.50	USD	-36,937.50	
28JUL17	S 1 PD27OCT17	2793.50	3277.50	USD	-12,100.00	
28JUL17	S 1 PD27OCT17	2795.50	3277.50	USD	-12,050.00	
28JUL17	S 1 PD27OCT17	2796.00	3277.50	USD	-12,037.50	
28JUL17	B 2 PD27OCT17	2779.50	3277.50	USD	24,900.00	
28JUL17	B 1 PD27OCT17	2780.00	3277.50	USD	12,437.50	
28JUL17	S 1 PD27OCT17	2772.00	3277.50	USD	-12,637.50	
28JUL17	S 2 PD27OCT17	2775.00	3277.50	USD	-25,125.00	
28JUL17	S 2 PD27OCT17	2784.50	3277.50	USD	-24,650.00	
28JUL17	B 2 PD27OCT17	2784.00	3277.50	USD	24,675.00	
01AUG17	S 5 PD27OCT17	2778.39	3277.50	USD	-62,388.75	
	NET 0 AVG BGHT 2795.41 SOLD 2793.88				**-3,288.75**	**0.00**
31JUL17	B 1 PD31OCT17	2805.00	3275.50	USD	11,762.50	
31JUL17	S 1 PD31OCT17	2808.00	3275.50	USD	-11,687.50	
31JUL17	S 1 PD31OCT17	2809.00	3275.50	USD	-11,662.50	
31JUL17	S 1 PD31OCT17	2813.50	3275.50	USD	-11,550.00	
31JUL17	S 3 PD31OCT17	2815.50	3275.50	USD	-34,500.00	
31JUL17	S 1 PD31OCT17	2819.50	3275.50	USD	-11,400.00	
31JUL17	S 1 PD31OCT17	2820.50	3275.50	USD	-11,375.00	
31JUL17	S 1 PD31OCT17	2821.50	3275.50	USD	-11,350.00	
31JUL17	S 1 PD31OCT17	2822.50	3275.50	USD	-11,325.00	
31JUL17	S 1 PD31OCT17	2822.00	3275.50	USD	-11,337.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
31JUL17	S 1 PD31OCT17	2827.00	3275.50	USD	-11,212.50	
31JUL17	S 1 PD31OCT17	2828.00	3275.50	USD	-11,187.50	
31JUL17	B 1 PD31OCT17	2814.50	3275.50	USD	11,525.00	
31JUL17	S 2 PD31OCT17	2815.00	3275.50	USD	-23,025.00	
31JUL17	B 1 PD31OCT17	2798.50	3275.50	USD	11,925.00	
31JUL17	S 1 PD31OCT17	2799.50	3275.50	USD	-11,900.00	
31JUL17	S 2 PD31OCT17	2801.00	3275.50	USD	-23,725.00	
31JUL17	S 2 PD31OCT17	2810.00	3275.50	USD	-23,275.00	
31JUL17	S 1 PD31OCT17	2805.50	3275.50	USD	-11,750.00	
31JUL17	S 2 PD31OCT17	2804.00	3275.50	USD	-23,575.00	
31JUL17	B 2 PD31OCT17	2807.50	3275.50	USD	23,400.00	
31JUL17	B 2 PD31OCT17	2804.00	3275.50	USD	23,575.00	
31JUL17	B 1 PD31OCT17	2801.50	3275.50	USD	11,850.00	
31JUL17	B 1 PD31OCT17	2800.50	3275.50	USD	11,875.00	
31JUL17	B 1 PD31OCT17	2797.00	3275.50	USD	11,962.50	
31JUL17	S 1 PD31OCT17	2796.50	3275.50	USD	-11,975.00	
31JUL17	S 1 PD31OCT17	2797.00	3275.50	USD	-11,962.50	
31JUL17	B 1 PD31OCT17	2792.50	3275.50	USD	12,075.00	
31JUL17	B 1 PD31OCT17	2792.00	3275.50	USD	12,087.50	
31JUL17	S 1 PD31OCT17	2793.00	3275.50	USD	-12,062.50	
31JUL17	B 2 PD31OCT17	2794.00	3275.50	USD	24,075.00	
31JUL17	S 1 PD31OCT17	2795.00	3275.50	USD	-12,012.50	
31JUL17	B 2 PD31OCT17	2796.50	3275.50	USD	23,950.00	
31JUL17	B 4 PD31OCT17	2796.00	3275.50	USD	47,950.00	
31JUL17	B 2 PD31OCT17	2798.00	3275.50	USD	23,875.00	
31JUL17	B 3 PD31OCT17	2795.00	3275.50	USD	36,037.50	
03AUG17	B 2 PD31OCT17	2791.25	3275.50	USD	24,212.50	
	NET 0 AVG BGHT 2798.26 SOLD 2810.54				**8,287.50**	**0.00**
01AUG17	B 1 PD01NOV17	2789.00	3274.50	USD	12,137.50	
01AUG17	B 1 PD01NOV17	2774.50	3274.50	USD	12,500.00	
01AUG17	B 2 PD01NOV17	2773.00	3274.50	USD	25,075.00	
01AUG17	B 2 PD01NOV17	2771.50	3274.50	USD	25,150.00	
01AUG17	B 4 PD01NOV17	2769.00	3274.50	USD	50,550.00	
01AUG17	B 1 PD01NOV17	2767.00	3274.50	USD	12,687.50	
01AUG17	B 1 PD01NOV17	2765.50	3274.50	USD	12,725.00	
01AUG17	S 1 PD01NOV17	2777.00	3274.50	USD	-12,437.50	
01AUG17	S 4 PD01NOV17	2781.00	3274.50	USD	-49,350.00	
01AUG17	S 2 PD01NOV17	2784.50	3274.50	USD	-24,500.00	
01AUG17	S 3 PD01NOV17	2781.50	3274.50	USD	-36,975.00	
01AUG17	S 2 PD01NOV17	2782.00	3274.50	USD	-24,625.00	
01AUG17	S 3 PD01NOV17	2779.50	3274.50	USD	-37,125.00	
01AUG17	B 1 PD01NOV17	2772.00	3274.50	USD	12,562.50	
01AUG17	S 1 PD01NOV17	2774.50	3274.50	USD	-12,500.00	
01AUG17	S 6 PD01NOV17	2778.00	3274.50	USD	-74,475.00	
01AUG17	S 4 PD01NOV17	2778.50	3274.50	USD	-49,600.00	
01AUG17	S 2 PD01NOV17	2779.00	3274.50	USD	-24,775.00	
01AUG17	S 3 PD01NOV17	2777.50	3274.50	USD	-37,275.00	
01AUG17	S 2 PD01NOV17	2783.00	3274.50	USD	-24,575.00	
01AUG17	B 1 PD01NOV17	2782.00	3274.50	USD	12,312.50	
01AUG17	B 2 PD01NOV17	2780.50	3274.50	USD	24,700.00	
01AUG17	B 1 PD01NOV17	2781.00	3274.50	USD	12,337.50	
03AUG17	B 1 PD01NOV17	2791.50	3274.50	USD	12,075.00	
07AUG17	B 15 PD01NOV17	2856.71	3274.50	USD	156,671.25	
	NET 0 AVG BGHT 2812.10 SOLD 2779.70				**-26,728.75**	**0.00**
02AUG17	S 2 PD02NOV17	2766.50	3273.50	USD	-25,350.00	
02AUG17	B 3 PD02NOV17	2773.00	3273.50	USD	37,537.50	
02AUG17	B 1 PD02NOV17	2769.00	3273.50	USD	12,612.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
02AUG17	B 5 PD02NOV17	2770.00	3273.50	USD	62,937.50	
02AUG17	B 1 PD02NOV17	2771.50	3273.50	USD	12,550.00	
02AUG17	B 2 PD02NOV17	2770.50	3273.50	USD	25,150.00	
02AUG17	B 4 PD02NOV17	2774.00	3273.50	USD	49,950.00	
02AUG17	B 4 PD02NOV17	2774.50	3273.50	USD	49,900.00	
02AUG17	B 3 PD02NOV17	2771.00	3273.50	USD	37,687.50	
02AUG17	B 1 PD02NOV17	2768.00	3273.50	USD	12,637.50	
02AUG17	B 2 PD02NOV17	2766.00	3273.50	USD	25,375.00	
02AUG17	B 1 PD02NOV17	2764.00	3273.50	USD	12,737.50	
02AUG17	B 1 PD02NOV17	2762.50	3273.50	USD	12,775.00	
02AUG17	B 2 PD02NOV17	2765.50	3273.50	USD	25,400.00	
02AUG17	B 1 PD02NOV17	2762.00	3273.50	USD	12,787.50	
02AUG17	B 2 PD02NOV17	2768.50	3273.50	USD	25,250.00	
02AUG17	B 3 PD02NOV17	2766.50	3273.50	USD	38,025.00	
02AUG17	B 2 PD02NOV17	2767.50	3273.50	USD	25,300.00	
02AUG17	B 5 PD02NOV17	2767.00	3273.50	USD	63,312.50	
02AUG17	S 1 PD02NOV17	2775.00	3273.50	USD	-12,462.50	
02AUG17	B 1 PD02NOV17	2774.50	3273.50	USD	12,475.00	
02AUG17	S 1 PD02NOV17	2766.00	3273.50	USD	-12,687.50	
02AUG17	B 1 PD02NOV17	2769.00	3273.50	USD	12,612.50	
02AUG17	S 1 PD02NOV17	2765.00	3273.50	USD	-12,712.50	
02AUG17	S 2 PD02NOV17	2765.50	3273.50	USD	-25,400.00	
02AUG17	S 1 PD02NOV17	2767.00	3273.50	USD	-12,662.50	
02AUG17	S 1 PD02NOV17	2767.50	3273.50	USD	-12,650.00	
02AUG17	S 2 PD02NOV17	2769.00	3273.50	USD	-25,225.00	
02AUG17	S 2 PD02NOV17	2775.00	3273.50	USD	-24,925.00	
02AUG17	S 2 PD02NOV17	2775.50	3273.50	USD	-24,900.00	
02AUG17	S 3 PD02NOV17	2776.00	3273.50	USD	-37,312.50	
02AUG17	S 2 PD02NOV17	2777.00	3273.50	USD	-24,825.00	
02AUG17	S 1 PD02NOV17	2778.00	3273.50	USD	-12,387.50	
02AUG17	S 1 PD02NOV17	2779.00	3273.50	USD	-12,362.50	
02AUG17	S 2 PD02NOV17	2780.50	3273.50	USD	-24,650.00	
02AUG17	S 1 PD02NOV17	2781.50	3273.50	USD	-12,300.00	
02AUG17	S 2 PD02NOV17	2782.50	3273.50	USD	-24,550.00	
02AUG17	S 2 PD02NOV17	2783.50	3273.50	USD	-24,500.00	
02AUG17	S 1 PD02NOV17	2785.00	3273.50	USD	-12,212.50	
02AUG17	S 2 PD02NOV17	2779.50	3273.50	USD	-24,700.00	
02AUG17	S 1 PD02NOV17	2780.00	3273.50	USD	-12,337.50	
02AUG17	S 2 PD02NOV17	2781.00	3273.50	USD	-24,625.00	
02AUG17	B 2 PD02NOV17	2784.00	3273.50	USD	24,475.00	
02AUG17	S 6 PD02NOV17	2784.00	3273.50	USD	-73,425.00	
02AUG17	S 1 PD02NOV17	2785.50	3273.50	USD	-12,200.00	
02AUG17	S 2 PD02NOV17	2786.50	3273.50	USD	-24,350.00	
02AUG17	S 1 PD02NOV17	2792.50	3273.50	USD	-12,025.00	
02AUG17	S 2 PD02NOV17	2784.50	3273.50	USD	-24,450.00	
02AUG17	B 1 PD02NOV17	2782.00	3273.50	USD	12,287.50	
02AUG17	S 1 PD02NOV17	2791.50	3273.50	USD	-12,050.00	
02AUG17	S 1 PD02NOV17	2792.00	3273.50	USD	-12,037.50	
02AUG17	S 1 PD02NOV17	2793.00	3273.50	USD	-12,012.50	
02AUG17	S 1 PD02NOV17	2796.00	3273.50	USD	-11,937.50	
02AUG17	S 1 PD02NOV17	2800.50	3273.50	USD	-11,825.00	
02AUG17	B 1 PD02NOV17	2801.50	3273.50	USD	11,800.00	
02AUG17	S 1 PD02NOV17	2801.00	3273.50	USD	-11,812.50	
02AUG17	B 1 PD02NOV17	2805.50	3273.50	USD	11,700.00	
02AUG17	B 2 PD02NOV17	2808.50	3273.50	USD	23,250.00	
02AUG17	B 2 PD02NOV17	2806.50	3273.50	USD	23,350.00	
02AUG17	B 2 PD02NOV17	2806.00	3273.50	USD	23,375.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
02AUG17	B 3 PD02NOV17	2804.50	3273.50	USD	35,175.00	
03AUG17	S 4 PD02NOV17	2791.55	3273.50	USD	-48,195.00	
07AUG17	S 2 PD02NOV17	2856.73	3273.50	USD	-20,838.50	
	NET 0 AVG BGHT 2776.94 SOLD 2783.40				**9,529.00**	**0.00**
03AUG17	S 2 PD03NOV17	2830.50	3272.00	USD	-22,075.00	
03AUG17	S 1 PD03NOV17	2830.00	3272.00	USD	-11,050.00	
03AUG17	S 2 PD03NOV17	2826.50	3272.00	USD	-22,275.00	
03AUG17	B 1 PD03NOV17	2811.50	3272.00	USD	11,512.50	
03AUG17	B 1 PD03NOV17	2812.00	3272.00	USD	11,500.00	
03AUG17	B 2 PD03NOV17	2810.50	3272.00	USD	23,075.00	
03AUG17	B 1 PD03NOV17	2809.00	3272.00	USD	11,575.00	
03AUG17	B 1 PD03NOV17	2809.50	3272.00	USD	11,562.50	
03AUG17	B 2 PD03NOV17	2808.50	3272.00	USD	23,175.00	
03AUG17	B 1 PD03NOV17	2808.00	3272.00	USD	11,600.00	
03AUG17	S 1 PD03NOV17	2814.00	3272.00	USD	-11,450.00	
03AUG17	S 2 PD03NOV17	2819.50	3272.00	USD	-22,625.00	
03AUG17	S 2 PD03NOV17	2817.00	3272.00	USD	-22,750.00	
03AUG17	S 3 PD03NOV17	2817.50	3272.00	USD	-34,087.50	
03AUG17	S 2 PD03NOV17	2821.00	3272.00	USD	-22,550.00	
03AUG17	B 2 PD03NOV17	2815.00	3272.00	USD	22,850.00	
03AUG17	B 3 PD03NOV17	2817.50	3272.00	USD	34,087.50	
03AUG17	B 2 PD03NOV17	2816.50	3272.00	USD	22,775.00	
03AUG17	B 2 PD03NOV17	2815.50	3272.00	USD	22,825.00	
03AUG17	B 1 PD03NOV17	2814.50	3272.00	USD	11,437.50	
03AUG17	B 1 PD03NOV17	2808.50	3272.00	USD	11,587.50	
03AUG17	B 3 PD03NOV17	2814.00	3272.00	USD	34,350.00	
03AUG17	B 3 PD03NOV17	2813.00	3272.00	USD	34,425.00	
03AUG17	B 2 PD03NOV17	2811.00	3272.00	USD	23,050.00	
03AUG17	B 1 PD03NOV17	2805.50	3272.00	USD	11,662.50	
03AUG17	B 2 PD03NOV17	2806.00	3272.00	USD	23,300.00	
03AUG17	B 1 PD03NOV17	2797.00	3272.00	USD	11,875.00	
03AUG17	B 1 PD03NOV17	2791.50	3272.00	USD	12,012.50	
03AUG17	B 1 PD03NOV17	2794.00	3272.00	USD	11,950.00	
03AUG17	B 3 PD03NOV17	2793.00	3272.00	USD	35,925.00	
03AUG17	S 1 PD03NOV17	2806.50	3272.00	USD	-11,637.50	
03AUG17	S 1 PD03NOV17	2806.00	3272.00	USD	-11,650.00	
03AUG17	S 1 PD03NOV17	2807.50	3272.00	USD	-11,612.50	
03AUG17	B 1 PD03NOV17	2801.00	3272.00	USD	11,775.00	
03AUG17	B 2 PD03NOV17	2798.00	3272.00	USD	23,700.00	
03AUG17	B 1 PD03NOV17	2799.00	3272.00	USD	11,825.00	
03AUG17	S 2 PD03NOV17	2793.50	3272.00	USD	-23,925.00	
03AUG17	S 1 PD03NOV17	2793.00	3272.00	USD	-11,975.00	
03AUG17	S 1 PD03NOV17	2792.50	3272.00	USD	-11,987.50	
03AUG17	S 2 PD03NOV17	2792.00	3272.00	USD	-24,000.00	
03AUG17	S 3 PD03NOV17	2794.00	3272.00	USD	-35,850.00	
03AUG17	S 1 PD03NOV17	2792.00	3272.00	USD	-12,000.00	
03AUG17	S 1 PD03NOV17	2795.00	3272.00	USD	-11,925.00	
04AUG17	S 1 PD03NOV17	2809.00	3272.00	USD	-11,575.00	
04AUG17	S 1 PD03NOV17	2816.00	3272.00	USD	-11,400.00	
04AUG17	S 2 PD03NOV17	2819.00	3272.00	USD	-22,650.00	
04AUG17	S 1 PD03NOV17	2819.50	3272.00	USD	-11,312.50	
04AUG17	S 1 PD03NOV17	2823.00	3272.00	USD	-11,225.00	
04AUG17	S 3 PD03NOV17	2827.50	3272.00	USD	-33,337.50	
04AUG17	S 1 PD03NOV17	2828.50	3272.00	USD	-11,087.50	
04AUG17	S 3 PD03NOV17	2828.00	3272.00	USD	-33,300.00	
04AUG17	S 1 PD03NOV17	2829.50	3272.00	USD	-11,062.50	
04AUG17	S 1 PD03NOV17	2830.50	3272.00	USD	-11,037.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
04AUG17	S 1 PD03NOV17	2834.00	3272.00	USD	-10,950.00	
04AUG17	S 2 PD03NOV17	2833.50	3272.00	USD	-21,925.00	
04AUG17	S 1 PD03NOV17	2832.50	3272.00	USD	-10,987.50	
04AUG17	S 4 PD03NOV17	2833.00	3272.00	USD	-43,900.00	
04AUG17	S 3 PD03NOV17	2834.50	3272.00	USD	-32,812.50	
04AUG17	B 2 PD03NOV17	2826.00	3272.00	USD	22,300.00	
04AUG17	B 3 PD03NOV17	2825.50	3272.00	USD	33,487.50	
04AUG17	B 2 PD03NOV17	2822.50	3272.00	USD	22,475.00	
04AUG17	B 1 PD03NOV17	2822.00	3272.00	USD	11,250.00	
04AUG17	B 1 PD03NOV17	2821.00	3272.00	USD	11,275.00	
04AUG17	B 6 PD03NOV17	2821.50	3272.00	USD	67,575.00	
04AUG17	B 3 PD03NOV17	2820.50	3272.00	USD	33,862.50	
04AUG17	S 3 PD03NOV17	2830.00	3272.00	USD	-33,150.00	
04AUG17	S 5 PD03NOV17	2831.00	3272.00	USD	-55,125.00	
04AUG17	B 2 PD03NOV17	2823.00	3272.00	USD	22,450.00	
04AUG17	S 2 PD03NOV17	2825.00	3272.00	USD	-22,350.00	
04AUG17	S 2 PD03NOV17	2825.50	3272.00	USD	-22,325.00	
04AUG17	S 1 PD03NOV17	2826.50	3272.00	USD	-11,137.50	
04AUG17	B 1 PD03NOV17	2819.50	3272.00	USD	11,312.50	
04AUG17	B 2 PD03NOV17	2818.50	3272.00	USD	22,675.00	
04AUG17	B 3 PD03NOV17	2818.00	3272.00	USD	34,050.00	
04AUG17	B 1 PD03NOV17	2817.00	3272.00	USD	11,375.00	
04AUG17	B 5 PD03NOV17	2820.00	3272.00	USD	56,500.00	
04AUG17	B 2 PD03NOV17	2819.00	3272.00	USD	22,650.00	
04AUG17	B 1 PD03NOV17	2812.50	3272.00	USD	11,487.50	
04AUG17	B 1 PD03NOV17	2826.00	3272.00	USD	11,150.00	
04AUG17	B 1 PD03NOV17	2821.50	3272.00	USD	11,262.50	
04AUG17	B 2 PD03NOV17	2811.50	3272.00	USD	23,025.00	
04AUG17	B 1 PD03NOV17	2805.00	3272.00	USD	11,675.00	
04AUG17	B 1 PD03NOV17	2803.00	3272.00	USD	11,725.00	
04AUG17	S 1 PD03NOV17	2803.50	3272.00	USD	-11,712.50	
04AUG17	S 1 PD03NOV17	2809.50	3272.00	USD	-11,562.50	
04AUG17	S 2 PD03NOV17	2811.00	3272.00	USD	-23,050.00	
04AUG17	S 2 PD03NOV17	2811.50	3272.00	USD	-23,025.00	
04AUG17	S 1 PD03NOV17	2819.00	3272.00	USD	-11,325.00	
04AUG17	S 3 PD03NOV17	2814.50	3272.00	USD	-34,312.50	
04AUG17	B 3 PD03NOV17	2812.00	3272.00	USD	34,500.00	
04AUG17	B 3 PD03NOV17	2813.00	3272.00	USD	34,425.00	
04AUG17	B 3 PD03NOV17	2812.50	3272.00	USD	34,462.50	
04AUG17	S 1 PD03NOV17	2811.00	3272.00	USD	-11,525.00	
07AUG17	S 13 PD03NOV17	2856.94	3272.00	USD	-134,894.50	
10AUG17	B 1 PD03NOV17	2936.75	3272.00	USD	8,381.25	
	NET 0 AVG BGHT 2815.15 SOLD 2824.40				**21,261.75**	**0.00**
07AUG17	B 1 PD07NOV17	2806.00	3272.00	USD	11,650.00	
07AUG17	S 1 PD07NOV17	2814.50	3272.00	USD	-11,437.50	
07AUG17	S 1 PD07NOV17	2816.00	3272.00	USD	-11,400.00	
07AUG17	S 1 PD07NOV17	2815.00	3272.00	USD	-11,425.00	
07AUG17	S 1 PD07NOV17	2815.50	3272.00	USD	-11,412.50	
07AUG17	S 1 PD07NOV17	2816.50	3272.00	USD	-11,387.50	
07AUG17	S 2 PD07NOV17	2822.50	3272.00	USD	-22,475.00	
07AUG17	B 2 PD07NOV17	2810.50	3272.00	USD	23,075.00	
07AUG17	B 2 PD07NOV17	2810.00	3272.00	USD	23,100.00	
07AUG17	S 3 PD07NOV17	2818.50	3272.00	USD	-34,012.50	
07AUG17	S 14 PD07NOV17	2821.00	3272.00	USD	-157,850.00	
07AUG17	S 1 PD07NOV17	2821.50	3272.00	USD	-11,262.50	
07AUG17	S 5 PD07NOV17	2820.50	3272.00	USD	-56,437.50	
07AUG17	S 1 PD07NOV17	2819.50	3272.00	USD	-11,312.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
07AUG17	B 1 PD07NOV17	2817.50	3272.00	USD	11,362.50	
07AUG17	S 2 PD07NOV17	2819.00	3272.00	USD	-22,650.00	
07AUG17	S 1 PD07NOV17	2824.00	3272.00	USD	-11,200.00	
07AUG17	S 1 PD07NOV17	2825.50	3272.00	USD	-11,162.50	
07AUG17	S 1 PD07NOV17	2827.00	3272.00	USD	-11,125.00	
07AUG17	B 2 PD07NOV17	2820.00	3272.00	USD	22,600.00	
07AUG17	B 1 PD07NOV17	2819.50	3272.00	USD	11,312.50	
07AUG17	B 1 PD07NOV17	2819.00	3272.00	USD	11,325.00	
07AUG17	B 1 PD07NOV17	2818.50	3272.00	USD	11,337.50	
07AUG17	B 1 PD07NOV17	2818.00	3272.00	USD	11,350.00	
07AUG17	S 1 PD07NOV17	2825.00	3272.00	USD	-11,175.00	
07AUG17	S 1 PD07NOV17	2828.50	3272.00	USD	-11,087.50	
07AUG17	S 2 PD07NOV17	2832.00	3272.00	USD	-22,000.00	
07AUG17	S 1 PD07NOV17	2832.50	3272.00	USD	-10,987.50	
07AUG17	S 1 PD07NOV17	2819.50	3272.00	USD	-11,312.50	
07AUG17	S 2 PD07NOV17	2832.00	3272.00	USD	-22,000.00	
07AUG17	S 1 PD07NOV17	2831.50	3272.00	USD	-11,012.50	
07AUG17	S 1 PD07NOV17	2833.00	3272.00	USD	-10,975.00	
07AUG17	S 2 PD07NOV17	2833.50	3272.00	USD	-21,925.00	
07AUG17	S 1 PD07NOV17	2834.50	3272.00	USD	-10,937.50	
07AUG17	B 1 PD07NOV17	2832.50	3272.00	USD	10,987.50	
07AUG17	B 1 PD07NOV17	2831.50	3272.00	USD	11,012.50	
07AUG17	B 1 PD07NOV17	2829.50	3272.00	USD	11,062.50	
07AUG17	B 3 PD07NOV17	2830.00	3272.00	USD	33,150.00	
07AUG17	B 6 PD07NOV17	2829.00	3272.00	USD	66,450.00	
07AUG17	B 2 PD07NOV17	2836.00	3272.00	USD	21,800.00	
07AUG17	B 1 PD07NOV17	2835.00	3272.00	USD	10,925.00	
07AUG17	B 2 PD07NOV17	2835.50	3272.00	USD	21,825.00	
07AUG17	B 3 PD07NOV17	2838.50	3272.00	USD	32,512.50	
07AUG17	B 1 PD07NOV17	2838.00	3272.00	USD	10,850.00	
07AUG17	B 1 PD07NOV17	2837.50	3272.00	USD	10,862.50	
07AUG17	B 3 PD07NOV17	2837.00	3272.00	USD	32,625.00	
07AUG17	B 2 PD07NOV17	2834.50	3272.00	USD	21,875.00	
07AUG17	S 1 PD07NOV17	2847.00	3272.00	USD	-10,625.00	
07AUG17	S 1 PD07NOV17	2847.50	3272.00	USD	-10,612.50	
07AUG17	B 1 PD07NOV17	2852.00	3272.00	USD	10,500.00	
07AUG17	B 1 PD07NOV17	2857.00	3272.00	USD	10,375.00	
07AUG17	B 2 PD07NOV17	2855.00	3272.00	USD	20,850.00	
07AUG17	B 1 PD07NOV17	2861.50	3272.00	USD	10,262.50	
07AUG17	S 1 PD07NOV17	2863.50	3272.00	USD	-10,212.50	
07AUG17	S 1 PD07NOV17	2865.50	3272.00	USD	-10,162.50	
07AUG17	S 1 PD07NOV17	2866.50	3272.00	USD	-10,137.50	
07AUG17	S 6 PD07NOV17	2865.00	3272.00	USD	-61,050.00	
07AUG17	S 2 PD07NOV17	2866.00	3272.00	USD	-20,300.00	
07AUG17	S 2 PD07NOV17	2867.50	3272.00	USD	-20,225.00	
07AUG17	S 1 PD07NOV17	2870.00	3272.00	USD	-10,050.00	
07AUG17	S 2 PD07NOV17	2869.00	3272.00	USD	-20,150.00	
07AUG17	S 1 PD07NOV17	2871.00	3272.00	USD	-10,025.00	
07AUG17	S 1 PD07NOV17	2873.00	3272.00	USD	-9,975.00	
07AUG17	S 3 PD07NOV17	2871.50	3272.00	USD	-30,037.50	
07AUG17	S 2 PD07NOV17	2874.00	3272.00	USD	-19,900.00	
07AUG17	S 1 PD07NOV17	2876.00	3272.00	USD	-9,900.00	
07AUG17	S 1 PD07NOV17	2877.50	3272.00	USD	-9,862.50	
07AUG17	S 2 PD07NOV17	2875.00	3272.00	USD	-19,850.00	
07AUG17	S 1 PD07NOV17	2877.00	3272.00	USD	-9,875.00	
07AUG17	S 2 PD07NOV17	2872.50	3272.00	USD	-19,975.00	
07AUG17	S 5 PD07NOV17	2874.50	3272.00	USD	-49,687.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
07AUG17	B 2 PD07NOV17	2878.50	3272.00	USD	19,675.00	
07AUG17	B 1 PD07NOV17	2877.50	3272.00	USD	9,862.50	
07AUG17	B 1 PD07NOV17	2878.00	3272.00	USD	9,850.00	
07AUG17	B 4 PD07NOV17	2885.00	3272.00	USD	38,700.00	
07AUG17	S 1 PD07NOV17	2883.00	3272.00	USD	-9,725.00	
07AUG17	S 1 PD07NOV17	2880.50	3272.00	USD	-9,787.50	
07AUG17	S 1 PD07NOV17	2879.00	3272.00	USD	-9,825.00	
07AUG17	S 1 PD07NOV17	2882.50	3272.00	USD	-9,737.50	
07AUG17	S 2 PD07NOV17	2881.50	3272.00	USD	-19,525.00	
07AUG17	S 1 PD07NOV17	2886.00	3272.00	USD	-9,650.00	
07AUG17	S 1 PD07NOV17	2885.00	3272.00	USD	-9,675.00	
07AUG17	S 2 PD07NOV17	2884.50	3272.00	USD	-19,375.00	
07AUG17	S 2 PD07NOV17	2885.50	3272.00	USD	-19,325.00	
07AUG17	S 2 PD07NOV17	2865.00	3272.00	USD	-20,350.00	
07AUG17	S 1 PD07NOV17	2883.50	3272.00	USD	-9,712.50	
07AUG17	B 2 PD07NOV17	2887.50	3272.00	USD	19,225.00	
07AUG17	B 1 PD07NOV17	2886.50	3272.00	USD	9,637.50	
07AUG17	B 2 PD07NOV17	2883.50	3272.00	USD	19,425.00	
07AUG17	B 1 PD07NOV17	2882.50	3272.00	USD	9,737.50	
07AUG17	B 1 PD07NOV17	2881.50	3272.00	USD	9,762.50	
07AUG17	B 1 PD07NOV17	2882.00	3272.00	USD	9,750.00	
07AUG17	B 1 PD07NOV17	2883.00	3272.00	USD	9,725.00	
07AUG17	B 2 PD07NOV17	2880.50	3272.00	USD	19,575.00	
07AUG17	B 1 PD07NOV17	2881.00	3272.00	USD	9,775.00	
07AUG17	B 5 PD07NOV17	2880.00	3272.00	USD	49,000.00	
07AUG17	B 1 PD07NOV17	2879.00	3272.00	USD	9,825.00	
07AUG17	B 2 PD07NOV17	2877.00	3272.00	USD	19,750.00	
07AUG17	B 2 PD07NOV17	2875.50	3272.00	USD	19,825.00	
07AUG17	B 1 PD07NOV17	2873.00	3272.00	USD	9,975.00	
07AUG17	S 5 PD07NOV17	2872.00	3272.00	USD	-50,000.00	
07AUG17	B 2 PD07NOV17	2874.50	3272.00	USD	19,875.00	
07AUG17	B 1 PD07NOV17	2874.00	3272.00	USD	9,950.00	
07AUG17	B 1 PD07NOV17	2873.50	3272.00	USD	9,962.50	
07AUG17	B 1 PD07NOV17	2870.50	3272.00	USD	10,037.50	
07AUG17	B 1 PD07NOV17	2869.00	3272.00	USD	10,075.00	
07AUG17	B 1 PD07NOV17	2866.00	3272.00	USD	10,150.00	
07AUG17	B 1 PD07NOV17	2866.50	3272.00	USD	10,137.50	
07AUG17	B 1 PD07NOV17	2862.00	3272.00	USD	10,250.00	
07AUG17	B 1 PD07NOV17	2864.00	3272.00	USD	10,200.00	
07AUG17	S 4 PD07NOV17	2864.00	3272.00	USD	-40,800.00	
07AUG17	B 1 PD07NOV17	2863.00	3272.00	USD	10,225.00	
07AUG17	B 3 PD07NOV17	2861.00	3272.00	USD	30,825.00	
07AUG17	B 1 PD07NOV17	2863.50	3272.00	USD	10,212.50	
07AUG17	S 1 PD07NOV17	2868.00	3272.00	USD	-10,100.00	
07AUG17	B 1 PD07NOV17	2868.50	3272.00	USD	10,087.50	
07AUG17	B 2 PD07NOV17	2865.00	3272.00	USD	20,350.00	
07AUG17	B 7 PD07NOV17	2867.00	3272.00	USD	70,875.00	
07AUG17	B 5 PD07NOV17	2868.00	3272.00	USD	50,500.00	
07AUG17	B 2 PD07NOV17	2871.00	3272.00	USD	20,050.00	
07AUG17	B 2 PD07NOV17	2871.50	3272.00	USD	20,025.00	
07AUG17	B 1 PD07NOV17	2869.50	3272.00	USD	10,062.50	
07AUG17	B 1 PD07NOV17	2870.50	3272.00	USD	10,037.50	
07AUG17	B 2 PD07NOV17	2861.50	3272.00	USD	20,525.00	
10AUG17	S 1 PD07NOV17	2937.26	3272.00	USD	-8,368.50	
10AUG17	S 1 PD07NOV17	2937.46	3272.00	USD	-8,363.50	
	NET 0 AVG BGHT 2856.95 SOLD 2851.86				**-14,369.50**	**0.00**
08AUG17	S 1 PD08NOV17	2867.00	3272.00	USD	-10,125.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
08AUG17	S 2 PD08NOV17	2867.50	3272.00	USD	-20,225.00	
08AUG17	S 1 PD08NOV17	2870.50	3272.00	USD	-10,037.50	
08AUG17	S 4 PD08NOV17	2870.00	3272.00	USD	-40,200.00	
08AUG17	S 1 PD08NOV17	2871.50	3272.00	USD	-10,012.50	
08AUG17	S 4 PD08NOV17	2878.50	3272.00	USD	-39,350.00	
08AUG17	S 4 PD08NOV17	2877.50	3272.00	USD	-39,450.00	
08AUG17	S 1 PD08NOV17	2875.50	3272.00	USD	-9,912.50	
08AUG17	S 3 PD08NOV17	2869.50	3272.00	USD	-30,187.50	
08AUG17	S 1 PD08NOV17	2872.50	3272.00	USD	-9,987.50	
08AUG17	S 2 PD08NOV17	2873.00	3272.00	USD	-19,950.00	
08AUG17	S 1 PD08NOV17	2873.50	3272.00	USD	-9,962.50	
08AUG17	S 2 PD08NOV17	2871.00	3272.00	USD	-20,050.00	
08AUG17	S 3 PD08NOV17	2872.00	3272.00	USD	-30,000.00	
08AUG17	B 1 PD08NOV17	2872.50	3272.00	USD	9,987.50	
08AUG17	S 5 PD08NOV17	2875.00	3272.00	USD	-49,625.00	
08AUG17	S 9 PD08NOV17	2876.50	3272.00	USD	-88,987.50	
08AUG17	S 1 PD08NOV17	2881.00	3272.00	USD	-9,775.00	
08AUG17	S 1 PD08NOV17	2882.00	3272.00	USD	-9,750.00	
08AUG17	B 2 PD08NOV17	2878.00	3272.00	USD	19,700.00	
08AUG17	B 1 PD08NOV17	2879.00	3272.00	USD	9,825.00	
08AUG17	S 1 PD08NOV17	2887.00	3272.00	USD	-9,625.00	
08AUG17	S 1 PD08NOV17	2882.00	3272.00	USD	-9,750.00	
08AUG17	S 2 PD08NOV17	2882.50	3272.00	USD	-19,475.00	
08AUG17	B 2 PD08NOV17	2875.00	3272.00	USD	19,850.00	
08AUG17	B 2 PD08NOV17	2873.50	3272.00	USD	19,925.00	
08AUG17	B 5 PD08NOV17	2873.00	3272.00	USD	49,875.00	
08AUG17	S 3 PD08NOV17	2878.00	3272.00	USD	-29,550.00	
08AUG17	B 1 PD08NOV17	2874.50	3272.00	USD	9,937.50	
08AUG17	B 2 PD08NOV17	2874.00	3272.00	USD	19,900.00	
08AUG17	B 1 PD08NOV17	2869.00	3272.00	USD	10,075.00	
08AUG17	B 1 PD08NOV17	2876.50	3272.00	USD	9,887.50	
08AUG17	S 1 PD08NOV17	2883.00	3272.00	USD	-9,725.00	
08AUG17	B 2 PD08NOV17	2871.00	3272.00	USD	20,050.00	
08AUG17	B 3 PD08NOV17	2870.50	3272.00	USD	30,112.50	
08AUG17	B 2 PD08NOV17	2868.50	3272.00	USD	20,175.00	
08AUG17	B 3 PD08NOV17	2867.50	3272.00	USD	30,337.50	
08AUG17	B 2 PD08NOV17	2866.00	3272.00	USD	20,300.00	
08AUG17	S 1 PD08NOV17	2866.00	3272.00	USD	-10,150.00	
08AUG17	S 3 PD08NOV17	2880.50	3272.00	USD	-29,362.50	
08AUG17	S 1 PD08NOV17	2881.50	3272.00	USD	-9,762.50	
08AUG17	S 1 PD08NOV17	2884.00	3272.00	USD	-9,700.00	
08AUG17	S 1 PD08NOV17	2884.50	3272.00	USD	-9,687.50	
08AUG17	S 1 PD08NOV17	2885.50	3272.00	USD	-9,662.50	
08AUG17	S 1 PD08NOV17	2887.00	3272.00	USD	-9,625.00	
08AUG17	S 2 PD08NOV17	2888.00	3272.00	USD	-19,200.00	
08AUG17	S 1 PD08NOV17	2888.50	3272.00	USD	-9,587.50	
08AUG17	S 2 PD08NOV17	2886.50	3272.00	USD	-19,275.00	
08AUG17	S 2 PD08NOV17	2889.00	3272.00	USD	-19,150.00	
08AUG17	S 2 PD08NOV17	2889.50	3272.00	USD	-19,125.00	
08AUG17	S 1 PD08NOV17	2890.50	3272.00	USD	-9,537.50	
08AUG17	S 2 PD08NOV17	2891.50	3272.00	USD	-19,025.00	
08AUG17	S 1 PD08NOV17	2893.50	3272.00	USD	-9,462.50	
08AUG17	S 1 PD08NOV17	2894.50	3272.00	USD	-9,437.50	
08AUG17	S 1 PD08NOV17	2895.50	3272.00	USD	-9,412.50	
08AUG17	S 1 PD08NOV17	2899.50	3272.00	USD	-9,312.50	
08AUG17	B 1 PD08NOV17	2895.00	3272.00	USD	9,425.00	
08AUG17	B 1 PD08NOV17	2898.50	3272.00	USD	9,337.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
08AUG17	B 3 PD08NOV17	2898.00	3272.00	USD	28,050.00	
08AUG17	B 1 PD08NOV17	2897.50	3272.00	USD	9,362.50	
08AUG17	B 1 PD08NOV17	2899.00	3272.00	USD	9,325.00	
08AUG17	S 1 PD08NOV17	2915.00	3272.00	USD	-8,925.00	
08AUG17	S 1 PD08NOV17	2910.50	3272.00	USD	-9,037.50	
08AUG17	S 1 PD08NOV17	2913.00	3272.00	USD	-8,975.00	
08AUG17	S 2 PD08NOV17	2912.00	3272.00	USD	-18,000.00	
08AUG17	S 3 PD08NOV17	2914.00	3272.00	USD	-26,850.00	
08AUG17	S 1 PD08NOV17	2914.50	3272.00	USD	-8,937.50	
08AUG17	B 1 PD08NOV17	2921.00	3272.00	USD	8,775.00	
08AUG17	B 1 PD08NOV17	2920.00	3272.00	USD	8,800.00	
08AUG17	B 1 PD08NOV17	2919.00	3272.00	USD	8,825.00	
08AUG17	B 1 PD08NOV17	2922.00	3272.00	USD	8,750.00	
08AUG17	B 3 PD08NOV17	2929.00	3272.00	USD	25,725.00	
08AUG17	B 3 PD08NOV17	2926.50	3272.00	USD	25,912.50	
08AUG17	B 1 PD08NOV17	2930.50	3272.00	USD	8,537.50	
08AUG17	B 1 PD08NOV17	2929.50	3272.00	USD	8,562.50	
08AUG17	B 1 PD08NOV17	2927.00	3272.00	USD	8,625.00	
08AUG17	S 1 PD08NOV17	2878.00	3272.00	USD	-9,850.00	
08AUG17	B 1 PD08NOV17	2879.50	3272.00	USD	9,812.50	
08AUG17	B 2 PD08NOV17	2926.00	3272.00	USD	17,300.00	
08AUG17	B 2 PD08NOV17	2924.00	3272.00	USD	17,400.00	
08AUG17	B 1 PD08NOV17	2923.50	3272.00	USD	8,712.50	
08AUG17	B 6 PD08NOV17	2925.50	3272.00	USD	51,975.00	
08AUG17	B 3 PD08NOV17	2925.00	3272.00	USD	26,025.00	
08AUG17	B 3 PD08NOV17	2930.00	3272.00	USD	25,650.00	
08AUG17	B 6 PD08NOV17	2928.50	3272.00	USD	51,525.00	
08AUG17	B 7 PD08NOV17	2928.00	3272.00	USD	60,200.00	
08AUG17	B 2 PD08NOV17	2927.50	3272.00	USD	17,225.00	
08AUG17	B 2 PD08NOV17	2925.50	3272.00	USD	17,325.00	
08AUG17	S 1 PD08NOV17	2936.00	3272.00	USD	-8,400.00	
08AUG17	S 1 PD08NOV17	2935.50	3272.00	USD	-8,412.50	
10AUG17	B 1 PD08NOV17	2937.84	3272.00	USD	8,354.00	
10AUG17	B 2 PD08NOV17	2937.64	3272.00	USD	16,718.00	
14AUG17	B 2 PD08NOV17	2916.04	3272.00	USD	17,798.00	
22AUG17	B 1 PD08NOV17	3118.56	3272.00	USD	3,836.00	
	NET 0 AVG BGHT 2908.13 SOLD 2883.62				**-55,769.00**	**0.00**
09AUG17	B 1 PD09NOV17	2936.50	3272.00	USD	8,387.50	
09AUG17	B 2 PD09NOV17	2939.50	3272.00	USD	16,625.00	
09AUG17	B 3 PD09NOV17	2941.00	3272.00	USD	24,825.00	
09AUG17	S 1 PD09NOV17	2944.00	3272.00	USD	-8,200.00	
09AUG17	S 2 PD09NOV17	2952.00	3272.00	USD	-16,000.00	
09AUG17	S 1 PD09NOV17	2951.00	3272.00	USD	-8,025.00	
09AUG17	S 2 PD09NOV17	2952.50	3272.00	USD	-15,975.00	
09AUG17	S 1 PD09NOV17	2954.00	3272.00	USD	-7,950.00	
09AUG17	S 3 PD09NOV17	2953.50	3272.00	USD	-23,887.50	
09AUG17	S 2 PD09NOV17	2955.50	3272.00	USD	-15,825.00	
09AUG17	S 2 PD09NOV17	2942.00	3272.00	USD	-16,500.00	
09AUG17	S 2 PD09NOV17	2945.00	3272.00	USD	-16,350.00	
09AUG17	S 1 PD09NOV17	2951.50	3272.00	USD	-8,012.50	
09AUG17	S 2 PD09NOV17	2950.50	3272.00	USD	-16,075.00	
09AUG17	S 2 PD09NOV17	2957.00	3272.00	USD	-15,750.00	
09AUG17	S 1 PD09NOV17	2958.00	3272.00	USD	-7,850.00	
09AUG17	S 1 PD09NOV17	2958.50	3272.00	USD	-7,837.50	
09AUG17	S 2 PD09NOV17	2961.00	3272.00	USD	-15,550.00	
09AUG17	S 1 PD09NOV17	2963.00	3272.00	USD	-7,725.00	
09AUG17	S 1 PD09NOV17	2963.50	3272.00	USD	-7,712.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
09AUG17	S 4 PD09NOV17	2964.00	3272.00	USD	-30,800.00	
09AUG17	S 3 PD09NOV17	2967.50	3272.00	USD	-22,837.50	
09AUG17	S 5 PD09NOV17	2966.50	3272.00	USD	-38,187.50	
09AUG17	B 1 PD09NOV17	2970.50	3272.00	USD	7,537.50	
09AUG17	S 1 PD09NOV17	2980.50	3272.00	USD	-7,287.50	
09AUG17	B 3 PD09NOV17	2967.50	3272.00	USD	22,837.50	
09AUG17	B 1 PD09NOV17	2965.00	3272.00	USD	7,675.00	
09AUG17	B 1 PD09NOV17	2963.00	3272.00	USD	7,725.00	
09AUG17	B 2 PD09NOV17	2961.50	3272.00	USD	15,525.00	
09AUG17	B 1 PD09NOV17	2961.00	3272.00	USD	7,775.00	
09AUG17	B 4 PD09NOV17	2962.00	3272.00	USD	31,000.00	
09AUG17	S 1 PD09NOV17	2959.00	3272.00	USD	-7,825.00	
09AUG17	B 2 PD09NOV17	2950.00	3272.00	USD	16,100.00	
09AUG17	B 1 PD09NOV17	2948.00	3272.00	USD	8,100.00	
09AUG17	B 2 PD09NOV17	2948.50	3272.00	USD	16,175.00	
09AUG17	B 2 PD09NOV17	2947.00	3272.00	USD	16,250.00	
09AUG17	S 3 PD09NOV17	2947.50	3272.00	USD	-24,337.50	
09AUG17	S 1 PD09NOV17	2948.00	3272.00	USD	-8,100.00	
09AUG17	B 2 PD09NOV17	2951.00	3272.00	USD	16,050.00	
09AUG17	B 9 PD09NOV17	2950.50	3272.00	USD	72,337.50	
09AUG17	B 3 PD09NOV17	2955.00	3272.00	USD	23,775.00	
09AUG17	B 1 PD09NOV17	2954.00	3272.00	USD	7,950.00	
09AUG17	S 2 PD09NOV17	2965.00	3272.00	USD	-15,350.00	
09AUG17	S 2 PD09NOV17	2956.00	3272.00	USD	-15,800.00	
09AUG17	S 1 PD09NOV17	2953.00	3272.00	USD	-7,975.00	
09AUG17	S 1 PD09NOV17	2962.00	3272.00	USD	-7,750.00	
09AUG17	S 7 PD09NOV17	2965.50	3272.00	USD	-53,637.50	
09AUG17	S 3 PD09NOV17	2966.00	3272.00	USD	-22,950.00	
09AUG17	S 1 PD09NOV17	2972.50	3272.00	USD	-7,487.50	
09AUG17	S 1 PD09NOV17	2973.00	3272.00	USD	-7,475.00	
09AUG17	S 2 PD09NOV17	2964.50	3272.00	USD	-15,375.00	
09AUG17	S 8 PD09NOV17	2967.00	3272.00	USD	-61,000.00	
09AUG17	S 1 PD09NOV17	2971.50	3272.00	USD	-7,512.50	
09AUG17	S 2 PD09NOV17	2975.00	3272.00	USD	-14,850.00	
09AUG17	B 3 PD09NOV17	2973.00	3272.00	USD	22,425.00	
09AUG17	S 1 PD09NOV17	2975.50	3272.00	USD	-7,412.50	
09AUG17	B 1 PD09NOV17	2966.00	3272.00	USD	7,650.00	
09AUG17	B 1 PD09NOV17	2964.00	3272.00	USD	7,700.00	
09AUG17	B 1 PD09NOV17	2952.50	3272.00	USD	7,987.50	
09AUG17	B 1 PD09NOV17	2952.00	3272.00	USD	8,000.00	
09AUG17	B 2 PD09NOV17	2956.00	3272.00	USD	15,800.00	
09AUG17	B 2 PD09NOV17	2959.00	3272.00	USD	15,650.00	
09AUG17	B 2 PD09NOV17	2957.50	3272.00	USD	15,725.00	
09AUG17	B 3 PD09NOV17	2957.00	3272.00	USD	23,625.00	
09AUG17	B 1 PD09NOV17	2958.00	3272.00	USD	7,850.00	
09AUG17	B 5 PD09NOV17	2956.50	3272.00	USD	39,437.50	
09AUG17	S 8 PD09NOV17	2955.00	3272.00	USD	-63,400.00	
09AUG17	B 1 PD09NOV17	2945.50	3272.00	USD	8,162.50	
09AUG17	B 1 PD09NOV17	2944.50	3272.00	USD	8,187.50	
09AUG17	B 2 PD09NOV17	2945.00	3272.00	USD	16,350.00	
09AUG17	B 1 PD09NOV17	2944.00	3272.00	USD	8,200.00	
09AUG17	B 4 PD09NOV17	2943.00	3272.00	USD	32,900.00	
09AUG17	B 3 PD09NOV17	2942.50	3272.00	USD	24,712.50	
09AUG17	B 2 PD09NOV17	2941.50	3272.00	USD	16,525.00	
09AUG17	B 5 PD09NOV17	2942.00	3272.00	USD	41,250.00	
09AUG17	S 2 PD09NOV17	2943.50	3272.00	USD	-16,425.00	
09AUG17	B 1 PD09NOV17	2937.50	3272.00	USD	8,362.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
09AUG17	S 2 PD09NOV17	2966.50	3272.00	USD	-15,275.00	
09AUG17	S 1 PD09NOV17	2954.50	3272.00	USD	-7,937.50	
09AUG17	S 1 PD09NOV17	2953.00	3272.00	USD	-7,975.00	
09AUG17	S 1 PD09NOV17	2964.50	3272.00	USD	-7,687.50	
09AUG17	S 1 PD09NOV17	2963.00	3272.00	USD	-7,725.00	
09AUG17	S 1 PD09NOV17	2940.50	3272.00	USD	-8,287.50	
09AUG17	B 2 PD09NOV17	2934.50	3272.00	USD	16,875.00	
09AUG17	B 1 PD09NOV17	2933.00	3272.00	USD	8,475.00	
09AUG17	B 3 PD09NOV17	2935.00	3272.00	USD	25,275.00	
09AUG17	B 2 PD09NOV17	2934.00	3272.00	USD	16,900.00	
09AUG17	B 1 PD09NOV17	2932.50	3272.00	USD	8,487.50	
09AUG17	B 1 PD09NOV17	2933.00	3272.00	USD	8,475.00	
09AUG17	B 1 PD09NOV17	2938.50	3272.00	USD	8,337.50	
10AUG17	S 2 PD09NOV17	2937.62	3272.00	USD	-16,719.00	
22AUG17	B 2 PD09NOV17	3118.69	3272.00	USD	7,665.50	
	NET 0 AVG BGHT 2953.82 SOLD 2959.25				**13,034.00**	**0.00**
10AUG17	B 1 PD10NOV17	2943.50	3272.00	USD	8,212.50	
10AUG17	B 2 PD10NOV17	2945.50	3272.00	USD	16,325.00	
10AUG17	B 1 PD10NOV17	2945.00	3272.00	USD	8,175.00	
10AUG17	S 1 PD10NOV17	2941.00	3272.00	USD	-8,275.00	
10AUG17	S 1 PD10NOV17	2947.00	3272.00	USD	-8,125.00	
10AUG17	B 1 PD10NOV17	2948.50	3272.00	USD	8,087.50	
10AUG17	B 5 PD10NOV17	2947.50	3272.00	USD	40,562.50	
10AUG17	B 2 PD10NOV17	2947.00	3272.00	USD	16,250.00	
10AUG17	B 2 PD10NOV17	2946.50	3272.00	USD	16,275.00	
10AUG17	S 1 PD10NOV17	2933.50	3272.00	USD	-8,462.50	
10AUG17	S 2 PD10NOV17	2934.00	3272.00	USD	-16,900.00	
10AUG17	S 2 PD10NOV17	2935.00	3272.00	USD	-16,850.00	
10AUG17	S 1 PD10NOV17	2937.50	3272.00	USD	-8,362.50	
10AUG17	B 1 PD10NOV17	2938.50	3272.00	USD	8,337.50	
10AUG17	B 1 PD10NOV17	2936.00	3272.00	USD	8,400.00	
10AUG17	B 3 PD10NOV17	2935.00	3272.00	USD	25,275.00	
10AUG17	B 1 PD10NOV17	2938.00	3272.00	USD	8,350.00	
10AUG17	S 1 PD10NOV17	2945.50	3272.00	USD	-8,162.50	
10AUG17	S 1 PD10NOV17	2946.50	3272.00	USD	-8,137.50	
10AUG17	S 1 PD10NOV17	2947.50	3272.00	USD	-8,112.50	
10AUG17	S 1 PD10NOV17	2946.00	3272.00	USD	-8,150.00	
10AUG17	S 5 PD10NOV17	2943.00	3272.00	USD	-41,125.00	
10AUG17	B 3 PD10NOV17	2943.00	3272.00	USD	24,675.00	
10AUG17	B 1 PD10NOV17	2942.50	3272.00	USD	8,237.50	
10AUG17	B 1 PD10NOV17	2952.00	3272.00	USD	8,000.00	
10AUG17	B 1 PD10NOV17	2948.00	3272.00	USD	8,100.00	
10AUG17	S 1 PD10NOV17	2950.00	3272.00	USD	-8,050.00	
10AUG17	S 1 PD10NOV17	2949.50	3272.00	USD	-8,062.50	
10AUG17	S 1 PD10NOV17	2948.50	3272.00	USD	-8,087.50	
10AUG17	S 1 PD10NOV17	2949.00	3272.00	USD	-8,075.00	
10AUG17	S 7 PD10NOV17	2945.00	3272.00	USD	-57,225.00	
10AUG17	S 3 PD10NOV17	2944.50	3272.00	USD	-24,562.50	
10AUG17	S 1 PD10NOV17	2937.50	3272.00	USD	-8,362.50	
10AUG17	S 1 PD10NOV17	2950.00	3272.00	USD	-8,050.00	
10AUG17	S 5 PD10NOV17	2942.00	3272.00	USD	-41,250.00	
10AUG17	S 1 PD10NOV17	2941.50	3272.00	USD	-8,262.50	
10AUG17	S 3 PD10NOV17	2943.00	3272.00	USD	-24,675.00	
10AUG17	S 3 PD10NOV17	2944.00	3272.00	USD	-24,600.00	
10AUG17	S 5 PD10NOV17	2942.00	3272.00	USD	-41,250.00	
10AUG17	S 3 PD10NOV17	2938.50	3272.00	USD	-25,012.50	
10AUG17	S 3 PD10NOV17	2939.00	3272.00	USD	-24,975.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
10AUG17	S 2 PD10NOV17	2939.50	3272.00	USD	-16,625.00	
10AUG17	S 2 PD10NOV17	2940.00	3272.00	USD	-16,600.00	
10AUG17	B 1 PD10NOV17	2925.00	3272.00	USD	8,675.00	
10AUG17	B 1 PD10NOV17	2920.00	3272.00	USD	8,800.00	
10AUG17	B 1 PD10NOV17	2917.50	3272.00	USD	8,862.50	
10AUG17	B 2 PD10NOV17	2915.00	3272.00	USD	17,850.00	
10AUG17	B 1 PD10NOV17	2916.50	3272.00	USD	8,887.50	
10AUG17	S 1 PD10NOV17	2925.00	3272.00	USD	-8,675.00	
10AUG17	B 1 PD10NOV17	2922.50	3272.00	USD	8,737.50	
10AUG17	B 1 PD10NOV17	2918.50	3272.00	USD	8,837.50	
10AUG17	S 1 PD10NOV17	2909.00	3272.00	USD	-9,075.00	
10AUG17	S 1 PD10NOV17	2915.00	3272.00	USD	-8,925.00	
10AUG17	S 1 PD10NOV17	2916.50	3272.00	USD	-8,887.50	
10AUG17	B 1 PD10NOV17	2916.00	3272.00	USD	8,900.00	
10AUG17	B 4 PD10NOV17	2924.50	3272.00	USD	34,750.00	
10AUG17	B 1 PD10NOV17	2913.00	3272.00	USD	8,975.00	
10AUG17	B 1 PD10NOV17	2912.50	3272.00	USD	8,987.50	
10AUG17	B 1 PD10NOV17	2924.50	3272.00	USD	8,687.50	
10AUG17	B 1 PD10NOV17	2942.00	3272.00	USD	8,250.00	
10AUG17	B 1 PD10NOV17	2941.00	3272.00	USD	8,275.00	
10AUG17	S 1 PD10NOV17	2951.50	3272.00	USD	-8,012.50	
10AUG17	S 2 PD10NOV17	2952.50	3272.00	USD	-15,975.00	
10AUG17	S 2 PD10NOV17	2951.00	3272.00	USD	-16,050.00	
10AUG17	S 2 PD10NOV17	2952.00	3272.00	USD	-16,000.00	
10AUG17	S 1 PD10NOV17	2953.00	3272.00	USD	-7,975.00	
10AUG17	B 1 PD10NOV17	2955.00	3272.00	USD	7,925.00	
10AUG17	S 1 PD10NOV17	2955.00	3272.00	USD	-7,925.00	
10AUG17	S 1 PD10NOV17	2948.00	3272.00	USD	-8,100.00	
10AUG17	S 2 PD10NOV17	2943.50	3272.00	USD	-16,425.00	
10AUG17	B 1 PD10NOV17	2939.50	3272.00	USD	8,312.50	
10AUG17	S 2 PD10NOV17	2940.50	3272.00	USD	-16,575.00	
10AUG17	B 1 PD10NOV17	2929.50	3272.00	USD	8,562.50	
10AUG17	B 1 PD10NOV17	2930.00	3272.00	USD	8,550.00	
10AUG17	B 1 PD10NOV17	2927.00	3272.00	USD	8,625.00	
10AUG17	B 1 PD10NOV17	2926.00	3272.00	USD	8,650.00	
10AUG17	B 1 PD10NOV17	2925.50	3272.00	USD	8,662.50	
11AUG17	B 1 PD10NOV17	2908.50	3272.00	USD	9,087.50	
11AUG17	B 1 PD10NOV17	2907.50	3272.00	USD	9,112.50	
11AUG17	S 1 PD10NOV17	2906.00	3272.00	USD	-9,150.00	
11AUG17	S 1 PD10NOV17	2902.00	3272.00	USD	-9,250.00	
11AUG17	S 1 PD10NOV17	2911.00	3272.00	USD	-9,025.00	
11AUG17	B 1 PD10NOV17	2891.50	3272.00	USD	9,512.50	
11AUG17	B 1 PD10NOV17	2891.00	3272.00	USD	9,525.00	
11AUG17	B 1 PD10NOV17	2906.50	3272.00	USD	9,137.50	
11AUG17	B 1 PD10NOV17	2903.00	3272.00	USD	9,225.00	
11AUG17	B 2 PD10NOV17	2902.00	3272.00	USD	18,500.00	
11AUG17	B 1 PD10NOV17	2898.00	3272.00	USD	9,350.00	
11AUG17	B 1 PD10NOV17	2899.50	3272.00	USD	9,312.50	
11AUG17	B 2 PD10NOV17	2898.50	3272.00	USD	18,675.00	
11AUG17	B 1 PD10NOV17	2896.50	3272.00	USD	9,387.50	
11AUG17	B 1 PD10NOV17	2895.50	3272.00	USD	9,412.50	
11AUG17	B 2 PD10NOV17	2895.00	3272.00	USD	18,850.00	
11AUG17	B 1 PD10NOV17	2894.00	3272.00	USD	9,450.00	
11AUG17	B 1 PD10NOV17	2897.00	3272.00	USD	9,375.00	
11AUG17	B 2 PD10NOV17	2890.50	3272.00	USD	19,075.00	
11AUG17	B 1 PD10NOV17	2889.50	3272.00	USD	9,562.50	
11AUG17	B 4 PD10NOV17	2890.00	3272.00	USD	38,200.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
11AUG17	S 4 PD10NOV17	2898.00	3272.00	USD	-37,400.00	
11AUG17	S 1 PD10NOV17	2902.50	3272.00	USD	-9,237.50	
11AUG17	S 5 PD10NOV17	2907.50	3272.00	USD	-45,562.50	
11AUG17	S 2 PD10NOV17	2908.00	3272.00	USD	-18,200.00	
11AUG17	S 1 PD10NOV17	2908.50	3272.00	USD	-9,087.50	
11AUG17	S 4 PD10NOV17	2913.00	3272.00	USD	-35,900.00	
11AUG17	S 2 PD10NOV17	2912.00	3272.00	USD	-18,000.00	
11AUG17	S 1 PD10NOV17	2914.00	3272.00	USD	-8,950.00	
11AUG17	S 2 PD10NOV17	2914.50	3272.00	USD	-17,875.00	
11AUG17	S 1 PD10NOV17	2915.00	3272.00	USD	-8,925.00	
11AUG17	S 1 PD10NOV17	2918.00	3272.00	USD	-8,850.00	
11AUG17	S 1 PD10NOV17	2918.50	3272.00	USD	-8,837.50	
11AUG17	S 1 PD10NOV17	2920.50	3272.00	USD	-8,787.50	
11AUG17	S 2 PD10NOV17	2916.00	3272.00	USD	-17,800.00	
11AUG17	S 2 PD10NOV17	2917.00	3272.00	USD	-17,750.00	
11AUG17	S 2 PD10NOV17	2915.50	3272.00	USD	-17,825.00	
11AUG17	S 1 PD10NOV17	2921.00	3272.00	USD	-8,775.00	
11AUG17	S 1 PD10NOV17	2923.00	3272.00	USD	-8,725.00	
11AUG17	S 1 PD10NOV17	2923.50	3272.00	USD	-8,712.50	
11AUG17	S 4 PD10NOV17	2919.00	3272.00	USD	-35,300.00	
11AUG17	S 2 PD10NOV17	2920.00	3272.00	USD	-17,600.00	
11AUG17	B 5 PD10NOV17	2922.00	3272.00	USD	43,750.00	
11AUG17	S 2 PD10NOV17	2919.50	3272.00	USD	-17,625.00	
11AUG17	S 1 PD10NOV17	2922.50	3272.00	USD	-8,737.50	
11AUG17	B 1 PD10NOV17	2912.50	3272.00	USD	8,987.50	
11AUG17	B 2 PD10NOV17	2905.50	3272.00	USD	18,325.00	
11AUG17	B 1 PD10NOV17	2907.00	3272.00	USD	9,125.00	
11AUG17	B 4 PD10NOV17	2905.00	3272.00	USD	36,700.00	
11AUG17	B 1 PD10NOV17	2904.00	3272.00	USD	9,200.00	
11AUG17	B 1 PD10NOV17	2913.50	3272.00	USD	8,962.50	
11AUG17	B 2 PD10NOV17	2913.00	3272.00	USD	17,950.00	
11AUG17	B 1 PD10NOV17	2915.00	3272.00	USD	8,925.00	
11AUG17	B 1 PD10NOV17	2911.50	3272.00	USD	9,012.50	
11AUG17	B 1 PD10NOV17	2909.50	3272.00	USD	9,062.50	
11AUG17	B 2 PD10NOV17	2910.00	3272.00	USD	18,100.00	
11AUG17	B 2 PD10NOV17	2909.00	3272.00	USD	18,150.00	
11AUG17	B 2 PD10NOV17	2906.00	3272.00	USD	18,300.00	
11AUG17	B 5 PD10NOV17	2904.50	3272.00	USD	45,937.50	
11AUG17	B 2 PD10NOV17	2905.50	3272.00	USD	18,325.00	
11AUG17	B 3 PD10NOV17	2902.50	3272.00	USD	27,712.50	
11AUG17	B 6 PD10NOV17	2903.50	3272.00	USD	55,275.00	
11AUG17	B 2 PD10NOV17	2894.50	3272.00	USD	18,875.00	
11AUG17	B 1 PD10NOV17	2892.00	3272.00	USD	9,500.00	
11AUG17	S 1 PD10NOV17	2904.50	3272.00	USD	-9,187.50	
11AUG17	S 1 PD10NOV17	2906.50	3272.00	USD	-9,137.50	
11AUG17	S 5 PD10NOV17	2904.00	3272.00	USD	-46,000.00	
11AUG17	S 2 PD10NOV17	2905.00	3272.00	USD	-18,350.00	
11AUG17	S 3 PD10NOV17	2909.00	3272.00	USD	-27,225.00	
11AUG17	S 2 PD10NOV17	2909.50	3272.00	USD	-18,125.00	
11AUG17	B 1 PD10NOV17	2912.00	3272.00	USD	9,000.00	
11AUG17	B 2 PD10NOV17	2909.00	3272.00	USD	18,150.00	
11AUG17	S 4 PD10NOV17	2910.00	3272.00	USD	-36,200.00	
11AUG17	B 3 PD10NOV17	2899.00	3272.00	USD	27,975.00	
11AUG17	B 4 PD10NOV17	2900.00	3272.00	USD	37,200.00	
11AUG17	B 3 PD10NOV17	2901.50	3272.00	USD	27,787.50	
11AUG17	B 3 PD10NOV17	2900.50	3272.00	USD	27,862.50	
11AUG17	S 1 PD10NOV17	2903.50	3272.00	USD	-9,212.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
11AUG17	B 1 PD10NOV17	2902.50	3272.00	USD	9,237.50	
14AUG17	S 2 PD10NOV17	2916.16	3272.00	USD	-17,792.00	
22AUG17	B 8 PD10NOV17	3118.83	3272.00	USD	30,634.00	
	NET 0 AVG BGHT 2926.03 SOLD 2927.86				**6,692.00**	**0.00**
14AUG17	S 1 PD14NOV17	2910.50	3270.33	USD	-8,995.75	
14AUG17	S 1 PD14NOV17	2918.50	3270.33	USD	-8,795.75	
14AUG17	S 3 PD14NOV17	2920.00	3270.33	USD	-26,274.75	
14AUG17	B 4 PD14NOV17	2913.50	3270.33	USD	35,683.00	
14AUG17	B 1 PD14NOV17	2912.50	3270.33	USD	8,945.75	
14AUG17	B 1 PD14NOV17	2911.00	3270.33	USD	8,983.25	
14AUG17	B 3 PD14NOV17	2909.00	3270.33	USD	27,099.75	
14AUG17	B 1 PD14NOV17	2908.00	3270.33	USD	9,058.25	
14AUG17	B 1 PD14NOV17	2907.50	3270.33	USD	9,070.75	
14AUG17	B 3 PD14NOV17	2912.00	3270.33	USD	26,874.75	
14AUG17	S 1 PD14NOV17	2913.00	3270.33	USD	-8,933.25	
14AUG17	B 2 PD14NOV17	2915.00	3270.33	USD	17,766.50	
14AUG17	S 1 PD14NOV17	2910.00	3270.33	USD	-9,008.25	
14AUG17	S 1 PD14NOV17	2906.50	3270.33	USD	-9,095.75	
14AUG17	B 1 PD14NOV17	2905.50	3270.33	USD	9,120.75	
14AUG17	S 3 PD14NOV17	2912.00	3270.33	USD	-26,874.75	
14AUG17	S 3 PD14NOV17	2917.50	3270.33	USD	-26,462.25	
14AUG17	S 2 PD14NOV17	2915.00	3270.33	USD	-17,766.50	
14AUG17	B 1 PD14NOV17	2914.50	3270.33	USD	8,895.75	
14AUG17	S 1 PD14NOV17	2916.00	3270.33	USD	-8,858.25	
14AUG17	S 1 PD14NOV17	2917.00	3270.33	USD	-8,833.25	
14AUG17	B 2 PD14NOV17	2904.00	3270.33	USD	18,316.50	
14AUG17	B 4 PD14NOV17	2903.00	3270.33	USD	36,733.00	
14AUG17	S 2 PD14NOV17	2911.50	3270.33	USD	-17,941.50	
14AUG17	S 2 PD14NOV17	2912.50	3270.33	USD	-17,891.50	
14AUG17	S 2 PD14NOV17	2913.50	3270.33	USD	-17,841.50	
14AUG17	S 1 PD14NOV17	2915.50	3270.33	USD	-8,870.75	
14AUG17	B 1 PD14NOV17	2903.50	3270.33	USD	9,170.75	
14AUG17	B 2 PD14NOV17	2905.00	3270.33	USD	18,266.50	
14AUG17	S 5 PD14NOV17	2914.00	3270.33	USD	-44,541.25	
14AUG17	S 1 PD14NOV17	2914.50	3270.33	USD	-8,895.75	
14AUG17	S 3 PD14NOV17	2916.50	3270.33	USD	-26,537.25	
14AUG17	B 2 PD14NOV17	2919.50	3270.33	USD	17,541.50	
14AUG17	B 1 PD14NOV17	2922.50	3270.33	USD	8,695.75	
14AUG17	B 1 PD14NOV17	2922.00	3270.33	USD	8,708.25	
14AUG17	B 2 PD14NOV17	2920.00	3270.33	USD	17,516.50	
14AUG17	B 1 PD14NOV17	2920.00	3270.33	USD	8,758.25	
14AUG17	S 1 PD14NOV17	2924.50	3270.33	USD	-8,645.75	
22AUG17	S 1 PD14NOV17	3119.17	3270.33	USD	-3,779.00	
29AUG17	B 2 PD14NOV17	3109.75	3270.33	USD	8,029.00	
	NET 0 AVG BGHT 2922.29 SOLD 2920.50				**-1,608.25**	**0.00**
15AUG17	S 1 PD15NOV17	2953.00	3269.50	USD	-7,912.50	
15AUG17	B 1 PD15NOV17	2940.00	3269.50	USD	8,237.50	
15AUG17	S 1 PD15NOV17	2960.00	3269.50	USD	-7,737.50	
15AUG17	B 2 PD15NOV17	2960.00	3269.50	USD	15,475.00	
15AUG17	B 1 PD15NOV17	2939.00	3269.50	USD	8,262.50	
15AUG17	B 1 PD15NOV17	2937.00	3269.50	USD	8,312.50	
15AUG17	S 1 PD15NOV17	2944.50	3269.50	USD	-8,125.00	
15AUG17	B 1 PD15NOV17	2951.00	3269.50	USD	7,962.50	
15AUG17	S 1 PD15NOV17	2957.00	3269.50	USD	-7,812.50	
15AUG17	B 1 PD15NOV17	2955.00	3269.50	USD	7,862.50	
22AUG17	S 3 PD15NOV17	3119.30	3269.50	USD	-11,265.00	
	NET 0 AVG BGHT 2948.86 SOLD 3024.63				**13,260.00**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
21AUG17	S 1 PD21NOV17	3165.00	3264.83	USD	-2,495.75	
21AUG17	B 1 PD21NOV17	3155.00	3264.83	USD	2,745.75	
21AUG17	S 1 PD21NOV17	3170.00	3264.83	USD	-2,370.75	
21AUG17	S 1 PD21NOV17	3179.50	3264.83	USD	-2,133.25	
21AUG17	S 1 PD21NOV17	3171.50	3264.83	USD	-2,333.25	
21AUG17	B 2 PD21NOV17	3174.50	3264.83	USD	4,516.50	
21AUG17	B 6 PD21NOV17	3173.50	3264.83	USD	13,699.50	
21AUG17	S 4 PD21NOV17	3173.50	3264.83	USD	-9,133.00	
21AUG17	S 1 PD21NOV17	3172.50	3264.83	USD	-2,308.25	
21AUG17	S 2 PD21NOV17	3165.50	3264.83	USD	-4,966.50	
21AUG17	S 1 PD21NOV17	3166.50	3264.83	USD	-2,458.25	
21AUG17	S 2 PD21NOV17	3167.00	3264.83	USD	-4,891.50	
21AUG17	B 1 PD21NOV17	3171.50	3264.83	USD	2,333.25	
21AUG17	B 1 PD21NOV17	3165.00	3264.83	USD	2,495.75	
21AUG17	B 1 PD21NOV17	3164.50	3264.83	USD	2,508.25	
21AUG17	B 1 PD21NOV17	3160.50	3264.83	USD	2,608.25	
21AUG17	B 1 PD21NOV17	3146.00	3264.83	USD	2,970.75	
21AUG17	B 1 PD21NOV17	3148.50	3264.83	USD	2,908.25	
21AUG17	B 1 PD21NOV17	3156.00	3264.83	USD	2,720.75	
21AUG17	B 1 PD21NOV17	3150.00	3264.83	USD	2,870.75	
21AUG17	S 1 PD21NOV17	3145.00	3264.83	USD	-2,995.75	
21AUG17	B 2 PD21NOV17	3149.00	3264.83	USD	5,791.50	
21AUG17	B 1 PD21NOV17	3153.50	3264.83	USD	2,783.25	
21AUG17	B 3 PD21NOV17	3154.00	3264.83	USD	8,312.25	
21AUG17	S 1 PD21NOV17	3151.50	3264.83	USD	-2,833.25	
21AUG17	B 1 PD21NOV17	3133.00	3264.83	USD	3,295.75	
21AUG17	B 2 PD21NOV17	3150.50	3264.83	USD	5,716.50	
21AUG17	B 2 PD21NOV17	3149.50	3264.83	USD	5,766.50	
21AUG17	B 1 PD21NOV17	3147.00	3264.83	USD	2,945.75	
21AUG17	B 2 PD21NOV17	3151.00	3264.83	USD	5,691.50	
21AUG17	B 2 PD21NOV17	3143.50	3264.83	USD	6,066.50	
21AUG17	B 4 PD21NOV17	3146.50	3264.83	USD	11,833.00	
21AUG17	S 1 PD21NOV17	3141.00	3264.83	USD	-3,095.75	
21AUG17	S 2 PD21NOV17	3153.50	3264.83	USD	-5,566.50	
21AUG17	S 1 PD21NOV17	3134.00	3264.83	USD	-3,270.75	
21AUG17	B 1 PD21NOV17	3132.50	3264.83	USD	3,308.25	
21AUG17	S 2 PD21NOV17	3152.00	3264.83	USD	-5,641.50	
21AUG17	S 1 PD21NOV17	3150.00	3264.83	USD	-2,870.75	
21AUG17	S 1 PD21NOV17	3150.50	3264.83	USD	-2,858.25	
21AUG17	S 2 PD21NOV17	3141.50	3264.83	USD	-6,166.50	
21AUG17	S 3 PD21NOV17	3138.50	3264.83	USD	-9,474.75	
21AUG17	S 1 PD21NOV17	3145.50	3264.83	USD	-2,983.25	
21AUG17	S 1 PD21NOV17	3144.00	3264.83	USD	-3,020.75	
21AUG17	S 1 PD21NOV17	3143.00	3264.83	USD	-3,045.75	
21AUG17	B 2 PD21NOV17	3126.50	3264.83	USD	6,916.50	
21AUG17	S 9 PD21NOV17	3140.00	3264.83	USD	-28,086.75	
21AUG17	S 2 PD21NOV17	3143.50	3264.83	USD	-6,066.50	
21AUG17	S 3 PD21NOV17	3141.00	3264.83	USD	-9,287.25	
21AUG17	S 3 PD21NOV17	3139.50	3264.83	USD	-9,399.75	
21AUG17	S 2 PD21NOV17	3152.50	3264.83	USD	-5,616.50	
21AUG17	S 1 PD21NOV17	3156.00	3264.83	USD	-2,720.75	
21AUG17	S 3 PD21NOV17	3156.50	3264.83	USD	-8,124.75	
21AUG17	S 1 PD21NOV17	3158.00	3264.83	USD	-2,670.75	
21AUG17	B 1 PD21NOV17	3144.00	3264.83	USD	3,020.75	
21AUG17	B 1 PD21NOV17	3138.50	3264.83	USD	3,158.25	
21AUG17	B 1 PD21NOV17	3145.50	3264.83	USD	2,983.25	
21AUG17	B 1 PD21NOV17	3145.00	3264.83	USD	2,995.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
21AUG17	B 1 PD21NOV17	3142.50	3264.83	USD	3,058.25	
21AUG17	B 1 PD21NOV17	3141.00	3264.83	USD	3,095.75	
21AUG17	B 4 PD21NOV17	3141.50	3264.83	USD	12,333.00	
21AUG17	B 1 PD21NOV17	3140.50	3264.83	USD	3,108.25	
21AUG17	B 1 PD21NOV17	3137.50	3264.83	USD	3,183.25	
21AUG17	B 1 PD21NOV17	3139.50	3264.83	USD	3,133.25	
21AUG17	B 1 PD21NOV17	3125.00	3264.83	USD	3,495.75	
21AUG17	B 2 PD21NOV17	3126.00	3264.83	USD	6,941.50	
21AUG17	B 1 PD21NOV17	3123.50	3264.83	USD	3,533.25	
21AUG17	B 1 PD21NOV17	3123.00	3264.83	USD	3,545.75	
21AUG17	B 3 PD21NOV17	3122.50	3264.83	USD	10,674.75	
21AUG17	B 4 PD21NOV17	3125.50	3264.83	USD	13,933.00	
21AUG17	S 1 PD21NOV17	3142.00	3264.83	USD	-3,070.75	
21AUG17	S 4 PD21NOV17	3139.00	3264.83	USD	-12,583.00	
21AUG17	S 1 PD21NOV17	3135.50	3264.83	USD	-3,233.25	
21AUG17	S 7 PD21NOV17	3138.00	3264.83	USD	-22,195.25	
21AUG17	S 2 PD21NOV17	3134.50	3264.83	USD	-6,516.50	
21AUG17	B 1 PD21NOV17	3132.00	3264.83	USD	3,320.75	
21AUG17	B 1 PD21NOV17	3130.50	3264.83	USD	3,358.25	
21AUG17	B 1 PD21NOV17	3134.00	3264.83	USD	3,270.75	
21AUG17	B 4 PD21NOV17	3134.50	3264.83	USD	13,033.00	
21AUG17	S 2 PD21NOV17	3137.00	3264.83	USD	-6,391.50	
21AUG17	S 4 PD21NOV17	3137.50	3264.83	USD	-12,733.00	
21AUG17	B 2 PD21NOV17	3124.50	3264.83	USD	7,016.50	
21AUG17	B 1 PD21NOV17	3122.00	3264.83	USD	3,570.75	
21AUG17	B 1 PD21NOV17	3116.00	3264.83	USD	3,720.75	
21AUG17	B 1 PD21NOV17	3115.50	3264.83	USD	3,733.25	
21AUG17	S 3 PD21NOV17	3117.50	3264.83	USD	-11,049.75	
21AUG17	S 3 PD21NOV17	3117.00	3264.83	USD	-11,087.25	
21AUG17	S 2 PD21NOV17	3115.50	3264.83	USD	-7,466.50	
21AUG17	S 2 PD21NOV17	3114.50	3264.83	USD	-7,516.50	
21AUG17	S 3 PD21NOV17	3116.50	3264.83	USD	-11,124.75	
21AUG17	S 1 PD21NOV17	3116.00	3264.83	USD	-3,720.75	
21AUG17	S 1 PD21NOV17	3126.00	3264.83	USD	-3,470.75	
21AUG17	S 1 PD21NOV17	3127.50	3264.83	USD	-3,433.25	
21AUG17	B 5 PD21NOV17	3129.50	3264.83	USD	16,916.25	
21AUG17	B 4 PD21NOV17	3129.00	3264.83	USD	13,583.00	
21AUG17	B 4 PD21NOV17	3128.50	3264.83	USD	13,633.00	
21AUG17	S 1 PD21NOV17	3129.50	3264.83	USD	-3,383.25	
21AUG17	S 1 PD21NOV17	3131.00	3264.83	USD	-3,345.75	
21AUG17	B 4 PD21NOV17	3128.00	3264.83	USD	13,683.00	
21AUG17	S 1 PD21NOV17	3115.50	3264.83	USD	-3,733.25	
21AUG17	B 2 PD21NOV17	3129.50	3264.83	USD	6,766.50	
22AUG17	S 7 PD21NOV17	3118.40	3264.83	USD	-25,625.25	
29AUG17	B 7 PD21NOV17	3108.14	3264.83	USD	27,420.75	
	NET 0 AVG BGHT 3138.22 SOLD 3140.34				**5,458.00**	**0.00**
22AUG17	S 1 PD22NOV17	3116.50	3264.50	USD	-3,700.00	
22AUG17	S 1 PD22NOV17	3121.00	3264.50	USD	-3,587.50	
22AUG17	B 2 PD22NOV17	3122.00	3264.50	USD	7,125.00	
22AUG17	S 1 PD22NOV17	3119.50	3264.50	USD	-3,625.00	
22AUG17	S 1 PD22NOV17	3128.50	3264.50	USD	-3,400.00	
22AUG17	S 1 PD22NOV17	3129.50	3264.50	USD	-3,375.00	
22AUG17	S 2 PD22NOV17	3134.50	3264.50	USD	-6,500.00	
22AUG17	B 4 PD22NOV17	3148.50	3264.50	USD	11,600.00	
22AUG17	B 3 PD22NOV17	3143.50	3264.50	USD	9,075.00	
22AUG17	S 2 PD22NOV17	3130.50	3264.50	USD	-6,700.00	
22AUG17	S 1 PD22NOV17	3135.50	3264.50	USD	-3,225.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
22AUG17	S 1 PD22NOV17	3136.50	3264.50	USD	-3,200.00	
22AUG17	S 1 PD22NOV17	3138.50	3264.50	USD	-3,150.00	
22AUG17	S 3 PD22NOV17	3139.00	3264.50	USD	-9,412.50	
22AUG17	S 1 PD22NOV17	3137.50	3264.50	USD	-3,175.00	
22AUG17	S 4 PD22NOV17	3137.00	3264.50	USD	-12,750.00	
22AUG17	S 4 PD22NOV17	3138.00	3264.50	USD	-12,650.00	
22AUG17	S 1 PD22NOV17	3139.50	3264.50	USD	-3,125.00	
22AUG17	S 1 PD22NOV17	3147.50	3264.50	USD	-2,925.00	
22AUG17	S 1 PD22NOV17	3148.50	3264.50	USD	-2,900.00	
22AUG17	S 2 PD22NOV17	3150.00	3264.50	USD	-5,725.00	
22AUG17	S 1 PD22NOV17	3155.00	3264.50	USD	-2,737.50	
22AUG17	S 1 PD22NOV17	3156.50	3264.50	USD	-2,700.00	
22AUG17	S 1 PD22NOV17	3158.50	3264.50	USD	-2,650.00	
22AUG17	S 1 PD22NOV17	3162.00	3264.50	USD	-2,562.50	
22AUG17	S 1 PD22NOV17	3161.50	3264.50	USD	-2,575.00	
22AUG17	S 3 PD22NOV17	3161.00	3264.50	USD	-7,762.50	
22AUG17	S 1 PD22NOV17	3159.50	3264.50	USD	-2,625.00	
22AUG17	S 1 PD22NOV17	3152.50	3264.50	USD	-2,800.00	
22AUG17	S 1 PD22NOV17	3154.00	3264.50	USD	-2,762.50	
22AUG17	B 6 PD22NOV17	3145.50	3264.50	USD	17,850.00	
22AUG17	B 1 PD22NOV17	3144.50	3264.50	USD	3,000.00	
22AUG17	B 4 PD22NOV17	3143.00	3264.50	USD	12,150.00	
22AUG17	B 2 PD22NOV17	3142.00	3264.50	USD	6,125.00	
22AUG17	B 1 PD22NOV17	3145.00	3264.50	USD	2,987.50	
22AUG17	B 1 PD22NOV17	3149.00	3264.50	USD	2,887.50	
22AUG17	B 1 PD22NOV17	3148.00	3264.50	USD	2,912.50	
22AUG17	S 1 PD22NOV17	3120.00	3264.50	USD	-3,612.50	
22AUG17	S 1 PD22NOV17	3122.50	3264.50	USD	-3,550.00	
22AUG17	S 1 PD22NOV17	3147.50	3264.50	USD	-2,925.00	
22AUG17	S 2 PD22NOV17	3152.50	3264.50	USD	-5,600.00	
22AUG17	S 1 PD22NOV17	3149.50	3264.50	USD	-2,875.00	
22AUG17	B 1 PD22NOV17	3151.50	3264.50	USD	2,825.00	
22AUG17	B 2 PD22NOV17	3150.00	3264.50	USD	5,725.00	
22AUG17	B 2 PD22NOV17	3152.00	3264.50	USD	5,625.00	
22AUG17	S 2 PD22NOV17	3158.00	3264.50	USD	-5,325.00	
22AUG17	B 1 PD22NOV17	3157.50	3264.50	USD	2,675.00	
22AUG17	S 2 PD22NOV17	3163.00	3264.50	USD	-5,075.00	
22AUG17	B 2 PD22NOV17	3150.50	3264.50	USD	5,700.00	
22AUG17	B 1 PD22NOV17	3139.00	3264.50	USD	3,137.50	
22AUG17	B 2 PD22NOV17	3138.00	3264.50	USD	6,325.00	
22AUG17	B 1 PD22NOV17	3133.50	3264.50	USD	3,275.00	
22AUG17	B 1 PD22NOV17	3135.00	3264.50	USD	3,237.50	
22AUG17	B 1 PD22NOV17	3132.50	3264.50	USD	3,300.00	
22AUG17	B 1 PD22NOV17	3131.00	3264.50	USD	3,337.50	
22AUG17	B 1 PD22NOV17	3130.00	3264.50	USD	3,362.50	
22AUG17	S 1 PD22NOV17	3135.00	3264.50	USD	-3,237.50	
22AUG17	S 4 PD22NOV17	3136.00	3264.50	USD	-12,850.00	
22AUG17	B 2 PD22NOV17	3125.50	3264.50	USD	6,950.00	
22AUG17	B 2 PD22NOV17	3127.00	3264.50	USD	6,875.00	
22AUG17	B 2 PD22NOV17	3131.50	3264.50	USD	6,650.00	
22AUG17	B 1 PD22NOV17	3130.50	3264.50	USD	3,350.00	
22AUG17	B 1 PD22NOV17	3126.50	3264.50	USD	3,450.00	
22AUG17	B 2 PD22NOV17	3123.50	3264.50	USD	7,050.00	
22AUG17	B 1 PD22NOV17	3122.50	3264.50	USD	3,550.00	
22AUG17	B 1 PD22NOV17	3121.00	3264.50	USD	3,587.50	
22AUG17	B 1 PD22NOV17	3118.50	3264.50	USD	3,650.00	
22AUG17	B 1 PD22NOV17	3117.50	3264.50	USD	3,675.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
22AUG17	B 3 PD22NOV17	3128.50	3264.50	USD	10,200.00	
22AUG17	B 4 PD22NOV17	3124.50	3264.50	USD	14,000.00	
22AUG17	B 2 PD22NOV17	3123.00	3264.50	USD	7,075.00	
22AUG17	B 4 PD22NOV17	3121.50	3264.50	USD	14,300.00	
22AUG17	B 1 PD22NOV17	3119.00	3264.50	USD	3,637.50	
22AUG17	B 2 PD22NOV17	3119.50	3264.50	USD	7,250.00	
22AUG17	B 3 PD22NOV17	3120.50	3264.50	USD	10,800.00	
22AUG17	B 1 PD22NOV17	3120.00	3264.50	USD	3,612.50	
22AUG17	B 3 PD22NOV17	3124.00	3264.50	USD	10,537.50	
22AUG17	B 2 PD22NOV17	3116.00	3264.50	USD	7,425.00	
22AUG17	B 2 PD22NOV17	3115.00	3264.50	USD	7,475.00	
22AUG17	B 1 PD22NOV17	3114.50	3264.50	USD	3,750.00	
22AUG17	S 1 PD22NOV17	3128.00	3264.50	USD	-3,412.50	
22AUG17	S 1 PD22NOV17	3113.50	3264.50	USD	-3,775.00	
22AUG17	S 1 PD22NOV17	3115.00	3264.50	USD	-3,737.50	
22AUG17	S 1 PD22NOV17	3117.50	3264.50	USD	-3,675.00	
22AUG17	B 1 PD22NOV17	3104.00	3264.50	USD	4,012.50	
22AUG17	B 1 PD22NOV17	3102.50	3264.50	USD	4,050.00	
22AUG17	S 1 PD22NOV17	3163.00	3264.50	USD	-2,537.50	
22AUG17	B 1 PD22NOV17	3151.00	3264.50	USD	2,837.50	
22AUG17	S 1 PD22NOV17	3120.50	3264.50	USD	-3,600.00	
22AUG17	S 1 PD22NOV17	3121.50	3264.50	USD	-3,575.00	
22AUG17	S 3 PD22NOV17	3122.00	3264.50	USD	-10,687.50	
29AUG17	S 9 PD22NOV17	3108.23	3264.50	USD	-35,160.75	
29AUG17	S 12 PD22NOV17	3108.43	3264.50	USD	-46,821.00	
	NET 0 AVG BGHT 3132.41 SOLD 3132.25				**-344.25**	**0.00**
23AUG17	S 1 PD24NOV17	3119.50	3264.71	USD	-3,630.25	
23AUG17	S 1 PD24NOV17	3118.50	3264.71	USD	-3,655.25	
23AUG17	S 1 PD24NOV17	3116.50	3264.71	USD	-3,705.25	
23AUG17	S 1 PD24NOV17	3117.50	3264.71	USD	-3,680.25	
23AUG17	S 2 PD24NOV17	3118.00	3264.71	USD	-7,335.50	
23AUG17	S 4 PD24NOV17	3119.00	3264.71	USD	-14,571.00	
23AUG17	S 1 PD24NOV17	3117.00	3264.71	USD	-3,692.75	
23AUG17	S 1 PD24NOV17	3129.00	3264.71	USD	-3,392.75	
23AUG17	S 1 PD24NOV17	3133.00	3264.71	USD	-3,292.75	
23AUG17	S 1 PD24NOV17	3134.50	3264.71	USD	-3,255.25	
23AUG17	S 3 PD24NOV17	3135.00	3264.71	USD	-9,728.25	
23AUG17	B 1 PD24NOV17	3130.00	3264.71	USD	3,367.75	
23AUG17	B 4 PD24NOV17	3128.00	3264.71	USD	13,671.00	
23AUG17	B 1 PD24NOV17	3127.50	3264.71	USD	3,430.25	
23AUG17	B 1 PD24NOV17	3127.00	3264.71	USD	3,442.75	
23AUG17	B 2 PD24NOV17	3126.00	3264.71	USD	6,935.50	
23AUG17	B 1 PD24NOV17	3125.50	3264.71	USD	3,480.25	
23AUG17	S 3 PD24NOV17	3124.00	3264.71	USD	-10,553.25	
23AUG17	S 1 PD24NOV17	3123.00	3264.71	USD	-3,542.75	
23AUG17	S 1 PD24NOV17	3128.00	3264.71	USD	-3,417.75	
23AUG17	S 2 PD24NOV17	3127.00	3264.71	USD	-6,885.50	
23AUG17	S 1 PD24NOV17	3124.50	3264.71	USD	-3,505.25	
23AUG17	S 1 PD24NOV17	3125.50	3264.71	USD	-3,480.25	
23AUG17	S 3 PD24NOV17	3125.00	3264.71	USD	-10,478.25	
23AUG17	S 2 PD24NOV17	3126.00	3264.71	USD	-6,935.50	
23AUG17	S 1 PD24NOV17	3121.50	3264.71	USD	-3,580.25	
23AUG17	S 3 PD24NOV17	3120.50	3264.71	USD	-10,815.75	
23AUG17	S 1 PD24NOV17	3120.00	3264.71	USD	-3,617.75	
23AUG17	S 1 PD24NOV17	3121.00	3264.71	USD	-3,592.75	
23AUG17	B 1 PD24NOV17	3141.50	3264.71	USD	3,080.25	
23AUG17	S 1 PD24NOV17	3146.00	3264.71	USD	-2,967.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
23AUG17	S 1 PD24NOV17	3143.50	3264.71	USD	-3,030.25	
23AUG17	S 1 PD24NOV17	3142.50	3264.71	USD	-3,055.25	
23AUG17	B 1 PD24NOV17	3136.00	3264.71	USD	3,217.75	
23AUG17	B 1 PD24NOV17	3135.00	3264.71	USD	3,242.75	
23AUG17	B 1 PD24NOV17	3134.50	3264.71	USD	3,255.25	
23AUG17	B 2 PD24NOV17	3137.50	3264.71	USD	6,360.50	
23AUG17	B 1 PD24NOV17	3138.50	3264.71	USD	3,155.25	
23AUG17	S 1 PD24NOV17	3138.50	3264.71	USD	-3,155.25	
23AUG17	S 1 PD24NOV17	3139.00	3264.71	USD	-3,142.75	
23AUG17	S 1 PD24NOV17	3120.50	3264.71	USD	-3,605.25	
23AUG17	B 1 PD24NOV17	3124.00	3264.71	USD	3,517.75	
23AUG17	B 6 PD24NOV17	3123.00	3264.71	USD	21,256.50	
23AUG17	B 2 PD24NOV17	3122.50	3264.71	USD	7,110.50	
23AUG17	B 1 PD24NOV17	3122.00	3264.71	USD	3,567.75	
23AUG17	B 1 PD24NOV17	3121.00	3264.71	USD	3,592.75	
23AUG17	B 1 PD24NOV17	3120.50	3264.71	USD	3,605.25	
23AUG17	B 2 PD24NOV17	3118.00	3264.71	USD	7,335.50	
23AUG17	B 3 PD24NOV17	3117.50	3264.71	USD	11,040.75	
23AUG17	B 4 PD24NOV17	3118.50	3264.71	USD	14,621.00	
23AUG17	B 2 PD24NOV17	3120.00	3264.71	USD	7,235.50	
23AUG17	B 6 PD24NOV17	3119.00	3264.71	USD	21,856.50	
23AUG17	B 3 PD24NOV17	3119.50	3264.71	USD	10,890.75	
23AUG17	B 1 PD24NOV17	3115.00	3264.71	USD	3,742.75	
23AUG17	B 1 PD24NOV17	3116.00	3264.71	USD	3,717.75	
23AUG17	B 1 PD24NOV17	3113.50	3264.71	USD	3,780.25	
23AUG17	B 1 PD24NOV17	3113.00	3264.71	USD	3,792.75	
23AUG17	B 1 PD24NOV17	3110.00	3264.71	USD	3,867.75	
23AUG17	B 2 PD24NOV17	3108.00	3264.71	USD	7,835.50	
23AUG17	B 1 PD24NOV17	3107.50	3264.71	USD	3,930.25	
23AUG17	B 1 PD24NOV17	3106.00	3264.71	USD	3,967.75	
23AUG17	B 1 PD24NOV17	3098.00	3264.71	USD	4,167.75	
23AUG17	B 1 PD24NOV17	3097.50	3264.71	USD	4,180.25	
23AUG17	B 3 PD24NOV17	3094.00	3264.71	USD	12,803.25	
23AUG17	B 1 PD24NOV17	3092.00	3264.71	USD	4,317.75	
23AUG17	B 1 PD24NOV17	3088.50	3264.71	USD	4,405.25	
23AUG17	B 1 PD24NOV17	3090.00	3264.71	USD	4,367.75	
23AUG17	S 1 PD24NOV17	3096.50	3264.71	USD	-4,205.25	
23AUG17	B 2 PD24NOV17	3097.00	3264.71	USD	8,385.50	
23AUG17	S 1 PD24NOV17	3098.50	3264.71	USD	-4,155.25	
23AUG17	B 1 PD24NOV17	3098.50	3264.71	USD	4,155.25	
23AUG17	B 1 PD24NOV17	3096.50	3264.71	USD	4,205.25	
23AUG17	B 2 PD24NOV17	3093.50	3264.71	USD	8,560.50	
23AUG17	B 1 PD24NOV17	3095.50	3264.71	USD	4,230.25	
23AUG17	B 1 PD24NOV17	3096.00	3264.71	USD	4,217.75	
23AUG17	S 1 PD24NOV17	3104.00	3264.71	USD	-4,017.75	
23AUG17	B 4 PD24NOV17	3099.00	3264.71	USD	16,571.00	
23AUG17	B 2 PD24NOV17	3100.00	3264.71	USD	8,235.50	
23AUG17	S 1 PD24NOV17	3103.00	3264.71	USD	-4,042.75	
23AUG17	S 3 PD24NOV17	3105.00	3264.71	USD	-11,978.25	
23AUG17	S 1 PD24NOV17	3109.50	3264.71	USD	-3,880.25	
24AUG17	S 2 PD24NOV17	3111.50	3264.71	USD	-7,660.50	
24AUG17	B 1 PD24NOV17	3114.50	3264.71	USD	3,755.25	
24AUG17	B 1 PD24NOV17	3114.00	3264.71	USD	3,767.75	
24AUG17	B 1 PD24NOV17	3111.50	3264.71	USD	3,830.25	
24AUG17	B 1 PD24NOV17	3097.00	3264.71	USD	4,192.75	
24AUG17	S 1 PD24NOV17	3115.00	3264.71	USD	-3,742.75	
24AUG17	S 2 PD24NOV17	3109.00	3264.71	USD	-7,785.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
24AUG17	S 1 PD24NOV17	3110.00	3264.71	USD	-3,867.75	
24AUG17	S 2 PD24NOV17	3109.50	3264.71	USD	-7,760.50	
24AUG17	S 2 PD24NOV17	3112.00	3264.71	USD	-7,635.50	
24AUG17	B 1 PD24NOV17	3101.00	3264.71	USD	4,092.75	
24AUG17	B 1 PD24NOV17	3103.50	3264.71	USD	4,030.25	
24AUG17	B 2 PD24NOV17	3104.50	3264.71	USD	8,010.50	
24AUG17	B 1 PD24NOV17	3115.00	3264.71	USD	3,742.75	
24AUG17	S 1 PD24NOV17	3115.50	3264.71	USD	-3,730.25	
24AUG17	S 2 PD24NOV17	3112.50	3264.71	USD	-7,610.50	
24AUG17	S 2 PD24NOV17	3113.50	3264.71	USD	-7,560.50	
24AUG17	S 1 PD24NOV17	3114.00	3264.71	USD	-3,767.75	
24AUG17	S 3 PD24NOV17	3114.50	3264.71	USD	-11,265.75	
24AUG17	S 2 PD24NOV17	3118.50	3264.71	USD	-7,310.50	
24AUG17	S 4 PD24NOV17	3116.50	3264.71	USD	-14,821.00	
24AUG17	S 3 PD24NOV17	3118.00	3264.71	USD	-11,003.25	
24AUG17	S 6 PD24NOV17	3117.50	3264.71	USD	-22,081.50	
24AUG17	S 4 PD24NOV17	3120.50	3264.71	USD	-14,421.00	
24AUG17	S 1 PD24NOV17	3120.00	3264.71	USD	-3,617.75	
24AUG17	S 7 PD24NOV17	3119.00	3264.71	USD	-25,499.25	
24AUG17	S 5 PD24NOV17	3116.00	3264.71	USD	-18,588.75	
24AUG17	S 6 PD24NOV17	3121.00	3264.71	USD	-21,556.50	
24AUG17	S 1 PD24NOV17	3123.50	3264.71	USD	-3,530.25	
24AUG17	S 1 PD24NOV17	3117.00	3264.71	USD	-3,692.75	
24AUG17	B 1 PD24NOV17	3117.00	3264.71	USD	3,692.75	
24AUG17	B 1 PD24NOV17	3133.00	3264.71	USD	3,292.75	
24AUG17	S 1 PD24NOV17	3132.00	3264.71	USD	-3,317.75	
24AUG17	B 1 PD24NOV17	3124.00	3264.71	USD	3,517.75	
24AUG17	B 1 PD24NOV17	3118.50	3264.71	USD	3,655.25	
24AUG17	B 5 PD24NOV17	3120.00	3264.71	USD	18,088.75	
24AUG17	B 2 PD24NOV17	3119.00	3264.71	USD	7,285.50	
24AUG17	B 2 PD24NOV17	3118.00	3264.71	USD	7,335.50	
24AUG17	B 1 PD24NOV17	3116.50	3264.71	USD	3,705.25	
24AUG17	B 1 PD24NOV17	3111.00	3264.71	USD	3,842.75	
24AUG17	B 1 PD24NOV17	3112.50	3264.71	USD	3,805.25	
24AUG17	B 5 PD24NOV17	3113.50	3264.71	USD	18,901.25	
24AUG17	B 1 PD24NOV17	3112.00	3264.71	USD	3,817.75	
24AUG17	B 1 PD24NOV17	3110.00	3264.71	USD	3,867.75	
24AUG17	B 3 PD24NOV17	3108.50	3264.71	USD	11,715.75	
24AUG17	B 1 PD24NOV17	3107.50	3264.71	USD	3,930.25	
24AUG17	B 3 PD24NOV17	3107.00	3264.71	USD	11,828.25	
24AUG17	B 1 PD24NOV17	3109.00	3264.71	USD	3,892.75	
24AUG17	B 2 PD24NOV17	3105.50	3264.71	USD	7,960.50	
24AUG17	B 2 PD24NOV17	3105.00	3264.71	USD	7,985.50	
24AUG17	B 2 PD24NOV17	3102.50	3264.71	USD	8,110.50	
24AUG17	B 3 PD24NOV17	3106.00	3264.71	USD	11,903.25	
25AUG17	S 3 PD24NOV17	3130.00	3264.71	USD	-10,103.25	
25AUG17	S 1 PD24NOV17	3122.00	3264.71	USD	-3,567.75	
25AUG17	S 1 PD24NOV17	3122.50	3264.71	USD	-3,555.25	
25AUG17	S 1 PD24NOV17	3123.00	3264.71	USD	-3,542.75	
25AUG17	S 1 PD24NOV17	3128.00	3264.71	USD	-3,417.75	
25AUG17	S 1 PD24NOV17	3125.50	3264.71	USD	-3,480.25	
25AUG17	S 1 PD24NOV17	3126.50	3264.71	USD	-3,455.25	
25AUG17	S 1 PD24NOV17	3132.00	3264.71	USD	-3,317.75	
25AUG17	B 1 PD24NOV17	3130.50	3264.71	USD	3,355.25	
25AUG17	S 3 PD24NOV17	3126.00	3264.71	USD	-10,403.25	
25AUG17	S 4 PD24NOV17	3131.50	3264.71	USD	-13,321.00	
25AUG17	S 1 PD24NOV17	3132.50	3264.71	USD	-3,305.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
25AUG17	S 1 PD24NOV17	3133.00	3264.71	USD	-3,292.75	
25AUG17	S 1 PD24NOV17	3135.00	3264.71	USD	-3,242.75	
25AUG17	S 1 PD24NOV17	3135.50	3264.71	USD	-3,230.25	
25AUG17	S 3 PD24NOV17	3127.50	3264.71	USD	-10,290.75	
25AUG17	S 2 PD24NOV17	3128.50	3264.71	USD	-6,810.50	
25AUG17	S 1 PD24NOV17	3129.00	3264.71	USD	-3,392.75	
25AUG17	S 1 PD24NOV17	3130.50	3264.71	USD	-3,355.25	
25AUG17	S 2 PD24NOV17	3129.50	3264.71	USD	-6,760.50	
25AUG17	B 1 PD24NOV17	3113.00	3264.71	USD	3,792.75	
25AUG17	B 1 PD24NOV17	3109.00	3264.71	USD	3,892.75	
25AUG17	B 1 PD24NOV17	3107.50	3264.71	USD	3,930.25	
25AUG17	B 1 PD24NOV17	3108.00	3264.71	USD	3,917.75	
25AUG17	B 3 PD24NOV17	3110.50	3264.71	USD	11,565.75	
25AUG17	B 1 PD24NOV17	3110.00	3264.71	USD	3,867.75	
25AUG17	B 2 PD24NOV17	3096.00	3264.71	USD	8,435.50	
25AUG17	B 2 PD24NOV17	3083.50	3264.71	USD	9,060.50	
25AUG17	B 1 PD24NOV17	3078.00	3264.71	USD	4,667.75	
25AUG17	B 1 PD24NOV17	3078.50	3264.71	USD	4,655.25	
25AUG17	B 2 PD24NOV17	3079.50	3264.71	USD	9,260.50	
25AUG17	B 1 PD24NOV17	3064.50	3264.71	USD	5,005.25	
25AUG17	B 1 PD24NOV17	3066.00	3264.71	USD	4,967.75	
25AUG17	B 1 PD24NOV17	3077.00	3264.71	USD	4,692.75	
25AUG17	B 1 PD24NOV17	3068.50	3264.71	USD	4,905.25	
25AUG17	B 1 PD24NOV17	3066.50	3264.71	USD	4,955.25	
25AUG17	B 2 PD24NOV17	3065.00	3264.71	USD	9,985.50	
25AUG17	B 1 PD24NOV17	3070.50	3264.71	USD	4,855.25	
25AUG17	B 1 PD24NOV17	3068.00	3264.71	USD	4,917.75	
25AUG17	S 1 PD24NOV17	3079.00	3264.71	USD	-4,642.75	
25AUG17	S 2 PD24NOV17	3078.50	3264.71	USD	-9,310.50	
25AUG17	S 2 PD24NOV17	3079.50	3264.71	USD	-9,260.50	
25AUG17	S 1 PD24NOV17	3081.50	3264.71	USD	-4,580.25	
25AUG17	S 1 PD24NOV17	3080.00	3264.71	USD	-4,617.75	
25AUG17	S 2 PD24NOV17	3082.00	3264.71	USD	-9,135.50	
25AUG17	S 1 PD24NOV17	3086.50	3264.71	USD	-4,455.25	
25AUG17	S 1 PD24NOV17	3088.50	3264.71	USD	-4,405.25	
25AUG17	S 1 PD24NOV17	3090.00	3264.71	USD	-4,367.75	
25AUG17	S 2 PD24NOV17	3092.00	3264.71	USD	-8,635.50	
25AUG17	S 8 PD24NOV17	3090.50	3264.71	USD	-34,842.00	
25AUG17	S 4 PD24NOV17	3091.50	3264.71	USD	-17,321.00	
25AUG17	S 3 PD24NOV17	3092.50	3264.71	USD	-12,915.75	
25AUG17	S 2 PD24NOV17	3091.00	3264.71	USD	-8,685.50	
25AUG17	S 1 PD24NOV17	3089.50	3264.71	USD	-4,380.25	
25AUG17	B 1 PD24NOV17	3122.50	3264.71	USD	3,555.25	
25AUG17	B 1 PD24NOV17	3088.50	3264.71	USD	4,405.25	
25AUG17	B 2 PD24NOV17	3069.00	3264.71	USD	9,785.50	
25AUG17	B 2 PD24NOV17	3069.50	3264.71	USD	9,760.50	
25AUG17	B 1 PD24NOV17	3062.50	3264.71	USD	5,055.25	
25AUG17	B 1 PD24NOV17	3063.50	3264.71	USD	5,030.25	
25AUG17	B 1 PD24NOV17	3060.50	3264.71	USD	5,105.25	
25AUG17	B 1 PD24NOV17	3056.50	3264.71	USD	5,205.25	
25AUG17	B 1 PD24NOV17	3057.50	3264.71	USD	5,180.25	
25AUG17	S 1 PD24NOV17	3061.00	3264.71	USD	-5,092.75	
25AUG17	S 1 PD24NOV17	3062.00	3264.71	USD	-5,067.75	
25AUG17	B 1 PD24NOV17	3053.50	3264.71	USD	5,280.25	
25AUG17	S 2 PD24NOV17	3059.50	3264.71	USD	-10,260.50	
25AUG17	S 1 PD24NOV17	3064.50	3264.71	USD	-5,005.25	
25AUG17	S 1 PD24NOV17	3066.50	3264.71	USD	-4,955.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
25AUG17	S	1	PD24NOV17	3068.00	3264.71	USD	-4,917.75	
25AUG17	S	1	PD24NOV17	3070.50	3264.71	USD	-4,855.25	
25AUG17	S	1	PD24NOV17	3065.50	3264.71	USD	-4,980.25	
29AUG17	B	12	PD24NOV17	3109.20	3264.71	USD	46,653.00	
06SEP17	B	3	PD24NOV17	3093.25	3264.71	USD	12,859.50	
	NET	**0**	**AVG BGHT 3106.51**	**SOLD 3112.63**			**27,883.75**	**0.00**
29AUG17	S	1	PD29NOV17	3099.00	3265.25	USD	-4,156.25	
29AUG17	S	1	PD29NOV17	3097.50	3265.25	USD	-4,193.75	
29AUG17	S	2	PD29NOV17	3108.50	3265.25	USD	-7,837.50	
29AUG17	B	2	PD29NOV17	3112.50	3265.25	USD	7,637.50	
29AUG17	S	1	PD29NOV17	3105.50	3265.25	USD	-3,993.75	
29AUG17	S	1	PD29NOV17	3086.50	3265.25	USD	-4,468.75	
29AUG17	S	1	PD29NOV17	3090.00	3265.25	USD	-4,381.25	
29AUG17	S	1	PD29NOV17	3094.00	3265.25	USD	-4,281.25	
29AUG17	B	1	PD29NOV17	3096.00	3265.25	USD	4,231.25	
29AUG17	S	1	PD29NOV17	3098.50	3265.25	USD	-4,168.75	
29AUG17	S	1	PD29NOV17	3099.50	3265.25	USD	-4,143.75	
29AUG17	S	1	PD29NOV17	3100.50	3265.25	USD	-4,118.75	
29AUG17	S	1	PD29NOV17	3101.00	3265.25	USD	-4,106.25	
29AUG17	S	1	PD29NOV17	3105.00	3265.25	USD	-4,006.25	
29AUG17	S	2	PD29NOV17	3103.00	3265.25	USD	-8,112.50	
29AUG17	S	2	PD29NOV17	3104.50	3265.25	USD	-8,037.50	
29AUG17	B	1	PD29NOV17	3106.50	3265.25	USD	3,968.75	
29AUG17	B	1	PD29NOV17	3107.00	3265.25	USD	3,956.25	
29AUG17	S	1	PD29NOV17	3111.50	3265.25	USD	-3,843.75	
29AUG17	S	3	PD29NOV17	3112.50	3265.25	USD	-11,456.25	
29AUG17	S	1	PD29NOV17	3109.50	3265.25	USD	-3,893.75	
29AUG17	B	1	PD29NOV17	3094.50	3265.25	USD	4,268.75	
29AUG17	S	2	PD29NOV17	3112.00	3265.25	USD	-7,662.50	
29AUG17	S	1	PD29NOV17	3119.00	3265.25	USD	-3,656.25	
29AUG17	B	1	PD29NOV17	3115.00	3265.25	USD	3,756.25	
29AUG17	B	1	PD29NOV17	3114.50	3265.25	USD	3,768.75	
29AUG17	B	1	PD29NOV17	3113.00	3265.25	USD	3,806.25	
29AUG17	B	2	PD29NOV17	3118.50	3265.25	USD	7,337.50	
29AUG17	B	2	PD29NOV17	3118.00	3265.25	USD	7,362.50	
29AUG17	B	1	PD29NOV17	3115.50	3265.25	USD	3,743.75	
29AUG17	B	1	PD29NOV17	3120.50	3265.25	USD	3,618.75	
29AUG17	B	3	PD29NOV17	3121.00	3265.25	USD	10,818.75	
29AUG17	B	1	PD29NOV17	3120.00	3265.25	USD	3,631.25	
29AUG17	B	2	PD29NOV17	3122.00	3265.25	USD	7,162.50	
29AUG17	B	1	PD29NOV17	3113.50	3265.25	USD	3,793.75	
29AUG17	B	1	PD29NOV17	3121.00	3265.25	USD	3,606.25	
29AUG17	B	3	PD29NOV17	3120.00	3265.25	USD	10,893.75	
29AUG17	B	1	PD29NOV17	3105.50	3265.25	USD	3,993.75	
29AUG17	B	2	PD29NOV17	3099.00	3265.25	USD	8,312.50	
29AUG17	B	1	PD29NOV17	3102.00	3265.25	USD	4,081.25	
29AUG17	B	1	PD29NOV17	3097.50	3265.25	USD	4,193.75	
29AUG17	B	1	PD29NOV17	3099.50	3265.25	USD	4,143.75	
29AUG17	B	1	PD29NOV17	3109.50	3265.25	USD	3,893.75	
29AUG17	B	1	PD29NOV17	3108.50	3265.25	USD	3,918.75	
29AUG17	B	1	PD29NOV17	3110.50	3265.25	USD	3,868.75	
29AUG17	B	2	PD29NOV17	3111.50	3265.25	USD	7,687.50	
06SEP17	S	3	PD29NOV17	3093.05	3265.25	USD	-12,915.00	
06SEP17	S	9	PD29NOV17	3093.25	3265.25	USD	-38,700.00	
	NET	**0**	**AVG BGHT 3112.32**	**SOLD 3100.78**			**-10,677.50**	**0.00**
30AUG17	S	1	PD30NOV17	3131.50	3265.36	USD	-3,346.50	
30AUG17	S	1	PD30NOV17	3132.00	3265.36	USD	-3,334.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
30AUG17	S 1 PD30NOV17	3137.50	3265.36	USD	-3,196.50	
30AUG17	S 1 PD30NOV17	3139.50	3265.36	USD	-3,146.50	
30AUG17	S 2 PD30NOV17	3139.00	3265.36	USD	-6,318.00	
30AUG17	S 1 PD30NOV17	3141.00	3265.36	USD	-3,109.00	
30AUG17	S 2 PD30NOV17	3144.50	3265.36	USD	-6,043.00	
30AUG17	S 2 PD30NOV17	3145.00	3265.36	USD	-6,018.00	
30AUG17	B 1 PD30NOV17	3148.00	3265.36	USD	2,934.00	
30AUG17	S 3 PD30NOV17	3144.00	3265.36	USD	-9,102.00	
30AUG17	S 1 PD30NOV17	3147.50	3265.36	USD	-2,946.50	
30AUG17	S 1 PD30NOV17	3150.00	3265.36	USD	-2,884.00	
30AUG17	B 1 PD30NOV17	3151.00	3265.36	USD	2,859.00	
30AUG17	S 1 PD30NOV17	3151.50	3265.36	USD	-2,846.50	
30AUG17	S 1 PD30NOV17	3146.00	3265.36	USD	-2,984.00	
30AUG17	S 1 PD30NOV17	3151.00	3265.36	USD	-2,859.00	
30AUG17	S 2 PD30NOV17	3150.50	3265.36	USD	-5,743.00	
30AUG17	S 2 PD30NOV17	3148.50	3265.36	USD	-5,843.00	
30AUG17	S 2 PD30NOV17	3149.00	3265.36	USD	-5,818.00	
30AUG17	B 1 PD30NOV17	3141.50	3265.36	USD	3,096.50	
30AUG17	B 1 PD30NOV17	3144.00	3265.36	USD	3,034.00	
30AUG17	B 3 PD30NOV17	3142.50	3265.36	USD	9,214.50	
30AUG17	B 1 PD30NOV17	3142.00	3265.36	USD	3,084.00	
30AUG17	B 2 PD30NOV17	3139.50	3265.36	USD	6,293.00	
30AUG17	B 3 PD30NOV17	3139.00	3265.36	USD	9,477.00	
30AUG17	B 2 PD30NOV17	3136.50	3265.36	USD	6,443.00	
30AUG17	B 2 PD30NOV17	3137.50	3265.36	USD	6,393.00	
30AUG17	B 1 PD30NOV17	3136.00	3265.36	USD	3,234.00	
30AUG17	S 1 PD30NOV17	3134.00	3265.36	USD	-3,284.00	
30AUG17	S 2 PD30NOV17	3130.50	3265.36	USD	-6,743.00	
30AUG17	B 1 PD30NOV17	3121.00	3265.36	USD	3,609.00	
30AUG17	S 1 PD30NOV17	3126.00	3265.36	USD	-3,484.00	
30AUG17	S 1 PD30NOV17	3145.50	3265.36	USD	-2,996.50	
30AUG17	B 1 PD30NOV17	3144.50	3265.36	USD	3,021.50	
30AUG17	S 1 PD30NOV17	3147.50	3265.36	USD	-2,946.50	
30AUG17	B 1 PD30NOV17	3149.00	3265.36	USD	2,909.00	
30AUG17	S 1 PD30NOV17	3149.00	3265.36	USD	-2,909.00	
30AUG17	S 1 PD30NOV17	3124.00	3265.36	USD	-3,534.00	
30AUG17	S 2 PD30NOV17	3129.50	3265.36	USD	-6,793.00	
30AUG17	S 2 PD30NOV17	3128.50	3265.36	USD	-6,843.00	
30AUG17	S 2 PD30NOV17	3127.00	3265.36	USD	-6,918.00	
30AUG17	S 4 PD30NOV17	3128.00	3265.36	USD	-13,736.00	
30AUG17	S 5 PD30NOV17	3127.50	3265.36	USD	-17,232.50	
30AUG17	S 2 PD30NOV17	3124.50	3265.36	USD	-7,043.00	
30AUG17	B 2 PD30NOV17	3111.00	3265.36	USD	7,718.00	
30AUG17	S 2 PD30NOV17	3113.50	3265.36	USD	-7,593.00	
30AUG17	B 2 PD30NOV17	3110.00	3265.36	USD	7,768.00	
30AUG17	B 1 PD30NOV17	3109.00	3265.36	USD	3,909.00	
30AUG17	B 1 PD30NOV17	3108.50	3265.36	USD	3,921.50	
30AUG17	B 2 PD30NOV17	3106.50	3265.36	USD	7,943.00	
30AUG17	B 1 PD30NOV17	3102.50	3265.36	USD	4,071.50	
30AUG17	B 1 PD30NOV17	3102.00	3265.36	USD	4,084.00	
30AUG17	S 2 PD30NOV17	3104.00	3265.36	USD	-8,068.00	
30AUG17	S 1 PD30NOV17	3110.50	3265.36	USD	-3,871.50	
30AUG17	B 1 PD30NOV17	3106.00	3265.36	USD	3,984.00	
30AUG17	S 1 PD30NOV17	3108.50	3265.36	USD	-3,921.50	
30AUG17	S 1 PD30NOV17	3112.50	3265.36	USD	-3,821.50	
30AUG17	S 1 PD30NOV17	3128.00	3265.36	USD	-3,434.00	
30AUG17	B 1 PD30NOV17	3112.50	3265.36	USD	3,821.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
30AUG17	B 1 PD30NOV17	3095.00	3265.36	USD	4,259.00	
30AUG17	B 1 PD30NOV17	3093.50	3265.36	USD	4,296.50	
30AUG17	B 1 PD30NOV17	3093.00	3265.36	USD	4,309.00	
30AUG17	B 2 PD30NOV17	3095.50	3265.36	USD	8,493.00	
30AUG17	B 1 PD30NOV17	3094.00	3265.36	USD	4,284.00	
30AUG17	B 2 PD30NOV17	3094.50	3265.36	USD	8,543.00	
30AUG17	B 1 PD30NOV17	3092.00	3265.36	USD	4,334.00	
30AUG17	B 1 PD30NOV17	3091.50	3265.36	USD	4,346.50	
30AUG17	B 2 PD30NOV17	3097.00	3265.36	USD	8,418.00	
30AUG17	S 1 PD30NOV17	3096.50	3265.36	USD	-4,221.50	
30AUG17	S 1 PD30NOV17	3093.50	3265.36	USD	-4,296.50	
30AUG17	S 1 PD30NOV17	3092.50	3265.36	USD	-4,321.50	
30AUG17	B 1 PD30NOV17	3084.50	3265.36	USD	4,521.50	
30AUG17	B 1 PD30NOV17	3086.00	3265.36	USD	4,484.00	
30AUG17	S 1 PD30NOV17	3086.00	3265.36	USD	-4,484.00	
30AUG17	S 3 PD30NOV17	3085.00	3265.36	USD	-13,527.00	
30AUG17	B 1 PD30NOV17	3090.00	3265.36	USD	4,384.00	
30AUG17	B 1 PD30NOV17	3096.00	3265.36	USD	4,234.00	
30AUG17	B 1 PD30NOV17	3095.50	3265.36	USD	4,246.50	
30AUG17	S 1 PD30NOV17	3084.00	3265.36	USD	-4,534.00	
30AUG17	S 1 PD30NOV17	3084.50	3265.36	USD	-4,521.50	
31AUG17	S 1 PD30NOV17	3110.00	3265.36	USD	-3,884.00	
31AUG17	S 1 PD30NOV17	3109.00	3265.36	USD	-3,909.00	
31AUG17	S 2 PD30NOV17	3109.50	3265.36	USD	-7,793.00	
31AUG17	B 1 PD30NOV17	3109.00	3265.36	USD	3,909.00	
31AUG17	S 1 PD30NOV17	3103.00	3265.36	USD	-4,059.00	
31AUG17	S 2 PD30NOV17	3103.50	3265.36	USD	-8,093.00	
31AUG17	S 1 PD30NOV17	3102.50	3265.36	USD	-4,071.50	
31AUG17	S 1 PD30NOV17	3106.50	3265.36	USD	-3,971.50	
31AUG17	S 2 PD30NOV17	3105.00	3265.36	USD	-8,018.00	
31AUG17	S 2 PD30NOV17	3105.50	3265.36	USD	-7,993.00	
31AUG17	S 1 PD30NOV17	3107.00	3265.36	USD	-3,959.00	
31AUG17	S 1 PD30NOV17	3107.50	3265.36	USD	-3,946.50	
31AUG17	S 4 PD30NOV17	3106.00	3265.36	USD	-15,936.00	
31AUG17	S 1 PD30NOV17	3123.50	3265.36	USD	-3,546.50	
31AUG17	S 3 PD30NOV17	3119.50	3265.36	USD	-10,939.50	
31AUG17	S 1 PD30NOV17	3120.50	3265.36	USD	-3,621.50	
31AUG17	S 3 PD30NOV17	3114.50	3265.36	USD	-11,314.50	
31AUG17	S 1 PD30NOV17	3115.50	3265.36	USD	-3,746.50	
31AUG17	S 2 PD30NOV17	3115.00	3265.36	USD	-7,518.00	
31AUG17	S 2 PD30NOV17	3121.00	3265.36	USD	-7,218.00	
31AUG17	S 1 PD30NOV17	3122.50	3265.36	USD	-3,571.50	
31AUG17	S 1 PD30NOV17	3124.00	3265.36	USD	-3,534.00	
31AUG17	S 5 PD30NOV17	3124.50	3265.36	USD	-17,607.50	
31AUG17	S 1 PD30NOV17	3125.50	3265.36	USD	-3,496.50	
31AUG17	S 1 PD30NOV17	3125.00	3265.36	USD	-3,509.00	
31AUG17	B 1 PD30NOV17	3130.00	3265.36	USD	3,384.00	
31AUG17	S 2 PD30NOV17	3130.00	3265.36	USD	-6,768.00	
31AUG17	S 1 PD30NOV17	3126.00	3265.36	USD	-3,484.00	
31AUG17	B 1 PD30NOV17	3128.00	3265.36	USD	3,434.00	
31AUG17	S 2 PD30NOV17	3129.50	3265.36	USD	-6,793.00	
31AUG17	S 1 PD30NOV17	3131.00	3265.36	USD	-3,359.00	
31AUG17	S 1 PD30NOV17	3126.50	3265.36	USD	-3,471.50	
31AUG17	S 1 PD30NOV17	3124.50	3265.36	USD	-3,521.50	
31AUG17	B 1 PD30NOV17	3124.50	3265.36	USD	3,521.50	
31AUG17	B 1 PD30NOV17	3126.00	3265.36	USD	3,484.00	
31AUG17	S 1 PD30NOV17	3128.00	3265.36	USD	-3,434.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
31AUG17	S 1 PD30NOV17	3126.00	3265.36	USD	-3,484.00	
31AUG17	S 1 PD30NOV17	3126.50	3265.36	USD	-3,471.50	
31AUG17	S 1 PD30NOV17	3128.50	3265.36	USD	-3,421.50	
31AUG17	S 1 PD30NOV17	3135.00	3265.36	USD	-3,259.00	
31AUG17	S 2 PD30NOV17	3141.50	3265.36	USD	-6,193.00	
31AUG17	S 2 PD30NOV17	3122.00	3265.36	USD	-7,168.00	
31AUG17	S 1 PD30NOV17	3159.50	3265.36	USD	-2,646.50	
31AUG17	B 1 PD30NOV17	3156.00	3265.36	USD	2,734.00	
31AUG17	B 1 PD30NOV17	3157.50	3265.36	USD	2,696.50	
31AUG17	B 1 PD30NOV17	3158.00	3265.36	USD	2,684.00	
31AUG17	B 1 PD30NOV17	3149.50	3265.36	USD	2,896.50	
31AUG17	B 1 PD30NOV17	3148.00	3265.36	USD	2,934.00	
31AUG17	S 1 PD30NOV17	3147.50	3265.36	USD	-2,946.50	
31AUG17	B 1 PD30NOV17	3147.00	3265.36	USD	2,959.00	
31AUG17	B 2 PD30NOV17	3145.00	3265.36	USD	6,018.00	
31AUG17	B 1 PD30NOV17	3144.00	3265.36	USD	3,034.00	
31AUG17	B 1 PD30NOV17	3142.50	3265.36	USD	3,071.50	
31AUG17	B 1 PD30NOV17	3142.00	3265.36	USD	3,084.00	
31AUG17	B 1 PD30NOV17	3141.50	3265.36	USD	3,096.50	
31AUG17	B 1 PD30NOV17	3138.50	3265.36	USD	3,171.50	
31AUG17	B 1 PD30NOV17	3133.50	3265.36	USD	3,296.50	
31AUG17	B 1 PD30NOV17	3129.50	3265.36	USD	3,396.50	
31AUG17	B 1 PD30NOV17	3132.00	3265.36	USD	3,334.00	
31AUG17	B 2 PD30NOV17	3132.50	3265.36	USD	6,643.00	
31AUG17	B 1 PD30NOV17	3134.00	3265.36	USD	3,284.00	
31AUG17	B 3 PD30NOV17	3133.00	3265.36	USD	9,927.00	
31AUG17	B 1 PD30NOV17	3137.50	3265.36	USD	3,196.50	
31AUG17	B 1 PD30NOV17	3139.50	3265.36	USD	3,146.50	
31AUG17	S 1 PD30NOV17	3147.00	3265.36	USD	-2,959.00	
31AUG17	B 2 PD30NOV17	3134.50	3265.36	USD	6,543.00	
31AUG17	B 3 PD30NOV17	3137.00	3265.36	USD	9,627.00	
31AUG17	B 6 PD30NOV17	3136.00	3265.36	USD	19,404.00	
31AUG17	B 1 PD30NOV17	3140.00	3265.36	USD	3,134.00	
31AUG17	B 1 PD30NOV17	3139.00	3265.36	USD	3,159.00	
31AUG17	B 2 PD30NOV17	3154.00	3265.36	USD	5,568.00	
31AUG17	B 1 PD30NOV17	3155.00	3265.36	USD	2,759.00	
31AUG17	B 2 PD30NOV17	3154.50	3265.36	USD	5,543.00	
31AUG17	B 2 PD30NOV17	3159.00	3265.36	USD	5,318.00	
31AUG17	B 2 PD30NOV17	3160.50	3265.36	USD	5,243.00	
31AUG17	B 1 PD30NOV17	3160.00	3265.36	USD	2,634.00	
06SEP17	B 9 PD30NOV17	3093.70	3265.36	USD	38,623.50	
06SEP17	B 17 PD30NOV17	3093.50	3265.36	USD	73,040.50	
	NET 0 AVG BGHT 3121.95 SOLD 3124.03				**6,655.00**	**0.00**
01SEP17	B 2 PD01DEC17	3144.50	3265.46	USD	6,048.00	
01SEP17	B 12 PD01DEC17	3145.00	3265.46	USD	36,138.00	
01SEP17	S 3 PD01DEC17	3156.50	3265.46	USD	-8,172.00	
01SEP17	B 1 PD01DEC17	3150.00	3265.46	USD	2,886.50	
01SEP17	S 2 PD01DEC17	3157.00	3265.46	USD	-5,423.00	
01SEP17	S 3 PD01DEC17	3161.50	3265.46	USD	-7,797.00	
01SEP17	S 3 PD01DEC17	3162.00	3265.46	USD	-7,759.50	
01SEP17	S 1 PD01DEC17	3163.00	3265.46	USD	-2,561.50	
01SEP17	S 1 PD01DEC17	3164.50	3265.46	USD	-2,524.00	
01SEP17	S 1 PD01DEC17	3167.00	3265.46	USD	-2,461.50	
01SEP17	S 2 PD01DEC17	3171.50	3265.46	USD	-4,698.00	
01SEP17	S 2 PD01DEC17	3172.00	3265.46	USD	-4,673.00	
01SEP17	S 1 PD01DEC17	3172.50	3265.46	USD	-2,324.00	
01SEP17	S 1 PD01DEC17	3173.50	3265.46	USD	-2,299.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
01SEP17	S 1 PD01DEC17	3174.00	3265.46	USD	-2,286.50	
01SEP17	S 3 PD01DEC17	3173.00	3265.46	USD	-6,934.50	
01SEP17	S 2 PD01DEC17	3170.50	3265.46	USD	-4,748.00	
01SEP17	S 2 PD01DEC17	3168.00	3265.46	USD	-4,873.00	
01SEP17	S 1 PD01DEC17	3165.00	3265.46	USD	-2,511.50	
01SEP17	B 1 PD01DEC17	3157.50	3265.46	USD	2,699.00	
01SEP17	B 1 PD01DEC17	3161.00	3265.46	USD	2,611.50	
01SEP17	B 1 PD01DEC17	3160.00	3265.46	USD	2,636.50	
01SEP17	B 3 PD01DEC17	3154.00	3265.46	USD	8,359.50	
01SEP17	B 3 PD01DEC17	3155.50	3265.46	USD	8,247.00	
01SEP17	B 8 PD01DEC17	3155.00	3265.46	USD	22,092.00	
01SEP17	B 2 PD01DEC17	3145.00	3265.46	USD	6,023.00	
01SEP17	S 1 PD01DEC17	3162.50	3265.46	USD	-2,574.00	
01SEP17	S 1 PD01DEC17	3164.00	3265.46	USD	-2,536.50	
01SEP17	S 1 PD01DEC17	3160.00	3265.46	USD	-2,636.50	
01SEP17	B 1 PD01DEC17	3160.00	3265.46	USD	2,636.50	
01SEP17	B 4 PD01DEC17	3152.00	3265.46	USD	11,346.00	
01SEP17	B 1 PD01DEC17	3146.50	3265.46	USD	2,974.00	
01SEP17	B 3 PD01DEC17	3147.00	3265.46	USD	8,884.50	
01SEP17	B 2 PD01DEC17	3151.00	3265.46	USD	5,723.00	
01SEP17	B 1 PD01DEC17	3149.50	3265.46	USD	2,899.00	
01SEP17	B 1 PD01DEC17	3148.50	3265.46	USD	2,924.00	
01SEP17	B 1 PD01DEC17	3145.50	3265.46	USD	2,999.00	
01SEP17	B 1 PD01DEC17	3148.00	3265.46	USD	2,936.50	
01SEP17	B 5 PD01DEC17	3149.00	3265.46	USD	14,557.50	
01SEP17	S 1 PD01DEC17	3153.00	3265.46	USD	-2,811.50	
01SEP17	B 2 PD01DEC17	3151.50	3265.46	USD	5,698.00	
01SEP17	S 1 PD01DEC17	3155.00	3265.46	USD	-2,761.50	
01SEP17	S 2 PD01DEC17	3158.00	3265.46	USD	-5,373.00	
01SEP17	S 4 PD01DEC17	3164.00	3265.46	USD	-10,146.00	
01SEP17	S 2 PD01DEC17	3158.50	3265.46	USD	-5,348.00	
01SEP17	S 1 PD01DEC17	3160.00	3265.46	USD	-2,636.50	
01SEP17	S 3 PD01DEC17	3157.50	3265.46	USD	-8,097.00	
01SEP17	S 2 PD01DEC17	3161.00	3265.46	USD	-5,223.00	
01SEP17	S 2 PD01DEC17	3159.50	3265.46	USD	-5,298.00	
01SEP17	S 2 PD01DEC17	3159.00	3265.46	USD	-5,323.00	
01SEP17	S 2 PD01DEC17	3166.50	3265.46	USD	-4,948.00	
01SEP17	S 3 PD01DEC17	3163.50	3265.46	USD	-7,647.00	
01SEP17	S 3 PD01DEC17	3160.50	3265.46	USD	-7,872.00	
01SEP17	S 2 PD01DEC17	3166.00	3265.46	USD	-4,973.00	
01SEP17	S 2 PD01DEC17	3167.50	3265.46	USD	-4,898.00	
01SEP17	S 1 PD01DEC17	3165.50	3265.46	USD	-2,499.00	
01SEP17	B 1 PD01DEC17	3171.00	3265.46	USD	2,361.50	
01SEP17	B 1 PD01DEC17	3174.00	3265.46	USD	2,286.50	
01SEP17	B 1 PD01DEC17	3174.50	3265.46	USD	2,274.00	
01SEP17	B 3 PD01DEC17	3173.00	3265.46	USD	6,934.50	
01SEP17	B 2 PD01DEC17	3171.50	3265.46	USD	4,698.00	
01SEP17	B 2 PD01DEC17	3172.00	3265.46	USD	4,673.00	
01SEP17	S 1 PD01DEC17	3170.00	3265.46	USD	-2,386.50	
01SEP17	S 2 PD01DEC17	3178.50	3265.46	USD	-4,348.00	
01SEP17	S 1 PD01DEC17	3176.50	3265.46	USD	-2,224.00	
01SEP17	S 1 PD01DEC17	3176.00	3265.46	USD	-2,236.50	
01SEP17	B 2 PD01DEC17	3170.00	3265.46	USD	4,773.00	
01SEP17	S 2 PD01DEC17	3179.00	3265.46	USD	-4,323.00	
01SEP17	S 1 PD01DEC17	3181.00	3265.46	USD	-2,111.50	
01SEP17	S 1 PD01DEC17	3182.50	3265.46	USD	-2,074.00	
01SEP17	S 2 PD01DEC17	3184.00	3265.46	USD	-4,073.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
01SEP17	S 3 PD01DEC17	3185.00	3265.46	USD	-6,034.50	
01SEP17	B 2 PD01DEC17	3193.00	3265.46	USD	3,623.00	
01SEP17	S 1 PD01DEC17	3197.00	3265.46	USD	-1,711.50	
01SEP17	S 2 PD01DEC17	3198.00	3265.46	USD	-3,373.00	
01SEP17	B 3 PD01DEC17	3202.00	3265.46	USD	4,759.50	
01SEP17	B 1 PD01DEC17	3208.00	3265.46	USD	1,436.50	
01SEP17	S 2 PD01DEC17	3209.00	3265.46	USD	-2,823.00	
01SEP17	B 1 PD01DEC17	3206.00	3265.46	USD	1,486.50	
01SEP17	B 1 PD01DEC17	3204.00	3265.46	USD	1,536.50	
01SEP17	B 6 PD01DEC17	3207.50	3265.46	USD	8,694.00	
01SEP17	B 1 PD01DEC17	3208.50	3265.46	USD	1,424.00	
01SEP17	B 1 PD01DEC17	3209.00	3265.46	USD	1,411.50	
01SEP17	B 1 PD01DEC17	3207.50	3265.46	USD	1,449.00	
01SEP17	B 2 PD01DEC17	3208.00	3265.46	USD	2,873.00	
01SEP17	B 1 PD01DEC17	3209.00	3265.46	USD	1,411.50	
06SEP17	S 4 PD01DEC17	3093.55	3265.46	USD	-17,191.00	
	NET 0 AVG BGHT 3165.72 SOLD 3165.21				**-1,132.50**	**0.00**
04SEP17	B 1 PD04DEC17	3217.00	3265.79	USD	1,219.75	
04SEP17	S 1 PD04DEC17	3200.00	3265.79	USD	-1,644.75	
04SEP17	B 1 PD04DEC17	3193.50	3265.79	USD	1,807.25	
04SEP17	B 1 PD04DEC17	3195.00	3265.79	USD	1,769.75	
04SEP17	B 1 PD04DEC17	3198.00	3265.79	USD	1,694.75	
04SEP17	B 2 PD04DEC17	3199.50	3265.79	USD	3,314.50	
04SEP17	B 3 PD04DEC17	3200.00	3265.79	USD	4,934.25	
04SEP17	S 2 PD04DEC17	3194.00	3265.79	USD	-3,589.50	
04SEP17	B 1 PD04DEC17	3193.00	3265.79	USD	1,819.75	
04SEP17	B 1 PD04DEC17	3191.00	3265.79	USD	1,869.75	
04SEP17	S 1 PD04DEC17	3189.00	3265.79	USD	-1,919.75	
04SEP17	S 1 PD04DEC17	3190.50	3265.79	USD	-1,882.25	
04SEP17	S 4 PD04DEC17	3189.50	3265.79	USD	-7,629.00	
04SEP17	S 1 PD04DEC17	3190.00	3265.79	USD	-1,894.75	
04SEP17	S 1 PD04DEC17	3192.00	3265.79	USD	-1,844.75	
04SEP17	S 3 PD04DEC17	3195.50	3265.79	USD	-5,271.75	
04SEP17	S 1 PD04DEC17	3195.00	3265.79	USD	-1,769.75	
04SEP17	B 1 PD04DEC17	3189.50	3265.79	USD	1,907.25	
04SEP17	B 1 PD04DEC17	3186.00	3265.79	USD	1,994.75	
04SEP17	B 1 PD04DEC17	3185.50	3265.79	USD	2,007.25	
04SEP17	B 1 PD04DEC17	3185.00	3265.79	USD	2,019.75	
04SEP17	B 3 PD04DEC17	3184.50	3265.79	USD	6,096.75	
04SEP17	B 2 PD04DEC17	3183.50	3265.79	USD	4,114.50	
04SEP17	B 1 PD04DEC17	3183.00	3265.79	USD	2,069.75	
04SEP17	B 1 PD04DEC17	3182.50	3265.79	USD	2,082.25	
04SEP17	B 1 PD04DEC17	3179.00	3265.79	USD	2,169.75	
04SEP17	B 3 PD04DEC17	3177.50	3265.79	USD	6,621.75	
04SEP17	B 2 PD04DEC17	3176.50	3265.79	USD	4,464.50	
04SEP17	B 1 PD04DEC17	3175.50	3265.79	USD	2,257.25	
04SEP17	S 1 PD04DEC17	3174.50	3265.79	USD	-2,282.25	
04SEP17	S 1 PD04DEC17	3174.00	3265.79	USD	-2,294.75	
04SEP17	B 1 PD04DEC17	3177.00	3265.79	USD	2,219.75	
04SEP17	S 4 PD04DEC17	3176.50	3265.79	USD	-8,929.00	
04SEP17	S 1 PD04DEC17	3180.50	3265.79	USD	-2,132.25	
04SEP17	S 1 PD04DEC17	3181.00	3265.79	USD	-2,119.75	
04SEP17	S 1 PD04DEC17	3181.50	3265.79	USD	-2,107.25	
04SEP17	S 2 PD04DEC17	3182.00	3265.79	USD	-4,189.50	
04SEP17	S 3 PD04DEC17	3183.50	3265.79	USD	-6,171.75	
04SEP17	S 1 PD04DEC17	3185.00	3265.79	USD	-2,019.75	
04SEP17	S 2 PD04DEC17	3185.50	3265.79	USD	-4,014.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
04SEP17	S 1 PD04DEC17	3184.00	3265.79	USD	-2,044.75	
04SEP17	S 2 PD04DEC17	3188.50	3265.79	USD	-3,864.50	
04SEP17	S 3 PD04DEC17	3193.00	3265.79	USD	-5,459.25	
04SEP17	S 2 PD04DEC17	3193.50	3265.79	USD	-3,614.50	
04SEP17	S 1 PD04DEC17	3196.00	3265.79	USD	-1,744.75	
04SEP17	S 1 PD04DEC17	3197.50	3265.79	USD	-1,707.25	
04SEP17	S 4 PD04DEC17	3194.50	3265.79	USD	-7,129.00	
04SEP17	B 1 PD04DEC17	3175.00	3265.79	USD	2,269.75	
04SEP17	S 2 PD04DEC17	3182.00	3265.79	USD	-4,189.50	
04SEP17	S 2 PD04DEC17	3183.00	3265.79	USD	-4,139.50	
04SEP17	S 1 PD04DEC17	3182.50	3265.79	USD	-2,082.25	
04SEP17	S 1 PD04DEC17	3184.50	3265.79	USD	-2,032.25	
04SEP17	S 2 PD04DEC17	3191.00	3265.79	USD	-3,739.50	
04SEP17	B 1 PD04DEC17	3192.50	3265.79	USD	1,832.25	
04SEP17	S 1 PD04DEC17	3198.00	3265.79	USD	-1,694.75	
04SEP17	B 5 PD04DEC17	3197.50	3265.79	USD	8,536.25	
04SEP17	S 2 PD04DEC17	3206.50	3265.79	USD	-2,964.50	
04SEP17	S 1 PD04DEC17	3213.00	3265.79	USD	-1,319.75	
04SEP17	S 1 PD04DEC17	3198.00	3265.79	USD	-1,694.75	
04SEP17	B 5 PD04DEC17	3206.50	3265.79	USD	7,411.25	
04SEP17	B 2 PD04DEC17	3205.50	3265.79	USD	3,014.50	
04SEP17	B 7 PD04DEC17	3206.00	3265.79	USD	10,463.25	
04SEP17	B 4 PD04DEC17	3205.00	3265.79	USD	6,079.00	
04SEP17	B 2 PD04DEC17	3204.50	3265.79	USD	3,064.50	
04SEP17	B 1 PD04DEC17	3204.00	3265.79	USD	1,544.75	
04SEP17	B 1 PD04DEC17	3203.50	3265.79	USD	1,557.25	
04SEP17	B 1 PD04DEC17	3203.00	3265.79	USD	1,569.75	
04SEP17	B 6 PD04DEC17	3201.00	3265.79	USD	9,718.50	
04SEP17	B 2 PD04DEC17	3202.00	3265.79	USD	3,189.50	
04SEP17	B 1 PD04DEC17	3202.50	3265.79	USD	1,582.25	
04SEP17	S 1 PD04DEC17	3208.50	3265.79	USD	-1,432.25	
04SEP17	B 1 PD04DEC17	3208.50	3265.79	USD	1,432.25	
06SEP17	S 10 PD04DEC17	3094.30	3265.79	USD	-42,872.50	
	NET 0 AVG BGHT 3196.24 SOLD 3175.83				**-35,712.50**	**0.00**
05SEP17	B 1 PD05DEC17	3203.50	3265.89	USD	1,559.75	
05SEP17	S 3 PD05DEC17	3203.50	3265.89	USD	-4,679.25	
05SEP17	S 1 PD05DEC17	3203.00	3265.89	USD	-1,572.25	
05SEP17	S 1 PD05DEC17	3204.00	3265.89	USD	-1,547.25	
05SEP17	B 4 PD05DEC17	3202.00	3265.89	USD	6,389.00	
05SEP17	B 1 PD05DEC17	3195.50	3265.89	USD	1,759.75	
05SEP17	B 1 PD05DEC17	3194.50	3265.89	USD	1,784.75	
05SEP17	B 1 PD05DEC17	3196.00	3265.89	USD	1,747.25	
05SEP17	B 1 PD05DEC17	3197.00	3265.89	USD	1,722.25	
05SEP17	B 1 PD05DEC17	3198.00	3265.89	USD	1,697.25	
05SEP17	S 2 PD05DEC17	3201.00	3265.89	USD	-3,244.50	
05SEP17	S 2 PD05DEC17	3202.50	3265.89	USD	-3,169.50	
05SEP17	S 3 PD05DEC17	3202.00	3265.89	USD	-4,791.75	
05SEP17	S 2 PD05DEC17	3199.00	3265.89	USD	-3,344.50	
05SEP17	S 1 PD05DEC17	3200.00	3265.89	USD	-1,647.25	
05SEP17	B 2 PD05DEC17	3193.00	3265.89	USD	3,644.50	
05SEP17	B 1 PD05DEC17	3192.00	3265.89	USD	1,847.25	
05SEP17	S 1 PD05DEC17	3190.50	3265.89	USD	-1,884.75	
05SEP17	B 1 PD05DEC17	3194.00	3265.89	USD	1,797.25	
05SEP17	B 2 PD05DEC17	3193.50	3265.89	USD	3,619.50	
05SEP17	B 1 PD05DEC17	3185.50	3265.89	USD	2,009.75	
05SEP17	B 1 PD05DEC17	3187.00	3265.89	USD	1,972.25	
05SEP17	S 2 PD05DEC17	3196.00	3265.89	USD	-3,494.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
05SEP17	S 2 PD05DEC17	3196.50	3265.89	USD	-3,469.50	
05SEP17	S 2 PD05DEC17	3197.00	3265.89	USD	-3,444.50	
05SEP17	S 2 PD05DEC17	3197.50	3265.89	USD	-3,419.50	
05SEP17	S 1 PD05DEC17	3198.00	3265.89	USD	-1,697.25	
05SEP17	S 3 PD05DEC17	3200.50	3265.89	USD	-4,904.25	
05SEP17	S 3 PD05DEC17	3201.50	3265.89	USD	-4,829.25	
05SEP17	S 1 PD05DEC17	3198.50	3265.89	USD	-1,684.75	
05SEP17	S 4 PD05DEC17	3199.50	3265.89	USD	-6,639.00	
05SEP17	B 1 PD05DEC17	3191.00	3265.89	USD	1,872.25	
05SEP17	B 2 PD05DEC17	3190.00	3265.89	USD	3,794.50	
05SEP17	B 3 PD05DEC17	3192.50	3265.89	USD	5,504.25	
05SEP17	B 3 PD05DEC17	3191.50	3265.89	USD	5,579.25	
05SEP17	B 1 PD05DEC17	3188.50	3265.89	USD	1,934.75	
05SEP17	B 3 PD05DEC17	3188.00	3265.89	USD	5,841.75	
05SEP17	B 2 PD05DEC17	3187.50	3265.89	USD	3,919.50	
05SEP17	B 2 PD05DEC17	3185.00	3265.89	USD	4,044.50	
05SEP17	B 2 PD05DEC17	3183.50	3265.89	USD	4,119.50	
05SEP17	B 1 PD05DEC17	3182.50	3265.89	USD	2,084.75	
05SEP17	B 1 PD05DEC17	3182.00	3265.89	USD	2,097.25	
05SEP17	B 2 PD05DEC17	3184.50	3265.89	USD	4,069.50	
05SEP17	B 2 PD05DEC17	3184.00	3265.89	USD	4,094.50	
05SEP17	B 2 PD05DEC17	3179.50	3265.89	USD	4,319.50	
05SEP17	B 1 PD05DEC17	3177.50	3265.89	USD	2,209.75	
05SEP17	B 1 PD05DEC17	3176.50	3265.89	USD	2,234.75	
05SEP17	B 1 PD05DEC17	3176.00	3265.89	USD	2,247.25	
05SEP17	B 1 PD05DEC17	3173.00	3265.89	USD	2,322.25	
05SEP17	B 3 PD05DEC17	3174.00	3265.89	USD	6,891.75	
05SEP17	B 1 PD05DEC17	3175.50	3265.89	USD	2,259.75	
05SEP17	B 1 PD05DEC17	3179.00	3265.89	USD	2,172.25	
05SEP17	S 1 PD05DEC17	3194.00	3265.89	USD	-1,797.25	
05SEP17	S 1 PD05DEC17	3193.50	3265.89	USD	-1,809.75	
05SEP17	S 3 PD05DEC17	3195.00	3265.89	USD	-5,316.75	
05SEP17	S 1 PD05DEC17	3192.00	3265.89	USD	-1,847.25	
05SEP17	B 1 PD05DEC17	3180.00	3265.89	USD	2,147.25	
05SEP17	S 1 PD05DEC17	3180.00	3265.89	USD	-2,147.25	
05SEP17	S 1 PD05DEC17	3186.00	3265.89	USD	-1,997.25	
05SEP17	S 3 PD05DEC17	3189.00	3265.89	USD	-5,766.75	
05SEP17	S 2 PD05DEC17	3188.50	3265.89	USD	-3,869.50	
05SEP17	S 2 PD05DEC17	3186.50	3265.89	USD	-3,969.50	
05SEP17	S 4 PD05DEC17	3187.50	3265.89	USD	-7,839.00	
05SEP17	S 2 PD05DEC17	3188.00	3265.89	USD	-3,894.50	
05SEP17	B 1 PD05DEC17	3199.00	3265.89	USD	1,672.25	
05SEP17	S 1 PD05DEC17	3172.00	3265.89	USD	-2,347.25	
05SEP17	S 1 PD05DEC17	3174.00	3265.89	USD	-2,297.25	
05SEP17	B 2 PD05DEC17	3167.00	3265.89	USD	4,944.50	
05SEP17	B 1 PD05DEC17	3166.50	3265.89	USD	2,484.75	
05SEP17	B 1 PD05DEC17	3161.50	3265.89	USD	2,609.75	
05SEP17	B 1 PD05DEC17	3162.50	3265.89	USD	2,584.75	
05SEP17	B 1 PD05DEC17	3158.50	3265.89	USD	2,684.75	
05SEP17	B 1 PD05DEC17	3155.50	3265.89	USD	2,759.75	
05SEP17	B 1 PD05DEC17	3152.00	3265.89	USD	2,847.25	
05SEP17	S 1 PD05DEC17	3151.50	3265.89	USD	-2,859.75	
05SEP17	S 1 PD05DEC17	3153.50	3265.89	USD	-2,809.75	
05SEP17	S 1 PD05DEC17	3156.00	3265.89	USD	-2,747.25	
05SEP17	S 1 PD05DEC17	3157.50	3265.89	USD	-2,709.75	
05SEP17	B 1 PD05DEC17	3151.50	3265.89	USD	2,859.75	
05SEP17	B 2 PD05DEC17	3151.00	3265.89	USD	5,744.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
05SEP17	B 3 PD05DEC17	3150.50	3265.89	USD	8,654.25	
05SEP17	B 1 PD05DEC17	3147.50	3265.89	USD	2,959.75	
05SEP17	B 1 PD05DEC17	3139.00	3265.89	USD	3,172.25	
05SEP17	B 2 PD05DEC17	3138.00	3265.89	USD	6,394.50	
05SEP17	B 1 PD05DEC17	3133.50	3265.89	USD	3,309.75	
05SEP17	B 1 PD05DEC17	3137.00	3265.89	USD	3,222.25	
05SEP17	B 1 PD05DEC17	3136.50	3265.89	USD	3,234.75	
05SEP17	S 2 PD05DEC17	3144.50	3265.89	USD	-6,069.50	
05SEP17	B 2 PD05DEC17	3140.00	3265.89	USD	6,294.50	
05SEP17	B 1 PD05DEC17	3137.50	3265.89	USD	3,209.75	
05SEP17	B 7 PD05DEC17	3138.50	3265.89	USD	22,293.25	
05SEP17	B 2 PD05DEC17	3135.00	3265.89	USD	6,544.50	
05SEP17	B 1 PD05DEC17	3134.50	3265.89	USD	3,284.75	
05SEP17	S 1 PD05DEC17	3115.00	3265.89	USD	-3,772.25	
05SEP17	S 1 PD05DEC17	3092.00	3265.89	USD	-4,347.25	
05SEP17	S 1 PD05DEC17	3091.50	3265.89	USD	-4,359.75	
05SEP17	B 1 PD05DEC17	3092.00	3265.89	USD	4,347.25	
05SEP17	B 1 PD05DEC17	3096.50	3265.89	USD	4,234.75	
05SEP17	S 1 PD05DEC17	3099.00	3265.89	USD	-4,172.25	
05SEP17	S 1 PD05DEC17	3103.50	3265.89	USD	-4,059.75	
05SEP17	S 1 PD05DEC17	3104.50	3265.89	USD	-4,034.75	
05SEP17	S 2 PD05DEC17	3104.00	3265.89	USD	-8,094.50	
05SEP17	B 1 PD05DEC17	3102.50	3265.89	USD	4,084.75	
05SEP17	B 1 PD05DEC17	3103.00	3265.89	USD	4,072.25	
05SEP17	B 1 PD05DEC17	3101.50	3265.89	USD	4,109.75	
05SEP17	B 1 PD05DEC17	3174.00	3265.89	USD	2,297.25	
06SEP17	S 3 PD05DEC17	3094.55	3265.89	USD	-12,850.50	
08SEP17	S 20 PD05DEC17	3030.42	3265.89	USD	-117,735.00	
	NET 0 AVG BGHT 3168.30 SOLD 3147.15				**-50,761.25**	**0.00**
06SEP17	S 1 PD06DEC17	3119.00	3266.00	USD	-3,675.00	
06SEP17	B 2 PD06DEC17	3124.50	3266.00	USD	7,075.00	
06SEP17	B 1 PD06DEC17	3117.50	3266.00	USD	3,712.50	
06SEP17	B 1 PD06DEC17	3117.00	3266.00	USD	3,725.00	
06SEP17	B 3 PD06DEC17	3115.50	3266.00	USD	11,287.50	
06SEP17	B 6 PD06DEC17	3111.00	3266.00	USD	23,250.00	
06SEP17	B 1 PD06DEC17	3110.00	3266.00	USD	3,900.00	
06SEP17	B 2 PD06DEC17	3103.00	3266.00	USD	8,150.00	
06SEP17	S 1 PD06DEC17	3106.00	3266.00	USD	-4,000.00	
06SEP17	B 1 PD06DEC17	3069.50	3266.00	USD	4,912.50	
06SEP17	B 1 PD06DEC17	3069.00	3266.00	USD	4,925.00	
06SEP17	B 1 PD06DEC17	3072.00	3266.00	USD	4,850.00	
06SEP17	S 1 PD06DEC17	3077.00	3266.00	USD	-4,725.00	
06SEP17	S 1 PD06DEC17	3077.50	3266.00	USD	-4,712.50	
06SEP17	S 2 PD06DEC17	3079.00	3266.00	USD	-9,350.00	
06SEP17	S 2 PD06DEC17	3080.50	3266.00	USD	-9,275.00	
06SEP17	S 1 PD06DEC17	3081.50	3266.00	USD	-4,612.50	
06SEP17	S 2 PD06DEC17	3081.00	3266.00	USD	-9,250.00	
06SEP17	B 2 PD06DEC17	3084.00	3266.00	USD	9,100.00	
06SEP17	S 1 PD06DEC17	3084.50	3266.00	USD	-4,537.50	
06SEP17	S 3 PD06DEC17	3082.50	3266.00	USD	-13,762.50	
06SEP17	S 3 PD06DEC17	3083.00	3266.00	USD	-13,725.00	
06SEP17	S 1 PD06DEC17	3085.00	3266.00	USD	-4,525.00	
06SEP17	S 1 PD06DEC17	3087.50	3266.00	USD	-4,462.50	
06SEP17	S 1 PD06DEC17	3095.50	3266.00	USD	-4,262.50	
06SEP17	B 1 PD06DEC17	3092.00	3266.00	USD	4,350.00	
06SEP17	S 1 PD06DEC17	3106.50	3266.00	USD	-3,987.50	
06SEP17	S 1 PD06DEC17	3107.00	3266.00	USD	-3,975.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
06SEP17	B 1 PD06DEC17	3108.00	3266.00	USD	3,950.00	
06SEP17	B 1 PD06DEC17	3102.50	3266.00	USD	4,087.50	
06SEP17	B 1 PD06DEC17	3095.50	3266.00	USD	4,262.50	
06SEP17	B 2 PD06DEC17	3086.50	3266.00	USD	8,975.00	
06SEP17	B 1 PD06DEC17	3085.50	3266.00	USD	4,512.50	
06SEP17	B 1 PD06DEC17	3082.50	3266.00	USD	4,587.50	
06SEP17	B 2 PD06DEC17	3084.50	3266.00	USD	9,075.00	
06SEP17	B 2 PD06DEC17	3083.50	3266.00	USD	9,125.00	
06SEP17	B 4 PD06DEC17	3081.00	3266.00	USD	18,500.00	
06SEP17	S 2 PD06DEC17	3076.00	3266.00	USD	-9,500.00	
06SEP17	B 3 PD06DEC17	3075.50	3266.00	USD	14,287.50	
06SEP17	B 2 PD06DEC17	3075.00	3266.00	USD	9,550.00	
06SEP17	B 2 PD06DEC17	3076.00	3266.00	USD	9,500.00	
06SEP17	B 1 PD06DEC17	3077.50	3266.00	USD	4,712.50	
06SEP17	S 2 PD06DEC17	3084.00	3266.00	USD	-9,100.00	
06SEP17	S 3 PD06DEC17	3089.50	3266.00	USD	-13,237.50	
06SEP17	S 2 PD06DEC17	3089.00	3266.00	USD	-8,850.00	
06SEP17	B 2 PD06DEC17	3085.00	3266.00	USD	9,050.00	
06SEP17	S 1 PD06DEC17	3081.00	3266.00	USD	-4,625.00	
06SEP17	S 1 PD06DEC17	3090.50	3266.00	USD	-4,387.50	
06SEP17	S 2 PD06DEC17	3091.00	3266.00	USD	-8,750.00	
06SEP17	S 2 PD06DEC17	3091.50	3266.00	USD	-8,725.00	
06SEP17	S 2 PD06DEC17	3093.50	3266.00	USD	-8,625.00	
06SEP17	S 1 PD06DEC17	3092.50	3266.00	USD	-4,337.50	
06SEP17	S 1 PD06DEC17	3094.00	3266.00	USD	-4,300.00	
06SEP17	S 1 PD06DEC17	3096.50	3266.00	USD	-4,237.50	
06SEP17	B 1 PD06DEC17	3096.00	3266.00	USD	4,250.00	
06SEP17	S 2 PD06DEC17	3093.00	3266.00	USD	-8,650.00	
06SEP17	B 3 PD06DEC17	3082.00	3266.00	USD	13,800.00	
06SEP17	B 5 PD06DEC17	3080.50	3266.00	USD	23,187.50	
06SEP17	B 2 PD06DEC17	3080.00	3266.00	USD	9,300.00	
06SEP17	B 1 PD06DEC17	3078.50	3266.00	USD	4,687.50	
06SEP17	S 2 PD06DEC17	3086.50	3266.00	USD	-8,975.00	
06SEP17	S 3 PD06DEC17	3087.00	3266.00	USD	-13,425.00	
06SEP17	S 4 PD06DEC17	3088.00	3266.00	USD	-17,800.00	
06SEP17	S 5 PD06DEC17	3095.00	3266.00	USD	-21,375.00	
06SEP17	S 2 PD06DEC17	3094.50	3266.00	USD	-8,575.00	
06SEP17	B 1 PD06DEC17	3095.00	3266.00	USD	4,275.00	
06SEP17	B 3 PD06DEC17	3094.50	3266.00	USD	12,862.50	
06SEP17	S 4 PD06DEC17	3090.00	3266.00	USD	-17,600.00	
06SEP17	B 1 PD06DEC17	3090.00	3266.00	USD	4,400.00	
06SEP17	S 8 PD06DEC17	3088.50	3266.00	USD	-35,500.00	
06SEP17	S 1 PD06DEC17	3099.00	3266.00	USD	-4,175.00	
06SEP17	S 1 PD06DEC17	3101.00	3266.00	USD	-4,125.00	
06SEP17	S 1 PD06DEC17	3102.00	3266.00	USD	-4,100.00	
06SEP17	S 1 PD06DEC17	3101.50	3266.00	USD	-4,112.50	
06SEP17	B 1 PD06DEC17	3101.50	3266.00	USD	4,112.50	
06SEP17	B 2 PD06DEC17	3104.00	3266.00	USD	8,100.00	
06SEP17	S 1 PD06DEC17	3104.00	3266.00	USD	-4,050.00	
06SEP17	S 1 PD06DEC17	3104.50	3266.00	USD	-4,037.50	
06SEP17	S 1 PD06DEC17	3105.00	3266.00	USD	-4,025.00	
08SEP17	B 15 PD06DEC17	3030.81	3266.00	USD	88,196.25	
13SEP17	S 2 PD06DEC17	3023.63	3266.00	USD	-12,118.50	
	NET 0 AVG BGHT 3080.35 SOLD 3088.36				**16,427.75**	**0.00**
07SEP17	S 1 PD07DEC17	3105.00	3266.11	USD	-4,027.75	
07SEP17	B 1 PD07DEC17	3107.50	3266.11	USD	3,965.25	
07SEP17	B 1 PD07DEC17	3103.50	3266.11	USD	4,065.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
07SEP17	B 1 PD07DEC17	3099.50	3266.11	USD	4,165.25	
07SEP17	B 1 PD07DEC17	3094.00	3266.11	USD	4,302.75	
07SEP17	B 1 PD07DEC17	3092.50	3266.11	USD	4,340.25	
07SEP17	B 1 PD07DEC17	3092.00	3266.11	USD	4,352.75	
07SEP17	B 1 PD07DEC17	3091.50	3266.11	USD	4,365.25	
07SEP17	B 1 PD07DEC17	3090.50	3266.11	USD	4,390.25	
07SEP17	B 1 PD07DEC17	3089.50	3266.11	USD	4,415.25	
07SEP17	B 1 PD07DEC17	3087.00	3266.11	USD	4,477.75	
07SEP17	B 1 PD07DEC17	3089.00	3266.11	USD	4,427.75	
07SEP17	B 1 PD07DEC17	3088.50	3266.11	USD	4,440.25	
07SEP17	B 1 PD07DEC17	3088.00	3266.11	USD	4,452.75	
07SEP17	B 2 PD07DEC17	3086.50	3266.11	USD	8,980.50	
07SEP17	B 1 PD07DEC17	3086.00	3266.11	USD	4,502.75	
07SEP17	B 1 PD07DEC17	3085.50	3266.11	USD	4,515.25	
07SEP17	B 1 PD07DEC17	3084.50	3266.11	USD	4,540.25	
07SEP17	B 1 PD07DEC17	3084.00	3266.11	USD	4,552.75	
07SEP17	B 1 PD07DEC17	3083.00	3266.11	USD	4,577.75	
07SEP17	B 3 PD07DEC17	3082.00	3266.11	USD	13,808.25	
07SEP17	B 1 PD07DEC17	3081.00	3266.11	USD	4,627.75	
07SEP17	B 1 PD07DEC17	3075.50	3266.11	USD	4,765.25	
07SEP17	B 1 PD07DEC17	3077.00	3266.11	USD	4,727.75	
07SEP17	B 1 PD07DEC17	3076.50	3266.11	USD	4,740.25	
07SEP17	B 1 PD07DEC17	3080.00	3266.11	USD	4,652.75	
07SEP17	B 1 PD07DEC17	3079.00	3266.11	USD	4,677.75	
07SEP17	B 2 PD07DEC17	3077.50	3266.11	USD	9,430.50	
07SEP17	B 1 PD07DEC17	3085.00	3266.11	USD	4,527.75	
07SEP17	S 2 PD07DEC17	3093.00	3266.11	USD	-8,655.50	
07SEP17	S 2 PD07DEC17	3088.50	3266.11	USD	-8,880.50	
07SEP17	S 2 PD07DEC17	3089.00	3266.11	USD	-8,855.50	
07SEP17	S 1 PD07DEC17	3089.50	3266.11	USD	-4,415.25	
07SEP17	S 1 PD07DEC17	3090.50	3266.11	USD	-4,390.25	
07SEP17	S 1 PD07DEC17	3090.00	3266.11	USD	-4,402.75	
07SEP17	S 5 PD07DEC17	3088.00	3266.11	USD	-22,263.75	
07SEP17	S 1 PD07DEC17	3092.50	3266.11	USD	-4,340.25	
07SEP17	B 1 PD07DEC17	3099.00	3266.11	USD	4,177.75	
07SEP17	S 1 PD07DEC17	3097.00	3266.11	USD	-4,227.75	
07SEP17	S 3 PD07DEC17	3101.50	3266.11	USD	-12,345.75	
07SEP17	S 1 PD07DEC17	3101.00	3266.11	USD	-4,127.75	
07SEP17	S 6 PD07DEC17	3103.00	3266.11	USD	-24,466.50	
07SEP17	S 1 PD07DEC17	3103.50	3266.11	USD	-4,065.25	
07SEP17	S 3 PD07DEC17	3100.50	3266.11	USD	-12,420.75	
07SEP17	S 1 PD07DEC17	3102.00	3266.11	USD	-4,102.75	
07SEP17	S 3 PD07DEC17	3099.00	3266.11	USD	-12,533.25	
07SEP17	S 2 PD07DEC17	3097.50	3266.11	USD	-8,430.50	
07SEP17	S 6 PD07DEC17	3099.50	3266.11	USD	-24,991.50	
07SEP17	S 2 PD07DEC17	3098.50	3266.11	USD	-8,380.50	
07SEP17	S 1 PD07DEC17	3098.00	3266.11	USD	-4,202.75	
07SEP17	S 1 PD07DEC17	3094.50	3266.11	USD	-4,290.25	
07SEP17	S 2 PD07DEC17	3095.00	3266.11	USD	-8,555.50	
07SEP17	S 1 PD07DEC17	3096.50	3266.11	USD	-4,240.25	
07SEP17	S 2 PD07DEC17	3095.50	3266.11	USD	-8,530.50	
07SEP17	S 2 PD07DEC17	3100.00	3266.11	USD	-8,305.50	
07SEP17	S 1 PD07DEC17	3116.50	3266.11	USD	-3,740.25	
07SEP17	B 1 PD07DEC17	3116.00	3266.11	USD	3,752.75	
07SEP17	B 1 PD07DEC17	3118.00	3266.11	USD	3,702.75	
07SEP17	B 2 PD07DEC17	3117.50	3266.11	USD	7,430.50	
07SEP17	B 1 PD07DEC17	3115.50	3266.11	USD	3,765.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
07SEP17	S 1 PD07DEC17	3121.00	3266.11	USD	-3,627.75	
07SEP17	B 2 PD07DEC17	3111.50	3266.11	USD	7,730.50	
07SEP17	B 3 PD07DEC17	3110.00	3266.11	USD	11,708.25	
07SEP17	S 1 PD07DEC17	3110.00	3266.11	USD	-3,902.75	
07SEP17	B 2 PD07DEC17	3108.00	3266.11	USD	7,905.50	
07SEP17	B 3 PD07DEC17	3105.00	3266.11	USD	12,083.25	
07SEP17	B 2 PD07DEC17	3106.00	3266.11	USD	8,005.50	
07SEP17	B 1 PD07DEC17	3105.50	3266.11	USD	4,015.25	
07SEP17	B 1 PD07DEC17	3106.50	3266.11	USD	3,990.25	
07SEP17	S 1 PD07DEC17	3120.00	3266.11	USD	-3,652.75	
07SEP17	S 3 PD07DEC17	3116.00	3266.11	USD	-11,258.25	
07SEP17	S 2 PD07DEC17	3112.50	3266.11	USD	-7,680.50	
07SEP17	S 1 PD07DEC17	3114.00	3266.11	USD	-3,802.75	
07SEP17	S 3 PD07DEC17	3117.00	3266.11	USD	-11,183.25	
07SEP17	S 1 PD07DEC17	3119.50	3266.11	USD	-3,665.25	
07SEP17	S 1 PD07DEC17	3122.50	3266.11	USD	-3,590.25	
07SEP17	S 1 PD07DEC17	3121.50	3266.11	USD	-3,615.25	
07SEP17	B 1 PD07DEC17	3122.00	3266.11	USD	3,602.75	
07SEP17	B 2 PD07DEC17	3122.50	3266.11	USD	7,180.50	
07SEP17	B 2 PD07DEC17	3137.50	3266.11	USD	6,430.50	
07SEP17	S 1 PD07DEC17	3138.00	3266.11	USD	-3,202.75	
07SEP17	S 1 PD07DEC17	3138.50	3266.11	USD	-3,190.25	
07SEP17	S 1 PD07DEC17	3140.00	3266.11	USD	-3,152.75	
07SEP17	S 1 PD07DEC17	3139.50	3266.11	USD	-3,165.25	
07SEP17	B 1 PD07DEC17	3141.00	3266.11	USD	3,127.75	
07SEP17	S 2 PD07DEC17	3097.00	3266.11	USD	-8,455.50	
07SEP17	B 1 PD07DEC17	3131.50	3266.11	USD	3,365.25	
07SEP17	B 2 PD07DEC17	3130.50	3266.11	USD	6,780.50	
07SEP17	B 1 PD07DEC17	3130.00	3266.11	USD	3,402.75	
07SEP17	B 1 PD07DEC17	3129.50	3266.11	USD	3,415.25	
07SEP17	B 1 PD07DEC17	3124.50	3266.11	USD	3,540.25	
07SEP17	S 1 PD07DEC17	3123.00	3266.11	USD	-3,577.75	
07SEP17	B 1 PD07DEC17	3127.50	3266.11	USD	3,465.25	
07SEP17	B 1 PD07DEC17	3126.50	3266.11	USD	3,490.25	
07SEP17	B 3 PD07DEC17	3125.00	3266.11	USD	10,583.25	
07SEP17	B 2 PD07DEC17	3126.00	3266.11	USD	7,005.50	
07SEP17	B 2 PD07DEC17	3135.00	3266.11	USD	6,555.50	
07SEP17	B 1 PD07DEC17	3135.50	3266.11	USD	3,265.25	
07SEP17	B 1 PD07DEC17	3136.00	3266.11	USD	3,252.75	
08SEP17	B 5 PD07DEC17	3031.01	3266.11	USD	29,387.50	
13SEP17	S 3 PD07DEC17	3023.69	3266.11	USD	-18,181.50	
	NET 0 AVG BGHT 3101.16 SOLD 3100.56				**-1,187.00**	**0.00**
08SEP17	S 1 PD08DEC17	3139.50	3266.21	USD	-3,167.75	
08SEP17	B 1 PD08DEC17	3140.50	3266.21	USD	3,142.75	
08SEP17	B 1 PD08DEC17	3139.00	3266.21	USD	3,180.25	
08SEP17	B 2 PD08DEC17	3139.50	3266.21	USD	6,335.50	
08SEP17	B 1 PD08DEC17	3135.00	3266.21	USD	3,280.25	
08SEP17	S 2 PD08DEC17	3133.50	3266.21	USD	-6,635.50	
08SEP17	S 4 PD08DEC17	3134.00	3266.21	USD	-13,221.00	
08SEP17	S 1 PD08DEC17	3134.50	3266.21	USD	-3,292.75	
08SEP17	S 2 PD08DEC17	3135.50	3266.21	USD	-6,535.50	
08SEP17	B 1 PD08DEC17	3130.50	3266.21	USD	3,392.75	
08SEP17	B 1 PD08DEC17	3128.50	3266.21	USD	3,442.75	
08SEP17	B 2 PD08DEC17	3127.00	3266.21	USD	6,960.50	
08SEP17	B 1 PD08DEC17	3126.00	3266.21	USD	3,505.25	
08SEP17	B 3 PD08DEC17	3125.50	3266.21	USD	10,553.25	
08SEP17	B 1 PD08DEC17	3123.50	3266.21	USD	3,567.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
08SEP17	B 4 PD08DEC17	3123.00	3266.21	USD	14,321.00	
08SEP17	B 1 PD08DEC17	3122.50	3266.21	USD	3,592.75	
08SEP17	B 3 PD08DEC17	3120.50	3266.21	USD	10,928.25	
08SEP17	B 1 PD08DEC17	3120.00	3266.21	USD	3,655.25	
08SEP17	S 1 PD08DEC17	3124.00	3266.21	USD	-3,555.25	
08SEP17	S 1 PD08DEC17	3123.50	3266.21	USD	-3,567.75	
08SEP17	S 1 PD08DEC17	3125.00	3266.21	USD	-3,530.25	
08SEP17	S 2 PD08DEC17	3124.50	3266.21	USD	-7,085.50	
08SEP17	S 3 PD08DEC17	3123.00	3266.21	USD	-10,740.75	
08SEP17	S 1 PD08DEC17	3121.00	3266.21	USD	-3,630.25	
08SEP17	B 3 PD08DEC17	3122.00	3266.21	USD	10,815.75	
08SEP17	B 1 PD08DEC17	3121.00	3266.21	USD	3,630.25	
08SEP17	B 2 PD08DEC17	3119.00	3266.21	USD	7,360.50	
08SEP17	B 7 PD08DEC17	3119.50	3266.21	USD	25,674.25	
08SEP17	B 1 PD08DEC17	3116.50	3266.21	USD	3,742.75	
08SEP17	B 2 PD08DEC17	3118.00	3266.21	USD	7,410.50	
08SEP17	B 4 PD08DEC17	3117.50	3266.21	USD	14,871.00	
08SEP17	B 2 PD08DEC17	3116.00	3266.21	USD	7,510.50	
08SEP17	B 1 PD08DEC17	3115.50	3266.21	USD	3,767.75	
08SEP17	B 2 PD08DEC17	3114.00	3266.21	USD	7,610.50	
08SEP17	B 1 PD08DEC17	3112.00	3266.21	USD	3,855.25	
08SEP17	B 1 PD08DEC17	3111.50	3266.21	USD	3,867.75	
08SEP17	B 1 PD08DEC17	3110.00	3266.21	USD	3,905.25	
08SEP17	B 2 PD08DEC17	3107.50	3266.21	USD	7,935.50	
08SEP17	B 1 PD08DEC17	3106.50	3266.21	USD	3,992.75	
08SEP17	B 2 PD08DEC17	3109.50	3266.21	USD	7,835.50	
08SEP17	B 2 PD08DEC17	3108.00	3266.21	USD	7,910.50	
08SEP17	B 2 PD08DEC17	3104.00	3266.21	USD	8,110.50	
08SEP17	B 2 PD08DEC17	3106.00	3266.21	USD	8,010.50	
08SEP17	B 1 PD08DEC17	3107.00	3266.21	USD	3,980.25	
08SEP17	B 2 PD08DEC17	3105.50	3266.21	USD	8,035.50	
08SEP17	B 1 PD08DEC17	3102.50	3266.21	USD	4,092.75	
08SEP17	B 1 PD08DEC17	3098.50	3266.21	USD	4,192.75	
08SEP17	B 1 PD08DEC17	3099.50	3266.21	USD	4,167.75	
08SEP17	B 2 PD08DEC17	3099.00	3266.21	USD	8,360.50	
08SEP17	B 1 PD08DEC17	3093.50	3266.21	USD	4,317.75	
08SEP17	S 1 PD08DEC17	3083.50	3266.21	USD	-4,567.75	
08SEP17	S 2 PD08DEC17	3090.50	3266.21	USD	-8,785.50	
08SEP17	S 2 PD08DEC17	3088.50	3266.21	USD	-8,885.50	
08SEP17	S 3 PD08DEC17	3092.50	3266.21	USD	-13,028.25	
08SEP17	B 1 PD08DEC17	3085.50	3266.21	USD	4,517.75	
08SEP17	B 2 PD08DEC17	3081.00	3266.21	USD	9,260.50	
08SEP17	B 1 PD08DEC17	3080.50	3266.21	USD	4,642.75	
08SEP17	B 1 PD08DEC17	3081.50	3266.21	USD	4,617.75	
08SEP17	B 4 PD08DEC17	3083.00	3266.21	USD	18,321.00	
08SEP17	B 2 PD08DEC17	3088.00	3266.21	USD	8,910.50	
08SEP17	S 2 PD08DEC17	3093.50	3266.21	USD	-8,635.50	
08SEP17	S 2 PD08DEC17	3093.00	3266.21	USD	-8,660.50	
08SEP17	B 2 PD08DEC17	3084.50	3266.21	USD	9,085.50	
08SEP17	B 2 PD08DEC17	3084.00	3266.21	USD	9,110.50	
08SEP17	B 1 PD08DEC17	3086.50	3266.21	USD	4,492.75	
08SEP17	B 1 PD08DEC17	3083.50	3266.21	USD	4,567.75	
08SEP17	B 1 PD08DEC17	3086.00	3266.21	USD	4,505.25	
08SEP17	S 1 PD08DEC17	3134.00	3266.21	USD	-3,305.25	
08SEP17	B 1 PD08DEC17	3121.00	3266.21	USD	3,630.25	
08SEP17	B 1 PD08DEC17	3104.00	3266.21	USD	4,055.25	
08SEP17	B 1 PD08DEC17	3105.00	3266.21	USD	4,030.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
08SEP17	S 1 PD08DEC17	3091.50	3266.21	USD	-4,367.75	
08SEP17	S 4 PD08DEC17	3092.00	3266.21	USD	-17,421.00	
08SEP17	S 6 PD08DEC17	3094.00	3266.21	USD	-25,831.50	
08SEP17	S 3 PD08DEC17	3094.50	3266.21	USD	-12,878.25	
08SEP17	S 1 PD08DEC17	3095.00	3266.21	USD	-4,280.25	
08SEP17	S 6 PD08DEC17	3095.50	3266.21	USD	-25,606.50	
08SEP17	S 1 PD08DEC17	3098.50	3266.21	USD	-4,192.75	
08SEP17	S 1 PD08DEC17	3098.00	3266.21	USD	-4,205.25	
08SEP17	S 1 PD08DEC17	3097.50	3266.21	USD	-4,217.75	
08SEP17	B 1 PD08DEC17	3087.00	3266.21	USD	4,480.25	
08SEP17	B 1 PD08DEC17	3082.00	3266.21	USD	4,605.25	
08SEP17	S 1 PD08DEC17	3074.50	3266.21	USD	-4,792.75	
08SEP17	S 1 PD08DEC17	3080.00	3266.21	USD	-4,655.25	
08SEP17	S 2 PD08DEC17	3079.00	3266.21	USD	-9,360.50	
08SEP17	S 2 PD08DEC17	3078.00	3266.21	USD	-9,410.50	
08SEP17	S 1 PD08DEC17	3079.50	3266.21	USD	-4,667.75	
08SEP17	S 1 PD08DEC17	3081.00	3266.21	USD	-4,630.25	
08SEP17	S 1 PD08DEC17	3083.00	3266.21	USD	-4,580.25	
08SEP17	S 1 PD08DEC17	3084.00	3266.21	USD	-4,555.25	
08SEP17	S 2 PD08DEC17	3085.00	3266.21	USD	-9,060.50	
08SEP17	S 3 PD08DEC17	3087.50	3266.21	USD	-13,403.25	
08SEP17	S 1 PD08DEC17	3089.00	3266.21	USD	-4,430.25	
08SEP17	B 1 PD08DEC17	3071.00	3266.21	USD	4,880.25	
08SEP17	B 1 PD08DEC17	3073.50	3266.21	USD	4,817.75	
08SEP17	B 1 PD08DEC17	3073.00	3266.21	USD	4,830.25	
08SEP17	B 1 PD08DEC17	3071.50	3266.21	USD	4,867.75	
08SEP17	B 1 PD08DEC17	3070.50	3266.21	USD	4,892.75	
08SEP17	B 1 PD08DEC17	3068.50	3266.21	USD	4,942.75	
08SEP17	B 1 PD08DEC17	3069.00	3266.21	USD	4,930.25	
08SEP17	B 3 PD08DEC17	3070.00	3266.21	USD	14,715.75	
08SEP17	S 2 PD08DEC17	3076.50	3266.21	USD	-9,485.50	
08SEP17	S 1 PD08DEC17	3075.00	3266.21	USD	-4,780.25	
08SEP17	S 2 PD08DEC17	3077.00	3266.21	USD	-9,460.50	
08SEP17	S 2 PD08DEC17	3078.50	3266.21	USD	-9,385.50	
08SEP17	B 3 PD08DEC17	3072.00	3266.21	USD	14,565.75	
08SEP17	S 1 PD08DEC17	3069.00	3266.21	USD	-4,930.25	
08SEP17	S 1 PD08DEC17	3064.50	3266.21	USD	-5,042.75	
08SEP17	S 1 PD08DEC17	3065.00	3266.21	USD	-5,030.25	
08SEP17	S 1 PD08DEC17	3059.00	3266.21	USD	-5,180.25	
08SEP17	S 2 PD08DEC17	3060.00	3266.21	USD	-10,310.50	
08SEP17	S 1 PD08DEC17	3062.00	3266.21	USD	-5,105.25	
08SEP17	S 2 PD08DEC17	3063.50	3266.21	USD	-10,135.50	
08SEP17	S 2 PD08DEC17	3064.00	3266.21	USD	-10,110.50	
08SEP17	S 1 PD08DEC17	3061.00	3266.21	USD	-5,130.25	
08SEP17	S 1 PD08DEC17	3061.50	3266.21	USD	-5,117.75	
08SEP17	B 1 PD08DEC17	3056.00	3266.21	USD	5,255.25	
08SEP17	B 1 PD08DEC17	3041.00	3266.21	USD	5,630.25	
08SEP17	S 1 PD08DEC17	3045.00	3266.21	USD	-5,530.25	
08SEP17	S 1 PD08DEC17	3046.50	3266.21	USD	-5,492.75	
08SEP17	S 1 PD08DEC17	3047.00	3266.21	USD	-5,480.25	
08SEP17	S 4 PD08DEC17	3050.50	3266.21	USD	-21,571.00	
08SEP17	S 2 PD08DEC17	3050.00	3266.21	USD	-10,810.50	
08SEP17	S 2 PD08DEC17	3048.50	3266.21	USD	-10,885.50	
08SEP17	B 2 PD08DEC17	3035.00	3266.21	USD	11,560.50	
08SEP17	S 1 PD08DEC17	3036.50	3266.21	USD	-5,742.75	
08SEP17	S 2 PD08DEC17	3037.00	3266.21	USD	-11,460.50	
08SEP17	S 2 PD08DEC17	3037.50	3266.21	USD	-11,435.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
08SEP17	B 1 PD08DEC17	3032.00	3266.21	USD	5,855.25	
08SEP17	B 1 PD08DEC17	3030.00	3266.21	USD	5,905.25	
08SEP17	B 1 PD08DEC17	3029.00	3266.21	USD	5,930.25	
13SEP17	S 2 PD08DEC17	3023.75	3266.21	USD	-12,123.00	
19SEP17	S 4 PD08DEC17	3104.36	3266.21	USD	-16,185.00	
	NET 0 AVG BGHT 3100.86 SOLD 3086.10				**-42,064.00**	**0.00**
11SEP17	S 2 PD11DEC17	3053.50	3266.54	USD	-10,652.00	
11SEP17	S 1 PD11DEC17	3056.00	3266.54	USD	-5,263.50	
11SEP17	S 1 PD11DEC17	3055.00	3266.54	USD	-5,288.50	
11SEP17	S 4 PD11DEC17	3057.50	3266.54	USD	-20,904.00	
11SEP17	S 1 PD11DEC17	3057.00	3266.54	USD	-5,238.50	
11SEP17	S 1 PD11DEC17	3054.00	3266.54	USD	-5,313.50	
11SEP17	S 4 PD11DEC17	3054.50	3266.54	USD	-21,204.00	
11SEP17	S 1 PD11DEC17	3055.50	3266.54	USD	-5,276.00	
11SEP17	S 3 PD11DEC17	3059.00	3266.54	USD	-15,565.50	
11SEP17	S 2 PD11DEC17	3061.00	3266.54	USD	-10,277.00	
11SEP17	S 1 PD11DEC17	3063.00	3266.54	USD	-5,088.50	
11SEP17	S 1 PD11DEC17	3064.00	3266.54	USD	-5,063.50	
11SEP17	S 2 PD11DEC17	3065.00	3266.54	USD	-10,077.00	
11SEP17	S 1 PD11DEC17	3067.00	3266.54	USD	-4,988.50	
11SEP17	S 1 PD11DEC17	3075.00	3266.54	USD	-4,788.50	
11SEP17	S 1 PD11DEC17	3075.50	3266.54	USD	-4,776.00	
11SEP17	S 4 PD11DEC17	3076.00	3266.54	USD	-19,054.00	
11SEP17	B 1 PD11DEC17	3075.50	3266.54	USD	4,776.00	
11SEP17	S 1 PD11DEC17	3089.50	3266.54	USD	-4,426.00	
11SEP17	S 1 PD11DEC17	3090.50	3266.54	USD	-4,401.00	
11SEP17	S 1 PD11DEC17	3092.50	3266.54	USD	-4,351.00	
11SEP17	S 1 PD11DEC17	3094.00	3266.54	USD	-4,313.50	
11SEP17	S 1 PD11DEC17	3095.00	3266.54	USD	-4,288.50	
11SEP17	S 1 PD11DEC17	3093.00	3266.54	USD	-4,338.50	
11SEP17	S 1 PD11DEC17	3102.50	3266.54	USD	-4,101.00	
11SEP17	S 1 PD11DEC17	3103.00	3266.54	USD	-4,088.50	
11SEP17	S 1 PD11DEC17	3054.00	3266.54	USD	-5,313.50	
11SEP17	S 1 PD11DEC17	3070.50	3266.54	USD	-4,901.00	
11SEP17	S 1 PD11DEC17	3102.00	3266.54	USD	-4,113.50	
11SEP17	B 1 PD11DEC17	3098.50	3266.54	USD	4,201.00	
11SEP17	B 2 PD11DEC17	3097.50	3266.54	USD	8,452.00	
11SEP17	B 1 PD11DEC17	3097.00	3266.54	USD	4,238.50	
11SEP17	B 2 PD11DEC17	3094.50	3266.54	USD	8,602.00	
11SEP17	B 1 PD11DEC17	3094.00	3266.54	USD	4,313.50	
11SEP17	B 1 PD11DEC17	3091.50	3266.54	USD	4,376.00	
11SEP17	B 1 PD11DEC17	3092.00	3266.54	USD	4,363.50	
11SEP17	S 1 PD11DEC17	3089.00	3266.54	USD	-4,438.50	
11SEP17	B 1 PD11DEC17	3089.50	3266.54	USD	4,426.00	
11SEP17	B 1 PD11DEC17	3089.00	3266.54	USD	4,438.50	
11SEP17	B 2 PD11DEC17	3083.50	3266.54	USD	9,152.00	
11SEP17	B 3 PD11DEC17	3086.00	3266.54	USD	13,540.50	
11SEP17	B 3 PD11DEC17	3082.50	3266.54	USD	13,803.00	
11SEP17	B 1 PD11DEC17	3082.00	3266.54	USD	4,613.50	
11SEP17	B 2 PD11DEC17	3081.50	3266.54	USD	9,252.00	
11SEP17	B 1 PD11DEC17	3078.50	3266.54	USD	4,701.00	
11SEP17	B 1 PD11DEC17	3079.00	3266.54	USD	4,688.50	
11SEP17	B 1 PD11DEC17	3077.00	3266.54	USD	4,738.50	
11SEP17	B 3 PD11DEC17	3078.00	3266.54	USD	14,140.50	
11SEP17	B 1 PD11DEC17	3077.50	3266.54	USD	4,726.00	
11SEP17	B 3 PD11DEC17	3076.50	3266.54	USD	14,253.00	
11SEP17	B 1 PD11DEC17	3074.00	3266.54	USD	4,813.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
11SEP17	B 1 PD11DEC17	3067.00	3266.54	USD	4,988.50	
11SEP17	B 1 PD11DEC17	3071.50	3266.54	USD	4,876.00	
11SEP17	B 1 PD11DEC17	3071.00	3266.54	USD	4,888.50	
11SEP17	B 1 PD11DEC17	3070.00	3266.54	USD	4,913.50	
11SEP17	B 1 PD11DEC17	3069.00	3266.54	USD	4,938.50	
11SEP17	B 1 PD11DEC17	3068.50	3266.54	USD	4,951.00	
11SEP17	B 1 PD11DEC17	3050.00	3266.54	USD	5,413.50	
11SEP17	S 1 PD11DEC17	3051.50	3266.54	USD	-5,376.00	
11SEP17	B 2 PD11DEC17	3101.00	3266.54	USD	8,277.00	
11SEP17	B 1 PD11DEC17	3069.00	3266.54	USD	4,938.50	
11SEP17	S 3 PD11DEC17	3076.50	3266.54	USD	-14,253.00	
11SEP17	B 2 PD11DEC17	3079.50	3266.54	USD	9,352.00	
11SEP17	S 1 PD11DEC17	3082.50	3266.54	USD	-4,601.00	
11SEP17	S 1 PD11DEC17	3084.00	3266.54	USD	-4,563.50	
13SEP17	B 3 PD11DEC17	3023.87	3266.54	USD	18,200.25	
	NET 0 AVG BGHT 3078.50 SOLD 3070.06				**-10,340.25**	**0.00**
12SEP17	S 1 PD12DEC17	3087.00	3266.64	USD	-4,491.00	
12SEP17	S 3 PD12DEC17	3084.50	3266.64	USD	-13,660.50	
12SEP17	B 2 PD12DEC17	3088.00	3266.64	USD	8,932.00	
12SEP17	B 2 PD12DEC17	3086.00	3266.64	USD	9,032.00	
12SEP17	B 1 PD12DEC17	3086.50	3266.64	USD	4,503.50	
12SEP17	B 2 PD12DEC17	3087.00	3266.64	USD	8,982.00	
12SEP17	B 4 PD12DEC17	3090.00	3266.64	USD	17,664.00	
12SEP17	B 3 PD12DEC17	3093.00	3266.64	USD	13,023.00	
12SEP17	B 4 PD12DEC17	3092.50	3266.64	USD	17,414.00	
12SEP17	B 4 PD12DEC17	3089.00	3266.64	USD	17,764.00	
12SEP17	B 4 PD12DEC17	3088.50	3266.64	USD	17,814.00	
12SEP17	B 6 PD12DEC17	3091.50	3266.64	USD	26,271.00	
12SEP17	S 1 PD12DEC17	3093.50	3266.64	USD	-4,328.50	
12SEP17	S 1 PD12DEC17	3091.50	3266.64	USD	-4,378.50	
12SEP17	S 4 PD12DEC17	3091.00	3266.64	USD	-17,564.00	
12SEP17	S 1 PD12DEC17	3089.50	3266.64	USD	-4,428.50	
12SEP17	S 4 PD12DEC17	3090.50	3266.64	USD	-17,614.00	
12SEP17	S 9 PD12DEC17	3090.00	3266.64	USD	-39,744.00	
12SEP17	S 4 PD12DEC17	3085.50	3266.64	USD	-18,114.00	
12SEP17	S 15 PD12DEC17	3084.00	3266.64	USD	-68,490.00	
12SEP17	S 4 PD12DEC17	3083.50	3266.64	USD	-18,314.00	
12SEP17	S 3 PD12DEC17	3083.00	3266.64	USD	-13,773.00	
12SEP17	S 9 PD12DEC17	3082.50	3266.64	USD	-41,431.50	
12SEP17	S 1 PD12DEC17	3081.50	3266.64	USD	-4,628.50	
12SEP17	S 2 PD12DEC17	3082.00	3266.64	USD	-9,232.00	
12SEP17	B 1 PD12DEC17	3075.00	3266.64	USD	4,791.00	
12SEP17	B 1 PD12DEC17	3073.00	3266.64	USD	4,841.00	
12SEP17	B 1 PD12DEC17	3072.50	3266.64	USD	4,853.50	
12SEP17	S 2 PD12DEC17	3081.00	3266.64	USD	-9,282.00	
12SEP17	S 4 PD12DEC17	3080.00	3266.64	USD	-18,664.00	
12SEP17	S 3 PD12DEC17	3078.00	3266.64	USD	-14,148.00	
12SEP17	S 2 PD12DEC17	3078.50	3266.64	USD	-9,407.00	
12SEP17	S 3 PD12DEC17	3079.50	3266.64	USD	-14,035.50	
12SEP17	B 11 PD12DEC17	3070.00	3266.64	USD	54,076.00	
12SEP17	B 1 PD12DEC17	3068.50	3266.64	USD	4,953.50	
12SEP17	B 5 PD12DEC17	3067.50	3266.64	USD	24,892.50	
12SEP17	B 2 PD12DEC17	3067.00	3266.64	USD	9,982.00	
12SEP17	B 1 PD12DEC17	3062.50	3266.64	USD	5,103.50	
12SEP17	S 1 PD12DEC17	3066.00	3266.64	USD	-5,016.00	
12SEP17	S 1 PD12DEC17	3057.50	3266.64	USD	-5,228.50	
12SEP17	S 1 PD12DEC17	3060.50	3266.64	USD	-5,153.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
12SEP17	S 1 PD12DEC17	3061.00	3266.64	USD	-5,141.00	
12SEP17	S 1 PD12DEC17	3064.00	3266.64	USD	-5,066.00	
12SEP17	B 1 PD12DEC17	3089.00	3266.64	USD	4,441.00	
12SEP17	B 4 PD12DEC17	3093.00	3266.64	USD	17,364.00	
12SEP17	S 1 PD12DEC17	3080.00	3266.64	USD	-4,666.00	
12SEP17	B 1 PD12DEC17	3068.00	3266.64	USD	4,966.00	
12SEP17	S 1 PD12DEC17	3062.50	3266.64	USD	-5,103.50	
12SEP17	S 2 PD12DEC17	3064.50	3266.64	USD	-10,107.00	
12SEP17	B 2 PD12DEC17	3073.50	3266.64	USD	9,657.00	
12SEP17	B 2 PD12DEC17	3076.50	3266.64	USD	9,507.00	
12SEP17	S 1 PD12DEC17	3075.50	3266.64	USD	-4,778.50	
12SEP17	S 1 PD12DEC17	3077.00	3266.64	USD	-4,741.00	
12SEP17	S 2 PD12DEC17	3072.50	3266.64	USD	-9,707.00	
12SEP17	B 2 PD12DEC17	3071.50	3266.64	USD	9,757.00	
12SEP17	B 4 PD12DEC17	3070.50	3266.64	USD	19,614.00	
12SEP17	B 10 PD12DEC17	3069.50	3266.64	USD	49,285.00	
12SEP17	B 2 PD12DEC17	3068.00	3266.64	USD	9,932.00	
12SEP17	S 2 PD12DEC17	3068.50	3266.64	USD	-9,907.00	
12SEP17	S 1 PD12DEC17	3063.50	3266.64	USD	-5,078.50	
12SEP17	B 2 PD12DEC17	3063.50	3266.64	USD	10,157.00	
12SEP17	S 1 PD12DEC17	3063.00	3266.64	USD	-5,091.00	
12SEP17	B 3 PD12DEC17	3064.00	3266.64	USD	15,198.00	
12SEP17	B 2 PD12DEC17	3062.00	3266.64	USD	10,232.00	
12SEP17	B 2 PD12DEC17	3059.50	3266.64	USD	10,357.00	
12SEP17	B 1 PD12DEC17	3058.50	3266.64	USD	5,203.50	
12SEP17	B 7 PD12DEC17	3065.00	3266.64	USD	35,287.00	
12SEP17	B 2 PD12DEC17	3064.50	3266.64	USD	10,107.00	
12SEP17	S 1 PD12DEC17	3075.00	3266.64	USD	-4,791.00	
12SEP17	S 5 PD12DEC17	3079.00	3266.64	USD	-23,455.00	
12SEP17	B 3 PD12DEC17	3074.50	3266.64	USD	14,410.50	
12SEP17	S 1 PD12DEC17	3072.00	3266.64	USD	-4,866.00	
12SEP17	B 1 PD12DEC17	3061.50	3266.64	USD	5,128.50	
12SEP17	B 2 PD12DEC17	3063.00	3266.64	USD	10,182.00	
12SEP17	S 1 PD12DEC17	3069.50	3266.64	USD	-4,928.50	
12SEP17	S 3 PD12DEC17	3070.00	3266.64	USD	-14,748.00	
12SEP17	S 1 PD12DEC17	3066.50	3266.64	USD	-5,003.50	
12SEP17	S 1 PD12DEC17	3067.00	3266.64	USD	-4,991.00	
13SEP17	B 4 PD12DEC17	3023.78	3266.64	USD	24,286.00	
15SEP17	S 6 PD12DEC17	3030.53	3266.64	USD	-35,416.50	
	NET 0 AVG BGHT 3073.80 SOLD 3077.81				**11,251.50**	**0.00**
13SEP17	S 4 PD13DEC17	3053.00	3266.75	USD	-21,375.00	
13SEP17	S 1 PD13DEC17	3048.00	3266.75	USD	-5,468.75	
13SEP17	S 3 PD13DEC17	3050.00	3266.75	USD	-16,256.25	
13SEP17	S 1 PD13DEC17	3050.50	3266.75	USD	-5,406.25	
13SEP17	S 1 PD13DEC17	3051.00	3266.75	USD	-5,393.75	
13SEP17	B 1 PD13DEC17	3053.00	3266.75	USD	5,343.75	
13SEP17	S 1 PD13DEC17	3058.00	3266.75	USD	-5,218.75	
13SEP17	S 1 PD13DEC17	3063.50	3266.75	USD	-5,081.25	
13SEP17	S 1 PD13DEC17	3059.50	3266.75	USD	-5,181.25	
13SEP17	S 2 PD13DEC17	3060.50	3266.75	USD	-10,312.50	
13SEP17	S 1 PD13DEC17	3063.00	3266.75	USD	-5,093.75	
13SEP17	B 3 PD13DEC17	3052.50	3266.75	USD	16,068.75	
13SEP17	S 1 PD13DEC17	3056.00	3266.75	USD	-5,268.75	
13SEP17	S 3 PD13DEC17	3058.50	3266.75	USD	-15,618.75	
13SEP17	B 1 PD13DEC17	3055.00	3266.75	USD	5,293.75	
13SEP17	B 3 PD13DEC17	3051.50	3266.75	USD	16,143.75	
13SEP17	B 2 PD13DEC17	3052.00	3266.75	USD	10,737.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
13SEP17	B 4 PD13DEC17	3051.00	3266.75	USD	21,575.00	
13SEP17	B 5 PD13DEC17	3050.00	3266.75	USD	27,093.75	
13SEP17	B 1 PD13DEC17	3049.00	3266.75	USD	5,443.75	
13SEP17	B 2 PD13DEC17	3046.00	3266.75	USD	11,037.50	
13SEP17	B 3 PD13DEC17	3044.00	3266.75	USD	16,706.25	
13SEP17	B 3 PD13DEC17	3045.00	3266.75	USD	16,631.25	
13SEP17	S 3 PD13DEC17	3052.50	3266.75	USD	-16,068.75	
13SEP17	B 1 PD13DEC17	3047.50	3266.75	USD	5,481.25	
13SEP17	B 1 PD13DEC17	3044.50	3266.75	USD	5,556.25	
13SEP17	S 2 PD13DEC17	3051.50	3266.75	USD	-10,762.50	
13SEP17	S 3 PD13DEC17	3053.50	3266.75	USD	-15,993.75	
13SEP17	S 3 PD13DEC17	3052.00	3266.75	USD	-16,106.25	
13SEP17	B 4 PD13DEC17	3050.50	3266.75	USD	21,625.00	
13SEP17	B 2 PD13DEC17	3049.50	3266.75	USD	10,862.50	
13SEP17	B 3 PD13DEC17	3043.50	3266.75	USD	16,743.75	
13SEP17	B 2 PD13DEC17	3042.50	3266.75	USD	11,212.50	
13SEP17	B 1 PD13DEC17	3039.00	3266.75	USD	5,693.75	
13SEP17	B 2 PD13DEC17	3035.00	3266.75	USD	11,587.50	
13SEP17	B 3 PD13DEC17	3034.50	3266.75	USD	17,418.75	
13SEP17	B 1 PD13DEC17	3037.50	3266.75	USD	5,731.25	
13SEP17	B 1 PD13DEC17	3036.50	3266.75	USD	5,756.25	
13SEP17	B 3 PD13DEC17	3036.00	3266.75	USD	17,306.25	
13SEP17	B 1 PD13DEC17	3029.50	3266.75	USD	5,931.25	
13SEP17	B 2 PD13DEC17	3033.00	3266.75	USD	11,687.50	
13SEP17	B 1 PD13DEC17	3028.00	3266.75	USD	5,968.75	
13SEP17	S 1 PD13DEC17	3035.50	3266.75	USD	-5,781.25	
13SEP17	S 1 PD13DEC17	3037.00	3266.75	USD	-5,743.75	
13SEP17	S 1 PD13DEC17	3026.50	3266.75	USD	-6,006.25	
13SEP17	S 1 PD13DEC17	3030.50	3266.75	USD	-5,906.25	
13SEP17	S 1 PD13DEC17	3033.00	3266.75	USD	-5,843.75	
13SEP17	S 2 PD13DEC17	3034.00	3266.75	USD	-11,637.50	
13SEP17	S 3 PD13DEC17	3037.50	3266.75	USD	-17,193.75	
13SEP17	S 1 PD13DEC17	3039.00	3266.75	USD	-5,693.75	
13SEP17	S 3 PD13DEC17	3040.00	3266.75	USD	-17,006.25	
13SEP17	S 3 PD13DEC17	3039.50	3266.75	USD	-17,043.75	
13SEP17	S 1 PD13DEC17	3042.00	3266.75	USD	-5,618.75	
13SEP17	S 1 PD13DEC17	3042.50	3266.75	USD	-5,606.25	
13SEP17	B 1 PD13DEC17	3041.50	3266.75	USD	5,631.25	
13SEP17	B 1 PD13DEC17	3028.50	3266.75	USD	5,956.25	
13SEP17	B 1 PD13DEC17	3027.50	3266.75	USD	5,981.25	
13SEP17	B 3 PD13DEC17	3026.50	3266.75	USD	18,018.75	
13SEP17	S 1 PD13DEC17	3026.00	3266.75	USD	-6,018.75	
13SEP17	S 2 PD13DEC17	3029.00	3266.75	USD	-11,887.50	
13SEP17	S 3 PD13DEC17	3030.00	3266.75	USD	-17,756.25	
13SEP17	S 5 PD13DEC17	3029.50	3266.75	USD	-29,656.25	
13SEP17	B 1 PD13DEC17	3030.50	3266.75	USD	5,906.25	
13SEP17	B 1 PD13DEC17	3030.00	3266.75	USD	5,918.75	
13SEP17	S 1 PD13DEC17	3028.50	3266.75	USD	-5,956.25	
13SEP17	S 1 PD13DEC17	3029.50	3266.75	USD	-5,931.25	
15SEP17	B 2 PD13DEC17	3030.89	3266.75	USD	11,793.00	
19SEP17	S 2 PD13DEC17	3105.43	3266.75	USD	-8,066.00	
	NET +1 AVG BGHT 3042.60 SOLD 3045.85				**10,883.25**	**0.00**
14SEP17	B 4 PD14DEC17	3036.00	3266.86	USD	23,086.00	
14SEP17	S 1 PD14DEC17	3035.00	3266.86	USD	-5,796.50	
14SEP17	S 1 PD14DEC17	3034.50	3266.86	USD	-5,809.00	
14SEP17	S 1 PD14DEC17	3031.00	3266.86	USD	-5,896.50	
14SEP17	S 4 PD14DEC17	3032.00	3266.86	USD	-23,486.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
14SEP17	S 2 PD14DEC17	3030.50	3266.86	USD	-11,818.00	
14SEP17	S 4 PD14DEC17	3031.50	3266.86	USD	-23,536.00	
14SEP17	B 1 PD14DEC17	3025.50	3266.86	USD	6,034.00	
14SEP17	B 1 PD14DEC17	3022.00	3266.86	USD	6,121.50	
14SEP17	B 1 PD14DEC17	3020.50	3266.86	USD	6,159.00	
14SEP17	B 3 PD14DEC17	3021.50	3266.86	USD	18,402.00	
14SEP17	B 7 PD14DEC17	3021.00	3266.86	USD	43,025.50	
14SEP17	S 1 PD14DEC17	3021.50	3266.86	USD	-6,134.00	
14SEP17	B 2 PD14DEC17	3020.00	3266.86	USD	12,343.00	
14SEP17	B 3 PD14DEC17	3019.50	3266.86	USD	18,552.00	
14SEP17	B 2 PD14DEC17	3018.00	3266.86	USD	12,443.00	
14SEP17	B 1 PD14DEC17	3016.50	3266.86	USD	6,259.00	
14SEP17	B 2 PD14DEC17	3017.00	3266.86	USD	12,493.00	
14SEP17	B 1 PD14DEC17	3015.50	3266.86	USD	6,284.00	
14SEP17	B 3 PD14DEC17	3012.50	3266.86	USD	19,077.00	
14SEP17	B 1 PD14DEC17	3007.00	3266.86	USD	6,496.50	
14SEP17	B 1 PD14DEC17	3006.50	3266.86	USD	6,509.00	
14SEP17	S 2 PD14DEC17	3016.00	3266.86	USD	-12,543.00	
14SEP17	B 3 PD14DEC17	3011.50	3266.86	USD	19,152.00	
14SEP17	S 1 PD14DEC17	3019.50	3266.86	USD	-6,184.00	
14SEP17	B 1 PD14DEC17	3014.50	3266.86	USD	6,309.00	
14SEP17	B 4 PD14DEC17	3014.00	3266.86	USD	25,286.00	
14SEP17	B 4 PD14DEC17	3013.50	3266.86	USD	25,336.00	
14SEP17	B 1 PD14DEC17	3011.00	3266.86	USD	6,396.50	
14SEP17	S 5 PD14DEC17	3015.00	3266.86	USD	-31,482.50	
14SEP17	S 1 PD14DEC17	3014.00	3266.86	USD	-6,321.50	
14SEP17	B 1 PD14DEC17	3005.50	3266.86	USD	6,534.00	
14SEP17	B 2 PD14DEC17	2998.00	3266.86	USD	13,443.00	
14SEP17	B 2 PD14DEC17	2999.50	3266.86	USD	13,368.00	
14SEP17	S 1 PD14DEC17	2998.50	3266.86	USD	-6,709.00	
14SEP17	B 1 PD14DEC17	2987.50	3266.86	USD	6,984.00	
14SEP17	S 1 PD14DEC17	2989.50	3266.86	USD	-6,934.00	
14SEP17	B 1 PD14DEC17	2989.50	3266.86	USD	6,934.00	
14SEP17	B 1 PD14DEC17	2989.00	3266.86	USD	6,946.50	
14SEP17	B 1 PD14DEC17	2992.50	3266.86	USD	6,859.00	
14SEP17	S 1 PD14DEC17	3002.00	3266.86	USD	-6,621.50	
14SEP17	S 1 PD14DEC17	3000.00	3266.86	USD	-6,671.50	
14SEP17	S 1 PD14DEC17	3000.50	3266.86	USD	-6,659.00	
14SEP17	S 1 PD14DEC17	3009.00	3266.86	USD	-6,446.50	
14SEP17	S 2 PD14DEC17	3003.00	3266.86	USD	-13,193.00	
14SEP17	B 1 PD14DEC17	2985.50	3266.86	USD	7,034.00	
14SEP17	S 1 PD14DEC17	2987.00	3266.86	USD	-6,996.50	
14SEP17	S 1 PD14DEC17	2977.50	3266.86	USD	-7,234.00	
14SEP17	S 1 PD14DEC17	2978.00	3266.86	USD	-7,221.50	
14SEP17	S 1 PD14DEC17	2980.00	3266.86	USD	-7,171.50	
14SEP17	S 2 PD14DEC17	2984.00	3266.86	USD	-14,143.00	
14SEP17	S 1 PD14DEC17	2987.50	3266.86	USD	-6,984.00	
14SEP17	S 1 PD14DEC17	2990.00	3266.86	USD	-6,921.50	
14SEP17	S 1 PD14DEC17	2989.00	3266.86	USD	-6,946.50	
14SEP17	S 1 PD14DEC17	2991.00	3266.86	USD	-6,896.50	
14SEP17	B 1 PD14DEC17	3012.50	3266.86	USD	6,359.00	
14SEP17	S 1 PD14DEC17	2999.00	3266.86	USD	-6,696.50	
14SEP17	B 1 PD14DEC17	2998.50	3266.86	USD	6,709.00	
14SEP17	B 1 PD14DEC17	2991.00	3266.86	USD	6,896.50	
14SEP17	B 1 PD14DEC17	2993.00	3266.86	USD	6,846.50	
14SEP17	S 2 PD14DEC17	2994.00	3266.86	USD	-13,643.00	
14SEP17	B 1 PD14DEC17	2996.50	3266.86	USD	6,759.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
14SEP17	B 1 PD14DEC17	2994.00	3266.86	USD	6,821.50	
14SEP17	S 1 PD14DEC17	2995.00	3266.86	USD	-6,796.50	
14SEP17	S 2 PD14DEC17	2996.00	3266.86	USD	-13,543.00	
14SEP17	S 1 PD14DEC17	2996.50	3266.86	USD	-6,759.00	
14SEP17	S 2 PD14DEC17	2998.00	3266.86	USD	-13,443.00	
14SEP17	S 2 PD14DEC17	2999.50	3266.86	USD	-13,368.00	
14SEP17	S 2 PD14DEC17	3001.00	3266.86	USD	-13,293.00	
14SEP17	S 3 PD14DEC17	3003.50	3266.86	USD	-19,752.00	
14SEP17	S 2 PD14DEC17	3005.50	3266.86	USD	-13,068.00	
14SEP17	S 2 PD14DEC17	3006.00	3266.86	USD	-13,043.00	
14SEP17	S 2 PD14DEC17	3005.00	3266.86	USD	-13,093.00	
14SEP17	S 2 PD14DEC17	3007.00	3266.86	USD	-12,993.00	
14SEP17	S 3 PD14DEC17	3007.50	3266.86	USD	-19,452.00	
14SEP17	S 2 PD14DEC17	3006.50	3266.86	USD	-13,018.00	
14SEP17	S 3 PD14DEC17	3004.00	3266.86	USD	-19,714.50	
14SEP17	S 3 PD14DEC17	3009.50	3266.86	USD	-19,302.00	
14SEP17	S 1 PD14DEC17	3011.00	3266.86	USD	-6,396.50	
14SEP17	S 1 PD14DEC17	3017.00	3266.86	USD	-6,246.50	
14SEP17	S 1 PD14DEC17	3016.50	3266.86	USD	-6,259.00	
14SEP17	S 7 PD14DEC17	3012.50	3266.86	USD	-44,513.00	
14SEP17	S 2 PD14DEC17	3013.00	3266.86	USD	-12,693.00	
14SEP17	S 1 PD14DEC17	3014.50	3266.86	USD	-6,309.00	
14SEP17	B 2 PD14DEC17	3015.00	3266.86	USD	12,593.00	
14SEP17	B 2 PD14DEC17	3013.00	3266.86	USD	12,693.00	
14SEP17	S 1 PD14DEC17	3013.50	3266.86	USD	-6,334.00	
14SEP17	S 1 PD14DEC17	3015.50	3266.86	USD	-6,284.00	
14SEP17	B 1 PD14DEC17	3008.50	3266.86	USD	6,459.00	
14SEP17	S 1 PD14DEC17	2991.00	3266.86	USD	-6,896.50	
14SEP17	S 1 PD14DEC17	2997.00	3266.86	USD	-6,746.50	
14SEP17	B 1 PD14DEC17	3008.00	3266.86	USD	6,471.50	
14SEP17	B 1 PD14DEC17	3003.00	3266.86	USD	6,596.50	
14SEP17	B 2 PD14DEC17	3002.00	3266.86	USD	13,243.00	
14SEP17	B 1 PD14DEC17	3004.00	3266.86	USD	6,571.50	
14SEP17	B 2 PD14DEC17	3003.50	3266.86	USD	13,168.00	
14SEP17	B 3 PD14DEC17	3002.50	3266.86	USD	19,827.00	
14SEP17	B 1 PD14DEC17	3000.50	3266.86	USD	6,659.00	
14SEP17	B 2 PD14DEC17	2997.50	3266.86	USD	13,468.00	
14SEP17	B 3 PD14DEC17	3006.00	3266.86	USD	19,564.50	
14SEP17	B 5 PD14DEC17	3004.50	3266.86	USD	32,795.00	
14SEP17	B 5 PD14DEC17	3005.00	3266.86	USD	32,732.50	
15SEP17	B 4 PD14DEC17	3031.04	3266.86	USD	23,582.00	
19SEP17	S 4 PD14DEC17	3105.64	3266.86	USD	-16,122.00	
	NET 0 AVG BGHT 3010.91 SOLD 3011.79				**2,147.50**	**0.00**
15SEP17	B 1 PD15DEC17	3044.00	3266.96	USD	5,574.00	
15SEP17	S 2 PD15DEC17	3013.00	3266.96	USD	-12,698.00	
15SEP17	B 1 PD15DEC17	3014.00	3266.96	USD	6,324.00	
15SEP17	S 3 PD15DEC17	3007.00	3266.96	USD	-19,497.00	
15SEP17	B 2 PD15DEC17	3004.50	3266.96	USD	13,123.00	
15SEP17	S 1 PD15DEC17	3006.00	3266.96	USD	-6,524.00	
15SEP17	S 2 PD15DEC17	3006.50	3266.96	USD	-13,023.00	
15SEP17	S 1 PD15DEC17	3009.00	3266.96	USD	-6,449.00	
15SEP17	S 1 PD15DEC17	3010.00	3266.96	USD	-6,424.00	
15SEP17	S 4 PD15DEC17	3012.50	3266.96	USD	-25,446.00	
15SEP17	B 1 PD15DEC17	3010.50	3266.96	USD	6,411.50	
15SEP17	B 1 PD15DEC17	3010.00	3266.96	USD	6,424.00	
15SEP17	B 1 PD15DEC17	3022.00	3266.96	USD	6,124.00	
15SEP17	S 1 PD15DEC17	3017.00	3266.96	USD	-6,249.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
15SEP17	B 1 PD15DEC17	3019.50	3266.96	USD	6,186.50	
15SEP17	B 3 PD15DEC17	3018.50	3266.96	USD	18,634.50	
15SEP17	B 1 PD15DEC17	3006.00	3266.96	USD	6,524.00	
15SEP17	B 1 PD15DEC17	3004.00	3266.96	USD	6,574.00	
15SEP17	B 3 PD15DEC17	3013.00	3266.96	USD	19,047.00	
15SEP17	S 2 PD15DEC17	3021.00	3266.96	USD	-12,298.00	
15SEP17	S 2 PD15DEC17	3023.00	3266.96	USD	-12,198.00	
15SEP17	S 1 PD15DEC17	3026.00	3266.96	USD	-6,024.00	
15SEP17	S 1 PD15DEC17	3030.50	3266.96	USD	-5,911.50	
15SEP17	S 1 PD15DEC17	3031.00	3266.96	USD	-5,899.00	
15SEP17	B 2 PD15DEC17	3029.00	3266.96	USD	11,898.00	
15SEP17	B 2 PD15DEC17	3032.50	3266.96	USD	11,723.00	
15SEP17	B 1 PD15DEC17	3024.00	3266.96	USD	6,074.00	
15SEP17	B 4 PD15DEC17	3025.00	3266.96	USD	24,196.00	
15SEP17	B 1 PD15DEC17	3024.50	3266.96	USD	6,061.50	
15SEP17	B 1 PD15DEC17	3005.00	3266.96	USD	6,549.00	
15SEP17	S 1 PD15DEC17	3012.50	3266.96	USD	-6,361.50	
15SEP17	S 1 PD15DEC17	3012.00	3266.96	USD	-6,374.00	
15SEP17	S 2 PD15DEC17	3018.50	3266.96	USD	-12,423.00	
15SEP17	S 1 PD15DEC17	3038.00	3266.96	USD	-5,724.00	
15SEP17	S 2 PD15DEC17	3040.00	3266.96	USD	-11,348.00	
15SEP17	S 1 PD15DEC17	3039.50	3266.96	USD	-5,686.50	
15SEP17	S 1 PD15DEC17	3040.50	3266.96	USD	-5,661.50	
15SEP17	S 1 PD15DEC17	3045.00	3266.96	USD	-5,549.00	
15SEP17	B 1 PD15DEC17	3039.50	3266.96	USD	5,686.50	
15SEP17	B 1 PD15DEC17	3034.00	3266.96	USD	5,824.00	
15SEP17	B 2 PD15DEC17	3033.50	3266.96	USD	11,673.00	
15SEP17	B 1 PD15DEC17	3027.00	3266.96	USD	5,999.00	
15SEP17	S 1 PD15DEC17	3034.50	3266.96	USD	-5,811.50	
15SEP17	S 1 PD15DEC17	3034.00	3266.96	USD	-5,824.00	
15SEP17	S 2 PD15DEC17	3035.00	3266.96	USD	-11,598.00	
15SEP17	B 1 PD15DEC17	3035.50	3266.96	USD	5,786.50	
15SEP17	B 1 PD15DEC17	3032.00	3266.96	USD	5,874.00	
15SEP17	B 1 PD15DEC17	3031.50	3266.96	USD	5,886.50	
15SEP17	S 1 PD15DEC17	3028.00	3266.96	USD	-5,974.00	
15SEP17	B 4 PD15DEC17	3021.50	3266.96	USD	24,546.00	
15SEP17	B 4 PD15DEC17	3018.00	3266.96	USD	24,896.00	
15SEP17	B 1 PD15DEC17	3014.50	3266.96	USD	6,311.50	
15SEP17	B 1 PD15DEC17	3009.00	3266.96	USD	6,449.00	
15SEP17	S 1 PD15DEC17	2998.50	3266.96	USD	-6,711.50	
15SEP17	B 1 PD15DEC17	2991.50	3266.96	USD	6,886.50	
15SEP17	B 1 PD15DEC17	2991.00	3266.96	USD	6,899.00	
15SEP17	B 1 PD15DEC17	2992.50	3266.96	USD	6,861.50	
15SEP17	S 1 PD15DEC17	3001.50	3266.96	USD	-6,636.50	
15SEP17	S 1 PD15DEC17	3011.00	3266.96	USD	-6,399.00	
15SEP17	S 4 PD15DEC17	3011.50	3266.96	USD	-25,546.00	
15SEP17	S 1 PD15DEC17	3015.50	3266.96	USD	-6,286.50	
15SEP17	S 1 PD15DEC17	3015.00	3266.96	USD	-6,299.00	
15SEP17	S 3 PD15DEC17	3014.50	3266.96	USD	-18,934.50	
15SEP17	S 1 PD15DEC17	3013.50	3266.96	USD	-6,336.50	
15SEP17	B 2 PD15DEC17	2995.50	3266.96	USD	13,573.00	
15SEP17	B 1 PD15DEC17	2994.00	3266.96	USD	6,824.00	
15SEP17	B 1 PD15DEC17	2994.50	3266.96	USD	6,811.50	
15SEP17	B 1 PD15DEC17	3019.00	3266.96	USD	6,199.00	
15SEP17	S 1 PD15DEC17	3009.50	3266.96	USD	-6,436.50	
15SEP17	S 1 PD15DEC17	3010.00	3266.96	USD	-6,424.00	
15SEP17	S 1 PD15DEC17	3014.00	3266.96	USD	-6,324.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
15SEP17	B 3 PD15DEC17	2993.00	3266.96	USD	20,547.00	
15SEP17	B 1 PD15DEC17	2996.00	3266.96	USD	6,774.00	
15SEP17	S 1 PD15DEC17	3008.00	3266.96	USD	-6,474.00	
15SEP17	S 1 PD15DEC17	3009.50	3266.96	USD	-6,436.50	
15SEP17	S 1 PD15DEC17	3012.00	3266.96	USD	-6,374.00	
15SEP17	S 2 PD15DEC17	3016.00	3266.96	USD	-12,548.00	
15SEP17	S 1 PD15DEC17	3020.00	3266.96	USD	-6,174.00	
15SEP17	S 2 PD15DEC17	3022.50	3266.96	USD	-12,223.00	
15SEP17	S 1 PD15DEC17	3021.50	3266.96	USD	-6,136.50	
15SEP17	S 1 PD15DEC17	3022.00	3266.96	USD	-6,124.00	
15SEP17	S 1 PD15DEC17	3023.50	3266.96	USD	-6,086.50	
15SEP17	S 3 PD15DEC17	3030.00	3266.96	USD	-17,772.00	
15SEP17	B 2 PD15DEC17	3030.00	3266.96	USD	11,848.00	
15SEP17	B 1 PD15DEC17	3030.50	3266.96	USD	5,911.50	
19SEP17	B 9 PD15DEC17	3106.06	3266.96	USD	36,202.50	
	NET +2 AVG BGHT 3028.28 SOLD 3018.81				**-3,940.50**	**0.00**
18SEP17	S 1 PD18DEC17	3071.50	3267.29	USD	-4,894.75	
18SEP17	B 3 PD18DEC17	3075.50	3267.29	USD	14,384.25	
18SEP17	S 2 PD18DEC17	3075.00	3267.29	USD	-9,614.50	
18SEP17	S 2 PD18DEC17	3084.50	3267.29	USD	-9,139.50	
18SEP17	B 1 PD18DEC17	3074.50	3267.29	USD	4,819.75	
18SEP17	B 3 PD18DEC17	3075.00	3267.29	USD	14,421.75	
18SEP17	S 3 PD18DEC17	3083.00	3267.29	USD	-13,821.75	
18SEP17	S 1 PD18DEC17	3070.00	3267.29	USD	-4,932.25	
18SEP17	S 2 PD18DEC17	3080.50	3267.29	USD	-9,339.50	
18SEP17	B 4 PD18DEC17	3076.00	3267.29	USD	19,129.00	
18SEP17	B 2 PD18DEC17	3067.50	3267.29	USD	9,989.50	
18SEP17	S 1 PD18DEC17	3084.00	3267.29	USD	-4,582.25	
18SEP17	B 4 PD18DEC17	3078.00	3267.29	USD	18,929.00	
18SEP17	B 1 PD18DEC17	3066.00	3267.29	USD	5,032.25	
18SEP17	B 1 PD18DEC17	3066.50	3267.29	USD	5,019.75	
18SEP17	S 1 PD18DEC17	3077.00	3267.29	USD	-4,757.25	
18SEP17	S 1 PD18DEC17	3066.00	3267.29	USD	-5,032.25	
18SEP17	S 1 PD18DEC17	3079.50	3267.29	USD	-4,694.75	
18SEP17	S 1 PD18DEC17	3079.00	3267.29	USD	-4,707.25	
18SEP17	S 1 PD18DEC17	3078.50	3267.29	USD	-4,719.75	
18SEP17	S 2 PD18DEC17	3082.00	3267.29	USD	-9,264.50	
18SEP17	S 1 PD18DEC17	3082.50	3267.29	USD	-4,619.75	
18SEP17	S 1 PD18DEC17	3083.50	3267.29	USD	-4,594.75	
18SEP17	S 5 PD18DEC17	3081.50	3267.29	USD	-23,223.75	
18SEP17	S 1 PD18DEC17	3090.00	3267.29	USD	-4,432.25	
18SEP17	S 1 PD18DEC17	3090.50	3267.29	USD	-4,419.75	
18SEP17	S 4 PD18DEC17	3094.00	3267.29	USD	-17,329.00	
18SEP17	S 1 PD18DEC17	3089.50	3267.29	USD	-4,444.75	
18SEP17	B 1 PD18DEC17	3089.00	3267.29	USD	4,457.25	
18SEP17	B 1 PD18DEC17	3081.00	3267.29	USD	4,657.25	
18SEP17	S 5 PD18DEC17	3081.00	3267.29	USD	-23,286.25	
18SEP17	B 2 PD18DEC17	3073.00	3267.29	USD	9,714.50	
18SEP17	B 2 PD18DEC17	3070.50	3267.29	USD	9,839.50	
18SEP17	B 4 PD18DEC17	3071.50	3267.29	USD	19,579.00	
18SEP17	B 2 PD18DEC17	3071.00	3267.29	USD	9,814.50	
18SEP17	B 6 PD18DEC17	3076.50	3267.29	USD	28,618.50	
18SEP17	B 1 PD18DEC17	3073.50	3267.29	USD	4,844.75	
18SEP17	B 1 PD18DEC17	3066.50	3267.29	USD	5,019.75	
18SEP17	B 1 PD18DEC17	3071.50	3267.29	USD	4,894.75	
18SEP17	B 1 PD18DEC17	3079.00	3267.29	USD	4,707.25	
18SEP17	B 1 PD18DEC17	3065.00	3267.29	USD	5,057.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
18SEP17	S 1 PD18DEC17	3087.00	3267.29	USD	-4,507.25	
18SEP17	S 1 PD18DEC17	3087.50	3267.29	USD	-4,494.75	
18SEP17	S 1 PD18DEC17	3088.50	3267.29	USD	-4,469.75	
18SEP17	B 1 PD18DEC17	3088.00	3267.29	USD	4,482.25	
18SEP17	S 2 PD18DEC17	3088.00	3267.29	USD	-8,964.50	
18SEP17	S 1 PD18DEC17	3074.00	3267.29	USD	-4,832.25	
18SEP17	S 1 PD18DEC17	3087.50	3267.29	USD	-4,494.75	
18SEP17	B 1 PD18DEC17	3101.50	3267.29	USD	4,144.75	
18SEP17	S 1 PD18DEC17	3100.00	3267.29	USD	-4,182.25	
18SEP17	S 1 PD18DEC17	3105.00	3267.29	USD	-4,057.25	
18SEP17	S 1 PD18DEC17	3112.00	3267.29	USD	-3,882.25	
19SEP17	B 4 PD18DEC17	3106.70	3267.29	USD	16,059.00	
	NET 0 AVG BGHT 3077.61 SOLD 3084.18				**7,880.00**	**0.00**
19SEP17	S 1 PD19DEC17	3100.00	3267.39	USD	-4,184.75	
19SEP17	S 2 PD19DEC17	3102.00	3267.39	USD	-8,269.50	
19SEP17	S 1 PD19DEC17	3105.50	3267.39	USD	-4,047.25	
19SEP17	B 1 PD19DEC17	3096.50	3267.39	USD	4,272.25	
19SEP17	B 1 PD19DEC17	3095.00	3267.39	USD	4,309.75	
19SEP17	B 1 PD19DEC17	3091.00	3267.39	USD	4,409.75	
19SEP17	B 1 PD19DEC17	3093.50	3267.39	USD	4,347.25	
19SEP17	B 1 PD19DEC17	3089.50	3267.39	USD	4,447.25	
19SEP17	B 1 PD19DEC17	3092.50	3267.39	USD	4,372.25	
19SEP17	S 1 PD19DEC17	3095.50	3267.39	USD	-4,297.25	
19SEP17	S 3 PD19DEC17	3095.00	3267.39	USD	-12,929.25	
19SEP17	B 1 PD19DEC17	3099.00	3267.39	USD	4,209.75	
19SEP17	B 1 PD19DEC17	3081.00	3267.39	USD	4,659.75	
19SEP17	S 2 PD19DEC17	3078.50	3267.39	USD	-9,444.50	
19SEP17	S 1 PD19DEC17	3079.00	3267.39	USD	-4,709.75	
19SEP17	B 1 PD19DEC17	3078.00	3267.39	USD	4,734.75	
19SEP17	B 1 PD19DEC17	3067.50	3267.39	USD	4,997.25	
19SEP17	B 1 PD19DEC17	3069.00	3267.39	USD	4,959.75	
19SEP17	B 2 PD19DEC17	3063.50	3267.39	USD	10,194.50	
19SEP17	B 1 PD19DEC17	3077.00	3267.39	USD	4,759.75	
19SEP17	S 1 PD19DEC17	3074.00	3267.39	USD	-4,834.75	
19SEP17	S 1 PD19DEC17	3077.50	3267.39	USD	-4,747.25	
19SEP17	S 1 PD19DEC17	3078.00	3267.39	USD	-4,734.75	
19SEP17	S 1 PD19DEC17	3087.00	3267.39	USD	-4,509.75	
19SEP17	S 1 PD19DEC17	3099.00	3267.39	USD	-4,209.75	
26SEP17	B 2 PD19DEC17	3119.00	3267.39	USD	7,419.50	
	NET 0 AVG BGHT 3087.16 SOLD 3090.09				**1,175.00**	**0.00**
20SEP17	B 1 PD20DEC17	3101.50	3267.50	USD	4,150.00	
20SEP17	B 1 PD20DEC17	3102.00	3267.50	USD	4,137.50	
20SEP17	S 1 PD20DEC17	3109.50	3267.50	USD	-3,950.00	
20SEP17	B 1 PD20DEC17	3110.00	3267.50	USD	3,937.50	
20SEP17	S 1 PD20DEC17	3120.00	3267.50	USD	-3,687.50	
20SEP17	S 1 PD20DEC17	3124.50	3267.50	USD	-3,575.00	
20SEP17	B 1 PD20DEC17	3119.50	3267.50	USD	3,700.00	
20SEP17	S 2 PD20DEC17	3133.50	3267.50	USD	-6,700.00	
20SEP17	S 1 PD20DEC17	3135.50	3267.50	USD	-3,300.00	
20SEP17	S 1 PD20DEC17	3125.00	3267.50	USD	-3,562.50	
20SEP17	B 1 PD20DEC17	3124.00	3267.50	USD	3,587.50	
20SEP17	S 1 PD20DEC17	3122.50	3267.50	USD	-3,625.00	
20SEP17	S 1 PD20DEC17	3127.50	3267.50	USD	-3,500.00	
20SEP17	S 3 PD20DEC17	3130.50	3267.50	USD	-10,275.00	
20SEP17	S 1 PD20DEC17	3134.00	3267.50	USD	-3,337.50	
20SEP17	S 4 PD20DEC17	3128.00	3267.50	USD	-13,950.00	
20SEP17	S 3 PD20DEC17	3129.00	3267.50	USD	-10,387.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
20SEP17	B 1 PD20DEC17	3119.00	3267.50	USD	3,712.50	
20SEP17	B 4 PD20DEC17	3120.00	3267.50	USD	14,750.00	
20SEP17	B 2 PD20DEC17	3122.50	3267.50	USD	7,250.00	
20SEP17	B 2 PD20DEC17	3123.50	3267.50	USD	7,200.00	
20SEP17	B 4 PD20DEC17	3122.00	3267.50	USD	14,550.00	
20SEP17	B 1 PD20DEC17	3125.00	3267.50	USD	3,562.50	
20SEP17	S 1 PD20DEC17	3137.50	3267.50	USD	-3,250.00	
20SEP17	S 2 PD20DEC17	3138.00	3267.50	USD	-6,475.00	
20SEP17	S 3 PD20DEC17	3138.50	3267.50	USD	-9,675.00	
20SEP17	S 2 PD20DEC17	3139.50	3267.50	USD	-6,400.00	
20SEP17	B 1 PD20DEC17	3140.00	3267.50	USD	3,187.50	
20SEP17	S 3 PD20DEC17	3142.50	3267.50	USD	-9,375.00	
20SEP17	S 1 PD20DEC17	3143.00	3267.50	USD	-3,112.50	
20SEP17	S 1 PD20DEC17	3144.50	3267.50	USD	-3,075.00	
20SEP17	S 1 PD20DEC17	3145.50	3267.50	USD	-3,050.00	
20SEP17	S 1 PD20DEC17	3146.50	3267.50	USD	-3,025.00	
20SEP17	S 1 PD20DEC17	3149.50	3267.50	USD	-2,950.00	
20SEP17	S 1 PD20DEC17	3148.50	3267.50	USD	-2,975.00	
20SEP17	S 1 PD20DEC17	3131.50	3267.50	USD	-3,400.00	
20SEP17	S 1 PD20DEC17	3150.00	3267.50	USD	-2,937.50	
20SEP17	B 1 PD20DEC17	3135.50	3267.50	USD	3,300.00	
20SEP17	B 2 PD20DEC17	3140.50	3267.50	USD	6,350.00	
20SEP17	B 2 PD20DEC17	3139.00	3267.50	USD	6,425.00	
20SEP17	B 3 PD20DEC17	3136.50	3267.50	USD	9,825.00	
20SEP17	B 2 PD20DEC17	3133.50	3267.50	USD	6,700.00	
20SEP17	B 2 PD20DEC17	3134.50	3267.50	USD	6,650.00	
20SEP17	S 3 PD20DEC17	3140.00	3267.50	USD	-9,562.50	
20SEP17	S 1 PD20DEC17	3127.00	3267.50	USD	-3,512.50	
20SEP17	B 5 PD20DEC17	3118.50	3267.50	USD	18,625.00	
20SEP17	B 2 PD20DEC17	3124.50	3267.50	USD	7,150.00	
20SEP17	B 1 PD20DEC17	3133.00	3267.50	USD	3,362.50	
20SEP17	B 1 PD20DEC17	3131.00	3267.50	USD	3,412.50	
20SEP17	B 2 PD20DEC17	3128.50	3267.50	USD	6,950.00	
	NET 0 AVG BGHT 3125.66 SOLD 3134.83				**9,850.00**	**0.00**
21SEP17	S 2 PD21DEC17	3097.50	3264.00	USD	-8,325.00	
21SEP17	S 1 PD21DEC17	3093.50	3264.00	USD	-4,262.50	
21SEP17	S 3 PD21DEC17	3093.00	3264.00	USD	-12,825.00	
21SEP17	S 1 PD21DEC17	3091.00	3264.00	USD	-4,325.00	
21SEP17	B 1 PD21DEC17	3087.50	3264.00	USD	4,412.50	
21SEP17	B 1 PD21DEC17	3082.00	3264.00	USD	4,550.00	
21SEP17	B 1 PD21DEC17	3077.50	3264.00	USD	4,662.50	
21SEP17	B 1 PD21DEC17	3076.00	3264.00	USD	4,700.00	
21SEP17	B 1 PD21DEC17	3072.00	3264.00	USD	4,800.00	
21SEP17	B 2 PD21DEC17	3070.00	3264.00	USD	9,700.00	
21SEP17	B 3 PD21DEC17	3068.00	3264.00	USD	14,700.00	
21SEP17	S 1 PD21DEC17	3072.50	3264.00	USD	-4,787.50	
21SEP17	S 1 PD21DEC17	3073.00	3264.00	USD	-4,775.00	
21SEP17	S 1 PD21DEC17	3070.50	3264.00	USD	-4,837.50	
21SEP17	B 3 PD21DEC17	3070.50	3264.00	USD	14,512.50	
21SEP17	S 2 PD21DEC17	3073.50	3264.00	USD	-9,525.00	
21SEP17	B 1 PD21DEC17	3073.50	3264.00	USD	4,762.50	
21SEP17	S 1 PD21DEC17	3074.50	3264.00	USD	-4,737.50	
21SEP17	S 2 PD21DEC17	3076.00	3264.00	USD	-9,400.00	
21SEP17	S 1 PD21DEC17	3078.50	3264.00	USD	-4,637.50	
21SEP17	S 3 PD21DEC17	3078.00	3264.00	USD	-13,950.00	
21SEP17	S 1 PD21DEC17	3077.00	3264.00	USD	-4,675.00	
21SEP17	S 1 PD21DEC17	3082.00	3264.00	USD	-4,550.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
21SEP17	S 1 PD21DEC17	3079.00	3264.00	USD	-4,625.00	
21SEP17	S 1 PD21DEC17	3079.50	3264.00	USD	-4,612.50	
21SEP17	B 1 PD21DEC17	3071.00	3264.00	USD	4,825.00	
21SEP17	S 2 PD21DEC17	3085.00	3264.00	USD	-8,950.00	
21SEP17	S 2 PD21DEC17	3083.50	3264.00	USD	-9,025.00	
21SEP17	S 1 PD21DEC17	3080.50	3264.00	USD	-4,587.50	
21SEP17	S 1 PD21DEC17	3086.00	3264.00	USD	-4,450.00	
21SEP17	S 1 PD21DEC17	3087.50	3264.00	USD	-4,412.50	
21SEP17	B 2 PD21DEC17	3089.50	3264.00	USD	8,725.00	
21SEP17	B 1 PD21DEC17	3098.50	3264.00	USD	4,137.50	
21SEP17	B 1 PD21DEC17	3098.00	3264.00	USD	4,150.00	
21SEP17	B 1 PD21DEC17	3091.50	3264.00	USD	4,312.50	
21SEP17	B 2 PD21DEC17	3085.50	3264.00	USD	8,925.00	
21SEP17	B 3 PD21DEC17	3086.00	3264.00	USD	13,350.00	
21SEP17	B 1 PD21DEC17	3087.00	3264.00	USD	4,425.00	
21SEP17	S 1 PD21DEC17	3075.00	3264.00	USD	-4,725.00	
21SEP17	B 1 PD21DEC17	3075.00	3264.00	USD	4,725.00	
21SEP17	B 2 PD21DEC17	3067.50	3264.00	USD	9,825.00	
21SEP17	S 1 PD21DEC17	3067.50	3264.00	USD	-4,912.50	
21SEP17	B 1 PD21DEC17	3075.00	3264.00	USD	4,725.00	
21SEP17	S 1 PD21DEC17	3077.50	3264.00	USD	-4,662.50	
21SEP17	B 2 PD21DEC17	3073.00	3264.00	USD	9,550.00	
21SEP17	B 3 PD21DEC17	3082.50	3264.00	USD	13,612.50	
21SEP17	B 1 PD21DEC17	3081.50	3264.00	USD	4,562.50	
21SEP17	B 1 PD21DEC17	3077.00	3264.00	USD	4,675.00	
21SEP17	B 1 PD21DEC17	3075.50	3264.00	USD	4,712.50	
21SEP17	S 2 PD21DEC17	3084.00	3264.00	USD	-9,000.00	
21SEP17	S 1 PD21DEC17	3084.50	3264.00	USD	-4,487.50	
21SEP17	S 1 PD21DEC17	3085.50	3264.00	USD	-4,462.50	
21SEP17	S 1 PD21DEC17	3086.50	3264.00	USD	-4,437.50	
21SEP17	S 1 PD21DEC17	3091.50	3264.00	USD	-4,312.50	
21SEP17	S 1 PD21DEC17	3099.50	3264.00	USD	-4,112.50	
21SEP17	S 1 PD21DEC17	3098.50	3264.00	USD	-4,137.50	
21SEP17	S 1 PD21DEC17	3107.00	3264.00	USD	-3,925.00	
21SEP17	S 1 PD21DEC17	3105.50	3264.00	USD	-3,962.50	
21SEP17	S 2 PD21DEC17	3109.00	3264.00	USD	-7,750.00	
21SEP17	S 1 PD21DEC17	3106.00	3264.00	USD	-3,950.00	
21SEP17	B 1 PD21DEC17	3100.00	3264.00	USD	4,100.00	
21SEP17	B 1 PD21DEC17	3101.00	3264.00	USD	4,075.00	
21SEP17	B 1 PD21DEC17	3100.50	3264.00	USD	4,087.50	
21SEP17	B 1 PD21DEC17	3102.50	3264.00	USD	4,037.50	
21SEP17	S 1 PD21DEC17	3097.50	3264.00	USD	-4,162.50	
21SEP17	S 1 PD21DEC17	3108.00	3264.00	USD	-3,900.00	
21SEP17	S 1 PD21DEC17	3117.00	3264.00	USD	-3,675.00	
21SEP17	S 2 PD21DEC17	3120.00	3264.00	USD	-7,200.00	
21SEP17	B 1 PD21DEC17	3112.00	3264.00	USD	3,800.00	
21SEP17	B 1 PD21DEC17	3099.50	3264.00	USD	4,112.50	
21SEP17	S 1 PD21DEC17	3096.00	3264.00	USD	-4,200.00	
21SEP17	B 1 PD21DEC17	3104.50	3264.00	USD	3,987.50	
21SEP17	B 2 PD21DEC17	3103.50	3264.00	USD	8,025.00	
21SEP17	B 2 PD21DEC17	3088.00	3264.00	USD	8,800.00	
21SEP17	B 3 PD21DEC17	3084.00	3264.00	USD	13,500.00	
21SEP17	B 3 PD21DEC17	3079.00	3264.00	USD	13,875.00	
21SEP17	B 2 PD21DEC17	3080.50	3264.00	USD	9,175.00	
21SEP17	B 1 PD21DEC17	3081.00	3264.00	USD	4,575.00	
21SEP17	B 1 PD21DEC17	3079.50	3264.00	USD	4,612.50	
21SEP17	B 1 PD21DEC17	3076.50	3264.00	USD	4,687.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
21SEP17	B 5 PD21DEC17	3074.00	3264.00	USD	23,750.00	
21SEP17	B 1 PD21DEC17	3046.00	3264.00	USD	5,450.00	
21SEP17	B 1 PD21DEC17	3045.50	3264.00	USD	5,462.50	
21SEP17	B 1 PD21DEC17	3035.00	3264.00	USD	5,725.00	
21SEP17	B 1 PD21DEC17	3034.50	3264.00	USD	5,737.50	
21SEP17	B 1 PD21DEC17	3032.00	3264.00	USD	5,800.00	
21SEP17	B 1 PD21DEC17	3029.50	3264.00	USD	5,862.50	
21SEP17	S 1 PD21DEC17	3033.50	3264.00	USD	-5,762.50	
21SEP17	S 1 PD21DEC17	3041.50	3264.00	USD	-5,562.50	
21SEP17	B 1 PD21DEC17	3040.00	3264.00	USD	5,600.00	
21SEP17	S 1 PD21DEC17	3042.50	3264.00	USD	-5,537.50	
21SEP17	S 1 PD21DEC17	3044.50	3264.00	USD	-5,487.50	
21SEP17	S 2 PD21DEC17	3040.00	3264.00	USD	-11,200.00	
21SEP17	S 2 PD21DEC17	3042.00	3264.00	USD	-11,100.00	
21SEP17	S 2 PD21DEC17	3045.00	3264.00	USD	-10,950.00	
26SEP17	S 2 PD21DEC17	3117.80	3264.00	USD	-7,310.00	
26SEP17	S 4 PD21DEC17	3118.00	3264.00	USD	-14,600.00	
	NET +4 AVG BGHT 3077.96 SOLD 3084.14				**29,115.00**	**0.00**
22SEP17	S 1 PD22DEC17	3035.00	3264.00	USD	-5,725.00	
22SEP17	B 1 PD22DEC17	3031.50	3264.00	USD	5,812.50	
22SEP17	S 2 PD22DEC17	3030.00	3264.00	USD	-11,700.00	
22SEP17	B 1 PD22DEC17	3037.00	3264.00	USD	5,675.00	
22SEP17	B 1 PD22DEC17	3039.50	3264.00	USD	5,612.50	
22SEP17	B 1 PD22DEC17	3040.00	3264.00	USD	5,600.00	
22SEP17	S 2 PD22DEC17	3029.50	3264.00	USD	-11,725.00	
22SEP17	S 2 PD22DEC17	3031.50	3264.00	USD	-11,625.00	
22SEP17	S 7 PD22DEC17	3034.00	3264.00	USD	-40,250.00	
22SEP17	B 1 PD22DEC17	3026.00	3264.00	USD	5,950.00	
22SEP17	B 1 PD22DEC17	3027.50	3264.00	USD	5,912.50	
22SEP17	B 1 PD22DEC17	3027.00	3264.00	USD	5,925.00	
22SEP17	B 1 PD22DEC17	3026.50	3264.00	USD	5,937.50	
22SEP17	B 1 PD22DEC17	3015.50	3264.00	USD	6,212.50	
22SEP17	B 2 PD22DEC17	3014.00	3264.00	USD	12,500.00	
22SEP17	B 1 PD22DEC17	3011.50	3264.00	USD	6,312.50	
22SEP17	B 1 PD22DEC17	3012.50	3264.00	USD	6,287.50	
22SEP17	B 4 PD22DEC17	3011.00	3264.00	USD	25,300.00	
22SEP17	B 1 PD22DEC17	3010.50	3264.00	USD	6,337.50	
22SEP17	B 1 PD22DEC17	3008.50	3264.00	USD	6,387.50	
22SEP17	B 2 PD22DEC17	3007.50	3264.00	USD	12,825.00	
22SEP17	B 1 PD22DEC17	3004.50	3264.00	USD	6,487.50	
22SEP17	B 1 PD22DEC17	3002.50	3264.00	USD	6,537.50	
22SEP17	S 1 PD22DEC17	3016.00	3264.00	USD	-6,200.00	
22SEP17	S 2 PD22DEC17	3018.00	3264.00	USD	-12,300.00	
22SEP17	S 1 PD22DEC17	3013.00	3264.00	USD	-6,275.00	
22SEP17	S 1 PD22DEC17	3026.00	3264.00	USD	-5,950.00	
22SEP17	S 2 PD22DEC17	3025.50	3264.00	USD	-11,925.00	
22SEP17	S 1 PD22DEC17	3024.50	3264.00	USD	-5,987.50	
22SEP17	S 2 PD22DEC17	3025.00	3264.00	USD	-11,950.00	
22SEP17	S 2 PD22DEC17	3029.00	3264.00	USD	-11,750.00	
22SEP17	S 2 PD22DEC17	3028.00	3264.00	USD	-11,800.00	
22SEP17	S 2 PD22DEC17	3028.50	3264.00	USD	-11,775.00	
22SEP17	B 1 PD22DEC17	3019.50	3264.00	USD	6,112.50	
22SEP17	B 1 PD22DEC17	3018.00	3264.00	USD	6,150.00	
22SEP17	S 1 PD22DEC17	3022.00	3264.00	USD	-6,050.00	
22SEP17	B 1 PD22DEC17	3053.00	3264.00	USD	5,275.00	
22SEP17	S 1 PD22DEC17	3064.00	3264.00	USD	-5,000.00	
22SEP17	S 1 PD22DEC17	3070.50	3264.00	USD	-4,837.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
22SEP17	S 1 PD22DEC17	3071.50	3264.00	USD	-4,812.50	
22SEP17	S 1 PD22DEC17	3036.00	3264.00	USD	-5,700.00	
22SEP17	B 1 PD22DEC17	3015.50	3264.00	USD	6,212.50	
22SEP17	B 1 PD22DEC17	3064.50	3264.00	USD	4,987.50	
22SEP17	B 1 PD22DEC17	3066.00	3264.00	USD	4,950.00	
22SEP17	B 3 PD22DEC17	3067.50	3264.00	USD	14,737.50	
22SEP17	S 3 PD22DEC17	3058.00	3264.00	USD	-15,450.00	
22SEP17	B 2 PD22DEC17	3052.50	3264.00	USD	10,575.00	
22SEP17	S 1 PD22DEC17	3052.00	3264.00	USD	-5,300.00	
22SEP17	S 7 PD22DEC17	3050.50	3264.00	USD	-37,362.50	
22SEP17	B 3 PD22DEC17	3039.00	3264.00	USD	16,875.00	
22SEP17	B 4 PD22DEC17	3013.50	3264.00	USD	25,050.00	
22SEP17	B 1 PD22DEC17	3013.00	3264.00	USD	6,275.00	
22SEP17	B 2 PD22DEC17	3031.00	3264.00	USD	11,650.00	
22SEP17	S 1 PD22DEC17	3042.50	3264.00	USD	-5,537.50	
22SEP17	S 1 PD22DEC17	3054.50	3264.00	USD	-5,237.50	
22SEP17	S 2 PD22DEC17	3049.00	3264.00	USD	-10,750.00	
22SEP17	S 1 PD22DEC17	3048.00	3264.00	USD	-5,400.00	
22SEP17	S 2 PD22DEC17	3061.00	3264.00	USD	-10,150.00	
22SEP17	S 1 PD22DEC17	3062.00	3264.00	USD	-5,050.00	
22SEP17	S 1 PD22DEC17	3063.00	3264.00	USD	-5,025.00	
22SEP17	B 1 PD22DEC17	3013.00	3264.00	USD	6,275.00	
26SEP17	B 4 PD22DEC17	3117.20	3264.00	USD	14,680.00	
	NET -6 AVG BGHT 3034.27 SOLD 3039.56				**-27,182.50**	**0.00**
25SEP17	S 1 PD27DEC17	3089.00	3264.00	USD	-4,375.00	
25SEP17	S 1 PD27DEC17	3071.00	3264.00	USD	-4,825.00	
25SEP17	S 1 PD27DEC17	3073.00	3264.00	USD	-4,775.00	
25SEP17	B 1 PD27DEC17	3072.00	3264.00	USD	4,800.00	
25SEP17	B 1 PD27DEC17	3071.00	3264.00	USD	4,825.00	
25SEP17	S 1 PD27DEC17	3077.00	3264.00	USD	-4,675.00	
25SEP17	B 1 PD27DEC17	3083.00	3264.00	USD	4,525.00	
25SEP17	B 1 PD27DEC17	3089.50	3264.00	USD	4,362.50	
25SEP17	S 1 PD27DEC17	3084.00	3264.00	USD	-4,500.00	
25SEP17	S 1 PD27DEC17	3104.00	3264.00	USD	-4,000.00	
25SEP17	B 1 PD27DEC17	3097.50	3264.00	USD	4,162.50	
25SEP17	B 1 PD27DEC17	3082.00	3264.00	USD	4,550.00	
25SEP17	B 1 PD27DEC17	3078.50	3264.00	USD	4,637.50	
25SEP17	B 1 PD27DEC17	3077.00	3264.00	USD	4,675.00	
25SEP17	B 1 PD27DEC17	3082.50	3264.00	USD	4,537.50	
25SEP17	S 1 PD27DEC17	3081.50	3264.00	USD	-4,562.50	
25SEP17	B 1 PD27DEC17	3077.50	3264.00	USD	4,662.50	
25SEP17	S 1 PD27DEC17	3103.50	3264.00	USD	-4,012.50	
25SEP17	S 1 PD27DEC17	3096.50	3264.00	USD	-4,187.50	
25SEP17	S 3 PD27DEC17	3097.50	3264.00	USD	-12,487.50	
25SEP17	B 1 PD27DEC17	3098.00	3264.00	USD	4,150.00	
25SEP17	B 1 PD27DEC17	3099.50	3264.00	USD	4,112.50	
25SEP17	S 1 PD27DEC17	3113.50	3264.00	USD	-3,762.50	
26SEP17	S 5 PD27DEC17	3122.00	3264.00	USD	-17,750.00	
26SEP17	S 2 PD27DEC17	3122.50	3264.00	USD	-7,075.00	
26SEP17	S 1 PD27DEC17	3124.00	3264.00	USD	-3,500.00	
26SEP17	B 1 PD27DEC17	3116.00	3264.00	USD	3,700.00	
26SEP17	S 2 PD27DEC17	3126.00	3264.00	USD	-6,900.00	
26SEP17	B 2 PD27DEC17	3127.50	3264.00	USD	6,825.00	
26SEP17	S 1 PD27DEC17	3135.50	3264.00	USD	-3,212.50	
26SEP17	S 1 PD27DEC17	3137.50	3264.00	USD	-3,162.50	
26SEP17	B 1 PD27DEC17	3139.50	3264.00	USD	3,112.50	
26SEP17	B 2 PD27DEC17	3134.50	3264.00	USD	6,475.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
26SEP17	B 1 PD27DEC17	3129.50	3264.00	USD	3,362.50	
26SEP17	B 1 PD27DEC17	3150.00	3264.00	USD	2,850.00	
26SEP17	B 1 PD27DEC17	3132.50	3264.00	USD	3,287.50	
26SEP17	B 1 PD27DEC17	3143.50	3264.00	USD	3,012.50	
26SEP17	B 1 PD27DEC17	3141.50	3264.00	USD	3,062.50	
26SEP17	S 1 PD27DEC17	3113.50	3264.00	USD	-3,762.50	
26SEP17	S 1 PD27DEC17	3114.00	3264.00	USD	-3,750.00	
26SEP17	B 1 PD27DEC17	3106.00	3264.00	USD	3,950.00	
26SEP17	S 1 PD27DEC17	3111.00	3264.00	USD	-3,825.00	
26SEP17	B 1 PD27DEC17	3114.00	3264.00	USD	3,750.00	
26SEP17	S 1 PD27DEC17	3114.50	3264.00	USD	-3,737.50	
26SEP17	S 2 PD27DEC17	3115.50	3264.00	USD	-7,425.00	
26SEP17	S 5 PD27DEC17	3115.00	3264.00	USD	-18,625.00	
26SEP17	S 1 PD27DEC17	3110.00	3264.00	USD	-3,850.00	
26SEP17	S 1 PD27DEC17	3108.50	3264.00	USD	-3,887.50	
26SEP17	S 3 PD27DEC17	3125.50	3264.00	USD	-10,387.50	
26SEP17	B 1 PD27DEC17	3108.00	3264.00	USD	3,900.00	
26SEP17	B 2 PD27DEC17	3112.00	3264.00	USD	7,600.00	
26SEP17	B 1 PD27DEC17	3110.00	3264.00	USD	3,850.00	
26SEP17	B 1 PD27DEC17	3112.50	3264.00	USD	3,787.50	
26SEP17	B 1 PD27DEC17	3114.50	3264.00	USD	3,737.50	
26SEP17	B 4 PD27DEC17	3113.50	3264.00	USD	15,050.00	
26SEP17	B 2 PD27DEC17	3113.50	3264.00	USD	7,525.00	
26SEP17	B 1 PD27DEC17	3113.00	3264.00	USD	3,775.00	
26SEP17	B 1 PD27DEC17	3109.50	3264.00	USD	3,862.50	
26SEP17	B 1 PD27DEC17	3110.00	3264.00	USD	3,850.00	
26SEP17	B 1 PD27DEC17	3108.00	3264.00	USD	3,900.00	
27SEP17	S 1 PD27DEC17	3117.00	3264.00	USD	-3,675.00	
27SEP17	S 1 PD27DEC17	3116.00	3264.00	USD	-3,700.00	
27SEP17	S 2 PD27DEC17	3116.50	3264.00	USD	-7,375.00	
27SEP17	S 5 PD27DEC17	3115.50	3264.00	USD	-18,562.50	
27SEP17	S 1 PD27DEC17	3114.50	3264.00	USD	-3,737.50	
27SEP17	S 1 PD27DEC17	3114.00	3264.00	USD	-3,750.00	
27SEP17	S 2 PD27DEC17	3112.50	3264.00	USD	-7,575.00	
27SEP17	S 1 PD27DEC17	3113.50	3264.00	USD	-3,762.50	
27SEP17	S 3 PD27DEC17	3115.00	3264.00	USD	-11,175.00	
27SEP17	B 1 PD27DEC17	3116.00	3264.00	USD	3,700.00	
27SEP17	S 1 PD27DEC17	3125.00	3264.00	USD	-3,475.00	
27SEP17	S 1 PD27DEC17	3126.50	3264.00	USD	-3,437.50	
27SEP17	S 1 PD27DEC17	3130.50	3264.00	USD	-3,337.50	
27SEP17	S 1 PD27DEC17	3143.50	3264.00	USD	-3,012.50	
27SEP17	S 1 PD27DEC17	3147.00	3264.00	USD	-2,925.00	
27SEP17	S 1 PD27DEC17	3140.50	3264.00	USD	-3,087.50	
27SEP17	B 1 PD27DEC17	3139.00	3264.00	USD	3,125.00	
27SEP17	B 1 PD27DEC17	3133.50	3264.00	USD	3,262.50	
27SEP17	B 1 PD27DEC17	3132.00	3264.00	USD	3,300.00	
27SEP17	B 1 PD27DEC17	3134.50	3264.00	USD	3,237.50	
27SEP17	B 1 PD27DEC17	3136.50	3264.00	USD	3,187.50	
27SEP17	S 2 PD27DEC17	3134.00	3264.00	USD	-6,500.00	
27SEP17	S 1 PD27DEC17	3115.50	3264.00	USD	-3,712.50	
27SEP17	S 1 PD27DEC17	3116.00	3264.00	USD	-3,700.00	
27SEP17	S 1 PD27DEC17	3144.00	3264.00	USD	-3,000.00	
27SEP17	S 1 PD27DEC17	3129.50	3264.00	USD	-3,362.50	
27SEP17	S 1 PD27DEC17	3133.50	3264.00	USD	-3,262.50	
27SEP17	S 1 PD27DEC17	3134.50	3264.00	USD	-3,237.50	
27SEP17	B 1 PD27DEC17	3115.00	3264.00	USD	3,725.00	
27SEP17	B 1 PD27DEC17	3114.50	3264.00	USD	3,737.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
27SEP17	B 1 PD27DEC17	3107.00	3264.00	USD	3,925.00	
27SEP17	B 1 PD27DEC17	3106.50	3264.00	USD	3,937.50	
27SEP17	B 2 PD27DEC17	3113.50	3264.00	USD	7,525.00	
27SEP17	B 1 PD27DEC17	3111.00	3264.00	USD	3,825.00	
27SEP17	B 1 PD27DEC17	3113.00	3264.00	USD	3,775.00	
27SEP17	B 1 PD27DEC17	3101.00	3264.00	USD	4,075.00	
27SEP17	B 1 PD27DEC17	3106.00	3264.00	USD	3,950.00	
27SEP17	B 1 PD27DEC17	3102.00	3264.00	USD	4,050.00	
27SEP17	B 1 PD27DEC17	3097.50	3264.00	USD	4,162.50	
27SEP17	S 1 PD27DEC17	3112.00	3264.00	USD	-3,800.00	
27SEP17	B 2 PD27DEC17	3121.00	3264.00	USD	7,150.00	
27SEP17	B 1 PD27DEC17	3120.50	3264.00	USD	3,587.50	
27SEP17	B 3 PD27DEC17	3123.50	3264.00	USD	10,537.50	
27SEP17	B 2 PD27DEC17	3122.00	3264.00	USD	7,100.00	
27SEP17	B 4 PD27DEC17	3120.00	3264.00	USD	14,400.00	
27SEP17	B 1 PD27DEC17	3119.50	3264.00	USD	3,612.50	
27SEP17	B 2 PD27DEC17	3121.50	3264.00	USD	7,125.00	
27SEP17	B 1 PD27DEC17	3121.00	3264.00	USD	3,575.00	
	NET +2 AVG BGHT 3113.70 SOLD 3115.96				**11,637.50**	**0.00**
28SEP17	B 2 PD28DEC17	3114.00	3264.00	USD	7,500.00	
28SEP17	B 1 PD28DEC17	3112.50	3264.00	USD	3,787.50	
28SEP17	B 2 PD28DEC17	3111.50	3264.00	USD	7,625.00	
28SEP17	S 1 PD28DEC17	3110.50	3264.00	USD	-3,837.50	
28SEP17	S 2 PD28DEC17	3109.50	3264.00	USD	-7,725.00	
28SEP17	S 1 PD28DEC17	3105.50	3264.00	USD	-3,962.50	
28SEP17	S 4 PD28DEC17	3106.00	3264.00	USD	-15,800.00	
28SEP17	S 2 PD28DEC17	3111.50	3264.00	USD	-7,625.00	
28SEP17	S 2 PD28DEC17	3112.50	3264.00	USD	-7,575.00	
28SEP17	S 1 PD28DEC17	3114.50	3264.00	USD	-3,737.50	
28SEP17	B 3 PD28DEC17	3113.50	3264.00	USD	11,287.50	
28SEP17	B 2 PD28DEC17	3112.00	3264.00	USD	7,600.00	
28SEP17	S 4 PD28DEC17	3112.00	3264.00	USD	-15,200.00	
28SEP17	S 1 PD28DEC17	3120.00	3264.00	USD	-3,600.00	
28SEP17	B 2 PD28DEC17	3133.00	3264.00	USD	6,550.00	
28SEP17	S 1 PD28DEC17	3134.50	3264.00	USD	-3,237.50	
28SEP17	S 1 PD28DEC17	3105.50	3264.00	USD	-3,962.50	
28SEP17	B 1 PD28DEC17	3136.50	3264.00	USD	3,187.50	
28SEP17	S 3 PD28DEC17	3145.00	3264.00	USD	-8,925.00	
28SEP17	S 1 PD28DEC17	3146.50	3264.00	USD	-2,937.50	
28SEP17	S 1 PD28DEC17	3148.50	3264.00	USD	-2,887.50	
28SEP17	S 1 PD28DEC17	3138.00	3264.00	USD	-3,150.00	
28SEP17	B 1 PD28DEC17	3134.50	3264.00	USD	3,237.50	
28SEP17	B 2 PD28DEC17	3130.00	3264.00	USD	6,700.00	
28SEP17	S 1 PD28DEC17	3134.00	3264.00	USD	-3,250.00	
28SEP17	B 2 PD28DEC17	3130.50	3264.00	USD	6,675.00	
28SEP17	B 1 PD28DEC17	3134.00	3264.00	USD	3,250.00	
28SEP17	B 3 PD28DEC17	3129.50	3264.00	USD	10,087.50	
28SEP17	B 1 PD28DEC17	3128.00	3264.00	USD	3,400.00	
28SEP17	B 1 PD28DEC17	3123.50	3264.00	USD	3,512.50	
28SEP17	B 1 PD28DEC17	3122.50	3264.00	USD	3,537.50	
28SEP17	B 1 PD28DEC17	3120.50	3264.00	USD	3,587.50	
28SEP17	B 1 PD28DEC17	3117.50	3264.00	USD	3,662.50	
28SEP17	B 3 PD28DEC17	3114.50	3264.00	USD	11,212.50	
28SEP17	S 1 PD28DEC17	3126.00	3264.00	USD	-3,450.00	
28SEP17	S 1 PD28DEC17	3132.00	3264.00	USD	-3,300.00	
28SEP17	S 1 PD28DEC17	3125.00	3264.00	USD	-3,475.00	
28SEP17	S 2 PD28DEC17	3138.50	3264.00	USD	-6,275.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
28SEP17	S 1 PD28DEC17	3137.00	3264.00	USD	-3,175.00	
28SEP17	S 2 PD28DEC17	3140.00	3264.00	USD	-6,200.00	
28SEP17	S 1 PD28DEC17	3141.00	3264.00	USD	-3,075.00	
28SEP17	S 2 PD28DEC17	3144.00	3264.00	USD	-6,000.00	
28SEP17	S 1 PD28DEC17	3145.50	3264.00	USD	-2,962.50	
28SEP17	B 1 PD28DEC17	3145.00	3264.00	USD	2,975.00	
28SEP17	S 1 PD28DEC17	3141.50	3264.00	USD	-3,062.50	
28SEP17	B 1 PD28DEC17	3141.00	3264.00	USD	3,075.00	
28SEP17	S 1 PD28DEC17	3148.00	3264.00	USD	-2,900.00	
28SEP17	B 1 PD28DEC17	3147.50	3264.00	USD	2,912.50	
28SEP17	S 1 PD28DEC17	3154.00	3264.00	USD	-2,750.00	
28SEP17	S 1 PD28DEC17	3154.50	3264.00	USD	-2,737.50	
28SEP17	S 1 PD28DEC17	3158.50	3264.00	USD	-2,637.50	
28SEP17	S 1 PD28DEC17	3159.00	3264.00	USD	-2,625.00	
28SEP17	S 1 PD28DEC17	3162.50	3264.00	USD	-2,537.50	
28SEP17	S 1 PD28DEC17	3163.00	3264.00	USD	-2,525.00	
28SEP17	B 1 PD28DEC17	3164.50	3264.00	USD	2,487.50	
28SEP17	S 1 PD28DEC17	3163.50	3264.00	USD	-2,512.50	
28SEP17	S 2 PD28DEC17	3164.50	3264.00	USD	-4,975.00	
28SEP17	S 1 PD28DEC17	3159.50	3264.00	USD	-2,612.50	
28SEP17	B 1 PD28DEC17	3164.00	3264.00	USD	2,500.00	
28SEP17	S 1 PD28DEC17	3164.00	3264.00	USD	-2,500.00	
	NET -17 AVG BGHT 3126.46 SOLD 3133.46				**-49,350.00**	**0.00**
29SEP17	B 2 PD29DEC17	3147.00	3264.00	USD	5,850.00	
29SEP17	B 1 PD29DEC17	3149.50	3264.00	USD	2,862.50	
29SEP17	B 1 PD29DEC17	3141.00	3264.00	USD	3,075.00	
29SEP17	B 1 PD29DEC17	3140.50	3264.00	USD	3,087.50	
29SEP17	B 1 PD29DEC17	3143.50	3264.00	USD	3,012.50	
29SEP17	B 2 PD29DEC17	3145.50	3264.00	USD	5,925.00	
29SEP17	B 1 PD29DEC17	3143.00	3264.00	USD	3,025.00	
29SEP17	S 2 PD29DEC17	3154.00	3264.00	USD	-5,500.00	
29SEP17	S 3 PD29DEC17	3161.50	3264.00	USD	-7,687.50	
29SEP17	S 1 PD29DEC17	3164.50	3264.00	USD	-2,487.50	
29SEP17	S 2 PD29DEC17	3166.00	3264.00	USD	-4,900.00	
29SEP17	S 2 PD29DEC17	3167.00	3264.00	USD	-4,850.00	
29SEP17	S 1 PD29DEC17	3167.50	3264.00	USD	-2,412.50	
29SEP17	S 1 PD29DEC17	3160.50	3264.00	USD	-2,587.50	
29SEP17	S 2 PD29DEC17	3161.00	3264.00	USD	-5,150.00	
29SEP17	B 1 PD29DEC17	3160.50	3264.00	USD	2,587.50	
29SEP17	S 2 PD29DEC17	3170.00	3264.00	USD	-4,700.00	
29SEP17	S 2 PD29DEC17	3151.50	3264.00	USD	-5,625.00	
29SEP17	S 1 PD29DEC17	3150.00	3264.00	USD	-2,850.00	
29SEP17	S 2 PD29DEC17	3148.00	3264.00	USD	-5,800.00	
29SEP17	B 3 PD29DEC17	3148.00	3264.00	USD	8,700.00	
29SEP17	S 2 PD29DEC17	3149.00	3264.00	USD	-5,750.00	
29SEP17	S 1 PD29DEC17	3152.50	3264.00	USD	-2,787.50	
29SEP17	S 2 PD29DEC17	3153.50	3264.00	USD	-5,525.00	
29SEP17	S 1 PD29DEC17	3154.50	3264.00	USD	-2,737.50	
29SEP17	S 1 PD29DEC17	3156.00	3264.00	USD	-2,700.00	
29SEP17	S 1 PD29DEC17	3166.50	3264.00	USD	-2,437.50	
29SEP17	S 1 PD29DEC17	3151.00	3264.00	USD	-2,825.00	
29SEP17	B 1 PD29DEC17	3152.00	3264.00	USD	2,800.00	
29SEP17	S 1 PD29DEC17	3165.00	3264.00	USD	-2,475.00	
29SEP17	S 1 PD29DEC17	3173.50	3264.00	USD	-2,262.50	
29SEP17	B 7 PD29DEC17	3150.00	3264.00	USD	19,950.00	
29SEP17	B 4 PD29DEC17	3149.00	3264.00	USD	11,500.00	
29SEP17	B 1 PD29DEC17	3153.00	3264.00	USD	2,775.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
29SEP17	B 3 PD29DEC17	3152.00	3264.00	USD	8,400.00	
29SEP17	S 4 PD29DEC17	3162.50	3264.00	USD	-10,150.00	
29SEP17	B 3 PD29DEC17	3151.00	3264.00	USD	8,475.00	
29SEP17	B 1 PD29DEC17	3153.50	3264.00	USD	2,762.50	
29SEP17	B 2 PD29DEC17	3154.00	3264.00	USD	5,500.00	
29SEP17	B 1 PD29DEC17	3155.00	3264.00	USD	2,725.00	
29SEP17	S 1 PD29DEC17	3158.50	3264.00	USD	-2,637.50	
29SEP17	S 2 PD29DEC17	3159.50	3264.00	USD	-5,225.00	
29SEP17	S 4 PD29DEC17	3160.00	3264.00	USD	-10,400.00	
29SEP17	B 1 PD29DEC17	3162.50	3264.00	USD	2,537.50	
29SEP17	B 1 PD29DEC17	3166.00	3264.00	USD	2,450.00	
29SEP17	B 2 PD29DEC17	3165.00	3264.00	USD	4,950.00	
29SEP17	B 1 PD29DEC17	3163.50	3264.00	USD	2,512.50	
29SEP17	B 1 PD29DEC17	3157.50	3264.00	USD	2,662.50	
29SEP17	B 1 PD29DEC17	3156.50	3264.00	USD	2,687.50	
29SEP17	B 2 PD29DEC17	3161.50	3264.00	USD	5,125.00	
29SEP17	B 1 PD29DEC17	3164.50	3264.00	USD	2,487.50	
29SEP17	B 2 PD29DEC17	3167.50	3264.00	USD	4,825.00	
	NET +5 AVG BGHT 3152.96 SOLD 3159.38				**20,787.50**	**0.00**
02OCT17	B 2 PD02JAN18	3166.00	3264.00	USD	4,900.00	
	NET +2 AVG BGHT 3166.00				**4,900.00**	**0.00**
03OCT17	S 1 PD03JAN18	3231.50	3264.00	USD	-812.50	
03OCT17	S 2 PD03JAN18	3229.00	3264.00	USD	-1,750.00	
03OCT17	S 3 PD03JAN18	3229.50	3264.00	USD	-2,587.50	
03OCT17	S 1 PD03JAN18	3224.50	3264.00	USD	-987.50	
03OCT17	S 1 PD03JAN18	3223.50	3264.00	USD	-1,012.50	
03OCT17	S 1 PD03JAN18	3225.50	3264.00	USD	-962.50	
03OCT17	S 6 PD03JAN18	3227.00	3264.00	USD	-5,550.00	
03OCT17	S 2 PD03JAN18	3230.00	3264.00	USD	-1,700.00	
03OCT17	S 1 PD03JAN18	3228.50	3264.00	USD	-887.50	
03OCT17	S 1 PD03JAN18	3233.00	3264.00	USD	-775.00	
03OCT17	S 1 PD03JAN18	3239.50	3264.00	USD	-612.50	
03OCT17	S 1 PD03JAN18	3239.00	3264.00	USD	-625.00	
03OCT17	S 2 PD03JAN18	3241.00	3264.00	USD	-1,150.00	
03OCT17	S 2 PD03JAN18	3240.50	3264.00	USD	-1,175.00	
03OCT17	S 1 PD03JAN18	3242.00	3264.00	USD	-550.00	
03OCT17	S 1 PD03JAN18	3262.00	3264.00	USD	-50.00	
03OCT17	B 1 PD03JAN18	3262.00	3264.00	USD	50.00	
03OCT17	B 3 PD03JAN18	3261.00	3264.00	USD	225.00	
03OCT17	B 2 PD03JAN18	3259.50	3264.00	USD	225.00	
03OCT17	S 1 PD03JAN18	3258.50	3264.00	USD	-137.50	
03OCT17	B 1 PD03JAN18	3255.50	3264.00	USD	212.50	
03OCT17	S 3 PD03JAN18	3260.00	3264.00	USD	-300.00	
03OCT17	S 1 PD03JAN18	3270.00	3264.00	USD	150.00	
03OCT17	B 1 PD03JAN18	3267.50	3264.00	USD	-87.50	
03OCT17	B 1 PD03JAN18	3267.00	3264.00	USD	-75.00	
03OCT17	B 1 PD03JAN18	3256.50	3264.00	USD	187.50	
03OCT17	B 1 PD03JAN18	3257.50	3264.00	USD	162.50	
03OCT17	B 1 PD03JAN18	3275.50	3264.00	USD	-287.50	
03OCT17	B 1 PD03JAN18	3276.50	3264.00	USD	-312.50	
03OCT17	B 1 PD03JAN18	3279.00	3264.00	USD	-375.00	
03OCT17	S 1 PD03JAN18	3280.00	3264.00	USD	400.00	
03OCT17	B 3 PD03JAN18	3286.00	3264.00	USD	-1,650.00	
03OCT17	B 4 PD03JAN18	3287.50	3264.00	USD	-2,350.00	
03OCT17	B 2 PD03JAN18	3289.50	3264.00	USD	-1,275.00	
03OCT17	B 1 PD03JAN18	3288.50	3264.00	USD	-612.50	
03OCT17	B 10 PD03JAN18	3288.00	3264.00	USD	-6,000.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
03OCT17	B 2 PD03JAN18	3286.50	3264.00	USD	-1,125.00	
03OCT17	B 2 PD03JAN18	3285.00	3264.00	USD	-1,050.00	
03OCT17	B 2 PD03JAN18	3287.00	3264.00	USD	-1,150.00	
03OCT17	B 1 PD03JAN18	3283.50	3264.00	USD	-487.50	
03OCT17	S 1 PD03JAN18	3286.00	3264.00	USD	550.00	
03OCT17	B 1 PD03JAN18	3254.50	3264.00	USD	237.50	
03OCT17	S 1 PD03JAN18	3280.00	3264.00	USD	400.00	
03OCT17	B 1 PD03JAN18	3266.00	3264.00	USD	-50.00	
03OCT17	B 1 PD03JAN18	3265.50	3264.00	USD	-37.50	
03OCT17	S 1 PD03JAN18	3265.00	3264.00	USD	25.00	
03OCT17	S 1 PD03JAN18	3269.00	3264.00	USD	125.00	
03OCT17	S 1 PD03JAN18	3274.00	3264.00	USD	250.00	
03OCT17	B 1 PD03JAN18	3263.50	3264.00	USD	12.50	
03OCT17	B 2 PD03JAN18	3263.00	3264.00	USD	50.00	
03OCT17	B 1 PD03JAN18	3280.50	3264.00	USD	-412.50	
03OCT17	S 1 PD03JAN18	3281.00	3264.00	USD	425.00	
03OCT17	B 1 PD03JAN18	3290.00	3264.00	USD	-650.00	
	NET +10 AVG BGHT 3277.57 SOLD 3244.21				**-35,925.00**	**0.00**

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	-2,531,744.25
LME Copper Grade A 25	FUTURES	-338,250.50
LME Lead 25 tonnes. This is an	FUTURES	1,753,516.75
LME Nickel 6 tonnes. This is	FUTURES	15,372.06
LME Zinc 25 tonnes. This is	FUTURES	-294,988.25

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards			Amount	Profit / Loss	**Total Amount**	**Total P&L**
ALUL	- Futures	USD	173,364.75	173,364.75		
COPL	- Futures	USD	-12,490.75	-12,490.75		
LEAD	- Futures	USD	69,316.25	69,316.25		
NKL	- Futures	USD	15,372.06	15,372.06		
ZINC	- Futures	USD	-49,971.50	-49,971.50	**195,590.81**	**195,590.81**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-112,579.93	-112,579.93
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**-112,579.93**	**-112,579.93**
Initial Margins		-154,438.19	-154,438.19
Excess/(Shortage)		**-267,018.12**	**-267,018.12**
FOR INFORMATION ONLY			
Forward Unrealised P&L		195,590.81	195,590.81
Net Liquidating Value		**83,010.88**	**83,010.88**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112F

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		228.69	228.69
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**228.69**	**228.69**
Initial Margins		0.00	0.00
Excess/(Shortage)		**228.69**	**228.69**
FOR INFORMATION ONLY			
Net Liquidating Value		**228.69**	**228.69**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112G

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
15SEP17	S 1 PD15DEC17	3033.00	3266.96	USD	-5,849.00	
15SEP17	B 1 PD15DEC17	3033.00	3266.96	USD	5,849.00	
	NET 0 AVG BGHT 3033.00 SOLD 3033.00				**0.00**	**0.00**

OPEN POSITION VALUE

		USD
LME Zinc 25 tonnes. This is	FUTURES	0.00

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards		Amount	Profit / Loss	**Total Amount**	**Total P&L**
ZINC	- Futures USD	0.00	0.00	**0.00**	**0.00**

ACCOUNT FINANCIAL SUMMARY

		USD (1.00000)	NETT USD (1.00000)
CASH BALANCE		-3.12	-3.12
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**-3.12**	**-3.12**
Initial Margins		0.00	0.00
Excess/(Shortage)		**-3.12**	**-3.12**
FOR INFORMATION ONLY			
Net Liquidating Value		**-3.12**	**-3.12**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0112H

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
29SEP17	B 1 PD29DEC17	2486.00	2591.43	USD	2,635.75	
29SEP17	S 1 PD29DEC17	2486.00	2591.43	USD	-2,635.75	
	NET 0 AVG BGHT 2486.00 SOLD 2486.00				**0.00**	**0.00**

OPEN POSITION VALUE

	USD
LME Lead 25 tonnes. This is an FUTURES	0.00

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards		Amount	Profit / Loss	**Total Amount**	**Total P&L**
LEAD - Futures	USD	0.00	0.00	**0.00**	**0.00**

ACCOUNT FINANCIAL SUMMARY

		USD (1.00000)	NETT USD (1.00000)
CASH BALANCE		-8.60	-8.60
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**-8.60**	**-8.60**
Initial Margins		0.00	0.00
Excess/(Shortage)		**-8.60**	**-8.60**
FOR INFORMATION ONLY			
Net Liquidating Value		**-8.60**	**-8.60**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED
SAP0112
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPG0112**

3rd Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

GROUP FINANCIAL SUMMARY

		USD (1.00000)	NET USD (1.00000)
CASH BALANCE		370,784.27	370,784.27
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**370,784.27**	**370,784.27**
Initial Margins		-495,182.01	-495,182.01
Excess/(Shortage)		**-124,397.74**	**-124,397.74**
FOR INFORMATION ONLY			
Forward Unrealised P&L		76,844.99	76,844.99
Net Liquidating Value		**447,629.26**	**447,629.26**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0113A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	CMX GOLD 100 CMX					
27SEP17	S 5 NOV17 1260.0 Put	6.6	6.7	USD	-3,350.00	-50.00
	NET -5 AVG SOLD 6.6				**-3,350.00**	**-50.00**
27SEP17	B 11 DEC17	1287.3	1274.6	USD	-13,970.00	
	NET +11 AVG BGHT 1287.3				**-13,970.00**	**0.00**

OPEN POSITION VALUE

		USD
CMX Gold	FUTURES	-1,416,030.00
CMX Gold	OPTIONS	3,300.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options		Amount	Profit / Loss	**Total Amount**	**Total P&L**
GOLD - Futures	USD	-13,970.00	-13,970.00	**-13,970.00**	**-13,970.00**
Net Premium Options Market Value					
GOLD - Options	USD	-3,350.00	-50.00	**-3,350.00**	**-50.00**

ACCOUNT FINANCIAL SUMMARY

	GBP	USD	NETT USD
	(1.32460)	(1.00000)	(1.00000)
CASH BALANCE	50,000.00	15,039.58	81,269.58
Variation Margins - Futures	0.00	-13,970.00	-13,970.00
- Options	0.00	0.00	0.00
TOTAL EQUITY	**50,000.00**	**1,069.58**	**67,299.58**
Initial Margins	0.00	-77,491.00	-77,491.00
Excess/(Shortage)	**50,000.00**	**-76,421.42**	**-10,191.42**
FOR INFORMATION ONLY			
Net Premium Option Market Value	0.00	-3,350.00	-3,350.00
Net Liquidating Value	**50,000.00**	**-2,280.42**	**63,949.58**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED
SAP0113
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPG0113**

3rd Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

GROUP FINANCIAL SUMMARY

		GBP (1.32460)	USD (1.00000)	NET USD (1.00000)
CASH BALANCE		50,000.00	15,039.58	81,269.58
Variation Margins	- Futures	0.00	-13,970.00	-13,970.00
	- Options	0.00	0.00	0.00
TOTAL EQUITY		**50,000.00**	**1,069.58**	**67,299.58**
Initial Margins		0.00	-77,491.00	-77,491.00
Excess/(Shortage)		**50,000.00**	**-76,421.42**	**-10,191.42**
FOR INFORMATION ONLY				
Net Premium Option Market Value		0.00	-3,350.00	-3,350.00
Net Liquidating Value		**50,000.00**	**-2,280.42**	**63,949.58**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0118A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position		Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.						
07JUL17	B 2 PD06OCT17		1927.00	2114.58	USD	9,379.00	
	NET +2 AVG BGHT 1927.00					**9,379.00**	**0.00**
11JUL17	B 2 PD11OCT17		1905.50	2116.25	USD	10,537.50	
	NET +2 AVG BGHT 1905.50					**10,537.50**	**0.00**
12JUL17	S 1 PD12OCT17		1900.00	2116.25	USD	-5,406.25	
	NET -1 AVG SOLD 1900.00					**-5,406.25**	**0.00**
13JUL17	S 1 PD13OCT17		1936.50	2116.25	USD	-4,493.75	
13JUL17	S 2 PD13OCT17		1929.50	2116.25	USD	-9,337.50	
	NET -3 AVG SOLD 1931.83					**-13,831.25**	**0.00**
17JUL17	B 1 PD17OCT17		1939.00	2116.25	USD	4,431.25	
17JUL17	B 1 PD17OCT17		1923.50	2116.25	USD	4,818.75	
	NET +2 AVG BGHT 1931.25					**9,250.00**	**0.00**
25JUL17	B 1 PD25OCT17		1920.00	2118.97	USD	4,974.25	
	NET +1 AVG BGHT 1920.00					**4,974.25**	**0.00**
26JUL17	S 1 PD26OCT17		1958.00	2119.36	USD	-4,034.00	
26JUL17	S 1 PD26OCT17		1961.50	2119.36	USD	-3,946.50	
26JUL17	S 1 PD26OCT17		1958.50	2119.36	USD	-4,021.50	
26JUL17	B 1 PD26OCT17		1939.50	2119.36	USD	4,496.50	
	NET -2 AVG BGHT 1939.50	**SOLD 1959.33**				**-7,505.50**	**0.00**
28JUL17	B 1 PD27OCT17		1936.00	2119.75	USD	4,593.75	
28JUL17	B 1 PD27OCT17		1936.50	2119.75	USD	4,581.25	
28JUL17	S 1 PD27OCT17		1936.50	2119.75	USD	-4,581.25	
	NET +1 AVG BGHT 1936.25	**SOLD 1936.50**				**4,593.75**	**0.00**
31JUL17	B 2 PD31OCT17		1920.00	2117.75	USD	9,887.50	
	NET +2 AVG BGHT 1920.00					**9,887.50**	**0.00**
01AUG17	B 1 PD01NOV17		1907.00	2117.42	USD	5,260.50	
01AUG17	S 3 PD01NOV17		1909.50	2117.42	USD	-15,594.00	
	NET -2 AVG BGHT 1907.00	**SOLD 1909.50**				**-10,333.50**	**0.00**
02AUG17	S 1 PD02NOV17		1935.50	2117.08	USD	-4,539.50	
02AUG17	B 1 PD02NOV17		1928.00	2117.08	USD	4,727.00	
	NET 0 AVG BGHT 1928.00	**SOLD 1935.50**				**187.50**	**0.00**
03AUG17	B 1 PD03NOV17		1924.00	2116.75	USD	4,818.75	
04AUG17	S 2 PD03NOV17		1910.00	2116.75	USD	-10,337.50	
	NET -1 AVG BGHT 1924.00	**SOLD 1910.00**				**-5,518.75**	**0.00**
08AUG17	S 1 PD08NOV17		1974.50	2115.75	USD	-3,531.25	
	NET -1 AVG SOLD 1974.50					**-3,531.25**	**0.00**
22AUG17	B 1 PD22NOV17		2080.50	2120.15	USD	991.25	
22AUG17	B 2 PD22NOV17		2076.50	2120.15	USD	2,182.50	
22AUG17	B 1 PD22NOV17		2082.00	2120.15	USD	953.75	
	NET +4 AVG BGHT 2078.88					**4,127.50**	**0.00**
23AUG17	S 1 PD24NOV17		2089.50	2120.69	USD	-779.75	
23AUG17	S 1 PD24NOV17		2090.50	2120.69	USD	-754.75	
23AUG17	S 1 PD24NOV17		2091.00	2120.69	USD	-742.25	
23AUG17	S 1 PD24NOV17		2091.50	2120.69	USD	-729.75	
23AUG17	S 1 PD24NOV17		2097.50	2120.69	USD	-579.75	
23AUG17	B 1 PD24NOV17		2097.00	2120.69	USD	592.25	
23AUG17	S 1 PD24NOV17		2096.50	2120.69	USD	-604.75	
23AUG17	S 1 PD24NOV17		2096.00	2120.69	USD	-617.25	
24AUG17	B 1 PD24NOV17		2102.50	2120.69	USD	454.75	
24AUG17	B 1 PD24NOV17		2102.00	2120.69	USD	467.25	
25AUG17	B 2 PD24NOV17		2070.50	2120.69	USD	2,509.50	
	NET -2 AVG BGHT 2088.50	**SOLD 2093.21**				**-784.50**	**0.00**
29AUG17	S 1 PD29NOV17		2100.50	2122.05	USD	-538.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0118A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
29AUG17	S 1 PD29NOV17	2102.50	2122.05	USD	-488.75	
	NET -2 AVG SOLD 2101.50				**-1,027.50**	**0.00**
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
17JUL17	S 1 PD17OCT17	5976.50	6483.98	USD	-12,687.00	
17JUL17	S 1 PD17OCT17	6012.00	6483.98	USD	-11,799.50	
	NET -2 AVG SOLD 5994.25				**-24,486.50**	**0.00**
25JUL17	S 1 PD25OCT17	6220.50	6490.29	USD	-6,744.75	
	NET -1 AVG SOLD 6220.50				**-6,744.75**	**0.00**
26JUL17	B 1 PD26OCT17	6356.00	6490.82	USD	3,370.50	
26JUL17	B 1 PD26OCT17	6392.50	6490.82	USD	2,458.00	
26JUL17	B 1 PD26OCT17	6347.50	6490.82	USD	3,583.00	
	NET +3 AVG BGHT 6365.33				**9,411.50**	**0.00**
28JUL17	S 1 PD27OCT17	6300.00	6491.36	USD	-4,784.00	
28JUL17	B 1 PD27OCT17	6320.50	6491.36	USD	4,271.50	
	NET 0 AVG BGHT 6320.50 SOLD 6300.00				**-512.50**	**0.00**
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
11JUL17	B 1 PD11OCT17	2328.00	2586.38	USD	6,459.50	
	NET +1 AVG BGHT 2328.00				**6,459.50**	**0.00**
13JUL17	B 1 PD13OCT17	2321.00	2586.85	USD	6,646.25	
14JUL17	S 1 PD13OCT17	2311.00	2586.85	USD	-6,896.25	
	NET 0 AVG BGHT 2321.00 SOLD 2311.00				**-250.00**	**0.00**
17JUL17	B 1 PD17OCT17	2324.50	2587.77	USD	6,581.75	
17JUL17	B 1 PD17OCT17	2330.00	2587.77	USD	6,444.25	
	NET +2 AVG BGHT 2327.25				**13,026.00**	**0.00**
19JUL17	B 1 PD19OCT17	2241.50	2588.00	USD	8,662.50	
	NET +1 AVG BGHT 2241.50				**8,662.50**	**0.00**
21JUL17	S 1 PD20OCT17	2246.00	2588.00	USD	-8,550.00	
21JUL17	S 1 PD20OCT17	2251.00	2588.00	USD	-8,425.00	
	NET -2 AVG SOLD 2248.50				**-16,975.00**	**0.00**
26JUL17	S 1 PD26OCT17	2332.00	2588.00	USD	-6,400.00	
26JUL17	B 1 PD26OCT17	2310.00	2588.00	USD	6,950.00	
	NET 0 AVG BGHT 2310.00 SOLD 2332.00				**550.00**	**0.00**
28JUL17	S 1 PD27OCT17	2301.50	2588.00	USD	-7,162.50	
	NET -1 AVG SOLD 2301.50				**-7,162.50**	**0.00**
01AUG17	S 1 PD01NOV17	2335.50	2588.00	USD	-6,312.50	
	NET -1 AVG SOLD 2335.50				**-6,312.50**	**0.00**
09AUG17	B 1 PD09NOV17	2415.50	2588.00	USD	4,312.50	
09AUG17	B 1 PD09NOV17	2414.50	2588.00	USD	4,337.50	
09AUG17	B 1 PD09NOV17	2385.00	2588.00	USD	5,075.00	
09AUG17	B 1 PD09NOV17	2388.50	2588.00	USD	4,987.50	
09AUG17	B 1 PD09NOV17	2390.50	2588.00	USD	4,937.50	
	NET +5 AVG BGHT 2398.80				**23,650.00**	**0.00**
17AUG17	S 2 PD17NOV17	2411.50	2588.26	USD	-8,838.00	
18AUG17	S 1 PD17NOV17	2411.00	2588.26	USD	-4,431.50	
18AUG17	S 1 PD17NOV17	2359.50	2588.26	USD	-5,719.00	
	NET -4 AVG SOLD 2398.38				**-18,988.50**	**0.00**
21AUG17	S 1 PD21NOV17	2350.50	2588.77	USD	-5,956.75	
	NET -1 AVG SOLD 2350.50				**-5,956.75**	**0.00**
22AUG17	B 1 PD22NOV17	2418.00	2588.90	USD	4,272.50	
22AUG17	S 1 PD22NOV17	2410.50	2588.90	USD	-4,460.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0118A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
22AUG17	S 1 PD22NOV17	2416.00	2588.90	USD	-4,322.50	
22AUG17	S 1 PD22NOV17	2402.50	2588.90	USD	-4,660.00	
	NET -2 AVG BGHT 2418.00 SOLD 2409.67				**-9,170.00**	**0.00**
23AUG17	B 1 PD24NOV17	2397.00	2589.16	USD	4,804.00	
23AUG17	B 1 PD24NOV17	2389.50	2589.16	USD	4,991.50	
23AUG17	S 1 PD24NOV17	2383.00	2589.16	USD	-5,154.00	
23AUG17	S 1 PD24NOV17	2386.00	2589.16	USD	-5,079.00	
24AUG17	B 1 PD24NOV17	2353.00	2589.16	USD	5,904.00	
24AUG17	B 1 PD24NOV17	2356.00	2589.16	USD	5,829.00	
25AUG17	B 1 PD24NOV17	2352.00	2589.16	USD	5,929.00	
	NET +3 AVG BGHT 2369.50 SOLD 2384.50				**17,224.50**	**0.00**
29AUG17	S 1 PD29NOV17	2401.50	2589.80	USD	-4,707.50	
	NET -1 AVG SOLD 2401.50				**-4,707.50**	**0.00**
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
10JUL17	B 1 PD10OCT17	8920.00	10538.85	USD	9,713.10	
	NET +1 AVG BGHT 8920.00				**9,713.10**	**0.00**
12JUL17	S 1 PD12OCT17	9225.00	10541.38	USD	-7,898.28	
	NET -1 AVG SOLD 9225.00				**-7,898.28**	**0.00**
13JUL17	B 1 PD13OCT17	9190.00	10542.65	USD	8,115.90	
14JUL17	S 1 PD13OCT17	9490.00	10542.65	USD	-6,315.90	
	NET 0 AVG BGHT 9190.00 SOLD 9490.00				**1,800.00**	**0.00**
19JUL17	S 2 PD19OCT17	9695.00	10549.96	USD	-10,259.52	
19JUL17	S 1 PD19OCT17	9650.00	10549.96	USD	-5,399.76	
	NET -3 AVG SOLD 9680.00				**-15,659.28**	**0.00**
20JUL17	B 1 PD20OCT17	9495.00	10550.93	USD	6,335.58	
	NET +1 AVG BGHT 9495.00				**6,335.58**	**0.00**
24JUL17	S 1 PD24OCT17	9725.00	10554.79	USD	-4,978.74	
	NET -1 AVG SOLD 9725.00				**-4,978.74**	**0.00**
26JUL17	B 1 PD26OCT17	9940.00	10556.71	USD	3,700.26	
	NET +1 AVG BGHT 9940.00				**3,700.26**	**0.00**
28JUL17	B 2 PD27OCT17	10205.00	10557.68	USD	4,232.16	
28JUL17	S 1 PD27OCT17	10250.00	10557.68	USD	-1,846.08	
	NET +1 AVG BGHT 10205.00 SOLD 10250.00				**2,386.08**	**0.00**
31JUL17	B 1 PD31OCT17	10320.00	10561.54	USD	1,449.24	
	NET +1 AVG BGHT 10320.00				**1,449.24**	**0.00**
01AUG17	S 1 PD01NOV17	10165.00	10562.50	USD	-2,385.00	
	NET -1 AVG SOLD 10165.00				**-2,385.00**	**0.00**
09AUG17	S 1 PD09NOV17	10735.00	10570.21	USD	988.74	
	NET -1 AVG SOLD 10735.00				**988.74**	**0.00**
11AUG17	B 1 PD10NOV17	10620.00	10571.18	USD	-292.92	
	NET +1 AVG BGHT 10620.00				**-292.92**	**0.00**
14AUG17	B 1 PD14NOV17	10420.00	10575.04	USD	930.24	
	NET +1 AVG BGHT 10420.00				**930.24**	**0.00**
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
10JUL17	S 1 PD10OCT17	19775.00	20949.00	USD	-5,870.00	
	NET -1 AVG SOLD 19775.00				**-5,870.00**	**0.00**
12JUL17	B 1 PD12OCT17	19945.00	20944.00	USD	4,995.00	
	NET +1 AVG BGHT 19945.00				**4,995.00**	**0.00**
13JUL17	S 1 PD13OCT17	19875.00	20941.50	USD	-5,332.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0118A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
14JUL17	B 1 PD13OCT17	19895.00	20941.50	USD	5,232.50	
	NET 0 AVG BGHT 19895.00 SOLD 19875.00				**-100.00**	**0.00**
19JUL17	B 1 PD19OCT17	20080.00	20929.00	USD	4,245.00	
19JUL17	B 1 PD19OCT17	20125.00	20929.00	USD	4,020.00	
19JUL17	B 1 PD19OCT17	20120.00	20929.00	USD	4,045.00	
	NET +3 AVG BGHT 20108.33				**12,310.00**	**0.00**
20JUL17	S 1 PD20OCT17	20090.00	20924.00	USD	-4,170.00	
	NET -1 AVG SOLD 20090.00				**-4,170.00**	**0.00**
24JUL17	B 1 PD24OCT17	20260.00	20914.00	USD	3,270.00	
	NET +1 AVG BGHT 20260.00				**3,270.00**	**0.00**
26JUL17	S 1 PD26OCT17	20350.00	20904.00	USD	-2,770.00	
	NET -1 AVG SOLD 20350.00				**-2,770.00**	**0.00**
28JUL17	S 1 PD27OCT17	20845.00	20899.00	USD	-270.00	
28JUL17	S 1 PD27OCT17	20855.00	20899.00	USD	-220.00	
28JUL17	B 1 PD27OCT17	20635.00	20899.00	USD	1,320.00	
	NET -1 AVG BGHT 20635.00 SOLD 20850.00				**830.00**	**0.00**
31JUL17	S 1 PD31OCT17	20815.00	20889.00	USD	-370.00	
	NET -1 AVG SOLD 20815.00				**-370.00**	**0.00**
01AUG17	B 1 PD01NOV17	20410.00	20884.00	USD	2,370.00	
	NET +1 AVG BGHT 20410.00				**2,370.00**	**0.00**
09AUG17	B 1 PD09NOV17	20250.00	20875.60	USD	3,128.00	
	NET +1 AVG BGHT 20250.00				**3,128.00**	**0.00**
11AUG17	S 1 PD10NOV17	20310.00	20874.20	USD	-2,821.00	
	NET -1 AVG SOLD 20310.00				**-2,821.00**	**0.00**
14AUG17	S 1 PD14NOV17	20310.00	20871.40	USD	-2,807.00	
	NET -1 AVG SOLD 20310.00				**-2,807.00**	**0.00**
23AUG17	B 1 PD24NOV17	20545.00	20862.50	USD	1,587.50	
25AUG17	S 1 PD24NOV17	20405.00	20862.50	USD	-2,287.50	
	NET 0 AVG BGHT 20545.00 SOLD 20405.00				**-700.00**	**0.00**
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
07JUL17	S 2 PD06OCT17	2773.50	3310.38	USD	-26,844.00	
	NET -2 AVG SOLD 2773.50				**-26,844.00**	**0.00**
11JUL17	S 2 PD11OCT17	2796.00	3304.13	USD	-25,406.50	
11JUL17	S 1 PD11OCT17	2807.00	3304.13	USD	-12,428.25	
	NET -3 AVG SOLD 2799.67				**-37,834.75**	**0.00**
12JUL17	B 1 PD12OCT17	2861.50	3301.00	USD	10,987.50	
	NET +1 AVG BGHT 2861.50				**10,987.50**	**0.00**
13JUL17	B 1 PD13OCT17	2839.50	3297.88	USD	11,459.50	
13JUL17	S 1 PD13OCT17	2835.00	3297.88	USD	-11,572.00	
13JUL17	B 1 PD13OCT17	2829.50	3297.88	USD	11,709.50	
13JUL17	B 1 PD13OCT17	2827.00	3297.88	USD	11,772.00	
14JUL17	B 1 PD13OCT17	2790.00	3297.88	USD	12,697.00	
	NET +3 AVG BGHT 2821.50 SOLD 2835.00				**36,066.00**	**0.00**
17JUL17	S 1 PD17OCT17	2829.00	3291.63	USD	-11,565.75	
17JUL17	S 1 PD17OCT17	2841.00	3291.63	USD	-11,265.75	
	NET -2 AVG SOLD 2835.00				**-22,831.50**	**0.00**
19JUL17	S 1 PD19OCT17	2770.00	3285.50	USD	-12,887.50	
	NET -1 AVG SOLD 2770.00				**-12,887.50**	**0.00**
21JUL17	B 1 PD20OCT17	2764.00	3282.50	USD	12,962.50	
21JUL17	B 1 PD20OCT17	2764.50	3282.50	USD	12,950.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0118A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position		Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT						
	NET +2 AVG BGHT 2764.25					**25,912.50**	**0.00**
26JUL17	B 1 PD26OCT17		2846.00	3278.50	USD	10,812.50	
26JUL17	S 2 PD26OCT17		2806.00	3278.50	USD	-23,625.00	
	NET -1 AVG BGHT 2846.00	**SOLD 2806.00**				**-12,812.50**	**0.00**
28JUL17	S 1 PD27OCT17		2786.00	3277.50	USD	-12,287.50	
28JUL17	B 1 PD27OCT17		2769.00	3277.50	USD	12,712.50	
	NET 0 AVG BGHT 2769.00	**SOLD 2786.00**				**425.00**	**0.00**
31JUL17	S 1 PD31OCT17		2818.50	3275.50	USD	-11,425.00	
31JUL17	S 1 PD31OCT17		2819.00	3275.50	USD	-11,412.50	
	NET -2 AVG SOLD 2818.75					**-22,837.50**	**0.00**
01AUG17	B 3 PD01NOV17		2783.50	3274.50	USD	36,825.00	
	NET +3 AVG BGHT 2783.50					**36,825.00**	**0.00**
02AUG17	B 1 PD02NOV17		2775.00	3273.50	USD	12,462.50	
02AUG17	S 1 PD02NOV17		2785.00	3273.50	USD	-12,212.50	
	NET 0 AVG BGHT 2775.00	**SOLD 2785.00**				**250.00**	**0.00**
03AUG17	S 1 PD03NOV17		2828.50	3272.00	USD	-11,087.50	
04AUG17	B 1 PD03NOV17		2816.00	3272.00	USD	11,400.00	
04AUG17	B 1 PD03NOV17		2815.50	3272.00	USD	11,412.50	
	NET +1 AVG BGHT 2815.75	**SOLD 2828.50**				**11,725.00**	**0.00**
08AUG17	B 1 PD08NOV17		2868.00	3272.00	USD	10,100.00	
	NET +1 AVG BGHT 2868.00					**10,100.00**	**0.00**
09AUG17	S 1 PD09NOV17		2973.50	3272.00	USD	-7,462.50	
09AUG17	S 1 PD09NOV17		2975.00	3272.00	USD	-7,425.00	
09AUG17	S 1 PD09NOV17		2958.00	3272.00	USD	-7,850.00	
09AUG17	S 1 PD09NOV17		2960.00	3272.00	USD	-7,800.00	
09AUG17	S 1 PD09NOV17		2950.50	3272.00	USD	-8,037.50	
	NET -5 AVG SOLD 2963.40					**-38,575.00**	**0.00**
17AUG17	B 1 PD17NOV17		3091.00	3265.50	USD	4,362.50	
17AUG17	B 1 PD17NOV17		3062.50	3265.50	USD	5,075.00	
17AUG17	B 1 PD17NOV17		3059.50	3265.50	USD	5,150.00	
18AUG17	B 2 PD17NOV17		3128.50	3265.50	USD	6,850.00	
	NET +5 AVG BGHT 3094.00					**21,437.50**	**0.00**
22AUG17	S 1 PD22NOV17		3125.00	3264.50	USD	-3,487.50	
22AUG17	S 1 PD22NOV17		3115.50	3264.50	USD	-3,725.00	
	NET -2 AVG SOLD 3120.25					**-7,212.50**	**0.00**
23AUG17	B 1 PD24NOV17		3103.50	3264.71	USD	4,030.25	
23AUG17	B 1 PD24NOV17		3098.50	3264.71	USD	4,155.25	
23AUG17	B 3 PD24NOV17		3102.50	3264.71	USD	12,165.75	
23AUG17	B 3 PD24NOV17		3099.50	3264.71	USD	12,390.75	
23AUG17	S 2 PD24NOV17		3100.50	3264.71	USD	-8,210.50	
23AUG17	B 1 PD24NOV17		3099.00	3264.71	USD	4,142.75	
23AUG17	S 1 PD24NOV17		3100.00	3264.71	USD	-4,117.75	
24AUG17	S 1 PD24NOV17		3104.50	3264.71	USD	-4,005.25	
24AUG17	S 1 PD24NOV17		3107.00	3264.71	USD	-3,942.75	
24AUG17	S 1 PD24NOV17		3107.50	3264.71	USD	-3,930.25	
24AUG17	S 1 PD24NOV17		3105.50	3264.71	USD	-3,980.25	
25AUG17	S 1 PD24NOV17		3089.50	3264.71	USD	-4,380.25	
25AUG17	S 2 PD24NOV17		3064.00	3264.71	USD	-10,035.50	
	NET -1 AVG BGHT 3100.78	**SOLD 3094.30**				**-5,717.75**	**0.00**
29AUG17	B 1 PD29NOV17		3121.50	3265.25	USD	3,593.75	
29AUG17	B 2 PD29NOV17		3122.50	3265.25	USD	7,137.50	
	NET +3 AVG BGHT 3122.17					**10,731.25**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0118A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	5,187.50
LME Copper Grade A 25	FUTURES	-22,687.50
LME Lead 25 tonnes. This is an	FUTURES	150.00
LME Nickel 6 tonnes. This is	FUTURES	-4,110.00
LME Tin 5 tonnes. This is an	FUTURES	6,825.00
LME Zinc 25 tonnes. This is	FUTURES	-19,725.00

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards			Amount	Profit / Loss	**Total Amount**	**Total P&L**
ALUL	- Futures	USD	4,998.50	4,998.50		
COPL	- Futures	USD	-22,332.25	-22,332.25		
LEAD	- Futures	USD	49.75	49.75		
NKL	- Futures	USD	-3,910.98	-3,910.98		
TIN	- Futures	USD	7,295.00	7,295.00		
ZINC	- Futures	USD	-23,093.25	-23,093.25	**-36,993.23**	**-36,993.23**

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-2,312.90	-2,312.90
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**-2,312.90**	**-2,312.90**
Initial Margins		-52,929.23	-52,929.23
Excess/(Shortage)		**-55,242.13**	**-55,242.13**
FOR INFORMATION ONLY			
Forward Unrealised P&L		-36,993.23	-36,993.23
Net Liquidating Value		**-39,306.13**	**-39,306.13**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0118C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
01AUG17	B 1 PD01NOV17	1908.50	2117.42	USD	5,223.00	
	NET +1 AVG BGHT 1908.50				**5,223.00**	**0.00**
02AUG17	S 1 PD02NOV17	1916.00	2117.08	USD	-5,027.00	
	NET -1 AVG SOLD 1916.00				**-5,027.00**	**0.00**
03AUG17	B 1 PD03NOV17	1927.00	2116.75	USD	4,743.75	
03AUG17	S 1 PD03NOV17	1927.00	2116.75	USD	-4,743.75	
	NET 0 AVG BGHT 1927.00 SOLD 1927.00				**0.00**	**0.00**
07AUG17	B 1 PD07NOV17	1953.00	2116.08	USD	4,077.00	
07AUG17	S 1 PD07NOV17	1963.50	2116.08	USD	-3,814.50	
	NET 0 AVG BGHT 1953.00 SOLD 1963.50				**262.50**	**0.00**
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
27JUL17	B 1 PD27OCT17	2320.00	2588.00	USD	6,700.00	
27JUL17	S 1 PD27OCT17	2309.50	2588.00	USD	-6,962.50	
	NET 0 AVG BGHT 2320.00 SOLD 2309.50				**-262.50**	**0.00**
01AUG17	S 1 PD01NOV17	2337.50	2588.00	USD	-6,262.50	
	NET -1 AVG SOLD 2337.50				**-6,262.50**	**0.00**
02AUG17	B 1 PD02NOV17	2329.50	2588.00	USD	6,462.50	
	NET +1 AVG BGHT 2329.50				**6,462.50**	**0.00**
07AUG17	B 1 PD07NOV17	2382.00	2588.00	USD	5,150.00	
07AUG17	B 1 PD07NOV17	2371.50	2588.00	USD	5,412.50	
	NET +2 AVG BGHT 2376.75				**10,562.50**	**0.00**
09AUG17	B 1 PD09NOV17	2360.50	2588.00	USD	5,687.50	
	NET +1 AVG BGHT 2360.50				**5,687.50**	**0.00**
10AUG17	S 1 PD10NOV17	2385.50	2588.00	USD	-5,062.50	
11AUG17	B 1 PD10NOV17	2330.00	2588.00	USD	6,450.00	
	NET 0 AVG BGHT 2330.00 SOLD 2385.50				**1,387.50**	**0.00**
18AUG17	S 1 PD17NOV17	2445.50	2588.26	USD	-3,569.00	
18AUG17	B 1 PD17NOV17	2407.00	2588.26	USD	4,531.50	
18AUG17	S 1 PD17NOV17	2368.00	2588.26	USD	-5,506.50	
18AUG17	B 1 PD17NOV17	2366.00	2588.26	USD	5,556.50	
18AUG17	S 1 PD17NOV17	2378.00	2588.26	USD	-5,256.50	
18AUG17	S 1 PD17NOV17	2371.00	2588.26	USD	-5,431.50	
18AUG17	S 1 PD17NOV17	2374.00	2588.26	USD	-5,356.50	
	NET -3 AVG BGHT 2386.50 SOLD 2387.30				**-15,032.00**	**0.00**
23AUG17	S 1 PD24NOV17	2415.50	2589.16	USD	-4,341.50	
23AUG17	B 1 PD24NOV17	2412.00	2589.16	USD	4,429.00	
24AUG17	B 1 PD24NOV17	2355.50	2589.16	USD	5,841.50	
24AUG17	S 1 PD24NOV17	2353.00	2589.16	USD	-5,904.00	
	NET 0 AVG BGHT 2383.75 SOLD 2384.25				**25.00**	**0.00**
31AUG17	B 1 PD30NOV17	2390.50	2589.93	USD	4,985.75	
31AUG17	S 1 PD30NOV17	2379.00	2589.93	USD	-5,273.25	
	NET 0 AVG BGHT 2390.50 SOLD 2379.00				**-287.50**	**0.00**
11SEP17	B 1 PD11DEC17	2287.50	2591.34	USD	7,596.00	
11SEP17	S 1 PD11DEC17	2281.00	2591.34	USD	-7,758.50	
	NET 0 AVG BGHT 2287.50 SOLD 2281.00				**-162.50**	**0.00**
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
10AUG17	S 1 PD10NOV17	10825.00	10571.18	USD	1,522.92	
10AUG17	B 1 PD10NOV17	10835.00	10571.18	USD	-1,582.92	
	NET 0 AVG BGHT 10835.00 SOLD 10825.00				**-60.00**	**0.00**
	LME TIN 5 TONNES. THIS IS AN LME					

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0118C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
28JUL17	S 1 PD27OCT17	20715.00	20899.00	USD	-920.00	
28JUL17	B 1 PD27OCT17	20750.00	20899.00	USD	745.00	
28JUL17	S 1 PD27OCT17	20725.00	20899.00	USD	-870.00	
28JUL17	B 1 PD27OCT17	20790.00	20899.00	USD	545.00	
28JUL17	B 1 PD27OCT17	20650.00	20899.00	USD	1,245.00	
28JUL17	S 1 PD27OCT17	20690.00	20899.00	USD	-1,045.00	
28JUL17	S 1 PD27OCT17	20675.00	20899.00	USD	-1,120.00	
	NET -1 AVG BGHT 20730.00 SOLD 20701.25				**-1,420.00**	**0.00**
31JUL17	B 1 PD31OCT17	20740.00	20889.00	USD	745.00	
	NET +1 AVG BGHT 20740.00				**745.00**	**0.00**
04AUG17	S 1 PD03NOV17	20745.00	20881.20	USD	-681.00	
04AUG17	B 1 PD03NOV17	20785.00	20881.20	USD	481.00	
	NET 0 AVG BGHT 20785.00 SOLD 20745.00				**-200.00**	**0.00**
14AUG17	B 1 PD14NOV17	20345.00	20871.40	USD	2,632.00	
14AUG17	S 1 PD14NOV17	20305.00	20871.40	USD	-2,832.00	
	NET 0 AVG BGHT 20345.00 SOLD 20305.00				**-200.00**	**0.00**
21AUG17	B 1 PD21NOV17	20500.00	20865.00	USD	1,825.00	
21AUG17	S 1 PD21NOV17	20495.00	20865.00	USD	-1,850.00	
	NET 0 AVG BGHT 20500.00 SOLD 20495.00				**-25.00**	**0.00**
31AUG17	B 1 PD30NOV17	20645.00	20857.50	USD	1,062.50	
31AUG17	S 1 PD30NOV17	20645.00	20857.50	USD	-1,062.50	
	NET 0 AVG BGHT 20645.00 SOLD 20645.00				**0.00**	**0.00**
08SEP17	B 1 PD08DEC17	20625.00	20850.00	USD	1,125.00	
08SEP17	S 1 PD08DEC17	20525.00	20850.00	USD	-1,625.00	
	NET 0 AVG BGHT 20625.00 SOLD 20525.00				**-500.00**	**0.00**
26SEP17	S 1 PD27DEC17	20735.00	20820.20	USD	-426.00	
26SEP17	B 1 PD27DEC17	20750.00	20820.20	USD	351.00	
	NET 0 AVG BGHT 20750.00 SOLD 20735.00				**-75.00**	**0.00**
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
27JUL17	S 1 PD27OCT17	2822.00	3277.50	USD	-11,387.50	
27JUL17	B 1 PD27OCT17	2796.00	3277.50	USD	12,037.50	
	NET 0 AVG BGHT 2796.00 SOLD 2822.00				**650.00**	**0.00**
03AUG17	B 1 PD03NOV17	2838.00	3272.00	USD	10,850.00	
03AUG17	S 1 PD03NOV17	2839.00	3272.00	USD	-10,825.00	
	NET 0 AVG BGHT 2838.00 SOLD 2839.00				**25.00**	**0.00**
07AUG17	S 1 PD07NOV17	2874.00	3272.00	USD	-9,950.00	
07AUG17	S 1 PD07NOV17	2872.00	3272.00	USD	-10,000.00	
07AUG17	S 1 PD07NOV17	2876.00	3272.00	USD	-9,900.00	
07AUG17	B 1 PD07NOV17	2868.50	3272.00	USD	10,087.50	
	NET -2 AVG BGHT 2868.50 SOLD 2874.00				**-19,762.50**	**0.00**
09AUG17	S 1 PD09NOV17	2936.50	3272.00	USD	-8,387.50	
	NET -1 AVG SOLD 2936.50				**-8,387.50**	**0.00**
10AUG17	B 1 PD10NOV17	2950.00	3272.00	USD	8,050.00	
11AUG17	S 1 PD10NOV17	2903.00	3272.00	USD	-9,225.00	
	NET 0 AVG BGHT 2950.00 SOLD 2903.00				**-1,175.00**	**0.00**
18AUG17	B 1 PD17NOV17	3127.50	3265.50	USD	3,450.00	
18AUG17	S 1 PD17NOV17	3126.00	3265.50	USD	-3,487.50	
18AUG17	B 1 PD17NOV17	3091.50	3265.50	USD	4,350.00	
18AUG17	S 1 PD17NOV17	3099.00	3265.50	USD	-4,162.50	
18AUG17	B 1 PD17NOV17	3125.00	3265.50	USD	3,512.50	
18AUG17	B 1 PD17NOV17	3123.00	3265.50	USD	3,562.50	
18AUG17	B 1 PD17NOV17	3123.50	3265.50	USD	3,550.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0118C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
	NET +3 AVG BGHT 3118.10 SOLD 3112.50				**10,775.00**	**0.00**
23AUG17	S 1 PD24NOV17	3130.00	3264.71	USD	-3,367.75	
23AUG17	B 1 PD24NOV17	3142.50	3264.71	USD	3,055.25	
24AUG17	S 1 PD24NOV17	3104.00	3264.71	USD	-4,017.75	
24AUG17	B 1 PD24NOV17	3118.00	3264.71	USD	3,667.75	
	NET 0 AVG BGHT 3130.25 SOLD 3117.00				**-662.50**	**0.00**
31AUG17	S 1 PD30NOV17	3137.00	3265.36	USD	-3,209.00	
31AUG17	B 1 PD30NOV17	3129.00	3265.36	USD	3,409.00	
	NET 0 AVG BGHT 3129.00 SOLD 3137.00				**200.00**	**0.00**
11SEP17	S 1 PD11DEC17	3094.00	3266.54	USD	-4,313.50	
11SEP17	B 1 PD11DEC17	3094.00	3266.54	USD	4,313.50	
	NET 0 AVG BGHT 3094.00 SOLD 3094.00				**0.00**	**0.00**

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	450.00
LME Lead 25 tonnes. This is an	FUTURES	2,137.50
LME Nickel 6 tonnes. This is	FUTURES	-60.00
LME Tin 5 tonnes. This is an	FUTURES	-1,625.00
LME Zinc 25 tonnes. This is	FUTURES	-17,850.00

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards			Amount	Profit / Loss	**Total Amount**	**Total P&L**
ALUL	- Futures	USD	458.50	458.50		
LEAD	- Futures	USD	2,118.00	2,118.00		
NKL	- Futures	USD	-60.00	-60.00		
TIN	- Futures	USD	-1,675.00	-1,675.00		
ZINC	- Futures	USD	-18,337.50	-18,337.50	**-17,496.00**	**-17,496.00**

ACCOUNT FINANCIAL SUMMARY

		USD (1.00000)	NETT USD (1.00000)
CASH BALANCE		-926.80	-926.80
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**-926.80**	**-926.80**
Initial Margins		-19,459.00	-19,459.00
Excess/(Shortage)		**-20,385.80**	**-20,385.80**
FOR INFORMATION ONLY			
Forward Unrealised P&L		-17,496.00	-17,496.00
Net Liquidating Value		**-18,422.80**	**-18,422.80**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0118H

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
10JUL17	B 1 PD10OCT17	1906.50	2115.92	USD	5,235.50	
10JUL17	B 1 PD10OCT17	1894.50	2115.92	USD	5,535.50	
	NET +2 AVG BGHT 1900.50				**10,771.00**	**0.00**
11JUL17	S 1 PD11OCT17	1898.00	2116.25	USD	-5,456.25	
11JUL17	B 1 PD11OCT17	1898.00	2116.25	USD	5,456.25	
11JUL17	B 1 PD11OCT17	1904.00	2116.25	USD	5,306.25	
11JUL17	S 1 PD11OCT17	1900.00	2116.25	USD	-5,406.25	
11JUL17	S 1 PD11OCT17	1893.50	2116.25	USD	-5,568.75	
	NET -1 AVG BGHT 1901.00 SOLD 1897.17				**-5,668.75**	**0.00**
12JUL17	S 1 PD12OCT17	1893.00	2116.25	USD	-5,581.25	
	NET -1 AVG SOLD 1893.00				**-5,581.25**	**0.00**
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
07JUL17	B 1 PD06OCT17	5827.50	6470.02	USD	16,063.00	
07JUL17	S 1 PD06OCT17	5818.00	6470.02	USD	-16,300.50	
07JUL17	B 1 PD06OCT17	5811.50	6470.02	USD	16,463.00	
07JUL17	S 1 PD06OCT17	5823.50	6470.02	USD	-16,163.00	
	NET 0 AVG BGHT 5819.50 SOLD 5820.75				**62.50**	**0.00**
01AUG17	B 1 PD01NOV17	6357.00	6494.09	USD	3,427.25	
01AUG17	S 1 PD01NOV17	6352.50	6494.09	USD	-3,539.75	
	NET 0 AVG BGHT 6357.00 SOLD 6352.50				**-112.50**	**0.00**
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
10JUL17	B 1 PD10OCT17	2277.00	2586.15	USD	7,728.75	
10JUL17	S 1 PD10OCT17	2291.00	2586.15	USD	-7,378.75	
	NET 0 AVG BGHT 2277.00 SOLD 2291.00				**350.00**	**0.00**
11JUL17	B 1 PD11OCT17	2305.00	2586.38	USD	7,034.50	
	NET +1 AVG BGHT 2305.00				**7,034.50**	**0.00**
13JUL17	B 1 PD13OCT17	2316.00	2586.85	USD	6,771.25	
14JUL17	S 1 PD13OCT17	2287.50	2586.85	USD	-7,483.75	
	NET 0 AVG BGHT 2316.00 SOLD 2287.50				**-712.50**	**0.00**
17JUL17	B 1 PD17OCT17	2324.00	2587.77	USD	6,594.25	
	NET +1 AVG BGHT 2324.00				**6,594.25**	**0.00**
18JUL17	S 1 PD18OCT17	2286.00	2588.00	USD	-7,550.00	
18JUL17	B 1 PD18OCT17	2275.00	2588.00	USD	7,825.00	
	NET 0 AVG BGHT 2275.00 SOLD 2286.00				**275.00**	**0.00**
19JUL17	S 1 PD19OCT17	2227.50	2588.00	USD	-9,012.50	
19JUL17	B 1 PD19OCT17	2220.00	2588.00	USD	9,200.00	
	NET 0 AVG BGHT 2220.00 SOLD 2227.50				**187.50**	**0.00**
20JUL17	S 1 PD20OCT17	2224.00	2588.00	USD	-9,100.00	
	NET -1 AVG SOLD 2224.00				**-9,100.00**	**0.00**
24JUL17	B 1 PD24OCT17	2248.50	2588.00	USD	8,487.50	
24JUL17	S 1 PD24OCT17	2256.50	2588.00	USD	-8,287.50	
	NET 0 AVG BGHT 2248.50 SOLD 2256.50				**200.00**	**0.00**
25JUL17	B 1 PD25OCT17	2271.00	2588.00	USD	7,925.00	
25JUL17	S 1 PD25OCT17	2273.50	2588.00	USD	-7,862.50	
25JUL17	B 1 PD25OCT17	2296.00	2588.00	USD	7,300.00	
25JUL17	S 1 PD25OCT17	2297.00	2588.00	USD	-7,275.00	
	NET 0 AVG BGHT 2283.50 SOLD 2285.25				**87.50**	**0.00**
26JUL17	S 1 PD26OCT17	2311.50	2588.00	USD	-6,912.50	
	NET -1 AVG SOLD 2311.50				**-6,912.50**	**0.00**
08AUG17	B 1 PD08NOV17	2356.00	2588.00	USD	5,800.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0118H

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
	NET +1 AVG BGHT 2356.00				**5,800.00**	**0.00**
18AUG17	S 1 PD17NOV17	2372.50	2588.26	USD	-5,394.00	
	NET -1 AVG SOLD 2372.50				**-5,394.00**	**0.00**
22AUG17	B 3 PD22NOV17	2391.00	2588.90	USD	14,842.50	
	NET +3 AVG BGHT 2391.00				**14,842.50**	**0.00**
14SEP17	S 3 PD14DEC17	2312.50	2591.73	USD	-20,942.25	
	NET -3 AVG SOLD 2312.50				**-20,942.25**	**0.00**
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
14JUL17	S 1 PD13OCT17	9400.00	10542.65	USD	-6,855.90	
14JUL17	B 1 PD13OCT17	9425.00	10542.65	USD	6,705.90	
	NET 0 AVG BGHT 9425.00 SOLD 9400.00				**-150.00**	**0.00**
18JUL17	S 1 PD18OCT17	9645.00	10549.00	USD	-5,424.00	
18JUL17	B 1 PD18OCT17	9650.00	10549.00	USD	5,394.00	
	NET 0 AVG BGHT 9650.00 SOLD 9645.00				**-30.00**	**0.00**
28JUL17	S 1 PD27OCT17	10140.00	10557.68	USD	-2,506.08	
28JUL17	B 1 PD27OCT17	10160.00	10557.68	USD	2,386.08	
	NET 0 AVG BGHT 10160.00 SOLD 10140.00				**-120.00**	**0.00**
01AUG17	B 1 PD01NOV17	10125.00	10562.50	USD	2,625.00	
01AUG17	S 1 PD01NOV17	10155.00	10562.50	USD	-2,445.00	
01AUG17	B 1 PD01NOV17	10175.00	10562.50	USD	2,325.00	
01AUG17	B 1 PD01NOV17	10200.00	10562.50	USD	2,175.00	
01AUG17	S 2 PD01NOV17	10200.00	10562.50	USD	-4,350.00	
	NET 0 AVG BGHT 10166.67 SOLD 10185.00				**330.00**	**0.00**
04AUG17	S 1 PD03NOV17	10335.00	10564.43	USD	-1,376.58	
04AUG17	B 1 PD03NOV17	10330.00	10564.43	USD	1,406.58	
	NET 0 AVG BGHT 10330.00 SOLD 10335.00				**30.00**	**0.00**
07AUG17	B 1 PD07NOV17	10275.00	10568.29	USD	1,759.74	
07AUG17	B 1 PD07NOV17	10295.00	10568.29	USD	1,639.74	
07AUG17	B 1 PD07NOV17	10305.00	10568.29	USD	1,579.74	
07AUG17	S 1 PD07NOV17	10255.00	10568.29	USD	-1,879.74	
07AUG17	S 1 PD07NOV17	10305.00	10568.29	USD	-1,579.74	
07AUG17	S 1 PD07NOV17	10325.00	10568.29	USD	-1,459.74	
07AUG17	B 1 PD07NOV17	10335.00	10568.29	USD	1,399.74	
07AUG17	S 1 PD07NOV17	10330.00	10568.29	USD	-1,429.74	
	NET 0 AVG BGHT 10302.50 SOLD 10303.75				**30.00**	**0.00**
08AUG17	B 1 PD08NOV17	10355.00	10569.25	USD	1,285.50	
08AUG17	S 1 PD08NOV17	10350.00	10569.25	USD	-1,315.50	
	NET 0 AVG BGHT 10355.00 SOLD 10350.00				**-30.00**	**0.00**
09AUG17	S 1 PD09NOV17	10800.00	10570.21	USD	1,378.74	
09AUG17	S 1 PD09NOV17	10805.00	10570.21	USD	1,408.74	
09AUG17	B 2 PD09NOV17	10805.00	10570.21	USD	-2,817.48	
	NET 0 AVG BGHT 10805.00 SOLD 10802.50				**-30.00**	**0.00**
10AUG17	S 1 PD10NOV17	10830.00	10571.18	USD	1,552.92	
10AUG17	B 1 PD10NOV17	10845.00	10571.18	USD	-1,642.92	
	NET 0 AVG BGHT 10845.00 SOLD 10830.00				**-90.00**	**0.00**
16AUG17	B 1 PD16NOV17	10580.00	10576.89	USD	-18.66	
16AUG17	S 1 PD16NOV17	10555.00	10576.89	USD	-131.34	
16AUG17	B 1 PD16NOV17	10635.00	10576.89	USD	-348.66	
16AUG17	S 1 PD16NOV17	10635.00	10576.89	USD	348.66	
	NET 0 AVG BGHT 10607.50 SOLD 10595.00				**-150.00**	**0.00**
07SEP17	B 1 PD07DEC17	12180.00	10595.49	USD	-9,507.06	
07SEP17	S 1 PD07DEC17	12155.00	10595.49	USD	9,357.06	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0118H

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
07SEP17	B 1 PD07DEC17	12110.00	10595.49	USD	-9,087.06	
07SEP17	S 1 PD07DEC17	12090.00	10595.49	USD	8,967.06	
	NET 0 AVG BGHT 12145.00 SOLD 12122.50				**-270.00**	**0.00**
13SEP17	B 1 PD13DEC17	11555.00	10600.80	USD	-5,725.20	
13SEP17	S 1 PD13DEC17	11555.00	10600.80	USD	5,725.20	
13SEP17	B 1 PD13DEC17	11575.00	10600.80	USD	-5,845.20	
13SEP17	S 1 PD13DEC17	11550.00	10600.80	USD	5,695.20	
	NET 0 AVG BGHT 11565.00 SOLD 11552.50				**-150.00**	**0.00**
15SEP17	B 1 PD15DEC17	11175.00	10602.57	USD	-3,434.58	
15SEP17	S 1 PD15DEC17	11160.00	10602.57	USD	3,344.58	
15SEP17	B 1 PD15DEC17	11050.00	10602.57	USD	-2,684.58	
15SEP17	S 1 PD15DEC17	11095.00	10602.57	USD	2,954.58	
	NET 0 AVG BGHT 11112.50 SOLD 11127.50				**180.00**	**0.00**
19SEP17	B 1 PD19DEC17	11165.00	10606.11	USD	-3,353.34	
19SEP17	S 1 PD19DEC17	11150.00	10606.11	USD	3,263.34	
	NET 0 AVG BGHT 11165.00 SOLD 11150.00				**-90.00**	**0.00**
21SEP17	B 1 PD21DEC17	11225.00	10608.00	USD	-3,702.00	
21SEP17	S 1 PD21DEC17	11205.00	10608.00	USD	3,582.00	
21SEP17	S 1 PD21DEC17	11130.00	10608.00	USD	3,132.00	
21SEP17	B 1 PD21DEC17	11165.00	10608.00	USD	-3,342.00	
	NET 0 AVG BGHT 11195.00 SOLD 11167.50				**-330.00**	**0.00**
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
05JUL17	B 1 PD05OCT17	19960.00	20954.00	USD	4,970.00	
05JUL17	S 1 PD05OCT17	19940.00	20954.00	USD	-5,070.00	
05JUL17	B 1 PD05OCT17	19880.00	20954.00	USD	5,370.00	
05JUL17	S 1 PD05OCT17	19855.00	20954.00	USD	-5,495.00	
05JUL17	S 1 PD05OCT17	19850.00	20954.00	USD	-5,520.00	
05JUL17	B 1 PD05OCT17	19860.00	20954.00	USD	5,470.00	
	NET 0 AVG BGHT 19900.00 SOLD 19881.67				**-275.00**	**0.00**
06JUL17	S 1 PD06OCT17	19845.00	20951.50	USD	-5,532.50	
06JUL17	S 1 PD06OCT17	19810.00	20951.50	USD	-5,707.50	
06JUL17	B 1 PD06OCT17	19875.00	20951.50	USD	5,382.50	
06JUL17	B 1 PD06OCT17	19890.00	20951.50	USD	5,307.50	
06JUL17	B 1 PD06OCT17	19900.00	20951.50	USD	5,257.50	
06JUL17	S 1 PD06OCT17	19900.00	20951.50	USD	-5,257.50	
07JUL17	B 1 PD06OCT17	19915.00	20951.50	USD	5,182.50	
07JUL17	S 1 PD06OCT17	19860.00	20951.50	USD	-5,457.50	
07JUL17	B 1 PD06OCT17	19620.00	20951.50	USD	6,657.50	
07JUL17	S 1 PD06OCT17	19620.00	20951.50	USD	-6,657.50	
07JUL17	S 1 PD06OCT17	19660.00	20951.50	USD	-6,457.50	
07JUL17	B 1 PD06OCT17	19695.00	20951.50	USD	6,282.50	
	NET 0 AVG BGHT 19815.83 SOLD 19782.50				**-1,000.00**	**0.00**
10JUL17	S 1 PD10OCT17	19735.00	20949.00	USD	-6,070.00	
10JUL17	B 1 PD10OCT17	19735.00	20949.00	USD	6,070.00	
10JUL17	S 1 PD10OCT17	19850.00	20949.00	USD	-5,495.00	
10JUL17	B 1 PD10OCT17	19860.00	20949.00	USD	5,445.00	
	NET 0 AVG BGHT 19797.50 SOLD 19792.50				**-50.00**	**0.00**
11JUL17	S 1 PD11OCT17	19825.00	20946.50	USD	-5,607.50	
11JUL17	B 1 PD11OCT17	19845.00	20946.50	USD	5,507.50	
	NET 0 AVG BGHT 19845.00 SOLD 19825.00				**-100.00**	**0.00**
13JUL17	S 1 PD13OCT17	19895.00	20941.50	USD	-5,232.50	
13JUL17	B 1 PD13OCT17	19940.00	20941.50	USD	5,007.50	
13JUL17	B 1 PD13OCT17	19920.00	20941.50	USD	5,107.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0118H

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
13JUL17	S	1	PD13OCT17	19955.00	20941.50	USD	-4,932.50	
13JUL17	B	1	PD13OCT17	19925.00	20941.50	USD	5,082.50	
13JUL17	S	1	PD13OCT17	19915.00	20941.50	USD	-5,132.50	
13JUL17	B	1	PD13OCT17	19835.00	20941.50	USD	5,532.50	
13JUL17	S	1	PD13OCT17	19860.00	20941.50	USD	-5,407.50	
14JUL17	S	1	PD13OCT17	19865.00	20941.50	USD	-5,382.50	
14JUL17	B	1	PD13OCT17	19855.00	20941.50	USD	5,432.50	
	NET	**0**	**AVG BGHT 19895.00**	**SOLD 19898.00**			**75.00**	**0.00**
17JUL17	B	1	PD17OCT17	20050.00	20936.50	USD	4,432.50	
17JUL17	S	1	PD17OCT17	20065.00	20936.50	USD	-4,357.50	
17JUL17	B	1	PD17OCT17	19945.00	20936.50	USD	4,957.50	
17JUL17	S	1	PD17OCT17	19880.00	20936.50	USD	-5,282.50	
	NET	**0**	**AVG BGHT 19997.50**	**SOLD 19972.50**			**-250.00**	**0.00**
18JUL17	B	1	PD18OCT17	20050.00	20934.00	USD	4,420.00	
18JUL17	S	1	PD18OCT17	20045.00	20934.00	USD	-4,445.00	
	NET	**0**	**AVG BGHT 20050.00**	**SOLD 20045.00**			**-25.00**	**0.00**
19JUL17	B	1	PD19OCT17	20100.00	20929.00	USD	4,145.00	
19JUL17	S	1	PD19OCT17	20095.00	20929.00	USD	-4,170.00	
19JUL17	S	1	PD19OCT17	20105.00	20929.00	USD	-4,120.00	
19JUL17	B	1	PD19OCT17	20055.00	20929.00	USD	4,370.00	
19JUL17	S	1	PD19OCT17	20135.00	20929.00	USD	-3,970.00	
	NET	**-1**	**AVG BGHT 20077.50**	**SOLD 20111.67**			**-3,745.00**	**0.00**
20JUL17	B	1	PD20OCT17	20100.00	20924.00	USD	4,120.00	
	NET	**+1**	**AVG BGHT 20100.00**				**4,120.00**	**0.00**
24JUL17	B	1	PD24OCT17	20230.00	20914.00	USD	3,420.00	
24JUL17	S	1	PD24OCT17	20245.00	20914.00	USD	-3,345.00	
24JUL17	S	1	PD24OCT17	20225.00	20914.00	USD	-3,445.00	
24JUL17	B	1	PD24OCT17	20235.00	20914.00	USD	3,395.00	
	NET	**0**	**AVG BGHT 20232.50**	**SOLD 20235.00**			**25.00**	**0.00**
25JUL17	S	1	PD25OCT17	20340.00	20909.00	USD	-2,845.00	
25JUL17	B	1	PD25OCT17	20360.00	20909.00	USD	2,745.00	
	NET	**0**	**AVG BGHT 20360.00**	**SOLD 20340.00**			**-100.00**	**0.00**
26JUL17	S	1	PD26OCT17	20365.00	20904.00	USD	-2,695.00	
26JUL17	B	1	PD26OCT17	20375.00	20904.00	USD	2,645.00	
	NET	**0**	**AVG BGHT 20375.00**	**SOLD 20365.00**			**-50.00**	**0.00**
27JUL17	B	1	PD27OCT17	20600.00	20899.00	USD	1,495.00	
27JUL17	S	1	PD27OCT17	20585.00	20899.00	USD	-1,570.00	
27JUL17	B	1	PD27OCT17	20650.00	20899.00	USD	1,245.00	
27JUL17	S	1	PD27OCT17	20645.00	20899.00	USD	-1,270.00	
27JUL17	B	1	PD27OCT17	20640.00	20899.00	USD	1,295.00	
27JUL17	S	1	PD27OCT17	20695.00	20899.00	USD	-1,020.00	
27JUL17	B	1	PD27OCT17	20675.00	20899.00	USD	1,120.00	
27JUL17	S	1	PD27OCT17	20670.00	20899.00	USD	-1,145.00	
27JUL17	S	1	PD27OCT17	20700.00	20899.00	USD	-995.00	
28JUL17	B	1	PD27OCT17	20650.00	20899.00	USD	1,245.00	
28JUL17	B	1	PD27OCT17	20585.00	20899.00	USD	1,570.00	
28JUL17	S	1	PD27OCT17	20740.00	20899.00	USD	-795.00	
28JUL17	B	1	PD27OCT17	20670.00	20899.00	USD	1,145.00	
28JUL17	S	2	PD27OCT17	20715.00	20899.00	USD	-1,840.00	
28JUL17	B	1	PD27OCT17	20735.00	20899.00	USD	820.00	
28JUL17	S	1	PD27OCT17	20725.00	20899.00	USD	-870.00	
28JUL17	B	1	PD27OCT17	20800.00	20899.00	USD	495.00	
28JUL17	B	1	PD27OCT17	20750.00	20899.00	USD	745.00	
28JUL17	S	1	PD27OCT17	20830.00	20899.00	USD	-345.00	
	NET	**0**	**AVG BGHT 20675.50**	**SOLD 20702.00**			**1,325.00**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0118H

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
31JUL17	B	1	PD31OCT17	20655.00	20889.00	USD	1,170.00	
31JUL17	S	1	PD31OCT17	20655.00	20889.00	USD	-1,170.00	
	NET	**0**	**AVG BGHT 20655.00**	**SOLD 20655.00**			**0.00**	**0.00**
01AUG17	S	1	PD01NOV17	20565.00	20884.00	USD	-1,595.00	
01AUG17	B	1	PD01NOV17	20570.00	20884.00	USD	1,570.00	
01AUG17	S	1	PD01NOV17	20545.00	20884.00	USD	-1,695.00	
01AUG17	B	1	PD01NOV17	20555.00	20884.00	USD	1,645.00	
01AUG17	B	1	PD01NOV17	20525.00	20884.00	USD	1,795.00	
01AUG17	S	1	PD01NOV17	20525.00	20884.00	USD	-1,795.00	
01AUG17	S	1	PD01NOV17	20455.00	20884.00	USD	-2,145.00	
01AUG17	B	1	PD01NOV17	20450.00	20884.00	USD	2,170.00	
	NET	**0**	**AVG BGHT 20525.00**	**SOLD 20522.50**			**-50.00**	**0.00**
03AUG17	B	1	PD03NOV17	20545.00	20881.20	USD	1,681.00	
03AUG17	B	1	PD03NOV17	20620.00	20881.20	USD	1,306.00	
03AUG17	S	1	PD03NOV17	20595.00	20881.20	USD	-1,431.00	
03AUG17	S	1	PD03NOV17	20615.00	20881.20	USD	-1,331.00	
04AUG17	B	1	PD03NOV17	20660.00	20881.20	USD	1,106.00	
04AUG17	S	1	PD03NOV17	20725.00	20881.20	USD	-781.00	
04AUG17	S	1	PD03NOV17	20655.00	20881.20	USD	-1,131.00	
04AUG17	B	1	PD03NOV17	20780.00	20881.20	USD	506.00	
	NET	**0**	**AVG BGHT 20651.25**	**SOLD 20647.50**			**-75.00**	**0.00**
07AUG17	B	1	PD07NOV17	20580.00	20878.40	USD	1,492.00	
07AUG17	S	1	PD07NOV17	20640.00	20878.40	USD	-1,192.00	
07AUG17	B	1	PD07NOV17	20560.00	20878.40	USD	1,592.00	
07AUG17	S	1	PD07NOV17	20575.00	20878.40	USD	-1,517.00	
	NET	**0**	**AVG BGHT 20570.00**	**SOLD 20607.50**			**375.00**	**0.00**
08AUG17	S	1	PD08NOV17	20375.00	20877.00	USD	-2,510.00	
08AUG17	S	1	PD08NOV17	20445.00	20877.00	USD	-2,160.00	
08AUG17	B	1	PD08NOV17	20470.00	20877.00	USD	2,035.00	
08AUG17	B	1	PD08NOV17	20475.00	20877.00	USD	2,010.00	
08AUG17	S	1	PD08NOV17	20460.00	20877.00	USD	-2,085.00	
08AUG17	B	2	PD08NOV17	20405.00	20877.00	USD	4,720.00	
08AUG17	S	1	PD08NOV17	20390.00	20877.00	USD	-2,435.00	
08AUG17	B	1	PD08NOV17	20310.00	20877.00	USD	2,835.00	
08AUG17	S	1	PD08NOV17	20280.00	20877.00	USD	-2,985.00	
	NET	**0**	**AVG BGHT 20413.00**	**SOLD 20390.00**			**-575.00**	**0.00**
09AUG17	B	1	PD09NOV17	20405.00	20875.60	USD	2,353.00	
09AUG17	S	1	PD09NOV17	20400.00	20875.60	USD	-2,378.00	
09AUG17	B	1	PD09NOV17	20415.00	20875.60	USD	2,303.00	
09AUG17	S	1	PD09NOV17	20415.00	20875.60	USD	-2,303.00	
09AUG17	S	1	PD09NOV17	20230.00	20875.60	USD	-3,228.00	
09AUG17	B	1	PD09NOV17	20230.00	20875.60	USD	3,228.00	
	NET	**0**	**AVG BGHT 20350.00**	**SOLD 20348.33**			**-25.00**	**0.00**
10AUG17	S	1	PD10NOV17	20270.00	20874.20	USD	-3,021.00	
10AUG17	B	1	PD10NOV17	20350.00	20874.20	USD	2,621.00	
10AUG17	B	1	PD10NOV17	20320.00	20874.20	USD	2,771.00	
10AUG17	S	1	PD10NOV17	20300.00	20874.20	USD	-2,871.00	
10AUG17	B	1	PD10NOV17	20300.00	20874.20	USD	2,871.00	
10AUG17	S	1	PD10NOV17	20290.00	20874.20	USD	-2,921.00	
10AUG17	B	1	PD10NOV17	20265.00	20874.20	USD	3,046.00	
10AUG17	S	1	PD10NOV17	20285.00	20874.20	USD	-2,946.00	
11AUG17	S	1	PD10NOV17	20335.00	20874.20	USD	-2,696.00	
11AUG17	B	1	PD10NOV17	20350.00	20874.20	USD	2,621.00	
	NET	**0**	**AVG BGHT 20317.00**	**SOLD 20296.00**			**-525.00**	**0.00**
16AUG17	S	1	PD16NOV17	20055.00	20868.75	USD	-4,068.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0118H

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
16AUG17	B 1 PD16NOV17	19980.00	20868.75	USD	4,443.75	
	NET 0 AVG BGHT 19980.00 SOLD 20055.00				**375.00**	**0.00**
18AUG17	S 1 PD17NOV17	20270.00	20867.50	USD	-2,987.50	
18AUG17	B 1 PD17NOV17	20275.00	20867.50	USD	2,962.50	
	NET 0 AVG BGHT 20275.00 SOLD 20270.00				**-25.00**	**0.00**
21AUG17	S 1 PD21NOV17	20470.00	20865.00	USD	-1,975.00	
21AUG17	B 1 PD21NOV17	20495.00	20865.00	USD	1,850.00	
	NET 0 AVG BGHT 20495.00 SOLD 20470.00				**-125.00**	**0.00**
31AUG17	B 1 PD30NOV17	20615.00	20857.50	USD	1,212.50	
31AUG17	B 1 PD30NOV17	20640.00	20857.50	USD	1,087.50	
31AUG17	S 2 PD30NOV17	20620.00	20857.50	USD	-2,375.00	
	NET 0 AVG BGHT 20627.50 SOLD 20620.00				**-75.00**	**0.00**
01SEP17	S 1 PD01DEC17	20680.00	20856.25	USD	-881.25	
01SEP17	B 1 PD01DEC17	20700.00	20856.25	USD	781.25	
	NET 0 AVG BGHT 20700.00 SOLD 20680.00				**-100.00**	**0.00**
06SEP17	B 1 PD06DEC17	20610.00	20852.50	USD	1,212.50	
06SEP17	B 1 PD06DEC17	20640.00	20852.50	USD	1,062.50	
06SEP17	S 2 PD06DEC17	20630.00	20852.50	USD	-2,225.00	
	NET 0 AVG BGHT 20625.00 SOLD 20630.00				**50.00**	**0.00**
07SEP17	B 1 PD07DEC17	20730.00	20851.25	USD	606.25	
07SEP17	S 1 PD07DEC17	20725.00	20851.25	USD	-631.25	
	NET 0 AVG BGHT 20730.00 SOLD 20725.00				**-25.00**	**0.00**
08SEP17	B 1 PD08DEC17	20550.00	20850.00	USD	1,500.00	
08SEP17	S 1 PD08DEC17	20550.00	20850.00	USD	-1,500.00	
	NET 0 AVG BGHT 20550.00 SOLD 20550.00				**0.00**	**0.00**
11SEP17	B 1 PD11DEC17	20665.00	20848.75	USD	918.75	
11SEP17	S 1 PD11DEC17	20630.00	20848.75	USD	-1,093.75	
	NET 0 AVG BGHT 20665.00 SOLD 20630.00				**-175.00**	**0.00**
13SEP17	B 1 PD13DEC17	20565.00	20846.25	USD	1,406.25	
13SEP17	S 1 PD13DEC17	20595.00	20846.25	USD	-1,256.25	
13SEP17	B 1 PD13DEC17	20580.00	20846.25	USD	1,331.25	
13SEP17	S 1 PD13DEC17	20550.00	20846.25	USD	-1,481.25	
	NET 0 AVG BGHT 20572.50 SOLD 20572.50				**0.00**	**0.00**
15SEP17	S 1 PD15DEC17	20505.00	20843.75	USD	-1,693.75	
15SEP17	B 1 PD15DEC17	20520.00	20843.75	USD	1,618.75	
15SEP17	S 1 PD15DEC17	20605.00	20843.75	USD	-1,193.75	
15SEP17	B 1 PD15DEC17	20510.00	20843.75	USD	1,668.75	
	NET 0 AVG BGHT 20515.00 SOLD 20555.00				**400.00**	**0.00**
18SEP17	B 1 PD18DEC17	20670.00	20842.50	USD	862.50	
18SEP17	S 1 PD18DEC17	20655.00	20842.50	USD	-937.50	
	NET 0 AVG BGHT 20670.00 SOLD 20655.00				**-75.00**	**0.00**
19SEP17	S 1 PD19DEC17	20710.00	20841.25	USD	-656.25	
19SEP17	B 1 PD19DEC17	20740.00	20841.25	USD	506.25	
	NET 0 AVG BGHT 20740.00 SOLD 20710.00				**-150.00**	**0.00**
20SEP17	S 1 PD20DEC17	20750.00	20840.00	USD	-450.00	
20SEP17	B 1 PD20DEC17	20750.00	20840.00	USD	450.00	
20SEP17	B 1 PD20DEC17	20660.00	20840.00	USD	900.00	
20SEP17	S 1 PD20DEC17	20660.00	20840.00	USD	-900.00	
	NET 0 AVG BGHT 20705.00 SOLD 20705.00				**0.00**	**0.00**
21SEP17	B 1 PD21DEC17	20500.00	20833.40	USD	1,667.00	
21SEP17	B 1 PD21DEC17	20595.00	20833.40	USD	1,192.00	
21SEP17	S 1 PD21DEC17	20585.00	20833.40	USD	-1,242.00	
21SEP17	S 1 PD21DEC17	20595.00	20833.40	USD	-1,192.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0118H

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
	NET 0 AVG BGHT 20547.50 SOLD 20590.00				**425.00**	**0.00**
22SEP17	B 1 PD22DEC17	20450.00	20826.80	USD	1,884.00	
22SEP17	S 1 PD22DEC17	20510.00	20826.80	USD	-1,584.00	
22SEP17	S 1 PD22DEC17	20620.00	20826.80	USD	-1,034.00	
22SEP17	S 1 PD22DEC17	20565.00	20826.80	USD	-1,309.00	
22SEP17	B 2 PD22DEC17	20565.00	20826.80	USD	2,618.00	
	NET 0 AVG BGHT 20526.67 SOLD 20565.00				**575.00**	**0.00**
25SEP17	S 1 PD27DEC17	20610.00	20820.20	USD	-1,051.00	
25SEP17	B 1 PD27DEC17	20630.00	20820.20	USD	951.00	
26SEP17	B 1 PD27DEC17	20750.00	20820.20	USD	351.00	
26SEP17	S 1 PD27DEC17	20740.00	20820.20	USD	-401.00	
27SEP17	S 1 PD27DEC17	20710.00	20820.20	USD	-551.00	
27SEP17	B 1 PD27DEC17	20690.00	20820.20	USD	651.00	
27SEP17	S 1 PD27DEC17	20665.00	20820.20	USD	-776.00	
27SEP17	B 1 PD27DEC17	20680.00	20820.20	USD	701.00	
	NET 0 AVG BGHT 20687.50 SOLD 20681.25				**-125.00**	**0.00**
28SEP17	B 1 PD28DEC17	20660.00	20813.60	USD	768.00	
28SEP17	S 1 PD28DEC17	20730.00	20813.60	USD	-418.00	
28SEP17	S 1 PD28DEC17	20630.00	20813.60	USD	-918.00	
	NET -1 AVG BGHT 20660.00 SOLD 20680.00				**-568.00**	**0.00**
29SEP17	B 1 PD29DEC17	20655.00	20807.00	USD	760.00	
	NET +1 AVG BGHT 20655.00				**760.00**	**0.00**
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
10JUL17	S 1 PD10OCT17	2766.00	3307.25	USD	-13,531.25	
10JUL17	B 1 PD10OCT17	2771.50	3307.25	USD	13,393.75	
10JUL17	S 1 PD10OCT17	2761.00	3307.25	USD	-13,656.25	
10JUL17	S 1 PD10OCT17	2775.50	3307.25	USD	-13,293.75	
	NET -2 AVG BGHT 2771.50 SOLD 2767.50				**-27,087.50**	**0.00**
11JUL17	B 1 PD11OCT17	2769.50	3304.13	USD	13,365.75	
11JUL17	B 1 PD11OCT17	2766.00	3304.13	USD	13,453.25	
11JUL17	S 1 PD11OCT17	2781.50	3304.13	USD	-13,065.75	
11JUL17	S 1 PD11OCT17	2784.50	3304.13	USD	-12,990.75	
11JUL17	B 1 PD11OCT17	2775.50	3304.13	USD	13,215.75	
11JUL17	S 1 PD11OCT17	2805.00	3304.13	USD	-12,478.25	
	NET 0 AVG BGHT 2770.33 SOLD 2790.33				**1,500.00**	**0.00**
12JUL17	B 1 PD12OCT17	2843.50	3301.00	USD	11,437.50	
12JUL17	B 1 PD12OCT17	2844.00	3301.00	USD	11,425.00	
12JUL17	S 1 PD12OCT17	2843.50	3301.00	USD	-11,437.50	
	NET +1 AVG BGHT 2843.75 SOLD 2843.50				**11,425.00**	**0.00**
13JUL17	S 1 PD13OCT17	2834.50	3297.88	USD	-11,584.50	
14JUL17	B 1 PD13OCT17	2788.00	3297.88	USD	12,747.00	
	NET 0 AVG BGHT 2788.00 SOLD 2834.50				**1,162.50**	**0.00**
17JUL17	S 1 PD17OCT17	2837.00	3291.63	USD	-11,365.75	
	NET -1 AVG SOLD 2837.00				**-11,365.75**	**0.00**
18JUL17	B 1 PD18OCT17	2786.00	3288.50	USD	12,562.50	
18JUL17	S 1 PD18OCT17	2791.00	3288.50	USD	-12,437.50	
	NET 0 AVG BGHT 2786.00 SOLD 2791.00				**125.00**	**0.00**
19JUL17	B 1 PD19OCT17	2758.00	3285.50	USD	13,187.50	
19JUL17	S 1 PD19OCT17	2748.00	3285.50	USD	-13,437.50	
	NET 0 AVG BGHT 2758.00 SOLD 2748.00				**-250.00**	**0.00**
20JUL17	B 1 PD20OCT17	2738.50	3282.50	USD	13,600.00	
	NET +1 AVG BGHT 2738.50				**13,600.00**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0118H

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
24JUL17	S 1 PD24OCT17	2778.00	3280.50	USD	-12,562.50	
24JUL17	B 1 PD24OCT17	2778.50	3280.50	USD	12,550.00	
	NET 0 AVG BGHT 2778.50 SOLD 2778.00				**-12.50**	**0.00**
25JUL17	B 1 PD25OCT17	2806.50	3279.50	USD	11,825.00	
25JUL17	S 1 PD25OCT17	2804.00	3279.50	USD	-11,887.50	
25JUL17	S 1 PD25OCT17	2841.50	3279.50	USD	-10,950.00	
25JUL17	B 1 PD25OCT17	2840.00	3279.50	USD	10,987.50	
	NET 0 AVG BGHT 2823.25 SOLD 2822.75				**-25.00**	**0.00**
26JUL17	B 1 PD26OCT17	2811.50	3278.50	USD	11,675.00	
	NET +1 AVG BGHT 2811.50				**11,675.00**	**0.00**
31JUL17	S 1 PD31OCT17	2807.00	3275.50	USD	-11,712.50	
31JUL17	B 1 PD31OCT17	2810.50	3275.50	USD	11,625.00	
	NET 0 AVG BGHT 2810.50 SOLD 2807.00				**-87.50**	**0.00**
08AUG17	S 1 PD08NOV17	2874.50	3272.00	USD	-9,937.50	
	NET -1 AVG SOLD 2874.50				**-9,937.50**	**0.00**
18AUG17	B 1 PD17NOV17	3125.00	3265.50	USD	3,512.50	
	NET +1 AVG BGHT 3125.00				**3,512.50**	**0.00**
22AUG17	S 1 PD22NOV17	3133.00	3264.50	USD	-3,287.50	
22AUG17	S 1 PD22NOV17	3134.00	3264.50	USD	-3,262.50	
22AUG17	S 1 PD22NOV17	3132.00	3264.50	USD	-3,312.50	
	NET -3 AVG SOLD 3133.00				**-9,862.50**	**0.00**
14SEP17	B 3 PD14DEC17	3007.00	3266.86	USD	19,489.50	
	NET +3 AVG BGHT 3007.00				**19,489.50**	**0.00**

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	-462.50
LME Copper Grade A 25	FUTURES	-50.00
LME Lead 25 tonnes. This is an	FUTURES	-7,425.00
LME Nickel 6 tonnes. This is	FUTURES	-870.00
LME Tin 5 tonnes. This is an	FUTURES	275.00
LME Zinc 25 tonnes. This is	FUTURES	4,950.00

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards			Amount	Profit / Loss	**Total Amount**	**Total P&L**
ALUL	- Futures	USD	-479.00	-479.00		
COPL	- Futures	USD	-50.00	-50.00		
LEAD	- Futures	USD	-7,690.00	-7,690.00		
NKL	- Futures	USD	-870.00	-870.00		
TIN	- Futures	USD	217.00	217.00		
ZINC	- Futures	USD	3,861.25	3,861.25	**-5,010.75**	**-5,010.75**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0118H

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-1,533.12	-1,533.12
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**-1,533.12**	**-1,533.12**
Initial Margins		-10,159.75	-10,159.75
Excess/(Shortage)		**-11,692.87**	**-11,692.87**
FOR INFORMATION ONLY			
Forward Unrealised P&L		-5,010.75	-5,010.75
Net Liquidating Value		**-6,543.87**	**-6,543.87**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED
SAP0118
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPG0118**

3rd Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

GROUP FINANCIAL SUMMARY

		USD (1.00000)	NET USD (1.00000)
CASH BALANCE		-4,772.82	-4,772.82
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**-4,772.82**	**-4,772.82**
Initial Margins		-79,904.98	-79,904.98
Excess/(Shortage)		**-84,677.80**	**-84,677.80**
FOR INFORMATION ONLY			
Forward Unrealised P&L		-59,499.98	-59,499.98
Net Liquidating Value		**-64,272.80**	**-64,272.80**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		916,581.73	916,581.73
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**916,581.73**	**916,581.73**
Initial Margins		0.00	0.00
Excess/(Shortage)		**916,581.73**	**916,581.73**
FOR INFORMATION ONLY			
Net Liquidating Value		**916,581.73**	**916,581.73**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
04AUG17	B 3 PD03NOV17	1910.00	2116.75	USD	15,506.25	
04AUG17	B 4 PD03NOV17	1910.50	2116.75	USD	20,625.00	
04AUG17	B 1 PD03NOV17	1908.00	2116.75	USD	5,218.75	
04AUG17	B 3 PD03NOV17	1907.50	2116.75	USD	15,693.75	
04AUG17	B 1 PD03NOV17	1906.00	2116.75	USD	5,268.75	
04AUG17	B 4 PD03NOV17	1909.00	2116.75	USD	20,775.00	
04AUG17	B 6 PD03NOV17	1907.50	2116.75	USD	31,387.50	
04AUG17	B 8 PD03NOV17	1908.50	2116.75	USD	41,650.00	
04AUG17	B 1 PD03NOV17	1909.50	2116.75	USD	5,181.25	
04AUG17	B 2 PD03NOV17	1906.50	2116.75	USD	10,512.50	
04AUG17	B 1 PD03NOV17	1903.50	2116.75	USD	5,331.25	
14AUG17	S 8 PD03NOV17	2020.99	2116.75	USD	-19,152.00	
18AUG17	S 21 PD03NOV17	2059.46	2116.75	USD	-30,077.25	
22AUG17	S 2 PD03NOV17	2071.36	2116.75	USD	-2,269.50	
23AUG17	S 3 PD03NOV17	2093.36	2116.75	USD	-1,754.25	
	NET 0 AVG BGHT 1908.34 SOLD 2054.10				**123,897.00**	**0.00**
07AUG17	B 3 PD07NOV17	1920.00	2116.08	USD	14,706.00	
07AUG17	S 1 PD07NOV17	1915.00	2116.08	USD	-5,027.00	
07AUG17	B 1 PD07NOV17	1918.00	2116.08	USD	4,952.00	
07AUG17	B 1 PD07NOV17	1915.50	2116.08	USD	5,014.50	
07AUG17	B 3 PD07NOV17	1917.00	2116.08	USD	14,931.00	
07AUG17	S 2 PD07NOV17	1925.00	2116.08	USD	-9,554.00	
07AUG17	B 1 PD07NOV17	1930.00	2116.08	USD	4,652.00	
07AUG17	B 1 PD07NOV17	1923.00	2116.08	USD	4,827.00	
07AUG17	S 4 PD07NOV17	1924.50	2116.08	USD	-19,158.00	
07AUG17	B 1 PD07NOV17	1920.00	2116.08	USD	4,902.00	
07AUG17	B 1 PD07NOV17	1929.00	2116.08	USD	4,677.00	
07AUG17	S 1 PD07NOV17	1943.00	2116.08	USD	-4,327.00	
07AUG17	S 1 PD07NOV17	1946.00	2116.08	USD	-4,252.00	
07AUG17	B 5 PD07NOV17	1948.50	2116.08	USD	20,947.50	
07AUG17	B 2 PD07NOV17	1952.50	2116.08	USD	8,179.00	
07AUG17	B 1 PD07NOV17	1953.00	2116.08	USD	4,077.00	
07AUG17	S 2 PD07NOV17	1951.00	2116.08	USD	-8,254.00	
07AUG17	B 1 PD07NOV17	1955.00	2116.08	USD	4,027.00	
07AUG17	B 3 PD07NOV17	1955.50	2116.08	USD	12,043.50	
07AUG17	B 1 PD07NOV17	1954.00	2116.08	USD	4,052.00	
07AUG17	S 3 PD07NOV17	1953.00	2116.08	USD	-12,231.00	
07AUG17	S 2 PD07NOV17	1952.50	2116.08	USD	-8,179.00	
07AUG17	S 2 PD07NOV17	1952.00	2116.08	USD	-8,204.00	
07AUG17	S 1 PD07NOV17	1954.00	2116.08	USD	-4,052.00	
07AUG17	B 1 PD07NOV17	1947.00	2116.08	USD	4,227.00	
07AUG17	B 1 PD07NOV17	1951.00	2116.08	USD	4,127.00	
07AUG17	B 1 PD07NOV17	1955.50	2116.08	USD	4,014.50	
07AUG17	S 1 PD07NOV17	1971.50	2116.08	USD	-3,614.50	
07AUG17	S 1 PD07NOV17	1972.50	2116.08	USD	-3,589.50	
18AUG17	S 7 PD07NOV17	2060.25	2116.08	USD	-9,770.25	
	NET 0 AVG BGHT 1938.43 SOLD 1972.92				**24,143.75**	**0.00**
08AUG17	S 2 PD08NOV17	1985.50	2115.75	USD	-6,512.50	
08AUG17	S 3 PD08NOV17	1983.50	2115.75	USD	-9,918.75	
08AUG17	S 4 PD08NOV17	1983.00	2115.75	USD	-13,275.00	
08AUG17	S 3 PD08NOV17	1982.50	2115.75	USD	-9,993.75	
08AUG17	B 1 PD08NOV17	1975.50	2115.75	USD	3,506.25	
08AUG17	B 3 PD08NOV17	1976.00	2115.75	USD	10,481.25	
08AUG17	B 1 PD08NOV17	1974.50	2115.75	USD	3,531.25	
08AUG17	B 4 PD08NOV17	1975.00	2115.75	USD	14,075.00	
08AUG17	B 3 PD08NOV17	1976.50	2115.75	USD	10,443.75	
08AUG17	B 2 PD08NOV17	1979.00	2115.75	USD	6,837.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
08AUG17	S 1 PD08NOV17	1978.50	2115.75	USD	-3,431.25	
08AUG17	S 1 PD08NOV17	1981.00	2115.75	USD	-3,368.75	
08AUG17	S 1 PD08NOV17	1980.50	2115.75	USD	-3,381.25	
08AUG17	S 1 PD08NOV17	1984.00	2115.75	USD	-3,293.75	
08AUG17	S 1 PD08NOV17	1992.50	2115.75	USD	-3,081.25	
08AUG17	S 3 PD08NOV17	1993.50	2115.75	USD	-9,168.75	
08AUG17	S 2 PD08NOV17	1994.50	2115.75	USD	-6,062.50	
08AUG17	S 1 PD08NOV17	1995.00	2115.75	USD	-3,018.75	
08AUG17	S 1 PD08NOV17	1997.00	2115.75	USD	-2,968.75	
08AUG17	S 1 PD08NOV17	1996.50	2115.75	USD	-2,981.25	
08AUG17	S 1 PD08NOV17	1996.00	2115.75	USD	-2,993.75	
08AUG17	B 1 PD08NOV17	1997.50	2115.75	USD	2,956.25	
08AUG17	B 2 PD08NOV17	1999.50	2115.75	USD	5,812.50	
08AUG17	B 12 PD08NOV17	1999.00	2115.75	USD	35,025.00	
08AUG17	S 1 PD08NOV17	1998.00	2115.75	USD	-2,943.75	
08AUG17	B 1 PD08NOV17	2001.50	2115.75	USD	2,856.25	
08AUG17	S 1 PD08NOV17	2001.50	2115.75	USD	-2,856.25	
08AUG17	B 1 PD08NOV17	2003.50	2115.75	USD	2,806.25	
08AUG17	B 1 PD08NOV17	2016.50	2115.75	USD	2,481.25	
08AUG17	B 2 PD08NOV17	2017.00	2115.75	USD	4,937.50	
08AUG17	S 1 PD08NOV17	1994.00	2115.75	USD	-3,043.75	
14AUG17	B 4 PD08NOV17	2022.10	2115.75	USD	9,365.00	
18AUG17	S 9 PD08NOV17	2060.50	2115.75	USD	-12,431.25	
	NET 0 AVG BGHT 1994.58 SOLD 2005.51				**10,390.00**	**0.00**
09AUG17	B 1 PD09NOV17	2036.50	2116.11	USD	1,990.25	
09AUG17	S 1 PD09NOV17	2027.50	2116.11	USD	-2,215.25	
09AUG17	S 1 PD09NOV17	2026.50	2116.11	USD	-2,240.25	
09AUG17	S 1 PD09NOV17	2025.50	2116.11	USD	-2,265.25	
09AUG17	S 1 PD09NOV17	2025.00	2116.11	USD	-2,277.75	
09AUG17	B 1 PD09NOV17	2020.00	2116.11	USD	2,402.75	
09AUG17	B 1 PD09NOV17	2018.50	2116.11	USD	2,440.25	
09AUG17	S 1 PD09NOV17	2026.00	2116.11	USD	-2,252.75	
18AUG17	B 2 PD09NOV17	2060.95	2116.11	USD	2,758.00	
	NET 0 AVG BGHT 2039.38 SOLD 2026.10				**-1,660.00**	**0.00**
10AUG17	B 3 PD10NOV17	2032.00	2116.46	USD	6,334.50	
10AUG17	B 1 PD10NOV17	2033.50	2116.46	USD	2,074.00	
10AUG17	B 4 PD10NOV17	2035.00	2116.46	USD	8,146.00	
10AUG17	S 2 PD10NOV17	2027.50	2116.46	USD	-4,448.00	
10AUG17	S 2 PD10NOV17	2027.00	2116.46	USD	-4,473.00	
10AUG17	S 2 PD10NOV17	2037.00	2116.46	USD	-3,973.00	
10AUG17	S 2 PD10NOV17	2026.00	2116.46	USD	-4,523.00	
10AUG17	S 2 PD10NOV17	2028.50	2116.46	USD	-4,398.00	
10AUG17	S 3 PD10NOV17	2029.50	2116.46	USD	-6,522.00	
10AUG17	S 1 PD10NOV17	2029.00	2116.46	USD	-2,186.50	
10AUG17	S 4 PD10NOV17	2026.50	2116.46	USD	-8,996.00	
10AUG17	B 4 PD10NOV17	2038.50	2116.46	USD	7,796.00	
10AUG17	B 4 PD10NOV17	2040.00	2116.46	USD	7,646.00	
10AUG17	B 1 PD10NOV17	2040.50	2116.46	USD	1,899.00	
10AUG17	B 11 PD10NOV17	2039.00	2116.46	USD	21,301.50	
10AUG17	S 1 PD10NOV17	2032.50	2116.46	USD	-2,099.00	
10AUG17	S 1 PD10NOV17	2032.50	2116.46	USD	-2,099.00	
11AUG17	S 1 PD10NOV17	2027.50	2116.46	USD	-2,224.00	
11AUG17	S 1 PD10NOV17	2028.00	2116.46	USD	-2,211.50	
11AUG17	S 3 PD10NOV17	2032.50	2116.46	USD	-6,297.00	
11AUG17	S 4 PD10NOV17	2033.00	2116.46	USD	-8,346.00	
11AUG17	S 2 PD10NOV17	2037.00	2116.46	USD	-3,973.00	
11AUG17	S 1 PD10NOV17	2023.00	2116.46	USD	-2,336.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
11AUG17	S 1 PD10NOV17	2022.50	2116.46	USD	-2,349.00	
11AUG17	B 1 PD10NOV17	2024.50	2116.46	USD	2,299.00	
11AUG17	B 2 PD10NOV17	2025.00	2116.46	USD	4,573.00	
11AUG17	B 2 PD10NOV17	2027.50	2116.46	USD	4,448.00	
11AUG17	B 1 PD10NOV17	2027.00	2116.46	USD	2,236.50	
11AUG17	B 1 PD10NOV17	2026.50	2116.46	USD	2,249.00	
11AUG17	S 6 PD10NOV17	2040.50	2116.46	USD	-11,394.00	
11AUG17	S 2 PD10NOV17	2040.00	2116.46	USD	-3,823.00	
11AUG17	S 2 PD10NOV17	2039.00	2116.46	USD	-3,873.00	
11AUG17	S 2 PD10NOV17	2041.00	2116.46	USD	-3,773.00	
14AUG17	B 4 PD10NOV17	2022.47	2116.46	USD	9,399.00	
22AUG17	B 2 PD10NOV17	2073.20	2116.46	USD	2,163.00	
22AUG17	B 4 PD10NOV17	2073.00	2116.46	USD	4,346.00	
	NET 0 AVG BGHT 2039.21 SOLD 2032.62				**-7,407.00**	**0.00**
14AUG17	S 1 PD14NOV17	2030.00	2117.89	USD	-2,197.25	
14AUG17	S 2 PD14NOV17	2030.50	2117.89	USD	-4,369.50	
14AUG17	S 1 PD14NOV17	2037.50	2117.89	USD	-2,009.75	
14AUG17	S 1 PD14NOV17	2029.00	2117.89	USD	-2,222.25	
14AUG17	S 1 PD14NOV17	2028.00	2117.89	USD	-2,247.25	
14AUG17	S 1 PD14NOV17	2027.50	2117.89	USD	-2,259.75	
14AUG17	S 3 PD14NOV17	2027.00	2117.89	USD	-6,816.75	
14AUG17	B 4 PD14NOV17	2039.50	2117.89	USD	7,839.00	
14AUG17	S 1 PD14NOV17	2045.00	2117.89	USD	-1,822.25	
14AUG17	S 1 PD14NOV17	2031.50	2117.89	USD	-2,159.75	
14AUG17	B 3 PD14NOV17	2029.50	2117.89	USD	6,629.25	
14AUG17	S 3 PD14NOV17	2045.50	2117.89	USD	-5,429.25	
14AUG17	S 1 PD14NOV17	2030.50	2117.89	USD	-2,184.75	
14AUG17	S 1 PD14NOV17	2029.00	2117.89	USD	-2,222.25	
14AUG17	S 1 PD14NOV17	2033.50	2117.89	USD	-2,109.75	
14AUG17	S 5 PD14NOV17	2033.00	2117.89	USD	-10,611.25	
14AUG17	S 6 PD14NOV17	2032.00	2117.89	USD	-12,883.50	
14AUG17	S 3 PD14NOV17	2031.00	2117.89	USD	-6,516.75	
14AUG17	S 1 PD14NOV17	2033.50	2117.89	USD	-2,109.75	
14AUG17	B 2 PD14NOV17	2028.00	2117.89	USD	4,494.50	
18AUG17	B 26 PD14NOV17	2062.20	2117.89	USD	36,198.50	
22AUG17	S 2 PD14NOV17	2074.00	2117.89	USD	-2,194.50	
	NET 0 AVG BGHT 2054.85 SOLD 2035.19				**-17,205.00**	**0.00**
15AUG17	B 2 PD15NOV17	2040.00	2118.25	USD	3,912.50	
15AUG17	S 1 PD15NOV17	2041.50	2118.25	USD	-1,918.75	
15AUG17	S 1 PD15NOV17	2044.50	2118.25	USD	-1,843.75	
18AUG17	B 2 PD15NOV17	2062.45	2118.25	USD	2,790.00	
22AUG17	S 2 PD15NOV17	2074.05	2118.25	USD	-2,210.00	
	NET 0 AVG BGHT 2051.23 SOLD 2058.53				**730.00**	**0.00**
16AUG17	B 2 PD16NOV17	2051.50	2118.52	USD	3,351.00	
16AUG17	B 8 PD16NOV17	2052.00	2118.52	USD	13,304.00	
16AUG17	B 1 PD16NOV17	2053.00	2118.52	USD	1,638.00	
16AUG17	B 5 PD16NOV17	2054.00	2118.52	USD	8,065.00	
16AUG17	S 1 PD16NOV17	2089.50	2118.52	USD	-725.50	
16AUG17	S 3 PD16NOV17	2087.50	2118.52	USD	-2,326.50	
16AUG17	B 2 PD16NOV17	2085.00	2118.52	USD	1,676.00	
16AUG17	B 1 PD16NOV17	2086.00	2118.52	USD	813.00	
16AUG17	B 1 PD16NOV17	2086.50	2118.52	USD	800.50	
16AUG17	B 2 PD16NOV17	2099.00	2118.52	USD	976.00	
16AUG17	B 2 PD16NOV17	2096.50	2118.52	USD	1,101.00	
16AUG17	B 3 PD16NOV17	2097.00	2118.52	USD	1,614.00	
16AUG17	B 7 PD16NOV17	2101.50	2118.52	USD	2,978.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
16AUG17	B 1 PD16NOV17	2094.50	2118.52	USD	600.50	
16AUG17	B 2 PD16NOV17	2095.00	2118.52	USD	1,176.00	
16AUG17	B 2 PD16NOV17	2088.50	2118.52	USD	1,501.00	
16AUG17	B 3 PD16NOV17	2088.00	2118.52	USD	2,289.00	
18AUG17	S 16 PD16NOV17	2061.75	2118.52	USD	-22,708.00	
22AUG17	S 2 PD16NOV17	2073.55	2118.52	USD	-2,248.50	
23AUG17	S 20 PD16NOV17	2095.75	2118.52	USD	-11,385.00	
	NET 0 AVG BGHT 2078.63 SOLD 2081.00				**2,490.00**	**0.00**
17AUG17	B 1 PD17NOV17	2091.00	2118.79	USD	694.75	
17AUG17	S 2 PD17NOV17	2101.50	2118.79	USD	-864.50	
17AUG17	S 1 PD17NOV17	2102.00	2118.79	USD	-419.75	
17AUG17	S 1 PD17NOV17	2099.50	2118.79	USD	-482.25	
17AUG17	S 1 PD17NOV17	2101.00	2118.79	USD	-444.75	
17AUG17	S 2 PD17NOV17	2079.50	2118.79	USD	-1,964.50	
17AUG17	B 1 PD17NOV17	2070.50	2118.79	USD	1,207.25	
17AUG17	B 1 PD17NOV17	2068.50	2118.79	USD	1,257.25	
17AUG17	S 2 PD17NOV17	2071.00	2118.79	USD	-2,389.50	
17AUG17	S 1 PD17NOV17	2070.50	2118.79	USD	-1,207.25	
17AUG17	S 1 PD17NOV17	2068.50	2118.79	USD	-1,257.25	
17AUG17	S 1 PD17NOV17	2068.00	2118.79	USD	-1,269.75	
17AUG17	S 4 PD17NOV17	2067.50	2118.79	USD	-5,129.00	
17AUG17	S 1 PD17NOV17	2076.00	2118.79	USD	-1,069.75	
17AUG17	S 2 PD17NOV17	2075.50	2118.79	USD	-2,164.50	
17AUG17	S 1 PD17NOV17	2074.50	2118.79	USD	-1,107.25	
17AUG17	S 1 PD17NOV17	2073.50	2118.79	USD	-1,132.25	
17AUG17	S 1 PD17NOV17	2071.00	2118.79	USD	-1,194.75	
17AUG17	S 4 PD17NOV17	2073.00	2118.79	USD	-4,579.00	
17AUG17	S 4 PD17NOV17	2074.00	2118.79	USD	-4,479.00	
18AUG17	B 2 PD17NOV17	2071.00	2118.79	USD	2,389.50	
18AUG17	B 3 PD17NOV17	2076.00	2118.79	USD	3,209.25	
18AUG17	B 12 PD17NOV17	2083.50	2118.79	USD	10,587.00	
18AUG17	B 1 PD17NOV17	2085.00	2118.79	USD	844.75	
18AUG17	B 2 PD17NOV17	2086.00	2118.79	USD	1,639.50	
18AUG17	B 6 PD17NOV17	2084.50	2118.79	USD	5,143.50	
18AUG17	B 2 PD17NOV17	2084.50	2118.79	USD	1,714.50	
18AUG17	B 1 PD17NOV17	2088.50	2118.79	USD	757.25	
18AUG17	B 1 PD17NOV17	2091.50	2118.79	USD	682.25	
18AUG17	S 2 PD17NOV17	2070.00	2118.79	USD	-2,439.50	
18AUG17	B 2 PD17NOV17	2061.00	2118.79	USD	2,889.50	
18AUG17	B 2 PD17NOV17	2061.50	2118.79	USD	2,864.50	
18AUG17	B 1 PD17NOV17	2060.00	2118.79	USD	1,469.75	
18AUG17	B 1 PD17NOV17	2060.50	2118.79	USD	1,457.25	
18AUG17	B 1 PD17NOV17	2063.00	2118.79	USD	1,394.75	
18AUG17	B 1 PD17NOV17	2062.00	2118.79	USD	1,419.75	
18AUG17	B 23 PD17NOV17	2062.20	2118.79	USD	32,539.25	
22AUG17	B 2 PD17NOV17	2074.16	2118.79	USD	2,231.50	
23AUG17	S 6 PD17NOV17	2095.91	2118.79	USD	-3,432.00	
30AUG17	S 3 PD17NOV17	2085.00	2118.79	USD	-2,534.25	
11SEP17	S 25 PD17NOV17	2115.25	2118.79	USD	-2,212.50	
	NET 0 AVG BGHT 2072.49 SOLD 2093.47				**34,619.75**	**0.00**
21AUG17	B 1 PD21NOV17	2096.50	2119.88	USD	584.50	
21AUG17	B 2 PD21NOV17	2097.50	2119.88	USD	1,119.00	
21AUG17	B 3 PD21NOV17	2098.00	2119.88	USD	1,641.00	
21AUG17	B 1 PD21NOV17	2078.00	2119.88	USD	1,047.00	
21AUG17	B 1 PD21NOV17	2078.50	2119.88	USD	1,034.50	
21AUG17	B 2 PD21NOV17	2069.50	2119.88	USD	2,519.00	
21AUG17	B 1 PD21NOV17	2077.00	2119.88	USD	1,072.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.							
21AUG17	B	1	PD21NOV17	2077.50	2119.88	USD	1,059.50	
21AUG17	B	1	PD21NOV17	2075.00	2119.88	USD	1,122.00	
21AUG17	B	2	PD21NOV17	2074.00	2119.88	USD	2,294.00	
21AUG17	B	1	PD21NOV17	2076.00	2119.88	USD	1,097.00	
11SEP17	S	16	PD21NOV17	2116.38	2119.88	USD	-1,400.00	
	NET	**0**	**AVG BGHT 2083.41**	**SOLD 2116.38**			**13,189.50**	**0.00**
22AUG17	S	2	PD22NOV17	2069.50	2120.15	USD	-2,532.50	
22AUG17	S	1	PD22NOV17	2067.00	2120.15	USD	-1,328.75	
22AUG17	S	1	PD22NOV17	2066.50	2120.15	USD	-1,341.25	
22AUG17	S	3	PD22NOV17	2064.00	2120.15	USD	-4,211.25	
22AUG17	S	1	PD22NOV17	2064.50	2120.15	USD	-1,391.25	
22AUG17	S	1	PD22NOV17	2074.00	2120.15	USD	-1,153.75	
22AUG17	S	3	PD22NOV17	2075.00	2120.15	USD	-3,386.25	
22AUG17	B	1	PD22NOV17	2084.00	2120.15	USD	903.75	
22AUG17	B	1	PD22NOV17	2083.50	2120.15	USD	916.25	
22AUG17	S	2	PD22NOV17	2080.00	2120.15	USD	-2,007.50	
22AUG17	S	1	PD22NOV17	2076.50	2120.15	USD	-1,091.25	
22AUG17	S	1	PD22NOV17	2075.50	2120.15	USD	-1,116.25	
22AUG17	S	1	PD22NOV17	2077.50	2120.15	USD	-1,066.25	
22AUG17	S	2	PD22NOV17	2079.00	2120.15	USD	-2,057.50	
22AUG17	S	2	PD22NOV17	2082.00	2120.15	USD	-1,907.50	
22AUG17	S	2	PD22NOV17	2082.50	2120.15	USD	-1,882.50	
22AUG17	S	3	PD22NOV17	2076.00	2120.15	USD	-3,311.25	
22AUG17	S	1	PD22NOV17	2072.50	2120.15	USD	-1,191.25	
22AUG17	S	4	PD22NOV17	2071.00	2120.15	USD	-4,915.00	
23AUG17	B	29	PD22NOV17	2096.89	2120.15	USD	16,863.50	
	NET	**0**	**AVG BGHT 2096.04**	**SOLD 2073.84**			**-17,207.75**	**0.00**
23AUG17	B	1	PD24NOV17	2081.50	2120.69	USD	979.75	
23AUG17	B	5	PD24NOV17	2082.00	2120.69	USD	4,836.25	
23AUG17	B	3	PD24NOV17	2083.00	2120.69	USD	2,826.75	
23AUG17	B	2	PD24NOV17	2083.50	2120.69	USD	1,859.50	
23AUG17	B	3	PD24NOV17	2082.50	2120.69	USD	2,864.25	
23AUG17	B	2	PD24NOV17	2084.00	2120.69	USD	1,834.50	
23AUG17	B	5	PD24NOV17	2086.00	2120.69	USD	4,336.25	
23AUG17	B	1	PD24NOV17	2086.50	2120.69	USD	854.75	
23AUG17	B	5	PD24NOV17	2089.00	2120.69	USD	3,961.25	
23AUG17	B	9	PD24NOV17	2089.50	2120.69	USD	7,017.75	
23AUG17	B	10	PD24NOV17	2090.00	2120.69	USD	7,672.50	
23AUG17	B	2	PD24NOV17	2093.50	2120.69	USD	1,359.50	
23AUG17	B	1	PD24NOV17	2094.00	2120.69	USD	667.25	
23AUG17	B	6	PD24NOV17	2093.00	2120.69	USD	4,153.50	
23AUG17	S	1	PD24NOV17	2091.00	2120.69	USD	-742.25	
23AUG17	B	1	PD24NOV17	2092.50	2120.69	USD	704.75	
23AUG17	B	1	PD24NOV17	2083.50	2120.69	USD	929.75	
24AUG17	S	1	PD24NOV17	2104.00	2120.69	USD	-417.25	
24AUG17	S	2	PD24NOV17	2103.50	2120.69	USD	-859.50	
24AUG17	S	2	PD24NOV17	2102.00	2120.69	USD	-934.50	
24AUG17	S	2	PD24NOV17	2103.00	2120.69	USD	-884.50	
24AUG17	S	1	PD24NOV17	2106.50	2120.69	USD	-354.75	
24AUG17	S	2	PD24NOV17	2102.50	2120.69	USD	-909.50	
24AUG17	S	1	PD24NOV17	2098.00	2120.69	USD	-567.25	
24AUG17	S	2	PD24NOV17	2098.50	2120.69	USD	-1,109.50	
24AUG17	S	8	PD24NOV17	2099.00	2120.69	USD	-4,338.00	
24AUG17	S	5	PD24NOV17	2097.50	2120.69	USD	-2,898.75	
24AUG17	S	3	PD24NOV17	2097.00	2120.69	USD	-1,776.75	
24AUG17	S	1	PD24NOV17	2096.50	2120.69	USD	-604.75	
24AUG17	S	1	PD24NOV17	2096.00	2120.69	USD	-617.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
24AUG17	S 2 PD24NOV17	2095.50	2120.69	USD	-1,259.50	
24AUG17	S 5 PD24NOV17	2094.50	2120.69	USD	-3,273.75	
24AUG17	S 3 PD24NOV17	2095.00	2120.69	USD	-1,926.75	
24AUG17	S 1 PD24NOV17	2091.50	2120.69	USD	-729.75	
24AUG17	S 1 PD24NOV17	2090.50	2120.69	USD	-754.75	
24AUG17	S 1 PD24NOV17	2091.00	2120.69	USD	-742.25	
24AUG17	B 1 PD24NOV17	2093.00	2120.69	USD	692.25	
24AUG17	S 1 PD24NOV17	2092.00	2120.69	USD	-717.25	
24AUG17	S 1 PD24NOV17	2103.00	2120.69	USD	-442.25	
24AUG17	S 3 PD24NOV17	2101.50	2120.69	USD	-1,439.25	
24AUG17	S 2 PD24NOV17	2100.50	2120.69	USD	-1,009.50	
24AUG17	S 3 PD24NOV17	2100.00	2120.69	USD	-1,551.75	
24AUG17	S 2 PD24NOV17	2099.50	2120.69	USD	-1,059.50	
24AUG17	B 2 PD24NOV17	2103.50	2120.69	USD	859.50	
24AUG17	B 2 PD24NOV17	2104.00	2120.69	USD	834.50	
25AUG17	B 1 PD24NOV17	2097.50	2120.69	USD	579.75	
25AUG17	B 2 PD24NOV17	2096.50	2120.69	USD	1,209.50	
25AUG17	B 1 PD24NOV17	2097.00	2120.69	USD	592.25	
25AUG17	B 2 PD24NOV17	2095.00	2120.69	USD	1,284.50	
25AUG17	B 6 PD24NOV17	2096.00	2120.69	USD	3,703.50	
25AUG17	B 5 PD24NOV17	2095.50	2120.69	USD	3,148.75	
25AUG17	S 1 PD24NOV17	2087.00	2120.69	USD	-842.25	
25AUG17	S 1 PD24NOV17	2086.50	2120.69	USD	-854.75	
25AUG17	B 1 PD24NOV17	2085.50	2120.69	USD	879.75	
25AUG17	S 1 PD24NOV17	2085.50	2120.69	USD	-879.75	
25AUG17	S 7 PD24NOV17	2086.00	2120.69	USD	-6,070.75	
25AUG17	S 1 PD24NOV17	2091.00	2120.69	USD	-742.25	
25AUG17	S 3 PD24NOV17	2090.50	2120.69	USD	-2,264.25	
25AUG17	B 2 PD24NOV17	2096.00	2120.69	USD	1,234.50	
25AUG17	B 1 PD24NOV17	2095.50	2120.69	USD	629.75	
25AUG17	B 1 PD24NOV17	2095.00	2120.69	USD	642.25	
25AUG17	S 1 PD24NOV17	2088.00	2120.69	USD	-817.25	
25AUG17	S 3 PD24NOV17	2087.50	2120.69	USD	-2,489.25	
25AUG17	B 1 PD24NOV17	2071.00	2120.69	USD	1,242.25	
25AUG17	B 3 PD24NOV17	2070.50	2120.69	USD	3,764.25	
25AUG17	S 4 PD24NOV17	2076.50	2120.69	USD	-4,419.00	
11SEP17	S 9 PD24NOV17	2117.22	2120.69	USD	-780.75	
	NET 0 AVG BGHT 2089.71 SOLD 2097.02				**16,074.50**	**0.00**
29AUG17	S 1 PD29NOV17	2074.00	2122.05	USD	-1,201.25	
29AUG17	B 2 PD29NOV17	2079.00	2122.05	USD	2,152.50	
29AUG17	S 1 PD29NOV17	2074.50	2122.05	USD	-1,188.75	
29AUG17	B 5 PD29NOV17	2077.50	2122.05	USD	5,568.75	
29AUG17	B 1 PD29NOV17	2087.00	2122.05	USD	876.25	
29AUG17	B 3 PD29NOV17	2086.50	2122.05	USD	2,666.25	
29AUG17	B 1 PD29NOV17	2074.00	2122.05	USD	1,201.25	
29AUG17	B 1 PD29NOV17	2083.00	2122.05	USD	976.25	
29AUG17	S 2 PD29NOV17	2094.50	2122.05	USD	-1,377.50	
29AUG17	S 1 PD29NOV17	2096.00	2122.05	USD	-651.25	
29AUG17	S 4 PD29NOV17	2098.50	2122.05	USD	-2,355.00	
29AUG17	S 1 PD29NOV17	2096.50	2122.05	USD	-638.75	
29AUG17	S 3 PD29NOV17	2092.50	2122.05	USD	-2,216.25	
29AUG17	S 3 PD29NOV17	2093.50	2122.05	USD	-2,141.25	
30AUG17	B 3 PD29NOV17	2087.51	2122.05	USD	2,590.50	
	NET 0 AVG BGHT 2081.97 SOLD 2092.63				**4,261.75**	**0.00**
30AUG17	B 2 PD30NOV17	2102.50	2122.32	USD	991.00	
30AUG17	S 2 PD30NOV17	2085.50	2122.32	USD	-1,841.00	
30AUG17	S 1 PD30NOV17	2086.00	2122.32	USD	-908.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
30AUG17	S 1 PD30NOV17	2084.50	2122.32	USD	-945.50	
30AUG17	B 1 PD30NOV17	2089.00	2122.32	USD	833.00	
30AUG17	B 5 PD30NOV17	2088.50	2122.32	USD	4,227.50	
31AUG17	B 6 PD30NOV17	2093.00	2122.32	USD	4,398.00	
31AUG17	B 2 PD30NOV17	2098.00	2122.32	USD	1,216.00	
31AUG17	B 1 PD30NOV17	2102.50	2122.32	USD	495.50	
31AUG17	S 1 PD30NOV17	2100.00	2122.32	USD	-558.00	
31AUG17	B 2 PD30NOV17	2100.00	2122.32	USD	1,116.00	
31AUG17	B 2 PD30NOV17	2100.50	2122.32	USD	1,091.00	
31AUG17	B 1 PD30NOV17	2095.00	2122.32	USD	683.00	
31AUG17	S 1 PD30NOV17	2131.50	2122.32	USD	229.50	
31AUG17	S 3 PD30NOV17	2130.00	2122.32	USD	576.00	
31AUG17	S 1 PD30NOV17	2131.00	2122.32	USD	217.00	
31AUG17	S 7 PD30NOV17	2130.50	2122.32	USD	1,431.50	
31AUG17	S 2 PD30NOV17	2128.50	2122.32	USD	309.00	
31AUG17	B 4 PD30NOV17	2124.00	2122.32	USD	-168.00	
31AUG17	B 2 PD30NOV17	2122.00	2122.32	USD	16.00	
31AUG17	B 1 PD30NOV17	2121.00	2122.32	USD	33.00	
31AUG17	S 1 PD30NOV17	2130.50	2122.32	USD	204.50	
11SEP17	S 5 PD30NOV17	2118.91	2122.32	USD	-426.25	
15SEP17	S 4 PD30NOV17	2081.99	2122.32	USD	-4,033.00	
	NET 0 AVG BGHT 2101.72 SOLD 2114.40				**9,187.75**	**0.00**
01SEP17	S 1 PD01DEC17	2136.50	2122.59	USD	347.75	
01SEP17	S 1 PD01DEC17	2136.00	2122.59	USD	335.25	
01SEP17	S 1 PD01DEC17	2135.00	2122.59	USD	310.25	
01SEP17	S 2 PD01DEC17	2138.00	2122.59	USD	770.50	
01SEP17	S 1 PD01DEC17	2139.00	2122.59	USD	410.25	
01SEP17	S 1 PD01DEC17	2141.00	2122.59	USD	460.25	
01SEP17	S 2 PD01DEC17	2140.50	2122.59	USD	895.50	
01SEP17	B 1 PD01DEC17	2122.50	2122.59	USD	2.25	
01SEP17	B 2 PD01DEC17	2123.00	2122.59	USD	-20.50	
01SEP17	B 1 PD01DEC17	2123.50	2122.59	USD	-22.75	
01SEP17	B 14 PD01DEC17	2128.50	2122.59	USD	-2,068.50	
01SEP17	B 4 PD01DEC17	2127.00	2122.59	USD	-441.00	
01SEP17	B 5 PD01DEC17	2127.50	2122.59	USD	-613.75	
01SEP17	B 5 PD01DEC17	2130.00	2122.59	USD	-926.25	
01SEP17	B 3 PD01DEC17	2126.50	2122.59	USD	-293.25	
01SEP17	B 6 PD01DEC17	2128.00	2122.59	USD	-811.50	
01SEP17	S 1 PD01DEC17	2127.00	2122.59	USD	110.25	
01SEP17	S 1 PD01DEC17	2126.50	2122.59	USD	97.75	
11SEP17	S 26 PD01DEC17	2119.19	2122.59	USD	-2,210.00	
22SEP17	S 4 PD01DEC17	2153.71	2122.59	USD	3,112.00	
	NET 0 AVG BGHT 2127.66 SOLD 2127.12				**-555.50**	**0.00**
04SEP17	S 1 PD04DEC17	2117.50	2123.41	USD	-147.75	
04SEP17	S 1 PD04DEC17	2117.00	2123.41	USD	-160.25	
04SEP17	S 1 PD04DEC17	2122.00	2123.41	USD	-35.25	
04SEP17	S 4 PD04DEC17	2123.00	2123.41	USD	-41.00	
04SEP17	S 6 PD04DEC17	2122.50	2123.41	USD	-136.50	
04SEP17	S 2 PD04DEC17	2124.50	2123.41	USD	54.50	
04SEP17	S 1 PD04DEC17	2125.00	2123.41	USD	39.75	
04SEP17	S 3 PD04DEC17	2125.50	2123.41	USD	156.75	
04SEP17	B 3 PD04DEC17	2114.00	2123.41	USD	705.75	
04SEP17	B 2 PD04DEC17	2115.00	2123.41	USD	420.50	
04SEP17	B 2 PD04DEC17	2116.00	2123.41	USD	370.50	
04SEP17	B 2 PD04DEC17	2116.50	2123.41	USD	345.50	
04SEP17	B 3 PD04DEC17	2118.00	2123.41	USD	405.75	
04SEP17	B 1 PD04DEC17	2117.50	2123.41	USD	147.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
04SEP17	B 2 PD04DEC17	2115.50	2123.41	USD	395.50	
04SEP17	B 3 PD04DEC17	2113.00	2123.41	USD	780.75	
04SEP17	B 1 PD04DEC17	2114.50	2123.41	USD	222.75	
04SEP17	B 1 PD04DEC17	2116.50	2123.41	USD	172.75	
04SEP17	B 1 PD04DEC17	2117.50	2123.41	USD	147.75	
11SEP17	S 2 PD04DEC17	2120.03	2123.41	USD	-169.00	
	NET 0 AVG BGHT 2115.57 SOLD 2122.57				**3,676.50**	**0.00**
05SEP17	S 3 PD05DEC17	2121.00	2123.68	USD	-201.00	
05SEP17	S 2 PD05DEC17	2120.50	2123.68	USD	-159.00	
05SEP17	S 3 PD05DEC17	2117.00	2123.68	USD	-501.00	
05SEP17	S 1 PD05DEC17	2116.50	2123.68	USD	-179.50	
05SEP17	S 2 PD05DEC17	2118.50	2123.68	USD	-259.00	
05SEP17	S 1 PD05DEC17	2118.00	2123.68	USD	-142.00	
05SEP17	B 1 PD05DEC17	2112.50	2123.68	USD	279.50	
05SEP17	B 1 PD05DEC17	2112.00	2123.68	USD	292.00	
05SEP17	B 1 PD05DEC17	2111.50	2123.68	USD	304.50	
05SEP17	S 1 PD05DEC17	2112.00	2123.68	USD	-292.00	
05SEP17	B 1 PD05DEC17	2109.00	2123.68	USD	367.00	
05SEP17	B 3 PD05DEC17	2108.00	2123.68	USD	1,176.00	
05SEP17	B 1 PD05DEC17	2108.50	2123.68	USD	379.50	
05SEP17	B 4 PD05DEC17	2107.50	2123.68	USD	1,618.00	
05SEP17	B 3 PD05DEC17	2114.00	2123.68	USD	726.00	
05SEP17	S 4 PD05DEC17	2104.00	2123.68	USD	-1,968.00	
05SEP17	S 3 PD05DEC17	2104.50	2123.68	USD	-1,438.50	
05SEP17	S 5 PD05DEC17	2105.00	2123.68	USD	-2,335.00	
05SEP17	S 4 PD05DEC17	2105.50	2123.68	USD	-1,818.00	
05SEP17	B 3 PD05DEC17	2111.00	2123.68	USD	951.00	
05SEP17	S 1 PD05DEC17	2110.00	2123.68	USD	-342.00	
05SEP17	S 1 PD05DEC17	2115.00	2123.68	USD	-217.00	
05SEP17	S 5 PD05DEC17	2117.50	2123.68	USD	-772.50	
05SEP17	S 1 PD05DEC17	2106.50	2123.68	USD	-429.50	
11SEP17	B 19 PD05DEC17	2120.51	2123.68	USD	1,505.75	
	NET 0 AVG BGHT 2115.46 SOLD 2111.73				**-3,454.75**	**0.00**
06SEP17	B 1 PD06DEC17	2092.00	2123.95	USD	798.75	
06SEP17	B 1 PD06DEC17	2091.50	2123.95	USD	811.25	
06SEP17	B 2 PD06DEC17	2093.00	2123.95	USD	1,547.50	
06SEP17	B 1 PD06DEC17	2092.50	2123.95	USD	786.25	
06SEP17	B 2 PD06DEC17	2094.00	2123.95	USD	1,497.50	
06SEP17	B 4 PD06DEC17	2093.50	2123.95	USD	3,045.00	
06SEP17	B 1 PD06DEC17	2095.50	2123.95	USD	711.25	
06SEP17	B 4 PD06DEC17	2096.00	2123.95	USD	2,795.00	
06SEP17	S 1 PD06DEC17	2093.00	2123.95	USD	-773.75	
06SEP17	S 1 PD06DEC17	2089.00	2123.95	USD	-873.75	
06SEP17	S 1 PD06DEC17	2088.50	2123.95	USD	-886.25	
06SEP17	S 5 PD06DEC17	2088.00	2123.95	USD	-4,493.75	
06SEP17	S 2 PD06DEC17	2087.50	2123.95	USD	-1,822.50	
06SEP17	S 1 PD06DEC17	2086.00	2123.95	USD	-948.75	
06SEP17	S 4 PD06DEC17	2086.50	2123.95	USD	-3,745.00	
06SEP17	S 1 PD06DEC17	2085.00	2123.95	USD	-973.75	
06SEP17	S 1 PD06DEC17	2085.50	2123.95	USD	-961.25	
06SEP17	S 3 PD06DEC17	2087.00	2123.95	USD	-2,771.25	
06SEP17	S 1 PD06DEC17	2090.00	2123.95	USD	-848.75	
06SEP17	S 6 PD06DEC17	2091.00	2123.95	USD	-4,942.50	
06SEP17	S 3 PD06DEC17	2092.00	2123.95	USD	-2,396.25	
06SEP17	S 2 PD06DEC17	2103.00	2123.95	USD	-1,047.50	
06SEP17	S 1 PD06DEC17	2110.50	2123.95	USD	-336.25	
06SEP17	S 1 PD06DEC17	2112.50	2123.95	USD	-286.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.							
11SEP17	B	18	PD06DEC17	2120.79	2123.95	USD	1,422.00	
	NET	**0**	**AVG BGHT 2108.17 SOLD 2090.88**				**-14,693.00**	**0.00**
07SEP17	S	1	PD07DEC17	2105.00	2124.22	USD	-480.50	
07SEP17	B	1	PD07DEC17	2104.50	2124.22	USD	493.00	
07SEP17	S	4	PD07DEC17	2097.00	2124.22	USD	-2,722.00	
07SEP17	S	2	PD07DEC17	2091.00	2124.22	USD	-1,661.00	
07SEP17	S	4	PD07DEC17	2093.00	2124.22	USD	-3,122.00	
07SEP17	S	11	PD07DEC17	2092.00	2124.22	USD	-8,860.50	
07SEP17	S	1	PD07DEC17	2092.50	2124.22	USD	-793.00	
07SEP17	S	1	PD07DEC17	2111.00	2124.22	USD	-330.50	
07SEP17	S	3	PD07DEC17	2110.50	2124.22	USD	-1,029.00	
07SEP17	S	2	PD07DEC17	2109.50	2124.22	USD	-736.00	
07SEP17	S	2	PD07DEC17	2109.00	2124.22	USD	-761.00	
07SEP17	S	4	PD07DEC17	2110.00	2124.22	USD	-1,422.00	
07SEP17	S	1	PD07DEC17	2112.00	2124.22	USD	-305.50	
07SEP17	S	1	PD07DEC17	2111.50	2124.22	USD	-318.00	
07SEP17	S	6	PD07DEC17	2112.50	2124.22	USD	-1,758.00	
07SEP17	B	2	PD07DEC17	2108.00	2124.22	USD	811.00	
11SEP17	B	36	PD07DEC17	2121.08	2124.22	USD	2,826.00	
15SEP17	B	4	PD07DEC17	2084.17	2124.22	USD	4,005.00	
	NET	**0**	**AVG BGHT 2116.65 SOLD 2101.62**				**-16,164.00**	**0.00**
08SEP17	B	6	PD08DEC17	2117.00	2124.49	USD	1,123.50	
08SEP17	B	4	PD08DEC17	2119.00	2124.49	USD	549.00	
08SEP17	B	16	PD08DEC17	2119.50	2124.49	USD	1,996.00	
08SEP17	S	1	PD08DEC17	2121.50	2124.49	USD	-74.75	
08SEP17	S	1	PD08DEC17	2121.00	2124.49	USD	-87.25	
08SEP17	S	3	PD08DEC17	2114.50	2124.49	USD	-749.25	
08SEP17	S	1	PD08DEC17	2115.50	2124.49	USD	-224.75	
08SEP17	S	1	PD08DEC17	2115.00	2124.49	USD	-237.25	
08SEP17	S	3	PD08DEC17	2109.00	2124.49	USD	-1,161.75	
08SEP17	S	3	PD08DEC17	2111.00	2124.49	USD	-1,011.75	
08SEP17	S	6	PD08DEC17	2111.50	2124.49	USD	-1,948.50	
08SEP17	S	4	PD08DEC17	2110.00	2124.49	USD	-1,449.00	
08SEP17	S	2	PD08DEC17	2110.50	2124.49	USD	-699.50	
08SEP17	S	6	PD08DEC17	2109.50	2124.49	USD	-2,248.50	
08SEP17	S	1	PD08DEC17	2095.50	2124.49	USD	-724.75	
08SEP17	S	2	PD08DEC17	2095.00	2124.49	USD	-1,474.50	
08SEP17	S	2	PD08DEC17	2097.50	2124.49	USD	-1,349.50	
08SEP17	B	1	PD08DEC17	2093.50	2124.49	USD	774.75	
08SEP17	B	2	PD08DEC17	2096.50	2124.49	USD	1,399.50	
08SEP17	B	1	PD08DEC17	2095.00	2124.49	USD	737.25	
08SEP17	B	1	PD08DEC17	2095.50	2124.49	USD	724.75	
08SEP17	B	1	PD08DEC17	2089.00	2124.49	USD	887.25	
08SEP17	B	1	PD08DEC17	2095.00	2124.49	USD	737.25	
08SEP17	S	1	PD08DEC17	2111.00	2124.49	USD	-337.25	
08SEP17	B	1	PD08DEC17	2096.00	2124.49	USD	712.25	
08SEP17	S	7	PD08DEC17	2101.00	2124.49	USD	-4,110.75	
08SEP17	S	1	PD08DEC17	2102.50	2124.49	USD	-549.75	
08SEP17	S	2	PD08DEC17	2101.50	2124.49	USD	-1,149.50	
08SEP17	S	2	PD08DEC17	2100.00	2124.49	USD	-1,224.50	
08SEP17	S	1	PD08DEC17	2099.00	2124.49	USD	-637.25	
08SEP17	S	3	PD08DEC17	2099.50	2124.49	USD	-1,874.25	
08SEP17	S	5	PD08DEC17	2097.00	2124.49	USD	-3,436.25	
08SEP17	B	1	PD08DEC17	2099.00	2124.49	USD	637.25	
08SEP17	B	4	PD08DEC17	2099.50	2124.49	USD	2,499.00	
08SEP17	B	4	PD08DEC17	2093.00	2124.49	USD	3,149.00	
08SEP17	S	1	PD08DEC17	2096.50	2124.49	USD	-699.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.							
08SEP17	B	5	PD08DEC17	2094.00	2124.49	USD	3,811.25	
08SEP17	S	3	PD08DEC17	2093.00	2124.49	USD	-2,361.75	
08SEP17	B	2	PD08DEC17	2092.00	2124.49	USD	1,624.50	
08SEP17	S	2	PD08DEC17	2091.00	2124.49	USD	-1,674.50	
08SEP17	S	3	PD08DEC17	2096.00	2124.49	USD	-2,136.75	
08SEP17	B	5	PD08DEC17	2096.00	2124.49	USD	3,561.25	
11SEP17	B	10	PD08DEC17	2121.36	2124.49	USD	782.50	
15SEP17	B	2	PD08DEC17	2084.25	2124.49	USD	2,012.00	
	NET	**0**	**AVG BGHT 2107.94 SOLD 2104.41**				**-5,915.00**	**0.00**
11SEP17	B	1	PD11DEC17	2099.50	2125.31	USD	645.25	
11SEP17	B	1	PD11DEC17	2100.00	2125.31	USD	632.75	
11SEP17	B	4	PD11DEC17	2102.00	2125.31	USD	2,331.00	
11SEP17	B	7	PD11DEC17	2102.50	2125.31	USD	3,991.75	
11SEP17	B	6	PD11DEC17	2103.00	2125.31	USD	3,346.50	
11SEP17	B	9	PD11DEC17	2103.50	2125.31	USD	4,907.25	
11SEP17	B	1	PD11DEC17	2106.00	2125.31	USD	482.75	
11SEP17	B	2	PD11DEC17	2109.50	2125.31	USD	790.50	
11SEP17	B	2	PD11DEC17	2110.50	2125.31	USD	740.50	
11SEP17	B	1	PD11DEC17	2111.00	2125.31	USD	357.75	
11SEP17	B	1	PD11DEC17	2111.50	2125.31	USD	345.25	
11SEP17	B	5	PD11DEC17	2112.00	2125.31	USD	1,663.75	
11SEP17	B	1	PD11DEC17	2127.00	2125.31	USD	-42.25	
11SEP17	B	1	PD11DEC17	2126.50	2125.31	USD	-29.75	
11SEP17	B	1	PD11DEC17	2111.00	2125.31	USD	357.75	
11SEP17	B	1	PD11DEC17	2127.50	2125.31	USD	-54.75	
11SEP17	B	1	PD11DEC17	2121.50	2125.31	USD	95.25	
11SEP17	B	1	PD11DEC17	2124.50	2125.31	USD	20.25	
11SEP17	B	8	PD11DEC17	2126.00	2125.31	USD	-138.00	
11SEP17	B	2	PD11DEC17	2129.00	2125.31	USD	-184.50	
11SEP17	B	1	PD11DEC17	2127.50	2125.31	USD	-54.75	
11SEP17	B	13	PD11DEC17	2128.00	2125.31	USD	-874.25	
11SEP17	B	1	PD11DEC17	2124.00	2125.31	USD	32.75	
11SEP17	B	3	PD11DEC17	2125.50	2125.31	USD	-14.25	
11SEP17	B	1	PD11DEC17	2122.50	2125.31	USD	70.25	
11SEP17	B	1	PD11DEC17	2123.00	2125.31	USD	57.75	
11SEP17	B	1	PD11DEC17	2119.50	2125.31	USD	145.25	
11SEP17	B	1	PD11DEC17	2120.00	2125.31	USD	132.75	
11SEP17	B	1	PD11DEC17	2117.00	2125.31	USD	207.75	
11SEP17	B	1	PD11DEC17	2116.00	2125.31	USD	232.75	
11SEP17	B	2	PD11DEC17	2116.50	2125.31	USD	440.50	
11SEP17	B	4	PD11DEC17	2117.50	2125.31	USD	781.00	
11SEP17	B	3	PD11DEC17	2118.00	2125.31	USD	548.25	
11SEP17	B	1	PD11DEC17	2116.00	2125.31	USD	232.75	
15SEP17	S	2	PD11DEC17	2084.80	2125.31	USD	-2,025.50	
15SEP17	S	6	PD11DEC17	2085.00	2125.31	USD	-6,046.50	
19SEP17	S	3	PD11DEC17	2122.71	2125.31	USD	-195.00	
22SEP17	S	79	PD11DEC17	2156.65	2125.31	USD	61,896.50	
	NET	**0**	**AVG BGHT 2115.44 SOLD 2149.15**				**75,827.00**	**0.00**
12SEP17	S	3	PD12DEC17	2118.00	2125.58	USD	-568.50	
12SEP17	S	1	PD12DEC17	2118.50	2125.58	USD	-177.00	
12SEP17	S	3	PD12DEC17	2117.50	2125.58	USD	-606.00	
12SEP17	S	3	PD12DEC17	2117.00	2125.58	USD	-643.50	
12SEP17	S	2	PD12DEC17	2116.50	2125.58	USD	-454.00	
12SEP17	S	3	PD12DEC17	2115.00	2125.58	USD	-793.50	
12SEP17	S	2	PD12DEC17	2114.00	2125.58	USD	-579.00	
12SEP17	S	1	PD12DEC17	2114.50	2125.58	USD	-277.00	
12SEP17	S	1	PD12DEC17	2116.00	2125.58	USD	-239.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
12SEP17	B 2 PD12DEC17	2120.00	2125.58	USD	279.00	
12SEP17	S 1 PD12DEC17	2125.50	2125.58	USD	-2.00	
12SEP17	B 1 PD12DEC17	2114.50	2125.58	USD	277.00	
12SEP17	B 2 PD12DEC17	2116.00	2125.58	USD	479.00	
12SEP17	B 2 PD12DEC17	2115.00	2125.58	USD	529.00	
12SEP17	B 2 PD12DEC17	2115.50	2125.58	USD	504.00	
12SEP17	B 1 PD12DEC17	2116.50	2125.58	USD	227.00	
12SEP17	B 1 PD12DEC17	2118.50	2125.58	USD	177.00	
12SEP17	B 1 PD12DEC17	2121.50	2125.58	USD	102.00	
12SEP17	B 1 PD12DEC17	2122.00	2125.58	USD	89.50	
12SEP17	B 1 PD12DEC17	2122.50	2125.58	USD	77.00	
12SEP17	B 4 PD12DEC17	2123.50	2125.58	USD	208.00	
12SEP17	B 7 PD12DEC17	2124.00	2125.58	USD	276.50	
12SEP17	B 1 PD12DEC17	2125.00	2125.58	USD	14.50	
12SEP17	B 2 PD12DEC17	2124.50	2125.58	USD	54.00	
12SEP17	S 1 PD12DEC17	2125.00	2125.58	USD	-14.50	
12SEP17	S 1 PD12DEC17	2131.00	2125.58	USD	135.50	
12SEP17	S 1 PD12DEC17	2130.50	2125.58	USD	123.00	
12SEP17	S 1 PD12DEC17	2133.00	2125.58	USD	185.50	
12SEP17	S 1 PD12DEC17	2134.50	2125.58	USD	223.00	
12SEP17	S 3 PD12DEC17	2129.50	2125.58	USD	294.00	
12SEP17	S 1 PD12DEC17	2128.50	2125.58	USD	73.00	
12SEP17	S 2 PD12DEC17	2131.50	2125.58	USD	296.00	
12SEP17	S 5 PD12DEC17	2129.00	2125.58	USD	427.50	
12SEP17	S 1 PD12DEC17	2130.00	2125.58	USD	110.50	
12SEP17	S 1 PD12DEC17	2129.00	2125.58	USD	85.50	
15SEP17	B 2 PD12DEC17	2085.45	2125.58	USD	2,006.50	
22SEP17	B 8 PD12DEC17	2157.14	2125.58	USD	-6,312.00	
	NET 0 AVG BGHT 2126.65 SOLD 2123.05				**-3,413.00**	**0.00**
13SEP17	S 5 PD13DEC17	2140.00	2125.85	USD	1,768.75	
13SEP17	S 1 PD13DEC17	2138.50	2125.85	USD	316.25	
13SEP17	S 2 PD13DEC17	2139.00	2125.85	USD	657.50	
13SEP17	S 1 PD13DEC17	2135.50	2125.85	USD	241.25	
13SEP17	S 2 PD13DEC17	2131.00	2125.85	USD	257.50	
13SEP17	S 4 PD13DEC17	2131.50	2125.85	USD	565.00	
13SEP17	S 1 PD13DEC17	2129.50	2125.85	USD	91.25	
13SEP17	S 6 PD13DEC17	2129.00	2125.85	USD	472.50	
13SEP17	S 4 PD13DEC17	2130.00	2125.85	USD	415.00	
13SEP17	S 1 PD13DEC17	2135.00	2125.85	USD	228.75	
13SEP17	B 1 PD13DEC17	2117.50	2125.85	USD	208.75	
13SEP17	B 3 PD13DEC17	2117.00	2125.85	USD	663.75	
13SEP17	S 1 PD13DEC17	2118.00	2125.85	USD	-196.25	
13SEP17	S 1 PD13DEC17	2117.50	2125.85	USD	-208.75	
13SEP17	B 1 PD13DEC17	2118.50	2125.85	USD	183.75	
13SEP17	S 4 PD13DEC17	2116.00	2125.85	USD	-985.00	
13SEP17	S 7 PD13DEC17	2114.00	2125.85	USD	-2,073.75	
13SEP17	S 1 PD13DEC17	2112.00	2125.85	USD	-346.25	
13SEP17	S 3 PD13DEC17	2108.00	2125.85	USD	-1,338.75	
13SEP17	B 1 PD13DEC17	2112.00	2125.85	USD	346.25	
13SEP17	B 2 PD13DEC17	2113.00	2125.85	USD	642.50	
13SEP17	B 1 PD13DEC17	2114.00	2125.85	USD	296.25	
13SEP17	B 2 PD13DEC17	2118.00	2125.85	USD	392.50	
13SEP17	B 1 PD13DEC17	2120.50	2125.85	USD	133.75	
13SEP17	B 3 PD13DEC17	2121.00	2125.85	USD	363.75	
15SEP17	B 2 PD13DEC17	2085.70	2125.85	USD	2,007.50	
19SEP17	B 1 PD13DEC17	2123.48	2125.85	USD	59.25	
22SEP17	B 26 PD13DEC17	2157.44	2125.85	USD	-20,533.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.							
	NET	**0**	**AVG BGHT 2139.70 SOLD 2125.73**				**-15,370.50**	**0.00**
14SEP17	B	1	PD14DEC17	2119.50	2126.12	USD	165.50	
14SEP17	B	5	PD14DEC17	2120.00	2126.12	USD	765.00	
14SEP17	S	1	PD14DEC17	2120.50	2126.12	USD	-140.50	
14SEP17	S	1	PD14DEC17	2113.50	2126.12	USD	-315.50	
14SEP17	B	1	PD14DEC17	2114.00	2126.12	USD	303.00	
14SEP17	S	1	PD14DEC17	2114.50	2126.12	USD	-290.50	
14SEP17	B	1	PD14DEC17	2117.50	2126.12	USD	215.50	
14SEP17	B	1	PD14DEC17	2117.00	2126.12	USD	228.00	
14SEP17	S	2	PD14DEC17	2116.50	2126.12	USD	-481.00	
14SEP17	B	1	PD14DEC17	2094.00	2126.12	USD	803.00	
19SEP17	S	1	PD14DEC17	2123.57	2126.12	USD	-63.75	
22SEP17	S	4	PD14DEC17	2157.53	2126.12	USD	3,141.00	
	NET	**0**	**AVG BGHT 2116.20 SOLD 2133.52**				**4,329.75**	**0.00**
15SEP17	S	1	PD15DEC17	2092.00	2126.39	USD	-859.75	
15SEP17	S	1	PD15DEC17	2084.50	2126.39	USD	-1,047.25	
15SEP17	S	1	PD15DEC17	2084.00	2126.39	USD	-1,059.75	
15SEP17	S	2	PD15DEC17	2091.00	2126.39	USD	-1,769.50	
15SEP17	S	3	PD15DEC17	2090.00	2126.39	USD	-2,729.25	
15SEP17	S	1	PD15DEC17	2087.50	2126.39	USD	-972.25	
15SEP17	S	7	PD15DEC17	2090.50	2126.39	USD	-6,280.75	
15SEP17	S	1	PD15DEC17	2088.50	2126.39	USD	-947.25	
15SEP17	S	2	PD15DEC17	2089.00	2126.39	USD	-1,869.50	
15SEP17	S	4	PD15DEC17	2095.50	2126.39	USD	-3,089.00	
15SEP17	S	1	PD15DEC17	2095.00	2126.39	USD	-784.75	
15SEP17	S	1	PD15DEC17	2094.50	2126.39	USD	-797.25	
15SEP17	S	1	PD15DEC17	2078.50	2126.39	USD	-1,197.25	
15SEP17	S	1	PD15DEC17	2078.00	2126.39	USD	-1,209.75	
15SEP17	S	1	PD15DEC17	2082.00	2126.39	USD	-1,109.75	
15SEP17	S	2	PD15DEC17	2081.50	2126.39	USD	-2,244.50	
15SEP17	S	1	PD15DEC17	2083.50	2126.39	USD	-1,072.25	
15SEP17	B	3	PD15DEC17	2088.50	2126.39	USD	2,841.75	
15SEP17	B	1	PD15DEC17	2089.00	2126.39	USD	934.75	
15SEP17	B	1	PD15DEC17	2090.00	2126.39	USD	909.75	
15SEP17	B	1	PD15DEC17	2087.00	2126.39	USD	984.75	
15SEP17	B	2	PD15DEC17	2086.20	2126.39	USD	2,009.50	
19SEP17	B	3	PD15DEC17	2124.05	2126.39	USD	175.50	
22SEP17	B	20	PD15DEC17	2158.02	2126.39	USD	-15,815.00	
	NET	**0**	**AVG BGHT 2136.66 SOLD 2088.92**				**-36,998.75**	**0.00**
18SEP17	S	1	PD18DEC17	2100.00	2127.21	USD	-680.25	
18SEP17	B	4	PD18DEC17	2099.00	2127.21	USD	2,821.00	
18SEP17	S	2	PD18DEC17	2096.50	2127.21	USD	-1,535.50	
18SEP17	S	1	PD18DEC17	2098.00	2127.21	USD	-730.25	
18SEP17	B	2	PD18DEC17	2097.00	2127.21	USD	1,510.50	
18SEP17	S	2	PD18DEC17	2093.50	2127.21	USD	-1,685.50	
18SEP17	B	2	PD18DEC17	2091.50	2127.21	USD	1,785.50	
18SEP17	S	1	PD18DEC17	2090.50	2127.21	USD	-917.75	
18SEP17	S	1	PD18DEC17	2092.00	2127.21	USD	-880.25	
18SEP17	S	1	PD18DEC17	2091.00	2127.21	USD	-905.25	
18SEP17	B	2	PD18DEC17	2089.50	2127.21	USD	1,885.50	
18SEP17	B	2	PD18DEC17	2086.50	2127.21	USD	2,035.50	
18SEP17	B	1	PD18DEC17	2087.50	2127.21	USD	992.75	
18SEP17	B	2	PD18DEC17	2088.50	2127.21	USD	1,935.50	
18SEP17	B	2	PD18DEC17	2089.00	2127.21	USD	1,910.50	
18SEP17	B	2	PD18DEC17	2091.00	2127.21	USD	1,810.50	
18SEP17	B	1	PD18DEC17	2092.50	2127.21	USD	867.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
18SEP17	B 1 PD18DEC17	2092.00	2127.21	USD	880.25	
18SEP17	B 2 PD18DEC17	2094.50	2127.21	USD	1,635.50	
18SEP17	B 3 PD18DEC17	2095.00	2127.21	USD	2,415.75	
20SEP17	S 2 PD18DEC17	2176.44	2127.21	USD	2,461.50	
22SEP17	S 15 PD18DEC17	2158.71	2127.21	USD	11,812.50	
	NET 0 AVG BGHT 2092.62 SOLD 2137.89				**29,425.75**	**0.00**
19SEP17	B 4 PD19DEC17	2100.00	2127.48	USD	2,748.00	
19SEP17	B 3 PD19DEC17	2103.50	2127.48	USD	1,798.50	
19SEP17	B 4 PD19DEC17	2104.00	2127.48	USD	2,348.00	
19SEP17	B 1 PD19DEC17	2104.50	2127.48	USD	574.50	
19SEP17	B 1 PD19DEC17	2105.00	2127.48	USD	562.00	
19SEP17	S 1 PD19DEC17	2106.00	2127.48	USD	-537.00	
19SEP17	S 1 PD19DEC17	2108.00	2127.48	USD	-487.00	
19SEP17	S 1 PD19DEC17	2107.00	2127.48	USD	-512.00	
19SEP17	S 5 PD19DEC17	2107.50	2127.48	USD	-2,497.50	
19SEP17	S 3 PD19DEC17	2105.50	2127.48	USD	-1,648.50	
19SEP17	B 2 PD19DEC17	2110.00	2127.48	USD	874.00	
19SEP17	B 1 PD19DEC17	2111.00	2127.48	USD	412.00	
19SEP17	B 1 PD19DEC17	2110.50	2127.48	USD	424.50	
19SEP17	B 1 PD19DEC17	2112.00	2127.48	USD	387.00	
19SEP17	B 1 PD19DEC17	2112.50	2127.48	USD	374.50	
19SEP17	B 4 PD19DEC17	2113.00	2127.48	USD	1,448.00	
19SEP17	B 1 PD19DEC17	2107.00	2127.48	USD	512.00	
19SEP17	B 4 PD19DEC17	2106.00	2127.48	USD	2,148.00	
19SEP17	S 1 PD19DEC17	2103.00	2127.48	USD	-612.00	
19SEP17	S 2 PD19DEC17	2102.50	2127.48	USD	-1,249.00	
19SEP17	S 5 PD19DEC17	2102.00	2127.48	USD	-3,185.00	
19SEP17	B 1 PD19DEC17	2102.50	2127.48	USD	624.50	
19SEP17	S 3 PD19DEC17	2123.50	2127.48	USD	-298.50	
19SEP17	S 12 PD19DEC17	2124.00	2127.48	USD	-1,044.00	
19SEP17	S 2 PD19DEC17	2125.00	2127.48	USD	-124.00	
19SEP17	S 6 PD19DEC17	2125.50	2127.48	USD	-297.00	
19SEP17	S 3 PD19DEC17	2124.50	2127.48	USD	-223.50	
19SEP17	S 1 PD19DEC17	2122.00	2127.48	USD	-137.00	
19SEP17	S 4 PD19DEC17	2119.00	2127.48	USD	-848.00	
19SEP17	S 1 PD19DEC17	2118.50	2127.48	USD	-224.50	
19SEP17	S 2 PD19DEC17	2118.00	2127.48	USD	-474.00	
19SEP17	S 1 PD19DEC17	2117.50	2127.48	USD	-249.50	
19SEP17	S 4 PD19DEC17	2121.00	2127.48	USD	-648.00	
19SEP17	S 6 PD19DEC17	2120.00	2127.48	USD	-1,122.00	
19SEP17	S 2 PD19DEC17	2120.50	2127.48	USD	-349.00	
19SEP17	S 3 PD19DEC17	2119.50	2127.48	USD	-598.50	
19SEP17	S 2 PD19DEC17	2124.00	2127.48	USD	-174.00	
19SEP17	S 1 PD19DEC17	2123.50	2127.48	USD	-99.50	
19SEP17	B 1 PD19DEC17	2127.00	2127.48	USD	12.00	
19SEP17	B 1 PD19DEC17	2127.50	2127.48	USD	-0.50	
19SEP17	S 1 PD19DEC17	2126.50	2127.48	USD	-24.50	
20SEP17	B 2 PD19DEC17	2176.92	2127.48	USD	-2,472.00	
22SEP17	B 40 PD19DEC17	2159.20	2127.48	USD	-31,720.00	
	NET 0 AVG BGHT 2137.86 SOLD 2117.80				**-36,608.50**	**0.00**
20SEP17	B 1 PD20DEC17	2129.50	2127.75	USD	-43.75	
20SEP17	B 3 PD20DEC17	2130.00	2127.75	USD	-168.75	
20SEP17	B 1 PD20DEC17	2131.00	2127.75	USD	-81.25	
20SEP17	B 1 PD20DEC17	2132.00	2127.75	USD	-106.25	
20SEP17	S 2 PD20DEC17	2137.00	2127.75	USD	462.50	
20SEP17	B 3 PD20DEC17	2139.00	2127.75	USD	-843.75	
20SEP17	B 2 PD20DEC17	2143.00	2127.75	USD	-762.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
20SEP17	B 1 PD20DEC17	2143.50	2127.75	USD	-393.75	
20SEP17	B 2 PD20DEC17	2146.50	2127.75	USD	-937.50	
20SEP17	B 3 PD20DEC17	2147.50	2127.75	USD	-1,481.25	
20SEP17	B 2 PD20DEC17	2148.50	2127.75	USD	-1,037.50	
20SEP17	B 3 PD20DEC17	2149.00	2127.75	USD	-1,593.75	
20SEP17	B 1 PD20DEC17	2151.00	2127.75	USD	-581.25	
20SEP17	B 1 PD20DEC17	2152.00	2127.75	USD	-606.25	
20SEP17	B 5 PD20DEC17	2152.50	2127.75	USD	-3,093.75	
20SEP17	B 3 PD20DEC17	2153.00	2127.75	USD	-1,893.75	
20SEP17	B 2 PD20DEC17	2158.50	2127.75	USD	-1,537.50	
20SEP17	B 2 PD20DEC17	2157.50	2127.75	USD	-1,487.50	
20SEP17	B 4 PD20DEC17	2156.00	2127.75	USD	-2,825.00	
20SEP17	B 4 PD20DEC17	2158.00	2127.75	USD	-3,025.00	
20SEP17	B 12 PD20DEC17	2160.00	2127.75	USD	-9,675.00	
20SEP17	B 6 PD20DEC17	2161.50	2127.75	USD	-5,062.50	
20SEP17	B 2 PD20DEC17	2164.50	2127.75	USD	-1,837.50	
20SEP17	B 3 PD20DEC17	2164.00	2127.75	USD	-2,718.75	
20SEP17	B 1 PD20DEC17	2162.50	2127.75	USD	-868.75	
20SEP17	B 6 PD20DEC17	2163.00	2127.75	USD	-5,287.50	
20SEP17	B 2 PD20DEC17	2165.00	2127.75	USD	-1,862.50	
20SEP17	B 2 PD20DEC17	2167.50	2127.75	USD	-1,987.50	
20SEP17	B 3 PD20DEC17	2161.00	2127.75	USD	-2,493.75	
20SEP17	B 4 PD20DEC17	2159.50	2127.75	USD	-3,175.00	
20SEP17	B 5 PD20DEC17	2159.00	2127.75	USD	-3,906.25	
20SEP17	S 1 PD20DEC17	2168.00	2127.75	USD	1,006.25	
20SEP17	S 2 PD20DEC17	2174.50	2127.75	USD	2,337.50	
20SEP17	B 1 PD20DEC17	2143.00	2127.75	USD	-381.25	
20SEP17	B 1 PD20DEC17	2153.00	2127.75	USD	-631.25	
20SEP17	B 1 PD20DEC17	2162.00	2127.75	USD	-856.25	
20SEP17	B 1 PD20DEC17	2138.50	2127.75	USD	-268.75	
20SEP17	B 1 PD20DEC17	2185.00	2127.75	USD	-1,431.25	
20SEP17	B 1 PD20DEC17	2186.00	2127.75	USD	-1,456.25	
20SEP17	B 2 PD20DEC17	2185.50	2127.75	USD	-2,887.50	
20SEP17	B 1 PD20DEC17	2186.50	2127.75	USD	-1,468.75	
20SEP17	S 1 PD20DEC17	2184.00	2127.75	USD	1,406.25	
20SEP17	S 4 PD20DEC17	2182.50	2127.75	USD	5,475.00	
20SEP17	S 1 PD20DEC17	2181.00	2127.75	USD	1,331.25	
20SEP17	S 1 PD20DEC17	2178.00	2127.75	USD	1,256.25	
20SEP17	S 1 PD20DEC17	2176.50	2127.75	USD	1,218.75	
20SEP17	S 2 PD20DEC17	2175.50	2127.75	USD	2,387.50	
20SEP17	S 1 PD20DEC17	2165.50	2127.75	USD	943.75	
20SEP17	B 1 PD20DEC17	2168.50	2127.75	USD	-1,018.75	
20SEP17	S 2 PD20DEC17	2163.50	2127.75	USD	1,787.50	
20SEP17	S 26 PD20DEC17	2165.00	2127.75	USD	24,212.50	
20SEP17	S 1 PD20DEC17	2164.50	2127.75	USD	918.75	
20SEP17	S 2 PD20DEC17	2164.00	2127.75	USD	1,812.50	
20SEP17	S 5 PD20DEC17	2163.00	2127.75	USD	4,406.25	
20SEP17	S 2 PD20DEC17	2166.00	2127.75	USD	1,912.50	
20SEP17	B 1 PD20DEC17	2172.00	2127.75	USD	-1,106.25	
20SEP17	S 5 PD20DEC17	2175.00	2127.75	USD	5,906.25	
20SEP17	S 1 PD20DEC17	2164.50	2127.75	USD	918.75	
20SEP17	S 1 PD20DEC17	2173.50	2127.75	USD	1,143.75	
20SEP17	S 3 PD20DEC17	2171.50	2127.75	USD	3,281.25	
20SEP17	S 3 PD20DEC17	2170.50	2127.75	USD	3,206.25	
20SEP17	S 1 PD20DEC17	2171.00	2127.75	USD	1,081.25	
20SEP17	S 1 PD20DEC17	2174.00	2127.75	USD	1,156.25	
20SEP17	S 4 PD20DEC17	2176.00	2127.75	USD	4,825.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
20SEP17	S 4 PD20DEC17	2180.50	2127.75	USD	5,275.00	
20SEP17	B 1 PD20DEC17	2181.00	2127.75	USD	-1,331.25	
20SEP17	S 5 PD20DEC17	2180.00	2127.75	USD	6,531.25	
20SEP17	S 5 PD20DEC17	2181.50	2127.75	USD	6,718.75	
20SEP17	B 1 PD20DEC17	2177.50	2127.75	USD	-1,243.75	
20SEP17	B 1 PD20DEC17	2179.00	2127.75	USD	-1,281.25	
20SEP17	S 2 PD20DEC17	2177.50	2127.75	USD	2,487.50	
20SEP17	S 9 PD20DEC17	2177.00	2127.75	USD	11,081.25	
20SEP17	S 3 PD20DEC17	2178.50	2127.75	USD	3,806.25	
20SEP17	S 4 PD20DEC17	2182.00	2127.75	USD	5,425.00	
29SEP17	B 1 PD20DEC17	2099.50	2127.75	USD	706.25	
	NET 0 AVG BGHT 2156.71 SOLD 2171.83				**39,687.50**	**0.00**
21SEP17	S 1 PD21DEC17	2178.50	2127.98	USD	1,263.00	
21SEP17	S 1 PD21DEC17	2177.50	2127.98	USD	1,238.00	
21SEP17	S 1 PD21DEC17	2175.00	2127.98	USD	1,175.50	
21SEP17	S 1 PD21DEC17	2172.00	2127.98	USD	1,100.50	
21SEP17	S 1 PD21DEC17	2171.50	2127.98	USD	1,088.00	
21SEP17	S 3 PD21DEC17	2169.00	2127.98	USD	3,076.50	
21SEP17	S 1 PD21DEC17	2169.50	2127.98	USD	1,038.00	
21SEP17	S 1 PD21DEC17	2176.50	2127.98	USD	1,213.00	
21SEP17	B 1 PD21DEC17	2174.00	2127.98	USD	-1,150.50	
21SEP17	S 1 PD21DEC17	2183.50	2127.98	USD	1,388.00	
21SEP17	B 1 PD21DEC17	2185.50	2127.98	USD	-1,438.00	
21SEP17	B 4 PD21DEC17	2193.50	2127.98	USD	-6,552.00	
21SEP17	B 1 PD21DEC17	2189.50	2127.98	USD	-1,538.00	
21SEP17	S 1 PD21DEC17	2187.00	2127.98	USD	1,475.50	
21SEP17	S 1 PD21DEC17	2186.00	2127.98	USD	1,450.50	
21SEP17	S 2 PD21DEC17	2186.50	2127.98	USD	2,926.00	
21SEP17	S 1 PD21DEC17	2185.50	2127.98	USD	1,438.00	
21SEP17	S 1 PD21DEC17	2185.00	2127.98	USD	1,425.50	
21SEP17	B 1 PD21DEC17	2184.50	2127.98	USD	-1,413.00	
21SEP17	B 2 PD21DEC17	2177.00	2127.98	USD	-2,451.00	
21SEP17	B 2 PD21DEC17	2180.00	2127.98	USD	-2,601.00	
21SEP17	B 3 PD21DEC17	2179.50	2127.98	USD	-3,864.00	
21SEP17	B 1 PD21DEC17	2178.00	2127.98	USD	-1,250.50	
21SEP17	B 1 PD21DEC17	2178.50	2127.98	USD	-1,263.00	
21SEP17	B 1 PD21DEC17	2181.50	2127.98	USD	-1,338.00	
21SEP17	B 1 PD21DEC17	2182.00	2127.98	USD	-1,350.50	
21SEP17	B 4 PD21DEC17	2182.50	2127.98	USD	-5,452.00	
21SEP17	B 1 PD21DEC17	2197.00	2127.98	USD	-1,725.50	
21SEP17	B 1 PD21DEC17	2175.50	2127.98	USD	-1,188.00	
21SEP17	B 2 PD21DEC17	2172.00	2127.98	USD	-2,201.00	
21SEP17	B 2 PD21DEC17	2172.50	2127.98	USD	-2,226.00	
21SEP17	S 2 PD21DEC17	2167.00	2127.98	USD	1,951.00	
21SEP17	S 4 PD21DEC17	2166.50	2127.98	USD	3,852.00	
21SEP17	S 1 PD21DEC17	2170.50	2127.98	USD	1,063.00	
21SEP17	S 11 PD21DEC17	2166.00	2127.98	USD	10,455.50	
21SEP17	S 1 PD21DEC17	2165.50	2127.98	USD	938.00	
21SEP17	S 2 PD21DEC17	2165.00	2127.98	USD	1,851.00	
21SEP17	S 1 PD21DEC17	2163.50	2127.98	USD	888.00	
21SEP17	S 1 PD21DEC17	2163.00	2127.98	USD	875.50	
21SEP17	S 2 PD21DEC17	2161.00	2127.98	USD	1,651.00	
21SEP17	S 4 PD21DEC17	2162.00	2127.98	USD	3,402.00	
21SEP17	S 4 PD21DEC17	2161.50	2127.98	USD	3,352.00	
21SEP17	S 2 PD21DEC17	2160.00	2127.98	USD	1,601.00	
21SEP17	S 3 PD21DEC17	2148.00	2127.98	USD	1,501.50	
21SEP17	S 4 PD21DEC17	2146.00	2127.98	USD	1,802.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
21SEP17	S 1 PD21DEC17	2145.00	2127.98	USD	425.50	
21SEP17	S 2 PD21DEC17	2146.50	2127.98	USD	926.00	
21SEP17	S 1 PD21DEC17	2144.50	2127.98	USD	413.00	
21SEP17	B 1 PD21DEC17	2148.50	2127.98	USD	-513.00	
21SEP17	B 1 PD21DEC17	2144.50	2127.98	USD	-413.00	
21SEP17	B 1 PD21DEC17	2144.00	2127.98	USD	-400.50	
21SEP17	B 3 PD21DEC17	2142.50	2127.98	USD	-1,089.00	
21SEP17	B 1 PD21DEC17	2142.00	2127.98	USD	-350.50	
21SEP17	B 1 PD21DEC17	2150.50	2127.98	USD	-563.00	
21SEP17	B 1 PD21DEC17	2147.50	2127.98	USD	-488.00	
21SEP17	B 2 PD21DEC17	2149.00	2127.98	USD	-1,051.00	
21SEP17	B 2 PD21DEC17	2145.00	2127.98	USD	-851.00	
21SEP17	S 1 PD21DEC17	2148.50	2127.98	USD	513.00	
22SEP17	B 8 PD21DEC17	2157.95	2127.98	USD	-5,994.00	
29SEP17	B 14 PD21DEC17	2099.78	2127.98	USD	9,870.00	
	NET 0 AVG BGHT 2153.51 SOLD 2164.70				**17,912.00**	**0.00**
22SEP17	B 1 PD22DEC17	2152.00	2128.21	USD	-594.75	
22SEP17	B 1 PD22DEC17	2150.50	2128.21	USD	-557.25	
22SEP17	S 1 PD22DEC17	2141.00	2128.21	USD	319.75	
22SEP17	B 1 PD22DEC17	2140.50	2128.21	USD	-307.25	
22SEP17	S 1 PD22DEC17	2134.50	2128.21	USD	157.25	
22SEP17	S 1 PD22DEC17	2133.50	2128.21	USD	132.25	
22SEP17	S 2 PD22DEC17	2130.50	2128.21	USD	114.50	
22SEP17	S 1 PD22DEC17	2123.00	2128.21	USD	-130.25	
22SEP17	S 1 PD22DEC17	2122.00	2128.21	USD	-155.25	
22SEP17	B 1 PD22DEC17	2120.00	2128.21	USD	205.25	
22SEP17	B 1 PD22DEC17	2118.50	2128.21	USD	242.75	
22SEP17	B 3 PD22DEC17	2119.50	2128.21	USD	653.25	
22SEP17	B 2 PD22DEC17	2121.50	2128.21	USD	335.50	
22SEP17	S 1 PD22DEC17	2125.50	2128.21	USD	-67.75	
22SEP17	B 1 PD22DEC17	2152.50	2128.21	USD	-607.25	
22SEP17	S 2 PD22DEC17	2152.00	2128.21	USD	1,189.50	
22SEP17	S 4 PD22DEC17	2153.50	2128.21	USD	2,529.00	
22SEP17	S 1 PD22DEC17	2154.50	2128.21	USD	657.25	
22SEP17	S 1 PD22DEC17	2158.00	2128.21	USD	744.75	
22SEP17	S 3 PD22DEC17	2159.00	2128.21	USD	2,309.25	
22SEP17	S 1 PD22DEC17	2161.00	2128.21	USD	819.75	
22SEP17	B 1 PD22DEC17	2162.00	2128.21	USD	-844.75	
22SEP17	B 1 PD22DEC17	2159.50	2128.21	USD	-782.25	
22SEP17	B 1 PD22DEC17	2158.50	2128.21	USD	-757.25	
22SEP17	S 1 PD22DEC17	2142.00	2128.21	USD	344.75	
22SEP17	S 1 PD22DEC17	2148.00	2128.21	USD	494.75	
22SEP17	S 2 PD22DEC17	2164.50	2128.21	USD	1,814.50	
22SEP17	S 1 PD22DEC17	2165.50	2128.21	USD	932.25	
22SEP17	S 1 PD22DEC17	2166.00	2128.21	USD	944.75	
22SEP17	S 2 PD22DEC17	2168.00	2128.21	USD	1,989.50	
22SEP17	S 1 PD22DEC17	2154.00	2128.21	USD	644.75	
22SEP17	B 1 PD22DEC17	2153.00	2128.21	USD	-619.75	
22SEP17	B 2 PD22DEC17	2149.00	2128.21	USD	-1,039.50	
22SEP17	B 1 PD22DEC17	2150.00	2128.21	USD	-544.75	
22SEP17	S 5 PD22DEC17	2156.00	2128.21	USD	3,473.75	
22SEP17	B 3 PD22DEC17	2159.00	2128.21	USD	-2,309.25	
29SEP17	B 13 PD22DEC17	2100.06	2128.21	USD	9,148.75	
	NET 0 AVG BGHT 2126.30 SOLD 2150.87				**20,880.50**	**0.00**
25SEP17	S 1 PD27DEC17	2147.50	2129.38	USD	453.00	
25SEP17	B 1 PD27DEC17	2173.50	2129.38	USD	-1,103.00	
25SEP17	S 1 PD27DEC17	2154.00	2129.38	USD	615.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
25SEP17	S 1 PD27DEC17	2167.00	2129.38	USD	940.50	
25SEP17	B 2 PD27DEC17	2170.00	2129.38	USD	-2,031.00	
25SEP17	B 1 PD27DEC17	2170.50	2129.38	USD	-1,028.00	
25SEP17	S 3 PD27DEC17	2149.50	2129.38	USD	1,509.00	
25SEP17	B 1 PD27DEC17	2149.50	2129.38	USD	-503.00	
25SEP17	S 1 PD27DEC17	2146.00	2129.38	USD	415.50	
25SEP17	S 1 PD27DEC17	2146.50	2129.38	USD	428.00	
25SEP17	S 1 PD27DEC17	2152.00	2129.38	USD	565.50	
25SEP17	S 2 PD27DEC17	2166.00	2129.38	USD	1,831.00	
25SEP17	S 2 PD27DEC17	2165.00	2129.38	USD	1,781.00	
25SEP17	B 1 PD27DEC17	2143.50	2129.38	USD	-353.00	
25SEP17	B 3 PD27DEC17	2143.00	2129.38	USD	-1,021.50	
25SEP17	B 3 PD27DEC17	2137.50	2129.38	USD	-609.00	
25SEP17	S 5 PD27DEC17	2144.00	2129.38	USD	1,827.50	
25SEP17	B 3 PD27DEC17	2152.50	2129.38	USD	-1,734.00	
25SEP17	B 3 PD27DEC17	2151.00	2129.38	USD	-1,621.50	
25SEP17	B 2 PD27DEC17	2145.50	2129.38	USD	-806.00	
25SEP17	B 4 PD27DEC17	2144.00	2129.38	USD	-1,462.00	
25SEP17	B 1 PD27DEC17	2138.00	2129.38	USD	-215.50	
25SEP17	B 2 PD27DEC17	2152.00	2129.38	USD	-1,131.00	
25SEP17	B 1 PD27DEC17	2153.00	2129.38	USD	-590.50	
25SEP17	B 4 PD27DEC17	2151.50	2129.38	USD	-2,212.00	
25SEP17	B 1 PD27DEC17	2150.00	2129.38	USD	-515.50	
26SEP17	S 1 PD27DEC17	2155.00	2129.38	USD	640.50	
26SEP17	S 3 PD27DEC17	2153.50	2129.38	USD	1,809.00	
26SEP17	S 2 PD27DEC17	2154.00	2129.38	USD	1,231.00	
26SEP17	B 1 PD27DEC17	2146.50	2129.38	USD	-428.00	
26SEP17	S 1 PD27DEC17	2128.50	2129.38	USD	-22.00	
26SEP17	S 1 PD27DEC17	2129.00	2129.38	USD	-9.50	
26SEP17	S 1 PD27DEC17	2131.00	2129.38	USD	40.50	
26SEP17	B 1 PD27DEC17	2134.00	2129.38	USD	-115.50	
26SEP17	B 1 PD27DEC17	2132.50	2129.38	USD	-78.00	
26SEP17	B 1 PD27DEC17	2127.50	2129.38	USD	47.00	
26SEP17	B 2 PD27DEC17	2127.00	2129.38	USD	119.00	
26SEP17	B 6 PD27DEC17	2125.00	2129.38	USD	657.00	
27SEP17	B 1 PD27DEC17	2132.00	2129.38	USD	-65.50	
27SEP17	S 1 PD27DEC17	2131.00	2129.38	USD	40.50	
27SEP17	S 1 PD27DEC17	2132.00	2129.38	USD	65.50	
27SEP17	B 3 PD27DEC17	2131.00	2129.38	USD	-121.50	
27SEP17	B 1 PD27DEC17	2131.50	2129.38	USD	-53.00	
27SEP17	S 1 PD27DEC17	2141.00	2129.38	USD	290.50	
27SEP17	S 1 PD27DEC17	2139.00	2129.38	USD	240.50	
27SEP17	S 1 PD27DEC17	2139.50	2129.38	USD	253.00	
27SEP17	S 4 PD27DEC17	2140.50	2129.38	USD	1,112.00	
27SEP17	S 1 PD27DEC17	2138.00	2129.38	USD	215.50	
27SEP17	S 1 PD27DEC17	2137.50	2129.38	USD	203.00	
27SEP17	S 1 PD27DEC17	2134.00	2129.38	USD	115.50	
27SEP17	S 1 PD27DEC17	2132.50	2129.38	USD	78.00	
27SEP17	S 1 PD27DEC17	2140.50	2129.38	USD	278.00	
27SEP17	S 1 PD27DEC17	2131.50	2129.38	USD	53.00	
27SEP17	S 1 PD27DEC17	2128.50	2129.38	USD	-22.00	
27SEP17	S 1 PD27DEC17	2127.50	2129.38	USD	-47.00	
27SEP17	S 2 PD27DEC17	2127.00	2129.38	USD	-119.00	
27SEP17	S 1 PD27DEC17	2126.50	2129.38	USD	-72.00	
27SEP17	B 2 PD27DEC17	2130.50	2129.38	USD	-56.00	
27SEP17	B 1 PD27DEC17	2127.50	2129.38	USD	47.00	
27SEP17	B 1 PD27DEC17	2126.50	2129.38	USD	72.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
27SEP17	B 4 PD27DEC17	2126.00	2129.38	USD	338.00	
27SEP17	B 1 PD27DEC17	2129.00	2129.38	USD	9.50	
27SEP17	B 4 PD27DEC17	2128.00	2129.38	USD	138.00	
29SEP17	S 16 PD27DEC17	2101.24	2129.38	USD	-11,256.00	
	NET 0 AVG BGHT 2139.81 SOLD 2132.86				**-10,941.50**	**0.00**
28SEP17	S 1 PD28DEC17	2135.00	2129.61	USD	134.75	
28SEP17	S 1 PD28DEC17	2128.50	2129.61	USD	-27.75	
28SEP17	B 1 PD28DEC17	2137.50	2129.61	USD	-197.25	
28SEP17	S 3 PD28DEC17	2133.00	2129.61	USD	254.25	
28SEP17	B 3 PD28DEC17	2128.00	2129.61	USD	120.75	
28SEP17	B 1 PD28DEC17	2129.00	2129.61	USD	15.25	
28SEP17	S 1 PD28DEC17	2121.00	2129.61	USD	-215.25	
28SEP17	S 1 PD28DEC17	2120.50	2129.61	USD	-227.75	
28SEP17	S 2 PD28DEC17	2120.00	2129.61	USD	-480.50	
28SEP17	S 1 PD28DEC17	2119.00	2129.61	USD	-265.25	
28SEP17	S 1 PD28DEC17	2118.50	2129.61	USD	-277.75	
28SEP17	S 2 PD28DEC17	2130.00	2129.61	USD	19.50	
28SEP17	S 1 PD28DEC17	2132.50	2129.61	USD	72.25	
28SEP17	S 2 PD28DEC17	2129.50	2129.61	USD	-5.50	
28SEP17	S 2 PD28DEC17	2129.00	2129.61	USD	-30.50	
28SEP17	B 1 PD28DEC17	2131.00	2129.61	USD	-34.75	
28SEP17	B 1 PD28DEC17	2136.00	2129.61	USD	-159.75	
28SEP17	B 1 PD28DEC17	2135.50	2129.61	USD	-147.25	
28SEP17	B 6 PD28DEC17	2136.50	2129.61	USD	-1,033.50	
29SEP17	B 4 PD28DEC17	2101.72	2129.61	USD	2,789.00	
	NET 0 AVG BGHT 2126.60 SOLD 2127.28				**303.00**	**0.00**
29SEP17	B 2 PD29DEC17	2135.50	2129.84	USD	-283.00	
29SEP17	B 2 PD29DEC17	2136.50	2129.84	USD	-333.00	
29SEP17	B 3 PD29DEC17	2137.00	2129.84	USD	-537.00	
29SEP17	B 2 PD29DEC17	2134.00	2129.84	USD	-208.00	
29SEP17	B 1 PD29DEC17	2135.00	2129.84	USD	-129.00	
29SEP17	B 5 PD29DEC17	2136.00	2129.84	USD	-770.00	
29SEP17	B 1 PD29DEC17	2134.50	2129.84	USD	-116.50	
29SEP17	S 16 PD29DEC17	2101.80	2129.84	USD	-11,216.00	
	NET 0 AVG BGHT 2135.78 SOLD 2101.80				**-13,592.50**	**0.00**
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
04AUG17	B 1 PD03NOV17	6355.00	6495.28	USD	3,507.00	
04AUG17	B 1 PD03NOV17	6355.50	6495.28	USD	3,494.50	
04AUG17	S 1 PD03NOV17	6364.50	6495.28	USD	-3,269.50	
04AUG17	S 1 PD03NOV17	6367.50	6495.28	USD	-3,194.50	
	NET 0 AVG BGHT 6355.25 SOLD 6366.00				**537.50**	**0.00**
07AUG17	B 1 PD07NOV17	6428.00	6497.66	USD	1,741.50	
07AUG17	S 1 PD07NOV17	6362.50	6497.66	USD	-3,379.00	
07AUG17	S 1 PD07NOV17	6360.50	6497.66	USD	-3,429.00	
07AUG17	S 1 PD07NOV17	6349.50	6497.66	USD	-3,704.00	
07AUG17	S 1 PD07NOV17	6346.50	6497.66	USD	-3,779.00	
07AUG17	S 1 PD07NOV17	6347.50	6497.66	USD	-3,754.00	
07AUG17	S 1 PD07NOV17	6357.50	6497.66	USD	-3,504.00	
07AUG17	S 1 PD07NOV17	6353.00	6497.66	USD	-3,616.50	
07AUG17	B 1 PD07NOV17	6409.50	6497.66	USD	2,204.00	
07AUG17	B 2 PD07NOV17	6418.00	6497.66	USD	3,983.00	
07AUG17	B 1 PD07NOV17	6419.50	6497.66	USD	1,954.00	
07AUG17	B 1 PD07NOV17	6418.50	6497.66	USD	1,979.00	
07AUG17	S 2 PD07NOV17	6424.50	6497.66	USD	-3,658.00	
07AUG17	B 1 PD07NOV17	6439.00	6497.66	USD	1,466.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
07AUG17	B 1 PD07NOV17	6440.50	6497.66	USD	1,429.00	
07AUG17	B 1 PD07NOV17	6439.50	6497.66	USD	1,454.00	
07AUG17	B 1 PD07NOV17	6438.50	6497.66	USD	1,479.00	
07AUG17	B 1 PD07NOV17	6425.00	6497.66	USD	1,816.50	
07AUG17	S 1 PD07NOV17	6420.00	6497.66	USD	-1,941.50	
07AUG17	S 1 PD07NOV17	6421.00	6497.66	USD	-1,916.50	
07AUG17	S 1 PD07NOV17	6395.50	6497.66	USD	-2,554.00	
07AUG17	S 1 PD07NOV17	6397.00	6497.66	USD	-2,516.50	
07AUG17	S 1 PD07NOV17	6404.50	6497.66	USD	-2,329.00	
14AUG17	S 1 PD07NOV17	6394.51	6497.66	USD	-2,578.75	
18AUG17	B 2 PD07NOV17	6485.21	6497.66	USD	622.50	
30AUG17	B 2 PD07NOV17	6763.72	6497.66	USD	-13,303.00	
	NET 0 AVG BGHT 6479.46 SOLD 6383.90				**-35,833.75**	**0.00**
08AUG17	S 2 PD08NOV17	6434.50	6498.25	USD	-3,187.50	
08AUG17	S 1 PD08NOV17	6427.00	6498.25	USD	-1,781.25	
08AUG17	B 1 PD08NOV17	6432.00	6498.25	USD	1,656.25	
08AUG17	B 1 PD08NOV17	6409.00	6498.25	USD	2,231.25	
08AUG17	B 1 PD08NOV17	6397.00	6498.25	USD	2,531.25	
08AUG17	S 1 PD08NOV17	6397.50	6498.25	USD	-2,518.75	
08AUG17	S 1 PD08NOV17	6387.00	6498.25	USD	-2,781.25	
08AUG17	S 1 PD08NOV17	6381.00	6498.25	USD	-2,931.25	
08AUG17	S 1 PD08NOV17	6394.00	6498.25	USD	-2,606.25	
08AUG17	B 1 PD08NOV17	6393.00	6498.25	USD	2,631.25	
08AUG17	S 1 PD08NOV17	6399.00	6498.25	USD	-2,481.25	
08AUG17	B 1 PD08NOV17	6429.00	6498.25	USD	1,731.25	
08AUG17	B 2 PD08NOV17	6435.50	6498.25	USD	3,137.50	
08AUG17	B 2 PD08NOV17	6437.50	6498.25	USD	3,037.50	
08AUG17	B 1 PD08NOV17	6440.50	6498.25	USD	1,443.75	
08AUG17	B 1 PD08NOV17	6442.00	6498.25	USD	1,406.25	
08AUG17	B 1 PD08NOV17	6437.00	6498.25	USD	1,531.25	
14AUG17	S 5 PD08NOV17	6394.87	6498.25	USD	-12,922.50	
18AUG17	B 3 PD08NOV17	6485.75	6498.25	USD	937.50	
22AUG17	S 2 PD08NOV17	6578.25	6498.25	USD	4,000.00	
	NET 0 AVG BGHT 6438.85 SOLD 6425.69				**-4,935.00**	**0.00**
09AUG17	S 1 PD09NOV17	6435.50	6498.71	USD	-1,580.25	
09AUG17	S 1 PD09NOV17	6457.50	6498.71	USD	-1,030.25	
09AUG17	S 1 PD09NOV17	6458.00	6498.71	USD	-1,017.75	
09AUG17	S 2 PD09NOV17	6455.50	6498.71	USD	-2,160.50	
14AUG17	B 4 PD09NOV17	6395.42	6498.71	USD	10,329.00	
18AUG17	B 1 PD09NOV17	6486.25	6498.71	USD	311.50	
	NET 0 AVG BGHT 6413.59 SOLD 6452.40				**4,851.75**	**0.00**
10AUG17	B 1 PD10NOV17	6463.00	6499.18	USD	904.50	
10AUG17	S 1 PD10NOV17	6449.00	6499.18	USD	-1,254.50	
10AUG17	B 1 PD10NOV17	6446.50	6499.18	USD	1,317.00	
10AUG17	B 1 PD10NOV17	6442.50	6499.18	USD	1,417.00	
10AUG17	B 1 PD10NOV17	6441.50	6499.18	USD	1,442.00	
10AUG17	B 1 PD10NOV17	6426.00	6499.18	USD	1,829.50	
10AUG17	B 1 PD10NOV17	6422.50	6499.18	USD	1,917.00	
10AUG17	B 1 PD10NOV17	6431.50	6499.18	USD	1,692.00	
10AUG17	B 1 PD10NOV17	6429.50	6499.18	USD	1,742.00	
10AUG17	B 1 PD10NOV17	6419.50	6499.18	USD	1,992.00	
10AUG17	S 5 PD10NOV17	6405.00	6499.18	USD	-11,772.50	
10AUG17	S 1 PD10NOV17	6401.50	6499.18	USD	-2,442.00	
10AUG17	S 1 PD10NOV17	6398.50	6499.18	USD	-2,517.00	
10AUG17	S 1 PD10NOV17	6388.00	6499.18	USD	-2,779.50	
10AUG17	S 1 PD10NOV17	6389.50	6499.18	USD	-2,742.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
10AUG17	S 1 PD10NOV17	6414.00	6499.18	USD	-2,129.50	
10AUG17	B 1 PD10NOV17	6420.50	6499.18	USD	1,967.00	
10AUG17	B 2 PD10NOV17	6421.50	6499.18	USD	3,884.00	
10AUG17	B 1 PD10NOV17	6418.00	6499.18	USD	2,029.50	
10AUG17	B 1 PD10NOV17	6413.00	6499.18	USD	2,154.50	
10AUG17	B 4 PD10NOV17	6417.00	6499.18	USD	8,218.00	
10AUG17	B 2 PD10NOV17	6412.00	6499.18	USD	4,359.00	
11AUG17	S 4 PD10NOV17	6365.50	6499.18	USD	-13,368.00	
11AUG17	S 1 PD10NOV17	6367.00	6499.18	USD	-3,304.50	
11AUG17	S 2 PD10NOV17	6364.50	6499.18	USD	-6,734.00	
11AUG17	B 1 PD10NOV17	6364.00	6499.18	USD	3,379.50	
11AUG17	S 2 PD10NOV17	6362.50	6499.18	USD	-6,834.00	
11AUG17	S 1 PD10NOV17	6361.50	6499.18	USD	-3,442.00	
11AUG17	S 2 PD10NOV17	6353.50	6499.18	USD	-7,284.00	
11AUG17	S 1 PD10NOV17	6342.00	6499.18	USD	-3,929.50	
11AUG17	S 1 PD10NOV17	6349.50	6499.18	USD	-3,742.00	
11AUG17	S 1 PD10NOV17	6350.50	6499.18	USD	-3,717.00	
11AUG17	S 1 PD10NOV17	6346.00	6499.18	USD	-3,829.50	
11AUG17	S 4 PD10NOV17	6350.00	6499.18	USD	-14,918.00	
11AUG17	S 1 PD10NOV17	6367.50	6499.18	USD	-3,292.00	
11AUG17	B 1 PD10NOV17	6365.00	6499.18	USD	3,354.50	
11AUG17	B 2 PD10NOV17	6364.00	6499.18	USD	6,759.00	
11AUG17	S 2 PD10NOV17	6399.50	6499.18	USD	-4,984.00	
11AUG17	B 1 PD10NOV17	6397.00	6499.18	USD	2,554.50	
11AUG17	S 1 PD10NOV17	6417.50	6499.18	USD	-2,042.00	
11AUG17	B 1 PD10NOV17	6419.50	6499.18	USD	1,992.00	
11AUG17	B 1 PD10NOV17	6414.00	6499.18	USD	2,129.50	
11AUG17	S 1 PD10NOV17	6412.50	6499.18	USD	-2,167.00	
11AUG17	S 3 PD10NOV17	6415.00	6499.18	USD	-6,313.50	
11AUG17	S 1 PD10NOV17	6429.50	6499.18	USD	-1,742.00	
11AUG17	S 2 PD10NOV17	6424.50	6499.18	USD	-3,734.00	
11AUG17	S 1 PD10NOV17	6401.00	6499.18	USD	-2,454.50	
14AUG17	B 2 PD10NOV17	6395.78	6499.18	USD	5,170.00	
18AUG17	B 6 PD10NOV17	6486.75	6499.18	USD	1,864.50	
22AUG17	B 2 PD10NOV17	6579.45	6499.18	USD	-4,013.50	
22AUG17	B 6 PD10NOV17	6579.25	6499.18	USD	-12,010.50	
	NET 0 AVG BGHT 6454.49 SOLD 6384.33				**-75,424.00**	**0.00**
14AUG17	S 1 PD14NOV17	6397.00	6501.04	USD	-2,601.00	
14AUG17	S 1 PD14NOV17	6400.00	6501.04	USD	-2,526.00	
14AUG17	S 1 PD14NOV17	6401.50	6501.04	USD	-2,488.50	
14AUG17	S 1 PD14NOV17	6402.50	6501.04	USD	-2,463.50	
14AUG17	S 1 PD14NOV17	6405.00	6501.04	USD	-2,401.00	
14AUG17	S 1 PD14NOV17	6406.50	6501.04	USD	-2,363.50	
18AUG17	B 6 PD14NOV17	6488.00	6501.04	USD	1,956.00	
	NET 0 AVG BGHT 6488.00 SOLD 6402.08				**-12,887.50**	**0.00**
15AUG17	S 2 PD15NOV17	6395.50	6501.50	USD	-5,300.00	
15AUG17	B 1 PD15NOV17	6409.00	6501.50	USD	2,312.50	
15AUG17	B 1 PD15NOV17	6413.50	6501.50	USD	2,200.00	
15AUG17	B 2 PD15NOV17	6418.00	6501.50	USD	4,175.00	
15AUG17	B 1 PD15NOV17	6412.00	6501.50	USD	2,237.50	
15AUG17	B 1 PD15NOV17	6418.50	6501.50	USD	2,075.00	
15AUG17	B 2 PD15NOV17	6375.00	6501.50	USD	6,325.00	
15AUG17	B 1 PD15NOV17	6374.50	6501.50	USD	3,175.00	
15AUG17	B 1 PD15NOV17	6374.00	6501.50	USD	3,187.50	
15AUG17	S 1 PD15NOV17	6376.50	6501.50	USD	-3,125.00	
15AUG17	B 1 PD15NOV17	6365.00	6501.50	USD	3,412.50	
18AUG17	S 8 PD15NOV17	6487.80	6501.50	USD	-2,740.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT							
	NET	**0**	**AVG BGHT 6395.68 SOLD 6460.90**				**17,935.00**	**0.00**
16AUG17	B	1	PD16NOV17	6375.00	6501.00	USD	3,150.00	
16AUG17	B	1	PD16NOV17	6374.00	6501.00	USD	3,175.00	
16AUG17	B	1	PD16NOV17	6380.00	6501.00	USD	3,025.00	
16AUG17	B	1	PD16NOV17	6379.00	6501.00	USD	3,050.00	
16AUG17	B	2	PD16NOV17	6386.50	6501.00	USD	5,725.00	
16AUG17	S	1	PD16NOV17	6446.50	6501.00	USD	-1,362.50	
16AUG17	S	2	PD16NOV17	6456.00	6501.00	USD	-2,250.00	
16AUG17	S	1	PD16NOV17	6485.00	6501.00	USD	-400.00	
16AUG17	B	1	PD16NOV17	6494.50	6501.00	USD	162.50	
16AUG17	B	1	PD16NOV17	6493.00	6501.00	USD	200.00	
16AUG17	B	1	PD16NOV17	6509.50	6501.00	USD	-212.50	
16AUG17	B	1	PD16NOV17	6515.00	6501.00	USD	-350.00	
16AUG17	S	1	PD16NOV17	6531.00	6501.00	USD	750.00	
16AUG17	S	1	PD16NOV17	6533.50	6501.00	USD	812.50	
16AUG17	S	1	PD16NOV17	6534.00	6501.00	USD	825.00	
16AUG17	S	1	PD16NOV17	6537.00	6501.00	USD	900.00	
16AUG17	S	3	PD16NOV17	6541.00	6501.00	USD	3,000.00	
16AUG17	B	1	PD16NOV17	6546.00	6501.00	USD	-1,125.00	
16AUG17	S	1	PD16NOV17	6541.50	6501.00	USD	1,012.50	
16AUG17	S	2	PD16NOV17	6540.00	6501.00	USD	1,950.00	
16AUG17	S	1	PD16NOV17	6539.50	6501.00	USD	962.50	
16AUG17	S	1	PD16NOV17	6547.00	6501.00	USD	1,150.00	
16AUG17	B	1	PD16NOV17	6488.00	6501.00	USD	325.00	
16AUG17	B	2	PD16NOV17	6562.00	6501.00	USD	-3,050.00	
16AUG17	B	1	PD16NOV17	6538.00	6501.00	USD	-925.00	
16AUG17	B	1	PD16NOV17	6540.50	6501.00	USD	-987.50	
16AUG17	B	1	PD16NOV17	6539.00	6501.00	USD	-950.00	
18AUG17	S	1	PD16NOV17	6485.80	6501.00	USD	-380.00	
	NET	**0**	**AVG BGHT 6474.62 SOLD 6517.40**				**18,182.50**	**0.00**
17AUG17	B	1	PD17NOV17	6555.50	6501.60	USD	-1,347.50	
17AUG17	S	1	PD17NOV17	6550.00	6501.60	USD	1,210.00	
17AUG17	B	1	PD17NOV17	6555.00	6501.60	USD	-1,335.00	
17AUG17	B	1	PD17NOV17	6562.00	6501.60	USD	-1,510.00	
17AUG17	B	1	PD17NOV17	6567.00	6501.60	USD	-1,635.00	
17AUG17	B	1	PD17NOV17	6572.50	6501.60	USD	-1,772.50	
17AUG17	B	1	PD17NOV17	6575.00	6501.60	USD	-1,835.00	
17AUG17	B	1	PD17NOV17	6579.50	6501.60	USD	-1,947.50	
17AUG17	S	3	PD17NOV17	6545.00	6501.60	USD	3,255.00	
17AUG17	S	1	PD17NOV17	6548.00	6501.60	USD	1,160.00	
17AUG17	S	1	PD17NOV17	6549.00	6501.60	USD	1,185.00	
17AUG17	B	4	PD17NOV17	6548.50	6501.60	USD	-4,690.00	
17AUG17	B	1	PD17NOV17	6500.50	6501.60	USD	27.50	
17AUG17	B	1	PD17NOV17	6512.00	6501.60	USD	-260.00	
17AUG17	B	2	PD17NOV17	6513.50	6501.60	USD	-595.00	
17AUG17	B	1	PD17NOV17	6501.50	6501.60	USD	2.50	
17AUG17	B	1	PD17NOV17	6510.50	6501.60	USD	-222.50	
17AUG17	B	1	PD17NOV17	6507.00	6501.60	USD	-135.00	
17AUG17	B	1	PD17NOV17	6484.00	6501.60	USD	440.00	
17AUG17	B	1	PD17NOV17	6481.00	6501.60	USD	515.00	
17AUG17	B	1	PD17NOV17	6480.00	6501.60	USD	540.00	
17AUG17	B	2	PD17NOV17	6492.50	6501.60	USD	455.00	
17AUG17	B	1	PD17NOV17	6486.50	6501.60	USD	377.50	
17AUG17	B	1	PD17NOV17	6485.50	6501.60	USD	402.50	
17AUG17	B	1	PD17NOV17	6486.00	6501.60	USD	390.00	
17AUG17	B	1	PD17NOV17	6484.50	6501.60	USD	427.50	
17AUG17	B	1	PD17NOV17	6485.00	6501.60	USD	415.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
17AUG17	B 2 PD17NOV17	6477.50	6501.60	USD	1,205.00	
17AUG17	B 1 PD17NOV17	6477.00	6501.60	USD	615.00	
17AUG17	B 1 PD17NOV17	6476.00	6501.60	USD	640.00	
17AUG17	S 2 PD17NOV17	6488.50	6501.60	USD	-655.00	
17AUG17	B 1 PD17NOV17	6485.00	6501.60	USD	415.00	
18AUG17	B 1 PD17NOV17	6456.50	6501.60	USD	1,127.50	
18AUG17	B 1 PD17NOV17	6457.00	6501.60	USD	1,115.00	
18AUG17	B 1 PD17NOV17	6454.00	6501.60	USD	1,190.00	
18AUG17	B 1 PD17NOV17	6453.00	6501.60	USD	1,215.00	
18AUG17	B 1 PD17NOV17	6443.50	6501.60	USD	1,452.50	
18AUG17	S 1 PD17NOV17	6451.00	6501.60	USD	-1,265.00	
18AUG17	S 1 PD17NOV17	6454.50	6501.60	USD	-1,177.50	
18AUG17	S 1 PD17NOV17	6455.00	6501.60	USD	-1,165.00	
18AUG17	S 1 PD17NOV17	6452.00	6501.60	USD	-1,240.00	
18AUG17	B 1 PD17NOV17	6468.50	6501.60	USD	827.50	
18AUG17	B 1 PD17NOV17	6476.50	6501.60	USD	627.50	
18AUG17	S 1 PD17NOV17	6490.50	6501.60	USD	-277.50	
18AUG17	S 1 PD17NOV17	6492.00	6501.60	USD	-240.00	
18AUG17	S 1 PD17NOV17	6489.50	6501.60	USD	-302.50	
18AUG17	S 9 PD17NOV17	6496.00	6501.60	USD	-1,260.00	
18AUG17	S 1 PD17NOV17	6505.50	6501.60	USD	97.50	
18AUG17	S 1 PD17NOV17	6506.50	6501.60	USD	122.50	
18AUG17	S 1 PD17NOV17	6509.00	6501.60	USD	185.00	
18AUG17	S 1 PD17NOV17	6502.50	6501.60	USD	22.50	
18AUG17	S 4 PD17NOV17	6502.00	6501.60	USD	40.00	
18AUG17	B 1 PD17NOV17	6456.50	6501.60	USD	1,127.50	
18AUG17	B 1 PD17NOV17	6508.50	6501.60	USD	-172.50	
18AUG17	B 1 PD17NOV17	6507.00	6501.60	USD	-135.00	
18AUG17	S 5 PD17NOV17	6508.00	6501.60	USD	800.00	
18AUG17	S 3 PD17NOV17	6510.00	6501.60	USD	630.00	
18AUG17	S 4 PD17NOV17	6515.00	6501.60	USD	1,340.00	
18AUG17	B 1 PD17NOV17	6519.00	6501.60	USD	-435.00	
18AUG17	B 1 PD17NOV17	6520.00	6501.60	USD	-460.00	
18AUG17	B 1 PD17NOV17	6519.50	6501.60	USD	-447.50	
18AUG17	B 1 PD17NOV17	6516.00	6501.60	USD	-360.00	
18AUG17	B 1 PD17NOV17	6516.50	6501.60	USD	-372.50	
18AUG17	B 1 PD17NOV17	6518.00	6501.60	USD	-410.00	
18AUG17	B 1 PD17NOV17	6526.50	6501.60	USD	-622.50	
18AUG17	S 3 PD17NOV17	6524.00	6501.60	USD	1,680.00	
18AUG17	S 4 PD17NOV17	6530.00	6501.60	USD	2,840.00	
18AUG17	B 2 PD17NOV17	6533.50	6501.60	USD	-1,595.00	
18AUG17	B 1 PD17NOV17	6528.00	6501.60	USD	-660.00	
18AUG17	S 4 PD17NOV17	6528.50	6501.60	USD	2,690.00	
18AUG17	B 1 PD17NOV17	6517.50	6501.60	USD	-397.50	
18AUG17	B 1 PD17NOV17	6515.50	6501.60	USD	-347.50	
18AUG17	B 1 PD17NOV17	6513.50	6501.60	USD	-297.50	
18AUG17	S 1 PD17NOV17	6480.50	6501.60	USD	-527.50	
18AUG17	S 1 PD17NOV17	6473.50	6501.60	USD	-702.50	
18AUG17	S 2 PD17NOV17	6472.50	6501.60	USD	-1,455.00	
18AUG17	B 1 PD17NOV17	6480.50	6501.60	USD	527.50	
18AUG17	B 1 PD17NOV17	6490.50	6501.60	USD	277.50	
18AUG17	B 1 PD17NOV17	6489.00	6501.60	USD	315.00	
18AUG17	B 1 PD17NOV17	6486.50	6501.60	USD	377.50	
18AUG17	S 1 PD17NOV17	6508.00	6501.60	USD	160.00	
18AUG17	S 1 PD17NOV17	6510.00	6501.60	USD	210.00	
18AUG17	S 1 PD17NOV17	6524.00	6501.60	USD	560.00	
18AUG17	S 9 PD17NOV17	6485.80	6501.60	USD	-3,555.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
22AUG17	S 6 PD17NOV17	6578.76	6501.60	USD	11,574.00	
23AUG17	B 3 PD17NOV17	6563.69	6501.60	USD	-4,656.75	
30AUG17	B 18 PD17NOV17	6765.75	6501.60	USD	-118,867.50	
15SEP17	S 4 PD17NOV17	6495.41	6501.60	USD	-619.00	
	NET 0 AVG BGHT 6566.03 SOLD 6509.17				**-115,154.25**	**0.00**
21AUG17	B 2 PD21NOV17	6518.50	6504.00	USD	-725.00	
21AUG17	S 1 PD21NOV17	6517.50	6504.00	USD	337.50	
21AUG17	B 1 PD21NOV17	6570.50	6504.00	USD	-1,662.50	
21AUG17	B 1 PD21NOV17	6571.00	6504.00	USD	-1,675.00	
21AUG17	B 3 PD21NOV17	6571.50	6504.00	USD	-5,062.50	
21AUG17	B 1 PD21NOV17	6580.50	6504.00	USD	-1,912.50	
21AUG17	B 2 PD21NOV17	6583.00	6504.00	USD	-3,950.00	
21AUG17	B 1 PD21NOV17	6581.00	6504.00	USD	-1,925.00	
21AUG17	B 1 PD21NOV17	6584.50	6504.00	USD	-2,012.50	
21AUG17	B 1 PD21NOV17	6587.00	6504.00	USD	-2,075.00	
21AUG17	B 1 PD21NOV17	6584.00	6504.00	USD	-2,000.00	
21AUG17	B 2 PD21NOV17	6581.50	6504.00	USD	-3,875.00	
21AUG17	S 1 PD21NOV17	6559.50	6504.00	USD	1,387.50	
21AUG17	S 1 PD21NOV17	6540.00	6504.00	USD	900.00	
21AUG17	S 2 PD21NOV17	6543.00	6504.00	USD	1,950.00	
21AUG17	S 1 PD21NOV17	6547.50	6504.00	USD	1,087.50	
21AUG17	B 1 PD21NOV17	6563.50	6504.00	USD	-1,487.50	
21AUG17	S 1 PD21NOV17	6556.00	6504.00	USD	1,300.00	
21AUG17	S 2 PD21NOV17	6542.50	6504.00	USD	1,925.00	
21AUG17	S 1 PD21NOV17	6558.50	6504.00	USD	1,362.50	
21AUG17	S 1 PD21NOV17	6540.50	6504.00	USD	912.50	
21AUG17	B 2 PD21NOV17	6563.00	6504.00	USD	-2,950.00	
21AUG17	S 2 PD21NOV17	6557.00	6504.00	USD	2,650.00	
21AUG17	S 2 PD21NOV17	6543.00	6504.00	USD	1,950.00	
21AUG17	B 1 PD21NOV17	6553.50	6504.00	USD	-1,237.50	
21AUG17	B 2 PD21NOV17	6564.00	6504.00	USD	-3,000.00	
21AUG17	B 1 PD21NOV17	6561.00	6504.00	USD	-1,425.00	
21AUG17	B 2 PD21NOV17	6564.50	6504.00	USD	-3,025.00	
21AUG17	B 2 PD21NOV17	6565.50	6504.00	USD	-3,075.00	
21AUG17	B 2 PD21NOV17	6568.00	6504.00	USD	-3,200.00	
21AUG17	B 2 PD21NOV17	6566.50	6504.00	USD	-3,125.00	
21AUG17	B 1 PD21NOV17	6566.00	6504.00	USD	-1,550.00	
21AUG17	B 2 PD21NOV17	6567.50	6504.00	USD	-3,175.00	
21AUG17	B 1 PD21NOV17	6575.00	6504.00	USD	-1,775.00	
21AUG17	B 2 PD21NOV17	6586.50	6504.00	USD	-4,125.00	
21AUG17	B 1 PD21NOV17	6585.00	6504.00	USD	-2,025.00	
21AUG17	S 1 PD21NOV17	6571.50	6504.00	USD	1,687.50	
21AUG17	B 1 PD21NOV17	6612.50	6504.00	USD	-2,712.50	
21AUG17	B 1 PD21NOV17	6618.00	6504.00	USD	-2,850.00	
21AUG17	B 1 PD21NOV17	6620.00	6504.00	USD	-2,900.00	
21AUG17	S 1 PD21NOV17	6621.00	6504.00	USD	2,925.00	
21AUG17	B 1 PD21NOV17	6607.50	6504.00	USD	-2,587.50	
21AUG17	S 1 PD21NOV17	6600.00	6504.00	USD	2,400.00	
21AUG17	S 2 PD21NOV17	6582.50	6504.00	USD	3,925.00	
21AUG17	S 1 PD21NOV17	6566.50	6504.00	USD	1,562.50	
30AUG17	S 13 PD21NOV17	6766.55	6504.00	USD	85,328.75	
11SEP17	S 4 PD21NOV17	6738.67	6504.00	USD	23,467.00	
15SEP17	S 4 PD21NOV17	6497.07	6504.00	USD	-693.00	
	NET 0 AVG BGHT 6573.62 SOLD 6633.87				**63,265.25**	**0.00**
22AUG17	S 1 PD22NOV17	6594.00	6504.60	USD	2,235.00	
22AUG17	S 2 PD22NOV17	6569.00	6504.60	USD	3,220.00	
22AUG17	S 1 PD22NOV17	6563.00	6504.60	USD	1,460.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
22AUG17	S 1 PD22NOV17	6563.50	6504.60	USD	1,472.50	
22AUG17	S 2 PD22NOV17	6559.50	6504.60	USD	2,745.00	
22AUG17	S 1 PD22NOV17	6575.00	6504.60	USD	1,760.00	
22AUG17	B 1 PD22NOV17	6583.00	6504.60	USD	-1,960.00	
22AUG17	B 4 PD22NOV17	6592.50	6504.60	USD	-8,790.00	
22AUG17	B 4 PD22NOV17	6591.00	6504.60	USD	-8,640.00	
22AUG17	B 1 PD22NOV17	6586.50	6504.60	USD	-2,047.50	
22AUG17	B 1 PD22NOV17	6636.00	6504.60	USD	-3,285.00	
22AUG17	B 1 PD22NOV17	6638.50	6504.60	USD	-3,347.50	
22AUG17	B 1 PD22NOV17	6637.50	6504.60	USD	-3,322.50	
22AUG17	B 1 PD22NOV17	6636.50	6504.60	USD	-3,297.50	
22AUG17	S 1 PD22NOV17	6628.50	6504.60	USD	3,097.50	
22AUG17	B 1 PD22NOV17	6612.00	6504.60	USD	-2,685.00	
22AUG17	B 1 PD22NOV17	6623.50	6504.60	USD	-2,972.50	
22AUG17	B 1 PD22NOV17	6622.50	6504.60	USD	-2,947.50	
22AUG17	B 2 PD22NOV17	6626.00	6504.60	USD	-6,070.00	
22AUG17	B 1 PD22NOV17	6630.00	6504.60	USD	-3,135.00	
22AUG17	B 1 PD22NOV17	6628.00	6504.60	USD	-3,085.00	
22AUG17	S 1 PD22NOV17	6631.50	6504.60	USD	3,172.50	
22AUG17	S 1 PD22NOV17	6613.50	6504.60	USD	2,722.50	
22AUG17	S 1 PD22NOV17	6617.50	6504.60	USD	2,822.50	
22AUG17	S 1 PD22NOV17	6614.50	6504.60	USD	2,747.50	
22AUG17	S 1 PD22NOV17	6612.50	6504.60	USD	2,697.50	
22AUG17	S 1 PD22NOV17	6604.00	6504.60	USD	2,485.00	
22AUG17	S 2 PD22NOV17	6592.50	6504.60	USD	4,395.00	
22AUG17	S 1 PD22NOV17	6593.00	6504.60	USD	2,210.00	
22AUG17	S 2 PD22NOV17	6593.50	6504.60	USD	4,445.00	
22AUG17	S 1 PD22NOV17	6608.00	6504.60	USD	2,585.00	
22AUG17	S 1 PD22NOV17	6606.50	6504.60	USD	2,547.50	
22AUG17	S 1 PD22NOV17	6607.50	6504.60	USD	2,572.50	
22AUG17	S 1 PD22NOV17	6609.00	6504.60	USD	2,610.00	
22AUG17	S 2 PD22NOV17	6610.00	6504.60	USD	5,270.00	
22AUG17	S 2 PD22NOV17	6611.00	6504.60	USD	5,320.00	
22AUG17	S 1 PD22NOV17	6597.00	6504.60	USD	2,310.00	
22AUG17	S 1 PD22NOV17	6595.00	6504.60	USD	2,260.00	
22AUG17	B 1 PD22NOV17	6582.50	6504.60	USD	-1,947.50	
22AUG17	B 2 PD22NOV17	6580.00	6504.60	USD	-3,770.00	
22AUG17	B 1 PD22NOV17	6578.50	6504.60	USD	-1,847.50	
22AUG17	B 3 PD22NOV17	6583.50	6504.60	USD	-5,917.50	
22AUG17	B 2 PD22NOV17	6585.00	6504.60	USD	-4,020.00	
22AUG17	B 2 PD22NOV17	6590.00	6504.60	USD	-4,270.00	
22AUG17	B 1 PD22NOV17	6588.50	6504.60	USD	-2,097.50	
22AUG17	B 1 PD22NOV17	6569.50	6504.60	USD	-1,622.50	
22AUG17	B 2 PD22NOV17	6574.50	6504.60	USD	-3,495.00	
22AUG17	B 1 PD22NOV17	6576.50	6504.60	USD	-1,797.50	
22AUG17	S 1 PD22NOV17	6561.50	6504.60	USD	1,422.50	
22AUG17	S 1 PD22NOV17	6562.00	6504.60	USD	1,435.00	
22AUG17	S 1 PD22NOV17	6567.00	6504.60	USD	1,560.00	
22AUG17	S 1 PD22NOV17	6567.50	6504.60	USD	1,572.50	
22AUG17	S 1 PD22NOV17	6579.00	6504.60	USD	1,860.00	
23AUG17	S 3 PD22NOV17	6564.43	6504.60	USD	4,487.25	
30AUG17	B 1 PD22NOV17	6767.00	6504.60	USD	-6,560.00	
	NET 0 AVG BGHT 6602.42 SOLD 6590.39				**-11,430.25**	**0.00**
23AUG17	S 1 PD24NOV17	6580.50	6505.80	USD	1,867.50	
23AUG17	B 3 PD24NOV17	6558.00	6505.80	USD	-3,915.00	
23AUG17	B 1 PD24NOV17	6558.50	6505.80	USD	-1,317.50	
23AUG17	B 1 PD24NOV17	6555.50	6505.80	USD	-1,242.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
23AUG17	B 1 PD24NOV17	6552.50	6505.80	USD	-1,167.50	
23AUG17	B 1 PD24NOV17	6548.50	6505.80	USD	-1,067.50	
23AUG17	B 1 PD24NOV17	6541.50	6505.80	USD	-892.50	
23AUG17	S 1 PD24NOV17	6540.00	6505.80	USD	855.00	
23AUG17	S 1 PD24NOV17	6543.00	6505.80	USD	930.00	
23AUG17	B 1 PD24NOV17	6549.50	6505.80	USD	-1,092.50	
23AUG17	B 1 PD24NOV17	6554.00	6505.80	USD	-1,205.00	
23AUG17	B 2 PD24NOV17	6552.00	6505.80	USD	-2,310.00	
23AUG17	B 1 PD24NOV17	6570.50	6505.80	USD	-1,617.50	
23AUG17	S 1 PD24NOV17	6563.00	6505.80	USD	1,430.00	
23AUG17	S 1 PD24NOV17	6566.50	6505.80	USD	1,517.50	
23AUG17	S 1 PD24NOV17	6565.00	6505.80	USD	1,480.00	
23AUG17	B 1 PD24NOV17	6564.50	6505.80	USD	-1,467.50	
23AUG17	B 3 PD24NOV17	6565.00	6505.80	USD	-4,440.00	
23AUG17	B 1 PD24NOV17	6539.50	6505.80	USD	-842.50	
23AUG17	B 1 PD24NOV17	6573.00	6505.80	USD	-1,680.00	
23AUG17	S 2 PD24NOV17	6570.00	6505.80	USD	3,210.00	
24AUG17	S 4 PD24NOV17	6606.00	6505.80	USD	10,020.00	
24AUG17	S 1 PD24NOV17	6610.00	6505.80	USD	2,605.00	
24AUG17	S 5 PD24NOV17	6608.50	6505.80	USD	12,837.50	
24AUG17	B 1 PD24NOV17	6634.50	6505.80	USD	-3,217.50	
24AUG17	B 2 PD24NOV17	6634.00	6505.80	USD	-6,410.00	
24AUG17	B 2 PD24NOV17	6629.50	6505.80	USD	-6,185.00	
24AUG17	B 1 PD24NOV17	6628.00	6505.80	USD	-3,055.00	
24AUG17	B 1 PD24NOV17	6627.50	6505.80	USD	-3,042.50	
24AUG17	B 2 PD24NOV17	6635.00	6505.80	USD	-6,460.00	
24AUG17	B 1 PD24NOV17	6635.50	6505.80	USD	-3,242.50	
24AUG17	B 1 PD24NOV17	6639.00	6505.80	USD	-3,330.00	
24AUG17	B 2 PD24NOV17	6629.00	6505.80	USD	-6,160.00	
24AUG17	S 2 PD24NOV17	6604.50	6505.80	USD	4,935.00	
24AUG17	S 1 PD24NOV17	6602.50	6505.80	USD	2,417.50	
24AUG17	S 1 PD24NOV17	6603.00	6505.80	USD	2,430.00	
24AUG17	S 1 PD24NOV17	6616.50	6505.80	USD	2,767.50	
24AUG17	S 2 PD24NOV17	6619.00	6505.80	USD	5,660.00	
24AUG17	S 1 PD24NOV17	6617.00	6505.80	USD	2,780.00	
24AUG17	S 1 PD24NOV17	6631.50	6505.80	USD	3,142.50	
24AUG17	S 1 PD24NOV17	6623.50	6505.80	USD	2,942.50	
24AUG17	S 1 PD24NOV17	6620.00	6505.80	USD	2,855.00	
24AUG17	S 2 PD24NOV17	6621.50	6505.80	USD	5,785.00	
24AUG17	S 2 PD24NOV17	6621.00	6505.80	USD	5,760.00	
24AUG17	S 1 PD24NOV17	6628.00	6505.80	USD	3,055.00	
24AUG17	S 1 PD24NOV17	6634.50	6505.80	USD	3,217.50	
24AUG17	S 2 PD24NOV17	6630.50	6505.80	USD	6,235.00	
24AUG17	S 1 PD24NOV17	6627.00	6505.80	USD	3,030.00	
24AUG17	S 1 PD24NOV17	6630.00	6505.80	USD	3,105.00	
24AUG17	S 1 PD24NOV17	6668.00	6505.80	USD	4,055.00	
24AUG17	S 1 PD24NOV17	6668.50	6505.80	USD	4,067.50	
24AUG17	S 1 PD24NOV17	6669.00	6505.80	USD	4,080.00	
24AUG17	S 1 PD24NOV17	6683.00	6505.80	USD	4,430.00	
24AUG17	S 10 PD24NOV17	6669.50	6505.80	USD	40,925.00	
24AUG17	S 1 PD24NOV17	6674.50	6505.80	USD	4,217.50	
24AUG17	S 1 PD24NOV17	6675.50	6505.80	USD	4,242.50	
24AUG17	S 10 PD24NOV17	6680.00	6505.80	USD	43,550.00	
24AUG17	S 1 PD24NOV17	6700.00	6505.80	USD	4,855.00	
24AUG17	S 1 PD24NOV17	6706.00	6505.80	USD	5,005.00	
24AUG17	B 1 PD24NOV17	6708.50	6505.80	USD	-5,067.50	
24AUG17	S 1 PD24NOV17	6705.50	6505.80	USD	4,992.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT							
24AUG17	B	5	PD24NOV17	6710.50	6505.80	USD	-25,587.50	
24AUG17	B	5	PD24NOV17	6701.00	6505.80	USD	-24,400.00	
24AUG17	B	5	PD24NOV17	6695.50	6505.80	USD	-23,712.50	
24AUG17	B	5	PD24NOV17	6693.00	6505.80	USD	-23,400.00	
24AUG17	S	2	PD24NOV17	6693.50	6505.80	USD	9,385.00	
24AUG17	S	1	PD24NOV17	6694.00	6505.80	USD	4,705.00	
24AUG17	S	2	PD24NOV17	6692.50	6505.80	USD	9,335.00	
24AUG17	S	1	PD24NOV17	6696.00	6505.80	USD	4,755.00	
24AUG17	S	1	PD24NOV17	6698.00	6505.80	USD	4,805.00	
24AUG17	S	1	PD24NOV17	6697.50	6505.80	USD	4,792.50	
24AUG17	S	1	PD24NOV17	6696.50	6505.80	USD	4,767.50	
25AUG17	S	1	PD24NOV17	6702.00	6505.80	USD	4,905.00	
25AUG17	S	1	PD24NOV17	6699.00	6505.80	USD	4,830.00	
25AUG17	S	1	PD24NOV17	6700.50	6505.80	USD	4,867.50	
25AUG17	S	1	PD24NOV17	6699.50	6505.80	USD	4,842.50	
25AUG17	S	1	PD24NOV17	6703.50	6505.80	USD	4,942.50	
25AUG17	S	1	PD24NOV17	6724.00	6505.80	USD	5,455.00	
25AUG17	S	1	PD24NOV17	6724.50	6505.80	USD	5,467.50	
25AUG17	S	1	PD24NOV17	6700.00	6505.80	USD	4,855.00	
25AUG17	B	1	PD24NOV17	6732.00	6505.80	USD	-5,655.00	
25AUG17	B	1	PD24NOV17	6728.50	6505.80	USD	-5,567.50	
25AUG17	S	4	PD24NOV17	6672.50	6505.80	USD	16,670.00	
30AUG17	B	14	PD24NOV17	6767.50	6505.80	USD	-91,595.00	
31AUG17	B	4	PD24NOV17	6786.50	6505.80	USD	-28,070.00	
11SEP17	B	4	PD24NOV17	6740.17	6505.80	USD	-23,437.00	
15SEP17	B	8	PD24NOV17	6498.51	6505.80	USD	1,458.00	
15SEP17	B	4	PD24NOV17	6498.31	6505.80	USD	749.00	
	NET	**0**	**AVG BGHT 6649.46 SOLD 6649.92**				**1,025.00**	**0.00**
29AUG17	S	1	PD29NOV17	6792.00	6508.80	USD	7,080.00	
29AUG17	B	1	PD29NOV17	6771.50	6508.80	USD	-6,567.50	
29AUG17	B	1	PD29NOV17	6765.50	6508.80	USD	-6,417.50	
29AUG17	B	1	PD29NOV17	6766.00	6508.80	USD	-6,430.00	
29AUG17	B	6	PD29NOV17	6764.50	6508.80	USD	-38,355.00	
29AUG17	S	2	PD29NOV17	6791.00	6508.80	USD	14,110.00	
29AUG17	S	1	PD29NOV17	6792.50	6508.80	USD	7,092.50	
29AUG17	B	2	PD29NOV17	6764.00	6508.80	USD	-12,760.00	
29AUG17	B	1	PD29NOV17	6763.50	6508.80	USD	-6,367.50	
29AUG17	B	1	PD29NOV17	6770.00	6508.80	USD	-6,530.00	
29AUG17	S	1	PD29NOV17	6823.00	6508.80	USD	7,855.00	
29AUG17	B	1	PD29NOV17	6811.00	6508.80	USD	-7,555.00	
29AUG17	B	4	PD29NOV17	6812.00	6508.80	USD	-30,320.00	
29AUG17	B	3	PD29NOV17	6811.50	6508.80	USD	-22,702.50	
29AUG17	B	1	PD29NOV17	6802.00	6508.80	USD	-7,330.00	
29AUG17	B	1	PD29NOV17	6807.00	6508.80	USD	-7,455.00	
29AUG17	S	1	PD29NOV17	6815.00	6508.80	USD	7,655.00	
29AUG17	B	1	PD29NOV17	6822.50	6508.80	USD	-7,842.50	
29AUG17	B	3	PD29NOV17	6823.50	6508.80	USD	-23,602.50	
30AUG17	S	22	PD29NOV17	6768.55	6508.80	USD	142,862.50	
31AUG17	B	1	PD29NOV17	6787.75	6508.80	USD	-6,973.75	
	NET	**0**	**AVG BGHT 6790.53 SOLD 6775.45**				**-10,553.75**	**0.00**
30AUG17	B	1	PD30NOV17	6787.00	6509.40	USD	-6,940.00	
30AUG17	B	1	PD30NOV17	6784.50	6509.40	USD	-6,877.50	
30AUG17	B	1	PD30NOV17	6776.00	6509.40	USD	-6,665.00	
30AUG17	B	1	PD30NOV17	6774.50	6509.40	USD	-6,627.50	
30AUG17	S	2	PD30NOV17	6814.50	6509.40	USD	15,255.00	
30AUG17	S	2	PD30NOV17	6814.00	6509.40	USD	15,230.00	
30AUG17	B	1	PD30NOV17	6814.50	6509.40	USD	-7,627.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
30AUG17	B 1 PD30NOV17	6766.00	6509.40	USD	-6,415.00	
30AUG17	B 2 PD30NOV17	6769.50	6509.40	USD	-13,005.00	
30AUG17	B 1 PD30NOV17	6769.50	6509.40	USD	-6,502.50	
31AUG17	S 4 PD30NOV17	6805.00	6509.40	USD	29,560.00	
31AUG17	S 1 PD30NOV17	6799.00	6509.40	USD	7,240.00	
31AUG17	B 2 PD30NOV17	6801.00	6509.40	USD	-14,580.00	
31AUG17	B 1 PD30NOV17	6803.50	6509.40	USD	-7,352.50	
31AUG17	B 1 PD30NOV17	6804.00	6509.40	USD	-7,365.00	
31AUG17	B 1 PD30NOV17	6799.00	6509.40	USD	-7,240.00	
31AUG17	B 1 PD30NOV17	6807.50	6509.40	USD	-7,452.50	
31AUG17	B 1 PD30NOV17	6789.50	6509.40	USD	-7,002.50	
31AUG17	S 2 PD30NOV17	6783.00	6509.40	USD	13,680.00	
31AUG17	B 1 PD30NOV17	6797.00	6509.40	USD	-7,190.00	
31AUG17	B 1 PD30NOV17	6790.50	6509.40	USD	-7,027.50	
31AUG17	B 2 PD30NOV17	6790.00	6509.40	USD	-14,030.00	
31AUG17	B 1 PD30NOV17	6786.50	6509.40	USD	-6,927.50	
31AUG17	B 1 PD30NOV17	6788.50	6509.40	USD	-6,977.50	
31AUG17	B 2 PD30NOV17	6788.00	6509.40	USD	-13,930.00	
31AUG17	B 1 PD30NOV17	6784.50	6509.40	USD	-6,877.50	
31AUG17	B 3 PD30NOV17	6785.00	6509.40	USD	-20,670.00	
31AUG17	B 1 PD30NOV17	6776.50	6509.40	USD	-6,677.50	
31AUG17	S 5 PD30NOV17	6787.80	6509.40	USD	34,800.00	
31AUG17	B 2 PD30NOV17	6791.50	6509.40	USD	-14,105.00	
31AUG17	B 1 PD30NOV17	6785.00	6509.40	USD	-6,890.00	
11SEP17	S 16 PD30NOV17	6742.57	6509.40	USD	93,268.00	
	NET 0 AVG BGHT 6788.09 SOLD 6770.69				**-13,922.00**	**0.00**
01SEP17	S 2 PD01DEC17	6803.50	6510.00	USD	14,675.00	
01SEP17	S 4 PD01DEC17	6804.00	6510.00	USD	29,400.00	
01SEP17	S 3 PD01DEC17	6805.50	6510.00	USD	22,162.50	
01SEP17	S 2 PD01DEC17	6806.00	6510.00	USD	14,800.00	
01SEP17	B 1 PD01DEC17	6804.00	6510.00	USD	-7,350.00	
01SEP17	B 2 PD01DEC17	6798.00	6510.00	USD	-14,400.00	
01SEP17	B 1 PD01DEC17	6797.50	6510.00	USD	-7,187.50	
01SEP17	B 1 PD01DEC17	6794.00	6510.00	USD	-7,100.00	
01SEP17	B 1 PD01DEC17	6796.00	6510.00	USD	-7,150.00	
01SEP17	B 1 PD01DEC17	6799.50	6510.00	USD	-7,237.50	
01SEP17	B 1 PD01DEC17	6799.00	6510.00	USD	-7,225.00	
01SEP17	S 1 PD01DEC17	6805.00	6510.00	USD	7,375.00	
01SEP17	S 2 PD01DEC17	6802.50	6510.00	USD	14,625.00	
01SEP17	B 1 PD01DEC17	6805.50	6510.00	USD	-7,387.50	
01SEP17	S 4 PD01DEC17	6812.50	6510.00	USD	30,250.00	
01SEP17	S 1 PD01DEC17	6809.00	6510.00	USD	7,475.00	
01SEP17	S 1 PD01DEC17	6811.50	6510.00	USD	7,537.50	
01SEP17	S 1 PD01DEC17	6802.50	6510.00	USD	7,312.50	
01SEP17	B 1 PD01DEC17	6802.50	6510.00	USD	-7,312.50	
01SEP17	B 1 PD01DEC17	6800.50	6510.00	USD	-7,262.50	
01SEP17	B 2 PD01DEC17	6801.00	6510.00	USD	-14,550.00	
01SEP17	B 1 PD01DEC17	6803.00	6510.00	USD	-7,325.00	
01SEP17	B 1 PD01DEC17	6815.50	6510.00	USD	-7,637.50	
01SEP17	B 1 PD01DEC17	6813.50	6510.00	USD	-7,587.50	
01SEP17	B 1 PD01DEC17	6814.50	6510.00	USD	-7,612.50	
01SEP17	B 1 PD01DEC17	6814.00	6510.00	USD	-7,600.00	
01SEP17	B 1 PD01DEC17	6810.50	6510.00	USD	-7,512.50	
01SEP17	B 1 PD01DEC17	6811.50	6510.00	USD	-7,537.50	
01SEP17	B 2 PD01DEC17	6811.00	6510.00	USD	-15,050.00	
01SEP17	B 4 PD01DEC17	6813.00	6510.00	USD	-30,300.00	
01SEP17	B 1 PD01DEC17	6812.50	6510.00	USD	-7,562.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT							
01SEP17	S	1	PD01DEC17	6825.00	6510.00	USD	7,875.00	
01SEP17	S	3	PD01DEC17	6823.00	6510.00	USD	23,475.00	
01SEP17	S	1	PD01DEC17	6817.50	6510.00	USD	7,687.50	
01SEP17	B	1	PD01DEC17	6817.50	6510.00	USD	-7,687.50	
01SEP17	S	1	PD01DEC17	6818.50	6510.00	USD	7,712.50	
01SEP17	S	1	PD01DEC17	6821.50	6510.00	USD	7,787.50	
01SEP17	S	2	PD01DEC17	6821.00	6510.00	USD	15,550.00	
11SEP17	B	4	PD01DEC17	6743.20	6510.00	USD	-23,320.00	
22SEP17	S	2	PD01DEC17	6450.50	6510.00	USD	-2,975.00	
	NET	**0**	**AVG BGHT 6798.62**	**SOLD 6788.41**			**-8,170.00**	**0.00**
04SEP17	S	1	PD04DEC17	6869.00	6511.29	USD	8,942.75	
04SEP17	S	1	PD04DEC17	6866.50	6511.29	USD	8,880.25	
04SEP17	S	1	PD04DEC17	6866.00	6511.29	USD	8,867.75	
04SEP17	S	1	PD04DEC17	6870.00	6511.29	USD	8,967.75	
04SEP17	S	1	PD04DEC17	6875.00	6511.29	USD	9,092.75	
04SEP17	S	1	PD04DEC17	6874.50	6511.29	USD	9,080.25	
04SEP17	S	1	PD04DEC17	6861.50	6511.29	USD	8,755.25	
04SEP17	S	1	PD04DEC17	6863.00	6511.29	USD	8,792.75	
04SEP17	S	2	PD04DEC17	6863.50	6511.29	USD	17,610.50	
04SEP17	S	1	PD04DEC17	6865.50	6511.29	USD	8,855.25	
04SEP17	S	1	PD04DEC17	6872.00	6511.29	USD	9,017.75	
04SEP17	S	1	PD04DEC17	6876.00	6511.29	USD	9,117.75	
04SEP17	S	1	PD04DEC17	6883.50	6511.29	USD	9,305.25	
04SEP17	B	1	PD04DEC17	6894.00	6511.29	USD	-9,567.75	
04SEP17	B	1	PD04DEC17	6894.50	6511.29	USD	-9,580.25	
04SEP17	B	1	PD04DEC17	6891.50	6511.29	USD	-9,505.25	
04SEP17	S	2	PD04DEC17	6907.00	6511.29	USD	19,785.50	
04SEP17	B	1	PD04DEC17	6905.00	6511.29	USD	-9,842.75	
04SEP17	B	1	PD04DEC17	6904.50	6511.29	USD	-9,830.25	
04SEP17	B	2	PD04DEC17	6911.00	6511.29	USD	-19,985.50	
04SEP17	S	1	PD04DEC17	6910.00	6511.29	USD	9,967.75	
04SEP17	S	3	PD04DEC17	6912.00	6511.29	USD	30,053.25	
04SEP17	B	1	PD04DEC17	6921.50	6511.29	USD	-10,255.25	
04SEP17	B	1	PD04DEC17	6909.50	6511.29	USD	-9,955.25	
04SEP17	B	1	PD04DEC17	6910.50	6511.29	USD	-9,980.25	
04SEP17	B	2	PD04DEC17	6912.50	6511.29	USD	-20,060.50	
04SEP17	B	1	PD04DEC17	6913.00	6511.29	USD	-10,042.75	
11SEP17	B	8	PD04DEC17	6744.70	6511.29	USD	-46,682.00	
22SEP17	S	1	PD04DEC17	6452.13	6511.29	USD	-1,479.00	
	NET	**0**	**AVG BGHT 6845.17**	**SOLD 6861.03**			**8,325.75**	**0.00**
05SEP17	S	1	PD05DEC17	6931.50	6511.71	USD	10,494.75	
05SEP17	S	1	PD05DEC17	6930.50	6511.71	USD	10,469.75	
05SEP17	S	2	PD05DEC17	6929.00	6511.71	USD	20,864.50	
05SEP17	S	3	PD05DEC17	6928.50	6511.71	USD	31,259.25	
05SEP17	B	1	PD05DEC17	6936.50	6511.71	USD	-10,619.75	
05SEP17	B	1	PD05DEC17	6937.00	6511.71	USD	-10,632.25	
05SEP17	B	1	PD05DEC17	6941.00	6511.71	USD	-10,732.25	
05SEP17	B	1	PD05DEC17	6944.00	6511.71	USD	-10,807.25	
05SEP17	B	1	PD05DEC17	6943.00	6511.71	USD	-10,782.25	
05SEP17	B	1	PD05DEC17	6939.00	6511.71	USD	-10,682.25	
05SEP17	B	2	PD05DEC17	6938.00	6511.71	USD	-21,314.50	
05SEP17	B	1	PD05DEC17	6942.00	6511.71	USD	-10,757.25	
05SEP17	B	2	PD05DEC17	6942.50	6511.71	USD	-21,539.50	
05SEP17	B	1	PD05DEC17	6940.50	6511.71	USD	-10,719.75	
05SEP17	B	1	PD05DEC17	6940.00	6511.71	USD	-10,707.25	
05SEP17	B	1	PD05DEC17	6939.50	6511.71	USD	-10,694.75	
05SEP17	S	1	PD05DEC17	6941.00	6511.71	USD	10,732.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
05SEP17	B 1 PD05DEC17	6941.50	6511.71	USD	-10,744.75	
05SEP17	S 1 PD05DEC17	6940.50	6511.71	USD	10,719.75	
05SEP17	S 1 PD05DEC17	6940.00	6511.71	USD	10,707.25	
05SEP17	S 2 PD05DEC17	6935.50	6511.71	USD	21,189.50	
05SEP17	S 1 PD05DEC17	6934.00	6511.71	USD	10,557.25	
05SEP17	S 1 PD05DEC17	6933.00	6511.71	USD	10,532.25	
05SEP17	S 3 PD05DEC17	6932.00	6511.71	USD	31,521.75	
05SEP17	S 5 PD05DEC17	6932.50	6511.71	USD	52,598.75	
05SEP17	S 1 PD05DEC17	6939.50	6511.71	USD	10,694.75	
05SEP17	B 1 PD05DEC17	6949.50	6511.71	USD	-10,944.75	
05SEP17	B 2 PD05DEC17	6959.00	6511.71	USD	-22,364.50	
05SEP17	B 1 PD05DEC17	6964.50	6511.71	USD	-11,319.75	
05SEP17	B 1 PD05DEC17	6968.00	6511.71	USD	-11,407.25	
05SEP17	B 1 PD05DEC17	6965.50	6511.71	USD	-11,344.75	
05SEP17	B 2 PD05DEC17	6964.00	6511.71	USD	-22,614.50	
05SEP17	B 2 PD05DEC17	6966.00	6511.71	USD	-22,714.50	
05SEP17	B 1 PD05DEC17	6961.00	6511.71	USD	-11,232.25	
05SEP17	S 1 PD05DEC17	6959.50	6511.71	USD	11,194.75	
05SEP17	B 2 PD05DEC17	6958.50	6511.71	USD	-22,339.50	
05SEP17	B 2 PD05DEC17	6957.00	6511.71	USD	-22,264.50	
05SEP17	B 1 PD05DEC17	6958.00	6511.71	USD	-11,157.25	
05SEP17	B 1 PD05DEC17	6959.50	6511.71	USD	-11,194.75	
05SEP17	B 2 PD05DEC17	6960.00	6511.71	USD	-22,414.50	
05SEP17	B 2 PD05DEC17	6956.50	6511.71	USD	-22,239.50	
05SEP17	B 2 PD05DEC17	6957.50	6511.71	USD	-22,289.50	
05SEP17	S 1 PD05DEC17	6930.00	6511.71	USD	10,457.25	
05SEP17	S 1 PD05DEC17	6928.50	6511.71	USD	10,419.75	
05SEP17	B 1 PD05DEC17	6938.00	6511.71	USD	-10,657.25	
05SEP17	B 2 PD05DEC17	6958.00	6511.71	USD	-22,314.50	
05SEP17	B 1 PD05DEC17	6921.50	6511.71	USD	-10,244.75	
05SEP17	B 1 PD05DEC17	6923.50	6511.71	USD	-10,294.75	
05SEP17	B 1 PD05DEC17	6915.00	6511.71	USD	-10,082.25	
05SEP17	B 1 PD05DEC17	6916.50	6511.71	USD	-10,119.75	
05SEP17	B 2 PD05DEC17	6915.50	6511.71	USD	-20,189.50	
05SEP17	B 1 PD05DEC17	6919.00	6511.71	USD	-10,182.25	
05SEP17	B 1 PD05DEC17	6917.50	6511.71	USD	-10,144.75	
05SEP17	B 1 PD05DEC17	6919.50	6511.71	USD	-10,194.75	
05SEP17	B 1 PD05DEC17	6920.50	6511.71	USD	-10,219.75	
05SEP17	B 1 PD05DEC17	6925.50	6511.71	USD	-10,344.75	
05SEP17	S 1 PD05DEC17	6919.50	6511.71	USD	10,194.75	
05SEP17	B 2 PD05DEC17	6916.00	6511.71	USD	-20,214.50	
05SEP17	S 1 PD05DEC17	6920.50	6511.71	USD	10,219.75	
05SEP17	S 1 PD05DEC17	6923.00	6511.71	USD	10,282.25	
05SEP17	S 1 PD05DEC17	6924.50	6511.71	USD	10,319.75	
05SEP17	B 1 PD05DEC17	6905.50	6511.71	USD	-9,844.75	
05SEP17	B 1 PD05DEC17	6902.50	6511.71	USD	-9,769.75	
05SEP17	B 1 PD05DEC17	6884.50	6511.71	USD	-9,319.75	
05SEP17	B 2 PD05DEC17	6885.50	6511.71	USD	-18,689.50	
05SEP17	B 2 PD05DEC17	6886.00	6511.71	USD	-18,714.50	
11SEP17	S 26 PD05DEC17	6745.00	6511.71	USD	151,638.50	
15SEP17	S 4 PD05DEC17	6502.66	6511.71	USD	-905.00	
22SEP17	S 1 PD05DEC17	6452.67	6511.71	USD	-1,476.00	
	NET 0 AVG BGHT 6938.02 SOLD 6816.42				**-185,429.75**	**0.00**
06SEP17	B 3 PD06DEC17	6898.50	6512.14	USD	-28,977.00	
06SEP17	S 1 PD06DEC17	6896.50	6512.14	USD	9,609.00	
06SEP17	S 13 PD06DEC17	6898.00	6512.14	USD	125,404.50	
06SEP17	S 3 PD06DEC17	6900.00	6512.14	USD	29,089.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
06SEP17	S 1 PD06DEC17	6900.50	6512.14	USD	9,709.00	
06SEP17	S 1 PD06DEC17	6904.50	6512.14	USD	9,809.00	
06SEP17	S 1 PD06DEC17	6906.50	6512.14	USD	9,859.00	
06SEP17	S 3 PD06DEC17	6909.50	6512.14	USD	29,802.00	
06SEP17	S 1 PD06DEC17	6913.00	6512.14	USD	10,021.50	
06SEP17	S 3 PD06DEC17	6911.50	6512.14	USD	29,952.00	
06SEP17	S 5 PD06DEC17	6911.00	6512.14	USD	49,857.50	
06SEP17	S 3 PD06DEC17	6912.00	6512.14	USD	29,989.50	
06SEP17	S 2 PD06DEC17	6912.50	6512.14	USD	20,018.00	
06SEP17	S 1 PD06DEC17	6906.00	6512.14	USD	9,846.50	
06SEP17	S 1 PD06DEC17	6910.00	6512.14	USD	9,946.50	
06SEP17	S 5 PD06DEC17	6905.50	6512.14	USD	49,170.00	
06SEP17	S 1 PD06DEC17	6907.50	6512.14	USD	9,884.00	
06SEP17	S 4 PD06DEC17	6909.00	6512.14	USD	39,686.00	
06SEP17	B 2 PD06DEC17	6890.00	6512.14	USD	-18,893.00	
06SEP17	B 1 PD06DEC17	6891.50	6512.14	USD	-9,484.00	
06SEP17	B 2 PD06DEC17	6901.00	6512.14	USD	-19,443.00	
06SEP17	B 2 PD06DEC17	6899.50	6512.14	USD	-19,368.00	
06SEP17	B 2 PD06DEC17	6877.00	6512.14	USD	-18,243.00	
06SEP17	B 1 PD06DEC17	6876.00	6512.14	USD	-9,096.50	
06SEP17	B 1 PD06DEC17	6889.50	6512.14	USD	-9,434.00	
06SEP17	B 3 PD06DEC17	6898.00	6512.14	USD	-28,939.50	
06SEP17	B 5 PD06DEC17	6896.00	6512.14	USD	-47,982.50	
06SEP17	B 1 PD06DEC17	6896.50	6512.14	USD	-9,609.00	
06SEP17	B 3 PD06DEC17	6895.50	6512.14	USD	-28,752.00	
06SEP17	B 1 PD06DEC17	6898.00	6512.14	USD	-9,646.50	
06SEP17	B 1 PD06DEC17	6895.50	6512.14	USD	-9,584.00	
11SEP17	B 25 PD06DEC17	6745.70	6512.14	USD	-145,975.00	
15SEP17	S 4 PD06DEC17	6503.28	6512.14	USD	-886.00	
	NET 0 AVG BGHT 6824.16 SOLD 6874.98				**67,340.50**	**0.00**
07SEP17	S 1 PD07DEC17	6885.50	6512.57	USD	9,323.25	
07SEP17	S 1 PD07DEC17	6878.00	6512.57	USD	9,135.75	
07SEP17	S 1 PD07DEC17	6875.50	6512.57	USD	9,073.25	
07SEP17	S 2 PD07DEC17	6876.00	6512.57	USD	18,171.50	
07SEP17	S 1 PD07DEC17	6872.50	6512.57	USD	8,998.25	
07SEP17	S 1 PD07DEC17	6868.50	6512.57	USD	8,898.25	
07SEP17	S 1 PD07DEC17	6869.00	6512.57	USD	8,910.75	
07SEP17	S 2 PD07DEC17	6870.50	6512.57	USD	17,896.50	
07SEP17	S 1 PD07DEC17	6858.50	6512.57	USD	8,648.25	
07SEP17	S 1 PD07DEC17	6859.00	6512.57	USD	8,660.75	
07SEP17	B 1 PD07DEC17	6884.50	6512.57	USD	-9,298.25	
07SEP17	B 1 PD07DEC17	6880.50	6512.57	USD	-9,198.25	
07SEP17	B 1 PD07DEC17	6879.50	6512.57	USD	-9,173.25	
07SEP17	B 1 PD07DEC17	6880.00	6512.57	USD	-9,185.75	
07SEP17	B 1 PD07DEC17	6882.50	6512.57	USD	-9,248.25	
07SEP17	B 4 PD07DEC17	6883.50	6512.57	USD	-37,093.00	
07SEP17	B 1 PD07DEC17	6889.00	6512.57	USD	-9,410.75	
07SEP17	B 1 PD07DEC17	6889.50	6512.57	USD	-9,423.25	
07SEP17	B 1 PD07DEC17	6890.00	6512.57	USD	-9,435.75	
07SEP17	B 1 PD07DEC17	6871.00	6512.57	USD	-8,960.75	
07SEP17	B 1 PD07DEC17	6869.00	6512.57	USD	-8,910.75	
07SEP17	B 1 PD07DEC17	6868.50	6512.57	USD	-8,898.25	
07SEP17	S 4 PD07DEC17	6872.00	6512.57	USD	35,943.00	
07SEP17	S 1 PD07DEC17	6870.00	6512.57	USD	8,935.75	
07SEP17	B 1 PD07DEC17	6877.50	6512.57	USD	-9,123.25	
07SEP17	B 3 PD07DEC17	6876.50	6512.57	USD	-27,294.75	
07SEP17	B 1 PD07DEC17	6879.00	6512.57	USD	-9,160.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
07SEP17	S 2 PD07DEC17	6880.00	6512.57	USD	18,371.50	
07SEP17	S 2 PD07DEC17	6875.00	6512.57	USD	18,121.50	
07SEP17	S 5 PD07DEC17	6877.50	6512.57	USD	45,616.25	
07SEP17	S 1 PD07DEC17	6886.00	6512.57	USD	9,335.75	
07SEP17	S 1 PD07DEC17	6876.50	6512.57	USD	9,098.25	
07SEP17	S 3 PD07DEC17	6879.00	6512.57	USD	27,482.25	
07SEP17	S 1 PD07DEC17	6883.50	6512.57	USD	9,273.25	
07SEP17	S 2 PD07DEC17	6900.00	6512.57	USD	19,371.50	
07SEP17	S 2 PD07DEC17	6905.00	6512.57	USD	19,621.50	
07SEP17	B 1 PD07DEC17	6885.50	6512.57	USD	-9,323.25	
07SEP17	B 1 PD07DEC17	6890.00	6512.57	USD	-9,435.75	
07SEP17	B 3 PD07DEC17	6879.00	6512.57	USD	-27,482.25	
07SEP17	B 1 PD07DEC17	6906.50	6512.57	USD	-9,848.25	
07SEP17	B 1 PD07DEC17	6905.50	6512.57	USD	-9,823.25	
11SEP17	B 4 PD07DEC17	6746.00	6512.57	USD	-23,343.00	
11SEP17	B 5 PD07DEC17	6746.20	6512.57	USD	-29,203.75	
	NET 0 AVG BGHT 6848.43 SOLD 6878.00				**26,612.50**	**0.00**
08SEP17	S 2 PD08DEC17	6906.50	6513.00	USD	19,675.00	
08SEP17	S 1 PD08DEC17	6896.50	6513.00	USD	9,587.50	
08SEP17	S 1 PD08DEC17	6901.00	6513.00	USD	9,700.00	
08SEP17	B 2 PD08DEC17	6918.50	6513.00	USD	-20,275.00	
08SEP17	S 1 PD08DEC17	6918.00	6513.00	USD	10,125.00	
08SEP17	B 1 PD08DEC17	6919.00	6513.00	USD	-10,150.00	
08SEP17	S 2 PD08DEC17	6917.00	6513.00	USD	20,200.00	
08SEP17	B 1 PD08DEC17	6926.00	6513.00	USD	-10,325.00	
08SEP17	B 1 PD08DEC17	6921.50	6513.00	USD	-10,212.50	
08SEP17	B 3 PD08DEC17	6930.00	6513.00	USD	-31,275.00	
08SEP17	B 1 PD08DEC17	6928.50	6513.00	USD	-10,387.50	
08SEP17	B 2 PD08DEC17	6930.50	6513.00	USD	-20,875.00	
08SEP17	B 3 PD08DEC17	6929.00	6513.00	USD	-31,200.00	
08SEP17	B 1 PD08DEC17	6948.50	6513.00	USD	-10,887.50	
08SEP17	B 1 PD08DEC17	6948.00	6513.00	USD	-10,875.00	
08SEP17	B 1 PD08DEC17	6946.50	6513.00	USD	-10,837.50	
08SEP17	B 1 PD08DEC17	6947.00	6513.00	USD	-10,850.00	
08SEP17	B 4 PD08DEC17	6947.50	6513.00	USD	-43,450.00	
08SEP17	S 2 PD08DEC17	6937.00	6513.00	USD	21,200.00	
08SEP17	S 1 PD08DEC17	6936.00	6513.00	USD	10,575.00	
08SEP17	S 2 PD08DEC17	6935.50	6513.00	USD	21,125.00	
08SEP17	S 2 PD08DEC17	6934.50	6513.00	USD	21,075.00	
08SEP17	S 3 PD08DEC17	6935.00	6513.00	USD	31,650.00	
08SEP17	S 1 PD08DEC17	6930.00	6513.00	USD	10,425.00	
08SEP17	S 1 PD08DEC17	6897.50	6513.00	USD	9,612.50	
08SEP17	S 3 PD08DEC17	6898.00	6513.00	USD	28,875.00	
08SEP17	S 3 PD08DEC17	6898.50	6513.00	USD	28,912.50	
08SEP17	S 1 PD08DEC17	6892.50	6513.00	USD	9,487.50	
08SEP17	S 2 PD08DEC17	6888.50	6513.00	USD	18,775.00	
08SEP17	S 2 PD08DEC17	6890.00	6513.00	USD	18,850.00	
08SEP17	S 2 PD08DEC17	6865.00	6513.00	USD	17,600.00	
08SEP17	S 1 PD08DEC17	6856.00	6513.00	USD	8,575.00	
08SEP17	S 2 PD08DEC17	6853.00	6513.00	USD	17,000.00	
08SEP17	S 1 PD08DEC17	6855.50	6513.00	USD	8,562.50	
08SEP17	S 1 PD08DEC17	6857.00	6513.00	USD	8,600.00	
08SEP17	S 1 PD08DEC17	6858.50	6513.00	USD	8,637.50	
08SEP17	S 1 PD08DEC17	6858.00	6513.00	USD	8,625.00	
08SEP17	S 2 PD08DEC17	6853.50	6513.00	USD	17,025.00	
08SEP17	S 1 PD08DEC17	6852.00	6513.00	USD	8,475.00	
08SEP17	S 2 PD08DEC17	6854.50	6513.00	USD	17,075.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
08SEP17	B 1 PD08DEC17	6833.50	6513.00	USD	-8,012.50	
08SEP17	B 1 PD08DEC17	6829.00	6513.00	USD	-7,900.00	
08SEP17	B 1 PD08DEC17	6830.00	6513.00	USD	-7,925.00	
08SEP17	B 1 PD08DEC17	6831.00	6513.00	USD	-7,950.00	
08SEP17	B 1 PD08DEC17	6825.50	6513.00	USD	-7,812.50	
08SEP17	S 1 PD08DEC17	6820.00	6513.00	USD	7,675.00	
08SEP17	S 1 PD08DEC17	6821.00	6513.00	USD	7,700.00	
08SEP17	S 1 PD08DEC17	6837.00	6513.00	USD	8,100.00	
08SEP17	S 1 PD08DEC17	6836.50	6513.00	USD	8,087.50	
08SEP17	B 1 PD08DEC17	6824.00	6513.00	USD	-7,775.00	
08SEP17	S 1 PD08DEC17	6812.50	6513.00	USD	7,487.50	
08SEP17	B 2 PD08DEC17	6828.50	6513.00	USD	-15,775.00	
08SEP17	B 1 PD08DEC17	6828.00	6513.00	USD	-7,875.00	
08SEP17	B 1 PD08DEC17	6829.00	6513.00	USD	-7,900.00	
08SEP17	S 1 PD08DEC17	6747.00	6513.00	USD	5,850.00	
08SEP17	S 2 PD08DEC17	6751.50	6513.00	USD	11,925.00	
08SEP17	B 1 PD08DEC17	6738.50	6513.00	USD	-5,637.50	
08SEP17	B 1 PD08DEC17	6739.50	6513.00	USD	-5,662.50	
08SEP17	B 1 PD08DEC17	6736.50	6513.00	USD	-5,587.50	
08SEP17	B 1 PD08DEC17	6739.00	6513.00	USD	-5,650.00	
08SEP17	B 2 PD08DEC17	6740.50	6513.00	USD	-11,375.00	
08SEP17	B 1 PD08DEC17	6730.50	6513.00	USD	-5,437.50	
08SEP17	S 1 PD08DEC17	6712.00	6513.00	USD	4,975.00	
08SEP17	S 1 PD08DEC17	6710.00	6513.00	USD	4,925.00	
08SEP17	S 2 PD08DEC17	6702.50	6513.00	USD	9,475.00	
08SEP17	S 1 PD08DEC17	6695.00	6513.00	USD	4,550.00	
08SEP17	S 1 PD08DEC17	6709.50	6513.00	USD	4,912.50	
08SEP17	B 1 PD08DEC17	6697.00	6513.00	USD	-4,600.00	
08SEP17	B 1 PD08DEC17	6700.00	6513.00	USD	-4,675.00	
08SEP17	B 1 PD08DEC17	6699.50	6513.00	USD	-4,662.50	
08SEP17	B 1 PD08DEC17	6686.00	6513.00	USD	-4,325.00	
08SEP17	B 2 PD08DEC17	6684.50	6513.00	USD	-8,575.00	
08SEP17	B 1 PD08DEC17	6687.00	6513.00	USD	-4,350.00	
08SEP17	B 1 PD08DEC17	6686.50	6513.00	USD	-4,337.50	
08SEP17	B 1 PD08DEC17	6688.50	6513.00	USD	-4,387.50	
08SEP17	B 1 PD08DEC17	6688.00	6513.00	USD	-4,375.00	
08SEP17	B 1 PD08DEC17	6687.50	6513.00	USD	-4,362.50	
08SEP17	B 2 PD08DEC17	6685.50	6513.00	USD	-8,625.00	
08SEP17	B 5 PD08DEC17	6685.00	6513.00	USD	-21,500.00	
08SEP17	B 1 PD08DEC17	6683.00	6513.00	USD	-4,250.00	
11SEP17	S 4 PD08DEC17	6746.30	6513.00	USD	23,330.00	
15SEP17	B 4 PD08DEC17	6504.30	6513.00	USD	870.00	
15SEP17	B 6 PD08DEC17	6504.10	6513.00	USD	1,335.00	
22SEP17	S 5 PD08DEC17	6454.17	6513.00	USD	-7,353.75	
29SEP17	S 1 PD08DEC17	6473.65	6513.00	USD	-983.75	
	NET 0 AVG BGHT 6766.35 SOLD 6819.28				**89,985.00**	**0.00**
11SEP17	S 1 PD11DEC17	6674.50	6514.19	USD	4,007.75	
11SEP17	S 1 PD11DEC17	6698.50	6514.19	USD	4,607.75	
11SEP17	S 3 PD11DEC17	6687.00	6514.19	USD	12,960.75	
11SEP17	S 1 PD11DEC17	6682.50	6514.19	USD	4,207.75	
11SEP17	S 2 PD11DEC17	6688.00	6514.19	USD	8,690.50	
11SEP17	S 1 PD11DEC17	6689.50	6514.19	USD	4,382.75	
11SEP17	S 3 PD11DEC17	6686.50	6514.19	USD	12,923.25	
11SEP17	S 1 PD11DEC17	6711.00	6514.19	USD	4,920.25	
11SEP17	S 3 PD11DEC17	6741.50	6514.19	USD	17,048.25	
11SEP17	S 1 PD11DEC17	6742.00	6514.19	USD	5,695.25	
11SEP17	B 1 PD11DEC17	6736.00	6514.19	USD	-5,545.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
11SEP17	B 3 PD11DEC17	6725.50	6514.19	USD	-15,848.25	
11SEP17	B 1 PD11DEC17	6726.00	6514.19	USD	-5,295.25	
11SEP17	B 1 PD11DEC17	6732.00	6514.19	USD	-5,445.25	
11SEP17	B 1 PD11DEC17	6731.00	6514.19	USD	-5,420.25	
11SEP17	S 1 PD11DEC17	6728.50	6514.19	USD	5,357.75	
11SEP17	B 1 PD11DEC17	6744.50	6514.19	USD	-5,757.75	
11SEP17	B 1 PD11DEC17	6747.00	6514.19	USD	-5,820.25	
11SEP17	B 3 PD11DEC17	6745.00	6514.19	USD	-17,310.75	
11SEP17	B 1 PD11DEC17	6748.50	6514.19	USD	-5,857.75	
11SEP17	B 2 PD11DEC17	6749.50	6514.19	USD	-11,765.50	
22SEP17	B 3 PD11DEC17	6455.62	6514.19	USD	4,392.75	
	NET 0 AVG BGHT 6691.24 SOLD 6702.64				**5,128.50**	**0.00**
12SEP17	S 3 PD12DEC17	6734.00	6514.58	USD	16,456.50	
12SEP17	S 1 PD12DEC17	6731.50	6514.58	USD	5,423.00	
12SEP17	S 1 PD12DEC17	6728.00	6514.58	USD	5,335.50	
12SEP17	S 1 PD12DEC17	6735.00	6514.58	USD	5,510.50	
12SEP17	S 1 PD12DEC17	6722.50	6514.58	USD	5,198.00	
12SEP17	S 1 PD12DEC17	6712.50	6514.58	USD	4,948.00	
12SEP17	S 1 PD12DEC17	6714.00	6514.58	USD	4,985.50	
12SEP17	S 1 PD12DEC17	6695.50	6514.58	USD	4,523.00	
12SEP17	S 1 PD12DEC17	6698.50	6514.58	USD	4,598.00	
12SEP17	S 1 PD12DEC17	6695.00	6514.58	USD	4,510.50	
12SEP17	B 1 PD12DEC17	6720.00	6514.58	USD	-5,135.50	
12SEP17	B 1 PD12DEC17	6719.50	6514.58	USD	-5,123.00	
12SEP17	B 3 PD12DEC17	6718.00	6514.58	USD	-15,256.50	
12SEP17	B 1 PD12DEC17	6721.00	6514.58	USD	-5,160.50	
12SEP17	B 1 PD12DEC17	6641.00	6514.58	USD	-3,160.50	
12SEP17	B 1 PD12DEC17	6640.00	6514.58	USD	-3,135.50	
12SEP17	B 1 PD12DEC17	6641.50	6514.58	USD	-3,173.00	
12SEP17	B 5 PD12DEC17	6642.00	6514.58	USD	-15,927.50	
12SEP17	B 1 PD12DEC17	6653.00	6514.58	USD	-3,460.50	
12SEP17	B 2 PD12DEC17	6654.50	6514.58	USD	-6,996.00	
12SEP17	B 1 PD12DEC17	6656.50	6514.58	USD	-3,548.00	
12SEP17	B 1 PD12DEC17	6656.00	6514.58	USD	-3,535.50	
12SEP17	B 2 PD12DEC17	6671.00	6514.58	USD	-7,821.00	
12SEP17	B 1 PD12DEC17	6669.50	6514.58	USD	-3,873.00	
12SEP17	B 8 PD12DEC17	6668.00	6514.58	USD	-30,684.00	
12SEP17	B 2 PD12DEC17	6668.50	6514.58	USD	-7,696.00	
12SEP17	B 1 PD12DEC17	6667.50	6514.58	USD	-3,823.00	
12SEP17	B 1 PD12DEC17	6670.50	6514.58	USD	-3,898.00	
12SEP17	S 1 PD12DEC17	6668.50	6514.58	USD	3,848.00	
15SEP17	S 4 PD12DEC17	6505.56	6514.58	USD	-902.00	
19SEP17	S 2 PD12DEC17	6536.23	6514.58	USD	1,082.50	
22SEP17	S 14 PD12DEC17	6455.83	6514.58	USD	-20,562.50	
29SEP17	S 1 PD12DEC17	6475.52	6514.58	USD	-976.50	
	NET 0 AVG BGHT 6669.18 SOLD 6566.32				**-87,429.00**	**0.00**
13SEP17	S 4 PD13DEC17	6677.00	6514.98	USD	16,202.00	
13SEP17	B 1 PD13DEC17	6684.00	6514.98	USD	-4,225.50	
13SEP17	S 1 PD13DEC17	6686.50	6514.98	USD	4,288.00	
13SEP17	S 1 PD13DEC17	6674.50	6514.98	USD	3,988.00	
13SEP17	S 2 PD13DEC17	6673.50	6514.98	USD	7,926.00	
13SEP17	S 3 PD13DEC17	6674.00	6514.98	USD	11,926.50	
13SEP17	B 1 PD13DEC17	6640.50	6514.98	USD	-3,138.00	
13SEP17	B 1 PD13DEC17	6630.00	6514.98	USD	-2,875.50	
13SEP17	B 1 PD13DEC17	6562.00	6514.98	USD	-1,175.50	
13SEP17	B 1 PD13DEC17	6564.50	6514.98	USD	-1,238.00	
13SEP17	B 1 PD13DEC17	6567.50	6514.98	USD	-1,313.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT							
13SEP17	B	1	PD13DEC17	6569.50	6514.98	USD	-1,363.00	
13SEP17	B	2	PD13DEC17	6570.50	6514.98	USD	-2,776.00	
13SEP17	B	2	PD13DEC17	6571.00	6514.98	USD	-2,801.00	
13SEP17	B	1	PD13DEC17	6572.00	6514.98	USD	-1,425.50	
13SEP17	B	1	PD13DEC17	6572.50	6514.98	USD	-1,438.00	
13SEP17	B	1	PD13DEC17	6574.00	6514.98	USD	-1,475.50	
13SEP17	B	2	PD13DEC17	6568.50	6514.98	USD	-2,676.00	
13SEP17	B	1	PD13DEC17	6567.00	6514.98	USD	-1,300.50	
13SEP17	B	1	PD13DEC17	6574.50	6514.98	USD	-1,488.00	
13SEP17	B	1	PD13DEC17	6573.50	6514.98	USD	-1,463.00	
13SEP17	S	1	PD13DEC17	6563.50	6514.98	USD	1,213.00	
13SEP17	S	1	PD13DEC17	6560.50	6514.98	USD	1,138.00	
13SEP17	S	2	PD13DEC17	6560.00	6514.98	USD	2,251.00	
13SEP17	B	3	PD13DEC17	6563.50	6514.98	USD	-3,639.00	
13SEP17	B	1	PD13DEC17	6563.00	6514.98	USD	-1,200.50	
13SEP17	S	1	PD13DEC17	6551.50	6514.98	USD	913.00	
13SEP17	S	1	PD13DEC17	6550.00	6514.98	USD	875.50	
13SEP17	B	1	PD13DEC17	6568.00	6514.98	USD	-1,325.50	
13SEP17	B	1	PD13DEC17	6569.50	6514.98	USD	-1,363.00	
19SEP17	S	4	PD13DEC17	6536.63	6514.98	USD	2,165.00	
22SEP17	B	1	PD13DEC17	6456.45	6514.98	USD	1,463.25	
29SEP17	S	5	PD13DEC17	6475.98	6514.98	USD	-4,875.00	
	NET	**0**	**AVG BGHT 6573.81**	**SOLD 6588.84**			**9,774.25**	**0.00**
14SEP17	B	1	PD14DEC17	6560.00	6515.38	USD	-1,115.50	
14SEP17	B	1	PD14DEC17	6559.50	6515.38	USD	-1,103.00	
14SEP17	B	1	PD14DEC17	6558.50	6515.38	USD	-1,078.00	
14SEP17	S	1	PD14DEC17	6556.00	6515.38	USD	1,015.50	
14SEP17	B	2	PD14DEC17	6566.00	6515.38	USD	-2,531.00	
14SEP17	S	1	PD14DEC17	6566.50	6515.38	USD	1,278.00	
14SEP17	B	2	PD14DEC17	6564.00	6515.38	USD	-2,431.00	
14SEP17	S	1	PD14DEC17	6557.50	6515.38	USD	1,053.00	
14SEP17	B	1	PD14DEC17	6526.50	6515.38	USD	-278.00	
14SEP17	B	1	PD14DEC17	6506.50	6515.38	USD	222.00	
14SEP17	S	1	PD14DEC17	6516.00	6515.38	USD	15.50	
14SEP17	B	1	PD14DEC17	6506.00	6515.38	USD	234.50	
14SEP17	S	2	PD14DEC17	6503.50	6515.38	USD	-594.00	
14SEP17	B	1	PD14DEC17	6504.50	6515.38	USD	272.00	
15SEP17	S	2	PD14DEC17	6506.39	6515.38	USD	-449.50	
19SEP17	S	2	PD14DEC17	6537.02	6515.38	USD	1,082.00	
29SEP17	S	1	PD14DEC17	6476.45	6515.38	USD	-973.25	
	NET	**0**	**AVG BGHT 6543.77**	**SOLD 6524.21**			**-5,380.75**	**0.00**
15SEP17	B	1	PD15DEC17	6489.50	6515.77	USD	656.75	
15SEP17	B	1	PD15DEC17	6488.50	6515.77	USD	681.75	
15SEP17	B	1	PD15DEC17	6489.00	6515.77	USD	669.25	
15SEP17	B	1	PD15DEC17	6490.00	6515.77	USD	644.25	
15SEP17	B	1	PD15DEC17	6497.00	6515.77	USD	469.25	
15SEP17	B	1	PD15DEC17	6488.00	6515.77	USD	694.25	
15SEP17	B	1	PD15DEC17	6475.00	6515.77	USD	1,019.25	
15SEP17	B	1	PD15DEC17	6476.00	6515.77	USD	994.25	
15SEP17	B	1	PD15DEC17	6476.50	6515.77	USD	981.75	
15SEP17	S	1	PD15DEC17	6467.00	6515.77	USD	-1,219.25	
15SEP17	S	1	PD15DEC17	6467.50	6515.77	USD	-1,206.75	
15SEP17	S	2	PD15DEC17	6461.00	6515.77	USD	-2,738.50	
15SEP17	S	1	PD15DEC17	6462.00	6515.77	USD	-1,344.25	
15SEP17	S	1	PD15DEC17	6469.50	6515.77	USD	-1,156.75	
15SEP17	S	1	PD15DEC17	6465.00	6515.77	USD	-1,269.25	
15SEP17	S	1	PD15DEC17	6463.50	6515.77	USD	-1,306.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT							
15SEP17	S	1	PD15DEC17	6459.00	6515.77	USD	-1,419.25	
15SEP17	S	2	PD15DEC17	6455.00	6515.77	USD	-3,038.50	
15SEP17	S	1	PD15DEC17	6457.00	6515.77	USD	-1,469.25	
15SEP17	B	1	PD15DEC17	6475.50	6515.77	USD	1,006.75	
15SEP17	B	1	PD15DEC17	6478.00	6515.77	USD	944.25	
15SEP17	S	2	PD15DEC17	6460.50	6515.77	USD	-2,763.50	
15SEP17	B	1	PD15DEC17	6495.00	6515.77	USD	519.25	
15SEP17	B	3	PD15DEC17	6497.50	6515.77	USD	1,370.25	
15SEP17	B	2	PD15DEC17	6502.00	6515.77	USD	688.50	
15SEP17	B	1	PD15DEC17	6501.00	6515.77	USD	369.25	
15SEP17	B	1	PD15DEC17	6501.50	6515.77	USD	356.75	
15SEP17	B	1	PD15DEC17	6512.00	6515.77	USD	94.25	
15SEP17	B	1	PD15DEC17	6511.50	6515.77	USD	106.75	
15SEP17	B	1	PD15DEC17	6510.50	6515.77	USD	131.75	
15SEP17	B	2	PD15DEC17	6506.50	6515.77	USD	463.50	
15SEP17	B	1	PD15DEC17	6482.00	6515.77	USD	844.25	
15SEP17	B	1	PD15DEC17	6483.00	6515.77	USD	819.25	
19SEP17	S	6	PD15DEC17	6537.42	6515.77	USD	3,247.50	
29SEP17	S	6	PD15DEC17	6476.92	6515.77	USD	-5,827.50	
	NET	**0**	**AVG BGHT 6493.42**	**SOLD 6482.67**			**-6,986.50**	**0.00**
18SEP17	S	2	PD18DEC17	6533.50	6516.96	USD	827.00	
18SEP17	S	1	PD18DEC17	6548.50	6516.96	USD	788.50	
18SEP17	B	3	PD18DEC17	6550.00	6516.96	USD	-2,478.00	
18SEP17	S	2	PD18DEC17	6547.00	6516.96	USD	1,502.00	
18SEP17	S	1	PD18DEC17	6548.00	6516.96	USD	776.00	
18SEP17	S	1	PD18DEC17	6550.50	6516.96	USD	838.50	
18SEP17	S	1	PD18DEC17	6533.00	6516.96	USD	401.00	
18SEP17	S	1	PD18DEC17	6541.00	6516.96	USD	601.00	
18SEP17	S	1	PD18DEC17	6541.50	6516.96	USD	613.50	
18SEP17	S	2	PD18DEC17	6552.00	6516.96	USD	1,752.00	
18SEP17	S	1	PD18DEC17	6545.00	6516.96	USD	701.00	
18SEP17	S	1	PD18DEC17	6547.50	6516.96	USD	763.50	
18SEP17	S	2	PD18DEC17	6551.00	6516.96	USD	1,702.00	
18SEP17	S	3	PD18DEC17	6550.00	6516.96	USD	2,478.00	
18SEP17	S	1	PD18DEC17	6553.50	6516.96	USD	913.50	
18SEP17	S	1	PD18DEC17	6536.00	6516.96	USD	476.00	
18SEP17	S	1	PD18DEC17	6537.00	6516.96	USD	501.00	
18SEP17	S	1	PD18DEC17	6535.50	6516.96	USD	463.50	
19SEP17	B	12	PD18DEC17	6538.80	6516.96	USD	-6,552.00	
22SEP17	B	8	PD18DEC17	6458.53	6516.96	USD	11,686.00	
	NET	**0**	**AVG BGHT 6512.34**	**SOLD 6544.96**			**18,754.00**	**0.00**
19SEP17	S	1	PD19DEC17	6536.00	6517.35	USD	466.25	
19SEP17	S	1	PD19DEC17	6540.00	6517.35	USD	566.25	
19SEP17	S	1	PD19DEC17	6523.50	6517.35	USD	153.75	
19SEP17	S	1	PD19DEC17	6539.00	6517.35	USD	541.25	
19SEP17	S	1	PD19DEC17	6539.50	6517.35	USD	553.75	
19SEP17	S	2	PD19DEC17	6538.00	6517.35	USD	1,032.50	
19SEP17	B	1	PD19DEC17	6513.50	6517.35	USD	96.25	
19SEP17	B	1	PD19DEC17	6542.00	6517.35	USD	-616.25	
19SEP17	B	2	PD19DEC17	6539.20	6517.35	USD	-1,092.50	
22SEP17	B	4	PD19DEC17	6458.95	6517.35	USD	5,840.00	
29SEP17	S	1	PD19DEC17	6478.78	6517.35	USD	-964.25	
	NET	**0**	**AVG BGHT 6496.21**	**SOLD 6529.10**			**6,577.00**	**0.00**
20SEP17	S	1	PD20DEC17	6569.00	6517.75	USD	1,281.25	
20SEP17	S	1	PD20DEC17	6570.50	6517.75	USD	1,318.75	
20SEP17	S	1	PD20DEC17	6576.00	6517.75	USD	1,456.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT							
20SEP17	S	1	PD20DEC17	6574.50	6517.75	USD	1,418.75	
20SEP17	S	1	PD20DEC17	6573.00	6517.75	USD	1,381.25	
20SEP17	B	1	PD20DEC17	6535.00	6517.75	USD	-431.25	
20SEP17	B	1	PD20DEC17	6534.50	6517.75	USD	-418.75	
20SEP17	B	1	PD20DEC17	6532.00	6517.75	USD	-356.25	
20SEP17	B	2	PD20DEC17	6532.50	6517.75	USD	-737.50	
20SEP17	B	1	PD20DEC17	6535.00	6517.75	USD	-431.25	
29SEP17	S	1	PD20DEC17	6479.25	6517.75	USD	-962.50	
	NET	**0**	**AVG BGHT 6533.58**	**SOLD 6557.04**			**3,518.75**	**0.00**
21SEP17	S	1	PD21DEC17	6508.00	6516.75	USD	-218.75	
21SEP17	S	1	PD21DEC17	6501.50	6516.75	USD	-381.25	
21SEP17	S	2	PD21DEC17	6496.50	6516.75	USD	-1,012.50	
21SEP17	S	1	PD21DEC17	6492.00	6516.75	USD	-618.75	
21SEP17	S	1	PD21DEC17	6480.00	6516.75	USD	-918.75	
21SEP17	S	1	PD21DEC17	6479.00	6516.75	USD	-943.75	
21SEP17	B	1	PD21DEC17	6440.00	6516.75	USD	1,918.75	
21SEP17	B	1	PD21DEC17	6439.00	6516.75	USD	1,943.75	
21SEP17	B	1	PD21DEC17	6440.50	6516.75	USD	1,906.25	
21SEP17	B	1	PD21DEC17	6442.00	6516.75	USD	1,868.75	
21SEP17	B	1	PD21DEC17	6466.00	6516.75	USD	1,268.75	
21SEP17	B	1	PD21DEC17	6470.50	6516.75	USD	1,156.25	
21SEP17	B	1	PD21DEC17	6468.00	6516.75	USD	1,218.75	
21SEP17	B	1	PD21DEC17	6476.00	6516.75	USD	1,018.75	
21SEP17	B	1	PD21DEC17	6475.00	6516.75	USD	1,043.75	
21SEP17	S	1	PD21DEC17	6477.50	6516.75	USD	-981.25	
21SEP17	B	1	PD21DEC17	6470.00	6516.75	USD	1,168.75	
21SEP17	S	1	PD21DEC17	6429.00	6516.75	USD	-2,193.75	
21SEP17	S	1	PD21DEC17	6427.50	6516.75	USD	-2,231.25	
21SEP17	S	1	PD21DEC17	6426.00	6516.75	USD	-2,268.75	
21SEP17	S	2	PD21DEC17	6427.00	6516.75	USD	-4,487.50	
21SEP17	S	1	PD21DEC17	6428.50	6516.75	USD	-2,206.25	
21SEP17	S	1	PD21DEC17	6430.50	6516.75	USD	-2,156.25	
21SEP17	S	1	PD21DEC17	6431.50	6516.75	USD	-2,131.25	
21SEP17	S	1	PD21DEC17	6432.00	6516.75	USD	-2,118.75	
21SEP17	S	1	PD21DEC17	6426.50	6516.75	USD	-2,256.25	
21SEP17	B	1	PD21DEC17	6445.00	6516.75	USD	1,793.75	
22SEP17	B	7	PD21DEC17	6456.95	6516.75	USD	10,465.00	
	NET	**0**	**AVG BGHT 6457.26**	**SOLD 6456.47**			**-353.75**	**0.00**
22SEP17	B	1	PD22DEC17	6440.50	6515.75	USD	1,881.25	
22SEP17	B	1	PD22DEC17	6438.50	6515.75	USD	1,931.25	
22SEP17	B	1	PD22DEC17	6442.50	6515.75	USD	1,831.25	
22SEP17	B	1	PD22DEC17	6444.50	6515.75	USD	1,781.25	
22SEP17	B	1	PD22DEC17	6431.50	6515.75	USD	2,106.25	
22SEP17	B	2	PD22DEC17	6431.00	6515.75	USD	4,237.50	
22SEP17	S	1	PD22DEC17	6401.50	6515.75	USD	-2,856.25	
22SEP17	S	1	PD22DEC17	6408.50	6515.75	USD	-2,681.25	
22SEP17	S	2	PD22DEC17	6405.50	6515.75	USD	-5,512.50	
22SEP17	S	1	PD22DEC17	6412.50	6515.75	USD	-2,581.25	
22SEP17	S	1	PD22DEC17	6410.00	6515.75	USD	-2,643.75	
22SEP17	S	2	PD22DEC17	6410.50	6515.75	USD	-5,262.50	
22SEP17	S	1	PD22DEC17	6412.00	6515.75	USD	-2,593.75	
22SEP17	S	1	PD22DEC17	6414.00	6515.75	USD	-2,543.75	
22SEP17	S	1	PD22DEC17	6405.00	6515.75	USD	-2,768.75	
22SEP17	S	2	PD22DEC17	6440.50	6515.75	USD	-3,762.50	
22SEP17	S	6	PD22DEC17	6440.00	6515.75	USD	-11,362.50	
22SEP17	S	2	PD22DEC17	6439.00	6515.75	USD	-3,837.50	
22SEP17	B	1	PD22DEC17	6467.50	6515.75	USD	1,206.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
22SEP17	B 1 PD22DEC17	6466.00	6515.75	USD	1,243.75	
22SEP17	B 1 PD22DEC17	6468.00	6515.75	USD	1,193.75	
22SEP17	B 2 PD22DEC17	6469.00	6515.75	USD	2,337.50	
22SEP17	B 1 PD22DEC17	6468.50	6515.75	USD	1,181.25	
22SEP17	B 1 PD22DEC17	6472.50	6515.75	USD	1,081.25	
22SEP17	B 1 PD22DEC17	6472.00	6515.75	USD	1,093.75	
22SEP17	B 1 PD22DEC17	6473.00	6515.75	USD	1,068.75	
22SEP17	B 1 PD22DEC17	6470.00	6515.75	USD	1,143.75	
22SEP17	B 1 PD22DEC17	6459.00	6515.75	USD	1,418.75	
22SEP17	B 2 PD22DEC17	6456.00	6515.75	USD	2,987.50	
22SEP17	B 2 PD22DEC17	6457.50	6515.75	USD	2,912.50	
22SEP17	B 1 PD22DEC17	6452.50	6515.75	USD	1,581.25	
29SEP17	S 2 PD22DEC17	6477.25	6515.75	USD	-1,925.00	
	NET 0 AVG BGHT 6456.24 SOLD 6428.22				**-16,112.50**	**0.00**
25SEP17	B 1 PD27DEC17	6506.00	6517.52	USD	288.00	
25SEP17	B 1 PD27DEC17	6476.00	6517.52	USD	1,038.00	
25SEP17	S 4 PD27DEC17	6456.50	6517.52	USD	-6,102.00	
25SEP17	S 1 PD27DEC17	6455.50	6517.52	USD	-1,550.50	
25SEP17	S 2 PD27DEC17	6457.00	6517.52	USD	-3,026.00	
25SEP17	S 1 PD27DEC17	6458.00	6517.52	USD	-1,488.00	
25SEP17	S 1 PD27DEC17	6464.00	6517.52	USD	-1,338.00	
25SEP17	B 1 PD27DEC17	6459.00	6517.52	USD	1,463.00	
25SEP17	B 4 PD27DEC17	6457.50	6517.52	USD	6,002.00	
25SEP17	S 1 PD27DEC17	6466.00	6517.52	USD	-1,288.00	
26SEP17	B 1 PD27DEC17	6499.00	6517.52	USD	463.00	
26SEP17	B 1 PD27DEC17	6500.00	6517.52	USD	438.00	
26SEP17	B 2 PD27DEC17	6499.50	6517.52	USD	901.00	
26SEP17	B 2 PD27DEC17	6500.50	6517.52	USD	851.00	
26SEP17	S 2 PD27DEC17	6524.50	6517.52	USD	349.00	
26SEP17	S 1 PD27DEC17	6526.00	6517.52	USD	212.00	
26SEP17	S 1 PD27DEC17	6519.50	6517.52	USD	49.50	
26SEP17	S 1 PD27DEC17	6518.50	6517.52	USD	24.50	
26SEP17	S 1 PD27DEC17	6518.00	6517.52	USD	12.00	
26SEP17	S 1 PD27DEC17	6514.50	6517.52	USD	-75.50	
26SEP17	S 2 PD27DEC17	6522.00	6517.52	USD	224.00	
26SEP17	B 1 PD27DEC17	6519.00	6517.52	USD	-37.00	
26SEP17	B 2 PD27DEC17	6517.00	6517.52	USD	26.00	
26SEP17	S 1 PD27DEC17	6514.00	6517.52	USD	-88.00	
26SEP17	B 1 PD27DEC17	6507.50	6517.52	USD	250.50	
26SEP17	B 2 PD27DEC17	6505.00	6517.52	USD	626.00	
26SEP17	S 4 PD27DEC17	6517.00	6517.52	USD	-52.00	
26SEP17	S 2 PD27DEC17	6512.50	6517.52	USD	-251.00	
26SEP17	S 1 PD27DEC17	6510.00	6517.52	USD	-188.00	
26SEP17	B 6 PD27DEC17	6508.00	6517.52	USD	1,428.00	
26SEP17	B 4 PD27DEC17	6507.00	6517.52	USD	1,052.00	
26SEP17	B 1 PD27DEC17	6457.50	6517.52	USD	1,500.50	
26SEP17	B 1 PD27DEC17	6457.00	6517.52	USD	1,513.00	
26SEP17	B 1 PD27DEC17	6455.00	6517.52	USD	1,563.00	
26SEP17	B 2 PD27DEC17	6454.50	6517.52	USD	3,151.00	
26SEP17	B 2 PD27DEC17	6455.50	6517.52	USD	3,101.00	
26SEP17	B 1 PD27DEC17	6451.50	6517.52	USD	1,650.50	
26SEP17	B 1 PD27DEC17	6446.50	6517.52	USD	1,775.50	
26SEP17	B 1 PD27DEC17	6443.50	6517.52	USD	1,850.50	
26SEP17	B 2 PD27DEC17	6453.00	6517.52	USD	3,226.00	
26SEP17	B 1 PD27DEC17	6451.00	6517.52	USD	1,663.00	
26SEP17	B 1 PD27DEC17	6452.50	6517.52	USD	1,625.50	
26SEP17	B 1 PD27DEC17	6435.00	6517.52	USD	2,063.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
26SEP17	B 1 PD27DEC17	6434.00	6517.52	USD	2,088.00	
26SEP17	B 2 PD27DEC17	6434.50	6517.52	USD	4,151.00	
26SEP17	B 1 PD27DEC17	6441.50	6517.52	USD	1,900.50	
26SEP17	B 1 PD27DEC17	6442.50	6517.52	USD	1,875.50	
26SEP17	B 1 PD27DEC17	6441.00	6517.52	USD	1,913.00	
26SEP17	B 1 PD27DEC17	6440.00	6517.52	USD	1,938.00	
26SEP17	B 1 PD27DEC17	6439.50	6517.52	USD	1,950.50	
26SEP17	B 1 PD27DEC17	6435.50	6517.52	USD	2,050.50	
26SEP17	B 1 PD27DEC17	6432.00	6517.52	USD	2,138.00	
26SEP17	B 1 PD27DEC17	6430.00	6517.52	USD	2,188.00	
26SEP17	B 2 PD27DEC17	6426.00	6517.52	USD	4,576.00	
26SEP17	B 1 PD27DEC17	6424.00	6517.52	USD	2,338.00	
26SEP17	B 1 PD27DEC17	6434.50	6517.52	USD	2,075.50	
27SEP17	S 1 PD27DEC17	6437.00	6517.52	USD	-2,013.00	
27SEP17	S 2 PD27DEC17	6436.50	6517.52	USD	-4,051.00	
27SEP17	S 1 PD27DEC17	6438.50	6517.52	USD	-1,975.50	
27SEP17	S 1 PD27DEC17	6422.00	6517.52	USD	-2,388.00	
27SEP17	S 1 PD27DEC17	6417.50	6517.52	USD	-2,500.50	
27SEP17	S 3 PD27DEC17	6418.50	6517.52	USD	-7,426.50	
27SEP17	S 4 PD27DEC17	6419.50	6517.52	USD	-9,802.00	
27SEP17	S 1 PD27DEC17	6423.50	6517.52	USD	-2,350.50	
27SEP17	S 1 PD27DEC17	6425.50	6517.52	USD	-2,300.50	
27SEP17	S 1 PD27DEC17	6443.50	6517.52	USD	-1,850.50	
27SEP17	S 1 PD27DEC17	6440.00	6517.52	USD	-1,938.00	
27SEP17	S 4 PD27DEC17	6435.00	6517.52	USD	-8,252.00	
27SEP17	S 2 PD27DEC17	6441.50	6517.52	USD	-3,801.00	
27SEP17	S 1 PD27DEC17	6434.50	6517.52	USD	-2,075.50	
27SEP17	S 4 PD27DEC17	6438.00	6517.52	USD	-7,952.00	
27SEP17	S 1 PD27DEC17	6421.00	6517.52	USD	-2,413.00	
27SEP17	S 2 PD27DEC17	6441.50	6517.52	USD	-3,801.00	
29SEP17	S 1 PD27DEC17	6479.93	6517.52	USD	-939.75	
	NET 0 AVG BGHT 6469.62 SOLD 6461.65				**-11,751.75**	**0.00**
28SEP17	B 4 PD28DEC17	6463.00	6517.88	USD	5,488.00	
28SEP17	B 5 PD28DEC17	6458.00	6517.88	USD	7,485.00	
28SEP17	B 2 PD28DEC17	6461.00	6517.88	USD	2,844.00	
28SEP17	B 1 PD28DEC17	6462.00	6517.88	USD	1,397.00	
28SEP17	B 2 PD28DEC17	6459.00	6517.88	USD	2,944.00	
28SEP17	S 1 PD28DEC17	6412.00	6517.88	USD	-2,647.00	
28SEP17	S 4 PD28DEC17	6404.00	6517.88	USD	-11,388.00	
28SEP17	S 4 PD28DEC17	6405.50	6517.88	USD	-11,238.00	
28SEP17	S 3 PD28DEC17	6423.00	6517.88	USD	-7,116.00	
28SEP17	B 3 PD28DEC17	6426.00	6517.88	USD	6,891.00	
28SEP17	B 4 PD28DEC17	6406.00	6517.88	USD	11,188.00	
28SEP17	S 11 PD28DEC17	6408.50	6517.88	USD	-30,079.50	
28SEP17	S 1 PD28DEC17	6409.50	6517.88	USD	-2,709.50	
28SEP17	S 2 PD28DEC17	6409.00	6517.88	USD	-5,444.00	
28SEP17	S 4 PD28DEC17	6408.00	6517.88	USD	-10,988.00	
28SEP17	S 2 PD28DEC17	6401.50	6517.88	USD	-5,819.00	
28SEP17	S 1 PD28DEC17	6400.50	6517.88	USD	-2,934.50	
28SEP17	S 2 PD28DEC17	6400.00	6517.88	USD	-5,894.00	
28SEP17	S 1 PD28DEC17	6406.00	6517.88	USD	-2,797.00	
28SEP17	B 2 PD28DEC17	6433.00	6517.88	USD	4,244.00	
28SEP17	B 1 PD28DEC17	6434.00	6517.88	USD	2,097.00	
28SEP17	B 1 PD28DEC17	6432.50	6517.88	USD	2,134.50	
28SEP17	B 1 PD28DEC17	6428.00	6517.88	USD	2,247.00	
28SEP17	B 1 PD28DEC17	6437.00	6517.88	USD	2,022.00	
28SEP17	B 1 PD28DEC17	6436.50	6517.88	USD	2,034.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
28SEP17	B 2 PD28DEC17	6440.00	6517.88	USD	3,894.00	
28SEP17	B 4 PD28DEC17	6441.50	6517.88	USD	7,638.00	
28SEP17	B 2 PD28DEC17	6440.50	6517.88	USD	3,869.00	
28SEP17	B 8 PD28DEC17	6441.00	6517.88	USD	15,376.00	
28SEP17	B 6 PD28DEC17	6442.00	6517.88	USD	11,382.00	
28SEP17	B 1 PD28DEC17	6438.50	6517.88	USD	1,984.50	
28SEP17	B 2 PD28DEC17	6438.00	6517.88	USD	3,994.00	
28SEP17	B 4 PD28DEC17	6433.50	6517.88	USD	8,438.00	
28SEP17	S 1 PD28DEC17	6433.00	6517.88	USD	-2,122.00	
28SEP17	B 1 PD28DEC17	6466.50	6517.88	USD	1,284.50	
28SEP17	S 1 PD28DEC17	6462.50	6517.88	USD	-1,384.50	
28SEP17	B 2 PD28DEC17	6471.00	6517.88	USD	2,344.00	
28SEP17	B 1 PD28DEC17	6471.50	6517.88	USD	1,159.50	
28SEP17	B 1 PD28DEC17	6479.00	6517.88	USD	972.00	
28SEP17	B 2 PD28DEC17	6460.00	6517.88	USD	2,894.00	
28SEP17	S 1 PD28DEC17	6461.00	6517.88	USD	-1,422.00	
28SEP17	B 3 PD28DEC17	6472.00	6517.88	USD	3,441.00	
28SEP17	B 3 PD28DEC17	6475.00	6517.88	USD	3,216.00	
28SEP17	B 2 PD28DEC17	6475.50	6517.88	USD	2,119.00	
28SEP17	B 2 PD28DEC17	6478.00	6517.88	USD	1,994.00	
28SEP17	B 1 PD28DEC17	6486.00	6517.88	USD	797.00	
28SEP17	B 1 PD28DEC17	6488.00	6517.88	USD	747.00	
28SEP17	B 1 PD28DEC17	6515.00	6517.88	USD	72.00	
28SEP17	S 1 PD28DEC17	6509.00	6517.88	USD	-222.00	
28SEP17	B 2 PD28DEC17	6514.50	6517.88	USD	169.00	
28SEP17	B 1 PD28DEC17	6517.00	6517.88	USD	22.00	
28SEP17	B 1 PD28DEC17	6516.00	6517.88	USD	47.00	
28SEP17	B 1 PD28DEC17	6517.50	6517.88	USD	9.50	
28SEP17	B 2 PD28DEC17	6527.50	6517.88	USD	-481.00	
28SEP17	B 1 PD28DEC17	6526.00	6517.88	USD	-203.00	
28SEP17	B 2 PD28DEC17	6522.00	6517.88	USD	-206.00	
28SEP17	B 2 PD28DEC17	6524.50	6517.88	USD	-331.00	
28SEP17	B 1 PD28DEC17	6524.00	6517.88	USD	-153.00	
28SEP17	S 1 PD28DEC17	6522.00	6517.88	USD	103.00	
28SEP17	S 2 PD28DEC17	6521.50	6517.88	USD	181.00	
28SEP17	S 8 PD28DEC17	6517.00	6517.88	USD	-176.00	
28SEP17	S 1 PD28DEC17	6510.00	6517.88	USD	-197.00	
28SEP17	S 3 PD28DEC17	6510.50	6517.88	USD	-553.50	
28SEP17	S 4 PD28DEC17	6508.50	6517.88	USD	-938.00	
28SEP17	S 1 PD28DEC17	6511.00	6517.88	USD	-172.00	
28SEP17	S 2 PD28DEC17	6514.00	6517.88	USD	-194.00	
28SEP17	S 1 PD28DEC17	6514.50	6517.88	USD	-84.50	
28SEP17	S 5 PD28DEC17	6513.00	6517.88	USD	-610.00	
28SEP17	S 4 PD28DEC17	6516.50	6517.88	USD	-138.00	
28SEP17	S 1 PD28DEC17	6517.50	6517.88	USD	-9.50	
28SEP17	S 2 PD28DEC17	6523.50	6517.88	USD	281.00	
28SEP17	S 4 PD28DEC17	6527.00	6517.88	USD	912.00	
28SEP17	S 1 PD28DEC17	6531.50	6517.88	USD	340.50	
28SEP17	S 1 PD28DEC17	6533.50	6517.88	USD	390.50	
28SEP17	S 1 PD28DEC17	6534.50	6517.88	USD	415.50	
28SEP17	B 1 PD28DEC17	6561.00	6517.88	USD	-1,078.00	
28SEP17	S 1 PD28DEC17	6518.00	6517.88	USD	3.00	
28SEP17	S 1 PD28DEC17	6533.50	6517.88	USD	390.50	
28SEP17	S 1 PD28DEC17	6533.00	6517.88	USD	378.00	
28SEP17	S 1 PD28DEC17	6573.00	6517.88	USD	1,378.00	
28SEP17	S 1 PD28DEC17	6572.50	6517.88	USD	1,365.50	
29SEP17	S 4 PD28DEC17	6480.46	6517.88	USD	-3,742.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
	NET 0 AVG BGHT 6461.43 SOLD 6471.78				**23,546.00**	**0.00**
29SEP17	S 1 PD29DEC17	6539.00	6518.23	USD	519.25	
29SEP17	S 1 PD29DEC17	6539.50	6518.23	USD	531.75	
29SEP17	S 1 PD29DEC17	6540.50	6518.23	USD	556.75	
29SEP17	S 1 PD29DEC17	6537.50	6518.23	USD	481.75	
29SEP17	S 4 PD29DEC17	6536.00	6518.23	USD	1,777.00	
29SEP17	S 4 PD29DEC17	6535.00	6518.23	USD	1,677.00	
29SEP17	S 1 PD29DEC17	6531.00	6518.23	USD	319.25	
29SEP17	S 1 PD29DEC17	6534.50	6518.23	USD	406.75	
29SEP17	B 2 PD29DEC17	6534.50	6518.23	USD	-813.50	
29SEP17	S 2 PD29DEC17	6530.50	6518.23	USD	613.50	
29SEP17	S 1 PD29DEC17	6530.00	6518.23	USD	294.25	
29SEP17	B 1 PD29DEC17	6530.50	6518.23	USD	-306.75	
29SEP17	S 6 PD29DEC17	6534.00	6518.23	USD	2,365.50	
29SEP17	S 3 PD29DEC17	6525.50	6518.23	USD	545.25	
29SEP17	B 23 PD29DEC17	6481.20	6518.23	USD	21,292.25	
	NET 0 AVG BGHT 6487.20 SOLD 6533.75				**30,260.00**	**0.00**
	NYM HENRY HUB NATURAL GAS 10,000 NYM					
03OCT17	B 1 NOV17	2.88400	2.89500	USD	110.00	
03OCT17	B 1 NOV17	2.88700	2.89500	USD	80.00	
	NET +2 AVG BGHT 2.88550				**190.00**	**0.00**
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
29SEP17	S 5 PD02NOV17	2515.49	2588.00	USD	-9,063.75	
	NET -5 AVG SOLD 2515.49				**-9,063.75**	**0.00**
04AUG17	B 2 PD03NOV17	2366.50	2588.00	USD	11,075.00	
04AUG17	B 1 PD03NOV17	2364.00	2588.00	USD	5,600.00	
04AUG17	B 2 PD03NOV17	2365.00	2588.00	USD	11,150.00	
04AUG17	B 2 PD03NOV17	2355.00	2588.00	USD	11,650.00	
04AUG17	B 5 PD03NOV17	2355.50	2588.00	USD	29,062.50	
04AUG17	B 7 PD03NOV17	2356.00	2588.00	USD	40,600.00	
04AUG17	B 1 PD03NOV17	2357.00	2588.00	USD	5,775.00	
04AUG17	B 8 PD03NOV17	2356.50	2588.00	USD	46,300.00	
04AUG17	B 2 PD03NOV17	2354.50	2588.00	USD	11,675.00	
04AUG17	B 4 PD03NOV17	2350.50	2588.00	USD	23,750.00	
04AUG17	B 3 PD03NOV17	2351.00	2588.00	USD	17,775.00	
04AUG17	B 3 PD03NOV17	2350.00	2588.00	USD	17,850.00	
04AUG17	B 6 PD03NOV17	2366.00	2588.00	USD	33,300.00	
04AUG17	B 4 PD03NOV17	2365.50	2588.00	USD	22,250.00	
14AUG17	S 34 PD03NOV17	2332.80	2588.00	USD	-216,920.00	
18AUG17	S 8 PD03NOV17	2358.23	2588.00	USD	-45,954.00	
22AUG17	S 3 PD03NOV17	2411.75	2588.00	USD	-13,218.75	
23AUG17	S 5 PD03NOV17	2372.25	2588.00	USD	-26,968.75	
	NET 0 AVG BGHT 2357.75 SOLD 2345.55				**-15,249.00**	**0.00**
07AUG17	B 3 PD07NOV17	2384.50	2588.00	USD	15,262.50	
07AUG17	B 1 PD07NOV17	2385.00	2588.00	USD	5,075.00	
07AUG17	B 1 PD07NOV17	2381.00	2588.00	USD	5,175.00	
07AUG17	B 1 PD07NOV17	2380.50	2588.00	USD	5,187.50	
07AUG17	S 2 PD07NOV17	2380.50	2588.00	USD	-10,375.00	
07AUG17	B 2 PD07NOV17	2383.00	2588.00	USD	10,250.00	
07AUG17	S 2 PD07NOV17	2381.00	2588.00	USD	-10,350.00	
07AUG17	B 2 PD07NOV17	2375.00	2588.00	USD	10,650.00	
07AUG17	B 2 PD07NOV17	2382.50	2588.00	USD	10,275.00	
07AUG17	B 2 PD07NOV17	2374.50	2588.00	USD	10,675.00	
07AUG17	B 1 PD07NOV17	2373.50	2588.00	USD	5,362.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
07AUG17	B	1	PD07NOV17	2366.00	2588.00	USD	5,550.00	
07AUG17	B	1	PD07NOV17	2364.00	2588.00	USD	5,600.00	
07AUG17	B	1	PD07NOV17	2363.50	2588.00	USD	5,612.50	
07AUG17	B	1	PD07NOV17	2363.00	2588.00	USD	5,625.00	
07AUG17	S	2	PD07NOV17	2369.00	2588.00	USD	-10,950.00	
14AUG17	S	11	PD07NOV17	2333.60	2588.00	USD	-69,960.00	
22AUG17	S	2	PD07NOV17	2412.75	2588.00	USD	-8,762.50	
	NET	**0**	**AVG BGHT 2376.84** **SOLD 2355.58**				**-10,097.50**	**0.00**
08AUG17	B	1	PD08NOV17	2378.50	2588.00	USD	5,237.50	
08AUG17	B	1	PD08NOV17	2379.50	2588.00	USD	5,212.50	
08AUG17	B	1	PD08NOV17	2346.00	2588.00	USD	6,050.00	
08AUG17	B	1	PD08NOV17	2345.50	2588.00	USD	6,062.50	
08AUG17	S	1	PD08NOV17	2345.00	2588.00	USD	-6,075.00	
08AUG17	B	1	PD08NOV17	2393.50	2588.00	USD	4,862.50	
08AUG17	B	3	PD08NOV17	2394.00	2588.00	USD	14,550.00	
14AUG17	S	7	PD08NOV17	2333.80	2588.00	USD	-44,485.00	
	NET	**0**	**AVG BGHT 2378.13** **SOLD 2335.20**				**-8,585.00**	**0.00**
09AUG17	S	2	PD09NOV17	2385.50	2588.00	USD	-10,125.00	
09AUG17	S	1	PD09NOV17	2395.50	2588.00	USD	-4,812.50	
09AUG17	S	2	PD09NOV17	2411.50	2588.00	USD	-8,825.00	
09AUG17	S	2	PD09NOV17	2411.00	2588.00	USD	-8,850.00	
09AUG17	S	1	PD09NOV17	2406.50	2588.00	USD	-4,537.50	
09AUG17	B	1	PD09NOV17	2405.00	2588.00	USD	4,575.00	
09AUG17	S	1	PD09NOV17	2406.00	2588.00	USD	-4,550.00	
09AUG17	S	1	PD09NOV17	2402.00	2588.00	USD	-4,650.00	
09AUG17	S	9	PD09NOV17	2403.00	2588.00	USD	-41,625.00	
09AUG17	S	3	PD09NOV17	2401.50	2588.00	USD	-13,987.50	
09AUG17	S	3	PD09NOV17	2402.50	2588.00	USD	-13,912.50	
09AUG17	S	1	PD09NOV17	2402.50	2588.00	USD	-4,637.50	
09AUG17	S	2	PD09NOV17	2367.00	2588.00	USD	-11,050.00	
09AUG17	S	1	PD09NOV17	2368.00	2588.00	USD	-5,500.00	
09AUG17	S	1	PD09NOV17	2348.00	2588.00	USD	-6,000.00	
09AUG17	S	1	PD09NOV17	2348.50	2588.00	USD	-5,987.50	
09AUG17	S	1	PD09NOV17	2350.50	2588.00	USD	-5,937.50	
09AUG17	S	1	PD09NOV17	2352.50	2588.00	USD	-5,887.50	
09AUG17	S	2	PD09NOV17	2351.00	2588.00	USD	-11,850.00	
09AUG17	S	4	PD09NOV17	2352.00	2588.00	USD	-23,600.00	
09AUG17	S	2	PD09NOV17	2351.50	2588.00	USD	-11,825.00	
09AUG17	B	2	PD09NOV17	2354.00	2588.00	USD	11,700.00	
09AUG17	S	1	PD09NOV17	2358.50	2588.00	USD	-5,737.50	
09AUG17	S	1	PD09NOV17	2359.00	2588.00	USD	-5,725.00	
09AUG17	S	1	PD09NOV17	2350.00	2588.00	USD	-5,950.00	
09AUG17	S	1	PD09NOV17	2355.00	2588.00	USD	-5,825.00	
14AUG17	B	36	PD09NOV17	2334.20	2588.00	USD	228,420.00	
22AUG17	B	5	PD09NOV17	2413.45	2588.00	USD	21,818.75	
15SEP17	B	1	PD09NOV17	2348.82	2588.00	USD	5,979.50	
	NET	**0**	**AVG BGHT 2345.78** **SOLD 2382.32**				**41,105.75**	**0.00**
10AUG17	S	1	PD10NOV17	2385.50	2588.00	USD	-5,062.50	
10AUG17	S	1	PD10NOV17	2386.50	2588.00	USD	-5,037.50	
10AUG17	S	1	PD10NOV17	2390.50	2588.00	USD	-4,937.50	
10AUG17	S	1	PD10NOV17	2391.50	2588.00	USD	-4,912.50	
10AUG17	S	6	PD10NOV17	2388.00	2588.00	USD	-30,000.00	
10AUG17	S	1	PD10NOV17	2390.00	2588.00	USD	-4,950.00	
10AUG17	B	1	PD10NOV17	2375.00	2588.00	USD	5,325.00	
10AUG17	B	1	PD10NOV17	2370.00	2588.00	USD	5,450.00	
10AUG17	B	3	PD10NOV17	2371.50	2588.00	USD	16,237.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
10AUG17	S 1 PD10NOV17	2389.00	2588.00	USD	-4,975.00	
10AUG17	S 3 PD10NOV17	2388.00	2588.00	USD	-15,000.00	
10AUG17	S 1 PD10NOV17	2356.00	2588.00	USD	-5,800.00	
10AUG17	S 3 PD10NOV17	2355.50	2588.00	USD	-17,437.50	
10AUG17	S 3 PD10NOV17	2358.00	2588.00	USD	-17,250.00	
10AUG17	S 3 PD10NOV17	2357.50	2588.00	USD	-17,287.50	
10AUG17	S 1 PD10NOV17	2387.00	2588.00	USD	-5,025.00	
10AUG17	S 2 PD10NOV17	2388.50	2588.00	USD	-9,975.00	
10AUG17	S 2 PD10NOV17	2389.00	2588.00	USD	-9,950.00	
10AUG17	B 2 PD10NOV17	2376.00	2588.00	USD	10,600.00	
10AUG17	B 3 PD10NOV17	2374.00	2588.00	USD	16,050.00	
10AUG17	B 2 PD10NOV17	2373.50	2588.00	USD	10,725.00	
10AUG17	B 1 PD10NOV17	2365.00	2588.00	USD	5,575.00	
10AUG17	B 1 PD10NOV17	2364.50	2588.00	USD	5,587.50	
11AUG17	S 4 PD10NOV17	2356.00	2588.00	USD	-23,200.00	
11AUG17	S 1 PD10NOV17	2341.50	2588.00	USD	-6,162.50	
11AUG17	B 2 PD10NOV17	2338.00	2588.00	USD	12,500.00	
11AUG17	S 2 PD10NOV17	2342.00	2588.00	USD	-12,300.00	
11AUG17	S 1 PD10NOV17	2340.50	2588.00	USD	-6,187.50	
11AUG17	S 1 PD10NOV17	2338.00	2588.00	USD	-6,250.00	
11AUG17	B 1 PD10NOV17	2345.00	2588.00	USD	6,075.00	
11AUG17	B 2 PD10NOV17	2346.00	2588.00	USD	12,100.00	
11AUG17	B 2 PD10NOV17	2345.50	2588.00	USD	12,125.00	
11AUG17	B 1 PD10NOV17	2349.00	2588.00	USD	5,975.00	
11AUG17	B 1 PD10NOV17	2351.00	2588.00	USD	5,925.00	
11AUG17	S 1 PD10NOV17	2350.00	2588.00	USD	-5,950.00	
11AUG17	B 1 PD10NOV17	2344.00	2588.00	USD	6,100.00	
11AUG17	B 1 PD10NOV17	2344.50	2588.00	USD	6,087.50	
11AUG17	B 5 PD10NOV17	2347.00	2588.00	USD	30,125.00	
11AUG17	S 1 PD10NOV17	2340.00	2588.00	USD	-6,200.00	
11AUG17	B 1 PD10NOV17	2337.00	2588.00	USD	6,275.00	
11AUG17	B 1 PD10NOV17	2336.50	2588.00	USD	6,287.50	
11AUG17	B 1 PD10NOV17	2334.00	2588.00	USD	6,350.00	
11AUG17	S 1 PD10NOV17	2333.00	2588.00	USD	-6,375.00	
11AUG17	B 1 PD10NOV17	2349.50	2588.00	USD	5,962.50	
11AUG17	S 1 PD10NOV17	2335.50	2588.00	USD	-6,312.50	
11AUG17	B 1 PD10NOV17	2330.50	2588.00	USD	6,437.50	
14AUG17	B 16 PD10NOV17	2334.40	2588.00	USD	101,440.00	
18AUG17	S 8 PD10NOV17	2359.85	2588.00	USD	-45,630.00	
	NET 0 AVG BGHT 2348.54 SOLD 2366.69				**23,147.50**	**0.00**
14AUG17	S 1 PD14NOV17	2333.50	2588.00	USD	-6,362.50	
14AUG17	S 1 PD14NOV17	2333.00	2588.00	USD	-6,375.00	
14AUG17	S 2 PD14NOV17	2336.00	2588.00	USD	-12,600.00	
14AUG17	S 1 PD14NOV17	2339.50	2588.00	USD	-6,212.50	
14AUG17	S 3 PD14NOV17	2340.00	2588.00	USD	-18,600.00	
14AUG17	B 4 PD14NOV17	2342.50	2588.00	USD	24,550.00	
14AUG17	B 1 PD14NOV17	2343.50	2588.00	USD	6,112.50	
14AUG17	B 1 PD14NOV17	2345.00	2588.00	USD	6,075.00	
14AUG17	B 1 PD14NOV17	2346.50	2588.00	USD	6,037.50	
14AUG17	B 1 PD14NOV17	2342.00	2588.00	USD	6,150.00	
14AUG17	B 1 PD14NOV17	2343.00	2588.00	USD	6,125.00	
14AUG17	B 1 PD14NOV17	2343.50	2588.00	USD	6,112.50	
14AUG17	S 3 PD14NOV17	2341.50	2588.00	USD	-18,487.50	
14AUG17	S 1 PD14NOV17	2342.00	2588.00	USD	-6,150.00	
14AUG17	S 4 PD14NOV17	2340.50	2588.00	USD	-24,750.00	
18AUG17	B 6 PD14NOV17	2360.97	2588.00	USD	34,054.50	
	NET 0 AVG BGHT 2349.96 SOLD 2339.16				**-4,320.50**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
15AUG17	S 2 PD15NOV17	2345.00	2588.00	USD	-12,150.00	
18AUG17	B 2 PD15NOV17	2361.20	2588.00	USD	11,340.00	
	NET 0 AVG BGHT 2361.20 SOLD 2345.00				**-810.00**	**0.00**
16AUG17	S 2 PD16NOV17	2379.50	2588.13	USD	-10,431.50	
16AUG17	S 1 PD16NOV17	2387.50	2588.13	USD	-5,015.75	
16AUG17	S 1 PD16NOV17	2386.50	2588.13	USD	-5,040.75	
16AUG17	S 2 PD16NOV17	2387.00	2588.13	USD	-10,056.50	
16AUG17	S 1 PD16NOV17	2391.00	2588.13	USD	-4,928.25	
16AUG17	S 1 PD16NOV17	2391.00	2588.13	USD	-4,928.25	
16AUG17	S 1 PD16NOV17	2430.00	2588.13	USD	-3,953.25	
16AUG17	S 1 PD16NOV17	2432.00	2588.13	USD	-3,903.25	
16AUG17	S 1 PD16NOV17	2431.50	2588.13	USD	-3,915.75	
16AUG17	S 1 PD16NOV17	2437.50	2588.13	USD	-3,765.75	
16AUG17	S 1 PD16NOV17	2436.50	2588.13	USD	-3,790.75	
16AUG17	S 1 PD16NOV17	2437.00	2588.13	USD	-3,778.25	
16AUG17	S 1 PD16NOV17	2438.00	2588.13	USD	-3,753.25	
16AUG17	S 2 PD16NOV17	2447.00	2588.13	USD	-7,056.50	
16AUG17	S 1 PD16NOV17	2482.00	2588.13	USD	-2,653.25	
16AUG17	S 1 PD16NOV17	2478.50	2588.13	USD	-2,740.75	
16AUG17	S 1 PD16NOV17	2480.00	2588.13	USD	-2,703.25	
16AUG17	B 1 PD16NOV17	2477.50	2588.13	USD	2,765.75	
16AUG17	B 1 PD16NOV17	2478.00	2588.13	USD	2,753.25	
16AUG17	B 1 PD16NOV17	2478.50	2588.13	USD	2,740.75	
16AUG17	B 1 PD16NOV17	2480.50	2588.13	USD	2,690.75	
16AUG17	B 1 PD16NOV17	2470.00	2588.13	USD	2,953.25	
16AUG17	B 1 PD16NOV17	2469.50	2588.13	USD	2,965.75	
16AUG17	S 1 PD16NOV17	2427.50	2588.13	USD	-4,015.75	
16AUG17	S 1 PD16NOV17	2482.00	2588.13	USD	-2,653.25	
16AUG17	B 1 PD16NOV17	2490.50	2588.13	USD	2,440.75	
16AUG17	B 2 PD16NOV17	2494.00	2588.13	USD	4,706.50	
16AUG17	S 1 PD16NOV17	2514.00	2588.13	USD	-1,853.25	
18AUG17	B 8 PD16NOV17	2360.70	2588.13	USD	45,486.00	
18AUG17	B 4 PD16NOV17	2360.50	2588.13	USD	22,763.00	
22AUG17	B 2 PD16NOV17	2414.50	2588.13	USD	8,681.50	
	NET 0 AVG BGHT 2412.57 SOLD 2429.98				**10,010.00**	**0.00**
17AUG17	B 2 PD17NOV17	2528.50	2588.26	USD	2,988.00	
17AUG17	B 1 PD17NOV17	2528.00	2588.26	USD	1,506.50	
17AUG17	B 2 PD17NOV17	2529.50	2588.26	USD	2,938.00	
17AUG17	B 1 PD17NOV17	2530.00	2588.26	USD	1,456.50	
17AUG17	S 2 PD17NOV17	2527.50	2588.26	USD	-3,038.00	
17AUG17	B 2 PD17NOV17	2526.00	2588.26	USD	3,113.00	
17AUG17	B 2 PD17NOV17	2526.50	2588.26	USD	3,088.00	
17AUG17	B 1 PD17NOV17	2525.00	2588.26	USD	1,581.50	
17AUG17	B 1 PD17NOV17	2524.50	2588.26	USD	1,594.00	
17AUG17	B 1 PD17NOV17	2511.00	2588.26	USD	1,931.50	
17AUG17	B 1 PD17NOV17	2509.50	2588.26	USD	1,969.00	
17AUG17	S 1 PD17NOV17	2508.00	2588.26	USD	-2,006.50	
17AUG17	S 1 PD17NOV17	2506.50	2588.26	USD	-2,044.00	
17AUG17	S 2 PD17NOV17	2521.00	2588.26	USD	-3,363.00	
17AUG17	S 1 PD17NOV17	2528.50	2588.26	USD	-1,494.00	
17AUG17	S 3 PD17NOV17	2463.50	2588.26	USD	-9,357.00	
17AUG17	S 1 PD17NOV17	2464.50	2588.26	USD	-3,094.00	
17AUG17	B 2 PD17NOV17	2466.50	2588.26	USD	6,088.00	
17AUG17	B 1 PD17NOV17	2469.50	2588.26	USD	2,969.00	
17AUG17	B 1 PD17NOV17	2470.00	2588.26	USD	2,956.50	
17AUG17	B 2 PD17NOV17	2468.50	2588.26	USD	5,988.00	
17AUG17	B 2 PD17NOV17	2435.00	2588.26	USD	7,663.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
17AUG17	B 1 PD17NOV17	2427.00	2588.26	USD	4,031.50	
17AUG17	B 3 PD17NOV17	2426.00	2588.26	USD	12,169.50	
17AUG17	B 2 PD17NOV17	2413.50	2588.26	USD	8,738.00	
17AUG17	B 2 PD17NOV17	2412.50	2588.26	USD	8,788.00	
17AUG17	B 2 PD17NOV17	2412.00	2588.26	USD	8,813.00	
17AUG17	B 2 PD17NOV17	2414.00	2588.26	USD	8,713.00	
18AUG17	S 2 PD17NOV17	2396.00	2588.26	USD	-9,613.00	
18AUG17	B 1 PD17NOV17	2429.50	2588.26	USD	3,969.00	
18AUG17	B 1 PD17NOV17	2430.50	2588.26	USD	3,944.00	
18AUG17	B 2 PD17NOV17	2428.00	2588.26	USD	8,013.00	
18AUG17	B 2 PD17NOV17	2435.00	2588.26	USD	7,663.00	
18AUG17	S 2 PD17NOV17	2432.00	2588.26	USD	-7,813.00	
18AUG17	B 1 PD17NOV17	2411.50	2588.26	USD	4,419.00	
18AUG17	B 2 PD17NOV17	2412.50	2588.26	USD	8,788.00	
18AUG17	B 1 PD17NOV17	2416.00	2588.26	USD	4,306.50	
18AUG17	B 1 PD17NOV17	2413.00	2588.26	USD	4,381.50	
18AUG17	B 2 PD17NOV17	2414.00	2588.26	USD	8,713.00	
18AUG17	B 2 PD17NOV17	2413.50	2588.26	USD	8,738.00	
18AUG17	B 2 PD17NOV17	2420.50	2588.26	USD	8,388.00	
18AUG17	B 3 PD17NOV17	2423.00	2588.26	USD	12,394.50	
18AUG17	B 2 PD17NOV17	2423.50	2588.26	USD	8,238.00	
18AUG17	B 3 PD17NOV17	2424.00	2588.26	USD	12,319.50	
18AUG17	B 1 PD17NOV17	2425.50	2588.26	USD	4,069.00	
18AUG17	B 1 PD17NOV17	2422.00	2588.26	USD	4,156.50	
18AUG17	B 1 PD17NOV17	2422.50	2588.26	USD	4,144.00	
18AUG17	B 3 PD17NOV17	2415.50	2588.26	USD	12,957.00	
18AUG17	B 4 PD17NOV17	2415.00	2588.26	USD	17,326.00	
18AUG17	S 1 PD17NOV17	2411.50	2588.26	USD	-4,419.00	
18AUG17	S 1 PD17NOV17	2409.00	2588.26	USD	-4,481.50	
18AUG17	S 1 PD17NOV17	2406.50	2588.26	USD	-4,544.00	
18AUG17	S 1 PD17NOV17	2376.50	2588.26	USD	-5,294.00	
18AUG17	S 2 PD17NOV17	2370.00	2588.26	USD	-10,913.00	
18AUG17	S 1 PD17NOV17	2369.50	2588.26	USD	-5,469.00	
18AUG17	S 1 PD17NOV17	2360.50	2588.26	USD	-5,694.00	
18AUG17	S 2 PD17NOV17	2366.00	2588.26	USD	-11,113.00	
18AUG17	S 1 PD17NOV17	2367.00	2588.26	USD	-5,531.50	
18AUG17	S 1 PD17NOV17	2366.50	2588.26	USD	-5,544.00	
18AUG17	S 2 PD17NOV17	2375.50	2588.26	USD	-10,638.00	
18AUG17	S 2 PD17NOV17	2373.00	2588.26	USD	-10,763.00	
18AUG17	B 1 PD17NOV17	2372.00	2588.26	USD	5,406.50	
18AUG17	B 1 PD17NOV17	2370.00	2588.26	USD	5,456.50	
18AUG17	B 1 PD17NOV17	2370.50	2588.26	USD	5,444.00	
18AUG17	B 2 PD17NOV17	2371.00	2588.26	USD	10,863.00	
18AUG17	B 1 PD17NOV17	2371.50	2588.26	USD	5,419.00	
18AUG17	B 1 PD17NOV17	2414.00	2588.26	USD	4,356.50	
18AUG17	S 1 PD17NOV17	2382.50	2588.26	USD	-5,144.00	
18AUG17	S 3 PD17NOV17	2383.00	2588.26	USD	-15,394.50	
18AUG17	B 3 PD17NOV17	2365.00	2588.26	USD	16,744.50	
18AUG17	B 1 PD17NOV17	2364.50	2588.26	USD	5,594.00	
18AUG17	B 1 PD17NOV17	2362.00	2588.26	USD	5,656.50	
18AUG17	B 1 PD17NOV17	2362.50	2588.26	USD	5,644.00	
18AUG17	B 1 PD17NOV17	2363.50	2588.26	USD	5,619.00	
18AUG17	B 2 PD17NOV17	2363.00	2588.26	USD	11,263.00	
18AUG17	S 4 PD17NOV17	2360.80	2588.26	USD	-22,746.00	
22AUG17	S 2 PD17NOV17	2414.55	2588.26	USD	-8,685.50	
23AUG17	S 28 PD17NOV17	2375.25	2588.26	USD	-149,107.00	
30AUG17	S 14 PD17NOV17	2366.52	2588.26	USD	-77,609.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
11SEP17	S 2 PD17NOV17	2269.32	2588.26	USD	-15,947.00	
	NET 0 AVG BGHT 2431.33 SOLD 2390.21				**-87,382.00**	**0.00**
21AUG17	S 1 PD21NOV17	2386.50	2588.77	USD	-5,056.75	
21AUG17	S 1 PD21NOV17	2388.00	2588.77	USD	-5,019.25	
21AUG17	B 1 PD21NOV17	2390.00	2588.77	USD	4,969.25	
21AUG17	B 1 PD21NOV17	2389.00	2588.77	USD	4,994.25	
21AUG17	S 2 PD21NOV17	2385.50	2588.77	USD	-10,163.50	
21AUG17	S 1 PD21NOV17	2387.00	2588.77	USD	-5,044.25	
21AUG17	S 1 PD21NOV17	2377.00	2588.77	USD	-5,294.25	
21AUG17	S 2 PD21NOV17	2378.50	2588.77	USD	-10,513.50	
21AUG17	S 2 PD21NOV17	2380.00	2588.77	USD	-10,438.50	
21AUG17	B 3 PD21NOV17	2387.50	2588.77	USD	15,095.25	
21AUG17	B 1 PD21NOV17	2389.50	2588.77	USD	4,981.75	
21AUG17	B 1 PD21NOV17	2384.50	2588.77	USD	5,106.75	
21AUG17	B 1 PD21NOV17	2383.50	2588.77	USD	5,131.75	
21AUG17	B 2 PD21NOV17	2384.00	2588.77	USD	10,238.50	
21AUG17	B 1 PD21NOV17	2386.00	2588.77	USD	5,069.25	
21AUG17	B 1 PD21NOV17	2385.50	2588.77	USD	5,081.75	
21AUG17	B 1 PD21NOV17	2374.00	2588.77	USD	5,369.25	
21AUG17	B 1 PD21NOV17	2376.50	2588.77	USD	5,306.75	
21AUG17	B 2 PD21NOV17	2376.00	2588.77	USD	10,638.50	
21AUG17	B 1 PD21NOV17	2375.50	2588.77	USD	5,331.75	
21AUG17	B 1 PD21NOV17	2373.00	2588.77	USD	5,394.25	
21AUG17	B 1 PD21NOV17	2356.50	2588.77	USD	5,806.75	
21AUG17	B 2 PD21NOV17	2357.00	2588.77	USD	11,588.50	
21AUG17	S 1 PD21NOV17	2356.00	2588.77	USD	-5,819.25	
21AUG17	S 2 PD21NOV17	2369.00	2588.77	USD	-10,988.50	
21AUG17	S 2 PD21NOV17	2370.50	2588.77	USD	-10,913.50	
21AUG17	S 2 PD21NOV17	2379.00	2588.77	USD	-10,488.50	
21AUG17	S 3 PD21NOV17	2376.00	2588.77	USD	-15,957.75	
21AUG17	B 1 PD21NOV17	2361.50	2588.77	USD	5,681.75	
21AUG17	B 3 PD21NOV17	2362.00	2588.77	USD	17,007.75	
21AUG17	B 3 PD21NOV17	2362.50	2588.77	USD	16,970.25	
21AUG17	S 2 PD21NOV17	2361.00	2588.77	USD	-11,388.50	
21AUG17	B 1 PD21NOV17	2350.00	2588.77	USD	5,969.25	
21AUG17	B 2 PD21NOV17	2351.00	2588.77	USD	11,888.50	
21AUG17	B 1 PD21NOV17	2349.50	2588.77	USD	5,981.75	
21AUG17	S 1 PD21NOV17	2352.00	2588.77	USD	-5,919.25	
23AUG17	S 2 PD21NOV17	2376.25	2588.77	USD	-10,626.00	
30AUG17	S 3 PD21NOV17	2367.59	2588.77	USD	-16,588.50	
11SEP17	S 3 PD21NOV17	2270.60	2588.77	USD	-23,862.75	
15SEP17	S 1 PD21NOV17	2352.20	2588.77	USD	-5,914.25	
	NET 0 AVG BGHT 2371.77 SOLD 2363.77				**-6,393.25**	**0.00**
22AUG17	S 2 PD22NOV17	2354.50	2588.90	USD	-11,720.00	
22AUG17	S 1 PD22NOV17	2348.00	2588.90	USD	-6,022.50	
22AUG17	S 2 PD22NOV17	2346.50	2588.90	USD	-12,120.00	
22AUG17	S 1 PD22NOV17	2345.00	2588.90	USD	-6,097.50	
22AUG17	S 2 PD22NOV17	2342.50	2588.90	USD	-12,320.00	
22AUG17	S 1 PD22NOV17	2345.50	2588.90	USD	-6,085.00	
22AUG17	S 2 PD22NOV17	2338.50	2588.90	USD	-12,520.00	
22AUG17	S 2 PD22NOV17	2341.00	2588.90	USD	-12,395.00	
22AUG17	S 1 PD22NOV17	2340.50	2588.90	USD	-6,210.00	
22AUG17	S 1 PD22NOV17	2340.00	2588.90	USD	-6,222.50	
22AUG17	S 3 PD22NOV17	2337.50	2588.90	USD	-18,855.00	
22AUG17	S 1 PD22NOV17	2336.50	2588.90	USD	-6,310.00	
22AUG17	S 1 PD22NOV17	2338.00	2588.90	USD	-6,272.50	
22AUG17	S 2 PD22NOV17	2328.50	2588.90	USD	-13,020.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
22AUG17	S 1 PD22NOV17	2323.00	2588.90	USD	-6,647.50	
22AUG17	S 1 PD22NOV17	2322.50	2588.90	USD	-6,660.00	
22AUG17	S 1 PD22NOV17	2322.00	2588.90	USD	-6,672.50	
22AUG17	S 1 PD22NOV17	2321.50	2588.90	USD	-6,685.00	
22AUG17	B 2 PD22NOV17	2334.50	2588.90	USD	12,720.00	
22AUG17	B 1 PD22NOV17	2344.50	2588.90	USD	6,110.00	
22AUG17	B 1 PD22NOV17	2345.00	2588.90	USD	6,097.50	
22AUG17	B 2 PD22NOV17	2338.00	2588.90	USD	12,545.00	
22AUG17	B 1 PD22NOV17	2345.50	2588.90	USD	6,085.00	
22AUG17	B 1 PD22NOV17	2346.00	2588.90	USD	6,072.50	
22AUG17	B 3 PD22NOV17	2343.50	2588.90	USD	18,405.00	
22AUG17	B 1 PD22NOV17	2344.00	2588.90	USD	6,122.50	
22AUG17	S 4 PD22NOV17	2358.00	2588.90	USD	-23,090.00	
22AUG17	S 1 PD22NOV17	2361.00	2588.90	USD	-5,697.50	
22AUG17	S 1 PD22NOV17	2374.50	2588.90	USD	-5,360.00	
22AUG17	S 2 PD22NOV17	2373.50	2588.90	USD	-10,770.00	
22AUG17	B 1 PD22NOV17	2374.00	2588.90	USD	5,372.50	
22AUG17	B 1 PD22NOV17	2372.50	2588.90	USD	5,410.00	
22AUG17	B 1 PD22NOV17	2372.00	2588.90	USD	5,422.50	
22AUG17	B 1 PD22NOV17	2367.00	2588.90	USD	5,547.50	
22AUG17	B 1 PD22NOV17	2365.50	2588.90	USD	5,585.00	
22AUG17	B 1 PD22NOV17	2368.50	2588.90	USD	5,510.00	
22AUG17	B 1 PD22NOV17	2369.50	2588.90	USD	5,485.00	
22AUG17	S 3 PD22NOV17	2353.00	2588.90	USD	-17,692.50	
22AUG17	S 1 PD22NOV17	2351.50	2588.90	USD	-5,935.00	
22AUG17	S 2 PD22NOV17	2351.00	2588.90	USD	-11,895.00	
22AUG17	S 6 PD22NOV17	2352.50	2588.90	USD	-35,460.00	
22AUG17	S 4 PD22NOV17	2352.00	2588.90	USD	-23,690.00	
22AUG17	S 2 PD22NOV17	2353.50	2588.90	USD	-11,770.00	
22AUG17	S 2 PD22NOV17	2369.50	2588.90	USD	-10,970.00	
22AUG17	S 1 PD22NOV17	2370.00	2588.90	USD	-5,472.50	
22AUG17	S 1 PD22NOV17	2371.00	2588.90	USD	-5,447.50	
22AUG17	S 3 PD22NOV17	2370.50	2588.90	USD	-16,380.00	
22AUG17	B 1 PD22NOV17	2377.50	2588.90	USD	5,285.00	
22AUG17	B 1 PD22NOV17	2381.00	2588.90	USD	5,197.50	
22AUG17	B 2 PD22NOV17	2381.50	2588.90	USD	10,370.00	
22AUG17	B 1 PD22NOV17	2383.00	2588.90	USD	5,147.50	
22AUG17	B 1 PD22NOV17	2382.50	2588.90	USD	5,160.00	
22AUG17	B 4 PD22NOV17	2382.00	2588.90	USD	20,690.00	
22AUG17	B 1 PD22NOV17	2394.50	2588.90	USD	4,860.00	
22AUG17	B 3 PD22NOV17	2395.00	2588.90	USD	14,542.50	
22AUG17	B 5 PD22NOV17	2393.00	2588.90	USD	24,487.50	
22AUG17	B 1 PD22NOV17	2395.50	2588.90	USD	4,835.00	
22AUG17	B 1 PD22NOV17	2396.00	2588.90	USD	4,822.50	
22AUG17	B 1 PD22NOV17	2396.50	2588.90	USD	4,810.00	
22AUG17	S 2 PD22NOV17	2408.50	2588.90	USD	-9,020.00	
22AUG17	S 2 PD22NOV17	2406.50	2588.90	USD	-9,120.00	
22AUG17	S 2 PD22NOV17	2406.00	2588.90	USD	-9,145.00	
22AUG17	S 1 PD22NOV17	2407.00	2588.90	USD	-4,547.50	
22AUG17	S 1 PD22NOV17	2408.00	2588.90	USD	-4,522.50	
22AUG17	S 3 PD22NOV17	2407.50	2588.90	USD	-13,605.00	
22AUG17	S 1 PD22NOV17	2405.00	2588.90	USD	-4,597.50	
22AUG17	S 2 PD22NOV17	2405.50	2588.90	USD	-9,170.00	
22AUG17	S 1 PD22NOV17	2400.00	2588.90	USD	-4,722.50	
22AUG17	S 3 PD22NOV17	2400.50	2588.90	USD	-14,130.00	
22AUG17	S 1 PD22NOV17	2401.00	2588.90	USD	-4,697.50	
22AUG17	B 1 PD22NOV17	2404.50	2588.90	USD	4,610.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
22AUG17	B 1 PD22NOV17	2401.50	2588.90	USD	4,685.00	
22AUG17	S 3 PD22NOV17	2417.00	2588.90	USD	-12,892.50	
22AUG17	S 4 PD22NOV17	2415.50	2588.90	USD	-17,340.00	
22AUG17	B 1 PD22NOV17	2406.00	2588.90	USD	4,572.50	
22AUG17	B 3 PD22NOV17	2408.00	2588.90	USD	13,567.50	
22AUG17	B 3 PD22NOV17	2407.50	2588.90	USD	13,605.00	
22AUG17	B 2 PD22NOV17	2406.50	2588.90	USD	9,120.00	
22AUG17	B 2 PD22NOV17	2405.00	2588.90	USD	9,195.00	
22AUG17	S 1 PD22NOV17	2396.00	2588.90	USD	-4,822.50	
22AUG17	S 6 PD22NOV17	2394.50	2588.90	USD	-29,160.00	
22AUG17	S 5 PD22NOV17	2395.00	2588.90	USD	-24,237.50	
22AUG17	S 2 PD22NOV17	2395.50	2588.90	USD	-9,670.00	
22AUG17	B 1 PD22NOV17	2399.50	2588.90	USD	4,735.00	
22AUG17	S 1 PD22NOV17	2397.50	2588.90	USD	-4,785.00	
22AUG17	B 2 PD22NOV17	2398.50	2588.90	USD	9,520.00	
22AUG17	B 7 PD22NOV17	2401.00	2588.90	USD	32,882.50	
22AUG17	B 6 PD22NOV17	2400.50	2588.90	USD	28,260.00	
22AUG17	B 1 PD22NOV17	2402.00	2588.90	USD	4,672.50	
22AUG17	B 1 PD22NOV17	2404.00	2588.90	USD	4,622.50	
22AUG17	B 7 PD22NOV17	2403.50	2588.90	USD	32,445.00	
22AUG17	B 7 PD22NOV17	2405.50	2588.90	USD	32,095.00	
22AUG17	B 1 PD22NOV17	2373.00	2588.90	USD	5,397.50	
22AUG17	B 1 PD22NOV17	2369.50	2588.90	USD	5,485.00	
22AUG17	S 1 PD22NOV17	2352.50	2588.90	USD	-5,910.00	
22AUG17	S 1 PD22NOV17	2371.00	2588.90	USD	-5,447.50	
22AUG17	B 3 PD22NOV17	2382.50	2588.90	USD	15,480.00	
22AUG17	B 1 PD22NOV17	2394.50	2588.90	USD	4,860.00	
22AUG17	S 1 PD22NOV17	2395.00	2588.90	USD	-4,847.50	
22AUG17	B 1 PD22NOV17	2408.50	2588.90	USD	4,510.00	
22AUG17	B 2 PD22NOV17	2409.50	2588.90	USD	8,970.00	
22AUG17	B 1 PD22NOV17	2409.00	2588.90	USD	4,497.50	
22AUG17	B 2 PD22NOV17	2413.00	2588.90	USD	8,795.00	
22AUG17	S 1 PD22NOV17	2421.50	2588.90	USD	-4,185.00	
22AUG17	S 1 PD22NOV17	2422.00	2588.90	USD	-4,172.50	
22AUG17	S 4 PD22NOV17	2418.50	2588.90	USD	-17,040.00	
22AUG17	S 2 PD22NOV17	2415.00	2588.90	USD	-8,695.00	
22AUG17	S 2 PD22NOV17	2414.00	2588.90	USD	-8,745.00	
22AUG17	S 1 PD22NOV17	2416.00	2588.90	USD	-4,322.50	
22AUG17	S 2 PD22NOV17	2416.50	2588.90	USD	-8,620.00	
22AUG17	S 1 PD22NOV17	2413.00	2588.90	USD	-4,397.50	
22AUG17	S 2 PD22NOV17	2413.50	2588.90	USD	-8,770.00	
22AUG17	S 2 PD22NOV17	2412.50	2588.90	USD	-8,820.00	
22AUG17	S 1 PD22NOV17	2411.00	2588.90	USD	-4,447.50	
22AUG17	S 1 PD22NOV17	2410.50	2588.90	USD	-4,460.00	
22AUG17	S 3 PD22NOV17	2410.00	2588.90	USD	-13,417.50	
22AUG17	S 2 PD22NOV17	2411.50	2588.90	USD	-8,870.00	
22AUG17	S 3 PD22NOV17	2414.50	2588.90	USD	-13,080.00	
22AUG17	S 2 PD22NOV17	2412.00	2588.90	USD	-8,845.00	
23AUG17	B 35 PD22NOV17	2376.70	2588.90	USD	185,675.00	
	NET 0 AVG BGHT 2385.91 SOLD 2381.46				**-14,787.50**	**0.00**
23AUG17	S 2 PD24NOV17	2410.50	2589.16	USD	-8,933.00	
23AUG17	S 2 PD24NOV17	2410.00	2589.16	USD	-8,958.00	
23AUG17	S 3 PD24NOV17	2411.00	2589.16	USD	-13,362.00	
23AUG17	S 1 PD24NOV17	2402.50	2589.16	USD	-4,666.50	
23AUG17	S 1 PD24NOV17	2400.00	2589.16	USD	-4,729.00	
23AUG17	S 1 PD24NOV17	2400.50	2589.16	USD	-4,716.50	
23AUG17	S 4 PD24NOV17	2397.50	2589.16	USD	-19,166.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
23AUG17	S 1 PD24NOV17	2406.50	2589.16	USD	-4,566.50	
23AUG17	S 1 PD24NOV17	2406.00	2589.16	USD	-4,579.00	
23AUG17	B 2 PD24NOV17	2403.50	2589.16	USD	9,283.00	
23AUG17	B 1 PD24NOV17	2395.50	2589.16	USD	4,841.50	
23AUG17	B 2 PD24NOV17	2396.50	2589.16	USD	9,633.00	
23AUG17	B 1 PD24NOV17	2399.50	2589.16	USD	4,741.50	
23AUG17	B 3 PD24NOV17	2399.00	2589.16	USD	14,262.00	
23AUG17	B 1 PD24NOV17	2400.00	2589.16	USD	4,729.00	
23AUG17	B 1 PD24NOV17	2400.50	2589.16	USD	4,716.50	
23AUG17	B 1 PD24NOV17	2404.50	2589.16	USD	4,616.50	
23AUG17	S 1 PD24NOV17	2399.00	2589.16	USD	-4,754.00	
23AUG17	S 1 PD24NOV17	2398.50	2589.16	USD	-4,766.50	
23AUG17	S 2 PD24NOV17	2397.00	2589.16	USD	-9,608.00	
23AUG17	B 1 PD24NOV17	2397.50	2589.16	USD	4,791.50	
23AUG17	B 4 PD24NOV17	2397.00	2589.16	USD	19,216.00	
23AUG17	B 1 PD24NOV17	2396.00	2589.16	USD	4,829.00	
23AUG17	B 1 PD24NOV17	2398.00	2589.16	USD	4,779.00	
23AUG17	B 1 PD24NOV17	2393.00	2589.16	USD	4,904.00	
23AUG17	B 1 PD24NOV17	2382.50	2589.16	USD	5,166.50	
23AUG17	B 1 PD24NOV17	2378.50	2589.16	USD	5,266.50	
23AUG17	S 1 PD24NOV17	2381.50	2589.16	USD	-5,191.50	
23AUG17	S 2 PD24NOV17	2387.50	2589.16	USD	-10,083.00	
23AUG17	S 1 PD24NOV17	2384.00	2589.16	USD	-5,129.00	
23AUG17	S 1 PD24NOV17	2383.00	2589.16	USD	-5,154.00	
23AUG17	S 2 PD24NOV17	2384.50	2589.16	USD	-10,233.00	
23AUG17	S 2 PD24NOV17	2383.50	2589.16	USD	-10,283.00	
23AUG17	S 2 PD24NOV17	2382.50	2589.16	USD	-10,333.00	
24AUG17	B 1 PD24NOV17	2386.00	2589.16	USD	5,079.00	
24AUG17	B 1 PD24NOV17	2385.00	2589.16	USD	5,104.00	
24AUG17	B 2 PD24NOV17	2384.50	2589.16	USD	10,233.00	
24AUG17	S 1 PD24NOV17	2403.50	2589.16	USD	-4,641.50	
24AUG17	B 1 PD24NOV17	2402.50	2589.16	USD	4,666.50	
24AUG17	S 1 PD24NOV17	2398.00	2589.16	USD	-4,779.00	
24AUG17	S 1 PD24NOV17	2401.50	2589.16	USD	-4,691.50	
24AUG17	S 1 PD24NOV17	2402.00	2589.16	USD	-4,679.00	
24AUG17	S 3 PD24NOV17	2399.00	2589.16	USD	-14,262.00	
24AUG17	S 1 PD24NOV17	2400.00	2589.16	USD	-4,729.00	
24AUG17	S 1 PD24NOV17	2401.00	2589.16	USD	-4,704.00	
24AUG17	S 1 PD24NOV17	2389.00	2589.16	USD	-5,004.00	
24AUG17	B 1 PD24NOV17	2351.50	2589.16	USD	5,941.50	
24AUG17	B 2 PD24NOV17	2351.00	2589.16	USD	11,908.00	
24AUG17	B 1 PD24NOV17	2354.00	2589.16	USD	5,879.00	
24AUG17	B 1 PD24NOV17	2356.50	2589.16	USD	5,816.50	
24AUG17	B 1 PD24NOV17	2354.50	2589.16	USD	5,866.50	
24AUG17	B 2 PD24NOV17	2355.00	2589.16	USD	11,708.00	
24AUG17	B 1 PD24NOV17	2352.50	2589.16	USD	5,916.50	
24AUG17	B 1 PD24NOV17	2353.00	2589.16	USD	5,904.00	
24AUG17	B 3 PD24NOV17	2353.50	2589.16	USD	17,674.50	
24AUG17	B 5 PD24NOV17	2352.00	2589.16	USD	29,645.00	
24AUG17	B 2 PD24NOV17	2357.00	2589.16	USD	11,608.00	
24AUG17	B 1 PD24NOV17	2360.50	2589.16	USD	5,716.50	
24AUG17	B 1 PD24NOV17	2361.00	2589.16	USD	5,704.00	
24AUG17	B 1 PD24NOV17	2361.50	2589.16	USD	5,691.50	
24AUG17	B 1 PD24NOV17	2368.00	2589.16	USD	5,529.00	
24AUG17	B 3 PD24NOV17	2352.00	2589.16	USD	17,787.00	
24AUG17	B 2 PD24NOV17	2359.50	2589.16	USD	11,483.00	
24AUG17	B 4 PD24NOV17	2358.00	2589.16	USD	23,116.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
24AUG17	S 2 PD24NOV17	2355.00	2589.16	USD	-11,708.00	
25AUG17	B 1 PD24NOV17	2372.00	2589.16	USD	5,429.00	
25AUG17	B 2 PD24NOV17	2375.00	2589.16	USD	10,708.00	
25AUG17	B 1 PD24NOV17	2370.00	2589.16	USD	5,479.00	
25AUG17	S 2 PD24NOV17	2371.00	2589.16	USD	-10,908.00	
25AUG17	B 4 PD24NOV17	2373.50	2589.16	USD	21,566.00	
25AUG17	B 5 PD24NOV17	2374.00	2589.16	USD	26,895.00	
25AUG17	B 2 PD24NOV17	2374.50	2589.16	USD	10,733.00	
25AUG17	B 1 PD24NOV17	2372.50	2589.16	USD	5,416.50	
25AUG17	B 2 PD24NOV17	2373.00	2589.16	USD	10,808.00	
25AUG17	S 1 PD24NOV17	2361.50	2589.16	USD	-5,691.50	
25AUG17	S 1 PD24NOV17	2361.00	2589.16	USD	-5,704.00	
25AUG17	S 2 PD24NOV17	2360.50	2589.16	USD	-11,433.00	
25AUG17	S 2 PD24NOV17	2360.00	2589.16	USD	-11,458.00	
25AUG17	S 1 PD24NOV17	2358.00	2589.16	USD	-5,779.00	
25AUG17	S 1 PD24NOV17	2355.50	2589.16	USD	-5,841.50	
25AUG17	S 2 PD24NOV17	2355.00	2589.16	USD	-11,708.00	
25AUG17	S 1 PD24NOV17	2356.50	2589.16	USD	-5,816.50	
25AUG17	S 1 PD24NOV17	2349.00	2589.16	USD	-6,004.00	
25AUG17	S 1 PD24NOV17	2347.50	2589.16	USD	-6,041.50	
25AUG17	S 1 PD24NOV17	2349.50	2589.16	USD	-5,991.50	
25AUG17	S 1 PD24NOV17	2347.00	2589.16	USD	-6,054.00	
25AUG17	S 1 PD24NOV17	2348.00	2589.16	USD	-6,029.00	
25AUG17	S 1 PD24NOV17	2338.00	2589.16	USD	-6,279.00	
25AUG17	S 1 PD24NOV17	2338.50	2589.16	USD	-6,266.50	
25AUG17	S 1 PD24NOV17	2340.00	2589.16	USD	-6,229.00	
25AUG17	S 1 PD24NOV17	2339.00	2589.16	USD	-6,254.00	
25AUG17	S 4 PD24NOV17	2339.50	2589.16	USD	-24,966.00	
25AUG17	S 2 PD24NOV17	2340.50	2589.16	USD	-12,433.00	
25AUG17	S 6 PD24NOV17	2341.00	2589.16	USD	-37,224.00	
25AUG17	S 3 PD24NOV17	2342.00	2589.16	USD	-18,537.00	
25AUG17	S 5 PD24NOV17	2343.00	2589.16	USD	-30,770.00	
25AUG17	S 3 PD24NOV17	2343.50	2589.16	USD	-18,424.50	
25AUG17	S 2 PD24NOV17	2348.50	2589.16	USD	-12,033.00	
25AUG17	S 2 PD24NOV17	2344.00	2589.16	USD	-12,258.00	
25AUG17	B 1 PD24NOV17	2339.00	2589.16	USD	6,254.00	
25AUG17	S 1 PD24NOV17	2371.50	2589.16	USD	-5,441.50	
25AUG17	B 4 PD24NOV17	2332.00	2589.16	USD	25,716.00	
25AUG17	B 1 PD24NOV17	2331.00	2589.16	USD	6,454.00	
25AUG17	S 1 PD24NOV17	2320.50	2589.16	USD	-6,716.50	
25AUG17	S 1 PD24NOV17	2321.50	2589.16	USD	-6,691.50	
25AUG17	S 7 PD24NOV17	2320.00	2589.16	USD	-47,103.00	
25AUG17	S 1 PD24NOV17	2321.00	2589.16	USD	-6,704.00	
30AUG17	B 17 PD24NOV17	2368.59	2589.16	USD	93,742.25	
15SEP17	B 2 PD24NOV17	2353.23	2589.16	USD	11,796.50	
	NET 0 AVG BGHT 2369.84 SOLD 2365.00				**-12,449.75**	**0.00**
29AUG17	B 2 PD29NOV17	2343.50	2589.80	USD	12,315.00	
29AUG17	S 1 PD29NOV17	2343.50	2589.80	USD	-6,157.50	
29AUG17	B 1 PD29NOV17	2351.50	2589.80	USD	5,957.50	
29AUG17	S 1 PD29NOV17	2344.00	2589.80	USD	-6,145.00	
29AUG17	B 1 PD29NOV17	2342.00	2589.80	USD	6,195.00	
29AUG17	B 1 PD29NOV17	2341.50	2589.80	USD	6,207.50	
29AUG17	B 1 PD29NOV17	2344.00	2589.80	USD	6,145.00	
29AUG17	B 3 PD29NOV17	2345.00	2589.80	USD	18,360.00	
29AUG17	B 1 PD29NOV17	2353.50	2589.80	USD	5,907.50	
29AUG17	B 3 PD29NOV17	2353.00	2589.80	USD	17,760.00	
29AUG17	B 3 PD29NOV17	2352.50	2589.80	USD	17,797.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
29AUG17	B 1 PD29NOV17	2348.50	2589.80	USD	6,032.50	
29AUG17	B 1 PD29NOV17	2349.00	2589.80	USD	6,020.00	
29AUG17	B 6 PD29NOV17	2348.00	2589.80	USD	36,270.00	
29AUG17	B 1 PD29NOV17	2347.50	2589.80	USD	6,057.50	
29AUG17	B 1 PD29NOV17	2350.50	2589.80	USD	5,982.50	
29AUG17	S 4 PD29NOV17	2402.50	2589.80	USD	-18,730.00	
29AUG17	S 1 PD29NOV17	2395.50	2589.80	USD	-4,857.50	
29AUG17	S 2 PD29NOV17	2395.00	2589.80	USD	-9,740.00	
29AUG17	S 3 PD29NOV17	2396.50	2589.80	USD	-14,497.50	
29AUG17	S 4 PD29NOV17	2397.50	2589.80	USD	-19,230.00	
29AUG17	S 4 PD29NOV17	2398.00	2589.80	USD	-19,180.00	
29AUG17	S 3 PD29NOV17	2398.50	2589.80	USD	-14,347.50	
29AUG17	S 1 PD29NOV17	2396.00	2589.80	USD	-4,845.00	
15SEP17	S 2 PD29NOV17	2354.40	2589.80	USD	-11,770.00	
	NET 0 AVG BGHT 2348.25 SOLD 2390.57				**27,507.50**	**0.00**
30AUG17	S 1 PD30NOV17	2375.50	2589.93	USD	-5,360.75	
30AUG17	B 1 PD30NOV17	2393.00	2589.93	USD	4,923.25	
30AUG17	B 1 PD30NOV17	2394.00	2589.93	USD	4,898.25	
30AUG17	B 3 PD30NOV17	2397.50	2589.93	USD	14,432.25	
30AUG17	B 2 PD30NOV17	2398.00	2589.93	USD	9,596.50	
30AUG17	B 4 PD30NOV17	2388.00	2589.93	USD	20,193.00	
30AUG17	B 1 PD30NOV17	2390.00	2589.93	USD	4,998.25	
30AUG17	B 3 PD30NOV17	2389.50	2589.93	USD	15,032.25	
30AUG17	B 1 PD30NOV17	2387.50	2589.93	USD	5,060.75	
30AUG17	B 2 PD30NOV17	2392.50	2589.93	USD	9,871.50	
30AUG17	S 1 PD30NOV17	2394.00	2589.93	USD	-4,898.25	
30AUG17	B 3 PD30NOV17	2373.00	2589.93	USD	16,269.75	
30AUG17	B 1 PD30NOV17	2375.50	2589.93	USD	5,360.75	
30AUG17	B 3 PD30NOV17	2375.00	2589.93	USD	16,119.75	
30AUG17	B 1 PD30NOV17	2371.50	2589.93	USD	5,460.75	
30AUG17	B 1 PD30NOV17	2372.00	2589.93	USD	5,448.25	
30AUG17	B 1 PD30NOV17	2373.50	2589.93	USD	5,410.75	
30AUG17	B 1 PD30NOV17	2376.50	2589.93	USD	5,335.75	
30AUG17	B 2 PD30NOV17	2380.50	2589.93	USD	10,471.50	
30AUG17	S 2 PD30NOV17	2398.00	2589.93	USD	-9,596.50	
30AUG17	B 1 PD30NOV17	2377.00	2589.93	USD	5,323.25	
30AUG17	B 1 PD30NOV17	2401.00	2589.93	USD	4,723.25	
30AUG17	B 1 PD30NOV17	2395.50	2589.93	USD	4,860.75	
30AUG17	B 1 PD30NOV17	2388.50	2589.93	USD	5,035.75	
30AUG17	B 1 PD30NOV17	2386.00	2589.93	USD	5,098.25	
30AUG17	B 2 PD30NOV17	2387.00	2589.93	USD	10,146.50	
30AUG17	B 1 PD30NOV17	2380.00	2589.93	USD	5,248.25	
30AUG17	B 1 PD30NOV17	2379.50	2589.93	USD	5,260.75	
30AUG17	B 4 PD30NOV17	2379.00	2589.93	USD	21,093.00	
30AUG17	B 1 PD30NOV17	2370.00	2589.93	USD	5,498.25	
30AUG17	B 2 PD30NOV17	2371.00	2589.93	USD	10,946.50	
30AUG17	B 4 PD30NOV17	2377.00	2589.93	USD	21,293.00	
31AUG17	S 5 PD30NOV17	2384.50	2589.93	USD	-25,678.75	
31AUG17	S 3 PD30NOV17	2384.00	2589.93	USD	-15,444.75	
31AUG17	S 1 PD30NOV17	2382.50	2589.93	USD	-5,185.75	
31AUG17	S 1 PD30NOV17	2378.00	2589.93	USD	-5,298.25	
31AUG17	S 2 PD30NOV17	2375.00	2589.93	USD	-10,746.50	
31AUG17	S 3 PD30NOV17	2374.50	2589.93	USD	-16,157.25	
31AUG17	S 2 PD30NOV17	2388.50	2589.93	USD	-10,071.50	
31AUG17	S 3 PD30NOV17	2385.00	2589.93	USD	-15,369.75	
31AUG17	S 2 PD30NOV17	2386.50	2589.93	USD	-10,171.50	
31AUG17	S 1 PD30NOV17	2387.00	2589.93	USD	-5,073.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
31AUG17	S	5	PD30NOV17	2385.50	2589.93	USD	-25,553.75	
31AUG17	S	2	PD30NOV17	2386.00	2589.93	USD	-10,196.50	
31AUG17	S	3	PD30NOV17	2382.00	2589.93	USD	-15,594.75	
31AUG17	S	1	PD30NOV17	2381.50	2589.93	USD	-5,210.75	
31AUG17	S	4	PD30NOV17	2375.50	2589.93	USD	-21,443.00	
31AUG17	S	3	PD30NOV17	2380.00	2589.93	USD	-15,744.75	
31AUG17	S	1	PD30NOV17	2379.50	2589.93	USD	-5,260.75	
31AUG17	S	1	PD30NOV17	2378.00	2589.93	USD	-5,298.25	
31AUG17	S	1	PD30NOV17	2390.50	2589.93	USD	-4,985.75	
11SEP17	S	1	PD30NOV17	2273.48	2589.93	USD	-7,911.25	
15SEP17	S	2	PD30NOV17	2354.88	2589.93	USD	-11,752.50	
	NET	**0**	**AVG BGHT 2383.33**	**SOLD 2379.73**			**-4,594.00**	**0.00**
01SEP17	B	2	PD01DEC17	2393.50	2590.06	USD	9,828.00	
01SEP17	B	2	PD01DEC17	2394.00	2590.06	USD	9,803.00	
01SEP17	B	2	PD01DEC17	2392.50	2590.06	USD	9,878.00	
01SEP17	B	2	PD01DEC17	2391.00	2590.06	USD	9,953.00	
01SEP17	B	1	PD01DEC17	2392.00	2590.06	USD	4,951.50	
01SEP17	S	2	PD01DEC17	2407.50	2590.06	USD	-9,128.00	
01SEP17	B	2	PD01DEC17	2405.50	2590.06	USD	9,228.00	
01SEP17	B	1	PD01DEC17	2389.00	2590.06	USD	5,026.50	
01SEP17	B	5	PD01DEC17	2393.00	2590.06	USD	24,632.50	
01SEP17	B	2	PD01DEC17	2401.00	2590.06	USD	9,453.00	
01SEP17	B	3	PD01DEC17	2401.50	2590.06	USD	14,142.00	
01SEP17	S	1	PD01DEC17	2404.00	2590.06	USD	-4,651.50	
01SEP17	B	4	PD01DEC17	2406.50	2590.06	USD	18,356.00	
01SEP17	B	4	PD01DEC17	2406.00	2590.06	USD	18,406.00	
01SEP17	B	2	PD01DEC17	2404.50	2590.06	USD	9,278.00	
01SEP17	B	1	PD01DEC17	2403.00	2590.06	USD	4,676.50	
01SEP17	S	1	PD01DEC17	2402.00	2590.06	USD	-4,701.50	
01SEP17	B	1	PD01DEC17	2410.50	2590.06	USD	4,489.00	
01SEP17	B	1	PD01DEC17	2413.00	2590.06	USD	4,426.50	
01SEP17	S	2	PD01DEC17	2412.00	2590.06	USD	-8,903.00	
01SEP17	B	1	PD01DEC17	2403.00	2590.06	USD	4,676.50	
01SEP17	B	1	PD01DEC17	2405.00	2590.06	USD	4,626.50	
01SEP17	B	3	PD01DEC17	2396.00	2590.06	USD	14,554.50	
01SEP17	S	1	PD01DEC17	2397.00	2590.06	USD	-4,826.50	
01SEP17	B	1	PD01DEC17	2390.50	2590.06	USD	4,989.00	
11SEP17	S	28	PD01DEC17	2273.80	2590.06	USD	-221,382.00	
15SEP17	S	2	PD01DEC17	2355.15	2590.06	USD	-11,745.50	
22SEP17	S	4	PD01DEC17	2488.50	2590.06	USD	-10,156.00	
	NET	**0**	**AVG BGHT 2399.45**	**SOLD 2321.29**			**-80,120.00**	**0.00**
04SEP17	B	1	PD04DEC17	2415.00	2590.44	USD	4,386.00	
04SEP17	S	1	PD04DEC17	2396.00	2590.44	USD	-4,861.00	
04SEP17	B	1	PD04DEC17	2408.50	2590.44	USD	4,548.50	
04SEP17	S	1	PD04DEC17	2401.50	2590.44	USD	-4,723.50	
04SEP17	S	1	PD04DEC17	2393.50	2590.44	USD	-4,923.50	
04SEP17	S	2	PD04DEC17	2394.00	2590.44	USD	-9,822.00	
11SEP17	B	3	PD04DEC17	2274.96	2590.44	USD	23,661.00	
	NET	**0**	**AVG BGHT 2329.68**	**SOLD 2395.80**			**8,265.50**	**0.00**
05SEP17	S	1	PD05DEC17	2402.00	2590.57	USD	-4,714.25	
05SEP17	S	1	PD05DEC17	2401.50	2590.57	USD	-4,726.75	
05SEP17	S	1	PD05DEC17	2400.00	2590.57	USD	-4,764.25	
05SEP17	S	2	PD05DEC17	2404.00	2590.57	USD	-9,328.50	
05SEP17	B	1	PD05DEC17	2405.00	2590.57	USD	4,639.25	
05SEP17	B	1	PD05DEC17	2404.50	2590.57	USD	4,651.75	
05SEP17	B	1	PD05DEC17	2370.00	2590.57	USD	5,514.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
05SEP17	B 2 PD05DEC17	2349.00	2590.57	USD	12,078.50	
05SEP17	B 2 PD05DEC17	2338.50	2590.57	USD	12,603.50	
05SEP17	B 1 PD05DEC17	2340.50	2590.57	USD	6,251.75	
05SEP17	B 3 PD05DEC17	2339.00	2590.57	USD	18,867.75	
05SEP17	S 1 PD05DEC17	2328.50	2590.57	USD	-6,551.75	
05SEP17	S 1 PD05DEC17	2337.00	2590.57	USD	-6,339.25	
05SEP17	S 1 PD05DEC17	2339.00	2590.57	USD	-6,289.25	
05SEP17	S 4 PD05DEC17	2338.00	2590.57	USD	-25,257.00	
05SEP17	S 1 PD05DEC17	2329.00	2590.57	USD	-6,539.25	
05SEP17	S 1 PD05DEC17	2329.50	2590.57	USD	-6,526.75	
05SEP17	S 2 PD05DEC17	2327.50	2590.57	USD	-13,153.50	
05SEP17	S 2 PD05DEC17	2325.50	2590.57	USD	-13,253.50	
05SEP17	S 3 PD05DEC17	2325.00	2590.57	USD	-19,917.75	
05SEP17	S 2 PD05DEC17	2323.00	2590.57	USD	-13,378.50	
05SEP17	S 2 PD05DEC17	2324.50	2590.57	USD	-13,303.50	
05SEP17	S 1 PD05DEC17	2326.50	2590.57	USD	-6,601.75	
05SEP17	S 2 PD05DEC17	2327.00	2590.57	USD	-13,178.50	
05SEP17	S 1 PD05DEC17	2330.50	2590.57	USD	-6,501.75	
05SEP17	S 4 PD05DEC17	2328.00	2590.57	USD	-26,257.00	
05SEP17	S 1 PD05DEC17	2337.50	2590.57	USD	-6,326.75	
11SEP17	B 21 PD05DEC17	2275.28	2590.57	USD	165,527.25	
15SEP17	B 2 PD05DEC17	2356.45	2590.57	USD	11,706.00	
	NET 0 AVG BGHT 2306.05 SOLD 2340.09				**28,930.50**	**0.00**
06SEP17	B 1 PD06DEC17	2331.00	2590.70	USD	6,492.50	
06SEP17	B 1 PD06DEC17	2330.00	2590.70	USD	6,517.50	
06SEP17	B 1 PD06DEC17	2327.50	2590.70	USD	6,580.00	
06SEP17	B 1 PD06DEC17	2328.00	2590.70	USD	6,567.50	
06SEP17	B 2 PD06DEC17	2328.50	2590.70	USD	13,110.00	
06SEP17	B 3 PD06DEC17	2329.00	2590.70	USD	19,627.50	
06SEP17	B 1 PD06DEC17	2333.00	2590.70	USD	6,442.50	
06SEP17	B 1 PD06DEC17	2331.50	2590.70	USD	6,480.00	
06SEP17	B 1 PD06DEC17	2332.00	2590.70	USD	6,467.50	
06SEP17	S 1 PD06DEC17	2332.00	2590.70	USD	-6,467.50	
06SEP17	B 2 PD06DEC17	2330.50	2590.70	USD	13,010.00	
06SEP17	S 2 PD06DEC17	2340.00	2590.70	USD	-12,535.00	
06SEP17	S 4 PD06DEC17	2340.50	2590.70	USD	-25,020.00	
06SEP17	B 1 PD06DEC17	2340.00	2590.70	USD	6,267.50	
06SEP17	B 1 PD06DEC17	2339.00	2590.70	USD	6,292.50	
06SEP17	B 1 PD06DEC17	2340.50	2590.70	USD	6,255.00	
06SEP17	B 2 PD06DEC17	2342.50	2590.70	USD	12,410.00	
06SEP17	B 1 PD06DEC17	2343.50	2590.70	USD	6,180.00	
06SEP17	B 2 PD06DEC17	2342.00	2590.70	USD	12,435.00	
06SEP17	B 1 PD06DEC17	2342.00	2590.70	USD	6,217.50	
06SEP17	B 1 PD06DEC17	2342.50	2590.70	USD	6,205.00	
06SEP17	B 1 PD06DEC17	2343.50	2590.70	USD	6,180.00	
06SEP17	B 1 PD06DEC17	2341.50	2590.70	USD	6,230.00	
06SEP17	S 1 PD06DEC17	2344.00	2590.70	USD	-6,167.50	
06SEP17	S 4 PD06DEC17	2343.50	2590.70	USD	-24,720.00	
06SEP17	S 1 PD06DEC17	2344.50	2590.70	USD	-6,155.00	
06SEP17	S 1 PD06DEC17	2346.50	2590.70	USD	-6,105.00	
06SEP17	S 1 PD06DEC17	2351.00	2590.70	USD	-5,992.50	
06SEP17	S 4 PD06DEC17	2347.50	2590.70	USD	-24,320.00	
06SEP17	S 4 PD06DEC17	2348.00	2590.70	USD	-24,270.00	
06SEP17	S 1 PD06DEC17	2361.50	2590.70	USD	-5,730.00	
06SEP17	S 1 PD06DEC17	2362.50	2590.70	USD	-5,705.00	
06SEP17	S 1 PD06DEC17	2363.50	2590.70	USD	-5,680.00	
06SEP17	S 7 PD06DEC17	2361.00	2590.70	USD	-40,197.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
06SEP17	B 1 PD06DEC17	2346.50	2590.70	USD	6,105.00	
06SEP17	B 1 PD06DEC17	2345.50	2590.70	USD	6,130.00	
06SEP17	B 1 PD06DEC17	2343.00	2590.70	USD	6,192.50	
06SEP17	B 3 PD06DEC17	2347.50	2590.70	USD	18,240.00	
06SEP17	B 1 PD06DEC17	2347.00	2590.70	USD	6,092.50	
11SEP17	B 5 PD06DEC17	2275.60	2590.70	USD	39,387.50	
22SEP17	S 5 PD06DEC17	2488.50	2590.70	USD	-12,775.00	
	NET 0 AVG BGHT 2329.53 SOLD 2367.71				**36,275.00**	**0.00**
07SEP17	S 2 PD07DEC17	2346.00	2590.83	USD	-12,241.50	
07SEP17	S 2 PD07DEC17	2346.50	2590.83	USD	-12,216.50	
07SEP17	S 3 PD07DEC17	2345.00	2590.83	USD	-18,437.25	
07SEP17	S 2 PD07DEC17	2344.50	2590.83	USD	-12,316.50	
07SEP17	S 1 PD07DEC17	2344.00	2590.83	USD	-6,170.75	
07SEP17	B 2 PD07DEC17	2341.50	2590.83	USD	12,466.50	
07SEP17	S 1 PD07DEC17	2334.00	2590.83	USD	-6,420.75	
07SEP17	S 2 PD07DEC17	2333.00	2590.83	USD	-12,891.50	
07SEP17	S 2 PD07DEC17	2332.50	2590.83	USD	-12,916.50	
07SEP17	B 2 PD07DEC17	2332.50	2590.83	USD	12,916.50	
07SEP17	S 1 PD07DEC17	2333.00	2590.83	USD	-6,445.75	
07SEP17	B 2 PD07DEC17	2337.50	2590.83	USD	12,666.50	
07SEP17	B 1 PD07DEC17	2337.00	2590.83	USD	6,345.75	
07SEP17	B 1 PD07DEC17	2341.00	2590.83	USD	6,245.75	
07SEP17	B 2 PD07DEC17	2338.00	2590.83	USD	12,641.50	
07SEP17	B 1 PD07DEC17	2339.00	2590.83	USD	6,295.75	
07SEP17	B 2 PD07DEC17	2339.50	2590.83	USD	12,566.50	
07SEP17	S 1 PD07DEC17	2356.00	2590.83	USD	-5,870.75	
07SEP17	B 1 PD07DEC17	2361.50	2590.83	USD	5,733.25	
07SEP17	B 1 PD07DEC17	2362.00	2590.83	USD	5,720.75	
07SEP17	B 1 PD07DEC17	2362.50	2590.83	USD	5,708.25	
07SEP17	B 1 PD07DEC17	2364.00	2590.83	USD	5,670.75	
07SEP17	B 1 PD07DEC17	2363.50	2590.83	USD	5,683.25	
07SEP17	B 1 PD07DEC17	2360.50	2590.83	USD	5,758.25	
07SEP17	B 3 PD07DEC17	2361.00	2590.83	USD	17,237.25	
07SEP17	B 1 PD07DEC17	2354.50	2590.83	USD	5,908.25	
07SEP17	B 3 PD07DEC17	2354.00	2590.83	USD	17,762.25	
07SEP17	B 1 PD07DEC17	2351.50	2590.83	USD	5,983.25	
07SEP17	B 1 PD07DEC17	2351.00	2590.83	USD	5,995.75	
07SEP17	B 1 PD07DEC17	2337.50	2590.83	USD	6,333.25	
07SEP17	B 1 PD07DEC17	2340.00	2590.83	USD	6,270.75	
07SEP17	B 1 PD07DEC17	2341.50	2590.83	USD	6,233.25	
07SEP17	B 1 PD07DEC17	2363.50	2590.83	USD	5,683.25	
07SEP17	B 1 PD07DEC17	2359.00	2590.83	USD	5,795.75	
07SEP17	B 2 PD07DEC17	2348.00	2590.83	USD	12,141.50	
07SEP17	B 1 PD07DEC17	2344.00	2590.83	USD	6,170.75	
07SEP17	B 2 PD07DEC17	2343.50	2590.83	USD	12,366.50	
07SEP17	B 2 PD07DEC17	2343.00	2590.83	USD	12,391.50	
07SEP17	B 5 PD07DEC17	2342.00	2590.83	USD	31,103.75	
07SEP17	B 5 PD07DEC17	2340.00	2590.83	USD	31,353.75	
07SEP17	S 1 PD07DEC17	2343.00	2590.83	USD	-6,195.75	
07SEP17	S 1 PD07DEC17	2345.50	2590.83	USD	-6,133.25	
07SEP17	S 1 PD07DEC17	2343.00	2590.83	USD	-6,195.75	
07SEP17	S 1 PD07DEC17	2344.00	2590.83	USD	-6,170.75	
11SEP17	S 15 PD07DEC17	2275.72	2590.83	USD	-118,166.25	
22SEP17	S 14 PD07DEC17	2488.50	2590.83	USD	-35,815.50	
	NET 0 AVG BGHT 2346.71 SOLD 2363.15				**20,545.00**	**0.00**
08SEP17	B 1 PD08DEC17	2345.00	2590.96	USD	6,149.00	
08SEP17	B 1 PD08DEC17	2347.00	2590.96	USD	6,099.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
08SEP17	B 3 PD08DEC17	2346.50	2590.96	USD	18,334.50	
08SEP17	B 1 PD08DEC17	2351.00	2590.96	USD	5,999.00	
08SEP17	B 5 PD08DEC17	2350.50	2590.96	USD	30,057.50	
08SEP17	B 3 PD08DEC17	2349.50	2590.96	USD	18,109.50	
08SEP17	B 3 PD08DEC17	2350.00	2590.96	USD	18,072.00	
08SEP17	S 2 PD08DEC17	2342.00	2590.96	USD	-12,448.00	
08SEP17	S 1 PD08DEC17	2339.00	2590.96	USD	-6,299.00	
08SEP17	S 1 PD08DEC17	2333.50	2590.96	USD	-6,436.50	
08SEP17	S 1 PD08DEC17	2332.50	2590.96	USD	-6,461.50	
08SEP17	S 1 PD08DEC17	2334.50	2590.96	USD	-6,411.50	
08SEP17	S 2 PD08DEC17	2334.00	2590.96	USD	-12,848.00	
08SEP17	S 1 PD08DEC17	2332.00	2590.96	USD	-6,474.00	
08SEP17	S 1 PD08DEC17	2331.50	2590.96	USD	-6,486.50	
08SEP17	S 1 PD08DEC17	2329.50	2590.96	USD	-6,536.50	
08SEP17	S 1 PD08DEC17	2329.00	2590.96	USD	-6,549.00	
08SEP17	S 1 PD08DEC17	2327.50	2590.96	USD	-6,586.50	
08SEP17	S 1 PD08DEC17	2327.00	2590.96	USD	-6,599.00	
08SEP17	S 2 PD08DEC17	2325.50	2590.96	USD	-13,273.00	
08SEP17	S 1 PD08DEC17	2323.00	2590.96	USD	-6,699.00	
08SEP17	S 1 PD08DEC17	2322.00	2590.96	USD	-6,724.00	
08SEP17	S 1 PD08DEC17	2322.50	2590.96	USD	-6,711.50	
08SEP17	S 1 PD08DEC17	2317.00	2590.96	USD	-6,849.00	
08SEP17	S 2 PD08DEC17	2316.50	2590.96	USD	-13,723.00	
08SEP17	S 1 PD08DEC17	2315.50	2590.96	USD	-6,886.50	
08SEP17	S 2 PD08DEC17	2314.00	2590.96	USD	-13,848.00	
08SEP17	S 3 PD08DEC17	2315.00	2590.96	USD	-20,697.00	
08SEP17	S 6 PD08DEC17	2313.50	2590.96	USD	-41,619.00	
08SEP17	S 2 PD08DEC17	2316.00	2590.96	USD	-13,748.00	
08SEP17	S 1 PD08DEC17	2317.50	2590.96	USD	-6,836.50	
08SEP17	B 1 PD08DEC17	2321.00	2590.96	USD	6,749.00	
08SEP17	B 1 PD08DEC17	2319.00	2590.96	USD	6,799.00	
08SEP17	B 1 PD08DEC17	2317.50	2590.96	USD	6,836.50	
08SEP17	B 3 PD08DEC17	2318.50	2590.96	USD	20,434.50	
08SEP17	B 2 PD08DEC17	2321.50	2590.96	USD	13,473.00	
08SEP17	B 1 PD08DEC17	2322.50	2590.96	USD	6,711.50	
08SEP17	S 1 PD08DEC17	2317.00	2590.96	USD	-6,849.00	
08SEP17	S 1 PD08DEC17	2315.50	2590.96	USD	-6,886.50	
08SEP17	B 2 PD08DEC17	2294.00	2590.96	USD	14,848.00	
08SEP17	B 1 PD08DEC17	2294.50	2590.96	USD	7,411.50	
08SEP17	S 2 PD08DEC17	2291.50	2590.96	USD	-14,973.00	
08SEP17	S 1 PD08DEC17	2301.00	2590.96	USD	-7,249.00	
08SEP17	S 1 PD08DEC17	2302.00	2590.96	USD	-7,224.00	
08SEP17	S 1 PD08DEC17	2280.00	2590.96	USD	-7,774.00	
08SEP17	S 1 PD08DEC17	2279.50	2590.96	USD	-7,786.50	
08SEP17	S 1 PD08DEC17	2290.50	2590.96	USD	-7,511.50	
08SEP17	B 3 PD08DEC17	2270.50	2590.96	USD	24,034.50	
08SEP17	S 1 PD08DEC17	2269.00	2590.96	USD	-8,049.00	
11SEP17	B 20 PD08DEC17	2276.24	2590.96	USD	157,360.00	
22SEP17	S 5 PD08DEC17	2488.50	2590.96	USD	-12,807.50	
	NET 0 AVG BGHT 2308.28 SOLD 2333.38				**32,617.50**	**0.00**
11SEP17	S 3 PD11DEC17	2271.50	2591.34	USD	-23,988.00	
11SEP17	B 1 PD11DEC17	2270.50	2591.34	USD	8,021.00	
11SEP17	B 1 PD11DEC17	2267.50	2591.34	USD	8,096.00	
11SEP17	B 1 PD11DEC17	2268.00	2591.34	USD	8,083.50	
11SEP17	B 1 PD11DEC17	2270.00	2591.34	USD	8,033.50	
11SEP17	S 3 PD11DEC17	2272.00	2591.34	USD	-23,950.50	
11SEP17	S 4 PD11DEC17	2272.50	2591.34	USD	-31,884.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
11SEP17	S 2 PD11DEC17	2273.00	2591.34	USD	-15,917.00	
11SEP17	S 2 PD11DEC17	2270.00	2591.34	USD	-16,067.00	
11SEP17	B 1 PD11DEC17	2271.50	2591.34	USD	7,996.00	
11SEP17	B 1 PD11DEC17	2281.00	2591.34	USD	7,758.50	
11SEP17	B 1 PD11DEC17	2283.00	2591.34	USD	7,708.50	
11SEP17	B 1 PD11DEC17	2267.50	2591.34	USD	8,096.00	
11SEP17	B 1 PD11DEC17	2270.50	2591.34	USD	8,021.00	
11SEP17	B 1 PD11DEC17	2270.00	2591.34	USD	8,033.50	
11SEP17	B 2 PD11DEC17	2284.50	2591.34	USD	15,342.00	
11SEP17	B 2 PD11DEC17	2286.00	2591.34	USD	15,267.00	
11SEP17	S 1 PD11DEC17	2282.50	2591.34	USD	-7,721.00	
11SEP17	S 3 PD11DEC17	2281.50	2591.34	USD	-23,238.00	
11SEP17	B 1 PD11DEC17	2283.50	2591.34	USD	7,696.00	
11SEP17	B 1 PD11DEC17	2278.50	2591.34	USD	7,821.00	
11SEP17	B 2 PD11DEC17	2284.00	2591.34	USD	15,367.00	
11SEP17	B 2 PD11DEC17	2282.00	2591.34	USD	15,467.00	
11SEP17	B 1 PD11DEC17	2281.50	2591.34	USD	7,746.00	
11SEP17	B 2 PD11DEC17	2282.50	2591.34	USD	15,442.00	
11SEP17	B 1 PD11DEC17	2276.50	2591.34	USD	7,871.00	
11SEP17	B 1 PD11DEC17	2279.50	2591.34	USD	7,796.00	
11SEP17	B 2 PD11DEC17	2279.00	2591.34	USD	15,617.00	
11SEP17	B 3 PD11DEC17	2274.00	2591.34	USD	23,800.50	
11SEP17	B 1 PD11DEC17	2277.00	2591.34	USD	7,858.50	
11SEP17	B 1 PD11DEC17	2277.50	2591.34	USD	7,846.00	
11SEP17	B 3 PD11DEC17	2269.00	2591.34	USD	24,175.50	
11SEP17	B 2 PD11DEC17	2268.50	2591.34	USD	16,142.00	
11SEP17	B 2 PD11DEC17	2267.00	2591.34	USD	16,217.00	
11SEP17	B 2 PD11DEC17	2266.00	2591.34	USD	16,267.00	
11SEP17	B 1 PD11DEC17	2265.50	2591.34	USD	8,146.00	
11SEP17	B 1 PD11DEC17	2261.00	2591.34	USD	8,258.50	
11SEP17	B 1 PD11DEC17	2262.00	2591.34	USD	8,233.50	
11SEP17	B 1 PD11DEC17	2262.50	2591.34	USD	8,221.00	
11SEP17	B 4 PD11DEC17	2261.50	2591.34	USD	32,984.00	
11SEP17	B 2 PD11DEC17	2265.00	2591.34	USD	16,317.00	
11SEP17	B 3 PD11DEC17	2272.00	2591.34	USD	23,950.50	
11SEP17	B 1 PD11DEC17	2273.50	2591.34	USD	7,946.00	
11SEP17	B 5 PD11DEC17	2274.50	2591.34	USD	39,605.00	
11SEP17	B 2 PD11DEC17	2275.00	2591.34	USD	15,817.00	
15SEP17	S 2 PD11DEC17	2357.90	2591.34	USD	-11,672.00	
22SEP17	S 42 PD11DEC17	2488.50	2591.34	USD	-107,982.00	
	NET 0 AVG BGHT 2273.23 SOLD 2422.04				**230,645.00**	**0.00**
12SEP17	S 1 PD12DEC17	2278.00	2591.47	USD	-7,836.75	
12SEP17	S 1 PD12DEC17	2276.00	2591.47	USD	-7,886.75	
12SEP17	S 1 PD12DEC17	2276.50	2591.47	USD	-7,874.25	
12SEP17	S 3 PD12DEC17	2277.50	2591.47	USD	-23,547.75	
12SEP17	S 1 PD12DEC17	2277.00	2591.47	USD	-7,861.75	
12SEP17	S 1 PD12DEC17	2273.00	2591.47	USD	-7,961.75	
12SEP17	S 6 PD12DEC17	2278.50	2591.47	USD	-46,945.50	
12SEP17	S 2 PD12DEC17	2279.50	2591.47	USD	-15,598.50	
12SEP17	S 1 PD12DEC17	2279.00	2591.47	USD	-7,811.75	
12SEP17	S 3 PD12DEC17	2281.50	2591.47	USD	-23,247.75	
12SEP17	S 1 PD12DEC17	2280.50	2591.47	USD	-7,774.25	
12SEP17	S 3 PD12DEC17	2281.00	2591.47	USD	-23,285.25	
12SEP17	S 1 PD12DEC17	2280.00	2591.47	USD	-7,786.75	
12SEP17	B 1 PD12DEC17	2276.00	2591.47	USD	7,886.75	
12SEP17	B 2 PD12DEC17	2277.00	2591.47	USD	15,723.50	
12SEP17	B 1 PD12DEC17	2280.00	2591.47	USD	7,786.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
12SEP17	B	1	PD12DEC17	2285.00	2591.47	USD	7,661.75	
12SEP17	B	1	PD12DEC17	2288.50	2591.47	USD	7,574.25	
12SEP17	B	3	PD12DEC17	2289.00	2591.47	USD	22,685.25	
12SEP17	B	2	PD12DEC17	2284.00	2591.47	USD	15,373.50	
12SEP17	S	1	PD12DEC17	2278.50	2591.47	USD	-7,824.25	
12SEP17	S	1	PD12DEC17	2276.00	2591.47	USD	-7,886.75	
12SEP17	B	1	PD12DEC17	2258.50	2591.47	USD	8,324.25	
12SEP17	B	1	PD12DEC17	2272.00	2591.47	USD	7,986.75	
12SEP17	B	3	PD12DEC17	2271.50	2591.47	USD	23,997.75	
12SEP17	B	1	PD12DEC17	2271.00	2591.47	USD	8,011.75	
12SEP17	B	1	PD12DEC17	2275.00	2591.47	USD	7,911.75	
12SEP17	B	2	PD12DEC17	2274.50	2591.47	USD	15,848.50	
12SEP17	B	2	PD12DEC17	2281.00	2591.47	USD	15,523.50	
12SEP17	B	1	PD12DEC17	2280.50	2591.47	USD	7,774.25	
12SEP17	B	1	PD12DEC17	2288.00	2591.47	USD	7,586.75	
12SEP17	B	3	PD12DEC17	2287.50	2591.47	USD	22,797.75	
12SEP17	B	7	PD12DEC17	2287.00	2591.47	USD	53,282.25	
12SEP17	S	1	PD12DEC17	2292.00	2591.47	USD	-7,486.75	
12SEP17	S	1	PD12DEC17	2291.00	2591.47	USD	-7,511.75	
12SEP17	B	1	PD12DEC17	2291.00	2591.47	USD	7,511.75	
12SEP17	B	2	PD12DEC17	2289.50	2591.47	USD	15,098.50	
12SEP17	B	1	PD12DEC17	2287.00	2591.47	USD	7,611.75	
15SEP17	B	4	PD12DEC17	2358.38	2591.47	USD	23,309.00	
22SEP17	S	13	PD12DEC17	2488.50	2591.47	USD	-33,465.25	
	NET	**0**	**AVG BGHT 2289.31**	**SOLD 2344.24**			**57,674.50**	**0.00**
13SEP17	B	2	PD13DEC17	2291.00	2591.60	USD	15,030.00	
13SEP17	B	1	PD13DEC17	2289.50	2591.60	USD	7,552.50	
13SEP17	B	2	PD13DEC17	2290.00	2591.60	USD	15,080.00	
13SEP17	S	1	PD13DEC17	2290.50	2591.60	USD	-7,527.50	
13SEP17	B	7	PD13DEC17	2290.50	2591.60	USD	52,692.50	
13SEP17	B	3	PD13DEC17	2287.50	2591.60	USD	22,807.50	
13SEP17	B	3	PD13DEC17	2288.00	2591.60	USD	22,770.00	
13SEP17	S	3	PD13DEC17	2307.00	2591.60	USD	-21,345.00	
13SEP17	S	1	PD13DEC17	2288.50	2591.60	USD	-7,577.50	
13SEP17	S	5	PD13DEC17	2290.00	2591.60	USD	-37,700.00	
13SEP17	S	1	PD13DEC17	2291.00	2591.60	USD	-7,515.00	
13SEP17	S	1	PD13DEC17	2292.00	2591.60	USD	-7,490.00	
13SEP17	S	2	PD13DEC17	2303.50	2591.60	USD	-14,405.00	
13SEP17	B	3	PD13DEC17	2301.50	2591.60	USD	21,757.50	
13SEP17	B	1	PD13DEC17	2302.00	2591.60	USD	7,240.00	
13SEP17	B	5	PD13DEC17	2300.50	2591.60	USD	36,387.50	
13SEP17	B	1	PD13DEC17	2298.50	2591.60	USD	7,327.50	
13SEP17	B	1	PD13DEC17	2299.00	2591.60	USD	7,315.00	
13SEP17	B	1	PD13DEC17	2299.50	2591.60	USD	7,302.50	
13SEP17	B	1	PD13DEC17	2300.00	2591.60	USD	7,290.00	
13SEP17	B	2	PD13DEC17	2294.00	2591.60	USD	14,880.00	
13SEP17	B	2	PD13DEC17	2292.00	2591.60	USD	14,980.00	
19SEP17	S	4	PD13DEC17	2419.75	2591.60	USD	-17,185.00	
22SEP17	S	17	PD13DEC17	2488.50	2591.60	USD	-43,817.50	
	NET	**0**	**AVG BGHT 2293.99**	**SOLD 2403.53**			**95,850.00**	**0.00**
14SEP17	B	1	PD14DEC17	2299.50	2591.73	USD	7,305.75	
14SEP17	B	1	PD14DEC17	2299.00	2591.73	USD	7,318.25	
14SEP17	S	3	PD14DEC17	2297.00	2591.73	USD	-22,104.75	
14SEP17	S	1	PD14DEC17	2294.50	2591.73	USD	-7,430.75	
14SEP17	S	1	PD14DEC17	2307.50	2591.73	USD	-7,105.75	
14SEP17	S	3	PD14DEC17	2308.50	2591.73	USD	-21,242.25	
14SEP17	B	1	PD14DEC17	2307.50	2591.73	USD	7,105.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
14SEP17	S	1	PD14DEC17	2303.50	2591.73	USD	-7,205.75	
22SEP17	B	6	PD14DEC17	2488.70	2591.73	USD	15,454.50	
	NET	**0**	**AVG BGHT 2426.47 SOLD 2302.44**				**-27,905.00**	**0.00**
15SEP17	S	1	PD15DEC17	2323.50	2591.86	USD	-6,709.00	
15SEP17	S	3	PD15DEC17	2322.50	2591.86	USD	-20,202.00	
15SEP17	S	2	PD15DEC17	2326.50	2591.86	USD	-13,268.00	
15SEP17	S	5	PD15DEC17	2326.00	2591.86	USD	-33,232.50	
15SEP17	S	1	PD15DEC17	2327.50	2591.86	USD	-6,609.00	
15SEP17	S	1	PD15DEC17	2317.50	2591.86	USD	-6,859.00	
15SEP17	S	3	PD15DEC17	2317.00	2591.86	USD	-20,614.50	
15SEP17	S	1	PD15DEC17	2316.00	2591.86	USD	-6,896.50	
15SEP17	S	2	PD15DEC17	2315.50	2591.86	USD	-13,818.00	
15SEP17	S	1	PD15DEC17	2317.00	2591.86	USD	-6,871.50	
15SEP17	S	1	PD15DEC17	2313.50	2591.86	USD	-6,959.00	
15SEP17	S	1	PD15DEC17	2312.00	2591.86	USD	-6,996.50	
15SEP17	S	1	PD15DEC17	2347.00	2591.86	USD	-6,121.50	
15SEP17	S	1	PD15DEC17	2348.00	2591.86	USD	-6,096.50	
15SEP17	S	1	PD15DEC17	2342.00	2591.86	USD	-6,246.50	
15SEP17	S	1	PD15DEC17	2342.50	2591.86	USD	-6,234.00	
15SEP17	S	1	PD15DEC17	2340.50	2591.86	USD	-6,284.00	
15SEP17	S	1	PD15DEC17	2341.50	2591.86	USD	-6,259.00	
15SEP17	S	1	PD15DEC17	2339.50	2591.86	USD	-6,309.00	
15SEP17	S	1	PD15DEC17	2339.00	2591.86	USD	-6,321.50	
15SEP17	S	2	PD15DEC17	2336.50	2591.86	USD	-12,768.00	
15SEP17	S	2	PD15DEC17	2358.50	2591.86	USD	-11,668.00	
15SEP17	S	2	PD15DEC17	2359.50	2591.86	USD	-11,618.00	
15SEP17	S	1	PD15DEC17	2358.00	2591.86	USD	-5,846.50	
15SEP17	S	4	PD15DEC17	2359.00	2591.86	USD	-23,286.00	
15SEP17	S	4	PD15DEC17	2345.50	2591.86	USD	-24,636.00	
15SEP17	S	3	PD15DEC17	2346.00	2591.86	USD	-18,439.50	
15SEP17	S	3	PD15DEC17	2346.50	2591.86	USD	-18,402.00	
15SEP17	S	1	PD15DEC17	2335.00	2591.86	USD	-6,421.50	
15SEP17	S	1	PD15DEC17	2347.50	2591.86	USD	-6,109.00	
15SEP17	S	1	PD15DEC17	2348.00	2591.86	USD	-6,096.50	
15SEP17	S	1	PD15DEC17	2345.50	2591.86	USD	-6,159.00	
15SEP17	S	1	PD15DEC17	2334.50	2591.86	USD	-6,434.00	
15SEP17	S	1	PD15DEC17	2335.00	2591.86	USD	-6,421.50	
15SEP17	S	2	PD15DEC17	2358.50	2591.86	USD	-11,668.00	
15SEP17	S	1	PD15DEC17	2359.50	2591.86	USD	-5,809.00	
15SEP17	S	1	PD15DEC17	2339.50	2591.86	USD	-6,309.00	
15SEP17	S	1	PD15DEC17	2353.50	2591.86	USD	-5,959.00	
15SEP17	S	1	PD15DEC17	2353.00	2591.86	USD	-5,971.50	
15SEP17	S	4	PD15DEC17	2346.50	2591.86	USD	-24,536.00	
15SEP17	S	1	PD15DEC17	2355.50	2591.86	USD	-5,909.00	
15SEP17	S	2	PD15DEC17	2354.50	2591.86	USD	-11,868.00	
19SEP17	B	4	PD15DEC17	2420.45	2591.86	USD	17,141.00	
22SEP17	B	66	PD15DEC17	2488.70	2591.86	USD	170,214.00	
	NET	**0**	**AVG BGHT 2484.80 SOLD 2339.72**				**-253,887.50**	**0.00**
18SEP17	B	1	PD18DEC17	2385.50	2592.24	USD	5,168.50	
18SEP17	S	1	PD18DEC17	2393.00	2592.24	USD	-4,981.00	
18SEP17	S	1	PD18DEC17	2394.50	2592.24	USD	-4,943.50	
18SEP17	S	1	PD18DEC17	2394.00	2592.24	USD	-4,956.00	
18SEP17	S	1	PD18DEC17	2393.50	2592.24	USD	-4,968.50	
18SEP17	S	1	PD18DEC17	2392.50	2592.24	USD	-4,993.50	
18SEP17	S	1	PD18DEC17	2397.50	2592.24	USD	-4,868.50	
18SEP17	S	1	PD18DEC17	2393.00	2592.24	USD	-4,981.00	
18SEP17	S	2	PD18DEC17	2394.00	2592.24	USD	-9,912.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
22SEP17	B 8 PD18DEC17	2488.70	2592.24	USD	20,708.00	
	NET 0 AVG BGHT 2477.23 SOLD 2394.00				**-18,727.50**	**0.00**
19SEP17	B 1 PD19DEC17	2393.50	2592.37	USD	4,971.75	
19SEP17	S 2 PD19DEC17	2390.50	2592.37	USD	-10,093.50	
19SEP17	S 2 PD19DEC17	2390.00	2592.37	USD	-10,118.50	
19SEP17	B 4 PD19DEC17	2391.50	2592.37	USD	20,087.00	
19SEP17	B 1 PD19DEC17	2389.00	2592.37	USD	5,084.25	
19SEP17	B 2 PD19DEC17	2389.50	2592.37	USD	10,143.50	
19SEP17	B 1 PD19DEC17	2390.00	2592.37	USD	5,059.25	
19SEP17	B 1 PD19DEC17	2385.50	2592.37	USD	5,171.75	
19SEP17	S 1 PD19DEC17	2385.00	2592.37	USD	-5,184.25	
19SEP17	S 1 PD19DEC17	2411.50	2592.37	USD	-4,521.75	
19SEP17	S 3 PD19DEC17	2413.50	2592.37	USD	-13,415.25	
19SEP17	S 1 PD19DEC17	2413.00	2592.37	USD	-4,484.25	
19SEP17	S 1 PD19DEC17	2409.00	2592.37	USD	-4,584.25	
19SEP17	S 5 PD19DEC17	2412.50	2592.37	USD	-22,483.75	
19SEP17	S 1 PD19DEC17	2412.00	2592.37	USD	-4,509.25	
19SEP17	S 3 PD19DEC17	2399.50	2592.37	USD	-14,465.25	
19SEP17	S 1 PD19DEC17	2399.00	2592.37	USD	-4,834.25	
19SEP17	B 1 PD19DEC17	2437.00	2592.37	USD	3,884.25	
19SEP17	S 1 PD19DEC17	2412.00	2592.37	USD	-4,509.25	
19SEP17	B 1 PD19DEC17	2422.50	2592.37	USD	4,246.75	
19SEP17	B 1 PD19DEC17	2423.50	2592.37	USD	4,221.75	
19SEP17	B 1 PD19DEC17	2423.00	2592.37	USD	4,234.25	
22SEP17	B 8 PD19DEC17	2488.70	2592.37	USD	20,734.00	
	NET 0 AVG BGHT 2432.66 SOLD 2404.73				**-15,365.00**	**0.00**
20SEP17	B 1 PD20DEC17	2440.00	2592.50	USD	3,812.50	
20SEP17	B 1 PD20DEC17	2443.00	2592.50	USD	3,737.50	
20SEP17	B 1 PD20DEC17	2446.50	2592.50	USD	3,650.00	
20SEP17	B 3 PD20DEC17	2445.50	2592.50	USD	11,025.00	
20SEP17	B 2 PD20DEC17	2446.00	2592.50	USD	7,325.00	
20SEP17	B 2 PD20DEC17	2449.00	2592.50	USD	7,175.00	
20SEP17	S 2 PD20DEC17	2455.00	2592.50	USD	-6,875.00	
20SEP17	B 3 PD20DEC17	2453.00	2592.50	USD	10,462.50	
20SEP17	B 3 PD20DEC17	2454.00	2592.50	USD	10,387.50	
20SEP17	S 1 PD20DEC17	2453.00	2592.50	USD	-3,487.50	
20SEP17	S 1 PD20DEC17	2459.50	2592.50	USD	-3,325.00	
20SEP17	B 2 PD20DEC17	2454.50	2592.50	USD	6,900.00	
20SEP17	S 1 PD20DEC17	2455.50	2592.50	USD	-3,425.00	
20SEP17	S 1 PD20DEC17	2454.50	2592.50	USD	-3,450.00	
20SEP17	S 2 PD20DEC17	2469.00	2592.50	USD	-6,175.00	
20SEP17	S 1 PD20DEC17	2467.00	2592.50	USD	-3,137.50	
20SEP17	S 1 PD20DEC17	2465.00	2592.50	USD	-3,187.50	
20SEP17	B 2 PD20DEC17	2470.50	2592.50	USD	6,100.00	
20SEP17	S 1 PD20DEC17	2463.00	2592.50	USD	-3,237.50	
20SEP17	S 1 PD20DEC17	2461.50	2592.50	USD	-3,275.00	
20SEP17	S 2 PD20DEC17	2458.00	2592.50	USD	-6,725.00	
22SEP17	S 6 PD20DEC17	2488.50	2592.50	USD	-15,600.00	
	NET 0 AVG BGHT 2451.35 SOLD 2468.70				**8,675.00**	**0.00**
21SEP17	B 1 PD21DEC17	2452.50	2592.29	USD	3,494.75	
21SEP17	S 2 PD21DEC17	2444.00	2592.29	USD	-7,414.50	
21SEP17	S 4 PD21DEC17	2445.50	2592.29	USD	-14,679.00	
21SEP17	S 1 PD21DEC17	2435.50	2592.29	USD	-3,919.75	
21SEP17	S 1 PD21DEC17	2434.00	2592.29	USD	-3,957.25	
21SEP17	S 1 PD21DEC17	2431.50	2592.29	USD	-4,019.75	
21SEP17	S 2 PD21DEC17	2432.00	2592.29	USD	-8,014.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
21SEP17	S	2	PD21DEC17	2434.50	2592.29	USD	-7,889.50	
21SEP17	S	2	PD21DEC17	2432.50	2592.29	USD	-7,989.50	
21SEP17	S	1	PD21DEC17	2475.00	2592.29	USD	-2,932.25	
21SEP17	B	1	PD21DEC17	2481.50	2592.29	USD	2,769.75	
21SEP17	S	1	PD21DEC17	2502.00	2592.29	USD	-2,257.25	
21SEP17	S	1	PD21DEC17	2479.00	2592.29	USD	-2,832.25	
21SEP17	S	3	PD21DEC17	2445.00	2592.29	USD	-11,046.75	
21SEP17	S	2	PD21DEC17	2447.50	2592.29	USD	-7,239.50	
21SEP17	B	2	PD21DEC17	2443.50	2592.29	USD	7,439.50	
21SEP17	B	1	PD21DEC17	2454.00	2592.29	USD	3,457.25	
22SEP17	B	18	PD21DEC17	2485.95	2592.29	USD	47,853.00	
	NET	**0**	**AVG BGHT 2479.22**	**SOLD 2445.87**			**-19,177.50**	**0.00**
22SEP17	B	2	PD22DEC17	2386.00	2592.07	USD	10,303.50	
22SEP17	S	1	PD22DEC17	2463.50	2592.07	USD	-3,214.25	
22SEP17	S	1	PD22DEC17	2470.50	2592.07	USD	-3,039.25	
22SEP17	S	1	PD22DEC17	2469.00	2592.07	USD	-3,076.75	
22SEP17	S	3	PD22DEC17	2468.50	2592.07	USD	-9,267.75	
22SEP17	S	5	PD22DEC17	2475.50	2592.07	USD	-14,571.25	
22SEP17	B	1	PD22DEC17	2485.00	2592.07	USD	2,676.75	
22SEP17	B	3	PD22DEC17	2486.00	2592.07	USD	7,955.25	
29SEP17	B	5	PD22DEC17	2488.00	2592.07	USD	13,008.75	
	NET	**0**	**AVG BGHT 2468.64**	**SOLD 2471.45**			**775.00**	**0.00**
25SEP17	B	2	PD27DEC17	2501.00	2591.86	USD	4,543.00	
25SEP17	B	1	PD27DEC17	2491.00	2591.86	USD	2,521.50	
25SEP17	S	1	PD27DEC17	2487.50	2591.86	USD	-2,609.00	
25SEP17	S	1	PD27DEC17	2488.50	2591.86	USD	-2,584.00	
25SEP17	B	1	PD27DEC17	2490.00	2591.86	USD	2,546.50	
25SEP17	S	3	PD27DEC17	2502.00	2591.86	USD	-6,739.50	
25SEP17	S	1	PD27DEC17	2502.50	2591.86	USD	-2,234.00	
25SEP17	S	3	PD27DEC17	2503.00	2591.86	USD	-6,664.50	
25SEP17	B	1	PD27DEC17	2490.50	2591.86	USD	2,534.00	
25SEP17	B	4	PD27DEC17	2489.50	2591.86	USD	10,236.00	
25SEP17	B	3	PD27DEC17	2493.00	2591.86	USD	7,414.50	
25SEP17	B	3	PD27DEC17	2494.00	2591.86	USD	7,339.50	
25SEP17	S	1	PD27DEC17	2502.50	2591.86	USD	-2,234.00	
26SEP17	S	1	PD27DEC17	2475.00	2591.86	USD	-2,921.50	
26SEP17	S	1	PD27DEC17	2474.50	2591.86	USD	-2,934.00	
26SEP17	S	4	PD27DEC17	2471.00	2591.86	USD	-12,086.00	
26SEP17	S	2	PD27DEC17	2470.50	2591.86	USD	-6,068.00	
26SEP17	B	3	PD27DEC17	2466.00	2591.86	USD	9,439.50	
26SEP17	B	1	PD27DEC17	2465.00	2591.86	USD	3,171.50	
26SEP17	S	1	PD27DEC17	2484.00	2591.86	USD	-2,696.50	
26SEP17	S	2	PD27DEC17	2483.50	2591.86	USD	-5,418.00	
26SEP17	S	1	PD27DEC17	2479.50	2591.86	USD	-2,809.00	
26SEP17	B	2	PD27DEC17	2484.50	2591.86	USD	5,368.00	
26SEP17	B	2	PD27DEC17	2484.00	2591.86	USD	5,393.00	
27SEP17	B	1	PD27DEC17	2479.50	2591.86	USD	2,809.00	
27SEP17	B	1	PD27DEC17	2480.00	2591.86	USD	2,796.50	
27SEP17	B	1	PD27DEC17	2480.50	2591.86	USD	2,784.00	
27SEP17	B	1	PD27DEC17	2476.50	2591.86	USD	2,884.00	
27SEP17	B	3	PD27DEC17	2461.50	2591.86	USD	9,777.00	
27SEP17	B	1	PD27DEC17	2461.00	2591.86	USD	3,271.50	
27SEP17	S	2	PD27DEC17	2462.50	2591.86	USD	-6,468.00	
27SEP17	S	1	PD27DEC17	2460.50	2591.86	USD	-3,284.00	
27SEP17	S	1	PD27DEC17	2460.50	2591.86	USD	-3,284.00	
27SEP17	S	1	PD27DEC17	2464.50	2591.86	USD	-3,184.00	
27SEP17	S	3	PD27DEC17	2465.00	2591.86	USD	-9,514.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
27SEP17	B 4 PD27DEC17	2465.50	2591.86	USD	12,636.00	
29SEP17	S 5 PD27DEC17	2486.80	2591.86	USD	-13,132.50	
	NET 0 AVG BGHT 2480.47 SOLD 2481.16				**600.00**	**0.00**
29SEP17	S 1 PD29DEC17	2508.50	2591.43	USD	-2,073.25	
29SEP17	S 2 PD29DEC17	2507.00	2591.43	USD	-4,221.50	
29SEP17	S 1 PD29DEC17	2506.00	2591.43	USD	-2,135.75	
29SEP17	S 1 PD29DEC17	2509.00	2591.43	USD	-2,060.75	
29SEP17	B 5 PD29DEC17	2512.16	2591.43	USD	9,908.75	
	NET 0 AVG BGHT 2512.16 SOLD 2507.50				**-582.50**	**0.00**
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
11AUG17	B 1 PD10NOV17	10635.00	10571.18	USD	-382.92	
11AUG17	B 1 PD10NOV17	10615.00	10571.18	USD	-262.92	
11AUG17	S 1 PD10NOV17	10610.00	10571.18	USD	232.92	
11AUG17	B 1 PD10NOV17	10600.00	10571.18	USD	-172.92	
22AUG17	S 2 PD10NOV17	11410.04	10571.18	USD	10,066.32	
	NET 0 AVG BGHT 10616.67 SOLD 11143.36				**9,480.48**	**0.00**
16AUG17	B 1 PD16NOV17	10415.00	10576.89	USD	971.34	
16AUG17	B 4 PD16NOV17	10425.00	10576.89	USD	3,645.36	
16AUG17	B 1 PD16NOV17	10450.00	10576.89	USD	761.34	
16AUG17	S 1 PD16NOV17	10770.00	10576.89	USD	1,158.66	
22AUG17	S 2 PD16NOV17	11411.50	10576.89	USD	10,015.32	
22AUG17	S 3 PD16NOV17	11412.50	10576.89	USD	15,040.98	
	NET 0 AVG BGHT 10427.50 SOLD 11305.08				**31,593.00**	**0.00**
17AUG17	B 4 PD17NOV17	10700.00	10577.77	USD	-2,933.52	
17AUG17	B 1 PD17NOV17	10685.00	10577.77	USD	-643.38	
18AUG17	S 1 PD17NOV17	10740.00	10577.77	USD	973.38	
18AUG17	S 1 PD17NOV17	10735.00	10577.77	USD	943.38	
18AUG17	B 2 PD17NOV17	10760.00	10577.77	USD	-2,186.76	
18AUG17	S 2 PD17NOV17	10800.00	10577.77	USD	2,666.76	
18AUG17	S 1 PD17NOV17	10805.00	10577.77	USD	1,363.38	
18AUG17	S 1 PD17NOV17	10810.00	10577.77	USD	1,393.38	
18AUG17	S 1 PD17NOV17	10815.00	10577.77	USD	1,423.38	
18AUG17	S 1 PD17NOV17	11035.00	10577.77	USD	2,743.38	
22AUG17	B 1 PD17NOV17	11413.92	10577.77	USD	-5,016.90	
	NET 0 AVG BGHT 10802.37 SOLD 10817.50				**726.48**	**0.00**
21AUG17	S 1 PD21NOV17	11210.00	10581.31	USD	3,772.14	
21AUG17	S 1 PD21NOV17	11195.00	10581.31	USD	3,682.14	
21AUG17	B 1 PD21NOV17	11035.00	10581.31	USD	-2,722.14	
21AUG17	B 1 PD21NOV17	10980.00	10581.31	USD	-2,392.14	
21AUG17	B 1 PD21NOV17	11040.00	10581.31	USD	-2,752.14	
21AUG17	B 1 PD21NOV17	11165.00	10581.31	USD	-3,502.14	
21AUG17	S 2 PD21NOV17	11185.00	10581.31	USD	7,244.28	
21AUG17	S 1 PD21NOV17	11215.00	10581.31	USD	3,802.14	
21AUG17	S 1 PD21NOV17	11230.00	10581.31	USD	3,892.14	
21AUG17	S 1 PD21NOV17	11240.00	10581.31	USD	3,952.14	
21AUG17	B 1 PD21NOV17	11240.00	10581.31	USD	-3,952.14	
21AUG17	S 2 PD21NOV17	11235.00	10581.31	USD	7,844.28	
21AUG17	S 1 PD21NOV17	11255.00	10581.31	USD	4,042.14	
21AUG17	B 1 PD21NOV17	11230.00	10581.31	USD	-3,892.14	
21AUG17	S 1 PD21NOV17	11220.00	10581.31	USD	3,832.14	
21AUG17	S 1 PD21NOV17	11245.00	10581.31	USD	3,982.14	
21AUG17	B 4 PD21NOV17	11221.00	10581.31	USD	-15,352.56	
22AUG17	B 2 PD21NOV17	11415.58	10581.31	USD	-10,011.24	
	NET 0 AVG BGHT 11200.43 SOLD 11220.83				**1,469.04**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
22AUG17	S 1 PD22NOV17	11460.00	10582.20	USD	5,266.80	
22AUG17	S 1 PD22NOV17	11480.00	10582.20	USD	5,386.80	
22AUG17	B 1 PD22NOV17	11475.00	10582.20	USD	-5,356.80	
22AUG17	B 1 PD22NOV17	11470.00	10582.20	USD	-5,326.80	
22AUG17	B 1 PD22NOV17	11445.00	10582.20	USD	-5,176.80	
22AUG17	B 1 PD22NOV17	11420.00	10582.20	USD	-5,026.80	
22AUG17	S 1 PD22NOV17	11420.00	10582.20	USD	5,026.80	
22AUG17	S 1 PD22NOV17	11425.00	10582.20	USD	5,056.80	
22AUG17	S 2 PD22NOV17	11395.00	10582.20	USD	9,753.60	
22AUG17	S 1 PD22NOV17	11405.00	10582.20	USD	4,936.80	
30AUG17	B 3 PD22NOV17	11579.00	10582.20	USD	-17,942.40	
	NET 0 AVG BGHT 11506.71 SOLD 11425.71				**-3,402.00**	**0.00**
23AUG17	B 1 PD24NOV17	11265.00	10583.97	USD	-4,086.18	
23AUG17	B 1 PD24NOV17	11385.00	10583.97	USD	-4,806.18	
23AUG17	B 1 PD24NOV17	11415.00	10583.97	USD	-4,986.18	
23AUG17	B 1 PD24NOV17	11425.00	10583.97	USD	-5,046.18	
23AUG17	B 1 PD24NOV17	11370.00	10583.97	USD	-4,716.18	
23AUG17	S 2 PD24NOV17	11460.00	10583.97	USD	10,512.36	
23AUG17	S 2 PD24NOV17	11450.00	10583.97	USD	10,392.36	
23AUG17	S 2 PD24NOV17	11455.00	10583.97	USD	10,452.36	
23AUG17	B 1 PD24NOV17	11505.00	10583.97	USD	-5,526.18	
23AUG17	S 1 PD24NOV17	11505.00	10583.97	USD	5,526.18	
23AUG17	S 2 PD24NOV17	11660.00	10583.97	USD	12,912.36	
23AUG17	S 2 PD24NOV17	11655.00	10583.97	USD	12,852.36	
23AUG17	S 1 PD24NOV17	11665.00	10583.97	USD	6,486.18	
23AUG17	S 1 PD24NOV17	11685.00	10583.97	USD	6,606.18	
23AUG17	S 1 PD24NOV17	11695.00	10583.97	USD	6,666.18	
23AUG17	B 1 PD24NOV17	11735.00	10583.97	USD	-6,906.18	
23AUG17	B 3 PD24NOV17	11725.00	10583.97	USD	-20,538.54	
23AUG17	B 1 PD24NOV17	11720.00	10583.97	USD	-6,816.18	
23AUG17	B 1 PD24NOV17	11750.00	10583.97	USD	-6,996.18	
23AUG17	B 1 PD24NOV17	11755.00	10583.97	USD	-7,026.18	
23AUG17	S 1 PD24NOV17	11805.00	10583.97	USD	7,326.18	
23AUG17	B 1 PD24NOV17	11775.00	10583.97	USD	-7,146.18	
23AUG17	S 1 PD24NOV17	11785.00	10583.97	USD	7,206.18	
24AUG17	B 1 PD24NOV17	11705.00	10583.97	USD	-6,726.18	
24AUG17	B 1 PD24NOV17	11700.00	10583.97	USD	-6,696.18	
24AUG17	B 1 PD24NOV17	11680.00	10583.97	USD	-6,576.18	
24AUG17	B 1 PD24NOV17	11640.00	10583.97	USD	-6,336.18	
24AUG17	B 1 PD24NOV17	11660.00	10583.97	USD	-6,456.18	
30AUG17	S 3 PD24NOV17	11579.50	10583.97	USD	17,919.54	
	NET 0 AVG BGHT 11613.68 SOLD 11591.50				**-2,529.00**	**0.00**
29AUG17	S 1 PD29NOV17	11620.00	10588.40	USD	6,189.60	
29AUG17	S 1 PD29NOV17	11650.00	10588.40	USD	6,369.60	
29AUG17	B 1 PD29NOV17	11815.00	10588.40	USD	-7,359.60	
29AUG17	B 2 PD29NOV17	11805.00	10588.40	USD	-14,599.20	
29AUG17	S 1 PD29NOV17	11615.00	10588.40	USD	6,159.60	
29AUG17	S 1 PD29NOV17	11605.00	10588.40	USD	6,099.60	
29AUG17	B 1 PD29NOV17	11625.00	10588.40	USD	-6,219.60	
29AUG17	B 1 PD29NOV17	11715.00	10588.40	USD	-6,759.60	
29AUG17	B 1 PD29NOV17	11725.00	10588.40	USD	-6,819.60	
29AUG17	S 1 PD29NOV17	11855.00	10588.40	USD	7,599.60	
29AUG17	S 1 PD29NOV17	11865.00	10588.40	USD	7,659.60	
29AUG17	S 1 PD29NOV17	11695.00	10588.40	USD	6,639.60	
31AUG17	B 1 PD29NOV17	11799.32	10588.40	USD	-7,265.52	
	NET 0 AVG BGHT 11755.62 SOLD 11700.71				**-2,305.92**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
30AUG17	B 1 PD30NOV17	11695.00	10589.29	USD	-6,634.26	
30AUG17	B 2 PD30NOV17	11700.00	10589.29	USD	-13,328.52	
30AUG17	B 1 PD30NOV17	11640.00	10589.29	USD	-6,304.26	
30AUG17	B 1 PD30NOV17	11610.00	10589.29	USD	-6,124.26	
30AUG17	B 1 PD30NOV17	11655.00	10589.29	USD	-6,394.26	
31AUG17	B 1 PD30NOV17	11675.00	10589.29	USD	-6,514.26	
31AUG17	B 1 PD30NOV17	11665.00	10589.29	USD	-6,454.26	
31AUG17	B 1 PD30NOV17	11715.00	10589.29	USD	-6,754.26	
31AUG17	S 1 PD30NOV17	11705.00	10589.29	USD	6,694.26	
31AUG17	S 1 PD30NOV17	11750.00	10589.29	USD	6,964.26	
31AUG17	S 1 PD30NOV17	11720.00	10589.29	USD	6,784.26	
31AUG17	S 1 PD30NOV17	11755.00	10589.29	USD	6,994.26	
31AUG17	S 1 PD30NOV17	11785.00	10589.29	USD	7,174.26	
31AUG17	S 1 PD30NOV17	11795.00	10589.29	USD	7,234.26	
31AUG17	S 1 PD30NOV17	11800.00	10589.29	USD	7,264.26	
31AUG17	S 1 PD30NOV17	11810.00	10589.29	USD	7,324.26	
31AUG17	S 1 PD30NOV17	11820.00	10589.29	USD	7,384.26	
31AUG17	B 1 PD30NOV17	11770.00	10589.29	USD	-7,084.26	
31AUG17	B 1 PD30NOV17	11765.00	10589.29	USD	-7,054.26	
31AUG17	S 1 PD30NOV17	11799.00	10589.29	USD	7,258.26	
11SEP17	S 1 PD30NOV17	11757.81	10589.29	USD	7,011.12	
	NET 0 AVG BGHT 11690.00 SOLD 11772.44				**5,440.86**	**0.00**
01SEP17	B 1 PD01DEC17	11770.00	10590.17	USD	-7,078.98	
01SEP17	S 1 PD01DEC17	11830.00	10590.17	USD	7,438.98	
01SEP17	B 1 PD01DEC17	11890.00	10590.17	USD	-7,798.98	
01SEP17	S 1 PD01DEC17	11935.00	10590.17	USD	8,068.98	
01SEP17	B 2 PD01DEC17	11915.00	10590.17	USD	-15,897.96	
01SEP17	S 1 PD01DEC17	11880.00	10590.17	USD	7,738.98	
01SEP17	S 1 PD01DEC17	11885.00	10590.17	USD	7,768.98	
01SEP17	S 2 PD01DEC17	11990.00	10590.17	USD	16,797.96	
01SEP17	S 1 PD01DEC17	11915.00	10590.17	USD	7,948.98	
01SEP17	B 1 PD01DEC17	12060.00	10590.17	USD	-8,818.98	
01SEP17	S 1 PD01DEC17	12035.00	10590.17	USD	8,668.98	
01SEP17	B 1 PD01DEC17	12045.00	10590.17	USD	-8,728.98	
01SEP17	B 1 PD01DEC17	12035.00	10590.17	USD	-8,668.98	
01SEP17	B 1 PD01DEC17	12025.00	10590.17	USD	-8,608.98	
01SEP17	S 1 PD01DEC17	12030.00	10590.17	USD	8,638.98	
11SEP17	B 1 PD01DEC17	11759.46	10590.17	USD	-7,015.74	
	NET 0 AVG BGHT 11934.94 SOLD 11943.33				**453.24**	**0.00**
04SEP17	S 1 PD04DEC17	12295.00	10592.83	USD	10,213.02	
04SEP17	B 1 PD04DEC17	12325.00	10592.83	USD	-10,393.02	
04SEP17	S 1 PD04DEC17	12300.00	10592.83	USD	10,243.02	
04SEP17	B 1 PD04DEC17	12305.00	10592.83	USD	-10,273.02	
04SEP17	B 1 PD04DEC17	12230.00	10592.83	USD	-9,823.02	
04SEP17	S 1 PD04DEC17	12220.00	10592.83	USD	9,763.02	
04SEP17	S 1 PD04DEC17	12240.00	10592.83	USD	9,883.02	
04SEP17	B 1 PD04DEC17	12235.00	10592.83	USD	-9,853.02	
04SEP17	B 2 PD04DEC17	12245.00	10592.83	USD	-19,826.04	
04SEP17	B 1 PD04DEC17	12205.00	10592.83	USD	-9,673.02	
04SEP17	B 1 PD04DEC17	12210.00	10592.83	USD	-9,703.02	
04SEP17	S 1 PD04DEC17	12225.00	10592.83	USD	9,793.02	
04SEP17	S 1 PD04DEC17	12210.00	10592.83	USD	9,703.02	
04SEP17	S 1 PD04DEC17	12260.00	10592.83	USD	10,003.02	
04SEP17	B 1 PD04DEC17	12220.00	10592.83	USD	-9,763.02	
04SEP17	S 2 PD04DEC17	12250.00	10592.83	USD	19,886.04	
	NET 0 AVG BGHT 12246.67 SOLD 12250.00				**180.00**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
05SEP17	B 1 PD05DEC17	12165.00	10593.71	USD	-9,427.74	
05SEP17	S 1 PD05DEC17	12215.00	10593.71	USD	9,727.74	
05SEP17	S 1 PD05DEC17	12255.00	10593.71	USD	9,967.74	
05SEP17	B 1 PD05DEC17	12110.00	10593.71	USD	-9,097.74	
05SEP17	S 1 PD05DEC17	12075.00	10593.71	USD	8,887.74	
05SEP17	B 1 PD05DEC17	12010.00	10593.71	USD	-8,497.74	
	NET 0 AVG BGHT 12095.00 SOLD 12181.67				**1,560.00**	**0.00**
06SEP17	B 1 PD06DEC17	12005.00	10594.60	USD	-8,462.40	
06SEP17	B 1 PD06DEC17	12015.00	10594.60	USD	-8,522.40	
06SEP17	B 1 PD06DEC17	12075.00	10594.60	USD	-8,882.40	
06SEP17	B 1 PD06DEC17	12060.00	10594.60	USD	-8,792.40	
06SEP17	S 1 PD06DEC17	12045.00	10594.60	USD	8,702.40	
06SEP17	B 1 PD06DEC17	12025.00	10594.60	USD	-8,582.40	
06SEP17	B 1 PD06DEC17	12020.00	10594.60	USD	-8,552.40	
06SEP17	S 2 PD06DEC17	12000.00	10594.60	USD	16,864.80	
06SEP17	S 1 PD06DEC17	12060.00	10594.60	USD	8,792.40	
06SEP17	S 1 PD06DEC17	12095.00	10594.60	USD	9,002.40	
06SEP17	S 1 PD06DEC17	12100.00	10594.60	USD	9,032.40	
	NET 0 AVG BGHT 12033.33 SOLD 12050.00				**600.00**	**0.00**
07SEP17	B 1 PD07DEC17	12295.00	10595.49	USD	-10,197.06	
07SEP17	S 1 PD07DEC17	12235.00	10595.49	USD	9,837.06	
07SEP17	B 1 PD07DEC17	12175.00	10595.49	USD	-9,477.06	
07SEP17	S 1 PD07DEC17	12185.00	10595.49	USD	9,537.06	
07SEP17	B 1 PD07DEC17	12100.00	10595.49	USD	-9,027.06	
07SEP17	B 1 PD07DEC17	12110.00	10595.49	USD	-9,087.06	
07SEP17	B 1 PD07DEC17	12105.00	10595.49	USD	-9,057.06	
07SEP17	B 1 PD07DEC17	12115.00	10595.49	USD	-9,117.06	
07SEP17	B 1 PD07DEC17	12070.00	10595.49	USD	-8,847.06	
11SEP17	S 5 PD07DEC17	11762.38	10595.49	USD	35,006.70	
	NET 0 AVG BGHT 12138.57 SOLD 11890.27				**-10,428.60**	**0.00**
08SEP17	S 1 PD08DEC17	12265.00	10596.37	USD	10,011.78	
08SEP17	B 1 PD08DEC17	12320.00	10596.37	USD	-10,341.78	
08SEP17	B 1 PD08DEC17	12315.00	10596.37	USD	-10,311.78	
08SEP17	S 1 PD08DEC17	12180.00	10596.37	USD	9,501.78	
08SEP17	S 1 PD08DEC17	12160.00	10596.37	USD	9,381.78	
08SEP17	S 1 PD08DEC17	12155.00	10596.37	USD	9,351.78	
08SEP17	S 1 PD08DEC17	12095.00	10596.37	USD	8,991.78	
08SEP17	S 1 PD08DEC17	12100.00	10596.37	USD	9,021.78	
08SEP17	S 1 PD08DEC17	12070.00	10596.37	USD	8,841.78	
08SEP17	S 1 PD08DEC17	12055.00	10596.37	USD	8,751.78	
08SEP17	B 1 PD08DEC17	12000.00	10596.37	USD	-8,421.78	
08SEP17	S 1 PD08DEC17	11960.00	10596.37	USD	8,181.78	
08SEP17	S 1 PD08DEC17	11970.00	10596.37	USD	8,241.78	
08SEP17	B 1 PD08DEC17	11935.00	10596.37	USD	-8,031.78	
08SEP17	B 1 PD08DEC17	11930.00	10596.37	USD	-8,001.78	
08SEP17	S 1 PD08DEC17	11855.00	10596.37	USD	7,551.78	
08SEP17	S 1 PD08DEC17	11835.00	10596.37	USD	7,431.78	
08SEP17	B 1 PD08DEC17	11800.00	10596.37	USD	-7,221.78	
08SEP17	B 1 PD08DEC17	11790.00	10596.37	USD	-7,161.78	
08SEP17	S 1 PD08DEC17	11580.00	10596.37	USD	5,901.78	
08SEP17	S 1 PD08DEC17	11600.00	10596.37	USD	6,021.78	
08SEP17	S 1 PD08DEC17	11515.00	10596.37	USD	5,511.78	
08SEP17	B 1 PD08DEC17	11510.00	10596.37	USD	-5,481.78	
11SEP17	B 5 PD08DEC17	11764.04	10596.37	USD	-35,030.10	
19SEP17	B 2 PD08DEC17	11131.59	10596.37	USD	-6,422.64	
	NET 0 AVG BGHT 11778.89 SOLD 11959.67				**16,269.72**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
11SEP17	S 1 PD11DEC17	11510.00	10599.03	USD	5,465.82	
11SEP17	B 1 PD11DEC17	11495.00	10599.03	USD	-5,375.82	
11SEP17	B 2 PD11DEC17	11510.00	10599.03	USD	-10,931.64	
11SEP17	B 1 PD11DEC17	11590.00	10599.03	USD	-5,945.82	
11SEP17	B 1 PD11DEC17	11490.00	10599.03	USD	-5,345.82	
11SEP17	S 1 PD11DEC17	11625.00	10599.03	USD	6,155.82	
11SEP17	S 1 PD11DEC17	11635.00	10599.03	USD	6,215.82	
11SEP17	S 1 PD11DEC17	11580.00	10599.03	USD	5,885.82	
11SEP17	B 1 PD11DEC17	11610.00	10599.03	USD	-6,065.82	
11SEP17	B 1 PD11DEC17	11595.00	10599.03	USD	-5,975.82	
11SEP17	S 1 PD11DEC17	11600.00	10599.03	USD	6,005.82	
11SEP17	B 2 PD11DEC17	11575.00	10599.03	USD	-11,711.64	
11SEP17	B 1 PD11DEC17	11580.00	10599.03	USD	-5,885.82	
11SEP17	S 1 PD11DEC17	11650.00	10599.03	USD	6,305.82	
11SEP17	S 1 PD11DEC17	11710.00	10599.03	USD	6,665.82	
11SEP17	S 1 PD11DEC17	11705.00	10599.03	USD	6,635.82	
11SEP17	B 1 PD11DEC17	11740.00	10599.03	USD	-6,845.82	
11SEP17	B 1 PD11DEC17	11700.00	10599.03	USD	-6,605.82	
11SEP17	B 1 PD11DEC17	11605.00	10599.03	USD	-6,035.82	
11SEP17	S 1 PD11DEC17	11645.00	10599.03	USD	6,275.82	
11SEP17	B 1 PD11DEC17	11725.00	10599.03	USD	-6,755.82	
11SEP17	S 1 PD11DEC17	11790.00	10599.03	USD	7,145.82	
11SEP17	S 1 PD11DEC17	11830.00	10599.03	USD	7,385.82	
11SEP17	S 1 PD11DEC17	11800.00	10599.03	USD	7,205.82	
19SEP17	S 2 PD11DEC17	11132.88	10599.03	USD	6,406.20	
	NET 0 AVG BGHT 11592.86 SOLD 11596.13				**274.56**	**0.00**
12SEP17	B 1 PD12DEC17	11670.00	10599.91	USD	-6,420.54	
12SEP17	B 4 PD12DEC17	11650.00	10599.91	USD	-25,202.16	
12SEP17	B 1 PD12DEC17	11700.00	10599.91	USD	-6,600.54	
12SEP17	B 1 PD12DEC17	11630.00	10599.91	USD	-6,180.54	
12SEP17	S 1 PD12DEC17	11720.00	10599.91	USD	6,720.54	
12SEP17	S 1 PD12DEC17	11730.00	10599.91	USD	6,780.54	
12SEP17	S 1 PD12DEC17	11885.00	10599.91	USD	7,710.54	
12SEP17	S 1 PD12DEC17	11890.00	10599.91	USD	7,740.54	
12SEP17	S 1 PD12DEC17	11900.00	10599.91	USD	7,800.54	
12SEP17	S 1 PD12DEC17	11910.00	10599.91	USD	7,860.54	
12SEP17	S 1 PD12DEC17	11925.00	10599.91	USD	7,950.54	
	NET 0 AVG BGHT 11657.14 SOLD 11851.43				**8,160.00**	**0.00**
13SEP17	B 1 PD13DEC17	11895.00	10600.80	USD	-7,765.20	
13SEP17	B 1 PD13DEC17	11920.00	10600.80	USD	-7,915.20	
13SEP17	S 1 PD13DEC17	11930.00	10600.80	USD	7,975.20	
13SEP17	B 2 PD13DEC17	11715.00	10600.80	USD	-13,370.40	
13SEP17	B 1 PD13DEC17	11730.00	10600.80	USD	-6,775.20	
13SEP17	B 1 PD13DEC17	11460.00	10600.80	USD	-5,155.20	
13SEP17	S 1 PD13DEC17	11460.00	10600.80	USD	5,155.20	
19SEP17	S 4 PD13DEC17	11135.41	10600.80	USD	12,830.64	
	NET 0 AVG BGHT 11739.17 SOLD 11321.94				**-15,020.16**	**0.00**
14SEP17	B 1 PD14DEC17	11460.00	10601.69	USD	-5,149.86	
14SEP17	S 1 PD14DEC17	11460.00	10601.69	USD	5,149.86	
14SEP17	B 1 PD14DEC17	11165.00	10601.69	USD	-3,379.86	
14SEP17	S 1 PD14DEC17	11305.00	10601.69	USD	4,219.86	
14SEP17	S 1 PD14DEC17	11280.00	10601.69	USD	4,069.86	
14SEP17	S 1 PD14DEC17	11270.00	10601.69	USD	4,009.86	
14SEP17	S 1 PD14DEC17	11225.00	10601.69	USD	3,739.86	
14SEP17	S 1 PD14DEC17	11280.00	10601.69	USD	4,069.86	
19SEP17	B 4 PD14DEC17	11137.18	10601.69	USD	-12,851.76	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
	NET 0 AVG BGHT 11195.62 SOLD 11303.33				**3,877.68**	**0.00**
15SEP17	B 1 PD15DEC17	11080.00	10602.57	USD	-2,864.58	
15SEP17	S 2 PD15DEC17	11090.00	10602.57	USD	5,849.16	
15SEP17	S 1 PD15DEC17	11095.00	10602.57	USD	2,954.58	
15SEP17	B 1 PD15DEC17	11120.00	10602.57	USD	-3,104.58	
15SEP17	S 1 PD15DEC17	11150.00	10602.57	USD	3,284.58	
15SEP17	B 1 PD15DEC17	11105.00	10602.57	USD	-3,014.58	
19SEP17	B 1 PD15DEC17	11136.94	10602.57	USD	-3,206.22	
	NET 0 AVG BGHT 11110.49 SOLD 11106.25				**-101.64**	**0.00**
18SEP17	B 1 PD18DEC17	11110.00	10605.23	USD	-3,028.62	
18SEP17	B 1 PD18DEC17	11175.00	10605.23	USD	-3,418.62	
18SEP17	S 1 PD18DEC17	11230.00	10605.23	USD	3,748.62	
19SEP17	S 1 PD18DEC17	11138.24	10605.23	USD	3,198.06	
	NET 0 AVG BGHT 11142.50 SOLD 11184.12				**499.44**	**0.00**
19SEP17	B 1 PD19DEC17	11100.00	10606.11	USD	-2,963.34	
19SEP17	B 3 PD19DEC17	11095.00	10606.11	USD	-8,800.02	
19SEP17	B 1 PD19DEC17	11060.00	10606.11	USD	-2,723.34	
19SEP17	S 1 PD19DEC17	11010.00	10606.11	USD	2,423.34	
19SEP17	B 1 PD19DEC17	10980.00	10606.11	USD	-2,243.34	
19SEP17	B 1 PD19DEC17	10990.00	10606.11	USD	-2,303.34	
19SEP17	S 1 PD19DEC17	10990.00	10606.11	USD	2,303.34	
19SEP17	S 1 PD19DEC17	11005.00	10606.11	USD	2,393.34	
19SEP17	B 1 PD19DEC17	10895.00	10606.11	USD	-1,733.34	
19SEP17	B 1 PD19DEC17	10975.00	10606.11	USD	-2,213.34	
19SEP17	S 1 PD19DEC17	10965.00	10606.11	USD	2,153.34	
19SEP17	S 3 PD19DEC17	11055.00	10606.11	USD	8,080.02	
19SEP17	S 1 PD19DEC17	11190.00	10606.11	USD	3,503.34	
19SEP17	S 1 PD19DEC17	11210.00	10606.11	USD	3,623.34	
	NET 0 AVG BGHT 11031.67 SOLD 11059.44				**1,500.00**	**0.00**
20SEP17	B 1 PD20DEC17	11190.00	10607.00	USD	-3,498.00	
20SEP17	B 1 PD20DEC17	11225.00	10607.00	USD	-3,708.00	
20SEP17	B 1 PD20DEC17	11235.00	10607.00	USD	-3,768.00	
20SEP17	B 1 PD20DEC17	11285.00	10607.00	USD	-4,068.00	
20SEP17	S 1 PD20DEC17	11395.00	10607.00	USD	4,728.00	
20SEP17	S 1 PD20DEC17	11355.00	10607.00	USD	4,488.00	
20SEP17	S 1 PD20DEC17	11385.00	10607.00	USD	4,668.00	
20SEP17	B 2 PD20DEC17	11385.00	10607.00	USD	-9,336.00	
20SEP17	S 3 PD20DEC17	11360.00	10607.00	USD	13,554.00	
20SEP17	S 1 PD20DEC17	11375.00	10607.00	USD	4,608.00	
20SEP17	B 2 PD20DEC17	11365.00	10607.00	USD	-9,096.00	
20SEP17	S 1 PD20DEC17	11350.00	10607.00	USD	4,458.00	
	NET 0 AVG BGHT 11304.38 SOLD 11367.50				**3,030.00**	**0.00**
21SEP17	B 1 PD21DEC17	10985.00	10608.00	USD	-2,262.00	
21SEP17	B 1 PD21DEC17	11005.00	10608.00	USD	-2,382.00	
21SEP17	B 1 PD21DEC17	11000.00	10608.00	USD	-2,352.00	
21SEP17	B 1 PD21DEC17	10995.00	10608.00	USD	-2,322.00	
21SEP17	S 1 PD21DEC17	10955.00	10608.00	USD	2,082.00	
21SEP17	B 1 PD21DEC17	11055.00	10608.00	USD	-2,682.00	
21SEP17	B 1 PD21DEC17	11105.00	10608.00	USD	-2,982.00	
21SEP17	S 1 PD21DEC17	11095.00	10608.00	USD	2,922.00	
21SEP17	B 1 PD21DEC17	11080.00	10608.00	USD	-2,832.00	
21SEP17	B 1 PD21DEC17	11090.00	10608.00	USD	-2,892.00	
21SEP17	B 1 PD21DEC17	11110.00	10608.00	USD	-3,012.00	
21SEP17	B 1 PD21DEC17	10995.00	10608.00	USD	-2,322.00	
21SEP17	S 1 PD21DEC17	11115.00	10608.00	USD	3,042.00	
21SEP17	S 1 PD21DEC17	10890.00	10608.00	USD	1,692.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
21SEP17	B 1 PD21DEC17	10825.00	10608.00	USD	-1,302.00	
21SEP17	S 1 PD21DEC17	10805.00	10608.00	USD	1,182.00	
21SEP17	B 2 PD21DEC17	10810.00	10608.00	USD	-2,424.00	
21SEP17	S 1 PD21DEC17	10735.00	10608.00	USD	762.00	
21SEP17	B 1 PD21DEC17	10680.00	10608.00	USD	-432.00	
21SEP17	S 1 PD21DEC17	10745.00	10608.00	USD	822.00	
21SEP17	B 1 PD21DEC17	10725.00	10608.00	USD	-702.00	
21SEP17	S 1 PD21DEC17	10640.00	10608.00	USD	192.00	
21SEP17	S 1 PD21DEC17	10740.00	10608.00	USD	792.00	
22SEP17	S 3 PD21DEC17	10584.55	10608.00	USD	-422.10	
29SEP17	S 3 PD21DEC17	10490.50	10608.00	USD	-2,115.00	
	NET 0 AVG BGHT 10951.33 SOLD 10729.68				**-19,949.10**	**0.00**
22SEP17	B 1 PD22DEC17	10725.00	10609.00	USD	-696.00	
22SEP17	B 1 PD22DEC17	10790.00	10609.00	USD	-1,086.00	
22SEP17	S 1 PD22DEC17	10695.00	10609.00	USD	516.00	
22SEP17	S 1 PD22DEC17	10700.00	10609.00	USD	546.00	
22SEP17	B 1 PD22DEC17	10660.00	10609.00	USD	-306.00	
22SEP17	B 1 PD22DEC17	10605.00	10609.00	USD	24.00	
22SEP17	S 1 PD22DEC17	10580.00	10609.00	USD	-174.00	
22SEP17	B 1 PD22DEC17	10560.00	10609.00	USD	294.00	
22SEP17	S 1 PD22DEC17	10565.00	10609.00	USD	-264.00	
22SEP17	S 1 PD22DEC17	10545.00	10609.00	USD	-384.00	
22SEP17	S 1 PD22DEC17	10550.00	10609.00	USD	-354.00	
22SEP17	B 1 PD22DEC17	10525.00	10609.00	USD	504.00	
22SEP17	B 1 PD22DEC17	10765.00	10609.00	USD	-936.00	
22SEP17	S 1 PD22DEC17	10620.00	10609.00	USD	66.00	
22SEP17	B 1 PD22DEC17	10580.00	10609.00	USD	174.00	
22SEP17	B 1 PD22DEC17	10590.00	10609.00	USD	114.00	
22SEP17	B 1 PD22DEC17	10595.00	10609.00	USD	84.00	
22SEP17	B 1 PD22DEC17	10575.00	10609.00	USD	204.00	
22SEP17	B 1 PD22DEC17	10510.00	10609.00	USD	594.00	
22SEP17	B 1 PD22DEC17	10465.00	10609.00	USD	864.00	
22SEP17	B 2 PD22DEC17	10450.00	10609.00	USD	1,908.00	
22SEP17	S 1 PD22DEC17	10535.00	10609.00	USD	-444.00	
22SEP17	B 1 PD22DEC17	10565.00	10609.00	USD	264.00	
22SEP17	S 3 PD22DEC17	10487.99	10609.00	USD	-2,178.18	
29SEP17	S 5 PD22DEC17	10491.50	10609.00	USD	-3,525.00	
	NET 0 AVG BGHT 10588.13 SOLD 10544.47				**-4,191.18**	**0.00**
25SEP17	S 1 PD27DEC17	10605.00	10613.58	USD	-51.48	
25SEP17	S 1 PD27DEC17	10620.00	10613.58	USD	38.52	
25SEP17	S 1 PD27DEC17	10600.00	10613.58	USD	-81.48	
25SEP17	S 2 PD27DEC17	10630.00	10613.58	USD	197.04	
25SEP17	B 1 PD27DEC17	10500.00	10613.58	USD	681.48	
25SEP17	B 1 PD27DEC17	10525.00	10613.58	USD	531.48	
25SEP17	B 1 PD27DEC17	10530.00	10613.58	USD	501.48	
25SEP17	B 1 PD27DEC17	10520.00	10613.58	USD	561.48	
25SEP17	B 1 PD27DEC17	10470.00	10613.58	USD	861.48	
25SEP17	B 1 PD27DEC17	10480.00	10613.58	USD	801.48	
25SEP17	B 1 PD27DEC17	10490.00	10613.58	USD	741.48	
25SEP17	B 2 PD27DEC17	10505.00	10613.58	USD	1,302.96	
25SEP17	B 1 PD27DEC17	10550.00	10613.58	USD	381.48	
25SEP17	S 1 PD27DEC17	10550.00	10613.58	USD	-381.48	
25SEP17	S 1 PD27DEC17	10565.00	10613.58	USD	-291.48	
25SEP17	S 1 PD27DEC17	10570.00	10613.58	USD	-261.48	
25SEP17	S 1 PD27DEC17	10580.00	10613.58	USD	-201.48	
25SEP17	S 6 PD27DEC17	10488.40	10613.58	USD	-4,506.48	
26SEP17	S 1 PD27DEC17	10620.00	10613.58	USD	38.52	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
26SEP17	S 1 PD27DEC17	10615.00	10613.58	USD	8.52	
26SEP17	B 1 PD27DEC17	10630.00	10613.58	USD	-98.52	
26SEP17	S 1 PD27DEC17	10570.00	10613.58	USD	-261.48	
26SEP17	S 1 PD27DEC17	10565.00	10613.58	USD	-291.48	
26SEP17	B 1 PD27DEC17	10460.00	10613.58	USD	921.48	
26SEP17	B 1 PD27DEC17	10475.00	10613.58	USD	831.48	
26SEP17	B 1 PD27DEC17	10470.00	10613.58	USD	861.48	
26SEP17	B 1 PD27DEC17	10450.00	10613.58	USD	981.48	
26SEP17	B 1 PD27DEC17	10405.00	10613.58	USD	1,251.48	
26SEP17	B 1 PD27DEC17	10505.00	10613.58	USD	651.48	
26SEP17	B 1 PD27DEC17	10540.00	10613.58	USD	441.48	
26SEP17	B 1 PD27DEC17	10545.00	10613.58	USD	411.48	
26SEP17	S 1 PD27DEC17	10530.00	10613.58	USD	-501.48	
26SEP17	B 1 PD27DEC17	10525.00	10613.58	USD	531.48	
26SEP17	B 1 PD27DEC17	10515.00	10613.58	USD	591.48	
26SEP17	B 1 PD27DEC17	10495.00	10613.58	USD	711.48	
27SEP17	S 1 PD27DEC17	10490.00	10613.58	USD	-741.48	
27SEP17	S 1 PD27DEC17	10505.00	10613.58	USD	-651.48	
27SEP17	S 1 PD27DEC17	10510.00	10613.58	USD	-621.48	
27SEP17	S 1 PD27DEC17	10525.00	10613.58	USD	-531.48	
27SEP17	S 1 PD27DEC17	10545.00	10613.58	USD	-411.48	
27SEP17	S 1 PD27DEC17	10560.00	10613.58	USD	-321.48	
27SEP17	S 1 PD27DEC17	10525.00	10613.58	USD	-531.48	
27SEP17	S 1 PD27DEC17	10535.00	10613.58	USD	-471.48	
27SEP17	S 2 PD27DEC17	10560.00	10613.58	USD	-642.96	
29SEP17	B 8 PD27DEC17	10498.57	10613.58	USD	5,520.48	
	NET 0 AVG BGHT 10502.62 SOLD 10549.85				**8,501.04**	**0.00**
28SEP17	B 1 PD28DEC17	10445.00	10614.50	USD	1,017.00	
28SEP17	S 1 PD28DEC17	10455.00	10614.50	USD	-957.00	
	NET 0 AVG BGHT 10445.00 SOLD 10455.00				**60.00**	**0.00**
29SEP17	S 2 PD29DEC17	10455.00	10615.42	USD	-1,925.04	
29SEP17	S 1 PD29DEC17	10445.00	10615.42	USD	-1,022.52	
29SEP17	S 2 PD29DEC17	10440.00	10615.42	USD	-2,105.04	
29SEP17	S 1 PD29DEC17	10435.00	10615.42	USD	-1,082.52	
29SEP17	B 6 PD29DEC17	10437.00	10615.42	USD	6,423.12	
	NET 0 AVG BGHT 10437.00 SOLD 10445.00				**288.00**	**0.00**
	CMX SILVER 5,000 CMX					
18SEP17	B 1 DEC17	1743.5	1665.0	USD	-3,925.00	
18SEP17	B 4 DEC17	1744.0	1665.0	USD	-15,800.00	
18SEP17	B 2 DEC17	1742.5	1665.0	USD	-7,750.00	
18SEP17	B 2 DEC17	1743.0	1665.0	USD	-7,800.00	
18SEP17	B 1 DEC17	1741.0	1665.0	USD	-3,800.00	
18SEP17	B 1 DEC17	1739.5	1665.0	USD	-3,725.00	
18SEP17	B 1 DEC17	1739.0	1665.0	USD	-3,700.00	
18SEP17	B 2 DEC17	1738.5	1665.0	USD	-7,350.00	
18SEP17	B 1 DEC17	1731.5	1665.0	USD	-3,325.00	
18SEP17	B 1 DEC17	1731.0	1665.0	USD	-3,300.00	
18SEP17	B 1 DEC17	1716.5	1665.0	USD	-2,575.00	
18SEP17	B 1 DEC17	1715.0	1665.0	USD	-2,500.00	
18SEP17	B 1 DEC17	1715.5	1665.0	USD	-2,525.00	
18SEP17	B 1 DEC17	1718.5	1665.0	USD	-2,675.00	
21SEP17	B 1 DEC17	1697.0	1665.0	USD	-1,600.00	
21SEP17	B 5 DEC17	1697.5	1665.0	USD	-8,125.00	
21SEP17	B 1 DEC17	1692.0	1665.0	USD	-1,350.00	
21SEP17	B 1 DEC17	1692.5	1665.0	USD	-1,375.00	
21SEP17	B 5 DEC17	1690.5	1665.0	USD	-6,375.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	CMX SILVER 5,000 CMX					
21SEP17	B 1 DEC17	1689.5	1665.0	USD	-1,225.00	
21SEP17	B 1 DEC17	1688.5	1665.0	USD	-1,175.00	
21SEP17	B 2 DEC17	1689.0	1665.0	USD	-2,400.00	
22SEP17	B 1 DEC17	1708.5	1665.0	USD	-2,175.00	
22SEP17	B 3 DEC17	1707.0	1665.0	USD	-6,300.00	
22SEP17	B 7 DEC17	1706.5	1665.0	USD	-14,525.00	
22SEP17	B 1 DEC17	1705.0	1665.0	USD	-2,000.00	
22SEP17	B 1 DEC17	1706.0	1665.0	USD	-2,050.00	
22SEP17	B 1 DEC17	1704.5	1665.0	USD	-1,975.00	
22SEP17	B 3 DEC17	1704.0	1665.0	USD	-5,850.00	
22SEP17	B 1 DEC17	1703.5	1665.0	USD	-1,925.00	
22SEP17	B 2 DEC17	1700.5	1665.0	USD	-3,550.00	
22SEP17	B 1 DEC17	1700.0	1665.0	USD	-1,750.00	
22SEP17	B 2 DEC17	1699.5	1665.0	USD	-3,450.00	
22SEP17	B 1 DEC17	1696.0	1665.0	USD	-1,550.00	
22SEP17	B 1 DEC17	1697.5	1665.0	USD	-1,625.00	
26SEP17	B 8 DEC17	1700.5	1665.0	USD	-14,200.00	
26SEP17	B 1 DEC17	1699.0	1665.0	USD	-1,700.00	
26SEP17	B 1 DEC17	1697.0	1665.0	USD	-1,600.00	
26SEP17	B 1 DEC17	1696.5	1665.0	USD	-1,575.00	
26SEP17	B 1 DEC17	1696.0	1665.0	USD	-1,550.00	
26SEP17	B 3 DEC17	1695.5	1665.0	USD	-4,575.00	
26SEP17	B 1 DEC17	1694.0	1665.0	USD	-1,450.00	
26SEP17	B 1 DEC17	1693.0	1665.0	USD	-1,400.00	
26SEP17	B 2 DEC17	1693.5	1665.0	USD	-2,850.00	
26SEP17	B 1 DEC17	1698.0	1665.0	USD	-1,650.00	
26SEP17	B 3 DEC17	1698.5	1665.0	USD	-5,025.00	
26SEP17	B 2 DEC17	1695.0	1665.0	USD	-3,000.00	
26SEP17	B 1 DEC17	1692.0	1665.0	USD	-1,350.00	
26SEP17	B 2 DEC17	1682.0	1665.0	USD	-1,700.00	
27SEP17	B 3 DEC17	1679.0	1665.0	USD	-2,100.00	
27SEP17	B 4 DEC17	1679.5	1665.0	USD	-2,900.00	
27SEP17	B 1 DEC17	1680.5	1665.0	USD	-775.00	
27SEP17	B 1 DEC17	1683.5	1665.0	USD	-925.00	
27SEP17	B 3 DEC17	1687.0	1665.0	USD	-3,300.00	
27SEP17	B 3 DEC17	1685.5	1665.0	USD	-3,075.00	
27SEP17	B 4 DEC17	1685.0	1665.0	USD	-4,000.00	
27SEP17	B 1 DEC17	1682.0	1665.0	USD	-850.00	
27SEP17	B 1 DEC17	1681.5	1665.0	USD	-825.00	
27SEP17	B 4 DEC17	1681.0	1665.0	USD	-3,200.00	
28SEP17	B 1 DEC17	1681.0	1665.0	USD	-800.00	
28SEP17	B 1 DEC17	1680.5	1665.0	USD	-775.00	
29SEP17	B 1 DEC17	1674.0	1665.0	USD	-450.00	
29SEP17	B 1 DEC17	1672.5	1665.0	USD	-375.00	
29SEP17	B 1 DEC17	1669.5	1665.0	USD	-225.00	
	NET +120 AVG BGHT 1700.2				**-211,300.00**	**0.00**
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
06SEP17	S 1 PD06DEC17	20650.00	20852.50	USD	-1,012.50	
11SEP17	B 1 PD06DEC17	20756.18	20852.50	USD	481.60	
	NET 0 AVG BGHT 20756.18 SOLD 20650.00				**-530.90**	**0.00**
07SEP17	B 1 PD07DEC17	20730.00	20851.25	USD	606.25	
11SEP17	S 1 PD07DEC17	20753.12	20851.25	USD	-490.65	
	NET 0 AVG BGHT 20730.00 SOLD 20753.12				**115.60**	**0.00**
08SEP17	S 1 PD08DEC17	20665.00	20850.00	USD	-925.00	
08SEP17	B 1 PD08DEC17	20525.00	20850.00	USD	1,625.00	
08SEP17	S 1 PD08DEC17	20615.00	20850.00	USD	-1,175.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
20SEP17	B 1 PD08DEC17	20638.33	20850.00	USD	1,058.35	
	NET 0 AVG BGHT 20581.67 SOLD 20640.00				**583.35**	**0.00**
15SEP17	S 1 PD15DEC17	20500.00	20843.75	USD	-1,718.75	
20SEP17	B 1 PD15DEC17	20630.00	20843.75	USD	1,068.75	
	NET 0 AVG BGHT 20630.00 SOLD 20500.00				**-650.00**	**0.00**
19SEP17	B 2 PD19DEC17	20670.00	20841.25	USD	1,712.50	
20SEP17	S 2 PD19DEC17	20625.67	20841.25	USD	-2,155.80	
	NET 0 AVG BGHT 20670.00 SOLD 20625.67				**-443.30**	**0.00**
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
04AUG17	S 9 PD03NOV17	2826.00	3272.00	USD	-100,350.00	
04AUG17	S 12 PD03NOV17	2817.50	3272.00	USD	-136,350.00	
04AUG17	B 24 PD03NOV17	2810.00	3272.00	USD	277,200.00	
18AUG17	S 5 PD03NOV17	3124.88	3272.00	USD	-18,390.00	
22AUG17	B 9 PD03NOV17	3118.08	3272.00	USD	34,632.00	
23AUG17	S 7 PD03NOV17	3095.62	3272.00	USD	-30,866.50	
	NET 0 AVG BGHT 2894.02 SOLD 2925.39				**25,875.50**	**0.00**
07AUG17	S 1 PD07NOV17	2818.00	3272.00	USD	-11,350.00	
07AUG17	S 1 PD07NOV17	2816.50	3272.00	USD	-11,387.50	
07AUG17	S 1 PD07NOV17	2816.00	3272.00	USD	-11,400.00	
07AUG17	S 1 PD07NOV17	2831.00	3272.00	USD	-11,025.00	
07AUG17	B 1 PD07NOV17	2885.50	3272.00	USD	9,662.50	
07AUG17	B 2 PD07NOV17	2888.50	3272.00	USD	19,175.00	
07AUG17	B 1 PD07NOV17	2889.00	3272.00	USD	9,575.00	
	NET 0 AVG BGHT 2887.88 SOLD 2820.38				**-6,750.00**	**0.00**
09AUG17	B 1 PD09NOV17	2970.00	3272.00	USD	7,550.00	
09AUG17	B 1 PD09NOV17	2972.50	3272.00	USD	7,487.50	
09AUG17	B 1 PD09NOV17	2974.00	3272.00	USD	7,450.00	
09AUG17	S 2 PD09NOV17	2972.00	3272.00	USD	-15,000.00	
09AUG17	S 1 PD09NOV17	2974.00	3272.00	USD	-7,450.00	
09AUG17	S 2 PD09NOV17	2971.50	3272.00	USD	-15,025.00	
09AUG17	S 3 PD09NOV17	2973.00	3272.00	USD	-22,425.00	
09AUG17	S 3 PD09NOV17	2959.00	3272.00	USD	-23,475.00	
09AUG17	S 1 PD09NOV17	2957.00	3272.00	USD	-7,875.00	
09AUG17	S 1 PD09NOV17	2972.00	3272.00	USD	-7,500.00	
09AUG17	B 3 PD09NOV17	2936.00	3272.00	USD	25,200.00	
09AUG17	B 1 PD09NOV17	2936.50	3272.00	USD	8,387.50	
09AUG17	B 1 PD09NOV17	2940.00	3272.00	USD	8,300.00	
09AUG17	B 2 PD09NOV17	2941.00	3272.00	USD	16,550.00	
09AUG17	B 1 PD09NOV17	2941.50	3272.00	USD	8,262.50	
09AUG17	B 1 PD09NOV17	2942.50	3272.00	USD	8,237.50	
18AUG17	S 4 PD09NOV17	3125.69	3272.00	USD	-14,631.00	
24AUG17	B 5 PD09NOV17	3112.71	3272.00	USD	19,911.25	
	NET 0 AVG BGHT 2995.91 SOLD 3005.22				**3,955.25**	**0.00**
10AUG17	B 1 PD10NOV17	2907.50	3272.00	USD	9,112.50	
10AUG17	B 1 PD10NOV17	2911.00	3272.00	USD	9,025.00	
10AUG17	B 1 PD10NOV17	2910.50	3272.00	USD	9,037.50	
10AUG17	B 1 PD10NOV17	2910.00	3272.00	USD	9,050.00	
10AUG17	B 4 PD10NOV17	2911.50	3272.00	USD	36,050.00	
23AUG17	S 2 PD10NOV17	3096.42	3272.00	USD	-8,779.00	
30AUG17	S 6 PD10NOV17	3093.65	3272.00	USD	-26,752.50	
	NET 0 AVG BGHT 2910.63 SOLD 3094.34				**36,743.50**	**0.00**
14AUG17	S 1 PD14NOV17	2914.00	3270.33	USD	-8,908.25	
14AUG17	S 1 PD14NOV17	2915.00	3270.33	USD	-8,883.25	
14AUG17	S 2 PD14NOV17	2914.50	3270.33	USD	-17,791.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
14AUG17	B 1 PD14NOV17	2909.50	3270.33	USD	9,020.75	
14AUG17	B 2 PD14NOV17	2907.50	3270.33	USD	18,141.50	
14AUG17	B 1 PD14NOV17	2909.00	3270.33	USD	9,033.25	
	NET 0 AVG BGHT 2908.38 SOLD 2914.50				**612.50**	**0.00**
15AUG17	S 1 PD15NOV17	2909.00	3269.50	USD	-9,012.50	
15AUG17	S 1 PD15NOV17	2946.00	3269.50	USD	-8,087.50	
15AUG17	S 1 PD15NOV17	2945.00	3269.50	USD	-8,112.50	
15AUG17	S 2 PD15NOV17	2944.00	3269.50	USD	-16,275.00	
15AUG17	S 4 PD15NOV17	2947.00	3269.50	USD	-32,250.00	
18AUG17	B 9 PD15NOV17	3126.70	3269.50	USD	32,130.00	
	NET 0 AVG BGHT 3126.70 SOLD 2941.78				**-41,607.50**	**0.00**
16AUG17	B 1 PD16NOV17	2982.00	3267.50	USD	7,137.50	
16AUG17	B 1 PD16NOV17	2991.00	3267.50	USD	6,912.50	
16AUG17	B 1 PD16NOV17	2989.50	3267.50	USD	6,950.00	
16AUG17	B 4 PD16NOV17	2990.00	3267.50	USD	27,750.00	
16AUG17	B 1 PD16NOV17	2989.50	3267.50	USD	6,950.00	
16AUG17	B 1 PD16NOV17	2990.00	3267.50	USD	6,937.50	
16AUG17	B 2 PD16NOV17	3078.00	3267.50	USD	9,475.00	
16AUG17	B 1 PD16NOV17	3087.50	3267.50	USD	4,500.00	
16AUG17	B 2 PD16NOV17	3091.00	3267.50	USD	8,825.00	
16AUG17	B 3 PD16NOV17	3090.50	3267.50	USD	13,275.00	
16AUG17	S 2 PD16NOV17	3089.00	3267.50	USD	-8,925.00	
16AUG17	S 2 PD16NOV17	3091.00	3267.50	USD	-8,825.00	
16AUG17	S 1 PD16NOV17	3090.50	3267.50	USD	-4,425.00	
16AUG17	S 2 PD16NOV17	3088.00	3267.50	USD	-8,975.00	
16AUG17	S 1 PD16NOV17	3087.00	3267.50	USD	-4,512.50	
16AUG17	S 1 PD16NOV17	3086.50	3267.50	USD	-4,525.00	
16AUG17	S 1 PD16NOV17	3086.00	3267.50	USD	-4,537.50	
16AUG17	B 5 PD16NOV17	3107.50	3267.50	USD	20,000.00	
16AUG17	B 2 PD16NOV17	3107.00	3267.50	USD	8,025.00	
16AUG17	B 1 PD16NOV17	3108.00	3267.50	USD	3,987.50	
16AUG17	B 5 PD16NOV17	3091.15	3267.50	USD	22,043.75	
16AUG17	B 5 PD16NOV17	3090.40	3267.50	USD	22,137.50	
23AUG17	S 12 PD16NOV17	3095.00	3267.50	USD	-51,750.00	
30AUG17	S 5 PD16NOV17	3092.00	3267.50	USD	-21,937.50	
11SEP17	S 8 PD16NOV17	3078.50	3267.50	USD	-37,800.00	
	NET 0 AVG BGHT 3067.61 SOLD 3088.97				**18,693.75**	**0.00**
17AUG17	B 1 PD17NOV17	3095.50	3265.50	USD	4,250.00	
17AUG17	B 1 PD17NOV17	3097.00	3265.50	USD	4,212.50	
17AUG17	B 1 PD17NOV17	3096.50	3265.50	USD	4,225.00	
17AUG17	B 3 PD17NOV17	3097.50	3265.50	USD	12,600.00	
17AUG17	S 2 PD17NOV17	3096.50	3265.50	USD	-8,450.00	
17AUG17	B 1 PD17NOV17	3104.50	3265.50	USD	4,025.00	
17AUG17	B 3 PD17NOV17	3105.00	3265.50	USD	12,037.50	
17AUG17	B 1 PD17NOV17	3103.00	3265.50	USD	4,062.50	
17AUG17	B 1 PD17NOV17	3055.50	3265.50	USD	5,250.00	
17AUG17	B 1 PD17NOV17	3055.00	3265.50	USD	5,262.50	
17AUG17	B 1 PD17NOV17	3068.50	3265.50	USD	4,925.00	
17AUG17	B 1 PD17NOV17	3056.50	3265.50	USD	5,225.00	
18AUG17	S 1 PD17NOV17	3094.00	3265.50	USD	-4,287.50	
18AUG17	B 2 PD17NOV17	3102.00	3265.50	USD	8,175.00	
18AUG17	B 2 PD17NOV17	3104.50	3265.50	USD	8,050.00	
18AUG17	B 1 PD17NOV17	3112.00	3265.50	USD	3,837.50	
18AUG17	B 1 PD17NOV17	3138.00	3265.50	USD	3,187.50	
18AUG17	B 2 PD17NOV17	3137.50	3265.50	USD	6,400.00	
18AUG17	S 1 PD17NOV17	3096.00	3265.50	USD	-4,237.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
18AUG17	S 2 PD17NOV17	3099.00	3265.50	USD	-8,325.00	
18AUG17	S 1 PD17NOV17	3100.50	3265.50	USD	-4,125.00	
30AUG17	S 1 PD17NOV17	3092.00	3265.50	USD	-4,337.50	
11SEP17	S 15 PD17NOV17	3078.68	3265.50	USD	-70,057.50	
	NET 0 AVG BGHT 3099.02 SOLD 3084.94				**-8,095.00**	**0.00**
21AUG17	B 1 PD21NOV17	3165.50	3264.83	USD	2,483.25	
21AUG17	S 1 PD21NOV17	3168.00	3264.83	USD	-2,420.75	
21AUG17	S 3 PD21NOV17	3175.00	3264.83	USD	-6,737.25	
21AUG17	B 1 PD21NOV17	3171.00	3264.83	USD	2,345.75	
21AUG17	B 1 PD21NOV17	3169.50	3264.83	USD	2,383.25	
21AUG17	B 2 PD21NOV17	3169.00	3264.83	USD	4,791.50	
21AUG17	B 3 PD21NOV17	3172.00	3264.83	USD	6,962.25	
21AUG17	S 3 PD21NOV17	3167.50	3264.83	USD	-7,299.75	
21AUG17	S 1 PD21NOV17	3168.50	3264.83	USD	-2,408.25	
21AUG17	S 1 PD21NOV17	3150.50	3264.83	USD	-2,858.25	
21AUG17	S 1 PD21NOV17	3144.50	3264.83	USD	-3,008.25	
21AUG17	S 1 PD21NOV17	3154.50	3264.83	USD	-2,758.25	
21AUG17	S 3 PD21NOV17	3148.00	3264.83	USD	-8,762.25	
21AUG17	S 1 PD21NOV17	3143.50	3264.83	USD	-3,033.25	
21AUG17	S 1 PD21NOV17	3150.00	3264.83	USD	-2,870.75	
21AUG17	B 1 PD21NOV17	3138.00	3264.83	USD	3,170.75	
21AUG17	B 1 PD21NOV17	3133.00	3264.83	USD	3,295.75	
21AUG17	B 1 PD21NOV17	3131.00	3264.83	USD	3,345.75	
21AUG17	B 2 PD21NOV17	3126.00	3264.83	USD	6,941.50	
21AUG17	B 1 PD21NOV17	3125.50	3264.83	USD	3,483.25	
21AUG17	B 1 PD21NOV17	3125.00	3264.83	USD	3,495.75	
21AUG17	B 4 PD21NOV17	3137.00	3264.83	USD	12,783.00	
21AUG17	B 2 PD21NOV17	3137.50	3264.83	USD	6,366.50	
21AUG17	B 1 PD21NOV17	3138.50	3264.83	USD	3,158.25	
21AUG17	S 1 PD21NOV17	3139.00	3264.83	USD	-3,145.75	
11SEP17	S 5 PD21NOV17	3079.40	3264.83	USD	-23,178.75	
	NET 0 AVG BGHT 3146.64 SOLD 3140.32				**-3,475.00**	**0.00**
22AUG17	S 2 PD22NOV17	3114.50	3264.50	USD	-7,500.00	
22AUG17	S 1 PD22NOV17	3118.00	3264.50	USD	-3,662.50	
22AUG17	S 1 PD22NOV17	3152.50	3264.50	USD	-2,800.00	
22AUG17	S 1 PD22NOV17	3160.00	3264.50	USD	-2,612.50	
22AUG17	S 1 PD22NOV17	3159.00	3264.50	USD	-2,637.50	
22AUG17	S 1 PD22NOV17	3161.00	3264.50	USD	-2,587.50	
22AUG17	S 1 PD22NOV17	3162.50	3264.50	USD	-2,550.00	
22AUG17	S 1 PD22NOV17	3164.00	3264.50	USD	-2,512.50	
22AUG17	S 2 PD22NOV17	3158.50	3264.50	USD	-5,300.00	
22AUG17	S 2 PD22NOV17	3159.50	3264.50	USD	-5,250.00	
22AUG17	S 1 PD22NOV17	3143.00	3264.50	USD	-3,037.50	
22AUG17	S 1 PD22NOV17	3132.00	3264.50	USD	-3,312.50	
22AUG17	S 1 PD22NOV17	3132.50	3264.50	USD	-3,300.00	
22AUG17	S 1 PD22NOV17	3134.00	3264.50	USD	-3,262.50	
22AUG17	S 1 PD22NOV17	3130.50	3264.50	USD	-3,350.00	
22AUG17	S 1 PD22NOV17	3131.00	3264.50	USD	-3,337.50	
22AUG17	S 1 PD22NOV17	3135.50	3264.50	USD	-3,225.00	
22AUG17	S 1 PD22NOV17	3134.50	3264.50	USD	-3,250.00	
22AUG17	S 1 PD22NOV17	3116.50	3264.50	USD	-3,700.00	
22AUG17	S 1 PD22NOV17	3119.00	3264.50	USD	-3,637.50	
22AUG17	S 1 PD22NOV17	3117.50	3264.50	USD	-3,675.00	
22AUG17	S 2 PD22NOV17	3113.50	3264.50	USD	-7,550.00	
22AUG17	S 2 PD22NOV17	3114.00	3264.50	USD	-7,525.00	
22AUG17	S 3 PD22NOV17	3116.00	3264.50	USD	-11,137.50	
22AUG17	B 10 PD22NOV17	3154.65	3264.50	USD	27,462.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
23AUG17	B 21 PD22NOV17	3096.13	3264.50	USD	88,394.25	
	NET 0 AVG BGHT 3115.01 SOLD 3134.55				**15,144.25**	**0.00**
23AUG17	B 3 PD24NOV17	3111.50	3264.71	USD	11,490.75	
23AUG17	B 1 PD24NOV17	3110.50	3264.71	USD	3,855.25	
23AUG17	B 1 PD24NOV17	3114.00	3264.71	USD	3,767.75	
23AUG17	B 1 PD24NOV17	3113.50	3264.71	USD	3,780.25	
23AUG17	B 3 PD24NOV17	3112.50	3264.71	USD	11,415.75	
23AUG17	B 3 PD24NOV17	3112.00	3264.71	USD	11,453.25	
23AUG17	B 4 PD24NOV17	3110.00	3264.71	USD	15,471.00	
23AUG17	B 1 PD24NOV17	3106.50	3264.71	USD	3,955.25	
23AUG17	B 1 PD24NOV17	3106.00	3264.71	USD	3,967.75	
23AUG17	B 1 PD24NOV17	3108.00	3264.71	USD	3,917.75	
23AUG17	B 1 PD24NOV17	3105.00	3264.71	USD	3,992.75	
23AUG17	B 4 PD24NOV17	3100.50	3264.71	USD	16,421.00	
24AUG17	S 1 PD24NOV17	3113.00	3264.71	USD	-3,792.75	
24AUG17	S 1 PD24NOV17	3114.00	3264.71	USD	-3,767.75	
24AUG17	S 1 PD24NOV17	3115.50	3264.71	USD	-3,730.25	
24AUG17	S 1 PD24NOV17	3114.50	3264.71	USD	-3,755.25	
24AUG17	B 1 PD24NOV17	3109.00	3264.71	USD	3,892.75	
24AUG17	B 1 PD24NOV17	3110.00	3264.71	USD	3,867.75	
24AUG17	B 3 PD24NOV17	3112.50	3264.71	USD	11,415.75	
24AUG17	B 2 PD24NOV17	3111.50	3264.71	USD	7,660.50	
24AUG17	B 1 PD24NOV17	3111.00	3264.71	USD	3,842.75	
25AUG17	S 4 PD24NOV17	3102.50	3264.71	USD	-16,221.00	
25AUG17	S 4 PD24NOV17	3103.50	3264.71	USD	-16,121.00	
25AUG17	B 1 PD24NOV17	3126.50	3264.71	USD	3,455.25	
25AUG17	S 1 PD24NOV17	3131.00	3264.71	USD	-3,342.75	
25AUG17	S 3 PD24NOV17	3065.00	3264.71	USD	-14,978.25	
25AUG17	S 2 PD24NOV17	3065.50	3264.71	USD	-9,960.50	
25AUG17	S 2 PD24NOV17	3066.50	3264.71	USD	-9,910.50	
25AUG17	S 1 PD24NOV17	3067.00	3264.71	USD	-4,942.75	
25AUG17	S 1 PD24NOV17	3069.50	3264.71	USD	-4,880.25	
25AUG17	S 1 PD24NOV17	3071.00	3264.71	USD	-4,842.75	
25AUG17	S 1 PD24NOV17	3064.50	3264.71	USD	-5,005.25	
25AUG17	B 3 PD24NOV17	3073.00	3264.71	USD	14,378.25	
25AUG17	B 1 PD24NOV17	3070.50	3264.71	USD	4,855.25	
25AUG17	B 1 PD24NOV17	3069.00	3264.71	USD	4,892.75	
25AUG17	S 3 PD24NOV17	3063.50	3264.71	USD	-15,090.75	
25AUG17	S 3 PD24NOV17	3060.00	3264.71	USD	-15,353.25	
25AUG17	B 1 PD24NOV17	3060.00	3264.71	USD	5,117.75	
25AUG17	S 1 PD24NOV17	3056.50	3264.71	USD	-5,205.25	
25AUG17	B 1 PD24NOV17	3056.00	3264.71	USD	5,217.75	
25AUG17	S 2 PD24NOV17	3054.50	3264.71	USD	-10,510.50	
25AUG17	B 1 PD24NOV17	3071.50	3264.71	USD	4,830.25	
11SEP17	S 8 PD24NOV17	3079.94	3264.71	USD	-36,954.00	
	NET 0 AVG BGHT 3101.87 SOLD 3080.94				**-21,449.50**	**0.00**
29AUG17	S 1 PD29NOV17	3103.50	3265.25	USD	-4,043.75	
29AUG17	S 1 PD29NOV17	3104.00	3265.25	USD	-4,031.25	
29AUG17	S 2 PD29NOV17	3103.00	3265.25	USD	-8,112.50	
29AUG17	S 1 PD29NOV17	3125.00	3265.25	USD	-3,506.25	
29AUG17	S 1 PD29NOV17	3123.00	3265.25	USD	-3,556.25	
29AUG17	S 1 PD29NOV17	3122.50	3265.25	USD	-3,568.75	
29AUG17	S 2 PD29NOV17	3121.50	3265.25	USD	-7,187.50	
29AUG17	B 2 PD29NOV17	3120.00	3265.25	USD	7,262.50	
29AUG17	B 2 PD29NOV17	3119.50	3265.25	USD	7,287.50	
29AUG17	B 1 PD29NOV17	3118.50	3265.25	USD	3,668.75	
29AUG17	B 1 PD29NOV17	3117.00	3265.25	USD	3,706.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
29AUG17	B 1 PD29NOV17	3116.00	3265.25	USD	3,731.25	
29AUG17	B 2 PD29NOV17	3113.50	3265.25	USD	7,587.50	
29AUG17	B 4 PD29NOV17	3114.00	3265.25	USD	15,125.00	
29AUG17	B 1 PD29NOV17	3115.00	3265.25	USD	3,756.25	
29AUG17	B 1 PD29NOV17	3113.00	3265.25	USD	3,806.25	
29AUG17	S 1 PD29NOV17	3124.00	3265.25	USD	-3,531.25	
29AUG17	S 2 PD29NOV17	3125.50	3265.25	USD	-6,987.50	
29AUG17	B 1 PD29NOV17	3114.50	3265.25	USD	3,768.75	
29AUG17	B 2 PD29NOV17	3103.00	3265.25	USD	8,112.50	
29AUG17	B 1 PD29NOV17	3104.00	3265.25	USD	4,031.25	
29AUG17	B 2 PD29NOV17	3106.50	3265.25	USD	7,937.50	
29AUG17	B 1 PD29NOV17	3097.00	3265.25	USD	4,206.25	
29AUG17	B 1 PD29NOV17	3095.50	3265.25	USD	4,243.75	
29AUG17	B 1 PD29NOV17	3096.50	3265.25	USD	4,218.75	
29AUG17	B 1 PD29NOV17	3096.00	3265.25	USD	4,231.25	
29AUG17	B 1 PD29NOV17	3098.00	3265.25	USD	4,181.25	
29AUG17	B 2 PD29NOV17	3099.00	3265.25	USD	8,312.50	
29AUG17	B 1 PD29NOV17	3098.50	3265.25	USD	4,168.75	
29AUG17	B 2 PD29NOV17	3102.50	3265.25	USD	8,137.50	
29AUG17	B 5 PD29NOV17	3105.00	3265.25	USD	20,031.25	
29AUG17	B 1 PD29NOV17	3102.00	3265.25	USD	4,081.25	
29AUG17	B 1 PD29NOV17	3100.00	3265.25	USD	4,131.25	
29AUG17	S 1 PD29NOV17	3106.00	3265.25	USD	-3,981.25	
29AUG17	S 1 PD29NOV17	3105.00	3265.25	USD	-4,006.25	
29AUG17	S 2 PD29NOV17	3105.50	3265.25	USD	-7,987.50	
29AUG17	S 7 PD29NOV17	3103.09	3265.25	USD	-28,378.00	
29AUG17	S 5 PD29NOV17	3104.09	3265.25	USD	-20,145.00	
29AUG17	S 10 PD29NOV17	3103.34	3265.25	USD	-40,477.50	
29AUG17	S 12 PD29NOV17	3109.80	3265.25	USD	-46,635.00	
30AUG17	B 12 PD29NOV17	3095.03	3265.25	USD	51,066.00	
	NET 0 AVG BGHT 3104.62 SOLD 3108.34				**4,655.50**	**0.00**
30AUG17	B 4 PD30NOV17	3145.50	3265.36	USD	11,986.00	
31AUG17	S 1 PD30NOV17	3137.00	3265.36	USD	-3,209.00	
31AUG17	S 1 PD30NOV17	3141.50	3265.36	USD	-3,096.50	
31AUG17	S 2 PD30NOV17	3140.00	3265.36	USD	-6,268.00	
31AUG17	S 1 PD30NOV17	3152.50	3265.36	USD	-2,821.50	
31AUG17	B 1 PD30NOV17	3155.50	3265.36	USD	2,746.50	
31AUG17	B 1 PD30NOV17	3159.00	3265.36	USD	2,659.00	
31AUG17	S 1 PD30NOV17	3158.00	3265.36	USD	-2,684.00	
31AUG17	B 2 PD30NOV17	3158.00	3265.36	USD	5,368.00	
31AUG17	S 1 PD30NOV17	3162.00	3265.36	USD	-2,584.00	
31AUG17	S 1 PD30NOV17	3156.00	3265.36	USD	-2,734.00	
31AUG17	S 1 PD30NOV17	3156.50	3265.36	USD	-2,721.50	
31AUG17	S 1 PD30NOV17	3157.00	3265.36	USD	-2,709.00	
31AUG17	S 4 PD30NOV17	3154.00	3265.36	USD	-11,136.00	
31AUG17	B 3 PD30NOV17	3158.66	3265.36	USD	8,002.50	
31AUG17	B 7 PD30NOV17	3158.16	3265.36	USD	18,760.00	
11SEP17	S 4 PD30NOV17	3081.02	3265.36	USD	-18,434.00	
	NET 0 AVG BGHT 3155.31 SOLD 3135.59				**-8,875.50**	**0.00**
01SEP17	B 1 PD01DEC17	3142.00	3265.46	USD	3,086.50	
01SEP17	B 4 PD01DEC17	3141.50	3265.46	USD	12,396.00	
01SEP17	B 3 PD01DEC17	3145.00	3265.46	USD	9,034.50	
01SEP17	B 1 PD01DEC17	3151.50	3265.46	USD	2,849.00	
01SEP17	B 1 PD01DEC17	3153.00	3265.46	USD	2,811.50	
01SEP17	B 2 PD01DEC17	3152.50	3265.46	USD	5,648.00	
01SEP17	S 2 PD01DEC17	3147.00	3265.46	USD	-5,923.00	
01SEP17	S 1 PD01DEC17	3146.00	3265.46	USD	-2,986.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
01SEP17	S	1	PD01DEC17	3145.50	3265.46	USD	-2,999.00	
01SEP17	B	1	PD01DEC17	3155.50	3265.46	USD	2,749.00	
01SEP17	B	1	PD01DEC17	3184.00	3265.46	USD	2,036.50	
01SEP17	S	1	PD01DEC17	3178.50	3265.46	USD	-2,174.00	
11SEP17	S	9	PD01DEC17	3081.20	3265.46	USD	-41,458.50	
	NET	**0**	**AVG BGHT 3149.43**	**SOLD 3106.77**			**-14,930.00**	**0.00**
04SEP17	S	4	PD04DEC17	3210.00	3265.79	USD	-5,579.00	
04SEP17	S	1	PD04DEC17	3194.50	3265.79	USD	-1,782.25	
04SEP17	S	4	PD04DEC17	3205.00	3265.79	USD	-6,079.00	
11SEP17	B	9	PD04DEC17	3081.94	3265.79	USD	41,366.25	
	NET	**0**	**AVG BGHT 3081.94**	**SOLD 3206.06**			**27,926.00**	**0.00**
05SEP17	S	1	PD05DEC17	3202.50	3265.89	USD	-1,584.75	
05SEP17	S	3	PD05DEC17	3203.00	3265.89	USD	-4,716.75	
05SEP17	S	3	PD05DEC17	3202.00	3265.89	USD	-4,791.75	
05SEP17	S	1	PD05DEC17	3201.50	3265.89	USD	-1,609.75	
05SEP17	S	2	PD05DEC17	3176.00	3265.89	USD	-4,494.50	
05SEP17	S	1	PD05DEC17	3176.50	3265.89	USD	-2,234.75	
05SEP17	S	2	PD05DEC17	3137.50	3265.89	USD	-6,419.50	
05SEP17	S	1	PD05DEC17	3136.00	3265.89	USD	-3,247.25	
05SEP17	S	1	PD05DEC17	3135.50	3265.89	USD	-3,259.75	
05SEP17	S	1	PD05DEC17	3146.50	3265.89	USD	-2,984.75	
05SEP17	S	2	PD05DEC17	3142.50	3265.89	USD	-6,169.50	
05SEP17	S	1	PD05DEC17	3124.50	3265.89	USD	-3,534.75	
05SEP17	S	1	PD05DEC17	3125.50	3265.89	USD	-3,509.75	
05SEP17	S	1	PD05DEC17	3124.00	3265.89	USD	-3,547.25	
05SEP17	B	4	PD05DEC17	3110.00	3265.89	USD	15,589.00	
05SEP17	S	1	PD05DEC17	3095.50	3265.89	USD	-4,259.75	
05SEP17	S	3	PD05DEC17	3095.00	3265.89	USD	-12,816.75	
05SEP17	S	1	PD05DEC17	3100.50	3265.89	USD	-4,134.75	
05SEP17	S	3	PD05DEC17	3100.00	3265.89	USD	-12,441.75	
05SEP17	S	1	PD05DEC17	3174.00	3265.89	USD	-2,297.25	
05SEP17	S	1	PD05DEC17	3141.00	3265.89	USD	-3,122.25	
05SEP17	S	1	PD05DEC17	3125.00	3265.89	USD	-3,522.25	
11SEP17	B	28	PD05DEC17	3082.12	3265.89	USD	128,639.00	
	NET	**0**	**AVG BGHT 3085.61**	**SOLD 3147.52**			**49,528.50**	**0.00**
06SEP17	B	2	PD06DEC17	3101.50	3266.00	USD	8,225.00	
06SEP17	B	2	PD06DEC17	3107.00	3266.00	USD	7,950.00	
06SEP17	B	3	PD06DEC17	3108.00	3266.00	USD	11,850.00	
06SEP17	B	1	PD06DEC17	3108.50	3266.00	USD	3,937.50	
06SEP17	B	4	PD06DEC17	3123.50	3266.00	USD	14,250.00	
06SEP17	S	1	PD06DEC17	3104.00	3266.00	USD	-4,050.00	
06SEP17	S	3	PD06DEC17	3102.50	3266.00	USD	-12,262.50	
06SEP17	B	2	PD06DEC17	3093.00	3266.00	USD	8,650.00	
06SEP17	B	3	PD06DEC17	3093.50	3266.00	USD	12,937.50	
06SEP17	B	1	PD06DEC17	3097.00	3266.00	USD	4,225.00	
06SEP17	B	2	PD06DEC17	3098.00	3266.00	USD	8,400.00	
06SEP17	B	3	PD06DEC17	3084.50	3266.00	USD	13,612.50	
06SEP17	B	1	PD06DEC17	3088.00	3266.00	USD	4,450.00	
22SEP17	S	13	PD06DEC17	3031.83	3266.00	USD	-76,105.25	
29SEP17	S	7	PD06DEC17	3165.13	3266.00	USD	-17,652.25	
	NET	**0**	**AVG BGHT 3101.85**	**SOLD 3082.55**			**-11,582.50**	**0.00**
07SEP17	S	1	PD07DEC17	3096.50	3266.11	USD	-4,240.25	
07SEP17	S	3	PD07DEC17	3097.00	3266.11	USD	-12,683.25	
07SEP17	S	3	PD07DEC17	3079.00	3266.11	USD	-14,033.25	
07SEP17	S	1	PD07DEC17	3080.50	3266.11	USD	-4,640.25	
07SEP17	S	2	PD07DEC17	3133.50	3266.11	USD	-6,630.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
07SEP17	S 1 PD07DEC17	3135.50	3266.11	USD	-3,265.25	
07SEP17	S 1 PD07DEC17	3135.00	3266.11	USD	-3,277.75	
07SEP17	S 1 PD07DEC17	3139.00	3266.11	USD	-3,177.75	
07SEP17	S 3 PD07DEC17	3142.50	3266.11	USD	-9,270.75	
07SEP17	B 2 PD07DEC17	3126.00	3266.11	USD	7,005.50	
07SEP17	B 2 PD07DEC17	3125.50	3266.11	USD	7,030.50	
11SEP17	B 12 PD07DEC17	3082.48	3266.11	USD	55,089.00	
	NET 0 AVG BGHT 3093.30 SOLD 3113.06				**7,906.00**	**0.00**
08SEP17	S 1 PD08DEC17	3137.50	3266.21	USD	-3,217.75	
08SEP17	S 1 PD08DEC17	3133.00	3266.21	USD	-3,330.25	
08SEP17	S 1 PD08DEC17	3133.50	3266.21	USD	-3,317.75	
08SEP17	S 1 PD08DEC17	3134.00	3266.21	USD	-3,305.25	
08SEP17	S 1 PD08DEC17	3139.00	3266.21	USD	-3,180.25	
08SEP17	B 1 PD08DEC17	3149.00	3266.21	USD	2,930.25	
08SEP17	B 4 PD08DEC17	3146.50	3266.21	USD	11,971.00	
08SEP17	B 2 PD08DEC17	3142.50	3266.21	USD	6,185.50	
08SEP17	B 1 PD08DEC17	3141.50	3266.21	USD	3,117.75	
08SEP17	B 1 PD08DEC17	3131.00	3266.21	USD	3,380.25	
08SEP17	S 1 PD08DEC17	3130.50	3266.21	USD	-3,392.75	
08SEP17	S 2 PD08DEC17	3120.00	3266.21	USD	-7,310.50	
08SEP17	S 5 PD08DEC17	3117.00	3266.21	USD	-18,651.25	
08SEP17	S 1 PD08DEC17	3090.00	3266.21	USD	-4,405.25	
08SEP17	S 1 PD08DEC17	3091.50	3266.21	USD	-4,367.75	
08SEP17	B 2 PD08DEC17	3089.00	3266.21	USD	8,860.50	
08SEP17	B 1 PD08DEC17	3088.50	3266.21	USD	4,442.75	
08SEP17	B 1 PD08DEC17	3084.50	3266.21	USD	4,542.75	
08SEP17	B 2 PD08DEC17	3080.00	3266.21	USD	9,310.50	
08SEP17	B 2 PD08DEC17	3081.00	3266.21	USD	9,260.50	
08SEP17	S 1 PD08DEC17	3091.50	3266.21	USD	-4,367.75	
08SEP17	S 1 PD08DEC17	3090.00	3266.21	USD	-4,405.25	
08SEP17	S 1 PD08DEC17	3094.00	3266.21	USD	-4,305.25	
08SEP17	S 1 PD08DEC17	3073.00	3266.21	USD	-4,830.25	
08SEP17	S 2 PD08DEC17	3073.50	3266.21	USD	-9,635.50	
08SEP17	S 1 PD08DEC17	3074.00	3266.21	USD	-4,805.25	
08SEP17	S 1 PD08DEC17	3078.50	3266.21	USD	-4,692.75	
08SEP17	B 1 PD08DEC17	3070.00	3266.21	USD	4,905.25	
08SEP17	B 1 PD08DEC17	3078.50	3266.21	USD	4,692.75	
08SEP17	B 1 PD08DEC17	3078.00	3266.21	USD	4,705.25	
08SEP17	B 1 PD08DEC17	3080.50	3266.21	USD	4,642.75	
08SEP17	S 1 PD08DEC17	3076.00	3266.21	USD	-4,755.25	
08SEP17	B 1 PD08DEC17	3074.00	3266.21	USD	4,805.25	
08SEP17	B 1 PD08DEC17	3075.50	3266.21	USD	4,767.75	
08SEP17	B 3 PD08DEC17	3071.00	3266.21	USD	14,640.75	
08SEP17	B 2 PD08DEC17	3072.00	3266.21	USD	9,710.50	
08SEP17	B 1 PD08DEC17	3060.00	3266.21	USD	5,155.25	
08SEP17	S 1 PD08DEC17	3052.50	3266.21	USD	-5,342.75	
08SEP17	S 2 PD08DEC17	3053.00	3266.21	USD	-10,660.50	
08SEP17	S 1 PD08DEC17	3052.00	3266.21	USD	-5,355.25	
08SEP17	S 1 PD08DEC17	3053.00	3266.21	USD	-5,330.25	
08SEP17	S 1 PD08DEC17	3044.50	3266.21	USD	-5,542.75	
08SEP17	B 1 PD08DEC17	3034.00	3266.21	USD	5,805.25	
08SEP17	S 1 PD08DEC17	3033.00	3266.21	USD	-5,830.25	
08SEP17	S 1 PD08DEC17	3032.00	3266.21	USD	-5,855.25	
08SEP17	S 1 PD08DEC17	3032.50	3266.21	USD	-5,842.75	
08SEP17	B 1 PD08DEC17	3031.50	3266.21	USD	5,867.75	
08SEP17	B 3 PD08DEC17	3034.50	3266.21	USD	17,378.25	
08SEP17	B 1 PD08DEC17	3033.50	3266.21	USD	5,817.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
08SEP17	B 1 PD08DEC17	3036.50	3266.21	USD	5,742.75	
08SEP17	B 1 PD08DEC17	3037.00	3266.21	USD	5,730.25	
08SEP17	B 1 PD08DEC17	3036.00	3266.21	USD	5,755.25	
08SEP17	B 3 PD08DEC17	3032.00	3266.21	USD	17,565.75	
29SEP17	S 8 PD08DEC17	3165.25	3266.21	USD	-20,192.00	
	NET 0 AVG BGHT 3079.20 SOLD 3104.04				**25,462.50**	**0.00**
11SEP17	S 1 PD11DEC17	3058.00	3266.54	USD	-5,213.50	
11SEP17	S 3 PD11DEC17	3059.00	3266.54	USD	-15,565.50	
11SEP17	B 1 PD11DEC17	3050.00	3266.54	USD	5,413.50	
11SEP17	B 2 PD11DEC17	3051.50	3266.54	USD	10,752.00	
11SEP17	B 3 PD11DEC17	3051.00	3266.54	USD	16,165.50	
11SEP17	B 2 PD11DEC17	3049.50	3266.54	USD	10,852.00	
11SEP17	B 1 PD11DEC17	3071.00	3266.54	USD	4,888.50	
11SEP17	B 1 PD11DEC17	3068.50	3266.54	USD	4,951.00	
11SEP17	B 4 PD11DEC17	3067.00	3266.54	USD	19,954.00	
11SEP17	B 2 PD11DEC17	3067.50	3266.54	USD	9,952.00	
29SEP17	S 12 PD11DEC17	3165.44	3266.54	USD	-30,330.00	
	NET 0 AVG BGHT 3059.22 SOLD 3138.77				**31,819.50**	**0.00**
12SEP17	B 2 PD12DEC17	3070.00	3266.64	USD	9,832.00	
12SEP17	B 1 PD12DEC17	3067.00	3266.64	USD	4,991.00	
12SEP17	B 1 PD12DEC17	3066.00	3266.64	USD	5,016.00	
12SEP17	B 2 PD12DEC17	3065.50	3266.64	USD	10,057.00	
12SEP17	B 3 PD12DEC17	3065.00	3266.64	USD	15,123.00	
19SEP17	S 1 PD12DEC17	3105.21	3266.64	USD	-4,035.75	
29SEP17	S 8 PD12DEC17	3165.50	3266.64	USD	-20,228.00	
	NET 0 AVG BGHT 3066.56 SOLD 3158.80				**20,755.25**	**0.00**
13SEP17	S 1 PD13DEC17	3058.00	3266.75	USD	-5,218.75	
13SEP17	S 1 PD13DEC17	3055.00	3266.75	USD	-5,293.75	
13SEP17	S 1 PD13DEC17	3055.50	3266.75	USD	-5,281.25	
13SEP17	S 2 PD13DEC17	3056.00	3266.75	USD	-10,537.50	
13SEP17	S 4 PD13DEC17	3056.50	3266.75	USD	-21,025.00	
19SEP17	B 1 PD13DEC17	3105.63	3266.75	USD	4,028.00	
22SEP17	B 8 PD13DEC17	3033.12	3266.75	USD	46,726.00	
	NET 0 AVG BGHT 3041.18 SOLD 3056.28				**3,397.75**	**0.00**
14SEP17	B 1 PD14DEC17	3034.50	3266.86	USD	5,809.00	
14SEP17	B 1 PD14DEC17	3041.00	3266.86	USD	5,646.50	
14SEP17	S 1 PD14DEC17	3039.50	3266.86	USD	-5,684.00	
29SEP17	S 1 PD14DEC17	3165.63	3266.86	USD	-2,530.75	
	NET 0 AVG BGHT 3037.75 SOLD 3102.57				**3,240.75**	**0.00**
15SEP17	B 1 PD15DEC17	2998.00	3266.96	USD	6,724.00	
15SEP17	B 2 PD15DEC17	2991.00	3266.96	USD	13,798.00	
15SEP17	B 1 PD15DEC17	2991.50	3266.96	USD	6,886.50	
15SEP17	B 1 PD15DEC17	2992.50	3266.96	USD	6,861.50	
15SEP17	B 2 PD15DEC17	3028.00	3266.96	USD	11,948.00	
15SEP17	B 2 PD15DEC17	3028.50	3266.96	USD	11,923.00	
15SEP17	S 5 PD15DEC17	3030.80	3266.96	USD	-29,520.00	
15SEP17	B 1 PD15DEC17	3028.00	3266.96	USD	5,974.00	
29SEP17	S 5 PD15DEC17	3165.49	3266.96	USD	-12,683.75	
	NET 0 AVG BGHT 3010.50 SOLD 3098.15				**21,911.25**	**0.00**
18SEP17	B 2 PD18DEC17	3074.50	3267.29	USD	9,639.50	
18SEP17	B 1 PD18DEC17	3075.50	3267.29	USD	4,794.75	
18SEP17	B 5 PD18DEC17	3075.00	3267.29	USD	24,036.25	
18SEP17	B 2 PD18DEC17	3075.50	3267.29	USD	9,589.50	
18SEP17	S 1 PD18DEC17	3079.00	3267.29	USD	-4,707.25	
18SEP17	B 1 PD18DEC17	3061.50	3267.29	USD	5,144.75	
18SEP17	S 1 PD18DEC17	3068.00	3267.29	USD	-4,982.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
18SEP17	B 1 PD18DEC17	3087.00	3267.29	USD	4,507.25	
20SEP17	S 1 PD18DEC17	3131.47	3267.29	USD	-3,395.50	
29SEP17	S 9 PD18DEC17	3165.88	3267.29	USD	-22,817.25	
	NET 0 AVG BGHT 3074.92 SOLD 3147.62				**21,809.75**	**0.00**
19SEP17	S 1 PD19DEC17	3113.50	3267.39	USD	-3,847.25	
19SEP17	S 2 PD19DEC17	3088.50	3267.39	USD	-8,944.50	
19SEP17	B 1 PD19DEC17	3110.00	3267.39	USD	3,934.75	
19SEP17	B 2 PD19DEC17	3107.50	3267.39	USD	7,994.50	
20SEP17	B 1 PD19DEC17	3131.93	3267.39	USD	3,386.50	
22SEP17	B 1 PD19DEC17	3034.05	3267.39	USD	5,833.50	
29SEP17	S 2 PD19DEC17	3165.94	3267.39	USD	-5,072.50	
	NET 0 AVG BGHT 3098.20 SOLD 3124.48				**3,285.00**	**0.00**
20SEP17	B 1 PD20DEC17	3099.00	3267.50	USD	4,212.50	
20SEP17	B 1 PD20DEC17	3092.50	3267.50	USD	4,375.00	
20SEP17	B 1 PD20DEC17	3110.00	3267.50	USD	3,937.50	
20SEP17	B 1 PD20DEC17	3111.00	3267.50	USD	3,912.50	
20SEP17	B 1 PD20DEC17	3112.00	3267.50	USD	3,887.50	
20SEP17	B 1 PD20DEC17	3127.50	3267.50	USD	3,500.00	
20SEP17	S 1 PD20DEC17	3134.00	3267.50	USD	-3,337.50	
20SEP17	S 5 PD20DEC17	3132.50	3267.50	USD	-16,875.00	
	NET 0 AVG BGHT 3108.67 SOLD 3132.75				**3,612.50**	**0.00**
21SEP17	S 2 PD21DEC17	3078.00	3264.00	USD	-9,300.00	
21SEP17	S 2 PD21DEC17	3077.50	3264.00	USD	-9,325.00	
21SEP17	B 1 PD21DEC17	3101.50	3264.00	USD	4,062.50	
21SEP17	B 2 PD21DEC17	3102.00	3264.00	USD	8,100.00	
21SEP17	S 2 PD21DEC17	3105.50	3264.00	USD	-7,925.00	
21SEP17	B 1 PD21DEC17	3097.50	3264.00	USD	4,162.50	
21SEP17	S 1 PD21DEC17	3121.00	3264.00	USD	-3,575.00	
21SEP17	S 1 PD21DEC17	3119.50	3264.00	USD	-3,612.50	
22SEP17	B 4 PD21DEC17	3032.70	3264.00	USD	23,130.00	
	NET 0 AVG BGHT 3066.73 SOLD 3095.31				**5,717.50**	**0.00**
22SEP17	S 1 PD22DEC17	3016.00	3264.00	USD	-6,200.00	
22SEP17	S 1 PD22DEC17	3006.00	3264.00	USD	-6,450.00	
22SEP17	S 1 PD22DEC17	3002.50	3264.00	USD	-6,537.50	
22SEP17	S 4 PD22DEC17	3012.00	3264.00	USD	-25,200.00	
22SEP17	S 1 PD22DEC17	3013.00	3264.00	USD	-6,275.00	
22SEP17	B 1 PD22DEC17	3058.00	3264.00	USD	5,150.00	
22SEP17	B 2 PD22DEC17	3057.50	3264.00	USD	10,325.00	
22SEP17	B 4 PD22DEC17	3056.50	3264.00	USD	20,750.00	
22SEP17	B 1 PD22DEC17	3046.00	3264.00	USD	5,450.00	
22SEP17	S 1 PD22DEC17	3047.00	3264.00	USD	-5,425.00	
22SEP17	S 1 PD22DEC17	3036.00	3264.00	USD	-5,700.00	
22SEP17	S 1 PD22DEC17	3037.50	3264.00	USD	-5,662.50	
22SEP17	S 1 PD22DEC17	3039.00	3264.00	USD	-5,625.00	
22SEP17	S 1 PD22DEC17	3039.50	3264.00	USD	-5,612.50	
22SEP17	S 5 PD22DEC17	3038.00	3264.00	USD	-28,250.00	
22SEP17	S 2 PD22DEC17	3013.50	3264.00	USD	-12,525.00	
22SEP17	S 2 PD22DEC17	3014.00	3264.00	USD	-12,500.00	
22SEP17	S 2 PD22DEC17	3014.50	3264.00	USD	-12,475.00	
22SEP17	S 5 PD22DEC17	3012.50	3264.00	USD	-31,437.50	
22SEP17	B 1 PD22DEC17	3053.50	3264.00	USD	5,262.50	
22SEP17	B 3 PD22DEC17	3057.00	3264.00	USD	15,525.00	
22SEP17	B 1 PD22DEC17	3061.00	3264.00	USD	5,075.00	
22SEP17	B 1 PD22DEC17	3061.50	3264.00	USD	5,062.50	
22SEP17	S 1 PD22DEC17	3012.00	3264.00	USD	-6,300.00	
22SEP17	B 1 PD22DEC17	3058.50	3264.00	USD	5,137.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
22SEP17	B 2 PD22DEC17	3062.00	3264.00	USD	10,100.00	
22SEP17	B 1 PD22DEC17	3061.00	3264.00	USD	5,075.00	
29SEP17	B 12 PD22DEC17	3162.76	3264.00	USD	30,372.00	
	NET 0 AVG BGHT 3099.62 SOLD 3021.10				**-58,890.50**	**0.00**
25SEP17	B 2 PD27DEC17	3082.50	3264.00	USD	9,075.00	
25SEP17	B 1 PD27DEC17	3078.00	3264.00	USD	4,650.00	
25SEP17	B 1 PD27DEC17	3079.00	3264.00	USD	4,625.00	
25SEP17	B 1 PD27DEC17	3075.00	3264.00	USD	4,725.00	
25SEP17	B 1 PD27DEC17	3072.50	3264.00	USD	4,787.50	
25SEP17	S 1 PD27DEC17	3071.00	3264.00	USD	-4,825.00	
25SEP17	S 1 PD27DEC17	3096.50	3264.00	USD	-4,187.50	
25SEP17	S 1 PD27DEC17	3086.00	3264.00	USD	-4,450.00	
25SEP17	S 1 PD27DEC17	3083.00	3264.00	USD	-4,525.00	
25SEP17	S 1 PD27DEC17	3084.00	3264.00	USD	-4,500.00	
25SEP17	B 1 PD27DEC17	3099.50	3264.00	USD	4,112.50	
25SEP17	B 1 PD27DEC17	3096.00	3264.00	USD	4,200.00	
25SEP17	B 2 PD27DEC17	3098.50	3264.00	USD	8,275.00	
25SEP17	B 1 PD27DEC17	3098.00	3264.00	USD	4,150.00	
25SEP17	B 2 PD27DEC17	3100.50	3264.00	USD	8,175.00	
25SEP17	B 1 PD27DEC17	3100.00	3264.00	USD	4,100.00	
25SEP17	B 1 PD27DEC17	3101.00	3264.00	USD	4,075.00	
25SEP17	B 2 PD27DEC17	3099.00	3264.00	USD	8,250.00	
25SEP17	B 3 PD27DEC17	3097.50	3264.00	USD	12,487.50	
25SEP17	B 1 PD27DEC17	3097.00	3264.00	USD	4,175.00	
25SEP17	B 1 PD27DEC17	3095.50	3264.00	USD	4,212.50	
25SEP17	S 1 PD27DEC17	3094.00	3264.00	USD	-4,250.00	
25SEP17	S 1 PD27DEC17	3097.50	3264.00	USD	-4,162.50	
25SEP17	B 5 PD27DEC17	3098.89	3264.00	USD	20,638.75	
26SEP17	B 1 PD27DEC17	3135.00	3264.00	USD	3,225.00	
26SEP17	S 1 PD27DEC17	3113.00	3264.00	USD	-3,775.00	
26SEP17	S 1 PD27DEC17	3110.50	3264.00	USD	-3,837.50	
26SEP17	S 1 PD27DEC17	3110.00	3264.00	USD	-3,850.00	
26SEP17	S 1 PD27DEC17	3108.00	3264.00	USD	-3,900.00	
26SEP17	S 1 PD27DEC17	3108.50	3264.00	USD	-3,887.50	
26SEP17	S 1 PD27DEC17	3106.50	3264.00	USD	-3,937.50	
26SEP17	S 1 PD27DEC17	3115.00	3264.00	USD	-3,725.00	
26SEP17	S 2 PD27DEC17	3114.00	3264.00	USD	-7,500.00	
26SEP17	S 4 PD27DEC17	3114.50	3264.00	USD	-14,950.00	
26SEP17	S 4 PD27DEC17	3116.00	3264.00	USD	-14,800.00	
26SEP17	S 1 PD27DEC17	3118.50	3264.00	USD	-3,637.50	
26SEP17	S 2 PD27DEC17	3113.50	3264.00	USD	-7,525.00	
26SEP17	S 2 PD27DEC17	3117.50	3264.00	USD	-7,325.00	
26SEP17	S 2 PD27DEC17	3124.50	3264.00	USD	-6,975.00	
26SEP17	S 1 PD27DEC17	3126.00	3264.00	USD	-3,450.00	
26SEP17	S 3 PD27DEC17	3125.50	3264.00	USD	-10,387.50	
26SEP17	S 2 PD27DEC17	3130.50	3264.00	USD	-6,675.00	
26SEP17	S 1 PD27DEC17	3129.00	3264.00	USD	-3,375.00	
26SEP17	S 5 PD27DEC17	3128.50	3264.00	USD	-16,937.50	
26SEP17	B 1 PD27DEC17	3120.00	3264.00	USD	3,600.00	
26SEP17	B 1 PD27DEC17	3116.50	3264.00	USD	3,687.50	
26SEP17	B 5 PD27DEC17	3117.00	3264.00	USD	18,375.00	
26SEP17	B 1 PD27DEC17	3115.00	3264.00	USD	3,725.00	
26SEP17	B 7 PD27DEC17	3113.50	3264.00	USD	26,337.50	
26SEP17	B 1 PD27DEC17	3114.00	3264.00	USD	3,750.00	
26SEP17	B 1 PD27DEC17	3109.00	3264.00	USD	3,875.00	
26SEP17	B 2 PD27DEC17	3110.00	3264.00	USD	7,700.00	
26SEP17	B 4 PD27DEC17	3111.50	3264.00	USD	15,250.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
26SEP17	B 3 PD27DEC17	3114.50	3264.00	USD	11,212.50	
26SEP17	B 2 PD27DEC17	3116.50	3264.00	USD	7,375.00	
26SEP17	B 1 PD27DEC17	3109.00	3264.00	USD	3,875.00	
26SEP17	B 2 PD27DEC17	3111.50	3264.00	USD	7,625.00	
26SEP17	B 1 PD27DEC17	3111.00	3264.00	USD	3,825.00	
27SEP17	B 2 PD27DEC17	3139.00	3264.00	USD	6,250.00	
27SEP17	B 1 PD27DEC17	3142.50	3264.00	USD	3,037.50	
27SEP17	B 1 PD27DEC17	3146.00	3264.00	USD	2,950.00	
27SEP17	B 1 PD27DEC17	3145.00	3264.00	USD	2,975.00	
27SEP17	B 1 PD27DEC17	3145.50	3264.00	USD	2,962.50	
27SEP17	B 2 PD27DEC17	3147.50	3264.00	USD	5,825.00	
27SEP17	B 3 PD27DEC17	3149.00	3264.00	USD	8,625.00	
27SEP17	B 1 PD27DEC17	3141.50	3264.00	USD	3,062.50	
27SEP17	B 1 PD27DEC17	3143.50	3264.00	USD	3,012.50	
27SEP17	B 1 PD27DEC17	3142.00	3264.00	USD	3,050.00	
27SEP17	B 1 PD27DEC17	3137.00	3264.00	USD	3,175.00	
27SEP17	B 1 PD27DEC17	3144.00	3264.00	USD	3,000.00	
27SEP17	S 1 PD27DEC17	3137.50	3264.00	USD	-3,162.50	
27SEP17	S 1 PD27DEC17	3124.50	3264.00	USD	-3,487.50	
27SEP17	S 1 PD27DEC17	3133.00	3264.00	USD	-3,275.00	
27SEP17	S 3 PD27DEC17	3114.50	3264.00	USD	-11,212.50	
27SEP17	S 1 PD27DEC17	3110.50	3264.00	USD	-3,837.50	
27SEP17	S 1 PD27DEC17	3110.00	3264.00	USD	-3,850.00	
27SEP17	S 1 PD27DEC17	3111.00	3264.00	USD	-3,825.00	
27SEP17	S 2 PD27DEC17	3102.00	3264.00	USD	-8,100.00	
27SEP17	S 1 PD27DEC17	3102.50	3264.00	USD	-4,037.50	
27SEP17	S 2 PD27DEC17	3104.50	3264.00	USD	-7,975.00	
27SEP17	S 3 PD27DEC17	3112.00	3264.00	USD	-11,400.00	
27SEP17	S 2 PD27DEC17	3111.50	3264.00	USD	-7,625.00	
27SEP17	S 2 PD27DEC17	3114.00	3264.00	USD	-7,500.00	
27SEP17	S 4 PD27DEC17	3120.00	3264.00	USD	-14,400.00	
27SEP17	S 4 PD27DEC17	3121.00	3264.00	USD	-14,300.00	
27SEP17	S 2 PD27DEC17	3118.50	3264.00	USD	-7,275.00	
27SEP17	S 4 PD27DEC17	3109.50	3264.00	USD	-15,450.00	
27SEP17	S 1 PD27DEC17	3106.00	3264.00	USD	-3,950.00	
27SEP17	S 2 PD27DEC17	3107.50	3264.00	USD	-7,825.00	
27SEP17	S 1 PD27DEC17	3105.00	3264.00	USD	-3,975.00	
27SEP17	S 2 PD27DEC17	3106.50	3264.00	USD	-7,875.00	
27SEP17	S 7 PD27DEC17	3107.00	3264.00	USD	-27,475.00	
27SEP17	B 1 PD27DEC17	3111.50	3264.00	USD	3,812.50	
27SEP17	B 2 PD27DEC17	3114.50	3264.00	USD	7,475.00	
27SEP17	B 1 PD27DEC17	3116.00	3264.00	USD	3,700.00	
27SEP17	S 2 PD27DEC17	3115.00	3264.00	USD	-7,450.00	
27SEP17	S 4 PD27DEC17	3112.50	3264.00	USD	-15,150.00	
27SEP17	B 4 PD27DEC17	3118.50	3264.00	USD	14,550.00	
27SEP17	B 1 PD27DEC17	3117.50	3264.00	USD	3,662.50	
27SEP17	B 2 PD27DEC17	3117.00	3264.00	USD	7,350.00	
27SEP17	B 6 PD27DEC17	3118.00	3264.00	USD	21,900.00	
27SEP17	B 2 PD27DEC17	3120.50	3264.00	USD	7,175.00	
27SEP17	S 2 PD27DEC17	3105.00	3264.00	USD	-7,950.00	
27SEP17	B 1 PD27DEC17	3117.50	3264.00	USD	3,662.50	
29SEP17	B 3 PD27DEC17	3162.58	3264.00	USD	7,606.50	
	NET 0 AVG BGHT 3115.73 SOLD 3113.01				**-6,742.25**	**0.00**
28SEP17	S 3 PD28DEC17	3117.00	3264.00	USD	-11,025.00	
28SEP17	S 1 PD28DEC17	3117.50	3264.00	USD	-3,662.50	
28SEP17	S 1 PD28DEC17	3119.50	3264.00	USD	-3,612.50	
28SEP17	S 3 PD28DEC17	3122.00	3264.00	USD	-10,650.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
28SEP17	S 1 PD28DEC17	3124.00	3264.00	USD	-3,500.00	
28SEP17	S 1 PD28DEC17	3113.50	3264.00	USD	-3,762.50	
28SEP17	S 1 PD28DEC17	3118.00	3264.00	USD	-3,650.00	
28SEP17	S 1 PD28DEC17	3107.50	3264.00	USD	-3,912.50	
28SEP17	S 4 PD28DEC17	3106.50	3264.00	USD	-15,750.00	
28SEP17	S 3 PD28DEC17	3107.00	3264.00	USD	-11,775.00	
28SEP17	S 1 PD28DEC17	3106.00	3264.00	USD	-3,950.00	
28SEP17	S 2 PD28DEC17	3131.50	3264.00	USD	-6,625.00	
28SEP17	S 1 PD28DEC17	3132.00	3264.00	USD	-3,300.00	
28SEP17	S 1 PD28DEC17	3131.00	3264.00	USD	-3,325.00	
28SEP17	S 2 PD28DEC17	3130.50	3264.00	USD	-6,675.00	
28SEP17	S 1 PD28DEC17	3129.00	3264.00	USD	-3,375.00	
28SEP17	S 1 PD28DEC17	3127.00	3264.00	USD	-3,425.00	
28SEP17	S 2 PD28DEC17	3128.00	3264.00	USD	-6,800.00	
28SEP17	B 1 PD28DEC17	3133.50	3264.00	USD	3,262.50	
28SEP17	B 5 PD28DEC17	3134.50	3264.00	USD	16,187.50	
28SEP17	B 2 PD28DEC17	3135.00	3264.00	USD	6,450.00	
28SEP17	B 2 PD28DEC17	3139.50	3264.00	USD	6,225.00	
28SEP17	B 2 PD28DEC17	3138.50	3264.00	USD	6,275.00	
28SEP17	B 2 PD28DEC17	3137.00	3264.00	USD	6,350.00	
28SEP17	B 2 PD28DEC17	3138.00	3264.00	USD	6,300.00	
28SEP17	S 1 PD28DEC17	3143.50	3264.00	USD	-3,012.50	
28SEP17	S 4 PD28DEC17	3142.50	3264.00	USD	-12,150.00	
28SEP17	S 1 PD28DEC17	3137.50	3264.00	USD	-3,162.50	
28SEP17	S 2 PD28DEC17	3136.00	3264.00	USD	-6,400.00	
28SEP17	S 1 PD28DEC17	3135.50	3264.00	USD	-3,212.50	
28SEP17	S 1 PD28DEC17	3134.50	3264.00	USD	-3,237.50	
28SEP17	S 3 PD28DEC17	3134.00	3264.00	USD	-9,750.00	
28SEP17	S 1 PD28DEC17	3131.50	3264.00	USD	-3,312.50	
28SEP17	S 1 PD28DEC17	3131.00	3264.00	USD	-3,325.00	
28SEP17	B 2 PD28DEC17	3146.50	3264.00	USD	5,875.00	
28SEP17	B 1 PD28DEC17	3147.00	3264.00	USD	2,925.00	
28SEP17	S 1 PD28DEC17	3145.00	3264.00	USD	-2,975.00	
28SEP17	S 1 PD28DEC17	3140.50	3264.00	USD	-3,087.50	
28SEP17	S 3 PD28DEC17	3141.50	3264.00	USD	-9,187.50	
28SEP17	S 3 PD28DEC17	3142.00	3264.00	USD	-9,150.00	
28SEP17	B 1 PD28DEC17	3146.00	3264.00	USD	2,950.00	
28SEP17	B 1 PD28DEC17	3147.50	3264.00	USD	2,912.50	
29SEP17	B 32 PD28DEC17	3162.39	3264.00	USD	81,288.00	
	NET 0 AVG BGHT 3153.06 SOLD 3127.59				**-33,737.00**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options			Amount	Profit / Loss	Total Amount	Total P&L
HGAS	- Futures	USD	190.00	190.00		
SILV	- Futures	USD	-211,300.00	-211,300.00	**-211,110.00**	**-211,110.00**
Unrealised Profits & Losses - Forwards			**Amount**	**Profit / Loss**	**Total Amount**	**Total P&L**
ALUL	- Futures	USD	229,839.25	229,839.25		
COPL	- Futures	USD	-206,135.25	-206,135.25		
LEAD	- Futures	USD	33,126.50	33,126.50		
NKL	- Futures	USD	36,035.94	36,035.94		
TIN	- Futures	USD	-925.25	-925.25		
ZINC	- Futures	USD	115,917.75	115,917.75	**207,858.94**	**207,858.94**

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		83,515.74	83,515.74
Variation Margins	- Futures	-211,110.00	-211,110.00
	- Options	0.00	0.00
TOTAL EQUITY		**-127,594.26**	**-127,594.26**
Initial Margins		-711,600.00	-711,600.00
Excess/(Shortage)		**-839,194.26**	**-839,194.26**
FOR INFORMATION ONLY			
Forward Unrealised P&L		207,858.94	207,858.94
Net Liquidating Value		**80,264.68**	**80,264.68**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	CME CATTLE 400 CME					
03OCT17	B 1 DEC17	113.7500	114.9000	USD	460.00	
	NET +1 AVG BGHT 113.7500				**460.00**	**0.00**
03OCT17	S 1 FEB18	117.5500	118.5250	USD	-390.00	
03OCT17	S 1 FEB18	117.5250	118.5250	USD	-400.00	
	NET -2 AVG SOLD 117.5375				**-790.00**	**0.00**
03OCT17	B 1 APR18	119.2250	120.1250	USD	360.00	
	NET +1 AVG BGHT 119.2250				**360.00**	**0.00**
	IEU GAS OIL 100 IPE					
22SEP17	B 4 JAN18	521.500	512.750	USD	-3,500.00	
02OCT17	B 2 JAN18	519.250	512.750	USD	-1,300.00	
03OCT17	B 2 JAN18	511.000	512.750	USD	350.00	
	NET +8 AVG BGHT 518.313				**-4,450.00**	**0.00**
03OCT17	B 4 FEB18	511.250	511.500	USD	100.00	
	NET +4 AVG BGHT 511.250				**100.00**	**0.00**
03OCT17	S 4 MAR18	511.750	509.250	USD	1,000.00	
03OCT17	S 4 MAR18	508.000	509.250	USD	-500.00	
03OCT17	S 4 MAR18	507.500	509.250	USD	-700.00	
03OCT17	S 8 MAR18	509.750	509.250	USD	400.00	
	NET -20 AVG SOLD 509.350				**200.00**	**0.00**
03OCT17	B 4 APR18	506.500	507.000	USD	200.00	
03OCT17	B 4 APR18	508.000	507.000	USD	-400.00	
03OCT17	B 4 APR18	505.250	507.000	USD	700.00	
03OCT17	B 4 APR18	507.500	507.000	USD	-200.00	
	NET +16 AVG BGHT 506.813				**300.00**	**0.00**
03OCT17	S 4 MAY18	504.750	505.250	USD	-200.00	
03OCT17	S 4 MAY18	505.250	505.250	USD	0.00	
03OCT17	S 4 MAY18	506.250	505.250	USD	400.00	
03OCT17	S 4 MAY18	505.000	505.250	USD	-100.00	
	NET -16 AVG SOLD 505.313				**100.00**	**0.00**
25SEP17	B 4 JUN18	520.500	503.500	USD	-6,800.00	
03OCT17	B 4 JUN18	503.000	503.500	USD	200.00	
03OCT17	B 4 JUN18	503.500	503.500	USD	0.00	
03OCT17	B 4 JUN18	503.250	503.500	USD	100.00	
	NET +16 AVG BGHT 507.563				**-6,500.00**	**0.00**
25SEP17	S 4 JUL18	517.750	503.500	USD	5,700.00	
03OCT17	S 4 JUL18	503.000	503.500	USD	-200.00	
	NET -8 AVG SOLD 510.375				**5,500.00**	**0.00**
	CME LEAN HOG 400 CME					
02OCT17	B 1 DEC17	62.5000	62.0750	USD	-170.00	
03OCT17	B 1 DEC17	62.9250	62.0750	USD	-340.00	
03OCT17	B 1 DEC17	63.3500	62.0750	USD	-510.00	
03OCT17	B 1 DEC17	62.8750	62.0750	USD	-320.00	
	NET +4 AVG BGHT 62.9125				**-1,340.00**	**0.00**
02OCT17	S 1 FEB18	67.4250	67.3500	USD	30.00	
02OCT17	S 1 FEB18	67.4000	67.3500	USD	20.00	
03OCT17	S 2 FEB18	67.8250	67.3500	USD	380.00	
03OCT17	S 2 FEB18	68.2250	67.3500	USD	700.00	
03OCT17	S 2 FEB18	67.8750	67.3500	USD	420.00	
	NET -8 AVG SOLD 67.8344				**1,550.00**	**0.00**
02OCT17	B 1 APR18	71.8250	72.0000	USD	70.00	
03OCT17	B 1 APR18	72.2250	72.0000	USD	-90.00	
03OCT17	B 1 APR18	72.4000	72.0000	USD	-160.00	
03OCT17	B 1 APR18	72.0750	72.0000	USD	-30.00	
	NET +4 AVG BGHT 72.1313				**-210.00**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	CME LEAN HOG 400 CME					
18SEP17	S 1 MAY18	74.1500	77.1500	USD	-1,200.00	
19SEP17	S 1 MAY18	75.2750	77.1500	USD	-750.00	
19SEP17	S 1 MAY18	75.4500	77.1500	USD	-680.00	
02OCT17	S 1 MAY18	76.7750	77.1500	USD	-150.00	
	NET -4 AVG SOLD 75.4125				**-2,780.00**	**0.00**
18SEP17	B 1 JUN18	77.5500	80.3000	USD	1,100.00	
19SEP17	B 1 JUN18	78.5250	80.3000	USD	710.00	
19SEP17	B 1 JUN18	78.5000	80.3000	USD	720.00	
28SEP17	B 1 JUN18	78.4250	80.3000	USD	750.00	
28SEP17	B 1 JUN18	77.8500	80.3000	USD	980.00	
02OCT17	B 1 JUN18	79.6250	80.3000	USD	270.00	
02OCT17	B 1 JUN18	79.9500	80.3000	USD	140.00	
	NET +7 AVG BGHT 78.6321				**4,670.00**	**0.00**
28SEP17	S 2 JUL18	78.4500	80.4750	USD	-1,620.00	
28SEP17	S 2 JUL18	77.9000	80.4750	USD	-2,060.00	
02OCT17	S 2 JUL18	80.3500	80.4750	USD	-100.00	
	NET -6 AVG SOLD 78.9000				**-3,780.00**	**0.00**
28SEP17	B 1 AUG18	77.2000	79.7250	USD	1,010.00	
02OCT17	B 1 AUG18	79.7500	79.7250	USD	-10.00	
	NET +2 AVG BGHT 78.4750				**1,000.00**	**0.00**
26SEP17	B 1 OCT18	65.0000	66.9000	USD	760.00	
	NET +1 AVG BGHT 65.0000				**760.00**	**0.00**

OPEN POSITION VALUE

		USD
CME Cattle	FUTURES	840.00
IEU Gas Oil	FUTURES	-6,650.00
CME Lean Hog	FUTURES	2,070.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options			Amount	Profit / Loss	**Total Amount**	**Total P&L**
CATT	- Futures	USD	30.00	30.00		
GASO	- Futures	USD	-4,750.00	-4,750.00		
HOGS	- Futures	USD	-130.00	-130.00	**-4,850.00**	**-4,850.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119C

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		7,360.00	7,360.00
Variation Margins	- Futures	-4,850.00	-4,850.00
	- Options	0.00	0.00
TOTAL EQUITY		**2,510.00**	**2,510.00**
Initial Margins		-11,901.00	-11,901.00
Excess/(Shortage)		**-9,391.00**	**-9,391.00**
FOR INFORMATION ONLY			
Net Liquidating Value		**2,510.00**	**2,510.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119D

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	CME FEEDER CATTLE 500 CME					
25SEP17	B 1 NOV17	153.1250	154.3000	USD	587.50	
	NET +1 AVG BGHT 153.1250				**587.50**	**0.00**
26SEP17	S 1 JAN18	149.5000	152.0000	USD	-1,250.00	
26SEP17	S 1 JAN18	149.5750	152.0000	USD	-1,212.50	
	NET -2 AVG SOLD 149.5375				**-2,462.50**	**0.00**
26SEP17	B 1 MAR18	146.7000	149.8750	USD	1,587.50	
	NET +1 AVG BGHT 146.7000				**1,587.50**	**0.00**

OPEN POSITION VALUE

		USD
CME Feeder Cattle	FUTURES	-375.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options		Amount	Profit / Loss	**Total Amount**	**Total P&L**
FCAT - Futures	USD	-287.50	-287.50	**-287.50**	**-287.50**

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-5,437.50	-5,437.50
Variation Margins	- Futures	-287.50	-287.50
	- Options	0.00	0.00
TOTAL EQUITY		**-5,725.00**	**-5,725.00**
Initial Margins		-1,450.00	-1,450.00
Excess/(Shortage)		**-7,175.00**	**-7,175.00**
FOR INFORMATION ONLY			
Net Liquidating Value		**-5,725.00**	**-5,725.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119E

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	CME CATTLE 400 CME					
02OCT17	B 1 DEC17	115.1000	114.9000	USD	-80.00	
02OCT17	B 1 DEC17	113.5500	114.9000	USD	540.00	
	NET +2 AVG BGHT 114.3250				**460.00**	**0.00**
02OCT17	S 1 FEB18	118.4000	118.5250	USD	-50.00	
02OCT17	S 1 FEB18	118.7750	118.5250	USD	100.00	
02OCT17	S 1 FEB18	117.2750	118.5250	USD	-500.00	
03OCT17	S 1 FEB18	117.6000	118.5250	USD	-370.00	
03OCT17	S 1 FEB18	118.5500	118.5250	USD	10.00	
	NET -5 AVG SOLD 118.1200				**-810.00**	**0.00**
02OCT17	B 1 APR18	120.4750	120.1250	USD	-140.00	
03OCT17	B 1 APR18	119.1750	120.1250	USD	380.00	
03OCT17	B 1 APR18	120.0000	120.1250	USD	50.00	
	NET +3 AVG BGHT 119.8833				**290.00**	**0.00**
29SEP17	S 1 AUG18	110.7750	111.1250	USD	-140.00	
03OCT17	S 1 AUG18	111.1500	111.1250	USD	10.00	
	NET -2 AVG SOLD 110.9625				**-130.00**	**0.00**
29SEP17	B 1 OCT18	111.5500	111.8000	USD	100.00	
03OCT17	B 1 OCT18	111.7000	111.8000	USD	40.00	
	NET +2 AVG BGHT 111.6250				**140.00**	**0.00**
	CME LEAN HOG 400 CME					
03OCT17	B 1 DEC17	63.2000	62.0750	USD	-450.00	
03OCT17	B 1 DEC17	63.1250	62.0750	USD	-420.00	
	NET +2 AVG BGHT 63.1625				**-870.00**	**0.00**
03OCT17	S 2 FEB18	68.0750	67.3500	USD	580.00	
03OCT17	S 2 FEB18	67.9750	67.3500	USD	500.00	
	NET -4 AVG SOLD 68.0250				**1,080.00**	**0.00**
03OCT17	B 1 APR18	72.3500	72.0000	USD	-140.00	
03OCT17	B 1 APR18	72.0250	72.0000	USD	-10.00	
	NET +2 AVG BGHT 72.1875				**-150.00**	**0.00**
27SEP17	B 1 JUN18	78.5500	80.3000	USD	700.00	
29SEP17	B 1 JUN18	78.9500	80.3000	USD	540.00	
	NET +2 AVG BGHT 78.7500				**1,240.00**	**0.00**
27SEP17	S 2 JUL18	78.5500	80.4750	USD	-1,540.00	
29SEP17	S 1 JUL18	78.8750	80.4750	USD	-640.00	
29SEP17	S 1 JUL18	78.8500	80.4750	USD	-650.00	
	NET -4 AVG SOLD 78.7063				**-2,830.00**	**0.00**
27SEP17	B 1 AUG18	77.8250	79.7250	USD	760.00	
29SEP17	B 1 AUG18	78.0000	79.7250	USD	690.00	
	NET +2 AVG BGHT 77.9125				**1,450.00**	**0.00**

OPEN POSITION VALUE

		USD
CME Cattle	FUTURES	390.00
CME Lean Hog	FUTURES	1,160.00

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119E

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options			Amount	Profit / Loss	**Total Amount**	**Total P&L**
CATT	- Futures	USD	-50.00	-50.00		
HOGS	- Futures	USD	-80.00	-80.00	**-130.00**	**-130.00**

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-2,570.00	-2,570.00
Variation Margins	- Futures	-130.00	-130.00
	- Options	0.00	0.00
TOTAL EQUITY		**-2,700.00**	**-2,700.00**
Initial Margins		-8,600.00	-8,600.00
Excess/(Shortage)		**-11,300.00**	**-11,300.00**
FOR INFORMATION ONLY			
Net Liquidating Value		**-2,700.00**	**-2,700.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119F

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT							
31AUG17	S	1	PD30NOV17	6797.00	6509.40	USD	7,190.00	
31AUG17	S	1	PD30NOV17	6823.00	6509.40	USD	7,840.00	
31AUG17	B	1	PD30NOV17	6839.50	6509.40	USD	-8,252.50	
31AUG17	B	2	PD30NOV17	6844.00	6509.40	USD	-16,730.00	
31AUG17	B	1	PD30NOV17	6845.50	6509.40	USD	-8,402.50	
31AUG17	S	1	PD30NOV17	6828.00	6509.40	USD	7,965.00	
31AUG17	S	1	PD30NOV17	6850.00	6509.40	USD	8,515.00	
	NET	**0**	**AVG BGHT 6843.25**	**SOLD 6824.50**			**-1,875.00**	**0.00**
01SEP17	S	1	PD01DEC17	6823.50	6510.00	USD	7,837.50	
01SEP17	S	1	PD01DEC17	6824.50	6510.00	USD	7,862.50	
01SEP17	B	1	PD01DEC17	6802.00	6510.00	USD	-7,300.00	
01SEP17	B	1	PD01DEC17	6804.00	6510.00	USD	-7,350.00	
01SEP17	S	1	PD01DEC17	6817.00	6510.00	USD	7,675.00	
01SEP17	B	1	PD01DEC17	6805.50	6510.00	USD	-7,387.50	
01SEP17	S	1	PD01DEC17	6822.00	6510.00	USD	7,800.00	
01SEP17	S	1	PD01DEC17	6824.00	6510.00	USD	7,850.00	
29SEP17	B	2	PD01DEC17	6471.50	6510.00	USD	1,925.00	
	NET	**0**	**AVG BGHT 6670.90**	**SOLD 6822.20**			**18,912.50**	**0.00**
04SEP17	S	1	PD04DEC17	6891.00	6511.29	USD	9,492.75	
04SEP17	B	1	PD04DEC17	6917.00	6511.29	USD	-10,142.75	
	NET	**0**	**AVG BGHT 6917.00**	**SOLD 6891.00**			**-650.00**	**0.00**
05SEP17	B	1	PD05DEC17	6955.50	6511.71	USD	-11,094.75	
05SEP17	B	1	PD05DEC17	6956.00	6511.71	USD	-11,107.25	
05SEP17	S	1	PD05DEC17	6893.00	6511.71	USD	9,532.25	
05SEP17	B	1	PD05DEC17	6894.00	6511.71	USD	-9,557.25	
05SEP17	S	1	PD05DEC17	6878.00	6511.71	USD	9,157.25	
05SEP17	B	1	PD05DEC17	6870.50	6511.71	USD	-8,969.75	
29SEP17	S	2	PD05DEC17	6472.05	6511.71	USD	-1,983.00	
	NET	**0**	**AVG BGHT 6919.00**	**SOLD 6678.78**			**-24,022.50**	**0.00**
06SEP17	B	2	PD06DEC17	6880.50	6512.14	USD	-18,418.00	
06SEP17	B	1	PD06DEC17	6890.50	6512.14	USD	-9,459.00	
06SEP17	S	2	PD06DEC17	6880.50	6512.14	USD	18,418.00	
06SEP17	S	2	PD06DEC17	6907.00	6512.14	USD	19,743.00	
06SEP17	S	1	PD06DEC17	6909.00	6512.14	USD	9,921.50	
06SEP17	S	1	PD06DEC17	6910.00	6512.14	USD	9,946.50	
06SEP17	S	1	PD06DEC17	6924.00	6512.14	USD	10,296.50	
06SEP17	B	2	PD06DEC17	6886.00	6512.14	USD	-18,693.00	
06SEP17	B	1	PD06DEC17	6887.00	6512.14	USD	-9,371.50	
06SEP17	B	1	PD06DEC17	6889.00	6512.14	USD	-9,421.50	
06SEP17	S	1	PD06DEC17	6878.00	6512.14	USD	9,146.50	
06SEP17	S	1	PD06DEC17	6892.00	6512.14	USD	9,496.50	
06SEP17	S	1	PD06DEC17	6899.00	6512.14	USD	9,671.50	
06SEP17	B	1	PD06DEC17	6900.50	6512.14	USD	-9,709.00	
06SEP17	S	1	PD06DEC17	6894.50	6512.14	USD	9,559.00	
29SEP17	B	3	PD06DEC17	6472.72	6512.14	USD	2,956.50	
	NET	**0**	**AVG BGHT 6774.38**	**SOLD 6898.32**			**34,083.50**	**0.00**
07SEP17	B	1	PD07DEC17	6892.00	6512.57	USD	-9,485.75	
07SEP17	B	2	PD07DEC17	6900.50	6512.57	USD	-19,396.50	
29SEP17	S	3	PD07DEC17	6472.98	6512.57	USD	-2,969.25	
	NET	**0**	**AVG BGHT 6897.67**	**SOLD 6472.98**			**-31,851.50**	**0.00**
08SEP17	B	1	PD08DEC17	6736.50	6513.00	USD	-5,587.50	
08SEP17	S	1	PD08DEC17	6747.00	6513.00	USD	5,850.00	
08SEP17	B	1	PD08DEC17	6715.50	6513.00	USD	-5,062.50	
08SEP17	B	1	PD08DEC17	6702.50	6513.00	USD	-4,737.50	
08SEP17	S	2	PD08DEC17	6712.00	6513.00	USD	9,950.00	
	NET	**0**	**AVG BGHT 6718.17**	**SOLD 6723.67**				**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119F

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
					412.50	
11SEP17	B 1 PD11DEC17	6738.50	6514.19	USD	-5,607.75	
11SEP17	S 1 PD11DEC17	6744.00	6514.19	USD	5,745.25	
	NET 0 AVG BGHT 6738.50 SOLD 6744.00				**137.50**	**0.00**
13SEP17	B 1 PD13DEC17	6620.50	6514.98	USD	-2,638.00	
13SEP17	B 1 PD13DEC17	6621.00	6514.98	USD	-2,650.50	
13SEP17	B 1 PD13DEC17	6631.50	6514.98	USD	-2,913.00	
13SEP17	B 1 PD13DEC17	6645.50	6514.98	USD	-3,263.00	
13SEP17	S 2 PD13DEC17	6629.50	6514.98	USD	5,726.00	
13SEP17	S 1 PD13DEC17	6631.00	6514.98	USD	2,900.50	
13SEP17	B 1 PD13DEC17	6610.50	6514.98	USD	-2,388.00	
13SEP17	B 2 PD13DEC17	6608.00	6514.98	USD	-4,651.00	
13SEP17	B 2 PD13DEC17	6612.00	6514.98	USD	-4,851.00	
13SEP17	S 2 PD13DEC17	6604.50	6514.98	USD	4,476.00	
13SEP17	S 2 PD13DEC17	6595.00	6514.98	USD	4,001.00	
13SEP17	B 2 PD13DEC17	6590.50	6514.98	USD	-3,776.00	
13SEP17	B 1 PD13DEC17	6576.00	6514.98	USD	-1,525.50	
13SEP17	S 1 PD13DEC17	6558.50	6514.98	USD	1,088.00	
13SEP17	S 1 PD13DEC17	6629.00	6514.98	USD	2,850.50	
13SEP17	S 2 PD13DEC17	6544.00	6514.98	USD	1,451.00	
13SEP17	S 1 PD13DEC17	6544.50	6514.98	USD	738.00	
13SEP17	B 1 PD13DEC17	6556.00	6514.98	USD	-1,025.50	
13SEP17	S 1 PD13DEC17	6569.00	6514.98	USD	1,350.50	
13SEP17	B 1 PD13DEC17	6555.50	6514.98	USD	-1,013.00	
13SEP17	B 1 PD13DEC17	6560.00	6514.98	USD	-1,125.50	
13SEP17	B 1 PD13DEC17	6570.50	6514.98	USD	-1,388.00	
29SEP17	S 3 PD13DEC17	6475.98	6514.98	USD	-2,925.00	
	NET 0 AVG BGHT 6598.00 SOLD 6569.12				**-11,551.50**	**0.00**
14SEP17	B 1 PD14DEC17	6512.00	6515.38	USD	84.50	
14SEP17	S 1 PD14DEC17	6513.50	6515.38	USD	-47.00	
14SEP17	S 1 PD14DEC17	6490.50	6515.38	USD	-622.00	
14SEP17	S 1 PD14DEC17	6492.50	6515.38	USD	-572.00	
14SEP17	S 1 PD14DEC17	6496.00	6515.38	USD	-484.50	
14SEP17	S 1 PD14DEC17	6499.00	6515.38	USD	-409.50	
14SEP17	B 2 PD14DEC17	6494.50	6515.38	USD	1,044.00	
14SEP17	S 1 PD14DEC17	6473.00	6515.38	USD	-1,059.50	
14SEP17	B 1 PD14DEC17	6501.00	6515.38	USD	359.50	
14SEP17	B 3 PD14DEC17	6502.50	6515.38	USD	966.00	
14SEP17	S 1 PD14DEC17	6499.50	6515.38	USD	-397.00	
14SEP17	S 1 PD14DEC17	6508.00	6515.38	USD	-184.50	
14SEP17	S 1 PD14DEC17	6511.00	6515.38	USD	-109.50	
14SEP17	S 1 PD14DEC17	6512.00	6515.38	USD	-84.50	
14SEP17	S 1 PD14DEC17	6514.00	6515.38	USD	-34.50	
14SEP17	S 1 PD14DEC17	6529.00	6515.38	USD	340.50	
14SEP17	B 1 PD14DEC17	6522.00	6515.38	USD	-165.50	
14SEP17	B 1 PD14DEC17	6522.50	6515.38	USD	-178.00	
29SEP17	B 3 PD14DEC17	6476.65	6515.38	USD	2,904.75	
	NET 0 AVG BGHT 6498.66 SOLD 6503.17				**1,351.25**	**0.00**
15SEP17	S 2 PD15DEC17	6495.50	6515.77	USD	-1,013.50	
15SEP17	B 1 PD15DEC17	6488.00	6515.77	USD	694.25	
15SEP17	B 1 PD15DEC17	6490.00	6515.77	USD	644.25	
15SEP17	B 2 PD15DEC17	6492.00	6515.77	USD	1,188.50	
15SEP17	S 1 PD15DEC17	6484.50	6515.77	USD	-781.75	
15SEP17	S 1 PD15DEC17	6488.50	6515.77	USD	-681.75	
15SEP17	B 1 PD15DEC17	6491.00	6515.77	USD	619.25	
15SEP17	S 1 PD15DEC17	6488.00	6515.77	USD	-694.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119F

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT								
15SEP17	S	2	PD15DEC17		6477.00	6515.77	USD	-1,938.50	
15SEP17	S	1	PD15DEC17		6478.50	6515.77	USD	-931.75	
15SEP17	B	3	PD15DEC17		6482.50	6515.77	USD	2,495.25	
	NET	**0**	**AVG BGHT 6487.56**	**SOLD 6485.56**				**-400.00**	**0.00**
18SEP17	B	1	PD18DEC17		6545.50	6516.96	USD	-713.50	
18SEP17	S	1	PD18DEC17		6552.50	6516.96	USD	888.50	
18SEP17	B	1	PD18DEC17		6536.00	6516.96	USD	-476.00	
18SEP17	B	1	PD18DEC17		6532.00	6516.96	USD	-376.00	
18SEP17	S	1	PD18DEC17		6540.00	6516.96	USD	576.00	
18SEP17	S	1	PD18DEC17		6542.00	6516.96	USD	626.00	
	NET	**0**	**AVG BGHT 6537.83**	**SOLD 6544.83**				**525.00**	**0.00**
19SEP17	B	1	PD19DEC17		6515.50	6517.35	USD	46.25	
19SEP17	S	1	PD19DEC17		6521.00	6517.35	USD	91.25	
19SEP17	B	1	PD19DEC17		6529.50	6517.35	USD	-303.75	
19SEP17	B	1	PD19DEC17		6530.00	6517.35	USD	-316.25	
19SEP17	S	2	PD19DEC17		6529.50	6517.35	USD	607.50	
19SEP17	B	2	PD19DEC17		6530.50	6517.35	USD	-657.50	
19SEP17	S	1	PD19DEC17		6527.00	6517.35	USD	241.25	
19SEP17	S	1	PD19DEC17		6516.50	6517.35	USD	-21.25	
19SEP17	S	1	PD19DEC17		6529.00	6517.35	USD	291.25	
19SEP17	B	1	PD19DEC17		6533.00	6517.35	USD	-391.25	
19SEP17	B	1	PD19DEC17		6532.00	6517.35	USD	-366.25	
19SEP17	B	1	PD19DEC17		6542.00	6517.35	USD	-616.25	
19SEP17	S	1	PD19DEC17		6525.00	6517.35	USD	191.25	
19SEP17	S	1	PD19DEC17		6525.50	6517.35	USD	203.75	
19SEP17	B	3	PD19DEC17		6525.00	6517.35	USD	-573.75	
19SEP17	S	1	PD19DEC17		6517.50	6517.35	USD	3.75	
19SEP17	B	1	PD19DEC17		6516.00	6517.35	USD	33.75	
19SEP17	S	1	PD19DEC17		6508.00	6517.35	USD	-233.75	
19SEP17	S	1	PD19DEC17		6508.50	6517.35	USD	-221.25	
19SEP17	B	1	PD19DEC17		6518.50	6517.35	USD	-28.75	
19SEP17	B	1	PD19DEC17		6520.00	6517.35	USD	-66.25	
29SEP17	S	3	PD19DEC17		6478.78	6517.35	USD	-2,892.75	
	NET	**0**	**AVG BGHT 6526.61**	**SOLD 6512.38**				**-4,979.00**	**0.00**
20SEP17	B	1	PD20DEC17		6560.00	6517.75	USD	-1,056.25	
20SEP17	S	1	PD20DEC17		6559.50	6517.75	USD	1,043.75	
20SEP17	S	1	PD20DEC17		6548.50	6517.75	USD	768.75	
20SEP17	S	1	PD20DEC17		6549.50	6517.75	USD	793.75	
29SEP17	B	2	PD20DEC17		6479.45	6517.75	USD	1,915.00	
	NET	**0**	**AVG BGHT 6506.30**	**SOLD 6552.50**				**3,465.00**	**0.00**
21SEP17	B	1	PD21DEC17		6430.50	6516.75	USD	2,156.25	
21SEP17	B	1	PD21DEC17		6460.00	6516.75	USD	1,418.75	
21SEP17	S	1	PD21DEC17		6458.50	6516.75	USD	-1,456.25	
21SEP17	B	2	PD21DEC17		6450.00	6516.75	USD	3,337.50	
21SEP17	S	3	PD21DEC17		6455.00	6516.75	USD	-4,631.25	
21SEP17	S	2	PD21DEC17		6458.00	6516.75	USD	-2,937.50	
21SEP17	B	1	PD21DEC17		6460.50	6516.75	USD	1,406.25	
21SEP17	B	1	PD21DEC17		6431.00	6516.75	USD	2,143.75	
21SEP17	S	2	PD21DEC17		6470.00	6516.75	USD	-2,337.50	
21SEP17	B	1	PD21DEC17		6465.50	6516.75	USD	1,281.25	
21SEP17	B	1	PD21DEC17		6467.00	6516.75	USD	1,243.75	
21SEP17	S	1	PD21DEC17		6469.00	6516.75	USD	-1,193.75	
21SEP17	B	1	PD21DEC17		6477.00	6516.75	USD	993.75	
21SEP17	S	1	PD21DEC17		6484.00	6516.75	USD	-818.75	
29SEP17	B	1	PD21DEC17		6478.45	6516.75	USD	957.50	
	NET	**0**	**AVG BGHT 6457.00**	**SOLD 6463.25**				**1,563.75**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119F

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
22SEP17	B 1 PD22DEC17	6371.00	6515.75	USD	3,618.75	
22SEP17	S 1 PD22DEC17	6378.00	6515.75	USD	-3,443.75	
22SEP17	S 1 PD22DEC17	6398.00	6515.75	USD	-2,943.75	
22SEP17	S 1 PD22DEC17	6438.50	6515.75	USD	-1,931.25	
22SEP17	B 1 PD22DEC17	6442.00	6515.75	USD	1,843.75	
22SEP17	B 1 PD22DEC17	6391.00	6515.75	USD	3,118.75	
	NET 0 AVG BGHT 6401.33 SOLD 6404.83				**262.50**	**0.00**
25SEP17	B 1 PD27DEC17	6441.50	6517.52	USD	1,900.50	
25SEP17	S 1 PD27DEC17	6447.00	6517.52	USD	-1,763.00	
26SEP17	S 1 PD27DEC17	6518.50	6517.52	USD	24.50	
26SEP17	S 1 PD27DEC17	6520.00	6517.52	USD	62.00	
26SEP17	B 1 PD27DEC17	6501.50	6517.52	USD	400.50	
26SEP17	B 1 PD27DEC17	6502.00	6517.52	USD	388.00	
26SEP17	B 1 PD27DEC17	6450.50	6517.52	USD	1,675.50	
26SEP17	B 2 PD27DEC17	6440.50	6517.52	USD	3,851.00	
26SEP17	S 1 PD27DEC17	6436.00	6517.52	USD	-2,038.00	
26SEP17	S 2 PD27DEC17	6439.00	6517.52	USD	-3,926.00	
26SEP17	B 1 PD27DEC17	6446.50	6517.52	USD	1,775.50	
26SEP17	B 1 PD27DEC17	6410.50	6517.52	USD	2,675.50	
26SEP17	B 2 PD27DEC17	6408.00	6517.52	USD	5,476.00	
26SEP17	S 1 PD27DEC17	6434.50	6517.52	USD	-2,075.50	
27SEP17	S 3 PD27DEC17	6452.00	6517.52	USD	-4,914.00	
27SEP17	S 1 PD27DEC17	6444.00	6517.52	USD	-1,838.00	
27SEP17	B 1 PD27DEC17	6436.50	6517.52	USD	2,025.50	
	NET 0 AVG BGHT 6444.18 SOLD 6457.64				**3,700.00**	**0.00**
28SEP17	S 1 PD28DEC17	6398.00	6517.88	USD	-2,997.00	
28SEP17	S 1 PD28DEC17	6415.00	6517.88	USD	-2,572.00	
28SEP17	S 1 PD28DEC17	6427.00	6517.88	USD	-2,272.00	
28SEP17	B 1 PD28DEC17	6427.00	6517.88	USD	2,272.00	
28SEP17	B 1 PD28DEC17	6430.50	6517.88	USD	2,184.50	
28SEP17	B 1 PD28DEC17	6431.00	6517.88	USD	2,172.00	
28SEP17	B 1 PD28DEC17	6432.50	6517.88	USD	2,134.50	
28SEP17	S 1 PD28DEC17	6438.00	6517.88	USD	-1,997.00	
	NET 0 AVG BGHT 6430.25 SOLD 6419.50				**-1,075.00**	**0.00**
29SEP17	B 1 PD29DEC17	6569.00	6518.23	USD	-1,269.25	
29SEP17	S 1 PD29DEC17	6578.50	6518.23	USD	1,506.75	
	NET 0 AVG BGHT 6569.00 SOLD 6578.50				**237.50**	**0.00**
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
06SEP17	S 1 PD06DEC17	2322.00	2590.70	USD	-6,717.50	
06SEP17	S 1 PD06DEC17	2352.50	2590.70	USD	-5,955.00	
06SEP17	B 1 PD06DEC17	2365.00	2590.70	USD	5,642.50	
06SEP17	B 1 PD06DEC17	2332.50	2590.70	USD	6,455.00	
	NET 0 AVG BGHT 2348.75 SOLD 2337.25				**-575.00**	**0.00**
14SEP17	S 1 PD14DEC17	2309.00	2591.73	USD	-7,068.25	
14SEP17	B 1 PD14DEC17	2309.50	2591.73	USD	7,055.75	
	NET 0 AVG BGHT 2309.50 SOLD 2309.00				**-12.50**	**0.00**
19SEP17	S 1 PD19DEC17	2415.00	2592.37	USD	-4,434.25	
19SEP17	B 1 PD19DEC17	2400.00	2592.37	USD	4,809.25	
19SEP17	S 1 PD19DEC17	2414.00	2592.37	USD	-4,459.25	
19SEP17	B 1 PD19DEC17	2423.50	2592.37	USD	4,221.75	
	NET 0 AVG BGHT 2411.75 SOLD 2414.50				**137.50**	**0.00**
21SEP17	B 1 PD21DEC17	2432.50	2592.29	USD	3,994.75	
21SEP17	S 1 PD21DEC17	2445.50	2592.29	USD	-3,669.75	
	NET 0 AVG BGHT 2432.50 SOLD 2445.50				**325.00**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119F

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
02OCT17	B 1 PD02JAN18	2512.50	2591.21	USD	1,967.75	
02OCT17	S 1 PD02JAN18	2522.50	2591.21	USD	-1,717.75	
	NET 0 AVG BGHT 2512.50 SOLD 2522.50				**250.00**	**0.00**
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
08SEP17	B 1 PD08DEC17	11830.00	10596.37	USD	-7,401.78	
08SEP17	S 1 PD08DEC17	11780.00	10596.37	USD	7,101.78	
08SEP17	B 1 PD08DEC17	11545.00	10596.37	USD	-5,691.78	
08SEP17	B 1 PD08DEC17	11550.00	10596.37	USD	-5,721.78	
08SEP17	S 1 PD08DEC17	11600.00	10596.37	USD	6,021.78	
08SEP17	S 1 PD08DEC17	11485.00	10596.37	USD	5,331.78	
	NET 0 AVG BGHT 11641.67 SOLD 11621.67				**-360.00**	**0.00**
14SEP17	S 1 PD14DEC17	11410.00	10601.69	USD	4,849.86	
14SEP17	B 1 PD14DEC17	11260.00	10601.69	USD	-3,949.86	
	NET 0 AVG BGHT 11260.00 SOLD 11410.00				**900.00**	**0.00**
21SEP17	B 1 PD21DEC17	11090.00	10608.00	USD	-2,892.00	
21SEP17	S 1 PD21DEC17	11130.00	10608.00	USD	3,132.00	
	NET 0 AVG BGHT 11090.00 SOLD 11130.00				**240.00**	**0.00**
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
29AUG17	B 1 PD29NOV17	20415.00	20858.75	USD	2,218.75	
29AUG17	S 1 PD29NOV17	20510.00	20858.75	USD	-1,743.75	
	NET 0 AVG BGHT 20415.00 SOLD 20510.00				**475.00**	**0.00**
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
05SEP17	B 1 PD05DEC17	3135.50	3265.89	USD	3,259.75	
05SEP17	S 1 PD05DEC17	3145.00	3265.89	USD	-3,022.25	
	NET 0 AVG BGHT 3135.50 SOLD 3145.00				**237.50**	**0.00**
15SEP17	S 1 PD15DEC17	3039.00	3266.96	USD	-5,699.00	
15SEP17	B 1 PD15DEC17	3030.50	3266.96	USD	5,911.50	
	NET 0 AVG BGHT 3030.50 SOLD 3039.00				**212.50**	**0.00**
22SEP17	B 1 PD22DEC17	3024.00	3264.00	USD	6,000.00	
22SEP17	S 1 PD22DEC17	3024.00	3264.00	USD	-6,000.00	
	NET 0 AVG BGHT 3024.00 SOLD 3024.00				**0.00**	**0.00**
02OCT17	S 1 PD02JAN18	3208.00	3264.00	USD	-1,400.00	
02OCT17	B 1 PD02JAN18	3214.00	3264.00	USD	1,250.00	
02OCT17	S 4 PD02JAN18	3233.00	3264.00	USD	-3,100.00	
02OCT17	B 3 PD02JAN18	3229.50	3264.00	USD	2,587.50	
02OCT17	B 1 PD02JAN18	3246.50	3264.00	USD	437.50	
	NET 0 AVG BGHT 3229.80 SOLD 3228.00				**-225.00**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119F

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	-11,753.50
LME Lead 25 tonnes. This is an	FUTURES	125.00
LME Nickel 6 tonnes. This is	FUTURES	780.00
LME Tin 5 tonnes. This is an	FUTURES	475.00
LME Zinc 25 tonnes. This is	FUTURES	225.00

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards			Amount	Profit / Loss	**Total Amount**	**Total P&L**
COPL	- Futures	USD	-11,753.50	-11,753.50		
LEAD	- Futures	USD	125.00	125.00		
NKL	- Futures	USD	780.00	780.00		
TIN	- Futures	USD	475.00	475.00		
ZINC	- Futures	USD	225.00	225.00	**-10,148.50**	**-10,148.50**

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		7,977.36	7,977.36
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**7,977.36**	**7,977.36**
Initial Margins		-10,148.50	-10,148.50
Excess/(Shortage)		**-2,171.14**	**-2,171.14**
FOR INFORMATION ONLY			
Forward Unrealised P&L		-10,148.50	-10,148.50
Net Liquidating Value		**-2,171.14**	**-2,171.14**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119G

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.					
20SEP17	B 1 PD20DEC17	2180.50	2127.75	USD	-1,318.75	
20SEP17	S 1 PD20DEC17	2177.00	2127.75	USD	1,231.25	
	NET 0 AVG BGHT 2180.50 SOLD 2177.00				**-87.50**	**0.00**
22SEP17	S 1 PD22DEC17	2165.50	2128.21	USD	932.25	
22SEP17	B 1 PD22DEC17	2153.50	2128.21	USD	-632.25	
	NET 0 AVG BGHT 2153.50 SOLD 2165.50				**300.00**	**0.00**
29SEP17	B 1 PD29DEC17	2140.00	2129.84	USD	-254.00	
29SEP17	S 1 PD29DEC17	2134.50	2129.84	USD	116.50	
	NET 0 AVG BGHT 2140.00 SOLD 2134.50				**-137.50**	**0.00**
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
18AUG17	B 1 PD17NOV17	6470.50	6501.60	USD	777.50	
18AUG17	S 1 PD17NOV17	6460.00	6501.60	USD	-1,040.00	
18AUG17	S 1 PD17NOV17	6464.50	6501.60	USD	-927.50	
18AUG17	B 1 PD17NOV17	6465.00	6501.60	USD	915.00	
	NET 0 AVG BGHT 6467.75 SOLD 6462.25				**-275.00**	**0.00**
21AUG17	B 1 PD21NOV17	6585.00	6504.00	USD	-2,025.00	
21AUG17	S 1 PD21NOV17	6554.00	6504.00	USD	1,250.00	
21AUG17	S 1 PD21NOV17	6542.00	6504.00	USD	950.00	
21AUG17	S 1 PD21NOV17	6592.00	6504.00	USD	2,200.00	
21AUG17	B 2 PD21NOV17	6543.00	6504.00	USD	-1,950.00	
21AUG17	B 1 PD21NOV17	6595.00	6504.00	USD	-2,275.00	
21AUG17	B 1 PD21NOV17	6599.50	6504.00	USD	-2,387.50	
21AUG17	S 1 PD21NOV17	6595.00	6504.00	USD	2,275.00	
21AUG17	S 1 PD21NOV17	6598.50	6504.00	USD	2,362.50	
	NET 0 AVG BGHT 6573.10 SOLD 6576.30				**400.00**	**0.00**
22AUG17	B 2 PD22NOV17	6605.50	6504.60	USD	-5,045.00	
22AUG17	B 1 PD22NOV17	6626.00	6504.60	USD	-3,035.00	
22AUG17	B 1 PD22NOV17	6637.00	6504.60	USD	-3,310.00	
22AUG17	S 2 PD22NOV17	6603.00	6504.60	USD	4,920.00	
22AUG17	S 1 PD22NOV17	6627.50	6504.60	USD	3,072.50	
22AUG17	S 2 PD22NOV17	6637.00	6504.60	USD	6,620.00	
22AUG17	S 1 PD22NOV17	6627.00	6504.60	USD	3,060.00	
22AUG17	B 1 PD22NOV17	6631.00	6504.60	USD	-3,160.00	
22AUG17	B 1 PD22NOV17	6643.50	6504.60	USD	-3,472.50	
	NET 0 AVG BGHT 6624.75 SOLD 6622.42				**-350.00**	**0.00**
23AUG17	B 1 PD24NOV17	6544.00	6505.80	USD	-955.00	
23AUG17	S 1 PD24NOV17	6564.50	6505.80	USD	1,467.50	
24AUG17	S 1 PD24NOV17	6705.00	6505.80	USD	4,980.00	
24AUG17	S 1 PD24NOV17	6720.00	6505.80	USD	5,355.00	
24AUG17	S 1 PD24NOV17	6729.50	6505.80	USD	5,592.50	
25AUG17	B 1 PD24NOV17	6735.00	6505.80	USD	-5,730.00	
25AUG17	B 2 PD24NOV17	6735.50	6505.80	USD	-11,485.00	
	NET 0 AVG BGHT 6687.50 SOLD 6679.75				**-775.00**	**0.00**
31AUG17	S 1 PD30NOV17	6807.00	6509.40	USD	7,440.00	
31AUG17	B 1 PD30NOV17	6827.00	6509.40	USD	-7,940.00	
31AUG17	B 1 PD30NOV17	6844.00	6509.40	USD	-8,365.00	
31AUG17	B 1 PD30NOV17	6846.00	6509.40	USD	-8,415.00	
31AUG17	B 1 PD30NOV17	6851.00	6509.40	USD	-8,540.00	
31AUG17	S 1 PD30NOV17	6869.50	6509.40	USD	9,002.50	
31AUG17	B 1 PD30NOV17	6828.00	6509.40	USD	-7,965.00	
31AUG17	S 1 PD30NOV17	6828.50	6509.40	USD	7,977.50	
31AUG17	S 1 PD30NOV17	6829.00	6509.40	USD	7,990.00	
31AUG17	B 1 PD30NOV17	6825.00	6509.40	USD	-7,890.00	
31AUG17	S 2 PD30NOV17	6855.50	6509.40	USD	17,305.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119G

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
31AUG17	S 1 PD30NOV17	6822.50	6509.40	USD	7,827.50	
31AUG17	B 1 PD30NOV17	6786.00	6509.40	USD	-6,915.00	
	NET 0 AVG BGHT 6829.57 SOLD 6838.21				**1,512.50**	**0.00**
01SEP17	S 1 PD01DEC17	6823.00	6510.00	USD	7,825.00	
01SEP17	B 1 PD01DEC17	6804.00	6510.00	USD	-7,350.00	
01SEP17	S 1 PD01DEC17	6821.00	6510.00	USD	7,775.00	
01SEP17	S 1 PD01DEC17	6832.00	6510.00	USD	8,050.00	
29SEP17	B 2 PD01DEC17	6471.50	6510.00	USD	1,925.00	
	NET 0 AVG BGHT 6582.33 SOLD 6825.33				**18,225.00**	**0.00**
04SEP17	S 1 PD04DEC17	6904.00	6511.29	USD	9,817.75	
04SEP17	B 1 PD04DEC17	6917.00	6511.29	USD	-10,142.75	
04SEP17	S 1 PD04DEC17	6913.00	6511.29	USD	10,042.75	
29SEP17	B 1 PD04DEC17	6472.06	6511.29	USD	980.75	
	NET 0 AVG BGHT 6694.53 SOLD 6908.50				**10,698.50**	**0.00**
05SEP17	B 1 PD05DEC17	6954.00	6511.71	USD	-11,057.25	
05SEP17	B 1 PD05DEC17	6955.00	6511.71	USD	-11,082.25	
05SEP17	B 1 PD05DEC17	6901.00	6511.71	USD	-9,732.25	
29SEP17	S 3 PD05DEC17	6472.05	6511.71	USD	-2,974.50	
	NET 0 AVG BGHT 6936.67 SOLD 6472.05				**-34,846.25**	**0.00**
06SEP17	B 1 PD06DEC17	6886.00	6512.14	USD	-9,346.50	
06SEP17	S 1 PD06DEC17	6910.00	6512.14	USD	9,946.50	
06SEP17	S 1 PD06DEC17	6924.00	6512.14	USD	10,296.50	
06SEP17	B 1 PD06DEC17	6884.00	6512.14	USD	-9,296.50	
06SEP17	S 1 PD06DEC17	6890.00	6512.14	USD	9,446.50	
06SEP17	S 1 PD06DEC17	6894.00	6512.14	USD	9,546.50	
06SEP17	B 1 PD06DEC17	6873.00	6512.14	USD	-9,021.50	
06SEP17	B 1 PD06DEC17	6871.00	6512.14	USD	-8,971.50	
06SEP17	S 1 PD06DEC17	6891.00	6512.14	USD	9,471.50	
06SEP17	S 1 PD06DEC17	6892.00	6512.14	USD	9,496.50	
06SEP17	S 1 PD06DEC17	6906.00	6512.14	USD	9,846.50	
29SEP17	B 3 PD06DEC17	6472.72	6512.14	USD	2,956.50	
	NET 0 AVG BGHT 6704.59 SOLD 6901.00				**34,371.00**	**0.00**
07SEP17	B 1 PD07DEC17	6865.00	6512.57	USD	-8,810.75	
07SEP17	S 1 PD07DEC17	6900.00	6512.57	USD	9,685.75	
	NET 0 AVG BGHT 6865.00 SOLD 6900.00				**875.00**	**0.00**
08SEP17	B 1 PD08DEC17	6925.00	6513.00	USD	-10,300.00	
08SEP17	B 1 PD08DEC17	6928.00	6513.00	USD	-10,375.00	
08SEP17	B 1 PD08DEC17	6885.00	6513.00	USD	-9,300.00	
08SEP17	B 1 PD08DEC17	6793.00	6513.00	USD	-7,000.00	
08SEP17	B 2 PD08DEC17	6785.00	6513.00	USD	-13,600.00	
08SEP17	S 1 PD08DEC17	6749.00	6513.00	USD	5,900.00	
08SEP17	S 1 PD08DEC17	6747.00	6513.00	USD	5,850.00	
08SEP17	S 1 PD08DEC17	6750.00	6513.00	USD	5,925.00	
08SEP17	B 1 PD08DEC17	6714.00	6513.00	USD	-5,025.00	
08SEP17	B 1 PD08DEC17	6683.00	6513.00	USD	-4,250.00	
08SEP17	S 1 PD08DEC17	6675.00	6513.00	USD	4,050.00	
08SEP17	S 1 PD08DEC17	6688.00	6513.00	USD	4,375.00	
29SEP17	S 3 PD08DEC17	6473.45	6513.00	USD	-2,966.25	
	NET 0 AVG BGHT 6812.25 SOLD 6628.67				**-36,716.25**	**0.00**
13SEP17	B 2 PD13DEC17	6605.00	6514.98	USD	-4,501.00	
13SEP17	B 1 PD13DEC17	6608.00	6514.98	USD	-2,325.50	
13SEP17	S 2 PD13DEC17	6595.00	6514.98	USD	4,001.00	
13SEP17	B 1 PD13DEC17	6600.00	6514.98	USD	-2,125.50	
13SEP17	B 1 PD13DEC17	6601.00	6514.98	USD	-2,150.50	
13SEP17	S 1 PD13DEC17	6579.50	6514.98	USD	1,613.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119G

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
13SEP17	B 1 PD13DEC17	6569.00	6514.98	USD	-1,350.50	
13SEP17	S 1 PD13DEC17	6544.00	6514.98	USD	725.50	
13SEP17	S 1 PD13DEC17	6570.50	6514.98	USD	1,388.00	
13SEP17	B 1 PD13DEC17	6556.00	6514.98	USD	-1,025.50	
13SEP17	B 2 PD13DEC17	6565.00	6514.98	USD	-2,501.00	
13SEP17	S 1 PD13DEC17	6564.00	6514.98	USD	1,225.50	
29SEP17	S 3 PD13DEC17	6475.98	6514.98	USD	-2,925.00	
	NET 0 AVG BGHT 6586.00 SOLD 6541.77				**-9,951.50**	**0.00**
14SEP17	S 1 PD14DEC17	6491.50	6515.38	USD	-597.00	
14SEP17	S 2 PD14DEC17	6493.00	6515.38	USD	-1,119.00	
14SEP17	S 1 PD14DEC17	6499.00	6515.38	USD	-409.50	
14SEP17	B 1 PD14DEC17	6490.50	6515.38	USD	622.00	
14SEP17	B 1 PD14DEC17	6477.00	6515.38	USD	959.50	
14SEP17	S 1 PD14DEC17	6483.00	6515.38	USD	-809.50	
14SEP17	B 1 PD14DEC17	6503.00	6515.38	USD	309.50	
14SEP17	S 1 PD14DEC17	6506.00	6515.38	USD	-234.50	
14SEP17	B 1 PD14DEC17	6529.00	6515.38	USD	-340.50	
14SEP17	S 1 PD14DEC17	6526.00	6515.38	USD	265.50	
29SEP17	B 3 PD14DEC17	6476.65	6515.38	USD	2,904.75	
	NET 0 AVG BGHT 6489.92 SOLD 6498.79				**1,551.25**	**0.00**
15SEP17	S 2 PD15DEC17	6498.00	6515.77	USD	-888.50	
15SEP17	B 1 PD15DEC17	6511.50	6515.77	USD	106.75	
15SEP17	B 1 PD15DEC17	6512.00	6515.77	USD	94.25	
	NET 0 AVG BGHT 6511.75 SOLD 6498.00				**-687.50**	**0.00**
18SEP17	B 2 PD18DEC17	6552.00	6516.96	USD	-1,752.00	
18SEP17	S 1 PD18DEC17	6557.00	6516.96	USD	1,001.00	
18SEP17	S 1 PD18DEC17	6559.00	6516.96	USD	1,051.00	
18SEP17	B 1 PD18DEC17	6535.00	6516.96	USD	-451.00	
18SEP17	S 1 PD18DEC17	6538.00	6516.96	USD	526.00	
	NET 0 AVG BGHT 6546.33 SOLD 6551.33				**375.00**	**0.00**
19SEP17	B 1 PD19DEC17	6520.00	6517.35	USD	-66.25	
19SEP17	B 1 PD19DEC17	6532.50	6517.35	USD	-378.75	
19SEP17	S 1 PD19DEC17	6518.00	6517.35	USD	16.25	
19SEP17	S 1 PD19DEC17	6527.00	6517.35	USD	241.25	
19SEP17	B 1 PD19DEC17	6514.00	6517.35	USD	83.75	
19SEP17	S 1 PD19DEC17	6508.50	6517.35	USD	-221.25	
19SEP17	B 1 PD19DEC17	6524.00	6517.35	USD	-166.25	
19SEP17	B 1 PD19DEC17	6527.00	6517.35	USD	-241.25	
29SEP17	S 2 PD19DEC17	6478.78	6517.35	USD	-1,928.50	
	NET 0 AVG BGHT 6523.50 SOLD 6502.21				**-2,661.00**	**0.00**
20SEP17	S 3 PD20DEC17	6555.00	6517.75	USD	2,793.75	
20SEP17	B 1 PD20DEC17	6561.00	6517.75	USD	-1,081.25	
20SEP17	S 2 PD20DEC17	6560.00	6517.75	USD	2,112.50	
20SEP17	B 2 PD20DEC17	6555.00	6517.75	USD	-1,862.50	
29SEP17	B 2 PD20DEC17	6479.45	6517.75	USD	1,915.00	
	NET 0 AVG BGHT 6525.98 SOLD 6557.00				**3,877.50**	**0.00**
21SEP17	B 1 PD21DEC17	6461.00	6516.75	USD	1,393.75	
21SEP17	S 1 PD21DEC17	6469.00	6516.75	USD	-1,193.75	
	NET 0 AVG BGHT 6461.00 SOLD 6469.00				**200.00**	**0.00**
22SEP17	B 1 PD22DEC17	6397.00	6515.75	USD	2,968.75	
22SEP17	S 1 PD22DEC17	6396.00	6515.75	USD	-2,993.75	
22SEP17	B 1 PD22DEC17	6464.00	6515.75	USD	1,293.75	
22SEP17	S 2 PD22DEC17	6469.00	6515.75	USD	-2,337.50	
22SEP17	B 1 PD22DEC17	6462.50	6515.75	USD	1,331.25	
	NET 0 AVG BGHT 6441.17 SOLD 6444.67				**262.50**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119G

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
26SEP17	S 1 PD27DEC17	6518.00	6517.52	USD	12.00	
26SEP17	S 1 PD27DEC17	6520.00	6517.52	USD	62.00	
26SEP17	B 1 PD27DEC17	6506.00	6517.52	USD	288.00	
26SEP17	B 1 PD27DEC17	6512.00	6517.52	USD	138.00	
26SEP17	S 1 PD27DEC17	6438.00	6517.52	USD	-1,988.00	
26SEP17	B 2 PD27DEC17	6411.00	6517.52	USD	5,326.00	
26SEP17	B 1 PD27DEC17	6409.00	6517.52	USD	2,713.00	
26SEP17	B 1 PD27DEC17	6411.50	6517.52	USD	2,650.50	
27SEP17	S 1 PD27DEC17	6452.00	6517.52	USD	-1,638.00	
27SEP17	S 2 PD27DEC17	6454.50	6517.52	USD	-3,151.00	
	NET 0 AVG BGHT 6443.42 SOLD 6472.83				**4,412.50**	**0.00**
28SEP17	B 1 PD28DEC17	6433.00	6517.88	USD	2,122.00	
28SEP17	S 1 PD28DEC17	6437.50	6517.88	USD	-2,009.50	
	NET 0 AVG BGHT 6433.00 SOLD 6437.50				**112.50**	**0.00**
03OCT17	B 1 PD03JAN18	6521.00	6520.00	USD	-25.00	
03OCT17	S 1 PD03JAN18	6527.50	6520.00	USD	187.50	
	NET 0 AVG BGHT 6521.00 SOLD 6527.50				**162.50**	**0.00**
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
18AUG17	B 1 PD17NOV17	2363.50	2588.26	USD	5,619.00	
18AUG17	S 1 PD17NOV17	2359.00	2588.26	USD	-5,731.50	
	NET 0 AVG BGHT 2363.50 SOLD 2359.00				**-112.50**	**0.00**
22AUG17	B 1 PD22NOV17	2423.00	2588.90	USD	4,147.50	
22AUG17	S 1 PD22NOV17	2418.00	2588.90	USD	-4,272.50	
22AUG17	B 1 PD22NOV17	2405.00	2588.90	USD	4,597.50	
22AUG17	S 1 PD22NOV17	2417.50	2588.90	USD	-4,285.00	
	NET 0 AVG BGHT 2414.00 SOLD 2417.75				**187.50**	**0.00**
08SEP17	S 1 PD08DEC17	2309.50	2590.96	USD	-7,036.50	
08SEP17	B 1 PD08DEC17	2269.00	2590.96	USD	8,049.00	
	NET 0 AVG BGHT 2269.00 SOLD 2309.50				**1,012.50**	**0.00**
22SEP17	B 1 PD22DEC17	2423.00	2592.07	USD	4,226.75	
22SEP17	S 1 PD22DEC17	2452.00	2592.07	USD	-3,501.75	
22SEP17	B 1 PD22DEC17	2455.00	2592.07	USD	3,426.75	
22SEP17	S 1 PD22DEC17	2420.50	2592.07	USD	-4,289.25	
	NET 0 AVG BGHT 2439.00 SOLD 2436.25				**-137.50**	**0.00**
03OCT17	S 1 PD03JAN18	2572.00	2591.00	USD	-475.00	
03OCT17	B 1 PD03JAN18	2560.50	2591.00	USD	762.50	
03OCT17	B 1 PD03JAN18	2590.50	2591.00	USD	12.50	
03OCT17	S 1 PD03JAN18	2587.00	2591.00	USD	-100.00	
	NET 0 AVG BGHT 2575.50 SOLD 2579.50				**200.00**	**0.00**
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
22AUG17	S 1 PD22NOV17	11400.00	10582.20	USD	4,906.80	
22AUG17	B 1 PD22NOV17	11465.00	10582.20	USD	-5,296.80	
	NET 0 AVG BGHT 11465.00 SOLD 11400.00				**-390.00**	**0.00**
08SEP17	B 1 PD08DEC17	11750.00	10596.37	USD	-6,921.78	
08SEP17	S 1 PD08DEC17	11695.00	10596.37	USD	6,591.78	
08SEP17	B 2 PD08DEC17	11545.00	10596.37	USD	-11,383.56	
08SEP17	B 2 PD08DEC17	11560.00	10596.37	USD	-11,563.56	
08SEP17	S 1 PD08DEC17	11595.00	10596.37	USD	5,991.78	
08SEP17	S 2 PD08DEC17	11480.00	10596.37	USD	10,603.56	
08SEP17	S 2 PD08DEC17	11540.00	10596.37	USD	11,323.56	
08SEP17	B 1 PD08DEC17	11580.00	10596.37	USD	-5,901.78	
	NET 0 AVG BGHT 11590.00 SOLD 11555.00				**-1,260.00**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119G

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
15SEP17	S 1 PD15DEC17	11095.00	10602.57	USD	2,954.58	
15SEP17	B 1 PD15DEC17	11115.00	10602.57	USD	-3,074.58	
15SEP17	B 1 PD15DEC17	10875.00	10602.57	USD	-1,634.58	
15SEP17	S 1 PD15DEC17	10845.00	10602.57	USD	1,454.58	
	NET 0 AVG BGHT 10995.00 SOLD 10970.00				**-300.00**	**0.00**
20SEP17	B 1 PD20DEC17	11300.00	10607.00	USD	-4,158.00	
20SEP17	S 1 PD20DEC17	11365.00	10607.00	USD	4,548.00	
	NET 0 AVG BGHT 11300.00 SOLD 11365.00				**390.00**	**0.00**
29SEP17	B 1 PD29DEC17	10510.00	10615.42	USD	632.52	
29SEP17	S 1 PD29DEC17	10500.00	10615.42	USD	-692.52	
	NET 0 AVG BGHT 10510.00 SOLD 10500.00				**-60.00**	**0.00**
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
18AUG17	S 2 PD17NOV17	20200.00	20867.50	USD	-6,675.00	
18AUG17	B 2 PD17NOV17	20230.00	20867.50	USD	6,375.00	
	NET 0 AVG BGHT 20230.00 SOLD 20200.00				**-300.00**	**0.00**
22AUG17	S 2 PD22NOV17	20415.00	20863.75	USD	-4,487.50	
22AUG17	B 2 PD22NOV17	20390.00	20863.75	USD	4,737.50	
	NET 0 AVG BGHT 20390.00 SOLD 20415.00				**250.00**	**0.00**
25AUG17	S 1 PD24NOV17	20430.00	20862.50	USD	-2,162.50	
25AUG17	B 1 PD24NOV17	20405.00	20862.50	USD	2,287.50	
25AUG17	S 1 PD24NOV17	20340.00	20862.50	USD	-2,612.50	
25AUG17	B 1 PD24NOV17	20325.00	20862.50	USD	2,687.50	
	NET 0 AVG BGHT 20365.00 SOLD 20385.00				**200.00**	**0.00**
29AUG17	S 1 PD29NOV17	20590.00	20858.75	USD	-1,343.75	
29AUG17	B 1 PD29NOV17	20555.00	20858.75	USD	1,518.75	
29AUG17	S 1 PD29NOV17	20570.00	20858.75	USD	-1,443.75	
29AUG17	B 1 PD29NOV17	20530.00	20858.75	USD	1,643.75	
29AUG17	S 1 PD29NOV17	20435.00	20858.75	USD	-2,118.75	
29AUG17	B 1 PD29NOV17	20510.00	20858.75	USD	1,743.75	
	NET 0 AVG BGHT 20531.67 SOLD 20531.67				**0.00**	**0.00**
30AUG17	B 1 PD30NOV17	20555.00	20857.50	USD	1,512.50	
30AUG17	S 2 PD30NOV17	20530.00	20857.50	USD	-3,275.00	
30AUG17	S 2 PD30NOV17	20545.00	20857.50	USD	-3,125.00	
30AUG17	B 3 PD30NOV17	20550.00	20857.50	USD	4,612.50	
	NET 0 AVG BGHT 20551.25 SOLD 20537.50				**-275.00**	**0.00**
01SEP17	S 1 PD01DEC17	20725.00	20856.25	USD	-656.25	
01SEP17	B 1 PD01DEC17	20705.00	20856.25	USD	756.25	
	NET 0 AVG BGHT 20705.00 SOLD 20725.00				**100.00**	**0.00**
04SEP17	S 1 PD04DEC17	20730.00	20855.00	USD	-625.00	
04SEP17	B 1 PD04DEC17	20760.00	20855.00	USD	475.00	
	NET 0 AVG BGHT 20760.00 SOLD 20730.00				**-150.00**	**0.00**
07SEP17	B 1 PD07DEC17	20730.00	20851.25	USD	606.25	
07SEP17	S 1 PD07DEC17	20730.00	20851.25	USD	-606.25	
07SEP17	B 1 PD07DEC17	20700.00	20851.25	USD	756.25	
07SEP17	S 1 PD07DEC17	20735.00	20851.25	USD	-581.25	
	NET 0 AVG BGHT 20715.00 SOLD 20732.50				**175.00**	**0.00**
13SEP17	S 1 PD13DEC17	20735.00	20846.25	USD	-556.25	
13SEP17	B 1 PD13DEC17	20720.00	20846.25	USD	631.25	
	NET 0 AVG BGHT 20720.00 SOLD 20735.00				**75.00**	**0.00**
14SEP17	B 1 PD14DEC17	20630.00	20845.00	USD	1,075.00	
14SEP17	S 1 PD14DEC17	20650.00	20845.00	USD	-975.00	
	NET 0 AVG BGHT 20630.00 SOLD 20650.00				**100.00**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119G

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
15SEP17	S 2 PD15DEC17	20500.00	20843.75	USD	-3,437.50	
15SEP17	B 1 PD15DEC17	20515.00	20843.75	USD	1,643.75	
15SEP17	B 1 PD15DEC17	20520.00	20843.75	USD	1,618.75	
	NET 0 AVG BGHT 20517.50 SOLD 20500.00				**-175.00**	**0.00**
22SEP17	S 1 PD22DEC17	20470.00	20826.80	USD	-1,784.00	
22SEP17	B 1 PD22DEC17	20430.00	20826.80	USD	1,984.00	
	NET 0 AVG BGHT 20430.00 SOLD 20470.00				**200.00**	**0.00**
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
22SEP17	B 1 PD22DEC17	3050.00	3264.00	USD	5,350.00	
22SEP17	S 1 PD22DEC17	3058.00	3264.00	USD	-5,150.00	
22SEP17	B 1 PD22DEC17	3011.00	3264.00	USD	6,325.00	
22SEP17	S 1 PD22DEC17	3024.00	3264.00	USD	-6,000.00	
22SEP17	B 1 PD22DEC17	3010.50	3264.00	USD	6,337.50	
22SEP17	S 1 PD22DEC17	3021.00	3264.00	USD	-6,075.00	
	NET 0 AVG BGHT 3023.83 SOLD 3034.33				**787.50**	**0.00**
29SEP17	S 1 PD29DEC17	3149.00	3264.00	USD	-2,875.00	
29SEP17	B 1 PD29DEC17	3161.00	3264.00	USD	2,575.00	
	NET 0 AVG BGHT 3161.00 SOLD 3149.00				**-300.00**	**0.00**
02OCT17	S 2 PD02JAN18	3243.00	3264.00	USD	-1,050.00	
02OCT17	S 2 PD02JAN18	3244.00	3264.00	USD	-1,000.00	
02OCT17	B 1 PD02JAN18	3232.00	3264.00	USD	800.00	
02OCT17	B 2 PD02JAN18	3235.00	3264.00	USD	1,450.00	
02OCT17	B 1 PD02JAN18	3235.50	3264.00	USD	712.50	
	NET 0 AVG BGHT 3234.38 SOLD 3243.50				**912.50**	**0.00**
03OCT17	S 1 PD03JAN18	3275.00	3264.00	USD	275.00	
03OCT17	B 1 PD03JAN18	3290.50	3264.00	USD	-662.50	
03OCT17	S 1 PD03JAN18	3287.50	3264.00	USD	587.50	
03OCT17	S 1 PD03JAN18	3288.50	3264.00	USD	612.50	
03OCT17	B 1 PD03JAN18	3282.00	3264.00	USD	-450.00	
03OCT17	B 1 PD03JAN18	3275.00	3264.00	USD	-275.00	
	NET 0 AVG BGHT 3282.50 SOLD 3283.67				**87.50**	**0.00**

OPEN POSITION VALUE

		USD
LME Aluminium 25 Tonnes.	FUTURES	75.00
LME Copper Grade A 25	FUTURES	-9,226.75
LME Lead 25 tonnes. This is an	FUTURES	1,150.00
LME Nickel 6 tonnes. This is	FUTURES	-1,620.00
LME Tin 5 tonnes. This is an	FUTURES	200.00
LME Zinc 25 tonnes. This is	FUTURES	1,487.50

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119G

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards			Amount	Profit / Loss	**Total Amount**	**Total P&L**
ALUL	- Futures	USD	75.00	75.00		
COPL	- Futures	USD	-9,226.75	-9,226.75		
LEAD	- Futures	USD	1,150.00	1,150.00		
NKL	- Futures	USD	-1,620.00	-1,620.00		
TIN	**- Futures**	**USD**	**200.00**	**200.00**		
ZINC	- Futures	USD	1,487.50	1,487.50	**-7,934.25**	**-7,934.25**

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		10,071.28	10,071.28
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**10,071.28**	**10,071.28**
Initial Margins		-7,934.25	-7,934.25
Excess/(Shortage)		**2,137.03**	**2,137.03**
FOR INFORMATION ONLY			
Forward Unrealised P&L		-7,934.25	-7,934.25
Net Liquidating Value		**2,137.03**	**2,137.03**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119H

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT							
21AUG17	S	1	PD21NOV17	6557.00	6504.00	USD	1,325.00	
21AUG17	B	1	PD21NOV17	6545.00	6504.00	USD	-1,025.00	
21AUG17	B	1	PD21NOV17	6552.00	6504.00	USD	-1,200.00	
21AUG17	S	1	PD21NOV17	6545.00	6504.00	USD	1,025.00	
21AUG17	B	1	PD21NOV17	6595.00	6504.00	USD	-2,275.00	
21AUG17	S	1	PD21NOV17	6591.00	6504.00	USD	2,175.00	
	NET	**0**	**AVG BGHT 6564.00**	**SOLD 6564.33**			**25.00**	**0.00**
22AUG17	S	1	PD22NOV17	6626.00	6504.60	USD	3,035.00	
22AUG17	B	1	PD22NOV17	6620.00	6504.60	USD	-2,885.00	
22AUG17	B	1	PD22NOV17	6575.00	6504.60	USD	-1,760.00	
22AUG17	S	1	PD22NOV17	6589.50	6504.60	USD	2,122.50	
	NET	**0**	**AVG BGHT 6597.50**	**SOLD 6607.75**			**512.50**	**0.00**
23AUG17	B	1	PD24NOV17	6547.00	6505.80	USD	-1,030.00	
23AUG17	B	1	PD24NOV17	6549.00	6505.80	USD	-1,080.00	
23AUG17	S	1	PD24NOV17	6547.00	6505.80	USD	1,030.00	
23AUG17	S	1	PD24NOV17	6570.00	6505.80	USD	1,605.00	
	NET	**0**	**AVG BGHT 6548.00**	**SOLD 6558.50**			**525.00**	**0.00**
30AUG17	B	1	PD30NOV17	6810.50	6509.40	USD	-7,527.50	
30AUG17	B	1	PD30NOV17	6811.00	6509.40	USD	-7,540.00	
30AUG17	B	1	PD30NOV17	6796.00	6509.40	USD	-7,165.00	
30AUG17	S	3	PD30NOV17	6793.00	6509.40	USD	21,270.00	
31AUG17	S	1	PD30NOV17	6808.00	6509.40	USD	7,465.00	
31AUG17	B	1	PD30NOV17	6827.00	6509.40	USD	-7,940.00	
31AUG17	B	1	PD30NOV17	6828.50	6509.40	USD	-7,977.50	
31AUG17	S	1	PD30NOV17	6860.00	6509.40	USD	8,765.00	
31AUG17	S	1	PD30NOV17	6822.50	6509.40	USD	7,827.50	
31AUG17	B	1	PD30NOV17	6793.00	6509.40	USD	-7,090.00	
	NET	**0**	**AVG BGHT 6811.00**	**SOLD 6811.58**			**87.50**	**0.00**
01SEP17	B	1	PD01DEC17	6807.00	6510.00	USD	-7,425.00	
01SEP17	S	1	PD01DEC17	6820.00	6510.00	USD	7,750.00	
01SEP17	S	1	PD01DEC17	6825.00	6510.00	USD	7,875.00	
29SEP17	B	1	PD01DEC17	6471.50	6510.00	USD	962.50	
	NET	**0**	**AVG BGHT 6639.25**	**SOLD 6822.50**			**9,162.50**	**0.00**
04SEP17	S	1	PD04DEC17	6904.50	6511.29	USD	9,830.25	
04SEP17	B	1	PD04DEC17	6913.00	6511.29	USD	-10,042.75	
04SEP17	S	1	PD04DEC17	6914.00	6511.29	USD	10,067.75	
29SEP17	B	1	PD04DEC17	6472.06	6511.29	USD	980.75	
	NET	**0**	**AVG BGHT 6692.53**	**SOLD 6909.25**			**10,836.00**	**0.00**
05SEP17	B	2	PD05DEC17	6951.00	6511.71	USD	-21,964.50	
29SEP17	S	2	PD05DEC17	6472.05	6511.71	USD	-1,983.00	
	NET	**0**	**AVG BGHT 6951.00**	**SOLD 6472.05**			**-23,947.50**	**0.00**
06SEP17	S	1	PD06DEC17	6886.00	6512.14	USD	9,346.50	
06SEP17	S	1	PD06DEC17	6924.00	6512.14	USD	10,296.50	
06SEP17	B	1	PD06DEC17	6886.50	6512.14	USD	-9,359.00	
06SEP17	B	1	PD06DEC17	6892.00	6512.14	USD	-9,496.50	
06SEP17	S	1	PD06DEC17	6880.00	6512.14	USD	9,196.50	
06SEP17	B	1	PD06DEC17	6869.00	6512.14	USD	-8,921.50	
06SEP17	S	1	PD06DEC17	6890.00	6512.14	USD	9,446.50	
06SEP17	S	1	PD06DEC17	6922.50	6512.14	USD	10,259.00	
29SEP17	B	2	PD06DEC17	6472.72	6512.14	USD	1,971.00	
	NET	**0**	**AVG BGHT 6718.59**	**SOLD 6900.50**			**22,739.00**	**0.00**
07SEP17	B	1	PD07DEC17	6900.00	6512.57	USD	-9,685.75	
07SEP17	S	1	PD07DEC17	6899.50	6512.57	USD	9,673.25	
07SEP17	B	1	PD07DEC17	6869.00	6512.57	USD	-8,910.75	
07SEP17	S	1	PD07DEC17	6885.00	6512.57	USD	9,310.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119H

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
07SEP17	S 1 PD07DEC17	6892.00	6512.57	USD	9,485.75	
29SEP17	B 1 PD07DEC17	6473.18	6512.57	USD	984.75	
	NET 0 AVG BGHT 6747.39 SOLD 6892.17				**10,858.00**	**0.00**
08SEP17	B 1 PD08DEC17	6900.00	6513.00	USD	-9,675.00	
08SEP17	B 1 PD08DEC17	6922.00	6513.00	USD	-10,225.00	
08SEP17	B 1 PD08DEC17	6926.00	6513.00	USD	-10,325.00	
08SEP17	B 1 PD08DEC17	6789.00	6513.00	USD	-6,900.00	
08SEP17	B 1 PD08DEC17	6702.00	6513.00	USD	-4,725.00	
08SEP17	S 2 PD08DEC17	6700.00	6513.00	USD	9,350.00	
08SEP17	B 1 PD08DEC17	6706.00	6513.00	USD	-4,825.00	
08SEP17	S 1 PD08DEC17	6702.00	6513.00	USD	4,725.00	
08SEP17	B 1 PD08DEC17	6694.00	6513.00	USD	-4,525.00	
08SEP17	B 1 PD08DEC17	6695.00	6513.00	USD	-4,550.00	
08SEP17	B 1 PD08DEC17	6670.50	6513.00	USD	-3,937.50	
08SEP17	B 1 PD08DEC17	6683.00	6513.00	USD	-4,250.00	
08SEP17	S 1 PD08DEC17	6679.00	6513.00	USD	4,150.00	
08SEP17	S 1 PD08DEC17	6689.00	6513.00	USD	4,400.00	
08SEP17	S 1 PD08DEC17	6669.00	6513.00	USD	3,900.00	
29SEP17	S 3 PD08DEC17	6473.45	6513.00	USD	-2,966.25	
29SEP17	S 1 PD08DEC17	6473.65	6513.00	USD	-983.75	
	NET 0 AVG BGHT 6768.75 SOLD 6603.30				**-41,362.50**	**0.00**
11SEP17	S 1 PD11DEC17	6700.00	6514.19	USD	4,645.25	
29SEP17	B 1 PD11DEC17	6475.25	6514.19	USD	973.50	
	NET 0 AVG BGHT 6475.25 SOLD 6700.00				**5,618.75**	**0.00**
13SEP17	B 1 PD13DEC17	6605.00	6514.98	USD	-2,250.50	
13SEP17	B 2 PD13DEC17	6561.00	6514.98	USD	-2,301.00	
13SEP17	S 1 PD13DEC17	6558.00	6514.98	USD	1,075.50	
13SEP17	S 1 PD13DEC17	6550.00	6514.98	USD	875.50	
13SEP17	S 1 PD13DEC17	6556.00	6514.98	USD	1,025.50	
	NET 0 AVG BGHT 6575.67 SOLD 6554.67				**-1,575.00**	**0.00**
14SEP17	B 1 PD14DEC17	6565.00	6515.38	USD	-1,240.50	
14SEP17	B 1 PD14DEC17	6520.00	6515.38	USD	-115.50	
14SEP17	B 1 PD14DEC17	6465.00	6515.38	USD	1,259.50	
14SEP17	S 1 PD14DEC17	6467.00	6515.38	USD	-1,209.50	
14SEP17	B 1 PD14DEC17	6504.00	6515.38	USD	284.50	
14SEP17	S 1 PD14DEC17	6490.00	6515.38	USD	-634.50	
14SEP17	S 1 PD14DEC17	6504.00	6515.38	USD	-284.50	
14SEP17	S 2 PD14DEC17	6514.50	6515.38	USD	-44.00	
14SEP17	B 1 PD14DEC17	6516.00	6515.38	USD	-15.50	
14SEP17	S 1 PD14DEC17	6526.00	6515.38	USD	265.50	
14SEP17	B 1 PD14DEC17	6526.00	6515.38	USD	-265.50	
	NET 0 AVG BGHT 6516.00 SOLD 6502.67				**-2,000.00**	**0.00**
15SEP17	B 1 PD15DEC17	6490.00	6515.77	USD	644.25	
15SEP17	S 1 PD15DEC17	6489.00	6515.77	USD	-669.25	
15SEP17	S 1 PD15DEC17	6495.00	6515.77	USD	-519.25	
15SEP17	B 1 PD15DEC17	6475.00	6515.77	USD	1,019.25	
15SEP17	B 1 PD15DEC17	6482.00	6515.77	USD	844.25	
15SEP17	S 1 PD15DEC17	6478.50	6515.77	USD	-931.75	
15SEP17	B 1 PD15DEC17	6491.50	6515.77	USD	606.75	
15SEP17	S 1 PD15DEC17	6479.50	6515.77	USD	-906.75	
	NET 0 AVG BGHT 6484.63 SOLD 6485.50				**87.50**	**0.00**
18SEP17	B 1 PD18DEC17	6542.00	6516.96	USD	-626.00	
18SEP17	B 1 PD18DEC17	6544.00	6516.96	USD	-676.00	
18SEP17	S 1 PD18DEC17	6542.00	6516.96	USD	626.00	
18SEP17	B 2 PD18DEC17	6539.50	6516.96	USD	-1,127.00	
18SEP17	S 3 PD18DEC17	6538.00	6516.96	USD	1,578.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119H

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
18SEP17	B 1 PD18DEC17	6527.00	6516.96	USD	-251.00	
18SEP17	S 1 PD18DEC17	6520.00	6516.96	USD	76.00	
18SEP17	B 1 PD18DEC17	6519.00	6516.96	USD	-51.00	
18SEP17	B 1 PD18DEC17	6520.50	6516.96	USD	-88.50	
18SEP17	S 2 PD18DEC17	6518.50	6516.96	USD	77.00	
	NET 0 AVG BGHT 6533.07 SOLD 6530.43				**-462.50**	**0.00**
19SEP17	B 1 PD19DEC17	6521.50	6517.35	USD	-103.75	
19SEP17	B 1 PD19DEC17	6530.00	6517.35	USD	-316.25	
19SEP17	S 1 PD19DEC17	6518.00	6517.35	USD	16.25	
19SEP17	S 1 PD19DEC17	6526.00	6517.35	USD	216.25	
19SEP17	B 1 PD19DEC17	6526.50	6517.35	USD	-228.75	
19SEP17	S 1 PD19DEC17	6525.50	6517.35	USD	203.75	
19SEP17	B 1 PD19DEC17	6514.00	6517.35	USD	83.75	
19SEP17	B 1 PD19DEC17	6520.00	6517.35	USD	-66.25	
19SEP17	S 1 PD19DEC17	6510.00	6517.35	USD	-183.75	
19SEP17	B 1 PD19DEC17	6515.00	6517.35	USD	58.75	
29SEP17	S 2 PD19DEC17	6478.78	6517.35	USD	-1,928.50	
	NET 0 AVG BGHT 6521.17 SOLD 6506.18				**-2,248.50**	**0.00**
20SEP17	S 1 PD20DEC17	6554.00	6517.75	USD	906.25	
20SEP17	S 1 PD20DEC17	6554.50	6517.75	USD	918.75	
20SEP17	B 1 PD20DEC17	6558.00	6517.75	USD	-1,006.25	
20SEP17	S 1 PD20DEC17	6555.00	6517.75	USD	931.25	
20SEP17	B 1 PD20DEC17	6512.00	6517.75	USD	143.75	
29SEP17	B 1 PD20DEC17	6479.45	6517.75	USD	957.50	
	NET 0 AVG BGHT 6516.48 SOLD 6554.50				**2,851.25**	**0.00**
21SEP17	S 1 PD21DEC17	6450.00	6516.75	USD	-1,668.75	
21SEP17	B 1 PD21DEC17	6460.00	6516.75	USD	1,418.75	
21SEP17	S 1 PD21DEC17	6455.00	6516.75	USD	-1,543.75	
21SEP17	S 1 PD21DEC17	6449.50	6516.75	USD	-1,681.25	
21SEP17	B 1 PD21DEC17	6444.00	6516.75	USD	1,818.75	
29SEP17	B 1 PD21DEC17	6478.45	6516.75	USD	957.50	
	NET 0 AVG BGHT 6460.82 SOLD 6451.50				**-698.75**	**0.00**
22SEP17	B 1 PD22DEC17	6408.00	6515.75	USD	2,693.75	
22SEP17	B 1 PD22DEC17	6418.00	6515.75	USD	2,443.75	
22SEP17	S 1 PD22DEC17	6415.00	6515.75	USD	-2,518.75	
22SEP17	S 1 PD22DEC17	6405.00	6515.75	USD	-2,768.75	
22SEP17	B 1 PD22DEC17	6375.00	6515.75	USD	3,518.75	
22SEP17	S 1 PD22DEC17	6370.00	6515.75	USD	-3,643.75	
22SEP17	S 1 PD22DEC17	6396.00	6515.75	USD	-2,993.75	
22SEP17	B 1 PD22DEC17	6401.00	6515.75	USD	2,868.75	
22SEP17	S 1 PD22DEC17	6409.50	6515.75	USD	-2,656.25	
22SEP17	B 1 PD22DEC17	6413.00	6515.75	USD	2,568.75	
	NET 0 AVG BGHT 6403.00 SOLD 6399.10				**-487.50**	**0.00**
25SEP17	B 1 PD27DEC17	6457.50	6517.52	USD	1,500.50	
25SEP17	S 1 PD27DEC17	6459.00	6517.52	USD	-1,463.00	
26SEP17	S 1 PD27DEC17	6521.00	6517.52	USD	87.00	
26SEP17	S 1 PD27DEC17	6525.00	6517.52	USD	187.00	
26SEP17	B 1 PD27DEC17	6505.00	6517.52	USD	313.00	
26SEP17	S 1 PD27DEC17	6516.00	6517.52	USD	-38.00	
26SEP17	B 1 PD27DEC17	6500.00	6517.52	USD	438.00	
26SEP17	B 1 PD27DEC17	6501.00	6517.52	USD	413.00	
26SEP17	B 1 PD27DEC17	6431.50	6517.52	USD	2,150.50	
26SEP17	S 1 PD27DEC17	6433.50	6517.52	USD	-2,100.50	
26SEP17	S 1 PD27DEC17	6439.50	6517.52	USD	-1,950.50	
26SEP17	S 1 PD27DEC17	6427.50	6517.52	USD	-2,250.50	
26SEP17	B 1 PD27DEC17	6413.00	6517.52	USD	2,613.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119H

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
26SEP17	B 1 PD27DEC17	6411.00	6517.52	USD	2,663.00	
26SEP17	B 1 PD27DEC17	6428.50	6517.52	USD	2,225.50	
26SEP17	B 1 PD27DEC17	6438.00	6517.52	USD	1,988.00	
27SEP17	S 1 PD27DEC17	6425.00	6517.52	USD	-2,313.00	
27SEP17	B 1 PD27DEC17	6449.00	6517.52	USD	1,713.00	
27SEP17	S 1 PD27DEC17	6448.00	6517.52	USD	-1,738.00	
27SEP17	S 1 PD27DEC17	6452.00	6517.52	USD	-1,638.00	
	NET 0 AVG BGHT 6453.45 SOLD 6464.65				**2,800.00**	**0.00**
28SEP17	B 1 PD28DEC17	6395.50	6517.88	USD	3,059.50	
28SEP17	S 1 PD28DEC17	6400.50	6517.88	USD	-2,934.50	
28SEP17	B 1 PD28DEC17	6410.00	6517.88	USD	2,697.00	
28SEP17	S 1 PD28DEC17	6425.50	6517.88	USD	-2,309.50	
28SEP17	S 1 PD28DEC17	6442.00	6517.88	USD	-1,897.00	
28SEP17	B 1 PD28DEC17	6443.00	6517.88	USD	1,872.00	
28SEP17	B 1 PD28DEC17	6443.50	6517.88	USD	1,859.50	
28SEP17	S 1 PD28DEC17	6447.50	6517.88	USD	-1,759.50	
28SEP17	B 1 PD28DEC17	6461.50	6517.88	USD	1,409.50	
28SEP17	S 1 PD28DEC17	6457.00	6517.88	USD	-1,522.00	
	NET 0 AVG BGHT 6430.70 SOLD 6434.50				**475.00**	**0.00**
29SEP17	S 1 PD29DEC17	6568.50	6518.23	USD	1,256.75	
29SEP17	B 1 PD29DEC17	6547.00	6518.23	USD	-719.25	
	NET 0 AVG BGHT 6547.00 SOLD 6568.50				**537.50**	**0.00**
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
22AUG17	S 1 PD22NOV17	2410.00	2588.90	USD	-4,472.50	
22AUG17	B 1 PD22NOV17	2400.50	2588.90	USD	4,710.00	
	NET 0 AVG BGHT 2400.50 SOLD 2410.00				**237.50**	**0.00**
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
18AUG17	B 1 PD17NOV17	11015.00	10577.77	USD	-2,623.38	
18AUG17	B 1 PD17NOV17	11030.00	10577.77	USD	-2,713.38	
18AUG17	S 2 PD17NOV17	10995.00	10577.77	USD	5,006.76	
	NET 0 AVG BGHT 11022.50 SOLD 10995.00				**-330.00**	**0.00**
29AUG17	S 1 PD29NOV17	11820.00	10588.40	USD	7,389.60	
29AUG17	B 1 PD29NOV17	11720.00	10588.40	USD	-6,789.60	
	NET 0 AVG BGHT 11720.00 SOLD 11820.00				**600.00**	**0.00**
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
18AUG17	B 1 PD17NOV17	20170.00	20867.50	USD	3,487.50	
18AUG17	B 1 PD17NOV17	20260.00	20867.50	USD	3,037.50	
18AUG17	B 1 PD17NOV17	20290.00	20867.50	USD	2,887.50	
18AUG17	B 1 PD17NOV17	20300.00	20867.50	USD	2,837.50	
18AUG17	B 1 PD17NOV17	20325.00	20867.50	USD	2,712.50	
18AUG17	S 1 PD17NOV17	20245.00	20867.50	USD	-3,112.50	
18AUG17	S 2 PD17NOV17	20285.00	20867.50	USD	-5,825.00	
18AUG17	S 2 PD17NOV17	20295.00	20867.50	USD	-5,725.00	
18AUG17	S 1 PD17NOV17	20330.00	20867.50	USD	-2,687.50	
18AUG17	B 1 PD17NOV17	20240.00	20867.50	USD	3,137.50	
	NET 0 AVG BGHT 20264.17 SOLD 20289.17				**750.00**	**0.00**
21AUG17	S 1 PD21NOV17	20350.00	20865.00	USD	-2,575.00	
21AUG17	B 1 PD21NOV17	20325.00	20865.00	USD	2,700.00	
21AUG17	B 2 PD21NOV17	20335.00	20865.00	USD	5,300.00	
21AUG17	S 2 PD21NOV17	20360.00	20865.00	USD	-5,050.00	
21AUG17	B 2 PD21NOV17	20355.00	20865.00	USD	5,100.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119H

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT								
21AUG17	S	1	PD21NOV17		20345.00	20865.00	USD	-2,600.00	
21AUG17	S	1	PD21NOV17		20380.00	20865.00	USD	-2,425.00	
21AUG17	S	1	PD21NOV17		20400.00	20865.00	USD	-2,325.00	
21AUG17	S	1	PD21NOV17		20445.00	20865.00	USD	-2,100.00	
21AUG17	B	1	PD21NOV17		20360.00	20865.00	USD	2,525.00	
21AUG17	B	2	PD21NOV17		20415.00	20865.00	USD	4,500.00	
21AUG17	S	1	PD21NOV17		20415.00	20865.00	USD	-2,250.00	
21AUG17	S	1	PD21NOV17		20480.00	20865.00	USD	-1,925.00	
21AUG17	B	1	PD21NOV17		20450.00	20865.00	USD	2,075.00	
	NET	**0**	**AVG BGHT 20371.67**	**SOLD 20392.78**				**950.00**	**0.00**
22AUG17	S	1	PD22NOV17		20430.00	20863.75	USD	-2,168.75	
22AUG17	B	1	PD22NOV17		20470.00	20863.75	USD	1,968.75	
22AUG17	S	1	PD22NOV17		20485.00	20863.75	USD	-1,893.75	
22AUG17	B	1	PD22NOV17		20430.00	20863.75	USD	2,168.75	
22AUG17	S	2	PD22NOV17		20490.00	20863.75	USD	-3,737.50	
22AUG17	B	1	PD22NOV17		20480.00	20863.75	USD	1,918.75	
22AUG17	B	1	PD22NOV17		20460.00	20863.75	USD	2,018.75	
22AUG17	S	2	PD22NOV17		20450.00	20863.75	USD	-4,137.50	
22AUG17	B	1	PD22NOV17		20355.00	20863.75	USD	2,543.75	
22AUG17	S	1	PD22NOV17		20370.00	20863.75	USD	-2,468.75	
22AUG17	S	2	PD22NOV17		20270.00	20863.75	USD	-5,937.50	
22AUG17	B	2	PD22NOV17		20255.00	20863.75	USD	6,087.50	
22AUG17	B	1	PD22NOV17		20265.00	20863.75	USD	2,993.75	
22AUG17	B	2	PD22NOV17		20420.00	20863.75	USD	4,437.50	
22AUG17	S	1	PD22NOV17		20330.00	20863.75	USD	-2,668.75	
	NET	**0**	**AVG BGHT 20381.00**	**SOLD 20403.50**				**1,125.00**	**0.00**
23AUG17	S	1	PD24NOV17		20330.00	20862.50	USD	-2,662.50	
23AUG17	B	1	PD24NOV17		20350.00	20862.50	USD	2,562.50	
23AUG17	S	1	PD24NOV17		20340.00	20862.50	USD	-2,612.50	
23AUG17	B	1	PD24NOV17		20270.00	20862.50	USD	2,962.50	
23AUG17	B	1	PD24NOV17		20320.00	20862.50	USD	2,712.50	
23AUG17	S	1	PD24NOV17		20270.00	20862.50	USD	-2,962.50	
23AUG17	S	2	PD24NOV17		20400.00	20862.50	USD	-4,625.00	
23AUG17	B	1	PD24NOV17		20385.00	20862.50	USD	2,387.50	
23AUG17	S	1	PD24NOV17		20445.00	20862.50	USD	-2,087.50	
23AUG17	S	1	PD24NOV17		20465.00	20862.50	USD	-1,987.50	
23AUG17	B	1	PD24NOV17		20380.00	20862.50	USD	2,412.50	
23AUG17	B	2	PD24NOV17		20505.00	20862.50	USD	3,575.00	
	NET	**0**	**AVG BGHT 20387.86**	**SOLD 20378.57**				**-325.00**	**0.00**
29AUG17	B	1	PD29NOV17		20570.00	20858.75	USD	1,443.75	
29AUG17	S	2	PD29NOV17		20590.00	20858.75	USD	-2,687.50	
29AUG17	B	2	PD29NOV17		20530.00	20858.75	USD	3,287.50	
29AUG17	S	2	PD29NOV17		20570.00	20858.75	USD	-2,887.50	
29AUG17	B	2	PD29NOV17		20415.00	20858.75	USD	4,437.50	
29AUG17	B	1	PD29NOV17		20545.00	20858.75	USD	1,568.75	
29AUG17	S	1	PD29NOV17		20415.00	20858.75	USD	-2,218.75	
29AUG17	S	1	PD29NOV17		20445.00	20858.75	USD	-2,068.75	
29AUG17	B	2	PD29NOV17		20525.00	20858.75	USD	3,337.50	
29AUG17	S	1	PD29NOV17		20545.00	20858.75	USD	-1,568.75	
29AUG17	B	1	PD29NOV17		20450.00	20858.75	USD	2,043.75	
29AUG17	S	2	PD29NOV17		20490.00	20858.75	USD	-3,687.50	
	NET	**0**	**AVG BGHT 20500.56**	**SOLD 20522.78**				**1,000.00**	**0.00**
30AUG17	S	1	PD30NOV17		20440.00	20857.50	USD	-2,087.50	
30AUG17	B	1	PD30NOV17		20395.00	20857.50	USD	2,312.50	
30AUG17	B	1	PD30NOV17		20365.00	20857.50	USD	2,462.50	
30AUG17	B	1	PD30NOV17		20370.00	20857.50	USD	2,437.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119H

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position			Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT							
30AUG17	S	1	PD30NOV17	20350.00	20857.50	USD	-2,537.50	
30AUG17	S	1	PD30NOV17	20390.00	20857.50	USD	-2,337.50	
30AUG17	S	1	PD30NOV17	20405.00	20857.50	USD	-2,262.50	
30AUG17	S	2	PD30NOV17	20500.00	20857.50	USD	-3,575.00	
30AUG17	S	1	PD30NOV17	20525.00	20857.50	USD	-1,662.50	
30AUG17	B	3	PD30NOV17	20600.00	20857.50	USD	3,862.50	
30AUG17	B	1	PD30NOV17	20650.00	20857.50	USD	1,037.50	
31AUG17	S	1	PD30NOV17	20620.00	20857.50	USD	-1,187.50	
31AUG17	S	1	PD30NOV17	20645.00	20857.50	USD	-1,062.50	
31AUG17	B	2	PD30NOV17	20660.00	20857.50	USD	1,975.00	
	NET	**0**	**AVG BGHT 20544.44**	**SOLD 20486.11**			**-2,625.00**	**0.00**
01SEP17	S	1	PD01DEC17	20730.00	20856.25	USD	-631.25	
01SEP17	B	1	PD01DEC17	20715.00	20856.25	USD	706.25	
01SEP17	B	2	PD01DEC17	20755.00	20856.25	USD	1,012.50	
01SEP17	S	1	PD01DEC17	20705.00	20856.25	USD	-756.25	
01SEP17	B	1	PD01DEC17	20700.00	20856.25	USD	781.25	
01SEP17	S	1	PD01DEC17	20735.00	20856.25	USD	-606.25	
01SEP17	S	2	PD01DEC17	20750.00	20856.25	USD	-1,062.50	
01SEP17	B	1	PD01DEC17	20705.00	20856.25	USD	756.25	
	NET	**0**	**AVG BGHT 20726.00**	**SOLD 20734.00**			**200.00**	**0.00**
04SEP17	S	1	PD04DEC17	20750.00	20855.00	USD	-525.00	
04SEP17	B	1	PD04DEC17	20675.00	20855.00	USD	900.00	
	NET	**0**	**AVG BGHT 20675.00**	**SOLD 20750.00**			**375.00**	**0.00**
05SEP17	B	1	PD05DEC17	20800.00	20853.75	USD	268.75	
05SEP17	B	1	PD05DEC17	20705.00	20853.75	USD	743.75	
05SEP17	B	1	PD05DEC17	20745.00	20853.75	USD	543.75	
05SEP17	S	1	PD05DEC17	20740.00	20853.75	USD	-568.75	
05SEP17	S	2	PD05DEC17	20750.00	20853.75	USD	-1,037.50	
	NET	**0**	**AVG BGHT 20750.00**	**SOLD 20746.67**			**-50.00**	**0.00**
06SEP17	S	1	PD06DEC17	20650.00	20852.50	USD	-1,012.50	
06SEP17	B	1	PD06DEC17	20655.00	20852.50	USD	987.50	
06SEP17	S	1	PD06DEC17	20765.00	20852.50	USD	-437.50	
29SEP17	B	1	PD06DEC17	20740.83	20852.50	USD	558.35	
	NET	**0**	**AVG BGHT 20697.92**	**SOLD 20707.50**			**95.85**	**0.00**
07SEP17	B	1	PD07DEC17	20740.00	20851.25	USD	556.25	
29SEP17	S	1	PD07DEC17	20737.75	20851.25	USD	-567.50	
	NET	**0**	**AVG BGHT 20740.00**	**SOLD 20737.75**			**-11.25**	**0.00**
08SEP17	S	1	PD08DEC17	20615.00	20850.00	USD	-1,175.00	
08SEP17	B	1	PD08DEC17	20620.00	20850.00	USD	1,150.00	
	NET	**0**	**AVG BGHT 20620.00**	**SOLD 20615.00**			**-25.00**	**0.00**
12SEP17	S	1	PD12DEC17	20700.00	20847.50	USD	-737.50	
12SEP17	B	1	PD12DEC17	20695.00	20847.50	USD	762.50	
	NET	**0**	**AVG BGHT 20695.00**	**SOLD 20700.00**			**25.00**	**0.00**
14SEP17	B	1	PD14DEC17	20570.00	20845.00	USD	1,375.00	
14SEP17	S	1	PD14DEC17	20595.00	20845.00	USD	-1,250.00	
14SEP17	S	2	PD14DEC17	20600.00	20845.00	USD	-2,450.00	
14SEP17	B	1	PD14DEC17	20580.00	20845.00	USD	1,325.00	
14SEP17	B	1	PD14DEC17	20615.00	20845.00	USD	1,150.00	
	NET	**0**	**AVG BGHT 20588.33**	**SOLD 20598.33**			**150.00**	**0.00**
15SEP17	S	1	PD15DEC17	20575.00	20843.75	USD	-1,343.75	
15SEP17	B	1	PD15DEC17	20545.00	20843.75	USD	1,493.75	
15SEP17	S	1	PD15DEC17	20570.00	20843.75	USD	-1,368.75	
15SEP17	B	1	PD15DEC17	20520.00	20843.75	USD	1,618.75	
	NET	**0**	**AVG BGHT 20532.50**	**SOLD 20572.50**			**400.00**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119H

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
18SEP17	S 1 PD18DEC17	20680.00	20842.50	USD	-812.50	
18SEP17	B 2 PD18DEC17	20680.00	20842.50	USD	1,625.00	
18SEP17	S 1 PD18DEC17	20735.00	20842.50	USD	-537.50	
18SEP17	B 1 PD18DEC17	20640.00	20842.50	USD	1,012.50	
18SEP17	S 1 PD18DEC17	20650.00	20842.50	USD	-962.50	
	NET 0 AVG BGHT 20666.67 SOLD 20688.33				**325.00**	**0.00**
19SEP17	B 1 PD19DEC17	20645.00	20841.25	USD	981.25	
19SEP17	B 2 PD19DEC17	20675.00	20841.25	USD	1,662.50	
19SEP17	S 2 PD19DEC17	20695.00	20841.25	USD	-1,462.50	
19SEP17	S 1 PD19DEC17	20670.00	20841.25	USD	-856.25	
19SEP17	B 1 PD19DEC17	20715.00	20841.25	USD	631.25	
19SEP17	S 1 PD19DEC17	20735.00	20841.25	USD	-531.25	
19SEP17	B 1 PD19DEC17	20705.00	20841.25	USD	681.25	
19SEP17	S 1 PD19DEC17	20725.00	20841.25	USD	-581.25	
19SEP17	S 1 PD19DEC17	20705.00	20841.25	USD	-681.25	
19SEP17	B 1 PD19DEC17	20685.00	20841.25	USD	781.25	
	NET 0 AVG BGHT 20683.33 SOLD 20704.17				**625.00**	**0.00**
20SEP17	S 1 PD20DEC17	20740.00	20840.00	USD	-500.00	
20SEP17	B 1 PD20DEC17	20750.00	20840.00	USD	450.00	
20SEP17	S 1 PD20DEC17	20725.00	20840.00	USD	-575.00	
20SEP17	B 1 PD20DEC17	20760.00	20840.00	USD	400.00	
20SEP17	S 1 PD20DEC17	20770.00	20840.00	USD	-350.00	
20SEP17	B 1 PD20DEC17	20655.00	20840.00	USD	925.00	
20SEP17	B 1 PD20DEC17	20630.00	20840.00	USD	1,050.00	
20SEP17	S 2 PD20DEC17	20675.00	20840.00	USD	-1,650.00	
20SEP17	B 1 PD20DEC17	20645.00	20840.00	USD	975.00	
	NET 0 AVG BGHT 20688.00 SOLD 20717.00				**725.00**	**0.00**
21SEP17	B 1 PD21DEC17	20510.00	20833.40	USD	1,617.00	
21SEP17	S 5 PD21DEC17	20560.00	20833.40	USD	-6,835.00	
21SEP17	B 1 PD21DEC17	20535.00	20833.40	USD	1,492.00	
21SEP17	B 1 PD21DEC17	20540.00	20833.40	USD	1,467.00	
21SEP17	B 1 PD21DEC17	20550.00	20833.40	USD	1,417.00	
21SEP17	B 1 PD21DEC17	20560.00	20833.40	USD	1,367.00	
21SEP17	B 1 PD21DEC17	20500.00	20833.40	USD	1,667.00	
21SEP17	S 1 PD21DEC17	20480.00	20833.40	USD	-1,767.00	
	NET 0 AVG BGHT 20532.50 SOLD 20546.67				**425.00**	**0.00**
22SEP17	B 1 PD22DEC17	20580.00	20826.80	USD	1,234.00	
22SEP17	S 1 PD22DEC17	20580.00	20826.80	USD	-1,234.00	
	NET 0 AVG BGHT 20580.00 SOLD 20580.00				**0.00**	**0.00**
25SEP17	B 2 PD27DEC17	20625.00	20820.20	USD	1,952.00	
25SEP17	S 1 PD27DEC17	20605.00	20820.20	USD	-1,076.00	
25SEP17	B 1 PD27DEC17	20545.00	20820.20	USD	1,376.00	
25SEP17	S 1 PD27DEC17	20585.00	20820.20	USD	-1,176.00	
25SEP17	S 2 PD27DEC17	20570.00	20820.20	USD	-2,502.00	
25SEP17	B 1 PD27DEC17	20570.00	20820.20	USD	1,251.00	
26SEP17	S 1 PD27DEC17	20740.00	20820.20	USD	-401.00	
26SEP17	B 1 PD27DEC17	20700.00	20820.20	USD	601.00	
26SEP17	B 1 PD27DEC17	20660.00	20820.20	USD	801.00	
26SEP17	S 1 PD27DEC17	20685.00	20820.20	USD	-676.00	
27SEP17	B 1 PD27DEC17	20655.00	20820.20	USD	826.00	
27SEP17	S 1 PD27DEC17	20680.00	20820.20	USD	-701.00	
27SEP17	S 1 PD27DEC17	20685.00	20820.20	USD	-676.00	
27SEP17	B 1 PD27DEC17	20700.00	20820.20	USD	601.00	
	NET 0 AVG BGHT 20635.00 SOLD 20640.00				**200.00**	**0.00**
28SEP17	S 1 PD28DEC17	20695.00	20813.60	USD	-593.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119H

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
28SEP17	B 1 PD28DEC17	20710.00	20813.60	USD	518.00	
	NET 0 AVG BGHT 20710.00 SOLD 20695.00				**-75.00**	**0.00**
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
08SEP17	B 1 PD08DEC17	3032.00	3266.21	USD	5,855.25	
08SEP17	S 1 PD08DEC17	3028.50	3266.21	USD	-5,942.75	
	NET 0 AVG BGHT 3032.00 SOLD 3028.50				**-87.50**	**0.00**

OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	-5,666.75
LME Lead 25 tonnes. This is an	FUTURES	237.50
LME Nickel 6 tonnes. This is	FUTURES	270.00
LME Tin 5 tonnes. This is an	FUTURES	4,259.60
LME Zinc 25 tonnes. This is	FUTURES	-87.50

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards			Amount	Profit / Loss	**Total Amount**	**Total P&L**
COPL	- Futures	USD	-5,666.75	-5,666.75		
LEAD	- Futures	USD	237.50	237.50		
NKL	- Futures	USD	270.00	270.00		
TIN	- Futures	USD	4,259.60	4,259.60		
ZINC	- Futures	USD	-87.50	-87.50	**-987.15**	**-987.15**

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		3,453.42	3,453.42
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**3,453.42**	**3,453.42**
Initial Margins		-987.15	-987.15
Excess/(Shortage)		**2,466.27**	**2,466.27**
FOR INFORMATION ONLY			
Forward Unrealised P&L		-987.15	-987.15
Net Liquidating Value		**2,466.27**	**2,466.27**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119I

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT								
23AUG17	S	1	PD24NOV17		6564.50	6505.80	USD	1,467.50	
23AUG17	B	1	PD24NOV17		6550.00	6505.80	USD	-1,105.00	
24AUG17	B	1	PD24NOV17		6650.00	6505.80	USD	-3,605.00	
24AUG17	S	1	PD24NOV17		6638.50	6505.80	USD	3,317.50	
24AUG17	S	2	PD24NOV17		6705.00	6505.80	USD	9,960.00	
24AUG17	S	1	PD24NOV17		6710.00	6505.80	USD	5,105.00	
29SEP17	B	3	PD24NOV17		6467.42	6505.80	USD	2,878.50	
	NET	**0**	**AVG BGHT 6520.45**	**SOLD 6664.60**				**18,018.50**	**0.00**
30AUG17	B	1	PD30NOV17		6769.00	6509.40	USD	-6,490.00	
31AUG17	B	1	PD30NOV17		6844.00	6509.40	USD	-8,365.00	
31AUG17	B	1	PD30NOV17		6846.00	6509.40	USD	-8,415.00	
31AUG17	S	1	PD30NOV17		6855.50	6509.40	USD	8,652.50	
31AUG17	S	1	PD30NOV17		6856.00	6509.40	USD	8,665.00	
31AUG17	B	1	PD30NOV17		6795.00	6509.40	USD	-7,140.00	
31AUG17	B	1	PD30NOV17		6800.00	6509.40	USD	-7,265.00	
29SEP17	S	3	PD30NOV17		6470.72	6509.40	USD	-2,901.00	
	NET	**0**	**AVG BGHT 6810.80**	**SOLD 6624.73**				**-23,258.50**	**0.00**
01SEP17	S	1	PD01DEC17		6824.00	6510.00	USD	7,850.00	
01SEP17	B	2	PD01DEC17		6803.00	6510.00	USD	-14,650.00	
01SEP17	S	1	PD01DEC17		6812.00	6510.00	USD	7,550.00	
01SEP17	S	2	PD01DEC17		6823.00	6510.00	USD	15,650.00	
01SEP17	S	1	PD01DEC17		6818.00	6510.00	USD	7,700.00	
29SEP17	B	3	PD01DEC17		6471.50	6510.00	USD	2,887.50	
	NET	**0**	**AVG BGHT 6604.10**	**SOLD 6820.00**				**26,987.50**	**0.00**
04SEP17	B	1	PD04DEC17		6885.00	6511.29	USD	-9,342.75	
04SEP17	S	1	PD04DEC17		6895.00	6511.29	USD	9,592.75	
04SEP17	S	1	PD04DEC17		6915.00	6511.29	USD	10,092.75	
04SEP17	B	1	PD04DEC17		6916.50	6511.29	USD	-10,130.25	
	NET	**0**	**AVG BGHT 6900.75**	**SOLD 6905.00**				**212.50**	**0.00**
05SEP17	B	1	PD05DEC17		6954.00	6511.71	USD	-11,057.25	
05SEP17	B	1	PD05DEC17		6955.00	6511.71	USD	-11,082.25	
05SEP17	B	1	PD05DEC17		6901.00	6511.71	USD	-9,732.25	
29SEP17	S	3	PD05DEC17		6472.05	6511.71	USD	-2,974.50	
	NET	**0**	**AVG BGHT 6936.67**	**SOLD 6472.05**				**-34,846.25**	**0.00**
06SEP17	S	1	PD06DEC17		6887.00	6512.14	USD	9,371.50	
06SEP17	S	1	PD06DEC17		6911.00	6512.14	USD	9,971.50	
06SEP17	S	2	PD06DEC17		6910.00	6512.14	USD	19,893.00	
06SEP17	S	1	PD06DEC17		6917.00	6512.14	USD	10,121.50	
06SEP17	S	1	PD06DEC17		6924.00	6512.14	USD	10,296.50	
06SEP17	B	1	PD06DEC17		6917.00	6512.14	USD	-10,121.50	
06SEP17	B	2	PD06DEC17		6885.00	6512.14	USD	-18,643.00	
06SEP17	B	3	PD06DEC17		6886.00	6512.14	USD	-28,039.50	
06SEP17	S	1	PD06DEC17		6879.00	6512.14	USD	9,171.50	
06SEP17	S	1	PD06DEC17		6886.00	6512.14	USD	9,346.50	
06SEP17	B	1	PD06DEC17		6870.00	6512.14	USD	-8,946.50	
06SEP17	B	1	PD06DEC17		6870.50	6512.14	USD	-8,959.00	
06SEP17	S	2	PD06DEC17		6892.00	6512.14	USD	18,993.00	
06SEP17	S	1	PD06DEC17		6900.00	6512.14	USD	9,696.50	
29SEP17	B	3	PD06DEC17		6472.72	6512.14	USD	2,956.50	
	NET	**0**	**AVG BGHT 6773.06**	**SOLD 6900.73**				**35,108.50**	**0.00**
07SEP17	B	1	PD07DEC17		6858.00	6512.57	USD	-8,635.75	
07SEP17	B	1	PD07DEC17		6860.00	6512.57	USD	-8,685.75	
07SEP17	B	1	PD07DEC17		6856.00	6512.57	USD	-8,585.75	
07SEP17	B	1	PD07DEC17		6875.00	6512.57	USD	-9,060.75	
07SEP17	S	2	PD07DEC17		6875.00	6512.57	USD	18,121.50	
07SEP17	S	1	PD07DEC17		6878.00	6512.57	USD	9,135.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119I

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
07SEP17	S 1 PD07DEC17	6905.00	6512.57	USD	9,810.75	
	NET 0 AVG BGHT 6862.25 SOLD 6883.25				**2,100.00**	**0.00**
08SEP17	B 1 PD08DEC17	6910.00	6513.00	USD	-9,925.00	
08SEP17	B 1 PD08DEC17	6922.00	6513.00	USD	-10,225.00	
08SEP17	B 1 PD08DEC17	6924.00	6513.00	USD	-10,275.00	
08SEP17	B 1 PD08DEC17	6845.00	6513.00	USD	-8,300.00	
08SEP17	B 2 PD08DEC17	6850.00	6513.00	USD	-16,850.00	
08SEP17	S 1 PD08DEC17	6829.00	6513.00	USD	7,900.00	
08SEP17	S 2 PD08DEC17	6837.00	6513.00	USD	16,200.00	
08SEP17	B 1 PD08DEC17	6815.00	6513.00	USD	-7,550.00	
08SEP17	S 1 PD08DEC17	6835.00	6513.00	USD	8,050.00	
08SEP17	B 1 PD08DEC17	6793.00	6513.00	USD	-7,000.00	
08SEP17	B 1 PD08DEC17	6785.00	6513.00	USD	-6,800.00	
08SEP17	B 1 PD08DEC17	6835.00	6513.00	USD	-8,050.00	
08SEP17	S 2 PD08DEC17	6750.00	6513.00	USD	11,850.00	
08SEP17	B 1 PD08DEC17	6715.00	6513.00	USD	-5,050.00	
08SEP17	B 1 PD08DEC17	6695.00	6513.00	USD	-4,550.00	
08SEP17	S 3 PD08DEC17	6675.50	6513.00	USD	12,187.50	
08SEP17	S 2 PD08DEC17	6685.00	6513.00	USD	8,600.00	
08SEP17	B 2 PD08DEC17	6685.00	6513.00	USD	-8,600.00	
29SEP17	S 3 PD08DEC17	6473.45	6513.00	USD	-2,966.25	
	NET 0 AVG BGHT 6807.79 SOLD 6689.63				**-41,353.75**	**0.00**
11SEP17	B 1 PD11DEC17	6726.00	6514.19	USD	-5,295.25	
11SEP17	S 1 PD11DEC17	6734.00	6514.19	USD	5,495.25	
11SEP17	B 1 PD11DEC17	6725.00	6514.19	USD	-5,270.25	
11SEP17	S 1 PD11DEC17	6724.00	6514.19	USD	5,245.25	
11SEP17	S 1 PD11DEC17	6738.00	6514.19	USD	5,595.25	
11SEP17	B 1 PD11DEC17	6730.00	6514.19	USD	-5,395.25	
	NET 0 AVG BGHT 6727.00 SOLD 6732.00				**375.00**	**0.00**
12SEP17	S 1 PD12DEC17	6706.50	6514.58	USD	4,798.00	
12SEP17	B 1 PD12DEC17	6691.00	6514.58	USD	-4,410.50	
12SEP17	B 1 PD12DEC17	6695.00	6514.58	USD	-4,510.50	
12SEP17	S 1 PD12DEC17	6705.00	6514.58	USD	4,760.50	
12SEP17	B 1 PD12DEC17	6647.00	6514.58	USD	-3,310.50	
12SEP17	S 1 PD12DEC17	6656.50	6514.58	USD	3,548.00	
	NET 0 AVG BGHT 6677.67 SOLD 6689.33				**875.00**	**0.00**
13SEP17	B 1 PD13DEC17	6632.00	6514.98	USD	-2,925.50	
13SEP17	B 1 PD13DEC17	6645.00	6514.98	USD	-3,250.50	
13SEP17	S 1 PD13DEC17	6627.00	6514.98	USD	2,800.50	
13SEP17	S 1 PD13DEC17	6629.00	6514.98	USD	2,850.50	
13SEP17	B 1 PD13DEC17	6609.00	6514.98	USD	-2,350.50	
13SEP17	B 2 PD13DEC17	6612.00	6514.98	USD	-4,851.00	
13SEP17	S 1 PD13DEC17	6600.00	6514.98	USD	2,125.50	
13SEP17	B 1 PD13DEC17	6561.00	6514.98	USD	-1,150.50	
13SEP17	S 1 PD13DEC17	6578.00	6514.98	USD	1,575.50	
13SEP17	B 1 PD13DEC17	6569.00	6514.98	USD	-1,350.50	
13SEP17	S 2 PD13DEC17	6570.00	6514.98	USD	2,751.00	
13SEP17	S 1 PD13DEC17	6575.00	6514.98	USD	1,500.50	
13SEP17	B 1 PD13DEC17	6555.00	6514.98	USD	-1,000.50	
13SEP17	B 2 PD13DEC17	6560.00	6514.98	USD	-2,251.00	
29SEP17	S 3 PD13DEC17	6475.98	6514.98	USD	-2,925.00	
	NET 0 AVG BGHT 6591.50 SOLD 6557.69				**-8,451.50**	**0.00**
14SEP17	S 1 PD14DEC17	6493.00	6515.38	USD	-559.50	
14SEP17	S 1 PD14DEC17	6495.00	6515.38	USD	-509.50	
14SEP17	S 1 PD14DEC17	6496.50	6515.38	USD	-472.00	
14SEP17	B 1 PD14DEC17	6489.00	6515.38	USD	659.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119I

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
14SEP17	B 1 PD14DEC17	6461.00	6515.38	USD	1,359.50	
14SEP17	S 1 PD14DEC17	6488.00	6515.38	USD	-684.50	
14SEP17	S 2 PD14DEC17	6499.00	6515.38	USD	-819.00	
14SEP17	S 1 PD14DEC17	6511.00	6515.38	USD	-109.50	
14SEP17	B 1 PD14DEC17	6496.00	6515.38	USD	484.50	
14SEP17	B 1 PD14DEC17	6500.00	6515.38	USD	384.50	
14SEP17	B 1 PD14DEC17	6514.00	6515.38	USD	34.50	
14SEP17	S 1 PD14DEC17	6513.00	6515.38	USD	-59.50	
14SEP17	S 1 PD14DEC17	6525.50	6515.38	USD	253.00	
14SEP17	B 1 PD14DEC17	6522.50	6515.38	USD	-178.00	
29SEP17	B 3 PD14DEC17	6476.65	6515.38	USD	2,904.75	
	NET 0 AVG BGHT 6490.27 SOLD 6502.22				**2,688.75**	**0.00**
15SEP17	S 2 PD15DEC17	6498.50	6515.77	USD	-863.50	
15SEP17	B 1 PD15DEC17	6488.50	6515.77	USD	681.75	
15SEP17	B 1 PD15DEC17	6506.00	6515.77	USD	244.25	
15SEP17	S 1 PD15DEC17	6504.00	6515.77	USD	-294.25	
15SEP17	B 1 PD15DEC17	6512.00	6515.77	USD	94.25	
15SEP17	B 1 PD15DEC17	6503.00	6515.77	USD	319.25	
15SEP17	B 1 PD15DEC17	6490.00	6515.77	USD	644.25	
15SEP17	S 1 PD15DEC17	6478.00	6515.77	USD	-944.25	
15SEP17	B 1 PD15DEC17	6472.00	6515.77	USD	1,094.25	
15SEP17	B 2 PD15DEC17	6473.00	6515.77	USD	2,138.50	
15SEP17	S 3 PD15DEC17	6469.00	6515.77	USD	-3,507.75	
15SEP17	S 1 PD15DEC17	6467.50	6515.77	USD	-1,206.75	
15SEP17	B 1 PD15DEC17	6465.00	6515.77	USD	1,269.25	
15SEP17	S 1 PD15DEC17	6455.50	6515.77	USD	-1,506.75	
	NET 0 AVG BGHT 6486.94 SOLD 6478.78				**-1,837.50**	**0.00**
18SEP17	S 1 PD18DEC17	6551.50	6516.96	USD	863.50	
18SEP17	B 2 PD18DEC17	6550.00	6516.96	USD	-1,652.00	
18SEP17	S 1 PD18DEC17	6556.00	6516.96	USD	976.00	
18SEP17	S 1 PD18DEC17	6560.50	6516.96	USD	1,088.50	
18SEP17	B 1 PD18DEC17	6535.50	6516.96	USD	-463.50	
18SEP17	B 1 PD18DEC17	6537.50	6516.96	USD	-513.50	
18SEP17	B 1 PD18DEC17	6545.00	6516.96	USD	-701.00	
18SEP17	S 1 PD18DEC17	6540.00	6516.96	USD	576.00	
18SEP17	S 1 PD18DEC17	6542.00	6516.96	USD	626.00	
	NET 0 AVG BGHT 6543.60 SOLD 6550.00				**800.00**	**0.00**
19SEP17	B 1 PD19DEC17	6517.50	6517.35	USD	-3.75	
19SEP17	B 1 PD19DEC17	6533.00	6517.35	USD	-391.25	
19SEP17	S 1 PD19DEC17	6518.00	6517.35	USD	16.25	
19SEP17	S 1 PD19DEC17	6519.50	6517.35	USD	53.75	
19SEP17	B 1 PD19DEC17	6537.00	6517.35	USD	-491.25	
19SEP17	S 1 PD19DEC17	6532.00	6517.35	USD	366.25	
19SEP17	S 1 PD19DEC17	6520.00	6517.35	USD	66.25	
19SEP17	B 4 PD19DEC17	6525.00	6517.35	USD	-765.00	
19SEP17	B 1 PD19DEC17	6509.00	6517.35	USD	208.75	
19SEP17	S 2 PD19DEC17	6509.00	6517.35	USD	-417.50	
19SEP17	B 1 PD19DEC17	6519.00	6517.35	USD	-41.25	
29SEP17	S 3 PD19DEC17	6478.78	6517.35	USD	-2,892.75	
	NET 0 AVG BGHT 6523.94 SOLD 6504.87				**-4,291.50**	**0.00**
20SEP17	S 1 PD20DEC17	6565.00	6517.75	USD	1,181.25	
20SEP17	B 1 PD20DEC17	6558.00	6517.75	USD	-1,006.25	
20SEP17	S 1 PD20DEC17	6558.00	6517.75	USD	1,006.25	
20SEP17	B 1 PD20DEC17	6515.00	6517.75	USD	68.75	
	NET 0 AVG BGHT 6536.50 SOLD 6561.50				**1,250.00**	**0.00**
21SEP17	S 1 PD21DEC17	6455.00	6516.75	USD	-1,543.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119I

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
21SEP17	S 1 PD21DEC17	6445.00	6516.75	USD	-1,793.75	
21SEP17	B 1 PD21DEC17	6430.00	6516.75	USD	2,168.75	
21SEP17	S 1 PD21DEC17	6450.00	6516.75	USD	-1,668.75	
21SEP17	S 2 PD21DEC17	6460.50	6516.75	USD	-2,812.50	
21SEP17	B 1 PD21DEC17	6466.00	6516.75	USD	1,268.75	
21SEP17	S 1 PD21DEC17	6474.00	6516.75	USD	-1,068.75	
21SEP17	B 1 PD21DEC17	6462.00	6516.75	USD	1,368.75	
21SEP17	B 1 PD21DEC17	6477.00	6516.75	USD	993.75	
21SEP17	B 1 PD21DEC17	6479.00	6516.75	USD	943.75	
21SEP17	S 1 PD21DEC17	6479.00	6516.75	USD	-943.75	
21SEP17	S 1 PD21DEC17	6482.00	6516.75	USD	-868.75	
29SEP17	B 3 PD21DEC17	6478.45	6516.75	USD	2,872.50	
	NET 0 AVG BGHT 6468.67 SOLD 6463.25				**-1,083.75**	**0.00**
22SEP17	B 1 PD22DEC17	6430.00	6515.75	USD	2,143.75	
22SEP17	S 1 PD22DEC17	6426.00	6515.75	USD	-2,243.75	
22SEP17	B 1 PD22DEC17	6428.00	6515.75	USD	2,193.75	
22SEP17	S 1 PD22DEC17	6438.00	6515.75	USD	-1,943.75	
22SEP17	B 1 PD22DEC17	6416.00	6515.75	USD	2,493.75	
22SEP17	B 1 PD22DEC17	6420.00	6515.75	USD	2,393.75	
22SEP17	B 1 PD22DEC17	6425.00	6515.75	USD	2,268.75	
22SEP17	S 1 PD22DEC17	6417.00	6515.75	USD	-2,468.75	
22SEP17	B 1 PD22DEC17	6375.00	6515.75	USD	3,518.75	
22SEP17	S 2 PD22DEC17	6396.00	6515.75	USD	-5,987.50	
22SEP17	S 1 PD22DEC17	6420.00	6515.75	USD	-2,393.75	
22SEP17	S 1 PD22DEC17	6428.00	6515.75	USD	-2,193.75	
22SEP17	B 1 PD22DEC17	6435.00	6515.75	USD	2,018.75	
22SEP17	S 1 PD22DEC17	6435.00	6515.75	USD	-2,018.75	
22SEP17	B 1 PD22DEC17	6442.00	6515.75	USD	1,843.75	
22SEP17	S 1 PD22DEC17	6439.00	6515.75	USD	-1,918.75	
22SEP17	B 1 PD22DEC17	6425.50	6515.75	USD	2,256.25	
	NET 0 AVG BGHT 6421.83 SOLD 6421.67				**-37.50**	**0.00**
25SEP17	B 1 PD27DEC17	6462.00	6517.52	USD	1,388.00	
25SEP17	S 1 PD27DEC17	6458.00	6517.52	USD	-1,488.00	
26SEP17	S 1 PD27DEC17	6520.00	6517.52	USD	62.00	
26SEP17	S 1 PD27DEC17	6524.00	6517.52	USD	162.00	
26SEP17	B 1 PD27DEC17	6501.00	6517.52	USD	413.00	
26SEP17	B 1 PD27DEC17	6505.00	6517.52	USD	313.00	
26SEP17	B 1 PD27DEC17	6440.00	6517.52	USD	1,938.00	
26SEP17	B 1 PD27DEC17	6450.00	6517.52	USD	1,688.00	
26SEP17	S 2 PD27DEC17	6435.00	6517.52	USD	-4,126.00	
26SEP17	B 1 PD27DEC17	6415.00	6517.52	USD	2,563.00	
26SEP17	B 2 PD27DEC17	6410.00	6517.52	USD	5,376.00	
27SEP17	S 1 PD27DEC17	6452.00	6517.52	USD	-1,638.00	
27SEP17	S 2 PD27DEC17	6453.00	6517.52	USD	-3,226.00	
27SEP17	S 1 PD27DEC17	6443.00	6517.52	USD	-1,863.00	
27SEP17	B 1 PD27DEC17	6438.00	6517.52	USD	1,988.00	
	NET 0 AVG BGHT 6447.89 SOLD 6463.67				**3,550.00**	**0.00**
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
19SEP17	S 1 PD19DEC17	2415.00	2592.37	USD	-4,434.25	
19SEP17	B 1 PD19DEC17	2410.00	2592.37	USD	4,559.25	
	NET 0 AVG BGHT 2410.00 SOLD 2415.00				**125.00**	**0.00**
25SEP17	B 1 PD27DEC17	2500.00	2591.86	USD	2,296.50	
25SEP17	S 1 PD27DEC17	2500.00	2591.86	USD	-2,296.50	
	NET 0 AVG BGHT 2500.00 SOLD 2500.00				**0.00**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119I

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME NICKEL 6 TONNES. THIS IS AN LME LME REGISTERED CLIENT CONTRACT					
01SEP17	S 1 PD01DEC17	11935.00	10590.17	USD	8,068.98	
01SEP17	B 1 PD01DEC17	12020.00	10590.17	USD	-8,578.98	
	NET 0 AVG BGHT 12020.00 SOLD 11935.00				**-510.00**	**0.00**
04SEP17	B 1 PD04DEC17	12120.00	10592.83	USD	-9,163.02	
04SEP17	S 1 PD04DEC17	12220.00	10592.83	USD	9,763.02	
	NET 0 AVG BGHT 12120.00 SOLD 12220.00				**600.00**	**0.00**
06SEP17	S 1 PD06DEC17	12070.00	10594.60	USD	8,852.40	
06SEP17	B 1 PD06DEC17	11970.00	10594.60	USD	-8,252.40	
06SEP17	B 1 PD06DEC17	12005.00	10594.60	USD	-8,462.40	
06SEP17	S 1 PD06DEC17	12075.00	10594.60	USD	8,882.40	
	NET 0 AVG BGHT 11987.50 SOLD 12072.50				**1,020.00**	**0.00**
08SEP17	S 1 PD08DEC17	11870.00	10596.37	USD	7,641.78	
08SEP17	B 1 PD08DEC17	11955.00	10596.37	USD	-8,151.78	
08SEP17	B 2 PD08DEC17	11545.00	10596.37	USD	-11,383.56	
08SEP17	S 1 PD08DEC17	11540.00	10596.37	USD	5,661.78	
08SEP17	S 1 PD08DEC17	11580.00	10596.37	USD	5,901.78	
	NET 0 AVG BGHT 11681.67 SOLD 11663.33				**-330.00**	**0.00**
13SEP17	B 1 PD13DEC17	11450.00	10600.80	USD	-5,095.20	
13SEP17	S 1 PD13DEC17	11390.00	10600.80	USD	4,735.20	
	NET 0 AVG BGHT 11450.00 SOLD 11390.00				**-360.00**	**0.00**
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
23AUG17	B 1 PD24NOV17	20355.00	20862.50	USD	2,537.50	
23AUG17	S 1 PD24NOV17	20390.00	20862.50	USD	-2,362.50	
24AUG17	S 1 PD24NOV17	20470.00	20862.50	USD	-1,962.50	
24AUG17	B 1 PD24NOV17	20445.00	20862.50	USD	2,087.50	
24AUG17	B 1 PD24NOV17	20420.00	20862.50	USD	2,212.50	
24AUG17	S 1 PD24NOV17	20465.00	20862.50	USD	-1,987.50	
24AUG17	S 1 PD24NOV17	20475.00	20862.50	USD	-1,937.50	
24AUG17	B 1 PD24NOV17	20450.00	20862.50	USD	2,062.50	
25AUG17	B 1 PD24NOV17	20410.00	20862.50	USD	2,262.50	
25AUG17	B 1 PD24NOV17	20400.00	20862.50	USD	2,312.50	
25AUG17	S 1 PD24NOV17	20415.00	20862.50	USD	-2,237.50	
25AUG17	S 1 PD24NOV17	20420.00	20862.50	USD	-2,212.50	
	NET 0 AVG BGHT 20413.33 SOLD 20439.17				**775.00**	**0.00**
29AUG17	B 2 PD29NOV17	20430.00	20858.75	USD	4,287.50	
29AUG17	S 1 PD29NOV17	20430.00	20858.75	USD	-2,143.75	
29AUG17	S 1 PD29NOV17	20465.00	20858.75	USD	-1,968.75	
	NET 0 AVG BGHT 20430.00 SOLD 20447.50				**175.00**	**0.00**
06SEP17	B 2 PD06DEC17	20600.00	20852.50	USD	2,525.00	
06SEP17	S 1 PD06DEC17	20650.00	20852.50	USD	-1,012.50	
06SEP17	S 1 PD06DEC17	20640.00	20852.50	USD	-1,062.50	
	NET 0 AVG BGHT 20600.00 SOLD 20645.00				**450.00**	**0.00**
22SEP17	S 2 PD22DEC17	20455.00	20826.80	USD	-3,718.00	
22SEP17	B 1 PD22DEC17	20450.00	20826.80	USD	1,884.00	
22SEP17	B 1 PD22DEC17	20520.00	20826.80	USD	1,534.00	
	NET 0 AVG BGHT 20485.00 SOLD 20455.00				**-300.00**	**0.00**
25SEP17	B 1 PD27DEC17	20625.00	20820.20	USD	976.00	
25SEP17	S 1 PD27DEC17	20605.00	20820.20	USD	-1,076.00	
	NET 0 AVG BGHT 20625.00 SOLD 20605.00				**-100.00**	**0.00**
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
04SEP17	B 1 PD04DEC17	3185.00	3265.79	USD	2,019.75	
04SEP17	S 1 PD04DEC17	3173.00	3265.79	USD	-2,319.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119I

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
	NET 0 AVG BGHT 3185.00 SOLD 3173.00				**-300.00**	**0.00**
11SEP17	S 1 PD11DEC17	3090.00	3266.54	USD	-4,413.50	
11SEP17	B 1 PD11DEC17	3103.00	3266.54	USD	4,088.50	
	NET 0 AVG BGHT 3103.00 SOLD 3090.00				**-325.00**	**0.00**
13SEP17	S 1 PD13DEC17	3027.00	3266.75	USD	-5,993.75	
13SEP17	B 1 PD13DEC17	3032.00	3266.75	USD	5,868.75	
	NET 0 AVG BGHT 3032.00 SOLD 3027.00				**-125.00**	**0.00**
25SEP17	S 1 PD27DEC17	3097.50	3264.00	USD	-4,162.50	
25SEP17	B 1 PD27DEC17	3092.00	3264.00	USD	4,300.00	
	NET 0 AVG BGHT 3092.00 SOLD 3097.50				**137.50**	**0.00**

OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	-23,194.50
LME Lead 25 tonnes. This is an	FUTURES	125.00
LME Nickel 6 tonnes. This is	FUTURES	420.00
LME Tin 5 tonnes. This is an	FUTURES	1,000.00
LME Zinc 25 tonnes. This is	FUTURES	-612.50

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards			Amount	Profit / Loss	**Total Amount**	**Total P&L**
COPL	- Futures	USD	-23,194.50	-23,194.50		
LEAD	- Futures	USD	125.00	125.00		
NKL	- Futures	USD	420.00	420.00		
TIN	- Futures	USD	1,000.00	1,000.00		
ZINC	- Futures	USD	-612.50	-612.50	**-22,262.00**	**-22,262.00**

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		19,694.16	19,694.16
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**19,694.16**	**19,694.16**
Initial Margins		-22,262.00	-22,262.00
Excess/(Shortage)		**-2,567.84**	**-2,567.84**
FOR INFORMATION ONLY			
Forward Unrealised P&L		-22,262.00	-22,262.00
Net Liquidating Value		**-2,567.84**	**-2,567.84**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119J

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
23AUG17	B 1 PD24NOV17	6547.00	6505.80	USD	-1,030.00	
23AUG17	S 1 PD24NOV17	6564.00	6505.80	USD	1,455.00	
24AUG17	S 1 PD24NOV17	6608.50	6505.80	USD	2,567.50	
24AUG17	S 1 PD24NOV17	6616.00	6505.80	USD	2,755.00	
24AUG17	B 2 PD24NOV17	6620.00	6505.80	USD	-5,710.00	
24AUG17	S 1 PD24NOV17	6679.50	6505.80	USD	4,342.50	
24AUG17	B 1 PD24NOV17	6680.50	6505.80	USD	-4,367.50	
24AUG17	S 1 PD24NOV17	6711.00	6505.80	USD	5,130.00	
24AUG17	S 1 PD24NOV17	6705.00	6505.80	USD	4,980.00	
25AUG17	B 1 PD24NOV17	6728.50	6505.80	USD	-5,567.50	
29SEP17	B 1 PD24NOV17	6467.42	6505.80	USD	959.50	
	NET 0 AVG BGHT 6610.57 SOLD 6647.33				**5,514.50**	**0.00**
29AUG17	B 1 PD29NOV17	6755.00	6508.80	USD	-6,155.00	
29AUG17	S 1 PD29NOV17	6803.50	6508.80	USD	7,367.50	
	NET 0 AVG BGHT 6755.00 SOLD 6803.50				**1,212.50**	**0.00**
30AUG17	B 1 PD30NOV17	6775.00	6509.40	USD	-6,640.00	
30AUG17	B 1 PD30NOV17	6802.50	6509.40	USD	-7,327.50	
30AUG17	S 1 PD30NOV17	6801.00	6509.40	USD	7,290.00	
29SEP17	S 1 PD30NOV17	6470.72	6509.40	USD	-967.00	
	NET 0 AVG BGHT 6788.75 SOLD 6635.86				**-7,644.50**	**0.00**
01SEP17	S 1 PD01DEC17	6824.00	6510.00	USD	7,850.00	
01SEP17	B 1 PD01DEC17	6804.00	6510.00	USD	-7,350.00	
01SEP17	S 1 PD01DEC17	6823.00	6510.00	USD	7,825.00	
01SEP17	S 1 PD01DEC17	6840.00	6510.00	USD	8,250.00	
29SEP17	B 2 PD01DEC17	6471.50	6510.00	USD	1,925.00	
	NET 0 AVG BGHT 6582.33 SOLD 6829.00				**18,500.00**	**0.00**
04SEP17	B 1 PD04DEC17	6900.50	6511.29	USD	-9,730.25	
04SEP17	S 1 PD04DEC17	6904.00	6511.29	USD	9,817.75	
04SEP17	S 1 PD04DEC17	6890.50	6511.29	USD	9,480.25	
04SEP17	B 1 PD04DEC17	6914.50	6511.29	USD	-10,080.25	
04SEP17	S 1 PD04DEC17	6913.00	6511.29	USD	10,042.75	
04SEP17	B 1 PD04DEC17	6890.00	6511.29	USD	-9,467.75	
	NET 0 AVG BGHT 6901.67 SOLD 6902.50				**62.50**	**0.00**
05SEP17	B 1 PD05DEC17	6932.50	6511.71	USD	-10,519.75	
05SEP17	B 1 PD05DEC17	6950.00	6511.71	USD	-10,957.25	
29SEP17	S 2 PD05DEC17	6472.05	6511.71	USD	-1,983.00	
	NET 0 AVG BGHT 6941.25 SOLD 6472.05				**-23,460.00**	**0.00**
06SEP17	S 2 PD06DEC17	6886.00	6512.14	USD	18,693.00	
06SEP17	S 1 PD06DEC17	6911.00	6512.14	USD	9,971.50	
06SEP17	S 1 PD06DEC17	6910.00	6512.14	USD	9,946.50	
06SEP17	S 1 PD06DEC17	6919.50	6512.14	USD	10,184.00	
06SEP17	B 1 PD06DEC17	6885.00	6512.14	USD	-9,321.50	
06SEP17	B 3 PD06DEC17	6886.00	6512.14	USD	-28,039.50	
06SEP17	B 1 PD06DEC17	6887.00	6512.14	USD	-9,371.50	
06SEP17	S 1 PD06DEC17	6889.50	6512.14	USD	9,434.00	
06SEP17	B 1 PD06DEC17	6870.50	6512.14	USD	-8,959.00	
06SEP17	S 1 PD06DEC17	6890.00	6512.14	USD	9,446.50	
06SEP17	S 1 PD06DEC17	6891.00	6512.14	USD	9,471.50	
06SEP17	S 1 PD06DEC17	6899.00	6512.14	USD	9,671.50	
29SEP17	B 3 PD06DEC17	6472.72	6512.14	USD	2,956.50	
	NET 0 AVG BGHT 6746.52 SOLD 6898.00				**34,083.50**	**0.00**
07SEP17	B 1 PD07DEC17	6864.00	6512.57	USD	-8,785.75	
07SEP17	B 1 PD07DEC17	6856.00	6512.57	USD	-8,585.75	
07SEP17	S 1 PD07DEC17	6878.00	6512.57	USD	9,135.75	
07SEP17	S 1 PD07DEC17	6905.00	6512.57	USD	9,810.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119J

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
	NET 0 AVG BGHT 6860.00 SOLD 6891.50				**1,575.00**	**0.00**
08SEP17	B 1 PD08DEC17	6928.00	6513.00	USD	-10,375.00	
08SEP17	B 2 PD08DEC17	6928.50	6513.00	USD	-20,775.00	
08SEP17	B 1 PD08DEC17	6785.00	6513.00	USD	-6,800.00	
08SEP17	S 1 PD08DEC17	6748.50	6513.00	USD	5,887.50	
08SEP17	B 1 PD08DEC17	6702.50	6513.00	USD	-4,737.50	
08SEP17	S 1 PD08DEC17	6696.00	6513.00	USD	4,575.00	
08SEP17	B 1 PD08DEC17	6694.00	6513.00	USD	-4,525.00	
29SEP17	S 3 PD08DEC17	6473.45	6513.00	USD	-2,966.25	
29SEP17	S 1 PD08DEC17	6473.65	6513.00	USD	-983.75	
	NET 0 AVG BGHT 6827.75 SOLD 6556.42				**-40,700.00**	**0.00**
11SEP17	S 1 PD11DEC17	6713.00	6514.19	USD	4,970.25	
29SEP17	B 1 PD11DEC17	6475.25	6514.19	USD	973.50	
	NET 0 AVG BGHT 6475.25 SOLD 6713.00				**5,943.75**	**0.00**
12SEP17	S 1 PD12DEC17	6707.00	6514.58	USD	4,810.50	
12SEP17	B 1 PD12DEC17	6692.50	6514.58	USD	-4,448.00	
	NET 0 AVG BGHT 6692.50 SOLD 6707.00				**362.50**	**0.00**
13SEP17	B 1 PD13DEC17	6613.00	6514.98	USD	-2,450.50	
13SEP17	S 1 PD13DEC17	6558.50	6514.98	USD	1,088.00	
13SEP17	B 1 PD13DEC17	6560.50	6514.98	USD	-1,138.00	
13SEP17	B 1 PD13DEC17	6550.50	6514.98	USD	-888.00	
13SEP17	S 1 PD13DEC17	6548.00	6514.98	USD	825.50	
13SEP17	S 1 PD13DEC17	6569.00	6514.98	USD	1,350.50	
13SEP17	S 1 PD13DEC17	6574.50	6514.98	USD	1,488.00	
13SEP17	B 3 PD13DEC17	6563.00	6514.98	USD	-3,601.50	
13SEP17	S 1 PD13DEC17	6565.00	6514.98	USD	1,250.50	
13SEP17	B 1 PD13DEC17	6550.00	6514.98	USD	-875.50	
29SEP17	S 2 PD13DEC17	6475.98	6514.98	USD	-1,950.00	
	NET 0 AVG BGHT 6566.14 SOLD 6538.14				**-4,901.00**	**0.00**
14SEP17	S 1 PD14DEC17	6494.00	6515.38	USD	-534.50	
14SEP17	S 1 PD14DEC17	6498.00	6515.38	USD	-434.50	
14SEP17	B 1 PD14DEC17	6506.50	6515.38	USD	222.00	
14SEP17	S 1 PD14DEC17	6502.50	6515.38	USD	-322.00	
14SEP17	S 1 PD14DEC17	6504.00	6515.38	USD	-284.50	
14SEP17	B 1 PD14DEC17	6514.00	6515.38	USD	34.50	
14SEP17	S 1 PD14DEC17	6514.00	6515.38	USD	-34.50	
14SEP17	S 1 PD14DEC17	6527.00	6515.38	USD	290.50	
14SEP17	B 1 PD14DEC17	6522.50	6515.38	USD	-178.00	
14SEP17	B 1 PD14DEC17	6505.00	6515.38	USD	259.50	
29SEP17	B 2 PD14DEC17	6476.65	6515.38	USD	1,936.50	
	NET 0 AVG BGHT 6500.22 SOLD 6506.58				**955.00**	**0.00**
15SEP17	B 1 PD15DEC17	6510.00	6515.77	USD	144.25	
15SEP17	S 1 PD15DEC17	6507.00	6515.77	USD	-219.25	
	NET 0 AVG BGHT 6510.00 SOLD 6507.00				**-75.00**	**0.00**
18SEP17	B 1 PD18DEC17	6528.00	6516.96	USD	-276.00	
18SEP17	B 1 PD18DEC17	6530.00	6516.96	USD	-326.00	
18SEP17	S 1 PD18DEC17	6538.00	6516.96	USD	526.00	
18SEP17	S 1 PD18DEC17	6543.50	6516.96	USD	663.50	
	NET 0 AVG BGHT 6529.00 SOLD 6540.75				**587.50**	**0.00**
19SEP17	B 1 PD19DEC17	6515.00	6517.35	USD	58.75	
29SEP17	S 1 PD19DEC17	6478.78	6517.35	USD	-964.25	
	NET 0 AVG BGHT 6515.00 SOLD 6478.78				**-905.50**	**0.00**
20SEP17	B 1 PD20DEC17	6538.50	6517.75	USD	-518.75	
20SEP17	B 1 PD20DEC17	6539.50	6517.75	USD	-543.75	
20SEP17	S 1 PD20DEC17	6565.00	6517.75	USD	1,181.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119J

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME COPPER GRADE A 25 TONNES. THIS IS A LME REGISTERED CLIENT CONTRACT					
20SEP17	S 1 PD20DEC17	6552.50	6517.75	USD	868.75	
	NET 0 AVG BGHT 6539.00 SOLD 6558.75				**987.50**	**0.00**
21SEP17	S 1 PD21DEC17	6499.00	6516.75	USD	-443.75	
21SEP17	S 1 PD21DEC17	6469.00	6516.75	USD	-1,193.75	
21SEP17	B 1 PD21DEC17	6463.00	6516.75	USD	1,343.75	
29SEP17	B 1 PD21DEC17	6478.45	6516.75	USD	957.50	
	NET 0 AVG BGHT 6470.73 SOLD 6484.00				**663.75**	**0.00**
22SEP17	B 1 PD22DEC17	6375.00	6515.75	USD	3,518.75	
22SEP17	S 2 PD22DEC17	6408.00	6515.75	USD	-5,387.50	
22SEP17	B 1 PD22DEC17	6411.50	6515.75	USD	2,606.25	
22SEP17	S 1 PD22DEC17	6420.50	6515.75	USD	-2,381.25	
22SEP17	B 1 PD22DEC17	6466.00	6515.75	USD	1,243.75	
22SEP17	S 1 PD22DEC17	6476.00	6515.75	USD	-993.75	
22SEP17	B 1 PD22DEC17	6412.50	6515.75	USD	2,581.25	
	NET 0 AVG BGHT 6416.25 SOLD 6428.13				**1,187.50**	**0.00**
26SEP17	B 1 PD27DEC17	6431.50	6517.52	USD	2,150.50	
26SEP17	S 1 PD27DEC17	6409.50	6517.52	USD	-2,700.50	
	NET 0 AVG BGHT 6431.50 SOLD 6409.50				**-550.00**	**0.00**
28SEP17	B 1 PD28DEC17	6510.00	6517.88	USD	197.00	
28SEP17	S 1 PD28DEC17	6528.00	6517.88	USD	253.00	
28SEP17	B 1 PD28DEC17	6520.50	6517.88	USD	-65.50	
28SEP17	S 1 PD28DEC17	6504.00	6517.88	USD	-347.00	
	NET 0 AVG BGHT 6515.25 SOLD 6516.00				**37.50**	**0.00**
03OCT17	B 1 PD03JAN18	6503.00	6520.00	USD	425.00	
03OCT17	S 1 PD03JAN18	6499.00	6520.00	USD	-525.00	
	NET 0 AVG BGHT 6503.00 SOLD 6499.00				**-100.00**	**0.00**
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
06SEP17	S 1 PD06DEC17	2324.50	2590.70	USD	-6,655.00	
06SEP17	B 1 PD06DEC17	2331.00	2590.70	USD	6,492.50	
06SEP17	S 1 PD06DEC17	2333.00	2590.70	USD	-6,442.50	
06SEP17	S 1 PD06DEC17	2345.00	2590.70	USD	-6,142.50	
06SEP17	S 1 PD06DEC17	2353.50	2590.70	USD	-5,930.00	
06SEP17	B 2 PD06DEC17	2359.00	2590.70	USD	11,585.00	
06SEP17	S 1 PD06DEC17	2358.00	2590.70	USD	-5,817.50	
06SEP17	S 1 PD06DEC17	2357.50	2590.70	USD	-5,830.00	
06SEP17	B 2 PD06DEC17	2360.00	2590.70	USD	11,535.00	
06SEP17	B 1 PD06DEC17	2362.00	2590.70	USD	5,717.50	
	NET 0 AVG BGHT 2355.17 SOLD 2345.25				**-1,487.50**	**0.00**
15SEP17	S 1 PD15DEC17	2343.00	2591.86	USD	-6,221.50	
15SEP17	B 1 PD15DEC17	2358.00	2591.86	USD	5,846.50	
	NET 0 AVG BGHT 2358.00 SOLD 2343.00				**-375.00**	**0.00**
22SEP17	S 1 PD22DEC17	2467.00	2592.07	USD	-3,126.75	
22SEP17	B 1 PD22DEC17	2471.00	2592.07	USD	3,026.75	
22SEP17	S 1 PD22DEC17	2483.00	2592.07	USD	-2,726.75	
22SEP17	B 1 PD22DEC17	2467.00	2592.07	USD	3,126.75	
	NET 0 AVG BGHT 2469.00 SOLD 2475.00				**300.00**	**0.00**
25SEP17	S 1 PD27DEC17	2485.00	2591.86	USD	-2,671.50	
25SEP17	B 1 PD27DEC17	2480.50	2591.86	USD	2,784.00	
	NET 0 AVG BGHT 2480.50 SOLD 2485.00				**112.50**	**0.00**
02OCT17	B 1 PD02JAN18	2530.00	2591.21	USD	1,530.25	
02OCT17	S 1 PD02JAN18	2529.50	2591.21	USD	-1,542.75	
	NET 0 AVG BGHT 2530.00 SOLD 2529.50				**-12.50**	**0.00**
03OCT17	S 1 PD03JAN18	2518.00	2591.00	USD	-1,825.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119J

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME LEAD 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
03OCT17	B 1 PD03JAN18	2522.00	2591.00	USD	1,725.00	
03OCT17	S 1 PD03JAN18	2529.50	2591.00	USD	-1,537.50	
03OCT17	B 1 PD03JAN18	2532.50	2591.00	USD	1,462.50	
03OCT17	S 1 PD03JAN18	2561.50	2591.00	USD	-737.50	
03OCT17	B 1 PD03JAN18	2553.00	2591.00	USD	950.00	
	NET 0 AVG BGHT 2535.83 SOLD 2536.33				**37.50**	**0.00**
	LME NICKEL 6 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
22AUG17	S 1 PD22NOV17	11525.00	10582.20	USD	5,656.80	
22AUG17	B 1 PD22NOV17	11535.00	10582.20	USD	-5,716.80	
	NET 0 AVG BGHT 11535.00 SOLD 11525.00				**-60.00**	**0.00**
29AUG17	S 1 PD29NOV17	11805.00	10588.40	USD	7,299.60	
29AUG17	B 1 PD29NOV17	11840.00	10588.40	USD	-7,509.60	
	NET 0 AVG BGHT 11840.00 SOLD 11805.00				**-210.00**	**0.00**
05SEP17	B 1 PD05DEC17	12035.00	10593.71	USD	-8,647.74	
05SEP17	S 1 PD05DEC17	12020.00	10593.71	USD	8,557.74	
	NET 0 AVG BGHT 12035.00 SOLD 12020.00				**-90.00**	**0.00**
12SEP17	S 1 PD12DEC17	11880.00	10599.91	USD	7,680.54	
12SEP17	B 1 PD12DEC17	11915.00	10599.91	USD	-7,890.54	
	NET 0 AVG BGHT 11915.00 SOLD 11880.00				**-210.00**	**0.00**
14SEP17	S 1 PD14DEC17	11340.00	10601.69	USD	4,429.86	
14SEP17	B 1 PD14DEC17	11355.00	10601.69	USD	-4,519.86	
	NET 0 AVG BGHT 11355.00 SOLD 11340.00				**-90.00**	**0.00**
18SEP17	S 1 PD18DEC17	11185.00	10605.23	USD	3,478.62	
18SEP17	B 1 PD18DEC17	11190.00	10605.23	USD	-3,508.62	
	NET 0 AVG BGHT 11190.00 SOLD 11185.00				**-30.00**	**0.00**
21SEP17	B 1 PD21DEC17	11140.00	10608.00	USD	-3,192.00	
21SEP17	S 1 PD21DEC17	11130.00	10608.00	USD	3,132.00	
21SEP17	B 1 PD21DEC17	10725.00	10608.00	USD	-702.00	
21SEP17	S 1 PD21DEC17	10690.00	10608.00	USD	492.00	
	NET 0 AVG BGHT 10932.50 SOLD 10910.00				**-270.00**	**0.00**
02OCT17	B 1 PD02JAN18	10430.00	10619.08	USD	1,134.48	
02OCT17	S 1 PD02JAN18	10450.00	10619.08	USD	-1,014.48	
02OCT17	S 1 PD02JAN18	10360.00	10619.08	USD	-1,554.48	
02OCT17	B 1 PD02JAN18	10375.00	10619.08	USD	1,464.48	
	NET 0 AVG BGHT 10402.50 SOLD 10405.00				**30.00**	**0.00**
03OCT17	B 1 PD03JAN18	10750.00	10620.00	USD	-780.00	
03OCT17	S 1 PD03JAN18	10740.00	10620.00	USD	720.00	
03OCT17	B 1 PD03JAN18	10625.00	10620.00	USD	-30.00	
03OCT17	S 1 PD03JAN18	10575.00	10620.00	USD	-270.00	
03OCT17	B 1 PD03JAN18	10555.00	10620.00	USD	390.00	
03OCT17	S 1 PD03JAN18	10585.00	10620.00	USD	-210.00	
	NET 0 AVG BGHT 10643.33 SOLD 10633.33				**-180.00**	**0.00**
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
18AUG17	B 2 PD17NOV17	20300.00	20867.50	USD	5,675.00	
18AUG17	S 1 PD17NOV17	20200.00	20867.50	USD	-3,337.50	
18AUG17	B 1 PD17NOV17	20230.00	20867.50	USD	3,187.50	
18AUG17	S 2 PD17NOV17	20290.00	20867.50	USD	-5,775.00	
18AUG17	S 1 PD17NOV17	20325.00	20867.50	USD	-2,712.50	
18AUG17	S 1 PD17NOV17	20335.00	20867.50	USD	-2,662.50	
18AUG17	B 1 PD17NOV17	20265.00	20867.50	USD	3,012.50	
18AUG17	B 1 PD17NOV17	20220.00	20867.50	USD	3,237.50	
18AUG17	B 1 PD17NOV17	20200.00	20867.50	USD	3,337.50	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119J

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
18AUG17	S 1 PD17NOV17	20230.00	20867.50	USD	-3,187.50	
	NET 0 AVG BGHT 20252.50 SOLD 20278.33				**775.00**	**0.00**
21AUG17	B 1 PD21NOV17	20330.00	20865.00	USD	2,675.00	
21AUG17	S 1 PD21NOV17	20375.00	20865.00	USD	-2,450.00	
21AUG17	B 1 PD21NOV17	20350.00	20865.00	USD	2,575.00	
21AUG17	S 1 PD21NOV17	20390.00	20865.00	USD	-2,375.00	
21AUG17	S 1 PD21NOV17	20370.00	20865.00	USD	-2,475.00	
21AUG17	S 2 PD21NOV17	20360.00	20865.00	USD	-5,050.00	
21AUG17	B 4 PD21NOV17	20335.00	20865.00	USD	10,600.00	
21AUG17	S 1 PD21NOV17	20355.00	20865.00	USD	-2,550.00	
21AUG17	S 1 PD21NOV17	20335.00	20865.00	USD	-2,650.00	
21AUG17	B 1 PD21NOV17	20320.00	20865.00	USD	2,725.00	
21AUG17	S 1 PD21NOV17	20415.00	20865.00	USD	-2,250.00	
21AUG17	B 1 PD21NOV17	20400.00	20865.00	USD	2,325.00	
	NET 0 AVG BGHT 20342.50 SOLD 20370.00				**1,100.00**	**0.00**
22AUG17	B 1 PD22NOV17	20480.00	20863.75	USD	1,918.75	
22AUG17	S 1 PD22NOV17	20485.00	20863.75	USD	-1,893.75	
22AUG17	S 1 PD22NOV17	20450.00	20863.75	USD	-2,068.75	
22AUG17	S 1 PD22NOV17	20295.00	20863.75	USD	-2,843.75	
22AUG17	B 1 PD22NOV17	20265.00	20863.75	USD	2,993.75	
22AUG17	B 1 PD22NOV17	20425.00	20863.75	USD	2,193.75	
	NET 0 AVG BGHT 20390.00 SOLD 20410.00				**300.00**	**0.00**
23AUG17	B 1 PD24NOV17	20290.00	20862.50	USD	2,862.50	
23AUG17	S 1 PD24NOV17	20360.00	20862.50	USD	-2,512.50	
23AUG17	S 1 PD24NOV17	20385.00	20862.50	USD	-2,387.50	
23AUG17	S 1 PD24NOV17	20395.00	20862.50	USD	-2,337.50	
23AUG17	B 1 PD24NOV17	20455.00	20862.50	USD	2,037.50	
23AUG17	B 1 PD24NOV17	20350.00	20862.50	USD	2,562.50	
24AUG17	B 1 PD24NOV17	20550.00	20862.50	USD	1,562.50	
24AUG17	S 1 PD24NOV17	20570.00	20862.50	USD	-1,462.50	
24AUG17	S 1 PD24NOV17	20515.00	20862.50	USD	-1,737.50	
24AUG17	B 1 PD24NOV17	20490.00	20862.50	USD	1,862.50	
24AUG17	B 1 PD24NOV17	20505.00	20862.50	USD	1,787.50	
24AUG17	B 1 PD24NOV17	20430.00	20862.50	USD	2,162.50	
24AUG17	S 1 PD24NOV17	20465.00	20862.50	USD	-1,987.50	
24AUG17	B 1 PD24NOV17	20425.00	20862.50	USD	2,187.50	
24AUG17	S 1 PD24NOV17	20460.00	20862.50	USD	-2,012.50	
24AUG17	S 2 PD24NOV17	20495.00	20862.50	USD	-3,675.00	
24AUG17	B 1 PD24NOV17	20500.00	20862.50	USD	1,812.50	
24AUG17	S 2 PD24NOV17	20525.00	20862.50	USD	-3,375.00	
24AUG17	B 1 PD24NOV17	20515.00	20862.50	USD	1,737.50	
24AUG17	B 1 PD24NOV17	20510.00	20862.50	USD	1,762.50	
24AUG17	B 1 PD24NOV17	20475.00	20862.50	USD	1,937.50	
24AUG17	B 2 PD24NOV17	20495.00	20862.50	USD	3,675.00	
24AUG17	S 2 PD24NOV17	20530.00	20862.50	USD	-3,325.00	
24AUG17	S 1 PD24NOV17	20450.00	20862.50	USD	-2,062.50	
25AUG17	S 1 PD24NOV17	20425.00	20862.50	USD	-2,187.50	
25AUG17	B 1 PD24NOV17	20415.00	20862.50	USD	2,237.50	
25AUG17	B 1 PD24NOV17	20420.00	20862.50	USD	2,212.50	
25AUG17	S 1 PD24NOV17	20385.00	20862.50	USD	-2,387.50	
	NET 0 AVG BGHT 20457.50 SOLD 20469.38				**950.00**	**0.00**
29AUG17	B 1 PD29NOV17	20550.00	20858.75	USD	1,543.75	
29AUG17	S 1 PD29NOV17	20610.00	20858.75	USD	-1,243.75	
29AUG17	S 1 PD29NOV17	20425.00	20858.75	USD	-2,168.75	
29AUG17	B 1 PD29NOV17	20475.00	20858.75	USD	1,918.75	
29AUG17	S 1 PD29NOV17	20520.00	20858.75	USD	-1,693.75	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119J

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
29AUG17	B 1 PD29NOV17	20500.00	20858.75	USD	1,793.75	
	NET 0 AVG BGHT 20508.33 SOLD 20518.33				**150.00**	**0.00**
30AUG17	B 1 PD30NOV17	20400.00	20857.50	USD	2,287.50	
30AUG17	S 1 PD30NOV17	20435.00	20857.50	USD	-2,112.50	
30AUG17	S 1 PD30NOV17	20500.00	20857.50	USD	-1,787.50	
30AUG17	S 1 PD30NOV17	20530.00	20857.50	USD	-1,637.50	
30AUG17	S 2 PD30NOV17	20595.00	20857.50	USD	-2,625.00	
30AUG17	B 4 PD30NOV17	20600.00	20857.50	USD	5,150.00	
30AUG17	B 1 PD30NOV17	20545.00	20857.50	USD	1,562.50	
30AUG17	B 1 PD30NOV17	20550.00	20857.50	USD	1,537.50	
30AUG17	S 1 PD30NOV17	20645.00	20857.50	USD	-1,062.50	
30AUG17	S 1 PD30NOV17	20650.00	20857.50	USD	-1,037.50	
	NET 0 AVG BGHT 20556.43 SOLD 20564.29				**275.00**	**0.00**
01SEP17	S 1 PD01DEC17	20720.00	20856.25	USD	-681.25	
01SEP17	S 1 PD01DEC17	20730.00	20856.25	USD	-631.25	
01SEP17	S 1 PD01DEC17	20750.00	20856.25	USD	-531.25	
01SEP17	B 1 PD01DEC17	20760.00	20856.25	USD	481.25	
01SEP17	B 2 PD01DEC17	20710.00	20856.25	USD	1,462.50	
01SEP17	B 1 PD01DEC17	20705.00	20856.25	USD	756.25	
01SEP17	B 2 PD01DEC17	20750.00	20856.25	USD	1,062.50	
01SEP17	S 1 PD01DEC17	20765.00	20856.25	USD	-456.25	
01SEP17	S 1 PD01DEC17	20775.00	20856.25	USD	-406.25	
01SEP17	B 1 PD01DEC17	20725.00	20856.25	USD	656.25	
01SEP17	S 1 PD01DEC17	20715.00	20856.25	USD	-706.25	
01SEP17	S 2 PD01DEC17	20705.00	20856.25	USD	-1,512.50	
01SEP17	S 1 PD01DEC17	20710.00	20856.25	USD	-731.25	
01SEP17	B 2 PD01DEC17	20700.00	20856.25	USD	1,562.50	
01SEP17	B 1 PD01DEC17	20675.00	20856.25	USD	906.25	
01SEP17	S 1 PD01DEC17	20695.00	20856.25	USD	-806.25	
	NET 0 AVG BGHT 20718.50 SOLD 20727.00				**425.00**	**0.00**
04SEP17	B 1 PD04DEC17	20735.00	20855.00	USD	600.00	
04SEP17	B 1 PD04DEC17	20700.00	20855.00	USD	775.00	
04SEP17	S 1 PD04DEC17	20690.00	20855.00	USD	-825.00	
04SEP17	S 4 PD04DEC17	20730.00	20855.00	USD	-2,500.00	
04SEP17	B 1 PD04DEC17	20760.00	20855.00	USD	475.00	
29SEP17	B 2 PD04DEC17	20745.00	20855.00	USD	1,100.00	
	NET 0 AVG BGHT 20737.00 SOLD 20722.00				**-375.00**	**0.00**
05SEP17	B 2 PD05DEC17	20800.00	20853.75	USD	537.50	
05SEP17	S 2 PD05DEC17	20700.00	20853.75	USD	-1,537.50	
05SEP17	B 1 PD05DEC17	20740.00	20853.75	USD	568.75	
05SEP17	B 1 PD05DEC17	20765.00	20853.75	USD	443.75	
05SEP17	S 1 PD05DEC17	20710.00	20853.75	USD	-718.75	
05SEP17	B 1 PD05DEC17	20685.00	20853.75	USD	843.75	
05SEP17	B 1 PD05DEC17	20700.00	20853.75	USD	768.75	
05SEP17	S 1 PD05DEC17	20695.00	20853.75	USD	-793.75	
29SEP17	S 2 PD05DEC17	20741.92	20853.75	USD	-1,118.30	
	NET 0 AVG BGHT 20748.33 SOLD 20714.81				**-1,005.80**	**0.00**
06SEP17	B 1 PD06DEC17	20685.00	20852.50	USD	837.50	
06SEP17	S 1 PD06DEC17	20690.00	20852.50	USD	-812.50	
06SEP17	B 1 PD06DEC17	20605.00	20852.50	USD	1,237.50	
06SEP17	B 1 PD06DEC17	20640.00	20852.50	USD	1,062.50	
06SEP17	S 2 PD06DEC17	20630.00	20852.50	USD	-2,225.00	
	NET 0 AVG BGHT 20643.33 SOLD 20650.00				**100.00**	**0.00**
07SEP17	S 1 PD07DEC17	20740.00	20851.25	USD	-556.25	
07SEP17	B 1 PD07DEC17	20730.00	20851.25	USD	606.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119J

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
07SEP17	S 1 PD07DEC17	20715.00	20851.25	USD	-681.25	
07SEP17	B 2 PD07DEC17	20700.00	20851.25	USD	1,512.50	
07SEP17	S 2 PD07DEC17	20770.00	20851.25	USD	-812.50	
07SEP17	B 1 PD07DEC17	20760.00	20851.25	USD	456.25	
	NET 0 AVG BGHT 20722.50 SOLD 20748.75				**525.00**	**0.00**
08SEP17	S 1 PD08DEC17	20800.00	20850.00	USD	-250.00	
08SEP17	B 1 PD08DEC17	20740.00	20850.00	USD	550.00	
08SEP17	S 1 PD08DEC17	20695.00	20850.00	USD	-775.00	
08SEP17	B 1 PD08DEC17	20700.00	20850.00	USD	750.00	
08SEP17	S 1 PD08DEC17	20700.00	20850.00	USD	-750.00	
08SEP17	B 1 PD08DEC17	20710.00	20850.00	USD	700.00	
08SEP17	B 1 PD08DEC17	20755.00	20850.00	USD	475.00	
08SEP17	S 1 PD08DEC17	20745.00	20850.00	USD	-525.00	
08SEP17	B 1 PD08DEC17	20630.00	20850.00	USD	1,100.00	
08SEP17	S 1 PD08DEC17	20615.00	20850.00	USD	-1,175.00	
	NET 0 AVG BGHT 20707.00 SOLD 20711.00				**100.00**	**0.00**
11SEP17	S 1 PD11DEC17	20740.00	20848.75	USD	-543.75	
11SEP17	B 1 PD11DEC17	20745.00	20848.75	USD	518.75	
11SEP17	B 1 PD11DEC17	20705.00	20848.75	USD	718.75	
11SEP17	S 1 PD11DEC17	20720.00	20848.75	USD	-643.75	
	NET 0 AVG BGHT 20725.00 SOLD 20730.00				**50.00**	**0.00**
12SEP17	B 1 PD12DEC17	20670.00	20847.50	USD	887.50	
12SEP17	B 1 PD12DEC17	20695.00	20847.50	USD	762.50	
12SEP17	S 1 PD12DEC17	20690.00	20847.50	USD	-787.50	
12SEP17	S 1 PD12DEC17	20710.00	20847.50	USD	-687.50	
	NET 0 AVG BGHT 20682.50 SOLD 20700.00				**175.00**	**0.00**
13SEP17	S 1 PD13DEC17	20665.00	20846.25	USD	-906.25	
13SEP17	B 1 PD13DEC17	20635.00	20846.25	USD	1,056.25	
13SEP17	B 1 PD13DEC17	20620.00	20846.25	USD	1,131.25	
13SEP17	S 1 PD13DEC17	20635.00	20846.25	USD	-1,056.25	
13SEP17	B 1 PD13DEC17	20550.00	20846.25	USD	1,481.25	
13SEP17	S 1 PD13DEC17	20595.00	20846.25	USD	-1,256.25	
	NET 0 AVG BGHT 20601.67 SOLD 20631.67				**450.00**	**0.00**
14SEP17	B 1 PD14DEC17	20570.00	20845.00	USD	1,375.00	
14SEP17	S 1 PD14DEC17	20590.00	20845.00	USD	-1,275.00	
14SEP17	S 1 PD14DEC17	20675.00	20845.00	USD	-850.00	
14SEP17	B 1 PD14DEC17	20635.00	20845.00	USD	1,050.00	
14SEP17	B 1 PD14DEC17	20550.00	20845.00	USD	1,475.00	
14SEP17	S 1 PD14DEC17	20555.00	20845.00	USD	-1,450.00	
	NET 0 AVG BGHT 20585.00 SOLD 20606.67				**325.00**	**0.00**
15SEP17	S 1 PD15DEC17	20595.00	20843.75	USD	-1,243.75	
15SEP17	B 1 PD15DEC17	20580.00	20843.75	USD	1,318.75	
15SEP17	S 1 PD15DEC17	20550.00	20843.75	USD	-1,468.75	
15SEP17	B 1 PD15DEC17	20535.00	20843.75	USD	1,543.75	
	NET 0 AVG BGHT 20557.50 SOLD 20572.50				**150.00**	**0.00**
18SEP17	S 1 PD18DEC17	20735.00	20842.50	USD	-537.50	
18SEP17	B 1 PD18DEC17	20640.00	20842.50	USD	1,012.50	
18SEP17	B 1 PD18DEC17	20635.00	20842.50	USD	1,037.50	
18SEP17	S 1 PD18DEC17	20650.00	20842.50	USD	-962.50	
18SEP17	B 1 PD18DEC17	20655.00	20842.50	USD	937.50	
18SEP17	S 1 PD18DEC17	20615.00	20842.50	USD	-1,137.50	
	NET 0 AVG BGHT 20643.33 SOLD 20666.67				**350.00**	**0.00**
19SEP17	S 1 PD19DEC17	20720.00	20841.25	USD	-606.25	
19SEP17	S 1 PD19DEC17	20715.00	20841.25	USD	-631.25	
19SEP17	B 1 PD19DEC17	20700.00	20841.25	USD	706.25	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119J

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
19SEP17	B 1 PD19DEC17	20695.00	20841.25	USD	731.25	
	NET 0 AVG BGHT 20697.50 SOLD 20717.50				**200.00**	**0.00**
20SEP17	B 1 PD20DEC17	20750.00	20840.00	USD	450.00	
20SEP17	S 1 PD20DEC17	20645.00	20840.00	USD	-975.00	
	NET 0 AVG BGHT 20750.00 SOLD 20645.00				**-525.00**	**0.00**
21SEP17	B 1 PD21DEC17	20560.00	20833.40	USD	1,367.00	
21SEP17	S 1 PD21DEC17	20540.00	20833.40	USD	-1,467.00	
	NET 0 AVG BGHT 20560.00 SOLD 20540.00				**-100.00**	**0.00**
22SEP17	S 1 PD22DEC17	20455.00	20826.80	USD	-1,859.00	
22SEP17	B 1 PD22DEC17	20445.00	20826.80	USD	1,909.00	
22SEP17	S 1 PD22DEC17	20615.00	20826.80	USD	-1,059.00	
22SEP17	S 1 PD22DEC17	20640.00	20826.80	USD	-934.00	
22SEP17	B 1 PD22DEC17	20585.00	20826.80	USD	1,209.00	
22SEP17	B 1 PD22DEC17	20605.00	20826.80	USD	1,109.00	
22SEP17	S 2 PD22DEC17	20575.00	20826.80	USD	-2,518.00	
22SEP17	B 2 PD22DEC17	20555.00	20826.80	USD	2,718.00	
	NET 0 AVG BGHT 20549.00 SOLD 20572.00				**575.00**	**0.00**
25SEP17	B 2 PD27DEC17	20625.00	20820.20	USD	1,952.00	
25SEP17	S 1 PD27DEC17	20605.00	20820.20	USD	-1,076.00	
25SEP17	S 1 PD27DEC17	20580.00	20820.20	USD	-1,201.00	
25SEP17	S 1 PD27DEC17	20585.00	20820.20	USD	-1,176.00	
25SEP17	B 1 PD27DEC17	20600.00	20820.20	USD	1,101.00	
25SEP17	S 1 PD27DEC17	20645.00	20820.20	USD	-876.00	
25SEP17	B 1 PD27DEC17	20675.00	20820.20	USD	726.00	
25SEP17	S 1 PD27DEC17	20675.00	20820.20	USD	-726.00	
25SEP17	B 1 PD27DEC17	20655.00	20820.20	USD	826.00	
26SEP17	S 1 PD27DEC17	20645.00	20820.20	USD	-876.00	
26SEP17	B 1 PD27DEC17	20655.00	20820.20	USD	826.00	
27SEP17	S 1 PD27DEC17	20670.00	20820.20	USD	-751.00	
27SEP17	S 1 PD27DEC17	20675.00	20820.20	USD	-726.00	
27SEP17	S 1 PD27DEC17	20690.00	20820.20	USD	-651.00	
27SEP17	B 1 PD27DEC17	20635.00	20820.20	USD	926.00	
27SEP17	B 1 PD27DEC17	20665.00	20820.20	USD	776.00	
27SEP17	S 1 PD27DEC17	20680.00	20820.20	USD	-701.00	
27SEP17	B 2 PD27DEC17	20650.00	20820.20	USD	1,702.00	
	NET 0 AVG BGHT 20643.50 SOLD 20645.00				**75.00**	**0.00**
29SEP17	S 1 PD29DEC17	20695.00	20807.00	USD	-560.00	
29SEP17	B 1 PD29DEC17	20705.00	20807.00	USD	510.00	
29SEP17	S 1 PD29DEC17	20770.00	20807.00	USD	-185.00	
29SEP17	B 1 PD29DEC17	20720.00	20807.00	USD	435.00	
	NET 0 AVG BGHT 20712.50 SOLD 20732.50				**200.00**	**0.00**
02OCT17	B 1 PD02JAN18	20670.00	20803.50	USD	667.50	
02OCT17	S 1 PD02JAN18	20690.00	20803.50	USD	-567.50	
02OCT17	S 2 PD02JAN18	20725.00	20803.50	USD	-785.00	
02OCT17	B 2 PD02JAN18	20755.00	20803.50	USD	485.00	
02OCT17	B 1 PD02JAN18	20685.00	20803.50	USD	592.50	
02OCT17	S 1 PD02JAN18	20695.00	20803.50	USD	-542.50	
	NET 0 AVG BGHT 20716.25 SOLD 20708.75				**-150.00**	**0.00**
03OCT17	S 1 PD03JAN18	20730.00	20800.00	USD	-350.00	
03OCT17	B 1 PD03JAN18	20750.00	20800.00	USD	250.00	
03OCT17	S 1 PD03JAN18	20740.00	20800.00	USD	-300.00	
03OCT17	S 1 PD03JAN18	20770.00	20800.00	USD	-150.00	
03OCT17	S 1 PD03JAN18	20745.00	20800.00	USD	-275.00	
03OCT17	B 2 PD03JAN18	20730.00	20800.00	USD	700.00	
03OCT17	B 2 PD03JAN18	20790.00	20800.00	USD	100.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119J

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	LME TIN 5 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
03OCT17	B 1 PD03JAN18	20755.00	20800.00	USD	225.00	
03OCT17	S 1 PD03JAN18	20780.00	20800.00	USD	-100.00	
03OCT17	S 1 PD03JAN18	20785.00	20800.00	USD	-75.00	
	NET 0 AVG BGHT 20757.50 SOLD 20758.33				**25.00**	**0.00**
	LME ZINC 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT					
14SEP17	S 1 PD14DEC17	3016.50	3266.86	USD	-6,259.00	
14SEP17	B 1 PD14DEC17	3005.00	3266.86	USD	6,546.50	
	NET 0 AVG BGHT 3005.00 SOLD 3016.50				**287.50**	**0.00**
21SEP17	B 1 PD21DEC17	3066.00	3264.00	USD	4,950.00	
21SEP17	S 1 PD21DEC17	3058.50	3264.00	USD	-5,137.50	
21SEP17	B 1 PD21DEC17	3041.00	3264.00	USD	5,575.00	
21SEP17	S 1 PD21DEC17	3032.00	3264.00	USD	-5,800.00	
	NET 0 AVG BGHT 3053.50 SOLD 3045.25				**-412.50**	**0.00**
22SEP17	B 1 PD22DEC17	3028.00	3264.00	USD	5,900.00	
22SEP17	S 1 PD22DEC17	3024.00	3264.00	USD	-6,000.00	
22SEP17	S 1 PD22DEC17	3059.50	3264.00	USD	-5,112.50	
22SEP17	B 1 PD22DEC17	3066.50	3264.00	USD	4,937.50	
	NET 0 AVG BGHT 3047.25 SOLD 3041.75				**-275.00**	**0.00**
02OCT17	S 1 PD02JAN18	3208.50	3264.00	USD	-1,387.50	
02OCT17	S 1 PD02JAN18	3212.00	3264.00	USD	-1,300.00	
02OCT17	B 2 PD02JAN18	3212.50	3264.00	USD	2,575.00	
02OCT17	S 1 PD02JAN18	3213.50	3264.00	USD	-1,262.50	
02OCT17	B 1 PD02JAN18	3216.00	3264.00	USD	1,200.00	
02OCT17	S 2 PD02JAN18	3233.00	3264.00	USD	-1,550.00	
02OCT17	S 2 PD02JAN18	3245.50	3264.00	USD	-925.00	
02OCT17	B 2 PD02JAN18	3235.50	3264.00	USD	1,425.00	
02OCT17	B 1 PD02JAN18	3238.00	3264.00	USD	650.00	
02OCT17	B 1 PD02JAN18	3247.50	3264.00	USD	412.50	
	NET 0 AVG BGHT 3228.21 SOLD 3227.29				**-162.50**	**0.00**
03OCT17	B 1 PD03JAN18	3255.50	3264.00	USD	212.50	
03OCT17	S 1 PD03JAN18	3269.00	3264.00	USD	125.00	
03OCT17	S 1 PD03JAN18	3247.00	3264.00	USD	-425.00	
03OCT17	B 1 PD03JAN18	3263.00	3264.00	USD	25.00	
03OCT17	S 1 PD03JAN18	3275.50	3264.00	USD	287.50	
03OCT17	B 1 PD03JAN18	3271.50	3264.00	USD	-187.50	
	NET 0 AVG BGHT 3263.33 SOLD 3263.83				**37.50**	**0.00**

OPEN POSITION VALUE

		USD
LME Copper Grade A 25	FUTURES	-6,663.00
LME Lead 25 tonnes. This is an	FUTURES	-1,425.00
LME Nickel 6 tonnes. This is	FUTURES	-1,110.00
LME Tin 5 tonnes. This is an	FUTURES	5,119.20
LME Zinc 25 tonnes. This is	FUTURES	-525.00

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119J

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards			Amount	Profit / Loss	**Total Amount**	**Total P&L**
COPL	- Futures	USD	-6,663.00	-6,663.00		
LEAD	- Futures	USD	-1,425.00	-1,425.00		
NKL	- Futures	USD	-1,110.00	-1,110.00		
TIN	- Futures	USD	5,119.20	5,119.20		
ZINC	- Futures	USD	-525.00	-525.00	**-4,603.80**	**-4,603.80**

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		7,366.38	7,366.38
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**7,366.38**	**7,366.38**
Initial Margins		-4,603.80	-4,603.80
Excess/(Shortage)		**2,762.58**	**2,762.58**

FOR INFORMATION ONLY

Forward Unrealised P&L		-4,603.80	-4,603.80
Net Liquidating Value		**2,762.58**	**2,762.58**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119K

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	CME LEAN HOG 400 CME					
02OCT17	B 1 DEC17	62.5500	62.0750	USD	-190.00	
03OCT17	B 2 DEC17	62.6500	62.0750	USD	-460.00	
	NET +3 AVG BGHT 62.6167				**-650.00**	**0.00**
02OCT17	S 2 FEB18	67.4750	67.3500	USD	100.00	
03OCT17	S 1 FEB18	67.9500	67.3500	USD	240.00	
03OCT17	S 1 FEB18	67.7000	67.3500	USD	140.00	
03OCT17	S 2 FEB18	67.8250	67.3500	USD	380.00	
	NET -6 AVG SOLD 67.7083				**860.00**	**0.00**
02OCT17	B 1 APR18	71.8000	72.0000	USD	80.00	
03OCT17	B 1 APR18	72.3750	72.0000	USD	-150.00	
03OCT17	B 1 APR18	72.1500	72.0000	USD	-60.00	
	NET +3 AVG BGHT 72.1083				**-130.00**	**0.00**
02OCT17	B 1 JUN18	79.8000	80.3000	USD	200.00	
	NET +1 AVG BGHT 79.8000				**200.00**	**0.00**
02OCT17	S 2 JUL18	80.1750	80.4750	USD	-240.00	
	NET -2 AVG SOLD 80.1750				**-240.00**	**0.00**
02OCT17	B 1 AUG18	79.5250	79.7250	USD	80.00	
	NET +1 AVG BGHT 79.5250				**80.00**	**0.00**

OPEN POSITION VALUE

		USD
CME Lean Hog	FUTURES	1,240.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options		Amount	Profit / Loss	**Total Amount**	**Total P&L**
HOGS - Futures	USD	120.00	120.00	**120.00**	**120.00**

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-60.00	-60.00
Variation Margins	- Futures	120.00	120.00
	- Options	0.00	0.00
TOTAL EQUITY		**60.00**	**60.00**
Initial Margins		-4,600.00	-4,600.00
Excess/(Shortage)		**-4,540.00**	**-4,540.00**
FOR INFORMATION ONLY			
Net Liquidating Value		**60.00**	**60.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119L

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	NYM LIGHT SWEET CRUDE OIL 1,000 NYM					
02OCT17	B 1 DEC18	50.71	50.69	USD	-20.00	
02OCT17	B 2 DEC18	50.68	50.69	USD	20.00	
02OCT17	B 1 DEC18	50.70	50.69	USD	-10.00	
02OCT17	B 3 DEC18	50.69	50.69	USD	0.00	
	NET +7 AVG BGHT 50.69				**-10.00**	**0.00**
02OCT17	S 2 JUN19	50.21	50.23	USD	-40.00	
02OCT17	S 4 JUN19	50.18	50.23	USD	-200.00	
02OCT17	S 3 JUN19	50.19	50.23	USD	-120.00	
02OCT17	S 1 JUN19	50.24	50.23	USD	10.00	
02OCT17	S 2 JUN19	50.23	50.23	USD	0.00	
02OCT17	S 3 JUN19	50.31	50.23	USD	240.00	
02OCT17	S 2 JUN19	50.27	50.23	USD	80.00	
02OCT17	S 2 JUN19	50.28	50.23	USD	100.00	
02OCT17	S 2 JUN19	50.30	50.23	USD	140.00	
	NET -21 AVG SOLD 50.24				**210.00**	**0.00**
28SEP17	B 1 DEC19	50.44	49.95	USD	-490.00	
28SEP17	B 2 DEC19	50.35	49.95	USD	-800.00	
02OCT17	B 1 DEC19	49.97	49.95	USD	-20.00	
02OCT17	B 1 DEC19	50.04	49.95	USD	-90.00	
02OCT17	B 2 DEC19	50.05	49.95	USD	-200.00	
03OCT17	B 1 DEC19	49.99	49.95	USD	-40.00	
03OCT17	B 2 DEC19	49.98	49.95	USD	-60.00	
03OCT17	B 1 DEC19	50.08	49.95	USD	-130.00	
03OCT17	B 2 DEC19	50.07	49.95	USD	-240.00	
03OCT17	B 1 DEC19	49.96	49.95	USD	-10.00	
	NET +14 AVG BGHT 50.10				**-2,080.00**	**0.00**
	CME LEAN HOG 400 CME					
02OCT17	B 1 JUN18	79.7250	80.3000	USD	230.00	
02OCT17	B 3 JUN18	79.8000	80.3000	USD	600.00	
	NET +4 AVG BGHT 79.7813				**830.00**	**0.00**
02OCT17	S 2 JUL18	79.7750	80.4750	USD	-560.00	
02OCT17	S 2 JUL18	79.9500	80.4750	USD	-420.00	
02OCT17	S 2 JUL18	80.1500	80.4750	USD	-260.00	
02OCT17	S 2 JUL18	80.2000	80.4750	USD	-220.00	
	NET -8 AVG SOLD 80.0188				**-1,460.00**	**0.00**
02OCT17	B 1 AUG18	79.0000	79.7250	USD	290.00	
02OCT17	B 1 AUG18	79.2000	79.7250	USD	210.00	
02OCT17	B 1 AUG18	79.5250	79.7250	USD	80.00	
02OCT17	B 1 AUG18	79.5500	79.7250	USD	70.00	
	NET +4 AVG BGHT 79.3188				**650.00**	**0.00**
	IEU BRENT CRUDE 1,000 IPE					
25SEP17	S 2 JUN18	57.03	55.19	USD	3,680.00	
28SEP17	S 2 JUN18	56.90	55.19	USD	3,420.00	
28SEP17	S 1 JUN18	56.89	55.19	USD	1,700.00	
28SEP17	S 1 JUN18	57.10	55.19	USD	1,910.00	
28SEP17	S 4 JUN18	56.92	55.19	USD	6,920.00	
28SEP17	S 1 JUN18	56.91	55.19	USD	1,720.00	
28SEP17	S 1 JUN18	56.44	55.19	USD	1,250.00	
28SEP17	S 1 JUN18	56.29	55.19	USD	1,100.00	
28SEP17	S 1 JUN18	55.99	55.19	USD	800.00	
28SEP17	S 1 JUN18	55.75	55.19	USD	560.00	
28SEP17	S 4 JUN18	55.87	55.19	USD	2,720.00	
28SEP17	S 1 JUN18	55.92	55.19	USD	730.00	
28SEP17	S 4 JUN18	55.89	55.19	USD	2,800.00	
28SEP17	S 1 JUN18	55.81	55.19	USD	620.00	
28SEP17	S 2 JUN18	55.78	55.19	USD	1,180.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119L

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	IEU BRENT CRUDE 1,000 IPE					
28SEP17	S 9 JUN18	55.82	55.19	USD	5,670.00	
03OCT17	S 2 JUN18	55.23	55.19	USD	80.00	
03OCT17	S 1 JUN18	55.24	55.19	USD	50.00	
03OCT17	S 2 JUN18	55.20	55.19	USD	20.00	
03OCT17	S 1 JUN18	55.01	55.19	USD	-180.00	
03OCT17	S 1 JUN18	55.19	55.19	USD	0.00	
	NET -43 AVG SOLD 56.04				**36,750.00**	**0.00**
28SEP17	B 2 SEP18	55.39	54.90	USD	-980.00	
28SEP17	B 4 SEP18	55.51	54.90	USD	-2,440.00	
28SEP17	B 2 SEP18	55.55	54.90	USD	-1,300.00	
28SEP17	B 8 SEP18	55.52	54.90	USD	-4,960.00	
28SEP17	B 4 SEP18	55.53	54.90	USD	-2,520.00	
28SEP17	B 5 SEP18	55.46	54.90	USD	-2,800.00	
28SEP17	B 2 SEP18	55.42	54.90	USD	-1,040.00	
28SEP17	B 3 SEP18	55.43	54.90	USD	-1,590.00	
28SEP17	B 5 SEP18	55.47	54.90	USD	-2,850.00	
28SEP17	B 5 SEP18	55.48	54.90	USD	-2,900.00	
	NET +40 AVG BGHT 55.48				**-23,380.00**	**0.00**
25SEP17	B 1 DEC18	56.23	54.65	USD	-1,580.00	
25SEP17	B 1 DEC18	56.24	54.65	USD	-1,590.00	
25SEP17	B 7 DEC18	56.14	54.65	USD	-10,430.00	
28SEP17	B 1 DEC18	55.71	54.65	USD	-1,060.00	
28SEP17	B 2 DEC18	55.62	54.65	USD	-1,940.00	
28SEP17	B 2 DEC18	55.63	54.65	USD	-1,960.00	
28SEP17	B 1 DEC18	55.64	54.65	USD	-990.00	
28SEP17	B 2 DEC18	55.32	54.65	USD	-1,340.00	
28SEP17	B 4 DEC18	55.13	54.65	USD	-1,920.00	
03OCT17	B 2 DEC18	54.68	54.65	USD	-60.00	
03OCT17	B 5 DEC18	54.66	54.65	USD	-50.00	
03OCT17	B 1 DEC18	54.62	54.65	USD	30.00	
03OCT17	B 1 DEC18	54.49	54.65	USD	160.00	
03OCT17	B 5 DEC18	54.63	54.65	USD	100.00	
	NET +35 AVG BGHT 55.30				**-22,630.00**	**0.00**
25SEP17	S 1 JUN19	55.69	54.39	USD	1,300.00	
25SEP17	S 3 JUN19	55.70	54.39	USD	3,930.00	
25SEP17	S 1 JUN19	55.59	54.39	USD	1,200.00	
25SEP17	S 7 JUN19	55.60	54.39	USD	8,470.00	
27SEP17	S 5 JUN19	55.54	54.39	USD	5,750.00	
27SEP17	S 5 JUN19	55.44	54.39	USD	5,250.00	
28SEP17	S 2 JUN19	55.28	54.39	USD	1,780.00	
28SEP17	S 3 JUN19	55.24	54.39	USD	2,550.00	
28SEP17	S 5 JUN19	55.25	54.39	USD	4,300.00	
	NET -32 AVG SOLD 55.47				**34,530.00**	**0.00**

OPEN POSITION VALUE

		USD
NYM Light Sweet Crude Oil	FUTURES	-1,180.00
CME Lean Hog	FUTURES	1,500.00
IEU Brent Crude	FUTURES	30,170.00

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119L

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options			Amount	Profit / Loss	**Total Amount**	**Total P&L**
COIL	- Futures	USD	-1,880.00	-1,880.00		
HOGS	- Futures	USD	20.00	20.00		
LCO	- Futures	USD	25,270.00	25,270.00	**23,410.00**	**23,410.00**

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-22,195.00	-22,195.00
Variation Margins	- Futures	23,410.00	23,410.00
	- Options	0.00	0.00
TOTAL EQUITY		**1,215.00**	**1,215.00**
Initial Margins		-21,268.00	-21,268.00
Excess/(Shortage)		**-20,053.00**	**-20,053.00**
FOR INFORMATION ONLY			
Net Liquidating Value		**1,215.00**	**1,215.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119M

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	CBT SOYBEANS 5,000 CBT					
03OCT17	B 1 JUL18	9.91000	9.91750	USD	37.50	
03OCT17	B 1 JUL18	9.92250	9.91750	USD	-25.00	
	NET +2 AVG BGHT 9.91625				**12.50**	**0.00**
03OCT17	S 1 NOV18	9.75500	9.77250	USD	-87.50	
03OCT17	S 1 NOV18	9.76500	9.77250	USD	-37.50	
	NET -2 AVG SOLD 9.76000				**-125.00**	**0.00**
	CME CATTLE 400 CME					
02OCT17	B 1 DEC17	114.8750	114.9000	USD	10.00	
02OCT17	B 1 DEC17	113.4500	114.9000	USD	580.00	
	NET +2 AVG BGHT 114.1625				**590.00**	**0.00**
02OCT17	S 1 FEB18	118.1000	118.5250	USD	-170.00	
02OCT17	S 1 FEB18	118.5000	118.5250	USD	-10.00	
02OCT17	S 1 FEB18	118.2750	118.5250	USD	-100.00	
02OCT17	S 1 FEB18	117.3250	118.5250	USD	-480.00	
	NET -4 AVG SOLD 118.0500				**-760.00**	**0.00**
02OCT17	B 1 APR18	118.8250	120.1250	USD	520.00	
02OCT17	B 1 APR18	118.9750	120.1250	USD	460.00	
	NET +2 AVG BGHT 118.9000				**980.00**	**0.00**
03OCT17	S 1 AUG18	110.6000	111.1250	USD	-210.00	
03OCT17	S 1 AUG18	111.0000	111.1250	USD	-50.00	
	NET -2 AVG SOLD 110.8000				**-260.00**	**0.00**
03OCT17	S 1 OCT18	111.1500	111.8000	USD	-260.00	
	NET -1 AVG SOLD 111.1500				**-260.00**	**0.00**
03OCT17	B 1 DEC18	111.3500	112.6500	USD	520.00	
03OCT17	B 1 DEC18	111.9000	112.6500	USD	300.00	
03OCT17	B 1 DEC18	112.0000	112.6500	USD	260.00	
	NET +3 AVG BGHT 111.7500				**1,080.00**	**0.00**
	CME FEEDER CATTLE 500 CME					
02OCT17	B 1 NOV17	154.0250	154.3000	USD	137.50	
02OCT17	B 1 NOV17	153.1250	154.3000	USD	587.50	
02OCT17	B 1 NOV17	153.3000	154.3000	USD	500.00	
	NET +3 AVG BGHT 153.4833				**1,225.00**	**0.00**
02OCT17	S 1 JAN18	150.6000	152.0000	USD	-700.00	
02OCT17	S 1 JAN18	151.0000	152.0000	USD	-500.00	
02OCT17	S 1 JAN18	149.2250	152.0000	USD	-1,387.50	
	NET -3 AVG SOLD 150.2750				**-2,587.50**	**0.00**
25SEP17	B 2 MAR18	145.8000	149.8750	USD	4,075.00	
	NET +2 AVG BGHT 145.8000				**4,075.00**	**0.00**
29SEP17	S 2 APR18	148.5750	149.6000	USD	-1,025.00	
29SEP17	S 2 APR18	148.9000	149.6000	USD	-700.00	
29SEP17	S 1 APR18	148.7250	149.6000	USD	-437.50	
29SEP17	S 1 APR18	148.7000	149.6000	USD	-450.00	
	NET -6 AVG SOLD 148.7292				**-2,612.50**	**0.00**
29SEP17	B 1 MAY18	147.8750	149.0000	USD	562.50	
	NET +1 AVG BGHT 147.8750				**562.50**	**0.00**
28SEP17	B 1 AUG18	149.3750	149.8000	USD	212.50	
02OCT17	B 1 AUG18	147.2500	149.8000	USD	1,275.00	
03OCT17	B 1 AUG18	147.8250	149.8000	USD	987.50	
	NET +3 AVG BGHT 148.1500				**2,475.00**	**0.00**
	CME LEAN HOG 400 CME					
29SEP17	B 1 DEC17	59.1000	62.0750	USD	1,190.00	
02OCT17	B 1 DEC17	62.5000	62.0750	USD	-170.00	
03OCT17	B 1 DEC17	62.6750	62.0750	USD	-240.00	
	NET +3 AVG BGHT 61.4250				**780.00**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119M

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	CME LEAN HOG 400 CME					
29SEP17	S 1 FEB18	65.4250	67.3500	USD	-770.00	
29SEP17	S 1 FEB18	65.6000	67.3500	USD	-700.00	
02OCT17	S 1 FEB18	66.9000	67.3500	USD	-180.00	
02OCT17	S 1 FEB18	67.4000	67.3500	USD	20.00	
03OCT17	S 2 FEB18	67.8250	67.3500	USD	380.00	
	NET -6 AVG SOLD 66.8292				**-1,250.00**	**0.00**
29SEP17	B 1 APR18	70.1750	72.0000	USD	730.00	
02OCT17	B 1 APR18	71.9250	72.0000	USD	30.00	
03OCT17	B 1 APR18	72.1500	72.0000	USD	-60.00	
	NET +3 AVG BGHT 71.4167				**700.00**	**0.00**
28SEP17	B 1 JUN18	78.6000	80.3000	USD	680.00	
29SEP17	B 1 JUN18	79.1250	80.3000	USD	470.00	
02OCT17	B 1 JUN18	79.8500	80.3000	USD	180.00	
	NET +3 AVG BGHT 79.1917				**1,330.00**	**0.00**
27SEP17	S 1 JUL18	78.5750	80.4750	USD	-760.00	
27SEP17	S 2 JUL18	78.5250	80.4750	USD	-1,560.00	
28SEP17	S 1 JUL18	78.6000	80.4750	USD	-750.00	
28SEP17	S 1 JUL18	78.6250	80.4750	USD	-740.00	
29SEP17	S 2 JUL18	78.6250	80.4750	USD	-1,480.00	
	NET -7 AVG SOLD 78.5857				**-5,290.00**	**0.00**
29SEP17	B 1 AUG18	77.8500	79.7250	USD	750.00	
	NET +1 AVG BGHT 77.8500				**750.00**	**0.00**
25SEP17	B 1 OCT18	65.0000	66.9000	USD	760.00	
02OCT17	B 1 OCT18	65.9500	66.9000	USD	380.00	
	NET +2 AVG BGHT 65.4750				**1,140.00**	**0.00**
29SEP17	B 1 DEC18	59.9000	61.6500	USD	700.00	
	NET +1 AVG BGHT 59.9000				**700.00**	**0.00**
	CBT SOYBEAN MEAL 100 CBT					
02OCT17	S 1 JUL18	3.2230	3.2130	USD	100.00	
	NET -1 AVG SOLD 3.2230				**100.00**	**0.00**
02OCT17	B 1 DEC18	3.1980	3.1870	USD	-110.00	
	NET +1 AVG BGHT 3.1980				**-110.00**	**0.00**
	WHITE SUGAR 50 LDN					
28SEP17	B 1 MAR18	363.50	368.80	USD	265.00	
	NET +1 AVG BGHT 363.50				**265.00**	**0.00**
02OCT17	S 1 MAY18	382.40	377.30	USD	255.00	
	NET -1 AVG SOLD 382.40				**255.00**	**0.00**

OPEN POSITION VALUE

		USD
CBT Soybeans	FUTURES	-1,562.50
CME Cattle	FUTURES	1,430.00
CME Feeder Cattle	FUTURES	-587.50
CME Lean Hog	FUTURES	18,510.00
CBT Soybean Meal	FUTURES	250.00
White Sugar	FUTURES	945.00

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119M

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options			Amount	Profit / Loss	**Total Amount**	**Total P&L**
BEAN	- Futures	USD	-112.50	-112.50		
CATT	- Futures	USD	1,370.00	1,370.00		
FCAT	- Futures	USD	3,137.50	3,137.50		
HOGS	- Futures	USD	-1,140.00	-1,140.00		
MEAL	- Futures	USD	-10.00	-10.00		
SUGL	- Futures	USD	520.00	520.00	**3,765.00**	**3,765.00**

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-5,410.30	-5,410.30
Variation Margins	- Futures	3,765.00	3,765.00
	- Options	0.00	0.00
TOTAL EQUITY		**-1,645.30**	**-1,645.30**
Initial Margins		-20,558.00	-20,558.00
Excess/(Shortage)		**-22,203.30**	**-22,203.30**
FOR INFORMATION ONLY			
Net Liquidating Value		**-1,645.30**	**-1,645.30**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119N

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	CME CATTLE 400 CME					
02OCT17	B 1 DEC17	113.4500	114.9000	USD	580.00	
03OCT17	B 1 DEC17	114.9500	114.9000	USD	-20.00	
	NET +2 AVG BGHT 114.2000				**560.00**	**0.00**
02OCT17	S 1 FEB18	117.2000	118.5250	USD	-530.00	
03OCT17	S 1 FEB18	118.4250	118.5250	USD	-40.00	
03OCT17	S 1 FEB18	118.6500	118.5250	USD	50.00	
	NET -3 AVG SOLD 118.0917				**-520.00**	**0.00**
03OCT17	B 1 APR18	119.9000	120.1250	USD	90.00	
03OCT17	B 1 APR18	120.1000	120.1250	USD	10.00	
	NET +2 AVG BGHT 120.0000				**100.00**	**0.00**
03OCT17	S 1 JUN18	113.4750	113.5250	USD	-20.00	
	NET -1 AVG SOLD 113.4750				**-20.00**	**0.00**
27SEP17	S 1 AUG18	111.1000	111.1250	USD	-10.00	
28SEP17	S 1 AUG18	111.0750	111.1250	USD	-20.00	
	NET -2 AVG SOLD 111.0875				**-30.00**	**0.00**
02OCT17	B 1 OCT18	110.5750	111.8000	USD	490.00	
	NET +1 AVG BGHT 110.5750				**490.00**	**0.00**
03OCT17	B 1 DEC18	112.3000	112.6500	USD	140.00	
	NET +1 AVG BGHT 112.3000				**140.00**	**0.00**
	CME FEEDER CATTLE 500 CME					
03OCT17	B 1 JAN18	151.3000	152.0000	USD	350.00	
	NET +1 AVG BGHT 151.3000				**350.00**	**0.00**
03OCT17	S 1 MAR18	149.1500	149.8750	USD	-362.50	
03OCT17	S 1 MAR18	150.1250	149.8750	USD	125.00	
	NET -2 AVG SOLD 149.6375				**-237.50**	**0.00**
29SEP17	B 1 MAY18	148.1250	149.0000	USD	437.50	
	NET +1 AVG BGHT 148.1250				**437.50**	**0.00**
	CME LEAN HOG 400 CME					
29SEP17	B 1 DEC17	60.2750	62.0750	USD	720.00	
02OCT17	B 1 DEC17	61.9500	62.0750	USD	50.00	
	NET +2 AVG BGHT 61.1125				**770.00**	**0.00**
29SEP17	S 2 FEB18	65.5000	67.3500	USD	-1,480.00	
02OCT17	S 1 FEB18	67.1500	67.3500	USD	-80.00	
03OCT17	S 1 FEB18	68.0000	67.3500	USD	260.00	
	NET -4 AVG SOLD 66.5375				**-1,300.00**	**0.00**
03OCT17	B 1 APR18	72.0500	72.0000	USD	-20.00	
	NET +1 AVG BGHT 72.0500				**-20.00**	**0.00**
27SEP17	B 1 JUN18	78.5750	80.3000	USD	690.00	
27SEP17	B 1 JUN18	78.7250	80.3000	USD	630.00	
02OCT17	B 1 JUN18	79.5750	80.3000	USD	290.00	
02OCT17	B 1 JUN18	79.6000	80.3000	USD	280.00	
02OCT17	B 1 JUN18	79.8250	80.3000	USD	190.00	
	NET +5 AVG BGHT 79.2600				**2,080.00**	**0.00**
14SEP17	S 1 JUL18	77.2500	80.4750	USD	-1,290.00	
14SEP17	S 2 JUL18	77.8000	80.4750	USD	-2,140.00	
14SEP17	S 1 JUL18	77.6750	80.4750	USD	-1,120.00	
27SEP17	S 2 JUL18	78.5750	80.4750	USD	-1,520.00	
02OCT17	S 2 JUL18	79.9750	80.4750	USD	-400.00	
03OCT17	S 1 JUL18	80.4250	80.4750	USD	-20.00	
03OCT17	S 1 JUL18	80.3000	80.4750	USD	-70.00	
	NET -10 AVG SOLD 78.8350				**-6,560.00**	**0.00**
14SEP17	B 1 AUG18	77.8250	79.7250	USD	760.00	
14SEP17	B 1 AUG18	77.6750	79.7250	USD	820.00	
27SEP17	B 1 AUG18	77.8500	79.7250	USD	750.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119N

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	CME LEAN HOG 400 CME					
02OCT17	B 1 AUG18	79.2000	79.7250	USD	210.00	
03OCT17	B 1 AUG18	79.1750	79.7250	USD	220.00	
03OCT17	B 1 AUG18	79.4750	79.7250	USD	100.00	
	NET +6 AVG BGHT 78.5333				**2,860.00**	**0.00**

OPEN POSITION VALUE

		USD
CME Cattle	FUTURES	-540.00
CME Feeder Cattle	FUTURES	-75.00
CME Lean Hog	FUTURES	-2,910.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options			Amount	Profit / Loss	**Total Amount**	**Total P&L**
CATT	- Futures	USD	720.00	720.00		
FCAT	- Futures	USD	550.00	550.00		
HOGS	- Futures	USD	-2,170.00	-2,170.00	**-900.00**	**-900.00**

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-1,735.00	-1,735.00
Variation Margins	- Futures	-900.00	-900.00
	- Options	0.00	0.00
TOTAL EQUITY		**-2,635.00**	**-2,635.00**
Initial Margins		-13,125.00	-13,125.00
Excess/(Shortage)		**-15,760.00**	**-15,760.00**
FOR INFORMATION ONLY			
Net Liquidating Value		**-2,635.00**	**-2,635.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119P

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	CME LEAN HOG 400 CME					
02OCT17	S 1 APR18	71.7250	72.0000	USD	-110.00	
	NET -1 AVG SOLD 71.7250				**-110.00**	**0.00**
02OCT17	B 1 MAY18	76.9000	77.1500	USD	100.00	
	NET +1 AVG BGHT 76.9000				**100.00**	**0.00**

OPEN POSITION VALUE

		USD
CME Lean Hog	FUTURES	-2,070.00

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options		Amount	Profit / Loss	**Total Amount**	**Total P&L**
HOGS - Futures	USD	-10.00	-10.00	**-10.00**	**-10.00**

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-2,475.00	-2,475.00
Variation Margins	- Futures	-10.00	-10.00
	- Options	0.00	0.00
TOTAL EQUITY		**-2,485.00**	**-2,485.00**
Initial Margins		-500.00	-500.00
Excess/(Shortage)		**-2,985.00**	**-2,985.00**
FOR INFORMATION ONLY			
Net Liquidating Value		**-2,485.00**	**-2,485.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119Q

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		750.00	750.00
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**750.00**	**750.00**
Initial Margins		0.00	0.00
Excess/(Shortage)		**750.00**	**750.00**
FOR INFORMATION ONLY			
Net Liquidating Value		**750.00**	**750.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119R

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	CME FEEDER CATTLE 500 CME					
27SEP17	B 1 NOV17	154.4500	154.3000	USD	-75.00	
	NET +1 AVG BGHT 154.4500				**-75.00**	**0.00**
27SEP17	S 1 JAN18	152.3000	152.0000	USD	150.00	
	NET -1 AVG SOLD 152.3000				**150.00**	**0.00**
	IEU GAS OIL 100 IPE					
02OCT17	B 4 APR18	507.500	507.000	USD	-200.00	
02OCT17	B 1 APR18	507.250	507.000	USD	-25.00	
	NET +5 AVG BGHT 507.450				**-225.00**	**0.00**
25SEP17	S 3 MAY18	520.750	505.250	USD	4,650.00	
02OCT17	S 4 MAY18	505.750	505.250	USD	200.00	
02OCT17	S 1 MAY18	505.500	505.250	USD	25.00	
03OCT17	S 2 MAY18	505.250	505.250	USD	0.00	
	NET -10 AVG SOLD 510.125				**4,875.00**	**0.00**
03OCT17	B 5 JUN18	503.500	503.500	USD	0.00	
	NET +5 AVG BGHT 503.500				**0.00**	**0.00**
	CME LEAN HOG 400 CME					
02OCT17	B 1 DEC17	61.9500	62.0750	USD	50.00	
03OCT17	B 1 DEC17	62.3000	62.0750	USD	-90.00	
	NET +2 AVG BGHT 62.1250				**-40.00**	**0.00**
02OCT17	S 2 FEB18	67.1250	67.3500	USD	-180.00	
03OCT17	S 2 FEB18	67.5500	67.3500	USD	160.00	
	NET -4 AVG SOLD 67.3375				**-20.00**	**0.00**
03OCT17	B 1 APR18	71.9750	72.0000	USD	10.00	
	NET +1 AVG BGHT 71.9750				**10.00**	**0.00**
29SEP17	S 1 MAY18	75.8500	77.1500	USD	-520.00	
	NET -1 AVG SOLD 75.8500				**-520.00**	**0.00**
19SEP17	B 1 JUN18	78.5500	80.3000	USD	700.00	
29SEP17	B 1 JUN18	78.7500	80.3000	USD	620.00	
02OCT17	B 1 JUN18	79.9250	80.3000	USD	150.00	
	NET +3 AVG BGHT 79.0750				**1,470.00**	**0.00**
02OCT17	S 2 JUL18	80.3000	80.4750	USD	-140.00	
	NET -2 AVG SOLD 80.3000				**-140.00**	**0.00**
25SEP17	B 1 OCT18	64.9750	66.9000	USD	770.00	
	NET +1 AVG BGHT 64.9750				**770.00**	**0.00**
	ICE SUGAR NO.11 1,120 FIN					
03OCT17	B 1 MAR18	14.06	14.04	USD	-22.40	
03OCT17	B 1 MAR18	14.03	14.04	USD	11.20	
	NET +2 AVG BGHT 14.05				**-11.20**	**0.00**

OPEN POSITION VALUE

		USD
CME Feeder Cattle	FUTURES	-1,075.00
IEU Gas Oil	FUTURES	4,650.00
CME Lean Hog	FUTURES	2,950.00
ICE Sugar No.11	FUTURES	-31,460.80

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119R

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options			Amount	Profit / Loss	**Total Amount**	**Total P&L**
FCAT	- Futures	USD	75.00	75.00		
GASO	- Futures	USD	4,650.00	4,650.00		
HOGS	- Futures	USD	1,530.00	1,530.00		
SUGR	- Futures	USD	-11.20	-11.20	**6,243.80**	**6,243.80**

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-11,408.60	-11,408.60
Variation Margins	- Futures	6,243.80	6,243.80
	- Options	0.00	0.00
TOTAL EQUITY		**-5,164.80**	**-5,164.80**
Initial Margins		-7,094.00	-7,094.00
Excess/(Shortage)		**-12,258.80**	**-12,258.80**
FOR INFORMATION ONLY			
Net Liquidating Value		**-5,164.80**	**-5,164.80**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119S

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	NYM LIGHT SWEET CRUDE OIL 1,000 NYM					
02OCT17	B 1 DEC18	50.71	50.69	USD	-20.00	
02OCT17	B 3 DEC18	50.66	50.69	USD	90.00	
	NET +4 AVG BGHT 50.67				**70.00**	**0.00**
02OCT17	S 3 JUN19	50.18	50.23	USD	-150.00	
02OCT17	S 2 JUN19	50.20	50.23	USD	-60.00	
02OCT17	S 1 JUN19	50.21	50.23	USD	-20.00	
02OCT17	S 1 JUN19	50.28	50.23	USD	50.00	
02OCT17	S 2 JUN19	50.30	50.23	USD	140.00	
02OCT17	S 2 JUN19	50.25	50.23	USD	40.00	
02OCT17	S 1 JUN19	50.27	50.23	USD	40.00	
	NET -12 AVG SOLD 50.23				**40.00**	**0.00**
28SEP17	B 1 DEC19	50.44	49.95	USD	-490.00	
02OCT17	B 1 DEC19	49.95	49.95	USD	0.00	
02OCT17	B 1 DEC19	50.03	49.95	USD	-80.00	
02OCT17	B 1 DEC19	50.01	49.95	USD	-60.00	
03OCT17	B 1 DEC19	50.06	49.95	USD	-110.00	
03OCT17	B 1 DEC19	49.97	49.95	USD	-20.00	
03OCT17	B 1 DEC19	50.09	49.95	USD	-140.00	
03OCT17	B 1 DEC19	49.98	49.95	USD	-30.00	
	NET +8 AVG BGHT 50.07				**-930.00**	**0.00**
	IEU BRENT CRUDE 1,000 IPE					
25SEP17	S 1 JUN18	56.54	55.19	USD	1,350.00	
25SEP17	S 1 JUN18	56.58	55.19	USD	1,390.00	
25SEP17	S 1 JUN18	56.84	55.19	USD	1,650.00	
25SEP17	S 1 JUN18	57.13	55.19	USD	1,940.00	
28SEP17	S 1 JUN18	56.87	55.19	USD	1,680.00	
28SEP17	S 2 JUN18	56.90	55.19	USD	3,420.00	
28SEP17	S 1 JUN18	56.88	55.19	USD	1,690.00	
28SEP17	S 1 JUN18	56.93	55.19	USD	1,740.00	
28SEP17	S 1 JUN18	57.10	55.19	USD	1,910.00	
28SEP17	S 2 JUN18	56.91	55.19	USD	3,440.00	
28SEP17	S 1 JUN18	56.92	55.19	USD	1,730.00	
28SEP17	S 1 JUN18	56.44	55.19	USD	1,250.00	
28SEP17	S 1 JUN18	56.42	55.19	USD	1,230.00	
28SEP17	S 1 JUN18	55.99	55.19	USD	800.00	
28SEP17	S 1 JUN18	55.69	55.19	USD	500.00	
28SEP17	S 1 JUN18	55.84	55.19	USD	650.00	
28SEP17	S 3 JUN18	55.87	55.19	USD	2,040.00	
28SEP17	S 2 JUN18	55.90	55.19	USD	1,420.00	
28SEP17	S 2 JUN18	55.89	55.19	USD	1,400.00	
28SEP17	S 1 JUN18	55.88	55.19	USD	690.00	
28SEP17	S 3 JUN18	55.80	55.19	USD	1,830.00	
28SEP17	S 2 JUN18	55.78	55.19	USD	1,180.00	
28SEP17	S 5 JUN18	55.82	55.19	USD	3,150.00	
28SEP17	S 1 JUN18	56.04	55.19	USD	850.00	
02OCT17	S 1 JUN18	55.24	55.19	USD	50.00	
03OCT17	S 1 JUN18	55.21	55.19	USD	20.00	
03OCT17	S 1 JUN18	55.17	55.19	USD	-20.00	
03OCT17	S 1 JUN18	55.24	55.19	USD	50.00	
03OCT17	S 1 JUN18	55.19	55.19	USD	0.00	
	NET -42 AVG SOLD 56.12				**39,030.00**	**0.00**
28SEP17	B 2 SEP18	55.33	54.90	USD	-860.00	
28SEP17	B 2 SEP18	55.54	54.90	USD	-1,280.00	
28SEP17	B 3 SEP18	55.53	54.90	USD	-1,890.00	
28SEP17	B 7 SEP18	55.52	54.90	USD	-4,340.00	
28SEP17	B 4 SEP18	55.51	54.90	USD	-2,440.00	
28SEP17	B 2 SEP18	55.46	54.90	USD	-1,120.00	

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119S

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	IEU BRENT CRUDE 1,000 IPE					
28SEP17	B 2 SEP18	55.44	54.90	USD	-1,080.00	
28SEP17	B 2 SEP18	55.45	54.90	USD	-1,100.00	
28SEP17	B 2 SEP18	55.43	54.90	USD	-1,060.00	
28SEP17	B 2 SEP18	55.42	54.90	USD	-1,040.00	
28SEP17	B 6 SEP18	55.48	54.90	USD	-3,480.00	
28SEP17	B 4 SEP18	55.47	54.90	USD	-2,280.00	
28SEP17	B 2 SEP18	55.67	54.90	USD	-1,540.00	
	NET +40 AVG BGHT 55.49				**-23,510.00**	**0.00**
25SEP17	B 1 DEC18	55.86	54.65	USD	-1,210.00	
25SEP17	B 2 DEC18	55.95	54.65	USD	-2,600.00	
25SEP17	B 5 DEC18	55.91	54.65	USD	-6,300.00	
25SEP17	B 3 DEC18	56.09	54.65	USD	-4,320.00	
25SEP17	B 1 DEC18	56.25	54.65	USD	-1,600.00	
25SEP17	B 4 DEC18	56.24	54.65	USD	-6,360.00	
25SEP17	B 2 DEC18	56.12	54.65	USD	-2,940.00	
28SEP17	B 1 DEC18	55.70	54.65	USD	-1,050.00	
28SEP17	B 1 DEC18	55.72	54.65	USD	-1,070.00	
28SEP17	B 1 DEC18	55.63	54.65	USD	-980.00	
28SEP17	B 1 DEC18	55.59	54.65	USD	-940.00	
28SEP17	B 2 DEC18	55.32	54.65	USD	-1,340.00	
28SEP17	B 3 DEC18	55.13	54.65	USD	-1,440.00	
03OCT17	B 2 DEC18	54.58	54.65	USD	140.00	
03OCT17	B 1 DEC18	54.67	54.65	USD	-20.00	
03OCT17	B 1 DEC18	54.68	54.65	USD	-30.00	
03OCT17	B 1 DEC18	54.66	54.65	USD	-10.00	
03OCT17	B 3 DEC18	54.63	54.65	USD	60.00	
	NET +35 AVG BGHT 55.56				**-32,010.00**	**0.00**
25SEP17	S 3 JUN19	55.57	54.39	USD	3,540.00	
25SEP17	S 1 JUN19	55.56	54.39	USD	1,170.00	
25SEP17	S 2 JUN19	55.53	54.39	USD	2,280.00	
25SEP17	S 1 JUN19	55.52	54.39	USD	1,130.00	
25SEP17	S 3 JUN19	55.66	54.39	USD	3,810.00	
25SEP17	S 2 JUN19	55.69	54.39	USD	2,600.00	
25SEP17	S 6 JUN19	55.70	54.39	USD	7,860.00	
25SEP17	S 5 JUN19	55.58	54.39	USD	5,950.00	
27SEP17	S 5 JUN19	55.44	54.39	USD	5,250.00	
28SEP17	S 2 JUN19	55.29	54.39	USD	1,800.00	
28SEP17	S 3 JUN19	55.25	54.39	USD	2,580.00	
28SEP17	S 1 JUN19	55.21	54.39	USD	820.00	
28SEP17	S 1 JUN19	55.23	54.39	USD	840.00	
28SEP17	S 1 JUN19	54.79	54.39	USD	400.00	
28SEP17	S 2 JUN19	54.78	54.39	USD	780.00	
	NET -38 AVG SOLD 55.46				**40,810.00**	**0.00**
27SEP17	B 1 DEC19	55.09	54.20	USD	-890.00	
29SEP17	B 1 DEC19	54.93	54.20	USD	-730.00	
29SEP17	B 1 DEC19	54.90	54.20	USD	-700.00	
29SEP17	B 1 DEC19	55.08	54.20	USD	-880.00	
29SEP17	B 1 DEC19	55.06	54.20	USD	-860.00	
	NET +5 AVG BGHT 55.01				**-4,060.00**	**0.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0119S

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

OPEN POSITION VALUE

		USD
NYM Light Sweet Crude Oil	FUTURES	-420.00
IEU Brent Crude	**FUTURES**	**25,310.00**

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options			Amount	Profit / Loss	**Total Amount**	**Total P&L**
COIL	- Futures	USD	-820.00	-820.00		
LCO	- Futures	USD	20,260.00	20,260.00	**19,440.00**	**19,440.00**

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-20,720.00	-20,720.00
Variation Margins	- Futures	19,440.00	19,440.00
	- Options	0.00	0.00
TOTAL EQUITY		**-1,280.00**	**-1,280.00**
Initial Margins		-15,414.00	-15,414.00
Excess/(Shortage)		**-16,694.00**	**-16,694.00**
FOR INFORMATION ONLY			
Net Liquidating Value		**-1,280.00**	**-1,280.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED
SAP0119
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPG0119**

3rd Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

GROUP FINANCIAL SUMMARY

		USD (1.00000)	NET USD (1.00000)
CASH BALANCE		984,758.67	984,758.67
Variation Margins	- Futures	-164,308.70	-164,308.70
	- Options	0.00	0.00
TOTAL EQUITY		**820,449.97**	**820,449.97**
Initial Margins		-811,222.00	-811,222.00
Excess/(Shortage)		**9,227.97**	**9,227.97**
FOR INFORMATION ONLY			
Forward Unrealised P&L		161,923.24	161,923.24
Net Liquidating Value		**982,373.21**	**982,373.21**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0120

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		EUR	GBP	USD
		(1.17550)	(1.32460)	(1.00000)
CASH BALANCE		133.96	28,291.97	2,944.04
Variation Margins	- Futures	0.00	0.00	0.00
	- Options	0.00	0.00	0.00
TOTAL EQUITY		**133.96**	**28,291.97**	**2,944.04**
Initial Margins		0.00	0.00	0.00
Excess/(Shortage)		**133.96**	**28,291.97**	**2,944.04**
FOR INFORMATION ONLY				
Net Liquidating Value		**133.96**	**28,291.97**	**2,944.04**

		NETT USD
		(1.00000)
CASH BALANCE		40,577.05
Variation Margins	- Futures	0.00
	- Options	0.00
TOTAL EQUITY		**40,577.05**
Initial Margins		0.00
Excess/(Shortage)		**40,577.05**
FOR INFORMATION ONLY		
Net Liquidating Value		**40,577.05**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0120A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		EUR	USD	NETT USD
		(1.17550)	(1.00000)	(1.00000)
CASH BALANCE		-1,025.87	-3,009.90	-4,215.81
Variation Margins	- Futures	0.00	0.00	0.00
	- Options	0.00	0.00	0.00
TOTAL EQUITY		**-1,025.87**	**-3,009.90**	**-4,215.81**
Initial Margins		0.00	0.00	0.00
Excess/(Shortage)		**-1,025.87**	**-3,009.90**	**-4,215.81**
FOR INFORMATION ONLY				
Net Liquidating Value		**-1,025.87**	**-3,009.90**	**-4,215.81**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED
SAP0120
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPG0120**

3rd Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

GROUP FINANCIAL SUMMARY

		EUR (1.17550)	GBP (1.32460)	USD (1.00000)
CASH BALANCE		-891.91	28,291.97	-65.86
Variation Margins	- Futures	0.00	0.00	0.00
	- Options	0.00	0.00	0.00
TOTAL EQUITY		**-891.91**	**28,291.97**	**-65.86**
Initial Margins		0.00	0.00	0.00
Excess/(Shortage)		**-891.91**	**28,291.97**	**-65.86**
FOR INFORMATION ONLY				
Net Liquidating Value		**-891.91**	**28,291.97**	**-65.86**

		NET USD (1.00000)
CASH BALANCE		36,361.24
Variation Margins	- Futures	0.00
	- Options	0.00
TOTAL EQUITY		**36,361.24**
Initial Margins		0.00
Excess/(Shortage)		**36,361.24**
FOR INFORMATION ONLY		
Net Liquidating Value		**36,361.24**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0122

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		EUR	USD	NETT USD
		(1.17550)	(1.00000)	(1.00000)
CASH BALANCE		638.55	4,594.80	5,345.42
Variation Margins	- Futures	0.00	0.00	0.00
	- Options	0.00	0.00	0.00
TOTAL EQUITY		**638.55**	**4,594.80**	**5,345.42**
Initial Margins		0.00	0.00	0.00
Excess/(Shortage)		**638.55**	**4,594.80**	**5,345.42**
FOR INFORMATION ONLY				
Net Liquidating Value		**638.55**	**4,594.80**	**5,345.42**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0122B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	DOW JONES EURO STOXX 50 10 ERX					
22SEP17	S 20 DEC17 3500.00 Call	105.50	140.50	EUR	-28,100.00	-7,000.00
	NET -20 AVG SOLD 105.50				**-28,100.00**	**-7,000.00**
22SEP17	S 20 DEC17 3700.00 Call	17.80	27.50	EUR	-5,500.00	-1,940.00
	NET -20 AVG SOLD 17.80				**-5,500.00**	**-1,940.00**
22SEP17	B 80 DEC17 3800.00 Call	4.60	6.80	EUR	5,440.00	1,760.00
	NET +80 AVG BGHT 4.60				**5,440.00**	**1,760.00**
22SEP17	B 80 DEC17 3200.00 Put	17.10	10.70	EUR	8,560.00	-5,120.00
	NET +80 AVG BGHT 17.10				**8,560.00**	**-5,120.00**
22SEP17	S 20 DEC17 3300.00 Put	26.10	16.60	EUR	-3,320.00	1,900.00
	NET -20 AVG SOLD 26.10				**-3,320.00**	**1,900.00**
22SEP17	S 20 DEC17 3500.00 Put	68.50	44.50	EUR	-8,900.00	4,800.00
	NET -20 AVG SOLD 68.50				**-8,900.00**	**4,800.00**
	NYM LIGHT SWEET CRUDE OIL 1,000 NYM					
21SEP17	S 5 NOV17 51.00 Call	1.14	0.71	USD	-3,550.00	2,150.00
	NET -5 AVG SOLD 1.14				**-3,550.00**	**2,150.00**
21SEP17	S 5 NOV17 54.00 Call	0.31	0.10	USD	-500.00	1,050.00
	NET -5 AVG SOLD 0.31				**-500.00**	**1,050.00**
21SEP17	B 20 NOV17 55.00 Call	0.20	0.05	USD	1,000.00	-3,000.00
	NET +20 AVG BGHT 0.20				**1,000.00**	**-3,000.00**
21SEP17	B 20 NOV17 47.00 Put	0.27	0.13	USD	2,600.00	-2,800.00
	NET +20 AVG BGHT 0.27				**2,600.00**	**-2,800.00**
21SEP17	S 5 NOV17 48.00 Put	0.42	0.24	USD	-1,200.00	900.00
	NET -5 AVG SOLD 0.42				**-1,200.00**	**900.00**
21SEP17	S 5 NOV17 51.00 Put	1.51	1.29	USD	-6,450.00	1,100.00
	NET -5 AVG SOLD 1.51				**-6,450.00**	**1,100.00**
	CME E-MINI S&P 500 50 CME					
21SEP17	S 6 DEC17 2500.00 Call	45.25	62.70	USD	-18,810.00	-5,235.00
	NET -6 AVG SOLD 45.25				**-18,810.00**	**-5,235.00**
21SEP17	S 6 DEC17 2600.00 Call	4.50	9.50	USD	-2,850.00	-1,500.00
	NET -6 AVG SOLD 4.50				**-2,850.00**	**-1,500.00**
21SEP17	B 18 DEC17 2650.00 Call	1.40	2.70	USD	2,430.00	1,170.00
	NET +18 AVG BGHT 1.40				**2,430.00**	**1,170.00**
21SEP17	B 18 DEC17 2350.00 Put	16.50	10.40	USD	9,360.00	-5,490.00
	NET +18 AVG BGHT 16.50				**9,360.00**	**-5,490.00**
21SEP17	S 6 DEC17 2400.00 Put	22.00	14.30	USD	-4,290.00	2,310.00
	NET -6 AVG SOLD 22.00				**-4,290.00**	**2,310.00**
21SEP17	S 6 DEC17 2500.00 Put	44.25	30.00	USD	-9,000.00	4,275.00
	NET -6 AVG SOLD 44.25				**-9,000.00**	**4,275.00**

OPEN POSITION VALUE

		EUR	USD
Dow Jones EURO Stoxx 50	OPTIONS	26,220.00	
NYM Light Sweet Crude Oil	OPTIONS		7,500.00
CME E-Mini S&P 500	OPTIONS		18,690.00

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0122B

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

MARKET REVALUATION BREAKDOWN

Net Premium Options Market Value			Amount	Profit / Loss	**Total Amount**	**Total P&L**
EDOX	- Options	EUR	-31,820.00	-5,600.00	**-31,820.00**	**-5,600.00**
COIL	- Options	USD	-8,100.00	-600.00		
MSNP	- Options	USD	-23,160.00	-4,470.00	**-31,260.00**	**-5,070.00**

ACCOUNT FINANCIAL SUMMARY

		EUR	USD	NETT USD
		(1.17550)	(1.00000)	(1.00000)
CASH BALANCE		26,573.00	136,307.36	167,543.92
Variation Margins	- Futures	0.00	0.00	0.00
	- Options	0.00	0.00	0.00
TOTAL EQUITY		**26,573.00**	**136,307.36**	**167,543.92**
Initial Margins		-48,923.35	-52,976.00	-110,485.40
Excess/(Shortage)		**-22,350.35**	**83,331.36**	**57,058.52**
FOR INFORMATION ONLY				
Net Premium Option Market Value		-31,820.00	-31,260.00	-68,664.41
Net Liquidating Value		**-5,247.00**	**105,047.36**	**98,879.51**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED
SAP0122
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPG0122**

3rd Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

GROUP FINANCIAL SUMMARY

		EUR (1.17550)	USD (1.00000)	NET USD (1.00000)
CASH BALANCE		27,211.55	140,902.16	172,889.34
Variation Margins	- Futures	0.00	0.00	0.00
	- Options	0.00	0.00	0.00
TOTAL EQUITY		**27,211.55**	**140,902.16**	**172,889.34**
Initial Margins		-48,923.35	-52,976.00	-110,485.40
Excess/(Shortage)		**-21,711.80**	**87,926.16**	**62,403.94**
FOR INFORMATION ONLY				
Net Premium Option Market Value		-31,820.00	-31,260.00	-68,664.41
Net Liquidating Value		**-4,608.45**	**109,642.16**	**104,224.93**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0123A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	DOW JONES EURO STOXX 50 10 ERX					
25AUG17	S 25 OCT17 2450.00 Put	0.60	0.10	EUR	-25.00	125.00
29AUG17	S 25 OCT17 2450.00 Put	1.00	0.10	EUR	-25.00	225.00
	NET -50 AVG SOLD 0.80				**-50.00**	**350.00**
	CME E-MINI S&P 500 WEEK 3 OPTIONS 50 CME					
27SEP17	S 25 NOV17 1600.00 Put	0.20	0.10	USD	-125.00	125.00
	NET -25 AVG SOLD 0.20				**-125.00**	**125.00**
02OCT17	S 25 NOV17 1610.00 Put	0.15	0.10	USD	-125.00	62.50
	NET -25 AVG SOLD 0.15				**-125.00**	**62.50**

OPEN POSITION VALUE

		EUR	USD
Dow Jones EURO Stoxx 50	OPTIONS	400.00	
CME E-MINI S&P 500 Week 3	OPTIONS		437.50

MARKET REVALUATION BREAKDOWN

Net Premium Options Market Value			Amount	Profit / Loss	**Total Amount**	**Total P&L**
EDOX	- Options	EUR	-50.00	350.00	**-50.00**	**350.00**
EW33	- Options	USD	-250.00	187.50	**-250.00**	**187.50**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0123A

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		EUR	GBP	USD
		(1.17550)	(1.32460)	(1.00000)
CASH BALANCE		0.00	18,880.09	393.50
Variation Margins	- Futures	0.00	0.00	0.00
	- Options	0.00	0.00	0.00
TOTAL EQUITY		**0.00**	**18,880.09**	**393.50**
Initial Margins		-147.14	0.00	-2,050.00
Excess/(Shortage)		**-147.14**	**18,880.09**	**-1,656.50**
FOR INFORMATION ONLY				
Net Premium Option Market Value		-50.00	0.00	-250.00
Net Liquidating Value		**-50.00**	**18,880.09**	**143.50**

		NETT USD
		(1.00000)
CASH BALANCE		25,402.07
Variation Margins	- Futures	0.00
	- Options	0.00
TOTAL EQUITY		**25,402.07**
Initial Margins		-2,222.96
Excess/(Shortage)		**23,179.11**
FOR INFORMATION ONLY		
Net Premium Option Market Value		-308.77
Net Liquidating Value		**25,093.30**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED
SAP0123
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPG0123**

3rd Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

GROUP FINANCIAL SUMMARY

		EUR (1.17550)	GBP (1.32460)	USD (1.00000)
CASH BALANCE		0.00	18,880.09	393.50
Variation Margins	- Futures	0.00	0.00	0.00
	- Options	0.00	0.00	0.00
TOTAL EQUITY		**0.00**	**18,880.09**	**393.50**
Initial Margins		-147.14	0.00	-2,050.00
Excess/(Shortage)		**-147.14**	**18,880.09**	**-1,656.50**
FOR INFORMATION ONLY				
Net Premium Option Market Value		-50.00	0.00	-250.00
Net Liquidating Value		**-50.00**	**18,880.09**	**143.50**

		NET USD (1.00000)
CASH BALANCE		25,402.07
Variation Margins	- Futures	0.00
	- Options	0.00
TOTAL EQUITY		**25,402.07**
Initial Margins		-2,222.96
Excess/(Shortage)		**23,179.11**
FOR INFORMATION ONLY		
Net Premium Option Market Value		-308.77
Net Liquidating Value		**25,093.30**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0124

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		4,220.63	4,220.63
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**4,220.63**	**4,220.63**
Initial Margins		0.00	0.00
Excess/(Shortage)		**4,220.63**	**4,220.63**
FOR INFORMATION ONLY			
Net Liquidating Value		**4,220.63**	**4,220.63**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED
SAP0124
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPG0124**

3rd Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

GROUP FINANCIAL SUMMARY

		USD (1.00000)	NET USD (1.00000)
CASH BALANCE		4,220.63	4,220.63
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**4,220.63**	**4,220.63**
Initial Margins		0.00	0.00
Excess/(Shortage)		**4,220.63**	**4,220.63**
FOR INFORMATION ONLY			
Net Liquidating Value		**4,220.63**	**4,220.63**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0127

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		1,069,381.00	1,069,381.00
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**1,069,381.00**	**1,069,381.00**
Initial Margins		0.00	0.00
Excess/(Shortage)		**1,069,381.00**	**1,069,381.00**
FOR INFORMATION ONLY			
Net Liquidating Value		**1,069,381.00**	**1,069,381.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED
SAP0127
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPG0127**

3rd Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

GROUP FINANCIAL SUMMARY

		USD (1.00000)	NET USD (1.00000)
CASH BALANCE		1,069,381.00	1,069,381.00
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**1,069,381.00**	**1,069,381.00**
Initial Margins		0.00	0.00
Excess/(Shortage)		**1,069,381.00**	**1,069,381.00**
FOR INFORMATION ONLY			
Net Liquidating Value		**1,069,381.00**	**1,069,381.00**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED CLIENT ACC
.

SAP0066

3rd October 2017

Sapien Capital Limited
Suite A, 105 Piccadilly
London W1J 7NJ UK
+44 (0) 20 7340 9081
backoffice@sapiencap.com

AUTHORISED AND REGULATED BY THE
FINANCIAL CONDUCT AUTHORITY

ACCOUNT FINANCIAL SUMMARY

		USD	NETT USD
		(1.00000)	(1.00000)
CASH BALANCE		-3,791.57	-3,791.57
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**-3,791.57**	**-3,791.57**
Initial Margins		0.00	0.00
Excess/(Shortage)		**-3,791.57**	**-3,791.57**
FOR INFORMATION ONLY			
Net Liquidating Value		**-3,791.57**	**-3,791.57**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS

OPEN POSITION STATEMENT

SAPIEN CAPITAL LIMITED
SAP0066
SUITE A, 105 PICCADILLY
LONDON
W1J 7NJ

GROUP NO: **SAPG066**

3rd Oct 2017

COB Global

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential Regulation Authority. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request.

GROUP FINANCIAL SUMMARY

		USD (1.00000)	NET USD (1.00000)
CASH BALANCE		-3,791.57	-3,791.57
Variation Margins	- Futures	0.00	0.00
	- Options	0.00	0.00
TOTAL EQUITY		**-3,791.57**	**-3,791.57**
Initial Margins		0.00	0.00
Excess/(Shortage)		**-3,791.57**	**-3,791.57**
FOR INFORMATION ONLY			
Net Liquidating Value		**-3,791.57**	**-3,791.57**

ISSUED SUBJECT TO ARTICLES AND RULES, CUSTOMS AND USAGES OF THE EXCHANGE AND THE CLEARING HOUSE AND CORRECTION OF ERRORS AND OMISSIONS