

OPEN POSITION STATEMENT



SAPIEN CAPITAL LTD-FIRM A/C
Suite A, 105 Piccadilly
London
W1J 7NJ
UK
HEMANT PARIKH

SAP0001

29th September 2017

MACQUARIE BANK LIMITED LONDON BRANCH
ROPEMAKER PLACE 28 ROPEMAKER STREET
LONDON EC2Y 9HD PHONE (44) 20 3037 4343
Authorised and regulated by the Australian Prudential
Regulation Authority. Authorised by the Prudential
Regulation Authority. Subject to regulation by the Financial
Conduct Authority and limited regulation by the
Prudential Regulation Authority. Details about the extent
of our regulation by the Prudential Regulation Authority are
available from us on request.

ACCOUNT FINANCIAL SUMMARY

	EUR	GBP	JPY
	(1.18220)	(1.34170)	(112.56500)
CASH BALANCE	85,390.32	774,011.19	-315,049
Variation Margins - Futures	0.00	0.00	0
- Options	0.00	0.00	0
TOTAL EQUITY	85,390.32	774,011.19	-315,049
Initial Margins	0.00	0.00	0
Excess/(Shortage)	85,390.32	774,011.19	-315,049
FOR INFORMATION ONLY			
Net Liquidating Value	85,390.32	774,011.19	-315,049
	USD	NETT USD	
	(1.00000)	(1.00000)	
CASH BALANCE	4,041,957.40	5,178,597.83	
Variation Margins - Futures	0.00	0.00	
- Options	0.00	0.00	
TOTAL EQUITY	4,041,957.40	5,178,597.83	
Initial Margins	0.00	0.00	
Excess/(Shortage)	4,041,957.40	5,178,597.83	
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Net Liquidating Value	4,041,957.40	5,178,597.83	

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Date	Open Position				Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
	<u>LME ALUMINIUM 25 TONNES. THIS IS AN LME REGISTERED CLIENT CONTRACT.</u>								
22AUG17	S	10	PD22NOV17		2080.85	2091.10	USD	-2,562.50	
22AUG17	S	10	PD22NOV17		2082.35	2091.10	USD	-2,187.50	
22AUG17	B	10	PD22NOV17		2084.30	2091.10	USD	1,700.00	
22AUG17	B	10	PD22NOV17		2085.30	2091.10	USD	1,450.00	
	NET	0 AVG BGHT	2084.80	SOLD	2081.60			-1,600.00	0.00

OPEN POSITION VALUE

LME Aluminium 25 Tonnes. FUTURES USD
-1,600.00

MARKET REVALUATION BREAKDOWN

Unrealised Profits & Losses - Forwards	Amount	Profit / Loss	Total Amount	Total P&L
ALUL - Futures USD	-1,600.00	-1,600.00	-1,600.00	-1,600.00

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
	(1.00000)	(1.00000)
CASH BALANCE	1,983.81	1,983.81
Variation Margins - Futures	0.00	0.00
- Options	0.00	0.00
TOTAL EQUITY	1,983.81	1,983.81
Initial Margins	-1,600.00	-1,600.00
Excess/(Shortage)	383.81	383.81
<u>FOR INFORMATION ONLY</u>		
Forward Unrealised P&L	-1,600.00	-1,600.00
Net Liquidating Value	383.81	383.81

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Date	Open Position	Trade Price	Current Price	Curr	Market Revaluation	Option P&L or Prem Value(*)
25SEP17	GBP/USD WEEKLY EUROPEAN STYLE					
	PREMIUM OPTIONS - WK4					
	S 15 OCT17 1.31500 Put NET -15 AVG SOLD 0.00260	0.00260	0.00250	USD	-2,343.75 -2,343.75	93.75 93.75
	CME STERLING 62,500 CME					
13SEP17	B 5 DEC17	1.32670	1.34390	USD	5,375.00	
25SEP17	B 5 DEC17	1.35100	1.34390	USD	-2,218.75	
25SEP17	B 1 DEC17	1.34800	1.34390	USD	-256.25	
26SEP17	B 5 DEC17	1.34490	1.34390	USD	-312.50	
27SEP17	B 5 DEC17	1.34270	1.34390	USD	375.00	
	NET +21 AVG BGHT 1.34164				2,962.50	0.00

OPEN POSITION VALUE

		USD
GBP/USD Weekly European Style	OPTIONS	2,437.50
CME Sterling	FUTURES	-1,760,906.25

MARKET REVALUATION BREAKDOWN

Variation Margins - Fut & Fut Style Options	Amount	Profit / Loss	Total Amount	Total P&L
GBP - Futures USD	2,962.50	2,962.50	2,962.50	2,962.50
Net Premium Options Market Value				
4BP - Options USD	-2,343.75	93.75	-2,343.75	93.75

ACCOUNT FINANCIAL SUMMARY

	USD	NETT USD
CASH BALANCE	(1.00000)	(1.00000)
Variation Margins	98,872.73	98,872.73
- Futures	2,962.50	2,962.50
- Options	0.00	0.00
TOTAL EQUITY	101,835.23	101,835.23
Initial Margins	-56,865.75	-56,865.75
Excess/(Shortage)	44,969.48	44,969.48
FOR INFORMATION ONLY		
Net Premium Option Market Value	-2,343.75	-2,343.75
Net Liquidating Value	99,491.48	99,491.48